

# Miscellaneous Examples of restriction Spaces

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## Abstract

In this session, a number of examples are provided to illustrate how the `Restriction_Spaces` library works. The simple cases are, of course, covered: trivial construction, booleans, integers, option type, and so on. More elaborate situations are also covered, such as formal series and a trace model of the CSP process algebra.

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## 1 Trivial Construction

Restriction instance for any type.

```
typedef 'a type' = <UNIV :: 'a set> by auto

instantiation type' :: (type) restriction
begin

lift-definition restriction-type' :: <'a type' ⇒ nat ⇒ 'a type'>
  is <λx n. if n = 0 then undefined else x> .

instance by (intro-classes, transfer, simp add: min-def)

end

lemma restriction-type'-0-is-undefined [simp] :
  <x ↓ 0 = undefined> for x :: <'a type'> by transfer simp

instance type' :: (type) restriction-space
  by (intro-classes, simp, transfer, auto)

lemma restriction-tendsto-type'-iff :
  <σ -↓→ Σ ↔ (exists n0. ∀ n ≥ n0. σ n = Σ)> for Σ :: <'a type'>
  by (simp add: restriction-tendsto-def, transfer, auto)

lemma restriction-chain-type'-iff :
  <chain↓ σ ↔ σ 0 = undefined ∧ (∀ n ≥ Suc 0. σ n = σ (Suc 0))>
  for σ :: <nat ⇒ 'a type'>
  by (simp add: restriction-chain-def-ter, transfer, simp)
  (safe, (simp-all)[3], metis Suc-le-D Suc-le-eq zero-less-Suc)

instance type' :: (type) complete-restriction-space
  by intro-classes
  (auto simp add: restriction-chain-type'-iff restriction-convergent-def
    restriction-tendsto-type'-iff)
```

## 2 Booleans

Restriction instance for *bool*.

```
instantiation bool :: restriction
begin
```

```

definition restriction-bool :: <bool  $\Rightarrow$  nat  $\Rightarrow$  bool>
  where  $\langle b \downarrow n \equiv \text{if } n = 0 \text{ then } \text{False} \text{ else } b \rangle$ 

instance by (intro-classes) (auto simp add: restriction-bool-def)
end

```

```

lemma restriction-bool-0-is-False [simp] :  $\langle b \downarrow 0 = \text{False} \rangle$ 
  by (simp add: restriction-bool-def)

```

Restriction space instance for *bool*.

```

instance bool :: restriction-space
  by intro-classes (simp-all add: restriction-bool-def gt-ex)

```

Complete Restriction space instance for *bool*.

```

lemma restriction-tendsto-bool-iff :
   $\langle \sigma \dashrightarrow \Sigma \longleftrightarrow (\exists n. \forall k \geq n. \sigma k = \Sigma) \rangle$  for  $\Sigma :: \text{bool}$ 
  unfolding restriction-tendsto-def
  by (auto simp add: restriction-bool-def)

```

```

instance bool :: complete-restriction-space
proof intro-classes
  fix  $\sigma :: \langle \text{nat} \Rightarrow \text{bool} \rangle$  assume  $\langle \text{chain}_{\downarrow} \sigma \rangle$ 
  hence  $\langle (\forall n > 0. \neg \sigma n) \vee (\forall n > 0. \sigma n) \rangle$ 
    by (simp add: restriction-chain-def restriction-bool-def split: if-split-asm)
      (metis One-nat-def Zero-not-Suc gr0-conv-Suc nat-induct-non-zero
      zero-induct)
  hence  $\langle \sigma \dashrightarrow \text{False} \vee \sigma \dashrightarrow \text{True} \rangle$ 
    by (metis (full-types) gt-ex order.strict-trans2 restriction-tendsto-def)
  thus  $\langle \text{convergent}_{\downarrow} \sigma \rangle$ 
    using restriction-convergentI by blast
qed

```

```

lemma restriction-cont-imp-restriction-adm :
   $\langle \text{cont}_{\downarrow} P \implies \text{adm}_{\downarrow} P \rangle$  for  $P :: \langle 'a :: \text{restriction-space} \Rightarrow \text{bool} \rangle$ 
  unfolding restriction-adm-def restriction-cont-on-def restriction-cont-at-def
  by (auto simp add: restriction-tendsto-bool-iff)

```

```

lemma restriction-compact-bool :  $\langle \text{compact}_{\downarrow} (\text{UNIV} :: \text{bool set}) \rangle$ 
  by (simp add: finite-imp-restriction-compact)

```

### 3 Naturals

Restriction instance for *nat*.

```
instantiation nat :: restriction
begin

definition restriction-nat :: <nat ⇒ nat ⇒ nat>
  where <x ↓ n ≡ if x ≤ n then x else n>

instance by intro-classes (simp add: restriction-nat-def)

end
```

```
lemma restriction-nat-0-is-0 [simp] : <x ↓ 0 = (0 :: nat)>
  by (simp add: restriction-nat-def)
```

Restriction Space instance for *nat*.

```
instance nat :: restriction-space
  by intro-classes (use nat-le-linear in (auto simp add: restriction-nat-def))
```

Constructive Suc

```
lemma constructive-Suc : <constructive Suc>
proof (rule constructiveI)
  show <x ↓ n = y ↓ n ⇒ Suc x ↓ Suc n = Suc y ↓ Suc n> for x y n
    by (simp add: restriction-nat-def split: if-split-asm)
qed
```

Non too destructive pred

```
lemma non-too-destructive-pred : <non-too-destructive nat.pred>
proof (rule non-too-destructiveI)
  show <x ↓ Suc n = y ↓ Suc n ⇒ nat.pred x ↓ n = nat.pred y ↓ n>
    for x y n
    by (cases x; cases y) (simp-all add: restriction-nat-def split: if-split-asm)
qed
```

Restriction shift plus

```
lemma restriction-shift-plus : <restriction-shift (λx. x + k) (int k)>
proof (intro restriction-shiftI)
  show <x ↓ n = y ↓ n ⇒ x + k ↓ nat (int n + int k) = y + k ↓ nat
    (int n + int k)> for x y n
    by (simp add: restriction-nat-def nat-int-add split: if-split-asm)
qed

lemma <restriction-shift (λx. k + x) (int k)>
  by (simp add: add.commute restriction-shift-plus)
```

— In particular, constructive if  $1 < k$ .

## 4 Integers

```
instantiation int :: restriction
begin

definition restriction-int :: <int ⇒ nat ⇒ int>
  where < $x \downarrow n \equiv \text{if } |x| \leq \text{int } n \text{ then } x \text{ else if } 0 \leq x \text{ then } \text{int } n \text{ else } -\text{int } n$ >

instance by intro-classes (simp add: restriction-int-def min-def)

end

instance int :: restriction-space
by (intro-classes, simp-all add: restriction-int-def)
  (metis le-eq-less-or-eq linorder-not-less nat-le-iff)

lemma restriction-int-0-is-0 [simp] : < $x \downarrow 0 = (0 :: \text{int})$ >
by (simp add: restriction-int-def)

Restriction shift plus

lemma restriction-shift-on-pos-plus : <restriction-shift-on ( $\lambda x. x + k$ )
k { $x. 0 \leq x$ }>
by (intro restriction-shift-onI)
  (simp add: restriction-int-def split: if-split-asm)

lemma restriction-shift-on-neg-minus : <restriction-shift-on ( $\lambda x. x - k$ )
k { $x. x \leq 0$ }>
by (intro restriction-shift-onI)
  (simp add: restriction-int-def split: if-split-asm)
```

## 5 Option Type

### 5.1 Restriction option type

```
instantiation option :: (restriction) restriction
```

```

begin

definition restriction-option :: '<'a option ⇒ nat ⇒ 'a option>
  where <x ↓ n ≡ if n = 0 then None else map-option (λa. a ↓ n) x>

instance
  by intro-classes
    (simp add: restriction-option-def option.map-comp comp-def min-def)

end

lemma restriction-option-0-is-None [simp] : <x ↓ 0 = None>
  by (simp add: restriction-option-def)

lemma restriction-option-None [simp] : <None ↓ n = None>
  by (simp add: restriction-option-def)

lemma restriction-option-Some [simp] : <Some x ↓ n = (if n = 0 then
  None else Some (x ↓ n))>
  by (simp add: restriction-option-def)

lemma restriction-option-eq-None-iff : <x ↓ n = None ↔ n = 0 ∨
  x = None>
  by (cases x) simp-all

lemma restriction-option-eq-Some-iff : <x ↓ n = Some y ↔ n ≠ 0 ∧
  x ≠ None ∧ y = the x ↓ n>
  by (cases x) auto

```

## 5.2 Restriction space option type

```

instance option :: (restriction-space) restriction-space
proof intro-classes
  show <x ↓ 0 = y ↓ 0> for x y :: '<'a option>' by simp
next
  show <x ≠ y ⟹ ∃n. x ↓ n ≠ y ↓ n> for x y :: '<'a option>'
    by (cases x; cases y, simp-all add: gt-ex)
      (metis bot-nat-0.not-eq-extremum ex-not-restriction-related restriction-0-related)
qed

```

## 5.3 Complete restriction space option type

```

lemma option-restriction-chainE :
  fixes σ :: <nat ⇒ 'a :: restriction-space option> assumes <chain↓ σ>
  obtains <σ = (λn. None)>
    | σ' where <chain↓ σ'> and <σ = (λn. if n = 0 then None else Some
    (σ' n))>
  proof -
    from <chain↓ σ> consider <∀n. σ n = None> | <∀n>0. σ n ≠ None>

```

```

by (metis bot-nat-0.not-eq-extremum linorder-neqE-nat
      restriction-chain-def-bis restriction-option-eq-None-iff)
thus thesis
proof cases
  from that(1) show  $\forall n. \sigma n = \text{None} \Rightarrow \text{thesis}$  by fast
next
  define  $\sigma'$  where  $\langle\sigma' n \equiv \text{if } n = 0 \text{ then undefined} \downarrow 0 \text{ else the } (\sigma n)\rangle$  for  $n$ 
    assume  $\forall n > 0. \sigma n \neq \text{None}$ 
    with  $\langle\text{chain}_\downarrow \sigma\rangle$  have  $\langle\text{chain}_\downarrow \sigma'\rangle \langle\sigma = (\lambda n. \text{if } n = 0 \text{ then undefined} \downarrow 0 \text{ else Some } (\sigma' n))\rangle$ 
      by (simp-all add:  $\sigma'$ -def restriction-chain-def)
        (metis option.sel restriction-option-eq-Some-iff,
         metis  $\sigma'$ -def bot-nat-0.not-eq-extremum option.sel restriction-option-0-is-None)
    with that(2) show thesis by force
  qed
qed

```

```

lemma non-destructive-Some :  $\langle\text{non-destructive Some}\rangle$ 
  by (simp add: non-destructiveI)

lemma restriction-cont-Some :  $\langle\text{cont}_\downarrow (\text{Some} :: 'a :: \text{restriction-space} \Rightarrow 'a \text{ option})\rangle$ 
  by (rule restriction-shift-imp-restriction-cont[where  $k = 0$ ])
    (simp add: restriction-shiftI)

```

```

instance option :: (complete-restriction-space) complete-restriction-space
proof intro-classes
  show  $\langle\text{chain}_\downarrow \sigma \Rightarrow \text{convergent}_\downarrow \sigma\rangle$  for  $\sigma :: \text{nat} \Rightarrow 'a \text{ option}$ 
    proof (elim option-restriction-chainE)
      show  $\langle\sigma = (\lambda n. \text{None}) \Rightarrow \text{convergent}_\downarrow \sigma\rangle$  by simp
    next
      fix  $\sigma'$  assume  $\langle\text{chain}_\downarrow \sigma'\rangle$  and  $\sigma\text{-def} : \langle\sigma = (\lambda n. \text{if } n = 0 \text{ then None} \text{ else Some } (\sigma' n))\rangle$ 
      from  $\langle\text{chain}_\downarrow \sigma'\rangle$  have  $\langle\text{convergent}_\downarrow \sigma'\rangle$  by (simp add: restriction-chain-imp-restriction-convergent)
      hence  $\langle\text{convergent}_\downarrow (\lambda n. \sigma'(n + 1))\rangle$  by (unfold restriction-convergent-shift-iff)
      then obtain  $\Sigma'$  where  $\langle(\lambda n. \sigma'(n + 1)) \dashrightarrow \Sigma'\rangle$  by (blast dest: restriction-convergentD')
      hence  $\langle(\lambda n. \text{Some } (\sigma'(n + 1))) \dashrightarrow \text{Some } \Sigma'\rangle$  by (fact restriction-contD[OF restriction-cont-Some])
      hence  $\langle\text{convergent}_\downarrow (\lambda n. \text{Some } (\sigma'(n + 1)))\rangle$  by (blast intro: restriction-convergentI)
      hence  $\langle\text{convergent}_\downarrow (\lambda n. \sigma(n + 1))\rangle$  by (simp add:  $\sigma$ -def)
      thus  $\langle\text{convergent}_\downarrow \sigma\rangle$  using restriction-convergent-shift-iff by blast
    qed

