

Formalization of an Algorithm for Greedily Computing Associative Aggregations on Sliding Windows

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Abstract

Basin et al.'s sliding window algorithm (SWA) [1] is an algorithm for combining the elements of subsequences of a sequence with an associative operator. It is greedy and minimizes the number of operator applications. We formalize the algorithm and verify its functional correctness. We extend the algorithm with additional operations and provide an alternative interface to the slide operation that does not require the entire input sequence.

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1 Sliding Window Algorithm

```
datatype 'a tree =  
  Leaf  
| Node (l: nat) (r: nat) (val: 'a option) (lchild: 'a tree) (rchild: 'a tree)  
where  
  l Leaf = 0  
| r Leaf = 0  
| val Leaf = None  
| lchild Leaf = Leaf  
| rchild Leaf = Leaf
```

```
lemma neq_Leaf_if_l_gt0: 0 < l t  $\implies$  t  $\neq$  Leaf  
by auto
```

```
primrec discharge :: 'a tree  $\Rightarrow$  'a tree where  
  discharge Leaf = Leaf  
| discharge (Node i j _ t u) = Node i j None t u
```

```

instantiation option :: (semigroup_add) semigroup_add begin

fun plus_option :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  'a option where
  plus_option None _ = None
| plus_option _ None = None
| plus_option (Some a) (Some b) = Some (a + b)

instance proof
  fix a b c :: 'a option
  show a + b + c = a + (b + c)
  by (induct a b rule: plus_option.induct; cases c)
    (auto simp: algebra_simps)
qed

end

fun combine :: 'a :: semigroup_add tree  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree where
  combine t Leaf = t
| combine Leaf t = t
| combine t u = Node (l t) (r u) (val t + val u) (discharge t) u

lemma combine_non_Leaves:  $\llbracket t \neq \text{Leaf}; u \neq \text{Leaf} \rrbracket \Longrightarrow \text{combine } t \ u = \text{Node } (l \ t) \ (r \ u) \ (\text{val } t + \text{val } u) \ (\text{discharge } t) \ u$ 
proof -
  assume assms:  $t \neq \text{Leaf} \ u \neq \text{Leaf}$ 
  from assms have combine t u = Node (l (Node (l t) (r t) (val t) (lchild t) (rchild t))) (r (Node (l u) (r u) (val u) (lchild u) (rchild u))) (val (Node (l t) (r t) (val t) (lchild t) (rchild t)) + val (Node (l u) (r u) (val u) (lchild u) (rchild u))) (discharge (Node (l t) (r t) (val t) (lchild t) (rchild t))) (Node (l u) (r u) (val u) (lchild u) (rchild u))
  by (metis (no_types) combine.simps(3) tree.exhaust_sel)
  with assms show ?thesis
  by simp
qed

lemma r_combine_non_Leaves:  $\llbracket t \neq \text{Leaf}; u \neq \text{Leaf} \rrbracket \Longrightarrow r \ (\text{combine } t \ u) = r \ u$ 
by (simp add: combine_non_Leaves)

type_synonym window = nat  $\times$  nat

definition window :: 'a list  $\Rightarrow$  window  $\Rightarrow$  bool where
  window as = ( $\lambda(l, r). 0 < l \wedge l \leq r \wedge r \leq \text{length } as$ )

definition windows :: 'a list  $\Rightarrow$  window list  $\Rightarrow$  bool where
  windows as ws = ( $(\forall w \in \text{set } ws. \text{window } as \ w) \wedge \text{sorted } (\text{map } \text{fst } ws) \wedge \text{sorted } (\text{map } \text{snd } ws)$ )

function reusables :: 'a tree  $\Rightarrow$  window  $\Rightarrow$  'a tree list where
  reusables t w = (if fst w > r t then [] else if fst w = l t then [t]
    else let v = lchild t; u = rchild t in if fst w  $\geq$  l u then
      reusables u w else u # reusables v w)
  by auto
termination
  by (relation measure ( $\lambda p. \text{size } (\text{fst } p)$ ))
    (auto simp: lchild_def rchild_def split: tree.splits)

declare reusables.simps[simp del]

lemma reusables_Leaf[simp]:  $0 < \text{fst } w \Longrightarrow \text{reusables } \text{Leaf } w = []$ 

```

by (simp add: reusables.simps)

primrec well_shaped :: 'a tree \Rightarrow bool **where**

well_shaped Leaf = True

| well_shaped (Node i j _ t u) = (i \leq j \wedge (i = j \longrightarrow t = Leaf \wedge u = Leaf) \wedge
 (i < j \longrightarrow t \neq Leaf \wedge u \neq Leaf \wedge well_shaped t \wedge well_shaped u \wedge
 i = l t \wedge j = r u \wedge Suc (r t) = l u)

lemma l_lchild_eq_l_if_well_shaped[simp]:

\llbracket well_shaped t; l t < r t $\rrbracket \Longrightarrow$ l (lchild t) = l t

by (induct t) auto

lemma r_rchild_eq_r_if_well_shaped[simp]:

\llbracket well_shaped t; l t < r t $\rrbracket \Longrightarrow$ r (rchild t) = r t

by (induct t) auto

lemma r_lchild_eq_l_rchild_if_well_shaped:

\llbracket well_shaped t; l t < r t $\rrbracket \Longrightarrow$ r (lchild t) = l (rchild t) - 1

by (induct t) auto

lemma r_lchild_le_r: well_shaped t \Longrightarrow r (lchild t) \leq r t

proof (induct t)

case (Node i j a t1 t2)

then show ?case

by auto

(metis Suc_eq_plus1_left order.trans le_add2 tree.exhaust_sel well_shaped.simps(2))

qed simp

lemma well_shaped_lchild[simp]: well_shaped t \Longrightarrow well_shaped (lchild t)

by (induct t) auto

lemma well_shaped_rchild[simp]: well_shaped t \Longrightarrow well_shaped (rchild t)

by (induct t) auto

definition adjacent **where**

adjacent w ts = (Leaf \notin set ts \wedge

list_all2 (λ t u. l t = Suc (r u)) (butlast ts) (tl ts) \wedge

(ts = [] \vee (l (last ts) = fst w \wedge r (hd ts) = snd w)))

lemma adjacent_Nil[simp]: adjacent w []

unfolding adjacent_def **by** simp

lemma adjacent_Cons: adjacent w (t # ts) =

(t \neq Leaf \wedge r t = snd w \wedge (case ts of [] \Rightarrow l t = fst w

| u # us \Rightarrow adjacent (fst w, r u) ts \wedge l t = Suc (r u)))

unfolding adjacent_def **by** (auto split: list.splits)

lemma adjacent_ConsI: \llbracket t \neq Leaf; r t = snd w;

