

# A Formalization of Assumptions and Guarantees for Compositional Noninterference

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## Abstract

Research in information-flow security aims at developing methods to identify undesired information leaks within programs from private (high) sources to public (low) sinks. For a concurrent system, it is desirable to have compositional analysis methods that allow for analyzing each thread independently and that nevertheless guarantee that the parallel composition of successfully analyzed threads satisfies a global security guarantee. However, such a compositional analysis should not be overly pessimistic about what an environment might do with shared resources. Otherwise, the analysis will reject many intuitively secure programs.

The paper "Assumptions and Guarantees for Compositional Noninterference" by Mantel et. al. [MSS11] presents one solution for this problem: an approach for compositionally reasoning about noninterference in concurrent programs via rely-guarantee-style reasoning. We present an Isabelle/HOL formalization of the concepts and proofs of this approach.

The formalization includes the following parts:

- Notion of SIFUM-security and preliminary concepts:  
`Preliminaries.thy`, `Security.thy`
- Compositionality proof: `Compositionality.thy`
- Example language: `Language.thy`
- Type system for ensuring SIFUM-security and soundness proof:  
`TypeSystem.thy`
- Type system for ensuring sound use of modes and soundness proof: `LocallySoundUseOfModes.thy`

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## 1 Preliminaries

```
theory Preliminaries
imports Main
begin
```

```
unbundle lattice-syntax
```

Possible modes for variables:

```
datatype Mode = AsmNoRead | AsmNoWrite | GuarNoRead | GuarNoWrite
```

We consider a two-element security lattice:

```
datatype Sec = High | Low
```

**notation**

```
less-eq (infix  $\sqsubseteq$  50) and
less (infix  $\sqsubset$  50)
```

*Sec* forms a (complete) lattice:

```
instantiation Sec :: complete-lattice
begin
```

**definition** *top-Sec-def*:  $\top = High$

**definition** *sup-Sec-def*:  $d1 \sqcup d2 = (if (d1 = High \vee d2 = High) then High else Low)$

**definition** *inf-Sec-def*:  $d1 \sqcap d2 = (if (d1 = Low \vee d2 = Low) then Low else High)$

**definition** *bot-Sec-def*:  $\perp = Low$   
**definition** *less-eq-Sec-def*:  $d1 \leq d2 = (d1 = d2 \vee d1 = Low)$   
**definition** *less-Sec-def*:  $d1 < d2 = (d1 = Low \wedge d2 = High)$   
**definition** *Sup-Sec-def*:  $\sqcup S = (if\ (High \in S)\ then\ High\ else\ Low)$   
**definition** *Inf-Sec-def*:  $\sqcap S = (if\ (Low \in S)\ then\ Low\ else\ High)$

**instance**  
*<proof>*  
**end**

Memories are mappings from variables to values

**type-synonym**  $('var, 'val)\ Mem = 'var \Rightarrow 'val$

A mode state maps modes to the set of variables for which the given mode is set.

**type-synonym**  $'var\ Mds = Mode \Rightarrow 'var\ set$

Local configurations:

**type-synonym**  $('com, 'var, 'val)\ LocalConf = ('com \times 'var\ Mds) \times ('var, 'val)\ Mem$

Global configurations:

**type-synonym**  $('com, 'var, 'val)\ GlobalConf = ('com \times 'var\ Mds)\ list \times ('var, 'val)\ Mem$

A locale to fix various parametric components in Mantel et. al, and assumptions about them:

**locale** *sifum-security* =  
**fixes** *dma* ::  $'Var \Rightarrow Sec$   
**fixes** *stop* ::  $'Com$   
**fixes** *eval* ::  $('Com, 'Var, 'Val)\ LocalConf\ rel$   
**fixes** *some-val* ::  $'Val$   
**fixes** *some-val'* ::  $'Val$   
**assumes** *stop-no-eval*:  $\neg (((stop, mds), mem), ((c', mds'), mem')) \in eval$   
**assumes** *deterministic*:  $\llbracket (lc, lc') \in eval; (lc, lc'') \in eval \rrbracket \implies lc' = lc''$   
**assumes** *finite-memory*:  $finite\ \{x::'Var\}. True$   
**assumes** *different-values*:  $some-val \neq some-val'$

**end**

## 2 Definition of the SIFUM-Security Property

**theory** *Security*  
**imports** *Main Preliminaries*  
**begin**  
  
**context** *sifum-security* **begin**

## 2.1 Evaluation of Concurrent Programs

**abbreviation**  $eval-abv :: ('Com, 'Var, 'Val) LocalConf \Rightarrow (-, -, -) LocalConf \Rightarrow bool$

(**infixl**  $\rightsquigarrow$  70)

**where**

$x \rightsquigarrow y \equiv (x, y) \in eval$

**abbreviation**  $conf-abv :: 'Com \Rightarrow 'Var Mds \Rightarrow ('Var, 'Val) Mem \Rightarrow (-,-,-) LocalConf$

$\langle (-, -, -) [0, 0, 0] 1000 \rangle$

**where**

$\langle c, mds, mem \rangle \equiv ((c, mds), mem)$

**inductive-set**  $meval :: (-,-,-) GlobalConf rel$

**and**  $meval-abv :: - \Rightarrow - \Rightarrow bool$  (**infixl**  $\rightarrow$  70)

**where**

$conf \rightarrow conf' \equiv (conf, conf') \in meval \mid$

$meval-intro [iff]: \llbracket (cms ! n, mem) \rightsquigarrow (cm', mem'); n < length cms \rrbracket \Longrightarrow$

$((cms, mem), (cms [n := cm'], mem')) \in meval$

**inductive-cases**  $meval-elim [elim!]: ((cms, mem), (cms', mem')) \in meval$

**abbreviation**  $meval-clos :: - \Rightarrow - \Rightarrow bool$  (**infixl**  $\rightarrow^*$  70)

**where**

$conf \rightarrow^* conf' \equiv (conf, conf') \in meval^*$

**fun**  $lc-set-var :: (-, -, -) LocalConf \Rightarrow 'Var \Rightarrow 'Val \Rightarrow (-, -, -) LocalConf$

**where**

$lc-set-var (c, mem) x v = (c, mem (x := v))$

**fun**  $meval-k :: nat \Rightarrow ('Com, 'Var, 'Val) GlobalConf \Rightarrow (-, -, -) GlobalConf \Rightarrow bool$

**where**

$meval-k 0 c c' = (c = c') \mid$

$meval-k (Suc n) c c' = (\exists c''. meval-k n c c'' \wedge c'' \rightarrow c')$

**abbreviation**  $meval-k-abv :: nat \Rightarrow (-, -, -) GlobalConf \Rightarrow (-, -, -) GlobalConf \Rightarrow bool$

$(- \rightarrow_1 - [100, 100] 80)$

**where**

$gc \rightarrow_k gc' \equiv meval-k k gc gc'$

## 2.2 Low-equivalence and Strong Low Bisimulations

**definition**  $low-eg :: ('Var, 'Val) Mem \Rightarrow (-, -) Mem \Rightarrow bool$  (**infixl**  $=^l$  80)

**where**

$$mem_1 =^l mem_2 \equiv (\forall x. dma\ x = Low \longrightarrow mem_1\ x = mem_2\ x)$$

**definition** *low-mds-eq* :: 'Var Mds  $\Rightarrow$  ('Var, 'Val) Mem  $\Rightarrow$  (-, -) Mem  $\Rightarrow$  bool  
 (- =<sup>l</sup> - [100, 100] 80)

**where**

$$(mem_1 =_{mds}^l mem_2) \equiv (\forall x. dma\ x = Low \wedge x \notin mds\ AsmNoRead \longrightarrow mem_1\ x = mem_2\ x)$$

**definition** *mds<sub>s</sub>* :: 'Var Mds **where**

$$mds_s\ x = \{\}$$

**lemma** [*simp*]:  $mem =^l mem' \Longrightarrow mem =_{mds}^l mem'$   
 ⟨*proof*⟩

**lemma** [*simp*]:  $(\forall mds. mem =_{mds}^l mem') \Longrightarrow mem =^l mem'$   
 ⟨*proof*⟩

**definition** *closed-glob-consistent* :: (('Com, 'Var, 'Val) LocalConf) rel  $\Rightarrow$  bool

**where**

$$\begin{aligned} & \text{closed-glob-consistent } \mathcal{R} = \\ & (\forall c_1\ mds\ mem_1\ c_2\ mem_2. (\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R} \longrightarrow \\ & (\forall x. ((dma\ x = High \wedge x \notin mds\ AsmNoWrite) \longrightarrow \\ & (\forall v_1\ v_2. (\langle c_1, mds, mem_1\ (x := v_1) \rangle, \langle c_2, mds, mem_2\ (x := v_2) \rangle) \in \\ & \mathcal{R})) \wedge \\ & ((dma\ x = Low \wedge x \notin mds\ AsmNoWrite) \longrightarrow \\ & (\forall v. (\langle c_1, mds, mem_1\ (x := v) \rangle, \langle c_2, mds, mem_2\ (x := v) \rangle) \in \mathcal{R})))) \end{aligned}$$

**definition** *strong-low-bisim-mm* :: (('Com, 'Var, 'Val) LocalConf) rel  $\Rightarrow$  bool

**where**

$$\begin{aligned} & \text{strong-low-bisim-mm } \mathcal{R} \equiv \\ & \text{sym } \mathcal{R} \wedge \\ & \text{closed-glob-consistent } \mathcal{R} \wedge \\ & (\forall c_1\ mds\ mem_1\ c_2\ mem_2. (\langle c_1, mds, mem_1 \rangle, \langle c_2, mds, mem_2 \rangle) \in \mathcal{R} \longrightarrow \\ & (mem_1 =_{mds}^l mem_2) \wedge \\ & (\forall c_1'\ mds'\ mem_1'. \langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1' \rangle \longrightarrow \\ & (\exists c_2'\ mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2' \rangle \wedge \\ & (\langle c_1', mds', mem_1' \rangle, \langle c_2', mds', mem_2' \rangle) \in \mathcal{R}))) \end{aligned}$$

**inductive-set** *mm-equiv* :: (('Com, 'Var, 'Val) LocalConf) rel

**and** *mm-equiv-abv* :: (('Com, 'Var, 'Val) LocalConf  $\Rightarrow$

('Com, 'Var, 'Val) LocalConf  $\Rightarrow$  bool (**infix**  $\approx$  60)

**where**

$$mm\text{-equiv-abv } x\ y \equiv (x, y) \in mm\text{-equiv} \mid$$

$$mm\text{-equiv-intro } [iff]: \llbracket \text{strong-low-bisim-mm } \mathcal{R} ; (lc_1, lc_2) \in \mathcal{R} \rrbracket \Longrightarrow (lc_1, lc_2) \in mm\text{-equiv}$$

**inductive-cases** *mm-equiv-elim* [*elim*]:  $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle$

**definition** *low-indistinguishable* ::  $'Var\ Mds \Rightarrow 'Com \Rightarrow 'Com \Rightarrow bool$   
 $(- \sim_1 - [100, 100] 80)$   
**where**  $c_1 \sim_{mds} c_2 = (\forall mem_1 mem_2. mem_1 =_{mds}^l mem_2 \longrightarrow$   
 $\langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle)$

## 2.3 SIFUM-Security

**definition** *com-sifum-secure* ::  $'Com \Rightarrow bool$   
**where** *com-sifum-secure*  $c = c \sim_{mds_s} c$

**definition** *add-initial-modes* ::  $'Com\ list \Rightarrow ('Com \times 'Var\ Mds)\ list$   
**where** *add-initial-modes*  $cmds = zip\ cmds\ (replicate\ (length\ cmds)\ mds_s)$

**definition** *no-assumptions-on-termination* ::  $'Com\ list \Rightarrow bool$   
**where** *no-assumptions-on-termination*  $cmds =$   
 $(\forall mem\ mem'\ cms'.$   
 $(add-initial-modes\ cmds, mem) \rightarrow^* (cms', mem') \wedge$   
 $list-all\ (\lambda c. c = stop)\ (map\ fst\ cms') \longrightarrow$   
 $(\forall mds' \in set\ (map\ snd\ cms'). mds'\ AsmNoRead = \{\} \wedge mds'\ AsmNoWrite$   
 $= \{\}))$

**definition** *prog-sifum-secure* ::  $'Com\ list \Rightarrow bool$   
**where** *prog-sifum-secure*  $cmds =$   
 $(no-assumptions-on-termination\ cmds \wedge$   
 $(\forall mem_1\ mem_2. mem_1 =^l mem_2 \longrightarrow$   
 $(\forall k\ cms_1'\ mem_1'.$   
 $(add-initial-modes\ cmds, mem_1) \rightarrow_k (cms_1', mem_1') \longrightarrow$   
 $(\exists cms_2'\ mem_2'. (add-initial-modes\ cmds, mem_2) \rightarrow_k (cms_2', mem_2') \wedge$   
 $map\ snd\ cms_1' = map\ snd\ cms_2' \wedge$   
 $length\ cms_2' = length\ cms_1' \wedge$   
 $(\forall x. dma\ x = Low \wedge (\forall i < length\ cms_1'.$   
 $x \notin snd\ (cms_1'!\ i)\ AsmNoRead) \longrightarrow mem_1'\ x = mem_2'\ x))))$

