

Typed Ordered Resolution

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Abstract

Ordered Resolution is a proof calculus for reasoning about first-order logic that is implemented in many automatic theorem provers. It works by saturating the given set of clauses and is refutationally complete, meaning that if the set is inconsistent, the saturation will contain a contradiction. In this formalization, we restructured the completeness proof to cleanly separate the ground (i.e., variable-free) and nonground aspects. We also added a type system to the calculus. We relied on the library for first-order clauses and on the saturation framework.

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theory	<i>Ground-Ordered-Resolution</i>	
imports		
	<i>First-Order-Clause.Selection-Function</i>	
	<i>First-Order-Clause.Ground-Order</i>	
	<i>First-Order-Clause.Literal-Functor</i>	
begin		

1 Resolution Calculus

locale *ground-ordered-resolution-calculus* =

ground-order **where** $less_t = less_t +$
 selection-function $select$
for
 $less_t :: 't \Rightarrow 't \Rightarrow bool$ **and**
 $select :: 't \text{ clause} \Rightarrow 't \text{ clause}$
begin

1.1 Resolution Calculus

inductive $resolution :: 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$
where

$resolutionI:$
 $C = add-mset L_C C' \Longrightarrow$
 $D = add-mset L_D D' \Longrightarrow$
 $L_C = (Neg t) \Longrightarrow$
 $L_D = (Pos t) \Longrightarrow$
 $D \prec_c C \Longrightarrow$
 $select C = \{\#\} \wedge is-maximal L_C C \vee is-maximal L_C (select C) \Longrightarrow$
 $select D = \{\#\} \Longrightarrow$
 $is-strictly-maximal L_D D \Longrightarrow$
 $R = (C' + D') \Longrightarrow$
 $resolution D C R$

inductive $factoring ::$
 $'t \text{ clause} \Rightarrow 't \text{ clause} \Rightarrow bool$

where
 $factoringI:$
 $C = add-mset L_1 (add-mset L_1 C') \Longrightarrow$
 $L_1 = (Pos t) \Longrightarrow$
 $select C = \{\#\} \Longrightarrow$
 $is-maximal L_1 C \Longrightarrow$
 $D = add-mset L_1 C' \Longrightarrow$
 $factoring C D$

1.2 Ground Layer

abbreviation $resolution-inferences$ **where**

$resolution-inferences \equiv \{Infer [D, C] R \mid D C R. resolution D C R\}$

abbreviation $factoring-inferences$ **where**

$factoring-inferences \equiv \{Infer [C] D \mid C D. factoring C D\}$

definition $G-Inf :: 't \text{ clause inference set}$ **where**

$G-Inf =$
 $\{Infer [D, C] R \mid D C R. resolution D C R\} \cup$
 $\{Infer [P] C \mid P C. factoring P C\}$

abbreviation $G-Bot :: 't \text{ clause set}$ **where**

$G-Bot \equiv \{\{\#\}\}$

definition $G\text{-entails} :: 't \text{ clause set} \Rightarrow 't \text{ clause set} \Rightarrow \text{bool}$ **where**
 $G\text{-entails } N_1 \ N_2 \longleftrightarrow (\forall \ I. \ I \models_s N_1 \longrightarrow I \models_s N_2)$

1.3 Smaller Conclusions

lemma *ground-resolution-smaller-conclusion:*

assumes

step: resolution D C R

shows $R \prec_c C$

using *step*

proof (*cases D C R rule: resolution.cases*)

case (*resolutionI L_C C' L_D D' t*)

have $\forall k \in \#D'. k \prec_l \text{Pos } t$

using *is-strictly-maximal L_D D* $\langle D = \text{add-mset } L_D \ D \rangle$

using *is-strictly-maximal-def local.resolutionI(4)*

by *fastforce*

moreover have $\bigwedge A. \text{Pos } A \prec_l \text{Neg } A$

unfolding *literal.order.multiset-extension-def*

by *auto*

ultimately have $\forall k \in \#D'. k \prec_l \text{Neg } t$

using *literal.order.order.strict-trans*

by *blast*

hence $D' \prec_c \{\# \text{Neg } t \#\}$

using *one-step-implies-multp[of \{\#Neg t\# \} D' (\prec_l) \{\#\}]*

by (*simp add: less_c-def*)

hence $D' + C' \prec_c \text{add-mset } (\text{Neg } t) \ C'$

using *multp-cancel[of (\prec_l) C' D' \{\#Neg t\# \}]*

using *less_c-def* **by** *force*

thus *?thesis*

unfolding *resolutionI*

by (*simp only: add commute*)

qed

lemma *ground-factoring-smaller-conclusion:*

assumes *step: factoring C D*

shows $D \prec_c C$

using *step*

proof (*cases C D rule: factoring.cases*)

case (*factoringI L₁ C' t*)

have $D = \text{add-mset } L_1 \ C'$

using *factoringI*

by *argo*

```

then show ?thesis
  by (metis (lifting) add.comm-neutral add-mset-add-single add-mset-not-empty
    clause.order.multiset-extension-def empty-iff local.factoringI(1)
    one-step-implies-multp set-mset-empty)
qed

end

```

1.4 Redundancy Criterion

sublocale *ground-ordered-resolution-calculus* $\subseteq$ *consequence-relation* **where**

Bot = *G-Bot* **and**
entails = *G-entails*

proof *unfold-locales*

```

  show G-Bot  $\neq$  {}
    by simp
next

```

```

  show  $\bigwedge B N. B \in G\text{-Bot} \implies G\text{-entails } \{B\} N$ 
    by (simp add: G-entails-def)
next

```

```

  show  $\bigwedge N2 N1. N2 \subseteq N1 \implies G\text{-entails } N1 N2$ 
    by (auto simp: G-entails-def elim!: true-clss-mono[rotated])
next
  fix N1 N2
  assume ball-G-entails:  $\forall C \in N2. G\text{-entails } N1 \{C\}$ 

```

```

  show G-entails N1 N2
    unfolding G-entails-def
  proof (intro allI impI)
    fix I :: 't set
    assume I  $\models_s N1$ 

```

```

    hence  $\forall C \in N2. I \models_s \{C\}$ 
    using ball-G-entails
    by (simp add: G-entails-def)

```

```

    then show I  $\models_s N2$ 
      by (simp add: true-clss-def)

```

```

qed
next

```

```

  show  $\bigwedge N1 N2 N3. G\text{-entails } N1 N2 \implies G\text{-entails } N2 N3 \implies G\text{-entails } N1 N3$ 
    using G-entails-def
    by simp
qed

```

```

sublocale ground-ordered-resolution-calculus  $\subseteq$  calculus-with-finitary-standard-redundancy
where
  Inf = G-Inf and
  Bot = G-Bot and
  entails = G-entails and
  less =  $(\prec_c)$ 
  defines GRed-I = Red-I and GRed-F = Red-F
proof unfold-locales

  show transp  $(\prec_c)$ 
    by simp
next

  show wfP  $(\prec_c)$ 
    by auto
next

  show  $\bigwedge \iota. \iota \in G\text{-Inf} \implies \text{prems-of } \iota \neq []$ 
    by (auto simp: G-Inf-def)
next
  fix  $\iota$ 

  have concl-of  $\iota \prec_c$  main-prem-of  $\iota$ 
    if  $\iota\text{-def}: \iota = \text{Infer } [D, C] R$  and
      infer: resolution D C R
    for  $D C R$ 
    unfolding  $\iota\text{-def}$ 
    using infer
    using ground-resolution-smaller-conclusion
    by simp

  moreover have concl-of  $\iota \prec_c$  main-prem-of  $\iota$ 
    if  $\iota\text{-def}: \iota = \text{Infer } [P] C$  and
      infer: factoring P C
    for  $P C$ 
    unfolding  $\iota\text{-def}$ 
    using infer
    using ground-factoring-smaller-conclusion
    by simp

  ultimately show  $\iota \in G\text{-Inf} \implies \text{concl-of } \iota \prec_c \text{main-prem-of } \iota$ 
    unfolding G-Inf-def
    by fast
qed

end
theory Relation-Extra
  imports Main

```

```

begin

lemma partition-set-around-element:
  assumes tot: totalp-on N R and x-in: x ∈ N
  shows N = {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
proof (intro Set.equalityI Set.subsetI)
  fix z assume z ∈ N
  hence R z x ∨ z = x ∨ R x z
    using tot[THEN totalp-onD] x-in by auto
  thus z ∈ {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
    using ‹z ∈ N› by auto
next
  fix z assume z ∈ {y ∈ N. R y x} ∪ {x} ∪ {y ∈ N. R x y}
  hence z ∈ N ∨ z = x
    by auto
  thus z ∈ N
    using x-in by auto
qed

end

theory Ground-Ordered-Resolution-Completeness
  imports
    Ground-Ordered-Resolution
    Relation-Extra
    First-Order-Clause.HOL-Extra
begin

```

1.5 Mode Construction

```

context ground-ordered-resolution-calculus
begin

context
  fixes N :: 't clause set
begin

function epsilon :: 't clause ⇒ 't set where
  epsilon C = {A | A C'.
    C ∈ N ∧
    C = add-mset (Pos A) C' ∧
    select C = {#} ∧
    is-strictly-maximal (Pos A) C ∧
    ¬ (⋃ D ∈ {D ∈ N. D <_c C}. epsilon D) ⊨ C}
  by auto

termination epsilon
proof (relation {(x, y). x <_c y})
  show wf {(x, y). x <_c y}
    using wfp-def by blast

```

```

next
  show  $\bigwedge C D. D \in \{D \in N. D \prec_c C\} \implies (D, C) \in \{(x, y). x \prec_c y\}$ 
    by simp
qed

declare epsilon.simps[simp del]

end

lemma epsilon-eq-empty-or-singleton:  $\epsilon N C = \{\} \vee (\exists A. \epsilon N C = \{A\})$ 
proof –

  have  $\exists_{\leq 1} A. \text{is-strictly-maximal } (Pos A) C$ 
    by (metis (mono-tags, lifting) Uniq-def literal.inject(1)
      literal.order.Uniq-is-strictly-maximal-in-mset)

  hence  $\exists_{\leq 1} A. \exists C'.$ 
     $C = \text{add-mset } (Pos A) C' \wedge \text{is-strictly-maximal } (Pos A) C$ 
    by (simp add: Uniq-def)

  hence Uniq-epsilon:  $\exists_{\leq 1} A. \exists C'.$ 
     $C \in N \wedge$ 
     $C = \text{add-mset } (Pos A) C' \wedge$ 
     $\text{select } C = \{\#\} \wedge$ 
     $\text{is-strictly-maximal } (Pos A) C \wedge$ 
     $\neg (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon N D) \models C$ 
    using Uniq-antimono'
    by (smt (verit) Uniq-def Uniq-prodI case-prod-conv)

  show ?thesis
    unfolding epsilon.simps[of N C]
    using Collect-eq-if-Uniq[OF Uniq-epsilon]
    by (smt (verit, best) Collect-cong Collect-empty-eq Uniq-def Uniq-epsilon case-prod-conv
      insertCI mem-Collect-eq)
qed

definition rewrite-sys where
   $\text{rewrite-sys } N C \equiv (\bigcup D \in \{D \in N. D \prec_c C\}. \epsilon N D)$ 

lemma rewrite-sys-subset-if-less-cls:  $C \prec_c D \longrightarrow \text{rewrite-sys } N C \subseteq \text{rewrite-sys } N D$ 
  unfolding rewrite-sys-def
  by fastforce

lemma mem-epsilonE:
  assumes rule-in:  $A \in \epsilon N C$ 
  obtains  $C'$  where

```

$C \in N$ **and**
 $C = \text{add-mset } (\text{Pos } A) \ C'$ **and**
 $\text{select } C = \{\#\}$ **and**
 $\text{is-strictly-maximal } (\text{Pos } A) \ C$ **and**
 $\neg \text{rewrite-sys } N \ C \models C$
using *rule-in*
unfolding *epsilon.simps[of N C] mem-Collect-eq Let-def rewrite-sys-def*
by (*metis (no-types, lifting)*)

lemma *epsilon-unfold*: $\text{epsilon } N \ C = \{A \mid A \ C'\}$.
 $C \in N \wedge$
 $C = \text{add-mset } (\text{Pos } A) \ C' \wedge$
 $\text{select } C = \{\#\} \wedge$
 $\text{is-strictly-maximal } (\text{Pos } A) \ C \wedge$
 $\neg \text{rewrite-sys } N \ C \models C$
by (*simp add: epsilon.simps[of N C] rewrite-sys-def*)

lemma *epsilon-subset-if-less-cls*: $C \prec_c D \implies \text{epsilon } N \ C \subseteq \text{rewrite-sys } N \ D$
unfolding *rewrite-sys-def*
using *epsilon-unfold*
by *blast*

lemma
assumes
 $D \preceq_c C$ **and**
 $C\text{-prod}: A \in \text{epsilon } N \ C$ **and**
 $L\text{-in}: L \in \# \ D$
shows
 $\text{lesseq-trm-if-pos}: \text{is-pos } L \implies \text{atm-of } L \preceq_t A$ **and**
 $\text{less-trm-if-neg}: \text{is-neg } L \implies \text{atm-of } L \prec_t A$
proof –
from $C\text{-prod}$ **obtain** C' **where**
 $C\text{-def}: C = \text{add-mset } (\text{Pos } A) \ C'$ **and**
 $C\text{-max-lit}: \text{is-strictly-maximal } (\text{Pos } A) \ C$
by (*auto elim: mem-epsilonE*)

have $\text{Pos } A \prec_l L$ **if** $\text{is-pos } L$ **and** $\neg \text{atm-of } L \preceq_t A$
proof –

from *that(2)* **have** $A \prec_t \text{atm-of } L$
by *order*

hence $\text{multp } (\prec_t) \ \{\#A\# \} \ \{\#\text{atm-of } L\#\}$
by *auto*

with *that(1)* **show** $\text{Pos } A \prec_l L$
by (*metis (no-types, lifting) Pos-atm-of-iff less_l-def literal-to-mset.simps(1)*)
qed

moreover have $\text{Pos } A \prec_l L$ if $\text{is-neg } L$ and $\neg \text{atm-of } L \prec_t A$
 proof –

from $\text{that}(2)$ have $A \preceq_t \text{atm-of } L$
 by *order*

hence $\text{multp } (\prec_t) \{ \#A\# \} \{ \# \text{atm-of } L, \text{atm-of } L\# \}$
 by *auto*

with $\text{that}(1)$ show $\text{Pos } A \prec_l L$
 by $(\text{cases } L) (\text{simp-all add: less}_l\text{-def})$
 qed

moreover have False if $\text{Pos } A \prec_l L$
 proof –

have $C \prec_c D$
 unfolding *less_c-def*
 proof $(\text{rule multp-if-maximal-of-lhs-is-less})$

show $\text{Pos } A \in\# C$
 by $(\text{simp add: } C\text{-def})$
 next

show $L \in\# D$
 using *L-in*
 by *simp*
 next

show $\text{is-maximal } (\text{Pos } A) C$
 using *C-max-lit*
 by *auto*
 next

show $\text{Pos } A \prec_l L$
 using *that*
 by *simp*
 qed *simp-all*

with $\langle D \preceq_c C \rangle$ show False
 by *order*
 qed

ultimately show $\text{is-pos } L \implies \text{atm-of } L \preceq_t A$ and $\text{is-neg } L \implies \text{atm-of } L \prec_t A$
 by *metis+*
 qed

lemma *less-trm-iff-less-cls-if-mem-epsilon*:

assumes $C\text{-prod}: A_C \in \text{epsilon } N \ C$ **and** $D\text{-prod}: A_D \in \text{epsilon } N \ D$
shows $A_C \prec_t A_D \iff C \prec_c D$

proof –

from $C\text{-prod}$

obtain C' **where**

$C \in N$ **and**

$C\text{-def}: C = \text{add-mset } (\text{Pos } A_C) \ C'$ **and**

$\text{is-strictly-maximal } (\text{Pos } A_C) \ C$

by $(\text{auto elim!}: \text{mem-epsilon } E)$

hence $\forall L \in \# \ C'. \ L \prec_l \text{Pos } A_C$

unfolding $\text{is-strictly-maximal-def}$

by auto

from $D\text{-prod}$

obtain D' **where**

$D \in N$ **and**

$D\text{-def}: D = \text{add-mset } (\text{Pos } A_D) \ D'$ **and**

$\text{is-strictly-maximal } (\text{Pos } A_D) \ D$

by $(\text{auto elim!}: \text{mem-epsilon } E)$

hence $\forall L \in \# \ D'. \ L \prec_l \text{Pos } A_D$

unfolding $\text{is-strictly-maximal-def}$

by auto

show $?thesis$

proof (rule iffI)

