

# A Formalization of Weighted Path Orders and Recursive Path Orders\*

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## Abstract

We define the weighted path order (WPO) and formalize several properties such as strong normalization, the subterm property, and closure properties under substitutions and contexts. Our definition of WPO extends the original definition by also permitting multiset comparisons of arguments instead of just lexicographic extensions. Therefore, our WPO not only subsumes lexicographic path orders (LPO), but also recursive path orders (RPO). We formally prove these subsumptions and therefore all of the mentioned properties of WPO are automatically transferable to LPO and RPO as well. Such a transformation is not required for Knuth–Bendix orders (KBO), since they have already been formalized. Nevertheless, we still provide a proof that WPO subsumes KBO and thereby underline the generality of WPO.

## Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>Preliminaries</b>	<b>3</b>
2.1	Status functions . . . . .	3
2.2	Precedence . . . . .	4
2.3	Local versions of relations . . . . .	5
2.4	Interface for extending an order pair on lists . . . . .	11
<b>3</b>	<b>Multiset extension of an order pair</b>	<b>11</b>
3.1	Pointwise multiset order . . . . .	13
3.2	Multiset extension for order pairs via the pointwise order and <i>mult</i> . . . . .	17
3.3	One-step versions of the multiset extensions . . . . .	18
3.4	Cancellation . . . . .	20

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3.5	Implementation friendly versions of <i>mult2-s</i> and <i>mult2-ns</i> . . .	22
3.6	Local well-foundedness: restriction to downward closure of a set . . . . .	23
3.7	Trivial cases . . . . .	27
3.8	Executable version . . . . .	30
<b>4</b>	<b>Multiset extension of order pairs in the other direction</b>	<b>34</b>
4.1	List based characterization of <i>multpw</i> . . . . .	34
4.2	Definition of the multiset extension of $>$ -orders . . . . .	35
4.3	Basic properties . . . . .	36
4.4	Multisets as order on lists . . . . .	45
4.5	Special case: non-strict order is equality . . . . .	48
4.6	Executable version . . . . .	49
<b>5</b>	<b>The Weighted Path Order</b>	<b>53</b>
<b>6</b>	<b>The Recursive Path Order as an instance of WPO</b>	<b>89</b>
<b>7</b>	<b>The Lexicographic Path Order as an instance of WPO</b>	<b>92</b>
<b>8</b>	<b>The Knuth–Bendix Order as an instance of WPO</b>	<b>95</b>
8.1	Aligning least elements . . . . .	95
8.2	A restricted equality between KBO and WPO . . . . .	98
<b>9</b>	<b>Executability of the orders</b>	<b>107</b>

## 1 Introduction

Path orders are well-founded orders on terms that are useful for automated deduction, e.g., for termination proving of term rewrite systems or for completion-based theorem provers. Well-known path orders are the lexicographic path order (LPO) [3], the recursive path order (RPO) [2], and the Knuth–Bendix order (KBO) [4], and all of these orders are presented in a standard textbook on term rewriting [1, Chapter 5].

Whereas the mentioned path orders date back to the last century, the weighted path order (WPO) has only recently been presented [9, 10]. It has two nice properties. First, the search for suitable parameters is feasible and tools like NaTT and TTT2 implement it. Second, WPO is quite powerful and versatile: in fact, KBO and LPO are just instances of WPO. Moreover, with a slight extension of WPO (adding multiset-comparisons) also RPO is covered.

This AFP-entry provides a full formalization of WPO and also the connection to KBO, LPO, and RPO. Here, for the existing formal version of KBO [5, 6] it is just proven that WPO can simulate it by choosing suitable

parameters, whereas LPO and RPO are defined from scratch and many properties of LPO and RPO—such as strong normalization, closure under contexts and substitutions, transitivity, etc.—are derived from the corresponding WPO properties.

Note that most of the WPO formalization is described in [8]. The formal version deviates from the paper version only by the additional possibility to perform multiset-comparisons instead of lexicographic comparisons within WPO. The formal version of LPO and RPO extend their original definitions as well: the RPO definition is taken from [7], and LPO is defined as this extended RPO where always lexicographic comparisons are performed when comparing lists of terms. The formalization of multiset-comparisons (w.r.t. two orders) is described in more detail in [7].

## 2 Preliminaries

### 2.1 Status functions

A status function assigns to each  $n$ -ary symbol a list of indices between 0 and  $n-1$ . These functions are encapsulated into a separate type, so that recursion on the  $i$ -th subterm does not have to perform out-of-bounds checks (e.g., to ensure termination).

**theory** *Status*

**imports**

*First-Order-Terms.Term*

**begin**

**typedef** *'f status* = { ( $\sigma :: 'f \times \text{nat} \Rightarrow \text{nat list}$ ). ( $\forall f k. \text{set } (\sigma (f, k)) \subseteq \{0 ..< k\}$ )}

**morphisms** *status Abs-status*

**by** (*rule exI[of -  $\lambda$  -. []]*) *auto*

**setup-lifting** *type-definition-status*

**lemma** *status*:  $\text{set } (\text{status } \sigma (f, n)) \subseteq \{0 ..< n\}$

**by** (*transfer*) *auto*

**lemma** *status-aux[termination-simp]*:  $i \in \text{set } (\text{status } \sigma (f, \text{length } ss)) \implies ss ! i \in \text{set } ss$

**using** *status[of  $\sigma$   $f$   $\text{length } ss]$*  **unfolding** *set-conv-nth* **by** *force*

**lemma** *status-termination-simps[termination-simp]*:

**assumes** *i1*:  $i < \text{length } (\text{status } \sigma (f, \text{length } xs))$

**shows**  $\text{size } (xs ! (\text{status } \sigma (f, \text{length } xs) ! i)) < \text{Suc } (\text{size-list size } xs)$  (**is**  $?a < ?c$ )

**proof** –

**from** *i1* **have**  $\text{status } \sigma (f, \text{length } xs) ! i \in \text{set } (\text{status } \sigma (f, \text{length } xs))$  **by** *auto*

**from** *status-aux[OF this]* **have**  $?a \leq \text{size-list size } xs$  **by** (*auto simp: termination-simp*)

**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *status-ne*:

*status*  $\sigma (f, n) \neq [] \implies \exists i < n. i \in \text{set} (\text{status } \sigma (f, n))$

**using** *status* [*of*  $\sigma f n$ ]

**by** (*meson atLeastLessThan-iff set-empty subsetCE subsetI subset-empty*)

**lemma** *set-status-nth*:

*length xs = n*  $\implies i \in \text{set} (\text{status } \sigma (f, n)) \implies i < \text{length } xs \wedge xs ! i \in \text{set } xs$

**using** *status* [*of*  $\sigma f n$ ] **by** *force*

**lift-definition** *full-status* :: *'f status is*  $\lambda (f, n). [0 ..< n]$  **by** *auto*

**lemma** *full-status[simp]*: *status full-status*  $(f, n) = [0 ..< n]$

**by** *transfer auto*

An argument position *i* is simple wrt. some term relation, if the *i*-th subterm is in relation to the full term.

**definition** *simple-arg-pos* :: (*'f, 'v*) *term rel*  $\Rightarrow 'f \times \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**

*simple-arg-pos rel f i*  $\equiv \forall ts. i < \text{snd } f \longrightarrow \text{length } ts = \text{snd } f \longrightarrow (\text{Fun } (\text{fst } f) ts, ts ! i) \in \text{rel}$

**lemma** *simple-arg-posI*:  $\llbracket \bigwedge ts. \text{length } ts = n \implies i < n \implies (\text{Fun } f ts, ts ! i) \in \text{rel} \rrbracket \implies \text{simple-arg-pos rel } (f, n) i$

**unfolding** *simple-arg-pos-def* **by** *auto*

**end**

## 2.2 Precedence

A precedence consists of two compatible relations (strict and non-strict) on symbols such that the strict relation is strongly normalizing. In the formalization we model this via a function "prc" (precedence-compare) which returns two Booleans, indicating whether the one symbol is strictly or weakly bigger than the other symbol. Moreover, there also is a function "prl" (precedence-least) which gives quick access to whether a symbol is least in precedence, i.e., without comparing it to all other symbols explicitly.

**theory** *Precedence*

**imports**

*Abstract-Rewriting.Abstract-Rewriting*

**begin**

**locale** *precedence* =

**fixes** *prc* :: *'f*  $\Rightarrow 'f \Rightarrow \text{bool} \times \text{bool}$

**and** *prl* :: *'f*  $\Rightarrow \text{bool}$

**assumes** *prc-refl*: *prc f f* = (*False*, *True*)

**and** *prc-SN*: *SN*  $\{(f, g). \text{fst } (\text{prc } f g)\}$

```

and prl: prl g  $\implies$  snd (prc f g) = True
and prl3: prl f  $\implies$  snd (prc f g)  $\implies$  prl g
and prc-compat: prc f g = (s1, ns1)  $\implies$  prc g h = (s2, ns2)  $\implies$  prc f h = (s,
ns)  $\implies$ 
  (ns1  $\wedge$  ns2  $\longrightarrow$  ns)  $\wedge$  (ns1  $\wedge$  s2  $\longrightarrow$  s)  $\wedge$  (s1  $\wedge$  ns2  $\longrightarrow$  s)
and prc-stri-imp-nstri: fst (prc f g)  $\implies$  snd (prc f g)
begin

```

**lemma** *prl2*:

```

assumes g: prl g shows fst (prc g f) = False
proof (rule ccontr)
assume  $\neg$  ?thesis
then obtain b where gf: prc g f = (True, b) by (cases prc g f, auto)
obtain b1 b2 where gg: prc g g = (b1, b2) by force
obtain b' where fg: prc f g = (b', True) using prl[OF g, of f] by (cases prc f
g, auto)
from prc-compat[OF gf fg gg] gg have gg: fst (prc g g) by auto
with SN-on-irrefl[OF prc-SN] show False by blast
qed

```

**abbreviation** *pr*  $\equiv$  (*prc*, *prl*)

**end**

**end**

## 2.3 Local versions of relations

**theory** *Relations*

**imports**

*HOL-Library.Multiset*

*Abstract-Rewriting.Abstract-Rewriting*

**begin**

Common predicates on relations

**definition** *compatible-l* :: '*a* *rel*  $\Rightarrow$  '*a* *rel*  $\Rightarrow$  *bool* **where**  
*compatible-l* *R1 R2*  $\equiv$  *R1 O R2*  $\subseteq$  *R2*

**definition** *compatible-r* :: '*a* *rel*  $\Rightarrow$  '*a* *rel*  $\Rightarrow$  *bool* **where**  
*compatible-r* *R1 R2*  $\equiv$  *R2 O R1*  $\subseteq$  *R2*

Local reflexivity

**definition** *locally-refl* :: '*a* *rel*  $\Rightarrow$  '*a* *multiset*  $\Rightarrow$  *bool* **where**  
*locally-refl* *R A*  $\equiv$  ( $\forall$  *a*. *a*  $\in$  # *A*  $\longrightarrow$  (*a*,*a*)  $\in$  *R*)

**definition** *locally-irrefl* :: '*a* *rel*  $\Rightarrow$  '*a* *multiset*  $\Rightarrow$  *bool* **where**  
*locally-irrefl* *R A*  $\equiv$  ( $\forall$  *t*. *t*  $\in$  # *A*  $\longrightarrow$  (*t*,*t*)  $\notin$  *R*)

Local symmetry

**definition** *locally-sym* :: '*a* *rel*  $\Rightarrow$  '*a* *multiset*  $\Rightarrow$  *bool* **where**

*locally-sym*  $R A \equiv (\forall t u. t \in\# A \longrightarrow u \in\# A \longrightarrow (t,u) \in R \longrightarrow (u,t) \in R)$

**definition** *locally-antisym* :: 'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  bool **where**

*locally-antisym*  $R A \equiv (\forall t u. t \in\# A \longrightarrow u \in\# A \longrightarrow (t,u) \in R \longrightarrow (u,t) \in R \longrightarrow t = u)$

Local transitivity

**definition** *locally-trans* :: 'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool **where**

*locally-trans*  $R A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R \longrightarrow (u,v) \in R \longrightarrow (t,v) \in R)$

Local inclusion

**definition** *locally-included* :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool **where**

*locally-included*  $R1 R2 A B \equiv (\forall t u. t \in\# A \longrightarrow u \in\# B \longrightarrow (t,u) \in R1 \longrightarrow (t,u) \in R2)$

Local transitivity compatibility

**definition** *locally-compatible-l* :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool **where**

*locally-compatible-l*  $R1 R2 A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R1 \longrightarrow (u,v) \in R2 \longrightarrow (t,v) \in R2)$

**definition** *locally-compatible-r* :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool **where**

*locally-compatible-r*  $R1 R2 A B C \equiv (\forall t u v. t \in\# A \longrightarrow u \in\# B \longrightarrow v \in\# C \longrightarrow (t,u) \in R2 \longrightarrow (u,v) \in R1 \longrightarrow (t,v) \in R2)$

included + compatible  $\longrightarrow$  transitive

**lemma** *in-cl-tr*:

**assumes**  $R1 \subseteq R2$

**and** *compatible-l*  $R2 R1$

**shows** *trans*  $R1$

**proof**–

```
{
  fix x y z
  assume s-x-y: (x,y) ∈ R1 and s-y-z: (y,z) ∈ R1
  from assms s-x-y have (x,y) ∈ R2 by auto
  with s-y-z assms(2)[unfolded compatible-l-def] have (x,z) ∈ R1 by blast
}
```

**then show** *?thesis unfolding trans-def by fast*

**qed**

**lemma** *in-cr-tr*:

```

assumes  $R1 \subseteq R2$ 
and compatible-r  $R2 R1$ 
shows trans  $R1$ 
proof-
{
  fix  $x\ y\ z$ 
  assume  $s\text{-}x\text{-}y: (x,y) \in R1$  and  $s\text{-}y\text{-}z: (y,z) \in R1$ 
  with assms have  $(y,z) \in R2$  by auto
  with  $s\text{-}x\text{-}y$  assms(2)[unfolded compatible-r-def] have  $(x,z) \in R1$  by blast
}
then show ?thesis unfolding trans-def by fast
qed

```

If a property holds globally, it also holds locally. Obviously.

```

lemma r-lr:
assumes refl  $R$ 
shows locally-refl  $R A$ 
using assms unfolding refl-on-def locally-refl-def by blast

```

```

lemma tr-ltr:
assumes trans  $R$ 
shows locally-trans  $R A B C$ 
using assms unfolding trans-def and locally-trans-def by fast

```

```

lemma in-lin:
assumes  $R1 \subseteq R2$ 
shows locally-included  $R1 R2 A B$ 
using assms unfolding locally-included-def by auto

```

```

lemma cl-lcl:
assumes compatible-l  $R1 R2$ 
shows locally-compatible-l  $R1 R2 A B C$ 
using assms unfolding compatible-l-def and locally-compatible-l-def by auto

```

```

lemma cr-lcr:
assumes compatible-r  $R1 R2$ 
shows locally-compatible-r  $R1 R2 A B C$ 
using assms unfolding compatible-r-def and locally-compatible-r-def by auto

```

If a predicate holds on a set then it holds on all the subsets:

```

lemma lr-trans-l:
assumes locally-refl  $R (A + B)$ 
shows locally-refl  $R A$ 
using assms unfolding locally-refl-def
by auto

```

```

lemma li-trans-l:
assumes locally-irrefl  $R (A + B)$ 
shows locally-irrefl  $R A$ 

```

```

using assms unfolding locally-irrefl-def
by auto

lemma ls-trans-l:
  assumes locally-sym R (A + B)
  shows locally-sym R A
  using assms unfolding locally-sym-def
  by auto

lemma las-trans-l:
  assumes locally-antisym R (A + B)
  shows locally-antisym R A
  using assms unfolding locally-antisym-def
  by auto

lemma lt-trans-l:
  assumes locally-trans R (A + B) (C + D) (E + F)
  shows locally-trans R A C E
  using assms[unfolded locally-trans-def, rule-format]
  unfolding locally-trans-def by auto

lemma lin-trans-l:
  assumes locally-included R1 R2 (A + B) (C + D)
  shows locally-included R1 R2 A C
  using assms unfolding locally-included-def by auto

lemma lcl-trans-l:
  assumes locally-compatible-l R1 R2 (A + B) (C + D) (E + F)
  shows locally-compatible-l R1 R2 A C E
  using assms[unfolded locally-compatible-l-def, rule-format]
  unfolding locally-compatible-l-def by auto

lemma lcr-trans-l:
  assumes locally-compatible-r R1 R2 (A + B) (C + D) (E + F)
  shows locally-compatible-r R1 R2 A C E
  using assms[unfolded locally-compatible-r-def, rule-format]
  unfolding locally-compatible-r-def by auto

lemma lr-trans-r:
  assumes locally-refl R (A + B)
  shows locally-refl R B
  using assms unfolding locally-refl-def
  by auto

lemma li-trans-r:
  assumes locally-irrefl R (A + B)
  shows locally-irrefl R B
  using assms unfolding locally-irrefl-def
  by auto

```



**lemma** *ls-trans-r*:  
**assumes** *locally-sym*  $R (A + B)$   
**shows** *locally-sym*  $R B$   
**using** *assms* **unfolding** *locally-sym-def*  
**by** *auto*

**lemma** *las-trans-r*:  
**assumes** *locally-antisym*  $R (A + B)$   
**shows** *locally-antisym*  $R B$   
**using** *assms* **unfolding** *locally-antisym-def*  
**by** *auto*

**lemma** *lt-trans-r*:  
**assumes** *locally-trans*  $R (A + B) (C + D) (E + F)$   
**shows** *locally-trans*  $R B D F$   
**using** *assms*[*unfolded locally-trans-def, rule-format*]  
**unfolding** *locally-trans-def*  
**by** *auto*

**lemma** *lin-trans-r*:  
**assumes** *locally-included*  $R1 R2 (A + B) (C + D)$   
**shows** *locally-included*  $R1 R2 B D$   
**using** *assms* **unfolding** *locally-included-def* **by** *auto*

**lemma** *lcl-trans-r*:  
**assumes** *locally-compatible-l*  $R1 R2 (A + B) (C + D) (E + F)$   
**shows** *locally-compatible-l*  $R1 R2 B D F$   
**using** *assms*[*unfolded locally-compatible-l-def, rule-format*]  
**unfolding** *locally-compatible-l-def* **by** *auto*

**lemma** *lcr-trans-r*:  
**assumes** *locally-compatible-r*  $R1 R2 (A + B) (C + D) (E + F)$   
**shows** *locally-compatible-r*  $R1 R2 B D F$   
**using** *assms*[*unfolded locally-compatible-r-def, rule-format*]  
**unfolding** *locally-compatible-r-def* **by** *auto*

**lemma** *lr-minus*:  
**assumes** *locally-refl*  $R A$   
**shows** *locally-refl*  $R (A - B)$   
**using** *assms* **unfolding** *locally-refl-def* **by** (*meson in-diffD*)

**lemma** *li-minus*:  
**assumes** *locally-irrefl*  $R A$   
**shows** *locally-irrefl*  $R (A - B)$   
**using** *assms* **unfolding** *locally-irrefl-def* **by** (*meson in-diffD*)

**lemma** *ls-minus*:  
**assumes** *locally-sym*  $R A$

**shows** *locally-sym*  $R (A - B)$   
**using** *assms* **unfolding** *locally-sym-def* **by** (*meson in-diffD*)

**lemma** *las-minus*:  
**assumes** *locally-antisym*  $R A$   
**shows** *locally-antisym*  $R (A - B)$   
**using** *assms* **unfolding** *locally-antisym-def* **by** (*meson in-diffD*)

**lemma** *lt-minus*:  
**assumes** *locally-trans*  $R A C E$   
**shows** *locally-trans*  $R (A - B) (C - D) (E - F)$   
**using** *assms*[*unfolded locally-trans-def, rule-format*]  
**unfolding** *locally-trans-def* **by** (*meson in-diffD*)

**lemma** *lin-minus*:  
**assumes** *locally-included*  $R1 R2 A C$   
**shows** *locally-included*  $R1 R2 (A - B) (C - D)$   
**using** *assms* **unfolding** *locally-included-def* **by** (*meson in-diffD*)

**lemma** *lcl-minus*:  
**assumes** *locally-compatible-l*  $R1 R2 A C E$   
**shows** *locally-compatible-l*  $R1 R2 (A - B) (C - D) (E - F)$   
**using** *assms*[*unfolded locally-compatible-l-def, rule-format*]  
**unfolding** *locally-compatible-l-def* **by** (*meson in-diffD*)

**lemma** *lcr-minus*:  
**assumes** *locally-compatible-r*  $R1 R2 A C E$   
**shows** *locally-compatible-r*  $R1 R2 (A - B) (C - D) (E - F)$   
**using** *assms*[*unfolded locally-compatible-r-def, rule-format*]  
**unfolding** *locally-compatible-r-def* **by** (*meson in-diffD*)

Notations

**notation** *restrict* (**infixl**  $\uparrow 80$ )

**lemma** *mem-restrictI*[*intro!*]: **assumes**  $x \in X y \in X (x,y) \in R$  **shows**  $(x,y) \in R$   
 $\uparrow X$   
**using** *assms* **unfolding** *restrict-def* **by** *auto*

**lemma** *mem-restrictD*[*dest*]: **assumes**  $(x,y) \in R \uparrow X$  **shows**  $x \in X y \in X (x,y) \in R$   
**using** *assms* **unfolding** *restrict-def* **by** *auto*

**end**

## 2.4 Interface for extending an order pair on lists

**theory** *List-Order*

```

imports
  Knuth-Bendix-Order.Order-Pair
begin

type-synonym 'a list-ext = 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a list rel

locale list-order-extension =
  fixes s-list :: 'a list-ext
    and ns-list :: 'a list-ext
  assumes extension: SN-order-pair S NS  $\Longrightarrow$  SN-order-pair (s-list S NS) (ns-list S NS)
    and s-map:  $\llbracket \bigwedge a b. (a,b) \in S \Longrightarrow (f a, f b) \in S; \bigwedge a b. (a,b) \in NS \Longrightarrow (f a, f b) \in NS \rrbracket \Longrightarrow (as,bs) \in s\text{-list } S \text{ } NS \Longrightarrow (map f as, map f bs) \in s\text{-list } S \text{ } NS$ 
    and ns-map:  $\llbracket \bigwedge a b. (a,b) \in S \Longrightarrow (f a, f b) \in S; \bigwedge a b. (a,b) \in NS \Longrightarrow (f a, f b) \in NS \rrbracket \Longrightarrow (as,bs) \in ns\text{-list } S \text{ } NS \Longrightarrow (map f as, map f bs) \in ns\text{-list } S \text{ } NS$ 
    and all-ns-imp-ns:  $length\ as = length\ bs \Longrightarrow \llbracket \bigwedge i. i < length\ bs \Longrightarrow (as ! i, bs ! i) \in NS \rrbracket \Longrightarrow (as,bs) \in ns\text{-list } S \text{ } NS$ 

type-synonym 'a list-ext-impl = ('a  $\Rightarrow$  'a  $\Rightarrow$  bool  $\times$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  $\times$  bool

locale list-order-extension-impl = list-order-extension s-list ns-list for
  s-list ns-list :: 'a list-ext +
  fixes list-ext :: 'a list-ext-impl
  assumes list-ext-s:  $\bigwedge s\ ns. s\text{-list } \{(a,b). s\ a\ b\} \{(a,b). ns\ a\ b\} = \{(as,bs). fst (list-ext (\lambda a\ b. (s\ a\ b, ns\ a\ b)) as\ bs)\}$ 
    and list-ext-ns:  $\bigwedge s\ ns. ns\text{-list } \{(a,b). s\ a\ b\} \{(a,b). ns\ a\ b\} = \{(as,bs). snd (list-ext (\lambda a\ b. (s\ a\ b, ns\ a\ b)) as\ bs)\}$ 
    and s-ext-local-mono:  $\bigwedge s\ ns\ s'\ ns'\ as\ bs. (set\ as \times set\ bs) \cap ns \subseteq ns' \Longrightarrow (set\ as \times set\ bs) \cap s \subseteq s' \Longrightarrow (as,bs) \in s\text{-list } ns\ s \Longrightarrow (as,bs) \in s\text{-list } ns'\ s'$ 
    and ns-ext-local-mono:  $\bigwedge s\ ns\ s'\ ns'\ as\ bs. (set\ as \times set\ bs) \cap ns \subseteq ns' \Longrightarrow (set\ as \times set\ bs) \cap s \subseteq s' \Longrightarrow (as,bs) \in ns\text{-list } ns\ s \Longrightarrow (as,bs) \in ns\text{-list } ns'\ s'$ 

end

```

### 3 Multiset extension of an order pair

Given a well-founded order  $\prec$  and a compatible non-strict order  $\preccurlyeq$ , we define the corresponding multiset-extension of these orders.

```

theory Multiset-Extension-Pair
  imports
    HOL-Library.Multiset
    Regular-Sets.Regexp-Method
    Abstract-Rewriting.Abstract-Rewriting
    Relations
begin

```

**lemma** *mult-locally-cancel*:

**assumes** *trans s* **and** *locally-irrefl s (X + Z)* **and** *locally-irrefl s (Y + Z)*  
**shows**  $(X + Z, Y + Z) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$  (**is**  $?L \longleftrightarrow ?R$ )

**proof**

**assume**  $?L$  **thus**  $?R$  **using** *assms(2, 3)*

**proof** (*induct Z arbitrary: X Y*)

**case** (*add z Z*)

**obtain**  $X' Y' Z'$  **where**  $*$ :  $\text{add-mset } z X + Z = Z' + X'$   $\text{add-mset } z Y + Z = Z' + Y'$   $Y' \neq \{\#\}$   
 $\forall x \in \text{set-mset } X'. \exists y \in \text{set-mset } Y'. (x, y) \in s$

**using** *mult-implies-one-step[OF <trans s> add(2)]* **by** *auto*

**consider**  $X2$  **where**  $Z' = \text{add-mset } z X2 \mid X2 Y2$  **where**  $X' = \text{add-mset } z X2$   
 $Y' = \text{add-mset } z Y2$

**using**  $*(1,2)$  **by** (*metis add-mset-remove-trivial-If insert-iff set-mset-add-mset-insert union-iff*)

**thus**  $?case$

**proof** (*cases*)

**case** 1 **thus**  $?thesis$  **using**  $*$  *one-step-implies-mult[of Y' X' s Z2]*  
**by** (*auto simp: add.commute[of - {#-#}] add.assoc intro: add(1)*)  
*(metis add.hyps add.prem(2) add.prem(3) add-mset-add-single li-trans-l union-mset-add-mset-right)*

**next**

**case** 2 **then obtain**  $y$  **where**  $y \in \text{set-mset } Y2$   $(z, y) \in s$  **using**  $*(4)$  *add(3, 4)*

**by** (*auto simp: locally-irrefl-def*)

**moreover from** *this* *transD[OF <trans s> - this(2)]*

**have**  $x' \in \text{set-mset } X2 \implies \exists y \in \text{set-mset } Y2. (x', y) \in s$  **for**  $x'$

**using**  $*(4)$  [*rule-format, of x'*] **by** *auto*

**ultimately show**  $?thesis$  **using**  $*$  *one-step-implies-mult[of Y2 X2 s Z']*  $*(3, 4)$

**by** (*force simp: locally-irrefl-def add.commute[of {#-#}] add.assoc[symmetric] intro: add(1)*)

**qed**

**qed** *auto*

**next**

**assume**  $?R$  **then obtain**  $I J K$

**where**  $Y = I + J$   $X = I + K$   $J \neq \{\#\}$   $\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k, j) \in s$

**using** *mult-implies-one-step[OF <trans s>]* **by** *blast*

**thus**  $?L$  **using** *one-step-implies-mult[of J K s I + Z]* **by** (*auto simp: ac-simps*)

**qed**

**lemma** *mult-locally-cancelL*:

**assumes** *trans s* *locally-irrefl s (X + Z)* *locally-irrefl s (Y + Z)*  
**shows**  $(Z + X, Z + Y) \in \text{mult } s \longleftrightarrow (X, Y) \in \text{mult } s$   
**using** *mult-locally-cancel[OF assms]* **by** (*simp only: union-commute*)

**lemma** *mult-cancelL*:

**assumes** *trans s irrefl s* **shows**  $(Z + X, Z + Y) \in \text{mult } s \iff (X, Y) \in \text{mult } s$   
**using** *assms mult-locally-cancelL* **by** (*simp add: mult-cancel union-commute*)

**lemma** *wf-trancl-conv*:  
**shows**  $wf (r^+) \iff wf r$   
**using** *wf-subset[of r<sup>+</sup> r]* **by** (*force simp: wf-trancl*)

### 3.1 Pointwise multiset order

**inductive-set** *multpw* :: 'a rel  $\Rightarrow$  'a multiset rel **for** *ns* :: 'a rel **where**  
*empty*:  $(\{\#\}, \{\#\}) \in \text{multpw } ns$   
| *add*:  $(x, y) \in ns \implies (X, Y) \in \text{multpw } ns \implies (\text{add-mset } x X, \text{add-mset } y Y) \in \text{multpw } ns$

**lemma** *multpw-emptyL* [*simp*]:  
 $(\{\#\}, X) \in \text{multpw } ns \iff X = \{\#\}$   
**by** (*cases X*) (*auto elim: multpw.cases intro: multpw.intros*)

**lemma** *multpw-emptyR* [*simp*]:  
 $(X, \{\#\}) \in \text{multpw } ns \iff X = \{\#\}$   
**by** (*cases X*) (*auto elim: multpw.cases intro: multpw.intros*)

**lemma** *refl-multpw*:  
**assumes** *refl ns* **shows** *refl (multpw ns)*  
**proof** –  
**have**  $(X, X) \in \text{multpw } ns$  **for** *X* **using** *assms*  
**by** (*induct X*) (*auto intro: multpw.intros simp: refl-on-def*)  
**then show** *?thesis* **by** (*auto simp: refl-on-def*)  
**qed**

**lemma** *multpw-Id-Id* [*simp*]:  
 $\text{multpw } Id = Id$   
**proof** –  
**have**  $(X, Y) \in \text{multpw } (Id :: 'a rel) \implies X = Y$  **for** *X Y* **by** (*induct X Y rule: multpw.induct*) *auto*  
**then show** *?thesis* **using** *refl-multpw[of Id]* **by** (*auto simp: refl-on-def*)  
**qed**

**lemma** *mono-multpw*:  
**assumes**  $ns \subseteq ns'$  **shows**  $\text{multpw } ns \subseteq \text{multpw } ns'$   
**proof** –  
**have**  $(X, Y) \in \text{multpw } ns \implies (X, Y) \in \text{multpw } ns'$  **for** *X Y*  
**by** (*induct X Y rule: multpw.induct*) (*insert assms, auto intro: multpw.intros*)  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *multpw-converse*:  
 $\text{multpw } (ns^{-1}) = (\text{multpw } ns)^{-1}$   
**proof** –

**have**  $(X, Y) \in \text{multpw } (ns^{-1}) \implies (X, Y) \in (\text{multpw } ns)^{-1}$  **for**  $X \ Y$  **and**  $ns ::$   
*'a rel*  
**by** (*induct*  $X \ Y$  *rule: multpw.induct*) (*auto intro: multpw.intros*)  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *multpw-local*:

$(X, Y) \in \text{multpw } ns \implies (X, Y) \in \text{multpw } (ns \cap \text{set-mset } X \times \text{set-mset } Y)$   
**proof** (*induct*  $X \ Y$  *rule: multpw.induct*)  
**case** (*add*  $x \ y \ X \ Y$ ) **then show** *?case*  
**using** *mono-multpw*[*of*  $ns \cap \text{set-mset } X \times \text{set-mset } Y \ ns \cap \text{insert } x \ (\text{set-mset } X) \times \text{insert } y \ (\text{set-mset } Y)$ ]  
**by** (*auto intro: multpw.intros*)  
**qed** *auto*

**lemma** *multpw-split1R*:

**assumes**  $(\text{add-mset } x \ X, Y) \in \text{multpw } ns$   
**obtains**  $z \ Z$  **where**  $Y = \text{add-mset } z \ Z$  **and**  $(x, z) \in ns$  **and**  $(X, Z) \in \text{multpw } ns$   
**using** *assms*  
**proof** (*induct*  $\text{add-mset } x \ X \ Y$  *arbitrary: X thesis rule: multpw.induct*)  
**case** (*add*  $x' \ y' \ X' \ Y'$ ) **then show** *?case*  
**proof** (*cases*  $x = x'$ )  
**case** *False*  
**obtain**  $X''$  **where** [*simp*]:  $X = \text{add-mset } x' \ X''$   
**using** *add(4)* *False*  
**by** (*metis* *add-eq-conv-diff*)  
**have**  $X' = \text{add-mset } x \ X''$  **using** *add(4)* **by** (*auto simp: add-eq-conv-ex*)  
**with** *add(2)* **obtain**  $Y'' \ y$  **where**  $Y' = \text{add-mset } y \ Y''$   $(x, y) \in ns$   $(X'', Y'')$   
 $\in \text{multpw } ns$   
**by** (*auto intro: add(3)*)  
**then show** *?thesis* **using** *add(1)* *add(5)*[*of*  $y \ \text{add-mset } y' \ Y''$ ]  
**by** (*auto simp: ac-simps intro: multpw.intros*)  
**qed** *auto*  
**qed** *auto*

**lemma** *multpw-splitR*:

**assumes**  $(X1 + X2, Y) \in \text{multpw } ns$   
**obtains**  $Y1 \ Y2$  **where**  $Y = Y1 + Y2$  **and**  $(X1, Y1) \in \text{multpw } ns$  **and**  $(X2, Y2) \in \text{multpw } ns$   
**using** *assms*  
**proof** (*induct*  $X2$  *arbitrary: Y thesis*)  
**case** (*add*  $x2 \ X2$ )  
**from** *add(3)* **obtain**  $Y' \ y2$  **where**  $(X1 + X2, Y') \in \text{multpw } ns$   $(x2, y2) \in ns$   $Y = \text{add-mset } y2 \ Y'$   
**by** (*auto elim: multpw-split1R simp: union-assoc[symmetric]*)  
**moreover then obtain**  $Y1 \ Y2$  **where**  $(X1, Y1) \in \text{multpw } ns$   $(X2, Y2) \in \text{multpw } ns$   $Y' = Y1 + Y2$   
**by** (*auto elim: add(1)[rotated]*)  
**ultimately show** *?case* **by** (*intro* *add(2)*) (*auto simp: union-assoc intro: multpw.intros*)

**qed** *auto*

**lemma** *multpw-split1L*:

**assumes**  $(X, \text{add-mset } y \ Y) \in \text{multpw } ns$

**obtains**  $z \ Z$  **where**  $X = \text{add-mset } z \ Z$  **and**  $(z, y) \in ns$  **and**  $(Z, Y) \in \text{multpw } ns$

**using** *assms multpw-split1R[of y Y X ns<sup>-1</sup> thesis]* **by** (*auto simp: multpw-converse*)

**lemma** *multpw-splitL*:

**assumes**  $(X, Y1 + Y2) \in \text{multpw } ns$

**obtains**  $X1 \ X2$  **where**  $X = X1 + X2$  **and**  $(X1, Y1) \in \text{multpw } ns$  **and**  $(X2, Y2)$

$\in \text{multpw } ns$

**using** *assms multpw-splitR[of Y1 Y2 X ns<sup>-1</sup> thesis]* **by** (*auto simp: multpw-converse*)

**lemma** *locally-trans-multpw*:

**assumes** *locally-trans ns S T U*

**and**  $(S, T) \in \text{multpw } ns$

**and**  $(T, U) \in \text{multpw } ns$

**shows**  $(S, U) \in \text{multpw } ns$

**using** *assms(2,3,1)*

**proof** (*induct S T arbitrary: U rule: multpw.induct*)

**case** (*add x y X Y*)

**then show** *?case unfolding locally-trans-def*

**by** (*auto 0 3 intro: multpw.intros elim: multpw-split1R*)

**qed** *blast*

**lemma** *trans-multpw*:

**assumes** *trans ns shows trans (multpw ns)*

**using** *locally-trans-multpw unfolding locally-trans-def trans-def*

**by** (*meson assms locally-trans-multpw tr-ltr*)

**lemma** *multpw-add*:

**assumes**  $(X1, Y1) \in \text{multpw } ns$   $(X2, Y2) \in \text{multpw } ns$  **shows**  $(X1 + X2, Y1 + Y2) \in \text{multpw } ns$

**using** *assms(2,1)*

**by** (*induct X2 Y2 rule: multpw.induct (auto intro: multpw.intros simp: add.assoc[symmetric])*)

**lemma** *multpw-single*:

$(x, y) \in ns \implies (\{x\}, \{y\}) \in \text{multpw } ns$

**using** *multpw.intros(2)[OF - multpw.intros(1)]* .

**lemma** *multpw-mult1-commute*:

**assumes** *compat: s O ns  $\subseteq$  s and reflns: refl ns*

**shows**  $\text{mult1 } s \ O \ \text{multpw } ns \subseteq \text{multpw } ns \ O \ \text{mult1 } s$

**proof** –

**{ fix**  $X \ Y \ Z$  **assume**  $1: (X, Y) \in \text{mult1 } s$   $(Y, Z) \in \text{multpw } ns$

**then obtain**  $X' \ Y' \ y$  **where**  $2: X = Y' + X' \ Y = \text{add-mset } y \ Y' \ \forall x. x \in \# X' \implies (x, y) \in s$

**by** (*auto simp: mult1-def*)

**moreover obtain**  $Z' \ z$  **where**  $3: Z = \text{add-mset } z \ Z' \ (y, z) \in ns \ (Y', Z') \in$

*multpw ns*  
**using** 1(2) 2(2) **by** (*auto elim: multpw-split1R*)  
**moreover have**  $\forall x. x \in \# X' \longrightarrow (x, z) \in s$  **using** 2(3) 3(2) *compat* **by** *blast*  
**ultimately have**  $\exists Y'. (X, Y') \in \text{multpw } ns \wedge (Y', Z) \in \text{mult1 } s$  **unfolding**  
*mult1-def*  
**using** *refl-multpw[OF reflns]*  
**by** (*intro exI[of - Z' + X']*) (*auto intro: multpw-add simp: refl-on-def*)  
**}**  
**then show** *?thesis* **by** *fast*  
**qed**

**lemma** *multpw-mult-commute*:  
**assumes**  $s \ O \ ns \subseteq s \ \text{refl } ns$  **shows**  $\text{mult } s \ O \ \text{multpw } ns \subseteq \text{multpw } ns \ O \ \text{mult } s$   
**proof** –  
**{** **fix**  $X \ Y \ Z$  **assume** 1:  $(X, Y) \in \text{mult } s \ (Y, Z) \in \text{multpw } ns$   
**then have**  $\exists Y'. (X, Y') \in \text{multpw } ns \wedge (Y', Z) \in \text{mult } s$  **unfolding** *mult-def*  
**using** *multpw-mult1-commute[OF assms]* **by** (*induct rule: converse-trancl-induct*)  
(*auto 0 3*)  
**}**  
**then show** *?thesis* **by** *fast*  
**qed**

