

# Probabilistic Primality Testing

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## Abstract

The most efficient known primality tests are *probabilistic* in the sense that they use randomness and may, with some probability, mistakenly classify a composite number as prime – but never a prime number as composite. Examples of this are the Miller–Rabin test, the Solovay–Strassen test, and (in most cases) Fermat’s test.

This entry defines these three tests and proves their correctness. It also develops some of the number-theoretic foundations, such as Carmichael numbers and the Jacobi symbol with an efficient executable algorithm to compute it.

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# 1 Additional Facts about the Legendre Symbol

**theory** *Legendre-Symbol*

**imports**

*HOL-Number-Theory.Number-Theory*

**begin**

**lemma** *basic-cong[simp]*:

**fixes**  $p :: \text{int}$

**assumes**  $2 < p$

**shows**  $[-1 \neq 1] \pmod{p}$

$[1 \neq -1] \pmod{p}$

$[0 \neq 1] \pmod{p}$

$[1 \neq 0] \pmod{p}$

$[0 \neq -1] \pmod{p}$

$[-1 \neq 0] \pmod{p}$

$\langle \text{proof} \rangle$

**lemma** *[simp]*:  $0 < n \implies (a \bmod 2) \wedge^n = a \bmod 2$  **for**  $n :: \text{nat}$  **and**  $a :: \text{int}$

$\langle \text{proof} \rangle$

**lemma** *Legendre-in-cong-eq*:

**fixes**  $p :: \text{int}$

**assumes**  $p > 2$  **and**  $b \in \{-1, 0, 1\}$

**shows**  $[\text{Legendre } a \bmod p = b] \pmod{p} \longleftrightarrow \text{Legendre } a \bmod p = b$

$\langle \text{proof} \rangle$

**lemma** *Legendre-p-eq-2[simp]*:  $\text{Legendre } a \bmod 2 = a \bmod 2$

$\langle \text{proof} \rangle$

**lemma** *Legendre-p-eq-1[simp]*:  $\text{Legendre } a \bmod 1 = 0$   $\langle \text{proof} \rangle$

**lemma** *euler-criterion-int*:

**assumes** *prime*  $p$  **and**  $2 < p$

**shows**  $[\text{Legendre } a \bmod p = a^{\wedge((\text{nat } p - 1) \text{ div } 2)}] \pmod{p}$

$\langle \text{proof} \rangle$

**lemma** *QuadRes-neg[simp]*:  $\text{QuadRes } (-p) \ a = \text{QuadRes } p \ a$   $\langle \text{proof} \rangle$

**lemma** *Legendre-neg[simp]*:  $\text{Legendre } a \bmod (-p) = \text{Legendre } a \bmod p$   $\langle \text{proof} \rangle$

**lemma** *Legendre-mult[simp]*:

**assumes** *prime*  $p$

**shows**  $\text{Legendre } (a * b) \bmod p = \text{Legendre } a \bmod p * \text{Legendre } b \bmod p$

$\langle \text{proof} \rangle$

**lemma** *QuadRes-mod[simp]*:  $p \text{ dvd } n \implies \text{QuadRes } p \ (a \bmod n) = \text{QuadRes } p \ a$

$\langle \text{proof} \rangle$

**lemma** *Legendre-mod[simp]*:  $p \text{ dvd } n \implies \text{Legendre } (a \text{ mod } n) \text{ } p = \text{Legendre } a \text{ } p$   
 $\langle \text{proof} \rangle$

**lemma** *two-cong-0-iff*:  $[2 = 0] \text{ (mod } p) \longleftrightarrow p = 1 \vee p = 2$  **for**  $p :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *two-cong-0-iff-nat*:  $[2 = 0] \text{ (mod int } p) \longleftrightarrow p = 1 \vee p = 2$   
 $\langle \text{proof} \rangle$

**lemma** *two-cong-0-iff-int*:  $p > 0 \implies [2 = 0] \text{ (mod } p) \longleftrightarrow p = 1 \vee p = 2$  **for**  $p :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *QuadRes-2-2 [simp, intro]*:  $\text{QuadRes } 2 \text{ } 2$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-mod-eq[simp]*:  $[\text{Suc } a = \text{Suc } b] \text{ (mod } 2) = [a = b] \text{ (mod } 2)$   
 $\langle \text{proof} \rangle$

**lemma** *div-cancel-aux*:  $c \text{ dvd } a \implies (d + a * b) \text{ div } c = (d \text{ div } c) + a \text{ div } c * b$   
**for**  $a \text{ } b \text{ } c :: \text{nat}$   
 $\langle \text{proof} \rangle$

**corollary** *div-cancel-Suc*:  $c \text{ dvd } a \implies 1 < c \implies \text{Suc } (a * b) \text{ div } c = a \text{ div } c * b$   
 $\langle \text{proof} \rangle$

**lemma** *cong-aux-eq-1*:  $\text{odd } p \implies [(p - 1) \text{ div } 2 - p \text{ div } 4 = (p^2 - 1) \text{ div } 8]$   
 $\text{(mod } 2)$  **for**  $p :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-2-pow[intro]*:  $(-1 :: \text{int})^a = (-1)^b$  **if**  $[a = b] \text{ (mod } 2)$  **for**  $a \text{ } b :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *card-Int*:  $\text{card } (A \cap B) = \text{card } A - \text{card } (A - B)$  **if** *finite*  $A$   
 $\langle \text{proof} \rangle$

Proofs are inspired by [3].

**theorem** *supplement2-Legendre*:

**fixes**  $p :: \text{int}$   
**assumes**  $p > 2$  *prime*  $p$   
**shows**  $\text{Legendre } 2 \text{ } p = (-1)^{((\text{nat } p)^2 - 1) \text{ div } 8}$   
 $\langle \text{proof} \rangle$

**theorem** *supplement1-Legendre*:

*prime*  $p \implies 2 < p \implies \text{Legendre } (-1) \text{ } p = (-1)^{(p-1) \text{ div } 2}$   
 $\langle \text{proof} \rangle$

**lemma** *QuadRes-1-right [intro, simp]*:  $\text{QuadRes } p \text{ } 1$

*<proof>*

**lemma** *Legendre-1-left* [simp]:  $\text{prime } p \implies \text{Legendre } 1 \ p = 1$   
*<proof>*

**lemma** *cong-eq-0-not-coprime*:  $\text{prime } p \implies [a = 0] \ (\text{mod } p) \implies \neg \text{coprime } a \ p$  **for**  
 $a \ p :: \text{int}$   
*<proof>*

**lemma** *not-coprime-cong-eq-0*:  $\text{prime } p \implies \neg \text{coprime } a \ p \implies [a = 0] \ (\text{mod } p)$   
**for**  $a \ p :: \text{int}$   
*<proof>*

**lemma** *prime-cong-eq-0-iff*:  $\text{prime } p \implies [a = 0] \ (\text{mod } p) \longleftrightarrow \neg \text{coprime } a \ p$  **for**  
 $a \ p :: \text{int}$   
*<proof>*

**lemma** *Legendre-eq-0-iff* [simp]:  $\text{prime } p \implies \text{Legendre } a \ p = 0 \longleftrightarrow \neg \text{coprime } a \ p$   
*<proof>*

**lemma** *Legendre-prod-mset* [simp]:  $\text{prime } p \implies \text{Legendre } (\text{prod-mset } M) \ p =$   
 $(\prod_{q \in \#M. \text{Legendre } q \ p})$   
*<proof>*

**lemma** *Legendre-0-eq-0* [simp]:  $\text{Legendre } 0 \ p = 0$  *<proof>*

**lemma** *Legendre-values*:  $\text{Legendre } p \ q \in \{1, -1, 0\}$   
*<proof>*

**end**

## 2 Auxiliary Material

**theory** *Algebraic-Auxiliaries*

**imports**

*HOL-Algebra.Algebra*

*HOL-Computational-Algebra.Squarefree*

*HOL-Number-Theory.Number-Theory*

**begin**

**hide-const** (**open**) *Divisibility.prime*

**lemma** *sum-of-bool-eq-card*:

**assumes** *finite S*

**shows**  $(\sum a \in S. \text{of-bool } (P \ a)) = \text{real } (\text{card } \{a \in S . P \ a \})$

*<proof>*

**lemma** *mod-natE*:

**fixes**  $a \ n \ b :: \text{nat}$

**assumes**  $a \bmod n = b$   
**shows**  $\exists l. a = n * l + b$   
 $\langle proof \rangle$

**lemma** (*in group*) *r-coset-is-image*:  $H \#> a = (\lambda x. x \otimes a) \text{ ` } H$   
 $\langle proof \rangle$

**lemma** (*in group*) *FactGroup-order*:  
**assumes** *subgroup*  $H \ G$  *finite*  $H$   
**shows**  $order \ G = order \ (G \bmod H) * card \ H$   
 $\langle proof \rangle$