## 2.4 Sound Mode Use

**definition** *doesnt-read* ::  $'Com \Rightarrow 'Var \Rightarrow bool$   
**where**  
*doesnt-read*  $c\ x = (\forall mds\ mem\ c'\ mds'\ mem'.$   
 $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle \longrightarrow$   
 $((\forall v. \langle c, mds, mem\ (x := v) \rangle \rightsquigarrow \langle c', mds', mem'\ (x := v) \rangle) \vee$   
 $(\forall v. \langle c, mds, mem\ (x := v) \rangle \rightsquigarrow \langle c', mds', mem' \rangle)))$

**definition** *doesnt-modify* ::  $'Com \Rightarrow 'Var \Rightarrow bool$   
**where**  
*doesnt-modify*  $c\ x = (\forall mds\ mem\ c'\ mds'\ mem'. (\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds',$   
 $mem' \rangle) \longrightarrow$

$$mem\ x = mem'\ x)$$

**inductive-set**  $loc\text{-}reach :: ('Com, 'Var, 'Val)\ LocalConf \Rightarrow ('Com, 'Var, 'Val)\ LocalConf\ set$

**for**  $lc :: (-, -, -)\ LocalConf$

**where**

$refl : \langle fst\ (fst\ lc), snd\ (fst\ lc), snd\ lc \rangle \in loc\text{-}reach\ lc \mid$

$step : \llbracket \langle c', mds', mem' \rangle \in loc\text{-}reach\ lc ;$   
 $\langle c', mds', mem' \rangle \rightsquigarrow \langle c'', mds'', mem'' \rangle \rrbracket \Longrightarrow$   
 $\langle c'', mds'', mem'' \rangle \in loc\text{-}reach\ lc \mid$

$mem\text{-}diff : \llbracket \langle c', mds', mem' \rangle \in loc\text{-}reach\ lc ;$   
 $(\forall x \in mds'\ AsmNoWrite. mem'\ x = mem''\ x) \rrbracket \Longrightarrow$   
 $\langle c', mds', mem'' \rangle \in loc\text{-}reach\ lc$

**definition**  $locally\text{-}sound\text{-}mode\text{-}use :: (-, -, -)\ LocalConf \Rightarrow bool$

**where**

$locally\text{-}sound\text{-}mode\text{-}use\ lc =$

$(\forall c'\ mds'\ mem'. \langle c', mds', mem' \rangle \in loc\text{-}reach\ lc \longrightarrow$   
 $(\forall x. (x \in mds'\ GuarNoRead \longrightarrow doesn't\text{-}read\ c'\ x) \wedge$   
 $(x \in mds'\ GuarNoWrite \longrightarrow doesn't\text{-}modify\ c'\ x)))$

**definition**  $compatible\text{-}modes :: ('Var\ Mds)\ list \Rightarrow bool$

**where**

$compatible\text{-}modes\ mdss = (\forall (i :: nat)\ x. i < length\ mdss \longrightarrow$   
 $(x \in (mdss\ !\ i)\ AsmNoRead \longrightarrow$   
 $(\forall j < length\ mdss. j \neq i \longrightarrow x \in (mdss\ !\ j)\ GuarNoRead)) \wedge$   
 $(x \in (mdss\ !\ i)\ AsmNoWrite \longrightarrow$   
 $(\forall j < length\ mdss. j \neq i \longrightarrow x \in (mdss\ !\ j)\ GuarNoWrite)))$

**definition**  $reachable\text{-}mode\text{-}states :: ('Com, 'Var, 'Val)\ GlobalConf \Rightarrow (('Var\ Mds)\ list)\ set$

**where**  $reachable\text{-}mode\text{-}states\ gc =$

$\{mdss. (\exists cms'\ mem'. gc \rightarrow^* (cms', mem') \wedge map\ snd\ cms' = mdss)\}$

**definition**  $globally\text{-}sound\text{-}mode\text{-}use :: ('Com, 'Var, 'Val)\ GlobalConf \Rightarrow bool$

**where**  $globally\text{-}sound\text{-}mode\text{-}use\ gc =$

$(\forall mdss. mdss \in reachable\text{-}mode\text{-}states\ gc \longrightarrow compatible\text{-}modes\ mdss)$

**primrec**  $sound\text{-}mode\text{-}use :: (-, -, -)\ GlobalConf \Rightarrow bool$

**where**

$sound\text{-}mode\text{-}use\ (cms, mem) =$

$(list\text{-}all\ (\lambda cm. locally\text{-}sound\text{-}mode\text{-}use\ (cm, mem))\ cms \wedge$   
 $globally\text{-}sound\text{-}mode\text{-}use\ (cms, mem))$

**lemma**  $mm\text{-}equiv\text{-}sym:$

**assumes**  $equivalent: \langle c_1, mds_1, mem_1 \rangle \approx \langle c_2, mds_2, mem_2 \rangle$

**shows**  $\langle c_2, mds_2, mem_2 \rangle \approx \langle c_1, mds_1, mem_1 \rangle$

*<proof>*

**lemma** *low-indistinguishable-sym*:  $lc \sim_{mds} lc' \implies lc' \sim_{mds} lc$   
*<proof>*

**lemma** *mm-equiv-glob-consistent*: *closed-glob-consistent mm-equiv*  
*<proof>*

**lemma** *mm-equiv-strong-low-bisim*: *strong-low-bisim-mm mm-equiv*  
*<proof>*

**end**

**end**

### 3 Compositionality Proof for SIFUM-Security Property

**theory** *Compositionality*  
**imports** *Main Security*  
**begin**

**context** *sifum-security*  
**begin**

**definition** *differing-vars* :: ('Var, 'Val) Mem  $\Rightarrow$  (-, -) Mem  $\Rightarrow$  'Var set  
**where**  
*differing-vars mem<sub>1</sub> mem<sub>2</sub>* = {x. mem<sub>1</sub> x  $\neq$  mem<sub>2</sub> x}

**definition** *differing-vars-lists* :: ('Var, 'Val) Mem  $\Rightarrow$  (-, -) Mem  $\Rightarrow$   
((-, -) Mem  $\times$  (-, -) Mem) list  $\Rightarrow$  nat  $\Rightarrow$  'Var set  
**where**  
*differing-vars-lists mem<sub>1</sub> mem<sub>2</sub> mems i* =  
(*differing-vars mem<sub>1</sub> (fst (mems ! i))*)  $\cup$  *differing-vars mem<sub>2</sub> (snd (mems ! i))*)

**lemma** *differing-finite*: *finite (differing-vars mem<sub>1</sub> mem<sub>2</sub>)*  
*<proof>*

**lemma** *differing-lists-finite*: *finite (differing-vars-lists mem<sub>1</sub> mem<sub>2</sub> mems i)*  
*<proof>*

**definition** *subst* :: ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  
**where**  
*subst f mem* = ( $\lambda$  x. case f x of  
    None  $\Rightarrow$  mem x |  
    Some v  $\Rightarrow$  v)



**abbreviation**  $subst-abv :: ('a \Rightarrow 'b) \Rightarrow ('a \multimap 'b) \Rightarrow ('a \Rightarrow 'b) (- [\mapsto] [900, 0]$   
 $1000)$

**where**

$f [\mapsto \sigma] \equiv subst \sigma f$

**lemma**  $subst-not-in-dom : \llbracket x \notin dom \sigma \rrbracket \Longrightarrow mem [\mapsto \sigma] x = mem x$   
 $\langle proof \rangle$

**fun**  $makes-compatible ::$

$('Com, 'Var, 'Val) GlobalConf \Rightarrow$

$('Com, 'Var, 'Val) GlobalConf \Rightarrow$

$((-, -) Mem \times (-, -) Mem) list \Rightarrow$

$bool$

**where**

$makes-compatible (cms_1, mem_1) (cms_2, mem_2) mems =$

$(length cms_1 = length cms_2 \wedge length cms_1 = length mems \wedge$

$(\forall i. i < length cms_1 \longrightarrow$

$(\forall \sigma. dom \sigma = differing-vars-lists mem_1 mem_2 mems i \longrightarrow$

$(cms_1 ! i, (fst (mems ! i)) [\mapsto \sigma]) \approx (cms_2 ! i, (snd (mems ! i)) [\mapsto \sigma])) \wedge$

$(\forall x. (mem_1 x = mem_2 x \vee dma x = High) \longrightarrow$

$x \notin differing-vars-lists mem_1 mem_2 mems i)) \wedge$

$((length cms_1 = 0 \wedge mem_1 =^l mem_2) \vee (\forall x. \exists i. i < length cms_1 \wedge$

$x \notin differing-vars-lists mem_1 mem_2 mems i)))$

**lemma**  $makes-compatible-intro [intro]:$

$\llbracket length cms_1 = length cms_2 \wedge length cms_1 = length mems;$

$(\wedge i \sigma. \llbracket i < length cms_1; dom \sigma = differing-vars-lists mem_1 mem_2 mems i \rrbracket$

$\Longrightarrow$

$(cms_1 ! i, (fst (mems ! i)) [\mapsto \sigma]) \approx (cms_2 ! i, (snd (mems ! i)) [\mapsto \sigma]);$

$(\wedge i x. \llbracket i < length cms_1; mem_1 x = mem_2 x \vee dma x = High \rrbracket \Longrightarrow$

$x \notin differing-vars-lists mem_1 mem_2 mems i);$

$(length cms_1 = 0 \wedge mem_1 =^l mem_2) \vee$

$(\forall x. \exists i. i < length cms_1 \wedge x \notin differing-vars-lists mem_1 mem_2 mems i) \rrbracket$

$\Longrightarrow$

$makes-compatible (cms_1, mem_1) (cms_2, mem_2) mems$

$\langle proof \rangle$

**lemma**  $compat-low:$

$\llbracket makes-compatible (cms_1, mem_1) (cms_2, mem_2) mems;$

$i < length cms_1;$

$x \in differing-vars-lists mem_1 mem_2 mems i \rrbracket \Longrightarrow dma x = Low$

$\langle proof \rangle$

**lemma**  $compat-different:$

$\llbracket makes-compatible (cms_1, mem_1) (cms_2, mem_2) mems;$

$i < length cms_1;$

$x \in \text{differing-vars-lists mem}_1 \text{ mem}_2 \text{ mems } i \implies \text{mem}_1 x \neq \text{mem}_2 x \wedge \text{dma}$   
 $x = \text{Low}$   
 $\langle \text{proof} \rangle$

**lemma** *sound-modes-no-read* :

$\llbracket \text{sound-mode-use } (cms, mem); x \in (\text{map snd cms } ! i) \text{ GuarNoRead}; i < \text{length cms} \rrbracket \implies$   
 $\text{doesnt-read } (\text{fst } (cms ! i)) x$   
 $\langle \text{proof} \rangle$

**lemma** *compat-different-vars*:

$\llbracket \text{fst } (mems ! i) x = \text{snd } (mems ! i) x;$   
 $x \notin \text{differing-vars-lists mem}_1 \text{ mem}_2 \text{ mems } i \rrbracket \implies$   
 $\text{mem}_1 x = \text{mem}_2 x$   
 $\langle \text{proof} \rangle$

**lemma** *differing-vars-subst* [rule-format]:

**assumes**  $\text{dom } \sigma: \text{dom } \sigma \supseteq \text{differing-vars mem}_1 \text{ mem}_2$   
**shows**  $\text{mem}_1 \llbracket \mapsto \sigma \rrbracket = \text{mem}_2 \llbracket \mapsto \sigma \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *mm-equiv-low-eq*:

$\llbracket \langle c_1, mds, mem_1 \rangle \approx \langle c_2, mds, mem_2 \rangle \rrbracket \implies \text{mem}_1 =_{\text{mds}} mem_2$   
 $\langle \text{proof} \rangle$

**lemma** *globally-sound-modes-compatible*:

$\llbracket \text{globally-sound-mode-use } (cms, mem) \rrbracket \implies \text{compatible-modes } (\text{map snd cms})$   
 $\langle \text{proof} \rangle$