(case ts of [] \Rightarrow l t = fst w

| u # us \Rightarrow adjacent (fst w, r u) ts \wedge l t = Suc (r u)) $\rrbracket \Longrightarrow$

adjacent w (t # ts)

by (simp add: adjacent_Cons)

lemma adjacent_singleton: t \neq Leaf \Longrightarrow adjacent (l t, r t) [t]

unfolding adjacent_def **by** simp

lemma append_Cons_eq_append_append: xs @ y # ys = xs @ [y] @ ys

by simp

lemma *list_all2_append_singletonI*: $\llbracket \text{list_all2 } P \text{ } xs \text{ } ys; P \text{ } x \text{ } y \rrbracket \implies \text{list_all2 } P \text{ } (xs @ [x]) \text{ } (ys @ [y])$
by (*simp add: list_all2_appendI*)

lemma *list_all2_Cons_append_singletonI*: $\llbracket xs \neq []; \text{list_all2 } P \text{ } (x \# \text{butlast } xs) \text{ } ys; P \text{ } (\text{last } xs) \text{ } y \rrbracket \implies \text{list_all2 } P \text{ } (x \# xs) \text{ } (ys @ [y])$
using *list_all2_append_singletonI* **by** *fastforce*

lemma *adjacent_appendI*: $\llbracket 0 < \text{fst } w; \text{fst } w \leq \text{snd } w; (\text{case } us \text{ of } [] \Rightarrow \text{adjacent } w \text{ } ts \mid u \# us' \Rightarrow \text{adjacent } (\text{Suc } (r \text{ } u), \text{snd } w) \text{ } ts \wedge \text{adjacent } (\text{fst } w, (\text{case } ts \text{ of } [] \Rightarrow \text{snd } w \mid ts \Rightarrow r \text{ } u)) (u \# us')) \rrbracket \implies \text{adjacent } w \text{ } (ts @ us)$
unfolding *adjacent_def butlast_append*
by (*auto simp: intro: list_all2_Cons_append_singletonI list_all2_appendI[OF list_all2_Cons_append_singletonI, simplified] split: list.splits if_splits*)

lemma *adjacent_Cons_implies_adjacent*: $\text{adjacent } (a, b) \text{ } (t \# ts) \implies \text{adjacent } (a, l \text{ } t - \text{Suc } 0) \text{ } ts$
by (*cases ts*) (*simp_all add: adjacent_def*)

lemma (*in semigroup_add*) *fold_add_add*: $\text{fold } (+) \text{ } xs \text{ } (x + y) = \text{fold } (+) \text{ } xs \text{ } x + y$
by (*induct xs arbitrary: x*) (*auto simp: add_assoc[symmetric]*)

context

fixes *as* :: 'a :: *semigroup_add* *list*

and *ws* :: *window list*

begin

abbreviation *atomic where*

atomic i $\equiv \text{Node } i \text{ } i \text{ } (\text{Some } (nth \text{ } as \text{ } (i - 1))) \text{ } \text{Leaf } \text{Leaf}$

definition *atomics* :: *nat* \Rightarrow *nat* \Rightarrow 'a *tree list where*

atomics i j $\equiv \text{map } \text{atomic } (\text{rev } [i \text{ } .. < \text{Suc } j])$

definition *slide* :: 'a *tree* \Rightarrow *window* \Rightarrow 'a *tree where*

slide t w =

(*let*

ts = *atomics* (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*);

ts' = *reusables t w*

in fold combine (*ts @ ts'*) *Leaf*)

primrec *iterate* :: 'a *tree* \Rightarrow *window list* \Rightarrow 'a *list where*

iterate t [] = []

| *iterate t (w # xs)* = (*let t' = slide t w in the* (*val t'*) # *iterate t' xs*)

definition *sliding_window* :: 'a *list where*

sliding_window = *iterate Leaf ws*

2 Correctness

abbreviation *sum where*

sum i j $\equiv \text{fold } (+) \text{ } (\text{rev } (\text{map } (nth \text{ } as) [i - 1 \text{ } .. < j - 1])) \text{ } (nth \text{ } as \text{ } (j - 1))$

primrec *well_valued0* :: 'a *tree* \Rightarrow *bool where*

well_valued0 Leaf = *True*

| *well_valued0* (*Node i j a t u*) = ($0 < i \wedge j \leq \text{length } as \wedge (a \neq \text{None} \longrightarrow a = \text{Some } (\text{sum } i \text{ } j)) \wedge \text{well_valued0 } t \wedge \text{well_valued0 } u \wedge (u = \text{Leaf} \vee \text{val } u \neq \text{None})$)

abbreviation $well_valued :: 'a\ tree \Rightarrow bool$ **where**
 $well_valued\ t \equiv (well_valued0\ t \wedge (t \neq Leaf \longrightarrow val\ t \neq None))$

definition $valid :: 'a\ tree \Rightarrow bool$ **where**
 $valid\ t = (well_shaped\ t \wedge well_valued\ t)$

lemma $valid_Leaf: valid\ Leaf$
unfolding $valid_def$ **by** $auto$

lemma $add_sum:$
assumes $i > 0\ j \geq i\ k > j$
shows $sum\ i\ j + sum\ (Suc\ j)\ k = sum\ i\ k$
proof $-$
have $*$: $[i - 1 ..< k - 1] = [i - 1 ..< j] @ [j ..< k - 1]$
using $assms\ upt_add_eq_append[of\ i - 1\ j - 1\ k - j]$
by $(cases\ j)\ (auto\ simp: upt_conv_Cons)$
then show $?thesis$ **using** $assms$
by $(cases\ j)\ (auto\ simp: fold_add_add)$

qed

lemma $well_valued0_rchild_if_well_valued0[simp]: well_valued0\ t \Longrightarrow well_valued0\ (rchild\ t)$
by $(induct\ t)\ auto$

lemma $well_valued0_lchild_if_well_valued0[simp]: well_valued0\ t \Longrightarrow well_valued0\ (lchild\ t)$
by $(induct\ t)\ auto$

lemma $valid_rchild_if_valid: valid\ t \Longrightarrow valid\ (rchild\ t)$
by $(metis\ tree.exhaust_sel\ tree.sel(9)\ valid_def\ well_shaped_rchild\ well_valued0.simps(2))$

lemma $val_eq_Some_sum_if_valid_neq_Leaf: \llbracket valid\ t; t \neq Leaf \rrbracket \Longrightarrow val\ t = Some\ (sum\ (l\ t)\ (r\ t))$
by $(auto\ simp: valid_def\ foldr_conv_fold)$
 $(metis\ One_nat_def\ option.distinct(1)\ option.inject\ tree.exhaust_sel\ well_valued0.simps(2))$

