

The Incompatibility of *SD*-Efficiency and *SD*-Strategy-Proofness

Manuel Eberl

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Abstract

This formalisation contains the proof that there is no anonymous and neutral Social Decision Scheme for at least four voters and alternatives that fulfils both *SD*-Efficiency and *SD*-Strategy-Proofness. The proof is a fully structured and quasi-human-readable one. It was derived from the (unstructured) SMT proof of the case for exactly four voters and alternatives by Brandl *et al.* [1].

Their proof relies on an unverified translation of the original problem to SMT, and the proof that lifts the argument for exactly four voters and alternatives to the general case is also not machine-checked.

In this Isabelle proof, on the other hand, all of these steps are also fully proven and machine-checked. This is particularly important seeing as a previously published informal proof of a weaker statement contained a mistake in precisely this lifting step. [2]

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1 Incompatibility of SD-Efficiency and SD-Strategy-Proofness

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theory SDS-Impossibility
imports
  Randomised-Social-Choice.SDS-Automation
  Randomised-Social-Choice.Randomised-Social-Choice
begin

1.1 Preliminary Definitions

locale sds-impossibility =
  anonymous-sds agents alts sds +
  neutral-sds agents alts sds +
  sd-efficient-sds agents alts sds +
  strategyproof-sds agents alts sds
  for agents :: 'agent set and alts :: 'alt set and sds +
  assumes agents-ge-4: card agents ≥ 4
    and alts-ge-4: card alts ≥ 4

locale sds-impossibility-4-4 = sds-impossibility agents alts sds
  for agents :: 'agent set and alts :: 'alt set and sds +
  fixes A1 A2 A3 A4 :: 'agent and a b c d :: 'alt
  assumes distinct-agents: distinct [A1, A2, A3, A4]
    and distinct-alts: distinct [a, b, c, d]
    and agents: agents = {A1, A2, A3, A4}
    and alts: alts = {a, b, c, d}
begin

lemma an-sds: an-sds agents alts sds ⟨proof⟩
lemma ex-post-efficient-sds: ex-post-efficient-sds agents alts sds ⟨proof⟩
lemma sd-efficient-sds: sd-efficient-sds agents alts sds ⟨proof⟩
lemma strategyproof-an-sds: strategyproof-an-sds agents alts sds ⟨proof⟩

lemma distinct-agents' [simp]:
  A1 ≠ A2 A1 ≠ A3 A1 ≠ A4 A2 ≠ A1 A2 ≠ A3 A2 ≠ A4
  A3 ≠ A1 A3 ≠ A2 A3 ≠ A4 A4 ≠ A1 A4 ≠ A2 A4 ≠ A3
  ⟨proof⟩

lemma distinct-alts' [simp]:
  a ≠ b a ≠ c a ≠ d b ≠ a b ≠ c b ≠ d
  c ≠ a c ≠ b c ≠ d d ≠ a d ≠ b d ≠ c
  ⟨proof⟩

lemma card-agents [simp]: card agents = 4 and card-alts [simp]: card alts = 4
  ⟨proof⟩

lemma in-agents [simp]: A1 ∈ agents A2 ∈ agents A3 ∈ agents A4 ∈ agents
  ⟨proof⟩

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lemma *in-alts* [*simp*]: $a \in \text{alts}$ $b \in \text{alts}$ $c \in \text{alts}$ $d \in \text{alts}$
(proof)

lemma *agent-iff*: $x \in \text{agents} \longleftrightarrow x \in \{A1, A2, A3, A4\}$
 $(\forall x \in \text{agents}. P x) \longleftrightarrow P A1 \wedge P A2 \wedge P A3 \wedge P A4$
 $(\exists x \in \text{agents}. P x) \longleftrightarrow P A1 \vee P A2 \vee P A3 \vee P A4$
(proof)

lemma *alt-iff*: $x \in \text{alts} \longleftrightarrow x \in \{a, b, c, d\}$
 $(\forall x \in \text{alts}. P x) \longleftrightarrow P a \wedge P b \wedge P c \wedge P d$
 $(\exists x \in \text{alts}. P x) \longleftrightarrow P a \vee P b \vee P c \vee P d$
(proof)