**corollary** (*in group*) *FactGroup-order-div*:  
**assumes** *subgroup*  $H \ G$  *finite*  $H$   
**shows**  $order \ (G \bmod H) = order \ G \div card \ H$   
 $\langle proof \rangle$

**lemma** *group-hom-imp-group-hom-image*:  
**assumes** *group-hom*  $G \ G \ h$   
**shows** *group-hom*  $G \ (G \setminus carrier := h \text{ ` } carrier \ G)) \ h$   
 $\langle proof \rangle$

**theorem** *homomorphism-thm*:  
**assumes** *group-hom*  $G \ G \ h$   
**shows**  $G \bmod kernel \ G \ (G \setminus carrier := h \text{ ` } carrier \ G)) \ h \cong G \setminus carrier := h \text{ ` } carrier \ G$   
 $\langle proof \rangle$

**lemma** *is-iso-imp-same-card*:  
**assumes**  $H \cong G$   
**shows**  $order \ H = order \ G$   
 $\langle proof \rangle$

**corollary** *homomorphism-thm-order*:  
**assumes** *group-hom*  $G \ G \ h$   
**shows**  $order \ (G \setminus carrier := h \text{ ` } carrier \ G)) * card \ (kernel \ G \ (G \setminus carrier := h \text{ ` } carrier \ G)) \ h) = order \ G$   
 $\langle proof \rangle$

**lemma** (*in group-hom*) *kernel-subset*:  $kernel \ G \ H \ h \subseteq carrier \ G$   
 $\langle proof \rangle$

**lemma** (*in group*) *proper-subgroup-imp-bound-on-card*:  
**assumes**  $H \subset carrier \ G$  *subgroup*  $H \ G$  *finite*  $(carrier \ G)$   
**shows**  $card \ H \leq order \ G \div 2$   
 $\langle proof \rangle$

**lemma** *cong-exp-trans[trans]*:  
 $[a \wedge b = c] \ (mod \ n) \implies [a = d] \ (mod \ n) \implies [d \wedge b = c] \ (mod \ n)$

$$[c = a \wedge b] \text{ (mod } n) \implies [a = d] \text{ (mod } n) \implies [c = d \wedge b] \text{ (mod } n) \\ \langle \text{proof} \rangle$$

**lemma** *cong-exp-mod[simp]*:  
 $[(a \text{ mod } n) \wedge b = c] \text{ (mod } n) \longleftrightarrow [a \wedge b = c] \text{ (mod } n)$   
 $[c = (a \text{ mod } n) \wedge b] \text{ (mod } n) \longleftrightarrow [c = a \wedge b] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-mod[simp]*:  
 $[(a \text{ mod } n) * b = c] \text{ (mod } n) \longleftrightarrow [a * b = c] \text{ (mod } n)$   
 $[a * (b \text{ mod } n) = c] \text{ (mod } n) \longleftrightarrow [a * b = c] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add-mod[simp]*:  
 $[(a \text{ mod } n) + b = c] \text{ (mod } n) \longleftrightarrow [a + b = c] \text{ (mod } n)$   
 $[a + (b \text{ mod } n) = c] \text{ (mod } n) \longleftrightarrow [a + b = c] \text{ (mod } n)$   
 $[\sum i \in A. f \ i \text{ mod } n = c] \text{ (mod } n) \longleftrightarrow [\sum i \in A. f \ i = c] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add-trans[trans]*:  
 $[a = b + x] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = b + y] \text{ (mod } n)$   
 $[a = x + b] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = y + b] \text{ (mod } n)$   
 $[b + x = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [b + y = a] \text{ (mod } n)$   
 $[x + b = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [y + b = a] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-trans[trans]*:  
 $[a = b * x] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = b * y] \text{ (mod } n)$   
 $[a = x * b] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = y * b] \text{ (mod } n)$   
 $[b * x = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [b * y = a] \text{ (mod } n)$   
 $[x * b = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [y * b = a] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-diff-trans[trans]*:  
 $[a = b - x] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = b - y] \text{ (mod } n)$   
 $[a = x - b] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [a = y - b] \text{ (mod } n)$   
 $[b - x = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [b - y = a] \text{ (mod } n)$   
 $[x - b = a] \text{ (mod } n) \implies [x = y] \text{ (mod } n) \implies [y - b = a] \text{ (mod } n)$   
**for**  $a :: 'a :: \{\text{unique-euclidean-semiring, euclidean-ring-cancel}\}$   
 $\langle \text{proof} \rangle$

**lemma** *eq-imp-eq-mod-int*:  $a = b \implies [a = b] \text{ (mod } m)$  **for**  $a \ b :: \text{int}$   $\langle \text{proof} \rangle$

**lemma** *eq-imp-eq-mod-nat*:  $a = b \implies [a = b] \text{ (mod } m)$  **for**  $a \ b :: \text{nat}$   $\langle \text{proof} \rangle$

**lemma** *cong-pow-I*:  $a = b \implies [x^a = x^b] \text{ (mod } n)$   $\langle \text{proof} \rangle$

**lemma** *gre1I*:  $(n = 0 \implies \text{False}) \implies (1 :: \text{nat}) \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *gre1I-nat*:  $(n = 0 \implies \text{False}) \implies (\text{Suc } 0 :: \text{nat}) \leq n$   
 ⟨proof⟩

**lemma** *totient-less-not-prime*:  
 assumes  $\neg \text{prime } n$   $1 < n$   
 shows  $\text{totient } n < n - 1$   
 ⟨proof⟩

**lemma** *power2-diff-nat*:  $x \geq y \implies (x - y)^2 = x^2 + y^2 - 2 * x * y$  **for**  $x \ y :: \text{nat}$   
 ⟨proof⟩

**lemma** *square-inequality*:  $1 < n \implies (n + n) \leq (n * n)$  **for**  $n :: \text{nat}$   
 ⟨proof⟩

**lemma** *square-one-cong-one*:  
 assumes  $[x = 1](\text{mod } n)$   
 shows  $[x^2 = 1](\text{mod } n)$   
 ⟨proof⟩

**lemma** *cong-square-alt-int*:  
 $\text{prime } p \implies [a * a = 1](\text{mod } p) \implies [a = 1](\text{mod } p) \vee [a = p - 1](\text{mod } p)$   
**for**  $a \ p :: 'a :: \{\text{normalization-semidom, linordered-idom, unique-euclidean-ring}\}$   
 ⟨proof⟩

**lemma** *cong-square-alt*:  
 $\text{prime } p \implies [a * a = 1](\text{mod } p) \implies [a = 1](\text{mod } p) \vee [a = p - 1](\text{mod } p)$   
**for**  $a \ p :: \text{nat}$   
 ⟨proof⟩

**lemma** *square-minus-one-cong-one*:  
 fixes  $n \ x :: \text{nat}$   
 assumes  $1 < n$   $[x = n - 1](\text{mod } n)$   
 shows  $[x^2 = 1](\text{mod } n)$   
 ⟨proof⟩

**lemma** *odd-prime-gt-2-int*:  
 $2 < p$  **if**  $\text{odd } p$   $\text{prime } p$  **for**  $p :: \text{int}$   
 ⟨proof⟩

**lemma** *odd-prime-gt-2-nat*:  
 $2 < p$  **if**  $\text{odd } p$   $\text{prime } p$  **for**  $p :: \text{nat}$   
 ⟨proof⟩

**lemma** *gt-one-imp-gt-one-power-if-coprime*:  
 $1 \leq x \implies 1 < n \implies \text{coprime } x \ n \implies 1 \leq x^{(n - 1)} \text{ mod } n$   
 ⟨proof⟩

**lemma** *residue-one-dvd*:  $a \text{ mod } n = 1 \implies n \text{ dvd } a - 1$  **for**  $a \ n :: \text{nat}$   
 ⟨proof⟩

**lemma** *coprimeI-power-mod*:

**fixes**  $x\ r\ n :: \text{nat}$   
**assumes**  $x \wedge^r \text{mod } n = 1\ r \neq 0\ n \neq 0$   
**shows** *coprime*  $x\ n$   
 $\langle \text{proof} \rangle$

**lemma** *prime-dvd-choose*:

**assumes**  $0 < k\ k < p\ \text{prime } p$   
**shows**  $p\ \text{dvd } (p\ \text{choose } k)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-eq-0-I*:  $(\forall i \in A. [f\ i\ \text{mod } n = 0] \ (\text{mod } n)) \implies [\sum i \in A. f\ i = 0] \ (\text{mod } n)$

$\langle \text{proof} \rangle$

**lemma** *power-mult-cong*:

**assumes**  $[x \wedge^n = a] \ (\text{mod } m)\ [y \wedge^n = b] \ (\text{mod } m)$   
**shows**  $[(x * y) \wedge^n = a * b] \ (\text{mod } m)$   
 $\langle \text{proof} \rangle$

