

# Extensions to the Comprehensive Framework for Saturation Theorem Proving

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March 29, 2023

## Abstract

This Isabelle/HOL formalization extends the `Saturation_Framework` entry of the *Archive of Formal Proofs* with the following contributions:

- an application of the framework to prove Bachmair and Ganzinger’s resolution prover RP refutationally complete, which was formalized in a more ad hoc fashion by Schlichtkrull et al. in the *AFP* entry `Ordered_Resultion_Prover`;
- generalizations of various basic concepts formalized by Schlichtkrull et al., which were needed to verify RP and could be useful to formalize other calculi, such as superposition;
- alternative proofs of fairness (and hence saturation and ultimately refutational completeness) for the eager and lazy given clause procedures (GC and LGC) based on invariance.

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## 1 Soundness

```
theory Soundness
  imports Saturation-Framework.Calculus
begin
```

Although consistency-preservation usually suffices, soundness is a more precise concept and is sometimes useful.

```
locale sound-inference-system = inference-system + consequence-relation +
  assumes
    sound:  $\iota \in Inf \implies set (prems-of \iota) \models \{concl-of \iota\}$ 
begin
```

```
lemma Inf-consist-preserving:
  assumes n-cons:  $\neg N \models Bot$ 
  shows  $\neg N \cup concl-of \text{ ` } Inf-from N \models Bot$ 
<proof>
```

end

The limit of a derivation based on a redundancy criterion is satisfiable if and only if the initial set is satisfiable. This material is partly based on Section 4.1 of Bachmair and Ganzinger's *Handbook* chapter, but adapted to the saturation framework of Waldmann et al.

```
context calculus
begin
```

The next three lemmas correspond to Lemma 4.2:

```
lemma Red-F-Sup-subset-Red-F-Liminf:
  chain ( $\triangleright$ ) Ns  $\implies Red-F (Sup-llist Ns) \subseteq Red-F (Liminf-llist Ns)$ 
<proof>
```

```
lemma Red-I-Sup-subset-Red-I-Liminf:
  chain ( $\triangleright$ ) Ns  $\implies Red-I (Sup-llist Ns) \subseteq Red-I (Liminf-llist Ns)$ 
<proof>
```

Proof idea due to Uwe Waldmann:

```
lemma unsat-limit-iff:
  assumes
    chain-red: chain ( $\triangleright$ ) Ns and
    chain-ent: chain ( $\models$ ) Ns
  shows  $Liminf-llist Ns \models Bot \iff lhd Ns \models Bot$ 
<proof>
```

Some easy consequences:

```
lemma Red-F-limit-Sup: chain ( $\triangleright$ ) Ns  $\implies Red-F (Liminf-llist Ns) = Red-F (Sup-llist Ns)$ 
<proof>
```

**lemma** *Red-I-limit-Sup*:  $chain (\triangleright) Ns \implies Red-I (Liminf-llist Ns) = Red-I (Sup-llist Ns)$   
 ⟨proof⟩

end

end

## 2 Counterexample-Reducing Inference Systems and the Standard Redundancy Criterion

**theory** *Standard-Redundancy-Criterion*

**imports**

*Saturation-Framework.Calculus*

*HOL-Library.Multiset-Order*

**begin**

The standard redundancy criterion can be defined uniformly for all inference systems equipped with a compact consequence relation. The essence of the refutational completeness argument can be carried out abstractly for counterexample-reducing inference systems, which enjoy a “smallest counterexample” property. This material is partly based on Section 4.2 of Bachmair and Ganzinger’s *Handbook* chapter, but adapted to the saturation framework of Waldmann et al.

### 2.1 Counterexample-Reducing Inference Systems

**abbreviation** *main-prem-of* :: 'f inference  $\Rightarrow$  'f **where**

*main-prem-of*  $\iota \equiv last (prems-of \iota)$

**abbreviation** *side-prems-of* :: 'f inference  $\Rightarrow$  'f list **where**

*side-prems-of*  $\iota \equiv butlast (prems-of \iota)$

**lemma** *set-prems-of*:

*set (prems-of  $\iota$ ) = (if prems-of  $\iota = []$  then {} else {main-prem-of  $\iota$ }  $\cup$  set (side-prems-of  $\iota$ ))*  
 ⟨proof⟩

**locale** *counterex-reducing-inference-system* = *inference-system Inf* + *consequence-relation*

**for** *Inf* :: 'f inference set +

**fixes**

*I-of* :: 'f set  $\Rightarrow$  'f set **and**

*less* :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (**infix**  $\prec$  50)

**assumes**

*wfP-less*: *wfP* ( $\prec$ ) **and**

*Inf-counterex-reducing*:

$N \cap Bot = \{\} \implies D \in N \implies \neg I-of N \models \{D\} \implies$

$(\bigwedge C. C \in N \implies \neg I-of N \models \{C\} \implies D \prec C \vee D = C) \implies$

$\exists \iota \in Inf. prems-of \iota \neq [] \wedge main-prem-of \iota = D \wedge set (side-prems-of \iota) \subseteq N \wedge$

$I-of N \models set (side-prems-of \iota) \wedge \neg I-of N \models \{concl-of \iota\} \wedge concl-of \iota \prec D$

**begin**

**lemma** *ex-min-counterex*:

**fixes** *N* :: 'f set

**assumes**  $\neg I \models N$

**shows**  $\exists C \in N. \neg I \models \{C\} \wedge (\forall D \in N. D \prec C \longrightarrow I \models \{D\})$   
 ⟨proof⟩

**end**

Theorem 4.4 (generalizes Theorems 3.9 and 3.16):

**locale** *countereax-reducing-inference-system-with-trivial-redundancy* =  
*countereax-reducing-inference-system - - Inf + calculus - Inf - λ-. {} λ-. {}*  
**for** *Inf* :: 'f inference set +  
**assumes** *less-total*:  $\bigwedge C D. C \neq D \implies C \prec D \vee D \prec C$   
**begin**

**theorem** *saturated-model*:

**assumes**  
*satur*: *saturated N* **and**  
*bot-ni-n*:  $N \cap Bot = \{\}$   
**shows** *I-of N*  $\models N$   
 ⟨proof⟩

An abstract version of Corollary 3.10 does not hold without some conditions, according to Nitpick:

**corollary** *saturated-complete*:

**assumes**  
*satur*: *saturated N* **and**  
*unsat*:  $N \models Bot$   
**shows**  $N \cap Bot \neq \{\}$   
 ⟨proof⟩

**end**

## 2.2 Compactness

**locale** *concl-compact-consequence-relation* = *consequence-relation* +  
**assumes**  
*entails-concl-compact*:  $finite\ EE \implies CC \models EE \implies \exists CC' \subseteq CC. finite\ CC' \wedge CC' \models EE$   
**begin**

**lemma** *entails-concl-compact-union*:

**assumes**  
*fin-e*: *finite EE* **and**  
*cd-ent*:  $CC \cup DD \models EE$   
**shows**  $\exists CC' \subseteq CC. finite\ CC' \wedge CC' \cup DD \models EE$   
 ⟨proof⟩

**end**

## 2.3 The Finitary Standard Redundancy Criterion

**locale** *finitary-standard-formula-redundancy* =  
*consequence-relation Bot* ( $\models$ )  
**for**  
*Bot* :: 'f set **and**  
*entails* :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (**infix**  $\models$  50) +  
**fixes**  
*less* :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (**infix**  $\prec$  50)

**assumes**

*transp-less*:  $\text{transp } (\prec)$  **and**  
*wfP-less*:  $\text{wfP } (\prec)$

**begin**

**definition** *Red-F* :: 'f set  $\Rightarrow$  'f set **where**

$\text{Red-F } N = \{C. \exists DD \subseteq N. \text{finite } DD \wedge DD \models \{C\} \wedge (\forall D \in DD. D \prec C)\}$

The following results correspond to Lemma 4.5. The lemma *wlog-non-Red-F* generalizes the core of the argument.

