

Reducing Rewrite Properties to Properties on Ground Terms*

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Abstract

This AFP entry relates important rewriting properties between the set of terms and the set of ground terms induced by a given signature. The properties considered are confluence, strong/local confluence, the normal form property, unique normal forms with respect to reduction and conversion, commutation, conversion equivalence, and normalization equivalence.

Contents

1	Introduction	3
2	Preliminaries	4
2.1	Additional operations on terms and positions	4
2.1.1	Linearity	4
2.1.2	Positions induced by contexts, by variables and by given subterms	4
2.1.3	Replacing functions symbols that aren't specified in the signature by variables	4
2.1.4	Replace term at a given position in contexts	5
2.1.5	Multihole context closure of a term relation as inductive set	5
2.2	Destruction and introduction of <i>all-ctxt-closed</i>	5
2.3	Lemmas for <i>poss</i> and ordering of positions	6
2.4	Lemmas for $(-)$ and <i>replace-term-at</i>	7
2.5	<i>term-to-sig</i> invariants and distributions	10
2.6	Misc	11

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3	Rewriting	14
3.1	Basic rewrite definitions	14
3.1.1	Rewrite steps with implicit signature declaration (en- coded in the type)	14
3.1.2	Restrict relations to terms induced by a given signature	14
3.1.3	Rewriting under a given signature/restricted to ground terms	14
3.1.4	Rewriting sequences involving a root step	14
3.2	Monotonicity laws	14
3.3	Introduction, elimination, and destruction rules for <i>sig-step</i> , <i>rstep</i> , <i>rrstep</i> , <i>srrstep</i> , and <i>srstep</i>	15
3.3.1	Transitive and reflexive closure distribution over <i>sig-step</i>	17
3.3.2	Distributivity laws	19
3.4	Substitution closure of <i>srstep</i>	20
3.5	Context closure of <i>srstep</i>	21
3.6	Removing/Replacing constants in a rewrite sequence that do not appear in the rewrite system	23
3.6.1	Removal lemma applied to various rewrite relations	28
4	Confluence related rewriting properties	31
4.1	Confluence related ARS properties	31
4.2	Signature closure of relation to model multihole context closure	32
4.3	Specific results about rewriting under a linear variable-separated system	49
4.4	Specific results about rewriting under a ground system	59
4.5	funas	61
5	Reducing Rewrite Properties to Properties on Ground Terms over Left-Linear Right-Ground Systems	62
6	LLRG results	62
6.1	Specialized for monadic signature	68
7	Reducing Rewrite Properties to Properties on Ground Terms over Ground Systems	71
8	Reducing Rewrite Properties to Properties on Ground Terms over Linear Variable-Separated Systems	77
9	Linear-variable separated results	78
9.1	Specialized for monadic signature	87

1 Introduction

Rewriting is an abstract model of computation. Among other things, it studies important properties including the following:

CR:	$\forall s \forall t \forall u (s \rightarrow^* t \wedge s \rightarrow^* u \implies t \downarrow u)$	confluence
SCR:	$\forall s \forall t \forall u (s \rightarrow t \wedge s \rightarrow u \implies \exists v (t \rightarrow^= v \wedge u \rightarrow^* v))$	strong confluence
WCR:	$\forall s \forall t \forall u (s \rightarrow t \wedge s \rightarrow u \implies t \downarrow u)$	local confluence
NFP:	$\forall s \forall t \forall u (s \rightarrow^* t \wedge s \rightarrow^! u \implies t \rightarrow^! u)$	normal form property
UNR:	$\forall s \forall t \forall u (s \rightarrow^! t \wedge s \rightarrow^! u \implies t = u)$	unique normal forms with respect to reduction
UNC:	$\forall t \forall u (t \leftrightarrow^* u \wedge \text{NF}(t) \wedge \text{NF}(u) \implies t = u)$	unique normal forms with respect to conversion

We also consider the following properties involving two TRSs \mathcal{R} and \mathcal{S} :

COM:	$\forall s \forall t \forall u (s \rightarrow_{\mathcal{R}}^* t \wedge s \rightarrow_{\mathcal{S}}^* u \implies \exists v (t \rightarrow_{\mathcal{S}}^* v \wedge u \rightarrow_{\mathcal{R}}^* v))$	commutation
CE:	$\forall s \forall t (s \leftrightarrow_{\mathcal{R}}^* t \iff s \leftrightarrow_{\mathcal{S}}^* t)$	conversion equivalence
NE:	$\forall s \forall t (s \rightarrow_{\mathcal{R}}^! t \iff s \rightarrow_{\mathcal{S}}^! t)$	normalization equivalence

An interesting observation is that for each of these properties there exists a rewrite system that satisfies the property when restricted to ground terms but not when arbitrary terms are allowed. Consider the left-linear right-ground TRS \mathcal{R} consisting of the rules

$$a \rightarrow b \qquad f(a, x) \rightarrow b \qquad f(b, b) \rightarrow b$$

over the signature $\mathcal{F} = \{a, b, f\}$. It is ground-confluent because every ground term in $\mathcal{T}(\mathcal{F})$ rewrites to b . Confluence does not hold; the term $f(a, x)$ rewrites to the different normal forms b and $f(b, x)$.

In this AFP entry, properties on arbitrary terms are reduced to the corresponding properties on ground terms, for left-linear right-ground rewrite systems and for linear variable-separated systems. To do this, I formalized fundamental term rewriting operations that include the root step and the one step rewriting relations. Also, I added definitions for conversion equivalence, normalization equivalence, strong confluence and the normal form property extending the list of important rewriting properties of the AFP entry ‘‘Abstract Rewriting’’ [1].

Rewrite sequences that contain a root step play an important role in the formalization. The table of contents should give the reader a good overview of the content of this entry.

2 Preliminaries

theory *Terms-Positions*
imports *Regular-Tree-Relations.Ground-Terms*
begin

2.1 Additional operations on terms and positions

2.1.1 Linearity

fun *linear-term* :: ('f, 'v) term \Rightarrow bool **where**
linear-term (Var -) = True |
linear-term (Fun f ts) = (is-partition (map vars-term ts) \wedge ($\forall t \in \text{set } ts.$ *linear-term* t))
abbreviation *linear-sys* $\mathcal{R} \equiv \forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{linear-term } r$

2.1.2 Positions induced by contexts, by variables and by given subterms

definition *possc* C = {p | p t. p \in poss C(t)}
definition *varposs* s = {p | p. p \in poss s \wedge is-Var (s |- p)}
definition *poss-of-term* u t = {p. p \in poss t \wedge t |- p = u}

2.1.3 Replacing functions symbols that aren't specified in the signature by variables

definition *funas-rel* $\mathcal{R} = (\bigcup (l, r) \in \mathcal{R}. \text{funas-term } l \cup \text{funas-term } r)$

fun *term-to-sig* **where**
term-to-sig \mathcal{F} v (Var x) = Var x
| *term-to-sig* \mathcal{F} v (Fun f ts) =
(if (f, length ts) \in \mathcal{F} then Fun f (map (term-to-sig \mathcal{F} v) ts) else Var v)

fun *ctxt-well-def-hole-path* **where**
ctxt-well-def-hole-path \mathcal{F} Hole \longleftrightarrow True
| *ctxt-well-def-hole-path* \mathcal{F} (More f ss C ts) \longleftrightarrow (f, Suc (length ss + length ts)) \in $\mathcal{F} \wedge \text{ctxt-well-def-hole-path } \mathcal{F} C$

fun *inv-const-ctxt* **where**
inv-const-ctxt \mathcal{F} v Hole = Hole
| *inv-const-ctxt* \mathcal{F} v ((More f ss C ts))
= (More f (map (term-to-sig \mathcal{F} v) ss) (inv-const-ctxt \mathcal{F} v C) (map (term-to-sig \mathcal{F} v) ts))

fun *inv-const-ctxt'* **where**
inv-const-ctxt' \mathcal{F} v Hole = Var v
| *inv-const-ctxt'* \mathcal{F} v ((More f ss C ts))
= (if (f, Suc (length ss + length ts)) \in \mathcal{F} then Fun f (map (term-to-sig \mathcal{F} v) ss @ inv-const-ctxt' \mathcal{F} v C # map (term-to-sig \mathcal{F} v) ts) else Var v)

2.1.4 Replace term at a given position in contexts

fun *replace-term-context-at* :: ('f, 'v) *ctxt* ⇒ *pos* ⇒ ('f, 'v) *term* ⇒ ('f, 'v) *ctxt*
 (-[- ← -]_C [1000, 0] 1000) **where**
replace-term-context-at □ *p u* = □
 | *replace-term-context-at* (More *f ss C ts*) (*i # ps*) *u* =
 (if *i* < length *ss* then More *f* (*ss*[*i* := (*ss* ! *i*)[*ps* ← *u*]]) *C ts*
 else if *i* = length *ss* then More *f ss* (*replace-term-context-at C ps u*) *ts*
 else More *f ss C* (*ts*[(*i* - Suc (length *ss*)) := (*ts* ! (*i* - Suc (length *ss*)))] [*ps* ← *u*]))

abbreviation *constT c* ≡ *Fun c* []

2.1.5 Multihole context closure of a term relation as inductive set

definition *all-ctxt-closed* **where**

all-ctxt-closed F r ⇔ (∀ *f ts ss*. (*f*, length *ss*) ∈ *F* → length *ts* = length *ss* →
 (∀ *i*. *i* < length *ts* → (*ts* ! *i*, *ss* ! *i*) ∈ *r*) →
 (∀ *i*. *i* < length *ts* → funas-term (*ts* ! *i*) ∪ funas-term (*ss* ! *i*) ⊆ *F*) → (Fun
f ts, Fun *f ss*) ∈ *r*) ∧
 (∀ *x*. (Var *x*, Var *x*) ∈ *r*)

2.2 Destruction and introduction of *all-ctxt-closed*

lemma *all-ctxt-closedD*: *all-ctxt-closed F r* ⇒ (*f*, length *ss*) ∈ *F* ⇒ length *ts* =
 length *ss*

⇒ [∧ *i*. *i* < length *ts* ⇒ (*ts* ! *i*, *ss* ! *i*) ∈ *r*]
 ⇒ [∧ *i*. *i* < length *ts* ⇒ funas-term (*ts* ! *i*) ⊆ *F*]
 ⇒ [∧ *i*. *i* < length *ts* ⇒ funas-term (*ss* ! *i*) ⊆ *F*]
 ⇒ (Fun *f ts*, Fun *f ss*) ∈ *r*

unfolding *all-ctxt-closed-def* **by** *auto*

lemma *trans-ctxt-sig-imp-all-ctxt-closed*: **assumes** *tran*: *trans r*

and *refl*: ∧ *t*. funas-term *t* ⊆ *F* ⇒ (*t*, *t*) ∈ *r*

and *ctxt*: ∧ *C s t*. funas-ctxt *C* ⊆ *F* ⇒ funas-term *s* ⊆ *F* ⇒ funas-term *t* ⊆
F ⇒ (*s*, *t*) ∈ *r* ⇒ (*C* ⟨ *s* ⟩, *C* ⟨ *t* ⟩) ∈ *r*

shows *all-ctxt-closed F r* **unfolding** *all-ctxt-closed-def*

proof (*rule*, *intro* *allI impI*)

fix *f ts ss*

assume *f*: (*f*, length *ss*) ∈ *F* **and**

l: length *ts* = length *ss* **and**

steps: ∀ *i* < length *ts*. (*ts* ! *i*, *ss* ! *i*) ∈ *r* **and**

sig: ∀ *i* < length *ts*. funas-term (*ts* ! *i*) ∪ funas-term (*ss* ! *i*) ⊆ *F*

from *sig* **have** *sig-ts*: ∧ *t*. *t* ∈ set *ts* ⇒ funas-term *t* ⊆ *F* **unfolding** *set-conv-nth*
by *auto*

let *?p* = λ *ss*. (Fun *f ts*, Fun *f ss*) ∈ *r* ∧ funas-term (Fun *f ss*) ⊆ *F*

let *?r* = λ *xsi ysi*. (*xsi*, *ysi*) ∈ *r* ∧ funas-term *ysi* ⊆ *F*

have *init*: *?p ts* **by** (*rule conjI*[*OF refl*], *insert f sig-ts l*, *auto*)

have *?p ss*

```

proof (rule parallel-list-update[where  $p = ?p$  and  $r = ?r$ , OF - HOL.refl init
l[symmetric]])
  fix  $xs\ i\ y$ 
  assume  $len: length\ xs = length\ ts$ 
  and  $i: i < length\ ts$ 
  and  $r: ?r\ (xs\ !\ i)\ y$ 
  and  $p: ?p\ xs$ 
  let  $?C = More\ f\ (take\ i\ xs)\ Hole\ (drop\ (Suc\ i)\ xs)$ 
  have  $id1: Fun\ f\ xs = ?C\ \langle\ xs\ !\ i\ \rangle$  using id-take-nth-drop[OF\ i[folded\ len]] by
simp
  have  $id2: Fun\ f\ (xs[i := y]) = ?C\ \langle\ y\ \rangle$  using upd-conv-take-nth-drop[OF\
i[folded\ len]] by simp
  from  $p[unfolded\ id1]$  have  $C: funas-ctxt\ ?C \subseteq F$  and  $xi: funas-term\ (xs\ !\ i)$ 
 $\subseteq F$  by auto
  from  $r$  have  $funas-term\ y \subseteq F\ (xs\ !\ i, y) \in r$  by auto
  with  $ctxt[OF\ C\ xi\ this]\ C$  have  $r: (Fun\ f\ xs, Fun\ f\ (xs[i := y])) \in r$ 
  and  $f: funas-term\ (Fun\ f\ (xs[i := y])) \subseteq F$  unfolding  $id1\ id2$  by auto
  from  $p\ r\ tran$  have  $(Fun\ f\ ts, Fun\ f\ (xs[i := y])) \in r$  unfolding trans-def by
auto
  with  $f$ 
  show  $?p\ (xs[i := y])$  by auto
  qed (insert\ sig\ steps, auto)
  then show  $(Fun\ f\ ts, Fun\ f\ ss) \in r ..$ 
qed (insert\ refl, auto)

```

2.3 Lemmas for *poss* and ordering of positions

lemma *subst-poss-mono*: $poss\ s \subseteq poss\ (s \cdot \sigma)$
by (*induct\ s*) *force+*

lemma *par-pos-prefix* [*simp*]:
 $(i \# p) \perp (i \# q) \implies p \perp q$
by (*simp\ add: par-Cons-iff*)

lemma *pos-diff-itself* [*simp*]: $p -_p p = []$
by (*simp\ add: pos-diff-def*)

lemma *pos-less-eq-append-diff* [*simp*]:
 $p \leq_p q \implies p @ (q -_p p) = q$
by (*metis\ option.sel\ pos-diff-def\ position-less-eq-def\ remove-prefix-append*)

lemma *pos-diff-append-itself* [*simp*]: $(p @ q) -_p p = q$
by (*simp\ add: pos-diff-def\ remove-prefix-append*)

lemma *poss-pos-diffI*:
 $p \leq_p q \implies q \in poss\ s \implies q -_p p \in poss\ (s \mid- p)$
using *poss-append-poss* **by** *fastforce*

lemma *less-eq-poss-append-itself* [*simp*]: $p \leq_p (p @ q)$

using *position-less-eq-def* **by** *blast*

lemma *poss-ctxt-apply* [*simp*]:
 $hole\text{-}pos\ C\ @\ p \in\ poss\ C\langle s \rangle \longleftrightarrow p \in\ poss\ s$
by (*induct C*) *auto*

lemma *pos-replace-at-pres*:
 $p \in\ poss\ s \implies p \in\ poss\ s[p \leftarrow t]$
proof (*induct p arbitrary: s*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i] Cons(2-)*
 by (*cases s*) *auto*
qed *auto*

lemma *par-pos-replace-pres*:
 $p \in\ poss\ s \implies p \perp q \implies p \in\ poss\ s[q \leftarrow t]$
proof (*induct p arbitrary: s q*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i tl q] Cons(2-)*
 by (*cases s; cases q*) (*auto simp add: nth-list-update par-Cons-iff*)
qed *auto*

lemma *poss-of-termE* [*elim*]:
 assumes $p \in\ poss\text{-}of\text{-}term\ u\ s$
 and $p \in\ poss\ s \implies s \mid\text{-}\ p = u \implies P$
 shows P **using** *assms unfolding poss-of-term-def*
 by *blast*

lemma *poss-of-term-Cons*:
 $i \# p \in\ poss\text{-}of\text{-}term\ u\ (Fun\ f\ ts) \implies p \in\ poss\text{-}of\text{-}term\ u\ (ts\ !\ i)$
unfolding *poss-of-term-def* **by** *auto*

lemma *poss-of-term-const-ctxt-apply*:
 assumes $p \in\ poss\text{-}of\text{-}term\ (constT\ c)\ C\langle s \rangle$
 shows $p \perp (hole\text{-}pos\ C) \vee (hole\text{-}pos\ C) \leq_p\ p$ **using** *assms*
proof (*induct p arbitrary: C*)
 case *Nil* **then show** *?case*
 by (*cases C*) *auto*
next
 case (*Cons i p*) **then show** *?case*
 by (*cases C*) (*fastforce simp add: par-Cons-iff dest!: poss-of-term-Cons*)
qed

2.4 Lemmas for $(\mid\text{-})$ and *replace-term-at*

lemma *subt-at-append-dist*:
 $p\ @\ q \in\ poss\ s \implies s \mid\text{-}\ (p\ @\ q) = (s \mid\text{-}\ p) \mid\text{-}\ q$
proof (*induct p arbitrary: s*)
 case (*Cons i p*) **then show** *?case*

by (cases s) auto
qed auto

lemma *ctxt-apply-term-subst-at-hole-pos* [simp]:
 $C\langle s \rangle \mid- (\text{hole-pos } C \text{ @ } q) = s \mid- q$
 by (induct C) auto

lemma *subst-subst-at-dist*:
 $p \in \text{poss } s \implies s \cdot \sigma \mid- p = s \mid- p \cdot \sigma$
proof (induct p arbitrary: s)
 case (Cons i p) then show ?case
 by (cases s) auto
 qed auto

lemma *replace-term-at-subst-at-id* [simp]: $s[p \leftarrow (s \mid- p)] = s$
proof (induct p arbitrary: s)
 case (Cons i p) then show ?case
 by (cases s) auto
 qed auto

lemma *replace-term-at-same-pos* [simp]:
 $s[p \leftarrow u][p \leftarrow t] = s[p \leftarrow t]$
 using *position-less-refl replace-term-at-above* by blast

— Replacement at under substitution

lemma *subst-at-vars-term*:
 $p \in \text{poss } s \implies s \mid- p = \text{Var } x \implies x \in \text{vars-term } s$
 by (metis UnCI ctxt-at-pos-subst-at-id term.set-intros(3) vars-term-ctxt-apply)

lemma *linear-term-varposs-subst-replace-term*:
 $\text{linear-term } s \implies p \in \text{varposs } s \implies p \leq_p q \implies$
 $(s \cdot \sigma)[q \leftarrow u] = s \cdot (\lambda x. \text{if } \text{Var } x = s \mid- p \text{ then } (\sigma x)[q \leftarrow_p p \leftarrow u] \text{ else } (\sigma x))$
proof (induct q arbitrary: s p)
 case (Cons i q)
 show ?case using Cons(1)[of args s ! i tl p] Cons(2-)
 by (cases s) (auto simp: varposs-def nth-list-update term-subst-eq-conv
 is-partition-alt is-partition-alt-def disjoint-iff subst-at-vars-term intro!: nth-equalityI)
 qed (auto simp: varposs-def)

— Replacement at context parallel to the hole position

lemma *par-hole-pos-replace-term-context-at*:
 $p \perp \text{hole-pos } C \implies C\langle s \rangle[p \leftarrow u] = (C[p \leftarrow u]_C)\langle s \rangle$
proof (induct p arbitrary: C)
 case (Cons i p)
 from Cons(2) obtain f ss D ts where [simp]: $C = \text{More } f \text{ ss } D \text{ ts}$ by (cases C)
 auto
 show ?case using Cons(1)[of D] Cons(2)
 by (auto simp: list-update-append nth-append-Cons minus-nat.simps(2) split:

nat.splits)
qed *auto*

lemma *par-pos-replace-term-at*:
 $p \in \text{poss } s \implies p \perp q \implies s[q \leftarrow t] \mid\text{- } p = s \mid\text{- } p$
proof (*induct p arbitrary: s q*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i tl q] Cons(2-)*
 by (*cases s; cases q*) (*auto, metis nth-list-update par-Cons-iff*)
qed *auto*

lemma *less-eq-subt-at-replace*:
 $p \in \text{poss } s \implies p \leq_p q \implies s[q \leftarrow t] \mid\text{- } p = (s \mid\text{- } p)[q \text{-}_p p \leftarrow t]$
proof (*induct p arbitrary: s q*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i tl q] Cons(2-)*
 by (*cases s; cases q*) *auto*
qed *auto*

lemma *greater-eq-subt-at-replace*:
 $p \in \text{poss } s \implies q \leq_p p \implies s[q \leftarrow t] \mid\text{- } p = t \mid\text{- } (p \text{-}_p q)$
proof (*induct p arbitrary: s q*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i tl q] Cons(2-)*
 by (*cases s; cases q*) *auto*
qed *auto*

lemma *replace-subterm-at-itself [simp]*:
 $s[p \leftarrow (s \mid\text{- } p)[q \leftarrow t]] = s[p \text{@ } q \leftarrow t]$
proof (*induct p arbitrary: s*)
 case (*Cons i p*)
 show *?case using Cons(1)[of args s ! i]*
 by (*cases s*) *auto*
qed *auto*

lemma *hole-pos-replace-term-at [simp]*:
 $\text{hole-pos } C \leq_p p \implies C\langle s \rangle[p \leftarrow u] = C\langle s[p \text{-}_p \text{hole-pos } C \leftarrow u] \rangle$
proof (*induct C arbitrary: p*)
 case (*More f ss C ts*) **then show** *?case*
 by (*cases p*) *auto*
qed *auto*

lemma *ctxt-of-pos-term-apply-replace-at-ident*:
assumes $p \in \text{poss } s$
shows $(\text{ctxt-at-pos } s \text{ } p)\langle t \rangle = s[p \leftarrow t]$
using *assms*

proof (*induct p arbitrary: s*)
case (*Cons i p*)
show *?case using Cons(1)[of args s ! i] Cons(2-)*
by (*cases s*) (*auto simp: nth-append-Cons intro!: nth-equalityI*)
qed *auto*

lemma *ctxt-apply-term-replace-term-hole-pos [simp]:*
 $C\langle s \rangle[\text{hole-pos } C @ q \leftarrow u] = C\langle s[q \leftarrow u] \rangle$
by (*simp add: pos-diff-def position-less-eq-def remove-prefix-append*)

lemma *ctxt-apply-subst-at-hole-pos [simp]:* $C\langle s \rangle \mid\text{- hole-pos } C = s$
by (*induct C*) *auto*

lemma *subst-at-imp-supteq':*
assumes $p \in \text{poss } s$ **and** $s \mid\text{-}p = t$ **shows** $s \supseteq t$ **using** *assms*
proof (*induct p arbitrary: s*)
case (*Cons i p*)
from *Cons(2-)* **show** *?case using Cons(1)[of args s ! i]*
by (*cases s*) *force+*
qed *auto*

lemma *subst-at-imp-supteq:*
assumes $p \in \text{poss } s$ **shows** $s \supseteq s \mid\text{-}p$
proof –
have $s \mid\text{-}p = s \mid\text{-}p$ **by** *auto*
with *assms* **show** *?thesis* **by** (*rule subst-at-imp-supteq'*)
qed

2.5 term-to-sig invariants and distributions

lemma *funas-term-term-to-sig [simp]:* $\text{funas-term } (\text{term-to-sig } \mathcal{F} \ v \ t) \subseteq \mathcal{F}$
by (*induct t*) *auto*

lemma *term-to-sig-id [simp]:*
 $\text{funas-term } t \subseteq \mathcal{F} \implies \text{term-to-sig } \mathcal{F} \ v \ t = t$
by (*induct t*) (*auto simp add: UN-subset-iff map-idI*)

lemma *term-to-sig-subst-sig [simp]:*
 $\text{funas-term } t \subseteq \mathcal{F} \implies \text{term-to-sig } \mathcal{F} \ v \ (t \cdot \sigma) = t \cdot (\lambda x. \text{term-to-sig } \mathcal{F} \ v \ (\sigma \ x))$
by (*induct t*) *auto*

lemma *funas-ctxt-ctxt-inv-const-ctxt-ind [simp]:*
 $\text{funas-ctxt } C \subseteq \mathcal{F} \implies \text{inv-const-ctxt } \mathcal{F} \ v \ C = C$
by (*induct C*) (*auto simp add: UN-subset-iff intro!: nth-equalityI*)

lemma *term-to-sig-ctxt-apply [simp]:*
 $\text{ctxt-well-def-hole-path } \mathcal{F} \ C \implies \text{term-to-sig } \mathcal{F} \ v \ C\langle s \rangle = (\text{inv-const-ctxt } \mathcal{F} \ v \ C)\langle \text{term-to-sig } \mathcal{F} \ v \ s \rangle$
by (*induct C*) *auto*

lemma *term-to-sig-ctxt-apply'* [*simp*]:
 $\neg \text{ctxt-well-def-hole-path } \mathcal{F} \ C \implies \text{term-to-sig } \mathcal{F} \ v \ C \langle s \rangle = \text{inv-const-ctxt}' \ \mathcal{F} \ v \ C$
by (*induct C*) *auto*

lemma *funas-ctxt-ctxt-well-def-hole-path*:
 $\text{funas-ctxt } C \subseteq \mathcal{F} \implies \text{ctxt-well-def-hole-path } \mathcal{F} \ C$
by (*induct C*) *auto*

2.6 Misc

lemma *funas-term-subt-at*:
 $(f, n) \in \text{funas-term } t \implies (\exists \ p \ ts. \ p \in \text{poss } t \wedge t \mid\!-\ p = \text{Fun } f \ ts \wedge \text{length } ts = n)$
proof (*induct t*)
case (*Fun g ts*) **note** *IH = this*
show *?case*
proof (*cases g = f \wedge \text{length } ts = n*)
case *False*
then obtain *i* **where** *i: i < \text{length } ts* (*f, n*) $\in \text{funas-term } (ts \ ! \ i)$ **using** *IH(2)*
using *in-set-idx* **by** *force*
from *IH(1)[OF nth-mem[OF this(1)] this(2)]* **show** *?thesis* **using** *i(1)*
by (*metis poss-Cons-poss subt-at.simps(2) term.sel(4)*)
qed *auto*
qed *simp*

lemma *finite-poss: finite (poss s)*
proof (*induct s*)
case (*Fun f ts*)
have $\text{poss } (\text{Fun } f \ ts) = \text{insert } [] \ (\bigcup \ (\text{set } (\text{map2 } (\lambda \ i \ p. ((\#) \ i) \ 'p) \ [0..< \text{length } ts]) \ (\text{map } \text{poss } ts))))$
by (*auto simp: image-iff set-zip split: prod.splits*)
then show *?case* **using** *Fun*
by (*auto simp del: poss.simps dest!: set-zip-rightD*)
qed *simp*

lemma *finite-varposs: finite (varposs s)*
by (*intro finite-subset[of varposs s poss s]*) (*auto simp: varposs-def finite-poss*)

lemma *ground-linear* [*simp*]: $\text{ground } t \implies \text{linear-term } t$
by (*induct t*) (*auto simp: is-partition-alt is-partition-alt-def*)

declare *ground-substI*[*intro, simp*]

lemma *ground-ctxt-substI*:
 $(\bigwedge \ x. \ x \in \text{vars-ctxt } C \implies \text{ground } (\sigma \ x)) \implies \text{ground-ctxt } (C \cdot_c \sigma)$
by (*induct C*) *auto*

lemma *funas-ctxt-subst-apply-ctxt*:
 $\text{funas-ctxt } (C \cdot_c \sigma) = \text{funas-ctxt } C \cup (\bigcup \ (\text{funas-term } \ ' \sigma \ ' \text{vars-ctxt } C))$

proof (*induct C*)
case (*More f ss C ts*)
then show *?case*
by (*fastforce simp add: funas-term-subst*)
qed *simp*

lemma *varposs-Var[simp]*:
 $varposs (Var x) = \{\}\}$
by (*auto simp: varposs-def*)

lemma *varposs-Fun[simp]*:
 $varposs (Fun f ts) = \{ i \# p \mid i p. i < length ts \wedge p \in varposs (ts ! i) \}$
by (*auto simp: varposs-def*)

lemma *vars-term-varposs-iff*:
 $x \in vars-term s \iff (\exists p \in varposs s. s \mid- p = Var x)$
proof (*induct s*)
case (*Fun f ts*)
show *?case using Fun[OF nth-mem]*
by (*force simp: in-set-conv-nth Bex-def*)
qed *auto*

lemma *vars-term-empty-ground*:
 $vars-term s = \{\} \implies ground s$
by (*metis equals0D ground-substI subst-ident*)

lemma *ground-subst-apply*: $ground t \implies t \cdot \sigma = t$
by (*induct t*) (*auto intro: nth-equalityI*)

lemma *varposs-imp-poss*:
 $p \in varposs s \implies p \in poss s$ **by** (*auto simp: varposs-def*)

lemma *varposs-empty-gound*:
 $varposs s = \{\} \iff ground s$
by (*induct s*) (*fastforce simp: in-set-conv-nth*)⁺

lemma *funas-term-subterm-atI [intro]*:
 $p \in poss s \implies funas-term s \subseteq \mathcal{F} \implies funas-term (s \mid- p) \subseteq \mathcal{F}$
by (*metis ctxt-at-pos-subt-at-id funas-ctxt-apply le-sup-iff*)

lemma *varposs-ground-replace-at*:
 $p \in varposs s \implies ground u \implies varposs s[p \leftarrow u] = varposs s - \{p\}$
proof (*induct p arbitrary: s*)
case *Nil* **then show** *?case*
by (*cases s*) (*auto simp: varposs-empty-gound*)
next
case (*Cons i p*)
from *Cons(2)* **obtain** *f ts* **where** *[simp]: s = Fun f ts* **by** (*cases s*) *auto*
from *Cons(2)* **have** *var: p \in varposs (ts ! i)* **by** *auto*

from $\text{Cons}(1)[\text{OF var Cons}(3)]$ **have** $j < \text{length } ts \implies \{j \# q \mid q. q \in \text{varposs } (ts[i := (ts ! i)[p \leftarrow u]] ! j)\} = \{j \# q \mid q. q \in \text{varposs } (ts ! j)\} - \{i \# p\}$ **for** j
by $(\text{cases } j = i)$ $(\text{auto simp add: nth-list-update})$
then show $?case$ **by** auto blast
qed

lemma $\text{funas-term-replace-at-upper}$:
 $\text{funas-term } s[p \leftarrow t] \subseteq \text{funas-term } s \cup \text{funas-term } t$
proof $(\text{induct } p \text{ arbitrary: } s)$
case $(\text{Cons } i \ p)$
show $?case$ **using** $\text{Cons}(1)[\text{of args } s ! i]$
by $(\text{cases } s)$ $(\text{fastforce simp: in-set-conv-nth nth-list-update split!: if-splits})+$
qed simp

lemma $\text{funas-term-replace-at-lower}$:
 $p \in \text{poss } s \implies \text{funas-term } t \subseteq \text{funas-term } (s[p \leftarrow t])$
proof $(\text{induct } p \text{ arbitrary: } s)$
case $(\text{Cons } i \ p)$
show $?case$ **using** $\text{Cons}(1)[\text{of args } s ! i]$ $\text{Cons}(2-)$
by $(\text{cases } s)$ $(\text{fastforce simp: in-set-conv-nth nth-list-update split!: if-splits})+$
qed simp

lemma $\text{poss-of-term-possI}$ $[\text{intro!}]$:
 $p \in \text{poss } s \implies s \mid- p = u \implies p \in \text{poss-of-term } u \ s$
unfolding poss-of-term-def **by** blast

lemma $\text{poss-of-term-replace-term-at}$:
 $p \in \text{poss } s \implies p \in \text{poss-of-term } u \ s[p \leftarrow u]$
proof $(\text{induct } p \text{ arbitrary: } s)$
case $(\text{Cons } i \ p)$ **then show** $?case$
by $(\text{cases } s)$ $(\text{auto simp: poss-of-term-def})$
qed auto

lemma $\text{constT-nfunas-term-poss-of-term-empty}$:
 $(c, 0) \notin \text{funas-term } t \iff \text{poss-of-term } (\text{constT } c) \ t = \{\}$
unfolding poss-of-term-def
using $\text{funas-term-subt-at}[\text{of } c \ 0 \ t]$
using $\text{funas-term-subterm-atI}[\text{where } ?\mathcal{F} = \text{funas-term } t \ \text{and } ?s = t, \ \text{THEN } \text{subsetD}]$
by auto

lemma $\text{poss-of-term-poss-emptyD}$:
assumes $\text{poss-of-term } u \ s = \{\}$
shows $p \in \text{poss } s \implies s \mid- p \neq u$ **using** assms
unfolding poss-of-term-def **by** blast

lemma $\text{possc-subt-at-ctxt-apply}$:
 $p \in \text{possc } C \implies p \perp \text{hole-pos } C \implies C\langle s \rangle \mid- p = C\langle t \rangle \mid- p$

```

proof (induct p arbitrary: C)
  case (Cons i p)
  have [dest]: length ss # p ∈ possc (More f ss D ts) ⇒ p ∈ possc D for f ss D ts
    by (auto simp: possc-def)
  show ?case using Cons
    by (cases C) (auto simp: nth-append-Cons)
qed simp

end

```

3 Rewriting

```

theory Rewriting
  imports Terms-Positions
begin

```

3.1 Basic rewrite definitions

3.1.1 Rewrite steps with implicit signature declaration (encoded in the type)

inductive-set $rrstep :: ('f, 'v) \text{ term rel} \Rightarrow ('f, 'v) \text{ term rel for } \mathcal{R} \text{ where}$
 $[intro]: (l, r) \in \mathcal{R} \Rightarrow (l \cdot \sigma, r \cdot \sigma) \in rrstep \mathcal{R}$

inductive-set $rstep :: ('f, 'v) \text{ term rel} \Rightarrow ('f, 'v) \text{ term rel for } \mathcal{R} \text{ where}$
 $(s, t) \in rrstep \mathcal{R} \Rightarrow (C\langle s \rangle, C\langle t \rangle) \in rstep \mathcal{R}$

3.1.2 Restrict relations to terms induced by a given signature

definition $sig\text{-step } \mathcal{F} \mathcal{R} \equiv Restr \mathcal{R} (Collect (\lambda s. funas\text{-term } s \subseteq \mathcal{F}))$

3.1.3 Rewriting under a given signature/restricted to ground terms

abbreviation $srrstep \mathcal{F} \mathcal{R} \equiv sig\text{-step } \mathcal{F} (rrstep \mathcal{R})$

abbreviation $srstep \mathcal{F} \mathcal{R} \equiv sig\text{-step } \mathcal{F} (rstep \mathcal{R})$

abbreviation $gsrstep \mathcal{F} \mathcal{R} \equiv Restr (sig\text{-step } \mathcal{F} (rstep \mathcal{R})) (Collect \text{ground})$