1.2 Definition of Preference Profiles and Fact Gathering

preference-profile

agents: *agents*

alts: *alts*

where $R1 = A1: [c, d], [a, b]$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: [a, c], [b, d]$
and $R2 = A1: [a, c], [b, d]$	$A2: [c, d], a, b$	$A3: [b, d], a, c$	$A4: a, b, [c, d]$
and $R3 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: d, [a, b], c$	$A4: c, a, [b, d]$
and $R4 = A1: [a, b], [c, d]$	$A2: [a, d], [b, c]$	$A3: c, [a, b], d$	$A4: d, c, [a, b]$
and $R5 = A1: [c, d], [a, b]$	$A2: [a, b], [c, d]$	$A3: [a, c], d, b$	$A4: d, [a, b], c$
and $R6 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [a, c], [b, d]$	$A4: d, b, a, c$
and $R7 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: a, c, d, b$	$A4: d, [a, b], c$
and $R8 = A1: [a, b], [c, d]$	$A2: [a, c], [b, d]$	$A3: d, [a, b], c$	$A4: d, c, [a, b]$
and $R9 = A1: [a, b], [c, d]$	$A2: [a, d], c, b$	$A3: d, c, [a, b]$	$A4: [a, b], c, d$
and $R10 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [a, c], d, b$	$A4: [b, d], a, c$
and $R11 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: d, [a, b], c$	$A4: c, a, b, d$
and $R12 = A1: [c, d], [a, b]$	$A2: [a, b], [c, d]$	$A3: [a, c], d, b$	$A4: [a, b], d, c$
and $R13 = A1: [a, c], [b, d]$	$A2: [c, d], a, b$	$A3: [b, d], a, c$	$A4: a, b, d, c$
and $R14 = A1: [a, b], [c, d]$	$A2: d, c, [a, b]$	$A3: [a, b, c], d$	$A4: a, d, c, b$
and $R15 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [b, d], a, c$	$A4: a, c, d, b$

and $R16 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: a, c, d, b$	$A4: [a, b], c$
and $R17 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [a, c], [b, d]$	$A4: d, [a, b]$
and $R18 = A1: [a, b], [c, d]$	$A2: [a, d], [b, c]$	$A3: [a, b, c], d$	$A4: d, c, [a, b]$
and $R19 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [b, d], a, c$	$A4: [a, c], [b, d]$
and $R20 = A1: [b, d], a, c$	$A2: b, a, [c, d]$	$A3: a, c, [b, d]$	$A4: d, c, [a, b]$
and $R21 = A1: [a, d], c, b$	$A2: d, c, [a, b]$	$A3: c, [a, b], d$	$A4: a, b, [c, d]$
and $R22 = A1: [a, c], d, b$	$A2: d, c, [a, b]$	$A3: d, [a, b], c$	$A4: a, b, [c, d]$
and $R23 = A1: [a, b], [c, d]$	$A2: [c, d], [a, b]$	$A3: [a, c], [b, d]$	$A4: [a, b, d], c$
and $R24 = A1: [c, d], [a, b]$	$A2: d, b, a, c$	$A3: c, a, [b, d]$	$A4: b, a, [c, d]$
and $R25 = A1: [c, d], [a, b]$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: a, c, [b, d]$
and $R26 = A1: [b, d], [a, c]$	$A2: [c, d], [a, b]$	$A3: a, b, [c, d]$	$A4: a, c, [b, d]$
and $R27 = A1: [a, b], [c, d]$	$A2: [b, d], a, c$	$A3: [a, c], [b, d]$	$A4: [c, d], a, b$
and $R28 = A1: [c, d], a, b$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: a, c, [b, d]$
and $R29 = A1: [a, c], d, b$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: d, c, [a, b]$
and $R30 = A1: [a, d], c, b$	$A2: d, c, [a, b]$	$A3: c, [a, b], d$	$A4: [a, b], d, c$
and $R31 = A1: [b, d], a, c$	$A2: [a, c], d, b$	$A3: c, d, [a, b]$	$A4: [a, b], c, d$
and $R32 = A1: [a, c], d, b$	$A2: d, c, [a, b]$	$A3: d, [a, b], c$	$A4: [a, b], d, c$
and $R33 = A1: [c, d], [a, b]$	$A2: [a, c], d, b$	$A3: a, b, [c, d]$	$A4: d, [a, b], c$
and $R34 = A1: [a, b], [c, d]$	$A2: a, c, d, b$	$A3: b, [a, d], c$	$A4: c, d, [a, b]$
and $R35 = A1: [a, d], c, b$	$A2: a, b, [c, d]$	$A3: [a, b, c], d$	$A4: d, c, [a, b]$
and $R36 = A1: [c, d], [a, b]$	$A2: [a, c], d, b$	$A3: [b, d], a, c$	$A4: a, b, [c, d]$
and $R37 = A1: [a, c], [b, d]$	$A2: [b, d], [a, c]$	$A3: a, b, [c, d]$	$A4: c, d, [a, b]$
and $R38 = A1: [c, d], a, b$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: [a, c], b, d$
and $R39 = A1: [a, c], d, b$	$A2: [b, d], a, c$	$A3: a, b, [c, d]$	$A4: [c, d], a, b$
and $R40 = A1: [a, d], c, b$	$A2: [a, b], c, d$	$A3: [a, b, c], d$	$A4: d, c, [a, b]$

