

# Process Composition

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```

theory Util
  imports Main
begin

```

## 1 Utility Theorems

This theory contains general facts that we use in our proof but which do not depend on our development.

*list-all* and *list-ex* are dual

**lemma** *not-list-all*:

$$(\neg \text{list-all } P \text{ } xs) = \text{list-ex } (\lambda x. \neg P \ x) \ xs$$

**by** (*metis Ball-set Bex-set*)

**lemma** *not-list-ex*:

$$(\neg \text{list-ex } P \text{ } xs) = \text{list-all } (\lambda x. \neg P \ x) \ xs$$

**by** (*metis Ball-set Bex-set*)

A list of length more than one starts with two elements

**lemma** *list-obtain-2*:  
**assumes**  $1 < \text{length } xs$   
**obtains**  $v \ vb \ vc$  **where**  $xs = v \# \ vb \# \ vc$   
**using** *assms* **by** (*cases xs rule: remdups-adj.cases*) *simp-all*

Generalise the theorem  $\llbracket ?k < ?l; ?m + ?l = ?k + ?n \rrbracket \implies ?m < ?n$

**lemma** *less-add-eq-less-general*:  
**fixes**  $k \ l \ m \ n :: 'a :: \{\text{comm-monoid-add, ordered-cancel-ab-semigroup-add, linorder}\}$   
**assumes**  $k < l$   
**and**  $m + l = k + n$   
**shows**  $m < n$   
**using** *assms* **by** (*metis add.commute add-strict-left-mono linorder-not-less nless-le*)

Consider a list of elements and two functions, one of which is always at less-than or equal to the other on elements of that list. If for one element of that list the first function is strictly less than the other, then summing the list with the first function is also strictly less summing it with the second function.

**lemma** *sum-list-mono-one-strict*:  
**fixes**  $f \ g :: 'a \Rightarrow 'b :: \{\text{comm-monoid-add, ordered-cancel-ab-semigroup-add, linorder}\}$   
**assumes**  $\bigwedge x. x \in \text{set } xs \implies f \ x \leq g \ x$   
**and**  $x \in \text{set } xs$   
**and**  $f \ x < g \ x$   
**shows**  $\text{sum-list } (\text{map } f \ xs) < \text{sum-list } (\text{map } g \ xs)$   
**proof** –  
**have**  $\text{sum-list } (\text{map } f \ xs) \leq \text{sum-list } (\text{map } g \ xs)$   
**using** *assms* *sum-list-mono* **by** *blast*  
**moreover** **have**  $\text{sum-list } (\text{map } f \ xs) \neq \text{sum-list } (\text{map } g \ xs)$   
**proof**  
**assume**  $\text{sum-list } (\text{map } f \ xs) = \text{sum-list } (\text{map } g \ xs)$   
**then** **have**  $\text{sum-list } (\text{map } f \ (\text{remove1 } x \ xs)) > \text{sum-list } (\text{map } g \ (\text{remove1 } x \ xs))$   
**by** (*metis add.commute assms(2,3) less-add-eq-less-general sum-list-map-remove1*)  
**then** **show** *False*  
**by** (*metis assms(1) leD notin-set-remove1 sum-list-mono*)  
**qed**  
**ultimately** **show** *?thesis*  
**by** *simp*  
**qed**

Generalise  $(\bigwedge x. x \in \text{set } ?xs \implies ?f \ x \leq ?g \ x) \implies \text{sum-list } (\text{map } ?f \ ?xs) \leq \text{sum-list } (\text{map } ?g \ ?xs)$  to allow for different lists

**lemma** *sum-list-mono-list-all2*:  
**fixes**  $f \ g :: 'a \Rightarrow 'b :: \{\text{monoid-add, ordered-ab-semigroup-add}\}$   
**assumes** *list-all2*  $(\lambda x \ y. f \ x \leq g \ y) \ xs \ ys$   
**shows**  $(\sum x \leftarrow xs. f \ x) \leq (\sum x \leftarrow ys. g \ x)$   
**using** *assms*

```

proof (induct xs arbitrary: ys)
  case Nil
  then show ?case by simp
next
  case (Cons a as)
  moreover obtain b bs where ys = b # bs
  using Cons by (meson list-all2-Cons1)
  ultimately show ?case
  by (simp add: add-mono)
qed

```

Generalise  $\llbracket \bigwedge x. x \in \text{set } ?xs \implies ?f x \leq ?g x; ?x \in \text{set } ?xs; ?f ?x < ?g ?x \rrbracket \implies \text{sum-list } (\text{map } ?f ?xs) < \text{sum-list } (\text{map } ?g ?xs)$  to allow for different lists

**lemma** *sum-list-mono-one-strict-list-all2*:

fixes  $f g :: 'a \Rightarrow 'b :: \{\text{comm-monoid-add, ordered-cancel-ab-semigroup-add, linorder}\}$

assumes *list-all2*  $(\lambda x y. f x \leq g y)$  *xs ys*  
 and  $(x, y) \in \text{set } (\text{zip } xs ys)$   
 and  $f x < g y$

shows  $\text{sum-list } (\text{map } f xs) < \text{sum-list } (\text{map } g ys)$

**proof** –

**note**  $len = \text{list-all2-lengthD}[OF \text{assms}(1)]$

**have**  $\text{sum-list } (\text{map } f xs) = (\sum x \leftarrow \text{zip } xs \text{ ys}. f (\text{fst } x))$

**proof** –

**have**  $\text{map } f xs = \text{map } f (\text{map } \text{fst } (\text{zip } xs ys))$

**using** *len* **by** *simp*

**then have**  $\text{map } f xs = \text{map } (\lambda x. f (\text{fst } x)) (\text{zip } xs ys)$

**by** *simp*

**then show** *?thesis*

**by** *metis*

**qed**

**moreover have**  $\text{sum-list } (\text{map } g ys) = (\sum x \leftarrow \text{zip } xs \text{ ys}. g (\text{snd } x))$

**proof** –

**have**  $\text{map } g ys = \text{map } g (\text{map } \text{snd } (\text{zip } xs ys))$

**using** *len* **by** *simp*

**then have**  $\text{map } g ys = \text{map } (\lambda x. g (\text{snd } x)) (\text{zip } xs ys)$

**by** *simp*

**then show** *?thesis*

**by** *metis*

**qed**

**moreover have**  $x \in \text{set } (\text{zip } xs \text{ ys}) \implies f (\text{fst } x) \leq g (\text{snd } x)$  **for** *x*

**using** *assms*(1) **by** (*fastforce simp add: in-set-zip list-all2-conv-all-nth*)

**ultimately show** *?thesis*

**using** *assms*(2,3) **by** (*simp add: sum-list-mono-one-strict*)

**qed**

Define a function to count the number of list elements satisfying a predicate

```

primrec count-if :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  nat
  where
    count-if P [] = 0
  | count-if P (x#xs) = (if P x then Suc (count-if P xs) else count-if P xs)

```

```

lemma count-if-append [simp]:
  count-if P (xs @ ys) = count-if P xs + count-if P ys
by (induct xs) simp-all

```

```

lemma count-if-0-conv:
  (count-if P xs = 0) = ( $\neg$  list-ex P xs)
by (induct xs) simp-all

```

Intersection of sets that are the same is any of those sets

```

lemma Inter-all-same:
  assumes  $\bigwedge x y. [x \in A; y \in A] \Longrightarrow f x = f y$ 
  and  $x \in A$ 
  shows  $(\bigcap x \in A. f x) = f x$ 
  using assms by blast

```

```

end
theory ResTerm
  imports Main
begin

```

## 2 Resource Terms

Resource terms describe resources with atoms drawn from two types, linear and copyable, combined in a number of ways:

- Parallel resources represent their simultaneous presence,
- Non-deterministic resource represent exactly one of two options,
- Executable resources represent a single potential execution of a process transforming one resource into another,
- Repeatably executable resources represent an unlimited amount of such potential executions.

We define two distinguished resources on top of the atoms:

- Empty, to represent the absence of a resource and serve as the unit for parallel combination,
- Anything, to represent a resource about which we have no information.

```

datatype (discs-sels) ('a, 'b) res-term =

```

*Res 'a*  
 — Linear resource atom  
 | *Copyable 'b*  
 — Copyable resource atom  
 | *is-Empty: Empty*  
 — The absence of a resource  
 | *is-Anything: Anything*  
 — Resource about which we know nothing  
 | *Parallel ('a, 'b) res-term list*  
 — Parallel combination  
 | *NonD ('a, 'b) res-term ('a, 'b) res-term*  
 — Non-deterministic combination  
 | *Executable ('a, 'b) res-term ('a, 'b) res-term*  
 — Executable resource  
 | *Repeatable ('a, 'b) res-term ('a, 'b) res-term*  
 — Repeatably executable resource

Every child of *Parallel* is smaller than it

**lemma** *parallel-child-smaller*:

$x \in \text{set } xs \implies \text{size-res-term } f g x < \text{size-res-term } f g (\text{Parallel } xs)$

**proof** (*induct xs*)

**case** *Nil* **then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

**then show** *?case*

**by** *simp (metis add-Suc-right less-SucI less-add-Suc1 trans-less-add2)*

**qed**

No singleton *Parallel* is equal to its own child, because the child has to be smaller

**lemma** *parallel-neq-single* [*simp*]:

$\text{Parallel } [a] \neq a$

**proof** —

**have**  $\bigwedge f g. \text{size-res-term } f g a < \text{size-res-term } f g (\text{Parallel } [a])$

**using** *parallel-child-smaller* **by** *simp*

**then show** *?thesis*

**by** *fastforce*

**qed**

## 2.1 Resource Term Equivalence

Some resource terms are different descriptions of the same situation. We express this by relating resource terms as follows:

- *Parallel []* with *Empty*
- *Parallel [x]* with *x*

- *Parallel* ( $xs @ [Parallel\ ys] @ zs$ ) with *Parallel* ( $xs @ ys @ zs$ )

We extend this with the reflexive base cases, recursive cases and symmetric-transitive closure. As a result, we get an equivalence relation on resource terms, which we will later use to quotient the terms and form a type of resources.

**inductive** *res-term-equiv* :: ('a, 'b) *res-term*  $\Rightarrow$  ('a, 'b) *res-term*  $\Rightarrow$  bool (**infix**  $\sim$  100)

**where**

*nil*: *Parallel* []  $\sim$  *Empty*  
| *singleton*: *Parallel* [a]  $\sim$  a  
| *merge*: *Parallel* (x @ [*Parallel* y] @ z)  $\sim$  *Parallel* (x @ y @ z)  
| *empty*: *Empty*  $\sim$  *Empty*  
| *anything*: *Anything*  $\sim$  *Anything*  
| *res*: *Res* x  $\sim$  *Res* x  
| *copyable*: *Copyable* x  $\sim$  *Copyable* x  
| *parallel*: *list-all2* ( $\sim$ ) xs ys  $\Longrightarrow$  *Parallel* xs  $\sim$  *Parallel* ys  
| *nondet*:  $\llbracket x \sim y; u \sim v \rrbracket \Longrightarrow$  *NonD* x u  $\sim$  *NonD* y v  
| *executable*:  $\llbracket x \sim y; u \sim v \rrbracket \Longrightarrow$  *Executable* x u  $\sim$  *Executable* y v  
| *repeatable*:  $\llbracket x \sim y; u \sim v \rrbracket \Longrightarrow$  *Repeatable* x u  $\sim$  *Repeatable* y v  
| *sym* [*sym*]: x  $\sim$  y  $\Longrightarrow$  y  $\sim$  x  
| *trans* [*trans*]:  $\llbracket x \sim y; y \sim z \rrbracket \Longrightarrow$  x  $\sim$  z

Add some of the rules for the simplifier

**lemmas** [*simp*] =

*nil nil*[*symmetric*]  
*singleton singleton*[*symmetric*]

Constrain all these rules to the resource term equivalence namespace

**hide-fact** (**open**) *empty anything res copyable nil singleton merge parallel nondet executable repeatable sym trans*

Next we derive a handful of rules for the equivalence, placing them in its namespace

**setup**  $\langle$ *Sign.mandatory-path res-term-equiv* $\rangle$

It can be shown to be reflexive

**lemma** *refl* [*simp*]:

a  $\sim$  a  
**by** (*induct* a ; *rule res-term-equiv.intros* ; *simp add: list-all2-same*)

**lemma** *reflI*:

a = b  $\Longrightarrow$  a  $\sim$  b  
**by** *simp*

**lemma** *equivp* [*simp*]:

*equiv res-term-equiv*  
**by** (*simp add: equivI reflI res-term-equiv.sym res-term-equiv.trans sympI transpI*)

Parallel resource terms can be related by splitting them into parts

**lemma** *decompose*:

**assumes** *Parallel x1 ~ Parallel y1*  
**and** *Parallel x2 ~ Parallel y2*  
**shows** *Parallel (x1 @ x2) ~ Parallel (y1 @ y2)*

**proof** –

**have** *Parallel [Parallel x1, Parallel x2] ~ Parallel [Parallel y1, Parallel y2]*  
**by** (*simp add: assms res-term-equiv.parallel*)  
**then have** *Parallel (Parallel x1 # x2) ~ Parallel (Parallel y1 # y2)*  
**using** *res-term-equiv.merge[of [Parallel x1] x2 Nil, simplified]*  
*res-term-equiv.merge[of [Parallel y1] y2 Nil, simplified]*  
**by** (*meson res-term-equiv.sym res-term-equiv.trans*)  
**then show** *Parallel (x1 @ x2) ~ Parallel (y1 @ y2)*  
**using** *res-term-equiv.merge[of Nil y1 y2, simplified]*  
*res-term-equiv.merge[of Nil x1 x2, simplified]*  
**by** (*meson res-term-equiv.sym res-term-equiv.trans*)

**qed**

We can drop a unit from any parallel resource term

**lemma** *drop*:

*Parallel (x @ [Empty] @ y) ~ Parallel (x @ y)*

**proof** –

**have** *Parallel [Empty] ~ Parallel [Parallel []]*  
**using** *res-term-equiv.nil res-term-equiv.sym res-term-equiv.trans res-term-equiv.singleton*  
**by** *blast*  
**then have** *Parallel (x @ [Empty] @ y) ~ Parallel (x @ [Parallel []] @ y)*  
**using** *res-term-equiv.decompose[OF res-term-equiv.refl, of [Empty] @ y [Parallel []] @ y x]*  
*res-term-equiv.decompose[OF - res-term-equiv.refl, of [Empty] [Parallel []] y]*  
**by** *blast*  
**then show** *?thesis*  
**using** *res-term-equiv.merge res-term-equiv.trans* **by** *fastforce*

**qed**

Equivalent resource terms remain equivalent wrapped in a parallel

**lemma** *singleton-both*:

*x ~ y  $\implies$  Parallel [x] ~ Parallel [y]*  
**by** (*simp add: res-term-equiv.parallel*)

We can reduce a resource term equivalence given equivalences for both sides

**lemma** *trans-both*:

*[[a ~ x; y ~ b; x ~ y]]  $\implies$  a ~ b*  
**by** (*rule res-term-equiv.trans[OF res-term-equiv.trans]*)

**setup**  $\langle \text{Sign.parent-path} \rangle$

**experiment begin**

**lemma**  $\text{Parallel} [\text{Parallel} [], \text{Empty}] \sim \text{Empty}$

**proof** –

**have**  $\text{Parallel} [\text{Parallel} [], \text{Empty}] \sim \text{Parallel} [\text{Parallel} []]$

**using**  $\text{res-term-equiv.drop}[\text{of} [\text{Parallel} []]]$  **by**  $\text{simp}$

**also have**  $\dots \sim \text{Parallel} []$  **by**  $\text{simp}$

**also have**  $\dots \sim \text{Empty}$  **by**  $\text{simp}$

**finally show**  $?thesis$  .

**qed**

**end**

Inserting equivalent terms anywhere in equivalent parallel terms preserves the equivalence

**lemma**  $\text{res-term-parallel-insert}$ :

**assumes**  $\text{Parallel} x \sim \text{Parallel} y$

**and**  $\text{Parallel} u \sim \text{Parallel} v$

**and**  $a \sim b$

**shows**  $\text{Parallel} (x @ [a] @ u) \sim \text{Parallel} (y @ [b] @ v)$

**by**  $(\text{meson assms res-term-equiv.decompose res-term-equiv.singleton-both})$

With inserting at the start being just a special case

**lemma**  $\text{res-term-parallel-cons}$ :

**assumes**  $\text{Parallel} x \sim \text{Parallel} y$

**and**  $a \sim b$

**shows**  $\text{Parallel} (a \# x) \sim \text{Parallel} (b \# y)$

**using**  $\text{res-term-parallel-insert}[\text{OF res-term-equiv.refl assms, of Nil}]$  **by**  $\text{simp}$

$\text{Empty}$  is a unit for binary  $\text{Parallel}$

**lemma**  $\text{res-term-parallel-emptyR} [\text{simp}]$ :  $\text{Parallel} [x, \text{Empty}] \sim x$

**using**  $\text{res-term-equiv.drop}[\text{of} [x] \text{Nil}]$  **by**  $(\text{simp add: res-term-equiv.trans})$

**lemma**  $\text{res-term-parallel-emptyL} [\text{simp}]$ :  $\text{Parallel} [\text{Empty}, x] \sim x$

**using**  $\text{res-term-equiv.drop}[\text{of Nil} [x]]$  **by**  $(\text{simp add: res-term-equiv.trans})$

Term equivalence is preserved by parallel on either side

**lemma**  $\text{res-term-equiv-parallel} [\text{simp}]$ :

$x \sim y \implies x \sim \text{Parallel} [y]$

**using**  $\text{res-term-equiv.singleton res-term-equiv.sym res-term-equiv.trans}$  **by**  $\text{blast}$

**lemmas**  $[\text{simp}] = \text{res-term-equiv-parallel}[\text{symmetric}]$

Resource term map preserves equivalence:

**lemma**  $\text{map-res-term-preserves-equiv} [\text{simp}]$ :

$x \sim y \implies \text{map-res-term } f g x \sim \text{map-res-term } f g y$

**proof**  $(\text{induct rule: res-term-equiv.induct})$

**case empty then show**  $?case$  **by**  $\text{simp}$

**next case anything then show**  $?case$  **by**  $\text{simp}$

```

next case (res x) then show ?case by simp
next case (copyable x) then show ?case by simp
next case nil then show ?case by simp
next case (singleton a) then show ?case by simp
next case (merge x y z) then show ?case using res-term-equiv.merge by fastforce
next
  case (parallel xs ys)
  then show ?case
    by (simp add: list-all2-conv-all-nth res-term-equiv.parallel)
next case (nondet x y u v) then show ?case by (simp add: res-term-equiv.nondet)
next case (executable x y u v) then show ?case by (simp add: res-term-equiv.executable)
next case (repeatable x y u v) then show ?case by (simp add: res-term-equiv.repeatable)
next case (sym x y) then show ?case by (simp add: res-term-equiv.sym)
next case (trans x y z) then show ?case using res-term-equiv.trans by blast
qed

```

The other direction is not true in general, because they may be new equivalences created by mapping different atoms to the same one. However, the counter-example proof requires a decision procedure for the equivalence to prove that two distinct atoms are not equivalent terms. As such, we delay it until normalisation for the terms is established.

## 2.2 Parallel Parts

Parallel resources often arise in processes, because they describe the frequent situation of having multiple resources be simultaneously present. With resource terms, the way this situation is expressed can get complex. To simplify it, we define a function to extract the list of parallel resource terms, traversing nested *Parallel* terms and dropping any *Empty* resources in them. We call these the parallel parts.

```

primrec parallel-parts :: ('a, 'b) res-term  $\Rightarrow$  ('a, 'b) res-term list
where
  parallel-parts Empty = []
  | parallel-parts Anything = [Anything]
  | parallel-parts (Res a) = [Res a]
  | parallel-parts (Copyable a) = [Copyable a]
  | parallel-parts (Parallel xs) = concat (map parallel-parts xs)
  | parallel-parts (NonD a b) = [NonD a b]
  | parallel-parts (Executable a b) = [Executable a b]
  | parallel-parts (Repeatable a b) = [Repeatable a b]

```

Every resource is equivalent to combining its parallel parts in parallel

**lemma** *parallel-parts-eq*:

$x \sim \text{Parallel } (\text{parallel-parts } x)$

**proof** (*induct x*)

**case** *Empty* **then show** ?*case* **by** *simp*

**next case** *Anything* **then show** ?*case* **by** *simp*

```

next case (Res  $x$ ) then show ?case by simp
next case (Copyable  $x$ ) then show ?case by simp
next
  case (Parallel  $xs$ )
  then show ?case
  proof (induct  $xs$ )
    case Nil then show ?case by simp
  next
    case (Cons  $a$   $x$ )
    then have  $a1$ :  $a \sim \text{Parallel}(\text{parallel-parts } a)$ 
      and  $a2$ :  $\text{Parallel } x \sim \text{Parallel}(\text{parallel-parts } (\text{Parallel } x))$ 
      by simp-all

    have  $\text{Parallel } [a] \sim \text{Parallel}(\text{parallel-parts } a)$ 
      using  $a1$  res-term-equiv.trans res-term-equiv.singleton by blast
    then have  $\text{Parallel } (a \# x) \sim \text{Parallel}(\text{parallel-parts } a @ \text{parallel-parts } (\text{Parallel } x))$ 
      using res-term-equiv.decompose[OF - a2, of [a]] by simp
    then show ?case
      by simp
  qed
next case (NonD  $x1$   $x2$ ) then show ?case by simp
next case (Executable  $x1$   $x2$ ) then show ?case by simp
next case (Repeatable  $x1$   $x2$ ) then show ?case by simp
qed

```

Equivalent parallel parts is the same as equivalent resource terms

**lemma** *equiv-parallel-parts*:

*list-all2* ( $\sim$ ) (*parallel-parts*  $a$ ) (*parallel-parts*  $b$ ) =  $a \sim b$

**proof**

**show** *list-all2* ( $\sim$ ) (*parallel-parts*  $a$ ) (*parallel-parts*  $b$ )  $\implies a \sim b$

**by** (*meson res-term-equiv.parallel parallel-parts-eq res-term-equiv.sym res-term-equiv.trans*)

**show**  $a \sim b \implies \text{list-all2 } (\sim) (\text{parallel-parts } a) (\text{parallel-parts } b)$

**proof** (*induct rule: res-term-equiv.induct*)

**case** *empty* **then show** ?*case* **by** *simp*

**next case** *anything* **then show** ?*case* **by** *simp*

**next case** (*res*  $x$ ) **then show** ?*case* **by** *simp*

**next case** (*copyable*  $x$ ) **then show** ?*case* **by** *simp*

**next case** *nil* **then show** ?*case* **by** *simp*

**next case** (*singleton*  $a$ ) **then show** ?*case* **by** (*simp add: list-all2-refl*)

**next case** (*merge*  $x$   $y$   $z$ ) **then show** ?*case* **by** (*simp add: list-all2-refl*)

**next**

**case** (*parallel*  $xs$   $ys$ )

**then show** ?*case*

**by** (*induct rule: list-all2-induct ; simp add: list-all2-appendI*)

**next case** (*nondet*  $x$   $y$   $u$   $v$ ) **then show** ?*case* **by** (*simp add: res-term-equiv.nondet*)

**next case** (*executable*  $x$   $y$   $u$   $v$ ) **then show** ?*case* **by** (*simp add: res-term-equiv.executable*)

**next case** (*repeatable*  $x$   $y$   $u$   $v$ ) **then show** ?*case* **by** (*simp add: res-term-equiv.repeatable*)

**next case** (*sym*  $x$   $y$ ) **then show** ?*case* **by** (*simp add: list-all2-conv-all-nth*)

```

res-term-equiv.sym)
  next case (trans x y z) then show ?case using res-term-equiv.trans list-all2-trans
by blast
qed
qed

```

Note that resource term equivalence does not imply parallel parts equality

```

lemma
  obtains x y where x ~ y and parallel-parts x ≠ parallel-parts y
proof
  let ?x = NonD (Parallel [Anything, Empty]) (Parallel [])
  let ?y = NonD Anything Empty

  show ?x ~ ?y
  by (simp add: res-term-equiv.nondet)
  show parallel-parts ?x ≠ parallel-parts ?y
  by simp
qed

```

But it does imply that both have equal number of parallel parts

```

lemma parallel-parts-length-eq:
  x ~ y ⇒ length (parallel-parts x) = length (parallel-parts y)
  using equiv-parallel-parts list-all2-lengthD by blast

```

Empty parallel parts, however, is the same as equivalence to the unit

```

lemma parallel-parts-nil-equiv-empty:
  (parallel-parts a = []) = a ~ Empty
  using equiv-parallel-parts list.rel-sel parallel-parts.simps(1) by blast

```

Singleton parallel parts imply equivalence to the one element

```

lemma parallel-parts-single-equiv-element:
  parallel-parts a = [x] ⇒ a ~ x
  using parallel-parts-eq res-term-equiv.trans by force

```

No element of parallel parts is *Parallel* or *Empty*

```

lemma parallel-parts-have-no-empty:
  x ∈ set (parallel-parts a) ⇒ ¬ is-Empty x
  by (induct a) fastforce+
lemma parallel-parts-have-no-par:
  x ∈ set (parallel-parts a) ⇒ ¬ is-Parallel x
  by (induct a) fastforce+

```

Every parallel part of a resource is at most as big as it

```

lemma parallel-parts-not-bigger:
  x ∈ set (parallel-parts a) ⇒ size-res-term f g x ≤ (size-res-term f g a)
proof (induct a)
  case Empty then show ?case by simp
next case Anything then show ?case by simp

```

```

next case (Res x) then show ?case by simp
next case (Copyable x) then show ?case by simp
next
  case (Parallel x)
  then show ?case
    by (clarsimp simp add: le-SucI size-list-estimation')
next case (NonD a1 a2) then show ?case by simp
next case (Executable a1 a2) then show ?case by simp
next case (Repeatable a1 a2) then show ?case by simp
qed

```

Any resource that is not *Empty* or *Parallel* has itself as parallel part

```

lemma parallel-parts-self [simp]:
  [[ $\neg$  is-Empty x;  $\neg$  is-Parallel x]]  $\implies$  parallel-parts x = [x]
  by (cases x) simp-all

```

List of terms with no *Empty* or *Parallel* elements is the same as parallel parts of the *Parallel* term build from it

```

lemma parallel-parts-no-empty-parallel:
  assumes  $\neg$  list-ex is-Empty xs
    and  $\neg$  list-ex is-Parallel xs
  shows parallel-parts (Parallel xs) = xs
  using assms
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp)
qed

```

## 2.3 Parallelisation

In the opposite direction of parallel parts, we can take a list of resource terms and combine them in parallel in a way smarter than just using *Parallel*. This rests in checking the list length, using the *Empty* resource if it is empty and skipping the wrapping in *Parallel* if it has only a single element. We call this parallelisation.