assume $A_C \prec_t A_D$

hence $\text{Pos } A_C \prec_l \text{Pos } A_D$

by $(\text{simp add}: \text{less}_l\text{-def})$

moreover hence $\forall L \in \# \ C'. \ L \prec_l \text{Pos } A_D$

using $\langle \forall L \in \# \ C'. \ L \prec_l \text{Pos } A_C \rangle$

by fastforce

ultimately show $C \prec_c D$

using $\text{one-step-implies-multp}[of \ D \ C - \ \{\#\}] \ \text{less}_c\text{-def}$

by $(\text{simp add}: D\text{-def } C\text{-def})$

next

assume $C \prec_c D$

hence $\text{epsilon } N \ C \subseteq (\bigcup (\text{epsilon } N \ ' \ \{x \in N. \ x \prec_c D\}))$

using $\langle C \in N \rangle$

by auto

hence $A_C \in (\bigcup (\text{epsilon } N \ ' \ \{x \in N. \ x \prec_c D\}))$

using $C\text{-prod}$

by auto

hence $A_C \neq A_D$
 by (*metis D-prod rewrite-sys-def mem-epsilonE true-cls-add-mset true-lit-iff*)

moreover have $\neg (A_D \prec_t A_C)$
 proof (*rule notI*)
 assume $A_D \prec_t A_C$

then have $Pos\ A_D \prec_l Pos\ A_C$
 by (*simp add: lessl-def*)

moreover have $\forall L \in \# D'. L \prec_l Pos\ A_C$
 using $\langle \forall L \in \# D'. L \prec_l Pos\ A_D \rangle$
 using *calculation literal.order.order.strict-trans*
 by *blast*

ultimately have $D \prec_c C$
 using *one-step-implies-multp*[of $C\ D - \{\#\}$] *lessc-def*
 by (*simp add: D-def C-def*)

thus *False*
 using $\langle C \prec_c D \rangle$
 by *order*
 qed

ultimately show $A_C \prec_t A_D$
 by *order*
 qed

lemma *false-cls-if-productive-epsilon*:
 assumes *C-prod*: $A \in \epsilon N\ C$ and $D \in N$ and $C \prec_c D$
 shows $\neg \text{rewrite-sys } N\ D \models C - \{\#Pos\ A\ \}$
 proof –

from *C-prod* obtain C' where
C-in: $C \in N$ and
C-def: $C = \text{add-mset } (Pos\ A)\ C'$ and
select $C = \{\#\}$ and
Pox-A-max: *is-strictly-maximal* $(Pos\ A)\ C$ and
 $\neg \text{rewrite-sys } N\ C \models C$
 by (*rule mem-epsilonE*) *blast*

from $\langle D \in N \rangle \langle C \prec_c D \rangle$ have $A \in \text{rewrite-sys } N\ D$
 using *C-prod epsilon-subset-if-less-cls*
 by *auto*

from $\langle D \in N \rangle$ have $\text{rewrite-sys } N\ D \subseteq (\bigcup D \in N. \epsilon N\ D)$
 by (*auto simp: rewrite-sys-def*)

```

have  $\neg \text{rewrite-sys } N \ D \models C'$ 
  unfolding true-cls-def Set.bex-simps
proof (intro ballI)
  fix  $L$ 
  assume  $L\text{-in}: L \in\# C'$ 

hence  $L \in\# C$ 
  by (simp add: C-def)

have  $C' \prec_c C$ 
  by (metis (mono-tags, lifting) C-def add.comm-neutral
    add-mset-add-single add-mset-not-empty
clause.order.multiset-extension-def empty-iff
one-step-implies-multp set-mset-empty)

hence  $C' \preceq_c C$ 
  by order

show  $\neg \text{rewrite-sys } N \ D \models_l L$ 
proof (cases L)
  case (Pos AL)

    moreover have  $A_L \notin \text{rewrite-sys } N \ D$ 
    proof –

      have  $\forall y \in\# C'. y \prec_l \text{Pos } A$ 
      using Pox-A-max C-def is-strictly-maximal-def
      by auto

      with Pos have  $A_L \notin \text{insert } A (\text{rewrite-sys } N \ C)$ 
      using  $L\text{-in} \langle \neg \text{rewrite-sys } N \ C \models C \rangle C\text{-def}$ 
      by blast

      moreover have  $A_L \notin (\bigcup D' \in \{D' \in N. C \prec_c D' \wedge D' \prec_c D\}. \text{epsilon } N$ 
     $D')$ 
      proof –
        have  $A_L \preceq_t A$ 
        using Pos lesseq-trm-if-pos[OF C'  $\preceq_c$  C] C-prod L  $\in\#$  C']
        by simp

        thus ?thesis
        using less-trm-iff-less-cls-if-mem-epsilon
        using C-prod calculation rewrite-sys-def
        by fastforce
      qed

    moreover have  $\text{rewrite-sys } N \ D =$ 
     $\text{insert } A (\text{rewrite-sys } N \ C) \cup (\bigcup D' \in \{D' \in N. C \prec_c D' \wedge D' \prec_c D\}.$ 

```

```

epsilon N D')
  proof -

    have rewrite-sys N D = (⋃ D' ∈ {D' ∈ N. D' ≺c D}. epsilon N D')
      by (simp only: rewrite-sys-def)

    also have
      ... = (⋃ D' ∈ {D' ∈ {y ∈ N. y ≺c C} ∪ {C} ∪ {y ∈ N. C ≺c y}. D'
        ≺c D}. epsilon N D')
        using C-in clause.order.antisym-conv3
        by auto

    also have
      ... = (⋃ D' ∈ {y ∈ N. y ≺c C ∧ y ≺c D} ∪ {C} ∪ {y ∈ N. C ≺c y ∧
        y ≺c D}. epsilon N D')
        using ⟨C ≺c D⟩
        by auto

    also have ... = (⋃ D' ∈ {y ∈ N. y ≺c C} ∪ {C} ∪ {y ∈ N. C ≺c y ∧ y
        ≺c D}. epsilon N D')
        by (metis (lifting) assms(3) clause.order.order.strict-trans)

    also have
      ... = rewrite-sys N C ∪ epsilon N C ∪ (⋃ D' ∈ {y ∈ N. C ≺c y ∧ y ≺c
        D}. epsilon N D')
        by (auto simp: rewrite-sys-def)

    finally show ?thesis
      using C-prod
      by (metis (no-types, lifting) empty-iff insertE insert-is-Un
        epsilon-eq-empty-or-singleton sup-commute)
  qed

  ultimately show ?thesis
    by simp
  qed
  ultimately show ?thesis
    by simp
next
case (Neg AL)

  moreover have AL ∈ rewrite-sys N D
  using Neg ⟨L ∈ # C⟩ ⟨C ≺c D⟩ ⟨¬ rewrite-sys N C ⊨ C⟩ rewrite-sys-subset-if-less-cls
  by blast

  ultimately show ?thesis
    by simp
  qed
  qed

```

```

thus  $\neg \text{rewrite-sys } N D \models C - \{\#Pos A\# \}$ 
by (simp add: C-def)
qed

lemma neg-notin-Interp-not-produce:
   $Neg A \in \# C \implies A \notin \text{rewrite-sys } N D \cup \text{epsilon } N D \implies C \preceq_c D \implies A \notin$ 
 $\text{epsilon } N D''$ 
by (smt (verit, del-insts) Neg-atm-of-iff UN-I Un-iff clause.order.order.strict-trans1
clause.order.not-less less-trm-if-neg literal.sel(2) mem-Collect-eq mem-epsilonE

rewrite-sys-def term.order.order.asym)

lemma lift-interp-entails:
assumes
  D-in:  $D \in N$  and
  D-entailed:  $\text{rewrite-sys } N D \models D$  and
  C-in:  $C \in N$  and
  D-lt-C:  $D \prec_c C$ 
shows  $\text{rewrite-sys } N C \models D$ 
proof –

  from D-entailed obtain L A where
    L-in:  $L \in \# D$  and
    L-eq-disj-L-eq:  $L = Pos A \wedge A \in \text{rewrite-sys } N D \vee L = Neg A \wedge A \notin \text{rewrite-sys}$ 
 $N D$ 
    unfolding true-cls-def true-lit-iff
    by metis

  have  $\text{rewrite-sys } N D \subseteq \text{rewrite-sys } N C$ 
    using D-lt-C rewrite-sys-subset-if-less-cls
    by auto

  from L-eq-disj-L-eq
  show  $\text{rewrite-sys } N C \models D$ 
  proof (elim disjE conjE)
    assume  $L = Pos A$  and  $A \in \text{rewrite-sys } N D$ 

    thus  $\text{rewrite-sys } N C \models D$ 
      using L-in  $\langle \text{rewrite-sys } N D \subseteq \text{rewrite-sys } N C \rangle$ 
      by auto

  next
    assume L:  $L = Neg A$  and A:  $A \notin \text{rewrite-sys } N D$ 

    have  $A \notin \text{rewrite-sys } N C$ 
    proof (cases A ∈ epsilon N C)
      case True

      then show ?thesis

```

```

    by (meson mem-epsilonE pos-literal-in-imp-true-cls strictly-maximal-in-clause)
  next
    case False

    then have  $A \notin \text{epsilon } N D$ 
      using  $D\text{-entailed mem-epsilonE}$ 
      by blast

    then show ?thesis
      using neg-notin-Interp-not-produce  $A L\text{-in}$ 
      unfolding rewrite-sys-def  $L$ 
      by blast
  qed

  thus  $\text{rewrite-sys } N C \models D$ 
    using  $L\text{-in } \langle L = \text{Neg } A \rangle$ 
    by blast
  qed
qed

lemma produces-imp-in-interp:
  assumes  $\text{Neg } A \in\# C$  and  $D\text{-prod}: A \in \text{epsilon } N D$ 
  shows  $A \in \text{rewrite-sys } N C$ 
proof -
  from  $D\text{-prod}$  have  $\text{Pos } A \in\# D$  and  $\text{is-strictly-maximal } (\text{Pos } A) D$ 
    by (auto elim: mem-epsilonE)

  have  $D \prec_c C$ 
    unfolding lessc-def
  proof (rule multp-if-maximal-of-lhs-is-less)

    show  $\text{Pos } A \in\# D$ 
      using  $\langle \text{Pos } A \in\# D \rangle$  .
    next

    show  $\text{Neg } A \in\# C$ 
      using  $\langle \text{Neg } A \in\# C \rangle$  .
    next

    show  $\text{Pos } A \prec_l \text{Neg } A$ 
      by (simp add: lessl-def)
    next

    show  $\text{is-maximal } (\text{Pos } A) D$ 
      using  $\langle \text{is-strictly-maximal } (\text{Pos } A) D \rangle$ 
      by auto
  qed simp-all

  hence  $\neg (\prec_c) == C D$ 

```

```

    using clause.order.not-less
    by blast

  thus ?thesis
  proof (rule contrapos-np)

    from D-prod show  $A \notin \text{rewrite-sys } N \ C \implies (\prec_c)^{==} \ C \ D$ 
    using  $\langle D \prec_c C \rangle$  epsilon-subset-if-less-cls
    by blast
  qed
qed

lemma split-Union-epsilon:
  assumes D-in:  $D \in N$ 
  shows  $(\bigcup C \in N. \text{epsilon } N \ C) =$ 
     $\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$ 
  proof -

    have  $N = \{C \in N. C \prec_c D\} \cup \{D\} \cup \{C \in N. D \prec_c C\}$ 
    proof (rule partition-set-around-element)

      show totalp-on  $N \ (\prec_c)$ 
      using clause.order.totalp-on-less .
    next

      show  $D \in N$ 
      using D-in
      by simp
    qed

    hence  $(\bigcup C \in N. \text{epsilon } N \ C) =$ 
       $(\bigcup C \in \{C \in N. C \prec_c D\}. \text{epsilon } N \ C) \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$ 
    by auto

    thus  $(\bigcup C \in N. \text{epsilon } N \ C) =$ 
       $\text{rewrite-sys } N \ D \cup \text{epsilon } N \ D \cup (\bigcup C \in \{C \in N. D \prec_c C\}. \text{epsilon } N \ C)$ 
    by (simp add: rewrite-sys-def)
  qed

lemma split-Union-epsilon':
  assumes D-in:  $D \in N$ 
  shows  $(\bigcup C \in N. \text{epsilon } N \ C) = \text{rewrite-sys } N \ D \cup (\bigcup C \in \{C \in N. D \preceq_c C\}. \text{epsilon } N \ C)$ 
  using split-Union-epsilon[OF D-in] D-in
  by auto

lemma lift-entailment-to-Union:
  fixes  $N \ D$ 

```

```

assumes
  D-in:  $D \in N$  and
  RD-entails-D: rewrite-sys  $N D \models D$ 
shows
   $(\bigcup C \in N. \textit{epsilon } N C) \models D$ 
using lift-interp-entails
by (smt (verit, best) D-in RD-entails-D UN-iff produces-imp-in-interp split-Union-epsilon'
    subsetD sup-ge1 true-cls-def true-lit-iff)

lemma true-cls-if-productive-epsilon:
assumes  $A \in \textit{epsilon } N C C \prec_c D$ 
shows rewrite-sys  $N D \models C$ 
by (meson assms epsilon-subset-if-less-cls mem-epsilonE in-mono is-strictly-maximal-def
  pos-literal-in-imp-true-cls)

lemma model-preconstruction:
fixes
   $N :: 't \textit{ clause set}$  and
   $C :: 't \textit{ clause}$ 
defines
  entails  $\equiv \lambda E C. E \models C$ 
assumes saturated  $N$  and  $\{\#\} \notin N$  and C-in:  $C \in N$ 
shows
   $\textit{epsilon } N C = \{\} \longleftrightarrow \textit{entails } (\textit{rewrite-sys } N C) C$ 
   $(\bigcup D \in N. \textit{epsilon } N D) \models C$ 
   $D \in N \implies C \prec_c D \implies \textit{entails } (\textit{rewrite-sys } N D) C$ 
unfolding atomize-all atomize-conj atomize-imp
using clause.order.wfp C-in
proof (induction  $C$  arbitrary:  $D$  rule: wfp-induct-rule)
case (less  $C$ )
note  $IH = \textit{less.IH}$ 

from  $\langle \{\#\} \notin N \rangle \langle C \in N \rangle$  have  $C \neq \{\#\}$ 
by metis

define  $I :: 't \textit{ set}$  where
   $I = \textit{rewrite-sys } N C$ 

have  $i: (\textit{epsilon } N C = \{\}) \longleftrightarrow \textit{entails } (\textit{rewrite-sys } N C) C$ 
proof (rule iffI)

  show  $\textit{entails } (\textit{rewrite-sys } N C) C \implies \textit{epsilon } N C = \{\}$ 
    unfolding entails-def rewrite-sys-def
    by (metis (no-types) empty-iff equalityI mem-epsilonE rewrite-sys-def subsetI)
next
  assume  $\textit{epsilon } N C = \{\}$ 

  show  $\textit{entails } (\textit{rewrite-sys } N C) C$ 
  proof (cases  $\exists A. \textit{Neg } A \in \# C \wedge (\textit{Neg } A \in \# \textit{select } C \vee \textit{select } C = \{\#\} \wedge$ 

```

```

is-maximal (Neg A) C))
  case ex-neg-lit-sel-or-max: True

  then obtain A where
    Neg A ∈# C and
    sel-or-max: select C = {#} ∧ is-maximal (Neg A) C ∨ is-maximal (Neg A)
(select C)
  by (metis (lifting) Neg-atm-of-iff empty-iff
      literal.order.ex-maximal-in-mset maximal-in-clause
      mset-subset-eqD select-negative-literals select-subset
      set-mset-empty)

  then obtain C' where
    C-def: C = add-mset (Neg A) C'
  by (metis mset-add)

show ?thesis
proof (cases A ∈ rewrite-sys N C)
  case True

  then obtain D where
    A ∈ epsilon N D and D ∈ N and D ≺c C
  unfolding rewrite-sys-def
  by auto

  then obtain D' where
    D-def: D = add-mset (Pos A) D' and
    sel-D: select D = {#} and
    max-t-t': is-strictly-maximal (Pos A) D and
    ¬ entails (rewrite-sys N D) D
  by (metis (lifting) IH empty-iff mem-epsilonE)

  define ι :: 't clause inference where
    ι = Infer [D, C] (C' + D')

  have resolution: resolution D C (C' + D')
  proof (rule resolutionI)

    show C = add-mset (Neg A) C'
    by (simp add: C-def)
  next

    show D = add-mset (Pos A) D'
    by (simp add: D-def)
  next

    show D ≺c C
    using ⟨D ≺c C⟩ .
  next