3.1.4 Rewriting sequences involving a root step

abbreviation $(input) \text{ relto} :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel where}$

$\text{relto } R \ S \equiv \widehat{S^*} \ O \ R \ O \ \widehat{S^*}$

definition $srsteps\text{-with-root-step } \mathcal{F} \mathcal{R} \equiv \text{relto } (sig\text{-step } \mathcal{F} (rrstep \mathcal{R})) (srstep \mathcal{F} \mathcal{R})$

3.2 Monotonicity laws

lemma *Restr-mono*: $Restr \ r \ A \subseteq r$ **by** *auto*

lemma *Restr-transcl-mono-set*: $(Restr \ r \ A)^+ \subseteq A \times A$

by (simp add: trancl-subset-Sigma)

lemma *rrstep-rstep-mono*: $rrstep \mathcal{R} \subseteq rstep \mathcal{R}$
by (auto intro: rstep.intros[where ?C = \square , simplified])

lemma *sig-step-mono*:
 $\mathcal{F} \subseteq \mathcal{G} \implies sig\text{-step } \mathcal{F} \mathcal{R} \subseteq sig\text{-step } \mathcal{G} \mathcal{R}$
by (auto simp: sig-step-def)

lemma *sig-step-mono2*:
 $\mathcal{R} \subseteq \mathcal{L} \implies sig\text{-step } \mathcal{F} \mathcal{R} \subseteq sig\text{-step } \mathcal{F} \mathcal{L}$
by (auto simp: sig-step-def)

lemma *srrstep-monp*:
 $\mathcal{F} \subseteq \mathcal{G} \implies srrstep \mathcal{F} \mathcal{R} \subseteq srrstep \mathcal{G} \mathcal{R}$
by (simp add: sig-step-mono)

lemma *srstep-monp*:
 $\mathcal{F} \subseteq \mathcal{G} \implies srstep \mathcal{F} \mathcal{R} \subseteq srstep \mathcal{G} \mathcal{R}$
by (simp add: sig-step-mono)

lemma *srsteps-monp*:
 $\mathcal{F} \subseteq \mathcal{G} \implies (srstep \mathcal{F} \mathcal{R})^+ \subseteq (srstep \mathcal{G} \mathcal{R})^+$
by (simp add: sig-step-mono trancl-mono-set)

lemma *srsteps-eq-monp*:
 $\mathcal{F} \subseteq \mathcal{G} \implies (srstep \mathcal{F} \mathcal{R})^* \subseteq (srstep \mathcal{G} \mathcal{R})^*$
by (meson rtrancl-mono sig-step-mono subrelI subsetD trancl-into-rtrancl)

lemma *srsteps-with-root-step-sig-mono*:
 $\mathcal{F} \subseteq \mathcal{G} \implies srsteps\text{-with-root-step } \mathcal{F} \mathcal{R} \subseteq srsteps\text{-with-root-step } \mathcal{G} \mathcal{R}$
unfolding *srsteps-with-root-step-def*
by (simp add: relcomp-mono srrstep-monp srsteps-eq-monp)

3.3 Introduction, elimination, and destruction rules for *sig-step*, *rstep*, *rrstep*, *srrstep*, and *srstep*

lemma *sig-stepE* [*elim*, *consumes 1*]:
 $(s, t) \in sig\text{-step } \mathcal{F} \mathcal{R} \implies [(s, t) \in \mathcal{R} \implies funas\text{-term } s \subseteq \mathcal{F} \implies funas\text{-term } t \subseteq \mathcal{F} \implies P] \implies P$
by (auto simp: sig-step-def)

lemma *sig-stepI* [*intro*]:
 $funas\text{-term } s \subseteq \mathcal{F} \implies funas\text{-term } t \subseteq \mathcal{F} \implies (s, t) \in \mathcal{R} \implies (s, t) \in sig\text{-step } \mathcal{F} \mathcal{R}$
by (auto simp: sig-step-def)

lemma *rrstep-subst* [*elim*, *consumes 1*]:
assumes $(s, t) \in rstep \mathcal{R}$

obtains $l r \sigma$ **where** $(l, r) \in \mathcal{R} \ s = l \cdot \sigma \ t = r \cdot \sigma$ **using** *assms*
by (*meson rstep.simps*)

lemma *rstep-imp-C-s-r*:
assumes $(s, t) \in \text{rstep } \mathcal{R}$
shows $\exists C \ l \ r \ \sigma. (l, r) \in \mathcal{R} \wedge s = C \langle l \cdot \sigma \rangle \wedge t = C \langle r \cdot \sigma \rangle$ **using** *assms*
by (*metis rstep.cases rstep.simps*)

lemma *rstep-imp-C-s-r'* [*elim, consumes 1*]:
assumes $(s, t) \in \text{rstep } \mathcal{R}$
obtains $C \ l \ r \ \sigma$ **where** $(l, r) \in \mathcal{R} \ s = C \langle l \cdot \sigma \rangle \ t = C \langle r \cdot \sigma \rangle$ **using** *assms*
using *rstep-imp-C-s-r* **by** *blast*

lemma *rrstep-basicI* [*intro*]:
 $(l, r) \in \mathcal{R} \implies (l, r) \in \text{rrstep } \mathcal{R}$
by (*metis rrstepp.intros rrstepp-rrstep-eq subst-apply-term-empty*)

lemma *rstep-ruleI* [*intro*]:
 $(l, r) \in \mathcal{R} \implies (l, r) \in \text{rstep } \mathcal{R}$
using *rrstep-rstep-mono* **by** *blast*

lemma *rstepI* [*intro*]:
 $(l, r) \in \mathcal{R} \implies s = C \langle l \cdot \sigma \rangle \implies t = C \langle r \cdot \sigma \rangle \implies (s, t) \in \text{rstep } \mathcal{R}$
by (*simp add: rrstep.intros rstep.intros*)

lemma *rstep-substI* [*intro*]:
 $(s, t) \in \text{rstep } \mathcal{R} \implies (s \cdot \sigma, t \cdot \sigma) \in \text{rstep } \mathcal{R}$
by (*auto elim!: rstep-imp-C-s-r' simp flip: subst-subst-compose*)

lemma *rstep-ctxtI* [*intro*]:
 $(s, t) \in \text{rstep } \mathcal{R} \implies (C \langle s \rangle, C \langle t \rangle) \in \text{rstep } \mathcal{R}$
by (*auto elim!: rstep-imp-C-s-r' simp flip: ctxt-ctxt-compose*)

lemma *srrstepD*:
 $(s, t) \in \text{srrstep } \mathcal{F} \ \mathcal{R} \implies (s, t) \in \text{rrstep } \mathcal{R} \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$
by (*auto simp: sig-step-def*)

lemma *srstepD*:
 $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{R}) \implies (s, t) \in \text{rstep } \mathcal{R} \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$
by (*auto simp: sig-step-def*)

lemma *srstepsD*:
 $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^+ \implies (s, t) \in (\text{rstep } \mathcal{R})^+ \wedge \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}$
unfolding *sig-step-def* **using** *trancl-mono-set[OF Restr-mono]*
by (*auto simp: sig-step-def dest: subsetD[OF Restr-trancl-mono-set]*)

3.3.1 Transitive and reflexive closure distribution over *sig-step*

lemma *funas-rel-converse*:

funas-rel $\mathcal{R} \subseteq \mathcal{F} \implies \text{funas-rel } (\mathcal{R}^{-1}) \subseteq \mathcal{F}$ **unfolding** *funas-rel-def*
by *auto*

lemma *rstep-term-to-sig-r*:

assumes $(s, t) \in \text{rstep } \mathcal{R}$ **and** *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ **and** *funas-term* $s \subseteq \mathcal{F}$
shows $(s, \text{term-to-sig } \mathcal{F} \ v \ t) \in \text{rstep } \mathcal{R}$

proof –

from *assms(1)* **obtain** $C \ l \ r \ \sigma$ **where**

$*: s = C\langle l \cdot \sigma \rangle \ t = C\langle r \cdot \sigma \rangle \ (l, r) \in \mathcal{R}$ **by** *auto*

from *assms(2, 3)* $*(3)$ **have** *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$

by (*auto simp: *(1) funas-rel-def funas-term-subst subset-eq*)

then have $(\text{term-to-sig } \mathcal{F} \ v \ s, \text{term-to-sig } \mathcal{F} \ v \ t) \in \text{rstep } \mathcal{R}$ **using** $*(3)$

by (*auto simp: *(1, 2) funas-ctxt-ctxt-well-def-hole-path*)

then show *?thesis* **using** *assms(3)* **by** *auto*

qed

lemma *rstep-term-to-sig-l*:

assumes $(s, t) \in \text{rstep } \mathcal{R}$ **and** *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ **and** *funas-term* $t \subseteq \mathcal{F}$
shows $(\text{term-to-sig } \mathcal{F} \ v \ s, t) \in \text{rstep } \mathcal{R}$

proof –

from *assms(1)* **obtain** $C \ l \ r \ \sigma$ **where**

$*: s = C\langle l \cdot \sigma \rangle \ t = C\langle r \cdot \sigma \rangle \ (l, r) \in \mathcal{R}$ **by** *auto*

from *assms(2, 3)* $*(3)$ **have** *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$

by (*auto simp: *(2) funas-rel-def funas-term-subst subset-eq*)

then have $(\text{term-to-sig } \mathcal{F} \ v \ s, \text{term-to-sig } \mathcal{F} \ v \ t) \in \text{rstep } \mathcal{R}$ **using** $*(3)$

by (*auto simp: *(1, 2) funas-ctxt-ctxt-well-def-hole-path*)

then show *?thesis* **using** *assms(3)* **by** *auto*

qed

lemma *rstep-trancl-sig-step-r*:

assumes $(s, t) \in (\text{rstep } \mathcal{R})^+$ **and** *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ **and** *funas-term* $s \subseteq \mathcal{F}$
shows $(s, \text{term-to-sig } \mathcal{F} \ v \ t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^+$ **using** *assms*

proof (*induct*)

case (*base t*)

then show *?case* **using** *subsetD[OF funas-term-term-to-sig, of - \mathcal{F} v]*

by (*auto simp: rstep-term-to-sig-r sig-step-def intro!: r-into-trancl*)

next

case (*step t u*)

then have *st*: $(s, \text{term-to-sig } \mathcal{F} \ v \ t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^+$ **by** *auto*

from *step(2)* **obtain** $C \ l \ r \ \sigma$ **where**

$*: t = C\langle l \cdot \sigma \rangle \ u = C\langle r \cdot \sigma \rangle \ (l, r) \in \mathcal{R}$ **by** *auto*

show *?case*

proof (*cases ctxt-well-def-hole-path \mathcal{F} C*)

case *True*

from $*(3)$ *step(4)* **have** *funas-term* $l \subseteq \mathcal{F}$ *funas-term* $r \subseteq \mathcal{F}$ **by** (*auto simp:*

```

funas-rel-def)
  then have (term-to-sig  $\mathcal{F}$  v t, term-to-sig  $\mathcal{F}$  v u)  $\in$  rstep  $\mathcal{R}$ 
    using True step(2) *(3) unfolding *
    by auto
  then have (term-to-sig  $\mathcal{F}$  v t, term-to-sig  $\mathcal{F}$  v u)  $\in$  srstep  $\mathcal{F}$   $\mathcal{R}$ 
    by (auto simp:- sig-step-def)
  then show ?thesis using st by auto
next
  case False
  then have term-to-sig  $\mathcal{F}$  v t = term-to-sig  $\mathcal{F}$  v u unfolding * by auto
  then show ?thesis using st by auto
qed
qed

```

```

lemma rstep-trancl-sig-step-l:
  assumes (s, t)  $\in$  (rstep  $\mathcal{R}$ )+ and funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  and funas-term t  $\subseteq \mathcal{F}$ 
  shows (term-to-sig  $\mathcal{F}$  v s, t)  $\in$  (srstep  $\mathcal{F}$   $\mathcal{R}$ )+ using assms
proof (induct rule: converse-trancl-induct)
  case (base t)
  then show ?case using subsetD[OF funas-term-term-to-sig, of -  $\mathcal{F}$  v]
    by (auto simp: rstep-term-to-sig-l sig-step-def intro!: r-into-trancl)
next
  case (step s u)
  then have st: (term-to-sig  $\mathcal{F}$  v u, t)  $\in$  (srstep  $\mathcal{F}$   $\mathcal{R}$ )+ by auto
  from step(1) obtain C l r  $\sigma$  where
    *: s = C(l ·  $\sigma$ ) u = C(r ·  $\sigma$ ) (l, r)  $\in$   $\mathcal{R}$  by auto
  show ?case
  proof (cases ctxt-well-def-hole-path  $\mathcal{F}$  C)
    case True
    from *(3) step(4) have funas-term l  $\subseteq \mathcal{F}$  funas-term r  $\subseteq \mathcal{F}$  by (auto simp:
funas-rel-def)
    then have (term-to-sig  $\mathcal{F}$  v s, term-to-sig  $\mathcal{F}$  v u)  $\in$  rstep  $\mathcal{R}$ 
      using True step(2) *(3) unfolding *
      by auto
    then have (term-to-sig  $\mathcal{F}$  v s, term-to-sig  $\mathcal{F}$  v u)  $\in$  srstep  $\mathcal{F}$   $\mathcal{R}$ 
      by (auto simp:- sig-step-def)
    then show ?thesis using st by auto
  next
    case False
    then have term-to-sig  $\mathcal{F}$  v s = term-to-sig  $\mathcal{F}$  v u unfolding * by auto
    then show ?thesis using st by auto
  qed
qed
qed

```

```

lemma rstep-srstepI [intro]:
  funas-rel  $\mathcal{R} \subseteq \mathcal{F} \implies$  funas-term s  $\subseteq \mathcal{F} \implies$  funas-term t  $\subseteq \mathcal{F} \implies$  (s, t)  $\in$  rstep
 $\mathcal{R} \implies$  (s, t)  $\in$  srstep  $\mathcal{F}$   $\mathcal{R}$ 
  by blast

```

lemma *rsteps-srstepsI* [*intro*]:
funas-rel $\mathcal{R} \subseteq \mathcal{F} \implies \text{funas-term } s \subseteq \mathcal{F} \implies \text{funas-term } t \subseteq \mathcal{F} \implies (s, t) \in (\text{rstep } \mathcal{R})^+ \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
using *rstep-trancl-sig-step-r*[*of s t R F*]
by *auto*

lemma *rsteps-eq-srsteps-eqI* [*intro*]:
funas-rel $\mathcal{R} \subseteq \mathcal{F} \implies \text{funas-term } s \subseteq \mathcal{F} \implies \text{funas-term } t \subseteq \mathcal{F} \implies (s, t) \in (\text{rstep } \mathcal{R})^* \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
by (*auto simp add: rtrancl-eq-or-trancl*)

lemma *rsteps-eq-relcomp-srsteps-eq-relcompI* [*intro*]:
assumes *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ *funas-rel* $\mathcal{S} \subseteq \mathcal{F}$
and *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$
and *steps*: $(s, t) \in (\text{rstep } \mathcal{R})^* \circ (\text{rstep } \mathcal{S})^*$
shows $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \circ (\text{srstep } \mathcal{F} \mathcal{S})^*$

proof –

from *steps* **obtain** *u* **where** $(s, u) \in (\text{rstep } \mathcal{R})^*$ $(u, t) \in (\text{rstep } \mathcal{S})^*$ **by** *auto*
then **have** $(s, \text{term-to-sig } \mathcal{F} \ v \ u) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ $(\text{term-to-sig } \mathcal{F} \ v \ u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$
using *rstep-trancl-sig-step-l*[*OF - assms(2) funas(2), of u v*]
using *rstep-trancl-sig-step-r*[*OF - assms(1) funas(1), of u v*] *funas*
by (*auto simp: rtrancl-eq-or-trancl*)
then **show** *?thesis* **by** *auto*
qed

3.3.2 Distributivity laws

lemma *rstep-smycl-dist*:
 $(\text{rstep } \mathcal{R})^{\leftrightarrow} = \text{rstep } (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *sig-step-symcl-dist*:
 $(\text{sig-step } \mathcal{F} \ \mathcal{R})^{\leftrightarrow} = \text{sig-step } \mathcal{F} \ (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *srstep-symcl-dist*:
 $(\text{srstep } \mathcal{F} \ \mathcal{R})^{\leftrightarrow} = \text{srstep } \mathcal{F} \ (\mathcal{R}^{\leftrightarrow})$
by (*auto simp: sig-step-def*)

lemma *Restr-smycl-dist*:
 $(\text{Restr } \mathcal{R} \ \mathcal{A})^{\leftrightarrow} = \text{Restr } (\mathcal{R}^{\leftrightarrow}) \ \mathcal{A}$
by *auto*

lemmas *rew-symcl-inwards* = *rstep-smycl-dist sig-step-symcl-dist srstep-symcl-dist Restr-smycl-dist*

lemmas *rew-symcl-outwards* = *rew-symcl-inwards*[*symmetric*]

lemma *rstep-converse-dist*:
 $(rstep \mathcal{R})^{-1} = rstep (\mathcal{R}^{-1})$
by *auto*

lemma *srrstep-converse-dist*:
 $(srrstep \mathcal{F} \mathcal{R})^{-1} = srrstep \mathcal{F} (\mathcal{R}^{-1})$
by (*fastforce simp: sig-step-def*)

lemma *sig-step-converse-rstep*:
 $(srstep \mathcal{F} \mathcal{R})^{-1} = sig\text{-step} \mathcal{F} ((rstep \mathcal{R})^{-1})$
by (*meson converse.simps set-eq-subset sig-stepE(1) sig-stepE sig-stepI subrelI*)

lemma *srstep-converse-dist*:
 $(srstep \mathcal{F} \mathcal{R})^{-1} = srstep \mathcal{F} (\mathcal{R}^{-1})$
by (*auto simp: sig-step-def*)

lemma *Restr-converse*: $(Restr \mathcal{R} A)^{-1} = Restr (\mathcal{R}^{-1}) A$
by *auto*

lemmas *rew-converse-inwards* = *rstep-converse-dist srrstep-converse-dist sig-step-converse-rstep srstep-converse-dist Restr-converse trancl-converse[symmetric] rtrancl-converse[symmetric]*
lemmas *rew-converse-outwards* = *rew-converse-inwards[symmetric]*

lemma *sig-step-rsteps-dist*:
 $funas\text{-rel} \mathcal{R} \subseteq \mathcal{F} \implies sig\text{-step} \mathcal{F} ((rstep \mathcal{R})^+) = (srstep \mathcal{F} \mathcal{R})^+$
by (*auto elim!: sig-stepE dest: srstepsD*)

lemma *sig-step-rsteps-eq-dist*:
 $funas\text{-rel} \mathcal{R} \subseteq \mathcal{F} \implies sig\text{-step} \mathcal{F} ((rstep \mathcal{R})^+) \cup Id = (srstep \mathcal{F} \mathcal{R})^*$
by (*auto simp: rtrancl-eq-or-trancl sig-step-rsteps-dist*)

lemma *sig-step-conversion-dist*:
 $(srstep \mathcal{F} \mathcal{R})^{\leftrightarrow*} = (srstep \mathcal{F} (\mathcal{R}^{\leftrightarrow}))^*$
by (*auto simp: rtrancl-eq-or-trancl sig-step-rsteps-dist conversion-def srstep-symcl-dist*)

lemma *gsrstep-conversion-dist*:
 $(gsrstep \mathcal{F} \mathcal{R})^{\leftrightarrow*} = (gsrstep \mathcal{F} (\mathcal{R}^{\leftrightarrow}))^*$
by (*auto simp: conversion-def rew-symcl-inwards*)

lemma *sig-step-grstep-dist*:
 $gsrstep \mathcal{F} \mathcal{R} = sig\text{-step} \mathcal{F} (Restr (rstep \mathcal{R}) (Collect\ ground))$
by (*auto simp: sig-step-def*)

3.4 Substitution closure of *srstep*

lemma *srstep-subst-closed*:
assumes $(s, t) \in srstep \mathcal{F} \mathcal{R} \wedge x. funas\text{-term} (\sigma x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \sigma) \in srstep \mathcal{F} \mathcal{R}$ **using** *assms*
by (*auto simp: sig-step-def funas-term-subst*)

lemma *srsteps-subst-closed*:
assumes $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \text{ using } \text{assms}(1)$
proof (*induct rule: trancl.induct*)
case (*r-into-trancl s t*) **show** *?case*
using *srstep-subst-closed[OF r-into-trancl assms(2)]*
by *auto*
next
case (*trancl-into-trancl s t u*)
from *trancl-into-trancl(2)* **show** *?case*
using *srstep-subst-closed[OF trancl-into-trancl(3) assms(2)]*
by (*meson rtrancl-into-trancl1 trancl-into-rtrancl*)
qed

lemma *srsteps-eq-subst-closed*:
assumes $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \text{ using } \text{assms } \text{srsteps-subst-closed}$
by (*metis rtrancl-eq-or-trancl*)

lemma *srsteps-eq-subst-relcomp-closed*:
assumes $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O (\text{srstep } \mathcal{F} \mathcal{S})^* \wedge x. \text{funas-term } (\sigma x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^* O (\text{srstep } \mathcal{F} \mathcal{S})^*$
proof –
from *assms(1)* **obtain** *u* **where** $(s, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^* (u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$
by *auto*
then **have** $(s \cdot \sigma, u \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{R})^* (u \cdot \sigma, t \cdot \sigma) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$
using *assms srsteps-eq-subst-closed*
by *metis+*
then **show** *?thesis* **by** *auto*
qed

3.5 Context closure of *srstep*

lemma *srstep-ctxt-closed*:
assumes *funas-ctxt* $C \subseteq \mathcal{F}$ **and** $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows $(C\langle s \rangle, C\langle t \rangle) \in \text{srstep } \mathcal{F} \mathcal{R} \text{ using } \text{assms}$
by (*intro sig-stepI*) (*auto dest: srstepD*)

lemma *srsteps-ctxt-closed*:
assumes *funas-ctxt* $C \subseteq \mathcal{F}$ **and** $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \text{ using } \text{assms}(2) \text{ srstep-ctxt-closed}[OF \text{assms}(1)]$
by (*induct*) *force+*

lemma *srsteps-eq-ctxt-closed*:
assumes *funas-ctxt* $C \subseteq \mathcal{F}$ **and** $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \text{ using } \text{srsteps-ctxt-closed}[OF \text{assms}(1)] \text{ assms}(2)$

by (*metis rtrancl-eq-or-trancl*)

lemma *sig-steps-join-ctxt-closed*:

assumes *funas-ctxt* $C \subseteq \mathcal{F}$ **and** $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^\downarrow$

shows $(C\langle s \rangle, C\langle t \rangle) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^\downarrow$ **using** *srsteps-eq-ctxt-closed*[*OF assms(1)*]
assms(2)

unfolding *join-def rew-converse-inwards*

by *auto*

The following lemma shows that every rewrite sequence either contains a root step or is root stable

lemma *nsrsteps-with-root-step-step-on-args*:

assumes $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^+$ $(s, t) \notin \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$

shows $\exists f \ ss \ ts. s = \text{Fun } f \ ss \wedge t = \text{Fun } f \ ts \wedge \text{length } ss = \text{length } ts \wedge$

$(\forall i < \text{length } ts. (ss ! i, ts ! i) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^*)$ **using** *assms*

proof (*induct*)

case (*base t*)

obtain $C \ l \ r \ \sigma$ **where** [*simp*]: $s = C\langle l \cdot \sigma \rangle \ t = C\langle r \cdot \sigma \rangle$ **and** $r: (l, r) \in \mathcal{R}$

using *base(1)* **unfolding** *sig-step-def*

by *blast*

then have *funas*: *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $(l \cdot \sigma) \subseteq \mathcal{F}$ *funas-term* $(r \cdot \sigma) \subseteq \mathcal{F}$

using *base(1)* **by** (*auto simp: sig-step-def*)

from *funas(2-)* *r* **have** $(l \cdot \sigma, r \cdot \sigma) \in \text{srrstep } \mathcal{F} \ \mathcal{R}$

by (*auto simp: sig-step-def*)

then have $C = \text{Hole} \implies \text{False}$ **using** *base(2)* *r*

by (*auto simp: srsteps-with-root-step-def*)

then obtain $f \ ss \ D \ ts$ **where** [*simp*]: $C = \text{More } f \ ss \ D \ ts$ **by** (*cases C*) *auto*

have $(D\langle l \cdot \sigma \rangle, D\langle r \cdot \sigma \rangle) \in (\text{srstep } \mathcal{F} \ \mathcal{R})$ **using** *base(1)* *r* *funas*

by (*auto simp: sig-step-def*)

then show *?case* **using** *funas* **by** (*auto simp: nth-append-Cons*)

next

case (*step t u*) **show** *?case*

proof (*cases* $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R} \vee (t, u) \in \text{sig-step } \mathcal{F} \ (\text{rrstep } \mathcal{R})$)

case *True* **then show** *?thesis* **using** *step(1, 2, 4)*

by (*auto simp add: relcomp3-I rtrancl.rtrancl-into-rtrancl srsteps-with-root-step-def*)

next

case *False*

obtain $C \ l \ r \ \sigma$ **where** $*[simp]: t = C\langle l \cdot \sigma \rangle \ u = C\langle r \cdot \sigma \rangle$ **and** $r: (l, r) \in \mathcal{R}$

using *step(2)* **unfolding** *sig-step-def* **by** *blast*

then have *funas*: *funas-ctxt* $C \subseteq \mathcal{F}$ *funas-term* $(l \cdot \sigma) \subseteq \mathcal{F}$ *funas-term* $(r \cdot \sigma) \subseteq \mathcal{F}$

using *step(2)* **by** (*auto simp: sig-step-def*)

from *False* **have** $C \neq \text{Hole}$ **using** *funas r* **by** (*force simp: sig-step-def*)

then obtain $f \ ss \ D \ ts$ **where** $c[simp]: C = \text{More } f \ ss \ D \ ts$ **by** (*cases C*) *auto*

from *step(3, 1)* *False* **obtain** $g \ sss \ tss$ **where**

$**[simp]: s = \text{Fun } g \ sss \ t = \text{Fun } g \ tss$ **and** $l: \text{length } sss = \text{length } tss$ **and**

inv: $\forall i < \text{length } tss. (sss ! i, tss ! i) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^*$

```

    by auto
    have [simp]:  $g = f$  and  $lc: \text{Suc} (\text{length } ss + \text{length } ts) = \text{length } sss$ 
    using  $l$  *(1) unfolding  $c$  using *(2) by auto
    then have  $\forall i < \text{Suc} (\text{length } ss + \text{length } ts). ((ss @ D\langle l \cdot \sigma \rangle \# ts) ! i, (ss @ D\langle r \cdot \sigma \rangle \# ts) ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$ 
    using * funas  $r$  by (auto simp: nth-append-Cons r-into-rtrancl rstep.intros rstepI sig-stepI)
    then have  $i < \text{length } tss \implies (sss ! i, (ss @ D\langle r \cdot \sigma \rangle \# ts) ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$  for  $i$ 
    using inv *  $l$   $lc$  funas **
    by (auto simp: nth-append-Cons simp del: ** * split!: if-splits)
    then show ?thesis using inv  $l$   $lc$  * unfolding  $c$ 
    by auto
  qed
qed

```

```

lemma rstep-to-pos-replace:
  assumes  $(s, t) \in \text{rstep } \mathcal{R}$ 
  shows  $\exists p \ l \ r \ \sigma. p \in \text{poss } s \wedge (l, r) \in \mathcal{R} \wedge s \mid- p = l \cdot \sigma \wedge t = s[p \leftarrow r \cdot \sigma]$ 
proof -
  from assms obtain  $C \ l \ r \ \sigma$  where  $st: (l, r) \in \mathcal{R} \ s = C\langle l \cdot \sigma \rangle \ t = C\langle r \cdot \sigma \rangle$ 
  using rstep-imp-C-s-r by fastforce
  from  $st(2, 3)$  have *:  $t = s[\text{hole-pos } C \leftarrow r \cdot \sigma]$  by simp
  from this  $st$  show ?thesis unfolding *
  by (intro exI[of - hole-pos C]) auto
qed

```

```

lemma pos-replace-to-rstep:
  assumes  $p \in \text{poss } s \ (l, r) \in \mathcal{R}$ 
  and  $s \mid- p = l \cdot \sigma \ t = s[p \leftarrow r \cdot \sigma]$ 
  shows  $(s, t) \in \text{rstep } \mathcal{R}$ 
  using assms(1, 3-) replace-term-at-subst-at-id [of  $s \ p$ ]
  by (intro rstepI[OF assms(2), of  $s \ \text{ctxt-at-pos } s \ p \ \sigma$ ])
  (auto simp add: ctxt-of-pos-term-apply-replace-at-ident)

```

```

end
theory Replace-Constant
  imports Rewriting
begin

```

3.6 Removing/Replacing constants in a rewrite sequence that do not appear in the rewrite system

```

lemma funas-term-const-subst-conv:
   $(c, 0) \notin \text{funas-term } l \iff \neg (l \triangleright \text{const } T \ c)$ 
proof (induct  $l$ )
  case (Fun  $f \ ts$ ) then show ?case
  by auto (metis Fun-supt supseq-supt-conv term.inject(2))+
qed (auto simp add: supseq-var-imp-eq)

```

lemma *fresh-const-single-step-replace*:

assumes *lin*: linear-sys \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *occ*: $p \in \text{poss-of-term } (\text{constT } c) s$ **and** *step*: $(s, t) \in \text{rstep } \mathcal{R}$
shows $(s[p \leftarrow u], t) \in \text{rstep } \mathcal{R} \vee$
 $(\exists q. q \in \text{poss-of-term } (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in \text{rstep } \mathcal{R})$

proof –

from *occ* **have** *const*: $p \in \text{poss } s \wedge s \mid\!-\! p = \text{constT } c$ **by** *auto*
from *step* **obtain** $C \ l \ r \ \sigma$ **where** $t \ [\text{simp}]: s = C(l \cdot \sigma) \ t = C(r \cdot \sigma)$
and *rule*: $(l, r) \in \mathcal{R}$ **by** *blast*
from *rule lin* **have** *lin*: linear-term l linear-term r **by** *fastforce+*
from *fresh rule* **have** *nt-lhs*: $(c, 0) \notin \text{funas-term } l$ **by** $(\text{auto simp: funas-rel-def})$
consider $(\text{par}) \ p \perp (\text{hole-pos } C) \mid (\text{below}) \ \text{hole-pos } C \leq_p p$ **using** *occ*
by $(\text{auto dest: poss-of-term-const-ctxt-apply})$
then show *?thesis*
proof *cases*
case *par*
then have *possc*: $p \in \text{possc } C$ **using** *const t possc-def* **by** *blast*
then have $p \in \text{poss-of-term } (\text{constT } c) \ t \ (s[p \leftarrow u], t[p \leftarrow u]) \in \text{rstep } \mathcal{R}$
using *const par-hole-pos-replace-term-context-at[OF par]*
using *possc-subt-at-ctxt-apply[OF possc par, of r \cdot \sigma l \cdot \sigma]* *rule*
by *auto (metis par par-pos-replace-pres replace-at-hole-pos)*
then show *?thesis* **by** *blast*
next
case *below*
then obtain q **where** $[simp]: p = \text{hole-pos } C @ q$ **and** *poss*: $q \in \text{poss } (l \cdot \sigma)$
using *const position-less-eq-def*
by $(\text{metis (full-types) ctxt-at-pos-hole-pos ctxt-at-pos-subt-at-pos poss-append-poss } t(1))$
have *const*: $l \cdot \sigma \mid\!-\! q = \text{constT } c$ **using** *const* **by** *auto*
from *nt-lhs* **have** $\exists r. r \in \text{varposs } l \wedge r \leq_p q$ **using** *const poss*
proof $(\text{induct } l \ \text{arbitrary: } q)$
case $(\text{Var } x)$
then show *?case* **by** *auto*
next
case $(\text{Fun } f \ ts)$
from $\text{Fun}(1)[\text{OF } \text{nth-mem, of hd } q \ \text{tl } q] \ \text{Fun}(2-)$ **obtain** r **where**
 $r \in \text{varposs } (ts \ ! \ \text{hd } q) \wedge r \leq_p \ \text{tl } q$
by $(\text{cases } q) \ \text{auto}$
then show *?case* **using** $\text{Fun}(2- \ 4)$
by $(\text{intro exI}[\text{of } \text{hd } q \ \# \ r]) \ \text{auto}$
qed
then obtain $x \ v$ **where** $\text{varposs: } v \in \text{varposs } l \ v \leq_p q \ l \mid\!-\! v = \text{Var } x$
unfolding *varposs-def* **by** *blast*
let $? \tau = \lambda x. \text{if } \text{Var } x = l \mid\!-\! v \ \text{then } (\sigma \ x)[q \ -_p \ v \leftarrow u] \ \text{else } \sigma \ x$
show *?thesis*
proof $(\text{cases } x \in \text{vars-term } r)$
case *True*
then obtain q' **where** $\text{varposs-r: } q' \in \text{varposs } r \ r \mid\!-\! q' = \text{Var } x$

```

    by (metis vars-term-varposs-iff)
  have (s[p ← u], t[(hole-pos C) @ q' @ (q -p v) ← u]) ∈ rstep  $\mathcal{R}$ 
    using lin varposs rule varposs-r
  by (auto simp: linear-term-varposs-subst-replace-term intro!: rstep-ctxI)
    (smt (verit, ccfv-SIG) pos-diff-append-itself rrstep.intros rrstep-rstep-mono
subset-eq term-subst-eq)
  moreover have (hole-pos C) @ q' @ q -p v ∈ poss-of-term (constT c) t
    using varposs-r varposs poss const poss-pos-diffI[OF varposs(2) poss]
    using subst-at-append-dist[of q' q -p v r · σ]
  by (auto simp: poss-append-poss varposs-imp-poss[THEN subst-subst-at-dist]
varposs-imp-poss[THEN subsetD[OF subst-poss-mono]])
    (metis pos-les-eq-append-diff eval-term.simps(1) subst-subst-at-dist subst-at-append-dist
varposs-imp-poss)
  ultimately show ?thesis by auto
next
case False
then have [simp]: r · σ = r · ?τ using varposs
  by (auto simp add: term-subst-eq-conv)
have (s[p ← u], t) ∈ rstep  $\mathcal{R}$  using rule varposs lin
  by (auto simp: linear-term-varposs-subst-replace-term)
then show ?thesis by auto
qed
qed
qed

```

lemma fresh-const-steps-replace:

```

  assumes lin: linear-sys  $\mathcal{R}$  and fresh: (c, 0) ∉ funas-rel  $\mathcal{R}$ 
  and occ: p ∈ poss-of-term (constT c) s and steps: (s, t) ∈ (rstep  $\mathcal{R}$ )+
  shows (s[p ← u], t) ∈ (rstep  $\mathcal{R}$ )+ ∨
    (∃ q. q ∈ poss-of-term (constT c) t ∧ (s[p ← u], t[q ← u]) ∈ (rstep  $\mathcal{R}$ )+)
  using steps occ
proof (induct arbitrary: p rule: converse-trancl-induct)
  case (base s)
  from fresh-const-single-step-replace[OF lin fresh base(2, 1)] show ?case
  by (meson r-into-trancl')
next
  case (step s t)
  from fresh-const-single-step-replace[OF lin fresh step(4, 1)]
  consider (a) (s[p ← u], t) ∈ rstep  $\mathcal{R}$  | (b) ∃ q. q ∈ poss-of-term (constT c) t ∧
(s[p ← u], t[q ← u]) ∈ rstep  $\mathcal{R}$  by blast
  then show ?case
  proof cases
    case a then show ?thesis using step(2)
    by auto
  next
    case b
    then obtain q where q ∈ poss-of-term (constT c) t (s[p ← u], t[q ← u]) ∈
rstep  $\mathcal{R}$  by blast
    from step(3)[OF this(1)] this(2) show ?thesis

