

Transitive Models of Fragments of ZF

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Abstract

We extend the ZF-Constructibility library by relativizing theories of the Isabelle/ZF and Delta System Lemma sessions to a transitive class. We also relativize Paulson’s work on Aleph and our former treatment of the Axiom of Dependent Choices. This work is a prerequisite to our formalization of the independence of the Continuum Hypothesis.

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1 Introduction

Relativization of concepts is a key tool to obtain results in forcing, as it is explained in [1, Sect. 3] and elsewhere.

In this session, we cast some theories in relative form, in a way that they now refer to a fixed class M as the universe of discourse. Whenever it was possible, we tried to minimize the changes to the structure and proof scripts of the absolute concepts. For this reason, some comments of the original text as well as outdated **apply** commands appear profusely in the following theories.

A repeated pattern that appears is that the relativized result can be proved *mutatis mutandis*, with remaining proof obligations that the objects constructed actually belong to the model M . Another aspect was that the management of higher order constructs always posed some extra problems, already noted by Paulson [3, Sect. 7.3].

In the theory `Lambda_Replacement` we introduce a new locale assuming two instances of separation and six instances of “lambda replacements” (i.e., replacements using definable functions of the form $\lambda xy.y = \langle x, f(x) \rangle$) that allow some form of compositionality of further instances of separations and replacements.

We proceed to enumerate the theories that were “ported” to relative form, briefly commenting on each of them. Below, we refer to the original theories as the *source* and, correspondingly, call *target* the relativized version. We omit the `.thy` suffixes.

1. From *ZF*:
 - (a) **Univ**. Here we decided to relativize only the term **Vfrom** that constructs the cumulative hierarchy up to some ordinal length and starting from an arbitrary set.
 - (b) **Cardinal**. There are two targets for this source, **Least** and **Cardinal_Relative**. Both require some fair amount of preparation, trying to take advantage of absolute concepts. It is not

straightforward to compare source and targets in a line-by-line fashion at this point.

- (c) **CardinalArith**. The hardest part was to formalize the cardinal successor function and ordertypes. We also disregarded the part treating finite cardinals since it is an absolute concept. Apart from that, the relative version closely parallels the source.
- (d) **Cardinal_AC**. After some boilerplate, porting was rather straightforward, excepting cardinal arithmetic involving the higher-order union operator.

2. From *ZF-Constructible*:

- (a) **Normal**. The target here is **Aleph_Relative** since that is the only concept that we ported. Instead of porting all the machinery of normal functions (since it involved higher-order variables), we particularized the results for the Aleph function. We also used an alternative definition of the latter that worked better with our relativization discipline.

3. From *Delta_System_Lemma*:

- (a) **ZF_Library**. The target includes a big section of auxiliary lemmas and commands that aid the relativization. We needed to make explicit the witnesses (mainly functions) in some of the existential results proved in the source, since only in that way we would be able to show that they belonged to the model.
- (b) **Cardinal_Library**. Porting was relatively straightforward; most of the extra work laid in adjusting locale assumptions to obtain an appropriate context to state and prove the theorems.
- (c) **Delta_System**. Same comments as in the case of **Cardinal_Library** apply here.

4. From *Forcing*:

- (a) **Pointed_DC**. This case was similar to **Cardinal_AC** above, although a bit of care was needed to handle the recursive construction. Also, a fraction of the theory **AC** from *ZF* was ported here as it was a prerequisite. A complete relativization of **AC** would be desirable but still missing.

Finally, there are some repetitions from *ZF-Constructible* in the present project. We had to duplicate some material from **Relative** in our theory **M_Basic_No_Repl** in order to eliminate one replacement instance appearing in the locale **M_Basic**. The same goes for theories **DPow_absolute**, **Datatype_absolute**, **Internalizations**, **Internalize**, **Rank_Separation**,

`Rec_Separation`, `Recursion_Thms`, `Relativization`, and `Satisfies_absolute`, that were modified to modularize the locale structure (namely, by eliminating the dependence of locale `M_eclose` on `M_datatypes`, which defines lists and formulas). In order to get the rest of the top-level theories of *ZF-Constructible* to work, we added a new theory called `Ecclose_Absolute`.

```
theory Utils
  imports ZF-Constructible.Formula
begin
```

This theory encapsulates some ML utilities

```
<ML>
```

```
end
```

2 Auxiliary results on arithmetic

```
theory Nat_Miscellanea
  imports
    Delta_System_Lemma.ZF_Library
begin
```

```
hide_const (open) Order.pred
notation add (infixl <+ω> 65)
notation diff (infixl <-ω> 65)
```

Most of these results will get used at some point for the calculation of arities.

```
lemmas nat_succI = Ord_succ_mem_iff [THEN iffD2, OF nat_into_Ord]
```

```
lemma nat_succD : m ∈ nat ⟹ succ(n) ∈ succ(m) ⟹ n ∈ m
  <proof>
```

```
lemmas zero_in_succ = ltD [OF nat_0_le]
```

```
lemma in_n_in_nat : m ∈ nat ⟹ n ∈ m ⟹ n ∈ nat
  <proof>
```

```
lemma ltI_neg : x ∈ nat ⟹ j ≤ x ⟹ j ≠ x ⟹ j < x
  <proof>
```

```
lemma succ_pred_eq : m ∈ nat ⟹ m ≠ 0 ⟹ succ(pred(m)) = m
  <proof>
```

```
lemma succ_ltI : succ(j) < n ⟹ j < n
  <proof>
```

```
lemmas succ_leD = succ_leE[OF leI]
```

```
lemma succpred_leI : n ∈ nat ⟹ n ≤ succ(pred(n))
```

$\langle proof \rangle$

lemma *succpred_n0* : $succ(n) \in p \implies p \neq 0$
 $\langle proof \rangle$

lemmas *natEin* = *natE* [*OF lt_nat_in_nat*]

lemmas *Un_least_lt_iffn* = *Un_least_lt_iff* [*OF nat_into_Ord nat_into_Ord*]

lemma *pred_type* : $m \in nat \implies n \leq m \implies n \in nat$
 $\langle proof \rangle$

lemma *pred_le* : $m \in nat \implies n \leq succ(m) \implies pred(n) \leq m$
 $\langle proof \rangle$

lemma *pred_le2* : $n \in nat \implies m \in nat \implies pred(n) \leq m \implies n \leq succ(m)$
 $\langle proof \rangle$

lemma *Un_leD1* : $Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies i \leq k$
 $\langle proof \rangle$

lemma *Un_leD2* : $Ord(i) \implies Ord(j) \implies Ord(k) \implies i \cup j \leq k \implies j \leq k$
 $\langle proof \rangle$

lemma *gt1* : $n \in nat \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$
 $\langle proof \rangle$

lemma *pred_mono* : $m \in nat \implies n \leq m \implies pred(n) \leq pred(m)$
 $\langle proof \rangle$

lemma *succ_mono* : $m \in nat \implies n \leq m \implies succ(n) \leq succ(m)$
 $\langle proof \rangle$

lemma *union_abs1* :
 $\llbracket i \leq j \rrbracket \implies i \cup j = j$
 $\langle proof \rangle$

lemma *union_abs2* :
 $\llbracket i \leq j \rrbracket \implies j \cup i = j$
 $\langle proof \rangle$

lemma *ord_un_max* : $Ord(i) \implies Ord(j) \implies i \cup j = max(i,j)$
 $\langle proof \rangle$

lemma *ord_max_ty* : $Ord(i) \implies Ord(j) \implies Ord(max(i,j))$
 $\langle proof \rangle$

lemmas *ord_simp_union* = *ord_un_max ord_max_ty max_def*

lemma *le_succ* : $x \in \text{nat} \implies x \leq \text{succ}(x)$ *<proof>*

lemma *le_pred* : $x \in \text{nat} \implies \text{pred}(x) \leq x$
<proof>

lemma *not_le_anti_sym* : $x \in \text{nat} \implies y \in \text{nat} \implies \neg x \leq y \implies \neg y \leq x \implies y = x$
<proof>

lemma *Un_le_compat* : $o \leq p \implies q \leq r \implies \text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies o \cup q \leq p \cup r$
<proof>

lemma *Un_le* : $p \leq r \implies q \leq r \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies p \cup q \leq r$
<proof>

lemma *Un_leI3* : $o \leq r \implies p \leq r \implies q \leq r \implies \text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies o \cup p \cup q \leq r$
<proof>

lemma *diff_mono* :
assumes $m \in \text{nat} \ n \in \text{nat} \ p \in \text{nat} \ m < n \ p \leq m$
shows $m \# -p < n \# -p$
<proof>

lemma *pred_Un* :
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{pred}(\text{succ}(x) \cup y) = x \cup \text{pred}(y)$
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{pred}(x \cup \text{succ}(y)) = \text{pred}(x) \cup y$
<proof>

lemma *le_natI* : $j \leq n \implies n \in \text{nat} \implies j \in \text{nat}$
<proof>

lemma *le_natE* : $n \in \text{nat} \implies j < n \implies j \in n$
<proof>

lemma *leD* : **assumes** $n \in \text{nat} \ j \leq n$
shows $j < n \mid j = n$
<proof>

lemma *pred_nat_eq* :
assumes $n \in \text{nat}$
shows $\text{pred}(n) = \bigcup n$
<proof>

2.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *frecR*

lemma *max_cong* :

assumes $x \leq y$ *Ord*(*y*) *Ord*(*z*)

shows $\max(x, y) \leq \max(y, z)$

<proof>

lemma *max_commutes* :

assumes *Ord*(*x*) *Ord*(*y*)

shows $\max(x, y) = \max(y, x)$

<proof>

lemma *max_cong2* :

assumes $x \leq y$ *Ord*(*y*) *Ord*(*z*) *Ord*(*x*)

shows $\max(x, z) \leq \max(y, z)$

<proof>

lemma *max_D1* :

assumes $x = y$ $w < z$ *Ord*(*x*) *Ord*(*w*) *Ord*(*z*) $\max(x, w) = \max(y, z)$

shows $z \leq y$

<proof>

lemma *max_D2* :

assumes $w = y \vee w = z$ $x < y$ *Ord*(*x*) *Ord*(*w*) *Ord*(*y*) *Ord*(*z*) $\max(x, w) = \max(y, z)$

shows $x < w$

<proof>

lemma *oadd_lt_mono2* :

assumes *Ord*(*n*) *Ord*(α) *Ord*(β) $\alpha < \beta$ $x < n$ $y < n$ $0 < n$

shows $n ** \alpha + x < n ** \beta + y$

<proof>

end

3 Various results missing from ZF.

theory *ZF_Miscellanea*

imports

ZF

Nat_Miscellanea

begin

lemma *rex_mono* :

assumes $\exists d \in A . P(d)$ $A \subseteq B$

shows $\exists d \in B . P(d)$

<proof>

lemma *function_subset*:

function(f) $\implies g \subseteq f \implies \text{function}(g)$
 $\langle \text{proof} \rangle$

lemma *converse_refl* : $\text{refl}(A, r) \implies \text{refl}(A, \text{converse}(r))$

$\langle \text{proof} \rangle$

lemma *Ord_lt_subset* : $\text{Ord}(b) \implies a < b \implies a \subseteq b$

$\langle \text{proof} \rangle$

lemma *funcI* : $f \in A \rightarrow B \implies a \in A \implies b = f \text{ ` } a \implies \langle a, b \rangle \in f$

$\langle \text{proof} \rangle$

lemma *vimage_fun_sing*:

assumes $f \in A \rightarrow B$ $b \in B$

shows $\{a \in A . f \text{ ` } a = b\} = f \text{ `` } \{b\}$

$\langle \text{proof} \rangle$

lemma *image_fun_subset*: $S \in A \rightarrow B \implies C \subseteq A \implies \{S \text{ ` } x . x \in C\} = S \text{ `` } C$

$\langle \text{proof} \rangle$

lemma *inj_range_Diff*:

assumes $f \in \text{inj}(A, A')$

shows $f \text{ `` } A - f \text{ `` } T = f \text{ `` } (A - T)$

$\langle \text{proof} \rangle$

lemma *subset_Diff_Un*: $X \subseteq A \implies A = (A - X) \cup X$ $\langle \text{proof} \rangle$

lemma *Diff_bij*:

assumes $\forall A \in F. X \subseteq A$ **shows** $(\lambda A \in F. A - X) \in \text{bij}(F, \{A - X. A \in F\})$

$\langle \text{proof} \rangle$

lemma *function_space_nonempty*:

assumes $b \in B$

shows $(\lambda x \in A. b) : A \rightarrow B$

$\langle \text{proof} \rangle$

lemma *lam_constant_eq_cartprod*: $(\lambda _ \in A. y) = A \times \{y\}$

$\langle \text{proof} \rangle$

lemma *vimage_lam*: $(\lambda x \in A. f(x)) \text{ `` } B = \{x \in A . f(x) \in B\}$

$\langle \text{proof} \rangle$

lemma *range_fun_subset_codomain*:

assumes $h: B \rightarrow C$

shows $\text{range}(h) \subseteq C$

$\langle \text{proof} \rangle$

lemma *Pi_rangeD*:

assumes $f \in Pi(A, B)$ $b \in range(f)$

shows $\exists a \in A. f'a = b$

<proof>

lemma *Pi_range_eq*: $f \in Pi(A, B) \implies range(f) = \{f'x \mid x \in A\}$

<proof>

lemma *Pi_vimage_subset* : $f \in Pi(A, B) \implies f^{-1}C \subseteq A$

<proof>

definition

minimum :: $i \Rightarrow i \Rightarrow i$ **where**

minimum(r, B) $\equiv$ *THE* $b. first(b, B, r)$

lemma *minimum_in'*: $minimum(r, B) \in B \cup \{0\}$

<proof>

lemma *minimum_in*: $\llbracket well_ord(A, r); B \subseteq A; B \neq 0 \rrbracket \implies minimum(r, B) \in B$

<proof>

lemma *well_ord_surj_imp_inj_inverse*:

assumes $well_ord(A, r)$ $h \in surj(A, B)$

shows $(\lambda b \in B. minimum(r, \{a \in A. h'a = b\})) \in inj(B, A)$

<proof>

lemma *well_ord_surj_imp_lepoll*:

assumes $well_ord(A, r)$ $h \in surj(A, B)$

shows $B \lesssim A$

<proof>

lemma *surj_imp_well_ord*:

assumes $well_ord(A, r)$ $h \in surj(A, B)$

shows $\exists s. well_ord(B, s)$

<proof>

lemma *Pow_sing* : $Pow(\{a\}) = \{0, \{a\}\}$

<proof>

lemma *Pow_cons*:

shows $Pow(cons(a, A)) = Pow(A) \cup \{\{a\} \cup X \mid X: Pow(A)\}$

<proof>

lemma *app_nm* :

assumes $n \in nat$ $m \in nat$ $f \in n \rightarrow m$ $x \in nat$

shows $f'x \in nat$

<proof>

lemma *Upair_eq_cons*: $Upair(a, b) = \{a, b\}$

<proof>

lemma *converse_apply_eq* : *converse*(*f*) ‘ $x = \bigcup (f^{-1} \{x\})$
 ⟨*proof*⟩

lemmas *app_fun* = *apply_iff*[*THEN iffD1*]

lemma *Finite_imp_lesspoll_nat*:
 assumes *Finite*(*A*)
 shows $A \prec \text{nat}$
 ⟨*proof*⟩

definition *curry* :: $[i, i, i] \Rightarrow i$ **where**
curry(*A*,*B*,*f*) $\equiv \lambda x \in A . \lambda y \in B . f \langle x, y \rangle$

lemma *curry_type* :
 assumes $f \in A \times B \rightarrow C$
 shows $\text{curry}(A, B, f) \in A \rightarrow (B \rightarrow C)$
 ⟨*proof*⟩

end

4 Renaming of variables in internalized formulas

theory *Renaming*
 imports
 ZF-Constructible.Formula
 ZF_Miscellanea
begin

4.1 Renaming of free variables

definition
union_fun :: $[i, i, i, i] \Rightarrow i$ **where**
union_fun(*f*,*g*,*m*,*p*) $\equiv \lambda j \in m \cup p . \text{if } j \in m \text{ then } f \langle j \rangle \text{ else } g \langle j \rangle$

lemma *union_fun_type*:
 assumes $f \in m \rightarrow n$
 $g \in p \rightarrow q$
 shows $\text{union_fun}(f, g, m, p) \in m \cup p \rightarrow n \cup q$
 ⟨*proof*⟩

lemma *union_fun_action* :
 assumes
 $\text{env} \in \text{list}(M)$
 $\text{env}' \in \text{list}(M)$
 $\text{length}(\text{env}) = m \cup p$
 $\forall i . i \in m \longrightarrow \text{nth}(f \langle i \rangle, \text{env}') = \text{nth}(i, \text{env})$
 $\forall j . j \in p \longrightarrow \text{nth}(g \langle j \rangle, \text{env}') = \text{nth}(j, \text{env})$
 shows $\forall i . i \in m \cup p \longrightarrow$

$nth(i, env) = nth(union_fun(f, g, m, p) 'i, env')$
 $\langle proof \rangle$

lemma *id_fn_type* :
assumes $n \in nat$
shows $id(n) \in n \rightarrow n$
 $\langle proof \rangle$

lemma *id_fn_action*:
assumes $n \in nat \ env \in list(M)$
shows $\bigwedge j. j < n \implies nth(j, env) = nth(id(n) 'j, env)$
 $\langle proof \rangle$

definition
 $rsum :: [i, i, i, i] \Rightarrow i \text{ where}$
 $rsum(f, g, m, n, p) \equiv \lambda j \in m +_{\omega} p. \text{ if } j < m \text{ then } f 'j \text{ else } (g '(j \# - m)) +_{\omega} n$

lemma *sum_inl*:
assumes $m \in nat \ n \in nat$
 $f \in m \rightarrow n \ x \in m$
shows $rsum(f, g, m, n, p) 'x = f 'x$
 $\langle proof \rangle$

lemma *sum_inr*:
assumes $m \in nat \ n \in nat \ p \in nat$
 $g \in p \rightarrow q \ m \leq x \ x < m +_{\omega} p$
shows $rsum(f, g, m, n, p) 'x = g '(x \# - m) +_{\omega} n$
 $\langle proof \rangle$

lemma *sum_action* :
assumes $m \in nat \ n \in nat \ p \in nat \ q \in nat$
 $f \in m \rightarrow n \ g \in p \rightarrow q$
 $env \in list(M)$
 $env' \in list(M)$
 $env1 \in list(M)$
 $env2 \in list(M)$
 $length(env) = m$
 $length(env1) = p$
 $length(env') = n$
 $\bigwedge i. i < m \implies nth(i, env) = nth(f 'i, env')$
 $\bigwedge j. j < p \implies nth(j, env1) = nth(g 'j, env2)$
shows $\forall i. i < m +_{\omega} p \longrightarrow$
 $nth(i, env @ env1) = nth(rsum(f, g, m, n, p) 'i, env' @ env2)$
 $\langle proof \rangle$

lemma *sum_type* :

assumes $m \in \text{nat } n \in \text{nat } p \in \text{nat } q \in \text{nat}$
 $f \in m \rightarrow n \ g \in p \rightarrow q$
shows $\text{rsum}(f, g, m, n, p) \in (m +_{\omega} p) \rightarrow (n +_{\omega} q)$
 $\langle \text{proof} \rangle$

lemma *sum_type_id* :

assumes
 $f \in \text{length}(env) \rightarrow \text{length}(env')$
 $env \in \text{list}(M)$
 $env' \in \text{list}(M)$
 $env1 \in \text{list}(M)$
shows
 $\text{rsum}(f, \text{id}(\text{length}(env1)), \text{length}(env), \text{length}(env'), \text{length}(env1)) \in$
 $(\text{length}(env) +_{\omega} \text{length}(env1)) \rightarrow (\text{length}(env') +_{\omega} \text{length}(env1))$
 $\langle \text{proof} \rangle$

lemma *sum_type_id_aux2* :

assumes
 $f \in m \rightarrow n$
 $m \in \text{nat } n \in \text{nat}$
 $env1 \in \text{list}(M)$
shows
 $\text{rsum}(f, \text{id}(\text{length}(env1)), m, n, \text{length}(env1)) \in$
 $(m +_{\omega} \text{length}(env1)) \rightarrow (n +_{\omega} \text{length}(env1))$
 $\langle \text{proof} \rangle$

lemma *sum_action_id* :

assumes
 $env \in \text{list}(M)$
 $env' \in \text{list}(M)$
 $f \in \text{length}(env) \rightarrow \text{length}(env')$
 $env1 \in \text{list}(M)$
 $\bigwedge i . i < \text{length}(env) \implies \text{nth}(i, env) = \text{nth}(f^i, env')$
shows $\bigwedge i . i < \text{length}(env) +_{\omega} \text{length}(env1) \implies$
 $\text{nth}(i, env @ env1) = \text{nth}(\text{rsum}(f, \text{id}(\text{length}(env1)), \text{length}(env), \text{length}(env'), \text{length}(env1)))^i, env' @ env1)$
 $\langle \text{proof} \rangle$

lemma *sum_action_id_aux* :

assumes
 $f \in m \rightarrow n$
 $env \in \text{list}(M)$
 $env' \in \text{list}(M)$
 $env1 \in \text{list}(M)$
 $\text{length}(env) = m$
 $\text{length}(env') = n$
 $\text{length}(env1) = p$
 $\bigwedge i . i < m \implies \text{nth}(i, env) = \text{nth}(f^i, env')$
shows $\bigwedge i . i < m +_{\omega} \text{length}(env1) \implies$
 $\text{nth}(i, env @ env1) = \text{nth}(\text{rsum}(f, \text{id}(\text{length}(env1)), m, n, \text{length}(env1)))^i, env' @ env1)$

$\langle proof \rangle$

definition

$sum_id :: [i,i] \Rightarrow i$ **where**
 $sum_id(m,f) \equiv rsum(\lambda x \in 1.x, f, 1, 1, m)$

lemma $sum_id0 : m \in nat \implies sum_id(m,f)'0 = 0$
 $\langle proof \rangle$

lemma $sum_idS : p \in nat \implies q \in nat \implies f \in p \rightarrow q \implies x \in p \implies sum_id(p,f)'(succ(x))$
 $= succ(f'x)$
 $\langle proof \rangle$

lemma $sum_id_tc_aux :$
 $p \in nat \implies q \in nat \implies f \in p \rightarrow q \implies sum_id(p,f) \in 1+\omega p \rightarrow 1+\omega q$
 $\langle proof \rangle$

lemma $sum_id_tc :$
 $n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies sum_id(n,f) \in succ(n) \rightarrow succ(m)$
 $\langle proof \rangle$

4.2 Renaming of formulas

consts $ren :: i \Rightarrow i$

primrec

$ren(Member(x,y)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Member(f'x, f'y))$

$ren(Equal(x,y)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Equal(f'x, f'y))$

$ren(Nand(p,q)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Nand(ren(p)'n'm'f, ren(q)'n'm'f))$

$ren(Forall(p)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Forall(ren(p)'succ(n)'succ(m)'sum_id(n,f)))$

lemma $arity_meml : l \in nat \implies Member(x,y) \in formula \implies arity(Member(x,y))$
 $\leq l \implies x \in l$
 $\langle proof \rangle$

lemma $arity_memr : l \in nat \implies Member(x,y) \in formula \implies arity(Member(x,y))$
 $\leq l \implies y \in l$
 $\langle proof \rangle$

lemma $arity_egl : l \in nat \implies Equal(x,y) \in formula \implies arity(Equal(x,y)) \leq l$
 $\implies x \in l$
 $\langle proof \rangle$

lemma $arity_egr : l \in nat \implies Equal(x,y) \in formula \implies arity(Equal(x,y)) \leq l$
 $\implies y \in l$

$\langle \text{proof} \rangle$
lemma *nand_ar1* : $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(p) \leq \text{arity}(\text{Nand}(p,q))$
 $\langle \text{proof} \rangle$
lemma *nand_ar2* : $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(q) \leq \text{arity}(\text{Nand}(p,q))$
 $\langle \text{proof} \rangle$

lemma *nand_ar1D* : $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(p) \leq n$
 $\langle \text{proof} \rangle$
lemma *nand_ar2D* : $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(q) \leq n$
 $\langle \text{proof} \rangle$

lemma *ren_tc* : $p \in \text{formula} \implies$
 $(\bigwedge n \ m \ f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{ren}(p) \text{ 'n' m' f} \in \text{formula})$
 $\langle \text{proof} \rangle$

lemma *arity_ren* :
fixes *p*
assumes $p \in \text{formula}$
shows $\bigwedge n \ m \ f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{arity}(p) \leq n \implies \text{arity}(\text{ren}(p) \text{ 'n' m' f}) \leq m$
 $\langle \text{proof} \rangle$

lemma *arity_forallE* : $p \in \text{formula} \implies m \in \text{nat} \implies \text{arity}(\text{Forall}(p)) \leq m \implies \text{arity}(p) \leq \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma *env_coincidence_sum_id* :
assumes $m \in \text{nat} \ n \in \text{nat}$
 $\varrho \in \text{list}(A) \ \varrho' \in \text{list}(A)$
 $f \in n \rightarrow m$
 $\bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f \text{ 'i' } \varrho')$
 $a \in A \ j \in \text{succ}(n)$
shows $\text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(\text{sum_id}(n, f) \text{ 'j' } \text{Cons}(a, \varrho'))$
 $\langle \text{proof} \rangle$

lemma *sats_iff_sats_ren* :
assumes $\varphi \in \text{formula}$
shows $\llbracket n \in \text{nat} ; m \in \text{nat} ; \varrho \in \text{list}(M) ; \varrho' \in \text{list}(M) ; f \in n \rightarrow m ; \text{arity}(\varphi) \leq n ; \bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f \text{ 'i' } \varrho') \rrbracket \implies \text{sats}(M, \varphi, \varrho) \longleftrightarrow \text{sats}(M, \text{ren}(\varphi) \text{ 'n' m' f' } \varrho')$
 $\langle \text{proof} \rangle$

end
theory *Renaming_Auto*

```

imports
  Utils
  Renaming
keywords
  rename :: thy_decl % ML
and
  simple_rename :: thy_decl % ML
and
  src
and
  tgt
abbrevs
  simple_rename =

begin

hide_const (open) Order.pred

lemmas nat_succI = nat_succ_iff[THEN iffD2]
 $\langle ML \rangle$ 

end
theory M_Basic_No_Repl
  imports ZF-Constructible.Relative
begin

This locale is exactly M_basic without its only replacement instance.

locale M_basic_no_repl = M_trivial +
  assumes Inter_separation:
     $M(A) \implies \text{separation}(M, \lambda x. \forall y[M]. y \in A \longrightarrow x \in y)$ 
  and Diff_separation:
     $M(B) \implies \text{separation}(M, \lambda x. x \notin B)$ 
  and cartprod_separation:
     $[M(A); M(B)] \implies \text{separation}(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ \text{pair}(M, x, y, z)))$ 
  and image_separation:
     $[M(A); M(r)] \implies \text{separation}(M, \lambda y. \exists p[M]. p \in r \ \& \ (\exists x[M]. x \in A \ \& \ \text{pair}(M, x, y, p)))$ 
  and converse_separation:
     $M(r) \implies \text{separation}(M, \lambda z. \exists p[M]. p \in r \ \& \ (\exists x[M]. \exists y[M]. \text{pair}(M, x, y, p) \ \& \ \text{pair}(M, y, x, z)))$ 
  and restrict_separation:
     $M(A) \implies \text{separation}(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. \text{pair}(M, x, y, z)))$ 
  and comp_separation:
     $[M(r); M(s)] \implies \text{separation}(M, \lambda xz. \exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M].$ 
       $\text{pair}(M, x, z, xz) \ \& \ \text{pair}(M, x, y, xy) \ \& \ \text{pair}(M, y, z, yz) \ \&$ 
       $xy \in s \ \& \ yz \in r)$ 
  and pred_separation:

```

$[| M(r); M(x) |] \implies \text{separation}(M, \lambda y. \exists p[M]. p \in r \ \& \ \text{pair}(M, y, x, p))$
and *Memrel_separation*:
 $\text{separation}(M, \lambda z. \exists x[M]. \exists y[M]. \text{pair}(M, x, y, z) \ \& \ x \in y)$
and *is_recfun_separation*:
 — for well-founded recursion: used to prove *is_recfun_equal*
 $[| M(r); M(f); M(g); M(a); M(b) |]$
 $\implies \text{separation}(M,$
 $\quad \lambda x. \exists xa[M]. \exists xb[M].$
 $\quad \text{pair}(M, x, a, xa) \ \& \ xa \in r \ \& \ \text{pair}(M, x, b, xb) \ \& \ xb \in r \ \&$
 $\quad (\exists fx[M]. \exists gx[M]. \text{fun_apply}(M, f, x, fx) \ \& \ \text{fun_apply}(M, g, x, gx) \ \&$
 $\quad \quad fx \neq gx))$
and *power_ax*: $\text{power_ax}(M)$

lemma (in *M_basic_no_repl*) *cartprod_iff*:
 $[| M(A); M(B); M(C) |]$
 $\implies \text{cartprod}(M, A, B, C) \longleftrightarrow$
 $(\exists p1[M]. \exists p2[M]. \text{powerset}(M, A \cup B, p1) \ \& \ \text{powerset}(M, p1, p2) \ \&$
 $\quad C = \{z \in p2. \exists x \in A. \exists y \in B. z = \langle x, y \rangle\})$
<proof>

lemma (in *M_basic_no_repl*) *cartprod_closed_lemma*:
 $[| M(A); M(B) |] \implies \exists C[M]. \text{cartprod}(M, A, B, C)$
<proof>

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

lemma (in *M_basic_no_repl*) *cartprod_closed [intro,simp]*:
 $[| M(A); M(B) |] \implies M(A * B)$
<proof>

lemma (in *M_basic_no_repl*) *sum_closed [intro,simp]*:
 $[| M(A); M(B) |] \implies M(A + B)$
<proof>

lemma (in *M_basic_no_repl*) *sum_abs [simp]*:
 $[| M(A); M(B); M(Z) |] \implies \text{is_sum}(M, A, B, Z) \longleftrightarrow (Z = A + B)$
<proof>

lemma (in *M_basic_no_repl*) *M_converse_iff*:
 $M(r) \implies$
 $\text{converse}(r) =$
 $\{z \in \bigcup (\bigcup (r)) * \bigcup (\bigcup (r)).$
 $\quad \exists p \in r. \exists x[M]. \exists y[M]. p = \langle x, y \rangle \ \& \ z = \langle y, x \rangle\}$
<proof>

lemma (in *M_basic_no_repl*) *converse_closed [intro,simp]*:
 $M(r) \implies M(\text{converse}(r))$
<proof>

lemma (in *M_basic_no_repl*) *converse_abs* [simp]:

$$[\mid M(r); M(z) \mid] ==> is_converse(M,r,z) \longleftrightarrow z = converse(r)$$

$$\langle proof \rangle$$

4.2.1 image, preimage, domain, range

lemma (in *M_basic_no_repl*) *image_closed* [intro,simp]:

$$[\mid M(A); M(r) \mid] ==> M(r^{\small\text{``}}A)$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *vimage_abs* [simp]:

$$[\mid M(r); M(A); M(z) \mid] ==> pre_image(M,r,A,z) \longleftrightarrow z = r^{\small\text{``}}A$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *vimage_closed* [intro,simp]:

$$[\mid M(A); M(r) \mid] ==> M(r^{\small\text{``}}A)$$

$$\langle proof \rangle$$

4.2.2 Domain, range and field

lemma (in *M_basic_no_repl*) *domain_closed* [intro,simp]:

$$M(r) ==> M(domain(r))$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *range_closed* [intro,simp]:

$$M(r) ==> M(range(r))$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *field_abs* [simp]:

$$[\mid M(r); M(z) \mid] ==> is_field(M,r,z) \longleftrightarrow z = field(r)$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *field_closed* [intro,simp]:

$$M(r) ==> M(field(r))$$

$$\langle proof \rangle$$

4.2.3 Relations, functions and application

lemma (in *M_basic_no_repl*) *apply_closed* [intro,simp]:

$$[\mid M(f); M(a) \mid] ==> M(f^{\small\text{``}}a)$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *apply_abs* [simp]:

$$[\mid M(f); M(x); M(y) \mid] ==> fun_apply(M,f,x,y) \longleftrightarrow f^{\small\text{``}}x = y$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *injection_abs* [simp]:

$$[\mid M(A); M(f) \mid] ==> injection(M,A,B,f) \longleftrightarrow f \in inj(A,B)$$

$$\langle proof \rangle$$

lemma (in *M_basic_no_repl*) *surjection_abs* [simp]:

$$[| M(A); M(B); M(f) |] ==> \text{surjection}(M,A,B,f) \longleftrightarrow f \in \text{surj}(A,B)$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *bijection_abs* [simp]:

$$[| M(A); M(B); M(f) |] ==> \text{bijection}(M,A,B,f) \longleftrightarrow f \in \text{bij}(A,B)$$

$$\langle \text{proof} \rangle$$

4.2.4 Composition of relations

lemma (in *M_basic_no_repl*) *M_comp_iff*:

$$[| M(r); M(s) |]$$

$$==> r \circ s =$$

$$\{xz \in \text{domain}(s) * \text{range}(r).$$

$$\exists x[M]. \exists y[M]. \exists z[M]. xz = \langle x,z \rangle \ \& \ \langle x,y \rangle \in s \ \& \ \langle y,z \rangle \in r\}$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *comp_closed* [intro,simp]:

$$[| M(r); M(s) |] ==> M(r \circ s)$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *composition_abs* [simp]:

$$[| M(r); M(s); M(t) |] ==> \text{composition}(M,r,s,t) \longleftrightarrow t = r \circ s$$

$$\langle \text{proof} \rangle$$

no longer needed

lemma (in *M_basic_no_repl*) *restriction_is_function*:

$$[| \text{restriction}(M,f,A,z); \text{function}(f); M(f); M(A); M(z) |]$$

$$==> \text{function}(z)$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *restrict_closed* [intro,simp]:

$$[| M(A); M(r) |] ==> M(\text{restrict}(r,A))$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *Inter_closed* [intro,simp]:

$$M(A) ==> M(\bigcap(A))$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *Int_closed* [intro,simp]:

$$[| M(A); M(B) |] ==> M(A \cap B)$$

$$\langle \text{proof} \rangle$$

lemma (in *M_basic_no_repl*) *Diff_closed* [intro,simp]:

$$[| M(A); M(B) |] ==> M(A - B)$$

$$\langle \text{proof} \rangle$$

4.2.5 Some Facts About Separation Axioms

lemma (in *M_basic_no_repl*) *separation_conj*:

$[| separation(M,P); separation(M,Q) |] ==> separation(M, \lambda z. P(z) \ \& \ Q(z))$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $separation_disj$:
 $[| separation(M,P); separation(M,Q) |] ==> separation(M, \lambda z. P(z) \mid Q(z))$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $separation_neg$:
 $separation(M,P) ==> separation(M, \lambda z. \sim P(z))$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $separation_imp$:
 $[| separation(M,P); separation(M,Q) |]$
 $==> separation(M, \lambda z. P(z) \longrightarrow Q(z))$
 $\langle proof \rangle$

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

lemma (in $M_basic_no_repl$) $separation_rall$:
 $[| M(Y); \forall y[M]. separation(M, \lambda x. P(x,y));$
 $\forall z[M]. strong_replacement(M, \lambda x y. y = \{u \in z . P(u,x)\}) |]$
 $==> separation(M, \lambda x. \forall y[M]. y \in Y \longrightarrow P(x,y))$
 $\langle proof \rangle$

4.2.6 Functions and function space

lemma (in $M_basic_no_repl$) $succ_fun_eq2$:
 $[| M(B); M(n->B) |] ==>$
 $succ(n) -> B =$
 $\bigcup \{ z. p \in (n->B)*B, \exists f[M]. \exists b[M]. p = \langle f, b \rangle \ \& \ z = \{ cons(\langle n, b \rangle, f) \} \}$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $list_case'_closed$ [intro,simp]:
 $[| M(k); M(a); \forall x[M]. \forall y[M]. M(b(x,y)) |] ==> M(list_case'(a,b,k))$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) tl'_closed : $M(x) ==> M(tl'(x))$
 $\langle proof \rangle$

sublocale $M_basic \subseteq mbnr:M_basic_no_repl$
 $\langle proof \rangle$

end

5 Absoluteness Properties for Recursive Datatypes

theory $Eclose_Absolute$ **imports** $ZF\text{-}Constructible.Formula$ $ZF\text{-}Constructible.WF_absolute$
begin

5.1 The lfp of a continuous function can be expressed as a union

definition

$directed :: i \Rightarrow o$ **where**
 $directed(A) == A \neq \emptyset \ \& \ (\forall x \in A. \forall y \in A. x \cup y \in A)$

definition

$contin :: (i \Rightarrow i) \Rightarrow o$ **where**
 $contin(h) == (\forall A. directed(A) \longrightarrow h(\bigcup A) = (\bigcup_{X \in A} h(X)))$

lemma $bnd_mono_iterates_subset$: $[[bnd_mono(D, h); n \in nat]] \Rightarrow h^{\wedge n}(\emptyset) \subseteq D$
 $\langle proof \rangle$

lemma $bnd_mono_increasing$ $[rule_format]$:
 $[[i \in nat; j \in nat; bnd_mono(D, h)]] \Rightarrow i \leq j \longrightarrow h^{\wedge i}(\emptyset) \subseteq h^{\wedge j}(\emptyset)$
 $\langle proof \rangle$

lemma $directed_iterates$: $bnd_mono(D, h) \Rightarrow directed(\{h^{\wedge n}(\emptyset). n \in nat\})$
 $\langle proof \rangle$

lemma $contin_iterates_eq$:
 $[[bnd_mono(D, h); contin(h)]]$
 $\Rightarrow h(\bigcup_{n \in nat} h^{\wedge n}(\emptyset)) = (\bigcup_{n \in nat} h^{\wedge n}(\emptyset))$
 $\langle proof \rangle$

lemma lfp_subset_Union :
 $[[bnd_mono(D, h); contin(h)]] \Rightarrow lfp(D, h) \subseteq (\bigcup_{n \in nat} h^{\wedge n}(\emptyset))$
 $\langle proof \rangle$

lemma $Union_subset_lfp$:
 $bnd_mono(D, h) \Rightarrow (\bigcup_{n \in nat} h^{\wedge n}(\emptyset)) \subseteq lfp(D, h)$
 $\langle proof \rangle$

lemma lfp_eq_Union :
 $[[bnd_mono(D, h); contin(h)]] \Rightarrow lfp(D, h) = (\bigcup_{n \in nat} h^{\wedge n}(\emptyset))$
 $\langle proof \rangle$

5.1.1 Some Standard Datatype Constructions Preserve Continuity

lemma $contin_imp_mono$: $[[X \subseteq Y; contin(F)]] \Rightarrow F(X) \subseteq F(Y)$
 $\langle proof \rangle$

lemma sum_contin : $[[contin(F); contin(G)]] \Rightarrow contin(\lambda X. F(X) + G(X))$
 $\langle proof \rangle$

lemma $prod_contin$: $[[contin(F); contin(G)]] \Rightarrow contin(\lambda X. F(X) * G(X))$

$\langle \text{proof} \rangle$

lemma *const_contin*: $\text{contin}(\lambda X. A)$

$\langle \text{proof} \rangle$

lemma *id_contin*: $\text{contin}(\lambda X. X)$

$\langle \text{proof} \rangle$

5.2 Absoluteness for "Iterates"

definition

$\text{iterates_MH} :: [i=>o, [i,i]=>o, i, i, i, i] => o$ **where**
 $\text{iterates_MH}(M, \text{isF}, v, n, g, z) ==$
 $\text{is_nat_case}(M, v, \lambda m u. \exists gm[M]. \text{fun_apply}(M, g, m, gm) \ \& \ \text{isF}(gm, u),$
 $n, z)$

definition

$\text{is_iterates} :: [i=>o, [i,i]=>o, i, i, i, i] => o$ **where**
 $\text{is_iterates}(M, \text{isF}, v, n, Z) ==$
 $\exists sn[M]. \exists msn[M]. \text{successor}(M, n, sn) \ \& \ \text{membership}(M, sn, msn) \ \& \$
 $\text{is_wfrec}(M, \text{iterates_MH}(M, \text{isF}, v), msn, n, Z)$

definition

$\text{iterates_replacement} :: [i=>o, [i,i]=>o, i] => o$ **where**
 $\text{iterates_replacement}(M, \text{isF}, v) ==$
 $\forall n[M]. n \in \text{nat} \longrightarrow$
 $\text{wfrec_replacement}(M, \text{iterates_MH}(M, \text{isF}, v), \text{Memrel}(\text{succ}(n)))$

lemma (*in M_basic*) *iterates_MH_abs*:

$[| \text{relation1}(M, \text{isF}, F); M(n); M(g); M(z) |]$
 $==> \text{iterates_MH}(M, \text{isF}, v, n, g, z) \longleftrightarrow z = \text{nat_case}(v, \lambda m. F(g'm), n)$

$\langle \text{proof} \rangle$

lemma (*in M_trancl*) *iterates_imp_wfrec_replacement*:

$[| \text{relation1}(M, \text{isF}, F); n \in \text{nat}; \text{iterates_replacement}(M, \text{isF}, v) |]$
 $==> \text{wfrec_replacement}(M, \lambda n f z. z = \text{nat_case}(v, \lambda m. F(f'm), n),$
 $\text{Memrel}(\text{succ}(n)))$

$\langle \text{proof} \rangle$

theorem (*in M_trancl*) *iterates_abs*:

$[| \text{iterates_replacement}(M, \text{isF}, v); \text{relation1}(M, \text{isF}, F);$
 $n \in \text{nat}; M(v); M(z); \forall x[M]. M(F(x)) |]$
 $==> \text{is_iterates}(M, \text{isF}, v, n, z) \longleftrightarrow z = \text{iterates}(F, n, v)$

$\langle \text{proof} \rangle$

lemma (*in M_trancl*) *iterates_closed* [*intro, simp*]:

$[| \text{iterates_replacement}(M, \text{isF}, v); \text{relation1}(M, \text{isF}, F);$
 $n \in \text{nat}; M(v); \forall x[M]. M(F(x)) |]$

$\Rightarrow M(\text{iterates}(F, n, v))$
 $\langle \text{proof} \rangle$

5.3 lists without univ

lemmas *datatype_univs* = *Inl_in_univ Inr_in_univ*
Pair_in_univ nat_into_univ A_into_univ

lemma *list_fun_bnd_mono*: *bnd_mono*(*univ*(*A*), $\lambda X. \{0\} + A * X$)
 $\langle \text{proof} \rangle$

lemma *list_fun_contin*: *contin*($\lambda X. \{0\} + A * X$)
 $\langle \text{proof} \rangle$

Re-expresses lists using sum and product

lemma *list_eq_lfp2*: *list*(*A*) = *lfp*(*univ*(*A*), $\lambda X. \{0\} + A * X$)
 $\langle \text{proof} \rangle$

Re-expresses lists using "iterates", no univ.

lemma *list_eq_Union*:
 $\text{list}(A) = (\bigcup_{n \in \text{nat}} (\lambda X. \{0\} + A * X) \wedge n (0))$
 $\langle \text{proof} \rangle$

definition

is_list_functor :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
is_list_functor(*M*, *A*, *X*, *Z*) ==
 $\exists n1[M]. \exists AX[M].$
 $\text{number1}(M, n1) \ \& \ \text{cartprod}(M, A, X, AX) \ \& \ \text{is_sum}(M, n1, AX, Z)$

lemma (*in M_basic*) *list_functor_abs* [*simp*]:
 $[\text{M}(A); \text{M}(X); \text{M}(Z)] \Rightarrow \text{is_list_functor}(M, A, X, Z) \longleftrightarrow (Z = \{0\} + A * X)$
 $\langle \text{proof} \rangle$

5.4 formulas without univ

lemma *formula_fun_bnd_mono*:
 $\text{bnd_mono}(\text{univ}(0), \lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X))$
 $\langle \text{proof} \rangle$

lemma *formula_fun_contin*:
 $\text{contin}(\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X))$
 $\langle \text{proof} \rangle$

Re-expresses formulas using sum and product

lemma *formula_eq_lfp2*:
 $\text{formula} = \text{lfp}(\text{univ}(0), \lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X))$
 $\langle \text{proof} \rangle$

Re-expresses formulas using "iterates", no univ.

lemma *formula_eq_Union*:

formula =
 $(\bigcup_{n \in \text{nat}. } (\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)) \wedge n \ (0))$
 $\langle \text{proof} \rangle$

definition

is_formula_functor :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
is_formula_functor(*M*, *X*, *Z*) ==
 $\exists \text{nat}'[M]. \exists \text{natnat}[M]. \exists \text{natnatsum}[M]. \exists XX[M]. \exists X\beta[M].$
 $\text{omega}(M, \text{nat}') \ \& \ \text{cartprod}(M, \text{nat}', \text{nat}', \text{natnat}) \ \&$
 $\text{is_sum}(M, \text{natnat}, \text{natnat}, \text{natnatsum}) \ \&$
 $\text{cartprod}(M, X, X, XX) \ \& \ \text{is_sum}(M, XX, X, X\beta) \ \&$
 $\text{is_sum}(M, \text{natnatsum}, X\beta, Z)$

lemma (*in M_trancl*) *formula_functor_abs* [*simp*]:

$[\mid M(X); M(Z) \mid]$
 $\Rightarrow \text{is_formula_functor}(M, X, Z) \longleftrightarrow$
 $Z = ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)$
 $\langle \text{proof} \rangle$

5.5 *M* Contains the List and Formula Datatypes

definition

list_N :: $[i, i] \Rightarrow i$ **where**
 $\text{list_N}(A, n) == (\lambda X. \{0\} + A * X) \wedge n \ (0)$

lemma *Nil_in_list_N* [*simp*]: $\square \in \text{list_N}(A, \text{succ}(n))$

$\langle \text{proof} \rangle$

lemma *Cons_in_list_N* [*simp*]:

$\text{Cons}(a, l) \in \text{list_N}(A, \text{succ}(n)) \longleftrightarrow a \in A \ \& \ l \in \text{list_N}(A, n)$
 $\langle \text{proof} \rangle$

These two aren't simplrules because they reveal the underlying list representation.

lemma *list_N_0*: $\text{list_N}(A, 0) = 0$

$\langle \text{proof} \rangle$

lemma *list_N_succ*: $\text{list_N}(A, \text{succ}(n)) = \{0\} + A * (\text{list_N}(A, n))$

$\langle \text{proof} \rangle$

lemma *list_N_imp_list*:

$[\mid l \in \text{list_N}(A, n); n \in \text{nat} \mid] \Rightarrow l \in \text{list}(A)$
 $\langle \text{proof} \rangle$

lemma *list_N_imp_length_lt* [*rule_format*]:

$n \in \text{nat} \Rightarrow \forall l \in \text{list_N}(A, n). \text{length}(l) < n$

$\langle \text{proof} \rangle$

lemma *list_imp_list_N* [rule_format]:
 $l \in \text{list}(A) \implies \forall n \in \text{nat}. \text{length}(l) < n \longrightarrow l \in \text{list_N}(A, n)$
 $\langle \text{proof} \rangle$

lemma *list_N_imp_eq_length*:
 $[|n \in \text{nat}; l \notin \text{list_N}(A, n); l \in \text{list_N}(A, \text{succ}(n))|]$
 $\implies n = \text{length}(l)$
 $\langle \text{proof} \rangle$

Express *list_rec* without using *rank* or *Vset*, neither of which is absolute.

lemma (in *M_trivial*) *list_rec_eq*:
 $l \in \text{list}(A) \implies$
 $\text{list_rec}(a, g, l) =$
 $\text{transrec}(\text{succ}(\text{length}(l)),$
 $\lambda x h. \text{Lambda}(\text{list}(A),$
 $\text{list_case}'(a,$
 $\lambda a l. g(a, l, h \text{ ' succ}(\text{length}(l)) \text{ ' l}))) \text{ ' l}$
 $\langle \text{proof} \rangle$

definition
 $\text{is_list_N} :: [i=>o, i, i] => o$ **where**
 $\text{is_list_N}(M, A, n, Z) ==$
 $\exists \text{zero}[M]. \text{empty}(M, \text{zero}) \ \&$
 $\text{is_iterates}(M, \text{is_list_functor}(M, A), \text{zero}, n, Z)$

definition
 $\text{mem_list} :: [i=>o, i, i] => o$ **where**
 $\text{mem_list}(M, A, l) ==$
 $\exists n[M]. \exists \text{listn}[M].$
 $\text{finite_ordinal}(M, n) \ \& \ \text{is_list_N}(M, A, n, \text{listn}) \ \& \ l \in \text{listn}$

definition
 $\text{is_list} :: [i=>o, i, i] => o$ **where**
 $\text{is_list}(M, A, Z) == \forall l[M]. l \in Z \longleftrightarrow \text{mem_list}(M, A, l)$

5.5.1 Towards Absoluteness of *formula_rec*

consts *depth* :: $i=>i$

primrec

$\text{depth}(\text{Member}(x, y)) = 0$
 $\text{depth}(\text{Equal}(x, y)) = 0$
 $\text{depth}(\text{Nand}(p, q)) = \text{succ}(\text{depth}(p) \cup \text{depth}(q))$
 $\text{depth}(\text{Forall}(p)) = \text{succ}(\text{depth}(p))$

lemma *depth_type* [TC]: $p \in \text{formula} \implies \text{depth}(p) \in \text{nat}$
 $\langle \text{proof} \rangle$

definition

$formula_N :: i ==> i$ **where**
 $formula_N(n) == (\lambda X. ((nat*nat) + (nat*nat)) + (X*X + X)) \wedge n (0)$

lemma *Member_in_formula_N* [simp]:

$Member(x,y) \in formula_N(succ(n)) \longleftrightarrow x \in nat \ \& \ y \in nat$
 $\langle proof \rangle$

lemma *Equal_in_formula_N* [simp]:

$Equal(x,y) \in formula_N(succ(n)) \longleftrightarrow x \in nat \ \& \ y \in nat$
 $\langle proof \rangle$

lemma *Nand_in_formula_N* [simp]:

$Nand(x,y) \in formula_N(succ(n)) \longleftrightarrow x \in formula_N(n) \ \& \ y \in formula_N(n)$
 $\langle proof \rangle$

lemma *Forall_in_formula_N* [simp]:

$Forall(x) \in formula_N(succ(n)) \longleftrightarrow x \in formula_N(n)$
 $\langle proof \rangle$

These two aren't simprules because they reveal the underlying formula representation.

lemma *formula_N_0*: $formula_N(0) = 0$

$\langle proof \rangle$

lemma *formula_N_succ*:

$formula_N(succ(n)) =$
 $((nat*nat) + (nat*nat)) + (formula_N(n) * formula_N(n) + formula_N(n))$
 $\langle proof \rangle$

lemma *formula_N_imp_formula*:

$[| p \in formula_N(n); n \in nat |] ==> p \in formula$
 $\langle proof \rangle$

lemma *formula_N_imp_depth_lt* [rule_format]:

$n \in nat ==> \forall p \in formula_N(n). depth(p) < n$
 $\langle proof \rangle$

lemma *formula_imp_formula_N* [rule_format]:

$p \in formula ==> \forall n \in nat. depth(p) < n \longrightarrow p \in formula_N(n)$
 $\langle proof \rangle$

lemma *formula_N_imp_eq_depth*:

$[| n \in nat; p \notin formula_N(n); p \in formula_N(succ(n)) |]$
 $==> n = depth(p)$
 $\langle proof \rangle$

This result and the next are unused.

lemma *formula_N_mono* [rule_format]:

$$[| m \in \text{nat}; n \in \text{nat} |] ==> m \leq n \longrightarrow \text{formula_N}(m) \subseteq \text{formula_N}(n)$$
 $\langle \text{proof} \rangle$

lemma *formula_N_distrib*:

$$[| m \in \text{nat}; n \in \text{nat} |] ==> \text{formula_N}(m \cup n) = \text{formula_N}(m) \cup \text{formula_N}(n)$$
 $\langle \text{proof} \rangle$

definition

$$\begin{aligned} \text{is_formula_N} &:: [i=>o, i, i] => o \text{ where} \\ \text{is_formula_N}(M, n, Z) &== \\ &\exists \text{zero}[M]. \text{empty}(M, \text{zero}) \ \& \\ &\text{is_iterates}(M, \text{is_formula_functor}(M), \text{zero}, n, Z) \end{aligned}$$

definition

$$\begin{aligned} \text{mem_formula} &:: [i=>o, i] => o \text{ where} \\ \text{mem_formula}(M, p) &== \\ &\exists n[M]. \exists \text{formn}[M]. \\ &\text{finite_ordinal}(M, n) \ \& \ \text{is_formula_N}(M, n, \text{formn}) \ \& \ p \in \text{formn} \end{aligned}$$

definition

$$\begin{aligned} \text{is_formula} &:: [i=>o, i] => o \text{ where} \\ \text{is_formula}(M, Z) &== \forall p[M]. p \in Z \longleftrightarrow \text{mem_formula}(M, p) \end{aligned}$$

5.5.2 Absoluteness of the List Construction

5.5.3 Absoluteness of Formulas

5.6 Absoluteness for ε -Closure: the *eclose* Operator

Re-expresses *eclose* using "iterates"

lemma *eclose_eq_Union*:

$$\text{eclose}(A) = (\bigcup_{n \in \text{nat}} \text{Union}^n(A))$$
 $\langle \text{proof} \rangle$

definition

$$\begin{aligned} \text{is_eclose_n} &:: [i=>o, i, i, i] => o \text{ where} \\ \text{is_eclose_n}(M, A, n, Z) &== \text{is_iterates}(M, \text{big_union}(M), A, n, Z) \end{aligned}$$

definition

$$\begin{aligned} \text{mem_eclose} &:: [i=>o, i, i] => o \text{ where} \\ \text{mem_eclose}(M, A, l) &== \\ &\exists n[M]. \exists \text{eclosen}[M]. \\ &\text{finite_ordinal}(M, n) \ \& \ \text{is_eclose_n}(M, A, n, \text{eclosen}) \ \& \ l \in \text{eclosen} \end{aligned}$$

definition

$$\begin{aligned} \text{is_eclose} &:: [i=>o, i, i] => o \text{ where} \\ \text{is_eclose}(M, A, Z) &== \forall u[M]. u \in Z \longleftrightarrow \text{mem_eclose}(M, A, u) \end{aligned}$$

locale $M_eclose = M_trancl +$
assumes $eclose_replacement1$:
 $M(A) ==> iterates_replacement(M, big_union(M), A)$
and $eclose_replacement2$:
 $M(A) ==> strong_replacement(M,$
 $\lambda n y. n \in nat \ \& \ is_iterates(M, big_union(M), A, n, y))$

lemma (in M_eclose) $eclose_replacement2'$:
 $M(A) ==> strong_replacement(M, \lambda n y. n \in nat \ \& \ y = Union^{\wedge n} (A))$
 $\langle proof \rangle$

lemma (in M_eclose) $eclose_closed$ [intro,simp]:
 $M(A) ==> M(eclose(A))$
 $\langle proof \rangle$

lemma (in M_eclose) $is_eclose_n_abs$ [simp]:
 $[|M(A); n \in nat; M(Z)|] ==> is_eclose_n(M, A, n, Z) \longleftrightarrow Z = Union^{\wedge n} (A)$
 $\langle proof \rangle$

lemma (in M_eclose) mem_eclose_abs [simp]:
 $M(A) ==> mem_eclose(M, A, l) \longleftrightarrow l \in eclose(A)$
 $\langle proof \rangle$

lemma (in M_eclose) $eclose_abs$ [simp]:
 $[|M(A); M(Z)|] ==> is_eclose(M, A, Z) \longleftrightarrow Z = eclose(A)$
 $\langle proof \rangle$

5.7 Absoluteness for *transrec*

$transrec(a, H) \equiv wfrec(Memrel(eclose(\{a\})), a, H)$

definition

$is_transrec :: [i=>o, [i,i,i]=>o, i, i] => o$ **where**
 $is_transrec(M, MH, a, z) ==$
 $\exists sa[M]. \exists esa[M]. \exists mesa[M].$
 $upair(M, a, a, sa) \ \& \ is_eclose(M, sa, esa) \ \& \ membership(M, esa, mesa) \ \& \$
 $is_wfrec(M, MH, mesa, a, z)$

definition

$transrec_replacement :: [i=>o, [i,i,i]=>o, i] => o$ **where**
 $transrec_replacement(M, MH, a) ==$
 $\exists sa[M]. \exists esa[M]. \exists mesa[M].$
 $upair(M, a, a, sa) \ \& \ is_eclose(M, sa, esa) \ \& \ membership(M, esa, mesa) \ \& \$
 $wfrec_replacement(M, MH, mesa)$

The condition $Ord(i)$ lets us use the simpler $trans_wfrec_abs$ rather than $trans_wfrec_abs$, which I haven't even proved yet.

theorem (in M_eclose) $transrec_abs$:

$$\begin{aligned}
& [|transrec_replacement(M, MH, i); \text{ relation2}(M, MH, H); \\
& \quad Ord(i); \text{ } M(i); \text{ } M(z); \\
& \quad \forall x[M]. \forall g[M]. \text{ function}(g) \longrightarrow M(H(x, g))|] \\
& ==> is_transrec(M, MH, i, z) \longleftrightarrow z = transrec(i, H) \\
\langle proof \rangle
\end{aligned}$$

theorem (in M_eclose) *transrec_closed*:

$$\begin{aligned}
& [|transrec_replacement(M, MH, i); \text{ relation2}(M, MH, H); \\
& \quad Ord(i); \text{ } M(i); \\
& \quad \forall x[M]. \forall g[M]. \text{ function}(g) \longrightarrow M(H(x, g))|] \\
& ==> M(transrec(i, H)) \\
\langle proof \rangle
\end{aligned}$$

Helps to prove instances of *transrec_replacement*

lemma (in M_eclose) *transrec_replacementI*:

$$\begin{aligned}
& [|M(a); \\
& \quad strong_replacement \text{ } (M, \\
& \quad \quad \lambda x z. \exists y[M]. \text{ pair}(M, x, y, z) \ \& \\
& \quad \quad is_wfrec(M, MH, Memrel(eclose(\{a\})), x, y))|] \\
& ==> transrec_replacement(M, MH, a) \\
\langle proof \rangle
\end{aligned}$$

5.8 Absoluteness for the List Operator *length*

But it is never used.

definition

$$\begin{aligned}
is_length & :: [i=>o, i, i] => o \text{ where} \\
is_length(M, A, l, n) & == \\
& \exists sn[M]. \exists list_n[M]. \exists list_sn[M]. \\
& is_list_N(M, A, n, list_n) \ \& \ l \notin list_n \ \& \\
& successor(M, n, sn) \ \& \ is_list_N(M, A, sn, list_sn) \ \& \ l \in list_sn
\end{aligned}$$

Proof is trivial since *length* returns natural numbers.

lemma (in $M_trivial$) *length_closed* [intro, simp]:

$$l \in list(A) ==> M(length(l))$$

$$\langle proof \rangle$$

5.9 Absoluteness for the List Operator *nth*

lemma *nth_eq_hd_iterates_tl* [rule_format]:

$$xs \in list(A) ==> \forall n \in nat. nth(n, xs) = hd' (tl'^n (xs))$$

$$\langle proof \rangle$$

lemma (in M_basic) *iterates_tl'_closed*:

$$[|n \in nat; M(x)|] ==> M(tl'^n (x))$$

$$\langle proof \rangle$$

Immediate by type-checking

lemma (in M_tranc1) nth_closed [intro,simp]:
 $[|xs \in list(A); n \in nat; M(A)|] ==> M(nth(n,xs))$
 $\langle proof \rangle$

5.10 Relativization and Absoluteness for the *formula* Constructors

definition

$is_Member :: [i=>o,i,i,i] => o$ **where**
 — because $Member(x, y) \equiv Inl(Inl(\langle x, y \rangle))$
 $is_Member(M,x,y,Z) ==$
 $\exists p[M]. \exists u[M]. pair(M,x,y,p) \ \& \ is_Inl(M,p,u) \ \& \ is_Inl(M,u,Z)$

lemma (in $M_trivial$) $Member_abs$ [simp]:
 $[|M(x); M(y); M(Z)|] ==> is_Member(M,x,y,Z) \longleftrightarrow (Z = Member(x,y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Member_in_M_iff$ [iff]:
 $M(Member(x,y)) \longleftrightarrow M(x) \ \& \ M(y)$
 $\langle proof \rangle$

definition

$is_Equal :: [i=>o,i,i,i] => o$ **where**
 — because $Equal(x, y) \equiv Inl(Inr(\langle x, y \rangle))$
 $is_Equal(M,x,y,Z) ==$
 $\exists p[M]. \exists u[M]. pair(M,x,y,p) \ \& \ is_Inr(M,p,u) \ \& \ is_Inl(M,u,Z)$

lemma (in $M_trivial$) $Equal_abs$ [simp]:
 $[|M(x); M(y); M(Z)|] ==> is_Equal(M,x,y,Z) \longleftrightarrow (Z = Equal(x,y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Equal_in_M_iff$ [iff]: $M(Equal(x,y)) \longleftrightarrow M(x) \ \& \ M(y)$
 $\langle proof \rangle$

definition

$is_Nand :: [i=>o,i,i,i] => o$ **where**
 — because $Nand(x, y) \equiv Inr(Inl(\langle x, y \rangle))$
 $is_Nand(M,x,y,Z) ==$
 $\exists p[M]. \exists u[M]. pair(M,x,y,p) \ \& \ is_Inl(M,p,u) \ \& \ is_Inr(M,u,Z)$

lemma (in $M_trivial$) $Nand_abs$ [simp]:
 $[|M(x); M(y); M(Z)|] ==> is_Nand(M,x,y,Z) \longleftrightarrow (Z = Nand(x,y))$
 $\langle proof \rangle$

lemma (in $M_trivial$) $Nand_in_M_iff$ [iff]: $M(Nand(x,y)) \longleftrightarrow M(x) \ \& \ M(y)$
 $\langle proof \rangle$

definition

$is_Forall :: [i=>o,i,i] => o$ **where**

— because $Forall(x) \equiv Inr(Inr(p))$
 $is_Forall(M,p,Z) == \exists u[M]. is_Inr(M,p,u) \ \& \ is_Inr(M,u,Z)$

lemma (in $M_trivial$) $Forall_abs$ [simp]:
 $[M(x); M(Z)] ==> is_Forall(M,x,Z) \longleftrightarrow (Z = Forall(x))$
 <proof>

lemma (in $M_trivial$) $Forall_in_M_iff$ [iff]: $M(Forall(x)) \longleftrightarrow M(x)$
 <proof>

5.11 Absoluteness for $formula_rec$

definition

$formula_rec_case :: [[i,i]=>i, [i,i]=>i, [i,i,i,i]=>i, [i,i]=>i, i, i] => i$ **where**
 — the instance of $formula_case$ in $formula_rec$
 $formula_rec_case(a,b,c,d,h) ==$
 $formula_case(a, b,$
 $\quad \lambda u v. c(u, v, h \ ' succ(depth(u)) \ ' u,$
 $\quad \quad \quad h \ ' succ(depth(v)) \ ' v),$
 $\quad \lambda u. d(u, h \ ' succ(depth(u)) \ ' u))$

Unfold $formula_rec$ to $formula_rec_case$. Express $formula_rec$ without using $rank$ or $Vset$, neither of which is absolute.

lemma (in $M_trivial$) $formula_rec_eq$:
 $p \in formula ==>$
 $formula_rec(a,b,c,d,p) =$
 $transrec(succ(depth(p)),$
 $\quad \lambda x h. Lambda(formula, formula_rec_case(a,b,c,d,h))) \ ' p$
 <proof>

5.11.1 Absoluteness for the Formula Operator $depth$

definition

$is_depth :: [i=>o,i,i] => o$ **where**
 $is_depth(M,p,n) ==$
 $\exists sn[M]. \exists formula_n[M]. \exists formula_sn[M].$
 $is_formula_N(M,n,formula_n) \ \& \ p \notin formula_n \ \&$
 $successor(M,n,sn) \ \& \ is_formula_N(M,sn,formula_sn) \ \& \ p \in formula_sn$

Proof is trivial since $depth$ returns natural numbers.

lemma (in $M_trivial$) $depth_closed$ [intro,simp]:
 $p \in formula ==> M(depth(p))$
 <proof>

end

6 Some enhanced theorems on recursion

theory *Recursion_Thms*
imports *Eclos_Absolute*

begin

hide_const (**open**) *Order.pred*

— Removing arities from inherited simpset

declare *arity_And* [*simp del*] *arity_Or* [*simp del*] *arity_Implies* [*simp del*]
arity_Exists [*simp del*] *arity_Iff* [*simp del*]
arity_subset_fm [*simp del*] *arity_ordinal_fm* [*simp del*] *arity_transset_fm* [*simp del*]