```

```

      show select  $C = \{\#\} \wedge \text{is-maximal } (\text{Neg } A) \ C \vee \text{is-maximal } (\text{Neg } A)$ 
(select C)
      using sel-or-max
      by auto
next

      show select  $D = \{\#\}$ 
      using sel-D by blast
next

      show is-strictly-maximal (Pos A) D
      using max-t-t' .
qed simp-all

hence  $\iota \in G\text{-Inf}$ 
by (auto simp only:  $\iota\text{-def}$   $G\text{-Inf-def}$ )

moreover have  $\bigwedge t. t \in \text{set } (\text{prems-of } \iota) \longrightarrow t \in N$ 
using  $\langle C \in N \rangle \langle D \in N \rangle$ 
by (auto simp:  $\iota\text{-def}$ )

ultimately have  $\iota \in \text{Inf-from } N$ 
by (auto simp:  $\text{Inf-from-def}$ )

hence  $\iota \in \text{Red-I } N$ 
using  $\langle \text{saturated } N \rangle$ 
by (auto simp:  $\text{saturated-def}$ )

then obtain DD where
  DD-subset:  $DD \subseteq N$  and
  finite DD and
  DD-entails-CD:  $G\text{-entails } (\text{insert } D \ DD) \ \{C' + D'\}$  and
  ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
  unfolding Red-I-def redundant-infer-def mem-Collect-eq
  by (auto simp:  $\iota\text{-def}$ )

moreover have  $\forall D \in \text{insert } D \ DD. \text{entails } (\text{rewrite-sys } N \ C) \ D$ 
using IH[THEN conjunct2, THEN conjunct2, rule-format, of - C]
using  $\langle C \in N \rangle \langle D \in N \rangle \langle D \prec_c C \rangle \text{DD-subset ball-DD-lt-C}$ 
by blast

ultimately have  $\text{entails } (\text{rewrite-sys } N \ C) \ (C' + D')$ 
using DD-entails-CD
unfolding entails-def G-entails-def
by (simp add: I-def true-clss-def)

moreover have  $\neg \text{entails } (\text{rewrite-sys } N \ D) \ D'$ 
using  $\langle \neg \text{entails } (\text{rewrite-sys } N \ D) \ D \rangle$ 

```

```

using D-def entails-def
by fastforce

moreover have  $D' \prec_c D$ 
by (metis (lifting) D-def add.comm-neutral add-mset-add-single
      add-mset-not-empty clause.order.multiset-extension-def
      empty-iff one-step-implies-multip set-mset-empty)

moreover have  $\neg \text{entails } (\text{rewrite-sys } N \ C) \ D'$ 
using D-def  $\langle A \in \text{epsilon } N \ D \rangle \langle D \prec_c C \rangle \text{entails-def}$ 
      false-cls-if-productive-epsilon less.premis
by fastforce

then show  $\text{entails } (\text{rewrite-sys } N \ C) \ C$ 
using C-def entails-def
using calculation(1) by fastforce
next
case False

thus ?thesis
using  $\langle \text{Neg } A \in \# \ C \rangle$ 
by (auto simp add: entails-def true-cls-def)
qed
next
case False

hence  $\text{select } C = \{\#\}$ 
using select-subset select-negative-literals
by (metis (no-types, opaque-lifting) Neg-atm-of-iff mset-subset-eqD multi-
      set-nonemptyE)

from False obtain A where Pos-A-in: Pos A ∈ # C and max-Pos-A:
is-maximal (Pos A) C
by (metis Neg-atm-of-iff  $\langle C \neq \{\#\} \rangle \langle \text{select } C = \{\#\} \rangle$  literal.collapse(1)
      literal.order.ex-maximal-in-mset maximal-in-clause)

then obtain C' where C-def: C = add-mset (Pos A) C'
by (meson mset-add)

show ?thesis
proof (cases entails (rewrite-sys N C) C')
case True

then show ?thesis
using C-def entails-def
by force
next
case False

```

```

show ?thesis
proof (cases is-strictly-maximal (Pos A) C)
  case strictly-maximal: True
  then show ?thesis
    using ⟨epsilon N C = {}⟩ ⟨select C = {#}⟩ less.prem
    unfolding epsilon-unfold[of N C] Collect-empty-eq
    unfolding C-def entails-def
    by blast
  next
  case False

  hence count C (Pos A) ≥ 2
    using max-Pos-A
    using C-def is-maximal-def is-strictly-maximal-def
    by fastforce

  then obtain C' where C-def: C = add-mset (Pos A) (add-mset (Pos A)
C')
    by (metis two-le-countE)

  define ι :: 't clause inference where
    ι = Infer [C] (add-mset (Pos A) C')

  have eq-fact: factoring C (add-mset (Pos A) C')
  proof (rule factoringI)

    show C = add-mset (Pos A) (add-mset (Pos A) C')
      by (simp add: C-def)
    next

    show select C = {#}
      using ⟨select C = {#}⟩ .
    next

    show is-maximal (Pos A) C
      using max-Pos-A .
  qed simp-all

  hence ι ∈ G-Inf
    by (auto simp: ι-def G-Inf-def)

  moreover have ⋀t. t ∈ set (prems-of ι) ⟶ t ∈ N
    using ⟨C ∈ N⟩
    by (auto simp add: ι-def)

  ultimately have ι ∈ Inf-from N
    by (auto simp: Inf-from-def)

  hence ι ∈ Red-I N

```

```

    using ‹saturated N›
    by (auto simp: saturated-def)

  then obtain DD where
    DD-subset:  $DD \subseteq N$  and
    finite DD and
    DD-entails-concl:  $G\text{-entails } DD \{ \text{add-mset } (Pos\ A)\ C' \}$  and
    ball-DD-lt-C:  $\forall D \in DD. D \prec_c C$ 
    unfolding Red-I-def redundant-infer-def
    by (auto simp:  $\iota$ -def)

  moreover have  $\forall D \in DD. \text{entails } (\text{rewrite-sys } N\ C)\ D$ 
    using IH[THEN conjunct2, rule-format, of - C]
    using ‹ $C \in N$ › DD-subset ball-DD-lt-C
    by blast

  ultimately have  $\text{entails } (\text{rewrite-sys } N\ C)\ (\text{add-mset } (Pos\ A)\ C')$ 
    using DD-entails-concl
    unfolding G-entails-def entails-def
    by (simp add: I-def true-clss-def)

  then show ?thesis
    using C-def entails-def
    by fastforce
qed
qed
qed
qed

moreover have iia:  $\text{entails } (\bigcup (\text{epsilon } N\ \text{' } N))\ C$ 
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence  $\text{entails } (\text{rewrite-sys } N\ C)\ C$ 
    by (simp only: i)

  thus ?thesis
    using lift-entailment-to-Union[OF ‹ $C \in N$ ›] entails-def
    by argo
next
fix A
assume epsilon N C = {A}

hence eps:  $A \in \text{epsilon } N\ C$ 
  by simp

from eps have Pos A  $\in \# C$ 
  unfolding epsilon.simps[of N C] mem-Collect-eq

```

```

    by force

moreover from eps have A ∈ ⋃ (epsilon N 'N)
  using ⟨C ∈ N⟩ UN-upper
  by fast

ultimately show ?thesis
  using entails-def
  by blast
qed

moreover have iib: entails (rewrite-sys N D) C if D ∈ N and C ≺c D
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence entails (rewrite-sys N C) C
    unfolding i
    by simp

  thus ?thesis
    using lift-interp-entails[OF ⟨C ∈ N⟩ - that] entails-def
    by argo
next
fix A assume epsilon N C = {A}

  thus ?thesis
    by (simp add: entails-def that(1,2) true-cls-if-productive-epsilon)
qed

ultimately show ?case
  by (simp add: entails-def)
qed

lemma model-construction:
  fixes
    N :: 't clause set and
    C :: 't clause
  defines entails ≡ λE C. E ⊨ C
  assumes saturated N and {#} ∉ N and C-in: C ∈ N
  shows entails (⋃ D ∈ N. epsilon N D) C
  unfolding atomize-conj atomize-imp
  using epsilon-eq-empty-or-singleton[of N C]
proof (elim disjE exE)
  assume epsilon N C = {}

  hence entails (rewrite-sys N C) C
    using model-preconstruction(1)[OF assms(2,3,4)]
    by (metis entails-def)

```

```

thus ?thesis
  using lift-entailment-to-Union(1)[OF  $\langle C \in N \rangle$ ]
  by (simp only: entails-def)
next
  fix A assume epsilon N C = {A}

  thus ?thesis
    using C-in assms(2,3) entails-def model-preconstruction(2)
    by blast
qed

```

1.6 Static Refutational Completeness

```

lemma statically-complete:
  fixes N :: 't clause set
  assumes saturated N and G-entails N {{#}}
  shows {#} ∈ N
  using  $\langle G\text{-entails } N \ \{\{ \# \} \} \rangle$ 
proof (rule contrapos-pp)
  assume {#} ∉ N

  define I :: 't set where
    I = ( $\bigcup D \in N. \text{epsilon } N \ D$ )

  show  $\neg G\text{-entails } N \ G\text{-Bot}$ 
    unfolding G-entails-def not-all not-imp
  proof (intro exI conjI)

    show I  $\models_s$  N
      unfolding I-def
      using model-construction[OF  $\langle \text{saturated } N \rangle \ \langle \{ \# \} \notin N \rangle$ ]
      by (simp add: true-clss-def)
    next

    show  $\neg I \models_s G\text{-Bot}$ 
      by simp
  qed
qed

```

```

sublocale statically-complete-calculus where
  Bot = G-Bot and
  Inf = G-Inf and
  entails = G-entails and
  Red-I = Red-I and
  Red-F = Red-F
using statically-complete
by unfold-locales simp

```

```

end

end
theory Ground-Ordered-Resolution-Soundness
  imports Ground-Ordered-Resolution
begin

lemma (in ground-ordered-resolution-calculus) soundness-ground-resolution:
  assumes
    step: resolution D C R
  shows G-entails {D, C} {R}
  using step
proof (cases D C R rule: resolution.cases)
  case (resolutionI LC C' LD D')

  show ?thesis
    unfolding G-entails-def true-cls-singleton
    unfolding true-cls-insert
  proof (intro allI impI, elim conjE)
    fix I :: 't set
    assume I ⊨ C and I ⊨ D

    then obtain K1 K2 :: 't literal where
      K1 ∈# C and I ⊨l K1 and K2 ∈# D and I ⊨l K2
    by (auto simp: true-cls-def)

    show I ⊨ R
    proof (cases K1 = LC)
      case K1-def: True

        hence I ⊨l LC
          using ⟨I ⊨l K1⟩
          by simp

        show ?thesis
        proof (cases K2 = LD)
          case K2-def: True

            hence I ⊨l LD
              using ⟨I ⊨l K2⟩
              by simp

            hence False
              using ⟨I ⊨l LC⟩
              by (simp add: local.resolutionI(3,4))

          thus ?thesis ..
        next
          case False

```

```

    hence  $K2 \in\# D'$ 
      using  $\langle K2 \in\# D \rangle$ 
      unfolding resolutionI
      by simp

    hence  $I \models D'$ 
      using  $\langle I \models_l K2 \rangle$ 
      by blast

    thus ?thesis
      unfolding resolutionI
      by simp
  qed
next
case False

    hence  $K1 \in\# C'$ 
      using  $\langle K1 \in\# C \rangle$ 
      unfolding resolutionI
      by simp

    hence  $I \models C'$ 
      using  $\langle I \models_l K1 \rangle$ 
      by blast

    thus ?thesis
      unfolding resolutionI
      by simp
  qed
qed
qed
qed

lemma (in ground-ordered-resolution-calculus) soundness-ground-factoring:
  assumes step: factoring C D
  shows G-entails {C} {D}
  using step
proof (cases C D rule: factoring.cases)
  case (factoringI  $L_1 C'$ )

    show ?thesis
      unfolding G-entails-def true-clss-singleton
    proof (intro allI impI)
      fix  $I :: 't \text{ set}$ 
      assume  $I \models C$ 

      then obtain  $K :: 't \text{ literal}$  where
         $K \in\# C$  and  $I \models_l K$ 
      by (auto simp: true-clss-def)

```

```

show  $I \models D$ 
proof (cases  $K = L_1$ )
  case True

    hence  $I \models_l L_1$ 
    using  $\langle I \models_l K \rangle$ 
    by metis

    thus ?thesis
    unfolding factoringI
    by (metis true-cls-add-mset)
  next
    case False

    hence  $K \in\# C'$ 
    using  $\langle K \in\# C \rangle$ 
    unfolding factoringI
    by auto

    hence  $K \in\# D$ 
    unfolding factoringI
    by simp

    thus ?thesis
    using  $\langle I \models_l K \rangle$ 
    by blast
  qed
qed
qed

sublocale ground-ordered-resolution-calculus  $\subseteq$  sound-inference-system where
  Inf = G-Inf and
  Bot = G-Bot and
  entails = G-entails
proof unfold-locales
  fix  $\iota$ 
  assume  $\iota \in G\text{-Inf}$ 

  then show  $G\text{-entails (set (prems-of } \iota)) \{ \text{concl-of } \iota \}$ 
  unfolding G-Inf-def
  using soundness-ground-resolution soundness-ground-factoring
  by auto
qed

end
theory Ordered-Resolution
imports
  First-Order-Clause.Nonground-Order

```

First-Order-Clause.Nonground-Selection-Function
First-Order-Clause.Nonground-Typing
First-Order-Clause.Typed-Tiebreakers
Saturation-Framework.Lifting-to-Non-Ground-Calculi

Ground-Ordered-Resolution

begin

locale *ordered-resolution-calculus* =
witnessed-nonground-typing **where**
welltyped = *welltyped* **and** *term-to-ground* = *term-to-ground* :: $'t \Rightarrow 't_G +$
nonground-order **where** $\text{less}_t = \text{less}_t +$
nonground-selection-function **where**
select = *select* **and** *atom-subst* = $(\cdot t)$ **and** *atom-vars* = *term.vars* **and**
atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground* +
tiebreakers *tiebreakers*
for
select :: $'t \text{ select}$ **and**
less_t :: $'t \Rightarrow 't \Rightarrow \text{bool}$ **and**
tiebreakers :: $('t_G, 't) \text{ tiebreakers}$ **and**
welltyped :: $('v :: \text{infinite}, 'ty) \text{ var-types} \Rightarrow 't \Rightarrow 'ty \Rightarrow \text{bool}$
begin

inductive *factoring* :: $('t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow \text{bool}$
where

factoringI:
 $C = \text{add-mset } L_1 (\text{add-mset } L_2 \ C') \Rightarrow$
 $L_1 = (\text{Pos } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $D = (\text{add-mset } L_1 \ C') \cdot \mu \Rightarrow$
factoring $(\mathcal{V}, C) (\mathcal{V}, D)$

if

select $C = \{\#\}$
is-maximal $(L_1 \cdot l \ \mu) (C \cdot \mu)$
type-preserving-on $(\text{clause.vars } C) \ \mathcal{V} \ \mu$
term.is-imgu $\mu \ \{\{t_1, t_2\}\}$

inductive *resolution* ::

