

# A Generic Framework for Verified Compilers

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## Abstract

This is a generic framework for formalizing compiler transformations. It leverages Isabelle/HOLs locales to abstract over concrete languages and transformations. It states common definitions for language semantics, program behaviours, forward and backward simulations, and compilers. We provide generic operations, such as simulation and compiler composition, and prove general (partial) correctness theorems, resulting in reusable proof components. For more details, please see our paper [1].

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**theory** *Behaviour*

**imports** *Main*

**begin**

**datatype** *'state behaviour* =

*Terminates 'state | Diverges | is-wrong: Goes-wrong 'state*

Terminating behaviours are annotated with the last state of the execution in order to compare the result of two executions with the *rel-behaviour* relation.

The exact meaning of the three behaviours is defined in the semantics locale

**end**

## 1 Well-foundedness of Relations Defined as Predicate Functions

**theory** *Well-founded*

**imports** *Main*

**begin**

**locale** *well-founded* =

**fixes** *R :: 'a ⇒ 'a ⇒ bool (infix □ 70)*

**assumes**

*wf: wfP (□)*

**begin**

**lemmas** *induct = wfP-induct-rule[OF wf]*

**end**

### 1.1 Unit

**lemma** *wfP-unit: wfP (λ() (). False)*

*<proof>*

**interpretation** *well-founded λ() (). False*

*<proof>*

### 1.2 Lexicographic product

**context**

**fixes**

*r1 :: 'a ⇒ 'a ⇒ bool and*

*r2 :: 'b ⇒ 'b ⇒ bool*

**begin**

**definition** *lex-prodp* :: 'a × 'b ⇒ 'a × 'b ⇒ bool **where**

*lex-prodp* x y ≡ r1 (fst x) (fst y) ∨ fst x = fst y ∧ r2 (snd x) (snd y)

**lemma** *lex-prodp-lex-prod*:

**shows** *lex-prodp* x y ⟷ (x, y) ∈ *lex-prod* { (x, y). r1 x y } { (x, y). r2 x y }  
⟨proof⟩

**lemma** *lex-prodp-wfP*:

**assumes**

*wfP* r1 **and**

*wfP* r2

**shows** *wfP* *lex-prodp*

⟨proof⟩

**end**

**lemma** *lex-prodp-well-founded*:

**assumes**

*well-founded* r1 **and**

*well-founded* r2

**shows** *well-founded* (*lex-prodp* r1 r2)

⟨proof⟩

### 1.3 Lexicographic list

**context**

**fixes** *order* :: 'a ⇒ 'a ⇒ bool

**begin**

**inductive** *lexp* :: 'a list ⇒ 'a list ⇒ bool **where**

*lexp-head*: *order* x y ⇒ length xs = length ys ⇒ *lexp* (x # xs) (y # ys) |

*lexp-tail*: *lexp* xs ys ⇒ *lexp* (x # xs) (x # ys)

**end**

**lemma** *lexp-prepend*: *lexp* order ys zs ⇒ *lexp* order (xs @ ys) (xs @ zs)

⟨proof⟩

**lemma** *lexp-lex*: *lexp* order xs ys ⟷ (xs, ys) ∈ *lex* {(x, y). *order* x y}

⟨proof⟩

**lemma** *lex-list-wfP*: *wfP* order ⇒ *wfP* (*lexp* order)

⟨proof⟩

**lemma** *lex-list-well-founded*:

**assumes** *well-founded* order

**shows** *well-founded* (*lexp* order)

*<proof>*

**end**

## 2 Infinitely Transitive Closure

**theory** *Inf*

**imports** *Well-founded*

**begin**

**coinductive** *inf* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ bool **for** *r* **where**

*inf-step*:  $r\ x\ y \Longrightarrow \text{inf}\ r\ y \Longrightarrow \text{inf}\ r\ x$

**coinductive** *inf-wf* :: ('a ⇒ 'a ⇒ bool) ⇒ ('b ⇒ 'b ⇒ bool) ⇒ 'b ⇒ 'a ⇒ bool **for** *r* **order** **where**

*inf-wf*:  $\text{order}\ n\ m \Longrightarrow \text{inf-wf}\ r\ \text{order}\ n\ x \Longrightarrow \text{inf-wf}\ r\ \text{order}\ m\ x \mid$