We prove results concerning definitions by well-founded recursion on some relation R and its transitive closure R^*

lemma *fld_restrict_eq* : $a \in A \implies (r \cap A \times A) \text{-} \text{``}\{a\} = (r \text{-} \text{``}\{a\} \cap A)$
 $\langle \text{proof} \rangle$

lemma *fld_restrict_mono* : $\text{relation}(r) \implies A \subseteq B \implies r \cap A \times A \subseteq r \cap B \times B$
 $\langle \text{proof} \rangle$

lemma *fld_restrict_dom* :
assumes $\text{relation}(r)$ $\text{domain}(r) \subseteq A$ $\text{range}(r) \subseteq A$
shows $r \cap A \times A = r$
 $\langle \text{proof} \rangle$

definition *tr_down* :: $[i, i] \Rightarrow i$
where $\text{tr_down}(r, a) = (r^+ \text{-} \text{``}\{a\})$

lemma *tr_downD* : $x \in \text{tr_down}(r, a) \implies \langle x, a \rangle \in r^+$
 $\langle \text{proof} \rangle$

lemma *pred_down* : $\text{relation}(r) \implies r \text{-} \text{``}\{a\} \subseteq \text{tr_down}(r, a)$
 $\langle \text{proof} \rangle$

lemma *tr_down_mono* : $\text{relation}(r) \implies x \in r \text{-} \text{``}\{a\} \implies \text{tr_down}(r, x) \subseteq \text{tr_down}(r, a)$
 $\langle \text{proof} \rangle$

lemma *rest_eq* :
assumes $\text{relation}(r)$ **and** $r \text{-} \text{``}\{a\} \subseteq B$ **and** $a \in B$
shows $r \text{-} \text{``}\{a\} = (r \cap B \times B) \text{-} \text{``}\{a\}$
 $\langle \text{proof} \rangle$

lemma *wfrec_restr_eq* : $r' = r \cap A \times A \implies \text{wfrec}[A](r, a, H) = \text{wfrec}(r', a, H)$
 $\langle \text{proof} \rangle$

lemma *wfrec_restr* :

assumes $rr: \text{relation}(r)$ **and** $wfr: wf(r)$
shows $a \in A \implies \text{tr_down}(r, a) \subseteq A \implies wfrec(r, a, H) = wfrec[A](r, a, H)$
 $\langle proof \rangle$

lemmas $wfrec_tr_down = wfrec_restr[OF _ _ _ subset_refl]$

lemma $wfrec_trans_restr : \text{relation}(r) \implies wf(r) \implies trans(r) \implies r - \{a\} \subseteq A \implies$
 $a \in A \implies$
 $wfrec(r, a, H) = wfrec[A](r, a, H)$
 $\langle proof \rangle$

lemma $field_trancl : field(r^+) = field(r)$
 $\langle proof \rangle$

definition

$Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$ **where**
 $Rrel(R, A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x, y)\}$

lemma $RrelI : x \in A \implies y \in A \implies R(x, y) \implies \langle x, y \rangle \in Rrel(R, A)$
 $\langle proof \rangle$

lemma $Rrel_mem: Rrel(mem, x) = Memrel(x)$
 $\langle proof \rangle$

lemma $relation_Rrel: relation(Rrel(R, d))$
 $\langle proof \rangle$

lemma $field_Rrel: field(Rrel(R, d)) \subseteq d$
 $\langle proof \rangle$

lemma $Rrel_mono : A \subseteq B \implies Rrel(R, A) \subseteq Rrel(R, B)$
 $\langle proof \rangle$

lemma $Rrel_restr_eq : Rrel(R, A) \cap B \times B = Rrel(R, A \cap B)$
 $\langle proof \rangle$

lemma $field_Memrel : field(Memrel(A)) \subseteq A$
 $\langle proof \rangle$

lemma $restrict_trancl_Rrel:$
assumes $R(w, y)$
shows $restrict(f, Rrel(R, d) - \{y\})'w$
 $= restrict(f, (Rrel(R, d)^+) - \{y\})'w$
 $\langle proof \rangle$

lemma $restrict_trans_eq:$
assumes $w \in y$
shows $restrict(f, Memrel(eclose(\{x\})) - \{y\})'w$
 $= restrict(f, (Memrel(eclose(\{x\}))^+) - \{y\})'w$

$\langle \text{proof} \rangle$

lemma *wf_eq_trancl*:

assumes $\bigwedge f y . H(y, \text{restrict}(f, R \cdot \{y\})) = H(y, \text{restrict}(f, R^+ \cdot \{y\}))$

shows $\text{wfrec}(R, x, H) = \text{wfrec}(R^+, x, H)$ (**is** $\text{wfrec}(?r, _, _) = \text{wfrec}(?r', _, _)$)
 $\langle \text{proof} \rangle$

lemma *transrec_equal_on_Ord*:

assumes

$\bigwedge x f . \text{Ord}(x) \implies \text{foo}(x, f) = \text{bar}(x, f)$
 $\text{Ord}(\alpha)$

shows

$\text{transrec}(\alpha, \text{foo}) = \text{transrec}(\alpha, \text{bar})$

$\langle \text{proof} \rangle$

lemma (**in** *M_eclose*) *transrec_equal_on_M*:

assumes

$\bigwedge x f . M(x) \implies M(f) \implies \text{foo}(x, f) = \text{bar}(x, f)$
 $\bigwedge \beta . M(\beta) \implies \text{transrec_replacement}(M, \text{is_foo}, \beta) \text{ relation2}(M, \text{is_foo}, \text{foo})$
 $\text{strong_replacement}(M, \lambda x y . y = \langle x, \text{transrec}(x, \text{foo}) \rangle)$
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{foo}(x, g))$
 $M(\alpha) \text{ Ord}(\alpha)$

shows

$\text{transrec}(\alpha, \text{foo}) = \text{transrec}(\alpha, \text{bar})$

$\langle \text{proof} \rangle$

lemma *ordermap_restr_eq*:

assumes *well_ord*(*X*, *r*)

shows $\text{ordermap}(X, r) = \text{ordermap}(X, r \cap X \times X)$
 $\langle \text{proof} \rangle$

end

7 Absoluteness Properties for Recursive Datatypes

theory *Datatype_absolute* **imports** *Eclos_e_Absolute* **begin**

locale *M_datatypes* = *M_trancl* +

assumes *list_replacement1*:

$M(A) \implies \text{iterates_replacement}(M, \text{is_list_functor}(M, A), 0)$

and *list_replacement2*:

$M(A) \implies \text{strong_replacement}(M,$
 $\lambda n y . n \in \text{nat} \ \& \ \text{is_iterates}(M, \text{is_list_functor}(M, A), 0, n, y))$

and *formula_replacement1*:

$\text{iterates_replacement}(M, \text{is_formula_functor}(M), 0)$

and *formula_replacement2*:

$\text{strong_replacement}(M,$
 $\lambda n y . n \in \text{nat} \ \& \ \text{is_iterates}(M, \text{is_formula_functor}(M), 0, n, y))$

and *nth_replacement*:

$M(l) ==> \text{iterates_replacement}(M, \%l\ t.\ \text{is_tl}(M,l,t),\ l)$

7.0.1 Absoluteness of the List Construction

lemma (in $M_datatypes$) $\text{list_replacement2}'$:

$M(A) ==> \text{strong_replacement}(M, \lambda n\ y.\ n \in \text{nat} \ \& \ y = (\lambda X.\ \{0\} + A * X)^{\wedge n} (0))$
 $\langle \text{proof} \rangle$

lemma (in $M_datatypes$) list_closed [intro,simp]:

$M(A) ==> M(\text{list}(A))$
 $\langle \text{proof} \rangle$

WARNING: use only with *dest*: or with variables fixed!

lemmas (in $M_datatypes$) $\text{list_into_M} = \text{transM}$ [OF __ list_closed]

lemma (in $M_datatypes$) list_N_abs [simp]:

$[[M(A);\ n \in \text{nat};\ M(Z)]]$
 $==> \text{is_list_N}(M,A,n,Z) \longleftrightarrow Z = \text{list_N}(A,n)$
 $\langle \text{proof} \rangle$

lemma (in $M_datatypes$) list_N_closed [intro,simp]:

$[[M(A);\ n \in \text{nat}]] ==> M(\text{list_N}(A,n))$
 $\langle \text{proof} \rangle$

lemma (in $M_datatypes$) mem_list_abs [simp]:

$M(A) ==> \text{mem_list}(M,A,l) \longleftrightarrow l \in \text{list}(A)$
 $\langle \text{proof} \rangle$

lemma (in $M_datatypes$) list_abs [simp]:

$[[M(A);\ M(Z)]] ==> \text{is_list}(M,A,Z) \longleftrightarrow Z = \text{list}(A)$
 $\langle \text{proof} \rangle$

7.0.2 Absoluteness of Formulas

lemma (in $M_datatypes$) $\text{formula_replacement2}'$:

$\text{strong_replacement}(M, \lambda n\ y.\ n \in \text{nat} \ \& \ y = (\lambda X.\ ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X))^{\wedge n} (0))$
 $\langle \text{proof} \rangle$

lemma (in $M_datatypes$) formula_closed [intro,simp]:

$M(\text{formula})$
 $\langle \text{proof} \rangle$

lemmas (in $M_datatypes$) $\text{formula_into_M} = \text{transM}$ [OF __ formula_closed]

lemma (in $M_datatypes$) formula_N_abs [simp]:

$[[n \in \text{nat};\ M(Z)]]$
 $==> \text{is_formula_N}(M,n,Z) \longleftrightarrow Z = \text{formula_N}(n)$
 $\langle \text{proof} \rangle$

lemma (in *M_datatypes*) *formula_N_closed* [*intro,simp*]:
 $n \in \text{nat} \implies M(\text{formula_N}(n))$
 <proof>

lemma (in *M_datatypes*) *mem_formula_abs* [*simp*]:
 $\text{mem_formula}(M, l) \longleftrightarrow l \in \text{formula}$
 <proof>

lemma (in *M_datatypes*) *formula_abs* [*simp*]:
 $\llbracket M(Z) \rrbracket \implies \text{is_formula}(M, Z) \longleftrightarrow Z = \text{formula}$
 <proof>

lemma (in *M_datatypes*) *length_abs* [*simp*]:
 $\llbracket M(A); l \in \text{list}(A); n \in \text{nat} \rrbracket \implies \text{is_length}(M, A, l, n) \longleftrightarrow n = \text{length}(l)$
 <proof>

definition
 $\text{is_nth} :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $\text{is_nth}(M, n, l, Z) ==$
 $\exists X[M]. \text{is_iterates}(M, \text{is_tl}(M), l, n, X) \ \& \ \text{is_hd}(M, X, Z)$

lemma (in *M_datatypes*) *nth_abs* [*simp*]:
 $\llbracket M(A); n \in \text{nat}; l \in \text{list}(A); M(Z) \rrbracket$
 $\implies \text{is_nth}(M, n, l, Z) \longleftrightarrow Z = \text{nth}(n, l)$
 <proof>

lemma (in *M_datatypes*) *depth_abs* [*simp*]:
 $\llbracket p \in \text{formula}; n \in \text{nat} \rrbracket \implies \text{is_depth}(M, p, n) \longleftrightarrow n = \text{depth}(p)$
 <proof>

7.0.3 *is_formula_case*: relativization of *formula_case*

definition
 $\text{is_formula_case} ::$
 $[i \Rightarrow o, [i, i, i] \Rightarrow o, [i, i, i] \Rightarrow o, [i, i, i] \Rightarrow o, [i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 — no constraint on non-formulas
 $\text{is_formula_case}(M, \text{is_a}, \text{is_b}, \text{is_c}, \text{is_d}, p, z) ==$
 $(\forall x[M]. \forall y[M]. \text{finite_ordinal}(M, x) \longrightarrow \text{finite_ordinal}(M, y) \longrightarrow$
 $\quad \text{is_Member}(M, x, y, p) \longrightarrow \text{is_a}(x, y, z)) \ \&$
 $(\forall x[M]. \forall y[M]. \text{finite_ordinal}(M, x) \longrightarrow \text{finite_ordinal}(M, y) \longrightarrow$
 $\quad \text{is_Equal}(M, x, y, p) \longrightarrow \text{is_b}(x, y, z)) \ \&$
 $(\forall x[M]. \forall y[M]. \text{mem_formula}(M, x) \longrightarrow \text{mem_formula}(M, y) \longrightarrow$

$$is_Nand(M, x, y, p) \longrightarrow is_c(x, y, z)) \ \& \\ (\forall x[M]. mem_formula(M, x) \longrightarrow is_Forall(M, x, p) \longrightarrow is_d(x, z))$$

lemma (in $M_datatypes$) $formula_case_abs$ [simp]:
 $[[\text{Relation2}(M, nat, nat, is_a, a); \text{Relation2}(M, nat, nat, is_b, b);$
 $\text{Relation2}(M, formula, formula, is_c, c); \text{Relation1}(M, formula, is_d, d);$
 $p \in formula; M(z) \]]$
 $\implies is_formula_case(M, is_a, is_b, is_c, is_d, p, z) \longleftrightarrow$
 $z = formula_case(a, b, c, d, p)$
 $\langle proof \rangle$

lemma (in $M_datatypes$) $formula_case_closed$ [intro, simp]:
 $[[p \in formula;$
 $\forall x[M]. \forall y[M]. x \in nat \longrightarrow y \in nat \longrightarrow M(a(x, y));$
 $\forall x[M]. \forall y[M]. x \in nat \longrightarrow y \in nat \longrightarrow M(b(x, y));$
 $\forall x[M]. \forall y[M]. x \in formula \longrightarrow y \in formula \longrightarrow M(c(x, y));$
 $\forall x[M]. x \in formula \longrightarrow M(d(x))]] \implies M(formula_case(a, b, c, d, p))$
 $\langle proof \rangle$

7.0.4 Absoluteness for $formula_rec$: Final Results

definition

$is_formula_rec :: [i \Rightarrow o, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{— predicate to relativize the functional } formula_rec$
 $is_formula_rec(M, MH, p, z) ==$
 $\exists dp[M]. \exists i[M]. \exists f[M]. finite_ordinal(M, dp) \ \& \ is_depth(M, p, dp) \ \&$
 $successor(M, dp, i) \ \& \ fun_apply(M, f, p, z) \ \& \ is_transrec(M, MH, i, f)$

Sufficient conditions to relativize the instance of $formula_case$ in $formula_rec$

lemma (in $M_datatypes$) $Relation1_formula_rec_case$:
 $[[\text{Relation2}(M, nat, nat, is_a, a);$
 $\text{Relation2}(M, nat, nat, is_b, b);$
 $\text{Relation2}(M, formula, formula,$
 $is_c, \lambda u v. c(u, v, h'succ(depth(u))'u, h'succ(depth(v))'v));$
 $\text{Relation1}(M, formula,$
 $is_d, \lambda u. d(u, h' succ(depth(u))' u));$
 $M(h) \]]$
 $\implies \text{Relation1}(M, formula,$
 $is_formula_case(M, is_a, is_b, is_c, is_d),$
 $formula_rec_case(a, b, c, d, h))$
 $\langle proof \rangle$

This locale packages the premises of the following theorems, which is the normal purpose of locales. It doesn't accumulate constraints on the class M , as in most of this development.

locale $Formula_Rec = M_eclose + M_datatypes +$
fixes a **and** is_a **and** b **and** is_b **and** c **and** is_c **and** d **and** is_d **and** MH
defines
 $MH(u::i, f, z) ==$

$\forall fml[M]. \text{is_formula}(M, fml) \longrightarrow$
 is_lambda
 $(M, fml, \text{is_formula_case}(M, \text{is_a}, \text{is_b}, \text{is_c}(f), \text{is_d}(f)), z)$

assumes $a_closed: [|x \in nat; y \in nat|] \implies M(a(x, y))$
and $a_rel: \text{Relation2}(M, nat, nat, \text{is_a}, a)$
and $b_closed: [|x \in nat; y \in nat|] \implies M(b(x, y))$
and $b_rel: \text{Relation2}(M, nat, nat, \text{is_b}, b)$
and $c_closed: [|x \in formula; y \in formula; M(gx); M(gy)|] \implies M(c(x, y, gx, gy))$
and $c_rel:$
 $M(f) \implies$
 $\text{Relation2}(M, formula, formula, \text{is_c}(f),$
 $\lambda u v. c(u, v, f \text{ ' succ(depth(u)) ' u, f \text{ ' succ(depth(v)) ' v}))$
and $d_closed: [|x \in formula; M(gx)|] \implies M(d(x, gx))$
and $d_rel:$
 $M(f) \implies$
 $\text{Relation1}(M, formula, \text{is_d}(f), \lambda u. d(u, f \text{ ' succ(depth(u)) ' u}))$
and $fr_replace: n \in nat \implies \text{transrec_replacement}(M, MH, n)$
and $fr_lam_replace:$
 $M(g) \implies$
 $\text{strong_replacement}$
 $(M, \lambda x y. x \in formula \ \&$
 $y = \langle x, \text{formula_rec_case}(a, b, c, d, g, x) \rangle)$

lemma (in Formula_Rec) formula_rec_case_closed:
 $[|M(g); p \in formula|] \implies M(\text{formula_rec_case}(a, b, c, d, g, p))$
 $\langle \text{proof} \rangle$

lemma (in Formula_Rec) formula_rec_lam_closed:
 $M(g) \implies M(\text{Lambda}(\text{formula}, \text{formula_rec_case}(a, b, c, d, g)))$
 $\langle \text{proof} \rangle$

lemma (in Formula_Rec) MH_rel2:
 $\text{relation2}(M, MH,$
 $\lambda x h. \text{Lambda}(\text{formula}, \text{formula_rec_case}(a, b, c, d, h)))$
 $\langle \text{proof} \rangle$

lemma (in Formula_Rec) fr_transrec_closed:
 $n \in nat$
 $\implies M(\text{transrec}$
 $(n, \lambda x h. \text{Lambda}(\text{formula}, \text{formula_rec_case}(a, b, c, d, h))))$
 $\langle \text{proof} \rangle$

The main two results: *formula_rec* is absolute for *M*.

theorem (in Formula_Rec) formula_rec_closed:
 $p \in formula \implies M(\text{formula_rec}(a, b, c, d, p))$
 $\langle \text{proof} \rangle$

theorem (in *Formula_Rec*) *formula_rec_abs*:

$$[[p \in \text{formula}; M(z)]] \implies \text{is_formula_rec}(M, MH, p, z) \longleftrightarrow z = \text{formula_rec}(a, b, c, d, p)$$
 $\langle \text{proof} \rangle$

end

theory *Internalize* **imports** *ZF-Constructible.L_axioms Eclose_Absolute* **begin**

7.1 Internalized Forms of Data Structuring Operators

7.1.1 The Formula *is_Inl*, Internalized

definition

$\text{Inl_fm} :: [i, i] \Rightarrow i$ **where**
 $\text{Inl_fm}(a, z) == \text{Exists}(\text{And}(\text{empty_fm}(0), \text{pair_fm}(0, \text{succ}(a), \text{succ}(z))))$

lemma *Inl_type* [TC]:

$[[x \in \text{nat}; z \in \text{nat}]] \implies \text{Inl_fm}(x, z) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_Inl_fm* [simp]:

$[[x \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{Inl_fm}(x, z), \text{env}) \longleftrightarrow \text{is_Inl}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(z, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *Inl_iff_sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is_Inl}(\#\#A, x, z) \longleftrightarrow \text{sats}(A, \text{Inl_fm}(i, k), \text{env})$
 $\langle \text{proof} \rangle$

theorem *Inl_reflection*:

$\text{REFLECTS}[\lambda x. \text{is_Inl}(L, f(x), h(x)),$
 $\lambda i x. \text{is_Inl}(\#\#L\text{set}(i), f(x), h(x))]$
 $\langle \text{proof} \rangle$

7.1.2 The Formula *is_Inr*, Internalized

definition

$\text{Inr_fm} :: [i, i] \Rightarrow i$ **where**
 $\text{Inr_fm}(a, z) == \text{Exists}(\text{And}(\text{number1_fm}(0), \text{pair_fm}(0, \text{succ}(a), \text{succ}(z))))$

lemma *Inr_type* [TC]:

$[[x \in \text{nat}; z \in \text{nat}]] \implies \text{Inr_fm}(x, z) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_Inr_fm* [simp]:

$$[| x \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{sats}(A, \text{Inr_fm}(x, z), \text{env}) \longleftrightarrow \text{is_Inr}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(z, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *Inr_iff_sats*:

$$[| \text{nth}(i, \text{env}) = x; \text{nth}(k, \text{env}) = z;$$

$$i \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{is_Inr}(\#\#A, x, z) \longleftrightarrow \text{sats}(A, \text{Inr_fm}(i, k), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *Inr_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_Inr}(L, f(x), h(x)),$$

$$\lambda i x. \text{is_Inr}(\#\#L\text{set}(i), f(x), h(x))]$$

$$\langle \text{proof} \rangle$$

7.1.3 The Formula *is_Nil*, Internalized

definition

$$\text{Nil_fm} :: i \Rightarrow i \text{ where}$$

$$\text{Nil_fm}(x) == \text{Exists}(\text{And}(\text{empty_fm}(0), \text{Inl_fm}(0, \text{succ}(x))))$$

lemma *Nil_type* [TC]: $x \in \text{nat} \implies \text{Nil_fm}(x) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_Nil_fm* [simp]:

$$[| x \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{sats}(A, \text{Nil_fm}(x), \text{env}) \longleftrightarrow \text{is_Nil}(\#\#A, \text{nth}(x, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *Nil_iff_sats*:

$$[| \text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{is_Nil}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{Nil_fm}(i), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *Nil_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_Nil}(L, f(x)),$$

$$\lambda i x. \text{is_Nil}(\#\#L\text{set}(i), f(x))]$$

$$\langle \text{proof} \rangle$$

7.1.4 The Formula *is_Cons*, Internalized

definition

$$\text{Cons_fm} :: [i, i, i] \Rightarrow i \text{ where}$$

$$\text{Cons_fm}(a, l, Z) ==$$

$$\text{Exists}(\text{And}(\text{pair_fm}(\text{succ}(a), \text{succ}(l), 0), \text{Inr_fm}(0, \text{succ}(Z))))$$

lemma *Cons_type* [TC]:

$$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{Cons_fm}(x, y, z) \in \text{formula}$$

$$\langle \text{proof} \rangle$$

lemma *sats_Cons_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{Cons_fm}(x, y, z), \text{env}) \longleftrightarrow$$

$$\text{is_Cons}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *Cons_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$$

$$i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_Cons}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Cons_fm}(i, j, k), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *Cons_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_Cons}(L, f(x), g(x), h(x)),$$

$$\lambda i x. \text{is_Cons}(\#\#Lset(i), f(x), g(x), h(x))]$$

$$\langle \text{proof} \rangle$$

7.1.5 The Formula *is_quaselist*, Internalized

definition

$$\text{quaselist_fm} :: i \Rightarrow i \text{ where}$$

$$\text{quaselist_fm}(x) ==$$

$$\text{Or}(\text{Nil_fm}(x), \text{Exists}(\text{Exists}(\text{Cons_fm}(1, 0, \text{succ}(\text{succ}(x))))))$$

lemma *quaselist_type* [TC]: $x \in \text{nat} \implies \text{quaselist_fm}(x) \in \text{formula}$

$$\langle \text{proof} \rangle$$

lemma *sats_quaselist_fm* [simp]:

$$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{quaselist_fm}(x), \text{env}) \longleftrightarrow \text{is_quaselist}(\#\#A, \text{nth}(x, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *quaselist_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_quaselist}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{quaselist_fm}(i), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *quaselist_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_quaselist}(L, f(x)),$$

$$\lambda i x. \text{is_quaselist}(\#\#Lset(i), f(x))]$$

$$\langle \text{proof} \rangle$$

7.2 Absoluteness for the Function *nth*

7.2.1 The Formula *is_hd*, Internalized

definition

$$\text{hd_fm} :: [i, i] \Rightarrow i \text{ where}$$

$$\text{hd_fm}(xs, H) ==$$

$$\text{And}(\text{Implies}(\text{Nil_fm}(xs), \text{empty_fm}(H)),$$

$$\text{And}(\text{Forall}(\text{Forall}(\text{Or}(\text{Neg}(\text{Cons_fm}(1,0,xs\# + 2)), \text{Equal}(H\# + 2,1))), \\ \text{Or}(\text{quaselist_fm}(xs), \text{empty_fm}(H))))$$

lemma *hd_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{hd_fm}(x,y) \in \text{formula} \\ \langle \text{proof} \rangle$$

lemma *sats_hd_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{hd_fm}(x,y), \text{env}) \longleftrightarrow \text{is_hd}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env})) \\ \langle \text{proof} \rangle$$

lemma *hd_iff_sats*:

$$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \\ i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{is_hd}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{hd_fm}(i,j), \text{env}) \\ \langle \text{proof} \rangle$$

theorem *hd_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_hd}(L,f(x),g(x)), \\ \lambda i x. \text{is_hd}(\#\#L\text{set}(i),f(x),g(x))] \\ \langle \text{proof} \rangle$$

7.2.2 The Formula *is_tl*, Internalized

definition

$$\text{tl_fm} :: [i,i] \implies i \text{ where} \\ \text{tl_fm}(xs,T) == \\ \text{And}(\text{Implies}(\text{Nil_fm}(xs), \text{Equal}(T,xs)), \\ \text{And}(\text{Forall}(\text{Forall}(\text{Or}(\text{Neg}(\text{Cons_fm}(1,0,xs\# + 2)), \text{Equal}(T\# + 2,0)))), \\ \text{Or}(\text{quaselist_fm}(xs), \text{empty_fm}(T))))$$

lemma *tl_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{tl_fm}(x,y) \in \text{formula} \\ \langle \text{proof} \rangle$$

lemma *sats_tl_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{tl_fm}(x,y), \text{env}) \longleftrightarrow \text{is_tl}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env})) \\ \langle \text{proof} \rangle$$

lemma *tl_iff_sats*:

$$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \\ i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{is_tl}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{tl_fm}(i,j), \text{env}) \\ \langle \text{proof} \rangle$$

theorem *tl_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_tl}(L,f(x),g(x)),$$

$\lambda i x. is_tl(\#\#Lset(i), f(x), g(x))]$

$\langle proof \rangle$

7.2.3 The Operator $is_bool_of_o$

The formula p has no free variables.

definition

$bool_of_o_fm :: [i, i] \Rightarrow i$ **where**
 $bool_of_o_fm(p, z) ==$
 $Or(And(p, number1_fm(z)),$
 $And(Neg(p), empty_fm(z)))$

lemma $is_bool_of_o_type$ $[TC]$:

$[| p \in formula; z \in nat |] \Rightarrow bool_of_o_fm(p, z) \in formula$

$\langle proof \rangle$

lemma $sats_bool_of_o_fm$:

assumes $p_iff_sats: P \longleftrightarrow sats(A, p, env)$

shows

$[| z \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, bool_of_o_fm(p, z), env) \longleftrightarrow$
 $is_bool_of_o(\#\#A, P, nth(z, env))$

$\langle proof \rangle$

lemma $is_bool_of_o_iff_sats$:

$[| P \longleftrightarrow sats(A, p, env); nth(k, env) = z; k \in nat; env \in list(A) |]$
 $\Rightarrow is_bool_of_o(\#\#A, P, z) \longleftrightarrow sats(A, bool_of_o_fm(p, k), env)$

$\langle proof \rangle$

theorem $bool_of_o_reflection$:

$REFLECTS [P(L), \lambda i. P(\#\#Lset(i))] \Rightarrow$
 $REFLECTS[\lambda x. is_bool_of_o(L, P(L, x), f(x)),$
 $\lambda i x. is_bool_of_o(\#\#Lset(i), P(\#\#Lset(i), x), f(x))]$

$\langle proof \rangle$

7.3 More Internalizations

7.3.1 The Operator is_lambda

The two arguments of p are always 1, 0. Remember that p will be enclosed by three quantifiers.

definition

$lambda_fm :: [i, i, i] \Rightarrow i$ **where**
 $lambda_fm(p, A, z) ==$
 $Forall(Iff(Member(0, succ(z)),$
 $Exists(Exists(And(Member(1, A\#+3),$
 $And(pair_fm(1, 0, 2), p))))))$

We call p with arguments x, y by equating them with the corresponding quantified variables with de Bruijn indices 1, 0.

lemma *is_lambda_type* [TC]:

$$[[p \in \text{formula}; x \in \text{nat}; y \in \text{nat}]] \\ \implies \text{lambda_fm}(p, x, y) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_lambda_fm*:
assumes *is_b_iff_sats*:

$$!!a0\ a1\ a2. \\ [[a0 \in A; a1 \in A; a2 \in A]] \\ \implies \text{is_b}(a1, a0) \longleftrightarrow \text{sats}(A, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{env}))))$$
shows

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{lambda_fm}(p, x, y), \text{env}) \longleftrightarrow \\ \text{is_lambda}(\#\#A, \text{nth}(x, \text{env}), \text{is_b}, \text{nth}(y, \text{env}))$$
 $\langle \text{proof} \rangle$

theorem *is_lambda_reflection*:
assumes *is_b_reflection*:

$$!!f\ g\ h. \text{REFLECTS}[\lambda x. \text{is_b}(L, f(x), g(x), h(x)), \\ \lambda i\ x. \text{is_b}(\#\#L\text{set}(i), f(x), g(x), h(x))]$$
shows $\text{REFLECTS}[\lambda x. \text{is_lambda}(L, A(x), \text{is_b}(L, x), f(x)), \\ \lambda i\ x. \text{is_lambda}(\#\#L\text{set}(i), A(x), \text{is_b}(\#\#L\text{set}(i), x), f(x))]$
 $\langle \text{proof} \rangle$

7.3.2 The Operator *is_Member*, Internalized

definition

$$\text{Member_fm} :: [i, i, i] \implies i \text{ where} \\ \text{Member_fm}(x, y, Z) == \\ \text{Exists}(\text{Exists}(\text{And}(\text{pair_fm}(x\#\#2, y\#\#2, 1), \\ \text{And}(\text{Inl_fm}(1, 0), \text{Inl_fm}(0, Z\#\#2))))))$$

lemma *is_Member_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{Member_fm}(x, y, z) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_Member_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{Member_fm}(x, y, z), \text{env}) \longleftrightarrow \\ \text{is_Member}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *Member_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{is_Member}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Member_fm}(i, j, k), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *Member_reflection*:

$REFLECTS[\lambda x. is_Member(L, f(x), g(x), h(x)),$
 $\lambda i x. is_Member(\#\#Lset(i), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.3.3 The Operator *is_Equal*, Internalized

definition

$Equal_fm :: [i, i, i] \Rightarrow i$ **where**
 $Equal_fm(x, y, Z) ==$
 $Exists(Exists(And(pair_fm(x\#\#2, y\#\#2, 1),$
 $And(Inr_fm(1, 0), Inl_fm(0, Z\#\#2))))))$

lemma *is_Equal_type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] \Rightarrow Equal_fm(x, y, z) \in formula$
 $\langle proof \rangle$

lemma *sats_Equal_fm* [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, Equal_fm(x, y, z), env) \longleftrightarrow$
 $is_Equal(\#\#A, nth(x, env), nth(y, env), nth(z, env))$
 $\langle proof \rangle$

lemma *Equal_iff_sats*:

$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $\Rightarrow is_Equal(\#\#A, x, y, z) \longleftrightarrow sats(A, Equal_fm(i, j, k), env)$
 $\langle proof \rangle$

theorem *Equal_reflection*:

$REFLECTS[\lambda x. is_Equal(L, f(x), g(x), h(x)),$
 $\lambda i x. is_Equal(\#\#Lset(i), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.3.4 The Operator *is_Nand*, Internalized

definition

$Nand_fm :: [i, i, i] \Rightarrow i$ **where**
 $Nand_fm(x, y, Z) ==$
 $Exists(Exists(And(pair_fm(x\#\#2, y\#\#2, 1),$
 $And(Inl_fm(1, 0), Inr_fm(0, Z\#\#2))))))$

lemma *is_Nand_type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] \Rightarrow Nand_fm(x, y, z) \in formula$
 $\langle proof \rangle$

lemma *sats_Nand_fm* [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, Nand_fm(x, y, z), env) \longleftrightarrow$

$is_Nand(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma *Nand_iff_sats*:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $\implies is_Nand(\#\#A, x, y, z) \longleftrightarrow sats(A, Nand_fm(i,j,k), env)$
 $\langle proof \rangle$

theorem *Nand_reflection*:

$REFLECTS[\lambda x. is_Nand(L,f(x),g(x),h(x)),$
 $\lambda i x. is_Nand(\#\#Lset(i),f(x),g(x),h(x))]$
 $\langle proof \rangle$

7.3.5 The Operator *is_Forall*, Internalized

definition

$Forall_fm :: [i,i] \Rightarrow i$ **where**
 $Forall_fm(x,Z) ==$
 $Exists(And(Inr_fm(succ(x),0), Inr_fm(0,succ(Z))))$

lemma *is_Forall_type* [TC]:

$[| x \in nat; y \in nat |] \implies Forall_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma *sats_Forall_fm* [simp]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $\implies sats(A, Forall_fm(x,y), env) \longleftrightarrow$
 $is_Forall(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma *Forall_iff_sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $\implies is_Forall(\#\#A, x, y) \longleftrightarrow sats(A, Forall_fm(i,j), env)$
 $\langle proof \rangle$

theorem *Forall_reflection*:

$REFLECTS[\lambda x. is_Forall(L,f(x),g(x)),$
 $\lambda i x. is_Forall(\#\#Lset(i),f(x),g(x))]$
 $\langle proof \rangle$

7.3.6 The Operator *is_and*, Internalized

definition

$and_fm :: [i,i,i] \Rightarrow i$ **where**
 $and_fm(a,b,z) ==$
 $Or(And(number1_fm(a), Equal(z,b)),$
 $And(Neg(number1_fm(a)), empty_fm(z)))$

lemma *is_and_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{and_fm}(x, y, z) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_and_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{and_fm}(x, y, z), \text{env}) \longleftrightarrow$$

$$\text{is_and}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *is_and_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$$

$$i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_and}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{and_fm}(i, j, k), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *is_and_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_and}(L, f(x), g(x), h(x)),$$

$$\lambda i x. \text{is_and}(\#\#L\text{set}(i), f(x), g(x), h(x))]$$
 $\langle \text{proof} \rangle$

7.3.7 The Operator *is_or*, Internalized

definition

$$\text{or_fm} :: [i, i, i] \Rightarrow i \text{ where}$$

$$\text{or_fm}(a, b, z) ==$$

$$\text{Or}(\text{And}(\text{number1_fm}(a), \text{number1_fm}(z)),$$

$$\text{And}(\text{Neg}(\text{number1_fm}(a)), \text{Equal}(z, b)))$$

lemma *is_or_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{or_fm}(x, y, z) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_or_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{or_fm}(x, y, z), \text{env}) \longleftrightarrow$$

$$\text{is_or}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *is_or_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$$

$$i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_or}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{or_fm}(i, j, k), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *is_or_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_or}(L, f(x), g(x), h(x)),$$

$$\lambda i x. \text{is_or}(\#\#L\text{set}(i), f(x), g(x), h(x))]$$
 $\langle \text{proof} \rangle$

7.3.8 The Operator *is_not*, Internalized

definition

not_fm :: [*i,i*] => *i* **where**
not_fm(*a,z*) ==
 Or(And(*number1_fm*(*a*), *empty_fm*(*z*)),
 And(*Neg*(*number1_fm*(*a*)), *number1_fm*(*z*)))

lemma *is_not_type* [TC]:

[| *x* ∈ *nat*; *z* ∈ *nat* |] ==> *not_fm*(*x,z*) ∈ *formula*
 <proof>

lemma *sats_is_not_fm* [simp]:

[| *x* ∈ *nat*; *z* ∈ *nat*; *env* ∈ *list*(*A*) |]
 ==> *sats*(*A*, *not_fm*(*x,z*), *env*) <=> *is_not*(##*A*, *nth*(*x,env*), *nth*(*z,env*))
 <proof>

lemma *is_not_iff_sats*:

[| *nth*(*i,env*) = *x*; *nth*(*k,env*) = *z*;
i ∈ *nat*; *k* ∈ *nat*; *env* ∈ *list*(*A*) |]
 ==> *is_not*(##*A*, *x*, *z*) <=> *sats*(*A*, *not_fm*(*i,k*), *env*)
 <proof>

theorem *is_not_reflection*:

REFLECTS[λ*x*. *is_not*(*L*,*f*(*x*),*g*(*x*)),
 λ*i x*. *is_not*(##*Lset*(*i*),*f*(*x*),*g*(*x*))]
 <proof>

lemmas *extra_reflections* =

Inl_reflection Inr_reflection Nil_reflection Cons_reflection
quaselist_reflection hd_reflection tl_reflection bool_of_o_reflection
is_lambda_reflection Member_reflection Equal_reflection Nand_reflection
Forall_reflection is_and_reflection is_or_reflection is_not_reflection

7.4 Well-Founded Recursion!

7.4.1 The Operator *M_is_recfun*

Alternative definition, minimizing nesting of quantifiers around MH

lemma *M_is_recfun_iff*:

M_is_recfun(*M,MH,r,a,f*) <=>
 (∀ *z*[*M*]. *z* ∈ *f* <=>
 (∃ *x*[*M*]. ∃ *f_r_sx*[*M*]. ∃ *y*[*M*].
MH(*x*, *f_r_sx*, *y*) & *pair*(*M*,*x*,*y*,*z*) &
 (∃ *xa*[*M*]. ∃ *sx*[*M*]. ∃ *r_sx*[*M*].
pair(*M*,*x*,*a*,*xa*) & *upair*(*M*,*x*,*x*,*sx*) &
pre_image(*M*,*r*,*sx*,*r_sx*) & *restriction*(*M*,*f*,*r_sx*,*f_r_sx*) &
xa ∈ *r*)))
 <proof>

The three arguments of p are always 2, 1, 0 and z

definition

```

is_recfun_fm :: [i, i, i, i] => i where
is_recfun_fm(p,r,a,f) ==
  Forall(Iff(Member(0,succ(f)),
    Exists(Exists(Exists(
      And(p,
        And(pair_fm(2,0,3),
          Exists(Exists(Exists(
            And(pair_fm(5,a#+7,2),
              And(upair_fm(5,5,1),
                And(pre_image_fm(r#+7,1,0),
                  And(restriction_fm(f#+7,0,4), Member(2,r#+7))))))))))))))

```

lemma *is_recfun_type* [TC]:

```

[| p ∈ formula; x ∈ nat; y ∈ nat; z ∈ nat |]
==> is_recfun_fm(p,x,y,z) ∈ formula
⟨proof⟩

```

lemma *sats_is_recfun_fm*:

```

assumes MH_iff_sats:
  !!a0 a1 a2 a3.
  [| a0 ∈ A; a1 ∈ A; a2 ∈ A; a3 ∈ A |]
  ==> MH(a2, a1, a0) <=> sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env)))))
shows
  [| x ∈ nat; y ∈ nat; z ∈ nat; env ∈ list(A) |]
  ==> sats(A, is_recfun_fm(p,x,y,z), env) <=>
    M_is_recfun(##A, MH, nth(x,env), nth(y,env), nth(z,env))
⟨proof⟩

```

lemma *is_recfun_iff_sats*:

```

assumes MH_iff_sats:
  !!a0 a1 a2 a3.
  [| a0 ∈ A; a1 ∈ A; a2 ∈ A; a3 ∈ A |]
  ==> MH(a2, a1, a0) <=> sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env)))))
shows
  [| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;
    i ∈ nat; j ∈ nat; k ∈ nat; env ∈ list(A) |]
  ==> M_is_recfun(##A, MH, x, y, z) <=> sats(A, is_recfun_fm(p,i,j,k), env)
⟨proof⟩

```

The additional variable in the premise, namely f' , is essential. It lets MH depend upon x , which seems often necessary. The same thing occurs in *is_wfrec_reflection*.

theorem *is_recfun_reflection*:

```

assumes MH_reflection:
  !!f' f g h. REFLECTS[λx. MH(L, f'(x), f(x), g(x), h(x)),
    λi x. MH(##Lset(i), f'(x), f(x), g(x), h(x))]

```

shows $REFLECTS[\lambda x. M_is_recfun(L, MH(L,x), f(x), g(x), h(x)),$
 $\lambda i x. M_is_recfun(\#\#Lset(i), MH(\#\#Lset(i),x), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.4.2 The Operator is_wfrec

The three arguments of p are always 2, 1, 0; p is enclosed by 5 quantifiers.

definition

$is_wfrec_fm :: [i, i, i, i] \Rightarrow i$ **where**
 $is_wfrec_fm(p,r,a,z) ==$
 $Exists(And(is_recfun_fm(p, succ(r), succ(a), 0),$
 $Exists(Exists(Exists(Exists($
 $And(Equal(2,a\#+5), And(Equal(1,4), And(Equal(0,z\#+5), p))))))))$

We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

There's an additional existential quantifier to ensure that the environments in both calls to MH have the same length.

lemma is_wfrec_type [TC]:

$[| p \in formula; x \in nat; y \in nat; z \in nat |]$
 $\Rightarrow is_wfrec_fm(p,x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_is_wfrec_fm$:

assumes MH_iff_sats :
 $!!a0 a1 a2 a3 a4.$
 $[| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A |]$
 $\Rightarrow MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$
shows
 $[| x \in nat; y < length(env); z < length(env); env \in list(A) |]$
 $\Rightarrow sats(A, is_wfrec_fm(p,x,y,z), env) \longleftrightarrow$
 $is_wfrec(\#\#A, MH, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $is_wfrec_iff_sats$:

assumes MH_iff_sats :
 $!!a0 a1 a2 a3 a4.$
 $[| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A |]$
 $\Rightarrow MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$
shows
 $[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j < length(env); k < length(env); env \in list(A) |]$
 $\Rightarrow is_wfrec(\#\#A, MH, x, y, z) \longleftrightarrow sats(A, is_wfrec_fm(p,i,j,k), env)$
 $\langle proof \rangle$

theorem $is_wfrec_reflection$:

assumes $MH_reflection$:

$!!f' f g h. REFLECTS[\lambda x. MH(L, f'(x), f(x), g(x), h(x)),$
 $\lambda i x. MH(\#\#Lset(i), f'(x), f(x), g(x), h(x))]$
shows $REFLECTS[\lambda x. is_wfrec(L, MH(L,x), f(x), g(x), h(x)),$
 $\lambda i x. is_wfrec(\#\#Lset(i), MH(\#\#Lset(i),x), f(x), g(x), h(x))]$
 $\langle proof \rangle$

7.5 For Datatypes

7.5.1 Binary Products, Internalized

definition

$cartprod_fm :: [i,i,i] => i$ **where**

$cartprod_fm(A,B,z) ==$
 $Forall(Iff(Member(0,succ(z)),$
 $Exists(And(Member(0,succ(succ(A))),$
 $Exists(And(Member(0,succ(succ(succ(B)))),$
 $pair_fm(1,0,2))))))$

lemma $cartprod_type [TC]:$

$[| x \in nat; y \in nat; z \in nat |] ==> cartprod_fm(x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_cartprod_fm [simp]:$

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, cartprod_fm(x,y,z), env) \longleftrightarrow$
 $cartprod(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $cartprod_iff_sats:$

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> cartprod(\#\#A, x, y, z) \longleftrightarrow sats(A, cartprod_fm(i,j,k), env)$
 $\langle proof \rangle$

theorem $cartprod_reflection:$

$REFLECTS[\lambda x. cartprod(L,f(x),g(x),h(x)),$
 $\lambda i x. cartprod(\#\#Lset(i),f(x),g(x),h(x))]$
 $\langle proof \rangle$

7.5.2 Binary Sums, Internalized

definition

$sum_fm :: [i,i,i] => i$ **where**

$sum_fm(A,B,Z) ==$
 $Exists(Exists(Exists(Exists($
 $And(number1_fm(2),$
 $And(cartprod_fm(2,A\#+4,3),$
 $And(upair_fm(2,2,1),$
 $And(cartprod_fm(1,B\#+4,0), union_fm(3,0,Z\#+4))))))))$

lemma *sum_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{sum_fm}(x, y, z) \in \text{formula}$$

$$\langle \text{proof} \rangle$$

lemma *sats_sum_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{sum_fm}(x, y, z), \text{env}) \longleftrightarrow$$

$$\text{is_sum}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *sum_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$$

$$i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_sum}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{sum_fm}(i, j, k), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *sum_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_sum}(L, f(x), g(x), h(x)),$$

$$\lambda i x. \text{is_sum}(\#\#L\text{set}(i), f(x), g(x), h(x))]$$

$$\langle \text{proof} \rangle$$

7.5.3 The Operator *quasinat*

definition

$$\text{quasinat_fm} :: i \Rightarrow i \text{ where}$$

$$\text{quasinat_fm}(z) == \text{Or}(\text{empty_fm}(z), \text{Exists}(\text{succ_fm}(0, \text{succ}(z))))$$

lemma *quasinat_type* [TC]:

$$x \in \text{nat} \implies \text{quasinat_fm}(x) \in \text{formula}$$

$$\langle \text{proof} \rangle$$

lemma *sats_quasinat_fm* [simp]:

$$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{sats}(A, \text{quasinat_fm}(x), \text{env}) \longleftrightarrow \text{is_quasinat}(\#\#A, \text{nth}(x, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *quasinat_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$$

$$i \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\implies \text{is_quasinat}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{quasinat_fm}(i), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *quasinat_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_quasinat}(L, f(x)),$$

$$\lambda i x. \text{is_quasinat}(\#\#L\text{set}(i), f(x))]$$

$$\langle \text{proof} \rangle$$

7.5.4 The Operator is_nat_case

I could not get it to work with the more natural assumption that is_b takes two arguments. Instead it must be a formula where 1 and 0 stand for m and b , respectively.

The formula is_b has free variables 1 and 0.

definition

$$\begin{aligned}
 is_nat_case_fm &:: [i, i, i, i] \Rightarrow i \text{ \textbf{where}} \\
 is_nat_case_fm(a, is_b, k, z) &== \\
 &And(Implies(empty_fm(k), Equal(z, a)), \\
 &And(Forall(Implies(succ_fm(0, succ(k)), \\
 &Forall(Implies(Equal(0, succ(succ(z))), is_b)))), \\
 &Or(quasinat_fm(k), empty_fm(z)))
 \end{aligned}$$

lemma $is_nat_case_type$ [TC]:

$$\begin{aligned}
 &[] \text{ } is_b \in \text{formula}; \\
 &x \in \text{nat}; y \in \text{nat}; z \in \text{nat} [] \\
 &==> is_nat_case_fm(x, is_b, y, z) \in \text{formula} \\
 &\langle \text{proof} \rangle
 \end{aligned}$$

lemma $sats_is_nat_case_fm$:

$$\begin{aligned}
 &\textbf{assumes } is_b_iff_sats: \\
 &\quad !!a. a \in A ==> is_b(a, nth(z, env)) \longleftrightarrow \\
 &\quad \quad sats(A, p, Cons(nth(z, env), Cons(a, env))) \\
 &\textbf{shows} \\
 &\quad [x \in \text{nat}; y \in \text{nat}; z < \text{length}(env); env \in \text{list}(A)] \\
 &\quad ==> sats(A, is_nat_case_fm(x, p, y, z), env) \longleftrightarrow \\
 &\quad \quad is_nat_case(\#\#A, nth(x, env), is_b, nth(y, env), nth(z, env)) \\
 &\langle \text{proof} \rangle
 \end{aligned}$$

lemma $is_nat_case_iff_sats$:

$$\begin{aligned}
 &[] (!!a. a \in A ==> is_b(a, z) \longleftrightarrow \\
 &\quad \quad sats(A, p, Cons(z, Cons(a, env))))); \\
 &\quad nth(i, env) = x; nth(j, env) = y; nth(k, env) = z; \\
 &\quad i \in \text{nat}; j \in \text{nat}; k < \text{length}(env); env \in \text{list}(A) [] \\
 &==> is_nat_case(\#\#A, x, is_b, y, z) \longleftrightarrow sats(A, is_nat_case_fm(i, p, j, k), \\
 &\quad env) \\
 &\langle \text{proof} \rangle
 \end{aligned}$$

The second argument of is_b gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for $iterates_MH$.

theorem $is_nat_case_reflection$:

$$\begin{aligned}
 &\textbf{assumes } is_b_reflection: \\
 &\quad !!h f g. REFLECTS[\lambda x. is_b(L, h(x), f(x), g(x)), \\
 &\quad \quad \lambda i x. is_b(\#\#Lset(i), h(x), f(x), g(x))] \\
 &\textbf{shows } REFLECTS[\lambda x. is_nat_case(L, f(x), is_b(L, x), g(x), h(x)), \\
 &\quad \quad \lambda i x. is_nat_case(\#\#Lset(i), f(x), is_b(\#\#Lset(i), x), g(x), h(x))]
 \end{aligned}$$

$\langle \text{proof} \rangle$

7.6 The Operator *iterates_MH*, Needed for Iteration

definition

iterates_MH_fm :: $[i, i, i, i, i] \Rightarrow i$ **where**
iterates_MH_fm(*isF*, *v*, *n*, *g*, *z*) ==
is_nat_case_fm(*v*,
Exists(*And*(*fun_apply_fm*(*succ*(*succ*(*succ*(*g*))), 2, 0),
Forall(*Implies*(*Equal*(0, 2), *isF*))),
n, *z*)

lemma *iterates_MH_type* [TC]:

$[[p \in \text{formula};$
 $v \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]]$
 $\Rightarrow \text{iterates_MH_fm}(p, v, x, y, z) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_iterates_MH_fm*:

assumes *is_F_iff_sats*:
 $!!a\ b\ c\ d. [[a \in A; b \in A; c \in A; d \in A]]$
 $\Rightarrow \text{is_F}(a, b) \longleftrightarrow$
 $\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{env}))))))$
shows
 $[[v \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{iterates_MH_fm}(p, v, x, y, z), \text{env}) \longleftrightarrow$
 $\text{iterates_MH}(\#\#A, \text{is_F}, \text{nth}(v, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *iterates_MH_iff_sats*:

assumes *is_F_iff_sats*:
 $!!a\ b\ c\ d. [[a \in A; b \in A; c \in A; d \in A]]$
 $\Rightarrow \text{is_F}(a, b) \longleftrightarrow$
 $\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{env}))))))$
shows
 $[[\text{nth}(i', \text{env}) = v; \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i' \in \text{nat}; i \in \text{nat}; j \in \text{nat}; k < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{iterates_MH}(\#\#A, \text{is_F}, v, x, y, z) \longleftrightarrow$
 $\text{sats}(A, \text{iterates_MH_fm}(p, i', i, j, k), \text{env})$
 $\langle \text{proof} \rangle$

The second argument of *p* gives it direct access to *x*, which is essential for handling free variable references. Without this argument, we cannot prove reflection for *list_N*.

theorem *iterates_MH_reflection*:

assumes *p_reflection*:
 $!!f\ g\ h. \text{REFLECTS}[\lambda x. p(L, h(x), f(x), g(x)),$
 $\lambda i\ x. p(\#\#L\text{set}(i), h(x), f(x), g(x))]$
shows $\text{REFLECTS}[\lambda x. \text{iterates_MH}(L, p(L, x), e(x), f(x), g(x), h(x)),$

$\lambda i x. \text{iterates_MH}(\#\#Lset(i), p(\#\#Lset(i), x), e(x), f(x), g(x), h(x)))$
 $\langle \text{proof} \rangle$

7.6.1 The Operator *is_iterates*

The three arguments of *p* are always 2, 1, 0; *p* is enclosed by 9 (??) quantifiers.

definition

$\text{is_iterates_fm} :: [i, i, i, i] \Rightarrow i$ **where**
 $\text{is_iterates_fm}(p, v, n, Z) ==$
 $\text{Exists}(\text{Exists}(\text{And}(\text{succ_fm}(n\# + 2, 1),$
 $\text{And}(\text{Memrel_fm}(1, 0),$
 $\text{is_wfrec_fm}(\text{iterates_MH_fm}(p, v\# + 7, 2, 1, 0),$
 $0, n\# + 2, Z\# + 2))))))$

We call *p* with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

lemma *is_iterates_type* [TC]:

$[| p \in \text{formula}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |]$
 $\Rightarrow \text{is_iterates_fm}(p, x, y, z) \in \text{formula}$

$\langle \text{proof} \rangle$

lemma *sats_is_iterates_fm*:

assumes *is_F_iff_sats*:

$!!a\ b\ c\ d\ e\ f\ g\ h\ i\ j\ k.$

$[| a \in A; b \in A; c \in A; d \in A; e \in A; f \in A;$
 $g \in A; h \in A; i \in A; j \in A; k \in A |]$

$\Rightarrow \text{is_F}(a, b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{Cons}(e, \text{Cons}(f,$
 $\text{Cons}(g, \text{Cons}(h, \text{Cons}(i, \text{Cons}(j, \text{Cons}(k, \text{env}))))))))))$

shows

$[| x \in \text{nat}; y < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A) |]$

$\Rightarrow \text{sats}(A, \text{is_iterates_fm}(p, x, y, z), \text{env}) \longleftrightarrow$

$\text{is_iterates}(\#\#A, \text{is_F}, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$

$\langle \text{proof} \rangle$

lemma *is_iterates_iff_sats*:

assumes *is_F_iff_sats*:

$!!a\ b\ c\ d\ e\ f\ g\ h\ i\ j\ k.$

$[| a \in A; b \in A; c \in A; d \in A; e \in A; f \in A;$
 $g \in A; h \in A; i \in A; j \in A; k \in A |]$

$\Rightarrow \text{is_F}(a, b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{Cons}(e, \text{Cons}(f,$
 $\text{Cons}(g, \text{Cons}(h, \text{Cons}(i, \text{Cons}(j, \text{Cons}(k, \text{env}))))))))))$

shows

$[| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$

$i \in \text{nat}; j < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A) |]$

$$\implies is_iterates(\#\#A, is_F, x, y, z) \longleftrightarrow$$

$$sats(A, is_iterates_fm(p, i, j, k), env)$$

$$\langle proof \rangle$$

The second argument of p gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for $list_N$.

theorem $is_iterates_reflection$:

assumes $p_reflection$:

$$!!f\ g\ h. REFLECTS[\lambda x. p(L, h(x), f(x), g(x)),$$

$$\lambda i\ x. p(\#\#Lset(i), h(x), f(x), g(x))]$$

shows $REFLECTS[\lambda x. is_iterates(L, p(L, x), f(x), g(x), h(x)),$

$$\lambda i\ x. is_iterates(\#\#Lset(i), p(\#\#Lset(i), x), f(x), g(x), h(x))]$$

$\langle proof \rangle$

7.6.2 The Formula is_eclose_n , Internalized

definition

$eclose_n_fm :: [i, i, i] \Rightarrow i$ **where**

$eclose_n_fm(A, n, Z) == is_iterates_fm(big_union_fm(1, 0), A, n, Z)$

lemma $eclose_n_fm_type$ $[TC]$:

$$[| x \in nat; y \in nat; z \in nat |] \implies eclose_n_fm(x, y, z) \in formula$$

$$\langle proof \rangle$$

lemma $sats_eclose_n_fm$ $[simp]$:

$$[| x \in nat; y < length(env); z < length(env); env \in list(A) |]$$

$$\implies sats(A, eclose_n_fm(x, y, z), env) \longleftrightarrow$$

$$is_eclose_n(\#\#A, nth(x, env), nth(y, env), nth(z, env))$$

$\langle proof \rangle$

lemma $eclose_n_iff_sats$:

$$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$$

$$i \in nat; j < length(env); k < length(env); env \in list(A) |]$$

$$\implies is_eclose_n(\#\#A, x, y, z) \longleftrightarrow sats(A, eclose_n_fm(i, j, k), env)$$

$\langle proof \rangle$

theorem $eclose_n_reflection$:

$$REFLECTS[\lambda x. is_eclose_n(L, f(x), g(x), h(x)),$$

$$\lambda i\ x. is_eclose_n(\#\#Lset(i), f(x), g(x), h(x))]$$

$\langle proof \rangle$

7.6.3 Membership in $eclose(A)$

definition

$mem_eclose_fm :: [i, i] \Rightarrow i$ **where**

$mem_eclose_fm(x, y) ==$

$$Exists(Exists($$

$$And(finite_ordinal_fm(1),$$

$And(eclose_n_fm(x\#+2,1,0), Member(y\#+2,0))))$

lemma *mem_eclose_type* [TC]:

$[| x \in nat; y \in nat |] ==> mem_eclose_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma *sats_mem_eclose_fm* [simp]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $==> sats(A, mem_eclose_fm(x,y), env) \longleftrightarrow mem_eclose(\#\#A, nth(x,env),$
 $nth(y,env))$
 $\langle proof \rangle$

lemma *mem_eclose_iff_sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $==> mem_eclose(\#\#A, x, y) \longleftrightarrow sats(A, mem_eclose_fm(i,j), env)$
 $\langle proof \rangle$

theorem *mem_eclose_reflection*:

$REFLECTS[\lambda x. mem_eclose(L,f(x),g(x)),$
 $\lambda i x. mem_eclose(\#\#Lset(i),f(x),g(x))]$
 $\langle proof \rangle$

7.6.4 The Predicate “Is *eclose*(A)”

definition

is_eclose_fm :: $[i,i] \Rightarrow i$ **where**
 $is_eclose_fm(A,Z) ==$
 $Forall(Iff(Member(0,succ(Z)), mem_eclose_fm(succ(A),0)))$

lemma *is_eclose_type* [TC]:

$[| x \in nat; y \in nat |] ==> is_eclose_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma *sats_is_eclose_fm* [simp]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $==> sats(A, is_eclose_fm(x,y), env) \longleftrightarrow is_eclose(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma *is_eclose_iff_sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $==> is_eclose(\#\#A, x, y) \longleftrightarrow sats(A, is_eclose_fm(i,j), env)$
 $\langle proof \rangle$

theorem *is_eclose_reflection*:

$REFLECTS[\lambda x. is_eclose(L,f(x),g(x)),$
 $\lambda i x. is_eclose(\#\#Lset(i),f(x),g(x))]$
 $\langle proof \rangle$

7.6.5 The List Functor, Internalized

definition

$list_functor_fm :: [i,i,i] \Rightarrow i$ **where**

$list_functor_fm(A,X,Z) ==$
 $Exists(Exists($
 $And(number1_fm(1),$
 $And(cartprod_fm(A\#+2,X\#+2,0), sum_fm(1,0,Z\#+2))))$

lemma $list_functor_type$ [TC]:

$[| x \in nat; y \in nat; z \in nat |] \Rightarrow list_functor_fm(x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_list_functor_fm$ [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, list_functor_fm(x,y,z), env) \longleftrightarrow$
 $is_list_functor(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $list_functor_iff_sats$:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $\Rightarrow is_list_functor(\#\#A, x, y, z) \longleftrightarrow sats(A, list_functor_fm(i,j,k), env)$
 $\langle proof \rangle$

theorem $list_functor_reflection$:

$REFLECTS[\lambda x. is_list_functor(L,f(x),g(x),h(x)),$
 $\lambda i x. is_list_functor(\#\#Lset(i),f(x),g(x),h(x))]$
 $\langle proof \rangle$

7.6.6 The Formula is_list_N , Internalized

definition

$list_N_fm :: [i,i,i] \Rightarrow i$ **where**

$list_N_fm(A,n,Z) ==$
 $Exists($
 $And(empty_fm(0),$
 $is_iterates_fm(list_functor_fm(A\#+9\#+3,1,0), 0, n\#+1, Z\#+1)))$

lemma $list_N_fm_type$ [TC]:

$[| x \in nat; y \in nat; z \in nat |] \Rightarrow list_N_fm(x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_list_N_fm$ [simp]:

$[| x \in nat; y < length(env); z < length(env); env \in list(A) |]$
 $\Rightarrow sats(A, list_N_fm(x,y,z), env) \longleftrightarrow$
 $is_list_N(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma *list_N_iff_sats*:

$$\begin{aligned} & [[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A)]] \\ & \implies \text{is_list_N}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{list_N_fm}(i, j, k), \text{env}) \end{aligned}$$

 $\langle \text{proof} \rangle$

theorem *list_N_reflection*:

$$\begin{aligned} & \text{REFLECTS}[\lambda x. \text{is_list_N}(L, f(x), g(x), h(x)), \\ & \quad \lambda i x. \text{is_list_N}(\#\#L\text{set}(i), f(x), g(x), h(x))] \end{aligned}$$

 $\langle \text{proof} \rangle$

7.6.7 The Predicate “Is A List”

definition

$$\begin{aligned} \text{mem_list_fm} &:: [i, i] \Rightarrow i \text{ where} \\ \text{mem_list_fm}(x, y) &== \\ & \text{Exists}(\text{Exists}(\\ & \quad \text{And}(\text{finite_ordinal_fm}(1), \\ & \quad \text{And}(\text{list_N_fm}(x\#+2, 1, 0), \text{Member}(y\#+2, 0)))) \end{aligned}$$

lemma *mem_list_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{mem_list_fm}(x, y) \in \text{formula}$$

 $\langle \text{proof} \rangle$

lemma *sats_mem_list_fm* [simp]:

$$\begin{aligned} & [[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{sats}(A, \text{mem_list_fm}(x, y), \text{env}) \longleftrightarrow \text{mem_list}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env})) \end{aligned}$$

 $\langle \text{proof} \rangle$

lemma *mem_list_iff_sats*:

$$\begin{aligned} & [[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ & \quad i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{mem_list}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{mem_list_fm}(i, j), \text{env}) \end{aligned}$$

 $\langle \text{proof} \rangle$

theorem *mem_list_reflection*:

$$\begin{aligned} & \text{REFLECTS}[\lambda x. \text{mem_list}(L, f(x), g(x)), \\ & \quad \lambda i x. \text{mem_list}(\#\#L\text{set}(i), f(x), g(x))] \end{aligned}$$

 $\langle \text{proof} \rangle$

7.6.8 The Predicate “Is list(A)”

definition

$$\begin{aligned} \text{is_list_fm} &:: [i, i] \Rightarrow i \text{ where} \\ \text{is_list_fm}(A, Z) &== \\ & \text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(Z)), \text{mem_list_fm}(\text{succ}(A), 0))) \end{aligned}$$

lemma *is_list_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{is_list_fm}(x, y) \in \text{formula}$$

 $\langle \text{proof} \rangle$

lemma *sats_is_list_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{is_list_fm}(x, y), \text{env}) \longleftrightarrow \text{is_list}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *is_list_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{is_list}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{is_list_fm}(i, j), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *is_list_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_list}(L, f(x), g(x)), \\ \lambda i x. \text{is_list}(\#\#L\text{set}(i), f(x), g(x))]$$
 $\langle \text{proof} \rangle$

7.6.9 The Formula Functor, Internalized

definition *formula_functor_fm* :: $[i, i] \Rightarrow i$ **where**

$$\begin{aligned} \text{formula_functor_fm}(X, Z) = & \\ & \text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{omega_fm}(4), \\ & \text{And}(\text{cartprod_fm}(4, 4, 3), \\ & \text{And}(\text{sum_fm}(3, 3, 2), \\ & \text{And}(\text{cartprod_fm}(X \# + 5, X \# + 5, 1), \\ & \text{And}(\text{sum_fm}(1, X \# + 5, 0), \text{sum_fm}(2, 0, Z \# + 5)))))))))) \end{aligned}$$

lemma *formula_functor_type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{formula_functor_fm}(x, y) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_formula_functor_fm* [simp]:

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{formula_functor_fm}(x, y), \text{env}) \longleftrightarrow \\ \text{is_formula_functor}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *formula_functor_iff_sats*:

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \\ \implies \text{is_formula_functor}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{formula_functor_fm}(i, j), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *formula_functor_reflection*:

$$\text{REFLECTS}[\lambda x. \text{is_formula_functor}(L, f(x), g(x)), \\ \lambda i x. \text{is_formula_functor}(\#\#L\text{set}(i), f(x), g(x))]$$

$\langle proof \rangle$

7.6.10 The Formula $is_formula_N$, Internalized

definition

$formula_N_fm :: [i,i] \Rightarrow i$ **where**
 $formula_N_fm(n,Z) ==$
 $Exists($
 $And(empty_fm(0),$
 $is_iterates_fm(formula_functor_fm(1,0), 0, n\# + 1, Z\# + 1)))$

lemma $formula_N_fm_type$ [TC]:

$[| x \in nat; y \in nat |] \Rightarrow formula_N_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma $sats_formula_N_fm$ [simp]:

$[| x < length(env); y < length(env); env \in list(A) |]$
 $\Rightarrow sats(A, formula_N_fm(x,y), env) \longleftrightarrow$
 $is_formula_N(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma $formula_N_iff_sats$:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i < length(env); j < length(env); env \in list(A) |]$
 $\Rightarrow is_formula_N(\#\#A, x, y) \longleftrightarrow sats(A, formula_N_fm(i,j), env)$
 $\langle proof \rangle$

theorem $formula_N_reflection$:

$REFLECTS[\lambda x. is_formula_N(L, f(x), g(x)),$
 $\lambda i x. is_formula_N(\#\#Lset(i), f(x), g(x))]$
 $\langle proof \rangle$

7.6.11 The Predicate “Is A Formula”

definition

$mem_formula_fm :: i \Rightarrow i$ **where**
 $mem_formula_fm(x) ==$
 $Exists(Exists($
 $And(finite_ordinal_fm(1),$
 $And(formula_N_fm(1,0), Member(x\# + 2,0))))$

lemma $mem_formula_type$ [TC]:

$x \in nat \Rightarrow mem_formula_fm(x) \in formula$
 $\langle proof \rangle$

lemma $sats_mem_formula_fm$ [simp]:

$[| x \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, mem_formula_fm(x), env) \longleftrightarrow mem_formula(\#\#A, nth(x,env))$
 $\langle proof \rangle$

lemma *mem_formula_iff_sats*:

$[| \text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{mem_formula}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{mem_formula_fm}(i), \text{env})$
 $\langle \text{proof} \rangle$

theorem *mem_formula_reflection*:

$\text{REFLECTS}[\lambda x. \text{mem_formula}(L, f(x)),$
 $\lambda i x. \text{mem_formula}(\#\#L\text{set}(i), f(x))]$
 $\langle \text{proof} \rangle$

7.6.12 The Predicate “Is formula”

definition

is_formula_fm :: $i \Rightarrow i$ **where**
 $\text{is_formula_fm}(Z) == \text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(Z)), \text{mem_formula_fm}(0)))$

lemma *is_formula_type* [TC]:

$x \in \text{nat} \implies \text{is_formula_fm}(x) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemma *sats_is_formula_fm* [simp]:

$[| x \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{sats}(A, \text{is_formula_fm}(x), \text{env}) \longleftrightarrow \text{is_formula}(\#\#A, \text{nth}(x, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *is_formula_iff_sats*:

$[| \text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{is_formula}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{is_formula_fm}(i), \text{env})$
 $\langle \text{proof} \rangle$

theorem *is_formula_reflection*:

$\text{REFLECTS}[\lambda x. \text{is_formula}(L, f(x)),$
 $\lambda i x. \text{is_formula}(\#\#L\text{set}(i), f(x))]$
 $\langle \text{proof} \rangle$

7.6.13 The Operator *is_transrec*

The three arguments of *p* are always 2, 1, 0. It is buried within eight quantifiers! We call *p* with arguments *a*, *f*, *z* by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

definition

is_transrec_fm :: $[i, i, i] \Rightarrow i$ **where**
 $\text{is_transrec_fm}(p, a, z) ==$
 $\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{upair_fm}(a\# + 3, a\# + 3, 2),$
 $\text{And}(\text{is_eclose_fm}(2, 1),$
 $\text{And}(\text{Memrel_fm}(1, 0), \text{is_wfrec_fm}(p, 0, a\# + 3, z\# + 3))))))$

lemma *is_transrec_type* [TC]:

$$[[p \in \text{formula}; x \in \text{nat}; z \in \text{nat}]] \\ \implies \text{is_transrec_fm}(p, x, z) \in \text{formula}$$
 $\langle \text{proof} \rangle$

lemma *sats_is_transrec_fm*:
assumes *MH_iff_sats*:

$$!!a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7. \\ [[a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A; a5 \in A; a6 \in A; a7 \in A]] \\ \implies \text{MH}(a2, a1, a0) \longleftrightarrow \\ \text{sats}(A, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3, \\ \text{Cons}(a4, \text{Cons}(a5, \text{Cons}(a6, \text{Cons}(a7, \text{env}))))))))))$$
shows

$$[[x < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A)]] \\ \implies \text{sats}(A, \text{is_transrec_fm}(p, x, z), \text{env}) \longleftrightarrow \\ \text{is_transrec}(\#\#A, \text{MH}, \text{nth}(x, \text{env}), \text{nth}(z, \text{env}))$$
 $\langle \text{proof} \rangle$

lemma *is_transrec_iff_sats*:
assumes *MH_iff_sats*:

$$!!a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7. \\ [[a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A; a5 \in A; a6 \in A; a7 \in A]] \\ \implies \text{MH}(a2, a1, a0) \longleftrightarrow \\ \text{sats}(A, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3, \\ \text{Cons}(a4, \text{Cons}(a5, \text{Cons}(a6, \text{Cons}(a7, \text{env}))))))))))$$
shows

$$[[\text{nth}(i, \text{env}) = x; \text{nth}(k, \text{env}) = z; \\ i < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A)]] \\ \implies \text{is_transrec}(\#\#A, \text{MH}, x, z) \longleftrightarrow \text{sats}(A, \text{is_transrec_fm}(p, i, k), \text{env})$$
 $\langle \text{proof} \rangle$

theorem *is_transrec_reflection*:
assumes *MH_reflection*:

$$!!f'\ f\ g\ h. \text{REFLECTS}[\lambda x. \text{MH}(L, f'(x), f(x), g(x), h(x)), \\ \lambda i\ x. \text{MH}(\#\#L\text{set}(i), f'(x), f(x), g(x), h(x))]$$
shows $\text{REFLECTS}[\lambda x. \text{is_transrec}(L, \text{MH}(L, x), f(x), h(x)), \\ \lambda i\ x. \text{is_transrec}(\#\#L\text{set}(i), \text{MH}(\#\#L\text{set}(i), x), f(x), h(x))]$
 $\langle \text{proof} \rangle$

end

8 Separation for Facts About Recursion

theory *Rec_Separation* **imports** *ZF-Constructible.Separation Internalize Datatype_absolute*
begin

This theory proves all instances needed for locales *M_trancl* and *M_datatypes*

lemma *eq_succ_imp_lt*: $[[i = \text{succ}(j); \text{Ord}(i)]] \implies j < i$

$\langle proof \rangle$

8.1 The Locale M_tranc1

8.1.1 Separation for Reflexive/Transitive Closure

First, The Defining Formula

definition

```

rtran_closure_mem_fm :: [i,i,i] => i where
rtran_closure_mem_fm(A,r,p) ==
  Exists(Exists(Exists(
    And(omega_fm(2),
      And(Member(1,2),
        And(succ_fm(1,0),
          Exists(And(typed_function_fm(1, A#+4, 0),
            And(Exists(Exists(Exists(
              And(pair_fm(2,1,p#+7),
                And(empty_fm(0),
                  And(fun_apply_fm(3,0,2), fun_apply_fm(3,5,1)))))),
                Forall(Implies(Member(0,3),
                  Exists(Exists(Exists(Exists(
                    And(fun_apply_fm(5,4,3),
                      And(succ_fm(4,2),
                        And(fun_apply_fm(5,2,1),
                          And(pair_fm(3,1,0), Member(0,r#+9))))))))))))))))))

```

lemma $rtran_closure_mem_type$ [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> rtran_closure_mem_fm(x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_rtran_closure_mem_fm$ [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, rtran_closure_mem_fm(x,y,z), env) \longleftrightarrow$
 $rtran_closure_mem(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $rtran_closure_mem_iff_sats$:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> rtran_closure_mem(\#\#A, x, y, z) \longleftrightarrow sats(A, rtran_closure_mem_fm(i,j,k),$
 $env)$
 $\langle proof \rangle$

lemma $rtran_closure_mem_reflection$:

$REFLECTS[\lambda x. rtran_closure_mem(L,f(x),g(x),h(x)),$
 $\lambda i x. rtran_closure_mem(\#\#Lset(i),f(x),g(x),h(x))]$
 $\langle proof \rangle$

Separation for $r^{\wedge*}$.

lemma *rtrancl_separation*:

$[| L(r); L(A) |] ==> separation (L, rtran_closure_mem(L,A,r))$
 $\langle proof \rangle$

8.1.2 Reflexive/Transitive Closure, Internalized

definition

$rtran_closure_fm :: [i,i] => i$ **where**
 $rtran_closure_fm(r,s) ==$
 $Forall(Implies(field_fm(succ(r),0),$
 $Forall(Iff(Member(0,succ(succ(s))),$
 $rtran_closure_mem_fm(1,succ(succ(r)),0))))$

lemma *rtran_closure_type* [TC]:

$[| x \in nat; y \in nat |] ==> rtran_closure_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma *sats_rtran_closure_fm* [simp]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $==> sats(A, rtran_closure_fm(x,y), env) \longleftrightarrow$
 $rtran_closure(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma *rtran_closure_iff_sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $==> rtran_closure(\#\#A, x, y) \longleftrightarrow sats(A, rtran_closure_fm(i,j), env)$
 $\langle proof \rangle$

theorem *rtran_closure_reflection*:

$REFLECTS[\lambda x. rtran_closure(L,f(x),g(x)),$
 $\lambda i x. rtran_closure(\#\#Lset(i),f(x),g(x))]$
 $\langle proof \rangle$

8.1.3 Transitive Closure of a Relation, Internalized

definition

$tran_closure_fm :: [i,i] => i$ **where**
 $tran_closure_fm(r,s) ==$
 $Exists(And(rtran_closure_fm(succ(r),0), composition_fm(succ(r),0,succ(s))))$

lemma *tran_closure_type* [TC]:

$[| x \in nat; y \in nat |] ==> tran_closure_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma *sats_tran_closure_fm* [simp]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $==> sats(A, tran_closure_fm(x,y), env) \longleftrightarrow$

$\text{tran_closure}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$
 $\langle \text{proof} \rangle$

lemma $\text{tran_closure_iff_sats}$:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{tran_closure}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{tran_closure_fm}(i, j), \text{env})$
 $\langle \text{proof} \rangle$

theorem $\text{tran_closure_reflection}$:

$\text{REFLECTS}[\lambda x. \text{tran_closure}(L, f(x), g(x)),$
 $\lambda i x. \text{tran_closure}(\#\#L\text{set}(i), f(x), g(x))]$
 $\langle \text{proof} \rangle$

8.1.4 Separation for the Proof of $\text{wellfounded_on_tranc1}$

lemma $\text{wellfounded_tranc1_reflects}$:

$\text{REFLECTS}[\lambda x. \exists w[L]. \exists wx[L]. \exists rp[L].$
 $w \in Z \ \& \ \text{pair}(L, w, x, wx) \ \& \ \text{tran_closure}(L, r, rp) \ \& \ wx \in rp,$
 $\lambda i x. \exists w \in L\text{set}(i). \exists wx \in L\text{set}(i). \exists rp \in L\text{set}(i).$
 $w \in Z \ \& \ \text{pair}(\#\#L\text{set}(i), w, x, wx) \ \& \ \text{tran_closure}(\#\#L\text{set}(i), r, rp) \ \&$
 $wx \in rp]$
 $\langle \text{proof} \rangle$

lemma $\text{wellfounded_tranc1_separation}$:

$[[L(r); L(Z)]] \implies$
 $\text{separation } (L, \lambda x.$
 $\exists w[L]. \exists wx[L]. \exists rp[L].$
 $w \in Z \ \& \ \text{pair}(L, w, x, wx) \ \& \ \text{tran_closure}(L, r, rp) \ \& \ wx \in rp)$
 $\langle \text{proof} \rangle$

8.1.5 Instantiating the locale M_tranc1

lemma $M_tranc1_axioms_L$: $M_tranc1_axioms(L)$

$\langle \text{proof} \rangle$

theorem M_tranc1_L : $M_tranc1(L)$

$\langle \text{proof} \rangle$

interpretation L : $M_tranc1\ L$ $\langle \text{proof} \rangle$

8.2 L is Closed Under the Operator list

8.2.1 Instances of Replacement for Lists

lemma $\text{list_replacement1_Reflects}$:

REFLECTS
 $[\lambda x. \exists u[L]. u \in B \wedge (\exists y[L]. \text{pair}(L, u, y, x) \wedge$
 $\text{is_wfrec}(L, \text{iterates_MH}(L, \text{is_list_functor}(L, A), 0), \text{memsn}, u, y)),$
 $\lambda i x. \exists u \in L\text{set}(i). u \in B \wedge (\exists y \in L\text{set}(i). \text{pair}(\#\#L\text{set}(i), u, y, x) \wedge$

$$\text{is_wfrec}(\#\#Lset(i),$$

$$\quad \text{iterates_MH}(\#\#Lset(i),$$

$$\quad \text{is_list_functor}(\#\#Lset(i), A), 0), \text{ memsn}, u, y))]$$

$$\langle \text{proof} \rangle$$

lemma *list_replacement1*:

$$L(A) ==> \text{iterates_replacement}(L, \text{is_list_functor}(L, A), 0)$$

$$\langle \text{proof} \rangle$$

lemma *list_replacement2_Reflects*:

$$\text{REFLECTS}$$

$$[\lambda x. \exists u[L]. u \in B \ \& \ u \in \text{nat} \ \&$$

$$\quad \text{is_iterates}(L, \text{is_list_functor}(L, A), 0, u, x),$$

$$\lambda i \ x. \exists u \in Lset(i). u \in B \ \& \ u \in \text{nat} \ \&$$

$$\quad \text{is_iterates}(\#\#Lset(i), \text{is_list_functor}(\#\#Lset(i), A), 0, u, x)]$$

$$\langle \text{proof} \rangle$$

lemma *list_replacement2*:

$$L(A) ==> \text{strong_replacement}(L,$$

$$\quad \lambda n \ y. n \in \text{nat} \ \& \ \text{is_iterates}(L, \text{is_list_functor}(L, A), 0, n, y))$$

$$\langle \text{proof} \rangle$$

8.3 L is Closed Under the Operator *formula*

8.3.1 Instances of Replacement for Formulas

lemma *formula_replacement1_Reflects*:

$$\text{REFLECTS}$$

$$[\lambda x. \exists u[L]. u \in B \ \& \ (\exists y[L]. \text{pair}(L, u, y, x) \ \&$$

$$\quad \text{is_wfrec}(L, \text{iterates_MH}(L, \text{is_formula_functor}(L), 0), \text{ memsn}, u, y)),$$

$$\lambda i \ x. \exists u \in Lset(i). u \in B \ \& \ (\exists y \in Lset(i). \text{pair}(\#\#Lset(i), u, y, x) \ \&$$

$$\quad \text{is_wfrec}(\#\#Lset(i),$$

$$\quad \text{iterates_MH}(\#\#Lset(i),$$

$$\quad \text{is_formula_functor}(\#\#Lset(i), 0), \text{ memsn}, u, y))]$$

$$\langle \text{proof} \rangle$$

lemma *formula_replacement1*:

$$\text{iterates_replacement}(L, \text{is_formula_functor}(L), 0)$$

$$\langle \text{proof} \rangle$$

lemma *formula_replacement2_Reflects*:

$$\text{REFLECTS}$$

$$[\lambda x. \exists u[L]. u \in B \ \& \ u \in \text{nat} \ \&$$

$$\quad \text{is_iterates}(L, \text{is_formula_functor}(L), 0, u, x),$$

$$\lambda i \ x. \exists u \in Lset(i). u \in B \ \& \ u \in \text{nat} \ \&$$

$$\quad \text{is_iterates}(\#\#Lset(i), \text{is_formula_functor}(\#\#Lset(i)), 0, u, x)]$$

$$\langle \text{proof} \rangle$$

lemma *formula_replacement2*:

strong_replacement(*L*,
 $\lambda n y. n \in \text{nat} \ \& \ \text{is_iterates}(L, \text{is_formula_functor}(L), 0, n, y)$)
 <proof>

NB The proofs for type *formula* are virtually identical to those for *list*(*A*).
 It was a cut-and-paste job!

8.3.2 The Formula *is_nth*, Internalized

definition

$\text{nth_fm} :: [i, i, i] \Rightarrow i$ **where**
 $\text{nth_fm}(n, l, Z) ==$
 $\text{Exists}(\text{And}(\text{is_iterates_fm}(\text{tl_fm}(1, 0), \text{succ}(l), \text{succ}(n), 0),$
 $\text{hd_fm}(0, \text{succ}(Z))))$

lemma *nth_fm_type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{nth_fm}(x, y, z) \in \text{formula}$
 <proof>

lemma *sats_nth_fm* [simp]:

$[[x < \text{length}(\text{env}); y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{nth_fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{is_nth}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
 <proof>

lemma *nth_iff_sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i < \text{length}(\text{env}); j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{is_nth}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{nth_fm}(i, j, k), \text{env})$
 <proof>

theorem *nth_reflection*:

$\text{REFLECTS}[\lambda x. \text{is_nth}(L, f(x), g(x), h(x)),$
 $\lambda i x. \text{is_nth}(\#\#L\text{set}(i), f(x), g(x), h(x))]$
 <proof>

8.3.3 An Instance of Replacement for *nth*

lemma *nth_replacement_Reflects*:

REFLECTS
 $[\lambda x. \exists u[L]. u \in B \ \& \ (\exists y[L]. \text{pair}(L, u, y, x) \ \&$
 $\text{is_wfrec}(L, \text{iterates_MH}(L, \text{is_tl}(L), z), \text{memsn}, u, y)),$
 $\lambda i x. \exists u \in L\text{set}(i). u \in B \ \& \ (\exists y \in L\text{set}(i). \text{pair}(\#\#L\text{set}(i), u, y, x) \ \&$
 $\text{is_wfrec}(\#\#L\text{set}(i),$
 $\text{iterates_MH}(\#\#L\text{set}(i),$
 $\text{is_tl}(\#\#L\text{set}(i), z), \text{memsn}, u, y))]$
 <proof>

lemma *nth_replacement*:

$L(w) ==> \text{iterates_replacement}(L, \text{is_tl}(L), w)$
 $\langle \text{proof} \rangle$

8.3.4 Instantiating the locale *M_datatypes*

lemma *M_datatypes_axioms_L*: *M_datatypes_axioms*(*L*)
 $\langle \text{proof} \rangle$

theorem *M_datatypes_L*: *M_datatypes*(*L*)
 $\langle \text{proof} \rangle$

interpretation *L*: *M_datatypes* *L* $\langle \text{proof} \rangle$

8.4 *L* is Closed Under the Operator *eclose*

8.4.1 Instances of Replacement for *eclose*

lemma *eclose_replacement1_Reflects*:

REFLECTS

$$[\lambda x. \exists u[L]. u \in B \ \& \ (\exists y[L]. \text{pair}(L, u, y, x) \ \& \\
\text{is_wfrec}(L, \text{iterates_MH}(L, \text{big_union}(L), A), \text{memsn}, u, y)), \\
\lambda i x. \exists u \in \text{Lset}(i). u \in B \ \& \ (\exists y \in \text{Lset}(i). \text{pair}(\#\#\text{Lset}(i), u, y, x) \ \& \\
\text{is_wfrec}(\#\#\text{Lset}(i), \\
\text{iterates_MH}(\#\#\text{Lset}(i), \text{big_union}(\#\#\text{Lset}(i)), A), \\
\text{memsn}, u, y))]$$

 $\langle \text{proof} \rangle$

lemma *eclose_replacement1*:

$L(A) ==> \text{iterates_replacement}(L, \text{big_union}(L), A)$
 $\langle \text{proof} \rangle$

lemma *eclose_replacement2_Reflects*:

REFLECTS

$$[\lambda x. \exists u[L]. u \in B \ \& \ u \in \text{nat} \ \& \\
\text{is_iterates}(L, \text{big_union}(L), A, u, x), \\
\lambda i x. \exists u \in \text{Lset}(i). u \in B \ \& \ u \in \text{nat} \ \& \\
\text{is_iterates}(\#\#\text{Lset}(i), \text{big_union}(\#\#\text{Lset}(i)), A, u, x)]$$

 $\langle \text{proof} \rangle$

lemma *eclose_replacement2*:

$L(A) ==> \text{strong_replacement}(L, \\
\lambda n y. n \in \text{nat} \ \& \ \text{is_iterates}(L, \text{big_union}(L), A, n, y))$
 $\langle \text{proof} \rangle$

8.4.2 Instantiating the locale *M_eclose*

lemma *M_eclose_axioms_L*: *M_eclose_axioms*(*L*)
 $\langle \text{proof} \rangle$

theorem M_eclose_L : $M_eclose(L)$
 $\langle proof \rangle$

interpretation L : $M_eclose\ L\ \langle proof \rangle$

end

9 Absoluteness for the Satisfies Relation on Formulas

theory *Satisfies_absolute* **imports** *Datatype_absolute Rec_Separation* **begin**

9.1 More Internalization

9.1.1 The Formula *is_depth*, Internalized

definition

$depth_fm :: [i,i] \Rightarrow i$ **where**
 $depth_fm(p,n) ==$
 $Exists(Exists(Exists($
 $And(formula_N_fm(n\#+3,1),$
 $And(Neg(Member(p\#+3,1)),$
 $And(succ_fm(n\#+3,2),$
 $And(formula_N_fm(2,0), Member(p\#+3,0))))))$

lemma $depth_fm_type$ $[TC]$:

$[| x \in nat; y \in nat |] \Rightarrow depth_fm(x,y) \in formula$
 $\langle proof \rangle$

lemma $sats_depth_fm$ $[simp]$:

$[| x \in nat; y < length(env); env \in list(A) |]$
 $\Rightarrow sats(A, depth_fm(x,y), env) \longleftrightarrow$
 $is_depth(\#\#A, nth(x,env), nth(y,env))$
 $\langle proof \rangle$

lemma $depth_iff_sats$:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j < length(env); env \in list(A) |]$
 $\Rightarrow is_depth(\#\#A, x, y) \longleftrightarrow sats(A, depth_fm(i,j), env)$
 $\langle proof \rangle$

theorem $depth_reflection$:

$REFLECTS[\lambda x. is_depth(L, f(x), g(x)),$
 $\lambda i x. is_depth(\#\#Lset(i), f(x), g(x))]$
 $\langle proof \rangle$

9.1.2 The Operator *is_formula_case*

The arguments of *is_a* are always 2, 1, 0, and the formula will be enclosed by three quantifiers.

definition

```

formula_case_fm :: [i, i, i, i, i, i] => i where
formula_case_fm(is_a, is_b, is_c, is_d, v, z) ==
  And(Forall(Forall(Implies(finite_ordinal_fm(1),
    Implies(finite_ordinal_fm(0),
      Implies(Member_fm(1,0,v#+2),
        Forall(Implies(Equal(0,z#+3), is_a))))))),
    And(Forall(Forall(Implies(finite_ordinal_fm(1),
      Implies(finite_ordinal_fm(0),
        Implies(Equal_fm(1,0,v#+2),
          Forall(Implies(Equal(0,z#+3), is_b))))))),
        And(Forall(Forall(Implies(mem_formula_fm(1),
          Implies(mem_formula_fm(0),
            Implies(Nand_fm(1,0,v#+2),
              Forall(Implies(Equal(0,z#+3), is_c))))))),
            Forall(Implies(mem_formula_fm(0),
              Implies(Forall_fm(0,succ(v),
                Forall(Implies(Equal(0,z#+2), is_d))))))))))

```

lemma *is_formula_case_type* [TC]:

```

[[ is_a ∈ formula; is_b ∈ formula; is_c ∈ formula; is_d ∈ formula;
  x ∈ nat; y ∈ nat ]]
==> formula_case_fm(is_a, is_b, is_c, is_d, x, y) ∈ formula
<proof>

```

lemma *sats_formula_case_fm*:

```

assumes is_a_iff_sats:
  !!a0 a1 a2.
  [[a0∈A; a1∈A; a2∈A]]
  ==> ISA(a2, a1, a0) <=> sats(A, is_a, Cons(a0,Cons(a1,Cons(a2,env))))
and is_b_iff_sats:
  !!a0 a1 a2.
  [[a0∈A; a1∈A; a2∈A]]
  ==> ISB(a2, a1, a0) <=> sats(A, is_b, Cons(a0,Cons(a1,Cons(a2,env))))
and is_c_iff_sats:
  !!a0 a1 a2.
  [[a0∈A; a1∈A; a2∈A]]
  ==> ISC(a2, a1, a0) <=> sats(A, is_c, Cons(a0,Cons(a1,Cons(a2,env))))
and is_d_iff_sats:
  !!a0 a1.
  [[a0∈A; a1∈A]]
  ==> ISD(a1, a0) <=> sats(A, is_d, Cons(a0,Cons(a1,env)))
shows
  [[x ∈ nat; y < length(env); env ∈ list(A)]]

```

$$\Rightarrow \text{sats}(A, \text{formula_case_fm}(\text{is_a}, \text{is_b}, \text{is_c}, \text{is_d}, x, y), \text{env}) \longleftrightarrow$$

$$\text{is_formula_case}(\#\#A, \text{ISA}, \text{ISB}, \text{ISC}, \text{ISD}, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *formula_case_iff_sats*:
assumes *is_a_iff_sats*:

$$!!a0\ a1\ a2.$$

$$[a0 \in A; a1 \in A; a2 \in A]$$

$$\Rightarrow \text{ISA}(a2, a1, a0) \longleftrightarrow \text{sats}(A, \text{is_a}, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{env}))))$$
and *is_b_iff_sats*:

$$!!a0\ a1\ a2.$$

$$[a0 \in A; a1 \in A; a2 \in A]$$

$$\Rightarrow \text{ISB}(a2, a1, a0) \longleftrightarrow \text{sats}(A, \text{is_b}, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{env}))))$$
and *is_c_iff_sats*:

$$!!a0\ a1\ a2.$$

$$[a0 \in A; a1 \in A; a2 \in A]$$

$$\Rightarrow \text{ISC}(a2, a1, a0) \longleftrightarrow \text{sats}(A, \text{is_c}, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{env}))))$$
and *is_d_iff_sats*:

$$!!a0\ a1.$$

$$[a0 \in A; a1 \in A]$$

$$\Rightarrow \text{ISD}(a1, a0) \longleftrightarrow \text{sats}(A, \text{is_d}, \text{Cons}(a0, \text{Cons}(a1, \text{env})))$$
shows

$$[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$$

$$i \in \text{nat}; j < \text{length}(\text{env}); \text{env} \in \text{list}(A)]$$

$$\Rightarrow \text{is_formula_case}(\#\#A, \text{ISA}, \text{ISB}, \text{ISC}, \text{ISD}, x, y) \longleftrightarrow$$

$$\text{sats}(A, \text{formula_case_fm}(\text{is_a}, \text{is_b}, \text{is_c}, \text{is_d}, i, j), \text{env})$$

$$\langle \text{proof} \rangle$$

The second argument of *is_a* gives it direct access to *x*, which is essential for handling free variable references. Treatment is based on that of *is_nat_case_reflection*.

theorem *is_formula_case_reflection*:
assumes *is_a_reflection*:

$$!!h\ f\ g\ g'. \text{REFLECTS}[\lambda x. \text{is_a}(L, h(x), f(x), g(x), g'(x)),$$

$$\lambda i\ x. \text{is_a}(\#\#L\text{set}(i), h(x), f(x), g(x), g'(x))]$$
and *is_b_reflection*:

$$!!h\ f\ g\ g'. \text{REFLECTS}[\lambda x. \text{is_b}(L, h(x), f(x), g(x), g'(x)),$$

$$\lambda i\ x. \text{is_b}(\#\#L\text{set}(i), h(x), f(x), g(x), g'(x))]$$
and *is_c_reflection*:

$$!!h\ f\ g\ g'. \text{REFLECTS}[\lambda x. \text{is_c}(L, h(x), f(x), g(x), g'(x)),$$

$$\lambda i\ x. \text{is_c}(\#\#L\text{set}(i), h(x), f(x), g(x), g'(x))]$$
and *is_d_reflection*:

$$!!h\ f\ g\ g'. \text{REFLECTS}[\lambda x. \text{is_d}(L, h(x), f(x), g(x)),$$

$$\lambda i\ x. \text{is_d}(\#\#L\text{set}(i), h(x), f(x), g(x))]$$
shows $\text{REFLECTS}[\lambda x. \text{is_formula_case}(L, \text{is_a}(L, x), \text{is_b}(L, x), \text{is_c}(L, x),$

$$\text{is_d}(L, x), g(x), h(x)),$$

$$\lambda i\ x. \text{is_formula_case}(\#\#L\text{set}(i), \text{is_a}(\#\#L\text{set}(i), x), \text{is_b}(\#\#L\text{set}(i),$$

$$x), \text{is_c}(\#\#L\text{set}(i), x), \text{is_d}(\#\#L\text{set}(i), x), g(x), h(x))]$$

$$\langle \text{proof} \rangle$$

9.2 Absoluteness for the Function *satisfies*

definition

$is_depth_apply :: [i=>o,i,i,i] => o$ **where**
 — Merely a useful abbreviation for the sequel.
 $is_depth_apply(M,h,p,z) ==$
 $\exists dp[M]. \exists sd p[M]. \exists hsd p[M].$
 $finite_ordinal(M,dp) \ \& \ is_depth(M,p,dp) \ \& \ successor(M,dp,sdp) \ \& \$
 $fun_apply(M,h,sdp,hsdp) \ \& \ fun_apply(M,hsdp,p,z)$

lemma (in *M_datatypes*) $is_depth_apply_abs$ [simp]:

$[|M(h); p \in formula; M(z)|]$
 $==> is_depth_apply(M,h,p,z) \longleftrightarrow z = h \text{ ‘ } succ(depth(p)) \text{ ‘ } p$
 $\langle proof \rangle$

There is at present some redundancy between the relativizations in e.g. *satisfies_is_a* and those in e.g. *Member_replacement*.

These constants let us instantiate the parameters *a*, *b*, *c*, *d*, etc., of the locale *Formula_Rec*.

definition

$satisfies_a :: [i,i,i] => i$ **where**
 $satisfies_a(A) ==$
 $\lambda x y. \lambda env \in list(A). bool_of_o (nth(x,env) \in nth(y,env))$

definition

$satisfies_is_a :: [i=>o,i,i,i,i] => o$ **where**
 $satisfies_is_a(M,A) ==$
 $\lambda x y zz. \forall lA[M]. is_list(M,A,lA) \longrightarrow$
 $is_lambda(M, lA,$
 $\lambda env z. is_bool_of_o(M,$
 $\exists nx[M]. \exists ny[M].$
 $is_nth(M,x,env,nx) \ \& \ is_nth(M,y,env,ny) \ \& \ nx \in ny, z),$
 $zz)$

definition

$satisfies_b :: [i,i,i] => i$ **where**
 $satisfies_b(A) ==$
 $\lambda x y. \lambda env \in list(A). bool_of_o (nth(x,env) = nth(y,env))$

definition

$satisfies_is_b :: [i=>o,i,i,i,i] => o$ **where**
 — We simplify the formula to have just *nx* rather than introducing *ny* with *nx*
 $= ny$
 $satisfies_is_b(M,A) ==$
 $\lambda x y zz. \forall lA[M]. is_list(M,A,lA) \longrightarrow$
 $is_lambda(M, lA,$
 $\lambda env z. is_bool_of_o(M,$
 $\exists nx[M]. is_nth(M,x,env,nx) \ \& \ is_nth(M,y,env,nx), z),$
 $zz)$

definition

$satisfies_c :: [i,i,i,i,i] \Rightarrow i$ **where**
 $satisfies_c(A) == \lambda p\ q\ rp\ rq.\ \lambda env \in list(A).\ not(rp\ ' env\ and\ rq\ ' env)$

definition

$satisfies_is_c :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 $satisfies_is_c(M, A, h) ==$
 $\lambda p\ q\ zz.\ \forall lA[M].\ is_list(M, A, lA) \longrightarrow$
 $is_lambda(M, lA, \lambda env\ z.\ \exists hp[M].\ \exists hq[M].$
 $(\exists rp[M].\ is_depth_apply(M, h, p, rp) \ \&\ fun_apply(M, rp, env, hp)) \ \&$
 $(\exists rq[M].\ is_depth_apply(M, h, q, rq) \ \&\ fun_apply(M, rq, env, hq)) \ \&$
 $(\exists pq[M].\ is_and(M, hp, hq, pq) \ \&\ is_not(M, pq, z)),$
 $zz)$

definition

$satisfies_d :: [i, i, i] \Rightarrow i$ **where**
 $satisfies_d(A)$
 $== \lambda p\ rp.\ \lambda env \in list(A).\ bool_of_o\ (\forall x \in A.\ rp\ ' (Cons(x, env)) = 1)$

definition

$satisfies_is_d :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 $satisfies_is_d(M, A, h) ==$
 $\lambda p\ zz.\ \forall lA[M].\ is_list(M, A, lA) \longrightarrow$
 $is_lambda(M, lA,$
 $\lambda env\ z.\ \exists rp[M].\ is_depth_apply(M, h, p, rp) \ \&$
 $is_bool_of_o(M,$
 $\forall x[M].\ \forall xenv[M].\ \forall hp[M].$
 $x \in A \longrightarrow is_Cons(M, x, env, xenv) \longrightarrow$
 $fun_apply(M, rp, xenv, hp) \longrightarrow number1(M, hp),$
 $z),$
 $zz)$

definition

$satisfies_MH :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 — The variable u is unused, but gives $satisfies_MH$ the correct arity.
 $satisfies_MH ==$
 $\lambda M\ A\ u\ f\ z.$
 $\forall fml[M].\ is_formula(M, fml) \longrightarrow$
 $is_lambda\ (M, fml,$
 $is_formula_case\ (M, satisfies_is_a(M, A),$
 $satisfies_is_b(M, A),$
 $satisfies_is_c(M, A, f),\ satisfies_is_d(M, A, f)),$
 $z)$

definition

$is_satisfies :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_satisfies(M, A) == is_formula_rec\ (M, satisfies_MH(M, A))$

This lemma relates the fragments defined above to the original primitive

recursion in *satisfies*. Induction is not required: the definitions are directly equal!

lemma *satisfies_eq*:

satisfies(*A*,*p*) =
formula_rec (*satisfies_a*(*A*), *satisfies_b*(*A*),
satisfies_c(*A*), *satisfies_d*(*A*), *p*)

⟨*proof*⟩

Further constraints on the class *M* in order to prove absoluteness for the constants defined above. The ultimate goal is the absoluteness of the function *satisfies*.

locale *M_satisfies* = *M_eclose* + *M_datatypes* +

assumes

Member_replacement:

[|*M*(*A*); *x* ∈ *nat*; *y* ∈ *nat*|]
 $\implies$ *strong_replacement*
(*M*, λ*env* *z*. ∃ *bo*[*M*]. ∃ *nx*[*M*]. ∃ *ny*[*M*].
env ∈ *list*(*A*) & *is_nth*(*M*,*x*,*env*,*nx*) & *is_nth*(*M*,*y*,*env*,*ny*) &
is_bool_of_o(*M*, *nx* ∈ *ny*, *bo*) &
pair(*M*, *env*, *bo*, *z*))

and

Equal_replacement:

[|*M*(*A*); *x* ∈ *nat*; *y* ∈ *nat*|]
 $\implies$ *strong_replacement*
(*M*, λ*env* *z*. ∃ *bo*[*M*]. ∃ *nx*[*M*]. ∃ *ny*[*M*].
env ∈ *list*(*A*) & *is_nth*(*M*,*x*,*env*,*nx*) & *is_nth*(*M*,*y*,*env*,*ny*) &
is_bool_of_o(*M*, *nx* = *ny*, *bo*) &
pair(*M*, *env*, *bo*, *z*))

and

Nand_replacement:

[|*M*(*A*); *M*(*rp*); *M*(*rq*)|]
 $\implies$ *strong_replacement*
(*M*, λ*env* *z*. ∃ *rpe*[*M*]. ∃ *rqe*[*M*]. ∃ *andpq*[*M*]. ∃ *notpq*[*M*].
fun_apply(*M*,*rp*,*env*,*rpe*) & *fun_apply*(*M*,*rq*,*env*,*rqe*) &
is_and(*M*,*rpe*,*rqe*,*andpq*) & *is_not*(*M*,*andpq*,*notpq*) &
env ∈ *list*(*A*) & *pair*(*M*, *env*, *notpq*, *z*))

and

Forall_replacement:

[|*M*(*A*); *M*(*rp*)|]
 $\implies$ *strong_replacement*
(*M*, λ*env* *z*. ∃ *bo*[*M*].
env ∈ *list*(*A*) &
is_bool_of_o (*M*,
∃ *a*[*M*]. ∃ *co*[*M*]. ∃ *rpco*[*M*].
a ∈ *A* $\longrightarrow$ *is_Cons*(*M*,*a*,*env*,*co*) $\longrightarrow$
fun_apply(*M*,*rp*,*co*,*rpco*) $\longrightarrow$ *number1*(*M*, *rpco*),
bo) &
pair(*M*,*env*,*bo*,*z*))

and

formula_rec_replacement:
 — For the *transrec*
 $[[n \in \text{nat}; M(A)]] \implies \text{transrec_replacement}(M, \text{satisfies_MH}(M, A), n)$
and
formula_rec_lambda_replacement:
 — For the λ -abstraction in the *transrec* body
 $[[M(g); M(A)]] \implies$
 $\text{strong_replacement } (M,$
 $\lambda x y. \text{mem_formula}(M, x) \ \&$
 $(\exists c[M]. \text{is_formula_case}(M, \text{satisfies_is_a}(M, A),$
 $\text{satisfies_is_b}(M, A),$
 $\text{satisfies_is_c}(M, A, g),$
 $\text{satisfies_is_d}(M, A, g), x, c) \ \&$
 $\text{pair}(M, x, c, y)))$

lemma (in $M_satisfies$) *Member_replacement'*:
 $[[M(A); x \in \text{nat}; y \in \text{nat}]]$
 $\implies \text{strong_replacement}$
 $(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \ \&$
 $z = \langle \text{env}, \text{bool_of_o}(\text{nth}(x, \text{env}) \in \text{nth}(y, \text{env})) \rangle)$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) *Equal_replacement'*:
 $[[M(A); x \in \text{nat}; y \in \text{nat}]]$
 $\implies \text{strong_replacement}$
 $(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \ \&$
 $z = \langle \text{env}, \text{bool_of_o}(\text{nth}(x, \text{env}) = \text{nth}(y, \text{env})) \rangle)$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) *Nand_replacement'*:
 $[[M(A); M(rp); M(rq)]]$
 $\implies \text{strong_replacement}$
 $(M, \lambda \text{env } z. \text{env} \in \text{list}(A) \ \& \ z = \langle \text{env}, \text{not}(rp' \text{env and } rq' \text{env}) \rangle)$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) *Forall_replacement'*:
 $[[M(A); M(rp)]]$
 $\implies \text{strong_replacement}$
 $(M, \lambda \text{env } z.$
 $\text{env} \in \text{list}(A) \ \&$
 $z = \langle \text{env}, \text{bool_of_o } (\forall a \in A. rp' \text{ } \text{Cons}(a, \text{env}) = 1) \rangle)$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) *a_closed*:
 $[[M(A); x \in \text{nat}; y \in \text{nat}]] \implies M(\text{satisfies_a}(A, x, y))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) *a_rel*:

$M(A) ==> \text{Relation2}(M, \text{nat}, \text{nat}, \text{satisfies_is_a}(M, A), \text{satisfies_a}(A))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) b_closed :
 $[|M(A); x \in \text{nat}; y \in \text{nat}|] ==> M(\text{satisfies_b}(A, x, y))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) b_rel :
 $M(A) ==> \text{Relation2}(M, \text{nat}, \text{nat}, \text{satisfies_is_b}(M, A), \text{satisfies_b}(A))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) c_closed :
 $[|M(A); x \in \text{formula}; y \in \text{formula}; M(rx); M(ry)|]$
 $==> M(\text{satisfies_c}(A, x, y, rx, ry))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) c_rel :
 $[|M(A); M(f)|] ==>$
 $\text{Relation2}(M, \text{formula}, \text{formula},$
 $\text{satisfies_is_c}(M, A, f),$
 $\lambda u \ v. \text{satisfies_c}(A, u, v, f \text{ ' succ}(\text{depth}(u)) \text{ ' } u,$
 $\text{f ' succ}(\text{depth}(v)) \text{ ' } v))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) d_closed :
 $[|M(A); x \in \text{formula}; M(rx)|] ==> M(\text{satisfies_d}(A, x, rx))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) d_rel :
 $[|M(A); M(f)|] ==>$
 $\text{Relation1}(M, \text{formula}, \text{satisfies_is_d}(M, A, f),$
 $\lambda u. \text{satisfies_d}(A, u, f \text{ ' succ}(\text{depth}(u)) \text{ ' } u))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) $fr_replace$:
 $[|n \in \text{nat}; M(A)|] ==> \text{transrec_replacement}(M, \text{satisfies_MH}(M, A), n)$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) $\text{formula_case_satisfies_closed}$:
 $[|M(g); M(A); x \in \text{formula}|] ==>$
 $M(\text{formula_case}(\text{satisfies_a}(A), \text{satisfies_b}(A),$
 $\lambda u \ v. \text{satisfies_c}(A, u, v,$
 $\text{g ' succ}(\text{depth}(u)) \text{ ' } u, \text{g ' succ}(\text{depth}(v)) \text{ ' } v),$
 $\lambda u. \text{satisfies_d}(A, u, \text{g ' succ}(\text{depth}(u)) \text{ ' } u,$
 $x))$
 $\langle \text{proof} \rangle$

lemma (in $M_satisfies$) $fr_lam_replace$:

$$[[M(g); M(A)]] ==>$$

$$strong_replacement (M, \lambda x y. x \in formula \ \&$$

$$y = \langle x,$$

$$formula_rec_case(satisfies_a(A),$$

$$satisfies_b(A),$$

$$satisfies_c(A),$$

$$satisfies_d(A), g, x))$$

$$\langle proof \rangle$$

Instantiate locale *Formula_Rec* for the Function *satisfies*

lemma (in *M_satisfies*) *Formula_Rec_axioms_M*:

$$M(A) ==>$$

$$Formula_Rec_axioms(M, satisfies_a(A), satisfies_is_a(M,A),$$

$$satisfies_b(A), satisfies_is_b(M,A),$$

$$satisfies_c(A), satisfies_is_c(M,A),$$

$$satisfies_d(A), satisfies_is_d(M,A))$$

$$\langle proof \rangle$$

theorem (in *M_satisfies*) *Formula_Rec_M*:

$$M(A) ==>$$

$$Formula_Rec(M, satisfies_a(A), satisfies_is_a(M,A),$$

$$satisfies_b(A), satisfies_is_b(M,A),$$

$$satisfies_c(A), satisfies_is_c(M,A),$$

$$satisfies_d(A), satisfies_is_d(M,A))$$

$$\langle proof \rangle$$

lemmas (in *M_satisfies*)

$$satisfies_closed' = Formula_Rec.formula_rec_closed [OF Formula_Rec_M]$$
and
$$satisfies_abs' = Formula_Rec.formula_rec_abs [OF Formula_Rec_M]$$

lemma (in *M_satisfies*) *satisfies_closed*:

$$[[M(A); p \in formula]] ==> M(satisfies(A,p))$$

$$\langle proof \rangle$$

lemma (in *M_satisfies*) *satisfies_abs*:

$$[[M(A); M(z); p \in formula]]$$

$$==> is_satisfies(M,A,p,z) \longleftrightarrow z = satisfies(A,p)$$

$$\langle proof \rangle$$

9.3 Internalizations Needed to Instantiate *M_satisfies*

9.3.1 The Operator *is_depth_apply*, Internalized

definition

$$depth_apply_fm :: [i,i,i] ==> i \text{ where}$$

$$depth_apply_fm(h,p,z) ==$$

$$Exists(Exists(Exists($$

$$And(finite_ordinal_fm(2),$$

$And(depth_fm(p\# + 3, 2),$
 $And(succ_fm(2, 1),$
 $And(fun_apply_fm(h\# + 3, 1, 0), fun_apply_fm(0, p\# + 3, z\# + 3))))))$

lemma *depth_apply_type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> depth_apply_fm(x, y, z) \in formula$
 $\langle proof \rangle$

lemma *sats_depth_apply_fm* [simp]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, depth_apply_fm(x, y, z), env) \longleftrightarrow$
 $is_depth_apply(\#\#A, nth(x, env), nth(y, env), nth(z, env))$
 $\langle proof \rangle$

lemma *depth_apply_iff_sats*:

$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> is_depth_apply(\#\#A, x, y, z) \longleftrightarrow sats(A, depth_apply_fm(i, j, k), env)$
 $\langle proof \rangle$

lemma *depth_apply_reflection*:

$REFLECTS[\lambda x. is_depth_apply(L, f(x), g(x), h(x)),$
 $\lambda i x. is_depth_apply(\#\#Lset(i), f(x), g(x), h(x))]$
 $\langle proof \rangle$

9.3.2 The Operator *satisfies_is_a*, Internalized

definition

satisfies_is_a_fm :: $[i, i, i, i] => i$ **where**

satisfies_is_a_fm(A, x, y, z) ==

$Forall($
 $Implies(is_list_fm(succ(A), 0),$
 $lambda_fm($
 $bool_of_o_fm(Exists($
 $Exists(And(nth_fm(x\# + 6, 3, 1),$
 $And(nth_fm(y\# + 6, 3, 0),$
 $Member(1, 0))))), 0),$
 $0, succ(z)))$

lemma *satisfies_is_a_type* [TC]:

$[| A \in nat; x \in nat; y \in nat; z \in nat |]$
 $==> satisfies_is_a_fm(A, x, y, z) \in formula$
 $\langle proof \rangle$

lemma *sats_satisfies_is_a_fm* [simp]:

$[| u \in nat; x < length(env); y < length(env); z \in nat; env \in list(A) |]$
 $==> sats(A, satisfies_is_a_fm(u, x, y, z), env) \longleftrightarrow$
 $satisfies_is_a(\#\#A, nth(u, env), nth(x, env), nth(y, env), nth(z, env))$
 $\langle proof \rangle$

lemma *satisfies_is_a_iff_sats*:

$$\begin{aligned} & [[\text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz; \\ & \quad u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{satisfies_is_a}(\#\#A, nu, nx, ny, nz) \longleftrightarrow \\ & \quad \text{sats}(A, \text{satisfies_is_a_fm}(u, x, y, z), \text{env}) \\ & \langle \text{proof} \rangle \end{aligned}$$

theorem *satisfies_is_a_reflection*:

$$\begin{aligned} & \text{REFLECTS}[\lambda x. \text{satisfies_is_a}(L, f(x), g(x), h(x), g'(x)), \\ & \quad \lambda i x. \text{satisfies_is_a}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x))] \\ & \langle \text{proof} \rangle \end{aligned}$$

9.3.3 The Operator *satisfies_is_b*, Internalized

definition

$$\begin{aligned} & \text{satisfies_is_b_fm} :: [i, i, i, i] \Rightarrow i \text{ where} \\ & \text{satisfies_is_b_fm}(A, x, y, z) == \\ & \quad \text{Forall}(\\ & \quad \quad \text{Implies}(\text{is_list_fm}(\text{succ}(A), 0), \\ & \quad \quad \quad \text{lambda_fm}(\\ & \quad \quad \quad \quad \text{bool_of_o_fm}(\text{Exists}(\text{And}(\text{nth_fm}(x\# + 5, 2, 0), \text{nth_fm}(y\# + 5, 2, 0))), 0), \\ & \quad \quad \quad \quad 0, \text{succ}(z))) \end{aligned}$$

lemma *satisfies_is_b_type* [TC]:

$$\begin{aligned} & [[A \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \\ & \implies \text{satisfies_is_b_fm}(A, x, y, z) \in \text{formula} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *sats_satisfies_is_b_fm* [simp]:

$$\begin{aligned} & [[u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{sats}(A, \text{satisfies_is_b_fm}(u, x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{satisfies_is_b}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *satisfies_is_b_iff_sats*:

$$\begin{aligned} & [[\text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz; \\ & \quad u \in \text{nat}; x < \text{length}(\text{env}); y < \text{length}(\text{env}); z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{satisfies_is_b}(\#\#A, nu, nx, ny, nz) \longleftrightarrow \\ & \quad \text{sats}(A, \text{satisfies_is_b_fm}(u, x, y, z), \text{env}) \\ & \langle \text{proof} \rangle \end{aligned}$$

theorem *satisfies_is_b_reflection*:

$$\begin{aligned} & \text{REFLECTS}[\lambda x. \text{satisfies_is_b}(L, f(x), g(x), h(x), g'(x)), \\ & \quad \lambda i x. \text{satisfies_is_b}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x))] \\ & \langle \text{proof} \rangle \end{aligned}$$

9.3.4 The Operator *satisfies_is_c*, Internalized

definition

$satisfies_is_c_fm :: [i,i,i,i] => i$ **where**
 $satisfies_is_c_fm(A,h,p,q,zz) ==$
 $Forall($
 $Implies(is_list_fm(succ(A),0),$
 $lambda_fm($
 $Exists(Exists($
 $And(Exists(And(depth_apply_fm(h\# + 7, p\# + 7, 0), fun_apply_fm(0,4,2))),$
 $And(Exists(And(depth_apply_fm(h\# + 7, q\# + 7, 0), fun_apply_fm(0,4,1))),$
 $Exists(And(and_fm(2,1,0), not_fm(0,3)))))),$
 $0, succ(zz)))$

lemma $satisfies_is_c_type [TC]:$
 $[| A \in nat; h \in nat; x \in nat; y \in nat; z \in nat |]$
 $==> satisfies_is_c_fm(A,h,x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_satisfies_is_c_fm [simp]:$
 $[| u \in nat; v \in nat; x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, satisfies_is_c_fm(u,v,x,y,z), env) \longleftrightarrow$
 $satisfies_is_c(\#\#A, nth(u,env), nth(v,env), nth(x,env),$
 $nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $satisfies_is_c_iff_sats:$
 $[| nth(u,env) = nu; nth(v,env) = nv; nth(x,env) = nx; nth(y,env) = ny;$
 $nth(z,env) = nz;$
 $u \in nat; v \in nat; x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> satisfies_is_c(\#\#A, nu, nv, nx, ny, nz) \longleftrightarrow$
 $sats(A, satisfies_is_c_fm(u,v,x,y,z), env)$
 $\langle proof \rangle$

theorem $satisfies_is_c_reflection:$
 $REFLECTS[\lambda x. satisfies_is_c(L,f(x),g(x),h(x),g'(x),h'(x)),$
 $\lambda i x. satisfies_is_c(\#\#Lset(i),f(x),g(x),h(x),g'(x),h'(x))]$
 $\langle proof \rangle$

9.3.5 The Operator $satisfies_is_d$, Internalized

definition
 $satisfies_is_d_fm :: [i,i,i,i] => i$ **where**
 $satisfies_is_d_fm(A,h,p,zz) ==$
 $Forall($

$Implies(is_list_fm(succ(A),0),$
 $lambda_fm($
 $Exists($
 $And(depth_apply_fm(h\# + 5, p\# + 5, 0),$
 $bool_of_o_fm($
 $Forall(Forall(Forall($
 $Implies(Member(2,A\# + 8),$

$$\text{Implies}(\text{Cons_fm}(2,5,1),$$

$$\text{Implies}(\text{fun_apply_fm}(3,1,0), \text{number1_fm}(0))))), 1))),$$

$$0, \text{succ}(zz)))$$

lemma *satisfies_is_d_type* [TC]:

$$[| A \in \text{nat}; h \in \text{nat}; x \in \text{nat}; z \in \text{nat} |]$$

$$\implies \text{satisfies_is_d_fm}(A, h, x, z) \in \text{formula}$$

$$\langle \text{proof} \rangle$$

lemma *sats_satisfies_is_d_fm* [simp]:

$$[| u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{sats}(A, \text{satisfies_is_d_fm}(u, x, y, z), \text{env}) \longleftrightarrow$$

$$\text{satisfies_is_d}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$

$$\langle \text{proof} \rangle$$

lemma *satisfies_is_d_iff_sats*:

$$[| \text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz;$$

$$u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$$\implies \text{satisfies_is_d}(\#\#A, nu, nx, ny, nz) \longleftrightarrow$$

$$\text{sats}(A, \text{satisfies_is_d_fm}(u, x, y, z), \text{env})$$

$$\langle \text{proof} \rangle$$

theorem *satisfies_is_d_reflection*:

$$\text{REFLECTS}[\lambda x. \text{satisfies_is_d}(L, f(x), g(x), h(x), g'(x)),$$

$$\lambda i x. \text{satisfies_is_d}(\#\#L\text{set}(i), f(x), g(x), h(x), g'(x))]$$

$$\langle \text{proof} \rangle$$

9.3.6 The Operator *satisfies_MH*, Internalized

definition

$$\text{satisfies_MH_fm} :: [i, i, i, i] \Rightarrow i \text{ where}$$

$$\text{satisfies_MH_fm}(A, u, f, zz) ==$$

$$\text{Forall}(\text{Implies}(\text{is_formula_fm}(0),$$

$$\text{lambda_fm}(\text{formula_case_fm}(\text{satisfies_is_a_fm}(A\#\#7, 2, 1, 0),$$

$$\text{satisfies_is_b_fm}(A\#\#7, 2, 1, 0),$$

$$\text{satisfies_is_c_fm}(A\#\#7, f\#\#7, 2, 1, 0),$$

$$\text{satisfies_is_d_fm}(A\#\#6, f\#\#6, 1, 0),$$

$$1, 0),$$

$$0, \text{succ}(zz))))$$

lemma *satisfies_MH_type* [TC]:

$$[| A \in \text{nat}; u \in \text{nat}; x \in \text{nat}; z \in \text{nat} |]$$

$$\implies \text{satisfies_MH_fm}(A, u, x, z) \in \text{formula}$$

$$\langle \text{proof} \rangle$$

lemma *sats_satisfies_MH_fm* [simp]:

$$[| u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$$

$\implies \text{sats}(A, \text{satisfies_MH_fm}(u, x, y, z), \text{env}) \longleftrightarrow$
 $\text{satisfies_MH}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
 <proof>

lemma *satisfies_MH_iff_sats*:
 $[[\text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz;$
 $u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{satisfies_MH}(\#\#A, nu, nx, ny, nz) \longleftrightarrow$
 $\text{sats}(A, \text{satisfies_MH_fm}(u, x, y, z), \text{env})$
 <proof>

lemmas *satisfies_reflections =*
is_lambda_reflection is_formula_reflection
is_formula_case_reflection
satisfies_is_a_reflection satisfies_is_b_reflection
satisfies_is_c_reflection satisfies_is_d_reflection

theorem *satisfies_MH_reflection*:
 $\text{REFLECTS}[\lambda x. \text{satisfies_MH}(L, f(x), g(x), h(x), g'(x)),$
 $\lambda i x. \text{satisfies_MH}(\#\#Lset(i), f(x), g(x), h(x), g'(x))]$
 <proof>

9.4 Lemmas for Instantiating the Locale *M_satisfies*

9.4.1 The Member Case

lemma *Member_Reflects*:
 $\text{REFLECTS}[\lambda u. \exists v[L]. v \in B \wedge (\exists bo[L]. \exists nx[L]. \exists ny[L].$
 $v \in \text{lst}A \wedge \text{is_nth}(L, x, v, nx) \wedge \text{is_nth}(L, y, v, ny) \wedge$
 $\text{is_bool_of_o}(L, nx \in ny, bo) \wedge \text{pair}(L, v, bo, u)),$
 $\lambda i u. \exists v \in Lset(i). v \in B \wedge (\exists bo \in Lset(i). \exists nx \in Lset(i). \exists ny \in Lset(i).$
 $v \in \text{lst}A \wedge \text{is_nth}(\#\#Lset(i), x, v, nx) \wedge$
 $\text{is_nth}(\#\#Lset(i), y, v, ny) \wedge$
 $\text{is_bool_of_o}(\#\#Lset(i), nx \in ny, bo) \wedge \text{pair}(\#\#Lset(i), v, bo, u))]$
 <proof>

lemma *Member_replacement*:
 $[[L(A); x \in \text{nat}; y \in \text{nat}]]$
 $\implies \text{strong_replacement}$
 $(L, \lambda \text{env} z. \exists bo[L]. \exists nx[L]. \exists ny[L].$
 $\text{env} \in \text{list}(A) \ \& \ \text{is_nth}(L, x, \text{env}, nx) \ \& \ \text{is_nth}(L, y, \text{env}, ny) \ \&$
 $\text{is_bool_of_o}(L, nx \in ny, bo) \ \&$
 $\text{pair}(L, \text{env}, bo, z))$
 <proof>

9.4.2 The Equal Case

lemma *Equal_Reflects*:
 $\text{REFLECTS}[\lambda u. \exists v[L]. v \in B \wedge (\exists bo[L]. \exists nx[L]. \exists ny[L].$

$$\begin{aligned}
& v \in \text{lst}A \wedge \text{is_nth}(L, x, v, nx) \wedge \text{is_nth}(L, y, v, ny) \wedge \\
& \text{is_bool_of_o}(L, nx = ny, bo) \wedge \text{pair}(L, v, bo, u), \\
\lambda i \ u. \exists v \in \text{Lset}(i). v \in B \wedge (\exists bo \in \text{Lset}(i). \exists nx \in \text{Lset}(i). \exists ny \in \text{Lset}(i). \\
& v \in \text{lst}A \wedge \text{is_nth}(\#\#\text{Lset}(i), x, v, nx) \wedge \\
& \text{is_nth}(\#\#\text{Lset}(i), y, v, ny) \wedge \\
& \text{is_bool_of_o}(\#\#\text{Lset}(i), nx = ny, bo) \wedge \text{pair}(\#\#\text{Lset}(i), v, bo, u))
\end{aligned}$$
 $\langle \text{proof} \rangle$

lemma *Equal_replacement:*

$$\begin{aligned}
& [[L(A); x \in \text{nat}; y \in \text{nat}]] \\
& ==> \text{strong_replacement} \\
& (L, \lambda \text{env} \ z. \exists bo[L]. \exists nx[L]. \exists ny[L]. \\
& \quad \text{env} \in \text{list}(A) \ \& \ \text{is_nth}(L, x, \text{env}, nx) \ \& \ \text{is_nth}(L, y, \text{env}, ny) \ \& \\
& \quad \text{is_bool_of_o}(L, nx = ny, bo) \ \& \\
& \quad \text{pair}(L, \text{env}, bo, z))
\end{aligned}$$
 $\langle \text{proof} \rangle$

9.4.3 The Nand Case

lemma *Nand_Reflects:*

$$\begin{aligned}
& \text{REFLECTS } [\lambda x. \exists u[L]. u \in B \wedge \\
& \quad (\exists rpe[L]. \exists rqe[L]. \exists \text{andpq}[L]. \exists \text{notpq}[L]. \\
& \quad \text{fun_apply}(L, rp, u, rpe) \wedge \text{fun_apply}(L, rq, u, rqe) \wedge \\
& \quad \text{is_and}(L, rpe, rqe, \text{andpq}) \wedge \text{is_not}(L, \text{andpq}, \text{notpq}) \wedge \\
& \quad u \in \text{list}(A) \wedge \text{pair}(L, u, \text{notpq}, x)), \\
& \lambda i \ x. \exists u \in \text{Lset}(i). u \in B \wedge \\
& \quad (\exists rpe \in \text{Lset}(i). \exists rqe \in \text{Lset}(i). \exists \text{andpq} \in \text{Lset}(i). \exists \text{notpq} \in \text{Lset}(i). \\
& \quad \text{fun_apply}(\#\#\text{Lset}(i), rp, u, rpe) \wedge \text{fun_apply}(\#\#\text{Lset}(i), rq, u, rqe) \wedge \\
& \quad \text{is_and}(\#\#\text{Lset}(i), rpe, rqe, \text{andpq}) \wedge \text{is_not}(\#\#\text{Lset}(i), \text{andpq}, \text{notpq}) \wedge \\
& \quad u \in \text{list}(A) \wedge \text{pair}(\#\#\text{Lset}(i), u, \text{notpq}, x))]
\end{aligned}$$
 $\langle \text{proof} \rangle$

lemma *Nand_replacement:*

$$\begin{aligned}
& [[L(A); L(rp); L(rq)]] \\
& ==> \text{strong_replacement} \\
& (L, \lambda \text{env} \ z. \exists rpe[L]. \exists rqe[L]. \exists \text{andpq}[L]. \exists \text{notpq}[L]. \\
& \quad \text{fun_apply}(L, rp, \text{env}, rpe) \ \& \ \text{fun_apply}(L, rq, \text{env}, rqe) \ \& \\
& \quad \text{is_and}(L, rpe, rqe, \text{andpq}) \ \& \ \text{is_not}(L, \text{andpq}, \text{notpq}) \ \& \\
& \quad \text{env} \in \text{list}(A) \ \& \ \text{pair}(L, \text{env}, \text{notpq}, z))
\end{aligned}$$
 $\langle \text{proof} \rangle$

9.4.4 The Forall Case

lemma *Forall_Reflects:*

$$\begin{aligned}
& \text{REFLECTS } [\lambda x. \exists u[L]. u \in B \wedge (\exists bo[L]. u \in \text{list}(A) \wedge \\
& \quad \text{is_bool_of_o}(L, \\
& \quad \forall a[L]. \forall co[L]. \forall rpeco[L]. a \in A \longrightarrow \\
& \quad \text{is_Cons}(L, a, u, co) \longrightarrow \text{fun_apply}(L, rp, co, rpeco) \longrightarrow \\
& \quad \text{number1}(L, rpeco),
\end{aligned}$$

$$\begin{aligned}
& bo) \wedge pair(L, u, bo, x)), \\
\lambda i \ x. \exists u \in Lset(i). \ u \in B \wedge (\exists bo \in Lset(i). \ u \in list(A) \wedge \\
& is_bool_of_o(\#\#Lset(i), \\
\forall a \in Lset(i). \ \forall co \in Lset(i). \ \forall rpco \in Lset(i). \ a \in A \longrightarrow \\
& is_Cons(\#\#Lset(i), a, u, co) \longrightarrow fun_apply(\#\#Lset(i), rp, co, rpco) \longrightarrow \\
& number1(\#\#Lset(i), rpco), \\
& bo) \wedge pair(\#\#Lset(i), u, bo, x))] \\
\langle proof \rangle
\end{aligned}$$

lemma *Forall_replacement:*

$$\begin{aligned}
& [[L(A); L(rp)]] \\
& ==> strong_replacement \\
& (L, \lambda env \ z. \exists bo[L]. \\
& \quad env \in list(A) \ \& \\
& \quad is_bool_of_o(L, \\
& \quad \quad \forall a[L]. \ \forall co[L]. \ \forall rpco[L]. \\
& \quad \quad \quad a \in A \longrightarrow is_Cons(L, a, env, co) \longrightarrow \\
& \quad \quad \quad fun_apply(L, rp, co, rpco) \longrightarrow number1(L, rpco), \\
& \quad \quad bo) \ \& \\
& \quad pair(L, env, bo, z)) \\
\langle proof \rangle
\end{aligned}$$

9.4.5 The *transrec_replacement* Case

lemma *formula_rec_replacement_Reflects:*

$$\begin{aligned}
& REFLECTS [\lambda x. \exists u[L]. \ u \in B \wedge (\exists y[L]. \ pair(L, u, y, x) \wedge \\
& \quad is_wfrec(L, satisfies_MH(L, A), mesa, u, y)), \\
& \lambda i \ x. \exists u \in Lset(i). \ u \in B \wedge (\exists y \in Lset(i). \ pair(\#\#Lset(i), u, y, x) \wedge \\
& \quad is_wfrec(\#\#Lset(i), satisfies_MH(\#\#Lset(i), A), mesa, u, y))] \\
\langle proof \rangle
\end{aligned}$$

lemma *formula_rec_replacement:*

$$\begin{aligned}
& \text{— For the } transrec \\
& [[n \in nat; L(A)]] ==> transrec_replacement(L, satisfies_MH(L, A), n) \\
\langle proof \rangle
\end{aligned}$$

9.4.6 The *Lambda Replacement* Case

lemma *formula_rec_lambda_replacement_Reflects:*

$$\begin{aligned}
& REFLECTS [\lambda x. \exists u[L]. \ u \in B \ \& \\
& \quad mem_formula(L, u) \ \& \\
& \quad (\exists c[L]. \\
& \quad \quad is_formula_case \\
& \quad \quad (L, satisfies_is_a(L, A), satisfies_is_b(L, A), \\
& \quad \quad \quad satisfies_is_c(L, A, g), satisfies_is_d(L, A, g), \\
& \quad \quad \quad u, c) \ \& \\
& \quad \quad pair(L, u, c, x)), \\
& \lambda i \ x. \exists u \in Lset(i). \ u \in B \ \& mem_formula(\#\#Lset(i), u) \ \& \\
& \quad (\exists c \in Lset(i). \\
& \quad \quad is_formula_case
\end{aligned}$$

```

      (##Lset(i), satisfies_is_a(##Lset(i),A), satisfies_is_b(##Lset(i),A),
       satisfies_is_c(##Lset(i),A,g), satisfies_is_d(##Lset(i),A,g),
       u, c) &
      pair(##Lset(i),u,c,x))
⟨proof⟩