$(t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow ('t, 'v, 'ty) \text{ typed-clause} \Rightarrow$
 bool

where

resolutionI:
 $C = \text{add-mset } L_1 \ C' \Rightarrow$
 $D = \text{add-mset } L_2 \ D' \Rightarrow$
 $L_1 = (\text{Neg } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $R = (C' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \Rightarrow$
resolution $(\mathcal{V}_2, D) (\mathcal{V}_1, C) (\mathcal{V}_3, R)$

if
infinite-variables-per-type $\mathcal{V}_1$
infinite-variables-per-type $\mathcal{V}_2$
term.is-renaming ϱ_1
term.is-renaming ϱ_2
 $\text{clause.vars } (C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$
type-preserving-on $(\text{clause.vars } (C \cdot \varrho_1) \cup \text{clause.vars } (D \cdot \varrho_2)) \mathcal{V}_3 \mu$
term.is-imgu $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$
 $\neg (C \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
 $\text{select } C = \{\#\} \implies \text{is-maximal } (L_1 \cdot l \varrho_1 \odot \mu) (C \cdot \varrho_1 \odot \mu)$
 $\text{select } C \neq \{\#\} \implies \text{is-maximal } (L_1 \cdot l \varrho_1 \odot \mu) ((\text{select } C) \cdot \varrho_1 \odot \mu)$
 $\text{select } D = \{\#\}$
is-strictly-maximal $(L_2 \cdot l \varrho_2 \odot \mu) (D \cdot \varrho_2 \odot \mu)$
 $\forall x \in \text{clause.vars } C. \mathcal{V}_1 x = \mathcal{V}_3 (\text{term.rename } \varrho_1 x)$
 $\forall x \in \text{clause.vars } D. \mathcal{V}_2 x = \mathcal{V}_3 (\text{term.rename } \varrho_2 x)$
type-preserving-on $(\text{clause.vars } C) \mathcal{V}_1 \varrho_1$
type-preserving-on $(\text{clause.vars } D) \mathcal{V}_2 \varrho_2$

abbreviation *factoring-inferences* **where**
factoring-inferences $\equiv \{ \text{Infer } [C] D \mid C D. \text{factoring } C D \}$

abbreviation *resolution-inferences* **where**
resolution-inferences $\equiv \{ \text{Infer } [D, C] R \mid D C R. \text{resolution } D C R \}$

definition *inferences* $:: ('t, 'v, 'ty)$ *typed-clause inference set* **where**
inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom_F* $:: ('t, 'v, 'ty)$ *typed-clause set* $(\perp_F)$ **where**
bottom_F $\equiv \{(\mathcal{V}, \{\#\}) \mid \mathcal{V}. \text{infinite-variables-per-type } \mathcal{V} \}$

end

end

theory *Grounded-Ordered-Resolution*

imports

Ordered-Resolution

Ground-Ordered-Resolution

First-Order-Clause.Grounded-Selection-Function

First-Order-Clause.Nonground-Inference

Saturation-Framework.Lifting-to-Non-Ground-Calculi

Polynomial-Factorization.Missing-List

begin

locale *grounded-ordered-resolution-calculus* =

ordered-resolution-calculus **where**

$\text{select} = \text{select}$ **and** $\text{welltyped} = \text{welltyped}$ **and** $\text{term-from-ground} = \text{term-from-ground}$
 $:: 't_G \Rightarrow 't +$

grounded-selection-function **where**
select = *select* **and**
atom-subst = $(\cdot t)$ **and**
atom-vars = *term.vars* **and**
atom-from-ground = *term.from-ground* **and**
atom-to-ground = *term.to-ground* **and**
is-ground-instance = *is-ground-instance*
for
select :: 't *select* **and**
welltyped :: ('v :: infinite, 'ty) *var-types* $\Rightarrow$ 't $\Rightarrow$ 'ty $\Rightarrow$ bool
begin

sublocale *ground: ground-ordered-resolution-calculus* **where**
less_t = $(\prec_{tG})$ **and** *select* = *select_G*
rewrites
multiset-extension.multiset-extension $(\prec_{tG})$ *ground.literal-to-mset* = $(\prec_{lG})$ **and**
multiset-extension.multiset-extension $(\prec_{lG})$ $(\lambda x. x) = (\prec_{cG})$ **and**
 $\bigwedge l_G C_G. \text{ground.is-maximal } l_G C_G \longleftrightarrow \text{ground-is-maximal } l_G C_G$ **and**
 $\bigwedge l_G C_G. \text{ground.is-strictly-maximal } l_G C_G \longleftrightarrow \text{ground-is-strictly-maximal } l_G C_G$
unfolding *is-maximal-rewrite[symmetric]* *is-strictly-maximal-rewrite[symmetric]*
by *unfold-locales simp-all*

abbreviation *is-inference-ground-instance-one-premise* **where**
is-inference-ground-instance-one-premise *D C* $\iota_G \gamma \equiv$
case (*D, C*) *of* ($(\mathcal{V}', D), (\mathcal{V}, C)$) $\Rightarrow$
inference.is-ground (*Infer* [*D*] *C* $\cdot \iota \gamma$) $\wedge$
 $\iota_G = \text{inference.to-ground} (\text{Infer} [D] C \cdot \iota \gamma) \wedge$
type-preserving-on (*clause.vars* *C*) $\mathcal{V} \gamma \wedge$
 $\mathcal{V} = \mathcal{V}' \wedge$
infinite-variables-per-type $\mathcal{V}$

abbreviation *is-inference-ground-instance-two-premises* **where**
is-inference-ground-instance-two-premises *D E C* $\iota_G \gamma \varrho_1 \varrho_2 \equiv$
case (*D, E, C*) *of* $((\mathcal{V}_2, D), (\mathcal{V}_1, E), (\mathcal{V}_3, C)) \Rightarrow$
term.is-renaming $\varrho_1 \wedge$
term.is-renaming $\varrho_2 \wedge$
clause.vars $(E \cdot \varrho_1) \cap \text{clause.vars} (D \cdot \varrho_2) = \{\}$ $\wedge$
inference.is-ground (*Infer* [*D* $\cdot \varrho_2$, *E* $\cdot \varrho_1$] *C* $\cdot \iota \gamma$) $\wedge$
 $\iota_G = \text{inference.to-ground} (\text{Infer} [D \cdot \varrho_2, E \cdot \varrho_1] C \cdot \iota \gamma) \wedge$
type-preserving-on (*clause.vars* *C*) $\mathcal{V}_3 \gamma \wedge$
infinite-variables-per-type $\mathcal{V}_1 \wedge$
infinite-variables-per-type $\mathcal{V}_2 \wedge$
infinite-variables-per-type $\mathcal{V}_3$

abbreviation *is-inference-ground-instance* **where**
is-inference-ground-instance $\iota \iota_G \gamma \equiv$
(case ι *of*
Infer [*D*] *C* $\Rightarrow$ *is-inference-ground-instance-one-premise* *D C* $\iota_G \gamma$

$\mid \text{Infer } [D, E] \ C \Rightarrow \exists \varrho_1 \ \varrho_2. \text{ is-inference-ground-instance-two-premises } D \ E \ C$
 $\iota_G \ \gamma \ \varrho_1 \ \varrho_2$
 $\mid - \Rightarrow \text{False})$
 $\wedge \iota_G \in \text{ground.G-Inf}$

definition *inference-ground-instances* **where**

inference-ground-instances $\iota = \{ \iota_G \mid \iota_G \ \gamma. \text{ is-inference-ground-instance } \iota \ \iota_G \ \gamma \}$

lemma *is-inference-ground-instance*:

is-inference-ground-instance $\iota \ \iota_G \ \gamma \implies \iota_G \in \text{inference-ground-instances } \iota$

unfolding *inference-ground-instances-def*

by *blast*

lemma *is-inference-ground-instance-one-premise*:

assumes *is-inference-ground-instance-one-premise* $D \ C \ \iota_G \ \gamma \ \iota_G \in \text{ground.G-Inf}$

shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D] \ C)$

using *assms*

unfolding *inference-ground-instances-def*

by *auto*

lemma *is-inference-ground-instance-two-premises*:

assumes *is-inference-ground-instance-two-premises* $D \ E \ C \ \iota_G \ \gamma \ \varrho_1 \ \varrho_2 \ \iota_G \in \text{ground.G-Inf}$

shows $\iota_G \in \text{inference-ground-instances } (\text{Infer } [D, E] \ C)$

using *assms*

unfolding *inference-ground-instances-def*

by *auto*

lemma *ground-inference-concl-in-ground-instances*:

assumes $\iota_G \in \text{inference-ground-instances } \iota$

shows *concl-of* $\iota_G \in \text{uncurried-ground-instances } (\text{concl-of } \iota)$

proof –

obtain *premises* $C \ \mathcal{V}$ **where**

$\iota: \iota = \text{Infer premises } (C, \mathcal{V})$

using *Calculus.inference.exhaust*

by (*metis prod.collapse*)

show *?thesis*

using *assms*

unfolding ι *inference-ground-instances-def* *ground-instances-def*

by (*cases premises rule: list-4-cases*) *auto*

qed

lemma *ground-inference-red-in-ground-instances-of-concl*:

assumes $\iota_G \in \text{inference-ground-instances } \iota$

shows $\iota_G \in \text{ground.Red-I } (\text{uncurried-ground-instances } (\text{concl-of } \iota))$

proof –

from *assms* **have** $\iota_G \in \text{ground.G-Inf}$

unfolding *inference-ground-instances-def*

```

    by blast

  moreover have concl-of  $\iota_G \in \text{uncurried-ground-instances } (\text{concl-of } \iota)$ 
    using assms ground-inference-concl-in-ground-instances
    by auto

  ultimately show  $\iota_G \in \text{ground.Red-I } (\text{uncurried-ground-instances } (\text{concl-of } \iota))$ 
    using ground.Red-I-of-Inf-to-N
    by blast
qed

sublocale lifting:
  tiebreaker-lifting
     $\perp_F$ 
    inferences
    ground.G-Bot
    ground.G-entails
    ground.G-Inf
    ground.GRed-I
    ground.GRed-F
    uncurried-ground-instances
    Some  $\circ$  inference-ground-instances
    typed-tiebreakers
  proof (unfold-locale; (intro impI typed-tiebreakers.wfp typed-tiebreakers.transp)?)

    show  $\perp_F \neq \{\}$ 
      using obtain-infinite-variables-per-type-on'' [of  $\{\}$ ]
      by auto
    next
      fix bottom
      assume bottom  $\in \perp_F$ 

      then show uncurried-ground-instances bottom  $\neq \{\}$ 
        unfolding ground-instances-def
        by fastforce
    next
      fix bottom
      assume bottom  $\in \perp_F$ 

      then show uncurried-ground-instances bottom  $\subseteq \text{ground.G-Bot}$ 
        unfolding ground-instances-def
        by auto
    next
      fix C :: ('t, 'v, 'ty) typed-clause

      assume uncurried-ground-instances C  $\cap \text{ground.G-Bot} \neq \{\}$ 

      moreover then have snd C =  $\{\#\}$ 
        unfolding ground-instances-def

```

```

    by simp

  then have  $C = (fst\ C, \{\#\})$ 
    by (metis split-pairs)

  ultimately show  $C \in \perp_F$ 
    unfolding ground-instances-def
    by blast
next
  fix  $\iota :: ('t, 'v, 'ty)\ typed\_clause\ inference$ 

  show the  $((Some \circ inference\_ground\_instances)\ \iota) \subseteq$ 
    ground.GRed-I (uncurried-ground-instances (concl-of  $\iota$ ))
    using ground-inference-red-in-ground-instances-of-concl
    by auto
qed
end

context ordered-resolution-calculus
begin

abbreviation grounded-inference-ground-instances where
  grounded-inference-ground-instances  $select_G \equiv$ 
    grounded-ordered-resolution-calculus.inference-ground-instances
     $(\odot)\ Var\ (\cdot t)\ term.vars\ term.to\_ground\ (\prec_t)\ term.from\_ground\ select_G\ welltyped$ 

sublocale
  lifting-intersection
  inferences
   $\{\{\#\}\}$ 
   $select_{G_s}$ 
  ground-ordered-resolution-calculus.G-Inf  $(\prec_t G)$ 
   $\lambda\cdot.$  ground-ordered-resolution-calculus.G-entails
  ground-ordered-resolution-calculus.GRed-I  $(\prec_t G)$ 
   $\lambda\cdot.$  ground-ordered-resolution-calculus.GRed-F  $(\prec_t G)$ 
   $\perp_F$ 
   $\lambda\cdot.$  uncurried-ground-instances
   $\lambda select_G.$  Some  $\circ$  grounded-inference-ground-instances  $select_G$ 
  typed-tiebreakers
proof (unfold-locales; (intro ballI)?)

  show  $select_{G_s} \neq \{\}$ 
    using select_G-simple
    unfolding select_G-s-def
    by blast
next
  fix  $select_G$ 
  assume  $select_G \in select_{G_s}$ 

```

```

then interpret grounded-ordered-resolution-calculus
  where  $select_G = select_G$ 
  by unfold-locals (simp add: select_Gs-def)

show consequence-relation ground.G-Bot ground.G-entails
  using ground.consequence-relation-axioms .

show tiebreaker-lifting
   $\perp_F$ 
  inferences
  ground.G-Bot
  ground.G-entails
  ground.G-Inf
  ground.GRed-I
  ground.GRed-F
  uncurried-ground-instances
  (Some  $\circ$  inference-ground-instances)
  typed-tiebreakers
by unfold-locals
qed

end

end

theory Ordered-Resolution-Soundness
  imports Grounded-Ordered-Resolution
begin

```

1.7 Soundness

```

context grounded-ordered-resolution-calculus
begin

```

```

notation lifting.entails-G (infix  $\models_F$  50)

```

```

lemma factoring-sound:

```

```

  assumes factoring: factoring C D

```

```

  shows  $\{C\} \models_F \{D\}$ 

```

```

  using factoring

```

```

proof (cases C D rule: factoring.cases)

```

```

  case (factoringI C L1  $\mu$   $\vee$  t1 t2 L2 C' D)

```

```

  {
    fix  $I :: 't_G$  set and  $\gamma :: 'v \Rightarrow 't$ 

```

```

    assume

```

```

      entails-ground-instances:  $\forall C_G \in \text{ground-instances } \vee C. I \models C_G$  and

```

```

      D-is-ground: clause.is-ground ( $D \cdot \gamma$ ) and

```

```

      type-preserving- $\gamma$ : type-preserving-on (clause.vars D)  $\vee \gamma$  and

```

$\mathcal{V}$: *infinite-variables-per-type* $\mathcal{V}$

obtain γ' **where**

γ' -*is-ground-subst*: *term.is-ground-subst* γ' **and**

type-preserving- γ' : *type-preserving* $\mathcal{V}$ γ' **and**

γ' - γ : $\forall x \in \text{clause.vars } D. \gamma \ x = \gamma' \ x$

using *clause.type-preserving-ground-subst-extension*[*OF D-is-ground type-preserving- γ*]

let $?C_G = \text{clause.to-ground } (C \cdot \mu \cdot \gamma')$
let $?C_{G'} = \text{clause.to-ground } (C' \cdot \mu \cdot \gamma')$
let $?l_{G1} = \text{literal.to-ground } (L_1 \cdot l \ \mu \cdot l \ \gamma')$
let $?l_{G2} = \text{literal.to-ground } (L_2 \cdot l \ \mu \cdot l \ \gamma')$
let $?t_{G1} = \text{term.to-ground } (t_1 \cdot t \ \mu \cdot t \ \gamma')$
let $?t_{G2} = \text{term.to-ground } (t_2 \cdot t \ \mu \cdot t \ \gamma')$
let $?D_G = \text{clause.to-ground } (D \cdot \gamma')$

have *type-preserving- μ* : *type-preserving-on* (*clause.vars* C) $\mathcal{V} \ \mu$
using *factoringI*(5)
by *blast*

have [*simp*]: $?t_{G2} = ?t_{G1}$
using *factoringI*(6) *term.is-ingu-unifies-pair*
by *metis*

have [*simp*]: $t_1 \cdot t \ \mu \cdot t \ \gamma' = t_1 \cdot t \ \mu \cdot t \ \gamma$
using γ' - γ *term.subst-eq*
unfolding *factoringI*
by *fastforce*

have $?C_G \in \text{ground-instances } \mathcal{V} \ C$
proof(*unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,*
intro exI, intro conjI $\mathcal{V}$)

show *clause.to-ground* ($C \cdot \mu \cdot \gamma'$) = *clause.to-ground* ($C \cdot \mu \odot \gamma'$)
by *simp*

next

show *clause.is-ground* ($C \cdot \mu \odot \gamma'$)
using γ' -*is-ground-subst* *clause.is-ground-subst-is-ground*
by *auto*

next

show *type-preserving-on* (*clause.vars* C) $\mathcal{V} \ (\mu \odot \gamma')$
using
type-preserving- μ
type-preserving- γ'
 γ' -*is-ground-subst*
term.type-preserving-ground-compose-ground-subst

```

      by presburger
    qed

  then have  $I \models ?C_G$ 
    using entails-ground-instances
    by blast

  then have  $I \models \text{clause.to-ground } (D \cdot \gamma)$ 
    using clause.subst-eq[OF  $\gamma' \cdot \gamma$ [rule-format]]
    unfolding factoringI
    by auto
}

then show ?thesis
  unfolding
    factoringI(1, 2)
    ground.G-entails-def
    true-clss-def
    ground-instances-def
  by auto
qed

lemma resolution-sound:
  assumes resolution: resolution  $D \ C \ R$ 
  shows  $\{C, D\} \models_F \{R\}$ 
  using resolution
proof (cases  $D \ C \ R$  rule: resolution.cases)
  case (resolutionI  $\mathcal{V}_1 \ \varrho_1 \ \varrho_2 \ C \ D \ \mathcal{V}_3 \ \mu \ t_1 \ t_2 \ L_1 \ L_2 \ C' \ D' \ R$ )

  {
    fix  $I :: 't_G$  set and  $\gamma :: 'v \Rightarrow 't$ 

    assume
      C-entails-ground-instances:  $\forall C_G \in \text{ground-instances } \mathcal{V}_1 \ C. I \models C_G$  and
      D-entails-ground-instances:  $\forall D_G \in \text{ground-instances } \mathcal{V}_2 \ D. I \models D_G$  and
      R-is-ground: clause.is-ground  $(R \cdot \gamma)$  and
      type-preserving- $\gamma$ : type-preserving-on  $(\text{clause.vars } R) \ \mathcal{V}_3 \ \gamma$ 

    obtain  $\gamma'$  where
       $\gamma'$ -is-ground-subst: term.is-ground-subst  $\gamma'$  and
      type-preserving- $\gamma'$ : type-preserving  $\mathcal{V}_3 \ \gamma'$  and
       $\gamma' \cdot \gamma$ :  $\forall x \in \text{clause.vars } R. \gamma \ x = \gamma' \ x$ 
    using clause.type-preserving-ground-subst-extension[OF R-is-ground type-preserving- $\gamma$ ]
  }

  let  $?C_G = \text{clause.to-ground } (C \cdot \varrho_1 \cdot \mu \cdot \gamma')$ 
  let  $?D_G = \text{clause.to-ground } (D \cdot \varrho_2 \cdot \mu \cdot \gamma')$ 

  let  $?l_{G1} = \text{literal.to-ground } (L_1 \cdot l \ \varrho_1 \cdot l \ \mu \cdot l \ \gamma')$ 