**lemma**

**fixes**  $n :: \text{nat}$   
**assumes**  $n > 1$   
**shows** *odd-pow-cong*:  $\text{odd } m \implies [(n - 1) \wedge^m = n - 1] \ (\text{mod } n)$   
**and** *even-pow-cong*:  $\text{even } m \implies [(n - 1) \wedge^m = 1] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-uneq'*:

**fixes**  $a :: 'a :: \{\text{unique-euclidean-ring}, \text{ring-gcd}\}$   
**assumes** *coprime*  $d\ a$   
**shows**  $[b \neq c] \ (\text{mod } a) \implies [d = e] \ (\text{mod } a) \implies [b * d \neq c * e] \ (\text{mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *p-coprime-right-nat*:  $\text{prime } p \implies \text{coprime } a\ p = (\neg p\ \text{dvd } a)$  **for**  $p\ a :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *squarefree-mult-imp-coprime*:

**assumes** *squarefree*  $(a * b :: 'a :: \text{semiring-gcd})$   
**shows** *coprime*  $a\ b$   
 $\langle \text{proof} \rangle$

**lemma** *prime-divisor-exists-strong*:

**fixes**  $m :: \text{int}$   
**assumes**  $m > 1\ \neg \text{prime } m$   
**shows**  $\exists n\ k. m = n * k \wedge 1 < n \wedge n < m \wedge 1 < k \wedge k < m$

$\langle proof \rangle$

**lemma** *prime-divisor-exists-strong-nat*:

**fixes**  $m :: nat$

**assumes**  $1 < m \neg prime\ m$

**shows**  $\exists p\ k. m = p * k \wedge 1 < p \wedge p < m \wedge 1 < k \wedge k < m \wedge prime\ p$

$\langle proof \rangle$

**lemma** *prime-factorization-eqI*:

**assumes**  $\bigwedge p. p \in \# P \implies prime\ p\ prod\ mset\ P = n$

**shows**  $prime\text{-factorization}\ n = P$

$\langle proof \rangle$

**lemma** *prime-factorization-prime-elem*:

**assumes**  $prime\text{-elem}\ p$

**shows**  $prime\text{-factorization}\ p = \{\# normalize\ p\ \#\}$

$\langle proof \rangle$

**lemma** *size-prime-factorization-eq-Suc-0-iff* [simp]:

**fixes**  $n :: 'a :: factorial\text{-semiring-multiplicative}$

**shows**  $size\ (prime\text{-factorization}\ n) = Suc\ 0 \longleftrightarrow prime\text{-elem}\ n$

$\langle proof \rangle$

**lemma** *squarefree-prime-elem* [simp, intro]:

**fixes**  $p :: 'a :: algebraic\text{-semidom}$

**assumes**  $prime\text{-elem}\ p$

**shows**  $squarefree\ p$

$\langle proof \rangle$

**lemma** *squarefree-prime* [simp, intro]:  $prime\ p \implies squarefree\ p$

$\langle proof \rangle$

**lemma** *not-squarefree-primelow*:

**assumes**  $primelow\ n$

**shows**  $squarefree\ n \longleftrightarrow prime\ n$

$\langle proof \rangle$

**lemma** *prime-factorization-normalize* [simp]:

$prime\text{-factorization}\ (normalize\ n) = prime\text{-factorization}\ n$

$\langle proof \rangle$

**lemma** *one-prime-factor-iff-primelow*:

**fixes**  $n :: 'a :: factorial\text{-semiring-multiplicative}$

**shows**  $card\ (prime\text{-factors}\ n) = Suc\ 0 \longleftrightarrow primelow\ (normalize\ n)$

$\langle proof \rangle$

**lemma** *squarefree-imp-prod-prime-factors-eq*:  
**fixes**  $x :: 'a :: \text{factorial-semiring-multiplicative}$   
**assumes** *squarefree*  $x$   
**shows**  $\prod (\text{prime-factors } x) = \text{normalize } x$   
 $\langle \text{proof} \rangle$

**end**

### 3 The Jacobi Symbol

**theory** *Jacobi-Symbol*  
**imports**  
*Legendre-Symbol*  
*Algebraic-Auxiliaries*  
**begin**

The Jacobi symbol is a generalisation of the Legendre symbol to non-primes [4, 2]. It is defined as

$$\left(\frac{a}{n}\right) = \left(\frac{a}{p_1}\right)^{k_1} \cdots \left(\frac{a}{p_l}\right)^{k_l}$$

where  $\left(\frac{a}{p}\right)$  denotes the Legendre symbol,  $a$  is an integer,  $n$  is an odd natural number and  $p_1^{k_1} \cdots p_l^{k_l}$  is its prime factorisation.

There is, however, a fairly natural generalisation to all non-zero integers for  $n$ . It is less clear what a good choice for  $n = 0$  is; Mathematica and Maxima adopt the convention that  $\left(\frac{\pm 1}{0}\right) = 1$  and  $\left(\frac{a}{0}\right) = 0$  otherwise. However, we chose the slightly different convention  $\left(\frac{a}{0}\right) = 0$  for *all*  $a$  because then the Jacobi symbol is completely multiplicative in both arguments without any restrictions.

**definition** *Jacobi* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$  **where**  
*Jacobi*  $a$   $n = (\text{if } n = 0 \text{ then } 0 \text{ else}$   
 $(\prod_{p \in \# \text{prime-factorization } n. \text{Legendre } a \text{ } p}))$

**lemma** *Jacobi-0-right* [simp]: *Jacobi*  $a$   $0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Jacobi-mult-left* [simp]: *Jacobi*  $(a * b)$   $n = \text{Jacobi } a$   $n * \text{Jacobi } b$   $n$   
 $\langle \text{proof} \rangle$

**lemma** *Jacobi-mult-right* [simp]: *Jacobi*  $a$   $(n * m) = \text{Jacobi } a$   $n * \text{Jacobi } a$   $m$   
 $\langle \text{proof} \rangle$

**lemma** *prime-p-Jacobi-eq-Legendre*[intro!]: *prime*  $p \implies \text{Jacobi } a$   $p = \text{Legendre } a$   $p$   
 $\langle \text{proof} \rangle$

**lemma** *Jacobi-mod* [simp]:  $\text{Jacobi } (a \bmod m) n = \text{Jacobi } a n$  **if**  $n \text{ dvd } m$   
 <proof>

**lemma** *Jacobi-mod-cong*:  $[a = b] \pmod n \implies \text{Jacobi } a n = \text{Jacobi } b n$   
 <proof>

**lemma** *Jacobi-1-eq-1* [simp]:  $p \neq 0 \implies \text{Jacobi } 1 p = 1$   
 <proof>

**lemma** *Jacobi-eq-0-not-coprime*:  
 assumes  $n \neq 0 \neg \text{coprime } a n$   
 shows  $\text{Jacobi } a n = 0$   
 <proof>

**lemma** *Jacobi-p-eq-2* [simp]:  $n > 0 \implies \text{Jacobi } a (2^n) = a \bmod 2$   
 <proof>

**lemma** *Jacobi-prod-mset* [simp]:  $n \neq 0 \implies \text{Jacobi } (\text{prod-mset } M) n = (\prod_{q \in \#M} \text{Jacobi } q n)$   
 <proof>

**lemma** *non-trivial-coprime-neq*:  
 $1 < a \implies 1 < b \implies \text{coprime } a b \implies a \neq b$  **for**  $a b :: \text{int}$  <proof>

**lemma** *odd-odd-even*:  
 fixes  $a b :: \text{int}$   
 assumes  $\text{odd } a \text{ odd } b$   
 shows  $\text{even } ((a*b-1) \text{ div } 2) = \text{even } ((a-1) \text{ div } 2 + (b-1) \text{ div } 2)$   
 <proof>

**lemma** *prime-nonprime-wlog* [case-names primes nonprime sym]:  
 assumes  $\bigwedge p q. \text{prime } p \implies \text{prime } q \implies P p q$   
 assumes  $\bigwedge p q. \neg \text{prime } p \implies P p q$   
 assumes  $\bigwedge p q. P p q \implies P q p$   
 shows  $P p q$   
 <proof>

**lemma** *Quadratic-Reciprocity-Jacobi*:  
 fixes  $p q :: \text{int}$   
 assumes  $\text{coprime } p q$   
 and  $2 < p \ 2 < q$   
 and  $\text{odd } p \text{ odd } q$   
 shows  $\text{Jacobi } p q * \text{Jacobi } q p = (-1)^{\wedge (\text{nat } ((p-1) \text{ div } 2 * ((q-1) \text{ div } 2)))}$   
 <proof>