2.1 Correctness of the Slide Function

lemma $adjacent_atomics: adjacent\ (i, j)\ (atomics\ i\ j)$
unfolding $adjacent_def\ atomics_def$
by $(auto\ 0\ 1\ simp: last_map\ last_rev\ nth_tl\ map_butlast[symmetric]\ list_all2_conv_all_nth\ nth_Cons'\ rev_nth\ nth_append)$

lemma $valid_atomics: \llbracket t \in set\ (atomics\ i\ j); 0 < i; j \leq length\ as \rrbracket \Longrightarrow valid\ t$
unfolding $atomics_def$
by $(auto\ simp: valid_def)$

lemma $reusables_neq_Nil_if_well_shaped_and_overlapping:$
 $\llbracket well_shaped\ t; l\ t \leq fst\ w; r\ t \leq snd\ w; fst\ w \leq r\ t \rrbracket \Longrightarrow reusables\ t\ w \neq []$
by $(induction\ t\ w\ rule: reusables.induct)\ (simp\ add: reusables.simps\ Let_def)$

lemma $reusables_lchild_neq_Nil_under_some_conditions:$
 $\llbracket well_shaped\ t; l\ t \leq fst\ w; r\ t \leq snd\ w; fst\ w \neq l\ t; r\ t \geq fst\ w; l\ (rchild\ t) > fst\ w \rrbracket \Longrightarrow$
 $reusables\ (lchild\ t)\ w \neq []$
using $r_lchild_eq_l_rchild_if_well_shaped[of\ t]\ r_lchild_le_r[of\ t]$
by $(intro\ reusables_neq_Nil_if_well_shaped_and_overlapping)\ auto$

lemma $adjacent_reusables: \llbracket 0 < fst\ w; well_shaped\ t; l\ t \leq fst\ w; r\ t \leq snd\ w \rrbracket \Longrightarrow$
 $adjacent\ (fst\ w, r\ t)\ (reusables\ t\ w)$

```

proof (induction t w rule: reusables.induct)
  case (1 t w)
  show ?case
  proof (cases fst w > r t)
    case False
    with 1 show ?thesis
    proof (cases fst w = l t)
      case False
      then show ?thesis
    proof (cases fst w ≥ l (rchild t))
      case True
      with 1 ⟨fst w ≠ l t⟩ show ?thesis by (subst reusables.simps) auto
    next
    case False
    with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ obtain x xs where *: reusables (lchild t) w = x # xs
      by (cases reusables (lchild t) w) (auto simp: reusables_lchild_neq_Nil_under_some_conditions)
    with 1(2-6) ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ ⟨¬ l (rchild t) ≤ fst w⟩
    have adjacent (fst w, r (lchild t)) (x # xs)
      by (simp add: adjacent_Cons r_lchild_le_r_dual_order.trans)
    with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ * show ?thesis
      by (subst reusables.simps)
      (auto simp add: Let_def adjacent_Cons r_lchild_eq_l_rchild_if_well_shaped)
  qed
  qed (auto simp: reusables.simps intro!: adjacent_singleton)
  qed (auto simp: reusables.simps)
qed

```

lemma valid_rchild_if_well_valued0: $\llbracket \text{well_shaped } t; \text{well_valued0 } t \rrbracket \implies \text{valid } (\text{rchild } t)$
by (metis tree.exhaust_sel tree.sel(9) valid_def well_shaped_rchild well_valued0.simps(2))

lemma valid_reusables_under_some_conditions:
 $\llbracket 0 < \text{fst } w; \text{well_valued0 } t; \text{well_shaped } t; l t < \text{fst } w; r t \leq \text{snd } w \rrbracket \implies$
 $\forall t' \in \text{set } (\text{reusables } t w). \text{valid } t'$

```

proof (induction t w rule: reusables.induct)
  case (1 t w)
  show ?case
  proof (cases fst w > r t)
    case False
    with 1 show ?thesis
    proof (cases fst w = l t)
      next
      case False
      then show ?thesis
    proof (cases fst w ≥ l (rchild t))
      case True
      with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ have *: Ball (set (reusables (rchild t) w)) valid
        by (metis (no_types, lifting) ball_empty_dual_order.strict_trans2 leI le_neq_implies_less
list.set(1) r_rchild_eq_r_if_well_shaped reusables.simps set_ConsD valid_rchild_if_well_valued0 well_shaped_rchild
well_valued0_rchild_if_well_valued0)
      from 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ True have reusables t w = reusables (rchild t) w
        by (subst reusables.simps)
        simp
      with 1 * show ?thesis
        by simp
    next
    case False
    with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ have *: Ball (set (reusables (lchild t) w)) valid
      by (metis dual_order.strict_trans2 l_lchild_eq_l_if_well_shaped leI order_trans r_lchild_le_r

```

```

well_shaped_lchild well_valued0_lchild_if_well_valued0)
  with 1 have valid_rchild: valid (rchild t)
    by (simp add: valid_rchild_if_well_valued0)
  with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ False have reusables t w = rchild t # reusables (lchild t) w
    by (subst reusables.simps) presburger
  with 1 ⟨fst w ≠ l t⟩ ⟨¬ fst w > r t⟩ False * valid_rchild show ?thesis
    by (metis set_ConsD)
qed
qed (auto simp: reusables.simps)
qed (auto simp: reusables.simps)
qed

```

```

lemma valid_reusables:
  assumes 0 < fst w valid t l t ≤ fst w r t ≤ snd w
  shows ∀ t' ∈ set (reusables t w). valid t'
proof (cases l t < fst w)
  case True
  with assms show ?thesis
    using valid_def valid_reusables_under_some_conditions by blast
next
  case False
  with assms show ?thesis
    by (simp add: reusables.simps)
qed

```

```

lemma combine_valid_Nodes_aux:
  assumes prems: 0 < l a a ≠ Leaf z ≠ Leaf l z = Suc (r a) well_shaped a well_shaped z
    well_valued0 a val a = Some va well_valued0 z val z = Some vz
  shows va + vz = fold (+) (rev (map (!! as) [l a - Suc 0..<r z - Suc 0])) (as ! (r z - Suc 0))
proof -
  from prems have l a > 0 by simp
  moreover from prems have r a ≥ l a
    by (metis tree.collapse well_shaped.simps(2))
  moreover have r z > r a
    by (metis Suc_le_lessD prems(3) prems(4) prems(6) tree.collapse well_shaped.simps(2))
  ultimately have *: sum (l a) (r a) + sum (Suc (r a)) (r z) = sum (l a) (r z)
    by (frule add_sum)
  from prems have va = sum (l a) (r a)
    by (metis option.discI option.inject tree.collapse well_valued0.simps(2))
  moreover from prems have vz = sum (Suc (r a)) (r z)
    by (metis option.discI option.inject prems(2) prems(3) prems(8) prems(9) tree.collapse well_valued0.simps(2))
  moreover have fold (+) (rev (map (!! as) [l a - Suc 0..<r z - Suc 0])) (as ! (r z - Suc 0)) = sum
    (l a) (r z)
    by simp
  ultimately show ?thesis
    using * by (auto simp: add_sum)
qed