```

```

let ?lG2 = literal.to-ground (L2 · l ρ2 · l μ · l γ')

let ?CG' = clause.to-ground (C' · ρ1 · μ · γ')
let ?DG' = clause.to-ground (D' · ρ2 · μ · γ')

let ?tG1 = term.to-ground (t1 · t ρ1 · t μ · t γ')
let ?tG2 = term.to-ground (t2 · t ρ2 · t μ · t γ')

let ?RG = clause.to-ground (R · γ')

have μ-γ'-is-ground-subst: term.is-ground-subst (μ ⊙ γ')
  using term.is-ground-subst-comp-right[OF γ'-is-ground-subst] .

have type-preserving-μ: type-preserving-on (clause.vars (C · ρ1) ∪ clause.vars
(D · ρ2)) V3 μ
  using resolutionI(9)
  by blast

have type-preserving-μ-γ:
  type-preserving-on (clause.vars (C · ρ1) ∪ clause.vars (D · ρ2)) V3 (μ ⊙ γ')
  using
    type-preserving-γ'
    type-preserving-μ
    γ'-is-ground-subst
    term.type-preserving-ground-compose-ground-subst
  by presburger

note type-preserving-ρ-μ-γ = term.renaming-ground-subst[OF - μ-γ'-is-ground-subst]

have ?CG ∈ ground-instances V1 C
  proof(
    unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,
    intro exI, intro conjI resolutionI)

  show clause.to-ground (C · ρ1 · μ · γ') = clause.to-ground (C · ρ1 ⊙ μ ⊙ γ')
    by simp
next

  show clause.is-ground (C · ρ1 ⊙ μ ⊙ γ')
    using γ'-is-ground-subst clause.is-ground-subst-is-ground
    by auto
next

  show type-preserving-on (clause.vars C) V1 (ρ1 ⊙ μ ⊙ γ')
    using
      type-preserving-μ-γ
      type-preserving-ρ-μ-γ[OF resolutionI(6, 18) - resolutionI(16)]
    by (simp add: term.assoc clause.vars-subst)
qed

```

```

then have entails- $C_G$ :  $I \models ?C_G$ 
  using  $C$ -entails-ground-instances
  by blast

have  $?D_G \in \text{ground-instances } \mathcal{V}_2 \ D$ 
proof(
  unfold ground-instances-def mem-Collect-eq fst-conv snd-conv,
  intro exI, intro conjI resolutionI)

  show clause.to-ground  $(D \cdot \varrho_2 \cdot \mu \cdot \gamma') = \text{clause.to-ground } (D \cdot \varrho_2 \odot \mu \odot \gamma')$ 
  by simp
next

  show clause.is-ground  $(D \cdot \varrho_2 \odot \mu \odot \gamma')$ 
  using  $\gamma'$ -is-ground-subst clause.is-ground-subst-is-ground
  by auto
next

  show type-preserving-on  $(\text{clause.vars } D) \ \mathcal{V}_2 \ (\varrho_2 \odot \mu \odot \gamma')$ 
  using
    type-preserving- $\mu$ - $\gamma$ 
    type-preserving- $\varrho$ - $\mu$ - $\gamma$ [OF resolutionI(7, 19) - resolutionI(17)]
  by (simp add: term.assoc clause.vars-subst)
qed

then have entails- $D_G$ :  $I \models ?D_G$ 
  using  $D$ -entails-ground-instances
  by blast

have  $I \models \text{clause.to-ground } (R \cdot \gamma')$ 
proof -
  have [simp]:  $?t_{G1} = ?t_{G2}$ 
  using resolutionI(10) term.is-imgu-unifies-pair
  by metis

  have [simp]:  $?l_{G1} = \text{Neg } ?t_{G1}$ 
  unfolding resolutionI
  by simp

  have [simp]:  $?l_{G2} = \text{Pos } ?t_{G2}$ 
  unfolding resolutionI
  by simp

  have [simp]:  $?C_G = \text{add-mset } ?l_{G1} \ ?C_G'$ 
  unfolding resolutionI
  by simp

  have [simp]:  $?D_G = \text{add-mset } ?l_{G2} \ ?D_G'$ 

```

```

    unfolding resolutionI
    by simp

  have  $\neg I \models_l ?l_{G1} \vee \neg I \models_l ?l_{G2}$ 
  by simp

  then have  $I \models ?C_G' \vee I \models ?D_G'$ 
  using entails- $C_G$  entails- $D_G$ 
  by force

  moreover have  $?R_G = ?C_G' + ?D_G'$ 
  unfolding resolutionI
  by simp

  ultimately show ?thesis
  by auto
qed

  then have  $I \models \text{clause.to-ground } (R \cdot \gamma)$ 
  by (metis  $\gamma'$ - $\gamma$  clause.subst-eq)
}

  then show ?thesis
  unfolding ground.G-entails-def ground-instances-def true-clss-def resolutionI(1-3)
  by auto
qed

end

sublocale grounded-ordered-resolution-calculus  $\subseteq$  sound-inference-system inferences
 $\perp_F$  ( $\models_F$ )
proof unfold-locales
fix  $\iota$ 

  assume  $\iota \in \text{inferences}$ 

  then show  $\text{set } (\text{prems-of } \iota) \models_F \{\text{concl-of } \iota\}$ 
  using
    factoring-sound
    resolution-sound
  unfolding inferences-def ground.G-entails-def
  by auto
qed

sublocale ordered-resolution-calculus  $\subseteq$  sound-inference-system inferences  $\perp_F$  entails- $\mathcal{G}$ 
proof unfold-locales
  obtain  $\text{select}_G$  where  $\text{select}_G: \text{select}_G \in \text{select}_{G_s}$ 
  using  $Q\text{-nonempty}$  by blast

```

```

then interpret grounded-ordered-resolution-calculus
  where  $select_G = select_G$ 
  by unfold-locales (simp add: select_Gs-def)

fix  $\iota$ 
assume  $\iota \in inferences$ 

then show  $entails_{\mathcal{G}} (set (prems-of \iota)) \{concl-of \iota\}$ 
  unfolding entails-def
  using sound
  by blast
qed

end
theory Ordered-Resolution-Completeness
  imports
    Grounded-Ordered-Resolution
    Ground-Ordered-Resolution-Completeness
begin

```

2 Completeness

```

context grounded-ordered-resolution-calculus
begin

```

2.1 Liftings

```

lemma factoring-lifting:
  fixes
     $D_G \ C_G :: 't_G \text{ clause}$  and
     $D \ C :: 't \text{ clause}$  and
     $\gamma :: 'v \Rightarrow 't$ 
  defines
     $[simp]: D_G \equiv clause.to-ground \ (D \cdot \gamma)$  and
     $[simp]: C_G \equiv clause.to-ground \ (C \cdot \gamma)$ 
  assumes
    ground-factoring: ground.factoring  $D_G \ C_G$  and
    D-grounding: clause.is-ground  $(D \cdot \gamma)$  and
    C-grounding: clause.is-ground  $(C \cdot \gamma)$  and
    select: clause.from-ground  $(select_G \ D_G) = (select \ D) \cdot \gamma$  and
    type-preserving-γ: type-preserving-on  $(clause.vars \ D) \ \mathcal{V} \ \gamma$  and
     $\mathcal{V}$ : infinite-variables-per-type  $\mathcal{V}$ 
  obtains  $C'$ 
  where
    factoring  $(\mathcal{V}, D) \ (\mathcal{V}, C')$ 
    Infer  $[D_G] \ C_G \in inference-ground-instances \ (Infer \ [(\mathcal{V}, D)] \ (\mathcal{V}, C'))$ 
     $C' \cdot \gamma = C \cdot \gamma$ 
  using ground-factoring

```

```

proof(cases  $D_G$   $C_G$  rule: ground.factoring.cases)
  case ground-factoringI: (factoringI  $L_G$   $D_G'$   $t_G$ )

  have  $D \neq \{\#\}$ 
    using ground-factoringI(1)
    by auto

  then obtain  $l_1$  where
     $l_1$ -is-maximal: is-maximal  $l_1$   $D$  and
     $l_1$ - $\gamma$ -is-maximal: is-maximal ( $l_1 \cdot l$   $\gamma$ ) ( $D \cdot \gamma$ )
    using that obtain-maximal-literal  $D$ -grounding
    by blast

  obtain  $t_1$  where
     $l_1$ :  $l_1 = (\text{Pos } t_1)$  and
     $l_1$ - $\gamma$ :  $l_1 \cdot l$   $\gamma = (\text{Pos } (\text{term.from-ground } t_G))$  and
     $t_1$ - $\gamma$ :  $t_1 \cdot t$   $\gamma = \text{term.from-ground } t_G$ 
  proof–
    have is-maximal (literal.from-ground  $L_G$ ) ( $D \cdot \gamma$ )
      using  $D$ -grounding ground-factoringI(4)
      by auto

    then have  $l_1 \cdot l$   $\gamma = (\text{Pos } (\text{term.from-ground } t_G))$ 
      unfolding ground-factoringI(2)
      using unique-maximal-in-ground-clause[OF  $D$ -grounding  $l_1$ - $\gamma$ -is-maximal]
      by simp

    then show ?thesis
      using that
      unfolding ground-factoringI(2)
      by (metis Neg-atm-of-iff clause-safe-unfolds(9) literal.collapse(1) literal.sel(1)
          subst-polarity-stable(2))
  qed

  obtain  $l_2$   $D'$  where
     $l_2$ - $\gamma$ :  $l_2 \cdot l$   $\gamma = \text{Pos } (\text{term.from-ground } t_G)$  and
     $D$ :  $D = \text{add-mset } l_1 (\text{add-mset } l_2 D')$ 
  proof–

    obtain  $D''$  where  $D$ :  $D = \text{add-mset } l_1 D''$ 
      using maximal-in-clause[OF  $l_1$ -is-maximal]
      by (meson multi-member-split)

    moreover have  $D \cdot \gamma = \text{clause.from-ground } (\text{add-mset } L_G (\text{add-mset } L_G D_G'))$ 
      using ground-factoringI(1)  $C_G$ -def
      by (metis  $D_G$ -def  $D$ -grounding clause.to-ground-inverse)

    ultimately have  $D'' \cdot \gamma = \text{add-mset } (\text{literal.from-ground } L_G) (\text{clause.from-ground } D_G')$ 

```

```

    using  $l_1 \sim \gamma$ 
    by (simp add: ground-factoringI(2))

then obtain  $l_2$  where  $l_2 \cdot l \gamma = \text{Pos } (\text{term.from-ground } t_G) \ l_2 \in \# \ D''$ 
  unfolding clause.subst-def ground-factoringI
  using msed-map-invR
  by force

then show ?thesis
  using that
  unfolding D
  by (metis mset-add)
qed

then obtain  $t_2$  where
   $l_2: l_2 = (\text{Pos } t_2)$  and
   $t_2 \sim \gamma: t_2 \cdot t \gamma = \text{term.from-ground } t_G$ 
  unfolding ground-factoringI(2)
  by (metis clause-safe-unfolds(9) is-pos-def literal.sel(1) subst-polarity-stable(2))

have  $D' \sim \gamma: D' \cdot \gamma = \text{clause.from-ground } D_G'$ 
  using D D-grounding ground-factoringI(1,2,3)  $l_1 \sim \gamma \ l_2 \sim \gamma$ 
  by force

obtain  $\mu \ \sigma$  where
   $\gamma: \gamma = \mu \odot \sigma$  and
  type-preserving- $\mu$ : type-preserving-on (clause.vars D)  $\mathcal{V} \ \mu$  and
  imgu: term.is-imgu  $\mu \ \{\{t_1, t_2\}\}$ 
proof (rule obtain-type-preserving-on-imgu[OF - that], intro conjI)

  show  $t_1 \cdot t \gamma = t_2 \cdot t \gamma$ 
    using  $t_1 \sim \gamma \ t_2 \sim \gamma$ 
    by argo
next

  show type-preserving-on (term.vars  $t_1 \cup \text{term.vars } t_2$ )  $\mathcal{V} \ \gamma$ 
    using type-preserving- $\gamma$ 
    unfolding D  $l_1 \ l_2$ 
    by auto
qed

let ?C'' = add-mset  $l_1 \ D'$ 
let ?C' = ?C''  $\cdot \ \mu$ 

show ?thesis
proof(rule that)

  show factoring: factoring ( $\mathcal{V}, D$ ) ( $\mathcal{V}, ?C'$ )
  proof (rule factoringI; (rule D imgu type-preserving- $\mu$  refl  $\mathcal{V}$ )?)

```

```

show select  $D = \{\#\}$ 
  using ground-factoringI(3) select
  by simp
next

have  $l_1 \cdot l \mu \in \# D \cdot \mu$ 
  using l1-is-maximal clause.subst-in-to-set-subst maximal-in-clause
  by blast

then show is-maximal ( $l_1 \cdot l \mu$ ) ( $D \cdot \mu$ )
  using is-maximal-if-grounding-is-maximal D-grounding l1- $\gamma$ -is-maximal
  unfolding  $\gamma$ 
  by auto
next

show  $D = \text{add-mset } l_1 (\text{add-mset } (Pos \ t_2) \ D')$ 
  unfolding  $D \ l_2 \ ..$ 
next
show  $l_1 = Pos \ t_1$ 
  using  $l_1 \ .$ 
qed

show  $C' \cdot \gamma: ?C' \cdot \gamma = C \cdot \gamma$ 
proof-
  have term.is-idem  $\mu$ 
    using imgu
    unfolding term.is-imgu-iff-is-idem-and-is-mgu
    by blast

  then have  $\mu \cdot \gamma: \mu \odot \gamma = \gamma$ 
    unfolding  $\gamma$  term.is-idem-def term.assoc[symmetric]
    by argo

  have  $C \cdot \gamma = \text{clause.from-ground } (\text{add-mset } (Pos \ t_G) \ D_G')$ 
  using ground-factoringI(5) clause.to-ground-eq[OF C-grounding clause.ground-is-ground]
    unfolding  $C_G\text{-def}$ 
    by (metis clause.from-ground-inverse ground-factoringI(2))

  also have  $... = ?C'' \cdot \gamma$ 
    using  $t_1 \cdot \gamma \ D' \cdot \gamma \ l_1 \cdot \gamma$ 
    by auto

  also have  $... = ?C' \cdot \gamma$ 
    unfolding clause.subst-comp-subst[symmetric]  $\mu \cdot \gamma \ ..$ 

  finally show ?thesis ..
qed

