

# Strict Omega Categories

Anthony Bordg      Adrián Doña Mateo

March 24, 2023

## Abstract

This theory formalises a definition of strict  $\omega$ -categories and the strict  $\omega$ -category of pasting diagrams, following [1]. It is the first step towards a formalisation of weak infinity categories à la Batanin–Leinster.

## Contents

<b>1</b>	<b>Background material on extensional functions</b>	<b>1</b>
<b>2</b>	<b>Globular sets</b>	<b>2</b>
2.1	Globular sets . . . . .	2
2.2	Maps between globular sets . . . . .	4
2.3	The terminal globular set . . . . .	5
<b>3</b>	<b>Strict <math>\omega</math>-categories</b>	<b>6</b>
<b>4</b>	<b>The category of pasting diagrams</b>	<b>7</b>
4.1	Rooted trees . . . . .	7
4.2	The strict $\omega$ -category of pasting diagrams . . . . .	12
<b>theory</b>	<i>Globular-Set</i>	

**imports** *HOL-Library.FuncSet*

**begin**

## 1 Background material on extensional functions

**lemma** *PiE-imp-Pi*:  $f \in A \rightarrow_E B \implies f \in A \rightarrow B$  *<proof>*

**lemma** *PiE-iff'*:  $f \in A \rightarrow_E B = (f \in A \rightarrow B \wedge f \in \text{extensional } A)$   
*<proof>*

**abbreviation** *composing* ( $- \circ - \downarrow - [60,0,60]59$ )  
**where**  $g \circ f \downarrow D \equiv \text{compose } D \ g \ f$

**lemma** *compose-PiE*:  $f \in A \rightarrow B \implies g \in B \rightarrow C \implies g \circ f \downarrow A \in A \rightarrow_E C$   
 ⟨proof⟩

**lemma** *compose-eq-iff*:  $(g \circ f \downarrow A = k \circ h \downarrow A) = (\forall x \in A. g (f x) = k (h x))$   
 ⟨proof⟩

**lemma** *compose-eq-if*:  $(\bigwedge x. x \in A \implies g (f x) = k (h x)) \implies g \circ f \downarrow A = k \circ h \downarrow A$   
 ⟨proof⟩

**lemma** *compose-compose-eq-iff2*:  $(h \circ (g \circ f \downarrow A) \downarrow A = h' \circ (g' \circ f' \downarrow A) \downarrow A) = (\forall x \in A. h (g (f x)) = h' (g' (f' x)))$   
 ⟨proof⟩

**lemma** *compose-compose-eq-iff1*: **assumes**  $f \in A \rightarrow B$   $f' \in A \rightarrow B$   
**shows**  $((h \circ g \downarrow B) \circ f \downarrow A = (h' \circ g' \downarrow B) \circ f' \downarrow A) = (\forall x \in A. h (g (f x)) = h' (g' (f' x)))$   
 ⟨proof⟩

**lemma** *compose-compose-eq-if1*:  $\llbracket f \in A \rightarrow B; f' \in A \rightarrow B; \forall x \in A. h (g (f x)) = h' (g' (f' x)) \rrbracket \implies (h \circ g \downarrow B) \circ f \downarrow A = (h' \circ g' \downarrow B) \circ f' \downarrow A$   
 ⟨proof⟩

**lemma** *compose-compose-eq-if2*:  $\forall x \in A. h (g (f x)) = h' (g' (f' x)) \implies h \circ (g \circ f \downarrow A) \downarrow A = h' \circ (g' \circ f' \downarrow A) \downarrow A$   
 ⟨proof⟩

**lemma** *compose-restrict-eq1*:  $f \in A \rightarrow B \implies \text{restrict } g \ B \circ f \downarrow A = g \circ f \downarrow A$   
 ⟨proof⟩

**lemma** *compose-restrict-eq2*:  $g \circ (\text{restrict } f \ A) \downarrow A = g \circ f \downarrow A$   
 ⟨proof⟩

**lemma** *compose-Id-eq-restrict*:  $g \circ (\lambda x \in A. x) \downarrow A = \text{restrict } g \ A$   
 ⟨proof⟩

## 2 Globular sets

### 2.1 Globular sets

We define a locale *globular-set* that encodes the cell data of a strict  $\omega$ -category [1, Def 1.4.5]. The elements of  $X \ n$  are the  $n$ -cells, and the maps  $s$  and  $t$  give the source and target of a cell, respectively.

