

# Synthetic Completeness

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# Abstract

In this work, I provide an abstract framework for proving the completeness of a logical calculus using the synthetic method. The synthetic method is based on maximal consistent saturated sets (MCSs). A set of formulas is consistent (with respect to the calculus) when we cannot derive a contradiction from it. It is maximally consistent when it contains every formula that is consistent with it. For logics where it is relevant, it is saturated when it contains a witness for every existential formula. To prove completeness using these maximal consistent saturated sets, we prove a truth lemma: every formula in an MCS has a satisfying model. Here, Hintikka sets provide a useful stepping stone. These can be seen as characterizations of the MCSs based on simple subformula conditions rather than via the calculus. We then prove that every Hintikka set gives rise to a satisfying model and that MCSs are Hintikka sets. Now, assume a valid formula cannot be derived. Then its negation must be consistent and therefore satisfiable. This contradicts validity and the original formula must be derivable.

To start, I build maximal consistent saturated sets for any logic that satisfies a small set of assumptions. I do this using a transfinite version of Lindenbaum's lemma, which allows me to support languages of any cardinality. I then prove useful abstract results about derivations and refutations as they relate to MCSs. Finally, I show how Hintikka sets can be derived from the logic's semantics, outlining one way to prove the required truth lemma.

To demonstrate the versatility of the framework, I instantiate it with five different examples. The formalization contains soundness and completeness results for: a propositional tableau calculus, a propositional sequent calculus, an axiomatic system for modal logic, a labelled natural deduction system for hybrid logic and a natural deduction system for first-order logic. The tableau example uses custom Hintikka sets based on the calculus, but the other four examples derive them from the semantics in the style of the framework. The hybrid and first-order logic examples rely on saturated MCSs. This places requirements on the cardinalities of their languages to ensure that there are enough witnesses available. In both cases, the type of witnesses must be infinite and have cardinality at least that of the type of propositional/predicate symbols.

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# Chapter 1

## Maximal Consistent Sets

**theory** *Maximal-Consistent-Sets* **imports** *HOL-Cardinals.Cardinal-Order-Relation* **begin**

### 1.1 Utility

**lemma** *Set-Diff-Un*:  $\langle X - (Y \cup Z) = X - Y - Z \rangle$   
**by** *blast*

**lemma** *infinite-Diff-fin-Un*:  $\langle \text{infinite } (X - Y) \implies \text{finite } Z \implies \text{infinite } (X - (Z \cup Y)) \rangle$   
**by** (*simp add: Set-Diff-Un Un-commute*)

**lemma** *infinite-Diff-subset*:  $\langle \text{infinite } (X - A) \implies B \subseteq A \implies \text{infinite } (X - B) \rangle$   
**by** (*meson Diff-cancel Diff-eq-empty-iff Diff-mono infinite-super*)

**lemma** *finite-bound*:  
**fixes**  $X :: \langle 'a :: \text{size} \rangle \text{ set}$   
**assumes**  $\langle \text{finite } X \rangle \langle X \neq \{\} \rangle$   
**shows**  $\langle \exists x \in X. \forall y \in X. \text{size } y \leq \text{size } x \rangle$   
**using** *assms* **by** (*induct X rule: finite-induct*) *force+*

**lemma** *infinite-UNIV-size*:  
**fixes**  $f :: \langle 'a :: \text{size} \rangle \Rightarrow 'a$   
**assumes**  $\langle \bigwedge x. \text{size } x < \text{size } (f x) \rangle$   
**shows**  $\langle \text{infinite } (\text{UNIV} :: 'a \text{ set}) \rangle$

**proof**  
**assume**  $\langle \text{finite } (\text{UNIV} :: 'a \text{ set}) \rangle$   
**then obtain**  $x :: 'a$  **where**  $\langle \forall y :: 'a. \text{size } y \leq \text{size } x \rangle$   
**using** *finite-bound* **by** *fastforce*  
**moreover have**  $\langle \text{size } x < \text{size } (f x) \rangle$   
**using** *assms* .  
**ultimately show** *False*  
**using** *leD* **by** *blast*

**qed**

**lemma** *split-finite-sets*:  
**assumes**  $\langle \text{finite } A \rangle \langle \text{finite } B \rangle$   
**assumes**  $\langle A \subseteq B \cup S \rangle$   
**shows**  $\langle \exists B' C. \text{finite } C \wedge (B' \cup C = A) \wedge B' \subseteq B \wedge C \subseteq S \rangle$   
**using** *assms subset-UnE* **by** *fastforce*

**lemma** *split-list*:

**assumes**  $\langle \text{set } A \subseteq \text{set } B \cup S \rangle$   
**shows**  $\langle \exists B' C. \text{set } (B' @ C) = \text{set } A \wedge \text{set } B' \subseteq \text{set } B \wedge \text{set } C \subseteq S \rangle$   
**using** *assms split-finite-sets* [where  $A = \langle \text{set } A \rangle$  and  $B = \langle \text{set } B \rangle$  and  $S = S$ ]  
**by** (*metis List.finite-set finite-Un finite-list set-append*)

**lemma** *struct-split*:

**assumes**  $\langle \bigwedge A B. P A \implies \text{set } A \subseteq \text{set } B \implies P B \rangle \langle P A \rangle \langle \text{set } A \subseteq \text{set } B \cup X \rangle$   
**shows**  $\langle \exists C. \text{set } C \subseteq X \wedge P (B @ C) \rangle$

**proof** –

**obtain**  $B' C$  **where**  $C: \langle \text{set } (B' @ C) = \text{set } A \rangle \langle \text{set } B' \subseteq \text{set } B \rangle \langle \text{set } C \subseteq X \rangle$

**using** *assms(3) split-list* **by** *meson*

**then have**  $\langle P (B @ C) \rangle$

**using** *assms(1)* [where  $B = \langle B @ C \rangle$ ] *assms(2)* **by** *fastforce*

**then show** *?thesis*

**using**  $C$  **by** *blast*

**qed**

**context** *wo-rel* **begin**

**lemma** *underS-bound*:  $\langle a \in \text{underS } n \implies b \in \text{underS } n \implies a \in \text{under } b \vee b \in \text{under } a \rangle$

**by** (*meson BNF-Least-Fixpoint.underS-Field REFL Reft-under-in in-mono under-ofilter ofilter-linord*)

**lemma** *finite-underS-bound*:

**assumes**  $\langle \text{finite } X \rangle \langle X \subseteq \text{underS } n \rangle \langle X \neq \{\} \rangle$

**shows**  $\langle \exists a \in X. \forall b \in X. b \in \text{under } a \rangle$

**using** *assms*

**proof** (*induct X rule: finite-induct*)

**case** (*insert x F*)

**then show** *?case*

**proof** (*cases*  $\langle F = \{\} \rangle$ )

**case** *True*

**then show** *?thesis*

**using** *insert underS-bound* **by** *fast*

**next**

**case** *False*

**then show** *?thesis*

**using** *insert underS-bound* **by** (*metis TRANS insert-absorb insert-iff insert-subset under-trans*)

**qed**

**qed** *simp*

**lemma** *finite-bound-under*:

**assumes**  $\langle \text{finite } p \rangle \langle p \subseteq (\bigcup n \in \text{Field } r. f n) \rangle$

**shows**  $\langle \exists m. p \subseteq (\bigcup n \in \text{under } m. f n) \rangle$

**using** *assms*

**proof** (*induct rule: finite-induct*)

**case** (*insert x p*)

**then obtain**  $m$  **where**  $\langle p \subseteq (\bigcup n \in \text{under } m. f n) \rangle$

**by** *fast*

**moreover obtain**  $m'$  **where**  $\langle x \in f m' \rangle \langle m' \in \text{Field } r \rangle$

**using** *insert(4)* **by** *blast*

**then have**  $\langle x \in (\bigcup n \in \text{under } m'. f n) \rangle$

**using** *REFL Reft-under-in* **by** *fast*

**ultimately have**  $\langle \{x\} \cup p \subseteq (\bigcup n \in \text{under } m. f n) \cup (\bigcup n \in \text{under } m'. f n) \rangle$

**by** *fast*

**then show** *?case*

**by** (*metis SUP-union Un-commute insert-is-Un sup.absorb-iff2 ofilter-linord under-ofilter*)

qed simp

lemma underS-trans:  $\langle a \in \text{underS } b \implies b \in \text{underS } c \implies a \in \text{underS } c \rangle$   
by (meson ANTISYM TRANS underS-underS-trans)

end

lemma card-of-infinite-smaller-Union:

assumes  $\langle \forall x. |f x| <_o |X| \rangle \langle \text{infinite } X \rangle$

shows  $\langle |\bigcup x \in X. f x| \leq_o |X| \rangle$

using assms by (metis (full-types) Field-card-of card-of-UNION-ordLeq-infinite  
card-of-well-order-on ordLeq-iff-ordLess-or-ordIso ordLess-or-ordLeq)

lemma card-of-params-marker-lists:

assumes  $\langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle \langle |UNIV :: 'm \text{ set}| \leq_o |UNIV :: \text{nat set}| \rangle$

shows  $\langle |UNIV :: ('i + 'm \times \text{nat}) \text{ list set}| \leq_o |UNIV :: 'i \text{ set}| \rangle$

proof -

have  $\langle (UNIV :: 'm \text{ set}) \neq \{\} \rangle$

by simp

then have  $\langle |UNIV :: 'm \text{ set}| *c |UNIV :: \text{nat set}| \leq_o |UNIV :: \text{nat set}| \rangle$

using assms(2) by (simp add: cfinite-def cprod-cfinite-bound ordLess-imp-ordLeq)

then have  $\langle |UNIV :: ('m \times \text{nat}) \text{ set}| \leq_o |UNIV :: \text{nat set}| \rangle$

unfolding cprod-def by simp

moreover have  $\langle |UNIV :: \text{nat set}| \leq_o |UNIV :: 'i \text{ set}| \rangle$

using assms infinite-iff-card-of-nat by blast

ultimately have  $\langle |UNIV :: ('m \times \text{nat}) \text{ set}| \leq_o |UNIV :: 'i \text{ set}| \rangle$

using ordLeq-transitive by blast

moreover have  $\langle \text{Cfinite } |UNIV :: 'i \text{ set}| \rangle$

using assms by (simp add: cfinite-def)

ultimately have  $\langle |UNIV :: 'i \text{ set}| +c |UNIV :: ('m \times \text{nat}) \text{ set}| =_o |UNIV :: 'i \text{ set}| \rangle$

using csum-absorb1 by blast

then have  $\langle |UNIV :: ('i + 'm \times \text{nat}) \text{ set}| =_o |UNIV :: 'i \text{ set}| \rangle$

unfolding csum-def by simp

then have  $\langle |UNIV :: ('i + 'm \times \text{nat}) \text{ set}| \leq_o |UNIV :: 'i \text{ set}| \rangle$

using ordIso-iff-ordLeq by blast

moreover have  $\langle \text{infinite } (UNIV :: ('i + 'm \times \text{nat}) \text{ set}) \rangle$

using assms by simp

then have  $\langle |UNIV :: ('i + 'm \times \text{nat}) \text{ list set}| =_o |UNIV :: ('i + 'm \times \text{nat}) \text{ set}| \rangle$

by (metis card-of-lists-infinite lists-UNIV)

ultimately have  $\langle |UNIV :: ('i + 'm \times \text{nat}) \text{ list set}| \leq_o |UNIV :: 'i \text{ set}| \rangle$

using ordIso-ordLeq-trans by blast

then show ?thesis

using ordLeq-transitive by blast

qed

## 1.2 Base Locale

locale MCS-Base =

fixes consistent ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$

assumes consistent-hereditary:  $\langle \bigwedge S S'. \text{consistent } S \implies S' \subseteq S \implies \text{consistent } S' \rangle$

and inconsistent-finite:  $\langle \bigwedge S. \neg \text{consistent } S \implies \exists S' \subseteq S. \text{finite } S' \wedge \neg \text{consistent } S' \rangle$

begin

definition maximal ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  where

$\langle \text{maximal } S \equiv \forall p. \text{consistent } (\{p\} \cup S) \longrightarrow p \in S \rangle$

end

### 1.3 Ordinal Locale

**locale** *MCS-Lim-Ord* = *MCS-Base* +  
  **fixes** *r* ::  $\langle 'a \text{ rel} \rangle$   
  **assumes** *WELL*:  $\langle \text{Well-order } r \rangle$   
    **and** *Cinfinite-r*:  $\langle \text{Cinfinite } r \rangle$   
  **fixes** *params* ::  $\langle 'a \Rightarrow 'i \text{ set} \rangle$   
    **and** *witness* ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$   
  **assumes** *finite-params*:  $\langle \bigwedge p. \text{finite } (\text{params } p) \rangle$   
    **and** *finite-witness-params*:  $\langle \bigwedge p S. \text{finite } (\bigcup q \in \text{witness } p S. \text{params } q) \rangle$   
    **and** *consistent-witness*:  $\langle \bigwedge p S. \text{consistent } (\{p\} \cup S) \Rightarrow \text{infinite } (\text{UNIV} - (\bigcup q \in S. \text{params } q)) \Rightarrow \text{consistent } (\text{witness } p S \cup \{p\} \cup S) \rangle$   
**begin**

**lemma** *wo-rel-r*:  $\langle \text{wo-rel } r \rangle$   
  **by** (*simp add: WELL wo-rel.intro*)

**lemma** *isLimOrd-r*:  $\langle \text{isLimOrd } r \rangle$   
  **using** *Cinfinite-r card-order-infinite-isLimOrd cinfinite-def* **by** *blast*

#### 1.3.1 Lindenbaum Extension

**abbreviation** *paramss* ::  $\langle 'a \text{ set} \Rightarrow 'i \text{ set} \rangle$  **where**  
   $\langle \text{paramss } S \equiv \bigcup p \in S. \text{params } p \rangle$

**definition** *extendS* ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$  **where**  
   $\langle \text{extendS } n \text{ prev} \equiv \text{if consistent } (\{n\} \cup \text{prev}) \text{ then witness } n \text{ prev} \cup \{n\} \cup \text{prev} \text{ else prev} \rangle$

**definition** *extendL* ::  $\langle ('a \Rightarrow 'a \text{ set}) \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$  **where**  
   $\langle \text{extendL } \text{rec } n \equiv \bigcup m \in \text{underS } r n. \text{rec } m \rangle$

**definition** *extend* ::  $\langle 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \text{ set} \rangle$  **where**  
   $\langle \text{extend } S n \equiv \text{worecZSL } r S \text{ extendS } \text{extendL } n \rangle$

**lemma** *adm-woL-extendL*:  $\langle \text{adm-woL } r \text{ extendL} \rangle$   
  **unfolding** *extendL-def wo-rel.adm-woL-def[OF wo-rel-r]* **by** *blast*

**definition** *Extend* ::  $\langle 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$  **where**  
   $\langle \text{Extend } S \equiv \bigcup n \in \text{Field } r. \text{extend } S n \rangle$

**lemma** *extend-subset*:  $\langle n \in \text{Field } r \Longrightarrow S \subseteq \text{extend } S n \rangle$

**proof** (*induct n rule: wo-rel.well-order-inductZSL[OF wo-rel-r]*)

**case** 1

**then show** *?case*

**unfolding** *extend-def wo-rel.worecZSL-zero[OF wo-rel-r adm-woL-extendL]*

**by** *simp*

**next**

**case** (2 *i*)

**moreover from this have**  $\langle i \in \text{Field } r \rangle$

**by** (*meson FieldI1 wo-rel.succ-in wo-rel-r*)

**ultimately show** *?case*

**unfolding** *extend-def extendS-def wo-rel.worecZSL-succ*[*OF wo-rel-r adm-woL-extendL 2(1)*]  
**by** *auto*  
**next**  
**case** (3 *i*)  
**then show** ?*case*  
**unfolding** *extend-def extendL-def wo-rel.worecZSL-isLim*[*OF wo-rel-r adm-woL-extendL 3(1-2)*]  
**using** *wo-rel.zero-in-Field*[*OF wo-rel-r*] *wo-rel.zero-smallest*[*OF wo-rel-r*]  
**by** (*metis SUP-upper2 emptyE underS-I*)  
**qed**

**lemma** *Extend-subset'*:  $\langle \text{Field } r \neq \{\} \implies S \subseteq \text{Extend } S \rangle$   
**unfolding** *Extend-def* **using** *extend-subset* **by** *fast*

**lemma** *extend-underS*:  $\langle m \in \text{underS } r \ n \implies \text{extend } S \ m \subseteq \text{extend } S \ n \rangle$   
**proof** (*induct n rule: wo-rel.well-order-inductZSL*[*OF wo-rel-r*])

**case** 1  
**then show** ?*case*  
**unfolding** *extend-def* **using** *wo-rel.underS-zero*[*OF wo-rel-r*] **by** *fast*  
**next**  
**case** (2 *i*)  
**moreover from** *this* **have**  $\langle m = i \vee m \in \text{underS } r \ i \rangle$   
**by** (*metis wo-rel.less-succ*[*OF wo-rel-r*] *underS-E underS-I*)  
**ultimately show** ?*case*  
**unfolding** *extend-def extendS-def wo-rel.worecZSL-succ*[*OF wo-rel-r adm-woL-extendL 2(1)*] **by** *auto*  
**next**  
**case** (3 *i*)  
**then show** ?*case*  
**unfolding** *extend-def extendL-def wo-rel.worecZSL-isLim*[*OF wo-rel-r adm-woL-extendL 3(1-2)*]  
**by** *blast*  
**qed**

**lemma** *extend-under*:  $\langle m \in \text{under } r \ n \implies \text{extend } S \ m \subseteq \text{extend } S \ n \rangle$   
**using** *extend-underS wo-rel.supr-greater*[*OF wo-rel-r*] *wo-rel.supr-under*[*OF wo-rel-r*]  
**by** (*metis emptyE in-Above-under set-eq-subset underS-I under-Field under-empty*)

### 1.3.2 Consistency

**lemma** *params-origin*:  
**assumes**  $\langle a \in \text{paramss } (\text{extend } S \ n) \rangle$   
**shows**  $\langle a \in \text{paramss } S \vee (\exists m \in \text{underS } r \ n. a \in \text{paramss } (\text{witness } m \ (\text{extend } S \ m) \cup \{m\})) \rangle$   
**using** *assms*  
**proof** (*induct n rule: wo-rel.well-order-inductZSL*[*OF wo-rel-r*])  
**case** 1  
**then show** ?*case*  
**unfolding** *extend-def wo-rel.worecZSL-zero*[*OF wo-rel-r adm-woL-extendL*]  
**by** *blast*  
**next**  
**case** (2 *i*)  
**then consider** (*here*)  $\langle a \in \text{paramss } (\text{witness } i \ (\text{extend } S \ i) \cup \{i\}) \rangle$  | (*there*)  $\langle a \in \text{paramss } (\text{extend } S \ i) \rangle$   
**using** *wo-rel.worecZSL-succ*[*OF wo-rel-r adm-woL-extendL 2(1)*] *extendS-def extend-def*  
**by** (*metis (no-types, lifting) UN-Un UnE*)  
**then show** ?*case*  
**proof** *cases*  
**case** *here*  
**moreover have**  $\langle i \in \text{Field } r \rangle$

```

    by (meson WELL 2(1) well-order-on-domain wo-rel.succ-in-diff[OF wo-rel-r])
  ultimately show ?thesis
    using 2(1) by (metis Reft-under-in wo-rel.underS-succ[OF wo-rel-r] wo-rel.REFL[OF wo-rel-r])
next
  case there
  then show ?thesis
    using 2 by (metis in-mono underS-subset-under wo-rel.underS-succ[OF wo-rel-r])
next
qed
next
case (3 i)
then obtain j where ⟨j ∈ underS r i⟩ ⟨a ∈ paramss (extend S j)⟩
  unfolding extend-def extendL-def wo-rel.worecZSL-isLim[OF wo-rel-r adm-woL-extendL 3(1-2)]
  by blast
then show ?case
  using 3 wo-rel.underS-trans[OF wo-rel-r, of - j i] by meson
qed

lemma consistent-extend:
  assumes ⟨consistent S⟩ ⟨r ≤o |UNIV - paramss S|⟩
  shows ⟨consistent (extend S n)⟩
  using assms(1)
proof (induct n rule: wo-rel.well-order-inductZSL[OF wo-rel-r])
  case 1
  then show ?case
    unfolding extend-def wo-rel.worecZSL-zero[OF wo-rel-r adm-woL-extendL]
    by blast
next
  case (2 i)
  then have ⟨i ∈ Field r⟩
    by (meson WELL well-order-on-domain wo-rel.succ-in-diff[OF wo-rel-r])
  then have *: ⟨|underS r i| <o r⟩
    using card-of-underS by (simp add: Cinfinites-r)
  let ?paramss = ⟨λk. paramss (witness k (extend S k) ∪ {k})⟩
  let ?X = ⟨⋃ k ∈ underS r i. ?paramss k⟩
  have ⟨|?X| <o r⟩
  proof (cases ⟨finite (underS r i)⟩)
    case True
    then have ⟨finite ?X⟩
      by (simp add: finite-params finite-witness-params)
    then show ?thesis
      using Cinfinites-r assms(2) unfolding cinfinites-def by (simp add: finite-ordLess-infinite)
  next
    case False
    moreover have ⟨∀ k. finite (?paramss k)⟩
      by (simp add: finite-params finite-witness-params)
    then have ⟨∀ k. |?paramss k| <o |underS r i|⟩
      using False by simp
    ultimately have ⟨|?X| ≤o |underS r i|⟩
      using card-of-infinite-smaller-Union by fast
    then show ?thesis
      using * ordLeq-ordLess-trans by blast
  qed
then have ⟨|?X| <o |UNIV - paramss S|⟩
  using assms(2) ordLess-ordLeq-trans by blast
moreover have ⟨infinite (UNIV - paramss S)⟩