```

```

show  $\text{Infer } [D_G] \ C_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}, D)] \ (\mathcal{V}, ?C'))$ 
proof (rule is-inference-ground-instance-one-premise)
  show is-inference-ground-instance-one-premise  $(\mathcal{V}, D) \ (\mathcal{V}, ?C') \ (\text{Infer } [D_G]$ 
 $C_G) \ \gamma$ 
  proof(unfold split, intro conjI; (rule refl  $\mathcal{V}$ )?)

  show inference.is-ground  $(\text{Infer } [D] \ ?C' \cdot \iota \ \gamma)$ 
    using C-grounding D-grounding  $C' \cdot \gamma$ 
    by auto
  next

  show  $\text{Infer } [D_G] \ C_G = \text{inference.to-ground } (\text{Infer } [D] \ ?C' \cdot \iota \ \gamma)$ 
    using  $C' \cdot \gamma$ 
    by simp
  next

  have clause.vars  $?C' \subseteq \text{clause.vars } D$ 
    using clause.variables-in-base-imgu[OF imgu, of  $?C''$ ]
    unfolding  $D \ l_1 \ l_2$ 
    by auto

  then show type-preserving-on  $(\text{clause.vars } ?C') \ \mathcal{V} \ \gamma$ 
    using type-preserving- $\gamma$ 
    by blast
  qed

  show  $\text{Infer } [D_G] \ C_G \in \text{ground.G-Inf}$ 
    unfolding ground.G-Inf-def
    using ground-factoring
    by blast
  qed
qed
qed
qed

lemma resolution-lifting:
fixes
   $C_G \ D_G \ R_G :: 't_G \ \text{clause}$  and
   $C \ D \ R :: 't \ \text{clause}$  and
   $\gamma \ \varrho_1 \ \varrho_2 :: 'v \Rightarrow 't$  and
   $\mathcal{V}_1 \ \mathcal{V}_2 :: ('v, 'ty) \ \text{var-types}$ 
defines
  [simp]:  $C_G \equiv \text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma)$  and
  [simp]:  $D_G \equiv \text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma)$  and
  [simp]:  $R_G \equiv \text{clause.to-ground } (R \cdot \gamma)$  and
  [simp]:  $N_G \equiv \text{ground-instances } \mathcal{V}_1 \ C \cup \text{ground-instances } \mathcal{V}_2 \ D$  and
  [simp]:  $\iota_G \equiv \text{Infer } [D_G, C_G] \ R_G$ 
assumes
  ground-resolution: ground.resolution  $D_G \ C_G \ R_G$  and
   $\varrho_1$ : term.is-renaming  $\varrho_1$  and

```

ϱ_2 : *term.is-renaming* ϱ_2 **and**
rename-apart: $\text{clause.vars } (C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$ **and**
C-grounding: $\text{clause.is-ground } (C \cdot \varrho_1 \odot \gamma)$ **and**
D-grounding: $\text{clause.is-ground } (D \cdot \varrho_2 \odot \gamma)$ **and**
R-grounding: $\text{clause.is-ground } (R \cdot \gamma)$ **and**
select-from-C: $\text{clause.from-ground } (\text{select}_G C_G) = (\text{select } C) \cdot \varrho_1 \odot \gamma$ **and**
select-from-D: $\text{clause.from-ground } (\text{select}_G D_G) = (\text{select } D) \cdot \varrho_2 \odot \gamma$ **and**
type-preserving- ϱ_1 - γ : $\text{type-preserving-on } (\text{clause.vars } C) \mathcal{V}_1 (\varrho_1 \odot \gamma)$ **and**
type-preserving- ϱ_2 - γ : $\text{type-preserving-on } (\text{clause.vars } D) \mathcal{V}_2 (\varrho_2 \odot \gamma)$ **and**
type-preserving- ϱ_1 : $\text{type-preserving-on } (\text{clause.vars } C) \mathcal{V}_1 \varrho_1$ **and**
type-preserving- ϱ_2 : $\text{type-preserving-on } (\text{clause.vars } D) \mathcal{V}_2 \varrho_2$ **and**
 $\mathcal{V}_1$: *infinite-variables-per-type* $\mathcal{V}_1$ **and**
 $\mathcal{V}_2$: *infinite-variables-per-type* $\mathcal{V}_2$
obtains $R' \mathcal{V}_3$
where
resolution $(\mathcal{V}_2, D) (\mathcal{V}_1, C) (\mathcal{V}_3, R')$
 $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, C)] (\mathcal{V}_3, R'))$
 $R' \cdot \gamma = R \cdot \gamma$
using *ground-resolution*
proof(*cases* $D_G C_G R_G$ *rule*: *ground.resolution.cases*)
case *ground-resolutionI*: (*resolutionI* $L_{GC} C_G' L_{GD} D_G' t_G$)

have $C\text{-}\gamma$: $C \cdot \varrho_1 \odot \gamma = \text{clause.from-ground } (\text{add-mset } L_{GC} C_G')$
using *ground-resolutionI*(1)
unfolding $C_G\text{-def}$
by (*metis* *C-grounding* *clause.to-ground-inverse*)

have $D\text{-}\gamma$: $D \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } (\text{add-mset } L_{GD} D_G')$
using *ground-resolutionI*(2) $D_G\text{-def}$
by (*metis* *D-grounding* *clause.to-ground-inverse*)

let $?select_G\text{-empty} = \text{select}_G (\text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma)) = \{\#\}$
let $?select_G\text{-not-empty} = \text{select}_G (\text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma)) \neq \{\#\}$

obtain l_C **where**
 $l_C\text{-}\gamma$: $l_C \cdot l \varrho_1 \odot \gamma = \text{literal.from-ground } L_{GC}$ **and**
 $l_C\text{-is-maximal}$: $?select_G\text{-empty} \implies \text{is-maximal } l_C C$ **and**
 $l_C\text{-}\gamma\text{-is-maximal}$: $?select_G\text{-empty} \implies \text{is-maximal } (l_C \cdot l \varrho_1 \odot \gamma) (C \cdot \varrho_1 \odot \gamma)$
and
 $l_C\text{-selected}$: $?select_G\text{-not-empty} \implies \text{is-maximal } l_C (\text{select } C)$ **and**
 $l_C\text{-}\gamma\text{-selected}$: $?select_G\text{-not-empty} \implies \text{is-maximal } (l_C \cdot l \varrho_1 \odot \gamma) ((\text{select } C) \cdot \varrho_1 \odot \gamma)$ **and**
 $l_C\text{-in-C}$: $l_C \in \# C$
proof –
have $C\text{-not-empty}$: $C \neq \{\#\}$
using *ground-resolutionI*(1)
by *auto*

then obtain *max-l* **where**

is-maximal *max-l* *C* **and**
is-max-in-C- γ : *is-maximal* (*max-l* $\cdot l$ $\varrho_1 \odot \gamma$) (*C* $\cdot \varrho_1 \odot \gamma$)
using *that C-grounding obtain-maximal-literal C-not-empty*
by *blast*

moreover then have *max-l* $\in \#$ *C*
unfolding *is-maximal-def*
by *blast*

moreover have *max-l* $\cdot l$ $\varrho_1 \odot \gamma = \text{literal.from-ground } L_{GC}$ **if** *?select_G-empty*
proof –
have *ground-is-maximal* L_{GC} *C_G*
using *ground-resolutionI(6)* *that*
unfolding *is-maximal-def*
by *simp*

then show *?thesis*
using *unique-maximal-in-ground-clause[OF C-grounding is-max-in-C- γ]*
C-grounding
unfolding *ground-resolutionI(3)*
by *simp*
qed

moreover then obtain *selected-l* **where**
is-maximal *selected-l* (*select C*)
is-maximal (*selected-l* $\cdot l$ $\varrho_1 \odot \gamma$) ((*select C*) $\cdot \varrho_1 \odot \gamma$)
selected-l $\cdot l$ $\varrho_1 \odot \gamma = \text{literal.from-ground } L_{GC}$
if *?select_G-not-empty*
proof –
have *is-maximal* (*literal.from-ground* L_{GC}) ((*select C*) $\cdot \varrho_1 \odot \gamma$)
if *?select_G-not-empty*
using *ground-resolutionI(6)* *that*
unfolding *ground-resolutionI(3)*
by (*metis C_G-def select-from-C*)

then show *?thesis*
using
that
obtain-maximal-literal[OF - select-ground-subst[OF C-grounding]]
unique-maximal-in-ground-clause[OF select-ground-subst[OF C-grounding]]
by (*metis (no-types, lifting) clause.magma-subst-empty(1) is-maximal-not-empty*)
qed

moreover then have *selected-l* $\in \#$ *C* **if** *?select_G-not-empty*
using *that maximal-in-clause mset-subset-eqD select-subset*
by *meson*

ultimately show *?thesis*

using *that ground-resolutionI*
 by *blast*
 qed

obtain C' **where** C : $C = \text{add-mset } l_C \ C'$
 by (*meson* l_C -in- C *multi-member-split*)

then have $C' \cdot \gamma$: $C' \cdot \varrho_1 \odot \gamma = \text{clause.from-ground } C_G'$
 using $l_C \cdot \gamma \ C \cdot \gamma$
 by *auto*

obtain t_C **where**
 l_C : $l_C = \text{Neg } t_C$ **and**
 $t_C \cdot \gamma$: $t_C \cdot t \ \varrho_1 \odot \gamma = \text{term.from-ground } t_G$
 using $l_C \cdot \gamma$
by (*metis* *Neg-atm-of-iff literal-from-ground-atom-from-ground(1)* *clause-safe-unfolds(9)*
ground-resolutionI(3) *literal.sel(2)* *subst-polarity-stable(2)*)

obtain l_D **where**
 $l_D \cdot \gamma$: $l_D \cdot l \ \varrho_2 \odot \gamma = \text{literal.from-ground } L_{GD}$ **and**
 l_D -is-strictly-maximal: *is-strictly-maximal* $l_D \ D$
proof–
have *is-strictly-maximal* (*literal.from-ground* L_{GD}) ($D \cdot \varrho_2 \odot \gamma$)
 using *ground-resolutionI(8)* *D-grounding*
 by *simp*

then show *?thesis*
 using *obtain-strictly-maximal-literal[OF D-grounding]* *that*
 by *force*
 qed

then have l_D -in- D : $l_D \in \# \ D$
 using *strictly-maximal-in-clause*
 by *blast*

from $l_D \cdot \gamma$ **have** $l_D \cdot \gamma$: $l_D \cdot l \ \varrho_2 \odot \gamma = (\text{Pos } (\text{term.from-ground } t_G))$
 unfolding *ground-resolutionI*
 by *simp*

then obtain t_D **where**
 l_D : $l_D = \text{Pos } t_D$ **and**
 $t_D \cdot \gamma$: $t_D \cdot t \ \varrho_2 \odot \gamma = \text{term.from-ground } t_G$
by (*metis* *clause-safe-unfolds(9)* *literal.collapse(1)* *literal.disc(1)* *literal.sel(1)*
subst-polarity-stable(2))

obtain D' **where** D : $D = \text{add-mset } l_D \ D'$
 by (*meson* l_D -in- D *multi-member-split*)

then have $D' \cdot \gamma$: $D' \cdot \varrho_2 \odot \gamma = \text{clause.from-ground } D_G'$

```

using  $D\text{-}\gamma \ l_D\text{-}\gamma$ 
unfolding ground-resolutionI
by auto

obtain  $\mathcal{V}_3$  where
   $\mathcal{V}_3$ : infinite-variables-per-type  $\mathcal{V}_3$  and
   $\mathcal{V}_1\text{-}\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } C. \mathcal{V}_1 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_1 \ x)$  and
   $\mathcal{V}_2\text{-}\mathcal{V}_3$ :  $\forall x \in \text{clause.vars } D. \mathcal{V}_2 \ x = \mathcal{V}_3 \ (\text{term.rename } \varrho_2 \ x)$ 
using clause.obtain-merged- $\mathcal{V}$ [OF  $\varrho_1 \ \varrho_2$  rename-apart clause.finite-vars clause.finite-vars
infinite-UNIV] .

have type-preserving- $\gamma$ : type-preserving-on (clause.vars (C ·  $\varrho_1$ )  $\cup$  clause.vars (D
·  $\varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
proof(unfold Set.ball-Un, intro conjI)

  show type-preserving-on (clause.vars (C ·  $\varrho_1$ ))  $\mathcal{V}_3 \ \gamma$ 
    using clause.renaming-grounding[OF  $\varrho_1$  type-preserving- $\varrho_1\text{-}\gamma$  C-grounding
 $\mathcal{V}_1\text{-}\mathcal{V}_3$ ] .
  next

  show type-preserving-on (clause.vars (D ·  $\varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
    using clause.renaming-grounding[OF  $\varrho_2$  type-preserving- $\varrho_2\text{-}\gamma$  D-grounding
 $\mathcal{V}_2\text{-}\mathcal{V}_3$ ] .
  qed

obtain  $\mu \ \sigma$  where
   $\gamma$ :  $\gamma = \mu \odot \sigma$  and
  type-preserving- $\mu$ : type-preserving-on (clause.vars (C ·  $\varrho_1$ )  $\cup$  clause.vars (D
 $\varrho_2$ ))  $\mathcal{V}_3 \ \mu$  and
  imgu: term.is-imgu  $\mu \ \{\{t_C \cdot t \ \varrho_1, t_D \cdot t \ \varrho_2\}\}$ 
proof (rule obtain-type-preserving-on-imgu[OF - that], intro conjI)

  show  $t_C \cdot t \ \varrho_1 \cdot t \ \gamma = t_D \cdot t \ \varrho_2 \cdot t \ \gamma$ 
    using  $t_C\text{-}\gamma \ t_D\text{-}\gamma$ 
    by simp
  next

  show type-preserving-on (term.vars (t_C · t  $\varrho_1$ )  $\cup$  term.vars (t_D · t  $\varrho_2$ ))  $\mathcal{V}_3 \ \gamma$ 
    using type-preserving- $\gamma$ 
    unfolding  $C \ D \ l_C \ l_D$ 
    by auto
  qed

define  $R'$  where
   $R': R' = (C' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu$ 

show ?thesis
proof(rule that)

```

```

show resolution: resolution ( $\mathcal{V}_2, D$ ) ( $\mathcal{V}_1, C$ ) ( $\mathcal{V}_3, R'$ )
proof (rule resolutionI; ((rule  $\varrho_1 \varrho_2 C D l_C l_D$  imgu type-preserving- $\mu$  re-
name-apart
type-preserving- $\varrho_1$  type-preserving- $\varrho_2$   $\mathcal{V}_1 \mathcal{V}_2 R' \mathcal{V}_1\text{-}\mathcal{V}_3 \mathcal{V}_2\text{-}\mathcal{V}_3$ )+)?)

show  $\neg C \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu$ 
proof(rule clause.order.ground-less-not-less-eq)

show clause.vars ( $D \cdot \varrho_2 \odot \mu \cdot \sigma$ ) = {}
using D-grounding
unfolding  $\gamma$ 
by simp

show clause.vars ( $C \cdot \varrho_1 \odot \mu \cdot \sigma$ ) = {}
using C-grounding
unfolding  $\gamma$ 
by simp

show  $D \cdot \varrho_2 \odot \mu \cdot \sigma \prec_c C \cdot \varrho_1 \odot \mu \cdot \sigma$ 
using ground-resolutionI(5) D-grounding C-grounding
unfolding  $C_G\text{-def } D_G\text{-def clause.order.less}_G\text{-def } \gamma$ 
by simp
qed
next
assume select  $C = \{\#\}$ 

moreover then have ?selectG-empty
using is-maximal-not-empty  $l_C\text{-selected}$ 
by blast

moreover have  $l_C \cdot l \varrho_1 \odot \mu \in \# C \cdot \varrho_1 \odot \mu$ 
using  $l_C\text{-in-}C$ 
by blast

ultimately show is-maximal ( $l_C \cdot l \varrho_1 \odot \mu$ ) ( $C \cdot \varrho_1 \odot \mu$ )
using  $l_C\text{-}\gamma\text{-is-maximal is-maximal-if-grounding-is-maximal } C\text{-grounding}$ 
unfolding  $\gamma$ 
by force
next
assume select  $C \neq \{\#\}$ 

then have  $\neg ?\text{select}_G\text{-empty}$ 
using is-maximal-not-empty  $l_C\text{-selected select-from-}C$ 
by auto

moreover have  $l_C \cdot l \varrho_1 \odot \mu \in \# \text{select } C \cdot \varrho_1 \odot \mu$ 
using  $l_C\text{-selected maximal-in-clause calculation}$ 
by blast

