# Implementing field extensions of the form $\mathbb{Q}[\sqrt{b}]^*$

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#### Abstract

We apply data refinement to implement the real numbers, where we support all numbers in the field extension  $\mathbb{Q}[\sqrt{b}]$ , i.e., all numbers of the form  $p+q\sqrt{b}$  for rational numbers p and q and some fixed natural number b. To this end, we also developed algorithms to precisely compute roots of a rational number, and to perform a factorization of natural numbers which eliminates duplicate prime factors.

Our results have been used to certify termination proofs which involve polynomial interpretations over the reals.

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### 1 Introduction

It has been shown that polynomial interpretations over the reals are strictly more powerful for termination proving than polynomial interpretations over the rationals. To this end, also automated termination prover started to generate such interpretations. [3, 4, 5, 7, 8]. However, for all current implementations, only reals of the form  $p + q \cdot \sqrt{b}$  are generated where b is some fixed natural number and p and q may be arbitrary rationals, i.e., we get numbers within  $\mathbb{Q}[\sqrt{b}]$ .

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To support these termination proofs in our certifier CeTA [6], we therefore required executable functions on  $\mathbb{Q}[\sqrt{b}]$ , which can then be used as an implementation type for the reals. Here, we used ideas from [1, 2] to provide a sufficiently powerful partial implementations via data refinement.

# 2 Auxiliary lemmas which might be moved into the Isabelle distribution.

```
theory Real-Impl-Auxiliary imports HOL-Computational-Algebra.Primes begin lemma multiplicity-prime: assumes p: prime\ (i::nat) and ji:\ j\neq i shows multiplicity\ j\ i=0 \langle proof \rangle end
```

# 3 Prime products

```
theory Prime-Product
imports
Real-Impl-Auxiliary
Sqrt-Babylonian.Sqrt-Babylonian
begin
```

Prime products are natural numbers where no prime factor occurs more than once.

```
definition prime-product where prime-product (n :: nat) = (\forall p. prime p \longrightarrow multiplicity p n \leq 1)
```

The main property is that whenever  $b_1$  and  $b_2$  are different prime products, then  $p_1 + q_1\sqrt{b_1} = p_2 + q_2\sqrt{b_2}$  implies  $(p_1, q_1, b_1) = (p_2, q_2, b_2)$  for all rational numbers  $p_1, q_1, p_2, q_2$ . This is the key property to uniquely represent numbers in  $\mathbb{Q}[\sqrt{b}]$  by triples. In the following we develop an algorithm to decompose any natural number n into  $n = s^2 \cdot p$  for some s and prime product p.

```
function prime-product-factor-main :: nat \Rightarrow nat \Rightarrow
```

```
prime-product-factor-main factor-sq factor-pr limit n i = (if \ i \leq limit \land i \geq 2 \ then \ (if \ i \ dvd \ n \ then \ (let \ n' = n \ div \ i \ in \ (if \ i \ dvd \ n' \ then )
```

```
let n'' = n' div i in
              prime-product-factor-main\ (factor-sq*i)\ factor-pr\ (nat\ (root-nat\text{-}floor))
3 n")) n" i
                  (case sqrt-nat n' of
                    Cons\ sn\ - \Rightarrow (factor\text{-}sq * sn, factor\text{-}pr * i)
                      | | | \Rightarrow prime-product-factor-main\ factor-sq\ (factor-pr * i)\ (nat
(root\text{-}nat\text{-}floor \ 3\ n'))\ n'\ (Suc\ i)
           prime-product-factor-main\ factor-sq\ factor-pr\ limit\ n\ (Suc\ i))
       else
         (factor-sq, factor-pr * n)) \langle proof \rangle
termination
\langle proof \rangle
lemma prime-product-factor-main: assumes \neg (\exists s. s * s = n)
  and limit = nat (root-nat-floor 3 n)
 and m = factor-sq * factor-sq * factor-pr * n
 and prime-product-factor-main factor-sq factor-pr limit n i = (sq, p)
 and i \geq 2
  and \bigwedge j. j \ge 2 \Longrightarrow j < i \Longrightarrow \neg j \ dvd \ n
  and \bigwedge j. prime j \Longrightarrow j < i \Longrightarrow multiplicity j factor-pr <math>\leq 1
  and \bigwedge j. prime j \Longrightarrow j \ge i \Longrightarrow multiplicity\ j\ factor-pr = 0
  and factor-pr > 0
  shows m = sq * sq * p \land prime-product p
  \langle proof \rangle
definition prime-product-factor :: nat \Rightarrow nat \times nat where
  prime-product-factor n = (case \ sqrt-nat \ n \ of
     (Cons\ s\ -) \Rightarrow (s,1)
   | | | \Rightarrow prime-product-factor-main 1 1 (nat (root-nat-floor 3 n)) n 2 |
lemma prime-product-one[simp, intro]: prime-product 1
  \langle proof \rangle
lemma prime-product-factor: assumes pf: prime-product-factor n = (sq,p)
  shows n = sq * sq * p \land prime-product p
\langle proof \rangle
end
```