```

**using** *assms(2) Cinfinit-r unfolding cinfinit-def* **by** (*metis Field-card-of ordLeq-finite-Field*)  
**ultimately have**  $\langle |UNIV - paramss S - ?X| = o |UNIV - paramss S| \rangle$   
**using** *card-of-Un-diff-infinite* **by** *blast*  
**moreover from this have**  $\langle infinite (UNIV - paramss S - ?X) \rangle$   
**using**  $\langle infinite (UNIV - paramss S) \rangle$  *card-of-ordIso-finite* **by** *blast*  
**moreover have**  $\langle \bigwedge a. a \in paramss (extend S i) \implies a \in paramss S \vee a \in ?X \rangle$   
**using** *params-origin* **by** *simp*  
**then have**  $\langle paramss (extend S i) \subseteq paramss S \cup ?X \rangle$   
**by** *fast*  
**ultimately have**  $\langle infinite (UNIV - paramss (extend S i)) \rangle$   
**using** *infinite-Diff-subset* **by** (*metis (no-types, lifting) Set-Diff-Un*)  
**with 2 show** *?case*  
**unfolding** *extend-def extendS-def wo-rel.worecZSL-succ*[*OF wo-rel-r adm-woL-extendL 2(1)*]  
**using** *consistent-witness* **by** *auto*  
**next**  
**case** (*3 i*)  
**show** *?case*  
**proof** (*rule ccontr*)  
**assume**  $\langle \neg consistent (extend S i) \rangle$   
**then obtain** *S'* **where**  $\langle finite S' \rangle \langle S' \subseteq (\bigcup n \in underS r i. extend S n) \rangle \langle \neg consistent S' \rangle$   
**unfolding** *extend-def extendL-def wo-rel.worecZSL-isLim*[*OF wo-rel-r adm-woL-extendL 3(1-2)*]  
**using** *inconsistent-finite* **by** *auto*  
**then obtain** *ns* **where**  $\langle S' \subseteq (\bigcup n \in ns. extend S n) \rangle \langle ns \subseteq underS r i \rangle \langle finite ns \rangle$   
**by** (*metis finite-subset-Union finite-subset-image*)  
**moreover have**  $\langle ns \neq \{\} \rangle$   
**using** *S'(3) assms calculation(1) consistent-hereditary* **by** *auto*  
**ultimately obtain** *j* **where**  $\langle \forall n \in ns. n \in under r j \rangle \langle j \in underS r i \rangle$   
**using** *wo-rel.finite-underS-bound wo-rel-r ns* **by** (*meson subset-iff*)  
**then have**  $\langle \forall n \in ns. extend S n \subseteq extend S j \rangle$   
**using** *extend-under* **by** *fast*  
**then have**  $\langle S' \subseteq extend S j \rangle$   
**using** *S' ns(1)* **by** *blast*  
**then show** *False*  
**using** *3(3-) \neg consistent S'* *consistent-hereditary*  $\langle j \in underS r i \rangle$   
**by** (*meson BNF-Least-Fixpoint.underS-Field*)  
**qed**  
**qed**

**lemma** *consistent-Extend*:

**assumes**  $\langle consistent S \rangle \langle r \leq o |UNIV - paramss S| \rangle$   
**shows**  $\langle consistent (Extend S) \rangle$   
**unfolding** *Extend-def*  
**proof** (*rule ccontr*)  
**assume**  $\langle \neg consistent (\bigcup n \in Field r. extend S n) \rangle$   
**then obtain** *S'* **where**  $\langle finite S' \rangle \langle S' \subseteq (\bigcup n \in Field r. extend S n) \rangle \langle \neg consistent S' \rangle$   
**using** *inconsistent-finite* **by** *metis*  
**then obtain** *m* **where**  $\langle S' \subseteq (\bigcup n \in under r m. extend S n) \rangle \langle m \in Field r \rangle$   
**using** *wo-rel.finite-bound-under*[*OF wo-rel-r*] *assms consistent-hereditary*  
**by** (*metis Sup-empty emptyE image-empty subsetI under-empty*)  
**then have**  $\langle S' \subseteq extend S m \rangle$   
**using** *extend-under* **by** *fast*  
**moreover have**  $\langle consistent (extend S m) \rangle$   
**using** *assms consistent-extend*  $\langle m \in Field r \rangle$  **by** *blast*  
**ultimately show** *False*  
**using**  $\langle \neg consistent S' \rangle$  *consistent-hereditary* **by** *blast*  
**qed**

**lemma** *Extend-bound*:  $\langle n \in \text{Field } r \implies \text{extend } S \ n \subseteq \text{Extend } S \rangle$   
**unfolding** *Extend-def* **by** *blast*

### 1.3.3 Maximality

**definition** *maximal'* ::  $\langle 'a \text{ set} \implies \text{bool} \rangle$  **where**  
 $\langle \text{maximal}' \ S \equiv \forall p \in \text{Field } r. \text{consistent} (\{p\} \cup S) \longrightarrow p \in S \rangle$

**lemma** *maximal'-Extend*:  $\langle \text{maximal}' (\text{Extend } S) \rangle$   
**unfolding** *maximal'-def*

**proof** *safe*

**fix** *p*  
**assume** \*:  $\langle p \in \text{Field } r \rangle \langle \text{consistent} (\{p\} \cup \text{Extend } S) \rangle$   
**then have**  $\langle \{p\} \cup \text{extend } S \ p \subseteq \{p\} \cup \text{Extend } S \rangle$   
**unfolding** *Extend-def* **by** *blast*  
**then have** \*\*:  $\langle \text{consistent} (\{p\} \cup \text{extend } S \ p) \rangle$   
**using** \* *consistent-hereditary* **by** *blast*  
**moreover have** *succ*:  $\langle \text{aboveS } r \ p \neq \{\} \rangle$   
**using** \* *isLimOrd-r wo-rel.isLimOrd-aboveS[OF wo-rel-r]* **by** *blast*  
**then have**  $\langle \text{succ } r \ p \in \text{Field } r \rangle$   
**using** *wo-rel.succ-in-Field[OF wo-rel-r]* **by** *blast*  
**moreover have**  $\langle p \in \text{extend } S (\text{succ } r \ p) \rangle$   
**using** \*\* **unfolding** *extend-def extendsS-def wo-rel.worecZSL-succ[OF wo-rel-r adm-woL-extendL succ]*  
**by** *simp*  
**ultimately show**  $\langle p \in \text{Extend } S \rangle$   
**using** *Extend-bound* **by** *fast*  
**qed**

### 1.3.4 Saturation

**definition** *saturated'* ::  $\langle 'a \text{ set} \implies \text{bool} \rangle$  **where**  
 $\langle \text{saturated}' \ S \equiv \forall p \in S. p \in \text{Field } r \longrightarrow (\exists S'. \text{witness } p \ S' \subseteq S) \rangle$

**lemma** *saturated'-Extend*:

**assumes**  $\langle \text{consistent} (\text{Extend } S) \rangle$   
**shows**  $\langle \text{saturated}' (\text{Extend } S) \rangle$   
**unfolding** *saturated'-def*  
**proof** *safe*  
**fix** *p*  
**assume** \*:  $\langle p \in \text{Extend } S \rangle \langle p \in \text{Field } r \rangle$   
**then have**  $\langle \text{extend } S \ p \subseteq \text{Extend } S \rangle$   
**unfolding** *Extend-def* **by** *blast*  
**then have**  $\langle \text{consistent} (\{p\} \cup \text{extend } S \ p) \rangle$   
**using** *assms(1) \* consistent-hereditary* **by** *auto*  
**moreover have** *succ*:  $\langle \text{aboveS } r \ p \neq \{\} \rangle$   
**using** \* *isLimOrd-r wo-rel.isLimOrd-aboveS wo-rel-r* **by** *fast*  
**then have**  $\langle \text{succ } r \ p \in \text{Field } r \rangle$   
**using** *wo-rel-r* **by** (*simp add: wo-rel.succ-in-Field*)  
**ultimately have**  $\langle \text{extend } S (\text{succ } r \ p) = \text{witness } p (\text{extend } S \ p) \cup \{p\} \cup \text{extend } S \ p \rangle$   
**unfolding** *extend-def extendsS-def wo-rel.worecZSL-succ[OF wo-rel-r adm-woL-extendL succ]*  
**by** *simp*  
**moreover have**  $\langle \text{extend } S (\text{succ } r \ p) \subseteq \text{Extend } S \rangle$   
**unfolding** *Extend-def* **using**  $\langle \text{succ } r \ p \in \text{Field } r \rangle$  **by** *blast*  
**ultimately show**  $\langle \exists a. \text{witness } p \ a \subseteq \text{Extend } S \rangle$

by *fast*  
qed  
end

## 1.4 Locale with Saturation

```

locale MCS-Saturation = MCS-Base +
  assumes infinite-UNIV:  $\langle \text{infinite } (UNIV :: 'a \text{ set}) \rangle$ 
  fixes params ::  $\langle 'a \Rightarrow 'i \text{ set} \rangle$ 
  and witness ::  $\langle 'a \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$ 
  assumes  $\langle \bigwedge p. \text{finite } (params\ p) \rangle$ 
  and  $\langle \bigwedge p\ S. \text{finite } (\bigcup q \in witness\ p\ S. params\ q) \rangle$ 
  and  $\langle \bigwedge p\ S. \text{consistent } (\{p\} \cup S) \Longrightarrow \text{infinite } (UNIV - (\bigcup q \in S. params\ q)) \Longrightarrow \text{consistent } (witness\ p\ S \cup \{p\} \cup S) \rangle$ 

sublocale MCS-Saturation  $\subseteq$  MCS-Lim-Ord -  $\langle |UNIV| \rangle$ 
proof
  show  $\langle \text{Well-order } |UNIV| \rangle$ 
  by simp
next
  show  $\langle \text{Cinfinite } |UNIV :: 'a \text{ set}| \rangle$ 
  unfolding cinfinite-def using infinite-UNIV by simp
next
  fix p
  show  $\langle \text{finite } (params\ p) \rangle$ 
  by (metis MCS-Saturation-axioms MCS-Saturation-axioms-def MCS-Saturation-def)
next
  fix p S
  show  $\langle \text{finite } (\bigcup q \in witness\ p\ S. params\ q) \rangle$ 
  by (metis MCS-Saturation-axioms MCS-Saturation-axioms-def MCS-Saturation-def)
next
  fix p S
  show  $\langle \text{consistent } (\{p\} \cup S) \Longrightarrow \text{infinite } (UNIV - (\bigcup q \in S. params\ q)) \Longrightarrow \text{consistent } (witness\ p\ S \cup \{p\} \cup S) \rangle$ 
  by (metis MCS-Saturation-axioms MCS-Saturation-axioms-def MCS-Saturation-def)
qed

```

**context** *MCS-Saturation* **begin**

**theorem** *Extend-subset*:  $\langle S \subseteq \text{Extend } S \rangle$   
by (*simp* *add*: *Extend-subset'*)

**lemma** *maximal-maximal'*:  $\langle \text{maximal } S \longleftrightarrow \text{maximal}' S \rangle$   
**unfolding** *maximal-def* *maximal'-def* **by** *simp*

**lemma** *maximal-Extend*:  $\langle \text{maximal } (\text{Extend } S) \rangle$   
**using** *maximal'-Extend* *maximal-maximal'* **by** *fast*

**definition** *saturated* ::  $\langle 'a \text{ set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{saturated } S \equiv \forall p \in S. \exists S'. \text{witness } p\ S' \subseteq S \rangle$

**lemma** *saturated-saturated'*:  $\langle \text{saturated } S \longleftrightarrow \text{saturated}' S \rangle$   
**unfolding** *saturated-def* *saturated'-def* **by** *simp*

**lemma** *saturated-Extend*:  
**assumes**  $\langle \text{consistent } (\text{Extend } S) \rangle$   
**shows**  $\langle \text{saturated } (\text{Extend } S) \rangle$   
**using** *assms saturated'-Extend saturated-saturated'* **by** *blast*

**theorem** *MCS-Extend*:  
**assumes**  $\langle \text{consistent } S \rangle \langle |UNIV :: 'a \text{ set}| \leq o |UNIV - \text{paramss } S| \rangle$   
**shows**  $\langle \text{consistent } (\text{Extend } S) \rangle \langle \text{maximal } (\text{Extend } S) \rangle \langle \text{saturated } (\text{Extend } S) \rangle$   
**using** *assms consistent-Extend maximal-Extend saturated-Extend* **by** *blast+*

**end**

## 1.5 Locale without Saturation

**locale** *MCS-No-Saturation* = *MCS-Base* +  
**assumes**  $\langle \text{infinite } (UNIV :: 'a \text{ set}) \rangle$

**sublocale** *MCS-No-Saturation*  $\subseteq$  *MCS-Saturation* *consistent*  $\langle \lambda-. \{\} :: 'a \text{ set} \rangle \langle \lambda-. \{\} \rangle$

**proof**

**show**  $\langle \text{infinite } (UNIV :: 'a \text{ set}) \rangle$

**using** *MCS-No-Saturation-axioms MCS-No-Saturation-axioms-def MCS-No-Saturation-def* **by** *blast*

**next**

**show**  $\langle \text{finite } \{\} \rangle$  ..

**next**

**show**  $\langle \text{finite } (\bigcup - \in \{\}. \{\}) \rangle$

**by** *fast*

**next**

**fix**  $p S$

**show**  $\langle \text{consistent } (\{p\} \cup S) \implies \text{consistent } (\{\} \cup \{p\} \cup S) \rangle$

**by** *simp*

**qed**

**context** *MCS-No-Saturation* **begin**

**lemma** *always-saturated* [*simp*]:  $\langle \text{saturated } H \rangle$   
**unfolding** *saturated-def* **by** *simp*

**theorem** *MCS-Extend'*:  
**assumes**  $\langle \text{consistent } S \rangle$   
**shows**  $\langle \text{consistent } (\text{Extend } S) \rangle \langle \text{maximal } (\text{Extend } S) \rangle$   
**using** *assms consistent-Extend maximal-Extend* **by** *simp-all*

**end**

## 1.6 Truth Lemma

**locale** *Truth-Base* =

**fixes** *semics* ::  $\langle 'model \Rightarrow ('model \Rightarrow 'fm \Rightarrow bool) \Rightarrow 'fm \Rightarrow bool \rangle$

**and** *semantics* ::  $\langle 'model \Rightarrow 'fm \Rightarrow bool \rangle$

**and** *models-from* ::  $\langle 'a \text{ set} \Rightarrow 'model \text{ set} \rangle$

**and** *rel* ::  $\langle 'a \text{ set} \Rightarrow 'model \Rightarrow 'fm \Rightarrow bool \rangle$

**assumes** *semics-semantics*:  $\langle \text{semantics } M p \longleftrightarrow \text{semics } M \text{ semantics } p \rangle$

**and** *Hintikka-model*:  $\langle \bigwedge H M p. \forall M \in \text{models-from } H. \forall p. \text{semics } M (\text{rel } H) p \longleftrightarrow \text{rel } H M p \implies M \in \text{models-from } H \implies \text{semantics } M p \longleftrightarrow \text{rel } H M p \rangle$

**locale** *Truth-Saturation* = *MCS-Saturation* + *Truth-Base* +  
**assumes** *MCS-Hintikka*:  $\langle \bigwedge H. \text{consistent } H \implies \text{maximal } H \implies \text{saturated } H \implies$   
 $\forall M \in \text{models-from } H. \forall p. \text{semics } M (\text{rel } H) p \longleftrightarrow \text{rel } H M p \rangle$   
**begin**

**theorem** *truth-lemma-saturation*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{saturated } H \rangle \langle M \in \text{models-from } H \rangle$   
**shows**  $\langle \text{semantics } M p \longleftrightarrow \text{rel } H M p \rangle$   
**using** *Hintikka-model MCS-Hintikka assms* .

**end**

**locale** *Truth-No-Saturation* = *MCS-No-Saturation* + *Truth-Base* +  
**assumes** *MCS-Hintikka*:  $\langle \bigwedge H. \text{consistent } H \implies \text{maximal } H \implies$   
 $\forall M \in \text{models-from } H. \forall p. \text{semics } M (\text{rel } H) p \longleftrightarrow \text{rel } H M p \rangle$   
**begin**

**theorem** *truth-lemma-no-saturation*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle M \in \text{models-from } H \rangle$   
**shows**  $\langle \text{semantics } M p \longleftrightarrow \text{rel } H M p \rangle$   
**using** *Hintikka-model MCS-Hintikka assms* .

**end**

**end**

# Chapter 2

## Derivations

theory *Derivations* imports *Maximal-Consistent-Sets* begin

### 2.1 Rearranging Assumptions

locale *Derivations* =

fixes *derive* ::  $\langle 'a \text{ list} \Rightarrow 'a \Rightarrow \text{bool} \rangle$

assumes *derive-struct*:  $\langle \bigwedge A B p. \text{derive } A \ p \implies \text{set } A \subseteq \text{set } B \implies \text{derive } B \ p \rangle$

begin

theorem *derive-split*:

assumes  $\langle \text{set } A \subseteq \text{set } B \cup X \rangle \langle \text{derive } A \ p \rangle$

shows  $\langle \exists C. \text{set } C \subseteq X \wedge \text{derive } (B \ @ \ C) \ p \rangle$

using *struct-split*[where  $P = \langle \lambda A. \text{derive } A \ p \rangle$ ] *derive-struct* *assms* by *blast*

corollary *derive-split1*:

assumes  $\langle \text{set } A \subseteq \{q\} \cup X \rangle \langle \text{derive } A \ p \rangle$

shows  $\langle \exists C. \text{set } C \subseteq X \wedge \text{derive } (q \ \# \ C) \ p \rangle$

using *assms* *derive-split*[where  $B = \langle [q] \rangle$ ] by *simp*

end

### 2.2 MCSs and Deriving Falsity

locale *Derivations-MCS* = *Derivations* + *MCS-Base* +

fixes *fls*

assumes *consistent-derive-fls*:  $\langle \bigwedge S. \text{consistent } S = (\nexists S'. \text{set } S' \subseteq S \wedge \text{derive } S' \ \text{fls}) \rangle$

begin

theorem *MCS-derive-fls*:

assumes  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$

shows  $\langle p \notin S \iff (\exists S'. \text{set } S' \subseteq S \wedge \text{derive } (p \ \# \ S') \ \text{fls}) \rangle$

proof

assume  $\langle p \notin S \rangle$

then show  $\langle \exists S'. \text{set } S' \subseteq S \wedge \text{derive } (p \ \# \ S') \ \text{fls} \rangle$

using *assms* *derive-split1* *consistent-derive-fls* **unfolding** *maximal-def* by *metis*

next

assume  $\langle \exists S'. \text{set } S' \subseteq S \wedge \text{derive } (p \ \# \ S') \ \text{fls} \rangle$

then show  $\langle p \notin S \rangle$

using *assms* *consistent-derive-fls* by *fastforce*

qed

end

## 2.3 MCSs and Derivability

**locale** *Derivations-MCS-Cut* = *Derivations-MCS* +  
  **assumes** *derive-asm*:  $\langle \bigwedge A p. p \in \text{set } A \implies \text{derive } A p \rangle$   
  **and** *derive-cut*:  $\langle \bigwedge A B p q. \text{derive } A p \implies \text{derive } (p \# B) q \implies \text{derive } (A @ B) q \rangle$   
**begin**

**theorem** *MCS-derive*:

**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
  **shows**  $\langle p \in S \longleftrightarrow (\exists S'. \text{set } S' \subseteq S \wedge \text{derive } S' p) \rangle$   
**proof**  
  **assume**  $\langle p \in S \rangle$   
  **then show**  $\langle \exists S'. \text{set } S' \subseteq S \wedge \text{derive } S' p \rangle$   
    **using** *derive-asm* **by** (*metis List.set-insert empty-set empty-subsetI insert-subset singletonI*)  
**next**  
  **assume**  $\langle \exists A. \text{set } A \subseteq S \wedge \text{derive } A p \rangle$   
  **then obtain** *A* **where** *A*:  $\langle \text{set } A \subseteq S \rangle \langle \text{derive } A p \rangle$   
    **by** *blast*  
  **have**  $\langle \text{consistent } (\{p\} \cup S) \rangle$   
    **unfolding** *consistent-derive-fls*  
  **proof safe**  
    **fix** *B*  
    **assume** *B*:  $\langle \text{set } B \subseteq \{p\} \cup S \rangle \langle \text{derive } B \text{ fls} \rangle$   
    **then obtain** *C* **where** *C*:  $\langle \text{derive } (p \# C) \text{ fls} \rangle \langle \text{set } C \subseteq S \rangle$   
      **using** *derive-split1* **by** *blast*  
    **then have**  $\langle \text{derive } (A @ C) \text{ fls} \rangle$   
      **using** *A* *derive-cut* **by** *blast*  
    **then show** *False*  
      **using** *A(1)* *B(1)* *C* *assms(1)* *consistent-derive-fls* **by** *simp*  
  **qed**  
  **then show**  $\langle p \in S \rangle$   
    **using** *assms* **unfolding** *maximal-def* **by** *auto*  
**qed**

end

end

# Chapter 3

## Refutations

theory *Refutations* imports *Maximal-Consistent-Sets* begin

### 3.1 Rearranging Refutations

locale *Refutations* =  
 fixes *refute* ::  $\langle 'a \text{ list} \Rightarrow \text{bool} \rangle$   
 assumes *refute-struct*:  $\langle \bigwedge A B. \text{refute } A \Longrightarrow \text{set } A \subseteq \text{set } B \Longrightarrow \text{refute } B \rangle$   
begin

theorem *refute-split*:  
 assumes  $\langle \text{set } A \subseteq \text{set } B \cup X \rangle \langle \text{refute } A \rangle$   
 shows  $\langle \exists C. \text{set } C \subseteq X \wedge \text{refute } (B @ C) \rangle$   
 using *struct-split*[where  $P=\text{refute}$ ] *refute-struct* *assms* by *blast*

corollary *refute-split1*:  
 assumes  $\langle \text{set } A \subseteq \{q\} \cup X \rangle \langle \text{refute } A \rangle$   
 shows  $\langle \exists C. \text{set } C \subseteq X \wedge \text{refute } (q \# C) \rangle$   
 using *assms* *refute-split*[where  $B=\langle [q] \rangle$ ] by *simp*

end

### 3.2 MCSs and Refutability

locale *Refutations-MCS* = *Refutations* + *MCS-Base* +  
 assumes *consistent-refute*:  $\langle \bigwedge S. \text{consistent } S = (\nexists S'. \text{set } S' \subseteq S \wedge \text{refute } S') \rangle$   
begin

theorem *MCS-refute*:  
 assumes  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle$   
 shows  $\langle p \notin S \longleftrightarrow (\exists S'. \text{set } S' \subseteq S \wedge \text{refute } (p \# S')) \rangle$   
proof  
 assume  $\langle p \notin S \rangle$   
 then show  $\langle \exists S'. \text{set } S' \subseteq S \wedge \text{refute } (p \# S') \rangle$   
 using *assms* *refute-split1* *consistent-refute* **unfolding** *maximal-def* by *metis*  
next  
 assume  $\langle \exists S'. \text{set } S' \subseteq S \wedge \text{refute } (p \# S') \rangle$   
 then show  $\langle p \notin S \rangle$   
 using *assms* *consistent-refute* by *fastforce*  
qed

end

end

# Chapter 4

## Example: Propositional Tableau Calculus

theory *Example-Propositional-Tableau* imports *Refutations* begin

### 4.1 Syntax

```
datatype 'p fm
  = Pro 'p (⟨!⟩)
  | Neg ⟨'p fm⟩ (⟨¬ -⟩ [70] 70)
  | Imp ⟨'p fm⟩ ⟨'p fm⟩ (infixr ⟨⟶⟩ 55)
```

