

Typed Ordered Resolution

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Abstract

Ordered Resolution is a proof calculus for reasoning about first-order logic that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We also added a type system to the calculus. We relied on the library for first-order clauses and on the saturation framework.

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theory	<i>Ground-Ordered-Resolution</i>	
imports		
	<i>First-Order-Clause.Selection-Function</i>	
	<i>First-Order-Clause.Ground-Order</i>	
	<i>First-Order-Clause.Literal-Functor</i>	
begin		

1 Resolution Calculus

locale *ground-ordered-resolution-calculus* =

ground-order **where** $less_t = less_t +$
 selection-function *select*
for
 $less_t :: 't \Rightarrow 't \Rightarrow bool$ **and**
 $select :: 't \text{ clause} \Rightarrow 't \text{ clause}$
begin

1.1 Resolution Calculus

inductive *resolution* :: $'t \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$
where

resolutionI:
 $C = \text{add-mset } L_C \ C' \Longrightarrow$
 $D = \text{add-mset } L_D \ D' \Longrightarrow$
 $L_C = (\text{Neg } t) \Longrightarrow$
 $L_D = (\text{Pos } t) \Longrightarrow$
 $D \prec_c C \Longrightarrow$
 $\text{select } C = \{\#\} \wedge \text{is-maximal } L_C \ C \vee \text{is-maximal } L_C \ (\text{select } C) \Longrightarrow$
 $\text{select } D = \{\#\} \Longrightarrow$
 $\text{is-strictly-maximal } L_D \ D \Longrightarrow$
 $R = (C' + D') \Longrightarrow$
resolution $D \ C \ R$

inductive *factoring* ::
 $'t \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$

where
factoringI:
 $C = \text{add-mset } L_1 \ (\text{add-mset } L_1 \ C') \Longrightarrow$
 $L_1 = (\text{Pos } t) \Longrightarrow$
 $\text{select } C = \{\#\} \Longrightarrow$
 $\text{is-maximal } L_1 \ C \Longrightarrow$
 $D = \text{add-mset } L_1 \ C' \Longrightarrow$
factoring $C \ D$

1.2 Ground Layer

abbreviation *resolution-inferences* **where**

$\text{resolution-inferences} \equiv \{\text{Infer } [D, C] \ R \mid D \ C \ R. \text{ resolution } D \ C \ R\}$

abbreviation *factoring-inferences* **where**

$\text{factoring-inferences} \equiv \{\text{Infer } [C] \ D \mid C \ D. \text{ factoring } C \ D\}$

definition *G-Inf* :: $'t \text{ clause inference set}$ **where**

$G\text{-Inf} =$
 $\{\text{Infer } [D, C] \ R \mid D \ C \ R. \text{ resolution } D \ C \ R\} \cup$
 $\{\text{Infer } [P] \ C \mid P \ C. \text{ factoring } P \ C\}$

abbreviation *G-Bot* :: $'t \text{ clause set}$ **where**

$G\text{-Bot} \equiv \{\{\#\}\}$

definition *G-entails* :: 't clause set $\Rightarrow$ 't clause set $\Rightarrow$ bool **where**
G-entails $N_1\ N_2 \longleftrightarrow (\forall\ I.\ I \models_s N_1 \longrightarrow I \models_s N_2)$

1.3 Smaller Conclusions

lemma *ground-resolution-smaller-conclusion*:

assumes

step: resolution D C R

shows $R \prec_c C$

<proof>

lemma *ground-factoring-smaller-conclusion*:

assumes *step: factoring C D*

shows $D \prec_c C$

<proof>

end

1.4 Redundancy Criterion

sublocale *ground-ordered-resolution-calculus* $\subseteq$ *consequence-relation* **where**

Bot = *G-Bot* **and**

entails = *G-entails*

<proof>

sublocale *ground-ordered-resolution-calculus* $\subseteq$ *calculus-with-finitary-standard-redundancy*
where

Inf = *G-Inf* **and**

Bot = *G-Bot* **and**

entails = *G-entails* **and**

less = $(\prec_c)$

defines *GRed-I* = *Red-I* **and** *GRed-F* = *Red-F*

<proof>

end

theory *Relation-Extra*

imports *Main*

begin

lemma *partition-set-around-element*:

assumes *tot: totalp-on N R* **and** *x-in: $x \in N$*

shows $N = \{y \in N.\ R\ y\ x\} \cup \{x\} \cup \{y \in N.\ R\ x\ y\}$

<proof>

end

theory *Ground-Ordered-Resolution-Completeness*

imports

Ground-Ordered-Resolution

Relation-Extra

First-Order-Clause.HOL-Extra

begin

1.5 Mode Construction

context *ground-ordered-resolution-calculus*
begin

context
fixes $N :: 't \text{ clause set}$
begin

function $\text{epsilon} :: 't \text{ clause} \Rightarrow 't \text{ set}$ **where**
 $\text{epsilon } C = \{A \mid A \in C'.$
 $C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } A) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal } (\text{Pos } A) \ C \wedge$
 $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } D) \models C\}$
 $\langle \text{proof} \rangle$

