

Formalization of 3-independence of simple tabulation hashing

Wei De Leong and Yong Kiam Tan and Seng Joe Watt

July 14, 2026

Abstract

Simple tabulation hashing [2] is a computationally-efficient hashing algorithm to get values that behave independently and are uniformly distributed for any 3 distinct keys. This entry formalizes the 3-independence and non-4-independence of simple tabulation hashing, based on the written proofs provided by [1, Solutions 8][4]

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```

theory Xor
imports
  Main
begin

```

This theory abstractly defines

- the binary operator *xor* (aliases (*XOR*) ($\oplus$))
- the n-ary version *xor-fold*
- and the Big version *xor-sum* (alias $\bigoplus_{i \in J}. f\ i$).

An implementation of xor should abide by the following laws:

- identity element
- commutative
- associative
- left/right neutrality
- self-inverse

which constitutes an abelian group.

Instantiations of `abel_group_xor` have been provided for `bool`, `nat` and `int`.

Other theories relating to xor:

- *abstract-boolean-algebra-sym-diff*
- *xor*
- (AFP) `Approximate_Model_Counting.RandomXOR` [3]

1 Preliminaries

1.1 The XOR operator

lemma (in *monoid-list*) *list-conv-take-drop*:

shows $F\ xs = F\ (take\ i\ xs) * F\ (drop\ i\ xs)$

by (*metis append append-take-drop-id*)

lemma (in *monoid-list*) *list-conv-take-nth-drop*:

assumes $i < length\ xs$

shows $F\ xs = F\ (take\ i\ xs) * xs\ !\ i * F\ (drop\ (Suc\ i)\ xs)$

by (*metis Cons-nth-drop-Suc append append-take-drop-id assms assoc local.Cons*)

```

lemma (in comm-monoid-list) absorb-right:
  assumes  $i < \text{length } xs$ 
  shows  $F\ xs * v = F\ (xs[i := xs ! i * v])$ 
  by (smt (verit, del-insts) append assms assoc commute list-conv-take-nth-drop
local.Cons
  upd-conv-take-nth-drop)

```

```

lemma (in semiring-bit-operations) eq-iff:
  shows semiring-bit-operations-class.xor  $a\ b = 0 \longleftrightarrow a = b$ 
  by (metis local.xor.assoc local.xor.left-neutral local.xor-self-eq)

```

1.1.1 Definition of XOR

```

class xor =
  fixes xor ::  $\langle 'a \Rightarrow 'a \Rightarrow 'a \rangle$  (infixr  $\langle XOR \rangle$  59) — Definition of 2-ary xor

```

```

class abel-group-xor = zero + xor +
  assumes xor-commute:  $a\ XOR\ b = b\ XOR\ a$ 
  assumes xor-assoc:  $(a\ XOR\ b)\ XOR\ c = a\ XOR\ b\ XOR\ c$ 
  assumes xor-right-neutral:  $a\ XOR\ 0 = a$ 
  assumes eq-iff [iff]:  $a\ XOR\ b = 0 \longleftrightarrow a = b$ 
begin

```

```

lemma self-inv [simp]:
  shows  $a\ XOR\ a = 0$ 
  by simp

```

The above properties show that XOR forms an abelian group

```

sublocale group xor 0 id
  using xor-commute xor-assoc by unfold-locales (simp-all add: xor-right-neutral)

```

```

sublocale abel-semigroup xor by unfold-locales (simp add: xor-commute)

```

```

sublocale comm-monoid xor 0 by unfold-locales simp

```

```

sublocale xor-sum: comm-monoid-set xor 0
  defines xor-sum = xor-sum.F ..

```

```

abbreviation Xor-Sum  $\equiv$  xor-sum ( $\lambda x. x$ )

```

```

sublocale xor-list: monoid-list xor 0
  defines xor-fold = xor-list.F .. — Definition of n-ary xor

```

```

sublocale xor-comm-list: comm-monoid-list xor 0
  rewrites monoid-list.F xor 0 = xor-fold
proof —
  show comm-monoid-list xor 0 ..
  then interpret comm-monoid-list xor 0 .
  from xor-fold-def show monoid-list.F xor 0 = xor-fold by simp

```

qed

sublocale *xor-list*: *comm-monoid-list-set xor 0*

rewrites *monoid-list.F xor 0 = xor-fold* **and** *comm-monoid-set.F xor 0 = xor-sum*

proof –

show *comm-monoid-list-set xor 0 ..*

then interpret *xor-sum: comm-monoid-list-set xor 0 .*

from *xor-fold-def* **show** *monoid-list.F xor 0 = xor-fold* **by** *simp*

from *xor-sum-def* **show** *comm-monoid-set.F xor 0 = xor-sum* **by** (*auto intro: sym*)

qed

lemma *xor-cong*:

assumes *a XOR b = 0*

shows *a = b*

using *assms eq-iff* **by** *blast*

lemma *inj-on-UNIV*:

shows *inj-on xor UNIV*

by (*rule inj-onI*) (*metis right-neutral*)

lemma *map-indices-conv-list-update-conv*:

shows *map (λj. if j = i then g j else xs ! j) [0..*length xs*] = xs[i := g i]*

by (*fastforce intro: nth-equalityI*)

lemma *fold-absorb*:

assumes *i < length xs*

shows *xor-fold xs XOR v =*

*xor-fold (map (λj. if j = i then xs ! j XOR v else xs ! j) [0..*length xs*])*

unfolding *map-indices-conv-list-update-conv*

using *xor-comm-list.absorb-right[OF assms]* .

end

hide-fact *xor-commute xor-assoc xor-right-neutral*

1.1.2 Standard Instantiations

instantiation *bool* :: *abel-group-xor* **begin**

definition *zero-bool* ≡ *False*

definition *xor-bool* :: *bool* ⇒ *bool* ⇒ *bool* **where** *xor-bool a b* ≡ (*a* ≠ *b*)

instance **by** *standard* (*auto simp add: xor-bool-def zero-bool-def*)

end

instantiation *nat* **and** *int* :: *abel-group-xor* **begin**

definition *xor-nat* :: *nat* ⇒ *nat* ⇒ *nat* **where** *xor-nat* ≡ *semiring-bit-operations-class.xor*

definition *xor-int* :: *int* ⇒ *int* ⇒ *int* **where** *xor-int* ≡ *semiring-bit-operations-class.xor*

instance **by** *standard* (*simp-all add: xor-nat-def xor-int-def ac-simps semiring-bit-operations-class.eq-iff*)

end

1.1.3 Syntactic sugar

open-bundle xor-syntax begin

notation xor (infixr $\langle \oplus \rangle$ 59)

notation Xor-Sum ($\langle \oplus \rangle$)

Now: lots of fancy syntax. First, *xor-sum* $(\lambda x. e) A$ is written $\bigoplus_{x \in A}. e$.

syntax (ASCII)

-xor-sum :: pptrn $\Rightarrow$ 'a set $\Rightarrow$ 'b $\Rightarrow$ 'b::abel-group-xor ($\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder} \text{ XORSUM} \rangle \rangle \text{XORSUM} \text{ (-/:-). / -} \rangle [0, 51, 10] 10)$

syntax

-xor-sum :: pptrn $\Rightarrow$ 'a set $\Rightarrow$ 'b $\Rightarrow$ 'b::abel-group-xor ($\langle \langle \text{indent}=2 \text{ notation}=\langle \text{binder} \text{ } \oplus \rangle \rangle \oplus \text{ (-/\in-). / -} \rangle [0, 51, 10] 10)$

syntax-consts

-xor-sum $\equiv$ xor-sum

translations — Beware of argument permutation!

$\bigoplus_{i \in A}. b \equiv \text{CONST } \text{xor-sum } (\lambda i. b) A$

end

unbundle no xor-syntax

end

theory Dependent-Product

imports HOL-Probability.Probability

begin

The following lemma was reproduced from [3, Lemma *measure_pmf_prob_dependent_product_bound_eq*] The AFP imports `Monad_Normalisation.Monad_Normalisation` which alters some Isabelle syntax, which we want to avoid by copy pasting the lemmas here

lemma measure-pmf-prob-dependent-product-bound-eq:

assumes countable A $\wedge$ i. countable (B i)

assumes $\bigwedge a. a \in A \implies \text{measure-pmf.prob } N (B a) = r$

shows $\text{measure-pmf.prob } (\text{pair-pmf } M N) (\text{Sigma } A B) = \text{measure-pmf.prob } M A * r$

(is ?L = ?R)

proof –

have ?L =

$(\sum_a (a, b) \in \text{Sigma } A B. \text{pmf } M a * \text{pmf } N b)$

by (auto intro!: infsetsum-cong simp add: measure-pmf-conv-infsetsum pmf-pair)

also have $\dots = (\sum_a a \in A. \sum_b b \in B a. \text{pmf } M a * \text{pmf } N b)$

apply (subst infsetsum-Sigma)

using assms abs-summable-on-cong[of - pmf (pair-pmf M N) $\lambda uub. \text{pmf } M (fst uub) * \text{pmf } N (snd uub)$] pmf-pair[of M N]

```

    by (fastforce simp: case-prod-beta)+

  also have ... = (∑a∈A. pmf M a * (measure-pmf.prob N (B a)))
    by (simp add: infsetsum-cmult-right measure-pmf-conv-infsetsum pmf-abs-summable)

  also have ... = (∑a∈A. pmf M a * r)
    using assms(3) by fastforce

  also have ... = ?R
    by (simp add: infsetsum-cmult-left pmf-abs-summable measure-pmf-conv-infsetsum)

  finally show ?thesis .
qed

The following lemma was reproduced from [3, Lemma measure_pmf_prob_dependent_product_bound_eq] The AFP imports Monad_Normalisation.Monad_Normalisation which alters some Isabelle syntax, which we want to avoid by copy pasting the lemmas here

lemma measure-pmf-prob-dependent-product-bound-eq':
  — N is the pmf we want to fix depending on values from M
  assumes  $\bigwedge a. a \in A \cap \text{set-pmf } M \implies \text{measure-pmf.prob } N (B a) = r$ 
  shows  $\text{measure-pmf.prob } (\text{pair-pmf } M N) (\text{Sigma } A B) = \text{measure-pmf.prob } M A * r$ 
  (is ?L = ?R)
proof —
  have  $\text{Sigma } A B \cap (\text{set-pmf } M \times \text{set-pmf } N) = \text{Sigma } (A \cap \text{set-pmf } M) (\lambda i. B i \cap \text{set-pmf } N)$ 
    by auto

  then have ?L =
     $\text{measure-pmf.prob } (\text{pair-pmf } M N) (\text{Sigma } (A \cap \text{set-pmf } M) (\lambda i. B i \cap \text{set-pmf } N))$ 
    by (metis measure-Int-set-pmf set-pair-pmf)

  also have ... =  $\text{measure-pmf.prob } M (A \cap \text{set-pmf } M) * r$ 
    by (fastforce intro: measure-pmf-prob-dependent-product-bound-eq simp: assms measure-Int-set-pmf)

  also have ... = ?R by (simp add: measure-Int-set-pmf)

  finally show ?thesis .
qed

end
theory Xor-Inst
imports
  Xor
  Fixed-Length-Vector.Fixed-Length-Vector
begin

```

unbundle *Fixed-Length-Vector.vec-syntax*

instantiation *vec* :: (*abel-group-xor*, *index1*) *abel-group-xor* **begin**

$$\begin{aligned} \textbf{definition } xor\text{-}vec &:: ('a, 'b) \textit{vec} \Rightarrow \\ &('a, 'b) \textit{vec} \Rightarrow \\ &('a, 'b) \textit{vec} \textbf{ where} \\ xor\text{-}vec \ a \ b &\equiv map\text{-}vec \ (\lambda(a',b'). \ a' \ XOR \ b') \ (zip\text{-}vec \ a \ b) \end{aligned}$$

definition $zero\text{-}vec \equiv replicate\text{-}vec\ 0 :: ('a, 'b)\ vec$