### 4.2 Semantics

```
type-synonym 'p model = ⟨'p ⇒ bool⟩
```

```
fun semantics :: ⟨'p model ⇒ 'p fm ⇒ bool⟩ (⟨[-]⟩) where
  ⟨[[I]] (⟨!P⟩) ⟷ I P⟩
| ⟨[[I]] (⟨¬ p⟩) ⟷ ¬ [[I]] p⟩
| ⟨[[I]] (p ⟶ q) ⟷ [[I]] p ⟶ [[I]] q⟩
```

### 4.3 Calculus

```
inductive Calculus :: ⟨'p fm list ⇒ bool⟩ (⟨⊢T -⟩ [50] 50) where
  Axiom [intro]: ⟨⊢T ⟨!P⟩ # ¬ ⟨!P⟩ # A⟩
| NegI [intro]: ⟨⊢T p # A ⟹ ⊢T ¬ ¬ p # A⟩
| ImpP [intro]: ⟨⊢T ¬ p # A ⟹ ⊢T q # A ⟹ ⊢T (p ⟶ q) # A⟩
| ImpN [intro]: ⟨⊢T p # ¬ q # A ⟹ ⊢T ¬ (p ⟶ q) # A⟩
| Weaken: ⟨⊢T A ⟹ set A ⊆ set B ⟹ ⊢T B⟩
```

lemma *Weaken2*:

```
  assumes ⟨⊢T p # A⟩ ⟨⊢T q # B⟩
  shows ⟨⊢T p # A @ B ∧ ⊢T q # A @ B⟩
  using assms Weaken[where A=⟨- # -⟩ and B=⟨- # A @ B⟩] by fastforce
```

### 4.4 Soundness

```
theorem soundness: ⟨⊢T A ⟹ ∃ p ∈ set A. ¬ [[I]] p⟩
  by (induct A rule: Calculus.induct) auto
```

**corollary** *soundness'*:  $\langle \vdash_T [\neg p] \implies \llbracket I \rrbracket p \rangle$   
**using** *soundness by fastforce*

**corollary**  $\langle \neg (\vdash_T []) \rangle$   
**using** *soundness by fastforce*

## 4.5 Maximal Consistent Sets

**definition** *consistent* ::  $\langle 'p \text{ fm set} \implies \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge \vdash_T S' \rangle$

**interpretation** *MCS-No-Saturation consistent*

**proof**

**fix**  $S S' :: \langle 'p \text{ fm set} \rangle$   
**assume**  $\langle \text{consistent } S \rangle \langle S' \subseteq S \rangle$   
**then show**  $\langle \text{consistent } S' \rangle$   
**unfolding** *consistent-def by fast*  
**next**  
**fix**  $S :: \langle 'p \text{ fm set} \rangle$   
**assume**  $\langle \neg \text{consistent } S \rangle$   
**then show**  $\langle \exists S' \subseteq S. \text{ finite } S' \wedge \neg \text{consistent } S' \rangle$   
**unfolding** *consistent-def by blast*  
**next**  
**show**  $\langle \text{infinite } (\text{UNIV} :: 'p \text{ fm set}) \rangle$   
**using** *infinite-UNIV-size[of  $\langle \lambda p. p \longrightarrow p \rangle$ ]* **by simp**  
**qed**

**interpretation** *Refutations-MCS Calculus consistent*

**proof**

**fix**  $A B :: \langle 'p \text{ fm list} \rangle$   
**assume**  $\langle \vdash_T A \rangle \langle \text{set } A \subseteq \text{set } B \rangle$   
**then show**  $\langle \vdash_T B \rangle$   
**using** *Weaken by meson*  
**next**  
**fix**  $S :: \langle 'p \text{ fm set} \rangle$   
**show**  $\langle \text{consistent } S \iff (\nexists S'. \text{ set } S' \subseteq S \wedge \vdash_T S') \rangle$   
**unfolding** *consistent-def ..*  
**qed**

## 4.6 Truth Lemma

**abbreviation** (*input*) *hmodel* ::  $\langle 'p \text{ fm set} \implies 'p \text{ model} \rangle$  **where**  
 $\langle \text{hmodel } H \equiv \lambda P. \nexists P \in H \rangle$

**locale** *Hintikka* =

**fixes**  $H :: \langle 'a \text{ fm set} \rangle$   
**assumes** *AxiomH*:  $\langle \bigwedge P. \nexists P \in H \implies \neg \nexists P \in H \implies \text{False} \rangle$   
**and** *NegIH*:  $\langle \bigwedge p. \neg \neg p \in H \implies p \in H \rangle$   
**and** *ImpPH*:  $\langle \bigwedge p q. p \longrightarrow q \in H \implies \neg p \in H \vee q \in H \rangle$   
**and** *ImpNH*:  $\langle \bigwedge p q. \neg (p \longrightarrow q) \in H \implies p \in H \wedge \neg q \in H \rangle$

**lemma** *Hintikka-model*:

**assumes**  $\langle \text{Hintikka } H \rangle$   
**shows**  $\langle (p \in H \longrightarrow \llbracket \text{hmodel } H \rrbracket p) \wedge (\neg p \in H \longrightarrow \neg \llbracket \text{hmodel } H \rrbracket p) \rangle$

```

using assms by (induct p) (unfold Hintikka-def semantics.simps; blast)+

lemma MCS-Hintikka:
  assumes ⟨consistent H⟩ ⟨maximal H⟩
  shows ⟨Hintikka H⟩
proof
  fix P
  assume ⟨ $\ddagger P \in H$ ⟩ ⟨ $\neg \ddagger P \in H$ ⟩
  then have ⟨set [ $\ddagger P$ ,  $\neg \ddagger P$ ]  $\subseteq H$ ⟩
    by simp
  moreover have ⟨ $\vdash_T$  [ $\ddagger P$ ,  $\neg \ddagger P$ ]⟩
    by blast
  ultimately show False
    using assms unfolding consistent-def by blast
next
  fix p
  assume ⟨ $\neg \neg p \in H$ ⟩
  then show ⟨ $p \in H$ ⟩
    using assms MCS-refute by blast
next
  fix p q
  assume *: ⟨ $p \longrightarrow q \in H$ ⟩
  show ⟨ $\neg p \in H \vee q \in H$ ⟩
  proof (rule ccontr)
    assume ⟨ $\neg (\neg p \in H \vee q \in H)$ ⟩
    then have ⟨ $\neg p \notin H$ ⟩ ⟨ $q \notin H$ ⟩
      by blast+
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T \neg p \# A$ ⟩ ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T q \# A$ ⟩
      using assms MCS-refute by blast+
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T \neg p \# A \wedge \vdash_T q \# A$ ⟩
      using Weaken2[where  $p=\neg p$  and  $q=q$ ] by (metis Un-least set-append)
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T (p \longrightarrow q) \# A$ ⟩
      by blast
    then have ⟨ $p \longrightarrow q \notin H$ ⟩
      using assms unfolding consistent-def by auto
    then show False
      using * ..
  qed
next
  fix p q
  assume *: ⟨ $\neg (p \longrightarrow q) \in H$ ⟩
  show ⟨ $p \in H \wedge \neg q \in H$ ⟩
  proof (rule ccontr)
    assume ⟨ $\neg (p \in H \wedge \neg q \in H)$ ⟩
    then consider ⟨ $p \notin H$ ⟩ | ⟨ $\neg q \notin H$ ⟩
      by blast
    then show False
  proof cases
    case 1
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T p \# A$ ⟩
      using assms MCS-refute by blast
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T p \# \neg q \# A$ ⟩
      using Weaken[where  $B=\langle p \# \neg q \# \cdot \rangle$ ] by fastforce
    then have ⟨ $\exists A. \text{set } A \subseteq H \wedge \vdash_T \neg (p \longrightarrow q) \# A$ ⟩
      by fast
    then have ⟨ $\neg (p \longrightarrow q) \notin H$ ⟩

```

```

    using assms unfolding consistent-def by auto
  then show False
    using * ..
next
case 2
then have  $\langle \exists A. \text{set } A \subseteq H \wedge \vdash_T \neg q \# A \rangle$ 
  using assms MCS-refute by blast
then have  $\langle \exists A. \text{set } A \subseteq H \wedge \vdash_T p \# \neg q \# A \rangle$ 
  using Weaken by (metis set-subset-Cons)
then have  $\langle \exists A. \text{set } A \subseteq H \wedge \vdash_T \neg (p \longrightarrow q) \# A \rangle$ 
  by fast
then have  $\langle \neg (p \longrightarrow q) \notin H \rangle$ 
  using assms unfolding consistent-def by auto
then show False
  using * ..
qed
qed
qed

```

**lemma** *truth-lemma*:

```

  assumes  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle p \in H \rangle$ 
  shows  $\langle \llbracket \text{hmodel } H \rrbracket p \rangle$ 
  using Hintikka-model MCS-Hintikka assms by blast

```

## 4.7 Completeness

**theorem** *strong-completeness*:

```

  assumes  $\langle \forall M :: 'p \text{ model. } (\forall q \in X. \llbracket M \rrbracket q \longrightarrow \llbracket M \rrbracket p) \rangle$ 
  shows  $\langle \exists A. \text{set } A \subseteq X \wedge \vdash_T \neg p \# A \rangle$ 
proof (rule ccontr)
  assume  $\langle \nexists A. \text{set } A \subseteq X \wedge \vdash_T \neg p \# A \rangle$ 
  then have *:  $\langle \nexists A. \text{set } A \subseteq \{\neg p\} \cup X \wedge \vdash_T A \rangle$ 
    using refute-split1 by blast

```

```

let ?S =  $\langle \{\neg p\} \cup X \rangle$ 
let ?H =  $\langle \text{Extend } ?S \rangle$ 

```

```

have  $\langle \text{consistent } ?S \rangle$ 
  unfolding consistent-def using * by blast
then have  $\langle \text{consistent } ?H \rangle \langle \text{maximal } ?H \rangle$ 
  using MCS-Extend' by blast+
then have  $\langle p \in ?H \longrightarrow \llbracket \text{hmodel } ?H \rrbracket p \rangle$  for p
  using truth-lemma by fastforce
then have  $\langle p \in ?S \longrightarrow \llbracket \text{hmodel } ?H \rrbracket p \rangle$  for p
  using Extend-subset by blast
then have  $\langle \llbracket \text{hmodel } ?H \rrbracket (\neg p) \rangle \langle \forall q \in X. \llbracket \text{hmodel } ?H \rrbracket q \rangle$ 
  by blast+
moreover from this have  $\langle \llbracket \text{hmodel } ?H \rrbracket p \rangle$ 
  using assms(1) by blast
ultimately show False
  by simp
qed

```

**abbreviation** *valid* ::  $\langle 'p \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{valid } p \equiv \forall M. \llbracket M \rrbracket p \rangle$

**theorem** *completeness*:  
  **assumes**  $\langle \text{valid } p \rangle$   
  **shows**  $\langle \vdash_T [\neg p] \rangle$   
  **using** *assms strong-completeness*[**where**  $X = \langle \{ \} \rangle$ ] **by** *auto*

**theorem** *main*:  $\langle \text{valid } p \iff \vdash_T [\neg p] \rangle$   
  **using** *completeness soundness'* **by** *blast*

**end**

## Chapter 5

# Example: Propositional Sequent Calculus

**theory** *Example-Propositional-SC* **imports** *Derivations* **begin**

### 5.1 Syntax

```
datatype 'p fm
  = Fls (⟨⊥⟩)
  | Pro 'p (⟨‡⟩)
  | Imp 'p fm ⟨'p fm⟩ (infixr ⟨⟶⟩ 55)
```

**abbreviation** *Neg* (⟨¬ → [70] 70) **where** ⟨¬ p ≡ p ⟶ ⊥⟩

### 5.2 Semantics

**type-synonym** 'p model = ⟨'p ⇒ bool⟩

```
fun semantics :: ⟨'p model ⇒ 'p fm ⇒ bool⟩ (⟨[-]⟩) where
  ⟨[-] ⊥ ⟷ False⟩
| ⟨[-] (‡P) ⟷ I P⟩
| ⟨[-] (p ⟶ q) ⟷ [[I] p ⟶ [[I] q]⟩
```

### 5.3 Calculus

**inductive** *Calculus* :: ⟨'p fm list ⇒ 'p fm list ⇒ bool⟩ (⟨⊢<sub>S</sub> → [50, 50] 50) **where**

```
  Axiom [intro]: ⟨p # A ⊢S p # B⟩
| FlsL [intro]: ⟨⊥ # A ⊢S B⟩
| FlsR [dest]: ⟨A ⊢S ⊥ # B ⟹ A ⊢S B⟩
| ImpL [intro]: ⟨A ⊢S p # B ⟹ q # A ⊢S B ⟹ (p ⟶ q) # A ⊢S B⟩
| ImpR [intro]: ⟨p # A ⊢S q # B ⟹ A ⊢S (p ⟶ q) # B⟩
| Cut [elim]: ⟨A ⊢S p # B ⟹ p # C ⊢S D ⟹ A @ C ⊢S B @ D⟩
| WeakenL: ⟨A ⊢S B ⟹ set A ⊆ set A' ⟹ A' ⊢S B⟩
| WeakenR: ⟨A ⊢S B ⟹ set B ⊆ set B' ⟹ A ⊢S B'⟩
```

**lemma** *Boole*: ⟨¬ p # A ⊢<sub>S</sub> [] ⟹ A ⊢<sub>S</sub> [p]⟩

**by** (*metis Axiom Cut ImpR WeakenR append-self-conv2 self-append-conv set-subset-Cons*)

## 5.4 Soundness

**theorem** *soundness*:  $\langle A \vdash_S B \implies \forall q \in \text{set } A. \llbracket I \rrbracket q \implies \exists p \in \text{set } B. \llbracket I \rrbracket p \rangle$   
 by (*induct A B rule: Calculus.induct*) *auto*

**corollary** *soundness'*:  $\langle \llbracket \cdot \rrbracket \vdash_S [p] \implies \llbracket I \rrbracket p \rangle$   
 using *soundness* by *fastforce*

**corollary**  $\langle \neg (\llbracket \cdot \rrbracket \vdash_S \llbracket \cdot \rrbracket) \rangle$   
 using *soundness* by *fastforce*

## 5.5 Maximal Consistent Sets

**definition** *consistent* ::  $\langle 'p \text{ fm set} \implies \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_S [\perp] \rangle$

**interpretation** *MCS-No-Saturation consistent*

**proof**

**fix**  $S S' :: \langle 'p \text{ fm set} \rangle$   
**assume**  $\langle \text{consistent } S \rangle \langle S' \subseteq S \rangle$   
**then show**  $\langle \text{consistent } S' \rangle$   
 unfolding *consistent-def* by *fast*  
**next**  
**fix**  $S :: \langle 'p \text{ fm set} \rangle$   
**assume**  $\langle \neg \text{consistent } S \rangle$   
**then show**  $\langle \exists S' \subseteq S. \text{ finite } S' \wedge \neg \text{consistent } S' \rangle$   
 unfolding *consistent-def* by *blast*  
**next**  
**show**  $\langle \text{infinite } (\text{UNIV} :: 'p \text{ fm set}) \rangle$   
 using *infinite-UNIV-size*[of  $\langle \lambda p. p \longrightarrow p \rangle$ ] by *simp*  
**qed**

**interpretation** *Derivations-MCS-Cut*  $\langle \lambda A p. A \vdash_S [p] \rangle$  *consistent*  $\langle \perp \rangle$

**proof**

**fix**  $A B$  **and**  $p :: \langle 'p \text{ fm} \rangle$   
**assume**  $\langle A \vdash_S [p] \rangle \langle \text{set } A \subseteq \text{set } B \rangle$   
**then show**  $\langle B \vdash_S [p] \rangle$   
 using *WeakenL* by *blast*  
**next**  
**fix**  $S :: \langle 'p \text{ fm set} \rangle$   
**show**  $\langle \text{consistent } S \iff (\nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_S [\perp]) \rangle$   
 unfolding *consistent-def* ..  
**next**  
**fix**  $A$  **and**  $p :: \langle 'p \text{ fm} \rangle$   
**assume**  $\langle p \in \text{set } A \rangle$   
**then show**  $\langle A \vdash_S [p] \rangle$   
 by (*metis Axiom WeakenL set-ConsD subsetI*)  
**next**  
**fix**  $A B$  **and**  $p q :: \langle 'p \text{ fm} \rangle$   
**assume**  $\langle A \vdash_S [p] \rangle \langle p \# B \vdash_S [q] \rangle$   
**then show**  $\langle A @ B \vdash_S [q] \rangle$   
 using *Cut* by *fastforce*  
**qed**

## 5.6 Truth Lemma

**abbreviation**  $hmodel :: \langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \rangle$  **where**  
 $\langle hmodel \ H \equiv \lambda P. \ddagger P \in H \rangle$

**fun**  $semics :: \langle 'p \text{ model} \Rightarrow ('p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow bool) \Rightarrow 'p \text{ fm} \Rightarrow bool \rangle$  **where**  
 $\langle semics \ - \ - \ \perp \ \longleftrightarrow \ False \rangle$   
 $| \langle semics \ I \ - \ (\ddagger P) \ \longleftrightarrow \ I \ P \rangle$   
 $| \langle semics \ I \ rel \ (p \longrightarrow q) \ \longleftrightarrow \ rel \ I \ p \longrightarrow rel \ I \ q \rangle$

**fun**  $rel :: \langle 'p \text{ fm set} \Rightarrow 'p \text{ model} \Rightarrow 'p \text{ fm} \Rightarrow bool \rangle$  **where**  
 $\langle rel \ H \ - \ p = (p \in H) \rangle$

**theorem** *Hintikka-model'*:

**assumes**  $\langle \bigwedge p. semics \ (hmodel \ H) \ (rel \ H) \ p \ \longleftrightarrow \ p \in H \rangle$   
**shows**  $\langle p \in H \ \longleftrightarrow \ \llbracket hmodel \ H \rrbracket \ p \rangle$   
**proof** (*induct p rule: wf-induct[where r= $\langle measure \ size \rangle$ ]*)  
**case** 1  
**then show** *?case ..*  
**next**  
**case** ( $2 \ x$ )  
**then show** *?case*  
**using**  $assms[of \ x]$  **by** ( $cases \ x$ ) *simp-all*  
**qed**

**lemma** *Hintikka-Extend*:

**assumes**  $\langle consistent \ H \rangle \ \langle maximal \ H \rangle$   
**shows**  $\langle semics \ (hmodel \ H) \ (rel \ H) \ p \ \longleftrightarrow \ p \in H \rangle$   
**proof** (*cases p*)  
**case** *Fls*  
**have**  $\langle \perp \notin H \rangle$   
**using**  $assms \ MCS\text{-derive} \ consistent\text{-def}$  **by** *blast*  
**then show** *?thesis*  
**using** *Fls* **by** *simp*  
**next**  
**case** ( $Imp \ p \ q$ )  
**have**  $\langle A \vdash_S [q] \implies A \vdash_S [p \longrightarrow q] \rangle$  **for**  $A$   
**by** ( $meson \ ImpR \ WeakenL \ set\text{-subset}\text{-Cons}$ )  
**moreover have**  $\langle p \# A \vdash_S [\perp] \implies A \vdash_S [p \longrightarrow q] \rangle$  **for**  $A$   
**by** ( $meson \ FlsR \ ImpR \ WeakenR \ set\text{-subset}\text{-Cons}$ )  
**moreover have**  $\langle A \vdash_S [p \longrightarrow q] \implies B \vdash_S [p] \implies A @ B \vdash_S [q] \rangle$  **for**  $A \ B$   
**using** *Cut* **by** ( $metis \ Axiom \ ImpL \ append\text{-Nil} \ append\text{-Nil}2$ )  
**ultimately have**  $\langle (p \in H \longrightarrow q \in H) \ \longleftrightarrow \ p \longrightarrow q \in H \rangle$   
**using**  $assms \ MCS\text{-derive} \ MCS\text{-derive}\text{-fls} \ Axiom$   
**by** ( $metis \ append\text{-Cons} \ append\text{-Nil} \ insert\text{-subset} \ list.\text{sims}(15)$ )  
**then show** *?thesis*  
**using** *Imp* **by** *simp*  
**qed** *simp*

**interpretation** *Truth-No-Saturation consistent semics semantics*  $\langle \lambda H. \{hmodel \ H\} \rangle \ rel$

**proof**

**fix**  $p$  **and**  $M :: \langle 'p \text{ model} \rangle$   
**show**  $\langle \llbracket M \rrbracket \ p \ \longleftrightarrow \ semics \ M \ semantics \ p \rangle$   
**by** (*induct p*) *auto*  
**next**  
**fix**  $p$  **and**  $H :: \langle 'p \text{ fm set} \rangle$  **and**  $M :: \langle 'p \text{ model} \rangle$

```

assume  $\langle \forall M \in \{hmodel\ H\}. \forall p. semics\ M\ (rel\ H)\ p \longleftrightarrow rel\ H\ M\ p \rangle \langle M \in \{hmodel\ H\} \rangle$ 
then show  $\langle \llbracket M \rrbracket p \longleftrightarrow rel\ H\ M\ p \rangle$ 
  using Hintikka-model' by auto
next
fix  $H :: \langle 'p\ fm\ set \rangle$ 
assume  $\langle consistent\ H \rangle \langle maximal\ H \rangle$ 
then show  $\langle \forall M \in \{hmodel\ H\}. \forall p. semics\ M\ (rel\ H)\ p \longleftrightarrow rel\ H\ M\ p \rangle$ 
  using Hintikka-Extend by auto
qed

```

## 5.7 Completeness

**theorem** *strong-completeness:*

```

assumes  $\langle \forall M :: 'p\ model. (\forall q \in X. \llbracket M \rrbracket q) \longrightarrow \llbracket M \rrbracket p \rangle$ 
shows  $\langle \exists A. set\ A \subseteq X \wedge A \vdash_S [p] \rangle$ 
proof (rule ccontr)
assume  $\langle \nexists A. set\ A \subseteq X \wedge A \vdash_S [p] \rangle$ 
then have  $\langle \nexists A. set\ A \subseteq X \wedge \neg p \# A \vdash_S [] \rangle$ 
  using Boole by blast
then have  $\langle \nexists A. set\ A \subseteq X \wedge \neg p \# A \vdash_S [\perp] \rangle$ 
  by fast
then have  $*$ :  $\langle \nexists A. set\ A \subseteq \{\neg p\} \cup X \wedge A \vdash_S [\perp] \rangle$ 
  using derive-split1 by blast