```

```
qed
```

## 6 Lists

List is a restriction space using *take* as the restriction function

```
instantiation list :: (type) restriction
begin
```

```
definition restriction-list :: \ $\langle 'a \text{ list} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \rangle$ 
  where  $\langle L \downarrow n \equiv \text{take } n \ L \rangle$ 
```

```
instance by intro-classes (simp add: restriction-list-def min.commute)
```

```
end
```

```
instance list :: (type) order-restriction-space
proof intro-classes
```

```
  show  $\langle L \downarrow 0 \leq M \downarrow 0 \rangle$  for  $L \ M :: \langle 'a \text{ list} \rangle$ 
    by (simp add: restriction-list-def)
```

```
next
```

```
  show  $\langle L \leq M \implies L \downarrow n \leq M \downarrow n \rangle$  for  $L \ M :: \langle 'a \text{ list} \rangle$  and  $n$ 
    unfolding restriction-list-def
    by (metis less-eq-list-def prefix-def take-append)
```

```
next
```

```
  show  $\langle \neg L \leq M \implies \exists n. \neg L \downarrow n \leq M \downarrow n \rangle$  for  $M \ L :: \langle 'a \text{ list} \rangle$ 
    unfolding restriction-list-def
    by (metis linorder-linear take-all-iff)
```

```
qed
```

```
lemma  $\langle \text{OFCCLASS}('a \text{ list}, \text{restriction-space-class}) \rangle$  ..
```

Of course, this space is not complete. We prove this with by exhibiting a counter-example.

```
notepad begin
```

```
  define  $\sigma :: \langle \text{nat} \Rightarrow 'a \text{ list} \rangle$ 
    where  $\langle \sigma \ n = \text{replicate } n \ \text{undefined} \rangle$  for  $n$ 
```

```
  have  $\langle \text{chain}_{\downarrow} \sigma \rangle$ 
    by (intro restriction-chainI ext)
      (simp add: sigma_def restriction-list-def flip: replicate-append-same)
```

```
  hence  $\langle \# \Sigma. \sigma \dashrightarrow \Sigma \rangle$ 
    by (metis sigma_def convergent-restriction-chain-imp-ex1 length-replicate
      lessI nat-less-le restriction-convergentI restriction-list-def take-all)
```

```
end
```

## 7 Binary Trees

```
datatype 'a ex-tree = tip | node <'a ex-tree> 'a <'a ex-tree>
```

```
instantiation ex-tree :: (type) restriction
begin
```

```
fun restriction-ex-tree :: <'a ex-tree ⇒ nat ⇒ 'a ex-tree>
  where <tip ↓ n = tip>
    | <(node l val r) ↓ 0 = tip>
    | <(node l val r) ↓ Suc n = node (l ↓ n) val (r ↓ n)>
```

```
lemma restriction-ex-tree-0-is-tip [simp] : <T ↓ 0 = tip>
  using restriction-ex-tree.elims by blast
```

```
instance
```

```
proof intro-classes
```

```
  show <T ↓ n ↓ m = T ↓ min n m> for T :: <'a ex-tree> and n m
  proof (induct n arbitrary: T m)
```

```
    show <T ↓ 0 ↓ m = T ↓ min 0 m> for T :: <'a ex-tree> and m by
      simp
```

```
  next
```

```
    fix T :: <'a ex-tree> and m n assume hyp : <T ↓ n ↓ m = T ↓
      min n m> for T :: <'a ex-tree> and m
```

```
    show <T ↓ Suc n ↓ m = T ↓ min (Suc n) m>
      by (cases T; cases m, simp-all add: hyp)
```

```
  qed
```

```
qed
```

```
end
```

```
lemma size-le-imp-restriction-ex-tree-eq-self :
  <size x ≤ n ==> x ↓ n = x> for x :: <'a ex-tree>
  by (induct rule: restriction-ex-tree.induct) simp-all
```

```
lemma restriction-ex-tree-eqI :
  <(A i. x ↓ i = y ↓ i) ==> x = y> for x y :: <'a ex-tree>
  by (metis linorder-linear size-le-imp-restriction-ex-tree-eq-self)
```

```

lemma restriction-ex-tree-eqI-optimized :
   $\langle (\forall i. i \leq \max(\text{size } x) (\text{size } y) \implies x \downarrow i = y \downarrow i) \implies x = y \rangle$  for  $x$ 
 $y :: \langle 'a \text{ ex-tree} \rangle$ 
by (metis max.cobounded1 max.cobounded2 order-eq-refl size-le-imp-restriction-ex-tree-eq-self)

```

  

```

instance ex-tree :: (type) restriction-space
by (intro-classes, simp)
  (use restriction-ex-tree-eqI-optimized in blast)

```

## 8 Decimals of a Number

```

typedef (overloaded) 'a :: zero decimals =  $\langle \{\sigma :: \text{nat} \Rightarrow 'a. \sigma 0 = 0\} \rangle$ 
morphisms from-decimals to-decimals by auto
setup-lifting type-definition-decimals

declare from-decimals [simp] to-decimals-cases[simp]
  to-decimals-inject[simp] to-decimals-inverse [simp]

declare from-decimals-inject [simp]
  from-decimals-inverse [simp]

lemmas to-decimals-inject-simplified [simp] = to-decimals-inject [simplified]
and to-decimals-inverse-simplified[simp] = to-decimals-inverse[simplified]

lemmas to-decimals-induct-simplified = to-decimals-induct[simplified]
and to-decimals-cases-simplified = to-decimals-cases [simplified]
and from-decimals-induct-simplified = from-decimals-induct[simplified]
and from-decimals-cases-simplified = from-decimals-cases [simplified]

instantiation decimals :: (zero) restriction
begin

lift-definition restriction-decimals ::  $\langle 'a \text{ decimals} \Rightarrow \text{nat} \Rightarrow 'a \text{ decimals} \rangle$ 
  is  $\langle \lambda \sigma m n. \text{if } n \leq m \text{ then } \sigma n \text{ else } 0 \rangle$  by simp

instance by (intro-classes, transfer, rule ext, simp)

```

**end**

**instance** *decimals* :: (zero) restriction-space  
  **by** (intro-classes; transfer, auto)  
    (*metis* (no-types, lifting) ext order-refl)

**lemma** *restriction-decimals-eq-iff* :  
   $\langle x \downarrow n = y \downarrow n \longleftrightarrow (\forall i \leq n. \text{from-decimals } x i = \text{from-decimals } y i) \rangle$   
  **by** transfer meson

**lemma** *restriction-decimals-eqI* :  
   $\langle (\bigwedge i. i \leq n \implies \text{from-decimals } x i = \text{from-decimals } y i) \implies x \downarrow n = y \downarrow n \rangle$   
  **by** (simp add: *restriction-decimals-eq-iff*)

**lemma** *restriction-decimals-eqD* :  
   $\langle x \downarrow n = y \downarrow n \implies i \leq n \implies \text{from-decimals } x i = \text{from-decimals } y i \rangle$   
  **by** (simp add: *restriction-decimals-eq-iff*)

This space is actually complete.

**instance** *decimals* :: (zero) complete-restriction-space  
**proof** (intro-classes, rule *restriction-convergentI*)  
  fix  $\sigma$  ::  $\langle \text{nat} \Rightarrow 'a \text{ decimals} \rangle$  **assume**  $\langle \text{chain}_{\downarrow} \sigma \rangle$   
  let  $\Sigma = \langle \text{to-decimals} (\lambda n. \text{from-decimals} (\sigma n) n) \rangle$   
  have  $\langle \Sigma \downarrow n = \sigma n \rangle$  **for**  $n$   
  **proof** (subst restricted-restriction-chain-is[*OF*  $\langle \text{chain}_{\downarrow} \sigma \rangle$ , symmetric],  
    rule *restriction-decimals-eqI*)  
  fix  $i$  **assume**  $\langle i \leq n \rangle$   
  **from** restriction-chain-def-ter  
    [THEN iffD1, *OF*  $\langle \text{restriction-chain } \sigma \rangle$ , rule-format, *OF*  $\langle i \leq n \rangle$ ]  
  **show**  $\langle \text{from-decimals } \Sigma i = \text{from-decimals} (\sigma n) i \rangle$   
    **by** (subst to-decimals-inverse-simplified, use from-decimals in  
blast)  
      (*metis* dual-order.refl *restriction-decimals.rep-eq*)  
  **qed**  
  **thus**  $\langle \text{restriction-chain } \sigma \implies \sigma \dashrightarrow \Sigma \rangle$   
  **proof** –  
    have  $\langle (\dashrightarrow) (\text{to-decimals} (\lambda n. \text{from-decimals} (\sigma n) n)) = \sigma \rangle$   
    using  $\langle \bigwedge n. \text{to-decimals} (\lambda n. \text{from-decimals} (\sigma n) n) \downarrow n = \sigma n \rangle$   
  **by** force  
    **then show** ?thesis  
      **by** (*metis* restriction-tends-to-restrictions)  
  **qed**  
  **qed**

```

typedef nat-0-9 = <{0.. 9::nat}>
morphisms from-nat-0-9 to-nat-0-9 by auto

setup-lifting type-definition-nat-0-9

instantiation nat-0-9 :: zero
begin

lift-definition zero-nat-0-9 :: nat-0-9 is 0 by simp

instance ..

end

instantiation nat-0-9 :: one
begin

lift-definition one-nat-0-9 :: nat-0-9 is 1 by simp

instance ..

end

lift-definition update-nth-decimal :: <[nat-0-9 decimals, nat, nat] =>
nat-0-9 decimals>
is < $\lambda s \text{ index value. if } \text{index} = 0 \vee 9 < \text{value} \text{ then } \text{from-decimals } s$ 
 $\text{else } (\text{from-decimals } s)(\text{index} := \text{to-nat-0-9 } \text{value})$ >
using from-decimals by auto

lemma no-update-nth-decimal [simp] :
< $\text{index} = 0 \implies \text{update-nth-decimal } s \text{ index val} = s9 < \text{val} \implies \text{update-nth-decimal } s \text{ index val} = s$ >
by (simp-all add: update-nth-decimal.abs-eq)

lemma non-destructive-update-nth-decimal : <non-destructive update-nth-decimal>
proof (rule non-destructiveI)
show < $\text{update-nth-decimal } x \downarrow n = \text{update-nth-decimal } y \downarrow n$ > if < $x \downarrow n = y \downarrow n$ > for x y n
proof (unfold restriction-fun-def, intro ext restriction-decimals-eqI)
fix index val i assume < $i \leq n$ >