```

```

lemma discharge_is_Leaf[simp]: discharge a = Leaf ↔ a = Leaf
  by (cases a) auto

```

```

lemma well_shaped_discharge[simp]: well_shaped a ⇒ well_shaped (discharge a)
  by (cases a) auto

```

```

lemma well_valued0_discharge[simp]: well_valued0 a ⇒ well_valued0 (discharge a)
  by (cases a) auto

```

lemma *l_discharge[simp]*: $l (\text{discharge } a) = l a$
by (*cases a*) *auto*

lemma *r_discharge[simp]*: $r (\text{discharge } a) = r a$
by (*cases a*) *auto*

lemma *well_shaped_lr*: $\text{well_shaped } a \implies l a \leq r a$
by (*cases a*) *auto*

lemma *well_valued0_r*: $\text{well_valued0 } a \implies a \neq \text{Leaf} \implies r a \leq \text{length } a$
by (*cases a*) *auto*

lemma *valid_combine_if_valid*: $\llbracket 0 < l a; \text{valid } a; \text{valid } z; a \neq \text{Leaf}; z \neq \text{Leaf}; l z = \text{Suc } (r a) \rrbracket \implies$
 $\text{valid } (\text{combine } a z)$
by (*force simp add: valid_def combine_non_Leaves combine_valid_Nodes_aux*
dest: well_shaped_lr well_valued0_r)

lemma *combine_neq_Leaf_if_both_non_Leaf*: $\llbracket a \neq \text{Leaf}; z \neq \text{Leaf} \rrbracket \implies$
 $\text{combine } a z \neq \text{Leaf}$
by (*simp add: combine_non_Leaves*)

lemma *valid_fold_combine*: $\llbracket 0 < \text{fst } w; ts = h \# ts'; \forall t \in \text{set } ts. \text{valid } t; \text{adjacent } (\text{fst } w, l h - 1) ts';$
 $\text{valid } z; z \neq \text{Leaf}; l z = (\text{case } ts' \text{ of } [] \Rightarrow \text{fst } w \mid t_1 \# ts'' \Rightarrow \text{Suc } (r t_1)); r z = \text{snd } w \rrbracket \implies$
 $\text{valid } (\text{fold combine } ts' z) \wedge$
 $l (\text{fold combine } ts' z) = \text{fst } w \wedge r (\text{fold combine } ts' z) = \text{snd } w$

proof (*induction ts' arbitrary: z ts h*)

case *Nil*

then show *?case by simp*

next

case (*Cons a ts'*)

moreover from *Cons(3-4)* **have** *valid a by simp*

moreover from *Cons(5)* **have** *adjacent (fst w, l a - Suc 0) ts'*

unfolding *adjacent_Cons* **by** (*auto split: list.splits*)

moreover from *Cons(2-6,8)* **have** *valid (combine a z)*

unfolding *adjacent_Cons*

by (*intro valid_combine_if_valid*) (*auto split: list.splits*)

moreover from *Cons(5,7)* **have** *combine a z \neq Leaf*

by (*intro combine_neq_Leaf_if_both_non_Leaf*) (*simp_all add: adjacent_Cons*)

moreover from *Cons(5,7)* **have** $l (\text{combine } a z) = (\text{case } ts' \text{ of } [] \Rightarrow \text{fst } w \mid t_1 \# ts'' \Rightarrow \text{Suc } (r t_1))$

by (*auto simp add: adjacent_def combine_non_Leaves split: list.splits*)

moreover from *Cons(5,7,9)* **have** $r (\text{combine } a z) = \text{snd } w$

by (*subst r_combine_non_Leaves*) (*auto simp add: adjacent_def*)

ultimately show *?case*

by *simp*

qed

lemma *valid_fold_combine_Leaf*:

assumes $0 < \text{fst } w$ $ts = h \# ts' \forall t \in \text{set } ts. \text{valid } t$ *adjacent w ts*

shows $\text{valid } (\text{fold combine } ts \text{ Leaf}) \wedge$

$l (\text{fold combine } ts \text{ Leaf}) = \text{fst } w \wedge r (\text{fold combine } ts \text{ Leaf}) = \text{snd } w$

proof –

from *assms(2)* **have** $\text{fold combine } ts \text{ Leaf} = \text{fold combine } ts' h$

by *simp*

moreover have $\text{valid } (\text{fold combine } ts' h) \wedge$

$l (\text{fold combine } ts' h) = \text{fst } w \wedge r (\text{fold combine } ts' h) = \text{snd } w$

