

The Divergence of the Prime Harmonic Series

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Abstract

In this work, we prove the lower bound $\ln(H_n) - \ln(\frac{5}{3})$ for the partial sum of the Prime Harmonic series and, based on this, the divergence of the Prime Harmonic Series $\sum_{p=1}^n [p \text{ prime}] \cdot \frac{1}{p}$. The proof relies on the unique squarefree decomposition of natural numbers. This proof is similar to Euler's original proof (which was highly informal and morally questionable). Its advantage over proofs by contradiction, like the famous one by Paul Erdős, is that it provides a relatively good lower bound for the partial sums.

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1 Auxiliary lemmas

theory *Prime-Harmonic-Misc*

imports

Complex-Main

HOL-Number-Theory.Number-Theory

begin

lemma *sum-list-nonneg*: $\forall x \in \text{set } xs. x \geq 0 \implies \text{sum-list } xs \geq (0 :: 'a :: \text{ordered-ab-group-add})$

by (*induction xs*) *auto*

lemma *sum-telescope'*:

assumes $m \leq n$

shows $(\sum k = \text{Suc } m..n. f k - f (\text{Suc } k)) = f (\text{Suc } m) - (f (\text{Suc } n) :: 'a :: \text{ab-group-add})$

by (rule dec-induct[OF assms]) (simp-all add: algebra-simps)

lemma dvd-prodI:

assumes finite A x ∈ A

shows f x dvd prod f A

proof –

from assms **have** prod f A = f x * prod f (A - {x})

by (intro prod.remove) simp-all

thus ?thesis **by** simp

qed

lemma dvd-prodD: finite A \implies prod f A dvd x \implies a ∈ A \implies f a dvd x

by (erule dvd-trans[OF dvd-prodI])

lemma multiplicity-power-nat:

prime p \implies n > 0 \implies multiplicity p (n ^ k :: nat) = k * multiplicity p n

by (induction k) (simp-all add: prime-elem-multiplicity-mult-distrib)

lemma multiplicity-prod-prime-powers-nat':

finite S \implies $\forall p \in S$. prime p \implies prime p \implies

multiplicity p ($\prod S$:: nat) = (if p ∈ S then 1 else 0)

using multiplicity-prod-prime-powers[of S p λ-. 1] **by** simp

lemma prod-prime-subset:

assumes finite A finite B

assumes $\bigwedge x. x \in A \implies$ prime (x::nat)

assumes $\bigwedge x. x \in B \implies$ prime x

assumes $\prod A$ dvd $\prod B$

shows A ⊆ B

proof

fix x **assume** x: x ∈ A

from assms(4)[of 0] **have** 0 ∉ B **by** auto

with assms **have** nonzero: $\forall z \in B. z \neq 0$ **by** (intro ballI notI) auto

from x assms **have** 1 = multiplicity x ($\prod A$)

by (subst multiplicity-prod-prime-powers-nat') simp-all

also from assms nonzero **have** ... ≤ multiplicity x ($\prod B$) **by** (intro dvd-imp-multiplicity-le) auto

finally have multiplicity x ($\prod B$) > 0 **by** simp

moreover from assms x **have** prime x **by** simp

ultimately show x ∈ B **using** assms(2,4)

by (subst (asm) multiplicity-prod-prime-powers-nat') (simp-all split: if-split-asm)

qed

lemma prod-prime-eq:

assumes finite A finite B $\bigwedge x. x \in A \implies$ prime (x::nat) $\bigwedge x. x \in B \implies$ prime

x $\prod A = \prod B$

shows A = B

using assms **by** (intro equalityI prod-prime-subset) simp-all

```

lemma ln-ln-nonneg:
  assumes  $x: x \geq (3 :: \text{real})$ 
  shows  $\ln (\ln x) \geq 0$ 
proof –
  have  $\exp 1 \leq (3 :: \text{real})$  by (rule exp-le)
  hence  $\ln (\exp 1) \leq \ln (3 :: \text{real})$  by (subst ln-le-cancel-iff) simp-all
  also from  $x$  have  $\dots \leq \ln x$  by (subst ln-le-cancel-iff) simp-all
  finally have  $\ln 1 \leq \ln (\ln x)$  using  $x$  by (subst ln-le-cancel-iff) simp-all
  thus ?thesis by simp
qed

end