**lemma** *Red-F-of-subset*:  $N \subseteq N' \Longrightarrow \text{Red-F } N \subseteq \text{Red-F } N'$

*<proof>*

**lemma** *wlog-non-Red-F*:

**assumes**

*dd0-fin*:  $\text{finite } DD0$  **and**  
*dd0-sub*:  $DD0 \subseteq N$  **and**  
*dd0-ent*:  $DD0 \cup CC \models \{E\}$  **and**  
*dd0-lt*:  $\forall D' \in DD0. D' \prec D$

**shows**  $\exists DD \subseteq N - \text{Red-F } N. \text{finite } DD \wedge DD \cup CC \models \{E\} \wedge (\forall D' \in DD. D' \prec D)$

*<proof>*

**lemma** *Red-F-imp-ex-non-Red-F*:

**assumes** *c-in*:  $C \in \text{Red-F } N$

**shows**  $\exists CC \subseteq N - \text{Red-F } N. \text{finite } CC \wedge CC \models \{C\} \wedge (\forall C' \in CC. C' \prec C)$

*<proof>*

**lemma** *Red-F-sub-Red-F-diff-Red-F*:  $\text{Red-F } N \subseteq \text{Red-F } (N - \text{Red-F } N)$

*<proof>*

**lemma** *Red-F-eq-Red-F-diff-Red-F*:  $\text{Red-F } N = \text{Red-F } (N - \text{Red-F } N)$

*<proof>*

The following results correspond to Lemma 4.6.

**lemma** *Red-F-of-Red-F-subset*:  $N' \subseteq \text{Red-F } N \Longrightarrow \text{Red-F } N' \subseteq \text{Red-F } (N - N')$

*<proof>*

**lemma** *Red-F-model*:  $M \models N - \text{Red-F } N \Longrightarrow M \models N$

*<proof>*

**lemma** *Red-F-Bot*:  $B \in \text{Bot} \Longrightarrow N \models \{B\} \Longrightarrow N - \text{Red-F } N \models \{B\}$

*<proof>*

**end**

**locale** *calculus-with-finitary-standard-redundancy* =

*inference-system* *Inf* + *finitary-standard-formula-redundancy* *Bot* ( $\models$ ) ( $\prec$ )

**for**

*Inf* :: 'f inference set **and**  
*Bot* :: 'f set **and**  
*entails* :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (**infix**  $\models$  50) **and**  
*less* :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (**infix**  $\prec$  50) +

**assumes**

*Inf-has-prem*:  $\iota \in \text{Inf} \Longrightarrow \text{prems-of } \iota \neq []$  **and**  
*Inf-reductive*:  $\iota \in \text{Inf} \Longrightarrow \text{concl-of } \iota \prec \text{main-prem-of } \iota$

**begin**

**definition** *redundant-infer* :: 'f set  $\Rightarrow$  'f inference  $\Rightarrow$  bool **where**

*redundant-infer*  $N \iota \longleftrightarrow$   
( $\exists DD \subseteq N$ . finite  $DD \wedge DD \cup \text{set}(\text{side-prems-of } \iota) \models \{\text{concl-of } \iota\} \wedge (\forall D \in DD. D \prec \text{main-prem-of } \iota)$ )

**definition** *Red-I* :: 'f set  $\Rightarrow$  'f inference set **where**

*Red-I*  $N = \{\iota \in \text{Inf. } \text{redundant-infer } N \iota\}$

The following results correspond to Lemma 4.6. It also uses *wlog-non-Red-F*.

**lemma** *Red-I-of-subset*:  $N \subseteq N' \Longrightarrow \text{Red-I } N \subseteq \text{Red-I } N'$

*<proof>*

**lemma** *Red-I-sub-Red-I-diff-Red-F*:  $\text{Red-I } N \subseteq \text{Red-I } (N - \text{Red-F } N)$

*<proof>*

**lemma** *Red-I-eq-Red-I-diff-Red-F*:  $\text{Red-I } N = \text{Red-I } (N - \text{Red-F } N)$

*<proof>*

**lemma** *Red-I-to-Inf*:  $\text{Red-I } N \subseteq \text{Inf}$

*<proof>*

**lemma** *Red-I-of-Red-F-subset*:  $N' \subseteq \text{Red-F } N \Longrightarrow \text{Red-I } N \subseteq \text{Red-I } (N - N')$

*<proof>*

**lemma** *Red-I-of-Inf-to-N*:

**assumes**

*in- $\iota$* :  $\iota \in \text{Inf}$  **and**

*concl-in*: *concl-of*  $\iota \in N$

**shows**  $\iota \in \text{Red-I } N$

*<proof>*

The following corresponds to Theorems 4.7 and 4.8:

**sublocale** *calculus Bot Inf* ( $\models$ ) *Red-I Red-F*

*<proof>*

**end**

## 2.4 The Standard Redundancy Criterion

**locale** *standard-formula-redundancy* =

*concl-compact-consequence-relation Bot* ( $\models$ )

**for**

*Bot* :: 'f set **and**

*entails* :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (**infix**  $\models$  50) +

**fixes**

*less* :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (**infix**  $\prec$  50)

**assumes**

*transp-less*: *transp* ( $\prec$ ) **and**

*wfP-less*: *wfP* ( $\prec$ )

**begin**

**definition** *Red-F* :: 'f set  $\Rightarrow$  'f set **where**

*Red-F*  $N = \{C. \exists DD \subseteq N. DD \models \{C\} \wedge (\forall D \in DD. D \prec C)\}$

Compactness of  $(\models)$  implies that *Red-F* is equivalent to its finitary counterpart.

**interpretation** *fin-std-red-F*: *finitary-standard-formula-redundancy Bot*  $(\models)$   $(\prec)$   
 $\langle proof \rangle$

**lemma** *Red-F-conv*:  $Red-F = fin-std-red-F.Red-F$   
 $\langle proof \rangle$

The results from *finitary-standard-formula-redundancy* can now be lifted.

The following results correspond to Lemma 4.5.

**lemma** *Red-F-of-subset*:  $N \subseteq N' \implies Red-F N \subseteq Red-F N'$   
 $\langle proof \rangle$

**lemma** *Red-F-imp-ex-non-Red-F*:  $C \in Red-F N \implies \exists CC \subseteq N - Red-F N. CC \models \{C\} \wedge (\forall C' \in CC. C' \prec C)$   
 $\langle proof \rangle$

**lemma** *Red-F-sub-Red-F-diff-Red-F*:  $Red-F N \subseteq Red-F (N - Red-F N)$   
 $\langle proof \rangle$

**lemma** *Red-F-eq-Red-F-diff-Red-F*:  $Red-F N = Red-F (N - Red-F N)$   
 $\langle proof \rangle$

The following results correspond to Lemma 4.6.