**lemma** *Jacobi-values*:  $\text{Jacobi } p q \in \{1, -1, 0\}$   
 <proof>

**lemma** *Quadratic-Reciprocity-Jacobi'*:  
**fixes**  $p\ q :: \text{int}$   
**assumes** *coprime*  $p\ q$   
**and**  $2 < p\ 2 < q$   
**and** *odd*  $p\ \text{odd}\ q$   
**shows**  $\text{Jacobi}\ q\ p = (\text{if } p \bmod 4 = 3 \wedge q \bmod 4 = 3 \text{ then } -1 \text{ else } 1) * \text{Jacobi}\ p\ q$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-odd-square*:  $8 \text{ dvd } a^2 - 1$  **if** *odd*  $a$  **for**  $a :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *odd-odd-even'*:  
**fixes**  $a\ b :: \text{int}$   
**assumes** *odd*  $a\ \text{odd}\ b$   
**shows**  $\text{even } (((a * b)^2 - 1) \text{ div } 8) \longleftrightarrow \text{even } (((a^2 - 1) \text{ div } 8) + ((b^2 - 1) \text{ div } 8))$   
 $\langle \text{proof} \rangle$

**lemma** *odd-odd-even-nat'*:  
**fixes**  $a\ b :: \text{nat}$   
**assumes** *odd*  $a\ \text{odd}\ b$   
**shows**  $\text{even } (((a * b)^2 - 1) \text{ div } 8) \longleftrightarrow \text{even } (((a^2 - 1) \text{ div } 8) + ((b^2 - 1) \text{ div } 8))$   
 $\langle \text{proof} \rangle$

**lemma** *supplement2-Jacobi*:  $\text{odd } p \implies p > 1 \implies \text{Jacobi}\ 2\ p = (-1)^{((\text{nat } p)^2 - 1) \text{ div } 8}$   
 $\langle \text{proof} \rangle$

**lemma** *mod-nat-wlog* [*consumes 1, case-names modulo*]:  
**fixes**  $P :: \text{nat} \Rightarrow \text{bool}$   
**assumes**  $b > 0$   
**assumes**  $\bigwedge k. k \in \{0..<b\} \implies n \bmod b = k \implies P\ n$   
**shows**  $P\ n$   
 $\langle \text{proof} \rangle$

**lemma** *mod-int-wlog* [*consumes 1, case-names modulo*]:  
**fixes**  $P :: \text{int} \Rightarrow \text{bool}$   
**assumes**  $b > 0$   
**assumes**  $\bigwedge k. 0 \leq k \implies k < b \implies n \bmod b = k \implies P\ n$   
**shows**  $P\ n$   
 $\langle \text{proof} \rangle$

**lemma** *supplement2-Jacobi'*:  
**assumes** *odd*  $p$  **and**  $p > 1$   
**shows**  $\text{Jacobi}\ 2\ p = (\text{if } p \bmod 8 = 1 \vee p \bmod 8 = 7 \text{ then } 1 \text{ else } -1)$   
 $\langle \text{proof} \rangle$

**theorem** *supplement1-Jacobi*:

$odd\ p \implies 1 < p \implies Jacobi\ (-1)\ p = (-1)^{\wedge(nat\ ((p - 1)\ div\ 2))}$   
 $\langle proof \rangle$

**theorem** *supplement1-Jacobi'*:

$odd\ n \implies 1 < n \implies Jacobi\ (-1)\ n = (if\ n\ mod\ 4 = 1\ then\ 1\ else\ -1)$   
 $\langle proof \rangle$

**lemma** *Jacobi-0-eq-0*:  $\neg is-unit\ n \implies Jacobi\ 0\ n = 0$

$\langle proof \rangle$

**lemma** *is-unit-Jacobi-aux*:  $is-unit\ x \implies Jacobi\ a\ x = 1$

$\langle proof \rangle$

**lemma** *is-unit-Jacobi[simp]*:  $Jacobi\ a\ 1 = 1\ Jacobi\ a\ (-1) = 1$

$\langle proof \rangle$

**lemma** *Jacobi-neg-right [simp]*:

$Jacobi\ a\ (-n) = Jacobi\ a\ n$

$\langle proof \rangle$

**lemma** *Jacobi-neg-left*:

**assumes**  $odd\ n\ 1 < n$

**shows**  $Jacobi\ (-a)\ n = (if\ n\ mod\ 4 = 1\ then\ 1\ else\ -1) * Jacobi\ a\ n$

$\langle proof \rangle$

**function** *jacobi-code* ::  $int \Rightarrow int \Rightarrow int$  **where**

*jacobi-code*  $a\ n = ($

$\quad if\ n = 0\ then\ 0$

$\quad else\ if\ n = 1\ then\ 1$

$\quad else\ if\ a = 1\ then\ 1$

$\quad else\ if\ n < 0\ then\ jacobi-code\ a\ (-n)$

$\quad else\ if\ even\ n\ then\ if\ even\ a\ then\ 0\ else\ jacobi-code\ a\ (n\ div\ 2)$

$\quad else\ if\ a < 0\ then\ (if\ n\ mod\ 4 = 1\ then\ 1\ else\ -1) * jacobi-code\ (-a)\ n$

$\quad else\ if\ a = 0\ then\ 0$

$\quad else\ if\ a \geq n\ then\ jacobi-code\ (a\ mod\ n)\ n$

$\quad else\ if\ even\ a\ \quad then\ (if\ n\ mod\ 8 \in \{1, 7\}\ then\ 1\ else\ -1) * jacobi-code\ (a\ div\ 2)\ n$

$\quad else\ if\ coprime\ a\ n\ then\ (if\ n\ mod\ 4 = 3 \wedge a\ mod\ 4 = 3\ then\ -1\ else\ 1) * jacobi-code\ n\ a$

$\quad else\ 0)$

$\langle proof \rangle$

**termination**

$\langle proof \rangle$

**lemmas**  $[simp\ del] = jacobi-code.simps$

**lemma** *Jacobi-code [code]*:  $Jacobi\ a\ n = jacobi-code\ a\ n$

*<proof>*

**lemma** *Jacobi-eq-0-imp-not-coprime:*

**assumes**  $p \neq 0$   $p \neq 1$

**shows**  $\text{Jacobi } n \ p = 0 \implies \neg \text{coprime } n \ p$

*<proof>*

**lemma** *Jacobi-eq-0-iff-not-coprime:*

**assumes**  $p \neq 0$   $p \neq 1$

**shows**  $\text{Jacobi } n \ p = 0 \iff \neg \text{coprime } n \ p$

*<proof>*

**end**

## 4 Residue Rings of Natural Numbers

**theory** *Residues-Nat*

**imports** *Algebraic-Auxiliaries*

**begin**

### 4.1 The multiplicative group of residues modulo $n$

**definition** *Residues-Mult* ::  $'a :: \{\text{linordered-semidom}, \text{euclidean-semiring}\} \Rightarrow 'a$   
*monoid where*

*Residues-Mult*  $p =$

$(\text{carrier} = \{x \in \{1..p\} \mid \text{coprime } x \ p\}, \text{monoid.mult} = \lambda x \ y. x * y \bmod p, \text{one} = 1)$

**locale** *residues-mult-nat* =

**fixes**  $n :: \text{nat}$  **and**  $G$

**assumes**  $n\text{-gt-1}: n > 1$

**defines**  $G \equiv \text{Residues-Mult } n$

**begin**

**lemma** *carrier-eq* [simp]:  $\text{carrier } G = \text{totatives } n$

**and** *mult-eq* [simp]:  $(x \otimes_G y) = (x * y) \bmod n$

**and** *one-eq* [simp]:  $1_G = 1$

*<proof>*

**lemma** *mult-eq'*:  $(\otimes_G) = (\lambda x \ y. (x * y) \bmod n)$

*<proof>*

**sublocale** *group*  $G$

*<proof>*

**sublocale** *comm-group*

*<proof>*

**lemma** *nat-pow-eq* [simp]:  $x [\frown]_G (k :: \text{nat}) = (x \wedge^k) \bmod n$

$\langle \text{proof} \rangle$

**lemma** *nat-pow-eq'*:  $([\wedge]_G) = (\lambda x k. (x \wedge k) \bmod n)$   
 $\langle \text{proof} \rangle$

**lemma** *order-eq*:  $\text{order } G = \text{totient } n$   
 $\langle \text{proof} \rangle$

**lemma** *order-less*:  $\neg \text{prime } n \implies \text{order } G < n - 1$   
 $\langle \text{proof} \rangle$

**lemma** *ord-residue-mult-group*:  
 assumes  $a \in \text{totatives } n$   
 shows  $\text{local.ord } a = \text{Pocklington.ord } n a$   
 $\langle \text{proof} \rangle$