**lemma** *compatible-different-no-read* :

**assumes** *sound-modes*:  $\text{sound-mode-use } (cms_1, mem_1)$   
 $\text{sound-mode-use } (cms_2, mem_2)$   
**assumes** *compat*:  $\text{makes-compatible } (cms_1, mem_1) (cms_2, mem_2) \text{ mems}$   
**assumes** *modes-eq*:  $\text{map snd } cms_1 = \text{map snd } cms_2$   
**assumes** *ile*:  $i < \text{length } cms_1$   
**assumes** *x*:  $x \in \text{differing-vars-lists mem}_1 \text{ mem}_2 \text{ mems } i$   
**shows**  $\text{doesnt-read } (\text{fst } (cms_1 ! i)) x \wedge \text{doesnt-read } (\text{fst } (cms_2 ! i)) x$   
 $\langle \text{proof} \rangle$

**definition** *func-le* ::  $('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b) \Rightarrow \text{bool}$  (**infixl**  $\preceq$  60)

**where**  $f \preceq g = (\forall x \in \text{dom } f. f x = g x)$

**fun** *change-respecting* ::

$('Com, 'Var, 'Val) \text{ LocalConf} \Rightarrow$   
 $('Com, 'Var, 'Val) \text{ LocalConf} \Rightarrow$   
 $'Var \text{ set} \Rightarrow$   
 $(('Var \rightarrow 'Val) \Rightarrow$   
 $('Var \rightarrow 'Val)) \Rightarrow \text{bool}$

**where** *change-respecting*  $(cms, mem) (cms', mem') X g =$   
 $((cms, mem) \rightsquigarrow (cms', mem') \wedge$   
 $(\forall \sigma. dom \sigma = X \longrightarrow g \sigma \preceq \sigma) \wedge$   
 $(\forall \sigma \sigma'. dom \sigma = X \wedge dom \sigma' = X \longrightarrow dom (g \sigma) = dom (g \sigma')) \wedge$   
 $(\forall \sigma. dom \sigma = X \longrightarrow (cms, mem [\mapsto \sigma]) \rightsquigarrow (cms', mem' [\mapsto g \sigma])))$

**lemma** *change-respecting-dom-unique*:

$\llbracket \text{change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X g \rrbracket \implies$   
 $\exists d. \forall f. dom f = X \longrightarrow d = dom (g f)$   
 $\langle \text{proof} \rangle$

**lemma** *func-le-restrict*:  $\llbracket f \preceq g; X \subseteq dom f \rrbracket \implies f \upharpoonright X \preceq g$   
 $\langle \text{proof} \rangle$

**definition** *to-partial* ::  $('a \Rightarrow 'b) \Rightarrow ('a \multimap 'b)$   
**where** *to-partial*  $f = (\lambda x. \text{Some } (f x))$

**lemma** *func-le-dom*:  $f \preceq g \implies dom f \subseteq dom g$   
 $\langle \text{proof} \rangle$

**lemma** *doesnt-read-mutually-exclusive*:

**assumes** *noread*: *doesnt-read*  $c x$   
**assumes** *eval*:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$   
**assumes** *unchanged*:  $\forall v. \langle c, mds, mem (x := v) \rangle \rightsquigarrow \langle c', mds', mem' (x := v) \rangle$   
**shows**  $\neg (\forall v. \langle c, mds, mem (x := v) \rangle \rightsquigarrow \langle c', mds', mem' \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *doesnt-read-mutually-exclusive'*:

**assumes** *noread*: *doesnt-read*  $c x$   
**assumes** *eval*:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$   
**assumes** *overwrite*:  $\forall v. \langle c, mds, mem (x := v) \rangle \rightsquigarrow \langle c', mds', mem' \rangle$   
**shows**  $\neg (\forall v. \langle c, mds, mem (x := v) \rangle \rightsquigarrow \langle c', mds', mem' (x := v) \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *change-respecting-dom*:

**assumes** *cr*: *change-respecting*  $(cms, mem) (cms', mem') X g$   
**assumes** *dom $\sigma$* :  $dom \sigma = X$   
**shows**  $dom (g \sigma) \subseteq X$   
 $\langle \text{proof} \rangle$

**lemma** *change-respecting-intro [iff]*:

$\llbracket \langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle;$   
 $\bigwedge f. dom f = X \implies$   
 $g f \preceq f \wedge$   
 $(\forall f'. dom f' = X \longrightarrow dom (g f) = dom (g f')) \wedge$   
 $(\langle c, mds, mem [\mapsto f] \rangle \rightsquigarrow \langle c', mds', mem' [\mapsto g f] \rangle) \rrbracket$   
 $\implies \text{change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X g$   
 $\langle \text{proof} \rangle$

**lemma** *conjI3*:  $\llbracket A; B; C \rrbracket \implies A \wedge B \wedge C$   
 ⟨proof⟩

**lemma** *noread-exists-change-respecting*:

**assumes** *fin*: *finite* ( $X :: 'Var$  set)

**assumes** *eval*:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$

**assumes** *noread*:  $\forall x \in X. \text{ doesnt-read } c \ x$

**shows**  $\exists (g :: ('Var \rightarrow 'Val) \Rightarrow ('Var \rightarrow 'Val)). \text{ change-respecting } \langle c, mds, mem \rangle \langle c', mds', mem' \rangle X \ g$   
 ⟨proof⟩

**lemma** *differing-vars-neg*:  $x \notin \text{differing-vars-lists } mem1 \ mem2 \ mems \ i \implies$   
 $(fst (mems ! i) \ x = mem1 \ x \wedge snd (mems ! i) \ x = mem2 \ x)$   
 ⟨proof⟩

**lemma** *differing-vars-neg-intro*:

$\llbracket mem_1 \ x = fst (mems ! i) \ x;$

$mem_2 \ x = snd (mems ! i) \ x \rrbracket \implies x \notin \text{differing-vars-lists } mem_1 \ mem_2 \ mems \ i$

⟨proof⟩

**lemma** *differing-vars-elim* [*elim*]:

$x \in \text{differing-vars-lists } mem_1 \ mem_2 \ mems \ i \implies$

$(fst (mems ! i) \ x \neq mem_1 \ x) \vee (snd (mems ! i) \ x \neq mem_2 \ x)$

⟨proof⟩

**lemma** *subst-overrides*:  $dom \ \sigma = dom \ \tau \implies mem \ [\mapsto \ \tau] \ [\mapsto \ \sigma] = mem \ [\mapsto \ \sigma]$   
 ⟨proof⟩

**lemma** *dom-restrict-total*:  $dom \ (to\text{-partial } f \ |' \ X) = X$   
 ⟨proof⟩

**lemma** *update-nth-eq*:

$\llbracket xs = ys; n < length \ xs \rrbracket \implies xs = ys [n := xs ! n]$

⟨proof⟩

This property is obvious, so an unreadable apply-style proof is acceptable here:

**lemma** *mm-equiv-step*:

**assumes** *bisim*:  $(cms_1, mem_1) \approx (cms_2, mem_2)$

**assumes** *modes-eq*:  $snd \ cms_1 = snd \ cms_2$

**assumes** *step*:  $(cms_1, mem_1) \rightsquigarrow (cms_1', mem_1')$

**shows**  $\exists c_2' \ mem_2'. (cms_2, mem_2) \rightsquigarrow \langle c_2', snd \ cms_1', mem_2' \rangle \wedge$

$(cms_1', mem_1') \approx \langle c_2', snd \ cms_1', mem_2' \rangle$

⟨proof⟩

**lemma** *change-respecting-doesnt-modify*:

**assumes** *cr*: *change-respecting*  $(cms, mem) (cms', mem') X \ g$

**assumes** *eval*:  $(cms, mem) \rightsquigarrow (cms', mem')$

**assumes** *domf*:  $\text{dom } f = X$   
**assumes** *x-in-dom*:  $x \in \text{dom } (g f)$   
**assumes** *noread*: *doesnt-read* (*fst cms*) *x*  
**shows**  $\text{mem } x = \text{mem}' x$   
 <proof>

**type-synonym**  $('var, 'val) \text{ adaptation} = 'var \rightarrow ('val \times 'val)$

**definition** *apply-adaptation* ::  
 $\text{bool} \Rightarrow ('Var, 'Val) \text{ Mem} \Rightarrow ('Var, 'Val) \text{ adaptation} \Rightarrow ('Var, 'Val) \text{ Mem}$   
**where** *apply-adaptation first mem A* =  
 $(\lambda x. \text{case } (A x) \text{ of}$   
 $\quad \text{Some } (v_1, v_2) \Rightarrow \text{if first then } v_1 \text{ else } v_2$   
 $\quad | \text{None} \Rightarrow \text{mem } x)$

**abbreviation** *apply-adaptation<sub>1</sub>* ::  
 $('Var, 'Val) \text{ Mem} \Rightarrow ('Var, 'Val) \text{ adaptation} \Rightarrow ('Var, 'Val) \text{ Mem}$   
 $(- \llbracket_1 - \rrbracket [900, 0] 1000)$   
**where**  $\text{mem} \llbracket_1 A \rrbracket \equiv \text{apply-adaptation True mem } A$

**abbreviation** *apply-adaptation<sub>2</sub>* ::  
 $('Var, 'Val) \text{ Mem} \Rightarrow ('Var, 'Val) \text{ adaptation} \Rightarrow ('Var, 'Val) \text{ Mem}$   
 $(- \llbracket_2 - \rrbracket [900, 0] 1000)$   
**where**  $\text{mem} \llbracket_2 A \rrbracket \equiv \text{apply-adaptation False mem } A$

**definition** *restrict-total* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'a \rightarrow 'b$   
**where** *restrict-total f A* = *to-partial f* |<sup>'</sup> *A*

**lemma** *differing-empty-eq*:  
 $\llbracket \text{differing-vars mem mem}' = \{\} \rrbracket \Longrightarrow \text{mem} = \text{mem}'$   
 <proof>

**definition** *globally-consistent-var* ::  $('Var, 'Val) \text{ adaptation} \Rightarrow 'Var \text{ Mds} \Rightarrow 'Var$   
 $\Rightarrow \text{bool}$   
**where** *globally-consistent-var A mds x* ≡  
 $(\text{case } A x \text{ of}$   
 $\quad \text{Some } (v, v') \Rightarrow x \notin \text{mds } \text{AsmNoWrite} \wedge (\text{dma } x = \text{Low} \longrightarrow v = v')$   
 $\quad | \text{None} \Rightarrow \text{True})$

**definition** *globally-consistent* ::  $('Var, 'Val) \text{ adaptation} \Rightarrow 'Var \text{ Mds} \Rightarrow \text{bool}$   
**where** *globally-consistent A mds* ≡ *finite (dom A)* ∧  
 $(\forall x \in \text{dom } A. \text{globally-consistent-var } A \text{ mds } x)$

**definition** *gc2* ::  $('Var, 'Val) \text{ adaptation} \Rightarrow 'Var \text{ Mds} \Rightarrow \text{bool}$   
**where**  $\text{gc2 } A \text{ mds} = (\forall x \in \text{dom } A. \text{globally-consistent-var } A \text{ mds } x)$

**lemma** *globally-consistent-dom*:

$\llbracket \text{globally-consistent } A \text{ mds}; X \subseteq \text{dom } A \rrbracket \implies \text{globally-consistent } (A \mid X) \text{ mds}$   
 <proof>

**lemma** *globally-consistent-writable*:

$\llbracket x \in \text{dom } A; \text{globally-consistent } A \text{ mds} \rrbracket \implies x \notin \text{mds } \text{AsmNoWrite}$   
 <proof>

**lemma** *globally-consistent-loweq*:

**assumes** *globally-consistent*: *globally-consistent*  $A \text{ mds}$

**assumes** *some*:  $A \ x = \text{Some } (v, v')$

**assumes** *low*:  $\text{dma } x = \text{Low}$

**shows**  $v = v'$

<proof>

**lemma** *globally-consistent-adapt-bisim*:

**assumes** *bisim*:  $\langle c_1, \text{mds}, \text{mem}_1 \rangle \approx \langle c_2, \text{mds}, \text{mem}_2 \rangle$

**assumes** *globally-consistent*: *globally-consistent*  $A \text{ mds}$

**shows**  $\langle c_1, \text{mds}, \text{mem}_1 \llbracket \_1 A \rrbracket \rangle \approx \langle c_2, \text{mds}, \text{mem}_2 \llbracket \_2 A \rrbracket \rangle$

<proof>

**lemma** *makes-compatible-invariant*:

**assumes** *sound-modes*: *sound-mode-use*  $(\text{cms}_1, \text{mem}_1)$

*sound-mode-use*  $(\text{cms}_2, \text{mem}_2)$

**assumes** *compat*: *makes-compatible*  $(\text{cms}_1, \text{mem}_1) (\text{cms}_2, \text{mem}_2) \text{ mems}$

**assumes** *modes-eq*:  $\text{map } \text{snd } \text{cms}_1 = \text{map } \text{snd } \text{cms}_2$

**assumes** *eval*:  $(\text{cms}_1, \text{mem}_1) \rightarrow (\text{cms}_1', \text{mem}_1')$

**obtains**  $\text{cms}_2' \text{ mem}_2' \text{ mems}'$  **where**

$\text{map } \text{snd } \text{cms}_1' = \text{map } \text{snd } \text{cms}_2' \wedge$

$(\text{cms}_2, \text{mem}_2) \rightarrow (\text{cms}_2', \text{mem}_2') \wedge$

*makes-compatible*  $(\text{cms}_1', \text{mem}_1') (\text{cms}_2', \text{mem}_2') \text{ mems}'$

<proof>

The Isar proof language provides a readable way of specifying assumptions while also giving them names for subsequent usage.