**lemma** *Red-F-of-Red-F-subset*:  $N' \subseteq Red-F N \implies Red-F N \subseteq Red-F (N - N')$   
 $\langle proof \rangle$

**lemma** *Red-F-model*:  $M \models N - Red-F N \implies M \models N$   
 $\langle proof \rangle$

**lemma** *Red-F-Bot*:  $B \in Bot \implies N \models \{B\} \implies N - Red-F N \models \{B\}$   
 $\langle proof \rangle$

**end**

**locale** *calculus-with-standard-redundancy* =  
*inference-system Inf* + *standard-formula-redundancy Bot*  $(\models)$   $(\prec)$

**for**

*Inf* :: 'f inference set **and**

*Bot* :: 'f set **and**

*entails* :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (**infix**  $\models$  50) **and**

*less* :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (**infix**  $\prec$  50) +

**assumes**

*Inf-has-prem*:  $\iota \in Inf \implies prems-of \iota \neq []$  **and**

*Inf-reductive*:  $\iota \in Inf \implies concl-of \iota \prec main-prem-of \iota$

**begin**

**definition** *redundant-infer* :: 'f set  $\Rightarrow$  'f inference  $\Rightarrow$  bool **where**

*redundant-infer*  $N \iota \longleftrightarrow$

$(\exists DD \subseteq N. DD \cup set (side-prems-of \iota) \models \{concl-of \iota\} \wedge (\forall D \in DD. D \prec main-prem-of \iota))$

**definition** *Red-I* :: 'f set  $\Rightarrow$  'f inference set **where**

*Red-I*  $N = \{\iota \in Inf. redundant-infer N \iota\}$

Compactness of  $(\models)$  implies that *Red-I* is equivalent to its finitary counterpart.

**interpretation** *fin-std-red*: *calculus-with-finitary-standard-redundancy* *Inf Bot* ( $\models$ )  
 ⟨*proof*⟩

**lemma** *redundant-infer-conv*: *redundant-infer* = *fin-std-red.redundant-infer*  
 ⟨*proof*⟩

**lemma** *Red-I-conv*: *Red-I* = *fin-std-red.Red-I*  
 ⟨*proof*⟩

The results from *calculus-with-finitary-standard-redundancy* can now be lifted.  
 The following results correspond to Lemma 4.6.

**lemma** *Red-I-of-subset*:  $N \subseteq N' \implies \text{Red-I } N \subseteq \text{Red-I } N'$   
 ⟨*proof*⟩

**lemma** *Red-I-sub-Red-I-diff-Red-F*:  $\text{Red-I } N \subseteq \text{Red-I } (N - \text{Red-F } N)$   
 ⟨*proof*⟩

**lemma** *Red-I-eq-Red-I-diff-Red-F*:  $\text{Red-I } N = \text{Red-I } (N - \text{Red-F } N)$   
 ⟨*proof*⟩

**lemma** *Red-I-to-Inf*:  $\text{Red-I } N \subseteq \text{Inf}$   
 ⟨*proof*⟩

**lemma** *Red-I-of-Red-F-subset*:  $N' \subseteq \text{Red-F } N \implies \text{Red-I } N \subseteq \text{Red-I } (N - N')$   
 ⟨*proof*⟩

**lemma** *Red-I-of-Inf-to-N*:  
 $\iota \in \text{Inf} \implies \text{concl-of } \iota \in N \implies \iota \in \text{Red-I } N$   
 ⟨*proof*⟩

The following corresponds to Theorems 4.7 and 4.8:

**sublocale** *calculus Bot Inf* ( $\models$ ) *Red-I Red-F*  
 ⟨*proof*⟩

**end**

## 2.5 Refutational Completeness

**locale** *calculus-with-standard-inference-redundancy* = *calculus Bot Inf* ( $\models$ ) *Red-I Red-F*  
**for** *Bot* :: '*f* set and *Inf* and entails (infix  $\models$  50) and *Red-I* and *Red-F* +  
**fixes**  
*less* :: '*f*  $\implies$  '*f*  $\implies$  bool (infix  $\prec$  50)  
**assumes**  
*Inf-has-prem*:  $\iota \in \text{Inf} \implies \text{prems-of } \iota \neq []$  and  
*Red-I-imp-redundant-infer*:  $\iota \in \text{Red-I } N \implies$   
 $(\exists DD \subseteq N. DD \cup \text{set } (\text{side-prems-of } \iota) \models \{\text{concl-of } \iota\} \wedge (\forall C \in DD. C \prec \text{main-prem-of } \iota))$

**sublocale** *calculus-with-finitary-standard-redundancy*  $\subseteq$   
*calculus-with-standard-inference-redundancy Bot Inf* ( $\models$ ) *Red-I Red-F*  
 ⟨*proof*⟩

**sublocale** *calculus-with-standard-redundancy*  $\subseteq$   
*calculus-with-standard-inference-redundancy Bot Inf* ( $\models$ ) *Red-I Red-F*  
 ⟨*proof*⟩



```

locale counterex-reducing-calculus-with-standard-inference-redundancy =
  calculus-with-standard-inference-redundancy Bot Inf ( $\models$ ) Red-I Red-F ( $\prec$ ) +
  counterex-reducing-inference-system Bot ( $\models$ ) Inf I-of ( $\prec$ )
for
  Bot :: 'f set and
  Inf :: 'f inference set and
  entails :: 'f set  $\Rightarrow$  'f set  $\Rightarrow$  bool (infix  $\models$  50) and
  Red-I :: 'f set  $\Rightarrow$  'f inference set and
  Red-F :: 'f set  $\Rightarrow$  'f set and
  I-of :: 'f set  $\Rightarrow$  'f set and
  less :: 'f  $\Rightarrow$  'f  $\Rightarrow$  bool (infix  $\prec$  50) +
assumes less-total:  $\bigwedge C D. C \neq D \Rightarrow C \prec D \vee D \prec C$ 
begin

```

The following result loosely corresponds to Theorem 4.9.

**lemma** *saturated-model*:

```

assumes
  satur: saturated N and
  bot-ni-n:  $N \cap Bot = \{\}$ 
shows I-of N  $\models$  N
<proof>

```

A more faithful abstract version of Theorem 4.9 does not hold without some conditions, according to Nitpick:

**corollary** *saturated-complete*:

```

assumes
  satur: saturated N and
  unsat:  $N \models Bot$ 
shows  $N \cap Bot \neq \{\}$ 
<proof>

```

**end**

**end**

### 3 Clausal Calculi

**theory** *Clausal-Calculus*

```

imports
  Ordered-Resolution-Prover.Unordered-Ground-Resolution
  Soundness
  Standard-Redundancy-Criterion

```

**begin**

Various results about consequence relations, counterexample-reducing inference systems, and the standard redundancy criteria are specialized and customized for clauses as opposed to arbitrary formulas.

#### 3.1 Setup

To avoid confusion, we use the symbol  $\models$  (with or without subscripts) for the “models” and entailment relations on clauses and  $\models$  for the abstract concept of consequence.