```

```

lemma formula_rec_lambda_replacement:
  — For the transrec
  [|L(g); L(A)|] ==>
  strong_replacement (L,
    λx y. mem_formula(L,x) &
      (∃ c[L]. is_formula_case(L, satisfies_is_a(L,A),
        satisfies_is_b(L,A),
        satisfies_is_c(L,A,g),
        satisfies_is_d(L,A,g), x, c) &
        pair(L, x, c, y)))
⟨proof⟩

```

9.5 Instantiating $M_satisfies$

```

lemma M_satisfies_axioms_L: M_satisfies_axioms(L)
⟨proof⟩

```

```

theorem M_satisfies_L: M_satisfies(L)
⟨proof⟩

```

Finally: the point of the whole theory!

```

lemmas satisfies_closed = M_satisfies.satisfies_closed [OF M_satisfies_L]
  and satisfies_abs = M_satisfies.satisfies_abs [OF M_satisfies_L]

```

end

10 Absoluteness for the Definable Powerset Function

```

theory DPow_absolute imports Satisfies_absolute begin

```

10.1 Preliminary Internalizations

10.1.1 The Operator $is_formula_rec$

The three arguments of p are always 2, 1, 0. It is buried within 11 quantifiers!!

```

definition
  formula_rec_fm :: [i, i, i] => i where
  formula_rec_fm(mh,p,z) ==
    Exists(Exists(Exists(
      And(finite_ordinal_fm(2),

```

$And(depth_fm(p\# + 3, 2),$
 $And(succ_fm(2, 1),$
 $And(fun_apply_fm(0, p\# + 3, z\# + 3), is_transrec_fm(mh, 1, 0))))))$

lemma *is_formula_rec_type* [TC]:
 $[| p \in formula; x \in nat; z \in nat |]$
 $\implies formula_rec_fm(p, x, z) \in formula$
 <proof>

lemma *sats_formula_rec_fm*:
assumes *MH_iff_sats*:
 $!!a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7\ a8\ a9\ a10.$
 $[| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A; a5 \in A; a6 \in A; a7 \in A; a8 \in A; a9 \in A;$
 $a10 \in A |]$
 $\implies MH(a2, a1, a0) \longleftrightarrow$
 $sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,$
 $Cons(a4, Cons(a5, Cons(a6, Cons(a7,$
 $Cons(a8, Cons(a9, Cons(a10, env))))))))))$
shows
 $[| x \in nat; z \in nat; env \in list(A) |]$
 $\implies sats(A, formula_rec_fm(p, x, z), env) \longleftrightarrow$
 $is_formula_rec(\#\#A, MH, nth(x, env), nth(z, env))$
 <proof>

lemma *formula_rec_iff_sats*:
assumes *MH_iff_sats*:
 $!!a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7\ a8\ a9\ a10.$
 $[| a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A; a5 \in A; a6 \in A; a7 \in A; a8 \in A; a9 \in A;$
 $a10 \in A |]$
 $\implies MH(a2, a1, a0) \longleftrightarrow$
 $sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3,$
 $Cons(a4, Cons(a5, Cons(a6, Cons(a7,$
 $Cons(a8, Cons(a9, Cons(a10, env))))))))))$
shows
 $[| nth(i, env) = x; nth(k, env) = z;$
 $i \in nat; k \in nat; env \in list(A) |]$
 $\implies is_formula_rec(\#\#A, MH, x, z) \longleftrightarrow sats(A, formula_rec_fm(p, i, k),$
 $env)$
 <proof>

theorem *formula_rec_reflection*:
assumes *MH_reflection*:
 $!!f'\ f\ g\ h. REFLECTS[\lambda x. MH(L, f'(x), f(x), g(x), h(x)),$
 $\lambda i\ x. MH(\#\#Lset(i), f'(x), f(x), g(x), h(x))]$
shows *REFLECTS* $[\lambda x. is_formula_rec(L, MH(L, x), f(x), h(x)),$
 $\lambda i\ x. is_formula_rec(\#\#Lset(i), MH(\#\#Lset(i), x), f(x), h(x))]$
 <proof>

10.1.2 The Operator $is_satisfies$

definition

$satisfies_fm :: [i,i,i] \Rightarrow i$ **where**
 $satisfies_fm(x) == formula_rec_fm (satisfies_MH_fm(x\# + 5\# + 6, 2, 1, 0))$

lemma $is_satisfies_type$ $[TC]$:

$[| x \in nat; y \in nat; z \in nat |] \Rightarrow satisfies_fm(x,y,z) \in formula$
 $\langle proof \rangle$

lemma $sats_satisfies_fm$ $[simp]$:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, satisfies_fm(x,y,z), env) \longleftrightarrow$
 $is_satisfies(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
 $\langle proof \rangle$

lemma $satisfies_iff_sats$:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $\Rightarrow is_satisfies(\#\#A, x, y, z) \longleftrightarrow sats(A, satisfies_fm(i,j,k), env)$
 $\langle proof \rangle$

theorem $satisfies_reflection$:

$REFLECTS[\lambda x. is_satisfies(L,f(x),g(x),h(x)),$
 $\lambda i x. is_satisfies(\#\#Lset(i),f(x),g(x),h(x))]$
 $\langle proof \rangle$

10.2 Relativization of the Operator $DPow'$

lemma $DPow'_eq$:

$DPow'(A) = \{z . ep \in list(A) * formula,$
 $\exists env \in list(A). \exists p \in formula.$
 $ep = \langle env, p \rangle \ \& \ z = \{x \in A. sats(A, p, Cons(x,env))\}\}$
 $\langle proof \rangle$

Relativize the use of $\lambda A p env. sats(A, p, env)$ within $DPow'$ (the comprehension).

definition

$is_DPow_sats :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_DPow_sats(M,A,env,p,x) ==$
 $\forall n1[M]. \forall e[M]. \forall sp[M].$
 $is_satisfies(M,A,p,sp) \longrightarrow is_Cons(M,x,env,e) \longrightarrow$
 $fun_apply(M, sp, e, n1) \longrightarrow number1(M, n1)$

lemma $(in\ M_satisfies)\ DPow_sats_abs$:

$[| M(A); env \in list(A); p \in formula; M(x) |]$
 $\Rightarrow is_DPow_sats(M,A,env,p,x) \longleftrightarrow sats(A, p, Cons(x,env))$
 $\langle proof \rangle$

lemma $(in\ M_satisfies)\ Collect_DPow_sats_abs$:

$$\begin{aligned} & \llbracket M(A); env \in list(A); p \in formula \rrbracket \\ & \implies Collect(A, is_DPow_sats(M, A, env, p)) = \\ & \quad \{x \in A. sats(A, p, Cons(x, env))\} \\ & \langle proof \rangle \end{aligned}$$

10.2.1 The Operator *is_DPow_sats*, Internalized

definition

$$\begin{aligned} DPow_sats_fm &:: [i,i,i,i] => i \text{ where} \\ DPow_sats_fm(A,env,p,x) &== \\ Forall(Forall(Forall(& \\ \quad Implies(satisfies_fm(A\# + 3, p\# + 3, 0), & \\ \quad Implies(Cons_fm(x\# + 3, env\# + 3, 1), & \\ \quad Implies(fun_apply_fm(0, 1, 2), number1_fm(2)))))) & \end{aligned}$$

lemma *is_DPow_sats_type* [TC]:

$$\begin{aligned} & [[A \in nat; x \in nat; y \in nat; z \in nat]] \\ & ==> DPow_sats_fm(A,x,y,z) \in formula \\ & \langle proof \rangle \end{aligned}$$

```
lemma sats_DPow_sats_fm [simp]:
```

$$\begin{aligned} & [[u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{sats}(A, \text{DPow_sats_fm}(u, x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{is_DPow_sats}(\#\#A, \text{nth}(u, \text{env}), \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *DPow_sats_iff_sats*:

$$\begin{aligned} & [[\text{nth}(u, \text{env}) = nu; \text{nth}(x, \text{env}) = nx; \text{nth}(y, \text{env}) = ny; \text{nth}(z, \text{env}) = nz; \\ & \quad u \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]] \\ & \implies \text{is_DPow_sats}(\#\#A, nu, nx, ny, nz) \longleftrightarrow \\ & \quad \text{sats}(A, \text{DPow_sats_fm}(u, x, y, z), \text{env}) \\ & \langle \text{proof} \rangle \end{aligned}$$

theorem *DPow_sats_reflection*:

$$\begin{aligned} & REFLECTS[\lambda x. is_DPow_sats(L, f(x), g(x), h(x), g'(x)), \\ & \quad \lambda i x. is_DPow_sats(\#\#Lset(i), f(x), g(x), h(x), g'(x))] \\ & \langle proof \rangle \end{aligned}$$

10.3 A Locale for Relativizing the Operator $DPow'$

$$\text{locale } M_DPow = M_satisfies +$$
assumes *sep*:
$$\begin{aligned} & [\mid M(A); env \in list(A); p \in formula \mid] \\ & \implies separation(M, \lambda x. is_DPow_sats(M, A, env, p, x)) \end{aligned}$$

and *rep*:

$$\begin{aligned} & M(A) \\ \Rightarrow & \text{strong_replacement } (M, \\ & \lambda ep \ z. \exists env[M]. \exists p[M]. \text{mem_formula}(M,p) \ \& \ \text{mem_list}(M,A,env) \ \& \\ & \text{pair}(M,env,p,ep) \ \& \\ & \text{is_Collect}(M, A, \lambda x. \text{is_DPow_sats}(M,A,env,p,x), z)) \end{aligned}$$

lemma (in M_DPow) *sep'*:

$$[| M(A); env \in list(A); p \in formula |]$$

$$\implies separation(M, \lambda x. sats(A, p, Cons(x, env)))$$
 $\langle proof \rangle$

lemma (in M_DPow) *rep'*:

$$M(A)$$

$$\implies strong_replacement (M,$$

$$\lambda ep z. \exists env \in list(A). \exists p \in formula.$$

$$ep = \langle env, p \rangle \ \& \ z = \{x \in A . sats(A, p, Cons(x, env))\})$$
 $\langle proof \rangle$

lemma *univalent_pair_eq*:

$$univalent (M, A, \lambda xy z. \exists x \in B. \exists y \in C. xy = \langle x, y \rangle \wedge z = f(x, y))$$
 $\langle proof \rangle$

lemma (in M_DPow) *DPow'_closed*: $M(A) \implies M(DPow'(A))$
 $\langle proof \rangle$

Relativization of the Operator $DPow'$

definition

$$is_DPow' :: [i=>o, i, i] => o \text{ where}$$

$$is_DPow'(M, A, Z) ==$$

$$\forall X[M]. X \in Z \longleftrightarrow$$

$$subset(M, X, A) \ \&$$

$$(\exists env[M]. \exists p[M]. mem_formula(M, p) \ \& \ mem_list(M, A, env) \ \&$$

$$is_Collect(M, A, is_DPow_sats(M, A, env, p), X))$$

lemma (in M_DPow) *DPow'_abs*:

$$[| M(A); M(Z) |] \implies is_DPow'(M, A, Z) \longleftrightarrow Z = DPow'(A)$$
 $\langle proof \rangle$

10.4 Instantiating the Locale M_DPow

10.4.1 The Instance of Separation

lemma *DPow_separation*:

$$[| L(A); env \in list(A); p \in formula |]$$

$$\implies separation(L, \lambda x. is_DPow_sats(L, A, env, p, x))$$
 $\langle proof \rangle$

10.4.2 The Instance of Replacement

lemma *DPow_replacement_Reflects*:

$$REFLECTS [\lambda x. \exists u[L]. u \in B \ \&$$

$$(\exists env[L]. \exists p[L].$$

$$mem_formula(L, p) \ \& \ mem_list(L, A, env) \ \& \ pair(L, env, p, u) \ \&$$

$$is_Collect (L, A, is_DPow_sats(L, A, env, p), x)),$$

$\lambda i x. \exists u \in Lset(i). u \in B \ \&$
 $(\exists env \in Lset(i). \exists p \in Lset(i).$
 $mem_formula(\#\#Lset(i),p) \ \& \ mem_list(\#\#Lset(i),A,env) \ \&$
 $pair(\#\#Lset(i),env,p,u) \ \&$
 $is_Collect(\#\#Lset(i), A, is_DPow_sats(\#\#Lset(i),A,env,p), x))]$
 $\langle proof \rangle$

lemma *DPow_replacement*:

$L(A)$
 $\implies strong_replacement(L,$
 $\lambda ep z. \exists env[L]. \exists p[L]. mem_formula(L,p) \ \& \ mem_list(L,A,env) \ \&$
 $pair(L,env,p,ep) \ \&$
 $is_Collect(L, A, \lambda x. is_DPow_sats(L,A,env,p,x), z))$
 $\langle proof \rangle$

10.4.3 Actually Instantiating the Locale

lemma *M_DPow_axioms_L*: $M_DPow_axioms(L)$
 $\langle proof \rangle$

theorem *M_DPow_L*: $M_DPow(L)$
 $\langle proof \rangle$

lemmas *DPow'_closed* [intro, simp] = $M_DPow.DPow'_closed [OF\ M_DPow_L]$
and *DPow'_abs* [intro, simp] = $M_DPow.DPow'_abs [OF\ M_DPow_L]$

10.4.4 The Operator *is_Collect*

The formula *is_P* has one free variable, 0, and it is enclosed within a single quantifier.

definition

$Collect_fm :: [i, i, i] \Rightarrow i$ **where**
 $Collect_fm(A, is_P, z) ==$
 $Forall(Iff(Member(0, succ(z)),$
 $And(Member(0, succ(A)), is_P)))$

lemma *is_Collect_type* [TC]:

$[| is_P \in formula; x \in nat; y \in nat |]$
 $\implies Collect_fm(x, is_P, y) \in formula$
 $\langle proof \rangle$

lemma *sats_Collect_fm*:

assumes *is_P_iff_sats*:

$!!a. a \in A \implies is_P(a) \longleftrightarrow sats(A, p, Cons(a, env))$

shows

$[| x \in nat; y \in nat; env \in list(A) |]$
 $\implies sats(A, Collect_fm(x, p, y), env) \longleftrightarrow$
 $is_Collect(\#\#A, nth(x, env), is_P, nth(y, env))$

$\langle proof \rangle$

lemma *Collect_iff_sats*:
assumes *is_P_iff_sats*:

$$!!a. a \in A ==> is_P(a) \longleftrightarrow sats(A, p, Cons(a, env))$$

shows

$$[[nth(i, env) = x; nth(j, env) = y;$$

$$i \in nat; j \in nat; env \in list(A)]]$$

$$==> is_Collect(\#\#A, x, is_P, y) \longleftrightarrow sats(A, Collect_fm(i, p, j), env)$$

 $\langle proof \rangle$

The second argument of *is_P* gives it direct access to *x*, which is essential for handling free variable references.

theorem *Collect_reflection*:
assumes *is_P_reflection*:

$$!!h f g. REFLECTS[\lambda x. is_P(L, f(x), g(x)),$$

$$\lambda i x. is_P(\#\#Lset(i), f(x), g(x))]$$

shows $REFLECTS[\lambda x. is_Collect(L, f(x), is_P(L, x), g(x)),$

$$\lambda i x. is_Collect(\#\#Lset(i), f(x), is_P(\#\#Lset(i), x), g(x))]$$

 $\langle proof \rangle$

10.4.5 The Operator *is_Replace*

BEWARE! The formula *is_P* has free variables 0, 1 and not the usual 1, 0! It is enclosed within two quantifiers.

definition
 $Replace_fm :: [i, i, i] => i$ **where**
 $Replace_fm(A, is_P, z) ==$
 $Forall(Iff(Member(0, succ(z)),$
 $Exists(And(Member(0, A\#\#2), is_P))))$

lemma *is_Replace_type* [TC]:

$$[[is_P \in formula; x \in nat; y \in nat]]$$

$$==> Replace_fm(x, is_P, y) \in formula$$

 $\langle proof \rangle$

lemma *sats_Replace_fm*:
assumes *is_P_iff_sats*:

$$!!a b. [[a \in A; b \in A]]$$

$$==> is_P(a, b) \longleftrightarrow sats(A, p, Cons(a, Cons(b, env)))$$

shows

$$[[x \in nat; y \in nat; env \in list(A)]]$$

$$==> sats(A, Replace_fm(x, p, y), env) \longleftrightarrow$$

$$is_Replace(\#\#A, nth(x, env), is_P, nth(y, env))$$

 $\langle proof \rangle$

lemma *Replace_iff_sats*:
assumes *is_P_iff_sats*:

$$!!a b. [[a \in A; b \in A]]$$

$$\implies is_P(a,b) \longleftrightarrow sats(A, p, Cons(a, Cons(b, env)))$$
shows

$$[| nth(i, env) = x; nth(j, env) = y;$$

$$i \in nat; j \in nat; env \in list(A) |]$$

$$\implies is_Replace(\#\#A, x, is_P, y) \longleftrightarrow sats(A, Replace_fm(i,p,j), env)$$

$$\langle proof \rangle$$

The second argument of is_P gives it direct access to x , which is essential for handling free variable references.

theorem *Replace_reflection*:

assumes $is_P_reflection$:

$$!!h f g. REFLECTS[\lambda x. is_P(L, f(x), g(x), h(x)),$$

$$\lambda i x. is_P(\#\#Lset(i), f(x), g(x), h(x))]$$
shows $REFLECTS[\lambda x. is_Replace(L, f(x), is_P(L,x), g(x)),$

$$\lambda i x. is_Replace(\#\#Lset(i), f(x), is_P(\#\#Lset(i), x), g(x))]$$

$$\langle proof \rangle$$

10.4.6 The Operator is_DPow' , Internalized

definition

$$DPow'_fm :: [i,i] \Rightarrow i \text{ where}$$

$$DPow'_fm(A,Z) ==$$

$$Forall($$

$$Iff(Member(0, succ(Z)),$$

$$And(subset_fm(0, succ(A)),$$

$$Exists(Exists($$

$$And(mem_formula_fm(0),$$

$$And(mem_list_fm(A\#+3, 1),$$

$$Collect_fm(A\#+3,$$

$$DPow_sats_fm(A\#+4, 2, 1, 0), 2))))))$$

lemma $is_DPow'_type [TC]$:

$$[| x \in nat; y \in nat |] \implies DPow'_fm(x,y) \in formula$$

$$\langle proof \rangle$$

lemma $sats_DPow'_fm [simp]$:

$$[| x \in nat; y \in nat; env \in list(A) |]$$

$$\implies sats(A, DPow'_fm(x,y), env) \longleftrightarrow$$

$$is_DPow'(\#\#A, nth(x, env), nth(y, env))$$

$$\langle proof \rangle$$

lemma $DPow'_iff_sats$:

$$[| nth(i, env) = x; nth(j, env) = y;$$

$$i \in nat; j \in nat; env \in list(A) |]$$

$$\implies is_DPow'(\#\#A, x, y) \longleftrightarrow sats(A, DPow'_fm(i,j), env)$$

$$\langle proof \rangle$$

theorem $DPow'_reflection$:

$$REFLECTS[\lambda x. is_DPow'(L, f(x), g(x)),$$

$\lambda i x. is_DPow'(\#\#Lset(i),f(x),g(x))]$
 $\langle proof \rangle$

10.5 A Locale for Relativizing the Operator $Lset$

definition

$transrec_body :: [i=>o,i,i,i,i] => o$ **where**
 $transrec_body(M,g,x) ==$
 $\lambda y z. \exists gy[M]. y \in x \ \& \ fun_apply(M,g,y,gy) \ \& \ is_DPow'(M,gy,z)$

lemma (in M_DPow) $transrec_body_abs$:

$[|M(x); M(g); M(z)|]$
 $==> transrec_body(M,g,x,y,z) \longleftrightarrow y \in x \ \& \ z = DPow'(g'y)$
 $\langle proof \rangle$

locale $M_Lset = M_DPow +$

assumes $strong_rep$:

$[|M(x); M(g)|] ==> strong_replacement(M, \lambda y z. transrec_body(M,g,x,y,z))$

and $transrec_rep$:

$M(i) ==> transrec_replacement(M, \lambda x f u.$
 $\exists r[M]. is_Replace(M, x, transrec_body(M,f,x), r) \ \&$
 $big_union(M, r, u), i)$

lemma (in M_Lset) $strong_rep'$:

$[|M(x); M(g)|]$
 $==> strong_replacement(M, \lambda y z. y \in x \ \& \ z = DPow'(g'y))$
 $\langle proof \rangle$

lemma (in M_Lset) $DPow_apply_closed$:

$[|M(f); M(x); y \in x|] ==> M(DPow'(f'y))$
 $\langle proof \rangle$

lemma (in M_Lset) $RepFun_DPow_apply_closed$:

$[|M(f); M(x)|] ==> M(\{DPow'(f'y). y \in x\})$
 $\langle proof \rangle$

lemma (in M_Lset) $RepFun_DPow_abs$:

$[|M(x); M(f); M(r)|]$
 $==> is_Replace(M, x, \lambda y z. transrec_body(M,f,x,y,z), r) \longleftrightarrow$
 $r = \{DPow'(f'y). y \in x\}$
 $\langle proof \rangle$

lemma (in M_Lset) $transrec_rep'$:

$M(i) ==> transrec_replacement(M, \lambda x f u. u = (\bigcup_{y \in x} DPow'(f'y)), i)$
 $\langle proof \rangle$

Relativization of the Operator $Lset$

definition

$is_Lset :: [i=>o, i, i] ==> o$ **where**
 — We can use the term language below because is_Lset will not have to be internalized: it isn't used in any instance of separation.
 $is_Lset(M,a,z) == is_transrec(M, \%x f u. u = (\bigcup y \in x. DPow'(f'y)), a, z)$

lemma (in M_Lset) $Lset_abs$:
 $[| Ord(i); M(i); M(z) |]$
 $==> is_Lset(M,i,z) \longleftrightarrow z = Lset(i)$
 $\langle proof \rangle$

lemma (in M_Lset) $Lset_closed$:
 $[| Ord(i); M(i) |] ==> M(Lset(i))$
 $\langle proof \rangle$

10.6 Instantiating the Locale M_Lset

10.6.1 The First Instance of Replacement

lemma $strong_rep_Reflects$:
 $REFLECTS [\lambda u. \exists v[L]. v \in B \ \& \ (\exists gy[L].$
 $v \in x \ \& \ fun_apply(L,g,v,gy) \ \& \ is_DPow'(L,gy,u)),$
 $\lambda i u. \exists v \in Lset(i). v \in B \ \& \ (\exists gy \in Lset(i).$
 $v \in x \ \& \ fun_apply(\#\#Lset(i),g,v,gy) \ \& \ is_DPow'(\#\#Lset(i),gy,u))]$
 $\langle proof \rangle$

lemma $strong_rep$:
 $[| L(x); L(g) |] ==> strong_replacement(L, \lambda y z. transrec_body(L,g,x,y,z))$
 $\langle proof \rangle$

10.6.2 The Second Instance of Replacement

lemma $transrec_rep_Reflects$:
 $REFLECTS [\lambda x. \exists v[L]. v \in B \ \&$
 $(\exists y[L]. pair(L,v,y,x) \ \&$
 $is_wfrec (L, \lambda x f u. \exists r[L].$
 $is_Replace (L, x, \lambda y z.$
 $\exists gy[L]. y \in x \ \& \ fun_apply(L,f,y,gy) \ \&$
 $is_DPow'(L,gy,z), r) \ \& \ big_union(L,r,u), mr, v, y)),$
 $\lambda i x. \exists v \in Lset(i). v \in B \ \&$
 $(\exists y \in Lset(i). pair(\#\#Lset(i),v,y,x) \ \&$
 $is_wfrec (\#\#Lset(i), \lambda x f u. \exists r \in Lset(i).$
 $is_Replace (\#\#Lset(i), x, \lambda y z.$
 $\exists gy \in Lset(i). y \in x \ \& \ fun_apply(\#\#Lset(i),f,y,gy) \ \&$
 $is_DPow'(\#\#Lset(i),gy,z), r) \ \&$
 $big_union(\#\#Lset(i),r,u), mr, v, y))]$
 $\langle proof \rangle$

lemma $transrec_rep$:
 $[| L(j) |]$

```

==> transrec_replacement(L,  $\lambda x f u.$ 
       $\exists r[L]. \text{is\_Replace}(L, x, \text{transrec\_body}(L, f, x), r) \ \&$ 
       $\text{big\_union}(L, r, u), j)$ 
<proof>

```

10.6.3 Actually Instantiating M_Lset

```

lemma  $M\_Lset\_axioms\_L: M\_Lset\_axioms(L)$ 
<proof>

```

```

theorem  $M\_Lset\_L: M\_Lset(L)$ 
<proof>

```

Finally: the point of the whole theory!

```

lemmas  $Lset\_closed = M\_Lset.Lset\_closed \ [OF\ M\_Lset\_L]$ 
and  $Lset\_abs = M\_Lset.Lset\_abs \ [OF\ M\_Lset\_L]$ 

```

10.7 The Notion of Constructible Set

definition

```

constructible ::  $[i=>o, i] => o$  where
  constructible( $M, x$ ) ==
     $\exists i[M]. \exists Li[M]. \text{ordinal}(M, i) \ \& \ \text{is\_Lset}(M, i, Li) \ \& \ x \in Li$ 

```

```

theorem  $V\_equals\_L\_in\_L:$ 
   $L(x) \longleftrightarrow \text{constructible}(L, x)$ 
<proof>

```

end

11 Automatic synthesis of formulas

theory *Synthetic_Definition*

imports

Utils

keywords

synthesize :: *thy_decl* % *ML*

and

synthesize_notc :: *thy_decl* % *ML*

and

generate_schematic :: *thy_decl* % *ML*

and

arity_theorem :: *thy_decl* % *ML*

and

manual_schematic :: *thy_goal_stmt* % *ML*

and

manual_arity :: *thy_goal_stmt* % *ML*

and

from_schematic

```

    and
    for
    and
    from_definition
    and
    assuming
    and
    intermediate

begin

named_theorems fn_definitions Definitions of synthesized formulas.

named_theorems iff_sats Theorems for synthesising formulas.

named_theorems arity Theorems for arity of formulas.

named_theorems arity_aux Auxiliary theorems for calculating arities.

⟨ML⟩

The synthetic_def function extracts definitions from schematic goals. A
new definition is added to the context.

end

```

12 Aids to internalize formulas

```

theory Internalizations
  imports
    DPow_absolute
    Synthetic_Definition
    Nat_Miscellanea
begin

hide_const (open) Order.pred

definition
  infinity_ax :: (i ⇒ o) ⇒ o where
  infinity_ax(M) ≡
    (∃ I[M]. (∃ z[M]. empty(M,z) ∧ z∈I) ∧ (∀ y[M]. y∈I ⟶ (∃ sy[M]. succes-
sor(M,y,sy) ∧ sy∈I)))

definition
  wellfounded_trancl :: [i=>o,i,i,i] => o where
  wellfounded_trancl(M,Z,r,p) ≡
    ∃ w[M]. ∃ wx[M]. ∃ rp[M].
      w ∈ Z & pair(M,w,p,wx) & tran_closure(M,r,rp) & wx ∈ rp

lemma empty_intf :

```

infinity_ax(*M*) $\implies$
 $(\exists z[M]. \text{empty}(M, z))$
<proof>

lemma *Transset_intf* :
 $\text{Transset}(M) \implies y \in x \implies x \in M \implies y \in M$
<proof>

definition
choice_ax :: $(i \Rightarrow o) \Rightarrow o$ **where**
choice_ax(*M*) $\equiv \forall x[M]. \exists a[M]. \exists f[M]. \text{ordinal}(M, a) \wedge \text{surjection}(M, a, x, f)$

lemma (**in** *M_basic*) *choice_ax_abs* :
choice_ax(*M*) $\longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. \text{Ord}(a) \wedge f \in \text{surj}(a, x))$
<proof>

Setting up notation for internalized formulas

abbreviation
dec10 :: $i \ (\langle 10 \rangle)$ **where** $10 \equiv \text{succ}(9)$
abbreviation
dec11 :: $i \ (\langle 11 \rangle)$ **where** $11 \equiv \text{succ}(10)$
abbreviation
dec12 :: $i \ (\langle 12 \rangle)$ **where** $12 \equiv \text{succ}(11)$
abbreviation
dec13 :: $i \ (\langle 13 \rangle)$ **where** $13 \equiv \text{succ}(12)$
abbreviation
dec14 :: $i \ (\langle 14 \rangle)$ **where** $14 \equiv \text{succ}(13)$
abbreviation
dec15 :: $i \ (\langle 15 \rangle)$ **where** $15 \equiv \text{succ}(14)$
abbreviation
dec16 :: $i \ (\langle 16 \rangle)$ **where** $16 \equiv \text{succ}(15)$
abbreviation
dec17 :: $i \ (\langle 17 \rangle)$ **where** $17 \equiv \text{succ}(16)$
abbreviation
dec18 :: $i \ (\langle 18 \rangle)$ **where** $18 \equiv \text{succ}(17)$
abbreviation
dec19 :: $i \ (\langle 19 \rangle)$ **where** $19 \equiv \text{succ}(18)$
abbreviation
dec20 :: $i \ (\langle 20 \rangle)$ **where** $20 \equiv \text{succ}(19)$
abbreviation
dec21 :: $i \ (\langle 21 \rangle)$ **where** $21 \equiv \text{succ}(20)$
abbreviation
dec22 :: $i \ (\langle 22 \rangle)$ **where** $22 \equiv \text{succ}(21)$
abbreviation
dec23 :: $i \ (\langle 23 \rangle)$ **where** $23 \equiv \text{succ}(22)$
abbreviation
dec24 :: $i \ (\langle 24 \rangle)$ **where** $24 \equiv \text{succ}(23)$
abbreviation
dec25 :: $i \ (\langle 25 \rangle)$ **where** $25 \equiv \text{succ}(24)$

abbreviation

dec26 :: *i* ($\langle 26 \rangle$) **where** $26 \equiv \text{succ}(25)$

abbreviation

dec27 :: *i* ($\langle 27 \rangle$) **where** $27 \equiv \text{succ}(26)$

abbreviation

dec28 :: *i* ($\langle 28 \rangle$) **where** $28 \equiv \text{succ}(27)$

abbreviation

dec29 :: *i* ($\langle 29 \rangle$) **where** $29 \equiv \text{succ}(28)$

notation *Member* ($\langle \cdot \in / _ \cdot \rangle$)

notation *Equal* ($\langle \cdot = / _ \cdot \rangle$)

notation *Nand* ($\langle \cdot \neg'(_ \wedge / _ \cdot) \cdot \rangle$)

notation *And* ($\langle \cdot \wedge / _ \cdot \rangle$)

notation *Or* ($\langle \cdot \vee / _ \cdot \rangle$)

notation *Iff* ($\langle \cdot \leftrightarrow / _ \cdot \rangle$)

notation *Implies* ($\langle \cdot \rightarrow / _ \cdot \rangle$)

notation *Neg* ($\langle \cdot \neg \cdot \rangle$)

notation *Forall* ($\langle \cdot (\forall (/ _) \cdot) \cdot \rangle$)

notation *Exists* ($\langle \cdot (\exists (/ _) \cdot) \cdot \rangle$)

notation *subset_fm* ($\langle \cdot \subseteq / _ \cdot \rangle$)

notation *succ_fm* ($\langle \cdot \text{succ}'(_) \text{ is } _ \cdot \rangle$)

notation *empty_fm* ($\langle \cdot \text{ is empty } \cdot \rangle$)

notation *fun_apply_fm* ($\langle \cdot _ ' \text{ is } _ \cdot \rangle$)

notation *big_union_fm* ($\langle \cdot \bigcup _ \text{ is } _ \cdot \rangle$)

notation *upair_fm* ($\langle \cdot \{ _, _ \} \text{ is } _ \cdot \rangle$)

notation *ordinal_fm* ($\langle \cdot \text{ is ordinal } \cdot \rangle$)

notation *pair_fm* ($\langle \cdot \langle _, _ \rangle \text{ is } _ \cdot \rangle$)

notation *composition_fm* ($\langle \cdot \circ _ \text{ is } _ \cdot \rangle$)

notation *domain_fm* ($\langle \cdot \text{dom}'(_) \text{ is } _ \cdot \rangle$)

notation *range_fm* ($\langle \cdot \text{ran}'(_) \text{ is } _ \cdot \rangle$)

notation *union_fm* ($\langle \cdot \cup _ \text{ is } _ \cdot \rangle$)

notation *image_fm* ($\langle \cdot \text{ `` } _ \text{ is } _ \cdot \rangle$)

notation *pre_image_fm* ($\langle \cdot \text{ - `` } _ \text{ is } _ \cdot \rangle$)

notation *field_fm* ($\langle \cdot \text{fld}'(_) \text{ is } _ \cdot \rangle$)

notation *cons_fm* ($\langle \cdot \text{cons}'(_, _) \text{ is } _ \cdot \rangle$)

notation *number1_fm* ($\langle \cdot \text{ is the number one } \cdot \rangle$)

notation *function_fm* ($\langle \cdot \text{ is funct } \cdot \rangle$)

notation *relation_fm* ($\langle \cdot \text{ is relat } \cdot \rangle$)

notation *restriction_fm* ($\langle \cdot \upharpoonright _ \text{ is } _ \cdot \rangle$)

notation *transset_fm* ($\langle \cdot \text{ is transitive } \cdot \rangle$)

notation *limit_ordinal_fm* ($\langle \cdot \text{ is limit } \cdot \rangle$)

notation *finite_ordinal_fm* ($\langle \cdot \text{ is finite ord } \cdot \rangle$)

notation *omega_fm* ($\langle \cdot \text{ is } \omega \cdot \rangle$)

notation *cartprod_fm* ($\langle \cdot \times _ \text{ is } _ \cdot \rangle$)

notation *Memrel_fm* ($\langle \cdot \text{Memrel}'(_) \text{ is } _ \cdot \rangle$)

notation *quasinat_fm* ($\langle \cdot \text{ is qnat } \cdot \rangle$)

notation Inl_fm ($\langle \cdot Inl'(_) is _ \rangle$)
notation Inr_fm ($\langle \cdot Inr'(_) is _ \rangle$)
notation $pred_set_fm$ ($\langle _ -predecessors of _ are _ \rangle$)

abbreviation

$fm_typedfun :: [i,i,i] \Rightarrow i (\langle _ : _ \rightarrow _ \rangle)$ **where**
 $fm_typedfun(f,A,B) \equiv typed_function_fm(A,B,f)$

abbreviation

$fm_surjection :: [i,i,i] \Rightarrow i (\langle _ surjects _ to _ \rangle)$ **where**
 $fm_surjection(f,A,B) \equiv surjection_fm(A,B,f)$

abbreviation

$fm_injection :: [i,i,i] \Rightarrow i (\langle _ injects _ to _ \rangle)$ **where**
 $fm_injection(f,A,B) \equiv injection_fm(A,B,f)$

abbreviation

$fm_bijection :: [i,i,i] \Rightarrow i (\langle _ bijects _ to _ \rangle)$ **where**
 $fm_bijection(f,A,B) \equiv bijection_fm(A,B,f)$

We found it useful to have slightly different versions of some results in ZF-Constructible:

lemma $nth_closed :$

assumes $env \in list(A)$ $0 \in A$
shows $nth(n,env) \in A$
 $\langle proof \rangle$

lemma $conj_setclass_model_iff_sats [iff_sats]:$

$\llbracket 0 \in A; nth(i,env) = x; env \in list(A);$
 $P \longleftrightarrow sats(A,p,env); env \in list(A) \rrbracket$
 $\implies (P \wedge (\#\#A)(x)) \longleftrightarrow sats(A, p, env)$
 $\llbracket 0 \in A; nth(i,env) = x; env \in list(A);$
 $P \longleftrightarrow sats(A,p,env); env \in list(A) \rrbracket$
 $\implies ((\#\#A)(x) \wedge P) \longleftrightarrow sats(A, p, env)$
 $\langle proof \rangle$

lemma $conj_mem_model_iff_sats [iff_sats]:$

$\llbracket 0 \in A; nth(i,env) = x; env \in list(A);$
 $P \longleftrightarrow sats(A,p,env); env \in list(A) \rrbracket$
 $\implies (P \wedge x \in A) \longleftrightarrow sats(A, p, env)$
 $\llbracket 0 \in A; nth(i,env) = x; env \in list(A);$
 $P \longleftrightarrow sats(A,p,env); env \in list(A) \rrbracket$
 $\implies (x \in A \wedge P) \longleftrightarrow sats(A, p, env)$
 $\langle proof \rangle$

lemma *mem_model_iff_sats* [*iff_sats*]:

$$[| 0 \in A; nth(i, env) = x; env \in list(A) |]$$

$$\implies (x \in A) \longleftrightarrow sats(A, Exists(Equal(0, 0)), env)$$
<proof>

lemma *subset_iff_sats* [*iff_sats*]:

$$nth(i, env) = x \implies nth(j, env) = y \implies i \in nat \implies j \in nat \implies$$

$$env \in list(A) \implies subset(\#\#A, x, y) \longleftrightarrow sats(A, subset_fm(i, j), env)$$
<proof>

lemma *not_mem_model_iff_sats* [*iff_sats*]:

$$[| 0 \in A; nth(i, env) = x; env \in list(A) |]$$

$$\implies (\forall x. x \notin A) \longleftrightarrow sats(A, Neg(Exists(Equal(0, 0))), env)$$
<proof>

lemma *top_iff_sats* [*iff_sats*]:

$$env \in list(A) \implies 0 \in A \implies sats(A, Exists(Equal(0, 0)), env)$$
<proof>

lemma *prefix1_iff_sats* [*iff_sats*]:
assumes

$$x \in nat \ env \in list(A) \ 0 \in A \ a \in A$$
shows

$$a = nth(x, env) \longleftrightarrow sats(A, Equal(0, x +_\omega 1), Cons(a, env))$$

$$nth(x, env) = a \longleftrightarrow sats(A, Equal(x +_\omega 1, 0), Cons(a, env))$$

$$a \in nth(x, env) \longleftrightarrow sats(A, Member(0, x +_\omega 1), Cons(a, env))$$

$$nth(x, env) \in a \longleftrightarrow sats(A, Member(x +_\omega 1, 0), Cons(a, env))$$
<proof>

lemma *prefix2_iff_sats* [*iff_sats*]:
assumes

$$x \in nat \ env \in list(A) \ 0 \in A \ a \in A \ b \in A$$
shows

$$b = nth(x, env) \longleftrightarrow sats(A, Equal(1, x +_\omega 2), Cons(a, Cons(b, env)))$$

$$nth(x, env) = b \longleftrightarrow sats(A, Equal(x +_\omega 2, 1), Cons(a, Cons(b, env)))$$

$$b \in nth(x, env) \longleftrightarrow sats(A, Member(1, x +_\omega 2), Cons(a, Cons(b, env)))$$

$$nth(x, env) \in b \longleftrightarrow sats(A, Member(x +_\omega 2, 1), Cons(a, Cons(b, env)))$$
<proof>

lemma *prefix3_iff_sats* [*iff_sats*]:
assumes

$$x \in nat \ env \in list(A) \ 0 \in A \ a \in A \ b \in A \ c \in A$$
shows

$$c = nth(x, env) \longleftrightarrow sats(A, Equal(2, x +_\omega 3), Cons(a, Cons(b, Cons(c, env))))$$

$$nth(x, env) = c \longleftrightarrow sats(A, Equal(x +_\omega 3, 2), Cons(a, Cons(b, Cons(c, env))))$$

$$c \in nth(x, env) \longleftrightarrow sats(A, Member(2, x +_\omega 3), Cons(a, Cons(b, Cons(c, env))))$$

$$nth(x, env) \in c \longleftrightarrow sats(A, Member(x +_\omega 3, 2), Cons(a, Cons(b, Cons(c, env))))$$
<proof>

lemmas *FOL_sats_iff* = *sats_Nand_iff sats_Forall_iff sats_Neg_iff sats_And_iff sats_Or_iff sats_Implies_iff sats_Iff_iff sats_Exists_iff*

lemma *nth_ConsI*: $\llbracket nth(n, l) = x; n \in nat \rrbracket \implies nth(succ(n), Cons(a, l)) = x$
<proof>

lemmas *nth_rules* = *nth_0 nth_ConsI nat_0I nat_succI*

lemmas *sep_rules* = *nth_0 nth_ConsI FOL_iff_sats function_iff_sats fun_plus_iff_sats successor_iff_sats omega_iff_sats FOL_sats_iff Replace_iff_sats*

Also a different compilation of lemmas (*termsep_rules*) used in formula synthesis

lemmas *fm_defs* =
omega_fm_def limit_ordinal_fm_def empty_fm_def typed_function_fm_def pair_fm_def upair_fm_def domain_fm_def function_fm_def succ_fm_def cons_fm_def fun_apply_fm_def image_fm_def big_union_fm_def union_fm_def relation_fm_def composition_fm_def field_fm_def ordinal_fm_def range_fm_def transset_fm_def subset_fm_def Replace_fm_def

lemmas *formulas_def [fm_definitions]* = *fm_defs*
is_iterates_fm_def iterates_MH_fm_def is_wfrec_fm_def is_recfun_fm_def is_transrec_fm_def
is_nat_case_fm_def quasinat_fm_def number1_fm_def ordinal_fm_def finite_ordinal_fm_def cartprod_fm_def sum_fm_def Inr_fm_def Inl_fm_def formula_functor_fm_def
Memrel_fm_def transset_fm_def subset_fm_def pre_image_fm_def restriction_fm_def
list_functor_fm_def tl_fm_def quaselist_fm_def Cons_fm_def Nil_fm_def

lemmas *sep_rules' [iff_sats]* = *nth_0 nth_ConsI FOL_iff_sats function_iff_sats fun_plus_iff_sats omega_iff_sats*

lemmas *more_iff_sats [iff_sats]* = *rtran_closure_iff_sats tran_closure_iff_sats is_eclose_iff_sats Inl_iff_sats Inr_iff_sats fun_apply_iff_sats cartprod_iff_sats Collect_iff_sats*

end

13 The binder *Least*

theory *Least*
imports
Internalizations

begin

We have some basic results on the least ordinal satisfying a predicate.

lemma *Least_Ord*: $(\mu \alpha. R(\alpha)) = (\mu \alpha. Ord(\alpha) \wedge R(\alpha))$

$\langle proof \rangle$

lemma *Ord_Least_cong*:

assumes $\bigwedge y. Ord(y) \implies R(y) \longleftrightarrow Q(y)$

shows $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$

$\langle proof \rangle$

definition

least :: $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$ **where**

least(*M*, *Q*, *i*) $\equiv$ *ordinal*(*M*, *i*) $\wedge$ (
 (*empty*(*M*, *i*) $\wedge$ ($\forall b[M]. \text{ordinal}(M, b) \longrightarrow \neg Q(b)$))
 $\vee$ (*Q*(*i*) $\wedge$ ($\forall b[M]. \text{ordinal}(M, b) \wedge b \in i \longrightarrow \neg Q(b)$)))

definition

least_fm :: $[i, i] \Rightarrow i$ **where**

least_fm(*q*, *i*) $\equiv$ *And*(*ordinal_fm*(*i*),
 Or(*And*(*empty_fm*(*i*), *Forall*(*Implies*(*ordinal_fm*(0), *Neg*(*q*)))),
 And(*Exists*(*And*(*q*, *Equal*(0, *succ*(*i*)))),
 Forall(*Implies*(*And*(*ordinal_fm*(0), *Member*(0, *succ*(*i*))), *Neg*(*q*))))))

lemma *least_fm_type*[*TC*] : $i \in \text{nat} \implies q \in \text{formula} \implies \text{least_fm}(q, i) \in \text{formula}$

$\langle proof \rangle$

lemmas *basic_fm_simps* = *sats_subset_fm'* *sats_transset_fm'* *sats_ordinal_fm'*

lemma *sats_least_fm* :

assumes *p_iff_sats*:

$\bigwedge a. a \in A \implies P(a) \longleftrightarrow \text{sats}(A, p, \text{Cons}(a, \text{env}))$

shows

$\llbracket y \in \text{nat}; \text{env} \in \text{list}(A) ; 0 \in A \rrbracket$
 $\implies \text{sats}(A, \text{least_fm}(p, y), \text{env}) \longleftrightarrow$
 least($\#\#A$, *P*, *nth*(*y*, *env*))

$\langle proof \rangle$

lemma *least_iff_sats* [*iff_sats*]:

assumes *is_Q_iff_sats*:

$\bigwedge a. a \in A \implies \text{is_Q}(a) \longleftrightarrow \text{sats}(A, q, \text{Cons}(a, \text{env}))$

shows

$\llbracket \text{nth}(j, \text{env}) = y; j \in \text{nat}; \text{env} \in \text{list}(A); 0 \in A \rrbracket$
 $\implies \text{least}(\#\#A, \text{is_Q}, y) \longleftrightarrow \text{sats}(A, \text{least_fm}(q, j), \text{env})$

$\langle proof \rangle$

lemma *least_conj*: $a \in M \implies \text{least}(\#\#M, \lambda x. x \in M \wedge Q(x), a) \longleftrightarrow \text{least}(\#\#M, Q, a)$

$\langle proof \rangle$

context *M_trivial*

begin

13.1 Uniqueness, absoluteness and closure under *Least*

lemma *unique_least*:

assumes $M(a) \ M(b) \ \text{least}(M, Q, a) \ \text{least}(M, Q, b)$

shows $a=b$

<proof>

lemma *least_abs*:

assumes $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y) \ M(a)$

shows $\text{least}(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$

<proof>

lemma *Least_closed*:

assumes $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y)$

shows $M(\mu x. Q(x))$

<proof>

Older, easier to apply versions (with a simpler assumption on Q).

lemma *least_abs'*:

assumes $\bigwedge x. Q(x) \implies M(x) \ M(a)$

shows $\text{least}(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$

<proof>

lemma *Least_closed'*:

assumes $\bigwedge x. Q(x) \implies M(x)$

shows $M(\mu x. Q(x))$

<proof>

end — *M_trivial*

end

14 Fully relational versions of higher order constructs

theory *Higher_Order_Constructs*

imports

Recursion_Thms

Least

begin

syntax

$_sats :: [i, i, i] \Rightarrow o \ (\langle (_, _ \models _) \rangle [36, 36, 36] \ 25)$

syntax_consts

$_sats \equiv sats$

translations

$(M, env \models \varphi) \equiv \text{CONST } sats(M, \varphi, env)$

definition

$is_If :: [i \Rightarrow o, o, i, i, i] \Rightarrow o$ **where**
 $is_If(M, b, t, f, r) \equiv (b \longrightarrow r=t) \wedge (\neg b \longrightarrow r=f)$

lemma (in M_trans) If_abs :
 $is_If(M, b, t, f, r) \longleftrightarrow r = If(b, t, f)$
 $\langle proof \rangle$

definition

$is_If_fm :: [i, i, i, i] \Rightarrow i$ **where**
 $is_If_fm(\varphi, t, f, r) \equiv Or(And(\varphi, Equal(t, r)), And(Neg(\varphi), Equal(f, r)))$

lemma $is_If_fm_type$ $[TC]$: $\varphi \in formula \Longrightarrow t \in nat \Longrightarrow f \in nat \Longrightarrow r \in nat$
 $\Longrightarrow$
 $is_If_fm(\varphi, t, f, r) \in formula$
 $\langle proof \rangle$

lemma $sats_is_If_fm$:
assumes $Qsats$: $Q \longleftrightarrow A, env \models \varphi \ env \in list(A)$
shows $is_If(\#\#A, Q, nth(t, env), nth(f, env), nth(r, env)) \longleftrightarrow A, env \models$
 $is_If_fm(\varphi, t, f, r)$
 $\langle proof \rangle$

lemma $is_If_fm_iff_sats$ $[iff_sats]$:
assumes $Qsats$: $Q \longleftrightarrow A, env \models \varphi$ **and**
 $nth(t, env) = ta \ nth(f, env) = fa \ nth(r, env) = ra$
 $t \in nat \ f \in nat \ r \in nat \ env \in list(A)$
shows $is_If(\#\#A, Q, ta, fa, ra) \longleftrightarrow A, env \models is_If_fm(\varphi, t, f, r)$
 $\langle proof \rangle$

lemma $arity_is_If_fm$ $[arity]$:
 $\varphi \in formula \Longrightarrow t \in nat \Longrightarrow f \in nat \Longrightarrow r \in nat \Longrightarrow$
 $arity(is_If_fm(\varphi, t, f, r)) = arity(\varphi) \cup succ(t) \cup succ(r) \cup succ(f)$
 $\langle proof \rangle$

definition

$is_The :: [i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$ **where**
 $is_The(M, Q, i) \equiv (Q(i) \wedge (\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \vee$
 $(\neg(\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \wedge empty(M, i)$

lemma (in M_trans) The_abs :
assumes $\bigwedge x. Q(x) \Longrightarrow M(x) \ M(a)$
shows $is_The(M, Q, a) \longleftrightarrow a = (THE\ x.\ Q(x))$
 $\langle proof \rangle$

definition

$is_recursor :: [i \Rightarrow o, i, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_recursor(M, a, is_b, k, r) \equiv is_transrec(M, \lambda n f ntc. is_nat_case(M, a,$
 $\lambda m bmfm.$
 $\exists fm[M]. fun_apply(M, f, m, fm) \wedge is_b(m, fm, bmfm), n, ntc), k, r)$

lemma (in M_eclose) $recursor_abs$:**assumes** $Ord(k)$ **and** $types: M(a) M(k) M(r)$ **and**
 $b_iff: \bigwedge m f bmfm. M(m) \Longrightarrow M(f) \Longrightarrow M(bmfm) \Longrightarrow is_b(m, f, bmfm) \longleftrightarrow bmfm$
 $= b(m, f)$ **and**
 $b_closed: \bigwedge m f bmfm. M(m) \Longrightarrow M(f) \Longrightarrow M(b(m, f))$ **and** $repl: transrec_replacement(M, \lambda n f ntc. is_nat_case(M, a,$ $\lambda m bmfm. \exists fm[M]. fun_apply(M, f, m, fm) \wedge is_b(m, fm, bmfm), n, ntc),$ $k)$ **shows** $is_recursor(M, a, is_b, k, r) \longleftrightarrow r = recursor(a, b, k)$ $\langle proof \rangle$ **definition**

$is_wfrec_on :: [i \Rightarrow o, [i, i, i] \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 $is_wfrec_on(M, MH, A, r, a, z) == is_wfrec(M, MH, r, a, z)$

lemma (in $M_transcl$) $trans_wfrec_on_abs$: $[wf(r); trans(r); relation(r); M(r); M(a); M(z);$ $wfrec_replacement(M, MH, r); relation2(M, MH, H);$ $\forall x[M]. \forall g[M]. function(g) \longrightarrow M(H(x, g));$ $r\text{-}\{\{a\} \subseteq A; a \in A\}$ $\Longrightarrow is_wfrec_on(M, MH, A, r, a, z) \longleftrightarrow z = wfrec[A](r, a, H)$ $\langle proof \rangle$ **end**

15 Automatic relativization of terms and formulas

Relativization of terms and formulas. Relativization of formulas shares relativized terms as far as possible; assuming that the witnesses for the relativized terms are always unique.

theory *Relativization***imports***Eclose_Absolute**Higher_Order_Constructs***keywords***relativize* :: *thy_decl* % *ML***and***relativize_tm* :: *thy_decl* % *ML***and***reldb_add* :: *thy_decl* % *ML*

```

and
  reldb_rem :: thy_decl % ML
and
  relationalize :: thy_decl % ML
and
  rel_closed :: thy_goal_stmt % ML
and
  is_iff_rel :: thy_goal_stmt % ML
and
  univalent :: thy_goal_stmt % ML
and
  absolute
and
  functional
and
  relational
and
  external
and
  for

```

begin

$\langle ML \rangle$

```

lemmas relative_abs =
  M_trans.empty_abs
  M_trans.pair_abs
  M_trivial.cartprod_abs
  M_trans.union_abs
  M_trans.inter_abs
  M_trans.setdiff_abs
  M_trans.Union_abs
  M_trivial.cons_abs

  M_trivial.successor_abs
  M_trans.Collect_abs
  M_trans.Replace_abs
  M_trivial.lambda_abs2
  M_trans.image_abs

  M_trivial.nat_case_abs

  M_trivial.omega_abs
  M_basic.sum_abs
  M_trivial.Inl_abs
  M_trivial.Inr_abs
  M_basic.converse_abs
  M_basic.vimage_abs

```

```

M_trans.domain_abs
M_trans.range_abs
M_basic.field_abs

M_basic.composition_abs
M_trans.restriction_abs
M_trans.Inter_abs
M_trivial.bool_of_o_abs
M_trivial.not_abs
M_trivial.and_abs
M_trivial.or_abs
M_trivial.Nil_abs
M_trivial.Cons_abs

M_trivial.list_case_abs
M_trivial.hd_abs
M_trivial.tl_abs
M_trivial.least_abs'
M_eclose.transrec_abs
M_trans.If_abs
M_trans.The_abs
M_eclose.recursor_abs
M_trancl.trans_wfrec_abs
M_trancl.trans_wfrec_on_abs

lemmas datatype_abs =
  M_eclose.is_eclose_n_abs
  M_eclose.eclose_abs
  M_trivial.Member_abs
  M_trivial.Equal_abs
  M_trivial.Nand_abs
  M_trivial.Forall_abs

declare relative_abs[absolut]

⟨ML⟩

declare relative_abs[Rel]

declare datatype_abs[Rel]

⟨ML⟩

end
theory Discipline_Base
imports
  ZF-Constructible.Rank
  M_Basic_No_Repl

```


Relativization
ZF_Miscellanea

begin

hide_const (**open**) *Order.pred*
declare $[[syntax_ambiguity_warning = false]]$

15.1 Discipline of relativization of basic concepts

definition

$is_singleton :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_singleton(A, x, z) \equiv \exists c[A]. \text{empty}(A, c) \wedge is_cons(A, x, c, z)$

lemma (**in** *M_trivial*) *singleton_abs[simp]* :
 $\llbracket M(x) ; M(s) \rrbracket \Longrightarrow is_singleton(M, x, s) \longleftrightarrow s = \{x\}$
<proof>

<ML>

notation *singleton_fm* ($\langle \cdot \{ _ \} is _ \rangle$)

lemmas (**in** *M_trivial*) *singleton_closed = singleton_in_MI*

lemma (**in** *M_trivial*) *Upair_closed[simp]*: $M(a) \Longrightarrow M(b) \Longrightarrow M(\text{Upair}(a, b))$
<proof>

The following named theorems gather instances of transitivity that arise from closure theorems

named_theorems *trans_closed*

definition

$is_hcomp :: [i \Rightarrow o, i \Rightarrow i \Rightarrow o, i \Rightarrow i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_hcomp(M, is_f, is_g, a, w) \equiv \exists z[M]. is_g(a, z) \wedge is_f(z, w)$

lemma (**in** *M_trivial*) *is_hcomp_abs*:

assumes

$is_f_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_f(a, z) \longleftrightarrow z = f(a)$ **and**
 $is_g_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_g(a, z) \longleftrightarrow z = g(a)$ **and**
 $g_closed: \bigwedge a. M(a) \Longrightarrow M(g(a))$
 $M(a) \ M(w)$

shows

$is_hcomp(M, is_f, is_g, a, w) \longleftrightarrow w = f(g(a))$
<proof>

definition

$hcomp_fm :: [i \Rightarrow i \Rightarrow i, i \Rightarrow i \Rightarrow i, i, i] \Rightarrow i$ **where**
 $hcomp_fm(pf, pg, a, w) \equiv \text{Exists}(\text{And}(pg(\text{succ}(a), 0), pf(0, \text{succ}(w))))$

lemma *sats_hcomp_fm*:

assumes
 $f_iff_sats: \bigwedge a \ b \ z. a \in nat \implies b \in nat \implies z \in M \implies$
 $is_f(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pf(a, b), Cons(z, env))$
and
 $g_iff_sats: \bigwedge a \ b \ z. a \in nat \implies b \in nat \implies z \in M \implies$
 $is_g(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pg(a, b), Cons(z, env))$
and
 $a \in nat \ w \in nat \ env \in list(M)$
shows
 $sats(M, hcomp_fm(pf, pg, a, w), env) \longleftrightarrow is_hcomp(\#\#M, is_f, is_g, nth(a, env), nth(w, env))$
 $\langle proof \rangle$

definition
 $hcomp_r :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $hcomp_r(M, is_f, is_g, a, w) \equiv \exists z[M]. is_g(M, a, z) \wedge is_f(M, z, w)$

definition
 $is_hcomp2_2 :: [i \Rightarrow o, [i \Rightarrow o, i, i, i] \Rightarrow o, [i \Rightarrow o, i, i, i] \Rightarrow o, [i \Rightarrow o, i, i, i] \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_hcomp2_2(M, is_f, is_g1, is_g2, a, b, w) \equiv \exists g1ab[M]. \exists g2ab[M].$
 $is_g1(M, a, b, g1ab) \wedge is_g2(M, a, b, g2ab) \wedge is_f(M, g1ab, g2ab, w)$

lemma (in $M_trivial$) $hcomp_abs$:

assumes
 $is_f_abs: \bigwedge a \ z. M(a) \implies M(z) \implies is_f(M, a, z) \longleftrightarrow z = f(a)$ **and**
 $is_g_abs: \bigwedge a \ z. M(a) \implies M(z) \implies is_g(M, a, z) \longleftrightarrow z = g(a)$ **and**
 $g_closed: \bigwedge a. M(a) \implies M(g(a))$
 $M(a) \ M(w)$
shows
 $hcomp_r(M, is_f, is_g, a, w) \longleftrightarrow w = f(g(a))$
 $\langle proof \rangle$

lemma $hcomp_uniqueness$:

assumes
 $uniq_is_f:$
 $\bigwedge r \ d \ d'. M(r) \implies M(d) \implies M(d') \implies is_f(M, r, d) \implies is_f(M, r, d') \implies$
 $d = d'$
and
 $uniq_is_g:$
 $\bigwedge r \ d \ d'. M(r) \implies M(d) \implies M(d') \implies is_g(M, r, d) \implies is_g(M, r, d') \implies$
 $d = d'$
and
 $M(a) \ M(w) \ M(w')$
 $hcomp_r(M, is_f, is_g, a, w)$
 $hcomp_r(M, is_f, is_g, a, w')$
shows
 $w = w'$
 $\langle proof \rangle$

lemma *hcomp_witness*:

assumes

wit_is_f: $\bigwedge r. M(r) \implies \exists d[M]. \text{is_f}(M, r, d)$ **and**

wit_is_g: $\bigwedge r. M(r) \implies \exists d[M]. \text{is_g}(M, r, d)$ **and**

$M(a)$

shows

$\exists w[M]. \text{hcomp_r}(M, \text{is_f}, \text{is_g}, a, w)$

<proof>

lemma (in *M_trivial*) *hcomp2_2_abs*:

assumes

is_f_abs: $\bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_f}(M, r1, r2, z) \longleftrightarrow z = f(r1, r2)$ **and**

is_g1_abs: $\bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_g1}(M, r1, r2, z) \longleftrightarrow z = g1(r1, r2)$ **and**

is_g2_abs: $\bigwedge r1\ r2\ z. M(r1) \implies M(r2) \implies M(z) \implies \text{is_g2}(M, r1, r2, z) \longleftrightarrow z = g2(r1, r2)$ **and**

types: $M(a)\ M(b)\ M(w)\ M(g1(a, b))\ M(g2(a, b))$

shows

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w) \longleftrightarrow w = f(g1(a, b), g2(a, b))$

<proof>

lemma *hcomp2_2_uniqueness*:

assumes

uniq_is_f:

$\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies$

$\text{is_f}(M, r1, r2, d) \implies \text{is_f}(M, r1, r2, d') \implies d = d'$

and

uniq_is_g1:

$\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_g1}(M, r1, r2, d)$

$\implies \text{is_g1}(M, r1, r2, d') \implies$

$d = d'$

and

uniq_is_g2:

$\bigwedge r1\ r2\ d\ d'. M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_g2}(M, r1, r2, d)$

$\implies \text{is_g2}(M, r1, r2, d') \implies$

$d = d'$

and

$M(a)\ M(b)\ M(w)\ M(w')$

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w)$

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w')$

shows

$w = w'$

<proof>

lemma *hcomp2_2_witness*:

assumes

wit_is_f: $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_f}(M, r1, r2, d)$ **and**

wit_is_g1: $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_g1}(M, r1, r2, d)$ **and**

wit_is_g2: $\bigwedge r1\ r2. M(r1) \implies M(r2) \implies \exists d[M]. \text{is_g2}(M, r1, r2, d)$ **and**

$M(a) \ M(b)$
shows
 $\exists w[M]. \text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w)$
 $\langle \text{proof} \rangle$

lemma (in $M_trivial$) *extensionality_trans*:

assumes
 $M(d) \wedge (\forall x[M]. x \in d \longleftrightarrow P(x))$
 $M(d') \wedge (\forall x[M]. x \in d' \longleftrightarrow P(x))$
shows
 $d = d'$
 $\langle \text{proof} \rangle$

definition

$lt_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $lt_rel(M, a, b) \equiv a \in b \wedge \text{ordinal}(M, b)$

lemma (in M_trans) *lt_abs[absolut]*: $M(a) \Longrightarrow M(b) \Longrightarrow lt_rel(M, a, b) \longleftrightarrow a < b$
 $\langle \text{proof} \rangle$

definition

$le_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $le_rel(M, a, b) \equiv \exists sb[M]. \text{successor}(M, b, sb) \wedge lt_rel(M, a, sb)$

lemma (in $M_trivial$) *le_abs[absolut]*: $M(a) \Longrightarrow M(b) \Longrightarrow le_rel(M, a, b) \longleftrightarrow a \leq b$
 $\langle \text{proof} \rangle$

15.2 Discipline for *Pow*

definition

$is_Pow :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_Pow(M, A, z) \equiv M(z) \wedge (\forall x[M]. x \in z \longleftrightarrow \text{subset}(M, x, A))$

definition

$Pow_rel :: [i \Rightarrow o, i] \Rightarrow i \ (\hookrightarrow Pow_rel'(_)_)$ **where**
 $Pow_rel(M, r) \equiv \text{THE } d. is_Pow(M, r, d)$

abbreviation

$Pow_r_set :: [i, i] \Rightarrow i \ (\hookrightarrow Pow_rel'(_)_)$ **where**
 $Pow_r_set(M) \equiv Pow_rel(\#\#M)$

context $M_basic_no_repl$

begin

lemma *is_Pow_uniqueness*:

assumes
 $M(r)$
 $is_Pow(M, r, d) \ is_Pow(M, r, d')$

shows
 $d = d'$
 $\langle proof \rangle$

lemma *is_Pow_witness*: $M(r) \implies \exists d[M]. is_Pow(M, r, d)$
 $\langle proof \rangle$

lemma *is_Pow_closed* : $\llbracket M(r); is_Pow(M, r, d) \rrbracket \implies M(d)$
 $\langle proof \rangle$

lemma *Pow_rel_closed*[*intro, simp*]: $M(r) \implies M(Pow_rel(M, r))$
 $\langle proof \rangle$

lemmas *trans_Pow_rel_closed*[*trans_closed*] = *transM*[*OF Pow_rel_closed*]

The proof of *f_rel_iff* lemma is schematic and it can reused by copy-paste replacing appropriately.

lemma *Pow_rel_iff*:
assumes $M(r) \quad M(d)$
shows $is_Pow(M, r, d) \longleftrightarrow d = Pow_rel(M, r)$
 $\langle proof \rangle$