**end**

## 4.2 The ring of residues modulo $n$

**definition** *Residues-nat* ::  $\text{nat} \Rightarrow \text{nat ring}$  **where**

$\text{Residues-nat } m = (\text{carrier} = \{0..<m\}, \text{monoid.mult} = \lambda x y. (x * y) \bmod m, \text{one}$   
 $= 1,$   
 $\text{ring.zero} = 0, \text{add} = \lambda x y. (x + y) \bmod m)$

**locale** *residues-nat* =  
 fixes  $n :: \text{nat}$  **and**  $R$   
 assumes  $n\text{-gt-1}$ :  $n > 1$   
 defines  $R \equiv \text{Residues-nat } n$   
**begin**

**lemma** *carrier-eq* [simp]:  $\text{carrier } R = \{0..<n\}$   
**and** *mult-eq* [simp]:  $x \otimes_R y = (x * y) \bmod n$   
**and** *add-eq* [simp]:  $x \oplus_R y = (x + y) \bmod n$   
**and** *one-eq* [simp]:  $\mathbf{1}_R = 1$   
**and** *zero-eq* [simp]:  $\mathbf{0}_R = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mult-eq'*:  $(\otimes_R) = (\lambda x y. (x * y) \bmod n)$   
**and** *add-eq'*:  $(\oplus_R) = (\lambda x y. (x + y) \bmod n)$   
 $\langle \text{proof} \rangle$

**sublocale** *abelian-group*  $R$   
 $\langle \text{proof} \rangle$

**sublocale** *comm-monoid*  $R$   
 $\langle \text{proof} \rangle$

**sublocale** *cring*  $R$

*<proof>*

**lemma** *Units-eq*:  $Units\ R = totatives\ n$   
*<proof>*

**sublocale** *units: residues-mult-nat n units-of R*  
*<proof>*

**lemma** *nat-pow-eq* [simp]:  $x \ [\frown]_R\ (k :: nat) = (x \wedge k) \bmod n$   
*<proof>*

**lemma** *nat-pow-eq'*:  $([\frown]_R) = (\lambda x\ k. (x \wedge k) \bmod n)$   
*<proof>*

**end**

### 4.3 The ring of residues modulo a prime

**locale** *residues-nat-prime* =  
  **fixes**  $p :: nat$  **and**  $R$   
  **assumes** *prime-p*: *prime*  $p$   
  **defines**  $R \equiv Residues\text{-}nat\ p$   
**begin**

**sublocale** *residues-nat p R*  
*<proof>*

**lemma** *carrier-eq'* [simp]:  $totatives\ p = \{0 < .. < p\}$   
*<proof>*

**lemma** *order-eq*:  $order\ (units\text{-}of\ R) = p - 1$   
*<proof>*

**lemma** *order-eq'* [simp]:  $totient\ p = p - 1$   
*<proof>*

**sublocale** *field R*  
*<proof>*

**lemma** *residues-prime-cyclic*:  $\exists x \in \{0 < .. < p\}. \{0 < .. < p\} = \{y. \exists i. y = x \wedge i \bmod p\}$   
*<proof>*

**lemma** *residues-prime-cyclic'*:  $\exists x \in \{0 < .. < p\}. units.ord\ x = p - 1$   
*<proof>*

**end**

#### 4.4 $-1$ in residue rings

**lemma** *minus-one-cong-solve-weak*:

**fixes**  $n\ x :: \text{nat}$   
**assumes**  $1 < n\ x \in \text{totatives } n\ y \in \text{totatives } n$   
**and**  $[x = n - 1] \pmod n\ [x * y = 1] \pmod n$   
**shows**  $y = n - 1$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-imp-mod-not-zero*:

**fixes**  $n\ x :: \text{nat}$   
**assumes**  $1 < n\ \text{coprime } x\ n$   
**shows**  $0 < x \bmod n$   
 $\langle \text{proof} \rangle$

**lemma** *minus-one-cong-solve*:

**fixes**  $n\ x :: \text{nat}$   
**assumes**  $1 < n$   
**and**  $\text{eq}: [x = n - 1] \pmod n\ [x * y = 1] \pmod n$   
**and**  $\text{coprime}: \text{coprime } x\ n\ \text{coprime } y\ n$   
**shows**  $[y = n - 1] \pmod n$   
 $\langle \text{proof} \rangle$

**corollary** *square-minus-one-cong-one'*:

**fixes**  $n\ x :: \text{nat}$   
**assumes**  $1 < n$   
**shows**  $[(n - 1) * (n - 1) = 1] \pmod n$   
 $\langle \text{proof} \rangle$

**end**

## 5 Additional Material on Quadratic Residues

**theory** *QuadRes*

**imports**

*Jacobi-Symbol*

*Algebraic-Auxiliaries*

**begin**

Proofs are inspired by [5].

**lemma** *inj-on-QuadRes*:

**fixes**  $p :: \text{int}$   
**assumes**  $\text{prime } p$   
**shows**  $\text{inj-on } (\lambda x. x^2 \bmod p)\ \{0..(p-1)\ \text{div } 2\}$   
 $\langle \text{proof} \rangle$

**lemma** *QuadRes-set-prime*:

**assumes**  $\text{prime } p$  **and**  $\text{odd } p$   
**shows**  $\{x . \text{QuadRes } p\ x \wedge x \in \{0..<p\}\} = \{x^2 \bmod p \mid x . x \in \{0..(p-1)\ \text{div } 2\}\}$

2}}  
 <proof>

**corollary** *QuadRes-iff*:

assumes *prime p and odd p*  
 shows  $(\text{QuadRes } p \ x \wedge x \in \{0..<p\}) \longleftrightarrow (\exists \ a \in \{0..(p-1) \text{ div } 2\}. \ a^2 \bmod p = x)$   
 <proof>

**corollary** *card-QuadRes-set-prime*:

fixes  $p :: \text{int}$   
 assumes *prime p and odd p*  
 shows  $\text{card } \{x. \text{QuadRes } p \ x \wedge x \in \{0..<p\}\} = \text{nat } (p+1) \text{ div } 2$   
 <proof>

**corollary** *card-not-QuadRes-set-prime*:

fixes  $p :: \text{int}$   
 assumes *prime p and odd p*  
 shows  $\text{card } \{x. \neg \text{QuadRes } p \ x \wedge x \in \{0..<p\}\} = \text{nat } (p-1) \text{ div } 2$   
 <proof>

**lemma** *not-QuadRes-ex-if-prime*:

assumes *prime p and odd p*  
 shows  $\exists \ x. \neg \text{QuadRes } p \ x$   
 <proof>

**lemma** *not-QuadRes-ex*:

$1 < p \implies \text{odd } p \implies \exists \ x. \neg \text{QuadRes } p \ x$   
 <proof>

**end**

## 6 Euler Witnesses

**theory** *Euler-Witness*

**imports**

*Jacobi-Symbol*

*Residues-Nat*

*QuadRes*

**begin**

Proofs are inspired by [13, 8, 15, 11].

**definition** *euler-witness*  $a \ p \longleftrightarrow [\text{Jacobi } a \ p \neq a^{\wedge((p-1) \text{ div } 2)}] \bmod p$

**abbreviation** *euler-liar*  $a \ p \equiv \neg \text{euler-witness } a \ p$

**lemma** *euler-witness-mod[simp]*:  $\text{euler-witness } (a \bmod p) \ p = \text{euler-witness } a \ p$   
 <proof>

**lemma** *euler-liar-mod*:  $\text{euler-liar } (a \bmod p) \ p = \text{euler-liar } a \ p$  <proof>

**lemma** *euler-liar-cong*:  
**assumes**  $[a = b] \pmod{p}$   
**shows**  $\text{euler-liar } a \ p = \text{euler-liar } b \ p$   
 $\langle \text{proof} \rangle$

**lemma** *euler-witnessI*:  
 $[x \wedge ((n - 1) \text{ div } 2) = a] \pmod{\text{int } n} \implies [\text{Jacobi } x \ (\text{int } n) \neq a] \pmod{\text{int } n}$   
 $\implies \text{euler-witness } x \ n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-witnessI2*:  
**fixes**  $a \ b :: \text{int}$  **and**  $k :: \text{nat}$   
**assumes**  $[a \neq b] \pmod{k}$   
**and**  $k \text{ dvd } n$   
**and** *main*:  $\text{euler-liar } x \ n \implies [\text{Jacobi } x \ n = a] \pmod{k}$   
 $\text{euler-liar } x \ n \implies [x \wedge ((n - 1) \text{ div } 2) = b] \pmod{k}$   
**shows**  $\text{euler-witness } x \ n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-witness-exists-weak*:  
**assumes**  $\text{odd } n \neg \text{prime } n \ 2 < n$   
**shows**  $\exists a. \text{euler-witness } a \ n \wedge \text{coprime } a \ n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-witness-exists*:  
**assumes**  $\text{odd } n \neg \text{prime } n \ 2 < n$   
**shows**  $\exists a. \text{euler-witness } a \ n \wedge \text{coprime } a \ n \wedge 0 < a \wedge a < n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-witness-exists-nat*:  
**assumes**  $\text{odd } n \neg \text{prime } n \ 2 < n$   
**shows**  $\exists a. \text{euler-witness } (\text{int } a) \ n \wedge \text{coprime } a \ n \wedge 0 < a \wedge a < n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-liar-1-p*[*intro, simp*]:  $p \neq 0 \implies \text{euler-liar } 1 \ p$   
 $\langle \text{proof} \rangle$