**abbreviation** *true-lit-thick* :: 'a interp  $\Rightarrow$  'a literal  $\Rightarrow$  bool (**infix**  $\models$ l 50) **where**

$I \models_l L \equiv I \models L$

**abbreviation** *true-cls-thick* :: 'a interp  $\Rightarrow$  'a clause  $\Rightarrow$  bool (**infix**  $\models$  50) **where**

$I \models C \equiv I \models_l C$

**abbreviation** *true-cls-thick* :: 'a interp  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\models_s$  50) **where**

$I \models_s C \equiv I \models C$

**abbreviation** *true-cls-mset-thick* :: 'a interp  $\Rightarrow$  'a clause multiset  $\Rightarrow$  bool (**infix**  $\models_m$  50) **where**

$I \models_m C \equiv I \models C$

**no-notation** *true-lit* (**infix**  $\models_l$  50)

**no-notation** *true-cls* (**infix**  $\models$  50)

**no-notation** *true-cls* (**infix**  $\models_s$  50)

**no-notation** *true-cls-mset* (**infix**  $\models_m$  50)

### 3.2 Consequence Relation

**abbreviation** *entails-cls* :: 'a clause set  $\Rightarrow$  'a clause set  $\Rightarrow$  bool (**infix**  $\models_e$  50) **where**

$N1 \models_e N2 \equiv \forall I. I \models_s N1 \longrightarrow I \models_s N2$

**lemma** *entails-iff-unsatisfiable-single*:

$CC \models_e \{E\} \longleftrightarrow \neg \text{satisfiable} (CC \cup \{\{\#- L\# \mid L. L \in \# E\}\})$  (**is**  $- \longleftrightarrow - (- \cup ?NegD)$ )  
 $\langle \text{proof} \rangle$

**lemma** *entails-iff-unsatisfiable*:

$CC \models_e EE \longleftrightarrow (\forall E \in EE. \neg \text{satisfiable} (CC \cup \{\{\#- L\# \mid L. L \in \# E\}\}))$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**interpretation** *consequence-relation*  $\{\{\#\}\}$  ( $\models_e$ )

$\langle \text{proof} \rangle$

**interpretation** *concl-compact-consequence-relation*  $\{\{\#\}\}$  :: ('a :: wellorder) clause set ( $\models_e$ )

$\langle \text{proof} \rangle$

### 3.3 Counterexample-Reducing Inference Systems

**definition** *cls-of-interp* :: 'a set  $\Rightarrow$  'a literal multiset set **where**

*cls-of-interp*  $I = \{\{\#\}(\text{if } A \in I \text{ then Pos else Neg}) A\# \mid A. \text{True}\}$

**lemma** *true-cls-of-interp-iff-equal[simp]*:  $J \models_s \text{cls-of-interp } I \longleftrightarrow J = I$

$\langle \text{proof} \rangle$

**lemma** *entails-iff-models[simp]*:  $\text{cls-of-interp } I \models_e CC \longleftrightarrow I \models_s CC$

$\langle \text{proof} \rangle$

**locale** *clausal-counterex-reducing-inference-system* = *inference-system* *Inf*

**for** *Inf* :: ('a :: wellorder) clause inference set +

**fixes** *J-of* :: 'a clause set  $\Rightarrow$  'a interp

**assumes** *clausal-Inf-counterex-reducing*:

$\{\#\} \notin N \Longrightarrow D \in N \Longrightarrow \neg J\text{-of } N \models D \Longrightarrow (\bigwedge C. C \in N \Longrightarrow \neg J\text{-of } N \models C \Longrightarrow D \leq C) \Longrightarrow$   
 $\exists \iota \in \text{Inf}. \text{prems-of } \iota \neq [] \wedge \text{main-prem-of } \iota = D \wedge \text{set}(\text{side-prems-of } \iota) \subseteq N \wedge$   
 $J\text{-of } N \models_s \text{set}(\text{side-prems-of } \iota) \wedge \neg J\text{-of } N \models \text{concl-of } \iota \wedge \text{concl-of } \iota < D$

**begin**

**abbreviation** *I-of* :: 'a clause set  $\Rightarrow$  'a clause set **where**

$I\text{-of } N \equiv \text{cls-of-interp } (J\text{-of } N)$

**lemma** *Inf-counterex-reducing*:

**assumes**

*bot-ni-n*:  $N \cap \{\#\} = \{\}$  **and**

*d-in-n*:  $D \in N$  **and**

*n-ent-d*:  $\neg I\text{-of } N \models_e \{D\}$  **and**

*d-min*:  $\bigwedge C. C \in N \implies \neg I\text{-of } N \models_e \{C\} \implies D \leq C$

**shows**  $\exists \iota \in \text{Inf}. \text{prems-of } \iota \neq [] \wedge \text{main-prem-of } \iota = D \wedge \text{set } (\text{side-prems-of } \iota) \subseteq N$

$\wedge I\text{-of } N \models_e \text{set } (\text{side-prems-of } \iota) \wedge \neg I\text{-of } N \models_e \{\text{concl-of } \iota\} \wedge \text{concl-of } \iota < D$

*<proof>*

**sublocale** *counterex-reducing-inference-system*  $\{\#\}$  ( $\models_e$ ) *Inf I-of*

*<* ::  $'a \text{ clause} \implies 'a \text{ clause} \implies \text{bool}$

*<proof>*

**end**

### 3.4 Counterexample-Reducing Calculi Equipped with a Standard Redundancy Criterion

**locale** *clausal-counterex-reducing-calculus-with-standard-redundancy* =

*calculus-with-standard-redundancy Inf*  $\{\#\}$  ( $\models_e$ ) *<* ::  $'a \text{ clause} \implies 'a \text{ clause} \implies \text{bool}$  +

*clausal-counterex-reducing-inference-system Inf J-of*

**for**

*Inf* ::  $'a :: \text{wellorder}$  *clause inference set* **and**

*J-of* ::  $'a \text{ clause set} \implies 'a \text{ set}$

**begin**

**sublocale** *counterex-reducing-calculus-with-standard-inference-redundancy*  $\{\#\}$  *Inf* ( $\models_e$ ) *Red-I*

*Red-F I-of* *<* ::  $'a \text{ clause} \implies 'a \text{ clause} \implies \text{bool}$

*<proof>*

**lemma** *clausal-saturated-model*:  $\text{saturated } N \implies \{\#\} \notin N \implies J\text{-of } N \models_s N$

*<proof>*

**corollary** *clausal-saturated-complete*:  $\text{saturated } N \implies (\forall I. \neg I \models_s N) \implies \{\#\} \in N$

*<proof>*

**end**

**end**

## 4 Application of the Saturation Framework to Bachmair and Ganzinger's RP

**theory** *FO-Ordered-Resolution-Prover-Revisited*

**imports**

*Ordered-Resolution-Prover.FO-Ordered-Resolution-Prover*

*Saturation-Framework.Given-Clause-Architectures*

*Clausal-Calculus*

*Soundness*

**begin**

The main results about Bachmair and Ganzinger's RP prover, as established in Section 4.3

of their *Handbook* chapter and formalized by Schlichtkrull et al., are re-proved here using the saturation framework of Waldmann et al.