```

fun parallelise :: ('a, 'b) res-term list  $\Rightarrow$  ('a, 'b) res-term
  where
    parallelise [] = Empty
  | parallelise [x] = x
  | parallelise xs = Parallel xs

```

This produces equivalent results to the *Parallel* constructor

```

lemma parallelise-equiv:
  parallelise xs  $\sim$  Parallel xs

```

by (cases xs rule: parallelise.cases) simp-all

Lists of equal length that parallelise to the same term must have been equal

**lemma** *parallelise-same-length*:

$\llbracket \text{parallelise } x = \text{parallelise } y; \text{length } x = \text{length } y \rrbracket \implies x = y$

by (elim parallelise.elims) simp-all

Parallelisation and naive parallel combination have the same parallel parts

**lemma** *parallel-parts-parallelise-eq*:

$\text{parallel-parts } (\text{parallelise } xs) = \text{parallel-parts } (\text{Parallel } xs)$

by (cases xs rule: parallelise.cases) simp-all

Parallelising to a *Parallel* term means the input is either:

- A singleton set containing just that resulting *Parallel* term, or
- Exactly the children of the output and with at least two elements.

**lemma** *parallelise-to-parallel-conv*:

$(\text{parallelise } xs = \text{Parallel } ys) = (xs = [\text{Parallel } ys] \vee (1 < \text{length } xs \wedge xs = ys))$

**proof**

show  $\text{parallelise } xs = \text{Parallel } ys \implies xs = [\text{Parallel } ys] \vee 1 < \text{length } xs \wedge xs = ys$

by (fastforce elim: parallelise.elims)

have  $xs = [\text{Parallel } ys] \implies \text{parallelise } xs = \text{Parallel } ys$

by simp

moreover have  $1 < \text{length } xs \wedge xs = ys \implies \text{parallelise } xs = \text{Parallel } ys$

by simp (metis Suc-lessD length-Cons list.size(3) nat-neq-iff parallelise.elims)

ultimately show  $xs = [\text{Parallel } ys] \vee 1 < \text{length } xs \wedge xs = ys \implies \text{parallelise } xs = \text{Parallel } ys$

by blast

qed

So parallelising to a *Parallel* term with the same children is the same as the list having at least two elements

**lemma** *parallelise-to-parallel-same-length*:

$(\text{parallelise } xs = \text{Parallel } xs) = (1 < \text{length } xs)$

by (simp add: parallelise-to-parallel-conv) (metis parallel-neq-single)

If the output of parallelisation contains a nested *Parallel* term then so must have the input list

**lemma** *parallelise-to-parallel-has-parallel*:

assumes  $\text{parallelise } xs = \text{Parallel } ys$

and  $\text{list-ex is-Parallel } ys$

shows  $\text{list-ex is-Parallel } xs$

using assms by (induct xs rule: parallelise.induct) simp-all

If the output of parallelisation contains *Empty* then so must have the input

**lemma** *parallelise-to-parallel-has-empty*:  
**assumes** *parallelise xs = Parallel ys*  
**obtains**  $xs = [Parallel\ ys]$   
|  $xs = ys$   
**using** *assms parallelise-to-parallel-conv* **by** *blast*

Parallelising to *Empty* means the input list was either empty or contained just that

**lemma** *parallelise-to-empty-eq*:  
**assumes** *parallelise xs = Empty*  
**obtains**  $xs = []$   
|  $xs = [Empty]$   
**using** *assms parallelise.elims* **by** *blast*

If a list parallelises to anything but *Parallel* or *Empty*, then it must have been a singleton of that term

**lemma** *parallelise-to-single-eq*:  
**assumes** *parallelise xs = a*  
**and**  $\neg is-Empty\ a$   
**and**  $\neg is-Parallel\ a$   
**shows**  $xs = [a]$   
**using** *assms* **by** (*cases xs rule: parallelise.cases ; fastforce*)

Sets of atoms after parallelisation are unions of those atoms sets for the inputs

**lemma** *set1-res-term-parallelise* [*simp*]:  
 $set1-res-term\ (ResTerm.parallelise\ xs) = \bigcup (set1-res-term\ 'set\ xs)$   
**by** (*induct xs rule: parallelise.induct*) *simp-all*

**lemma** *set2-res-term-parallelise* [*simp*]:  
 $set2-res-term\ (ResTerm.parallelise\ xs) = \bigcup (set2-res-term\ 'set\ xs)$   
**by** (*induct xs rule: parallelise.induct*) *simp-all*

## 2.4 Refinement

Resource term refinement applies two functions to the linear and copyable atoms in a term. Unlike *map-res-term*, the first function (applied to linear atoms) is allowed to produce full resource terms, not just other atoms. (The second function must still produce other atoms, because we cannot replace a copyable atom with an arbitrary, possibly not copyable, resource.) This allows us to refine atoms into potentially complex terms.

**primrec** *refine-res-term* ::  
 $('a \Rightarrow ('x, 'y)\ res-term) \Rightarrow ('b \Rightarrow 'y) \Rightarrow ('a, 'b)\ res-term \Rightarrow ('x, 'y)\ res-term$   
**where**  
 $refine-res-term\ f\ g\ Empty = Empty$   
|  $refine-res-term\ f\ g\ Anything = Anything$   
|  $refine-res-term\ f\ g\ (Res\ a) = f\ a$   
|  $refine-res-term\ f\ g\ (Copyable\ x) = Copyable\ (g\ x)$

```

| refine-res-term f g (Parallel xs) = Parallel (map (refine-res-term f g) xs)
| refine-res-term f g (NonD x y) = NonD (refine-res-term f g x) (refine-res-term
f g y)
| refine-res-term f g (Executable x y) =
  Executable (refine-res-term f g x) (refine-res-term f g y)
| refine-res-term f g (Repeatable x y) =
  Repeatable (refine-res-term f g x) (refine-res-term f g y)

```

Two refined resources are equivalent if:

- the original resources were equivalent,
- the linear atom refinements produce equivalent terms and
- the copyable atom refinements produce identical atoms.

**lemma** *refine-res-term-eq*:

```

assumes x ~ y
  and  $\bigwedge x. f x \sim f' x$ 
  and  $\bigwedge x. g x = g' x$ 
shows refine-res-term f g x ~ refine-res-term f' g' y

```

**proof** –

```

have reflexivity: refine-res-term f g a ~ refine-res-term f' g' a for a

```

— First we prove the simpler case where the two resources are equal, so we can use it later

```

proof (induct a)

```

```

  case Empty then show ?case by simp

```

```

next case Anything then show ?case by simp

```

```

next case (Res x) then show ?case using assms(2) by simp

```

```

next case (Copyable x) then show ?case using assms(3) by simp

```

```

next

```

```

  case (Parallel x)

```

```

  then show ?case

```

```

  by (clarsimp intro!: res-term-equiv.parallel)

```

```

    (metis (mono-tags, lifting) length-map list-all2-all-nthI nth-map nth-mem)

```

```

next case (NonD a1 a2) then show ?case by (simp add: res-term-equiv.nondet)

```

```

next case (Executable a1 a2) then show ?case by (simp add: res-term-equiv.executable)

```

```

next case (Repeatable a1 a2) then show ?case by (simp add: res-term-equiv.repeatable)

```

```

qed

```

```

from assms show ?thesis

```

— Then we prove the general statement by induction on assumed equivalence

```

proof (induct rule: res-term-equiv.induct)

```

```

  case empty then show ?case by simp

```

```

next case anything then show ?case by simp

```

```

next case (res x) then show ?case by simp

```

```

next case (copyable x) then show ?case by simp

```

```

next case nil then show ?case by simp

```

```

next

```

```

case (singleton a)
then have refine-res-term f g (Parallel [a]) ~ refine-res-term f g a
  by simp
then show ?case
  using reflexivity res-term-equiv.trans by metis
next
case (merge x y z)
have
  length (map (refine-res-term f g') x @
    map (refine-res-term f g') y @ map (refine-res-term f g') z)
  = length (map (refine-res-term f' g') x @
    map (refine-res-term f' g') y @ map (refine-res-term f' g') z)
  by simp
moreover have
  ((map (refine-res-term f g) x @
    map (refine-res-term f g) y @ map (refine-res-term f g) z) ! i)
  ~ ((map (refine-res-term f' g') x @
    map (refine-res-term f' g') y @ map (refine-res-term f' g') z) ! i)
  if i < length x + length y + length z for i
  by (metis append.assoc length-append map-append nth-map reflexivity that)
ultimately have
  list-all2 (~
    (map (refine-res-term f g) x
      @ map (refine-res-term f g) y
      @ map (refine-res-term f g) z)
    (map (refine-res-term f' g') x
      @ map (refine-res-term f' g') y
      @ map (refine-res-term f' g') z))
  by (smt (verit, del-insts) append-assoc length-append length-map list-all2-all-nthI)
then have
  Parallel (map (refine-res-term f g) x @
    [Parallel (map (refine-res-term f g) y)] @ map (refine-res-term f g) z))
  ~ Parallel (map (refine-res-term f' g') x @
    map (refine-res-term f' g') y @ map (refine-res-term f' g') z)
  using res-term-equiv.merge res-term-equiv.parallel res-term-equiv.trans by
blast
then show ?case
  by simp
next
case (parallel xs ys)
then show ?case
  by (simp add: res-term-equiv.parallel list-all2-conv-all-nth)
next case (nondet x y u v) then show ?case by (simp add: res-term-equiv.nondet)
next case (executable x y u v) then show ?case by (simp add: res-term-equiv.executable)
next case (repeatable x y u v) then show ?case by (simp add: res-term-equiv.repeatable)
next
case (sym x y)
then show ?case
  by (metis res-term-equiv.sym res-term-equiv.trans reflexivity)

```

```

next
  case (trans x y z)
  then show ?case
    by (metis res-term-equiv.sym res-term-equiv.trans reflexivity)
qed
qed

```

## 2.5 Removing *Empty* Terms From a List

As part of simplifying resource terms, it is sometimes useful to be able to take a list of terms and drop from it any empty resource.

```

primrec remove-all-empty :: ('a, 'b) res-term list  $\Rightarrow$  ('a, 'b) res-term list
  where
    remove-all-empty [] = []
  | remove-all-empty (x#xs) = (if is-Empty x then remove-all-empty xs else x#remove-all-empty xs)

```

The result of dropping *Empty* terms from a list of resource terms is a subset of the original list

```

lemma remove-all-empty-subset:
   $x \in \text{set } (\text{remove-all-empty } xs) \implies x \in \text{set } xs$ 
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    by simp (metis (full-types) set-ConsD)
qed

```

If there are no *Empty* terms then removing them is the same as not doing anything

```

lemma remove-all-empty-none:
   $\neg \text{list-ex is-Empty } xs \implies \text{remove-all-empty } xs = xs$ 
  by (induct xs ; force)

```

There are no *Empty* terms left after they are removed

```

lemma remove-all-empty-result:
   $\neg \text{list-ex is-Empty } (\text{remove-all-empty } xs)$ 
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp)
qed

```

Removing *Empty* terms distributes over appending lists

```

lemma remove-all-empty-append:

```

$remove\text{-}all\text{-}empty (xs @ ys) = remove\text{-}all\text{-}empty xs @ remove\text{-}all\text{-}empty ys$   
**proof** (*induct xs arbitrary: ys*)  
   **case** *Nil*  
   **then show** *?case by simp*  
**next**  
   **case** (*Cons a xs*)  
   **then show** *?case by (cases a ; simp)*  
**qed**

Removing *Empty* terms distributes over constructing lists

**lemma** *remove-all-empty-Cons*:  
 $remove\text{-}all\text{-}empty (x \# xs) = remove\text{-}all\text{-}empty [x] @ remove\text{-}all\text{-}empty xs$   
**using** *remove-all-empty-append by (metis append.left-neutral append-Cons)*

Removing *Empty* terms from children of a parallel resource term results in an equivalent term

**lemma** *remove-all-empty-equiv*:  
 $Parallel\ xs \sim Parallel\ (remove\text{-}all\text{-}empty\ xs)$   
**proof** (*induct xs*)  
   **case** *Nil*  
   **then show** *?case by simp*  
**next**  
   **case** (*Cons a xs*)  
   **then show** *?case*  
   **by** (*metis append.left-neutral append-Cons remove-all-empty.simps(2) res-term-equiv.drop res-term-equiv.refl res-term-equiv.trans res-term-parallel-cons is-Empty-def*)  
**qed**

Removing *Empty* terms does not affect the atom sets

**lemma** *set1-res-term-remove-all-empty [simp]*:  
 $\bigcup (set1\text{-}res\text{-}term \text{ ' } set\ (remove\text{-}all\text{-}empty\ xs)) = \bigcup (set1\text{-}res\text{-}term \text{ ' } set\ xs)$   
**proof** (*induct xs*)  
   **case** *Nil*  
   **then show** *?case by simp*  
**next**  
   **case** (*Cons a xs*)  
   **then show** *?case*  
   **by** (*cases a*) *simp-all*  
**qed**

**lemma** *set2-res-term-remove-all-empty [simp]*:  
 $\bigcup (set2\text{-}res\text{-}term \text{ ' } set\ (remove\text{-}all\text{-}empty\ xs)) = \bigcup (set2\text{-}res\text{-}term \text{ ' } set\ xs)$   
**proof** (*induct xs*)  
   **case** *Nil*  
   **then show** *?case by simp*  
**next**  
   **case** (*Cons a xs*)  
   **then show** *?case*  
   **by** (*cases a*) *simp-all*  
**qed**

## 2.6 Merging Nested *Parallel* Terms in a List

Similarly, it is sometimes useful to be able to take a list of terms and merge the children of any *Parallel* term in it up into the list itself

```

primrec merge-all-parallel :: ('a, 'b) res-term list  $\Rightarrow$  ('a, 'b) res-term list
  where
    merge-all-parallel [] = []
  | merge-all-parallel (x#xs) =
    (case x of Parallel y  $\Rightarrow$  y @ merge-all-parallel xs | -  $\Rightarrow$  x # merge-all-parallel
    xs)

```

If there are no *Parallel* terms then merging them is the same as not doing anything

**lemma** merge-all-parallel-none:

$\neg$  list-ex is-Parallel xs  $\Longrightarrow$  merge-all-parallel xs = xs

**proof** (induct xs)

**case** Nil

**then show** ?case **by** simp

**next**

**case** (Cons a xs)

**then show** ?case **by** (cases a ; simp)

**qed**

If no element of the input list has itself nested *Parallel* terms then there will be none left after merging *Parallel* terms in the list

**lemma** merge-all-parallel-result:

**assumes**  $\bigwedge$ ys. Parallel ys  $\in$  set xs  $\Longrightarrow$   $\neg$  list-ex is-Parallel ys

**shows**  $\neg$  list-ex is-Parallel (merge-all-parallel xs)

**using** assms

**proof** (induct xs)

**case** Nil

**then show** ?case **by** simp

**next**

**case** (Cons a xs)

**then show** ?case **by** (cases a ; fastforce)

**qed**

Merging nested *Parallel* terms distributes over appending lists

**lemma** merge-all-parallel-append:

merge-all-parallel (xs @ ys) = merge-all-parallel xs @ merge-all-parallel ys

**proof** (induct xs arbitrary: ys)

**case** Nil

**then show** ?case **by** simp

**next**

**case** (Cons a xs)

**then show** ?case **by** (cases a ; simp)

**qed**

Merging *Parallel* terms distributes over constructing lists

**lemma** *merge-all-parallel-Cons*:

*merge-all-parallel* ( $x \# xs$ ) = *merge-all-parallel* [ $x$ ] @ *merge-all-parallel*  $xs$   
**using** *merge-all-parallel-append* **by** (*metis append.left-neutral append-Cons*)

Merging *Parallel* terms nested in another *Parallel* term results in an equivalent term

**lemma** *merge-all-parallel-equiv*:

*Parallel*  $xs \sim$  *Parallel* (*merge-all-parallel*  $xs$ )

**proof** (*induct*  $xs$ )

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons*  $a$   $xs$ )

**have** *?case* **if**  $a =$  *Parallel*  $as$  **for**  $as$

**using** *Cons*

**by** (*simp add: that*)

(*metis append.left-neutral append-Cons res-term-equiv.decompose res-term-equiv.singleton*)

**moreover have** *?case* **if**  $\bigwedge as. a \neq$  *Parallel*  $as$

**using** *Cons* **by** (*cases*  $a$ ) (*simp-all add: that res-term-parallel-cons*)

**ultimately show** *?case*

**by** *metis*

**qed**

If the output of *merge-all-parallel* contains *Empty* then:

- It was nested in one of the input elements, or
- It was in the input.

**lemma** *merge-all-parallel-has-empty*:

**assumes** *list-ex is-Empty* (*merge-all-parallel*  $xs$ )

**obtains**  $ys$  **where** *Parallel*  $ys \in$  *set*  $xs$  **and** *list-ex is-Empty*  $ys$   
| *list-ex is-Empty*  $xs$

**using** *assms*

**proof** (*induct*  $xs$ )

**case** *Nil* **then show** *?case* **by** *simp*

**next**

**case** (*Cons*  $a$   $xs$ )

**then show** *?case* **by** (*cases*  $a$ ) *fastforce+*

**qed**

Merging *Parallel* terms does not affect the atom sets

**lemma** *set1-res-term-merge-all-parallel [simp]*:

$\bigcup(\text{set1-res-term } ' \text{ set } (\text{merge-all-parallel } xs)) = \bigcup(\text{set1-res-term } ' \text{ set } xs)$

**proof** (*induct*  $xs$ )

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

```

    case (Cons a xs)
  then show ?case
    by (cases a) simp-all
qed
lemma set2-res-term-merge-all-parallel [simp]:
   $\bigcup(\text{set2-res-term } ' \text{ set } (\text{merge-all-parallel } xs)) = \bigcup(\text{set2-res-term } ' \text{ set } xs)$ 
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    by (cases a) simp-all
qed

end
theory ResNormalForm
  imports
    ResTerm
    Util
begin

```

### 3 Resource Term Normal Form

A resource term is normalised when:

- It is a leaf node, or
- It is an internal node with all children normalised and additionally:
  - If it is a parallel resource then none of its children are *Empty* or *Parallel* and it has more than one child.

```

primrec normalised :: ('a, 'b) res-term  $\Rightarrow$  bool
where
  normalised Empty = True
| normalised Anything = True
| normalised (Res x) = True
| normalised (Copyable x) = True
| normalised (Parallel xs) =
  ( list-all normalised xs  $\wedge$ 
    list-all ( $\lambda x. \neg$  is-Empty x) xs  $\wedge$  list-all ( $\lambda x. \neg$  is-Parallel x) xs  $\wedge$ 
    1 < length xs)
| normalised (NonD x y) = (normalised x  $\wedge$  normalised y)
| normalised (Executable x y) = (normalised x  $\wedge$  normalised y)
| normalised (Repeatable x y) = (normalised x  $\wedge$  normalised y)

```

The fact that a term is not normalised can be split into cases

**lemma** *not-normalised-cases*:

**assumes**  $\neg$  *normalised*  $x$

**obtains**

(*Parallel-Child*)  $xs$  **where**  $x = \text{Parallel } xs$  **and** *list-ex*  $(\lambda x. \neg \text{normalised } x) xs$   
| (*Parallel-Empty*)  $xs$  **where**  $x = \text{Parallel } xs$  **and** *list-ex is-Empty*  $xs$   
| (*Parallel-Par*)  $xs$  **where**  $x = \text{Parallel } xs$  **and** *list-ex is-Parallel*  $xs$   
| (*Parallel-Nil*)  $x = \text{Parallel } []$   
| (*Parallel-Singleton*)  $a$  **where**  $x = \text{Parallel } [a]$   
| (*NonD-L*)  $a b$  **where**  $x = \text{NonD } a b$  **and**  $\neg$  *normalised*  $a$   
| (*NonD-R*)  $a b$  **where**  $x = \text{NonD } a b$  **and**  $\neg$  *normalised*  $b$   
| (*Executable-L*)  $a b$  **where**  $x = \text{Executable } a b$  **and**  $\neg$  *normalised*  $a$   
| (*Executable-R*)  $a b$  **where**  $x = \text{Executable } a b$  **and**  $\neg$  *normalised*  $b$   
| (*Repeatable-L*)  $a b$  **where**  $x = \text{Repeatable } a b$  **and**  $\neg$  *normalised*  $a$   
| (*Repeatable-R*)  $a b$  **where**  $x = \text{Repeatable } a b$  **and**  $\neg$  *normalised*  $b$

**proof** (*cases*  $x$ )

**case** *Empty* **then show** *?thesis* **using** *assms* **by** *simp*

**next case** *Anything* **then show** *?thesis* **using** *assms* **by** *simp*

**next case** (*Res*  $x$ ) **then show** *?thesis* **using** *assms* **by** *simp*

**next case** (*Copyable*  $x$ ) **then show** *?thesis* **using** *assms* **by** *simp*

**next**

**case** (*Parallel*  $xs$ )

**then consider**

*list-ex*  $(\lambda x. \neg \text{normalised } x) xs$

| *list-ex is-Empty*  $xs$

| *list-ex is-Parallel*  $xs$

| *length*  $xs \leq \text{Suc } 0$

**using** *assms not-list-ex* **by** *fastforce*

**then show** *?thesis*

**using** *that(1-5)* *Parallel*

**by** (*metis* (*no-types*, *lifting*) *le-Suc-eq* *le-zero-eq* *length-0-conv* *length-Suc-conv*)

**next**

**case** (*NonD*  $x y$ )

**then show** *?thesis*

**using** *assms that(6,7)* **by** (*cases normalised*  $x$ ) *simp-all*

**next**

**case** (*Executable*  $x y$ )

**then show** *?thesis*

**using** *assms that(8,9)* **by** (*cases normalised*  $x$ ) *simp-all*

**next**

**case** (*Repeatable*  $x y$ )

**then show** *?thesis*

**using** *assms that(10,11)* **by** (*cases normalised*  $x$ ) *simp-all*

**qed**

When a *Parallel* term is not normalised then it can be useful to obtain the first term in it that is *Empty*, *Parallel* or not normalised.

**lemma** *obtain-first-parallel*:

**assumes** *list-ex is-Parallel*  $xs$

**obtains**  $a b c$  **where**  $xs = a @ [\text{Parallel } b] @ c$  **and** *list-all*  $(\lambda x. \neg \text{is-Parallel } x)$

```

a
  using assms
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  by simp (metis (mono-tags, lifting) append-eq-Cons-conv is-Parallel-def list.pred-inject)
qed
lemma obtain-first-empty:
  assumes list-ex is-Empty xs
  obtains a b c where  $xs = a @ [Empty] @ c$  and list-all ( $\lambda x. \neg is-Empty x$ ) a
  using assms
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  by simp (metis (mono-tags, lifting) append-eq-Cons-conv is-Empty-def list.pred-inject)
qed
lemma obtain-first-unnormalised:
  assumes list-ex ( $\lambda x. \neg normalised x$ ) xs
  obtains a b c where  $xs = a @ [b] @ c$  and list-all normalised a and  $\neg normalised b$ 
  using assms
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  by simp (metis (mono-tags, lifting) append-eq-Cons-conv list.pred-inject)
qed

```

Mapping functions over a resource term does not change whether it is normalised

```

lemma normalised-map:
  normalised (map-res-term f g x) = normalised x
  by (induct x) (simp-all add: Ball-set[symmetric])

```

If a *Parallel* term is normalised then so are all its children

```

lemma normalised-parallel-children:
   $\llbracket normalised (Parallel xs); x \in set xs \rrbracket \implies normalised x$ 
  by (induct xs rule: remdups-adj.induct ; fastforce)

```

Normalised *Parallel* term has as parallel parts exactly its direct children

```

lemma normalised-parallel-parts-eq:
  normalised (Parallel xs)  $\implies parallel-parts (Parallel xs) = xs$ 
  by (induct xs rule: induct-list012 ; fastforce)

```

Parallelising a list of normalised terms with no nested *Empty* or *Parallel* terms gives normalised result.

```

lemma normalised-parallelise:
  assumes  $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x$ 
    and  $\neg \text{list-ex is-Empty } xs$ 
    and  $\neg \text{list-ex is-Parallel } xs$ 
  shows normalised (parallelise xs)
proof (cases xs rule: parallelise.cases)
  case 1
  then show ?thesis
    by simp
next
  case (2 x)
  then show ?thesis
    using assms(1) by simp
next
  case (3 v vb vc)
  then show ?thesis
    using assms by (simp add: not-list-ex Ball-set[symmetric])
qed

end
theory ResNormRewrite
  imports
    ResNormalForm
    Abstract-Rewriting.Abstract-Rewriting
    Util
begin

```

## 4 Rewriting Resource Term Normalisation

This resource term normalisation procedure is based on the following rewrite rules:

- $\text{Parallel } [] \rightarrow \text{Empty}$
- $\text{Parallel } [a] \rightarrow a$
- $\text{Parallel } (x @ [\text{Parallel } y] @ z) \rightarrow \text{Parallel } (x @ y @ z)$
- $\text{Parallel } (x @ [\text{Empty}] @ y) \rightarrow \text{Parallel } (x @ y)$

This represents the one-directional, single-step version of resource term equivalence. Note that the last rule must be made explicit here, because its counterpart theorem  $\text{Parallel } (?x @ [\text{Empty}] @ ?y) \sim \text{Parallel } (?x @ ?y)$  can only be derived thanks to symmetry.

## 4.1 Rewriting Relation

The rewriting relation contains a rewriting rule for each introduction rule of ( $\sim$ ) except for symmetry and transitivity, and an explicit rule for *Parallel* ( $?x @ [Empty] @ ?y \sim Parallel (?x @ ?y)$ ).

**inductive** *res-term-rewrite* :: ('a, 'b) *res-term*  $\Rightarrow$  ('a, 'b) *res-term*  $\Rightarrow$  *bool* **where**  
*empty*: *res-term-rewrite* *Empty* *Empty*  
| *anything*: *res-term-rewrite* *Anything* *Anything*  
| *res*: *res-term-rewrite* (*Res* *x*) (*Res* *x*)  
| *copyable*: *res-term-rewrite* (*Copyable* *x*) (*Copyable* *x*)  
| *nil*: *res-term-rewrite* (*Parallel* []) *Empty*  
| *singleton*: *res-term-rewrite* (*Parallel* [*a*]) *a*  
| *merge*: *res-term-rewrite* (*Parallel* (*x* @ [*Parallel* *y*] @ *z*)) (*Parallel* (*x* @ *y* @ *z*))  
| *drop*: *res-term-rewrite* (*Parallel* (*x* @ [*Empty*] @ *z*)) (*Parallel* (*x* @ *z*))  
| *parallel*: *list-all2* *res-term-rewrite* *xs* *ys*  $\Longrightarrow$  *res-term-rewrite* (*Parallel* *xs*) (*Parallel* *ys*)  
| *nondet*:  $\llbracket$ *res-term-rewrite* *x* *y*; *res-term-rewrite* *u* *v* $\rrbracket \Longrightarrow$  *res-term-rewrite* (*NonD* *x* *u*) (*NonD* *y* *v*)  
| *executable*:  $\llbracket$ *res-term-rewrite* *x* *y*; *res-term-rewrite* *u* *v* $\rrbracket \Longrightarrow$   
*res-term-rewrite* (*Executable* *x* *u*) (*Executable* *y* *v*)  
| *repeatable*:  $\llbracket$ *res-term-rewrite* *x* *y*; *res-term-rewrite* *u* *v* $\rrbracket \Longrightarrow$   
*res-term-rewrite* (*Repeatable* *x* *u*) (*Repeatable* *y* *v*)

**hide-fact** (**open**) *empty anything res copyable nil singleton merge drop parallel nondet executable repeatable*

**setup**  $\langle$ *Sign.mandatory-path res-term-rewrite* $\rangle$

The rewrite relation is reflexive

**lemma** *refl* [*simp*]:

*res-term-rewrite* *x* *x*

**proof** (*induct* *x*)

**case** *Empty* **then show** *?case* **by** (*rule* *res-term-rewrite.empty*)

**next case** *Anything* **then show** *?case* **by** (*rule* *res-term-rewrite.anything*)

**next case** (*Res* *x*) **then show** *?case* **by** (*rule* *res-term-rewrite.res*)

**next case** (*Copyable* *x*) **then show** *?case* **by** (*rule* *res-term-rewrite.copyable*)

**next**

**case** (*Parallel* *x*)

**then show** *?case*

**by** (*simp* *add*: *res-term-rewrite.parallel* *list.rel-refl-strong*)

**next case** (*NonD* *x1* *x2*) **then show** *?case* **by** (*rule* *res-term-rewrite.nondet*)

**next case** (*Executable* *x1* *x2*) **then show** *?case* **by** (*rule* *res-term-rewrite.executable*)

**next case** (*Repeatable* *x1* *x2*) **then show** *?case* **by** (*rule* *res-term-rewrite.repeatable*)

**qed**

**lemma** *parallel-one*:

*res-term-rewrite* *a* *b*  $\Longrightarrow$  *res-term-rewrite* (*Parallel* (*xs* @ [*a*] @ *ys*)) (*Parallel* (*xs* @ [*b*] @ *ys*))

**using** *res-term-rewrite.refl res-term-rewrite.parallel*  
**by** (*metis list.rel-refl list-all2-Cons2 list-all2-appendI*)

**setup**  $\langle \text{Sign.parent-path} \rangle$

Every term rewrites to an equivalent term

**lemma** *res-term-rewrite-imp-equiv*:

*res-term-rewrite*  $x\ y \implies x \sim y$

**proof** (*induct*  $x\ y$  *rule: res-term-rewrite.induct*)

**case** *empty* **then show** *?case* **by** (*rule res-term-equiv.empty*)

**next case** *anything* **then show** *?case* **by** (*rule res-term-equiv.anything*)

**next case** (*res*  $x$ ) **then show** *?case* **by** (*rule res-term-equiv.res*)

**next case** (*copyable*  $x$ ) **then show** *?case* **by** (*intro res-term-equiv.copyable*)

**next case** *nil* **then show** *?case* **by** (*rule res-term-equiv.nil*)

**next case** (*singleton*  $a$ ) **then show** *?case* **by** (*rule res-term-equiv.singleton*)

**next case** (*merge*  $x\ y\ z$ ) **then show** *?case* **by** (*rule res-term-equiv.merge*)

**next case** (*drop*  $x\ z$ ) **then show** *?case* **by** (*rule res-term-equiv.drop*)

**next**

**case** (*parallel*  $xs\ ys$ )

**then show** *?case*

**using** *res-term-equiv.parallel list-all2-mono* **by** *blast*

**next case** (*nondet*  $x\ y\ u\ v$ ) **then show** *?case* **by** (*intro res-term-equiv.nondet*)

**next case** (*executable*  $x\ y\ u\ v$ ) **then show** *?case* **by** (*intro res-term-equiv.executable*)

**next case** (*repeatable*  $x\ y\ u\ v$ ) **then show** *?case* **by** (*intro res-term-equiv.repeatable*)

**qed**

By transitivity of the equivalence this holds for transitive closure of the rewriting

**lemma** *res-term-rewrite-trancl-imp-equiv*:

*res-term-rewrite*<sup>++</sup>  $x\ y \implies x \sim y$

**proof** (*induct* *rule: tranclp-induct*)

**case** (*base*  $y$ )

**then show** *?case* **using** *res-term-rewrite-imp-equiv* **by** *blast*

**next**

**case** (*step*  $y\ z$ )

**then show** *?case* **using** *res-term-rewrite-imp-equiv res-term-equiv.trans* **by** *blast*

**qed**

Normalised terms have no distinct term to which they transition

**lemma** *res-term-rewrite-normalised*:

**assumes** *normalised*  $x$

**shows**  $\nexists y. \text{res-term-rewrite } x\ y \wedge x \neq y$

**proof** *safe*

**fix**  $y$

**assume** *res-term-rewrite*  $x\ y$

**then have**  $x = y$

**using** *assms*

**proof** (*induct*  $x\ y$  *rule: res-term-rewrite.induct*)

**case** *empty* **then show** *?case* **by** *simp*

```

next case anything then show ?case by simp
next case (res x) then show ?case by simp
next case (copyable x) then show ?case by simp
next case nil then show ?case by simp
next case (singleton a) then show ?case by simp
next case (merge x y z) then show ?case by simp
next case (drop x z) then show ?case by simp
next
  case (parallel xs ys)
  then show ?case
    by simp (smt (z3) Ball-set list.rel-eq list.rel-mono-strong)
next case (nondet x y u v) then show ?case by simp
next case (executable x y u v) then show ?case by simp
next case (repeatable x y u v) then show ?case by simp
qed
moreover assume  $x \neq y$ 
ultimately show False
  by metis
qed

```

**lemma** *res-term-rewrite-normalisedD*:  
 $\llbracket \text{res-term-rewrite } x \ y; \text{ normalised } x \rrbracket \implies x = y$   
 by (*drule res-term-rewrite-normalised*) *clarsimp*

Whereas other terms have a distinct term to which they transition

**lemma** *res-term-rewrite-not-normalised*:  
 assumes  $\neg \text{normalised } x$   
 shows  $\exists y. \text{res-term-rewrite } x \ y \wedge x \neq y$   
 using *assms*  
**proof** (*induct x*)  
 case *Empty* then show ?case by simp  
 next case *Anything* then show ?case by simp  
 next case (*Res x*) then show ?case by simp  
 next case (*Copyable x*) then show ?case by simp  
 next  
 case (*Parallel xs*)  
 then show ?case  
**proof** (*cases list-ex is-Parallel xs*)  
 case *True*  
 then obtain *a b c* where  $xs = a @ [\text{Parallel } b] @ c$  and *list-all* ( $\lambda x. \neg \text{is-Parallel } x$ ) *a*  
 using *obtain-first-parallel* by metis  
 then show ?thesis  
 using *Parallel res-term-rewrite.merge*  
 by (*metis append-eq-append-conv parallel-neq-single res-term.sel(3)*)  
 next  
 case *no-par*: *False*  
 then show ?thesis  
**proof** (*cases list-ex is-Empty xs*)