```

```

from restriction-decimals-eqD[ $\langle OF \langle x \downarrow n = y \downarrow n \rangle \langle i \leq n \rangle \rangle$ 
show  $\langle from\text{-}decimals (update\text{-}nth\text{-}decimal } x \text{ index val) } i =$ 
       $from\text{-}decimals (update\text{-}nth\text{-}decimal } y \text{ index val) } i \rangle$ 
by (simp add: update-nth-decimal.rep-eq)
qed
qed

lift-definition shift-decimal-right ::  $\langle nat\text{-}0\text{-}9 \text{ decimals} \Rightarrow nat\text{-}0\text{-}9 \text{ decimals} \rangle$ 
is  $\langle \lambda s \text{. case } n \text{ of } 0 \Rightarrow to\text{-}nat\text{-}0\text{-}9 0 \mid Suc n' \Rightarrow from\text{-}decimals s n' \rangle$ 
by (simp add: zero-nat-0-9-def)

lemma constructive-shift-decimal-right :  $\langle constructive \text{ shift-decimal-right} \rangle$ 
proof (rule constructiveI)
  show  $\langle shift\text{-}decimal\text{-}right } x \downarrow Suc n = shift\text{-}decimal\text{-}right } y \downarrow Suc n \rangle$ 
  if  $\langle x \downarrow n = y \downarrow n \rangle$  for  $x \ y \ n$ 
    proof (intro restriction-decimals-eqI)
      fix index val i assume  $\langle i \leq Suc n \rangle$ 
      hence  $\langle i - 1 \leq n \rangle$  by simp
      from restriction-decimals-eqD[ $\langle OF \langle x \downarrow n = y \downarrow n \rangle \langle i - 1 \leq n \rangle \rangle$ 
        show  $\langle from\text{-}decimals (shift\text{-}decimal\text{-}right } x) i = from\text{-}decimals$ 
           $(shift\text{-}decimal\text{-}right } y) i \rangle$ 
        by (simp add: shift-decimal-right.rep-eq Nitpick.case-nat-unfold)
      qed
    qed
  qed

lift-definition shift-decimal-left ::  $\langle nat\text{-}0\text{-}9 \text{ decimals} \Rightarrow nat\text{-}0\text{-}9 \text{ decimals} \rangle$ 
is  $\langle \lambda s \text{. if } n = 0 \text{ then } to\text{-}nat\text{-}0\text{-}9 0 \text{ else } from\text{-}decimals s (Suc n) \rangle$ 
by (simp add: zero-nat-0-9-def)

lemma non-too-destructive-shift-decimal-left :  $\langle non\text{-}too\text{-}destructive \text{ shift-decimal-left} \rangle$ 
proof (rule non-too-destructiveI)
  show  $\langle shift\text{-}decimal\text{-}left } x \downarrow n = shift\text{-}decimal\text{-}left } y \downarrow n \rangle$  if  $\langle x \downarrow Suc n = y \downarrow Suc n \rangle$  for  $x \ y \ n$ 
    proof (intro restriction-decimals-eqI)
      fix index val i assume  $\langle i \leq n \rangle$ 
      hence  $\langle Suc i \leq Suc n \rangle$  by simp
      from restriction-decimals-eqD[ $\langle OF \langle x \downarrow Suc n = y \downarrow Suc n \rangle \langle Suc i \leq Suc n \rangle \rangle$ 
        show  $\langle from\text{-}decimals (shift\text{-}decimal\text{-}left } x) i = from\text{-}decimals (shift\text{-}decimal\text{-}left }$ 
           $y) i \rangle$ 
        by (simp add: shift-decimal-left.rep-eq)
      qed
    qed
  qed

```

```

lemma restriction-fix-shift-decimal-right : <(v x. shift-decimal-right x)
= to-decimals (λ-. 0)
proof (rule restriction-fix-unique)
  show <constructive shift-decimal-right>
    by (fact constructive-shift-decimal-right)
next
  show <shift-decimal-right (to-decimals (λ-. 0)) = to-decimals (λ-. 0)>
    by (simp add: shift-decimal-right.abs-eq)
      (metis nat.case-eq-if to-decimals-inverse-simplified zero-nat-0-9-def)
qed

```

Example of a predicate that is not admissible.

```

lemma one-in-decimals-not-admissible :
  defines P-def: <P ≡ λx. (1 :: nat-0-9) ∈ range (from-decimals x)>
  shows <¬ adm↓ P>
proof (rule ccontr)
  assume * : <¬ ¬ adm↓ P>

  define x where <x ≡ to-decimals (λn. if n = 0 then 0 else 1 :: nat-0-9)>

  have <P (v x. shift-decimal-right x)>
  proof (induct rule: restriction-fix-ind)
    show <constructive shift-decimal-right> by (fact constructive-shift-decimal-right)
  next
    from * show <adm↓ P> by simp
  next
    show <P x> by (auto simp add: P-def x-def image-iff)
  next
    show <P x ==> P (shift-decimal-right x) for x
      by (simp add: P-def image-def shift-decimal-right.rep-eq)
        (metis old.nat.simps(5))
  qed
  moreover have <¬ P (v x. shift-decimal-right x)>
    by (simp add: P-def restriction-fix-shift-decimal-right)
      (transfer, simp)
  ultimately show False by blast
qed

```

## 9 Trace Model of CSP

In the AFP one can already find HOL-CSP, a shallow embedding of the failure-divergence model of denotational semantics proposed by Hoare, Roscoe and Brookes in the eighties. Here, we

simplify the example by restraining ourselves to a trace model.

## 9.1 Prerequisites

**datatype** '*a event* = *ev* (*of-ev* : '*a*) | *tick* ( $\langle \checkmark \rangle$ )

**type-synonym** '*a trace* =  $\langle 'a \text{ event list} \rangle$

**definition** *tickFree* ::  $\langle 'a \text{ trace} \Rightarrow \text{bool} \rangle$  ( $\langle tF \rangle$ )  
**where**  $\langle \text{tickFree } t \equiv \checkmark \notin \text{set } t \rangle$

**definition** *front-tickFree* ::  $\langle 'a \text{ trace} \Rightarrow \text{bool} \rangle$  ( $\langle ftF \rangle$ )  
**where**  $\langle \text{front-tickFree } s \equiv s = [] \vee \text{tickFree} (\text{tl} (\text{rev } s)) \rangle$

**lemma** *tickFree-Nil* [simp] :  $\langle tF [] \rangle$   
**and** *tickFree-Cons-iff* [simp] :  $\langle tF (a \# t) \longleftrightarrow a \neq \checkmark \wedge tF t \rangle$   
**and** *tickFree-append-iff* [simp] :  $\langle tF (s @ t) \longleftrightarrow tF s \wedge tF t \rangle$   
**and** *tickFree-rev-iff* [simp] :  $\langle tF (\text{rev } t) \longleftrightarrow tF t \rangle$   
**and** *non-tickFree-tick* [simp] :  $\langle \neg tF [\checkmark] \rangle$   
**by** (auto simp add: *tickFree-def*)

**lemma** *tickFree-iff-is-map-ev* :  $\langle tF t \longleftrightarrow (\exists u. t = \text{map ev } u) \rangle$   
**by** (metis event.collapse event.distinct(1) ex-map-conv *tickFree-def*)

**lemma** *front-tickFree-Nil* [simp] :  $\langle ftF [] \rangle$   
**and** *front-tickFree-single*[simp] :  $\langle ftF [a] \rangle$   
**by** (simp-all add: *front-tickFree-def*)

**lemma** *tickFree-tl* :  $\langle tF s \implies tF (\text{tl } s) \rangle$   
**by** (cases *s*) simp-all

**lemma** *non-tickFree-imp-not-Nil*:  $\langle \neg tF s \implies s \neq [] \rangle$   
**using** *tickFree-Nil* **by** blast

**lemma** *tickFree-butlast*:  $\langle tF s \longleftrightarrow tF (\text{butlast } s) \wedge (s \neq [] \longrightarrow \text{last } s \neq \checkmark) \rangle$   
**by** (induct *s*) simp-all

**lemma** *front-tickFree-iff-tickFree-butlast*:  $\langle ftF s \longleftrightarrow tF (\text{butlast } s) \rangle$   
**by** (induct *s*) (auto simp add: *front-tickFree-def*)

**lemma** *front-tickFree-Cons-iff*:  $\langle ftF (a \# s) \longleftrightarrow s = [] \vee a \neq \checkmark \wedge ftF s \rangle$   
**by** (simp add: *front-tickFree-iff-tickFree-butlast*)

**lemma** *front-tickFree-append-iff*:

```

⟨ftF (s @ t) ⟷ (if t = [] then ftF s else tF s ∧ ftF t)⟩
by (simp add: butlast-append front-tickFree-iff-tickFree-butlast)

lemma tickFree-imp-front-tickFree [simp] : ⟨tF s ⟹ ftF s⟩
by (simp add: front-tickFree-def tickFree-tl)

lemma front-tickFree-charn: ⟨ftF s ⟷ s = [] ∨ (∃ a t. s = t @ [a] ∧
tF t)⟩
by (cases s rule: rev-cases) (simp-all add: front-tickFree-def)

lemma nonTickFree-n-frontTickFree: ⟨¬ tF s ⟹ ftF s ⟹ ∃ t r. s =
t @ [✓]⟩
by (metis front-tickFree-charn tickFree-Cons-iff
tickFree-Nil tickFree-append-iff)

lemma front-tickFree-dw-closed : ⟨ftF (s @ t) ⟹ ftF s⟩
by (metis front-tickFree-append-iff tickFree-imp-front-tickFree)

lemma front-tickFree-append: ⟨tF s ⟹ ftF t ⟹ ftF (s @ t)⟩
by (simp add: front-tickFree-append-iff)

lemma tickFree-imp-front-tickFree-snoc: ⟨tF s ⟹ ftF (s @ [a])⟩
by (simp add: front-tickFree-append)

lemma front-tickFree-nonempty-append-imp: ⟨ftF (t @ r) ⟹ r ≠ []
⟹ tF t ∧ ftF r⟩
by (simp add: front-tickFree-append-iff)

lemma tickFree-map-ev [simp] : ⟨tF (map ev t)⟩
by (induct t) simp-all

lemma tickFree-map-ev-comp [simp] : ⟨tF (map (ev ∘ f) t)⟩
by (metis list.map-comp tickFree-map-ev)

lemma front-tickFree-map-map-event-iff :
⟨ftF (map (map-event f) t) ⟷ ftF t⟩
by (induct t) (simp-all add: front-tickFree-Cons-iff)

definition is-process :: 'a trace set ⇒ bool'
where ⟨is-process T ≡ [] ∈ T ∧ (∀ t. t ∈ T ⟶ ftF t) ∧ (∀ t u. t @
u ∈ T ⟶ t ∈ T)⟩

typedef 'a process = ⟨{T :: 'a trace set. is-process T}⟩
morphisms Traces to-process
proof (rule extI)

```

```

show ⟨{[]}⟩ ∈ {T. is-process T}
  by (simp add: is-process-def front-tickFree-def)
qed

setup-lifting type-definition-process

```

**notation** Traces (⟨T⟩)

```

lemma is-process-inv :
  ⟨[] ∈ T P ∧ (∀ t. t ∈ T P → ftF t) ∧ (∀ t u. t @ u ∈ T P → t ∈ T P)⟩
  by (metis is-process-def mem-Collect-eq to-process-cases to-process-inverse)

```

```

lemma Nil-elem-T : ⟨[] ∈ T P⟩
  and front-tickFree-T : ⟨t ∈ T P ⟷ ftF t⟩
  and T-dw-closed : ⟨t @ u ∈ T P ⟷ t ∈ T P⟩
  by (simp-all add: is-process-inv)

```

```

lemma process-eq-spec : ⟨P = Q ⟷ T P = T Q⟩
  by transfer simp

```

## 9.2 First Processes

```

lift-definition BOT :: ⟨'a process⟩ is ⟨{t. ftF t}⟩
  by (auto simp add: is-process-def front-tickFree-append-iff)

```

```

lemma T-BOT : ⟨T BOT = {t. ftF t}⟩
  by (simp add: BOT.rep-eq)

```

```

lift-definition SKIP :: ⟨'a process⟩ is ⟨{[], [✓]}⟩
  by (simp add: is-process-def append-eq-Cons-conv)

```

```

lemma T-SKIP : ⟨T SKIP = {[], [✓]}⟩
  by (simp add: SKIP.rep-eq)

```

```

lift-definition STOP :: ⟨'a process⟩ is ⟨{[]}⟩
  by (simp add: is-process-def)

```

```

lemma T-STOP : ⟨T STOP = {[]}⟩
  by (simp add: STOP.rep-eq)

```

```

lift-definition Sup-processes :: 
  ⟨(nat ⇒ 'a process) ⇒ 'a process⟩ is ⟨λσ. ⋂ i. T (σ i)⟩
proof –

```

```

show ⟨is-process ( $\bigcap i. \mathcal{T}(\sigma i)$ )⟩ for  $\sigma :: \text{nat} \Rightarrow \text{'a process}$ 
proof (unfold is-process-def, intro conjI allI impI)
  show ⟨[] ∈ ( $\bigcap i. \mathcal{T}(\sigma i)$ )⟩ by (simp add: Nil-elem-T)
next
  show ⟨ $t \in (\bigcap i. \mathcal{T}(\sigma i)) \implies ftF t$ ⟩ for  $t$ 
    by (auto intro: front-tickFree-T)
next
  show ⟨ $t @ u \in (\bigcap i. \mathcal{T}(\sigma i)) \implies t \in (\bigcap i. \mathcal{T}(\sigma i))$ ⟩ for  $t u$ 
    by (auto intro: T-dw-closed)
qed
qed