```

fix  $a\ b\ c :: ('a, 'b)\ \text{vec}$ 
show  $\text{eq-iff} : a\ \text{XOR}\ b = 0 \iff a = b$  unfolding  $\text{xor-vec-def}\ \text{zero-vec-def}$ 
  by ( $\text{metis}\ (\text{no-types},\ \text{opaque-lifting})\ \text{map-nth-vec}\ \text{replicate-nth-vec}\ \text{split-beta}$ 
 $\text{vec-cong}$ 
 $\text{abel-group-xor-class.eq-iff}\ \text{zip-vec-fst}\ \text{zip-vec-snd}$ )
qed ( $\text{simp-all}\ \text{add} : \text{xor-vec-def}\ \text{zero-vec-def}\ \text{ac-simps}\ \text{vec-cong}$ )
end

```

unbundle *no Fixed-Length-Vector.vec-syntax*theory *Vec-Extras*

HOL-Analysis.Finite-Cartesian-Product
Fixed-Length-Vector.Fixed-Length-Vector
begin

unbundle *Fixed-Length-Vector.vec-syntax*

- *vec-of-list-inject*: $\llbracket x \in \{xs. \text{length } xs = \text{CARD}('b')\}; y \in \{xs. \text{length } xs = \text{CARD}('b')\} \rrbracket \implies (\text{vec-of-list } x = \text{vec-of-list } y) = (x = y)$

- *vec-of-list-inverse*: $y \in \{xs. \text{length } xs = \text{CARD}('b)\} \implies \text{list-of-vec } (\text{vec-of-list } y) = y$
- *nth-vec.rep-eq*: $(\$) x = (!) (\text{list-of-vec } x) \circ \text{from-index}$

Tip: When using *vec-of-list* to create a vector v from xs , it is essential to separately prove that $xs \in \{xs. \text{length } xs = \text{CARD}('b)\}$ for above lemmas to apply

lift-definition *vec-of-fcp* :: $('a, 'b :: \{\text{finite}, \text{index1}\}) \text{ Finite-Cartesian-Product.vec} \Rightarrow$

$('a, 'b) \text{ Fixed-Length-Vector.vec}$ **is**
 $\langle \lambda x. \text{map } (\lambda i. \text{Finite-Cartesian-Product.vec-nth } x \ i :: 'a) (\text{indexes} :: 'b \text{ list}) \rangle$ **by**
simp

definition *fcp-of-vec* :: $('a, 'b :: \{\text{finite}, \text{index1}\}) \text{ Fixed-Length-Vector.vec} \Rightarrow$
 $('a, 'b) \text{ Finite-Cartesian-Product.vec}$ **where**

$\langle \text{fcp-of-vec} \equiv \lambda x. \text{Finite-Cartesian-Product.vec-lambda } (\lambda i. x \ \$ \ i) \rangle$
lift-definition *enumerate-vec* :: $\text{nat} \Rightarrow ('a, 'b) \text{ Fixed-Length-Vector.vec} \Rightarrow$
 $(\text{nat} \times 'a, 'b) \text{ Fixed-Length-Vector.vec}$ **is**
 $\langle \text{List.indexed-from} \rangle$ **by** *simp*

lemma *infinite-UNIV-vec*:

assumes *infinite* ($\text{UNIV} :: 'a \text{ set}$) *finite* ($\text{UNIV} :: 'b \text{ set}$)
shows *infinite* ($\text{UNIV} :: ('a, 'b) \text{ Fixed-Length-Vector.vec set}$)

proof –

let $?UNIVA = \text{UNIV} :: 'a \text{ set}$
let $?UNIVB = \text{UNIV} :: 'b \text{ set}$
let $?UNIVV = \text{UNIV} :: ('a, 'b) \text{ Fixed-Length-Vector.vec set}$

have $\text{CARD}('b) > 0$ **using** *assms(2)* *finite-UNIV-card-ge-0* **by** *blast*

then have $(\lambda x. \text{nth-vec}' x \ 0) ' ?UNIVV = ?UNIVA$

apply (*intro surjI* [**where** $?f = \lambda x. \text{replicate-vec } x$])

by (*simp add: nth-vec'.rep-eq*)

moreover have $\text{card } ((\lambda x. \text{nth-vec}' x \ 0) ' ?UNIVV) \leq \text{card } ?UNIVV$

by (*simp add: calculation assms*)

ultimately show $?thesis$ **by** (*metis assms finite-imageI*)

qed

lemma *infinite-index-UNIV-vec*:

assumes *infinite* ($\text{UNIV} :: 'b \text{ set}$)

shows $(\text{UNIV} :: ('a, 'b) \text{ Fixed-Length-Vector.vec set}) = \{\text{vec-of-list } []\}$

by (*metis (mono-tags, lifting) UNIV-eq-I assms card.infinite insertI1 length-0-conv list-of-vec-inverse list-of-vec-length*)

lemma *card-UNIV-vec*:

shows $\text{CARD}(('a, 'b) \text{ Fixed-Length-Vector.vec}) = \text{CARD}('a) \wedge \text{CARD}('b)$ (**is**
 $?lhs = ?rhs$)

proof –

```

let ?UNIVA = UNIV :: 'a set
let ?UNIVB = UNIV :: 'b set
let ?UNIVV = UNIV :: ('a,'b) Fixed-Length-Vector.vec set

consider finite ?UNIVA | infinite ?UNIVA finite ?UNIVB | infinite ?UNIVA
infinite ?UNIVB
  by fast
then show ?thesis
proof cases
  case 1
  have ?lhs = card ({xs. length xs = CARD('b)} :: 'a list set)
    using Fixed-Length-Vector.vec.type-definition-vec type-definition.card by blast
  also have ... = ?rhs using card-lists-length-eq[of ?UNIVA, OF 1] by simp
  finally show ?thesis .
next
  case 2
  have infinite ?UNIVV using infinite-UNIV-vec[OF 2] .
  then show ?thesis using 2 finite-UNIV-card-ge-0 by (simp add: card-eq-0-iff)
next
  case 3
  have card ?UNIVV = Suc 0 unfolding infinite-index-UNIV-vec[OF 3(2)] by
simp
  then show ?thesis using 3 by (simp add: card-eq-0-iff)
qed
qed

lemma (in index1) from-index-neq [simp]:
  assumes a ≠ b
  shows from-index a ≠ from-index b
  by (metis assms local.from-to-index)

lemma list-of-vec-not-empty [simp]:
  fixes xs :: ('a, 'b :: index1) Fixed-Length-Vector.vec
  shows list-of-vec xs ≠ []
  by (metis from-index-bound gr-implies-not0 list.size(3) list-of-vec-length)

lemma id-take-nth-drop-vec:
  fixes xs :: ('a, 'b :: index1) Fixed-Length-Vector.vec
  assumes i < CARD('b)
  shows
    xs = vec-of-list (
      take i (list-of-vec xs) @
      list-of-vec xs ! i #
      drop (Suc i) (list-of-vec xs))
  by (simp add: Cons-nth-drop-Suc assms)

lemma id-take-nth-drop-vec':

```

fixes $xs :: ('a, 'b :: index1) \text{ Fixed-Length-Vector.vec}$
assumes $i < \text{CARD}('b)$
shows
 $xs = \text{vec-of-list } ($
 $\quad \text{take } i \text{ (list-of-vec } xs) \text{ @}$
 $\quad xs \$ \text{ to-index } i \#$
 $\quad \text{drop } (\text{Suc } i) \text{ (list-of-vec } xs))$
by (*simp add: Cons-nth-drop-Suc assms nth-vec.rep-eq to-from-index*)

lemma *nth-not-mem-enumerate:*
assumes $y ! i \neq x ! i$
shows $(i, y ! i) \notin \text{set } (\text{List.indexed-from } 0 \ x)$
apply (*subst in-set-conv-nth, clarsimp*)
using *assms nth-indexed-from-eq* **by** *fastforce*

lemma *nth-not-mem-enumerate-take:*
assumes $y ! j \neq x ! j$
shows $(j, y ! j) \notin \text{set } (\text{List.indexed-from } 0 \ (\text{take } i \ x))$
apply (*subst in-set-conv-nth, clarsimp*)
by (*metis arith-simps(49) assms length-take min-less-iff-conj nth-indexed-from-eq nth-take prod.sel(1,2)*)

lemma *nth-not-mem-enumerate-drop:*
assumes $y ! j \neq x ! j$
shows $(j, y ! j) \notin \text{set } (\text{List.indexed-from } (\text{Suc } i) \ (\text{drop } (\text{Suc } i) \ x))$
apply (*subst in-set-conv-nth, clarsimp*)
by (*metis assms fst-eqD length-drop linorder-not-le nat-diff-split not-less-zero nth-drop nth-indexed-from-eq snd-eqD*)

lemma *nth-enumerate-eq-vec:*
fixes $xs :: ('a, 'b :: index1) \text{ Fixed-Length-Vector.vec}$
assumes $m < \text{CARD}('b)$
shows $\text{enumerate-vec } n \ xs \$ \text{ to-index } m = (n + m, xs \$ \text{ to-index } m)$
by (*simp add: nth-vec.rep-eq index.to-from-index index-axioms assms enumerate-vec.rep-eq nth-indexed-from-eq*)

lemma *in-set-enumerate-eq-vec:*
fixes $xs :: ('a, 'b :: index1) \text{ Fixed-Length-Vector.vec}$
shows $p \in \text{set-vec } (\text{enumerate-vec } n \ xs) \longleftrightarrow$
 $n \leq \text{fst } p \wedge \text{fst } p < \text{CARD}('b) + n \wedge xs \$ \text{ to-index } (\text{fst } p - n) = \text{snd } p$
apply (*simp add:*
 $\text{in-set-indexed-from-eq nth-vec.rep-eq set-vec.rep-eq index.to-from-index}$
 $\text{index-axioms enumerate-vec.rep-eq nth-indexed-from-eq}$)
by (*metis less-diff-conv2 to-from-index*)

lemma *inj-vec-of-fcp*:
shows *inj vec-of-fcp*
apply (*clarsimp*
intro! *injI*
simp: vec-of-fcp-def vec-of-list-inject indexes-def vec-eq-iff Ball-def)
by (*metis from-to-index from-index-bound*)

lemma *inj-fcp-of-vec*:
shows *inj fcp-of-vec*
by (*auto intro!* *injI vec-cong simp: fcp-of-vec-def*)

lemma *fcp-of-vec-inverse*:
shows *vec-of-fcp (fcp-of-vec x) = x*
apply (*simp add: vec-of-fcp-def fcp-of-vec-def*)
by (*metis UNIV-I vec-explode vec-lambda.abs-eq vec-lambda-inverse*)

lemma *vec-of-fcp-inverse*:
shows *fcp-of-vec (vec-of-fcp x) = x*
apply (*simp add: vec-of-fcp-def fcp-of-vec-def*)
by (*metis vec-lambda.abs-eq vec-lambda-nth vec-lambda-unique*)

lemma *surj-vec-of-fcp*:
shows *surj vec-of-fcp*
using *fcp-of-vec-inverse* **by** (*intro surjI*)

lemma *surj-fcp-of-vec*:
shows *surj fcp-of-vec*
using *vec-of-fcp-inverse* **by** (*intro surjI*)

lemma *bij-vec-of-fcp*:
shows *bij vec-of-fcp*
by (*intro bijI inj-vec-of-fcp surj-vec-of-fcp*)

lemma *bij-fcp-of-vec*:
shows *bij fcp-of-vec*
by (*intro bijI inj-fcp-of-vec surj-fcp-of-vec*)

unbundle *no Fixed-Length-Vector.vec-syntax*
end

theory *Simple-Tabulation-Hashing*

imports

Universal-Hash-Families.Universal-Hash-Families-More-Product-PMF
Dependent-Product
Xor-Inst
Vec-Extras

begin

unbundle *Xor.xor-syntax*

unbundle *Fixed-Length-Vector.vec-syntax*

unbundle *no Finite-Cartesian-Product.vec-syntax*
hide-type *Finite-Cartesian-Product.vec*

1.2 Intro rules, contrapositives and bijections

lemma (in *prob-space*) *k-wise-indep-varsI*:
assumes $\bigwedge a J. \llbracket J \subseteq I; \text{card } J \leq k; \text{finite } J \rrbracket \implies$
 $\text{prob } \{\omega. \forall i \in J. X \ i \ \omega = a \ i\} = (\prod i \in J. \text{prob } \{\omega. X \ i \ \omega = a \ i\})$
 $M = \text{measure-pmf } p$
shows *k-wise-indep-vars k* ($\lambda\cdot. \text{count-space UNIV}$) $X \ I$
apply (*simp only: k-wise-indep-vars-def prob-space-axioms*)
apply (*clarsimp, rule indep-vars-pmf, rule assms(2)*)
by (*metis (no-types, lifting) assms(1) card-mono order-trans-rules(23)*)

lemma (in *prob-space*) *indep-vars-pmf-contrapos*:
assumes $\text{prob } \{\omega. \forall x \in J. P \ x \ (X' \ x \ \omega)\} \neq (\prod x \in J. \text{prob } \{\omega. P \ x \ (X' \ x \ \omega)\})$
 $\text{finite } J \ M = \text{measure-pmf } p$
shows $\neg \text{indep-vars } (\lambda\cdot. \text{count-space UNIV}) \ X' \ J$
apply (*rule contrapos-nn[OF assms(1)]*)
using *assms* **by** (*intro split-indep-events; force*)

locale *bij-betw-funcsetE* **begin**

These lemmas prove bijections between sets of 2-arity functions and their decompositions

For example, a function $f : [0, 100] \rightarrow \{A, B, C\} \rightarrow \{True, False\}$ can be decomposed into 3 parts:

$$\begin{aligned} & [0, 100] \rightarrow \{A, B, C\} \rightarrow \{True, False\} \\ \rightleftharpoons & [1, 100] \rightarrow \{A, B, C\} \rightarrow \{True, False\} \times \\ & \{A, C\} \rightarrow \{True, False\} \times \\ & \{True, False\} \end{aligned}$$

- *base*: $[1, 100] \rightarrow \{A, B, C\} \rightarrow \{True, False\}$ (split the 0-index, leaving 1-100 intact)
- z_0 : $\{A, C\} \rightarrow \{True, False\}$ (represents the 0-index, but further split the B-index)
- z_b : $B \in \{True, False\}$ (decides the value for $f \ 0 \ B$)

f is equivalent to its reconstruction, $f = \text{base}(0 := z_0(B := z_b))$

context
fixes α **and** $A \ B :: - \text{ set}$
assumes $\alpha\text{-in-}A$: $\alpha \in A$
begin