**lemma** *wf-mult-rel-multpw*:  
**assumes**  $wf \ s \ s \ O \ ns \subseteq s \ \text{refl } ns$  **shows**  $wf \ ((\text{multpw } ns)^* \ O \ \text{mult } s \ O \ (\text{multpw } ns)^*)$   
**using** *assms(1) multpw-mult-commute[OF assms(2,3)]* **by** (*subst qc-wf-relto-iff*)  
(*auto simp: wf-mult*)

**lemma** *multpw-cancel1*:  
**assumes**  $\text{trans } ns \ (y, x) \in ns$   
**shows**  $(\text{add-mset } x \ X, \text{add-mset } y \ Y) \in \text{multpw } ns \implies (X, Y) \in \text{multpw } ns$  (**is**  
*?L  $\implies$  ?R*)  
**proof** –  
**assume** *?L* **then obtain**  $x' \ X'$  **where**  $X: (x', y) \in ns \ \text{add-mset } x' \ X' = \text{add-mset } x \ X$   
 $(X', Y) \in \text{multpw } ns$   
**by** (*auto elim: multpw-split1L simp: union-assoc[symmetric]*)  
**then show** *?R*  
**proof** (*cases x = x'*)  
**case** *False* **then obtain**  $X2$  **where**  $X2: X' = \text{add-mset } x \ X2 \ X = \text{add-mset } x' \ X2$   
**using**  $X(2)$  **by** (*auto simp: add-eq-conv-ex*)  
**then obtain**  $y' \ Y'$  **where**  $Y: (x, y') \in ns \ Y = \text{add-mset } y' \ Y' \ (X2, Y') \in$   
*multpw ns*  
**using**  $X(3)$  **by** (*auto elim: multpw-split1R*)  
**have**  $(x', y') \in ns$  **using**  $X(1) \ Y(1) \ \langle \text{trans } ns \rangle \ \text{assms}(2)$  **by** (*metis trans-def*)  
**then show** *?thesis* **using**  $Y$  **by** (*auto intro: multpw.intros simp: X2*)  
**qed** *auto*  
**qed**



**lemma** *multpw-cancel*:  
**assumes** *refl ns trans ns*  
**shows**  $(X + Z, Y + Z) \in \text{multpw } ns \iff (X, Y) \in \text{multpw } ns$  (**is**  $?L \iff ?R$ )  
**proof**  
**assume**  $?L$  **then show**  $?R$   
**proof** (*induct Z*)  
**case** (*add z Z*) **then show**  $?case$  **using** *multpw-cancel1*[*of ns z z X + Z Y + Z*] *assms*  
**by** (*auto simp: refl-on-def union-assoc*)  
**qed** *auto*  
**next**  
**assume**  $?R$  **then show**  $?L$  **using** *assms refl-multpw* **by** (*auto intro: multpw-add simp: refl-on-def*)  
**qed**

**lemma** *multpw-cancelL*:  
**assumes** *refl ns trans ns* **shows**  $(Z + X, Z + Y) \in \text{multpw } ns \iff (X, Y) \in \text{multpw } ns$   
**using** *multpw-cancel*[*OF assms, of X Z Y*] **by** (*simp only: union-commute*)

### 3.2 Multiset extension for order pairs via the pointwise order and *mult*

**definition** *mult2-s ns s*  $\equiv \text{multpw } ns \ O \ \text{mult } s$   
**definition** *mult2-ns ns s*  $\equiv \text{multpw } ns \ O \ (\text{mult } s)^\#$

**lemma** *mult2-ns-conv*:  
**shows**  $\text{mult2-ns } ns \ s = \text{mult2-s } ns \ s \cup \text{multpw } ns$   
**by** (*auto simp: mult2-s-def mult2-ns-def*)

**lemma** *mono-mult2-s*:  
**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $\text{mult2-s } ns \ s \subseteq \text{mult2-s } ns' \ s'$   
**using** *mono-multpw*[*OF assms(1)*] *mono-mult*[*OF assms(2)*] **unfolding** *mult2-s-def*  
**by** *auto*

**lemma** *mono-mult2-ns*:  
**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $\text{mult2-ns } ns \ s \subseteq \text{mult2-ns } ns' \ s'$   
**using** *mono-multpw*[*OF assms(1)*] *mono-mult*[*OF assms(2)*] **unfolding** *mult2-ns-def*  
**by** *auto*

**lemma** *wf-mult2-s*:  
**assumes**  $wf \ s \ s \ O \ ns \subseteq s \ \text{refl } ns$   
**shows**  $wf \ (\text{mult2-s } ns \ s)$   
**using** *wf-mult-rel-multpw*[*OF assms*] *assms* **by** (*auto simp: mult2-s-def wf-mult intro: wf-subset*)

**lemma** *refl-mult2-ns*:  
**assumes** *refl ns* **shows**  $\text{refl} \ (\text{mult2-ns } ns \ s)$   
**using** *refl-multpw*[*OF assms*] **unfolding** *mult2-ns-def refl-on-def* **by** *fast*

**lemma** *trans-mult2-s*:  
**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $trans \ (mult2-s \ ns \ s)$   
**using**  $trans-multpw[OF \ assms(3)] \ trans-trancl[of \ mult1 \ s, \ folded \ mult-def] \ multpw-mult-commute[OF \ assms(1,2)]$   
**unfolding**  $mult2-s-def \ trans-O-iff$  **by**  $(blast \ 8)$

**lemma** *trans-mult2-ns*:  
**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $trans \ (mult2-ns \ ns \ s)$   
**using**  $trans-multpw[OF \ assms(3)] \ trans-trancl[of \ mult1 \ s, \ folded \ mult-def] \ multpw-mult-commute[OF \ assms(1,2)]$   
**unfolding**  $mult2-ns-def \ trans-O-iff$  **by**  $(blast \ 8)$

**lemma** *compat-mult2*:  
**assumes**  $s \ O \ ns \subseteq s \ refl \ ns \ trans \ ns$   
**shows**  $mult2-ns \ ns \ s \ O \ mult2-s \ ns \ s \subseteq mult2-s \ ns \ s \ mult2-s \ ns \ s \ O \ mult2-ns \ ns$   
 $s \subseteq mult2-s \ ns \ s$   
**using**  $trans-multpw[OF \ assms(3)] \ trans-trancl[of \ mult1 \ s, \ folded \ mult-def] \ multpw-mult-commute[OF \ assms(1,2)]$   
**unfolding**  $mult2-s-def \ mult2-ns-def \ trans-O-iff$  **by**  $(blast \ 8)+$

Trivial inclusions

**lemma** *mult-implies-mult2-s*:  
**assumes**  $refl \ ns \ (X, \ Y) \in \ mult \ s$   
**shows**  $(X, \ Y) \in \ mult2-s \ ns \ s$   
**using**  $refl-multpw[of \ ns] \ assms$  **unfolding**  $mult2-s-def \ refl-on-def$  **by**  $blast$

**lemma** *mult-implies-mult2-ns*:  
**assumes**  $refl \ ns \ (X, \ Y) \in \ (mult \ s)^=$   
**shows**  $(X, \ Y) \in \ mult2-ns \ ns \ s$   
**using**  $refl-multpw[of \ ns] \ assms$  **unfolding**  $mult2-ns-def \ refl-on-def$  **by**  $blast$

**lemma** *multpw-implies-mult2-ns*:  
**assumes**  $(X, \ Y) \in \ multpw \ ns$   
**shows**  $(X, \ Y) \in \ mult2-ns \ ns \ s$   
**unfolding**  $mult2-ns-def$  **using**  $assms$  **by**  $simp$

### 3.3 One-step versions of the multiset extensions

**lemma** *mult2-s-one-step*:  
**assumes**  $ns \ O \ s \subseteq s \ refl \ ns \ trans \ s$   
**shows**  $(X, \ Y) \in \ mult2-s \ ns \ s \longleftrightarrow (\exists \ X1 \ X2 \ Y1 \ Y2. \ X = X1 + X2 \wedge \ Y = Y1$   
 $+ \ Y2 \wedge$   
 $(X1, \ Y1) \in \ multpw \ ns \wedge \ Y2 \neq \{\#\} \wedge (\forall x. \ x \in \# \ X2 \longrightarrow (\exists y. \ y \in \# \ Y2 \wedge (x,$   
 $y) \in s)))$  **(is ?L  $\longleftrightarrow$  ?R)**

**proof**

**assume**  $?R$  **then obtain**  $X1 \ X2 \ Y1 \ Y2$  **where**  $*$ :  $X = X1 + X2 \ Y = Y1 + Y2$   
 $(X1, \ Y1) \in \ multpw \ ns$  **and**

$Y2 \neq \{\#\} \forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$  **by** *blast*  
**then have**  $(Y1 + X2, Y1 + Y2) \in \text{mult } s$   
**using**  $\langle \text{trans } s \rangle$  **by** *(auto intro: one-step-implies-mult)*  
**moreover have**  $(X1 + X2, Y1 + X2) \in \text{multpw } ns$   
**using**  $\langle \text{refl } ns \rangle$  *refl-multpw[of ns]* **by** *(auto intro: multpw-add simp: refl-on-def \*)*  
**ultimately show**  $?L$  **by** *(auto simp: mult2-s-def \*)*  
**next**  
**assume**  $?L$  **then obtain**  $X1 X2 Z1 Z2 Y2$  **where**  $*$ :  $X = X1 + X2$   $Y = Z1 + Y2$   
 $(X1, Z1) \in \text{multpw } ns$   
 $(X2, Z2) \in \text{multpw } ns$   $Y2 \neq \{\#\} \forall x. x \in\# Z2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$   
**by** *(auto 0 3 dest!: mult-implies-one-step[OF  $\langle \text{trans } s \rangle$ ] simp: mult2-s-def elim!: multpw-splitL) metis*  
**have**  $\forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$   
**proof** *(intro allI impI)*  
**fix**  $x$  **assume**  $x \in\# X2$   
**then obtain**  $X2'$  **where**  $X2 = \text{add-mset } x X2'$  **by** *(metis multi-member-split)*  
**then obtain**  $z Z2'$  **where**  $Z2 = \text{add-mset } z Z2'$   $(x, z) \in ns$  **using**  $*(4)$  **by**  
*(auto elim: multpw-split1R)*  
**then have**  $z \in\# Z2$   $(x, z) \in ns$  **by** *auto*  
**then show**  $\exists y. y \in\# Y2 \wedge (x, y) \in s$  **using**  $*(6)$   $\langle ns \ O \ s \subseteq s \rangle$  **by** *blast*  
**qed**  
**then show**  $?R$  **using**  $*$  **by** *auto*  
**qed**

**lemma** *mult2-ns-one-step:*

**assumes**  $ns \ O \ s \subseteq s$  *refl ns trans s*  
**shows**  $(X, Y) \in \text{mult2-ns } ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw } ns \wedge (\forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)))$  **(is**  
 $?L \longleftrightarrow ?R)$

**proof**

**assume**  $?L$  **then consider**  $(X, Y) \in \text{multpw } ns \mid (X, Y) \in \text{mult2-s } ns \ s$   
**by** *(auto simp: mult2-s-def mult2-ns-def)*  
**then show**  $?R$  **using** *mult2-s-one-step[OF assms]*  
**by** *(cases, intro exI[of -  $\{\#\}$ ], THEN exI[of -  $Y$ ], THEN exI[of -  $\{\#\}$ ], THEN exI[of -  $X$ ]]) auto*  
**next**  
**assume**  $?R$  **then obtain**  $X1 X2 Y1 Y2$  **where**  $X = X1 + X2$   $Y = Y1 + Y2$   
 $(X1, Y1) \in \text{multpw } ns$   $\forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$  **by** *blast*  
**then show**  $?L$  **using** *mult2-s-one-step[OF assms, of X Y] count-inject[of X2  $\{\#\}$ ]*  
**by** *(cases Y2 =  $\{\#\}$ ) (auto simp: mult2-s-def mult2-ns-def)*  
**qed**

**lemma** *mult2-s-locally-one-step':*

**assumes**  $ns \ O \ s \subseteq s$  *refl ns locally-irrefl s X locally-irrefl s Y trans s*  
**shows**  $(X, Y) \in \text{mult2-s } ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1$

+  $Y2 \wedge$   
 $(X1, Y1) \in \text{multpw } ns \wedge (X2, Y2) \in \text{mult } s$  (**is**  $?L \longleftrightarrow ?R$ )  
**proof**  
**assume**  $?L$  **then show**  $?R$  **unfolding**  $\text{mult2-s-one-step}[OF \text{ assms}(1,2,5)]$   
**using**  $\text{one-step-implies-mult}[of - - s \{\#\}]$  **by**  $\text{auto metis}$   
**next**  
**assume**  $?R$  **then obtain**  $X1 X2 Y1 Y2$  **where**  $x: X = X1 + X2$  **and**  $y: Y = Y1 + Y2$  **and**  
 $ns: (X1, Y1) \in \text{multpw } ns$  **and**  $s: (X2, Y2) \in \text{mult } s$  **by**  $\text{blast}$   
**then have**  $l: \text{locally-irrefl } s (X2 + Y1)$  **and**  $r: \text{locally-irrefl } s (Y2 + Y1)$   
**using**  $\text{assms}(3, 4)$  **by**  $(\text{auto simp add: locally-irrefl-def})$   
**show**  $?L$  **using**  $ns$   $s$   $\text{mult-locally-cancelL}[OF \text{ assms}(5) l r]$   $\text{multpw-add}[OF ns, of X2 X2]$   $\text{refl-multpw}[OF \langle \text{refl } ns \rangle]$   
**unfolding**  $\text{mult2-s-def refl-on-def } x y$  **by**  $\text{auto}$   
**qed**

**lemma**  $\text{mult2-s-one-step}'$ :  
**assumes**  $ns \ O \ s \subseteq s \ \text{refl } ns \ \text{irrefl } s \ \text{trans } s$   
**shows**  $(X, Y) \in \text{mult2-s } ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw } ns \wedge (X2, Y2) \in \text{mult } s)$  (**is**  $?L \longleftrightarrow ?R$ )  
**using**  $\text{assms } \text{mult2-s-locally-one-step}'$  **by**  $(\text{simp add: mult2-s-locally-one-step}' \ \text{irrefl-def locally-irrefl-def})$

**lemma**  $\text{mult2-ns-one-step}'$ :  
**assumes**  $ns \ O \ s \subseteq s \ \text{refl } ns \ \text{irrefl } s \ \text{trans } s$   
**shows**  $(X, Y) \in \text{mult2-ns } ns \ s \longleftrightarrow (\exists X1 X2 Y1 Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$   
 $(X1, Y1) \in \text{multpw } ns \wedge (X2, Y2) \in (\text{mult } s)^=)$  (**is**  $?L \longleftrightarrow ?R$ )  
**proof** –  
**have**  $(X, Y) \in \text{multpw } ns \implies ?R$   
**by**  $(\text{intro } \text{exI}[of - \{\#\}], \text{THEN } \text{exI}[of - Y], \text{THEN } \text{exI}[of - \{\#\}], \text{THEN } \text{exI}[of - X]]]) \ \text{auto}$   
**moreover have**  $X = X1 + Y2 \wedge Y = Y1 + Y2 \wedge (X1, Y1) \in \text{multpw } ns \implies ?L$  **for**  $X1 Y1 Y2$   
**using**  $\text{multpw-add}[of X1 Y1 ns Y2 Y2]$   $\text{refl-multpw}[OF \langle \text{refl } ns \rangle]$  **by**  $(\text{auto simp: mult2-ns-def refl-on-def})$   
**ultimately show**  $?thesis$  **using**  $\text{mult2-s-one-step}'[OF \text{ assms}]$  **unfolding**  $\text{mult2-ns-conv}$  **by**  $\text{auto blast}$   
**qed**

### 3.4 Cancellation

**lemma**  $\text{mult2-s-locally-cancel1}$ :  
**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ \text{refl } ns \ \text{trans } ns \ \text{locally-irrefl } s \ (\text{add-mset } z \ X)$   
 $\text{locally-irrefl } s \ (\text{add-mset } z \ Y) \ \text{trans } s$   
 $(\text{add-mset } z \ X, \text{add-mset } z \ Y) \in \text{mult2-s } ns \ s$   
**shows**  $(X, Y) \in \text{mult2-s } ns \ s$   
**proof** –

**obtain**  $X1\ X2\ Y1\ Y2$  **where**  $*$ :  $add\text{-}mset\ z\ X = X1 + X2$   $add\text{-}mset\ z\ Y = Y1 + Y2$  ( $X1, Y1 \in multpw\ ns$ )  
 $(X2, Y2) \in mult\ s$  **using**  $assms(8)$  **unfolding**  $mult2\text{-}s\text{-}locally\text{-}one\text{-}step'$  [ $OF\ assms(2,3,5,6,7)$ ] **by**  $blast$   
**from**  $union\text{-}single\text{-}eq\text{-}member$  [ $OF\ this(1)$ ]  $union\text{-}single\text{-}eq\text{-}member$  [ $OF\ this(2)$ ]  $multi\text{-}member\text{-}split$   
**consider**  $X1'$  **where**  $X1 = add\text{-}mset\ z\ X1' \mid Y1'$  **where**  $Y1 = add\text{-}mset\ z\ Y1' \mid X2'\ Y2'$  **where**  $X2 = add\text{-}mset\ z\ X2'\ Y2 = add\text{-}mset\ z\ Y2'$   
**unfolding**  $set\text{-}mset\text{-}union$   $Un\text{-}iff$  **by**  $metis$   
**then show**  $?thesis$   
**proof** ( $cases$ )  
**case 1** **then obtain**  $Y1'\ z'$  **where**  $**$ :  $(X1', Y1') \in multpw\ ns$   $Y1 = add\text{-}mset\ z'\ Y1'$  ( $z, z' \in ns$ )  
**using**  $*$  **by** ( $auto\ elim: multpw\text{-}split1R$ )  
**then have**  $(X, Y1' + Y2) \in mult2\text{-}s\ ns\ s$  **using**  $*$   $1$   
**by**  $auto$  ( $metis\ add\text{-}mset\text{-}add\text{-}single\ assms(2 - 7)\ li\text{-}trans\text{-}l\ mult2\text{-}s\text{-}locally\text{-}one\text{-}step'$ )  
  
**moreover**  
**have**  $(Y1' + Y2, Y) \in multpw\ ns$   
**using**  $refl\text{-}multpw$  [ $OF\ \langle refl\ ns \rangle$ ]  $**\ multpw\text{-}cancel1$  [ $OF\ \langle trans\ ns \rangle ** (3)$ ], of  $Y1' + Y2\ Y$   
**by** ( $auto\ simp: refl\text{-}on\text{-}def$ )  
**ultimately show**  $?thesis$  **using**  $compat\text{-}mult2$  [ $OF\ assms(1,3,4)$ ] **unfolding**  $mult2\text{-}ns\text{-}conv$  **by**  $blast$   
**next**  
**case 2** **then obtain**  $X1'\ z'$  **where**  $**$ :  $(X1', Y1') \in multpw\ ns$   $X1 = add\text{-}mset\ z'\ X1'$  ( $z', z \in ns$ )  
**using**  $*$  **by** ( $auto\ elim: multpw\text{-}split1L$ )  
**then have**  $(X1' + X2, Y) \in mult2\text{-}s\ ns\ s$  **using**  $*$   $2$   
**by**  $auto$  ( $metis\ add\text{-}mset\text{-}add\text{-}single\ assms(2 - 7)\ li\text{-}trans\text{-}l\ mult2\text{-}s\text{-}locally\text{-}one\text{-}step'$ )  
**moreover**  
**have**  $(X, X1' + X2) \in multpw\ ns$   
**using**  $refl\text{-}multpw$  [ $OF\ \langle refl\ ns \rangle$ ]  $**\ multpw\text{-}cancel1$  [ $OF\ \langle trans\ ns \rangle ** (3)$ ], of  $X\ X1' + X2$   
**by** ( $auto\ simp: refl\text{-}on\text{-}def$ )  
**ultimately show**  $?thesis$  **using**  $compat\text{-}mult2$  [ $OF\ assms(1,3,4)$ ] **unfolding**  $mult2\text{-}ns\text{-}conv$  **by**  $blast$   
**next**  
**case 3** **then show**  $?thesis$  **using**  $assms\ *$   
**by** ( $auto\ simp: mult2\text{-}s\text{-}locally\text{-}one\text{-}step'\ union\text{-}commute$  [ $of\ \{\#\text{-}\#\}$ ]  $union\text{-}assoc$  [ $symmetric$ ]  $mult\text{-}cancel\ mult\text{-}cancel\text{-}add\text{-}mset$ )  
 $(metis\ *(1)\ *(2)\ add\text{-}mset\text{-}add\text{-}single\ li\text{-}trans\text{-}l\ li\text{-}trans\text{-}r\ mult2\text{-}s\text{-}locally\text{-}one\text{-}step'\ mult\text{-}locally\text{-}cancel)$   
**qed**  
**qed**

**lemma**  $mult2\text{-}s\text{-}cancel1$ :

**assumes**  $s\ O\ ns \subseteq s\ ns\ O\ s \subseteq s\ refl\ ns\ trans\ ns\ irrefl\ s\ trans\ s$  ( $add\text{-}mset\ z\ X, add\text{-}mset\ z\ Y \in mult2\text{-}s\ ns\ s$ )

**shows**  $(X, Y) \in \text{mult2-s ns s}$   
**using** *assms mult2-s-locally-cancel1* **by** (*metis irrefl-def locally-irrefl-def*)

**lemma** *mult2-s-locally-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ ns \ \text{locally-irrefl} \ s \ (X + Z) \ \text{locally-irrefl} \ s \ (Y + Z) \ \text{trans} \ s$

**shows**  $(X + Z, Y + Z) \in \text{mult2-s ns s} \implies (X, Y) \in \text{mult2-s ns s}$

**using** *assms(5, 6)*

**proof** (*induct Z*)

**case** (*add z Z*) **then show** *?case*

**using** *mult2-s-locally-cancel1[OF assms(1-4), of z X + Z Y + Z]*

**by** *auto (metis add-mset-add-single assms(7) li-trans-l)*

**qed** *auto*

**lemma** *mult2-s-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ ns \ \text{irrefl} \ s \ \text{trans} \ s$

**shows**  $(X + Z, Y + Z) \in \text{mult2-s ns s} \implies (X, Y) \in \text{mult2-s ns s}$

**using** *mult2-s-locally-cancel assms* **by** (*metis irrefl-def locally-irrefl-def*)

**lemma** *mult2-ns-cancel*:

**assumes**  $s \ O \ ns \subseteq s \ ns \ O \ s \subseteq s \ \text{refl} \ ns \ \text{trans} \ s \ \text{irrefl} \ s \ \text{trans} \ ns$

**shows**  $(X + Z, Y + Z) \in \text{mult2-s ns s} \implies (X, Y) \in \text{mult2-ns ns s}$

**unfolding** *mult2-ns-conv* **using** *assms mult2-s-cancel multpw-cancel* **by** *blast*

### 3.5 Implementation friendly versions of *mult2-s* and *mult2-ns*

**definition** *mult2-alt*  $:: \text{bool} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \ \text{rel} \Rightarrow 'a \ \text{multiset} \ \text{rel}$  **where**

$\text{mult2-alt} \ b \ ns \ s = \{(X, Y). (\exists X1 \ X2 \ Y1 \ Y2. X = X1 + X2 \wedge Y = Y1 + Y2 \wedge (X1, Y1) \in \text{multpw} \ ns \wedge (b \vee Y2 \neq \{\#\}) \wedge (\forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)))\}$

**lemma** *mult2-altI*:

**assumes**  $X = X1 + X2 \ Y = Y1 + Y2 \ (X1, Y1) \in \text{multpw} \ ns$

$b \vee Y2 \neq \{\#\} \ \forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)$

**shows**  $(X, Y) \in \text{mult2-alt} \ b \ ns \ s$

**using** *assms unfolding mult2-alt-def* **by** *blast*

**lemma** *mult2-altE*:

**assumes**  $(X, Y) \in \text{mult2-alt} \ b \ ns \ s$

**obtains**  $X1 \ X2 \ Y1 \ Y2$  **where**  $X = X1 + X2 \ Y = Y1 + Y2 \ (X1, Y1) \in \text{multpw} \ ns$

$b \vee Y2 \neq \{\#\} \ \forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)$

**using** *assms unfolding mult2-alt-def* **by** *blast*

**lemma** *mono-mult2-alt*:

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $\text{mult2-alt} \ b \ ns \ s \subseteq \text{mult2-alt} \ b \ ns' \ s'$

**unfolding** *mult2-alt-def* **using** *mono-multpw[OF assms(1)] assms* **by** (*blast 19*)

**abbreviation** *mult2-alt-s*  $\equiv \text{mult2-alt} \ \text{False}$

**abbreviation**  $mult2\text{-alt}\text{-}ns \equiv mult2\text{-alt } True$

**lemmas**  $mult2\text{-alt}\text{-}s\text{-}def = mult2\text{-alt}\text{-}def[\mathbf{where } b = False, \text{ unfolded simp-thms}]$   
**lemmas**  $mult2\text{-alt}\text{-}ns\text{-}def = mult2\text{-alt}\text{-}def[\mathbf{where } b = True, \text{ unfolded simp-thms}]$   
**lemmas**  $mult2\text{-alt}\text{-}sI = mult2\text{-alt}I[\mathbf{where } b = False, \text{ unfolded simp-thms}]$   
**lemmas**  $mult2\text{-alt}\text{-}nsI = mult2\text{-alt}I[\mathbf{where } b = True, \text{ unfolded simp-thms } True\text{-implies-equals}]$   
**lemmas**  $mult2\text{-alt}\text{-}sE = mult2\text{-alt}E[\mathbf{where } b = False, \text{ unfolded simp-thms}]$   
**lemmas**  $mult2\text{-alt}\text{-}nsE = mult2\text{-alt}E[\mathbf{where } b = True, \text{ unfolded simp-thms } True\text{-implies-equals}]$

**Equivalence to  $mult2\text{-}s$  and  $mult2\text{-}ns$**  **lemma**  $mult2\text{-}s\text{-}eq\text{-}mult2\text{-}s\text{-}alt$ :

**assumes**  $ns \ O \ s \subseteq s \ refl \ ns \ trans \ s$   
**shows**  $mult2\text{-alt}\text{-}s \ ns \ s = mult2\text{-}s \ ns \ s$   
**using**  $mult2\text{-}s\text{-}one\text{-}step[OF \ assms]$  **unfolding**  $mult2\text{-alt}\text{-}s\text{-}def$  **by**  $blast$

**lemma**  $mult2\text{-}ns\text{-}eq\text{-}mult2\text{-}ns\text{-}alt$ :

**assumes**  $ns \ O \ s \subseteq s \ refl \ ns \ trans \ s$   
**shows**  $mult2\text{-alt}\text{-}ns \ ns \ s = mult2\text{-}ns \ ns \ s$   
**using**  $mult2\text{-}ns\text{-}one\text{-}step[OF \ assms]$  **unfolding**  $mult2\text{-alt}\text{-}ns\text{-}def$  **by**  $blast$

**lemma**  $mult2\text{-alt}\text{-}local$ :

**assumes**  $(X, Y) \in mult2\text{-alt } b \ ns \ s$   
**shows**  $(X, Y) \in mult2\text{-alt } b \ (ns \cap \text{set}\text{-}mset \ X \times \text{set}\text{-}mset \ Y) \ (s \cap \text{set}\text{-}mset \ X \times \text{set}\text{-}mset \ Y)$

**proof** –

**from**  $assms$  **obtain**  $X1 \ X2 \ Y1 \ Y2$  **where**  $*$ :  $X = X1 + X2 \ Y = Y1 + Y2$  **and**  
 $(X1, Y1) \in \text{multpw } ns \ (b \vee Y2 \neq \{\#\}) \ (\forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s))$

**unfolding**  $mult2\text{-alt}\text{-}def$  **by**  $blast$

**then have**  $X = X1 + X2 \wedge Y = Y1 + Y2 \wedge$

$(X1, Y1) \in \text{multpw } (ns \cap \text{set}\text{-}mset \ X \times \text{set}\text{-}mset \ Y) \wedge (b \vee Y2 \neq \{\#\}) \wedge$

$(\forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s \cap \text{set}\text{-}mset \ X \times \text{set}\text{-}mset \ Y))$

**using**  $\text{multpw}\text{-}local[of \ X1 \ Y1 \ ns]$

$\text{mono}\text{-}\text{multpw}[of \ ns \cap \text{set}\text{-}mset \ X1 \times \text{set}\text{-}mset \ Y1 \ ns \cap \text{set}\text{-}mset \ X \times \text{set}\text{-}mset \ Y] \ assms$

**unfolding**  $*$   $\text{set}\text{-}mset\text{-}union$  **unfolding**  $\text{set}\text{-}mset\text{-}def$  **by**  $blast$

**then show**  $?thesis$  **unfolding**  $mult2\text{-alt}\text{-}def$  **by**  $blast$

**qed**

### 3.6 Local well-foundedness: restriction to downward closure of a set

**definition**  $wf\text{-}below :: 'a \ rel \Rightarrow 'a \ set \Rightarrow bool$  **where**

$wf\text{-}below \ r \ A = wf \ (\text{Restr } r \ ((r^*)^{-1} \text{ `` } A))$

**lemma**  $wf\text{-}below\text{-}UNIV[simp]$ :

**shows**  $wf\text{-}below \ r \ UNIV \longleftrightarrow wf \ r$

**by**  $(\text{auto } simp: wf\text{-}below\text{-}def \ rtrancl\text{-}converse[symmetric] \ \text{Image}\text{-}closed\text{-}tranc1[OF \ subset\text{-}UNIV])$

**lemma** *wf-below-mono1*:  
**assumes**  $r \subseteq r'$  *wf-below*  $r' A$  **shows** *wf-below*  $r A$   
**using** *assms unfolding wf-below-def*  
**by** (*intro wf-subset[OF assms(2)[unfolded wf-below-def]] Int-mono Sigma-mono Image-mono*  
*iffD2[OF converse-mono] rtrancl-mono subset-refl*)

**lemma** *wf-below-mono2*:  
**assumes**  $A \subseteq A'$  *wf-below*  $r A'$  **shows** *wf-below*  $r A$   
**using** *assms unfolding wf-below-def*  
**by** (*intro wf-subset[OF assms(2)[unfolded wf-below-def]] blast*)

**lemma** *wf-below-pointwise*:  
*wf-below*  $r A \iff (\forall a. a \in A \longrightarrow \text{wf-below } r \{a\})$  (**is**  $?L \iff ?R$ )

**proof**

**assume**  $?L$  **then show**  $?R$  **using** *wf-below-mono2[of {-} A r]* **by** *blast*

**next**

**have**  $*$ :  $(r^*)^{-1} \text{ `` } A = \bigcup \{(r^*)^{-1} \text{ `` } \{a\} \mid a. a \in A\}$  **unfolding** *Image-def* **by** *blast*  
**assume**  $?R$

**{ fix**  $x \in X$  **assume**  $*$ :  $X \subseteq \text{Restr } r ((r^*)^{-1} \text{ `` } A) \text{ `` } X$   $x \in X$

**then obtain**  $a$  **where**  $**$ :  $a \in A$   $(x, a) \in r^*$  **unfolding** *Image-def* **by** *blast*

**from**  $*$  **have**  $X \cap ((r^*)^{-1} \text{ `` } \{a\}) \subseteq (\text{Restr } r ((r^*)^{-1} \text{ `` } A) \text{ `` } X) \cap ((r^*)^{-1} \text{ `` } \{a\})$  **by** *auto*

**also have**  $\dots \subseteq \text{Restr } r ((r^*)^{-1} \text{ `` } \{a\}) \text{ `` } (X \cap ((r^*)^{-1} \text{ `` } \{a\}))$  **unfolding** *Image-def* **by** *fastforce*

**finally have**  $X \cap ((r^*)^{-1} \text{ `` } \{a\}) = \{x\}$  **using**  $\langle ?R \rangle ** (1)$  **unfolding** *wf-below-def*

**by** (*intro wfE-pf[of Restr r ((r^\*)^{-1} `` {a})] (auto simp: Image-def)*)

**then have** *False* **using**  $*(2)$   $**$  **unfolding** *Image-def* **by** *blast*

**}**

**then show**  $?L$  **unfolding** *wf-below-def* **by** (*intro wfI-pf*) *auto*

**qed**

**lemma** *SN-on-Image-rtrancl-conv*:

*SN-on*  $r A \iff \text{SN-on } r (r^* \text{ `` } A)$  (**is**  $?L \iff ?R$ )

**proof**

**assume**  $?L$  **then show**  $?R$  **by** (*auto simp: SN-on-Image-rtrancl*)

**next**

**assume**  $?R$  **then show**  $?L$  **by** (*auto simp: SN-on-def*)

**qed**

**lemma** *SN-on-iff-wf-below*:

*SN-on*  $r A \iff \text{wf-below } (r^{-1}) A$

**proof** –

**{ fix**  $f$

**assume**  $f 0 \in r^* \text{ `` } A$  **and**  $**$ :  $(f i, f (\text{Suc } i)) \in r$  **for**  $i$

**then have**  $f i \in r^* \text{ `` } A$  **for**  $i$

**by** (*induct i*) (*auto simp: Image-def,metis UnCI relcomp.relcompI rtrancl-unfold*)

**then have**  $(f i, f (\text{Suc } i)) \in \text{Restr } r (r^* \text{ `` } A)$  **for**  $i$  **using**  $**$  **by** *auto*

**}**



**moreover then have**  $SN\text{-on } r (r^* \text{ `` } A) \longleftrightarrow SN\text{-on } (Restr\ r (r^* \text{ `` } A)) (r^* \text{ `` } A)$   
**unfolding**  $SN\text{-on-def}$  **by**  $auto\ blast$   
**moreover have**  $(\bigwedge i. (f\ i, f\ (Suc\ i)) \in Restr\ r (r^* \text{ `` } A)) \implies f\ 0 \in r^* \text{ `` } A$  **for**  $f$   
**by**  $auto$   
**then have**  $SN\text{-on } (Restr\ r (r^* \text{ `` } A)) (r^* \text{ `` } A) \longleftrightarrow SN\text{-on } (Restr\ r (r^* \text{ `` } A))$   
 $UNIV$   
**unfolding**  $SN\text{-on-def}$  **by**  $auto$   
**ultimately show**  $?thesis$  **unfolding**  $SN\text{-on-Image-rtrancl-conv}$   $[of\ -\ A]$   
**by**  $(simp\ add: wf\ below\ def\ SN\text{-iff}\ wf\ rtrancl\ converse\ converse\ Int\ converse\ Times)$   
**qed**

**lemma**  $restr\ trancl\ under$ :  
**shows**  $Restr\ (r^+) ((r^*)^{-1} \text{ `` } A) = (Restr\ r ((r^*)^{-1} \text{ `` } A))^+$   
**proof**  $(intro\ equalityI\ subrelI, elim\ IntE\ conjE[OF\ iffD1[OF\ mem\ Sigma\ iff]])$   
**fix**  $a\ b$  **assume**  $*$ :  $(a, b) \in r^+ \ b \in (r^*)^{-1} \text{ `` } A$   
**then have**  $(a, b) \in (Restr\ r ((r^*)^{-1} \text{ `` } A))^+ \wedge a \in (r^*)^{-1} \text{ `` } A$   
**proof**  $(induct\ rule: trancl\ trans\ induct[consumes\ 1])$   
**case**  $1$  **then show**  $?case$  **by**  $(auto\ simp: Image\ def\ intro: converse\ rtrancl\ into\ rtrancl)$   
**next**  
**case**  $2$  **then show**  $?case$  **by**  $(auto\ simp\ del: Int\ iff\ del: ImageE)$   
**qed**  
**then show**  $(a, b) \in (Restr\ r ((r^*)^{-1} \text{ `` } A))^+$  **by**  $simp$   
**next**  
**fix**  $a\ b$  **assume**  $(a, b) \in (Restr\ r ((r^*)^{-1} \text{ `` } A))^+$   
**then show**  $(a, b) : Restr\ (r^+) ((r^*)^{-1} \text{ `` } A)$  **by**  $induct\ auto$   
**qed**

**lemma**  $wf\ below\ trancl$ :  
**shows**  $wf\ below\ (r^+) A \longleftrightarrow wf\ below\ r\ A$   
**using**  $restr\ trancl\ under[of\ r\ A]$  **by**  $(simp\ add: wf\ below\ def\ wf\ trancl\ conv)$

**lemma**  $wf\ below\ mult\ local$ :  
**assumes**  $wf\ below\ r (set\ mset\ X)$  **shows**  $wf\ below\ (mult\ r) \{X\}$