**locale** *globular-set* =  
**fixes**  $X :: \text{nat} \Rightarrow 'a \ \text{set}$  **and**  $s :: \text{nat} \Rightarrow 'a \Rightarrow 'a$  **and**  $t :: \text{nat} \Rightarrow 'a \Rightarrow 'a$   
**assumes** *s-fun*:  $s \ n \in X \ (\text{Suc } n) \rightarrow X \ n$

**and**  $t\text{-fun}$ :  $t\ n \in X\ (Suc\ n) \rightarrow X\ n$   
**and**  $s\text{-comp}$ :  $x \in X\ (Suc\ (Suc\ n)) \implies s\ n\ (t\ (Suc\ n)\ x) = s\ n\ (s\ (Suc\ n)\ x)$   
**and**  $t\text{-comp}$ :  $x \in X\ (Suc\ (Suc\ n)) \implies t\ n\ (s\ (Suc\ n)\ x) = t\ n\ (t\ (Suc\ n)\ x)$   
**begin**

**lemma**  $s\text{-comp}'$ :  $s\ n \circ t\ (Suc\ n) \downarrow X\ (Suc\ (Suc\ n)) = s\ n \circ s\ (Suc\ n) \downarrow X\ (Suc\ (Suc\ n))$   
 $\langle proof \rangle$

**lemma**  $t\text{-comp}'$ :  $t\ n \circ s\ (Suc\ n) \downarrow X\ (Suc\ (Suc\ n)) = t\ n \circ t\ (Suc\ n) \downarrow X\ (Suc\ (Suc\ n))$   
 $\langle proof \rangle$

These are the generalised source and target maps. The arguments are the dimension of the input and output, respectively. They allow notation similar to  $s^{m-p}$  in [1].

**fun**  $s'$  ::  $nat \Rightarrow nat \Rightarrow 'a \Rightarrow 'a$  **where**  
 $s'\ 0\ 0 = id$  |  
 $s'\ 0\ (Suc\ n) = undefined$  |  
 $s'\ (Suc\ m)\ n = (if\ Suc\ m < n\ then\ undefined$   
    $else\ if\ Suc\ m = n\ then\ id$   
    $else\ s'\ m\ n \circ s\ m)$

**fun**  $t'$  ::  $nat \Rightarrow nat \Rightarrow 'a \Rightarrow 'a$  **where**  
 $t'\ 0\ 0 = id$  |  
 $t'\ 0\ (Suc\ n) = undefined$  |  
 $t'\ (Suc\ m)\ n = (if\ Suc\ m < n\ then\ undefined$   
    $else\ if\ Suc\ m = n\ then\ id$   
    $else\ t'\ m\ n \circ t\ m)$

**lemma**  $s'\text{-n-n}$  [*simp*]:  $s'\ n\ n = id$   
 $\langle proof \rangle$

**lemma**  $s'\text{-Suc-n-n}$  [*simp*]:  $s'\ (Suc\ n)\ n = s\ n$   
 $\langle proof \rangle$

**lemma**  $s'\text{-Suc-Suc-n-n}$  [*simp*]:  $s'\ (Suc\ (Suc\ n))\ n = s\ n \circ s\ (Suc\ n)$   
 $\langle proof \rangle$

**lemma**  $s'\text{-Suc}$  [*simp*]:  $n \leq m \implies s'\ (Suc\ m)\ n = s'\ m\ n \circ s\ m$   
 $\langle proof \rangle$

**lemma**  $s'\text{-Suc}'$ :  $n < m \implies s'\ m\ n = s\ n \circ s'\ m\ (Suc\ n)$   
 $\langle proof \rangle$

**lemma**  $t'\text{-n-n}$  [*simp*]:  $t'\ n\ n = id$   
 $\langle proof \rangle$

**lemma**  $t'\text{-Suc-n-n}$  [*simp*]:  $t'\ (Suc\ n)\ n = t\ n$

*<proof>*

**lemma** *t'-Suc-Suc-n-n* [*simp*]:  $t' (Suc (Suc n)) n = t n \circ t (Suc n)$   
*<proof>*

**lemma** *t'-Suc* [*simp*]:  $n \leq m \implies t' (Suc m) n = t' m n \circ t m$   
*<proof>*

**lemma** *t'-Suc'*:  $n < m \implies t' m n = t n \circ t' m (Suc n)$   
*<proof>*

**lemma** *s'-fun*:  $n \leq m \implies s' m n \in X m \rightarrow X n$   
*<proof>*

**lemma** *t'-fun*:  $n \leq m \implies t' m n \in X m \rightarrow X n$   
*<proof>*

**lemma** *s'-comp*:  $\llbracket n < m; x \in X m \rrbracket \implies s n (t' m (Suc n) x) = s' m n x$   
*<proof>*

**lemma** *t'-comp*:  $\llbracket n < m; x \in X m \rrbracket \implies t n (s' m (Suc n) x) = t' m n x$   
*<proof>*

The following predicates and sets are needed to define composition in an  $\omega$ -category.

**definition** *is-parallel-pair* ::  $nat \Rightarrow nat \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$  **where**  
*is-parallel-pair*  $m n x y \equiv n \leq m \wedge x \in X m \wedge y \in X m \wedge s' m n x = s' m n y \wedge$   
 $t' m n x = t' m n y$

[1, p. 44]

**definition** *is-composable-pair* ::  $nat \Rightarrow nat \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$  **where**  
*is-composable-pair*  $m n y x \equiv n < m \wedge y \in X m \wedge x \in X m \wedge t' m n x = s' m n y$

**definition** *composable-pairs* ::  $nat \Rightarrow nat \Rightarrow ('a \times 'a)$  set **where**  
*composable-pairs*  $m n = \{(y, x). \text{is-composable-pair } m n y x\}$

**lemma** *composable-pairs-empty*:  $m \leq n \implies \text{composable-pairs } m n = \{\}$   
*<proof>*

**end**

## 2.2 Maps between globular sets

We define maps between globular sets to be natural transformations of the corresponding functors [1, Def 1.4.5].