**lemma** *euler-liar-mult*:  
**shows**  $\text{euler-liar } y \ n \implies \text{euler-liar } x \ n \implies \text{euler-liar } (x*y) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *euler-liar-mult'*:  
**assumes**  $1 < n \text{ coprime } y \ n$   
**shows**  $\text{euler-liar } y \ n \implies \text{euler-witness } x \ n \implies \text{euler-witness } (x*y) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *prime-imp-euler-liar*:  
**assumes**  $\text{prime } p \ 2 < p \ 0 < x \ x < p$

**shows** *euler-liar*  $x\ p$   
 $\langle proof \rangle$

**locale** *euler-witness-context* =  
**fixes**  $p :: nat$   
**assumes**  $p\text{-gt-1}$ :  $p > 1$  **and**  $odd\text{-}p$ :  $odd\ p$   
**begin**

**definition**  $G$  **where**  $G = Residues\text{-}Mult\ p$

**sublocale** *residues-mult-nat*  $p\ G$   
 $\langle proof \rangle$

**definition**  $H = \{x. coprime\ x\ p \wedge euler\text{-}liar\ (int\ x)\ p \wedge x \in \{1..<p\}\}$

**sublocale**  $H$ : *subgroup*  $H\ G$   
 $\langle proof \rangle$

**lemma** *H-finite*: *finite*  $H$   
 $\langle proof \rangle$

**lemma** *euler-witness-coset*:  
**assumes** *euler-witness*  $x\ p$   
**shows**  $y \in H \ \#>_G\ x \implies euler\text{-}witness\ y\ p$   
 $\langle proof \rangle$

**lemma** *euler-liar-coset*:  
**assumes** *euler-liar*  $x\ p\ x \in carrier\ G$   
**shows**  $y \in H \ \#>_G\ x \implies euler\text{-}liar\ y\ p$   
 $\langle proof \rangle$

**lemma** *in-cosets-aux*:  
**assumes** *euler-witness*  $x\ p\ x \in carrier\ G$   
**shows**  $H \ \#>_G\ x \in rcosets_G\ H$   
 $\langle proof \rangle$

**lemma** *H-cosets-aux*:  
**assumes** *euler-witness*  $x\ p$   
**shows**  $(H \ \#>_G\ x) \cap H = \{\}$   
 $\langle proof \rangle$

**lemma** *H-rcosets-aux*:  
**assumes** *euler-witness*  $x\ p\ x \in carrier\ G$   
**shows**  $\{H, H \ \#>_G\ x\} \subseteq rcosets_G\ H$   
 $\langle proof \rangle$

**lemma** *H-not-eq-coset*:  
**assumes** *euler-witness*  $x\ p$   
**shows**  $H \neq H \ \#>_G\ x$

```

    <proof>

lemma finite-cosets-H: finite (rcosetsG H)
  <proof>

lemma card-cosets-limit:
  assumes euler-witness x p x ∈ carrier G
  shows  $2 \leq \text{card } (\text{rcosets}_G H)$ 
  <proof>

lemma card-euler-liars-cosets-limit:
  assumes  $\neg \text{prime } p$   $2 < p$ 
  shows  $2 \leq \text{card } (\text{rcosets}_G H)$   $\text{card } H < (p - 1) \text{ div } 2$ 
  <proof>

lemma prime-imp-G-is-H:
  assumes prime p  $2 < p$ 
  shows carrier G = H
  <proof>

end

end

```

## 7 Carmichael Numbers

```

theory Carmichael-Numbers
imports
  Residues-Nat
begin

```

A Carmichael number is a composite number  $n$  that Fermat's test incorrectly labels as primes no matter which witness  $a$  is chosen (except in the case that  $a$  shares a factor with  $n$ ). [9, 14]

```

definition Carmichael-number :: nat  $\Rightarrow$  bool where
  Carmichael-number  $n \longleftrightarrow n > 1 \wedge \neg \text{prime } n \wedge (\forall a. \text{coprime } a \ n \longrightarrow [a \wedge (n - 1) = 1] \pmod n)$ 

```

```

lemma Carmichael-number-0[simp, intro]:  $\neg \text{Carmichael-number } 0$ 
  <proof>

```

```

lemma Carmichael-number-1[simp, intro]:  $\neg \text{Carmichael-number } 1$ 
  <proof>

```

```

lemma Carmichael-number-Suc-0[simp, intro]:  $\neg \text{Carmichael-number } (\text{Suc } 0)$ 
  <proof>

```

```

lemma Carmichael-number-not-prime: Carmichael-number  $n \implies \neg \text{prime } n$ 

```

*<proof>*

**lemma** *Carmichael-number-gt-3*: *Carmichael-number*  $n \implies n > 3$   
*<proof>*

The proofs are inspired by [9, 12].

**lemma** *Carmichael-number-imp-squarefree-aux*:  
  **assumes** *Carmichael-number*  $n$   
  **assumes**  $n: n = p^r * l$  **and** *prime*  $p \neg p \text{ dvd } l$   
  **assumes**  $r > 1$   
  **shows** *False*  
*<proof>*

**theorem** *Carmichael-number-imp-squarefree*:  
  **assumes** *Carmichael-number*  $n$   
  **shows** *squarefree*  $n$   
*<proof>*

**corollary** *Carmichael-not-primelow*:  
  **assumes** *Carmichael-number*  $n$   
  **shows**  $\neg \text{primelow } n$   
*<proof>*

**lemma** *Carmichael-number-imp-squarefree-alt-weak*:  
  **assumes** *Carmichael-number*  $n$   
  **shows**  $\exists p \ l. (n = p * l) \wedge \text{prime } p \wedge \neg p \text{ dvd } l$   
*<proof>*

**theorem** *Carmichael-number-odd*:  
  **assumes** *Carmichael-number*  $n$   
  **shows** *odd*  $n$   
*<proof>*

**lemma** *Carmichael-number-imp-squarefree-alt*:  
  **assumes** *Carmichael-number*  $n$   
  **shows**  $\exists p \ l. (n = p * l) \wedge \text{prime } p \wedge \neg p \text{ dvd } l \wedge 2 < l$   
*<proof>*

**lemma** *Carmichael-number-imp-dvd*:  
  **fixes**  $n :: \text{nat}$   
  **assumes** *Carmichael-number*: *Carmichael-number*  $n$  **and** *prime*  $p \ p \text{ dvd } n$   
  **shows**  $p - 1 \text{ dvd } n - 1$   
*<proof>*

The following lemma is also called Korselt's criterion.

**lemma** *Carmichael-numberI*:  
  **fixes**  $n :: \text{nat}$   
  **assumes**  $\neg \text{prime } n \text{ squarefree } n \ 1 < n$  **and**  
     $\text{DIV: } \bigwedge p. p \in \text{prime-factors } n \implies p - 1 \text{ dvd } n - 1$

**shows** *Carmichael-number*  $n$   
 $\langle \text{proof} \rangle$

**theorem** *Carmichael-number-iff*:

*Carmichael-number*  $n \longleftrightarrow$   
 $n \neq 1 \wedge \neg \text{prime } n \wedge \text{squarefree } n \wedge (\forall p \in \text{prime-factors } n. p - 1 \text{ dvd } n - 1)$   
 $\langle \text{proof} \rangle$

Every Carmichael number has at least three distinct prime factors.

**theorem** *Carmichael-number-card-prime-factors*:

**assumes** *Carmichael-number*  $n$   
**shows**  $\text{card } (\text{prime-factors } n) \geq 3$   
 $\langle \text{proof} \rangle$

**lemma** *Carmichael-number-iff'*:

**fixes**  $n :: \text{nat}$   
**defines**  $P \equiv \text{prime-factorization } n$   
**shows** *Carmichael-number*  $n \longleftrightarrow$   
 $n > 1 \wedge \text{size } P \neq 1 \wedge (\forall p \in \#P. \text{count } P \ p = 1 \wedge p - 1 \text{ dvd } n - 1)$   
 $\langle \text{proof} \rangle$

The smallest Carmichael number is 561, and it was found and proven so by Carmichael in 1910 [6].