**proof**  $-$   
**have**  $foo: mult\ r \subseteq mult\ (r^+)$  **using**  $mono\ mult[of\ r\ r^+]$  **by**  $force$   
**have**  $(Y, X) \in (mult\ (r^+))^* \implies set\ mset\ Y \subseteq ((r^+)^*)^{-1} \text{ `` } set\ mset\ X$  **for**  $Y$   
**proof**  $(induct\ rule: converse\ rtrancl\ induct)$   
**case**  $(step\ Z\ Y)$   
**obtain**  $I\ J\ K$  **where**  $*$ :  $Y = I + J\ Z = I + K (\forall k \in set\ mset\ K. \exists j \in set\ mset\ J. (k, j) \in r^+)$   
**using**  $mult\ implies\ one\ step[OF\ -\ step(1)]$  **by**  $auto$   
**{** **fix**  $k$  **assume**  $k \in \# K$   
**then obtain**  $j$  **where**  $j \in \# J (k, j) \in r^+$  **using**  $*(3)$  **by**  $auto$   
**moreover then obtain**  $x$  **where**  $x \in \# X (j, x) \in r^*$  **using**  $step(3)$  **by**  $(auto\ simp: *)$   
**ultimately have**  $\exists x. x \in \# X \wedge (k, x) \in r^*$  **by**  $auto$   
**}**

```

    then show ?case using * step(3) by (auto simp: Image-def)metis
  qed auto
  then have q:  $(Y, X) \in (\text{mult } (r^+))^* \implies y \in \# Y \implies y \in ((r^+)^*)^{-1}$  “ set-mset
  X for Y y by force
  have Restr  $(\text{mult } (r^+)) (((\text{mult } (r^+))^*)^{-1} \text{ “ } \{X\}) \subseteq \text{mult } (\text{Restr } (r^+)) (((r^+)^*)^{-1}$ 
  “ set-mset X)
  proof (intro subrelI)
    fix N M assume  $(N, M) \in \text{Restr } (\text{mult } (r^+)) (((\text{mult } (r^+))^*)^{-1} \text{ “ } \{X\})$ 
    then have **:  $(N, X) \in (\text{mult } (r^+))^* (M, X) \in (\text{mult } (r^+))^* (N, M) \in \text{mult}$ 
     $(r^+)$  by auto
    obtain I J K where *:  $M = I + J N = I + K J \neq \{\#\} \forall k \in \text{set-mset } K.$ 
     $\exists j \in \text{set-mset } J. (k, j) \in r^+$ 
    using mult-implies-one-step[OF -  $\langle (N, M) \in \text{mult } (r^+) \rangle$ ] by auto
    then show  $(N, M) \in \text{mult } (\text{Restr } (r^+)) (((r^+)^*)^{-1} \text{ “ } \text{set-mset } X)$ 
    using q[OF ** (1)] q[OF ** (2)] unfolding * by (auto intro: one-step-implies-mult)
  qed
  note bar = subset-trans[OF Int-mono[OF foo Sigma-mono] this]
  have  $((\text{mult } r^+)^*)^{-1} \text{ “ } \{X\} \subseteq ((\text{mult } (r^+))^*)^{-1} \text{ “ } \{X\}$  using foo by (simp add:
  Image-mono rtrancl-mono)
  then have Restr  $(\text{mult } r) (((\text{mult } r)^*)^{-1} \text{ “ } \{X\}) \subseteq \text{mult } (\text{Restr } (r^+)) (((r^+)^*)^{-1}$ 
  “ set-mset X)
  by (intro bar) auto
  then show ?thesis using wf-mult assms wf-subset
  unfolding wf-below-trancl[of r, symmetric] unfolding wf-below-def by blast
qed

```

lemma qc-wf-below:

```

  assumes  $s O ns \subseteq (s \cup ns)^* O s$  wf-below s A
  shows wf-below  $(ns^* O s O ns^*) A$ 
  unfolding wf-below-def
  proof (intro wfI-pf)
    let ?A' =  $((ns^* O s O ns^*)^*)^{-1} \text{ “ } A$ 
    fix X assume X:  $X \subseteq \text{Restr } (ns^* O s O ns^*) ?A' \text{ “ } X$ 
    let ?X' =  $((s \cup ns)^* \cap \text{UNIV} \times ((s^*)^{-1} \text{ “ } A)) \text{ “ } X$ 
    have *:  $s O (s \cup ns)^* \subseteq (s \cup ns)^* O s$ 
    proof -
      { fix x y z assume  $(y, z) \in (s \cup ns)^*$  and  $(x, y) \in s$ 
        then have  $(x, z) \in (s \cup ns)^* O s$ 
        proof (induct y z)
          case rtrancl-refl then show ?case by auto
        next
          case  $(\text{rtrancl-into-rtrancl } a b c)$ 
          then have  $(x, c) \in ((s \cup ns)^* O (s \cup ns)^*) O s$  using assms by blast
          then show ?case by simp
        qed }
    then show ?thesis by auto
  qed
  { fix x assume  $x \in \text{Restr } (ns^* O s O ns^*) ?A' \text{ “ } X$ 
    then obtain y z where **:  $y \in X z \in A (y, x) \in ns^* O s O ns^* (x, z) \in (ns^*$ 

```

$O s O ns^*$ )\* **by blast**  
**have**  $(ns^* O s O ns^*) O (ns^* O s O ns^*)^* \subseteq (s \cup ns)^*$  **by regexp**  
**then have**  $(y, z) \in (s \cup ns)^*$  **using**  $**(\beta, 4)$  **by blast**  
**moreover have**  $?X' = \{\}$   
**proof** (*intro wfE-pf[OF assms(2)[unfolded wf-below-def]] subsetI*)  
**fix**  $x$  **assume**  $x \in ?X'$   
**then have**  $x \in ((s \cup ns)^* \cap UNIV \times ((s^*)^{-1} \text{ `` } A)) \text{ `` } Restr (ns^* O s O ns^*)$   
 $?A' \text{ `` } X$  **using**  $X$  **by auto**  
**then obtain**  $x0 y z$  **where**  $** : z \in X x0 \in A (z, y) \in ns^* O s O ns^* (y, x)$   
 $\in (s \cup ns)^* (x, x0) \in s^*$   
**unfolding Image-def by blast**  
**have**  $(ns^* O s O ns^*) O (s \cup ns)^* \subseteq ns^* O (s O (s \cup ns)^*)$  **by regexp**  
**with**  $**(\beta, 4)$  **have**  $(z, x) \in ns^* O (s O (s \cup ns)^*)$  **by blast**  
**moreover have**  $ns^* O ((s \cup ns)^* O s) \subseteq (s \cup ns)^* O s$  **by regexp**  
**ultimately have**  $(z, x) \in (s \cup ns)^* O s$  **using**  $*$  **by blast**  
**then obtain**  $x'$  **where**  $z \in X (z, x') \in (s \cup ns)^* (x', x) \in s (x', x0) \in s^*$   
 $(x, x0) \in s^* x0 \in A$   
**using**  $** (1, 2, 5) converse-rtrancl-into-rtrancl[OF - ** (5)]$  **by blast**  
**then show**  $x \in Restr s ((s^*)^{-1} \text{ `` } A) \text{ `` } ?X'$   
**unfolding Image-def by blast**  
**qed**  
**ultimately have** *False* **using**  $** (1, 2)$  **unfolding Image-def by blast**  
**}**  
**then show**  $X = \{\}$  **using**  $X$  **by blast**  
**qed**

**lemma** *wf-below-mult2-s-local*:

**assumes** *wf-below s (set-mset X) s O ns*  $\subseteq s refl ns trans ns$   
**shows** *wf-below (mult2-s ns s) {X}*  
**using** *wf-below-mult-local[of s X] assms multpw-mult-commute[of s ns]*  
*wf-below-mono1[of multpw ns O mult s (multpw ns)^\* O mult s O (multpw ns)^\**  
 $\{X\}$   
*qc-wf-below[of mult s multpw ns {X}]*  
**unfolding mult2-s-def by blast**

### 3.7 Trivial cases

**lemma** *mult2-alt-emptyL*:

$(\{\#\}, Y) \in mult2-alt b ns s \longleftrightarrow b \vee Y \neq \{\#\}$   
**unfolding mult2-alt-def by auto**

**lemma** *mult2-alt-emptyR*:

$(X, \{\#\}) \in mult2-alt b ns s \longleftrightarrow b \wedge X = \{\#\}$   
**unfolding mult2-alt-def by (auto intro: multiset-eqI)**

**lemma** *mult2-alt-s-single*:

$(a, b) \in s \implies (\{\#a\#\}, \{\#b\#\}) \in mult2-alt-s ns s$   
**using mult2-altI[of - {\#} - - {\#} - ns False s] by auto**

```

lemma multpw-implies-mult2-alt-ns:
  assumes  $(X, Y) \in \text{multpw } ns$ 
  shows  $(X, Y) \in \text{mult2-alt-ns } ns \ s$ 
  using assms by (intro mult2-alt-nsI[of  $X \ X \ \{\#\} \ Y \ Y \ \{\#\}$ ]) auto

lemma mult2-alt-ns-conv:
   $\text{mult2-alt-ns } ns \ s = \text{mult2-alt-s } ns \ s \cup \text{multpw } ns$  (is  $?l = ?r$ )
proof (intro equalityI subrelI)
  fix  $X \ Y$  assume  $(X, Y) \in ?l$ 
  thm mult2-alt-nsE
  then obtain  $X1 \ X2 \ Y1 \ Y2$  where  $X = X1 + X2 \ Y = Y1 + Y2 \ (X1, Y1) \in$ 
multpw ns
   $\forall x. x \in\# \ X2 \longrightarrow (\exists y. y \in\# \ Y2 \wedge (x, y) \in s)$  by (auto elim: mult2-alt-nsE)
  then show  $(X, Y) \in ?r$  using count-inject[of  $X2 \ \{\#\}$ ]
  by (cases  $Y2 = \{\#\}$ ) (auto intro: mult2-alt-sI elim: mult2-alt-nsE mult2-alt-sE)
next
  fix  $X \ Y$  assume  $(X, Y) \in ?r$  then show  $(X, Y) \in ?l$ 
  by (auto intro: mult2-alt-nsI multpw-implies-mult2-alt-ns elim: mult2-alt-sE)
qed

lemma mult2-alt-s-implies-mult2-alt-ns:
  assumes  $(X, Y) \in \text{mult2-alt-s } ns \ s$ 
  shows  $(X, Y) \in \text{mult2-alt-ns } ns \ s$ 
  using assms by (auto intro: mult2-alt-nsI elim: mult2-alt-sE)

lemma mult2-alt-add:
  assumes  $(X1, Y1) \in \text{mult2-alt } b1 \ ns \ s$  and  $(X2, Y2) \in \text{mult2-alt } b2 \ ns \ s$ 
  shows  $(X1 + X2, Y1 + Y2) \in \text{mult2-alt } (b1 \wedge b2) \ ns \ s$ 
proof -
  from assms obtain  $X11 \ X12 \ Y11 \ Y12 \ X21 \ X22 \ Y21 \ Y22$  where
   $X1 = X11 + X12 \ Y1 = Y11 + Y12$ 
   $(X11, Y11) \in \text{multpw } ns \ (b1 \vee Y12 \neq \{\#\}) \ (\forall x. x \in\# \ X12 \longrightarrow (\exists y. y \in\#$ 
 $Y12 \wedge (x, y) \in s))$ 
   $X2 = X21 + X22 \ Y2 = Y21 + Y22$ 
   $(X21, Y21) \in \text{multpw } ns \ (b2 \vee Y22 \neq \{\#\}) \ (\forall x. x \in\# \ X22 \longrightarrow (\exists y. y \in\#$ 
 $Y22 \wedge (x, y) \in s))$ 
  unfolding mult2-alt-def by (blast 9)
  then show ?thesis
  by (intro mult2-altI[of  $- \ X11 + X21 \ X12 + X22 \ - \ Y11 + Y21 \ Y12 + Y22$ ])
  (auto intro: multpw-add simp: ac-simps)
qed

lemmas mult2-alt-s-s-add = mult2-alt-add[of  $- \ - \ \text{False} \ - \ - \ - \ \text{False}$ , unfolded
simp-thms]
lemmas mult2-alt-ns-s-add = mult2-alt-add[of  $- \ - \ \text{True} \ - \ - \ - \ \text{False}$ , unfolded
simp-thms]
lemmas mult2-alt-s-ns-add = mult2-alt-add[of  $- \ - \ \text{False} \ - \ - \ - \ \text{True}$ , unfolded
simp-thms]
lemmas mult2-alt-ns-ns-add = mult2-alt-add[of  $- \ - \ \text{True} \ - \ - \ - \ \text{True}$ , unfolded

```

*simp-thms]*

**lemma** *multpw-map*:

**assumes**  $\bigwedge x y. x \in\# X \implies y \in\# Y \implies (x, y) \in ns \implies (f x, g y) \in ns'$   
**and**  $(X, Y) \in \text{multpw } ns$   
**shows**  $(\text{image-mset } f X, \text{image-mset } g Y) \in \text{multpw } ns'$   
**using** *assms(2,1)* **by** (*induct X Y rule: multpw.induct*) (*auto intro: multpw.intros*)

**lemma** *mult2-alt-map*:

**assumes**  $\bigwedge x y. x \in\# X \implies y \in\# Y \implies (x, y) \in ns \implies (f x, g y) \in ns'$   
**and**  $\bigwedge x y. x \in\# X \implies y \in\# Y \implies (x, y) \in s \implies (f x, g y) \in s'$   
**and**  $(X, Y) \in \text{mult2-alt } b ns s$   
**shows**  $(\text{image-mset } f X, \text{image-mset } g Y) \in \text{mult2-alt } b ns' s'$

**proof** –

**from** *assms(3)* **obtain**  $X1 X2 Y1 Y2$  **where**  $X = X1 + X2$   $Y = Y1 + Y2$   $(X1, Y1) \in \text{multpw } ns$

$b \vee Y2 \neq \{\#\} \forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$  **by** (*auto elim: mult2-altE*)

**moreover from** *this(1,2,5)* **have**  $\forall x. x \in\# \text{image-mset } f X2 \longrightarrow (\exists y. y \in\# \text{image-mset } g Y2 \wedge (x, y) \in s')$

**using** *assms(2)* **by** (*simp add: in-image-mset image-iff*) *blast*

**ultimately show** *?thesis* **using** *assms multpw-map[of X1 Y1 ns f g]*

**by** (*intro mult2-altI[of - image-mset f X1 image-mset f X2 - image-mset g Y1 image-mset g Y2]*) *auto*

**qed**

Local transitivity of *mult2-alt*

**lemma** *trans-mult2-alt-local*:

**assumes** *ss*:  $\bigwedge x y z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in s \implies (y, z) \in s \implies (x, z) \in s$

**and** *ns*:  $\bigwedge x y z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in ns \implies (y, z) \in ns \implies (x, z) \in ns$

**and** *sn*:  $\bigwedge x y z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in s \implies (y, z) \in ns \implies (x, z) \in ns$

**and** *nn*:  $\bigwedge x y z. x \in\# X \implies y \in\# Y \implies z \in\# Z \implies (x, y) \in ns \implies (y, z) \in ns \implies (x, z) \in ns$

**and** *xyz*:  $(X, Y) \in \text{mult2-alt } b1 ns s$   $(Y, Z) \in \text{mult2-alt } b2 ns s$

**shows**  $(X, Z) \in \text{mult2-alt } (b1 \wedge b2) ns s$

**proof** –

**let** *?a1* = *Enum.finite-3.a1* **and** *?a2* = *Enum.finite-3.a2* **and** *?a3* = *Enum.finite-3.a3*

**let** *?t* =  $\{(?a1, ?a2), (?a1, ?a3), (?a2, ?a3)\}$

**let** *?A* =  $\{(?a1, x) \mid x. x \in\# X\} \cup \{(?a2, y) \mid y. y \in\# Y\} \cup \{(?a3, z) \mid z. z \in\# Z\}$

**define** *s'* **where**  $s' = \text{Restr } \{((a, x), (b, y)) \mid a x b y. (a, b) \in ?t \wedge (x, y) \in s\}$

**define** *ns'* **where**  $ns' = (\text{Restr } \{((a, x), (b, y)) \mid a x b y. (a, b) \in ?t \wedge (x, y) \in ns\})^=$

**have** *\**: *refl ns' trans ns' trans s' s' O ns'  $\subseteq$  s' ns' O s'  $\subseteq$  s'*

**by** (*force simp: trans-def ss ns sn nn s'-def ns'-def*)  
**have** ( $\{\#(?a1, x). x \in\# X\}, \{\#(?a2, y). y \in\# Y\}\} \in \text{mult2-alt } b1 \text{ ns' } s'$   
**by** (*auto intro: mult2-alt-map[OF - - xyz(1)] simp: s'-def ns'-def*)  
**moreover have** ( $\{\#(?a2, y). y \in\# Y\}, \{\#(?a3, z). z \in\# Z\}\} \in \text{mult2-alt } b2 \text{ ns' } s'$   
**by** (*auto intro: mult2-alt-map[OF - - xyz(2)] simp: s'-def ns'-def*)  
**ultimately have** ( $\{\#(?a1, x). x \in\# X\}, \{\#(?a3, z). z \in\# Z\}\} \in \text{mult2-alt } (b1 \wedge b2) \text{ ns' } s'$   
**using** *mult2-s-eq-mult2-s-alt[OF \*(5,1,3)] mult2-ns-eq-mult2-ns-alt[OF \*(5,1,3)] trans-mult2-s[OF \*(4,1,2)] trans-mult2-ns[OF \*(4,1,2)] compat-mult2[OF \*(4,1,2)]*  
**by** (*cases b1; cases b2*) (*auto simp: trans-O-iff*)  
**from** *mult2-alt-map[OF - - this, of snd snd ns s]*  
**show** *?thesis by (auto simp: s'-def ns'-def image-mset.compositionality comp-def in-image-mset image-iff)*  
**qed**

**lemmas** *trans-mult2-alt-s-s-local = trans-mult2-alt-local[of - - - - False False, unfolded simp-thms]*

**lemmas** *trans-mult2-alt-ns-s-local = trans-mult2-alt-local[of - - - - True False, unfolded simp-thms]*

**lemmas** *trans-mult2-alt-s-ns-local = trans-mult2-alt-local[of - - - - False True, unfolded simp-thms]*

**lemmas** *trans-mult2-alt-ns-ns-local = trans-mult2-alt-local[of - - - - True True, unfolded simp-thms]*

**end**

### 3.8 Executable version

**theory** *Multiset-Extension-Pair-Impl*

**imports**

*Multiset-Extension-Pair*

**begin**

**lemma** *subset-mult2-alt:*

**assumes**  $X \subseteq\# Y$  ( $Y, Z \in \text{mult2-alt } b \text{ ns } s \text{ } b \implies b'$ )

**shows** ( $X, Z \in \text{mult2-alt } b' \text{ ns } s$ )

**proof** –

**from** *assms(2)* **obtain**  $Y1 \ Y2 \ Z1 \ Z2$  **where**  $*$ :  $Y = Y1 + Y2 \ Z = Z1 + Z2$

$(Y1, Z1) \in \text{multpw } ns \ b \vee Z2 \neq \{\#\} \ \forall y. y \in\# Y2 \longrightarrow (\exists z. z \in\# Z2 \wedge (y, z) \in s)$

**unfolding** *mult2-alt-def* **by** *blast*

**define**  $Y11 \ Y12 \ X2$  **where**  $Y11 = Y1 \cap\# X$  **and**  $Y12 = Y1 - X$  **and**  $X2 = X - Y11$

**have**  $**$ :  $X = Y11 + X2 \ X2 \subseteq\# Y2 \ Y1 = Y11 + Y12$  **using**  $*(1)$

**by** (*auto simp: Y11-def Y12-def X2-def multiset-eq-iff subseteq-mset-def*)

(*metis add.commute assms(1) le-diff-conv subseteq-mset-def*)

**obtain**  $Z11 \ Z12$  **where**  $***$ :  $Z = Z11 + (Z12 + Z2) \ Z1 = Z11 + Z12$  ( $Y11,$

$Z11) \in \text{multpw } ns$   
**using**  $*(2,3) **(\beta)$  **by** (*auto elim: multpw-splitR simp: ac-simps*)  
**moreover have**  $\forall y. y \in\# X2 \longrightarrow (\exists z. z \in\# Z12 + Z2 \wedge (y, z) \in s) b \vee Z12$   
 $+ Z2 \neq \{\#\}$   
**using**  $*(4,5) **(\alpha)$  **by** (*auto dest!: mset-subset-eqD*)  
**ultimately show** *?thesis* **using**  $*(2) **(\alpha)$  *assms*( $\beta$ ) **unfolding** *mult2-alt-def*  
**by** *blast*  
**qed**

Case distinction for recursion on left argument

**lemma** *mem-multiset-diff*:  $x \in\# A \implies x \neq y \implies x \in\# (A - \{\#y\#})$   
**by** (*metis add-mset-remove-trivial-If diff-single-trivial insert-noteq-member*)

**lemma** *mult2-alt-addL*:  $(\text{add-mset } x X, Y) \in \text{mult2-alt } b \ ns \ s \longleftrightarrow$   
 $(\exists y. y \in\# Y \wedge (x, y) \in s \wedge (\{\# x \in\# X. (x, y) \notin s \# \}, Y - \{\#y\#}) \in$   
 $\text{mult2-alt-ns } ns \ s) \vee$   
 $(\exists y. y \in\# Y \wedge (x, y) \in ns \wedge (x, y) \notin s \wedge (X, Y - \{\#y\#}) \in \text{mult2-alt } b \ ns \ s)$   
**(is ?L  $\longleftrightarrow$  ?R1  $\vee$  ?R2)**

**proof** (*intro iffI; (elim disjE)?*)

**assume** *?L* **then obtain**  $X1 X2 Y1 Y2$  **where**  $*$ :  $\text{add-mset } x X = X1 + X2$   $Y = Y1 + Y2$

$(X1, Y1) \in \text{multpw } ns \ b \vee Y2 \neq \{\#\} \forall x. x \in\# X2 \longrightarrow (\exists y. y \in\# Y2 \wedge (x, y) \in s)$

**unfolding** *mult2-alt-def* **by** *blast*

**from** *union-single-eq-member[OF this(1)] multi-member-split*

**consider**  $X1'$  **where**  $X1 = \text{add-mset } x X1' \ x \in\# X1 \mid X2'$  **where**  $X2 = \text{add-mset } x X2' \ x \in\# X2$

**unfolding** *set-mset-union Un-iff* **by** *metis*

**then show**  $?R1 \vee ?R2$

**proof** *cases*

**case 1** **then obtain**  $y Y1'$  **where**  $**$ :  $y \in\# Y1 \ Y1 = \text{add-mset } y Y1' (X1', Y1') \in \text{multpw } ns \ (x, y) \in ns$

**using**  $*$  **by** (*auto elim: multpw-split1R*)

**show** *?thesis*

**proof** (*cases (x, y) \in s*)

**case** *False* **then show** *?thesis* **using** *mult2-altI[OF refl refl \*\*(\beta) \*(4,5)] \**

**by** (*auto simp: 1 \*\* intro: exI[of - y]*)

**next**

**case** *True*

**define**  $X2'$  **where**  $X2' = \{\# x \in\# X2. (x, y) \notin s \#\}$

**have**  $x3$ :  $\forall x. x \in\# X2' \longrightarrow (\exists z. z \in\# Y2 \wedge (x, z) \in s)$  **using**  $*(5) **(\alpha, \beta)$

**by** (*auto simp: X2'-def*)

**have**  $x4$ :  $\{\# x \in\# X. (x, y) \notin s\# \} \subseteq\# X1' + X2'$  **using**  $*(1) 1$

**by** (*auto simp: X2'-def multiset-eq-iff intro!: mset-subset-eqI split: if-splits elim!: in-countE*) (*metis le-refl*)

**show** *?thesis* **using** *mult2-alt-nsI[OF refl refl \*\*(\beta) x3, THEN subset-mult2-alt[OF x4]]*

$**(\alpha) *(\alpha)$  *True* **by** (*auto intro: exI[of - y]*)

**qed**

```

next
  case 2 then obtain y where **: y ∈# Y2 (x, y) ∈ s using * by blast
  define X2' where X2' = {# x ∈# X2. (x, y) ∉ s #}
  have x3: ∀ x. x ∈# X2' → (∃ z. z ∈# Y2 - {#y#} ∧ (x, z) ∈ s)
    using *(5) *(1,2) by (auto simp: X2'-def) (metis mem-multiset-diff)
  have x4: {# x ∈# X. (x, y) ∉ s#} ⊆# X1 + X2'
    using *(1) *(2) by (auto simp: X2'-def multiset-eq-iff intro!: mset-subset-eqI
split: if-splits)
  show ?thesis
    using mult2-alt-nsI[OF refl refl *(3) x3, THEN subset-mult2-alt[OF x4], of
True] *(1,2) *(2)
    by (auto simp: diff-union-single-conv[symmetric])
qed
next
  assume ?R1
  then obtain y where *: y ∈# Y (x, y) ∈ s ({# x ∈# X. (x, y) ∉ s #}, Y -
{#y#}) ∈ mult2-alt-ns ns s
  by blast
  then have **: ({# x ∈# X. (x, y) ∈ s #} + {#x#}, {#y#}) ∈ mult2-alt b ns
s
  {# x ∈# X. (x, y) ∉ s #} + {# x ∈# X. (x, y) ∈ s #} = X
  by (auto intro: mult2-altI[of - {#} - - {#}] multiset-eqI split: if-splits)
  show ?L using mult2-alt-add[OF *(3) *(1)] *** by (auto simp: union-assoc[symmetric])
next
  assume ?R2
  then obtain y where *: y ∈# Y (x, y) ∈ ns (X, Y - {#y#}) ∈ mult2-alt b
ns s by blast
  then show ?L using mult2-alt-add[OF *(3) multipw-implies-mult2-alt-ns, of
{#x#} {#y#}]
  by (auto intro: multipw-single)
qed

```

Auxiliary version with an extra *bool* argument for distinguishing between the non-strict and the strict orders

```

context fixes nss :: 'a ⇒ 'a ⇒ bool ⇒ bool
begin

```

```

fun mult2-impl0 :: 'a list ⇒ 'a list ⇒ bool ⇒ bool
and mult2-ex-dom0 :: 'a ⇒ 'a list ⇒ 'a list ⇒ 'a list ⇒ bool ⇒ bool
where
  mult2-impl0 [] [] b ↔ b
| mult2-impl0 xs [] b ↔ False
| mult2-impl0 [] ys b ↔ True
| mult2-impl0 (x # xs) ys b ↔ mult2-ex-dom0 x xs ys [] b

| mult2-ex-dom0 x xs [] ys' b ↔ False
| mult2-ex-dom0 x xs (y # ys) ys' b ↔
  nss x y False ∧ mult2-impl0 (filter (λx. ¬ nss x y False) xs) (ys @ ys') True ∨
  nss x y True ∧ ¬ nss x y False ∧ mult2-impl0 xs (ys @ ys') b ∨

```



*mult2-ex-dom0*  $x$   $xs$   $ys$  ( $y \# ys'$ )  $b$

**end**

**lemma** *mult2-impl0-sound*:

**fixes**  $nss$

**defines**  $ns \equiv \{(x, y). nss\ x\ y\ True\}$  **and**  $s \equiv \{(x, y). nss\ x\ y\ False\}$

**shows** *mult2-impl0*  $nss$   $xs$   $ys$   $b \longleftrightarrow (mset\ xs, mset\ ys) \in mult2-alt\ b\ ns\ s$

*mult2-ex-dom0*  $nss$   $x$   $xs$   $ys$   $ys'$   $b \longleftrightarrow$

$(\exists y. y \in \# mset\ ys \wedge (x, y) \in s \wedge (mset\ (filter\ (\lambda x. (x, y) \notin s)\ xs), mset\ (ys @ ys') - \{\#y\#}) \in mult2-alt\ True\ ns\ s) \vee$

$(\exists y. y \in \# mset\ ys \wedge (x, y) \in ns \wedge (x, y) \notin s \wedge (mset\ xs, mset\ (ys @ ys') - \{\#y\#}) \in mult2-alt\ b\ ns\ s)$

**proof** (*induct*  $xs$   $ys$   $b$  **and**  $x$   $xs$   $ys$   $ys'$   $b$  *taking*:  $nss$  *rule*: *mult2-impl0-mult2-ex-dom0.induct*)

**case** ( $\_4$   $x$   $xs$   $y$   $ys$   $b$ ) **show** *?case unfolding mult2-impl0.simps 4*

**using** *mult2-alt-addL*[*of*  $x$   $mset\ xs$   $mset\ (y \# ys)$   $b$   $ns\ s$ ] **by** (*simp add: mset-filter*)

**next**

**case** ( $\_6$   $x$   $xs$   $y$   $ys$   $ys'$   $b$ ) **show** *?case unfolding mult2-ex-dom0.simps 6*

**using** *subset-mult2-alt*[*of*  $mset\ [x \leftarrow xs . (x, y) \notin s]$   $mset\ xs$   $mset\ (ys @ ys')$   $b$   $ns\ s\ True$ ]

**apply** (*intro iffI; elim disjE conjE exE; simp add: mset-filter ns-def s-def; (elim disjE)?*)

**subgoal** **by** (*intro disjI1 exI*[*of*  $- y$ ] *auto*)

**subgoal** **by** (*intro disjI2 exI*[*of*  $- y$ ] *auto*)

**subgoal** **for**  $y'$  **by** (*intro disjI1 exI*[*of*  $- y'$ ] *auto*)

**subgoal** **for**  $y'$  **by** (*intro disjI2 exI*[*of*  $- y'$ ] *auto*)

**subgoal** **for**  $y'$  **by** *simp*

**subgoal** **for**  $y'$  **by** (*rule disjI2, rule disjI2, rule disjI1, rule exI*[*of*  $- y'$ ] *simp*)

**subgoal** **for**  $y'$  **by** *simp*

**subgoal** **for**  $y'$  **by** (*rule disjI2, rule disjI2, rule disjI2, rule exI*[*of*  $- y'$ ] *simp*)

**done**

**qed** (*auto simp: mult2-alt-emptyL mult2-alt-emptyR*)

Now, instead of functions of type  $bool \Rightarrow bool$ , use pairs of type  $bool \times bool$

**definition** [*simp*]:  $or2\ a\ b = (fst\ a \vee fst\ b, snd\ a \vee snd\ b)$

**context** **fixes**  $sns :: 'a \Rightarrow 'a \Rightarrow bool \times bool$

**begin**

**fun** *mult2-impl*  $:: 'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$

**and** *mult2-ex-dom*  $:: 'a \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool \times bool$

**where**

*mult2-impl*  $[]$   $[] = (False, True)$

| *mult2-impl*  $xs$   $[] = (False, False)$

| *mult2-impl*  $[]$   $ys = (True, True)$

| *mult2-impl*  $(x \# xs)$   $ys = mult2-ex-dom\ x\ xs\ ys\ []$

| *mult2-ex-dom*  $x\ xs\ []$   $ys' = (False, False)$

```

| mult2-ex-dom x xs (y # ys) ys' =
  (case sns x y of
    (True, -) => if snd (mult2-impl (filter (λx. ¬ fst (sns x y)) xs) (ys @ ys')) then
      (True, True)
    else mult2-ex-dom x xs ys (y # ys^))
| (False, True) => or2 (mult2-impl xs (ys @ ys^)) (mult2-ex-dom x xs ys (y #
ys^))
| - => mult2-ex-dom x xs ys (y # ys^))
end

```

**lemma** *mult2-impl-sound0*:

```

defines pair ≡ λf. (f False, f True) and fun ≡ λp b. if b then snd p else fst p
shows mult2-impl sns xs ys = pair (mult2-impl0 (λx y. fun (sns x y)) xs ys) (is
?P)

```

```

  mult2-ex-dom sns x xs ys ys' = pair (mult2-ex-dom0 (λx y. fun (sns x y)) x xs
ys ys') (is ?Q)

```

**proof** –

```

show ?P ?Q

```

```

proof (induct xs ys and x xs ys ys' taking: sns rule: mult2-impl-mult2-ex-dom.induct)

```

```

  case (6 x xs y ys ys')

```

```

  show ?case unfolding mult2-ex-dom.simps mult2-ex-dom0.simps

```

```

  by (fastforce simp: pair-def fun-def 6 if-bool-eq-conj split: prod.splits bool.splits)

```

```

qed (auto simp: pair-def fun-def if-bool-eq-conj)

```

**qed**

**lemmas** *mult2-impl-sound* = *mult2-impl-sound0*(1)[*unfolded mult2-impl0-sound if-True if-False*]

**end**

## 4 Multiset extension of order pairs in the other direction

Many term orders are formulated in the other direction, i.e., they use strong normalization of  $>$  instead of well-foundedness of  $<$ . Here, we flip the direction of the multiset extension of two orders, connect it to existing interfaces, and prove some further properties of the multiset extension.

**theory** *Multiset-Extension2*

```

imports

```

```

  List-Order

```

```

  Multiset-Extension-Pair

```

**begin**

### 4.1 List based characterization of *multpw*

**lemma** *multpw-listI*:

```

assumes length xs = length ys X = mset xs Y = mset ys

```

```

  ∀ i. i < length ys ⟶ (xs ! i, ys ! i) ∈ ns

```

```

shows (X, Y) ∈ multpw ns
using assms
proof (induct xs arbitrary: ys X Y)
  case (Nil ys) then show ?case by (cases ys) (auto intro: multpw.intros)
next
  case Cons1: (Cons x xs ys' X Y) then show ?case
  proof (cases ys')
    case (Cons y ys)
      then have ∀i. i < length ys → (xs ! i, ys ! i) ∈ ns using Cons1(5) by
fastforce
      then show ?thesis using Cons1(2,5) by (auto intro!: multpw.intros simp:
Cons(1) Cons1)
    qed auto
  qed
qed

```

```

lemma multpw-listE:
  assumes (X, Y) ∈ multpw ns
  obtains xs ys where length xs = length ys X = mset xs Y = mset ys
    ∀i. i < length ys → (xs ! i, ys ! i) ∈ ns
  using assms
proof (induct X Y arbitrary: thesis rule: multpw.induct)
  case (add x y X Y)
  then obtain xs ys where length xs = length ys X = mset xs
    Y = mset ys (∀i. i < length ys → (xs ! i, ys ! i) ∈ ns) by blast
  then show ?case using add(1) by (intro add(4)[of x # xs y # ys]) (auto, case-tac
i, auto)
qed auto

```

## 4.2 Definition of the multiset extension of $>$ -orders

We define here the non-strict extension of the order pair  $(\geq, >)$  – usually written as  $(ns, s)$  in the sources – by just flipping the directions twice.

```

definition ns-mul-ext :: 'a rel ⇒ 'a rel ⇒ 'a multiset rel
  where ns-mul-ext ns s ≡ (mult2-alt-ns (ns-1) (s-1))-1

```

```

lemma ns-mul-extI:
  assumes A = A1 + A2 and B = B1 + B2
  and (A1, B1) ∈ multpw ns
  and ∧b. b ∈# B2 ⇒ ∃a. a ∈# A2 ∧ (a, b) ∈ s
  shows (A, B) ∈ ns-mul-ext ns s
  using assms by (auto simp: ns-mul-ext-def multpw-converse intro!: mult2-alt-nsI)

```

```

lemma ns-mul-extE:
  assumes (A, B) ∈ ns-mul-ext ns s
  obtains A1 A2 B1 B2 where A = A1 + A2 and B = B1 + B2
  and (A1, B1) ∈ multpw ns
  and ∧b. b ∈# B2 ⇒ ∃a. a ∈# A2 ∧ (a, b) ∈ s
  using assms by (auto simp: ns-mul-ext-def multpw-converse elim!: mult2-alt-nsE)

```

**lemmas** *ns-mul-extI-old* = *ns-mul-extI*[*OF* - - *multpw-listI*[*OF* - *refl refl*], *rule-format*]

Same for the "greater than" order on multisets.

**definition** *s-mul-ext* :: 'a rel  $\Rightarrow$  'a rel  $\Rightarrow$  'a multiset rel  
**where** *s-mul-ext ns s*  $\equiv$  (*mult2-alt-s* (*ns*<sup>-1</sup>) (*s*<sup>-1</sup>))<sup>-1</sup>

**lemma** *s-mul-extI*:

**assumes**  $A = A1 + A2$  **and**  $B = B1 + B2$   
**and**  $(A1, B1) \in \text{multpw } ns$   
**and**  $A2 \neq \{\#\}$  **and**  $\bigwedge b. b \in \# B2 \implies \exists a. a \in \# A2 \wedge (a, b) \in s$   
**shows**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto simp: s-mul-ext-def multpw-converse intro!: mult2-alt-sI*)

**lemma** *s-mul-extE*:

**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**obtains**  $A1 \ A2 \ B1 \ B2$  **where**  $A = A1 + A2$  **and**  $B = B1 + B2$   
**and**  $(A1, B1) \in \text{multpw } ns$   
**and**  $A2 \neq \{\#\}$  **and**  $\bigwedge b. b \in \# B2 \implies \exists a. a \in \# A2 \wedge (a, b) \in s$   
**using** *assms* **by** (*auto simp: s-mul-ext-def multpw-converse elim!: mult2-alt-sE*)

**lemmas** *s-mul-extI-old* = *s-mul-extI*[*OF* - - *multpw-listI*[*OF* - *refl refl*], *rule-format*]

### 4.3 Basic properties

**lemma** *s-mul-ext-mono*:

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $s\text{-mul-ext } ns \ s \subseteq s\text{-mul-ext } ns' \ s'$   
**unfolding** *s-mul-ext-def* **using** *assms mono-mult2-alt*[*of ns*<sup>-1</sup> *ns'*<sup>-1</sup> *s*<sup>-1</sup> *s'*<sup>-1</sup>] **by** *simp*

**lemma** *ns-mul-ext-mono*:

**assumes**  $ns \subseteq ns' \ s \subseteq s'$  **shows**  $ns\text{-mul-ext } ns \ s \subseteq ns\text{-mul-ext } ns' \ s'$   
**unfolding** *ns-mul-ext-def* **using** *assms mono-mult2-alt*[*of ns*<sup>-1</sup> *ns'*<sup>-1</sup> *s*<sup>-1</sup> *s'*<sup>-1</sup>] **by** *simp*

**lemma** *s-mul-ext-local-mono*:

**assumes** *sub*:  $(\text{set-mset } xs \times \text{set-mset } ys) \cap ns \subseteq ns' (\text{set-mset } xs \times \text{set-mset } ys)$   
 $\cap s \subseteq s'$   
**and** *rel*:  $(xs, ys) \in s\text{-mul-ext } ns \ s$   
**shows**  $(xs, ys) \in s\text{-mul-ext } ns' \ s'$   
**using** *rel s-mul-ext-mono*[*OF sub*] *mult2-alt-local*[*of ys xs False ns*<sup>-1</sup> *s*<sup>-1</sup>]  
**by** (*auto simp: s-mul-ext-def converse-Int ac-simps converse-Times*)

**lemma** *ns-mul-ext-local-mono*:

**assumes** *sub*:  $(\text{set-mset } xs \times \text{set-mset } ys) \cap ns \subseteq ns' (\text{set-mset } xs \times \text{set-mset } ys)$   
 $\cap s \subseteq s'$   
**and** *rel*:  $(xs, ys) \in ns\text{-mul-ext } ns \ s$   
**shows**  $(xs, ys) \in ns\text{-mul-ext } ns' \ s'$   
**using** *rel ns-mul-ext-mono*[*OF sub*] *mult2-alt-local*[*of ys xs True ns*<sup>-1</sup> *s*<sup>-1</sup>]  
**by** (*auto simp: ns-mul-ext-def converse-Int ac-simps converse-Times*)

The empty multiset is the minimal element for these orders

**lemma** *ns-mul-ext-bottom*:  $(A, \{\#\}) \in ns\text{-mul-ext } ns \ s$   
**by** (*auto intro!*: *ns-mul-extI*)

**lemma** *ns-mul-ext-bottom-uniqueness*:  
**assumes**  $(\{\#\}, A) \in ns\text{-mul-ext } ns \ s$   
**shows**  $A = \{\#\}$   
**using** *assms* **by** (*auto simp*: *ns-mul-ext-def mult2-alt-ns-def*)

**lemma** *ns-mul-ext-bottom2*:  
**assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $B \neq \{\#\}$   
**shows**  $A \neq \{\#\}$   
**using** *assms* **by** (*auto simp*: *ns-mul-ext-def mult2-alt-ns-def*)

**lemma** *s-mul-ext-bottom*:  
**assumes**  $A \neq \{\#\}$   
**shows**  $(A, \{\#\}) \in s\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto simp*: *s-mul-ext-def mult2-alt-s-def*)

**lemma** *s-mul-ext-bottom-strict*:  
 $(\{\#\}, A) \notin s\text{-mul-ext } ns \ s$   
**by** (*auto simp*: *s-mul-ext-def mult2-alt-s-def*)

Obvious introduction rules.