```

**lemma**  $T\text{-Sup-processes} : \langle \mathcal{T}(\text{Sup-processes } \sigma) = (\bigcap i. \mathcal{T}(\sigma i)) \rangle$   
**by** (simp add: Sup-processes.rep-eq)

### 9.3 Instantiations

```

instantiation process :: (type) order
begin

definition less-eq-process :: ⟨'a process ⇒ 'a process ⇒ bool⟩
  where ⟨ $P \leq Q \equiv \mathcal{T} Q \subseteq \mathcal{T} P$ ⟩

definition less-process :: ⟨'a process ⇒ 'a process ⇒ bool⟩
  where ⟨ $P < Q \equiv \mathcal{T} Q \subset \mathcal{T} P$ ⟩

instance
  by intro-classes
  (auto simp add: less-eq-process-def less-process-def process-eq-spec)

end

instantiation process :: (type) order-restriction-space
begin

lift-definition restriction-process :: ⟨'a process ⇒ nat ⇒ 'a process⟩
  is ⟨ $\lambda P n. \mathcal{T} P \cup \{t @ u \mid t u. t \in \mathcal{T} P \wedge \text{length } t = n \wedge tF t \wedge ftF u\}$ ⟩
proof –
  show ⟨?thesis P n⟩ (is ⟨is-process ?t⟩) for  $P n$ 
  proof (unfold is-process-def, intro conjI allI impI)
    show ⟨[] ∈ ?t⟩ by (simp add: Nil-elem-T)
  next
    show ⟨ $t \in ?t \implies ftF t$ ⟩ for  $t$ 
      by (auto simp add: front-tickFree-append-iff front-tickFree-T)
  next
    fix  $t u$  assume ⟨ $t @ u \in ?t$ ⟩
    then consider ⟨ $t @ u \in \mathcal{T} P$ ⟩

```

```

| (@)  $t' u'$  where  $\langle t @ u = t' @ u' \rangle \langle t' \in \mathcal{T} P \rangle$ 
   $\langle \text{length } t' = n \rangle \langle tF t' \rangle \langle ftF u' \rangle$  by blast
thus  $\langle t \in ?t \rangle$ 
proof cases
  from  $T\text{-dw-closed}$  show  $\langle t @ u \in \mathcal{T} P \implies t \in ?t \rangle$  by blast
next
  case @
  show  $\langle t \in ?t \rangle$ 
  proof (cases  $\langle \text{length } t \leq \text{length } t' \rangle$ )
    assume  $\langle \text{length } t \leq \text{length } t' \rangle$ 
    with @() obtain  $t''$  where  $\langle t' = t @ t'' \rangle$ 
      by (metis append-eq-append-conv-if append-eq-conv-conj)
    with @()  $T\text{-dw-closed}$  show  $\langle t \in ?t \rangle$  by blast
  next
    assume  $\neg \langle \text{length } t \leq \text{length } t' \rangle$ 
    hence  $\langle \text{length } t' \leq \text{length } t \rangle$  by simp
    with @(), @()  $\neg \langle \text{length } t \leq \text{length } t' \rangle$ 
    obtain  $t''$  where  $\langle t = t' @ t'' \rangle \langle ftF t'' \rangle$ 
      by (metis append-eq-conv-conj drop-eq-Nil front-tickFree-append
           front-tickFree-dw-closed front-tickFree-nonempty-append-imp
           take-all-iff take-append)
    with @(), @() show  $\langle t \in ?t \rangle$  by blast
  qed
qed
qed
qed
qed

lemma  $T\text{-restriction-process} :$ 
 $\langle \mathcal{T} (P \downarrow n) = \mathcal{T} P \cup \{t @ u \mid t \in \mathcal{T} P \wedge \text{length } t = n \wedge tF t \wedge ftF u\} \rangle$ 
by (simp add: restriction-process.rep-eq)

lemma  $\text{restriction-process-0} [\text{simp}] : \langle P \downarrow 0 = \text{BOT} \rangle$ 
by transfer (auto simp add: front-tickFree-T Nil-elem-T)

lemma  $T\text{-restriction-processE} :$ 
 $\langle t \in \mathcal{T} (P \downarrow n) \implies$ 
 $(t \in \mathcal{T} P \implies \text{length } t \leq n \implies \text{thesis}) \implies$ 
 $(\bigwedge_u v. t = u @ v \implies u \in \mathcal{T} P \implies \text{length } u = n \implies tF u \implies ftF v \implies \text{thesis}) \implies$ 
 $\text{thesis}$ 
by (simp add: T-restriction-process)
(metis (no-types) T-dw-closed append-take-drop-id drop-eq-Nil
  front-tickFree-T front-tickFree-nonempty-append-imp length-take
  min-def)

```

instance

```

proof intro-classes
  show  $\langle P \downarrow 0 \leq Q \downarrow 0 \rangle$  for  $P Q :: \langle 'a process \rangle$  by simp
next
  show  $\langle P \downarrow n \downarrow m = P \downarrow \min n m \rangle$  for  $P :: \langle 'a process \rangle$  and  $n m$ 
  proof (subst process-eq-spec, intro subset-antisym subsetI)
    show  $\langle t \in \mathcal{T}(P \downarrow n \downarrow m) \implies t \in \mathcal{T}(P \downarrow \min n m) \rangle$  for  $t$ 
      by (elim T-restriction-processE)
      (auto simp add: T-restriction-process intro: front-tickFree-append)
next
  show  $\langle t \in \mathcal{T}(P \downarrow \min n m) \implies t \in \mathcal{T}(P \downarrow n \downarrow m) \rangle$  for  $t$ 
    by (elim T-restriction-processE)
    (auto simp add: T-restriction-process min-def split: if-split-asm)
qed
next
  show  $\langle P \leq Q \implies P \downarrow n \leq Q \downarrow n \rangle$  for  $P Q :: \langle 'a process \rangle$  and  $n$ 
    by (auto simp add: less-eq-process-def T-restriction-process)
next
  fix  $P Q :: \langle 'a process \rangle$  assume  $\neg P \leq Q$ 
  then obtain  $t$  where  $\langle t \in \mathcal{T} Q \rangle \langle t \notin \mathcal{T} P \rangle$ 
    unfolding less-eq-process-def by blast
  hence  $\langle t \in \mathcal{T}(Q \downarrow \text{Suc}(\text{length } t)) \wedge t \notin \mathcal{T}(P \downarrow \text{Suc}(\text{length } t)) \rangle$ 
    by (auto simp add: T-restriction-process)
  hence  $\neg P \downarrow \text{Suc}(\text{length } t) \leq Q \downarrow \text{Suc}(\text{length } t)$ 
    unfolding less-eq-process-def by blast
  thus  $\exists n. \neg P \downarrow n \leq Q \downarrow n$  ..
qed

```

Of course, we recover the structure of *restriction-space*.

```

lemma OFCLASS ('a process, restriction-space-class)
  by intro-classes

end

lemma restricted-Sup-processes-is :
   $\langle (\lambda n. \text{Sup-processes } \sigma \downarrow n) = \sigma \rangle$  if  $\langle \text{restriction-chain } \sigma \rangle$ 
proof (subst (2) restricted-restriction-chain-is
  [OF ⟨restriction-chain σ⟩, symmetric], rule ext)
  fix  $n$ 
  have  $\langle \text{length } t \leq n \implies t \in \mathcal{T}(\sigma n) \iff (\forall i. t \in \mathcal{T}(\sigma i)) \rangle$  for  $t n$ 
  proof safe
    show  $\langle t \in \mathcal{T}(\sigma i) \rangle$  if  $\langle \text{length } t \leq n \rangle \langle t \in \mathcal{T}(\sigma n) \rangle$  for  $i$ 
    proof (cases  $i \leq n$ )
      from restriction-chain-def-ter[THEN iffD1, OF ⟨restriction-chain σ⟩]
      show  $\langle i \leq n \implies t \in \mathcal{T}(\sigma i) \rangle$ 
        by (metis (lifting) ⟨t ∈ T(σ n)⟩ T-restriction-process Un-iff)
    next
    from  $\langle \text{length } t \leq n \rangle \langle t \in \mathcal{T}(\sigma n) \rangle$  show  $\neg i \leq n \implies t \in \mathcal{T}(\sigma$ 

```

```

 $i\rangle$ 
by (induct n, simp-all add: Nil-elem-T)
      (metis (no-types) T-restriction-processE
       append-eq-conv-conj linorder-linear take-all-iff
       restriction-chain-def-ter[THEN iffD1, OF ⟨restriction-chain
 $\sigma\rangle]$ )
qed
next
  show ⟨ $\forall i. t \in \mathcal{T}(\sigma i) \implies t \in \mathcal{T}(\sigma n)$ ⟩ by simp
qed
  hence * : ⟨length t  $\leq n \implies t \in \mathcal{T}(\sigma n) \longleftrightarrow t \in \mathcal{T}(Sup\text{-processes}$ 
 $\sigma)$ ⟩ for t n
    by (simp add: T-Sup-processes)

  show ⟨ $Sup\text{-processes } \sigma \downarrow n = \sigma(n \downarrow n)$ ⟩ for n
  proof (subst process-eq-spec, intro subset-antisym subsetI)
    show ⟨ $t \in \mathcal{T}(Sup\text{-processes } \sigma \downarrow n) \implies t \in \mathcal{T}(\sigma(n \downarrow n))$ ⟩ for t
    proof (elim T-restriction-processE)
      show ⟨ $t \in \mathcal{T}(Sup\text{-processes } \sigma) \implies length t \leq n \implies t \in \mathcal{T}(\sigma(n \downarrow n))$ ⟩
        by (simp add: * T-restriction-process)
    next
      show ⟨[t = u @ v; u  $\in \mathcal{T}(Sup\text{-processes } \sigma); length u = n; tF u;$ 
       $tF v]$  ⟩
         $\implies t \in \mathcal{T}(\sigma(n \downarrow n))$  for u v
        by (auto simp add: * T-restriction-process)
    qed
  next
    from * show ⟨ $t \in \mathcal{T}(\sigma(n \downarrow n)) \implies t \in \mathcal{T}(Sup\text{-processes } \sigma \downarrow n)$ ⟩
    for t
    by (elim T-restriction-processE)
      (auto simp add: T-restriction-process)
  qed
qed

instance process :: (type) complete-restriction-space
proof intro-classes
  show ⟨restriction-convergent σ⟩ if ⟨restriction-chain σ⟩
    for σ :: ⟨nat ⇒ 'a process⟩
  proof (rule restriction-convergentI)
    have ⟨ $\sigma = (\lambda n. Sup\text{-processes } \sigma \downarrow n)$ ⟩
      by (simp add: restricted-Sup-processes-is ⟨restriction-chain σ⟩)
    moreover have ⟨ $(\lambda n. Sup\text{-processes } \sigma \downarrow n) \dashrightarrow Sup\text{-processes } \sigma$ ⟩
      by (fact restriction-tendsto-restrictions)
    ultimately show ⟨ $\sigma \dashrightarrow Sup\text{-processes } \sigma$ ⟩ by simp
  qed
qed

```

## 9.4 Operators

**lift-definition**  $\text{Choice} :: \langle 'a \text{ process} \Rightarrow 'a \text{ process} \Rightarrow 'a \text{ process} \rangle$  (**infixl**  
 $\langle \square \rangle$  82)  
**is**  $\langle \lambda P Q. \mathcal{T} P \cup \mathcal{T} Q \rangle$   
**by** (auto simp add: is-process-def Nil-elem-T front-tickFree-T intro:  
 $T\text{-dw-closed}$ )

**lemma**  $T\text{-}\text{Choice} : \langle \mathcal{T} (P \square Q) = \mathcal{T} P \cup \mathcal{T} Q \rangle$   
**by** (simp add: Choice.rep-eq)

**lift-definition**  $\text{GlobalChoice} :: \langle 'b \text{ set}, 'b \Rightarrow 'a \text{ process} \rangle \Rightarrow 'a \text{ process}$   
**is**  $\langle \lambda A P. \text{if } A = \{\} \text{ then } \{\} \text{ else } \bigcup_{a \in A} \mathcal{T} (P a) \rangle$   
**by** (auto simp add: is-process-def Nil-elem-T front-tickFree-T intro:  
 $T\text{-dw-closed}$ )