```

2 Squarefree decomposition of natural numbers

```

theory Squarefree-Nat
imports
  Main
  HOL-Number-Theory.Number-Theory
  Prime-Harmonic-Misc
begin

```

The squarefree part of a natural number is the set of all prime factors that appear with odd multiplicity. The square part, correspondingly, is what remains after dividing by the squarefree part.

```

definition squarefree-part ::  $\text{nat} \Rightarrow \text{nat set}$  where
  squarefree-part  $n = \{p \in \text{prime-factors } n. \text{ odd } (\text{multiplicity } p \ n)\}$ 

```

```

definition square-part ::  $\text{nat} \Rightarrow \text{nat}$  where
  square-part  $n = (\text{if } n = 0 \text{ then } 0 \text{ else } (\prod p \in \text{prime-factors } n. p \wedge (\text{multiplicity } p \ n \text{ div } 2)))$ 

```

```

lemma squarefree-part-0 [simp]: squarefree-part 0 = {}
  by (simp add: squarefree-part-def)

```

```

lemma square-part-0 [simp]: square-part 0 = 0
  by (simp add: square-part-def)

```

```

lemma squarefree-decompose:  $\prod (\text{squarefree-part } n) * \text{square-part } n \wedge 2 = n$ 
proof (cases n = 0)

```

```

  case False
  define  $A$   $s$  where  $A = \text{squarefree-part } n$  and  $s = \text{square-part } n$ 
  have  $(\prod A) = (\prod p \in A. p \wedge (\text{multiplicity } p \ n \text{ mod } 2))$ 
  by (intro prod.cong) (auto simp: A-def squarefree-part-def elim!: oddE)
  also have  $\dots = (\prod p \in \text{prime-factors } n. p \wedge (\text{multiplicity } p \ n \text{ mod } 2))$ 
  by (intro prod.mono-neutral-left) (auto simp: A-def squarefree-part-def)
  also from False have  $\dots * s \wedge 2 = n$ 

```

by (*simp add: s-def square-part-def prod.distrib [symmetric] power-add [symmetric]*
power-mult [symmetric] prime-factorization-nat [symmetric]
algebra-simps
prod-power-distrib)
finally show $\prod A * s^2 = n$.
qed *simp*

lemma *squarefree-part-pos [simp]:* $\prod (\text{squarefree-part } n) > 0$
using *prime-gt-0-nat unfolding squarefree-part-def by auto*

lemma *squarefree-part-ge-Suc-0 [simp]:* $\prod (\text{squarefree-part } n) \geq \text{Suc } 0$
using *squarefree-part-pos[of n] by presburger*

lemma *squarefree-part-subset [intro]:* $\text{squarefree-part } n \subseteq \text{prime-factors } n$
unfolding *squarefree-part-def by auto*

lemma *squarefree-part-finite [simp]:* *finite (squarefree-part n)*
by (*rule finite-subset[OF squarefree-part-subset] simp*)

lemma *squarefree-part-dvd [simp]:* $\prod (\text{squarefree-part } n) \text{ dvd } n$
by (*subst (2) squarefree-decompose [of n, symmetric] simp*)

lemma *squarefree-part-dvd' [simp]:* $p \in \text{squarefree-part } n \implies p \text{ dvd } n$
by (*rule dvd-prod[OF - squarefree-part-dvd] simp-all*)

lemma *square-part-dvd [simp]:* $\text{square-part } n^2 \text{ dvd } n$
by (*subst (2) squarefree-decompose [of n, symmetric] simp*)