**locale** *globular-map* = *source: globular-set*  $X s_X t_X$  + *target: globular-set*  $Y s_Y t_Y$  +  
**for**  $X s_X t_X Y s_Y t_Y$  +  
**fixes**  $\varphi :: nat \Rightarrow 'a \Rightarrow 'b$

**assumes** *map-fun*:  $\varphi m \in X m \rightarrow Y m$   
**and** *is-natural-wrt-s*:  $x \in X (Suc m) \implies \varphi m (s_X m x) = s_Y m (\varphi (Suc m) x)$   
**and** *is-natural-wrt-t*:  $x \in X (Suc m) \implies \varphi m (t_X m x) = t_Y m (\varphi (Suc m) x)$   
**begin**

**lemma** *is-natural-wrt-s'*:  $\llbracket n \leq m; x \in X m \rrbracket \implies \varphi n (source.s' m n x) = target.s' m n (\varphi m x)$   
*<proof>*

**lemma** *is-natural-wrt-t'*:  $\llbracket n \leq m; x \in X m \rrbracket \implies \varphi n (source.t' m n x) = target.t' m n (\varphi m x)$   
*<proof>*

**end**

The composition of two globular maps is itself a globular map. This intermediate locale gathers the data needed for such a statement.

**locale** *two-globular-maps* = *fst*: *globular-map*  $X s_X t_X Y s_Y t_Y \varphi$  + *snd*: *globular-map*  $Y s_Y t_Y Z s_Z t_Z \psi$   
**for**  $X s_X t_X Y s_Y t_Y Z s_Z t_Z \varphi \psi$

**sublocale** *two-globular-maps*  $\subseteq$  *comp*: *globular-map*  $X s_X t_X Z s_Z t_Z \lambda m. \psi m \circ \varphi m$   
*<proof>*

**sublocale** *two-globular-maps*  $\subseteq$  *compose*: *globular-map*  $X s_X t_X Z s_Z t_Z \lambda m. \psi m \circ \varphi m \downarrow X m$   
*<proof>*

### 2.3 The terminal globular set

The terminal globular set, with a unique m-cell for each m [1, p. 264].

**interpretation** *final-glob*: *globular-set*  $\lambda m. \{()\} \lambda m. id \lambda m. id$   
*<proof>*

**context** *globular-set*  
**begin**

[1, p. 272]

**interpretation** *map-to-final-glob*: *globular-map*  $X s t$   
 $\lambda m. \{()\} \lambda m. id \lambda m. id$   
 $\lambda m. (\lambda x. ())$   
*<proof>*

**end**

**end**

```

theory Strict-Omega-Category
imports Globular-Set

```

```

begin

```

### 3 Strict $\omega$ -categories

First, we define a locale *pre-strict-omega-category* that holds the data of a strict  $\omega$ -category without the associativity, unity and exchange axioms [1, Def 1.4.8 (a) - (b)]. We do this in order to set up convenient notation before we state the remaining axioms.

```

locale pre-strict-omega-category = globular-set +
  fixes comp :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a
    and i :: nat  $\Rightarrow$  'a  $\Rightarrow$  'a
  assumes comp-fun: is-composable-pair m n x' x  $\Longrightarrow$  comp m n x' x  $\in$  X m
    and i-fun: i n  $\in$  X n  $\rightarrow$  X (Suc n)
    and s-comp-Suc: is-composable-pair (Suc m) m x' x  $\Longrightarrow$  s m (comp (Suc m) m x' x) = s m x
    and t-comp-Suc: is-composable-pair (Suc m) m x' x  $\Longrightarrow$  t m (comp (Suc m) m x' x) = t m x'
    and s-comp:  $\llbracket$ is-composable-pair (Suc m) n x' x; n < m $\rrbracket \Longrightarrow$ 
      s m (comp (Suc m) n x' x) = comp m n (s m x') (s m x)
    and t-comp:  $\llbracket$ is-composable-pair (Suc m) n x' x; n < m $\rrbracket \Longrightarrow$ 
      t m (comp (Suc m) n x' x) = comp m n (t m x') (s m x)
    and s-i: x  $\in$  X n  $\Longrightarrow$  s n (i n x) = x
    and t-i: x  $\in$  X n  $\Longrightarrow$  t n (i n x) = x
begin

```

Similar to the generalised source and target maps in *globular-set*, we defined a generalised identity map. The first argument gives the dimension of the resulting identity cell, while the second gives the dimension of the input cell.

```

fun i' :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a where
  i' 0 0 = id |
  i' 0 (Suc n) = undefined |
  i' (Suc m) n = (if Suc m < n then undefined
    else if Suc m = n then id
    else i m  $\circ$  i' m n)