```

```

let  $?S = \langle \{\neg p\} \cup X \rangle$ 
let  $?H = \langle Extend\ ?S \rangle$ 

```

```

have  $\langle consistent\ ?S \rangle$ 
  unfolding consistent-def using  $*$  by blast
then have  $\langle consistent\ ?H \rangle \langle maximal\ ?H \rangle$ 
  using MCS-Extend' by blast+
then have  $\langle p \in ?H \longleftrightarrow \llbracket hmodel\ ?H \rrbracket p \rangle$  for  $p$ 
  using truth-lemma-no-saturation by fastforce
then have  $\langle p \in ?S \longrightarrow \llbracket hmodel\ ?H \rrbracket p \rangle$  for  $p$ 
  using Extend-subset by blast
then have  $\langle \llbracket hmodel\ ?H \rrbracket (\neg p) \rangle \langle \forall q \in X. \llbracket hmodel\ ?H \rrbracket q \rangle$ 
  by blast+
moreover from this have  $\langle \llbracket hmodel\ ?H \rrbracket p \rangle$ 
  using assms(1) by blast
ultimately show False
  by simp
qed

```

```

abbreviation valid ::  $\langle 'p\ fm \Rightarrow bool \rangle$  where
   $\langle valid\ p \equiv \forall M. \llbracket M \rrbracket p \rangle$ 

```

**theorem** *completeness:*

```

assumes  $\langle valid\ p \rangle$ 
shows  $\langle [] \vdash_S [p] \rangle$ 
using assms strong-completeness [where  $X = \langle \{\} \rangle$ ] by auto

```

```

theorem main:  $\langle valid\ p \longleftrightarrow [] \vdash_S [p] \rangle$ 
using completeness soundness' by blast

```

**end**

# Chapter 6

## Example: Modal Logic

theory *Example-Modal-Logic* imports *Derivations* begin

### 6.1 Syntax

**datatype**  $\langle 'i, 'p \rangle$  *fm*  
= *Fls*  $\langle \perp \rangle$   
| *Pro*  $\langle 'p \rangle$   $\langle \dagger \rangle$   
| *Imp*  $\langle ('i, 'p) \text{ fm} \rangle$   $\langle ('i, 'p) \text{ fm} \rangle$  (**infixr**  $\langle \longrightarrow \rangle$  55)  
| *Box*  $\langle 'i \rangle$   $\langle ('i, 'p) \text{ fm} \rangle$   $\langle \square \rangle$

**abbreviation** *Neg*  $\langle \neg \rightarrow [70] 70 \rangle$  **where**  
 $\langle \neg p \equiv p \longrightarrow \perp \rangle$

### 6.2 Semantics

**datatype**  $\langle 'i, 'p, 'w \rangle$  *model* =  
*Model*  $\langle \mathcal{W}: \langle 'w \text{ set} \rangle \rangle$   $\langle \mathcal{R}: \langle 'i \Rightarrow 'w \Rightarrow 'w \text{ set} \rangle \rangle$   $\langle \mathcal{V}: \langle 'w \Rightarrow 'p \Rightarrow \text{bool} \rangle \rangle$

**type-synonym**  $\langle 'i, 'p, 'w \rangle$  *ctx* =  $\langle ('i, 'p, 'w) \text{ model} \times 'w \rangle$

**fun** *semantics* ::  $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$   $\langle \langle - \models - \rangle [50, 50] 50 \rangle$  **where**  
 $\langle \langle - \models \perp \rangle \longleftrightarrow \text{False} \rangle$   
|  $\langle \langle (M, w) \models \dagger P \rangle \longleftrightarrow \mathcal{V} M w P \rangle$   
|  $\langle \langle (M, w) \models p \longrightarrow q \rangle \longleftrightarrow (M, w) \models p \longrightarrow (M, w) \models q \rangle$   
|  $\langle \langle (M, w) \models \square i p \rangle \longleftrightarrow (\forall v \in \mathcal{W} M \cap \mathcal{R} M i w. (M, v) \models p) \rangle$

### 6.3 Calculus

**primrec** *eval* ::  $\langle ('p \Rightarrow \text{bool}) \Rightarrow (('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{eval } - \text{ } \perp = \text{False} \rangle$   
|  $\langle \text{eval } g \text{ } (\dagger P) = g P \rangle$   
|  $\langle \text{eval } g \text{ } h (p \longrightarrow q) = (\text{eval } g \text{ } h p \longrightarrow \text{eval } g \text{ } h q) \rangle$   
|  $\langle \text{eval } - \text{ } h (\square i p) = h (\square i p) \rangle$

**abbreviation**  $\langle \text{tautology } p \equiv \forall g h. \text{eval } g \text{ } h p \rangle$

**inductive** *Calculus* ::  $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$   $\langle \langle \vdash_{\square} - \rangle [50] 50 \rangle$  **where**  
*A1*:  $\langle \text{tautology } p \implies \vdash_{\square} p \rangle$   
| *A2*:  $\langle \vdash_{\square} \square i (p \longrightarrow q) \longrightarrow \square i p \longrightarrow \square i q \rangle$

| *R1*:  $\langle \vdash_{\square} p \implies \vdash_{\square} p \longrightarrow q \implies \vdash_{\square} q \rangle$   
 | *R2*:  $\langle \vdash_{\square} p \implies \vdash_{\square} \square i p \rangle$

**primrec** *imply* ::  $\langle ('i, 'p) \text{ fm list} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow ('i, 'p) \text{ fm} \rangle$  (**infixr**  $\langle \rightsquigarrow \rangle$  56) **where**  
 $\langle (\square \rightsquigarrow p) = p \rangle$   
 |  $\langle (q \# A \rightsquigarrow p) = (q \longrightarrow A \rightsquigarrow p) \rangle$

**abbreviation** *Calculus-assms* ( $\langle - \vdash_{\square} - \rangle$  [50, 50] 50) **where**  
 $\langle A \vdash_{\square} p \equiv \vdash_{\square} A \rightsquigarrow p \rangle$

## 6.4 Soundness

**lemma** *eval-antics*:  $\langle \text{eval } (g \ w) \ (\lambda q. \ (\text{Model } W \ r \ g, \ w) \models q) \ p = ((\text{Model } W \ r \ g, \ w) \models p) \rangle$   
 by (*induct p simp-all*)

**lemma** *tautology*:

**assumes**  $\langle \text{tautology } p \rangle$   
**shows**  $\langle (M, w) \models p \rangle$

**proof** –

**from** *assms* **have**  $\langle \text{eval } (g \ w) \ (\lambda q. \ (\text{Model } W \ r \ g, \ w) \models q) \ p \rangle$  **for**  $W \ g \ r$   
 by *simp*  
**then** **have**  $\langle (\text{Model } W \ r \ g, \ w) \models p \rangle$  **for**  $W \ g \ r$   
 using *eval-antics* **by** *fast*  
**then** **show**  $\langle (M, w) \models p \rangle$   
 by (*metis model.exhaust*)

**qed**

**theorem** *soundness*:

**assumes**  $\langle \bigwedge M \ w \ p. \ A \ p \implies w \in \mathcal{W} \ M \implies (M, w) \models p \rangle$   
**shows**  $\langle \vdash_{\square} p \implies w \in \mathcal{W} \ M \implies (M, w) \models p \rangle$   
 by (*induct p arbitrary: w rule: Calculus.induct*) (*auto simp: assms tautology*)

## 6.5 Admissible rules

**lemma** *K-imply-head*:  $\langle p \# A \vdash_{\square} p \rangle$

**proof** –

**have**  $\langle \text{tautology } (p \# A \rightsquigarrow p) \rangle$   
 by (*induct A simp-all*)  
**then** **show** *?thesis*  
 using *A1* **by** *blast*

**qed**

**lemma** *K-imply-Cons*:

**assumes**  $\langle A \vdash_{\square} q \rangle$   
**shows**  $\langle p \# A \vdash_{\square} q \rangle$

**proof** –

**have**  $\langle \vdash_{\square} (A \rightsquigarrow q \longrightarrow p \# A \rightsquigarrow q) \rangle$   
 by (*simp add: A1*)  
**with** *R1 assms* **show** *?thesis* .

**qed**

**lemma** *K-right-mp*:

**assumes**  $\langle A \vdash_{\square} p \rangle \langle A \vdash_{\square} p \longrightarrow q \rangle$   
**shows**  $\langle A \vdash_{\square} q \rangle$

**proof** –

**have**  $\langle \text{tautology } (A \rightsquigarrow p \longrightarrow A \rightsquigarrow (p \longrightarrow q) \longrightarrow A \rightsquigarrow q) \rangle$   
**by**  $(\text{induct } A) \text{ simp-all}$   
**with**  $A1$  **have**  $\langle \vdash_{\square} A \rightsquigarrow p \longrightarrow A \rightsquigarrow (p \longrightarrow q) \longrightarrow A \rightsquigarrow q \rangle$  .  
**then show**  $?thesis$   
**using**  $\text{assms } R1$  **by**  $\text{blast}$   
**qed**

**lemma**  $\text{deduct1}$ :  $\langle A \vdash_{\square} p \longrightarrow q \Longrightarrow p \# A \vdash_{\square} q \rangle$   
**by**  $(\text{meson } K\text{-right-mp } K\text{-imply-Cons } K\text{-imply-head})$

**lemma**  $\text{imply-append [iff]}$ :  $\langle (A @ B \rightsquigarrow r) = (A \rightsquigarrow B \rightsquigarrow r) \rangle$   
**by**  $(\text{induct } A) \text{ simp-all}$

**lemma**  $\text{imply-swap-append}$ :  $\langle A @ B \vdash_{\square} r \Longrightarrow B @ A \vdash_{\square} r \rangle$   
**proof**  $(\text{induct } B \text{ arbitrary: } A)$   
**case**  $\text{Cons}$   
**then show**  $?case$   
**by**  $(\text{metis } \text{deduct1 } \text{imply.simps}(2) \text{ imply-append})$   
**qed**  $\text{simp}$

**lemma**  $K\text{-ImpI}$ :  $\langle p \# A \vdash_{\square} q \Longrightarrow A \vdash_{\square} p \longrightarrow q \rangle$   
**by**  $(\text{metis } \text{imply.simps } \text{imply-append } \text{imply-swap-append})$

**lemma**  $\text{imply-mem [simp]}$ :  $\langle p \in \text{set } A \Longrightarrow A \vdash_{\square} p \rangle$   
**using**  $K\text{-imply-head } K\text{-imply-Cons}$  **by**  $(\text{induct } A) \text{ fastforce+}$

**lemma**  $\text{add-imply [simp]}$ :  $\langle \vdash_{\square} q \Longrightarrow A \vdash_{\square} q \rangle$   
**using**  $K\text{-imply-head } R1$  **by**  $\text{auto}$

**lemma**  $K\text{-imply-weaken}$ :  $\langle A \vdash_{\square} q \Longrightarrow \text{set } A \subseteq \text{set } A' \Longrightarrow A' \vdash_{\square} q \rangle$   
**by**  $(\text{induct } A \text{ arbitrary: } q) (\text{simp, } \text{metis } K\text{-right-mp } K\text{-ImpI } \text{imply-mem } \text{insert-subset } \text{list.set}(2))$

**lemma**  $K\text{-Boole}$ :  
**assumes**  $\langle (\neg p) \# A \vdash_{\square} \perp \rangle$   
**shows**  $\langle A \vdash_{\square} p \rangle$

**proof**  $-$   
**have**  $\langle A \vdash_{\square} \neg \neg p \rangle$   
**using**  $\text{assms } K\text{-ImpI}$  **by**  $\text{blast}$   
**moreover have**  $\langle \text{tautology } (A \rightsquigarrow \neg \neg p \longrightarrow A \rightsquigarrow p) \rangle$   
**by**  $(\text{induct } A) \text{ simp-all}$   
**then have**  $\langle \vdash_{\square} (A \rightsquigarrow \neg \neg p \longrightarrow A \rightsquigarrow p) \rangle$   
**using**  $A1$  **by**  $\text{blast}$   
**ultimately show**  $?thesis$   
**using**  $R1$  **by**  $\text{blast}$   
**qed**

**lemma**  $K\text{-distrib-K-imp}$ :  
**assumes**  $\langle \vdash_{\square} \square i (A \rightsquigarrow q) \rangle$   
**shows**  $\langle \text{map } (\square i) A \vdash_{\square} \square i q \rangle$

**proof**  $-$   
**have**  $\langle \vdash_{\square} \square i (A \rightsquigarrow q) \longrightarrow \text{map } (\square i) A \rightsquigarrow \square i q \rangle$   
**proof**  $(\text{induct } A)$   
**case**  $\text{Nil}$   
**then show**  $?case$   
**by**  $(\text{simp } \text{add: } A1)$   
**next**

```

case (Cons a A)
have ⟨ $\vdash_{\square} \square i (a \# A \rightsquigarrow q) \longrightarrow \square i a \longrightarrow \square i (A \rightsquigarrow q)$ ⟩
  by (simp add: A2)
moreover have
  ⟨ $\vdash_{\square} ((\square i (a \# A \rightsquigarrow q) \longrightarrow \square i a \longrightarrow \square i (A \rightsquigarrow q)) \longrightarrow$ 
     $(\square i (A \rightsquigarrow q) \longrightarrow \text{map } (\square i) A \rightsquigarrow \square i q) \longrightarrow$ 
     $(\square i (a \# A \rightsquigarrow q) \longrightarrow \square i a \longrightarrow \text{map } (\square i) A \rightsquigarrow \square i q))$ ⟩
  by (simp add: A1)
ultimately have ⟨ $\vdash_{\square} \square i (a \# A \rightsquigarrow q) \longrightarrow \square i a \longrightarrow \text{map } (\square i) A \rightsquigarrow \square i q$ ⟩
  using Cons R1 by blast
then show ?case
  by simp
qed
then show ?thesis
  using assms R1 by blast
qed

```

**interpretation** *Derivations Calculus-assms*

**proof**

```

fix A B and p :: ⟨('i, 'p) fm⟩
assume ⟨ $\vdash_{\square} A \rightsquigarrow p$ ⟩ ⟨ $\text{set } A \subseteq \text{set } B$ ⟩
then show ⟨ $\vdash_{\square} B \rightsquigarrow p$ ⟩
  using K-imp-weak by blast
qed

```

## 6.6 Maximal Consistent Sets

**definition** *consistent* :: ⟨('i, 'p) fm set  $\Rightarrow$  bool⟩ **where**  
 ⟨ $\text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{\square} \perp$ ⟩

**interpretation** *MCS-No-Saturation consistent*

**proof**

```

fix S S' :: ⟨('i, 'p) fm set⟩
assume ⟨consistent S⟩ ⟨ $S' \subseteq S$ ⟩
then show ⟨consistent S'⟩
  unfolding consistent-def by fast
next
fix S :: ⟨('i, 'p) fm set⟩
assume ⟨ $\neg \text{consistent } S$ ⟩
then show ⟨ $\exists S' \subseteq S. \text{ finite } S' \wedge \neg \text{consistent } S'$ ⟩
  unfolding consistent-def by blast
next
show ⟨infinite (UNIV :: ('i, 'p) fm set)⟩
  using infinite-UNIV-size[of ⟨ $\lambda p. p \longrightarrow p$ ⟩] by simp
qed

```

**interpretation** *Derivations-MCS-Cut Calculus-assms consistent* ⟨ $\perp$ ⟩

**proof**

```

fix S :: ⟨('i, 'p) fm set⟩
show ⟨consistent S = ( $\nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{\square} \perp$ )⟩
  unfolding consistent-def ..
next
fix A and p :: ⟨('i, 'p) fm⟩
assume ⟨ $p \in \text{set } A$ ⟩
then show ⟨ $A \vdash_{\square} p$ ⟩

```

by (metis K-imp-ly-head K-imp-ly-weaken Un-upper2 set-append split-list-first)  
 next  
 fix A B and p q :: ⟨('i, 'p) fm⟩  
 assume ⟨A ⊢<sub>□</sub> p⟩ ⟨p ≠ B ⊢<sub>□</sub> q⟩  
 then show ⟨A @ B ⊢<sub>□</sub> q⟩  
 by (metis K-imp-ly-head K-right-mp R1 imp-ly.simps(2) imp-ly-append)  
 qed

**lemma** *exists-finite-inconsistent*:

assumes ⟨¬ consistent ({¬ p} ∪ V)⟩  
 obtains W where ⟨{¬ p} ∪ W ⊆ {¬ p} ∪ V⟩ ⟨¬ p ∉ W⟩ ⟨finite W⟩ ⟨¬ consistent ({¬ p} ∪ W)⟩  
 proof –  
 obtain W' where W': ⟨set W' ⊆ {¬ p} ∪ V⟩ ⟨W' ⊢<sub>□</sub> ⊥⟩  
 using *assms unfolding consistent-def by blast*  
 let ?S = ⟨removeAll (¬ p) W'⟩  
 have ⟨¬ consistent ({¬ p} ∪ set ?S)⟩  
 unfolding *consistent-def* using W'(2) by *auto*  
 moreover have ⟨finite (set ?S)⟩  
 by *blast*  
 moreover have ⟨{¬ p} ∪ set ?S ⊆ {¬ p} ∪ V⟩  
 using W'(1) by *auto*  
 moreover have ⟨¬ p ∉ set ?S⟩  
 by *simp*  
 ultimately show *?thesis*  
 by (meson *that*)  
 qed

**lemma** *MCS-consequent*:

assumes ⟨consistent V⟩ ⟨maximal V⟩ ⟨p ⟶ q ∈ V⟩ ⟨p ∈ V⟩  
 shows ⟨q ∈ V⟩  
 using *assms MCS-derive*  
 by (metis (mono-tags, lifting) K-imp-ly-Cons K-imp-ly-head K-right-mp insert-subset list.simps(15))

**theorem** *deriv-in-maximal*:

assumes ⟨consistent V⟩ ⟨maximal V⟩ ⟨⊢<sub>□</sub> p⟩  
 shows ⟨p ∈ V⟩  
 using *assms R1 derive-split1 unfolding consistent-def maximal-def* by (metis *imp-ly.simps(2)*)

**theorem** *exactly-one-in-maximal*:

assumes ⟨consistent V⟩ ⟨maximal V⟩  
 shows ⟨p ∈ V ⟷ (¬ p) ∉ V⟩  
 using *assms MCS-derive MCS-derive-fls* by (metis K-Boole K-imp-ly-Cons K-imp-ly-head K-right-mp)

## 6.7 Truth Lemma

**abbreviation** *val* :: ⟨('i, 'p) fm set ⟹ 'p ⟹ bool⟩ **where**  
 ⟨val V P ≡ ‡P ∈ V⟩

**abbreviation** *known* :: ⟨('i, 'p) fm set ⟹ 'i ⟹ ('i, 'p) fm set⟩ **where**  
 ⟨known V i ≡ {p. □ i p ∈ V}⟩

**abbreviation** *reach* :: ⟨'i ⟹ ('i, 'p) fm set ⟹ ('i, 'p) fm set set⟩ **where**  
 ⟨reach i V ≡ {W. known V i ⊆ W}⟩

**abbreviation** *mcss* :: ⟨('i, 'p) fm set set⟩ **where**

$\langle mcss \equiv \{W. \text{consistent } W \wedge \text{maximal } W\} \rangle$

**abbreviation** *canonical* ::  $\langle ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model} \rangle$  **where**  
 $\langle \text{canonical} \equiv \text{Model } mcss \text{ reach val} \rangle$

**fun** *semics* ::

$\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow (('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{semics } - - \perp \longleftrightarrow \text{False} \rangle$   
 $\langle \text{semics } (M, w) - (\ddagger P) \longleftrightarrow \mathcal{V} M w P \rangle$   
 $\langle \text{semics } (M, w) \text{ rel } (p \longrightarrow q) \longleftrightarrow \text{rel } (M, w) p \longrightarrow \text{rel } (M, w) q \rangle$   
 $\langle \text{semics } (M, w) \text{ rel } (\Box i p) \longleftrightarrow (\forall v \in \mathcal{W} M \cap \mathcal{R} M i w. \text{rel } (M, v) p) \rangle$

**fun** *rel* ::  $\langle ('i, 'p) \text{ fm set} \Rightarrow ('i, 'p, ('i, 'p) \text{ fm set}) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{rel } - (-, w) p = (p \in w) \rangle$

**lemma** *Hintikka-model'*:

**fixes** *V* ::  $\langle ('i, 'p) \text{ fm set} \rangle$   
**assumes**  $\langle \bigwedge (V :: ('i, 'p) \text{ fm set}) p. V \in mcss \implies \text{semics } (\text{canonical}, V) (\text{rel } H) p \longleftrightarrow p \in V \rangle$   
**shows**  $\langle V \in mcss \implies (\text{canonical}, V) \models p \longleftrightarrow p \in V \rangle$

**proof** (*induct p arbitrary: V rule: wf-induct[where r= $\langle$ measure size $\rangle$ ]*)

**case** 1  
**then show** ?case ..  
**next**  
**case** (2 *x*)  
**then show** ?case  
**using** *assms[of V x]* **by** (*cases x auto*)  
**qed**

**lemma** *maximal-extension*:

**assumes**  $\langle \text{consistent } V \rangle$   
**shows**  $\langle \exists W. V \subseteq W \wedge \text{consistent } W \wedge \text{maximal } W \rangle$   
**using** *assms MCS-Extend' Extend-subset* **by** *meson*

**lemma** *Hintikka-canonical*:

**assumes**  $\langle V \in mcss \rangle$   
**shows**  $\langle \text{semics } (\text{canonical}, V) (\text{rel } H) p \longleftrightarrow \text{rel } H (\text{canonical}, V) p \rangle$