termination epsilon
 $\langle \text{proof} \rangle$

declare $\text{epsilon.simps}[\text{simp del}]$

end

lemma $\text{epsilon-eq-empty-or-singleton}$: $\text{epsilon } N \ C = \{\} \vee (\exists A. \text{epsilon } N \ C = \{A\})$
 $\langle \text{proof} \rangle$

definition rewrite-sys **where**
 $\text{rewrite-sys } N \ C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \text{epsilon } N \ D)$

lemma $\text{rewrite-sys-subset-if-less-cls}$: $C \prec_c D \longrightarrow \text{rewrite-sys } N \ C \subseteq \text{rewrite-sys } N \ D$
 $\langle \text{proof} \rangle$

lemma mem-epsilonE :
assumes rule-in : $A \in \text{epsilon } N \ C$
obtains C' **where**
 $C \in N$ **and**
 $C = \text{add-mset } (\text{Pos } A) \ C'$ **and**
 $\text{select } C = \{\#\}$ **and**
 $\text{is-strictly-maximal } (\text{Pos } A) \ C$ **and**
 $\neg \text{rewrite-sys } N \ C \models C$
 $\langle \text{proof} \rangle$

lemma *epsilon-unfold*: $\text{epsilon } N \ C = \{A \mid A \ C'\}.$

$C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } A) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal } (\text{Pos } A) \ C \wedge$
 $\neg \text{rewrite-sys } N \ C \models C\}$
 $\langle \text{proof} \rangle$

lemma *epsilon-subset-if-less-cls*: $C \prec_c D \implies \text{epsilon } N \ C \subseteq \text{rewrite-sys } N \ D$

$\langle \text{proof} \rangle$

lemma

assumes

$D \preceq_c C$ **and**
 $C\text{-prod}: A \in \text{epsilon } N \ C$ **and**
 $L\text{-in}: L \in \# \ D$

shows

$\text{lesseq-trm-if-pos}: \text{is-pos } L \implies \text{atm-of } L \preceq_t A$ **and**
 $\text{less-trm-if-neg}: \text{is-neg } L \implies \text{atm-of } L \prec_t A$

$\langle \text{proof} \rangle$

lemma *less-trm-iff-less-cls-if-mem-epsilon*:

assumes $C\text{-prod}: A_C \in \text{epsilon } N \ C$ **and** $D\text{-prod}: A_D \in \text{epsilon } N \ D$

shows $A_C \prec_t A_D \longleftrightarrow C \prec_c D$

$\langle \text{proof} \rangle$

lemma *false-cls-if-productive-epsilon*:

assumes $C\text{-prod}: A \in \text{epsilon } N \ C$ **and** $D \in N$ **and** $C \prec_c D$

shows $\neg \text{rewrite-sys } N \ D \models C - \{\# \text{Pos } A \#\}$

$\langle \text{proof} \rangle$

lemma *neg-notin-Interp-not-produce*:

$\text{Neg } A \in \# \ C \implies A \notin \text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \implies C \preceq_c D \implies A \notin \text{epsilon } N \ D''$

$\langle \text{proof} \rangle$

lemma *lift-interp-entails*:

assumes

$D\text{-in}: D \in N$ **and**
 $D\text{-entailed}: \text{rewrite-sys } N \ D \models D$ **and**
 $C\text{-in}: C \in N$ **and**
 $D\text{-lt-}C: D \prec_c C$

shows $\text{rewrite-sys } N \ C \models D$

$\langle \text{proof} \rangle$

lemma *produces-imp-in-interp*:

assumes $\text{Neg } A \in \# \ C$ **and** $D\text{-prod}: A \in \text{epsilon } N \ D$

shows $A \in \text{rewrite-sys } N \ C$

$\langle \text{proof} \rangle$

lemma *split-Union-epsilon*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N \ C) =$

$\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$

$\langle \text{proof} \rangle$

lemma *split-Union-epsilon'*:

assumes *D-in*: $D \in N$

shows $(\bigcup C \in N. \text{epsilon } N \ C) = \text{rewrite-sys } N \ D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N \ C)$

$\langle \text{proof} \rangle$

lemma *lift-entailment-to-Union*:

fixes $N \ D$

assumes

D-in: $D \in N$ **and**

R_D-entails-D: $\text{rewrite-sys } N \ D \models D$

shows

$(\bigcup C \in N. \text{epsilon } N \ C) \models D$

$\langle \text{proof} \rangle$

lemma *true-cls-if-productive-epsilon*:

assumes $A \in \text{epsilon } N \ C \ C \prec_c D$

shows $\text{rewrite-sys } N \ D \models C$

$\langle \text{proof} \rangle$

lemma *model-preconstruction*:

fixes

$N :: 't \text{ clause set}$ **and**

$C :: 't \text{ clause}$

defines

$\text{entails} \equiv \lambda E \ C. E \models C$

assumes *saturated N* **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$

shows

$\text{epsilon } N \ C = \{\} \longleftrightarrow \text{entails } (\text{rewrite-sys } N \ C) \ C$

$(\bigcup D \in N. \text{epsilon } N \ D) \models C$

$D \in N \implies C \prec_c D \implies \text{entails } (\text{rewrite-sys } N \ D) \ C$