## 4.1 Setup

**no-notation** *true-lit* (**infix**  $\models_l$  50)  
**no-notation** *true-cls* (**infix**  $\models$  50)  
**no-notation** *true-cls* (**infix**  $\models_s$  50)  
**no-notation** *true-cls-mset* (**infix**  $\models_m$  50)

**hide-type** (**open**) *Inference-System.inference*

**hide-const** (**open**) *Inference-System.Infer Inference-System.main-prem-of*  
*Inference-System.side-prems-of Inference-System.prem-s-of Inference-System.concl-of*  
*Inference-System.concls-of Inference-System.infer-from*

**type-synonym** 'a *old-inference* = 'a *Inference-System.inference*

**abbreviation** *old-Infer*  $\equiv$  *Inference-System.Infer*  
**abbreviation** *old-side-prems-of*  $\equiv$  *Inference-System.side-prems-of*  
**abbreviation** *old-main-prem-of*  $\equiv$  *Inference-System.main-prem-of*  
**abbreviation** *old-concl-of*  $\equiv$  *Inference-System.concl-of*  
**abbreviation** *old-prems-of*  $\equiv$  *Inference-System.prem-s-of*  
**abbreviation** *old-concls-of*  $\equiv$  *Inference-System.concls-of*  
**abbreviation** *old-infer-from*  $\equiv$  *Inference-System.infer-from*

**lemmas** *old-infer-from-def* = *Inference-System.infer-from-def*

## 4.2 Library

**lemma** *set-zip-replicate-right[simp]*:  
*set (zip xs (replicate (length xs) y)) = ( $\lambda x. (x, y)$ ) ' set xs*  
*<proof>*

## 4.3 Ground Layer

**context** *FO-resolution-prover*  
**begin**

**no-notation** *RP* (**infix**  $\rightsquigarrow$  50)  
**notation** *RP* (**infix**  $\rightsquigarrow_{RP}$  50)

**interpretation** *gr*: *ground-resolution-with-selection S-M S M*  
*<proof>*

**definition** *G-Inf* :: 'a *clause set*  $\Rightarrow$  'a *clause inference set* **where**  
*G-Inf M = {Infer (CAs @ [DA]) E | CAs DA AAs As E. gr.ord-resolve M CAs DA AAs As E}*

**lemma** *G-Inf-have-prems*:  $\iota \in G-Inf M \Longrightarrow$  *prems-of*  $\iota \neq []$   
*<proof>*

**lemma** *G-Inf-reductive*:  $\iota \in G-Inf M \Longrightarrow$  *concl-of*  $\iota <$  *main-prem-of*  $\iota$   
*<proof>*

**interpretation** *G*: *sound-inference-system G-Inf M {{#}} ( $\models_e$ )*

⟨proof⟩

**interpretation**  $G$ : clausal-counterex-reducing-inference-system  $G\text{-Inf } M \text{ gr.INTERP } M$   
⟨proof⟩

**interpretation**  $G$ : clausal-counterex-reducing-calculus-with-standard-redundancy  $G\text{-Inf } M$   
 $\text{gr.INTERP } M$   
⟨proof⟩

**interpretation**  $G$ : statically-complete-calculus  $\{\{\#\}\}$   $G\text{-Inf } M (\models_e) G\text{-Red-I } M G\text{-Red-F}$   
⟨proof⟩

## 4.4 First-Order Layer

**abbreviation**  $\mathcal{G}\text{-F} :: \langle 'a \text{ clause} \Rightarrow 'a \text{ clause set} \rangle$  **where**  
 $\langle \mathcal{G}\text{-F} \equiv \text{grounding-of-cls} \rangle$

**abbreviation**  $\mathcal{G}\text{-Fset} :: \langle 'a \text{ clause set} \Rightarrow 'a \text{ clause set} \rangle$  **where**  
 $\langle \mathcal{G}\text{-Fset} \equiv \text{grounding-of-clss} \rangle$

**lemmas**  $\mathcal{G}\text{-F-def} = \text{grounding-of-cls-def}$

**lemmas**  $\mathcal{G}\text{-Fset-def} = \text{grounding-of-clss-def}$

**definition**  $\mathcal{G}\text{-I} :: \langle 'a \text{ clause set} \Rightarrow 'a \text{ clause inference} \Rightarrow 'a \text{ clause inference set} \rangle$  **where**  
 $\langle \mathcal{G}\text{-I } M \ \iota = \{ \text{Infer } (\text{prems-of } \iota \cdot \text{cl } \varrho\text{s}) (\text{concl-of } \iota \cdot \varrho) \mid \varrho \ \varrho\text{s}. \text{is-ground-subst-list } \varrho\text{s} \wedge \text{is-ground-subst } \varrho$   
 $\wedge \text{Infer } (\text{prems-of } \iota \cdot \text{cl } \varrho\text{s}) (\text{concl-of } \iota \cdot \varrho) \in G\text{-Inf } M \} \rangle$

**abbreviation**

$\mathcal{G}\text{-I-opt} :: \langle 'a \text{ clause set} \Rightarrow 'a \text{ clause inference} \Rightarrow 'a \text{ clause inference set option} \rangle$

**where**

$\langle \mathcal{G}\text{-I-opt } M \ \iota \equiv \text{Some } (G\text{-I } M \ \iota) \rangle$

**definition**  $F\text{-Inf} :: 'a \text{ clause inference set}$  **where**

$F\text{-Inf} = \{ \text{Infer } (CAs @ [DA]) E \mid CAs \ DA \ AAs \ As \ \sigma \ E. \text{ord-resolve-rewrite } S \ CAs \ DA \ AAs \ As \ \sigma \ E \}$

**lemma**  $F\text{-Inf-have-prems}$ :  $\iota \in F\text{-Inf} \implies \text{prems-of } \iota \neq []$   
⟨proof⟩

**interpretation**  $F$ : lifting-intersection  $F\text{-Inf} \{\{\#\}\}$   $UNIV \ G\text{-Inf } \lambda N. (\models_e) \ G\text{-Red-I } \lambda N. \ G\text{-Red-F}$   
 $\{\{\#\}\} \ \lambda N. \ \mathcal{G}\text{-F } \mathcal{G}\text{-I-opt } \lambda D \ C \ C'. \text{False}$   
⟨proof⟩

**notation**  $F.\text{entails-}\mathcal{G}$  (infix  $\models_{\mathcal{G}} \ 50$ )

**lemma**  $F\text{-entails-}\mathcal{G}\text{-iff}$ :  $N1 \models_{\mathcal{G}} N2 \iff \bigcup (G\text{-F } ' N1) \models_e \bigcup (G\text{-F } ' N2)$   
⟨proof⟩

**lemma**  $\text{true-Union-grounding-of-cls-iff}$ :

$I \models_s (\bigcup C \in N. \{ C \cdot \sigma \mid \sigma. \text{is-ground-subst } \sigma \}) \iff (\forall \sigma. \text{is-ground-subst } \sigma \implies I \models_s N \cdot \text{cs } \sigma)$   
⟨proof⟩

**interpretation**  $F$ : sound-inference-system  $F\text{-Inf} \{\{\#\}\} (\models_{\mathcal{G}})$   
⟨proof⟩

**lemma**  $G\text{-Inf-overapprox-F-Inf}$ :  $\iota_0 \in G\text{-Inf-from } M (\bigcup (G\text{-F } ' M)) \implies \exists \iota \in F\text{-Inf-from } M. \iota_0 \in \mathcal{G}\text{-I}$