*inf-wf-step*:  $r^{++}\ x\ y \Longrightarrow \text{inf-wf}\ r\ \text{order}\ n\ y \Longrightarrow \text{inf-wf}\ r\ \text{order}\ m\ x$

**lemma** *inf-wf-to-step-inf-wf*:

**assumes** *well-founded order*

**shows**  $\text{inf-wf}\ r\ \text{order}\ n\ x \Longrightarrow \exists y\ m. r\ x\ y \wedge \text{inf-wf}\ r\ \text{order}\ m\ y$

*<proof>*

**lemma** *inf-wf-to-inf*:

**assumes** *well-founded order*

**shows**  $\text{inf-wf}\ r\ \text{order}\ n\ x \Longrightarrow \text{inf}\ r\ x$

*<proof>*

**lemma** *step-inf*:

**assumes** *right-unique r*

**shows**  $r\ x\ y \Longrightarrow \text{inf}\ r\ x \Longrightarrow \text{inf}\ r\ y$

*<proof>*

**lemma** *star-inf*:

**assumes** *right-unique r*

**shows**  $r^{**}\ x\ y \Longrightarrow \text{inf}\ r\ x \Longrightarrow \text{inf}\ r\ y$

*<proof>*

**end**

**theory** *Transfer-Extras*

**imports** *Main*

**begin**

**lemma** *rtranclp-complete-run-right-unique*:

**fixes**  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  **and**  $x\ y\ z :: 'a$

**assumes** *right-unique R*

**shows**  $R^{**}\ x\ y \Longrightarrow (\nexists w. R\ y\ w) \Longrightarrow R^{**}\ x\ z \Longrightarrow (\nexists w. R\ z\ w) \Longrightarrow y = z$

*<proof>*

**lemma** *tranclp-complete-run-right-unique*:  
**fixes**  $R :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  **and**  $x\ y\ z :: 'a$   
**assumes** *right-unique*  $R$   
**shows**  $R^{++}\ x\ y \Longrightarrow (\nexists w. R\ y\ w) \Longrightarrow R^{++}\ x\ z \Longrightarrow (\nexists w. R\ z\ w) \Longrightarrow y = z$   
 $\langle \text{proof} \rangle$

**end**

### 3 The Dynamic Representation of a Language

**theory** *Semantics*

**imports** *Main Behaviour Inf Transfer-Extras* **begin**

The definition of programming languages is separated into two parts: an abstract semantics and a concrete program representation.

**definition** *finished* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*finished*  $r\ x = (\nexists y. r\ x\ y)$

**lemma** *finished-star*:  
**assumes** *finished*  $r\ x$   
**shows**  $r^{**}\ x\ y \Longrightarrow x = y$   
 $\langle \text{proof} \rangle$

**locale** *semantics* =  
**fixes**  
 $\text{step} :: 'state \Rightarrow 'state \Rightarrow \text{bool}$  (**infix**  $\rightarrow$  50) **and**  
 $\text{final} :: 'state \Rightarrow \text{bool}$   
**assumes**  
*final-finished*:  $\text{final}\ s \Longrightarrow \text{finished}\ \text{step}\ s$   
**begin**

The semantics locale represents the semantics as an abstract machine. It is expressed by a transition system with a transition relation ( $\rightarrow$ )—usually written as an infix  $\rightarrow$  arrow—and final states *final*.