```

```

lemma i'-n-n [simp]: i' n n = id
  <proof>

```

```

lemma i'-Suc-n-n [simp]: i' (Suc n) n = i n
  <proof>

```

```

lemma i'-Suc [simp]: n  $\leq$  m  $\Longrightarrow$  i' (Suc m) n = i m  $\circ$  i' m n
  <proof>

```

**lemma** *i'-Suc'*:  $n < m \implies i' m n = i' m (Suc n) \circ i n$   
 ⟨proof⟩

**lemma** *i'-fun*:  $n \leq m \implies i' m n \in X n \rightarrow X m$   
 ⟨proof⟩

**end**

Now we may define a strict  $\omega$ -category including the composition, unity and exchange axioms [1, Def 1.4.8 (c) - (f)].

**locale** *strict-omega-category* = *pre-strict-omega-category* +  
**assumes** *comp-assoc*:  $\llbracket is-composable-pair\ m\ n\ x'\ x;\ is-composable-pair\ m\ n\ x''\ x' \rrbracket \implies$   
 $comp\ m\ n\ (comp\ m\ n\ x''\ x')\ x = comp\ m\ n\ x''\ (comp\ m\ n\ x'\ x)$   
**and** *i-comp*:  $\llbracket n < m;\ x \in X\ m \rrbracket \implies comp\ m\ n\ (i'\ m\ n\ (t'\ m\ n\ x))\ x = x$   
**and** *comp-i*:  $\llbracket n < m;\ x \in X\ m \rrbracket \implies comp\ m\ n\ x\ (i'\ m\ n\ (s'\ m\ n\ x)) = x$   
**and** *bin-interchange*:  $\llbracket q < p;\ p < m;\ is-composable-pair\ m\ p\ y'\ y;\ is-composable-pair\ m\ p\ x'\ x;\ is-composable-pair\ m\ q\ y'\ x'; is-composable-pair\ m\ q\ y\ x \rrbracket \implies$   
 $comp\ m\ q\ (comp\ m\ p\ y'\ y)\ (comp\ m\ p\ x'\ x) = comp\ m\ p\ (comp\ m\ q\ y'\ x')$   
 ( $comp\ m\ q\ y\ x$ )  
**and** *null-interchange*:  $\llbracket q < p;\ is-composable-pair\ p\ q\ x'\ x \rrbracket \implies$   
 $comp\ (Suc\ p)\ q\ (i\ p\ x')\ (i\ p\ x) = i\ p\ (comp\ p\ q\ x'\ x)$

**locale** *strict-omega-functor* = *globular-map* +  
*source*: *strict-omega-category*  $X\ s_X\ t_X\ comp_X\ i_X$  +  
*target*: *strict-omega-category*  $Y\ s_Y\ t_Y\ comp_Y\ i_Y$   
**for**  $comp_X\ i_X\ comp_Y\ i_Y$  +  
**assumes** *commute-with-comp*:  $is-composable-pair\ m\ n\ x'\ x \implies$   
 $\varphi\ m\ (comp_X\ m\ n\ x'\ x) = comp_Y\ m\ n\ (\varphi\ m\ x')\ (\varphi\ m\ x)$   
**and** *commute-with-id*:  $x \in X\ n \implies \varphi\ (Suc\ n)\ (i_X\ n\ x) = i_Y\ n\ (\varphi\ n\ x)$

**end**

**theory** *Pasting-Diagram*

**imports** *Strict-Omega-Category*

**begin**

## 4 The category of pasting diagrams

We define the strict  $\omega$ -category of pasting diagrams, 'pd'. We encode its cells as rooted trees. First we develop some basic theory of trees.

### 4.1 Rooted trees

**datatype** *tree* = *Node* (*subtrees*: *tree list*) — [1, p. 268]

**abbreviation** *Leaf* :: tree **where**

*Leaf*  $\equiv$  Node []

**fun** *subtree* :: tree  $\Rightarrow$  nat list  $\Rightarrow$  tree (- !t - [59,60]59) **where**

*t* !t [] = *t* |

*t* !t (*i* # *xs*) = subtrees (*t* !t *xs*) ! *i*

**value** *Leaf* !t []

**value** Node [Node [*Leaf*, *Leaf*, *Leaf*], *Leaf*, Node [*Leaf*]] !t [0]

**value** Node [Node [*Leaf*, *Leaf*, *Leaf*], *Leaf*, Node [*Leaf*]] !t [2,0]

**value** Node [Node [*Leaf*, *Leaf*, *Leaf*], *Leaf*, Node [*Leaf*]] !t [1]

**value** Node [Node [*Leaf*, *Leaf*, *Leaf*], *Leaf*, Node [*Leaf*]] !t [0,2]

**lemma** *subtrees-Leaf*: (*t* = *Leaf*) = (subtrees *t* = [])

*<proof>*

**fun** *is-subtree-index* :: tree  $\Rightarrow$  nat list  $\Rightarrow$  bool **where**

*is-subtree-index* *t* [] = True |

*is-subtree-index* *t* (*i* # *xs*) = (*is-subtree-index* *t* *xs*  $\wedge$  *i* < length (subtrees (*t* !t *xs*)))