**proof** (*cases p*)

**case** *Fls*  
**have**  $\langle \perp \notin V \rangle$   
**using** *assms MCS-derive unfolding consistent-def* **by** *blast*  
**then show** ?thesis  
**using** *Fls* **by** *simp*  
**next**  
**case** (*Imp p q*)  
**have**  $\langle (p \in V \longrightarrow q \in V) \longleftrightarrow p \longrightarrow q \in V \rangle$   
**using** *assms MCS-derive MCS-derive-fls MCS-consequent*  
**by** (*metis (no-types, lifting) CollectD K-Boole K-ImpI K-imply-Cons*)  
**then show** ?thesis  
**using** *Imp* **by** *simp*  
**next**  
**case** (*Box i p*)  
**have**  $\langle (\forall v \in mcss \cap \text{reach } i V. p \in v) = (\Box i p \in V) \rangle$   
**proof**  
**assume**  $\langle \Box i p \in V \rangle$   
**then show**  $\langle \forall v \in mcss \cap \text{reach } i V. p \in v \rangle$   
**by** *auto*

next

assume \*:  $\langle \forall v \in mcss \cap reach\ i\ V. p \in v \rangle$

have  $\langle consistent\ V \rangle \langle maximal\ V \rangle$

using  $\langle V \in mcss \rangle$  by *blast+*

have  $\langle \neg consistent\ (\{\neg p\} \cup known\ V\ i) \rangle$

proof

assume  $\langle consistent\ (\{\neg p\} \cup known\ V\ i) \rangle$

then obtain  $W$  where  $W: \langle \{\neg p\} \cup known\ V\ i \subseteq W \rangle \langle consistent\ W \rangle \langle maximal\ W \rangle$

using  $\langle V \in mcss \rangle$  *maximal-extension* by *blast*

then have  $\langle (canonical, W) \models \neg p \rangle$

using \* *exactly-one-in-maximal* by *auto*

moreover have  $\langle W \in reach\ i\ V \rangle \langle W \in mcss \rangle$

using  $W$  by *simp-all*

ultimately have  $\langle (canonical, V) \models \neg \Box i\ p \rangle$

by *auto*

then show *False*

using \*  $W(1)$   $\langle W \in mcss \rangle$  *exactly-one-in-maximal* by *auto*

qed

then obtain  $W$  where  $W:$

$\langle \{\neg p\} \cup W \subseteq \{\neg p\} \cup known\ V\ i \rangle \langle (\neg p) \notin W \rangle \langle finite\ W \rangle \langle \neg consistent\ (\{\neg p\} \cup W) \rangle$

using *exists-finite-inconsistent* by *metis*

obtain  $L$  where  $L: \langle set\ L = W \rangle$

using  $\langle finite\ W \rangle$  *finite-list* by *blast*

then have  $\langle \vdash_{\Box} L \rightsquigarrow p \rangle$

using  $W(4)$  *derive-split1 unfolding consistent-def* by (*meson K-Boole K-imp-weak*)

then have  $\langle \vdash_{\Box} \Box i\ (L \rightsquigarrow p) \rangle$

using *R2* by *fast*

then have  $\langle map\ (\Box\ i)\ L \vdash_{\Box} \Box i\ p \rangle$

using *K-distrib-K-imp* by *fast*

then have  $\langle (map\ (\Box\ i)\ L \rightsquigarrow \Box i\ p) \in V \rangle$

using *deriv-in-maximal*  $\langle V \in mcss \rangle$  by *blast*

then show  $\langle \Box i\ p \in V \rangle$

using  $L\ W(1-2)$

proof (*induct L arbitrary: W*)

case (*Cons a L*)

then have  $\langle \Box i\ a \in V \rangle$

by *auto*

then have  $\langle (map\ (\Box\ i)\ L \rightsquigarrow \Box i\ p) \in V \rangle$

using *Cons(2)*  $\langle consistent\ V \rangle \langle maximal\ V \rangle$  *MCS-consequent* by *auto*

then show *?case*

using *Cons* by *auto*

qed *simp*

qed

then show *?thesis*

using *Box* by *simp*

qed *simp*

interpretation *Truth-No-Saturation consistent semics semantics*

$\langle \lambda-. \{(canonical, V) \mid V. V \in mcss\} \rangle rel$

proof

fix  $p$  and  $M :: \langle ('i, 'p, ('i, 'p)\ fm\ set)\ ctx \rangle$

show  $\langle (M \models p) = semics\ M\ semantics\ p \rangle$

```

  by (cases M, induct p) simp-all
next
fix p and H :: ⟨('i, 'p) fm set⟩ and M :: ⟨('i, 'p, ('i, 'p) fm set) ctx⟩
assume ⟨∀ M ∈ {(canonical, V) | V. V ∈ mcss}. ∀ p. semics M (rel H) p = rel H M p⟩
  ⟨M ∈ {(canonical, V) | V. V ∈ mcss}⟩
then show ⟨(M ⊨ p) = rel H M p⟩
  using Hintikka-model[of H - p] by auto
next
fix H :: ⟨('i, 'p) fm set⟩
assume ⟨consistent H⟩ ⟨maximal H⟩
then show ⟨∀ M ∈ {(canonical, V) | V. V ∈ mcss}. ∀ p. semics M (rel H) p = rel H M p⟩
  using Hintikka-canonical by blast
qed

```

lemma *Truth-lemma*:

```

assumes ⟨consistent V⟩ ⟨maximal V⟩
shows ⟨(canonical, V) ⊨ p ⟷ p ∈ V⟩
using assms truth-lemma-no-saturation by fastforce

```

lemma *canonical-model*:

```

assumes ⟨consistent S⟩ ⟨p ∈ S⟩
defines ⟨V ≡ Extend S⟩ and ⟨M ≡ canonical⟩
shows ⟨(M, V) ⊨ p⟩ ⟨consistent V⟩ ⟨maximal V⟩

```

proof –

```

have ⟨consistent V⟩
  using ⟨consistent S⟩ unfolding V-def using consistent-Extend by auto
have ⟨maximal V⟩
  unfolding V-def using maximal-Extend by blast
{ fix x
  assume ⟨x ∈ S⟩
  then have ⟨x ∈ V⟩
    unfolding V-def using Extend-subset by blast
  then have ⟨(M, V) ⊨ x⟩
    unfolding M-def using Truth-lemma ⟨consistent V⟩ ⟨maximal V⟩ by blast }
then show ⟨(M, V) ⊨ p⟩
  using ⟨p ∈ S⟩ by blast+
show ⟨consistent V⟩ ⟨maximal V⟩
  by fact+

```

qed

## 6.8 Completeness

theorem *strong-completeness*:

```

assumes ⟨∀ M :: ('i, 'p, ('i, 'p) fm set) model. ∀ w ∈ W M.
  (∀ q ∈ X. (M, w) ⊨ q) ⟶ (M, w) ⊨ p⟩
shows ⟨∃ A. set A ⊆ X ∧ A ⊢□ p⟩

```

proof (rule ccontr)

```

assume ⟨¬∃ A. set A ⊆ X ∧ A ⊢□ p⟩
then have *: ⟨∀ A. set A ⊆ X ⟶ ¬(¬ p) # A ⊢□ ⊥⟩
  using K-Boole by blast

```

```

let ?S = ⟨{¬ p} ∪ X⟩

```

```

let ?V = ⟨Extend ?S⟩

```

```

have ⟨consistent ?S⟩

```

```

    using * derive-split1 unfolding consistent-def by meson
then have  $\langle \text{canonical}, ?V \rangle \models (\neg p) \rangle \langle \forall q \in X. \langle \text{canonical}, ?V \rangle \models q \rangle$ 
    using canonical-model by fastforce+
moreover have  $\langle ?V \in \text{mcss} \rangle$ 
    using  $\langle \text{consistent } ?S \rangle$  maximal-Extend canonical-model(2) by blast
ultimately have  $\langle \text{canonical}, ?V \rangle \models p \rangle$ 
    using assms by simp
then show False
    using  $\langle \text{canonical}, ?V \rangle \models (\neg p) \rangle$  by simp
qed

abbreviation valid ::  $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  where
   $\langle \text{valid } p \equiv \forall (M :: ('i, 'p, ('i, 'p) \text{ fm set}) \text{ model}). \forall w \in \mathcal{W} M. (M, w) \models p \rangle$ 

corollary completeness:  $\langle \text{valid } p \Longrightarrow \vdash_{\square} p \rangle$ 
  using strong-completeness[where  $X = \langle \{ \} \rangle$ ] by simp

theorem main:  $\langle \text{valid } p \longleftrightarrow \vdash_{\square} p \rangle$ 
  using soundness completeness by meson

end

```

# Chapter 7

## Example: Hybrid Logic

theory *Example-Hybrid-Logic* imports *Derivations* begin

### 7.1 Syntax

**datatype** (*nominals-fm*: 'i, 'p) *fm*  
= *Fls* ( $\langle \perp \rangle$ )  
| *Pro* 'p ( $\langle \ddagger \rangle$ )  
| *Nom* 'i ( $\langle \cdot \rangle$ )  
| *Imp* ( $\langle 'i, 'p \rangle$  *fm*) ( $\langle 'i, 'p \rangle$  *fm*) (**infixr**  $\langle \longrightarrow \rangle$  55)  
| *Dia* ( $\langle 'i, 'p \rangle$  *fm*) ( $\langle \diamond \rangle$ )  
| *Sat* 'i ( $\langle 'i, 'p \rangle$  *fm*) ( $\langle @ \rangle$ )

**abbreviation** *Neg* ( $\langle \neg \rightarrow [70] 70 \rangle$ ) **where**  $\langle \neg p \equiv p \longrightarrow \perp \rangle$

**type-synonym** ( $\langle 'i, 'p \rangle$  *lbd*) =  $\langle 'i \times ('i, 'p) \text{ fm} \rangle$

**primrec** *nominals-lbd* ::  $\langle ('i, 'p) \text{ lbd} \Rightarrow 'i \text{ set} \rangle$  **where**  
 $\langle \text{nominals-lbd } (i, p) = \{i\} \cup \text{nominals-fm } p \rangle$

**abbreviation** *nominals* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \text{ set} \rangle$  **where**  
 $\langle \text{nominals } S \equiv \bigcup ip \in S. \text{nominals-lbd } ip \rangle$

**lemma** *finite-nominals-fm*:  $\langle \text{finite } (\text{nominals-fm } p) \rangle$   
**by** (*induct p*) *simp-all*

**lemma** *finite-nominals-lbd*:  $\langle \text{finite } (\text{nominals-lbd } p) \rangle$   
**by** (*cases p*) (*simp add: finite-nominals-fm*)

### 7.2 Semantics

**datatype** ( $\langle 'w, 'p \rangle$  *model*) =  
*Model* (*R*:  $\langle 'w \Rightarrow 'w \text{ set} \rangle$ ) (*V*:  $\langle 'w \Rightarrow 'p \Rightarrow \text{bool} \rangle$ )

**type-synonym** ( $\langle 'i, 'p, 'w \rangle$  *ctx*) =  $\langle ('w, 'p) \text{ model} \times ('i \Rightarrow 'w) \times 'w \rangle$

**fun** *semantics* ::  $\langle ('i, 'p, 'w) \text{ ctx} \Rightarrow ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  ( $\langle \cdot \models \rightarrow [50, 50] 50 \rangle$ ) **where**  
 $\langle (M, g, w) \models \perp \longleftrightarrow \text{False} \rangle$   
 $\langle (M, \cdot, w) \models \ddagger P \longleftrightarrow V M w P \rangle$   
 $\langle (\cdot, g, w) \models \cdot i \longleftrightarrow w = g i \rangle$   
 $\langle (M, g, w) \models (p \longrightarrow q) \longleftrightarrow (M, g, w) \models p \longrightarrow (M, g, w) \models q \rangle$

|  $\langle (M, g, w) \models \diamond p \longleftrightarrow (\exists v \in R M w. (M, g, v) \models p) \rangle$   
|  $\langle (M, g, -) \models @i p \longleftrightarrow (M, g, g i) \models p \rangle$

**lemma semantics-fresh:**  $\langle i \notin \text{nominals-fm } p \implies ((M, g, w) \models p) = ((M, g(i := v), w) \models p) \rangle$   
**by** (induct p arbitrary: w) auto

**lemma semantics-fresh-lbd:**

$\langle k \notin \text{nominals-lbd } (i, p) \implies ((M, g, w) \models p) = ((M, g(k := v), w) \models p) \rangle$   
**by** (induct p arbitrary: w) auto

## 7.3 Calculus

**inductive Calculus** ::  $\langle ('i, 'p) \text{ lbd list} \implies ('i, 'p) \text{ lbd} \implies \text{bool} \rangle (\langle - \vdash_{@} - \rangle [50, 50] 50)$  **where**

*Assm* [intro]:  $\langle (i, p) \in \text{set } A \implies A \vdash_{@} (i, p) \rangle$   
| *Ref* [intro]:  $\langle A \vdash_{@} (i, \cdot i) \rangle$   
| *Nom* [intro]:  $\langle A \vdash_{@} (i, \cdot k) \implies A \vdash_{@} (i, p) \implies A \vdash_{@} (k, p) \rangle$   
| *FlsE* [elim]:  $\langle A \vdash_{@} (i, \perp) \implies A \vdash_{@} (k, p) \rangle$   
| *ImpI* [intro]:  $\langle (i, p) \# A \vdash_{@} (i, q) \implies A \vdash_{@} (i, p \longrightarrow q) \rangle$   
| *ImpE* [elim]:  $\langle A \vdash_{@} (i, p \longrightarrow q) \implies A \vdash_{@} (i, p) \implies A \vdash_{@} (i, q) \rangle$   
| *SatI* [intro]:  $\langle A \vdash_{@} (i, p) \implies A \vdash_{@} (k, @i p) \rangle$   
| *SatE* [elim]:  $\langle A \vdash_{@} (i, @k p) \implies A \vdash_{@} (k, p) \rangle$   
| *DiaI* [intro]:  $\langle A \vdash_{@} (i, \diamond (\cdot k)) \implies A \vdash_{@} (k, p) \implies A \vdash_{@} (i, \diamond p) \rangle$   
| *DiaE* [elim]:  $\langle A \vdash_{@} (i, \diamond p) \implies k \notin \text{nominals } (\{(i, p), (j, q)\} \cup \text{set } A) \implies$   
 $(k, p) \# (i, \diamond (\cdot k)) \# A \vdash_{@} (j, q) \implies A \vdash_{@} (j, q) \rangle$   
| *Clas*:  $\langle (i, p \longrightarrow q) \# A \vdash_{@} (i, p) \implies A \vdash_{@} (i, p) \rangle$   
| *Weak*:  $\langle A \vdash_{@} (i, p) \implies (k, q) \# A \vdash_{@} (i, p) \rangle$

## 7.4 Soundness

**theorem soundness:**  $\langle A \vdash_{@} (i, p) \implies \text{list-all } (\lambda(i, p). (M, g, g i) \models p) A \implies (M, g, g i) \models p \rangle$

**proof** (induct  $\langle (i, p) \rangle$  arbitrary: i p g rule: Calculus.induct)

case (Nom A i k p)

then show ?case

by fastforce

next

case (DiaE A i p k j q)

then have  $\langle (M, g, g i) \models \diamond p \rangle$

by blast

then obtain v where v:  $\langle v \in R M (g i) \rangle \langle (M, g, v) \models p \rangle$

by auto

let ?g =  $\langle g(k := v) \rangle$

have  $\langle (M, ?g, ?g k) \models p \rangle \langle (M, ?g, ?g i) \models \diamond (\cdot k) \rangle$

using v fun-upd-same DiaE(3) semantics-fresh-lbd **by** fastforce+

moreover have  $\langle \text{list-all } (\lambda(i, p). (M, ?g, ?g i) \models p) A \rangle$

using DiaE.premis DiaE.hyps(3) semantics-fresh-lbd **by** (induct A) fastforce+

ultimately have  $\langle \text{list-all } (\lambda(i, p). (M, ?g, ?g i) \models p) ((k, p) \# (i, \diamond (\cdot k)) \# A) \rangle$

by simp

then have  $\langle (M, ?g, ?g j) \models q \rangle$

using DiaE.hyps **by** fast

then show ?case

using DiaE.hyps(3) semantics-fresh-lbd **by** fastforce

**qed** (auto simp: list-all-iff)

**corollary soundness':**  $\langle [] \vdash_{@} (i, p) \implies i \notin \text{nominals-fm } p \implies (M, g, w) \models p \rangle$

using soundness semantics-fresh **by** (metis fun-upd-same list.pred-inject(1))

**corollary**  $\langle \neg (\Box \vdash_{\text{@}} (i, \perp)) \rangle$   
**using** *soundness'* **by** *fastforce*

## 7.5 Admissible Rules

**lemma** *Assm-head*:  $\langle (p, i) \# A \vdash_{\text{@}} (p, i) \rangle$   
**by** *auto*

**lemma** *deduct1*:  $\langle A \vdash_{\text{@}} (i, p \longrightarrow q) \implies (i, p) \# A \vdash_{\text{@}} (i, q) \rangle$   
**by** (*meson ImpE Weak Assm-head*)

**lemma** *Boole*:  $\langle (i, \neg p) \# A \vdash_{\text{@}} (i, \perp) \implies A \vdash_{\text{@}} (i, p) \rangle$   
**using** *Clas FlsE* **by** *meson*

**lemma** *Weak'*:  $\langle A \vdash_{\text{@}} (i, p) \implies B @ A \vdash_{\text{@}} (i, p) \rangle$

**proof** (*induct B*)  
**case** (*Cons b B*)  
**then show** *?case*  
**by** (*cases b*) (*metis Cons Weak append-Cons*)  
**qed** *simp*

**lemma** *ImpI'*:  
**assumes**  $\langle (k, q) \# A \vdash_{\text{@}} (i, p) \rangle$   
**shows**  $\langle A \vdash_{\text{@}} (i, (@k q) \longrightarrow p) \rangle$   
**using** *assms*

**proof** –  
**have**  $\langle (k, q) \# A \vdash_{\text{@}} (k, @i p) \rangle$   
**using** *assms* **by** *fast*  
**then show** *?thesis*  
**by** (*meson Assm-head ImpE ImpI SatE Weak*)  
**qed**

**lemma** *Weaken*:  $\langle A \vdash_{\text{@}} (i, p) \implies \text{set } A \subseteq \text{set } B \implies B \vdash_{\text{@}} (i, p) \rangle$

**proof** (*induct A arbitrary: i p*)  
**case** *Nil*  
**then show** *?case*  
**using** *Weak'* **by** *fastforce*  
**next**  
**case** (*Cons kq A*)  
**then show** *?case*  
**proof** (*cases kq*)  
**case** (*Pair k q*)  
**then show** *?thesis*  
**using** *Cons* **by** (*meson Assm ImpI' ImpE SatI list.set-intros(1) set-subset-Cons subset-eq*)  
**qed**  
**qed**

**interpretation** *Derivations Calculus*

**proof**  
**fix** *A B* **and** *p* ::  $\langle ('i, 'p) \text{ lbd} \rangle$   
**assume**  $\langle A \vdash_{\text{@}} p \rangle$   $\langle \text{set } A \subseteq \text{set } B \rangle$   
**then show**  $\langle B \vdash_{\text{@}} p \rangle$   
**by** (*cases p*) (*metis Weaken*)  
**qed**

## 7.6 Maximal Consistent Sets

**definition** *consistent* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{consistent } S \equiv \nexists S' a. \text{ set } S' \subseteq S \wedge S' \vdash_{\text{@}} (a, \perp) \rangle$

**lemma** *consistent-add-witness*:

**assumes**  $\langle \text{consistent } S \rangle \langle (i, \diamond p) \in S \rangle \langle k \notin \text{nominals } S \rangle$   
**shows**  $\langle \text{consistent } (\{(k, p), (i, \diamond (\cdot k))\} \cup S) \rangle$   
**unfolding** *consistent-def*

**proof**

**assume**  $\langle \exists S' a. \text{ set } S' \subseteq \{(k, p), (i, \diamond (\cdot k))\} \cup S \wedge S' \vdash_{\text{@}} (a, \perp) \rangle$   
**then obtain**  $S'$  **a where**  $\langle \text{set } S' \subseteq S \rangle \langle (k, p) \# (i, \diamond (\cdot k)) \# S' \vdash_{\text{@}} (a, \perp) \rangle$   
**using** *assms derive-split* [**where**  $X=S$  **and**  $B=\langle [(k, p), (i, \diamond (\cdot k))] \rangle$ ]  
**by** (*metis append-Cons append-Nil list.simps(15) set-empty*)  
**then have**  $\langle (k, p) \# (i, \diamond (\cdot k)) \# S' \vdash_{\text{@}} (i, \perp) \rangle$   
**by** *fast*  
**then have**  $\langle (k, p) \# (i, \diamond (\cdot k)) \# (i, \diamond p) \# S' \vdash_{\text{@}} (i, \perp) \rangle$   
**by** (*fastforce intro: Weaken*)  
**moreover have**  $\langle k \notin \text{nominals } (\{(i, p), (i, \perp)\} \cup \text{set } ((i, \diamond p) \# S')) \rangle$   
**using**  $\langle \text{set } S' \subseteq S \rangle$  *assms(2-3)* **by** *auto*  
**moreover have**  $\langle (i, \diamond p) \# S' \vdash_{\text{@}} (i, \diamond p) \rangle$   
**by** *auto*  
**ultimately have**  $\langle (i, \diamond p) \# S' \vdash_{\text{@}} (i, \perp) \rangle$   
**by** *fastforce*  
**moreover have**  $\langle \text{set } ((i, \diamond p) \# S') \subseteq S \rangle$   
**using**  $\langle \text{set } S' \subseteq S \rangle$  *assms(2)* **by** *simp*  
**ultimately show** *False*  
**using** *assms(1)* **unfolding** *consistent-def* **by** *blast*

**qed**

**fun** *witness* ::  $\langle ('i, 'p) \text{ lbd} \Rightarrow ('i, 'p) \text{ lbd set} \Rightarrow ('i, 'p) \text{ lbd set} \rangle$  **where**  
 $\langle \text{witness } (i, \diamond p) S = (\text{let } k = (\text{SOME } k. k \notin \text{nominals } (\{(i, p)\} \cup S)) \text{ in } \{(k, p), (i, \diamond (\cdot k))\}) \rangle$   
 $\langle \text{witness } (-, -) - = \{\} \rangle$

**lemma** *consistent-witness'*:

**assumes**  $\langle \text{consistent } (\{(i, p)\} \cup S) \rangle \langle \text{infinite } (\text{UNIV} - \text{nominals } S) \rangle$   
**shows**  $\langle \text{consistent } (\text{witness } (i, p) S \cup \{(i, p)\} \cup S) \rangle$   
**using** *assms*

**proof** (*induct*  $\langle (i, p) \rangle$  *S arbitrary: i p rule: witness.induct*)

**case** (*1 i p S*)

**have**  $\langle \text{infinite } (\text{UNIV} - \text{nominals } (\{(i, p)\} \cup S)) \rangle$   
**using** *1(2) finite-nominals-lbd*

**by** (*metis UN-Un finite.emptyI finite.insertI finite-UN-I infinite-Diff-fin-Un*)

**then have**  $\langle \exists k. k \notin \text{nominals } (\{(i, p)\} \cup S) \rangle$

**by** (*simp add: not-finite-existsD set-diff-eq*)

**then have**  $\langle (\text{SOME } k. k \notin \text{nominals } (\{(i, p)\} \cup S)) \notin \text{nominals } (\{(i, p)\} \cup S) \rangle$   
**by** (*rule someI-ex*)