**syntax**  $\text{-}\text{GlobalChoice} :: \langle \text{pttrn}, 'b \text{ set}, 'a \text{ process} \rangle \Rightarrow 'a \text{ process}$   
 $\langle \cdot (\exists \square ((-)/\in (-)). / (-)) \rangle [78, 78, 77] 77$   
**syntax-consts**  $\text{-}\text{GlobalChoice} \Leftarrow \text{GlobalChoice}$   
**translations**  $\square a \in A. P \Leftarrow \text{CONST GlobalChoice } A (\lambda a. P)$

**lemma**  $T\text{-}\text{GlobalChoice} : \langle \mathcal{T} (\square a \in A. P a) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \bigcup_{a \in A} \mathcal{T} (P a)) \rangle$   
**by** (simp add: GlobalChoice.rep-eq)

**lift-definition**  $\text{Seq} :: \langle 'a \text{ process} \Rightarrow 'a \text{ process} \Rightarrow 'a \text{ process} \rangle$  (**infixl**  
 $\langle ; \rangle$  74)  
**is**  $\langle \lambda P Q. \{t \in \mathcal{T} P. tF t\} \cup \{t @ u \mid t u. t @ [\checkmark] \in \mathcal{T} P \wedge u \in \mathcal{T} Q\} \rangle$   
**by** (auto simp add: is-process-def Nil-elem-T append-eq-append-conv2  
intro: T-dw-closed)  
 $(\text{metis front-tickFree-T front-tickFree-append-iff}$   
 $\text{front-tickFree-dw-closed not-Cons-self,}$   
 $\text{meson front-tickFree-append-iff is-process-inv snoc-eq-iff-butlast})$

**lemma**  $T\text{-}\text{Seq} : \langle \mathcal{T} (P ; Q) = \{t \in \mathcal{T} P. tF t\} \cup \{t @ u \mid t u. t @ [\checkmark] \in \mathcal{T} P \wedge u \in \mathcal{T} Q\} \rangle$   
**by** (simp add: Seq.rep-eq)

**lift-definition**  $\text{Renaming} :: \langle 'a \text{ process}, 'a \Rightarrow 'b \rangle \Rightarrow 'b \text{ process}$   
**is**  $\langle \lambda P f. \{ \text{map} (\text{map-event } f) u \mid u. u \in \mathcal{T} P \} \rangle$   
**by** (auto simp add: is-process-def Nil-elem-T front-tickFree-map-map-event-iff  
front-tickFree-T append-eq-map-conv intro: T-dw-closed)

**lemma**  $T\text{-}\text{Renaming} : \langle \mathcal{T} (\text{Renaming } P f) = \{ \text{map} (\text{map-event } f) u \mid u. u \in \mathcal{T} P \} \rangle$   
**by** (simp add: Renaming.rep-eq)

```

lift-definition Mprefix :: <['a set, 'a ⇒ 'a process] ⇒ 'a process>
  is ⟨λA P. insert [] {ev a # t | a t. a ∈ A ∧ t ∈ T (P a)}⟩
  by (auto simp add: is-process-def front-tickFree-Cons-iff
    front-tickFree-T append-eq-Cons-conv intro: T-dw-closed)

syntax -Mprefix :: <[pttrn, 'a set, 'a process] ⇒ 'a process>
  ((3□((-)/∈(-))/ → (-))> [78,78,77] 77)
syntax-consts -Mprefix ≡ Mprefix
translations □a∈A → P ≈ CONST Mprefix A (λa. P)

lemma T-Mprefix : <T (□a ∈ A → P a) = insert [] {ev a # t | a t. a
  ∈ A ∧ t ∈ T (P a)}⟩
  by (simp add: Mprefix.rep-eq)

fun setinterleaving :: <'a trace × 'a set × 'a trace ⇒ 'a trace set>
  where Nil-setinterleaving-Nil : <setinterleaving ([] , A , []) = {}>
  | ev-setinterleaving-Nil :
    <setinterleaving (ev a # u , A , []) =
      (if a ∈ A then {} else {ev a # t | t. t ∈ setinterleaving (u , A ,
        [])}))>
  | tick-setinterleaving-Nil : <setinterleaving (✓ # u , A , []) = {}>

  | Nil-setinterleaving-ev :
    <setinterleaving ([] , A , ev b # v) =
      (if b ∈ A then {} else {ev b # t | t. t ∈ setinterleaving ([] , A ,
        v)}))>
  | Nil-setinterleaving-tick : <setinterleaving ([] , A , ✓ # v) = {}>

  | ev-setinterleaving-ev :
    <setinterleaving (ev a # u , A , ev b # v) =
      ( if a ∈ A
        then if b ∈ A
          then if a = b
            then {ev a # t | t. t ∈ setinterleaving (u , A , v)}
            else {}
          else {ev b # t | t. t ∈ setinterleaving (ev a # u , A , v)}
        else if b ∈ A then {ev a # t | t. t ∈ setinterleaving (u , A , ev
          b # v)}
        else {ev a # t | t. t ∈ setinterleaving (u , A , ev b # v)} ∪
          {ev b # t | t. t ∈ setinterleaving (ev a # u , A , v)})>
  | ev-setinterleaving-tick :

```

```

⟨setinterleaving (ev a # u, A, ✓ # v) =
  (if a ∈ A then {} else {ev a # t |t. t ∈ setinterleaving (u, A,
✓ # v)})⟩
|   tick-setinterleaving-ev :
  ⟨setinterleaving (✓ # u, A, ev b # v) =
    (if b ∈ A then {} else {ev b # t |t. t ∈ setinterleaving (✓ # u,
A, v)})⟩
|   tick-setinterleaving-tick :
  ⟨setinterleaving (✓ # u, A, ✓ # v) = {✓ # t |t. t ∈ setinterleaving
(u, A, v)}⟩

```

**lemmas** setinterleaving-induct  
[case-names Nil-setinterleaving-Nil ev-setinterleaving-Nil tick-setinterleaving-Nil  
Nil-setinterleaving-ev Nil-setinterleaving-tick ev-setinterleaving-ev  
ev-setinterleaving-tick tick-setinterleaving-ev tick-setinterleaving-tick]  
= setinterleaving.induct

**lemma** Cons-setinterleaving-Nil :  
⟨setinterleaving (e # u, A, []) =  
(case e of ev a ⇒ ( if a ∈ A then {}  
else {ev a # t |t. t ∈ setinterleaving (u, A, [])}))  
| ✓ ⇒ {}))  
by (cases e) simp-all

**lemma** Nil-setinterleaving-Cons :  
⟨setinterleaving ([] , A, e # v) =  
(case e of ev a ⇒ ( if a ∈ A then {}  
else {ev a # t |t. t ∈ setinterleaving ([] , A, v)}))  
| ✓ ⇒ {}))  
by (cases e) simp-all

**lemma** Cons-setinterleaving-Cons :  
⟨setinterleaving (e # u, A, f # v) =  
(case e of ev a ⇒  
(case f of ev b ⇒  
if a ∈ A  
then if b ∈ A  
then if a = b  
then {ev a # t |t. t ∈ setinterleaving (u, A, v)}  
else {}  
else {ev b # t |t. t ∈ setinterleaving (ev a # u, A, v)})  
else if b ∈ A then {ev a # t |t. t ∈ setinterleaving (u, A, ev b  
# v)}  
else {ev a # t |t. t ∈ setinterleaving (u, A, ev b # v)} ∪  
{ev b # t |t. t ∈ setinterleaving (ev a # u, A, v)}

```

| ✓ ⇒ if a ∈ A then {}
  else {ev a # t | t. t ∈ setinterleaving (u, A, ✓ # v)})

| ✓ ⇒
(case f of ev b ⇒ if b ∈ A then {}
  else {ev b # t | t. t ∈ setinterleaving (✓ # u, A, v)})

| ✓ ⇒ {✓ # t | t. t ∈ setinterleaving (u, A, v))})
by (cases e; cases f) simp-all

```

**lemmas** *setinterleaving-simps* =  
*Cons-setinterleaving-Nil Nil-setinterleaving-Cons Cons-setinterleaving-Cons*

**abbreviation** *setinterleaves* ::

```

⟨['a trace, 'a trace, 'a trace, 'a set] ⇒ bool⟩
(⟨(- / (setinterleaves) / '(()'(-, -')(), -'))⟩ [63,0,0,0] 64)
where ⟨t setinterleaves ((u, v), A) ≡ t ∈ setinterleaving (u, A, v)⟩

```

**lemma** *tickFree-setinterleaves-iff* :

```

⟨t setinterleaves ((u, v), A) ⇒ tF t ↔ tF u ∧ tF v⟩
by (induct ⟨(u, A, v)⟩ arbitrary: t u v rule: setinterleaving-induct)
  (auto split: if-split-asm)

```

**lemma** *setinterleaves-tickFree-imp* :

```

⟨tF u ∨ tF v ⇒ t setinterleaves ((u, v), A) ⇒ tF t ∧ tF u ∧ tF v⟩
by (elim disjE; induct ⟨(u, A, v)⟩ arbitrary: t u v rule: setinterleaving-induct)
  (auto split: if-split-asm)

```

**lemma** *setinterleaves-NilL-iff* :

```

⟨t setinterleaves (([], v), A) ↔
  tF v ∧ set v ∩ ev ‘A = {} ∧ t = map ev (map of-ev v)⟩
by (induct ⟨([] :: 'a trace, A, v)⟩ arbitrary: t v rule: setinterleaving-induct)
  (auto split: if-split-asm)

```

**lemma** *setinterleaves-NilR-iff* :

```

⟨t setinterleaves ((u, []), A) ↔
  tF u ∧ set u ∩ ev ‘A = {} ∧ t = map ev (map of-ev u)⟩
by (induct ⟨(u, A, [] :: 'a trace)⟩
  arbitrary: t u rule: setinterleaving-induct)
  (auto split: if-split-asm)

```

**lemma** *Nil-setinterleaves* :  
 $\langle \square \text{ setinterleaves } ((u, v), A) \Rightarrow u = \square \wedge v = \square \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $u v$  *rule*: *setinterleaving-induct*)  
(*simp-all split*: *if-split-asm*)

**lemma** *front-tickFree-setinterleaves-iff* :  
 $\langle t \text{ setinterleaves } ((u, v), A) \Rightarrow ftF t \longleftrightarrow ftF u \wedge ftF v \rangle$   
**proof** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)  
**case** (*tick-setinterleaving-tick*  $u v$ ) **thus**  $?case$   
**by** (*simp add*: *split*: *if-split-asm*)  
(*metis Nil-setinterleaves Nil-setinterleaving-Nil front-tickFree-Cons-iff singletonD*)  
**qed** (*simp add*: *setinterleaves-NilL-iff setinterleaves-NilR-iff split*: *if-split-asm*;  
*metis event.simps(3) front-tickFree-Cons-iff front-tickFree-Nil*) +

**lemma** *setinterleaves-snoc-notinL* :  
 $\langle t \text{ setinterleaves } ((u, v), A) \Rightarrow a \notin A \Rightarrow$   
 $t @ [ev a] \text{ setinterleaves } ((u @ [ev a], v), A) \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)  
(*auto split*: *if-split-asm*)

**lemma** *setinterleaves-snoc-notinR* :  
 $\langle t \text{ setinterleaves } ((u, v), A) \Rightarrow a \notin A \Rightarrow$   
 $t @ [ev a] \text{ setinterleaves } ((u, v @ [ev a]), A) \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)  
(*auto split*: *if-split-asm*)

**lemma** *setinterleaves-snoc-inside* :  
 $\langle t \text{ setinterleaves } ((u, v), A) \Rightarrow a \in A \Rightarrow$   
 $t @ [ev a] \text{ setinterleaves } ((u @ [ev a], v @ [ev a]), A) \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)  
(*auto split*: *if-split-asm*)

**lemma** *setinterleaves-snoc-tick* :  
 $\langle t \text{ setinterleaves } ((u, v), A) \Rightarrow t @ [\checkmark] \text{ setinterleaves } ((u @ [\checkmark], v @ [\checkmark]), A) \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)  
(*auto split*: *if-split-asm*)

