

Shallow Expressions

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Abstract

Most verification techniques use expressions, for example when assigning to variables or forming assertions over the state. Deep embeddings provide such expressions using a datatype, which allows queries over the syntax, such as calculating the free variables, and performing substitutions. Shallow embeddings, in contrast, model expressions as query functions over the state type, and are more amenable to automating verification tasks. The goal of this library is provide an intuitive implementation of shallow expressions, which nevertheless provides many of the benefits of a deep embedding. We harness the Optics library to provide an algebraic semantics for state variables, and use syntax translations to provide an intuitive lifted expression syntax. Furthermore, we provide a variety of meta-logic-style queries on expressions, such as dependencies on a state variable, and substitution of a variable for an expression. We also provide proof methods, based on the simplifier, to automate the associated proof tasks.

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1 Introduction

This session provides a library for expressions in shallow embeddings, based on the Optics package [5]. It provides the following key features:

1. Parse and print translations for converting between intuitive expression syntax, and state functions using lenses to model variables. The translation uses the type system to determine whether each free variable in an expression is (1) a lens (i.e. a state variable); (2) another expression; (3) a literal, and gives the correct interpretation for each. The lifting mechanism is manifested through the bracket notation, $(_)_e$, but can usually be hidden behind syntax.
2. Syntax for complex state variable constructions, using the lens operators [5], such as simultaneous assignment, hierarchical state, and initial/final state variables.
3. The “unrestriction” predicate [7, 3], $x \# e$, which characterises whether an expression e depends on a particular variable x or not, based on the lens laws. It can often be used as a replacement for syntactically checking for free variables in a deep embedding, as needed in several verification techniques.
4. Semantic substitution of variables for expressions, $e[v/x]$, with support for evaluation from the simplifier. A notation is provided for expressing substitution objects as a sequence of simultaneous variable assignments, $[x_1 \rightsquigarrow e_1, x_2 \rightsquigarrow e_2, \dots]$.
5. Collection lenses, $x[i]$, which can be used to model updating a component of a larger structure, for example mutating an element of an array by its index.
6. Supporting transformations and constructors for expressions, such as state extension, state restriction, and quantifiers.

The majority of these concepts have been adapted from Isabelle/UTP [3], but have been generalised for use in other Isabelle-based verification tools. Several proof methods are provided, such as for discharging unrestriction conditions (`unrest`) and evaluating substitutions (`subst_eval`).

The Shallow Expressions library has been applied in the IsaVODEs tool [6], for verifying hybrid systems, and Isabelle/ITrees [4], for verification of process-algebraic languages.

2 Variables as Lenses

`theory` *Variables*

```

imports Optics.Optics
begin

```

Here, we implement foundational constructors and syntax translations for state variables, using lens manipulations, for use in shallow expressions.

2.1 Constructors

The following bundle allows us to override the colon operator, which we use for variable namespaces.

```

bundle Expression-Syntax
begin

```

```
no-notation
```

```

  Set.member (⟨'(:')⟩) and
  Set.member (⟨⟨notation=⟨infix ::⟩-/-⟩ : -⟩ [51, 51] 50)

```

```
end
```

```
unbundle Expression-Syntax
```

```
declare fst-vwb-lens [simp] and snd-vwb-lens [simp]
```

Lenses [3] can also be used to effectively define sets of variables. Here we define the the universal alphabet (Σ) to be the bijective lens 1_L . This characterises the whole of the source type, and thus is effectively the set of all alphabet variables.

```

definition univ-var :: (' $\alpha$   $\Rightarrow$  ' $\alpha$ ) (v) where
  [lens-defs]: univ-var =  $1_L$ 

```

```

lemma univ-var-vwb [simp]: vwb-lens univ-var
  ⟨proof⟩

```

```

definition univ-alpha :: ' $s$  scene where
  [lens-defs]: univ-alpha =  $\top_S$ 

```

```

definition emp-alpha :: ' $s$  scene where
  [lens-defs]: emp-alpha =  $\perp_S$ 

```

```

definition var-alpha :: (' $a$   $\Rightarrow$  ' $s$ )  $\Rightarrow$  ' $s$  scene where
  [lens-defs]: var-alpha  $x$  = lens-scene  $x$ 

```

```

definition ns-alpha :: (' $b$   $\Rightarrow$  ' $c$ )  $\Rightarrow$  (' $a$   $\Rightarrow$  ' $b$ )  $\Rightarrow$  ' $a$   $\Rightarrow$  ' $c$  where
  [lens-defs]: ns-alpha  $a$   $x$  =  $x ;_L a$ 

```

```

definition var-fst :: (' $a \times b$   $\Rightarrow$  ' $s$ )  $\Rightarrow$  (' $a$   $\Rightarrow$  ' $s$ ) where
  [lens-defs]: var-fst  $x$  = fst $_L ;_L x$ 

```

definition *var-snd* :: $('a \times 'b \Rightarrow 's) \Rightarrow ('b \Rightarrow 's)$ **where**
 $[lens-defs]: var\text{-}snd x = snd_L ;_L x$

definition *var-pair* :: $('a \Rightarrow 's) \Rightarrow ('b \Rightarrow 's) \Rightarrow ('a \times 'b \Rightarrow 's)$ **where**
 $[lens-defs]: var\text{-}pair x y = x +_L y$

abbreviation *var-member* :: $('a \Rightarrow 's) \Rightarrow 's scene \Rightarrow bool$ (**infix** $\in_v 50$) **where**
 $x \in_v A \equiv var\text{-}alpha x \leq A$

lemma *ns-alpha-weak* [*simp*]: $\llbracket weak\text{-}lens a; weak\text{-}lens x \rrbracket \implies weak\text{-}lens (ns\text{-}alpha a x)$
 $\langle proof \rangle$

lemma *ns-alpha-mwb* [*simp*]: $\llbracket mwb\text{-}lens a; mwb\text{-}lens x \rrbracket \implies mwb\text{-}lens (ns\text{-}alpha a x)$
 $\langle proof \rangle$

lemma *ns-alpha-vwb* [*simp*]: $\llbracket vwb\text{-}lens a; vwb\text{-}lens x \rrbracket \implies vwb\text{-}lens (ns\text{-}alpha a x)$
 $\langle proof \rangle$

lemma *ns-alpha-indep-1* [*simp*]: $a \bowtie b \implies ns\text{-}alpha a x \bowtie ns\text{-}alpha b y$
 $\langle proof \rangle$

lemma *ns-alpha-indep-2* [*simp*]: $a \bowtie y \implies ns\text{-}alpha a x \bowtie y$
 $\langle proof \rangle$

lemma *ns-alpha-indep-3* [*simp*]: $x \bowtie b \implies x \bowtie ns\text{-}alpha b y$
 $\langle proof \rangle$

lemma *ns-alpha-indep-4* [*simp*]: $\llbracket mwb\text{-}lens a; x \bowtie y \rrbracket \implies ns\text{-}alpha a x \bowtie ns\text{-}alpha a y$
 $\langle proof \rangle$

lemma *var-fst-mwb* [*simp*]: $mwb\text{-}lens x \implies mwb\text{-}lens (var\text{-}fst x)$
 $\langle proof \rangle$

lemma *var-snd-mwb* [*simp*]: $mwb\text{-}lens x \implies mwb\text{-}lens (var\text{-}snd x)$
 $\langle proof \rangle$

lemma *var-fst-vwb* [*simp*]: $vwb\text{-}lens x \implies vwb\text{-}lens (var\text{-}fst x)$
 $\langle proof \rangle$

lemma *var-snd-vwb* [*simp*]: $vwb\text{-}lens x \implies vwb\text{-}lens (var\text{-}snd x)$
 $\langle proof \rangle$

lemma *var-fst-indep-1* [*simp*]: $x \bowtie y \implies var\text{-}fst x \bowtie y$
 $\langle proof \rangle$

```

lemma var-fst-indep-2 [simp]:  $x \bowtie y \implies x \bowtie \text{var-fst } y$ 
  ⟨proof⟩

lemma var-snd-indep-1 [simp]:  $x \bowtie y \implies \text{var-snd } x \bowtie y$ 
  ⟨proof⟩

lemma var-snd-indep-2 [simp]:  $x \bowtie y \implies x \bowtie \text{var-snd } y$ 
  ⟨proof⟩

lemma mwb-var-pair [simp]:  $\llbracket \text{mwb-lens } x; \text{mwb-lens } y; x \bowtie y \rrbracket \implies \text{mwb-lens}(\text{var-pair } x \ y)$ 
  ⟨proof⟩

lemma vwb-var-pair [simp]:  $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \bowtie y \rrbracket \implies \text{vwb-lens}(\text{var-pair } x \ y)$ 
  ⟨proof⟩

lemma var-pair-pres-indep [simp]:
   $\llbracket x \bowtie y; x \bowtie z \rrbracket \implies x \bowtie \text{var-pair } y \ z$ 
   $\llbracket x \bowtie y; x \bowtie z \rrbracket \implies \text{var-pair } y \ z \bowtie x$ 
  ⟨proof⟩

definition res-alpha ::  $('a \implies 'b) \Rightarrow ('c \implies 'b) \Rightarrow 'a \implies 'c$  where
  [lens-defs]:  $\text{res-alpha } x \ a = x \ /_L \ a$ 

lemma idem-scene-var [simp]:
   $\text{vwb-lens } x \implies \text{idem-scene}(\text{var-alpha } x)$ 
  ⟨proof⟩

lemma var-alpha-combine:  $\llbracket \text{vwb-lens } x; \text{vwb-lens } y; x \bowtie y \rrbracket \implies \text{var-alpha } x \sqcup_S \text{var-alpha } y = \text{var-alpha } (x +_L y)$ 
  ⟨proof⟩

lemma var-alpha-indep [simp]:
  assumes  $\text{vwb-lens } x \text{ vwb-lens } y$ 
  shows  $\text{var-alpha } x \bowtie_S \text{var-alpha } y \longleftrightarrow x \bowtie y$ 
  ⟨proof⟩

lemma pre-var-indep-prod [simp]:  $x \bowtie a \implies \text{ns-alpha } \text{fst}_L \ x \bowtie a \times_L b$ 
  ⟨proof⟩

lemma post-var-indep-prod [simp]:  $x \bowtie b \implies \text{ns-alpha } \text{snd}_L \ x \bowtie a \times_L b$ 
  ⟨proof⟩

lemma lens-indep-impl-scene-indep-var [simp]:
   $(X \bowtie Y) \implies \text{var-alpha } X \bowtie_S \text{var-alpha } Y$ 
  ⟨proof⟩

declare lens-scene-override [simp]

```

```

declare uminus-scene-twice [simp]

lemma var-alpha-override [simp]:
  mwb-lens X  $\implies$   $s_1 \oplus_S s_2$  on var-alpha X =  $s_1 \oplus_L s_2$  on X
   $\langle proof \rangle$ 

```

```

lemma var-alpha-indep-compl [simp]:
  assumes vwb-lens x vwb-lens y
  shows var-alpha x  $\bowtie_S$  var-alpha y  $\longleftrightarrow$   $x \subseteq_L y$ 
   $\langle proof \rangle$ 

```

```

lemma var-alpha-subset [simp]:
  assumes vwb-lens x vwb-lens y
  shows var-alpha x  $\leq$  var-alpha y  $\longleftrightarrow$   $x \subseteq_L y$ 
   $\langle proof \rangle$ 

```

2.2 Syntax Translations

In order to support nice syntax for variables, we here set up some translations. The first step is to introduce a collection of non-terminals.

nonterminal *svid* **and** *svids* **and** *salpha* **and** *sframe-enum* **and** *sframe*