**lemma** *all-ns-ns-mul-ext*:  
**assumes**  $length \ as = length \ bs$   
**and**  $\forall i. i < length \ bs \longrightarrow (as \ ! \ i, bs \ ! \ i) \in ns$   
**shows**  $(mset \ as, mset \ bs) \in ns\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto intro!*: *ns-mul-extI[of - - \{\#\} - - \{\#\}] multipw-listI*)

**lemma** *all-s-s-mul-ext*:  
**assumes**  $A \neq \{\#\}$   
**and**  $\forall b. b \in \# \ B \longrightarrow (\exists a. a \in \# \ A \wedge (a, b) \in s)$   
**shows**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto intro!*: *s-mul-extI[of - \{\#\} - - \{\#\}] multipw-listI*)

Being strictly lesser than implies being lesser than

**lemma** *s-ns-mul-ext*:  
**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**shows**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*simp add*: *s-mul-ext-def ns-mul-ext-def mult2-alt-s-implies-mult2-alt-ns*)

The non-strict order is reflexive.

**lemma** *multipw-refl'*:  
**assumes** *locally-refl ns A*  
**shows**  $(A, A) \in multipw \ ns$   
**proof** –  
**have**  $Restr \ Id \ (set\text{-}mset \ A) \subseteq ns$  **using** *assms* **by** (*auto simp*: *locally-refl-def*)

**from** *refl-multipw*[of *Id*] *multipw-local*[of *A A Id*] *mono-multipw*[*OF this*]  
**show** *?thesis* **by** (*auto simp: refl-on-def*)  
**qed**

**lemma** *ns-mul-ext-refl-local*:  
**assumes** *locally-refl ns A*  
**shows**  $(A, A) \in ns\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto intro!: ns-mul-extI*[of *A A {#} A A {#} ns s*] *multipw-refl'*)

**lemma** *ns-mul-ext-refl*:  
**assumes** *refl ns*  
**shows**  $(A, A) \in ns\text{-mul-ext } ns \ s$   
**using** *assms ns-mul-ext-refl-local*[of *ns A s*] **unfolding** *refl-on-def locally-refl-def*  
**by** *auto*

The orders are union-compatible

**lemma** *ns-s-mul-ext-union-multiset-l*:  
**assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $C \neq \{\#\}$   
**and**  $\forall d. d \in\# D \longrightarrow (\exists c. c \in\# C \wedge (c,d) \in s)$   
**shows**  $(A + C, B + D) \in s\text{-mul-ext } ns \ s$   
**using** *assms* **unfolding** *ns-mul-ext-def s-mul-ext-def*  
**by** (*auto intro!: converseI mult2-alt-ns-s-add mult2-alt-sI*[of  $- \{\#\} - - \{\#\}$ ])

**lemma** *s-mul-ext-union-compat*:  
**assumes**  $(A, B) \in s\text{-mul-ext } ns \ s$   
**and** *locally-refl ns C*  
**shows**  $(A + C, B + C) \in s\text{-mul-ext } ns \ s$   
**using** *assms ns-mul-ext-refl-local*[*OF assms(2)*] **unfolding** *ns-mul-ext-def s-mul-ext-def*  
**by** (*auto intro!: converseI mult2-alt-s-ns-add*)

**lemma** *ns-mul-ext-union-compat*:  
**assumes**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and** *locally-refl ns C*  
**shows**  $(A + C, B + C) \in ns\text{-mul-ext } ns \ s$   
**using** *assms ns-mul-ext-refl-local*[*OF assms(2)*] **unfolding** *ns-mul-ext-def s-mul-ext-def*  
**by** (*auto intro!: converseI mult2-alt-ns-ns-add*)

**context**  
**fixes** *NS :: 'a rel*  
**assumes** *NS: refl NS*  
**begin**

**lemma** *refl-imp-locally-refl*: *locally-refl NS A* **using** *NS* **unfolding** *refl-on-def locally-refl-def* **by** *auto*

**lemma** *supseteq-imp-ns-mul-ext*:  
**assumes**  $A \supseteq\# B$   
**shows**  $(A, B) \in ns\text{-mul-ext } NS \ S$

```

using assms
by (auto intro!: ns-mul-extI[of A B A - B B B {#}]] multpw-refl' refl-imp-locally-refl
     simp: subset-mset.add-diff-inverse)

lemma supset-imp-s-mul-ext:
  assumes  $A \supset\# B$ 
  shows  $(A, B) \in s\text{-mul-ext } NS S$ 
  using assms subset-mset.add-diff-inverse[of B A]
  by (auto intro!: s-mul-extI[of A B A - B B B {#}]] multpw-refl' refl-imp-locally-refl
     simp: Diff-eq-empty-iff-mset)

end

definition mul-ext :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool  $\times$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  $\times$  bool
  where mul-ext f xs ys  $\equiv$  let s = {(x,y). fst (f x y)}; ns = {(x,y). snd (f x y)}
     in ((mset xs,mset ys)  $\in$  s-mul-ext ns s, (mset xs, mset ys)  $\in$  ns-mul-ext ns s)

definition smulextp f m n  $\longleftrightarrow$  (m, n)  $\in$  s-mul-ext {(x, y). snd (f x y)} {(x, y).
fst (f x y)}
definition nsmulextp f m n  $\longleftrightarrow$  (m, n)  $\in$  ns-mul-ext {(x, y). snd (f x y)} {(x, y).
fst (f x y)}

lemma smulextp-cong[fundef-cong]:
  assumes xs1 = ys1
  and xs2 = ys2
  and  $\bigwedge x x'. x \in\# ys1 \implies x' \in\# ys2 \implies f x x' = g x x'$ 
  shows smulextp f xs1 xs2 = smulextp g ys1 ys2
  unfolding smulextp-def
proof
  assume (xs1, xs2)  $\in$  s-mul-ext {(x, y). snd (f x y)} {(x, y). fst (f x y)}
  from s-mul-ext-local-mono[OF - - this, of {(x, y). snd (g x y)} {(x, y). fst (g x
y)}]]
  show (ys1, ys2)  $\in$  s-mul-ext {(x, y). snd (g x y)} {(x, y). fst (g x y)}
  using assms by force
next
  assume (ys1, ys2)  $\in$  s-mul-ext {(x, y). snd (g x y)} {(x, y). fst (g x y)}
  from s-mul-ext-local-mono[OF - - this, of {(x, y). snd (f x y)} {(x, y). fst (f x
y)}]]
  show (xs1, xs2)  $\in$  s-mul-ext {(x, y). snd (f x y)} {(x, y). fst (f x y)}
  using assms by force
qed

lemma nsmulextp-cong[fundef-cong]:
  assumes xs1 = ys1
  and xs2 = ys2
  and  $\bigwedge x x'. x \in\# ys1 \implies x' \in\# ys2 \implies f x x' = g x x'$ 
  shows nsmulextp f xs1 xs2 = nsmulextp g ys1 ys2
  unfolding nsmulextp-def

```

**proof**

**assume**  $(xs1, xs2) \in ns\text{-mul-ext } \{(x, y). snd (f x y)\} \{(x, y). fst (f x y)\}$   
**from**  $ns\text{-mul-ext-local-mono}[OF - - \text{this, of } \{(x, y). snd (g x y)\} \{(x, y). fst (g x y)\}]$   
**show**  $(ys1, ys2) \in ns\text{-mul-ext } \{(x, y). snd (g x y)\} \{(x, y). fst (g x y)\}$   
**using** *assms* **by** *force*  
**next**  
**assume**  $(ys1, ys2) \in ns\text{-mul-ext } \{(x, y). snd (g x y)\} \{(x, y). fst (g x y)\}$   
**from**  $ns\text{-mul-ext-local-mono}[OF - - \text{this, of } \{(x, y). snd (f x y)\} \{(x, y). fst (f x y)\}]$   
**show**  $(xs1, xs2) \in ns\text{-mul-ext } \{(x, y). snd (f x y)\} \{(x, y). fst (f x y)\}$   
**using** *assms* **by** *force*  
**qed**

**definition**  $mulextp f m n = (smulextp f m n, nsmulextp f m n)$

**lemma**  $mulextp\text{-cong}[fundef\text{-cong}]$ :

**assumes**  $xs1 = ys1$   
**and**  $xs2 = ys2$   
**and**  $\bigwedge x x'. x \in \# ys1 \implies x' \in \# ys2 \implies f x x' = g x x'$   
**shows**  $mulextp f xs1 xs2 = mulextp g ys1 ys2$   
**unfolding**  $mulextp\text{-def}$  **using** *assms* **by** (*auto cong: nsmulextp-cong smulextp-cong*)

**lemma**  $mset\text{-s-mul-ext}$ :

$(mset xs, mset ys) \in s\text{-mul-ext } \{(x, y). snd (f x y)\} \{(x, y).fst (f x y)\} \longleftrightarrow$   
 $fst (mul\text{-ext } f xs ys)$   
**by** (*auto simp: mul-ext-def Let-def*)

**lemma**  $mset\text{-ns-mul-ext}$ :

$(mset xs, mset ys) \in ns\text{-mul-ext } \{(x, y). snd (f x y)\} \{(x, y).fst (f x y)\} \longleftrightarrow$   
 $snd (mul\text{-ext } f xs ys)$   
**by** (*auto simp: mul-ext-def Let-def*)

**lemma**  $smulextp\text{-mset-code}$ :

$smulextp f (mset xs) (mset ys) \longleftrightarrow fst (mul\text{-ext } f xs ys)$   
**unfolding**  $smulextp\text{-def}$   $mset\text{-s-mul-ext ..}$

**lemma**  $nsmulextp\text{-mset-code}$ :

$nsmulextp f (mset xs) (mset ys) \longleftrightarrow snd (mul\text{-ext } f xs ys)$   
**unfolding**  $nsmulextp\text{-def}$   $mset\text{-ns-mul-ext ..}$

**lemma**  $nstri\text{-mul-ext-map}$ :

**assumes**  $\bigwedge s t. s \in set ss \implies t \in set ts \implies fst (order s t) \implies fst (order' (f s) (f t))$   
**and**  $\bigwedge s t. s \in set ss \implies t \in set ts \implies snd (order s t) \implies snd (order' (f s) (f t))$   
**and**  $snd (mul\text{-ext } order ss ts)$   
**shows**  $snd (mul\text{-ext } order' (map f ss) (map f ts))$



**using** *assms mult2-alt-map*[of *mset ts mset ss*  $\{(t, s). \text{snd } (\text{order } s \ t)\} \text{ } f f$   
 $\{(t, s). \text{snd } (\text{order}' \ s \ t)\} \{(t, s). \text{fst } (\text{order } s \ t)\} \{(t, s). \text{fst } (\text{order}' \ s \ t)\}$  *True*]  
**by** (*auto simp: mul-ext-def ns-mul-ext-def converse-unfold*)

**lemma** *stri-mul-ext-map*:

**assumes**  $\bigwedge s \ t. s \in \text{set } ss \implies t \in \text{set } ts \implies \text{fst } (\text{order } s \ t) \implies \text{fst } (\text{order}' \ (f \ s)$   
 $(f \ t))$   
**and**  $\bigwedge s \ t. s \in \text{set } ss \implies t \in \text{set } ts \implies \text{snd } (\text{order } s \ t) \implies \text{snd } (\text{order}' \ (f \ s) \ (f$   
 $t))$   
**and** *fst (mul-ext order ss ts)*  
**shows** *fst (mul-ext order' (map f ss) (map f ts))*  
**using** *assms mult2-alt-map*[of *mset ts mset ss*  $\{(t,s). \text{snd } (\text{order } s \ t)\} \text{ } f f$   
 $\{(t, s). \text{snd } (\text{order}' \ s \ t)\} \{(t, s). \text{fst } (\text{order } s \ t)\} \{(t, s). \text{fst } (\text{order}' \ s \ t)\}$  *False*]  
**by** (*auto simp: mul-ext-def s-mul-ext-def converse-unfold*)

The non-strict order is transitive.

**lemma** *ns-mul-ext-trans*:

**assumes** *trans s trans ns compatible-l ns s compatible-r ns s refl ns*  
**and**  $(A, B) \in \text{ns-mul-ext } ns \ s$   
**and**  $(B, C) \in \text{ns-mul-ext } ns \ s$   
**shows**  $(A, C) \in \text{ns-mul-ext } ns \ s$   
**using** *assms unfolding compatible-l-def compatible-r-def ns-mul-ext-def*  
**using** *trans-mult2-ns*[of  $s^{-1} \ ns^{-1}$ ]  
**by** (*auto simp: mult2-ns-eq-mult2-ns-alt converse-relcomp[symmetric]*) (*metis trans-def*)

The strict order is trans.

**lemma** *s-mul-ext-trans*:

**assumes** *trans s trans ns compatible-l ns s compatible-r ns s refl ns*  
**and**  $(A, B) \in \text{s-mul-ext } ns \ s$   
**and**  $(B, C) \in \text{s-mul-ext } ns \ s$   
**shows**  $(A, C) \in \text{s-mul-ext } ns \ s$   
**using** *assms unfolding compatible-l-def compatible-r-def s-mul-ext-def*  
**using** *trans-mult2-s*[of  $s^{-1} \ ns^{-1}$ ]  
**by** (*auto simp: mult2-s-eq-mult2-s-alt converse-relcomp[symmetric]*) (*metis trans-def*)

The strict order is compatible on the left with the non strict one

**lemma** *s-ns-mul-ext-trans*:

**assumes** *trans s trans ns compatible-l ns s compatible-r ns s refl ns*  
**and**  $(A, B) \in \text{s-mul-ext } ns \ s$   
**and**  $(B, C) \in \text{ns-mul-ext } ns \ s$   
**shows**  $(A, C) \in \text{s-mul-ext } ns \ s$   
**using** *assms unfolding compatible-l-def compatible-r-def s-mul-ext-def ns-mul-ext-def*  
**using** *compat-mult2(1)*[of  $s^{-1} \ ns^{-1}$ ]  
**by** (*auto simp: mult2-s-eq-mult2-s-alt mult2-ns-eq-mult2-ns-alt converse-relcomp[symmetric]*)

The strict order is compatible on the right with the non-strict one.

**lemma** *ns-s-mul-ext-trans*:

**assumes** *trans s trans ns compatible-l ns s compatible-r ns s refl ns*

**and**  $(A, B) \in ns\text{-mul-ext } ns \ s$   
**and**  $(B, C) \in s\text{-mul-ext } ns \ s$   
**shows**  $(A, C) \in s\text{-mul-ext } ns \ s$   
**using** *assms unfolding compatible-l-def compatible-r-def s-mul-ext-def ns-mul-ext-def*  
**using** *compat-mult2(2)[of  $s^{-1} \ ns^{-1}$ ]*  
**by** (*auto simp: mult2-s-eq-mult2-s-alt mult2-ns-eq-mult2-ns-alt converse-relcomp[symmetric]*)  
  
 $s\text{-mul-ext}$  is strongly normalizing

**lemma** *SN-s-mul-ext-strong:*

**assumes** *order-pair s ns*  
**and**  $\forall y. y \in\# M \longrightarrow SN\text{-on } s \ \{y\}$   
**shows**  $SN\text{-on } (s\text{-mul-ext } ns \ s) \ \{M\}$   
**using** *mult2-s-eq-mult2-s-alt[of  $ns^{-1} \ s^{-1}$ ] assms wf-below-pointwise[of  $s^{-1} \ set\text{-mset } M$ ]*  
**unfolding** *SN-on-iff-wf-below s-mul-ext-def order-pair-def compat-pair-def pre-order-pair-def*  
**by** (*auto intro!: wf-below-mult2-s-local simp: converse-relcomp[symmetric]*)

**lemma** *SN-s-mul-ext:*

**assumes** *order-pair s ns SN s*  
**shows**  $SN \ (s\text{-mul-ext } ns \ s)$   
**using** *SN-s-mul-ext-strong[OF assms(1)] assms(2)*  
**by** (*auto simp: SN-on-def*)

**lemma** (*in order-pair*) *mul-ext-order-pair:*

*order-pair (s-mul-ext NS S) (ns-mul-ext NS S) (is order-pair ?S ?NS)*

**proof**

**from** *s-mul-ext-trans trans-S trans-NS compat-NS-S compat-S-NS refl-NS*

**show**  $trans \ ?S$  **unfolding** *trans-def compatible-l-def compatible-r-def* **by** *blast*

**next**

**from** *ns-mul-ext-trans trans-S trans-NS compat-NS-S compat-S-NS refl-NS*

**show**  $trans \ ?NS$  **unfolding** *trans-def compatible-l-def compatible-r-def* **by** *blast*

**next**

**from** *ns-s-mul-ext-trans trans-S trans-NS compat-NS-S compat-S-NS refl-NS*

**show**  $?NS \ O \ ?S \subseteq ?S$  **unfolding** *trans-def compatible-l-def compatible-r-def* **by**

*blast*

**next**

**from** *s-ns-mul-ext-trans trans-S trans-NS compat-NS-S compat-S-NS refl-NS*

**show**  $?S \ O \ ?NS \subseteq ?S$  **unfolding** *trans-def compatible-l-def compatible-r-def* **by**

*blast*

**next**

**from** *ns-mul-ext-refl[OF refl-NS, of - S]*

**show** *refl ?NS* **unfolding** *refl-on-def* **by** *fast*

**qed**

**lemma** (*in SN-order-pair*) *mul-ext-SN-order-pair: SN-order-pair (s-mul-ext NS S)*  
*(ns-mul-ext NS S)*

*(is SN-order-pair ?S ?NS)*

**proof** –

**from** *mul-ext-order-pair*

**interpret** *order-pair* ?S ?NS .  
**have** *order-pair* S NS **by** *unfold-locales*  
**then interpret** *SN-ars* ?S **using** *SN-s-mul-ext*[of S NS] *SN* **by** *unfold-locales*  
**show** *?thesis* **by** *unfold-locales*  
**qed**

**lemma** *mul-ext-compat*:

**assumes** *compat*:  $\bigwedge s t u. \llbracket s \in \text{set } ss; t \in \text{set } ts; u \in \text{set } us \rrbracket \implies$

$(\text{snd } (f s t) \wedge \text{fst } (f t u) \longrightarrow \text{fst } (f s u)) \wedge$   
 $(\text{fst } (f s t) \wedge \text{snd } (f t u) \longrightarrow \text{fst } (f s u)) \wedge$   
 $(\text{snd } (f s t) \wedge \text{snd } (f t u) \longrightarrow \text{snd } (f s u)) \wedge$   
 $(\text{fst } (f s t) \wedge \text{fst } (f t u) \longrightarrow \text{fst } (f s u))$

**shows**

$(\text{snd } (\text{mul-ext } f ss ts) \wedge \text{fst } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{fst } (\text{mul-ext } f ss ts) \wedge \text{snd } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{snd } (\text{mul-ext } f ss ts) \wedge \text{snd } (\text{mul-ext } f ts us) \longrightarrow \text{snd } (\text{mul-ext } f ss us)) \wedge$   
 $(\text{fst } (\text{mul-ext } f ss ts) \wedge \text{fst } (\text{mul-ext } f ts us) \longrightarrow \text{fst } (\text{mul-ext } f ss us))$

**proof** –

**let** ?s =  $\{(x, y). \text{fst } (f x y)\}^{-1}$  **and** ?ns =  $\{(x, y). \text{snd } (f x y)\}^{-1}$

**have** [*dest*]:  $(\text{mset } ts, \text{mset } ss) \in \text{mult2-alt } b2 \text{ ?ns ?s} \implies (\text{mset } us, \text{mset } ts) \in$   
 $\text{mult2-alt } b1 \text{ ?ns ?s} \implies$

$(\text{mset } us, \text{mset } ss) \in \text{mult2-alt } (b1 \wedge b2) \text{ ?ns ?s}$  **for** *b1 b2*

**using** *assms* **by** (*auto intro!*: *trans-mult2-alt-local*[of - *mset ts*] *simp: in-multiset-in-set*)

**show** *?thesis* **by** (*auto simp: mul-ext-def s-mul-ext-def ns-mul-ext-def Let-def*)

**qed**

**lemma** *mul-ext-cong*[*fundef-cong*]:

**assumes** *mset xs1 = mset ys1*

**and** *mset xs2 = mset ys2*

**and**  $\bigwedge x x'. x \in \text{set } ys1 \implies x' \in \text{set } ys2 \implies f x x' = g x x'$

**shows**  $\text{mul-ext } f xs1 xs2 = \text{mul-ext } g ys1 ys2$

**using** *assms*

$\text{mult2-alt-map}$ [of *mset xs2 mset xs1*  $\{(x, y). \text{snd } (f x y)\}^{-1}$  *id id*  $\{(x, y). \text{snd } (g$

$x y)\}^{-1}$

$\{(x, y). \text{fst } (f x y)\}^{-1}$   $\{(x, y). \text{fst } (g x y)\}^{-1}$ ]

$\text{mult2-alt-map}$ [of *mset ys2 mset ys1*  $\{(x, y). \text{snd } (g x y)\}^{-1}$  *id id*  $\{(x, y). \text{snd } (f$

$x y)\}^{-1}$

$\{(x, y). \text{fst } (g x y)\}^{-1}$   $\{(x, y). \text{fst } (f x y)\}^{-1}$ ]

**by** (*auto simp: mul-ext-def s-mul-ext-def ns-mul-ext-def Let-def in-multiset-in-set*)

**lemma** *all-nstri-imp-mul-nstri*:

**assumes**  $\forall i < \text{length } ys. \text{snd } (f (xs ! i) (ys ! i))$

**and** *length xs = length ys*

**shows**  $\text{snd } (\text{mul-ext } f xs ys)$

**proof**–

**from** *assms*(1) **have**  $\forall i. i < \text{length } ys \longrightarrow (xs ! i, ys ! i) \in \{(x, y). \text{snd } (f x y)\}$

**by** *simp*

**from** *all-ns-ns-mul-ext*[*OF assms*(2) *this*] **show** *?thesis* **by** (*simp add: mul-ext-def*)

**qed**

**lemma** *relation-inter*:

**shows**  $\{(x,y). P x y\} \cap \{(x,y). Q x y\} = \{(x,y). P x y \wedge Q x y\}$   
**by** *blast*

**lemma** *mul-ext-unfold*:

$(x,y) \in \{(a,b). fst (mul-ext g a b)\} \longleftrightarrow (mset x, mset y) \in (s-mul-ext \{(a,b). snd (g a b)\} \{(a,b). fst (g a b)\})$   
**unfolding** *mul-ext-def* **by** (*simp add: Let-def*)

The next lemma is a local version of strong-normalization of the multi-set extension, where the base-order only has to be strongly normalizing on elements of the multisets. This will be crucial for orders that are defined recursively on terms, such as RPO or WPO.

**lemma** *mul-ext-SN*:

**assumes**  $\forall x. snd (g x x)$   
**and**  $\forall x y z. fst (g x y) \longrightarrow snd (g y z) \longrightarrow fst (g x z)$   
**and**  $\forall x y z. snd (g x y) \longrightarrow fst (g y z) \longrightarrow fst (g x z)$   
**and**  $\forall x y z. snd (g x y) \longrightarrow snd (g y z) \longrightarrow snd (g x z)$   
**and**  $\forall x y z. fst (g x y) \longrightarrow fst (g y z) \longrightarrow fst (g x z)$

**shows**  $SN \{(ys, xs).$   
 $(\forall y \in set ys. SN-on \{(s, t). fst (g s t)\} \{y\}) \wedge$   
 $fst (mul-ext g ys xs)\}$

**proof** –

**let**  $?R1 = \lambda xs ys. \forall y \in set ys. SN-on \{(s, t). fst (g s t)\} \{y\}$

**let**  $?R2 = \lambda xs ys. fst (mul-ext g ys xs)$

**let**  $?s = \{(x,y). fst (g x y)\}$  **and**  $?ns = \{(x,y). snd (g x y)\}$

**have** *OP*: *order-pair*  $?s$   $?ns$  **using** *assms(1–5)*

**by** *unfold-locales* ((*unfold refl-on-def trans-def*)?, *blast*)+

**let**  $?R = \{(ys, xs). ?R1 xs ys \wedge ?R2 xs ys\}$

**let**  $?Sn = SN-on ?R$

{

**fix**  $ys xs$

**assume**  $R-ys-xs: (ys, xs) \in ?R$

**let**  $?mys = mset ys$

**let**  $?mxs = mset xs$

**from**  $R-ys-xs$  **have**  $HSN-ys: \forall y. y \in set ys \longrightarrow SN-on ?s \{y\}$  **by** *simp*

**with** *in-multiset-in-set[of ys]* **have**  $\forall y. y \in \# ?mys \longrightarrow SN-on ?s \{y\}$  **by** *simp*

**from**  $SN-s-mul-ext-strong[OF OP this]$  **and** *mul-ext-unfold*

**have**  $SN-on \{(ys, xs). fst (mul-ext g ys xs)\} \{ys\}$  **by** *fast*

**from** *relation-inter[of ?R2 ?R1]* **and** *SN-on-weakening[OF this]*

**have**  $SN-on ?R \{ys\}$  **by** *blast*

}

**then have**  $Hyp: \forall ys xs. (ys, xs) \in ?R \longrightarrow SN-on ?R \{ys\}$  **by** *auto*

{

**fix**  $ys$

**have**  $SN-on ?R \{ys\}$

**proof** (*cases*  $\exists xs. (ys, xs) \in ?R$ )

**case** *True* **with**  $Hyp$  **show** *thesis* **by** *simp*

```

next
  case False then show ?thesis by auto
qed
}
then show ?thesis unfolding SN-on-def by simp
qed

```

```

lemma mul-ext-stri-imp-nstri:
  assumes fst (mul-ext f as bs)
  shows snd (mul-ext f as bs)
  using assms and s-ns-mul-ext unfolding mul-ext-def by (auto simp: Let-def)

```

```

lemma ns-ns-mul-ext-union-compat:
  assumes (A,B) ∈ ns-mul-ext ns s
  and (C,D) ∈ ns-mul-ext ns s
  shows (A + C, B + D) ∈ ns-mul-ext ns s
  using assms by (auto simp: ns-mul-ext-def intro: mult2-alt-ns-ns-add)

```

```

lemma s-ns-mul-ext-union-compat:
  assumes (A,B) ∈ s-mul-ext ns s
  and (C,D) ∈ ns-mul-ext ns s
  shows (A + C, B + D) ∈ s-mul-ext ns s
  using assms by (auto simp: s-mul-ext-def ns-mul-ext-def intro: mult2-alt-s-ns-add)

```

```

lemma ns-ns-mul-ext-union-compat-rtrancl: assumes refl: refl ns
  and AB: (A, B) ∈ (ns-mul-ext ns s)*
  and CD: (C, D) ∈ (ns-mul-ext ns s)*
shows (A + C, B + D) ∈ (ns-mul-ext ns s)*
proof -
{
  fix A B C
  assume (A, B) ∈ (ns-mul-ext ns s)*
  then have (A + C, B + C) ∈ (ns-mul-ext ns s)*
  proof (induct rule: rtrancl-induct)
  case (step B D)
  have (C, C) ∈ ns-mul-ext ns s
  by (rule ns-mul-ext-refl, insert refl, auto simp: locally-refl-def refl-on-def)
  from ns-ns-mul-ext-union-compat[OF step(2) this] step(3)
  show ?case by auto
  qed auto
}
from this[OF AB, of C] this[OF CD, of B]
show ?thesis by (auto simp: ac-simps)
qed

```

#### 4.4 Multisets as order on lists

```

interpretation mul-ext-list: list-order-extension
  ls ns. {(as, bs). (mset as, mset bs) ∈ s-mul-ext ns s}

```

$\lambda s ns. \{(as, bs). (mset\ as, mset\ bs) \in ns\text{-mul-ext}\ ns\ s\}$   
**proof** –  
**let**  $?m = mset :: ('a\ list \Rightarrow 'a\ multiset)$   
**let**  $?S = \lambda s ns. \{(as, bs). (?m\ as, ?m\ bs) \in s\text{-mul-ext}\ ns\ s\}$   
**let**  $?NS = \lambda s ns. \{(as, bs). (?m\ as, ?m\ bs) \in ns\text{-mul-ext}\ ns\ s\}$   
**show** *list-order-extension*  $?S\ ?NS$   
**proof** (*rule list-order-extension.intro*)  
**fix**  $s\ ns$   
**let**  $?s = ?S\ s\ ns$   
**let**  $?ns = ?NS\ s\ ns$   
**assume** *SN-order-pair*  $s\ ns$   
**then interpret** *SN-order-pair*  $s\ ns$  .  
**interpret** *SN-order-pair* ( $s\text{-mul-ext}\ ns\ s$ ) ( $ns\text{-mul-ext}\ ns\ s$ )  
**by** (*rule mul-ext-SN-order-pair*)  
**show** *SN-order-pair*  $?s\ ?ns$   
**proof**  
**show** *refl*  $?ns$  **using** *refl-NS unfolding refl-on-def* **by** *blast*  
**show**  $?ns\ O\ ?s \subseteq ?s$  **using** *compat-NS-S* **by** *blast*  
**show**  $?s\ O\ ?ns \subseteq ?s$  **using** *compat-S-NS* **by** *blast*  
**show** *trans*  $?ns$  **using** *trans-NS unfolding trans-def* **by** *blast*  
**show** *trans*  $?s$  **using** *trans-S unfolding trans-def* **by** *blast*  
**show** *SN*  $?s$  **using** *SN-inv-image[OF SN, of ?m, unfolded inv-image-def]* .  
**qed**  
**next**  
**fix**  $S\ f\ NS\ as\ bs$   
**assume**  $\bigwedge a\ b. (a, b) \in S \Longrightarrow (f\ a, f\ b) \in S$   
 $\bigwedge a\ b. (a, b) \in NS \Longrightarrow (f\ a, f\ b) \in NS$   
 $(as, bs) \in ?S\ S\ NS$   
**then show**  $(map\ f\ as, map\ f\ bs) \in ?S\ S\ NS$   
**using** *mult2-alt-map[of - - NS<sup>-1</sup> f f NS<sup>-1</sup> S<sup>-1</sup> S<sup>-1</sup>]* **by** (*auto simp: mset-map*  
*s-mul-ext-def*)  
**next**  
**fix**  $S\ f\ NS\ as\ bs$   
**assume**  $\bigwedge a\ b. (a, b) \in S \Longrightarrow (f\ a, f\ b) \in S$   
 $\bigwedge a\ b. (a, b) \in NS \Longrightarrow (f\ a, f\ b) \in NS$   
 $(as, bs) \in ?NS\ S\ NS$   
**then show**  $(map\ f\ as, map\ f\ bs) \in ?NS\ S\ NS$   
**using** *mult2-alt-map[of - - NS<sup>-1</sup> f f NS<sup>-1</sup> S<sup>-1</sup> S<sup>-1</sup>]* **by** (*auto simp: mset-map*  
*ns-mul-ext-def*)  
**next**  
**fix**  $as\ bs :: 'a\ list$  **and**  $NS\ S :: 'a\ rel$   
**assume** *ass: length as = length bs*  
 $\bigwedge i. i < length\ bs \Longrightarrow (as\ !\ i, bs\ !\ i) \in NS$   
**show**  $(as, bs) \in ?NS\ S\ NS$   
**by** (*rule, unfold split, rule all-ns-ns-mul-ext, insert ass, auto*)  
**qed**  
**qed**

**lemma** *s-mul-ext-singleton* [*simp, intro*]:

**assumes**  $(a, b) \in s$   
**shows**  $(\{\#a\}, \{\#b\}) \in s\text{-mul-ext } ns \ s$   
**using** *assms* **by** (*auto simp: s-mul-ext-def mult2-alt-s-single*)

**lemma** *ns-mul-ext-singleton* [*simp, intro*]:  
 $(a, b) \in ns \implies (\{\#a\}, \{\#b\}) \in ns\text{-mul-ext } ns \ s$   
**by** (*auto simp: ns-mul-ext-def multpw-converse intro: multpw-implies-mult2-alt-ns multpw-single*)

**lemma** *ns-mul-ext-singleton2*:  
 $(a, b) \in s \implies (\{\#a\}, \{\#b\}) \in ns\text{-mul-ext } ns \ s$   
**by** (*intro s-ns-mul-ext s-mul-ext-singleton*)

**lemma** *s-mul-ext-self-extend-left*:  
**assumes**  $A \neq \{\#\}$  **and** *locally-refl*  $W \ B$   
**shows**  $(A + B, B) \in s\text{-mul-ext } W \ S$   
**proof** –  
**have**  $(A + B, \{\#\} + B) \in s\text{-mul-ext } W \ S$   
**using** *assms* **by** (*intro s-mul-ext-union-compat*) (*auto dest: s-mul-ext-bottom*)  
**then show** *?thesis* **by** *simp*  
**qed**

**lemma** *s-mul-ext-ne-extend-left*:  
**assumes**  $A \neq \{\#\}$  **and**  $(B, C) \in ns\text{-mul-ext } W \ S$   
**shows**  $(A + B, C) \in s\text{-mul-ext } W \ S$   
**using** *assms*  
**proof** –  
**have**  $(A + B, \{\#\} + C) \in s\text{-mul-ext } W \ S$   
**using** *assms* **by** (*intro s-ns-mul-ext-union-compat*)  
*(auto simp: s-mul-ext-bottom dest: s-ns-mul-ext)*  
**then show** *?thesis* **by** (*simp add: ac-simps*)  
**qed**

**lemma** *s-mul-ext-extend-left*:  
**assumes**  $(B, C) \in s\text{-mul-ext } W \ S$   
**shows**  $(A + B, C) \in s\text{-mul-ext } W \ S$   
**using** *assms*  
**proof** –  
**have**  $(B + A, C + \{\#\}) \in s\text{-mul-ext } W \ S$   
**using** *assms* **by** (*intro s-ns-mul-ext-union-compat*)  
*(auto simp: ns-mul-ext-bottom dest: s-ns-mul-ext)*  
**then show** *?thesis* **by** (*simp add: ac-simps*)  
**qed**

**lemma** *mul-ext-mono*:  
**assumes**  $\bigwedge x \ y. \llbracket x \in \text{set } xs; y \in \text{set } ys; \text{fst } (P \ x \ y) \rrbracket \implies \text{fst } (P' \ x \ y)$   
**and**  $\bigwedge x \ y. \llbracket x \in \text{set } xs; y \in \text{set } ys; \text{snd } (P \ x \ y) \rrbracket \implies \text{snd } (P' \ x \ y)$   
**shows**  
 $\text{fst } (mul\text{-ext } P \ xs \ ys) \implies \text{fst } (mul\text{-ext } P' \ xs \ ys)$

$snd (mul-ext P xs ys) \implies snd (mul-ext P' xs ys)$   
**unfolding** *mul-ext-def Let-def fst-conv snd-conv*  
**proof** –  
**assume** *mem*:  $(mset xs, mset ys) \in s-mul-ext \{(x, y). snd (P x y)\} \{(x, y). fst (P x y)\}$   
**show**  $(mset xs, mset ys) \in s-mul-ext \{(x, y). snd (P' x y)\} \{(x, y). fst (P' x y)\}$   
**by** (*rule s-mul-ext-local-mono[OF - - mem]*, *insert assms*, *auto*)  
**next**  
**assume** *mem*:  $(mset xs, mset ys) \in ns-mul-ext \{(x, y). snd (P x y)\} \{(x, y). fst (P x y)\}$   
**show**  $(mset xs, mset ys) \in ns-mul-ext \{(x, y). snd (P' x y)\} \{(x, y). fst (P' x y)\}$   
**by** (*rule ns-mul-ext-local-mono[OF - - mem]*, *insert assms*, *auto*)  
**qed**

## 4.5 Special case: non-strict order is equality

**lemma** *ns-mul-ext-IdE*:

**assumes**  $(M, N) \in ns-mul-ext Id R$   
**obtains**  $X$  and  $Y$  and  $Z$  **where**  $M = X + Z$  and  $N = Y + Z$   
**and**  $\forall y \in set-mset Y. \exists x \in set-mset X. (x, y) \in R$   
**using** *assms*  
**by** (*auto simp: ns-mul-ext-def elim!: mult2-alt-nsE*) (*insert union-commute, blast*)

**lemma** *ns-mul-ext-IdI*:

**assumes**  $M = X + Z$  and  $N = Y + Z$  and  $\forall y \in set-mset Y. \exists x \in set-mset X. (x, y) \in R$   
**shows**  $(M, N) \in ns-mul-ext Id R$   
**using** *assms mult2-alt-nsI[of N Z Y M Z X Id R<sup>-1</sup>]*  
**by** (*auto simp: ns-mul-ext-def*)

**lemma** *s-mul-ext-IdE*:

**assumes**  $(M, N) \in s-mul-ext Id R$   
**obtains**  $X$  and  $Y$  and  $Z$  **where**  $X \neq \{\#\}$  and  $M = X + Z$  and  $N = Y + Z$   
**and**  $\forall y \in set-mset Y. \exists x \in set-mset X. (x, y) \in R$   
**using** *assms*  
**by** (*auto simp: s-mul-ext-def elim!: mult2-alt-sE*) (*metis union-commute*)

**lemma** *s-mul-ext-IdI*:

**assumes**  $X \neq \{\#\}$  and  $M = X + Z$  and  $N = Y + Z$   
**and**  $\forall y \in set-mset Y. \exists x \in set-mset X. (x, y) \in R$   
**shows**  $(M, N) \in s-mul-ext Id R$   
**using** *assms mult2-alt-sI[of N Z Y M Z X Id R<sup>-1</sup>]*  
**by** (*auto simp: s-mul-ext-def ac-simps*)

**lemma** *mult-s-mul-ext-conv*:

**assumes** *trans R*  
**shows**  $(mult (R^{-1}))^{-1} = s-mul-ext Id R$   
**using** *mult2-s-eq-mult2-s-alt[of Id R<sup>-1</sup>]* *assms*



by (auto simp: s-mul-ext-def refl-Id mult2-s-def)

**lemma** ns-mul-ext-Id-eq:

ns-mul-ext Id R = (s-mul-ext Id R)=

by (auto simp add: ns-mul-ext-def s-mul-ext-def mult2-alt-ns-conv)