```

lemma bij-PiE-remove-point:
  bij-betw ( $\lambda (f, v). f(\alpha := v)$ ) ( $(A - \{\alpha\} \rightarrow_E B) \times B$ ) ( $A \rightarrow_E B$ )
proof (intro bij-betw-imageI inj-onI, goal-cases inj surj)
  case (inj -)
  then show ?case
    apply (simp add: case-prod-beta' image-iff prod-eq-iff set-eq-iff mem-Times-iff
fun-eq-iff)
    by (metis Diff-iff PiE-arb insertCI)
next
  case surj
  then show ?case
proof (intro equalityI subsetI)
  fix h assume  $h \in A \rightarrow_E B$ 
  with  $\alpha$ -in-A have  $h \alpha \in B$   $h(\alpha := \text{undefined}) \in A - \{\alpha\} \rightarrow_E B$ 
  by (auto simp: PiE-def extensional-def)
  then show  $h \in (\lambda (f, v). f(\alpha := v)) \text{ ' } ((A - \{\alpha\} \rightarrow_E B) \times B)$ 
  apply (simp add: case-prod-beta' image-iff)
  by (metis fun-upd-upd fun-upd-triv)
next
  fix h assume  $h \in (\lambda(f, v). f(\alpha := v)) \text{ ' } ((A - \{\alpha\} \rightarrow_E B) \times B)$ 
  with  $\alpha$ -in-A show  $h \in A \rightarrow_E B$ 
  apply (simp add: case-prod-beta' image-iff)
  by (metis insert-Diff PiE-fun-upd)
qed
qed

```

Helper: "Absorb" a point into a function space bijection. This allows chaining: if we have a bijection F for a smaller domain $S \rightarrow (A - \{x\} \rightarrow B)$, we can automatically get a bijection for $S \times B \rightarrow (A \rightarrow B)$.

```

lemma bij-absorb-point:
  assumes bij-betw  $F S (A - \{\alpha\} \rightarrow_E B)$ 
  shows bij-betw ( $\lambda (s, v). (F s)(\alpha := v)$ ) ( $S \times B$ ) ( $A \rightarrow_E B$ )
proof -
  — 1. Combine  $F$  with Identity on  $B$ 
  from assms have bij-betw (map-prod  $F id$ ) ( $S \times B$ ) ( $(A - \{\alpha\} \rightarrow_E B) \times B$ )
  by (blast intro: bij-betw-map-prod)
  — 2. Apply the base removal lemma
  with bij-PiE-remove-point show ?thesis
  by (auto
    elim: bij-betw-trans[simplified comp-def, elim-format]
    simp: map-prod-def case-prod-beta')
qed

```

Helper 2: "Lift Value" Attaches a computed value V to the function at point x . Used for the outer function (e.g., $a(\alpha := V)$).

```

lemma bij-lift-value:
  assumes bij-betw  $V S B$ 
  shows bij-betw ( $\lambda(f, s). f(\alpha := V s)$ ) ( $(A - \{\alpha\} \rightarrow_E B) \times S$ ) ( $A \rightarrow_E B$ )
proof -

```

```

have bij-betw (map-prod id V) ((A - {α} →E B) × S) ((A - {α} →E B) × B)
  using assms by (simp add: bij-betw-map-prod)
with bij-PiE-remove-point show ?thesis
  by (auto
      elim: bij-betw-trans[simplified comp-def, elim-format]
      simp: map-prod-def case-prod-beta')
qed

end

```

Tuple Association Helper: $((A, B), C) \longleftrightarrow (A, B, C)$

```

lemma bij-assoc-right-to-left:
  bij-betw (λ (a, b, c). ((a, b), c)) (A × B × C) ((A × B) × C)
  by (auto intro: bij-betwI[where g=λ ((a, b), c). (a, b, c)])

```

```

lemma bij-assoc-left-to-right:
  bij-betw (λ((a, b), c). (a, b, c)) ((A × B) × C) (A × B × C)
  by (auto intro: bij-betwI[where g=λ(a, b, c). ((a, b), c)])

```

```

lemma bij-betw-combine-right:
  assumes bij-betw f B C
  shows bij-betw (λ(a, b). (a, f b)) (A × B) (A × C)
  using assms by (simp flip: map-prod-def id-def add: case-prod-beta' bij-betw-map-prod)

```

1.2.1 Application

```

lemma remove1-remove1:
  assumes α ∈ A β ∈ B finite A finite B finite C C ≠ {}
  shows bij-betw
    (λ(a,b,c). a(α := b(β := c)))
    ((A - {α} →E B →E C) × (B - {β} →E C) × C)
    (A →E B →E C)
proof -
  — 1. Establish bijection for the value: b(β := c)
  have bij-val: bij-betw (λ(b, c). b(β := c)) ((B - {β} →E C) × C) (B →E C)
    using bij-PiE-remove-point[OF ⟨β ∈ B⟩] by auto

  — 2. Lift this value to A
  have bij-lift: bij-betw (λ(a, (b,c)). a(α := b(β := c)))
    ((A - {α} →E B →E C) × ((B - {β} →E C) × C))
    (A →E B →E C)
    using bij-lift-value[OF ⟨α ∈ A⟩ bij-val] by (auto simp: case-prod-beta')

  — 3. Fix tuple associativity (a, b, c) → (a, (b, c))
  have bij-assoc: bij-betw (λ(a,b,c). (a,(b,c)))
    ((A - {α} →E B →E C) × (B - {β} →E C) × C)
    ((A - {α} →E B →E C) × ((B - {β} →E C) × C))
    by (simp add: bij-betwI[where g=λ(a, (b, c)). (a, b, c)])

```

```

show ?thesis
  using bij-betw-trans[OF bij-assoc bij-lift] by (simp add: case-prod-beta)
qed

lemma remove1-remove2:
  assumes  $\beta 1 \neq \beta 2$   $\alpha \in A$   $\beta 1 \in B$   $\beta 2 \in B$ 
    finite A finite B finite C  $C \neq \{\}$ 
  shows bij-betw
    ( $\lambda(a,b,c,d). a(\alpha := b(\beta 1 := c, \beta 2 := d))$ )
    ( $(A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times (B - \{\beta 1, \beta 2\} \rightarrow_E C) \times C \times C$ )
    ( $A \rightarrow_E B \rightarrow_E C$ )
  proof -
    let ?S-B =  $(B - \{\beta 1, \beta 2\} \rightarrow_E C) \times C \times C$ 

    — 1. Build bijection for value:  $b(\beta 1 := c, \beta 2 := d)$ 
    — We use bij-absorb-point recursively

    have  $\beta 1 \in B - \{\beta 2\}$  using assms by simp
    — Start with remove_point for  $\beta 1$ 
    have bij-B-start: bij-betw ( $\lambda(b, c). b(\beta 1 := c)$ )
      ( $(B - \{\beta 1, \beta 2\} \rightarrow_E C) \times C$ ) ( $B - \{\beta 2\} \rightarrow_E C$ )
      using bij-PiE-remove-point[OF  $\langle \beta 1 \in B - \{\beta 2\} \rangle$ ] by (metis Diff-insert)

    — Absorb  $\beta 2$ 
    have bij-B-next: bij-betw ( $\lambda((b, c), d). b(\beta 1 := c, \beta 2 := d)$ )
      ( $((B - \{\beta 1, \beta 2\} \rightarrow_E C) \times C) \times C$ ) ( $B \rightarrow_E C$ )
      using bij-absorb-point[OF  $\langle \beta 2 \in B \rangle$  bij-B-start] by (simp add: case-prod-beta')

    — Flatten tuple for B
    have bij-B-val: bij-betw ( $\lambda(b,c,d). b(\beta 1 := c, \beta 2 := d)$ ) ?S-B ( $B \rightarrow_E C$ )
      using bij-betw-trans[OF bij-assoc-right-to-left bij-B-next]
      by (simp add: case-prod-beta' comp-def)

    — 2. Lift to A
    have bij-lift: bij-betw ( $\lambda(a, (b,c,d)). a(\alpha := b(\beta 1 := c, \beta 2 := d))$ )
      ( $(A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S-B$ ) ( $A \rightarrow_E B \rightarrow_E C$ )
      using bij-lift-value[OF  $\langle \alpha \in A \rangle$  bij-B-val] by (simp add: case-prod-beta' comp-def)

    — 3. Fix tuple associativity
    have bij-assoc: bij-betw ( $\lambda(a,b,c,d). (a,(b,c,d))$ )
      ( $(A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S-B$ )
      ( $(A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S-B$ )
      by (simp add: bij-betwI [where  $g = \lambda(a, (b,c,d)). (a,b,c,d)$ ])

    show ?thesis
      using bij-betw-trans[OF bij-assoc bij-lift] by (simp add: case-prod-beta)
  qed