# 4 A representation of real numbers via triples

theory Real-Impl

#### imports

 $Sqrt ext{-}Babylonian. Sqrt ext{-}Babylonian$  begin

We represent real numbers of the form  $p+q\cdot\sqrt{b}$  for  $p,q\in\mathbb{Q},\ n\in\mathbb{N}$  by triples (p,q,b). However, we require the invariant that  $\sqrt{b}$  is irrational. Most binary operations are implemented via partial functions where the common the restriction is that the numbers b in both triples have to be identical. So, we support addition of  $\sqrt{2}+\sqrt{2}$ , but not  $\sqrt{2}+\sqrt{3}$ .

```
The set of natural numbers whose sqrt is irrational
definition sqrt-irrat = \{ q :: nat. \neg (\exists p. p * p = rat-of-nat q) \}
lemma sqrt-irrat: assumes choice: q = 0 \lor b \in sqrt-irrat
   and eq: real-of-rat p + real-of-rat q * sqrt (of-nat b) = 0
   shows q = \theta
  \langle proof \rangle
     To represent numbers of the form p+q\cdot\sqrt{b}, use mini algebraic numbers,
i.e., triples (p,q,b) with irrational \sqrt{b}.
typedef mini-alg =
  \{(p,q,b) \mid (p :: rat) \ (q :: rat) \ (b :: nat).
  q = 0 \lor b \in \mathit{sqrt-irrat}
  \langle proof \rangle
setup-lifting type-definition-mini-alg
lift-definition real-of :: mini-alg \Rightarrow real is
  \lambda (p,q,b). of-rat p + of-rat q * sqrt (of-nat\ b) \langle proof \rangle
lift-definition ma\text{-}of\text{-}rat :: rat \Rightarrow mini\text{-}alg \text{ is } \lambda \ x. \ (x,0,0) \ \langle proof \rangle
lift-definition ma\text{-}rat :: mini\text{-}alg \Rightarrow rat \text{ is } fst \ \langle proof \rangle
lift-definition ma-base :: mini-alg \Rightarrow nat is snd o snd \langle proof \rangle
lift-definition ma-coeff :: mini-alg \Rightarrow rat is fst o snd \langle proof \rangle
lift-definition ma\text{-}uminus :: mini\text{-}alg \Rightarrow mini\text{-}alg is
  \lambda (p1,q1,b1). (-p1,-q1,b1) \langle proof \rangle
lift-definition ma\text{-}compatible :: mini-alg <math>\Rightarrow mini-alg \Rightarrow bool is
  \lambda \ (p1,q1,b1) \ (p2,q2,b2). \ q1 = 0 \lor q2 = 0 \lor b1 = b2 \ \langle proof \rangle
definition ma-normalize :: rat \times rat \times nat \Rightarrow rat \times rat \times nat where
  ma-normalize x \equiv case \ x \ of \ (a,b,c) \Rightarrow if \ b = 0 \ then \ (a,0,0) \ else \ (a,b,c)
lemma ma-normalize-case[simp]: (case ma-normalize r of (a,b,c) \Rightarrow real-of-rat a
+ real - of - rat \ b * sqrt \ (of - nat \ c))
  = (case \ r \ of \ (a,b,c) \Rightarrow real - of - rat \ a + real - of - rat \ b * sqrt \ (of - rat \ c))
  \langle proof \rangle
```

```