**lemma** *Cons-tick-setinterleavesE* :  
 $\langle \checkmark \# t \text{ setinterleaves } ((u, v), A) \Rightarrow$   
 $(\bigwedge u' v' r s. \llbracket u = \checkmark \# u'; v = \checkmark \# v'; t \text{ setinterleaves } ((u', v'), A) \rrbracket$   
 $\Rightarrow \text{thesis} \Rightarrow \text{thesis} \rangle$   
**by** (*induct*  $\langle (u, A, v) \rangle$  *arbitrary*:  $t u v$  *rule*: *setinterleaving-induct*)

```

(simp-all split: if-split-asm)

lemma Cons-ev-setinterleavesE :
  ⟨ev a # t setinterleaves ((u, v), A) ⟩
  ⟹ (A u'. a ∉ A ⟹ u = ev a # u' ⟹ t setinterleaves ((u', v), A)) ⟹
thesis) ⟹
  (A v'. a ∉ A ⟹ v = ev a # v' ⟹ t setinterleaves ((u, v'), A)) ⟹
thesis) ⟹
  (A u' v'. a ∈ A ⟹ u = ev a # u' ⟹ v = ev a # v' ⟹
  t setinterleaves ((u', v'), A) ⟹ thesis) ⟹ thesis
proof (induct ⟨(u, A, v)⟩ arbitrary: u v t rule: setinterleaving-induct)
  case Nil-setinterleaving-Nil thus ?case by simp
next
  case (ev-setinterleaving-Nil b u)
  from ev-setinterleaving-Nil.prems(1) show ?case
    by (simp add: ev-setinterleaving-Nil.prems(2) split: if-split-asm)
next
  case (tick-setinterleaving-Nil r u) thus ?case by simp
next
  case (Nil-setinterleaving-ev c v)
  from Nil-setinterleaving-ev.prems(1) show ?case
    by (simp add: Nil-setinterleaving-ev.prems(3) split: if-split-asm)
next
  case (Nil-setinterleaving-tick s v) thus ?case by simp
next
  case (ev-setinterleaving-ev b u c v)
  from ev-setinterleaving-ev.prems(1) show ?case
    by (simp add: ev-setinterleaving-ev.prems(2, 3, 4) split: if-split-asm)
      (use ev-setinterleaving-ev.prems(2, 3) in presburger)
next
  case (ev-setinterleaving-tick b u s v)
  from ev-setinterleaving-tick.prems(1) show ?case
    by (simp add: ev-setinterleaving-tick.prems(2) split: if-split-asm)
next
  case (tick-setinterleaving-ev r u c v)
  from tick-setinterleaving-ev.prems(1) show ?case
    by (simp add: tick-setinterleaving-ev.prems(3) split: if-split-asm)
next
  case (tick-setinterleaving-tick u v) thus ?case by simp
qed

```

```

lemma rev-setinterleaves-rev-rev-iff :
  ⟨rev t setinterleaves ((rev u, rev v), A)
  ⟷ t setinterleaves ((u, v), A)⟩
proof (rule iffI)
  show ⟨t setinterleaves ((u, v), A) ⟹
  rev t setinterleaves ((rev u, rev v), A)⟩ for t u v
  by (induct ⟨(u, A, v)⟩ arbitrary: t u v rule: setinterleaving-induct)

```

```

(auto simp add: setinterleaves-snoc-notinL setinterleaves-snoc-notinR
  setinterleaves-snoc-inside setinterleaves-snoc-tick split: if-split-asm)
from this[of ‹rev t› ‹rev u› ‹rev v›, simplified]
show ‹rev t setinterleaves ((rev u, rev v), A) ⟹
  t setinterleaves ((u, v), A) .
```

**qed**

**lemma** setinterleaves-preserves-ev-notin-set :

```

  ‹[ev a ∉ set u; ev a ∉ set v; t setinterleaves ((u, v), A)] ⟹ ev a ∉
  set t›
by (induct ‹(u, A, v)› arbitrary: t u v rule: setinterleaving-induct)
  (auto split: if-split-asm)
```

**lemma** setinterleaves-preserves-ev-inside-set :

```

  ‹[ev a ∈ set u; ev a ∈ set v; t setinterleaves ((u, v), A)] ⟹ ev a ∈
  set t›
proof (induct ‹(u, A, v)› arbitrary: t u v rule: setinterleaving-induct)
  case Nil-setinterleaving-Nil
  then show ?case by simp
next
  case (ev-setinterleaving-Nil a u)
  then show ?case by simp
next
  case (tick-setinterleaving-Nil u)
  then show ?case by simp
next
  case (Nil-setinterleaving-ev b v)
  then show ?case by simp
next
  case (Nil-setinterleaving-tick v)
  then show ?case by simp
next
  case (ev-setinterleaving-ev a u b v)
  from ev-setinterleaving-ev.preds show ?case
  by (simp-all split: if-split-asm)
    (insert ev-setinterleaving-ev.hyps; metis list.set-intros(1,2))+
```

**next**

```

  case (ev-setinterleaving-tick a u v)
  then show ?case by (auto split: if-split-asm)
next
  case (tick-setinterleaving-ev u b v)
  then show ?case by (auto split: if-split-asm)
next
  case (tick-setinterleaving-tick u v)
  then show ?case by auto
qed
```

```

lemma ev-notin-both-sets-imp-empty-setinterleaving :
  ‹[ev a ∈ set u ∧ ev a ∉ set v ∨ ev a ∉ set u ∧ ev a ∈ set v; a ∈ A]›
   $\implies$ 
    setinterleaving (u, A, v) = {}
  by (induct ‹(u, A, v)› arbitrary: u v rule: setinterleaving-induct)
    (simp-all, safe, auto)

lemma append-setinterleaves-imp :
  ‹t setinterleaves ((u, v), A)  $\implies$  t' ≤ t  $\implies$ 
  ‹ $\exists u' \leq u. \exists v' \leq v. t' \text{ setinterleaves } ((u', v'), A)$ ›
proof (induct ‹(u, A, v)› arbitrary: t u v t' rule: setinterleaving-induct)
  case Nil-setinterleaving-Nil thus ?case by auto
next
  case (ev-setinterleaving-Nil a u)
  from ev-setinterleaving-Nil.preds
  obtain w w' where ‹a ∉ A› ‹t = ev a # w› ‹t' = [] ∨ t' = ev a # w'›
    ‹w' ≤ w› ‹w setinterleaves ((u, []), A)›
    by (simp split: if-split-asm) (metis (no-types) Prefix-Order.prefix-Cons
      Nil-prefix)
    from ‹t' = [] ∨ t' = ev a # w'› show ?case
    proof (elim disjE)
      show ‹t' = []  $\implies$  ?case by auto
    next
      assume ‹t' = ev a # w'›
      from ev-setinterleaving-Nil.hyps[OF ‹a ∉ A› ‹w setinterleaves ((u,
        []), A)› ‹w' ≤ w›]
      obtain u' v' where ‹u' ≤ u ∧ v' ≤ [] ∧ w' setinterleaves ((u', v'),
        A)› by blast
        hence ‹ev a # u' ≤ ev a # u ∧ v' ≤ [] ∧ t' setinterleaves ((ev a
          # u', v'), A)›
        by (auto simp add: ‹a ∉ A› ‹t' = ev a # w'›)
        thus ?case by blast
      qed
    next
      case (tick-setinterleaving-Nil r u) thus ?case by simp
    next
      case (Nil-setinterleaving-ev b v)
      from Nil-setinterleaving-ev.preds
      obtain w w' where ‹b ∉ A› ‹t = ev b # w› ‹t' = [] ∨ t' = ev b # w'›
        ‹w' ≤ w› ‹w setinterleaves (([], v), A)›
        by (simp split: if-split-asm) (metis (no-types) Prefix-Order.prefix-Cons
          Nil-prefix)
        from ‹t' = [] ∨ t' = ev b # w'› show ?case
        proof (elim disjE)

```

```

show ‹t' = [] ⟹ ?case› by auto
next
  assume ‹t' = ev b # w'›
  from Nil-setinterleaving-ev.hyps[OF ‹b ∉ A› ‹w setinterleaves (([], v), A)› ‹w' ≤ w›]
  obtain u' v' where ‹u' ≤ [] ∧ v' ≤ v ∧ w' setinterleaves ((u', v'), A)› by blast
    hence ‹u' ≤ [] ∧ ev b # v' ≤ ev b # v ∧ t' setinterleaves ((u', ev b # v'), A)›
      by (auto simp add: ‹b ∉ A› ‹t' = ev b # w'›)
    thus ?case by blast
  qed
next
  case (Nil-setinterleaving-tick s v) thus ?case by simp
next
  case (ev-setinterleaving-ev a u b v)
  from ev-setinterleaving-ev.preds
  consider ‹t' = []›
    | (both-in) w w' where ‹a ∈ A› ‹b ∈ A› ‹a = b› ‹t = ev a # w›
    | (inR-mvL) w w' where ‹a ∉ A› ‹b ∈ A› ‹t = ev a # w› ‹t' = ev a # w'›
    | (inL-mvR) w w' where ‹a ∈ A› ‹b ∉ A› ‹t = ev b # w› ‹t' = ev b # w'›
    | (notin-mvL) w w' where ‹a ∉ A› ‹b ∉ A› ‹t = ev a # w› ‹t' = ev a # w'›
    | (notin-mvR) w w' where ‹a ∉ A› ‹b ∉ A› ‹t = ev b # w› ‹t' = ev b # w'›
    | (w setinterleaves ((ev a # u, v), A)) ‹w' ≤ w›
    | (notin-mvL) w w' where ‹a ∉ A› ‹b ∉ A› ‹t = ev a # w› ‹t' = ev a # w'›
    | (notin-mvR) w w' where ‹a ∉ A› ‹b ∉ A› ‹t = ev b # w› ‹t' = ev b # w'›
    | (w setinterleaves ((ev a # u, v), A)) ‹w' ≤ w›
      by (cases t') (auto split: if-split-asm)
    thus ?case
  proof cases
    from Nil-setinterleaving-Nil show ‹t' = [] ⟹ ?thesis› by blast
  next
    case both-in
    from ev-setinterleaving-ev(1)[OF both-in(1–3, 6–7)]
    obtain u' v' where ‹u' ≤ u ∧ v' ≤ v ∧ w' setinterleaves ((u', v'), A)› by blast
      hence ‹ev a # u' ≤ ev a # u ∧ ev b # v' ≤ ev b # v ∧ t' setinterleaves ((ev a # u', ev b # v'), A)›
        by (auto simp add: both-in(2, 3) ‹t' = ev a # w'›)
      thus ?thesis by blast
  next
    case inR-mvL
    from ev-setinterleaving-ev(3)[OF inR-mvL(1, 2, 5, 6)]

```

```

obtain  $u' v'$  where  $\langle u' \leq u \wedge v' \leq ev b \# v \wedge w' \text{ setinterleaves } ((u', v'), A) \rangle$  by blast
  hence  $\langle ev a \# u' \leq ev a \# u \wedge v' \leq ev b \# v \wedge t' \text{ setinterleaves } ((ev a \# u', v'), A) \rangle$ 
    by (cases  $v'$ ) (auto simp add: inR-mvL(1, 4))
  thus ?thesis by blast
next
case inL-mvR
from ev-setinterleaving-ev(2)[OF inL-mvR(1, 2, 5, 6)]
obtain  $u' v'$  where  $\langle u' \leq ev a \# u \wedge v' \leq v \wedge w' \text{ setinterleaves } ((u', v'), A) \rangle$  by blast
  hence  $\langle u' \leq ev a \# u \wedge ev b \# v' \leq ev b \# v \wedge t' \text{ setinterleaves } ((u', ev b \# v'), A) \rangle$ 
    by (cases  $u'$ ) (auto simp add: inL-mvR(2, 4))
  thus ?thesis by blast
next
case notin-mvL
from ev-setinterleaving-ev(4)[OF notin-mvL(1, 2, 5, 6)]
obtain  $u' v'$  where  $\langle u' \leq u \wedge v' \leq ev b \# v \wedge w' \text{ setinterleaves } ((u', v'), A) \rangle$  by blast
  hence  $\langle ev a \# u' \leq ev a \# u \wedge v' \leq ev b \# v \wedge t' \text{ setinterleaves } ((ev a \# u', v'), A) \rangle$ 
    by (cases  $v'$ ) (auto simp add: notin-mvL(1, 4))
  thus ?thesis by blast
next
case notin-mvR
from ev-setinterleaving-ev(5)[OF notin-mvR(1, 2, 5, 6)]
obtain  $u' v'$  where  $\langle u' \leq ev a \# u \wedge v' \leq v \wedge w' \text{ setinterleaves } ((u', v'), A) \rangle$  by blast
  hence  $\langle u' \leq ev a \# u \wedge ev b \# v' \leq ev b \# v \wedge t' \text{ setinterleaves } ((u', ev b \# v'), A) \rangle$ 
    by (cases  $u'$ ) (auto simp add: notin-mvR(2, 4))
  thus ?thesis by blast
qed
next
case (ev-setinterleaving-tick a u v)
from ev-setinterleaving-tick.prems
obtain  $w w'$  where  $\langle a \notin A \wedge t = ev a \# w \wedge t' = [] \vee t' = ev a \# w' \rangle$ 
   $\langle w' \leq w \wedge w \text{ setinterleaves } ((u, \checkmark \# v), A) \rangle$ 
  by (simp split: if-split-asm) (metis (no-types) Prefix-Order.prefix-Cons Nil-prefix)
from  $\langle t' = [] \vee t' = ev a \# w' \rangle$  show ?case
proof (elim disjE)
  from Nil-setinterleaving-Nil show  $\langle t' = [] \implies ?case \rangle$  by blast
next
assume  $\langle t' = ev a \# w' \rangle$ 
from ev-setinterleaving-tick.hyps[OF ⟨a ∈ A⟩ ⟨w setinterleaves ((u, ✓ # v), A)⟩ ⟨w' ≤ w⟩]