$[a, b]$
and $R41 = A1: [a, d], c, b \quad A2: [a, b], d, c \quad A3: [a, b, c], d \quad A4: d, c,$
 $[a, b]$
and $R42 = A1: [c, d], [a, b] \quad A2: [a, b], [c, d] \quad A3: d, b, a, c \quad A4: c, a,$
 $[b, d]$
and $R43 = A1: [a, b], [c, d] \quad A2: [c, d], [a, b] \quad A3: d, [a, b], c \quad A4: a, [c,$
 $d], b$
and $R44 = A1: [c, d], [a, b] \quad A2: [a, c], d, b \quad A3: [a, b], d, c \quad A4: [a, b,$
 $d], c$
and $R45 = A1: [a, c], d, b \quad A2: [b, d], a, c \quad A3: [a, b], c, d \quad A4: [c,$
 $d], b, a$
and $R46 = A1: [b, d], a, c \quad A2: d, c, [a, b] \quad A3: [a, c], [b, d] \quad A4: b, a,$
 $[c, d]$
and $R47 = A1: [a, b], [c, d] \quad A2: [a, d], c, b \quad A3: d, c, [a, b] \quad A4: c,$
 $[a, b], d$
 $\langle proof \rangle$

derive-orbit-equations (an-sds)

$R10 R26 R27 R28 R29 R43 R45$
 $\langle proof \rangle$

prove-inefficient-supports (ex-post-efficient-sds sd-efficient-sds)

$R3 [b]$ **and** $R4 [b]$ **and** $R5 [b]$ **and** $R7 [b]$ **and** $R8 [b]$ **and**
 $R9 [b]$ **and** $R11 [b]$ **and** $R12 [b]$ **and** $R14 [b]$ **and** $R16 [b]$ **and**
 $R17 [b]$ **and** $R18 [b]$ **and** $R21 [b]$ **and** $R22 [b]$ **and** $R23 [b]$ **and**
 $R30 [b]$ **and** $R32 [b]$ **and** $R33 [b]$ **and** $R35 [b]$ **and** $R40 [b]$ **and**
 $R41 [b]$ **and** $R43 [b]$ **and** $R44 [b]$ **and** $R47 [b]$ **and**
 $R10 [c, b]$ **witness:** $[a: 1 / 2, b: 0, c: 0, d: 1 / 2]$ **and**
 $R15 [c, b]$ **witness:** $[a: 1 / 2, b: 0, c: 0, d: 1 / 2]$ **and**
 $R19 [c, b]$ **witness:** $[a: 1 / 2, b: 0, c: 0, d: 1 / 2]$ **and**
 $R25 [b, c]$ **witness:** $[c: 0, d: 1 / 2, a: 1 / 2, b: 0]$ **and**
 $R26 [c, b]$ **witness:** $[b: 0, d: 1 / 2, a: 1 / 2, c: 0]$ **and**
 $R27 [c, b]$ **witness:** $[a: 1 / 2, b: 0, c: 0, d: 1 / 2]$ **and**
 $R28 [b, c]$ **witness:** $[c: 0, d: 1 / 2, a: 1 / 2, b: 0]$ **and**
 $R29 [b, c]$ **witness:** $[a: 1 / 2, c: 0, d: 1 / 2, b: 0]$ **and**
 $R39 [b, c]$ **witness:** $[a: 1 / 2, c: 0, d: 1 / 2, b: 0]$
 $\langle proof \rangle$