These non-terminals correspond to the following syntactic entities. Non-terminal *svid* is an atomic variable identifier, and *svids* is a list of identifier. *salpha* is an alphabet or set of variables. *sframe* is a frame. Such sets can be constructed only through lens composition due to typing restrictions. Next we introduce some syntax constructors.

syntax — Identifiers

```

-svid      :: id-position  $\Rightarrow$  svid (- [999] 999)
-svlongid  :: longid-position  $\Rightarrow$  svid (- [999] 999)
              :: svid  $\Rightarrow$  svids (-)
-svid-list  :: svid  $\Rightarrow$  svids  $\Rightarrow$  svids (-,/ -)
-svid-alpha :: svid (v)
-svid-index :: id-position  $\Rightarrow$  logic  $\Rightarrow$  svid (-'(-) [999] 999)
-svid-tuple :: svids  $\Rightarrow$  svid ('(-))
-svid-dot   :: svid  $\Rightarrow$  svid  $\Rightarrow$  svid (-:- [999,998] 998)
-svid-res   :: svid  $\Rightarrow$  svid  $\Rightarrow$  svid (-|- [999,998] 998)
-svid-pre   :: svid  $\Rightarrow$  svid (-< [997] 997)
-svid-post  :: svid  $\Rightarrow$  svid (-> [997] 997)
-svid-fst   :: svid  $\Rightarrow$  svid (-.1 [997] 997)
-svid-snd   :: svid  $\Rightarrow$  svid (-.2 [997] 997)
-mk-svid-list :: svids  $\Rightarrow$  logic — Helper function for summing a list of identifiers
-of-svid-list :: logic  $\Rightarrow$  svids — Reverse of the above
-svid-view   :: logic  $\Rightarrow$  svid ( $\mathcal{V}[-]$ ) — View of a symmetric lens
-svid-coview :: logic  $\Rightarrow$  svid ( $\mathcal{C}[-]$ ) — Coview of a symmetric lens
-svid-prod   :: svid  $\Rightarrow$  svid  $\Rightarrow$  svid (infixr  $\times$  85)

```

```
-svid-pow2 :: svid ⇒ svid (-2 [999] 999)
```

A variable can be decorated with an ampersand, to indicate it is a predicate variable, with a dollar to indicate its an unprimed relational variable, or a dollar and “acute” symbol to indicate its a primed relational variable. Isabelle’s parser is extensible so additional decorations can be and are added later.

syntax — Variable sets

```
-salphaid   :: id-position ⇒ salpha (- [990] 990)
-salphavar  :: svid ⇒ salpha ($- [990] 990)
-salphaparen :: salpha ⇒ salpha ('(-'))
-salphaunion :: salpha ⇒ salpha ⇒ salpha (infixr ∪ 75)
-salphainter :: salpha ⇒ salpha ⇒ salpha (infixr ∩ 75)
-salphanminus :: salpha ⇒ salpha ⇒ salpha (infixl − 65)
-salphacompl :: salpha ⇒ salpha (- - [81] 80)
-salpha-all  :: salpha (Σ)
-salpha-none :: salpha (Ø)

-salphaset   :: svids ⇒ salpha ({-})
-sframeid    :: id ⇒ sframe (-)
-sframeset   :: svids ⇒ sframe-enum ({-})
-sframeunion :: sframe ⇒ sframe ⇒ sframe (infixr ∪ 75)
-sframeinter :: sframe ⇒ sframe ⇒ sframe (infixr ∩ 75)
-sframeminus :: sframe ⇒ sframe ⇒ sframe (infixl − 65)
-sframecompl :: sframe ⇒ sframe (- - [81] 80)
-sframe-all  :: sframe (Σ)
-sframe-none :: sframe (Ø)
-sframe-pre   :: sframe ⇒ sframe (-< [989] 989)
-sframe-post  :: sframe ⇒ sframe (-> [989] 989)
-sframe-enum  :: sframe-enum ⇒ sframe (-)
-sframe-alpha :: sframe-enum ⇒ salpha (-)
-salphamk    :: logic ⇒ salpha
-mk-alpha-list :: svids ⇒ logic
-mk-frame-list :: svids ⇒ logic
```

The terminals of an alphabet are either HOL identifiers or UTP variable identifiers. We support two ways of constructing alphabets; by composition of smaller alphabets using a semi-colon or by a set-style construction $\{a, b, c\}$ with a list of UTP variables.

syntax — Quotations

```
-svid-set   :: svids ⇒ logic ({ }v)
-svid-empty :: logic ({ }v)
-svar       :: svid ⇒ logic ('(-')v)
```

For various reasons, the syntax constructors above all yield specific grammar categories and will not parse at the HOL top level (basically this is to do with us wanting to reuse the syntax for expressions). As a result we provide some quotation constructors above.

Next we need to construct the syntax translations rules. Finally, we set up the translations rules.

translations

— Identifiers

- svid $x \rightarrow x$
- svid-longid $x \rightarrow x$
- svid-alpha $\Rightarrow \text{CONST univ-var}$
- svid-tuple $xs \rightarrow \text{-mk-svid-list } xs$
- svid-dot $x y \Rightarrow \text{CONST ns-alpha } x y$
- svid-index $x i \rightarrow x i$
- svid-res $x y \Rightarrow x /_L y$
- svid-pre $x \Rightarrow \text{-svid-dot } \text{fst}_L x$
- svid-post $x \Rightarrow \text{-svid-dot } \text{snd}_L x$
- svid-fst $x \Rightarrow \text{CONST var-fst } x$
- svid-snd $x \Rightarrow \text{CONST var-snd } x$
- svid-prod $x y \Rightarrow x \times_L y$
- svid-pow2 $x \rightarrow x \times_L x$
- mk-svid-list $(\text{-svid-list } xs) \rightarrow \text{CONST var-pair } x (\text{-mk-svid-list } xs)$
- mk-svid-list $x \rightarrow x$
- mk-alpha-list $(\text{-svid-list } xs) \rightarrow \text{CONST var-alpha } x \sqcup_S \text{-mk-alpha-list } xs$
- mk-alpha-list $x \rightarrow \text{CONST var-alpha } x$

-svid-view $a \Rightarrow \mathcal{V}_a$

-svid-coview $a \Rightarrow \mathcal{C}_a$

- svid-list $(\text{-svid-tuple} (\text{-of-svid-list} (\text{CONST var-pair } x y))) (\text{-of-svid-list } z) \leftarrow$
- of-svid-list $(\text{CONST var-pair} (\text{CONST var-pair } x y) z)$
- svid-list $x (\text{-of-svid-list } y) \leftarrow \text{-of-svid-list} (\text{CONST var-pair } x y)$
- $x \leftarrow \text{-of-svid-list } x$

-svid-tuple $(\text{-svid-list } x y) \leftarrow \text{CONST var-pair } x y$

-svid-list $x ys \leftarrow \text{-svid-list } x (\text{-svid-tuple } ys)$

— Alphabets

- salphaparen $a \rightarrow a$
- salphaid $x \rightarrow x$
- salphaunion $x y \rightarrow x \sqcup_S y$
- salphainter $x y \rightarrow x \sqcap_S y$
- salphaminus $x y \rightarrow x - y$
- salphacompl $x \rightarrow -x$

-salphavar $x \Rightarrow \text{CONST var-alpha } x$

-salphaset $A \rightarrow \text{-mk-alpha-list } A$

-sframeid $A \rightarrow A$

$(\text{-svid-list } x (\text{-salphamk } y)) \leftarrow \text{-salphamk} (x +_L y)$

$x \leftarrow \text{-salphamk } x$

-salpha-all $\Rightarrow \text{CONST univ-alpha}$

-salpha-none $\Rightarrow \text{CONST emp-alpha}$

```

— Quotations
-svid-set  $A \rightarrow \text{-mk-alpha-list } A$ 
-svid-empty  $\rightarrow \emptyset_L$ 
-svar  $x \rightarrow x$ 

```

The translation rules mainly convert syntax into lens constructions, using a mixture of lens operators and the bespoke variable definitions. Notably, a colon variable identifier qualification becomes a lens composition, and variable sets are constructed using len sum. The translation rules are carefully crafted to ensure both parsing and pretty printing.

Finally we create the following useful utility translation function that allows us to construct a UTP variable (lens) type given a return and alphabet type.

syntax

```
-uvar-ty :: type  $\Rightarrow$  type  $\Rightarrow$  type
```

```
 $\langle ML \rangle$ 
```

2.3 Simplifications

```

lemma get-pre [simp]:  $\text{get}_{(x^<)_v}(s_1, s_2) = \text{get}_x s_1$ 
   $\langle proof \rangle$ 

lemma get-post [simp]:  $\text{get}_{(x^>)_v}(s_1, s_2) = \text{get}_x s_2$ 
   $\langle proof \rangle$ 

lemma get-prod-decomp:  $\text{get}_x s = (\text{get}_{\text{var-fst } x} s, \text{get}_{\text{var-snd } x} s)$ 
   $\langle proof \rangle$ 

end

```

3 Expressions

```

theory Expressions
  imports Variables
  keywords expr-constructor expr-function :: thy-decl-block
begin

```

3.1 Types and Constructs

named-theorems expr-defs and named-expr-defs

An expression is represented simply as a function from the state space ' s ' to the return type ' a ', which is the simplest shallow model for Isabelle/HOL.

The aim of this theory is to provide transparent conversion between this representation and a more intuitive expression syntax. For example, an expression $x + y$ where x and y are both state variables, can be represented

by $\lambda s. get_x s + get_y s$ when both variables are modelled using lenses. Rather than having to write λ -terms directly, it is more convenient to hide this threading of state behind a parser. We introduce the expression bracket syntax, $(\cdot)_e$ to support this.

type-synonym $('a, 's) expr = 's \Rightarrow 'a$

The following constructor is used to syntactically mark functions that actually denote expressions. It is semantically vacuous.

definition $SEXP :: ('s \Rightarrow 'a) \Rightarrow ('a, 's) expr ([\cdot]_e)$ **where**
 $[expr-defs]: SEXP x = x$

lemma $SEXP\text{-apply} [simp]: SEXP e s = (e s) \langle proof \rangle$

lemma $SEXP\text{-idem} [simp]: [[e]_e]_e = [e]_e \langle proof \rangle$

We can create the core constructs of a simple expression language as indicated below.

abbreviation $(input) var :: ('a \Rightarrow 's) \Rightarrow ('a, 's) expr$ **where**
 $var x \equiv (\lambda s. get_x s)$

abbreviation $(input) lit :: 'a \Rightarrow ('a, 's) expr$ **where**
 $lit k \equiv (\lambda s. k)$

abbreviation $(input) uop :: ('a \Rightarrow 'b) \Rightarrow ('a, 's) expr \Rightarrow ('b, 's) expr$ **where**
 $uop f e \equiv (\lambda s. f (e s))$

abbreviation $(input) bop$
 $:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 's) expr \Rightarrow ('b, 's) expr \Rightarrow ('c, 's) expr$ **where**
 $bop f e_1 e_2 \equiv (\lambda s. f (e_1 s) (e_2 s))$

definition $taut :: (bool, 's) expr \Rightarrow bool$ **where**
 $[expr-defs]: taut e = (\forall s. e s)$

definition $expr\text{-select} :: ('a, 's) expr \Rightarrow ('b \Rightarrow 'a) \Rightarrow ('b, 's) expr$ **where**
 $[expr-defs, code-unfold]: expr\text{-select} e x = (\lambda s. get_x (e s))$

definition $expr\text{-if} :: ('a, 's) expr \Rightarrow (bool, 's) expr \Rightarrow ('a, 's) expr \Rightarrow ('a, 's) expr$ **where**
 $[expr-defs, code-unfold]: expr\text{-if} P b Q = (\lambda s. if (b s) then P s else Q s)$