```

```

ultimately show is-maximal ( $l_C \cdot l \varrho_1 \odot \mu$ ) (select  $C \cdot \varrho_1 \odot \mu$ )
using select-ground-subst[OF C-grounding] is-maximal-if-grounding-is-maximal
 $l_C$ - $\gamma$ -selected
  unfolding  $\gamma$ 
  by fastforce
next

show select  $D = \{\#\}$ 
  using ground-resolutionI( $\gamma$ ) select-from-D
  by fastforce
next

show is-strictly-maximal ( $l_D \cdot l \varrho_2 \odot \mu$ ) ( $D \cdot \varrho_2 \odot \mu$ )
proof(rule is-strictly-maximal-if-grounding-is-strictly-maximal)

  show  $l_D \cdot l \varrho_2 \odot \mu \in \# D \cdot \varrho_2 \odot \mu$ 
  using  $l_D$ -in- $D$ 
  by blast

  show clause.is-ground ( $D \cdot \varrho_2 \odot \mu \cdot \sigma$ )
  using  $D$ -grounding[unfolded  $\gamma$ ]
  by simp

  show is-strictly-maximal ( $l_D \cdot l \varrho_2 \odot \mu \cdot l \sigma$ ) ( $D \cdot \varrho_2 \odot \mu \cdot \sigma$ )
  using  $l_D$ - $\gamma$   $D$ - $\gamma$  ground-resolutionI(8)
  unfolding  $\gamma$  ground-resolutionI
  by fastforce
qed
qed

show  $R' \cdot \gamma: R' \cdot \gamma = R \cdot \gamma$ 
proof—

  have term.is-idem  $\mu$ 
  using ingu term.is-ingu-iff-is-idem-and-is-mgu
  by blast

  then have  $\mu \cdot \gamma: \mu \odot \gamma = \gamma$ 
  unfolding  $\gamma$  term.is-idem-def
  by (metis term.assoc)

  have  $R \cdot \gamma = (\text{clause.from-ground } C_G' + \text{clause.from-ground } D_G')$ 
  using ground-resolutionI(8, 9) clause.to-ground-inverse[OF R-grounding]
  by auto

  then show ?thesis
  unfolding
     $R'$ 

```

```

      C'-γ[symmetric]
      D'-γ[symmetric]
      clause.subst-comp-subst[symmetric]
      μ-γ
    by simp
qed

show ιG ∈ inference-ground-instances (Infer [(V2, D), (V1, C)] (V3, R'))
proof (rule is-inference-ground-instance-two-premises)

  show is-inference-ground-instance-two-premises (V2, D) (V1, C) (V3, R') ιG
  γ ρ1 ρ2
  proof (unfold split, intro conjI;
    (rule ρ1 ρ2 rename-apart refl V1 V2 V3)?)

    show inference.is-ground (Infer [D · ρ2, C · ρ1] R' · ι γ)
    using D-grounding C-grounding R-grounding R'-γ
    by auto
  next

    show ιG = inference.to-ground (Infer [D · ρ2, C · ρ1] R' · ι γ)
    using R'-γ
    by simp
  next

    show type-preserving-on (clause.vars R') V3 γ
    proof (rule type-preserving-on-subset[OF type-preserving-γ])

      show clause.vars R' ⊆ clause.vars (C · ρ1) ∪ clause.vars (D · ρ2)
      proof (unfold subset-eq, intro ballI)
        fix x

        have is-imgu: term.is-imgu μ {tC · t ρ1, tD · t ρ2}
        using imgu
        by blast

        assume x ∈ clause.vars R'

        then consider
          (C') x ∈ clause.vars (C' · ρ1 ⊙ μ) |
          (D') x ∈ clause.vars (D' · ρ2 ⊙ μ)
        unfolding R'
        by auto

        then show x ∈ clause.vars (C · ρ1) ∪ clause.vars (D · ρ2)
        proof cases
          case C'

            then show ?thesis

```

```

      using clause.variables-in-base-imgu[OF is-imgu]
      unfolding C l_C D l_D
      by auto
    next
      case D'

      then show ?thesis
      using clause.variables-in-base-imgu[OF is-imgu]
      unfolding C l_C D l_D
      by auto
    qed
  qed
qed
qed
show  $\iota_G \in \text{ground}.G\text{-Inf}$ 
  unfolding ground.G-Inf-def
  using ground-resolution
  by simp
qed
qed
qed

```

2.2 Ground instances

```

context
  fixes  $\iota_G$  N
  assumes
    subst-stability: subst-stability-on N and
     $\iota_G$ -Inf-from:  $\iota_G \in \text{ground}.Inf\text{-from-q select}_G (\bigcup (\text{uncurried-ground-instances } 'N))$ 
begin

```

```

lemma factoring-ground-instance:
  assumes ground-factoring:  $\iota_G \in \text{ground.factoring-inferences}$ 
  obtains  $\iota$  where
     $\iota \in \text{Inf-from } N$ 
     $\iota_G \in \text{inference-ground-instances } \iota$ 
proof –

```

```

  obtain  $D_G$   $C_G$  where
     $\iota_G$ :  $\iota_G = \text{Infer } [D_G] C_G$  and
    ground-inference: ground.factoring  $D_G$   $C_G$ 
  using ground-factoring
  by blast

```

```

have  $D_G\text{-in-groundings}$ :  $D_G \in \bigcup (\text{uncurried-ground-instances } 'N)$ 
  using  $\iota_G$ -Inf-from
  unfolding  $\iota_G$  ground.Inf-from-q-def ground.Inf-from-def
  by simp

```

```

obtain  $D \ \gamma \ \mathcal{V}$  where
  D-grounding: clause.is-ground ( $D \cdot \gamma$ ) and
  type-preserving- $\gamma$ : type-preserving-on (clause.vars  $D$ )  $\mathcal{V} \ \gamma$  and
   $\mathcal{V}$ : infinite-variables-per-type  $\mathcal{V}$  and
  D-in-N:  $(\mathcal{V}, D) \in N$  and
  selectG  $D_G = \text{clause.to-ground } (\text{select } D \cdot \gamma)$ 
   $D \cdot \gamma = \text{clause.from-ground } D_G$ 
using subst-stability[rule-format, OF DG-in-groundings]
by blast

then have
  DG:  $D_G = \text{clause.to-ground } (D \cdot \gamma)$  and
  select:  $\text{clause.from-ground } (\text{select}_G D_G) = \text{select } D \cdot \gamma$ 
by (simp-all add: select-ground-subst)

obtain  $C$  where
  CG:  $C_G = \text{clause.to-ground } (C \cdot \gamma)$  and
  C-grounding: clause.is-ground ( $C \cdot \gamma$ )
by (metis clause.all-subst-ident-iff-ground clause.from-ground-inverse
      clause.ground-is-ground)

obtain  $C'$  where
  factoring: factoring ( $\mathcal{V}, D$ ) ( $\mathcal{V}, C'$ ) and
  inference-ground-instances:  $\iota_G \in \text{inference-ground-instances } (\text{Infer } [(\mathcal{V}, D)] (\mathcal{V},$ 
 $C'))$  and
  C'-C:  $C' \cdot \gamma = C \cdot \gamma$ 
using
  factoring-lifting[OF
    ground-inference[unfolded DG CG]
    D-grounding
    C-grounding
    select[unfolded DG]
    type-preserving- $\gamma$ 
     $\mathcal{V}$ ]
unfolding  $D_G \ C_G \ \iota_G$  .

let  $? \iota = \text{Infer } [(\mathcal{V}, D)] (\mathcal{V}, C')$ 

show ?thesis
proof(rule that[OF - inference-ground-instances])

  show  $? \iota \in \text{Inf-from } N$ 
  using D-in-N factoring
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto
qed
qed

```

lemma *resolution-ground-instance:*

assumes *ground-resolution*: $\iota_G \in \text{ground.resolution-inferences}$

obtains ι **where**

$\iota \in \text{Inf-from } N$

$\iota_G \in \text{inference-ground-instances } \iota$

proof–

obtain $C_G D_G R_G$ **where**

$\iota_G : \iota_G = \text{Infer } [D_G, C_G] R_G$ **and**

ground-resolution: *ground.resolution* $D_G C_G R_G$

using *assms*(1)

by *blast*

have

C_G-in-groundings: $C_G \in \bigcup (\text{uncurried-ground-instances } 'N)$ **and**

D_G-in-groundings: $D_G \in \bigcup (\text{uncurried-ground-instances } 'N)$

using $\iota_G\text{-Inf-from}$

unfolding ι_G *ground.Inf-from-q-def* *ground.Inf-from-def*

by *simp-all*

obtain $C \mathcal{V}_1 \gamma_1$ **where**

C-grounding: *clause.is-ground* $(C \cdot \gamma_1)$ **and**

type-preserving- γ_1 : *type-preserving-on* $(\text{clause.vars } C) \mathcal{V}_1 \gamma_1$ **and**

$\mathcal{V}_1$: *infinite-variables-per-type* $\mathcal{V}_1$ **and**

C-in-N: $(\mathcal{V}_1, C) \in N$ **and**

select_G $C_G = \text{clause.to-ground } (\text{select } C \cdot \gamma_1)$

$C \cdot \gamma_1 = \text{clause.from-ground } C_G$

using *subst-stability*[*rule-format*, *OF C_G-in-groundings*]

by *blast*

then have

C_G: $C_G = \text{clause.to-ground } (C \cdot \gamma_1)$ **and**

select-from-C: *clause.from-ground* $(\text{select}_G C_G) = \text{select } C \cdot \gamma_1$

by (*simp-all add: select-ground-subst*)

obtain $D \mathcal{V}_2 \gamma_2$ **where**

D-grounding: *clause.is-ground* $(D \cdot \gamma_2)$ **and**

type-preserving- γ_2 : *type-preserving-on* $(\text{clause.vars } D) \mathcal{V}_2 \gamma_2$ **and**

$\mathcal{V}_2$: *infinite-variables-per-type* $\mathcal{V}_2$ **and**

D-in-N: $(\mathcal{V}_2, D) \in N$ **and**

select_G $D_G = \text{clause.to-ground } (\text{select } D \cdot \gamma_2)$

$D \cdot \gamma_2 = \text{clause.from-ground } D_G$

using *subst-stability*[*rule-format*, *OF D_G-in-groundings*]

by *blast*

then have

D_G: $D_G = \text{clause.to-ground } (D \cdot \gamma_2)$ **and**

select-from-D: *clause.from-ground* $(\text{select}_G D_G) = \text{select } D \cdot \gamma_2$

by (*simp-all add: select-ground-subst*)

obtain $\varrho_1 \varrho_2 \gamma :: 'v \Rightarrow 't$ **where**
 ϱ_1 : *term.is-renaming* ϱ_1 **and**
 ϱ_2 : *term.is-renaming* ϱ_2 **and**
rename-apart: $\text{clause.vars } (C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$ **and**
type-preserving- ϱ_1 : *type-preserving-on* ($\text{clause.vars } C$) $\mathcal{V}_1 \varrho_1$ **and**
type-preserving- ϱ_2 : *type-preserving-on* ($\text{clause.vars } D$) $\mathcal{V}_2 \varrho_2$ **and**
 $\gamma_1\text{-}\gamma$: $\forall x \in \text{clause.vars } C. \gamma_1 x = (\varrho_1 \odot \gamma) x$ **and**
 $\gamma_2\text{-}\gamma$: $\forall x \in \text{clause.vars } D. \gamma_2 x = (\varrho_2 \odot \gamma) x$
using *clause.obtain-merged-grounding*[*OF*
type-preserving- γ_1 type-preserving- γ_2 C-grounding D-grounding $\mathcal{V}_2$ clause.finite-vars]
.

have *C-grounding*: *clause.is-ground* ($C \cdot \varrho_1 \odot \gamma$)
using *clause.subst-eq* $\gamma_1\text{-}\gamma$ *C-grounding*
by *fastforce*

have C_G : $C_G = \text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma)$
using *clause.subst-eq* $\gamma_1\text{-}\gamma$ C_G
by *fastforce*

have *D-grounding*: *clause.is-ground* ($D \cdot \varrho_2 \odot \gamma$)
using *clause.subst-eq* $\gamma_2\text{-}\gamma$ *D-grounding*
by *fastforce*

have D_G : $D_G = \text{clause.to-ground } (D \cdot \varrho_2 \odot \gamma)$
using *clause.subst-eq* $\gamma_2\text{-}\gamma$ D_G
by *fastforce*

have *type-preserving- $\varrho_1\text{-}\gamma$* : *type-preserving-on* ($\text{clause.vars } C$) $\mathcal{V}_1 (\varrho_1 \odot \gamma)$
using *type-preserving- γ_1* $\gamma_1\text{-}\gamma$
by *fastforce*

have *type-preserving- $\varrho_2\text{-}\gamma$* : *type-preserving-on* ($\text{clause.vars } D$) $\mathcal{V}_2 (\varrho_2 \odot \gamma)$
using *type-preserving- γ_2* $\gamma_2\text{-}\gamma$
by *fastforce*

have *select-from-C*:
 $\text{clause.from-ground } (\text{select}_G (\text{clause.to-ground } (C \cdot \varrho_1 \odot \gamma))) = \text{select } C \cdot \varrho_1 \odot \gamma$

γ
proof–
have $C \cdot \gamma_1 = C \cdot \varrho_1 \odot \gamma$
using $\gamma_1\text{-}\gamma$ *clause.subst-eq*
by *fast*

moreover have $\text{select } C \cdot \gamma_1 = \text{select } C \cdot \varrho_1 \cdot \gamma$
using *clause.subst-eq* $\gamma_1\text{-}\gamma$ *select-vars-subset*
by (*metis* (*no-types*, *lifting*) *clause.comp-subst.left.monoid-action-compatibility*
in-mono)

```

ultimately show ?thesis
  using select-from-C
  unfolding CG
  by simp
qed

have select-from-D:
  clause.from-ground (selectG (clause.to-ground (D · ρ2 ⊙ γ))) = select D · ρ2 ⊙
γ
proof-
  have D · γ2 = D · ρ2 ⊙ γ
  using γ2-γ clause.subst-eq
  by fast

moreover have select D · γ2 = select D · ρ2 · γ
  using clause.subst-eq γ2-γ select-vars-subset[of D]
  by (metis (no-types, lifting) clause.comp-subst.left.monoid-action-compatibility
in-mono)

ultimately show ?thesis
  using select-from-D
  unfolding DG
  by simp
qed

obtain R where
  R-grounding: clause.is-ground (R · γ) and
  RG: RG = clause.to-ground (R · γ)
  by (metis clause.all-subst-ident-if-ground clause.from-ground-inverse clause.ground-is-ground)

have ground-instances V1 C ∪ ground-instances V2 D ⊆ ∪ (uncurried-ground-instances
‘ N)
  using C-in-N D-in-N
  by force

obtain R' V3 where
  resolution: resolution (V2, D) (V1, C) (V3, R') and
  inference-groundings: ιG ∈ inference-ground-instances (Infer [(V2, D), (V1, C)]
(V3, R')) and
  R'-γ-R-γ: R' · γ = R · γ
  using resolution-lifting[OF ground-resolution[unfolded CG DG RG]
    ρ1 ρ2
    rename-apart
    C-grounding D-grounding R-grounding
    select-from-C select-from-D
    type-preserving-ρ1-γ type-preserving-ρ2-γ
    type-preserving-ρ1 type-preserving-ρ2
    V1 V2]

```

```

unfolding  $\iota_G$   $R_G$   $C_G$   $D_G$  .

let  $?l = \text{Infer } [(\mathcal{V}_2, D), (\mathcal{V}_1, C)] (\mathcal{V}_3, R')$ 

show  $?thesis$ 
proof(rule that[OF - inference-groundings])

  show  $?l \in \text{Inf-from } N$ 
  using C-in-N D-in-N resolution
  unfolding Inf-from-def inferences-def inference-system.Inf-from-def
  by auto
qed
qed

lemma ground-instances:
obtains  $\iota$  where
   $\iota \in \text{Inf-from } N$ 
   $\iota_G \in \text{inference-ground-instances } \iota$ 
proof –

consider
  (resolution)  $\iota_G \in \text{ground.resolution-inferences}$  |
  (factoring)  $\iota_G \in \text{ground.factoring-inferences}$ 
using  $\iota_G\text{-Inf-from}$ 
unfolding
  ground.Inf-from-q-def
  ground.G-Inf-def
  inference-system.Inf-from-def
by fastforce

then show  $?thesis$ 
proof cases
  case resolution

    then show  $?thesis$ 
    using that resolution-ground-instance
    by blast
  next
  case factoring

    then show  $?thesis$ 
    using that factoring-ground-instance
    by blast
  qed
qed

end

end