**lemma** subseteq-mset-imp-ns-mul-ext-Id:

assumes  $A \subseteq\# B$

shows  $(B, A) \in ns\text{-mul-ext Id R}$

**proof** –

**obtain** C **where** [simp]:  $B = C + A$  **using** assms **by** (auto simp: mset-subset-eq-exists-conv ac-simps)

**have**  $(C + A, \{\#\} + A) \in ns\text{-mul-ext Id R}$

**by** (intro ns-mul-ext-IdI [of - C A - \{\#\}]) auto

**then show** ?thesis **by** simp

**qed**

**lemma** subset-mset-imp-s-mul-ext-Id:

assumes  $A \subset\# B$

shows  $(B, A) \in s\text{-mul-ext Id R}$

**using** assms **by** (intro supset-imp-s-mul-ext) (auto simp: refl-Id)

**end**

## 4.6 Executable version

**theory** Multiset-Extension2-Impl

**imports**

HOL-Library.DAList-Multiset

List-Order

Multiset-Extension2

Multiset-Extension-Pair-Impl

**begin**

**lemma** mul-ext-list-ext:  $\exists s ns.$  list-order-extension-impl s ns mul-ext

**proof**(intro exI)

**let** ?s =  $\lambda s ns.$   $\{(a,b). (mset\ as, mset\ bs) \in s\text{-mul-ext ns s}\}$

**let** ?ns =  $\lambda s ns.$   $\{(a,b). (mset\ as, mset\ bs) \in ns\text{-mul-ext ns s}\}$

**let** ?m = mset

**show** list-order-extension-impl ?s ?ns mul-ext

**proof**

**fix** s ns

**show** ?s  $\{(a,b). s\ a\ b\}$   $\{(a,b). ns\ a\ b\}$  =  $\{(a,b). fst\ (mul\text{-ext}\ (\lambda a\ b. (s\ a\ b, ns\ a\ b))\ as\ bs)\}$

**unfolding** mul-ext-def Let-def **by** auto

**next**

```

fix s ns
show ?ns {(a,b). s a b} {(a,b). ns a b} = {(as,bs). snd (mul-ext (λ a b. (s a b,
ns a b)) as bs)}
  unfolding mul-ext-def Let-def by auto
next
fix s ns s' ns' as bs
assume set as × set bs ∩ ns ⊆ ns'
          set as × set bs ∩ s ⊆ s'
          (as,bs) ∈ ?s s ns
then show (as,bs) ∈ ?s s' ns'
  using s-mul-ext-local-mono[of ?m as ?m bs ns ns' s s']
  unfolding set-mset-mset by auto
next
fix s ns s' ns' as bs
assume set as × set bs ∩ ns ⊆ ns'
          set as × set bs ∩ s ⊆ s'
          (as,bs) ∈ ?ns s ns
then show (as,bs) ∈ ?ns s' ns'
  using ns-mul-ext-local-mono[of ?m as ?m bs ns ns' s s']
  unfolding set-mset-mset by auto
qed
qed

```

```

context fixes sns :: 'a ⇒ 'a ⇒ bool × bool
begin

```

```

fun mul-ext-impl :: 'a list ⇒ 'a list ⇒ bool × bool
and mul-ex-dom :: 'a list ⇒ 'a list ⇒ 'a ⇒ 'a list ⇒ bool × bool
where

```

```

  mul-ext-impl [] [] = (False, True)
| mul-ext-impl [] ys = (False, False)
| mul-ext-impl xs [] = (True, True)
| mul-ext-impl xs (y # ys) = mul-ex-dom xs [] y ys

| mul-ex-dom [] xs' y ys = (False, False)
| mul-ex-dom (x # xs) xs' y ys =
  (case sns x y of
   (True, -) ⇒ if snd (mul-ext-impl (xs @ xs') (filter (λy. ¬ fst (sns x y)) ys))
  then (True, True)
   else mul-ex-dom xs (x # xs') y ys
  | (False, True) ⇒ or2 (mul-ext-impl (xs @ xs') ys) (mul-ex-dom xs (x # xs') y
ys)
  | - ⇒ mul-ex-dom xs (x # xs') y ys)

```

```

end

```

```

context
begin
lemma mul-ext-impl-sound0:

```

$mul-ext-impl\ sns\ xs\ ys = mult2-impl\ (\lambda x\ y. sns\ y\ x)\ ys\ xs$   
 $mul-ex-dom\ sns\ xs\ xs'\ y\ ys = mult2-ex-dom\ (\lambda x\ y. sns\ y\ x)\ y\ ys\ xs\ xs'$   
**by** (*induct xs ys and xs xs' y ys taking: sns rule: mul-ext-impl-mul-ex-dom.induct*)  
*(auto split: prod.splits bool.splits)*

**private definition cond1 where**

$cond1\ f\ bs\ y\ xs\ ys \equiv$   
 $((\exists b. b \in set\ bs \wedge fst\ (f\ b\ y) \wedge snd\ (mul-ext\ f\ (remove1\ b\ xs)\ [y \leftarrow ys . \neg\ fst\ (f\ b\ y)]))$   
 $\vee (\exists b. b \in set\ bs \wedge snd\ (f\ b\ y) \wedge fst\ (mul-ext\ f\ (remove1\ b\ xs)\ ys)))$

**private lemma cond1-propagate:**

**assumes**  $cond1\ f\ bs\ y\ xs\ ys$   
**shows**  $cond1\ f\ (b \# bs)\ y\ xs\ ys$   
**using** *assms unfolding cond1-def by auto*

**private definition cond2 where**

$cond2\ f\ bs\ y\ xs\ ys \equiv (cond1\ f\ bs\ y\ xs\ ys$   
 $\vee (\exists b. b \in set\ bs \wedge snd\ (f\ b\ y) \wedge snd\ (mul-ext\ f\ (remove1\ b\ xs)\ ys)))$

**private lemma cond2-propagate:**

**assumes**  $cond2\ f\ bs\ y\ xs\ ys$   
**shows**  $cond2\ f\ (b \# bs)\ y\ xs\ ys$   
**using** *assms and cond1-propagate[of f bs y xs ys]*  
**unfolding** *cond2-def by auto*

**private lemma cond1-cond2:**

**assumes**  $cond1\ f\ bs\ y\ xs\ ys$   
**shows**  $cond2\ f\ bs\ y\ xs\ ys$   
**using** *assms unfolding cond2-def by simp*

**lemma mul-ext-impl-sound:**

**shows**  $mul-ext-impl\ f\ xs\ ys = mul-ext\ f\ xs\ ys$   
**unfolding** *mul-ext-def s-mul-ext-def ns-mul-ext-def*  
**by** (*auto simp: Let-def converse-def mul-ext-impl-sound0 mult2-impl-sound*)

**lemma mul-ext-code [code]:**  $mul-ext = mul-ext-impl$

**by** (*intro ext, unfold mul-ext-impl-sound, auto*)

**lemma mul-ext-impl-cong[fundef-cong]:**

**assumes**  $\bigwedge x\ x'. x \in set\ xs \implies x' \in set\ ys \implies f\ x\ x' = g\ x\ x'$   
**shows**  $mul-ext-impl\ f\ xs\ ys = mul-ext-impl\ g\ xs\ ys$   
**using** *assms*  
 $stri-mul-ext-map[of\ xs\ ys\ g\ f\ id]\ nstri-mul-ext-map[of\ xs\ ys\ g\ f\ id]$   
 $stri-mul-ext-map[of\ xs\ ys\ f\ g\ id]\ nstri-mul-ext-map[of\ xs\ ys\ f\ g\ id]$   
**by** (*auto simp: mul-ext-impl-sound mul-ext-def Let-def*)  
**end**

**fun** *ass-list-to-single-list* ::  $('a \times nat)\ list \Rightarrow 'a\ list$

**where**

$ass\text{-}list\text{-}to\text{-}single\text{-}list\ [] = []$   
 $| ass\text{-}list\text{-}to\text{-}single\text{-}list\ ((x, n) \# xs) = replicate\ n\ x\ @\ ass\text{-}list\text{-}to\text{-}single\text{-}list\ xs$

**lemma** *set-ass-list-to-single-list* [simp]:

$set\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ xs) = \{x. \exists n. (x, n) \in set\ xs \wedge n > 0\}$   
**by** (induct xs rule: *ass-list-to-single-list.induct*) auto

**lemma** *count-mset-replicate* [simp]:

$count\ (mset\ (replicate\ n\ x))\ x = n$   
**by** (induct n) (auto)

**lemma** *count-mset-lal-ge*:

$(x, n) \in set\ xs \implies count\ (mset\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ xs))\ x \geq n$   
**by** (induct xs) auto

**lemma** *count-of-count-mset-lal* [simp]:

$distinct\ (map\ fst\ y) \implies count\text{-}of\ y\ x = count\ (mset\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ y))\ x$   
**by** (induct y) (auto simp: *count-mset-lal-ge count-of-empty*)

**lemma** *Bag-mset*:  $Bag\ xs = mset\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ (DAList.impl\text{-}of\ xs))$

**by** (intro *multiset-eqI*, induct xs) (auto simp: *Alist-inverse*)

**lemma** *Bag-Alist-Cons*:

$x \notin fst\ `set\ xs \implies distinct\ (map\ fst\ xs) \implies$   
 $Bag\ (Alist\ ((x, n) \# xs)) = mset\ (replicate\ n\ x) + Bag\ (Alist\ xs)$   
**by** (induct xs) (auto simp: *Bag-mset Alist-inverse*)

**lemma** *mset-lal* [simp]:

$distinct\ (map\ fst\ xs) \implies mset\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ xs) = Bag\ (Alist\ xs)$   
**apply** (induct xs) **apply** (auto simp: *Bag-Alist-Cons*)  
**apply** (simp add: *Mempty-Bag empty.abs-eq*)  
**done**

**lemma** *Bag-s-mul-ext*:

$(Bag\ xs, Bag\ ys) \in s\text{-}mul\text{-}ext\ \{(x, y). snd\ (f\ x\ y)\}\ \{(x, y). fst\ (f\ x\ y)\} \longleftrightarrow$   
 $fst\ (mul\text{-}ext\ f\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ (DAList.impl\text{-}of\ xs))\ (ass\text{-}list\text{-}to\text{-}single\text{-}list$   
 $(DAList.impl\text{-}of\ ys)))$   
**by** (auto simp: *mul-ext-def Let-def Alist-impl-of*)

**lemma** *Bag-ns-mul-ext*:

$(Bag\ xs, Bag\ ys) \in ns\text{-}mul\text{-}ext\ \{(x, y). snd\ (f\ x\ y)\}\ \{(x, y). fst\ (f\ x\ y)\} \longleftrightarrow$   
 $snd\ (mul\text{-}ext\ f\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ (DAList.impl\text{-}of\ xs))\ (ass\text{-}list\text{-}to\text{-}single\text{-}list$   
 $(DAList.impl\text{-}of\ ys)))$   
**by** (auto simp: *mul-ext-def Let-def Alist-impl-of*)

**lemma** *smulextp-code*[code]:

$smulextp\ f\ (Bag\ xs)\ (Bag\ ys) \longleftrightarrow fst\ (mul\text{-}ext\ f\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ (DAList.impl\text{-}of$   
 $xs))\ (ass\text{-}list\text{-}to\text{-}single\text{-}list\ (DAList.impl\text{-}of\ ys)))$

**unfolding** *smulextp-def Bag-s-mul-ext ..*

**lemma** *nsmulextp-code[code]:*

*nsmulextp f (Bag xs) (Bag ys)  $\longleftrightarrow$  snd (mul-ext f (ass-list-to-single-list (DAList.impl-of xs)) (ass-list-to-single-list (DAList.impl-of ys)))*

**unfolding** *nsmulextp-def Bag-ns-mul-ext ..*

**lemma** *mulextp-code[code]:*

*mulextp f (Bag xs) (Bag ys) = mul-ext f (ass-list-to-single-list (DAList.impl-of xs)) (ass-list-to-single-list (DAList.impl-of ys))*

**unfolding** *mulextp-def by (simp add: nsmulextp-code smulextp-code)*

**end**

## 5 The Weighted Path Order

This is a version of WPO that also permits multiset comparisons of lists of terms. It therefore generalizes RPO.

**theory** *WPO*

**imports**

*Knuth-Bendix-Order.Lexicographic-Extension*

*Knuth-Bendix-Order.Term-Aux*

*Knuth-Bendix-Order.Order-Pair*

*Polynomial-Factorization.Missing-List*

*Status*

*Precedence*

*Multiset-Extension2*

**begin**

**datatype** *order-tag = Lex | Mul*

**locale** *wpo =*

**fixes** *n :: nat*

**and** *S NS :: ('f, 'v) term rel*

**and** *pre :: ('f  $\times$  nat  $\Rightarrow$  'f  $\times$  nat  $\Rightarrow$  bool  $\times$  bool)*

**and** *prl :: 'f  $\times$  nat  $\Rightarrow$  bool*

**and**  *$\sigma\sigma$  :: 'f status*

**and** *c :: 'f  $\times$  nat  $\Rightarrow$  order-tag*

**and** *ssimple :: bool*

**and** *large :: 'f  $\times$  nat  $\Rightarrow$  bool*

**begin**

**fun** *wpo :: ('f, 'v) term  $\Rightarrow$  ('f, 'v) term  $\Rightarrow$  bool  $\times$  bool*

**where**

*wpo s t =*

*(case s of*

*Var x  $\Rightarrow$  (False,*

*case t of*

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    Var y ⇒ x = y
  | Fun g ts ⇒ (s, t) ∈ NS ∧ status σσ (g, length ts) = [] ∧ prl (g, length ts))
| Fun f ss ⇒
  if (s, t) ∈ S then (True, True)
  else if (s, t) ∈ NS then
    if ∃ i ∈ set (status σσ (f, length ss)). snd (wpo (ss ! i) t) then (True, True)
    else
      (case t of
        Var - ⇒ (False, ssimple ∧ large (f, length ss))
      | Fun g ts ⇒
        (case prc (f, length ss) (g, length ts) of (prs, prns) ⇒
          if prns ∧ (∀ j ∈ set (status σσ (g, length ts)). fst (wpo s (ts ! j))) then
            if prs then (True, True)
            else let ss' = map (λ i. ss ! i) (status σσ (f, length ss));
                  ts' = map (λ i. ts ! i) (status σσ (g, length ts));
                  cf = c (f, length ss);
                  cg = c (g, length ts)
                  in if cf = Lex ∧ cg = Lex
                     then lex-ext wpo n ss' ts'
                     else if cf = Mul ∧ cg = Mul
                          then mul-ext wpo ss' ts'
                          else (length ss' ≠ 0 ∧ length ts' = 0, length ts' = 0)
                    else (False, False)))
        else (False, False))
  else (False, False)

```

**declare** *wpo.simps* [*simp del*]

**abbreviation** *wpo-s* (**infix**  $\succ$  50) **where**  $s \succ t \equiv \text{fst } (wpo \ s \ t)$

**abbreviation** *wpo-ns* (**infix**  $\succeq$  50) **where**  $s \succeq t \equiv \text{snd } (wpo \ s \ t)$

**abbreviation** *WPO-S*  $\equiv \{(s,t). s \succ t\}$

**abbreviation** *WPO-NS*  $\equiv \{(s,t). s \succeq t\}$

**lemma** *wpo-s-imp-ns*:  $s \succ t \implies s \succeq t$

**using** *lex-ext-stri-imp-nstri*

**unfolding** *wpo.simps*[*of s t*]

**by** (*auto simp: Let-def mul-ext-stri-imp-nstri split: term.splits if-splits prod.splits*)

**end**

**declare** *wpo.wpo.simps*[*code*]

**definition** *strictly-simple-status* :: '*f status* ⇒ (*f, 'v*)*term rel* ⇒ bool **where**

*strictly-simple-status*  $\sigma \ rel =$

$(\forall f \ ts \ i. i \in \text{set } (\text{status } \sigma \ (f, \text{length } ts)) \longrightarrow (\text{Fun } f \ ts, \ ts \ ! \ i) \in \text{rel})$

**locale** *wpo-with-assms* = *wpo* +

*SN-order-pair* + *precedence* +

**constrains**  $S :: ('f, 'v)$  term rel and  $NS :: -$   
**and**  $prc :: 'f \times nat \Rightarrow 'f \times nat \Rightarrow bool \times bool$   
**and**  $prl :: 'f \times nat \Rightarrow bool$   
**and**  $ssimple :: bool$   
**and**  $large :: 'f \times nat \Rightarrow bool$   
**and**  $c :: 'f \times nat \Rightarrow order-tag$   
**and**  $n :: nat$   
**and**  $\sigma\sigma :: 'f$  status  
**assumes**  $subst-S: (s,t) \in S \Longrightarrow (s \cdot \sigma, t \cdot \sigma) \in S$   
**and**  $subst-NS: (s,t) \in NS \Longrightarrow (s \cdot \sigma, t \cdot \sigma) \in NS$   
**and**  $ctxt-NS: (s,t) \in NS \Longrightarrow (Fun f (bef @ s \# aft), Fun f (bef @ t \# aft)) \in NS$   
**and**  $S-imp-NS: S \subseteq NS$   
**and**  $ws-status: i \in set (status \sigma\sigma fn) \Longrightarrow simple-arg-pos NS fn i$   
**and**  $large: ssimple \Longrightarrow large fn \Longrightarrow fst (prc fn gm) \vee snd (prc fn gm) \wedge status \sigma\sigma gm = []$   
**and**  $large-trans: ssimple \Longrightarrow large fn \Longrightarrow snd (prc gm fn) \Longrightarrow large gm$   
**and**  $ss-status: ssimple \Longrightarrow i \in set (status \sigma\sigma fn) \Longrightarrow simple-arg-pos S fn i$   
**and**  $ss-S-non-empty: ssimple \Longrightarrow S \neq \{\}$   
**begin**  
  
**lemma**  $ss-NS-not-UNIV: ssimple \Longrightarrow NS \neq UNIV$   
**proof**  
**assume**  $ssimple NS = UNIV$   
**with**  $ss-S-non-empty$  **obtain**  $a b$  **where**  $(a,b) \in S (b,a) \in NS$  **by**  $auto$   
**from**  $compat-S-NS-point[OF this]$  **have**  $(a,a) \in S$  .  
**with**  $SN$  **show**  $False$  **by**  $fast$   
**qed**  
  
**abbreviation**  $\sigma \equiv status \sigma\sigma$   
  
**lemmas**  $\sigma = status[of \sigma\sigma]$   
  
**lemma**  $NS-arg$ : **assumes**  $i: i \in set (\sigma (f, length ts))$   
**shows**  $(Fun f ts, ts ! i) \in NS$   
**by**  $(rule ws-status[OF i, unfolded simple-arg-pos-def fst-conv, rule-format], insert \sigma[of f length ts] i, auto)$   
  
**lemma**  $NS-subterm$ : **assumes**  $all: \bigwedge f k. set (\sigma (f,k)) = \{0 ..< k\}$   
**shows**  $s \supseteq t \Longrightarrow (s,t) \in NS$   
**proof**  $(induct s t rule: supteq.induct)$   
**case**  $(refl)$   
**from**  $refl-NS$  **show**  $?case$  **unfolding**  $refl-on-def$  **by**  $blast$   
**next**  
**case**  $(subt s ss t f)$   
**from**  $subt(1)$  **obtain**  $i$  **where**  $i: i < length ss$  **and**  $s: s = ss ! i$  **unfolding**  $set-conv-nth$  **by**  $auto$   
**from**  $NS-arg[of i f ss, unfolded all]$   $s i$  **have**  $(Fun f ss, s) \in NS$  **by**  $auto$

**from** *trans-NS-point*[*OF this subt*( $\beta$ )] **show** *?case* .  
**qed**

**lemma**  $\sigma E: i \in \text{set } (\sigma (f, \text{length } ss)) \implies ss ! i \in \text{set } ss$  **by** (*rule status-aux*)

**lemma** *wpo-ns-refl*:

**shows**  $s \succeq s$

**proof** (*induct s*)

**case** (*Fun f ss*)

{

**fix**  $i$

**assume**  $si: i \in \text{set } (\sigma (f, \text{length } ss))$

**from** *NS-arg*[*OF this*] **have** (*Fun f ss, ss ! i*)  $\in NS$  .

**with**  $si$  *Fun*[*OF*  $\sigma E$ [*OF*  $si$ ]] **have**  $wpo-s (Fun f ss) (ss ! i)$  **unfolding** *wpo.simps*[*of Fun f ss ss ! i*]

**by** *auto*

} **note**  $wpo-s = this$

**let**  $?ss = \text{map } (\lambda i. ss ! i) (\sigma (f, \text{length } ss))$

**have** *rec11*:  $\text{snd } (lex\text{-ext } wpo\ n\ ?ss\ ?ss)$

**by** (*rule all-nstri-imp-lex-nstri, insert*  $\sigma E$ [*of - f ss*], *auto simp: Fun*)

**have** *rec12*:  $\text{snd } (mul\text{-ext } wpo\ ?ss\ ?ss)$

**unfolding** *mul-ext-def Let-def snd-conv*

**by** (*intro ns-mul-ext-refl-local,*

*unfold locally-refl-def, auto simp: in-multiset-in-set*[*of ?ss*] *intro!*: *Fun status-aux*)

**from** *rec11 rec12* **show** *?case* **using** *refl-NS-point prc-refl wpo-s*

**by** (*cases c (f, length ss), auto simp: wpo.simps*[*of Fun f ss Fun f ss*])

**qed** (*simp add: wpo.simps*)

**lemma** *wpo-ns-imp-NS*:  $s \succeq t \implies (s, t) \in NS$

**using** *S-imp-NS*

**by** (*cases s, auto simp: wpo.simps*[*of - t*], *cases t,*

*auto simp: refl-NS-point split: if-splits*)

**lemma** *wpo-s-imp-NS*:  $s \succ t \implies (s, t) \in NS$

**by** (*rule wpo-ns-imp-NS*[*OF wpo-s-imp-ns*])

**lemma** *S-imp-wpo-s*: **assumes**  $st: (s, t) \in S$

**shows**  $s \succ t$

**proof** (*cases s*)

**case** (*Fun f ss*)

**then show** *?thesis* **using**  $st$  **by** (*auto simp: wpo.simps*)

**next**

**case** (*Var x*)

**from** *SN-imp-minimal*[*OF SN, rule-format, of undefined UNIV*]

**obtain**  $s$  **where**  $\bigwedge u. (s, u) \notin S$  **by** *blast*

**with** *subst-S*[*OF st*[*unfolded Var*], *of*  $\lambda -. s$ ]

**have** *False* **by** *auto*



**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *wpo-least-1*: **assumes** *prl (f,length ss)*  
**and**  $(t, \text{Fun } f \text{ ss}) \in NS$   
**and**  $\sigma (f, \text{length } ss) = []$   
**shows**  $t \succeq \text{Fun } f \text{ ss}$   
**proof** (*cases t*)  
**case** (*Var x*)  
**with** *assms show ?thesis by (simp add: wpo.simps)*  
**next**  
**case** (*Fun g ts*)  
**let** *?f = (f,length ss)*  
**let** *?g = (g,length ts)*  
**obtain** *s ns where prc ?g ?f = (s,ns) by force*  
**with** *prl[OF assms(1), of ?g] have prc: prc ?g ?f = (s,True) by auto*  
**show** *?thesis using assms(2)*  
**unfolding** *Fun*  
**unfolding** *wpo.simps[of Fun g ts Fun f ss] term.simps assms(3)*  
**by** (*auto simp: prc lex-ext-least-1 mul-ext-def ns-mul-ext-bottom Let-def*)  
**qed**

**lemma** *wpo-least-2*: **assumes** *prl (f,length ss) (is prl ?f)*  
**and**  $(\text{Fun } f \text{ ss}, t) \notin S$   
**and**  $\sigma (f, \text{length } ss) = []$   
**shows**  $\neg \text{Fun } f \text{ ss} \succ t$   
**proof** (*cases t*)  
**case** (*Var x*)  
**with** *Var show ?thesis using assms(2-3) by (auto simp: wpo.simps split: if-splits)*  
**next**  
**case** (*Fun g ts*)  
**let** *?g = (g,length ts)*  
**obtain** *s ns where prc ?f ?g = (s,ns) by force*  
**with** *prl2[OF assms(1), of ?g] have prc: prc ?f ?g = (False,ns) by auto*  
**show** *?thesis using assms(2) assms(3) unfolding Fun*  
**by** (*simp add: wpo.simps[of - Fun g ts] lex-ext-least-2 prc mul-ext-def s-mul-ext-bottom-strict Let-def*)  
**qed**

**lemma** *wpo-least-3*: **assumes** *prl (f,length ss) (is prl ?f)*  
**and** *ns: Fun f ss  $\succeq$  t*  
**and** *NS: (u, Fun f ss)  $\in$  NS*  
**and** *ss:  $\sigma (f, \text{length } ss) = []$*   
**and** *S:  $\bigwedge x. (\text{Fun } f \text{ ss}, x) \notin S$*   
**and** *u: u = Var x*  
**shows**  $u \succeq t$   
**proof** (*cases (Fun f ss, t)  $\in$  S  $\vee$  (u, Fun f ss)  $\in$  S  $\vee$  (u, t)  $\in$  S*)

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case True
with wpo-ns-imp-NS[OF ns] NS compat-NS-S-point compat-S-NS-point have (u,
t) ∈ S by blast
from wpo-s-imp-ns[OF S-imp-wpo-s[OF this]] show ?thesis .
next
case False
from trans-NS-point[OF NS wpo-ns-imp-NS[OF ns]] have utA: (u, t) ∈ NS .
show ?thesis
proof (cases t)
case t: (Var y)
with ns False ss have *: ssimple large (f,length ss)
by (auto simp: wpo.simps split: if-splits)
show ?thesis
proof (cases x = y)
case True
thus ?thesis using ns * False utA ss
unfolding wpo.simps[of u t] wpo.simps[of Fun f ss t]
unfolding t u term.simps
by (auto split: if-splits)
next
case False
from utA[unfolded t u]
have (Var x, Var y) ∈ NS .
from False subst-NS[OF this, of λ z. if z = x then v else w for v w]
have (v,w) ∈ NS for v w by auto
hence NS = UNIV by auto
with ss-NS-not-UNIV[OF ⟨ssimple⟩]
have False by auto
thus ?thesis ..
qed
next
case (Fun g ts)
let ?g = (g,length ts)
obtain s ns where prc ?f ?g = (s,ns) by force
with prl2[OF ⟨prl ?f⟩, of ?g] have prc: prc ?f ?g = (False,ns) by auto
show ?thesis
proof (cases σ ?g)
case Nil
with False Fun assms prc have prc ?f ?g = (False,True)
by (auto simp: wpo.simps split: if-splits)
with prl3[OF ⟨prl ?f⟩, of ?g] have prl ?g by auto
show ?thesis using utA unfolding Fun by (rule wpo-least-1[OF ⟨prl ?g⟩],
simp add: Nil)
next
case (Cons t1 tts)
have ¬ wpo-s (Fun f ss) (ts ! t1) by (rule wpo-least-2[OF ⟨prl ?f⟩ S ss])
with ⟨wpo-ns (Fun f ss) t) False Fun Cons
have False by (simp add: ss wpo.simps split: if-splits)
then show ?thesis ..

```

qed  
 qed  
 qed

**lemma** *wpo-compat*:

$(s \succeq t \wedge t \succ u \longrightarrow s \succ u) \wedge$   
 $(s \succ t \wedge t \succeq u \longrightarrow s \succ u) \wedge$   
 $(s \succeq t \wedge t \succeq u \longrightarrow s \succeq u)$  (**is** *?tran s t u*)

**proof** (*induct (s,t,u) arbitrary: s t u rule: wf-induct[OF wf-measures[of  $\lambda (s,t,u)$ . size s,  $\lambda (s,t,u)$ . size t,  $\lambda (s,t,u)$ . size u]]]*)

**case** 1

**note** *ind = 1[simplified]*

**show** *?tran s t u*

**proof** (*cases (s,t)  $\in S \vee (t,u) \in S \vee (s,u) \in S$* )

**case** *True*

{

**assume** *st: wpo-ns s t and tu: wpo-ns t u*

**from** *wpo-ns-imp-NS[OF st] wpo-ns-imp-NS[OF tu]*

*True compat-NS-S-point compat-S-NS-point* **have**  $(s,u) \in S$  **by** *blast*

**from** *S-imp-wpo-s[OF this]* **have** *wpo-s s u* .

}

**with** *wpo-s-imp-ns* **show** *?thesis* **by** *blast*

**next**

**case** *False*

**then have** *stS: (s,t)  $\notin S$  and tuS: (t,u)  $\notin S$  and suS: (s,u)  $\notin S$*  **by** *auto*

**show** *?thesis*

**proof** (*cases t*)

**note**  $[simp] = wpo.simps[of s u] wpo.simps[of s t] wpo.simps[of t u]$

**case** (*Var x*)

**note** *wpo.simps[simp]*

**show** *?thesis*

**proof** *safe*

**assume** *wpo-s t u*

**with** *Var* **show** *wpo-s s u* **by** (*cases u, auto*)

**next**

**assume** *gr: wpo-s s t and ge: wpo-ns t u*

**from** *wpo-s-imp-NS[OF gr]* **have** *stA: (s,t)  $\in NS$*  .

**from** *wpo-ns-imp-NS[OF ge]* **have** *tuA: (t,u)  $\in NS$*  .

**from** *trans-NS-point[OF stA tuA]* **have** *suA: (s,u)  $\in NS$*  .

**show** *wpo-s s u*

**proof** (*cases u*)

**case** (*Var y*)

**with** *ge <t = Var x>* **have** *t = u* **by** *auto*

**with** *gr* **show** *?thesis* **by** *auto*

**next**

**case** (*Fun h us*)

**let** *?h = (h, length us)*

**from** *Fun ge Var* **have** *us:  $\sigma ?h = []$  and pri: prl ?h* **by** *auto*

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    from gr Var obtain f ss where s: s = Fun f ss by (cases s, auto)
    let ?f = (f,length ss)
    from s gr Var False obtain i where i: i ∈ set (σ ?f) and sit: ss ! i ≥ t
by (auto split: if-splits)
    from trans-NS-point[OF wpo-ns-imp-NS[OF sit] tuA] have siu: (ss ! i, u)
∈ NS .
    from wpo-least-1[OF pri siu[unfolded Fun us] us]
    have ss ! i ≥ u unfolding Fun us .
    with i have ∃ i ∈ set (σ ?f). ss ! i ≥ u by auto
    with s suA show ?thesis by simp
qed
next
assume ge1: wpo-ns s t and ge2: wpo-ns t u
show wpo-ns s u
proof (cases u)
  case (Var y)
    with ge2 ⟨t = Var x⟩ have t = u by auto
    with ge1 show ?thesis by auto
  next
  case (Fun h us)
    let ?h = (h,length us)
    from Fun ge2 Var have us: σ ?h = [] and pri: prl ?h by auto
    show ?thesis unfolding Fun us
      by (rule wpo-least-1[OF pri trans-NS-point[OF wpo-ns-imp-NS[OF ge1]
wpo-ns-imp-NS[OF ge2[unfolded Fun us]]] us])
qed
qed
next
case (Fun g ts)
let ?g = (g,length ts)
let ?ts = set (σ ?g)
let ?t = Fun g ts
from Fun have t: t = ?t .
show ?thesis
proof (cases s)
  case (Var x)
    show ?thesis
  proof safe
    assume gr: wpo-s s t
    with Var Fun show wpo-s s u by (auto simp: wpo.simps)
  next
  assume ge: wpo-ns s t and gr: wpo-s t u
  with Var Fun have pri: prl ?g and σ ?g = [] by (auto simp: wpo.simps)
  with gr Fun show wpo-s s u using wpo-least-2[OF pri, of u] False by auto
  next
  assume ge1: wpo-ns s t and ge2: wpo-ns t u
  with Var Fun have pri: prl ?g and empty: σ ?g = [] by (auto simp:
wpo.simps)
  from wpo-ns-imp-NS[OF ge1] Var Fun empty have ns: (Var x, Fun g ts)

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```

∈ NS by simp
  show wpo-ns s u
  proof (rule wpo-least-3[OF pri ge2[unfolded Fun empty]
    wpo-ns-imp-NS[OF ge1[unfolded Fun empty]] empty - Var], rule)
    fix v
    assume S: (Fun g ts, v) ∈ S
    from SN-imp-minimal[OF SN, rule-format, of undefined UNIV]
    obtain s where  $\bigwedge u. (s,u) \notin S$  by blast
    with compat-NS-S-point[OF subst-NS[OF ns, of  $\lambda -. s$ ] subst-S[OF S, of
 $\lambda -. s$ ]]
      show False by auto
    qed
  qed
next
case (Fun f ss)
let ?s = Fun f ss
let ?f = (f, length ss)
let ?ss = set ( $\sigma$  ?f)
from Fun have s: s = ?s .
let ?s1 =  $\exists i \in ?ss. ss ! i \succeq t$ 
let ?t1 =  $\exists j \in ?ts. ts ! j \succeq u$ 
let ?ls = length ss
let ?lt = length ts
obtain ps pns where prc: prc ?f ?g = (ps,pns) by force
let ?tran2 =  $\lambda a b c.$ 
  ((wpo-ns a b)  $\wedge$  (wpo-s b c)  $\longrightarrow$  (wpo-s a c))  $\wedge$ 
  ((wpo-s a b)  $\wedge$  (wpo-ns b c)  $\longrightarrow$  (wpo-s a c))  $\wedge$ 
  ((wpo-ns a b)  $\wedge$  (wpo-ns b c)  $\longrightarrow$  (wpo-ns a c))  $\wedge$ 
  ((wpo-s a b)  $\wedge$  (wpo-s b c)  $\longrightarrow$  (wpo-s a c))
from s have  $\forall s' \in \text{set } ss. \text{size } s' < \text{size } s$  by (auto simp: size-simps)
with ind have ind2:  $\bigwedge s' t' u'. \llbracket s' \in \text{set } ss \rrbracket \implies ?\text{tran } s' t' u'$  by blast
with wpo-s-imp-ns have ind3:  $\bigwedge us s' t' u'. \llbracket s' \in \text{set } ss; t' \in \text{set } ts \rrbracket \implies$ 
? $\text{tran2 } s' t' u'$  by blast
let ?mss = map ( $\lambda i. ss ! i$ ) ( $\sigma$  ?f)
let ?mts = map ( $\lambda j. ts ! j$ ) ( $\sigma$  ?g)
have ind3':  $\bigwedge us s' t' u'. \llbracket s' \in \text{set } ?mss; t' \in \text{set } ?mts \rrbracket \implies ?\text{tran2 } s' t' u'$ 
by (rule ind3, auto simp: status-aux)
{
  assume ge1: s  $\succeq$  t and ge2: t  $\succ$  u
  from wpo-ns-imp-NS[OF ge1] have stA: (s,t) ∈ NS .
  from wpo-s-imp-NS[OF ge2] have tuA: (t,u) ∈ NS .
  from trans-NS-point[OF stA tuA] have suA: (s,u) ∈ NS .
  have s  $\succ$  u
  proof (cases ?s1)
    case True
      from this obtain i where i: i ∈ ?ss and ges: ss ! i  $\succeq$  t by auto
      from  $\sigma E$ [OF i] have s': ss ! i ∈ set ss .
      with i s s' ind2[of ss ! i t u, simplified] ges ge2 have ss ! i  $\succ$  u by auto
      then have ss ! i  $\succeq$  u by (rule wpo-s-imp-ns)
  }

```

```

with i s suA show ?thesis by (cases u, auto simp: wpo.simps)
next
case False
show ?thesis
proof (cases ?t1)
  case True
  from this obtain j where j: j ∈ ?ts and ges: ts ! j ≥ u by auto
  from σE[OF j] have t': ts ! j ∈ set ts by auto
  from j t' t stS False ge1 s have ge1': s > ts ! j unfolding wpo.simps[of
s t]
    by (auto split: if-splits prod.splits)
  from t' s t ge1' ges ind[rule-format, of s ts ! j u, simplified]
  show s > u
    using suA size-simps supt.intros unfolding wpo.simps[of s u]
    by (auto split: if-splits)
next
case False
from t this ge2 tuS obtain h us where u: u = Fun h us
  by (cases u, auto simp: wpo.simps split: if-splits)
let ?u = Fun h us
let ?h = (h, length us)
let ?us = set (σ ?h)
let ?mus = map (λ k. us ! k) (σ ?h)
from s t u ge1 ge2 have ge1: ?s ≥ ?t and ge2: ?t > ?u by auto
from stA stS s t have stAS: ((?s, ?t) ∈ S) = False ((?s, ?t) ∈ NS) =
True by auto
from tuA tuS t u have tuAS: ((?t, ?u) ∈ S) = False ((?t, ?u) ∈ NS) =
True by auto
note ge1 = ge1[unfolded wpo.simps[of ?s ?t] stAS, simplified]
note ge2 = ge2[unfolded wpo.simps[of ?t ?u] tuAS, simplified]
obtain ps2 pns2 where prec2: prec ?g ?h = (ps2, pns2) by force
obtain ps3 pns3 where prec3: prec ?f ?h = (ps3, pns3) by force
from <¬ ?s1> t ge1 have st': ∀ j ∈ ?ts. ?s > ts ! j by (auto split:
if-splits prod.splits)
from <¬ ?t1> t u ge2 tuS have tu': ∀ k ∈ ?us. ?t > us ! k by (auto
split: if-splits prod.splits)
from <¬ ?s1> s t ge1 stS st' have fg: pns by (cases ?thesis, auto simp:
prec)
from <¬ ?t1> u ge2 tu' have gh: pns2 by (cases ?thesis, auto simp:
prec2)
from <¬ ?s1> have ?s1 = False by simp
note ge1 = ge1[unfolded this[unfolded t] if-False term.simps prec split]
from <¬ ?t1> have ?t1 = False by simp
note ge2 = ge2[unfolded this[unfolded u] if-False term.simps prec2 split]
note compat = prec-compat[OF prec prec2 prec3]
from fg gh compat have fh: pns3 by simp
{
  fix k
  assume k: k ∈ ?us

```