3.2 Lifting Parser and Printer

The lifting parser creates a parser directive that converts an expression to a $SEXP$ boxed λ -term that gives it a semantics. A pretty printer converts a boxed λ -term back to an expression.

nonterminal $sexp$

We create some syntactic constants and define parse and print translations for them.

syntax

```

-sexp-state    :: id
-sexp-quote    :: logic  $\Rightarrow$  logic ('(-')e)
— Convert the expression to a lambda term, but do not box it.
-sexp-quote-1way :: logic  $\Rightarrow$  logic ('(-')e)
-sexp-lit      :: logic  $\Rightarrow$  logic («-»)
-sexp-var      :: svid  $\Rightarrow$  logic ($- [990] 990)
-sexp-evar     :: id-position  $\Rightarrow$  logic (@- [999] 999)
-sexp-evar     :: logic  $\Rightarrow$  logic (@'(-') [999] 999)
-sexp-pqt      :: logic  $\Rightarrow$  sexp ([e])
-sexp-taut     :: logic  $\Rightarrow$  logic ('-')
-sexp-select   :: logic  $\Rightarrow$  svid  $\Rightarrow$  logic (-:- [1000, 999] 1000)
-sexp-if        :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic ((3-  $\triangleleft$  -  $\triangleright$  / -) [52,0,53] 52)

```

$\langle ML \rangle$

We create a number of attributes for configuring the way the parser works.

declare [[*pretty-print-exprs=true*]]

We can toggle pretty printing of λ expressions using *pretty-print-exprs*.

declare [[*literal-variables=false*]]

Expressions, of course, can contain variables. However, a variable can denote one of three things: (1) a state variable (i.e. a lens); (2) a placeholder for a value (i.e. a HOL literal); and (3) a placeholder for another expression. The attribute *literal-variables* selects option (2) as the default behaviour when true, and option (3) when false.

expr-constructor *expr-select*

expr-constructor *expr-if*

Some constants should not be lifted, since they are effectively constructors for expressions. The command **expr-constructor** allows us to specify such constants to not be lifted. This being the case, the arguments are left unlifted, unless included in a list of numbers before the constant name. The state is passed as the final argument to expression constructors.

$\langle ML \rangle$

translations

```

-sexp-var x ==> getx -sexp-state
-sexp-taut p == CONST taut (p)e
-sexp-select e x == CONST expr-select (e)e x
-sexp-if P b Q == CONST expr-if P (b)e Q
-sexp-var (-svid-tuple (-of-svid-list (x +L y))) <= -sexp-var (x +L y)

```

The main directive is the *e* subscripted brackets, (*e*)_e. This converts the expression *e* to a boxed λ term. Essentially, the behaviour is as follows:

1. a new λ abstraction over the state variable s is wrapped around e ;
2. every occurrence of a free lens $get_x s$ in e is replaced by $get_x s$;
3. every occurrence of an expression variable e is replaced by $e s$;
4. every occurrence of any other free variable is left unchanged.

The pretty printer does this in reverse.

Below is a grammar category for lifted expressions.

nonterminal *sexpr*

syntax *-sexpr* :: *logic* \Rightarrow *sexpr* (-)

$\langle ML \rangle$

3.3 Reasoning

lemma *expr-eq-iff*: $P = Q \longleftrightarrow 'P = Q'$
 $\langle proof \rangle$

lemma *refine-iff-implies*: $P \leq Q \longleftrightarrow 'P \rightarrow Q'$
 $\langle proof \rangle$

lemma *taut-True* [*simp*]: $'True' = True$
 $\langle proof \rangle$

lemma *taut-False* [*simp*]: $'False' = False$
 $\langle proof \rangle$

lemma *tautI*: $\llbracket \bigwedge s. P s \rrbracket \implies \text{taut } P$
 $\langle proof \rangle$

named-theorems *expr-simps*

Lemmas to help automation of expression reasoning

lemma *fst-case-sum* [*simp*]: $\text{fst} (\text{case } p \text{ of } \text{Inl } x \Rightarrow (a1 x, a2 x) \mid \text{Inr } x \Rightarrow (b1 x, b2 x)) = (\text{case } p \text{ of } \text{Inl } x \Rightarrow a1 x \mid \text{Inr } x \Rightarrow b1 x)$
 $\langle proof \rangle$

lemma *snd-case-sum* [*simp*]: $\text{snd} (\text{case } p \text{ of } \text{Inl } x \Rightarrow (a1 x, a2 x) \mid \text{Inr } x \Rightarrow (b1 x, b2 x)) = (\text{case } p \text{ of } \text{Inl } x \Rightarrow a2 x \mid \text{Inr } x \Rightarrow b2 x)$
 $\langle proof \rangle$

lemma *sum-case-apply* [*simp*]: $(\text{case } p \text{ of } \text{Inl } x \Rightarrow f x \mid \text{Inr } x \Rightarrow g x) y = (\text{case } p \text{ of } \text{Inl } x \Rightarrow f x y \mid \text{Inr } x \Rightarrow g x y)$
 $\langle proof \rangle$

Proof methods for simplifying shallow expressions to HOL terms. The first retains the lens structure, and the second removes it when alphabet lenses are present.

```
method expr-lens-simp uses add =
  ((simp add: expr-simps)? — Perform any possible simplifications retaining the
   lens structure
  ;((simp add: fun-eq-iff prod.case-eq-if expr-defs named-expr-defs lens-defs add)?
  ; — Explode the rest
  (simp add: expr-defs named-expr-defs lens-defs add)?)
```

```
method expr-simp uses add = (expr-lens-simp add: alpha-splits add)
```

Methods for dealing with tautologies

```
method expr-lens-taut uses add =
  (rule tautI;
   expr-lens-simp add: add)
```

```
method expr-taut uses add =
  (rule tautI;
   expr-simp add: add;
   rename-alpha-vars?)
```

A method for simplifying shallow expressions to HOL terms and applying auto

```
method expr-auto uses add =
  (expr-simp add: add;
   (auto simp add: alpha-splits lens-defs add)?;
   (rename-alpha-vars)? — Rename any logical variables with v subscripts
  )
```

3.4 Algebraic laws

```
lemma expr-if-idem [simp]:  $P \triangleleft b \triangleright P = P$ 
  ⟨proof⟩
```

```
lemma expr-if-sym:  $P \triangleleft b \triangleright Q = Q \triangleleft \neg b \triangleright P$ 
  ⟨proof⟩
```

```
lemma expr-if-assoc:  $(P \triangleleft b \triangleright Q) \triangleleft c \triangleright R = P \triangleleft b \wedge c \triangleright (Q \triangleleft c \triangleright R)$ 
  ⟨proof⟩
```

```
lemma expr-if-distr:  $P \triangleleft b \triangleright (Q \triangleleft c \triangleright R) = (P \triangleleft b \triangleright Q) \triangleleft c \triangleright (P \triangleleft b \triangleright R)$ 
  ⟨proof⟩
```

```
lemma expr-if-true [simp]:  $P \triangleleft \text{True} \triangleright Q = P$ 
  ⟨proof⟩
```

```
lemma expr-if-false [simp]:  $P \triangleleft \text{False} \triangleright Q = Q$ 
```

```

⟨proof⟩

lemma expr-if-reach [simp]:  $P \triangleleft b \triangleright (Q \triangleleft b \triangleright R) = P \triangleleft b \triangleright R$ 
⟨proof⟩

lemma expr-if-disj [simp]:  $P \triangleleft b \triangleright (P \triangleleft c \triangleright Q) = P \triangleleft b \vee c \triangleright Q$ 
⟨proof⟩

lemma SEXP-expr-if: [ $\text{expr-if } P \ b \ Q]_e = \text{expr-if } P \ b \ Q$ 
⟨proof⟩

end

```

4 Unrestriction

```

theory Unrest
  imports Expressions
  begin

```

Unrest means that an expression does not depend on the value of the state space described by the given scene (i.e. set of variables) for its valuation. It is a semantic characterisation of fresh variables.

```

consts unrest :: 's scene  $\Rightarrow$  'p  $\Rightarrow$  bool

definition unrest-expr :: 's scene  $\Rightarrow$  ('b, 's) expr  $\Rightarrow$  bool where
[expr-defs]:  $\text{unrest-expr } a \ e = (\forall s s'. e(s \oplus_S s' \text{ on } a) = e s)$ 

```

```

adhoc-overloading unrest == unrest-expr

```

```

syntax
  -unrest :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (infix # 20)

```

```

translations
  -unrest x p == CONST unrest x p

```

```

named-theorems unrest

```

```

lemma unrest-empty [unrest]:  $\emptyset \# P$ 
⟨proof⟩

```

```

lemma unrest-var-union [unrest]:
   $\llbracket A \# P; B \# P \rrbracket \implies A \cup B \# P$ 
⟨proof⟩

```

```

lemma unrest-neg-union:
  assumes  $A \# B - A \# P - B \# P$ 
  shows  $(- (A \cup B)) \# P$ 
⟨proof⟩

```

The following two laws greatly simplify proof when reasoning about unrestricted lens, and so we add them to the expression simplification set.

lemma *unrest-lens* [*expr-simps*]:

mwb-lens $x \implies (\$x \sharp e) = (\forall s v. e (put_x s v) = e s)$
 $\langle proof \rangle$

lemma *unrest-compl-lens* [*expr-simps*]:

mwb-lens $x \implies (-\$x \sharp e) = (\forall s s'. e (put_x s' (get_x s)) = e s)$
 $\langle proof \rangle$

lemma *unrest-subscene*: $\llbracket idem-scene a; a \sharp e; b \subseteq_S a \rrbracket \implies b \sharp e$
 $\langle proof \rangle$

lemma *unrest-lens-comp* [*unrest*]: $\llbracket mwb-lens x; mwb-lens y; \$x \sharp e \rrbracket \implies \$x:y \sharp e$
 $\langle proof \rangle$

lemma *unrest-expr* [*unrest*]: $x \sharp e \implies x \sharp (e)_e$
 $\langle proof \rangle$

lemma *unrest-lit* [*unrest*]: $x \sharp (\langle\!\langle v \rangle\!\rangle)_e$
 $\langle proof \rangle$

lemma *unrest-var* [*unrest*]:

$\llbracket vwb-lens x; idem-scene a; var-alpha x \bowtie_S a \rrbracket \implies a \sharp (\$x)_e$
 $\langle proof \rangle$

lemma *unrest-var-single* [*unrest*]:

$\llbracket mwb-lens x; x \bowtie y \rrbracket \implies \$x \sharp (\$y)_e$
 $\langle proof \rangle$

lemma *unrest-sublens*:

assumes *mwb-lens* x $\$x \sharp P$ $y \subseteq_L x$
shows $\$y \sharp P$
 $\langle proof \rangle$

If two lenses are equivalent, and thus they characterise the same state-space regions, then clearly unrestrictions over them are equivalent.

lemma *unrest-equiv*:

assumes *mwb-lens* y $x \approx_L y$ $\$x \sharp P$
shows $\$y \sharp P$
 $\langle proof \rangle$

If we can show that an expression is unrestricted on a bijective lens, then is unrestricted on the entire state-space.

lemma *bij-lens-unrest-all*:

assumes *bij-lens* x $\$x \sharp P$
shows $\Sigma \sharp P$
 $\langle proof \rangle$

```

lemma bij-lens-unrest-all-eq:
  assumes bij-lens  $x$ 
  shows  $(\Sigma \# P) \longleftrightarrow (\$x \# P)$ 
   $\langle proof \rangle$ 