**then obtain**  $k$  **where**  $\langle \text{witness } (i, \diamond p) S = \{(k, p), (i, \diamond (\cdot k))\} \rangle$   
 $\langle k \notin \text{nominals } (\{(i, \diamond p)\} \cup S) \rangle$

**by** (*simp add: Let-def*)

**then show** *?case*

**using** *1(1-2) consistent-add-witness* [**where**  $S=\langle \{(i, \diamond p)\} \cup S \rangle$ ] **by** *simp*

**qed** (*auto simp: assms*)

**interpretation** *MCS-Saturation consistent nominals-lbd witness*

**proof**

```

fix S S' :: ⟨('i, 'p) lbd set⟩
assume ⟨consistent S⟩ ⟨S' ⊆ S⟩
then show ⟨consistent S'⟩
  unfolding consistent-def by fast
next
fix S :: ⟨('i, 'p) lbd set⟩
assume ⟨¬ consistent S⟩
then show ⟨∃ S' ⊆ S. finite S' ∧ ¬ consistent S'⟩
  unfolding consistent-def by blast
next
fix ip :: ⟨('i, 'p) lbd⟩
show ⟨finite (nominals-lbd ip)⟩
  using finite-nominals-fm by (cases ip) simp
next
fix ip :: ⟨('i, 'p) lbd⟩ and S :: ⟨('i, 'p) lbd set⟩
show ⟨finite (nominals (witness ip S))⟩
  by (induct ip S rule: witness.induct) (auto simp: finite-nominals-fm Let-def)
next
fix ip and S :: ⟨('i, 'p) lbd set⟩
assume ⟨consistent ({ip} ∪ S)⟩ ⟨infinite (UNIV - nominals S)⟩
then show ⟨consistent (witness ip S ∪ {ip} ∪ S)⟩
  using consistent-witness' by (cases ip) simp
next
have ⟨infinite (UNIV :: ('i, 'p) fm set)⟩
  using infinite-UNIV-size[of ⟨◇⟩] by simp
then show ⟨infinite (UNIV :: ('i, 'p) lbd set)⟩
  using finite-prod by blast
qed

```

**interpretation** *Derivations-MCS-Cut Calculus consistent* ⟨(undefined, ⊥)⟩

**proof**

```

fix S :: ⟨('i, 'p) lbd set⟩
show ⟨consistent S = (∯ S'. set S' ⊆ S ∧ S' ⊢@ (undefined, ⊥))⟩
  unfolding consistent-def by fast
next
fix A and p :: ⟨('i, 'p) lbd⟩
assume ⟨p ∈ set A⟩
then show ⟨A ⊢@ p⟩
  by (metis Assm surj-pair)
next
fix A B and p q :: ⟨('i, 'p) lbd⟩
assume ⟨A ⊢@ p⟩ ⟨p # B ⊢@ q⟩
then have ⟨A @ B ⊢@ p⟩ ⟨p # A @ B ⊢@ q⟩
  by (simp-all add: derive-struct subsetI)
then show ⟨A @ B ⊢@ q⟩
  by (cases p, cases q) (meson ImpE ImpI' SatI)
qed

```

**lemma saturated:** ⟨saturated H ⟹ (i, ◇p) ∈ H ⟹ ∃k. (i, ◇(•k)) ∈ H ∧ (k, p) ∈ H⟩

**unfolding** *saturated-def* by (metis insert-subset witness.simps(1))

## 7.7 Nominals

**lemma** *MCS-Nom-refl:*

**assumes** ⟨consistent S⟩ ⟨maximal S⟩

**shows**  $\langle (i, \cdot i) \in S \rangle$   
**using** *assms Ref* **by** (*metis MCS-derive MCS-derive-fls*)

**lemma** *MCS-Nom-sym*:  
**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot k) \in S \rangle$   
**shows**  $\langle (k, \cdot i) \in S \rangle$   
**using** *assms Nom Ref* **by** (*metis MCS-derive*)

**lemma** *MCS-Nom-trans*:  
**assumes**  $\langle \text{consistent } S \rangle \langle \text{maximal } S \rangle \langle (i, \cdot j) \in S \rangle \langle (j, \cdot k) \in S \rangle$   
**shows**  $\langle (i, \cdot k) \in S \rangle$

**proof** –

**have**  $\langle \exists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{@} (i, \cdot j) \rangle$   
**using** *assms MCS-derive* **by** *blast*  
**then have**  $\langle \exists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{@} (i, \cdot j) \wedge S' \vdash_{@} (j, \cdot k) \rangle$   
**by** (*metis Assm-head Calculus.intros(12) assms(4) insert-subset list.set(2)*)  
**then have**  $\langle \exists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{@} (i, \cdot k) \rangle$   
**using** *Nom Ref* **by** *metis*  
**then show** *?thesis*  
**using** *assms MCS-derive* **by** *blast*

**qed**

## 7.8 Truth Lemma

**fun** *semics* ::  $\langle (i, 'p, 'w) \text{ ctx} \Rightarrow ((i, 'p, 'w) \text{ ctx} \Rightarrow (i, 'p) \text{ fm} \Rightarrow \text{bool}) \Rightarrow (i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$   
**where**

$\langle \text{semics } - - \perp \longleftrightarrow \text{False} \rangle$   
 $\langle \text{semics } (M, -, w) - (\ddagger P) \longleftrightarrow V M w P \rangle$   
 $\langle \text{semics } (-, g, w) - (\cdot i) \longleftrightarrow w = g i \rangle$   
 $\langle \text{semics } (M, g, w) \text{ rel } (p \longrightarrow q) \longleftrightarrow \text{rel } (M, g, w) p \longrightarrow \text{rel } (M, g, w) q \rangle$   
 $\langle \text{semics } (M, g, w) \text{ rel } (\diamond p) \longleftrightarrow (\exists v \in R M w. \text{rel } (M, g, v) p) \rangle$   
 $\langle \text{semics } (M, g, -) \text{ rel } (@ i p) \longleftrightarrow \text{rel } (M, g, g i) p \rangle$

**fun** *rel* ::  $\langle (i, 'p) \text{ lbd set} \Rightarrow (i, 'p, 'i) \text{ ctx} \Rightarrow (i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{rel } H (-, -, i) p = ((i, p) \in H) \rangle$

**definition** *val* ::  $\langle (i, 'p) \text{ lbd set} \Rightarrow i \Rightarrow 'p \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{val } H i P \equiv (i, \ddagger P) \in H \rangle$

**definition** *hequiv* ::  $\langle (i, 'p) \text{ lbd set} \Rightarrow i \Rightarrow 'i \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{hequiv } H i k \equiv (i, \cdot k) \in H \rangle$

**lemma** *hequiv-reflp*:  
**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$   
**shows**  $\langle \text{reflp } (\text{hequiv } H) \rangle$   
**unfolding** *hequiv-def reflp-def* **using** *assms MCS-Nom-refl* **by** *fast*

**lemma** *hequiv-symp*:  
**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$   
**shows**  $\langle \text{symp } (\text{hequiv } H) \rangle$   
**unfolding** *hequiv-def symp-def* **using** *assms MCS-Nom-sym* **by** *fast*

**lemma** *hequiv-transp*:  
**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$   
**shows**  $\langle \text{transp } (\text{hequiv } H) \rangle$

**unfolding** *hequiv-def transp-def* **using** *assms MCS-Nom-trans* **by** *fast*

**lemma** *hequiv-equivp*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$

**shows**  $\langle \text{equivp } (\text{hequiv } H) \rangle$

**using** *assms* **by** *(simp add: equivpI hequiv-reflp hequiv-symp hequiv-transp)*

**definition** *assign* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \rangle$  **where**

$\langle \text{assign } H \ i \equiv \text{minim } (|UNIV|) \{k. \text{hequiv } H \ i \ k\} \rangle$

**lemma** *hequiv-ne*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$

**shows**  $\langle \{k. \text{hequiv } H \ i \ k\} \neq \{\} \rangle$

**unfolding** *hequiv-def* **using** *assms MCS-Nom-refl* **by** *fast*

**lemma** *hequiv-assign*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle$

**shows**  $\langle \text{hequiv } H \ i \ (\text{assign } H \ i) \rangle$

**unfolding** *assign-def* **using** *assms hequiv-ne wo-rel.minim-in*

**by** *(metis Field-card-of card-of-well-order-on mem-Collect-eq top.extremum wo-rel-def)*

**lemma** *hequiv-Nom*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{hequiv } H \ i \ k \rangle \langle (i, p) \in H \rangle$

**shows**  $\langle (k, p) \in H \rangle$

**proof** –

**have**  $\langle \exists A. \text{set } A \subseteq H \wedge A \vdash_{\text{@}} (i, p) \rangle$

**using** *assms MCS-derive* **by** *fast*

**then have**  $\langle \exists A. \text{set } A \subseteq H \wedge A \vdash_{\text{@}} (i, p) \wedge A \vdash_{\text{@}} (i, \cdot k) \rangle$

**using** *assms(3)* **unfolding** *hequiv-def* **by** *(metis Assm-head Weak insert-subset list.simps(15))*

**then have**  $\langle \exists A. \text{set } A \subseteq H \wedge A \vdash_{\text{@}} (k, p) \rangle$

**using** *Nom* **by** *fast*

**then show** *?thesis*

**using** *assms MCS-derive* **by** *fast*

**qed**

**definition** *reach* ::  $\langle ('i, 'p) \text{ lbd set} \Rightarrow 'i \Rightarrow 'i \text{ set} \rangle$  **where**

$\langle \text{reach } H \ i \equiv \{ \text{assign } H \ k \mid k. (i, \diamond (\cdot k)) \in H \} \rangle$

**abbreviation** *canonical* ::  $\langle ('i \times ('i, 'p) \text{ fm}) \text{ set} \Rightarrow 'i \Rightarrow ('i, 'p, 'i) \text{ ctx} \rangle$  **where**

$\langle \text{canonical } H \ i \equiv (\text{Model } (\text{reach } H) (\text{val } H), \text{assign } H, \text{assign } H \ i) \rangle$

**lemma** *Hintikka-model'*:

**assumes**  $\langle \bigwedge i \ p. \text{semics } (\text{canonical } H \ i) (\text{rel } H) \ p \longleftrightarrow \text{rel } H (\text{canonical } H \ i) \ p \rangle$

**shows**  $\langle \text{canonical } H \ i \models p \longleftrightarrow \text{rel } H (\text{canonical } H \ i) \ p \rangle$

**proof** *(induct p arbitrary: i rule: wf-induct[where r= $\langle \text{measure size} \rangle$ ])*

**case** 1

**then show** *?case ..*

**next**

**case** (2 *x*)

**then show** *?case*

**using** *assms[of i x]* **by** *(cases x) (auto simp: reach-def)*

**qed**

**lemma** *Hintikka-Extend'*:

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{saturated } H \rangle$

**shows**  $\langle \text{semics } (\text{canonical } H \ i) (\text{rel } H) \ p \longleftrightarrow \text{rel } H (\text{canonical } H \ i) \ p \rangle$

**proof** (*cases p*)  
**case** *Fls*  
**have**  $\langle \text{assign } H \ i, \perp \rangle \notin H$   
**using** *assms(1-2) MCS-derive unfolding consistent-def by fast*  
**then show** *?thesis*  
**using** *Fls by simp*  
**next**  
**case** (*Pro P*)  
**have**  $\langle \text{val } H \ (\text{assign } H \ i) \ P \longleftrightarrow (\text{assign } H \ i, \dagger P) \in H \rangle$   
**unfolding** *val-def ..*  
**then show** *?thesis*  
**using** *Pro by simp*  
**next**  
**case** (*Nom k*)  
**have**  $\langle \text{assign } H \ i = \text{assign } H \ k \longleftrightarrow (\text{assign } H \ i, \cdot k) \in H \rangle$   
**using** *assms(1-2) hequiv-equivp hequiv-assign by (metis assign-def equivp-def hequiv-def)*  
**then show** *?thesis*  
**using** *Nom by simp*  
**next**  
**case** (*Imp p q*)  
**have**  $\langle (i, p) \# A \vdash_{\text{@}} (a, \perp) \implies A \vdash_{\text{@}} (i, p \longrightarrow q) \rangle \langle A \vdash_{\text{@}} (i, q) \implies A \vdash_{\text{@}} (i, p \longrightarrow q) \rangle$  **for** *A a i*  
**by** (*auto simp: Weak*)  
**moreover have**  $\langle A \vdash_{\text{@}} (i, p \longrightarrow q) \implies (i, p) \# A \vdash_{\text{@}} (i, q) \rangle$  **for** *A i*  
**using** *deduct1 .*  
**ultimately have**  $\langle ((\text{assign } H \ i, p) \in H \longrightarrow (\text{assign } H \ i, q) \in H) \longleftrightarrow (\text{assign } H \ i, p \longrightarrow q) \in H \rangle$   
**using** *assms(1-2) MCS-derive MCS-derive-fls by (metis insert-subset list.simps(15))*  
**then show** *?thesis*  
**using** *Imp by simp*  
**next**  
**case** (*Dia p*)  
**have**  $\langle \exists k \in \text{reach } H \ (\text{assign } H \ i). (k, p) \in H \rangle \longleftrightarrow \langle \text{assign } H \ i, \diamond p \rangle \in H$   
**proof**  
**assume**  $\langle \exists k \in \text{reach } H \ (\text{assign } H \ i). (k, p) \in H \rangle$   
**then have**  $\langle \exists k. (\text{assign } H \ i, \diamond (\cdot k)) \in H \wedge (\text{assign } H \ k, p) \in H \rangle$   
**unfolding** *reach-def by fast*  
**then have**  $\langle \exists k. (\text{assign } H \ i, \diamond (\cdot k)) \in H \wedge (k, p) \in H \rangle$   
**by** (*metis assms(1-2) hequiv-Nom hequiv-assign hequiv-symp sympD*)  
**then have**  $\langle \exists k. \exists A. \text{set } A \subseteq H \wedge A \vdash_{\text{@}} (\text{assign } H \ i, \diamond (\cdot k)) \wedge A \vdash_{\text{@}} (k, p) \rangle$   
**by** (*metis Assm-head Weak assms(1-2) MCS-derive insert-subset list.simps(15)*)  
**then have**  $\langle \exists A. \text{set } A \subseteq H \wedge A \vdash_{\text{@}} (\text{assign } H \ i, \diamond p) \rangle$   
**by** *fast*  
**then show**  $\langle \text{assign } H \ i, \diamond p \rangle \in H$   
**by** (*simp add: assms(1-2) MCS-derive*)  
**next**  
**assume**  $\langle \text{assign } H \ i, \diamond p \rangle \in H$   
**then have**  $\langle \exists k. (\text{assign } H \ i, \diamond (\cdot k)) \in H \wedge (k, p) \in H \rangle$   
**using** *assms(3) saturated by fast*  
**then have**  $\langle \exists k. (\text{assign } H \ i, \diamond (\cdot k)) \in H \wedge (\text{assign } H \ k, p) \in H \rangle$   
**by** (*meson assms(1-2) hequiv-Nom hequiv-assign*)  
**then show**  $\langle \exists k \in \text{reach } H \ (\text{assign } H \ i). (k, p) \in H \rangle$   
**unfolding** *reach-def by fast*  
**qed**  
**then show** *?thesis*  
**using** *Dia by simp*  
**next**  
**case** (*Sat k p*)

**have**  $\langle (\text{assign } H \ k, p) \in H \longleftrightarrow (\text{assign } H \ i, \textcircled{k} \ p) \in H \rangle$   
**by**  $\langle \text{metis SatE SatI assms}(1-2) \text{ MCS-derive hequiv-Nom hequiv-assign hequiv-symp sympD} \rangle$   
**then show**  $\langle ?thesis \rangle$   
**using** *Sat* **by** *simp*  
**qed**

**interpretation** *Truth-Saturation*

*consistent nominals-lbd witness semics semantics*  $\langle \lambda H. \{ \text{canonical } H \ i \mid i. \text{True} \} \rangle \text{ rel}$

**proof** *unfold-locales*

**fix**  $p$  **and**  $M :: \langle ('i, 'p, 'w) \text{ ctx} \rangle$

**show**  $\langle (M \models p) = \text{semics } M \text{ semantics } p \rangle$

**by**  $\langle \text{cases } M, \text{induct } p \rangle \text{ auto}$

**next**

**fix**  $p$  **and**  $M :: \langle ('i, 'p, 'i) \text{ ctx} \rangle$

**assume**  $\langle \forall M \in \{ \text{canonical } H \ i \mid i. \text{True} \}. \forall p. \text{semics } M \ (\text{rel } H) \ p = \text{rel } H \ M \ p \rangle$

$\langle M \in \{ \text{canonical } H \ i \mid i. \text{True} \} \rangle$

**then show**  $\langle (M \models p) = \text{rel } H \ M \ p \rangle$

**using** *Hintikka-model'* **by** *fast*

**next**

**fix**  $H :: \langle ('i, 'p) \text{ lbd set} \rangle$

**assume**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{saturated } H \rangle$

**then show**  $\langle \forall M \in \{ \text{canonical } H \ i \mid i. \text{True} \}. \forall p. \text{semics } M \ (\text{rel } H) \ p = \text{rel } H \ M \ p \rangle$

**using** *Hintikka-Extend'* **by** *fast*

**qed**

**lemma** *Truth-lemma:*

**assumes**  $\langle \text{consistent } H \rangle \langle \text{maximal } H \rangle \langle \text{saturated } H \rangle$

**shows**  $\langle (\text{canonical } H \ i \models p) \longleftrightarrow (i, p) \in H \rangle$

**proof** –

**have**  $\langle (\text{canonical } H \ i \models p) \longleftrightarrow (\text{assign } H \ i, p) \in H \rangle$

**using** *truth-lemma-saturation assms* **by** *fastforce*

**then show**  $\langle ?thesis \rangle$

**using** *assms* **by**  $\langle \text{meson MCS-Nom-sym hequiv-Nom hequiv-assign hequiv-def} \rangle$

**qed**

## 7.9 Cardinalities

**datatype** *marker* = *FlsM* | *ImpM* | *DiaM* | *SatM*

**type-synonym**  $\langle ('i, 'p) \text{ enc} = \langle ('i + 'p) + \text{marker} \times \text{nat} \rangle$

**abbreviation**  $\langle \text{NOM } i \equiv \text{Inl } (\text{Inl } i) \rangle$

**abbreviation**  $\langle \text{PRO } x \equiv \text{Inl } (\text{Inr } x) \rangle$

**abbreviation**  $\langle \text{FLS} \equiv \text{Inr } (\text{FlsM}, 0) \rangle$

**abbreviation**  $\langle \text{IMP } n \equiv \text{Inr } (\text{FlsM}, n) \rangle$

**abbreviation**  $\langle \text{DIA} \equiv \text{Inr } (\text{DiaM}, 0) \rangle$

**abbreviation**  $\langle \text{SAT} \equiv \text{Inr } (\text{SatM}, 0) \rangle$

**primrec** *encode* ::  $\langle ('i, 'p) \text{ fm} \Rightarrow ('i, 'p) \text{ enc list} \rangle$  **where**

$\langle \text{encode } \perp = [\text{FLS}] \rangle$

|  $\langle \text{encode } (\ddagger P) = [\text{PRO } P] \rangle$

|  $\langle \text{encode } (\cdot i) = [\text{NOM } i] \rangle$

|  $\langle \text{encode } (p \longrightarrow q) = \text{IMP } (\text{length } (\text{encode } p)) \# \text{encode } p \textcircled{\#} \text{encode } q \rangle$

|  $\langle \text{encode } (\diamond p) = \text{DIA} \# \text{encode } p \rangle$

|  $\langle \text{encode } (\textcircled{\#} i \ p) = \text{SAT} \# \text{NOM } i \# \text{encode } p \rangle$

**lemma** *encode-ne* [*simp*]:  $\langle \text{encode } p \neq [] \rangle$   
 by (*induct p*) *auto*

**lemma** *inj-encode'*:  $\langle \text{encode } p = \text{encode } q \implies p = q \rangle$

**proof** (*induct p arbitrary: q*)

case *Fls*  
 then **show** *?case*  
 by (*cases q*) *auto*

**next**

case (*Pro P*)  
 then **show** *?case*  
 by (*cases q*) *auto*

**next**

case (*Nom i*)  
 then **show** *?case*  
 by (*cases q*) *auto*

**next**

case (*Imp p1 p2*)  
 then **show** *?case*  
 by (*cases q*) *auto*

**next**

case (*Dia p*)  
 then **show** *?case*  
 by (*cases q*) *auto*

**next**

case (*Sat x1a p*)  
 then **show** *?case*  
 by (*cases q*) *auto*

**qed**

**lemma** *inj-encode*:  $\langle \text{inj encode} \rangle$

**unfolding** *inj-def* **using** *inj-encode'* **by** *blast*

**primrec** *encode-lbd* ::  $\langle ('i, 'p) \text{ lbd} \Rightarrow ('i, 'p) \text{ enc list} \rangle$  **where**

$\langle \text{encode-lbd } (i, p) = \text{NOM } i \# \text{encode } p \rangle$

**lemma** *inj-encode-lbd'*:  $\langle \text{encode-lbd } (i, p) = \text{encode-lbd } (k, q) \implies i = k \wedge p = q \rangle$

**using** *inj-encode'* **by** *auto*

**lemma** *inj-encode-lbd*:  $\langle \text{inj encode-lbd} \rangle$

**unfolding** *inj-def* **using** *inj-encode-lbd'* **by** *auto*

**lemma** *finite-marker*:  $\langle \text{finite } (UNIV :: \text{marker set}) \rangle$

**proof** –

**have**  $\langle p \in \{FlsM, ImpM, DiaM, SatM\} \rangle$  **for** *p*  
 by (*cases p*) *auto*

**then show** *?thesis*

by (*meson ex-new-if-finite finite.emptyI finite.insert*)

**qed**

**lemma** *card-of-lbd*:

**assumes**  $\langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle$

**shows**  $\langle |UNIV :: ('i, 'p) \text{ lbd set}| \leq_o |UNIV :: 'i \text{ set}| + c |UNIV :: 'p \text{ set}| \rangle$