```

```

obtain u' v' where <u' ≤ u ∧ v' ≤ ✓ # v ∧ w' setinterleaves ((u',
v'), A) by blast
  hence <ev a # u' ≤ ev a # u ∧ v' ≤ ✓ # v ∧ t' setinterleaves
((ev a # u', v'), A)
    by (cases v') (simp-all add: <a ∉ A> <t' = ev a # w'>)
    thus ?case by blast
qed
next
  case (tick-setinterleaving-ev u b v)
  from tick-setinterleaving-ev.preds
  obtain w w' where <b ∉ A> <t = ev b # w> <t' = [] ∨ t' = ev b # w'>
    <w' ≤ w> <w setinterleaves ((✓ # u, v), A)>
    by (simp split: if-split-asm) (metis (no-types) Prefix-Order.prefix-Cons
Nil-prefix)
    from <t' = [] ∨ t' = ev b # w'> show ?case
    proof (elim disjE)
      from Nil-setinterleaving-Nil show <t' = [] ⟹ ?case> by blast
    next
      assume <t' = ev b # w'>
      from tick-setinterleaving-ev.hyps[OF <b ∉ A> <w setinterleaves ((✓
# u, v), A)> <w' ≤ w>]
      obtain u' v' where <u' ≤ ✓ # u ∧ v' ≤ v ∧ w' setinterleaves ((u',
v'), A) by blast
        hence <u' ≤ ✓ # u ∧ ev b # v' ≤ ev b # v ∧ t' setinterleaves
((u', ev b # v'), A)
          by (cases u') (simp-all add: <b ∉ A> <t' = ev b # w'>)
          thus ?case by blast
      qed
    next
    case (tick-setinterleaving-tick u v)
    from tick-setinterleaving-tick.preds obtain w w'
      where <t = ✓ # w> <t' = [] ∨ t' = ✓ # w'>
        <w' ≤ w> <w setinterleaves ((u, v), A)>
        by (cases t') (auto split: option.split-asm)
      from <t' = [] ∨ t' = ✓ # w'> show ?case
      proof (elim disjE)
        from Nil-setinterleaving-Nil show <t' = [] ⟹ ?case> by blast
      next
        assume <t' = ✓ # w'>
        from tick-setinterleaving-tick.hyps
          [OF <w setinterleaves ((u, v), A)> <w' ≤ w>]
        obtain u' v' where <u' ≤ u ∧ v' ≤ v ∧ w' setinterleaves ((u', v'),
A) by blast
          hence <✓ # u' ≤ ✓ # u ∧ ✓ # v' ≤ ✓ # v ∧
            t' setinterleaves ((✓ # u', ✓ # v'), A)>
            by (simp add: <t' = ✓ # w'>)
          thus ?case by blast
      qed

```

qed

```

lift-definition Sync :: '>a process  $\Rightarrow$  >a set  $\Rightarrow$  >a process  $\Rightarrow$  >a process
  ( $\langle \beta(-\llbracket - \rrbracket / -) \rangle [70, 0, 71] 70$ )
  is  $\langle \lambda P A Q. \{t. \exists t\text{-}P t\text{-}Q. t\text{-}P \in \mathcal{T} P \wedge t\text{-}Q \in \mathcal{T} Q \wedge t \text{ setinterleaves } ((t\text{-}P, t\text{-}Q), A)\} \rangle$ 
proof -
  show  $\langle ?thesis P A Q \rangle$  (is  $\langle \text{is-process } ?t \rangle$ ) for P A Q
  proof (unfold is-process-def, intro conjI allI impI)
    from Nil-elem-T Nil-setinterleaving-Nil show  $\langle [] \in ?t \rangle$  by blast
  next
    fix t assume  $\langle t \in ?t \rangle$ 
    then obtain t-P t-Q where  $\langle t\text{-}P \in \mathcal{T} P \rangle$   $\langle t\text{-}Q \in \mathcal{T} Q \rangle$ 
       $\langle t \text{ setinterleaves } ((t\text{-}P, t\text{-}Q), A) \rangle$  by blast
      from  $\langle t\text{-}P \in \mathcal{T} P \rangle$   $\langle t\text{-}Q \in \mathcal{T} Q \rangle$  front-tickFree-T
      have  $\langle ftF t\text{-}P \rangle$   $\langle ftF t\text{-}Q \rangle$  by auto
      with  $\langle t \text{ setinterleaves } ((t\text{-}P, t\text{-}Q), A) \rangle$ 
      show  $\langle ftF t \rangle$  by (simp add: front-tickFree-setinterleaves-iff)
  next
    fix t u assume  $\langle t @ u \in ?t \rangle$ 
    then obtain t-P t-Q where  $\langle t\text{-}P \in \mathcal{T} P \rangle$   $\langle t\text{-}Q \in \mathcal{T} Q \rangle$ 
       $\langle t @ u \text{ setinterleaves } ((t\text{-}P, t\text{-}Q), A) \rangle$  by blast
      from this(3) obtain t-P' t-P'' t-Q' t-Q''  

        where  $\langle t\text{-}P = t\text{-}P' @ t\text{-}P'' \rangle$   $\langle t\text{-}Q = t\text{-}Q' @ t\text{-}Q'' \rangle$   

         $\langle t \text{ setinterleaves } ((t\text{-}P', t\text{-}Q'), A) \rangle$ 
      by (meson Prefix-Order.prefixE Prefix-Order.prefixI append-setinterleaves-imp)
      from  $\langle t\text{-}P \in \mathcal{T} P \rangle$   $\langle t\text{-}Q \in \mathcal{T} Q \rangle$  this(1, 2) have  $\langle t\text{-}P' \in \mathcal{T} P \rangle$   $\langle t\text{-}Q' \in \mathcal{T} Q \rangle$ 
      by (auto intro: T-dw-closed)
      with  $\langle t \text{ setinterleaves } ((t\text{-}P', t\text{-}Q'), A) \rangle$  show  $\langle t \in ?t \rangle$  by blast
    qed
  qed

```

**lemma** T-Sync :

$$\langle \mathcal{T} (P \llbracket A \rrbracket Q) = \{t. \exists t\text{-}P t\text{-}Q. t\text{-}P \in \mathcal{T} P \wedge t\text{-}Q \in \mathcal{T} Q \wedge t \text{ setinterleaves } ((t\text{-}P, t\text{-}Q), A)\} \rangle$$
**by** (simp add: Sync.rep-eq)

```

lift-definition Interrupt :: '>a process  $\Rightarrow$  >a process  $\Rightarrow$  >a process
  (infixl  $\triangleleft$  81)
  is  $\langle \lambda P Q. \mathcal{T} P \cup \{t @ u \mid t u. t \in \mathcal{T} P \wedge tF t \wedge u \in \mathcal{T} Q\} \rangle$ 
proof -
  show  $\langle ?thesis P Q \rangle$  (is  $\langle \text{is-process } ?t \rangle$ ) for P Q
  proof (unfold is-process-def, intro conjI allI impI)
    show  $\langle [] \in ?t \rangle$  by (simp add: Nil-elem-T)
  next

```

```

show ‹ $t \in ?t \implies ftF t$ › for  $t$ 
  by (auto simp add: front-tickFree-append-iff intro: front-tickFree-T)
next
  show ‹ $t @ u \in ?t \implies t \in ?t$ › for  $t u$ 
    by (auto simp add: append-eq-append-conv2 intro: T-dw-closed)
qed
qed

```

## 9.5 Constructiveness

```

lemma restriction-process-Mprefix :
  ‹ $\square a \in A \rightarrow P a \downarrow n = (\text{case } n \text{ of } 0 \Rightarrow \text{BOT} \mid \text{Suc } m \Rightarrow \square a \in A \rightarrow (P a \downarrow m))$ ›
  by (auto simp add: process-eq-spec T-restriction-process T-Mprefix T-BOT
  Nil-elem-T nat.case-eq-if front-tickFree-Cons-iff front-tickFree-T)
  (metis Cons-eq-append-conv Suc-length-conv event.distinct(1) length-greater-0-conv
  list.size(3) nat.exhaustsel tickFree-Cons-iff)

```

```

lemma constructive-Mprefix [simp] :
  ‹constructive ( $\lambda b. \square a \in A \rightarrow f a b$ )› if ‹ $\bigwedge a. a \in A \implies \text{non-destructive}(f a)$ ›
proof –
  have ‹ $\square a \in A \rightarrow f a b = \square a \in A \rightarrow (\text{if } a \in A \text{ then } f a b \text{ else STOP})$ ›
  for  $b$ 
    by (auto simp add: process-eq-spec T-Mprefix)
    moreover have ‹constructive ( $\lambda b. \square a \in A \rightarrow (\text{if } a \in A \text{ then } f a b \text{ else STOP})$ )›
    proof (rule constructive-comp-non-destructive[of ‹ $\lambda P. \square a \in A \rightarrow P a$ ›])
      show ‹constructive ( $\lambda P. \square a \in A \rightarrow P a$ )›
        by (rule constructiveI) (simp add: restriction-process-Mprefix
        restriction-fun-def)
    next
      show ‹non-destructive ( $\lambda b. \text{if } a \in A \text{ then } f a b \text{ else STOP}$ )›
        by (simp add: non-destructive-fun-iff, intro allI non-destructive-if-then-else)
        (simp-all add: ‹ $\bigwedge a. a \in A \implies \text{non-destructive}(f a)$ › non-destructiveI)
    qed
    ultimately show ‹constructive ( $\lambda b. \square a \in A \rightarrow f a b$ )› by simp
  qed

```

## 9.6 Non Destructiveness

```

lemma non-destructive-Choice [simp] :
  ‹non-destructive ( $\lambda x. f x \square g x$ )›
  if ‹non-destructive f› ‹non-destructive g›
  for  $f g :: \text{'a :: restriction} \Rightarrow \text{'b process}$ 
proof –
  have * : ‹non-destructive ( $\lambda(P, Q). P \square Q :: \text{'b process}$ )›