```

by (*metis trancl-into-trancl2*)
qed
qed

lemma *remove-const-lhs-steps*:

assumes *lin*: *linear-sys* \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *const*: $(c, 0) \notin \text{funas-term } t$
and *pos*: $p \in \text{poss-of-term } (\text{constT } c) s$
and *steps*: $(s, t) \in (\text{rstep } \mathcal{R})^+$
shows $(s[p \leftarrow u], t) \in (\text{rstep } \mathcal{R})^+$ **using** *steps pos const fresh-const-steps-replace*
by (*metis fresh funas-term-const-subst-conv lin poss-of-termE subt-at-imp-supteq*)

Now we can show that we may remove a constant substitution

definition *const-replace-closed* **where**

const-replace-closed $c U = (\forall s t u p.$
 $p \in \text{poss-of-term } (\text{constT } c) s \longrightarrow (s, t) \in U \longrightarrow$
 $(\exists q. q \in \text{poss-of-term } (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U) \vee (s[p \leftarrow u],$
 $t) \in U)$

lemma *const-replace-closedD*:

assumes *const-replace-closed* $c U$ $p \in \text{poss-of-term } (\text{constT } c) s$ $(s, t) \in U$
shows $(s[p \leftarrow u], t) \in U \vee (\exists q. q \in \text{poss-of-term } (\text{constT } c) t \wedge (s[p \leftarrow u], t[q$
 $\leftarrow u]) \in U)$ **using** *assms*
unfolding *const-replace-closed-def* **by** *blast*

lemma *const-replace-closedI*:

assumes $\bigwedge s t u p. p \in \text{poss-of-term } (\text{constT } c) s \implies (s, t) \in U \implies$
 $(\exists q. q \in \text{poss-of-term } (\text{constT } c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U) \vee (s[p \leftarrow$
 $u], t) \in U$
shows *const-replace-closed* $c U$ **using** *assms*
unfolding *const-replace-closed-def*
by *auto*

abbreviation *const-subst* $:: 'f \Rightarrow 'v \Rightarrow ('f, 'v) \text{Term.term}$ **where**

const-subst $c \equiv (\lambda x. \text{Fun } c \ [])$

lemma *lin-fresh-rstep-const-replace-closed*:

linear-sys $\mathcal{R} \implies (c, 0) \notin \text{funas-rel } \mathcal{R} \implies \text{const-replace-closed } c (\text{rstep } \mathcal{R})$
using *fresh-const-single-step-replace[of \mathcal{R} c]*
by (*intro const-replace-closedI*) (*auto simp: constT-nfunas-term-poss-of-term-empty,*
blast)

lemma *const-replace-closed-symcl*:

const-replace-closed $c U \implies \text{const-replace-closed } c (U^=)$
unfolding *const-replace-closed-def*
by (*metis Un-iff pair-in-Id-conv*)

lemma *const-replace-closed-trancl*:

const-replace-closed $c U \implies \text{const-replace-closed } c (U^+)$

proof (*intro const-replace-closedI*)
fix $s t u p$
assume $const: const\text{-replace-closed } c U$ **and** $wit: p \in poss\text{-of-term } (constT c) s$
and $steps : (s, t) \in U^+$
show $(\exists q. q \in poss\text{-of-term } (constT c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U^+) \vee (s[p \leftarrow u], t) \in U^+$ **using** $steps wit$
proof (*induct arbitrary: p rule: converse-trancl-induct*)
case (*base s*)
show $?case$ **using** $const\text{-replace-closedD}[OF const base(2, 1)]$
by $blast$
next
case (*step s v*)
from $const\text{-replace-closedD}[OF const step(4, 1)]$
consider $(a) (s[p \leftarrow u], v) \in U \mid (b) \exists q. q \in poss\text{-of-term } (constT c) v \wedge (s[p \leftarrow u], v[q \leftarrow u]) \in U$ **by** $auto$
then show $?case$
proof *cases*
case a **then show** $?thesis$ **using** $step(2)$
by (*meson trancl-into-trancl2*)
next
case b
then show $?thesis$ **using** $step(3, 4)$ **by** (*meson trancl-into-trancl2*)
qed
qed
qed

lemma *const-replace-closed-rtrancl*:
 $const\text{-replace-closed } c U \implies const\text{-replace-closed } c (U^*)$
proof (*intro const-replace-closedI*)
fix $s t u p$
assume $const: const\text{-replace-closed } c U$ **and** $wit: p \in poss\text{-of-term } (constT c) s$
and $steps : (s, t) \in U^*$
show $(\exists q. q \in poss\text{-of-term } (constT c) t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U^*) \vee (s[p \leftarrow u], t) \in U^*$
using $const\text{-replace-closed-trancl}[OF const] wit steps$
by (*metis const-replace-closedD rtrancl-eq-or-trancl*)
qed

lemma *const-replace-closed-relcomp*:
 $const\text{-replace-closed } c U \implies const\text{-replace-closed } c V \implies const\text{-replace-closed } c (U O V)$
proof (*intro const-replace-closedI*)
fix $s t u p$
assume $const: const\text{-replace-closed } c U const\text{-replace-closed } c V$
and $wit: p \in poss\text{-of-term } (constT c) s$ **and** $step: (s, t) \in U O V$
from $step$ **obtain** w **where** $w: (s, w) \in U (w, t) \in V$ **by** $auto$
from $const\text{-replace-closedD}[OF const(1) wit this(1)]$
consider $(a) (s[p \leftarrow u], w) \in U \mid (b) (\exists q. q \in poss\text{-of-term } (constT c) w \wedge (s[p \leftarrow u], w[q \leftarrow u]) \in U)$

by *auto*
then show $(\exists q. q \in \text{poss-of-term } (\text{constT } c) \ t \wedge (s[p \leftarrow u], t[q \leftarrow u]) \in U \ O \ V) \vee (s[p \leftarrow u], t) \in U \ O \ V$
proof cases
 case a
 then show *?thesis using w(2) by auto*
 next
 case b
 then show *?thesis using const-replace-closedD[OF const(2) - w(2)]*
 by (*meson relcomp.simps*)
qed
qed

const-replace-closed allow the removal of a fresh constant substitution

lemma *const-replace-closed-remove-subst-lhs*:
assumes *replc: const-replace-closed c U*
and *const: (c, 0) \notin funas-term t*
and *steps: (s \cdot const-subst c, t) \in U*
shows $(s, t) \in U$ **using** *steps*
proof (*induct card (varposs s) arbitrary: s*)
case (*Suc n*)
obtain *p ps* **where** *vl: varposs s = insert p ps p \notin ps* **using** *Suc(2)*
by (*metis card-le-Suc-iff dual-order.refl*)
let *?s = s[p \leftarrow Fun c []]* **have** *vp: p \in varposs s* **using** *vl* **by** *auto*
then have [*simp*]: $?s \cdot \text{const-subst } c = s \cdot \text{const-subst } c$
by (*induct s arbitrary: p*) (*auto simp: nth-list-update map-update intro!: nth-equalityI*)
have *varposs ?s = ps* **using** *vl varposs-ground-replace-at[of p s constT c]*
by *auto*
then have $n = \text{card } (\text{varposs } ?s)$ **using** *vl Suc(2)* **by** (*auto simp: card-insert-if finite-varposs*)
from *Suc(1)[OF this]* **have** *IH: (s[p \leftarrow constT c], t) \in U p \in \text{poss-of-term } (\text{constT } c) s[p \leftarrow constT c]*
using *Suc(2, 3) vl poss-of-term-replace-term-at varposs-imp-poss vp*
using $\langle s[p \leftarrow \text{constT } c] \cdot \text{const-subst } c = s \cdot \text{const-subst } c \rangle$
by *fastforce+*
show *?case* **using** *const-replace-closedD[OF replc] const IH(2, 1)*
by (*metis constT-nfunas-term-poss-of-term-empty empty-iff replace-term-at-same-pos replace-term-at-subt-at-id*)
qed (*auto simp: ground-subst-apply card-eq-0-iff finite-varposs varposs-empty-gound*)

3.6.1 Removal lemma applied to various rewrite relations

lemma *remove-const-subst-step-lhs*:
assumes *lin: linear-sys R* **and** *fresh: (c, 0) \notin funas-rel R*
and *const: (c, 0) \notin funas-term t*
and *step: (s \cdot const-subst c, t) \in (rstep R)*
shows $(s, t) \in (rstep R)$
using *lin-fresh-rstep-const-replace-closed[OF lin fresh, THEN const-replace-closed-remove-subst-lhs]*
const step
by *blast*

lemma *remove-const-subst-steps-lhs*:

assumes *lin*: linear-sys \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *const*: $(c, 0) \notin \text{funas-term } t$
and *steps*: $(s \cdot \text{const-subst } c, t) \in (\text{rstep } \mathcal{R})^+$
shows $(s, t) \in (\text{rstep } \mathcal{R})^+$
using *lin-fresh-rstep-const-replace-closed*[*THEN const-replace-closed-trancl*,
OF lin fresh, *THEN const-replace-closed-remove-subst-lhs*]
using *const steps*
by *blast*

lemma *remove-const-subst-steps-eq-lhs*:

assumes *lin*: linear-sys \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *const*: $(c, 0) \notin \text{funas-term } t$
and *steps*: $(s \cdot \text{const-subst } c, t) \in (\text{rstep } \mathcal{R})^*$
shows $(s, t) \in (\text{rstep } \mathcal{R})^*$ **using** *steps const*
by (*cases s = t*) (*auto simp: rtrancl-eq-or-trancl funas-term-subst ground-subst-apply vars-term-empty-ground*
dest: remove-const-subst-steps-lhs[*OF lin fresh const*] *split: if-splits*)

lemma *remove-const-subst-steps-rhs*:

assumes *lin*: linear-sys \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *const*: $(c, 0) \notin \text{funas-term } s$
and *steps*: $(s, t \cdot \text{const-subst } c) \in (\text{rstep } \mathcal{R})^+$
shows $(s, t) \in (\text{rstep } \mathcal{R})^+$
proof –
from *steps* **have** *revs*: $(t \cdot \text{const-subst } c, s) \in (\text{rstep } (\mathcal{R}^{-1}))^+$
unfolding *rew-converse-outwards* **by** *auto*
have $(t, s) \in (\text{rstep } (\mathcal{R}^{-1}))^+$ **using** *assms*
by (*intro remove-const-subst-steps-lhs*[*OF - - revs*]) (*auto simp: funas-rel-def*)
then show *?thesis* **unfolding** *rew-converse-outwards* **by** *auto*
qed

lemma *remove-const-subst-steps-eq-rhs*:

assumes *lin*: linear-sys \mathcal{R} **and** *fresh*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$
and *const*: $(c, 0) \notin \text{funas-term } s$
and *steps*: $(s, t \cdot \text{const-subst } c) \in (\text{rstep } \mathcal{R})^*$
shows $(s, t) \in (\text{rstep } \mathcal{R})^*$
using *steps const*
by (*cases s = t*) (*auto simp: rtrancl-eq-or-trancl funas-term-subst ground-subst-apply vars-term-empty-ground*
dest!: remove-const-subst-steps-rhs[*OF lin fresh const*] *split: if-splits*)

Main lemmas

lemma *const-subst-eq-ground-eq*:

assumes $s \cdot \text{const-subst } c = t \cdot \text{const-subst } d$ $c \neq d$
and $(c, 0) \notin \text{funas-term } t$ $(d, 0) \notin \text{funas-term } s$
shows $s = t$ **using** *assms*
proof (*induct s arbitrary: t*)

case (*Var x*) **then show** *?case* **by** (*cases t*) *auto*
next
case (*Fun f ts*)
from *Fun(2-)* **obtain** *g us* **where** [*simp*]: *t = Fun g us* **by** (*cases t*) *auto*
have [*simp*]: *g = f* **and** *l: length ts = length us* **using** *Fun(2)*
by (*auto intro: map-eq-imp-length-eq*)
have *i < length ts \implies ts ! i = us ! i* **for** *i*
using *Fun(1)[OF nth-mem, of i us ! i for i]* *Fun(2-)* *l*
by (*auto simp: map-eq-conv'*)
then show *?case* **using** *l*
by (*auto intro: nth-equalityI*)
qed

lemma *remove-const-subst-steps*:
assumes *linear-sys \mathcal{R}* **and** *(c, 0) \notin funas-rel \mathcal{R}* **and** *(d, 0) \notin funas-rel \mathcal{R}*
and *c \neq d* *(c, 0) \notin funas-term t* *(d, 0) \notin funas-term s*
and *(s \cdot const-subst c, t \cdot const-subst d) \in (rstep \mathcal{R})^{*}*
shows *(s, t) \in (rstep \mathcal{R})^{*}*
proof (*cases s \cdot const-subst c = t \cdot const-subst d*)
case *True*
from *const-subst-eq-ground-eq[OF this] assms(4 - 6)* **show** *?thesis* **by** *auto*
next
case *False*
then have *step: (s \cdot const-subst c, t \cdot const-subst d) \in (rstep \mathcal{R})⁺* **using** *assms(7)*
by (*auto simp: rtrancl-eq-or-trancl*)
then have *(s, t \cdot const-subst d) \in (rstep \mathcal{R})⁺* **using** *assms*
by (*intro remove-const-subst-steps-lhs[OF - - - step]*) (*auto simp: funas-term-subst*)
from *remove-const-subst-steps-rhs[OF - - - this]* **show** *?thesis* **using** *assms*
by *auto*
qed

lemma *remove-const-subst-relcomp-lhs*:
assumes *sys: linear-sys \mathcal{R} linear-sys \mathcal{S}*
and *fr: (c, 0) \notin funas-rel \mathcal{R}* **and** *fs:(c, 0) \notin funas-rel \mathcal{S}*
and *funas: (c, 0) \notin funas-term t*
and *seq: (s \cdot const-subst c, t) \in (rstep \mathcal{R})^{*} O (rstep \mathcal{S})^{*}*
shows *(s, t) \in (rstep \mathcal{R})^{*} O (rstep \mathcal{S})^{*}* **using** *seq*
using *lin-fresh-rstep-const-replace-closed[OF sys(1) fr, THEN const-replace-closed-rtrancl]*
using *lin-fresh-rstep-const-replace-closed[OF sys(2) fs, THEN const-replace-closed-rtrancl]*
using *const-replace-closed-relcomp*
by (*intro const-replace-closed-remove-subst-lhs[OF - funas seq]*) *force*

lemma *remove-const-subst-relcomp-rhs*:
assumes *sys: linear-sys \mathcal{R} linear-sys \mathcal{S}*
and *fr: (c, 0) \notin funas-rel \mathcal{R}* **and** *fs:(c, 0) \notin funas-rel \mathcal{S}*
and *funas: (c, 0) \notin funas-term s*
and *seq: (s, t \cdot const-subst c) \in (rstep \mathcal{R})^{*} O (rstep \mathcal{S})^{*}*
shows *(s, t) \in (rstep \mathcal{R})^{*} O (rstep \mathcal{S})^{*}*

proof –
from *seq* **have** $(t \cdot \text{const-subst } c, s) \in ((\text{rstep } \mathcal{R})^* \ O \ (\text{rstep } \mathcal{S})^*)^{-1}$
by *auto*
then **have** $(t \cdot \text{const-subst } c, s) \in ((\text{rstep } \mathcal{S})^*)^{-1} \ O \ ((\text{rstep } \mathcal{R})^*)^{-1}$
using *converse-relcomp by blast*
note *seq = this[unfolded rtrancl-converse[symmetric] rew-converse-inwards]*
from *sys fr fs* **have** *linear-sys* (\mathcal{S}^{-1}) *linear-sys* (\mathcal{R}^{-1}) $(c, 0) \notin \text{funas-rel } (\mathcal{S}^{-1})$
 $(c, 0) \notin \text{funas-rel } (\mathcal{R}^{-1})$
by *(auto simp: funas-rel-def)*
from *remove-const-subst-relcomp-lhs[OF this funas seq]*
have $(t, s) \in (\text{rstep } (\mathcal{S}^{-1}))^* \ O \ (\text{rstep } (\mathcal{R}^{-1}))^*$ **by** *simp*
then **show** *?thesis*
unfolding *rew-converse-outwards converse-relcomp[symmetric]*
by *simp*
qed

lemma *remove-const-subst-relcomp:*

assumes *sys: linear-sys* \mathcal{R} *linear-sys* \mathcal{S}
and *fr:* $(c, 0) \notin \text{funas-rel } \mathcal{R}$ $(d, 0) \notin \text{funas-rel } \mathcal{R}$
and *fs:* $(c, 0) \notin \text{funas-rel } \mathcal{S}$ $(d, 0) \notin \text{funas-rel } \mathcal{S}$
and *diff:* $c \neq d$ **and** *funas:* $(c, 0) \notin \text{funas-term } t$ $(d, 0) \notin \text{funas-term } s$
and *seq:* $(s \cdot \text{const-subst } c, t \cdot \text{const-subst } d) \in (\text{rstep } \mathcal{R})^* \ O \ (\text{rstep } \mathcal{S})^*$
shows $(s, t) \in (\text{rstep } \mathcal{R})^* \ O \ (\text{rstep } \mathcal{S})^*$

proof –

from *diff funas(1)* **have** $*$: $(c, 0) \notin \text{funas-term } (t \cdot \text{const-subst } d)$
by *(auto simp: funas-term-subst)*
show *?thesis* **using** *remove-const-subst-relcomp-rhs[OF sys fr(2) fs(2) funas(2)*
*remove-const-subst-relcomp-lhs[OF sys fr(1) fs(1) * seq]]*
by *blast*

qed

end

4 Confluence related rewriting properties

theory *Rewriting-Properties*

imports *Rewriting*

Abstract-Rewriting.Abstract-Rewriting

begin

4.1 Confluence related ARS properties

definition *SCR-on* $r \ A \equiv (\forall a \in A. \forall b \ c. (a, b) \in r \wedge (a, c) \in r \longrightarrow (\exists d. (b, d) \in r^= \wedge (c, d) \in r^*))$

abbreviation *SCR* $:: 'a \ \text{rel} \Rightarrow \text{bool}$ **where** *SCR* $r \equiv \text{SCR-on } r \ \text{UNIV}$

definition *NFP-on* $:: 'a \ \text{rel} \Rightarrow 'a \ \text{set} \Rightarrow \text{bool}$ **where**

$NFP\text{-on } r A \longleftrightarrow (\forall a \in A. \forall b c. (a, b) \in r^* \wedge (a, c) \in r^! \longrightarrow (b, c) \in r^*)$

abbreviation $NFP :: 'a \text{ rel} \Rightarrow \text{bool}$ **where** $NFP r \equiv NFP\text{-on } r UNIV$

definition $CE\text{-on} :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
 $CE\text{-on } r s A \longleftrightarrow (\forall a \in A. \forall b. (a, b) \in r^{\leftrightarrow*} \longleftrightarrow (a, b) \in s^{\leftrightarrow*})$

abbreviation $CE :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow \text{bool}$ **where** $CE r s \equiv CE\text{-on } r s UNIV$

definition $NE\text{-on} :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ **where**
 $NE\text{-on } r s A \longleftrightarrow (\forall a \in A. \forall b. (a, b) \in r^! \longleftrightarrow (a, b) \in s^!)$

abbreviation $NE :: 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow \text{bool}$ **where** $NE r s \equiv NE\text{-on } r s UNIV$

4.2 Signature closure of relation to model multihole context closure

lemma $all\text{-ctxt-closed-sig-rsteps}$ [intro]:

fixes $\mathcal{R} :: ('f, 'v) \text{ term rel}$

shows $all\text{-ctxt-closed } \mathcal{F} ((srstep \mathcal{F} \mathcal{R})^*)$ (**is** $all\text{-ctxt-closed} - (?R^*)$)

proof ($rule \text{trans-ctxt-sig-imp-all-ctxt-closed}$)

fix $C :: ('f, 'v) \text{ ctxt}$ **and** $s t :: ('f, 'v) \text{ term}$

assume $C: funas\text{-ctxt } C \subseteq \mathcal{F}$

and $s: funas\text{-term } s \subseteq \mathcal{F}$

and $t: funas\text{-term } t \subseteq \mathcal{F}$

and $steps: (s, t) \in ?R^*$

from $steps$

show $(C \langle s \rangle, C \langle t \rangle) \in ?R^*$

proof ($induct$)

case ($step t u$)

from $step(2)$ **have** $tu: (t, u) \in rstep \mathcal{R}$ **and** $t: funas\text{-term } t \subseteq \mathcal{F}$ **and** $u: funas\text{-term } u \subseteq \mathcal{F}$

by ($auto \text{ dest: } srstepD$)

have $(C \langle t \rangle, C \langle u \rangle) \in ?R$ **by** ($rule \text{sig-stepI}[OF - - rstep-ctxtI][OF tu]$, $insert C t u, auto$)

with $step(3)$ **show** $?case$ **by** $auto$

qed $auto$

qed ($auto \text{ intro: } trans\text{-rtrancl}$)

lemma $sigstep\text{-trancl-funas}$:

$(s, t) \in (srstep \mathcal{F} \mathcal{S})^* \Longrightarrow s \neq t \Longrightarrow funas\text{-term } s \subseteq \mathcal{F}$

$(s, t) \in (srstep \mathcal{F} \mathcal{S})^* \Longrightarrow s \neq t \Longrightarrow funas\text{-term } t \subseteq \mathcal{F}$

by ($auto \text{ simp: } rtrancl\text{-eq-or-trancl} \text{ dest: } srstepsD$)

lemma $srrstep\text{-to-srstep}$:

$(s, t) \in srrstep \mathcal{F} \mathcal{R} \Longrightarrow (s, t) \in srstep \mathcal{F} \mathcal{R}$

by ($meson \text{ in-mono } rstep\text{-rstep-mono } sig\text{-step-mono2}$)

lemma $srsteps\text{-with-root-step-srstepsD}$:

$(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
by (*auto dest: srrstep-to-srstep simp: srsteps-with-root-step-def*)

lemma *srsteps-with-root-step-srsteps-eqD*:

$(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
by (*auto dest: srrstep-to-srstep simp: srsteps-with-root-step-def*)

lemma *symcl-srstep-conversion*:

$(s, t) \in \text{srstep } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
by (*simp add: conversion-def rstep-converse-dist srstep-symcl-dist*)

lemma *symcl-srsteps-conversion*:

$(s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{\leftrightarrow}))^* \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
by (*simp add: conversion-def rstep-converse-dist srstep-symcl-dist*)

lemma *NF-srstep-args*:

assumes $\text{Fun } f \text{ } ss \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R}) \text{ funas-term } (\text{Fun } f \text{ } ss) \subseteq \mathcal{F} \text{ } i < \text{length } ss$
shows $ss ! i \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R})$

proof (*rule ccontr*)

assume $ss ! i \notin \text{NF } (\text{srstep } \mathcal{F} \mathcal{R})$

then obtain t **where** $\text{step: } (ss ! i, t) \in \text{rstep } \mathcal{R} \text{ funas-term } t \subseteq \mathcal{F}$

by (*auto simp: NF-def sig-step-def*)

from *assms*(3) **have** [*simp*]: $\text{Suc } (\text{length } ss - \text{Suc } 0) = \text{length } ss$ **by** *auto*

from *rstep-ctxtI*[*OF step*(1), **where** $?C = \text{ctxt-at-pos } (\text{Fun } f \text{ } ss)[i]$]

have $(\text{Fun } f \text{ } ss, \text{Fun } f \text{ } (ss[i := t])) \in \text{srstep } \mathcal{F} \mathcal{R}$ **using** *step*(2) *assms*(2, 3)

by (*auto simp: sig-step-def upd-conv-take-nth-drop min-def UN-subset-iff*
dest: in-set-takeD in-set-dropD simp flip: id-take-nth-drop)

then show *False* **using** *assms*(1)

by (*auto simp: NF-def*)

qed

lemma *all-ctxt-closed-srstep-conversions* [*simp*]:

all-ctxt-closed $\mathcal{F} ((\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*})$

by (*simp add: all-ctxt-closed-sig-rsteps sig-step-conversion-dist*)

lemma *NFP-stepD*:

$\text{NFP } r \implies (a, b) \in r^* \implies (a, c) \in r^* \implies c \in \text{NF } r \implies (b, c) \in r^*$

by (*auto simp: NFP-on-def*)

lemma *NE-symmetric*: $\text{NE } r \text{ } s \implies \text{NE } s \text{ } r$

unfolding *NE-on-def* **by** *auto*

lemma *CE-symmetric*: $\text{CE } r \text{ } s \implies \text{CE } s \text{ } r$

unfolding *CE-on-def* **by** *auto*

Reducing the quantification over rewrite sequences for properties *CR* ...

to rewrite sequences containing at least one root step

lemma *all-ctxt-closed-sig-reflE*:

all-ctxt-closed $\mathcal{F} \mathcal{R} \implies \text{funas-term } t \subseteq \mathcal{F} \implies (t, t) \in \mathcal{R}$

proof (*induct t*)

case (*Fun f ts*)

from *Fun(1)[OF nth-mem Fun(2)] Fun(3)*

have $i < \text{length } ts \implies \text{funas-term } (ts ! i) \subseteq \mathcal{F} \quad i < \text{length } ts \implies (ts ! i, ts ! i)$

$\in \mathcal{R}$ **for** i

by (*auto simp: SUP-le-iff*)

then show *?case using all-ctxt-closedD[OF Fun(2)] Fun(3)*

by *simp*

qed (*simp add: all-ctxt-closed-def*)

lemma *all-ctxt-closed-relcomp [intro]*:

$(\bigwedge s t. (s, t) \in \mathcal{R} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}) \implies$

$(\bigwedge s t. (s, t) \in \mathcal{S} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F}) \implies$

all-ctxt-closed $\mathcal{F} \mathcal{R} \implies \text{all-ctxt-closed } \mathcal{F} \mathcal{S} \implies \text{all-ctxt-closed } \mathcal{F} (\mathcal{R} \circ \mathcal{S})$

proof –

assume *funas*: $(\bigwedge s t. (s, t) \in \mathcal{R} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F})$

$(\bigwedge s t. (s, t) \in \mathcal{S} \implies s \neq t \implies \text{funas-term } s \subseteq \mathcal{F} \wedge \text{funas-term } t \subseteq \mathcal{F})$

and *ctxt-cl*: *all-ctxt-closed* $\mathcal{F} \mathcal{R}$ *all-ctxt-closed* $\mathcal{F} \mathcal{S}$

{fix $f ss ts$ **assume** *ass*: $(f, \text{length } ss) \in \mathcal{F} \quad \text{length } ss = \text{length } ts \wedge i. i < \text{length } ts \implies (ss ! i, ts ! i) \in (\mathcal{R} \circ \mathcal{S})$

$\bigwedge i. i < \text{length } ts \implies \text{funas-term } (ts ! i) \subseteq \mathcal{F} \wedge i. i < \text{length } ts \implies \text{funas-term } (ss ! i) \subseteq \mathcal{F}$

from *ass(2, 3)* **obtain** us **where** $us: \text{length } us = \text{length } ts \wedge i. i < \text{length } ts \implies (ss ! i, us ! i) \in \mathcal{R}$

$\bigwedge i. i < \text{length } ts \implies (us ! i, ts ! i) \in \mathcal{S}$

using *Ex-list-of-length-P[of length ts λ x i. (ss ! i, x) ∈ R ∧ (x, ts ! i) ∈ S]*

by *auto*

from *funas* **have** $fu: \bigwedge i. i < \text{length } us \implies \text{funas-term } (us ! i) \subseteq \mathcal{F}$ **using** us *ass(4, 5)*

by (*auto simp: funas-rel-def*) (*metis in-mono*)

have $(\text{Fun } f ss, \text{Fun } f us) \in \mathcal{R}$ **using** *ass(1, 2, 5)* $us(1, 2)$ fu

by (*intro all-ctxt-closedD[OF ctxt-cl(1), of f]*) *auto*

moreover have $(\text{Fun } f us, \text{Fun } f ts) \in \mathcal{S}$ **using** *ass(1, 2, 4)* $us(1, 3)$ fu

by (*intro all-ctxt-closedD[OF ctxt-cl(2), of f]*) *auto*

ultimately have $(\text{Fun } f ss, \text{Fun } f ts) \in \mathcal{R} \circ \mathcal{S}$ **by** *auto*}

moreover

{fix x **have** $(\text{Var } x, \text{Var } x) \in \mathcal{R} \quad (\text{Var } x, \text{Var } x) \in \mathcal{S}$ **using** *ctxt-cl*

by (*auto simp: all-ctxt-closed-def*)

then have $(\text{Var } x, \text{Var } x) \in \mathcal{R} \circ \mathcal{S}$ **by** *auto*}

ultimately show *?thesis* **by** (*auto simp: all-ctxt-closed-def*)

qed

abbreviation *prop-to-rel* $P \equiv \{(s, t) \mid s t. P s t\}$

abbreviation $\text{prop-mctxt-cl } \mathcal{F} P \equiv \text{all-ctxt-closed } \mathcal{F} (\text{prop-to-rel } P)$

lemma prop-mctxt-cl-Var :

$\text{prop-mctxt-cl } \mathcal{F} P \implies P (\text{Var } x) (\text{Var } x)$
by ($\text{simp add: all-ctxt-closed-def}$)

lemma $\text{prop-mctxt-cl-refl-on}$:

$\text{prop-mctxt-cl } \mathcal{F} P \implies \text{funas-term } t \subseteq \mathcal{F} \implies P t t$
using $\text{all-ctxt-closed-sig-reflE}$ **by** blast

lemma $\text{prop-mctxt-cl-reflcl-on}$:

$\text{prop-mctxt-cl } \mathcal{F} P \implies \text{funas-term } s \subseteq \mathcal{F} \implies P s s$
using $\text{all-ctxt-closed-sig-reflE}$ **by** blast

lemma $\text{reduction-relations-to-root-step}$:

assumes $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies P s t$
and $\text{cl: prop-mctxt-cl } \mathcal{F} P$
and $\text{well: funas-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F}$
and $\text{steps: } (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows $P s t$ **using** steps well

proof ($\text{induct } s \text{ arbitrary: } t$)

case $(\text{Var } x)$

have $(\text{Var } x, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \implies (\text{Var } x, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
using $\text{nsrsteps-with-root-step-step-on-args}$ **by** blast
from $\text{assms}(1)[\text{OF this}]$ **show** $?case$ **using** Var cl
by ($\text{auto simp: rtrancl-eq-or-trancl dest: all-ctxt-closed-sig-reflE}$)

next

case $(\text{Fun } f ss)$ **note** $\text{IH} = \text{this}$ **show** $?case$

proof ($\text{cases } \text{Fun } f ss = t$)

case True **show** $?thesis$ **using** $\text{IH}(2, 4)$ **unfolding** True
by ($\text{intro prop-mctxt-cl-reflcl-on}[\text{OF cl}]$) auto

next

case False

then have $\text{step: } (\text{Fun } f ss, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+ \text{ using } \text{IH}(2)$
by ($\text{auto simp: refl rtrancl-eq-or-trancl}$)

show $?thesis$

proof ($\text{cases } (\text{Fun } f ss, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$)

case False

from $\text{nsrsteps-with-root-step-step-on-args}[\text{OF step this}]$ **obtain** ts

where $*[\text{simp}]: t = \text{Fun } f ts$ **and** $\text{inv: length } ss = \text{length } ts$
 $\forall i < \text{length } ts. (ss ! i, ts ! i) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$

by auto

have $\text{funas: } (f, \text{length } ts) \in \mathcal{F} \forall i < \text{length } ts. \text{funas-term } (ss ! i) \subseteq \mathcal{F} \wedge$
 $\text{funas-term } (ts ! i) \subseteq \mathcal{F}$

using $\text{IH}(3, 4)$ $\text{step inv}(1)$ **by** ($\text{auto simp: UN-subset-iff}$)

then have $t: \forall i < \text{length } ts. P (ss ! i) (ts ! i)$

using $\text{prop-mctxt-cl-reflcl-on}[\text{OF cl}]$ $\text{IH}(1)$ inv
by ($\text{auto simp: rtrancl-eq-or-trancl}$)

```

    then show ?thesis unfolding * using funas inv(1) all-ctxt-closedD[OF cl]
    by auto
  qed (auto simp add: assms(1))
qed
qed

```

abbreviation $comp\text{-}rrstep\text{-}rel\ \mathcal{F}\ \mathcal{R}\ \mathcal{S} \equiv srsteps\text{-}with\text{-}root\text{-}step\ \mathcal{F}\ \mathcal{R}\ O\ (srstep\ \mathcal{F}\ \mathcal{S})^* \cup (srstep\ \mathcal{F}\ \mathcal{R})^* O\ srsteps\text{-}with\text{-}root\text{-}step\ \mathcal{F}\ \mathcal{S}$

abbreviation $comp\text{-}rrstep\text{-}rel'\ \mathcal{F}\ \mathcal{R}\ \mathcal{S} \equiv srsteps\text{-}with\text{-}root\text{-}step\ \mathcal{F}\ \mathcal{R}\ O\ (srstep\ \mathcal{F}\ \mathcal{S})^+ \cup (srstep\ \mathcal{F}\ \mathcal{R})^+ O\ srsteps\text{-}with\text{-}root\text{-}step\ \mathcal{F}\ \mathcal{S}$

lemma *reduction-join-relations-to-root-step:*

```

assumes  $\bigwedge s\ t. (s, t) \in comp\text{-}rrstep\text{-}rel\ \mathcal{F}\ \mathcal{R}\ \mathcal{S} \implies P\ s\ t$ 
and cl: prop-mctxt-cl  $\mathcal{F}\ P$ 
and well: funas-term  $s \subseteq \mathcal{F}$  funas-term  $t \subseteq \mathcal{F}$ 
and steps:  $(s, t) \in (srstep\ \mathcal{F}\ \mathcal{R})^* O\ (srstep\ \mathcal{F}\ \mathcal{S})^*$ 
shows  $P\ s\ t$  using steps well
proof (induct s arbitrary: t)
  case (Var x)
  have f:  $(Var\ x, t) \in (srstep\ \mathcal{F}\ \mathcal{R})^+ \implies (Var\ x, t) \in comp\text{-}rrstep\text{-}rel\ \mathcal{F}\ \mathcal{R}\ \mathcal{S}$ 
  using nsrsteps-with-root-step-step-on-args[of Var x -  $\mathcal{F}\ \mathcal{R}$ ] unfolding srsteps-with-root-step-def
  by (metis (no-types, lifting) Term.term.simps(4) UnI1 relcomp.relcompI rtrancl-eq-or-trancl)
  have s:  $(Var\ x, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^+ \implies (Var\ x, t) \in comp\text{-}rrstep\text{-}rel\ \mathcal{F}\ \mathcal{R}\ \mathcal{S}$ 
  using nsrsteps-with-root-step-step-on-args[of Var x -  $\mathcal{F}\ \mathcal{S}$ ] unfolding srsteps-with-root-step-def
  by (metis (no-types, lifting) Term.term.simps(4) UnI2 relcomp.simps rtrancl.simps)
  have t:  $(Var\ x, u) \in (srstep\ \mathcal{F}\ \mathcal{R})^+ \implies (u, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^+ \implies (Var\ x, t)$ 
 $\in comp\text{-}rrstep\text{-}rel\ \mathcal{F}\ \mathcal{R}\ \mathcal{S}$  for u
  using nsrsteps-with-root-step-step-on-args[of Var x u  $\mathcal{F}\ \mathcal{R}$ ] unfolding srsteps-with-root-step-def
  by auto (meson relcomp.simps trancl-into-rtrancl)
  show ?case using Var f[THEN assms(1)] s[THEN assms(1)] t[THEN assms(1)]
cl
  by (auto simp: rtrancl-eq-or-trancl prop-mctxt-cl-Var)
next
  case (Fun f ss) note IH = this show ?case
  proof (cases Fun f ss = t)
    case True show ?thesis using IH(2, 3, 4) cl
    by (auto simp: True prop-mctxt-cl-refl-on)
  next
    case False
    obtain u where  $u: (Fun\ f\ ss, u) \in (srstep\ \mathcal{F}\ \mathcal{R})^* (u, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^*$  using
    IH(2) by auto
    show ?thesis
    proof (cases (Fun f ss, u)  $\in srsteps\text{-}with\text{-}root\text{-}step\ \mathcal{F}\ \mathcal{R}$ )
      case True