**lemma** *compat-low-eq*:

**assumes** *compat*: *makes-compatible*  $(\text{cms}_1, \text{mem}_1) (\text{cms}_2, \text{mem}_2) \text{ mems}$

**assumes** *modes-eq*:  $\text{map } \text{snd } \text{cms}_1 = \text{map } \text{snd } \text{cms}_2$

**assumes** *x-low*:  $\text{dma } x = \text{Low}$

**assumes** *x-readable*:  $\forall i < \text{length } \text{cms}_1. x \notin \text{snd } (\text{cms}_1 \ ! \ i) \ \text{AsmNoRead}$

**shows**  $\text{mem}_1 \ x = \text{mem}_2 \ x$

<proof>

**lemma** *loc-reach-subset*:

**assumes** *eval*:  $\langle c, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c', \text{mds}', \text{mem}' \rangle$

**shows** *loc-reach*  $\langle c', \text{mds}', \text{mem}' \rangle \subseteq \text{loc-reach } \langle c, \text{mds}, \text{mem} \rangle$

<proof>

**lemma** *locally-sound-modes-invariant*:

**assumes** *sound-modes: locally-sound-mode-use*  $\langle c, mds, mem \rangle$   
**assumes** *eval*:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$   
**shows** *locally-sound-mode-use*  $\langle c', mds', mem' \rangle$   
 $\langle proof \rangle$

**lemma** *reachable-modes-subset*:  
**assumes** *eval*:  $(cms, mem) \rightarrow (cms', mem')$   
**shows** *reachable-mode-states*  $(cms', mem') \subseteq \text{reachable-mode-states } (cms, mem)$   
 $\langle proof \rangle$

**lemma** *globally-sound-modes-invariant*:  
**assumes** *globally-sound: globally-sound-mode-use*  $(cms, mem)$   
**assumes** *eval*:  $(cms, mem) \rightarrow (cms', mem')$   
**shows** *globally-sound-mode-use*  $(cms', mem')$   
 $\langle proof \rangle$

**lemma** *loc-reach-mem-diff-subset*:  
**assumes** *mem-diff*:  $\forall x. x \in mds \text{ AsmNoWrite} \rightarrow mem_1 x = mem_2 x$   
**shows**  $\langle c', mds', mem' \rangle \in \text{loc-reach } \langle c, mds, mem_1 \rangle \implies \langle c', mds', mem' \rangle \in \text{loc-reach } \langle c, mds, mem_2 \rangle$   
 $\langle proof \rangle$

**lemma** *loc-reach-mem-diff-eq*:  
**assumes** *mem-diff*:  $\forall x. x \in mds \text{ AsmNoWrite} \rightarrow mem' x = mem x$   
**shows**  $\text{loc-reach } \langle c, mds, mem \rangle = \text{loc-reach } \langle c, mds, mem' \rangle$   
 $\langle proof \rangle$

**lemma** *sound-modes-invariant*:  
**assumes** *sound-modes: sound-mode-use*  $(cms, mem)$   
**assumes** *eval*:  $(cms, mem) \rightarrow (cms', mem')$   
**shows** *sound-mode-use*  $(cms', mem')$   
 $\langle proof \rangle$

**lemma** *makes-compatible-eval-k*:  
**assumes** *compat: makes-compatible*  $(cms_1, mem_1) (cms_2, mem_2) mems$   
**assumes** *modes-eq*:  $\text{map snd } cms_1 = \text{map snd } cms_2$   
**assumes** *sound-modes: sound-mode-use*  $(cms_1, mem_1) \text{ sound-mode-use } (cms_2, mem_2)$   
**assumes** *eval*:  $(cms_1, mem_1) \rightarrow_k (cms_1', mem_1')$   
**shows**  $\exists cms_2' mem_2' mems'. \text{sound-mode-use } (cms_1', mem_1') \wedge$   
 $\text{sound-mode-use } (cms_2', mem_2') \wedge$   
 $\text{map snd } cms_1' = \text{map snd } cms_2' \wedge$   
 $(cms_2, mem_2) \rightarrow_k (cms_2', mem_2') \wedge$   
 $\text{makes-compatible } (cms_1', mem_1') (cms_2', mem_2') mems'$   
 $\langle proof \rangle$

**lemma** *differing-vars-initially-empty*:  
 $i < n \implies x \notin \text{differing-vars-lists } mem_1 mem_2 (\text{zip } (\text{replicate } n mem_1) (\text{replicate } n mem_2)) i$





**fixes**  $dma :: 'Var \Rightarrow Sec$   
**assumes**  $Var\text{-}finite : finite \{(x :: 'Var). True\}$   
**assumes**  $eval\text{-}vars\text{-}det_A : [\forall x \in aexp\text{-}vars e. mem_1 x = mem_2 x] \Longrightarrow eval_A$   
 $mem_1 e = eval_A mem_2 e$   
**assumes**  $eval\text{-}vars\text{-}det_B : [\forall x \in bexp\text{-}vars b. mem_1 x = mem_2 x] \Longrightarrow eval_B$   
 $mem_1 b = eval_B mem_2 b$

**context**  $sifum\text{-}lang$   
**begin**

**notation** (*latex output*)  
 $Seq (-; - 60)$

**notation** (*Rule output*)  
 $Seq (- ; - 60)$

**notation** (*Rule output*)  
 $If (if - then - else - fi 50)$

**notation** (*Rule output*)  
 $While (while - do - done)$

**abbreviation**  $conf_w\text{-}abv :: ('Var, 'AExp, 'BExp) Stmt \Rightarrow$   
 $'Var Mds \Rightarrow ('Var, 'Val) Mem \Rightarrow (-,-) LocalConf$   
 $(\langle -, -, - \rangle_w [0, 120, 120] 100)$   
**where**  
 $\langle c, mds, mem \rangle_w \equiv ((c, mds), mem)$

## 4.2 Semantics

**primrec**  $update\text{-}modes :: 'Var ModeUpd \Rightarrow 'Var Mds \Rightarrow 'Var Mds$   
**where**  
 $update\text{-}modes (Acq x m) mds = mds (m := insert x (mds m)) |$   
 $update\text{-}modes (Rel x m) mds = mds (m := \{y. y \in mds m \wedge y \neq x\})$

**fun**  $updated\text{-}var :: 'Var ModeUpd \Rightarrow 'Var$   
**where**  
 $updated\text{-}var (Acq x -) = x |$   
 $updated\text{-}var (Rel x -) = x$

**fun**  $updated\text{-}mode :: 'Var ModeUpd \Rightarrow Mode$   
**where**  
 $updated\text{-}mode (Acq - m) = m |$   
 $updated\text{-}mode (Rel - m) = m$

**inductive-set**  $eval_w\text{-}simple :: (('Var, 'AExp, 'BExp) Stmt \times ('Var, 'Val) Mem)$   
 $rel$

**and**  $eval_w\text{-simple-abv} :: (('Var, 'AExp, 'BExp) Stmt \times ('Var, 'Val) Mem) \Rightarrow ('Var, 'AExp, 'BExp) Stmt \times ('Var, 'Val) Mem \Rightarrow bool$

(**infix**  $\rightsquigarrow_s$  60)

**where**

$c \rightsquigarrow_s c' \equiv (c, c') \in eval_w\text{-simple} \mid$

$assign: ((x \leftarrow e, mem), (Stop, mem (x := eval_A mem e))) \in eval_w\text{-simple} \mid$

$skip: ((Skip, mem), (Stop, mem)) \in eval_w\text{-simple} \mid$

$seq\text{-stop}: ((Seq Stop c, mem), (c, mem)) \in eval_w\text{-simple} \mid$

$if\text{-true}: \llbracket eval_B mem b \rrbracket \Longrightarrow ((If b t e, mem), (t, mem)) \in eval_w\text{-simple} \mid$

$if\text{-false}: \llbracket \neg eval_B mem b \rrbracket \Longrightarrow ((If b t e, mem), (e, mem)) \in eval_w\text{-simple} \mid$

$while: ((While b c, mem), (If b (c ;; While b c) Stop, mem)) \in eval_w\text{-simple}$

**primrec**  $cxt\text{-to-stmt} :: ('Var, 'AExp, 'BExp) EvalCxt \Rightarrow ('Var, 'AExp, 'BExp) Stmt$

$\Rightarrow ('Var, 'AExp, 'BExp) Stmt$

**where**

$cxt\text{-to-stmt} \llbracket c = c \rrbracket$

$cxt\text{-to-stmt} (c \# cs) c' = Seq c' (cxt\text{-to-stmt} cs c)$

**inductive-set**  $eval_w :: (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf rel$

**and**  $eval_w\text{-abv} :: (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf \Rightarrow$

$(('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf \Rightarrow bool$

(**infix**  $\rightsquigarrow_w$  60)

**where**

$c \rightsquigarrow_w c' \equiv (c, c') \in eval_w \mid$

$unannotated: \llbracket (c, mem) \rightsquigarrow_s (c', mem') \rrbracket$

$\Longrightarrow (\langle cxt\text{-to-stmt} E c, mds, mem \rangle_w, \langle cxt\text{-to-stmt} E c', mds, mem' \rangle_w) \in eval_w \mid$

$seq: \llbracket \langle c_1, mds, mem \rangle_w \rightsquigarrow_w \langle c_1', mds', mem' \rangle_w \rrbracket \Longrightarrow (\langle (c_1 ;; c_2), mds, mem \rangle_w, \langle (c_1' ;; c_2'), mds', mem' \rangle_w) \in eval_w \mid$

$decl: \llbracket \langle c, update\text{-modes} mu mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \rrbracket \Longrightarrow$

$(\langle cxt\text{-to-stmt} E (ModeDecl c mu), mds, mem \rangle_w, \langle cxt\text{-to-stmt} E c', mds', mem' \rangle_w) \in eval_w$

### 4.3 Semantic Properties

The following lemmas simplify working with evaluation contexts in the soundness proofs for the type system(s).

**inductive-cases**  $eval\text{-elim}: (((c, mds), mem), ((c', mds'), mem')) \in eval_w$

**inductive-cases**  $stop\text{-no-eval}' [elim]: ((Stop, mem), (c', mem')) \in eval_w\text{-simple}$

**inductive-cases**  $assign\text{-elim}' [elim]: ((x \leftarrow e, mem), (c', mem')) \in eval_w\text{-simple}$

**inductive-cases**  $skip\text{-elim}' [elim]: (Skip, mem) \rightsquigarrow_s (c', mem')$

**lemma**  $cxt\text{-inv}$ :

$\llbracket cxt\text{-to-stmt} E c = c' ; \bigwedge p q. c' \neq Seq p q \rrbracket \Longrightarrow E = \llbracket \wedge c' = c$

$\langle proof \rangle$

**lemma** *cxt-inv-assign*:

$$\llbracket \text{cxt-to-stmt } E \ c = x \leftarrow e \rrbracket \Longrightarrow c = x \leftarrow e \wedge E = []$$

*<proof>*

**lemma** *cxt-inv-skip*:

$$\llbracket \text{cxt-to-stmt } E \ c = \text{Skip} \rrbracket \Longrightarrow c = \text{Skip} \wedge E = []$$

*<proof>*

**lemma** *cxt-inv-stop*:

$$\text{cxt-to-stmt } E \ c = \text{Stop} \Longrightarrow c = \text{Stop} \wedge E = []$$

*<proof>*

**lemma** *cxt-inv-if*:

$$\text{cxt-to-stmt } E \ c = \text{If } e \ p \ q \Longrightarrow c = \text{If } e \ p \ q \wedge E = []$$

*<proof>*

**lemma** *cxt-inv-while*:

$$\text{cxt-to-stmt } E \ c = \text{While } e \ p \Longrightarrow c = \text{While } e \ p \wedge E = []$$

*<proof>*

**lemma** *skip-elim* [elim]:

$$\langle \text{Skip}, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \Longrightarrow c' = \text{Stop} \wedge \text{mds} = \text{mds}' \wedge \text{mem} = \text{mem}'$$

*<proof>*

**lemma** *assign-elim* [elim]:

$$\langle x \leftarrow e, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \Longrightarrow c' = \text{Stop} \wedge \text{mds} = \text{mds}' \wedge \text{mem}' = \text{mem} \ (x := \text{eval}_A \ \text{mem} \ e)$$