**lemma** *finished-step*:  
 $\text{step}\ s\ s' \Longrightarrow \neg \text{finished}\ \text{step}\ s$   
 $\langle \text{proof} \rangle$

**abbreviation** *eval* ::  $'state \Rightarrow 'state \Rightarrow \text{bool}$  (**infix**  $\rightarrow^*$  50) **where**  
 $\text{eval} \equiv \text{step}^{**}$

**abbreviation** *inf-step* ::  $'state \Rightarrow \text{bool}$  **where**  
 $\text{inf-step} \equiv \text{inf}\ \text{step}$

**notation**  
 $\text{inf-step}\ ('(\rightarrow^\infty) []\ 50)$  **and**  
 $\text{inf-step}\ (- \rightarrow^\infty [55]\ 50)$

**lemma** *inf-not-finished*:  $s \rightarrow^\infty \implies \neg \text{finished step } s$   
 ⟨proof⟩

**lemma** *eval-deterministic*:

**assumes**

*deterministic*:  $\bigwedge x y z. \text{step } x y \implies \text{step } x z \implies y = z$  **and**

$s1 \rightarrow^* s2$  **and**  $s1 \rightarrow^* s3$  **and** *finished step*  $s2$  **and** *finished step*  $s3$

**shows**  $s2 = s3$

⟨proof⟩

**lemma** *step-converges-or-diverges*:  $(\exists s'. s \rightarrow^* s' \wedge \text{finished step } s') \vee s \rightarrow^\infty$   
 ⟨proof⟩

### 3.1 Behaviour of a dynamic execution

**inductive** *state-behaves* :: *'state*  $\Rightarrow$  *'state behaviour*  $\Rightarrow$  *bool* (**infix**  $\downarrow$  50) **where**

*state-terminates*:

$s1 \rightarrow^* s2 \implies \text{finished step } s2 \implies \text{final } s2 \implies s1 \downarrow (\text{Terminates } s2) \mid$

*state-diverges*:

$s1 \rightarrow^\infty \implies s1 \downarrow \text{Diverges} \mid$

*state-goes-wrong*:

$s1 \rightarrow^* s2 \implies \text{finished step } s2 \implies \neg \text{final } s2 \implies s1 \downarrow (\text{Goes-wrong } s2)$

Even though the  $(\rightarrow)$  transition relation in the *semantics* locale need not be deterministic, if it happens to be, then the behaviour of a program becomes deterministic too.

**lemma** *right-unique-state-behaves*:

**assumes**

*right-unique*  $(\rightarrow)$

**shows** *right-unique*  $(\downarrow)$

⟨proof⟩

**lemma** *left-total-state-behaves*: *left-total*  $(\downarrow)$

⟨proof⟩

### 3.2 Safe states

**definition** *safe* **where**

$\text{safe } s \iff (\forall s'. \text{step}^{**} s s' \longrightarrow \text{final } s' \vee (\exists s''. \text{step } s' s''))$

**lemma** *final-safeI*:  $\text{final } s \implies \text{safe } s$

⟨proof⟩

**lemma** *step-safe*:  $\text{step } s s' \implies \text{safe } s \implies \text{safe } s'$

⟨proof⟩

**lemma** *steps-safe*:  $\text{step}^{**} s s' \implies \text{safe } s \implies \text{safe } s'$

⟨proof⟩

```

lemma safe-state-behaves-not-wrong:
  assumes safe s and s ↓ b
  shows  $\neg$  is-wrong b
  <proof>

end

end

```

## 4 The Static Representation of a Language

```

theory Language
  imports Semantics
begin

locale language =
  semantics step final
  for
    step :: 'state ⇒ 'state ⇒ bool and
    final :: 'state ⇒ bool +
  fixes
    load :: 'prog ⇒ 'state ⇒ bool

context language begin

```

The language locale represents the concrete program representation (type variable *'prog*), which can be transformed into a program state (type variable *'state*) by the *load* function. The set of initial states of the transition system is implicitly defined by the codomain of *load*.

### 4.1 Program behaviour

```

definition prog-behaves :: 'prog ⇒ 'state behaviour ⇒ bool (infix ↓ 50) where
  prog-behaves = load OO state-behaves

```

If both the *load* and *step* relations are deterministic, then so is the behaviour of a program.

```

lemma right-unique-prog-behaves:
  assumes
    right-unique-load: right-unique load and
    right-unique-step: right-unique step
  shows right-unique prog-behaves
  <proof>

end

end