**lemma** *Carmichael-number-561*: *Carmichael-number* 561 (**is** *Carmichael-number* ? $n$ )  
 $\langle \text{proof} \rangle$

**end**

## 8 Fermat Witnesses

**theory** *Fermat-Witness*

**imports** *Euler-Witness Carmichael-Numbers*  
**begin**

**definition** *divide-out* :: ' $a :: \text{factorial-semiring} \Rightarrow 'a \Rightarrow 'a \times \text{nat}$  **where**  
 $\text{divide-out } p \ x = (x \text{ div } p \wedge \text{multiplicity } p \ x, \text{multiplicity } p \ x)$

**lemma** *fst-divide-out [simp]*:  $\text{fst } (\text{divide-out } p \ x) = x \text{ div } p \wedge \text{multiplicity } p \ x$   
**and** *snd-divide-out [simp]*:  $\text{snd } (\text{divide-out } p \ x) = \text{multiplicity } p \ x$   
 $\langle \text{proof} \rangle$

**function** *divide-out-aux* :: ' $a :: \text{factorial-semiring} \Rightarrow 'a \times \text{nat} \Rightarrow 'a \times \text{nat}$  **where**  
 $\text{divide-out-aux } p \ (x, \text{acc}) =$   
 $(\text{if } x = 0 \vee \text{is-unit } p \vee \neg p \text{ dvd } x \text{ then } (x, \text{acc}) \text{ else } \text{divide-out-aux } p \ (x \text{ div } p, \text{acc} + 1))$   
 $\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**lemmas**  $[\text{simp del}] = \text{divide-out-aux.simps}$

**lemma** *divide-out-aux-correct*:

$\text{divide-out-aux } p \ z = (\text{fst } z \text{ div } p \wedge \text{multiplicity } p \ (\text{fst } z), \text{snd } z + \text{multiplicity } p \ (\text{fst } z))$   
 $\langle \text{proof} \rangle$

**lemma** *divide-out-code*  $[\text{code}]$ :  $\text{divide-out } p \ x = \text{divide-out-aux } p \ (x, 0)$   
 $\langle \text{proof} \rangle$

**lemma** *multiplicity-code*  $[\text{code}]$ :  $\text{multiplicity } p \ x = \text{snd } (\text{divide-out-aux } p \ (x, 0))$   
 $\langle \text{proof} \rangle$

**lemma** *multiplicity-times-same-power*:

**assumes**  $x \neq 0 \ \neg \text{is-unit } p \ p \neq 0$   
**shows**  $\text{multiplicity } p \ (p \wedge^k * x) = \text{multiplicity } p \ x + k$   
 $\langle \text{proof} \rangle$

**lemma** *divide-out-unique-nat*:

**fixes**  $n :: \text{nat}$   
**assumes**  $\neg \text{is-unit } p \ p \neq 0 \ \neg p \text{ dvd } m \text{ and } n = p \wedge^k * m$   
**shows**  $m = n \text{ div } p \wedge \text{multiplicity } p \ n \text{ and } k = \text{multiplicity } p \ n$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $a \ n :: \text{nat}$

**begin**

**definition** *fermat-liar*  $\longleftrightarrow [a \wedge^{(n-1)} = 1] \ (\text{mod } n)$

**definition** *fermat-witness*  $\longleftrightarrow [a \wedge^{(n-1)} \neq 1] \ (\text{mod } n)$

**definition** *strong-fermat-liar*  $\longleftrightarrow$

$(\forall k \ m. \text{odd } m \longrightarrow n - 1 = 2^k * m \longrightarrow$   
 $[a \wedge^m = 1] \ (\text{mod } n) \vee (\exists i \in \{0..< k\}. [a \wedge^{2^i * m} = n-1] \ (\text{mod } n)))$

**definition** *strong-fermat-witness*  $\longleftrightarrow \neg \text{strong-fermat-liar}$

**lemma** *fermat-liar-witness-of-composition*  $[\text{iff}]$ :

$\neg \text{fermat-liar} = \text{fermat-witness}$   
 $\neg \text{fermat-witness} = \text{fermat-liar}$   
 $\langle \text{proof} \rangle$

**lemma** *strong-fermat-liar-code*  $[\text{code}]$ :

$\text{strong-fermat-liar} \longleftrightarrow$

$(\text{let } (m, k) = \text{divide-out } 2 \ (n - 1)$   
 $\text{in } [a^m = 1] \pmod n \vee (\exists i \in \{0..< k\}. [a^{(2^i * m) = n-1}] \pmod n))$   
 $(\text{is } ?lhs = ?rhs)$   
 $\langle \text{proof} \rangle$

**context**

**assumes**  $*$  :  $a \in \{1 ..< n\}$

**begin**

**lemma** *strong-fermat-witness-iff*:

*strong-fermat-witness* =

$(\exists k \ m. \text{ odd } m \wedge n - 1 = 2^k * m \wedge [a^m \neq 1] \pmod n \wedge$   
 $(\forall i \in \{0..< k\}. [a^{(2^i * m) \neq n-1}] \pmod n))$

$\langle \text{proof} \rangle$

**lemma** *not-coprime-imp-witness*:  $\neg \text{coprime } a \ n \implies \text{fermat-witness}$

$\langle \text{proof} \rangle$

**corollary** *liar-imp-coprime*:  $\text{fermat-liar} \implies \text{coprime } a \ n$

$\langle \text{proof} \rangle$

**lemma** *fermat-witness-imp-strong-fermat-witness*:

**assumes**  $1 < n$  *fermat-witness*

**shows** *strong-fermat-witness*

$\langle \text{proof} \rangle$

**corollary** *strong-fermat-liar-imp-fermat-liar*:

**assumes**  $1 < n$  *strong-fermat-liar*

**shows** *fermat-liar*

$\langle \text{proof} \rangle$

**lemma** *euler-liar-is-fermat-liar*:

**assumes**  $1 < n$  *euler-liar*  $a \ n$  *coprime*  $a \ n$  *odd*  $n$

**shows** *fermat-liar*

$\langle \text{proof} \rangle$

**corollary** *fermat-witness-is-euler-witness*:

**assumes**  $1 < n$  *fermat-witness* *coprime*  $a \ n$  *odd*  $n$

**shows** *euler-witness*  $a \ n$

$\langle \text{proof} \rangle$

**end**

**end**

**lemma** *one-is-fermat-liar[simp]*:  $1 < n \implies \text{fermat-liar } 1 \ n$

$\langle \text{proof} \rangle$

**lemma** *one-is-strong-fermat-liar[simp]*:  $1 < n \implies \text{strong-fermat-liar } 1 \ n$

$\langle \text{proof} \rangle$

**lemma** *prime-imp-fermat-liar*:

*prime*  $p \implies a \in \{1 \dots p\} \implies \text{fermat-liar } a \ p$

$\langle \text{proof} \rangle$

**lemma** *not-Carmichael-numberD*:

**assumes**  $\neg \text{Carmichael-number } n \ \neg \text{prime } n$  **and**  $1 < n$

**shows**  $\exists a \in \{2 \dots n\} . \text{fermat-witness } a \ n \wedge \text{coprime } a \ n$

$\langle \text{proof} \rangle$

**proposition** *prime-imp-strong-fermat-witness*:

**fixes**  $p :: \text{nat}$

**assumes** *prime*  $p \ 2 < p \ a \in \{1 \dots p\}$

**shows** *strong-fermat-liar*  $a \ p$

$\langle \text{proof} \rangle$

**lemma** *ignore-one*:

**fixes**  $P :: - \Rightarrow \text{nat} \Rightarrow \text{bool}$

**assumes**  $P \ 1 \ n \ 1 < n$

**shows**  $\text{card } \{a \in \{1 \dots n\} . P \ a \ n\} = 1 + \text{card } \{a . 2 \leq a \wedge a < n \wedge P \ a \ n\}$

$\langle \text{proof} \rangle$

Proofs in the following section are inspired by [10, 7, 1].