$\langle \text{proof} \rangle$

lemma *model-construction*:

fixes

$N :: 't \text{ clause set}$ **and**

$C :: 't \text{ clause}$

defines $\text{entails} \equiv \lambda E \ C. E \models C$

assumes *saturated N* **and** $\{\#\} \notin N$ **and** *C-in*: $C \in N$

shows $\text{entails } (\bigcup D \in N. \text{epsilon } N \ D) \ C$

$\langle \text{proof} \rangle$

1.6 Static Refutational Completeness

lemma *statically-complete:*

fixes $N :: 't \text{ clause set}$
assumes *saturated* N **and** $G\text{-entails } N \{\{\#\}\}$
shows $\{\#\} \in N$
 $\langle \text{proof} \rangle$

sublocale *statically-complete-calculus* **where**

$Bot = G\text{-Bot}$ **and**
 $Inf = G\text{-Inf}$ **and**
 $entails = G\text{-entails}$ **and**
 $Red\text{-I} = Red\text{-I}$ **and**
 $Red\text{-F} = Red\text{-F}$
 $\langle \text{proof} \rangle$

end

end

theory *Ground-Ordered-Resolution-Soundness*

imports *Ground-Ordered-Resolution*

begin

lemma (*in ground-ordered-resolution-calculus*) *soundness-ground-resolution:*

assumes
 $\text{step: resolution } D \ C \ R$
shows $G\text{-entails } \{D, C\} \ \{R\}$
 $\langle \text{proof} \rangle$

lemma (*in ground-ordered-resolution-calculus*) *soundness-ground-factoring:*

assumes $\text{step: factoring } C \ D$
shows $G\text{-entails } \{C\} \ \{D\}$
 $\langle \text{proof} \rangle$

sublocale *ground-ordered-resolution-calculus* $\subseteq$ *sound-inference-system* **where**

$Inf = G\text{-Inf}$ **and**
 $Bot = G\text{-Bot}$ **and**
 $entails = G\text{-entails}$
 $\langle \text{proof} \rangle$

end

theory *Ordered-Resolution*

imports

First-Order-Clause.Nonground-Order
First-Order-Clause.Nonground-Selection-Function
First-Order-Clause.Nonground-Typing
First-Order-Clause.Typed-Tiebreakers
Saturation-Framework.Lifting-to-Non-Ground-Calculi

Ground-Ordered-Resolution

begin

locale *ordered-resolution-calculus* =
witnessed-nonground-typing **where**
welltyped = *welltyped* **and** *term-to-ground* = *term-to-ground* :: $'t \Rightarrow 't_G +$
nonground-order **where** $less_t = less_t +$
nonground-selection-function **where**
select = *select* **and** *atom-subst* = $(\cdot t)$ **and** *atom-vars* = *term.vars* **and**
atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground* +
tiebreakers *tiebreakers*
for
select :: $'t \text{ select}$ **and**
less_t :: $'t \Rightarrow 't \Rightarrow \text{bool}$ **and**
tiebreakers :: $('t_G, 't) \text{ tiebreakers}$ **and**
welltyped :: $('v :: \text{infinite}, 'ty) \text{ var-types} \Rightarrow 't \Rightarrow 'ty \Rightarrow \text{bool}$
begin

inductive *factoring* :: $('t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow \text{bool}$
where

factoringI:
 $C = \text{add-mset } L_1 (\text{add-mset } L_2 C') \Rightarrow$
 $L_1 = (\text{Pos } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $D = (\text{add-mset } L_1 C') \cdot \mu \Rightarrow$
factoring $(\mathcal{V}, C) (\mathcal{V}, D)$

if

select $C = \{\#\}$
is-maximal $(L_1 \cdot l \mu) (C \cdot \mu)$
type-preserving-on $(\text{clause.vars } C) \mathcal{V} \mu$
term.is-ingu $\mu \{\{t_1, t_2\}\}$

inductive *resolution* ::

$('t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow$
 bool

where

resolutionI:
 $C = \text{add-mset } L_1 C' \Rightarrow$
 $D = \text{add-mset } L_2 D' \Rightarrow$
 $L_1 = (\text{Neg } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $R = (C' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \Rightarrow$
resolution $(\mathcal{V}_2, D) (\mathcal{V}_1, C) (\mathcal{V}_3, R)$

if

infinite-variables-per-type $\mathcal{V}_1$
infinite-variables-per-type $\mathcal{V}_2$
term.is-renaming ϱ_1
term.is-renaming ϱ_2
 $\text{clause.vars } (C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$

type-preserving-on (*clause.vars* ($C \cdot \varrho_1$) $\cup$ *clause.vars* ($D \cdot \varrho_2$)) $\mathcal{V}_3 \mu$
term.is-imgu $\mu \{ \{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\} \}$
 $\neg (C \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
select $C = \{\#\} \implies \text{is-maximal } (L_1 \cdot l \varrho_1 \odot \mu) (C \cdot \varrho_1 \odot \mu)$
select $C \neq \{\#\} \implies \text{is-maximal } (L_1 \cdot l \varrho_1 \odot \mu) ((\text{select } C) \cdot \varrho_1 \odot \mu)$
select $D = \{\#\}$
is-strictly-maximal ($L_2 \cdot l \varrho_2 \odot \mu$) ($D \cdot \varrho_2 \odot \mu$)
 $\forall x \in \text{clause.vars } C. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x)$
 $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x)$
type-preserving-on (*clause.vars* C) $\mathcal{V}_1 \varrho_1$
type-preserving-on (*clause.vars* D) $\mathcal{V}_2 \varrho_2$