```

```

then have (Fun f ss, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$  using u
  by (auto simp: srsteps-with-root-step-def)
from assms(1)[OF this] show ?thesis by simp
next
case False note ntfst = this show ?thesis
proof (cases (u, t) ∈ srsteps-with-root-step  $\mathcal{F} \mathcal{S}$ )
  case True
    then have (Fun f ss, t) ∈ comp-rrstep-rel  $\mathcal{F} \mathcal{R} \mathcal{S}$  using u unfolding
srsteps-with-root-step-def
    by blast
  from assms(1)[OF this] show ?thesis by simp
next
case False note no-root = False ntfst
show ?thesis
proof (cases Fun f ss = u ∨ u = t)
  case True
    from assms(1) have f:  $\bigwedge s t. (s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{R} \implies P$ 
s t
    and s:  $\bigwedge s t. (s, t) \in srsteps-with-root-step \mathcal{F} \mathcal{S} \implies P s t$  unfolding
srsteps-with-root-step-def
    by blast+
  have u = t  $\implies$  ?thesis using u cl IH(3, 4)
  by (intro reduction-relations-to-root-step[OF f]) auto
  moreover have Fun f ss = u  $\implies$  ?thesis using u cl IH(3, 4)
  by (intro reduction-relations-to-root-step[OF s]) auto
  ultimately show ?thesis using True by auto
next
case False
  then have steps: (Fun f ss, u) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )+ (u, t) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )+
using u
  by (auto simp: rtrancl-eq-or-trancl)
  obtain ts us
    where [simp]: u = Fun f us and inv-u: length ss = length us  $\forall i <$ 
length ts. (ss ! i, us ! i) ∈ (srstep  $\mathcal{F} \mathcal{R}$ )*
    and [simp]: t = Fun f ts and inv-t: length us = length ts  $\forall i <$ 
length
ts. (us ! i, ts ! i) ∈ (srstep  $\mathcal{F} \mathcal{S}$ )*
    using nsrsteps-with-root-step-step-on-args[OF steps(1) no-root(2)]
    using nsrsteps-with-root-step-step-on-args[OF steps(2) no-root(1)]
    by auto
  from inv-u inv-t cl IH(3, 4) have t:  $\forall i <$  length ts. P (ss ! i) (ts ! i)
  by (auto simp: UN-subset-iff intro!: IH(1)[OF nth-mem, of i ts ! i for i])
  moreover have (f, length ts) ∈  $\mathcal{F}$  using IH(4) by auto
  ultimately show ?thesis using IH(3, 4) inv-u inv-t all-ctxt-closedD[OF
cl]
    by (auto simp: UN-subset-iff)
qed
qed
qed
qed

```

qed

— Reducing search space for *commute* to conversions involving root steps

definition *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{S} s t \iff (s, t) \in ((srstep \mathcal{F} \mathcal{S})^* O ((srstep \mathcal{F} \mathcal{R})^{-1})^*)$

declare *subsetI*[*rule del*]

lemma *commute-redp-mctxt-cl*:

prop-mctxt-cl $\mathcal{F} (commute-redp \mathcal{F} \mathcal{R} \mathcal{S})$

by (*auto simp: commute-redp-def rew-converse-inwards*

dest: sigstep-trancl-funas intro!: all-ctxt-closed-relcomp)

declare *subsetI*[*intro!*]

lemma *commute-rrstep-intro*:

assumes $\bigwedge s t. (s, t) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t$

shows *commute* (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)

proof —

have [*simp*]: $x \in srsteps-with-root-step \mathcal{F} \mathcal{U} \implies x \in (srstep \mathcal{F} \mathcal{U})^* O \mathcal{L}^*$ **for** $x \in \mathcal{U} \mathcal{L}$

by (*cases x*) (*auto dest!: srsteps-with-root-step-srsteps-eqD*)

have [*simp*]: $x \in srsteps-with-root-step \mathcal{F} \mathcal{U} \implies x \in \mathcal{L}^* O (srstep \mathcal{F} \mathcal{U})^*$ **for** $x \in \mathcal{U} \mathcal{L}$

by (*cases x*) (*auto dest!: srsteps-with-root-step-srsteps-eqD*)

have *red*: $\bigwedge s t. (s, t) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t$ **using** *assms*

unfolding *commute-redp-def srstep-converse-dist*

by (*auto simp: rtrancl-eq-or-trancl blast+*)

have *comI*: $(\bigwedge s t. (s, t) \in ((srstep \mathcal{F} (\mathcal{R}^{-1}))^*) O (srstep \mathcal{F} \mathcal{S})^* \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t) \implies$

commute (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)

by (*auto simp: commute-redp-def commute-def subsetD rew-converse-inwards*)

show *?thesis*

using *reduction-join-relations-to-root-step*[*OF red commute-redp-mctxt-cl, of* $\mathcal{R}^{-1} \mathcal{S}$]

by (*intro comI, auto*) (*metis (no-types, lifting) commute-redp-def relcompI rew-converse-inwards sigstep-trancl-funas srstep-converse-dist*)

qed

lemma *commute-to-rrstep*:

assumes *commute* (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)

shows $\bigwedge s t. (s, t) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S} \implies commute-redp \mathcal{F} \mathcal{R} \mathcal{S} s t$ **using** *assms*

unfolding *commute-def commute-redp-def srstep-converse-dist*

by (*auto simp: srstep-converse-dist dest: srsteps-with-root-step-srsteps-eqD*)

— Reducing search space for *CR* to conversions involving root steps

lemma *CR-Aux*:
assumes $\bigwedge s t. (s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* \text{ } O \text{ } \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies$
commute-redp $\mathcal{F} \mathcal{R} \mathcal{R} s t$
shows $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{commute-redp } \mathcal{F} \mathcal{R} \mathcal{R} s t$
proof –
have *sym*: *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{R} s t \implies \text{commute-redp } \mathcal{F} \mathcal{R} \mathcal{R} t s$ **for** $s t$
by (*auto simp*: *commute-redp-def*) (*metis converseI relcomp.relcompI rtrancl-converse*
rtrancl-converseD)
{fix $s t$ **assume** $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \text{ } O \text{ } \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1})$
then have *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{R} s t$ **unfolding** *commute-redp-def*
by (*auto simp*: *srsteps-with-root-step-def rew-converse-inwards dest!*: *srrstep-to-srstep*)
note $*$ = *this*
{fix $s t$ **assume** *ass*: $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1}) \text{ } O \text{ } (\text{srstep } \mathcal{F} \mathcal{R})^*$
have [*dest!*]: $(u, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \implies (t, u) \in (\text{sig-step } \mathcal{F} ((\text{rstep } \mathcal{R})^{-1}))^*$
for u
by (*metis rew-converse-outwards rtrancl-converseI srstep-converse-dist*)
from *ass* **have** $(t, s) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* \text{ } O \text{ } \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
unfolding *srsteps-with-root-step-def rstep-converse-dist*
by (*metis (mono-tags, lifting) O-assoc converse.simps converse-converse con-*
verse-inward(1) converse-relcomp rew-converse-outwards(1, 2) sig-step-converse-rstep)
from *assms*[*OF this*] **have** *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{R} s t$ **using** *sym* **by** *blast*
then show $\bigwedge s t. (s, t) \in \text{comp-rrstep-rel } \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies \text{commute-redp } \mathcal{F} \mathcal{R}$
 $\mathcal{R} s t$ **unfolding** *srsteps-with-root-step-def*
by (*metis UnE assms srsteps-with-root-step-def*)
qed

lemma *CR-rrstep-intro*:
assumes $\bigwedge s t. (s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ \text{ } O \text{ } \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies$
commute-redp $\mathcal{F} \mathcal{R} \mathcal{R} s t$
shows *CR* $(\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix $s u$ **assume** $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* \text{ } O \text{ } \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
then obtain t **where** a : $(s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^* (t, u) \in \text{srsteps-with-root-step}$
 $\mathcal{F} \mathcal{R}$ **by** *blast*
have *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{R} s u$
proof (*cases* $s = t$)
case [*simp*]: *True*
from *srsteps-with-root-step-srstepsD*[*OF a*(2)] **show** *?thesis*
by (*auto simp*: *commute-redp-def*)
next
case *False*
then have $(s, t) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ \text{ } \text{using } a(1)$ **unfolding** *rtrancl-eq-or-trancl*
by *simp*
then show *?thesis* **using** *assms a*(2) **by** *blast*
qed}
from *commute-rrstep-intro*[*OF CR-Aux*[*OF this*]]
show *?thesis* **unfolding** *CR-iff-self-commute*
by (*metis Un-iff reflcl-trancl relcomp-distrib relcomp-distrib2*)
qed

lemma *CR-to-rrstep*:
assumes $CR (srstep \mathcal{F} \mathcal{R})$
shows $\bigwedge s t. (s, t) \in comp\text{-}rrstep\text{-}rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies commute\text{-}redp \mathcal{F} \mathcal{R} \mathcal{R} s t$
using *assms*
using *commute-to-rrstep*[*OF assms*[*unfolded CR-iff-self-commute*]]
by *simp*

— Reducing search space for *NFP* to conversions involving root steps

definition *NFP-redp where*

$NFP\text{-}redp \mathcal{F} \mathcal{R} s t \longleftrightarrow t \in NF (srstep \mathcal{F} \mathcal{R}) \longrightarrow (s, t) \in (srstep \mathcal{F} \mathcal{R})^*$

lemma *prop-mctxt-cl-NFP-redp*:

prop-mctxt-cl $\mathcal{F} (NFP\text{-}redp \mathcal{F} \mathcal{R})$

proof —

{fix $f ts ss$ **assume** $sig: (f, length\ ss) \in \mathcal{F}$ $length\ ts = length\ ss$
and $steps: \forall i < length\ ss. ss ! i \in NF (srstep \mathcal{F} \mathcal{R}) \longrightarrow (ts ! i, ss ! i) \in (srstep \mathcal{F} \mathcal{R})^*$
and $funas: \forall i < length\ ss. funas\text{-}term (ts ! i) \subseteq \mathcal{F} \wedge funas\text{-}term (ss ! i) \subseteq \mathcal{F}$
and $NF: Fun\ f\ ss \in NF (srstep \mathcal{F} \mathcal{R})$
from $steps$ **have** $steps: i < length\ ss \implies (ts ! i, ss ! i) \in (srstep \mathcal{F} \mathcal{R})^*$ **for** i
using $sig\ funas\ NF\text{-}srstep\text{-}args$ [*OF NF*]
by (*auto simp: UN-subset-iff*) (*metis in-set-idx*)
then **have** $(Fun\ f\ ts, Fun\ f\ ss) \in (srstep \mathcal{F} \mathcal{R})^*$ **using** sig
by (*metis all-ctxt-closed-def all-ctxt-closed-sig-rsteps funas le-sup-iff*)
then **show** *?thesis*
by (*auto simp: NFP-redp-def all-ctxt-closed-def*)

qed

lemma *NFP-rrstep-intro*:

assumes $\bigwedge s t. (s, t) \in comp\text{-}rrstep\text{-}rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies NFP\text{-}redp \mathcal{F} \mathcal{R} s t$

shows $NFP (srstep \mathcal{F} \mathcal{R})$

proof —

from $assms$ **have** $red: \bigwedge t u. (t, u) \in comp\text{-}rrstep\text{-}rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies NFP\text{-}redp \mathcal{F} \mathcal{R} t u$

apply (*auto simp: NFP-redp-def rtrancl-eq-or-trancl*)

apply (*metis NF-no-trancl-step converseD srstep-converse-dist srsteps-with-root-step-srstepsD trancl-converse*)

apply *blast*

apply (*meson NF-no-trancl-step srsteps-with-root-step-srstepsD*)

by *blast*

have $\bigwedge s t. (s, t) \in (sig\text{-}step \mathcal{F} ((rstep \mathcal{R})^{-1}))^* O (srstep \mathcal{F} \mathcal{R})^* \implies NFP\text{-}redp \mathcal{F} \mathcal{R} s t$

using *reduction-join-relations-to-root-step*[*OF red prop-mctxt-cl-NFP-redp, of* $\mathcal{R}^{-1} \mathcal{R}$]

by (*auto simp: NFP-redp-def*) (*metis (no-types, lifting) relcomp.relcompI rstep-converse-dist rtranclD srstepsD*)

then show *?thesis unfolding NFP-on-def NFP-redp-def*
by (*auto simp: normalizability-def*) (*metis meetI meet-def rstep-converse-dist srstep-converse-dist*)
qed

lemma *NFP-lift-to-conversion:*
assumes *NFP* $r (s, t) \in (r^{\leftrightarrow})^*$ **and** $t \in NF\ r$
shows $(s, t) \in r^*$ **using** *assms(2, 3)*
proof (*induct rule: converse-rtrancl-induct*)
case (*step s u*)
then have $(u, t) \in r^!$ **by** *auto*
then show *?case using assms(1) step(1) unfolding NFP-on-def*
by *auto*
qed *simp*

lemma *NFP-to-rrstep:*
assumes *NFP* (*srstep* \mathcal{F} \mathcal{R})
shows $\bigwedge s\ t. (s, t) \in srsteps\text{-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies NFP\text{-redp } \mathcal{F} \mathcal{R} s\ t$
using *assms*
using *NFP-lift-to-conversion[OF assms] unfolding NFP-redp-def srsteps-with-root-step-def*
by *auto (metis (no-types, lifting) r-into-rtrancl rstep-converse-dist rtrancl-trans srrstep-to-srstep srstep-symcl-dist)*

— Reducing search space for *UNC* to conversions involving root steps

definition *UN-redp* $\mathcal{F} \mathcal{R} s\ t \longleftrightarrow s \in NF (srstep\ \mathcal{F}\ \mathcal{R}) \wedge t \in NF (srstep\ \mathcal{F}\ \mathcal{R})$
 $\longrightarrow s = t$

lemma *prop-mctx-cl-UN-redp:*
prop-mctx-cl \mathcal{F} (*UN-redp* \mathcal{F} \mathcal{R})
proof –
{fix $f\ ts\ ss$ **assume** $sig: (f, length\ ss) \in \mathcal{F}$ $length\ ts = length\ ss$
and $steps: \forall i < length\ ss. ts\ !\ i \in NF (srstep\ \mathcal{F}\ \mathcal{R}) \wedge ss\ !\ i \in NF (srstep\ \mathcal{F}\ \mathcal{R}) \longrightarrow ts\ !\ i = ss\ !\ i$
and $funas: \forall i < length\ ss. funas\text{-term } (ts\ !\ i) \subseteq \mathcal{F} \wedge funas\text{-term } (ss\ !\ i) \subseteq \mathcal{F}$
and *NF:* $Fun\ f\ ts \in NF (srstep\ \mathcal{F}\ \mathcal{R})$ $Fun\ f\ ss \in NF (srstep\ \mathcal{F}\ \mathcal{R})$
from $steps$ **have** $steps: i < length\ ss \implies ts\ !\ i = ss\ !\ i$ **for** i
using $sig\ funas\ NF\text{-srstep-args}[OF\ NF(1)]\ NF\text{-srstep-args}[OF\ NF(2)]$
by (*auto simp: UN-subset-iff*) (*metis in-set-idx*)
then have $Fun\ f\ ts = Fun\ f\ ss$ **using** $sig(2)$
by (*simp add: nth-equalityI*)
then show *?thesis*
by (*auto simp: UN-redp-def all-cctx-closed-def*)
qed

lemma *UNC-rrstep-intro:*
assumes $\bigwedge s\ t. (s, t) \in srsteps\text{-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies UN\text{-redp } \mathcal{F} \mathcal{R} s\ t$

shows $UNC (srstep \mathcal{F} \mathcal{R})$
proof –
have $\bigwedge s t. (s, t) \in (srstep \mathcal{F} (\mathcal{R}^{\leftrightarrow}))^* \implies UN-redp \mathcal{F} \mathcal{R} s t$
using $reduction-relations-to-root-step[OF assms(1) prop-mctxt-cl-UN-redp, of \mathcal{R}^{\leftrightarrow}]$
by $(auto simp: UN-redp-def) (meson rtranclD srstepsD)$
then show $?thesis$ **unfolding** $UNC-def UN-redp-def$
by $(auto simp: sig-step-conversion-dist)$
qed

lemma $UNC-to-rrstep$:
assumes $UNC (srstep \mathcal{F} \mathcal{R})$
shows $\bigwedge s t. (s, t) \in srsteps-with-root-step \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies UN-redp \mathcal{F} \mathcal{R} s t$
using $assms$ **unfolding** $UNC-def UN-redp-def srsteps-with-root-step-def$
by $(auto dest!: srrstep-to-srstep symcl-srstep-conversion symcl-srsteps-conversion)$
 $(metis (no-types, opaque-lifting) conversion-def rtrancl-trans)$

— Reducing search space for UNF to conversions involving root steps

lemma $UNF-rrstep-intro$:
assumes $\bigwedge t u. (t, u) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies UN-redp \mathcal{F} \mathcal{R} t u$
shows $UNF (srstep \mathcal{F} \mathcal{R})$
proof –
from $assms$ **have** $red: \bigwedge t u. (t, u) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies UN-redp \mathcal{F} \mathcal{R} t u$
apply $(auto simp: UN-redp-def rtrancl-eq-or-trancl)$
apply $(metis NF-no-trancl-step converseD srstep-converse-dist srsteps-with-root-step-srstepsD trancl-converse)$
apply $blast$
apply $(meson NF-no-trancl-step srsteps-with-root-step-srstepsD)$
by $blast$
have $\bigwedge s t. (s, t) \in (sig-step \mathcal{F} ((rstep \mathcal{R})^{-1}))^* O (srstep \mathcal{F} \mathcal{R})^* \implies UN-redp \mathcal{F} \mathcal{R} s t$
using $reduction-join-relations-to-root-step[OF red prop-mctxt-cl-UN-redp, of \mathcal{R}^{-1} \mathcal{R}]$
by $(auto simp: UN-redp-def) (metis (no-types, lifting) relcomp.relcompI rstep-converse-dist rtranclD srstepsD)$
then show $?thesis$ **unfolding** $UNF-on-def UN-redp-def$
by $(auto simp: normalizability-def) (metis meetI meet-def rstep-converse-dist srstep-converse-dist)$
qed

lemma $UNF-to-rrstep$:
assumes $UNF (srstep \mathcal{F} \mathcal{R})$
shows $\bigwedge s t. (s, t) \in comp-rrstep-rel \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R} \implies UN-redp \mathcal{F} \mathcal{R} s t$
using $assms$ **unfolding** $UNF-on-def UN-redp-def normalizability-def srsteps-with-root-step-def$
by $(auto simp flip: srstep-converse-dist dest!: srrstep-to-srstep)$
 $(metis (no-types, lifting) rstep-converse-dist rtrancl.rtrancl-into-rtrancl rtrancl-converseD)$

rtrancl-idemp srstep-converse-dist)+

— Reducing search space for *CE* to conversions involving root steps

lemma *CE-rrstep-intro*:

assumes $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$
and $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
shows *CE* (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)
using *reduction-relations-to-root-step*[*OF* *assms*(1), **where** $?s1 = \lambda s t. s$ **and** $?t1 = \lambda s t. t$, of $\mathcal{F} \mathcal{R}^{\leftrightarrow}$]
using *reduction-relations-to-root-step*[*OF* *assms*(2), **where** $?s1 = \lambda s t. s$ **and** $?t1 = \lambda s t. t$, of $\mathcal{F} \mathcal{S}^{\leftrightarrow}$]
by (*auto simp: CE-on-def*)
(*metis converseI conversion-converse rtrancl-eq-or-trancl sig-step-conversion-dist sigstep-trancl-funas*(1, 2))+

lemma *CE-to-rrstep*:

assumes *CE* (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)
shows $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$
 $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow}) \implies (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
using *assms unfolding CE-on-def srsteps-with-root-step-def*
by (*auto simp flip: srstep-converse-dist dest!: srrstep-to-srstep symcl-srsteps-conversion symcl-srstep-conversion*)
(*metis converse-rtrancl-into-rtrancl conversion-rtrancl*)+

— Reducing search space for *NE* to conversions involving root steps

definition *NE-redp where*

NE-redp $\mathcal{F} \mathcal{R} \mathcal{S} s t \longleftrightarrow t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow (s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$

lemma *prop-mctx-cl-NE-redp*:

prop-mctx-cl $\mathcal{F} (\text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S})$

proof —

{fix $f ts ss$ **assume** $\text{sig}: (f, \text{length } ss) \in \mathcal{F} \text{ length } ts = \text{length } ss$
and $\text{steps}: \forall i < \text{length } ss. ss ! i \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \longrightarrow (ts ! i, ss ! i) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$
and $\text{funas}: \forall i < \text{length } ss. \text{funas-term } (ts ! i) \subseteq \mathcal{F} \wedge \text{funas-term } (ss ! i) \subseteq \mathcal{F}$
and $\text{NF}: \text{Fun } f ss \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$
from steps **have** $\text{steps}: i < \text{length } ss \implies (ts ! i, ss ! i) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ **for** i
using $\text{sig funas NF-srstep-args}$ [*OF* *NF*]
by (*auto simp: UN-subset-iff*) (*metis in-set-idx*)
then **have** $(\text{Fun } f ts, \text{Fun } f ss) \in (\text{srstep } \mathcal{F} \mathcal{S})^*$ **using** sig
by (*metis all-cctx-closed-def all-cctx-closed-sig-rsteps funas le-sup-iff*)}
then **show** $?thesis$
by (*auto simp: all-cctx-closed-def NE-redp-def*)

qed

lemma *NE-rrstep-intro*:

assumes $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies \text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$
and $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{S} \implies \text{NE-redp } \mathcal{F} \mathcal{S} \mathcal{R} s t$
and $\text{NF } (\text{srstep } \mathcal{F} \mathcal{R}) = \text{NF } (\text{srstep } \mathcal{F} \mathcal{S})$
shows $\text{NE } (\text{srstep } \mathcal{F} \mathcal{R}) (\text{srstep } \mathcal{F} \mathcal{S})$
using *assms(3)*
using *reduction-relations-to-root-step[OF assms(1) prop-mctxt-cl-NE-redp, of \mathcal{R}]*
using *reduction-relations-to-root-step[OF assms(2) prop-mctxt-cl-NE-redp, of \mathcal{S}]*
by (*auto simp: NE-on-def NE-redp-def normalizability-def*)
(*metis rtrancl.rtrancl-refl sigstep-trancl-funass*)+

lemma *NE-to-rrstep*:

assumes $\text{NE } (\text{srstep } \mathcal{F} \mathcal{R}) (\text{srstep } \mathcal{F} \mathcal{S})$
shows $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R} \implies \text{NE-redp } \mathcal{F} \mathcal{R} \mathcal{S} s t$
 $\bigwedge s t. (s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{S} \implies \text{NE-redp } \mathcal{F} \mathcal{S} \mathcal{R} s t$
using *assms unfolding NE-on-def NE-redp-def srsteps-with-root-step-def*
by (*auto simp: normalizability-def simp flip: srstep-converse-dist*)
dest!: srrstep-to-srstep (meson converse-rtrancl-into-rtrancl rtrancl-trans)+

lemma *NE-NF-eq*:

$\text{NE } \mathcal{R} \mathcal{S} \implies \text{NF } \mathcal{R} = \text{NF } \mathcal{S}$
by (*auto simp: NE-on-def NF-def normalizability-def*)

— Reducing search space for *SCR* and *WCR* involving root steps

abbreviation $\text{SCRp } \mathcal{F} \mathcal{R} t u \equiv \exists v. (t, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$

lemma *SCR-rrstep-intro*:

assumes $\bigwedge s t u. (s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies (s, u) \in \text{srstep } \mathcal{F} \mathcal{R} \implies \text{SCRp } \mathcal{F} \mathcal{R} t u$
and $\bigwedge s t u. (s, t) \in \text{srstep } \mathcal{F} \mathcal{R} \implies (s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies \text{SCRp } \mathcal{F} \mathcal{R} t u$

shows $\text{SCR } (\text{srstep } \mathcal{F} \mathcal{R})$

proof —

{**fix** $s t u$ **assume** $\text{step}: (s, t) \in \text{srstep } \mathcal{F} \mathcal{R} (s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$
from $\text{step}(1)$ **obtain** $p l r \sigma$ **where** $st: p \in \text{poss } s (l, r) \in \mathcal{R} s \mid - p = l \cdot \sigma t = s[p \leftarrow r \cdot \sigma]$
using *rstep-to-pos-replace[of $s t \mathcal{R}$] unfolding sig-step-def by blast*
from $\text{step}(2)$ **obtain** $q l2 r2 \sigma2$ **where** $su: q \in \text{poss } s (l2, r2) \in \mathcal{R} s \mid - q = l2 \cdot \sigma2 u = s[q \leftarrow r2 \cdot \sigma2]$
using *rstep-to-pos-replace[of $s u \mathcal{R}$] unfolding sig-step-def by blast*
from $\text{step } st su$ **have** $\text{funas}: \text{funas-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$
by (*auto dest: srstepD*)
have $\text{funas2} : \text{funas-term } (r2 \cdot \sigma2) \subseteq \mathcal{F}$ **using** *funas-term-replace-at-lower[OF*

```

su(1)]
  using funas(3) unfolding su(4) by blast
  consider (a)  $p \leq_p q$  | (b)  $q \leq_p p$  | (c)  $p \perp q$ 
  using position-par-def by blast
  then have SCRp  $\mathcal{F} \mathcal{R} t u$ 
  proof cases
  case a
  from a have up:  $p \in \text{poss } u$  using st(1) su(1) unfolding st(4) su(4)
  by (metis pos-replace-at-pres position-less-eq-def poss-append-poss)
  let ?C = ctxt-at-pos s p have fc: funas-ctxt ?C  $\subseteq \mathcal{F}$  using funas(1) st(1)
  by (metis ctxt-at-pos-subt-at-id funas-ctxt-apply le-sup-iff)
  from funas have funas: funas-term (s |- p)  $\subseteq \mathcal{F}$  funas-term (t |- p)  $\subseteq \mathcal{F}$ 
  funas-term (u |- p)  $\subseteq \mathcal{F}$ 
  using a st(1) pos-replace-at-pres[OF st(1)] up unfolding st(4) su(4)
  by (intro funas-term-subterm-atI, blast+)+
  have (s |- p, t |- p)  $\in \text{sig-step } \mathcal{F}$  (rrstep  $\mathcal{R}$ ) unfolding st(4) su(4) using
  st(1 - 3) su(1 - 3) funas
  by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
  st(4))
  moreover have (s |- p, u |- p)  $\in \text{srstep } \mathcal{F} \mathcal{R}$  unfolding st(4) su(4) using
  st(1 - 3) su(1 - 3) funas
  by (smt (verit, best) a ctxt-at-pos-subt-at-pos ctxt-of-pos-term-apply-replace-at-ident
  position-less-eq-def
  poss-append-poss replace-subterm-at-itself replace-term-at-subt-at-id rstepI
  sig-stepI su(4))
  ultimately obtain v where (t |- p, v)  $\in (\text{srstep } \mathcal{F} \mathcal{R})^=$  (u |- p, v)  $\in (\text{srstep }
  \mathcal{F} \mathcal{R})^*$ 
  using assms(1) by blast
  from this(1) srsteps-eq-ctxt-closed[OF fc this(2)]
  show ?thesis using a st(1) su(1) srsteps-eq-ctxt-closed[OF fc] unfolding
  st(4) su(4)
  apply (intro exI[of - ?C(v)])
  apply (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
  apply (metis ctxt-of-pos-term-apply-replace-at-ident fc srstep-ctxt-closed)
  done
next
case b
then have up:  $q \in \text{poss } t$  using st(1) su(1) unfolding st(4) su(4)
by (metis pos-replace-at-pres position-less-eq-def poss-append-poss)
let ?C = ctxt-at-pos s q have fc: funas-ctxt ?C  $\subseteq \mathcal{F}$  using funas(1) su(1)
by (metis Un-subset-iff ctxt-at-pos-subt-at-id funas-ctxt-apply)
from funas have funas: funas-term (s |- q)  $\subseteq \mathcal{F}$  funas-term (t |- q)  $\subseteq \mathcal{F}$ 
funas-term (u |- q)  $\subseteq \mathcal{F}$ 
using su(1) pos-replace-at-pres[OF su(1)] up unfolding st(4) su(4)
by (intro funas-term-subterm-atI, blast+)+
have (s |- q, t |- q)  $\in \text{srstep } \mathcal{F} \mathcal{R}$  unfolding st(4) su(4) using st(1 - 3)
su(1 - 3) funas
by (smt (verit, del-insts) b ctxt-at-pos-subt-at-pos ctxt-of-pos-term-apply-replace-at-ident
  position-less-eq-def poss-append-poss replace-subterm-at-itself replace-term-at-subt-at-id

```

```

rstepI sig-stepI st(4)
  moreover have (s |- q, u |- q) ∈ sig-step F (rrstep R) unfolding st(4)
su(4) using st(1 - 3) su(1 - 3) funas
  by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
su(4))
  ultimately obtain v where (t |- q, v) ∈ (srstep F R)= (u |- q, v) ∈ (srstep
F R)*
  using assms(2) by blast
  from this(1) srsteps-eq-ctxt-closed[OF fc this(2)]
  show ?thesis using b st(1) su(1) srsteps-eq-ctxt-closed[OF fc] unfolding
st(4) su(4)
  apply (intro exI[of - ?C⟨v⟩])
  apply (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
  apply (smt (verit, best) ctxt-of-pos-term-apply-replace-at-ident fc less-eq-subt-at-replace
replace-term-at-above replace-term-at-subt-at-id srstep-ctxt-closed)
  done
next
case c
define v where v = t[q ← r2 · σ2]
have funasv: funas-term v ⊆ F using funas su(1) unfolding v-def su(4)
  using funas-term-replace-at-upper funas2 by blast
from c have *: v = u[p ← r · σ] unfolding v-def st(4) su(4) using st(1)
su(1)
  using parallel-replace-term-commute by blast
from c have (t, v) ∈ rstep R unfolding st(4) v-def
  using su(1 - 3) par-pos-replace-pres[OF su(1)]
  by (metis par-pos-replace-term-at pos-replace-to-rstep position-par-def)
moreover from c have (u, v) ∈ rstep R unfolding su(4) *
  using st(1 - 3) par-pos-replace-pres[OF st(1)]
  by (intro pos-replace-to-rstep[of - - l]) (auto simp: par-pos-replace-term-at)
ultimately show ?thesis using funas(2-) funasv
  by auto
qed}
then show ?thesis unfolding SCR-on-def
  by blast
qed

```

lemma *SCE-to-rrstep*:

```

assumes SCR (srstep F R)
shows  $\bigwedge s t u. (s, t) \in \text{sig-step } F \text{ (rrstep } R) \implies (s, u) \in \text{srstep } F R \implies \text{SCRp}$ 
 $F R t u$ 
 $\bigwedge s t u. (s, t) \in \text{srstep } F R \implies (s, u) \in \text{sig-step } F \text{ (rrstep } R) \implies \text{SCRp}$ 
 $F R t u$ 
  using assms unfolding SCR-on-def srsteps-with-root-step-def
  by (auto simp flip: srstep-converse-dist dest!: srrstep-to-srstep symcl-srsteps-conversion
symcl-srstep-conversion)

```

lemma *WCR-rrstep-intro*:

```

assumes  $\bigwedge s t u. (s, t) \in \text{sig-step } F \text{ (rrstep } R) \implies (s, u) \in \text{srstep } F R \implies (t,$ 