```

      from  $\sigma E[OF\ this]$  have  $size\ (us\ !\ k) < size\ u$  unfolding  $u$  using
size-simps by auto
      with  $tu'[folded\ t] \langle s \succeq t \rangle$ 
      ind[rule-format, of  $s\ t\ us\ !\ k$ ]  $k$  have  $s \succ us\ !\ k$  by blast
    } note  $su' = this$ 
    show ?thesis
    proof (cases  $ps3$ )
      case True
        with  $su'\ s\ u\ fh\ prec3\ suA$  show ?thesis by (auto simp: wpo.simps)
      next
        case False
          from False fg gh compat have  $nfg: \neg ps$  and  $ngh: \neg ps2$  and  $*$ :  $ps =$ 
False  $ps2 = False$  by blast+
          note  $ge1 = ge1[unfolded\ *\ if-False]$ 
          note  $ge2 = ge2[unfolded\ *\ if-False]$ 
          show ?thesis
          proof (cases  $c\ ?f$ )
            case Mul note  $cf = this$ 
            show ?thesis
            proof (cases  $c\ ?g$ )
              case Mul note  $cg = this$ 
              show ?thesis
              proof (cases  $c\ ?h$ )
                case Mul note  $ch = this$ 
                from  $ge1[unfolded\ cf\ cg]$ 
                have  $mul1: snd\ (mul-ext\ wpo\ ?mss\ ?mts)$  by (auto split: if-splits)
                from  $ge2[unfolded\ cg\ ch]$ 
                have  $mul2: fst\ (mul-ext\ wpo\ ?mts\ ?mus)$  by (auto split: if-splits)
                from  $mul1\ mul2\ mul-ext-compat[OF\ ind3',\ of\ ?mss\ ?mts\ ?mus]$ 
                have  $fst\ (mul-ext\ wpo\ ?mss\ ?mus)$  by auto
                with  $s\ u\ fh\ su'\ prec3\ cf\ ch\ suA$  show ?thesis unfolding  $wpo.simps[of\$ 
s u] by simp
              next
                case Lex note  $ch = this$ 
                from  $gh\ u\ ge2\ tu'\ prec2\ ngh\ cg\ ch$  have  $us-e: ?mus = []$  by simp
                from  $gh\ u\ ge2\ tu'\ prec2\ ngh\ cg\ ch$  have  $ts-ne: ?mts \neq []$  by (auto
split: if-splits)
                from ns-mul-ext-bottom-uniqueness[of mset ?mts]
                have  $\bigwedge f. snd\ (mul-ext\ f\ []\ ?mts) \implies ?mts = []$  unfolding
mul-ext-def by (simp add: Let-def)
                with  $ts-ne\ fg\ \langle \neg\ ?s1 \rangle\ t\ ge1\ st'\ prec\ nfg\ cf\ cg$  have  $ss-ne: ?mss \neq []$ 
by (cases  $ss$ ) auto
                from  $us-e\ ss-ne\ s\ u\ fh\ su'\ prec3\ cf\ cg\ ch\ suA$  show ?thesis
unfolding  $wpo.simps[of\ s\ u]$  by simp
              qed
            next
              case Lex note  $cg = this$ 
              from  $fg\ \langle \neg\ ?s1 \rangle\ t\ ge1\ st'\ prec\ nfg\ cf\ cg$  have  $ts-e: ?mts = []$  by simp
              with  $gh\ \langle \neg\ ?t1 \rangle\ u\ ge2\ tu'\ prec2\ ngh\ cg$  show ?thesis

```





```

from True obtain i where i: i ∈ ?ss and ges: ss ! i  $\succeq$  t by auto
from  $\sigma E[OF\ i]$  have s': ss ! i ∈ set ss by auto
with s s' ind2[of ss ! i t u, simplified] ges ge2 have ss ! i  $\succeq$  u by auto
with i s' s suA show ?thesis by (cases u, auto simp: wpo.simps)
next
case False
show ?thesis
proof (cases ?t1)
  case True
    from this obtain j where j: j ∈ ?ts and ges: ts ! j  $\succeq$  u by auto
    from  $\sigma E[OF\ j]$  have t': ts ! j ∈ set ts .
    from j t' t stS False ge1 s have ge1': s  $\succ$  ts ! j unfolding wpo.simps[of
s t]
      by (auto split: if-splits prod.splits)
    from t' s t ge1' ges ind[rule-format, of s ts ! j u, simplified]
    show s  $\succ$  u
      using suA size-simps supt.intros unfolding wpo.simps[of s u]
      by (auto split: if-splits)
  next
  case False
  show ?thesis
  proof (cases u)
    case u: (Fun h us)
    let ?u = Fun h us
    let ?h = (h, length us)
    let ?us = set ( $\sigma$  ?h)
    let ?mss = map ( $\lambda i. ss ! i$ ) ( $\sigma$  ?f)
    let ?mts = map ( $\lambda j. ts ! j$ ) ( $\sigma$  ?g)
    let ?mus = map ( $\lambda k. us ! k$ ) ( $\sigma$  ?h)
    note  $\sigma E = \sigma E[of - f\ ss] \sigma E[of - g\ ts] \sigma E[of - h\ us]$ 
    from s t u ge1 ge2 have ge1: ?s  $\succ$  ?t and ge2: ?t  $\succeq$  ?u by auto
    from stA stS s t have stAS: ((?s, ?t) ∈ S) = False ((?s, ?t) ∈ NS) =
True by auto
    from tuA tuS t u have tuAS: ((?t, ?u) ∈ S) = False ((?t, ?u) ∈ NS)
  = True by auto
    note ge1 = ge1[unfolded wpo.simps[of ?s ?t stAS, simplified]]
    note ge2 = ge2[unfolded wpo.simps[of ?t ?u tuAS, simplified]]
    let ?lu = length us
    obtain ps2 pns2 where prc2: prc ?g ?h = (ps2, pns2) by force
    obtain ps3 pns3 where prc3: prc ?f ?h = (ps3, pns3) by force
    from  $\langle \neg ?s1 \rangle t ge1$  have st':  $\forall j \in ?ts. ?s \succ ts ! j$  by (auto split: if-splits prod.splits)
    from  $\langle \neg ?t1 \rangle t u ge2 tuS$  have tu':  $\forall k \in ?us. ?t \succ us ! k$  by (auto split: if-splits prod.splits)
    from  $\langle \neg ?s1 \rangle s t ge1 stS st'$  have fg: pns by (cases ?thesis, auto simp: prc)
    from  $\langle \neg ?t1 \rangle u ge2 tu'$  have gh: pns2 by (cases ?thesis, auto simp: prc2)
    from  $\langle \neg ?s1 \rangle$  have ?s1 = False by simp

```

```

note ge1 = ge1[unfolded this[unfolded t] if-False term.simps prc split]
from  $\langle \neg ?t1 \rangle$  have ?t1 = False by simp
note ge2 = ge2[unfolded this[unfolded u] if-False term.simps prc2 split]
note compat = prc-compat[OF prc prc2 prc3]
from fg gh compat have fh: pns3 by simp
{
  fix k
  assume k: k  $\in$  ?us
  from  $\sigma E(3)$ [OF this] have size (us ! k) < size u unfolding u using
size-simps by auto
  with tu'[folded t] wpo-s-imp-ns[OF  $\langle s \succ t \rangle$ ]
  ind[rule-format, of s t us ! k] k have s  $\succ$  us ! k by blast
} note su' = this
show ?thesis
proof (cases ps3)
  case True
  with su' s u fh prc3 suA show ?thesis by (auto simp: wpo.simps)
next
  case False
  from False fg gh compat have nfg:  $\neg$  ps and ngh:  $\neg$  ps2 and *: ps
= False ps2 = False by blast+
  note ge1 = ge1[unfolded * if-False]
  note ge2 = ge2[unfolded * if-False]
  show ?thesis
  proof (cases c ?f)
    case Mul note cf = this
    show ?thesis
    proof (cases c ?g)
      case Mul note cg = this
      show ?thesis
      proof (cases c ?h)
        case Mul note ch = this
        from fg t ge1 st' nfg cf cg
        have mul1: fst (mul-ext wpo ?mss ?mts) by auto
        from gh u ge2 tu' ngh cg ch
        have mul2: snd (mul-ext wpo ?mts ?mus) by auto
        from mul1 mul2 mul-ext-compat[OF ind3', of ?mss ?mts ?mus]
        have fst (mul-ext wpo ?mss ?mus) by auto
        with s u fh su' prc3 cf ch suA show ?thesis unfolding
wpo.simps[of s u] by simp
      next
      case Lex note ch = this
      from gh u ge2 tu' ngh cg ch have us-e: ?mus = [] by simp
      from fg t ge1 st' nfg cf cg s-mul-ext-bottom-strict
      have ss-ne: ?mss  $\neq$  [] by (cases ?mss) (auto simp: Let-def
mul-ext-def)
      from us-e ss-ne s u fh su' prc3 cf cg ch suA show ?thesis
unfolding wpo.simps[of s u] by simp
    qed

```

```

next
  case Lex note cg = this
  from fg t ge1 st' prc nfg cf cg s-mul-ext-bottom-strict
  have ss-ne: ?mss ≠ [] by (auto simp: mul-ext-def)
  from fg t ge1 st' nfg cf cg have ts-e: ?mts = [] by simp
  show ?thesis
  proof (cases c ?h)
    case Mul note ch = this
    with gh u ge2 tu' ngh cg ch ns-mul-ext-bottom-uniqueness
    have ?mus = [] by simp
    with ss-ne s u fh su' prc3 cf cg ch s-mul-ext-bottom suA
    show ?thesis unfolding wpo.simps[of s u] by (simp add: Let-def
mul-ext-def s-mul-ext-def mult2-alt-s-def)
  next
  case Lex note ch = this
  from lex-ext-iff[of - - [] ?mus]
  have  $\bigwedge f. \text{snd } (lex-ext f n [] ?mus) \implies ?mus = []$  by simp
  with ts-e gh u ge2 tu' ngh cg ch
  have ?mus = [] by simp
  with ss-ne s u fh su' prc3 cf cg ch s-mul-ext-bottom suA
  show ?thesis unfolding wpo.simps[of s u] by (simp add:
mul-ext-def)
  qed
  qed
next
  case Lex note cf = this
  show ?thesis
  proof (cases c ?g)
    case Mul note cg = this
    from fg t ge1 st' nfg cf cg have ss-ne: ?mss ≠ [] by simp
    from fg t ge1 st' nfg cf cg have ts-e: ?mts = [] by simp
    show ?thesis
    proof (cases c ?h)
      case Mul note ch = this
      from ts-e gh u ge2 tu' ngh cg ch
      ns-mul-ext-bottom-uniqueness[of mset ?mus]
      have ?mus = [] by (simp add: mul-ext-def Let-def)
      with ss-ne s u fh su' prc3 cf cg ch s-mul-ext-bottom suA
      show ?thesis unfolding wpo.simps[of s u] by (simp add:
mul-ext-def)
    next
      case Lex note ch = this
      from gh u ge2 tu' prc2 ngh cg ch have ?mus = [] by simp
      with ss-ne s u fh su' prc3 cf cg ch suA
      show ?thesis unfolding wpo.simps[of s u] by (simp add:
lex-ext-iff)
    qed
  qed
next
  case Lex note cg = this

```

```

show ?thesis
proof (cases c ?h)
  case Mul note ch = this
  from gh u ge2 tu' ngh cg ch have us-e: ?mus = [] by simp
  have  $\bigwedge f. \text{fst} (\text{lex-ext } f \ n \ ?mss \ ?mts) \implies ?mss \neq []$ 
  by (cases ?mss) (simp-all add: lex-ext-iff)
  with fg t ge1 st' prc nfg cf cg have ss-ne: ?mss  $\neq$  [] by simp
  with us-e s u fh su' prc3 cf cg ch suA show ?thesis unfolding
wpo.simps[of s u] by simp
next
  case Lex note ch = this
  from fg t ge1 st' nfg cf cg
  have lex1: fst (lex-ext wpo n ?mss ?mts) by auto
  from gh u ge2 tu' ngh cg ch
  have lex2: snd (lex-ext wpo n ?mts ?mus) by auto
  from lex1 lex2 lex-ext-compat[OF ind3', of ?mss ?mts ?mus]
  have fst (lex-ext wpo n ?mss ?mus) by auto
  with s u fh su' prc3 cf cg ch suA show ?thesis unfolding
wpo.simps[of s u] by simp
  qed
  qed
  qed
  qed
next
case (Var z)
from ge2  $\langle \neg \ ?t1 \rangle \ tuS$  have ssimple large ?g unfolding Var t
  by (auto simp: wpo.simps split: if-splits)
from large[OF this, of ?f]
have large: fst (prc ?g ?f)  $\vee$  snd (prc ?g ?f)  $\wedge$   $\sigma \ ?f = []$  by auto
obtain fgs fgns where prc-fg: prc ?f ?g = (fgs, fgns) by (cases prc ?f
?g, auto)
  from ge1  $\langle \neg \ ?s1 \rangle \ stS$  have weak-fg: snd (prc ?f ?g) unfolding s t
using prc-fg
  by (auto simp: wpo.simps split: if-splits)
from refl-not-SN[of ?f] prc-SN have prc-irrefl:  $\neg \ \text{fst} (\text{prc } ?f \ ?f)$  by
auto
from large have False
proof
  assume fst (prc ?g ?f)
with weak-fg have fst (prc ?f ?f) by (metis prc-compat prod.collapse)
  with prc-irrefl show False by auto
next
  assume weak: snd (prc ?g ?f)  $\wedge$   $\sigma \ ?f = []$ 
  let ?mss = map ( $\lambda \ i. \ \text{ss} \ ! \ i$ ) ( $\sigma \ ?f$ )
  let ?mts = map ( $\lambda \ j. \ \text{ts} \ ! \ j$ ) ( $\sigma \ ?g$ )
  {
  assume fst (prc ?f ?g)
with weak have fst (prc ?f ?f) by (metis prc-compat prod.collapse)
  with prc-irrefl have False by auto
}

```

```

}
hence  $\neg \text{fst } (\text{prc } ?f ?g)$  by auto
with  $ge1 \langle \neg ?s1 \rangle \text{stS } \text{prc-fg}$ 
have  $\text{fst } (\text{lex-ext wpo } n ?mss ?mts) \vee \text{fst } (\text{mul-ext wpo } ?mss ?mts)$ 
 $\vee ?mss \neq []$ 
  unfolding  $\text{wpo.simps}[of s t]$  unfolding  $s t$ 
  by (auto simp: Let-def split: if-splits)
  with weak have  $\text{fst } (\text{lex-ext wpo } n [] ?mts) \vee \text{fst } (\text{mul-ext wpo } []$ 
 $?mts)$  by auto
  thus False using  $\text{lex-ext-least-2}$  by (auto simp: mul-ext-def Let-def
 $s\text{-mul-ext-bottom-strict}$ )
  qed
  thus ?thesis ..
  qed
  qed
  qed
}
moreover
{
  assume  $ge1: s \succeq t$  and  $ge2: t \succeq u$  and  $ngt1: \neg s \succ t$  and  $ngt2: \neg t \succ u$ 
  from  $\text{wpo-ns-imp-NS}[OF ge1]$  have  $\text{stA}: (s,t) \in \text{NS}$  .
  from  $\text{wpo-ns-imp-NS}[OF ge2]$  have  $\text{tuA}: (t,u) \in \text{NS}$  .
  from  $\text{trans-NS-point}[OF \text{stA } \text{tuA}]$  have  $\text{suA}: (s,u) \in \text{NS}$  .
  from  $ngt1 \text{stA}$  have  $\neg ?s1$  unfolding  $s t$  by (auto simp:  $\text{wpo.simps split:}$ 
 $\text{if-splits}$ )
  from  $ngt2 \text{tuA}$  have  $\neg ?t1$  unfolding  $t$  by (cases  $u$ , auto simp:  $\text{wpo.simps}$ 
 $\text{split: if-splits}$ )
  have  $s \succeq u$ 
  proof (cases  $u$ )
  case  $u: (\text{Var } x)$ 
  from  $t \langle \neg ?t1 \rangle ge2 \text{tuA } ngt2$  have  $\text{large}: \text{ssimple large } ?g$  unfolding  $u$ 
  by (auto simp:  $\text{wpo.simps split: if-splits}$ )
  from  $s t ngt1 ge1$  have  $\text{snd } (\text{prc } ?f ?g)$ 
  by (auto simp:  $\text{wpo.simps split: if-splits prod.splits}$ )
  from  $\text{large-trans}[OF \text{large this}] \text{suA large}$ 
  show ?thesis unfolding  $\text{wpo.simps}[of s u]$  using  $s u$  by auto
  next
  case  $u: (\text{Fun } h us)$ 
  let  $?u = \text{Fun } h us$ 
  let  $?h = (h, \text{length } us)$ 
  let  $?us = \text{set } (\sigma ?h)$ 
  let  $?mss = \text{map } (\lambda i. \text{ss } ! i) (\sigma ?f)$ 
  let  $?mts = \text{map } (\lambda j. \text{ts } ! j) (\sigma ?g)$ 
  let  $?mus = \text{map } (\lambda k. \text{us } ! k) (\sigma ?h)$ 
  from  $s t u ge1 ge2$  have  $ge1: ?s \succeq ?t$  and  $ge2: ?t \succeq ?u$  by auto
  from  $\text{stA } \text{stS } s t$  have  $\text{stAS}: ((?s, ?t) \in S) = \text{False } ((?s, ?t) \in \text{NS}) =$ 
 $\text{True}$  by auto
  from  $\text{tuA } \text{tuS } t u$  have  $\text{tuAS}: ((?t, ?u) \in S) = \text{False } ((?t, ?u) \in \text{NS}) =$ 
 $\text{True}$  by auto

```

```

note ge1 = ge1[unfolded wpo.simps[of ?s ?t] stAS, simplified]
note ge2 = ge2[unfolded wpo.simps[of ?t ?u] tuAS, simplified]
from s t u ngt1 ngt2 have ngt1:  $\neg ?s \succ ?t$  and ngt2:  $\neg ?t \succ ?u$  by auto
note ngt1 = ngt1[unfolded wpo.simps[of ?s ?t] stAS, simplified]
note ngt2 = ngt2[unfolded wpo.simps[of ?t ?u] tuAS, simplified]
  from  $\langle \neg ?s1 \rangle t$  ge1 have st':  $\forall j \in ?ts. ?s \succ ts ! j$  by (cases ?thesis,
auto)
from  $\langle \neg ?t1 \rangle u$  ge2 have tu':  $\forall k \in ?us. ?t \succ us ! k$  by (cases ?thesis,
auto)

let ?lu = length us
obtain ps2 pns2 where prc2: prc ?g ?h = (ps2,pns2) by force
obtain ps3 pns3 where prc3: prc ?f ?h = (ps3,pns3) by force
from  $\langle \neg ?s1 \rangle t$  ge1 st' have fg: pns by (cases ?thesis, auto simp: prc)
from  $\langle \neg ?t1 \rangle u$  ge2 tu' have gh: pns2 by (cases ?thesis, auto simp: prc2)
note compat = prc-compat[OF prc prc2 prc3]
from  $\langle \neg ?s1 \rangle$  have ?s1 = False by simp
note ge1 = ge1[unfolded this[unfolded t] if-False term.simps prc split]
from  $\langle \neg ?t1 \rangle$  have ?t1 = False by simp
note ge2 = ge2[unfolded this[unfolded u] if-False term.simps prc2 split]
from compat fg gh have fh: pns3 by blast
{
  fix k
  assume k: k  $\in$  ?us
  from  $\sigma E$ [OF this] have size (us ! k) < size u unfolding u using
size-simps by auto
  with tu'[folded t]  $\langle s \succeq t \rangle$ 
    ind[rule-format, of s t us ! k] k have s  $\succ$  us ! k by blast
} note su' = this
from  $\langle \neg ?s1 \rangle$  st' ge1 ngt1 s t have nfg:  $\neg ps$ 
by (simp, cases ?thesis, simp, cases ps, auto simp: prc fg)
from  $\langle \neg ?t1 \rangle$  tu' ge2 ngt2 t u have ngh:  $\neg ps2$ 
by (simp, cases ?thesis, simp, cases ps2, auto simp: prc2 gh)
show s  $\succeq$  u
proof (cases c ?f)
  case Mul note cf = this
  show ?thesis
  proof (cases c ?g)
    case Mul note cg = this
    show ?thesis
    proof (cases c ?h)
      case Mul note ch = this
      from fg t ge1 st' nfg cf cg
      have mul1: snd (mul-ext wpo ?mss ?mts) by auto
      from gh u ge2 tu' ngh cg ch
      have mul2: snd (mul-ext wpo ?mts ?mus) by auto
      from mul1 mul2 mul-ext-compat[OF ind3', of ?mss ?mts ?mus]
      have snd (mul-ext wpo ?mss ?mus) by auto
      with s u fh su' prc3 cf ch suA show ?thesis unfolding wpo.simps[of
s u] by simp

```

```

      next
      case Lex note ch = this
      from gh u ge2 tu' ngh cg ch have us-e: ?mus = [] by simp
      with s u fh su' prc3 cf cg ch suA show ?thesis unfolding wpo.simps[of
s u] by simp
      qed
    next
    case Lex note cg = this
    from fg t ge1 st' nfg cf cg have ts-e: ?mts = [] by simp
    show ?thesis
    proof (cases c ?h)
      case Mul note ch = this
      with gh u ge2 tu' ngh cg ch have ?mus = [] by simp
      with s u fh su' prc3 cf cg ch ns-mul-ext-bottom suA
      show ?thesis unfolding wpo.simps[of s u] by (simp add: ns-mul-ext-def
mul-ext-def Let-def mult2-alt-ns-def)
    next
    case Lex note ch = this
    have  $\bigwedge f. \text{snd } (\text{lex-ext } f \ n \ [] \ ?mus) \implies ?mus = []$  by (simp-all add:
lex-ext-iff)
    with ts-e gh u ge2 tu' ngh cg ch have ?mus = [] by simp
    with s u fh su' prc3 cf cg ch suA show ?thesis unfolding wpo.simps[of
s u] by simp
    qed
  qed
next
case Lex note cf = this
show ?thesis
proof (cases c ?g)
  case Mul note cg = this
  from fg t ge1 st' prc nfg cf cg have ts-e: ?mts = [] by simp
  show ?thesis
  proof (cases c ?h)
    case Mul note ch = this
    with ts-e gh u ge2 tu' ngh cg ch
    ns-mul-ext-bottom-uniqueness[of mset ?mus]
    have ?mus = [] by (simp add: Let-def mul-ext-def)
    with s u fh su' prc3 cf cg ch suA show ?thesis unfolding wpo.simps[of
s u] by simp
  next
  case Lex note ch = this
  with gh u ge2 tu' prc2 ngh cg ch have ?mus = [] by simp
  with s u fh su' prc3 cf cg ch suA show ?thesis unfolding wpo.simps[of
s u] by (simp add: lex-ext-least-1)
  qed
next
case Lex note cg = this
show ?thesis
proof (cases c ?h)

```

```

      case Mul note ch = this
      with gh u ge2 tu' ngh cg ch have ?mus = [] by simp
      with s u fh su' prc3 cf cg ch suA show ?thesis unfolding wpo.simps[of
s u] by (simp add: lex-ext-least-1)
    next
      case Lex note ch = this
      from st' ge1 s t fg nfg cf cg
      have lex1: snd (lex-ext wpo n ?mss ?mts) by (auto simp: prc)
      from tu' ge2 t u gh ngh cg ch
      have lex2: snd (lex-ext wpo n ?mts ?mus) by (auto simp: prc2)
      from lex1 lex2 lex-ext-compat[OF ind3', of ?mss ?mts ?mus]
      have snd (lex-ext wpo n ?mss ?mus) by auto
      with fg gh su' s u fh cf cg ch suA show ?thesis unfolding
wpo.simps[of s u] by (auto simp: prc3)
    qed
  qed
}
ultimately
show ?thesis using wpo-s-imp-ns by auto
qed
qed
qed
qed

```

**lemma** *subterm-wpo-s-arg*: **assumes** *i: i ∈ set (σ (f, length ss))*  
**shows** *Fun f ss > ss ! i*  
**proof** –  
 have *refl: ss ! i ≥ ss ! i* by (*rule wpo-ns-refl*)  
 with *i* have  $\exists t \in \text{set } (\sigma (f, \text{length } ss)). ss ! i \geq ss ! i$  by *auto*  
 with *NS-arg[OF i] i*  
 show *?thesis* by (*auto simp: wpo.simps*)  
**qed**

**lemma** *subterm-wpo-ns-arg*: **assumes** *i: i ∈ set (σ (f, length ss))*  
**shows** *Fun f ss ≥ ss ! i*  
 by (*rule wpo-s-imp-ns[OF subterm-wpo-s-arg[OF i]]*)

**lemma** *wpo-ns-pre-mono*: **fixes** *f* and *bef aft :: ('f, 'v) term list*  
**defines**  $\sigma f \equiv \sigma (f, \text{Suc } (\text{length } bef + \text{length } aft))$   
**assumes** *rel: (wpo-ns s t)*  
**shows**  $(\forall j \in \text{set } \sigma f. \text{Fun } f (bef @ s \# aft) > (bef @ t \# aft) ! j)$   
 $\wedge (\text{Fun } f (bef @ s \# aft), (\text{Fun } f (bef @ t \# aft))) \in \text{NS}$   
 $\wedge (\forall i < \text{length } \sigma f. ((\text{map } (!) (bef @ s \# aft)) \sigma f) ! i \geq ((\text{map } (!) (bef @ t \# aft)) \sigma f) ! i)$   
 (*is - ∧ - ∧ ?three*)  
**proof** –  
 let *?ss = bef @ s # aft*



```

let ?ts = bef @ t # aft
let ?s = Fun f ?ss
let ?t = Fun f ?ts
let ?len = Suc (length bef + length aft)
let ?f = (f, ?len)
let ?σ = σ ?f
from wpo-ns-imp-NS[OF rel] have stA: (s,t) ∈ NS .
have ?three unfolding σf-def
proof (intro allI impI)
  fix i
  assume i < length ?σ
  then have id:  $\bigwedge ss. (map (!) ss) ?σ ! i = ss ! (?σ ! i)$  by auto
  show wpo-ns ((map (!) ?ss) ?σ ! i) ((map (!) ?ts) ?σ ! i)
  proof (cases ?σ ! i = length bef)
    case True
    then show ?thesis unfolding id using rel by auto
  next
    case False
    from append-Cons-nth-not-middle[OF this, of s aft t] wpo-ns-refl
    show ?thesis unfolding id by auto
  qed
qed
have  $\forall j \in \text{set } ?\sigma. \text{wpo-}s ?s ((\text{bef } @ t \# \text{aft}) ! j) (\text{is } ?one)$ 
proof
  fix j
  assume j: j ∈ set ?σ
  then have j ∈ set (σ (f,length ?ss)) by simp
  from subterm-wpo-s-arg[OF this]
  have s: wpo-s ?s (?ss ! j) .
  show wpo-s ?s (?ts ! j)
  proof (cases j = length bef)
    case False
    then have ?ss ! j = ?ts ! j by (rule append-Cons-nth-not-middle)
    with s show ?thesis by simp
  next
    case True
    with s have wpo-s ?s s by simp
    with rel wpo-compat have wpo-s ?s t by blast
    with True show ?thesis by simp
  qed
qed
with <?three> ctxt-NS[OF stA] show ?thesis unfolding σf-def by auto
qed

lemma wpo-ns-mono:
  assumes rel: s  $\succeq$  t
  shows Fun f (bef @ s # aft)  $\succeq$  Fun f (bef @ t # aft)
proof –
  let ?ss = bef @ s # aft

```

```

let ?ts = bef @ t # aft
let ?s = Fun f ?ss
let ?t = Fun f ?ts
let ?len = Suc (length bef + length aft)
let ?f = (f, ?len)
let ?σ = σ ?f
from wpo-ns-pre-mono[OF rel]
have id: (∀ j ∈ set ?σ. wpo-s ?s ((bef @ t # aft) ! j)) = True
  ((?s, ?t) ∈ NS) = True
  length ?ss = ?len length ?ts = ?len
  by auto
have snd (lex-ext wpo n (map (!) ?ss) ?σ) (map (!) ?ts) ?σ)
  by (rule all-nstri-imp-lex-nstri, intro allI impI, insert wpo-ns-pre-mono[OF rel],
  auto)
moreover have snd (mul-ext wpo (map (!) ?ss) ?σ) (map (!) ?ts) ?σ)
  by (rule all-nstri-imp-mul-nstri, intro allI impI, insert wpo-ns-pre-mono[OF
  rel], auto)
ultimately show ?thesis unfolding wpo.simps[of ?s ?t] term.simps id pre-refl
  using order-tag.exhaust by (auto simp: Let-def)
qed

```

```

lemma wpo-stable: fixes δ :: ('f, 'v)subst
  shows (s > t → s · δ > t · δ) ∧ (s ≥ t → s · δ ≥ t · δ)
  (is ?p s t)
proof (induct (s, t) arbitrary: s t rule: wf-induct[OF wf-measure[of λ (s, t). size s +
  size t]])
  case (1 s t)
  from 1
  have ∀ s' t'. size s' + size t' < size s + size t → ?p s' t' by auto
  note IH = this[rule-format]
  let ?s = s · δ
  let ?t = t · δ
  note_simps = wpo.simps[of s t] wpo.simps[of ?s ?t]
  show ?case
  proof (cases ((s, t) ∈ S ∨ (?s, ?t) ∈ S) ∨ ((s, t) ∉ NS ∨ ¬ wpo-ns s t))
  case True
  then show ?thesis
  proof
  assume (s, t) ∈ S ∨ (?s, ?t) ∈ S
  with subst-S[of s t δ] have (?s, ?t) ∈ S by blast
  from S-imp-wpo-s[OF this] have wpo-s ?s ?t .
  with wpo-s-imp-ns[OF this] show ?thesis by blast
  next
  assume (s, t) ∉ NS ∨ ¬ wpo-ns s t
  with wpo-ns-imp-NS have st: ¬ wpo-ns s t by auto
  with wpo-s-imp-ns have ¬ wpo-s s t by auto
  with st show ?thesis by blast
  qed
next

```

```

case False
then have not:  $((s,t) \in S) = \text{False} \ ((?s,?t) \in S) = \text{False}$ 
  and stA:  $(s,t) \in NS$  and ns: wpo-ns s t by auto
from subst-NS[OF stA] have sstsA:  $(?s,?t) \in NS$  by auto
from stA sstsA have id:  $((s,t) \in NS) = \text{True} \ ((?s,?t) \in NS) = \text{True}$  by auto
note simps = simps[unfolded id not if-False if-True]
show ?thesis
proof (cases s)
  case (Var x) note s = this
  show ?thesis
  proof (cases t)
    case (Var y) note t = this
    show ?thesis unfolding simps(1) unfolding s t using wpo-ns-refl[of  $\delta$  y]
by auto
  next
    case (Fun g ts) note t = this
    let ?g =  $(g, \text{length } ts)$ 
    show ?thesis
    proof (cases  $\delta$  x)
      case (Var y)
      then show ?thesis unfolding simps unfolding s t by simp
    next
      case (Fun f ss)
      let ?f =  $(f, \text{length } ss)$ 
      show ?thesis
      proof (cases prl ?g)
        case False then show ?thesis unfolding simps unfolding s t Fun by
auto
        next
          case True
          obtain s ns where prc ?f ?g = (s,ns) by force
          with prl[OF True, of ?f] have prc: prc ?f ?g = (s, True) by auto
          show ?thesis unfolding simps unfolding s t Fun
          by (auto simp: Fun prc mul-ext-def ns-mul-ext-bottom Let-def intro!:
all-nstri-imp-lex-nstri[of [], simplified])
        qed
      qed
    qed
  next
    case (Fun f ss) note s = this
    let ?f =  $(f, \text{length } ss)$ 
    let ?ss = set  $(\sigma ?f)$ 
    {
      fix i
      assume i:  $i \in ?ss$  and ns: wpo-ns (ss ! i) t
      from IH[of ss ! i t]  $\sigma E$ [OF i] ns have wpo-ns (ss ! i  $\cdot$   $\delta$ ) ?t using s
      by (auto simp: size-simps)
      then have wpo-s ?s ?t using i sstsA  $\sigma$ [of f length ss] unfolding simps
unfolding s by force
    }

```

```

    with wpo-s-imp-ns[OF this] have ?thesis by blast
  } note si-arg = this
show ?thesis
proof (cases t)
  case t: (Var y)
  show ?thesis
proof (cases  $\exists i \in ?ss. wpo-ns (ss ! i) t$ )
  case True
  then obtain i
    where si:  $i \in ?ss$  and ns:  $wpo-ns (ss ! i) t$ 
    unfolding s t by auto
  from si-arg[OF this] show ?thesis .
next
  case False
  with ns[unfolded_simps] s t
  have ssimple and largef: large ?f by (auto split: if-splits)
  from False s t not
  have  $\neg wpo-s s t$  unfolding wpo_simps[of s t] by auto
  moreover
  have wpo-ns ?s ?t
  proof (cases  $\delta y$ )
    case (Var z)
    show ?thesis unfolding wpo_simps[of ?s ?t] not id
      unfolding s t using Var  $\langle ssimple \rangle$  largef by auto
  next
    case (Fun g ts)
    let ?g = (g, length ts)
    obtain ps pns where prc:  $prc ?f ?g = (ps, pns)$  by (cases prc ?f ?g, auto)
    from prc-stri-imp-nstri[of ?f ?g] prc have ps:  $ps \implies pns$  by auto
    {
      fix j
      assume  $j \in set (\sigma ?g)$ 
      with set-status-nth[OF refl this] ss-status[OF  $\langle ssimple \rangle$  this] t Fun
      have  $(t \cdot \delta, ts ! j) \in S$  by (auto simp: simple-arg-pos-def)
      with sstsA have S:  $(s \cdot \delta, ts ! j) \in S$  by (metis compat-NS-S-point)
      hence wpo-s (s ·  $\delta$ ) (ts ! j) by (rule S-imp-wpo-s)
    }
    note ssimple = this
  from large[OF  $\langle ssimple \rangle$  largef, of ?g, unfolded prc]
  have  $ps \vee pns \wedge \sigma ?g = []$  by auto
  thus ?thesis using ssimple unfolding wpo_simps[of ?s ?t] not id
  unfolding s t using Fun prc ps by (auto simp: lex-ext-least-1 mul-ext-def
Let-def ns-mul-ext-bottom)
qed
ultimately show ?thesis by blast
qed
next
  case (Fun g ts) note t = this
  let ?g = (g, length ts)
  let ?ts = set ( $\sigma ?g$ )

```

```

obtain prs prns where p: pre ?f ?g = (prs, prns) by force
note ns = ns[unfolded_simps, unfolded s t p term_simps split]
show ?thesis
proof (cases  $\exists i \in ?ss. wpo\text{-}ns (ss ! i) t$ )
  case True
    with si-arg show ?thesis by blast
  next
    case False
    then have id: ( $\exists i \in ?ss. wpo\text{-}ns (ss ! i) (Fun g ts) = False$ ) unfolding t
by auto
    note ns = ns[unfolded this if-False]
    let ?mss = map ( $\lambda s . s \cdot \delta$ ) ss
    let ?mts = map ( $\lambda t . t \cdot \delta$ ) ts
    from ns have prns and s-tj:  $\bigwedge j. j \in ?ts \implies wpo\text{-}s (Fun f ss) (ts ! j)$ 
    by (auto split: if-splits)
    {
      fix j
      assume j:  $j \in ?ts$ 
      from  $\sigma E[OF this]$ 
      have size s + size (ts ! j) < size s + size t unfolding t by (auto simp:
size-simps)
      from IH[OF this] s-tj[OF j, folded s] have wpo: wpo-s ?s (ts ! j ·  $\delta$ ) by
auto
      from j  $\sigma$ [of g length ts] have j < length ts by auto
      with wpo have wpo-s ?s (?mts ! j) by auto
    } note ss-ts = this
    note  $\sigma E = \sigma E[of - f ss] \sigma E[of - g ts]$ 
    show ?thesis
    proof (cases prs)
      case True
      with ss-ts sstsA p ⟨prns⟩ have wpo-s ?s ?t unfolding simps unfolding
s t
      by (auto split: if-splits)
      with wpo-s-imp-ns[OF this] show ?thesis by blast
    next
      case False
      let ?mmss = map (!) ss ( $\sigma ?f$ )
      let ?mmts = map (!) ts ( $\sigma ?g$ )
      let ?Mmss = map (!) ?mss ( $\sigma ?f$ )
      let ?Mmts = map (!) ?mts ( $\sigma ?g$ )
      have id-map: ?Mmss = map ( $\lambda t. t \cdot \delta$ ) ?mmss ?Mmts = map ( $\lambda t. t \cdot$ 
 $\delta$ ) ?mmts
      unfolding map-map o-def by (auto simp: set-status-nth)
      let ?ls = length ( $\sigma ?f$ )
      let ?lt = length ( $\sigma ?g$ )
      {
        fix si tj
        assume *: si  $\in$  set ?mmss tj  $\in$  set ?mmts
        have (wpo-s si tj  $\longrightarrow$  wpo-s (si ·  $\delta$ ) (tj ·  $\delta$ ))  $\wedge$  (wpo-ns si tj  $\longrightarrow$  wpo-ns

```

$(si \cdot \delta) (tj \cdot \delta)$   
**proof** (*intro IH add-strict-mono*)  
**from**  $*(1)$  **have**  $si \in \text{set } ss$  **using** *set-status-nth[of - - -  $\sigma\sigma$ ]* **by** *auto*  
**then show**  $\text{size } si < \text{size } s$  **unfolding**  $s$  **by** (*auto simp: termination-simp*)  
**from**  $*(2)$  **have**  $tj \in \text{set } ts$  **using** *set-status-nth[of - - -  $\sigma\sigma$ ]* **by** *auto*  
**then show**  $\text{size } tj < \text{size } t$  **unfolding**  $t$  **by** (*auto simp: termination-simp*)  
**qed**  
**hence**  $\text{wpo-s } si \ tj \implies \text{wpo-s } (si \cdot \delta) (tj \cdot \delta)$   
 $\text{wpo-ns } si \ tj \implies \text{wpo-ns } (si \cdot \delta) (tj \cdot \delta)$  **by** *blast+*  
**}** **note**  $IH' = \text{this}$   
**{**  
**fix**  $i$   
**assume**  $i < ?ls \ i < ?lt$   
**then have**  $i\text{-f}: i < \text{length } (\sigma \ ?f)$  **and**  $i\text{-g}: i < \text{length } (\sigma \ ?g)$  **by** *auto*  
**with**  $\sigma[\text{of } f \ \text{length } ss] \ \sigma[\text{of } g \ \text{length } ts]$  **have**  $i: \sigma \ ?f \ ! \ i < \text{length } ss \ \sigma \ ?g$   
 $! \ i < \text{length } ts$   
**unfolding** *set-conv-nth* **by** *auto*  
**then have**  $\text{size } (ss \ ! \ (\sigma \ ?f \ ! \ i)) < \text{size } s \ \text{size } (ts \ ! \ (\sigma \ ?g \ ! \ i)) < \text{size } t$   
**unfolding**  $s \ t$  **by** (*auto simp: size-simps*)  
**then have**  $\text{size } (ss \ ! \ (\sigma \ ?f \ ! \ i)) + \text{size } (ts \ ! \ (\sigma \ ?g \ ! \ i)) < \text{size } s + \text{size } t$   
**by** *simp*  
**from**  $IH[OF \ \text{this}] \ i \ i\text{-f} \ i\text{-g}$   
**have**  $(\text{wpo-s } (?mss \ ! \ i) \ (?mmts \ ! \ i)) \implies$   
 $\text{wpo-s } (?mss \ ! \ (\sigma \ ?f \ ! \ i)) \ (?mmts \ ! \ (\sigma \ ?g \ ! \ i))$   
 $(\text{wpo-ns } (?mss \ ! \ i) \ (?mmts \ ! \ i)) \implies$   
 $\text{wpo-ns } (?mss \ ! \ (\sigma \ ?f \ ! \ i)) \ (?mmts \ ! \ (\sigma \ ?g \ ! \ i))$  **by** *auto*  
**}** **note**  $IH = \text{this}$   
**consider**  $(Lex) \ c \ ?f = Lex \ c \ ?g = Lex \ | \ (Mul) \ c \ ?f = Mul \ c \ ?g = Mul \ |$   
 $(Diff) \ c \ ?f \neq c \ ?g$   
**by** (*cases c ?f; cases c ?g, auto*)  
**thus**  $?thesis$   
**proof** *cases*  
**case**  $Lex$   
**from**  $Lex \ False \ ns$  **have**  $\text{snd } (\text{lex-ext } \text{wpo } n \ ?mss \ ?mmts)$  **by** (*auto*  
*split: if-splits*)  
**from**  $\text{this}[\text{unfolded } \text{lex-ext-iff } \text{snd-conv}]$   
**have**  $\text{len}: (?ls = ?lt \vee ?lt \leq n)$   
**and** *choice:  $(\exists i < ?ls.$*   
 $i < ?lt \wedge (\forall j < i. \text{wpo-ns } (?mss \ ! \ j) \ (?mmts \ ! \ j)) \wedge \text{wpo-s } (?mss \ !$   
 $i) \ (?mmts \ ! \ i)) \vee$   
 $(\forall i < ?lt. \text{wpo-ns } (?mss \ ! \ i) \ (?mmts \ ! \ i)) \wedge ?lt \leq ?ls$  **(is**  $?stri \vee$   
 $?nstri)$  **by** *auto*  
**from** *choice* **have**  $?stri \vee (\neg ?stri \wedge ?nstri)$  **by** *blast*  
**then show**  $?thesis$   
**proof**  
**assume**  $?stri$   
**then obtain**  $i$  **where**  $i: i < ?ls \ i < ?lt$   
**and**  $NS: (\forall j < i. \text{wpo-ns } (?mss \ ! \ j) \ (?mmts \ ! \ j))$  **and**  $S: \text{wpo-s}$   
 $(?mss \ ! \ i) \ (?mmts \ ! \ i)$  **by** *auto*