```

    case True
  then obtain a c where xs = a @ [Empty] @ c and list-all (λx. ¬ is-Empty
x) a
    using obtain-first-empty by metis
  then show ?thesis
    using no-par Parallel res-term-rewrite.drop by blast
next
  case no-empty: False
  then show ?thesis
  proof (cases list-ex (λx. ¬ normalised x) xs)
    case True
    then obtain a b c
      where xs: xs = a @ [b] @ c and list-all normalised a and ¬ normalised b
      using obtain-first-unnormalised by metis
    then obtain b' where res-term-rewrite b b' and b ≠ b'
      using Parallel by (metis append-eq-Cons-conv in-set-conv-decomp)
    then have res-term-rewrite (Parallel (a @ [b] @ c)) (Parallel (a @ [b'] @
c))
      and Parallel (a @ [b] @ c) ≠ Parallel (a @ [b'] @ c)
      using res-term-rewrite.parallel-one by blast+
    then show ?thesis
      using xs by metis
  next
  case all-normal: False
  then consider xs = [] | a where xs = [a]
    using no-par no-empty Parallel by (metis Bex-set normalised-parallelise
parallelise.elims)
  then show ?thesis
    using res-term-rewrite.nil res-term-rewrite.singleton
    by (metis parallel-neq-single res-term.distinct(29))
  qed
qed
qed
next
  case (NonD x1 x2)
  then show ?case
    by (metis normalised.simps(6) res-term.inject(4) res-term-rewrite.nondet res-term-rewrite.refl)
next
  case (Executable x1 x2)
  then show ?case
    by (metis normalised.simps(7) res-term.inject(5) res-term-rewrite.executable
res-term-rewrite.refl)
next
  case (Repeatable x1 x2)
  then show ?case
    by (metis normalised.simps(8) res-term.inject(6) res-term-rewrite.repeatable
res-term-rewrite.refl)
qed

```

Therefore a term is normalised iff it rewrites only back to itself

**lemma** *normalised-is-rewrite-refl*:

*normalised*  $x = (\forall y. \text{res-term-rewrite } x \ y \longrightarrow x = y)$

**using** *res-term-rewrite-normalised res-term-rewrite-not-normalised* **by** *metis*

Every term rewrites to one of at most equal size

**lemma** *res-term-rewrite-not-increase-size*:

*res-term-rewrite*  $x \ y \Longrightarrow \text{size-res-term } f \ g \ y \leq \text{size-res-term } f \ g \ x$

**by** (*induct*  $x \ y$  *rule*: *res-term-rewrite.induct*)

(*simp-all add*: *list-all2-conv-all-nth size-list-conv-sum-list sum-list-mono-list-all2*)

## 4.2 Rewriting Bound

There is an upper bound to how many rewriting steps could be applied to a term. We find it by considering the worst (most un-normalised) possible case of each node.

**primrec** *res-term-rewrite-bound* :: (*'a*, *'b*) *res-term*  $\Rightarrow$  *nat*

**where**

*res-term-rewrite-bound* *Empty* = 0

| *res-term-rewrite-bound* *Anything* = 0

| *res-term-rewrite-bound* (*Res* *a*) = 0

| *res-term-rewrite-bound* (*Copyable* *x*) = 0

| *res-term-rewrite-bound* (*Parallel* *xs*) =

*sum-list* (*map* *res-term-rewrite-bound* *xs*) + *length* *xs* + 1

— All the steps of the children, plus one for every child that could need to be merged/dropped and another if in the end there are less than two children.

| *res-term-rewrite-bound* (*NonD* *x y*) = *res-term-rewrite-bound* *x* + *res-term-rewrite-bound* *y*

| *res-term-rewrite-bound* (*Executable* *x y*) = *res-term-rewrite-bound* *x* + *res-term-rewrite-bound* *y*

| *res-term-rewrite-bound* (*Repeatable* *x y*) = *res-term-rewrite-bound* *x* + *res-term-rewrite-bound* *y*

For un-normalised terms the bound is non-zero

**lemma** *res-term-rewrite-bound-not-normalised*:

$\neg \text{normalised } x \Longrightarrow \text{res-term-rewrite-bound } x \neq 0$

**by** (*induct*  $x$  ; *fastforce*)

Rewriting relation does not increase this bound

**lemma** *res-term-rewrite-non-increase-bound*:

*res-term-rewrite*  $x \ y \Longrightarrow \text{res-term-rewrite-bound } y \leq \text{res-term-rewrite-bound } x$

**by** (*induct*  $x \ y$  *rule*: *res-term-rewrite.induct*)

(*simp-all add*: *sum-list-mono-list-all2 list-all2-conv-all-nth*)

## 4.3 Step

The rewriting step function implements a specific algorithm for the rewriting relation by picking one approach where the relation allows multiple rewriting

paths. To help define its parallel resource case, we first define a function to remove one *Empty* term from a list and another to merge the children of one *Parallel* term up into the containing list of terms.

### 4.3.1 Removing One Empty

Remove the first *Empty* from a list of term

```
fun remove-one-empty :: ('a, 'b) res-term list  $\Rightarrow$  ('a, 'b) res-term list
  where
    remove-one-empty [] = []
  | remove-one-empty (Empty # xs) = xs
  | remove-one-empty (x # xs) = x # remove-one-empty xs
```

**lemma** *remove-one-empty-cons* [simp]:

```
is-Empty x  $\Longrightarrow$  remove-one-empty (x # xs) = xs
 $\neg$  is-Empty x  $\Longrightarrow$  remove-one-empty (x # xs) = x # remove-one-empty xs
by (cases x ; simp)+
```

**lemma** *remove-one-empty-append*:

```
list-all ( $\lambda$ x.  $\neg$  is-Empty x) a  $\Longrightarrow$  remove-one-empty (a @ d) = a @ remove-one-empty d
by (induct a ; simp)
```

**lemma** *remove-one-empty-distinct*:

```
list-ex is-Empty xs  $\Longrightarrow$  remove-one-empty xs  $\neq$  xs
```

**proof** (induct xs)

case Nil

then show ?case by simp

next

case (Cons a xs)

then show ?case by (cases a ; simp)

qed

This is identity when there are no *Empty* terms

**lemma** *remove-one-empty-none* [simp]:

```
 $\neg$  list-ex is-Empty xs  $\Longrightarrow$  remove-one-empty xs = xs
```

**by** (induct xs rule: remove-one-empty.induct ; simp)

This decreases length by one when there are *Empty* terms

**lemma** *length-remove-one-empty* [simp]:

```
list-ex is-Empty xs  $\Longrightarrow$  length (remove-one-empty xs) + 1 = length xs
```

**proof** (induct xs)

case Nil

then show ?case by simp

next

case (Cons a xs)

then show ?case

by (cases is-Empty a ; simp)

**qed**

Removing an *Empty* term does not increase the size

**lemma** *remove-one-empty-not-increase-size*:

$size-res-term\ f\ g\ (Parallel\ (remove-one-empty\ xs)) \leq size-res-term\ f\ g\ (Parallel\ xs)$

**by** (*induct xs rule: remove-one-empty.induct ; simp*)

Any *Parallel* term is equivalent to itself with an *Empty* term removed

**lemma** *remove-one-empty-equiv*:

$Parallel\ xs \sim Parallel\ (remove-one-empty\ xs)$

**proof** (*induct xs*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

**then show** *?case*

**proof** (*cases is-Empty a*)

**case** *True*

**then show** *?thesis*

**using** *res-term-equiv.drop[of Nil] Cons* **by** (*fastforce simp add: is-Empty-def*)

**next**

**case** *False*

**then show** *?thesis*

**using** *Cons* **by** (*simp add: res-term-parallel-cons*)

**qed**

**qed**

Removing an *Empty* term commutes with the resource term map

**lemma** *remove-one-empty-map*:

$map\ (map-res-term\ f\ g)\ (remove-one-empty\ xs) = remove-one-empty\ (map\ (map-res-term\ f\ g)\ xs)$

**proof** (*induct xs*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

**then show** *?case* **by** (*cases is-Empty a ; simp*)

**qed**

The result of dropping an *Empty* from a list of resource terms is a subset of the original list

**lemma** *remove-one-empty-subset*:

$x \in set\ (remove-one-empty\ xs) \implies x \in set\ xs$

**proof** (*induct xs*)

**case** *Nil* **then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

```

then show ?case
  by (cases is-Empty a ; simp) blast
qed

```

### 4.3.2 Merging One Parallel

Merge the first *Parallel* in a list of terms

```

fun merge-one-parallel :: ('a, 'b) res-term list  $\Rightarrow$  ('a, 'b) res-term list
  where
    merge-one-parallel [] = []
  | merge-one-parallel (Parallel x # xs) = x @ xs
  | merge-one-parallel (x # xs) = x # merge-one-parallel xs

```

```

lemma merge-one-parallel-cons-not [simp]:
   $\neg$  is-Parallel x  $\Longrightarrow$  merge-one-parallel (x # xs) = x # merge-one-parallel xs
  by (cases x ; simp)

```

```

lemma merge-one-parallel-append:
  list-all ( $\lambda$ x.  $\neg$  is-Parallel x) a  $\Longrightarrow$  merge-one-parallel (a @ d) = a @ merge-one-parallel d
  for a d
  by (induct a ; simp)

```

```

lemma merge-one-parallel-distinct:
  list-ex is-Parallel xs  $\Longrightarrow$  merge-one-parallel xs  $\neq$  xs

```

```

proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp) (metis parallel-neq-single)
qed

```

This is identity when there are no *Parallel* terms

```

lemma merge-one-parallel-none [simp]:
   $\neg$  list-ex is-Parallel xs  $\Longrightarrow$  merge-one-parallel xs = xs
  by (induct xs rule: merge-one-parallel.induct ; simp)

```

Merging a *Parallel* term does not increase the size

```

lemma merge-one-parallel-not-increase-size:
  size-res-term f g (Parallel (merge-one-parallel xs))  $\leq$  size-res-term f g (Parallel xs)
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp)

```

qed

Any *Parallel* term is equivalent to itself with a *Parallel* term merged

**lemma** *merge-one-parallel-equiv*:

*Parallel xs*  $\sim$  *Parallel (merge-one-parallel xs)*

**proof** (*induct xs*)

case *Nil*

then show ?case by *simp*

next

case (*Cons a xs*)

then show ?case

**proof** (*cases is-Parallel a*)

case *True*

then show ?thesis

using *Cons res-term-equiv.merge[of Nil]* by (*fastforce simp add: is-Parallel-def*)

next

case *False*

then show ?thesis

using *Cons* by (*simp add: res-term-parallel-cons*)

qed

qed

Merging a *Parallel* term commutes with the resource term map

**lemma** *merge-one-parallel-map*:

*map (map-res-term f g) (merge-one-parallel xs)* = *merge-one-parallel (map (map-res-term f g) xs)*

**proof** (*induct xs*)

case *Nil*

then show ?case by *simp*

next

case (*Cons a xs*)

then show ?case by (*cases a ; simp*)

qed

### 4.3.3 Rewriting Step Function

The rewriting step function itself performs one rewrite for any un-normalised input term. Where there are multiple choices, it proceeds as follows:

- For binary internal nodes (*NonD*, *Executable* and *Repeatable*), first fully rewrite the first child until normalised and only then start rewriting the second.
- For *Parallel* nodes proceed in phases:
  - If any child is not normalised, rewrite all children; otherwise
  - If there is some nested *Parallel* node in the children, merge one up; otherwise

- If there is some *Empty* node in the children, remove one; otherwise
- If there are no children, then return *Empty*; otherwise
- If there is exactly one child, then return that term; otherwise
- Do nothing and return the same term.

**primrec** *step* :: ('a, 'b) *res-term*  $\Rightarrow$  ('a, 'b) *res-term*

**where**

```

  step Empty = Empty
| step Anything = Anything
| step (Res x) = Res x
| step (Copyable x) = Copyable x
| step (NonD x y) =
  ( if  $\neg$  normalised x then NonD (step x) y
    else if  $\neg$  normalised y then NonD x (step y)
    else NonD x y )
| step (Executable x y) =
  ( if  $\neg$  normalised x then Executable (step x) y
    else if  $\neg$  normalised y then Executable x (step y)
    else Executable x y )
| step (Repeatable x y) =
  ( if  $\neg$  normalised x then Repeatable (step x) y
    else if  $\neg$  normalised y then Repeatable x (step y)
    else Repeatable x y )
| step (Parallel xs) =
  ( if list-ex ( $\lambda x.$   $\neg$  normalised x) xs then Parallel (map step xs)
    else if list-ex is-Parallel xs then Parallel (merge-one-parallel xs)
    else if list-ex is-Empty xs then Parallel (remove-one-empty xs)
    else (case xs of
      []  $\Rightarrow$  Empty
    | [a]  $\Rightarrow$  a
    | -  $\Rightarrow$  Parallel xs) )

```

Case split and induction for *step* fully expanded

**lemma** *step-cases*

[*case-names Empty Anything Res Copyable NonD-L NonD-R NonD Executable-L Executable-R Executable*

*Repeatable-L Repeatable-R Repeatable Par-Norm Par-Par Par-Empty Par-Nil Par-Single*

*Par*]:

**assumes**  $x = \text{Empty} \implies P$

**and**  $x = \text{Anything} \implies P$

**and**  $\bigwedge a. x = \text{Res } a \implies P$

**and**  $\bigwedge u. x = \text{Copyable } u \implies P$

**and**  $\bigwedge u v. [\neg \text{normalised } u; x = \text{NonD } u v] \implies P$

**and**  $\bigwedge u v. [\text{normalised } u; \neg \text{normalised } v; x = \text{NonD } u v] \implies P$

**and**  $\bigwedge u v. [\text{normalised } u; \text{normalised } v; x = \text{NonD } u v] \implies P$

**and**  $\bigwedge u v. [\neg \text{normalised } u; x = \text{Executable } u v] \implies P$

**and**  $\bigwedge u v. [\text{normalised } u; \neg \text{normalised } v; x = \text{Executable } u v] \implies P$

```

and  $\bigwedge u v. \llbracket \text{normalised } u; \text{ normalised } v; x = \text{Executable } u v \rrbracket \implies P$ 
and  $\bigwedge u v. \llbracket \neg \text{normalised } u; x = \text{Repeatable } u v \rrbracket \implies P$ 
and  $\bigwedge u v. \llbracket \text{normalised } u; \neg \text{normalised } v; x = \text{Repeatable } u v \rrbracket \implies P$ 
and  $\bigwedge u v. \llbracket \text{normalised } u; \text{ normalised } v; x = \text{Repeatable } u v \rrbracket \implies P$ 
and  $\bigwedge xs. \llbracket x = \text{Parallel } xs; \exists a. a \in \text{set } xs \wedge \neg \text{normalised } a \rrbracket \implies P$ 
and  $\bigwedge xs. \llbracket x = \text{Parallel } xs; \forall a. a \in \text{set } xs \longrightarrow \text{normalised } a; \text{list-ex is-Parallel } xs \rrbracket \implies P$ 
and  $\bigwedge xs. \llbracket x = \text{Parallel } xs; \forall a. a \in \text{set } xs \longrightarrow \text{normalised } a; \text{list-all } (\lambda x. \neg \text{is-Parallel } x) xs; \text{list-ex is-Empty } xs \rrbracket \implies P$ 
and  $x = \text{Parallel } [] \implies P$ 
and  $\bigwedge u. \llbracket x = \text{Parallel } [u]; \text{normalised } u; \neg \text{is-Parallel } u; \neg \text{is-Empty } u \rrbracket \implies P$ 
and  $\bigwedge v vb vc. \llbracket x = \text{Parallel } (v \# vb \# vc); \forall a. a \in \text{set } (v \# vb \# vc) \longrightarrow \text{normalised } a; \text{list-all } (\lambda x. \neg \text{is-Parallel } x) (v \# vb \# vc); \text{list-all } (\lambda x. \neg \text{is-Empty } x) (v \# vb \# vc) \rrbracket \implies P$ 
shows P
proof (cases x)
  case Empty then show ?thesis using assms by simp
  next case Anything then show ?thesis using assms by simp
  next case (Res x3) then show ?thesis using assms by simp
  next case (Copyable x4) then show ?thesis using assms by simp
  next
    case (Parallel xs)
    then show ?thesis
    proof (cases list-ex  $(\lambda x. \neg \text{normalised } x) xs$ )
      case True
      then show ?thesis
      using assms(14) by (meson Bex-set Parallel)
    next
      case not-norm: False
      then show ?thesis
      proof (cases list-ex is-Parallel xs)
        case True
        then show ?thesis
        using Parallel assms(14,15) by blast
      next
        case not-par: False
        then show ?thesis
        proof (cases list-ex is-Empty xs)
          case True
          then show ?thesis
          by (metis not-par Parallel assms(14,16) not-list-ex)
        next
          case not-empty: False
          then show ?thesis
          proof (cases xs rule: remdups-adj.cases)
            case 1

```

```

    then show ?thesis
      by (simp add: Parallel assms(17))
  next
    case (2 x)
    then show ?thesis
      using Parallel assms(14,18) not-empty not-par by fastforce
  next
    case (3 x y xs)
    then show ?thesis
      by (metis Parallel assms(14,19) not-empty not-list-ex not-par)
  qed
qed
qed
qed
next case (NonD x61 x62) then show ?thesis using assms(5-7) by blast
next case (Executable x71 x72) then show ?thesis using assms(8-10) by blast
next case (Repeatable x71 x72) then show ?thesis using assms(11-13) by blast
qed

```

**lemma** *step-induct*

[*case-names Empty Anything Res Copyable NonD-L NonD-R NonD Executable-L Executable-R Executable*

*Repeatable-L Repeatable-R Repeatable Par-Norm Par-Par Par-Empty Par-Nil Par-Single*

*Par*]:

```

assumes P Empty
and P Anything
and  $\bigwedge a. P (Res a)$ 
and  $\bigwedge x. P (Copyable x)$ 
and  $\bigwedge x y. \llbracket P x; P y; \neg normalised x \rrbracket \implies P (NonD x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; \neg normalised y \rrbracket \implies P (NonD x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; normalised y \rrbracket \implies P (NonD x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; \neg normalised x \rrbracket \implies P (Executable x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; \neg normalised y \rrbracket \implies P (Executable x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; normalised y \rrbracket \implies P (Executable x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; \neg normalised x \rrbracket \implies P (Repeatable x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; \neg normalised y \rrbracket \implies P (Repeatable x y)$ 
and  $\bigwedge x y. \llbracket P x; P y; normalised x; normalised y \rrbracket \implies P (Repeatable x y)$ 
and  $\bigwedge xs. \llbracket \bigwedge x. x \in set xs \implies P x; \exists a. a \in set xs \wedge \neg normalised a \rrbracket \implies P$ 
(Parallel xs)
and  $\bigwedge xs. \llbracket \bigwedge x. x \in set xs \implies P x; \forall a. a \in set xs \longrightarrow normalised a; list-ex$ 
is-Parallel xs
 $\implies P (Parallel xs)$ 
and  $\bigwedge xs. \llbracket \bigwedge x. x \in set xs \implies P x; \forall a. a \in set xs \longrightarrow normalised a$ 
; list-all ( $\lambda x. \neg is-Parallel x$ ) xs; list-ex is-Empty xs
 $\implies P (Parallel xs)$ 
and P (Parallel [])
and  $\bigwedge u. \llbracket P u; normalised u; \neg is-Parallel u; \neg is-Empty u \rrbracket \implies P (Parallel$ 
)
```

```

and  $\bigwedge v \text{ } vb \text{ } vc.$ 
   $\llbracket \bigwedge x. x \in \text{set } (v \# vb \# vc) \implies P x; \forall a. a \in \text{set } (v \# vb \# vc) \longrightarrow$ 
normalised a
  ; list-all  $(\lambda x. \neg \text{is-Parallel } x) (v \# vb \# vc)$ 
  ; list-all  $(\lambda x. \neg \text{is-Empty } x) (v \# vb \# vc) \rrbracket$ 
   $\implies P (\text{Parallel } (v \# vb \# vc))$ 
shows  $P x$ 
proof (induct x)
  case Empty then show ?case using assms by simp
next case Anything then show ?case using assms by simp
next case (Res x) then show ?case using assms by simp
next case (Copyable x) then show ?case using assms by simp
next
  case (Parallel xs)
  then show ?case
  proof (cases list-ex  $(\lambda x. \neg \text{normalised } x) xs$ )
    case True
    then show ?thesis
    using assms(14) by (metis Bex-set Parallel)
  next
  case not-norm: False
  then show ?thesis
  proof (cases list-ex is-Parallel xs)
    case True
    then show ?thesis
    using Parallel assms(14,15) by blast
  next
  case not-par: False
  then show ?thesis
  proof (cases list-ex is-Empty xs)
    case True
    then show ?thesis
    by (metis not-par Parallel assms(14,16) not-list-ex)
  next
  case not-empty: False
  then show ?thesis
  proof (cases xs rule: remdups-adj.cases)
    case 1
    then show ?thesis
    by (simp add: Parallel assms(17))
  next
  case (2 x)
  then show ?thesis
  using Parallel assms(14,18) not-empty not-par by fastforce
  next
  case (3 x y xs)
  then show ?thesis
  by (metis Parallel assms(14,19) not-empty not-list-ex not-par)
qed

```

```

    qed
  qed
  qed
next case (NonD x61 x62) then show ?case using assms(5-7) by blast
next case (Executable x71 x72) then show ?case using assms(8-10) by blast
next case (Repeatable x71 x72) then show ?case using assms(11-13) by blast
qed

```

Variant of induction with some relevant step results is also useful

**lemma** *step-induct'*

[*case-names Empty Anything Res Copyable NonD-L NonD-R NonD Executable-L Executable-R Executable Repeatable-L Repeatable-R Repeatable Par-Norm Par-Par Par-Empty Par-Nil Par-Single Par*]:

```

assumes P Empty
and P Anything
and  $\bigwedge a. P (Res\ a)$ 
and  $\bigwedge x. P (Copyable\ x)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; \neg\ normalised\ x; step\ (NonD\ x\ y) = NonD\ (step\ x)\ y \rrbracket$ 
 $\implies P (NonD\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; \neg\ normalised\ y; step\ (NonD\ x\ y) = NonD\ x\ (step\ y) \rrbracket$ 
 $\implies P (NonD\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; normalised\ y; step\ (NonD\ x\ y) = NonD\ x\ y \rrbracket$ 
 $\implies P (NonD\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; \neg\ normalised\ x; step\ (Executable\ x\ y) = Executable\ (step\ x)\ y \rrbracket$ 
 $\implies P (Executable\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; \neg\ normalised\ y$ 
  ;  $step\ (Executable\ x\ y) = Executable\ x\ (step\ y) \rrbracket$ 
 $\implies P (Executable\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; normalised\ y; step\ (Executable\ x\ y) = Executable\ x\ y \rrbracket$ 
 $\implies P (Executable\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; \neg\ normalised\ x; step\ (Repeatable\ x\ y) = Repeatable\ (step\ x)\ y \rrbracket$ 
 $\implies P (Repeatable\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; \neg\ normalised\ y$ 
  ;  $step\ (Repeatable\ x\ y) = Repeatable\ x\ (step\ y) \rrbracket$ 
 $\implies P (Repeatable\ x\ y)$ 
and  $\bigwedge x\ y. \llbracket P\ x; P\ y; normalised\ x; normalised\ y; step\ (Repeatable\ x\ y) = Repeatable\ x\ y \rrbracket$ 
 $\implies P (Repeatable\ x\ y)$ 
and  $\bigwedge xs. \llbracket \bigwedge x. x \in set\ xs \implies P\ x; \exists a. a \in set\ xs \wedge \neg\ normalised\ a$ 
  ;  $step\ (Parallel\ xs) = Parallel\ (map\ step\ xs) \rrbracket$ 
 $\implies P (Parallel\ xs)$ 
and  $\bigwedge xs. \llbracket \bigwedge x. x \in set\ xs \implies P\ x; \forall a. a \in set\ xs \longrightarrow normalised\ a; list-ex$ 

```

```

is-Parallel xs;
  step (Parallel xs) = Parallel (merge-one-parallel xs)]
  ⇒ P (Parallel xs)
and ∧xs. [∧x. x ∈ set xs ⇒ P x; ∀a. a ∈ set xs → normalised a
; list-all (λx. ¬ is-Parallel x) xs; list-ex is-Empty xs
; step (Parallel xs) = Parallel (remove-one-empty xs)]
  ⇒ P (Parallel xs)
and P (Parallel [])
and ∧u. [P u; normalised u; ¬ is-Parallel u; ¬ is-Empty u; step (Parallel [u])
= u]
  ⇒ P (Parallel [u])
and ∧v vb vc.
  [∧x. x ∈ set (v # vb # vc) ⇒ P x; ∀a. a ∈ set (v # vb # vc) →
normalised a
; list-all (λx. ¬ is-Parallel x) (v # vb # vc)
; list-all (λx. ¬ is-Empty x) (v # vb # vc)
; step (Parallel (v # vb # vc)) = Parallel (v # vb # vc)]
  ⇒ P (Parallel (v # vb # vc))
shows P x
proof (induct x rule: step-induct)
  case Empty then show ?case using assms(1) by simp
next case Anything then show ?case using assms(2) by simp
next case (Res a) then show ?case using assms(3) by simp
next case (Copyable x) then show ?case using assms(4) by simp
next case (NonD-L x y) then show ?case using assms(5) by simp
next case (NonD-R x y) then show ?case using assms(6) by simp
next case (NonD x y) then show ?case using assms(7) by simp
next case (Executable-L x y) then show ?case using assms(8) by simp
next case (Executable-R x y) then show ?case using assms(9) by simp
next case (Executable x y) then show ?case using assms(10) by simp
next case (Repeatable-L x y) then show ?case using assms(11) by simp
next case (Repeatable-R x y) then show ?case using assms(12) by simp
next case (Repeatable x y) then show ?case using assms(13) by simp
next case (Par-Norm xs) then show ?case using assms(14) by (simp add:
Bex-set[symmetric] Bex-def)
next case (Par-Par xs) then show ?case using assms(15) by (simp add: Bex-set[symmetric]
Bex-def)
next
  case (Par-Empty xs)
  then show ?case
  using assms(15,16) by (metis (mono-tags, lifting) list-ex-iff step.simps(8))
next case Par-Nil then show ?case using assms(17) by simp
next case (Par-Single u) then show ?case using assms(18) by simp
next
  case (Par v vb vc)
  then show ?case
  proof (rule assms(19))
    show ∧x. x ∈ set (v # vb # vc) ⇒ x ∈ set (v # vb # vc)
    by simp

```

```

show step (Parallel (v # vb # vc)) = Parallel (v # vb # vc)
  using Par by (simp add: Ball-set[symmetric] Bex-set[symmetric])
qed
qed

```

Set of atoms remains unchanged by rewriting step

```

lemma set1-res-term-step [simp]:
  set1-res-term (step x) = set1-res-term x
proof (induct x rule: step-induct')
  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res a) then show ?case by simp
next case (Copyable x) then show ?case by simp
next case (NonD-L x y) then show ?case by simp
next case (NonD-R x y) then show ?case by simp
next case (NonD x y) then show ?case by simp
next case (Executable-L x y) then show ?case by simp
next case (Executable-R x y) then show ?case by simp
next case (Executable x y) then show ?case by simp
next case (Repeatable-L x y) then show ?case by simp
next case (Repeatable-R x y) then show ?case by simp
next case (Repeatable x y) then show ?case by simp
next case (Par-Norm xs) then show ?case by simp
next
  case (Par-Par xs)
  then show ?case
  by (fastforce elim!: obtain-first-parallel simp add: merge-one-parallel-append)
next
  case (Par-Empty xs)
  then show ?case
  by (fastforce elim!: obtain-first-empty simp add: remove-one-empty-append)
next case Par-Nil then show ?case by simp
next case (Par-Single u) then show ?case by simp
next case (Par v vb vc) then show ?case by simp
qed

```

```

lemma set2-res-term-step [simp]:
  set2-res-term (step x) = set2-res-term x
proof (induct x rule: step-induct')
  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res a) then show ?case by simp
next case (Copyable x) then show ?case by simp
next case (NonD-L x y) then show ?case by simp
next case (NonD-R x y) then show ?case by simp
next case (NonD x y) then show ?case by simp
next case (Executable-L x y) then show ?case by simp
next case (Executable-R x y) then show ?case by simp
next case (Executable x y) then show ?case by simp

```

```

next case (Repeatable-L x y) then show ?case by simp
next case (Repeatable-R x y) then show ?case by simp
next case (Repeatable x y) then show ?case by simp
next case (Par-Norm xs) then show ?case by simp
next
  case (Par-Par xs)
  then show ?case
    by (fastforce elim!: obtain-first-parallel simp add: merge-one-parallel-append)
next
  case (Par-Empty xs)
  then show ?case
    by (fastforce elim!: obtain-first-empty simp add: remove-one-empty-append)
next case Par-Nil then show ?case by simp
next case (Par-Single u) then show ?case by simp
next case (Par v vb vc) then show ?case by simp
qed

```

Resource term rewriting relation contains the step function graph. In other words, the step function is a particular strategy implementing that rewriting.

**lemma** *res-term-rewrite-contains-step*:

```

  res-term-rewrite x (step x)
proof (induct x rule: step-induct')
  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res a) then show ?case by simp
next case (Copyable x) then show ?case by simp
next case (NonD-L x y) then show ?case by (simp add: res-term-rewrite.nondet)
next case (NonD-R x y) then show ?case by (simp add: res-term-rewrite.nondet)
next case (NonD x y) then show ?case by simp
next case (Executable-L x y) then show ?case by (simp add: res-term-rewrite.executable)
next case (Executable-R x y) then show ?case by (simp add: res-term-rewrite.executable)
next case (Executable x y) then show ?case by simp
next case (Repeatable-L x y) then show ?case by (simp add: res-term-rewrite.repeatable)
next case (Repeatable-R x y) then show ?case by (simp add: res-term-rewrite.repeatable)
next case (Repeatable x y) then show ?case by simp
next
  case (Par-Norm xs)
  then show ?case
    by (simp add: Bex-set[symmetric] res-term-rewrite.intros(9) list.rel-map(2)
list-all2-same)
next
  case (Par-Par xs)
  moreover obtain a b c where xs = a @ [Parallel b] @ c and list-all (λx. ¬
is-Parallel x) a
    using Par-Par(3) obtain-first-parallel by blast
  moreover have res-term-rewrite (Parallel (a @ [Parallel b] @ c)) (Parallel (a
@ b @ c))
    using res-term-rewrite.intros(7) .
  ultimately show ?case

```