abbreviation *factoring-inferences* **where**

factoring-inferences $\equiv \{ \text{Infer } [C] D \mid C D. \text{ factoring } C D \}$

abbreviation *resolution-inferences* **where**

resolution-inferences $\equiv \{ \text{Infer } [D, C] R \mid D C R. \text{ resolution } D C R \}$

definition *inferences* $:: ('t, 'v, 'ty)$ *typed-clause inference set* **where**

inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom_F* $:: ('t, 'v, 'ty)$ *typed-clause set* ($\perp_F$) **where**

bottom_F $\equiv \{ (\mathcal{V}, \{\#\}) \mid \mathcal{V}. \text{ infinite-variables-per-type } \mathcal{V} \}$

end

end

theory *Grounded-Ordered-Resolution*

imports

Ordered-Resolution

Ground-Ordered-Resolution

First-Order-Clause.Grounded-Selection-Function

First-Order-Clause.Nonground-Inference

Saturation-Framework.Lifting-to-Non-Ground-Calculi

Polynomial-Factorization.Missing-List

begin

locale *grounded-ordered-resolution-calculus* =

ordered-resolution-calculus **where**

select = *select* **and** *welltyped* = *welltyped* **and** *term-from-ground* = *term-from-ground*
 $:: 't_G \Rightarrow 't +$

grounded-selection-function **where**

select = *select* **and**

atom-subst = $(\cdot t)$ **and**

atom-vars = *term.vars* **and**

atom-from-ground = *term.from-ground* **and**

atom-to-ground = *term.to-ground* **and**

$is_ground_instance = is_ground_instance$
for
 $select :: 't \Rightarrow select$ **and**
 $welltyped :: ('v :: infinite, 'ty) \Rightarrow var_types \Rightarrow 't \Rightarrow 'ty \Rightarrow bool$
begin

sublocale $ground$: $ground_ordered_resolution_calculus$ **where**
 $less_t = (\prec_{tG})$ **and** $select = select_G$
rewrites
 $multiset_extension.multiset_extension (\prec_{tG}) \ ground.literal_to_mset = (\prec_{lG})$ **and**
 $multiset_extension.multiset_extension (\prec_{lG}) (\lambda x. x) = (\prec_{cG})$ **and**
 $\bigwedge l_G C_G. \ ground.is_maximal\ l_G\ C_G \longleftrightarrow \ ground.is_maximal\ l_G\ C_G$ **and**
 $\bigwedge l_G C_G. \ ground.is_strictly_maximal\ l_G\ C_G \longleftrightarrow \ ground.is_strictly_maximal\ l_G\ C_G$
 $\langle proof \rangle$

abbreviation $is_inference_ground_instance_one_premise$ **where**
 $is_inference_ground_instance_one_premise\ D\ C\ \iota_G\ \gamma \equiv$
 $case\ (D, C)\ of\ ((\mathcal{V}', D), (\mathcal{V}, C)) \Rightarrow$
 $inference.is_ground\ (Infer\ [D]\ C \cdot \iota\ \gamma) \wedge$
 $\iota_G = inference.to_ground\ (Infer\ [D]\ C \cdot \iota\ \gamma) \wedge$
 $type_preserving_on\ (clause.vars\ C)\ \mathcal{V}\ \gamma \wedge$
 $\mathcal{V} = \mathcal{V}' \wedge$
 $infinite_variables_per_type\ \mathcal{V}$

abbreviation $is_inference_ground_instance_two_premises$ **where**
 $is_inference_ground_instance_two_premises\ D\ E\ C\ \iota_G\ \gamma\ \varrho_1\ \varrho_2 \equiv$
 $case\ (D, E, C)\ of\ ((\mathcal{V}_2, D), (\mathcal{V}_1, E), (\mathcal{V}_3, C)) \Rightarrow$
 $term.is_renaming\ \varrho_1 \wedge$
 $term.is_renaming\ \varrho_2 \wedge$
 $clause.vars\ (E \cdot \varrho_1) \cap clause.vars\ (D \cdot \varrho_2) = \{\} \wedge$
 $inference.is_ground\ (Infer\ [D \cdot \varrho_2, E \cdot \varrho_1]\ C \cdot \iota\ \gamma) \wedge$
 $\iota_G = inference.to_ground\ (Infer\ [D \cdot \varrho_2, E \cdot \varrho_1]\ C \cdot \iota\ \gamma) \wedge$
 $type_preserving_on\ (clause.vars\ C)\ \mathcal{V}_3\ \gamma \wedge$
 $infinite_variables_per_type\ \mathcal{V}_1 \wedge$
 $infinite_variables_per_type\ \mathcal{V}_2 \wedge$
 $infinite_variables_per_type\ \mathcal{V}_3$