derive-strategyproofness-conditions (strategyproof-an-sds)

distance: 2
 $R1 R2 R3 R4 R5 R6 R7 R8 R9 R10 R11 R12 R13 R14 R15 R16 R17 R18 R19$
 $R20$
 $R21 R22 R23 R24 R25 R26 R27 R28 R29 R30 R31 R32 R33 R34 R35 R36 R37$
 $R38 R39 R40$
 $R41 R42 R43 R44 R45 R46 R47$
 $\langle proof \rangle$

lemma lottery-conditions:

assumes *is-pref-profile R*

shows $\text{pmf}(\text{sds } R) a \geq 0 \text{ pmf}(\text{sds } R) b \geq 0 \text{ pmf}(\text{sds } R) c \geq 0 \text{ pmf}(\text{sds } R) d \geq 0$
 $\text{pmf}(\text{sds } R) a + \text{pmf}(\text{sds } R) b + \text{pmf}(\text{sds } R) c + \text{pmf}(\text{sds } R) d = 1$
 $\langle \text{proof} \rangle$

1.3 Main Proof

lemma $R45$ [simp]: $\text{pmf}(\text{sds } R45) a = 1/4 \text{ pmf}(\text{sds } R45) b = 1/4$
 $\text{pmf}(\text{sds } R45) c = 1/4 \text{ pmf}(\text{sds } R45) d = 1/4$
 $\langle \text{proof} \rangle$

lemma $R10\text{-bc}$ [simp]: $\text{pmf}(\text{sds } R10) b = 0 \text{ pmf}(\text{sds } R10) c = 0$
 $\langle \text{proof} \rangle$

lemma $R10\text{-ad}$ [simp]: $\text{pmf}(\text{sds } R10) a = 1/2 \text{ pmf}(\text{sds } R10) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R26\text{-bc}$ [simp]: $\text{pmf}(\text{sds } R26) b = 0 \text{ pmf}(\text{sds } R26) c = 0$
 $\langle \text{proof} \rangle$

lemma $R26\text{-d}$ [simp]: $\text{pmf}(\text{sds } R26) d = 1 - \text{pmf}(\text{sds } R26) a$
 $\langle \text{proof} \rangle$

lemma $R27\text{-bc}$ [simp]: $\text{pmf}(\text{sds } R27) b = 0 \text{ pmf}(\text{sds } R27) c = 0$
 $\langle \text{proof} \rangle$

lemma $R27\text{-d}$ [simp]: $\text{pmf}(\text{sds } R27) d = 1 - \text{pmf}(\text{sds } R27) a$
 $\langle \text{proof} \rangle$

lemma $R28\text{-bc}$ [simp]: $\text{pmf}(\text{sds } R28) b = 0 \text{ pmf}(\text{sds } R28) c = 0$
 $\langle \text{proof} \rangle$

lemma $R28\text{-d}$ [simp]: $\text{pmf}(\text{sds } R28) d = 1 - \text{pmf}(\text{sds } R28) a$
 $\langle \text{proof} \rangle$

lemma $R29\text{-bc}$ [simp]: $\text{pmf}(\text{sds } R29) b = 0 \text{ pmf}(\text{sds } R29) c = 0$
 $\langle \text{proof} \rangle$

lemma $R29\text{-ac}$ [simp]: $\text{pmf}(\text{sds } R29) a = 1/2 \text{ pmf}(\text{sds } R29) d = 1/2$
 $\langle \text{proof} \rangle$

lemmas $R43\text{-bc}$ [simp] = $R43.\text{support}$

lemma $R43\text{-ad}$ [simp]: $\text{pmf}(\text{sds } R43) a = 1/2 \text{ pmf}(\text{sds } R43) d = 1/2$