```

## 5 Simulations Between Dynamic Executions

```

theory Simulation
  imports Semantics Inf Well-founded
begin

```

### 5.1 Backward simulation

```

locale backward-simulation =
  L1: semantics step1 final1 +
  L2: semantics step2 final2 +
  well-founded ( $\sqsubset$ )
for
  step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and
  step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and
  final1 :: 'state1  $\Rightarrow$  bool and
  final2 :: 'state2  $\Rightarrow$  bool and
  order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool (infix  $\sqsubset$  70) +
fixes
  match :: 'index  $\Rightarrow$  'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool
assumes
  match-final:
    match i s1 s2  $\Longrightarrow$  final2 s2  $\Longrightarrow$  final1 s1 and
  simulation:
    match i1 s1 s2  $\Longrightarrow$  step2 s2 s2'  $\Longrightarrow$ 
      ( $\exists$  i2 s1'. step1++ s1 s1'  $\wedge$  match i2 s1' s2')  $\vee$  ( $\exists$  i2. match i2 s1 s2'  $\wedge$  i2
 $\sqsubset$  i1)
begin

```

A simulation is defined between two *semantics* L1 and L2. A *match* predicate expresses that two states from L1 and L2 are equivalent. The *match* predicate is also parameterized with an ordering used to avoid stuttering. The only two assumptions of a backward simulation are that a final state in L2 will also be a final in L1, and that a step in L2 will either represent a non-empty sequence of steps in L1 or will result in an equivalent state. Stuttering is ruled out by the requirement that the index on the *match* predicate decreases with respect to the well-founded ( $\sqsubset$ ) ordering.

```

lemma lift-simulation-plus:
  step2++ s2 s2'  $\Longrightarrow$  match i1 s1 s2  $\Longrightarrow$ 
    ( $\exists$  i2 s1'. step1++ s1 s1'  $\wedge$  match i2 s1' s2')  $\vee$ 
    ( $\exists$  i2. match i2 s1 s2'  $\wedge$  order++ i2 i1)
thm tranclp-induct
<proof>

```

```

lemma lift-simulation-eval:
  L2.eval s2 s2'  $\Longrightarrow$  match i1 s1 s2  $\Longrightarrow$   $\exists$  i2 s1'. L1.eval s1 s1'  $\wedge$  match i2 s1' s2'
<proof>

```

```

lemma match-inf:

```

**assumes**  
*match i s1 s2 and*  
*inf step2 s2*  
**shows** *inf step1 s1*  
 ⟨*proof*⟩

### 5.1.1 Preservation of behaviour

The main correctness theorem states that, for any two matching programs, any not wrong behaviour of the later is also a behaviour of the former. In other words, if the compiled program does not crash, then its behaviour, whether it terminates or not, is also a valid behaviour of the source program.

**lemma** *simulation-behaviour* :  
 $L2.state\text{-behaves } s_2 \ b_2 \implies \neg is\text{-wrong } b_2 \implies match \ i \ s_1 \ s_2 \implies$   
 $\exists b_1 \ i'. L1.state\text{-behaves } s_1 \ b_1 \wedge rel\text{-behaviour } (match \ i') \ b_1 \ b_2$   
 ⟨*proof*⟩

**end**

## 5.2 Forward simulation

**locale** *forward-simulation* =  
*L1: semantics step1 final1 +*  
*L2: semantics step2 final2 +*  
*well-founded* ( $\square$ )  
**for**  
*step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and*  
*step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and*  
*final1 :: 'state1  $\Rightarrow$  bool and*  
*final2 :: 'state2  $\Rightarrow$  bool and*  
*order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool (infix  $\square$  70) +*  
**fixes**  
*match :: 'index  $\Rightarrow$  'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool*  
**assumes**  
*match-final:*  
 $match \ i \ s1 \ s2 \implies final1 \ s1 \implies final2 \ s2$  **and**  
*simulation:*  
 $match \ i \ s1 \ s2 \implies step1 \ s1 \ s1' \implies$   
 $(\exists i' \ s2'. step2^{++} \ s2 \ s2' \wedge match \ i' \ s1' \ s2') \vee (\exists i'. match \ i' \ s1' \ s2 \wedge i' \square$   
*i)*  
**begin**