```

      with IH have (∀ j < i. wpo-ns (?Mmss ! j) (?Mmts ! j)) wpo-s (?Mmss
! i) (?Mmts ! i) by auto
      with i len have fst (lex-ext wpo n ?Mmss ?Mmts) unfolding lex-ext-iff
      by auto
      with Lex ss-ts sstsA p ⟨prns⟩ have wpo-s ?s ?t unfolding_simps
unfolding s t
      by (auto split: if-splits)
      with wpo-s-imp-ns[OF this] show ?thesis by blast
next
assume ¬ ?stri ∧ ?nstri
then have ?nstri and nstri: ¬ ?stri by blast+
with IH have (∀ i < ?lt. wpo-ns (?Mmss ! i) (?Mmts ! i)) ∧ ?lt ≤
?ls by auto
with len have snd (lex-ext wpo n ?Mmss ?Mmts) unfolding lex-ext-iff
by auto
with Lex ss-ts sstsA p ⟨prns⟩ have ns: wpo-ns ?s ?t unfolding_simps
unfolding s t
by (auto split: if-splits)
{
  assume wpo-s s t
  from Lex this[unfolded_simps, unfolded s t term_simps p split id]
False
  have fst (lex-ext wpo n ?mmss ?mmts) by (auto split: if-splits)
  from this[unfolded_lex-ext-iff fst-conv] nstri
  have (∀ i < ?lt. wpo-ns (?mmss ! i) (?mmts ! i)) ∧ ?lt < ?ls by auto
  with IH have (∀ i < ?lt. wpo-ns (?Mmss ! i) (?Mmts ! i)) ∧ ?lt <
?ls by auto
  then have fst (lex-ext wpo n ?Mmss ?Mmts) using len unfolding
lex-ext-iff by auto
  with Lex ss-ts sstsA p ⟨prns⟩ have ns: wpo-s ?s ?t unfolding_simps
unfolding s t
  by (auto split: if-splits)
}
with ns show ?thesis by blast
qed
next
case Diff
thus ?thesis using ns ss-ts sstsA p ⟨prns⟩ unfolding_simps unfolding
s t
by (auto simp: Let-def split: if-splits)
next
case Mul
from Mul False ns have ge: snd (mul-ext wpo ?mmss ?mmts) by (auto
split: if-splits)
have ge: snd (mul-ext wpo ?Mmss ?Mmts) unfolding id-map
by (rule nstri-mul-ext-map[OF - - ge], (intro IH', auto)+)
{
  assume gr: fst (mul-ext wpo ?mmss ?mmts)
  have grσ: fst (mul-ext wpo ?Mmss ?Mmts) unfolding id-map

```

```

      by (rule stri-mul-ext-map[OF - - gr], (intro IH', auto)+)
    } note gr = this
  from ge gr
  show ?thesis
    using ss-ts ⟨prns⟩ unfolding_simps
    unfolding s t term.simps p split subst-apply-term.simps length-map
Mul
  by (simp add: id-map id)
  qed
  qed
  qed
  qed
  qed
  qed
  qed
lemma WPO-S-SN: SN WPO-S
proof -
  {
    fix t :: ('f,'v)term
    let ?S = λx. SN-on WPO-S {x}
    note iff = SN-on-all-reducts-SN-on-conv[of WPO-S]
    {
      fix x
      have ?S (Var x) unfolding iff[of Var x]
      proof (intro allI impI)
        fix s
        assume (Var x, s) ∈ WPO-S
        then have False by (cases s, auto simp: wpo.simps)
        then show ?S s ..
      qed
    } note var-SN = this
  have ?S t
  proof (induct t)
    case (Var x) show ?case by (rule var-SN)
  next
  case (Fun f ts)
  let ?Slist = λ f ys. ∀ i ∈ set (σ f). ?S (ys ! i)
  let ?r3 = {((f,ab), (g,ab')). ((c f = c g) → (?Slist f ab ∧
    (c f = Mul → fst (mul-ext wpo (map (!) ab) (σ f)) (map (!) ab') (σ
g)))) ∧
    (c f = Lex → fst (lex-ext wpo n (map (!) ab) (σ f)) (map (!) ab') (σ
g))))))
  ∧ ((c f ≠ c g) → (map (!) ab) (σ f) ≠ [] ∧ (map (!) ab') (σ g) = []))}
  let ?r0 = lex-two {(f,g). fst (prc f g)} {(f,g). snd (prc f g)} ?r3
  {
    fix ab
    {
      assume ∃ S. S 0 = ab ∧ (∀ i. (S i, S (Suc i)) ∈ ?r3)
    }
  }

```



```

then obtain  $S$  where
   $S0: S\ 0 = ab$  and
   $SS: \forall i. (S\ i, S\ (Suc\ i)) \in ?r3$ 
  by auto
let  $?Sf = \lambda i. fst\ (fst\ (S\ i))$ 
let  $?Sn = \lambda i. snd\ (fst\ (S\ i))$ 
let  $?Sfn = \lambda i. fst\ (S\ i)$ 
let  $?Sts = \lambda i. snd\ (S\ i)$ 
let  $?Sts\sigma = \lambda i. map\ (!)\ (?Sts\ i)\ (\sigma\ (?Sfn\ i))$ 
have False
proof (cases  $\forall i. c\ (?Sfn\ i) = Mul$ )
  case True
    let  $?r' = \{((f,ys), (g,xs)).$ 
       $(\forall yi \in set\ ((map\ (!)\ ys)\ (\sigma\ f))).\ SN\text{-on}\ WPO\text{-}S\ \{yi\}$ 
       $\wedge\ fst\ (mul\text{-}ext\ wpo\ (map\ (!)\ ys)\ (\sigma\ f))\ (map\ (!)\ xs)\ (\sigma\ g))\}$ 
    {
      fix  $i$ 
      from  $True[rule\text{-}format,\ of\ i]$  and  $True[rule\text{-}format,\ of\ Suc\ i]$ 
      and  $SS[rule\text{-}format,\ of\ i]$ 
      have  $(S\ i, S\ (Suc\ i)) \in ?r'$  by auto
    }
    then have  $Hf: \neg SN\text{-on}\ ?r'\ \{S\ 0\}$ 
      unfolding  $SN\text{-on}\text{-}def$  by auto
      from  $mul\text{-}ext\text{-}SN[of\ wpo,\ rule\text{-}format,\ OF\ wpo\text{-}ns\text{-}refl]$ 
      and  $wpo\text{-}compat\ wpo\text{-}s\text{-}imp\text{-}ns$ 
      have  $tmp: SN\ \{(ys, xs). (\forall y \in set\ ys.\ SN\text{-on}\ \{(s, t).\ wpo\text{-}s\ s\ t\}\ \{y\}) \wedge\ fst$ 
         $(mul\text{-}ext\ wpo\ ys\ xs)\}$ 
      (is  $SN\ ?R$ ) by blast
      have  $id: ?r' = inv\text{-}image\ ?R\ (\lambda\ (f,ys).\ map\ (!)\ ys)\ (\sigma\ f)$  by auto
      from  $SN\text{-}inv\text{-}image[OF\ tmp]$ 
      have  $SN\ ?r'$  unfolding  $id$  .
      from  $SN\text{-on}\text{-}subset2[OF\ subset\text{-}UNIV[of\ \{S\ 0\}],\ OF\ this]$ 
      have  $SN\text{-on}\ ?r'\ \{(S\ 0)\}$  .
      with  $Hf$  show  $?thesis$  ..
    next
      case False note  $HMul = this$ 
      show  $?thesis$ 
      proof (cases  $\forall i. c\ (?Sfn\ i) = Lex$ )
        case True
          let  $?r' = \{((f,ys), (g,xs)).$ 
             $(\forall yi \in set\ ((map\ (!)\ ys)\ (\sigma\ f))).\ SN\text{-on}\ WPO\text{-}S\ \{yi\}$ 
             $\wedge\ fst\ (lex\text{-}ext\ wpo\ n\ (map\ (!)\ ys)\ (\sigma\ f))\ (map\ (!)\ xs)\ (\sigma\ g))\}$ 
          {
            fix  $i$ 
            from  $SS[rule\text{-}format,\ of\ i]$   $True[rule\text{-}format,\ of\ i]$   $True[rule\text{-}format,$ 
               $of\ Suc\ i]$ 
            have  $(S\ i, S\ (Suc\ i)) \in ?r'$  by auto
          }
          then have  $Hf: \neg SN\text{-on}\ ?r'\ \{S\ 0\}$ 

```

```

      unfolding SN-on-def by auto
    from wpo-compat have  $\bigwedge x y z. wpo\text{-}ns\ x\ y \implies wpo\text{-}s\ y\ z \implies wpo\text{-}s\ x\ z$ 
  z by blast
    from lex-ext-SN[of wpo n, OF this]
  have tmp: SN  $\{(ys, xs). (\forall y \in set\ ys. SN\text{-}on\ WPO\text{-}S\ \{y\}) \wedge fst\ (lex\text{-}ext\ wpo\ n\ ys\ xs)\}$ 
    (is SN ?R) .
  have id: ?r' = inv-image ?R  $(\lambda (f,ys). map\ (!)\ ys\ (\sigma\ f))$  by auto
  from SN-inv-image[OF tmp]
  have SN ?r' unfolding id .
  then have SN-on ?r'  $\{(S\ 0)\}$  unfolding SN-defs by blast
  with Hf show False ..
next
case False note HLex = this
from HMul and HLex
have  $\exists i. c\ (?Sfn\ i) \neq c\ (?Sfn\ (Suc\ i))$ 
proof (cases ?thesis, simp)
  case False
  then have T:  $\forall i. c\ (?Sfn\ i) = c\ (?Sfn\ (Suc\ i))$  by simp
  {
    fix i
    have  $c\ (?Sfn\ i) = c\ (?Sfn\ 0)$ 
    proof (induct i)
      case (Suc j) then show ?case by (simp add: T[rule-format, of j])
    qed simp
  }
  then show ?thesis using HMul HLex
  by (cases c (?Sfn 0)) auto
qed
then obtain i where
  Hdifff:  $c\ (?Sfn\ i) \neq c\ (?Sfn\ (Suc\ i))$ 
  by auto
from Hdifff have Hf:  $?Sts\sigma\ (Suc\ i) = []$ 
  using SS[rule-format, of i] by auto
show ?thesis
proof (cases c (?Sfn (Suc i)) = c (?Sfn (Suc (Suc i))))
  case False then show ?thesis using Hf and SS[rule-format, of Suc
i] by auto
next
case True
show ?thesis
proof (cases c (?Sfn (Suc i)))
  case Mul
  with True and SS[rule-format, of Suc i]
  have fst (mul-ext wpo (?Sts $\sigma$  (Suc i)) (?Sts $\sigma$  (Suc (Suc i))))
  by auto
  with Hf and s-mul-ext-bottom-strict show ?thesis
  by (simp add: Let-def mul-ext-def s-mul-ext-bottom-strict)
next

```

```

      case Lex
      with True and SS[rule-format, of Suc i]
      have fst (lex-ext wpo n (?Sts $\sigma$  (Suc i)) (?Sts $\sigma$  (Suc (Suc i))))
      by auto
      with Hf show ?thesis by (simp add: lex-ext-iff)
    qed
  qed
  qed
  }
}
then have SN ?r3 unfolding SN-on-def by blast
have SN1:SN ?r0
proof (rule lex-two[OF - prc-SN ‹SN ?r3›])
  let ?r' = {(f,g). fst (prc f g)}
  let ?r = {(f,g). snd (prc f g)}
  {
    fix a1 a2 a3
    assume (a1,a2)  $\in$  ?r (a2,a3)  $\in$  ?r'
    then have (a1,a3)  $\in$  ?r
      by (cases prc a1 a2, cases prc a2 a3, cases prc a1 a3,
        insert prc-compat[of a1 a2 - - a3], force)
  }
  then show ?r  $\subseteq$  ?r' by auto
  qed
  let ?m =  $\lambda$  (f,ts). ((f,length ts), ((f, length ts), ts))
  let ?r = {(a,b). (?m a, ?m b)  $\in$  ?r0}
  have SN-r: SN ?r using SN-inv-image[OF SN1, of ?m] unfolding inv-image-def
  by fast
  let ?SA = ( $\lambda$  x y. (x,y)  $\in$  S)
  let ?NSA = ( $\lambda$  x y. (x,y)  $\in$  NS)
  let ?rr = lex-two S NS ?r
  define rr where rr = ?rr
  from lex-two[OF compat-NS-S SN SN-r]
  have SN-rr: SN rr unfolding rr-def by auto
  let ?rrr = inv-image rr ( $\lambda$  (f,ts). (Fun f ts, (f,ts)))
  have SN-rrr: SN ?rrr
    by (rule SN-inv-image[OF SN-rr])
  let ?ind =  $\lambda$  (f,ts). ?Slist (f,length ts) ts  $\longrightarrow$  ?S (Fun f ts)
  have ?ind (f,ts)
  proof (rule SN-induct[OF SN-rrr, of ?ind])
    fix fts
    assume ind:  $\bigwedge$  gss. (fts,gss)  $\in$  ?rrr  $\implies$  ?ind gss
    obtain f ts where Pair: fts = (f,ts) by force
    let ?f = (f,length ts)
    note ind = ind[unfolded Pair]
    show ?ind fts unfolding Pair split
    proof (intro impI)
      assume ts: ?Slist ?f ts

```

```

let ?t = Fun f ts
show ?S ?t
proof (simp only: iff[of ?t], intro allI impI)
  fix s
  assume (?t,s) ∈ WPO-S
  then have ?t > s by simp
  then show ?S s
  proof (induct s, simp add: var-SN)
    case (Fun g ss) note IH = this
    let ?s = Fun g ss
    let ?g = (g,length ss)
    from Fun have t-gr-s: ?t > ?s by auto
    show ?S ?s
    proof (cases ∃ i ∈ set (σ ?f). ts ! i ≥ ?s)
      case True
      then obtain i where i ∈ set (σ ?f) and ge: ts ! i ≥ ?s by auto
      with ts have ?S (ts ! i) by auto
      show ?S ?s
      proof (unfold iff[of ?s], intro allI impI)
        fix u
        assume (?s,u) ∈ WPO-S
        with wpo-compat ge have u: ts ! i > u by blast
        with ‹?S (ts ! i)›[unfolded iff[of ts ! i]]
        show ?S u by simp
      qed
    next
    case False note oFalse = this
    from wpo-s-imp-NS[OF t-gr-s]
    have t-NS-s: (?t,?s) ∈ NS .
    show ?thesis
    proof (cases (?t,?s) ∈ S)
      case True
      then have ((f,ts),(g,ss)) ∈ ?rrr unfolding rr-def by auto
      with ind have ind: ?ind (g,ss) by auto
      {
        fix i
        assume i: i ∈ set (σ ?g)
        have ?s ≥ ss ! i by (rule subterm-wpo-ns-arg[OF i])
        with t-gr-s have ts: ?t > ss ! i using wpo-compat by blast
        have ?S (ss ! i) using IH(1)[OF σE[OF i] ts] by auto
      } note SN-ss = this
      from ind SN-ss show ?thesis by auto
    next
    case False
    with t-NS-s oFalse
    have id: (?t,?s) ∈ S = False (?t,?s) ∈ NS = True by simp-all
    let ?ls = length ss
    let ?lt = length ts
    let ?f = (f,?lt)

```

```

let ?g = (g, ?ls)
obtain s1 ns1 where prc1: prc ?f ?g = (s1, ns1) by force
note t-gr-s = t-gr-s[unfolded wpo.simps[of ?t ?s],
  unfolded term.simps id if-True if-False prc1 split]
from oFalse t-gr-s have f-ge-g: ns1
  by (cases ?thesis, auto)
from oFalse t-gr-s f-ge-g have small-ss:  $\forall i \in \text{set } (\sigma ?g). ?t \succ ss ! i$ 
  by (cases ?thesis, auto)
with Fun  $\sigma E$ [of - g ss] have ss-S: ?Slist ?g ss by auto
show ?thesis
proof (cases s1)
  case True
  then have ((f, ts), (g, ss))  $\in ?r$  by (simp add: prc1)
  with t-NS-s have ((f, ts), (g, ss))  $\in ?rrr$  unfolding rr-def by auto
  with ind have ?ind (g, ss) by auto
  with ss-S show ?thesis by auto
  next
  case False
  consider (Diff) c ?f  $\neq$  c ?g | (Lex) c ?f = Lex c ?g = Lex | (Mul)
c ?f = Mul c ?g = Mul
  by (cases c ?f; cases c ?g, auto)
  thus ?thesis
  proof cases
    case Diff
    with False oFalse f-ge-g t-gr-s small-ss prc1 t-NS-s
have ((f, ts), (g, ss))  $\in ?rrr$  unfolding rr-def by (cases c ?f; cases
c ?g, auto)
    with ind have ?ind (g, ss) using Pair by auto
    with ss-S show ?thesis by simp
  next
  case Lex
  from False oFalse t-gr-s small-ss f-ge-g Lex
have lex: fst (lex-ext wpo n (map (!) ts) ( $\sigma ?f$ )) (map (!) ss)
( $\sigma ?g$ ))
  by auto
  from False lex ts f-ge-g Lex have ((f, ts), (g, ss))  $\in ?r$ 
  by (simp add: prc1)
  with t-NS-s have ((f, ts), (g, ss))  $\in ?rrr$  unfolding rr-def by auto
  with ind have ?ind (g, ss) by auto
  with ss-S show ?thesis by auto
  next
  case Mul
  from False oFalse t-gr-s small-ss f-ge-g Mul
have mul: fst (mul-ext wpo (map (!) ts) ( $\sigma ?f$ )) (map (!) ss) ( $\sigma$ 
?g))
  by auto
  from False mul ts f-ge-g Mul have ((f, ts), (g, ss))  $\in ?r$ 
  by (simp add: prc1)
  with t-NS-s have ((f, ts), (g, ss))  $\in ?rrr$  unfolding rr-def by auto

```

```

      with ind have ?ind (g,ss) by auto
      with ss-S show ?thesis by auto
    qed
  qed
  qed
  qed
  qed
  qed
  qed
  with Fun show ?case using  $\sigma E[of - f ts]$  by simp
  qed
}
from SN-I[OF this]
show SN {(s::('f, 'v)term, t). fst (wpo s t)} .
qed

```

**theorem** *WPO-SN-order-pair*: *SN-order-pair WPO-S WPO-NS*

**proof**

```

  show refl WPO-NS using wpo-ns-refl unfolding refl-on-def by auto
  show trans WPO-NS using wpo-compat unfolding trans-def by blast
  show trans WPO-S using wpo-compat wpo-s-imp-ns unfolding trans-def by
blast
  show WPO-NS O WPO-S  $\subseteq$  WPO-S using wpo-compat by blast
  show WPO-S O WPO-NS  $\subseteq$  WPO-S using wpo-compat by blast
  show SN WPO-S using WPO-S-SN .
qed

```

**theorem** *WPO-S-subst*:  $(s,t) \in WPO-S \implies (s \cdot \sigma, t \cdot \sigma) \in WPO-S$  for  $\sigma$   
 using *wpo-stable* by *auto*

**theorem** *WPO-NS-subst*:  $(s,t) \in WPO-NS \implies (s \cdot \sigma, t \cdot \sigma) \in WPO-NS$  for  $\sigma$   
 using *wpo-stable* by *auto*

**theorem** *WPO-NS-ctxt*:  $(s,t) \in WPO-NS \implies (Fun f (bef @ s \# aft), Fun f (bef @ t \# aft)) \in WPO-NS$   
 using *wpo-ns-mono* by *blast*

**theorem** *WPO-S-subset-WPO-NS*:  $WPO-S \subseteq WPO-NS$   
 using *wpo-s-imp-ns* by *blast*

**context**

```

  assumes  $\sigma$ -full:  $\bigwedge f k. set (\sigma (f,k)) = \{0 ..< k\}$ 
begin

```

**lemma** *subterm-wpo-s*:  $s \triangleright t \implies s \succ t$

```

proof (induct s t rule: supt.induct)
  case (arg s ss f)

```

```

from arg[unfolded set-conv-nth] obtain i where  $i < \text{length } ss$  and  $s = ss !$ 
i by auto
from  $\sigma\text{-full}[\text{of } f \text{ length } ss]$  i have  $ii: i \in \text{set } (\sigma (f, \text{length } ss))$  by auto
from subterm-wpo-s-arg[OF ii] s show ?case by auto
next
  case (subt s ss t f)
from subt wpo-s-imp-ns have  $\exists s \in \text{set } ss. \text{wpo-ns } s t$  by blast
from this[unfolded set-conv-nth] obtain i where  $ns: ss ! i \succeq t$  and  $i: i < \text{length}$ 
ss by auto
from  $\sigma\text{-full}[\text{of } f \text{ length } ss]$  i have  $ii: i \in \text{set } (\sigma (f, \text{length } ss))$  by auto
from subt have  $\text{Fun } f \text{ ss } \supseteq t$  by auto
from NS-subterm[OF  $\sigma\text{-full this}$ ] ns ii
show ?case by (auto simp: wpo.simps)
qed

```

```

lemma subterm-wpo-ns: assumes supteq:  $s \supseteq t$  shows  $s \succeq t$ 
proof -
from supteq have  $s = t \vee s \triangleright t$  by auto
then show ?thesis
proof
  assume  $s = t$  then show ?thesis using wpo-ns-refl by blast
next
  assume  $s \triangleright t$ 
from wpo-s-imp-ns[OF subterm-wpo-s[OF this]]
show ?thesis .
qed
qed

```

```

lemma wpo-s-mono: assumes rels:  $s \succ t$ 
shows  $\text{Fun } f (bef @ s \# aft) \succ \text{Fun } f (bef @ t \# aft)$ 
proof -
let  $?ss = bef @ s \# aft$ 
let  $?ts = bef @ t \# aft$ 
let  $?s = \text{Fun } f ?ss$ 
let  $?t = \text{Fun } f ?ts$ 
let  $?len = \text{Suc } (\text{length } bef + \text{length } aft)$ 
let  $?f = (f, ?len)$ 
let  $?s = \sigma ?f$ 
from wpo-s-imp-ns[OF rels] have rel: wpo-ns s t .
from wpo-ns-pre-mono[OF rel]
have id:  $(\forall j \in \text{set } ?s. \text{wpo-s } ?s ((bef @ t \# aft) ! j)) = \text{True}$ 
 $((?s, ?t) \in \text{NS}) = \text{True}$ 
 $\text{length } ?ss = ?len$   $\text{length } ?ts = ?len$ 
by auto
let  $?lb = \text{length } bef$ 
from  $\sigma\text{-full}[\text{of } f ?len]$  have lb-mem:  $?lb \in \text{set } ?s$  by auto
then obtain i where  $\sigma i: ?s ! i = ?lb$  and  $i: i < \text{length } ?s$ 
unfolding set-conv-nth by force

```

```

let ?mss = map (!) ?ss) ?σ
let ?mts = map (!) ?ts) ?σ
have fst (lex-ext wpo n ?mss ?mts)
  unfolding lex-ext-iff fst-conv
proof (intro conjI, force, rule disjI1, unfold length-map id, intro exI conjI, rule
i, rule i,
  intro allI impI)
  show wpo-s (?mss ! i) (?mts ! i) using σ i i rels by simp
next
  fix j
  assume j < i
  with i have j: j < length ?σ by auto
  with wpo-ns-pre-mono[OF rel]
  show ?mss ! j ≥ ?mts ! j by blast
qed
moreover
obtain lb nlb where part: partition ((=) ?lb) ?σ = (lb, nlb) by force
hence mset-σ: mset ?σ = mset lb + mset nlb
  by (induct ?σ, auto)
let ?mlbs = map (!) ?ss) lb
let ?mnlbs = map (!) ?ss) nlb
let ?mlbt = map (!) ?ts) lb
let ?mnlbt = map (!) ?ts) nlb
have id1: mset ?mss = mset ?mnlbs + mset ?mlbs using mset-σ by auto
have id2: mset ?mts = mset ?mnlbt + mset ?mlbt using mset-σ by auto
from part lb-mem have lb: ?lb ∈ set lb by auto
have fst (mul-ext wpo ?mss ?mts)
  unfolding mul-ext-def Let-def fst-conv
proof (intro s-mul-extI-old, rule id1, rule id2)
  from lb show mset ?mlbs ≠ {#} by auto
  {
    fix i
    assume i < length ?mnlbt
    then obtain j where id: ?mnlbs ! i = ?ss ! j ?mnlbt ! i = ?ts ! j j ∈ set nlb
by auto
    with part have j ≠ ?lb by auto
    hence ?ss ! j = ?ts ! j by (auto simp: nth-append)
    thus (?mnlbs ! i, ?mnlbt ! i) ∈ WPO-NS unfolding id using wpo-ns-refl by
auto
  }
  fix u
  assume u ∈# mset ?mlbt
  hence u = t using part by auto
  moreover have s ∈# mset ?mlbs using lb by force
  ultimately show ∃ v. v ∈# mset ?mlbs ∧ (v,u) ∈ WPO-S using rels by
force
qed auto
ultimately show ?thesis unfolding wpo.simps[of ?s ?t] term.simps id prc-refl
using order-tag.exhaust by (auto simp: Let-def)

```



qed

**theorem** *WPO-S-ctxt*:  $(s,t) \in WPO-S \implies (Fun\ f\ (bef\ @\ s\ \# \ aft),\ Fun\ f\ (bef\ @\ t\ \# \ aft)) \in WPO-S$   
using *wpo-s-mono* by *blast*

**theorem** *supt-subset-WPO-S*:  $\{\triangleright\} \subseteq WPO-S$   
using *subterm-wpo-s* by *blast*

**theorem** *supteq-subset-WPO-NS*:  $\{\triangleright\} \subseteq WPO-NS$   
using *subterm-wpo-ns* by *blast*

end

end

end

## 6 The Recursive Path Order as an instance of WPO

This theory defines the recursive path order (RPO) that given two terms provides two Booleans, whether the terms can be strictly or non-strictly oriented. It is proven that RPO is an instance of WPO, and hence, carries over all the nice properties of WPO immediately.

**theory** *RPO*

**imports**

*WPO*

**begin**

**context**

**fixes** *pr* :: '*f* × *nat* ⇒ '*f* × *nat* ⇒ *bool* × *bool*

**and** *prl* :: '*f* × *nat* ⇒ *bool*

**and** *c* :: '*f* × *nat* ⇒ *order-tag*

**and** *n* :: *nat*

**begin**

**fun** *rpo* :: ('*f*, '*v*) *term* ⇒ ('*f*, '*v*) *term* ⇒ *bool* × *bool*

**where**

*rpo* (*Var* *x*) (*Var* *y*) = (*False*, *x* = *y*) |

*rpo* (*Var* *x*) (*Fun* *g* *ts*) = (*False*, *ts* = [] ∧ *prl* (*g*,0)) |

*rpo* (*Fun* *f* *ss*) (*Var* *y*) = (*let* *con* = (∃ *s* ∈ *set* *ss*. *snd* (*rpo* *s* (*Var* *y*))) *in* (*con*,*con*)) |

*rpo* (*Fun* *f* *ss*) (*Fun* *g* *ts*) = (

*if* (∃ *s* ∈ *set* *ss*. *snd* (*rpo* *s* (*Fun* *g* *ts*)))

*then* (*True*,*True*)

*else* (*let* (*prs*,*prns*) = *pr* (*f*,*length* *ss*) (*g*,*length* *ts*) *in*

*if* *prns* ∧ (∀ *t* ∈ *set* *ts*. *fst* (*rpo* (*Fun* *f* *ss*) *t*))

*then if* *prs*

```

      then (True, True)
      else if c (f, length ss) = Lex ∧ c (g, length ts) = Lex
        then lex-ext rpo n ss ts
        else if c (f, length ss) = Mul ∧ c (g, length ts) = Mul
          then mul-ext rpo ss ts
          else (length ss ≠ 0 ∧ length ts = 0, length ts = 0)
      else (False, False))
end

locale rpo-with-assms = precedence prc prl
  for prc :: 'f × nat ⇒ 'f × nat ⇒ bool × bool
  and prl :: 'f × nat ⇒ bool
  and c :: 'f × nat ⇒ order-tag
  and n :: nat
begin

sublocale wpo-with-assms n {} UNIV prc prl full-status c False λ -. False
  by (unfold-locale, auto simp: refl-on-def trans-def simple-arg-pos-def)

abbreviation rpo-pr ≡ rpo prc prl c n
abbreviation rpo-s ≡ λ s t. fst (rpo-pr s t)
abbreviation rpo-ns ≡ λ s t. snd (rpo-pr s t)

lemma rpo-eq-wpo: rpo-pr s t = wpo s t
proof –
  note_simps = wpo_simps
  show ?thesis
  proof (induct s t rule: rpo.induct[of - prc prl c n])
    case (1 x y)
    then show ?case by (simp add:_simps)
  next
    case (2 x g ts)
    then show ?case by (auto simp:_simps)
  next
    case (3 f ss y)
    then show ?case by (auto simp:_simps[of Fun f ss Var y] Let-def set-conv-nth)
  next
    case IH: (4 f ss g ts)
    have id: ∧ s. (s ∈ {}) = False ∧ s. (s ∈ UNIV) = True
    and (∃ i ∈ {0..<length ss}. wpo-ns (ss ! i) t) = (∃ si ∈ set ss. wpo-ns si t)
    by (auto, force simp: set-conv-nth)
    have id': map (!! ss) (σ (f, length ss)) = ss for f ss by (intro nth-equalityI,
auto)
    have ex: (∃ i ∈ set (σ (f, length ss)). wpo-ns (ss ! i) (Fun g ts)) = (∃ si ∈ set
ss. rpo-ns si (Fun g ts))
    using IH(1) unfolding set-conv-nth by auto
    obtain prs prns where prc: prc (f, length ss) (g, length ts) = (prs, prns) by
force
    show ?case

```

**unfolding**  $rpo.simps\ simps[of\ Fun\ f\ ss\ Fun\ g\ ts]\ term.simps\ id\ id'\ if-False\ if-True$   
*Let-def ex prc split*  
**proof** (*rule sym, rule if-cong[OF refl refl], rule if-cong[OF conj-cong[OF refl] if-cong[OF refl refl if-cong[OF refl - if-cong]] refl]*)  
**assume**  $\neg (\exists si \in set\ ss.\ rpo-ns\ si\ (Fun\ g\ ts))$   
**note**  $IH = IH(2-)[OF\ this\ prc[symmetric]\ refl]$   
**from**  $IH(1)$  **show**  $(\forall j \in set\ (\sigma\ (g,\ length\ ts)).\ wpo-s\ (Fun\ f\ ss)\ (ts\ !\ j)) =$   
 $(\forall t \in set\ ts.\ rpo-s\ (Fun\ f\ ss)\ t)$   
**unfolding** *set-conv-nth by auto*  
**assume**  $prns \wedge (\forall t \in set\ ts.\ rpo-s\ (Fun\ f\ ss)\ t) \neg prs$   
**note**  $IH = IH(2-)[OF\ this]$   
{  
**assume**  $c\ (f,\ length\ ss) = Lex \wedge c\ (g,\ length\ ts) = Lex$   
**from**  $IH(1)[OF\ this]$   
**show**  $lex-ext\ wpo\ n\ ss\ ts = lex-ext\ rpo-pr\ n\ ss\ ts$   
**by** (*intro lex-ext-cong, auto*)  
}  
{  
**assume**  $\neg (c\ (f,\ length\ ss) = Lex \wedge c\ (g,\ length\ ts) = Lex)\ c\ (f,\ length\ ss)$   
 $= Mul \wedge c\ (g,\ length\ ts) = Mul$   
**from**  $IH(2)[OF\ this]$   
**show**  $mul-ext\ wpo\ ss\ ts = mul-ext\ rpo-pr\ ss\ ts$   
**by** (*intro mul-ext-cong, auto*)  
}  
**qed** *auto*  
**qed**  
**qed**

**abbreviation**  $RPO-S \equiv \{(s,t).\ rpo-s\ s\ t\}$   
**abbreviation**  $RPO-NS \equiv \{(s,t).\ rpo-ns\ s\ t\}$

**theorem** *RPO-SN-order-pair: SN-order-pair RPO-S RPO-NS*  
**unfolding** *rpo-eq-wpo by (rule WPO-SN-order-pair)*

**theorem** *RPO-S-subst:  $(s,t) \in RPO-S \implies (s \cdot \sigma, t \cdot \sigma) \in RPO-S$  for  $\sigma :: (f,a)subst$*   
**using** *WPO-S-subst unfolding rpo-eq-wpo .*

**theorem** *RPO-NS-subst:  $(s,t) \in RPO-NS \implies (s \cdot \sigma, t \cdot \sigma) \in RPO-NS$  for  $\sigma :: (f,a)subst$*   
**using** *WPO-NS-subst unfolding rpo-eq-wpo .*

**theorem** *RPO-NS-ctxt:  $(s,t) \in RPO-NS \implies (Fun\ f\ (bef\ @\ s\ \#\ aft), Fun\ f\ (bef\ @\ t\ \#\ aft)) \in RPO-NS$*   
**using** *WPO-NS-ctxt unfolding rpo-eq-wpo .*

**theorem** *RPO-S-ctxt:  $(s,t) \in RPO-S \implies (Fun\ f\ (bef\ @\ s\ \#\ aft), Fun\ f\ (bef\ @\ t\ \#\ aft)) \in RPO-S$*

```

# aft)) ∈ RPO-S
  using WPO-S-ctxt unfolding rpo-eq-wpo by auto

theorem RPO-S-subset-RPO-NS: RPO-S ⊆ RPO-NS
  using WPO-S-subset-WPO-NS unfolding rpo-eq-wpo .

theorem supt-subset-RPO-S: {▷} ⊆ RPO-S
  using supt-subset-WPO-S unfolding rpo-eq-wpo by auto

theorem supteq-subset-RPO-NS: {⊇} ⊆ RPO-NS
  using supteq-subset-WPO-NS unfolding rpo-eq-wpo by auto

end
end

```

## 7 The Lexicographic Path Order as an instance of WPO

We first directly define the strict- and non-strict lexicographic path orders (LPO) w.r.t. some precedence, and then show that it is an instance of WPO. For this instance we use the trivial reduction pair in WPO ( $\emptyset$ , UNIV) and the status is the full one, i.e., taking parameters  $[0, \dots, n-1]$  for each  $n$ -ary symbol.