**lemma** *subtree-append*: *ts* ! *i* !t *xs* = Node *ts* !t *xs* @ [*i*]

*<proof>*

**lemma** *is-subtree-index-append* [iff]: *is-subtree-index* (Node *ts*) (*xs* @ [*i*]) =

(*i* < length *ts*  $\wedge$  *is-subtree-index* (*ts*!*i*) *xs*)

*<proof>*

**lemma** *is-subtree-index-append'* [iff]: *is-subtree-index* *t* (*xs* @ [*i*]) =

(*is-subtree-index* *t* [*i*]  $\wedge$  *is-subtree-index* (*t* !t [*i*]) *xs*)

*<proof>*

**lemma** *max-set-upt* [simp]: Max {0..<Suc *n*} = *n*

*<proof>*

**lemma** *length-subtrees-eq-Max*: **assumes** *is-subtree-index* *t* *xs* subtrees (*t* !t *xs*)  $\neq$  []

**shows** length (subtrees (*t* !t *xs*)) = Suc (Max {*i*. *is-subtree-index* *t* (*i* # *xs*)})

*<proof>*

**lemma** *tree-eq-iff-subtree-eq*: (*t* = *u*) = (length (subtrees *t*) = length (subtrees *u*)

$\wedge$

( $\forall$  *i* < length (subtrees *t*). *t* !t [*i*] = *u* !t [*i*]))

*<proof>*

We define the height of a rooted tree. A tree with only one node has height 0. The trees of height at most *n* encode the *n*-cells in 'pd'.

**fun** *height* :: tree  $\Rightarrow$  nat **where**

*height* *Leaf* = 0 |

*height* (Node *ts*) = Suc (fold (max  $\circ$  *height*) *ts* 0)



**value** *height* *Leaf*  
**value** *height* (*Node* [*Leaf*, *Leaf*])  
**value** *height* (*Node* [*Node* [*Leaf*, *Leaf*], *Leaf*])  
**value** *height* (*Node* [*Node* [*Leaf*, *Node* [*Leaf*]])

**lemma** *height-Node* [*simp*]:  $ts \neq [] \implies \text{height} (\text{Node } ts) = \text{Suc} (\text{fold} (\text{max} \circ \text{height}) ts 0)$   
*<proof>*

**lemma** *fold-eq-Max* [*simp*]:  $ts \neq [] \implies \text{fold} (\text{max} \circ \text{height}) ts 0 = \text{Max} (\text{set} (\text{map } \text{height } ts))$   
*<proof>*

**lemma** *height-Node-Max*:  $ts \neq [] \implies \text{height} (\text{Node } ts) = \text{Suc} (\text{Max} (\text{set} (\text{map } \text{height } ts)))$   
*<proof>*

**lemma** *height-Node-pos* :  $ts \neq [] \implies 0 < \text{height} (\text{Node } ts)$   
*<proof>*

**lemma** *height-exists*:  
**assumes**  $\text{height} (\text{Node } ts) = \text{Suc } n$   
**shows**  $\exists t. t \in \text{set } ts \wedge \text{height } t = n$   
*<proof>*

**lemma** *height-lt*: **assumes**  $t \in \text{set } ts$  **shows**  $\text{height } t < \text{height} (\text{Node } ts)$   
*<proof>*

**lemma** *height-le-imp-le-Suc*:  
**assumes**  $\forall t \in \text{set } ts. \text{height } t \leq n$   
**shows**  $\text{height} (\text{Node } ts) \leq \text{Suc } n$   
*<proof>*

**lemma** *height-zero* [*simp*]:  $\text{height } t = 0 \implies t = \text{Leaf}$   
*<proof>*

**lemma** *is-subtree-index-length-le*:  $\text{is-subtree-index } t xs \implies \text{length } xs \leq \text{height } t$   
*<proof>*

**lemma** *height-subtree*:  $\text{is-subtree-index } t xs \implies \text{height} (t !t xs) \leq \text{height } t - \text{length } xs$   
*<proof>*

**lemma** *height-induct*:  $(\bigwedge t. \forall u. \text{height } u < \text{height } t \longrightarrow P u \implies P t) \implies P t$   
*<proof>*

**lemma** *subtree-index-induct* [*case-names* *Index Step*]:  
**assumes**

$is\_subtree\_index\ t\ xs$   
 $\bigwedge xs. \llbracket is\_subtree\_index\ t\ xs; \forall i < length\ (subtrees\ (t\ !t\ xs)).\ P\ (i\#xs) \rrbracket \implies P\ xs$   
**shows**  $P\ xs$   
 $\langle proof \rangle$

The function *trim* keeps the first *n* layers of a tree and removes the remaining ones.