lemma *square-part-dvd' [simp]:* $\text{square-part } n \text{ dvd } n$
by (*subst (2) squarefree-decompose [of n, symmetric] simp*)

lemma *squarefree-part-le: p ∈ squarefree-part n ⟹ p ≤ n*
by (*cases n = 0 (simp-all add: dvd-imp-le)*)

lemma *square-part-le: square-part n ≤ n*
by (*cases n = 0 (simp-all add: dvd-imp-le)*)

lemma *square-part-le-sqrt: square-part n ≤ nat [sqrt (real n)]*
proof –
have $1 * \text{square-part } n^2 \leq \prod (\text{squarefree-part } n) * \text{square-part } n^2$
by (*intro mult-right-mono simp-all*)
also have $\dots = n$ **by** (*rule squarefree-decompose*)
finally have $\text{real } (\text{square-part } n^2) \leq \text{real } n$ **by** (*subst of-nat-le-iff simp*)
hence $\text{sqrt } (\text{real } (\text{square-part } n^2)) \leq \text{sqrt } (\text{real } n)$
by (*subst real-sqrt-le-iff simp-all*)
also have $\text{sqrt } (\text{real } (\text{square-part } n^2)) = \text{real } (\text{square-part } n)$ **by** *simp*
finally show *?thesis* **by** *linarith*
qed

lemma *square-part-pos* [simp]: $n > 0 \implies \text{square-part } n > 0$
unfolding *square-part-def* **using** *prime-gt-0-nat* **by** *auto*

lemma *square-part-ge-Suc-0* [simp]: $n > 0 \implies \text{square-part } n \geq \text{Suc } 0$
using *square-part-pos*[of n] **by** *presburger*

lemma *zero-not-in-squarefree-part* [simp]: $0 \notin \text{squarefree-part } n$
unfolding *squarefree-part-def* **by** *auto*

lemma *multiplicity-squarefree-part*:
 $\text{prime } p \implies \text{multiplicity } p (\prod (\text{squarefree-part } n)) = (\text{if } p \in \text{squarefree-part } n \text{ then } 1 \text{ else } 0)$
using *squarefree-part-subset*[of n]
by (*intro multiplicity-prod-prime-powers-nat*) *auto*

The squarefree part really is square, its only square divisor is 1.

lemma *square-dvd-squarefree-part-iff*:
 $x^2 \text{ dvd } \prod (\text{squarefree-part } n) \iff x = 1$
proof (*rule iffI*, *rule multiplicity-eq-nat*)
assume *dvd*: $x^2 \text{ dvd } \prod (\text{squarefree-part } n)$
hence $x \neq 0$ **using** *squarefree-part-subset*[of n] *prime-gt-0-nat* **by** (*intro notI*)
auto

thus $x: x > 0$ **by** *simp*

fix $p :: \text{nat}$ **assume** p : *prime* p
from $p \ x$ **have** $2 * \text{multiplicity } p \ x = \text{multiplicity } p \ (x^2)$
by (*simp add: multiplicity-power-nat*)
also from *dvd* **have** $\dots \leq \text{multiplicity } p (\prod (\text{squarefree-part } n))$
by (*intro dvd-imp-multiplicity-le*) *simp-all*
also have $\dots \leq 1$ **using** *multiplicity-squarefree-part*[of $p \ n$] p **by** *simp*
finally show $\text{multiplicity } p \ x = \text{multiplicity } p \ 1$ **by** *simp*
qed *simp-all*

lemma *squarefree-decomposition-unique1*:
assumes $\text{squarefree-part } m = \text{squarefree-part } n$
assumes $\text{square-part } m = \text{square-part } n$
shows $m = n$
by (*subst* (1 2) *squarefree-decompose* [*symmetric*]) (*simp add: assms*)