```

```

context ordered-resolution-calculus
begin

lemma overapproximation:
  obtains  $select_G$  where
    ground-Inf-overapproximated  $select_G$  premises
    is-grounding  $select_G$ 
proof–
  obtain  $select_G$  where
    subst-stability: select-subst-stability-on select  $select_G$  premises and
    is-grounding  $select_G$ 
    using obtain-subst-stable-on-select-grounding
    by blast

then interpret grounded-ordered-resolution-calculus
  where  $select_G = select_G$ 
  by unfold-locales

show thesis
proof(rule that[ $OF - select_G$ ])

  show ground-Inf-overapproximated  $select_G$  premises
    using ground-instances[ $OF$  subst-stability]
    by auto
  qed
qed

sublocale statically-complete-calculus  $\perp_F$  inferences entails- $\mathcal{G}$  Red-I- $\mathcal{G}$  Red-F- $\mathcal{G}$ 
proof (unfold static-empty-ord-inter-equiv-static-inter,
  rule stat-ref-comp-to-non-ground-fam-inter,
  rule ballI)
fix  $select_G$ 
assume  $select_G \in select_{G_s}$ 

then interpret grounded-ordered-resolution-calculus
  where  $select_G = select_G$ 
  by unfold-locales (simp add: select_{G_s}-def)

show statically-complete-calculus
  ground.G-Bot
  ground.G-Inf
  ground.G-entails
  ground.Red-I
  ground.Red-F
  by unfold-locales
next

show  $\bigwedge N. \exists select_G \in select_{G_s}. \text{ground-Inf-overapproximated } select_G \ N$ 

```

```

    using overapproximation
    unfolding selectGs-def
    by (smt (verit, best) mem-Collect-eq)
qed

end

end

theory Ordered-Resolution-Welltypedness-Preservation
  imports Grounded-Ordered-Resolution
begin

context ordered-resolution-calculus
begin

lemma factoring-preserves-typing:
  assumes factoring: factoring  $(\mathcal{V}, C) (\mathcal{V}, D)$ 
  shows clause.is-welltyped  $\mathcal{V} C \longleftrightarrow$  clause.is-welltyped  $\mathcal{V} D$ 
  using assms
proof (cases  $(\mathcal{V}, C) (\mathcal{V}, D)$  rule: factoring.cases)
  case (factoringI  $L_1 \mu t_1 t_2 L_2 C'$ )

  show ?thesis
  proof (rule iffI)
    assume clause.is-welltyped  $\mathcal{V} C$ 
    then show clause.is-welltyped  $\mathcal{V} D$ 
      using factoringI
      by simp
  next
    assume D-is-welltyped: clause.is-welltyped  $\mathcal{V} D$ 

    note imgu = factoringI(3, 4)

    have clause.is-welltyped  $\mathcal{V} (add-mset L_1 C')$ 
      using D-is-welltyped imgu
      unfolding factoringI
      by simp

    moreover have literal.is-welltyped  $\mathcal{V} L_2$ 
      using D-is-welltyped term.imgu-same-type'[OF imgu] imgu
      unfolding factoringI
      by force

    ultimately show clause.is-welltyped  $\mathcal{V} C$ 
      unfolding factoringI
      by simp
  qed
qed

```

```

lemma resolution-preserves-typing:
  assumes
    resolution: resolution  $(\mathcal{V}_2, D)$   $(\mathcal{V}_1, C)$   $(\mathcal{V}_3, R)$  and
    D-is-welltyped: clause.is-welltyped  $\mathcal{V}_2$  D and
    C-is-welltyped: clause.is-welltyped  $\mathcal{V}_1$  C
  shows clause.is-welltyped  $\mathcal{V}_3$  R
  using resolution
proof (cases  $(\mathcal{V}_2, D)$   $(\mathcal{V}_1, C)$   $(\mathcal{V}_3, R)$  rule: resolution.cases)
  case (resolutionI  $\varrho_1$   $\varrho_2$   $\mu$   $t_1$   $t_2$   $L_1$   $L_2$   $C'$   $D'$ )

    note  $\mu$ -type-preserving = resolutionI(6)

    have clause.is-welltyped  $\mathcal{V}_3$   $(C \cdot \varrho_1)$ 
      using C-is-welltyped clause.welltyped-renaming[OF resolutionI(3, 13)]
      by blast

    then have C $\mu$ -is-welltyped: clause.is-welltyped  $\mathcal{V}_3$   $(C \cdot \varrho_1 \odot \mu)$ 
      using  $\mu$ -type-preserving
      by simp

    moreover have clause.is-welltyped  $\mathcal{V}_3$   $(D \cdot \varrho_2)$ 
      using D-is-welltyped clause.welltyped-renaming[OF resolutionI(4, 14)]
      by blast

    then have D $\mu$ -is-welltyped: clause.is-welltyped  $\mathcal{V}_3$   $(D \cdot \varrho_2 \odot \mu)$ 
      using  $\mu$ -type-preserving
      by simp

    ultimately show ?thesis
      unfolding resolutionI
      by auto
  qed

end

end

theory Untyped-Ordered-Resolution
  imports
    First-Order-Clause.Nonground-Order
    First-Order-Clause.Nonground-Selection-Function
    First-Order-Clause.Tiebreakers

    Fresh-Identifiers.Fresh
  begin

  locale untyped-ordered-resolution-calculus =
    nonground-order where
       $\text{less}_t = \text{less}_t$  and  $\text{Var} = \text{Var}$  and  $\text{term-from-ground} = \text{term-from-ground} :: 't_G$ 
       $\Rightarrow 't +$ 

```

nonground-selection-function **where**
select = *select* **and** *atom-subst* = $(\cdot t)$ **and** *atom-vars* = *term.vars* **and**
atom-from-ground = *term.from-ground* **and** *atom-to-ground* = *term.to-ground*
and *Var* = *Var* +

tiebreakers *tiebreakers* +

term: *exists-ingu* **where** *vars* = *term-vars* **and** *subst* = $(\cdot t)$ **and** *id-subst* = *Var*
for
select :: '*t select* **and**
less_t :: '*t* $\Rightarrow$ '*t* $\Rightarrow$ *bool* **and**
tiebreakers :: ('*t_G*, '*t*) *tiebreakers* **and**
Var :: '*v* :: *infinite* $\Rightarrow$ '*t*
begin

inductive *factoring* :: '*t clause* $\Rightarrow$ '*t clause* $\Rightarrow$ *bool*
where

factoringI:
 $C = \text{add-mset } L_1 (\text{add-mset } L_2 C') \Rightarrow$
 $L_1 = (\text{Pos } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $D = (\text{add-mset } L_1 C') \cdot \mu \Rightarrow$
factoring *C D*

if
select *C* = {#}
is-maximal ($L_1 \cdot l \mu$) ($C \cdot \mu$)
term.is-ingu $\mu \{\{t_1, t_2\}\}$

inductive *resolution* :: '*t clause* $\Rightarrow$ '*t clause* $\Rightarrow$ '*t clause* $\Rightarrow$ *bool*
where

resolutionI:
 $C = \text{add-mset } L_1 C' \Rightarrow$
 $D = \text{add-mset } L_2 D' \Rightarrow$
 $L_1 = (\text{Neg } t_1) \Rightarrow$
 $L_2 = (\text{Pos } t_2) \Rightarrow$
 $R = (C' \cdot \varrho_1 + D' \cdot \varrho_2) \cdot \mu \Rightarrow$
resolution *D C R*

if
term.is-renaming ϱ_1
term.is-renaming ϱ_2
 $\text{clause.vars } (C \cdot \varrho_1) \cap \text{clause.vars } (D \cdot \varrho_2) = \{\}$
term.is-ingu $\mu \{\{t_1 \cdot t \varrho_1, t_2 \cdot t \varrho_2\}\}$
 $\neg (C \cdot \varrho_1 \odot \mu \preceq_c D \cdot \varrho_2 \odot \mu)$
select *C* = {#} $\Rightarrow$ *is-maximal* ($L_1 \cdot l \varrho_1 \odot \mu$) ($C \cdot \varrho_1 \odot \mu$)
select *C* $\neq$ {#} $\Rightarrow$ *is-maximal* ($L_1 \cdot l \varrho_1 \odot \mu$) ($(\text{select } C) \cdot \varrho_1 \odot \mu$)
select *D* = {#}
is-strictly-maximal ($L_2 \cdot l \varrho_2 \odot \mu$) ($D \cdot \varrho_2 \odot \mu$)

abbreviation *factoring-inferences* **where**
factoring-inferences $\equiv \{ \text{Infer } [C] \ D \mid C \ D. \text{ factoring } C \ D \}$

abbreviation *resolution-inferences* **where**
resolution-inferences $\equiv \{ \text{Infer } [D, \ C] \ R \mid D \ C \ R. \text{ resolution } D \ C \ R \}$

definition *inferences* :: 't clause inference set **where**
inferences $\equiv \text{resolution-inferences} \cup \text{factoring-inferences}$

abbreviation *bottom* :: 't clause set **where**
bottom $\equiv \{ \{ \# \} \}$

end

end

theory *Untyped-Ordered-Resolution-Inference-System*
imports
Untyped-Ordered-Resolution
First-Order-Clause.Untyped-Calculus
Grounded-Ordered-Resolution
begin

context *untyped-ordered-resolution-calculus*
begin

sublocale *typed: ordered-resolution-calculus* **where**
welltyped = $\lambda - \cdot ()$. *True*
by
unfold-locales
(auto intro: term.ground-exists simp: term.exists-imgu right-unique-def split: unit.splits)

declare
typed.term.welltyped-renaming [*simp del*]
typed.term.welltyped-subst-stability [*simp del*]
typed.term.welltyped-subst-stability' [*simp del*]

abbreviation *entails* **where**
entails $N \ N' \equiv \text{typed.entails-}\mathcal{G} \ (\text{empty-typed } 'N) \ (\text{empty-typed } 'N')$

sublocale *untyped-consequence-relation* **where**
typed-bottom = $\perp_F$ **and** *typed-entails* = *typed.entails-}\mathcal{G}* **and**
bottom = *bottom* **and** *entails* = *entails*
proof *unfold-locales*

have *bottom* = *snd* ' $\perp_F$
using *image-iff typed.bot-not-empty*

```

    by fastforce

  then show  $bottom \equiv \text{snd } \perp_F$ 
    by argo
qed

sublocale untyped-inference-system where
  inferences = inferences and typed-inferences = typed.inferences
proof unfold-locales

{
  fix  $\mathcal{V} \ D \ \mathcal{V}' \ C$ 
  have typed.factorizing  $(\mathcal{V}, D) (\mathcal{V}', C) \longleftrightarrow \text{factorizing } D \ C$ 
    unfolding  $\mathcal{V}\text{-all-same}[of \ \mathcal{V}] \ \mathcal{V}\text{-all-same}[of \ \mathcal{V}']$ 
  proof (rule iffI)
    assume typed.factorizing  $(\text{empty-typed } D) (\text{empty-typed } C)$ 

    then show factorizing  $D \ C$ 
    proof (cases rule: typed.factorizing.cases)
      case typed-factorizingI: factorizingI

      then show ?thesis
        by (intro factorizingI; (rule typed-factorizingI)?)
    qed
  next
    assume factorizing  $D \ C$ 

    then show typed.factorizing  $(\text{empty-typed } D) (\text{empty-typed } C)$ 
    proof (cases rule: factorizing.cases)
      case factorizingI

      then show ?thesis
        by (intro typed.factorizingI) (auto simp: case-unit-Unity)
    qed
  qed
}

then have remove-types  $\text{typed.factorizing-inferences} = \text{factorizing-inferences}$ 
  by auto

moreover {
  fix  $\mathcal{V}_1 \ D \ \mathcal{V}_2 \ E \ \mathcal{V}_3 \ C$ 
  have typed.resolution  $(\mathcal{V}_1, D) (\mathcal{V}_2, E) (\mathcal{V}_3, C) \longleftrightarrow \text{resolution } D \ E \ C$ 
    unfolding  $\mathcal{V}\text{-all-same}[of \ \mathcal{V}_1] \ \mathcal{V}\text{-all-same}[of \ \mathcal{V}_2] \ \mathcal{V}\text{-all-same}[of \ \mathcal{V}_3]$ 
  proof (rule iffI)
    assume typed.resolution  $(\text{empty-typed } D) (\text{empty-typed } E) (\text{empty-typed } C)$ 

    then show resolution  $D \ E \ C$ 
    proof (cases rule: typed.resolution.cases)

```

```

    case typed-resolutionI: resolutionI

    then show ?thesis
      by (intro resolutionI; (rule typed-resolutionI)?)
    qed
  next
    assume resolution D E C

    then show typed.resolution (empty-typed D) (empty-typed E) (empty-typed
C)
    proof (cases rule: resolution.cases)
      case resolutionI

      show ?thesis
        by
          (intro typed.resolutionI; (rule resolutionI)?)
          (auto simp: case-unit-Unity)
    qed
  qed
}

then have remove-types ' typed.resolution-inferences = resolution-inferences
  by auto

ultimately show inferences  $\equiv$  remove-types ' typed.inferences
  unfolding inferences-def typed.inferences-def image-Un
  by auto
qed

end

end
theory Untyped-Ordered-Resolution-Completeness
  imports
    Untyped-Ordered-Resolution-Inference-System
    Ordered-Resolution-Completeness
begin

context untyped-ordered-resolution-calculus
begin

abbreviation Red-F where
  Red-F N  $\equiv$  snd ' typed.Red-F- $\mathcal{G}$  (empty-typed ' N)

abbreviation Red-I where
  Red-I N  $\equiv$  remove-types ' typed.Red-I- $\mathcal{G}$  (empty-typed ' N)

sublocale untyped-complete-calculus where

```

```

    typed-bottom =  $\perp_F$  and typed-entails = typed.entails- $\mathcal{G}$  and
    typed-inferences = typed.inferences and typed-Red-I = typed.Red-I- $\mathcal{G}$  and
    typed-Red-F = typed.Red-F- $\mathcal{G}$  and bottom = bottom and inferences = inferences
and Red-F = Red-F and
    Red-I = Red-I and entails = entails
    by unfold-locales

end

end
theory Untyped-Ordered-Resolution-Soundness
    imports
        Untyped-Ordered-Resolution-Inference-System
        Ordered-Resolution-Soundness
begin

context untyped-ordered-resolution-calculus
begin

sublocale untyped-sound-inference-system where
    typed-bottom =  $\perp_F$  and typed-entails = typed.entails- $\mathcal{G}$  and
    typed-inferences = typed.inferences and bottom = bottom and inferences = in-
ferences and
    entails = entails
    by unfold-locales

end

end
theory Monomorphic-Ordered-Resolution
    imports
        Ordered-Resolution

        First-Order-Clause.IsaFoR-Nonground-Clause
        First-Order-Clause.Monomorphic-Typing
begin

locale monomorphic-ordered-resolution-calculus =
    monomorphic-term-typing +

    ordered-resolution-calculus where
        comp-subst = ( $\circ_s$ ) and Var = Var and term-subst = ( $\cdot$ ) and term-vars =
term.vars and
        term-from-ground = term.from-ground and term-to-ground = term.to-ground
and welltyped = welltyped

end
theory Ordered-Resolution-Example
    imports

```

Monomorphic-Ordered-Resolution

First-Order-Clause.IsaFoR-KBO

begin

hide-type *Uprod-Literal-Functor.clause*

abbreviation *trivial-tiebreakers* ::
'f gterm clause $\Rightarrow$ ('f,'v) term clause $\Rightarrow$ ('f,'v) term clause $\Rightarrow$ bool **where**
trivial-tiebreakers $\equiv \perp$

abbreviation *trivial-select* :: *'a clause $\Rightarrow$ 'a clause* **where**
trivial-select - $\equiv \{\#\}$

abbreviation *unit-typing* **where**
unit-typing - $\equiv$ Some ($\square$, $()$)

interpretation *unit-types: witnessed-monomorphic-term-typing* **where** $\mathcal{F} = \text{unit-typing}$
by *unfold-locales auto*

interpretation *example1: monomorphic-ordered-resolution-calculus* **where**
select = trivial-select :: (('f :: weighted , 'v :: infinite) term) select **and**
less_t = less-kbo **and**
 $\mathcal{F} = \text{unit-typing}$ **and**
tiebreakers = trivial-tiebreakers
by *unfold-locales auto*

instantiation *nat :: infinite*
begin

instance
by *intro-classes simp*

end

datatype *type = A | B*

abbreviation *types* :: *nat $\Rightarrow$ nat $\Rightarrow$ (type list $\times$ type) option* **where**
types f n $\equiv$
let type = if even f then A else B
in Some (replicate n type, type)

interpretation *example-types: witnessed-monomorphic-term-typing* **where** $\mathcal{F} =$
types
proof *unfold-locales*
fix τ
show $\exists f. \text{types } f \ 0 = \text{Some } (\square, \tau)$
proof *(cases τ)*

```

    case A
    show ?thesis
      unfolding A
      by (rule exI[of - 0]) auto
  next
    case B
    show ?thesis
      unfolding B
      by (rule exI[of - 1]) auto
  qed
qed

```

```

interpretation example2: monomorphic-ordered-resolution-calculus where
  select = trivial-select :: (nat, nat) term select and
  lesst = less-kbo and
   $\mathcal{F}$  = types and
  tiebreakers = trivial-tiebreakers
by unfold-locales

end

```