abbreviation $is_inference_ground_instance$ **where**
 $is_inference_ground_instance\ \iota\ \iota_G\ \gamma \equiv$
 $(case\ \iota\ of$
 $\quad Infer\ [D]\ C \Rightarrow is_inference_ground_instance_one_premise\ D\ C\ \iota_G\ \gamma$
 $\quad | Infer\ [D, E]\ C \Rightarrow \exists\ \varrho_1\ \varrho_2. is_inference_ground_instance_two_premises\ D\ E\ C$
 $\quad \iota_G\ \gamma\ \varrho_1\ \varrho_2$
 $\quad | - \Rightarrow False)$
 $\wedge\ \iota_G \in ground.G_Inf$

definition $inference_ground_instances$ **where**
 $inference_ground_instances\ \iota = \{ \iota_G \mid \iota_G\ \gamma. is_inference_ground_instance\ \iota\ \iota_G\ \gamma \}$

lemma *is-inference-ground-instance:*

is-inference-ground-instance ι ι_G $\gamma \implies \iota_G \in \text{inference-ground-instances } \iota$
 $\langle \text{proof} \rangle$

lemma *is-inference-ground-instance-one-premise:*

assumes *is-inference-ground-instance-one-premise* D C ι_G γ $\iota_G \in \text{ground}.G\text{-Inf}$
shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D] \ C)$
 $\langle \text{proof} \rangle$

lemma *is-inference-ground-instance-two-premises:*

assumes *is-inference-ground-instance-two-premises* D E C ι_G γ ϱ_1 ϱ_2 $\iota_G \in \text{ground}.G\text{-Inf}$
shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D, E] \ C)$
 $\langle \text{proof} \rangle$

lemma *ground-inference-concl-in-ground-instances:*

assumes $\iota_G \in \text{inference-ground-instances } \iota$
shows *concl-of* $\iota_G \in \text{uncurried-ground-instances } (\text{concl-of } \iota)$
 $\langle \text{proof} \rangle$

lemma *ground-inference-red-in-ground-instances-of-concl:*

assumes $\iota_G \in \text{inference-ground-instances } \iota$
shows $\iota_G \in \text{ground}.Red\text{-I } (\text{uncurried-ground-instances } (\text{concl-of } \iota))$
 $\langle \text{proof} \rangle$

sublocale *lifting:*

tiebreaker-lifting
 $\perp_F$
inferences
ground.G-Bot
ground.G-entails
ground.G-Inf
ground.GRed-I
ground.GRed-F
uncurried-ground-instances
Some $\circ$ *inference-ground-instances*
typed-tiebreakers

$\langle \text{proof} \rangle$

end

context *ordered-resolution-calculus*

begin

abbreviation *grounded-inference-ground-instances* **where**

grounded-inference-ground-instances $\text{select}_G \equiv$
grounded-ordered-resolution-calculus.inference-ground-instances
 $(\odot) \text{ Var } (\cdot t) \text{ term.vars term.to-ground } (\prec_t) \text{ term.from-ground } \text{select}_G \text{ welltyped}$

```

sublocale
  lifting-intersection
  inferences
   $\{\{\#\}\}$ 
   $select_{Gs}$ 
   $ground\text{-}ordered\text{-}resolution\text{-}calculus.G\text{-}Inf\ (\prec_{tG})$ 
   $\lambda\text{-}. ground\text{-}ordered\text{-}resolution\text{-}calculus.G\text{-}entails$ 
   $ground\text{-}ordered\text{-}resolution\text{-}calculus.GRed\text{-}I\ (\prec_{tG})$ 
   $\lambda\text{-}. ground\text{-}ordered\text{-}resolution\text{-}calculus.GRed\text{-}F\ (\prec_{tG})$ 
   $\perp_F$ 
   $\lambda\text{-}. uncurried\text{-}ground\text{-}instances$ 
   $\lambda select_G. Some \circ grounded\text{-}inference\text{-}ground\text{-}instances\ select_G$ 
  typed-tiebreakers
 $\langle proof \rangle$ 

```

end

end

```

theory Ordered-Resolution-Soundness
  imports Grounded-Ordered-Resolution
begin

```

1.7 Soundness

```

context grounded-ordered-resolution-calculus
begin

```

notation $lifting.entails\text{-}\mathcal{G}$ (**infix** $\models_F$ 50)

```

lemma factoring-sound:
  assumes factoring: factoring  $C\ D$ 
  shows  $\{C\} \models_F \{D\}$ 
 $\langle proof \rangle$ 

```

```

lemma resolution-sound:
  assumes resolution: resolution  $D\ C\ R$ 
  shows  $\{C, D\} \models_F \{R\}$ 
 $\langle proof \rangle$ 

```

end

```

sublocale grounded-ordered-resolution-calculus  $\subseteq$  sound-inference-system inferences
 $\perp_F\ (\models_F)$ 
 $\langle proof \rangle$ 

```

```

sublocale ordered-resolution-calculus  $\subseteq$  sound-inference-system inferences  $\perp_F\ en\text{-}tails\text{-}\mathcal{G}$ 
 $\langle proof \rangle$ 

```

```

end
theory Ordered-Resolution-Completeness
  imports
    Grounded-Ordered-Resolution
    Ground-Ordered-Resolution-Completeness
begin