$\langle proof \rangle$

lemma $R39\text{-}b$ [simp]: $\text{pmf}(\text{sds } R39) b = 0$
 $\langle proof \rangle$

lemma $R36\text{-}a$ [simp]: $\text{pmf}(\text{sds } R36) a = 1/2$ **and** $R36\text{-}b$ [simp]: $\text{pmf}(\text{sds } R36)$
 $b = 0$
 $\langle proof \rangle$

lemma $R36\text{-}d$ [simp]: $\text{pmf}(\text{sds } R36) d = 1/2 - \text{pmf}(\text{sds } R36) c$
 $\langle proof \rangle$

lemma $R39\text{-}a$ [simp]: $\text{pmf}(\text{sds } R39) a = 1/2$
 $\langle proof \rangle$

lemma $R39\text{-}d$ [simp]: $\text{pmf}(\text{sds } R39) d = 1/2 - \text{pmf}(\text{sds } R39) c$
 $\langle proof \rangle$

lemmas $R12\text{-}b$ [simp] = $R12.\text{support}$

lemma $R12\text{-}c$ [simp]: $\text{pmf}(\text{sds } R12) c = 0$
 $\langle proof \rangle$

lemma $R12\text{-}d$ [simp]: $\text{pmf}(\text{sds } R12) d = 1 - \text{pmf}(\text{sds } R12) a$
 $\langle proof \rangle$

lemma $R12\text{-}a\text{-ge-one-half}$: $\text{pmf}(\text{sds } R12) a \geq 1/2$
 $\langle proof \rangle$

lemma $R44$ [simp]:
 $\text{pmf}(\text{sds } R44) a = \text{pmf}(\text{sds } R12) a$ $\text{pmf}(\text{sds } R44) d = 1 - \text{pmf}(\text{sds } R12) a$
 $\text{pmf}(\text{sds } R44) b = 0$ $\text{pmf}(\text{sds } R44) c = 0$
 $\langle proof \rangle$

lemma $R9\text{-}a$ [simp]: $\text{pmf}(\text{sds } R9) a = \text{pmf}(\text{sds } R35) a$
 $\langle proof \rangle$

lemma $R18\text{-}c$ [simp]: $\text{pmf}(\text{sds } R18) c = \text{pmf}(\text{sds } R9) c$
 $\langle proof \rangle$

lemma $R5\text{-}d\text{-ge-one-half}$: $\text{pmf}(\text{sds } R5) d \geq 1/2$
 $\langle proof \rangle$

lemma $R7$ [simp]: $\text{pmf}(\text{sds } R7) a = 1/2$ $\text{pmf}(\text{sds } R7) b = 0$ $\text{pmf}(\text{sds } R7) c =$

$0 \text{ pmf} (\text{sds } R7) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R5$ [simp]: $\text{pmf} (\text{sds } R5) a = 1/2 \text{ pmf} (\text{sds } R5) b = 0 \text{ pmf} (\text{sds } R5) c = 0 \text{ pmf} (\text{sds } R5) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R15$ [simp]: $\text{pmf} (\text{sds } R15) a = 1/2 \text{ pmf} (\text{sds } R15) b = 0 \text{ pmf} (\text{sds } R15) c = 0 \text{ pmf} (\text{sds } R15) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R13\text{-aux}$: $\text{pmf} (\text{sds } R13) b = 0 \text{ pmf} (\text{sds } R13) c = 0 \text{ pmf} (\text{sds } R13) d = 1 - \text{pmf} (\text{sds } R13) a$
and $R27\text{-R13}$ [simp]: $\text{pmf} (\text{sds } R27) a = \text{pmf} (\text{sds } R13) a$
 $\langle \text{proof} \rangle$

lemma $R13$ [simp]: $\text{pmf} (\text{sds } R13) a = 1/2 \text{ pmf} (\text{sds } R13) b = 0 \text{ pmf} (\text{sds } R13) c = 0 \text{ pmf} (\text{sds } R13) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R27$ [simp]: $\text{pmf} (\text{sds } R27) a = 1/2 \text{ pmf} (\text{sds } R27) b = 0 \text{ pmf} (\text{sds } R27) c = 0 \text{ pmf} (\text{sds } R27) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R19$ [simp]: $\text{pmf} (\text{sds } R19) a = 1/2 \text{ pmf} (\text{sds } R19) b = 0 \text{ pmf} (\text{sds } R19) c = 0 \text{ pmf} (\text{sds } R19) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R1$ [simp]: $\text{pmf} (\text{sds } R1) a = 1/2 \text{ pmf} (\text{sds } R1) b = 0$
 $\langle \text{proof} \rangle$