lemma remove1-remove3:

```

assumes $\beta 1 \neq \beta 2 \ \beta 2 \neq \beta 3 \ \beta 3 \neq \beta 1 \ \alpha \in A \ \beta 1 \in B \ \beta 2 \in B \ \beta 3 \in B$
 $\text{finite } A \ \text{finite } B \ \text{finite } C \ C \neq \{\}$
shows *bij-betw*
 $(\lambda(a,b,c,d,e). a(\alpha := b(\beta 1 := c, \beta 2 := d, \beta 3 := e)))$
 $((A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times (B - \{\beta 1, \beta 2, \beta 3\} \rightarrow_E C) \times C \times C \times C)$
 $(A \rightarrow_E B \rightarrow_E C)$
proof –
let $?DomB3 = B - \{\beta 1, \beta 2, \beta 3\} \rightarrow_E C$
let $?S-B = ?DomB3 \times C \times C \times C$

— 1. Build bijection for value B recursively

— Step 1: Base $\beta 1$
from *assms* *bij-PiE-remove-point*[of $\beta 1 \ B - \{\beta 3, \beta 2\}$]
have *bij-betw* $(\lambda(b,c). b(\beta 1 := c)) \ (?DomB3 \times C) \ (B - \{\beta 3, \beta 2\} \rightarrow_E C)$
by (*auto simp flip: Diff-insert simp: insert-commute*)

— Step 2: Absorb $\beta 2$
with *assms* *bij-absorb-point*[of $\beta 2 \ B - \{\beta 3\}$]
have *bij-betw* $(\lambda((b,c),d). b(\beta 1 := c, \beta 2 := d)) \ ((?DomB3 \times C) \times C) \ (B - \{\beta 3\} \rightarrow_E C)$
by (*auto simp flip: Diff-insert simp: case-prod-beta' insert-commute*)

— Step 3: Absorb $\beta 3$
with *assms* *bij-absorb-point*[of $\beta 3 \ B$]
have *bij-3: bij-betw* $(\lambda(((b,c),d),e). b(\beta 1 := c, \beta 2 := d, \beta 3 := e)) \ (((?DomB3 \times C) \times C) \times C) \ (B \rightarrow_E C)$
by (*auto simp: case-prod-beta' insert-commute*)

moreover have *bij-betw* $(\lambda(b,c,d,e). (((b,c),d),e)) \ ?S-B \ (((?DomB3 \times C) \times C) \times C)$
by (*auto intro: bij-betwI[where $g = \lambda(((b,c),d),e). (b,c,d,e)$]*)

— Step 4: Flatten tuple for B
ultimately have *bij-betw* $(\lambda(b,c,d,e). b(\beta 1 := c, \beta 2 := d, \beta 3 := e)) \ ?S-B \ (B \rightarrow_E C)$
by (*auto*
 $\text{elim: } \text{bij-betw-trans}[\text{simplified comp-def, elim-format}]$
 $\text{simp: case-prod-beta'}$)

— 2. Lift to A
with *bij-lift-value assms*
have *bij-lift: bij-betw* $(\lambda(a, (b,c,d,e)). a(\alpha := b(\beta 1 := c, \beta 2 := d, \beta 3 := e)))$
 $((A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S-B) \ (A \rightarrow_E B \rightarrow_E C)$
by (*simp add: case-prod-beta'*)

— 3. Fix tuple associativity
have *bij-assoc: bij-betw* $(\lambda(a,b,c,d,e). (a,(b,c,d,e)))$
 $((A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S-B)$

$((A - \{\alpha\} \rightarrow_E B \rightarrow_E C) \times ?S\text{-}B)$
by (*auto intro: bij-betwI* [**where** $g = \lambda(a, (b, c, d, e)). (a, b, c, d, e)$])

with *bij-betw-trans*[*OF* *bij-assoc* *bij-lift*] **show** *?thesis*
by (*simp add: case-prod-beta*)

qed

lemma *remove2-remove2'remove1*:
assumes $\alpha 1 \neq \alpha 2$ $\beta 1 \neq \beta 2$ $\alpha 1 \in A$ $\alpha 2 \in A$ $\beta 1 \in B$ $\beta 2 \in B$ $\beta 3 \in B$
 $\text{finite } A$ $\text{finite } B$ $\text{finite } C$ $C \neq \{\}$
shows *bij-betw*
 $(\lambda(a, b, c, d, e, f). a(\alpha 1 := b(\beta 1 := d, \beta 2 := e), \alpha 2 := c(\beta 3 := f)))$
 $((A - \{\alpha 1, \alpha 2\} \rightarrow_E B \rightarrow_E C) \times (B - \{\beta 1, \beta 2\} \rightarrow_E C) \times (B - \{\beta 3\} \rightarrow_E C) \times C \times C \times C)$
 $(A \rightarrow_E B \rightarrow_E C)$

proof –
let $?DomA = A - \{\alpha 1, \alpha 2\} \rightarrow_E B \rightarrow_E C$
let $?DomB1 = B - \{\beta 1, \beta 2\} \rightarrow_E C$
let $?DomB2 = B - \{\beta 3\} \rightarrow_E C$

have *bij-betw* $(\lambda(b, d, e). ((b, d), e))$ $(?DomB1 \times C \times C)$ $((?DomB1 \times C) \times C)$
by (*auto intro: bij-betwI* [**where** $g = \lambda((b, d), e). (b, d, e)$])

moreover from *assms* *bij-absorb-point*[*of* $\beta 2$ B] *bij-PiE-remove-point*[*of* $\beta 1$ $B - \{\beta 2\}$]
have *bij-betw* $(\lambda((b, d), e). b(\beta 1 := d, \beta 2 := e))$ $((?DomB1 \times C) \times C)$ $(B \rightarrow_E C)$
by (*auto simp flip: Diff-insert simp: case-prod-beta'*)

– 1. Val 1: $b(\beta 1 := d, \beta 2 := e)$
ultimately have *bij-betw* $(\lambda(b, d, e). b(\beta 1 := d, \beta 2 := e))$ $(?DomB1 \times C \times C)$ $(B \rightarrow_E C)$
by (*auto elim: bij-betw-trans*[*simplified comp-def, elim-format*] *simp: case-prod-beta'*)

– 2. Val 2: $c(\beta 3 := f)$
moreover from *bij-PiE-remove-point*[*of* $\beta 3$ B] *assms*
have *bij-betw* $(\lambda(c, f). c(\beta 3 := f))$ $(?DomB2 \times C)$ $(B \rightarrow_E C)$ **by** *auto*

– 3. Combine values (Product Step)
 – We explicitly form the tuple structure $((B1, C, C), (B2, C))$
ultimately have *bij-vals: bij-betw*
 $(\lambda((b, d, e), (c, f)). (b(\beta 1 := d, \beta 2 := e), c(\beta 3 := f)))$
 $((?DomB1 \times C \times C) \times (?DomB2 \times C))$
 $((B \rightarrow_E C) \times (B \rightarrow_E C))$
by (*fastforce dest: bij-betw-map-prod simp add: map-prod-def id-def case-prod-beta'*)

– 4. Lift to Parallel
from *bij-betw-map-prod*[*OF* *bij-betw-id* *bij-vals*] **have** *bij-parallel:*
bij-betw
 $(\lambda(a, rest). (a, (\lambda((b, d, e), (c, f)). (b(\beta 1 := d, \beta 2 := e), c(\beta 3 := f))))$ *rest*)

$(?DomA \times ((?DomB1 \times C \times C) \times (?DomB2 \times C)))$
 $(?DomA \times ((B \rightarrow_E C) \times (B \rightarrow_E C)))$
by (*auto simp: map-prod-def id-def case-prod-beta'*)
have *bij-A-step1*: *bij-betw* $(\lambda((a, v1), v2). (a(\alpha1 := v1), v2))$
 $((?DomA \times (B \rightarrow_E C)) \times (B \rightarrow_E C)) ((A - \{\alpha2\} \rightarrow_E B \rightarrow_E C)$
 $\times (B \rightarrow_E C))$
using *assms bij-lift-value[of $\alpha1$ $A - \{\alpha2\}$]*
by (*force*
intro: bij-betw-map-prod simp flip: map-prod-def id-def Diff-insert
simp: case-prod-beta')

have *bij-A-step2*: *bij-betw* $(\lambda(a, v2). a(\alpha2 := v2))$
 $((A - \{\alpha2\} \rightarrow_E B \rightarrow_E C) \times (B \rightarrow_E C)) (A \rightarrow_E B \rightarrow_E C)$
using *assms bij-PiE-remove-point[of $\alpha2$ A]* **by** (*auto simp: case-prod-beta'*)

— 5. Explicit Shuffle

have *bij-shuffle*: *bij-betw* $(\lambda(a, b, c, d, e, f). (a, (b, d, e), (c, f)))$
 $(?DomA \times ?DomB1 \times ?DomB2 \times C \times C \times C)$
 $(?DomA \times (?DomB1 \times C \times C) \times (?DomB2 \times C))$
by (*auto intro: bij-betwI[where $g=\lambda(a, (b, d, e), (c, f)). (a, b, c, d, e, f)$]*)

— 6. Final Chain - broken into steps to avoid unification issues

have *bij-betw* $(\lambda(a, (b, d, e), (c, f)). ((a, b(\beta1:=d, \beta2:=e)), c(\beta3:=f)))$
 $(?DomA \times (?DomB1 \times C \times C) \times (?DomB2 \times C))$
 $((?DomA \times (B \rightarrow_E C)) \times (B \rightarrow_E C))$

proof —

have *bij-betw* $(\lambda(a, v1, v2). ((a, v1), v2))$
 $(?DomA \times (B \rightarrow_E C) \times (B \rightarrow_E C)) ((?DomA \times (B \rightarrow_E C)) \times (B \rightarrow_E C))$
by (*rule bij-betwI [where $g=\lambda((a, v1), v2). (a, v1, v2)$]*) *auto*
with *bij-parallel show ?thesis*
by (*auto elim: bij-betw-trans[simplified comp-def, elim-format] simp: case-prod-beta'*)
qed

with *bij-shuffle* **have**

bij-betw $(\lambda(a, b, c, d, e, f). ((a, b(\beta1:=d, \beta2:=e)), c(\beta3:=f)))$
 $(?DomA \times ?DomB1 \times ?DomB2 \times C \times C \times C)$
 $((?DomA \times (B \rightarrow_E C)) \times (B \rightarrow_E C))$
by (*auto elim: bij-betw-trans[simplified comp-def, elim-format] simp: case-prod-beta'*)

moreover from *bij-A-step1* *bij-A-step2* **have**

bij-betw $(\lambda((a, v1), v2). a(\alpha1 := v1, \alpha2 := v2))$
 $((?DomA \times (B \rightarrow_E C)) \times (B \rightarrow_E C))$
 $(A \rightarrow_E B \rightarrow_E C)$
by (*auto elim: bij-betw-trans[simplified comp-def, elim-format] simp: case-prod-beta'*)

ultimately show *?thesis*

by (*auto elim: bij-betw-trans[simplified comp-def, elim-format] simp: case-prod-beta'*)

qed

end

2 Definitions

locale *simple-tabulation-hashing* =
 fixes $n :: 'n :: \{index1, zero\}$ *itself* — key length, i.e. 4 for 4 'fragments
 fixes $r :: 'result :: \{abel-group-xor, finite\}$ *itself* — bool or any type that supports
xor
 fixes $q :: 'q :: index1$ *itself* — digest length, i.e. 3
 fixes $d :: 'fragment :: finite$ *itself* — domain of input key fragments
 assumes $n: n = TYPE('n)$
begin

In tabulation hashing, keys are split into n fragments, each piece hashed individually, before being combined into a final digest with the XOR operator. i.e. $x \equiv [x_0, x_1, x_2]$, $h(x) \equiv h_0x_0 \oplus h_1x_1 \oplus h_2x_2$

Therefore, the type variable 'n, CARD('n) and n must reflect the number of parts in a key. In the example above, 'n = 3 (which is an alias for num1 bit1)

The final digest length is indicated by the type variable 'q, and 'result is any type that supports xor. For example, 'result = bool. When using 'result = bool and 'q = 3, it can be interpreted as using a 3-bit word as the output, which can encode $2^3 = 8$ possibilities, e.g. [True, False, True]

abbreviation $cardn :: 'n$ *itself* $\Rightarrow nat$ **where** $cardn - \equiv CARD('n)$

abbreviation $N :: 'n$ *itself* $\Rightarrow nat$ *set* **where** N *type* $\equiv \{..<cardn$ *type* $\}$

abbreviation $D :: 'fragment$ *set* **where** $D \equiv UNIV$

abbreviation $Dn :: ('fragment, 'n)$ *vec set* $(\langle D^n \rangle)$ **where**
 — domain of all possible input keys s.t. each key is composed of exactly *n* fragments
 $D^n \equiv UNIV$

abbreviation $R :: ('result, 'q)$ *vec set* **where**
 — range of all possible outputs, s.t. each output is composed of exactly *q* bits
 $R \equiv UNIV$

abbreviation $H :: 'n$ *itself* $\Rightarrow (nat \Rightarrow 'fragment \Rightarrow ('result, 'q)$ *vec*) *set* **where**
 — set of all possible assignments and combinations for every column-item pair
 H *type* $\equiv N$ *type* $\rightarrow_E D \rightarrow_E R$

definition $tb-S :: 'n$ *itself* $\Rightarrow (nat \Rightarrow 'fragment \Rightarrow ('result, 'q)$ *vec*) *pmf* **where**
 — Generator of instances of H
 $tb-S - \equiv pmf-of-set (H$ $n)$

definition $tb-H :: ('fragment, 'n) \text{vec} \Rightarrow (\text{nat} \Rightarrow 'fragment \Rightarrow ('result, 'q) \text{vec}) \Rightarrow ('result, 'q) \text{vec}$ **where**

— Applies the tabulation hashing algorithm on *k* using a hash function from H
 $tb-H\ k\ h \equiv \text{xor-fold } (\lambda i. h\ i\ (k\ \$\ \text{to-index}\ i))\ ([0..<\text{cardn}\ n])$

lemma $tb-S\text{-alt-def}$:

shows $tb-S\ n = \text{prod-pmf } (N\ n)\ (\lambda-. \text{prod-pmf } UNIV\ (\lambda-. \text{pmf-of-set } R))$

unfolding $tb-S\text{-def}$

by ($\text{simp add: } Pi\text{-pmf-of-set } PiE\text{-default-undefined-eq}$)

lemma $tb-H\text{-absorb}$:

assumes $i < CARD('n)$

shows $tb-H\ x\ h \oplus c = tb-H\ x\ (h(i := (h\ i)(x\ \$\ \text{to-index}\ i := h\ i\ (x\ \$\ \text{to-index}\ i) \oplus c)))$

unfolding $tb-H\text{-def}$

by ($\text{auto intro!; arg-cong[where } f = \text{xor-fold}] \text{ simp: fold-absorb[of } i] \text{ assms}$)

lemma $tb-H\text{-absorb'}$:

shows $tb-H\ x\ h \oplus c =$

$tb-H\ x\ (h(\text{from-index}\ i := (h\ (\text{from-index}\ i))(x\ \$\ i := h\ (\text{from-index}\ i)\ (x\ \$\ i) \oplus c)))$

by ($\text{metis from-index-bound from-to-index } tb-H\text{-absorb}$)

lemma $tb-H\text{-extract}$:

assumes $i < CARD('n)$

shows $tb-H\ x\ (h(i := g(x\ \$\ \text{to-index}\ i := \alpha))) = tb-H\ x\ (h(i := g(x\ \$\ \text{to-index}\ i := 0))) \oplus \alpha$

by ($\text{subst } tb-H\text{-absorb[OF assms]} \text{ simp}$)

lemma $tb-H\text{-extract'}$:

shows $tb-H\ x\ (h(\text{from-index}\ i := g(x\ \$\ i := \alpha))) = tb-H\ x\ (h(\text{from-index}\ i := g(x\ \$\ i := 0))) \oplus \alpha$

by ($\text{metis from-index-bound from-to-index } tb-H\text{-extract}$)

lemma $tb-H\text{-exch}$:

assumes $i < CARD('n)$

shows $tb-H\ x\ (a(i := aa(x\ \$\ \text{to-index}\ i := b))) = \alpha \longleftrightarrow$

$b = tb-H\ x\ (a(i := aa(x\ \$\ \text{to-index}\ i := \alpha)))$

apply ($\text{subst } (1\ 2) \text{ } tb-H\text{-extract[OF assms]}$)

by ($\text{metis (no-types, lifting) assoc abel-group-xor-class.eq-iff}$)

lemma $tb-H\text{-exch'}$:

shows $tb-H\ x\ (a(\text{from-index}\ i := aa(x\ \$\ i := b))) = \alpha \longleftrightarrow$

$b = tb-H\ x\ (a(\text{from-index}\ i := aa(x\ \$\ i := \alpha)))$

by ($\text{metis from-index-bound from-to-index } tb-H\text{-exch}$)

lemma $tb-H\text{-discard}$:

assumes $x\ \$\ i \neq y\ \$\ i$

shows $tb-H\ x\ (a(\text{from-index } i := b(y\ \$\ i := c))) = tb-H\ x\ (a(\text{from-index } i := b))$
unfolding $tb-H-def$
apply $(intro\ arg-cong[\text{where } ?f = xor-fold])$
by $(simp-all\ add: assms)$

3 Proofs

3.1 Proof of 1-independence and uniformity

lemma $prob-tb-H-bin$:

shows $measure-pmf.prob\ (tb-S\ n)\ \{h.\ tb-H\ x\ h = \alpha\} = 1\ /\ real\ (card\ R)\ (is\ ?lhs = ?rhs)$

proof –

let $?N = N\ n$
let $?H = H\ n$
let $?tb-S = tb-S\ n$
let $?x0 = x\ \$\ to-index\ 0$

note $finite-PiE[simp]\ PiE-eq-empty-iff[simp]\ measure-pmf-single[simp]$

have bij :

$bij-betw\ (\lambda(a, b, c). a(0 := b(?x0 := c)))$
 $((?N - \{0\} \rightarrow_E D \rightarrow_E R) \times (D - \{?x0\} \rightarrow_E R) \times R)$
 $?H$
by $(fastforce\ intro!:\ bij-betw-funcsetE.remove1-remove1)$

let $?destructure =$

$pair-pmf\ (pmf-of-set\ (?N - \{0\} \rightarrow_E D \rightarrow_E R))$
 $(pair-pmf\ (pmf-of-set\ (D - \{?x0\} \rightarrow_E R))$
 $(pmf-of-set\ R))$

have $asmap: ?tb-S =$

$map-pmf\ (\lambda(a, b, c). a(0 := b(?x0 := c)))\ ?destructure$
unfolding $tb-S-def$

by $(simp\ add: bij\ flip: pmf-of-set-prod-eq\ map-pmf-of-set-bij-betw\ del: PiE-UNIV)$

have $?lhs =$

$measure-pmf.prob\ ?destructure$
 $(Sigma\ UNIV\ (\lambda h.$
 $Sigma\ UNIV\ (\lambda Z.$
 $\{tb-H\ x\ (h(0 := Z(?x0 := \alpha))\})))$
unfolding $asmap\ measure-map-pmf$ **by** $(clarsimp\ intro!:\ measure-pmf-cong\ tb-H-exch)$

also have $\dots = ?rhs$ **by** $(simp\ add: measure-pmf-prob-dependent-product-bound-eq')$

finally show $?thesis$.

qed

theorem *uniform*:

shows *prob-space.uniform-on* (*tb-S* *n*) (*tb-H* *key*) *R*
using *prob-tb-H-bin* **by** (*auto intro!*: *measure-pmf.uniform-onI*)

3.2 Proof of two-independence

lemma *prob-tb-H-bin1-bin2*:

assumes $x \neq y$

shows *measure-pmf.prob* (*tb-S* *n*) $\{h. \text{tb-H } x \ h = \alpha \wedge \text{tb-H } y \ h = \beta\} = 1 / \text{real}$
(*card* *R* * *card* *R*)
(is *?lhs* = *?rhs*)

proof –

let *?N* = *N* *n*

let *?H* = *H* *n*

let *?tb-S* = *tb-S* *n*

note *finite-PiE*[*simp*] *PiE-eq-empty-iff*[*simp*] *measure-pmf-single*[*simp*]

obtain *i* **where** $i: x \ \$ \ i \neq y \ \$ \ i$ **by** (*meson assms vec-cong*)

let *?xi* = $x \ \$ \ i$

let *?yi* = $y \ \$ \ i$

have *bij*:

bij-betw ($\lambda(a, b, c, d). a(\text{from-index } i := b(?xi := c, ?yi := d))$)
($(?N - \{\text{from-index } i\} \rightarrow_E D \rightarrow_E R) \times (D - \{?xi, ?yi\} \rightarrow_E R) \times R \times R$)
?H

using *i* **by** (*intro bij-betw-funcsetE.remove1-remove2*) *simp-all*

let *?destructure* =

pair-pmf (*pmf-of-set* ($?N - \{\text{from-index } i\} \rightarrow_E D \rightarrow_E R$))
(*pair-pmf* (*pmf-of-set* ($D - \{?xi, ?yi\} \rightarrow_E R$))
(*pmf-of-set* ($R \times R$))))

have *asmap*: *?tb-S* =

map-pmf ($\lambda(a, b, c, d). a(\text{from-index } i := b(?xi := c, ?yi := d))$) *?destructure*

unfolding *tb-S-def*

by (*simp add*: *bij flip*: *pmf-of-set-prod-eq map-pmf-of-set-bij-betw*
~~*del*: *PiE-UNIV UNIV-Times-UNIV*~~)

have *?lhs* =

measure-pmf.prob *?destructure*

(*Sigma* *UNIV* ($\lambda h.$

Sigma *UNIV* ($\lambda I.$

$\{(xi', yi'). \text{tb-H } x \ (h(\text{from-index } i := I(?xi := xi', ?yi := yi'))) = \alpha \wedge$
 $\text{tb-H } y \ (h(\text{from-index } i := I(?xi := xi', ?yi := yi'))) = \beta \}$)))

unfolding *asmap measure-map-pmf* **by** (*auto intro*: *measure-pmf-cong*)

also from *i* **have** ... =