lift-definition ma\text{-}plus :: mini\text{-}alg \Rightarrow mini\text{-}alg \Rightarrow mini\text{-}alg is
  \lambda \ (p1,q1,b1) \ (p2,q2,b2). \ if \ q1 = 0 \ then
    (p1 + p2, q2, b2) else ma-normalize (p1 + p2, q1 + q2, b1) \langle proof \rangle
lift-definition ma\text{-}times :: mini\text{-}alg \Rightarrow mini\text{-}alg \Rightarrow mini\text{-}alg is
  \lambda \ (p1,q1,b1) \ (p2,q2,b2). \ if \ q1 = 0 \ then
    ma-normalize (p1*p2, p1*q2, b2) else
    ma-normalize (p1*p2 + of-nat b2*q1*q2, <math>p1*q2 + q1*p2, b1) \langle proof \rangle
lift-definition ma-inverse :: mini-alg \Rightarrow mini-alg is
   \lambda (p,q,b). let d = inverse (p * p - of-nat b * q * q) in
   ma-normalize (p * d, -q * d, b) \langle proof \rangle
lift-definition ma-floor :: mini-alg \Rightarrow int is
  \lambda (p,q,b). case (quotient-of p, quotient-of q) of ((z1,n1),(z2,n2)) \Rightarrow
    let \ z2n1 = z2 * n1; \ z1n2 = z1 * n2; \ n12 = n1 * n2; \ prod = z2n1 * z2n1 *
int b in
     (z1n2 + (if \ z2n1 \ge 0 \ then \ sqrt-int-floor-pos \ prod \ else - \ sqrt-int-ceiling-pos
prod)) div n12 \langle proof \rangle
lift-definition ma-sqrt :: mini-alg \Rightarrow mini-alg is
   \lambda (p,q,b). let (a,b) = quotient-of p; aa = abs <math>(a * b) in
   case sqrt-int as of [] \Rightarrow (0,inverse \ (of-int \ b),nat \ as) \mid (Cons \ s \ -) \Rightarrow (of-int \ s \ /)
of-int b, \theta, \theta)
\langle proof \rangle
lift-definition ma\text{-}equal :: mini\text{-}alg \Rightarrow mini\text{-}alg \Rightarrow bool is
   \lambda \ (p1,q1,b1) \ (p2,q2,b2).
   p1 \,=\, p2 \,\wedge\, q1 \,=\, q2 \,\wedge\, \left(q1 \,=\, 0 \,\vee\, b1 \,=\, b2\right) \,\langle\, proof\,\rangle
lift-definition ma-ge-\theta :: mini-alg \Rightarrow bool is
  \lambda (p,q,b). let bqq = of\text{-nat } b * q * q; pp = p * p in
  0 \le p \land bqq \le pp \lor 0 \le q \land pp \le bqq \langle proof \rangle
lift-definition ma-is-rat :: mini-alq \Rightarrow bool is
  \lambda \ (p,q,b). \ q = \theta \ \langle proof \rangle
definition ge-\theta :: real \Rightarrow bool where [code \ del]: ge-\theta \ x = (x \geq \theta)
lemma ma-ge-\theta: ge-\theta (real-of x) = ma-ge-\theta x
\langle proof \rangle
lemma ma-\theta: \theta = real-of (ma-of-rat \theta) \langle proof \rangle
lemma ma-1: 1 = real-of (ma-of-rat 1) \langle proof \rangle
lemma ma-uminus:
  - (real - of x) = real - of (ma-uminus x)
```

```
\langle proof \rangle
lemma ma-inverse: inverse (real-of r) = real-of (ma-inverse r)
lemma ma-sqrt-main: ma-rat r \geq 0 \implies ma-coeff r = 0 \implies sqrt (real-of r) =