$M \iota$   
 $\langle \text{proof} \rangle$

**interpretation**  $F$ : *statically-complete-calculus*  $\{\{\#\}\}$   $F\text{-Inf}$  ( $\models_{\mathcal{G}e}$ )  $F\text{-Red-I-}\mathcal{G}$   $F\text{-Red-F-}\mathcal{G}\text{-empty}$   
 $\langle \text{proof} \rangle$

## 4.5 Labeled First-Order or Given Clause Layer

**datatype**  $\text{label} = \text{New} \mid \text{Processed} \mid \text{Old}$

**abbreviation**  $F\text{-Equiv} :: 'a \text{ clause} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool}$  (**infix**  $\doteq$  50) **where**  
 $C \doteq D \equiv \text{generalizes } C D \wedge \text{generalizes } D C$

**abbreviation**  $F\text{-Prec} :: 'a \text{ clause} \Rightarrow 'a \text{ clause} \Rightarrow \text{bool}$  (**infix**  $\prec$  50) **where**  
 $C \prec D \equiv \text{strictly-generalizes } C D$

**fun**  $L\text{-Prec} :: \text{label} \Rightarrow \text{label} \Rightarrow \text{bool}$  (**infix**  $\sqsubset$  50) **where**  
 $\text{Old} \sqsubset l \longleftrightarrow l \neq \text{Old}$   
 $\mid \text{Processed} \sqsubset l \longleftrightarrow l = \text{New}$   
 $\mid \text{New} \sqsubset l \longleftrightarrow \text{False}$

**lemma**  $\text{irrefl-}L\text{-Prec}: \neg l \sqsubset l$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{trans-}L\text{-Prec}: l1 \sqsubset l2 \Longrightarrow l2 \sqsubset l3 \Longrightarrow l1 \sqsubset l3$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{wf-}L\text{-Prec}: \text{wfP } (\sqsubset)$   
 $\langle \text{proof} \rangle$

**interpretation**  $FL$ : *given-clause*  $\{\{\#\}\}$   $F\text{-Inf}$   $\{\{\#\}\}$   $UNIV \lambda N. (\models_e)$   $G\text{-Inf}$   $G\text{-Red-I}$   
 $\lambda N. G\text{-Red-F}$   $\lambda N. \mathcal{G}\text{-F}$   $\mathcal{G}\text{-I-opt}$  ( $\doteq$ ) ( $\prec$ ) ( $\sqsubset$ )  $\text{Old}$   
 $\langle \text{proof} \rangle$

**notation**  $FL\text{-Prec-}FL$  (**infix**  $\sqsubset$  50)  
**notation**  $FL\text{-entails-}\mathcal{G}\text{-L}$  (**infix**  $\models_{\mathcal{G}Le}$  50)  
**notation**  $FL\text{-derive}$  (**infix**  $\triangleright_L$  50)  
**notation**  $FL\text{-step}$  (**infix**  $\sim_{GC}$  50)

**lemma**  $FL\text{-Red-F-eq}$ :  
 $FL\text{-Red-F } N =$   
 $\{C. \forall D \in \mathcal{G}\text{-F } (fst C). D \in G\text{-Red-F } (\bigcup (\mathcal{G}\text{-F } 'fst' 'N)) \vee (\exists E \in N. E \sqsubset C \wedge D \in \mathcal{G}\text{-F } (fst E))\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mem-}FL\text{-Red-F-because-}G\text{-Red-F}$ :  
 $(\forall D \in \mathcal{G}\text{-F } (fst Cl). D \in G\text{-Red-F } (\bigcup (\mathcal{G}\text{-F } 'fst' 'N))) \Longrightarrow Cl \in FL\text{-Red-F } N$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mem-}FL\text{-Red-F-because-}Prec\text{-}FL$ :  
 $(\forall D \in \mathcal{G}\text{-F } (fst Cl). \exists El \in N. El \sqsubset Cl \wedge D \in \mathcal{G}\text{-F } (fst El)) \Longrightarrow Cl \in FL\text{-Red-F } N$   
 $\langle \text{proof} \rangle$

## 4.6 Resolution Prover Layer

**interpretation**  $sq$ : *selection*  $S\text{-Q}$   $Sts$   
 $\langle \text{proof} \rangle$

**interpretation** *gd*: *ground-resolution-with-selection S-Q Sts*  
 ⟨proof⟩

**interpretation** *src*: *standard-redundancy-criterion-counterex-reducing gd.ord-Γ Sts*  
*ground-resolution-with-selection.INTERP (S-Q Sts)*  
 ⟨proof⟩

**definition** *lclss-of-state* :: 'a state  $\Rightarrow$  ('a clause  $\times$  label) set **where**  
*lclss-of-state* St =  
 ( $\lambda C. (C, \text{New})$ ) ' N-of-state St  $\cup$  ( $\lambda C. (C, \text{Processed})$ ) ' P-of-state St  
 $\cup$  ( $\lambda C. (C, \text{Old})$ ) ' Q-of-state St

**lemma** *image-hd-lclss-of-state[simp]*: *fst ' lclss-of-state St = clss-of-state St*  
 ⟨proof⟩

**lemma** *insert-lclss-of-state[simp]*:  
*insert (C, New) (lclss-of-state (N, P, Q)) = lclss-of-state (N  $\cup$  {C}, P, Q)*  
*insert (C, Processed) (lclss-of-state (N, P, Q)) = lclss-of-state (N, P  $\cup$  {C}, Q)*  
*insert (C, Old) (lclss-of-state (N, P, Q)) = lclss-of-state (N, P, Q  $\cup$  {C})*  
 ⟨proof⟩

**lemma** *union-lclss-of-state[simp]*:  
*lclss-of-state (N1, P1, Q1)  $\cup$  lclss-of-state (N2, P2, Q2) =*  
*lclss-of-state (N1  $\cup$  N2, P1  $\cup$  P2, Q1  $\cup$  Q2)*  
 ⟨proof⟩

**lemma** *mem-lclss-of-state[simp]*:  
*(C, New)  $\in$  lclss-of-state (N, P, Q)  $\iff$  C  $\in$  N*  
*(C, Processed)  $\in$  lclss-of-state (N, P, Q)  $\iff$  C  $\in$  P*  
*(C, Old)  $\in$  lclss-of-state (N, P, Q)  $\iff$  C  $\in$  Q*  
 ⟨proof⟩

**lemma** *lclss-Liminf-commute*:  
*Liminf-list (lmap lclss-of-state Sts) = lclss-of-state (Liminf-state Sts)*  
 ⟨proof⟩

**lemma** *GC-tautology-step*:  
**assumes** *tauto*: *Neg A  $\in$  # C Pos A  $\in$  # C*  
**shows** *lclss-of-state (N  $\cup$  {C}, P, Q)  $\rightsquigarrow$  GC lclss-of-state (N, P, Q)*  
 ⟨proof⟩

**lemma** *GC-subsumption-step*:  
**assumes**  
*d-in*: *Dl  $\in$  N* **and**  
*d-sub-c*: *strictly-subsumes (fst Dl) (fst Cl)  $\vee$  subsumes (fst Dl) (fst Cl)  $\wedge$  snd Dl  $\sqsubseteq$  l snd Cl*  
**shows** *N  $\cup$  {Cl}  $\rightsquigarrow$  GC N*  
 ⟨proof⟩