```

If an expression is unrestricted by all variables, then it is unrestricted by any variable

```

lemma unrest-all-var:
  assumes  $\Sigma \# e$ 
  shows  $\$x \# e$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-pair [unrest]:
  assumes mwb-lens  $x$  mwb-lens  $y$   $\$x \# P$   $\$y \# P$ 
  shows  $\$(x, y) \# P$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-pair-split:
  assumes  $x \bowtie y$  vwb-lens  $x$  vwb-lens  $y$ 
  shows  $\$(x, y) \# P = ((\$x \# P) \wedge (\$y \# P))$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-get [unrest]:  $\llbracket mwb\text{-lens } x; x \bowtie y \rrbracket \implies \$x \# get_y$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-conj [unrest]:
   $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \wedge Q)_e$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-not [unrest]:
   $\llbracket x \# P \rrbracket \implies x \# (\neg P)_e$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-disj [unrest]:
   $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \vee Q)_e$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-implies [unrest]:
   $\llbracket x \# P; x \# Q \rrbracket \implies x \# (P \rightarrow Q)_e$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-expr-if [unrest]:
  assumes  $a \# P$   $a \# Q$   $a \# (e)_e$ 
  shows  $a \# (P \triangleleft e \triangleright Q)$ 
   $\langle proof \rangle$ 

```

```

lemma unrest-uop:
   $\llbracket x \# e \rrbracket \implies x \# (\langle f \rangle e)_e$ 

```

```

⟨proof⟩

lemma unrest-bop:
   $\llbracket x \# e_1; x \# e_2 \rrbracket \implies x \# (\langle f \rangle e_1 e_2)_e$ 
  ⟨proof⟩

lemma unrest-trop:
   $\llbracket x \# e_1; x \# e_2; x \# e_3 \rrbracket \implies x \# (\langle f \rangle e_1 e_2 e_3)_e$ 
  ⟨proof⟩

end

```

5 Substitutions

```

theory Substitutions
  imports Unrestriction
begin

```

5.1 Types and Constants

A substitution is simply a function between two state spaces. Typically, they are used to express mappings from variables to values (e.g. assignments).

```

type-synonym ('s1, 's2) psubst = 's1 ⇒ 's2
type-synonym 's subst = 's ⇒ 's

```

There are different ways of constructing an empty substitution.

```

definition subst-id :: 's subst ([~])
  where [expr-defs, code-unfold]: subst-id = (λs. s)

definition subst-nil :: ('s1, 's2) psubst ([])
  where [expr-defs, code-unfold]: [] = (λ s. undefined)

definition subst-default :: ('s1, 's2::default) psubst ([])
  where [expr-defs, code-unfold]: [] = (λ s. default)

```

We can update a substitution by adding a new variable maplet.

```

definition subst-upd :: ('s1, 's2) psubst ⇒ ('a ⇒ 's2) ⇒ ('a, 's1) expr ⇒ ('s1,
's2) psubst
  where [expr-defs, code-unfold]: subst-upd σ x e = (λ s. putx(σ s)(e s))

```

The next two operators extend and restrict the alphabet of a substitution.

```

definition subst-ext :: ('s1 ⇒ 's2) ⇒ ('s2, 's1) psubst (-↑ [999] 999) where
  [expr-defs, code-unfold]: subst-ext a = geta

```

```

definition subst-res :: ('s1 ⇒ 's2) ⇒ ('s1, 's2) psubst (-↓ [999] 999) where
  [expr-defs, code-unfold]: subst-res a = createa

```

Application of a substitution to an expression is effectively function composition.

```
definition subst-app :: ('s1, 's2) psubst  $\Rightarrow$  ('a, 's2) expr  $\Rightarrow$  ('a, 's1) expr  

where [expr-defs]: subst-app  $\sigma$  e = ( $\lambda$  s. e ( $\sigma$  s))
```

```
abbreviation aext P a  $\equiv$  subst-app (a↑) P  

abbreviation ares P a  $\equiv$  subst-app (a↓) P
```

We can also lookup the expression a variable is mapped to.

```
definition subst-lookup :: ('s1, 's2) psubst  $\Rightarrow$  ('a  $\Rightarrow$  's2)  $\Rightarrow$  ('a, 's1) expr ( $\langle \cdot \rangle_s$ )  

where [expr-defs, code-unfold]:  $\langle \sigma \rangle_s x = (\lambda$  s. getx ( $\sigma$  s))
```

```
definition subst-comp :: ('s1, 's2) psubst  $\Rightarrow$  ('s3, 's1) psubst  $\Rightarrow$  ('s3, 's2) psubst  

(infixl  $\circ_s$  55)  

where [expr-defs, code-unfold]: subst-comp = comp
```

```
definition unrest-usubst :: 's scene  $\Rightarrow$  's subst  $\Rightarrow$  bool  

where [expr-defs]: unrest-usubst a  $\sigma$  = ( $\forall$  s s'.  $\sigma$  (s  $\oplus_S$  s' on a) = ( $\sigma$  s)  $\oplus_S$  s'  

on a)
```

```
definition par-subst :: 's subst  $\Rightarrow$  's scene  $\Rightarrow$  's subst  $\Rightarrow$  's subst  

where [expr-defs]: par-subst  $\sigma_1$  A  $\sigma_2$  = ( $\lambda$  s. (s  $\oplus_S$  ( $\sigma_1$  s) on A)  $\oplus_S$  ( $\sigma_2$  s)  

on B)
```

```
definition subst-restrict :: 's subst  $\Rightarrow$  's scene  $\Rightarrow$  's subst where  

[expr-defs]: subst-restrict  $\sigma$  a = ( $\lambda$  s. s  $\oplus_S$   $\sigma$  s on a)
```

Create a substitution that copies the region from the given scene from a given state. This is used primarily in calculating unrestriction conditions.

```
definition sset :: 's scene  $\Rightarrow$  's  $\Rightarrow$  's subst  

where [expr-defs, code-unfold]: sset a s' = ( $\lambda$  s. s  $\oplus_S$  s' on a)
```

```
syntax -sset :: salpha  $\Rightarrow$  logic  $\Rightarrow$  logic (sset[-, -])  

translations -sset a P == CONST sset a P
```

5.2 Syntax Translations

nonterminal uexprs **and** smaplet **and** smaplets

syntax

```
-subst-app :: logic  $\Rightarrow$  logic  $\Rightarrow$  logic (infix † 65)  

-smaplet :: [svid, logic]  $\Rightarrow$  smaplet (-  $\rightsquigarrow$  -)  

:: smaplet  $\Rightarrow$  smaplets (-)  

-SMaplets :: [smaplet, smaplets]  $\Rightarrow$  smaplets (-, / -)  

— A little syntax utility to extract a list of variable identifiers from a substitution  

-smaplets-svids :: smaplets  $\Rightarrow$  logic  

-SubstUpd :: [logic, smaplets]  $\Rightarrow$  logic (- / (-) [900,0] 900)  

-Subst :: smaplets  $\Rightarrow$  logic ((1[-]))
```

```

-PSubst      :: smaplets => logic ((1(|-|)))
-DSubst      :: smaplets => logic ((1(|-|)))
-psubst       :: [logic, svids, uexprs] => logic
-subst        :: logic => uexprs => svids => logic (([-'|-]) [990,0,0] 991)
-uexprs        :: [logic, uexprs] => uexprs (-, / -)
                           :: logic => uexprs (-)
-par-subst    :: logic => salpha => salpha => logic => logic (- [-|]-s - [100,0,0,101]
101)
-subst-restrict :: logic => salpha => logic (infixl `s 85)
-unrest-usubst :: salpha => logic => logic (infix #s 20)

```

translations

```

-subst-app σ e      == CONST subst-app σ e
-subst-app σ e      <= -subst-app σ (e)e
-SubstUpd m (-SMaplets xy ms) == -SubstUpd (-SubstUpd m xy) ms
-SubstUpd m (-smaplet x y) == CONST subst-upd m x (y)e
-Subst ms          == -SubstUpd [~] ms
-Subst (-SMaplets ms1 ms2) <= -SubstUpd (-Subst ms1) ms2
-PSubst ms         == -SubstUpd [|~|] ms
-PSubst (-SMaplets ms1 ms2) <= -SubstUpd (-PSubst ms1) ms2
-DSubst ms         == -SubstUpd [|~|] ms
-DSubst (-SMaplets ms1 ms2) <= -SubstUpd (-DSubst ms1) ms2
-SMaplets ms1 (-SMaplets ms2 ms3) <= -SMaplets (-SMaplets ms1 ms2) ms3
-smaplets-svids (-SMaplets (-smaplet x e) ms) => x +L (-smaplets-svids ms)
-smaplets-svids (-smaplet x e) => x
-subst P es vs => CONST subst-app (-psubst [|~|] vs es) P
-psubst m (-svid-list x xs) (-uexprs v vs) => -psubst (-psubst m x v) xs vs
-psubst m x v => CONST subst-upd m x (v)e
-subst P v x <= CONST subst-app (CONST subst-upd [|~|] x (v)e) P
-subst P v x <= -subst-app (-Subst (-smaplet x v)) P
-subst P v x <= -subst (-sexp-quote P) v x
-subst P v (-svid-tuple (-of-svid-list (x +L y))) <= -subst P v (x +L y)
-par-subst σ1 A B σ2 == CONST par-subst σ1 A B σ2
-subst-restrict σ a == CONST subst-restrict σ a
-unrest-usubst x p == CONST unrest-usubst x p
-unrest-usubst (-salphaset (-salphamk (x +L y))) P <= -unrest-usubst (x +L y)
P

```

expr-constructor *subst-app* (1) — Only the second parameter (1) should be treated as a lifted expression.

expr-constructor *subst-id*
expr-constructor *subst-nil*
expr-constructor *subst-default*
expr-constructor *subst-upd*
expr-constructor *subst-lookup*

$\langle ML \rangle$

5.3 Substitution Laws

named-theorems *usubst* and *usubst-eval*

lemma *subst-id-apply* [*usubst*]: $[\rightsquigarrow] \upharpoonright P = P$
 $\langle proof \rangle$

lemma *subst-unrest* [*usubst*]:
 $\llbracket vwb\text{-lens } x; \$x \# v \rrbracket \implies \sigma(x \rightsquigarrow e) \upharpoonright v = \sigma \upharpoonright v$
 $\langle proof \rangle$

lemma *subst-lookup-id* [*usubst*]: $\langle [\rightsquigarrow] \rangle_s x = [var x]_e$
 $\langle proof \rangle$

lemma *subst-lookup-aext* [*usubst*]: $\langle a^\uparrow \rangle_s x = [get_{ns\text{-alpha}} a x]_e$
 $\langle proof \rangle$

lemma *subst-id-var*: $[\rightsquigarrow] = (\$v)_e$
 $\langle proof \rangle$

lemma *subst-upd-id-lam* [*usubst*]: *subst-upd* $(\$v)_e x v = subst\text{-upd} [\rightsquigarrow] x v$
 $\langle proof \rangle$

lemma *subst-id* [*simp*]: $[\rightsquigarrow] \circ_s \sigma = \sigma \circ_s [\rightsquigarrow] = \sigma$
 $\langle proof \rangle$

lemma *subst-default-id* [*simp*]: $\langle \rightsquigarrow \rangle \circ_s \sigma = \langle \rightsquigarrow \rangle$
 $\langle proof \rangle$

lemma *subst-lookup-one-lens* [*usubst*]: $\langle \sigma \rangle_s 1_L = \sigma$
 $\langle proof \rangle$

The following law can break expressions abstraction, so it is not by default a "usubst" law.