```

2 Completeness

```

context grounded-ordered-resolution-calculus
begin

```

2.1 Liftings

```

lemma factoring-lifting:
  fixes
     $D_G \ C_G :: 't_G \text{ clause}$  and
     $D \ C :: 't \text{ clause}$  and
     $\gamma :: 'v \Rightarrow 't$ 
  defines
     $[simp]: D_G \equiv \text{clause.to-ground } (D \cdot \gamma)$  and
     $[simp]: C_G \equiv \text{clause.to-ground } (C \cdot \gamma)$ 
  assumes
    ground-factoring:  $\text{ground.factoring } D_G \ C_G$  and
    D-grounding:  $\text{clause.is-ground } (D \cdot \gamma)$  and
    C-grounding:  $\text{clause.is-ground } (C \cdot \gamma)$  and
    select:  $\text{clause.from-ground } (\text{select}_G \ D_G) = (\text{select } D) \cdot \gamma$  and
    type-preserving- $\gamma$ :  $\text{type-preserving-on } (\text{clause.vars } D) \ \mathcal{V} \ \gamma$  and
     $\mathcal{V}$ : infinite-variables-per-type  $\mathcal{V}$ 
  obtains  $C'$ 
  where
    factoring  $(\mathcal{V}, D) \ (\mathcal{V}, C')$ 
    Infer  $[D_G] \ C_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}, D)]) \ (\mathcal{V}, C')$ 
     $C' \cdot \gamma = C \cdot \gamma$ 
  <proof>

```

```

lemma resolution-lifting:
  fixes
     $C_G \ D_G \ R_G :: 't_G \text{ clause}$  and
     $C \ D \ R :: 't \text{ clause}$  and
     $\gamma \ \varrho_1 \ \varrho_2 :: 'v \Rightarrow 't$  and
     $\mathcal{V}_1 \ \mathcal{V}_2 :: ('v, 'ty) \text{ var-types}$ 
  defines
     $[simp]: C_G \equiv \text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma)$  and
     $[simp]: D_G \equiv \text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma)$  and
     $[simp]: R_G \equiv \text{clause.to-ground } (R \cdot \gamma)$  and
     $[simp]: N_G \equiv \text{ground-instances } \mathcal{V}_1 \ C \cup \text{ground-instances } \mathcal{V}_2 \ D$  and
     $[simp]: \iota_G \equiv \text{Infer } [D_G, C_G] \ R_G$ 
  assumes

```

ground-resolution: *ground.resolution* $D_G C_G R_G$ **and**
 ϱ_1 : *term.is-renaming* ϱ_1 **and**
 ϱ_2 : *term.is-renaming* ϱ_2 **and**
rename-apart: *clause.vars* $(C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$ **and**
C-grounding: *clause.is-ground* $(C \cdot \varrho_1 \odot \gamma)$ **and**
D-grounding: *clause.is-ground* $(D \cdot \varrho_2 \odot \gamma)$ **and**
R-grounding: *clause.is-ground* $(R \cdot \gamma)$ **and**
select-from-C: *clause.from-ground* $(\text{select}_G C_G) = (\text{select } C) \cdot \varrho_1 \odot \gamma$ **and**
select-from-D: *clause.from-ground* $(\text{select}_G D_G) = (\text{select } D) \cdot \varrho_2 \odot \gamma$ **and**
type-preserving- ϱ_1 - γ : *type-preserving-on* $(\text{clause.vars } C) \mathcal{V}_1 (\varrho_1 \odot \gamma)$ **and**
type-preserving- ϱ_2 - γ : *type-preserving-on* $(\text{clause.vars } D) \mathcal{V}_2 (\varrho_2 \odot \gamma)$ **and**
type-preserving- ϱ_1 : *type-preserving-on* $(\text{clause.vars } C) \mathcal{V}_1 \varrho_1$ **and**
type-preserving- ϱ_2 : *type-preserving-on* $(\text{clause.vars } D) \mathcal{V}_2 \varrho_2$ **and**
 $\mathcal{V}_1$: *infinite-variables-per-type* $\mathcal{V}_1$ **and**
 $\mathcal{V}_2$: *infinite-variables-per-type* $\mathcal{V}_2$
obtains $R' \mathcal{V}_3$
where
resolution $(\mathcal{V}_2, D) (\mathcal{V}_1, C) (\mathcal{V}_3, R')$
 $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, C)] (\mathcal{V}_3, R'))$
 $R' \cdot \gamma = R \cdot \gamma$
 $\langle \text{proof} \rangle$

2.2 Ground instances

context

fixes $\iota_G N$

assumes

subst-stability: *subst-stability-on* N **and**

ι_G -*Inf-from*: $\iota_G \in \text{ground.Inf-from-q } \text{select}_G (\bigcup (\text{uncurried-ground-instances } N))$

begin

lemma *factoring-ground-instance*:

assumes *ground-factoring*: $\iota_G \in \text{ground.factoring-inferences}$

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$

$\langle \text{proof} \rangle$

lemma *resolution-ground-instance*:

assumes *ground-resolution*: $\iota_G \in \text{ground.resolution-inferences}$

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$

$\langle \text{proof} \rangle$

lemma *ground-instances*:

obtains ι **where**

```

     $\iota \in \text{Inf-from } N$ 
     $\iota_G \in \text{inference-ground-instances } \iota$ 
     $\langle \text{proof} \rangle$ 

end

end

context ordered-resolution-calculus
begin

lemma overapproximation:
  obtains  $\text{select}_G$  where
     $\text{ground-Inf-overapproximated } \text{select}_G \text{ premises}$ 
     $\text{is-grounding } \text{select}_G$ 
   $\langle \text{proof} \rangle$ 

sublocale statically-complete-calculus  $\perp_F$  inferences entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
   $\langle \text{proof} \rangle$ 

end

end
theory Ordered-Resolution-Welltypedness-Preservation
  imports Grounded-Ordered-Resolution
begin

context ordered-resolution-calculus
begin

lemma factoring-preserves-typing:
  assumes  $\text{factoring: factoring } (\mathcal{V}, C) (\mathcal{V}, D)$ 
  shows  $\text{clause.is-welltyped } \mathcal{V} C \longleftrightarrow \text{clause.is-welltyped } \mathcal{V} D$ 
   $\langle \text{proof} \rangle$ 

lemma resolution-preserves-typing:
  assumes
     $\text{resolution: resolution } (\mathcal{V}_2, D) (\mathcal{V}_1, C) (\mathcal{V}_3, R)$  and
     $D\text{-is-welltyped: clause.is-welltyped } \mathcal{V}_2 D$  and
     $C\text{-is-welltyped: clause.is-welltyped } \mathcal{V}_1 C$ 
  shows  $\text{clause.is-welltyped } \mathcal{V}_3 R$ 
   $\langle \text{proof} \rangle$ 

end

end
theory Untyped-Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order

```

First-Order-Clause.Nonground-Selection-Function
First-Order-Clause.Tiebreakers

Fresh-Identifiers.Fresh

begin

locale *untyped-ordered-resolution-calculus* =

nonground-order **where**

less_t = *less_t* **and** *Var* = *Var* **and** *term-from-ground* = *term-from-ground* :: '*t_G*
 $\Rightarrow$ '*t* +

nonground-selection-function **where**

select = *select* **and** *atom-subst* = ($\cdot$.*t*) **and** *atom-vars* = *term.vars* **and**

atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground*

and *Var* = *Var* +

tiebreakers *tiebreakers* +

term: exists-ingu **where** *vars* = *term-vars* **and** *subst* = ($\cdot$.*t*) **and** *id-subst* = *Var*

for

select :: '*t* *select* **and**

less_t :: '*t* $\Rightarrow$ '*t* $\Rightarrow$ *bool* **and**

tiebreakers :: ('*t_G*, '*t*) *tiebreakers* **and**

Var :: '*v* :: *infinite* $\Rightarrow$ '*t*

begin

inductive *factoring* :: '*t* *clause* $\Rightarrow$ '*t* *clause* $\Rightarrow$ *bool*

where

factoringI:

C = *add-mset* *L₁* (*add-mset* *L₂* *C'*) $\Rightarrow$

L₁ = (*Pos* *t₁*) $\Rightarrow$

L₂ = (*Pos* *t₂*) $\Rightarrow$

D = (*add-mset* *L₁* *C'*) $\cdot \mu \Rightarrow$

factoring *C* *D*

if

select *C* = {#}

is-maximal (*L₁* $\cdot$ *l* μ) (*C* $\cdot \mu$)

term.is-ingu μ {{*t₁*, *t₂*}}

inductive *resolution* :: '*t* *clause* $\Rightarrow$ '*t* *clause* $\Rightarrow$ '*t* *clause* $\Rightarrow$ *bool*

where

resolutionI:

C = *add-mset* *L₁* *C'* $\Rightarrow$

D = *add-mset* *L₂* *D'* $\Rightarrow$

L₁ = (*Neg* *t₁*) $\Rightarrow$

L₂ = (*Pos* *t₂*) $\Rightarrow$

R = (*C'* $\cdot \varrho_1$ + *D'* $\cdot \varrho_2$) $\cdot \mu \Rightarrow$

resolution *D* *C* *R*

if
term.is-renaming ϱ_1
term.is-renaming ϱ_2
 $clause.vars (C \cdot \varrho_1) \cap clause.vars (D \cdot \varrho_2) = \{\}$
term.is-imgu $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$
 $\neg (C \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
select $C = \{\#\} \implies is-maximal (L_1 \cdot l \varrho_1 \odot \mu) (C \cdot \varrho_1 \odot \mu)$
select $C \neq \{\#\} \implies is-maximal (L_1 \cdot l \varrho_1 \odot \mu) ((select\ C) \cdot \varrho_1 \odot \mu)$
select $D = \{\#\}$
is-strictly-maximal $(L_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$

abbreviation *factoring-inferences* **where**
factoring-inferences $\equiv \{ Infer\ [C]\ D \mid C\ D.\ factoring\ C\ D \}$

abbreviation *resolution-inferences* **where**
resolution-inferences $\equiv \{ Infer\ [D,\ C]\ R \mid D\ C\ R.\ resolution\ D\ C\ R \}$

definition *inferences* $:: 't\ clause\ inference\ set$ **where**
inferences $\equiv resolution-inferences \cup factoring-inferences$

abbreviation *bottom* $:: 't\ clause\ set$ **where**
bottom $\equiv \{\{\#\}\}$

end

end

theory *Untyped-Ordered-Resolution-Inference-System*

imports

Untyped-Ordered-Resolution
First-Order-Clause.Untyped-Calculus
Grounded-Ordered-Resolution