```

$u) \in (srstep \mathcal{F} \mathcal{R})^\downarrow$
shows $WCR (srstep \mathcal{F} \mathcal{R})$
proof –
 {**fix** $s t u$ **assume** $step: (s, t) \in srstep \mathcal{F} \mathcal{R} (s, u) \in srstep \mathcal{F} \mathcal{R}$
from $step(1)$ **obtain** $p l r \sigma$ **where** $st: p \in poss s (l, r) \in \mathcal{R} s \mid - p = l \cdot \sigma t$
 $= s[p \leftarrow r \cdot \sigma]$
using $rstep\text{-to-pos-replace}[of s t \mathcal{R}]$ **unfolding** $sig\text{-step-def}$ **by** $blast$
from $step(2)$ **obtain** $q l2 r2 \sigma2$ **where** $su: q \in poss s (l2, r2) \in \mathcal{R} s \mid - q =$
 $l2 \cdot \sigma2 u = s[q \leftarrow r2 \cdot \sigma2]$
using $rstep\text{-to-pos-replace}[of s u \mathcal{R}]$ **unfolding** $sig\text{-step-def}$ **by** $blast$
from $step st su$ **have** $funas: funas\text{-term } s \subseteq \mathcal{F} funas\text{-term } t \subseteq \mathcal{F} funas\text{-term } u$
 $\subseteq \mathcal{F}$
by $(auto dest: srstepD)$
have $funas2 : funas\text{-term } (r2 \cdot \sigma2) \subseteq \mathcal{F}$ **using** $funas\text{-term-replace-at-lower}[OF$
 $su(1)]$
using $funas(3)$ **unfolding** $su(4)$ **by** $blast$
consider $(a) p \leq_p q \mid (b) q \leq_p p \mid (c) p \perp q$
using $position\text{-par-def}$ **by** $blast$
then have $(t, u) \in (srstep \mathcal{F} \mathcal{R})^\downarrow$
proof cases
case a
then have $up: p \in poss u$ **using** $st(1) su(1)$ **unfolding** $st(4) su(4)$
by $(metis pos-replace-at-pres position-less-eq-def poss-append-poss)$
let $?C = ctxt\text{-at-pos } s p$ **have** $fc: funas\text{-ctxt } ?C \subseteq \mathcal{F}$ **using** $funas(1) st(1)$
by $(metis Un-subset-iff ctxt\text{-at-pos-subt-at-id funas-ctxt-apply})$
from $funas$ **have** $funas: funas\text{-term } (s \mid - p) \subseteq \mathcal{F} funas\text{-term } (t \mid - p) \subseteq \mathcal{F}$
 $funas\text{-term } (u \mid - p) \subseteq \mathcal{F}$
using $a st(1) pos-replace-at-pres[OF st(1)] up$ **unfolding** $st(4) su(4)$
by $(intro funas\text{-term-subterm-atI, blast+})$
have $(s \mid - p, t \mid - p) \in sig\text{-step } \mathcal{F} (rrstep \mathcal{R})$ **unfolding** $st(4) su(4)$ **using**
 $st(1 - 3) su(1 - 3) funas$
by $(metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI$
 $st(4))$
moreover have $(s \mid - p, u \mid - p) \in srstep \mathcal{F} \mathcal{R}$ **unfolding** $st(4) su(4)$ **using**
 $st(1 - 3) su(1 - 3) funas$
by $(smt (verit, ccfv-threshold) a greater-eq-subt-at-replace less-eq-subt-at-replace$
 $pos-diff-append-itself$
 $pos-replace-to-rstep position-less-eq-def poss-append-poss replace-term-at-subt-at-id$
 $sig-stepI su(4))$
ultimately have $(t \mid - p, u \mid - p) \in (srstep \mathcal{F} \mathcal{R})^\downarrow$
using $assms(1)$ **by** $blast$
from $sig\text{-steps-join-ctxt-closed}[OF fc this(1)]$
show $?thesis$ **using** $a st(1) su(1) srstep\text{-ctxt-closed}[OF fc]$ **unfolding** $st(4)$
 $su(4)$
by $(auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)$
next
case b
then have $up: q \in poss t$ **using** $st(1) su(1)$ **unfolding** $st(4) su(4)$
by $(metis pos-less-eq-append-diff pos-replace-at-pres poss-append-poss)$

```

    let ?C = ctxt-at-pos s q have fc: funas-ctxt ?C ⊆ F using funas(1) su(1)
      by (metis Un-subset-iff ctxt-at-pos-subt-at-id funas-ctxt-apply)
    from funas have funas: funas-term (s |- q) ⊆ F funas-term (t |- q) ⊆ F
funas-term (u |- q) ⊆ F
      using su(1) pos-replace-at-pres[OF su(1)] up unfolding st(4) su(4)
      by (intro funas-term-subterm-atI, blast+)+
    have (s |- q, t |- q) ∈ srstep F R unfolding st(4) su(4) using st(1 - 3)
su(1 - 3) funas
      by (smt (verit, ccfv-SIG) b greater-eq-subt-at-replace less-eq-subt-at-replace
pos-diff-append-itself
pos-replace-to-rstep position-less-eq-def poss-append-poss replace-term-at-subt-at-id
sig-stepI st(4))
    moreover have (s |- q, u |- q) ∈ sig-step F (rrstep R) unfolding st(4)
su(4) using st(1 - 3) su(1 - 3) funas
      by (metis poss-of-termE poss-of-term-replace-term-at rrstep.intros sig-stepI
su(4))
    ultimately have (t |- q, u |- q) ∈ (srstep F R)↓
      using assms(1) by blast
    from sig-steps-join-ctxt-closed[OF fc this(1)]
    show ?thesis using b st(1) su(1) srstep-ctxt-closed[OF fc] unfolding st(4)
su(4)
      by (auto simp: ctxt-of-pos-term-apply-replace-at-ident less-eq-subt-at-replace)
  next
  case c
  define v where v = t[q ← r2 · σ2]
  have funasv: funas-term v ⊆ F using funas su(1) unfolding v-def su(4)
    using funas-term-replace-at-upper funas2 by blast
  from c have *: v = u[p ← r · σ] unfolding v-def st(4) su(4) using st(1)
su(1)
    using parallel-replace-term-commute by blast
  from c have (t, v) ∈ rstep R unfolding st(4) v-def
    using su(1 - 3) par-pos-replace-pres[OF su(1)]
    by (metis par-pos-replace-term-at pos-replace-to-rstep position-par-def)
  moreover from c have (u, v) ∈ rstep R unfolding su(4) *
    using st(1 - 3) par-pos-replace-pres[OF st(1)]
    by (metis par-pos-replace-term-at pos-replace-to-rstep)
  ultimately show ?thesis using funas(2-) funasv
    by auto
  qed}
  then show ?thesis unfolding WCR-on-def
    by blast
qed

end
theory Rewriting-LLRG-LV-Mondaic
  imports Rewriting
    Replace-Constant
begin

```

4.3 Specific results about rewriting under a linear variable-separated system

lemma *card-varposs-ground*:

card (varposs s) = 0 \longleftrightarrow *ground s*
by (*simp add: finite-varposs varposs-empty-ground*)

lemma *poss-of-term-subst-apply-varposs*:

assumes $p \in \text{poss-of-term } (\text{constT } c) (s \cdot \sigma) (c, 0) \notin \text{funas-term } s$
shows $\exists q. q \in \text{varposs } s \wedge q \leq_p p$ **using** *assms*

proof (*induct p arbitrary: s*)

case *Nil*

then show *?case by (cases s) (auto simp: poss-of-term-def)*

next

case (*Cons i p*)

show *?case using Cons(1)[of args s ! i] Cons(2-)*

apply (*cases s*)

apply (*auto simp: poss-of-term-def*)

apply (*metis position-less-eq-Cons*)**+**

done

qed

lemma *poss-of-term-hole-poss*:

assumes $p \in \text{poss-of-term } t C \langle s \rangle$ **and** *hole-pos C* $\leq_p p$

shows $p \dashv_p \text{hole-pos } C \in \text{poss-of-term } t s$ **using** *assms*

proof (*induct C arbitrary: p*)

case (*More f ss C ts*)

from *More(3)* **obtain** *ps* **where** [*simp*]: $p = \text{length } ss \# ps$ **and** *h: hole-pos C*
 $\leq_p ps$

by (*metis append-Cons hole-pos.simps(2) less-eq-poss-append-itself pos-less-eq-append-diff*)

show *?case using More(1)[OF - h] More(2)*

by (*auto simp: poss-of-term-def*)

qed *auto*

lemma *remove-const-subst-from-match*:

assumes $s \cdot \text{const-subst } c = C \langle l \cdot \sigma \rangle (c, 0) \notin \text{funas-term } l$ *linear-term l*

shows $\exists D \tau. s = D \langle l \cdot \tau \rangle$ **using** *assms*

proof (*induct card (varposs s) arbitrary: s*)

case (*Suc x*)

from *Suc(2)* **obtain** *p ps* **where** *varposs: varposs s = insert p ps* $p \notin ps$

by (*metis card-Suc-eq*)

let $?s = s[p \leftarrow \text{Fun } c []]$ **have** *vp: p* $\in \text{varposs } s$ **using** *varposs* **by** *auto*

then have $*$: $?s \cdot \text{const-subst } c = s \cdot \text{const-subst } c$

by (*induct s arbitrary: p*) (*auto simp: nth-list-update map-update intro!: nth-equalityI*)

have *varposs ?s = ps* **using** *varposs varposs-ground-replace-at[of p s constT c]*

by *auto*

from *Suc(1)[of ?s] Suc(2-)* *varposs* **obtain** *D* τ **where** *split: s[p* $\leftarrow \text{constT } c]$
 $= D \langle l \cdot \tau \rangle$

by (*metis ** $\langle \text{varposs } s[p \leftarrow \text{constT } c] = ps \rangle$ *card-insert-if diff-Suc-1 finite-varposs*)

have *wit: s = D* $\langle l \cdot \tau \rangle [p \leftarrow s \dashv p]$ **unfolding** *arg-cong[OF split, of* $\lambda t. t[p \leftarrow$

```

s |- p], symmetric]
  using vp by simp
  from vp split have cases: p ⊥ hole-pos D ∨ hole-pos D ≤p p
  by auto (metis poss-of-term-const-ctxt-apply poss-of-term-replace-term-at var-
  poss-imp-poss)
  show ?case
  proof (cases p ⊥ hole-pos D)
    case True then show ?thesis using wit
    by (auto simp: par-hole-pos-replace-term-context-at)
  next
  case False
  then have hole: hole-pos D ≤p p using cases by auto
  from vp split have p ∈ poss-of-term (constT c) s[p ← constT c]
  using poss-of-term-replace-term-at varposs-imp-poss by blast
  from poss-of-term-hole-poss[OF this[unfolded split] hole]
  have p -p hole-pos D ∈ poss-of-term (constT c) (l · τ)
  by simp
  from poss-of-term-subst-apply-varposs[OF this Suc(4)] obtain q where
  q: q ∈ varposs l q ≤p (p -p (hole-pos D)) by blast
  show ?thesis using wit Suc(5) hole
  using linear-term-varposs-subst-replace-term[OF Suc(5) q, of τ s |- p]
  by auto
qed
qed (auto simp: card-varposs-ground ground-subst-apply)

```

definition $llrg \mathcal{R} \longleftrightarrow (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{ground } r)$

definition $lv \mathcal{R} \longleftrightarrow (\forall (l, r) \in \mathcal{R}. \text{linear-term } l \wedge \text{linear-term } r \wedge \text{vars-term } l \cap \text{vars-term } r = \{\})$

definition $monadic \mathcal{F} \longleftrightarrow (\forall (f, n) \in \mathcal{F}. n \leq \text{Suc } 0)$

— NF of ground terms

lemma *ground-NF-srstep-gsrstep*:

$\text{ground } s \implies s \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R}) \implies s \in \text{NF } (\text{gsrstep } \mathcal{F} \mathcal{R})$
 by blast

lemma *NF-to-fresh-const-subst-NF*:

assumes *lin*: $\text{linear-sys } \mathcal{R}$ **and** *fresh-const*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$ $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$

and *nf-f*: $\text{funas-term } s \subseteq \mathcal{F}$ $s \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R})$

shows $s \cdot \text{const-subst } c \in \text{NF } (\text{gsrstep } \mathcal{H} \mathcal{R})$

proof (rule *ccontr*)

assume $s \cdot \text{const-subst } c \notin \text{NF}$ (*Restr* (*srstep* $\mathcal{H} \mathcal{R}$) (*Collect ground*))
then obtain $C \ l \ r \ \sigma$ **where** $\text{step}: (l, r) \in \mathcal{R} \ s \cdot \text{const-subst } c = C \langle l \cdot \sigma \rangle$ **by**
fastforce
from $\text{step}(1)$ **have** $l: (c, 0) \notin \text{funas-term } l \ \text{linear-term } l$ **using** *lin fresh-const*
by (*auto simp: funas-rel-def*)
obtain $D \ \tau$ **where** $s = D \langle l \cdot \tau \rangle$ **using** *remove-const-subst-from-match*[*OF* $\text{step}(2)$
 l] **by** *blast*
then show *False* **using** $\text{step}(1)$ *nf-f*
by (*meson NF-no-trancl-step fresh-const(2) r-into-trancl' rstepI rstep-trancl-sig-step-r*)
qed

lemma *fresh-const-subst-NF-pres:*

assumes *fresh-const:* $(c, 0) \notin \text{funas-rel } \mathcal{R} \ \text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$
and *nf-f:* $\text{funas-term } s \subseteq \mathcal{F} \ \mathcal{F} \subseteq \mathcal{H} \ (c, 0) \in \mathcal{H} \ s \cdot \text{const-subst } c \in \text{NF}$ (*gsrstep*
 $\mathcal{H} \ \mathcal{R}$)
shows $s \in \text{NF}$ (*srstep* $\mathcal{F} \ \mathcal{R}$)
proof (*rule ccontr*)
assume $s \notin \text{NF}$ (*srstep* $\mathcal{F} \ \mathcal{R}$)
then obtain $C \ l \ r \ \sigma$ **where** $\text{step}: (l, r) \in \mathcal{R} \ s = C \langle l \cdot \sigma \rangle$ **by** *fastforce*
let $? \tau = \lambda x. \text{if } x \in \text{vars-term } l \text{ then } (\sigma \ x) \cdot \text{const-subst } c \text{ else } \text{Fun } c$ \square
define D **where** $D = (C \cdot_c \text{const-subst } c)$
have $s: s \cdot \text{const-subst } c = D \langle l \cdot ? \tau \rangle$ **unfolding** *D-def* $\text{step}(2)$
by (*auto simp: subst-compose simp flip: subst-subst-compose intro!: term-subst-eq*)
have *funas:* $\text{funas-ctxt } D \subseteq \mathcal{H} \ \text{funas-term } (l \cdot ? \tau) \subseteq \mathcal{H} \ \text{funas-term } (r \cdot ? \tau) \subseteq \mathcal{H}$
using step *nf-f(1 - 3) fresh-const(2) unfolding D-def*
by (*auto simp: funas-ctxt-subst-apply-ctxt funas-term-subst funas-rel-def split:*
if-splits)
moreover have *ground-ctxt* $D \ \text{ground } (l \cdot ? \tau) \ \text{ground } (r \cdot ? \tau)$ **using** *arg-cong*[*OF*
 $s, \text{ of ground}$] **unfolding** *D-def*
by (*auto intro!: ground-substI*)
ultimately have $(D \langle l \cdot ? \tau \rangle, D \langle r \cdot ? \tau \rangle) \in \text{gsrstep } \mathcal{H} \ \mathcal{R}$ **using** $\text{step}(1)$
by (*simp add: rstepI sig-stepI*)
then show *False* **using** *nf-f(4) unfolding s[symmetric]*
by *blast*
qed

lemma *linear-sys-gNF-eq-NF-eq:*

assumes *lin:* $\text{linear-sys } \mathcal{R} \ \text{linear-sys } \mathcal{S}$
and *well:* $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F} \ \text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and *fresh:* $(c, 0) \notin \text{funas-rel } \mathcal{R} \ (c, 0) \notin \text{funas-rel } \mathcal{S}$
and *lift:* $\mathcal{F} \subseteq \mathcal{H} \ (c, 0) \in \mathcal{H}$
and *nf:* $\text{NF} (\text{gsrstep } \mathcal{H} \ \mathcal{R}) = \text{NF} (\text{gsrstep } \mathcal{H} \ \mathcal{S})$
shows $\text{NF} (\text{srstep } \mathcal{F} \ \mathcal{R}) = \text{NF} (\text{srstep } \mathcal{F} \ \mathcal{S})$
proof –
have [*simp*]: $\neg \text{funas-term } s \subseteq \mathcal{F} \implies s \in \text{NF} (\text{srstep } \mathcal{F} \ \mathcal{U})$ **for** $s \ \mathcal{U}$ **by** (*meson*
 $\text{NF-I sig-stepE}(1)$)
have *d1:* $s \in \text{NF} (\text{gsrstep } \mathcal{H} \ \mathcal{R}) \implies s \in \text{NF} (\text{gsrstep } \mathcal{H} \ \mathcal{S})$ **for** s **using** *nf* **by**
auto

have $d2: s \in NF (gsrstep \mathcal{H} \mathcal{S}) \implies s \in NF (gsrstep \mathcal{H} \mathcal{R})$ **for** s **using** nf **by**
auto
{fix s **assume** $n: s \in NF (srstep \mathcal{F} \mathcal{R})$ **then have** $s \in NF (srstep \mathcal{F} \mathcal{S})$
using $NF\text{-to-fresh-const-subst-NF}[OF \text{ lin}(1) \text{ fresh}(1) \text{ well}(1) - n, THEN d1]$
using $\text{fresh-const-subst-NF-pres}[OF \text{ fresh}(2) \text{ well}(2) - \text{lift}, \text{ of } s]$
by $(\text{cases funas-term } s \subseteq \mathcal{F}) \text{ simp-all}$
moreover
{fix s **assume** $n: s \in NF (srstep \mathcal{F} \mathcal{S})$ **then have** $s \in NF (srstep \mathcal{F} \mathcal{R})$
using $NF\text{-to-fresh-const-subst-NF}[OF \text{ lin}(2) \text{ fresh}(2) \text{ well}(2) - n, THEN d2]$
using $\text{fresh-const-subst-NF-pres}[OF \text{ fresh}(1) \text{ well}(1) - \text{lift}, \text{ of } s]$
by $(\text{cases funas-term } s \subseteq \mathcal{F}) \text{ simp-all}$
ultimately show $?thesis$ **by** blast
qed

— Steps of ground

lemma $gsrsteps\text{-to-srsteps}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^+ \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^+$
by $(\text{meson inf-le1 trancl-mono})$

lemma $gsrsteps\text{-eq-to-srsteps-eq}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^* \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^*$
by $(\text{metis gsrsteps-to-srsteps rtrancl-eq-or-trancl})$

lemma $gsrsteps\text{-to-rsteps}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^+ \implies (s, t) \in (rstep \mathcal{R})^+$
using $gsrsteps\text{-to-srsteps srstepsD}$ **by** blast

lemma $gsrsteps\text{-eq-to-rsteps-eq}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^* \implies (s, t) \in (rstep \mathcal{R})^*$
by $(\text{metis gsrsteps-eq-to-srsteps-eq rtrancl-eq-or-trancl srstepsD})$

lemma $gsrsteps\text{-eq-relcomp-srsteps-relcompD}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^* O (gsrstep \mathcal{F} \mathcal{S})^* \implies (s, t) \in (srstep \mathcal{F} \mathcal{R})^* O (srstep \mathcal{F} \mathcal{S})^*$
using $gsrsteps\text{-eq-to-srsteps-eq}$ **by** blast

lemma $gsrsteps\text{-eq-relcomp-to-rsteps-relcomp}$:
 $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^* O (gsrstep \mathcal{F} \mathcal{S})^* \implies (s, t) \in (rstep \mathcal{R})^* O (rstep \mathcal{S})^*$
using $gsrsteps\text{-eq-relcomp-srsteps-relcompD}$
using $gsrsteps\text{-eq-to-rsteps-eq}$ **by** blast

lemma $\text{ground-srsteps-gsrsteps}$:
assumes $\text{ground } s \text{ ground } t$
and $(s, t) \in (srstep \mathcal{F} \mathcal{R})^+$
shows $(s, t) \in (gsrstep \mathcal{F} \mathcal{R})^+$

proof –
let $?\sigma = \lambda -. s$
from $assms(3)$ **have** $f: funas-term\ s \subseteq \mathcal{F}$ **using** $srstepsD$ **by** $blast$
have $(s \cdot ?\sigma, t \cdot ?\sigma) \in (gsrstep\ \mathcal{F}\ \mathcal{R})^+$ **using** $assms(3, 1)$ f
proof (*induct*)
 case (*base* t)
 then have $(s \cdot ?\sigma, t \cdot ?\sigma) \in gsrstep\ \mathcal{F}\ \mathcal{R}$
 by (*auto intro: srstep-subst-closed*)
 then show $?case$ **by** *auto*
next
 case (*step* $t\ u$)
 from $step(2, 4, 5)$ **have** $(t \cdot ?\sigma, u \cdot ?\sigma) \in gsrstep\ \mathcal{F}\ \mathcal{R}$
 by (*auto intro: srstep-subst-closed*)
 then show $?case$ **using** $step(3 - 5)$
 by (*meson Transitive-Closure.trancl-into-trancl*)
qed
then show $?thesis$ **using** $assms(1, 2)$
by (*simp add: ground-subst-apply*)
qed

lemma *ground-srsteps-eq-gsrsteps-eq*:
assumes $ground\ s\ ground\ t$
 and $(s, t) \in (srstep\ \mathcal{F}\ \mathcal{R})^*$
shows $(s, t) \in (gsrstep\ \mathcal{F}\ \mathcal{R})^*$
using *ground-srsteps-gsrsteps*
by (*metis assms rtrancl-eq-or-trancl*)

lemma *srsteps-eq-relcomp-gsrsteps-relcomp*:
assumes $(s, t) \in (srstep\ \mathcal{F}\ \mathcal{R})^* \ O\ (srstep\ \mathcal{F}\ \mathcal{S})^*$
 and $ground\ s\ ground\ t$
shows $(s, t) \in (gsrstep\ \mathcal{F}\ \mathcal{R})^* \ O\ (gsrstep\ \mathcal{F}\ \mathcal{S})^*$
proof –
from $assms(1)$ **obtain** u **where** $steps: (s, u) \in (srstep\ \mathcal{F}\ \mathcal{R})^* \ (u, t) \in (srstep\ \mathcal{F}\ \mathcal{S})^*$
by *blast*
let $?\sigma = \lambda x. s$
have $(s \cdot ?\sigma, u \cdot ?\sigma) \in (srstep\ \mathcal{F}\ \mathcal{R})^* \ (u \cdot ?\sigma, t \cdot ?\sigma) \in (srstep\ \mathcal{F}\ \mathcal{S})^*$ **using**
 $steps$
 using *srsteps-eq-subst-closed[OF steps(1), of ?σ]*
 using *srsteps-eq-subst-closed[OF steps(2), of ?σ]*
 by (*metis rtrancl-eq-or-trancl srstepsD*)
then have $(s \cdot ?\sigma, u \cdot ?\sigma) \in (gsrstep\ \mathcal{F}\ \mathcal{R})^* \ (u \cdot ?\sigma, t \cdot ?\sigma) \in (gsrstep\ \mathcal{F}\ \mathcal{S})^*$
 using $assms(2)$
 by (*auto intro: ground-srsteps-eq-gsrsteps-eq*)
then show $?thesis$ **using** $assms(2, 3)$
 by (*auto simp: ground-subst-apply*)
qed

— Steps of llrg systems

lemma *llrg-ground-rhs*:
llrg $\mathcal{R} \implies (l, r) \in \mathcal{R} \implies \text{ground } r$
unfolding *llrg-def* **by** *auto*

lemma *llrg-rrsteps-groundness*:
assumes *llrg* \mathcal{R} **and** $(s, t) \in (\text{srrstep } \mathcal{F} \mathcal{R})$
shows *ground t* **using** *assms(2)* *ground-vars-term-empty*
by (*fastforce simp: llrg-def sig-step-def dest!: llrg-ground-rhs[OF assms(1)] split: prod.splits*)

lemma *llrg-rsteps-pres-groundness*:
assumes *llrg* \mathcal{R} *ground s*
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows *ground t* **using** *assms(3, 2)*
proof (*induct rule: rtrancl.induct*)
case (*rtrancl-into-rtrancl s t u*)
then have *ground t* **by** *auto*
then show *?case* **using** *rtrancl-into-rtrancl(3)*
by (*auto simp: sig-step-def vars-term-ctxt-apply ground-vars-term-empty ground-subst-apply dest!: llrg-ground-rhs[OF assms(1)] rstep-imp-C-s-r split: prod.splits*)
qed *simp*

lemma *llrg-srsteps-with-root-step-ground*:
assumes *llrg* \mathcal{R} **and** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
shows *ground t* **using** *assms llrg-rrsteps-groundness llrg-rsteps-pres-groundness*
unfolding *srsteps-with-root-step-def*
by *blast*

lemma *llrg-srsteps-with-root-step-inv-ground*:
assumes *llrg* \mathcal{R} **and** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{-1})$
shows *ground s* **using** *assms llrg-rrsteps-groundness llrg-rsteps-pres-groundness*
unfolding *srsteps-with-root-step-def*
by (*metis (no-types, lifting) converseD relcomp.cases rtrancl-converseD srrstep-converse-dist srstep-converse-dist*)

lemma *llrg-funas-term-step-pres*:
assumes *llrg* \mathcal{R} **and** $(s, t) \in (\text{rstep } \mathcal{R})$
shows *funas-term t* \subseteq *funas-rel* $\mathcal{R} \cup$ *funas-term s*
proof –
have [*simp*]: $(l, r) \in \mathcal{R} \implies r \cdot \sigma = r$ **for** $l \ r \ \sigma$ **using** *assms(1)* **unfolding** *llrg-def*
by(*auto split: prod.splits intro: ground-subst-apply*)
show *?thesis* **using** *assms*
by (*auto simp: llrg-def funas-rel-def dest!: rstep-imp-C-s-r*)
qed

lemma *llrg-funas-term-steps-pres*:
assumes *llrg* \mathcal{R} **and** $(s, t) \in (\text{rstep } \mathcal{R})^*$

shows $\text{funas-term } t \subseteq \text{funas-rel } \mathcal{R} \cup \text{funas-term } s$
using $\text{assms}(2)$ $\text{llrg-funas-term-step-pres}[OF \text{ assms}(1)]$
by $(\text{induct } \text{auto})$

— Steps of monadic llrg systems

lemma $\text{monadic-ground-ctxt-apply}$:
 $\text{monadic } \mathcal{F} \implies \text{funas-ctxt } C \subseteq \mathcal{F} \implies \text{ground } r \implies \text{ground } C\langle r \rangle$
by $(\text{induct } C)$ $(\text{auto } \text{simp: } \text{monadic-def})$

lemma $\text{llrg-monadic-rstep-pres-groundness}$:

assumes $\text{llrg } \mathcal{R}$ $\text{monadic } \mathcal{F}$
and $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows $\text{ground } t$ **using** $\text{assms}(3)$

proof —

from $\text{assms}(3)$ **obtain** $C \ l \ r \ \sigma$ **where** $r: (l, r) \in \mathcal{R}$ **and** $t:t = C\langle r \cdot \sigma \rangle$
using rstep-imp-C-s-r **unfolding** sig-step-def **by** blast
from $\text{assms}(1, 3)$ **have** $\text{funas: funas-term } t \subseteq \mathcal{F}$ $\text{ground } r$
by $(\text{auto } \text{simp: } \text{llrg-ground-rhs}[OF \text{ assms}(1) \ r(1)] \ \text{dest: } \text{srstepD})$
then have $*$: $r \cdot \sigma = r$ **by** $(\text{simp } \text{add: } \text{ground-subst-apply})$
show $?thesis$ **using** $\text{funas } \text{assms}(2)$ **unfolding** $t *$
by $(\text{intro } \text{monadic-ground-ctxt-apply}) \ \text{auto}$

qed

lemma $\text{llrg-monadic-rsteps-groundness}$:

assumes $\text{llrg } \mathcal{R}$ $\text{monadic } \mathcal{F}$
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows $\text{ground } t$ **using** $\text{assms}(3)$
using $\text{llrg-monadic-rstep-pres-groundness}[OF \text{ assms}(1, 2)]$
by $(\text{induct rule: } \text{trancl.induct}) \ \text{auto}$

— Steps in monadic lv system

fun monadic-term **where**

$\text{monadic-term } (\text{Var } x) = \text{True}$
 $|\ \text{monadic-term } (\text{Fun } f \ []) = \text{True}$
 $|\ \text{monadic-term } (\text{Fun } f \ ts) = (\text{length } ts = \text{Suc } 0 \wedge \text{monadic-term } (\text{hd } ts))$

fun monadic-get-leave **where**

$\text{monadic-get-leave } (\text{Var } x) = (\text{Var } x)$
 $|\ \text{monadic-get-leave } (\text{Fun } f \ []) = \text{Fun } f \ []$
 $|\ \text{monadic-get-leave } (\text{Fun } f \ ts) = \text{monadic-get-leave } (\text{hd } ts)$

fun $\text{monadic-replace-leave}$ **where**

$\text{monadic-replace-leave } t \ (\text{Var } x) = t$
 $|\ \text{monadic-replace-leave } t \ (\text{Fun } f \ []) = t$
 $|\ \text{monadic-replace-leave } t \ (\text{Fun } f \ ts) = \text{Fun } f \ [\text{monadic-replace-leave } t \ (\text{hd } ts)]$

lemma *monadic-replace-leave-undo-const-subst*:
assumes *monadic-term s*
shows *monadic-replace-leave (monadic-get-leave s) (s · const-subst c) = s* **using** *assms*
proof (*induct s*)
case (*Fun f ts*) **then show** *?case*
by (*cases ts*) *auto*
qed *auto*

lemma *monadic-replace-leave-context*:
assumes *monadic-term C⟨s⟩*
shows *monadic-replace-leave t C⟨s⟩ = C⟨monadic-replace-leave t s⟩* **using** *assms*
proof (*induct C*)
case (*More f ss C ts*) **then show** *?case*
by (*cases ss; cases ts*) *auto*
qed *simp*

lemma *monadic-replace-leave-subst*:
assumes *monadic-term (s · σ) ⊢ ground s*
shows *monadic-replace-leave t (s · σ) = s · (λ x. monadic-replace-leave t (σ x))*
using *assms*
proof (*induct s*)
case (*Fun f ts*) **then show** *?case*
by (*cases ts*) *auto*
qed *auto*

lemma *monadic-sig*:
monadic F ⟹ (f, length ts) ∈ F ⟹ length ts ≤ Suc 0
by (*auto simp: monadic-def*)

lemma *monadic-sig-funas-term-mt*:
monadic F ⟹ funas-term s ⊆ F ⟹ monadic-term s
proof (*induct s*)
case (*Fun f ts*) **then show** *?case* **unfolding** *monadic-def*
by (*cases ts*) *auto*
qed *simp*

lemma *monadic-term-const-pres [intro]*:
monadic-term s ⟹ monadic-term (s · const-subst c)
proof (*induct s*)
case (*Fun f ts*) **then show** *?case*
by (*cases ts*) *auto*
qed *simp*

lemma *remove-const-lv-mondaic-step-lhs*:
assumes *lv: lv R* **and** *fresh: (c, 0) ∉ funas-rel R*
and *mon: monadic F*
and *step: (s · const-subst c, t) ∈ (srstep F R)*

```

shows  $(s, t) \in (srstep \mathcal{F} \mathcal{R})$ 
proof –
  from step obtain  $C \ l \ r \ \sigma$  where  $s: (l, r) \in \mathcal{R} \ s \cdot const\text{-subst} \ c = C \langle l \cdot \sigma \rangle \ t = C \langle r \cdot \sigma \rangle$ 
    by fastforce
    have  $lv: x \in vars\text{-term} \ l \implies x \notin vars\text{-term} \ r$  for  $x$  using  $s(1) \ lv$ 
    by (auto simp: lv-def)
    from  $s(1)$  fresh have  $cl: (c, 0) \notin funas\text{-term} \ l$  by (auto simp: funas-rel-def)
    have  $funas: funas\text{-term} \ s \subseteq \mathcal{F} \ (c, 0) \notin funas\text{-term} \ l \ funas\text{-term} \ t \subseteq \mathcal{F}$  using
 $s(1)$  fresh
    using step mon funas-term-subst unfolding funas-rel-def
    by (auto dest!: srstepD) blast
    then have  $mt: monadic\text{-term} \ s \ monadic\text{-term} \ (s \cdot const\text{-subst} \ c)$ 
    using monadic-sig-funas-term-mt[OF mon] by auto
    then have  $ml: monadic\text{-term} \ (l \cdot \sigma)$  unfolding  $s(2)$ 
    by (metis funas-ctxt-apply le-sup-iff step mon monadic-sig-funas-term-mt s(2) sig-stepE(1))
    show ?thesis
    proof (cases ground s)
      case True then show ?thesis using step
        by (auto simp: ground-subst-apply)
      next
        case False note  $ng = this$ 
        then have  $cs: (c, 0) \in funas\text{-term} \ (s \cdot const\text{-subst} \ c)$ 
        by (auto simp: funas-term-subst vars-term-empty-ground)
        have  $ngrl: \neg ground \ l$  using  $s(2) \ cs \ mt \ ng$ 
        proof (induct s arbitrary: C)
          case (Var x) then show ?case using  $cl \ cs$ 
          by (cases C) (auto simp: funas-rel-def ground-subst-apply)
        next
          case (Fun f ts)
          from Fun(5-) obtain  $t$  where  $[simp]: ts = [t]$  by (cases ts) auto
          show ?case
          proof (cases C = Hole)
            case True then show ?thesis using Fun(2, 3) cl
            by (auto simp: ground-subst-apply)
          next
            case False
            from this Fun(2, 3) obtain  $D$  where  $[simp]: C = More \ f \ [] \ D \ []$ 
            by (cases C) (auto simp: Cons-eq-append-conv)
            show ?thesis using Fun(1)[of t D] Fun(2-)
            by simp
          qed
        qed
      let  $?\tau = \lambda x. \text{if } x \in vars\text{-term} \ l \text{ then } monadic\text{-replace-leave} \ (monadic\text{-get-leave} \ s) \ (\sigma \ x) \ \text{else } (\sigma \ x)$ 
      have  $C \langle l \cdot (\lambda x. monadic\text{-replace-leave} \ (monadic\text{-get-leave} \ s) \ (\sigma \ x)) \rangle = C \langle l \cdot \ ?\tau \rangle$ 
      by (auto intro: term-subst-eq)

```

then have $s = C\langle l \cdot ?\tau \rangle$ **using** *arg-cong*[*OF* $s(2)$], of *monadic-replace-leave*
(monadic-get-leave s),
unfolded monadic-replace-leave-undo-const-subst[*OF* $mt(1)$, of c],
unfolded monadic-replace-leave-context[*OF* $mt(2)$ [*unfolded* $s(2)$]],
unfolded monadic-replace-leave-subst[*OF* $ml\ ngrl$]]
by *presburger*
moreover have $t = C\langle r \cdot ?\tau \rangle$ **using** *lv unfolding* $s(3)$
by (*auto intro!*: *term-subst-eq*)
ultimately show *?thesis* **using** $s(1)$ *funas*(1, 3)
by *blast*
qed
qed

lemma *remove-const-lv-mondaic-step-rhs*:
assumes *lv*: $lv\ \mathcal{R}$ **and** *fresh*: $(c, 0) \notin \text{funas-rel}\ \mathcal{R}$
and *mon*: *monadic* \mathcal{F}
and *step*: $(s, t \cdot \text{const-subst}\ c) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})$
shows $(s, t) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})$
proof –
have *inv-v*: $lv\ (\mathcal{R}^{-1})(c, 0) \notin \text{funas-rel}\ (\mathcal{R}^{-1})$ **using** *fresh lv*
by (*auto simp*: *funas-rel-def lv-def*)
have $(t \cdot \text{const-subst}\ c, s) \in (\text{srstep}\ \mathcal{F}\ (\mathcal{R}^{-1}))$ **using** *step*
by (*auto simp*: *rew-converse-outwards*)
from *remove-const-lv-mondaic-step-lhs*[*OF inv-v mon this*]
have $(t, s) \in (\text{srstep}\ \mathcal{F}\ (\mathcal{R}^{-1}))$ **by** *simp*
then show *?thesis* **by** (*auto simp*: *rew-converse-outwards*)
qed

lemma *remove-const-lv-mondaic-steps-lhs*:
assumes *lv*: $lv\ \mathcal{R}$ **and** *fresh*: $(c, 0) \notin \text{funas-rel}\ \mathcal{R}$
and *mon*: *monadic* \mathcal{F}
and *steps*: $(s \cdot \text{const-subst}\ c, t) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})^+$
shows $(s, t) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})^+$
using *remove-const-lv-mondaic-step-lhs*[*OF lv fresh mon*] *steps*
by (*meson converse-tranclE r-into-trancl trancl-into-trancl2*)

lemma *remove-const-lv-mondaic-steps-rhs*:
assumes *lv*: $lv\ \mathcal{R}$ **and** *fresh*: $(c, 0) \notin \text{funas-rel}\ \mathcal{R}$
and *mon*: *monadic* \mathcal{F}
and *steps*: $(s, t \cdot \text{const-subst}\ c) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})^+$
shows $(s, t) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})^+$
using *remove-const-lv-mondaic-step-rhs*[*OF lv fresh mon*] *steps*
by (*meson trancl.simps*)

lemma *remove-const-lv-mondaic-steps*:
assumes *lv*: $lv\ \mathcal{R}$ **and** *fresh*: $(c, 0) \notin \text{funas-rel}\ \mathcal{R}$
and *mon*: *monadic* \mathcal{F}
and *steps*: $(s \cdot \text{const-subst}\ c, t \cdot \text{const-subst}\ c) \in (\text{srstep}\ \mathcal{F}\ \mathcal{R})^+$

shows $(s, t) \in (\text{srrstep } \mathcal{F} \ \mathcal{R})^+$
using *remove-const-lv-mondaic-steps-rhs*[*OF lv fresh mon remove-const-lv-mondaic-steps-lhs*[*OF*
assms]]
by *simp*