**lemma** *lift-simulation-eval*:  
 $L1.eval \ s1 \ s1' \implies match \ i \ s1 \ s2 \implies \exists i' \ s2'. L2.eval \ s2 \ s2' \wedge match \ i' \ s1' \ s2'$   
 ⟨*proof*⟩

**lemma** *match-inf*:

**assumes** *match i s1 s2 and inf step1 s1*  
**shows** *inf step2 s2*  
 ⟨*proof*⟩

### 5.2.1 Preservation of behaviour

**lemma** *simulation-behaviour* :  
 $L1.state\text{-behaves } s1\ b1 \implies \neg is\text{-wrong } b1 \implies match\ i\ s1\ s2 \implies$   
 $\exists b2\ i'. L2.state\text{-behaves } s2\ b2 \wedge rel\text{-behaviour } (match\ i')\ b1\ b2$   
 ⟨*proof*⟩

### 5.2.2 Forward to backward

**lemma** *state-behaves-forward-to-backward*:  
**assumes**  
*match-s1-s2: match i s1 s2 and*  
*safe-s1: L1.safe s1 and*  
*behaves-s2: L2.state-behaves s2 b2 and*  
*right-unique2: right-unique step2*  
**shows**  $\exists b1\ i. L1.state\text{-behaves } s1\ b1 \wedge rel\text{-behaviour } (match\ i)\ b1\ b2$   
 ⟨*proof*⟩

**end**

## 5.3 Bisimulation

**locale** *bisimulation* =  
*forward-simulation step1 step2 final1 final2 order match +*  
*backward-simulation step1 step2 final1 final2 order match*  
**for**  
*step1 :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool and*  
*step2 :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool and*  
*final1 :: 'state1  $\Rightarrow$  bool and*  
*final2 :: 'state2  $\Rightarrow$  bool and*  
*order :: 'index  $\Rightarrow$  'index  $\Rightarrow$  bool and*  
*match :: 'index  $\Rightarrow$  'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool*

## 5.4 Composition of backward simulations

**definition** *rel-comp* ::  
 $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow bool) \Rightarrow ('d \Rightarrow 'c \Rightarrow 'e \Rightarrow bool) \Rightarrow ('a \times 'd) \Rightarrow 'b \Rightarrow 'e \Rightarrow bool$   
**where**  
 $rel\text{-comp } r1\ r2\ i \equiv (r1\ (fst\ i)\ OO\ r2\ (snd\ i))$

**lemma** *backward-simulation-composition*:  
**assumes**  
*backward-simulation step1 step2 final1 final2 order1 match1*  
*backward-simulation step2 step3 final2 final3 order2 match2*  
**shows**  
*backward-simulation step1 step3 final1 final3*

(*lex-prodp order1<sup>++</sup> order2*) (*rel-comp match1 match2*)  
 ⟨*proof*⟩

**context**

**fixes**  $r :: 'i \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$

**begin**

**fun** *rel-comp-pow* **where**

*rel-comp-pow* []  $x\ y = \text{False}$  |

*rel-comp-pow* [i]  $x\ y = r\ i\ x\ y$  |

*rel-comp-pow* (i # is)  $x\ z = (\exists y. r\ i\ x\ y \wedge \text{rel-comp-pow}\ is\ y\ z)$

**end**

**lemma** *backward-simulation-pow*:

**assumes**

*backward-simulation step step final final order match*

**shows**

*backward-simulation step step final final (lexp order<sup>++</sup>) (rel-comp-pow match)*

⟨*proof*⟩

**definition** *lockstep-backward-simulation* **where**

*lockstep-backward-simulation step1 step2 match*  $\equiv$

$\forall s1\ s2\ s2'. \text{match}\ s1\ s2 \longrightarrow \text{step2}\ s2\ s2' \longrightarrow (\exists s1'. \text{step1}\ s1\ s1' \wedge \text{match}\ s1'\ s2')$

**definition** *plus-backward-simulation* **where**

*plus-backward-simulation step1 step2 match*  $\equiv$

$\forall s1\ s2\ s2'. \text{match}\ s1\ s2 \longrightarrow \text{step2}\ s2\ s2' \longrightarrow (\exists s1'. \text{step1}^{++}\ s1\ s1' \wedge \text{match}\ s1'\ s2')$