lemma *subst-apply-SEXP*: *subst-app* $\sigma [e]_e = [subst\text{-app} \sigma e]_e$
 $\langle proof \rangle$

lemma *subst-apply-twice* [*usubst*]:
 $\varrho \upharpoonright (\sigma \upharpoonright e) = (\sigma \circ_s \varrho) \upharpoonright e$
 $\langle proof \rangle$

lemma *subst-apply-twice-SEXP* [*usubst*]:
 $\varrho \upharpoonright [\sigma \upharpoonright e]_e = (\sigma \circ_s \varrho) \upharpoonright [e]_e$
 $\langle proof \rangle$

term $(f (\sigma \upharpoonright e))_e$

term $(\forall x. x + \$y > \$z)_e$

term $(\forall k. P[\![\ll k \rr]/x]\!)_e$

lemma *subst-get [usubst]*: $\sigma \dagger get_x = \langle \sigma \rangle_s x$
{proof}

lemma *subst-var [usubst]*: $\sigma \dagger (\$x)_e = \langle \sigma \rangle_s x$
{proof}

We can't use this as simplification unfortunately as the expression structure is too ambiguous to support automatic rewriting.

lemma *subst-uop*: $\sigma \dagger (\langle f \rangle e)_e = (\langle f \rangle (\sigma \dagger e))_e$
{proof}

lemma *subst-bop*: $\sigma \dagger (\langle f \rangle e_1 e_2)_e = (\langle f \rangle (\sigma \dagger e_1) (\sigma \dagger e_2))_e$
{proof}

lemma *subst-lit [usubst]*: $\sigma \dagger (\langle v \rangle)_e = (\langle v \rangle)_e$
{proof}

lemmas *subst-basic-ops [usubst]* =
subst-bop[where f=conj]
subst-bop[where f=disj]
subst-bop[where f=implies]
subst-uop[where f=Not]
subst-bop[where f=HOL.eq]
subst-bop[where f=less]
subst-bop[where f=less-eq]
subst-bop[where f=Set.member]
subst-bop[where f=inf]
subst-bop[where f=sup]
subst-bop[where f=Pair]

A substitution update naturally yields the given expression.

lemma *subst-lookup-upd [usubst]*:
assumes *weak-lens x*
shows $\langle \sigma(x \rightsquigarrow v) \rangle_s x = (v)_e$
{proof}

lemma *subst-lookup-upd-diff [usubst]*:
assumes *x ⊲ y*
shows $\langle \sigma(y \rightsquigarrow v) \rangle_s x = \langle \sigma \rangle_s x$
{proof}

lemma *subst-lookup-pair [usubst]*:
 $\langle \sigma \rangle_s (x +_L y) = ((\langle \sigma \rangle_s x, \langle \sigma \rangle_s y))_e$
{proof}

Substitution update is idempotent.

```
lemma usubst-upd-idem [usubst]:
  assumes mwb-lens x
  shows  $\sigma(x \rightsquigarrow u, x \rightsquigarrow v) = \sigma(x \rightsquigarrow v)$ 
  <proof>
```

```
lemma usubst-upd-idem-sub [usubst]:
  assumes  $x \subseteq_L y$  mwb-lens y
  shows  $\sigma(x \rightsquigarrow u, y \rightsquigarrow v) = \sigma(y \rightsquigarrow v)$ 
  <proof>
```

Substitution updates commute when the lenses are independent.

```
lemma subst-upd-comm:
  assumes  $x \bowtie y$ 
  shows  $\sigma(x \rightsquigarrow u, y \rightsquigarrow v) = \sigma(y \rightsquigarrow v, x \rightsquigarrow u)$ 
  <proof>
```

```
lemma subst-upd-comm2:
  assumes  $z \bowtie y$ 
  shows  $\sigma(x \rightsquigarrow u, y \rightsquigarrow v, z \rightsquigarrow s) = \sigma(x \rightsquigarrow u, z \rightsquigarrow s, y \rightsquigarrow v)$ 
  <proof>
```

```
lemma subst-upd-var-id [usubst]:
  vwb-lens x  $\implies [x \rightsquigarrow \$x] = [\rightsquigarrow]$ 
  <proof>
```

```
lemma subst-upd-pair [usubst]:
  σ(( $x, y$ )  $\rightsquigarrow (e, f)$ ) =  $\sigma(y \rightsquigarrow f, x \rightsquigarrow e)$ 
  <proof>
```

```
lemma subst-upd-comp [usubst]:
   $\varrho(x \rightsquigarrow v) \circ_s \sigma = (\varrho \circ_s \sigma)(x \rightsquigarrow \sigma \dagger v)$ 
  <proof>
```

```
lemma swap-subst-inj:  $\llbracket vwb\text{-lens } x; vwb\text{-lens } y; x \bowtie y \rrbracket \implies inj [(x, y) \rightsquigarrow (\$y, \$x)]$ 
  <proof>
```

5.4 Proof rules

In proof, a lens can always be substituted for an arbitrary but fixed value.

```
lemma taut-substI:
  assumes vwb-lens x  $\wedge$  v. ‘P $\llbracket \llbracket v \rrbracket / x \rrbracket$ ’
  shows ‘P’
  <proof>
```

```
lemma eq-substI:
  assumes vwb-lens x  $\wedge$  v. P $\llbracket \llbracket v \rrbracket / x \rrbracket = Q\llbracket \llbracket v \rrbracket / x \rrbracket$ 
```

```

shows  $P = Q$ 
⟨proof⟩

lemma bool-eq-substI:
assumes vwb-lens  $x$   $P[\text{True}/x] = Q[\text{True}/x]$   $P[\text{False}/x] = Q[\text{False}/x]$ 
shows  $P = Q$ 
⟨proof⟩

lemma less-eq-substI:
assumes vwb-lens  $x \wedge v$ .  $P[\langle v \rangle/x] \leq Q[\langle v \rangle/x]$ 
shows  $P \leq Q$ 
⟨proof⟩

```

5.5 Ordering substitutions

A simplification procedure to reorder substitutions maplets lexicographically by variable syntax
 $\langle ML \rangle$

5.6 Substitution Unrestriction Laws

```

lemma unrest-subst-lens [expr-simps]: mwb-lens  $x \implies (\$x \#_s \sigma) = (\forall s v. \sigma (put_x s v) = put_x (\sigma s) v)$ 
⟨proof⟩

lemma unrest-subst-empty [unrest]:  $x \#_s [\rightsquigarrow]$ 
⟨proof⟩

lemma unrest-subst-upd [unrest]:  $\llbracket vwb\text{-lens } x; x \bowtie y; \$x \# (e)_e; \$x \#_s \sigma \rrbracket \implies \$x \#_s \sigma (y \rightsquigarrow e)$ 
⟨proof⟩

lemma unrest-subst-upd-compl [unrest]:  $\llbracket vwb\text{-lens } x; y \subseteq_L x; -\$x \# (e)_e; -\$x \#_s \sigma \rrbracket \implies -\$x \#_s \sigma (y \rightsquigarrow e)$ 
⟨proof⟩

lemma unrest-subst-apply [unrest]:
 $\llbracket \$x \# P; \$x \#_s \sigma \rrbracket \implies \$x \# (\sigma \dagger P)$ 
⟨proof⟩

lemma unrest-sset [unrest]:
 $x \bowtie y \implies \$x \#_s sset[\$y, v]$ 
⟨proof⟩

```

5.7 Conditional Substitution Laws

```

lemma subst-cond-upd-1 [usubst]:
 $\sigma(x \rightsquigarrow u) \triangleleft b \triangleright \varrho(x \rightsquigarrow v) = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow (u \triangleleft b \triangleright v))$ 
⟨proof⟩

```

lemma *subst-cond-upd-2* [*usubst*]:
 $\llbracket vwb\text{-lens } x; \$x \#_s \varrho \rrbracket \implies \sigma(x \rightsquigarrow u) \triangleleft b \triangleright \varrho = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow (u \triangleleft b \triangleright (\$x)_e))$
 $\langle proof \rangle$

lemma *subst-cond-upd-3* [*usubst*]:
 $\llbracket vwb\text{-lens } x; \$x \#_s \sigma \rrbracket \implies \sigma \triangleleft b \triangleright \varrho(x \rightsquigarrow v) = (\sigma \triangleleft b \triangleright \varrho)(x \rightsquigarrow ((\$x)_e \triangleleft b \triangleright v))$
 $\langle proof \rangle$

lemma *expr-if-bool-var-left*: $vwb\text{-lens } x \implies P[\text{True}/x] \triangleleft \$x \triangleright Q = P \triangleleft \$x \triangleright Q$
 $\langle proof \rangle$

lemma *expr-if-bool-var-right*: $vwb\text{-lens } x \implies P \triangleleft \$x \triangleright Q[\text{False}/x] = P \triangleleft \$x \triangleright Q$
 $\langle proof \rangle$

lemma *subst-expr-if* [*usubst*]: $\sigma \dagger (P \triangleleft B \triangleright Q) = (\sigma \dagger P) \triangleleft (\sigma \dagger B) \triangleright (\sigma \dagger Q)$
 $\langle proof \rangle$

5.8 Substitution Restriction Laws

lemma *subst-restrict-id* [*usubst*]: *idem-scene* $a \implies [\rightsquigarrow] \upharpoonright_s a = [\rightsquigarrow]$
 $\langle proof \rangle$

lemma *subst-restrict-out* [*usubst*]: $\llbracket vwb\text{-lens } x; vwb\text{-lens } a; x \bowtie a \rrbracket \implies \sigma(x \rightsquigarrow e)$
 $\upharpoonright_s \$a = \sigma \upharpoonright_s \a
 $\langle proof \rangle$

lemma *subst-restrict-in* [*usubst*]: $\llbracket vwb\text{-lens } x; vwb\text{-lens } y; x \subseteq_L y \rrbracket \implies \sigma(x \rightsquigarrow e)$
 $\upharpoonright_s \$y = (\sigma \upharpoonright_s \$y)(x \rightsquigarrow e)$
 $\langle proof \rangle$

lemma *subst-restrict-twice* [*simp*]: $\sigma \upharpoonright_s a \upharpoonright_s a = \sigma \upharpoonright_s a$
 $\langle proof \rangle$

5.9 Evaluation

lemma *subst-SEXP* [*usubst-eval*]: $\sigma \dagger [\lambda s. e s]_e = [\lambda s. e (\sigma s)]_e$
 $\langle proof \rangle$

lemma *get-subst-id* [*usubst-eval*]: $get_x ([\rightsquigarrow] s) = get_x s$
 $\langle proof \rangle$

lemma *get-subst-upd-same* [*usubst-eval*]: *weak-lens* $x \implies get_x ((\sigma(x \rightsquigarrow e)) s) = e$
 s
 $\langle proof \rangle$

lemma *get-subst-upd-indep* [*usubst-eval*]:
 $x \bowtie y \implies get_x ((\sigma(y \rightsquigarrow e)) s) = get_x (\sigma s)$
 $\langle proof \rangle$

```

lemma unrest-ssubst:  $(a \# P) \longleftrightarrow (\forall s'. sset a s' \upharpoonright P = (P)_e)$ 
   $\langle proof \rangle$ 

lemma unrest-ssubst-expr:  $(a \# (P)_e) = (\forall s'. sset[a, s'] \upharpoonright (P)_e = (P)_e)$ 
   $\langle proof \rangle$ 

lemma get-subst-sset-out [usubst-eval]:  $\llbracket vwb\text{-lens } x; var\text{-alpha } x \bowtie_S a \rrbracket \implies get_x(sset a s' s) = get_x s$ 
   $\langle proof \rangle$ 

lemma get-subst-sset-in [usubst-eval]:  $\llbracket vwb\text{-lens } x; var\text{-alpha } x \leq a \rrbracket \implies get_x(sset a s' s) = get_x s'$ 
   $\langle proof \rangle$ 

lemma get-subst-ext [usubst-eval]:  $get_x(subst\text{-ext } a s) = get_{ns\text{-alpha}} a x s$ 
   $\langle proof \rangle$ 

lemma unrest-sset-lens [unrest]:  $\llbracket mwb\text{-lens } x; mwb\text{-lens } y; x \bowtie y \rrbracket \implies \$x \#_s sset[\$y, s]$ 
   $\langle proof \rangle$ 

lemma get-subst-restrict-out [usubst-eval]:  $\llbracket vwb\text{-lens } a; x \bowtie a \rrbracket \implies get_x((\sigma \upharpoonright_s \$a) s) = get_x s$ 
   $\langle proof \rangle$ 

lemma get-subst-restrict-in [usubst-eval]:  $\llbracket vwb\text{-lens } a; x \subseteq_L a \rrbracket \implies get_x((\sigma \upharpoonright_s \$a) s) = get_x(\sigma s)$ 
   $\langle proof \rangle$ 