proof (*rule valid_fold_combine*)


```

from assms show  $0 < fst\ w\ ts = h \# ts' \forall t \in set\ ts.\ valid\ t\ valid\ h\ h \neq Leaf\ r\ h = snd\ w$ 
   $l\ h = (case\ ts'\ of\ [] \Rightarrow fst\ w \mid t_1 \# ts'' \Rightarrow Suc\ (r\ t_1))$ 
  by (simp_all add: adjacent_Cons list.case_eq_if)
from assms show adjacent (fst w, l h - 1) ts'
  by (metis One_nat_def adjacent_Cons_implies_adjacent prod.collapse)
qed
ultimately show ?thesis by simp
qed

lemma adjacent_atomics_nonempty_reusables:
  fixes x :: 'a tree and xs :: 'a tree list
  assumes a1:  $0 < fst\ w$ 
    and a2:  $l\ t \leq fst\ w$ 
    and a3:  $r\ t \leq snd\ w$ 
    and a4: valid\ t
    and a5: reusables\ t\ w = x \# xs
  shows adjacent (Suc (r x), snd w) (atomics (max (fst w) (Suc (r t))) (snd w))
proof -
  have f6:  $\forall p\ ts.\ adjacent\ p\ ts = ((Leaf::'a\ tree) \notin set\ ts \wedge list\_all2\ (\lambda t\ ta.\ l\ t = Suc\ (r\ ta))\ (butlast\ ts))$ 
    (tl ts)  $\wedge (ts = [] \vee l\ (last\ ts) = fst\ p \wedge r\ (hd\ ts) = snd\ p)$ 
  using adjacent_def by blast
  then have f7: Leaf  $\notin set\ (atomics\ (max\ (fst\ w)\ (Suc\ (r\ t)))\ (snd\ w)) \wedge list\_all2\ (\lambda t\ ta.\ l\ t = Suc\ (r\ ta))$ 
    (butlast (atomics (max (fst w) (Suc (r t))) (snd w))) (tl (atomics (max (fst w) (Suc (r t))) (snd w)))
     $\wedge (atomics\ (max\ (fst\ w)\ (Suc\ (r\ t)))\ (snd\ w) = [] \vee l\ (last\ (atomics\ (max\ (fst\ w)\ (Suc\ (r\ t)))\ (snd\ w)))$ 
     $= fst\ (max\ (fst\ w)\ (Suc\ (r\ t)),\ snd\ w) \wedge r\ (hd\ (atomics\ (max\ (fst\ w)\ (Suc\ (r\ t)))\ (snd\ w))) = snd\ (max\ (fst\ w)\ (Suc\ (r\ t)),\ snd\ w)$ 
  using adjacent_atomics by presburger
  have adjacent (fst w, r t) (reusables t w)
  using a4 a3 a2 a1 by (simp add: adjacent_reusables_valid_def)
  then have f8:  $r\ x = r\ t$ 
  using a5 by (simp add: adjacent_Cons)
  have  $max\ (fst\ w)\ (Suc\ (r\ t)) = Suc\ (r\ t)$ 
  using a5 by (metis (no_types) Suc_n_not_le_n list.simps(3) max.bounded_iff max_def raw not_le_imp_less reusables.simps)
  then show ?thesis
  using f8 f7 f6 by presburger
qed

lemma adjacent_Cons_r: adjacent (a, r t) (x  $\#$  xs)  $\implies adjacent\ (a,\ r\ x)\ (x \# xs)$ 
  by (simp add: adjacent_Cons)

lemma adjacent_Cons_r2:
  adjacent (fst w, r t) (x  $\#$  xs)  $\implies 0 < fst\ w \implies fst\ w \leq snd\ w \implies r\ t \leq snd\ w \implies$ 
  atomics (max (fst w) (Suc (r t))) (snd w) = []  $\implies adjacent\ w\ (x \# xs)$ 
  by (metis (no_types, lifting) atomics_def adjacent_def append_is_Nil_conv diff_Suc_Suc diff_zero le_Suc_eq list.simps(3) map_is_Nil_conv max_Suc_Suc max_def raw prod.sel(1) prod.sel(2) rev_is_Nil_conv upt.simps(2))

lemma adjacent_append_atomics_reusables:
   $[0 < fst\ w;\ fst\ w \leq snd\ w;\ valid\ t;\ l\ t \leq fst\ w;\ r\ t \leq snd\ w] \implies$ 
  adjacent w (atomics (max (fst w) (Suc (r t))) (snd w) @ reusables t w)
  using adjacent_atomics_nonempty_reusables[of w t] reusables_neq_Nil_if_well_shaped_and_overlapping[of t w]
  adjacent_atomics[of fst w snd w] adjacent_reusables[of w t]
  by (intro adjacent_append) (auto simp: valid_def max.absorb1 atomize_not elim: adjacent_Cons_r adjacent_Cons_r2 split: list.splits nat.splits)

```

lemma *valid_append_atomics_reusables*: $\llbracket 0 < \text{fst } w; \text{valid } t; l \ t \leq \text{fst } w; r \ t \leq \text{snd } w; \text{snd } w \leq \text{length } as \rrbracket \implies$
 $\forall t \in \text{set } (\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w). \ \text{valid } t$
by (*auto simp only: set_append_valid_reusables dest: valid_atomics split: if_splits*)

lemma *append_atomics_reusables_neq_Nil*: $\llbracket 0 < \text{fst } w; \text{fst } w \leq \text{snd } w; \text{valid } t; l \ t \leq \text{fst } w; r \ t \leq \text{snd } w \rrbracket \implies$
 $\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w \neq []$
by (*simp add: reusables_neq_Nil_if_well_shaped_and_overlapping_valid_def atomics_def*)

lemma *valid_slide*:

assumes $0 < \text{fst } w \ \text{fst } w \leq \text{snd } w \ \text{valid } t \ l \ t \leq \text{fst } w \ r \ t \leq \text{snd } w \ \text{snd } w \leq \text{length } w$

shows $\text{valid } (\text{slide } t \ w) \wedge l \ (\text{slide } t \ w) = \text{fst } w \wedge r \ (\text{slide } t \ w) = \text{snd } w$

proof –

from *assms* **have** *non_empty*: $\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w \neq []$

using *append_atomics_reusables_neq_Nil* **by** *blast*

from *assms* **have** *adjacent*: $\text{adjacent } w \ (\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w)$

using *adjacent_append_atomics_reusables* **by** *blast*

from *assms* **have** *valid*: $\forall t \in \text{set } (\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w). \ \text{valid } t$

using *valid_append_atomics_reusables* **by** *blast*

have $*$: $\text{slide } t \ w = \text{fold_combine } (\text{atomics } (\text{max } (\text{fst } w) (\text{Suc } (r \ t))) (\text{snd } w) \ @ \ \text{reusables } t \ w) \ \text{Leaf}$

by (*simp add: slide_def*)

from *assms*(1) *non_empty adjacent valid* **show** $\text{valid } (\text{slide } t \ w) \wedge l \ (\text{slide } t \ w) = \text{fst } w \wedge r \ (\text{slide } t \ w) = \text{snd } w$

$= \text{snd } w$

unfolding $*$ *neq_Nil_conv* **using** *valid_fold_combine_Leaf* **by** *blast*

qed

2.2 Correctness of the Sliding Window Algorithm

lemma *iterate_eq_map_sum*: $\llbracket \text{valid } t; \text{windows } as \ xs; (\text{case } xs \ \text{of } [] \Rightarrow \text{True} \mid x \ \# \ xs' \Rightarrow l \ t \leq \text{fst } x \wedge r \ t \leq \text{snd } x) \rrbracket \implies$

$\text{iterate } t \ xs = \text{map } (\lambda w. \ \text{sum } (\text{fst } w) (\text{snd } w)) \ xs$

by (*induction xs arbitrary: t*)

(*auto simp: valid_slide windows_def window_def val_eq_Some_sum_if_valid_neq_Leaf neq_Leaf_if_l_gt0 split: list.split*)

theorem *correctness*: $\text{windows } as \ ws \implies \text{sliding_window} = \text{map } (\lambda w. \ \text{sum } (\text{fst } w) (\text{snd } w)) \ ws$

by (*auto simp only: sliding_window_def intro!: iterate_eq_map_sum*)

(*auto simp: valid_def split: list.split*)

end

2.3 Summary of the Correctness Proof

We closely follow Basin et al.’s proof outline [1].

1. Lemma 1, the correctness result about the function *slide*, is formalized by *SWA.valid_slide*. It follows from the following auxiliary facts:
 - Fact (a) is formalized by *SWA.adjacent_reusables* and *SWA.valid_reusables*.
 - Fact (b) is formalized by *SWA.adjacent_atomics* and *SWA.valid_atomics*.
 - Fact (c) is formalized by *SWA.valid_fold_combine_Leaf*.
2. Theorem 2, the correctness result about the function *sliding_window*, is formalized by *SWA.correctness*.