*<proof>*

**inductive-cases** *if-elim'* [elim!]:  $(\text{If } b \ p \ q, \text{mem}) \rightsquigarrow_s (c', \text{mem}')$

**lemma** *if-elim* [elim]:

$$\bigwedge P.$$

$$\llbracket \langle \text{If } b \ p \ q, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w ;$$

$$\llbracket c' = p ; \text{mem}' = \text{mem} ; \text{mds}' = \text{mds} ; \text{eval}_B \ \text{mem} \ b \rrbracket \Longrightarrow P ;$$

$$\llbracket c' = q ; \text{mem}' = \text{mem} ; \text{mds}' = \text{mds} ; \neg \text{eval}_B \ \text{mem} \ b \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$$

*<proof>*

**inductive-cases** *while-elim'* [elim!]:  $(\text{While } e \ c, \text{mem}) \rightsquigarrow_s (c', \text{mem}')$

**lemma** *while-elim* [elim]:

$$\llbracket \langle \text{While } e \ c, \text{mds}, \text{mem} \rangle_w \rightsquigarrow_w \langle c', \text{mds}', \text{mem}' \rangle_w \rrbracket \Longrightarrow c' = \text{If } e \ (c ;; \text{While } e \ c) \ \text{Stop} \wedge \text{mds}' = \text{mds} \wedge \text{mem}' = \text{mem}$$

*<proof>*

**inductive-cases** *upd-elim'* [elim]:  $(c@[upd], \text{mem}) \rightsquigarrow_s (c', \text{mem}')$

**lemma** *upd-elim* [elim]:

$\langle c@[upd], mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \implies \langle c, \text{update-modes } upd \ mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w$   
 \langle proof \rangle

**lemma** *cxt-seq-elim* [elim]:

$c_1 ;; c_2 = \text{cxt-to-stmt } E \ c \implies (E = [] \wedge c = c_1 ;; c_2) \vee (\exists \ c' \ cs. E = c' \# \ cs \wedge c = c_1 \wedge c_2 = \text{cxt-to-stmt } cs \ c')$   
 \langle proof \rangle

**inductive-cases** *seq-elim'* [elim]:  $(c_1 ;; c_2, mem) \rightsquigarrow_s (c', mem')$

**lemma** *stop-no-eval*:  $\neg (\langle Stop, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w)$   
 \langle proof \rangle

**lemma** *seq-stop-elim* [elim]:

$\langle Stop ;; c, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w \implies c' = c \wedge mds' = mds \wedge mem' = mem$   
 \langle proof \rangle

**lemma** *cxt-stmt-seq*:

$c ;; \text{cxt-to-stmt } E \ c' = \text{cxt-to-stmt } (c' \# E) \ c$   
 \langle proof \rangle

**lemma** *seq-elim* [elim]:

$\llbracket \langle c_1 ;; c_2, mds, mem \rangle_w \rightsquigarrow_w \langle c', mds', mem' \rangle_w ; c_1 \neq Stop \rrbracket \implies (\exists \ c_1'. \langle c_1, mds, mem \rangle_w \rightsquigarrow_w \langle c_1', mds', mem' \rangle_w \wedge c' = c_1' ;; c_2)$   
 \langle proof \rangle

**lemma** *stop-cxt*:  $Stop = \text{cxt-to-stmt } E \ c \implies c = Stop$   
 \langle proof \rangle

end

end

## 5 Type System for Ensuring SIFUM-Security of Commands

**theory** *TypeSystem*

**imports** *Main Preliminaries Security Language Compositionality*

**begin**

### 5.1 Typing Rules

**type-synonym** *Type* = *Sec*

**type-synonym** *'Var TyEnv* = *'Var*  $\rightarrow$  *Type*

**locale** *sifum-types* =  
*sifum-lang*  $ev_A$   $ev_B$  + *sifum-security*  $dma$  *Stop*  $eval_w$   
**for**  $ev_A :: ('Var, 'Val) Mem \Rightarrow 'AExp \Rightarrow 'Val$   
**and**  $ev_B :: ('Var, 'Val) Mem \Rightarrow 'BExp \Rightarrow bool$

**context** *sifum-types*  
**begin**

**abbreviation**  $mm-equiv-abv2 :: (-, -, -) LocalConf \Rightarrow (-, -, -) LocalConf \Rightarrow bool$   
**(infix  $\approx 60$ )**  
**where**  $mm-equiv-abv2\ c\ c' \equiv mm-equiv-abv\ c\ c'$

**abbreviation**  $eval-abv2 :: (-, 'Var, 'Val) LocalConf \Rightarrow (-, -, -) LocalConf \Rightarrow bool$   
**(infixl  $\rightsquigarrow 70$ )**  
**where**  
 $x \rightsquigarrow y \equiv (x, y) \in eval_w$

**abbreviation**  $low-indistinguishable-abv :: 'Var\ Mds \Rightarrow ('Var, 'AExp, 'BExp)\ Stmt$   
 $\Rightarrow (-, -, -)\ Stmt \Rightarrow bool$   
**(-  $\sim_1$  - [100, 100] 80)**  
**where**  
 $c \sim_{m\text{ds}} c' \equiv low-indistinguishable\ m\text{ds}\ c\ c'$

**definition**  $to-total :: 'Var\ TyEnv \Rightarrow 'Var \Rightarrow Sec$   
**where**  $to-total\ \Gamma\ v \equiv \text{if } v \in \text{dom } \Gamma \text{ then the } (\Gamma\ v) \text{ else } dma\ v$

**definition**  $max-dom :: Sec\ set \Rightarrow Sec$   
**where**  $max-dom\ xs \equiv \text{if } High \in xs \text{ then } High \text{ else } Low$

**inductive**  $type-aexpr :: 'Var\ TyEnv \Rightarrow 'AExp \Rightarrow Type \Rightarrow bool$  (-  $\vdash_a$  -  $\in$  - [120, 120, 120] 1000)  
**where**  
 $type-aexpr\ [intro!]: \Gamma \vdash_a\ e \in max-dom\ (\text{image } (\lambda x. to-total\ \Gamma\ x)\ (aexpr\text{-vars}\ e))$

**inductive-cases**  $type-aexpr-elim\ [elim]: \Gamma \vdash_a\ e \in t$

**inductive**  $type-bexpr :: 'Var\ TyEnv \Rightarrow 'BExp \Rightarrow Type \Rightarrow bool$  (-  $\vdash_b$  -  $\in$  - [120, 120, 120] 1000)  
**where**  
 $type-bexpr\ [intro!]: \Gamma \vdash_b\ e \in max-dom\ (\text{image } (\lambda x. to-total\ \Gamma\ x)\ (bexpr\text{-vars}\ e))$

**inductive-cases**  $type-bexpr-elim\ [elim]: \Gamma \vdash_b\ e \in t$

**definition**  $m\text{ds-consistent} :: 'Var\ Mds \Rightarrow 'Var\ TyEnv \Rightarrow bool$   
**where**  $m\text{ds-consistent}\ m\text{ds}\ \Gamma \equiv$

$$\text{dom } \Gamma = \{(x :: 'Var). (dma\ x = Low \wedge x \in mds\ AsmNoRead) \vee (dma\ x = High \wedge x \in mds\ AsmNoWrite)\}$$

**fun** *add-anno-dom* :: 'Var TyEnv  $\Rightarrow$  'Var ModeUpd  $\Rightarrow$  'Var set  
**where**  
*add-anno-dom*  $\Gamma$  (Acq *v* AsmNoRead) = (if *dma v* = Low then  $\text{dom } \Gamma \cup \{v\}$  else  $\text{dom } \Gamma$ ) |  
*add-anno-dom*  $\Gamma$  (Acq *v* AsmNoWrite) = (if *dma v* = High then  $\text{dom } \Gamma \cup \{v\}$  else  $\text{dom } \Gamma$ ) |  
*add-anno-dom*  $\Gamma$  (Acq *v* -) =  $\text{dom } \Gamma$  |  
*add-anno-dom*  $\Gamma$  (Rel *v* AsmNoRead) = (if *dma v* = Low then  $\text{dom } \Gamma - \{v\}$  else  $\text{dom } \Gamma$ ) |  
*add-anno-dom*  $\Gamma$  (Rel *v* AsmNoWrite) = (if *dma v* = High then  $\text{dom } \Gamma - \{v\}$  else  $\text{dom } \Gamma$ ) |  
*add-anno-dom*  $\Gamma$  (Rel *v* -) =  $\text{dom } \Gamma$

**definition** *add-anno* :: 'Var TyEnv  $\Rightarrow$  'Var ModeUpd  $\Rightarrow$  'Var TyEnv (**infix**  $\oplus$  60)  
**where**  
 $\Gamma \oplus \text{upd} = ((\lambda x. \text{Some } (to\text{-total } \Gamma\ x)) | ' \text{add-anno-dom } \Gamma\ \text{upd})$

**definition** *context-le* :: 'Var TyEnv  $\Rightarrow$  'Var TyEnv  $\Rightarrow$  bool (**infixr**  $\sqsubseteq_c$  100)  
**where**  
 $\Gamma \sqsubseteq_c \Gamma' \equiv (\text{dom } \Gamma = \text{dom } \Gamma') \wedge (\forall x \in \text{dom } \Gamma. \text{the } (\Gamma\ x) \sqsubseteq \text{the } (\Gamma'\ x))$

**inductive** *has-type* :: 'Var TyEnv  $\Rightarrow$  ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  'Var TyEnv  $\Rightarrow$  bool

$$(\vdash - \{-\} - [120, 120, 120] 1000)$$

**where**

$$\text{stop-type } [intro]: \vdash \Gamma \{Stop\} \Gamma \mid$$

$$\text{skip-type } [intro]: \vdash \Gamma \{Skip\} \Gamma \mid$$

$$\text{assign}_1: \llbracket x \notin \text{dom } \Gamma; \Gamma \vdash_a e \in t; t \sqsubseteq \text{dma } x \rrbracket \Longrightarrow \vdash \Gamma \{x \leftarrow e\} \Gamma \mid$$

$$\text{assign}_2: \llbracket x \in \text{dom } \Gamma; \Gamma \vdash_a e \in t \rrbracket \Longrightarrow \text{has-type } \Gamma (x \leftarrow e) (\Gamma (x := \text{Some } t)) \mid$$

$$\text{if-type } [intro]: \llbracket \Gamma \vdash_b e \in High \longrightarrow$$

$$((\forall \text{ mds}. \text{mds-consistent mds } \Gamma \longrightarrow (\text{low-indistinguishable mds } c_1\ c_2)) \wedge$$

$$(\forall x \in \text{dom } \Gamma'. \Gamma' x = \text{Some } High))$$

$$; \vdash \Gamma \{c_1\} \Gamma'$$

$$; \vdash \Gamma \{c_2\} \Gamma' \rrbracket \Longrightarrow$$

$$\vdash \Gamma \{If\ e\ c_1\ c_2\} \Gamma' \mid$$

$$\text{while-type } [intro]: \llbracket \Gamma \vdash_b e \in Low; \vdash \Gamma \{c\} \Gamma \rrbracket \Longrightarrow \vdash \Gamma \{While\ e\ c\} \Gamma \mid$$

$$\text{anno-type } [intro]: \llbracket \Gamma' = \Gamma \oplus \text{upd}; \vdash \Gamma' \{c\} \Gamma''; c \neq Stop;$$

$$\forall x. \text{to-total } \Gamma\ x \sqsubseteq \text{to-total } \Gamma'\ x \rrbracket \Longrightarrow \vdash \Gamma \{c@[upd]\} \Gamma'' \mid$$

$$\text{seq-type } [intro]: \llbracket \vdash \Gamma \{c_1\} \Gamma'; \vdash \Gamma' \{c_2\} \Gamma'' \rrbracket \Longrightarrow \vdash \Gamma \{c_1 ;; c_2\} \Gamma'' \mid$$

$$\text{sub}: \llbracket \vdash \Gamma_1 \{c\} \Gamma_1'; \Gamma_2 \sqsubseteq_c \Gamma_1; \Gamma_1' \sqsubseteq_c \Gamma_2' \rrbracket \Longrightarrow \vdash \Gamma_2 \{c\} \Gamma_2'$$

## 5.2 Typing Soundness

The following predicate is needed to exclude some pathological cases, that abuse the *Stop* command which is not allowed to occur in actual programs.