```

If a variable is unrestricted in a substitution then it's application has no effect.

```

lemma subst-apply-unrest:
   $\llbracket vwb\text{-lens } x; \$x \#_s \sigma \rrbracket \implies \langle \sigma \rangle_s x = var x$ 
   $\langle proof \rangle$ 

```

A tactic for proving unrestricteds by evaluating a special kind of substitution.

```

method unrest uses add = (simp add: add unrest unrest-ssubst-expr var-alpha-combine
  usubst usubst-eval)

```

A tactic for evaluating substitutions.

```

method subst-eval uses add = (simp add: add usubst-eval usubst unrest)

```

We can exercise finer grained control over substitutions with the following method.

```

declare vwb-lens-mwb [lens]
declare mwb-lens-weak [lens]

```

```

method subst-eval' = (simp only: lens usubst-eval usubst unrest SEXP-apply)
end

```

6 Extension and Restriction

```

theory Extension
  imports Substitutions
begin

```

It is often necessary to coerce an expression into a different state space using a lens, for example when the state space grows to add additional variables. Extension and restriction is provided by *aext* and *ares* respectively. Here, we provide syntax translations and reasoning support for these.

6.1 Syntax

syntax

```

-aext   :: logic  $\Rightarrow$  svid  $\Rightarrow$  logic (infixl  $\uparrow$  80)
-ares   :: logic  $\Rightarrow$  svid  $\Rightarrow$  logic (infixl  $\downarrow$  80)
-pre    :: logic  $\Rightarrow$  logic ( $-< [999] 1000$ )
-post   :: logic  $\Rightarrow$  logic ( $-> [999] 1000$ )
-drop-pre :: logic  $\Rightarrow$  logic ( $-< [999] 1000$ )
-drop-post :: logic  $\Rightarrow$  logic ( $-> [999] 1000$ )

```

translations

```

-aext P a == CONST aext P a
-ares P a == CONST ares P a
-pre P == -aext (P)e fstL
-post P == -aext (P)e sndL
-drop-pre P == -ares (P)e fstL
-drop-post P == -ares (P)e sndL

```

```

expr-constructor aext
expr-constructor ares

```

```

named-theorems alpha

```

6.2 Laws

```

lemma aext-var [alpha]:  $(\$x)_e \uparrow a = (\$a:x)_e$ 
   $\langle proof \rangle$ 

```

```

lemma ares-aext [alpha]: weak-lens a  $\implies P \uparrow a \downarrow a = P$ 
   $\langle proof \rangle$ 

```

```

lemma aext-ares [alpha]:  $\llbracket mwb\text{-lens } a; (- \$a) \sharp P \rrbracket \implies P \downarrow a \uparrow a = P$ 
   $\langle proof \rangle$ 

```

```

lemma expr-pre [simp]:  $e^< (s_1, s_2) = (e)_e s_1$   

  ⟨proof⟩

lemma expr-post [simp]:  $e^> (s_1, s_2) = (@e)_e s_2$   

  ⟨proof⟩

lemma unrest-aext-expr-lens [unrest]:  $\llbracket mwb\text{-lens } x; x \bowtie a \rrbracket \implies \$x \# (P \uparrow a)$   

  ⟨proof⟩

lemma unrest-init-pre [unrest]:  $\llbracket mwb\text{-lens } x; \$x \# e \rrbracket \implies \$x^< \# e^<$   

  ⟨proof⟩

lemma unrest-init-post [unrest]:  $mwb\text{-lens } x \implies \$x^< \# e^>$   

  ⟨proof⟩

lemma unrest-fin-pre [unrest]:  $mwb\text{-lens } x \implies \$x^> \# e^<$   

  ⟨proof⟩

lemma unrest-fin-post [unrest]:  $\llbracket mwb\text{-lens } x; \$x \# e \rrbracket \implies \$x^> \# e^>$   

  ⟨proof⟩

lemma aext-get-fst [usubst]:  $aext (get_x) fst_L = get_{ns\text{-alpha}} fst_L x$   

  ⟨proof⟩

lemma aext-get-snd [usubst]:  $aext (get_x) snd_L = get_{ns\text{-alpha}} snd_L x$   

  ⟨proof⟩

```

6.3 Substitutions

definition subst-aext :: $'a \text{ subst} \Rightarrow ('a \implies 'b) \Rightarrow 'b \text{ subst}$
where [expr-defs]: $\text{subst-aext } \sigma x = (\lambda s. put_x s (\sigma (get_x s)))$

definition subst-ares :: $'b \text{ subst} \Rightarrow ('a \implies 'b) \Rightarrow 'a \text{ subst}$
where [expr-defs]: $\text{subst-ares } \sigma x = (\lambda s. get_x (\sigma (create_x s)))$

syntax
 $\text{-subst-aext} :: logic \Rightarrow svid \Rightarrow logic (\text{infixl } \uparrow_s 80)$
 $\text{-subst-ares} :: logic \Rightarrow svid \Rightarrow logic (\text{infixl } \downarrow_s 80)$

translations

$\text{-subst-aext } P a == CONST \text{ subst-aext } P a$
 $\text{-subst-ares } P a == CONST \text{ subst-ares } P a$

lemma unrest-subst-aext [unrest]: $x \bowtie a \implies \$x \#_s (\sigma \uparrow_s a)$
 ⟨proof⟩

lemma subst-id-ext [usubst]:
 $vwb\text{-lens } x \implies [\rightsquigarrow] \uparrow_s x = [\rightsquigarrow]$

$\langle proof \rangle$

lemma *upd-subst-ext* [*alpha*]:
 $vwb\text{-lens } x \implies \sigma(y \rightsquigarrow e) \uparrow_s x = (\sigma \uparrow_s x)(x:y \rightsquigarrow e \uparrow x)$
 $\langle proof \rangle$

lemma *apply-subst-ext* [*alpha*]:
 $vwb\text{-lens } x \implies (\sigma \uparrow e) \uparrow x = (\sigma \uparrow_s x) \uparrow (e \uparrow x)$
 $\langle proof \rangle$

lemma *subst-aext-compose* [*alpha*]: $(\sigma \uparrow_s x) \uparrow_s y = \sigma \uparrow_s y:x$
 $\langle proof \rangle$

lemma *subst-aext-comp* [*usubst*]:
 $vwb\text{-lens } a \implies (\sigma \uparrow_s a) \circ_s (\varrho \uparrow_s a) = (\sigma \circ_s \varrho) \uparrow_s a$
 $\langle proof \rangle$

lemma *subst-id-res*: $mwb\text{-lens } a \implies [\rightsquigarrow] \downarrow_s a = [\rightsquigarrow]$
 $\langle proof \rangle$

lemma *upd-subst-res-in*:
 $\llbracket mwb\text{-lens } a; x \subseteq_L a \rrbracket \implies \sigma(x \rightsquigarrow e) \downarrow_s a = (\sigma \downarrow_s a)(x \upharpoonright a \rightsquigarrow e \downarrow a)$
 $\langle proof \rangle$

lemma *upd-subst-res-out*:
 $\llbracket mwb\text{-lens } a; x \bowtie a \rrbracket \implies \sigma(x \rightsquigarrow e) \downarrow_s a = \sigma \downarrow_s a$
 $\langle proof \rangle$

lemma *subst-ext-lens-apply*: $\llbracket mwb\text{-lens } a; -\$a \sharp_s \sigma \rrbracket \implies (a^\uparrow \circ_s \sigma) \uparrow P = ((\sigma \downarrow_s a) \uparrow P) \uparrow a$
 $\langle proof \rangle$

end

6.4 Liberation

theory *Liberation*
 imports *Extension*
 begin

Liberation [1] is an operator that removes dependence on a number of variables. It is similar to existential quantification, but is defined over a scene (a variable set).

6.5 Definition and Syntax

definition *liberate* :: $('s \Rightarrow \text{bool}) \Rightarrow 's \text{ scene} \Rightarrow ('s \Rightarrow \text{bool})$ **where**
[*expr-defs*]: $\text{liberate } P x = (\lambda s. \exists s'. P(s \oplus_S s' \text{ on } x))$

syntax
 $\text{-liberate} :: \text{logic} \Rightarrow \text{salpha} \Rightarrow \text{logic}$ (**infixl** \ 80)

translations

$\text{-liberate } P \ x == \text{ CONST liberate } P \ x$
 $\text{-liberate } P \ x <= \text{-liberate } (P)_e \ x$

expr-constructor $\text{liberate } (0)$

6.6 Laws

lemma liberate-lens [*expr-simps*]:
 $mwb\text{-lens } x \implies P \setminus \$x = (\lambda s. \exists s'. P (s \triangleleft_x s'))$
 $\langle \text{proof} \rangle$

lemma $\text{liberate-lens}'$: $mwb\text{-lens } x \implies P \setminus \$x = (\lambda s. \exists v. P (\text{put}_x s v))$
 $\langle \text{proof} \rangle$

lemma liberate-as-subst : $vwb\text{-lens } x \implies e \setminus \$x = (\exists v. e[\llbracket v \rrbracket / x])_e$
 $\langle \text{proof} \rangle$

lemma unrest-liberate : $a \sharp P \setminus a$
 $\langle \text{proof} \rangle$

lemma $\text{unrest-liberate-iff}$: $(a \sharp P) \longleftrightarrow (P \setminus a = P)$
 $\langle \text{proof} \rangle$

lemma liberate-none [*simp*]: $P \setminus \emptyset = P$
 $\langle \text{proof} \rangle$

lemma liberate-idem [*simp*]: $P \setminus a \setminus a = P \setminus a$
 $\langle \text{proof} \rangle$

lemma liberate-commute [*simp*]: $a \bowtie_S b \implies P \setminus a \setminus b = P \setminus b \setminus a$
 $\langle \text{proof} \rangle$

lemma liberate-true [*simp*]: $(\text{True})_e \setminus a = (\text{True})_e$
 $\langle \text{proof} \rangle$

lemma liberate-false [*simp*]: $(\text{False})_e \setminus a = (\text{False})_e$
 $\langle \text{proof} \rangle$

lemma liberate-disj [*simp*]: $(P \vee Q)_e \setminus a = (P \setminus a \vee Q \setminus a)_e$
 $\langle \text{proof} \rangle$

end

6.7 Quantifying Lenses

theory *Quantifiers*

```

imports Liberation
begin

```

We define operators to existentially and universally quantify an expression over a lens.