**proof** –

**have**  $\langle |UNIV :: \text{marker set}| \leq_o |UNIV :: \text{nat set}| \rangle$

**using** *finite-marker* **by** (*simp add: ordLess-imp-ordLeq*)  
**moreover have**  $\langle \text{infinite } (UNIV :: ('i + 'p) \text{ set}) \rangle$   
**using** *assms* **by** *simp*  
**ultimately have**  $\langle |UNIV :: ('i, 'p) \text{ enc list set}| \leq o |UNIV :: ('i + 'p) \text{ set}| \rangle$   
**using** *card-of-params-marker-lists* **by** *blast*  
**moreover have**  $\langle |UNIV :: ('i, 'p) \text{ lbd set}| \leq o |UNIV :: ('i, 'p) \text{ enc list set}| \rangle$   
**using** *card-of-ordLeq inj-encode-lbd* **by** *blast*  
**ultimately have**  $\langle |UNIV :: ('i, 'p) \text{ lbd set}| \leq o |UNIV :: ('i + 'p) \text{ set}| \rangle$   
**using** *ordLeq-transitive* **by** *blast*  
**then show** *?thesis*  
**unfolding** *csum-def* **by** *simp*  
**qed**

## 7.10 Completeness

**theorem** *strong-completeness:*

**fixes**  $p :: \langle ('i, 'p) \text{ fm} \rangle$   
**assumes**  $\langle \forall M :: ('i, 'p) \text{ model. } \forall g w. \langle \forall (k, q) \in X. (M, g, g k) \models q \rangle \longrightarrow (M, g, w) \models p \rangle$   
 $\langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle$   
 $\langle |UNIV :: 'i \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{nominals } X| \rangle$   
**shows**  $\langle \exists A. \text{set } A \subseteq X \wedge A \vdash_{\text{@}} (i, p) \rangle$

**proof** (*rule ccontr*)

**assume**  $\langle \nexists A. \text{set } A \subseteq X \wedge A \vdash_{\text{@}} (i, p) \rangle$   
**then have**  $*$ :  $\langle \nexists A. \exists a. \text{set } A \subseteq X \wedge ((i, \neg p) \# A \vdash_{\text{@}} (a, \perp)) \rangle$   
**using** *Boole FlsE* **by** *metis*

**let**  $?S = \langle \{(i, \neg p)\} \cup X \rangle$   
**let**  $?H = \langle \text{Extend } ?S \rangle$

**have**  $\langle \text{consistent } ?S \rangle$

**unfolding** *consistent-def* **using**  $*$  *derive-split1* **by** *metis*

**moreover have**  $\langle \text{infinite } (UNIV - \text{nominals } X) \rangle$

**using** *assms(2-3)*

**by** (*metis C infinite-csum Cnotzero-UNIV Field-card-of cinfinite-def cinfinite-mono*)

**then have**  $\langle |UNIV :: 'i \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{nominals } X - \text{nominals-lbd } (i, \neg p)| \rangle$

**using** *assms(3) finite-nominals-lbd card-of-infinite-diff-finite*

**by** (*metis ordIso-iff-ordLeq ordLeq-transitive*)

**then have**  $\langle |UNIV :: 'i \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - (\text{nominals } X \cup \text{nominals-lbd } (i, \neg p))| \rangle$

**by** (*metis Set-Diff-Un*)

**then have**  $\langle |UNIV :: 'i \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{nominals } ?S| \rangle$

**by** (*metis UN-insert insert-is-Un sup-commute*)

**then have**  $\langle |UNIV :: ('i, 'p) \text{ lbd set}| \leq o |UNIV - \text{nominals } ?S| \rangle$

**using** *assms card-of-lbd ordLeq-transitive* **by** *blast*

**ultimately have**  $\langle \text{consistent } ?H \rangle \langle \text{maximal } ?H \rangle \langle \text{saturated } ?H \rangle$

**using** *MCS-Extend* **by** *fast+*

**then have**  $\langle \text{canonical } ?H i \models p \iff (i, p) \in ?H \rangle$  **for**  $i p$

**using** *Truth-lemma* **by** *fast*

**then have**  $\langle (i, p) \in ?S \implies \text{canonical } ?H i \models p \rangle$  **for**  $i p$

**using** *Extend-subset* **by** *blast*

**then have**  $\langle \text{canonical } ?H i \models \neg p \rangle \langle \forall (k, q) \in X. \text{canonical } ?H k \models q \rangle$

**by** *blast+*

**moreover from this have**  $\langle \text{canonical } ?H i \models p \rangle$

**using** *assms(1)* **by** *blast*

**ultimately show** *False*

by *simp*  
qed

**abbreviation** *valid* ::  $\langle ('i, 'p) \text{ fm} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{valid } p \equiv \forall (M :: ('i, 'p) \text{ model}) \ g \ w. (M, g, w) \models p \rangle$

**theorem** *completeness*:

**fixes**  $p :: \langle ('i, 'p) \text{ fm} \rangle$

**assumes**  $\langle \text{valid } p \rangle \langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle \langle |UNIV :: 'p \text{ set}| \leq o \ |UNIV :: 'i \text{ set}| \rangle$

**shows**  $\langle [] \vdash_{\text{@}} (i, p) \rangle$

**proof** –

**have**  $\langle |UNIV :: 'i \text{ set}| + c \ |UNIV :: 'p \text{ set}| \leq o \ |UNIV :: 'i \text{ set}| \rangle$

**using** *assms(2-3)* **by** (*simp add: c infinite-def csum-absorb1 ordIso-imp-ordLeq*)

**then show** *?thesis*

**using** *assms strong-completeness[where X={}] and p=p] infinite-UNIV-listI* **by** *auto*

qed

**corollary** *completeness'*:

**fixes**  $p :: \langle ('i, 'i) \text{ fm} \rangle$

**assumes**  $\langle \text{valid } p \rangle \langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle$

**shows**  $\langle [] \vdash_{\text{@}} (i, p) \rangle$

**using** *assms completeness[of p]* **by** *simp*

**theorem** *main*:

**fixes**  $p :: \langle ('i, 'p) \text{ fm} \rangle$

**assumes**  $\langle i \notin \text{nominals-fm } p \rangle \langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle \langle |UNIV :: 'p \text{ set}| \leq o \ |UNIV :: 'i \text{ set}| \rangle$

**shows**  $\langle \text{valid } p \longleftrightarrow [] \vdash_{\text{@}} (i, p) \rangle$

**using** *assms completeness soundness'* **by** *metis*

**corollary** *main'*:

**fixes**  $p :: \langle ('i, 'i) \text{ fm} \rangle$

**assumes**  $\langle i \notin \text{nominals-fm } p \rangle \langle \text{infinite } (UNIV :: 'i \text{ set}) \rangle$

**shows**  $\langle \text{valid } p \longleftrightarrow [] \vdash_{\text{@}} (i, p) \rangle$

**using** *assms completeness' soundness'* **by** *metis*

end

# Chapter 8

## Example: First-Order Logic

theory *Example-First-Order-Logic* imports *Derivations* begin

### 8.1 Syntax

**datatype** (*params-tm*: 'f) *tm*  
= *Var nat* (<#>)  
| *Fun 'f* <'f *tm list*> (<†>)

**abbreviation** *Const* (<★>) **where** <★*a* ≡ †*a* []>

**datatype** (*params-fm*: 'f, 'p) *fm*  
= *Fls* (<⊥>)  
| *Pre 'p* <'f *tm list*> (<‡>)  
| *Imp* <'f, 'p> *fm*> <'f, 'p> *fm*> (**infixr** <⟶> 55)  
| *Uni* <'f, 'p> *fm*> (<∀>)

**abbreviation** *Neg* (<¬ -> [70] 70) **where** <¬ *p* ≡ *p* ⟶ ⊥>

### 8.2 Semantics

**type-synonym** ('a, 'f, 'p) *model* = <(nat ⇒ 'a) × ('f ⇒ 'a list ⇒ 'a) × ('p ⇒ 'a list ⇒ bool)>

**fun** *semantics-tm* :: <(nat ⇒ 'a) × ('f ⇒ 'a list ⇒ 'a) ⇒ 'f *tm* ⇒ 'a> (<[-]>) **where**  
| <[(*E*, -)] (#*n*) = *E n*>  
| <[(*E*, *F*)] (†*f ts*) = *F f* (map [(*E*, *F*)] *ts*)>

**primrec** *add-env* :: <'a ⇒ (nat ⇒ 'a) ⇒ nat ⇒ 'a> (**infix** <§> 0) **where**  
| <(t § s) 0 = *t*>  
| <(t § s) (*Suc n*) = *s n*>

**fun** *semantics-fm* :: <'a, 'f, 'p> *model* ⇒ ('f, 'p) *fm* ⇒ bool (<[-]>) **where**  
| <[-] ⊥ ⟷ *False*>  
| <[(*E*, *F*, *G*)] (‡*P ts*) ⟷ *G P* (map [(*E*, *F*)] *ts*)>  
| <[(*E*, *F*, *G*)] (*p* ⟶ *q*) ⟷ [(*E*, *F*, *G*)] *p* ⟶ [(*E*, *F*, *G*)] *q*>  
| <[(*E*, *F*, *G*)] (∀*p*) ⟷ (∀*x*. [(*x* § *E*, *F*, *G*)] *p*)>

### 8.3 Operations

**primrec** *lift-tm* :: <'f *tm* ⇒ 'f *tm*> **where**

$\langle \text{lift-tm } (\#n) = \#(n+1) \rangle$   
 $\mid \langle \text{lift-tm } (\dagger f \text{ ts}) = \dagger f (\text{map lift-tm ts}) \rangle$

**primrec** *sub-tm* ::  $\langle \text{nat} \Rightarrow 'f \text{ tm} \Rightarrow 'f \text{ tm} \Rightarrow 'f \text{ tm} \rangle$  **where**

$\langle \text{sub-tm } s (\#n) = s \ n \rangle$   
 $\mid \langle \text{sub-tm } s (\dagger f \text{ ts}) = \dagger f (\text{map } (\text{sub-tm } s) \text{ ts}) \rangle$

**primrec** *sub-fm* ::  $\langle \text{nat} \Rightarrow 'f \text{ tm} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm} \rangle$  **where**

$\langle \text{sub-fm } - \ \perp = \perp \rangle$   
 $\mid \langle \text{sub-fm } s (\ddagger P \text{ ts}) = \ddagger P (\text{map } (\text{sub-tm } s) \text{ ts}) \rangle$   
 $\mid \langle \text{sub-fm } s (p \longrightarrow q) = \text{sub-fm } s \ p \longrightarrow \text{sub-fm } s \ q \rangle$   
 $\mid \langle \text{sub-fm } s (\forall p) = \forall (\text{sub-fm } (\#0 \circ \lambda n. \text{lift-tm } (s \ n)) \ p) \rangle$

**abbreviation** *inst-single* ::  $\langle 'f \text{ tm} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm} \rangle$  ( $\langle \langle - \rangle \rangle$ ) **where**

$\langle \langle t \rangle \equiv \text{sub-fm } (t \circ \#) \rangle$

**abbreviation**  $\langle \text{params}' S \equiv \bigcup p \in S. \text{params-fm } p \rangle$

**abbreviation**  $\langle \text{params } l \equiv \text{params}' (\text{set } l) \rangle$

**lemma** *upd-params-tm [simp]*:  $\langle f \notin \text{params-tm } t \Longrightarrow \llbracket (E, F(f := x)) \rrbracket t = \llbracket (E, F) \rrbracket t \rangle$   
**by** (*induct t*) (*auto cong: map-cong*)

**lemma** *upd-params-fm [simp]*:  $\langle f \notin \text{params-fm } p \Longrightarrow \llbracket (E, F(f := x), G) \rrbracket p = \llbracket (E, F, G) \rrbracket p \rangle$   
**by** (*induct p arbitrary: E*) (*auto cong: map-cong*)

**lemma** *finite-params-tm [simp]*:  $\langle \text{finite } (\text{params-tm } t) \rangle$   
**by** (*induct t*) *simp-all*

**lemma** *finite-params-fm [simp]*:  $\langle \text{finite } (\text{params-fm } p) \rangle$   
**by** (*induct p*) *simp-all*

**lemma** *env [simp]*:  $\langle P ((x \circ E) \ n) = (P \ x \circ \lambda n. P (E \ n)) \ n \rangle$   
**by** (*induct n*) *simp-all*

**lemma** *lift-lemma*:  $\langle \llbracket (x \circ E, F) \rrbracket (\text{lift-tm } t) = \llbracket (E, F) \rrbracket t \rangle$   
**by** (*induct t*) (*auto cong: map-cong*)

**lemma** *sub-tm-semantics*:  $\langle \llbracket (E, F) \rrbracket (\text{sub-tm } s \ t) = \llbracket (\lambda n. \llbracket (E, F) \rrbracket (s \ n), F) \rrbracket t \rangle$   
**by** (*induct t*) (*auto cong: map-cong*)

**lemma** *sub-fm-semantics [simp]*:  $\langle \llbracket (E, F, G) \rrbracket (\text{sub-fm } s \ p) = \llbracket (\lambda n. \llbracket (E, F) \rrbracket (s \ n), F, G) \rrbracket p \rangle$   
**by** (*induct p arbitrary: E s*) (*auto cong: map-cong simp: sub-tm-semantics lift-lemma*)

**lemma** *sub-tm-Var*:  $\langle \text{sub-tm } \# \ t = t \rangle$   
**by** (*induct t*) (*auto cong: map-cong*)

**lemma** *reduce-Var [simp]*:  $\langle (\# \ 0 \circ \lambda n. \# (Suc \ n)) = \# \rangle$   
**proof** (*rule ext*)

**fix** *n*  
**show**  $\langle (\# \ 0 \circ \lambda n. \# (Suc \ n)) \ n = \# \ n \rangle$   
**by** (*induct n*) *simp-all*

**qed**

**lemma** *sub-fm-Var [simp]*:  
**fixes** *p* ::  $\langle ('f, 'p) \text{ fm} \rangle$

**shows**  $\langle \text{sub-fm } \# \ p = p \rangle$   
**proof**  $(\text{induct } p)$   
**case**  $(\text{Pre } P \ ts)$   
**then show**  $?case$   
**by**  $(\text{auto cong: map-cong simp: sub-tm-Var})$   
**qed**  $\text{simp-all}$

**lemma**  $\text{semantics-tm-id}$   $[\text{simp}]$ :  $\langle \llbracket (\#, \dagger) \rrbracket t = t \rangle$   
**by**  $(\text{induct } t) (\text{auto cong: map-cong})$

**lemma**  $\text{semantics-tm-id-map}$   $[\text{simp}]$ :  $\langle \text{map } \llbracket (\#, \dagger) \rrbracket \ ts = ts \rangle$   
**by**  $(\text{auto cong: map-cong})$

The built-in *size* is not invariant under substitution.

**primrec**  $\text{size-fm} :: \langle ('f, 'p) \text{ fm} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{size-fm } \perp = 1 \rangle$   
 $| \langle \text{size-fm } (\dagger -) = 1 \rangle$   
 $| \langle \text{size-fm } (p \longrightarrow q) = 1 + \text{size-fm } p + \text{size-fm } q \rangle$   
 $| \langle \text{size-fm } (\forall p) = 1 + \text{size-fm } p \rangle$

**lemma**  $\text{size-sub-fm}$   $[\text{simp}]$ :  $\langle \text{size-fm } (\text{sub-fm } s \ p) = \text{size-fm } p \rangle$   
**by**  $(\text{induct } p \text{ arbitrary: } s) \text{ simp-all}$

## 8.4 Calculus

**inductive**  $\text{Calculus} :: \langle ('f, 'p) \text{ fm list} \Rightarrow ('f, 'p) \text{ fm} \Rightarrow \text{bool} \rangle (\langle - \vdash_{\forall} - \rangle [50, 50] \ 50)$  **where**  
 $\text{Assm}$   $[\text{intro}]$ :  $\langle p \in \text{set } A \Longrightarrow A \vdash_{\forall} p \rangle$   
 $| \text{FlsE}$   $[\text{elim}]$ :  $\langle A \vdash_{\forall} \perp \Longrightarrow A \vdash_{\forall} p \rangle$   
 $| \text{ImpI}$   $[\text{intro}]$ :  $\langle p \# A \vdash_{\forall} q \Longrightarrow A \vdash_{\forall} p \longrightarrow q \rangle$   
 $| \text{ImpE}$   $[\text{elim}]$ :  $\langle A \vdash_{\forall} p \longrightarrow q \Longrightarrow A \vdash_{\forall} p \Longrightarrow A \vdash_{\forall} q \rangle$   
 $| \text{UniI}$   $[\text{intro}]$ :  $\langle A \vdash_{\forall} \langle \star a \rangle p \Longrightarrow a \notin \text{params } (p \# A) \Longrightarrow A \vdash_{\forall} \forall p \rangle$   
 $| \text{UniE}$   $[\text{elim}]$ :  $\langle A \vdash_{\forall} \forall p \Longrightarrow A \vdash_{\forall} \langle t \rangle p \rangle$   
 $| \text{Clas}$ :  $\langle (p \longrightarrow q) \# A \vdash_{\forall} p \Longrightarrow A \vdash_{\forall} p \rangle$   
 $| \text{Weak}$ :  $\langle A \vdash_{\forall} p \Longrightarrow q \# A \vdash_{\forall} p \rangle$

## 8.5 Soundness

**theorem**  $\text{soundness}$ :  $\langle A \vdash_{\forall} p \Longrightarrow \text{list-all } \llbracket (E, F, G) \rrbracket A \Longrightarrow \llbracket (E, F, G) \rrbracket p \rangle$

**proof**  $(\text{induct } p \text{ arbitrary: } F \text{ rule: Calculus.induct})$   
**case**  $(\text{UniI } A \ a \ p)$   
**moreover have**  $\langle \text{list-all } \llbracket (E, F(a := x), G) \rrbracket A \rangle$  **for**  $x$   
**using**  $\text{UniI}(\beta\text{-}\dagger)$  **by**  $(\text{simp add: list.pred-mono-strong})$   
**then have**  $\langle \llbracket (E, F(a := x), G) \rrbracket (\langle \star a \rangle p) \rangle$  **for**  $x$   
**using**  $\text{UniI}$  **by**  $\text{blast}$   
**ultimately show**  $?case$   
**by**  $\text{fastforce}$   
**qed**  $(\text{auto simp: list-all-iff})$

**corollary**  $\text{soundness}'$ :  $\langle \llbracket \vdash_{\forall} p \rrbracket \Longrightarrow \llbracket M \rrbracket p \rangle$   
**using**  $\text{soundness}$  **by**  $(\text{cases } M) \text{ fastforce}$

**corollary**  $\langle \neg (\llbracket \vdash_{\forall} \perp \rrbracket) \rangle$   
**using**  $\text{soundness}'$  **by**  $\text{fastforce}$

## 8.6 Admissible Rules

**lemma** *Assm-head*:  $\langle p \# A \vdash_{\forall} p \rangle$   
 by *auto*

**lemma** *deduct1*:  $\langle A \vdash_{\forall} p \longrightarrow q \Longrightarrow p \# A \vdash_{\forall} q \rangle$   
 by (*meson ImpE Weak Assm-head*)

**lemma** *Boole*:  $\langle (\neg p) \# A \vdash_{\forall} \perp \Longrightarrow A \vdash_{\forall} p \rangle$   
 using *Clas FlsE* by *blast*

**lemma** *Weak'*:  $\langle A \vdash_{\forall} p \Longrightarrow B @ A \vdash_{\forall} p \rangle$   
 by (*induct B*) (*simp, metis Weak append-Cons*)

**lemma** *Weaken*:  $\langle A \vdash_{\forall} p \Longrightarrow \text{set } A \subseteq \text{set } B \Longrightarrow B \vdash_{\forall} p \rangle$

**proof** (*induct A arbitrary: p*)

case *Nil*

then show *?case*

using *Weak'* by *fastforce*

next

case (*Cons q A*)

then show *?case*

by (*metis Assm ImpE ImpI list.set-intros(1-2) subset-code(1)*)

qed

**interpretation** *Derivations Calculus*

**proof**

fix *A B* and *p* ::  $\langle ('f, 'p) \text{ fm} \rangle$

assume  $\langle A \vdash_{\forall} p \rangle \langle \text{set } A \subseteq \text{set } B \rangle$

then show  $\langle B \vdash_{\forall} p \rangle$

using *Weaken* by *blast*

qed

## 8.7 Maximal Consistent Sets

**definition** *consistent* ::  $\langle ('f, 'p) \text{ fm set} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{consistent } S \equiv \nexists S'. \text{ set } S' \subseteq S \wedge S' \vdash_{\forall} \perp \rangle$

**fun** *witness* ::  $\langle ('f, 'p) \text{ fm} \Rightarrow ('f, 'p) \text{ fm set} \Rightarrow ('f, 'p) \text{ fm set} \rangle$  **where**

$\langle \text{witness } (\neg (\forall p)) S = \{ \neg \langle \star(\text{SOME } a. a \notin \text{params}' (\{p\} \cup S)) \rangle p \} \rangle$

|  $\langle \text{witness } - - = \{ \} \rangle$

**lemma** *consistent-add-instance*:

assumes  $\langle \text{consistent } S \rangle \langle \forall p \in S \rangle$

shows  $\langle \text{consistent } (\{ \langle t \rangle p \} \cup S) \rangle$

unfolding *consistent-def*

**proof**

assume  $\langle \exists S'. \text{ set } S' \subseteq \{ \langle t \rangle p \} \cup S \wedge S' \vdash_{\forall} \perp \rangle$

then obtain *S'* **where**  $\langle \text{set } S' \subseteq S \rangle \langle \langle t \rangle p \# S' \vdash_{\forall} \perp \rangle$

using *assms derive-split1* by *metis*

then have  $\langle \forall p \# S' \vdash_{\forall} \neg \langle t \rangle p \rangle$

using *Weak* by *blast*

moreover have  $\langle \forall p \# S' \vdash_{\forall} \langle t \rangle p \rangle$

using *Assm-head* by *fast*

ultimately have  $\langle \forall p \# S' \vdash_{\forall} \perp \rangle$

by *fast*  
 moreover have  $\langle \text{set } (\forall p) \# S' \subseteq S \rangle$   
 using  $\langle \text{set } S' \subseteq S \rangle$  *assms(2)* by *simp*  
 ultimately show *False*  
 using *assms(1)* **unfolding** *consistent-def* by *blast*  
**qed**

**lemma** *consistent-add-witness*:

assumes  $\langle \text{consistent } S \rangle \langle \neg (\forall p) \in S \rangle \langle a \notin \text{params}' S \rangle$   
 shows  $\langle \text{consistent } (\{\neg \langle \star a \rangle p\} \cup S) \rangle$   
 unfolding *consistent-def*