```

theory LPO
  imports
    WPO
  begin

  context
    fixes pr :: ('f × nat ⇒ 'f × nat ⇒ bool × bool)
      and prl :: 'f × nat ⇒ bool
      and n :: nat
  begin

  fun lpo :: ('f, 'v) term ⇒ ('f, 'v) term ⇒ bool × bool
  where
    lpo (Var x) (Var y) = (False, x = y) |
    lpo (Var x) (Fun g ts) = (False, ts = [] ∧ prl (g, 0)) |
    lpo (Fun f ss) (Var y) = (let con = (∃ s ∈ set ss. snd (lpo s (Var y))) in
      (con, con)) |
    lpo (Fun f ss) (Fun g ts) = (
      if (∃ s ∈ set ss. snd (lpo s (Fun g ts)))
      then (True, True)
      else (let (prs, prns) = pr (f, length ss) (g, length ts) in
        if prns ∧ (∀ t ∈ set ts. fst (lpo (Fun f ss) t))
        then if prs
          then (True, True)
          else lex-ext lpo n ss ts

```

```

      else (False, False)))

end

locale lpo-with-assms = precedence prc prl
  for prc :: 'f × nat ⇒ 'f × nat ⇒ bool × bool
  and prl :: 'f × nat ⇒ bool
  and n :: nat
begin

sublocale wpo-with-assms n {} UNIV prc prl full-status λ -. Lex False λ -. False
  by (unfold-locales, auto simp: refl-on-def trans-def simple-arg-pos-def)

abbreviation lpo-pr ≡ lpo prc prl n
abbreviation lpo-s ≡ λ s t. fst (lpo-pr s t)
abbreviation lpo-ns ≡ λ s t. snd (lpo-pr s t)

lemma lpo-eq-wpo: lpo-pr s t = wpo s t
proof –
  note_simps = wpo_simps
  show ?thesis
  proof (induct s t rule: lpo.induct[of - prc prl n])
    case (1 x y)
    then show ?case by (simp add:_simps)
  next
    case (2 x g ts)
    then show ?case by (auto simp:_simps)
  next
    case (3 f ss y)
    then show ?case by (auto simp:_simps[of Fun f ss Var y] Let-def set-conv-nth)
  next
    case IH: (4 f ss g ts)
    have id:  $\bigwedge s. (s \in \{\}) = \text{False} \wedge s. (s \in \text{UNIV}) = \text{True}$ 
    and  $(\exists i \in \{0..<\text{length } ss\}. \text{wpo-ns } (ss ! i) t) = (\exists si \in \text{set } ss. \text{wpo-ns } si t)$ 
    by (auto, force simp: set-conv-nth)
    have id':  $\text{map } (!) ss (\sigma (f, \text{length } ss)) = ss$  for f ss by (intro nth-equalityI, auto)
    have ex:  $(\exists i \in \text{set } (\sigma (f, \text{length } ss)). \text{wpo-ns } (ss ! i) (\text{Fun } g \text{ ts})) = (\exists si \in \text{set } ss. \text{wpo-ns } si (\text{Fun } g \text{ ts}))$ 
    using IH(1) unfolding set-conv-nth by auto
    obtain prs prns where prc:  $\text{prc } (f, \text{length } ss) (g, \text{length } ts) = (prs, prns)$  by force
    have lex:  $(\text{Lex} = \text{Lex} \wedge \text{Lex} = \text{Lex}) = \text{True}$  by simp
    show ?case
    unfolding lpo_simps_simps[of Fun f ss Fun g ts] term_simps id id' if-False if-True lex
    Let-def ex prc split
    proof (rule sym, rule if-cong[OF refl refl], rule if-cong[OF conj-cong[OF refl]

```

*if-cong*[*OF refl refl*] *refl*)  
**assume**  $\neg (\exists si \in \text{set } ss. \text{lpo-ns } si \text{ (Fun } g \text{ } ts))$   
**note**  $IH = IH(2-)$ [*OF this prc*[*symmetric*] *refl*]  
**from**  $IH(1)$  **show**  $(\forall j \in \text{set } (\sigma (g, \text{length } ts)). \text{wpo-s (Fun } f \text{ } ss) (ts ! j)) =$   
 $(\forall t \in \text{set } ts. \text{lpo-s (Fun } f \text{ } ss) t)$   
**unfolding** *set-conv-nth* **by** *auto*  
**assume**  $prns \wedge (\forall t \in \text{set } ts. \text{lpo-s (Fun } f \text{ } ss) t) \neg prs$   
**note**  $IH = IH(2-)$ [*OF this*]  
**show**  $\text{lex-ext wpo } n \text{ } ss \text{ } ts = \text{lex-ext lpo-pr } n \text{ } ss \text{ } ts$   
**using**  $IH$  **by** (*intro lex-ext-cong, auto*)  
**qed**  
**qed**  
**qed**

**abbreviation**  $LPO-S \equiv \{(s,t). \text{lpo-s } s \text{ } t\}$   
**abbreviation**  $LPO-NS \equiv \{(s,t). \text{lpo-ns } s \text{ } t\}$

**theorem** *LPO-SN-order-pair*: *SN-order-pair*  $LPO-S$   $LPO-NS$   
**unfolding** *lpo-eq-wpo* **by** (*rule WPO-SN-order-pair*)

**theorem** *LPO-S-subst*:  $(s,t) \in LPO-S \implies (s \cdot \sigma, t \cdot \sigma) \in LPO-S$  **for**  $\sigma ::$   
 $(f, a)$  *subst*  
**using** *WPO-S-subst* **unfolding** *lpo-eq-wpo* .

**theorem** *LPO-NS-subst*:  $(s,t) \in LPO-NS \implies (s \cdot \sigma, t \cdot \sigma) \in LPO-NS$  **for**  $\sigma ::$   
 $(f, a)$  *subst*  
**using** *WPO-NS-subst* **unfolding** *lpo-eq-wpo* .

**theorem** *LPO-NS-ctxt*:  $(s,t) \in LPO-NS \implies (\text{Fun } f \text{ (bef @ } s \text{ # aft)}, \text{Fun } f \text{ (bef$   
 $@ t \text{ # aft)}) \in LPO-NS$   
**using** *WPO-NS-ctxt* **unfolding** *lpo-eq-wpo* .

**theorem** *LPO-S-ctxt*:  $(s,t) \in LPO-S \implies (\text{Fun } f \text{ (bef @ } s \text{ # aft)}, \text{Fun } f \text{ (bef @ } t$   
 $\text{ # aft)}) \in LPO-S$   
**using** *WPO-S-ctxt* **unfolding** *lpo-eq-wpo* **by** *auto*

**theorem** *LPO-S-subset-LPO-NS*:  $LPO-S \subseteq LPO-NS$   
**using** *WPO-S-subset-WPO-NS* **unfolding** *lpo-eq-wpo* .

**theorem** *supt-subset-LPO-S*:  $\{\triangleright\} \subseteq LPO-S$   
**using** *supt-subset-WPO-S* **unfolding** *lpo-eq-wpo* **by** *auto*

**theorem** *supteq-subset-LPO-NS*:  $\{\triangleright\} \subseteq LPO-NS$   
**using** *supteq-subset-WPO-NS* **unfolding** *lpo-eq-wpo* **by** *auto*

**end**

**end**

## 8 The Knuth–Bendix Order as an instance of WPO

Making the Knuth–Bendix an instance of WPO is more complicated than in the case of RPO and LPO, because of syntactic and semantic differences. We face the two main challenges in two different theories and sub-sections.

### 8.1 Aligning least elements

In all of RPO, LPO and WPO there is the concept of a minimal term, e.g., a constant term  $c$  where  $c$  is least in precedence among *all function symbols*. By contrast, in KBO a constant  $c$  is minimal if it has minimal weight and has least precedence *among all constants of minimal weight*.

In this theory we prove that for any KBO one can modify the precedence in a way that least constants  $c$  also have least precedence among *all function symbols*, without changing the defined order. Hence, afterwards it will be simpler to relate such a KBO to WPO.

**theory** *KBO-Transformation*

**imports** *WPO Knuth-Bendix-Order.KBO*

**begin**

**context** *admissible-kbo*

**begin**

**lemma** *weight-w0-unary*:

**assumes**  $*$ :  $\text{weight } t = w0 \ t = \text{Fun } f \ ts \ ts = t1 \ \# \ ts'$

**shows**  $ts' = [] \ w \ (f,1) = 0$

**proof** –

**have**  $w0 + \text{sum-list } (\text{map } \text{weight } ts') \leq \text{weight } t1 + \text{sum-list } (\text{map } \text{weight } ts')$

**by** (*rule add-right-mono, rule weight-w0*)

**also have**  $\dots = \text{sum-list } (\text{map } \text{weight } ts)$  **unfolding**  $*$  **by** *simp*

**also have**  $\dots \leq \text{sum-list } (\text{map } \text{weight } (\text{scf-list } (\text{scf } (f, \text{length } ts)) \ ts))$

**by** (*rule sum-list-scf-list, insert scf, auto*)

**finally have**  $w \ (f, \text{length } ts) + w0 + \text{sum-list } (\text{map } \text{weight } ts') \leq \text{weight } t$

**unfolding**  $*$  **by** *simp*

**with**  $*(1)$  **have**  $\text{sum-list } (\text{map } \text{weight } ts') = 0$  **and**  $wf: w \ (f, \text{length } ts) = 0$

**by** *auto*

**with** *weight-gt-0* **show**  $ts': ts' = []$  **by** (*cases ts', auto*)

**with**  $wf$  **show**  $w \ (f,1) = 0$  **using**  $*$  **by** *auto*

**qed**

**definition** *lConsts* ::  $(f \times \text{nat})\text{set}$  **where**  $lConsts = \{ (f,0) \mid f. \text{least } f \}$

**definition** *pr-strict'* **where**  $\text{pr-strict}' \ f \ g = (f \notin lConsts \wedge (\text{pr-strict } f \ g \vee g \in lConsts))$

**definition** *pr-weak'* **where**  $\text{pr-weak}' \ f \ g = ((f \notin lConsts \wedge \text{pr-weak } f \ g) \vee g \in lConsts)$

**lemma** *admissible-kbo'*: *admissible-kbo*  $w \ w0$  *pr-strict'* *pr-weak'* *least scf*

```

apply (unfold-locales)
subgoal by (rule w0)
subgoal by (rule w0)
subgoal for  $f g n$  using  $\text{adm}[of f g n]$  unfolding pr-weak'-def by (auto simp:
lConsts-def)
subgoal for  $f$  using  $\text{least}[of f]$  unfolding pr-weak'-def lConsts-def by auto
subgoal by (rule scf)
subgoal for  $f$  using  $\text{pr-weak-refl}[of f]$  unfolding pr-weak'-def by auto
subgoal for  $f g h$  using  $\text{pr-weak-trans}[of f g h]$  unfolding pr-weak'-def by auto
subgoal for  $f g$  using  $\text{pr-strict}[of f g]$  unfolding pr-strict'-def pr-weak'-def by
auto
proof –
  show  $SN \{(x, y). \text{pr-strict}' x y\}$  (is  $SN ?R$ )
  proof
    fix  $f$ 
    assume  $\forall i. (f i, f (Suc i)) \in ?R$ 
    hence  $\text{steps}: \bigwedge i. (f i, f (Suc i)) \in ?R$  by blast
    have  $f i \notin \text{lConsts}$  for  $i$  using  $\text{steps}[of i]$  unfolding pr-strict'-def by auto
    hence  $\text{pr-strict} (f i) (f (Suc i))$  for  $i$  using  $\text{steps}[of i]$  unfolding pr-strict'-def
by auto
    with pr-SN show False by auto
  qed
qed

lemma least-pr-weak':  $\text{least } f \implies \text{pr-weak}' g (f, 0)$  unfolding lConsts-def pr-weak'-def
by auto

lemma least-pr-weak'-trans:  $\text{least } f \implies \text{pr-weak}' (f, 0) g \implies \text{least} (fst g) \wedge \text{snd } g = 0$ 
unfolding lConsts-def pr-weak'-def by auto

context
begin
interpretation kbo': admissible-kbo w w0 pr-strict' pr-weak' least scf
  by (rule admissible-kbo')

lemma kbo'-eq-kbo:  $\text{kbo}'.\text{kbo } s t = \text{kbo } s t$ 
proof (induct s t rule: kbo.induct)
  case ( $1 s t$ )
  note  $\text{simps} = \text{kbo}.\text{simps}[of s t] \text{kbo}'.\text{kbo}.\text{simps}[of s t]$ 
  show  $?case$  unfolding simps
    apply (intro if-cong refl, intro term.case-cong refl)
  proof –
    fix  $f ss g ts$ 
    assume  $*$ :  $\text{vars-term-ms} (SCF t) \subseteq \# \text{vars-term-ms} (SCF s) \wedge \text{weight } t \leq \text{weight}$ 
     $s$ 
     $\neg \text{weight } t < \text{weight } s$ 
    and  $s$ :  $s = \text{Fun } f ss$ 
    and  $t$ :  $t = \text{Fun } g ts$ 

```



```

let ?g = (g,length ts)
let ?f = (f,length ss)
have IH: (if pr-strict ?f ?g then (True, True)
  else if pr-weak ?f ?g then lex-ext-unbounded kbo ss ts else (False, False))
  = (if pr-strict ?f ?g then (True, True)
    else if pr-weak ?f ?g then lex-ext-unbounded kbo'.kbo ss ts else (False, False))
  by (intro if-cong refl lex-ext-unbounded-cong, insert 1[OF * s t], auto)
let ?P = pr-strict' ?f ?g = pr-strict ?f ?g ∧ (¬ pr-strict ?f ?g → pr-weak' ?f
?g = pr-weak ?f ?g)
show (if pr-strict' ?f ?g then (True, True)
  else if pr-weak' ?f ?g then lex-ext-unbounded kbo'.kbo ss ts else (False, False))
=
  (if pr-strict ?f ?g then (True, True)
  else if pr-weak ?f ?g then lex-ext-unbounded kbo ss ts else (False, False))
proof (cases ?P)
  case True
  thus ?thesis unfolding IH by auto
next
  case notP: False
  hence fgC: ?f ∈ lConsts ∨ ?g ∈ lConsts unfolding pr-strict'-def pr-weak'-def
by auto
  hence weight: weight s = w0 weight t = w0 using * unfolding lConsts-def
least s t by auto
  show ?thesis
  proof (cases ss = [] ∧ ts = [])
    case empty: True
    with weight have w ?f = w0 w ?g = w0 unfolding s t by auto
    with empty have ?P unfolding pr-strict'-def pr-weak'-def using pr-weak-trans[of
- (g,0) (f,0)]
      pr-weak-trans[of - (f,0) (g,0)]
      by (auto simp: lConsts-def pr-strict least)
    with notP show ?thesis by blast
  next
  case False
  {
  fix f and t :: ('f,'a)term and t1 ts' ts and g
  assume *: weight t = w0 t = Fun f ts ts = t1 # ts'
  from weight-w0-unary[OF this]
  have ts': ts' = [] and w: w (f,1) = 0 .
  from adm[OF w] ts'
  have pr-weak (f, Suc (length ts')) g by (cases g, auto)
  } note unary = this
  from fgC have ss = [] ∨ ts = [] unfolding lConsts-def least by auto
  thus ?thesis
proof
  assume ss: ss = []
  with False obtain t1 ts' where ts: ts = t1 # ts' by (cases ts, auto)
  show ?thesis unfolding ss ts using unary[OF weight(2) t ts]
  by (simp add: lex-ext-unbounded.simps pr-strict'-def lConsts-def pr-strict)

```

```

next
  assume ts: ts = []
  with False obtain s1 ss' where ss: ss = s1 # ss' by (cases ss, auto)
  show ?thesis unfolding ss ts using unary[OF weight(1) s ss]
    by (simp add: lex-ext-unbounded.simps pr-strict'-def pr-weak'-def
lConsts-def pr-strict)
  qed
qed
qed
qed
qed
end
end
end

```

## 8.2 A restricted equality between KBO and WPO

The remaining difficulty to make KBO an instance of WPO is the different treatment of lexicographic comparisons, which is unrestricted in KBO, but there is a length-restriction in WPO. Therefore we will only show that KBO is an instance of WPO if we compare terms with bounded arity.

This restriction does however not prohibit us from lifting properties of WPO to KBO. For instance, for several properties one can choose a large-enough bound restriction of WPO, since there are only finitely many arities occurring in a property.

**theory** *KBO-as-WPO*

**imports**

*WPO*

*KBO-Transformation*

**begin**

**definition** *bounded-arity* :: *nat*  $\Rightarrow$  (*f*  $\times$  *nat*)*set*  $\Rightarrow$  *bool* **where**  
*bounded-arity* *b F* = ( $\forall$  (*f,n*)  $\in$  *F*. *n*  $\leq$  *b*)

**lemma** *finite-funas-term[simp,intro]*: *finite* (*funas-term* *t*)  
**by** (*induct t, auto*)

**context** *weight-fun* **begin**

**definition** *weight-le* *s t*  $\equiv$   
(*vars-term-ms* (*SCF* *s*)  $\subseteq\#$  *vars-term-ms* (*SCF* *t*)  $\wedge$  *weight* *s*  $\leq$  *weight* *t*)

**definition** *weight-less* *s t*  $\equiv$   
(*vars-term-ms* (*SCF* *s*)  $\subseteq\#$  *vars-term-ms* (*SCF* *t*)  $\wedge$  *weight* *s*  $<$  *weight* *t*)

**lemma** *weight-le-less-iff*: *weight-le* *s t*  $\implies$  *weight-less* *s t*  $\iff$  *weight* *s*  $<$  *weight* *t*  
**by** (*auto simp: weight-le-def weight-less-def*)

**lemma** *weight-less-iff*:  $\text{weight-less } s \ t \implies \text{weight-le } s \ t \wedge \text{weight } s < \text{weight } t$   
**by** (*auto simp: weight-le-def weight-less-def*)

**abbreviation** *weight-NS*  $\equiv \{(t,s). \text{weight-le } s \ t\}$

**abbreviation** *weight-S*  $\equiv \{(t,s). \text{weight-less } s \ t\}$

**lemma** *weight-le-mono-one*:

**assumes** *S*:  $\text{weight-le } s \ t$

**shows**  $\text{weight-le } (Fun \ f \ (ss1 \ @ \ s \ \# \ ss2)) \ (Fun \ f \ (ss1 \ @ \ t \ \# \ ss2))$  (**is**  $\text{weight-le } ?s \ ?t$ )

**proof** –

**from** *S* **have**  $w: \text{weight } s \leq \text{weight } t$  **and**  $v: \text{vars-term-ms } (SCF \ s) \subseteq\# \text{vars-term-ms } (SCF \ t)$

**by** (*auto simp: weight-le-def*)

**have**  $v': \text{vars-term-ms } (SCF \ ?s) \subseteq\# \text{vars-term-ms } (SCF \ ?t)$

**using** *mset-replicate-mono[OF v]* **by** *simp*

**have**  $w': \text{weight } ?s \leq \text{weight } ?t$  **using** *sum-list-replicate-mono[OF w]* **by** *simp*

**from**  $v' \ w'$  **show** *?thesis* **by** (*auto simp: weight-le-def*)

**qed**

**lemma** *weight-le-ctxt*:  $\text{weight-le } s \ t \implies \text{weight-le } (C \langle s \rangle) \ (C \langle t \rangle)$

**by** (*induct C, auto intro: weight-le-mono-one*)

**lemma** *SCF-stable*:

**assumes**  $\text{vars-term-ms } (SCF \ s) \subseteq\# \text{vars-term-ms } (SCF \ t)$

**shows**  $\text{vars-term-ms } (SCF \ (s \cdot \sigma)) \subseteq\# \text{vars-term-ms } (SCF \ (t \cdot \sigma))$

**unfolding** *scf-term-subst*

**using** *vars-term-ms-subst-mono[OF assms]*.

**lemma** *SN-weight-S*:  $SN \ \text{weight-S}$

**proof**–

**from** *wf-inv-image[OF wf-less]*

**have**  $wf: wf \ \{(s,t). \text{weight } s < \text{weight } t\}$  **by** (*auto simp: inv-image-def*)

**show** *?thesis*

**by** (*unfold SN-iff-wf, rule wf-subset[OF wf], auto simp: weight-less-def*)

**qed**

**lemma** *weight-less-imp-le*:  $\text{weight-less } s \ t \implies \text{weight-le } s \ t$  **by** (*simp add: weight-less-def weight-le-def*)

**lemma** *weight-le-Var-Var*:  $\text{weight-le } (Var \ x) \ (Var \ y) \longleftrightarrow x = y$

**by** (*auto simp: weight-le-def*)

**end**

**context** *kbo* **begin**

**lemma** *kbo-altdef*:

```

kbo s t = (if weight-le t s
  then if weight-less t s
    then (True, True)
    else (case s of
      Var y => (False, (case t of Var x => x = y | Fun g ts => ts = [] ^ least g))
    | Fun f ss => (case t of
      Var x => (True, True)
    | Fun g ts => if pr-strict (f, length ss) (g, length ts)
      then (True, True)
      else if pr-weak (f, length ss) (g, length ts)
        then lex-ext-unbounded kbo ss ts
        else (False, False)))
  else (False, False))
by (simp add: weight-le-less-iff weight-le-def)

```

**end**

**context** *admissible-kbo* **begin**

**lemma** *weight-le-stable*:

**assumes** *weight-le s t*

**shows** *weight-le (s · σ) (t · σ)*

**using** *assms weight-stable-le SCF-stable* **by** (*auto simp: weight-le-def*)

**lemma** *weight-less-stable*:

**assumes** *weight-less s t*

**shows** *weight-less (s · σ) (t · σ)*

**using** *assms weight-stable-lt SCF-stable* **by** (*auto simp: weight-less-def*)

**lemma** *simple-arg-pos-weight: simple-arg-pos weight-NS (f,n) i*

**unfolding** *simple-arg-pos-def*

**proof** (*intro allI impI, unfold snd-conv fst-conv*)

**fix** *ts :: ('f,'a)term list*

**assume** *i: i < n and len: length ts = n*

**from** *id-take-nth-drop[OF i[folded len]] i[folded len]*

**obtain** *us vs where id: Fun f ts = Fun f (us @ ts ! i # vs)*

**and** *us: us = take i ts*

**and** *len: length us = i by auto*

**have** *length us < Suc (length us + length vs) by auto*

**from** *scf[OF this, of f] obtain j where [simp]: scf (f, Suc (length us + length vs)) (length us) = Suc j*

**by** (*rule lessE*)

**show** (*Fun f ts, ts ! i*) ∈ *weight-NS*

**unfolding** *weight-le-def id* **by** (*auto simp: o-def*)

**qed**

**lemma** *weight-lemmas*:

**shows** *refl weight-NS and trans weight-NS and trans weight-S*

**and** *weight-NS O weight-S ⊆ weight-S and weight-S O weight-NS ⊆ weight-S*

by (auto intro!: refl-onI transI simp: weight-le-def weight-less-def)

**interpretation** kbo': *admissible-kbo w w0 pr-strict' pr-weak' least scf*  
 by (rule *admissible-kbo'*)

**context**  
 assumes *least-global*:  $\bigwedge f g. \text{least } f \implies \text{pr-weak } g (f,0)$   
 and *least-trans*:  $\bigwedge f g. \text{least } f \implies \text{pr-weak } (f,0) g \implies \text{least } (fst\ g) \wedge \text{snd } g = 0$   
 fixes *n* :: *nat*  
 begin

**lemma** *kbo-instance-of-wpo-with-assms: wpo-with-assms*  
*weight-S weight-NS* ( $\lambda f g. (\text{pr-strict } f\ g, \text{pr-weak } f\ g)$ )  
 ( $\lambda(f, n). n = 0 \wedge \text{least } f$ ) *full-status* *False* ( $\lambda f. \text{False}$ )  
 apply (*unfold-locales*)  
 apply (auto simp: *weight-lemmas SN-weight-S pr-SN pr-strict-irrefl*  
*weight-less-stable weight-le-stable weight-le-mono-one weight-less-imp-le*  
*simple-arg-pos-weight*)  
 apply (force dest: *least-global least-trans simp: pr-strict*)+  
 apply (auto simp: *pr-strict least dest:pr-weak-trans*)  
 done

**interpretation** *wpo: wpo-with-assms*  
 where *S* = *weight-S* and *NS* = *weight-NS*  
 and *prc* =  $\lambda f g. (\text{pr-strict } f\ g, \text{pr-weak } f\ g)$  and *prl* =  $\lambda(f,n). n = 0 \wedge \text{least } f$   
 and *c* =  $\lambda-. \text{Lex}$   
 and *ssimple* = *False* and *large* =  $\lambda f. \text{False}$  and  $\sigma\sigma$  = *full-status*  
 and *n* = *n*  
 by (rule *kbo-instance-of-wpo-with-assms*)

**lemma** *kbo-as-wpo-with-assms: assumes bounded-arity n (funas-term t)*  
 shows *kbo s t = wpo.wpo s t*  
 proof –  
 define *m* where *m* = *size s* + *size t*  
 from *m-def* *assms* show ?thesis  
 proof (induct *m* arbitrary: *s t* rule: *less-induct*)  
 case (*less m s t*)  
 hence *IH*: *size si* + *size ti* < *size s* + *size t*  $\implies$  *bounded-arity n (funas-term*  
*ti)*  $\implies$  *kbo si ti = wpo.wpo si ti* for *si ti* :: (*f, 'a*)*term* by *auto*  
 note *wpo-sI* = *arg-cong[OF wpo.wpo.simps, of fst, THEN iffD2]*  
 note *wpo-nsI* = *arg-cong[OF wpo.wpo.simps, of snd, THEN iffD2]*  
 note *bounded* = *less(3)*  
 show ?case  
 proof (cases *s*)  
 case *s*: (*Var x*)  
 have  $\neg \text{weight-less } t (Var\ x)$   
 by (*metis leD weight.simps(1) weight-le-less-iff weight-less-imp-le weight-w0*)  
 thus ?thesis  
 by (*cases t, auto simp add: s kbo-altdef wpo.wpo.simps, simp add: weight-le-def*)

```

next
case s: (Fun f ss)
show ?thesis
proof (cases t)
case t: (Var y)
{ assume weight-le t s
  then have  $\exists s' \in \text{set } ss. \text{weight-le } t s'$ 
    apply (auto simp: s t weight-le-def)
    by (metis scf set-scf-list weight-w0)
  then obtain s' where s': s'  $\in$  set ss and weight-le t s' by auto
  from this(2) have wpo.wpo-ns s' t
  proof (induct s')
    case (Var x)
    then show ?case by (auto intro!:wpo-nsI simp: t weight-le-Var-Var)
  next
  case (Fun f' ss')
  from this(2) have  $\exists s'' \in \text{set } ss'. \text{weight-le } t s''$ 
    apply (auto simp: t weight-le-def)
    by (metis scf set-scf-list weight-w0)
  then obtain s'' where s''  $\in$  set ss' and weight-le t s'' by auto
  with Fun(1)[OF this] Fun(2)
  show ?case by (auto intro!: wpo-nsI simp: t in-set-conv-nth)
  qed
  with s' have  $\exists s' \in \text{set } ss. \text{wpo.wpo-ns } s' t$  by auto
}
then
show ?thesis unfolding wpo.wpo.simps[of s t] kbo-altdef[of s t]
  by (auto simp add: s t weight-less-iff set-conv-nth, auto)
next
case t: (Fun g ts)
{
  fix j
  assume j < length ts
  hence ts ! j  $\in$  set ts by auto
  hence funas-term (ts ! j)  $\subseteq$  funas-term t unfolding t by auto
  with bounded have bounded-arity n (funas-term (ts ! j)) unfolding
bounded-arity-def by auto
} note bounded-tj = this
note IH-tj = IH[OF - this]
show ?thesis
proof (cases  $\neg \text{weight-le } t s \vee \text{weight-less } t s$ )
case True
thus ?thesis unfolding wpo.wpo.simps[of s t] kbo-altdef[of s t]
  unfolding s t by (auto simp: weight-less-iff)
next
case False
let ?f = (f,length ss)
let ?g = (g,length ts)
from False have wle: weight-le t s = True weight-less t s = False

```

```

    (s, t) ∈ weight-NS ↔ True (s, t) ∈ weight-S ↔ False by auto
have lex: (Lex = Lex ∧ Lex = Lex) = True by simp
have sig: set (wpo.σ ?f) = {..by auto
have map: map (!) ss (wpo.σ ?f) = ss
    map (!) ts (wpo.σ ?g) = ts
    by (auto simp: map-nth)
have sizes: i < length ss ⇒ size (ss ! i) < size s for i unfolding s
    by (simp add: size-simp1)
have sizet: i < length ts ⇒ size (ts ! i) < size t for i unfolding t
    by (simp add: size-simp1)
have wpo: wpo.wpo s t =
  (if ∃ i ∈ {..unfolding wpo.wpo.simps[of s t]
unfolding s t term.simps split Let-def lex if-True sig map
unfolding s[symmetric] t[symmetric] wle if-True weight-less-iff if-False
False snd-conv by auto
have kbo s t = (if pr-strict ?f ?g then (True, True)
  else if pr-weak ?f ?g then lex-ext-unbounded kbo ss ts
  else (False, False))
unfolding kbo-altdef[of s t]
unfolding s t term.simps split Let-def if-True
unfolding s[symmetric] t[symmetric] wle if-True weight-less-iff if-False
by auto
also have lex-ext-unbounded kbo ss ts = lex-ext kbo n ss ts
    using bounded[unfolded t] unfolding bounded-arity-def lex-ext-def by
auto
also have ... = lex-ext wpo.wpo n ss ts
    by (rule lex-ext-cong[OF refl refl refl], rule IH-tj, auto dest!: sizes sizet)
finally have kbo: kbo s t =
  (if pr-strict ?f ?g then (True, True)
   else if pr-weak ?f ?g then lex-ext wpo.wpo n ss ts
   else (False, False)) .
show ?thesis
proof (cases ∃ i ∈ {..case True
    then obtain i where i: i < length ss and wpo.wpo-ns (ss ! i) t by auto
    then obtain b where wpo.wpo (ss ! i) t = (b, True) by (cases wpo.wpo
(ss ! i) t, auto)
    also have wpo.wpo (ss ! i) t = kbo (ss ! i) t using i by (intro IH[symmetric,
OF - bounded], auto dest: sizes)
    finally have NS (ss ! i) t by simp
    from kbo-supt-one[OF this]
    have S (Fun f (take i ss @ ss ! i # drop (Suc i) ss)) t .
    also have (take i ss @ ss ! i # drop (Suc i) ss) = ss using i by (metis
id-take-nth-drop)

```

```

also have  $Fun\ f\ ss = s$  unfolding  $s$  by  $simp$ 
finally have  $S\ s\ t$  .
with  $S\text{-imp}\text{-NS}[OF\ this]$ 
have  $kbo\ s\ t = (True, True)$  by  $(cases\ kbo\ s\ t,\ auto)$ 
with  $True$  show  $?thesis$  unfolding  $wpo$  by  $auto$ 
next
case  $False$ 
hence  $False: (\exists i \in \{..<length\ ss\}.\ wpo.wpo\text{-ns}\ (ss\ !\ i)\ t) = False$  by  $simp$ 
{
  fix  $j$ 
  assume  $NS: NS\ s\ t$ 
  assume  $j: j < length\ ts$ 

  from  $kbo\text{-supt}\text{-one}[OF\ NS\text{-refl},\ of\ g\ take\ j\ ts\ !\ j\ drop\ (Suc\ j)\ ts]$ 
  have  $S: S\ t\ (ts\ !\ j)$  using  $id\text{-take}\text{-nth}\text{-drop}[OF\ j]$  unfolding  $t$  by  $auto$ 
  from  $kbo\text{-trans}[of\ s\ t\ ts\ !\ j]\ NS\ S$  have  $S\ s\ (ts\ !\ j)$  by  $auto$ 
  with  $S\ S\text{-imp}\text{-NS}[OF\ this]$ 
  have  $kbo\ s\ (ts\ !\ j) = (True, True)$  by  $(cases\ kbo\ s\ (ts\ !\ j),\ auto)$ 
  hence  $wpo.wpo\text{-s}\ s\ (ts\ !\ j)$ 
  by  $(subst\ IH\text{-tj}[symmetric],\ insert\ siset[OF\ j]\ j,\ auto)$ 
}
thus  $?thesis$  unfolding  $wpo\ kbo\ False\ if\text{-False}$  using  $lex\text{-ext}\text{-stri}\text{-imp}\text{-nstri}[of\ wpo.wpo\ n\ ss\ ts]$ 
by  $(cases\ lex\text{-ext}\ wpo.wpo\ n\ ss\ ts,\ auto\ simp: pr\text{-strict}\ split: if\text{-splits})$ 
qed
qed
qed
qed
qed
qed
end

```

This is the main theorem. It tells us that KBO can be seen as an instance of WPO, under mild preconditions: the parameter  $n$  for the lexicographic extension has to be chosen high enough to cover the arities of all terms that should be compared.

```

lemma defines  $prec \equiv ((\lambda f\ g.\ (pr\text{-strict}'\ f\ g,\ pr\text{-weak}'\ f\ g)))$ 
and  $prl \equiv (\lambda(f,\ n).\ n = 0 \wedge least\ f)$ 
shows
   $kbo\text{-encoding}\text{-is}\text{-valid}\text{-wpo}: wpo\text{-with}\text{-assms}\ weight\text{-S}\ weight\text{-NS}\ prec\ prl\ full\text{-status}\ False\ (\lambda f.\ False)$ 
and
   $kbo\text{-as}\text{-wpo}: bounded\text{-arity}\ n\ (funas\text{-term}\ t) \implies kbo\ s\ t = wpo.wpo\ n\ weight\text{-S}\ weight\text{-NS}\ prec\ prl\ full\text{-status}\ (\lambda\cdot.\ Lex)\ False\ (\lambda f.\ False)\ s\ t$ 
unfolding  $prec\text{-def}\ prl\text{-def}$ 
subgoal by  $(intro\ admissible\text{-kbo}.kbo\text{-instance}\text{-of}\text{-wpo}\text{-with}\text{-assms}[OF\ admissible\text{-kbo}]$ 
   $least\text{-pr}\text{-weak}'\ least\text{-pr}\text{-weak}'\text{-trans})$ 
apply  $(subst\ kbo'\text{-eq}\text{-kbo}[symmetric])$ 

```



**apply** (*subst admissible-kbo.kbo-as-wpo-with-assms*[*OF admissible-kbo' least-pr-weak' least-pr-weak'-trans, symmetric*], (*auto*)[*?*])

**by** *auto*

As a proof-of-concept we show that now properties of WPO can be used to prove these properties for KBO. Here, as example we consider closure under substitutions and strong normalization, but the following idea can be applied for several more properties: if the property involves only terms where the arities are bounded, then just choose the parameter  $n$  large enough. This even works for strong normalization, since in an infinite chain of KBO-decreases  $t_1 > t_2 > t_3 > \dots$  all terms have a weight of at most the weight of  $t_1$ , and this weight is also a bound on the arities.

**lemma** *KBO-stable-via-WPO*:  $S \ s \ t \implies S \ (s \cdot (\sigma :: ('f, 'a) \text{ subst})) \ (t \cdot \sigma)$

**proof** –

**let** *?terms* = {*t*, *t* · *σ*}

**let** *?prec* = (( $\lambda f \ g. (\text{pr-strict}' f \ g, \text{pr-weak}' f \ g)$ ))

**let** *?prl* = ( $\lambda(f, n). n = 0 \wedge \text{least } f$ )

**have** *finite* ( $\bigcup (\text{funas-term } ' ?terms)$ )

**by** *auto*

**from** *finite-list*[*OF this*] **obtain** *F* **where** *F*: *set F* =  $\bigcup (\text{funas-term } ' ?terms)$

**by** *auto*

**define** *n* **where** *n* = *max-list* (*map snd F*)

**interpret** *wpo*: *wpo-with-assms*

**where** *S* = *weight-S* **and** *NS* = *weight-NS*

**and** *prc* = *?prec* **and** *prl* = *?prl*

**and** *c* =  $\lambda\cdot$ . *Lex*

**and** *ssimple* = *False* **and** *large* =  $\lambda f. \text{False}$  **and**  $\sigma \sigma$  = *full-status*

**and** *n* = *n*

**by** (*rule kbo-encoding-is-valid-wpo*)

{

**fix** *t*

**assume**  $t \in ?terms$

**hence** *funas-term t*  $\subseteq$  *set F* **unfolding** *F* **by** *auto*

**hence** *bounded-arity n* (*funas-term t*) **unfolding** *bounded-arity-def*

**using** *max-list*[*of - map snd F, folded n-def*] **by** *fastforce*

}

**note** *kbo-as-wpo* = *kbo-as-wpo*[*OF this*]

**from** *wpo.WPO-S-subst*[*of s t σ*]

**show**  $S \ s \ t \implies S \ (s \cdot \sigma) \ (t \cdot \sigma)$

**using** *kbo-as-wpo* **by** *auto*

**qed**

```

lemma weight-is-arity-bound:  $\text{weight } t \leq b \implies \text{bounded-arity } b \text{ (funas-term } t)$ 
proof (induct t)
  case (Fun f ts)
  have sum-list (map weight ts)  $\leq$  weight (Fun f ts)
    using sum-list-scf-list[of ts scf (f,length ts), OF scf] by auto
  also have  $\dots \leq b$  using Fun by auto
  finally have sum-b: sum-list (map weight ts)  $\leq$  b .
  {
    fix t
    assume t: t  $\in$  set ts
    from split-list[OF this] have  $\text{weight } t \leq \text{sum-list (map weight ts)}$  by auto
    with sum-b have  $\text{bounded-arity } b \text{ (funas-term } t)$  using t Fun by auto
  } note IH = this
  have  $\text{length } ts = \text{sum-list (map } (\lambda \cdot. 1) \text{ ts)}$  by (induct ts, auto)
  also have  $\dots \leq \text{sum-list (map weight ts)}$ 
    apply (rule sum-list-mono)
    subgoal for t using weight-gt-0[of t] by auto
  done
  also have  $\dots \leq b$  by fact
  finally have len: length ts  $\leq$  b by auto
  from IH len show ?case unfolding bounded-arity-def by auto
qed (auto simp: bounded-arity-def)

```

```

lemma KBO-SN-via-WPO:  $\text{SN } \{(s,t). S \ s \ t\}$ 
proof
  fix f :: nat  $\Rightarrow$  ('f,'a)term
  assume  $\forall i. (f \ i, f \ (\text{Suc } i)) \in \{(s, t). S \ s \ t\}$ 
  hence steps: S (f i) (f (Suc i)) for i by auto
  define n where  $n = \text{weight } (f \ 0)$ 

```

```

  have w-bound: weight (f i)  $\leq$  n for i
  proof (induct i)
    case (Suc i)
    from steps[of i] have  $\text{weight } (f \ (\text{Suc } i)) \leq \text{weight } (f \ i)$ 
      unfolding kbo.simps[of f i] by (auto split: if-splits)
    with Suc show ?case by simp
  qed (auto simp: n-def)

```

```

let ?prec =  $((\lambda f \ g. (\text{pr-strict}' \ f \ g, \text{pr-weak}' \ f \ g)))$ 
let ?prl =  $(\lambda(f, n). n = 0 \wedge \text{least } f)$ 

```

```

interpret wpo: wpo-with-assms
where  $S = \text{weight-S}$  and  $NS = \text{weight-NS}$ 
  and  $\text{prc} = ?prec$  and  $\text{prl} = ?prl$ 
  and  $c = \lambda \cdot. \text{Lex}$ 
  and  $\text{ssimple} = \text{False}$  and  $\text{large} = \lambda f. \text{False}$  and  $\sigma\sigma = \text{full-status}$ 
  and  $n = n$ 
  by (rule kbo-encoding-is-valid-wpo)

```

```

have kbo (f i) (f (Suc i)) = wpo.wpo (f i) (f (Suc i)) for i
  by (rule kbo-as-wpo[OF weight-is-arity-bound[OF w-bound]])

from steps[unfolded this] wpo.WPO-S-SN show False by auto
qed

end

end

```

## 9 Executability of the orders

```

theory Executable-Orders
imports
  WPO
  RPO
  LPO
  Multiset-Extension2-Impl
begin

```

If one loads the implementation of multiset orders (in particular for *mul-ext*), then all orders defined in this AFP-entry (WPO, RPO, LPO, multiset extension of order pairs) are executable.

```

export-code
  lpo
  rpo
  wpo.wpo
  mul-ext
  mult2-impl
in Haskell

```

```

end

```

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