**fun** *has-annotated-stop* :: ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  bool

**where**

*has-annotated-stop* ( $c@[-]$ ) = (if  $c = \text{Stop}$  then  $\text{True}$  else *has-annotated-stop*  $c$ ) |  
*has-annotated-stop* ( $\text{Seq } p \ q$ ) = (*has-annotated-stop*  $p \vee$  *has-annotated-stop*  $q$ ) |  
*has-annotated-stop* ( $\text{If } - \ p \ q$ ) = (*has-annotated-stop*  $p \vee$  *has-annotated-stop*  $q$ ) |  
*has-annotated-stop* ( $\text{While } - \ p$ ) = *has-annotated-stop*  $p$  |  
*has-annotated-stop*  $- = \text{False}$

**inductive-cases** *has-type-elim*:  $\vdash \Gamma \{ c \} \Gamma'$

**inductive-cases** *has-type-stop-elim*:  $\vdash \Gamma \{ \text{Stop} \} \Gamma'$

**definition** *tyenv-eq* ::  $'\text{Var } \text{TyEnv} \Rightarrow ('Var, 'Val) \text{Mem} \Rightarrow ('Var, 'Val) \text{Mem} \Rightarrow \text{bool}$

(**infix** =<sub>1</sub> 60)

**where**  $\text{mem}_1 =_{\Gamma} \text{mem}_2 \equiv \forall x. (\text{to-total } \Gamma \ x = \text{Low} \longrightarrow \text{mem}_1 \ x = \text{mem}_2 \ x)$

**lemma** *tyenv-eq-sym*:  $\text{mem}_1 =_{\Gamma} \text{mem}_2 \Longrightarrow \text{mem}_2 =_{\Gamma} \text{mem}_1$

*<proof>*

**inductive-set**  $\mathcal{R}_1 :: '\text{Var } \text{TyEnv} \Rightarrow (('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf rel}$

**and**  $\mathcal{R}_1\text{-abv} :: '\text{Var } \text{TyEnv} \Rightarrow$

$(('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf} \Rightarrow$

$(('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf} \Rightarrow$

$\text{bool } (- \ \mathcal{R}_1^1 - [120, 120] \ 1000)$

**for**  $\Gamma' :: '\text{Var } \text{TyEnv}$

**where**

$x \ \mathcal{R}_1^1_{\Gamma} \ y \equiv (x, y) \in \mathcal{R}_1 \ \Gamma \mid$

*intro* [*intro!*] :  $\llbracket \vdash \Gamma \{ c \} \Gamma' ; \text{mds-consistent mds } \Gamma ; \text{mem}_1 =_{\Gamma} \text{mem}_2 \rrbracket \Longrightarrow \langle c, \text{mds}, \text{mem}_1 \rangle \ \mathcal{R}_1^1_{\Gamma'} \ \langle c, \text{mds}, \text{mem}_2 \rangle$

**inductive-set**  $\mathcal{R}_2 :: '\text{Var } \text{TyEnv} \Rightarrow (('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf rel}$

**and**  $\mathcal{R}_2\text{-abv} :: '\text{Var } \text{TyEnv} \Rightarrow$

$(('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf} \Rightarrow$

$(('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf} \Rightarrow$

$\text{bool } (- \ \mathcal{R}_2^1 - [120, 120] \ 1000)$

**for**  $\Gamma' :: '\text{Var } \text{TyEnv}$

**where**

$x \ \mathcal{R}_2^2_{\Gamma} \ y \equiv (x, y) \in \mathcal{R}_2 \ \Gamma \mid$

*intro* [*intro!*] :  $\llbracket \langle c_1, \text{mds}, \text{mem}_1 \rangle \approx \langle c_2, \text{mds}, \text{mem}_2 \rangle ;$

$\forall x \in \text{dom } \Gamma'. \ \Gamma' \ x = \text{Some High} ;$

$\vdash \Gamma_1 \{ c_1 \} \Gamma' ; \vdash \Gamma_2 \{ c_2 \} \Gamma' ;$

$\text{mds-consistent mds } \Gamma_1 ; \text{mds-consistent mds } \Gamma_2 \rrbracket \Longrightarrow$

$\langle c_1, \text{mds}, \text{mem}_1 \rangle \ \mathcal{R}_2^2_{\Gamma'} \ \langle c_2, \text{mds}, \text{mem}_2 \rangle$

**inductive**  $\mathcal{R}_3\text{-aux} :: '\text{Var } \text{TyEnv} \Rightarrow (('Var, 'AExp, 'BExp) \text{Stmt}, 'Var, 'Val) \text{LocalConf} \Rightarrow$

$((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow$   
 $\text{bool } (- \mathcal{R}^3_1 - [120, 120] 1000)$

**and**  $\mathcal{R}_3 :: \text{'Var TyEnv} \Rightarrow ((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel}$   
**where**

$\mathcal{R}_3 \Gamma' \equiv \{(lc_1, lc_2). \mathcal{R}_3\text{-aux } \Gamma' lc_1 lc_2\} \mid$   
 $\text{intro}_1 [\text{intro}] : \llbracket \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle; \vdash \Gamma \{c\} \Gamma' \rrbracket \Longrightarrow$   
 $\langle \text{Seq } c_1 c, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_{\Gamma'} \langle \text{Seq } c_2 c, \text{mds}, \text{mem}_2 \rangle \mid$   
 $\text{intro}_2 [\text{intro}] : \llbracket \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle; \vdash \Gamma \{c\} \Gamma' \rrbracket \Longrightarrow$   
 $\langle \text{Seq } c_1 c, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_{\Gamma'} \langle \text{Seq } c_2 c, \text{mds}, \text{mem}_2 \rangle \mid$   
 $\text{intro}_3 [\text{intro}] : \llbracket \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle; \vdash \Gamma \{c\} \Gamma' \rrbracket \Longrightarrow$   
 $\langle \text{Seq } c_1 c, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_{\Gamma'} \langle \text{Seq } c_2 c, \text{mds}, \text{mem}_2 \rangle$

**definition**  $\text{weak-bisim} :: ((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel} \Rightarrow$   
 $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel} \Rightarrow \text{bool}$

**where**  $\text{weak-bisim } \mathcal{T}_1 \mathcal{T} \equiv \forall c_1 c_2 \text{mds mem}_1 \text{mem}_2 c_1' \text{mds}' \text{mem}_1'.$

$(\langle c_1, \text{mds}, \text{mem}_1 \rangle, \langle c_2, \text{mds}, \text{mem}_2 \rangle) \in \mathcal{T}_1 \wedge$   
 $(\langle c_1, \text{mds}, \text{mem}_1 \rangle \rightsquigarrow \langle c_1', \text{mds}', \text{mem}_1' \rangle) \longrightarrow$   
 $(\exists c_2' \text{mem}_2'. \langle c_2, \text{mds}, \text{mem}_2 \rangle \rightsquigarrow \langle c_2', \text{mds}', \text{mem}_2' \rangle \wedge$   
 $(\langle c_1', \text{mds}', \text{mem}_1' \rangle, \langle c_2', \text{mds}', \text{mem}_2' \rangle) \in \mathcal{T})$

**inductive-set**  $\mathcal{R} :: \text{'Var TyEnv} \Rightarrow$

$((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf rel}$

**and**  $\mathcal{R}\text{-abv} :: \text{'Var TyEnv} \Rightarrow$

$((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow$

$((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow$

$\text{bool } (- \mathcal{R}^u_1 - [120, 120] 1000)$

**for**  $\Gamma :: \text{'Var TyEnv}$

**where**

$x \mathcal{R}^u_\Gamma y \equiv (x, y) \in \mathcal{R} \Gamma \mid$   
 $\text{intro}_1: lc \mathcal{R}^1_\Gamma lc' \Longrightarrow (lc, lc') \in \mathcal{R} \Gamma \mid$   
 $\text{intro}_2: lc \mathcal{R}^2_\Gamma lc' \Longrightarrow (lc, lc') \in \mathcal{R} \Gamma \mid$   
 $\text{intro}_3: lc \mathcal{R}^3_\Gamma lc' \Longrightarrow (lc, lc') \in \mathcal{R} \Gamma$

**inductive-cases**  $\mathcal{R}_1\text{-elim} [\text{elim}]: \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle$

**inductive-cases**  $\mathcal{R}_2\text{-elim} [\text{elim}]: \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle$

**inductive-cases**  $\mathcal{R}_3\text{-elim} [\text{elim}]: \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, \text{mds}, \text{mem}_2 \rangle$

**inductive-cases**  $\mathcal{R}\text{-elim} [\text{elim}]: (\langle c_1, \text{mds}, \text{mem}_1 \rangle, \langle c_2, \text{mds}, \text{mem}_2 \rangle) \in \mathcal{R} \Gamma$

**inductive-cases**  $\mathcal{R}\text{-elim}' : (\langle c_1, \text{mds}, \text{mem}_1 \rangle, \langle c_2, \text{mds}_2, \text{mem}_2 \rangle) \in \mathcal{R} \Gamma$

**inductive-cases**  $\mathcal{R}_1\text{-elim}' : \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^1_\Gamma \langle c_2, \text{mds}_2, \text{mem}_2 \rangle$

**inductive-cases**  $\mathcal{R}_2\text{-elim}' : \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^2_\Gamma \langle c_2, \text{mds}_2, \text{mem}_2 \rangle$

**inductive-cases**  $\mathcal{R}_3\text{-elim}' : \langle c_1, \text{mds}, \text{mem}_1 \rangle \mathcal{R}^3_\Gamma \langle c_2, \text{mds}_2, \text{mem}_2 \rangle$

**lemma**  $\mathcal{R}_1\text{-sym}: \text{sym } (\mathcal{R}_1 \Gamma)$

$\langle \text{proof} \rangle$



**lemma**  $\mathcal{R}_2$ -sym: sym ( $\mathcal{R}_2 \Gamma$ )

*<proof>*

**lemma**  $\mathcal{R}_3$ -sym: sym ( $\mathcal{R}_3 \Gamma$ )

*<proof>*

**lemma**  $\mathcal{R}$ -mds [simp]:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^u_\Gamma \langle c_2, mds', mem_2 \rangle \implies mds = mds'$

*<proof>*

**lemma**  $\mathcal{R}$ -sym: sym ( $\mathcal{R} \Gamma$ )

*<proof>*

**lemma**  $\mathcal{R}_1$ -closed-glob-consistent: closed-glob-consistent ( $\mathcal{R}_1 \Gamma'$ )

*<proof>*

**lemma**  $\mathcal{R}_2$ -closed-glob-consistent: closed-glob-consistent ( $\mathcal{R}_2 \Gamma'$ )

*<proof>*

**fun** closed-glob-helper :: 'Var TyEnv  $\Rightarrow$  (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val)

LocalConf  $\Rightarrow$  (('Var, 'AExp, 'BExp) Stmt, 'Var, 'Val) LocalConf  $\Rightarrow$  bool

**where**

closed-glob-helper  $\Gamma' \langle c_1, mds, mem_1 \rangle \langle c_2, mds_2, mem_2 \rangle =$

$(\forall x. ((dma\ x = High \wedge x \notin mds\ AsmNoWrite) \longrightarrow$

$(\forall v_1\ v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in$

$\mathcal{R}_3 \Gamma')) \wedge$

$((dma\ x = Low \wedge x \notin mds\ AsmNoWrite) \longrightarrow$

$(\forall v. (\langle c_1, mds, mem_1(x := v) \rangle, \langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R}_3 \Gamma'))$ )

**lemma**  $\mathcal{R}_3$ -closed-glob-consistent:

**assumes**  $R\mathcal{R}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle c_2, mds, mem_2 \rangle$

**shows**  $\forall x.$

$(dma\ x = High \wedge x \notin mds\ AsmNoWrite \longrightarrow$

$(\forall v_1\ v_2. (\langle c_1, mds, mem_1(x := v_1) \rangle, \langle c_2, mds, mem_2(x := v_2) \rangle) \in \mathcal{R}_3 \Gamma'))$

$\wedge$

$(dma\ x = Low \wedge x \notin mds\ AsmNoWrite \longrightarrow (\forall v. (\langle c_1, mds, mem_1(x := v) \rangle,$

$\langle c_2, mds, mem_2(x := v) \rangle) \in \mathcal{R}_3 \Gamma'))$

*<proof>*

**lemma**  $\mathcal{R}$ -closed-glob-consistent: closed-glob-consistent ( $\mathcal{R} \Gamma'$ )

*<proof>*

**lemma** type-low-vars-low:

**assumes** *typed*:  $\Gamma \vdash_a e \in Low$   
**assumes** *mds-cons*: *mds-consistent* *mds*  $\Gamma$   
**assumes** *x-in-vars*:  $x \in aexp\text{-vars } e$   
**shows** *to-total*  $\Gamma \ x = Low$   
*<proof>*

**lemma** *type-low-vars-low-b*:  
**assumes** *typed* :  $\Gamma \vdash_b e \in Low$   
**assumes** *mds-cons*: *mds-consistent* *mds*  $\Gamma$   
**assumes** *x-in-vars*:  $x \in bexp\text{-vars } e$   
**shows** *to-total*  $\Gamma \ x = Low$   
*<proof>*