lemma $R22$ [simp]: $\text{pmf} (\text{sds } R22) a = 1/2 \text{ pmf} (\text{sds } R22) b = 0 \text{ pmf} (\text{sds } R22) c = 0 \text{ pmf} (\text{sds } R22) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R28$ [simp]: $\text{pmf} (\text{sds } R28) a = 1/2 \text{ pmf} (\text{sds } R28) b = 0 \text{ pmf} (\text{sds } R28) c = 0 \text{ pmf} (\text{sds } R28) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R39$ [simp]: $\text{pmf} (\text{sds } R39) a = 1/2 \text{ pmf} (\text{sds } R39) b = 0 \text{ pmf} (\text{sds } R39) c = 0 \text{ pmf} (\text{sds } R39) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R2$ [simp]: $\text{pmf} (\text{sds } R2) a = 1/2 \text{ pmf} (\text{sds } R2) b = 0 \text{ pmf} (\text{sds } R2) c = 0 \text{ pmf} (\text{sds } R2) d = 1/2$
 $\langle \text{proof} \rangle$

lemma $R42$ [simp]: $\text{pmf} (\text{sds } R42) a = 0 \text{ pmf} (\text{sds } R42) b = 0 \text{ pmf} (\text{sds } R42) c = 1/2 \text{ pmf} (\text{sds } R42) d = 1/2$

$\langle proof \rangle$

lemma $R37$ [simp]: $pmf(sds R37) a = 1/2$ $pmf(sds R37) b = 0$ $pmf(sds R37) c = 1/2$ $pmf(sds R37) d = 0$
 $\langle proof \rangle$

lemma $R24$ [simp]: $pmf(sds R24) a = 0$ $pmf(sds R24) b = 0$ $pmf(sds R24) d = 1 - pmf(sds R24)$ c
 $\langle proof \rangle$

lemma $R34$ [simp]:
 $pmf(sds R34) a = 1 - pmf(sds R24)$ c $pmf(sds R34) b = pmf(sds R24) c$
 $pmf(sds R34) c = 0$ $pmf(sds R34) d = 0$
 $\langle proof \rangle$

lemma $R14$ [simp]: $pmf(sds R14) b = 0$ $pmf(sds R14) d = 0$ $pmf(sds R14) c = 1 - pmf(sds R14)$ a
 $\langle proof \rangle$

lemma $R46$ [simp]: $pmf(sds R46) a = 0$ $pmf(sds R46) c = 0$ $pmf(sds R46) d = 1 - pmf(sds R46)$ b
 $\langle proof \rangle$

lemma $R20$ [simp]: $pmf(sds R20) a = 0$ $pmf(sds R20) c = 0$ $pmf(sds R20) d = 1 - pmf(sds R20)$ b
 $\langle proof \rangle$

lemma $R21$ [simp]: $pmf(sds R21) d = 1 - pmf(sds R21)$ a $pmf(sds R21) b = 0$ $pmf(sds R21) c = 0$
 $\langle proof \rangle$

lemma $R16$ - $R12$: $pmf(sds R16) c + pmf(sds R16) a \leq pmf(sds R12) a$
 $\langle proof \rangle$

lemma $R16$ [simp]: $pmf(sds R16) b = 0$ $pmf(sds R16) c = 0$ $pmf(sds R16) d = 1 - pmf(sds R16)$ a
 $\langle proof \rangle$

lemma $R12$ - $R14$: $pmf(sds R14) a \leq pmf(sds R12) a$
 $\langle proof \rangle$

lemma $R12$ - a [simp]: $pmf(sds R12) a = pmf(sds R9) a$
 $\langle proof \rangle$

lemma $R9$ [simp]: $pmf(sds R9) b = 0$ $pmf(sds R9) d = 0$ $pmf(sds R14) a = pmf(sds R35)$ a $pmf(sds R9) c = 1 - pmf(sds R35)$ a
 $\langle proof \rangle$

lemma $R23$ [*simp*]: $\text{pmf}(\text{sds } R23) b = 0 \text{ pmf}(\text{sds } R23) c = 0 \text{ pmf}(\text{sds } R23) d = 1 - \text{pmf}(\text{sds } R23) a$
 $\langle \text{proof} \rangle$