**fun**  $trim :: nat \Rightarrow tree \Rightarrow tree$  **where**  
 $trim\ 0\ t = Leaf\ |$   
 $trim\ (Suc\ n)\ (Node\ ts) = Node\ (map\ (trim\ n)\ ts)$

**lemma**  $trim\_Leaf\ [simp]: trim\ n\ Leaf = Leaf$   
 $\langle proof \rangle$

**lemma**  $height\_trim\_le: height\ (trim\ n\ t) \leq n$   
 $\langle proof \rangle$

**lemma**  $trim\_const: height\ t \leq n \implies trim\ n\ t = t$   
 $\langle proof \rangle$

**lemma**  $height\_trim\_le': n \leq height\ t \implies height\ (trim\ n\ t) = n$   
 $\langle proof \rangle$

**lemma**  $height\_trim: height\ (trim\ n\ t) = (if\ n \leq height\ t\ then\ n\ else\ height\ t)$   
 $\langle proof \rangle$

**value**  $trim\ 1\ Leaf$   
**value**  $trim\ 1\ (Node\ [Leaf,\ Leaf])$   
**value**  $trim\ 2\ (Node\ [Node\ [Leaf,\ Leaf],\ Leaf])$   
**value**  $trim\ 1\ (Node\ [Node\ [Leaf,\ Node\ [Leaf]],\ Node\ [Leaf]])$

**lemma**  $trim\_trim'\ [simp]: trim\ n \circ trim\ n = trim\ n$   
 $\langle proof \rangle$

**lemma**  $trim\_trim\_Suc\ [simp]: trim\ n \circ trim\ (Suc\ n) = trim\ n$   
 $\langle proof \rangle$

**lemma**  $trim\_trim\ [simp]: n \leq m \implies trim\ n \circ trim\ m = trim\ n$   
 $\langle proof \rangle$

**lemma**  $trim\_eq\_imp\_trim\_eq\ [simp]: \llbracket n \leq m; trim\ m\ t = trim\ m\ u \rrbracket \implies trim\ n\ t = trim\ n\ u$   
 $\langle proof \rangle$

**lemma**  $trim\_1\_eq: assumes\ trim\ 1\ (Node\ ts) = trim\ 1\ (Node\ us)$  **shows**  $length\ ts = length\ us$   
 $\langle proof \rangle$

**lemma**  $length\_subtrees\_trim\_Suc: length\ (subtrees\ (trim\ (Suc\ n)\ t)) = length\ (subtrees$

t)  
<proof>

**lemma** *trim-eq-Leaf*:  $\text{trim } n \ t = \text{Leaf} \implies n = 0 \vee t = \text{Leaf}$   
<proof>

**lemma** *map-eq-imp-pairs-eq*:  $\text{map } f \ xs = \text{map } g \ ys \implies (\bigwedge x \ y. (x, y) \in \text{set } (\text{zip } xs \ ys) \implies f \ x = g \ y)$   
<proof>

**lemma** *trim-eq-subtree-eq*:  
 **assumes**  $\text{trim } (\text{Suc } n) \ (\text{Node } ts) = \text{trim } (\text{Suc } n) \ (\text{Node } us)$   
 **shows**  $\bigwedge t \ u. (t, u) \in \text{set } (\text{zip } ts \ us) \implies \text{trim } n \ t = \text{trim } n \ u$   
<proof>

**lemma** *pairs-eq-imp-map-eq*:  
 **assumes**  $\text{length } xs = \text{length } ys \ \forall (x, y) \in \text{set } (\text{zip } xs \ ys). f \ x = g \ y$   
 **shows**  $\text{map } f \ xs = \text{map } g \ ys$   
<proof>

**lemma** *map-eq-iff-pairs-eq*:  $(\text{map } f \ xs = \text{map } g \ ys) =$   
  $(\text{length } xs = \text{length } ys \wedge (\forall (x, y) \in \text{set } (\text{zip } xs \ ys). f \ x = g \ y))$   
<proof>

**lemma** *subtree-eq-trim-eq*:  
 **assumes**  $\text{length } ts = \text{length } us \ \forall (t, u) \in \text{set } (\text{zip } ts \ us). \text{trim } n \ t = \text{trim } n \ u$   
 **shows**  $\text{trim } (\text{Suc } n) \ (\text{Node } ts) = \text{trim } (\text{Suc } n) \ (\text{Node } us)$   
<proof>

**lemma** *subtree-trim-1*:  $\text{is-subtree-index } t \ [i] \implies \text{trim } (\text{Suc } n) \ t \ !t \ [i] = \text{trim } n \ (t \ !t \ [i])$   
<proof>

**lemma** *is-subtree-index-trim*:  
  $\text{is-subtree-index } (\text{trim } n \ t) \ xs = (\text{is-subtree-index } t \ xs \wedge \text{length } xs \leq n)$   
<proof>

**lemma** *subtree-trim*:  $\llbracket \text{is-subtree-index } t \ xs; \text{length } xs \leq n \rrbracket \implies$   
  $\text{trim } n \ t \ !t \ xs = \text{trim } (n - \text{length } xs) \ (t \ !t \ xs)$   
<proof>