**proof**

assume  $\langle \exists S'. \text{set } S' \subseteq \{\neg \langle \star a \rangle p\} \cup S \wedge S' \vdash_{\forall} \perp \rangle$   
 then obtain *S'* where  $\langle \text{set } S' \subseteq S \rangle \langle \neg \langle \star a \rangle p \# S' \vdash_{\forall} \perp \rangle$   
 using *assms derive-split1* by *metis*  
 then have  $\langle S' \vdash_{\forall} \langle \star a \rangle p \rangle$   
 using *Boole* by *blast*  
 moreover have  $\langle a \notin \text{params-fm } p \rangle \langle \forall p \in \text{set } S'. a \notin \text{params-fm } p \rangle$   
 using  $\langle \text{set } S' \subseteq S \rangle$  *assms(2-3)* by *auto*  
 then have  $\langle a \notin \text{params}' (\{p\} \cup \text{set } S') \rangle$   
 using *calculation* by *fast*  
 ultimately have  $\langle S' \vdash_{\forall} \forall p \rangle$   
 by *fastforce*  
 then have  $\langle \neg (\forall p) \# S' \vdash_{\forall} \perp \rangle$   
 using *Weak Assm-head* by *fast*  
 moreover have  $\langle \text{set } ((\neg (\forall p)) \# S') \subseteq S \rangle$   
 using  $\langle \text{set } S' \subseteq S \rangle$  *assms(2)* by *simp*  
 ultimately show *False*  
 using *assms(1)* **unfolding** *consistent-def* by *blast*  
**qed**

**lemma** *consistent-witness'*:

assumes  $\langle \text{consistent } (\{p\} \cup S) \rangle \langle \text{infinite } (\text{UNIV} - \text{params}' S) \rangle$   
 shows  $\langle \text{consistent } (\text{witness } p S \cup \{p\} \cup S) \rangle$   
 using *assms*

**proof** (*induct p S rule: witness.induct*)

case (1 *p S*)  
 have  $\langle \text{infinite } (\text{UNIV} - \text{params}' (\{p\} \cup S)) \rangle$   
 using 1(2) *finite-params-fm* by (*simp add: infinite-Diff-fin-Un*)  
 then have  $\langle \exists a. a \notin \text{params}' (\{p\} \cup S) \rangle$   
 by (*simp add: not-finite-existsD set-diff-eq*)  
 then have  $\langle (\text{SOME } a. a \notin \text{params}' (\{p\} \cup S)) \notin \text{params}' (\{p\} \cup S) \rangle$   
 by (*rule someI-ex*)  
 then obtain *a* where *a*:  $\langle \text{witness } (\neg (\forall p)) S = \{\neg \langle \star a \rangle p\} \rangle \langle a \notin \text{params}' (\{\neg \forall p\} \cup S) \rangle$   
 by *simp*  
 then show *?case*  
 using 1(1-2) *a(1)* *consistent-add-witness[where S= $\{\neg \forall p\} \cup S$ ]* by *fastforce*  
**qed** (*auto simp: assms*)

**interpretation** *MCS-Saturation consistent params-fm witness*

**proof**

fix *S S'* ::  $\langle ('f, 'p) \text{ fm set} \rangle$   
 assume  $\langle \text{consistent } S \rangle \langle S' \subseteq S \rangle$   
 then show  $\langle \text{consistent } S' \rangle$   
 unfolding *consistent-def* by *fast*

**next**

```

fix S :: ⟨('f, 'p) fm set⟩
assume ⟨¬ consistent S⟩
then show ⟨∃ S' ⊆ S. finite S' ∧ ¬ consistent S'⟩
  unfolding consistent-def by blast
next
fix p :: ⟨('f, 'p) fm⟩
show ⟨finite (params-fm p)⟩
  by simp
next
fix p and S :: ⟨('f, 'p) fm set⟩
show ⟨finite (params' (witness p S))⟩
  by (induct p S rule: witness.induct) simp-all
next
fix p and S :: ⟨('f, 'p) fm set⟩
assume ⟨consistent ({p} ∪ S)⟩ ⟨infinite (UNIV - params' S)⟩
then show ⟨consistent (witness p S ∪ {p} ∪ S)⟩
  using consistent-witness' by fast
next
show ⟨infinite (UNIV :: ('f, 'p) fm set)⟩
  using infinite-UNIV-size[of ⟨λp. p ⟶ p⟩] by simp
qed

```

**interpretation** Derivations-MCS-Cut Calculus consistent ⟨⊥⟩

**proof**

```

fix S :: ⟨('f, 'p) fm set⟩
show ⟨consistent S = (∄ S'. set S' ⊆ S ∧ S' ⊢∇ ⊥)⟩
  unfolding consistent-def ..
next
fix A and p :: ⟨('f, 'p) fm⟩
assume ⟨p ∈ set A⟩
then show ⟨A ⊢∇ p⟩
  by blast
next
fix A B and p q :: ⟨('f, 'p) fm⟩
assume ⟨A ⊢∇ p⟩ ⟨p # B ⊢∇ q⟩
then show ⟨A @ B ⊢∇ q⟩
  using Weaken ImpI ImpE by (metis Un-upper2 inf-sup-ord(3) set-append)
qed

```

## 8.8 Truth Lemma

**abbreviation** hmodel :: ⟨('f, 'p) fm set ⟹ ('f tm, 'f, 'p) model⟩ **where**

⟨hmodel H ≡ (#, †, λP ts. †P ts ∈ H)⟩

**fun** semics ::

⟨('a, 'f, 'p) model ⟹ (('a, 'f, 'p) model ⟹ ('f, 'p) fm ⟹ bool) ⟹ ('f, 'p) fm ⟹ bool⟩ **where**  
 ⟨semics - - ⊥ ⟷ False⟩

| ⟨semics (E, F, G) - (†P ts) ⟷ G P (map ((E, F)) ts)⟩  
 | ⟨semics (E, F, G) rel (p ⟶ q) ⟷ rel (E, F, G) p ⟶ rel (E, F, G) q⟩  
 | ⟨semics (E, F, G) rel (∀ p) ⟷ (∀ x. rel (x ∘ E, F, G) p)⟩

**fun** rel :: ⟨('f, 'p) fm set ⟹ ('f tm, 'f, 'p) model ⟹ ('f, 'p) fm ⟹ bool⟩ **where**

⟨rel H (E, -, -) p = (sub-fm E p ∈ H)⟩

**theorem** Hintikka-model':

```

assumes  $\langle \bigwedge p. \text{semics } (hmodel\ H) \ (rel\ H) \ p \longleftrightarrow p \in H \rangle$ 
shows  $\langle p \in H \longleftrightarrow \llbracket hmodel\ H \rrbracket p \rangle$ 
proof (induct p rule: wf-induct[where r= $\langle measure\ size\ fm \rangle$ ])
  case 1
  then show ?case ..
next
  case (2 x)
  then show ?case
    using assms[of x] by (cases x) simp-all
qed

lemma Hintikka-Extend:
  assumes  $\langle consistent\ H \rangle \langle maximal\ H \rangle \langle saturated\ H \rangle$ 
  shows  $\langle semics\ (hmodel\ H) \ (rel\ H) \ p \longleftrightarrow p \in H \rangle$ 
proof (cases p)
  case Fls
  have  $\langle \perp \notin H \rangle$ 
    using assms MCS-derive unfolding consistent-def by blast
  then show ?thesis
    using Fls by simp
next
  case (Imp p q)
  have  $\langle p \# A \vdash_{\forall} \perp \implies A \vdash_{\forall} p \longrightarrow q \rangle \langle A \vdash_{\forall} q \implies A \vdash_{\forall} p \longrightarrow q \rangle$  for A
    by (auto simp: Weak)
  moreover have  $\langle A \vdash_{\forall} p \longrightarrow q \implies p \# A \vdash_{\forall} q \rangle$  for A
    using deduct1 .
  ultimately have  $\langle (p \in H \longrightarrow q \in H) \longleftrightarrow p \longrightarrow q \in H \rangle$ 
    using assms(1-2) MCS-derive MCS-derive-fls by (metis insert-subset list.simps(15))
  then show ?thesis
    using Imp by simp
next
  case (Uni p)
  have  $\langle (\forall x. \langle x \rangle p \in H) \longleftrightarrow (\forall p \in H) \rangle$ 
proof
  assume  $\langle \forall x. \langle x \rangle p \in H \rangle$ 
  show  $\langle \forall p \in H \rangle$ 
proof (rule ccontr)
  assume  $\langle \forall p \notin H \rangle$ 
  then have  $\langle consistent\ (\{\neg \forall p\} \cup H) \rangle$ 
    using Boole assms(1-2) MCS-derive derive-split1 by (metis consistent-derive-fls)
  then have  $\langle \neg \forall p \in H \rangle$ 
    using assms(2) unfolding maximal-def by blast
  then obtain a where  $\langle \neg \langle \star a \rangle p \in H \rangle$ 
    using assms(3) unfolding saturated-def by fastforce
  moreover have  $\langle \langle \star a \rangle p \in H \rangle$ 
    using  $\langle \forall x. \langle x \rangle p \in H \rangle$  by blast
  ultimately show False
    using assms(1-2) MCS-derive by (metis consistent-def deduct1 insert-subset list.simps(15))
qed
next
  assume  $\langle \forall p \in H \rangle$ 
  then show  $\langle \forall x. \langle x \rangle p \in H \rangle$ 
    using assms(1-2) consistent-add-instance maximal-def by blast
qed
then show ?thesis
  using Uni by simp

```

qed simp

**interpretation** *Truth-Saturation*

*consistent params-fm witness semics semantics-fm*  $\langle \lambda H. \{hmodel\ H\} \rangle rel$

**proof** *unfold-locales*

**fix**  $p$  **and**  $M :: \langle ('a\ tm, 'f, 'p)\ model \rangle$

**show**  $\langle \llbracket M \rrbracket p \longleftrightarrow semics\ M\ semantics-fm\ p \rangle$

**by** (*cases M, induct p*) *auto*

**next**

**fix**  $p$  **and**  $H :: \langle ('f, 'p)\ fm\ set \rangle$  **and**  $M :: \langle ('f\ tm, 'f, 'p)\ model \rangle$

**assume**  $\langle \forall M \in \{hmodel\ H\}. \forall p. semics\ M\ (rel\ H)\ p \longleftrightarrow rel\ H\ M\ p \rangle \langle M \in \{hmodel\ H\} \rangle$

**then show**  $\langle \llbracket M \rrbracket p \longleftrightarrow rel\ H\ M\ p \rangle$

**using** *Hintikka-model'* **by** *auto*

**next**

**fix**  $H :: \langle ('f, 'p)\ fm\ set \rangle$

**assume**  $\langle consistent\ H \rangle \langle maximal\ H \rangle \langle saturated\ H \rangle$

**then show**  $\langle \forall M \in \{hmodel\ H\}. \forall p. semics\ M\ (rel\ H)\ p \longleftrightarrow rel\ H\ M\ p \rangle$

**using** *Hintikka-Extend* **by** *auto*

qed

## 8.9 Cardinalities

**datatype** *marker* = *VarM* | *FunM* | *TmM* | *FlsM* | *PreM* | *ImpM* | *UniM*

**type-synonym**  $\langle ('f, 'p)\ enc = \langle ('f + 'p) + marker \times nat \rangle$

**abbreviation**  $\langle FUNS\ f \equiv Inl\ (Inl\ f) \rangle$

**abbreviation**  $\langle PRES\ p \equiv Inl\ (Inr\ p) \rangle$

**abbreviation**  $\langle VAR\ n \equiv Inr\ (VarM, n) \rangle$

**abbreviation**  $\langle FUN\ n \equiv Inr\ (FunM, n) \rangle$

**abbreviation**  $\langle TM\ n \equiv Inr\ (TmM, n) \rangle$

**abbreviation**  $\langle PRE\ n \equiv Inr\ (PreM, n) \rangle$

**abbreviation**  $\langle FLS \equiv Inr\ (FlsM, 0) \rangle$

**abbreviation**  $\langle IMP\ n \equiv Inr\ (FlsM, n) \rangle$

**abbreviation**  $\langle UNI \equiv Inr\ (UniM, 0) \rangle$

**primrec**

*encode-tm* ::  $\langle 'f\ tm \Rightarrow ('f, 'p)\ enc\ list \rangle$  **and**

*encode-tms* ::  $\langle 'f\ tm\ list \Rightarrow ('f, 'p)\ enc\ list \rangle$  **where**

$\langle encode-tm\ (\#n) = [VAR\ n] \rangle$

|  $\langle encode-tm\ (\#f\ ts) = FUN\ (length\ ts) \# FUNS\ f \# encode-tms\ ts \rangle$

|  $\langle encode-tms\ [] = [] \rangle$

|  $\langle encode-tms\ (t \# ts) = TM\ (length\ (encode-tm\ t)) \# encode-tm\ t\ @\ encode-tms\ ts \rangle$

**lemma** *encode-tm-ne* [*simp*]:  $\langle encode-tm\ t \neq [] \rangle$

**by** (*induct t*) *auto*

**lemma** *inj-encode-tm'*:

$\langle (encode-tm\ t :: ('f, 'p)\ enc\ list) = encode-tm\ s \Longrightarrow t = s \rangle$

$\langle (encode-tms\ ts :: ('f, 'p)\ enc\ list) = encode-tms\ ss \Longrightarrow ts = ss \rangle$

**proof** (*induct t and ts arbitrary: s and ss rule: encode-tm.induct encode-tms.induct*)

**case** (*Var n*)

**then show** *?case*

```

    by (cases s) auto
next
case (Fun f fts)
then show ?case
    by (cases s) auto
next
case Nil-tm
then show ?case
    by (cases ss) auto
next
case (Cons-tm t ts)
then show ?case
    by (cases ss) auto
qed

```

**lemma inj-encode-tm:**  $\langle inj\ encode\ tm \rangle$   
**unfolding inj-def using inj-encode-tm' by blast**

**primrec encode-fm** ::  $\langle ('f, 'p)\ fm \Rightarrow ('f, 'p)\ enc\ list \rangle$  **where**  
 $\langle encode\ fm\ \perp = [FLS] \rangle$   
 $| \langle encode\ fm\ (\ddagger P\ ts) = PRE\ (length\ ts)\ \# PRES\ P\ \# encode\ tms\ ts \rangle$   
 $| \langle encode\ fm\ (p \longrightarrow q) = IMP\ (length\ (encode\ fm\ p))\ \# encode\ fm\ p\ @\ encode\ fm\ q \rangle$   
 $| \langle encode\ fm\ (\forall p) = UNI\ \# encode\ fm\ p \rangle$

**lemma encode-fm-ne [simp]:**  $\langle encode\ fm\ p \neq [] \rangle$   
**by (induct p) auto**

**lemma inj-encode-fm':**  $\langle encode\ fm\ p = encode\ fm\ q \Longrightarrow p = q \rangle$

**proof** (induct p arbitrary: q)  
case Fls  
then show ?case  
 by (cases q) auto  
next  
case (Pre P ts)  
then show ?case  
 by (cases q) (auto simp: inj-encode-tm')  
next  
case (Imp p1 p2)  
then show ?case  
 by (cases q) auto  
next  
case (Uni p)  
then show ?case  
 by (cases q) auto  
qed

**lemma inj-encode-fm:**  $\langle inj\ encode\ fm \rangle$   
**unfolding inj-def using inj-encode-fm' by blast**

**lemma finite-marker:**  $\langle finite\ (UNIV :: marker\ set) \rangle$

**proof** –  
**have**  $\langle p \in \{VarM, FunM, TmM, FlsM, PreM, ImpM, UniM\} \rangle$  **for**  $p$   
 by (cases p) auto  
**then show** ?thesis  
 by (meson ex-new-if-finite finite.emptyI finite.insert)  
qed

**lemma** *card-of-fm*:

**assumes**  $\langle \text{infinite } (UNIV :: 'f \text{ set}) \rangle$   
**shows**  $\langle |UNIV :: ('f, 'p) \text{ fm set}| \leq o |UNIV :: 'f \text{ set}| + c |UNIV :: 'p \text{ set}| \rangle$

**proof** –

**have**  $\langle |UNIV :: \text{marker set}| \leq o |UNIV :: \text{nat set}| \rangle$   
**using** *finite-marker* **by** (*simp add: ordLess-imp-ordLeq*)  
**moreover have**  $\langle \text{infinite } (UNIV :: ('f + 'p) \text{ set}) \rangle$   
**using** *assms* **by** *simp*  
**ultimately have**  $\langle |UNIV :: ('f, 'p) \text{ enc list set}| \leq o |UNIV :: ('f + 'p) \text{ set}| \rangle$   
**using** *card-of-params-marker-lists* **by** *blast*  
**moreover have**  $\langle |UNIV :: ('f, 'p) \text{ fm set}| \leq o |UNIV :: ('f, 'p) \text{ enc list set}| \rangle$   
**using** *card-of-ordLeq inj-encode-fm* **by** *blast*  
**ultimately have**  $\langle |UNIV :: ('f, 'p) \text{ fm set}| \leq o |UNIV :: ('f + 'p) \text{ set}| \rangle$   
**using** *ordLeq-transitive* **by** *blast*  
**then show** *?thesis*  
**unfolding** *csum-def* **by** *simp*

**qed**

## 8.10 Completeness

**theorem** *strong-completeness*:

**assumes**  $\langle \forall M :: ('f \text{ tm}, 'f, 'p) \text{ model}. (\forall q \in X. \llbracket M \rrbracket q \longrightarrow \llbracket M \rrbracket p) \rangle$   
 $\langle \text{infinite } (UNIV :: 'f \text{ set}) \rangle$   
 $\langle |UNIV :: 'f \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{params}' X| \rangle$   
**shows**  $\langle \exists A. \text{set } A \subseteq X \wedge A \vdash_{\forall} p \rangle$

**proof** (*rule ccontr*)

**assume**  $\langle \nexists A. \text{set } A \subseteq X \wedge A \vdash_{\forall} p \rangle$   
**then have**  $\ast: \langle \nexists A. \text{set } A \subseteq X \wedge \neg p \# A \vdash_{\forall} \perp \rangle$   
**using** *Boole* **by** *blast*

**let**  $?S = \langle \{\neg p\} \cup X \rangle$   
**let**  $?H = \langle \text{Extend } ?S \rangle$

**have**  $\langle \text{consistent } ?S \rangle$   
**using**  $\ast$  **by** (*meson consistent-def derive-split1*)  
**moreover have**  $\langle \text{infinite } (UNIV - \text{params}' X) \rangle$   
**using** *assms(2-3)*  
**by** (*metis Cinfinites-csum Cnotzero-UNIV Field-card-of cinfinites-def cinfinites-mono*)  
**then have**  $\langle |UNIV :: 'f \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{params}' X - \text{params-fm } (\neg p)| \rangle$   
**using** *assms(3) finite-params-fm card-of-infinite-diff-finite*  
**by** (*metis ordIso-iff-ordLeq ordLeq-transitive*)  
**then have**  $\langle |UNIV :: 'f \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - (\text{params}' X \cup \text{params-fm } (\neg p))| \rangle$   
**by** (*metis Set-Diff-Un*)  
**then have**  $\langle |UNIV :: 'f \text{ set}| + c |UNIV :: 'p \text{ set}| \leq o |UNIV - \text{params}' ?S| \rangle$   
**by** (*metis UN-insert insert-is-Un sup-commute*)  
**then have**  $\langle |UNIV :: ('f, 'p) \text{ fm set}| \leq o |UNIV - \text{params}' ?S| \rangle$   
**using** *assms card-of-fm ordLeq-transitive* **by** *blast*  
**ultimately have**  $\langle \text{consistent } ?H \rangle \langle \text{maximal } ?H \rangle \langle \text{saturated } ?H \rangle$   
**using** *MCS-Extend* **by** *fast+*  
**then have**  $\langle p \in ?H \longleftrightarrow \llbracket \text{hmodel } ?H \rrbracket p \rangle$  **for**  $p$   
**using** *truth-lemma-saturation* **by** *fastforce*  
**then have**  $\langle p \in ?S \longrightarrow \llbracket \text{hmodel } ?H \rrbracket p \rangle$  **for**  $p$   
**using** *Extend-subset* **by** *blast*  
**then have**  $\langle \llbracket \text{hmodel } ?H \rrbracket (\neg p) \rangle \langle \forall q \in X. \llbracket \text{hmodel } ?H \rrbracket q \rangle$

by *blast+*  
 moreover from *this have*  $\langle \llbracket hmodel ?H \rrbracket p \rangle$   
 using *assms(1)* by *blast*  
 ultimately show *False*  
 by *simp*  
 qed

**abbreviation** *valid* ::  $\langle ('f, 'p) fm \Rightarrow bool \rangle$  **where**  
 $\langle valid\ p \equiv \forall M :: ('f\ tm, -, -)\ model. \llbracket M \rrbracket p \rangle$

**theorem** *completeness:*

**fixes**  $p :: \langle ('f, 'p) fm \rangle$

**assumes**  $\langle valid\ p \rangle \langle infinite\ (UNIV :: 'f\ set) \rangle \langle |UNIV :: 'p\ set| \leq o\ |UNIV :: 'f\ set| \rangle$

**shows**  $\langle \square \vdash_{\forall} p \rangle$

**proof** –

**have**  $\langle |UNIV :: 'f\ set| + c\ |UNIV :: 'p\ set| \leq o\ |UNIV :: 'f\ set| \rangle$

**using** *assms(2–3)* by (*simp add: cinfinit-def csum-absorb1 ordIso-imp-ordLeq*)

**then show** *?thesis*

**using** *assms strong-completeness[where X= $\langle \{ \} \rangle$ ] infinite-UNIV-listI* by *auto*

qed

**corollary** *completeness':*

**fixes**  $p :: \langle ('f, 'f) fm \rangle$

**assumes**  $\langle valid\ p \rangle \langle infinite\ (UNIV :: 'f\ set) \rangle$

**shows**  $\langle \square \vdash_{\forall} p \rangle$

**using** *assms completeness[of p]* by *simp*

**theorem** *main:*

**fixes**  $p :: \langle ('f, 'p) fm \rangle$

**assumes**  $\langle infinite\ (UNIV :: 'f\ set) \rangle \langle |UNIV :: 'p\ set| \leq o\ |UNIV :: 'f\ set| \rangle$

**shows**  $\langle valid\ p \longleftrightarrow \square \vdash_{\forall} p \rangle$

**using** *assms completeness soundness'* by *blast*

**corollary** *main':*

**fixes**  $p :: \langle ('f, 'f) fm \rangle$

**assumes**  $\langle infinite\ (UNIV :: 'f\ set) \rangle$

**shows**  $\langle valid\ p \longleftrightarrow \square \vdash_{\forall} p \rangle$

**using** *assms completeness' soundness'* by *blast*

end

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