```

```

proof (rule order-non-destructiveI, clarify)
  fix  $P Q P' Q' :: \text{'b process}$  and  $n$ 
  assume  $\langle (P, Q) \downarrow n = (P', Q') \downarrow n \rangle$ 
  hence  $\langle P \downarrow n = P' \downarrow n \rangle \langle Q \downarrow n = Q' \downarrow n \rangle$ 
    by (simp-all add: restriction-prod-def)
  show  $\langle P \square Q \downarrow n \leq P' \square Q' \downarrow n \rangle$ 
  proof (unfold less-eq-process-def, rule subsetI)
    show  $\langle t \in \mathcal{T} (P' \square Q' \downarrow n) \implies t \in \mathcal{T} (P \square Q \downarrow n) \rangle$  for  $t$ 
    proof (elim T-restriction-processE)
      show  $\langle t \in \mathcal{T} (P' \square Q') \implies \text{length } t \leq n \implies t \in \mathcal{T} (P \square Q \downarrow n) \rangle$ 
        by (simp add: T-restriction-process T-Choice)
        (metis (lifting) T-restriction-process T-restriction-processE
         Un-iff  $\langle P \downarrow n = P' \downarrow n \rangle \langle Q \downarrow n = Q' \downarrow n \rangle$ )
    next
      show  $\langle \llbracket t = u @ v; u \in \mathcal{T} (P' \square Q'); \text{length } u = n; tF u; ftF v \rrbracket$ 
         $\implies t \in \mathcal{T} (P \square Q \downarrow n) \rangle$  for  $u v$ 
      by (simp add: T-restriction-process T-Choice)
      (metis (lifting) T-restriction-process T-restriction-processE
       Un-iff
        $\langle P \downarrow n = P' \downarrow n \rangle \langle Q \downarrow n = Q' \downarrow n \rangle$  append.right-neutral
       append-eq-conv-conj)
      qed
      qed
      qed
    have  $\star : \langle \text{non-destructive } (\lambda x. (f x, g x)) \rangle$ 
      by (fact non-destructive-prod-codomain[OF that])
    from non-destructive-comp-non-destructive[OF **, simplified]
    show  $\langle \text{non-destructive } (\lambda x. f x \square g x) \rangle$  .
  qed

lemma restriction-process-GlobalChoice :
   $\langle \Box a \in A. P a \downarrow n = (\text{if } A = \{\} \text{ then case } n \text{ of } 0 \Rightarrow \text{BOT} \mid \text{Suc } m \Rightarrow \text{STOP} \text{ else } \Box a \in A. (P a \downarrow n)) \rangle$ 
  by (auto simp add: process-eq-spec T-restriction-process T-GlobalChoice
  T-BOT T-STOP
  split: nat.split)
  qed

lemma non-destructive-GlobalChoice [simp] :
   $\langle \text{non-destructive } (\lambda b. \Box a \in A. f a b) \rangle$  if  $\langle \bigwedge a. a \in A \implies \text{non-destructive } (f a) \rangle$ 
  proof –
    have  $\langle \Box a \in A. f a b = \Box a \in A. (\text{if } a \in A \text{ then } f a b \text{ else } \text{STOP}) \rangle$  for  $b$ 
      by (auto simp add: process-eq-spec T-GlobalChoice)
    moreover have  $\langle \text{non-destructive } (\lambda b. \Box a \in A. (\text{if } a \in A \text{ then } f a b \text{ else } \text{STOP})) \rangle$ 
    proof (rule non-destructive-comp-non-destructive[of ]  $\langle \lambda P. \Box a \in A. P$ 
  qed

```

```

a>])
  show <non-destructive ( $\lambda P. \Box a \in A. P a$ )>
    by (rule non-destructiveI) (simp add: restriction-process-GlobalChoice
restriction-fun-def)
  next
    show <non-destructive ( $\lambda b. \text{if } a \in A \text{ then } f a b \text{ else STOP}$ )>
      by (simp add: non-destructive-fun-iff, intro allI non-destructive-if-then-else)
        (simp-all add: < $\bigwedge a. a \in A \implies \text{non-destructive } (f a)$ > non-destructiveI)
  qed
  ultimately show <non-destructive ( $\lambda b. \Box a \in A. f a b$ )> by simp
qed

```

## 9.7 Examples

```

notepad begin
  fix A B :: <'b  $\Rightarrow$  'a set>
  define P :: <'b  $\Rightarrow$  'a process>
    where < $P \equiv v X. (\lambda s. \Box a \in A s \rightarrow X s \Box (\Box b \in B s \rightarrow X s))$ >
  (is < $P \equiv v X. ?f X$ >)
    have < $P = ?f P$ >
      by (unfold P-def, subst restriction-fix-eq) simp-all

```

**end**

```

lemma <constructive ( $\lambda X \sigma. \Box e \in f \sigma \rightarrow \Box \sigma' \in g \sigma e. X \sigma'$ )>
  by simp

```

```

lemma length-le-T-restriction-process-iff-T :
  < $\text{length } t \leq n \implies t \in \mathcal{T}(P \downarrow n) \longleftrightarrow t \in \mathcal{T} P$ >
  by (auto simp add: T-restriction-process)

```

```

lemma restriction-adm-notin-T [simp] : < $\text{adm}_\downarrow(\lambda a. t \notin \mathcal{T} a)$ >
proof (rule restriction-admI)
  fix  $\sigma$  and  $\Sigma$  assume < $\sigma \dashrightarrow \Sigma$ > < $\bigwedge n. t \notin \mathcal{T}(\sigma n)$ >
  from < $\sigma \dashrightarrow \Sigma$ > obtain n0 where < $\forall k \geq n0. \Sigma \downarrow \text{length } t = \sigma k \downarrow \text{length } t$ >
    by (blast dest: restriction-tendsToD)
  hence < $\forall k \geq n0. \mathcal{T}(\Sigma \downarrow \text{length } t) = \mathcal{T}(\sigma k \downarrow \text{length } t)$ > by simp
  hence < $\forall k \geq n0. t \in \mathcal{T} \Sigma \longleftrightarrow t \in \mathcal{T}(\sigma k)$ >
    by (metis dual-order.refl length-le-T-restriction-process-iff-T)
  with < $\bigwedge n. t \notin \mathcal{T}(\sigma n)$ > show < $t \notin \mathcal{T} \Sigma$ > by blast
qed

```

```

lemma restriction-adm-in-T [simp] : <adm↓ (λa. t ∈ T a)>
proof (rule restriction-admI)
fix σ and Σ assume <σ ↓→ Σ> <⋀n. t ∈ T (σ n)>
from <σ ↓→ Σ> obtain n0 where <∀k≥n0. Σ ↓ length t = σ k ↓ length t>
by (blast dest: restriction-tendsToD)
hence <∀k≥n0. T (Σ ↓ length t) = T (σ k ↓ length t)> by simp
hence <∀k≥n0. t ∈ T Σ ↔ t ∈ T (σ k)>
by (metis dual-order.refl length-le-T-restriction-process-iff-T)
with <⋀n. t ∈ T (σ n)> show <t ∈ T Σ> by blast
qed

```

## 10 Formal power Series

```

instantiation fps ::({comm-ring-1}) restriction-space begin
definition restriction-fps :: 'a fps ⇒ nat ⇒ 'a fps
where <restriction-fps a n ≡ ∑ i< n. fps-const (fps-nth a i)*fps-X^i>

lemma intersection-equality:<(n::nat) ≤ m ⇒ {..<m} ∩ {i. i < n}>
= {i. i < n}>
by auto

lemma exist-noneq:<x ≠ y ⇒
  ∃ n. (∑ i∈{x. x < n}. fps-const (fps-nth x i) * fps-X^i) ≠
  (∑ i∈{x. x < n}. fps-const (fps-nth y i) * fps-X^i)> for
x y::'a fps>
proof -
assume <x≠y>
then have <∃ n. (n = (LEAST n. fps-nth x n ≠ fps-nth y n))>
using fps-nth-inject by blast
then obtain n where <(n = (LEAST n. fps-nth x n ≠ fps-nth y n))> by blast
then have <∀ i< n. fps-nth x i = fps-nth y i>
using not-less-Least by blast
then have f0:<(∑ i< n. fps-const (fps-nth x i) * fps-X^i) = (∑ i< n.
fps-const (fps-nth y i) * fps-X^i)>
by(auto)
have rule:<a≠c ⇒ a+b ≠ c+b> for a b c::'a fps
unfolding fps-plus-def using fps-ext
by(auto simp:fun-eq-iff fps-ext Abs-fps-inverse fps-nth-inverse Abs-fps-inject)

have <fps-nth x n ≠ fps-nth y n>
by (metis (mono-tags, lifting) LeastI-ex <n = (LEAST n. fps-nth x n ≠ fps-nth y n)> <x ≠ y> fps-ext)
then have <(∑ i< n. fps-const (fps-nth x i) * fps-X^i) + fps-const
(fps-nth x n) * fps-X^n ≠

```

```


$$(\sum_{i < n} \text{fps-const} (\text{fps-nth } y \ i) * \text{fps-X}^i) + \text{fps-const} (\text{fps-nth } y n) * \text{fps-X}^n$$

  by (metis (no-types, lifting) f0 add-left-imp-eq fps-nth-fps-const
  fps-shift-times-fps-X-power')
  moreover have <math> (\sum_{i \in \{x. x < \text{Suc } n\}} \text{fps-const} (\text{fps-nth } z \ i) * \text{fps-X}^i)
  =  $(\sum_{i < n} \text{fps-const} (\text{fps-nth } z \ i) * \text{fps-X}^i) + \text{fps-const} (\text{fps-nth } z n) * \text{fps-X}^n$  for  $z :: 'a \text{ fpst}$ 
  proof -
    have  $\forall n. \{.. < n :: nat\} = \{na. na < n\}$ 
      by (simp add: lessThan-def)
    then show ?thesis
      using sum.lessThan-Suc by auto
  qed
  ultimately show <math> \exists n. (\sum_{i \in \{x. x < n\}} \text{fps-const} (\text{fps-nth } x \ i) * \text{fps-X}^i) \neq (\sum_{i \in \{x. x < n\}} \text{fps-const} (\text{fps-nth } y \ i) * \text{fps-X}^i)
    by (auto intro: exI[where x=<Suc n>])
  qed

```

### instance

```

  using intersection-equality exist-noneq
  by intro-classes
    (auto cong: if-cong simp add:
     Collect-mono Int-absorb2 restriction-fps-def fps-sum-nth
     if-distrib[where f=fps-const] if-distrib[where f=<lambda x. a * x> for
     a]
     if-distrib[where f=<lambda x. x * a> for a] lessThan-def sum.If-cases
     min-def)
  end

  lemma fps-sum-rep-nthb:  $\text{fps-nth} (\sum_{i < m} \text{fps-const}(a \ i) * \text{fps-X}^i) \ n = (\text{if } n < m \text{ then } a \ n \text{ else } 0)$ 
    by (simp add: fps-sum-nth if-distrib cong del: if-weak-cong)

  lemma restriction-eq-iff :  $a \downarrow n = b \downarrow n \longleftrightarrow (\forall i < n. \text{fps-nth } a \ i = \text{fps-nth } b \ i)$ 
    by (auto simp:restriction-fps-def)
    (metis (full-types) fps-sum-rep-nthb)

  lemma restriction-eqI :
    <math> (\bigwedge i. i < n \implies \text{fps-nth } x \ i = \text{fps-nth } y \ i) \implies x \downarrow n = y \downarrow n
    by (simp add: restriction-eq-iff)

  lemma restriction-eqI' :
    <math> (\bigwedge i. i \leq n \implies \text{fps-nth } x \ i = \text{fps-nth } y \ i) \implies x \downarrow n = y \downarrow n

```

```

by (simp add: restriction-eq-iff)

instantiation fps :: (comm-ring-1) complete-restriction-space
begin
instance
proof (intro-classes, rule restriction-convergentI)
fix σ :: ⟨nat ⇒'a fps⟩ assume h:⟨restriction-chain σ⟩
have h': ∀ n. (∑ i < n. fps-const (fps-nth (σ (Suc n)) i) * fps-X ^ i)
= σ n
using h unfolding restriction-chain-def restriction-fps-def by auto
let ?Σ = ⟨Abs-fps (λn. fps-nth (σ (Suc n)) n)⟩
have ⟨?Σ ↓ (n) = σ n⟩ for n
proof (subst restricted-restriction-chain-is[OF ⟨restriction-chain σ⟩,
symmetric],
rule restriction-eqI)
fix i assume ⟨i < n⟩
then have ⟨i ≤ n⟩ by auto
from restriction-chain-def-ter
[THEN iffD1, OF ⟨restriction-chain σ⟩, rule-format, OF ⟨i ≤ n⟩]
show ⟨fps-nth (Abs-fps (λn. fps-nth (σ (Suc n)) n)) i = fps-nth (σ
n) i⟩
by (subst Abs-fps-inverse, use Abs-fps-inject restriction-fps-def in
blast)
(smt (verit, ccfv-threshold) Suc-leI ⟨i < n⟩ h le-refl lessI
restriction-eq-iff
restriction-chain-def restriction-chain-def-ter)
qed
thus ⟨restriction-chain σ ⟹ σ ↓ → ?Σ⟩
proof –
have (↓) (Abs-fps (λn. fps-nth (σ (Suc n)) n)) = σ
using ⟨λn. Abs-fps (λn. fps-nth (σ (Suc n)) n) ↓ n = σ n⟩ by
force
then show ?thesis
by (metis restriction-tends-to-restrictions)
qed
qed

end

```