```

  by (simp add: Bex-set[symmetric] merge-one-parallel-append)
next
  case (Par-Empty xs)
  moreover obtain a c where xs = a @ [Empty] @ c and list-all (λx. ¬ is-Empty
x) a
  using Par-Empty(4) obtain-first-empty by blast
  moreover have res-term-rewrite (Parallel (a @ [Empty] @ c)) (Parallel (a @
c))
  using res-term-rewrite.intros(8) .
  ultimately show ?case
  by (simp add: Bex-set[symmetric] remove-one-empty-append)
next case Par-Nil then show ?case by (simp add: res-term-rewrite.intros(5))
next case (Par-Single u) then show ?case by (simp add: res-term-rewrite.intros(6))
next case (Par v vb vc) then show ?case by simp
qed

```

Resource term being normalised is the same as the step not changing it

**lemma** *normalised-is-step-id*:

*normalised x = (step x = x)*

**proof**

**show** *normalised x*  $\implies$  *step x = x*

**by** (*metis res-term-rewrite-contains-step res-term-rewrite-normalised*)

**show** *step x = x*  $\implies$  *normalised x*

**proof** (*induct x rule: step-induct'*)

**case** *Empty* **then show** ?case **by** *simp*

**next case** *Anything* **then show** ?case **by** *simp*

**next case** (*Res a*) **then show** ?case **by** *simp*

**next case** (*Copyable x*) **then show** ?case **by** *simp*

**next case** (*NonD-L x y*) **then show** ?case **by** *simp*

**next case** (*NonD-R x y*) **then show** ?case **by** *simp*

**next case** (*NonD x y*) **then show** ?case **by** *simp*

**next case** (*Executable-L x y*) **then show** ?case **by** *simp*

**next case** (*Executable-R x y*) **then show** ?case **by** *simp*

**next case** (*Executable x y*) **then show** ?case **by** *simp*

**next case** (*Repeatable-L x y*) **then show** ?case **by** *simp*

**next case** (*Repeatable-R x y*) **then show** ?case **by** *simp*

**next case** (*Repeatable x y*) **then show** ?case **by** *simp*

**next case** (*Par-Norm xs*) **then show** ?case **by** *simp* (*metis map-eq-conv map-ident*)

**next case** (*Par-Par xs*) **then show** ?case **by** (*simp add: merge-one-parallel-distinct*)

**next case** (*Par-Empty xs*) **then show** ?case **by** (*simp add: remove-one-empty-distinct*)

**next case** *Par-Nil* **then show** ?case **by** *simp*

**next case** (*Par-Single u*) **then show** ?case **by** *simp*

**next case** (*Par v vb vc*) **then show** ?case **by** (*simp add: Ball-set[symmetric]*)

**qed**

**qed**

So, for normalised terms we can drop any step applied to them

**lemma** *step-normalised [simp]*:

*normalised x*  $\implies$  *step x = x*

**using** *normalised-is-step-id* **by** (rule *iffD1*)

Rewriting step never increases the term size

**lemma** *step-not-increase-size*:

*size-res-term f g (step x) ≤ size-res-term f g x*

**using** *res-term-rewrite-not-increase-size res-term-rewrite-contains-step* **by** *blast*

Every resource is equivalent to itself after the step

**lemma** *res-term-equiv-step*:

*x ~ step x*

**using** *res-term-rewrite-contains-step res-term-rewrite-imp-equiv* **by** *blast*

Normalisation step commutes with the resource term map

**lemma** *step-map*:

*map-res-term f g (step x) = step (map-res-term f g x)*

**proof** (*induct x rule: step-induct'*)

**case** *Empty* **then show** *?case* **by** *simp*

**next case** *Anything* **then show** *?case* **by** *simp*

**next case** (*Res a*) **then show** *?case* **by** *simp*

**next case** (*Copyable x*) **then show** *?case* **by** *simp*

**next case** (*NonD-L x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*NonD-R x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*NonD x y*) **then show** *?case* **by** *simp*

**next case** (*Executable-L x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*Executable-R x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*Executable x y*) **then show** *?case* **by** *simp*

**next case** (*Repeatable-L x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*Repeatable-R x y*) **then show** *?case* **by** (*simp add: normalised-map*)

**next case** (*Repeatable x y*) **then show** *?case* **by** *simp*

**next**

**case** (*Par-Norm xs*)

**then show** *?case*

**by** (*fastforce simp add: Bex-set[symmetric] normalised-map*)

**next**

**case** (*Par-Par xs*)

**then show** *?case*

**by** (*fastforce simp add: Bex-set[symmetric] normalised-map merge-one-parallel-map*)

**next**

**case** (*Par-Empty xs*)

**then show** *?case*

**by** (*simp add: Bex-set[symmetric] normalised-map remove-one-empty-map*)

(*metis Ball-set*)

**next case** *Par-Nil* **then show** *?case* **by** *simp*

**next case** (*Par-Single u*) **then show** *?case* **by** (*simp add: normalised-map*)

**next**

**case** (*Par v vb vc*)

**then show** *?case*

**by** (*fastforce simp add: Bex-set[symmetric] Ball-set normalised-map*)

**qed**

Because it implements the rewriting relation, the non-increasing of bound extends to the step

**lemmas** *res-term-rewrite-bound-step-non-increase* =  
*res-term-rewrite-non-increase-bound*[*OF res-term-rewrite-contains-step*]

On un-normalised terms, the step actually strictly decreases the bound. While this should also be true of the rewriting relation it implements, the stricter way the step proceeds makes this proof more tractable.

**lemma** *res-term-rewrite-bound-step-decrease*:

– *normalised*  $x \implies \text{res-term-rewrite-bound}(\text{step } x) < \text{res-term-rewrite-bound } x$

**proof** (*induct*  $x$  *rule: step-induct'*)

**case** *Empty* **then show** *?case* **by** *simp*  
**next case** *Anything* **then show** *?case* **by** *simp*  
**next case** (*Res*  $a$ ) **then show** *?case* **by** *simp*  
**next case** (*Copyable*  $x$ ) **then show** *?case* **by** *simp*  
**next case** (*NonD-L*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*NonD-R*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*NonD*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Executable-L*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Executable-R*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Executable*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Repeatable-L*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Repeatable-R*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next case** (*Repeatable*  $x$   $y$ ) **then show** *?case* **by** *simp*  
**next**  
  **case** (*Par-Norm*  $xs$ )  
  **then have** ( $\sum x \leftarrow xs. \text{res-term-rewrite-bound}(\text{step } x) < \text{sum-list}(\text{map } \text{res-term-rewrite-bound } xs)$ )  
  **by** (*meson res-term-rewrite-bound-step-non-increase sum-list-mono-one-strict*)  
  **then show** *?case*  
  **using** *Par-Norm.hyps* **by** (*simp add: comp-def*)  
**next**  
  **case** (*Par-Par*  $xs$ )  
  **then show** *?case*  
  **by** (*fastforce elim: obtain-first-parallel simp add: merge-one-parallel-append*)  
**next**  
  **case** (*Par-Empty*  $xs$ )  
  **then show** *?case*  
  **by** (*fastforce elim: obtain-first-empty simp add: remove-one-empty-append*)  
**next case** *Par-Nil* **then show** *?case* **by** *simp*  
**next case** (*Par-Single*  $u$ ) **then show** *?case* **by** *simp*  
**next case** (*Par*  $v$   $vb$   $vc$ ) **then show** *?case* **using** *normalised-is-step-id* **by** *blast*  
**qed**

#### 4.4 Normalisation Procedure

Rewrite a resource term until normalised

**function** *normal-rewr* :: ( $'a, 'b$ ) *res-term*  $\Rightarrow$  ( $'a, 'b$ ) *res-term*

**where** *normal-rewr*  $x = (\text{if normalised } x \text{ then } x \text{ else normal-rewr (step } x))$   
**by** *pat-completeness auto*

This terminates with the rewriting bound as measure, because the step keeps decreasing it

**termination** *normal-rewr*  
**using** *res-term-rewrite-bound-step-decrease*  
**by** (*relation Wellfounded.measure res-term-rewrite-bound, auto*)

We remove the normalisation procedure definition from the simplifier, because it can loop

**lemmas** [*simp del*] = *normal-rewr.simps*

However, the terminal case can be safely used for simplification

**lemma** *normalised-normal-rewr [simp]*:  
*normalised*  $x \implies \text{normal-rewr } x = x$   
**by** (*simp add: normal-rewr.simps*)

Normalisation produces actually normalised terms

**lemma** *normal-rewr-normalised*:  
*normalised (normal-rewr x)*  
**by** (*induct x rule: normal-rewr.induct, simp add: normal-rewr.simps*)

Normalisation is idempotent

**lemma** *normal-rewr-idempotent [simp]*:  
*normal-rewr (normal-rewr x) = normal-rewr x*  
**using** *normal-rewr-normalised normalised-normal-rewr by blast*

Normalisation absorbs rewriting step

**lemma** *normal-rewr-step*:  
*normal-rewr x = normal-rewr (step x)*  
**by** (*cases normalised x (simp-all add: normal-rewr.simps)*)

Normalisation leaves leaf terms unchanged

**lemma** *normal-rewr-leaf*:  
*normal-rewr Empty = Empty*  
*normal-rewr Anything = Anything*  
*normal-rewr (Res x) = Res x*  
*normal-rewr (Copyable x) = Copyable x*  
**by** *simp-all*

Normalisation passes through *NonD*, *Executable* and *Repeatable* constructors

**lemma** *normal-rewr-nondet*:  
*normal-rewr (NonD x y) = NonD (normal-rewr x) (normal-rewr y)*  
**proof** (*induct x rule: normal-rewr.induct*)  
**case**  $x: (1\ x)$   
**then show** *?case*

```

proof (induct y rule: normal-rewr.induct)
  case y: (1 y)
  then show ?case
    by (metis normal-rewr-step normalised.simps(6) normalised-normal-rewr
step.simps(5))
  qed
qed
lemma normal-rewr-executable:
  normal-rewr (Executable x y) = Executable (normal-rewr x) (normal-rewr y)
proof (induct x rule: normal-rewr.induct)
  case x: (1 x)
  then show ?case
    proof (induct y rule: normal-rewr.induct)
      case y: (1 y)
      then show ?case
        by (metis normal-rewr-step normalised.simps(7) normalised-normal-rewr
step.simps(6))
    qed
  qed
lemma normal-rewr-repeatable:
  normal-rewr (Repeatable x y) = Repeatable (normal-rewr x) (normal-rewr y)
proof (induct x rule: normal-rewr.induct)
  case x: (1 x)
  then show ?case
    proof (induct y rule: normal-rewr.induct)
      case y: (1 y)
      then show ?case
        by (metis normal-rewr-step normalised.simps(8) normalised-normal-rewr
step.simps(7))
    qed
  qed

```

Normalisation simplifies empty *Parallel* terms

```

lemma normal-rewr-parallel-empty:
  normal-rewr (Parallel []) = Empty
  by (simp add: normal-rewr.simps)

```

Every resource is equivalent to its normalisation

```

lemma res-term-equiv-normal-rewr:
  x ~ normal-rewr x
proof (induct x rule: normal-rewr.induct)
  case (1 x)
  then show ?case
    proof (cases normalised x)
      case True
      then show ?thesis by (simp add: normal-rewr.simps)
    next
      case False
      then have step x ~ normal-rewr (step x)

```

```

    using 1 by simp
  then have  $x \sim \text{normal-rewr } (\text{step } x)$ 
    using res-term-equiv.trans res-term-equiv-step by blast
  then show ?thesis
    by (simp add: normal-rewr.simps)
qed

```

And, by transitivity, resource terms with equal normalisations are equivalent

```

lemma normal-rewr-imp-equiv:
   $\text{normal-rewr } x = \text{normal-rewr } y \implies x \sim y$ 
  using res-term-equiv-normal-rewr[of x] res-term-equiv-normal-rewr[of y, symmetric]
  by (metis res-term-equiv.trans)

```

Resource normalisation commutes with the resource map

```

lemma normal-rewr-map:
   $\text{map-res-term } f g (\text{normal-rewr } x) = \text{normal-rewr } (\text{map-res-term } f g x)$ 
proof (induct x rule: normal-rewr.induct)
  case (1  $x$ )
  then show ?case
  proof (cases normalised x)
    case True
    then show ?thesis
      by (simp add: normalised-map normal-rewr.simps)
  next
  case False
  have  $\text{map-res-term } f g (\text{normal-rewr } x) = \text{map-res-term } f g (\text{normal-rewr } (\text{step } x))$ 
    using False by (simp add: normal-rewr.simps)
  also have  $\dots = \text{normal-rewr } (\text{map-res-term } f g (\text{step } x))$ 
    using 1 False by simp
  also have  $\dots = \text{normal-rewr } (\text{step } (\text{map-res-term } f g x))$ 
    using step-map[of f g x] by simp
  also have  $\dots = \text{normal-rewr } (\text{map-res-term } f g x)$ 
    using False by (simp add: normalised-map normal-rewr.simps)
  finally show ?thesis .
qed

```

Normalisation is contained in transitive closure of the rewriting

```

lemma res-term-rewrite-tranclp-normal-rewr:
   $\text{res-term-rewrite}^{++} x (\text{normal-rewr } x)$ 
proof (induct x rule: normal-rewr.induct)
  case (1  $x$ )
  then show ?case
  proof (cases normalised x)
    case True
    then show ?thesis

```

```

    by (simp add: tranclp.r-into-trancl)
  next
    case False
  then show ?thesis
    using 1 res-term-rewrite-contains-step tranclp-into-tranclp2 normal-rewr-step
  by metis
  qed
qed

```

## 4.5 As Abstract Rewriting System

The normalisation procedure described above implements an abstract rewriting system. Their theory allows us to prove that equality of normal forms is the same as term equivalence by reasoning about how equivalent terms are joinable by the rewriting.

### 4.5.1 Rewriting System Properties

In the ARS mechanisation normal forms are terminal elements of the rewriting relation, while in our case they are fixpoints. To interface with that property, we use the irreflexive graph of *step*.

**definition** *step-irr* :: ('a, 'b) res-term rel  
 where *step-irr* =  $\{(x,y). x \neq y \wedge \text{step } x = y\}$

**lemma** *step-irr-inI*:  
 $x \neq \text{step } x \implies (x, \text{step } x) \in \text{step-irr}$   
 by (simp add: step-irr-def)

Graph of *normal-rewr* is in the transitive-reflexive closure of irreflexive step

**lemma** *normal-rewr-in-step-rtrancl*:  
 $(x, \text{normal-rewr } x) \in \text{step-irr}^*$   
**proof** (induct x rule: normal-rewr.induct)  
 case (1 x)  
 then show ?case  
 proof (cases normalised x)  
 case True  
 then show ?thesis by simp  
 next  
 case False  
 moreover have  $(x, \text{step } x) \in \text{step-irr}$   
 using False normalised-is-step-id by (fastforce simp add: step-irr-def)  
 ultimately show ?thesis  
 by (metis 1 converse-rtrancl-into-rtrancl normal-rewr.elims)  
 qed  
 qed

Normal forms of irreflexive step are exactly the normalised terms

**lemma** *step-nf-is-normalised*:  
 $NF\ step\text{-}irr = \{x.\ normalised\ x\}$   
**proof** *safe*  
**fix**  $x :: ('a, 'b)\ res\text{-}term$   
**show**  $x \in NF\ step\text{-}irr \implies normalised\ x$   
**by** (*metis NF-not-suc normal-rewr-in-step-rtrancl normal-rewr-normalised*)  
**show**  $normalised\ x \implies x \in NF\ step\text{-}irr$   
**by** (*simp add: NF-I step-irr-def*)  
**qed**

As such, every value of *normal-rewr* is a normal form of irreflexive step

**lemma** *normal-rewr-NF [simp]*:  
 $normal\text{-}rewr\ x \in NF\ step\text{-}irr$   
**by** (*simp add: normal-rewr-normalised step-nf-is-normalised*)

Terms related by reflexive-transitive step are equivalent

**lemma** *step-rtrancl-equivalent*:  
 $(a,b) \in step\text{-}irr^* \implies a \sim b$   
**proof** (*induct rule: rtrancl-induct*)  
**case** *base*  
**then show** *?case* **by** *simp*  
**next**  
**case** (*step y z*)  
**then show** *?case*  
**by** (*metis (mono-tags, lifting) Product-Type.Collect-case-prodD fst-conv res-term-equiv.refl res-term-equiv.trans-both snd-conv res-term-equiv-step step-irr-def*)  
**qed**

Irreflexive step is locally and strongly confluent because it's part of a function

**lemma** *step-irr-locally-confluent*:  
 $WCR\ step\text{-}irr$   
**unfolding** *step-irr-def* **by** *standard fastforce*

**lemma** *step-irr-strongly-confluent*:  
 $strongly\text{-}confluent\ step\text{-}irr$   
**unfolding** *step-irr-def* **by** *standard fastforce*

Therefore it is Church-Rosser and has unique normal forms

**lemma** *step-CR: CR step-irr*  
**using** *step-irr-strongly-confluent strong-confluence-imp-CR CR-imp-UNC CR-imp-UNF*  
**by** *blast*

**lemma** *step-UNC: UNC step-irr*  
**using** *step-CR CR-imp-UNC* **by** *blast*

**lemma** *step-UNF: UNF step-irr*  
**using** *step-CR CR-imp-UNF* **by** *blast*

Irreflexive step is strongly normalising because it decreases the well-founded rewriting bound

**lemma** *step-SN*:  
*SN step-irr*  
**unfolding** *SN-def*  
**using** *SN-onI*  
**proof**  
**fix**  $x :: ('a, 'b) \text{ res-term and } f$   
**show**  $\llbracket f \ 0 \in \{x\}; \forall i. (f \ i, f \ (\text{Suc } i)) \in \text{step-irr} \rrbracket \implies \text{False}$   
— Irreflexivity of step is essential here to get the needed contradiction  
— Strong induction is needed because bound may decrease by more than 1  
**proof** (*induct res-term-rewrite-bound x arbitrary: f x rule: less-induct*)  
**case** *less*  
**then show** *?case*  
**using** *less(1)[where x = step x and f =  $\lambda x. f \ (\text{Suc } x)$ ]*  
**by** (*metis (mono-tags, lifting) case-prodD mem-Collect-eq normalised-is-step-id res-term-rewrite-bound-step-decrease singleton-iff step-irr-def*)  
**qed**  
**qed**

Normalisability relation of irreflexive step is exactly the graph of *normal-rewr*

**lemma** *step-normalizability-normal-rewr*:  
 $\text{step-irr}^! = \{(x, y). y = \text{normal-rewr } x\}$   
**proof** *safe*  
**fix**  $a \ b :: ('a, 'b) \text{ res-term}$   
**assume**  $(a, b) \in \text{step-irr}^!$   
**then show**  $b = \text{normal-rewr } a$   
**by** (*meson UNF-onE UNIV-I normal-rewr-NF normal-rewr-in-step-rtrancl normalizability-I step-UNF*)  
**next**  
**fix**  $a :: ('a, 'b) \text{ res-term}$   
**show**  $(a, \text{normal-rewr } a) \in \text{step-irr}^!$   
**using** *normal-rewr-NF normal-rewr-in-step-rtrancl by blast*  
**qed**

The unique normal form, *the-NF* in the ARS language, is *normal-rewr*

**lemma** *step-irr-the-NF [simp]*:  
 $\text{the-NF } \text{step-irr } x = \text{normal-rewr } x$   
**by** (*meson UNF-onE UNIV-I normal-rewr-NF normal-rewr-in-step-rtrancl normalizability-I step-CR step-SN step-UNF the-NF*)

Terms related by reflexive-transitive step have the same normal form

**lemma** *step-rtrancl-eq-normal*:  
 $(x, y) \in \text{step-irr}^* \implies \text{normal-rewr } x = \text{normal-rewr } y$   
**by** (*metis normal-rewr-NF normal-rewr-in-step-rtrancl rtrancl-trans some-NF-UNF step-UNF*)

#### 4.5.2 *NonD* Joinability

Two *NonD* terms are joinable if their corresponding children are joinable

**lemma** *step-rtrancl-nondL*:  
 $(x,u) \in \text{step-irr}^* \implies (\text{NonD } x \ y, \text{NonD } u \ y) \in \text{step-irr}^*$   
**proof** (*induct rule: rtrancl-induct*)  
  **case** *base*  
  **then show** *?case by simp*  
**next**  
  **case** (*step y z*)  
  **then show** *?case*  
  **by** (*fastforce intro: rtrancl-into-rtrancl simp add: step-irr-def*)  
**qed**

**lemma** *step-rtrancl-nondR*:  
 $\llbracket (y,v) \in \text{step-irr}^*; \text{normalised } x \rrbracket \implies (\text{NonD } x \ y, \text{NonD } x \ v) \in \text{step-irr}^*$   
**proof** (*induct rule: rtrancl-induct*)  
  **case** *base*  
  **then show** *?case by simp*  
**next**  
  **case** (*step y z*)  
  **then show** *?case*  
  **by** (*fastforce intro: rtrancl-into-rtrancl simp add: step-irr-def*)  
**qed**

**lemma** *step-rtrancl-nond*:  
 $\llbracket (x,u) \in \text{step-irr}^*; \text{normalised } u; (y,v) \in \text{step-irr}^* \rrbracket \implies (\text{NonD } x \ y, \text{NonD } u \ v) \in \text{step-irr}^*$   
  **using** *step-rtrancl-nondL step-rtrancl-nondR by (metis rtrancl-trans)*

**lemma** *step-join-apply-nondet*:  
**assumes**  $(x,u) \in \text{step-irr}^\downarrow$  **and**  $(y,v) \in \text{step-irr}^\downarrow$  **shows**  $(\text{NonD } x \ y, \text{NonD } u \ v) \in \text{step-irr}^\downarrow$   
**proof** (*rule joinI*)  
  **have**  $(\text{NonD } x \ y, \text{NonD } (\text{normal-rewr } x) \ y) \in \text{step-irr}^*$   
  **using** *step-rtrancl-nondL normal-rewr-in-step-rtrancl by metis*  
  **also have**  $(\text{NonD } (\text{normal-rewr } x) \ y, \text{NonD } (\text{normal-rewr } x) \ (\text{normal-rewr } y)) \in \text{step-irr}^*$   
  **using** *step-rtrancl-nondR normal-rewr-in-step-rtrancl normal-rewr-normalised*  
**by metis**  
  **finally show**  $(\text{NonD } x \ y, \text{NonD } (\text{normal-rewr } x) \ (\text{normal-rewr } y)) \in \text{step-irr}^*$  .

**have**  $(\text{NonD } u \ v, \text{NonD } (\text{normal-rewr } u) \ v) \in \text{step-irr}^*$   
  **using** *step-rtrancl-nondL normal-rewr-in-step-rtrancl by metis*  
  **also have**  $(\text{NonD } (\text{normal-rewr } u) \ v, \text{NonD } (\text{normal-rewr } u) \ (\text{normal-rewr } v)) \in \text{step-irr}^*$   
  **using** *step-rtrancl-nondR normal-rewr-in-step-rtrancl normal-rewr-normalised*  
**by metis**  
  **also have**  
   $(\text{NonD } (\text{normal-rewr } u) \ (\text{normal-rewr } v), \text{NonD } (\text{normal-rewr } x) \ (\text{normal-rewr } y)) \in \text{step-irr}^*$

**using** *assms* *joinD* *step-rtrancl-eq-normal* *rtrancl.rtrancl-refl* **by** *metis*  
**finally show**  $(\text{NonD } u \ v, \text{NonD } (\text{normal-rewr } x) (\text{normal-rewr } y)) \in \text{step-irr}^*$  .  
**qed**

### 4.5.3 Executable and Repeatable Joinability

Two (repeatably) executable resource terms are joinable if their corresponding children are joinable

**lemma** *step-join-apply-executable*:

$\llbracket (x,u) \in \text{step-irr}^\downarrow; (y,v) \in \text{step-irr}^\downarrow \rrbracket \implies (\text{Executable } x \ y, \text{Executable } u \ v) \in \text{step-irr}^\downarrow$

**using** *joinI*[**where**  $c = \text{Executable } (\text{normal-rewr } x) (\text{normal-rewr } y)$ ] *normal-rewr-executable*  
**by** (*metis* (*mono-tags*, *lifting*) *joinD* *normal-rewr-in-step-rtrancl* *step-rtrancl-eq-normal*)

**lemma** *step-join-apply-repeatable*:

$\llbracket (x,u) \in \text{step-irr}^\downarrow; (y,v) \in \text{step-irr}^\downarrow \rrbracket \implies (\text{Repeatable } x \ y, \text{Repeatable } u \ v) \in \text{step-irr}^\downarrow$

**using** *joinI*[**where**  $c = \text{Repeatable } (\text{normal-rewr } x) (\text{normal-rewr } y)$ ] *normal-rewr-repeatable*  
**by** (*metis* (*mono-tags*, *lifting*) *joinD* *normal-rewr-in-step-rtrancl* *step-rtrancl-eq-normal*)

### 4.5.4 Parallel Joinability

From two lists of joinable terms we can obtain a list of common destination terms

**lemma** *list-all2-join*:

**assumes** *list-all2*  $(\lambda x \ y. (x, y) \in R^\downarrow) \ xs \ ys$   
**obtains** *cs*  
**where** *list-all2*  $(\lambda x \ c. (x, c) \in R^*) \ xs \ cs$   
**and** *list-all2*  $(\lambda y \ c. (y, c) \in R^*) \ ys \ cs$   
**using** *assms* **by** (*induct rule: list-all2-induct* ; *blast*)

Every parallel resource term with at least two elements is related to a parallel resource term with the contents normalised

**lemma** *step-rtrancl-map-normal*:

$(\text{Parallel } xs, \text{Parallel } (\text{map } \text{normal-rewr } xs)) \in \text{step-irr}^*$

**proof** (*induct sum-list* (*map res-term-rewrite-bound* *xs*) *arbitrary: xs* *rule: less-induct*)

**case** *less*

**then show** *?case*

**proof** (*cases list-all normalised* *xs*)

**case** *True*

**then show** *?thesis*

**by** (*metis* *Ball-set* *map-idI* *normalised-normal-rewr* *rtrancl.rtrancl-refl*)

**next**

**case** *False*

**then have** *unnorm*:  $\neg \text{normalised } (\text{Parallel } xs)$

**by** *simp*

**have** *step*:  $\text{step } (\text{Parallel } xs) = \text{Parallel } (\text{map } \text{step } xs)$

```

    using False by (simp add: not-list-all)
  moreover have Parallel xs ≠ Parallel (map step xs)
    using unnorm by (metis calculation normalised-is-step-id)
  ultimately have (Parallel xs, Parallel (map step xs)) ∈ step-irr
    using step-irr-inI by metis
  moreover have (Parallel (map step xs), Parallel (map normal-rewr (map step
xs))) ∈ step-irr*
    using less[of map step xs] False step unnorm
    by (smt (verit, ccfv-threshold) ab-semigroup-add-class.add-ac(1)
        add-mono-thms-linordered-field(3) dual-order.refl length-map not-less-eq
plus-1-eq-Suc
        res-term-rewrite-bound.simps(5) res-term-rewrite-bound-step-decrease)
  moreover have map normal-rewr (map step xs) = map normal-rewr xs
    by (simp ; safe ; rule normal-rewr-step[symmetric])
  ultimately show ?thesis
    by (metis (no-types, lifting) converse-rtrancl-into-rtrancl)
qed
qed

```

Two lists of joinable terms have the same normal forms

**lemma** *list-all2-join-normal-eq*:

*list-all2* ( $\lambda u v. (u, v) \in \text{step-irr}^\downarrow$ ) *xs ys*  $\implies \text{map normal-rewr } xs = \text{map normal-rewr } ys$

**proof** (*induct rule: list-all2-induct*)

case *Nil*

then show ?case by *simp*

next

case (*Cons x xs y ys*)

then show ?case by *simp* (*metis (no-types, lifting) joinD step-rtrancl-eq-normal*)

qed

Parallel resource terms whose contents are joinable are themselves joinable

**lemma** *step-join-apply-parallel*:

assumes *list-all2* ( $\lambda u v. (u, v) \in \text{step-irr}^\downarrow$ ) *xs ys*

shows (*Parallel xs, Parallel ys*)  $\in \text{step-irr}^\downarrow$

by (*metis assms joinI list-all2-join-normal-eq step-rtrancl-map-normal*)

Removing all *Empty* terms absorbs the removal of one

**lemma** *remove-all-empty-subsumes-remove-one*:

*remove-all-empty* (*remove-one-empty xs*) = *remove-all-empty xs*

**proof** (*induct xs*)

case *Nil*

then show ?case by *simp*

next

case (*Cons a xs*)

then show ?case

by (*cases a ; fastforce*)

qed

For any list with an *Empty* term, removing one strictly decreases their count

**lemma** *remove-one-empty-count-if-decrease*:

*list-ex is-Empty xs  $\implies$  count-if is-Empty (remove-one-empty xs) < count-if is-Empty xs*

**proof** (*induct xs*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

**then show** *?case*

**by** (*cases a ; simp*)

**qed**

Removing all *Empty* terms from children of a *Parallel* term, that are already all normalised and none of which are nested *Parallel* terms, is related by transitive and reflexive closure of irreflexive step.

**lemma** *step-rtrancl-remove-all-empty*:

**assumes**  $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x$

**and**  $\neg \text{list-ex is-Parallel } xs$

**shows**  $(\text{Parallel } xs, \text{Parallel } (\text{remove-all-empty } xs)) \in \text{step-irr}^*$

**using** *assms*

**proof** (*induct count-if is-Empty xs arbitrary: xs rule: less-induct*)

**case** *less*

**then show** *?case*

**proof** (*cases list-ex is-Empty xs*)

**case** *True*

**then have** *a: step (Parallel xs) = Parallel (remove-one-empty xs)*

**using** *less* **by** (*metis Bex-set step.simps(8)*)

**moreover have** *b: count-if is-Empty (remove-one-empty xs) < count-if is-Empty xs*

**using** *True* **by** (*rule remove-one-empty-count-if-decrease*)

**moreover have** *c:  $\bigwedge x. x \in \text{set } (\text{remove-one-empty } xs) \implies \text{normalised } x$*

**using** *remove-one-empty-subset less(2)* **by** *fast*

**moreover have**  $\neg \text{list-ex is-Parallel } (\text{remove-one-empty } xs)$

**using** *remove-one-empty-subset less(3) not-list-ex*

**by** (*metis (mono-tags, lifting) Ball-set*)

**ultimately show** *?thesis*

**using** *less remove-all-empty-subsumes-remove-one*

**by** (*metis converse-rtrancl-into-rtrancl step-irr-inI*)

**next**

**case** *False*

**then show** *?thesis*

**by** (*simp add: joinI-right remove-all-empty-none*)

**qed**

**qed**

After merging all *Parallel* elements of a list of normalised terms, there remain no more *Parallel* terms in it

**lemma** *merge-all-parallel-map-normal-result*:

**assumes**  $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x$   
**shows**  $\neg \text{list-ex is-Parallel } (\text{merge-all-parallel } xs)$   
**using** *assms merge-all-parallel-result normalised.simps(5) not-list-ex by blast*

For any list with a *Parallel* term, removing one strictly decreases their count if no element contains further nested *Parallel* terms within it

**lemma** *merge-one-parallel-count-if-decrease:*

**assumes** *list-ex is-Parallel xs*  
**and**  $\bigwedge y \text{ ys. } \llbracket y \in \text{set } xs; y = \text{Parallel } ys \rrbracket \implies \neg \text{list-ex is-Parallel } ys$   
**shows** *count-if is-Parallel (merge-one-parallel xs) < count-if is-Parallel xs*  
**using** *assms*  
**proof** (*induct xs*)  
**case** *Nil*  
**then show** *?case by simp*  
**next**  
**case** (*Cons a xs*)  
**then show** *?case by (cases a) (simp-all add: count-if-0-conv)*  
**qed**

Merging all *Parallel* terms absorbs the merging of one if no element contains further nested *Parallel* terms within it

**lemma** *merge-all-parallel-subsumes-merge-one:*

**assumes**  $\bigwedge y \text{ ys. } \llbracket y \in \text{set } xs; y = \text{Parallel } ys \rrbracket \implies \neg \text{list-ex is-Parallel } ys$   
**shows** *merge-all-parallel (merge-one-parallel xs) = merge-all-parallel xs*  
**using** *assms*  
**proof** (*induct xs*)  
**case** *Nil*  
**then show** *?case by simp*  
**next**  
**case** (*Cons a xs*)  
**then show** *?case*  
**proof** (*cases a*)  
**case** *Empty* **then show** *?thesis using Cons by simp*  
**next case** *Anything* **then show** *?thesis using Cons by simp*  
**next case** (*Res x3*) **then show** *?thesis using Cons by simp*  
**next case** (*Copyable x4*) **then show** *?thesis using Cons by simp*  
**next**  
**case** (*Parallel x5*)  
**then show** *?thesis*  
**using** *Cons by (simp add: merge-all-parallel-append merge-all-parallel-none)*  
**next case** (*NonD x61 x62*) **then show** *?thesis using Cons by simp*  
**next case** (*Executable x71 x72*) **then show** *?thesis using Cons by simp*  
**next case** (*Repeatable x81 x82*) **then show** *?thesis using Cons by simp*  
**qed**  
**qed**

Merging one *Parallel* term in a list of normalised terms keeps them normalised

**lemma** *merge-one-parallel-preserve-normalised:*

```

[[ $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x; a \in \text{set } (\text{merge-one-parallel } xs)$ ]]  $\implies$  normalised
a
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp ; (presburger | metis normalised-parallel-children))
qed

```

Merging all *Parallel* terms in a list of normalised terms keeps them normalised

**lemma** *merge-all-parallel-preserve-normalised*:

```

[[ $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x; a \in \text{set } (\text{merge-all-parallel } xs)$ ]]  $\implies$  normalised
a
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case by (cases a ; simp ; (presburger | metis normalised-parallel-children))
qed

```

Merging all *Parallel* terms from children of a *Parallel* term, that are already all normalised, is related by transitive and reflexive closure of irreflexive step.

**lemma** *step-rtrancl-merge-all-parallel*:

```

assumes  $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x$ 
shows (Parallel xs, Parallel (merge-all-parallel xs))  $\in$  step-irr*
using assms
proof (induct count-if is-Parallel xs arbitrary: xs rule: less-induct)
  case less
  then show ?case
  proof (cases list-ex is-Parallel xs)
    case False
    then show ?thesis
    using merge-all-parallel-none by (metis rtrancl.rtrancl-refl)
  next
  case True
  then have step (Parallel xs) = Parallel (merge-one-parallel xs)
  using less by (metis Bex-set step.simps(8))
  moreover have  $\bigwedge x. x \in \text{set } (\text{merge-one-parallel } xs) \implies \text{normalised } x$ 
  using merge-one-parallel-preserve-normalised less(2) by blast
  moreover have count-if is-Parallel (merge-one-parallel xs) < count-if is-Parallel xs
  using less(2) True merge-one-parallel-count-if-decrease normalised.simps(5)
  not-list-ex
  by blast
  ultimately show ?thesis

```

```

    using less merge-all-parallel-subsumes-merge-one
    by (metis converse-rtrancl-into-rtrancl normalised.simps(5) not-list-ex step-irr-inI)
  qed
qed

```

Thus, there is a general rewriting path that *Parallel* terms take

**lemma** *step-rtrancl-parallel*:

```

  (Parallel xs, Parallel (remove-all-empty (merge-all-parallel (map normal-rewr
xs)))) ∈ step-irr*

```

**proof** –

```

  have (Parallel xs, Parallel (map normal-rewr xs)) ∈ step-irr*

```

```

    by (rule step-rtrancl-map-normal)

```

**also have**

```

  (Parallel (map normal-rewr xs), Parallel (merge-all-parallel (map normal-rewr
xs)))

```

```

  ∈ step-irr*

```

```

  by (metis ex-map-conv normal-rewr-normalised step-rtrancl-merge-all-parallel)

```

```

  also have (Parallel (merge-all-parallel (map normal-rewr xs)),

```

```

    Parallel (remove-all-empty (merge-all-parallel (map normal-rewr xs))))

```

```

  ∈ step-irr*

```

```

  using merge-all-parallel-map-normal-result merge-all-parallel-preserve-normalised
normal-rewr-normalised step-rtrancl-remove-all-empty

```

```

  by (metis (mono-tags, lifting) imageE list.set-map)

```

```

  finally show ?thesis .

```

qed

#### 4.5.5 Other Helpful Lemmas

For Church-Rosser strongly normalising rewriting systems, joinability is transitive

**lemma** *CR-SN-join-trans*:

```

  assumes CR R

```

```

    and SN R

```

```

    and  $(x, y) \in R^\downarrow$ 

```

```

    and  $(y, z) \in R^\downarrow$ 

```

```

  shows  $(x, z) \in R^\downarrow$ 

```

**proof** –

```

  obtain a where a:  $(x, a) \in R^*$   $(y, a) \in R^*$ 

```

```

    using assms(3) joinE by metis

```

```

  then have the-NF R y = the-NF R a

```

```

    using assms(1,2) the-NF-steps by metis

```

```

  moreover obtain b where b:  $(y, b) \in R^*$   $(z, b) \in R^*$ 

```

```

    using assms(4) joinE by metis

```

```

  then have the-NF R y = the-NF R b

```

```

    using assms(1,2) the-NF-steps by metis

```

```

  ultimately show ?thesis

```

```

    using assms(1,2) a b by (meson CR-join-right-I joinI join-rtrancl-join)

```

qed

More generally, for such systems, two joinable pairs can be bridged by a third

**lemma** *CR-SN-join-both*:

$\llbracket CR\ R; SN\ R; (a, b) \in R^\downarrow; (x, y) \in R^\downarrow; (b, y) \in R^\downarrow \rrbracket \implies (a, x) \in R^\downarrow$   
**by** (*meson CR-SN-join-trans join-sym*)

With irreflexive step being one such rewriting system

**lemmas** *step-irr-join-trans* = *CR-SN-join-trans*[*OF step-CR step-SN*]

**lemmas** *step-irr-join-both* = *CR-SN-join-both*[*OF step-CR step-SN*]

*Parallel* term with no work left in children normalises in three possible ways

**lemma** *normal-rewr-parallel-cases*:

**assumes**  $\forall x. x \in \text{set } xs \longrightarrow \text{normalised } x$

**and**  $\neg \text{list-ex is-Empty } xs$

**and**  $\neg \text{list-ex is-Parallel } xs$

**obtains**

$(\text{Parallel}) \text{ normalised } (\text{Parallel } xs) \text{ and normal-rewr } (\text{Parallel } xs) = \text{Parallel}$

*xs*

|  $(\text{Empty}) \text{ } xs = [] \text{ and normal-rewr } (\text{Parallel } xs) = \text{Empty}$

|  $(\text{Single}) \text{ } a \text{ where } xs = [a] \text{ and normal-rewr } (\text{Parallel } xs) = a$

**proof** (*cases xs rule: remdups-adj.cases*)

**case** 1

**then show** *?thesis* **using** *that normal-rewr-parallel-empty* **by** *fastforce*

**next**

**case** (2 *x*)

**then have**  $\text{normal-rewr } (\text{Parallel } [x]) = \text{step } (\text{Parallel } [x])$

**using** *assms* **by** (*subst normal-rewr.simps*) *simp*

**then show** *?thesis*

**using** *that assms 2* **by** *simp*

**next**

**case** (3 *x y xs*)

**then show** *?thesis*

**using** *assms that*

**by** (*metis normal-rewr.simps normalised-parallelise parallelise.simps(3)*)

**qed**

For a list of already normalised terms with no *Empty* or *Parallel* terms, the normalisation procedure acts like *parallel-parts* followed by *parallelise*. It only does simplifications related to the number of elements.

**lemma** *normal-rewr-parallelise*:

**assumes**  $\forall x. x \in \text{set } xs \longrightarrow \text{normalised } x$

**and**  $\neg \text{list-ex is-Empty } xs$

**and**  $\neg \text{list-ex is-Parallel } xs$

**shows**  $\text{normal-rewr } (\text{Parallel } xs) = \text{parallelise } (\text{parallel-parts } (\text{Parallel } xs))$

**proof** –

**show** *?thesis*

**using** *assms*

**proof** (*cases rule: normal-rewr-parallel-cases*)

```

case Parallel
then show ?thesis
  using parallel-parts-no-empty-parallel assms
  by (metis list-obtain-2 normalised.simps(5) parallelise.simps(3))
next case Empty then show ?thesis by simp
next case (Single a) then show ?thesis using assms by (cases a ; simp)
qed
qed

```

Removing all *Empty* terms has no effect on number of *Parallel* terms

```

lemma parallel-remove-all-empty:
  list-ex is-Parallel (remove-all-empty xs) = list-ex is-Parallel xs
proof (induct xs)
  case Nil then show ?case by simp
next case (Cons a xs) then show ?case by (cases a) simp-all
qed

```

Removing all *Empty* terms is idempotent because there are no *Empty* terms to remove on the second pass

```

lemma remove-all-empty-idempotent:
  shows remove-all-empty (remove-all-empty xs) = remove-all-empty xs
  by (induct xs) simp-all

```

Every *Parallel* term rewrites to the parallelisation of normalised children with all *Empty* terms removed and all *Parallel* terms merged

```

lemma normal-rewr-to-parallelise:
  normal-rewr (Parallel xs)
  = parallelise (remove-all-empty (merge-all-parallel (map normal-rewr xs)))
proof –
  have
    normal-rewr (Parallel xs)
    = normal-rewr (Parallel (remove-all-empty (merge-all-parallel (map normal-rewr xs))))
  using step-rtrancl-parallel step-rtrancl-eq-normal by metis
  also have ...
    = parallelise (parallel-parts (Parallel (remove-all-empty (merge-all-parallel (map normal-rewr xs)))))
  using merge-all-parallel-preserve-normalised normal-rewr-parallelise parallel-remove-all-empty
  using merge-all-parallel-map-normal-result remove-all-empty-result normal-rewr-normalised
  by (smt (verit, ccfv-threshold) imageE list.set-map remove-all-empty-subset)
  also have ... = parallelise (remove-all-empty (merge-all-parallel (map normal-rewr xs)))
  using parallel-parts-no-empty-parallel parallel-remove-all-empty
  using merge-all-parallel-map-normal-result remove-all-empty-result normal-rewr-normalised
  by (metis (mono-tags, lifting) imageE list.set-map)
  finally show ?thesis .
qed

```

*Parallel* term that normalises to *Empty* must have had no children left after

normalising them, merging *Parallel* terms and removing *Empty* terms

**lemma** *normal-rewr-to-empty*:

**assumes** *normal-rewr* (*Parallel xs*) = *Empty*

**shows** *remove-all-empty* (*merge-all-parallel* (*map normal-rewr xs*)) = []

**using** *assms normal-rewr-to-parallelise parallelise-to-empty-eq remove-all-empty-result*

**by** (*metis list-ex-simps(1) res-term.disc(19)*)

*Parallel* term that normalises to another *Parallel* must have had those children left after normalising its own, merging *Parallel* terms and removing *Empty* terms

**lemma** *normal-rewr-to-parallel*:

**assumes** *normal-rewr* (*Parallel xs*) = *Parallel ys*

**shows** *remove-all-empty* (*merge-all-parallel* (*map normal-rewr xs*)) = *remove-all-empty*

*ys*

**proof** –

**have**  $\neg$  *list-ex is-Parallel* (*remove-all-empty* (*merge-all-parallel* (*map normal-rewr xs*)))

**using** *merge-all-parallel-map-normal-result normal-rewr-normalised parallel-remove-all-empty*

**by** (*metis (mono-tags, lifting) imageE list.set-map*)

**then have** *remove-all-empty* (*merge-all-parallel* (*map normal-rewr xs*)) = *ys*

**by** (*metis assms normal-rewr-to-parallelise normal-rewr-normalised normalised-parallel-parts-eq parallel-parts-no-empty-parallel parallel-parts-parallelise-eq remove-all-empty-result*)

**then show** *?thesis*

**using** *assms remove-all-empty-idempotent by metis*

**qed**

*Parallel* that normalises to anything else must have had that as the only term left after normalising its own, merging *Parallel* terms and removing *Empty* terms

**lemma** *normal-rewr-to-other*:

**assumes** *normal-rewr* (*Parallel xs*) = *a*

**and**  $\neg$  *is-Empty* *a*

**and**  $\neg$  *is-Parallel* *a*

**shows** *remove-all-empty* (*merge-all-parallel* (*map normal-rewr xs*)) = [*a*]

**using** *assms by (simp add: normal-rewr-to-parallelise parallelise-to-single-eq)*

#### 4.5.6 Equivalent Term Joinability

Equivalent resource terms are joinable by irreflexive step

**lemma** *res-term-equiv-joinable*:

$x \sim y \implies (x, y) \in \text{step-irr}^\downarrow$

**proof** (*induct rule: res-term-equiv.induct*)

**case empty then show** *?case by blast*

**next case anything then show** *?case by blast*

**next case (res x) then show** *?case by blast*

**next case (copyable x) then show** *?case by blast*

**next**

```

case nil
then show ?case
  by (metis joinI-left normal-rewr-in-step-rtrancl normal-rewr-parallel-empty)
next
case (singleton a)
then show ?case
proof (induct res-term-rewrite-bound a arbitrary: a rule: less-induct)
  case less
    then show ?case
    proof (cases normalised a)
      case True
      then show ?thesis
      proof (cases a)
        case Empty
        moreover have (Parallel [Empty], Empty)  $\in$  step-irr*
        proof –
          have step (Parallel [Empty]) = Parallel []
            by simp
          then show ?thesis
            using normal-rewr-in-step-rtrancl normal-rewr-parallel-empty
            by (metis converse-rtrancl-into-rtrancl step-irr-inI)
        qed
      ultimately show ?thesis
        using joinI-left by simp
    next
    case Anything
    then have step (Parallel [a]) = a
      by simp
    then show ?thesis
      using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
    next
    case (Res x3)
    then have step (Parallel [a]) = a
      by simp
    then show ?thesis
      using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
    next
    case (Copyable x4)
    then have step (Parallel [a]) = a
      using True by simp
    then show ?thesis
      using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
    next
    case (Parallel x5)
    then have step (Parallel [Parallel x5]) = Parallel x5
      using True by simp
    then show ?thesis
      using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left Parallel by
metis

```

```

next
  case (NonD x61 x62)
  then have step (Parallel [a]) = a
    using True by simp
  then show ?thesis
    using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
next
  case (Executable x71 x72)
  then have step (Parallel [a]) = a
    using True by simp
  then show ?thesis
    using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
next
  case (Repeatable x71 x72)
  then have step (Parallel [a]) = a
    using True by simp
  then show ?thesis
    using step-irr-inI parallel-neq-single r-into-rtrancl joinI-left by metis
qed
next
  case False
  then have step (Parallel [a]) = Parallel [step a]
    by simp
  moreover have res-term-rewrite-bound (step a) < res-term-rewrite-bound a
    using res-term-rewrite-bound-step-decrease False by blast
  ultimately show ?thesis
    using less normal-rewr-in-step-rtrancl step-irr-join-trans step-normalised
    by (metis joinI normal-rewr.elims)
qed
qed
next
  case (merge x y z)
  have
    ( Parallel (x @ y @ z)
      , Parallel (remove-all-empty (merge-all-parallel (map normal-rewr (x @ y @
z))))
    ) ∈ step-irr*
    using step-rtrancl-parallel .
  also have
    ( Parallel (remove-all-empty (merge-all-parallel (map normal-rewr (x @ y @
z))))
      , Parallel (remove-all-empty (merge-all-parallel (map normal-rewr (x @
[Parallel y] @ z))))
    ) ∈ step-irr*
  proof (cases normal-rewr (Parallel y))
  case Empty
  then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-empty)
  next

```

```

    case Anything
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
next
    case (Res x3)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
next
    case (Copyable x4)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
next
    case (Parallel x5)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-parallel)
next
    case (NonD x61 x62)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
next
    case (Executable x71 x72)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
next
    case (Repeatable x81 x82)
    then show ?thesis
    by (simp add: merge-all-parallel-append remove-all-empty-append normal-rewr-to-other)
qed
finally show ?case
  using step-rtrancl-parallel by blast
next
  case (parallel xs ys)
  then show ?case
  by (simp add: list-all2-mono step-join-apply-parallel)
next
  case (nondet x y u v)
  then show ?case using step-join-apply-nondet by blast
next
  case (executable x y u v)
  then show ?case using step-join-apply-executable by blast
next
  case (repeatable x y u v)
  then show ?case using step-join-apply-repeatable by blast
next
  case (sym x y)
  then show ?case by (simp add: join-sym)
next
  case (trans x y z)
  then show ?case by (meson joinE CR-join-right-I joinI join-rtrancl-join step-CR)

```

**qed**

Therefore this rewriting-based normalisation brings equivalent terms to the same normal form

**lemma** *res-term-equiv-imp-normal-rewr*:  
  **assumes**  $x \sim y$  **shows**  $\text{normal-rewr } x = \text{normal-rewr } y$   
**proof** (*rule join-NF-imp-eq*)  
  **have**  $\text{normal-rewr } x \sim x$   
    **using** *res-term-equiv-normal-rewr res-term-equiv.sym* **by** *blast*  
  **moreover have**  $y \sim \text{normal-rewr } y$   
    **by** (*rule res-term-equiv-normal-rewr*)  
  **ultimately have**  $\text{normal-rewr } x \sim \text{normal-rewr } y$   
    **using** *assms* **by** (*rule res-term-equiv.trans-both*)  
  **then show**  $(\text{normal-rewr } x, \text{normal-rewr } y) \in \text{step-irr}^\downarrow$   
    **by** (*rule res-term-equiv-joinable*)  
  
  **show**  $\text{normal-rewr } x \in \text{NF step-irr}$   
    **and**  $\text{normal-rewr } y \in \text{NF step-irr}$   
    **by** (*rule normal-rewr-NF*)  
**qed**

And resource term equivalence is equal to having equal normal forms

**theorem** *res-term-equiv-is-normal-rewr*:  
   $x \sim y = (\text{normal-rewr } x = \text{normal-rewr } y)$   
  **using** *res-term-equiv-imp-normal-rewr normal-rewr-imp-equiv* **by** *standard*

## 4.6 Term Equivalence as Rewriting Closure

We can now show that  $(\sim)$  is the equivalence closure of *res-term-rewrite*.

An equivalence closure is a reflexive, transitive and symmetric closure. In our case, the rewriting is already reflexive, so we only need to verify the symmetric and transitive closure.

As such, the core difficulty in this section is to prove the following equality:  
 $x \sim y = (\text{symclp } \text{res-term-rewrite})^{++} x y$

One direction is simpler, because rewriting implies equivalence

**lemma** *res-term-rewrite-equivclp-imp-equiv*:  
   $(\text{symclp } \text{res-term-rewrite})^{++} x y \implies x \sim y$   
**proof** (*induct rule: tranclp.induct*)  
  **case** (*r-into-trancl a b*)  
    **then show** *?case*  
    **by** (*metis symclp-def res-term-rewrite-imp-equiv res-term-equiv.sym*)  
**next**  
  **case** (*trancl-into-trancl a b c*)  
    **then have**  $b \sim c$   
    **by** (*metis symclp-def res-term-rewrite-imp-equiv res-term-equiv.sym*)  
  **then show** *?case*

by (*metis trancl-into-trancl*(2) *res-term-equiv.trans*)  
**qed**

Trying to prove the other direction purely through facts about the rewriting itself fails

**lemma**

$x \sim y \implies (\text{symclp } \text{res-term-rewrite})^{++} x y$

**proof** (*induct x y rule: res-term-equiv.induct*)

case *empty* then show ?case by (*simp add: tranclp.r-into-trancl*)

next case *anything* then show ?case by (*simp add: tranclp.r-into-trancl*)

next case (*res x*) then show ?case by (*simp add: tranclp.r-into-trancl*)

next case (*copyable x*) then show ?case by (*simp add: tranclp.r-into-trancl*)

next

case *nil*

then show ?case

by (*simp add: res-term-rewrite.nil tranclp.r-into-trancl*)

next

case (*singleton a*)

then show ?case

by (*simp add: res-term-rewrite.singleton tranclp.r-into-trancl*)

next

case (*merge x y z*)

then show ?case

by (*meson res-term-rewrite.merge symclp-def tranclp.r-into-trancl*)

next

case (*sym x y*)

then show ?case

by (*metis rtranclpD rtranclp-symclp-sym tranclp-into-rtranclp*)

next case (*trans x y z*) then show ?case by *simp*

next

case (*parallel xs ys*)

then show ?case

— While we do know that corresponding parallel terms are related, the rewrite rule *list-all2 res-term-rewrite ?xs ?ys  $\implies$  res-term-rewrite (Parallel ?xs) (Parallel ?ys)* needs all rewrites to be in a uniform direction. Such an issue arises with all remaining cases.

**oops**

But, we can take advantage of the normalisation procedure to prove it

**lemma** *res-term-rewrite-equiv-imp-equivclp*:

assumes  $x \sim y$

shows  $(\text{symclp } \text{res-term-rewrite})^{++} x y$

**proof** —

have *normal-rewr x = normal-rewr y*

using *assms res-term-equiv-is-normal-rewr* by *metis*

then have  $(\text{symclp } \text{res-term-rewrite})^{++} (\text{normal-rewr } x) (\text{normal-rewr } y)$

by (*simp add: tranclp.r-into-trancl*)

moreover have  $(\text{symclp } \text{res-term-rewrite})^{++} x (\text{normal-rewr } x)$

using *res-term-rewrite-tranclp-normal-rewr symclp-def res-term-rewrite.refl*

```

    by (metis equivclp-def rev-predicate2D rtranclp-into-tranclp2 rtranclp-le-equivclp
        tranclp-into-rtranclp)
  moreover have (symclp res-term-rewrite)++ (normal-rewr y) y
    using res-term-rewrite-tranclp-normal-rewr symclp-def res-term-rewrite.refl
  by (metis conversepD equivclp-def rev-predicate2D rtranclpD rtranclp-le-equivclp
      symp-conv-conversep-eq symp-rtranclp-symclp tranclp.r-into-trancl tran-
      clp-into-rtranclp)
  ultimately show ?thesis
    by simp
qed

```

Thus, we prove that resource term equivalence is the equivalence closure of the rewriting

**lemma** *res-term-equiv-is-rewrite-closure*:

$(\sim) = \text{equivclp } \text{res-term-rewrite}$

**proof** –

**have**  $\text{equivclp } \text{res-term-rewrite } x y = (\text{symclp } \text{res-term-rewrite})^{++} x y$

**for**  $x y :: ('a, 'b) \text{ res-term}$

**by** (metis equivclp-def res-term-equiv.refl res-term-rewrite-equiv-imp-equivclp  
rtranclpD  
tranclp-into-rtranclp)

**then have**  $x \sim y = \text{equivclp } \text{res-term-rewrite } x y$

**for**  $x y :: ('a, 'b) \text{ res-term}$

**using**  $\text{res-term-rewrite-equivclp-imp-equiv } \text{res-term-rewrite-equiv-imp-equivclp}$

**by** *metis*

**then show** ?thesis

**by** *blast*

**qed**

**end**

**theory** *ResNormDirect*

**imports** *ResNormalForm*

**begin**

## 5 Direct Resource Term Normalisation

In this section we define a normalisation procedure for resource terms that directly normalises a term in a single bottom-up pass. This could be considered normalisation by evaluation as opposed to by rewriting.

Note that, while this procedure is more computationally efficient, it is less useful in proofs. In this way it is complemented by rewriting-based normalisation that is less direct but more helpful in inductive proofs.

First, for a list of terms where no *Parallel* term contains an *Empty* term, the order of *merge-all-parallel* and *remove-all-empty* does not matter. This is specifically the case for a list of normalised terms. As such, our choice of

order in the normalisation definition does not matter.

**lemma** *merge-all-parallel-remove-all-empty-comm*:

**assumes**  $\bigwedge ys. \text{Parallel } ys \in \text{set } xs \implies \neg \text{list-ex is-Empty } ys$

**shows**  $\text{merge-all-parallel } (\text{remove-all-empty } xs) = \text{remove-all-empty } (\text{merge-all-parallel } xs)$

**using** *assms*

**proof** (*induct xs*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a xs*)

**then show** *?case*

**by** (*cases a*) (*simp-all add: remove-all-empty-append remove-all-empty-none*)

**qed**

Direct normalisation of resource terms proceeds in a single bottom-up pass. The interesting case is for *Parallel* terms, where any *Empty* and nested *Parallel* children are handled using *parallel-parts* and the resulting list is turned into the simplest term representing its parallel combination using *parallelise*.

**primrec** *normal-dir* :: (*'a*, *'b*) *res-term*  $\Rightarrow$  (*'a*, *'b*) *res-term*

**where**

*normal-dir Empty* = *Empty*

| *normal-dir Anything* = *Anything*

| *normal-dir (Res x)* = *Res x*

| *normal-dir (Copyable x)* = *Copyable x*

| *normal-dir (Parallel xs)* =

*parallelise (merge-all-parallel (remove-all-empty (map normal-dir xs)))*

| *normal-dir (NonD x y)* = *NonD (normal-dir x) (normal-dir y)*

| *normal-dir (Executable x y)* = *Executable (normal-dir x) (normal-dir y)*

| *normal-dir (Repeatable x y)* = *Repeatable (normal-dir x) (normal-dir y)*

Any resource term is equivalent to its direct normalisation

**lemma** *normal-dir-equiv*:

$a \sim \text{normal-dir } a$

**proof** (*induct a*)

**case** *Empty* **then show** *?case* **by** *simp*

**next case** *Anything* **then show** *?case* **by** *simp*

**next case** (*Res x*) **then show** *?case* **by** *simp*

**next case** (*Copyable a*) **then show** *?case* **by** *simp*

**next**

**case** (*Parallel xs*)

**then have**  $\text{Parallel } xs \sim \text{Parallel } (\text{map normal-dir } xs)$

**by** (*intro res-term-equiv.parallel*) (*simp add: list-all2-conv-all-nth*)

**also have**  $\dots \sim \text{Parallel } (\text{remove-all-empty } (\text{map normal-dir } xs))$

**by** (*rule remove-all-empty-equiv*)

**also have**  $\dots \sim \text{Parallel } (\text{merge-all-parallel } (\text{remove-all-empty } (\text{map normal-dir } xs)))$

```

    by (rule merge-all-parallel-equiv)
  finally show ?case
    using parallelise-equiv res-term-equiv.trans res-term-equiv.sym by fastforce
  next case (NonD a1 a2) then show ?case by (simp add: res-term-equiv.nondet)
  next case (Executable a1 a2) then show ?case by (simp add: res-term-equiv.executable)
  next case (Repeatable a1 a2) then show ?case by (simp add: res-term-equiv.repeatable)
qed

```

Thus terms with equal normalisation are equivalent

```

lemma normal-dir-eq-imp-equiv:
  normal-dir a = normal-dir b  $\implies$  a  $\sim$  b
  using normal-dir-equiv res-term-equiv.sym res-term-equiv.trans by metis

```

If the output of *merge-all-parallel* still contains a *Parallel* term then it must have been nested in one of the input elements

```

lemma merge-all-parallel-has-Parallel:
  assumes list-ex is-Parallel (merge-all-parallel xs)
  obtains ys
    where Parallel ys  $\in$  set xs
    and list-ex is-Parallel ys
  using assms
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    using merge-all-parallel-result by blast
qed

```

If the output of *remove-all-empty* contains a *Parallel* term then it must have been in the input

```

lemma remove-all-empty-has-Parallel:
  assumes Parallel ys  $\in$  set (remove-all-empty xs)
  shows Parallel ys  $\in$  set xs
  using assms
proof (induct xs)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    using remove-all-empty-subset by blast
qed

```

If a resource term normalises to a *Parallel* term then that does not contain any nested

```

lemma normal-dir-no-nested-Parallel:
  normal-dir a = Parallel xs  $\implies$   $\neg$  list-ex is-Parallel xs
proof (rule notI, induct a arbitrary: xs)

```

```

  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res x) then show ?case by simp
next case (Copyable a) then show ?case by simp
next
  case (Parallel x)
  then have parallelise (merge-all-parallel (remove-all-empty (map normal-dir x)))
= Parallel xs
  by simp
  then have list-ex is-Parallel (merge-all-parallel (remove-all-empty (map normal-dir x)))
  using Parallel(3) ResTerm.parallelise-to-parallel-has-parallel by blast
  then obtain ys
  where Parallel ys ∈ set (remove-all-empty (map normal-dir x))
  and ex-ys: list-ex is-Parallel ys
  by (erule merge-all-parallel-has-Parallel)
  then have Parallel ys ∈ set (map normal-dir x)
  using remove-all-empty-has-Parallel by blast
  then show ?case
  using Parallel(1) ex-ys by fastforce
next case (NonD a1 a2) then show ?case by simp
next case (Executable a1 a2) then show ?case by simp
next case (Repeatable a1 a2) then show ?case by simp
qed

```

If a resource term normalises to a *Parallel* term then it does not contain *Empty*

**lemma** *normal-dir-no-nested-Empty*:

*normal-dir a = Parallel xs*  $\implies \neg$  *list-ex is-Empty xs*

**proof** (rule *notI*, induct a arbitrary: *xs*)

```

  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res x) then show ?case by simp
next case (Copyable a) then show ?case by simp
next
  case (Parallel x)
  then have parallelise (merge-all-parallel (remove-all-empty (map normal-dir x)))
= Parallel xs
  by simp
  then have merge-all-parallel (remove-all-empty (map normal-dir x)) = xs
  proof (elim parallelise-to-parallel-has-empty)
    assume merge-all-parallel (remove-all-empty (map normal-dir x)) = [Parallel xs]
  then show ?thesis
  using Parallel(3) merge-all-parallel-has-Parallel normal-dir-no-nested-Parallel
  remove-all-empty-has-Parallel
  by (smt (verit, best) image-iff list.set-map list-ex-simps(1) res-term.discI(5))
next
  assume merge-all-parallel (remove-all-empty (map normal-dir x)) = xs

```

```

    then show ?thesis .
  qed
  then have list-ex is-Empty (merge-all-parallel (remove-all-empty (map normal-dir x)))
  using Parallel(3) by blast
  then have list-ex is-Empty (remove-all-empty (map normal-dir x))
  proof (elim merge-all-parallel-has-empty)
    fix ys
    assume Parallel ys ∈ set (remove-all-empty (map normal-dir x)) and list-ex
    is-Empty ys
    then show ?thesis
    using Parallel(1) remove-all-empty-has-Parallel
    by (metis (mono-tags, lifting) image-iff list.set-map)
  next
    assume list-ex is-Empty (remove-all-empty (map normal-dir x))
    then show ?thesis .
  qed
  then show ?case
  using remove-all-empty-result by blast
next case (NonD a1 a2) then show ?case by simp
next case (Executable a1 a2) then show ?case by simp
next case (Repeatable a1 a2) then show ?case by simp
qed

```

Merging *Parallel* terms in a list of normalised terms keeps all terms in the result normalised

```

lemma normalised-merge-all-parallel:
  assumes x ∈ set (merge-all-parallel xs)
  and  $\bigwedge x. x \in \text{set } xs \implies \text{normalised } x$ 
  shows normalised x
  using assms
proof (induct xs arbitrary: x)
  case Nil then show ?case by simp
next
  case (Cons a xs)
  then show ?case
  proof (cases a)
    case Empty then show ?thesis using Cons by simp metis
  next case Anything then show ?thesis using Cons by simp metis
  next case (Res x3) then show ?thesis using Cons by simp metis
  next case (Copyable x4) then show ?thesis using Cons by simp metis
  next
    case (Parallel x5)
    then show ?thesis
    using Cons by simp (metis Ball-set normalised.simps(5))
  next case (NonD x61 x62) then show ?thesis using Cons by simp metis
  next case (Executable x71 x72) then show ?thesis using Cons by simp metis
  next case (Repeatable x71 x72) then show ?thesis using Cons by simp metis
  qed

```

qed

Normalisation produces resources in normal form

**lemma** *normalised-normal-dir*:

*normalised (normal-dir a)*

**proof** (*induct a*)

**case** *Empty* **then show** *?case* **by** *simp*

**next case** *Anything* **then show** *?case* **by** *simp*

**next case** (*Res x*) **then show** *?case* **by** *simp*

**next case** (*Copyable a*) **then show** *?case* **by** *simp*

**next**

**case** (*Parallel xs*)

**have** *normalised (parallelise (merge-all-parallel (remove-all-empty (map normal-dir xs))))*

**proof** (*intro normalised-parallelise*)

**fix** *x*

**assume**  $x \in \text{set } (\text{merge-all-parallel } (\text{remove-all-empty } (\text{map normal-dir } xs)))$

**then show** *normalised x*

**using** *Parallel(1) normalised-merge-all-parallel remove-all-empty-subset*

**by** (*metis (mono-tags, lifting) imageE list.set-map*)

**next**

**show**  $\neg \text{list-ex is-Empty } (\text{merge-all-parallel } (\text{remove-all-empty } (\text{map normal-dir } xs)))$

**using** *merge-all-parallel-has-empty remove-all-empty-has-Parallel remove-all-empty-result normal-dir-no-nested-Empty*

**by** (*metis imageE list.set-map*)

**next**

**show**  $\neg \text{list-ex is-Parallel } (\text{merge-all-parallel } (\text{remove-all-empty } (\text{map normal-dir } xs)))$

**using** *merge-all-parallel-has-Parallel remove-all-empty-has-Parallel normal-dir-no-nested-Parallel*

**by** (*metis imageE list.set-map*)

**qed**

**then show** *?case*

**by** *simp*

**next case** (*NonD a1 a2*) **then show** *?case* **by** *simp*

**next case** (*Executable a1 a2*) **then show** *?case* **by** *simp*

**next case** (*Repeatable a1 a2*) **then show** *?case* **by** *simp*

qed

Normalisation does nothing to resource terms in normal form

**lemma** *normal-dir-normalised*:

*normalised x  $\implies$  normal-dir x = x*

**proof** (*induct x*)

**case** *Empty* **then show** *?case* **by** *simp*

**next case** *Anything* **then show** *?case* **by** *simp*

**next case** (*Res x*) **then show** *?case* **by** *simp*

**next case** (*Copyable x*) **then show** *?case* **by** *simp*

**next**