**lemma**

**assumes** *lockstep-backward-simulation step1 step2 match*

**shows** *plus-backward-simulation step1 step2 match*

⟨*proof*⟩

**lemma** *lockstep-to-plus-backward-simulation*:

**fixes**

*match* ::  $'state1 \Rightarrow 'state2 \Rightarrow \text{bool}$  **and**

*step1* ::  $'state1 \Rightarrow 'state1 \Rightarrow \text{bool}$  **and**

*step2* ::  $'state2 \Rightarrow 'state2 \Rightarrow \text{bool}$

**assumes**

*lockstep-simulation*:  $\bigwedge s1\ s2\ s2'. \text{match}\ s1\ s2 \implies \text{step2}\ s2\ s2' \implies (\exists s1'. \text{step1}\ s1\ s1' \wedge \text{match}\ s1'\ s2')$  **and**

*match*: *match s1 s2* **and**

*step*: *step2 s2 s2'*

**shows**  $\exists s1'. \text{step1}^{++}\ s1\ s1' \wedge \text{match}\ s1'\ s2'$

⟨*proof*⟩

**lemma** *lockstep-to-option-backward-simulation*:

**fixes**

*match* :: 'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool **and**  
*step1* :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool **and**  
*step2* :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool **and**  
*measure* :: 'state2  $\Rightarrow$  nat

**assumes**

*lockstep-simulation*:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step2\ s2\ s2' \implies (\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2')$  **and**

*match*: *match* *s1* *s2* **and**

*step*: *step2* *s2* *s2'*

**shows**  $(\exists s1'.\ step1\ s1\ s1' \wedge match\ s1'\ s2') \vee match\ s1\ s2' \wedge measure\ s2' < measure\ s2$

*<proof>*

**lemma** *plus-to-star-backward-simulation*:

**fixes**

*match* :: 'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool **and**  
*step1* :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool **and**  
*step2* :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool **and**  
*measure* :: 'state2  $\Rightarrow$  nat

**assumes**

*star-simulation*:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step2\ s2\ s2' \implies (\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2')$  **and**

*match*: *match* *s1* *s2* **and**

*step*: *step2* *s2* *s2'*

**shows**  $(\exists s1'.\ step1^{++}\ s1\ s1' \wedge match\ s1'\ s2') \vee match\ s1\ s2' \wedge measure\ s2' < measure\ s2$

*<proof>*

**lemma** *lockstep-to-plus-forward-simulation*:

**fixes**

*match* :: 'state1  $\Rightarrow$  'state2  $\Rightarrow$  bool **and**  
*step1* :: 'state1  $\Rightarrow$  'state1  $\Rightarrow$  bool **and**  
*step2* :: 'state2  $\Rightarrow$  'state2  $\Rightarrow$  bool

**assumes**

*lockstep-simulation*:  $\bigwedge s1\ s2\ s2'.\ match\ s1\ s2 \implies step1\ s1\ s1' \implies (\exists s2'.\ step2\ s2\ s2' \wedge match\ s1'\ s2')$  **and**

*match*: *match* *s1* *s2* **and**

*step*: *step1* *s1* *s1'*

**shows**  $\exists s2'.\ step2^{++}\ s2\ s2' \wedge match\ s1'\ s2'$

*<proof>*

**end**

## 6 Compiler Between Static Representations

**theory** *Compiler*

**imports** *Language Simulation*

**begin**

**definition** *option-comp* :: ('a ⇒ 'b option) ⇒ ('c ⇒ 'a option) ⇒ 'c ⇒ 'b option  
(**infix** ⇐ 50) **where**  
  (*f* ⇐ *g*) *x* ≡ Option.bind (*g x*) *f*

**context**

**fixes** *f* :: ('a ⇒ 'a option)  
**begin**

**fun** *option-comp-pow* :: nat ⇒ 'a ⇒ 'a option **where**  
  *option-comp-pow* 0 = (λ-. None) |  
  *option-comp-pow* (Suc 0) = *f* |  
  *option-comp-pow* (Suc *n*) = (*option-comp-pow n* ⇐ *f*)