**proposition** *not-Carmichael-number-imp-card-fermat-witness-bound*:

**fixes**  $n :: \text{nat}$

**assumes**  $\neg \text{prime } n \ \neg \text{Carmichael-number } n \ \text{odd } n \ 1 < n$

**shows**  $(n-1) \text{ div } 2 < \text{card } \{a \in \{1 \dots n\} . \text{fermat-witness } a \ n\}$

**and**  $(\text{card } \{a . 2 \leq a \wedge a < n \wedge \text{strong-fermat-liar } a \ n\}) < \text{real } (n-2) / 2$

**and**  $(\text{card } \{a . 2 \leq a \wedge a < n \wedge \text{fermat-liar } a \ n\}) < \text{real } (n-2) / 2$

$\langle \text{proof} \rangle$

**proposition** *Carmichael-number-imp-lower-bound-on-strong-fermat-witness*:

**fixes**  $n :: \text{nat}$

**assumes** *Carmichael-number*: *Carmichael-number*  $n$

**shows**  $(n-1) \text{ div } 2 < \text{card } \{a \in \{1 \dots n\} . \text{strong-fermat-witness } a \ n\}$

**and**  $\text{real } (\text{card } \{a . 2 \leq a \wedge a < n \wedge \text{strong-fermat-liar } a \ n\}) < \text{real } (n-2)$

$/ 2$

$\langle \text{proof} \rangle$

**corollary** *strong-fermat-witness-lower-bound*:

**assumes** *odd*  $n \ > 2 \ \neg \text{prime } n$

**shows**  $\text{card } \{a . 2 \leq a \wedge a < n \wedge \text{strong-fermat-liar } a \ n\} < \text{real } (n-2) / 2$

$\langle \text{proof} \rangle$

**end**

## 9 A Generic View on Probabilistic Prime Tests

**theory** *Generalized-Primality-Test*

**imports**

*HOL-Probability.Probability*

*Algebraic-Auxiliaries*

**begin**

**definition** *primality-test* ::  $(\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}) \Rightarrow \text{nat} \Rightarrow \text{bool pmf}$  **where**

*primality-test* *P* *n* =

(if  $n < 3 \vee \text{even } n$  then *return-pmf*  $(n = 2)$  else

do {

$a \leftarrow \text{pmf-of-set } \{2..< n\};$

*return-pmf*  $(P \ n \ a)$

})

**lemma** *expectation-of-bool-is-pmf*: *measure-pmf.expectation* *M of-bool* = *pmf* *M*

*True*

*<proof>*

**lemma** *eq-bernoulli-pmfI*:

**assumes** *pmf* *p* *True* = *x*

**shows**  $p = \text{bernoulli-pmf } x$

*<proof>*

We require a probabilistic primality test to never classify a prime as composite. It may, however, mistakenly classify composites as primes.

**locale** *prob-primality-test* =

**fixes** *P* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **and** *n* :: *nat*

**assumes** *P-works*:  $\text{odd } n \implies 2 \leq a \implies a < n \implies \text{prime } n \implies P \ n \ a$

**begin**

**lemma** *FalseD*:

**assumes** *false*: *False*  $\in \text{set-pmf } (\text{primality-test } P \ n)$

**shows**  $\neg \text{prime } n$

*<proof>*

**theorem** *prime*:

**assumes** *odd-prime*: *prime* *n*

**shows** *primality-test* *P* *n* = *return-pmf* *True*

*<proof>*

**end**

We call a primality test *q*-good for a fixed positive real number *q* if the probability that it mistakenly classifies a composite as a prime is less than *q*.

**locale** *good-prob-primality-test* = *prob-primality-test* +

```

fixes  $q :: \text{real}$ 
assumes  $q\text{-pos}: q > 0$ 
assumes  $\text{composite-witness-bound}:$ 
     $\neg \text{prime } n \implies 2 < n \implies \text{odd } n \implies$ 
     $\text{real } (\text{card } \{a . 2 \leq a \wedge a < n \wedge P\ n\ a\}) < q * \text{real } (n - 2)$ 
begin

lemma  $\text{composite-aux}:$ 
  assumes  $\neg \text{prime } n$ 
  shows  $\text{measure-pmf.expectation } (\text{primality-test } P\ n) \text{ of-bool} < q$ 
   $\langle \text{proof} \rangle$ 

theorem  $\text{composite}:$ 
  assumes  $\neg \text{prime } n$ 
  shows  $\text{pmf } (\text{primality-test } P\ n) \text{ True} < q$ 
   $\langle \text{proof} \rangle$ 

end

end

```

## 10 Fermat's Test

```

theory  $\text{Fermat-Test}$ 
imports
   $\text{Fermat-Witness}$ 
   $\text{Generalized-Primality-Test}$ 
begin

definition  $\text{fermat-test} = \text{primality-test } (\lambda n\ a. \text{fermat-liar } a\ n)$ 

The Fermat test is a good probabilistic primality test on non-Carmichael
numbers.

locale  $\text{fermat-test-not-Carmichael-number} =$ 
  fixes  $n :: \text{nat}$ 
  assumes  $\text{not-Carmichael-number}: \neg \text{Carmichael-number } n \vee n < 3$ 
begin

sublocale  $\text{fermat-test}: \text{good-prob-primality-test } \lambda a\ n. \text{fermat-liar } n\ a\ n\ 1 / 2$ 
  rewrites  $\text{primality-test } (\lambda a\ n. \text{fermat-liar } n\ a) = \text{fermat-test}$ 
   $\langle \text{proof} \rangle$ 

end

lemma  $\text{not-coprime-imp-fermat-witness}:$ 
  fixes  $n :: \text{nat}$ 
  assumes  $n > 1 \ \neg \text{coprime } a\ n$ 
  shows  $\text{fermat-witness } a\ n$ 
   $\langle \text{proof} \rangle$ 

```

**theorem** *fermat-test-prime*:  
**assumes** *prime n*  
**shows** *fermat-test n = return-pmf True*  
*<proof>*

**theorem** *fermat-test-composite*:  
**assumes**  $\neg \text{prime } n \ \neg \text{Carmichael-number } n \ \vee \ n < 3$   
**shows** *pmf (fermat-test n) True < 1 / 2*  
*<proof>*

For a Carmichael number  $n$ , Fermat's test as defined above mistakenly returns 'True' with probability  $(\varphi(n) - 1)/(n - 2)$ . This probability is close to 1 if  $n$  has few and big prime factors; it is not quite as bad if it has many and/or small factors, but in that case, simple trial division can also detect compositeness.

Moreover, Fermat's test only succeeds for a Carmichael number if it happens to guess a number that is not coprime to  $n$ . In that case, the fact that we have found a number between 2 and  $n$  that is not coprime to  $n$  alone is proof that  $n$  is composite, and indeed we can even find a non-trivial factor by computing the GCD. This means that for Carmichael numbers, Fermat's test is essentially no better than the very crude method of attempting to guess numbers coprime to  $n$ .

This means that, in general, Fermat's test is not very helpful for Carmichael numbers.

**theorem** *fermat-test-Carmichael-number*:  
**assumes** *Carmichael-number n*  
**shows** *fermat-test n = bernoulli-pmf (real (totient n - 1) / real (n - 2))*  
*<proof>*

**end**

## 11 The Miller–Rabin Test

**theory** *Miller-Rabin-Test*  
**imports**  
*Fermat-Witness*  
*Generalized-Primality-Test*  
**begin**

**definition** *miller-rabin = primality-test* ( $\lambda n \ a.$  *strong-fermat-liar a n*)

The test is actually  $\frac{1}{4}$  good, but we only show  $\frac{1}{2}$ , since the former is much more involved.

**interpretation** *miller-rabin: good-prob-primality-test*  $\lambda n \ a.$  *strong-fermat-liar a n*  
 $n \ 1 / 2$

**rewrites** *primality-test* ( $\lambda n a. \text{strong-fermat-liar } a \ n$ ) = *miller-rabin*  
 $\langle \text{proof} \rangle$

**end**

## 12 The Solovay–Strassen Test

**theory** *Solovay-Strassen-Test*

**imports**

*Generalized-Primality-Test*

*Euler-Witness*

**begin**

**definition** *solovay-strassen-witness* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**

*solovay-strassen-witness*  $n \ a =$   
 $(\text{let } x = \text{Jacobi } (\text{int } a) (\text{int } n) \text{ in } x \neq 0 \wedge [x = \text{int } a \wedge ((n - 1) \text{ div } 2)] \text{ (mod } n))$

**definition** *solovay-strassen* ::  $\text{nat} \Rightarrow \text{bool pmf}$  **where**

*solovay-strassen* = *primality-test solovay-strassen-witness*

**lemma** *prime-imp-solovay-strassen-witness*:

**assumes** *prime*  $p$  *odd*  $p$   $a \in \{2..<p\}$

**shows** *solovay-strassen-witness*  $p \ a$

$\langle \text{proof} \rangle$

**lemma** *card-solovay-strassen-liars-composite*:

**fixes**  $n :: \text{nat}$

**assumes**  $\neg \text{prime } n$   $n > 2$  *odd*  $n$

**shows**  $\text{card } \{a \in \{2..<n\}. \text{solovay-strassen-witness } n \ a\} < (n - 2) \text{ div } 2$

(**is**  $\text{card } ?A < -$ )

$\langle \text{proof} \rangle$

**interpretation** *solovay-strassen*: *good-prob-primality-test solovay-strassen-witness*  
 $n \ 1 / 2$

**rewrites** *primality-test solovay-strassen-witness* = *solovay-strassen*

$\langle \text{proof} \rangle$

**end**

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