**lemma** *mode-update-add-anno*:  
*mds-consistent* *mds*  $\Gamma \implies mds\text{-consistent } (update\text{-modes } upd \ i\ mds) (\Gamma \oplus upd)$   
*<proof>*

**lemma** *context-le-trans*:  $\llbracket \Gamma \sqsubseteq_c \Gamma' ; \Gamma' \sqsubseteq_c \Gamma'' \rrbracket \implies \Gamma \sqsubseteq_c \Gamma''$   
*<proof>*

**lemma** *context-le-refl* [*simp*]:  $\Gamma \sqsubseteq_c \Gamma$   
*<proof>*

**lemma** *stop-cxt* :  
 $\llbracket \vdash \Gamma \{ c \} \Gamma' ; c = Stop \rrbracket \implies \Gamma \sqsubseteq_c \Gamma'$   
*<proof>*

**lemma** *preservation*:  
**assumes** *typed*:  $\vdash \Gamma \{ c \} \Gamma'$   
**assumes** *eval*:  $\langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle$   
**shows**  $\exists \Gamma'' . (\vdash \Gamma'' \{ c' \} \Gamma') \wedge (mds\text{-consistent } mds \ \Gamma \longrightarrow mds\text{-consistent } mds' \ \Gamma'')$   
*<proof>*

**lemma**  $\mathcal{R}_1\text{-mem-eq}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^1 \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds}^l mem_2$   
*<proof>*

**lemma**  $\mathcal{R}_2\text{-mem-eq}$ :  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^2 \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds}^l mem_2$   
*<proof>*

**fun** *bisim-helper* ::  $((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow ((\text{'Var}, \text{'AExp}, \text{'BExp}) \text{ Stmt}, \text{'Var}, \text{'Val}) \text{ LocalConf} \Rightarrow \text{bool}$   
**where**  
*bisim-helper*  $\langle c_1, mds, mem_1 \rangle \langle c_2, mds_2, mem_2 \rangle = mem_1 =_{mds}^l mem_2$

**lemma**  $\mathcal{R}_3$ -mem-eq:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^3_{\Gamma'} \langle c_2, mds, mem_2 \rangle \implies mem_1 =_{mds} mem_2$   
 mem<sub>2</sub>  
 ⟨proof⟩

**lemma**  $\mathcal{R}_2$ -bisim-step:

**assumes** case2:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}^2_{\Gamma'} \langle c_2, mds, mem_2 \rangle$   
**assumes** eval:  $\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1 \wedge \rangle$   
**shows**  $\exists c_2' mem_2'. \langle c_2, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2 \wedge \rangle \wedge \langle c_1', mds', mem_1 \wedge \rangle$   
 $\mathcal{R}^2_{\Gamma'} \langle c_2', mds', mem_2 \wedge \rangle$   
 ⟨proof⟩

**lemma**  $\mathcal{R}_2$ -weak-bisim:

weak-bisim ( $\mathcal{R}_2 \Gamma'$ ) ( $\mathcal{R} \Gamma'$ )  
 ⟨proof⟩

**lemma**  $\mathcal{R}_2$ -bisim: strong-low-bisim-mm ( $\mathcal{R}_2 \Gamma'$ )  
 ⟨proof⟩

**lemma** annotated-no-stop:  $\llbracket \neg \text{has-annotated-stop } (c@[upd]) \rrbracket \implies \neg \text{has-annotated-stop } c$   
 ⟨proof⟩

**lemma** typed-no-annotated-stop:

$\llbracket \vdash \Gamma \{ c \} \Gamma' \rrbracket \implies \neg \text{has-annotated-stop } c$   
 ⟨proof⟩

**lemma** not-stop-eval:

$\llbracket c \neq \text{Stop} ; \neg \text{has-annotated-stop } c \rrbracket \implies$   
 $\forall mds mem. \exists c' mds' mem'. \langle c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem \wedge \rangle$   
 ⟨proof⟩

**lemma** stop-bisim:

**assumes** bisim:  $\langle \text{Stop}, mds, mem_1 \rangle \approx \langle c, mds, mem_2 \rangle$   
**assumes** typeable:  $\vdash \Gamma \{ c \} \Gamma'$   
**shows**  $c = \text{Stop}$   
 ⟨proof⟩

**lemma**  $\mathcal{R}$ -typed-step:

$\llbracket \vdash \Gamma \{ c_1 \} \Gamma' ;$   
 $mds\text{-consistent } mds \Gamma ;$   
 $mem_1 =_{\Gamma} mem_2 ;$

$\langle c_1, mds, mem_1 \rangle \rightsquigarrow \langle c_1', mds', mem_1 \rangle \rrbracket \implies$   
 $(\exists c_2' mem_2'. \langle c_1, mds, mem_2 \rangle \rightsquigarrow \langle c_2', mds', mem_2 \rangle \wedge$   
 $\langle c_1', mds', mem_1 \rangle \mathcal{R}_{\Gamma'}^u \langle c_2', mds', mem_2 \rangle)$   
 <proof>

**lemma**  $\mathcal{R}_1$ -weak-bisim:  
 weak-bisim  $(\mathcal{R}_1 \Gamma') (\mathcal{R} \Gamma')$   
 <proof>

**lemma**  $\mathcal{R}$ -to- $\mathcal{R}_3$ :  $\llbracket \langle c_1, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^u \langle c_2, mds, mem_2 \rangle ; \vdash \Gamma \{ c \} \Gamma' \rrbracket \implies$   
 $\langle c_1 ;; c, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^3 \langle c_2 ;; c, mds, mem_2 \rangle$   
 <proof>

**lemma**  $\mathcal{R}_2$ -implies-typeable:  $\langle c_1, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^2 \langle c_2, mds, mem_2 \rangle \implies \exists \Gamma_1. \vdash$   
 $\Gamma_1 \{ c_2 \} \Gamma'$   
 <proof>

**lemma**  $\mathcal{R}_3$ -weak-bisim:  
 weak-bisim  $(\mathcal{R}_3 \Gamma') (\mathcal{R} \Gamma')$   
 <proof>

**lemma**  $\mathcal{R}$ -bisim: strong-low-bisim-mm  $(\mathcal{R} \Gamma')$   
 <proof>

**lemma** Typed-in- $\mathcal{R}$ :  
 assumes typeable:  $\vdash \Gamma \{ c \} \Gamma'$   
 assumes mds-cons: mds-consistent mds  $\Gamma$   
 assumes mem-eq:  $\forall x. to-total \Gamma x = Low \longrightarrow mem_1 x = mem_2 x$   
 shows  $\langle c, mds, mem_1 \rangle \mathcal{R}_{\Gamma'}^u \langle c, mds, mem_2 \rangle$   
 <proof>

**theorem** type-soundness:  
 assumes well-typed:  $\vdash \Gamma \{ c \} \Gamma'$   
 assumes mds-cons: mds-consistent mds  $\Gamma$   
 assumes mem-eq:  $\forall x. to-total \Gamma x = Low \longrightarrow mem_1 x = mem_2 x$   
 shows  $\langle c, mds, mem_1 \rangle \approx \langle c, mds, mem_2 \rangle$   
 <proof>

**definition**  $\Gamma_0 :: 'Var TyEnv$   
 where  $\Gamma_0 x = None$

**inductive** type-global ::  $('Var, 'AExp, 'BExp) Stmt list \Rightarrow bool$   
 $(\vdash - [120] 1000)$   
 where  
 $\llbracket list-all (\lambda c. \vdash \Gamma_0 \{ c \} \Gamma_0) cs ;$

$\forall \text{ mem. sound-mode-use (add-initial-modes cs, mem) } \llbracket \implies \text{type-global cs}$

**inductive-cases** *type-global-elim*:  $\vdash \text{cs}$

**lemma** *mds<sub>s</sub>-consistent*: *mds-consistent mds<sub>s</sub> Γ<sub>0</sub>*  
 $\langle \text{proof} \rangle$

**lemma** *typed-secure*:  
 $\llbracket \vdash \Gamma_0 \{ c \} \Gamma_0 \rrbracket \implies \text{com-sifum-secure } c$   
 $\langle \text{proof} \rangle$

**lemma**  $\llbracket \text{mds-consistent mds } \Gamma_0 ; \text{dma } x = \text{Low} \rrbracket \implies x \notin \text{mds AsmNoRead}$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-set*:  $\forall x \in \text{set } xs. P x \implies \text{list-all } P xs$   
 $\langle \text{proof} \rangle$

**theorem** *type-soundness-global*:  
**assumes** *typeable*:  $\vdash \text{cs}$   
**assumes** *no-assms-term*: *no-assumptions-on-termination cs*  
**shows** *prog-sifum-secure cs*  
 $\langle \text{proof} \rangle$

end  
end

## 6 Type System for Ensuring Locally Sound Use of Modes

**theory** *LocallySoundModeUse*  
**imports** *Main Security Language*  
**begin**

### 6.1 Typing Rules

**locale** *sifum-modes* = *sifum-lang ev<sub>A</sub> ev<sub>B</sub>* +  
*sifum-security dma Stop eval<sub>w</sub>*  
**for** *ev<sub>A</sub>* :: (*'Var*, *'Val*) *Mem*  $\Rightarrow$  *'AExp*  $\Rightarrow$  *'Val*  
**and** *ev<sub>B</sub>* :: (*'Var*, *'Val*) *Mem*  $\Rightarrow$  *'BExp*  $\Rightarrow$  *bool*

**context** *sifum-modes*  
**begin**

**abbreviation** *eval-abv-modes* :: (*-*, *'Var*, *'Val*) *LocalConf*  $\Rightarrow$  (*-*, *-*, *-*) *LocalConf*  $\Rightarrow$  *bool*  
**(infixl**  $\rightsquigarrow$  70)  
**where**

$x \rightsquigarrow y \equiv (x, y) \in \text{eval}_w$

**fun** *update-annos* :: 'Var Mds  $\Rightarrow$  'Var ModeUpd list  $\Rightarrow$  'Var Mds  
(**infix**  $\oplus$  140)

**where**

*update-annos* mds [] = mds |

*update-annos* mds (a # as) = *update-annos* (*update-modes* a mds) as

**fun** *annotate* :: ('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$  'Var ModeUpd list  $\Rightarrow$  ('Var, 'AExp,  
'BExp) Stmt

(**infix**  $\otimes$  140)

**where**

*annotate* c [] = c |

*annotate* c (a # as) = (*annotate* c as)@[a]

**inductive** *mode-type* :: 'Var Mds  $\Rightarrow$

('Var, 'AExp, 'BExp) Stmt  $\Rightarrow$

'Var Mds  $\Rightarrow$  bool ( $\vdash$  - { - } -)

**where**

*skip*:  $\vdash$  mds { Skip  $\otimes$  annos } (mds  $\oplus$  annos) |

*assign*:  $\llbracket x \notin \text{mds GuarNoWrite} ; \text{aexp-vars } e \cap \text{mds GuarNoRead} = \{\} \rrbracket \implies$

$\vdash$  mds { (x  $\leftarrow$  e)  $\otimes$  annos } (mds  $\oplus$  annos) |

*if*\_:  $\llbracket \vdash$  (mds  $\oplus$  annos) { c<sub>1</sub> } mds'' ;

$\vdash$  (mds  $\oplus$  annos) { c<sub>2</sub> } mds'' ;

*bexp-vars* e  $\cap$  mds GuarNoRead = { }  $\rrbracket \implies$

$\vdash$  mds { If e c<sub>1</sub> c<sub>2</sub>  $\otimes$  annos } mds'' |

*while*:  $\llbracket \text{mds}' = \text{mds} \oplus \text{annos} ; \vdash \text{mds}' \{ c \} \text{mds}' ; \text{bexp-vars } e \cap \text{mds}' \text{GuarNoRead} = \{\} \rrbracket \implies$

$\vdash$  mds { While e c  $\otimes$  annos } mds' |

*seq*:  $\llbracket \vdash \text{mds} \{ c_1 \} \text{mds}' ; \vdash \text{mds}' \{ c_2 \} \text{mds}'' \rrbracket \implies \vdash \text{mds} \{ c_1 ; c_2 \} \text{mds}''$  |

*sub*:  $\llbracket \vdash \text{mds}_2 \{ c \} \text{mds}_2' ; \text{mds}_1 \leq \text{mds}_2 ; \text{mds}_2' \leq \text{mds}_1' \rrbracket \implies$

$\vdash \text{mds}_1 \{ c \} \text{mds}_1'$

## 6.2 Soundness of the Type System

**lemma** *cxt-eval*:

$\llbracket \langle \text{cxt-to-stmt} \rrbracket c, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle \text{cxt-to-stmt} \rrbracket c', \text{mds}', \text{mem}' \rrbracket \implies$

$\langle c, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c', \text{mds}', \text{mem}' \rangle$