3 Alternative Slide Interface and Additional Operations

3.1 Alternative Slide Interface

The slide operation above takes the *entire* input sequence as a parameter. This is often impractical. We provide an alternative interface to the slide operation that takes only the *new* elements as a parameter.

abbreviation *atomic'* **where**

atomic' as b idx \equiv *Node b b (Some (nth as idx)) Leaf Leaf*

abbreviation *atomics'* $:: 'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ tree list}$ **where**

atomics' as i j sidx \equiv *map* ($\lambda b. \text{atomic}' \text{ as } b (b - \text{sidx})$) (*rev* [*i* ..< *Suc j*])

definition *slide'* $:: 'a :: \text{semigroup_add list} \Rightarrow 'a \text{ tree} \Rightarrow \text{window} \Rightarrow 'a \text{ tree}$ **where**

slide' as t w =

(*let*
ts = *atomics'* *as* (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*) (*Suc* (*r t*));
ts' = *reusables* *t w*
in fold combine (*ts @ ts'*) *Leaf*)

lemma *slide_eq_slide'*:

assumes $0 < \text{fst } w \text{ fst } w \leq \text{snd } w \text{ valid as } t \text{ r } t = \text{length as } l \text{ t} \leq \text{fst } w \text{ r } t \leq \text{snd } w \text{ snd } w \leq \text{length (as @ as')}$

shows *slide* (*as @ as'*) *t w* = *slide'* *as'* *t w*

proof (*cases* *r t = snd w*)

case *True*

with *assms* **have** $*$: *atomics'* (*as @ as'*) (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*) *1* = []

by *simp*

from *True* *assms* **have** *atomics'* *as'* (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*) (*Suc* (*r t*)) = []

by *simp*

with $*$ **show** *?thesis*

unfolding *slide_def slide'_def atomics_def* **by** *simp*

next

case *False*

with *assms* **have** $r \text{ t} < \text{snd } w$

by *simp*

with *assms* **have** *atomic'* (*as @ as'*) (*snd w*) (*snd w - Suc 0*) = *atomic'* *as'* (*snd w*) (*snd w - Suc (length as)*)

by (*simp add: leD nth_append*)

with *assms* **have** $*$: $\forall i. i \in \text{set} ([\text{max } (\text{fst } w) (\text{Suc } (\text{length as})) .. < \text{snd } w]) \longrightarrow \text{atomic}' (\text{as @ as}') i (i - \text{Suc } 0) = \text{atomic}' \text{as}' i (i - \text{Suc } (\text{length as}))$

by (*auto simp: nth_append*)

then **have** *map* ($\lambda i. \text{atomic}' (\text{as @ as}') i (i - \text{Suc } 0)$) (*rev* [*max* (*fst w*) (*Suc* (*length as*)) ..< *snd w*]) = *map* ($\lambda b. \text{atomic}' \text{as}' b (b - \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0))))$) (*rev* [*max* (*fst w*) (*Suc* (*r t*)) ..< *snd w*])

by (*simp add: assms(4)*)

with *assms* **have** *atomics'* (*as @ as'*) (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*) *1* = *atomics'* *as'* (*max* (*fst w*) (*Suc* (*r t*))) (*snd w*) (*Suc* (*r t*))

by (*auto simp: nth_append*)

then **show** *?thesis*

unfolding *slide_def slide'_def atomics_def*

by *presburger*

qed

lemma *sum_eq_sum_append*: $[0 < i; i \leq j; j \leq \text{length as}] \Longrightarrow \text{sum as } i \text{ j} = \text{sum (as @ as')} i \text{ j}$

proof –

assume *assms*: $0 < i \leq j \leq \text{length as}$

then **have** $*$: *rev* (*map* (!) *as*) [*i - Suc 0* ..< *j - Suc 0*] = *rev* (*map* (!) (*as@as'*)) [*i - Suc 0* ..< *j -*

```

Suc 0])
  by (auto simp: nth_append)
from assms have as ! (j - Suc 0) = (as @ as') ! (j - Suc 0)
  by (auto simp: nth_append)
then show ?thesis
  by (simp add: *)
qed

```

```

lemma well_valued0_append:  $\llbracket \text{well\_shaped } t; \text{well\_valued0 } as \ t \rrbracket \implies \text{well\_valued0 } (as @ as') \ t$ 
proof (induction t)
  case (Node i j a t1 t2)
  then show ?case
    using sum_eq_sum_append
    by (auto 4 0)
qed simp

```

```

lemma valid_append:  $\text{valid } as \ t \implies \text{valid } (as @ as') \ t$ 
unfolding valid_def
by (auto intro: well_valued0_append)

```

```

lemma valid_slide_append:  $\llbracket 0 < \text{fst } w; \text{fst } w \leq \text{snd } w; \text{valid } as \ t; l \ t \leq \text{fst } w; r \ t \leq \text{snd } w; \text{snd } w \leq \text{length } as + \text{length } as' \rrbracket \implies$ 
 $\text{valid } (as @ as') \ (\text{slide } (as @ as') \ t \ w) \wedge l \ (\text{slide } (as @ as') \ t \ w) = \text{fst } w \wedge r \ (\text{slide } (as @ as') \ t \ w) = \text{snd } w$ 
by (auto simp: valid_append valid_slide)

```

```

theorem valid_slide':
  assumes  $0 < \text{fst } w \ \text{fst } w \leq \text{snd } w \ \text{valid } as \ t \ \text{length } as = r \ t \ \text{length } as' \geq \text{snd } w - r \ t \ l \ t \leq \text{fst } w \ r \ t \leq \text{snd } w$ 
  shows  $\text{valid } (as @ as') \ (\text{slide}' \ as' \ t \ w) \wedge l \ (\text{slide}' \ as' \ t \ w) = \text{fst } w \wedge r \ (\text{slide}' \ as' \ t \ w) = \text{snd } w$ 
proof -
  from assms have  $\text{valid } (as @ as') \ (\text{slide } (as @ as') \ t \ w) \wedge l \ (\text{slide } (as @ as') \ t \ w) = \text{fst } w \wedge r \ (\text{slide } (as @ as') \ t \ w) = \text{snd } w$ 
  using valid_slide_append by (metis add_le_cancel_left le_add_diff_inverse)
  with assms show ?thesis
  using slide_eq_slide' by (metis add_le_cancel_left le_add_diff_inverse length_append)
qed