The next "def_" result really corresponds to *Pow_iff*

lemma *def_Pow_rel*: $M(A) \implies M(r) \implies A \in Pow_rel(M, r) \longleftrightarrow A \subseteq r$
 $\langle proof \rangle$

lemma *Pow_rel_char*: $M(r) \implies Pow_rel(M, r) = \{A \in Pow(r). M(A)\}$
 $\langle proof \rangle$

lemma *mem_Pow_rel_abs*: $M(a) \implies M(r) \implies a \in Pow_rel(M, r) \longleftrightarrow a \in Pow(r)$
 $\langle proof \rangle$

end — *M_basic_no_repl*

15.3 Discipline for *PiP*

definition

$PiP_rel:: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $PiP_rel(M, A, f) \equiv \exists df[M]. is_domain(M, f, df) \wedge subset(M, A, df) \wedge is_function(M, f)$

context *M_basic*

begin

lemma *def_PiP_rel*:

assumes

$M(A) \quad M(f)$

shows

$PiP_rel(M, A, f) \longleftrightarrow A \subseteq domain(f) \wedge function(f)$
 $\langle proof \rangle$

end — M_basic

definition

$Sigfun :: [i, i \Rightarrow i] \Rightarrow i$ **where**
 $Sigfun(x, B) \equiv \bigcup y \in B(x). \{ \langle x, y \rangle \}$

lemma $SigFun_char : Sigfun(x, B) = \{x\} \times B(x)$
 $\langle proof \rangle$

lemma $Sigma_Sigfun : Sigma(A, B) = \bigcup \{ Sigfun(x, B) . x \in A \}$
 $\langle proof \rangle$

definition — Note that B below is a higher order argument

$is_Sigfun :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $is_Sigfun(M, x, B, Sd) \equiv M(Sd) \wedge (\exists RB[M]. is_Replace(M, B(x), \lambda y z. z = \{ \langle x, y \rangle \}, RB)$
 $\wedge big_union(M, RB, Sd))$

context $M_trivial$

begin

lemma $is_Sigfun_abs:$

assumes

$strong_replacement(M, \lambda y z. z = \{ \langle x, y \rangle \})$
 $M(x) \ M(B(x)) \ M(Sd)$

shows

$is_Sigfun(M, x, B, Sd) \longleftrightarrow Sd = Sigfun(x, B)$

$\langle proof \rangle$

lemma $Sigfun_closed:$

assumes

$strong_replacement(M, \lambda y z. y \in B(x) \wedge z = \{ \langle x, y \rangle \})$
 $M(x) \ M(B(x))$

shows

$M(Sigfun(x, B))$

$\langle proof \rangle$

lemmas $trans_Sigfun_closed[trans_closed] = transM[OF _ Sigfun_closed]$

end — $M_trivial$

definition

$is_Sigma :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $is_Sigma(M, A, B, S) \equiv M(S) \wedge (\exists RSf[M].$
 $is_Replace(M, A, \lambda x z. z = Sigfun(x, B), RSf) \wedge big_union(M, RSf, S))$

locale $M_Pi = M_basic +$

```

assumes
  Pi_separation:  $M(A) \implies \text{separation}(M, \text{PiP\_rel}(M,A))$ 
and
  Pi_replacement:
     $M(x) \implies M(y) \implies$ 
       $\text{strong\_replacement}(M, \lambda ya\ z. ya \in y \wedge z = \{\langle x, ya \rangle\})$ 
     $M(y) \implies$ 
       $\text{strong\_replacement}(M, \lambda x\ z. z = (\bigcup xa \in y. \{\langle x, xa \rangle\}))$ 

locale M_Pi_assumptions = M_Pi +
  fixes A B
  assumes
    Pi_assumptions:
       $M(A)$ 
       $\bigwedge x. x \in A \implies M(B(x))$ 
       $\forall x \in A. \text{strong\_replacement}(M, \lambda y\ z. y \in B(x) \wedge z = \{\langle x, y \rangle\})$ 
       $\text{strong\_replacement}(M, \lambda x\ z. z = \text{Sigfun}(x,B))$ 
begin

lemma Sigma_abs[simp]:
  assumes
     $M(S)$ 
  shows
     $\text{is\_Sigma}(M,A,B,S) \longleftrightarrow S = \text{Sigma}(A,B)$ 
  <proof>

lemma Sigma_closed[intro,simp]:  $M(\text{Sigma}(A,B))$ 
  <proof>

lemmas trans_Sigma_closed[trans_closed] = transM[OF _ Sigma_closed]

end — M_Pi_assumptions

```

15.4 Discipline for *Pi*

definition — completely relational

```

is_Pi ::  $[i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  where
is_Pi( $M,A,B,I$ )  $\equiv M(I) \wedge (\exists S[M]. \exists PS[M]. \text{is\_Sigma}(M,A,B,S) \wedge$ 
   $\text{is\_Pow}(M,S,PS) \wedge$ 
   $\text{is\_Collect}(M,PS,\text{PiP\_rel}(M,A),I))$ 

```

definition

```

Pi_rel ::  $[i \Rightarrow o, i, i \Rightarrow i] \Rightarrow i$  ( $\langle \text{Pi}'(\_,\_)' \rangle$ ) where
Pi_rel( $M,A,B$ )  $\equiv \text{THE } d. \text{is\_Pi}(M,A,B,d)$ 

```

abbreviation

```

Pi_r_set ::  $[i, i, i \Rightarrow i] \Rightarrow i$  ( $\langle \text{Pi}'(\_,\_)' \rangle$ ) where
Pi_r_set( $M,A,B$ )  $\equiv \text{Pi\_rel}(\#\#M,A,B)$ 

```

```

context  $M\_basic$ 
begin

lemmas  $Pow\_rel\_iff = mbnr.Pow\_rel\_iff$ 
lemmas  $Pow\_rel\_char = mbnr.Pow\_rel\_char$ 
lemmas  $mem\_Pow\_rel\_abs = mbnr.mem\_Pow\_rel\_abs$ 
lemmas  $Pow\_rel\_closed = mbnr.Pow\_rel\_closed$ 
lemmas  $def\_Pow\_rel = mbnr.def\_Pow\_rel$ 
lemmas  $trans\_Pow\_rel\_closed = mbnr.trans\_Pow\_rel\_closed$ 

end —  $M\_basic$ 

context  $M\_Pi\_assumptions$ 
begin

lemma  $is\_Pi\_uniqueness$ :
  assumes
     $is\_Pi(M, A, B, d) \ is\_Pi(M, A, B, d')$ 
  shows
     $d = d'$ 
   $\langle proof \rangle$ 

lemma  $is\_Pi\_witness$ :  $\exists d[M]. \ is\_Pi(M, A, B, d)$ 
   $\langle proof \rangle$ 

lemma  $is\_Pi\_closed$  :  $is\_Pi(M, A, B, d) \implies M(d)$ 
   $\langle proof \rangle$ 

lemma  $Pi\_rel\_closed[intro, simp]$ :  $M(Pi\_rel(M, A, B))$ 
   $\langle proof \rangle$ 

lemmas  $trans\_Pi\_rel\_closed[trans\_closed] = transM[OF \_ Pi\_rel\_closed]$ 

lemma  $Pi\_rel\_iff$ :
  assumes  $M(d)$ 
  shows  $is\_Pi(M, A, B, d) \longleftrightarrow d = Pi\_rel(M, A, B)$ 
   $\langle proof \rangle$ 

lemma  $def\_Pi\_rel$ :
   $Pi\_rel(M, A, B) = \{f \in Pow\_rel(M, Sigma(A, B)). \ A \subseteq domain(f) \wedge function(f)\}$ 
   $\langle proof \rangle$ 

lemma  $Pi\_rel\_char$ :  $Pi\_rel(M, A, B) = \{f \in Pi(A, B). \ M(f)\}$ 
   $\langle proof \rangle$ 

lemma  $mem\_Pi\_rel\_abs$ :
  assumes  $M(f)$ 
  shows  $f \in Pi\_rel(M, A, B) \longleftrightarrow f \in Pi(A, B)$ 

```


$\langle proof \rangle$

end — $M_Pi_assumptions$

The next locale (and similar ones below) are used to show the relationship between versions of simple (i.e. $\Sigma_1^{ZF}, \Pi_1^{ZF}$) concepts in two different transitive models.

locale $M_N_Pi_assumptions = M:M_Pi_assumptions + N:M_Pi_assumptions$
for $N +$

assumes

$M_imp_N:M(x) \implies N(x)$

begin

lemma $Pi_rel_transfer$: $Pi^M(A,B) \subseteq Pi^N(A,B)$

$\langle proof \rangle$

end — $M_N_Pi_assumptions$

locale $M_Pi_assumptions_0 = M_Pi_assumptions _ 0$

begin

This is used in the proof of AC_Pi_rel

lemma $Pi_rel_empty1[simp]$: $Pi^M(0,B) = \{0\}$

$\langle proof \rangle$

end — $M_Pi_assumptions_0$

context $M_Pi_assumptions$

begin

15.5 Auxiliary ported results on Pi_rel , now unused

lemma Pi_rel_iff' :

assumes $types:M(f)$

shows

$f \in Pi_rel(M,A,B) \longleftrightarrow function(f) \wedge f \subseteq Sigma(A,B) \wedge A \subseteq domain(f)$

$\langle proof \rangle$

lemma lam_type_M :

assumes $M(A) \bigwedge x. x \in A \implies M(B(x))$

$\bigwedge x. x \in A \implies b(x) \in B(x) \ strong_replacement(M, \lambda x y. y = \langle x, b(x) \rangle)$

shows $(\lambda x \in A. b(x)) \in Pi_rel(M,A,B)$

$\langle proof \rangle$

end — $M_Pi_assumptions$

locale $M_Pi_assumptions2 = M_Pi_assumptions +$

```

  PiC: M_Pi_assumptions __ C for C
begin

lemma Pi_rel_type:
  assumes  $f \in Pi^M(A, C) \wedge x. x \in A \implies f'x \in B(x)$ 
    and types:  $M(f)$ 
  shows  $f \in Pi^M(A, B)$ 
  <proof>

lemma Pi_rel_weaken_type:
  assumes  $f \in Pi^M(A, B) \wedge x. x \in A \implies B(x) \subseteq C(x)$ 
    and types:  $M(f)$ 
  shows  $f \in Pi^M(A, C)$ 
  <proof>

end — M_Pi_assumptions2

end

```

16 Arities of internalized formulas

```

theory Arities
  imports
    Discipline_Base
begin

lemmas FOL_arities [simp del, arity] = arity_And arity_Or arity_Implies ar-
ity_Iff arity_Exists

declare pred_Un_distrib[arity_aux]

context
  notes FOL_arities[simp]
begin

lemma arity_upair_fm [arity] :  $\llbracket t1 \in nat ; t2 \in nat ; up \in nat \rrbracket \implies$ 
   $arity(upair\_fm(t1, t2, up)) = \bigcup \{succ(t1), succ(t2), succ(up)\}$ 
  <proof>

end

lemma Un_trasposition_aux1:  $r \cup s \cup r = r \cup s$  <proof>

lemma Un_trasposition_aux2:
   $r \cup (s \cup (r \cup u)) = r \cup (s \cup u)$ 
   $r \cup (s \cup (t \cup (r \cup u))) = r \cup (s \cup (t \cup u))$  <proof>

```

We use the previous lemmas to guide automatic arity calculations.

```

context

```

notes $Un_assoc[symmetric, simp]$ $Un_trasposition_aux1[simp]$
begin

$\langle ML \rangle$

end — context

context

notes $FOL_arities[simp]$
begin

lemma $arity_is_recfun_fm$ $[arity]$:

$\llbracket p \in formula ; v \in nat ; n \in nat ; Z \in nat ; i \in nat \rrbracket \implies arity(p) = i \implies$
 $arity(is_recfun_fm(p, v, n, Z)) = succ(v) \cup succ(n) \cup succ(Z) \cup (pred^4(i))$
 $\langle proof \rangle$

lemma $arity_is_wfrec_fm$ $[arity]$:

$\llbracket p \in formula ; v \in nat ; n \in nat ; Z \in nat ; i \in nat \rrbracket \implies arity(p) = i \implies$
 $arity(is_wfrec_fm(p, v, n, Z)) = succ(v) \cup succ(n) \cup succ(Z) \cup (pred^5(i))$
 $\langle proof \rangle$

lemma $arity_is_nat_case_fm$ $[arity]$:

$\llbracket p \in formula ; v \in nat ; n \in nat ; Z \in nat ; i \in nat \rrbracket \implies arity(p) = i \implies$
 $arity(is_nat_case_fm(v, p, n, Z)) = succ(v) \cup succ(n) \cup succ(Z) \cup pred(pred(i))$
 $\langle proof \rangle$

lemma $arity_iterates_MH_fm$ $[arity]$:

assumes $isF \in formula$ $v \in nat$ $n \in nat$ $g \in nat$ $z \in nat$ $i \in nat$
 $arity(isF) = i$
shows $arity(iterates_MH_fm(isF, v, n, g, z)) =$
 $succ(v) \cup succ(n) \cup succ(g) \cup succ(z) \cup (pred^4(i))$
 $\langle proof \rangle$

lemma $arity_is_iterates_fm$ $[arity]$:

assumes $p \in formula$ $v \in nat$ $n \in nat$ $Z \in nat$ $i \in nat$
 $arity(p) = i$
shows $arity(is_iterates_fm(p, v, n, Z)) = succ(v) \cup succ(n) \cup succ(Z) \cup$
 $(pred^{11}(i))$
 $\langle proof \rangle$

lemma $arity_eclose_n_fm$ $[arity]$:

assumes $A \in nat$ $x \in nat$ $t \in nat$
shows $arity(eclose_n_fm(A, x, t)) = succ(A) \cup succ(x) \cup succ(t)$
 $\langle proof \rangle$

lemma $arity_mem_eclose_fm$ $[arity]$:

assumes $x \in nat$ $t \in nat$
shows $arity(mem_eclose_fm(x, t)) = succ(x) \cup succ(t)$
 $\langle proof \rangle$

lemma *arity_is_eclose_fm* [arity]:

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{is_eclose_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$
 $\langle \text{proof} \rangle$

lemma *arity_Collect_fm* [arity]:

assumes $x \in \text{nat} \ y \in \text{nat} \ p \in \text{formula}$

shows $\text{arity}(\text{Collect_fm}(x, p, y)) = \text{succ}(x) \cup \text{succ}(y) \cup \text{pred}(\text{arity}(p))$
 $\langle \text{proof} \rangle$

schematic_goal *arity_least_fm'*:

assumes

$i \in \text{nat} \ q \in \text{formula}$

shows

$\text{arity}(\text{least_fm}(q, i)) \equiv ?ar$

$\langle \text{proof} \rangle$

lemma *arity_least_fm* [arity]:

assumes

$i \in \text{nat} \ q \in \text{formula}$

shows

$\text{arity}(\text{least_fm}(q, i)) = \text{succ}(i) \cup \text{pred}(\text{arity}(q))$

$\langle \text{proof} \rangle$

lemma *arity_Replace_fm* [arity]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{Replace_fm}(v, p, n)) = \text{succ}(n) \cup \text{succ}(v) \cup \text{pred}(\text{pred}(i))$

$\langle \text{proof} \rangle$

lemma *arity_lambda_fm* [arity]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{lambda_fm}(p, v, n)) = \text{succ}(n) \cup (\text{succ}(v) \cup (\text{pred}^3(i)))$

$\langle \text{proof} \rangle$

lemma *arity_transrec_fm* [arity]:

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{is_transrec_fm}(p, v, n)) = \text{succ}(v) \cup \text{succ}(n) \cup (\text{pred}^8(i))$

$\langle \text{proof} \rangle$

lemma *arity_wfrec_replacement_fm* :

$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$

$\text{arity}(\text{Exists}(\text{And}(\text{pair_fm}(1, 0, 2), \text{is_wfrec_fm}(p, v, n, Z))))$

$= 2 \cup v \cup n \cup Z \cup (\text{pred}^6(i))$

$\langle \text{proof} \rangle$

end — *FOL_arities*

declare *arity_subset_fm* [simp del] *arity_ordinal_fm* [simp del, arity] *arity_transset_fm* [simp del]

```

context
  notes  $Un\_assoc[symmetric, simp]$   $Un\_trasposition\_aux1[simp]$ 
begin
 $\langle ML \rangle$ 
end

```

```

context
  notes  $Un\_assoc[simp]$   $Un\_trasposition\_aux2[simp]$ 
begin
 $\langle ML \rangle$ 
end

```

$\langle ML \rangle$

end

17 Basic relativization of function spaces

```

theory Discipline_Function
  imports
    Arities
begin

```

17.1 Discipline for *fst* and *snd*

$\langle ML \rangle$

definition

```

 $is\_fst :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
 $is\_fst(M, x, t) \equiv (\exists z[M]. pair(M, t, z, x)) \vee$ 
 $(\neg(\exists z[M]. \exists w[M]. pair(M, w, z, x)) \wedge empty(M, t))$ 

```

$\langle ML \rangle$

notation fst_fm ($\langle \cdot \rangle fst'(_') is _ \cdot \rangle$)

$\langle ML \rangle$

```

definition  $fst\_rel :: [i \Rightarrow o, i] \Rightarrow i$  where
 $fst\_rel(M, p) \equiv THE\ d. M(d) \wedge is\_fst(M, p, d)$ 

```

$\langle ML \rangle$

definition

```

 $is\_snd :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
 $is\_snd(M, x, t) \equiv (\exists z[M]. pair(M, z, t, x)) \vee$ 
 $(\neg(\exists z[M]. \exists w[M]. pair(M, z, w, x)) \wedge empty(M, t))$ 

```

$\langle ML \rangle$

notation snd_fm ($\langle \cdot \rangle snd'(_') is _ \cdot \rangle$)

$\langle ML \rangle$

definition $snd_rel :: [i \Rightarrow o, i] \Rightarrow i$ **where**
 $snd_rel(M, p) \equiv THE\ d.\ M(d) \wedge is_snd(M, p, d)$

$\langle ML \rangle$

context M_trans
begin

lemma fst_snd_closed :
assumes $M(p)$
shows $M(fst(p)) \wedge M(snd(p))$
 $\langle proof \rangle$

lemma $fst_closed[intro, simp]$: $M(x) \Longrightarrow M(fst(x))$
 $\langle proof \rangle$

lemma $snd_closed[intro, simp]$: $M(x) \Longrightarrow M(snd(x))$
 $\langle proof \rangle$

lemma $fst_abs\ [absolut]$:
 $\llbracket M(p); M(x) \rrbracket \Longrightarrow is_fst(M, p, x) \longleftrightarrow x = fst(p)$
 $\langle proof \rangle$

lemma $snd_abs\ [absolut]$:
 $\llbracket M(p); M(y) \rrbracket \Longrightarrow is_snd(M, p, y) \longleftrightarrow y = snd(p)$
 $\langle proof \rangle$

lemma $empty_rel_abs$: $M(x) \Longrightarrow M(0) \Longrightarrow x = 0 \longleftrightarrow x = (THE\ d.\ M(d) \wedge empty(M, d))$
 $\langle proof \rangle$

lemma fst_rel_abs :
assumes $M(p)$
shows $fst_rel(M, p) = fst(p)$
 $\langle proof \rangle$

lemma snd_rel_abs :
assumes $M(p)$
shows $snd_rel(M, p) = snd(p)$
 $\langle proof \rangle$

end — M_trans

17.2 Discipline for *minimum*

$\langle ML \rangle$

context M_trans
begin

lemma *minimum_closed*[*simp,intro*]:
assumes $M(A)$
shows $M(\text{minimum}(r,A))$
 $\langle \text{proof} \rangle$

lemma *first_abs* :
assumes $M(B)$
shows $\text{first}(z,B,r) \longleftrightarrow \text{first_rel}(M,z,B,r)$
 $\langle \text{proof} \rangle$

lemma *minimum_abs*:
assumes $M(B)$
shows $\text{minimum}(r,B) = \text{minimum_rel}(M,r,B)$
 $\langle \text{proof} \rangle$

end — *M_trans*

17.3 Discipline for $\lambda A \ B. A \rightarrow B$

definition
is_function_space :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
is_function_space(M, A, B, fs) $\equiv M(fs) \wedge \text{is_funspace}(M, A, B, fs)$

definition
function_space_rel :: $[i \Rightarrow o, i, i] \Rightarrow i$ **where**
function_space_rel(M, A, B) $\equiv \text{THE } d. \text{is_function_space}(M, A, B, d)$
 $\langle ML \rangle$

abbreviation
function_space_r :: $[i, i \Rightarrow o, i] \Rightarrow i$ ($\langle _ \rightarrow _ \rangle [61, 1, 61] \ 60$) **where**
 $A \rightarrow^M B \equiv \text{function_space_rel}(M, A, B)$

abbreviation
function_space_r_set :: $[i, i, i] \Rightarrow i$ ($\langle _ \rightarrow _ \rangle [61, 1, 61] \ 60$) **where**
function_space_r_set(A, M) $\equiv \text{function_space_rel}(\#\#M, A)$

context *M_Pi*
begin

lemma *is_function_space_uniqueness*:
assumes
 $M(r) \ M(B)$
 $\text{is_function_space}(M, r, B, d) \ \text{is_function_space}(M, r, B, d')$
shows
 $d = d'$
 $\langle \text{proof} \rangle$

lemma *is_function_space_witness*:

assumes $M(A) \ M(B)$
shows $\exists d[M]. \text{is_function_space}(M, A, B, d)$
 $\langle \text{proof} \rangle$

lemma *is_function_space_closed* :
 $\text{is_function_space}(M, A, B, d) \implies M(d)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_closed*[intro,simp]:
assumes $M(x) \ M(y)$
shows $M(\text{function_space_rel}(M, x, y))$
 $\langle \text{proof} \rangle$

lemmas *trans_function_space_rel_closed*[trans_closed] = *transM*[OF _ *function_space_rel_closed*]

lemma *is_function_space_iff*:
assumes $M(x) \ M(y) \ M(d)$
shows $\text{is_function_space}(M, x, y, d) \longleftrightarrow d = \text{function_space_rel}(M, x, y)$
 $\langle \text{proof} \rangle$

lemma *def_function_space_rel*:
assumes $M(A) \ M(y)$
shows $\text{function_space_rel}(M, A, y) = \text{Pi_rel}(M, A, \lambda_. y)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_char*:
assumes $M(A) \ M(y)$
shows $\text{function_space_rel}(M, A, y) = \{f \in A \rightarrow y. \ M(f)\}$
 $\langle \text{proof} \rangle$

lemma *mem_function_space_rel_abs*:
assumes $M(A) \ M(y) \ M(f)$
shows $f \in \text{function_space_rel}(M, A, y) \longleftrightarrow f \in A \rightarrow y$
 $\langle \text{proof} \rangle$

end — *M_Pi*

locale *M_N_Pi* = *M*:*M_Pi* + *N*:*M_Pi* *N* **for** *N* +
assumes
 $M_imp_N:M(x) \implies N(x)$
begin

lemma *function_space_rel_transfer*: $M(A) \implies M(B) \implies$
 $\text{function_space_rel}(M, A, B) \subseteq \text{function_space_rel}(N, A, B)$
 $\langle \text{proof} \rangle$

end — *M_N_Pi*

abbreviation

$is_apply \equiv fun_apply$

— It is not necessary to perform the Discipline for is_apply since it is absolute in this context

17.4 Discipline for *Collect* terms.

We have to isolate the predicate involved and apply the Discipline to it.

definition — completely relational

$injP_rel:: [i \Rightarrow o, i, i] \Rightarrow o$ **where**

$injP_rel(M, A, f) \equiv \forall w[M]. \forall x[M]. \forall fw[M]. \forall fx[M]. w \in A \wedge x \in A \wedge$
 $is_apply(M, f, w, fw) \wedge is_apply(M, f, x, fx) \wedge fw = fx \longrightarrow w = x$

$\langle ML \rangle$

context M_basic

begin

— I'm undecided on keeping the relative quantifiers here. Same with $surjP$ below. It might relieve from changing $exI\ allI$ to $rexI\ rallI$ in some proofs. I wonder if this escalates well. Assuming that all terms appearing in the "def_" theorem are in M and using $transM$, it might do.

lemma def_injP_rel :

assumes

$M(A) \ M(f)$

shows

$injP_rel(M, A, f) \longleftrightarrow (\forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f'w = f'x \longrightarrow w = x)$

$\langle proof \rangle$

end — M_basic

17.5 Discipline for *inj*

definition — completely relational

$is_inj :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**

$is_inj(M, A, B, I) \equiv M(I) \wedge (\exists F[M]. is_function_space(M, A, B, F) \wedge$
 $is_Collect(M, F, injP_rel(M, A), I))$

declare $typed_function_iff_sats \ Collect_iff_sats \ [iff_sats]$

$\langle ML \rangle$

notation $is_function_space_fm \ (\hookrightarrow _ \rightarrow _ is _)$

$\langle ML \rangle$

notation $is_inj_fm \ (\hookrightarrow inj'(_, _) is _)$

$\langle ML \rangle$

lemma $arity_is_inj_fm[arity]$:

$A \in \text{nat} \implies$
 $B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is_inj_fm}(A, B, I)) = \text{succ}(A) \cup \text{succ}(B) \cup \text{succ}(I)$
 $\langle \text{proof} \rangle$

definition

$\text{inj_rel} :: [i \Rightarrow o, i, i] \Rightarrow i \ (\langle \text{inj_}'(_, _) \rangle)$ **where**
 $\text{inj_rel}(M, A, B) \equiv \text{THE } d. \text{is_inj}(M, A, B, d)$

abbreviation

$\text{inj_r_set} :: [i, i, i] \Rightarrow i \ (\langle \text{inj_}'(_, _) \rangle)$ **where**
 $\text{inj_r_set}(M) \equiv \text{inj_rel}(\#\#M)$

locale $M_inj = M_Pi +$

assumes

$\text{injP_separation}: M(r) \implies \text{separation}(M, \text{injP_rel}(M, r))$

begin

lemma is_inj_uniqueness :

assumes

$M(r) \ M(B)$

$\text{is_inj}(M, r, B, d) \ \text{is_inj}(M, r, B, d')$

shows

$d = d'$

$\langle \text{proof} \rangle$

lemma is_inj_witness : $M(r) \implies M(B) \implies \exists d[M]. \text{is_inj}(M, r, B, d)$

$\langle \text{proof} \rangle$

lemma is_inj_closed :

$\text{is_inj}(M, x, y, d) \implies M(d)$

$\langle \text{proof} \rangle$

lemma $\text{inj_rel_closed}[\text{intro}, \text{simp}]$:

assumes $M(x) \ M(y)$

shows $M(\text{inj_rel}(M, x, y))$

$\langle \text{proof} \rangle$

lemmas $\text{trans_inj_rel_closed}[\text{trans_closed}] = \text{transM}[\text{OF_inj_rel_closed}]$

lemma inj_rel_iff :

assumes $M(x) \ M(y) \ M(d)$

shows $\text{is_inj}(M, x, y, d) \longleftrightarrow d = \text{inj_rel}(M, x, y)$

$\langle \text{proof} \rangle$

lemma def_inj_rel :

assumes $M(A) \ M(B)$

shows $\text{inj_rel}(M, A, B) =$

$\{f \in \text{function_space_rel}(M, A, B). \ \forall w[M]. \ \forall x[M]. \ w \in A \wedge x \in A \wedge f'w =$

$f'x \longrightarrow w=x\}$
 (is $_ = Collect(_, ?P)$)
 $\langle proof \rangle$

lemma *inj_rel_char*:
 assumes $M(A) \ M(B)$
 shows $inj_rel(M, A, B) = \{f \in inj(A, B). \ M(f)\}$
 $\langle proof \rangle$

end — M_inj

locale $M_N_inj = M:M_inj + N:M_inj \ N$ **for** $N +$
 assumes
 $M_imp_N:M(x) \Longrightarrow N(x)$
begin

lemma *inj_rel_transfer*: $M(A) \Longrightarrow M(B) \Longrightarrow inj_rel(M, A, B) \subseteq inj_rel(N, A, B)$
 $\langle proof \rangle$

end — M_N_inj

17.6 Discipline for *surj*

definition
 $surjP_rel:: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $surjP_rel(M, A, B, f) \equiv$
 $\forall y[M]. \exists x[M]. \exists fx[M]. y \in B \longrightarrow x \in A \wedge is_apply(M, f, x, fx) \wedge fx=y$
 $\langle ML \rangle$

context M_basic
begin

lemma *def_surjP_rel*:
 assumes
 $M(A) \ M(B) \ M(f)$
 shows
 $surjP_rel(M, A, B, f) \longleftrightarrow (\forall y[M]. \exists x[M]. y \in B \longrightarrow x \in A \wedge f'x=y)$
 $\langle proof \rangle$

end — M_basic

17.7 Discipline for *surj*

definition — completely relational
 $is_surj :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_surj(M, A, B, I) \equiv M(I) \wedge (\exists F[M]. is_function_space(M, A, B, F) \wedge$
 $is_Collect(M, F, surjP_rel(M, A, B), I))$

$\langle ML \rangle$

notation $is_surj_fm (\langle \cdot surj'(_, _) is _ \rangle)$

definition

$surj_rel :: [i \Rightarrow o, i, i] \Rightarrow i (\langle surj'(_, _) \rangle)$ **where**
 $surj_rel(M, A, B) \equiv THE\ d.\ is_surj(M, A, B, d)$

abbreviation

$surj_r_set :: [i, i, i] \Rightarrow i (\langle surj'(_, _) \rangle)$ **where**
 $surj_r_set(M) \equiv surj_rel(\#\#M)$

locale $M_surj = M_Pi +$

assumes

$surjP_separation: M(A) \Longrightarrow M(B) \Longrightarrow separation(M, \lambda x. surjP_rel(M, A, B, x))$

begin

lemma $is_surj_uniqueness:$

assumes

$M(r)\ M(B)$
 $is_surj(M, r, B, d)\ is_surj(M, r, B, d')$

shows

$d = d'$

$\langle proof \rangle$

lemma $is_surj_witness: M(r) \Longrightarrow M(B) \Longrightarrow \exists d[M].\ is_surj(M, r, B, d)$

$\langle proof \rangle$

lemma $is_surj_closed :$

$is_surj(M, x, y, d) \Longrightarrow M(d)$

$\langle proof \rangle$

lemma $surj_rel_closed[intro, simp]:$

assumes $M(x)\ M(y)$

shows $M(surj_rel(M, x, y))$

$\langle proof \rangle$

lemmas $trans_surj_rel_closed[trans_closed] = transM[OF\ _surj_rel_closed]$

lemma $surj_rel_iff:$

assumes $M(x)\ M(y)\ M(d)$

shows $is_surj(M, x, y, d) \longleftrightarrow d = surj_rel(M, x, y)$

$\langle proof \rangle$

lemma $def_surj_rel:$

assumes $M(A)\ M(B)$

shows $surj_rel(M, A, B) =$

$\{f \in function_space_rel(M, A, B). \ \forall y[M]. \ \exists x[M]. \ y \in B \longrightarrow x \in A \wedge f'x = y\}$

(**is** $_ = Collect(_, ?P)$)

$\langle proof \rangle$

```

lemma surj_rel_char:
  assumes  $M(A)$   $M(B)$ 
  shows  $\text{surj\_rel}(M,A,B) = \{f \in \text{surj}(A,B). M(f)\}$ 
   $\langle \text{proof} \rangle$ 

end —  $M\_surj$ 

locale  $M\_N\_surj = M:M\_surj + N:M\_surj$  for  $N +$ 
  assumes
     $M\_imp\_N:M(x) \implies N(x)$ 
begin

lemma surj_rel_transfer:  $M(A) \implies M(B) \implies \text{surj\_rel}(M,A,B) \subseteq \text{surj\_rel}(N,A,B)$ 
   $\langle \text{proof} \rangle$ 

end —  $M\_N\_surj$ 

```

17.8 Discipline for *Inter*

```

definition
   $is\_Int :: [i \Rightarrow o, i, i, i] \Rightarrow o$  where
     $is\_Int(M,A,B,I) \equiv M(I) \wedge (\forall x[M]. x \in I \longleftrightarrow x \in A \wedge x \in B)$ 

   $\langle ML \rangle$ 
notation  $is\_Int\_fm (\lhd \_ \cap \_ is \_ \rhd)$ 

```

```

context  $M\_basic$ 
begin

```

```

lemma is_Int_closed :
   $is\_Int(M,A,B,I) \implies M(I)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma is_Int_abs:
  assumes
     $M(A)$   $M(B)$   $M(I)$ 
  shows
     $is\_Int(M,A,B,I) \longleftrightarrow I = A \cap B$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma is_Int_uniqueness:
  assumes
     $M(r)$   $M(B)$ 
     $is\_Int(M,r,B,d)$   $is\_Int(M,r,B,d')$ 
  shows
     $d = d'$ 
   $\langle \text{proof} \rangle$ 

```

Note: *Int_closed* already in *ZF-Constructible.Relative*.

end — M_basic

17.9 Discipline for bij

$\langle ML \rangle$

notation $is_bij_fm (\langle \cdot bij'(_, _) is _ \rangle)$

abbreviation

$bij_r_class :: [i \Rightarrow o, i, i] \Rightarrow i (\langle bij'(_, _) \rangle)$ **where**
 $bij_r_class \equiv bij_rel$

abbreviation

$bij_r_set :: [i, i, i] \Rightarrow i (\langle bij'(_, _) \rangle)$ **where**
 $bij_r_set(M) \equiv bij_rel(\#\#M)$

locale $M_Perm = M_Pi + M_inj + M_surj$
begin

lemma $is_bij_closed : is_bij(M, f, y, d) \Longrightarrow M(d)$
 $\langle proof \rangle$

lemma $bij_rel_closed[intro, simp]$:
assumes $M(x) \ M(y)$
shows $M(bij_rel(M, x, y))$
 $\langle proof \rangle$

lemmas $trans_bij_rel_closed[trans_closed] = transM[OF _ bij_rel_closed]$

lemma bij_rel_iff :
assumes $M(x) \ M(y) \ M(d)$
shows $is_bij(M, x, y, d) \longleftrightarrow d = bij_rel(M, x, y)$
 $\langle proof \rangle$

lemma def_bij_rel :
assumes $M(A) \ M(B)$
shows $bij_rel(M, A, B) = inj_rel(M, A, B) \cap surj_rel(M, A, B)$
 $\langle proof \rangle$

lemma bij_rel_char :
assumes $M(A) \ M(B)$
shows $bij_rel(M, A, B) = \{f \in bij(A, B). M(f)\}$
 $\langle proof \rangle$

end — M_Perm

locale $M_N_Perm = M_N_Pi + M_N_inj + M_N_surj + M:M_Perm +$
 $N:M_Perm \ N$

begin

lemma *bij_rel_transfer*: $M(A) \implies M(B) \implies \text{bij_rel}(M,A,B) \subseteq \text{bij_rel}(N,A,B)$
 $\langle \text{proof} \rangle$

end — *M_N_Perm*

context *M_Perm*
begin

lemma *mem_bij_rel*: $\llbracket f \in \text{bij}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{bij}(A,B)$
 $\langle \text{proof} \rangle$

lemma *mem_inj_rel*: $\llbracket f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}(A,B)$
 $\langle \text{proof} \rangle$

lemma *mem_surj_rel*: $\llbracket f \in \text{surj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{surj}(A,B)$
 $\langle \text{proof} \rangle$

end — *M_Perm*

17.10 Discipline for $(\approx)$

$\langle ML \rangle$

notation *is_eqpoll_fm* $(\langle _ \approx _ \rangle)$

context *M_Perm* **begin**

$\langle ML \rangle$

$\langle \text{proof} \rangle$

end — *M_Perm*

abbreviation

eqpoll_r $:: [i, i \Rightarrow o, i] \Rightarrow o \ (\langle _ \approx _ \rangle [51, 1, 51] \ 50)$ **where**
 $A \approx^M B \equiv \text{eqpoll_rel}(M, A, B)$

abbreviation

eqpoll_r_set $:: [i, i, i] \Rightarrow o \ (\langle _ \approx _ \rangle [51, 1, 51] \ 50)$ **where**
 $\text{eqpoll_r_set}(A, M) \equiv \text{eqpoll_rel}(\#\#M, A)$

context *M_Perm*

begin

lemma *def_eqpoll_rel*:

assumes

$M(A) \ M(B)$

shows

$\text{eqpoll_rel}(M, A, B) \longleftrightarrow (\exists f[M]. f \in \text{bij_rel}(M, A, B))$

$\langle \text{proof} \rangle$

end — M_Perm

context M_N_Perm
begin

The next lemma is not part of the discipline

lemma $eqpoll_rel_transfer$: **assumes** $A \approx^M B$ $M(A)$ $M(B)$
shows $A \approx^N B$
 $\langle proof \rangle$

end — M_N_Perm

17.11 Discipline for $(\lesssim)$

$\langle ML \rangle$
notation is_lepoll_fm $(\langle _ \lesssim _ \rangle)$
 $\langle ML \rangle$

context M_inj **begin**

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_inj

abbreviation
 $lepoll_r :: [i, i \Rightarrow o, i] \Rightarrow o$ $(\langle _ \lesssim _ \rangle [51, 1, 51] 50)$ **where**
 $A \lesssim^M B \equiv lepoll_rel(M, A, B)$

abbreviation
 $lepoll_r_set :: [i, i, i] \Rightarrow o$ $(\langle _ \lesssim _ \rangle [51, 1, 51] 50)$ **where**
 $lepoll_r_set(A, M) \equiv lepoll_rel(\#\#M, A)$

context M_Perm
begin

lemma def_lepoll_rel :
assumes
 $M(A)$ $M(B)$
shows
 $lepoll_rel(M, A, B) \longleftrightarrow (\exists f[M]. f \in inj_rel(M, A, B))$
 $\langle proof \rangle$

end — M_Perm

context M_N_Perm
begin

— This lemma is not part of the discipline.
lemma *lepoll_rel_transfer*: **assumes** $A \lesssim^M B$ $M(A) \ M(B)$
shows $A \lesssim^N B$
 $\langle proof \rangle$

end — *M_N_Perm*

17.12 Discipline for $(\prec)$

$\langle ML \rangle$
notation *is_lespoll_fm* $(\langle \cdot \prec \cdot \rangle)$
 $\langle ML \rangle$

context *M_Perm* **begin**

$\langle ML \rangle$
 $\langle proof \rangle$

end — *M_Perm*

abbreviation

lespoll_r $:: [i, i \Rightarrow o, i] \Rightarrow o \ (\langle _ \prec _ \rangle [51, 1, 51] \ 50)$ **where**
 $A \prec^M B \equiv \text{lespoll_rel}(M, A, B)$

abbreviation

lespoll_r_set $:: [i, i, i] \Rightarrow o \ (\langle _ \prec _ \rangle [51, 1, 51] \ 50)$ **where**
 $\text{lespoll_r_set}(A, M) \equiv \text{lespoll_rel}(\#\#M, A)$

Since *lespoll_rel* is defined as a propositional combination of older terms, there is no need for a separate “def” theorem for it.

Note that *lespoll_rel* is neither Σ_1^{ZF} nor Π_1^{ZF} , so there is no “transfer” theorem for it.

definition

Powapply $:: [i, i] \Rightarrow i$ **where**
 $\text{Powapply}(f, y) \equiv \text{Pow}(f \cdot y)$

$\langle ML \rangle$

declare *Replace_iff_sats* [*iff_sats*]
 $\langle ML \rangle$

notation *Powapply_rel* $(\langle \text{Powapply}'(_, _) \rangle)$

context *M_basic*
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_basic

end

18 Replacements using Lambdas

theory *Lambda_Replacement*
imports
Discipline_Function
begin

In this theory we prove several instances of separation and replacement in M_basic . Moreover we introduce a new locale assuming two instances of separation and six instances of lambda replacements (ie, replacement of the form $\lambda xy. y = \langle x, f(x) \rangle$) and we prove a bunch of other instances.

definition

$lam_replacement :: [i \Rightarrow o, i \Rightarrow i] \Rightarrow o$ **where**
 $lam_replacement(M, b) \equiv strong_replacement(M, \lambda x y. y = \langle x, b(x) \rangle)$

lemma *separation_univ* :
shows $separation(M, M)$
 $\langle proof \rangle$

context $M_trivial$
begin

lemma *lam_replacement_iff_lam_closed*:
assumes $\forall x[M]. M(b(x))$
shows $lam_replacement(M, b) \longleftrightarrow (\forall A[M]. M(\lambda x \in A. b(x)))$
 $\langle proof \rangle$

lemma *lam_replacement_imp_lam_closed*:
assumes $lam_replacement(M, b) \ M(A) \ \forall x \in A. M(b(x))$
shows $M(\lambda x \in A. b(x))$
 $\langle proof \rangle$

lemma *lam_replacement_cong*:
assumes $lam_replacement(M, f) \ \forall x[M]. f(x) = g(x) \ \forall x[M]. M(f(x))$
shows $lam_replacement(M, g)$
 $\langle proof \rangle$

end — $M_trivial$

context M_basic

begin

lemma *separation_iff'*:

assumes *separation*($M, \lambda x. P(x)$) *separation*($M, \lambda x. Q(x)$)

shows *separation*($M, \lambda x. P(x) \longleftrightarrow Q(x)$)

<proof>

lemma *separation_in_constant* :

assumes $M(a)$

shows *separation*($M, \lambda x. x \in a$)

<proof>

lemma *separation_equal* :

shows *separation*($M, \lambda x. x = a$)

<proof>

lemma (**in** *M_basic*) *separation_in_rev*:

assumes $(M)(a)$

shows *separation*($M, \lambda x. a \in x$)

<proof>

lemma *lam_replacement_imp_strong_replacement_aux*:

assumes *lam_replacement*(M, b) $\forall x[M]. M(b(x))$

shows *strong_replacement*($M, \lambda x y. y = b(x)$)

<proof>

lemma *strong_lam_replacement_imp_strong_replacement* :

assumes *strong_replacement*($M, \lambda x z. P(\text{fst}(x), \text{snd}(x)) \wedge z = \langle x, f(\text{fst}(x), \text{snd}(x)) \rangle$)

$\bigwedge A. M(A) \implies \forall x \in A. P(X, x) \longrightarrow M(f(X, x)) \ M(X)$

shows *strong_replacement*($M, \lambda x z. P(X, x) \wedge z = f(X, x)$)

<proof>

lemma *lam_replacement_imp_RepFun_Lam*:

assumes *lam_replacement*(M, f) $M(A)$

shows $M(\{y. x \in A, M(y) \wedge y = \langle x, f(x) \rangle\})$

<proof>

lemma *lam_closed_imp_closed*:

assumes $\forall A[M]. M(\lambda x \in A. f(x))$

shows $\forall x[M]. M(f(x))$

<proof>

lemma *lam_replacement_if*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g) *separation*(M, b)

$\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$

shows *lam_replacement*($M, \lambda x. \text{if } b(x) \text{ then } f(x) \text{ else } g(x)$)

<proof>

lemma *lam_replacement_constant*: $M(b) \implies \text{lam_replacement}(M, \lambda _. b)$

<proof>

18.1 Replacement instances obtained through Powerset

The next few lemmas provide bounds for certain constructions.

lemma *not_functional_Replace_0:*

assumes $\neg(\forall y\ y'.\ P(y) \wedge P(y') \longrightarrow y=y')$

shows $\{y . x \in A, P(y)\} = 0$

<proof>

lemma *Replace_in_Pow_rel:*

assumes $\bigwedge x\ b.\ x \in A \implies P(x,b) \implies b \in U\ \forall x \in A.\ \forall y\ y'.\ P(x,y) \wedge P(x,y') \longrightarrow y=y'$

separation($M, \lambda y.\ \exists x[M].\ x \in A \wedge P(x, y)$)

$M(U)\ M(A)$

shows $\{y . x \in A, P(x, y)\} \in \text{Pow}^M(U)$

<proof>

lemma *Replace_sing_0_in_Pow_rel:*

assumes $\bigwedge b.\ P(b) \implies b \in U$

separation($M, \lambda y.\ P(y)$) $M(U)$

shows $\{y . x \in \{0\}, P(y)\} \in \text{Pow}^M(U)$

<proof>

lemma *The_in_Pow_rel_Union:*

assumes $\bigwedge b.\ P(b) \implies b \in U\ \text{separation}(M, \lambda y.\ P(y))\ M(U)$

shows $(\text{THE } i.\ P(i)) \in \text{Pow}^M(\bigcup U)$

<proof>

lemma *separation_least:* *separation*($M, \lambda y.\ \text{Ord}(y) \wedge P(y) \wedge (\forall j.\ j < y \longrightarrow \neg P(j))$)

<proof>

lemma *Least_in_Pow_rel_Union:*

assumes $\bigwedge b.\ P(b) \implies b \in U$

$M(U)$

shows $(\mu i.\ P(i)) \in \text{Pow}^M(\bigcup U)$

<proof>

lemma *bounded_lam_replacement:*

fixes U

assumes $\forall X[M].\ \forall x \in X.\ f(x) \in U(X)$

and *separation_f*: $\forall A[M].\ \text{separation}(M, \lambda y.\ \exists x[M].\ x \in A \wedge y = \langle x, f(x) \rangle)$

and *U_closed [intro,simp]*: $\bigwedge X.\ M(X) \implies M(U(X))$

shows *lam_replacement*(M, f)

<proof>

lemma *fst_in_double_Union:*

assumes $x \in X$

shows $\text{fst}(x) \in \{0\} \cup \bigcup \bigcup X$

<proof>

lemma *snd_in_double_Union*:

assumes $x \in X$

shows $\text{snd}(x) \in \{0\} \cup \bigcup X$

<proof>

lemma *bounded_lam_replacement_binary*:

fixes U

assumes $\forall X[M]. \forall x \in X. \forall y \in X. f(x,y) \in U(X)$

and *separation_f*: $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, f(\text{fst}(x), \text{snd}(x)) \rangle)$

and *U_closed* [*intro*, *simp*]: $\bigwedge X. M(X) \implies M(U(X))$

shows $\text{lam_replacement}(M, \lambda r. f(\text{fst}(r), \text{snd}(r)))$

<proof>

lemma *lam_replacement_fst'*:

assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{fst}(x) \rangle)$

shows $\text{lam_replacement}(M, \text{fst})$

<proof>

lemma *lam_replacement_snd'*:

assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{snd}(x) \rangle)$

shows $\text{lam_replacement}(M, \text{snd})$

<proof>

lemma *lam_replacement_restrict*:

assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{restrict}(x, B) \rangle) \implies M(B)$

shows $\text{lam_replacement}(M, \lambda r. \text{restrict}(r, B))$

<proof>

lemma *lam_replacement_Union'*:

assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \bigcup x \rangle)$

shows $\text{lam_replacement}(M, \text{Union})$

<proof>

lemma *Image_in_Pow_rel_Union3*:

assumes $x \in X \ y \in X \ M(X)$

shows $\text{Image}(x, y) \in \text{Pow}^M(\bigcup \bigcup X)$

<proof>

lemma *lam_replacement_Image'*:

assumes

$\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Image}(\text{fst}(x), \text{snd}(x)) \rangle)$

shows

$\text{lam_replacement}(M, \lambda r. \text{Image}(\text{fst}(r), \text{snd}(r)))$

<proof>

lemma *minimum_in_Union*:

assumes $x \in X \ y \in X$

shows $\text{minimum}(x, y) \in \{0\} \cup \bigcup X$

<proof>

```

lemma lam_replacement_minimum':
  assumes
     $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{minimum}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
  shows
     $\text{lam\_replacement}(M, \lambda r. \text{minimum}(\text{fst}(r), \text{snd}(r)))$ 
   $\langle \text{proof} \rangle$ 

lemma lam_replacement_Pow_rel:
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Pow\_rel}(M, x) \rangle)$ 
  shows  $\text{lam\_replacement}(M, \text{Pow\_rel}(M))$ 
   $\langle \text{proof} \rangle$ 

end — M_basic

definition
  middle_del ::  $i \Rightarrow i \Rightarrow i$  where
     $\text{middle\_del}(x, y) \equiv \langle \text{fst}(x), \text{snd}(y) \rangle$ 

   $\langle ML \rangle$ 

context M_basic
begin

lemma middle_del_in_cartprod:
  assumes  $x \in X \ y \in X \ M(X)$ 
  shows  $\text{middle\_del}(x, y) \in (\{\emptyset\} \cup \bigcup \bigcup X) \times (\{\emptyset\} \cup \bigcup \bigcup X)$ 
   $\langle \text{proof} \rangle$ 

lemma lam_replacement_middle_del':
  assumes
     $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{middle\_del}(\text{fst}(x), \text{snd}(x)) \rangle)$ 
  shows
     $\text{lam\_replacement}(M, \lambda r. \text{middle\_del}(\text{fst}(r), \text{snd}(r)))$ 
   $\langle \text{proof} \rangle$ 

end — M_basic

definition
  prodRepl ::  $i \Rightarrow i \Rightarrow i$  where
     $\text{prodRepl}(x, y) \equiv \langle \text{snd}(x), \langle \text{fst}(x), \text{snd}(y) \rangle \rangle$ 

   $\langle ML \rangle$ 

context M_basic
begin

lemma prodRepl_in_cartprod:
  assumes  $x \in X \ y \in X \ M(X)$ 
  shows  $\text{prodRepl}(x, y) \in (\{\emptyset\} \cup \bigcup \bigcup X) \times (\{\emptyset\} \cup \bigcup \bigcup X) \times (\{\emptyset\} \cup \bigcup \bigcup X)$ 

```

$\langle \text{proof} \rangle$

lemma *lam_replacement_prodRepl'*:

assumes

$\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{prodRepl}(\text{fst}(x), \text{snd}(x)) \rangle)$

shows

$\text{lam_replacement}(M, \lambda r. \text{prodRepl}(\text{fst}(r), \text{snd}(r)))$

$\langle \text{proof} \rangle$

end — *M_basic*

context *M_Perm*

begin

lemma *inj_rel_in_Pow_rel_Pow_rel*:

assumes $x \in X \ y \in X \ M(X)$

shows $\text{inj}^M(x, y) \in \text{Pow}^M(\text{Pow}^M(\bigcup X \times \bigcup X))$

$\langle \text{proof} \rangle$

lemma *lam_replacement_inj_rel'*:

assumes

$\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{inj}^M(\text{fst}(x), \text{snd}(x)) \rangle)$

shows

$\text{lam_replacement}(M, \lambda r. \text{inj}^M(\text{fst}(r), \text{snd}(r)))$

$\langle \text{proof} \rangle$

end — *M_Perm*

locale *M_replacement* = *M_basic* +

assumes

lam_replacement_fst: $\text{lam_replacement}(M, \text{fst})$

and

lam_replacement_snd: $\text{lam_replacement}(M, \text{snd})$

and

lam_replacement_Union: $\text{lam_replacement}(M, \text{Union})$

and

lam_replacement_middle_del: $\text{lam_replacement}(M, \lambda r. \text{middle_del}(\text{fst}(r), \text{snd}(r)))$

and

lam_replacement_prodRepl: $\text{lam_replacement}(M, \lambda r. \text{prodRepl}(\text{fst}(r), \text{snd}(r)))$

and

lam_replacement_Image: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \text{ “ ” } \text{snd}(p))$

and

middle_separation: $\text{separation}(M, \lambda x. \text{snd}(\text{fst}(x)) = \text{fst}(\text{snd}(x)))$

and

separation_fst_in_snd: $\text{separation}(M, \lambda y. \text{fst}(\text{snd}(y)) \in \text{snd}(\text{snd}(y)))$

begin

— This lemma is similar to *strong_lam_replacement_imp_strong_replacement* and *lam_replacement_imp_strong_replacement_aux* but does not require *g* to be

closed under M .

lemma *lam_replacement_imp_strong_replacement*:

assumes *lam_replacement*(M, f)

shows *strong_replacement*($M, \lambda x y. y = f(x)$)

<proof>

lemma *Collect_middle*: $\{p \in (\lambda x \in A. f(x)) \times (\lambda x \in \{f(x) . x \in A\}. g(x)) . \text{snd}(\text{fst}(p)) = \text{fst}(\text{snd}(p))\}$

$= \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \}$

<proof>

lemma *RepFun_middle_del*: $\{ \langle \text{fst}(\text{fst}(p)), \text{snd}(\text{snd}(p)) \rangle . p \in \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle$

$. x \in A \} \}$

$= \{ \langle x, g(f(x)) \rangle . x \in A \}$

<proof>

lemma *lam_replacement_imp_RepFun*:

assumes *lam_replacement*(M, f) $M(A)$

shows $M(\{y . x \in A, M(y) \wedge y = f(x)\})$

<proof>

lemma *lam_replacement_product*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g)

shows *lam_replacement*($M, \lambda x. \langle f(x), g(x) \rangle$)

<proof>

lemma *lam_replacement_hcomp*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g) $\forall x[M]. M(f(x))$

shows *lam_replacement*($M, \lambda x. g(f(x))$)

<proof>

lemma *lam_replacement_hcomp2*:

assumes *lam_replacement*(M, f) *lam_replacement*(M, g)

$\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$

lam_replacement($M, \lambda p. h(\text{fst}(p), \text{snd}(p))$)

shows *lam_replacement*($M, \lambda x. h(f(x), g(x))$)

<proof>

lemma *lam_replacement_identity*: *lam_replacement*($M, \lambda x. x$)

<proof>

lemma *strong_replacement_separation_aux* :

assumes *strong_replacement*($M, \lambda x y. y = f(x)$) *separation*(M, P)

shows *strong_replacement*($M, \lambda x y. P(x) \wedge y = f(x)$)

<proof>

lemma *separation_in*:

assumes $\forall x[M]. M(f(x))$ *lam_replacement*(M, f)

$\forall x[M]. M(g(x))$ *lam_replacement*(M, g)

shows *separation*($M, \lambda x. f(x) \in g(x)$)

$\langle \text{proof} \rangle$

lemma *lam_replacement_swap*: $\text{lam_replacement}(M, \lambda x. \langle \text{snd}(x), \text{fst}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *relation_separation*: $\text{separation}(M, \lambda z. \exists x y. z = \langle x, y \rangle)$
 $\langle \text{proof} \rangle$

lemma *separation_Pair*:
 assumes $\text{separation}(M, \lambda y. P(\text{fst}(y), \text{snd}(y)))$
 shows $\text{separation}(M, \lambda y. \exists u v. y = \langle u, v \rangle \wedge P(u, v))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_separation* :
 assumes $\text{lam_replacement}(M, f) \text{ separation}(M, P)$
 shows $\text{strong_replacement}(M, \lambda x y. P(x) \wedge y = \langle x, f(x) \rangle)$
 $\langle \text{proof} \rangle$

lemmas *strong_replacement_separation* =
 $\text{strong_replacement_separation_aux}[\text{OF lam_replacement_imp_strong_replacement}]$

lemma *id_closed*: $M(A) \implies M(\text{id}(A))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Pair*:
 shows $\text{lam_replacement}(M, \lambda x. \langle \text{fst}(x), \text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Upair*: $\text{lam_replacement}(M, \lambda p. \text{Upair}(\text{fst}(p), \text{snd}(p)))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Un*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \cup \text{snd}(p))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_cons*: $\text{lam_replacement}(M, \lambda p. \text{cons}(\text{fst}(p), \text{snd}(p)))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_sing_fun*:
 assumes $\text{lam_replacement}(M, f) \forall x[M]. M(f(x))$
 shows $\text{lam_replacement}(M, \lambda x. \{f(x)\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_sing*: $\text{lam_replacement}(M, \lambda x. \{x\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_CartProd*:
 assumes $\text{lam_replacement}(M, f) \text{ lam_replacement}(M, g)$
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$

shows $\text{lam_replacement}(M, \lambda x. f(x) \times g(x))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_RepFun}$:
assumes $\text{lam_replacement}(M, \lambda x. f(\text{fst}(x), \text{snd}(x)))$ $\text{lam_replacement}(M, g)$
 $\forall x[M]. \forall y \in g(x). M(f(x, y)) \ \forall x[M]. M(g(x))$
shows $\text{lam_replacement}(M, \lambda x. \{f(x, z) . z \in g(x)\})$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Collect}$:
assumes $\text{lam_replacement}(M, f) \ \forall x[M]. M(f(x))$
 $\text{separation}(M, \lambda x. F(\text{fst}(x), \text{snd}(x)))$
shows $\text{lam_replacement}(M, \lambda x. \{y \in f(x) . F(x, y)\})$
 $\langle \text{proof} \rangle$

lemma separation_eq :
assumes $\forall x[M]. M(f(x)) \ \text{lam_replacement}(M, f)$
 $\forall x[M]. M(g(x)) \ \text{lam_replacement}(M, g)$
shows $\text{separation}(M, \lambda x. f(x) = g(x))$
 $\langle \text{proof} \rangle$

lemma separation_comp :
assumes $\text{separation}(M, P) \ \text{lam_replacement}(M, f) \ \forall x[M]. M(f(x))$
shows $\text{separation}(M, \lambda x. P(f(x)))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_domain}$: $\text{lam_replacement}(M, \text{domain})$
 $\langle \text{proof} \rangle$

lemma $\text{separation_in_domain}$: $M(a) \implies \text{separation}(M, \lambda x. a \in \text{domain}(x))$
 $\langle \text{proof} \rangle$

lemma separation_all :
assumes $\text{separation}(M, \lambda x. P(\text{fst}(\text{fst}(x)), \text{snd}(x)))$
 $\text{lam_replacement}(M, f) \ \text{lam_replacement}(M, g)$
 $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$
shows $\text{separation}(M, \lambda z. \forall x \in f(z). P(g(z), x))$
 $\langle \text{proof} \rangle$

lemma separation_ex :
assumes $\text{separation}(M, \lambda x. P(\text{fst}(\text{fst}(x)), \text{snd}(x)))$
 $\text{lam_replacement}(M, f) \ \text{lam_replacement}(M, g)$
 $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$
shows $\text{separation}(M, \lambda z. \exists x \in f(z). P(g(z), x))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_converse}$: $\text{lam_replacement}(M, \text{converse})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Diff*: $\text{lam_replacement}(M, \lambda x. \text{fst}(x) - \text{snd}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_range*: $\text{lam_replacement}(M, \text{range})$
 $\langle \text{proof} \rangle$

lemma *separation_in_range*: $M(a) \implies \text{separation}(M, \lambda x. a \in \text{range}(x))$
 $\langle \text{proof} \rangle$

lemmas *tag_replacement* = *lam_replacement_constant*[*unfolded lam_replacement_def*]

lemma *tag_lam_replacement*: $M(X) \implies \text{lam_replacement}(M, \lambda x. \langle X, x \rangle)$
 $\langle \text{proof} \rangle$

lemma *strong_lam_replacement_imp_lam_replacement_RepFun*:
assumes *strong_replacement*($M, \lambda x z. P(\text{fst}(x), \text{snd}(x)) \wedge z = \langle x, f(\text{fst}(x), \text{snd}(x)) \rangle$)
 $\text{lam_replacement}(M, g)$
 $\bigwedge A y. M(y) \implies M(A) \implies \forall x \in A. P(y, x) \longrightarrow M(f(y, x))$
 $\forall x[M]. M(g(x))$
 $\text{separation}(M, \lambda x. P(\text{fst}(x), \text{snd}(x)))$
shows $\text{lam_replacement}(M, \lambda x. \{y. r \in g(x), P(x, r) \wedge y = f(x, r)\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Collect'*:
assumes $M(A) \text{ separation}(M, \lambda p. F(\text{fst}(p), \text{snd}(p)))$
shows $\text{lam_replacement}(M, \lambda x. \{y \in A. F(x, y)\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_id2*: $\text{lam_replacement}(M, \lambda x. \langle x, x \rangle)$
 $\langle \text{proof} \rangle$

lemmas *id_replacement* = *lam_replacement_id2*[*unfolded lam_replacement_def*]

lemma *lam_replacement_apply2*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \text{ ' } \text{snd}(p))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_apply*: $M(S) \implies \text{lam_replacement}(M, \lambda x. S \text{ ' } x)$
 $\langle \text{proof} \rangle$

lemma *apply_replacement*: $M(S) \implies \text{strong_replacement}(M, \lambda x y. y = S \text{ ' } x)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_id_const*: $M(b) \implies \text{lam_replacement}(M, \lambda x. \langle x, b \rangle)$
 $\langle \text{proof} \rangle$

lemmas *pospend_replacement* = *lam_replacement_id_const*[*unfolded lam_replacement_def*]

lemma *lam_replacement_const_id*: $M(b) \implies \text{lam_replacement}(M, \lambda z. \langle b, z \rangle)$

$\langle \text{proof} \rangle$

lemmas $\text{prepend_replacement} = \text{lam_replacement_const_id}[\text{unfolded lam_replacement_def}]$

lemma $\text{lam_replacement_apply_const_id}: M(f) \implies M(z) \implies$
 $\text{lam_replacement}(M, \lambda x. f \text{ ' } \langle z, x \rangle)$
 $\langle \text{proof} \rangle$

lemmas $\text{apply_replacement2} = \text{lam_replacement_apply_const_id}[\text{unfolded lam_replacement_def}]$

lemma $\text{lam_replacement_Inl}: \text{lam_replacement}(M, \text{Inl})$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Inr}: \text{lam_replacement}(M, \text{Inr})$
 $\langle \text{proof} \rangle$

lemmas $\text{Inl_replacement1} = \text{lam_replacement_Inl}[\text{unfolded lam_replacement_def}]$

lemma $\text{lam_replacement_Diff'}: M(X) \implies \text{lam_replacement}(M, \lambda x. x - X)$
 $\langle \text{proof} \rangle$

lemmas $\text{Pair_diff_replacement} = \text{lam_replacement_Diff'}[\text{unfolded lam_replacement_def}]$

lemma $\text{diff_Pair_replacement}: M(p) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, x - \{p\} \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{swap_replacement}: \text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle y, x \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Un_const}: M(b) \implies \text{lam_replacement}(M, \lambda x. x \cup b)$
 $\langle \text{proof} \rangle$

lemmas $\text{tag_union_replacement} = \text{lam_replacement_Un_const}[\text{unfolded lam_replacement_def}]$

lemma $\text{lam_replacement_csquare}: \text{lam_replacement}(M, \lambda p. \langle \text{fst}(p) \cup \text{snd}(p), \text{fst}(p),$
 $\text{snd}(p) \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{csquare_lam_replacement}: \text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle x \cup y, x, y \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_assoc}: \text{lam_replacement}(M, \lambda x. \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{fst}(x)),$
 $\text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{assoc_replacement}: \text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle \langle x, y \rangle, z \rangle. \langle x,$
 $y, z \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_prod_fun*: $M(f) \implies M(g) \implies \text{lam_replacement}(M, \lambda x. \langle f \text{ ' fst}(x), g \text{ ' snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *prod_fun_replacement*: $M(f) \implies M(g) \implies$
 $\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle w, y \rangle. \langle f \text{ ' } w, g \text{ ' } y \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *separation_subset*:
assumes $\forall x[M]. M(f(x)) \text{ lam_replacement}(M, f)$
 $\forall x[M]. M(g(x)) \text{ lam_replacement}(M, g)$
shows $\text{separation}(M, \lambda x. f(x) \subseteq g(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_twist*: $\text{lam_replacement}(M, \lambda \langle \langle x, y \rangle, z \rangle. \langle x, y, z \rangle)$
 $\langle \text{proof} \rangle$

lemma *twist_closed[intro,simp]*: $M(x) \implies M((\lambda \langle \langle x, y \rangle, z \rangle. \langle x, y, z \rangle)(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage* :
shows $\text{lam_replacement}(M, \lambda x. \text{fst}(x) \text{ - "snd}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \text{ - "}\{\text{snd}(p)\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f \text{ - "}\{x\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_image_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f \text{ - "}\{x\})$
 $\langle \text{proof} \rangle$

lemma *converse_apply_projs*: $\forall x[M]. \bigcup (\text{fst}(x) \text{ - "}\{\text{snd}(x)\}) = \text{converse}(\text{fst}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_converse_app*: $\text{lam_replacement}(M, \lambda p. \text{converse}(\text{fst}(p))$
 $\langle \text{proof} \rangle$

lemmas *cardinal_lib_assms4* = *lam_replacement_vimage_sing_fun*[*unfolded lam_replacement_def*]

lemma *lam_replacement_sing_const_id*:
 $M(x) \implies \text{lam_replacement}(M, \lambda y. \{\langle x, y \rangle\})$
 $\langle \text{proof} \rangle$

lemma *tag_singleton_closed*: $M(x) \implies M(z) \implies M(\{\{ \langle z, y \rangle \} . y \in x\})$
 ⟨proof⟩

lemma *separation_ball*:
 assumes *separation*($M, \lambda y. f(\text{fst}(y), \text{snd}(y))$) $M(X)$
 shows *separation*($M, \lambda y. \forall u \in X. f(y, u)$)
 ⟨proof⟩

lemma *separation_bex*:
 assumes *separation*($M, \lambda y. f(\text{fst}(y), \text{snd}(y))$) $M(X)$
 shows *separation*($M, \lambda y. \exists u \in X. f(y, u)$)
 ⟨proof⟩

lemma *lam_replacement_Lambda*:
 assumes *lam_replacement*($M, \lambda y. b(\text{fst}(y), \text{snd}(y))$)
 $\forall w[M]. \forall y[M]. M(b(w, y)) \implies M(W)$
 shows *lam_replacement*($M, \lambda x. \lambda w \in W. b(x, w)$)
 ⟨proof⟩

lemma *lam_replacement_apply_Pair*:
 assumes $M(y)$
 shows *lam_replacement*($M, \lambda x. y \text{ ‘ } \langle \text{fst}(x), \text{snd}(x) \rangle$)
 ⟨proof⟩

lemma *lam_replacement_apply_fst_snd*:
 shows *lam_replacement*($M, \lambda w. \text{fst}(w) \text{ ‘ } \text{fst}(\text{snd}(w)) \text{ ‘ } \text{snd}(\text{snd}(w))$)
 ⟨proof⟩

lemma *lam_replacement_RepFun_apply* :
 assumes $M(f)$
 shows *lam_replacement*($M, \lambda x. \{f'y . y \in x\}$)
 ⟨proof⟩

lemma *separation_snd_in_fst*: *separation*($M, \lambda x. \text{snd}(x) \in \text{fst}(x)$)
 ⟨proof⟩

lemma *lam_replacement_if_mem*:
 $\text{lam_replacement}(M, \lambda x. \text{if } \text{snd}(x) \in \text{fst}(x) \text{ then } 1 \text{ else } 0)$
 ⟨proof⟩

lemma *lam_replacement_Lambda_apply_fst_snd*:
 assumes $M(X)$
 shows *lam_replacement*($M, \lambda x. \lambda w \in X. x \text{ ‘ } \text{fst}(w) \text{ ‘ } \text{snd}(w)$)
 ⟨proof⟩

lemma *lam_replacement_Lambda_apply_Pair*:
 assumes $M(X) \implies M(y)$
 shows *lam_replacement*($M, \lambda x. \lambda w \in X. y \text{ ‘ } \langle x, w \rangle$)