real-of (ma\text{-}sqrt\ r)
\langle proof \rangle
lemma ma-sqrt: sqrt (real-of r) = (if ma-coeff r = 0 then
 (if ma-rat \ r \geq 0 \ then \ real-of \ (ma-sqrt \ r) \ else - real-of \ (ma-sqrt \ (ma-uminus \ r)))
  else Code.abort (STR "cannot represent sqrt of irrational number") (\lambda -. sqrt
(real-of r))
\langle proof \rangle
lemma ma-plus:
  (real-of\ r1\ +\ real-of\ r2)=(if\ ma-compatible\ r1\ r2
   then real-of (ma-plus r1 r2) else
    Code.abort (STR "different base") (\lambda -. real-of r1 + real-of r2))
  \langle proof \rangle
lemma ma-times:
  (real-of\ r1\ *\ real-of\ r2) = (if\ ma-compatible\ r1\ r2)
    then real-of (ma-times r1 r2) else
    Code.abort (STR "different base") (\lambda -. real-of r1 * real-of r2))
  \langle proof \rangle
lemma ma-equal:
  HOL.equal\ (real-of\ r1)\ (real-of\ r2) = (if\ ma-compatible\ r1\ r2)
    then ma-equal r1 r2 else
    Code.abort (STR "different base") (\lambda -. HOL.equal (real-of r1) (real-of r2)))
lemma ma-floor: floor (real-of r) = ma-floor r
\langle proof \rangle
lemma comparison-impl:
  (x :: real) \leq (y :: real) = ge-0 (y - x)
  (x :: real) < (y :: real) = (x \neq y \land ge-0 (y - x))
  \langle proof \rangle
lemma ma-of-rat: real-of-rat r = real-of (ma-of-rat r)
definition is-rat :: real \Rightarrow bool where
  [code-abbrev]: is-rat x \longleftrightarrow x \in \mathbb{Q}
lemma ma-is-rat: is-rat (real-of x) = ma-is-rat x
\langle proof \rangle
```

```
definition sqrt-real x = (if \ x \in \mathbb{Q} \land x \ge 0 \ then \ (if \ x = 0 \ then \ [0] \ else \ (let \ sx = 0 \ then \ [0])
sqrt \ x \ in \ [sx,-sx])) \ else \ [])
lemma sqrt-real[simp]: assumes x: x \in \mathbb{Q}
  shows set (sqrt\text{-}real\ x) = \{y \ .\ y * y = x\}
code-datatype real-of
lemma [code]:
  Ratreal = real - of \circ ma - of - rat
  \langle proof \rangle
lemmas ma\text{-}code\text{-}eqns [code] = ma\text{-}qe\text{-}0 ma\text{-}floor ma\text{-}0 ma\text{-}1 ma\text{-}uminus ma\text{-}inverse
ma-sqrt ma-plus ma-times ma-equal ma-is-rat
  comparison\text{-}impl
lemma [code]:
  (x :: real) / (y :: real) = x * inverse y
  (x :: real) - (y :: real) = x + (-y)
    Some tests with small numbers. To work on larger number, one should
additionally import the theories for efficient calculation on numbers
value |101.1*(3*sqrt 2+6*sqrt 0.5)|
value | 606.2 * sqrt 2 + 0.001 |
value 101.1 * (3 * sqrt 2 + 6 * sqrt 0.5) = 606.2 * sqrt 2 + 0.001
value 101.1 * (3 * sqrt 2 + 6 * sqrt 0.5) > 606.2 * sqrt 2 + 0.001
value (sqrt \ \theta.1 \in \mathbb{Q}, \ sqrt \ (-\ \theta.\theta\theta) \in \mathbb{Q})
```