```

case (Parallel x)
then show ?case
  by (simp add: map-idI merge-all-parallel-none normalised-parallel-children not-list-ex
      parallelise-to-parallel-conv remove-all-empty-none)
next case (NonD x1 x2) then show ?case by simp
next case (Executable x1 x2) then show ?case by simp
next case (Repeatable a1 a2) then show ?case by simp
qed

```

Parallelising to anything but *Empty* or *Parallel* means the input list contained just that

```

lemma parallelise-eq-Anything [simp]: (parallelise xs = Anything) = (xs = [Anything])
  and parallelise-eq-Res [simp]: (parallelise xs = Res a) = (xs = [Res a])
  and parallelise-eq-Copyable [simp]: (parallelise xs = Copyable b) = (xs = [Copyable
  b])
  and parallelise-eq-NonD [simp]: (parallelise xs = NonD x y) = (xs = [NonD x
  y])
  and parallelise-eq-Executable [simp]: (parallelise xs = Executable x y) = (xs =
  [Executable x y])
  and parallelise-eq-Repeatable [simp]: (parallelise xs = Repeatable x y) = (xs =
  [Repeatable x y])
  using parallelise.elims parallelise.simps(2) by blast+

```

Equivalent resource terms normalise to equal results

```

lemma res-term-equiv-normal-dir:
  a ~ b  $\implies$  normal-dir a = normal-dir b
proof (induct a b rule: res-term-equiv.induct)
  case empty then show ?case by simp
next case anything then show ?case by simp
next case (res x) then show ?case by simp
next case (copyable x) then show ?case by simp
next case nil then show ?case by simp
next
  case (singleton a)
  have  $\bigwedge xs. \text{normal-dir } a = \text{Parallel } xs \implies \text{parallelise } xs = \text{Parallel } xs$ 
  using normalised-normal-dir normalised.simps(5) parallelise-to-parallel-same-length
by metis
  then show ?case
    by (cases normal-dir a ; simp add: is-Parallel-def)
next
  case (merge x y z)
  then show ?case
proof (cases normal-dir (Parallel y) = Empty)
  case True
  then consider
    merge-all-parallel (remove-all-empty (map normal-dir y)) = []
    | merge-all-parallel (remove-all-empty (map normal-dir y)) = [Empty]
  using parallelise-to-empty-eq by fastforce
  then show ?thesis

```

```

proof cases
  case 1
  then show ?thesis by (simp add: remove-all-empty-append merge-all-parallel-append)
next
  case 2
  have list-ex is-Empty (remove-all-empty (map normal-dir y))
  proof (rule merge-all-parallel-has-empty)
  show list-ex is-Empty (merge-all-parallel (remove-all-empty (map normal-dir
y)))
    using 2 by simp
    show list-ex is-Empty (remove-all-empty (map normal-dir y))
      if Parallel ys ∈ set (remove-all-empty (map normal-dir y)) and list-ex
is-Empty ys
      for ys
      using that remove-all-empty-has-Parallel normal-dir-no-nested-Empty
      by (metis ex-map-conv)
    qed
  then show ?thesis
    using remove-all-empty-result by blast
  qed
next
  case False

have ?thesis if y: normal-dir (Parallel y) = Parallel ys for ys
proof –
  consider
    merge-all-parallel (remove-all-empty (map normal-dir y)) = [Parallel ys]
    | 1 < length (merge-all-parallel (remove-all-empty (map normal-dir y)))
    and merge-all-parallel (remove-all-empty (map normal-dir y)) = ys
    using y parallelise-to-parallel-conv
    by (fastforce simp add: remove-all-empty-append merge-all-parallel-append)
  then show ?thesis
proof cases
  case 1
  then show ?thesis
    by (simp add: remove-all-empty-append merge-all-parallel-append)
    (smt (z3) image-iff list.set-map list-ex-simps(1) merge-all-parallel-has-Parallel
remove-all-empty-has-Parallel res-term.discI(5) normal-dir-no-nested-Parallel)
  next
  case 2
  then show ?thesis
    using False y by (simp add: remove-all-empty-append merge-all-parallel-append)
  qed
qed
then show ?thesis
  using False
  by (cases normal-dir (Parallel y))
  (simp-all add: remove-all-empty-append merge-all-parallel-append)
qed

```

```

next
  case (parallel xs ys)
  then have map normal-dir xs = map normal-dir ys
    by (clarsimp simp add: list-all2-conv-all-nth list-eq-iff-nth-eq)
  then show ?case
    by simp
next case (nondet x y u v) then show ?case by simp
next case (executable x y u v) then show ?case by simp
next case (repeatable x y u v) then show ?case by simp
next case (sym x y) then show ?case by simp
next case (trans x y z) then show ?case by simp
qed

```

Equivalence of resource term is equality of their normal forms

```

lemma res-term-equiv-is-normal-dir:
  a ~ b = (normal-dir a = normal-dir b)
  using res-term-equiv-normal-dir normal-dir-eq-imp-equiv by standard

```

We use this fact to give a code equation for ( $\sim$ )

```

lemmas [code] = res-term-equiv-is-normal-dir

```

The normal form is unique in each resource term equivalence class

```

lemma normal-dir-unique:
  [[normal-dir x = x; normal-dir y = y; x ~ y]] ==> x = y
  using res-term-equiv-normal-dir by metis

```

```

end
theory ResNormCompare
  imports
    ResNormDirect
    ResNormRewrite
begin

```

## 6 Comparison of Resource Term Normalisation

The two normalisation procedures have the same outcome, because they both normalise the term

```

lemma normal-rewr-is-normal-dir:
  normal-rewr = normal-dir
proof
  fix x :: ('a, 'b) res-term
  show normal-rewr x = normal-dir x
    using normal-dir-normalised res-term-equiv-normal-dir
      normal-rewr-normalised res-term-equiv-normal-rewr
    by metis
qed

```

With resource term normalisation to decide the equivalence, we can prove that the resource term mapping may render terms equivalent.

**lemma**

**fixes**  $a\ b :: 'a$  **and**  $c :: 'b$

**assumes**  $a \neq b$

**obtains**  $f :: 'a \Rightarrow 'b$  **and**  $x\ y$  **where**  $\text{map-res-term } f\ g\ x \sim \text{map-res-term } f\ g\ y$   
**and**  $\neg x \sim y$

**proof**

**show**  $\text{map-res-term } (\lambda x. c)\ g\ (\text{Res } a) \sim \text{map-res-term } (\lambda x. c)\ g\ (\text{Res } b)$

**by** *simp*

**show**  $\neg \text{Res } a \sim \text{Res } b$

**using** *assms* **by** (*simp add: res-term-equiv-is-normal-rewr*)

**qed**

**end**

**theory** *Resource*

**imports**

*ResTerm*

*ResNormCompare*

**begin**

## 7 Resources

We define resources as the quotient of resource terms by their equivalence. To decide the equivalence we use resource term normalisation procedures, primarily the one based on rewriting.

### 7.1 Quotient Type

Resource term mapper satisfies the functor assumptions: it commutes with function composition and mapping identities is itself identity

**functor** *map-res-term*

**proof**

**fix**  $f\ g$  **and**  $f' :: 'u \Rightarrow 'x$  **and**  $g' :: 'v \Rightarrow 'y$  **and**  $x :: ('a, 'b)$  *res-term*

**show**  $(\text{map-res-term } f'\ g' \circ \text{map-res-term } f\ g)\ x = \text{map-res-term } (f' \circ f)\ (g' \circ g)\ x$

**by** (*induct x ; simp add: comp-def*)

**next**

**show**  $\text{map-res-term } \text{id } \text{id} = \text{id}$

**by** (*standard, simp add: id-def res-term.map-ident*)

**qed**

Resources are resource terms modulo their equivalence

**quotient-type**  $('a, 'b)$  *resource* =  $('a, 'b)$  *res-term* / *res-term-equiv*

**using** *res-term-equiv.equivp* .

**lemma** *abs-resource-eqI* [*intro*]:  
 $x \sim y \implies \text{abs-resource } x = \text{abs-resource } y$   
**using** *resource.abs-eq-iff* **by** *blast*

**lemma** *abs-resource-eqE* [*elim*]:  
 $\llbracket \text{abs-resource } x = \text{abs-resource } y; x \sim y \implies P \rrbracket \implies P$   
**using** *resource.abs-eq-iff* **by** *blast*

Resource representation then abstraction is identity

**lemmas** *resource-abs-of-rep* [*simp*] = *Quotient3-abs-rep[OF Quotient3-resource]*

Lifted normalisation gives a normalised representative term for a resource

**lift-definition** *of-resource* :: (*'a*, *'b*) *resource*  $\Rightarrow$  (*'a*, *'b*) *res-term* **is** *normal-rewr*  
**by** (*rule res-term-equiv-imp-normal-rewr*)

**lemma** *of-resource-absorb-normal-rewr* [*simp*]:  
 $\text{normal-rewr } (\text{of-resource } x) = \text{of-resource } x$   
**by** (*simp add: of-resource.rep-eq*)

**lemma** *of-resource-absorb-normal-dir* [*simp*]:  
 $\text{normal-dir } (\text{of-resource } x) = \text{of-resource } x$   
**by** (*simp add: normal-rewr-is-normal-dir[symmetric] of-resource.rep-eq*)

Equality of resources can be characterised by equality of representative terms

**instantiation** *resource* :: (*equal*, *equal*) *equal*  
**begin**

**definition** *equal-resource* :: (*'a*, *'b*) *resource*  $\Rightarrow$  (*'a*, *'b*) *resource*  $\Rightarrow$  *bool*  
**where**  $\text{equal-resource } a \ b = (\text{of-resource } a = \text{of-resource } b)$

**instance**

**proof**

**fix**  $x \ y :: (\text{'a}, \text{'b}) \text{ resource}$   
**have**  $(\text{of-resource } x = \text{of-resource } y) = (x = y)$   
**by** *transfer (metis res-term-equiv-is-normal-rewr)*  
**then show**  $\text{equal-class.equal } x \ y = (x = y)$   
**unfolding** *equal-resource-def* .

**qed**

**end**

## 7.2 Lifting Bounded Natural Functor Structure

Equivalent terms have equal atom sets

**lemma** *res-term-equiv-set1* [*simp*]:  
 $x \sim y \implies \text{set1-res-term } x = \text{set1-res-term } y$   
**proof** (*induct rule: res-term-equiv.induct*)  
**case** *empty* **then show** *?case* **by** *simp*  
**next case** *anything* **then show** *?case* **by** *simp*  
**next case** (*res x*) **then show** *?case* **by** *simp*

```

next case (copyable x) then show ?case by simp
next case nil then show ?case by simp
next case (singleton a) then show ?case by simp
next case (merge x y z) then show ?case by (simp add: Un-left-commute)
next case (parallel xs ys) then show ?case by (induct rule: list-all2-induct ; simp)
next case (nondet x y u v) then show ?case by simp
next case (executable x y u v) then show ?case by simp
next case (repeatable x y u v) then show ?case by simp
next case (sym x y) then show ?case by simp
next case (trans x y z) then show ?case by simp
qed

```

lemma *res-term-equiv-set2* [simp]:

```

  x ~ y ==> set2-res-term x = set2-res-term y
proof (induct rule: res-term-equiv.induct)
  case empty then show ?case by simp
next case anything then show ?case by simp
next case (res x) then show ?case by simp
next case (copyable x) then show ?case by simp
next case nil then show ?case by simp
next case (singleton a) then show ?case by simp
next case (merge x y z) then show ?case by (simp add: Un-left-commute)
next case (parallel xs ys) then show ?case by (induct rule: list-all2-induct ; simp)
next case (nondet x y u v) then show ?case by simp
next case (executable x y u v) then show ?case by simp
next case (repeatable x y u v) then show ?case by simp
next case (sym x y) then show ?case by simp
next case (trans x y z) then show ?case by simp
qed

```

BNF structure can be lifted. Proof inspired by Furer et al. [1].

lift-bnf ('a, 'b) resource

proof safe

```

fix R1 :: 'a => 'u => bool
and R2 :: 'b => 'v => bool
and S1 :: 'u => 'x => bool
and S2 :: 'v => 'y => bool
and x :: ('a, 'b) res-term
and y y' :: ('u, 'v) res-term
and z :: ('x, 'y) res-term

```

assume *assms*:

```

R1 OO S1 ≠ bot
R2 OO S2 ≠ bot
rel-res-term R1 R2 x y
y ~ y'
rel-res-term S1 S2 y' z

```

obtain *u* where *ux*:  $x = \text{map-res-term fst fst } u$  and *uy*:  $y = \text{map-res-term snd}$

*snd u*  
**and** *u-set*: *set1-res-term*  $u \subseteq \{(x, y). R1\ x\ y\}$  *set2-res-term*  $u \subseteq \{(x, y). R2\ x\ y\}$   
**using** *res-term.in-rel*[*THEN* *iffD1*, *OF* *assms(3)*] **by** *blast*  
**obtain** *v* **where** *vy'*:  $y' = \text{map-res-term fst fst } v$  **and** *vz*:  $z = \text{map-res-term snd snd } v$   
**and** *v-set*: *set1-res-term*  $v \subseteq \{(x, y). S1\ x\ y\}$  *set2-res-term*  $v \subseteq \{(x, y). S2\ x\ y\}$   
**using** *res-term.in-rel*[*THEN* *iffD1*, *OF* *assms(5)*] **by** *blast*  
  
**obtain** *w* **where** *wy*:  $w = \text{normal-rewr } y$  **and** *wy'*:  $w = \text{normal-rewr } y'$   
**using** *assms(4)* *res-term-equiv-imp-normal-rewr* **by** *blast*  
  
**obtain** *u'* **where** *uu'*:  $u \sim u'$  **and** *u'w*:  $w = \text{map-res-term snd snd } u'$   
**by** (*metis res-term-equiv-normal-rewr normal-rewr-map uy wy*)  
**obtain** *v'* **where** *vv'*:  $v \sim v'$  **and** *v'w*:  $w = \text{map-res-term fst fst } v'$   
**by** (*metis res-term-equiv-normal-rewr normal-rewr-map vy' wy'*)  
  
**obtain** *x'* **where** *xx'*:  $x \sim x'$  **and** *u'x'*:  $x' = \text{map-res-term fst fst } u'$   
**using** *map-res-term-preserves-equiv uu' ux* **by** *blast*  
**obtain** *z'* **where** *zz'*:  $z \sim z'$  **and** *v'z'*:  $z' = \text{map-res-term snd snd } v'$   
**using** *map-res-term-preserves-equiv vv' vz* **by** *blast*  
  
**have** *rel-res-term R1 R2 x' w*  
**using** *res-term.in-rel u'x' u'w uu' u-set* **by** *force*  
**moreover** **have** *rel-res-term S1 S2 w z'*  
**using** *res-term.in-rel v'z' v'w vv' v-set* **by** *force*  
**ultimately** **have** *rel-res-term (R1 OO S1) (R2 OO S2) x' z'*  
**using** *res-term.rel-compp relcompp.relcompI* **by** *metis*  
**then** **show**  $((\sim) OO \text{rel-res-term } (R1 OO S1) (R2 OO S2) OO (\sim))\ x\ z$   
**using** *xx' zz'[symmetric]* **by** (*meson relcompp.relcompI*)  
**next**  
**show**  $\bigwedge Ss1\ x\ xa\ xb.$   
 $\llbracket x \in (\bigcap As1 \in Ss1. \{(x, x'). x \sim x'\} \text{ “ } \{x. \text{set1-res-term } x \subseteq As1\});$   
 $x \notin \{(x, x'). x \sim x'\} \text{ “ } \{x. \text{set1-res-term } x \subseteq \bigcap Ss1\}; xa \in Ss1; xa \notin \{\};$   
 $xb \in \bigcap Ss1 \rrbracket$   
 $\implies xb \in \{\}$   
**by** *simp (metis Inf-greatest res-term-equiv-set1)*  
**next**  
**show**  $\bigwedge Ss2\ x\ xa\ xb.$   
 $\llbracket x \in (\bigcap As2 \in Ss2. \{(x, x'). x \sim x'\} \text{ “ } \{x. \text{set2-res-term } x \subseteq As2\});$   
 $x \notin \{(x, x'). x \sim x'\} \text{ “ } \{x. \text{set2-res-term } x \subseteq \bigcap Ss2\}; xa \in Ss2; xa \notin \{\};$   
 $xb \in \bigcap Ss2 \rrbracket$   
 $\implies xb \in \{\}$   
**by** *simp (metis Inf-greatest res-term-equiv-set2)*  
**qed**

Resource map can be given a code equation through the term map

**lemma** *map-resource-code* [code]:

$map\text{-}resource\ f\ g\ (abs\text{-}resource\ x) = abs\text{-}resource\ (map\text{-}res\text{-}term\ f\ g\ x)$   
**by** *transfer simp*

Atom sets of a resource are those sets of its representative term

**lemma** *set1-resource*:

**fixes**  $x :: ('a, 'b)\ resource$

**shows**  $set1\text{-}resource\ x = set1\text{-}res\text{-}term\ (of\text{-}resource\ x)$

**proof** *transfer*

**fix**  $x :: ('a, 'b)\ res\text{-}term$

**let**  $?InrL = Inr :: 'a \Rightarrow unit + 'a$

**let**  $?InrC = Inr :: 'b \Rightarrow unit + 'b$

**have**

$(\bigcap mx \in Collect\ ((\sim)\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ x)). \bigcup\ (Basic\text{-}BNFs.setr\ 'set1\text{-}res\text{-}term\ mx))$

$= (\bigcup x :: unit + 'a \in set1\text{-}res\text{-}term\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ (normal\text{-}rewr\ x)). \{xa.\ x = Inr\ xa\})$

**proof** (*subst Inter-all-same*)

**show**  $\bigcup\ (Basic\text{-}BNFs.setr\ 'set1\text{-}res\text{-}term\ u) = \bigcup\ (Basic\text{-}BNFs.setr\ 'set1\text{-}res\text{-}term\ v)$

**if**  $u \in Collect\ ((\sim)\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ x))$

**and**  $v \in Collect\ ((\sim)\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ x))$

**for**  $u\ v$

**using** *that by (metis mem-Collect-eq res-term-equiv-set1)*

**show**  $map\text{-}res\text{-}term\ ?InrL\ ?InrC\ (normal\text{-}rewr\ x) \in Collect\ ((\sim)\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ x))$

**by** (*metis CollectI map-res-term-preserves-equiv res-term-equiv-normal-rewr*)

**show**

$\bigcup\ (Basic\text{-}BNFs.setr\ 'set1\text{-}res\text{-}term\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ (normal\text{-}rewr\ x)))$

$= (\bigcup x \in set1\text{-}res\text{-}term\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ (normal\text{-}rewr\ x)). \{xa.\ x = Inr\ xa\})$

**by** (*simp add: setr-def setrp.simps*)

**qed**

**then show**

$(\bigcap mx \in Collect\ ((\sim)\ (map\text{-}res\text{-}term\ ?InrL\ ?InrC\ x)). \bigcup\ (Basic\text{-}BNFs.setr\ 'set1\text{-}res\text{-}term\ mx))$

$= set1\text{-}res\text{-}term\ (normal\text{-}rewr\ x)$

**by** (*simp add: res-term.set-map setr-def setrp.simps*)

**qed**

**lemma** *set2-resource*:

**fixes**  $x :: ('a, 'b)\ resource$

**shows**  $set2\text{-}resource\ x = set2\text{-}res\text{-}term\ (of\text{-}resource\ x)$

**proof** *transfer*

**fix**  $x :: ('a, 'b)\ res\text{-}term$

**let**  $?InrL = Inr :: 'a \Rightarrow unit + 'a$

**let**  $?InrC = Inr :: 'b \Rightarrow unit + 'b$

**have**  
 $(\bigcap mx \in \text{Collect } ((\sim) (\text{map-res-term } ?\text{InrL } ?\text{InrC } x)). \bigcup (\text{Basic-BNFs.setr } ' \text{ set2-res-term } mx))$   
 $= (\bigcup x :: \text{unit} + 'b \in \text{set2-res-term } (\text{map-res-term } ?\text{InrL } ?\text{InrC } (\text{normal-rewr } x)). \{xa. x = \text{Inr } xa\})$   
**proof** (*subst Inter-all-same*)  
**show**  $\bigcup (\text{Basic-BNFs.setr } ' \text{ set2-res-term } u) = \bigcup (\text{Basic-BNFs.setr } ' \text{ set2-res-term } v)$   
**if**  $u \in \text{Collect } ((\sim) (\text{map-res-term } ?\text{InrL } ?\text{InrC } x))$   
**and**  $v \in \text{Collect } ((\sim) (\text{map-res-term } ?\text{InrL } ?\text{InrC } x))$   
**for**  $u v$   
**using that by** (*metis mem-Collect-eq res-term-equiv-set2*)  
**show**  $\text{map-res-term } ?\text{InrL } ?\text{InrC } (\text{normal-rewr } x) \in \text{Collect } ((\sim) (\text{map-res-term } ?\text{InrL } ?\text{InrC } x))$   
**by** (*metis CollectI map-res-term-preserves-equiv res-term-equiv-normal-rewr*)  
**show**  
 $\bigcup (\text{Basic-BNFs.setr } ' \text{ set2-res-term } (\text{map-res-term } ?\text{InrL } ?\text{InrC } (\text{normal-rewr } x)))$   
 $= (\bigcup x \in \text{set2-res-term } (\text{map-res-term } ?\text{InrL } ?\text{InrC } (\text{normal-rewr } x)). \{xa. x = \text{Inr } xa\})$   
**by** (*simp add: setr-def setrp.simps*)  
**qed**  
**then show**  
 $(\bigcap mx \in \text{Collect } ((\sim) (\text{map-res-term } ?\text{InrL } ?\text{InrC } x)). \bigcup (\text{Basic-BNFs.setr } ' \text{ set2-res-term } mx))$   
 $= \text{set2-res-term } (\text{normal-rewr } x)$   
**by** (*simp add: res-term.set-map setr-def setrp.simps*)  
**qed**

### 7.3 Lifting Constructors

All term constructors are easily lifted thanks to the term equivalence being a congruence

**lift-definition** *Empty* :: ('a, 'b) resource

**is** *res-term.Empty* .

**lift-definition** *Anything* :: ('a, 'b) resource

**is** *res-term.Anything* .

**lift-definition** *Res* :: 'a  $\Rightarrow$  ('a, 'b) resource

**is** *res-term.Res* .

**lift-definition** *Copyable* :: 'b  $\Rightarrow$  ('a, 'b) resource

**is** *res-term.Copyable* .

**lift-definition** *Parallel* :: ('a, 'b) resource list  $\Rightarrow$  ('a, 'b) resource

**is** *res-term.Parallel* **using** *res-term-equiv.parallel* .

**lift-definition** *NonD* :: ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource

**is** *res-term.NonD* **using** *res-term-equiv.nondet* .

**lift-definition** *Executable* :: ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource

**is** *res-term.Executable* **using** *res-term-equiv.executable* .

**lift-definition** *Repeatable* :: ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource

is *res-term.Repeatable* **using** *res-term-equiv.repeatable* .

**lemmas** *resource-constr-abs-eq* =  
*Empty.abs-eq Anything.abs-eq Res.abs-eq Copyable.abs-eq Parallel.abs-eq NonD.abs-eq*  
*Executable.abs-eq Repeatable.abs-eq*

Resources can be split into cases like terms

**lemma** *resource-cases*:

**fixes**  $r :: ('a, 'b)$  *resource*

**obtains**

(*Empty*)  $r = \text{Empty}$   
| (*Anything*)  $r = \text{Anything}$   
| (*Res*)  $a$  **where**  $r = \text{Res } a$   
| (*Copyable*)  $x$  **where**  $r = \text{Copyable } x$   
| (*Parallel*)  $xs$  **where**  $r = \text{Parallel } xs$   
| (*NonD*)  $x y$  **where**  $r = \text{NonD } x y$   
| (*Executable*)  $x y$  **where**  $r = \text{Executable } x y$   
| (*Repeatable*)  $x y$  **where**  $r = \text{Repeatable } x y$

**proof** *transfer*

**fix**  $r :: ('a, 'b)$  *res-term* **and** *thesis*

**assume**  $r \sim \text{res-term.Empty} \implies \text{thesis}$

**and**  $r \sim \text{res-term.Anything} \implies \text{thesis}$

**and**  $\bigwedge a. r \sim \text{res-term.Res } a \implies \text{thesis}$

**and**  $\bigwedge x. r \sim \text{res-term.Copyable } x \implies \text{thesis}$

**and**  $\bigwedge xs. r \sim \text{res-term.Parallel } xs \implies \text{thesis}$

**and**  $\bigwedge x y. r \sim \text{res-term.NonD } x y \implies \text{thesis}$

**and**  $\bigwedge x y. r \sim \text{res-term.Executable } x y \implies \text{thesis}$

**and**  $\bigwedge x y. r \sim \text{res-term.Repeatable } x y \implies \text{thesis}$

**note**  $a = \text{this}$

**show** *thesis*

**using**  $a$  **by** (*cases*  $r$ ) (*blast intro: res-term-equiv.refl*)+

**qed**

Resources can be inducted over like terms

**lemma** *resource-induct* [*case-names Empty Anything Res Copyable Parallel NonD*  
*Executable Repeatable*]:

**assumes**  $P \text{ Empty}$

**and**  $P \text{ Anything}$

**and**  $\bigwedge a. P (\text{Res } a)$

**and**  $\bigwedge x. P (\text{Copyable } x)$

**and**  $\bigwedge xs. (\bigwedge x. x \in \text{set } xs \implies P x) \implies P (\text{Parallel } xs)$

**and**  $\bigwedge x y. \llbracket P x; P y \rrbracket \implies P (\text{NonD } x y)$

**and**  $\bigwedge x y. \llbracket P x; P y \rrbracket \implies P (\text{Executable } x y)$

**and**  $\bigwedge x y. \llbracket P x; P y \rrbracket \implies P (\text{Repeatable } x y)$

**shows**  $P x$

**using** *res-term.induct*[*of*  $\lambda x. P (\text{abs-resource } x)$  *rep-resource*  $x$ , *unfolded re-*  
*source-abs-of-rep*]

**using** *assms*

**by** (*smt* (*verit*, *del-insts*) *resource-constr-abs-eq imageE list.set-map*)

Representative terms of the lifted constructors apart from *Resource.Parallel* are known

**lemma** *of-resource-simps* [*simp*]:

*of-resource Empty = res-term.Empty*

*of-resource Anything = res-term.Anything*

*of-resource (Res a) = res-term.Res a*

*of-resource (Copyable b) = res-term.Copyable b*

*of-resource (NonD x y) = res-term.NonD (of-resource x) (of-resource y)*

*of-resource (Executable x y) = res-term.Executable (of-resource x) (of-resource y)*

*of-resource (Repeatable x y) = res-term.Repeatable (of-resource x) (of-resource y)*

**by** (*transfer*, *simp add: normal-rewr-nondet normal-rewr-executable normal-rewr-repeatable*)+

Basic resource term equivalences become resource equalities

**lemma** [*simp*]:

**shows** *resource-empty: Parallel [] = Empty*

**and** *resource-singleton: Parallel [x] = x*

**and** *resource-merge: Parallel (xs @ [Parallel ys] @ zs) = Parallel (xs @ ys @ zs)*

**and** *resource-drop: Parallel (xs @ [Empty] @ zs) = Parallel (xs @ zs)*

**by** (*transfer*

, *intro res-term-equiv.nil res-term-equiv.singleton res-term-equiv.merge res-term-equiv.drop*)+

**lemma** *resource-parallel-nested* [*simp*]:

*Parallel (Parallel xs # ys) = Parallel (xs @ ys)*

**using** *resource-merge[of Nil]* **by** *simp*

**lemma** *resource-decompose*:

**assumes** *Parallel xs = Parallel ys*

**and** *Parallel us = Parallel vs*

**shows** *Parallel (xs @ us) = Parallel (ys @ vs)*

**using** *assms* **by** (*metis append-Nil append-Nil2 resource-merge*)

**lemma** *resource-drop-list*:

*( $\bigwedge y. y \in \text{set } ys \implies y = \text{Empty}$ )  $\implies$  Parallel (xs @ ys @ zs) = Parallel (xs @ zs)*

**proof** (*induct ys*)

**case** *Nil*

**then show** *?case* **by** *simp*

**next**

**case** (*Cons a ys*)

**then show** *?case*

**by** *simp (metis Cons-eq-appendI resource-drop self-append-conv2)*

**qed**

Equality of resources except *Resource.Parallel* implies equality of their children

**lemma**

**shows** *resource-res-eq*:  $Res\ x = Res\ y \implies x = y$   
**and** *resource-copyable-eq*:  $Copyable\ x = Copyable\ y \implies x = y$   
**by** (*transfer*, *simp add: res-term-equiv-is-normal-rewr*)+

**lemma** *resource-nondet-eq*:  
 $NonD\ a\ b = NonD\ x\ y \implies a = x$   
 $NonD\ a\ b = NonD\ x\ y \implies b = y$   
**by** (*transfer*, *simp add: normal-rewr-nondet res-term-equiv-is-normal-rewr*)+

**lemma** *resource-executable-eq*:  
 $Executable\ a\ b = Executable\ x\ y \implies a = x$   
 $Executable\ a\ b = Executable\ x\ y \implies b = y$   
**by** (*transfer*, *simp add: normal-rewr-executable res-term-equiv-is-normal-rewr*)+

**lemma** *resource-repeatable-eq*:  
 $Repeatable\ a\ b = Repeatable\ x\ y \implies a = x$   
 $Repeatable\ a\ b = Repeatable\ x\ y \implies b = y$   
**by** (*transfer*, *simp add: normal-rewr-repeatable res-term-equiv-is-normal-rewr*)+

Many resource inequalities not involving *Resource.Parallel* are simple to prove

**lemma** *resource-neq [simp]*:  
 $Empty \neq Anything$   
 $Empty \neq Res\ a$   
 $Empty \neq Copyable\ b$   
 $Empty \neq NonD\ x\ y$   
 $Empty \neq Executable\ x\ y$   
 $Empty \neq Repeatable\ x\ y$   
 $Anything \neq Res\ a$   
 $Anything \neq Copyable\ b$   
 $Anything \neq NonD\ x\ y$   
 $Anything \neq Executable\ x\ y$   
 $Anything \neq Repeatable\ x\ y$   
 $Res\ a \neq Copyable\ b$   
 $Res\ a \neq NonD\ x\ y$   
 $Res\ a \neq Executable\ x\ y$   
 $Res\ a \neq Repeatable\ x\ y$   
 $Copyable\ b \neq NonD\ x\ y$   
 $Copyable\ b \neq Executable\ x\ y$   
 $Copyable\ b \neq Repeatable\ x\ y$   
 $NonD\ x\ y \neq Executable\ u\ v$   
 $NonD\ x\ y \neq Repeatable\ u\ v$   
 $Executable\ x\ y \neq Repeatable\ u\ v$   
**by** (*transfer*, *simp add: res-term-equiv-is-normal-dir*)+

Resource map of lifted constructors can be simplified

**lemma** *map-resource-simps [simp]*:  
 $map-resource\ f\ g\ Empty = Empty$   
 $map-resource\ f\ g\ Anything = Anything$