**lemma** *length-subtrees-trim*:  $\llbracket \text{is-subtree-index } t \ xs; \text{length } xs < n \rrbracket \implies$   
  $\text{length } (\text{subtrees } (\text{trim } n \ t \ !t \ xs)) = \text{length } (\text{subtrees } (t \ !t \ xs))$   
<proof>

**lemma** *subtree-trim-Leaf*: **assumes**  $\text{is-subtree-index } (\text{trim } n \ t) \ xs \ t \ !t \ xs = \text{Leaf}$   
 **shows**  $\text{trim } n \ t \ !t \ xs = \text{Leaf}$   
<proof>

## 4.2 The strict $\omega$ -category of pasting diagrams

The function  $\delta$  acts as both the source and target map in the globular set of pasting diagrams. It is denoted  $\partial$  in Leinster [1, p. 264].

**abbreviation  $\delta$  where**

$\delta \equiv trim$

**value  $\delta$  1** (*Node* [*Node* [*Leaf*, *Leaf*, *Leaf*], *Leaf*, *Node* [*Leaf*]])

**value  $\delta$  2** (*Node* [*Node* [*Node* [*Leaf*, *Leaf*]], *Node* [*Leaf*, *Leaf*]])

**abbreviation  $PD :: nat \Rightarrow tree\ set$  where**

$PD\ n \equiv \{t.\ height\ t \leq n\}$

**interpretation  $pd: globular\text{-}set\ PD\ \delta\ \delta$**

*<proof>*

The generalised source and target maps have simple interpretations in terms of *trim*.

**lemma  $s'$ -eq- $trim$ :** **assumes**  $n \leq m$  **height**  $t \leq m$  **shows**  $pd.s'\ m\ n\ t = trim\ n\ t$

*<proof>*

**lemma  $s'$ -eq- $t'$ :**  $pd.s' = pd.t'$

*<proof>*

**lemma  $t'$ -eq- $trim$ :** **assumes**  $n \leq m$  **height**  $t \leq m$  **shows**  $pd.t'\ m\ n\ t = trim\ n\ t$

*<proof>*

Next we define identities and composition [1, p. 266]. The identity of a tree with height at most  $n$  is the same tree seen as a tree of height at most  $n + 1$ .

**fun  $tree\text{-}comp :: nat \Rightarrow tree \Rightarrow tree \Rightarrow tree$  where**

$tree\text{-}comp\ 0\ (Node\ ts)\ (Node\ us) = Node\ (ts\ @\ us)\ |$

$tree\text{-}comp\ (Suc\ n)\ (Node\ ts)\ (Node\ us) = Node\ (map2\ (tree\text{-}comp\ n)\ ts\ us)$

**value  $tree\text{-}comp\ 1$**

(*Node* [*Node* [*Leaf*, *Leaf*], *Leaf*, *Node* [*Leaf*]])

(*Node* [*Leaf*, *Leaf*, *Node* [*Leaf*, *Leaf*]])

**value  $tree\text{-}comp\ 0$**

(*Node* [*Node* [*Node* [*Leaf*, *Leaf*]])

(*Node* [*Node* [*Leaf*, *Leaf*]])

**value  $tree\text{-}comp\ 0$**

( $tree\text{-}comp\ 0$

( $tree\text{-}comp\ 1$

$(Node [Leaf, Leaf])$   
 $(Node [Node [Leaf], Node [Leaf, Leaf, Leaf]])$   
 $(Node [Leaf, Node [Leaf, Leaf]])$   
 $(Node [Leaf, Leaf, Leaf])$

**lemma** *tree-comp-0-Leaf1* [simp]: *tree-comp 0 Leaf t = t*  
 ⟨proof⟩

**lemma** *tree-comp-0-Leaf2* [simp]: *tree-comp 0 t Leaf = t*  
 ⟨proof⟩

**lemma** *tree-comp-Suc-Leaf1* [simp]: *tree-comp (Suc n) Leaf t = Leaf*  
 ⟨proof⟩

**lemma** *tree-comp-Suc-Leaf2* [simp]: *tree-comp (Suc n) t Leaf = Leaf*  
 ⟨proof⟩

**lemma** *height-tree-comp-0* [simp]: *height (tree-comp 0 t u) = max (height t) (height u)*  
 ⟨proof⟩

An alternative description of being composable for trees. Defined so that *tree-comp n t u* is defined if and only if *composable-tree n t u*.