$\langle proof \rangle$

lemma *lam_replacement_Lambda_if_mem*:

assumes $M(X)$

shows $lam_replacement(M, \lambda x. \lambda xa \in X. \text{if } xa \in x \text{ then } 1 \text{ else } 0)$

$\langle proof \rangle$

lemma *case_closed* :

assumes $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$

shows $\forall x[M]. M(case(f,g,x))$

$\langle proof \rangle$

lemma *separation_fst_equal* : $M(a) \implies separation(M, \lambda x. fst(x)=a)$

$\langle proof \rangle$

lemma *lam_replacement_case* :

assumes $lam_replacement(M,f) \ lam_replacement(M,g)$

$\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$

shows $lam_replacement(M, \lambda x. case(f,g,x))$

$\langle proof \rangle$

lemma *Pi_replacement1*: $M(x) \implies M(y) \implies strong_replacement(M, \lambda ya \ z. ya$

$\in y \wedge z = \{\langle x, ya \rangle\})$

$\langle proof \rangle$

lemma *surj_imp_inj_replacement1*:

$M(f) \implies M(x) \implies strong_replacement(M, \lambda y \ z. y \in f^{-1}\{x\} \wedge z = \{\langle x, y \rangle\})$

$\langle proof \rangle$

lemmas *domain_replacement* = $lam_replacement_domain[unfolded \ lam_replacement_def]$

lemma *domain_replacement_simp*: $strong_replacement(M, \lambda x \ y. y = domain(x))$

$\langle proof \rangle$

lemma *un_Pair_replacement*: $M(p) \implies strong_replacement(M, \lambda x \ y. y = x \cup \{p\})$

$\langle proof \rangle$

lemma *diff_replacement*: $M(X) \implies strong_replacement(M, \lambda x \ y. y = x - X)$

$\langle proof \rangle$

lemma *lam_replacement_succ*:

$lam_replacement(M, \lambda z. succ(z))$

$\langle proof \rangle$

lemma *lam_replacement_hcomp_Least*:

assumes $lam_replacement(M, g) \ lam_replacement(M, \lambda x. \mu i. x \in F(i, x))$

$\forall x[M]. M(g(x)) \wedge x i. M(x) \implies i \in F(i, x) \implies M(i)$

shows $lam_replacement(M, \lambda x. \mu i. g(x) \in F(i, g(x)))$

$\langle proof \rangle$

lemma *domain_mem_separation*: $M(A) \implies \text{separation}(M, \lambda x . \text{domain}(x) \in A)$
 $\langle \text{proof} \rangle$

lemma *domain_eq_separation*: $M(p) \implies \text{separation}(M, \lambda x . \text{domain}(x) = p)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Int*:
shows $\text{lam_replacement}(M, \lambda x. \text{fst}(x) \cap \text{snd}(x))$
 $\langle \text{proof} \rangle$

lemma *restrict_eq_separation'*: $M(B) \implies \forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{restrict}(x, B) \rangle)$
 $\langle \text{proof} \rangle$

lemmas $\text{lam_replacement_restrict}' = \text{lam_replacement_restrict}[\text{OF } \text{restrict_eq_separation}']$

lemma *restrict_strong_replacement*: $M(A) \implies \text{strong_replacement}(M, \lambda x y. y = \text{restrict}(x, A))$
 $\langle \text{proof} \rangle$

lemma *restrict_eq_separation*: $M(r) \implies M(p) \implies \text{separation}(M, \lambda x . \text{restrict}(x, r) = p)$
 $\langle \text{proof} \rangle$

lemma *separation_equal_fst2*: $M(a) \implies \text{separation}(M, \lambda x . \text{fst}(\text{fst}(x)) = a)$
 $\langle \text{proof} \rangle$

lemma *separation_equal_apply*: $M(f) \implies M(a) \implies \text{separation}(M, \lambda x. f'x = a)$
 $\langle \text{proof} \rangle$

lemma *lam_apply_replacement*: $M(A) \implies M(f) \implies \text{lam_replacement}(M, \lambda x . \lambda n \in A. f' \langle x, n \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_comp*:
 $\text{lam_replacement}(M, \lambda x . \text{fst}(x) \circ \text{snd}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_comp'*:
 $M(f) \implies M(g) \implies \text{lam_replacement}(M, \lambda x . f \circ x \circ g)$
 $\langle \text{proof} \rangle$

lemma *RepFun_SigFun_closed*: $M(x) \implies M(z) \implies M(\{\{\langle z, u \rangle\} . u \in x\})$
 $\langle \text{proof} \rangle$

lemma *separation_orthogonal*: $M(x) \implies M(Q) \implies \text{separation}(M, \lambda a . \forall s \in x. \langle s, a \rangle \in Q)$
 $\langle \text{proof} \rangle$

lemma *separation_Transset*: $\text{separation}(M, \text{Transset})$
 $\langle \text{proof} \rangle$

lemma *separation_Ord*: *separation*(*M*, *Ord*)
 ⟨*proof*⟩

lemma *ord_iso_separation*: $M(A) \implies M(r) \implies M(s) \implies$
 $\text{separation}(M, \lambda f. \forall x \in A. \forall y \in A. \langle x, y \rangle \in r \longleftrightarrow \langle f \text{ ` } x, f \text{ ` } y \rangle \in s)$
 ⟨*proof*⟩

lemma *separation_dist*: *separation*(*M*, $\lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \neq b$)
 ⟨*proof*⟩

lemma *separation_imp_lam_closed*:
 assumes $\forall x \in A. F(x) \in B$ *separation*(*M*, $\lambda \langle x, y \rangle. F(x) = y$)
 $M(A) \ M(B)$
 shows $M(\lambda x \in A. F(x))$
 ⟨*proof*⟩

lemma *curry_closed* :
 assumes $M(f) \ M(A) \ M(B)$
 shows $M(\text{curry}(A, B, f))$
 ⟨*proof*⟩

end — *M_replacement*

definition
RepFun_cons :: $i \Rightarrow i \Rightarrow i$ **where**
 $\text{RepFun_cons}(x, y) \equiv \text{RepFun}(x, \lambda z. \{\langle y, z \rangle\})$

context *M_replacement*
begin

lemma *lam_replacement_RepFun_cons''*:
 shows *lam_replacement*(*M*, $\lambda x. \text{RepFun_cons}(\text{fst}(x), \text{snd}(x))$)
 ⟨*proof*⟩

lemma *RepFun_cons_replacement*: *lam_replacement*(*M*, $\lambda p. \text{RepFun}(\text{fst}(p), \lambda x. \{\langle \text{snd}(p), x \rangle\})$)
 ⟨*proof*⟩

lemma *lam_replacement_Sigfun*:
 assumes *lam_replacement*(*M*, *f*) $\forall y[M]. M(f(y))$
 shows *lam_replacement*(*M*, $\lambda x. \text{Sigfun}(x, f)$)
 ⟨*proof*⟩

lemma *phrank_repl*:
 assumes
 $M(f)$
 shows

strong_replacement($M, \lambda x y. y = \text{succ}(f'x)$)
 ⟨proof⟩

lemma *lam_replacement_Powapply_rel*:
 assumes $\forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, \text{Pow_rel}(M, x) \rangle)$
 $M(f)$
 shows
 $\text{lam_replacement}(M, \text{Powapply_rel}(M, f))$
 ⟨proof⟩

lemmas *Powapply_rel_replacement = lam_replacement_Powapply_rel*[*THEN*
lam_replacement_imp_strong_replacement]

lemma *surj_imp_inj_replacement2*:
 $M(f) \implies \text{strong_replacement}(M, \lambda x z. z = \text{Sigfun}(x, \lambda y. f -'' \{y\}))$
 ⟨proof⟩

lemma *lam_replacement_Pi*: $M(y) \implies \text{lam_replacement}(M, \lambda x. \bigcup_{xa \in y} \{\langle x, xa \rangle\})$
 ⟨proof⟩

lemma *Pi_replacement2*: $M(y) \implies \text{strong_replacement}(M, \lambda x z. z = (\bigcup_{xa \in y} \{\langle x, xa \rangle\}))$
 ⟨proof⟩

lemma *if_then_Inj_replacement*:
 shows $M(A) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } \text{Inl}(x) \text{ else } \text{Inr}(x) \rangle)$
 ⟨proof⟩

lemma *lam_if_then_replacement*:
 $M(b) \implies$
 $M(a) \implies M(f) \implies \text{strong_replacement}(M, \lambda y ya. ya = \langle y, \text{if } y = a \text{ then } b \text{ else } f -' y \rangle)$
 ⟨proof⟩

lemma *if_then_replacement*:
 $M(A) \implies M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } f -' x \text{ else } g -' x \rangle)$
 ⟨proof⟩

lemma *ifx_replacement*:
 $M(f) \implies$
 $M(b) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f) -' x \text{ else } b \rangle)$
 ⟨proof⟩

lemma *if_then_range_replacement2*:
 $M(A) \implies M(C) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x = \text{Inl}(A) \text{ then } C$

else x)
 ⟨proof⟩

lemma *if_then_range_replacement*:

$M(u) \implies$
 $M(f) \implies$
strong_replacement
 $(M,$
 $\lambda z y. y = \langle z, \text{if } z = u \text{ then } f \text{ ' } 0 \text{ else if } z \in \text{range}(f) \text{ then } f \text{ ' succ}(\text{converse}(f)$
 $\text{' } z) \text{ else } z \rangle)$
 ⟨proof⟩

lemma *Inl_replacement2*:

$M(A) \implies$
strong_replacement($M, \lambda x y. y = \langle x, \text{if } \text{fst}(x) = A \text{ then } \text{Inl}(\text{snd}(x)) \text{ else } \text{Inr}(x) \rangle$)
 ⟨proof⟩

lemma *case_replacement1*:

strong_replacement($M, \lambda z y. y = \langle z, \text{case}(\text{Inr}, \text{Inl}, z) \rangle$)
 ⟨proof⟩

lemma *case_replacement2*:

strong_replacement($M, \lambda z y. y = \langle z, \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)), z) \rangle$)
 ⟨proof⟩

lemma *case_replacement4*:

$M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda z y. y = \langle z, \text{case}(\lambda w. \text{Inl}(f \text{ ' } w), \lambda y. \text{Inr}(g \text{ ' } y), z) \rangle)$
 ⟨proof⟩

lemma *case_replacement5*:

strong_replacement($M, \lambda x y. y = \langle x, (\lambda \langle x, z \rangle. \text{case}(\lambda y. \text{Inl}(\langle y, z \rangle), \lambda y. \text{Inr}(\langle y, z \rangle), x))(x) \rangle$)
 ⟨proof⟩

end — *M_replacement*

locale *M_Pi_replacement* = *M_Pi* + *M_replacement*

begin

lemma *curry_rel_exp* :

assumes $M(f) \ M(A) \ M(B) \ M(C) \ f \in A \times B \rightarrow C$
shows $\text{curry}(A, B, f) \in A \rightarrow^M (B \rightarrow^M C)$
 ⟨proof⟩

end — *M_Pi_replacement*

— To be used in the relativized treatment of Cohen posets

definition

— "domain collect F"

$dC_F :: i \Rightarrow i \Rightarrow i$ **where**

$dC_F(A, d) \equiv \{p \in A. \text{domain}(p) = d\}$

definition

— "domain restrict SepReplace Y"

$drSR_Y :: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$ **where**

$drSR_Y(B, D, A, x) \equiv \{y. r \in A, \text{restrict}(r, B) = x \wedge y = \text{domain}(r) \wedge \text{domain}(r) \in D\}$

lemma *drSR_Y_equality*: $drSR_Y(B, D, A, x) = \{ dr \in D. (\exists r \in A. \text{restrict}(r, B) = x \wedge dr = \text{domain}(r)) \}$
 <proof>

context *M_replacement*

begin

lemma *separation_restrict_eq_dom_eq*: $\forall x[M]. \text{separation}(M, \lambda dr. \exists r \in A. \text{restrict}(r, B) = x \wedge dr = \text{domain}(r))$
if $M(A)$ **and** $M(B)$ **for** $A\ B$
 <proof>

lemma *separation_is_insnd_restrict_eq_dom* : $\text{separation}(M, \lambda p. (\exists r \in A. \text{restrict}(r, B) = \text{fst}(p) \wedge \text{snd}(p) = \text{domain}(r)))$
if $M(B)\ M(D)\ M(A)$ **for** $A\ B\ D$
 <proof>

lemma *lam_replacement_drSR_Y*:
assumes $M(B)\ M(D)\ M(A)$
shows $\text{lam_replacement}(M, drSR_Y(B, D, A))$
 <proof>

lemma *drSR_Y_closed*:
assumes $M(B)\ M(D)\ M(A)\ M(f)$
shows $M(drSR_Y(B, D, A, f))$
 <proof>

lemma *lam_if_then_apply_replacement*: $M(f) \Longrightarrow M(v) \Longrightarrow M(u) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda x. \text{if } f\ ' \ x = v \text{ then } f\ ' \ u \text{ else } f\ ' \ x)$
 <proof>

lemma *lam_if_then_apply_replacement2*: $M(f) \Longrightarrow M(m) \Longrightarrow M(y) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda z. \text{if } f\ ' \ z = m \text{ then } y \text{ else } f\ ' \ z)$
 <proof>

lemma *lam_if_then_replacement2*: $M(A) \Longrightarrow M(f) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda x. \text{if } x \in A \text{ then } f\ ' \ x \text{ else } x)$

<proof>

lemma *lam_if_then_replacement_apply*: $M(G) \implies \text{lam_replacement}(M, \lambda x. \text{if } M(x) \text{ then } G \text{ ' } x \text{ else } 0)$
<proof>

lemma *lam_replacement_dC_F*:
assumes $M(A)$
shows $\text{lam_replacement}(M, dC_F(A))$
<proof>

lemma *dCF_closed*:
assumes $M(A) \ M(f)$
shows $M(dC_F(A, f))$
<proof>

lemma *lam_replacement_Collect_ball_Pair*:
assumes $M(G) \ M(Q)$
shows $\text{lam_replacement}(M, \lambda x. \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\})$
<proof>

lemma *surj_imp_inj_replacement3*:
assumes $M(G) \ M(Q) \ M(x)$
shows $\text{strong_replacement}(M, \lambda y \ z. y \in \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\} \wedge z = \{\langle x, y \rangle\})$
<proof>

lemmas *replacements* = *Pair_diff_replacement id_replacement tag_replacement*
pospend_replacement prepend_replacement
Inl_replacement1 diff_Pair_replacement
swap_replacement tag_union_replacement csquare_lam_replacement
assoc_replacement prod_fun_replacement
cardinal_lib_assms4 domain_replacement
apply_replacement
un_Pair_replacement restrict_strong_replacement diff_replacement
if_then_Inj_replacement lam_if_then_replacement if_then_replacement
ifx_replacement if_then_range_replacement2 if_then_range_replacement
Inl_replacement2
case_replacement1 case_replacement2 case_replacement4 case_replacement5

lemma *zermelo_separation*: $M(Q) \implies M(f) \implies \text{separation}(M, \lambda X. Q \cup f \text{ " } X \subseteq X)$
<proof>

end — *M_replacement*

18.2 Some basic replacement lemmas

lemma (*in M_trans*) *strong_replacement_conj*:

```

assumes  $\bigwedge A. M(A) \implies \text{univalent}(M, A, P) \text{ strong\_replacement}(M, P)$ 
          $\text{separation}(M, \lambda x. \exists b[M]. Q(x, b) \wedge P(x, b))$ 
shows  $\text{strong\_replacement}(M, \lambda x z. Q(x, z) \wedge P(x, z))$ 
 $\langle \text{proof} \rangle$ 

lemma  $\text{strong\_replacement\_iff\_bounded\_M}$ :
   $\text{strong\_replacement}(M, P) \longleftrightarrow \text{strong\_replacement}(M, \lambda x z. M(z) \wedge M(x) \wedge P(x, z))$ 
 $\langle \text{proof} \rangle$ 

end

```

19 Basic relativization of cardinality

```

theory Discipline_Cardinal
imports
  Discipline_Function
begin

 $\langle ML \rangle$ 

notation  $\text{is\_cardinal\_fm} (\langle \text{cardinal}'(\_) \text{ is } \_ \rangle)$ 

```

abbreviation

$\text{cardinal_r} :: [i, i \Rightarrow o] \Rightarrow i \ (\langle | _ | _ \rangle)$ **where**
 $|x|^M \equiv \text{cardinal_rel}(M, x)$

abbreviation

$\text{cardinal_r_set} :: [i, i] \Rightarrow i \ (\langle | _ | _ \rangle)$ **where**
 $|x|^M \equiv \text{cardinal_rel}(\#\# M, x)$

```

context  $M\_trivial$ 
begin
 $\langle ML \rangle$ 
 $\langle \text{proof} \rangle$ 
end —  $M\_trivial$ 

```

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

```

lemma  $\text{arity\_is\_surj\_fm} [\text{arity}]$  :
   $A \in \text{nat} \implies B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is\_surj\_fm}(A, B, I)) = \text{succ}(A) \cup \text{succ}(B) \cup \text{succ}(I)$ 
 $\langle \text{proof} \rangle$ 

```

$\langle ML \rangle$

lemma *arity_is_inj_fm* [arity]:

$A \in \text{nat} \implies B \in \text{nat} \implies I \in \text{nat} \implies \text{arity}(\text{is_inj_fm}(A, B, I)) = \text{succ}(A) \cup \text{succ}(B) \cup \text{succ}(I)$
 ⟨proof⟩

⟨ML⟩

context *M_Perm*

begin

⟨ML⟩

⟨proof⟩

end — *M_Perm*

⟨ML⟩

notation *lt_rel_fm* ($\langle \cdot _ < _ \cdot \rangle$)

⟨ML⟩

lemma *arity_lt_rel_fm*[arity]: $a \in \text{nat} \implies b \in \text{nat} \implies \text{arity}(\text{lt_rel_fm}(a, b)) = \text{succ}(a) \cup \text{succ}(b)$

⟨proof⟩

⟨ML⟩

notation *is_Card_fm* ($\langle \cdot \text{Card}'(_) \cdot \rangle$)

⟨ML⟩

notation *Card_rel* ($\langle \text{Card}'(_) \rangle$)

lemma (in *M_Perm*) *is_Card_iff*: $M(A) \implies \text{is_Card}(M, A) \longleftrightarrow \text{Card}^M(A)$

⟨proof⟩

abbreviation

Card_r_set :: $[i, i] \Rightarrow o$ ($\langle \text{Card}'(_) \rangle$) **where**

$\text{Card}^M(i) \equiv \text{Card_rel}(\#\#M, i)$

⟨ML⟩

notation *is_InfCard_fm* ($\langle \cdot \text{InfCard}'(_) \cdot \rangle$)

⟨ML⟩

notation *InfCard_rel* ($\langle \text{InfCard}'(_) \rangle$)

abbreviation

InfCard_r_set :: $[i, i] \Rightarrow o$ ($\langle \text{InfCard}'(_) \rangle$) **where**

$\text{InfCard}^M(i) \equiv \text{InfCard_rel}(\#\#M, i)$

19.1 Disicpline for $(\oplus)$

⟨ML⟩

abbreviation

$cadd_r :: [i, i \Rightarrow o, i] \Rightarrow i \ (\langle _ \oplus _ \rangle \ [66, 1, 66] \ 65)$ **where**
 $A \oplus^M B \equiv cadd_rel(M, A, B)$

context M_basic
begin
 $\langle ML \rangle$
 $\langle proof \rangle$
end — M_basic

$\langle ML \rangle$
 $\langle proof \rangle$
 $\langle ML \rangle$

context M_Perm
begin
 $\langle ML \rangle$
 $\langle proof \rangle$
end — M_Perm

19.2 Disicpline for $(\otimes)$

$\langle ML \rangle$

abbreviation
 $cmult_r :: [i, i \Rightarrow o, i] \Rightarrow i \ (\langle _ \otimes _ \rangle \ [66, 1, 66] \ 65)$ **where**
 $A \otimes^M B \equiv cmult_rel(M, A, B)$

$\langle ML \rangle$

declare $cartprod_iff_sats \ [iff_sats]$

$\langle ML \rangle$

context M_Perm
begin
 $\langle ML \rangle$
 $\langle proof \rangle$
 $\langle ML \rangle$
 $\langle proof \rangle$
end — M_Perm

end

20 Relativization of the cumulative hierarchy

theory $Univ_Relative$


```

imports
  ZF-Constructible.Rank
  ZF.Univ
  Discipline_Cardinal

begin

declare arity_ordinal_fm[arity]

context M_trivial
begin
declare powerset_abs[simp]

lemma family_union_closed:  $\llbracket \text{strong\_replacement}(M, \lambda x y. y = f(x)); M(A);$ 
 $\forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup_{x \in A} f(x))$ 
  <proof>

lemma family_union_closed':  $\llbracket \text{strong\_replacement}(M, \lambda x y. x \in A \wedge y = f(x));$ 
 $M(A); \forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup_{x \in A} f(x))$ 
  <proof>

end — M_trivial

definition
  HVfrom ::  $[i, i, i] \Rightarrow i$  where
    HVfrom(A, x, f)  $\equiv A \cup (\bigcup_{y \in x} \text{Powapply}(f, y))$ 

  <ML>

lemma arity_is_HVfrom_fm:
  A  $\in \text{nat} \implies$ 
  x  $\in \text{nat} \implies$ 
  f  $\in \text{nat} \implies$ 
  d  $\in \text{nat} \implies$ 
  arity(is_HVfrom_fm(A, x, f, d)) = succ(A)  $\cup$  succ(d)  $\cup$  (succ(x)  $\cup$  succ(f))
  <proof>

notation HVfrom_rel ( $\langle \text{HVfrom}'(\_, \_, \_) \rangle$ )

locale M_HVfrom = M_eclose +
assumes
  Powapply_replacement:
    M(f)  $\implies \text{strong\_replacement}(M, \lambda y z. z = \text{Powapply}^M(f, y))$ 
begin

  <ML>
  <proof>

```

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_HVfrom

definition

$Vfrom_rel :: [i \Rightarrow o, i, i] \Rightarrow i \ (\lhd Vfrom_rel'(_, _)) \rhd$ **where**
 $Vfrom^M(A, i) = transrec(i, HVfrom_rel(M, A))$

definition

$is_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_Vfrom(M, A, i, z) \equiv is_transrec(M, is_HVfrom(M, A), i, z)$

definition

$Hrank :: [i, i] \Rightarrow i$ **where**
 $Hrank(x, f) \equiv (\bigcup y \in x. succ(f'y))$

definition

$rrank :: i \Rightarrow i$ **where**
 $rrank(a) \equiv Memrel(eclose(\{a\}))^+$

$\langle ML \rangle$

lemma $arity_is_Hrank_fm : x \in nat \Longrightarrow$

$f \in nat \Longrightarrow$
 $d \in nat \Longrightarrow$
 $arity(is_Hrank_fm(x, f, d)) =$
 $succ(d) \cup succ(x) \cup succ(f)$
 $\langle proof \rangle$

locale $M_Vfrom = M_HVfrom +$

assumes

$trepl_HVfrom : \llbracket M(A); M(i) \rrbracket \Longrightarrow transrec_replacement(M, is_HVfrom(M, A), i)$

and

$Hrank_replacement : M(f) \Longrightarrow strong_replacement(M, \lambda x y. y = succ(f'x))$

and

$is_Hrank_replacement : M(x) \Longrightarrow wfrec_replacement(M, is_Hrank(M), rrank(x))$

and

$HVfrom_replacement : \llbracket M(i) ; M(A) \rrbracket \Longrightarrow$
 $transrec_replacement(M, is_HVfrom(M, A), i)$

begin

lemma $Vfrom_rel_iff :$

assumes $M(A) \ M(i) \ M(z) \ Ord(i)$

shows $is_Vfrom(M, A, i, z) \longleftrightarrow z = Vfrom^M(A, i)$

$\langle proof \rangle$

lemma *relation2_HVfrom*: $M(A) \implies \text{relation2}(M, \text{is_HVfrom}(M, A), \text{HVfrom_rel}(M, A))$
 $\langle \text{proof} \rangle$

lemma *HVfrom_closed* :
 $M(A) \implies \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{HVfrom_rel}(M, A, x, g))$
 $\langle \text{proof} \rangle$

lemma *Vfrom_rel_closed*:
assumes $M(A) \ M(i) \ \text{Ord}(i)$
shows $M(\text{transrec}(i, \text{HVfrom_rel}(M, A)))$
 $\langle \text{proof} \rangle$

lemma *transrec_HVfrom*:
assumes $M(A)$
shows $\text{Ord}(i) \implies M(i) \implies \{x \in V\text{from}(A, i). M(x)\} = \text{transrec}(i, \text{HVfrom_rel}(M, A))$
 $\langle \text{proof} \rangle$

lemma *Vfrom_abs*: $\llbracket M(A); M(i); M(V); \text{Ord}(i) \rrbracket \implies \text{is_Vfrom}(M, A, i, V) \longleftrightarrow$
 $V = \{x \in V\text{from}(A, i). M(x)\}$
 $\langle \text{proof} \rangle$

lemma *Vfrom_closed*: $\llbracket M(A); M(i); \text{Ord}(i) \rrbracket \implies M(\{x \in V\text{from}(A, i). M(x)\})$
 $\langle \text{proof} \rangle$

end — $M_V\text{from}$

20.1 Formula synthesis

context $M_V\text{from}$

begin

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

lemma *relation2_Hrank* :
 $\text{relation2}(M, \text{is_Hrank}(M), \text{Hrank})$
 $\langle \text{proof} \rangle$

lemma *Hrank_closed* :
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(\text{Hrank}(x, g))$
 $\langle \text{proof} \rangle$

end — M_basic

context M_eclose

begin

lemma *wf_rrank* : $M(x) \implies wf(rrank(x))$
 ⟨proof⟩

lemma *trans_rrank* : $M(x) \implies trans(rrank(x))$
 ⟨proof⟩

lemma *relation_rrank* : $M(x) \implies relation(rrank(x))$
 ⟨proof⟩

lemma *rrank_in_M* : $M(x) \implies M(rrank(x))$
 ⟨proof⟩

end — *M_eclose*

lemma *Hrank_trancl*: $Hrank(y, restrict(f, Memrel(eclose(\{x\})) - “\{y\}”))$
 $= Hrank(y, restrict(f, (Memrel(eclose(\{x\}))^\wedge +) - “\{y\}”))$
 ⟨proof⟩

lemma *rank_trancl*: $rank(x) = wfrec(rrank(x), x, Hrank)$
 ⟨proof⟩

definition

$Vset' :: [i] \Rightarrow i$ **where**
 $Vset'(A) \equiv Vfrom(0, A)$

⟨ML⟩

schematic_goal *sats_is_Vset_fm_auto*:

assumes

$i \in nat \ v \in nat \ env \in list(A) \ 0 \in A$
 $i < length(env) \ v < length(env)$

shows

$is_Vset(\#\#A, nth(i, env), nth(v, env)) \longleftrightarrow sats(A, ?ivs_fm(i, v), env)$

⟨proof⟩

⟨ML⟩

context *M_Vfrom*

begin

lemma *Vset_abs*: $\llbracket M(i); M(V); Ord(i) \rrbracket \implies is_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$
 ⟨proof⟩

lemma *Vset_closed*: $\llbracket M(i); Ord(i) \rrbracket \implies M(\{x \in Vset(i). M(x)\})$
 ⟨proof⟩

lemma *rank_closed*: $M(a) \implies M(rank(a))$
 ⟨proof⟩

```

lemma M_into_Vset:
  assumes  $M(a)$ 
  shows  $\exists i[M]. \exists V[M]. \text{ordinal}(M,i) \wedge \text{is\_Vset}(M,i,V) \wedge a \in V$ 
   $\langle \text{proof} \rangle$ 

end — M_HVfrom

end

```

21 Relative, Choice-less Cardinal Numbers

```

theory Cardinal_Relative
  imports
    Lambda_Replacement
    Univ_Relative
begin

```

The following command avoids that a commonly used one-letter variable be captured by the definition of the constructible universe L .

```
hide_const (open)  $L$ 
```

We also return to the old notation for $(+)$ to preserve the old Constructibility code.

```

no_notation oadd (infixl  $\langle + \rangle$  65)
notation sum (infixr  $\langle + \rangle$  65)

```

definition

```

 $\text{Finite\_rel} :: [i \Rightarrow o, i] \Rightarrow o$  where
 $\text{Finite\_rel}(M,A) \equiv \exists om[M]. \exists n[M]. \text{omega}(M,om) \wedge n \in om \wedge \text{eqpoll\_rel}(M,A,n)$ 

```

definition

```

 $\text{banach\_functor} :: [i,i,i,i,i] \Rightarrow i$  where
 $\text{banach\_functor}(X,Y,f,g,W) \equiv X - g''(Y - f''W)$ 

```

```

lemma banach_functor_subset:  $\text{banach\_functor}(X,Y,f,g,W) \subseteq X$ 
   $\langle \text{proof} \rangle$ 

```

definition

```

 $\text{is\_banach\_functor} :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  where
 $\text{is\_banach\_functor}(M,X,Y,f,g,W,b) \equiv$ 
   $\exists fW[M]. \exists YfW[M]. \exists gYfW[M]. \text{image}(M,f,W,fW) \wedge \text{setdiff}(M,Y,fW,YfW)$ 
 $\wedge$ 
   $\text{image}(M,g,gYfW,gYfW) \wedge \text{setdiff}(M,X,gYfW,b)$ 

```

```

lemma (in M_basic) banach_functor_abs :
  assumes  $M(X) \ M(Y) \ M(f) \ M(g)$ 
  shows  $\text{relation1}(M, \text{is\_banach\_functor}(M,X,Y,f,g), \text{banach\_functor}(X,Y,f,g))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma (in M_basic) banach_functor_closed:
  assumes  $M(X) \ M(Y) \ M(f) \ M(g)$ 
  shows  $\forall W[M]. \ M(\text{banach\_functor}(X, Y, f, g, W))$ 
  <proof>

context M_trancl
begin

lemma iterates_banach_functor_closed:
  assumes  $n \in \omega \ M(X) \ M(Y) \ M(f) \ M(g)$ 
  shows  $M(\text{banach\_functor}(X, Y, f, g)^{\wedge n}(0))$ 
  <proof>

lemma banach_repl_iter':
  assumes
     $\bigwedge A. \ M(A) \implies \text{separation}(M, \lambda b. \ \exists x \in A. \ x \in \omega \wedge b = \text{banach\_functor}(X, Y,$ 
     $f, g)^{\wedge x}(0))$ 
     $M(X) \ M(Y) \ M(f) \ M(g)$ 
  shows
     $\text{strong\_replacement}(M, \lambda x \ y. \ x \in \text{nat} \wedge y = \text{banach\_functor}(X, Y, f, g)^{\wedge x}(0))$ 
  <proof>

end — M_trancl

context M_Perm
begin

lemma mem_Pow_rel:  $M(r) \implies a \in \text{Pow\_rel}(M, r) \implies a \in \text{Pow}(r) \wedge M(a)$ 
  <proof>

lemma mem_bij_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{bij}^M(A, B) \longleftrightarrow f \in \text{bij}(A, B)$ 
  <proof>

lemma mem_inj_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, B) \longleftrightarrow f \in \text{inj}(A, B)$ 
  <proof>

lemma mem_surj_abs:  $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{surj}^M(A, B) \longleftrightarrow f \in \text{surj}(A, B)$ 
  <proof>

end — M_Perm

locale M_cardinals = M_ordertype + M_trancl + M_Perm + M_replacement
+
assumes
  radd_separation:  $M(R) \implies M(S) \implies$ 
  separation( $M, \lambda z. (\exists x \ y. \ z = \langle \text{Inl}(x), \text{Inr}(y) \rangle) \vee$ 
     $(\exists x' \ x. \ z = \langle \text{Inl}(x'), \text{Inl}(x) \rangle \wedge \langle x', x \rangle \in R) \vee$ 

```

$(\exists y' y. z = \langle \text{Inr}(y'), \text{Inr}(y) \rangle \wedge \langle y', y \rangle \in S)$
and
 $\text{rmult_separation}: M(b) \implies M(d) \implies \text{separation}(M,$
 $\lambda z. \exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in b \vee x' = x \wedge \langle y', y \rangle \in d))$
begin
lemma *rvimage_separation*: $M(f) \implies M(r) \implies$
 $\text{separation}(M, \lambda z. \exists x y. z = \langle x, y \rangle \wedge \langle f'x, f'y \rangle \in r)$
 $\langle \text{proof} \rangle$
lemma *radd_closed[intro,simp]*: $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{radd}(a,b,c,d))$
 $\langle \text{proof} \rangle$
lemma *rmult_closed[intro,simp]*: $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{rmult}(a,b,c,d))$
 $\langle \text{proof} \rangle$
end — *M_cardinals*
lemma (**in** *M_cardinals*) *is_cardinal_iff_Least*:
assumes $M(A) \ M(\kappa)$
shows $\text{is_cardinal}(M,A,\kappa) \longleftrightarrow \kappa = (\mu i. M(i) \wedge i \approx^M A)$
 $\langle \text{proof} \rangle$

21.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

context *M_cardinals*
begin

21.2 Banach's Decomposition Theorem

lemma *bnd_mono_banach_functor*: $\text{bnd_mono}(X, \text{banach_functor}(X,Y,f,g))$
 $\langle \text{proof} \rangle$

lemma *inj_Inter*:
assumes $g \in \text{inj}(Y,X) \ A \neq 0 \ \forall a \in A. a \subseteq Y$
shows $g'(\bigcap A) = (\bigcap_{a \in A} g'a)$
 $\langle \text{proof} \rangle$

lemma *contin_banach_functor*:
assumes $g \in \text{inj}(Y,X)$
shows $\text{contin}(\text{banach_functor}(X,Y,f,g))$
 $\langle \text{proof} \rangle$

lemma *lfp_banach_functor*:
assumes $g \in \text{inj}(Y,X)$
shows $\text{lfp}(X, \text{banach_functor}(X,Y,f,g)) =$
 $(\bigcup_{n \in \text{nat}. \text{banach_functor}(X,Y,f,g) \hat{\ }^n (0))$

$\langle proof \rangle$

lemma *lfp_banach_functor_closed*:

assumes $M(g) \ M(X) \ M(Y) \ M(f) \ g \in inj(Y, X)$
and *banach_repl_iter*: $M(X) \implies M(Y) \implies M(f) \implies M(g) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows $M(lfp(X, banach_functor(X, Y, f, g)))$
 $\langle proof \rangle$

lemma *banach_decomposition_rel*:

assumes
banach_repl_iter: $M(f) \implies M(g) \implies M(X) \implies M(Y) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows
 $[| M(f); M(g); M(X); M(Y); f \in X \rightarrow Y; g \in inj(Y, X) |] \implies$
 $\exists XA[M]. \exists XB[M]. \exists YA[M]. \exists YB[M].$
 $(XA \cap XB = 0) \ \& \ (XA \cup XB = X) \ \&$
 $(YA \cap YB = 0) \ \& \ (YA \cup YB = Y) \ \&$
 $f''XA = YA \ \& \ g''YB = XB$
 $\langle proof \rangle$

lemma *schroeder_bernstein_closed*:

assumes
banach_repl_iter: $M(X) \implies M(Y) \implies M(f) \implies M(g) \implies$
 $strong_replacement(M, \lambda x y. x \in nat \wedge y = banach_functor(X, Y, f,$
 $g) \hat{x} (0))$
shows
 $[| M(f); M(g); M(X); M(Y); f \in inj(X, Y); g \in inj(Y, X) |] \implies \exists h[M]. h \in$
 $bij(X, Y)$
 $\langle proof \rangle$

The previous lemmas finish our original, direct relativization of the material involving the iterative proof (as appearing in *ZF.Cardinal*) of the Schröder-Bernstein theorem. Next, we formalize Zermelo's proof that replaces the recursive construction by a fixed point represented as an intersection [2, Exr. x4.27]. This allows to avoid at least one replacement assumption.

lemma *dedekind_zermelo*:

assumes
 $A' \subseteq B \ B \subseteq A \ A \approx^M A'$
and *types*: $M(A') \ M(B) \ M(A)$
shows
 $A \approx^M B$
 $\langle proof \rangle$

lemma *schroeder_bernstein_closed'*:

assumes $f \in inj^M(A, C) \ g \in inj^M(C, A)$
and *types*: $M(A) \ M(C)$

shows $A \approx^M C$
 $\langle proof \rangle$

Relative equipollence is an equivalence relation

declare $mem_bij_abs[simp]$ $mem_inj_abs[simp]$

lemma $bij_imp_eqpoll_rel$:
assumes $f \in bij(A,B)$ $M(f)$ $M(A)$ $M(B)$
shows $A \approx^M B$
 $\langle proof \rangle$

lemma $eqpoll_rel_refl$: $M(A) \implies A \approx^M A$
 $\langle proof \rangle$

lemma $eqpoll_rel_sym$: $X \approx^M Y \implies M(X) \implies M(Y) \implies Y \approx^M X$
 $\langle proof \rangle$

lemma $eqpoll_rel_trans$ [trans]:
 $[X \approx^M Y; Y \approx^M Z; M(X); M(Y); M(Z)] \implies X \approx^M Z$
 $\langle proof \rangle$

Relative le-pollence is a preorder

lemma $subset_imp_lepoll_rel$: $X \subseteq Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$
 $\langle proof \rangle$

lemmas $lepoll_rel_refl = subset_refl$ [THEN subset_imp_lepoll_rel, simp]

lemmas $le_imp_lepoll_rel = le_imp_subset$ [THEN subset_imp_lepoll_rel]

lemma $eqpoll_rel_imp_lepoll_rel$: $X \approx^M Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$
 $\langle proof \rangle$

lemma $lepoll_rel_trans$ [trans]:
assumes
 $X \lesssim^M Y$ $Y \lesssim^M Z$ $M(X)$ $M(Y)$ $M(Z)$
shows
 $X \lesssim^M Z$
 $\langle proof \rangle$

lemma $eq_lepoll_rel_trans$ [trans]:
assumes
 $X \approx^M Y$ $Y \lesssim^M Z$ $M(X)$ $M(Y)$ $M(Z)$
shows
 $X \lesssim^M Z$
 $\langle proof \rangle$

lemma $lepoll_rel_eq_trans$ [trans]:
assumes $X \lesssim^M Y$ $Y \approx^M Z$ $M(X)$ $M(Y)$ $M(Z)$

shows $X \lesssim^M Z$
 $\langle proof \rangle$

lemma *eqpoll_relI*: $\llbracket X \lesssim^M Y; Y \lesssim^M X; M(X); M(Y) \rrbracket \implies X \approx^M Y$
 $\langle proof \rangle$

lemma *eqpoll_relE*:
 $\llbracket X \approx^M Y; \llbracket X \lesssim^M Y; Y \lesssim^M X \rrbracket \implies P; M(X); M(Y) \rrbracket \implies P$
 $\langle proof \rangle$

lemma *eqpoll_rel_iff*: $M(X) \implies M(Y) \implies X \approx^M Y \longleftrightarrow X \lesssim^M Y \ \& \ Y \lesssim^M X$
 $\langle proof \rangle$

lemma *lepoll_rel_0_is_0*: $A \lesssim^M 0 \implies M(A) \implies A = 0$
 $\langle proof \rangle$

lemmas *empty_lepoll_relI* = *empty_subsetI* [THEN *subset_imp_lepoll_rel*, OF *nonempty*]

lemma *lepoll_rel_0_iff*: $M(A) \implies A \lesssim^M 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *Un_lepoll_rel_Un*:
 $\llbracket A \lesssim^M B; C \lesssim^M D; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket \implies A \cup C \lesssim^M B \cup D$
 $\langle proof \rangle$

lemma *eqpoll_rel_0_is_0*: $A \approx^M 0 \implies M(A) \implies A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_0_iff*: $M(A) \implies A \approx^M 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_disjoint_Un*:
 $\llbracket A \approx^M B; C \approx^M D; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket \implies A \cup C \approx^M B \cup D$
 $\langle proof \rangle$

21.3 lesspoll: contributions by Krzysztof Grabczewski

lemma *lesspoll_rel_not_refl*: $M(i) \implies \sim (i \prec^M i)$
 $\langle proof \rangle$

lemma *lesspoll_rel_irrefl*: $i \prec^M i \implies M(i) \implies P$
 $\langle proof \rangle$

lemma *lesspoll_rel_imp_lepoll_rel*: $\llbracket A \prec^M B; M(A); M(B) \rrbracket \implies A \lesssim^M B$
 $\langle proof \rangle$

lemma *rvimage_closed* [intro,simp]:

assumes
 $M(A) \ M(f) \ M(r)$
shows
 $M(rvimage(A, f, r))$
 $\langle proof \rangle$

lemma *lepoll_rel_well_ord*: $\llbracket A \lesssim^M B; well_ord(B, r); M(A); M(B); M(r) \rrbracket$
 $\implies \exists s[M]. well_ord(A, s)$
 $\langle proof \rangle$

lemma *lepoll_rel_iff_leqpoll_rel*: $\llbracket M(A); M(B) \rrbracket \implies A \lesssim^M B \longleftrightarrow A \prec^M B \mid A \approx^M B$
 $\langle proof \rangle$

end — *M_cardinals*

context *M_cardinals*
begin

lemma *inj_rel_is_fun_M*: $f \in inj^M(A, B) \implies M(f) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$
 $\langle proof \rangle$

lemma *inj_rel_not_surj_rel_succ*:
notes *mem_inj_abs[simp del]*
assumes *fi*: $f \in inj^M(A, succ(m))$ **and** *fns*: $f \notin surj^M(A, succ(m))$
and types: $M(f) \ M(A) \ M(m)$
shows $\exists f[M]. f \in inj^M(A, m)$
 $\langle proof \rangle$

lemma *lesspoll_rel_trans [trans]*:
 $\llbracket X \prec^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$
 $\langle proof \rangle$

lemma *lesspoll_rel_trans1 [trans]*:
 $\llbracket X \lesssim^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$
 $\langle proof \rangle$

lemma *lesspoll_rel_trans2 [trans]*:
 $\llbracket X \prec^M Y; Y \lesssim^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$
 $\langle proof \rangle$

lemma *eq_lesspoll_rel_trans [trans]*:
 $\llbracket X \approx^M Y; Y \prec^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$
 $\langle proof \rangle$

lemma *lesspoll_rel_eq_trans [trans]*:
 $\llbracket X \prec^M Y; Y \approx^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \prec^M Z$
 $\langle proof \rangle$

lemma *is_cardinal_cong*:
assumes $X \approx^M Y$ $M(X)$ $M(Y)$
shows $\exists \kappa[M]. \text{is_cardinal}(M, X, \kappa) \wedge \text{is_cardinal}(M, Y, \kappa)$
 $\langle \text{proof} \rangle$
lemma *cardinal_rel_cong*: $X \approx^M Y \implies M(X) \implies M(Y) \implies |X|^M = |Y|^M$
 $\langle \text{proof} \rangle$

lemma *well_ord_is_cardinal_eqpoll_rel*:
assumes $\text{well_ord}(A, r)$ **shows** $\text{is_cardinal}(M, A, \kappa) \implies M(A) \implies M(\kappa) \implies$
 $M(r) \implies \kappa \approx^M A$
 $\langle \text{proof} \rangle$

lemmas *Ord_is_cardinal_eqpoll_rel* = *well_ord_Memrel*[*THEN well_ord_is_cardinal_eqpoll_rel*]

22 Porting from *ZF.Cardinal*

The following results were ported more or less directly from *ZF.Cardinal*

lemma *well_ord_cardinal_rel_eqpoll_rel*:
assumes $r: \text{well_ord}(A, r)$ **and** $M(A)$ $M(r)$ **shows** $|A|^M \approx^M A$
 $\langle \text{proof} \rangle$

lemmas *Ord_cardinal_rel_eqpoll_rel* = *well_ord_Memrel*[*THEN well_ord_cardinal_rel_eqpoll_rel*]

lemma *Ord_cardinal_rel_idem*: $\text{Ord}(A) \implies M(A) \implies ||A|^M|^M = |A|^M$
 $\langle \text{proof} \rangle$

lemma *well_ord_cardinal_rel_eqE*:
assumes $\text{woX}: \text{well_ord}(X, r)$ **and** $\text{woY}: \text{well_ord}(Y, s)$ **and** $\text{eq}: |X|^M = |Y|^M$
and types: $M(X)$ $M(r)$ $M(Y)$ $M(s)$
shows $X \approx^M Y$
 $\langle \text{proof} \rangle$

lemma *well_ord_cardinal_rel_eqpoll_rel_iff*:
 $[| \text{well_ord}(X, r); \text{well_ord}(Y, s); M(X); M(r); M(Y); M(s) |] \implies |X|^M =$
 $|Y|^M \iff X \approx^M Y$
 $\langle \text{proof} \rangle$

lemma *Ord_cardinal_rel_le*: $\text{Ord}(i) \implies M(i) \implies |i|^M \leq i$
 $\langle \text{proof} \rangle$

lemma *Card_rel_cardinal_rel_eq*: $\text{Card}^M(K) \implies M(K) \implies |K|^M = K$
 $\langle \text{proof} \rangle$

lemma *Card_rell*: $[| \text{Ord}(i); \forall j. j < i \implies M(j) \implies \sim(j \approx^M i); M(i) |] \implies$
 $\text{Card}^M(i)$
 $\langle \text{proof} \rangle$

lemma *Card_rel_is_Ord*: $\text{Card}^M(i) \implies M(i) \implies \text{Ord}(i)$

$\langle proof \rangle$

lemma *Card_rel_cardinal_rel_le*: $Card^M(K) ==> M(K) ==> K \leq |K|^M$
 $\langle proof \rangle$

lemma *Ord_cardinal_rel* [*simp,intro!*]: $M(A) ==> Ord(|A|^M)$
 $\langle proof \rangle$

lemma *Card_rel_iff_initial*: **assumes** *types*: $M(K)$
shows $Card^M(K) \longleftrightarrow Ord(K) \ \& \ (\forall j[M]. j < K \longrightarrow \sim (j \approx^M K))$
 $\langle proof \rangle$

lemma *lt_Card_rel_imp_lesspoll_rel*: $[| Card^M(a); i < a; M(a); M(i) |] ==> i \prec_a^M$
 $\langle proof \rangle$

lemma *Card_rel_0*: $Card^M(0)$
 $\langle proof \rangle$

lemma *Card_rel_Un*: $[| Card^M(K); Card^M(L); M(K); M(L) |] ==> Card^M(K \cup L)$
 $\langle proof \rangle$

lemma *Card_rel_cardinal_rel* [*iff*]: **assumes** *types*: $M(A)$ **shows** $Card^M(|A|^M)$
 $\langle proof \rangle$

lemma *cardinal_rel_eq_lemma*:
assumes $i: |i|^M \leq j$ **and** $j: j \leq i$ **and** *types*: $M(i) \ M(j)$
shows $|j|^M = |i|^M$
 $\langle proof \rangle$

lemma *cardinal_rel_mono*:
assumes $ij: i \leq j$ **and** *types*: $M(i) \ M(j)$ **shows** $|i|^M \leq |j|^M$
 $\langle proof \rangle$

lemma *cardinal_rel_lt_imp_lt*: $[| |i|^M < |j|^M; Ord(i); Ord(j); M(i); M(j) |] ==> i < j$
 $\langle proof \rangle$

lemma *Card_rel_lt_imp_lt*: $[| |i|^M < K; Ord(i); Card^M(K); M(i); M(K) |] ==> i < K$
 $\langle proof \rangle$

lemma *Card_rel_lt_iff*: $[| Ord(i); Card^M(K); M(i); M(K) |] ==> (|i|^M < K) \longleftrightarrow (i < K)$
 $\langle proof \rangle$

lemma *Card_rel_le_iff*: $[| Ord(i); Card^M(K); M(i); M(K) |] ==> (K \leq |i|^M) \longleftrightarrow (K \leq i)$

$\langle \text{proof} \rangle$

lemma *well_ord_lepoll_rel_imp_cardinal_rel_le*:
assumes *wB*: *well_ord*(*B*,*r*) **and** *AB*: $A \lesssim^M B$
and
types: $M(B) \ M(r) \ M(A)$
shows $|A|^M \leq |B|^M$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_cardinal_rel_le*: $[| A \lesssim^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \leq i$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_Ord_imp_eqpoll_rel*: $[| A \lesssim^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \approx^M A$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_imp_eqpoll_rel*: $[| A \prec^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \approx^M A$
 $\langle \text{proof} \rangle$

lemma *lesspoll_cardinal_lt_rel*:
shows $[| A \prec^M i; \text{Ord}(i); M(i); M(A) |] \implies |A|^M < i$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_subset_Ord*: $[| A \leq i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M \subseteq i$
 $\langle \text{proof} \rangle$

lemma *cons_lepoll_rel_consD*:
 $[| \text{cons}(u,A) \lesssim^M \text{cons}(v,B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \lesssim^M B$
 $\langle \text{proof} \rangle$

lemma *cons_eqpoll_rel_consD*: $[| \text{cons}(u,A) \approx^M \text{cons}(v,B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \approx^M B$
 $\langle \text{proof} \rangle$

lemma *succ_lepoll_rel_succD*: $\text{succ}(m) \lesssim^M \text{succ}(n) \implies M(m) \implies M(n) \implies m \lesssim^M n$
 $\langle \text{proof} \rangle$

lemma *nat_lepoll_rel_imp_le*:
 $m \in \text{nat} \implies n \in \text{nat} \implies m \lesssim^M n \implies M(m) \implies M(n) \implies m \leq n$
 $\langle \text{proof} \rangle$

lemma *nat_eqpoll_rel_iff*: $[| m \in \text{nat}; n \in \text{nat}; M(m); M(n) |] \implies m \approx^M n \iff m = n$
 $\langle \text{proof} \rangle$

lemma *nat_into_Card_rel*:

assumes $n: n \in \text{nat}$ **and types:** $M(n)$ **shows** $\text{Card}^M(n)$
 $\langle \text{proof} \rangle$

lemmas $\text{cardinal_rel_0} = \text{nat_0I} \ [\text{THEN } \text{nat_into_Card_rel}, \text{ THEN } \text{Card_rel_cardinal_rel_eq}, \text{ simplified}, \text{ iff}]$
lemmas $\text{cardinal_rel_1} = \text{nat_1I} \ [\text{THEN } \text{nat_into_Card_rel}, \text{ THEN } \text{Card_rel_cardinal_rel_eq}, \text{ simplified}, \text{ iff}]$

lemma $\text{succ_lepoll_rel_natE}: [\text{succ}(n) \lesssim^M n; \ n \in \text{nat}] \implies P$
 $\langle \text{proof} \rangle$

lemma $\text{nat_lepoll_rel_imp_ex_eqpoll_rel_n}: [\text{succ}(n) \lesssim^M n; \ n \in \text{nat}] \implies \exists Y[M]. Y \subseteq X \ \& \ n \approx^M Y$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_succ}: M(i) \implies i \lesssim^M \text{succ}(i)$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_imp_lesspoll_rel_succ}: [\text{succ}(n) \lesssim^M n; \ n \in \text{nat}] \implies \text{succ}(n) \prec^M n$
assumes $A: A \lesssim^M m$ **and** $m: m \in \text{nat}$
and types: $M(A) \ M(m)$
shows $A \prec^M \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_succ_imp_lepoll_rel}: [A \prec^M \text{succ}(m); \ m \in \text{nat}; \ M(A); \ M(m)] \implies A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_succ_iff}: m \in \text{nat} \implies M(A) \implies A \prec^M \text{succ}(m) \longleftrightarrow A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma $\text{lepoll_rel_succ_disj}: [A \lesssim^M \text{succ}(m); \ m \in \text{nat}; \ M(A); \ M(m)] \implies A \lesssim^M m \mid A \approx^M \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma $\text{lesspoll_rel_cardinal_rel_lt}: [A \prec^M i; \ \text{Ord}(i); \ M(A); \ M(i)] \implies |A|^M < i$
 $\langle \text{proof} \rangle$

lemma $\text{lt_not_lepoll_rel}: [\text{succ}(n) \lesssim^M n; \ n \in \text{nat}] \implies \text{succ}(n) \not\leq^M n$
assumes $n: n < i \ n \in \text{nat}$
and types: $M(n) \ M(i)$ **shows** $\sim i \lesssim^M n$
 $\langle \text{proof} \rangle$

A slightly weaker version of $\text{nat_eqpoll_rel_iff}$

lemma $\text{Ord_nat_eqpoll_rel_iff}: [\text{succ}(n) \lesssim^M n; \ n \in \text{nat}] \implies \text{Ord}(n) \iff \text{succ}(n) \approx^M n$
assumes $i: \text{Ord}(i)$ **and** $n: n \in \text{nat}$
and types: $M(i) \ M(n)$

shows $i \approx^M n \longleftrightarrow i=n$
 $\langle \text{proof} \rangle$

lemma Card_rel_nat : $\text{Card}^M(\text{nat})$
 $\langle \text{proof} \rangle$

lemma $\text{nat_le_cardinal_rel}$: $\text{nat} \leq i \implies M(i) \implies \text{nat} \leq |i|^M$
 $\langle \text{proof} \rangle$

lemma $\text{n_lesspoll_rel_nat}$: $n \in \text{nat} \implies n \prec^M \text{nat}$
 $\langle \text{proof} \rangle$

lemma $\text{cons_lepoll_rel_cong}$:
 $[| A \lesssim^M B; b \notin B; M(A); M(B); M(b); M(a) |] \implies \text{cons}(a,A) \lesssim^M \text{cons}(b,B)$
 $\langle \text{proof} \rangle$

lemma $\text{cons_eqpoll_rel_cong}$:
 $[| A \approx^M B; a \notin A; b \notin B; M(A); M(B); M(a); M(b) |] \implies \text{cons}(a,A) \approx^M \text{cons}(b,B)$
 $\langle \text{proof} \rangle$

lemma $\text{cons_lepoll_rel_cons_iff}$:
 $[| a \notin A; b \notin B; M(a); M(A); M(b); M(B) |] \implies \text{cons}(a,A) \lesssim^M \text{cons}(b,B)$
 $\longleftrightarrow A \lesssim^M B$
 $\langle \text{proof} \rangle$

lemma $\text{cons_eqpoll_rel_cons_iff}$:
 $[| a \notin A; b \notin B; M(a); M(A); M(b); M(B) |] \implies \text{cons}(a,A) \approx^M \text{cons}(b,B)$
 $\longleftrightarrow A \approx^M B$
 $\langle \text{proof} \rangle$

lemma $\text{singleton_eqpoll_rel_1}$: $M(a) \implies \{a\} \approx^M 1$
 $\langle \text{proof} \rangle$

lemma $\text{cardinal_rel_singleton}$: $M(a) \implies |\{a\}|^M = 1$
 $\langle \text{proof} \rangle$

lemma $\text{not_0_is_lepoll_rel_1}$: $A \neq 0 \implies M(A) \implies 1 \lesssim^M A$
 $\langle \text{proof} \rangle$

lemma $\text{succ_eqpoll_rel_cong}$: $A \approx^M B \implies M(A) \implies M(B) \implies \text{succ}(A) \approx^M \text{succ}(B)$
 $\langle \text{proof} \rangle$

The next result was not straightforward to port, and even a different statement was needed.

lemma sum_bij_rel :
 $[| f \in \text{bij}^M(A,C); g \in \text{bij}^M(B,D); M(f); M(A); M(C); M(g); M(B); M(D) |]$
 $\implies (\lambda z \in A+B. \text{case}(\%x. \text{Inl}(f'x), \%y. \text{Inr}(g'y), z)) \in \text{bij}^M(A+B, C+D)$

$\langle proof \rangle$

lemma *sum_bij_rel'*:

assumes $f \in \text{bij}^M(A, C)$ $g \in \text{bij}^M(B, D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$

shows

$(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z)) \in \text{bij}(A+B, C+D)$
 $M(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z))$

$\langle proof \rangle$

lemma *sum_eqpoll_rel_cong*:

assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$

shows $A+B \approx^M C+D$

$\langle proof \rangle$

lemma *prod_bij_rel'*:

assumes $f \in \text{bij}^M(A, C)$ $g \in \text{bij}^M(B, D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$

shows

$(\lambda \langle x, y \rangle \in A*B. \langle f'x, g'y \rangle) \in \text{bij}(A*B, C*D)$
 $M(\lambda \langle x, y \rangle \in A*B. \langle f'x, g'y \rangle)$

$\langle proof \rangle$

lemma *prod_eqpoll_rel_cong*:

assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$

shows $A \times B \approx^M C \times D$

$\langle proof \rangle$

lemma *inj_rel_disjoint_eqpoll_rel*:

$[[f \in \text{inj}^M(A, B); A \cap B = 0; M(f); M(A); M(B)]] \implies A \cup (B - \text{range}(f))$
 $\approx^M B$

$\langle proof \rangle$

lemma *Diff_sing_lepoll_rel*:

$[[a \in A; A \lesssim^M \text{succ}(n); M(a); M(A); M(n)]] \implies A - \{a\} \lesssim^M n$

$\langle proof \rangle$

lemma *lepoll_rel_Diff_sing*:

assumes $A: \text{succ}(n) \lesssim^M A$

and types: $M(n)$ $M(A)$ $M(a)$

shows $n \lesssim^M A - \{a\}$

$\langle proof \rangle$

lemma *Diff_sing_eqpoll_rel*: $[[a \in A; A \approx^M \text{succ}(n); M(a); M(A); M(n)]] \implies$
 $A - \{a\} \approx^M n$

$\langle proof \rangle$

lemma *lepoll_rel_1_is_sing*: $[[A \lesssim^M 1; a \in A; M(a); M(A)]] \implies A = \{a\}$

$\langle proof \rangle$

lemma *Un_lepoll_rel_sum*: $M(A) \implies M(B) \implies A \cup B \lesssim^M A+B$
 ⟨proof⟩

lemma *well_ord_Un_M*:
 assumes *well_ord*(X, R) *well_ord*(Y, S)
 and *types*: $M(X)$ $M(R)$ $M(Y)$ $M(S)$
 shows $\exists T[M]. \text{well_ord}(X \cup Y, T)$
 ⟨proof⟩

lemma *disj_Un_eqpoll_rel_sum*: $M(A) \implies M(B) \implies A \cap B = 0 \implies A \cup B \approx^M A + B$
 ⟨proof⟩

lemma *eqpoll_rel_imp_Finite_rel_iff*: $A \approx^M B \implies M(A) \implies M(B) \implies \text{Finite_rel}(M, A) \longleftrightarrow \text{Finite_rel}(M, B)$
 ⟨proof⟩

lemma *Finite_abs[simp]*:
 assumes $M(A)$
 shows $\text{Finite_rel}(M, A) \longleftrightarrow \text{Finite}(A)$
 ⟨proof⟩

lemma *lepoll_rel_nat_imp_Finite_rel*:
 assumes $A: A \lesssim^M n$ and $n: n \in \text{nat}$
 and *types*: $M(A)$ $M(n)$
 shows $\text{Finite_rel}(M, A)$
 ⟨proof⟩

lemma *lesspoll_rel_nat_is_Finite_rel*:
 $A \prec^M \text{nat} \implies M(A) \implies \text{Finite_rel}(M, A)$
 ⟨proof⟩

lemma *lepoll_rel_Finite_rel*:
 assumes $Y: Y \lesssim^M X$ and $X: \text{Finite_rel}(M, X)$
 and *types*: $M(Y)$ $M(X)$
 shows $\text{Finite_rel}(M, Y)$
 ⟨proof⟩

lemma *succ_lepoll_rel_imp_not_empty*: $\text{succ}(x) \lesssim^M y \implies M(x) \implies M(y) \implies y \neq 0$
 ⟨proof⟩

lemma *eqpoll_rel_succ_imp_not_empty*: $x \approx^M \text{succ}(n) \implies M(x) \implies M(n) \implies x \neq 0$
 ⟨proof⟩

lemma *Finite_subset_closed*:
 assumes $\text{Finite}(B)$ $B \subseteq A$ $M(A)$

shows $M(B)$
 $\langle proof \rangle$

lemma *Finite_Pow_abs*:
assumes $Finite(A)$ $M(A)$
shows $Pow(A) = Pow_rel(M,A)$
 $\langle proof \rangle$

lemma *Finite_Pow_rel*:
assumes $Finite(A)$ $M(A)$
shows $Finite(Pow_rel(M,A))$
 $\langle proof \rangle$

lemma *Pow_rel_0 [simp]*: $Pow_rel(M,0) = \{0\}$
 $\langle proof \rangle$

lemma *eqpoll_rel_imp_Finite*: $A \approx^M B \implies Finite(A) \implies M(A) \implies M(B) \implies Finite(B)$
 $\langle proof \rangle$

lemma *eqpoll_rel_imp_Finite_iff*: $A \approx^M B \implies M(A) \implies M(B) \implies Finite(A) \iff Finite(B)$
 $\langle proof \rangle$

end — *M_cardinals*

end

23 Relative, Choice-less Cardinal Arithmetic

theory *CardinalArith_Relative*
imports
Cardinal_Relative

begin

$\langle ML \rangle$

definition
 $csquare_lam :: i \Rightarrow i$ **where**
 $csquare_lam(K) \equiv \lambda \langle x,y \rangle \in K \times K. \langle x \cup y, x, y \rangle$

— Can't do the next thing because split is a missing HOC

$\langle ML \rangle$

definition
 $is_csquare_lam :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_csquare_lam(M,K,l) \equiv \exists K2[M]. cartprod(M,K,K,K2) \wedge$

$is_lambda(M, K2, is_csquare_lam_body(M), l)$

definition $jump_cardinal_body :: [i, i] \Rightarrow i$ **where**
 $jump_cardinal_body(U, X) \equiv$
 $\{z . r \in U, well_ord(X, r) \wedge z = ordertype(X, r)\}$

lemma $jump_cardinal_body_char :$
 $jump_cardinal_body(U, X) = \{ordertype(X, r) . r \in \{r \in U . well_ord(X, r)\}\}$
 $\langle proof \rangle$

definition $jump_cardinal_body'$ **where**
 $jump_cardinal_body'(x) \equiv jump_cardinal_body(Pow(x \times x), x)$

lemma (**in** $M_cardinals$) $csquare_lam_closed[intro, simp]: M(K) \Longrightarrow M(csquare_lam(K))$
 $\langle proof \rangle$

locale $M_pre_cardinal_arith = M_cardinals +$
assumes
 $wfrec_pred_replacement: M(A) \Longrightarrow M(r) \Longrightarrow$
 $wfrec_replacement(M, \lambda x f z. z = f \text{ `` } Order.pred(A, x, r), r)$
 $\langle ML \rangle$

lemma (**in** $M_trivial$) $rmultP_abs[absolut]: \llbracket M(r); M(s); M(z) \rrbracket \Longrightarrow is_rmultP(M, s, r, z)$
 $\longleftrightarrow$
 $(\exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in r \vee x' = x \wedge \langle y', y \rangle \in s))$
 $\langle proof \rangle$

definition
 $is_csquare_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_csquare_rel(M, K, cs) \equiv \exists K2[M]. \exists la[M]. \exists memK[M].$
 $\exists rmKK[M]. \exists rmKK2[M].$
 $cartprod(M, K, K, K2) \wedge is_csquare_lam(M, K, la) \wedge$
 $membership(M, K, memK) \wedge is_rmult(M, K, memK, K, memK, rmKK) \wedge$
 $is_rmult(M, K, memK, K2, rmKK, rmKK2) \wedge is_rvimage(M, K2, la, rmKK2, cs)$

context M_basic
begin

lemma $rvimage_abs[absolut]:$
assumes $M(A) M(f) M(r) M(z)$
shows $is_rvimage(M, A, f, r, z) \longleftrightarrow z = rvimage(A, f, r)$
 $\langle proof \rangle$

lemma $rmult_abs[absolut]: \llbracket M(A); M(r); M(B); M(s); M(z) \rrbracket \Longrightarrow$
 $is_rmult(M, A, r, B, s, z) \longleftrightarrow z = rmult(A, r, B, s)$
 $\langle proof \rangle$

lemma $csquare_lam_body_abs[absolut]: M(x) \Longrightarrow M(z) \Longrightarrow$
 $is_csquare_lam_body(M, x, z) \longleftrightarrow z = \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle$