```

map-resource f g (Res a) = Res (f a)
map-resource f g (Copyable b) = Copyable (g b)
map-resource f g (Parallel xs) = Parallel (map (map-resource f g) xs)
map-resource f g (NonD x y) = NonD (map-resource f g x) (map-resource f g y)
map-resource f g (Executable x y) = Executable (map-resource f g x) (map-resource
f g y)
map-resource f g (Repeatable x y) = Repeatable (map-resource f g x) (map-resource
f g y)
by (transfer, simp)+

```

Note that resource term size doesn't lift, because *res-term.Parallel* [*res-term.Empty*] is equivalent to *Resource.Empty* but their sizes are 2 and 1 respectively.

## 7.4 Parallel Product

We introduce infix syntax for binary *Resource.Parallel*, forming a resource product

```

definition resource-par :: ('a, 'b) resource => ('a, 'b) resource => ('a, 'b) resource
  (infixr  $\odot$  120)
  where x  $\odot$  y = Parallel [x, y]

```

For the purposes of code generation we act as if we lifted it

```

lemma resource-par-code [code]:
  abs-resource x  $\odot$  abs-resource y = abs-resource (ResTerm.Parallel [x, y])
  unfolding resource-par-def by transfer simp

```

Parallel product can be merged with *Resource.Parallel* resources on either side or around it

```

lemma resource-par-is-parallel [simp]:
  x  $\odot$  Parallel xs = Parallel (x # xs)
  Parallel xs  $\odot$  x = Parallel (xs @ [x])
  using resource-merge[of [x] xs Nil] by (simp-all add: resource-par-def)

```

```

lemma resource-par-nested-start [simp]:
  Parallel (x  $\odot$  y # zs) = Parallel (x # y # zs)
  by (metis append-Cons append-Nil resource-merge resource-par-is-parallel(1) re-
source-singleton)

```

```

lemma resource-par-nested [simp]:
  Parallel (xs @ a  $\odot$  b # ys) = Parallel (xs @ a # b # ys)
  using resource-decompose resource-par-nested-start by blast

```

Lifted constructor *Resource.Parallel*, which does not have automatic code equations, can be given code equations using this resource product

```

lemmas [code] = resource-empty resource-par-is-parallel(1)[symmetric]

```

This resource product sometimes leads to overly long expressions when generating code for formalised models, but these can be limited by code unfolding

**lemma** *resource-par-res* [code-unfold]:

$$Res\ x \odot y = Parallel\ [Res\ x,\ y]$$

**by** (*simp add: resource-par-def*)

**lemma** *resource-parallel-res* [code-unfold]:

$$Parallel\ [Res\ x,\ Parallel\ ys] = Parallel\ (Res\ x\ \# \ ys)$$

**by** (*metis resource-par-is-parallel(1) resource-par-res*)

We show that this resource product is a monoid, meaning it is unital and associative

**lemma** *resource-par-unitL* [simp]:

$$Empty \odot x = x$$

**proof** –

$$\mathbf{have}\ Parallel\ [Empty,\ x] = x$$

**by** (*metis append-Nil resource-empty resource-parallel-nested resource-singleton*)

**then show** *?thesis*

**by** (*simp add: resource-par-def*)

**qed**

**lemma** *resource-par-unitR* [simp]:

$$x \odot Empty = x$$

**proof** –

$$\mathbf{have}\ Parallel\ [x,\ Empty] = x$$

**by** (*metis resource-empty resource-par-is-parallel(1) resource-singleton*)

**then show** *?thesis*

**by** (*simp add: resource-par-def*)

**qed**

**lemma** *resource-par-assoc* [simp]:

$$(a \odot b) \odot c = a \odot (b \odot c)$$

**by** (*metis resource-par-def resource-par-is-parallel(1) resource-par-nested-start*)

Resource map passes through resource product

**lemma** *resource-par-map* [simp]:

$$map-resource\ f\ g\ (resource-par\ a\ b) = resource-par\ (map-resource\ f\ g\ a)\ (map-resource\ f\ g\ b)$$

**by** (*simp add: resource-par-def*)

Representative of resource product is normalised *res-term.Parallel* term of the two children's representations

**lemma** *of-resource-par*:

$$of-resource\ (resource-par\ x\ y) = normal-rewr\ (res-term.Parallel\ [of-resource\ x,\ of-resource\ y])$$

**unfolding** *resource-par-def*

**by** *transfer*

(*meson res-term-equiv-normal-rewr res-term-parallel-cons res-term-equiv.singleton-both*)

*res-term-equiv-imp-normal-rewr*)

## 7.5 Lifting Parallel Parts

**lift-definition** *parallel-parts* :: ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource list  
is *ResTerm.parallel-parts* by (*simp add: equiv-parallel-parts*)

Parallel parts of the lifted constructors can be simplified like the term version

**lemma** *parallel-parts-simps*:

*parallel-parts Empty* = []  
*parallel-parts Anything* = [Anything]  
*parallel-parts (Res a)* = [Res a]  
*parallel-parts (Copyable b)* = [Copyable b]  
*parallel-parts (Parallel xs)* = concat (map *parallel-parts xs*)  
*parallel-parts (NonD x y)* = [NonD x y]  
*parallel-parts (Executable x y)* = [Executable x y]  
*parallel-parts (Repeatable x y)* = [Repeatable x y]

**proof** –

**show** *parallel-parts Empty* = []  
by (*simp add: parallel-parts.abs-eq resource-constr-abs-eq*)  
**show** *parallel-parts Anything* = [Anything]  
by (*simp add: parallel-parts.abs-eq resource-constr-abs-eq*)  
**show** *parallel-parts (Res a)* = [Res a]  
by (*simp add: parallel-parts.abs-eq resource-constr-abs-eq*)  
**show** *parallel-parts (Copyable b)* = [Copyable b]  
by (*simp add: parallel-parts.abs-eq Copyable-def*)  
**show** *parallel-parts (Parallel xs)* = concat (map *parallel-parts xs*)  
**proof** (*induct xs*)  
case Nil  
then **show** ?case  
by (*simp add: parallel-parts.abs-eq Empty-def*)  
next  
case (Cons a xs)  
then **show** ?case  
by (*simp add: parallel-parts.abs-eq Parallel-def, simp add: parallel-parts-def*)  
**qed**  
**show** *parallel-parts (NonD x y)* = [NonD x y]  
by (*simp add: parallel-parts.abs-eq NonD-def*)  
**show** *parallel-parts (Executable x y)* = [Executable x y]  
by (*simp add: parallel-parts.abs-eq Executable-def*)  
**show** *parallel-parts (Repeatable x y)* = [Repeatable x y]  
by (*simp add: parallel-parts.abs-eq Repeatable-def*)

**qed**

Every resource is the same as *Resource.Parallel* resource formed from its parallel parts

**lemma** *resource-eq-parallel-parts*:

*x* = *Parallel (parallel-parts x)*  
by *transfer (rule parallel-parts-eq)*

Resources with equal parallel parts are equal

**lemma** *parallel-parts-cong*:  
 $parallel\text{-}parts\ x = parallel\text{-}parts\ y \implies x = y$   
**by** (*metis resource-eq-parallel-parts*)

Parallel parts of the resource product are the two resources' parallel parts

**lemma** *parallel-parts-par*:  
 $parallel\text{-}parts\ (a \odot b) = parallel\text{-}parts\ a @ parallel\text{-}parts\ b$   
**by** (*simp add: resource-par-def parallel-parts-simps*)

## 7.6 Lifting Parallelisation

**lift-definition** *parallelise* :: ('a, 'b) resource list  $\Rightarrow$  ('a, 'b) resource  
**is** *ResTerm.parallelise*  
**by** (*metis equiv-parallel-parts res-term-equiv.parallel parallel-parts-parallelise-eq*)

Parallelisation of the lifted constructors can be simplified like the term version

**lemma** *parallelise-resource-simps* [*code*]:  
 $parallelise\ [] = Empty$   
 $parallelise\ [x] = x$   
 $parallelise\ (x\#\!y\#\!zs) = Parallel\ (x\#\!y\#\!zs)$   
**by** (*transfer, simp*)<sup>+</sup>

## 7.7 Representative of Parallel Resource

By relating to direct normalisation, representative term for *Resource.Parallel* is parallelisation of representatives of its parallel parts

**lemma** *of-resource-parallel*:  
 $of\text{-}resource\ (Parallel\ xs)$   
 $= ResTerm.parallelise\ (merge\text{-}all\text{-}parallel\ (remove\text{-}all\text{-}empty\ (map\ of\text{-}resource\ xs)))$   
**by** *transfer (simp add: normal-rewr-is-normal-dir)*

Equality of *Resource.Parallel* resources implies equality of their parallel parts

**lemma** *resource-parallel-eq*:  
 $Parallel\ xs = Parallel\ ys \implies concat\ (map\ parallel\text{-}parts\ xs) = concat\ (map\ parallel\text{-}parts\ ys)$   
**by** (*fastforce simp add: parallel-parts-simps(5)[symmetric]*)

With this, we can prove simplification equations for atom sets

**lemma** *set1-resource-simps* [*simp*]:  
 $set1\text{-}resource\ Empty = \{\}$   
 $set1\text{-}resource\ Anything = \{\}$   
 $set1\text{-}resource\ (Res\ a) = \{a\}$   
 $set1\text{-}resource\ (Copyable\ b) = \{\}$

$set1-resource (Parallel\ xs) = \bigcup (set1-resource\ \text{' set } xs)$   
 $set1-resource (NonD\ x\ y) = set1-resource\ x \cup set1-resource\ y$   
 $set1-resource (Executable\ x\ y) = set1-resource\ x \cup set1-resource\ y$   
 $set1-resource (Repeatable\ x\ y) = set1-resource\ x \cup set1-resource\ y$   
**by** (*simp-all add: set1-resource of-resource-parallel*)

**lemma** *set2-resource-simps* [*simp*]:

$set2-resource\ Empty = \{\}$   
 $set2-resource\ Anything = \{\}$   
 $set2-resource (Res\ a) = \{\}$   
 $set2-resource (Copyable\ b) = \{b\}$   
 $set2-resource (Parallel\ xs) = \bigcup (set2-resource\ \text{' set } xs)$   
 $set2-resource (NonD\ x\ y) = set2-resource\ x \cup set2-resource\ y$   
 $set2-resource (Executable\ x\ y) = set2-resource\ x \cup set2-resource\ y$   
 $set2-resource (Repeatable\ x\ y) = set2-resource\ x \cup set2-resource\ y$   
**by** (*simp-all add: set2-resource of-resource-parallel*)

## 7.8 Replicated Resources

Replicate a resource several times in a *Resource.Parallel*

**fun** *nres-term* ::  $nat \Rightarrow ('a, 'b)\ res-term \Rightarrow ('a, 'b)\ res-term$   
**where** *nres-term*  $n\ x = ResTerm.Parallel\ (replicate\ n\ x)$

**lift-definition** *nresource* ::  $nat \Rightarrow ('a, 'b)\ resource \Rightarrow ('a, 'b)\ resource$   
**is** *nres-term* **by** (*simp add: res-term-equiv.parallel list-all2I*)

At the resource level this can be simplified just like at the term level

**lemma** *nresource-simp*:

$nresource\ n\ x = Parallel\ (replicate\ n\ x)$   
**by** (*transfer, simp*)

Parallel product of replications is a replication for the combined amount

**lemma** *nresource-par*:

$nresource\ x\ a \odot nresource\ y\ a = nresource\ (x+y)\ a$   
**by** (*simp add: nresource-simp replicate-add*)

## 7.9 Lifting Resource Refinement

**lift-definition** *refine-resource*

$:: ('a \Rightarrow ('x, 'y)\ resource) \Rightarrow ('b \Rightarrow 'y) \Rightarrow ('a, 'b)\ resource \Rightarrow ('x, 'y)\ resource$   
**is** *refine-res-term* **by** (*simp add: refine-res-term-eq*)

Refinement of lifted constructors can be simplified like the term version

**lemma** *refine-resource-simps* [*simp*]:

$refine-resource\ f\ g\ Empty = Empty$   
 $refine-resource\ f\ g\ Anything = Anything$   
 $refine-resource\ f\ g (Res\ a) = f\ a$   
 $refine-resource\ f\ g (Copyable\ b) = Copyable\ (g\ b)$   
 $refine-resource\ f\ g (Parallel\ xs) = Parallel\ (map\ (refine-resource\ f\ g)\ xs)$

```

refine-resource f g (NonD x y) = NonD (refine-resource f g x) (refine-resource f
g y)
refine-resource f g (Executable x y) =
  Executable (refine-resource f g x) (refine-resource f g y)
refine-resource f g (Repeatable x y) =
  Repeatable (refine-resource f g x) (refine-resource f g y)
by (transfer, simp)+

```

Code for refinement performs the term-level refinement on the normalised representative

```

lemma refine-resource-code [code]:
  refine-resource f g (abs-resource x) = abs-resource (refine-res-term (of-resource o
f) g x)
by transfer (simp add: res-term-equiv-normal-rewr refine-res-term-eq)

```

Refinement passes through resource product

```

lemma refine-resource-par:
  refine-resource f g (x o y) = refine-resource f g x o refine-resource f g y
by (simp add: resource-par-def)

```

**end**

**theory** *Process*

**imports** *Resource*

**begin**

## 8 Process Compositions

We define process compositions to describe how larger processes are built from smaller ones from the perspective of how outputs of some actions serve as inputs for later actions. Our process compositions form a tree, with actions as leaves and composition operations as internal nodes. We use resources to represent the inputs and outputs of processes.

### 8.1 Datatype, Input, Output and Validity

Process composition datatype with primitive actions, composition operations and resource actions. We use the following type variables:

- *'a* for linear resource atoms,
- *'b* for copyable resource atoms,
- *'l* for primitive action labels, and
- *'m* for primitive action metadata.

**datatype** (*'a*, *'b*, *'l*, *'m*) *process* =

- Primitive* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource* *'l* *'m*  
— Primitive action with given input, output, label and metadata
- | *Seq* (*'a*, *'b*, *'l*, *'m*) *process* (*'a*, *'b*, *'l*, *'m*) *process*  
— Sequential composition
- | *Par* (*'a*, *'b*, *'l*, *'m*) *process* (*'a*, *'b*, *'l*, *'m*) *process*  
— Parallel composition
- | *Opt* (*'a*, *'b*, *'l*, *'m*) *process* (*'a*, *'b*, *'l*, *'m*) *process*  
— Optional composition
- | *Represent* (*'a*, *'b*, *'l*, *'m*) *process*  
— Representation of a process composition as a repeatedly executable resource
- | *Identity* (*'a*, *'b*) *resource*  
— Identity action
- | *Swap* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Swap action
- | *InjectL* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Left injection
- | *InjectR* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Right injection
- | *OptDistrIn* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Distribution into branches of a non-deterministic resource
- | *OptDistrOut* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Distribution out of branches of a non-deterministic resource
- | *Duplicate* *'b*  
— Duplication of a copyable resource
- | *Erase* *'b*  
— Discarding a copyable resource
- | *Apply* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Applying an executable resource
- | *Repeat* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Duplicating a repeatedly executable resource
- | *Close* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Discarding a repeatedly executable resource
- | *Once* (*'a*, *'b*) *resource* (*'a*, *'b*) *resource*  
— Converting a repeatedly executable resource into a plain executable resource
- | *Forget* (*'a*, *'b*) *resource*  
— Forgetting all details about a resource

Each process composition has a well defined input and output resource, derived recursively from the individual actions that constitute it.

**primrec** *input* :: (*'a*, *'b*, *'l*, *'m*) *process*  $\Rightarrow$  (*'a*, *'b*) *resource*

**where**

- input* (*Primitive* *ins* *outs* *l* *m*) = *ins*
- | *input* (*Seq* *p* *q*) = *input* *p*
- | *input* (*Par* *p* *q*) = *input* *p*  $\odot$  *input* *q*
- | *input* (*Opt* *p* *q*) = *NonD* (*input* *p*) (*input* *q*)
- | *input* (*Represent* *p*) = *Empty*
- | *input* (*Identity* *a*) = *a*
- | *input* (*Swap* *a* *b*) = *a*  $\odot$  *b*

```

| input (InjectL a b) = a
| input (InjectR a b) = b
| input (OptDistrIn a b c) = a ⊙ (NonD b c)
| input (OptDistrOut a b c) = NonD (a ⊙ b) (a ⊙ c)
| input (Duplicate a) = Copyable a
| input (Erase a) = Copyable a
| input (Apply a b) = a ⊙ (Executable a b)
| input (Repeat a b) = Repeatable a b
| input (Close a b) = Repeatable a b
| input (Once a b) = Repeatable a b
| input (Forget a) = a

```

Input of mapped process is accordingly mapped input

**lemma** *map-process-input* [*simp*]:

```

input (map-process f g h i x) = map-resource f g (input x)
by (induct x) simp-all

```

**primrec** *output* :: ('a, 'b, 'l, 'm) process ⇒ ('a, 'b) resource

**where**

```

output (Primitive ins outs l m) = outs
| output (Seq p q) = output q
| output (Par p q) = output p ⊙ output q
| output (Opt p q) = output p
| output (Represent p) = Repeatable (input p) (output p)
| output (Identity a) = a
| output (Swap a b) = b ⊙ a
| output (InjectL a b) = NonD a b
| output (InjectR a b) = NonD a b
| output (OptDistrIn a b c) = NonD (a ⊙ b) (a ⊙ c)
| output (OptDistrOut a b c) = a ⊙ (NonD b c)
| output (Duplicate a) = Copyable a ⊙ Copyable a
| output (Erase a) = Empty
| output (Apply a b) = b
| output (Repeat a b) = (Repeatable a b) ⊙ (Repeatable a b)
| output (Close a b) = Empty
| output (Once a b) = Executable a b
| output (Forget a) = Anything

```

Output of mapped process is accordingly mapped output

**lemma** *map-process-output* [*simp*]:

```

output (map-process f g h i x) = map-resource f g (output x)
by (induct x) simp-all

```

Not all process compositions are valid. While we consider all individual actions to be valid, we impose two conditions on composition operations beyond the validity of their children:

- Sequential composition requires that the output of the first process be the input of the second.

- Optional composition requires that the two processes arrive at the same output.

```

primrec valid :: ('a, 'b, 'l, 'm) process  $\Rightarrow$  bool
where
  valid (Primitive ins outs l m) = True
| valid (Seq p q) = (output p = input q  $\wedge$  valid p  $\wedge$  valid q)
| valid (Par p q) = (valid p  $\wedge$  valid q)
| valid (Opt p q) = (valid p  $\wedge$  valid q  $\wedge$  output p = output q)
| valid (Represent p) = valid p
| valid (Identity a) = True
| valid (Swap a b) = True
| valid (InjectL a b) = True
| valid (InjectR a b) = True
| valid (OptDistrIn a b c) = True
| valid (OptDistrOut a b c) = True
| valid (Duplicate a) = True
| valid (Erase a) = True
| valid (Apply a b) = True
| valid (Repeat a b) = True
| valid (Close a b) = True
| valid (Once a b) = True
| valid (Forget a) = True

```

Process mapping preserves validity

```

lemma map-process-valid [simp]:
  valid x  $\Longrightarrow$  valid (map-process f g h i x)
by (induct x) simp-all

```

However, it does not necessarily preserve invalidity if there exist two distinct linear or copyable resource atoms

```

lemma
  fixes g h i and a b :: 'a
  assumes a  $\neq$  b
  obtains f and x :: ('a, 'b, 'l, 'm) process
  where  $\neg$  valid x and valid (map-process f g h i x)
proof
  let ?x = Seq (Identity (Res a)) (Identity (Res b))
  let ?f =  $\lambda x$ . undefined — Note that the value used can be anything
  show  $\neg$  valid ?x
  using assms resource-res-eq by fastforce
  show valid (map-process ?f g h i ?x)
  by simp
qed
lemma
  fixes f h i and a b :: 'b
  assumes a  $\neq$  b
  obtains g and x :: ('a, 'b, 'l, 'm) process

```

```

where  $\neg$  valid x and valid (map-process f g h i x)
proof
  let ?x = Seq (Identity (Copyable a)) (Identity (Copyable b))
  let ?g =  $\lambda x.$  undefined — Note that the value used can be anything
  show  $\neg$  valid ?x
    using assms resource-copyable-eq by fastforce
  show valid (map-process f ?g h i ?x)
    by simp
qed

```

If the resource map is injective then mapping with it does not change validity

```

lemma map-process-valid-eq:
  assumes inj f
    and inj g
  shows valid x = valid (map-process f g h i x)
  using assms by (induct x ; simp ; metis injD resource.inj-map)

```

## 8.2 Gathering Primitive Actions

As primitive actions represent assumptions about what we can do in the modelling domain, it is often useful to gather them.

When we want to talk about only primitive actions, we represent them with a quadruple of input, output, label and metadata, just as the parameters to the *Primitive* constructor.

```

type-synonym ('a, 'b, 'l, 'm) prim-pars = ('a, 'b) resource  $\times$  ('a, 'b) resource  $\times$ 
'l  $\times$  'm

```

Uncurried version of *Primitive* to use with *prim-pars*

```

fun Primitive-unc :: ('a, 'b, 'l, 'm) prim-pars  $\Rightarrow$  ('a, 'b, 'l, 'm) process
  where Primitive-unc (a, b, l, m) = Primitive a b l m

```

Gather the primitives recursively from the composition, preserving their order

```

primrec primitives :: ('a, 'b, 'l, 'm) process  $\Rightarrow$  ('a, 'b, 'l, 'm) prim-pars list
  where
    primitives (Primitive ins outs l m) = [(ins, outs, l, m)]
  | primitives (Seq p q) = primitives p @ primitives q
  | primitives (Par p q) = primitives p @ primitives q
  | primitives (Opt p q) = primitives p @ primitives q
  | primitives (Represent p) = primitives p
  | primitives (Identity a) = []
  | primitives (Swap a b) = []
  | primitives (InjectL a b) = []
  | primitives (InjectR a b) = []
  | primitives (OptDistrIn a b c) = []
  | primitives (OptDistrOut a b c) = []
  | primitives (Duplicate a) = []

```

| *primitives* (*Erase a*) = []  
 | *primitives* (*Apply a b*) = []  
 | *primitives* (*Repeat a b*) = []  
 | *primitives* (*Close a b*) = []  
 | *primitives* (*Once a b*) = []  
 | *primitives* (*Forget a*) = []

Primitives of mapped process are accordingly mapped primitives

**lemma** *map-process-primitives* [*simp*]:

*primitives* (*map-process f g h i x*)  
 = *map* ( $\lambda(a, b, l, m). (\text{map-resource } f g a, \text{map-resource } f g b, h l, i m)$ ) (*primitives*  
*x*)  
**by** (*induct x simp-all*)

### 8.3 Resource Refinement in Processes

We can apply *refine-resource* systematically throughout a process composition

**primrec** *process-refineRes* ::

$('a \Rightarrow ('x, 'y) \text{ resource}) \Rightarrow ('b \Rightarrow 'y) \Rightarrow ('a, 'b, 'l, 'm) \text{ process} \Rightarrow ('x, 'y, 'l, 'm)$   
*process*

**where**

*process-refineRes f g (Primitive ins outs l m) =*  
*Primitive (refine-resource f g ins) (refine-resource f g outs) l m*  
 | *process-refineRes f g (Identity a) = Identity (refine-resource f g a)*  
 | *process-refineRes f g (Swap a b) = Swap (refine-resource f g a) (refine-resource*  
*f g b)*  
 | *process-refineRes f g (Seq p q) = Seq (process-refineRes f g p) (process-refineRes*  
*f g q)*  
 | *process-refineRes f g (Par p q) = Par (process-refineRes f g p) (process-refineRes*  
*f g q)*  
 | *process-refineRes f g (Opt p q) = Opt (process-refineRes f g p) (process-refineRes*  
*f g q)*  
 | *process-refineRes f g (InjectL a b) = InjectL (refine-resource f g a) (refine-resource*  
*f g b)*  
 | *process-refineRes f g (InjectR a b) = InjectR (refine-resource f g a) (refine-resource*  
*f g b)*  
 | *process-refineRes f g (OptDistrIn a b c) =*  
*OptDistrIn (refine-resource f g a) (refine-resource f g b) (refine-resource f g c)*  
 | *process-refineRes f g (OptDistrOut a b c) =*  
*OptDistrOut (refine-resource f g a) (refine-resource f g b) (refine-resource f g*  
*c)*  
 | *process-refineRes f g (Duplicate a) = Duplicate (g a)*  
 | *process-refineRes f g (Erase a) = Erase (g a)*  
 | *process-refineRes f g (Represent p) = Represent (process-refineRes f g p)*  
 | *process-refineRes f g (Apply a b) = Apply (refine-resource f g a) (refine-resource*  
*f g b)*  
 | *process-refineRes f g (Repeat a b) = Repeat (refine-resource f g a) (refine-resource*  
*f g b)*

$$\begin{aligned}
& | \text{process-refineRes } f \ g \ (\text{Close } a \ b) = \text{Close } (\text{refine-resource } f \ g \ a) \ (\text{refine-resource } f \ g \ b) \\
& | \text{process-refineRes } f \ g \ (\text{Once } a \ b) = \text{Once } (\text{refine-resource } f \ g \ a) \ (\text{refine-resource } f \ g \ b) \\
& | \text{process-refineRes } f \ g \ (\text{Forget } a) = \text{Forget } (\text{refine-resource } f \ g \ a)
\end{aligned}$$

This behaves well with the input, output and primitives, and preserves validity

**lemma** *process-refineRes-input* [simp]:

$$\text{input } (\text{process-refineRes } f \ g \ x) = \text{refine-resource } f \ g \ (\text{input } x)$$

**by** (*induct*  $x$  ; *simp* *add*: *resource-par-def*)

**lemma** *process-refineRes-output* [simp]:

$$\text{output } (\text{process-refineRes } f \ g \ x) = \text{refine-resource } f \ g \ (\text{output } x)$$

**by** (*induct*  $x$  ; *simp* *add*: *resource-par-def*)

**lemma** *process-refineRes-primitives*:

$$\text{primitives } (\text{process-refineRes } f \ g \ x)$$

$$= \text{map } (\lambda(\text{ins}, \text{outs}, l, m). (\text{refine-resource } f \ g \ \text{ins}, \text{refine-resource } f \ g \ \text{outs}, l, m))$$

$$(\text{primitives } x)$$

**by** (*induct*  $x$  ; *simp* *add*: *image-Un*)

**lemma** *process-refineRes-valid* [simp]:

$$\text{valid } x \implies \text{valid } (\text{process-refineRes } f \ g \ x)$$

**by** (*induct*  $x$  ; *simp*)

## 9 List-based Composition Actions

We define functions to compose a list of processes in sequence or in parallel. In both cases these associate the binary operation to the right, and for the empty list they both use the identity process on the *Resource.Empty* resource.

Compose a list of processes in sequence

**primrec** *seq-process-list* :: ('a, 'b, 'l, 'm) process list  $\Rightarrow$  ('a, 'b, 'l, 'm) process

**where**

$$\text{seq-process-list } [] = \text{Identity Empty}$$

$$| \text{seq-process-list } (x \# xs) = (\text{if } xs = [] \text{ then } x \text{ else } \text{Seq } x \ (\text{seq-process-list } xs))$$

**lemma** *seq-process-list-input* [simp]:

$$xs \neq [] \implies \text{input } (\text{seq-process-list } xs) = \text{input } (\text{hd } xs)$$

**by** (*induct*  $xs$ ) *simp-all*

**lemma** *seq-process-list-output* [simp]:

$$xs \neq [] \implies \text{output } (\text{seq-process-list } xs) = \text{output } (\text{last } xs)$$

**by** (*induct*  $xs$ ) *simp-all*

**lemma** *seq-process-list-valid*:

$$\text{valid } (\text{seq-process-list } xs)$$

$$= (\text{list-all valid } xs$$

$$\wedge (\forall i :: \text{nat. } i < \text{length } xs - 1 \longrightarrow \text{output } (xs ! i) = \text{input } (xs ! \text{Suc } i)))$$

```

proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    by (simp add: hd-conv-nth nth-Cons')
      (metis Suc-less-eq Suc-pred diff-Suc-1' length-greater-0-conv zero-less-Suc)
qed

```

```

lemma seq-process-list-primitives [simp]:
  primitives (seq-process-list xs) = concat (map primitives xs)
by (induct xs) simp-all

```

We use list-based sequential composition to make generated code more readable

```

lemma seq-process-list-code-unfold [code-unfold]:
  Seq x (Seq y z) = seq-process-list [x, y, z]
  Seq x (seq-process-list (y # ys)) = seq-process-list (x # y # ys)
by simp-all

```

Resource refinement can be distributed across the list being composed

```

lemma seq-process-list-refine:
  process-refineRes f g (seq-process-list xs) = seq-process-list (map (process-refineRes
  f g) xs)
by (induct xs ; simp)

```

Compose a list of processes in parallel

```

primrec par-process-list :: ('a, 'b, 'l, 'm) process list  $\Rightarrow$  ('a, 'b, 'l, 'm) process
  where
    par-process-list [] = Identity Empty
    | par-process-list (x # xs) = (if xs = [] then x else Par x (par-process-list xs))

```

```

lemma par-process-list-input [simp]:
  input (par-process-list xs) = foldr ( $\odot$ ) (map input xs) Empty
by (induct xs) simp-all

```

```

lemma par-process-list-output [simp]:
  output (par-process-list xs) = foldr ( $\odot$ ) (map output xs) Empty
by (induct xs) simp-all

```

```

lemma par-process-list-valid [simp]:
  valid (par-process-list xs) = list-all valid xs
by (induct xs ; clarsimp)

```