```

measure-pmf.prob ?destructure
(Sigma UNIV (λh.
  Sigma UNIV (λI.
    {(tb-H x (h(from-index i := I(?xi := α, ?yi := β))),
      tb-H y (h(from-index i := I(?xi := α, ?yi := β))))))
  apply (clarsimp intro!: measure-pmf-cong simp: tb-H-discard tb-H-exch)
  by (smt (verit, ccfv-threshold) fun-upd-twist tb-H-discard)

also have ... = ?rhs by (simp add: measure-pmf-prob-dependent-product-bound-eq')

finally show ?thesis .
qed

```

3.3 Proof of 3-independence

lemma *prob-3same-conv*:

```

assumes x $ i ≠ y $ i y $ i ≠ z $ i z $ i ≠ x $ i
shows measure-pmf.prob (tb-S n) {h. tb-H x h = α ∧ tb-H y h = β ∧ tb-H z h
= γ} =
  1 / (real (card R) * real (card R) * real (card R)) (is ?lhs = ?rhs)

```

proof –

```

let ?N = N n
let ?H = H n
let ?tb-S = tb-S n
let ?xi = x $ i
let ?yi = y $ i
let ?zi = z $ i

```

note *finite-PiE[simp] PiE-eq-empty-iff[simp] measure-pmf-single[simp]*

from *assms* **have** *bij*:

```

bij-betw (λ(a, b, c, d, e). a(from-index i := b(?xi := c, ?yi := d, ?zi := e)))
((?N - {from-index i} →E D →E R) × (D - {?xi, ?yi, ?zi} →E R) × R ×
R × R)
?H
by (fastforce intro: bij-betw-funcsetE.remove1-remove3)

```

let *?destructure* =

```

(pair-pmf (pmf-of-set (?N - {from-index i} →E D →E R))
(pair-pmf (pmf-of-set (D - {?xi, ?yi, ?zi} →E R))
(pmf-of-set (R × R × R))))

```

have *asmap*: *?tb-S* =

```

map-pmf (λ(a, b, c, d, e). a(from-index i := b(?xi := c, ?yi := d, ?zi := e)))

```

?destructure

unfolding *tb-S-def*

```

by (simp add: bij_flip: pmf-of-set-prod-eq map-pmf-of-set-bij-betw
del: PiE-UNIV UNIV-Times-UNIV)

```

have ?lhs =
measure-pmf.prob ?destructure
 (Sigma UNIV (λh.
 Sigma UNIV (λI.
 {(xi',yi',zi').
 tb-H x (h(from-index i := I(?xi := xi', ?yi := yi', ?zi := zi')) = α ∧
 tb-H y (h(from-index i := I(?xi := xi', ?yi := yi', ?zi := zi')) = β ∧
 tb-H z (h(from-index i := I(?xi := xi', ?yi := yi', ?zi := zi')) = γ})))
unfolding asmap *measure-map-pmf* **by** (auto intro: *measure-pmf-cong*)

also from *assms* **have** ... =
measure-pmf.prob ?destructure
 (Sigma UNIV (λh.
 Sigma UNIV (λI.
 {(xi',yi',zi').
 tb-H x (h(from-index i := I(?xi := xi')) = α ∧
 tb-H y (h(from-index i := I(?yi := yi')) = β ∧
 tb-H z (h(from-index i := I(?zi := zi')) = γ})))
unfolding asmap *measure-map-pmf*
apply (*clarsimp* intro!: *measure-pmf-cong* simp: *tb-H-discard*)
by (*smt* (*verit*, *best*) *fun-upd-twist* *tb-H-discard*)

also have ... =
 (*measure-pmf.prob* ?destructure
 (Sigma UNIV (λh.
 Sigma UNIV (λI.
 {let xi' = tb-H x (h(from-index i := I(?xi := α)));
 yi' = tb-H y (h(from-index i := I(?yi := β)));
 zi' = tb-H z (h(from-index i := I(?zi := γ)));
 in (xi',yi',zi')})))
by (auto simp add: *tb-H-exch'* intro: *measure-pmf-cong* *conj-cong*)

also have ... = ?rhs **by** (*simp* add: *measure-pmf-prob-dependent-product-bound-eq'*)

finally show ?thesis .
qed

lemma *prob-2same-conv*:

assumes $x \ \$ \ i \neq y \ \$ \ i \ z \ \$ \ j \neq x \ \$ \ j \ i \neq j$
and $z \ \$ \ i = x \ \$ \ i$
shows *measure-pmf.prob* (tb-S n) {h. tb-H x h = α ∧ tb-H y h = β ∧ tb-H z h = γ} =
 $1 / (\text{real}(\text{card } R) * \text{real}(\text{card } R) * \text{real}(\text{card } R))$ (**is** ?lhs = ?rhs)

proof –

let ?N = N n
let ?H = H n
let ?tb-S = tb-S n

let ?xi = x \$ i