$\langle proof \rangle$

lemma *csquare_lam_abs[absolut]*: $M(K) \implies M(l) \implies$
 $is_csquare_lam(M, K, l) \longleftrightarrow l = (\lambda x \in K \times K. \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle)$
 $\langle proof \rangle$

lemma *csquare_lam_eq_lam*: $csquare_lam(K) = (\lambda z \in K \times K. \langle fst(z) \cup snd(z),$
 $fst(z), snd(z) \rangle)$
 $\langle proof \rangle$

end — *M_basic*

context *M_pre_cardinal_arith*
begin

lemma *csquare_rel_closed[intro,simp]*: $M(K) \implies M(csquare_rel(K))$
 $\langle proof \rangle$

lemma *csquare_rel_abs[absolut]*: $\llbracket M(K); M(cs) \rrbracket \implies$
 $is_csquare_rel(M, K, cs) \longleftrightarrow cs = csquare_rel(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

23.1 Discipline for *csucc*

$\langle ML \rangle$

abbreviation
 $csucc_r :: [i, i \Rightarrow o] \Rightarrow i \ (\hook'(_{}^+ \hookrightarrow))$ **where**
 $csucc_r(x, M) \equiv csucc_rel(M, x)$

abbreviation
 $csucc_r_set :: [i, i] \Rightarrow i \ (\hook'(_{}^+ \hookrightarrow))$ **where**
 $csucc_r_set(x, M) \equiv csucc_rel(\#\#M, x)$

context *M_Perm*
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — *M_Perm*

notation *csucc_rel* $(\hook'csucc\!-\!\hook'(_{}^+ \hookrightarrow))$

context $M_cardinals$
begin

lemma $Card_rel_Union$ [*simp,intro,TC*]:
assumes $A: \bigwedge x. x \in A \implies Card^M(x)$ **and**
types: $M(A)$
shows $Card^M(\bigcup(A))$
 $\langle proof \rangle$

We are not relativizing directly the results involving unions of (ordinal) indexed families (namely, $Card_UN$ and $Card_OUN$, respectively) because of their higher order nature.

lemma $in_Card_imp_lesspoll$: $[[Card^M(K); b \in K; M(K); M(b)]] \implies b \prec^M K$
 $\langle proof \rangle$

23.2 Cardinal addition

Note (Paulson): Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

23.2.1 Cardinal addition is commutative

lemma $sum_commute_eqpoll_rel$: $M(A) \implies M(B) \implies A+B \approx^M B+A$
 $\langle proof \rangle$

lemma $cadd_rel_commute$: $M(i) \implies M(j) \implies i \oplus^M j = j \oplus^M i$
 $\langle proof \rangle$

23.2.2 Cardinal addition is associative

lemma $sum_assoc_eqpoll_rel$: $M(A) \implies M(B) \implies M(C) \implies (A+B)+C \approx^M A+(B+C)$
 $\langle proof \rangle$

Unconditional version requires AC

lemma $well_ord_cadd_rel_assoc$:
assumes $i: well_ord(i,ri)$ **and** $j: well_ord(j,rj)$ **and** $k: well_ord(k,rk)$
and
types: $M(i) M(ri) M(j) M(rj) M(k) M(rk)$
shows $(i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$
 $\langle proof \rangle$

23.2.3 0 is the identity for addition

lemma $case_id_eq$: $x \in sum(A,B) \implies case(\lambda z. z, \lambda z. z, x) = snd(x)$

$\langle proof \rangle$

lemma *lam_case_id*: $(\lambda z \in 0 + A. \text{case}(\lambda x. x, \lambda y. y, z)) = (\lambda z \in 0 + A. \text{snd}(z))$
 $\langle proof \rangle$

lemma *sum_0_eqpoll_rel*: $M(A) \implies 0 + A \approx^M A$
 $\langle proof \rangle$

lemma *cadd_rel_0 [simp]*: $\text{Card}^M(K) \implies M(K) \implies 0 \oplus^M K = K$
 $\langle proof \rangle$

23.2.4 Addition by another cardinal

lemma *sum_lepoll_rel_self*: $M(A) \implies M(B) \implies A \lesssim^M A + B$
 $\langle proof \rangle$

lemma *cadd_rel_le_self*:
assumes $K: \text{Card}^M(K)$ **and** $L: \text{Ord}(L)$ **and**
types: $M(K) \ M(L)$
shows $K \leq (K \oplus^M L)$
 $\langle proof \rangle$

23.2.5 Monotonicity of addition

lemma *sum_lepoll_rel_mono*:
 $[| A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) |] \implies A + B \lesssim^M C + D$
 $\langle proof \rangle$

lemma *cadd_rel_le_mono*:
 $[| K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) |] \implies (K' \oplus^M L') \leq (K \oplus^M L)$
 $\langle proof \rangle$

23.2.6 Addition of finite cardinals is "ordinary" addition

lemma *sum_succ_eqpoll_rel*: $M(A) \implies M(B) \implies \text{succ}(A) + B \approx^M \text{succ}(A + B)$
 $\langle proof \rangle$

lemma *cadd_succ_lemma*:
assumes $\text{Ord}(m) \ \text{Ord}(n)$ **and**
types: $M(m) \ M(n)$
shows $\text{succ}(m) \oplus^M n = |\text{succ}(m \oplus^M n)|^M$
 $\langle proof \rangle$

lemma *nat_cadd_rel_eq_add*:
assumes $m: m \in \text{nat}$ **and** *[simp]*: $n \in \text{nat}$ **shows** $m \oplus^M n = m +_\omega n$
 $\langle proof \rangle$

23.3 Cardinal multiplication

23.3.1 Cardinal multiplication is commutative

lemma *prod_commute_eqpoll_rel*: $M(A) \implies M(B) \implies A*B \approx^M B*A$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_commute*: $M(i) \implies M(j) \implies i \otimes^M j = j \otimes^M i$
 $\langle \text{proof} \rangle$

23.3.2 Cardinal multiplication is associative

lemma *prod_assoc_eqpoll_rel*: $M(A) \implies M(B) \implies M(C) \implies (A*B)*C \approx^M A*(B*C)$
 $\langle \text{proof} \rangle$

Unconditional version requires AC

lemma *well_ord_cmult_rel_assoc*:
assumes $i: \text{well_ord}(i, ri)$ **and** $j: \text{well_ord}(j, rj)$ **and** $k: \text{well_ord}(k, rk)$
and
types: $M(i) \ M(ri) \ M(j) \ M(rj) \ M(k) \ M(rk)$
shows $(i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$
 $\langle \text{proof} \rangle$

23.3.3 Cardinal multiplication distributes over addition

lemma *sum_prod_distrib_eqpoll_rel*: $M(A) \implies M(B) \implies M(C) \implies (A+B)*C \approx^M (A*C)+(B*C)$
 $\langle \text{proof} \rangle$

lemma *well_ord_cadd_cmult_distrib*:
assumes $i: \text{well_ord}(i, ri)$ **and** $j: \text{well_ord}(j, rj)$ **and** $k: \text{well_ord}(k, rk)$
and
types: $M(i) \ M(ri) \ M(j) \ M(rj) \ M(k) \ M(rk)$
shows $(i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$
 $\langle \text{proof} \rangle$

23.3.4 Multiplication by 0 yields 0

lemma *prod_0_eqpoll_rel*: $M(A) \implies 0*A \approx^M 0$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_0 [simp]*: $M(i) \implies 0 \otimes^M i = 0$
 $\langle \text{proof} \rangle$

23.3.5 1 is the identity for multiplication

lemma *prod_singleton_eqpoll_rel*: $M(x) \implies M(A) \implies \{x\}*A \approx^M A$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_1 [simp]*: $\text{Card}^M(K) \implies M(K) \implies 1 \otimes^M K = K$

$\langle proof \rangle$

23.4 Some inequalities for multiplication

lemma *prod_square_lepoll_rel*: $M(A) \implies A \lesssim^M A * A$
 $\langle proof \rangle$

lemma *cmult_rel_square_le*: $Card^M(K) \implies M(K) \implies K \leq K \otimes^M K$
 $\langle proof \rangle$

23.4.1 Multiplication by a non-zero cardinal

lemma *prod_lepoll_rel_self*: $b \in B \implies M(b) \implies M(B) \implies M(A) \implies A \lesssim^M A * B$
 $\langle proof \rangle$

lemma *cmult_rel_le_self*:
 $[| Card^M(K); Ord(L); 0 < L; M(K); M(L) |] \implies K \leq (K \otimes^M L)$
 $\langle proof \rangle$

23.4.2 Monotonicity of multiplication

lemma *prod_lepoll_rel_mono*:
 $[| A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) |] \implies A * B \lesssim^M C * D$
 $\langle proof \rangle$

lemma *cmult_rel_le_mono*:
 $[| K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) |] \implies (K' \otimes^M L') \leq (K \otimes^M L)$
 $\langle proof \rangle$

23.5 Multiplication of finite cardinals is "ordinary" multiplication

lemma *prod_succ_eqpoll_rel*: $M(A) \implies M(B) \implies succ(A) * B \approx^M B + A * B$
 $\langle proof \rangle$

lemma *cmult_rel_succ_lemma*:
 $[| Ord(m); Ord(n); M(m); M(n) |] \implies succ(m) \otimes^M n = n \oplus^M (m \otimes^M n)$
 $\langle proof \rangle$

lemma *nat_cmult_rel_eq_mult*: $[| m \in nat; n \in nat |] \implies m \otimes^M n = m \# * n$
 $\langle proof \rangle$

lemma *cmult_rel_2*: $Card^M(n) \implies M(n) \implies 2 \otimes^M n = n \oplus^M n$
 $\langle proof \rangle$

lemma *sum_lepoll_rel_prod*:
assumes $C: 2 \lesssim^M C$ **and**
 $types: M(C) \ M(B)$
shows $B + B \lesssim^M C * B$

$\langle proof \rangle$

lemma *lepoll_imp_sum_lepoll_prod*: $[| A \lesssim^M B; 2 \lesssim^M A; M(A); M(B) |] ==>$
 $A+B \lesssim^M A*B$
 $\langle proof \rangle$

end — *M_cardinals*

23.6 Infinite Cardinals are Limit Ordinals

context *M_pre_cardinal_arith*
begin

lemma *nat_cons_lepoll_rel*: $nat \lesssim^M A \implies M(A) \implies M(u) ==> cons(u,A) \lesssim^M$
 A
 $\langle proof \rangle$

lemma *nat_cons_eqpoll_rel*: $nat \lesssim^M A ==> M(A) \implies M(u) \implies cons(u,A) \approx^M$
 A
 $\langle proof \rangle$

lemma *nat_succ_eqpoll_rel*: $nat \subseteq A ==> M(A) \implies succ(A) \approx^M A$
 $\langle proof \rangle$

lemma *InfCard_rel_nat*: $InfCard^M(nat)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Card_rel*: $M(K) \implies InfCard^M(K) \implies Card^M(K)$
 $\langle proof \rangle$

lemma *InfCard_rel_Un*:
 $[| InfCard^M(K); Card^M(L); M(K); M(L) |] ==> InfCard^M(K \cup L)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Limit*: $InfCard^M(K) ==> M(K) \implies Limit(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

lemma (**in** *M_ordertype*) *ordertype_abs[absolut]*:
assumes *wellordered*(*M,A,r*) *M(A)* *M(r)* *M(i)*
shows *otype*(*M,A,r,i*) $\longleftrightarrow i = ordertype(A,r)$
 $\langle proof \rangle$

lemma (**in** *M_ordertype*) *ordertype_closed[intro,simp]*: $[| wellordered(M,A,r); M(A); M(r) |]$
 $\implies M(ordertype(A,r))$
 $\langle proof \rangle$

$\langle ML \rangle$

lemma (in *M_trivial*) *is_transitive_iff_transitive_rel*:

$M(A) \implies M(r) \implies \text{transitive_rel}(M, A, r) \longleftrightarrow \text{is_transitive}(M, A, r)$
<proof>

<ML>

lemma (in *M_trivial*) *is_linear_iff_linear_rel*:

$M(A) \implies M(r) \implies \text{is_linear}(M, A, r) \longleftrightarrow \text{linear_rel}(M, A, r)$
<proof>

<ML>

lemma (in *M_trivial*) *is_wellfounded_on_iff_wellfounded_on*:

$M(A) \implies M(r) \implies \text{is_wellfounded_on}(M, A, r) \longleftrightarrow \text{wellfounded_on}(M, A, r)$
<proof>

definition

is_well_ord :: $[i=>o, i, i] => o$ **where**
 — linear and wellfounded on *A*
is_well_ord(*M, A, r*) ==
 $\text{is_transitive}(M, A, r) \wedge \text{is_linear}(M, A, r) \wedge \text{is_wellfounded_on}(M, A, r)$

lemma (in *M_trivial*) *is_well_ord_iff_wellordered*:

$M(A) \implies M(r) \implies \text{is_well_ord}(M, A, r) \longleftrightarrow \text{wellordered}(M, A, r)$
<proof>

<ML>

context *M_pre_cardinal_arith*
begin

<ML>
<proof>

<ML>
<proof>

end — *M_pre_cardinal_arith*

<ML>

lemma *trans_on_iff_trans*: $\text{trans}[A](r) \longleftrightarrow \text{trans}(r \cap A \times A)$
<proof>

lemma *trans_on_subset*: $\text{trans}[A](r) \implies B \subseteq A \implies \text{trans}[B](r)$
<proof>

lemma *relation_Int*: $\text{relation}(r \cap B \times B)$

$\langle proof \rangle$

Discipline for *ordermap*

$\langle ML \rangle$

lemma *wfrec_on_pred_eq*:

assumes $r \in Pow(A \times A)$

shows $wfrec[A](r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)) = wfrec(r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r))$

$\langle proof \rangle$

context *M_pre_cardinal_arith*

begin

lemma *wfrec_on_pred_closed*:

assumes $wf[A](r) \text{ trans}[A](r) \ r \in Pow^M(A \times A) \ M(A) \ x \in A$

shows $M(wfrec(r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)))$

$\langle proof \rangle$

lemma *wfrec_on_pred_closed'*:

assumes $wf[A](r) \text{ trans}[A](r) \ r \in Pow^M(A \times A) \ M(A) \ x \in A$

shows $M(wfrec[A](r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)))$

$\langle proof \rangle$

lemma *ordermap_rel_closed[intro,simp]*:

assumes $wf[A](r) \text{ trans}[A](r) \ r \in Pow^M(A \times A) \ M(A)$

shows $M(ordermap_rel(M, A, r))$

$\langle proof \rangle$

lemma *is_ordermap_iff*:

assumes $r \in Pow^M(A \times A) \ wf[A](r) \text{ trans}[A](r)$

$M(A) \ M(res)$

shows $is_ordermap(M, A, r, res) \longleftrightarrow res = ordermap_rel(M, A, r)$

$\langle proof \rangle$

end — *M_pre_cardinal_arith*

Discipline for *ordertype*

$\langle ML \rangle$

definition *is_order_body*

where $is_order_body(M, p, z) \equiv \exists X[M]. \exists r[M]. \exists A[M]. is_fst(M, p, X) \wedge is_snd(M, p, r)$

$\wedge$

$cartprod(M, X, X, A) \wedge subset(M, r, A) \wedge M(z) \wedge M(r) \wedge is_well_ord(M, X, r)$

$\wedge is_ordertype(M, X, r, z)$

context *M_pre_cardinal_arith*

begin

lemma *is_ordertype_iff*:
assumes $r \in \text{Pow}^M(A \times A)$ *well_ord*(A, r)
shows $M(A) \implies M(\text{res}) \implies \text{is_ordertype}(M, A, r, \text{res}) \longleftrightarrow \text{res} = \text{ordertype_rel}(M, A, r)$
 $\langle \text{proof} \rangle$

lemma *ordertype_rel_abs*:
assumes *wellordered*(M, X, r) $M(X)$ $M(r)$
shows $\text{ordertype_rel}(M, X, r) = \text{ordertype}(X, r)$
 $\langle \text{proof} \rangle$

lemma (**in** *M_pre_cardinal_arith*) *is_order_body_abs* :
 $M(Xr) \implies M(z) \implies \text{is_order_body}(M, Xr, z) \longleftrightarrow$
 $\text{snd}(Xr) \in \text{Pow}^M(\text{fst}(Xr) \times \text{fst}(Xr)) \wedge \text{well_ord}(\text{fst}(Xr), \text{snd}(Xr)) \wedge z = \text{ordertype}(\text{fst}(Xr), \text{snd}(Xr))$
 $\langle \text{proof} \rangle$

lemma *well_ord_restr*: $\text{well_ord}(X, r) \implies \text{well_ord}(X, r \cap X \times X)$
 $\langle \text{proof} \rangle$

lemma *ordertype_restr_eq* :
assumes *well_ord*(X, r)
shows $\text{ordertype}(X, r) = \text{ordertype}(X, r \cap X \times X)$
 $\langle \text{proof} \rangle$

lemma *ordertype_rel_closed*[*intro, simp*]:
assumes *well_ord*(A, r) $r \in \text{Pow}^M(A \times A)$ $M(A)$
shows $M(\text{ordertype_rel}(M, A, r))$
 $\langle \text{proof} \rangle$

end — *M_pre_cardinal_arith*

$\langle ML \rangle$

Notice that this is not quite the same as *jump_cardinal*: observe $\text{Pow}(X \times X)$.

definition

jump_cardinal' :: $i \Rightarrow i$ **where**
 $\text{jump_cardinal}'(K) \equiv$
 $\bigcup X \in \text{Pow}(K). \{z. r \in \text{Pow}(X * X), z = \text{jump_cardinal_body}(\text{Pow}(X * X), X)\}$

$\langle ML \rangle$

lemma (**in** *M_ordertype*) *ordermap_closed*[*intro, simp*]:
assumes *wellordered*(M, A, r) **and** *types*: $M(A)$ $M(r)$
shows $M(\text{ordermap}(A, r))$
 $\langle \text{proof} \rangle$

context $M_pre_cardinal_arith$
begin

lemma $def_jump_cardinal_body$:

$M(X) \implies M(U) \implies$
 $jump_cardinal_body(U, X) = \{z . r \in U, M(z) \wedge well_ord(X, r) \wedge z = order-$
 $type(X, r)\}$
 $\langle proof \rangle$

lemma $jump_cardinal_body_abs$:

shows $M(A) \implies jump_cardinal_body_rel(M, Pow^M(A \times A), A) = jump_cardinal_body(Pow^M(A \times A), A)$
 $\langle proof \rangle$

end — $M_pre_cardinal_arith$

locale $M_cardinal_arith = M_pre_cardinal_arith +$

assumes

$is_ordertype_replacement :$

$strong_replacement(M, \lambda x z . \exists y[M]. is_order_body(M, x, y) \wedge z = \langle x, y \rangle)$

and

$pow_rel_separation : \forall A[M]. separation(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x,$
 $Pow^M(x) \rangle)$

and

$separation_is_well_ord : separation(M, \lambda x . is_well_ord(M, fst(x), snd(x)))$

begin

lemmas $Pow_rel_replacement = lam_replacement_Pow_rel[OF pow_rel_separation]$

lemma $ordtype_replacement :$

$strong_replacement(M, \lambda x z . (snd(x) \in Pow^M(fst(x) \times fst(x)) \wedge well_ord(fst(x), snd(x)))$
 $\wedge$
 $z = \langle x, ordertype(fst(x), snd(x)) \rangle)$
 $\langle proof \rangle$

lemma $separation_well_ord : separation(M, \lambda x . well_ord(fst(x), snd(x)))$
 $\langle proof \rangle$

lemma $jump_cardinal_body_lam_replacement :$

shows $lam_replacement(M, \lambda X . jump_cardinal_body(Pow^M(X \times X), X))$ **and**
 $M(X) \implies M(jump_cardinal_body(Pow^M(X \times X), X))$
 $\langle proof \rangle$

lemmas $jump_cardinal_body_closed = jump_cardinal_body_lam_replacement(2)$

lemma $jump_cardinal_closed$:

assumes $M(K)$

shows $M(\{jump_cardinal_body(Pow^M(X \times X), X) . X \in Pow^M(K)\})$
 $\langle proof \rangle$

end — $M_cardinal_arith$

context $M_pre_cardinal_arith$
begin

lemma $ordermap_eqpoll_pred$:

$[| well_ord(A,r); \ x \in A \ ; \ M(A);M(r);M(x)|] ==> ordermap(A,r) 'x \approx^M Or-$
 $der.pred(A,x,r)$
 $\langle proof \rangle$

Kunen: "each $\langle x, y \rangle \in K \times K$ has no more than $z \times z$ predecessors..." (page 29)

lemma $ordermap_csquare_le$:

assumes $K: Limit(K)$ **and** $x: x < K$ **and** $y: y < K$
and types: $M(K) \ M(x) \ M(y)$
shows $|ordermap(K \times K, csquare_rel(K))| ' \langle x,y \rangle|^M \leq |succ(succ(x \cup y))|^M \otimes^M$
 $|succ(succ(x \cup y))|^M$
 $\langle proof \rangle$

Kunen: "... so the order type is $\leq K$ "

lemma $ordertype_csquare_le_M$:

assumes $IK: InfCard^M(K)$ **and** $eq: \bigwedge y. y \in K \implies InfCard^M(y) \implies M(y) \implies$
 $y \otimes^M y = y$
— Note the weakened hypothesis eq
and types: $M(K)$
shows $ordertype(K * K, csquare_rel(K)) \leq K$
 $\langle proof \rangle$

lemma $InfCard_rel_csquare_eq$:

assumes $IK: InfCard^M(K)$ **and**
 $types: M(K)$
shows $K \otimes^M K = K$
 $\langle proof \rangle$

lemma $well_ord_InfCard_rel_square_eq$:

assumes $r: well_ord(A,r)$ **and** $I: InfCard^M(|A|^M)$ **and**
 $types: M(A) \ M(r)$
shows $A \times A \approx^M A$
 $\langle proof \rangle$

lemma $InfCard_rel_square_eqpoll$:

assumes $InfCard^M(K)$ **and** $types: M(K)$ **shows** $K \times K \approx^M K$
 $\langle proof \rangle$

lemma *Inf_Card_rel_is_InfCard_rel*: $[[\text{Card}^M(i); \sim \text{Finite_rel}(M,i) ; M(i)]]$
 $\implies \text{InfCard}^M(i)$
 $\langle \text{proof} \rangle$

23.6.1 Toward's Kunen's Corollary 10.13 (1)

lemma *InfCard_rel_le_cmuilt_rel_eq*: $[[\text{InfCard}^M(K); L \leq K; 0 < L; M(K) ; M(L)]]$
 $\implies K \otimes^M L = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cmuilt_rel_eq*: $[[\text{InfCard}^M(K); \text{InfCard}^M(L); M(K) ; M(L)]]$
 $\implies K \otimes^M L = K \cup L$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cdouuble_eq*: $\text{InfCard}^M(K) \implies M(K) \implies K \oplus^M K = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_le_cadd_rel_eq*: $[[\text{InfCard}^M(K); L \leq K ; M(K) ; M(L)]]$
 $\implies K \oplus^M L = K$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_cadd_rel_eq*: $[[\text{InfCard}^M(K); \text{InfCard}^M(L); M(K) ; M(L)]]$
 $\implies K \oplus^M L = K \cup L$
 $\langle \text{proof} \rangle$

end — *M_pre_cardinal_arith*

23.7 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

locale *M_cardinal_arith_jump* = *M_cardinal_arith* + *M_ordertype*
begin

lemma *def_jump_cardinal_rel_aux*:
 $X \in \text{Pow}^M(K) \implies M(K) \implies$
 $\{z . r \in \text{Pow}^M(X \times X), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\} =$
 $\{z . r \in \text{Pow}^M(K \times K), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel_aux2*:
assumes $X \in \text{Pow}^M(K) \ M(K)$
shows $\text{jump_cardinal_body}(\text{Pow}^M(K \times K), X) = \text{jump_cardinal_body}(\text{Pow}^M(X \times X), X)$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel*:
assumes $M(K)$
shows $\text{jump_cardinal_rel}(M, K) =$
 $(\bigcup \{ \text{jump_cardinal_body}(\text{Pow}^M(K * K), X) \mid X \in \text{Pow}^M(K) \})$
 $\langle \text{proof} \rangle$

lemma *Ord_jump_cardinal_rel*: $M(K) \implies \text{Ord}(\text{jump_cardinal_rel}(M, K))$
 $\langle \text{proof} \rangle$

declare *conj_cong* [*cong del*]
— incompatible with some of the proofs of the original theory

lemma *jump_cardinal_rel_iff_old*:
 $M(i) \implies M(K) \implies i \in \text{jump_cardinal_rel}(M, K) \longleftrightarrow$
 $(\exists r[M]. \exists X[M]. r \subseteq K * K \ \& \ X \subseteq K \ \& \ \text{well_ord}(X, r) \ \& \ i = \text{ordertype}(X, r))$
 $\langle \text{proof} \rangle$

lemma *K_lt_jump_cardinal_rel*: $\text{Ord}(K) \implies M(K) \implies K < \text{jump_cardinal_rel}(M, K)$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel'*:
assumes $M(K)$
shows $\text{jump_cardinal_rel}(M, K) =$
 $(\bigcup X \in \text{Pow}^M(K). \{z. r \in \text{Pow}^M(X \times X), \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\})$
 $\langle \text{proof} \rangle$
 $\langle ML \rangle$
 $\langle \text{proof} \rangle$

lemma *Card_rel_jump_cardinal_rel_lemma*:
 $\llbracket \text{well_ord}(X, r); r \subseteq K * K; X \subseteq K;$
 $f \in \text{bij}(\text{ordertype}(X, r), \text{jump_cardinal_rel}(M, K));$
 $M(X); M(r); M(K); M(f) \rrbracket$
 $\implies \text{jump_cardinal_rel}(M, K) \in \text{jump_cardinal_rel}(M, K)$
 $\langle \text{proof} \rangle$

lemma *Card_rel_jump_cardinal_rel*: $M(K) \implies \text{Card_rel}(M, \text{jump_cardinal_rel}(M, K))$
 $\langle \text{proof} \rangle$

23.8 Basic Properties of Successor Cardinals

lemma *csucc_rel_basic*: $\text{Ord}(K) \implies M(K) \implies \text{Card_rel}(M, \text{csucc_rel}(M, K))$
 $\& K < \text{csucc_rel}(M, K)$
 $\langle \text{proof} \rangle$

lemmas $Card_rel_csucc_rel = csucc_rel_basic$ [THEN conjunct1]

lemmas $lt_csucc_rel = csucc_rel_basic$ [THEN conjunct2]

lemma $Ord_0_lt_csucc_rel$: $Ord(K) \implies M(K) \implies 0 < csucc_rel(M,K)$
 <proof>

lemma $csucc_rel_le$: $[| Card_rel(M,L); K < L; M(K); M(L) |] \implies csucc_rel(M,K) \leq L$
 <proof>

lemma $lt_csucc_rel_iff$: $[| Ord(i); Card_rel(M,K); M(K); M(i) |] \implies i < csucc_rel(M,K) \longleftrightarrow |i|^M \leq K$
 <proof>

lemma $Card_rel_lt_csucc_rel_iff$:
 $[| Card_rel(M,K'); Card_rel(M,K); M(K'); M(K) |] \implies K' < csucc_rel(M,K) \longleftrightarrow K' \leq K$
 <proof>

lemma $InfCard_rel_csucc_rel$: $InfCard_rel(M,K) \implies M(K) \implies InfCard_rel(M,csucc_rel(M,K))$
 <proof>

23.8.1 Theorems by Krzysztof Grabczewski, proofs by lcp

lemma $nat_sum_eqpoll_rel_sum$:
assumes m : $m \in nat$ **and** n : $n \in nat$ **shows** $m + n \approx^M m +_\omega n$
 <proof>

lemma $Ord_nat_subset_into_Card_rel$: $[| Ord(i); i \subseteq nat |] \implies Card^M(i)$
 <proof>

end — $M_cardinal_arith_jump$

end

theory $Aleph_Relative$
imports
 $CardinalArith_Relative$
begin

definition
 $HAleph :: [i,i] \Rightarrow i$ **where**
 $HAleph(i,r) \equiv if(\neg(Ord(i)), i, if(i=0, nat, if(\neg Limit(i) \wedge i \neq 0,$
 $csucc(r'(\bigcup i)),$
 $\bigcup_{j \in i. r'j)))$

<ML>

lemma $arity_is_HAleph_fm_aux$:

assumes

$i \in \text{nat } r \in \text{nat}$

— NOTE: assumptions are **not** used, but if omitted, next lemma fails!

shows

$\text{arity}(\text{Replace_fm}(8 +_{\omega} i, \cdot 10 +_{\omega} r'0 \text{ is } 1., 3)) = 9 +_{\omega} i \cup \text{pred}(\text{pred}(11 +_{\omega} r))$
 $\langle \text{proof} \rangle$

lemma *arity_is_HAleph_fm*[arity]:

assumes

$i \in \text{nat } r \in \text{nat } l \in \text{nat}$

shows

$\text{arity}(\text{is_HAleph_fm}(i, r, l)) = \text{succ}(i) \cup \text{succ}(l) \cup \text{succ}(r)$
 $\langle \text{proof} \rangle$

definition

$\text{Aleph}' :: i \Rightarrow i$ **where**

$\text{Aleph}'(a) == \text{transrec}(a, \lambda i r. \text{HAleph}(i, r))$

$\langle \text{ML} \rangle$

The extra assumptions $a < \text{length}(\text{env})$ and $c < \text{length}(\text{env})$ in this schematic goal (and the following results on synthesis that depend on it) are imposed by *is_transrec_iff_sats*.

schematic_goal *sats_is_Aleph_fm_auto*:

$a \in \text{nat} \Rightarrow c \in \text{nat} \Rightarrow \text{env} \in \text{list}(A) \Rightarrow$

$a < \text{length}(\text{env}) \Rightarrow c < \text{length}(\text{env}) \Rightarrow 0 \in A \Rightarrow$

$\text{is_Aleph}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(c, \text{env})) \longleftrightarrow A, \text{env} \models ?\text{fm}(a, c)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

notation *is_Aleph_fm* ($\langle \cdot \rangle'(_)' \text{ is } _ \rangle$)

lemma *is_Aleph_fm_type* [TC]: $a \in \text{nat} \Rightarrow c \in \text{nat} \Rightarrow \text{is_Aleph_fm}(a, c) \in \text{formula}$

$\langle \text{proof} \rangle$

lemma *sats_is_Aleph_fm*:

assumes $f \in \text{nat } r \in \text{nat } \text{env} \in \text{list}(A) \ 0 \in A \ f < \text{length}(\text{env}) \ r < \text{length}(\text{env})$

shows $\text{is_Aleph}(\#\#A, \text{nth}(f, \text{env}), \text{nth}(r, \text{env})) \longleftrightarrow A, \text{env} \models \text{is_Aleph_fm}(f, r)$

$\langle \text{proof} \rangle$

lemma *is_Aleph_iff_sats* [iff_sats]:

assumes

$\text{nth}(f, \text{env}) = fa \ \text{nth}(r, \text{env}) = ra \ f < \text{length}(\text{env}) \ r < \text{length}(\text{env})$

$f \in \text{nat } r \in \text{nat } \text{env} \in \text{list}(A) \ 0 \in A$

shows $\text{is_Aleph}(\#\#A, fa, ra) \longleftrightarrow A, \text{env} \models \text{is_Aleph_fm}(f, r)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

lemma (in $M_cardinal_arith_jump$) is_Limit_iff :
assumes $M(a)$
shows $is_Limit(M, a) \longleftrightarrow Limit(a)$
 $\langle proof \rangle$

lemma $HAleph_eq_Aleph_recursive$:
 $Ord(i) \implies HAleph(i, r) = (if\ i = 0\ then\ nat$
 $\quad\quad\quad else\ if\ \exists j. i = succ(j)\ then\ csucc(r\ ' (THE\ j. i = succ(j)))\ else\ \bigcup_{j < i}.$
 $r\ ' j)$
 $\langle proof \rangle$

lemma $Aleph'_eq_Aleph$: $Ord(a) \implies Aleph'(a) = Aleph(a)$
 $\langle proof \rangle$

$\langle ML \rangle$

abbreviation

$Aleph_r :: [i, i \Rightarrow o] \Rightarrow i \ (\langle \aleph _ \rangle)$ **where**
 $Aleph_r(a, M) \equiv Aleph_rel(M, a)$

abbreviation

$Aleph_r_set :: [i, i] \Rightarrow i \ (\langle \aleph _ \rangle)$ **where**
 $Aleph_r_set(a, M) \equiv Aleph_rel(\#\#M, a)$

lemma $Aleph_rel_def'$: $Aleph_rel(M, a) \equiv transrec(a, \lambda i\ r. HAleph_rel(M, i, r))$
 $\langle proof \rangle$

lemma $succ_mem_Limit$: $Limit(j) \implies i \in j \implies succ(i) \in j$
 $\langle proof \rangle$

locale $M_pre_aleph = M_eclose + M_cardinal_arith_jump +$
assumes
 $haleph_transrec_replacement: M(a) \implies transrec_replacement(M, is_HAleph(M), a)$

begin

lemma $aux_ex_Replace_funapply$:
assumes $M(a)\ M(f)$
shows $\exists x[M]. is_Replace(M, a, \lambda j\ y. f\ ' j = y, x)$
 $\langle proof \rangle$

lemma is_HAleph_zero :
assumes $M(f)$
shows $is_HAleph(M, 0, f, res) \longleftrightarrow res = nat$
 $\langle proof \rangle$

lemma *is_HAleph_succ*:
 assumes $M(f) \ M(x) \ \text{Ord}(x) \ M(\text{res})$
 shows $\text{is_HAleph}(M, \text{succ}(x), f, \text{res}) \longleftrightarrow \text{res} = \text{csucc_rel}(M, f'x)$
 $\langle \text{proof} \rangle$

lemma *is_HAleph_limit*:
 assumes $M(f) \ M(x) \ \text{Limit}(x) \ M(\text{res})$
 shows $\text{is_HAleph}(M, x, f, \text{res}) \longleftrightarrow \text{res} = (\bigcup \{y . i \in x, M(i) \wedge M(y) \wedge y = f'i\})$
 $\langle \text{proof} \rangle$

lemma *is_HAleph_iff*:
 assumes $M(a) \ M(f) \ M(\text{res})$
 shows $\text{is_HAleph}(M, a, f, \text{res}) \longleftrightarrow \text{res} = \text{HAleph_rel}(M, a, f)$
 $\langle \text{proof} \rangle$

lemma *HAleph_rel_closed* [intro, simp]:
 assumes $\text{function}(f) \ M(a) \ M(f)$
 shows $M(\text{HAleph_rel}(M, a, f))$
 $\langle \text{proof} \rangle$

lemma *Aleph_rel_closed* [intro, simp]:
 assumes $\text{Ord}(a) \ M(a)$
 shows $M(\text{Aleph_rel}(M, a))$
 $\langle \text{proof} \rangle$

lemma *Aleph_rel_zero*: $\aleph_0^M = \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Aleph_rel_succ*: $\text{Ord}(\alpha) \implies M(\alpha) \implies \aleph_{\text{succ}(\alpha)}^M = (\aleph_\alpha^{M+})^M$
 $\langle \text{proof} \rangle$

lemma *Aleph_rel_limit*:
 assumes $\text{Limit}(\alpha) \ M(\alpha)$
 shows $\aleph_\alpha^M = \bigcup \{\aleph_j^M . j \in \alpha\}$
 $\langle \text{proof} \rangle$

lemma *is_Aleph_iff*:
 assumes $\text{Ord}(a) \ M(a) \ M(\text{res})$
 shows $\text{is_Aleph}(M, a, \text{res}) \longleftrightarrow \text{res} = \aleph_a^M$
 $\langle \text{proof} \rangle$

end — *M_pre_aleph*

locale *M_aleph* = *M_pre_aleph* +
 assumes
 aleph_rel_separation: $\text{Ord}(x) \implies M(x) \implies \text{separation}(M, \lambda y. \exists z \in x. y = \aleph_z^M)$
begin

lemma *Aleph_rel_cont*: $\text{Limit}(l) \implies M(l) \implies \aleph_l^M = (\bigcup i < l. \aleph_i^M)$

```

    <proof>

lemma Ord_Aleph_rel:
  assumes Ord(a)
  shows  $M(a) \implies \text{Ord}(\aleph_a^M)$ 
  <proof>

lemma Aleph_rel_increasing:
  assumes  $a < b$  and types:  $M(a) \ M(b)$ 
  shows  $\aleph_a^M < \aleph_b^M$ 
  <proof>

lemma Card_rel_Aleph_rel [simp, intro]:
  assumes Ord(a) and types:  $M(a)$  shows  $\text{Card}^M(\aleph_a^M)$ 
  <proof>

lemmas nat_subset_Aleph_rel_1 =
  Ord_lt_subset[OF Ord_Aleph_rel[of 1] Aleph_rel_increasing[of 0 1,simplified],simplified]

end — M_aleph

end

```

24 Relative, Cardinal Arithmetic Using AC

```

theory Cardinal_AC_Relative
  imports
    CardinalArith_Relative

begin

locale M_AC =
  fixes M
  assumes
    choice_ax: choice_ax(M)

locale M_cardinal_AC = M_cardinal_arith + M_AC +
  assumes
    lam_replacement_minimum: lam_replacement(M,  $\lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p))$ )
begin

lemma lam_replacement_minimum_vimage:
   $M(f) \implies M(r) \implies \text{lam\_replacement}(M, \lambda x. \text{minimum}(r, f^{-1}\{x\}))$ 
  <proof>

lemmas surj_imp_inj_replacement4 = lam_replacement_minimum_vimage[unfolded
lam_replacement_def]

lemmas surj_imp_inj_replacement =

```

*surj_imp_inj_replacement1 surj_imp_inj_replacement2 surj_imp_inj_replacement4
lam_replacement_vimageSingFun[THEN lam_replacement_imp_strong_replacement]*

lemma *well_ord_surj_imp_lepoll_rel*:
assumes *well_ord*(*A*,*r*) *h* ∈ *surj*(*A*,*B*) **and**
types: *M*(*A*) *M*(*r*) *M*(*h*) *M*(*B*)
shows $B \lesssim^M A$
 ⟨*proof*⟩

lemma *surj_imp_well_ord_M*:
assumes *wos*: *well_ord*(*A*,*r*) *h* ∈ *surj*(*A*,*B*)
and
types: *M*(*A*) *M*(*r*) *M*(*h*) *M*(*B*)
shows $\exists s[M]. \text{well_ord}(B, s)$
 ⟨*proof*⟩

lemma *choice_ax_well_ord*: $M(S) \implies \exists r[M]. \text{well_ord}(S, r)$
 ⟨*proof*⟩

lemma *Finite_cardinal_rel_Finite*:
assumes *Finite*($|i|^M$) *M*(*i*)
shows *Finite*(*i*)
 ⟨*proof*⟩

end — *M_cardinal_AC*

locale *M_Pi_assumptions_choice* = *M_Pi_assumptions* + *M_cardinal_AC* +
assumes
B_replacement: *strong_replacement*(*M*, $\lambda x y. y = B(x)$)
and
 — The next one should be derivable from (some variant) of *B_replacement*.
 Proving both instances each time seems inconvenient.
minimum_replacement: $M(r) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{minimum}(r, B(x)) \rangle)$
begin

lemma *AC_M*:
assumes $a \in A \bigwedge x. x \in A \implies \exists y. y \in B(x)$
shows $\exists z[M]. z \in \text{Pi}^M(A, B)$
 ⟨*proof*⟩

lemma *AC_Pi_rel*: **assumes** $\bigwedge x. x \in A \implies \exists y. y \in B(x)$
shows $\exists z[M]. z \in \text{Pi}^M(A, B)$
 ⟨*proof*⟩

end — *M_Pi_assumptions_choice*

context $M_cardinal_AC$
begin

24.1 Strengthened Forms of Existing Theorems on Cardinals

lemma $cardinal_rel_eqpoll_rel$: $M(A) \implies |A|^M \approx^M A$
 $\langle proof \rangle$

lemmas $cardinal_rel_idem = cardinal_rel_eqpoll_rel$ [*THEN* $cardinal_rel_cong$, $simp$]

lemma $cardinal_rel_eqE$: $|X|^M = |Y|^M \implies M(X) \implies M(Y) \implies X \approx^M Y$
 $\langle proof \rangle$

lemma $cardinal_rel_eqpoll_rel_iff$: $M(X) \implies M(Y) \implies |X|^M = |Y|^M \longleftrightarrow X \approx^M Y$
 $\langle proof \rangle$

lemma $cardinal_rel_disjoint_Un$:
 $[|A|^M = |B|^M; |C|^M = |D|^M; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D)]$
 $\implies |A \cup C|^M = |B \cup D|^M$
 $\langle proof \rangle$

lemma $lepoll_rel_imp_cardinal_rel_le$: $A \lesssim^M B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$
 $\langle proof \rangle$

lemma $cadd_rel_assoc$: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$
 $\langle proof \rangle$

lemma $cmult_rel_assoc$: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$
 $\langle proof \rangle$

lemma $cadd_cmult_distrib$: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$
 $\langle proof \rangle$

lemma $InfCard_rel_square_eq$: $InfCard^M(|A|^M) \implies M(A) \implies A \times A \approx^M A$
 $\langle proof \rangle$

24.2 The relationship between cardinality and le-pollence

lemma $Card_rel_le_imp_lepoll_rel$:
assumes $|A|^M \leq |B|^M$
and types: $M(A) \ M(B)$
shows $A \lesssim^M B$

$\langle \text{proof} \rangle$

lemma *le_Card_rel_iff*: $\text{Card}^M(K) \implies M(K) \implies M(A) \implies |A|^M \leq K \longleftrightarrow A \lesssim^M K$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_0_iff_0* [simp]: $M(A) \implies |A|^M = 0 \longleftrightarrow A = 0$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_lt_iff_lesspoll_rel*:
assumes $i: \text{Ord}(i)$ **and**
types: $M(i) \ M(A)$
shows $i < |A|^M \longleftrightarrow i \prec^M A$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_le_imp_lepoll_rel*: $i \leq |A|^M \implies M(i) \implies M(A) \implies i \lesssim^M A$
 $\langle \text{proof} \rangle$

24.3 Other Applications of AC

We have an example of instantiating a locale involving higher order variables inside a proof, by using the assumptions of the first order, active locale.

lemma *surj_rel_implies_inj_rel*:
assumes $f: f \in \text{surj}^M(X, Y)$ **and**
types: $M(f) \ M(X) \ M(Y)$
shows $\exists g[M]. g \in \text{inj}^M(Y, X)$
 $\langle \text{proof} \rangle$

Kunen's Lemma 10.20

lemma *surj_rel_implies_cardinal_rel_le*:
assumes $f: f \in \text{surj}^M(X, Y)$ **and**
types: $M(f) \ M(X) \ M(Y)$
shows $|Y|^M \leq |X|^M$
 $\langle \text{proof} \rangle$

end — *M_cardinal_AC*

The set-theoretic universe.

abbreviation
Universe :: $i \Rightarrow o \ (\langle \mathcal{V} \rangle)$ **where**
 $\mathcal{V}(x) \equiv \text{True}$

lemma *separation_absolute*: $\text{separation}(\mathcal{V}, P)$
 $\langle \text{proof} \rangle$

lemma *univalent_absolute*:
assumes $\text{univalent}(\mathcal{V}, A, P) \ P(x, b) \ x \in A$

shows $P(x, y) \implies y = b$
 $\langle \text{proof} \rangle$

lemma *replacement_absolute*: *strong_replacement*($\mathcal{V}$, P)
 $\langle \text{proof} \rangle$

lemma *Union_ax_absolute*: *Union_ax*($\mathcal{V}$)
 $\langle \text{proof} \rangle$

lemma *upair_ax_absolute*: *upair_ax*($\mathcal{V}$)
 $\langle \text{proof} \rangle$

lemma *power_ax_absolute*: *power_ax*($\mathcal{V}$)
 $\langle \text{proof} \rangle$

locale *M_cardinal_UN* = *M_Pi_assumptions_choice* _ *K X* **for** *K X* +
assumes
— The next assumption is required by *Least_closed*
X_witness_in_M: $w \in X(x) \implies M(x)$
and
lam_m_replacement: $M(f) \implies \text{strong_replacement}(M,$
 $\lambda x y. y = \langle x, \mu i. x \in X(i), f \text{ ` } (\mu i. x \in X(i)) \text{ ` } x \rangle)$
and
inj_replacement:
 $M(x) \implies \text{strong_replacement}(M, \lambda y z. y \in \text{inj}^M(X(x), K) \wedge z = \{\langle x, y \rangle\})$
 $\text{strong_replacement}(M, \lambda x y. y = \text{inj}^M(X(x), K))$
 $\text{strong_replacement}(M,$
 $\lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(X(i), K)))$
 $M(r) \implies \text{strong_replacement}(M,$
 $\lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(X(x), K)) \rangle)$

begin

lemma *UN_closed*: $M(\bigcup_{i \in K}. X(i))$
 $\langle \text{proof} \rangle$

Kunen's Lemma 10.21

lemma *cardinal_rel_UN_le*:
assumes K : $\text{InfCard}^M(K)$
shows $(\bigwedge i. i \in K \implies |X(i)|^M \leq K) \implies |\bigcup_{i \in K}. X(i)|^M \leq K$
 $\langle \text{proof} \rangle$

end — *M_cardinal_UN*

end

25 Relativization of Finite Functions

theory *FiniteFun_Relative*

```

imports
  Lambda_Replacement
begin

lemma FiniteFunI :
  assumes  $f \in \text{Fin}(A \times B)$  function( $f$ )
  shows  $f \in A \multimap B$ 
   $\langle \text{proof} \rangle$ 

```

25.1 The set of finite binary sequences

We implement the poset for adding one Cohen real, the set $2^{<\omega}$ of finite binary sequences.

definition
 $\text{seqspace} :: [i, i] \Rightarrow i \multimap [100, 1]100$ **where**
 $B^{<\alpha} \equiv \bigcup_{n \in \alpha}. (n \rightarrow B)$

lemma *seqspaceI[intro]*: $n \in \alpha \implies f : n \rightarrow B \implies f \in B^{<\alpha}$
 $\langle \text{proof} \rangle$

lemma *seqspaceD[dest]*: $f \in B^{<\alpha} \implies \exists n \in \alpha. f : n \rightarrow B$
 $\langle \text{proof} \rangle$

locale *M_pre_seqspace* = *M_trancl* + *M_replacement* + *M_Pi*
begin

lemma *function_space_subset_Pow_rel*:
assumes $n \in \omega$ $M(B)$
shows $n \rightarrow B \subseteq \text{Pow}^M(\bigcup (\omega \rightarrow^M B))$
 $\langle \text{proof} \rangle$

lemma *seqspace_subset_Pow_rel*:
assumes $M(B)$
shows $B^{<\omega} \subseteq \text{Pow}^M(\bigcup (\omega \rightarrow^M B))$
 $\langle \text{proof} \rangle$

lemma *seqspace_imp_M*:
assumes $x \in B^{<\omega}$ $M(B)$
shows $M(x)$
 $\langle \text{proof} \rangle$

lemma *seqspace_eq_Collect*:
assumes $M(B)$
shows $B^{<\omega} = \{z \in \text{Pow}^M(\bigcup (\omega \rightarrow^M B)). \exists x[M]. \exists n[M]. n \in \omega \wedge z \in x \wedge x = n \rightarrow^M B\}$
 $\langle \text{proof} \rangle$

end — *M_pre_seqspace*

```

locale  $M\_seqspace = M\_pre\_seqspace +$ 
  assumes
     $seqspace\_separation: M(B) \implies separation(M, \lambda z. \exists x[M]. \exists n[M]. n \in \omega \wedge z \in$ 
 $x \wedge x = n \rightarrow^M B)$ 
  begin

lemma  $seqspace\_closed:$ 
   $M(B) \implies M(B^{<\omega})$ 
   $\langle proof \rangle$ 

end —  $M\_seqspace$ 

```

25.2 Representation of finite functions

A function $f \in A \rightarrow_{fin} B$ can be represented by a function $g \in |f| \rightarrow A \times B$. It is clear that f can be represented by any $g' = g \cdot \pi$, where π is a permutation $\pi \in dom(g) \rightarrow dom(g)$. We use this representation of $A \rightarrow_{fin} B$ to prove that our model is closed under $_ \rightarrow_{fin} _$.

A function $g \in n \rightarrow A \times B$ that is functional in the first components.

definition $cons_like :: i \Rightarrow o$ **where**
 $cons_like(f) \equiv \forall i \in domain(f) . \forall j \in i . fst(f'i) \neq fst(f'j)$

definition $FiniteFun_iso :: [i, i, i, i, i] \Rightarrow o$ **where**
 $FiniteFun_iso(A, B, n, g, f) \equiv (\forall i \in n . g'i \in f) \wedge (\forall ab \in f. (\exists i \in n. g'i = ab))$

From a function $g \in n \rightarrow A \times B$ we obtain a finite function in $A -||> B$.

definition $to_FiniteFun :: i \Rightarrow i$ **where**
 $to_FiniteFun(f) \equiv \{f'i . i \in domain(f)\}$

definition $FiniteFun_Repr :: [i, i] \Rightarrow i$ **where**
 $FiniteFun_Repr(A, B) \equiv \{f \in (A \times B)^{<\omega} . cons_like(f) \}$

```

locale  $M\_FiniteFun = M\_seqspace +$ 
  assumes
     $separation\_is\_function : separation(M, is\_function(M))$ 
  begin

```

```

lemma  $cons\_like\_separation : separation(M, \lambda f. cons\_like(f))$ 
   $\langle proof \rangle$ 

```

```

lemma  $supset\_separation: separation(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge b \subseteq a)$ 
   $\langle proof \rangle$ 

```

```

lemma  $to\_finiteFun\_replacement: strong\_replacement(M, \lambda x y. y = range(x))$ 
   $\langle proof \rangle$ 

```

```

lemma  $fun\_range\_eq: f \in A \rightarrow B \implies \{f'i . i \in domain(f)\} = range(f)$ 

```

$\langle \text{proof} \rangle$

lemma *FiniteFun_fst_type*:
assumes $h \in A \multimap B$ $p \in h$
shows $\text{fst}(p) \in \text{domain}(h)$
 $\langle \text{proof} \rangle$

lemma *FinFun_closed*:
 $M(A) \implies M(B) \implies M(\bigcup \{n \rightarrow A \times B \mid n \in \omega\})$
 $\langle \text{proof} \rangle$

lemma *cons_like_lt* :
assumes $n \in \omega$ $f \in \text{succ}(n) \rightarrow A \times B$ $\text{cons_like}(f)$
shows $\text{restrict}(f, n) \in n \rightarrow A \times B$ $\text{cons_like}(\text{restrict}(f, n))$
 $\langle \text{proof} \rangle$

A finite function $f \in A \multimap B$ can be represented by a function $g \in n \rightarrow A \times B$, with $n = |f|$.

lemma *FiniteFun_iso_intro1*:
assumes $f \in (A \multimap B)$
shows $\exists n \in \omega \ . \ \exists g \in n \rightarrow A \times B. \text{FiniteFun_iso}(A, B, n, g, f) \wedge \text{cons_like}(g)$
 $\langle \text{proof} \rangle$

All the representations of $f \in A \multimap B$ are equal.

lemma *FiniteFun_isoD* :
assumes $n \in \omega$ $g \in n \rightarrow A \times B$ $f \in A \multimap B$ $\text{FiniteFun_iso}(A, B, n, g, f)$
shows $\text{to_FiniteFun}(g) = f$
 $\langle \text{proof} \rangle$

lemma *to_FiniteFun_succ_eq* :
assumes $n \in \omega$ $f \in \text{succ}(n) \rightarrow A$
shows $\text{to_FiniteFun}(f) = \text{cons}(f'n, \text{to_FiniteFun}(\text{restrict}(f, n)))$
 $\langle \text{proof} \rangle$

If $g \in n \rightarrow A \times B$ is *cons_like*, then it is a representation of $\text{to_FiniteFun}(g)$.

lemma *FiniteFun_iso_intro_to*:
assumes $n \in \omega$ $g \in n \rightarrow A \times B$ $\text{cons_like}(g)$
shows $\text{to_FiniteFun}(g) \in (A \multimap B) \wedge \text{FiniteFun_iso}(A, B, n, g, \text{to_FiniteFun}(g))$
 $\langle \text{proof} \rangle$

lemma *FiniteFun_iso_intro2*:
assumes $n \in \omega$ $f \in n \rightarrow A \times B$ $\text{cons_like}(f)$
shows $\exists g \in (A \multimap B) . \text{FiniteFun_iso}(A, B, n, f, g)$
 $\langle \text{proof} \rangle$

lemma *FiniteFun_eq_range_Repr* :
shows $\{\text{range}(h) \mid h \in \text{FiniteFun_Repr}(A, B)\} = \{\text{to_FiniteFun}(h) \mid h \in \text{FiniteFun_Repr}(A, B)\}$
 $\langle \text{proof} \rangle$

```

lemma FiniteFun_eq_to_FiniteFun_Repr :
  shows  $A \multimap B = \{ to\_FiniteFun(h) . h \in FiniteFun\_Repr(A,B) \}$ 
  (is  $?Y=?X$ )
   $\langle proof \rangle$ 

lemma FiniteFun_Repr_closed :
  assumes  $M(A) \ M(B)$ 
  shows  $M(FiniteFun\_Repr(A,B))$ 
   $\langle proof \rangle$ 

lemma to_FiniteFun_closed:
  assumes  $M(A) \ f \in A$ 
  shows  $M(range(f))$ 
   $\langle proof \rangle$ 

lemma To_FiniteFun_Repr_closed :
  assumes  $M(A) \ M(B)$ 
  shows  $M(\{ range(h) . h \in FiniteFun\_Repr(A,B) \})$ 
   $\langle proof \rangle$ 

lemma FiniteFun_closed[intro,simp] :
  assumes  $M(A) \ M(B)$ 
  shows  $M(A \multimap B)$ 
   $\langle proof \rangle$ 

end — M_FiniteFun

end

```

26 Library of basic ZF results

```

theory ZF_Library_Relative
  imports
    Aleph_Relative — must be before Transitive_Models.Cardinal_AC_Relative!
    Cardinal_AC_Relative
    FiniteFun_Relative
  begin

  locale M_Pi_assumption_repl = M_Pi_replacement +
    fixes  $A \ f$ 
    assumes  $A\_in\_M: M(A)$  and
     $f\_repl : lam\_replacement(M,f)$  and
     $f\_closed : \forall x[M]. M(f(x))$ 
  begin

  sublocale M_Pi_assumptions  $M \ A \ f$ 
     $\langle proof \rangle$ 

```

end — *M_Pi_assumption_repl*

no_notation *sum* (**infixr** $\langle + \rangle$ 65)

notation *oadd* (**infixl** $\langle + \rangle$ 65)

lemma (**in** *M_cardinal_arith_jump*) *csucc_rel_cardinal_rel*:

assumes *Ord*(κ) *M*(κ)

shows $(|\kappa|^{M+})^M = (\kappa^+)^M$

$\langle proof \rangle$

lemma (**in** *M_cardinal_arith_jump*) *csucc_rel_le_mono*:

assumes $\kappa \leq \nu$ *M*(κ) *M*(ν)

shows $(\kappa^+)^M \leq (\nu^+)^M$

$\langle proof \rangle$

lemma (**in** *M_cardinal_AC*) *cardinal_rel_succ_not_0*: $|A|^M = \text{succ}(n) \implies$

$M(A) \implies M(n) \implies A \neq 0$

$\langle proof \rangle$

$\langle ML \rangle$

notation *Finite_to_one_rel* ($\langle \text{Finite}'_to_one-'_(_,_)' \rangle$)

abbreviation

Finite_to_one_r_set :: $[i, i, i] \Rightarrow i \ (\langle \text{Finite}'_to_one-'_(_,_)' \rangle)$ **where**

Finite_to_one^{*M*}(*X*, *Y*) $\equiv$ *Finite_to_one_rel*($\#\#M, X, Y$)

locale *M_ZF_library* = *M_aleph* + *M_FiniteFun*

begin

lemma *Finite_Collect_imp*: *Finite*($\{x \in X \ . \ Q(x)\}$) $\implies$ *Finite*($\{x \in X \ . \ M(x) \wedge$

Q(x)\})

(**is** *Finite*(*?A*) $\implies$ *Finite*(*?B*))

$\langle proof \rangle$

lemma *Finite_to_one_relI*[*intro*]:

assumes $f: X \rightarrow^M Y \bigwedge y. y \in Y \implies \text{Finite}(\{x \in X \ . \ f'x = y\})$

and *types*: *M*(*f*) *M*(*X*) *M*(*Y*)

shows $f \in \text{Finite_to_one}^M(X, Y)$

$\langle proof \rangle$

lemma *Finite_to_one_relI'*[*intro*]:

assumes $f: X \rightarrow^M Y \bigwedge y. y \in Y \implies \text{Finite}(\{x \in X \ . \ M(x) \wedge f'x = y\})$

and *types*: *M*(*f*) *M*(*X*) *M*(*Y*)

shows $f \in \text{Finite_to_one}^M(X, Y)$

$\langle proof \rangle$

lemma *Finite_to_one_relD*[*dest*]:
 $f \in \text{Finite_to_one}^M(X, Y) \implies f: X \rightarrow^M Y$
 $f \in \text{Finite_to_one}^M(X, Y) \implies y \in Y \implies M(Y) \implies \text{Finite}(\{x \in X \mid M(x) \wedge f(x) = y\})$
 $\langle \text{proof} \rangle$

lemma *Diff_bij_rel*:
assumes $\forall A \in F. X \subseteq A$
and types: $M(F) \ M(X)$ **shows** $(\lambda A \in F. A - X) \in \text{bij}^M(F, \{A - X \mid A \in F\})$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_nonempty*:
assumes $b \in B$ **and types:** $M(B) \ M(A)$
shows $(\lambda x \in A. b) : A \rightarrow^M B$
 $\langle \text{proof} \rangle$

lemma *mem_function_space_rel*:
assumes $f \in A \rightarrow^M y \ M(A) \ M(y)$
shows $f \in A \rightarrow y$
 $\langle \text{proof} \rangle$

lemmas *range_fun_rel_subset_codomain* = *range_fun_subset_codomain*[*OF mem_function_space_rel*]

end — *M_ZF_library*

context *M_Pi_assumptions*
begin

lemma *mem_Pi_rel*: $f \in \text{Pi}^M(A, B) \implies f \in \text{Pi}(A, B)$
 $\langle \text{proof} \rangle$

lemmas *Pi_rel_rangeD* = *Pi_rangeD*[*OF mem_Pi_rel*]

lemmas *rel_apply_Pair* = *apply_Pair*[*OF mem_Pi_rel*]

lemmas *rel_apply_rangeI* = *apply_rangeI*[*OF mem_Pi_rel*]

lemmas *Pi_rel_range_eq* = *Pi_range_eq*[*OF mem_Pi_rel*]

lemmas *Pi_rel_vimage_subset* = *Pi_vimage_subset*[*OF mem_Pi_rel*]

end — *M_Pi_assumptions*

context *M_ZF_library*
begin

lemmas *rel_apply_in_range* = *apply_in_codomain_Ord*[*OF mem_function_space_rel*]

lemmas *rel_range_eq_image* = *ZF_Library.range_eq_image*[*OF mem_function_space_rel*]

lemmas *rel_Image_sub_codomain* = *Image_sub_codomain*[*OF mem_function_space_rel*]

lemma *rel_inj_to_Image*: $\llbracket f:A \rightarrow^M B; f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, f''A)$
 <proof>

lemma *inj_rel_imp_surj_rel*:
 fixes *f b*
 defines [*simp*]: *if**x*(*x*) $\equiv$ *if* *x* $\in$ *range*(*f*) *then* *converse*(*f*) '*x* *else* *b*
 assumes $f \in \text{inj}^M(B,A)$ *b* $\in B$ **and** *types*: *M*(*f*) *M*(*B*) *M*(*A*)
 shows $(\lambda x \in A. \text{if } x(x)) \in \text{surj}^M(A,B)$
 <proof>

lemma *function_space_rel_disjoint_Un*:
 assumes $f \in A \rightarrow^M B$ $g \in C \rightarrow^M D$ $A \cap C = \emptyset$
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*) *M*(*D*)
 shows $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$
 <proof>

lemma *restrict_eq_imp_Un_into_function_space_rel*:
 assumes $f \in A \rightarrow^M B$ $g \in C \rightarrow^M D$ *restrict*(*f*, $A \cap C$) = *restrict*(*g*, $A \cap C$)
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*) *M*(*D*)
 shows $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$
 <proof>

lemma *lepoll_relD*[*dest*]: $A \lesssim^M B \implies \exists f[M]. f \in \text{inj}^M(A, B)$
 <proof>

lemma *lepoll_relI*[*intro*]: $f \in \text{inj}^M(A, B) \implies M(f) \implies A \lesssim^M B$
 <proof>

lemma *eqpollD*[*dest*]: $A \approx^M B \implies \exists f[M]. f \in \text{bij}^M(A, B)$
 <proof>

lemma *bij_rel_imp_eqpoll_rel*[*intro*]: $f \in \text{bij}^M(A,B) \implies M(f) \implies A \approx^M B$
 <proof>

lemma *restrict_bij_rel*:— Unused
 assumes $f \in \text{inj}^M(A,B)$ $C \subseteq A$
 and *types*: *M*(*A*) *M*(*B*) *M*(*C*)
 shows *restrict*(*f*, *C*) $\in \text{bij}^M(C, f''C)$
 <proof>

lemma *range_of_subset_eqpoll_rel*:
 assumes $f \in \text{inj}^M(X,Y)$ $S \subseteq X$
 and *types*: *M*(*X*) *M*(*Y*) *M*(*S*)
 shows $S \approx^M f''S$
 <proof>

lemmas *inj_rel_is_fun* = *inj_is_fun*[*OF mem_inj_rel*]

lemma *inj_rel_bij_rel_range*: $f \in \text{inj}^M(A, B) \implies M(A) \implies M(B) \implies f \in \text{bij}^M(A, \text{range}(f))$
 <proof>

lemma *bij_rel_is_inj_rel*: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies f \in \text{inj}^M(A, B)$
 <proof>

lemma *inj_rel_weaken_type*: $[| f \in \text{inj}^M(A, B); B \subseteq D; M(A); M(B); M(D) |] \implies f \in \text{inj}^M(A, D)$
 <proof>

lemma *bij_rel_converse_bij_rel* [*TC*]: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{bij}^M(B, A)$
 <proof>

lemma *bij_rel_is_fun_rel*: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$
 <proof>

lemmas *bij_rel_is_fun* = *bij_rel_is_fun_rel*[*THEN mem_function_space_rel*]

lemma *comp_bij_rel*:
 $g \in \text{bij}^M(A, B) \implies f \in \text{bij}^M(B, C) \implies M(A) \implies M(B) \implies M(C) \implies (f \circ g) \in \text{bij}^M(A, C)$
 <proof>

lemma *inj_rel_converse_fun*: $f \in \text{inj}^M(A, B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{range}(f) \rightarrow^M A$
 <proof>

lemma *fg_imp_bijective_rel*:
assumes $f \in A \rightarrow^M B$ $g \in B \rightarrow^M A$ $f \circ g = \text{id}(B)$ $g \circ f = \text{id}(A)$ $M(A)$ $M(B)$
shows $f \in \text{bij}^M(A, B)$
 <proof>

end — *M_ZF_library*

26.1 Discipline for *cexp*

<ML>

context *M_ZF_library*
begin

<ML>
 <proof>

<ML> <proof>

end — *M_ZF_library*

$\langle ML \rangle$

notation *is_cexp_fm* ($\langle \cdot \uparrow _ \text{is } _ \rangle$)

$\langle ML \rangle$

abbreviation

cexp_r :: $[i, i, i \Rightarrow o] \Rightarrow i \ (\langle _ \uparrow _ \text{is } _ \rangle)$ **where**

cexp_r(*x*, *y*, *M*) $\equiv$ *cexp_rel*(*M*, *x*, *y*)

abbreviation

cexp_r_set :: $[i, i, i] \Rightarrow i \ (\langle _ \uparrow _ \text{is } _ \rangle)$ **where**

cexp_r_set(*x*, *y*, *M*) $\equiv$ *cexp_rel*($\#\#M$, *x*, *y*)

context *M_ZF_library*

begin

lemma *Card_rel_cexp_rel*: $M(\kappa) \Longrightarrow M(\nu) \Longrightarrow \text{Card}^M(\kappa^{\uparrow\nu, M})$