```

3.2 Updating all Values in the Tree

So far, we have assumed that the sequence is fixed. However, under certain conditions, SWA can be applied even if the sequence changes. In particular, if a function that distributes over the associative operation is mapped onto the sequence, validity of the tree can be preserved by mapping the same function onto the tree using `map_tree`.

```

lemma map_tree_eq_Leaf_iff:  $\text{map\_tree } f \ t = \text{Leaf} \longleftrightarrow t = \text{Leaf}$ 
by simp

```

```

lemma l_map_tree_eq_l[simp]:  $l \ (\text{map\_tree } f \ t) = l \ t$ 
by (cases t)
(auto split: option.splits)

```

```

lemma r_map_tree_eq_r[simp]:  $r \ (\text{map\_tree } f \ t) = r \ t$ 
by (cases t)
(auto split: option.splits)

```

```

lemma val_map_tree_neq_None:  $\text{val } t \neq \text{None} \implies \text{val } (\text{map\_tree } f \ t) \neq \text{None}$ 
by (cases t) auto

```

lemma *well_shaped_map_tree*: $well_shaped\ t \implies well_shaped\ (map_tree\ f\ t)$
by (*induction t*)
(auto split: option.split)

lemma *fold_distr*: $(\forall x\ y.\ f\ (x + y) = f\ x + f\ y) \implies f\ (fold\ (+)\ list\ e) = fold\ (+)\ (map\ f\ list)\ (f\ e)$
by (*induction list arbitrary: e*) *auto*

lemma *map_rev_map_nth_eq*: $\forall x \in set\ xs.\ x < length\ as \implies map\ f\ (rev\ (map\ (!)\ as)\ xs) = rev\ (map\ (!)\ (map\ f\ as))\ xs)$
by (*simp add: rev_map*)

lemma *f_nth_eq_map_f_nth*: $\llbracket as \neq []; length\ as \geq n \rrbracket \implies f\ (as\ !\ (n - Suc\ 0)) = map\ f\ as\ !\ (n - Suc\ 0)$
by (*cases n = length as*) *auto*

lemma *well_valued0_map_map_tree*:
 $\llbracket \forall x\ y.\ f\ (x + y) = f\ x + f\ y; well_shaped\ t; well_valued0\ as\ t; r\ t \leq length\ as; as \neq [] \rrbracket \implies well_shaped\ (map_tree\ f\ t) \wedge well_valued0\ (map\ f\ as)\ (map_tree\ f\ t)$

proof (*rule conjI[OF well_shaped_map_tree], assumption, induction t*)

case (*Node i j a t1 t2*)

then have $map\ f\ (rev\ (map\ (!)\ as)\ [l\ t1 - Suc\ 0..<r\ t2 - Suc\ 0]) = rev\ (map\ (!)\ (map\ f\ as))\ [l\ t1 - Suc\ 0..<r\ t2 - Suc\ 0])$

by (*subst map_rev_map_nth_eq*) *auto*

moreover from Node have $r\ t1 \leq length\ as$

by (*cases t1*) *auto*

ultimately show *?case using Node(3-7)*

by (*auto simp: fold_distr[of f] val_map_tree_neq_None well_shaped_map_tree intro!: Node(1,2)*)

qed *simp*

lemma *valid_map_map_tree*:

assumes $\forall x\ y.\ f\ (x + y) = f\ x + f\ y$ *valid as t r t ≤ length as*

shows *valid (map f as) (map_tree f t)*

proof (*cases as ≠ []*)

case *True*

with *assms show ?thesis*

by (*metis map_tree_eq_Leaf_iff val_map_tree_neq_None valid_def well_valued0_map_map_tree*)

next

case *False*

with *assms show ?thesis*

by (*metis le_zero_eq list.size(3) tree.exhaust_sel map_tree_eq_Leaf_iff valid_Leaf valid_def well_shaped.simps(2) well_valued0.simps(2)*)

qed

lemma *valid_Nil_iff*: $valid\ []\ t \longleftrightarrow t = Leaf$

unfolding *valid_def*

proof

assume $well_shaped\ t \wedge well_valued\ []\ t$

then show $t = Leaf$

by (*metis le_neq_implies_less list.size(3) not_less_zero tree.collapse well_shaped.simps(2) well_valued0.simps(2)*)

qed *simp*

3.3 Updating the Rightmost Leaf of the Tree

We provide a function to update the rightmost leaf of the tree. This may be used in an online setting where the input sequence is not known in advance to update the latest observed element using the same associative operation used in SWA. We show that validity of the tree is preserved

in this case.

```
fun update_rightmost :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree where
  update_rightmost _ Leaf = Leaf
| update_rightmost f (Node i j a t u) = Node i j (map_option f a) t (update_rightmost f u)
```

```
lemma update_rightmost_eq_Leaf_iff: update_rightmost f t = Leaf ⟷ t = Leaf
by (cases t)
  (auto split: option.splits)
```

```
lemma l_update_rightmost_eq_l[simp]: l (update_rightmost f t) = l t
by (cases t)
  (auto split: option.splits)
```

```
lemma r_update_rightmost_eq_r[simp]: r (update_rightmost f t) = r t
by (cases t)
  (auto split: option.splits)
```

```
lemma val_update_rightmost_neq_None: val t ≠ None ⟹ val (update_rightmost f t) ≠ None
by (cases t) auto
```

```
lemma well_shaped_update_rightmost: well_shaped t ⟹ well_shaped (update_rightmost f t)
by (induction t)
  (auto simp: update_rightmost_eq_Leaf_iff split: option.split)
```

```
lemma sum_eq_sum_prepend: [0 < i; i ≤ j; length xs < i; length ys = length xs] ⟹ sum (xs @ as) i
j = sum (ys @ as) i j
```

proof –

```
  assume assms: 0 < i ≤ j length xs < i length ys = length xs
  then have *: rev (map (!) (xs @ as)) [i - Suc 0..<j - Suc 0] = rev (map (!) (ys @ as)) [i - Suc
0..<j - Suc 0])
  by (auto simp: nth_append)
  from assms have (xs @ as) ! (j - Suc 0) = (ys @ as) ! (j - Suc 0)
  by (auto simp: nth_append)
  then show ?thesis
  by (simp add: *)
```

qed

```
lemma well_valued0_prepend: [length xs ≤ l t - 1; length ys = length xs; well_shaped t; well_valued0
(xs @ as) t] ⟹ well_valued0 (ys @ as) t
```

proof (induction t)

```
  case (Node i j a t1 t2)
  then have well_valued0_t1: well_valued0 (ys @ as) t1
  by auto
  from Node have well_valued0 (ys @ as) t2
  proof (cases a)
    case None
    with Node show ?thesis
    by auto
    (metis One_nat_def Suc_pred diff_Suc_1 le_Suc_eq le_Suc_ex trans_le_add1 tree.exhaust_sel
well_shaped.simps(2))
  next
    case (Some a')
    with Node show ?thesis
    by auto
    (metis One_nat_def diff_Suc_1 diff_le_self le_trans tree.exhaust_sel well_shaped.simps(2))
```