```

let ?yi = y $ i
let ?zi = z $ i
let ?xj = x $ j
let ?yj = y $ j
let ?zj = z $ j

note finite-PiE[simp] PiE-eq-empty-iff[simp] measure-pmf-single[simp]

from assms have bij:
  bij-betw
    (λ(a, b, c, d, e, f). a(from-index i := b(?xi := d, ?yi := e), from-index j :=
c(?zj := f)))
    ((?N - {from-index i, from-index j} →E D →E R) ×
    (D - {?xi, ?yi} →E R) ×
    (D - {?zj} →E R) ×
    R × R × R)
    ?H
  by (fastforce intro: bij-betw-funcsetE.remove2-remove2'remove1)

let ?destructure =
  (pair-pmf (pmf-of-set (?N - {from-index i, from-index j} →E D →E R))
  (pair-pmf (pmf-of-set (D - {?xi, ?yi} →E R))
  (pair-pmf (pmf-of-set (D - {?zj} →E R))
  (pmf-of-set (R × R × R)))))

have asmap: ?tb-S =
  map-pmf
    (λ(a, b, c, d, e, f). a(from-index i := b(?xi := d, ?yi := e), from-index j :=
c(?zj := f)))
    ?destructure
  unfolding tb-S-def
  by (simp add: bij flip: pmf-of-set-prod-eq map-pmf-of-set-bij-betw
    del: PiE-UNIV UNIV-Times-UNIV)

have ?lhs =
  (measure-pmf.prob ?destructure
  (Sigma UNIV (λh.
    Sigma UNIV (λI.
      Sigma UNIV (λJ.
        {(xi', yi', zj').
          tb-H x (h(from-index i := I(?xi := xi', ?yi := yi'), from-index j := J(?zj :=
zj')))) = α ∧
          tb-H y (h(from-index i := I(?xi := xi', ?yi := yi'), from-index j := J(?zj :=
zj')))) = β ∧
          tb-H z (h(from-index i := I(?xi := xi', ?yi := yi'), from-index j := J(?zj :=
zj')))) = γ
        }))))))
  unfolding asmap measure-map-pmf by (auto intro: measure-pmf-cong)

```

also from *assms* have ... =
 (measure-pmf.prob ?destructure
 (Sigma UNIV (λh.
 Sigma UNIV (λI.
 Sigma UNIV (λJ.
 {(xi',yi',zj').
 tb-H x (h(from-index j := J, from-index i := I(?xi := xi')) = α ∧
 tb-H y (h(from-index j := J(?zj := zj'), from-index i := I(?yi := yi')) = β ∧
 tb-H z (h(from-index i := I(?xi := xi'), from-index j := J(?zj := zj')) = γ
 }))))))
unfolding asmap measure-map-pmf
apply (clarsimp intro!: measure-pmf-cong dest!: from-index-neq simp: tb-H-discard)
by (smt (verit, best) fun-upd-twist tb-H-discard)

also have ... =
 measure-pmf.prob ?destructure
 (Sigma UNIV (λh.
 Sigma UNIV (λI.
 Sigma UNIV (λJ.
 {let
 xi' = tb-H x (h(from-index j := J, from-index i := I(?xi := α)));
 zj' = tb-H z (h(from-index i := I(?xi := xi'), from-index j := J(?zj := γ)));
 yi' = tb-H y (h(from-index j := J(?zj := zj'), from-index i := I(?yi := β)));
 in (xi',yi',zj')}))))
by (auto simp add: Let-unfold tb-H-exch' intro: measure-pmf-cong)

also have ... = ?rhs by (simp add: measure-pmf-prob-dependent-product-bound-eq')

finally show ?thesis .
qed

lemma prob-tb-H-bin1-bin2-bin3:

assumes $x \neq y \ y \neq z \ z \neq x$
shows measure-pmf.prob (tb-S n) {h. tb-H x h = α ∧ tb-H y h = β ∧ tb-H z h = γ} =
 $1 / (\text{real} (\text{card } R) * \text{real} (\text{card } R) * \text{real} (\text{card } R))$ (**is** ?lhs = ?rhs)

proof –

obtain i **where** i: $x \ \$ \ i \neq y \ \$ \ i$ **using** assms(1) **vec-cong** **by** blast

let ?xi = $x \ \$ \ i$
let ?yi = $y \ \$ \ i$
let ?zi = $z \ \$ \ i$

consider $?zi \neq ?xi \wedge ?zi \neq ?yi \mid ?zi = ?xi \mid ?zi = ?yi$ **by** blast
then show ?thesis

proof cases

case 2

moreover from assms **vec-cong** **obtain** j **where** $z \ \$ \ j \neq x \ \$ \ j$ **by** blast
ultimately show ?thesis **using** i assms **by** (auto intro: prob-2same-conv)

```

next
  case 3
  moreover obtain  $j$  where  $z \neq y$  using  $assms(2)$  vec-cong by blast
  ultimately show ?thesis
    using  $i$  assms
    by (subst prob-2same-conv[where  $y = x$ , symmetric]) (auto simp: ac-simps)
  qed (auto intro!: prob-3same-conv simp: assms i)
qed

```

3.4 Proof of 3-universal

lemma *three-indep-vars*:

```

  shows prob-space.k-wise-indep-vars ( $tb-S\ n$ ) 3 ( $\lambda-. \text{count-space } R$ )  $tb-H\ D^n$ 
proof (rule prob-space.k-wise-indep-varsI)
  show prob-space (measure-pmf (tb-S n)) using measure-pmf.prob-space-axioms
  by blast
  show measure-pmf (tb-S n) = measure-pmf (tb-S n) by (rule refl)
next
  fix  $J :: ('fragment, 'n) \text{vec set}$  assume  $\text{card } J \leq 3$  finite J
  fix  $f$ 
  consider (0)  $\text{card } J = 0$ 
    | (1)  $\text{card } J = 1$ 
    | (2)  $\text{card } J = 2$ 
    | (3)  $\text{card } J = 3$  using  $\langle \text{card } J \leq 3 \rangle$  by linarith
  then show measure-pmf.prob (tb-S n)  $\{h. \forall \text{key} \in J. \text{tb-H key } h = f \text{key}\} =$ 
    ( $\prod \text{key} \in J. \text{measure-pmf.prob (tb-S n)  $\{h. \text{tb-H key } h = f \text{key}\}}$ )
    (is ?lhs = ?rhs)
proof cases
  case 0
  then have  $J = \{\}$  using  $\langle \text{finite } J \rangle$  card-0-eq by blast
  then have ?lhs = 1 by simp
  also have  $\dots = ?rhs$  unfolding  $\langle J = \{\} \rangle$  prod.empty ..
  finally show ?thesis .
next
  case 1
  then have  $\exists \text{key}. J = \{\text{key}\}$  by (meson card-1-singletonE)
  then obtain  $\text{key}$  where [simp]:  $J = \{\text{key}\}$  by blast
  — Since there is only one value inhabiting  $J$ , we can erase the quantifier
  then have ?lhs = measure-pmf.prob (tb-S n)  $\{h. \text{tb-H key } h = f \text{key}\}$  by simp
  also have  $\dots = ?rhs$  by simp
  finally show ?thesis .
next
  case 2
  then have  $\exists k1\ k2. J = \{k1, k2\} \wedge k1 \neq k2$  by (meson card-2-iff)
  then obtain  $k1\ k2$  where [simp]:  $J = \{k1, k2\}$   $k1 \neq k2$  by blast+

  have ?lhs = measure-pmf.prob (tb-S n)  $\{h. \text{tb-H } k1\ h = f\ k1 \wedge \text{tb-H } k2\ h = f\ k2\}$  by simp
  also have  $\dots = 1 / (\text{real (card } R) * \text{real (card } R))$  using prob-tb-H-bin1-bin2$ 
```

by *simp*
 also have $\dots = (\prod_{key \in J}. \text{indicat-real } R (f \text{ key}) / \text{real } (\text{card } R))$ **by** *simp*
 also have $\dots = ?rhs$ **using** *prob-tb-H-bin* **by** *fastforce*
 finally show *?thesis* .
next
 case 3
 then have $\exists k1 \ k2 \ k3. J = \{k1, k2, k3\} \wedge k1 \neq k2 \wedge k2 \neq k3 \wedge k3 \neq k1$ **by**
 (*metis card-3-iff*)
 then obtain $k1 \ k2 \ k3$ **where** [*simp*]: $J = \{k1, k2, k3\} \ k1 \neq k2 \ k2 \neq k3 \ k3 \neq k1$
by *blast+*

 have $?lhs = \text{measure-pmf.prob } (tb-S \ n)$
 $\{h. tb-H \ k1 \ h = f \ k1 \wedge tb-H \ k2 \ h = f \ k2 \wedge tb-H \ k3 \ h = f \ k3\}$ **by** *simp*
 also have $\dots = 1 / (\text{real } (\text{card } R) * \text{real } (\text{card } R) * \text{real } (\text{card } R))$
 using *prob-tb-H-bin1-bin2-bin3* **by** *simp*
 also have $\dots = (\prod_{key \in J}. \text{indicat-real } R (f \text{ key}) / \text{real } (\text{card } R))$ **by** *simp*
 also have $\dots = ?rhs$ **using** *prob-tb-H-bin* **by** *fastforce*
 finally show *?thesis* .
qed
qed

theorem *three-universal*:
 shows *prob-space.k-universal* $(tb-S \ n) \ 3 \ tb-H \ D^n \ R$
 using *prob-space.k-universal-def* *measure-pmf.prob-space-axioms* *three-indep-vars*
uniform **by** *blast*

3.5 Proof of non-4-universal

lemma *prob-4-conv*:
 fixes $u \ v :: 'fragment$
 assumes $W \oplus X \oplus Y \oplus Z = 0 \wedge h. P (h(0 := \text{undefined}, \text{Suc } 0 := \text{undefined}))$
 $= P \ h$
 $CARD('n) > \text{Suc } 0 \ u \neq v$
 shows $\text{measure-pmf.prob } (tb-S \ n) \ \{h::nat \Rightarrow 'fragment \Rightarrow ('result, 'q) \text{ vec.}$
 $h \ 0 \ u \oplus h (\text{Suc } 0) \ u \oplus P \ h = W \wedge$
 $h \ 0 \ u \oplus h (\text{Suc } 0) \ v \oplus P \ h = X \wedge$
 $h \ 0 \ v \oplus h (\text{Suc } 0) \ u \oplus P \ h = Y \wedge$
 $h \ 0 \ v \oplus h (\text{Suc } 0) \ v \oplus P \ h = Z \}$
 $= 1 / (\text{real } (\text{card } R) * \text{real } (\text{card } R) * \text{real } (\text{card } R))$ (**is** $?lhs = ?rhs$)
proof –
 let $?N = N \ n$
 let $?H = H \ n$
 let $?tb-S = tb-S \ n$

 note *assms*(1,2) [*simp*]
 note *finite-PiE*[*simp*] *PiE-eq-empty-iff*[*simp*] *measure-pmf-single*[*simp*]

 define $W' \ X' \ Y' \ Z'$ **where**

$W' \equiv \lambda h. W \oplus P h$
 $X' \equiv \lambda h. X \oplus P h$
 $Y' \equiv \lambda h. Y \oplus P h$
 $Z' \equiv \lambda h. Z \oplus P h$

have *xor-sum*: $W' h \oplus X' h \oplus Y' h \oplus Z' h = 0$ **for** h
by (*simp add: W'-X'-Y'-Z'-def commute left-commute*)

have *bij*:

bij-betw

$(\lambda(a, b, c, d, e, f). a(\text{Suc } 0 := b(u := d, v := e), 0 := c(v := f)))$
 $((?N - \{\text{Suc } 0, 0\} \rightarrow_E D \rightarrow_E R) \times$
 $(D - \{u, v\} \rightarrow_E R) \times$
 $(D - \{v\} \rightarrow_E R) \times$
 $R \times R \times R)$
 $?H$

using *assms* **by** (*intro bij-betw-funcsetE.remove2-remove2'remove1*) *simp-all*

let *?destructure* =

$(\text{pair-pmf } (\text{pmf-of-set } (?N - \{\text{Suc } 0, 0\} \rightarrow_E D \rightarrow_E R)))$
 $(\text{pair-pmf } (\text{pmf-of-set } (D - \{u, v\} \rightarrow_E R)))$
 $(\text{pair-pmf } (\text{pmf-of-set } (D - \{v\} \rightarrow_E R)))$
 $(\text{pmf-of-set } (R \times R \times R)))))$

have *asmap*: $?tb-S =$

map-pmf

$(\lambda(a, b, c, d, e, f). a(1 := b(u := d, v := e), 0 := c(v := f)))$
 $?destructure$

unfolding *tb-S-def*

by (*simp add: bij flip: pmf-of-set-prod-eq map-pmf-of-set-bij-betw*
del: PiE-UNIV UNIV-Times-UNIV)

have *?lhs* =

(measure-pmf.prob ?destructure

(Sigma UNIV ($\lambda h.$

Sigma UNIV ($\lambda I1.$

Sigma UNIV ($\lambda I0.$

$\{(u1, v1, v0).$

$I0 u \oplus u1 \oplus P h = W \wedge$

$I0 u \oplus v1 \oplus P h = X \wedge$

$v0 \oplus u1 \oplus P h = Y \wedge$

$v0 \oplus v1 \oplus P h = Z\})\})\})\})\})$

unfolding *asmap measure-map-pmf*

apply (*clarsimp intro!: measure-pmf-cong simp add: assms(4)*)

by (*smt (z3) array-rules(5) assms(2) fun-upd-twist*)

also have $\dots =$

measure-pmf.prob ?destructure