*<proof>*

**lemma** *update-preserves-le*:

$\text{mds}_1 \leq \text{mds}_2 \implies (\text{mds}_1 \oplus \text{annos}) \leq (\text{mds}_2 \oplus \text{annos})$

*<proof>*

**lemma** *doesnt-read-annos*:

*doesnt-read* c x  $\implies$  *doesnt-read* (c  $\otimes$  annos) x

*<proof>*

**lemma** *doesnt-modify-annos*:

$doesnt\text{-}modify\ c\ x \implies doesnt\text{-}modify\ (c \otimes annos)\ x$

$\langle proof \rangle$

**lemma** *stop-loc-reach*:

$\llbracket \langle c', mds', mem' \rangle \in loc\text{-}reach\ \langle Stop, mds, mem \rangle \rrbracket \implies$

$c' = Stop \wedge mds' = mds$

$\langle proof \rangle$

**lemma** *stop-doesnt-access*:

$doesnt\text{-}modify\ Stop\ x \wedge doesnt\text{-}read\ Stop\ x$

$\langle proof \rangle$

**lemma** *skip-eval-step*:

$\langle Skip \otimes annos, mds, mem \rangle \rightsquigarrow \langle Stop, mds \oplus annos, mem \rangle$

$\langle proof \rangle$

**lemma** *skip-eval-elim*:

$\llbracket \langle Skip \otimes annos, mds, mem \rangle \rightsquigarrow \langle c', mds', mem' \rangle \rrbracket \implies c' = Stop \wedge mds' = mds$

$\oplus annos \wedge mem' = mem$

$\langle proof \rangle$

**lemma** *skip-doesnt-read*:

$doesnt\text{-}read\ (Skip \otimes annos)\ x$

$\langle proof \rangle$

**lemma** *skip-doesnt-write*:

$doesnt\text{-}modify\ (Skip \otimes annos)\ x$

$\langle proof \rangle$

**lemma** *skip-loc-reach*:

$\llbracket \langle c', mds', mem' \rangle \in loc\text{-}reach\ \langle Skip \otimes annos, mds, mem \rangle \rrbracket \implies$

$(c' = Stop \wedge mds' = (mds \oplus annos)) \vee (c' = Skip \otimes annos \wedge mds' = mds)$

$\langle proof \rangle$

**lemma** *skip-doesnt-access*:

$\llbracket lc \in loc\text{-}reach\ \langle Skip \otimes annos, mds, mem \rangle ; lc = \langle c', mds', mem' \rangle \rrbracket \implies$

$doesnt\text{-}read\ c'\ x \wedge doesnt\text{-}modify\ c'\ x$

$\langle proof \rangle$

**lemma** *assign-doesnt-modify*:

$\llbracket x \neq y \rrbracket \implies doesnt\text{-}modify\ ((x \leftarrow e) \otimes annos)\ y$

$\langle proof \rangle$

**lemma** *assign-annos-eval*:

$\langle (x \leftarrow e) \otimes annos, mds, mem \rangle \rightsquigarrow \langle Stop, mds \oplus annos, mem\ (x := ev_A\ mem\ e) \rangle$

$\langle proof \rangle$

**lemma** *assign-annos-eval-elim*:

$$\begin{aligned} & \llbracket \langle (x \leftarrow e) \otimes \text{annos}, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c', \text{mds}', \text{mem}' \rangle \rrbracket \implies \\ & c' = \text{Stop} \wedge \text{mds}' = \text{mds} \oplus \text{annos} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *mem-upd-commute*:

$$\begin{aligned} & \llbracket x \neq y \rrbracket \implies \text{mem } (x := v_1, y := v_2) = \text{mem } (y := v_2, x := v_1) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *assign-doesnt-read*:

$$\begin{aligned} & \llbracket y \notin \text{aexp-vars } e \rrbracket \implies \text{doesnt-read } ((x \leftarrow e) \otimes \text{annos}) y \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *assign-loc-reach*:

$$\begin{aligned} & \llbracket \langle c', \text{mds}', \text{mem}' \rangle \in \text{loc-reach } \langle (x \leftarrow e) \otimes \text{annos}, \text{mds}, \text{mem} \rangle \rrbracket \implies \\ & (c' = \text{Stop} \wedge \text{mds}' = (\text{mds} \oplus \text{annos})) \vee (c' = (x \leftarrow e) \otimes \text{annos} \wedge \text{mds}' = \text{mds}) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-doesnt-modify*:

$$\begin{aligned} & \text{doesnt-modify } (\text{If } e \ c_1 \ c_2 \otimes \text{annos}) x \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *vars-eval<sub>B</sub>*:

$$\begin{aligned} & x \notin \text{bexp-vars } e \implies \text{ev}_B \text{ mem } e = \text{ev}_B (\text{mem } (x := v)) e \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-doesnt-read*:

$$\begin{aligned} & x \notin \text{bexp-vars } e \implies \text{doesnt-read } (\text{If } e \ c_1 \ c_2 \otimes \text{annos}) x \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-eval-true*:

$$\begin{aligned} & \llbracket \text{ev}_B \text{ mem } e \rrbracket \implies \\ & \langle \text{If } e \ c_1 \ c_2 \otimes \text{annos}, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c_1, \text{mds} \oplus \text{annos}, \text{mem} \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-eval-false*:

$$\begin{aligned} & \llbracket \neg \text{ev}_B \text{ mem } e \rrbracket \implies \\ & \langle \text{If } e \ c_1 \ c_2 \otimes \text{annos}, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c_2, \text{mds} \oplus \text{annos}, \text{mem} \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-eval-elim*:

$$\begin{aligned} & \llbracket \langle \text{If } e \ c_1 \ c_2 \otimes \text{annos}, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c', \text{mds}', \text{mem}' \rangle \rrbracket \implies \\ & ((c' = c_1 \wedge \text{ev}_B \text{ mem } e) \vee (c' = c_2 \wedge \neg \text{ev}_B \text{ mem } e)) \wedge \text{mds}' = \text{mds} \oplus \text{annos} \wedge \\ & \text{mem}' = \text{mem} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *if-eval-elim'*:

$$\llbracket \langle \text{If } e \ c_1 \ c_2, \text{mds}, \text{mem} \rangle \rightsquigarrow \langle c', \text{mds}', \text{mem}' \rangle \rrbracket \implies$$



$((c' = c_1 \wedge ev_B mem e) \vee (c' = c_2 \wedge \neg ev_B mem e)) \wedge mds' = mds \wedge mem' = mem$   
 <proof>

**lemma** *loc-reach-refl'*:

$\langle c, mds, mem \rangle \in loc\text{-}reach \langle c, mds, mem \rangle$   
 <proof>

**lemma** *if-loc-reach*:

$\llbracket \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle If\ e\ c_1\ c_2 \otimes annos, mds, mem \rangle \rrbracket \implies$   
 $(c' = If\ e\ c_1\ c_2 \otimes annos \wedge mds' = mds) \vee$   
 $(\exists mem''. \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_1, mds \oplus annos, mem'' \rangle) \vee$   
 $(\exists mem''. \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_2, mds \oplus annos, mem'' \rangle)$   
 <proof>

**lemma** *if-loc-reach'*:

$\llbracket \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle If\ e\ c_1\ c_2, mds, mem \rangle \rrbracket \implies$   
 $(c' = If\ e\ c_1\ c_2 \wedge mds' = mds) \vee$   
 $(\exists mem''. \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_1, mds, mem'' \rangle) \vee$   
 $(\exists mem''. \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_2, mds, mem'' \rangle)$   
 <proof>

**lemma** *seq-loc-reach*:

$\llbracket \langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_1 ;; c_2, mds, mem \rangle \rrbracket \implies$   
 $(\exists c''. c' = c'' ;; c_2 \wedge \langle c'', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_1, mds, mem \rangle) \vee$   
 $(\exists c'' mds'' mem''. \langle Stop, mds'', mem'' \rangle \in loc\text{-}reach \langle c_1, mds, mem \rangle \wedge$   
 $\langle c', mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_2, mds'', mem'' \rangle)$   
 <proof>

**lemma** *seq-doesnt-read*:

$\llbracket doesnt\text{-}read\ c\ x \rrbracket \implies doesnt\text{-}read\ (c ;; c')\ x$   
 <proof>

**lemma** *seq-doesnt-modify*:

$\llbracket doesnt\text{-}modify\ c\ x \rrbracket \implies doesnt\text{-}modify\ (c ;; c')\ x$   
 <proof>

**inductive-cases** *seq-stop-elim'*:  $\langle Stop ;; c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem^\wedge \rangle$

**lemma** *seq-stop-elim*:  $\langle Stop ;; c, mds, mem \rangle \rightsquigarrow \langle c', mds', mem^\wedge \rangle \implies$

$c' = c \wedge mds' = mds \wedge mem' = mem$   
 <proof>

**lemma** *seq-split*:

$\llbracket \langle Stop, mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_1 ;; c_2, mds, mem \rangle \rrbracket \implies$   
 $\exists mds'' mem''. \langle Stop, mds'', mem'' \rangle \in loc\text{-}reach \langle c_1, mds, mem \rangle \wedge$   
 $\langle Stop, mds', mem^\wedge \rangle \in loc\text{-}reach \langle c_2, mds'', mem'' \rangle$   
 <proof>

**lemma** *while-eval*:

$\langle \text{While } e \ c \ \otimes \ \text{annos}, \ \text{mds}, \ \text{mem} \rangle \rightsquigarrow \langle (\text{If } e \ (c \ ; \ ; \ \text{While } e \ c) \ \text{Stop}), \ \text{mds} \oplus \ \text{annos}, \ \text{mem} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *while-eval'*:

$\langle \text{While } e \ c, \ \text{mds}, \ \text{mem} \rangle \rightsquigarrow \langle \text{If } e \ (c \ ; \ ; \ \text{While } e \ c) \ \text{Stop}, \ \text{mds}, \ \text{mem} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *while-eval-elim*:

$\llbracket \langle \text{While } e \ c \ \otimes \ \text{annos}, \ \text{mds}, \ \text{mem} \rangle \rightsquigarrow \langle c', \ \text{mds}', \ \text{mem}' \wedge \rangle \rrbracket \implies$   
 $(c' = \text{If } e \ (c \ ; \ ; \ \text{While } e \ c) \ \text{Stop} \wedge \text{mds}' = \text{mds} \oplus \ \text{annos} \wedge \text{mem}' = \text{mem})$   
 $\langle \text{proof} \rangle$

**lemma** *while-eval-elim'*:

$\llbracket \langle \text{While } e \ c, \ \text{mds}, \ \text{mem} \rangle \rightsquigarrow \langle c', \ \text{mds}', \ \text{mem}' \wedge \rangle \rrbracket \implies$   
 $(c' = \text{If } e \ (c \ ; \ ; \ \text{While } e \ c) \ \text{Stop} \wedge \text{mds}' = \text{mds} \wedge \text{mem}' = \text{mem})$   
 $\langle \text{proof} \rangle$

**lemma** *while-doesnt-read*:

$\llbracket x \notin \text{bexp-vars } e \rrbracket \implies \text{doesnt-read } (\text{While } e \ c \ \otimes \ \text{annos}) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *while-doesnt-modify*:

$\text{doesnt-modify } (\text{While } e \ c \ \otimes \ \text{annos}) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *disjE3*:

$\llbracket A \vee B \vee C \ ; \ A \implies P \ ; \ B \implies P \ ; \ C \implies P \rrbracket \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *disjE5*:

$\llbracket A \vee B \vee C \vee D \vee E \ ; \ A \implies P \ ; \ B \implies P \ ; \ C \implies P \ ; \ D \implies P \ ; \ E \implies P \rrbracket$   
 $\implies P$   
 $\langle \text{proof} \rangle$

**lemma** *if-doesnt-read'*:

$x \notin \text{bexp-vars } e \implies \text{doesnt-read } (\text{If } e \ c_1 \ c_2) \ x$   
 $\langle \text{proof} \rangle$

**theorem** *mode-type-sound*:

**assumes** *typeable*:  $\vdash \text{mds}_1 \ \{ \ c \} \ \text{mds}_1'$   
**assumes** *mode-le*:  $\text{mds}_2 \leq \text{mds}_1$   
**shows**  $\forall \ \text{mem}. \ (\langle \text{Stop}, \ \text{mds}_2', \ \text{mem}' \rangle \in \text{loc-reach } \langle c, \ \text{mds}_2, \ \text{mem} \rangle \implies \text{mds}_2' \leq \text{mds}_1') \wedge$   
 $\text{locally-sound-mode-use } \langle c, \ \text{mds}_2, \ \text{mem} \rangle$   
 $\langle \text{proof} \rangle$

**end**

**end**

## **References**

- [MSS11] Heiko Mantel, David Sands, and Henning Sudbrock. Assumptions and Guarantees for Compositional Noninterference. In *CSF*, pages 218–232. IEEE Computer Society, 2011.