# 5 A unique representation of real numbers via triples

```
theory Real-Unique-Impl
imports
Prime-Product
Real-Impl
Show.Show-Instances
Show.Show-Real
begin
```

end

We implement the real numbers again using triples, but now we require an additional invariant on the triples, namely that the base has to be a prime product. This has the consequence that the mapping of triples into  $\mathbb R$  is injective. Hence, equality on reals is now equality on triples, which can even be executed in case of different bases. Similarly, we now also allow

different basis in comparisons. Ultimately, injectivity allows us to define a show-function for real numbers, which pretty prints real numbers into strings.

```
typedef mini-alg-unique =
 \{ r :: mini-alg : ma-coeff \ r = 0 \land ma-base \ r = 0 \lor ma-coeff \ r \neq 0 \land prime-product \}
(ma-base r)
  \langle proof \rangle
setup-lifting type-definition-mini-alq-unique
lift-definition real-of-u :: mini-alg-unique \Rightarrow real is real-of \langle proof \rangle
lift-definition mau-floor :: mini-alg-unique \Rightarrow int is ma-floor \langle proof \rangle
lift-definition mau-of-rat :: rat \Rightarrow mini-alg-unique is ma-of-rat \langle proof \rangle
lift-definition mau-rat :: mini-alg-unique \Rightarrow rat is <math>ma-rat \langle proof \rangle
lift-definition mau-base :: mini-alg-unique \Rightarrow nat is ma-base \langle proof \rangle
lift-definition mau\text{-}coeff :: mini\text{-}alg\text{-}unique \Rightarrow rat is ma\text{-}coeff \langle proof \rangle
lift-definition mau-uminus :: mini-alq-unique \Rightarrow mini-alq-unique is ma-uminus
\langle proof \rangle
lift-definition mau-compatible :: mini-alq-unique \Rightarrow mini-alq-unique \Rightarrow bool is
ma-compatible \langle proof \rangle
lift-definition mau\text{-}ge\text{-}\theta :: mini\text{-}alg\text{-}unique \Rightarrow bool is <math>ma\text{-}ge\text{-}\theta \ \langle proof \rangle
lift-definition mau-inverse :: mini-alg-unique \Rightarrow mini-alg-unique is ma-inverse
lift-definition mau-plus:: mini-alg-unique \Rightarrow mini-alg-unique \Rightarrow mini-alg-unique
is ma-plus
  \langle proof \rangle
lift-definition mau-times:: mini-alg-unique \Rightarrow mini-alg-unique \Rightarrow mini-alg-unique
is ma-times
  \langle proof \rangle
lift-definition ma\text{-}identity :: mini\text{-}alg \Rightarrow mini\text{-}alg \Rightarrow bool is (=) \langle proof \rangle
lift-definition mau-equal :: mini-alq-unique \Rightarrow mini-alq-unique \Rightarrow bool is ma-identity
\langle proof \rangle
lift-definition mau-is-rat :: mini-alg-unique \Rightarrow bool is ma-is-rat \langle proof \rangle
lemma Ratreal-code[code]:
  Ratreal = real - of - u \circ mau - of - rat
  \langle proof \rangle
lemma mau-floor: floor (real-of-u r) = mau-floor r
lemma mau-inverse: inverse (real-of-u r) = real-of-u (mau-inverse r)
lemma mau-uminus: - (real-of-u r) = real-of-u (mau-uminus r)
  \langle proof \rangle
\mathbf{lemma}\ \mathit{mau-times} :
  (real-of-u \ r1 * real-of-u \ r2) = (if \ mau-compatible \ r1 \ r2)
    then real-of-u (mau-times r1 r2) else
    Code.abort (STR "different base") (\lambda -. real-of-u r1 * real-of-u r2))
  \langle proof \rangle
```

```
lemma mau-plus:
  (real-of-u \ r1 + real-of-u \ r2) = (if \ mau-compatible \ r1 \ r2)
    then real-of-u (mau-plus r1 r2) else
    Code.abort (STR "different base") (\lambda -. real-of-u r1 + real-of-u r2))
  \langle proof \rangle
lemma real-of-u-inj[simp]: real-of-u x = real-of-u y \longleftrightarrow x = y
\langle proof \rangle
lift-definition mau-sqrt :: mini-alg-unique \Rightarrow mini-alg-unique is
  \lambda ma. let (a,b) = quotient-of (ma-rat ma); (sq,fact) = prime-product-factor (nat)
      ma' = ma - of - rat \ (of - int \ (sgn(a)) * of - nat \ sq \ / of - int \ b)
      in ma-times ma' (ma-sqrt (ma-of-rat (of-nat fact)))
\langle proof \rangle
lemma sqrt-sgn[simp]: sqrt (of-int (sgn \ a)) = of-int (sgn \ a)
  \langle proof \rangle
lemma mau-sqrt-main: mau-coeff r = 0 \Longrightarrow sqrt (real-of-u r) = real-of-u (mau-sqrt
\langle proof \rangle
lemma mau-sqrt: sqrt (real-of-u r) = (if mau-coeff r = 0 then
  real-of-u (mau-sqrt r)
  else Code.abort (STR "cannot represent sqrt of irrational number") (\lambda -. sqrt
(real-of-u r))
  \langle proof \rangle
lemma mau-\theta: \theta = real-of-u (mau-of-rat \theta) \langle proof \rangle
lemma mau-1: 1 = real-of-u \ (mau-of-rat \ 1) \ \langle proof \rangle
lemma mau-equal:
  HOL.equal \ (real-of-u \ r1) \ (real-of-u \ r2) = mau-equal \ r1 \ r2 \ \langle proof \rangle
lemma mau-ge-\theta: ge-\theta (real-of-u x) = mau-ge-\theta x \langle proof \rangle
definition real-lt :: real \Rightarrow real \Rightarrow bool where real-lt = (<)
    The following code equation terminates if it is started on two different
lemma real-lt [code]: real-lt x y = (let fx = floor x; fy = floor y in
 (if fx < fy then True else if fx > fy then False else real-lt (x * 1024) (y * 1024)))
```