— Steps on lv trs

lemma *lv-root-step-idep-subst*:

assumes *lv* \mathcal{R}
and $(s, t) \in \text{srrstep } \mathcal{F} \ \mathcal{R}$
and *well*: $\bigwedge x. \text{funas-term } (\sigma \ x) \subseteq \mathcal{F} \ \bigwedge x. \text{funas-term } (\tau \ x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \tau) \in \text{srrstep } \mathcal{F} \ \mathcal{R}$
proof –
from *assms*(2) **obtain** $l \ r \ \gamma$ **where** *mid*: $s = l \cdot \gamma \ t = r \cdot \gamma \ (l, r) \in \mathcal{R}$
by (*auto simp: sig-step-def*)
from *mid*(3) *assms*(1) **have** *vs*: $x \in \text{vars-term } l \implies x \notin \text{vars-term } r$ **for** x
by (*auto simp: lv-def*)
let $?\sigma = \lambda x. \text{if } x \in \text{vars-term } l \text{ then } (\gamma \ x) \cdot \sigma \text{ else } (\gamma \ x) \cdot \tau$
have *subst*: $s \cdot \sigma = l \cdot ?\sigma \ t \cdot \tau = r \cdot ?\sigma$
unfolding *mid subst-subst-compose*[*symmetric*]
unfolding *term-subst-eq-conv*
by (*auto simp: subst-compose-def vs*)
then show *?thesis* **unfolding** *subst*
using *assms*(2) *mid*(3) *well* **unfolding** *mid*(1, 2)
by (*auto simp: sig-step-def funas-term-subst*)
qed

lemma *lv-srsteps-with-root-step-idep-subst*:

assumes *lv* \mathcal{R}
and $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$
and *well*: $\bigwedge x. \text{funas-term } (\sigma \ x) \subseteq \mathcal{F} \ \bigwedge x. \text{funas-term } (\tau \ x) \subseteq \mathcal{F}$
shows $(s \cdot \sigma, t \cdot \tau) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$ **using** *assms*(2)
using *lv-root-step-idep-subst*[*OF assms*(1) - *well*, **where** $?x1 = \text{id}$ **and** $?x2 = \text{id}$]
using *srsteps-eq-subst-closed*[*OF - well*(1), **where** $?x1 = \text{id}$ **and** $?R = \mathcal{R}$]
using *srsteps-eq-subst-closed*[*OF - well*(2), **where** $?x1 = \text{id}$ **and** $?R = \mathcal{R}$]
by (*auto simp: srsteps-with-root-step-def*) (*metis* (*full-types*) *relcomp3-I*)

end

theory *Rewriting-GTRS*

imports *Rewriting*
Replace-Constant

begin

4.4 Specific results about rewriting under a ground system

abbreviation *ground-sys* $\mathcal{R} \equiv (\forall (s, t) \in \mathcal{R}. \text{ground } s \ \wedge \ \text{ground } t)$

lemma *srrstep-ground*:
assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srrstep } \mathcal{F} \mathcal{R}$
shows *ground s ground t using* *assms*
by (*auto simp: sig-step-def ground-subst-apply vars-term-subst elim!: rstep-subst*)

lemma *srstep-pres-ground-l*:
assumes *ground-sys* \mathcal{R} *ground s*
and $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows *ground t using* *assms*
by (*auto simp: sig-step-def ground-subst-apply dest!: rstep-imp-C-s-r*)

lemma *srstep-pres-ground-r*:
assumes *ground-sys* \mathcal{R} *ground t*
and $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows *ground s using* *assms*
by (*auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term-ctxt-apply ground-subst-apply dest!: rstep-imp-C-s-r*)

lemma *srsteps-pres-ground-l*:
assumes *ground-sys* \mathcal{R} *ground s*
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows *ground t using* *assms*(3, 2) *srstep-pres-ground-l*[*OF assms*(1)]
by (*induct rule: converse-trancl-induct*) *auto*

lemma *srsteps-pres-ground-r*:
assumes *ground-sys* \mathcal{R} *ground t*
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows *ground s using* *assms*(3, 2) *srstep-pres-ground-r*[*OF assms*(1)]
by (*induct rule: converse-trancl-induct*) *auto*

lemma *srsteps-eq-pres-ground-l*:
assumes *ground-sys* \mathcal{R} *ground s*
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows *ground t using* *srsteps-pres-ground-l*[*OF assms*(1, 2)] *assms*(2, 3)
by (*auto simp: rtrancl-eq-or-trancl*)

lemma *srsteps-eq-pres-ground-r*:
assumes *ground-sys* \mathcal{R} *ground t*
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows *ground s using* *srsteps-pres-ground-r*[*OF assms*(1, 2)] *assms*(2, 3)
by (*auto simp: rtrancl-eq-or-trancl*)

lemma *srsteps-with-root-step-ground*:
assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
shows *ground s ground t using* *srrstep-ground*[*OF assms*(1)]
using *srsteps-eq-pres-ground-l*[*OF assms*(1)]

using *srsteps-eq-pres-ground-r*[*OF assms(1)*]
using *assms(2)* **unfolding** *srsteps-with-root-step-def*
by (*meson relcomp.cases*)⁺

4.5 funas

lemma *srrstep-funas*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srrstep } \mathcal{F} \mathcal{R}$
shows *funas-term* $s \subseteq \text{funas-rel } \mathcal{R}$ *funas-term* $t \subseteq \text{funas-rel } \mathcal{R}$ **using** *assms*
by (*auto simp: sig-step-def funas-term-subst ground-vars-term-empty funas-rel-def*
split: prod.splits elim!: rstep-subst)

lemma *srstep-funas-l*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows *funas-term* $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$ **using** *assms*
by (*auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term-ctxt-apply*
funas-term-subst funas-rel-def split: prod.splits dest!: rstep-imp-C-s-r)

lemma *srstep-funas-r*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$
shows *funas-term* $s \subseteq \text{funas-term } t \cup \text{funas-rel } \mathcal{R}$ **using** *assms*
by (*auto simp: ground-vars-term-empty vars-term-subst sig-step-def vars-term-ctxt-apply*
funas-term-subst funas-rel-def split: prod.splits dest!: rstep-imp-C-s-r)

lemma *srsteps-funas-l*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows *funas-term* $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$ **using** *assms(2)*
by (*induct rule: converse-trancl-induct*) (*auto dest: srstep-funas-l*[*OF assms(1)*])

lemma *srsteps-funas-r*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
shows *funas-term* $s \subseteq \text{funas-term } t \cup \text{funas-rel } \mathcal{R}$ **using** *assms(2)*
by (*induct rule: converse-trancl-induct*) (*auto dest: srstep-funas-r*[*OF assms(1)*])

lemma *srsteps-eq-funas-l*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
shows *funas-term* $t \subseteq \text{funas-term } s \cup \text{funas-rel } \mathcal{R}$ **using** *srsteps-funas-l*[*OF*
assms(1)] *assms(2)*
by (*auto simp: rtrancl-eq-or-trancl*)

lemma *srsteps-eq-funas-r*:

assumes *ground-sys* \mathcal{R}
and $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$

shows $\text{funas-term } s \subseteq \text{funas-term } t \cup \text{funas-rel } \mathcal{R}$ **using** $\text{srsteps-funas-r}[OF \text{ assms}(1)] \text{ assms}(2)$
by (*auto simp: rtrancl-eq-or-trancl*)

lemma *srsteps-with-root-step-funas*:
assumes *ground-sys* \mathcal{R}
and $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$
shows $\text{funas-term } s \subseteq \text{funas-rel } \mathcal{R} \text{ funas-term } t \subseteq \text{funas-rel } \mathcal{R}$
using $\text{srrstep-funas}[OF \text{ assms}(1)]$
using $\text{srsteps-eq-funas-l}[OF \text{ assms}(1)]$
using $\text{srsteps-eq-funas-r}[OF \text{ assms}(1)]$
using $\text{assms}(2)$ **unfolding** *srsteps-with-root-step-def*
by (*metis relcompEpair sup-absorb2*)**+**

end

5 Reducing Rewrite Properties to Properties on Ground Terms over Left-Linear Right-Ground Systems

theory *Ground-Reduction-on-LLRG*
imports
Rewriting-Properties
Rewriting-LLRG-LV-Mondaic
begin

lemma *llrg-linear-sys*:
 $\text{llrg } \mathcal{R} \implies \text{linear-sys } \mathcal{R}$
by (*auto simp: llrg-def*)

6 LLRG results

lemma *llrg-commute*:
assumes $\text{sig: funas-rel } \mathcal{R} \subseteq \mathcal{F} \text{ funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and $\text{fresh: } (c, 0) \notin \mathcal{F}$
and $\text{llrg: llrg } \mathcal{R} \text{ llrg } \mathcal{S}$
and $\text{com: commute } (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{S})$
shows $\text{commute } (\text{srstep } \mathcal{F} \mathcal{R}) (\text{srstep } \mathcal{F} \mathcal{S})$
proof –
let $?\sigma = \lambda -. \text{Fun } c \ []$ **let** $?\mathcal{H} = \text{insert } (c, 0) \mathcal{F}$
from fresh **have** $\text{fresh-sys: } (c, 0) \notin \text{funas-rel } \mathcal{S} \ (c, 0) \notin \text{funas-rel } (\mathcal{R}^{-1})$ **using** sig
by (*auto simp: funas-rel-def*)
have $\text{linear: linear-sys } \mathcal{S} \ \text{linear-sys } (\mathcal{R}^{-1})$ **using** llrg **unfolding** llrg-def **by** *auto*
have $\text{sig': funas-rel } \mathcal{S} \subseteq \mathcal{F} \ \text{funas-rel } (\mathcal{R}^{-1}) \subseteq \mathcal{F}$ **using** sig **by** (*auto simp: funas-rel-def*)
have $\text{mono: } \mathcal{F} \subseteq ?\mathcal{H}$ **by** *auto*

```

{fix s t assume ass: (s, t) ∈ comp-rrstep-rel'  $\mathcal{F}$  ( $\mathcal{R}^{-1}$ )  $\mathcal{S}$ 
  from ass have funas: funas-term s ⊆  $\mathcal{F}$  funas-term t ⊆  $\mathcal{F}$ 
  by (metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD)+
  from ass have m: (s, t) ∈ (srstep ? $\mathcal{H}$  ( $\mathcal{R}^{-1}$ ))* O (srstep ? $\mathcal{H}$   $\mathcal{S}$ )*
  using rtrancl-mono[OF sig-step-mono[OF mono]]
  by (auto dest!: srsteps-with-root-step-srsteps-eqD trancl-into-rtrancl)
  have gr: ground s ∨ ground t using ass llrg
  unfolding srstep-converse-dist trancl-converse
  by (auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground)
  have gstep: (s · ?σ, t · ?σ) ∈ (gsrstep ? $\mathcal{H}$   $\mathcal{S}$ )* O (gsrstep ? $\mathcal{H}$  ( $\mathcal{R}^{-1}$ ))*
  using srsteps-eq-subst-relcomp-closed[OF m, THEN srsteps-eq-relcomp-gsrsteps-relcomp,
of ?σ,
  THEN subsetD[OF com[unfolding commute-def], unfolded rew-converse-inwards]]
  by (auto simp: ground-substI)
  have [simp]: ground s ⇒ (c, 0) ∉ funas-term s ground t ⇒ (c, 0) ∉ funas-term
t
  using funas fresh by auto
  have (s, t) ∈ (rstep  $\mathcal{S}$ )* O (rstep ( $\mathcal{R}^{-1}$ ))*
  using remove-const-subst-relcomp-lhs[OF linear fresh-sys, of t s]
  using gsrsteps-eq-relcomp-to-rsteps-relcomp[OF gstep] gr
  using remove-const-subst-relcomp-lhs[OF linear fresh-sys, of t s]
  using remove-const-subst-relcomp-rhs[OF linear fresh-sys, of s t]
  by (cases ground s) (simp-all add: ground-subst-apply)
  from rsteps-eq-relcomp-srsteps-eq-relcompI[OF sig' funas this]
  have commute-redp  $\mathcal{F}$   $\mathcal{R}$   $\mathcal{S}$  s t unfolding commute-redp-def
  by (simp add: rew-converse-inwards)}
then show ?thesis by (intro commute-rrstep-intro) simp
qed

```

lemma llrg-CR:

```

assumes sig: funas-rel  $\mathcal{R}$  ⊆  $\mathcal{F}$ 
  and fresh: (c, 0) ∉  $\mathcal{F}$ 
  and llrg: llrg  $\mathcal{R}$ 
  and com: CR (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows CR (srstep  $\mathcal{F}$   $\mathcal{R}$ )
using assms llrg-commute unfolding CR-iff-self-commute
by metis

```

lemma llrg-SCR:

```

assumes sig: funas-rel  $\mathcal{R}$  ⊆  $\mathcal{F}$  and fresh: (c, 0) ∉  $\mathcal{F}$ 
  and llrg: llrg  $\mathcal{R}$ 
  and scr: SCR (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows SCR (srstep  $\mathcal{F}$   $\mathcal{R}$ )

```

proof –

```

let ?σ = const-subst c let ? $\mathcal{H}$  = insert (c, 0)  $\mathcal{F}$ 
from fresh have fresh-sys: (c, 0) ∉ funas-rel  $\mathcal{R}$  using sig
  by (auto simp: funas-rel-def)
have mono:  $\mathcal{F}$  ⊆ ? $\mathcal{H}$  by auto note sig-trans = subsetD[OF srstep-monp[OF

```

mono]]

```

{fix s t u assume ass: (s, t) ∈ srstep  $\mathcal{F}$   $\mathcal{R}$  (s, u) ∈ srstep  $\mathcal{F}$   $\mathcal{R}$ 
  and root: (s, t) ∈ sig-step  $\mathcal{F}$  (rrstep  $\mathcal{R}$ ) ∨ (s, u) ∈ sig-step  $\mathcal{F}$  (rrstep  $\mathcal{R}$ )
  from ass have funas: funas-term s ⊆  $\mathcal{F}$  funas-term t ⊆  $\mathcal{F}$  funas-term u ⊆  $\mathcal{F}$ 
  by (force dest: sig-stepE)+
  from root have gr: ground t ∨ ground u using ass llrg llrg-rrsteps-groundness
  unfolding srstep-converse-dist trancl-converse by blast
  have *: ground (s · ?σ) ground (t · ?σ) ground (u · ?σ) by auto
  from this scr obtain v where v: (t · ?σ, v) ∈ (gsrstep ? $\mathcal{H}$   $\mathcal{R}$ )= ∧ (u · ?σ, v)
  ∈ (gsrstep ? $\mathcal{H}$   $\mathcal{R}$ )*
  using srstep-subst-closed[OF sig-trans[OF ass(1)], of ?σ]
  using srstep-subst-closed[OF sig-trans[OF ass(2)], of ?σ]
  using ass unfolding SCR-on-def
  by auto (metis (no-types, lifting) *)
  then have fv: funas-term v ⊆  $\mathcal{F}$  using gr llrg-funas-term-step-pres[OF llrg, of
- v]
  using llrg-funas-term-steps-pres[OF llrg, of - v] funas sig
  by (auto simp: ground-subst-apply elim!: sig-stepE dest!: gsrsteps-eq-to-rsteps-eq)
blast+
  then have c-free: (c, 0) ∉ funas-term v using fresh by blast
  then have v = t · const-subst c ⇒ ground t using arg-cong[of v t · ?σ
funas-term]
  by (auto simp: funas-term-subst vars-term-empty-ground split: if-splits)
  from this v have (t, v) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )= (u, v) ∈ (srstep  $\mathcal{F}$   $\mathcal{R}$ )*
  using remove-const-subst-steps-eq-lhs[OF llrg-linear-sys[OF llrg] fresh-sys
c-free, of u,
  THEN rsteps-eq-srsteps-eqI[OF sig funas(3) fv]]
  using remove-const-subst-step-lhs[OF llrg-linear-sys[OF llrg] fresh-sys c-free,
of t,
  THEN sig-stepI[OF funas(2) fv]]
  by (auto simp: ground-subst-apply dest: srstepD gsrsteps-eq-to-rsteps-eq)
  then have SCRp  $\mathcal{F}$   $\mathcal{R}$  t u by blast}
  then show ?thesis by (intro SCR-rrstep-intro) (metis srrstep-to-srstep)+
qed

```

lemma llrg-WCR:

```

assumes sig: funas-rel  $\mathcal{R}$  ⊆  $\mathcal{F}$  and fresh: (c, 0) ∉  $\mathcal{F}$ 
  and llrg: llrg  $\mathcal{R}$ 
  and wcr: WCR (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ )
shows WCR (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof -
  let ?σ = const-subst c let ? $\mathcal{H}$  = insert (c, 0)  $\mathcal{F}$ 
  from fresh have fresh-sys: (c, 0) ∉ funas-rel  $\mathcal{R}$  (c, 0) ∉ funas-rel ( $\mathcal{R}^{-1}$ ) using
sig
  by (auto simp: funas-rel-def)
  have lin: linear-sys  $\mathcal{R}$  linear-sys ( $\mathcal{R}^{-1}$ ) using llrg unfolding llrg-def by auto
  have mono:  $\mathcal{F}$  ⊆ ? $\mathcal{H}$  by auto note sig-trans = subsetD[OF srstep-monp[OF
mono]]
  {fix s t u assume ass: (s, t) ∈ sig-step  $\mathcal{F}$  (rrstep  $\mathcal{R}$ ) (s, u) ∈ srstep  $\mathcal{F}$   $\mathcal{R}$ 

```

from *ass* **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$ *funas-term* $u \subseteq \mathcal{F}$
by *blast+*
then **have** *c-free*: $(c, 0) \notin \text{funas-term } t$ **using** *fresh* **by** *blast*
from *ass* **have** *gr*: *ground* t **using** *ass llrg llrg-rrsteps-groundness* **by** *blast*
have $*$: *ground* $(s \cdot ?\sigma)$ *ground* $(u \cdot ?\sigma)$ **by** *auto*
from *this wcr* **have** w : $(t, u \cdot ?\sigma) \in (\text{gsrstep } ?\mathcal{H} \mathcal{R})^\downarrow$
using *srstep-subst-closed*[*OF sig-trans*[*OF srrstep-to-srstep*[*OF ass(1)*]], *of*
 $?\sigma]$
using *srstep-subst-closed*[*OF sig-trans*[*OF ass(2)*]], *of* $?\sigma]$
using *ass unfolding WCR-on-def*
by *auto* (*metis * gr ground-subst-apply*)
have $(t, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$ **unfolding** *join-def*
using *remove-const-subst-relcomp-rhs*[*OF lin fresh-sys c-free*
gsrsteps-eq-relcomp-to-rsteps-relcomp[*OF w*[*unfolded join-def rew-converse-inwards*]],
THEN rsteps-eq-relcomp-srsteps-eq-relcompI[*OF sig funas-rel-converse*[*OF*
sig] *funas(2-)*]]
by (*metis* (*no-types*, *lifting*) *srstep-converse-dist*)
then **show** *?thesis* **by** (*intro WCR-rrstep-intro simp*
qed

lemma *llrg-UNF*:

assumes *sig*: *funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ **and** *fresh*: $(c, 0) \notin \mathcal{F}$
and *llrg*: *llrg* \mathcal{R}
and *unf*: *UNF* (*gsrstep* (*insert* $(c, 0)$ \mathcal{F}) \mathcal{R})
shows *UNF* (*srstep* $\mathcal{F} \mathcal{R}$)
proof –
let $?\sigma = \text{const-subst } c$ **let** $?\mathcal{H} = \text{insert } (c, 0)$ \mathcal{F}
from *fresh* **have** *fresh-sys*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$ **using** *sig*
by (*auto simp: funas-rel-def*)
have *mono*: $\mathcal{F} \subseteq ?\mathcal{H}$ **by** *auto*
{fix s t **assume** *ass*: $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$
from *ass* **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$
by (*metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD*)
from *ass* **have** m : $(s, t) \in (\text{srstep } ?\mathcal{H} (\mathcal{R}^{-1}))^* O (\text{srstep } ?\mathcal{H} \mathcal{R})^*$
using *rtrancl-mono*[*OF sig-step-mono*[*OF mono*]]
by (*auto dest!: srsteps-with-root-step-srsteps-eqD trancl-into-rtrancl*)
have *gr*: *ground* $s \vee \text{ground } t$ **using** *ass llrg*
unfolding *srstep-converse-dist trancl-converse*
by (*auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground*)
have *wit*: $s \cdot ?\sigma \in \text{NF} (\text{gsrstep } ?\mathcal{H} \mathcal{R}) \implies t \cdot ?\sigma \in \text{NF} (\text{gsrstep } ?\mathcal{H} \mathcal{R}) \implies s$
 $\cdot ?\sigma = t \cdot ?\sigma$ **using** *unf*
using *srsteps-eq-subst-relcomp-closed*[*OF m*, *THEN srsteps-eq-relcomp-gsrsteps-relcomp*,
of $?\sigma]$
by (*auto simp: UNF-on-def rew-converse-outwards normalizability-def*)
from *funas NF-to-fresh-const-subst-NF*[*OF llrg-linear-sys*[*OF llrg*] *fresh-sys*
sig(1)]
have $s \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \implies s \cdot ?\sigma \in \text{NF} (\text{gsrstep } ?\mathcal{H} \mathcal{R})$ $t \in \text{NF} (\text{srstep } \mathcal{F}$
 $\mathcal{R}) \implies t \cdot ?\sigma \in \text{NF} (\text{gsrstep } ?\mathcal{H} \mathcal{R})$

by *auto*
 moreover have $s \cdot ?\sigma = t \cdot ?\sigma \implies s = t$ using *gr funas fresh*
 by (*cases ground s*) (*auto simp: ground-subst-apply funas-term-subst vars-term-empty-ground split: if-splits*)
 ultimately have $UN\text{-redp } \mathcal{F} \ \mathcal{R} \ s \ t$ using *wit unfolding UN-redp-def*
 by *auto* }
 then show *?thesis* by (*intro UNF-rrstep-intro simp*)
 qed

lemma *llrg-NFP*:

assumes *sig: funas-rel* $\mathcal{R} \subseteq \mathcal{F}$ and *fresh: (c, 0) \notin \mathcal{F}*
 and *llrg: llrg* \mathcal{R}
 and *nfp: NFP* (*gsrstep* (*insert* (*c, 0*) \mathcal{F}) \mathcal{R})
 shows *NFP* (*srstep* $\mathcal{F} \ \mathcal{R}$)
 proof –
 let $?\sigma = \text{const-subst } c$ let $?\mathcal{H} = \text{insert } (c, 0) \ \mathcal{F}$
 from *fresh* have *fresh-sys: (c, 0) \notin funas-rel* \mathcal{R}
 using *sig* by (*auto simp: funas-rel-def*)
 have *mono: \mathcal{F} \subseteq ?\mathcal{H}* by *auto*
 {fix *s t* assume *ass: (s, t) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}*
 from *ass* have *funas: funas-term s \subseteq \mathcal{F} funas-term t \subseteq \mathcal{F}*
 by (*metis Un-iff relcomp.cases srstepsD srsteps-with-root-step-srstepsD*) +
 from *ass* have *m: (s, t) \in (srstep ?\mathcal{H} (\mathcal{R}^{-1}))^* O (srstep ?\mathcal{H} \mathcal{R})^**
 using *rtrancl-mono[OF sig-step-mono[OF mono]]*
 by (*auto dest!: srsteps-with-root-step-srsteps-eqD trancl-into-rtrancl*)
 have *gr: ground s \vee ground t* using *ass llrg*
 unfolding *srstep-converse-dist trancl-converse*
 by (*auto simp: llrg-srsteps-with-root-step-inv-ground llrg-srsteps-with-root-step-ground*)
 have *wit: t \cdot ?\sigma \in NF (gsrstep ?\mathcal{H} \mathcal{R}) \implies (s \cdot ?\sigma, t \cdot ?\sigma) \in (gsrstep (insert (c, 0) \mathcal{F}) \mathcal{R})^**
 using *NFP-stepD[OF nfp]*
 using *srsteps-eq-subst-relcomp-closed[OF m, THEN srsteps-eq-relcomp-gsrsteps-relcomp, of ?\sigma]*
 by (*auto simp: rew-converse-outwards*)
 from *funas NF-to-fresh-const-subst-NF[OF llrg-linear-sys[OF llrg] fresh-sys(1) sig(1)]*
 have $t \in NF (srstep \ \mathcal{F} \ \mathcal{R}) \implies t \cdot ?\sigma \in NF (gsrstep \ ?\mathcal{H} \ \mathcal{R})$ by *auto*
 moreover have $(s \cdot ?\sigma, t \cdot ?\sigma) \in (rstep \ \mathcal{R})^* \implies (s, t) \in (srstep \ \mathcal{F} \ \mathcal{R})^*$ using
gr funas fresh
 using *remove-const-subst-steps-eq-lhs[OF llrg-linear-sys[OF llrg] fresh-sys, THEN rsteps-eq-srsteps-eqI[OF sig funas]]*
 using *remove-const-subst-steps-eq-rhs[OF llrg-linear-sys[OF llrg] fresh-sys, THEN rsteps-eq-srsteps-eqI[OF sig funas]]*
 by (*cases ground s*) (*auto simp: ground-subst-apply*)
 ultimately have $NFP\text{-redp } \mathcal{F} \ \mathcal{R} \ s \ t$ using *wit unfolding NFP-redp-def*
 by (*auto dest: gsrsteps-eq-to-rsteps-eq*) }
 then show *?thesis* by (*intro NFP-rrstep-intro simp*)
 qed

lemma *llrg-NE-aux*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$
and $\text{sig}: \text{funas-rel } \mathcal{R} \subseteq \mathcal{F} \ \text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ **and** $\text{fresh}: (c, 0) \notin \mathcal{F}$
and $\text{llrg}: \text{llrg } \mathcal{R} \ \text{llrg } \mathcal{S}$
and $\text{ne}: \text{NE } (\text{gsrstep } (\text{insert } (c, 0) \ \mathcal{F}) \ \mathcal{R}) \ (\text{gsrstep } (\text{insert } (c, 0) \ \mathcal{F}) \ \mathcal{S})$
shows $\text{NE-redp } \mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$

proof –

from fresh **have** $\text{fresh-sys}: (c, 0) \notin \text{funas-rel } \mathcal{R} \ (c, 0) \notin \text{funas-rel } \mathcal{S}$
using sig **by** $(\text{auto simp: funas-rel-def})$
let $?\sigma = \text{const-subst } c$ **let** $?\mathcal{H} = \text{insert } (c, 0) \ \mathcal{F}$
let $?\mathcal{H} = \text{insert } (c, 0) \ \mathcal{F}$ **have** $\text{mono}: \mathcal{F} \subseteq ?\mathcal{H}$ **by** auto
from $\text{assms}(1)$ **have** $\text{gr}: \text{ground } t$ **and** $\text{funas}: \text{funas-term } s \subseteq \mathcal{F} \ \text{funas-term } t \subseteq \mathcal{F}$

\mathcal{F}

using $\text{llrg-srsteps-with-root-step-ground}[OF \ \text{llrg}(1)]$
by $(\text{meson } \text{srstepsD } \text{srsteps-with-root-step-srstepsD})+$
from funas **have** $\text{fresh-t}: (c, 0) \notin \text{funas-term } t$ **using** fresh **by** auto
from $\text{srsteps-subst-closed}[OF \ \text{srsteps-monp}[OF \ \text{mono}, \ \text{THEN } \text{subsetD}, \ \text{OF } \text{srsteps-with-root-step-srstepsD}[OF \ \text{assms}(1)]]]$, $\text{of } ?\sigma$
have $(s \cdot ?\sigma, t) \in (\text{gsrstep } ?\mathcal{H} \ \mathcal{R})^+$ **using** gr
by $(\text{auto simp: ground-subst-apply intro!: ground-srsteps-gsrsteps})$
then **have** $t \in \text{NF } (\text{srstep } \mathcal{F} \ \mathcal{R}) \implies (s \cdot ?\sigma, t) \in (\text{gsrstep } (\text{insert } (c, 0) \ \mathcal{F}) \ \mathcal{S})^*$
using $\text{NF-to-fresh-const-subst-NF}[OF \ \text{llrg-linear-sys}[OF \ \text{llrg}(1)] \ \text{fresh-sys}(1) \ \text{sig}(1) \ \text{funas}(2)]$, $\text{of } ?\mathcal{H}$
using gr $\text{NE-NF-eq}[OF \ \text{ne}, \ \text{symmetric}]$ ne **unfolding** NE-on-def
by $(\text{auto simp: normalizability-def ground-subst-apply dest!: trancl-into-rtrancl})$
then **show** $\text{NE-redp } \mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$ **unfolding** NE-redp-def
using $\text{remove-const-subst-steps-eq-lhs}[OF \ \text{llrg-linear-sys}[OF \ \text{llrg}(2)] \ \text{fresh-sys}(2) \ \text{fresh-t},$
 $\text{THEN } \text{rsteps-eq-srsteps-eqI}[OF \ \text{sig}(2) \ \text{funas}]$
by $(\text{auto dest: gsrsteps-eq-to-rsteps-eq})$

qed

lemma *llrg-NE*:

assumes $\text{sig}: \text{funas-rel } \mathcal{R} \subseteq \mathcal{F} \ \text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$ **and** $\text{fresh}: (c, 0) \notin \mathcal{F}$
and $\text{llrg}: \text{llrg } \mathcal{R} \ \text{llrg } \mathcal{S}$
and $\text{ne}: \text{NE } (\text{gsrstep } (\text{insert } (c, 0) \ \mathcal{F}) \ \mathcal{R}) \ (\text{gsrstep } (\text{insert } (c, 0) \ \mathcal{F}) \ \mathcal{S})$
shows $\text{NE } (\text{srstep } \mathcal{F} \ \mathcal{R}) \ (\text{srstep } \mathcal{F} \ \mathcal{S})$

proof –

have $f: (c, 0) \notin \text{funas-rel } \mathcal{R} \ (c, 0) \notin \text{funas-rel } \mathcal{S}$ **using** fresh sig
by $(\text{auto simp: funas-rel-def})$
{fix $s \ t$ **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$
from $\text{llrg-NE-aux}[OF \ \text{this } \text{sig } \text{fresh } \text{llrg } \text{ne}]$ **have** $\text{NE-redp } \mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$
by simp **}**

moreover

{fix $s \ t$ **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{S}$
from $\text{llrg-NE-aux}[OF \ \text{this } \text{sig}(2), 1] \ \text{fresh } \text{llrg}(2), 1)$ $\text{NE-symmetric}[OF \ \text{ne}]$

```

  have NE-redp  $\mathcal{F} \mathcal{S} \mathcal{R} s t$  by simp}
  ultimately show ?thesis using linear-sys-gNF-eq-NF-eq[OF llrg-linear-sys[OF llrg(1)]]
  llrg-linear-sys[OF llrg(2)] sig f - - NE-NF-eq[OF ne]
  by (intro NE-rrstep-intro) auto
qed

```

6.1 Specialized for monadic signature

```

lemma monadic-commute:
  assumes llrg  $\mathcal{R}$  llrg  $\mathcal{S}$  monadic  $\mathcal{F}$ 
  and com: commute (gsrstep  $\mathcal{F} \mathcal{R}$ ) (gsrstep  $\mathcal{F} \mathcal{S}$ )
  shows commute (sstep  $\mathcal{F} \mathcal{R}$ ) (sstep  $\mathcal{F} \mathcal{S}$ )
proof -
  {fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$ 
  then obtain u where steps:  $(s, u) \in (\text{sstep} \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (\text{sstep} \mathcal{F} \mathcal{S})^+$ 
  by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
  have gr: ground s ground t using steps assms(1 - 3)
  unfolding rew-converse-outwards
  by (auto simp: llrg-srsteps-with-root-step-ground llrg-monadic-rsteps-groundness)
  from steps(1) have f: funas-term s  $\subseteq \mathcal{F}$  using srstepsD by blast
  let ? $\sigma = \lambda \cdot . s$ 
  from steps gr have  $(s, u \cdot ?\sigma) \in (\text{gsrstep} \mathcal{F} (\mathcal{R}^{-1}))^+ (u \cdot ?\sigma, t) \in (\text{gsrstep} \mathcal{F} \mathcal{S})^+$ 
  unfolding rew-converse-outwards
  using srsteps-subst-closed[where ? $\sigma = ?\sigma$  and ?s = u, of -  $\mathcal{F}$ ] f
  by (force simp: ground-subst-apply intro: ground-srsteps-gsrsteps)
  then have  $(s, t) \in (\text{gsrstep} \mathcal{F} \mathcal{S})^* O (\text{gsrstep} \mathcal{F} (\mathcal{R}^{-1}))^*$  using com
  unfolding commute-def rew-converse-inwards
  by (meson relcomp.relcompI subsetD trancl-into-rtrancl)
  from gsrsteps-eq-relcomp-srsteps-relcompD[OF this]
  have commute-redp  $\mathcal{F} \mathcal{R} \mathcal{S} s t$  unfolding commute-redp-def
  by (simp add: rew-converse-inwards)}
  then show ?thesis by (intro commute-rrstep-intro) simp
qed

```

```

lemma monadic-CR:
  assumes llrg  $\mathcal{R}$  monadic  $\mathcal{F}$ 
  and CR (gsrstep  $\mathcal{F} \mathcal{R}$ )
  shows CR (sstep  $\mathcal{F} \mathcal{R}$ ) using monadic-commute assms
  unfolding CR-iff-self-commute
  by blast

```

```

lemma monadic-SCR:
  assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  monadic  $\mathcal{F}$ 
  and llrg: llrg  $\mathcal{R}$ 
  and scr: SCR (gsrstep  $\mathcal{F} \mathcal{R}$ )