6.8 Operators and Syntax

```

definition ex-expr :: ('a ==> 's) => (bool, 's) expr => (bool, 's) expr where
[expr-defs]: ex-expr x e = ( $\lambda s. (\exists v. e(put_x s v))$ )

```

```

definition ex1-expr :: ('a ==> 's) => (bool, 's) expr => (bool, 's) expr where
[expr-defs]: ex1-expr x e = ( $\lambda s. (\exists! v. e(put_x s v))$ )

```

```

definition all-expr :: ('a ==> 's) => (bool, 's) expr => (bool, 's) expr where
[expr-defs]: all-expr x e = ( $\lambda s. (\forall v. e(put_x s v))$ )

```

```

expr-constructor ex-expr (1)
expr-constructor ex1-expr (1)
expr-constructor all-expr (1)

```

syntax

```

-ex-expr :: svid => logic => logic ( $\exists - \bullet - [0, 20] 20$ )
-ex1-expr :: svid => logic => logic ( $\exists_1 - \bullet - [0, 20] 20$ )
-all-expr :: svid => logic => logic ( $\forall - \bullet - [0, 20] 20$ )

```

translations

```

-ex-expr x P ==> CONST ex-expr x P
-ex1-expr x P ==> CONST ex1-expr x P
-all-expr x P ==> CONST all-expr x P

```

6.9 Laws

```

lemma ex-is-liberation: mwb-lens x ==> ( $\exists x \bullet P = (P \setminus \$x)$ )
     $\langle proof \rangle$ 

```

```

lemma ex-unrest-iff:  $\llbracket mwb\text{-lens } x \rrbracket \Longrightarrow (\$x \notin P) \longleftrightarrow (\exists x \bullet P = P)$ 
     $\langle proof \rangle$ 

```

```

lemma ex-unrest:  $\llbracket mwb\text{-lens } x; \$x \notin P \rrbracket \Longrightarrow (\exists x \bullet P = P)$ 
     $\langle proof \rangle$ 

```

```

lemma unrest-ex-in [unrest]:
 $\llbracket mwb\text{-lens } y; x \subseteq_L y \rrbracket \Longrightarrow \$x \notin (\exists y \bullet P)$ 
     $\langle proof \rangle$ 

```

```

lemma unrest-ex-out [unrest]:
 $\llbracket mwb\text{-lens } x; \$x \notin P; x \bowtie y \rrbracket \Longrightarrow \$x \notin (\exists y \bullet P)$ 
     $\langle proof \rangle$ 

```

lemma *subst-ex-out* [*usubst*]: $\llbracket \text{mwb-lens } x; \$x \sharp_s \sigma \rrbracket \implies \sigma \dagger (\exists x \bullet P) = (\exists x \bullet \sigma \dagger P)$
 $\langle \text{proof} \rangle$

lemma *subst-lit-ex-indep* [*usubst*]:
 $y \bowtie x \implies \sigma(y \rightsquigarrow \langle\!\langle v \rangle\!\rangle) \dagger (\exists x \bullet P) = \sigma \dagger (\exists x \bullet [y \rightsquigarrow \langle\!\langle v \rangle\!\rangle] \dagger P)$
 $\langle \text{proof} \rangle$

lemma *subst-ex-in* [*usubst*]:
 $\llbracket \text{vwb-lens } a; x \subseteq_L a \rrbracket \implies \sigma(x \rightsquigarrow e) \dagger (\exists a \bullet P) = \sigma \dagger (\exists a \bullet P)$
 $\langle \text{proof} \rangle$

declare *lens-plus-right-sublens* [*simp*]

lemma *ex-as-subst*: *vwb-lens* $x \implies (\exists x \bullet e) = (\exists v. e[\langle\!\langle v \rangle\!\rangle/x])_e$
 $\langle \text{proof} \rangle$

lemma *ex-twice* [*simp*]: *mwb-lens* $x \implies (\exists x \bullet \exists x \bullet P) = (\exists x \bullet P)$
 $\langle \text{proof} \rangle$

lemma *ex-commute*: $x \bowtie y \implies (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$
 $\langle \text{proof} \rangle$

lemma *ex-true* [*simp*]: $(\exists x \bullet (\text{True})_e) = (\text{True})_e$
 $\langle \text{proof} \rangle$

lemma *ex-false* [*simp*]: $(\exists x \bullet (\text{False})_e) = (\text{False})_e$
 $\langle \text{proof} \rangle$

lemma *ex-disj* [*simp*]: $(\exists x \bullet (P \vee Q)_e) = ((\exists x \bullet P) \vee (\exists x \bullet Q))_e$
 $\langle \text{proof} \rangle$

lemma *ex-plus*:
 $(\exists (y,x) \bullet P) = (\exists x \bullet \exists y \bullet P)$
 $\langle \text{proof} \rangle$

lemma *all-as-ex*: $(\forall x \bullet P) = (\neg (\exists x \bullet \neg P))_e$
 $\langle \text{proof} \rangle$

lemma *ex-as-all*: $(\exists x \bullet P) = (\neg (\forall x \bullet \neg P))_e$
 $\langle \text{proof} \rangle$

6.10 Cylindric Algebra

lemma *ex-C1*: $(\exists x \bullet (\text{False})_e) = (\text{False})_e$
 $\langle \text{proof} \rangle$

lemma *ex-C2*: *wb-lens* $x \implies 'P \longrightarrow (\exists x \bullet P)'$

```

⟨proof⟩

lemma ex-C3: mwb-lens  $x \implies (\exists x \bullet (P \wedge (\exists x \bullet Q)))_e = ((\exists x \bullet P) \wedge (\exists x \bullet Q))_e$ 
⟨proof⟩

lemma ex-C4a:  $x \approx_L y \implies (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$ 
⟨proof⟩

lemma ex-C4b:  $x \bowtie y \implies (\exists x \bullet \exists y \bullet P) = (\exists y \bullet \exists x \bullet P)$ 
⟨proof⟩

lemma ex-C5:
  fixes  $x :: ('a \implies '\alpha)$ 
  shows  $(\$x = \$x)_e = (\text{True})_e$ 
⟨proof⟩

lemma ex-C6:
  assumes wb-lens  $x$   $x \bowtie y$   $x \bowtie z$ 
  shows  $(\$y = \$z)_e = (\exists x \bullet \$y = \$x \wedge \$x = \$z)_e$ 
⟨proof⟩

lemma ex-C7:
  assumes weak-lens  $x$   $x \bowtie y$ 
  shows  $((\exists x \bullet \$x = \$y \wedge P) \wedge (\exists x \bullet \$x = \$y \wedge \neg P))_e = (\text{False})_e$ 
⟨proof⟩

end

```

7 Collections

```

theory Collections
  imports Substitutions
begin

```

A lens whose source is a collection type (e.g. a list or map) can be divided into several lenses, corresponding to each of the elements in the collection. This can be used to support update of an individual collection element, such as an array update. Here, we provide the infrastructure to support such collection lenses [2].

7.1 Partial Lens Definedness

Partial lenses (e.g. *mwb-lens*) are only defined for certain states. For example, the list lens is defined only when the source list is of a sufficient length. Below, we define a predicate that characterises the states in which such a lens is defined.

```
definition lens-defined :: ('a ==> 's) => (bool, 's) expr where
[lens-defs]: lens-defined x = ($v ∈ S<<x>>)e
```

```
syntax -lens-defined :: svid => logic (D'(-'))
translations -lens-defined x == CONST lens-defined x
```

```
expr-constructor lens-defined
```

7.2 Dynamic Lenses

Dynamics lenses [2] are used to model elements of a lens indexed by some type ' i '. The index is typically used to select different elements of a collection. The lens is "dynamic" because the particular index is provided by an expression ' $s \Rightarrow i$ ', which can change from state to state. We normally assume that this expression does not refer to the indexed lens itself.

```
definition dyn-lens :: ('i => ('a ==> 's)) => ('s => 'i) => ('a ==> 's) where
[lens-defs]: dyn-lens f x = () lens-get = (λ s. getf(x s) s), lens-put = (λ s v.
putf(x s) s v) ()
```

```
lemma dyn-lens-mwb [simp]: [A i. mwb-lens (f i); A i. $f(i) # e] ==> mwb-lens
(dyn-lens f e)
⟨proof⟩
```

```
lemma ind-lens-vwb [simp]: [A i. vwb-lens (f i); A i. $f(i) # e] ==> vwb-lens
(dyn-lens f e)
⟨proof⟩
```

```
lemma src-dyn-lens: [A i. mwb-lens (f i); A i. $f(i) # e] ==> Sdyn-lens f e = {s.
s ∈ Sf (e s)}
⟨proof⟩
```

```
lemma subst-lookup-dyn-lens [usubst]: [A i. f i ⊳ x] ==> ⟨subst-upd σ (dyn-lens
f k) e⟩s x = ⟨σ⟩s x
⟨proof⟩
```

```
lemma get-upd-dyn-lens [usubst-eval]: [A i. f i ⊳ x] ==> getx (subst-upd σ
(dyn-lens f k) e s) = getx (σ s)
⟨proof⟩
```

7.3 Overloaded Collection Lens

The following polymorphic constant is used to provide implementations of different collection lenses. Type ' k ' is the index into the collection. For the list collection lens, the index has type nat .

```
consts collection-lens :: 'k => ('a ==> 's)
```

```
definition [lens-defs]: fun-collection-lens = fun-lens
```

definition [lens-defs]: *list-collection-lens* = *list-lens*

adhoc-overloading

collection-lens \Rightarrow *fun-collection-lens* and
collection-lens \Rightarrow *list-collection-lens*

lemma *vwb-fun-collection-lens* [simp]: *vwb-lens* (*fun-collection-lens* *k*)
<proof>

lemma *put-fun-collection-lens* [simp]:
put_{fun-collection-lens} *i* = $(\lambda f. \text{fun-upd } f \ i)$
<proof>

lemma *mwb-list-collection-lens* [simp]: *mwb-lens* (*list-collection-lens* *i*)
<proof>

lemma *source-list-collection-lens*: $\mathcal{S}_{\text{list-collection-lens}} \ i = \{xs. \ i < \text{length } xs\}$
<proof>

lemma *put-list-collection-lens* [simp]:
put_{list-collection-lens} *i* = $(\lambda xs. \text{list-augment } xs \ i)$
<proof>

7.4 Syntax for Collection Lenses

We add variable identifier syntax for collection lenses, which allows us to write *x[i]* for some collection and index.

abbreviation *dyn-lens-poly* *f x i* \equiv *dyn-lens* $(\lambda k. \text{ns-alpha } x (f \ k)) \ i$

syntax

-svid-collection :: *svid* \Rightarrow *logic* \Rightarrow *svid* $([-] [999, 0] 999)$

translations

-svid-collection *x e* == *CONST dyn-lens-poly CONST collection-lens x (e)*

lemma *source-ns-alpha*: $\llbracket \text{mwb-lens } a; \text{mwb-lens } x \rrbracket \Rightarrow \mathcal{S}_{\text{ns-alpha}} \ a \ x = \{s \in \mathcal{S}_a. \text{get}_a \ s \in \mathcal{S}_x\}$
<proof>

lemma *defined-vwb-lens* [simp]: *vwb-lens* *x* $\Rightarrow \mathbf{D}(x) = (\text{True})_e$
<proof>

lemma *defined-list-collection-lens* [simp]:
 $\llbracket \text{vwb-lens } x; \$x \ \sharp \ e \rrbracket \Rightarrow \mathbf{D}(x[e]) = (e < \text{length}(\$x))_e$
<proof>

lemma *lens-defined-list-code* [code-unfold]:
vwb-lens *x* \Rightarrow *lens-defined* (*ns-alpha* *x* (*list-collection-lens* *i*)) = ($\langle\langle i \rangle\rangle < \text{length}(\$x)$)_e

$\langle proof \rangle$

The next theorem allows the simplification of a collection lens update.

```
lemma get-subst-upd-dyn-lens [simp]:
  mwb-lens x ==> get_x (subst-upd σ (dyn-lens-poly cl x (e)_e) v s)
    = lens-put (cl (e (σ s))) (get_x (σ s)) (v s)
  ⟨proof⟩
```

end

8 Named Expression Definitions

```
theory Named-Expressions
  imports Expressions
  keywords edefinition expression :: thy-decl-block and over
begin
```

Here, we add a command that allows definition of a named expression. It provides a more concise version of **definition** and inserts the expression brackets.