```

(Sigma UNIV (λh.
  Sigma UNIV (λI1.
    Sigma UNIV (λI0.
      {(u1,v1,v0).
        I0 u ⊕ u1 = W' h ∧
        I0 u ⊕ v1 = X' h ∧
        v0 ⊕ u1 = Y' h ∧
        v0 ⊕ v1 = Z' h }))))
apply (clarsimp intro!: measure-pmf-cong)
unfolding W'-X'-Y'-Z'-def
by (smt (verit, best) assoc right-neutral self-inv)

also have ... =
  measure-pmf.prob ?destructure
  (Sigma UNIV (λh.
    Sigma UNIV (λI1.
      Sigma UNIV (λI0.
        {(I0 u ⊕ W' h,
          I0 u ⊕ X' h,
          I0 u ⊕ W' h ⊕ Y' h }))))
  apply (clarsimp intro!: measure-pmf-cong)
  by (smt (z3) xor-sum assoc abel-group-xor-class.eq-iff)

also have ... = ?rhs
apply (subst measure-pmf-prob-dependent-product-bound-eq'[where r = ?rhs])+
by simp-all

finally show ?thesis .
qed

lemma not-four-indep:
  assumes CARD('n) > 1 — if n = 1, then tabulation hashing is 4-independent
  card D ≥ 2 — if D = or D = x, then it is impossible to obtain 4 distinct
  keys
  card R > 1 — tabulation hashing is 4-independent otherwise
  shows ¬ prob-space.k-wise-indep-vars (tb-S n) 4 (λ-. count-space R) tb-H D^n
proof —
  let ?tb-S = tb-S n
  fix f :: ('fragment, 'n) vec ⇒ ('result, 'q) vec

  obtain u v :: 'fragment where pq [simp]: u ≠ v by (meson assms(2) UNIV-I
  card-2-iff' ex-card)
  define u-n where u-n ≡ replicate (CARD('n) - 2) u

  let ?w = u # u # u-n
  let ?x = u # v # u-n
  let ?y = v # u # u-n
  let ?z = v # v # u-n

```

define $w\ x\ y\ z :: ('fragment, 'n)\ \text{vec}$ **where** $wxyz$:
 $w = \text{vec-of-list } ?w\ x = \text{vec-of-list } ?x\ y = \text{vec-of-list } ?y\ z = \text{vec-of-list } ?z$
define J **where** $[simp]$: $J = \{w, x, y, z\}$

have N : $a \# b \# u \cdot n \in \{xs.\ \text{length } xs = \text{CARD}('n)\}$ **for** $a\ b$
unfolding $u \cdot n\text{-def}$ **using** $\text{assms}(1)$ **by** simp

have $\text{distinct } [simp]$: $w \neq x\ w \neq y\ w \neq z\ x \neq y\ x \neq z\ y \neq z$
using N **by** $(\text{simp-all add: } wxyz\ \text{vec-of-list-inject})$

have $[simp]$: $\text{card } J = 4$ **by** simp

have $[simp]$:
 $w\ \$\ \text{to-index } 0 = u\ w\ \$\ \text{to-index } (\text{Suc } 0) = u$
 $x\ \$\ \text{to-index } 0 = u\ x\ \$\ \text{to-index } (\text{Suc } 0) = v$
 $y\ \$\ \text{to-index } 0 = v\ y\ \$\ \text{to-index } (\text{Suc } 0) = u$
 $z\ \$\ \text{to-index } 0 = v\ z\ \$\ \text{to-index } (\text{Suc } 0) = v$
using N **by** $(\text{simp-all add: } wxyz\ \text{nth-vec.rep-eq vec-of-list-inverse to-from-index})$

have rw :
 $\text{xor-fold } (\text{map } (\lambda i.\ h\ i\ ((a \# b \# u \cdot n)! \text{from-index } (\text{to-index } i :: 'n)))\ [0..<\text{CARD}('n)])\ [0..<\text{CARD}('n)])$

=

$h\ 0\ a \oplus$
 $h\ (\text{Suc } 0)\ b \oplus$
 $\text{xor-fold } (\text{map } (\lambda i.\ h\ i\ u)\ [\text{Suc } (\text{Suc } 0)..<\text{CARD}('n)])$
 $(\text{is xor-fold } ?a = -)\ \text{for } a\ b\ h$

proof –

have $?a = h\ 0\ a \# h\ (\text{Suc } 0)\ b \# \text{map } (\lambda i.\ h\ i\ u)\ [\text{Suc } (\text{Suc } 0)..<\text{CARD}('n)]$
using $\text{assms}(1)$ **by** $(\text{auto simp: upt-conv-Cons to-from-index } u \cdot n\text{-def})$

then show $?thesis$ **by** simp

qed

have $\neg \text{prob-space.indep-vars } ?tb\text{-}S\ (\lambda \cdot.\ \text{count-space UNIV})\ tb\text{-}H\ J$

proof –

have $\text{measure-pmf.prob } ?tb\text{-}S\ \{h.\ \forall \text{key} \in J.\ tb\text{-}H\ \text{key } h = f\ \text{key}\}$
 $\neq 1 / (\text{card } R * \text{card } R * \text{card } R * \text{card } R)\ (\text{is } ?lhs \neq \dots)$

proof $(\text{cases } f\ w \oplus f\ x \oplus f\ y \oplus f\ z = 0)$

case True

In this case, we want to show that the probability is $\frac{1}{R^3}$ and not $\frac{1}{R^4}$

let $?a = \lambda h.\ \text{xor-fold } (\text{map } (\lambda i.\ h\ i\ u)\ [\text{Suc } (\text{Suc } 0)..<\text{CARD}('n)])$

have $?lhs = \text{measure-pmf.prob } ?tb\text{-}S\ \{h.$

$h\ 0\ u \oplus h\ (\text{Suc } 0)\ u \oplus ?a\ h = f\ w \wedge$

$h\ 0\ u \oplus h\ (\text{Suc } 0)\ v \oplus ?a\ h = f\ x \wedge$

```

      h 0 v ⊕ h (Suc 0) u ⊕ ?a h = f y ∧
      h 0 v ⊕ h (Suc 0) v ⊕ ?a h = f z}
    using N by (simp add: tb-H-def wxyz nth-vec.rep-eq vec-of-list-inverse rw
to-from-index)

    also have ... = 1 / real (card R * card R * card R)
      using assms(1) True by (auto intro!: prob-4-conv arg-cong[where f =
xor-fold])

    finally show ?thesis using assms(3) by simp
  next
    case False

    have False if tb-H w h = f w tb-H x h = f x tb-H y h = f y tb-H z h = f z for
h
    proof -
      have tb-H w h ⊕ tb-H x h ⊕ tb-H y h ⊕ tb-H z h = 0
        using N by (simp add: tb-H-def wxyz nth-vec.rep-eq vec-of-list-inverse rw
to-from-index ac-simps)
      then show False using that False by simp
    qed
    then have ?lhs = 0 by (auto simp: measure-pmf-zero-iff)
    then show ?thesis by simp
  qed

  moreover have ... = (∏ key∈J. measure-pmf.prob ?tb-S {h. tb-H key h = f
key})
    using prob-tb-H-bin by fastforce

  ultimately show ?thesis
    by (intro measure-pmf.indep-vars-pmf-contrapos[where P = λ x y. y = f x])
simp-all
  qed

  then show ?thesis by (force simp: prob-space.k-wise-indep-vars-def prob-space-measure-pmf)
qed

theorem not-four-universal:
  assumes CARD('n) > 1 — if n = 1, then tabulation hashing is 4-independent
    card D ≥ 2 — if D = or D = x, then it is impossible to obtain 4 distinct
keys
    card R > 1 — tabulation hashing is 4-independent otherwise
  shows ¬ prob-space.k-universal (tb-S n) 4 tb-H Dn R
  using not-four-indep[OF assms]
  by (simp add: prob-space.k-universal-def measure-pmf.prob-space-axioms)