begin

context *untyped-ordered-resolution-calculus*

begin

sublocale *typed: ordered-resolution-calculus* **where**

welltyped $= \lambda - \cdot ().\ True$
 $\langle proof \rangle$

declare

typed.term.welltyped-renaming $[simp\ del]$
typed.term.welltyped-subst-stability $[simp\ del]$
typed.term.welltyped-subst-stability' $[simp\ del]$

abbreviation *entails* **where**

entails $N\ N' \equiv typed.entails\mathcal{G}\ (empty-typed\ 'N)\ (empty-typed\ 'N')$

sublocale *untyped-consequence-relation* **where**
 typed-bottom = $\perp_F$ **and** *typed-entails* = *typed.entails- $\mathcal{G}$* **and**
 bottom = *bottom* **and** *entails* = *entails*
<proof>

sublocale *untyped-inference-system* **where**
 inferences = *inferences* **and** *typed-inferences* = *typed.inferences*
<proof>

end

end
theory *Untyped-Ordered-Resolution-Completeness*
 imports
 Untyped-Ordered-Resolution-Inference-System
 Ordered-Resolution-Completeness
begin

context *untyped-ordered-resolution-calculus*
begin

abbreviation *Red-F* **where**
 Red-F N $\equiv$ *snd* ‘ *typed.Red-F- $\mathcal{G}$* (*empty-typed* ‘ *N*)

abbreviation *Red-I* **where**
 Red-I N $\equiv$ *remove-types* ‘ *typed.Red-I- $\mathcal{G}$* (*empty-typed* ‘ *N*)

sublocale *untyped-complete-calculus* **where**
 typed-bottom = $\perp_F$ **and** *typed-entails* = *typed.entails- $\mathcal{G}$* **and**
 typed-inferences = *typed.inferences* **and** *typed-Red-I* = *typed.Red-I- $\mathcal{G}$* **and**
 typed-Red-F = *typed.Red-F- $\mathcal{G}$* **and** *bottom* = *bottom* **and** *inferences* = *inferences*
and *Red-F* = *Red-F* **and**
 Red-I = *Red-I* **and** *entails* = *entails*
<proof>

end

end
theory *Untyped-Ordered-Resolution-Soundness*
 imports
 Untyped-Ordered-Resolution-Inference-System
 Ordered-Resolution-Soundness
begin

context *untyped-ordered-resolution-calculus*
begin

sublocale *untyped-sound-inference-system* **where**

```

    typed-bottom =  $\perp_F$  and typed-entails = typed.entails- $\mathcal{G}$  and
    typed-inferences = typed.inferences and bottom = bottom and inferences = in-
    ferences and
    entails = entails
    <proof>

end

end

theory Monomorphic-Ordered-Resolution
  imports
    Ordered-Resolution

    First-Order-Clause.IsaFoR-Nonground-Clause
    First-Order-Clause.Monomorphic-Typing
begin

locale monomorphic-ordered-resolution-calculus =
  monomorphic-term-typing +

  ordered-resolution-calculus where
    comp-subst = ( $\circ_s$ ) and Var = Var and term-subst = ( $\cdot$ ) and term-vars =
    term.vars and
    term-from-ground = term.from-ground and term-to-ground = term.to-ground
    and welltyped = welltyped

end

theory Ordered-Resolution-Example
  imports
    Monomorphic-Ordered-Resolution

    First-Order-Clause.IsaFoR-KBO
begin

hide-type Uprod-Literal-Functor.clause

abbreviation trivial-tiebreakers ::
  'f gterm clause  $\Rightarrow$  ('f,'v) term clause  $\Rightarrow$  ('f,'v) term clause  $\Rightarrow$  bool where
  trivial-tiebreakers  $\equiv \perp$ 

abbreviation trivial-select :: 'a clause  $\Rightarrow$  'a clause where
  trivial-select -  $\equiv \{\#\}$ 

abbreviation unit-typing where
  unit-typing -  $\equiv$  Some ( $\square$ ,  $()$ )

interpretation unit-types: witnessed-monomorphic-term-typing where  $\mathcal{F} = \text{unit-typing}$ 
  <proof>

```

interpretation *example1: monomorphic-ordered-resolution-calculus* **where**
select = trivial-select :: (('f :: weighted , 'v :: infinite) term) select **and**
less_t = less-kbo **and**
 $\mathcal{F}$ = unit-typing **and**
tiebreakers = trivial-tiebreakers
 ⟨*proof*⟩

instantiation *nat :: infinite*
begin

instance
 ⟨*proof*⟩

end

datatype *type = A | B*

abbreviation *types :: nat $\Rightarrow$ nat $\Rightarrow$ (type list $\times$ type) option* **where**
types f n $\equiv$
let type = if even f then A else B
in Some (replicate n type, type)

interpretation *example-types: witnessed-monomorphic-term-typing* **where** *$\mathcal{F} =$*
types
 ⟨*proof*⟩

interpretation *example2: monomorphic-ordered-resolution-calculus* **where**
select = trivial-select :: (nat, nat) term select **and**
less_t = less-kbo **and**
 $\mathcal{F} = types$ **and**
tiebreakers = trivial-tiebreakers
 ⟨*proof*⟩

end