```

shows $SCR (srstep \mathcal{F} \mathcal{R})$
proof –
 {**fix** $s t u$ **assume** $ass: (s, t) \in srstep \mathcal{F} \mathcal{R} (s, u) \in srstep \mathcal{F} \mathcal{R}$
and $root: (s, t) \in sig\text{-step} \mathcal{F} (rrstep \mathcal{R}) \vee (s, u) \in sig\text{-step} \mathcal{F} (rrstep \mathcal{R})$
from ass **have** $funas: funas\text{-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$
by $blast+$
from $root$ **have** $gr: ground \ t \ ground \ u$ **using** $ass \ sig(2) \ llrg$
by $(metis \ llrg\text{-monadic}\text{-rstep}\text{-pres}\text{-groundness})+$
let $?\sigma = \lambda \ -. \ t$ **have** $grs: ground \ (s \cdot ?\sigma)$ **using** gr **by** $auto$
from $this \ scr$ **obtain** v **where** $v: (t, v) \in (gsrstep \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (gsrstep \mathcal{F} \mathcal{R})^*$
using $srstep\text{-subst}\text{-closed}[OF \ ass(1), \ of \ ?\sigma]$
using $srstep\text{-subst}\text{-closed}[OF \ ass(2), \ of \ ?\sigma]$
using gr **unfolding** $SCR\text{-on}\text{-def}$
by $(metis \ Int\text{-iff} \ UNIV\text{-I} \ funas(2) \ ground\text{-subst}\text{-apply} \ mem\text{-Collect}\text{-eq} \ mem\text{-Sigma}\text{-iff})$
then **have** $SCR_p \mathcal{F} \mathcal{R} \ t \ u$
by $(metis \ Int\text{-iff} \ Un\text{-iff} \ gsrsteps\text{-eq}\text{-to}\text{-srsteps}\text{-eq})\}$
then **show** $?thesis$ **by** $(intro \ SCR\text{-rrstep}\text{-intro}) \ (metis \ srrstep\text{-to}\text{-srestep})+$
qed

lemma $monadic\text{-WCR}$:

assumes $sig: funas\text{-rel} \ \mathcal{R} \subseteq \mathcal{F} \text{ monadic} \ \mathcal{F}$
and $llrg: llrg \ \mathcal{R}$
and $wcr: WCR (gsrstep \mathcal{F} \mathcal{R})$
shows $WCR (srstep \mathcal{F} \mathcal{R})$
proof –
 {**fix** $s t u$ **assume** $ass: (s, t) \in sig\text{-step} \mathcal{F} (rrstep \mathcal{R}) (s, u) \in srstep \mathcal{F} \mathcal{R}$
from ass **have** $funas: funas\text{-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$
by $blast+$
from $srrstep\text{-to}\text{-srestep} \ ass$ **have** $gr: ground \ t \ ground \ u$ **using** $ass \ sig(2) \ llrg$
by $(metis \ llrg\text{-monadic}\text{-rstep}\text{-pres}\text{-groundness})+$
let $?\sigma = \lambda \ -. \ t$ **have** $grs: ground \ (s \cdot ?\sigma)$ **using** gr **by** $auto$
from $this \ wcr$ **have** $w: (t, u) \in (gsrstep \mathcal{F} \mathcal{R})^\downarrow$ **using** $gr \ ass(2) \ funas$
using $srstep\text{-subst}\text{-closed}[OF \ srrstep\text{-to}\text{-srestep}[OF \ ass(1)], \ of \ ?\sigma]$
using $srstep\text{-subst}\text{-closed}[OF \ ass(2), \ of \ ?\sigma]$
unfolding $WCR\text{-on}\text{-def}$
by $(metis \ IntI \ SigmaI \ UNIV\text{-I} \ ground\text{-subst}\text{-apply} \ mem\text{-Collect}\text{-eq})$
then **have** $(t, u) \in (srstep \mathcal{F} \mathcal{R})^\downarrow$ **unfolding** $join\text{-def}$
by $(metis \ gsrsteps\text{-eq}\text{-to}\text{-srsteps}\text{-eq} \ joinD \ joinI \ join\text{-def})\}$
then **show** $?thesis$ **by** $(intro \ WCR\text{-rrstep}\text{-intro}) \ simp$
qed

lemma $monadic\text{-UNF}$:

assumes $llrg \ \mathcal{R} \text{ monadic} \ \mathcal{F}$
and $unf: UNF (gsrstep \mathcal{F} \mathcal{R})$
shows $UNF (srstep \mathcal{F} \mathcal{R})$
proof –
 {**fix** $s t$ **assume** $ass: (s, t) \in comp\text{-rrstep}\text{-rel}' \ \mathcal{F} \ (\mathcal{R}^{-1}) \ \mathcal{R}$
then **obtain** u **where** $steps: (s, u) \in (srstep \mathcal{F} \ (\mathcal{R}^{-1}))^+ (u, t) \in (srstep \mathcal{F}$

$\mathcal{R})^+$
 by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
 have gr: ground s ground t using steps assms(1, 2)
 unfolding rew-converse-outwards
 by (auto simp: llrg-srsteps-with-root-step-ground llrg-monic-rsteps-groundness)
 from steps(1) have f: funas-term $s \subseteq \mathcal{F}$ using srstepsD by blast
 let $?\sigma = \lambda \cdot. s$
 from steps gr have $(s, u \cdot ?\sigma) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u \cdot ?\sigma, t) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^+$
 $\mathcal{R})^+$
 unfolding rew-converse-outwards
 using srsteps-subst-closed[where $?\sigma = ?\sigma$ and $?s = u, \text{ of } \mathcal{F}$] f
 by (force simp: ground-subst-apply intro: ground-srsteps-gsrsteps)+
 then have UN-redp $\mathcal{F} \mathcal{R} s t$ using unf ground-NF-srstep-gsrstep[OF gr(1), of $\mathcal{F} \mathcal{R}$]
 using ground-NF-srstep-gsrstep[OF gr(2), of $\mathcal{F} \mathcal{R}$]
 by (auto simp: UNF-on-def UN-redp-def normalizability-def rew-converse-outwards
 (meson trancl-into-rtrancl})
 then show ?thesis by (intro UNF-rrstep-intro) simp
 qed

lemma monadic-UNC:

assumes llrg \mathcal{R} monadic \mathcal{F}
 and well: funas-rel $\mathcal{R} \subseteq \mathcal{F}$
 and unc: UNC (gsrstep $\mathcal{F} \mathcal{R}$)
 shows UNC (srstep $\mathcal{F} \mathcal{R}$)
 proof –
 {fix s t assume ass: $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*} s \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R}) t \in \text{NF } (\text{srstep } \mathcal{F} \mathcal{R})$
 then have $s = t$
 proof (cases $s = t$)
 case False
 then have $\exists s' t'. (s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*} \wedge (s', s) \in (\text{srstep } \mathcal{F} \mathcal{R}) \wedge (t', t) \in (\text{srstep } \mathcal{F} \mathcal{R})$
 using ass by (auto simp: conversion-def rtrancl-eq-or-trancl dest: tranclD tranclD2)
 then obtain $s' t'$ where split: $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*} (s', s) \in (\text{srstep } \mathcal{F} \mathcal{R}) (t', t) \in (\text{srstep } \mathcal{F} \mathcal{R})$ by blast
 from split(2, 3) have gr: ground s ground t using llrg-monic-rstep-pres-groundness[OF assms(1, 2)]
 by blast+
 from ground-srsteps-eq-gsrsteps-eq[OF this ass(1)[unfolded sig-step-conversion-dist]]
 have $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$
 unfolding conversion-def Restr-smycl-dist
 by (simp add: rstep-converse-dist srstep-symcl-dist)
 then show ?thesis using ass(2–) unc gr
 by (auto simp: UNC-def ground-NF-srstep-gsrstep)
 qed auto}
 then show ?thesis by (auto simp: UNC-def UN-redp-def)

qed

lemma *monadic-NFP*:

assumes *llrg* \mathcal{R} *monadic* \mathcal{F}
and *nfp*: *NFP* (*gsrstep* \mathcal{F} \mathcal{R})
shows *NFP* (*sstep* \mathcal{F} \mathcal{R})

proof –

{fix *s t u* **assume** *ass*: $(s, t) \in (\textit{sstep } \mathcal{F} \mathcal{R})^*$ $(s, u) \in (\textit{sstep } \mathcal{F} \mathcal{R})^*$ $u \in \textit{NF}$
(*sstep* \mathcal{F} \mathcal{R})
have $(t, u) \in (\textit{sstep } \mathcal{F} \mathcal{R})^*$
proof (*cases* $s = u \vee s = t$)
case [*simp*]: *True* **show** *?thesis* **using** *ass*
by (*metis NF-not-suc True rtrancl.rtrancl-refl*)
next
case *False*
then have *steps*: $(s, t) \in (\textit{sstep } \mathcal{F} \mathcal{R})^+$ $(s, u) \in (\textit{sstep } \mathcal{F} \mathcal{R})^+$ **using** *ass*(1,
2)
by (*auto simp add: rtrancl-eq-or-trancl*)
then have *gr*: *ground t ground u* **using** *assms*(1, 2) *llrg-monadic-rsteps-groundness*
by *blast+*
let $?\sigma = \lambda -. t$ **from** *steps gr* **have** $(s \cdot ?\sigma, t) \in (\textit{gsrstep } \mathcal{F} \mathcal{R})^+$ $(s \cdot ?\sigma, u)$
 $\in (\textit{gsrstep } \mathcal{F} \mathcal{R})^+$
by (*auto intro!: ground-srsteps-gsrsteps*)
(*metis ground-subst-apply srstepsD srsteps-subst-closed*)
then have $(t, u) \in (\textit{gsrstep } \mathcal{F} \mathcal{R})^*$ **using** *nfp ass*(3) *gr*
by (*auto simp: NFP-on-def*) (*metis ground-NF-srstep-gsrstep normalizability-I trancl-into-rtrancl*)
then show *?thesis* **by** (*auto dest: gsrsteps-eq-to-srsteps-eq*)
qed}
then show *?thesis* **unfolding** *NFP-on-def*
by *auto*
qed

end

7 Reducing Rewrite Properties to Properties on Ground Terms over Ground Systems

theory *Ground-Reduction-on-GTRS*

imports

Rewriting-Properties

Rewriting-GTRS

Rewriting-LLRG-LV-Mondaic

begin

lemma *ground-sys-nf-eq-lift*:

fixes $\mathcal{R} :: ('f, 'v) \text{ term rel}$
assumes $\text{gtrs: ground-sys } \mathcal{R} \text{ ground-sys } \mathcal{S}$
and $\text{nf: NF (gsrstep } \mathcal{F} \mathcal{R}) = \text{NF (gsrstep } \mathcal{F} \mathcal{S})$
shows $\text{NF (srstep } \mathcal{F} \mathcal{R}) = \text{NF (srstep } \mathcal{F} \mathcal{S})$
proof –
{fix $s \mathcal{U} \mathcal{V}$ **assume** $\text{ass: ground-sys } (\mathcal{U} :: ('f, 'v) \text{ term rel}) \text{ ground-sys } \mathcal{V}$
 $\text{NF (gsrstep } \mathcal{F} \mathcal{U}) = \text{NF (gsrstep } \mathcal{F} \mathcal{V}) \ s \in \text{NF (srstep } \mathcal{F} \mathcal{U})$
have $s \in \text{NF (srstep } \mathcal{F} \mathcal{V})$
proof (*rule ccontr*)
assume $s \notin \text{NF (srstep } \mathcal{F} \mathcal{V})$
then obtain $C \ l \ r \ \sigma$ **where** $\text{step: } (l, r) \in \mathcal{V}$ **and** $\text{rep: } s = C \langle l \cdot \sigma \rangle$
and $\text{funas: funas-ctxt } C \subseteq \mathcal{F} \ \text{funas-term } l \subseteq \mathcal{F} \ \text{funas-term } r \subseteq \mathcal{F}$ **using**
 $\text{ass}(2)$
by (*auto simp: funas-term-subst NF-def sig-step-def dest!: rstep-imp-C-s-r*)
blast
from $\text{step ass}(2)$ **rep** **have** $\text{rep: } s = C \langle l \rangle \ \text{ground } l \ \text{ground } r$
by (*auto intro: ground-subst-apply*)
from $\text{step rep}(2-)$ **funas** **have** $l \notin \text{NF (gsrstep } \mathcal{F} \mathcal{V})$
by (*auto simp: NF-def sig-step-def Image-def*)
from $\text{this ass}(3)$ **have** $l \notin \text{NF (srstep } \mathcal{F} \mathcal{U})$ **by** *auto*
then obtain t **where** $(l, t) \in \text{srstep } \mathcal{F} \mathcal{U}$ **by** *auto*
from $\text{srstep-ctxt-closed}[OF \ \text{funas}(1) \ \text{this, unfolded rep}(1)[\text{symmetric}]]$
show *False* **using** $\text{ass}(4)$
by *auto*
qed}
then show *?thesis* **using** assms
by (*smt (verit, best) equalityI subsetI*)
qed

lemma *ground-sys-inv:*
 $\text{ground-sys } \mathcal{R} \implies \text{ground-sys } (\mathcal{R}^{-1})$ **by** *auto*

lemma *ground-sys-symcl:*
 $\text{ground-sys } \mathcal{R} \implies \text{ground-sys } (\mathcal{R}^{\leftrightarrow})$ **by** *auto*

lemma *ground-sys-comp-rrstep-rel'-ground:*
assumes $\text{ground-sys } \mathcal{R} \ \text{ground-sys } \mathcal{S}$
and $(s, t) \in \text{comp-rrstep-rel}' \ \mathcal{F} \ \mathcal{R} \ \mathcal{S}$
shows $\text{ground } s \ \text{ground } t$
proof –
from $\text{assms}(3)$ **consider** (a) $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R} \ O \ (\text{srstep } \mathcal{F} \ \mathcal{S})^+ |$
(b) $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{R})^+ \ O \ \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{S}$
by *auto*
then have $\text{ground } s \wedge \text{ground } t$
proof *cases*
case *a*
then show *?thesis* **using** $\text{srsteps-with-root-step-ground}(1)[OF \ \text{assms}(1)]$
using $\text{srsteps-with-root-step-ground}(2)[OF \ \text{assms}(1), THEN \ \text{srsteps-pres-ground-l}[OF$

```

assms(2)]
  by blast
next
case b
then show ?thesis using srsteps-with-root-step-ground(2)[OF assms(2)]
using srsteps-with-root-step-ground(1)[OF assms(2), THEN srsteps-pres-ground-r[OF
assms(1)]]
  by blast
qed
then show ground s ground t by simp-all
qed

```

lemma *GTRS-commute*:

```

assumes ground-sys  $\mathcal{R}$  ground-sys  $\mathcal{S}$ 
and com: commute (gsrstep  $\mathcal{F}$   $\mathcal{R}$ ) (gsrstep  $\mathcal{F}$   $\mathcal{S}$ )
shows commute (srstep  $\mathcal{F}$   $\mathcal{R}$ ) (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
{fix s t assume ass:  $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$ 
then obtain u where steps:  $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^+$ 
by (auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)
have gr: ground s ground t ground u
using ground-sys-comp-rrstep-rel'-ground[OF ground-sys-inv[OF assms(1)]]
assms(2) ass]
using srsteps-pres-ground-r[OF assms(2) - steps(2)] by auto
then have  $(s, u) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^* (u, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^*$  using steps
by (auto dest!: trancl-into-rtrancl intro: ground-srsteps-eq-gsrsteps-eq)
then have  $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^* O (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^*$  using com steps
by (auto simp: commute-def rew-converse-inwards)
from gsrsteps-eq-relcomp-srsteps-relcompD[OF this]
have commute-redp  $\mathcal{F}$   $\mathcal{R}$   $\mathcal{S}$  s t unfolding commute-redp-def
by (simp add: rew-converse-inwards)}
then show ?thesis by (intro commute-rrstep-intro simp)
qed

```

lemma *GTRS-CR*:

```

assumes ground-sys  $\mathcal{R}$ 
and CR (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )
shows CR (srstep  $\mathcal{F}$   $\mathcal{R}$ ) using GTRS-commute assms
unfolding CR-iff-self-commute
by blast

```

lemma *GTRS-SCR*:

```

assumes gtrs: ground-sys  $\mathcal{R}$ 
and scr: SCR (gsrstep  $\mathcal{F}$   $\mathcal{R}$ )
shows SCR (srstep  $\mathcal{F}$   $\mathcal{R}$ )
proof -
{fix s t u assume ass:  $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R} (s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$ 

```

and root: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \vee (s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R})$
from ass have funas: $\text{funas-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$
by *blast+*
from root have gr: $\text{ground } s \text{ ground } t \text{ ground } u$ **using** *ass gtrs*
using *srrstep-ground srstep-pres-ground-l srstep-pres-ground-r*
by *metis+*
from scr obtain v where v: $(t, v) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^= \wedge (u, v) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^*$
using gr unfolding *SCR-on-def*
by *(metis Int-iff UNIV-I ass mem-Collect-eq mem-Sigma-iff)*
then have *SCRp* $\mathcal{F} \mathcal{R} t u$
by *(metis (full-types) Int-iff Un-iff gsrsteps-eq-to-srsteps-eq)*
then show *?thesis* **by** *(intro SCR-rrstep-intro) (metis srrstep-to-srstep)+*
qed

lemma *GTRS-WCR:*

assumes *gtrs: ground-sys* \mathcal{R}
and *wcr: WCR* $(\text{gsrstep } \mathcal{F} \mathcal{R})$
shows *WCR* $(\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix s t u assume ass: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) (s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$
from ass have funas: $\text{funas-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$
by *blast+*
from *srrstep-ground* $[OF \text{ gtrs } \text{ass}(1)]$ **have** $\text{ground } s \text{ ground } t \text{ ground } u$
using *srstep-pres-ground-l* $[OF \text{ gtrs } - \text{ass}(2)]$
by *simp-all*
from this wcr have w: $(t, u) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^\downarrow$ **using** *ass funas*
unfolding *WCR-on-def*
by *(metis IntI SigmaI UNIV-I mem-Collect-eq srrstep-to-srstep)*
then have $(t, u) \in (\text{srstep } \mathcal{F} \mathcal{R})^\downarrow$ **unfolding** *join-def*
by *(metis (full-types) gsrsteps-eq-to-srsteps-eq joinD joinI join-def)*
then show *?thesis* **by** *(intro WCR-rrstep-intro) simp*
qed

lemma *GTRS-UNF:*

assumes *gtrs: ground-sys* \mathcal{R}
and *unf: UNF* $(\text{gsrstep } \mathcal{F} \mathcal{R})$
shows *UNF* $(\text{srstep } \mathcal{F} \mathcal{R})$
proof –
{fix s t assume ass: $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$
then obtain u where steps: $(s, u) \in (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^+$
by *(auto simp: sig-step-converse-rstep dest: srsteps-with-root-step-srstepsD)*
have $\text{ground } s \text{ ground } t \text{ ground } u$
using *ground-sys-comp-rrstep-rel'-ground* $[OF \text{ ground-sys-inv}[OF \text{ gtrs}] \text{ gtrs } \text{ass}]$
using *srsteps-pres-ground-r* $[OF \text{ gtrs } - \text{steps}(2)]$ **by** *auto*
from *steps(1)* **have** $f: \text{funas-term } s \subseteq \mathcal{F}$ **by** *(simp add: srstepsD)*
let $?\sigma = \lambda \cdot s$
from *steps gr* **have** $(s, u \cdot ?\sigma) \in (\text{gsrstep } \mathcal{F} (\mathcal{R}^{-1}))^+ (u \cdot ?\sigma, t) \in (\text{gsrstep } \mathcal{F} \mathcal{R})^+$

$\mathcal{R})^+$
unfolding *srstep-converse-dist Restr-converse trancl-converse*
using *srsteps-subst-closed*[**where** $?\sigma = ?\sigma$ **and** $?s = u$, of - \mathcal{F}] *f*
by (*force simp: ground-subst-apply intro: ground-srsteps-gsrsteps*)
then have *UN-redp $\mathcal{F} \mathcal{R} s t$* **using** *unf ground-NF-srstep-gsrstep*[*OF gr(1)*, of
 $\mathcal{F} \mathcal{R}$]
using *ground-NF-srstep-gsrstep*[*OF gr(2)*, of $\mathcal{F} \mathcal{R}$]
by (*auto simp: UNF-on-def UN-redp-def normalizability-def rew-converse-outwards*)
(meson trancl-into-rtrancl)
then show *?thesis* **by** (*intro UNF-rrstep-intro simp*)
qed

lemma *GTRS-UNC:*

assumes *gtrs: ground-sys \mathcal{R}*
and *unc: UNC (gsrstep $\mathcal{F} \mathcal{R}$)*
shows *UNC (srstep $\mathcal{F} \mathcal{R}$)*

proof –

{fix $s t$ assume *ass: $(s, t) \in srsteps-with-root-step \mathcal{F} (\mathcal{R}^{\leftrightarrow})$*
from *ass* **have** *funas: funas-term $s \subseteq \mathcal{F}$ funas-term $t \subseteq \mathcal{F}$*
by (*meson srstepsD srsteps-with-root-step-srstepsD*)
from *ass* **have** *ground s ground t* **using** *srsteps-with-root-step-ground*[*OF*
ground-sys-symcl[*OF gtrs*]]
by *auto*
then have *UN-redp $\mathcal{F} \mathcal{R} s t$* **unfolding** *UN-redp-def* **using** *ass unc* **unfolding**
UNC-def
by (*simp add: ground-NF-srstep-gsrstep ground-srsteps-eq-gsrsteps-eq gsrstep-conversion-dist*
srsteps-with-root-step-srsteps-eqD)
then show *?thesis* **by** (*intro UNC-rrstep-intro simp*)
qed

lemma *GTRS-NFP:*

assumes *ground-sys \mathcal{R}*
and *nfp: NFP (gsrstep $\mathcal{F} \mathcal{R}$)*
shows *NFP (srstep $\mathcal{F} \mathcal{R}$)*

proof –

{fix $s t$ assume *ass: $(s, t) \in comp-rrstep-rel' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$*
from *ass* **have** *funas: funas-term $s \subseteq \mathcal{F}$ funas-term $t \subseteq \mathcal{F}$*
by (*meson Un-iff relcompEpair srstepsD srsteps-with-root-step-srstepsD*)
from *ass* **have** *ground s ground t*
by (*metis assms(1) ground-sys-comp-rrstep-rel'-ground ground-sys-inv*)
from *this ass* **have** *$(s, t) \in (gsrstep \mathcal{F} (\mathcal{R}^{-1}))^* O (gsrstep \mathcal{F} \mathcal{R})^*$*
by (*intro srsteps-eq-relcomp-gsrsteps-relcomp*) (*auto dest!: srsteps-with-root-step-srsteps-eqD*)
then have *$t \in NF (gsrstep \mathcal{F} \mathcal{R}) \implies (s, t) \in (gsrstep \mathcal{F} \mathcal{R})^*$* **using**
NFP-stepD[*OF nfp*]
by (*auto simp: rew-converse-outwards*)
then have *NFP-redp $\mathcal{F} \mathcal{R} s t$* **unfolding** *NFP-redp-def*
by (*simp add: $\langle ground t \rangle$ ground-NF-srstep-gsrstep gsrsteps-eq-to-srsteps-eq*)
qed

then show *?thesis* **by** (intro NFP-rrstep-intro) simp
qed

lemma *GTRS-NE-aux*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$
and *gtrs*: ground-sys \mathcal{R} ground-sys \mathcal{S}
and *ne*: *NE* (gsrstep $\mathcal{F} \ \mathcal{R}$) (gsrstep $\mathcal{F} \ \mathcal{S}$)
shows *NE-redp* $\mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$

proof –

from *assms*(1) **have** *gr*: ground *s* ground *t*
using *srsteps-with-root-step-ground*[*OF gtrs*(1)] **by** *simp-all*
have $(s, t) \in (\text{gsrstep } \mathcal{F} \ \mathcal{R})^+$ **using** *gr assms*(1)
by (auto *dest*: *srsteps-with-root-step-srstepsD* *intro*: *ground-srsteps-gsrsteps*)
then have $t \in \text{NF } (\text{srstep } \mathcal{F} \ \mathcal{R}) \implies (s, t) \in (\text{gsrstep } \mathcal{F} \ \mathcal{S})^*$
using *gr ne unfolding NE-on-def*
by (auto *simp*: *normalizability-def ground-subst-apply dest!*: *trancl-into-rtrancl*)

blast

then show *NE-redp* $\mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$ **unfolding** *NE-redp-def*
by (*simp add*: *gsrsteps-eq-to-srsteps-eq*)

qed

lemma *GTRS-NE*:

assumes *gtrs*: ground-sys \mathcal{R} ground-sys \mathcal{S}
and *ne*: *NE* (gsrstep $\mathcal{F} \ \mathcal{R}$) (gsrstep $\mathcal{F} \ \mathcal{S}$)
shows *NE* (srstep $\mathcal{F} \ \mathcal{R}$) (srstep $\mathcal{F} \ \mathcal{S}$)

proof –

{**fix** *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$
from *GTRS-NE-aux*[*OF this gtrs ne*] **have** *NE-redp* $\mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$
by *simp*}

moreover

{**fix** *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{S}$
from *GTRS-NE-aux*[*OF this gtrs*(2, 1) *NE-symmetric*[*OF ne*]]
have *NE-redp* $\mathcal{F} \ \mathcal{S} \ \mathcal{R} \ s \ t$ **by** *simp*}

ultimately show *?thesis*

using *ground-sys-nf-eq-lift*[*OF gtrs NE-NF-eq*[*OF ne*]]
by (*intro NE-rrstep-intro*) *auto*

qed

lemma *gtrs-CE-aux*:

assumes *step*: $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ (\mathcal{R}^{\leftrightarrow})$
and *gtrs*: ground-sys \mathcal{R} ground-sys \mathcal{S}
and *ce*: *CE* (gsrstep $\mathcal{F} \ \mathcal{R}$) (gsrstep $\mathcal{F} \ \mathcal{S}$)
shows $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{S})^{\leftrightarrow*}$

proof –

from *step gtrs*(1) **have** ground *s* ground *t*
by (*metis ground-sys-symcl srsteps-with-root-step-ground*)
then have $(s, t) \in (\text{gsrstep } \mathcal{F} \ \mathcal{R})^{\leftrightarrow*}$ **using** *step*

by (*simp add: ground-srsteps-eq-gsrsteps-eq gsrstep-conversion-dist srsteps-with-root-step-srsteps-eqD*)
 then have $(s, t) \in (\text{gsrstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ using *ce unfolding CE-on-def*
 by *blast*
 then show $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$
 by (*simp add: gsrstep-conversion-dist gsrsteps-eq-to-srsteps-eq symcl-srsteps-conversion*)
 qed

lemma *gtrs-CE*:

assumes *gtrs: ground-sys* \mathcal{R} *ground-sys* \mathcal{S}
 and *ce: CE* (*gsrstep* $\mathcal{F} \mathcal{R}$) (*gsrstep* $\mathcal{F} \mathcal{S}$)
 shows *CE* (*srstep* $\mathcal{F} \mathcal{R}$) (*srstep* $\mathcal{F} \mathcal{S}$)

proof –

{**fix** *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$
 from *gtrs-CE-aux*[*OF this gtrs ce*] **have** $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$ **by** *simp*}
 moreover
 {**fix** *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{S}^{\leftrightarrow})$
 from *gtrs-CE-aux*[*OF this gtrs(2, 1) CE-symmetric*[*OF ce*]]
have $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^{\leftrightarrow*}$ **by** *simp*}
 ultimately show *?thesis*
 by (*intro CE-rrstep-intro*) *auto*

qed

end

8 Reducing Rewrite Properties to Properties on Ground Terms over Linear Variable-Separated Systems

theory *Ground-Reduction-on-LV*

imports

Rewriting-Properties

Rewriting-LLRG-LV-Mondaic

begin

lemma *lv-linear-sys*: *lv* $\mathcal{R} \implies$ *linear-sys* \mathcal{R}

by (*auto simp: lv-def*)

lemma *comp-rrstep-rel'-sig-mono*:

$\mathcal{F} \subseteq \mathcal{G} \implies \text{comp-rrstep-rel}' \mathcal{F} \mathcal{R} \mathcal{S} \subseteq \text{comp-rrstep-rel}' \mathcal{G} \mathcal{R} \mathcal{S}$

by (*meson Un-mono relcomp-mono srsteps-monp srsteps-with-root-step-sig-mono*)

lemma *srsteps-eqD*: $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^* \implies (s, t) \in (\text{rstep } \mathcal{R})^*$

by (*metis rtrancl-eq-or-trancl srstepsD*)

9 Linear-variable separated results

locale *open-terms-two-const-lv* =
fixes $\mathcal{R} :: ('f, 'v) \text{ term rel}$ **and** $\mathcal{F} \ c \ d$
assumes $lv: lv \ \mathcal{R}$ **and** $sig: \text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$
and $fresh: (c, 0) \notin \mathcal{F} \ (d, 0) \notin \mathcal{F}$
and $diff: c \neq d$
begin

abbreviation $\mathcal{H} \equiv \text{insert } (c, 0) \ (\text{insert } (d, 0) \ \mathcal{F})$

abbreviation $\sigma_c \equiv \text{const-subst } c$

abbreviation $\sigma_d \equiv \text{const-subst } d$

lemma *sig-mono*: $\mathcal{F} \subseteq \mathcal{H}$ **by** *auto*

lemma *fresh-sym-c*: $(c, 0) \notin \text{funas-rel } \mathcal{R}$ **using** *sig fresh*

by (*auto simp: funas-rel-def*)

lemma *fresh-sym-d*: $(d, 0) \notin \text{funas-rel } \mathcal{R}$ **using** *sig fresh*

by (*auto simp: funas-rel-def*)

lemma *fresh-sym-c-inv*: $(c, 0) \notin \text{funas-rel } (\mathcal{R}^{-1})$ **using** *sig fresh*

by (*auto simp: funas-rel-def*)

lemma *fresh-sym-d-inv*: $(d, 0) \notin \text{funas-rel } (\mathcal{R}^{-1})$ **using** *sig fresh*

by (*auto simp: funas-rel-def*)

lemmas *all-fresh* = *fresh-sym-c fresh-sym-d fresh-sym-c-inv fresh-sym-d-inv*

lemma *sig-inv*: $\text{funas-rel } (\mathcal{R}^{-1}) \subseteq \mathcal{F}$ **using** *sig unfolding funas-rel-def* **by** *auto*

lemma *lv-inv*: $lv \ (\mathcal{R}^{-1})$ **using** *lv unfolding lv-def* **by** *auto*

lemma *well-subst*:

$\bigwedge x. \text{funas-term } ((\text{const-subst } c) \ x) \subseteq \mathcal{H}$

$\bigwedge x. \text{funas-term } ((\text{const-subst } d) \ x) \subseteq \mathcal{H}$

by *auto*

lemma *srsteps-with-root-step-to-grsteps*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$

shows $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \ \mathcal{R})^*$

proof –

from *assms* **have** *lift*: $(s, t) \in \text{srsteps-with-root-step } \mathcal{H} \ \mathcal{R}$

using *srsteps-with-root-step-sig-mono*[*OF sig-mono*]

by *blast*

note [*dest!*] = *lv-srsteps-with-root-step-idep-subst*[*OF lv - well-subst, THEN srsteps-with-root-step-srsteps-eq*]

have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{srstep } \mathcal{H} \ \mathcal{R})^*$ **using** *lift*

using *srsteps-eq-subst-closed*[*OF - well-subst(1)*]

using *srsteps-eq-subst-closed*[*OF - well-subst(2)*]

by (*auto dest: trancl-into-rtrancl*)

then show *?thesis*

by (*intro ground-srsteps-eq-gsrsteps-eq*) *auto*

qed

lemma *comp-rrstep-rel'-to-grsteps*:
assumes $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{R}$
shows $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} (\mathcal{R}^{-1}))^* O (\text{gsrstep } \mathcal{H} \mathcal{R})^*$
proof –
from *assms* **have** *lift*: $(s, t) \in \text{comp-rrstep-rel}' \mathcal{H} (\mathcal{R}^{-1}) \mathcal{R}$ **using** *sig-mono*
by (*meson in-mono relcomp-mono srsteps-monp srsteps-with-root-step-sig-mono sup-mono*)
note [*dest!*] = *lv-srsteps-with-root-step-idep-subst*[*OF lv-well-subst, THEN srsteps-with-root-step-srsteps-eqD*]
note [*dest!*] = *lv-srsteps-with-root-step-idep-subst*[*OF lv-inv-well-subst, THEN srsteps-with-root-step-srsteps-eqD*]
have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{srstep } \mathcal{H} (\mathcal{R}^{-1}))^* O (\text{srstep } \mathcal{H} \mathcal{R})^*$ **using** *lift*
using *srsteps-eq-subst-closed*[*OF-well-subst(1)*]
using *srsteps-eq-subst-closed*[*OF-well-subst(2)*]
by (*auto dest!: trancl-into-rtrancl*) *blast*
then show *?thesis*
by (*intro srsteps-eq-relcomp-gsrsteps-relcomp*) *auto*
qed

lemma *gsrsteps-eq-to-srsteps*:
assumes $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$
and *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$
shows $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{R})^*$
proof –
from *funas* **have** $d: (d, 0) \notin \text{funas-term } s$ **and** $c: (c, 0) \notin \text{funas-term } (t \cdot \sigma_d)$
using *fresh diff* **by** (*auto simp: funas-term-subst*)
have $(s, t) \in (\text{rstep } \mathcal{R})^*$ **using** *c gsrsteps-eq-to-rsteps-eq*[*OF assms(1)*]
using *remove-const-subst-steps-eq-lhs*[*OF lv-linear-sys*[*OF lv*] *fresh-sym-c*,
THEN remove-const-subst-steps-eq-rhs[*OF lv-linear-sys*[*OF lv*] *fresh-sym-d*
d]]
by *auto*
then show *?thesis* **using** *funas sig* **by** *blast*
qed

lemma *convert-NF-to-GNF*:
funas-term $t \subseteq \mathcal{F} \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \implies t \cdot \sigma_c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R})$
funas-term $t \subseteq \mathcal{F} \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R}) \implies t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R})$
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF lv*] *fresh-sym-c sig*]
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF lv*] *fresh-sym-d sig*]
by *blast+*

lemma *convert-GNF-to-NF*:
funas-term $t \subseteq \mathcal{F} \implies t \cdot \sigma_c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$
funas-term $t \subseteq \mathcal{F} \implies t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies t \in \text{NF} (\text{srstep } \mathcal{F} \mathcal{R})$
using *fresh-const-subst-NF-pres*[*OF fresh-sym-c sig*]
using *fresh-const-subst-NF-pres*[*OF fresh-sym-d sig*]
using *sig-mono* **by** *blast+*

lemma *lv-CR*:

assumes *cr*: $CR (gsrstep \mathcal{H} \mathcal{R})$

shows $CR (srstep \mathcal{F} \mathcal{R})$

proof –

{**fix** *s t* **assume** *ass*: $(s, t) \in (srstep \mathcal{F} (\mathcal{R}^{-1}))^+ O srsteps\text{-with-root-step} \mathcal{F} \mathcal{R}$

from *ass* **have** *funas*: $funas\text{-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F}$

by (*metis Pair-inject ass relcompE srstepsD srsteps-with-root-step-srstepsD*)+

have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep \mathcal{H} (\mathcal{R}^{-1}))^* O (gsrstep \mathcal{H} \mathcal{R})^*$

using *ass comp-rrstep-rel'-to-grsteps* **by** *auto*

then have $s: (s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep \mathcal{H} \mathcal{R})^* O (gsrstep \mathcal{H} (\mathcal{R}^{-1}))^*$

using *cr unfolding CR-on-def*

by (*auto simp: join-def rew-converse-outwards*)

from *gsrsteps-eq-relcomp-to-rsteps-relcomp*[*OF this*]

have *commute-redp* $\mathcal{F} \mathcal{R} \mathcal{R} s t$ **unfolding** *commute-redp-def* **using** *funas fresh*

using *remove-const-subst-relcomp*[*OF lv-linear-sys*[*OF lv*]] *lv-linear-sys*[*OF*

lv-inv]

all-fresh diff, THEN rsteps-eq-relcomp-srsteps-eq-relcompI[*OF sig sig-inv*

funas]

by (*metis srstep-converse-dist subsetD*)}

then show *?thesis* **by** (*intro CR-rrstep-intro simp*

qed

lemma *lv-WCR*:

assumes *wcr*: $WCR (gsrstep \mathcal{H} \mathcal{R})$

shows $WCR (srstep \mathcal{F} \mathcal{R})$

proof –

note *sig-trans-root* = *subsetD*[*OF srrstep-monp*[*OF sig-mono*]]

note *sig-trans* = *subsetD*[*OF srstep-monp*[*OF sig-mono*]]