end

```

4 Appendix

4.1 Utility

context *prob-space*
begin

context
 fixes $K\ D\ k\ p$
 assumes $assms'$: $K \subseteq D$ $card\ K \leq k$ $finite\ K$ $M = measure-pmf\ p$
begin

lemma *k-wise-indep-vars-probD*:
 assumes *k-wise-indep-vars* k ($\lambda\cdot. count-space\ UNIV$) $X\ D$
 shows $prob\ \{\omega. \forall x \in K. P\ x\ (X\ x\ \omega)\} = (\prod x \in K. prob\ \{\omega. P\ x\ (X\ x\ \omega)\})$
 using $assms'$ *assms* **unfolding** *k-wise-indep-vars-def* **by** (*subst split-indep-events*[*of*
 - $X\ K$]) *auto*

lemma
 assumes *k-universal* $k\ X\ D\ R$
 shows
 k-universal-probD:
 $\bigwedge P. prob\ \{\omega. \forall x \in K. P\ x\ (X\ x\ \omega)\} = (\prod x \in K. prob\ \{\omega. P\ x\ (X\ x\ \omega)\})$
 (is *PROP ?thesis-0*) **and**
 k-universal-probD':
 $prob\ \{\omega. \forall x \in K. X\ x\ \omega = P\ x\} = (\prod x \in K. indicat-real\ R\ (P\ x) / real\ (card$
 $R))$
 (is *?thesis-1*)
proof –
 from $assms$ *k-wise-indep-vars-probD* **show** *PROP ?thesis-0*
 unfolding *k-universal-def* **by** *fastforce*
 from *this*[*of* $\lambda\ x\ y. y = P\ x$] *uniform-onD*[**where** $A = R$ **and** $B = \{P\ -\}$] $assms'$
 $assms$
show *?thesis-1*
 unfolding *k-universal-def*
 by (*auto intro!*: *prod.cong split: split-indicator simp: prob-space-measure-pmf*)
qed

end
end

locale *simple-tabulation-hashing'* = *simple-tabulation-hashing* +
 assumes r : $r = TYPE('result::\{finite,abel-group-xor\})$
 assumes q : $q = TYPE('q::index1)$
 assumes d : $d = TYPE('fragment::finite)$
begin

end

4.2 Alternate proof of non-4-universal

4.2.1 Preliminaries

lemma (in *prob-space*) *finite-if-k-universal*:

assumes *k-universal* *k* *X* *D* *R* *D* $\neq \{\}$

shows *finite* *R*

using *assms* **by** (*auto simp add: k-universal-def uniform-on-def*)

lemma *xor-sum-uniform*:

fixes $\alpha :: 'a :: \{finite, abel-group-xor\}$

defines $R \equiv UNIV$

assumes [*simp*]: *finite* *J* $J \neq \{\}$

shows *measure-pmf.prob* (*pmf-of-set* ($J \rightarrow_E R$)) $\{f. (\bigoplus_{x \in J}. f\ x) = \alpha\} = 1 /$
real *CARD*('a)

(**is** ?*lhs* = ?*rhs*)

proof –

note *finite-PiE*[*simp*] *PiE-eq-empty-iff*[*simp*] *measure-pmf-single*[*simp*]

obtain *a* **where** *a*: $a \in J$ **and** *bij*: *bij-betw* ($\lambda(f, v). f(a := v)$) ($(J - \{a\} \rightarrow_E R) \times R$) ($J \rightarrow_E R$)

using *bij-betw-funcsetE.bij-PiE-remove-point*[*of - J R*] *assms*(3) **by** *blast*

have [*simp*]: $R \neq \{\}$ **using** *assms*(1) **by** *blast*

have [*simp*]: *set-pmf* (*pmf-of-set* ($J \rightarrow_E R$)) = $J \rightarrow_E R$

set-pmf (*pmf-of-set* ($J - \{a\} \rightarrow_E R$)) = $J - \{a\} \rightarrow_E R$

set-pmf (*pmf-of-set* *R*) = *R*

by (*auto intro!: set-pmf-of-set*)

let ?*destructure* =

(*pair-pmf* (*pmf-of-set* ($J - \{a\} \rightarrow_E R$))

(*pmf-of-set* *R*))

have *asmap*: *pmf-of-set* ($J \rightarrow_E R$) = *map-pmf* ($\lambda(f, v). f(a := v)$) ?*destructure*

using *assms* *bij* **by** (*simp flip: pmf-of-set-prod-eq map-pmf-of-set-bij-betw*

del: PiE-UNIV UNIV-Times-UNIV)

have ?*lhs* = *measure-pmf.prob* (*pmf-of-set* ($J \rightarrow_E R$)) $\{f \in J \rightarrow_E R. (\bigoplus_{x \in J}. f\ x) = \alpha\}$

apply (*subst measure-Int-set-pmf[symmetric]*)

by (*auto intro!: arg-cong[where ?f = measure-pmf.prob -]*)

also have ... =

measure-pmf.prob ?*destructure*

(*Sigma* ($J - \{a\} \rightarrow_E R$) ($\lambda f. \{x. x \oplus (\bigoplus_{x \in J - \{a\}}. f\ x) = \alpha\}$))

using *PiE-fun-upd a* **by** (*auto simp add: asmap xor-sum.remove[where ?x =*

```

a, OF assms(2) a]
      intro!: measure-pmf-cong)

also have ... =
  measure-pmf.prob ?destructure
    (Sigma (J - {a} →E R) (λf. {α ⊕ (⊕x∈J- {a}. f x)}))
  by (fastforce intro: measure-pmf-cong simp add: ac-simps)

also have ... = ?rhs
  by (simp add: assms(1) measure-pmf-prob-dependent-product-bound-eq' measure-pmf-of-set)

finally show ?lhs = ?rhs .
qed

lemma k-universal-conv-pmf-of-set:
  defines R ≡ UNIV
  assumes prob-space.k-universal (measure-pmf p) k X D R
    and J ⊆ D card J ≤ k finite J D ≠ {}
  shows map-pmf (λω. λx∈J. X x ω) p = pmf-of-set (J →E R)
proof (intro pmf-eqI)
  fix P
  from assms(2) have fR: finite R by (simp add: assms(6) measure-pmf.finite-if-k-universal)

  {
    assume P: P ∈ J →E R

    have pmf (map-pmf (λω. λx∈J. X x ω) p) P = measure-pmf.prob p {ω. (λx∈J.
X x ω) = P}
      by (simp add: pmf-map vimage-def)

    also have ... = measure-pmf.prob p {ω. ∀ x∈J. X x ω = P x}
      using P by (fastforce intro: arg-cong[where ?f = measure-pmf.prob -])

    also have ... = (∏x∈J. indicat-real R (P x) / real (card R))
      using assms by (simp add: measure-pmf.k-universal-probD')

    also have ... = (1 / real (card R)) ^ card J
      apply (subst prod.cong[where ?B = J and ?h = λ-. 1 / real (card R)]; simp)
      by (metis P indicator-simps(1) PiE-E)

    also have ... = indicat-real (J →E R) P / real (card (J →E R))
      by (simp add: P assms(5) card-funcsetE power-one-over)

    also have ... = pmf (pmf-of-set (J →E R)) P
      using P by (subst pmf-of-set) (auto simp add: assms(5) fR finite-PiE)
  }

```

```

    finally have pmf (map-pmf (λω. λx∈J. X x ω) p) P = pmf (pmf-of-set (J
→E R)) P .
  }

  moreover {
    assume P: P ∉ J →E R

    have pmf (map-pmf (λω. λx∈J. X x ω) p) P = measure-pmf.prob p {ω. (λx∈J.
X x ω) = P}
      by (simp add: pmf-map vimage-def)

    also have ... = 0
    proof (subst measure-pmf-zero-iff)
      have {ω. (λx∈J. X x ω) = P} = {}
        using P assms(1)
      by (auto simp add: measure-pmf.prob-space-axioms prob-space.k-universal-def)

    then show set-pmf p ∩ {ω. (λx∈J. X x ω) = P} = {} by blast
  qed

  also have ... = pmf (pmf-of-set (J →E R)) P
    apply (rule sym)
    apply (subst pmf-eq-0-set-pmf)
    apply (subst set-pmf-of-set)
    subgoal by (simp add: PiE-eq-empty-iff assms(1))
    subgoal by (simp add: assms(5) fR finite-PiE)
    using P .

    finally have pmf (map-pmf (λω. λx∈J. X x ω) p) P = pmf (pmf-of-set (J
→E R)) P .
  }

  ultimately show pmf (map-pmf (λω. λx∈J. X x ω) p) P = pmf (pmf-of-set (J
→E R)) P by blast
qed

lemma k-universal-imp-xor-sum-uniform:
  fixes α :: 'a :: {finite,abel-group-xor}
  defines R ≡ UNIV
  assumes prob-space.k-universal (measure-pmf p) k X D R
    and J ⊆ D card J ≤ k finite J J ≠ {}
  shows measure-pmf.prob p {ω. (⊕ x∈J. X x ω) = α} = 1 / real (card R)
    (is ?lhs = ?rhs)
proof -
  have ?lhs = measure-pmf.prob (map-pmf (λω. λx∈J. X x ω) p) {f. (⊕ x∈J. f
x) = α} by simp
  also have ... = measure-pmf.prob (pmf-of-set (J →E R)) {f. (⊕ x∈J. f x) =
α}
    using assms by (intro arg-cong2[where ?f = measure-pmf.prob])

```

$(\text{auto intro!}: k\text{-universal-conv-pmf-of-set})$
also have $\dots = ?rhs$ **using** $assms$ **by** $(\text{auto intro!}: xor\text{-sum-uniform})$
finally show $?lhs = ?rhs$.
qed

4.2.2 Proofs

context *simple-tabulation-hashing* **begin**

lemma *k-wise-indep-vars-imp-xor-sum-uniform*:

assumes $prob\text{-space}.k\text{-wise-indep-vars} (tb\text{-}S\ n) \ k \ (\lambda\cdot. count\text{-space}\ R) \ tb\text{-}H\ D^n$
 $J \neq \{\}$ $card\ J \leq k$
shows $measure\text{-pmf}.prob (tb\text{-}S\ n) \ \{h. (\bigoplus_{x \in J}. tb\text{-}H\ x\ h) = \alpha\} = 1 / real (card\ R)$
using $assms$
by $(\text{auto}$
 $\text{intro: } k\text{-universal-imp-xor-sum-uniform}[\text{where } ?k = k \text{ and } ?D = D^n]$
 $\text{simp: } prob\text{-space}.k\text{-universal-def } prob\text{-space-measure-pmf uniform})$

lemma *not-k-wise-indep-vars-by-xor-sum*:

assumes $measure\text{-pmf}.prob (tb\text{-}S\ n) \ \{h. (\bigoplus_{x \in J}. tb\text{-}H\ x\ h) = \alpha\} \neq 1 / real (card\ R)$
 $J \neq \{\}$ $card\ J \leq k$
shows $\neg prob\text{-space}.k\text{-wise-indep-vars} (tb\text{-}S\ n) \ k \ (\lambda\cdot. count\text{-space}\ R) \ tb\text{-}H\ D^n$
using $assms(1)$ **apply** $(rule\ contrapos\text{-}nn)$
using $assms$ **by** $(simp\ add: k\text{-wise-indep-vars-imp-xor-sum-uniform})$

lemma *not-four-indep'*:

assumes $CARD('n) > 1$ — if $n = 1$, then tabulation hashing is 4-independent
 $card\ D \geq 2$ — if $D = x$ or $D = x$, then it is impossible to obtain 4 distinct
 keys
 $card\ R > 1$ — tabulation hashing is 4-independent otherwise
shows $\neg prob\text{-space}.k\text{-wise-indep-vars} (tb\text{-}S\ n) \ 4 \ (\lambda\cdot. count\text{-space}\ R) \ tb\text{-}H\ D^n$

proof —

obtain $u\ v :: 'fragment$ **where** $pq [simp]: u \neq v$ **by** $(meson\ assms(2)\ UNIV\text{-}I\ card\text{-}2\text{-iff'}\ ex\text{-}card)$

define $u\text{-}n$ **where** $u\text{-}n \equiv replicate\ (CARD('n) - 2)\ u$

let $?w = u \# u \# u\text{-}n$

let $?x = u \# v \# u\text{-}n$

let $?y = v \# u \# u\text{-}n$

let $?z = v \# v \# u\text{-}n$

define $w\ x\ y\ z :: ('fragment, 'n) vec$ **where** $wxyz$:

$w = vec\text{-of-list}\ ?w\ x = vec\text{-of-list}\ ?x\ y = vec\text{-of-list}\ ?y\ z = vec\text{-of-list}\ ?z$

define J **where** $[simp]: J = \{w, x, y, z\}$

have $N: a \# b \# u\text{-}n \in \{xs. length\ xs = CARD('n)\}$ **for** $a\ b$

using $assms(1)$ **by** $(simp\ add: u\text{-}n\text{-}def)$

```

have [simp]:  $w \neq x \wedge w \neq y \wedge w \neq z \wedge x \neq y \wedge x \neq z \wedge y \neq z$ 
using  $N$  by (simp-all add: vec-of-list-inject wxyz)

have [simp]:  $\text{card } J = 4$  by simp

have rw:
  xor-fold (map ( $\lambda i. h \ i \ ((a \ \# \ b \ \# \ u-n) ! \text{from-index } (\text{to-index } i :: 'n))$ )) [0.. $\text{CARD}('n)$ ])
=
  h 0 a  $\oplus$ 
  h (Suc 0) b  $\oplus$ 
  xor-fold (map ( $\lambda i. h \ i \ u$ ) [Suc (Suc 0).. $\text{CARD}('n)$ ])
  (is xor-fold ?a = -) for a b h
proof -
  have ?a = h 0 a  $\#$  h (Suc 0) b  $\#$  map ( $\lambda i. h \ i \ u$ ) [Suc (Suc 0).. $\text{CARD}('n)$ ]
  using assms(1) by (auto simp: upt-conv-Cons to-from-index u-n-def)
  then show ?thesis by simp
qed

from  $N$  have measure-pmf.prob (tb-S n) { $h. (\bigoplus_{x \in J. \text{tb-H } x \ h}) = 0$ } = 1
apply (simp add: tb-H-def nth-vec.rep-eq del: eq-iff)
by (simp add: wxyz nth-vec.rep-eq vec-of-list-inverse rw ac-simps)

then show ?thesis
using assms(3) by (intro not-k-wise-indep-vars-by-xor-sum[of J 0]) simp-all
qed
end
end

```

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