**fun** *composable-tree* :: *nat*  $\Rightarrow$  *tree*  $\Rightarrow$  *tree*  $\Rightarrow$  *bool* **where**  
*composable-tree 0 (Node ts) (Node us) = True* |  
*composable-tree (Suc n) (Node ts) (Node us) = (length ts = length us  $\wedge$*   
*( $\forall i < length ts.$  *composable-tree n (ts!i) (us!i)*))*

**lemma** *sym-composable-tree*: *composable-tree n t u = composable-tree n u t*  
 ⟨proof⟩

**lemma** *is-composable-pair-imp-composable-tree*: *pd.is-composable-pair m n t u  $\implies$*   
*composable-tree n t u*  
 ⟨proof⟩

**lemma** *composable-tree-imp-trim-eq*: *composable-tree n t u  $\implies$  trim n t = trim n u*  
 ⟨proof⟩

**lemma** *composable-tree-imp-is-composable-pair*:  
**assumes** *n < m height t  $\leq$  m height u  $\leq$  m composable-tree n t u*  
**shows** *pd.is-composable-pair m n t u*  
 ⟨proof⟩

**lemma** *is-composable-pair-iff-composable-tree*: *pd.is-composable-pair m n t u =*  
*(n < m  $\wedge$  height t  $\leq$  m  $\wedge$  height u  $\leq$  m  $\wedge$  composable-tree n t u)*  
 ⟨proof⟩

**lemma** *composable-tree-imp-composable-tree-subtrees*:

*composable-tree (Suc n) (Node ts) (Node us)  $\implies \forall (t, u) \in \text{set } (\text{zip } ts \ us)$ . composable-tree n t u*  
*<proof>*

**lemma** *composable-tree-nth-subtrees*:

*[[composable-tree (Suc n) (Node ts) (Node us); i < length ts]]  $\implies$  composable-tree n (ts!i) (us!i)*  
*<proof>*

**lemma** *is-composable-pair-imp-is-composable-pair-subtrees*:

**assumes** *pd.is-composable-pair (Suc m) (Suc n) (Node ts) (Node us)*  
**shows**  $\forall (t, u) \in \text{set } (\text{zip } ts \ us)$ . *pd.is-composable-pair m n t u*  
*<proof>*

**lemma** *in-set-map2*:  $(z \in \text{set } (\text{map2 } f \ xs \ ys)) = (\exists (x, y) \in \text{set } (\text{zip } xs \ ys)). z = f \ x \ y$   
*<proof>*

**lemma** *height-tree-comp-le*:  $[[\text{height } t \leq m; \text{height } u \leq m]] \implies \text{height } (\text{tree-comp } n \ t \ u) \leq m$   
*<proof>*

**lemma** *nth-map2 [simp]*:  $[[n < \text{length } xs; n < \text{length } ys]] \implies \text{map2 } f \ xs \ ys \ ! \ n = f \ (xs \ ! \ n) \ (ys \ ! \ n)$   
*<proof>*

**lemma** *trim-tree-comp1*: *composable-tree n t u  $\implies$  trim n (tree-comp n t u) = trim n t*  
*<proof>*

**lemma** *trim-tree-comp2*: *composable-tree n t u  $\implies$  trim n (tree-comp n t u) = trim n u*  
*<proof>*

**lemma** *map2-map-map'*:  $\text{map2 } f \ (\text{map } g \ xs) \ (\text{map } h \ ys) = \text{map } (\lambda(x, y). f \ (g \ x) \ (h \ y)) \ (\text{zip } xs \ ys)$   
*<proof>*

**lemma** *trim-tree-comp-commute*: *trim m (tree-comp n t u) = tree-comp n (trim m t) (trim m u)*  
*<proof>*

**interpretation** *pd-pre-cat*: *pre-strict-omega-category PD  $\delta \ \delta \ \lambda \ m$ . tree-comp  $\lambda \ n$ . id*  
*<proof>*

**lemma** *tree-comp-assoc*: *tree-comp n (tree-comp n t u) v = tree-comp n t (tree-comp*

$n u v$   
 $\langle proof \rangle$

**lemma**  $i'$ -eq-id:  $n \leq m \implies pd\text{-pre-cat}.i' m n = id$   
 $\langle proof \rangle$

**lemma**  $composable\text{-tree-trim1}$ :  $n \leq m \implies composable\text{-tree } n (trim m t) t$   
 $\langle proof \rangle$

**lemma**  $composable\text{-tree-trim2}$ :  $n \leq m \implies composable\text{-tree } n t (trim m t)$   
 $\langle proof \rangle$

**lemma**  $tree\text{-comp-trim1}$ :  $tree\text{-comp } n (trim n t) t = t$   
 $\langle proof \rangle$

**lemma**  $tree\text{-comp-trim2}$ :  $tree\text{-comp } n t (trim n t) = t$   
 $\langle proof \rangle$

**lemma**  $tree\text{-comp-exchange}$ :  
 $\llbracket q < p; composable\text{-tree } p y' y; composable\text{-tree } p x' x;$   
 $composable\text{-tree } q y' x'; composable\text{-tree } q y x \rrbracket \implies$   
 $tree\text{-comp } q (tree\text{-comp } p y' y) (tree\text{-comp } p x' x) =$   
 $tree\text{-comp } p (tree\text{-comp } q y' x') (tree\text{-comp } q y x)$   
 $\langle proof \rangle$

**interpretation**  $pd\text{-cat}'$ :  $strict\text{-omega-category } PD \delta \delta \lambda m. tree\text{-comp } \lambda n. id$   
 $\langle proof \rangle$

**end**

## References

- [1] T. Leinster. *Higher operads, higher categories*. Number 298. Cambridge University Press, 2004.