**end**

**locale** *compiler* =

*L1*: language *step1 final1 load1* +  
  *L2*: language *step2 final2 load2* +  
  backward-simulation *step1 step2 final1 final2 order match*

**for**

*step1* **and** *step2* **and**  
  *final1* **and** *final2* **and**  
  *load1* :: 'prog1 ⇒ 'state1 ⇒ bool **and**  
  *load2* :: 'prog2 ⇒ 'state2 ⇒ bool **and**  
  *order* :: 'index ⇒ 'index ⇒ bool **and**  
  *match* +

**fixes**

*compile* :: 'prog1 ⇒ 'prog2 option

**assumes**

*compile-load*:

*compile p1* = Some *p2* ⇒ *load2 p2 s2* ⇒ ∃ *s1 i*. *load1 p1 s1* ∧ *match i s1*

*s2*

**begin**

The *compiler* locale relates two languages, L1 and L2, by a backward simulation and provides a *compile* partial function from a concrete program in L1 to a concrete program in L2. The only assumption is that a successful compilation results in a program which, when loaded, is equivalent to the loaded initial program.

## 6.1 Preservation of behaviour

**corollary** *behaviour-preservation*:

**assumes**

*compiles*: *compile p1* = Some *p2* **and**

*behaves*: *L2.prog-behaves p2 b2* **and**

*not-wrong*: ¬ *is-wrong b2*

**shows**  $\exists b1 i. L1.prog-behaves\ p1\ b1 \wedge rel-behaviour\ (match\ i)\ b1\ b2$   
(proof)

**end**

## 6.2 Composition of compilers

**lemma** *compiler-composition*:

**assumes**

*compiler\ step1\ step2\ final1\ final2\ load1\ load2\ order1\ match1\ compile1* **and**

*compiler\ step2\ step3\ final2\ final3\ load2\ load3\ order2\ match2\ compile2*

**shows** *compiler\ step1\ step3\ final1\ final3\ load1\ load3*

(*lex-prodp\ order1<sup>++</sup>\ order2*) (*rel-comp\ match1\ match2*) (*compile2*  $\Leftarrow$  *compile1*)

(proof)

**lemma** *compiler-composition-pow*:

**assumes**

*compiler\ step\ step\ final\ final\ load\ load\ order\ match\ compile*

**shows** *compiler\ step\ step\ final\ final\ load\ load*

(*lex\ order<sup>++</sup>*) (*rel-comp-pow\ match*) (*option-comp-pow\ compile\ n*)

(proof)

**end**

## 7 Fixpoint of Converging Program Transformations

**theory** *Fixpoint*

**imports** *Compiler*

**begin**

**context**

**fixes**

*m* :: 'a  $\Rightarrow$  nat **and**

*f* :: 'a  $\Rightarrow$  'a option

**begin**

**function** *fixpoint* :: 'a  $\Rightarrow$  'a option **where**

*fixpoint* *x* = (

case *f* *x* of

None  $\Rightarrow$  None |

Some *x'*  $\Rightarrow$  if *m* *x'* < *m* *x* then *fixpoint* *x'* else Some *x'*

)

(proof)

**termination**

(proof)

**end**

**lemma** *fixpoint-to-comp-pow*:  
*fixpoint m f x = y*  $\implies \exists n. \text{option-comp-pow } f \ n \ x = y$   
<proof>

**lemma** *fixpoint-eq-comp-pow*:  
 $\exists n. \text{fixpoint } m \ f \ x = \text{option-comp-pow } f \ n \ x$   
<proof>

**lemma** *compiler-composition-fixpoint*:  
**assumes**  
*compiler step step final final load load order match compile*  
**shows** *compiler step step final final load load*  
*(lexp order<sup>++</sup>) (rel-comp-pow match) (fixpoint m compile)*  
<proof>

**end**

## References

- [1] M. Desharnais and S. Brunthaler. A generic framework for verified compilers using isabelle/hols locales. *31 ème Journées Francophones des Langages Applicatifs*, page 198, 2020.