**lemma** *GC-reduction-step*:  
**assumes**  
*young*: *snd Dl  $\neq$  Old* **and**  
*d-sub-c*: *fst Dl  $\subset$  # fst Cl*  
**shows** *N  $\cup$  {Cl}  $\rightsquigarrow$  GC N  $\cup$  {Dl}*  
 ⟨proof⟩

**lemma** *GC-processing-step*:  $N \cup \{(C, \text{New})\} \rightsquigarrow_{GC} N \cup \{(C, \text{Processed})\}$   
 ⟨proof⟩

**lemma** *old-inferences-between-eq-new-inferences-between*:  
 old-concl-of ‘inference-system.inferences-between (ord-FO- $\Gamma$  S) N C =  
 concl-of ‘F.Inf-between N {C} (is ?rp = ?f)  
 ⟨proof⟩

**lemma** *GC-inference-step*:  
**assumes**  
 young:  $l \neq \text{Old}$  **and**  
 no-active:  $FL.\text{active-subset } M = \{\}$  **and**  
 m-sup:  $\text{fst } M \supseteq \text{old-concl-of } \text{inference-system.inferences-between (ord-FO-}\Gamma \text{ S)}$   
 ( $\text{fst } FL.\text{active-subset } N$ ) C  
**shows**  $N \cup \{(C, l)\} \rightsquigarrow_{GC} N \cup \{(C, \text{Old})\} \cup M$   
 ⟨proof⟩

**lemma** *RP-step-imp-GC-step*:  $St \rightsquigarrow_{RP} St' \implies \text{lclss-of-state } St \rightsquigarrow_{GC} \text{lclss-of-state } St'$   
 ⟨proof⟩

**lemma** *RP-derivation-imp-GC-derivation*:  $\text{chain } (\rightsquigarrow_{RP}) \text{ Sts} \implies \text{chain } (\rightsquigarrow_{GC}) (\text{lmap lclss-of-state Sts})$   
 ⟨proof⟩

**lemma** *RP-step-imp-derive-step*:  $St \rightsquigarrow_{RP} St' \implies \text{lclss-of-state } St \triangleright_L \text{lclss-of-state } St'$   
 ⟨proof⟩

**lemma** *RP-derivation-imp-derive-derivation*:  
 $\text{chain } (\rightsquigarrow_{RP}) \text{ Sts} \implies \text{chain } (\triangleright_L) (\text{lmap lclss-of-state Sts})$   
 ⟨proof⟩

**theorem** *RP-sound-new-statement*:  
**assumes**  
 deriv:  $\text{chain } (\rightsquigarrow_{RP}) \text{ Sts}$  **and**  
 bot-in:  $\{\#\} \in \text{clss-of-state } (Liminf\text{-state Sts})$   
**shows**  $\text{clss-of-state } (\text{lhd Sts}) \models_{Ge} \{\#\}$   
 ⟨proof⟩

**theorem** *RP-saturated-if-fair-new-statement*:  
**assumes**  
 deriv:  $\text{chain } (\rightsquigarrow_{RP}) \text{ Sts}$  **and**  
 init:  $FL.\text{active-subset } (\text{lclss-of-state } (\text{lhd Sts})) = \{\}$  **and**  
 final:  $FL.\text{passive-subset } (Liminf\text{-llist } (\text{lmap lclss-of-state Sts})) = \{\}$   
**shows**  $FL.\text{saturated } (Liminf\text{-llist } (\text{lmap lclss-of-state Sts}))$   
 ⟨proof⟩

**corollary** *RP-complete-if-fair-new-statement*:  
**assumes**  
 deriv:  $\text{chain } (\rightsquigarrow_{RP}) \text{ Sts}$  **and**  
 init:  $FL.\text{active-subset } (\text{lclss-of-state } (\text{lhd Sts})) = \{\}$  **and**  
 final:  $FL.\text{passive-subset } (Liminf\text{-llist } (\text{lmap lclss-of-state Sts})) = \{\}$  **and**  
 unsat:  $\text{grounding-of-state } (\text{lhd Sts}) \models_e \{\#\}$   
**shows**  $\{\#\} \in Q\text{-of-state } (Liminf\text{-state Sts})$   
 ⟨proof⟩



## 4.7 Alternative Derivation of Previous RP Results

**lemma** *old-fair-imp-new-fair*:

**assumes**

*nnul*:  $\neg \text{lnull } Sts$  **and**

*fair*: *fair-state-seq* *Sts* **and**

*empty-Q0*: *Q-of-state* (*lhd Sts*) = {}

**shows**

*FL.active-subset* (*lclss-of-state* (*lhd Sts*)) = {} **and**

*FL.passive-subset* (*Liminf-llist* (*lmap lclss-of-state Sts*)) = {}

*<proof>*

**lemma** *old-redundant-infer-iff*:

*src.redundant-infer* *N*  $\gamma \longleftrightarrow$

( $\exists DD. DD \subseteq N \wedge DD \cup \text{set-mset}(\text{old-side-prems-of } \gamma) \Vdash_e \{\text{old-concl-of } \gamma\}$   
 $\wedge (\forall D \in DD. D < \text{old-main-prem-of } \gamma)$ )

(**is** ?lhs  $\longleftrightarrow$  ?rhs)

*<proof>*

**definition** *old-infer-of* :: 'a clause inference  $\Rightarrow$  'a old-inference **where**

*old-infer-of*  $\iota = \text{old-Infer}(\text{mset}(\text{side-prems-of } \iota))(\text{main-prem-of } \iota)(\text{concl-of } \iota)$

**lemma** *new-redundant-infer-imp-old-redundant-infer*:

*G.redundant-infer* *N*  $\iota \implies \text{src.redundant-infer } N(\text{old-infer-of } \iota)$

*<proof>*

**lemma** *saturated-imp-saturated-RP*:

**assumes**

*satur*: *FL.saturated* (*Liminf-llist* (*lmap lclss-of-state Sts*)) **and**

*no-passive*: *FL.passive-subset* (*Liminf-llist* (*lmap lclss-of-state Sts*)) = {}

**shows** *src.saturated-upto* *Sts* (*grounding-of-state* (*Liminf-state Sts*))

*<proof>*

**theorem** *RP-sound-old-statement*:

**assumes**

*deriv*: *chain* ( $\rightsquigarrow RP$ ) *Sts* **and**

*bot-in*: {#}  $\in \text{class-of-state}(\text{Liminf-state } Sts)$

**shows**  $\neg \text{satisfiable}(\text{grounding-of-state}(\text{lhd } Sts))$

*<proof>*

The theorem below is stated differently than the original theorem in RP: The grounding of the limit might be a strict subset of the limit of the groundings. Because saturation is neither monotone nor antimonotone, the two results are incomparable. See also *grounding-of-state-Liminf-state-subseteq*.