{**fix** *s t u* **assume** *ass*: $(s, t) \in sig\text{-step} \mathcal{F} (rrstep \mathcal{R}) (s, u) \in srstep \mathcal{F} \mathcal{R}$

from *ass* **have** *funas*: $funas\text{-term } s \subseteq \mathcal{F} \text{ funas-term } t \subseteq \mathcal{F} \text{ funas-term } u \subseteq \mathcal{F}$

by *blast+*

then have *free*: $(d, 0) \notin funas\text{-term } u (c, 0) \notin funas\text{-term } t$ **using** *fresh* **by** *blast+*

have ***: *ground* $(s \cdot \sigma_c)$ *ground* $(t \cdot \sigma_d)$ *ground* $(u \cdot \sigma_c)$ **by** *auto*

moreover have $(s \cdot \sigma_c, t \cdot \sigma_d) \in srstep \mathcal{H} \mathcal{R}$ **using** *lv sig-trans-root*[*OF ass(1)*]

by (*intro lv-root-step-idep-subst*[*THEN srrstep-to-srstep*]) *auto*

moreover have $(s \cdot \sigma_c, u \cdot \sigma_c) \in srstep \mathcal{H} \mathcal{R}$

using *srstep-subst-closed*[*OF sig-trans*[*OF ass(2)*], *of* σ_c]

by *auto*

ultimately have $w: (t \cdot \sigma_d, u \cdot \sigma_c) \in (gsrstep \mathcal{H} \mathcal{R})^\downarrow$ **using** *wcr unfolding WCR-on-def*

by *auto* (*metis* (*no-types, lifting*) ***)

note *join-unfolded* = *w*[*unfolded join-def rew-converse-inwards*]

have $(t, u) \in (srstep \mathcal{F} \mathcal{R})^\downarrow$ **unfolding** *join-def*

using *remove-const-subst-relcomp*[*OF lv-linear-sys*[*OF lv*]] *lv-linear-sys*[*OF*

lv-inv]

fresh-sym-d fresh-sym-c fresh-sym-d-inv fresh-sym-c-inv diff[*symmetric*]

free

gsrsteps-eq-relcomp-to-rsteps-relcomp[*OF join-unfolded*],

THEN $rsteps\text{-}eq\text{-}relcomp\text{-}srsteps\text{-}eq\text{-}relcompI[OF\ sig\ sig\text{-}inv\ funas(2-)]$
 by $(metis\ (no\text{-}types,\ lifting)\ srstep\text{-}converse\text{-}dist)$
 then show $?thesis$ by $(intro\ WCR\text{-}rrstep\text{-}intro)\ simp$
 qed

lemma $lv\text{-}NFP$:

assumes $nfp: NFP\ (gsrstep\ \mathcal{H}\ \mathcal{R})$

shows $NFP\ (srstep\ \mathcal{F}\ \mathcal{R})$

proof –

{fix $s\ t$ assume $ass: (s, t) \in comp\text{-}rrstep\text{-}rel'\ \mathcal{F}\ (\mathcal{R}^{-1})\ \mathcal{R}$

from ass have $funas: funas\text{-}term\ s \subseteq \mathcal{F}\ funas\text{-}term\ t \subseteq \mathcal{F}$

by $(metis\ Un\text{-}iff\ ass\ relcompEpair\ srstepsD\ srsteps\text{-}with\text{-}root\text{-}step\text{-}srstepsD)+$

from $comp\text{-}rrstep\text{-}rel'\text{-}to\text{-}grsteps[OF\ ass]$

have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep\ \mathcal{H}\ (\mathcal{R}^{-1}))^* O (gsrstep\ \mathcal{H}\ \mathcal{R})^*$ by $simp$

then have $t \cdot \sigma_d \in NF\ (gsrstep\ \mathcal{H}\ \mathcal{R}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep\ \mathcal{H}\ \mathcal{R})^*$

using $NFP\text{-}stepD[OF\ nfp]$

by $(auto\ simp: rew\text{-}converse\text{-}outwards)$

from $gsrsteps\text{-}eq\text{-}to\text{-}srsteps[OF\ this\ funas]$

have $NFP\text{-}redp\ \mathcal{F}\ \mathcal{R}\ s\ t$ unfolding $NFP\text{-}redp\text{-}def$

using $convert\text{-}NF\text{-}to\text{-}GNF(2)[OF\ funas(2)]$

by $simp$ }

then show $?thesis$ by $(intro\ NFP\text{-}rrstep\text{-}intro)\ simp$

qed

lemma $lv\text{-}UNF$:

assumes $unf: UNF\ (gsrstep\ \mathcal{H}\ \mathcal{R})$

shows $UNF\ (srstep\ \mathcal{F}\ \mathcal{R})$

proof –

{fix $s\ t$ assume $ass: (s, t) \in comp\text{-}rrstep\text{-}rel'\ \mathcal{F}\ (\mathcal{R}^{-1})\ \mathcal{R}$

from ass have $funas: funas\text{-}term\ s \subseteq \mathcal{F}\ funas\text{-}term\ t \subseteq \mathcal{F}$

by $(metis\ Un\text{-}iff\ ass\ relcompEpair\ srstepsD\ srsteps\text{-}with\text{-}root\text{-}step\text{-}srstepsD)+$

from $comp\text{-}rrstep\text{-}rel'\text{-}to\text{-}grsteps[OF\ ass]$

have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (gsrstep\ \mathcal{H}\ (\mathcal{R}^{-1}))^* O (gsrstep\ \mathcal{H}\ \mathcal{R})^*$ by $simp$

then have $s \cdot \sigma_c \in NF\ (gsrstep\ \mathcal{H}\ \mathcal{R}) \implies t \cdot \sigma_d \in NF\ (gsrstep\ \mathcal{H}\ \mathcal{R}) \implies s \cdot$

$\sigma_c = t \cdot \sigma_d$

using unf unfolding $UNF\text{-}on\text{-}def$

by $(auto\ simp: normalizability\text{-}def\ rew\text{-}converse\text{-}outwards)$

then have $UN\text{-}redp\ \mathcal{F}\ \mathcal{R}\ s\ t$ unfolding $UN\text{-}redp\text{-}def$

using $convert\text{-}NF\text{-}to\text{-}GNF(1)[OF\ funas(1)]$

using $convert\text{-}NF\text{-}to\text{-}GNF(2)[OF\ funas(2)]$

by $(metis\ NF\text{-}not\text{-}suc\ funas\ gsrsteps\text{-}eq\text{-}to\text{-}srsteps\ rtrancl\text{-}eq\text{-}or\text{-}trancl)$ }

then show $?thesis$ by $(intro\ UNF\text{-}rrstep\text{-}intro)\ simp$

qed

lemma $lv\text{-}UNC$:

assumes $unc: UNC\ (gsrstep\ \mathcal{H}\ \mathcal{R})$

shows $UNC\ (srstep\ \mathcal{F}\ \mathcal{R})$

proof –

have $lv\text{-}conv: lv\ (\mathcal{R}^{\leftrightarrow})$ using lv by $(auto\ simp: lv\text{-}def)$

{fix s t assume *ass*: $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$
from *ass* **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$
by (*metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD*)
have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^{\leftrightarrow*}$
using *lv-srsteps-with-root-step-idep-subst*[*OF lv-conv srsteps-with-root-step-sig-mono*][*OF sig-mono*, *THEN subsetD*, *OF ass*]
well-subst,*THEN srsteps-with-root-step-srsteps-eqD*
unfolding *conversion-def Restr-smycl-dist srstep-symcl-dist*
by (*intro ground-srsteps-eq-gsrsteps-eq*) *auto*
then have $s \cdot \sigma_c \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies s \cdot \sigma_c = t \cdot \sigma_d$
using *unc unfolding UNC-def*
by (*auto simp: normalizability-def sig-step-converse-rstep rtrancl-converse Restr-converse*)
then have *UN-redp* $\mathcal{F} \mathcal{R} s t$ **unfolding** *UN-redp-def*
using *convert-NF-to-GNF(1)*[*OF funas(1)*]
using *convert-NF-to-GNF(2)*[*OF funas(2)*]
by (*metis NF-not-suc funas gsrsteps-eq-to-srsteps rtrancl-eq-or-trancl*)
then show *?thesis* **by** (*intro UNC-rrstep-intro simp*)
qed

lemma *lv-SCR*:

assumes *scr*: *SCR* (*gsrstep* $\mathcal{H} \mathcal{R}$)

shows *SCR* (*srstep* $\mathcal{F} \mathcal{R}$)

proof –

note *sig-trans-root* = *subsetD*[*OF srrstep-monp*][*OF sig-mono*]

note *sig-trans* = *subsetD*[*OF srstep-monp*][*OF sig-mono*]

note *cl-on-c* = *lin-fresh-rstep-const-replace-closed*[*OF lv-linear-sys*][*OF lv*] *fresh-sym-c*
lin-fresh-rstep-const-replace-closed[*OF lv-linear-sys*][*OF lv-inv*] *fresh-sym-c-inv*

note *cl-on-d* = *lin-fresh-rstep-const-replace-closed*[*OF lv-linear-sys*][*OF lv*] *fresh-sym-d*
lin-fresh-rstep-const-replace-closed[*OF lv-linear-sys*][*OF lv-inv*] *fresh-sym-d-inv*

{fix s t u assume *ass*: $(s, t) \in \text{srstep } \mathcal{F} \mathcal{R}$ $(s, u) \in \text{srstep } \mathcal{F} \mathcal{R}$

and *root*: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \vee (s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R})$

from *ass* **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$ *funas-term* $u \subseteq \mathcal{F}$

by (*force dest: sig-stepE*)
then have *fresh-c-t*: $(c, 0) \notin \text{funas-term } (t \cdot \sigma_d)$ **and** *fresh-d-u*: $(d, 0) \notin \text{funas-term } u$ **using** *fresh diff*

by (*auto simp: funas-term-subst*)

have $*$: $(s, t) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in \text{gsrstep } \mathcal{H} \mathcal{R} \wedge (s \cdot \sigma_c, u \cdot \sigma_c) \in \text{gsrstep } \mathcal{H} \mathcal{R}$
 $(s, u) \in \text{sig-step } \mathcal{F} (\text{rrstep } \mathcal{R}) \implies (s \cdot \sigma_d, t \cdot \sigma_d) \in \text{gsrstep } \mathcal{H} \mathcal{R} \wedge (s \cdot \sigma_d, u \cdot \sigma_c) \in \text{gsrstep } \mathcal{H} \mathcal{R}$

using *srstep-subst-closed*[*OF sig-trans*][*OF ass(2)*] *well-subst(1)*

using *srstep-subst-closed*[*OF sig-trans*][*OF ass(2)*] *well-subst(2)*

using *lv-root-step-idep-subst*[*OF lv*] *sig-trans-root*[*of* $(s, t) \mathcal{R}$] *sig-trans-root*[*of* $(s, u) \mathcal{R}$]

by (*simp-all add: ass(1) sig-trans srstep-subst-closed srrstep-to-srstep*)

from *this scr* **have** $(u \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^* O ((\text{gsrstep } \mathcal{H} \mathcal{R})^=)^{-1}$

```

    using root unfolding SCR-on-def by (meson UNIV-I converse-iff rel-
comp.simps)
  then have  $v: (u \cdot \sigma_c, t \cdot \sigma_d) \in (srstep \mathcal{H} \mathcal{R})^* O ((srstep \mathcal{H} \mathcal{R})^{-1})^{-1}$ 
    by (auto dest: gsrsteps-eq-to-srsteps-eq)
  have [simp]:  $funas-term v \subseteq \mathcal{F} \implies term-to-sig \mathcal{F} x v = v$  for  $x v$ 
    by (simp add: subset-insertI2)
  have  $(u, t) \in (srstep \mathcal{F} \mathcal{R})^* O ((srstep \mathcal{F} \mathcal{R})^{-1})^{-1}$ 
  proof -
    from  $v$  have  $(u, t \cdot \sigma_d) \in (rstep \mathcal{R})^* O (rstep (\mathcal{R}^{-1}))^{-1}$ 
    using const-replace-closed-relcomp[THEN const-replace-closed-remove-subst-lhs,
OF
      const-replace-closed-rtrancl[OF cl-on-c(1)]
      const-replace-closed-symcl[OF cl-on-c(2)] fresh-c-t, of  $u$ ]
    by (auto simp: relcomp.relcompI srstepD simp flip: rstep-converse-dist dest:
srsteps-eqD)
    then have  $(u, t) \in (rstep \mathcal{R})^* O (rstep (\mathcal{R}^{-1}))^{-1}$ 
    using const-replace-closed-relcomp[THEN const-replace-closed-remove-subst-lhs,
OF
      const-replace-closed-symcl[OF cl-on-d(1)]
      const-replace-closed-rtrancl[OF cl-on-d(2)] fresh-d-u, of  $t$ ]
    using converse-relcomp[where ?s =  $(rstep (\mathcal{R}^{-1}))^{-1}$  and ?r =  $(rstep \mathcal{R})^*$ ]
    by (metis (no-types, lifting) converseD converse-Id converse-Un con-
verse-converse rstep-converse-dist rtrancl-converse)
    then obtain  $v$  where  $(u, v) \in (rstep \mathcal{R})^* (v, t) \in (rstep (\mathcal{R}^{-1}))^{-1}$  by auto
    then have  $(u, term-to-sig \mathcal{F} x v) \in (srstep \mathcal{F} \mathcal{R})^* (term-to-sig \mathcal{F} x v, t) \in$ 
 $(srstep \mathcal{F} (\mathcal{R}^{-1}))^{-1}$ 
      using funas(2, 3) sig fresh
    by (auto simp: rtrancl-eq-or-trancl rstep-trancl-sig-step-r subset-insertI2
rew-converse-outwards dest: fuans-term-term-to-sig[THEN subsetD]
intro!: rstep-term-to-sig-r rstep-srstepI rsteps-eq-srsteps-eqI)
    then show ?thesis
    by (metis converse-Id converse-Un relcomp.relcompI srstep-converse-dist)
  qed
  then have SCRp  $\mathcal{F} \mathcal{R} t u$ 
    by auto}
  then show ?thesis by (intro SCR-rrstep-intro) (metis srrstep-to-srstep)+
qed

end

locale open-terms-two-const-lv-two-sys =
  open-terms-two-const-lv  $\mathcal{R}$ 
  for  $\mathcal{R} :: ('f, 'v) term rel +$ 
  fixes  $S :: ('f, 'v) term rel$ 
  assumes  $lv-S: lv S$  and  $sig-S: funas-rel S \subseteq \mathcal{F}$ 
begin

```

lemma *fresh-sym-c-S*: $(c, 0) \notin \text{funas-rel } \mathcal{S}$ **using** *sig-S fresh*
by (*auto simp: funas-rel-def*)

lemma *fresh-sym-d-S*: $(d, 0) \notin \text{funas-rel } \mathcal{S}$ **using** *sig-S fresh*
by (*auto simp: funas-rel-def*)

lemma *lv-commute*:

assumes *com*: *commute* (*gsrstep* \mathcal{H} \mathcal{R}) (*gsrstep* \mathcal{H} \mathcal{S})

shows *commute* (*srstep* \mathcal{F} \mathcal{R}) (*srstep* \mathcal{F} \mathcal{S})

proof –

have *linear*: *linear-sys* \mathcal{S} *linear-sys* (\mathcal{R}^{-1}) **using** *lv lv-S unfolding lv-def* **by**
auto

{fix *s t* **assume** *ass*: $(s, t) \in \text{comp-rrstep-rel}' \mathcal{F} (\mathcal{R}^{-1}) \mathcal{S}$

from *ass* **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$

by (*metis Un-iff ass relcompEpair srstepsD srsteps-with-root-step-srstepsD*)**+**

have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} (\mathcal{R}^{-1}))^* O (\text{gsrstep } \mathcal{H} \mathcal{S})^*$

using *comp-rrstep-rel'-sig-mono*[*OF sig-mono, THEN subsetD, OF ass*]

using *srsteps-eq-subst-closed*[*OF - well-subst(1)*] *srsteps-eq-subst-closed*[*OF -*
well-subst(2)]

by (*auto simp: rew-converse-inwards dest!: trancl-into-rtrancl*

lv-srsteps-with-root-step-idep-subst[*OF lv-inv - well-subst, THEN srsteps-with-root-step-srsteps-eqD*]

lv-srsteps-with-root-step-idep-subst[*OF lv-S - well-subst, THEN srsteps-with-root-step-srsteps-eqD*]

intro!: *srsteps-eq-relcomp-gsrsteps-relcomp*) **blast**

then **have** $w: (s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{S})^* O (\text{gsrstep } \mathcal{H} (\mathcal{R}^{-1}))^*$ **using**

com

unfolding *commute-def Restr-converse srstep-converse-dist*

by *blast*

have $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^* O (\text{srstep } \mathcal{F} (\mathcal{R}^{-1}))^*$ **using** *funas sig-S sig-inv*

fresh

using *remove-const-subst-relcomp*[*OF linear fresh-sym-c-S fresh-sym-d-S fresh-sym-c-inv*
fresh-sym-d-inv diff - -

gsrsteps-eq-relcomp-to-rsteps-relcomp[*OF w*]

by (*intro rsteps-eq-relcomp-srsteps-eq-relcompI*) *auto*

then **have** *commute-redp* \mathcal{F} \mathcal{R} \mathcal{S} *s t* **unfolding** *commute-redp-def*

by (*simp add: rew-converse-inwards*)**}**

then **show** *?thesis* **by** (*intro commute-rrstep-intro simp*)

qed

lemma *lv-NE*:

assumes *ne*: *NE* (*gsrstep* \mathcal{H} \mathcal{R}) (*gsrstep* \mathcal{H} \mathcal{S})

shows *NE* (*srstep* \mathcal{F} \mathcal{R}) (*srstep* \mathcal{F} \mathcal{S})

proof –

from *NE-NF-eq*[*OF ne*] **have** *ne-eq*: *NF* (*srstep* \mathcal{F} \mathcal{R}) = *NF* (*srstep* \mathcal{F} \mathcal{S})

using *lv lv-S sig sig-S fresh-sym-c fresh-sym-c-S*

by (*intro linear-sys-gNF-eq-NF-eq*) (*auto dest: lv-linear-sys*)

{fix *s t* **assume** *step*: $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{R}$

then **have** *funas*: *funas-term* $s \subseteq \mathcal{F}$ *funas-term* $t \subseteq \mathcal{F}$

by (*metis Un-iff step relcompEpair srstepsD srsteps-with-root-step-srstepsD*)**+**

then have fresh: $(c, 0) \notin \text{funas-term } t$ $(d, 0) \notin \text{funas-term } s$ **using fresh by**
auto
from *srsteps-with-root-step-sig-mono*[*OF sig-mono, THEN subsetD, OF step*]
have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$
using *lv-srsteps-with-root-step-idep-subst*[*OF lv - well-subst, THEN srsteps-with-root-step-srsteps-eqD*]
by (*intro ground-srsteps-eq-gsrsteps-eq*) *auto*
then have $t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{S}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{S})^*$
using *ne NE-NF-eq*[*OF ne*] **unfolding** *NE-on-def*
by (*auto simp: normalizability-def*)
from *this*[*THEN gsrsteps-eq-to-rsteps-eq, THEN remove-const-subst-steps*[*OF*
lv-linear-sys[*OF lv-S*] *fresh-sym-c-S fresh-sym-d-S diff fresh*]]
have *NE-redp* $\mathcal{F} \mathcal{R} \mathcal{S} s t$ **using** *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF*
lv-S] *fresh-sym-d-S sig-S funas(2)*]
using *funas sig-S* **unfolding** *NE-redp-def ne-eq*
by (*auto intro: rsteps-eq-srsteps-eqI*)}
moreover
{fix $s t$ **assume** *step:* $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \mathcal{S}$
then have *funas:* $\text{funas-term } s \subseteq \mathcal{F}$ $\text{funas-term } t \subseteq \mathcal{F}$
by (*metis sig-S sig-stepE sig-step-rsteps-dist srsteps-with-root-step-srstepsD*) +
then have fresh: $(c, 0) \notin \text{funas-term } t$ $(d, 0) \notin \text{funas-term } s$ **using fresh by**
auto
from *srsteps-with-root-step-sig-mono*[*OF sig-mono, THEN subsetD, OF step*]
have $(s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{S})^*$
using *lv-srsteps-with-root-step-idep-subst*[*OF lv-S - well-subst, THEN srsteps-with-root-step-srsteps-eqD*]
by (*intro ground-srsteps-eq-gsrsteps-eq*) *auto*
then have $t \cdot \sigma_d \in \text{NF} (\text{gsrstep } \mathcal{H} \mathcal{R}) \implies (s \cdot \sigma_c, t \cdot \sigma_d) \in (\text{gsrstep } \mathcal{H} \mathcal{R})^*$
using *ne NE-NF-eq*[*OF ne*] **unfolding** *NE-on-def*
by (*auto simp: normalizability-def*)
from *this*[*THEN gsrsteps-eq-to-rsteps-eq, THEN remove-const-subst-steps*[*OF*
lv-linear-sys[*OF lv*] *fresh-sym-c fresh-sym-d diff fresh*]]
have *NE-redp* $\mathcal{F} \mathcal{S} \mathcal{R} s t$ **using** *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF*
lv] *fresh-sym-d sig funas(2), of* \mathcal{H}]
using *funas sig* **unfolding** *NE-redp-def ne-eq*
by (*auto intro: rsteps-eq-srsteps-eqI*)}
ultimately show *?thesis* **using** *ne-eq*
by (*intro NE-rrstep-intro*) *auto*
qed
end

— CE is special as it only needs one additional constant therefore not included in the locale

lemma *lv-CE-aux:*

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} (\mathcal{R}^{\leftrightarrow})$
and *sig:* $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F}$ $\text{funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and *fresh:* $(c, 0) \notin \mathcal{F}$ **and** *const:* $(a, 0) \in \mathcal{F}$
and *lv:* $lv \mathcal{R} lv \mathcal{S}$
and *ce:* *CE* $(\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{R}) (\text{gsrstep } (\text{insert } (c, 0) \mathcal{F}) \mathcal{S})$
shows $(s, t) \in (\text{srstep } \mathcal{F} \mathcal{S})^{\leftrightarrow*}$

proof –

let $\mathcal{H} = \text{insert } (c, 0) \mathcal{F}$ **have** $\text{mono}: \mathcal{F} \subseteq \mathcal{H}$ **by** *auto*

have $\text{lv-conv}: \text{lv } (\mathcal{R}^{\leftrightarrow}) \text{ lv } (\mathcal{S}^{\leftrightarrow})$ **using** lv **by** *(auto simp: lv-def)*

have $\text{sig-conv}: \text{funas-rel } (\mathcal{S}^{\leftrightarrow}) \subseteq \mathcal{F}$ **using** $\text{sig}(2)$ **by** *(auto simp: funas-rel-def)*

from *fresh* **have** $\text{fresh-sys}: (c, 0) \notin \text{funas-rel } \mathcal{R} \ (c, 0) \notin \text{funas-rel } \mathcal{S} \ (c, 0) \notin \text{funas-rel } (\mathcal{S}^{\leftrightarrow})$

using sig **by** *(auto simp: funas-rel-def)*

from $\text{assms}(1)$ **have** $\text{lift}: (s, t) \in \text{srsteps-with-root-step } \mathcal{H} \ (\mathcal{R}^{\leftrightarrow})$

unfolding $\text{srsteps-with-root-step-def}$

by *(meson in-mono mono relcomp-mono rtrancl-mono srstep-monp srstep-monp)*

from $\text{assms}(1)$ **have** $\text{funas}: \text{funas-term } s \subseteq \mathcal{F} \ \text{funas-term } t \subseteq \mathcal{F}$

by *(meson srstepsD srsteps-with-root-step-srstepsD)+*

from $\text{srsteps-with-root-step-srsteps-eqD}[OF \ \text{assms}(1), \ \text{THEN } \text{subsetD}[OF \ \text{srsteps-eq-monp}[OF \ \text{mono}]]]$

have $(s \cdot \text{const-subst } c, t \cdot \text{const-subst } c) \in (\text{srstep } \mathcal{H} \ \mathcal{R})^{\leftrightarrow*}$

by *(auto simp: sig-step-conversion-dist intro: srsteps-eq-subst-closed)*

moreover **have** $(s \cdot \text{const-subst } c, t \cdot \text{const-subst } a) \in (\text{srstep } \mathcal{H} \ \mathcal{R})^{\leftrightarrow*}$

unfolding $\text{sig-step-conversion-dist}$ **using** const

by *(intro lv-srsteps-with-root-step-idep-subst[OF lv-conv(1) lift, THEN srsteps-with-root-step-srsteps-eqD])*

auto

moreover **have** $\text{ground } (s \cdot \text{const-subst } c) \ \text{ground } (t \cdot \text{const-subst } a) \ \text{ground } (t \cdot \text{const-subst } a)$

by *auto*

ultimately **have** $\text{toS}: (s \cdot \text{const-subst } c, t \cdot \text{const-subst } c) \in (\text{gsrstep } \mathcal{H} \ \mathcal{S})^{\leftrightarrow*}$

$(s \cdot \text{const-subst } c, t \cdot \text{const-subst } a) \in (\text{gsrstep } \mathcal{H} \ \mathcal{S})^{\leftrightarrow*}$

using $\text{ground-srsteps-eq-gsrsteps-eq}[\text{where } \mathcal{F} = \mathcal{H} \ \text{and } \mathcal{R} = \mathcal{R}^{\leftrightarrow}]$

using ce **unfolding** CE-on-def

by *(auto simp: Restr-smycl-dist conversion-def srstep-symcl-dist)*

then **have** $*$: $(t \cdot \text{const-subst } a, t \cdot \text{const-subst } c) \in (\text{gsrstep } \mathcal{H} \ \mathcal{S})^{\leftrightarrow*}$

by *(metis (no-types, lifting) conversion-inv conversion-rtrancl rtrancl.rtrancl-into-rtrancl)*

have $(t \cdot \text{const-subst } a, t) \in (\text{srstep } \mathcal{F} \ \mathcal{S})^{\leftrightarrow*}$ **using** const

using $\text{funas}(2)$ *fresh*

using $\text{remove-const-subst-steps-eq-rhs}[OF \ \text{lv-linear-sys}[OF \ \text{lv-conv}(2)] \ \text{fresh-sys}(3)]$

–

$\text{gsrsteps-eq-to-rsteps-eq}[OF \ *[\text{unfolded } \text{gsrstep-conversion-dist}]]]$

by *(cases vars-term t = {})*

(auto simp: funas-term-subst sig-step-conversion-dist split: if-splits intro!:

$\text{rsteps-eq-srsteps-eqI}[OF \ \text{sig-conv}]$

moreover **have** $(s, t \cdot \text{const-subst } a) \in (\text{srstep } \mathcal{F} \ \mathcal{S})^{\leftrightarrow*}$ **using** const

using funas *fresh*

using $\text{remove-const-subst-steps-eq-lhs}[OF \ \text{lv-linear-sys}[OF \ \text{lv-conv}(2)] \ \text{fresh-sys}(3)]$

–

$\text{gsrsteps-eq-to-rsteps-eq}[OF \ \text{toS}(2)[\text{unfolded } \text{gsrstep-conversion-dist}]]]$

by *(cases vars-term t = {})*

(auto simp: sig-step-conversion-dist funas-term-subst split: if-splits intro!:

$\text{rsteps-eq-srsteps-eqI}[OF \ \text{sig-conv}]$

ultimately **show** $(s, t) \in (\text{srstep } \mathcal{F} \ \mathcal{S})^{\leftrightarrow*}$

by *(meson conversionE conversionI rtrancl-trans)*

qed

lemma *lv-CE*:

assumes *sig*: $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F} \text{ funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and *fresh*: $(c, 0) \notin \mathcal{F}$ **and** *const*: $(a, 0) \in \mathcal{F}$
and *lv*: $lv \ \mathcal{R} \ lv \ \mathcal{S}$
and *ce*: $CE \ (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{R}) \ (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{S})$
shows $CE \ (srstep \ \mathcal{F} \ \mathcal{R}) \ (srstep \ \mathcal{F} \ \mathcal{S})$

proof –

{fix *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ (\mathcal{R}^{\leftrightarrow})$
from *lv-CE-aux*[*OF this assms*] **have** $(s, t) \in (srstep \ \mathcal{F} \ \mathcal{S})^{\leftrightarrow*}$ **by** *simp*}
moreover
{fix *s t* **assume** $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ (\mathcal{S}^{\leftrightarrow})$
from *lv-CE-aux*[*OF this sig*(2, 1) *fresh const lv*(2, 1) *CE-symmetric*[*OF ce*]]
have $(s, t) \in (srstep \ \mathcal{F} \ \mathcal{R})^{\leftrightarrow*}$ **by** *simp*}
ultimately show *?thesis*
by (*intro CE-rrstep-intro*) *auto*

qed

9.1 Specialized for monadic signature

lemma *lv-NE-aux*:

assumes $(s, t) \in \text{srsteps-with-root-step } \mathcal{F} \ \mathcal{R}$ **and** *fresh*: $(c, 0) \notin \mathcal{F}$
and *sig*: $\text{funas-rel } \mathcal{R} \subseteq \mathcal{F} \text{ funas-rel } \mathcal{S} \subseteq \mathcal{F}$
and *lv*: $lv \ \mathcal{R} \ lv \ \mathcal{S}$
and *mon*: *monadic* \mathcal{F}
and *ne*: $NE \ (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{R}) \ (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{S})$
shows $NE\text{-redp } \mathcal{F} \ \mathcal{R} \ \mathcal{S} \ s \ t$

proof –

let $?s = \text{const-subst } c$ **let** $?H = \text{insert } (c, 0) \ \mathcal{F}$
have *mono*: $\mathcal{F} \subseteq ?H$ **by** *auto*
from *mon* **have** *mh*: *monadic* $?H$ **by** (*auto simp: monadic-def*)
from *fresh* **have** *fresh-sys*: $(c, 0) \notin \text{funas-rel } \mathcal{R} \ (c, 0) \notin \text{funas-rel } \mathcal{S}$ **using** *sig*
by (*auto simp: funas-rel-def*)
from *assms* **have** *funas*: $\text{funas-term } s \subseteq \mathcal{F} \ \text{funas-term } t \subseteq \mathcal{F}$
by (*meson srstepsD srsteps-with-root-step-srstepsD*)
from *funas* **have** *fresh-t*: $(c, 0) \notin \text{funas-term } t$ **using** *fresh* **by** *auto*
from *srsteps-subst-closed*[*OF srsteps-monp*[*OF mono*, *THEN subsetD*, *OF srsteps-with-root-step-srstepsD*[*OF assms*(1)]]], *of ?s*
have *gstep*: $(s \cdot ?s, t \cdot ?s) \in (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{R})^+$
by (*auto simp: ground-subst-apply intro!: ground-srsteps-gsrsteps*)
then **have** *neg*: $t \in NF \ (srstep \ \mathcal{F} \ \mathcal{R}) \implies s \cdot ?s \neq t \cdot ?s$
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF lv*(1)]] *fresh-sys*(1) *sig*(1) *funas*(2), *of ?H*
by (*metis NF-no-trancl-step*)
then **have** $t \in NF \ (srstep \ \mathcal{F} \ \mathcal{R}) \implies (s \cdot ?s, t \cdot ?s) \in (gsrstep \ (insert \ (c, 0) \ \mathcal{F}) \ \mathcal{S})^+$ **using** *gstep*
using *NF-to-fresh-const-subst-NF*[*OF lv-linear-sys*[*OF lv*(1)]] *fresh-sys*(1) *sig*(1) *funas*(2), *of ?H*

```

    using NE-NF-eq[OF ne, symmetric] ne unfolding NE-on-def
  by (auto simp: normalizability-def ground-subst-apply) (meson rtrancl-eq-or-trancl)
  then show ?thesis unfolding NE-redp-def
    using remove-const-lv-mondaic-steps[OF lv(2) fresh-sys(2) mh, THEN srstepsD[THEN
conjunct1],
      THEN rsteps-srstepsI[OF sig(2) funas]]
    by (auto dest!: gsrsteps-to-srsteps)
qed

```

lemma *lv-NE*:

```

  assumes sig: funas-rel  $\mathcal{R} \subseteq \mathcal{F}$  funas-rel  $\mathcal{S} \subseteq \mathcal{F}$ 
    and mon: monadic  $\mathcal{F}$  and fresh:  $(c, 0) \notin \mathcal{F}$ 
    and lv: lv  $\mathcal{R}$  lv  $\mathcal{S}$ 
    and ne: NE (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{R}$ ) (gsrstep (insert (c, 0)  $\mathcal{F}$ )  $\mathcal{S}$ )
  shows NE (srstep  $\mathcal{F}$   $\mathcal{R}$ ) (srstep  $\mathcal{F}$   $\mathcal{S}$ )
proof -
  from fresh have fresh-sys:  $(c, 0) \notin \text{funas-rel } \mathcal{R}$   $(c, 0) \notin \text{funas-rel } \mathcal{S}$ 
    using sig by (auto simp: funas-rel-def)
  from NE-NF-eq[OF ne] have ne-eq:  $NF$  (srstep  $\mathcal{F}$   $\mathcal{R}$ ) =  $NF$  (srstep  $\mathcal{F}$   $\mathcal{S}$ ) using
lv sig fresh-sys
    by (intro linear-sys-gNF-eq-NF-eq) (auto dest: lv-linear-sys)
  {fix s t assume  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F}$   $\mathcal{R}$ 
    from lv-NE-aux[OF this fresh sig lv mon ne] have NE-redp  $\mathcal{F}$   $\mathcal{R}$   $\mathcal{S}$  s t by
simp}
  moreover
  {fix s t assume ass:  $(s, t) \in \text{srsteps-with-root-step } \mathcal{F}$   $\mathcal{S}$ 
    from lv-NE-aux[OF this fresh sig(2, 1) lv(2, 1) mon NE-symmetric[OF ne]]
  have NE-redp  $\mathcal{F}$   $\mathcal{S}$   $\mathcal{R}$  s t by simp}
  ultimately show ?thesis using ne-eq
    by (intro NE-rrstep-intro) auto
qed
end

```

References

- [1] C. Sternagel and R. Thiemann. Abstract rewriting. *Archive of Formal Proofs*, June 2010. <https://isa-afp.org/entries/Abstract-Rewriting.html>, Formal proof development.