qed

```
with Node well_valued0_t1 show ?case
proof –
```

```

have f1: 0 < i ∧ j ≤ length (xs @ as) ∧ (a = None ∨ a = Some (SWA.sum (xs @ as) i j)) ∧
well_valued0 (xs @ as) t1 ∧ well_valued0 (xs @ as) t2 ∧ (t2 = Leaf ∨ val t2 ≠ None)
  by (meson ⟨well_valued0 (xs @ as) (Node i j a t1 t2)⟩ well_valued0.simps(2))
then have f2: j ≤ length (ys @ as)
  by (simp add: ⟨length ys = length xs⟩)
have a = None ∨ a = Some (SWA.sum (ys @ as) i j)
  using f1 by (metis Node.prem1 Node.prem2 Node.prem3 One_nat_def Suc_pred le_imp_less_Suc
sum_eq_sum_prepend tree.sel(2) well_shaped.simps(2))
then show ?thesis
  using f2 f1 ⟨well_valued0 (ys @ as) t2⟩ well_valued0.simps(2) well_valued0_t1 by blast
qed
qed simp

```

```

lemma valid_prepend: [length xs ≤ l t - 1; length ys = length xs; valid (xs @ as) t] ⇒ valid (ys @ as)
t
  unfolding valid_def
  by (auto intro: well_valued0_prepend)

```

```

lemma take_eq_append_take_take_drop: m ≤ n ⇒ take n xs = take m xs @ take (n-m) (drop m xs)
proof (induction xs)
case (Cons a xs)
then show ?case
  by (metis le_add_diff_inverse take_add)
qed simp

```

```

lemma well_valued0_take_r: [well_shaped t; well_valued0 as t] ⇒ well_valued0 (take (r t) as) t
proof (induction t)
case (Node i j a t1 t2)
from Node have well_valued0_t1: well_valued0 (take j as) t1
proof -
  from Node have r t1 ≤ j
    using r_lchild_le_r by (metis tree.sel(4) tree.sel(8))
  with Node have take_j_as = take (r t1) as @ take (j - r t1) (drop (r t1) as)
    using take_eq_append_take_take_drop[of r t1 j as] by simp
  with Node show ?thesis
    by (auto intro!: well_valued0_append)
qed
from Node have well_valued0_t2: well_valued0 (take j as) t2
  by auto
from Node have sum_eq: fold (+) (rev (map (!! as) [l t1 - Suc 0..<r t2 - Suc 0])) (as ! (r t2 -
Suc 0)) =
  fold (+) (rev (map (!! (take (r t2) as)) [l t1 - Suc 0..<r t2 - Suc 0])) (as ! (r t2 - Suc 0))
  by (intro arg_cong[where f=λxs. fold _ xs _]) auto
from Node well_valued0_t1 well_valued0_t2 sum_eq show ?case
  by auto
qed simp

```

```

lemma valid_take_r: valid as t ⇒ valid (take (r t) as) t
  unfolding valid_def
  by (auto intro: well_valued0_take_r)

```

```

lemma well_valued0_butlast: [well_shaped t; well_valued0 as t; r t < length as] ⇒ well_valued0
(butlast as) t
proof (induction t)
case (Node i j a t1 t2)
then have r_le_length: j ≤ length (butlast as)
  by simp
from Node have i > 0

```

```

    by simp
  with r_le_length Node have sum_eq: sum as i j = sum (butlast as) i j
    by (metis append_butlast_last_id le_zero_eq less_imp_le_nat list.size(3) neq_iff
        sum_eq_sum_append well_shaped.simps(2))
  from Node have r t1 < length as
    by (metis dual_order.strict_trans2 r_lchild_le_r tree.sel(8))
  with Node r_le_length show ?case
    by (metis (no_types, lifting) dual_order.strict_iff_order sum_eq tree.sel(10) tree.sel(4)
        well_shaped.simps(2) well_shaped_rchild well_valued0.simps(2))
qed simp

lemma well_valued0_append_butlast_lchild:  $\llbracket \text{well\_shaped } t; \text{well\_valued0 as } t \rrbracket \implies$ 
  well_valued0 (butlast as @ [last as + x]) (lchild t)
proof (cases t)
  case (Node i j a t1 t2)
  assume assms: well_shaped t well_valued0 as t
  from Node assms have r t1 < length as
    by (fastforce dest: well_shaped_lr)
  with Node assms show ?thesis
    by (auto simp: well_valued0_butlast well_valued0_append)
qed simp

lemma sum_update_rightmost:  $\llbracket 0 < i; i \leq j; \text{length as} = j \rrbracket \implies$ 
  sum as i j + x = sum (butlast as @ [last as + x]) i j
  by (cases as)
    (auto simp: nth_append[abs_def] fold_add_add last_conv_nth nth_Cons' nth_butlast
        intro!: arg_cong2[where f=(+)] arg_cong[where f= $\lambda xs. \text{fold } \_ \text{ xs } \_$ ])

lemma well_valued0_update_rightmost:  $\llbracket \text{well\_shaped } t; \text{well\_valued0 as } t; \text{length as} = r \text{ } t \rrbracket \implies$ 
  well_valued0 (butlast as @ [last as + x]) (update_rightmost ( $\lambda a. a + x$ ) t)
proof (induction t)
  case Leaf
  then show ?case
    by (auto simp add: valid_Leaf)
next
  case (Node i j a t1 t2)
  moreover
  from Node have well_valued0_t1: well_valued0 (butlast as @ [last as + x]) t1
    using well_valued0_append_butlast_lchild by (metis tree.sel(8))
  moreover
  from Node have well_valued0_t2:
    well_valued0 (butlast as @ [last as + x]) (update_rightmost (( $\lambda a. a + x$ )) t2)
    by (metis <well_valued0 (butlast as @ [last as + x]) t1> dual_order.strict_iff_order
        tree.sel(4) update_rightmost.simps(1) well_shaped.simps(2) well_valued0.simps(2))
  ultimately show ?case
    using sum_update_rightmost
    by (cases a) (auto simp: val_update_rightmost_neq_None)
qed

lemma valid_update_rightmost:  $\llbracket \text{valid as } t; \text{length as} = r \text{ } t \rrbracket \implies$ 
  valid (butlast as @ [last as + x]) (update_rightmost ( $\lambda a. a + x$ ) t)
  unfolding valid_def
  using well_valued0_update_rightmost
  by (metis update_rightmost.simps(1) val_update_rightmost_neq_None well_shaped_update_rightmost)

```


References

- [1] D. Basin, F. Klaedtke, and E. Zălinescu. Greedily computing associative aggregations on sliding windows. *Information Processing Letters*, 115(2):186 – 192, 2015.