**theorem** *RP-saturated-if-fair-old-statement-altered*:

**assumes**

*deriv*: *chain* ( $\rightsquigarrow RP$ ) *Sts* **and**

*fair*: *fair-state-seq* *Sts* **and**

*empty-Q0*: *Q-of-state* (*lhd Sts*) = {}

**shows** *src.saturated-upto* *Sts* (*grounding-of-state* (*Liminf-state Sts*))

*<proof>*

**corollary** *RP-complete-if-fair-old-statement*:

**assumes**

*deriv*: *chain* ( $\rightsquigarrow RP$ ) *Sts* **and**

*fair*: *fair-state-seq* *Sts* **and**

*empty-Q0*: *Q-of-state* (*lhd Sts*) = {} **and**

$unsat: \neg \text{satisfiable (grounding-of-state (lhd Sts))}$   
**shows**  $\{\#\} \in Q\text{-of-state (Liminf-state Sts)}$   
 $\langle \text{proof} \rangle$

end

end

## 5 New Fairness Proofs for the Given Clause Prover Architectures

**theory** *Given-Clause-Architectures-Revisited*  
**imports** *Saturation-Framework.Given-Clause-Architectures*  
**begin**

The given clause and lazy given clause procedures satisfy key invariants. This provides an alternative way to prove fairness and hence saturation of the limit.

### 5.1 Given Clause Procedure

**context** *given-clause*  
**begin**

**definition**  $gc\text{-invar} :: ('f \times 'l) \text{ set llist} \Rightarrow \text{enat} \Rightarrow \text{bool}$  **where**  
 $gc\text{-invar } Ns \ i \longleftrightarrow$   
 $\text{Inf-from (active-subset (Liminf-upto-llist } Ns \ i)) \subseteq \text{Sup-upto-llist (lmap Red-I-G } Ns) \ i}$

**lemma** *gc-invar-infinity:*  
**assumes**  
 $nnil: \neg \text{lnull } Ns$  **and**  
 $\text{invar}: \forall i. \text{enat } i < \text{llength } Ns \longrightarrow gc\text{-invar } Ns \ (\text{enat } i)$   
**shows**  $gc\text{-invar } Ns \ \infty$   
 $\langle \text{proof} \rangle$

**lemma** *gc-invar-gc-init:*  
**assumes**  
 $\neg \text{lnull } Ns$  **and**  
 $\text{active-subset (lhd } Ns) = \{\}$   
**shows**  $gc\text{-invar } Ns \ 0$   
 $\langle \text{proof} \rangle$

**lemma** *gc-invar-gc-step:*  
**assumes**  
 $\text{Si-lt}: \text{enat } (\text{Suc } i) < \text{llength } Ns$  **and**  
 $\text{invar}: gc\text{-invar } Ns \ i$  **and**  
 $\text{step}: \text{lth } Ns \ i \rightsquigarrow GC \ \text{lth } Ns \ (\text{Suc } i)$   
**shows**  $gc\text{-invar } Ns \ (\text{Suc } i)$   
 $\langle \text{proof} \rangle$

**lemma** *gc-invar-gc:*  
**assumes**  
 $gc: \text{chain } (\rightsquigarrow GC) \ Ns$  **and**  
 $\text{init}: \text{active-subset (lhd } Ns) = \{\}$  **and**  
 $i\text{-lt}: i < \text{llength } Ns$

**shows** *gc-invar*  $Ns\ i$   
 ⟨proof⟩

**lemma** *gc-fair-new-proof*:

**assumes**  
*gc*: *chain* ( $\rightsquigarrow GC$ )  $Ns$  **and**  
*init*: *active-subset* (*lhd*  $Ns$ ) = {} **and**  
*lim*: *passive-subset* (*Liminf-llist*  $Ns$ ) = {}  
**shows** *fair*  $Ns$   
 ⟨proof⟩

**end**

## 5.2 Lazy Given Clause

**context** *lazy-given-clause*

**begin**

**definition** *from-F* :: '*f* inference  $\Rightarrow$  (*f*  $\times$  '*l*) inference set **where**  
*from-F*  $\iota = \{\iota' \in \text{Inf-FL. to-F } \iota' = \iota\}$

**definition** *lgc-invar* :: ('*f* inference set  $\times$  ('*f*  $\times$  '*l*) set) *llist*  $\Rightarrow$  *enat*  $\Rightarrow$  *bool* **where**  
*lgc-invar*  $TNs\ i \iff$   
*Inf-from* (*active-subset* (*Liminf-upto-llist* (*lmap snd*  $TNs$ )  $i$ ))  
 $\subseteq \bigcup$  (*from-F* '*Liminf-upto-llist* (*lmap fst*  $TNs$ )  $i$ )  $\cup$  *Sup-upto-llist* (*lmap* (*Red-I-G*  $\circ$  *snd*)  $TNs$ )  $i$

**lemma** *lgc-invar-infinitly*:

**assumes**  
*nnil*:  $\neg$  *lnull*  $TNs$  **and**  
*invar*:  $\forall i. \text{enat } i < \text{llength } TNs \longrightarrow \text{lgc-invar } TNs\ (enat\ i)$   
**shows** *lgc-invar*  $TNs\ \infty$   
 ⟨proof⟩

**lemma** *lgc-invar-lgc-init*:

**assumes**  
*nnil*:  $\neg$  *lnull*  $TNs$  **and**  
*n-init*: *active-subset* (*snd* (*lhd*  $TNs$ )) = {} **and**  
*t-init*:  $\forall \iota \in \text{Inf-F. prems-of } \iota = [] \longrightarrow \iota \in \text{fst } (\text{lhd } TNs)$   
**shows** *lgc-invar*  $TNs\ 0$   
 ⟨proof⟩

**lemma** *lgc-invar-lgc-step*:

**assumes**  
*Si-lt*: *enat* (*Suc*  $i$ )  $<$  *llength*  $TNs$  **and**  
*invar*: *lgc-invar*  $TNs\ i$  **and**  
*step*: *lnth*  $TNs\ i \rightsquigarrow LGC\ \text{lnth } TNs\ (\text{Suc } i)$   
**shows** *lgc-invar*  $TNs\ (\text{Suc } i)$   
 ⟨proof⟩

**lemma** *lgc-invar-lgc*:

**assumes**  
*lgc*: *chain* ( $\rightsquigarrow LGC$ )  $TNs$  **and**  
*n-init*: *active-subset* (*snd* (*lhd*  $TNs$ )) = {} **and**  
*t-init*:  $\forall \iota \in \text{Inf-F. prems-of } \iota = [] \longrightarrow \iota \in \text{fst } (\text{lhd } TNs)$  **and**  
*i-lt*:  $i < \text{llength } TNs$   
**shows** *lgc-invar*  $TNs\ i$

*<proof>*

**lemma** *lgc-fair-new-proof*:

**assumes**

*lgc*: *chain* ( $\rightsquigarrow$  *LGC*) *TNs* **and**

*n-init*: *active-subset* (*snd* (*lhd* *TNs*)) = {} **and**

*n-lim*: *passive-subset* (*Liminf-llist* (*lmap snd TNs*)) = {} **and**

*t-init*:  $\forall \iota \in \text{Inf-}F. \text{prems-of } \iota = [] \longrightarrow \iota \in \text{fst} (\text{lhd } TNs)$  **and**

*t-lim*: *Liminf-llist* (*lmap fst TNs*) = {}

**shows** *fair* (*lmap snd TNs*)

*<proof>*

**end**

**end**