```

lemma par-process-list-primitives [simp]:
  primitives (par-process-list xs) = concat (map primitives xs)
by (induct xs ; simp)

```

We use list-based parallel composition to make generated code more readable

**lemma** *par-process-list-code-unfold* [*code-unfold*]:

$Par\ x\ (Par\ y\ z) = par\text{-}process\text{-}list\ [x,\ y,\ z]$   
 $Par\ x\ (par\text{-}process\text{-}list\ (y\ \# \ ys)) = par\text{-}process\text{-}list\ (x\ \# \ y\ \# \ ys)$   
**by** *simp-all*

Resource refinement can be distributed across the list being composed

**lemma** *par-process-list-refine*:

$process\text{-}refineRes\ f\ g\ (par\text{-}process\text{-}list\ xs) = par\text{-}process\text{-}list\ (map\ (process\text{-}refineRes\ f\ g)\ xs)$   
**by** (*induct xs ; simp*)

## 9.1 Progressing Both Non-deterministic Branches

Note that validity of *Opt* requires that its children have equal outputs. However, we can define a composition template that allows us to optionally compose processes with different outputs, producing the non-deterministic combination of those outputs. This represents progressing both branches of a *Resource.NonD* resource without merging them.

**fun** *OptProgress* :: ('a, 'b, 'l, 'm) process  $\Rightarrow$  ('a, 'b, 'l, 'm) process  $\Rightarrow$  ('a, 'b, 'l, 'm) process  
**where** *OptProgress* p q =  
 $Opt\ (Seq\ p\ (InjectL\ (output\ p)\ (output\ q)))$   
 $(Seq\ q\ (InjectR\ (output\ p)\ (output\ q)))$

The result takes the non-deterministic combination of the children's inputs and produces the non-deterministic combination of their outputs, and it is valid whenever the two children are valid.

**lemma** [*simp*]:

**shows** *OptProgress-input*:  $input\ (OptProgress\ x\ y) = NonD\ (input\ x)\ (input\ y)$   
**and** *OptProgress-output*:  $output\ (OptProgress\ x\ y) = NonD\ (output\ x)\ (output\ y)$   
**and** *OptProgress-valid*:  $valid\ (OptProgress\ x\ y) = (valid\ x \wedge valid\ y)$   
**by** *simp-all*

## 10 Primitive Action Substitution

We define a function to substitute primitive actions within any process composition. The target actions are specified through a predicate on their parameters. The replacement composition is then a function of those primitives.

**primrec** *process-subst* ::

$((a, b)\ resource \Rightarrow (a, b)\ resource \Rightarrow l \Rightarrow m \Rightarrow bool) \Rightarrow$   
 $((a, b)\ resource \Rightarrow (a, b)\ resource \Rightarrow l \Rightarrow m \Rightarrow (a, b, l, m)\ process) \Rightarrow$   
 $(a, b, l, m)\ process \Rightarrow (a, b, l, m)\ process$

**where**

$process\text{-}subst\ P\ f\ (Primitive\ a\ b\ l\ m) = (if\ P\ a\ b\ l\ m\ then\ f\ a\ b\ l\ m\ else\ Primitive\ a\ b\ l\ m)$

|  $process\text{-}subst\ P\ f\ (Identity\ a) = Identity\ a$

|  $process\text{-}subst\ P\ f\ (Swap\ a\ b) = Swap\ a\ b$

|  $process\text{-}subst\ P\ f\ (Seq\ p\ q) = Seq\ (process\text{-}subst\ P\ f\ p)\ (process\text{-}subst\ P\ f\ q)$

|  $process\text{-}subst\ P\ f\ (Par\ p\ q) = Par\ (process\text{-}subst\ P\ f\ p)\ (process\text{-}subst\ P\ f\ q)$

|  $process\text{-}subst\ P\ f\ (Opt\ p\ q) = Opt\ (process\text{-}subst\ P\ f\ p)\ (process\text{-}subst\ P\ f\ q)$

|  $process\text{-}subst\ P\ f\ (InjectL\ a\ b) = InjectL\ a\ b$

|  $process\text{-}subst\ P\ f\ (InjectR\ a\ b) = InjectR\ a\ b$

|  $process\text{-}subst\ P\ f\ (OptDistrIn\ a\ b\ c) = OptDistrIn\ a\ b\ c$

|  $process\text{-}subst\ P\ f\ (OptDistrOut\ a\ b\ c) = OptDistrOut\ a\ b\ c$

|  $process\text{-}subst\ P\ f\ (Duplicate\ a) = Duplicate\ a$

|  $process\text{-}subst\ P\ f\ (Erase\ a) = Erase\ a$

|  $process\text{-}subst\ P\ f\ (Represent\ p) = Represent\ (process\text{-}subst\ P\ f\ p)$

|  $process\text{-}subst\ P\ f\ (Apply\ a\ b) = Apply\ a\ b$

|  $process\text{-}subst\ P\ f\ (Repeat\ a\ b) = Repeat\ a\ b$

|  $process\text{-}subst\ P\ f\ (Close\ a\ b) = Close\ a\ b$

|  $process\text{-}subst\ P\ f\ (Once\ a\ b) = Once\ a\ b$

|  $process\text{-}subst\ P\ f\ (Forget\ a) = Forget\ a$

If no matching target primitive is present, then the substitution does nothing

**lemma** *process-subst-no-target*:

$(\bigwedge a\ b\ l\ m. (a, b, l, m) \in set\ (primitives\ x) \implies \neg P\ a\ b\ l\ m) \implies process\text{-}subst\ P\ f\ x = x$

**by** (*induct x, auto*)

If a process has no primitives, then any substitution does nothing on it

**lemma** *process-subst-no-prims*:

$primitives\ x = [] \implies process\text{-}subst\ P\ f\ x = x$

**by** (*fastforce intro: process-subst-no-target*)

If the replacement process does not change the inputs, then input is preserved through the substitution

**lemma** *process-subst-input [simp]*:

$(\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies input\ (f\ a\ b\ l\ m) = a) \implies input\ (process\text{-}subst\ P\ f\ x) = input\ x$

**by** (*induct x simp-all*)

If the replacement additionally does not change the outputs, then the output is also preserved through the substitution

**lemma** *process-subst-output [simp]*:

**assumes**  $\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies input\ (f\ a\ b\ l\ m) = a$

**and**  $\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies output\ (f\ a\ b\ l\ m) = b$

**shows**  $output\ (process\text{-}subst\ P\ f\ x) = output\ x$

**using** *assms by (induct x simp-all)*

If the replacement is additionally valid for every target, then validity is preserved through the substitution

**lemma** *process-subst-valid* [*simp*]:  
**assumes**  $\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies \text{input}\ (f\ a\ b\ l\ m) = a$   
**and**  $\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies \text{output}\ (f\ a\ b\ l\ m) = b$   
**and**  $\bigwedge a\ b\ l\ m. P\ a\ b\ l\ m \implies \text{valid}\ (f\ a\ b\ l\ m)$   
**shows**  $\text{valid}\ (\text{process-subst}\ P\ f\ x) = \text{valid}\ x$   
**using** *assms* **by** (*induct* *x*) *simp-all*

Primitives after substitution are those that didn't satisfy the predicate and anything that was introduced by the function applied on satisfying primitives' parameters.

**lemma** *process-subst-primitives*:  
 $\text{primitives}\ (\text{process-subst}\ P\ f\ x)$   
 $= \text{concat}\ (\text{map}\ (\lambda(a, b, l, m). \text{if}\ P\ a\ b\ l\ m\ \text{then}\ \text{primitives}\ (f\ a\ b\ l\ m)\ \text{else}\ [(a, b, l, m)]))$   
 $(\text{primitives}\ x)$   
**by** (*induct* *x*) *simp-all*

After substitution, no target action is left unless some replacement introduces one

**lemma** *process-subst-targets-removed*:  
**assumes**  $\bigwedge a\ b\ l\ m\ a'\ b'\ l'\ m'. \llbracket (a, b, l, m) \in \text{set}\ (\text{primitives}\ x); P\ a\ b\ l\ m; (a', b', l', m') \in \text{set}\ (\text{primitives}\ (f\ a\ b\ l\ m)) \rrbracket$   
 $\implies \neg P\ a'\ b'\ l'\ m'$   
— For any target primitive of the process, no primitive in its replacement is also a target  
**and**  $(a, b, l, m) \in \text{set}\ (\text{primitives}\ (\text{process-subst}\ P\ f\ x))$   
**shows**  $\neg P\ a\ b\ l\ m$   
**using** *assms*

**proof** (*induct* *x*)  
**case** (*Primitive* *x1* *x2* *x3* *x4*)  
**then show** *?case*  
**by** *simp* (*smt* (*verit*) *empty-iff* *empty-set* *fst-conv* *primitives.simps*(1) *set-ConsD* *snd-conv*)  
**next case** (*Seq* *x1* *x2*) **then show** *?case* **by** *simp blast*  
**next case** (*Par* *x1* *x2*) **then show** *?case* **by** *simp blast*  
**next case** (*Opt* *x1* *x2*) **then show** *?case* **by** *simp blast*  
**next case** (*Represent* *x*) **then show** *?case* **by** *simp*  
**next case** (*Identity* *x*) **then show** *?case* **by** *simp*  
**next case** (*Swap* *x1* *x2*) **then show** *?case* **by** *simp*  
**next case** (*InjectL* *x1* *x2*) **then show** *?case* **by** *simp*  
**next case** (*InjectR* *x1* *x2*) **then show** *?case* **by** *simp*  
**next case** (*OptDistrIn* *x1* *x2* *x3*) **then show** *?case* **by** *simp*  
**next case** (*OptDistrOut* *x1* *x2* *x3*) **then show** *?case* **by** *simp*  
**next case** (*Duplicate* *x*) **then show** *?case* **by** *simp*  
**next case** (*Erase* *x*) **then show** *?case* **by** *simp*  
**next case** (*Apply* *x1* *x2*) **then show** *?case* **by** *simp*  
**next case** (*Repeat* *x1* *x2*) **then show** *?case* **by** *simp*  
**next case** (*Close* *x1* *x2*) **then show** *?case* **by** *simp*

```

next case (Once x1 x2) then show ?case by simp
next case (Forget x) then show ?case by simp
qed

```

Process substitution distributes over list-based sequential and parallel composition

**lemma** *par-process-list-subst*:

```

process-subst P f (par-process-list xs) = par-process-list (map (process-subst P f) xs)
by (induct xs ; simp)

```

**lemma** *seq-process-list-subst*:

```

process-subst P f (seq-process-list xs) = seq-process-list (map (process-subst P f) xs)
by (induct xs ; simp)

```

## 11 Useful Notation

We set up notation to easily express the input and output of a process. We use two bundle: including one introduces the notation, while including the other removes it.

```

abbreviation spec :: ('a, 'b, 'l, 'm) process  $\Rightarrow$  ('a, 'b) resource  $\Rightarrow$  ('a, 'b) resource  $\Rightarrow$  bool
where spec P a b  $\equiv$  input P = a  $\wedge$  output P = b

```

**bundle** *spec-notation*

**begin**

**notation** *spec* ((-): (-)  $\rightarrow$  (-) [1000, 60] 60)

**end**

**bundle** *spec-notation-undo*

**begin**

**no-notation** *spec* ((-): (-)  $\rightarrow$  (-) [1000, 60] 60)

**end**

Set up notation bundles to be imported in a controlled way, along with inverses to undo them

We also set up infix notation for sequential and parallel process composition. Once again, we use two bundles to add and remove this notation. In this case that is even more useful, as our parallel composition notation overrides that of (`||`).

**bundle** *process-notation*

**begin**

**no-notation** *Shuffle* (**infixr** || 80)

**notation** *Seq* (**infixr** ;; 55)

**notation** *Par* (**infixr** || 65)

```

end

bundle process-notation-undo
begin
notation Shuffle (infixr || 80)
no-notation Seq (infixr ;; 55)
no-notation Par (infixr || 65)
end

end
theory CopyableElimination
  imports Process
begin

```

## 12 Copyable Resource Elimination

We can show that copyable resources are not strictly necessary for the theory, being instead a convenience feature, by taking any valid process and transforming it into one that does not use any copyable resources. The cost is that we introduce new primitive actions, which represent the explicit assumptions that the resources that were copyable have actions that correspond to *Duplicate* and *Erase* in the domain. While an equivalent assumption (that such actions exist in the domain) is made by making an atom copyable instead of linear, that avenue fixes the form of those actions and as such lessens the risk of error in manually introducing them for this frequent pattern.

The concrete transformation takes a process of type  $(\text{'a}, \text{'b}, \text{'l}, \text{'m})$  *process* to one of type  $(\text{'a} + \text{'b}, \text{'c}, \text{'l} + \text{String.literal}, \text{'m} + \text{unit})$  *process*. Note the following:

- The two resource atom types are combined into one to form the new linear atoms.
- The new copyable atoms can be of any type, because the result makes no use of them.
- The old labels are combined with string literals to add label simple labels for the new actions.
- The old metadata is combined with *unit*, allowing the new actions to have no metadata.

### 12.1 Replacing Copyable Resource Actions

To remove the copyable resource actions *Duplicate* and *Erase* we replace them with *Primitive* actions with the corresponding input and output, string

labels and no metadata.

**primrec** *makeDuplEraToPrim*

$:: ('a, 'b, 'l, 'm) \text{ process} \Rightarrow ('a, 'b, 'l + \text{String.literal}, 'm + \text{unit}) \text{ process}$

**where**

$\text{makeDuplEraToPrim } (\text{Primitive } a \ b \ l \ m) = \text{Primitive } a \ b \ (\text{Inl } l) \ (\text{Inl } m)$   
 $| \text{makeDuplEraToPrim } (\text{Identity } a) = \text{Identity } a$   
 $| \text{makeDuplEraToPrim } (\text{Swap } a \ b) = \text{Swap } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{Seq } p \ q) = \text{Seq } (\text{makeDuplEraToPrim } p) \ (\text{makeDuplEraToPrim } q)$   
 $| \text{makeDuplEraToPrim } (\text{Par } p \ q) = \text{Par } (\text{makeDuplEraToPrim } p) \ (\text{makeDuplEraToPrim } q)$   
 $| \text{makeDuplEraToPrim } (\text{Opt } p \ q) = \text{Opt } (\text{makeDuplEraToPrim } p) \ (\text{makeDuplEraToPrim } q)$   
 $| \text{makeDuplEraToPrim } (\text{InjectL } a \ b) = \text{InjectL } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{InjectR } a \ b) = \text{InjectR } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{OptDistrIn } a \ b \ c) = \text{OptDistrIn } a \ b \ c$   
 $| \text{makeDuplEraToPrim } (\text{OptDistrOut } a \ b \ c) = \text{OptDistrOut } a \ b \ c$   
 $| \text{makeDuplEraToPrim } (\text{Duplicate } a) =$   
 $\text{Primitive } (\text{Copyable } a) \ (\text{Copyable } a \odot \text{Copyable } a) \ (\text{Inr } \text{STR } \text{"Duplicate"})$   
 $(\text{Inr } ())$   
 $| \text{makeDuplEraToPrim } (\text{Erase } a) =$   
 $\text{Primitive } (\text{Copyable } a) \ \text{Empty} \ (\text{Inr } \text{STR } \text{"Erase"}) \ (\text{Inr } ())$   
 $| \text{makeDuplEraToPrim } (\text{Represent } p) = \text{Represent } (\text{makeDuplEraToPrim } p)$   
 $| \text{makeDuplEraToPrim } (\text{Apply } a \ b) = \text{Apply } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{Repeat } a \ b) = \text{Repeat } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{Close } a \ b) = \text{Close } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{Once } a \ b) = \text{Once } a \ b$   
 $| \text{makeDuplEraToPrim } (\text{Forget } a) = \text{Forget } a$

## 12.2 Making Copyable Resource Terms Linear

To eventually replace copyable resources, we first define how resource terms are replaced. Linear atoms are injected into the left side of the sum while copyable ones are injected into the right side, but both are turned into linear atoms in the result.

**primrec** *copyableToRes-term*  $:: ('a, 'b) \text{ res-term} \Rightarrow ('a + 'b, 'c) \text{ res-term}$

**where**

$\text{copyableToRes-term } \text{res-term.Empty} = \text{res-term.Empty}$   
 $| \text{copyableToRes-term } \text{res-term.Anything} = \text{res-term.Anything}$   
 $| \text{copyableToRes-term } (\text{res-term.Res } a) = \text{res-term.Res } (\text{Inl } a)$   
 $| \text{copyableToRes-term } (\text{res-term.Copyable } a) = \text{res-term.Res } (\text{Inr } a)$   
 $| \text{copyableToRes-term } (\text{res-term.Parallel } xs) =$   
 $\text{res-term.Parallel } (\text{map } \text{copyableToRes-term } xs)$   
 $| \text{copyableToRes-term } (\text{res-term.NonD } a \ b) =$   
 $\text{res-term.NonD } (\text{copyableToRes-term } a) \ (\text{copyableToRes-term } b)$   
 $| \text{copyableToRes-term } (\text{res-term.Executable } a \ b) =$   
 $\text{res-term.Executable } (\text{copyableToRes-term } a) \ (\text{copyableToRes-term } b)$   
 $| \text{copyableToRes-term } (\text{res-term.Repeatable } a \ b) =$

*res-term.Repeatable (copyableToRes-term a) (copyableToRes-term b)*

Replacing copyable resource terms preserves term equivalence

**lemma** *copyableToRes-term-equiv*:

$x \sim y \implies \text{copyableToRes-term } x \sim \text{copyableToRes-term } y$

**proof** (*induct x y rule: res-term-equiv.induct*)

**case** *nil* **then show** *?case* **by** *simp*

**next case** (*singleton a*) **then show** *?case* **by** *simp*

**next**

**case** (*merge x y z*)

**then show** *?case*

**using** *res-term-equiv.merge* **by** *force*

**next case** *empty* **then show** *?case* **by** *simp*

**next case** *anything* **then show** *?case* **by** *simp*

**next case** (*res x*) **then show** *?case* **by** *simp*

**next case** (*copyable x*) **then show** *?case* **by** *simp*

**next**

**case** (*parallel xs ys*)

**then show** *?case*

**by** (*simp add: list.rel-map list-all2-mono res-term-equiv.parallel*)

**next case** (*nondet x y u v*) **then show** *?case* **by** (*simp add: res-term-equiv.nondet*)

**next case** (*executable x y u v*) **then show** *?case* **by** (*simp add: res-term-equiv.executable*)

**next case** (*repeatable x y u v*) **then show** *?case* **by** (*simp add: res-term-equiv.repeatable*)

**next case** (*sym x y*) **then show** *?case* **by** (*metis res-term-equiv.sym*)

**next case** (*trans x y z*) **then show** *?case* **by** (*metis res-term-equiv.trans*)

**qed**

Replacing copyable resource terms does not affect the nature of non-atoms

**lemma** *copyableToRes-term-is-Empty* [*simp*]:

$\text{is-Empty } (\text{copyableToRes-term } x) = \text{is-Empty } x$

**by** (*cases x*) *simp-all*

**lemma** *copyableToRes-term-has-Empty* [*simp*]:

$\text{list-ex is-Empty } (\text{map copyableToRes-term } xs) = \text{list-ex is-Empty } xs$

**by** (*induct xs*) *simp-all*

**lemma** *copyableToRes-term-has-no-Empty* [*simp*]:

$\text{list-all } (\lambda x. \neg \text{is-Empty } x) (\text{map copyableToRes-term } xs) = \text{list-all } (\lambda x. \neg \text{is-Empty } x) xs$

**by** (*induct xs*) *simp-all*

**lemma** *copyableToRes-term-is-Parallel* [*simp*]:

$\text{is-Parallel } (\text{copyableToRes-term } x) = \text{is-Parallel } x$

**by** (*cases x*) *simp-all*

**lemma** *copyableToRes-term-has-Parallel* [*simp*]:

$\text{list-ex is-Parallel } (\text{map copyableToRes-term } xs) = \text{list-ex is-Parallel } xs$

**by** (*induct xs*) *simp-all*

**lemma** *copyableToRes-term-has-no-Parallel* [*simp*]:

$\text{list-all } (\lambda x. \neg \text{is-Parallel } x) (\text{map copyableToRes-term } xs) = \text{list-all } (\lambda x. \neg \text{is-Parallel } x) xs$

**by** (*induct xs*) *simp-all*

Replacing copyable resource terms does not affect whether they are normalised

**lemma** *normalised-copyableToRes-term* [simp]:

*normalised (copyableToRes-term x) = normalised x (is normalised (?f x) = normalised x)*

— Note the pattern matching, which is needed to later refer to *copyableToRes-term* with the right type variable for copyable resources in its output

**proof** (*induct x*)

**case** (*Res x*) **then show** *?case* **by** *simp*

**next case** (*Copyable x*) **then show** *?case* **by** *simp*

**next case** *Empty* **then show** *?case* **by** *simp*

**next case** *Anything* **then show** *?case* **by** *simp*

**next**

**case** (*Parallel xs*)

**then show** *?case*

**proof** (*induct xs rule: induct-list012*)

**case** *1* **then show** *?case* **by** *simp*

**next case** (*2 x*) **then show** *?case* **by** *simp*

**next**

**case** (*3 x y zs*)

**then have** [simp]: *list-all normalised (map ?f zs) = list-all normalised zs*

**by** (*simp add: Ball-set[symmetric]*)

**show** *?case*

**using** *3* **by** *simp*

**qed**

**next case** (*NonD x1 x2*) **then show** *?case* **by** *simp*

**next case** (*Executable x1 x2*) **then show** *?case* **by** *simp*

**next case** (*Repeatable x1 x2*) **then show** *?case* **by** *simp*

**qed**

Term rewriting step commutes with the copyable term replacement

**lemma** *remove-one-empty-copyableToRes-term-commute*:

*remove-one-empty (map copyableToRes-term xs) = map copyableToRes-term (remove-one-empty xs)*

**proof** (*induct xs*)

**case** *Nil* **then show** *?case* **by** *simp*

**next case** (*Cons a xs*) **then show** *?case* **by** (*cases a*) *simp-all*

**qed**

**lemma** *merge-one-parallel-copyableToRes-term-commute*:

*merge-one-parallel (map copyableToRes-term xs) = map copyableToRes-term (merge-one-parallel xs)*

**proof** (*induct xs*)

**case** *Nil* **then show** *?case* **by** *simp*

**next case** (*Cons a xs*) **then show** *?case* **by** (*cases a*) *simp-all*

**qed**

**lemma** *step-copyableToRes-term*:

*step (copyableToRes-term x) = copyableToRes-term (step x) (is step (?f x) = ?f*

```

(step x))
proof (induct x rule: step-induct^)
  case Empty then show ?case by simp
next case Anything then show ?case by simp
next case (Res a) then show ?case by simp
next case (Copyable x) then show ?case by simp
next case (NonD-L x y) then show ?case by simp
next case (NonD-R x y) then show ?case by simp
next case (NonD x y) then show ?case by simp
next case (Executable-L x y) then show ?case by simp
next case (Executable-R x y) then show ?case by simp
next case (Executable x y) then show ?case by simp
next case (Repeatable-L x y) then show ?case by simp
next case (Repeatable-R x y) then show ?case by simp
next case (Repeatable x y) then show ?case by simp
next
  case (Par-Norm xs)
  moreover have list-ex (λx. ¬ normalised x) (map ?f xs)
    using Par-Norm(2) by (fastforce simp add: Bex-set[symmetric])
  ultimately show ?case
    by simp
next
  case (Par-Par xs)
  moreover have ¬ list-ex (λx. ¬ normalised x) (map ?f xs)
    using Par-Par(2) by (simp add: Bex-set[symmetric])
  ultimately show ?case
    by (simp add: merge-one-parallel-copyableToRes-term-commute)
next
  case (Par-Empty xs)
  moreover have ¬ list-ex (λx. ¬ normalised x) (map ?f xs)
    using Par-Empty(2) by (simp add: Bex-set[symmetric])
  moreover have ¬ list-ex is-Parallel xs
    using Par-Empty(3) not-list-ex by metis
  ultimately show ?case
    by (simp add: remove-one-empty-copyableToRes-term-commute)
next case Par-Nil then show ?case by simp
next case (Par-Single u) then show ?case by simp
next
  case (Par v vb vc)
  moreover have ¬ list-ex (λx. ¬ normalised x) (map ?f (v # vb # vc))
    using Par(2) by (simp add: Bex-set[symmetric])
  moreover have ¬ list-ex is-Parallel (v # vb # vc)
    using Par(3) not-list-ex by metis
  moreover have ¬ list-ex is-Empty (v # vb # vc)
    using Par(4) not-list-ex by metis
  ultimately show ?case
    by simp
qed

```

By induction, the replacement of copyable terms also passes through term

normalisation

**lemma** *normal-rewr-copyableToRes-term*:

*normal-rewr (copyableToRes-term x) = copyableToRes-term (normal-rewr x)*

**proof** (*induct x rule: normal-rewr.induct*)

**case** (*1 x*)

**then show** *?case*

**proof** (*cases normalised x*)

**case** *True*

**then show** *?thesis*

**by** *simp*

**next**

**case** *False*

**then show** *?thesis*

**using** *1* **by** *simp (metis step-copyableToRes-term normal-rewr-step)*

**qed**

**qed**

Copyable term replacement is injective

**lemma** *copyableToRes-term-inj*:

*copyableToRes-term x = copyableToRes-term y  $\implies$  x = y*

**proof** (*induct x arbitrary: y*)

**case** (*Res x*) **then show** *?case* **by** (*cases y*) *simp-all*

**next case** (*Copyable x*) **then show** *?case* **by** (*cases y*) *simp-all*

**next case** *Empty* **then show** *?case* **by** (*cases y*) *simp-all*

**next case** *Anything* **then show** *?case* **by** (*cases y*) *simp-all*

**next**

**case** (*Parallel x*)

**then show** *?case*

**by** (*cases y*) (*simp-all, metis list.inj-map-strong*)

**next case** (*NonD x1 x2*) **then show** *?case* **by** (*cases y*) *simp-all*

**next case** (*Executable x1 x2*) **then show** *?case* **by** (*cases y*) *simp-all*

**next case** (*Repeatable x1 x2*) **then show** *?case* **by** (*cases y*) *simp-all*

**qed**

Making Copyable Resources Linear

We then lift the term-level replacement to resources

**lift-definition** *copyableToRes* :: (*'a, 'b*) *resource*  $\Rightarrow$  (*'a + 'b, 'c*) *resource*

**is** *copyableToRes-term* **by** (*rule copyableToRes-term-equiv*)

**lemma** *copyableToRes-simps [simp]*:

*copyableToRes Empty = Empty*

*copyableToRes Anything = Anything*

*copyableToRes (Res a) = Res (Inl a)*

*copyableToRes (Copyable a) = Res (Inr a)*

*copyableToRes (Parallel xs) = Parallel (map copyableToRes xs)*

*copyableToRes (NonD x y) = NonD (copyableToRes x) (copyableToRes y)*

*copyableToRes (Executable x y) = Executable (copyableToRes x) (copyableToRes*

*y)*

$\text{copyableToRes (Repeatable } x \ y) = \text{Repeatable (copyableToRes } x) \ (\text{copyableToRes } y)$   
**by** (*transfer, simp*)+

Resource-level replacement is injective, which is vital for preserving composition validity

**lemma** *copyableToRes-inj*:  
**fixes**  $x \ y :: ('a, 'b) \text{ resource}$   
**shows**  $(\text{copyableToRes } x :: ('a + 'b, 'c) \text{ resource}) = \text{copyableToRes } y \implies x = y$   
**proof** *transfer*  
**fix**  $x \ y :: ('a, 'b) \text{ res-term}$   
**assume**  $(\text{copyableToRes-term } x :: ('a + 'b, 'c) \text{ res-term}) \sim \text{copyableToRes-term } y$   
**then show**  $x \sim y$   
**unfolding** *res-term-equiv-is-normal-rewr normal-rewr-copyableToRes-term*  
**by** (*rule copyableToRes-term-inj*)  
**qed**

**lemma** *copyableToRes-eq-conv [simp]*:  
 $(\text{copyableToRes } x = \text{copyableToRes } y) = (x = y)$   
**by** (*metis copyableToRes-inj*)

Resource-level replacement can then be applied over a process

**primrec** *process-copyableToRes* ::  $('a, 'b, 'l, 'm) \text{ process} \Rightarrow ('a + 'b, 'c, 'l, 'm) \text{ process}$   
**where**  
 $\text{process-copyableToRes (Primitive ins outs } l \ m) =$   
 $\text{Primitive (copyableToRes ins) (copyableToRes outs) } l \ m$   
 $|\ \text{process-copyableToRes (Identity } a) = \text{Identity (copyableToRes } a)$   
 $|\ \text{process-copyableToRes (Swap } a \ b) = \text{Swap (copyableToRes } a) \ (\text{copyableToRes } b)$   
 $|\ \text{process-copyableToRes (Seq } p \ q) = \text{Seq (process-copyableToRes } p) \ (\text{process-copyableToRes } q)$   
 $|\ \text{process-copyableToRes (Par } p \ q) = \text{Par (process-copyableToRes } p) \ (\text{process-copyableToRes } q)$   
 $|\ \text{process-copyableToRes (Opt } p \ q) = \text{Opt (process-copyableToRes } p) \ (\text{process-copyableToRes } q)$   
 $|\ \text{process-copyableToRes (InjectL } a \ b) = \text{InjectL (copyableToRes } a) \ (\text{copyableToRes } b)$   
 $|\ \text{process-copyableToRes (InjectR } a \ b) = \text{InjectR (copyableToRes } a) \ (\text{copyableToRes } b)$   
 $|\ \text{process-copyableToRes (OptDistrIn } a \ b \ c) =$   
 $\text{OptDistrIn (copyableToRes } a) \ (\text{copyableToRes } b) \ (\text{copyableToRes } c)$   
 $|\ \text{process-copyableToRes (OptDistrOut } a \ b \ c) =$   
 $\text{OptDistrOut (copyableToRes } a) \ (\text{copyableToRes } b) \ (\text{copyableToRes } c)$   
 $|\ \text{process-copyableToRes (Duplicate } a) = \text{undefined}$   
— There is no sensible definition for *Duplicate*, but we will not need one  
 $|\ \text{process-copyableToRes (Erase } a) = \text{undefined}$   
— There is no sensible definition for *Erase*, but we will not need one

$$\begin{aligned}
& | \text{process-copyableToRes } (\text{Represent } p) = \text{Represent } (\text{process-copyableToRes } p) \\
& | \text{process-copyableToRes } (\text{Apply } a \ b) = \text{Apply } (\text{copyableToRes } a) \ (\text{copyableToRes } b) \\
& | \text{process-copyableToRes } (\text{Repeat } a \ b) = \text{Repeat } (\text{copyableToRes } a) \ (\text{copyableToRes } b) \\
& | \text{process-copyableToRes } (\text{Close } a \ b) = \text{Close } (\text{copyableToRes } a) \ (\text{copyableToRes } b) \\
& | \text{process-copyableToRes } (\text{Once } a \ b) = \text{Once } (\text{copyableToRes } a) \ (\text{copyableToRes } b) \\
& | \text{process-copyableToRes } (\text{Forget } a) = \text{Forget } (\text{copyableToRes } a)
\end{aligned}$$

### 12.3 Final Properties

The final transformation proceeds by first *makeDuplEraToPrim* to remove the resource actions that depend on their copyable nature and then *process-copyableToRes* to make all copyable resources into linear ones. We verify that the result:

- Has the expected type,
- Has as input the original input made linear,
- Has as output the original output made linear,
- Is valid iff the original is valid.
- Contains no copyable atoms

**notepad begin**

```

fix x :: ('a, 'b, 'l, 'm) process
term process-copyableToRes (makeDuplEraToPrim x)
  :: ('a + 'b, 'c, 'l + String.literal, 'm + unit) process

```

**end**

**lemma eliminateCopyable-input:**

```

input (process-copyableToRes (makeDuplEraToPrim x)) = copyableToRes (input x)
by (induct x) (simp-all add: resource-par-def)

```

**lemma eliminateCopyable-output:**

```

output (process-copyableToRes (makeDuplEraToPrim x)) = copyableToRes (output x)
by (induct x) (simp-all add: resource-par-def eliminateCopyable-input)

```

**lemma eliminateCopyable-valid:**

```

valid (process-copyableToRes (makeDuplEraToPrim x)) = valid x
by (induct x)
  (simp-all add: resource-par-def eliminateCopyable-input eliminateCopyable-output)

```

**lemma set2-process-eliminateCopyable:**

```

fixes  $x :: ('a, 'b, 'l, 'm)$  process
shows set2-process (process-copyableToRes (makeDuplEraToPrim  $x$ )) = {}
proof –
  have [simp]: set2-resource (copyableToRes  $x$ ) = {}
    for  $x :: ('a, 'b)$  resource
    by (induct  $x$  rule: resource-induct) simp-all
  show ?thesis
    by (induct  $x$ ) simp-all
qed

end

```

## References

- [1] B. Fürer, A. Lochbihler, J. Schneider, and D. Traytel. Quotients of bounded natural functors. In N. Peltier and V. Sofronie-Stokkermans, editors, *Automated Reasoning*, pages 58–78, Cham, 2020. Springer International Publishing.