$\langle ML \rangle$

end

9 Local State

```
theory Local-State
  imports Expressions
begin
```

This theory supports ad-hoc extension of an alphabet type with a tuple of lenses constructed using successive applications of fst_L and snd_L . It effectively allows local variables, since we always add a collection of new variables.

We declare a number of syntax translations to produce lens and product types, to obtain a type for the overall state space, to construct a tuple that denotes the lens vector parameter, to construct the vector itself, and finally to construct the state declaration.

```
syntax
  -lensT :: type ⇒ type ⇒ type (LENSTYPE'(-, -'))
  -pairT :: type ⇒ type ⇒ type (PAIRTYPE'(-, -'))
  -state-type :: pttrn ⇒ type
  -state-tuple :: type ⇒ pttrn ⇒ logic
  -state-lenses :: pttrn ⇒ logic ⇒ logic (localstate (-)/ over (-) [0, 10] 10)
  -lvar-abs :: id ⇒ type ⇒ logic ⇒ logic
```

```

translations
  (type) PAIRTYPE('a, 'b) => (type) 'a × 'b
  (type) LENSTYPE('a, 'b) => (type) 'a ==> 'b

  -state-type (-constrain x t) => t
  -state-type (CONST Product-Type.Pair (-constrain x t) vs) => -pairT t (-state-type
vs)

  -state-tuple st (-constrain x t) => -constrain x (-lensT t st)
  -state-tuple st (CONST Pair (-constrain x t) vs) =>
    CONST Product-Type.Pair (-constrain x (-lensT t st)) (-state-tuple st vs)

⟨ML⟩

term localstate (x::int, y::int) over 1L

end

```

10 Shallow Expressions Meta-Theory

```

theory Shallow-Expressions
  imports
    Variables Expressions Unrestriction Substitutions Extension Liberation Quantifiers Collections
    Named-Expressions Local-State
  begin end

```

11 Expression Test Cases and Examples

```

theory Expressions-Tests
  imports Expressions Named-Expressions
  begin

```

Some examples of lifted expressions follow. For now, we turn off the pretty printer so that we can see the results of the parser.

```
declare [[pretty-print-exprs=false]]
```

```
term (f + g)e — Lift an expression and insert -sexp-pqt for pretty printing
term (f + g)e — Lift an expression and don't insert -sexp-pqt
```

The default behaviour of our parser is to recognise identifiers as expression variables. So, the above expression becomes the term $[\lambda s. f s + g s]_e$. We can easily change this using the attribute *literal-variables*:

```
declare [[literal-variables]]
```

```
term (f + g)e
```

Now, f and g are both parsed as literals, and so the term is $[\lambda s. f + g]_e$. Alternatively, we could have a lens in the expression, by marking a free variable with a dollar :

```
term ($x + g)_e
```

This gives the term $[\lambda s. get_x s + g]_e$. Although we have default behaviours for parsing, we can use different markup to coerce identifiers to particular variable kinds.

```
term ($x + @g)_e
```

This gives $[\lambda s. get_x s + g s]_e$, the we have requested that g is treated as an expression variable. We can do similar with literal, as show below.

```
term (f + «x»)_e
```

Some further examples follow.

```
term («f» (@e))_e
```

```
term (@f + @g)_e
```

```
term (@x)_e
```

```
term ($x:y:z)_e
```

```
term ((x:y):z)_e
```

```
term (x::nat)_e
```

```
term (∀ x::nat. x > 2)_e
```

```
term SEXP(λ s. get_x s + e s + v)
```

```
term (v ∈ $xs ∪ ($f) ys ∪ {} ∧ @e)_e
```

We now turn pretty printing back on, so we can see how the user sees expressions.

```
declare [[pretty-print-exprs, literal-variables=false]]
```

```
term ($x^< = $x^>)_e
```

```
term ($x.1 = $y.2)_e
```

The pretty printer works even when we don't use the parser, as shown below.

```
term [λ s. get_x s + e s + v]_e
```

By default, dollars are printed next to free variables that are lenses. However, we can alter this behaviour with the attribute *mark-state-variables*:

```
declare [[mark-state-variables=false]]
```

```
term ($x + e + v)_e
```

This way, the x variable is indistinguishable when printed from the e and v . Usually, this information can be inferred from the types of the entities:

```
alphabet st =  
  x :: int
```

```
term (x + e + v)_e
```

```
expression x-is-big over st is x > 1000
```

```
term (x-is-big —> x > 0)_e
```

Here, x is a lens defined by the **alphabet** command, and so the lifting translation treats it as a state variable. This is hidden from the user.

```
dataspace testspace =  
  variables z :: int
```

```
declare [[literal-variables]]
```

```
context testspace  
begin
```

```
edefinition z-is-bigger y = (z > y)
```

```
term (z-is-bigger (z + 1))_e
```

```
end
```

```
end
```

12 Examples of Shallow Expressions

```
theory Shallow-Expressions-Examples
```

```
  imports Shallow-Expressions
```

```
  begin
```

12.1 Basic Expressions and Queries

We define some basic variables using the **alphabet** command, process some simple expressions, and then perform some unrestriction queries and substitution transformations.

```
declare [[literal-variables]]
```

```
alphabet st =  
  v1 :: int
```

```

 $v2 :: int$ 
 $v3 :: string$ 

term  $(v1 > a)_e$ 

declare [[pretty-print-exprs=false]]

term  $(v1 > a)_e$ 

declare [[pretty-print-exprs]]

lemma $ $v2 \# (v1 > 5)_e$ 
      ⟨proof⟩

lemma  $(v1 > 5)_e \llbracket v2/v1 \rrbracket = (v2 > 5)_e$ 
      ⟨proof⟩

```

We sometimes would like to define “constructors” for expressions. These are functions that produce expressions, and may also have expressions as arguments. Unlike for other functions, during lifting the state is not passed to the arguments, but is passed to the constructor constant itself. An example is given below:

```

definition  $v1\text{-}greater :: int \Rightarrow (bool, st) \text{expr where}$ 
 $v1\text{-}greater x = (v1 > x)_e$ 

```

expr-constructor $v1\text{-}greater$

Definition $v1\text{-}greater$ is a constructor for an expression, and so it should not be lifted. Therefore we use the command **expr-constructor** to specify this, which modifies the behaviour of the lifting parser, and means that $(v1\text{-}greater 7)_e$ is correctly translated.

If it is desired that one or more of the arguments is an expression, then this can be specified using an optional list of numbers. In the example below, the first argument is an expression.

```

definition  $v1\text{-}greater' :: (int, st) \text{expr} \Rightarrow (bool, st) \text{expr where}$ 
 $v1\text{-}greater' x = (v1 > @x)_e$ 

```

expr-constructor $v1\text{-}greater' (0)$

term $(v1\text{-}greater' (v1 + 1))_e$

We also sometimes wish to have functions that return expressions, whose arguments should be lifted. We can achieve this using the **expr-function** command:

```

definition  $v1\text{-}less :: int \Rightarrow (bool, st) \text{expr where}$ 
 $v1\text{-}less x = (v1 < x)_e$ 

```

expr-function *v1-less*

This means, we can parse terms like $(v1\text{-}less (\$v1 + 1))_e$ – notice that this returns an expression and takes an expression as an input. Alternatively, we can achieve the same effect with the **edefinition** command, which is like **definition**, but uses the expression parse and lifts the arguments as expressions. It is typically used for user-level functions that depend on the state.

edefinition *v1-less'* **where** *v1-less'* *x* = $(v1 < x)$

term $(v1\text{-}less' (v1 + 1))_e$

In addition, we can define an expression using the command below, which automatically performs expression lifting in the defining term. These constants are also set as expression constructors.

expression *v1-is-big* **over** *st* **is** $v1 > 100$

expression *inc-v1* **over** *st* \times *st* **is** $v1^> = v1^< + 1$

Definitional equations for named expressions are collected in the theorem attribute $v1\text{-}less' ?x = (\$v1 < ?x)_e$

$v1\text{-}is\text{-}big = (100 < \$v1)_e$

$inc\text{-}v1 = ((\$v1)^> = (\$v1)^< + 1)_e$.

thm *named-expr-defs*

12.2 Hierarchical State

alphabet *person* =
 name :: *string*
 age :: *nat*

alphabet *company* =
 adam :: *person*
 bella :: *person*
 carol :: *person*

term $(\$adam:age > \$carol:age)_e$

term $(\$adam:name \neq \$bella:name)_e$

12.3 Program Semantics

We give a predicative semantics to a simple imperative programming language with sequence, conditional, and assignment, using lenses and shallow expressions. We then use these definitions to prove some basic laws of programming.

```

declare [[literal-variables=false]]

type-synonym 's prog = 's × 's ⇒ bool

definition seq :: 's prog ⇒ 's prog ⇒ 's prog (infixr ;; 85) where
[expr-defs]: seq P Q = (exists s. P[«s»/v>] ∧ Q[«s»/v<])_e

definition ifthenelse :: (bool, 's) expr ⇒ 's prog ⇒ 's prog ⇒ 's prog where
[expr-defs]: ifthenelse b P Q = (if b< then P else Q)_e

definition assign :: ('a ⇒ 's) ⇒ ('a, 's) expr ⇒ 's prog where
[expr-defs]: assign x e = ($x> = e< ∧ v> ≈x v<)_e

```

syntax

-assign :: svid ⇒ logic ⇒ logic (- ::= - [86, 87] 87)
-*ifthenelse* :: logic ⇒ logic ⇒ logic (IF - THEN - ELSE - [0, 0, 84] 84)

The syntax translations insert the expression brackets, which means the expressions are lifted, without this being visible to the user.

translations

-assign x e == CONST assign x (e)_e
-*ifthenelse* b P Q == CONST ifthenelse (b)_e P Q

lemma seq-assoc: P ;; (Q ;; R) = (P ;; Q) ;; R
⟨proof⟩

lemma ifthenelse-seq-distr: (IF B THEN P ELSE Q) ;; R = IF B THEN P ;; R
ELSE Q ;; R
⟨proof⟩

lemma assign-twice:

assumes mwb-lens x
shows x ::= e ;; x ::= f = x ::= f[e/x]
⟨proof⟩

lemma assign-commute:

assumes mwb-lens x mwb-lens y x ⋙ y \$y # (e)_e \$x # (f)_e
shows (x ::= e ;; y ::= f) = (y ::= f ;; x ::= e)
⟨proof⟩

lemma assign-combine:

assumes mwb-lens x mwb-lens y x ⋙ y \$x # (f)_e
shows (x ::= e ;; y ::= f) = (x, y) ::= (e, f)
⟨proof⟩

Below, we apply the assignment commutativity law in a small example:

declare [[literal-variables]]

lemma assign-commute-example:

```

adam:name ::= "Adam" ;;
bella:name ::= "Bella" =
bella:name ::= "Bella" ;;
adam:name ::= "Adam"
⟨proof⟩

end

```

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