$\langle proof \rangle$

declare *conj_cong*[*cong*]

lemma *eq_csucc_rel_ord*:

$\text{Ord}(i) \Longrightarrow M(i) \Longrightarrow (i^+)^M = (|i|^{M+})^M$

$\langle proof \rangle$

lemma *lesspoll_succ_rel*:

assumes $\text{Ord}(\kappa) \ M(\kappa)$

shows $\kappa \lesssim^M (\kappa^+)^M$

$\langle proof \rangle$

lemma *lesspoll_rel_csucc_rel*:

assumes $\text{Ord}(\kappa)$

and *types*: $M(\kappa) \ M(d)$

shows $d \prec^M (\kappa^+)^M \longleftrightarrow d \lesssim^M \kappa$

$\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll*:

assumes $\text{Infinite}(X) \ n \in \omega$

shows $n \lesssim X$

$\langle proof \rangle$

lemma *nepoll_imp_nepoll_rel* :

assumes $\neg x \approx X \ M(x) \ M(X)$

shows $\neg (x \approx^M X)$

$\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll_rel*:

assumes $\text{Infinite}(X) \ n \in \omega$

and types: $M(X)$
shows $n \lesssim^M X$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_imp_lepoll*: $A \lesssim^M B \implies M(A) \implies M(B) \implies A \lesssim B$
 $\langle \text{proof} \rangle$

lemma *zero_lesspoll_rel*: **assumes** $0 < \kappa$ $M(\kappa)$ **shows** $0 \prec^M \kappa$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_nat_imp_Infinite*: $\omega \lesssim^M X \implies M(X) \implies \text{Infinite}(X)$
 $\langle \text{proof} \rangle$

lemma *InfCard_rel_imp_Infinite*: $\text{InfCard}^M(\kappa) \implies M(\kappa) \implies \text{Infinite}(\kappa)$
 $\langle \text{proof} \rangle$

lemma *lt_surj_rel_empty_imp_Card_rel*:
assumes $\text{Ord}(\kappa) \bigwedge \alpha. \alpha < \kappa \implies \text{surj}^M(\alpha, \kappa) = 0$
and types: $M(\kappa)$
shows $\text{Card}^M(\kappa)$
 $\langle \text{proof} \rangle$

end — *M_ZF_library*

$\langle \text{ML} \rangle$

notation *mono_map_rel* ($\langle \text{mono}'_map-'(_,_,_,_) \rangle$)

abbreviation
 $\text{mono_map_r_set} :: [i,i,i,i,i] \Rightarrow i$ ($\langle \text{mono}'_map-'(_,_,_,_) \rangle$) **where**
 $\text{mono_map}^M(a,r,b,s) \equiv \text{mono_map_rel}(\#\#M,a,r,b,s)$

context *M_ZF_library*
begin

lemma *mono_map_rel_char*:
assumes $M(a)$ $M(b)$
shows $\text{mono_map}^M(a,r,b,s) = \{f \in \text{mono_map}(a,r,b,s) . M(f)\}$
 $\langle \text{proof} \rangle$

Just a sample of porting results on *mono_map*

lemma *mono_map_rel_mono*:
assumes
 $f \in \text{mono_map}^M(A,r,B,s)$ $B \subseteq C$
and types: $M(A)$ $M(B)$ $M(C)$
shows
 $f \in \text{mono_map}^M(A,r,C,s)$
 $\langle \text{proof} \rangle$

lemma *nats_le_InfCard_rel*:
assumes $n \in \omega$ *InfCard*^M(κ)
shows $n \leq \kappa$
 $\langle proof \rangle$

lemma *nat_into_InfCard_rel*:
assumes $n \in \omega$ *InfCard*^M(κ)
shows $n \in \kappa$
 $\langle proof \rangle$

lemma *Finite_lespoll_rel_nat*:
assumes *Finite*(x) *M*(x)
shows $x \prec^M \text{nat}$
 $\langle proof \rangle$

lemma *Finite_cardinal_rel_in_nat* [*simp*]:
assumes *Finite*(A) *M*(A) **shows** $|A|^M \in \omega$
 $\langle proof \rangle$

lemma *Finite_cardinal_rel_eq_cardinal*:
assumes *Finite*(A) *M*(A) **shows** $|A|^M = |A|$
 $\langle proof \rangle$

lemma *Finite_imp_cardinal_rel_cons*:
assumes *FA*: *Finite*(A) **and** $a \notin A$ **and** *types*: *M*(A) *M*(a)
shows $|cons(a, A)|^M = succ(|A|^M)$
 $\langle proof \rangle$

lemma *Finite_imp_succ_cardinal_rel_Diff*:
assumes *Finite*(A) $a \in A$ *M*(A)
shows $succ(|A - \{a\}|^M) = |A|^M$
 $\langle proof \rangle$

lemma *InfCard_rel_Aleph_rel*:
notes *Aleph_rel_zero*[*simp*]
assumes *Ord*(α)
and *types*: *M*(α)
shows *InfCard*^M($\aleph_\alpha^M$)
 $\langle proof \rangle$

lemmas *Limit_Aleph_rel = InfCard_rel_Aleph_rel*[*THEN InfCard_rel_is_Limit*]

bundle *Ord_dests = Limit_is_Ord*[*dest*] *Card_rel_is_Ord*[*dest*]
bundle *Aleph_rel_dests = Aleph_rel_cont*[*dest*]
bundle *Aleph_rel_intros = Aleph_rel_increasing*[*intro!*]
bundle *Aleph_rel_mem_dests = Aleph_rel_increasing*[*OF ltI, THEN ltD, dest*]

lemma *f_imp_injective_rel*:
assumes $f \in A \rightarrow^M B \forall x \in A. d(f \cdot x) = x$ *M*(A) *M*(B)

shows $f \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *lam_injective_rel*:
assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge x. x \in A \implies d(c(x)) = x$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) \ M(B)$
shows $(\lambda x \in A. c(x)) \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *f_imp_surjective_rel*:
assumes $f \in A \rightarrow^M B \bigwedge y. y \in B \implies d(y) \in A \bigwedge y. y \in B \implies f \circ d(y) = y$
 $M(A) \ M(B)$
shows $f \in \text{surj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *lam_surjective_rel*:
assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge y. y \in B \implies d(y) \in A$
 $\bigwedge y. y \in B \implies c(d(y)) = y$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) \ M(B)$
shows $(\lambda x \in A. c(x)) \in \text{surj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *lam_bijective_rel*:
assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge y. y \in B \implies d(y) \in A$
 $\bigwedge x. x \in A \implies d(c(x)) = x$
 $\bigwedge y. y \in B \implies c(d(y)) = y$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) \ M(B)$
shows $(\lambda x \in A. c(x)) \in \text{bij}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_eqpoll_rel_cong*:
assumes
 $A \approx^M A' \ B \approx^M B' \ M(A) \ M(A') \ M(B) \ M(B')$
shows
 $A \rightarrow^M B \approx^M A' \rightarrow^M B'$
 $\langle \text{proof} \rangle$

lemma *curry_eqpoll_rel*:
fixes $\nu 1 \ \nu 2 \ \kappa$
assumes $M(\nu 1) \ M(\nu 2) \ M(\kappa)$
shows $\nu 1 \rightarrow^M (\nu 2 \rightarrow^M \kappa) \approx^M \nu 1 \times \nu 2 \rightarrow^M \kappa$
 $\langle \text{proof} \rangle$

lemma *Pow_rel_eqpoll_rel_function_space_rel*:

fixes $d\ X$

notes *bool_of_o_def* [*simp*]

defines [*simp*]: $d(A) \equiv (\lambda x \in X. \text{bool_of_o}(x \in A))$

— the witnessing map for the thesis:

assumes $M(X)$

shows $\text{Pow}^M(X) \approx^M X \rightarrow^M \mathcal{P}$

<proof>

lemma *Pow_rel_bottom*: $M(B) \implies 0 \in \text{Pow}^M(B)$

<proof>

lemma *cantor_surj_rel*:

assumes $M(f)\ M(A)$

shows $f \notin \text{surj}^M(A, \text{Pow}^M(A))$

<proof>

lemma *cantor_inj_rel*: $M(f) \implies M(A) \implies f \notin \text{inj}^M(\text{Pow}^M(A), A)$

<proof>

end — *M_ZF_library*

end

27 Lambda-replacements required for cardinal inequalities

theory *Replacement_Lepoll*

imports

ZF_Library_Relative

begin

definition

lepoll_assumptions1 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**

lepoll_assumptions1($M, A, F, S, fa, K, x, f, r$) $\equiv \forall x \in S. \text{strong_replacement}(M, \lambda y z. y \in F(A, x) \wedge z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions2 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**

lepoll_assumptions2($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x z. z = \text{Sigfun}(x, F(A)))$

definition

lepoll_assumptions3 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**

lepoll_assumptions3($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x y. y = F(A, x))$

definition

lepoll_assumptions4 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions4($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda x y. y = \langle x, \text{minimum}(r, F(A, x)) \rangle$)

definition

lepoll_assumptions5 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions5($M, A, F, S, fa, K, x, f, r$) $\equiv$
strong_replacement($M, \lambda x y. y = \langle x, \mu i. x \in F(A, i), f \text{ ‘ } (\mu i. x \in F(A, i)) \text{ ‘ } x \rangle$)

definition

lepoll_assumptions6 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions6($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda y z. y \in \text{inj}^M(F(A, x), S) \wedge z = \{\langle x, y \rangle\}$)

definition

lepoll_assumptions7 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions7($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda x y. y = \text{inj}^M(F(A, x), S)$)

definition

lepoll_assumptions8 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions8($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(F(A, i), S))$)

definition

lepoll_assumptions9 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions9($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(F(A, x), S)) \rangle$)

definition

lepoll_assumptions10 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions10($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*
($M, \lambda x z. z = \text{Sigfun}(x, \lambda k. \text{if } k \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } k) \text{ else } 0)$)

definition

lepoll_assumptions11 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions11($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda x y. y = (\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } x) \text{ else } 0)$)

definition

lepoll_assumptions12 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions12($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*($M, \lambda y z. y \in F(A, \text{converse}(f) \text{ ‘ } x) \wedge z = \{\langle x, y \rangle\}$)

definition

lepoll_assumptions13 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions13($M, A, F, S, fa, K, x, f, r$) $\equiv$ *strong_replacement*
($M, \lambda x y. y = \langle x, \text{minimum}(r, \text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } x)$)

else 0)))

definition

lepoll_assumptions14 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 lepoll_assumptions14($M, A, F, S, fa, K, x, f, r$) $\equiv$ strong_replacement
 ($M, \lambda x y. y = \langle x, \mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } i) \text{ else } 0),$
 $fa \text{ ‘ } (\mu i. x \in (\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } i) \text{ else } 0)) \text{ ‘ } x \rangle$)

definition

lepoll_assumptions15 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 lepoll_assumptions15($M, A, F, S, fa, K, x, f, r$) $\equiv$ strong_replacement
 ($M, \lambda y z. y \in \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } x) \text{ else } 0, K) \wedge$
 $z = \{\langle x, y \rangle\}$)

definition

lepoll_assumptions16 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 lepoll_assumptions16($M, A, F, S, fa, K, x, f, r$) $\equiv$ strong_replacement($M, \lambda x y. y =$
 $\text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f) \text{ ‘ } x) \text{ else } 0, K)$)

definition

lepoll_assumptions17 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 lepoll_assumptions17($M, A, F, S, fa, K, x, f, r$) $\equiv$ strong_replacement
 ($M, \lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(\text{if } i \in \text{range}(f) \text{ then } F(A, \text{converse}(f)$
 $\text{ ‘ } i) \text{ else } 0, K)))$

definition

lepoll_assumptions18 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 lepoll_assumptions18($M, A, F, S, fa, K, x, f, r$) $\equiv$ strong_replacement
 ($M, \lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(\text{if } x \in \text{range}(f) \text{ then } F(A, \text{converse}(f)$
 $\text{ ‘ } x) \text{ else } 0, K)) \rangle$)

lemmas lepoll_assumptions_defs[simp] = lepoll_assumptions1_def

lepoll_assumptions2_def lepoll_assumptions3_def lepoll_assumptions4_def
 lepoll_assumptions5_def lepoll_assumptions6_def lepoll_assumptions7_def
 lepoll_assumptions8_def lepoll_assumptions9_def lepoll_assumptions10_def
 lepoll_assumptions11_def lepoll_assumptions12_def lepoll_assumptions13_def
 lepoll_assumptions14_def lepoll_assumptions15_def lepoll_assumptions16_def
 lepoll_assumptions17_def lepoll_assumptions18_def

definition if_range_F **where**

[simp]: if_range_F(H, f, i) $\equiv$ if $i \in \text{range}(f)$ then $H(\text{converse}(f) \text{ ‘ } i)$ else 0

definition if_range_F_else_F **where**

if_range_F_else_F(H, b, f, i) $\equiv$ if $b=0$ then if_range_F(H, f, i) else $H(i)$

lemma (in M_basic) lam_Least_assumption_general:
 assumes

separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i) \rangle)$
and
 $\text{mem_F_bound}: \bigwedge x c. x \in F(A, c) \implies c \in \text{range}(f) \cup U(A)$
and
 $\text{types}: M(A) \ M(b) \ M(f) \ M(U(A))$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i))$
 $\langle \text{proof} \rangle$

lemma (**in** M_basic) $\text{lam_Least_assumption_ifM_b0}$:
fixes F
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$
assumes
separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(F(A), 0, f, i) \rangle)$
and
 $\text{types}: M(A) \ M(f)$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_F_else_F}(F(A), 0, f, i))$
(is $\text{lam_replacement}(M, \lambda x . \text{Least}(\text{?P}(x)))$ **)**
 $\langle \text{proof} \rangle$

lemma (**in** $M_replacement$) $\text{lam_Least_assumption_ifM_bnot0}$:
fixes F
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$
assumes
 $\text{lam_replacement_minimum}: \text{lam_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$
and
separations:
 $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i) \rangle)$
 $\text{separation}(M, \text{Ord})$
and
 $\text{types}: M(A) \ M(f)$
and
 $b \neq 0$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i))$
(is $\text{lam_replacement}(M, \lambda x . \text{Least}(\text{?P}(x)))$ **)**
 $\langle \text{proof} \rangle$

lemma (**in** $M_replacement$) $\text{lam_Least_assumption_drSR_Y}$:
fixes $F \ r' \ D$
defines $F \equiv \text{drSR_Y}(r', D)$
assumes $\forall A'[M]. \text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i) \rangle)$
 $M(A) \ M(b) \ M(f) \ M(r')$
and
 $\text{lam_replacement_minimum}: \text{lam_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$
shows $\text{lam_replacement}(M, \lambda x . \mu i. x \in \text{if_range_F_else_F}(F(A), b, f, i))$
 $\langle \text{proof} \rangle$

locale $M_replacement_lepoll = M_replacement + M_inj +$

```

fixes F
assumes
  F_type[simp]:  $M(A) \implies \forall x[M]. M(F(A,x))$ 
and
  lam_lepoll_assumption_F:  $M(A) \implies \text{lam\_replacement}(M, F(A))$ 
and
  — Here b is a Boolean.
  lam_Least_assumption:  $M(A) \implies M(b) \implies M(f) \implies$ 
     $\text{lam\_replacement}(M, \lambda x. \mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$ 
and
  F_args_closed:  $M(A) \implies M(x) \implies x \in F(A, i) \implies M(i)$ 
and
  lam_replacement_inj_rel:  $\text{lam\_replacement}(M, \lambda p. \text{inj}^M(\text{fst}(p), \text{snd}(p)))$ 
and
  lam_replacement_minimum:  $\text{lam\_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$ 
begin

declare if_range_F_else_F_def[simp]

lemma lepoll_assumptions1:
  assumes types[simp]:  $M(A) \ M(S)$ 
  shows lepoll_assumptions1( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions2:
  assumes types[simp]:  $M(A) \ M(S)$ 
  shows lepoll_assumptions2( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions3:
  assumes types[simp]:  $M(A)$ 
  shows lepoll_assumptions3( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lepoll_assumptions4:
  assumes types[simp]:  $M(A) \ M(r)$ 
  shows lepoll_assumptions4( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

lemma lam_Least_closed :
  assumes  $M(A) \ M(b) \ M(f)$ 
  shows  $\forall x[M]. M(\mu i. x \in \text{if\_range\_F\_else\_F}(F(A), b, f, i))$ 
  <proof>

lemma lepoll_assumptions5:
  assumes
    types[simp]:  $M(A) \ M(f)$ 
  shows lepoll_assumptions5( $M, A, F, S, fa, K, x, f, r$ )
  <proof>

```

lemma *lepoll_assumptions6*:
assumes *types[simp]*: $M(A) \ M(S) \ M(x)$
shows *lepoll_assumptions6*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions7*:
assumes *types[simp]*: $M(A) \ M(S) \ M(x)$
shows *lepoll_assumptions7*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions8*:
assumes *types[simp]*: $M(A) \ M(S)$
shows *lepoll_assumptions8*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions9*:
assumes *types[simp]*: $M(A) \ M(S) \ M(r)$
shows *lepoll_assumptions9*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions10*:
assumes *types[simp]*: $M(A) \ M(f)$
shows *lepoll_assumptions10*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions11*:
assumes *types[simp]*: $M(A) \ M(f)$
shows *lepoll_assumptions11*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions12*:
assumes *types[simp]*: $M(A) \ M(x) \ M(f)$
shows *lepoll_assumptions12*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions13*:
assumes *types[simp]*: $M(A) \ M(r) \ M(f)$
shows *lepoll_assumptions13*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions14*:
assumes *types[simp]*: $M(A) \ M(f) \ M(fa)$
shows *lepoll_assumptions14*($M, A, F, S, fa, K, x, f, r$)
<proof>

lemma *lepoll_assumptions15*:
assumes *types[simp]*: $M(A) \ M(x) \ M(f) \ M(K)$
shows *lepoll_assumptions15*($M, A, F, S, fa, K, x, f, r$)

<proof>

lemma *lepoll_assumptions16*:
assumes *types[simp]:M(A) M(f) M(K)*
shows *lepoll_assumptions16(M,A,F,S,fa,K,x,f,r)*
<proof>

lemma *lepoll_assumptions17*:
assumes *types[simp]:M(A) M(f) M(K)*
shows *lepoll_assumptions17(M,A,F,S,fa,K,x,f,r)*
<proof>

lemma *lepoll_assumptions18*:
assumes *types[simp]:M(A) M(K) M(f) M(r)*
shows *lepoll_assumptions18(M,A,F,S,fa,K,x,f,r)*
<proof>

lemmas *lepoll_assumptions = lepoll_assumptions1 lepoll_assumptions2*
lepoll_assumptions3 lepoll_assumptions4 lepoll_assumptions5
lepoll_assumptions6 lepoll_assumptions7 lepoll_assumptions8
lepoll_assumptions9 lepoll_assumptions10 lepoll_assumptions11
lepoll_assumptions12 lepoll_assumptions13 lepoll_assumptions14
lepoll_assumptions15 lepoll_assumptions16
lepoll_assumptions17 lepoll_assumptions18

end — *M_replacement_lepoll*

end

28 Cardinal Arithmetic under Choice

theory *Cardinal_Library_Relative*

imports

Replacement_Lepoll

begin

locale *M_library = M_ZF_library + M_cardinal_AC +*

assumes

separation_cardinal_rel_lesspoll_rel: M(κ) $\implies$ separation($M, \lambda x . x \prec^M \kappa$)

begin

declare *eqpoll_rel_refl [simp]*

28.1 Miscellaneous

lemma *cardinal_rel_RepFun_apply_le*:

assumes *S $\in A \rightarrow B$ M(S) M(A) M(B)*

shows *$|\{S'a . a \in A\}|^M \leq |A|^M$*

<proof>

lemma *cardinal_rel_RepFun_le*:
assumes *lrf*:*lam_replacement*(*M*,*f*) **and** *f_closed*: $\forall x[M]. M(f(x))$ **and** *M*(*X*)
shows $|\{f(x) . x \in X\}|^M \leq |X|^M$
 $\langle proof \rangle$

lemma *subset_imp_le_cardinal_rel*: $A \subseteq B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$
 $\langle proof \rangle$

lemma *lt_cardinal_rel_imp_not_subset*: $|A|^M < |B|^M \implies M(A) \implies M(B) \implies \neg B \subseteq A$
 $\langle proof \rangle$

lemma *cardinal_rel_lt_csucc_rel_iff*:
 $Card_rel(M,K) \implies M(K) \implies M(K') \implies |K'|^M < (K^+)^M \longleftrightarrow |K'|^M \leq K$
 $\langle proof \rangle$

end — *M_library*

locale *M_cardinal_UN_nat* = *M_cardinal_UN* ω *X* **for** *X*
begin
lemma *cardinal_rel_UN_le_nat*:
assumes $\bigwedge i. i \in \omega \implies |X(i)|^M \leq \omega$
shows $|\bigcup i \in \omega. X(i)|^M \leq \omega$
 $\langle proof \rangle$

end — *M_cardinal_UN_nat*

locale *M_cardinal_UN_inj* = *M_library* +
j:*M_cardinal_UN* J +
y:*M_cardinal_UN* $K \lambda k. \text{if } k \in \text{range}(f) \text{ then } X(\text{converse}(f) 'k) \text{ else } 0$ **for** *J* *K*
f +
assumes
f_inj: *f* $\in \text{inj_rel}(M,J,K)$
begin

lemma *inj_rel_imp_cardinal_rel_UN_le*:
notes [*dest*] = *InfCard_is_Card* *Card_is_Ord*
fixes *Y*
defines $Y(k) \equiv \text{if } k \in \text{range}(f) \text{ then } X(\text{converse}(f) 'k) \text{ else } 0$
assumes $\text{InfCard}^M(K) \bigwedge i. i \in J \implies |X(i)|^M \leq K$
shows $|\bigcup i \in J. X(i)|^M \leq K$
 $\langle proof \rangle$

end — *M_cardinal_UN_inj*

locale *M_cardinal_UN_lepoll* = *M_library* + *M_replacement_lepoll* $\lambda_. X$ +

j:*M_cardinal_UN* *J* **for** *J*
begin

lemma *lepoll_rel_imp_cardinal_rel_UN_le*:
notes [*dest*] = *InfCard_is_Card Card_is_Ord*
assumes *InfCard*^{*M*}(*K*) *J* $\lesssim^M$ *K* $\wedge i. i \in J \implies |X(i)|^M \leq K$
 $M(K)$
shows $|\bigcup_{i \in J} X(i)|^M \leq K$
 $\langle proof \rangle$

end — *M_cardinal_UN_lepoll*

context *M_library*
begin

lemma *cardinal_rel_lt_csucc_rel_iff'*:
includes *Ord_dests*
assumes *Card_rel*(*M*, κ)
and types: $M(\kappa)$ *M*(*X*)
shows $\kappa < |X|^M \longleftrightarrow (\kappa^+)^M \leq |X|^M$
 $\langle proof \rangle$

lemma *lepoll_rel_imp_subset_bij_rel*:
assumes *M*(*X*) *M*(*Y*)
shows $X \lesssim^M Y \longleftrightarrow (\exists Z[M]. Z \subseteq Y \wedge Z \approx^M X)$
 $\langle proof \rangle$

The following result proves to be very useful when combining *cardinal_rel* and *eqpoll_rel* in a calculation.

lemma *cardinal_rel_Card_rel_eqpoll_rel_iff*:
 $Card_rel(M, \kappa) \implies M(\kappa) \implies M(X) \implies |X|^M = \kappa \longleftrightarrow X \approx^M \kappa$
 $\langle proof \rangle$

lemma *lepoll_rel_imp_lepoll_rel_cardinal_rel*:
assumes $X \lesssim^M Y$ *M*(*X*) *M*(*Y*)
shows $X \lesssim^M |Y|^M$
 $\langle proof \rangle$

lemma *lepoll_rel_Un*:
assumes *InfCard_rel*(*M*, κ) *A* $\lesssim^M \kappa$ *B* $\lesssim^M \kappa$ *M*(*A*) *M*(*B*) *M*(κ)
shows $A \cup B \lesssim^M \kappa$
 $\langle proof \rangle$

lemma *cardinal_rel_Un_le*:
assumes *InfCard_rel*(*M*, κ) $|A|^M \leq \kappa$ $|B|^M \leq \kappa$ *M*(κ) *M*(*A*) *M*(*B*)
shows $|A \cup B|^M \leq \kappa$
 $\langle proof \rangle$

lemma *Finite_cardinal_rel_iff'*: $M(i) \implies Finite(|i|^M) \longleftrightarrow Finite(i)$

$\langle proof \rangle$

lemma *cardinal_rel_subset_of_Card_rel*:
 assumes *Card_rel*(*M*, γ) *a* $\subseteq \gamma$ *M*(*a*) *M*(γ)
 shows $|a|^M < \gamma \vee |a|^M = \gamma$
 $\langle proof \rangle$

lemma *cardinal_rel_cases*:
 includes *Ord_dests*
 assumes *M*(γ) *M*(*X*)
 shows *Card_rel*(*M*, γ) $\implies |X|^M < \gamma \longleftrightarrow \neg |X|^M \geq \gamma$
 $\langle proof \rangle$

end — *M_library*

28.2 Countable and uncountable sets

$\langle ML \rangle$

notation *countable_rel* ($\langle countable_rel'(_)\rangle$)

abbreviation

countable_r_set $:: [i,i] \Rightarrow o$ ($\langle countable_rel'(_)\rangle$) **where**
countable^{*M*}(*i*) $\equiv countable_rel(\#\#M,i)$

context *M_library*

begin

lemma *countableI[intro]*: $X \lesssim^M \omega \implies countable_rel(M,X)$
 $\langle proof \rangle$

lemma *countableD[dest]*: $countable_rel(M,X) \implies X \lesssim^M \omega$
 $\langle proof \rangle$

lemma *countable_rel_iff_cardinal_rel_le_nat*: $M(X) \implies countable_rel(M,X)$
 $\longleftrightarrow |X|^M \leq \omega$
 $\langle proof \rangle$

lemma *lepoll_rel_countable_rel*: $X \lesssim^M Y \implies countable_rel(M,Y) \implies M(X)$
 $\implies M(Y) \implies countable_rel(M,X)$
 $\langle proof \rangle$

lemma *surj_rel_countable_rel*:
 $countable_rel(M,X) \implies f \in surj_rel(M,X,Y) \implies M(X) \implies M(Y) \implies M(f)$
 $\implies countable_rel(M,Y)$
 $\langle proof \rangle$

lemma *Finite_imp_countable_rel*: $Finite_rel(M,X) \implies M(X) \implies countable_rel(M,X)$
 $\langle proof \rangle$

end — *M_library*

lemma (in *M_cardinal_UN_lepoll*) *countable_rel_imp_countable_rel_UN*:
assumes *countable_rel*(*M*,*J*) $\bigwedge i. i \in J \implies \text{countable_rel}(M, X(i))$
shows *countable_rel*(*M*, $\bigcup_{i \in J} X(i)$)
<proof>

locale *M_cardinal_library* = *M_library* + *M_replacement* +

assumes
lam_replacement_inj_rel: *lam_replacement*(*M*, $\lambda x. \text{inj}^M(\text{fst}(x), \text{snd}(x))$)
and
cardinal_lib_assms1:
 $M(A) \implies M(b) \implies M(f) \implies$
 $\text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda x. \text{if } M(x)$
then *x* *else* 0, *b*, *f*, *i*))
and
cardinal_lib_assms2:
 $M(A') \implies M(G) \implies M(b) \implies M(f) \implies$
 $\text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda a. \text{if } M(a)$
then *G*'*a* *else* 0, *b*, *f*, *i*))
and
cardinal_lib_assms3:
 $M(A') \implies M(b) \implies M(f) \implies M(F) \implies$
 $\text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda a. \text{if } M(a)$
then *F*' $\{\{a\}\}$ *else* 0, *b*, *f*, *i*))
and
cardinal_rel_separation :
 $\text{separation}(M, \lambda \langle x, y \rangle. \text{cardinal_rel}(M, x) = y)$
and
separation_cardinal_rel_lt :
 $M(\gamma) \implies \text{separation}(M, \lambda Z. \text{cardinal_rel}(M, Z) < \gamma)$

begin

lemma *cdlt_assms*: $M(x) \implies M(Q) \implies \text{separation}(M, \lambda a. \forall s \in x. \langle s, a \rangle \in Q)$
<proof>

lemma *countable_rel_union_countable_rel*:
assumes $\bigwedge x. x \in C \implies \text{countable_rel}(M, x)$ *countable_rel*(*M*,*C*) *M*(*C*)
shows *countable_rel*(*M*, $\bigcup C$)
<proof>

end — *M_cardinal_library*

abbreviation

uncountable_rel :: $[i \Rightarrow o, i] \Rightarrow o$ **where**
uncountable_rel(*M*,*X*) $\equiv \neg \text{countable_rel}(M, X)$

context *M_library*

begin

lemma *uncountable_rel_iff_nat_lt_cardinal_rel*:
 $M(X) \implies \text{uncountable_rel}(M, X) \longleftrightarrow \omega < |X|^M$
 ⟨proof⟩

lemma *uncountable_rel_not_empty*: $\text{uncountable_rel}(M, X) \implies X \neq 0$
 ⟨proof⟩

lemma *uncountable_rel_imp_Infinite*: $\text{uncountable_rel}(M, X) \implies M(X) \implies \text{Infinite}(X)$
 ⟨proof⟩

lemma *uncountable_rel_not_subset_countable_rel*:
assumes *countable_rel*(M, X) *uncountable_rel*(M, Y) $M(X)$ $M(Y)$
shows $\neg (Y \subseteq X)$
 ⟨proof⟩

28.3 Results on Aleph_rels

lemma *nat_lt_Aleph_rel1*: $\omega < \aleph_1^M$
 ⟨proof⟩

lemma *zero_lt_Aleph_rel1*: $0 < \aleph_1^M$
 ⟨proof⟩

lemma *le_Aleph_rel1_nat*: $M(k) \implies \text{Card_rel}(M, k) \implies k < \aleph_1^M \implies k \leq \omega$
 ⟨proof⟩

lemma *lesspoll_rel_Aleph_rel_succ*:
assumes *Ord*(α)
and *types*: $M(\alpha)$ $M(d)$
shows $d \prec^M \aleph_{\text{succ}(\alpha)}^M \longleftrightarrow d \lesssim^M \aleph_\alpha^M$
 ⟨proof⟩

lemma *cardinal_rel_Aleph_rel [simp]*: $\text{Ord}(\alpha) \implies M(\alpha) \implies |\aleph_\alpha^M|^M = \aleph_\alpha^M$
 ⟨proof⟩

lemma *Aleph_rel_lespoll_rel_increasing*:
includes *Aleph_rel_intros*
assumes $M(b)$ $M(a)$
shows $a < b \implies \aleph_a^M \prec^M \aleph_b^M$
 ⟨proof⟩

lemma *uncountable_rel_iff_subset_eqpoll_rel_Aleph_rel1*:
includes *Ord_dests*
assumes $M(X)$
notes *Aleph_rel_zero [simp]* *Card_rel_nat [simp]* *Aleph_rel_succ [simp]*
shows $\text{uncountable_rel}(M, X) \longleftrightarrow (\exists S[M]. S \subseteq X \wedge S \approx^M \aleph_1^M)$
 ⟨proof⟩

lemma *UN_if_zero*: $M(K) \implies (\bigcup x \in K. \text{ if } M(x) \text{ then } G \text{ ‘ } x \text{ else } 0) = (\bigcup x \in K. G \text{ ‘ } x)$
 ⟨proof⟩

lemma *mem_F_bound1*:
 fixes $F \ G$
 defines $F \equiv \lambda _ x. \text{ if } M(x) \text{ then } G \text{ ‘ } x \text{ else } 0$
 shows $x \in F(A, c) \implies c \in (\text{range}(f) \cup \text{domain}(G))$
 ⟨proof⟩

end — *M_library*

context *M_cardinal_library*
begin

lemma *lt_Aleph_rel_imp_cardinal_rel_UN_le_nat*: $\text{function}(G) \implies \text{domain}(G) \leq^M \omega \implies$
 $\forall n \in \text{domain}(G). |G \text{ ‘ } n|^M < \aleph_1^M \implies M(G) \implies |\bigcup n \in \text{domain}(G). G \text{ ‘ } n|^M \leq \omega$
 ⟨proof⟩

lemma *Aleph_rel1_eq_cardinal_rel_vimage*: $f: \aleph_1^M \rightarrow^M \omega \implies \exists n \in \omega. |f \text{ ‘ } \{n\}|^M = \aleph_1^M$
 ⟨proof⟩

lemma *eqpoll_rel_Aleph_rel1_cardinal_rel_vimage*:
 assumes $Z \approx^M (\aleph_1^M) f \in Z \rightarrow^M \omega \ M(Z)$
 shows $\exists n \in \omega. |f \text{ ‘ } \{n\}|^M = \aleph_1^M$
 ⟨proof⟩

end — *M_cardinal_library*

28.4 Applications of transfinite recursive constructions

locale *M_cardinal_library_extra* = *M_cardinal_library* +
 assumes
 replacement_trans_apply_image:
 $M(f) \implies M(\beta) \implies \text{Ord}(\beta) \implies$
 $\text{strong_replacement}(M, \lambda x y. x \in \beta \wedge y = \langle x, \text{transrec}(x, \lambda a g. f \text{ ‘ } (g \text{ ‘ } a)) \rangle)$
begin

lemma *rec_constr_type_rel*:
 assumes $f: \text{Pow_rel}(M, G) \rightarrow^M G \ \text{Ord}(\alpha) \ M(G)$
 shows $M(\alpha) \implies \text{rec_constr}(f, \alpha) \in G$
 ⟨proof⟩

lemma *rec_constr_closed* :
 assumes $f: \text{Pow_rel}(M, G) \rightarrow^M G \ \text{Ord}(\alpha) \ M(G) \ M(\alpha)$
 shows $M(\text{rec_constr}(f, \alpha))$

$\langle proof \rangle$

lemma *lambda_rec_constr_closed* :
assumes $Ord(\gamma) \ M(\gamma) \ M(f) \ f:Pow_rel(M,G) \rightarrow^M G \ M(G)$
shows $M(\lambda \alpha \in \gamma . rec_constr(f,\alpha))$
 $\langle proof \rangle$

The next lemma is an application of recursive constructions. It works under the assumption that whenever the already constructed subsequence is small enough, another element can be added.

lemma *bounded_cardinal_rel_selection*:
includes *Ord_dests*
assumes
 $\bigwedge Z. |Z|^M < \gamma \implies Z \subseteq G \implies M(Z) \implies \exists a \in G. \forall s \in Z. \langle s, a \rangle \in Q \ b \in G$
 $Card_rel(M,\gamma)$
 $M(G) \ M(Q) \ M(\gamma)$
shows
 $\exists S[M]. S : \gamma \rightarrow^M G \wedge (\forall \alpha \in \gamma. \forall \beta \in \gamma. \alpha < \beta \longrightarrow \langle S'\alpha, S'\beta \rangle \in Q)$
 $\langle proof \rangle$

The following basic result can, in turn, be proved by a bounded-cardinal_rel selection.

lemma *Infinite_iff_lepoll_rel_nat*: $M(Z) \implies Infinite(Z) \longleftrightarrow \omega \lesssim^M Z$
 $\langle proof \rangle$

lemma *Infinite_InfCard_rel_cardinal_rel*: $Infinite(Z) \implies M(Z) \implies InfCard_rel(M,|Z|^M)$
 $\langle proof \rangle$

lemma (in *M_trans*) *mem_F_bound2*:
fixes $F \ A$
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } A - \{x\} \text{ else } 0$
shows $x \in F(A,c) \implies c \in (range(f) \cup range(A))$
 $\langle proof \rangle$

lemma *Finite_to_one_rel_surj_rel_imp_cardinal_rel_eq*:
assumes $F \in Finite_to_one_rel(M,Z,Y) \cap surj_rel(M,Z,Y) \ Infinite(Z) \ M(Z)$
 $M(Y)$
shows $|Y|^M = |Z|^M$
 $\langle proof \rangle$

lemma *cardinal_rel_map_Un*:
assumes $Infinite(X) \ Finite(b) \ M(X) \ M(b)$
shows $|\{a \cup b . a \in X\}|^M = |X|^M$
 $\langle proof \rangle$

end — *M_cardinal_library_extra*

context *M_library*
begin

28.5 Results on relative cardinal exponentiation

lemma *cexp_rel_eqpoll_rel_cong*:

assumes

$$A \approx^M A' \ B \approx^M B' \ M(A) \ M(A') \ M(B) \ M(B')$$

shows

$$A^{\uparrow B, M} = A'^{\uparrow B', M}$$

<proof>

lemma *cexp_rel_cexp_rel_cmult*:

assumes $M(\kappa) \ M(\nu 1) \ M(\nu 2)$

shows $(\kappa^{\uparrow \nu 1, M})^{\uparrow \nu 2, M} = \kappa^{\uparrow \nu 2} \otimes^M \nu 1, M$

<proof>

lemma *cardinal_rel_Pow_rel*: $M(X) \implies |Pow_rel(M, X)|^M = 2^{\uparrow X, M}$ — Perhaps it's better with $|X|$

<proof>

lemma *cantor_cexp_rel*:

assumes $Card_rel(M, \nu) \ M(\nu)$

shows $\nu < 2^{\uparrow \nu, M}$

<proof>

lemma *countable_iff_lespoll_rel_Aleph_rel_one*:

notes *iff_trans[trans]*

assumes $M(C)$

shows $countable^M(C) \longleftrightarrow C \prec^M \aleph_1^M$

<proof>

lemma *countable_iff_le_rel_Aleph_rel_one*:

notes *iff_trans[trans]*

assumes $M(C)$

shows $countable^M(C) \longleftrightarrow |C|^M \prec^M \aleph_1^M$

<proof>

end — *M_library*

lemma (**in** *M_cardinal_library*) *countable_fun_imp_countable_image*:

assumes $f: C \rightarrow^M B \ countable^M(C) \ \bigwedge c. \ c \in C \implies countable^M(f \cdot c)$

$M(C) \ M(B)$

shows $countable^M(\bigcup (f \cdot C))$

<proof>

end

29 The Delta System Lemma, Relativized

```

theory Delta_System_Relative
  imports
    Cardinal_Library_Relative
begin

   $\langle ML \rangle$ 

  locale M_delta = M_cardinal_library_extra +
    assumes
      countable_lepoll_assms:
         $M(G) \implies M(A) \implies M(b) \implies M(f) \implies \text{separation}(M, \lambda y. \exists x \in A. \\
          y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(\lambda x. \{xa \in G . x \in xa\}, \\
            b, f, i)))$ 
    begin

    lemma disjoint_separation:  $M(c) \implies \text{separation}(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \cap \\
      b = c)$ 
       $\langle proof \rangle$ 

    lemma (in M_trans) mem_F_bound6:
      fixes F G
      defines  $F \equiv \lambda x. \text{Collect}(G, (\in)(x))$ 
      shows  $x \in F(G, c) \implies c \in (\text{range}(f) \cup \bigcup G)$ 
       $\langle proof \rangle$ 

    lemma delta_system_Aleph_rel1:
      assumes  $\forall A \in F. \text{Finite}(A) \ F \approx^M \aleph_1^M M(F)$ 
      shows  $\exists D[M]. D \subseteq F \wedge \text{delta\_system}(D) \wedge D \approx^M \aleph_1^M$ 
       $\langle proof \rangle$ 

    lemma delta_system_uncountable_rel:
      assumes  $\forall A \in F. \text{Finite}(A) \ \text{uncountable\_rel}(M, F) \ M(F)$ 
      shows  $\exists D[M]. D \subseteq F \wedge \text{delta\_system}(D) \wedge D \approx^M \aleph_1^M$ 
       $\langle proof \rangle$ 

    end — M_delta

  end

```

30 Relative DC

```

theory Pointed_DC_Relative
  imports
    Cardinal_Library_Relative

begin

```

consts $dc_witness :: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

primrec

$wit0 : dc_witness(0, A, a, s, R) = a$

$witrec : dc_witness(succ(n), A, a, s, R) = s'\{x \in A. \langle dc_witness(n, A, a, s, R), x \rangle \in R\}$

lemmas $dc_witness_def = dc_witness_nat_def$

$\langle ML \rangle$

schematic_goal $sats_is_dc_witness_fm_auto$:

assumes $na < length(env)$ $e < length(env)$

shows

$na \in \omega \implies$

$A \in \omega \implies$

$a \in \omega \implies$

$s \in \omega \implies$

$R \in \omega \implies$

$e \in \omega \implies$

$env \in list(Aa) \implies$

$0 \in Aa \implies$

$is_dc_witness(\#\#Aa, nth(na, env), nth(A, env), nth(a, env), nth(s, env),$
 $nth(R, env), nth(e, env)) \longleftrightarrow$

$Aa, env \models ?fm(nat, A, a, s, R, e)$

$\langle proof \rangle$

$\langle ML \rangle$

$\langle proof \rangle$

definition $dcwit_body :: [i, i, i, i, i] \Rightarrow o$ **where**

$dcwit_body(A, a, g, R) \equiv \lambda p. snd(p) = dc_witness(fst(p), A, a, g, R)$

$\langle ML \rangle$

context $M_replacement$

begin

lemma $dc_witness_closed[intro, simp]$:

assumes $M(n)$ $M(A)$ $M(a)$ $M(s)$ $M(R)$ $n \in nat$

shows $M(dc_witness(n, A, a, s, R))$

$\langle proof \rangle$

lemma $dc_witness_rel_char$:

assumes $M(A)$

shows $dc_witness_rel(M, n, A, a, s, R) = dc_witness(n, A, a, s, R)$

$\langle proof \rangle$

lemma **(in** M_basic **)** $first_section_closed$:

assumes

$M(A)$ $M(a)$ $M(R)$

shows $M(\{x \in A . \langle a, x \rangle \in R\})$
 $\langle proof \rangle$

lemma *witness_into_A* [TC]:

assumes $a \in A$
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \ n \in nat$
 $M(A) \ M(a) \ M(s) \ M(R)$
shows $dc_witness(n, A, a, s, R) \in A$
 $\langle proof \rangle$

end — *M_replacement*

locale *M_DC* = *M_trancl* + *M_replacement* + *M_eclose* +

assumes

separation_is_dcwit_body:

$M(A) \Longrightarrow M(a) \Longrightarrow M(g) \Longrightarrow M(R) \Longrightarrow separation(M, is_dcwit_body(M, A,$
 $a, g, R))$

and

dcwit_replacement: $Ord(na) \Longrightarrow$

$M(na) \Longrightarrow$

$M(A) \Longrightarrow$

$M(a) \Longrightarrow$

$M(s) \Longrightarrow$

$M(R) \Longrightarrow$

transrec_replacement

$(M, \lambda n f ntc.$

is_nat_case

$(M, a,$

$\lambda m bmf m.$

$\exists fm[M]. \exists cp[M].$

is_apply(M, f, m, fm) $\wedge$

is_Collect($M, A, \lambda x. \exists fmx[M]. (M(x) \wedge fmx \in R) \wedge pair(M, fm,$
 $x, fmx), cp) \wedge$

is_apply($M, s, cp, bmf m$),

$n, ntc), na)$

begin

lemma *is_dc_witness_iff*:

assumes $Ord(na) \ M(na) \ M(A) \ M(a) \ M(s) \ M(R) \ M(res)$

shows $is_dc_witness(M, na, A, a, s, R, res) \longleftrightarrow res = dc_witness_rel(M, na,$
 $A, a, s, R)$

$\langle proof \rangle$

lemma *dcwit_body_abs*:

$fst(x) \in \omega \Longrightarrow M(A) \Longrightarrow M(a) \Longrightarrow M(g) \Longrightarrow M(R) \Longrightarrow M(x) \Longrightarrow$

$is_dcwit_body(M, A, a, g, R, x) \longleftrightarrow dcwit_body(A, a, g, R, x)$

$\langle proof \rangle$

lemma *Lambda_dc_witness_closed*:

assumes $g \in \text{Pow}^M(A) - \{0\} \rightarrow A$ $a \in A$ $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$
 $M(g) \ M(A) \ M(a) \ M(R)$
shows $M(\lambda n \in \text{nat}. \text{dc_witness}(n, A, a, g, R))$
 $\langle \text{proof} \rangle$

lemma *witness_related*:

assumes $a \in A$
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$ $n \in \text{nat}$
 $M(a) \ M(A) \ M(s) \ M(R) \ M(n)$
shows $\langle \text{dc_witness}(n, A, a, s, R), \text{dc_witness}(\text{succ}(n), A, a, s, R) \rangle \in R$
 $\langle \text{proof} \rangle$

lemma *witness_funtype*:

assumes $a \in A$
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$
 $M(A) \ M(a) \ M(s) \ M(R)$
shows $(\lambda n \in \text{nat}. \text{dc_witness}(n, A, a, s, R)) \in \text{nat} \rightarrow A$ (**is** $?f \in _ \rightarrow _$)
 $\langle \text{proof} \rangle$

lemma *witness_to_fun*:

assumes $a \in A$
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$
 $M(A) \ M(a) \ M(s) \ M(R)$
shows $\exists f \in \text{nat} \rightarrow A. \forall n \in \text{nat}. f'n = \text{dc_witness}(n, A, a, s, R)$
 $\langle \text{proof} \rangle$

end — *M_DC*

locale *M_library_DC* = *M_library* + *M_DC*

begin

lemma *AC_M_func*:

assumes $\bigwedge x. x \in A \Longrightarrow (\exists y. y \in x) \ M(A)$
shows $\exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f'x \in x$
 $\langle \text{proof} \rangle$

lemma *non_empty_family*: $[\mid 0 \notin A; \ x \in A \mid] \Longrightarrow \exists y. y \in x$
 $\langle \text{proof} \rangle$

lemma *AC_M_func0*: $0 \notin A \Longrightarrow M(A) \Longrightarrow \exists f \in A \rightarrow^M \bigcup(A). \forall x \in A. f'x \in x$
 $\langle \text{proof} \rangle$

lemma *AC_M_func_Pow_rel*:

assumes $M(C)$
shows $\exists f \in (\text{Pow}^M(C) - \{0\}) \rightarrow^M C. \forall x \in \text{Pow}^M(C) - \{0\}. f'x \in x$

$\langle proof \rangle$

theorem *pointed_DC*:

assumes $\forall x \in A. \exists y \in A. \langle x, y \rangle \in R \ M(A) \ M(R)$

shows $\forall a \in A. \exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R)$

$\langle proof \rangle$

lemma *aux_DC_on_AxNat2* : $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R \implies$
 $\forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{ \langle a, b \rangle \in R. snd(b) = succ(snd(a)) \}$

$\langle proof \rangle$

lemma *infer_snd* : $c \in A \times B \implies snd(c) = k \implies c = \langle fst(c), k \rangle$

$\langle proof \rangle$

corollary *DC_on_A_x_nat* :

assumes $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R) \ a \in A \ M(A) \ M(R)$

shows $\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle \langle f'n, n \rangle, \langle f'succ(n), succ(n) \rangle \rangle \in R)$ (**is**
 $\exists x \in _. ?P(x)$)

$\langle proof \rangle$

lemma *aux_sequence_DC* :

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n$

$R = \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S'm \}$

shows $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R$

$\langle proof \rangle$

lemma *aux_sequence_DC2* : $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$

$\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$
 $\langle x, y \rangle \in S'm \}$

$\langle proof \rangle$

lemma *sequence_DC*:

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \ M(A) \ M(S)$

shows $\forall a \in A. (\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in S'succ(n)))$

$\langle proof \rangle$

end — *M_library_DC*

end

31 Cohen forcing notions

theory *Partial_Functions_Relative*

imports

Cardinal_Library_Relative

begin

In this theory we introduce bounded partial functions and its relative version; for historical reasons the relative version is based on a proper definition

of partial functions.

We note that finite partial functions are easier and are used to prove some lemmas about finite sets in the theory *Transitive_Models.ZF_Library_Relative*.

definition

$F_n :: [i, i, i] \Rightarrow i$ **where**
 $F_n(\kappa, I, J) \equiv \bigcup \{y . d \in Pow(I), y = (d \rightarrow J) \wedge d \prec \kappa\}$

lemma *domain_function_lepoll* :

assumes *function*(*r*)
shows *domain*(*r*) $\lesssim$ *r*
 $\langle proof \rangle$

lemma *function_lepoll*:

assumes $r : d \rightarrow J$
shows $r \lesssim d$
 $\langle proof \rangle$

lemma *function_eqpoll* :

assumes $r : d \rightarrow J$
shows $r \approx d$
 $\langle proof \rangle$

lemma *F_n_char* : $F_n(\kappa, I, J) = \{f \in Pow(I \times J) . function(f) \wedge f \prec \kappa\}$ (**is** $?L=?R$)
 $\langle proof \rangle$

lemma *zero_in_Fn*:

assumes $0 < \kappa$
shows $0 \in F_n(\kappa, I, J)$
 $\langle proof \rangle$

lemma *F_n_nat_eq_FiniteFun*: $F_n(nat, I, J) = I -||> J$
 $\langle proof \rangle$

lemma *F_n_nat_subset_Pow*: $F_n(\kappa, I, J) \subseteq Pow(I \times J)$
 $\langle proof \rangle$

lemma *F_nI*:

assumes $p : d \rightarrow J$ $d \subseteq I$ $d \prec \kappa$
shows $p \in F_n(\kappa, I, J)$
 $\langle proof \rangle$

lemma *F_nD[dest]*:

assumes $p \in F_n(\kappa, I, J)$
shows $\exists d. p : d \rightarrow J \wedge d \subseteq I \wedge d \prec \kappa$
 $\langle proof \rangle$

lemma *F_n_is_function*: $p \in F_n(\kappa, I, J) \implies function(p)$
 $\langle proof \rangle$

lemma *Fn_csucc*:
assumes *Ord*(κ)
shows $Fn(csucc(\kappa), I, J) = \bigcup \{y . d \in Pow(I), y=(d \rightarrow J) \wedge d \lesssim \kappa\}$
 $\langle proof \rangle$

definition
 $FnleR :: i \Rightarrow i \Rightarrow o$ (**infixl** $\langle \supseteq \rangle$ 50) **where**
 $f \supseteq g \equiv g \subseteq f$

lemma *FnleR_iff_subset* [*iff*]: $f \supseteq g \longleftrightarrow g \subseteq f$
 $\langle proof \rangle$

definition
 $Fnlerel :: i \Rightarrow i$ **where**
 $Fnlerel(A) \equiv Rrel(\lambda x y. x \supseteq y, A)$

definition
 $Fnle :: [i, i, i] \Rightarrow i$ **where**
 $Fnle(\kappa, I, J) \equiv Fnlerel(Fn(\kappa, I, J))$

lemma *FnleI[intro]*:
assumes $p \in Fn(\kappa, I, J)$ $q \in Fn(\kappa, I, J)$ $p \supseteq q$
shows $\langle p, q \rangle \in Fnle(\kappa, I, J)$
 $\langle proof \rangle$

lemma *FnleD[dest]*:
assumes $\langle p, q \rangle \in Fnle(\kappa, I, J)$
shows $p \in Fn(\kappa, I, J)$ $q \in Fn(\kappa, I, J)$ $p \supseteq q$
 $\langle proof \rangle$

definition *PFun_Space_Rel* :: $[i, i \Rightarrow o, i] \Rightarrow i$ ($\langle _ \multimap _ \rangle$)
where $A \multimap^M B \equiv \{f \in Pow(A \times B) . M(f) \wedge function(f)\}$

lemma (**in** *M_library*) *PFun_Space_subset_Powrel* :
assumes $M(A)$ $M(B)$
shows $A \multimap^M B = \{f \in Pow^M(A \times B) . function(f)\}$
 $\langle proof \rangle$

lemma (**in** *M_library*) *PFun_Space_closed* :
assumes $M(A)$ $M(B)$
shows $M(A \multimap^M B)$
 $\langle proof \rangle$

lemma *pfun_is_function* :
 $f \in A \multimap^M B \implies function(f)$
 $\langle proof \rangle$

lemma *pfun_range* :

$f \in A \multimap^M B \implies \text{range}(f) \subseteq B$
 $\langle \text{proof} \rangle$

lemma *pfun_domain* :
 $f \in A \multimap^M B \implies \text{domain}(f) \subseteq A$
 $\langle \text{proof} \rangle$

lemma *Un_filter_fun_space_closed*:
assumes $G \subseteq I \rightarrow J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J) \text{ function}(\bigcup G)$
 $\langle \text{proof} \rangle$

lemma *Un_filter_is_fun* :
assumes $G \subseteq I \rightarrow J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g \ G \neq 0$
shows $\bigcup G \in I \rightarrow J$
 $\langle \text{proof} \rangle$

context *M_Pi*
begin

lemma *mem_function_space_relD*:
assumes $f \in \text{function_space_rel}(M, A, y) \ M(A) \ M(y)$
shows $f \in A \rightarrow y \text{ and } M(f)$
 $\langle \text{proof} \rangle$

lemma *pfunI* :
assumes $C \subseteq A \ f \in C \rightarrow^M B \ M(C) \ M(B)$
shows $f \in A \multimap^M B$
 $\langle \text{proof} \rangle$

lemma *zero_in_PFun_rel*:
assumes $M(I) \ M(J)$
shows $0 \in I \multimap^M J$
 $\langle \text{proof} \rangle$

lemma *pfun_subsetI* :
assumes $f \in A \multimap^M B \ g \subseteq f \ M(g)$
shows $g \in A \multimap^M B$
 $\langle \text{proof} \rangle$

lemma *pfun_Un_filter_closed*:
assumes $G \subseteq I \multimap^M J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in I \multimap^M J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J) \text{ function}(\bigcup G)$
 $\langle \text{proof} \rangle$

lemma *pfun_Un_filter_closed''*:
assumes $G \subseteq I \multimap^M J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in \text{Pow}(I \times J) \text{ function}(\bigcup G)$
 $\langle \text{proof} \rangle$

lemma *pfun_Un_filter_closed'*:
assumes $G \subseteq I \multimap^M J \wedge f \ g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g \ M(G)$
shows $\bigcup G \in I \multimap^M J$
 $\langle proof \rangle$

lemma *pfunD* :
assumes $f \in A \multimap^M B$
shows $\exists C[M]. C \subseteq A \wedge f \in C \multimap B$
 $\langle proof \rangle$

lemma *pfunD_closed* :
assumes $f \in A \multimap^M B$
shows $M(f)$
 $\langle proof \rangle$

lemma *pfun_singletonI* :
assumes $x \in A \ b \in B \ M(A) \ M(B)$
shows $\{\langle x, b \rangle\} \in A \multimap^M B$
 $\langle proof \rangle$

lemma *pfun_unionI* :
assumes $f \in A \multimap^M B \ g \in A \multimap^M B \ domain(f) \cap domain(g) = 0$
shows $f \cup g \in A \multimap^M B$
 $\langle proof \rangle$

lemma (*in M_library*) *pfun_restrict_eq_imp_compat*:
assumes $f \in I \multimap^M J \ g \in I \multimap^M J \ M(J)$
 $restrict(f, domain(f) \cap domain(g)) = restrict(g, domain(f) \cap domain(g))$
shows $f \cup g \in I \multimap^M J$
 $\langle proof \rangle$

lemma *FiniteFun_pfunI* :
assumes $f \in A \multimap^M B \ M(A) \ M(B)$
shows $f \in A \multimap^M B$
 $\langle proof \rangle$

lemma *PFun_FiniteFunI* :
assumes $f \in A \multimap^M B \ Finite(f)$
shows $f \in A \multimap^M B$
 $\langle proof \rangle$

end — *M_Pi*

definition

$Fn_rel :: [i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle Fn_rel'(_, _, _) \rangle)$ **where**
 $Fn_rel(M, \kappa, I, J) \equiv \{f \in I \multimap^M J . f \prec^M \kappa\}$
— Eventually we can define *Fn_rel* as the relativization of *Fn*

context $M_library$
begin

lemma $Fn_rel_subset_PFun_rel : Fn^M(\kappa, I, J) \subseteq I \multimap^M J$
 $\langle proof \rangle$

lemma $Fn_relI[intro]$:
assumes $f : d \rightarrow J \ d \subseteq I \ f \prec^M \kappa \ M(d) \ M(J) \ M(f)$
shows $f \in Fn_rel(M, \kappa, I, J)$
 $\langle proof \rangle$

lemma $Fn_relD[dest]$:
assumes $p \in Fn_rel(M, \kappa, I, J)$
shows $\exists C[M]. \ C \subseteq I \wedge p : C \rightarrow J \wedge p \prec^M \kappa$
 $\langle proof \rangle$

lemma $Fn_rel_is_function$:
assumes $p \in Fn_rel(M, \kappa, I, J)$
shows $function(p) \ M(p) \ p \prec^M \kappa \ p \in I \multimap^M J$
 $\langle proof \rangle$

lemma Fn_rel_mono :
assumes $p \in Fn_rel(M, \kappa, I, J) \ \kappa \prec^M \kappa' \ M(\kappa) \ M(\kappa')$
shows $p \in Fn_rel(M, \kappa', I, J)$
 $\langle proof \rangle$

lemma Fn_rel_mono' :
assumes $p \in Fn_rel(M, \kappa, I, J) \ \kappa \lesssim^M \kappa' \ M(\kappa) \ M(\kappa')$
shows $p \in Fn_rel(M, \kappa', I, J)$
 $\langle proof \rangle$

lemma Fn_csucc :
assumes $Ord(\kappa) \ M(\kappa)$
shows $Fn_rel(M, (\kappa^+)^M, I, J) = \{p \in I \multimap^M J \ . \ p \lesssim^M \kappa\} \quad (\text{is } ?L=?R)$
 $\langle proof \rangle$

lemma $Finite_imp_lesspoll_nat$:
assumes $Finite(A)$
shows $A \prec nat$
 $\langle proof \rangle$

lemma $FinD_Finite$:
assumes $a \in Fin(A)$
shows $Finite(a)$
 $\langle proof \rangle$

lemma $Fn_rel_nat_eq_FiniteFun$:
assumes $M(I) \ M(J)$
shows $I \dashv||> J = Fn_rel(M, \omega, I, J)$

$\langle proof \rangle$

lemma *Fn_nat_abs*:

assumes $M(I) \ M(J)$

shows $Fn(nat, I, J) = Fn_rel(M, \omega, I, J)$

$\langle proof \rangle$

lemma *Fn_rel_singletonI*:

assumes $x \in I \ j \in J \ 1 \prec^M \kappa \ M(\kappa) \ M(I) \ M(J)$

shows $\{\langle x, j \rangle\} \in Fn^M(\kappa, I, J)$

$\langle proof \rangle$

end — *M_library*

definition

Fnle_rel :: $[i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle Fnle_('(_, _, _)) \rangle)$ **where**

$Fnle_rel(M, \kappa, I, J) \equiv Fnlerel(Fn^M(\kappa, I, J))$

abbreviation

Fn_r_set :: $[i, i, i, i] \Rightarrow i \ (\langle Fn_('(_, _, _)) \rangle)$ **where**

$Fn_r_set(M) \equiv Fn_rel(\#\#M)$

abbreviation

Fnle_r_set :: $[i, i, i, i] \Rightarrow i \ (\langle Fnle_('(_, _, _)) \rangle)$ **where**

$Fnle_r_set(M) \equiv Fnle_rel(\#\#M)$

context *M_library*

begin

lemma *Fnle_relI[intro]*:

assumes $p \in Fn_rel(M, \kappa, I, J) \ q \in Fn_rel(M, \kappa, I, J) \ p \supseteq q$

shows $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$

$\langle proof \rangle$

lemma *Fnle_relD[dest]*:

assumes $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$

shows $p \in Fn_rel(M, \kappa, I, J) \ q \in Fn_rel(M, \kappa, I, J) \ p \supseteq q$

$\langle proof \rangle$

lemma *Fn_rel_closed[intro, simp]*:

assumes $M(\kappa) \ M(I) \ M(J)$

shows $M(Fn^M(\kappa, I, J))$

$\langle proof \rangle$

lemma *Fn_rel_subset_Pow*:

assumes $M(\kappa) \ M(I) \ M(J)$

shows $Fn^M(\kappa, I, J) \subseteq Pow(I \times J)$

$\langle proof \rangle$


```

lemma Fnle_rel_closed[intro,simp]:
  assumes  $M(\kappa) \ M(I) \ M(J)$ 
  shows  $M(\text{Fnle}^M(\kappa, I, J))$ 
   $\langle \text{proof} \rangle$ 

lemma zero_in_Fn_rel:
  assumes  $0 < \kappa \ M(\kappa) \ M(I) \ M(J)$ 
  shows  $0 \in \text{Fn}^M(\kappa, I, J)$ 
   $\langle \text{proof} \rangle$ 

lemma zero_top_Fn_rel:
  assumes  $p \in \text{Fn}^M(\kappa, I, J) \ 0 < \kappa \ M(\kappa) \ M(I) \ M(J)$ 
  shows  $\langle p, 0 \rangle \in \text{Fnle}^M(\kappa, I, J)$ 
   $\langle \text{proof} \rangle$ 

lemma preorder_on_Fnle_rel:
  assumes  $M(\kappa) \ M(I) \ M(J)$ 
  shows  $\text{preorder\_on}(\text{Fn}^M(\kappa, I, J), \text{Fnle}^M(\kappa, I, J))$ 
   $\langle \text{proof} \rangle$ 

end — M_library

context M_cardinal_library
begin

lemma lesspoll_nat_imp_lesspoll_rel:
  assumes  $A \prec \omega \ M(A)$ 
  shows  $A \prec^M \omega$ 
   $\langle \text{proof} \rangle$ 

lemma Finite_imp_lesspoll_rel_nat:
  assumes  $\text{Finite}(A) \ M(A)$ 
  shows  $A \prec^M \omega$ 
   $\langle \text{proof} \rangle$ 

end — M_cardinal_library

context M_cardinal_library_extra
begin

lemma InfCard_rel_lesspoll_rel_Un:
  includes Ord_dests
  assumes  $\text{InfCard\_rel}(M, \kappa) \ A \prec^M \kappa \ B \prec^M \kappa$ 
  and types:  $M(\kappa) \ M(A) \ M(B)$ 
  shows  $A \cup B \prec^M \kappa$ 
   $\langle \text{proof} \rangle$ 

lemma Fn_rel_unionI:

```

assumes $p \in Fn^M(\kappa, I, J)$ $q \in Fn^M(\kappa, I, J)$ $InfCard^M(\kappa)$
 $M(\kappa)$ $M(I)$ $M(J)$ $domain(p) \cap domain(q) = \emptyset$
shows $p \cup q \in Fn^M(\kappa, I, J)$
 $\langle proof \rangle$

lemma *restrict_eq_imp_compat_rel*:
assumes $p \in Fn^M(\kappa, I, J)$ $q \in Fn^M(\kappa, I, J)$ $InfCard^M(\kappa)$ $M(J)$ $M(\kappa)$
 $restrict(p, domain(p) \cap domain(q)) = restrict(q, domain(p) \cap domain(q))$
shows $p \cup q \in Fn^M(\kappa, I, J)$
 $\langle proof \rangle$

lemma *InfCard_rel_imp_n_lesspoll_rel* :
assumes $InfCard^M(\kappa)$ $M(\kappa)$ $n \in \omega$
shows $n \prec^M \kappa$
 $\langle proof \rangle$

lemma *cons_in_Fn_rel*:
assumes $x \notin domain(p)$ $p \in Fn^M(\kappa, I, J)$ $x \in I$ $j \in J$ $InfCard^M(\kappa)$
 $M(\kappa)$ $M(I)$ $M(J)$
shows $cons(\langle x, j \rangle, p) \in Fn^M(\kappa, I, J)$
 $\langle proof \rangle$

lemma *dense_dom_dense*:
assumes $x \in I$ $InfCard^M(\kappa)$ $M(I)$ $M(J)$ $z \in J$ $M(\kappa)$ $p \in Fn^M(\kappa, I, J)$
shows $\exists d \in \{ p \in Fn^M(\kappa, I, J) . x \in domain(p) \} . \langle d, p \rangle \in Fnle^M(\kappa, I, J)$
 $\langle proof \rangle$

lemma (in *M_cardinal_library*) *domain_lepoll_rel* :
assumes $function(r)$ $M(r)$
shows $domain(r) \lesssim^M r$
 $\langle proof \rangle$

lemma *dense_surj_dense*:
assumes $x \in J$ $InfCard^M(\kappa)$ $M(I)$ $M(J)$ $M(\kappa)$ $p \in Fn^M(\kappa, I, J)$ $\kappa \lesssim^M I$
shows $\exists d \in \{ p \in Fn^M(\kappa, I, J) . x \in range(p) \} . \langle d, p \rangle \in Fnle^M(\kappa, I, J)$
 $\langle proof \rangle$

end — *M_cardinal_library_extra*

end

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