lemma $R35$ [*simp*]: $\text{pmf}(\text{sds } R35) a = \text{pmf}(\text{sds } R21) a \text{ pmf}(\text{sds } R35) b = 0 \text{ pmf}(\text{sds } R35) c = 0 \text{ pmf}(\text{sds } R35) d = 1 - \text{pmf}(\text{sds } R21) a$
 $\langle \text{proof} \rangle$

lemma $R18$ [*simp*]: $\text{pmf}(\text{sds } R18) a = \text{pmf}(\text{sds } R14) a \text{ pmf}(\text{sds } R18) b = 0 \text{ pmf}(\text{sds } R18) d = 0 \text{ pmf}(\text{sds } R18) c = 1 - \text{pmf}(\text{sds } R14) a$
 $\langle \text{proof} \rangle$

lemma $R4$ [*simp*]: $\text{pmf}(\text{sds } R4) a = \text{pmf}(\text{sds } R21) a \text{ pmf}(\text{sds } R4) b = 0 \text{ pmf}(\text{sds } R4) c = 1 - \text{pmf}(\text{sds } R4) a \text{ pmf}(\text{sds } R4) d = 0$
 $\langle \text{proof} \rangle$

lemma $R8-d$ [*simp*]: $\text{pmf}(\text{sds } R8) d = 1 - \text{pmf}(\text{sds } R8) a$
and $R8-c$ [*simp*]: $\text{pmf}(\text{sds } R8) c = 0$
and $R26-a$ [*simp*]: $\text{pmf}(\text{sds } R26) a = 1 - \text{pmf}(\text{sds } R8) a$
 $\langle \text{proof} \rangle$

lemma $R21-R47$: $\text{pmf}(\text{sds } R21) d \leq \text{pmf}(\text{sds } R47) c$
 $\langle \text{proof} \rangle$

lemma $R30$ [*simp*]: $\text{pmf}(\text{sds } R30) a = \text{pmf}(\text{sds } R47) a \text{ pmf}(\text{sds } R30) b = 0 \text{ pmf}(\text{sds } R30) c = 0 \text{ pmf}(\text{sds } R30) d = 1 - \text{pmf}(\text{sds } R47) a$
 $\langle \text{proof} \rangle$

lemma $R31\text{-}c\text{-ge-one-half}$: $\text{pmf}(\text{sds } R31) c \geq 1/2$
 $\langle \text{proof} \rangle$

lemma $R31$: $\text{pmf}(\text{sds } R31) a = 0 \text{ pmf}(\text{sds } R31) c = 1/2 \text{ pmf}(\text{sds } R31) b + \text{pmf}(\text{sds } R31) d = 1/2$
 $\langle \text{proof} \rangle$

lemma *absurd*: *False*
 $\langle \text{proof} \rangle$

end

1.4 Lifting to more than 4 agents and alternatives

lemma *finite-list'*:
assumes *finite A*

```
obtains xs where  $A = \text{set } xs$  distinct  $xs \text{ length } xs = \text{card } A$   
 $\langle proof \rangle$ 
```

```
lemma finite-list-subset:  
assumes finite  $A$   $\text{card } A \geq n$   
obtains xs where  $\text{set } xs \subseteq A$  distinct  $xs \text{ length } xs = n$   
 $\langle proof \rangle$ 
```

```
lemma card-ge-4E:  
assumes finite  $A$   $\text{card } A \geq 4$   
obtains a b c d where distinct  $[a,b,c,d]$   $\{a,b,c,d\} \subseteq A$   
 $\langle proof \rangle$ 
```

```
context sds-impossibility  
begin
```

```
lemma absurd: False  
 $\langle proof \rangle$ 
```

```
end
```

```
end
```

References

- [1] F. Brandl, F. Brandt, and C. Geist. Proving the incompatibility of Efficiency and Strategyproofness via SMT solving. *Proceedings of the 25th International Joint Conference on Artificial Intelligence (IJCAI)*, 2016. Forthcoming.
- [2] F. Brandl, F. Brandt, and W. Suksompong. The impossibility of extending Random Dictatorship to weak preferences. *Economics Letters*, 141:pp. 44 – 47, 2016.