For comparisons we first check for equality. Then, if the bases are compatible we can just compare the differences with 0. Otherwise, we start the recursive algorithm *real-lt* which works on arbitrary bases. In this way, we

have an implementation of comparisons which can compare all representable numbers.

Note that in Real-Impl. Real-Impl we did not use real-lt as there the code-equations for equality already require identical bases.

```
lemma comparison-impl:
     real-of-u x \leq real-of-u y \longleftrightarrow real-of-u x = real-of-u y \lor
        (if mau-compatible x y then ge-0 (real-of-u y - real-of-u x) else real-lt (real-of-u
x) (real-of-u y))
     real-of-u x < real-of-u y \longleftrightarrow real-of-u x \neq real-of-u y \land real-of-u 
         (if mau-compatible x y then ge-0 (real-of-u y - real-of-u x) else real-lt (real-of-u
x) (real-of-u y)
     \langle proof \rangle
lemma mau-is-rat: is-rat (real-of-ux) = mau-is-rat x \langle proof \rangle
lift-definition ma-show-real :: mini-alg \Rightarrow string is
     \lambda (p,q,b). let sb = shows "sqrt(" \circ shows b \circ shows ")";
                 qb = (if \ q = 1 \ then \ sb \ else \ if \ q = -1 \ then \ shows \ ''-'' \circ sb \ else \ shows \ q \circ
shows "*" \circ sb) in
              if q = 0 then shows p \mid \mid else
              if p = 0 then qb [] else
              if q < 0 then ((shows p \circ qb))
              else ((shows p \circ shows "+" \circ qb) []) \langle proof \rangle
lift-definition mau-show-real :: mini-alg-unique \Rightarrow string is ma-show-real \langle proof \rangle
overloading show\text{-}real \equiv show\text{-}real
begin
     definition show-real
         where show-real x \equiv
             (if (\exists y. x = real - of - uy) then mau-show-real (THE y. x = real - of - uy) else [])
end
lemma mau-show-real: show-real (real-of-u x) = mau-show-real x
     \langle proof \rangle
code-datatype real-of-u
lemmas mau-code-eqns [code] = mau-floor mau-0 mau-1 mau-uminus mau-inverse
mau-sqrt mau-plus mau-times mau-equal mau-ge-0 mau-is-rat
     mau-show-real comparison-impl
```

Some tests with small numbers. To work on larger number, one should additionally import the theories for efficient calculation on numbers

```
value \lfloor 101.1 * (sqrt \ 18 + 6 * sqrt \ 0.5) \rfloor
value \lfloor 324 * sqrt \ 7 + 0.001 \rfloor
value 101.1 * (sqrt \ 18 + 6 * sqrt \ 0.5) = 324 * sqrt \ 7 + 0.001
value 101.1 * (sqrt \ 18 + 6 * sqrt \ 0.5) > 324 * sqrt \ 7 + 0.001
value show \ (101.1 * (sqrt \ 18 + 6 * sqrt \ 0.5))
```

value (sqrt  $0.1 \in \mathbb{Q}$ , sqrt  $(-0.09) \in \mathbb{Q}$ )

end

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