lemma *squarefree-decomposition-unique2*:
assumes $n: n > 0$
assumes *decomp*: $n = (\prod A2 * s2^2)$
assumes *prime*: $\bigwedge x. x \in A2 \implies \text{prime } x$
assumes *fin*: *finite* $A2$
assumes *s2-nonneg*: $s2 \geq 0$
shows $A2 = \text{squarefree-part } n$ **and** $s2 = \text{square-part } n$
proof –

```

define  $A1\ s1$  where  $A1 = \text{squarefree-part } n$  and  $s1 = \text{square-part } n$ 
have  $\text{finite } A1$  unfolding  $A1\text{-def}$  by  $\text{simp}$ 
note  $\text{fin} = \langle \text{finite } A1 \rangle \langle \text{finite } A2 \rangle$ 

have  $A1 \subseteq \text{prime-factors } n$  unfolding  $A1\text{-def}$  using  $\text{squarefree-part-subset}$  .
note  $\text{subset} = \text{this prime}$ 

have  $\prod A1 > 0 \ \prod A2 > 0$  using  $\text{subset}(1)$   $\text{prime-gt-0-nat}$ 
  by  $(\text{auto intro!}: \text{prod-pos dest}: \text{prime})$ 
from  $n$  have  $s1 > 0$  unfolding  $s1\text{-def}$  by  $\text{simp}$ 
from  $\text{assms}$  have  $s2 \neq 0$  by  $(\text{intro notI}) \text{simp}$ 
hence  $s2 > 0$  by  $\text{simp}$ 
note  $\text{pos} = \langle \prod A1 > 0 \rangle \langle \prod A2 > 0 \rangle \langle s1 > 0 \rangle \langle s2 > 0 \rangle$ 

have  $\text{eq}'$ :  $\text{multiplicity } p\ s1 = \text{multiplicity } p\ s2$ 
   $\text{multiplicity } p\ (\prod A1) = \text{multiplicity } p\ (\prod A2)$ 
  if  $p$ :  $\text{prime } p$  for  $p$ 
proof –
  define  $m$  where  $m = \text{multiplicity } p$ 
  from  $\text{decomp}$  have  $m\ (\prod A1 * s1^2) = m\ (\prod A2 * s2^2)$  unfolding  $A1\text{-def}$ 
 $s1\text{-def}$ 
  by  $(\text{simp add}: A1\text{-def } s1\text{-def } \text{squarefree-decompose})$ 
  with  $p\ \text{pos}$  have  $\text{eq}$ :  $m\ (\prod A1) + 2 * m\ s1 = m\ (\prod A2) + 2 * m\ s2$ 
  by  $(\text{simp add}: m\text{-def } \text{prime-elem-multiplicity-mult-distrib } \text{multiplicity-power-nat})$ 
  moreover from  $\text{fin } \text{subset } p$  have  $m\ (\prod A1) \leq 1\ m\ (\prod A2) \leq 1$  unfolding
 $m\text{-def}$ 
  by  $((\text{subst } \text{multiplicity-prod-prime-powers-nat}', \text{auto})[])+$ 
  ultimately show  $m\ s1 = m\ s2$  by  $\text{linarith}$ 
  with  $\text{eq}$  show  $m\ (\prod A1) = m\ (\prod A2)$  by  $\text{simp}$ 
qed

show  $s2 = \text{square-part } n$ 
  by  $(\text{rule } \text{multiplicity-eq-nat}) (\text{insert } \text{pos } \text{eq}'(1), \text{auto } \text{simp}: s1\text{-def})$ 
have  $\prod A2 = \prod (\text{squarefree-part } n)$ 
  by  $(\text{rule } \text{multiplicity-eq-nat}) (\text{insert } \text{pos } \text{eq}'(2), \text{auto } \text{simp}: A1\text{-def})$ 
with  $\text{fin } \text{subset}$  show  $A2 = \text{squarefree-part } n$  unfolding  $A1\text{-def}$ 
  by  $(\text{intro } \text{prod-prime-eq}) \text{auto}$ 
qed

lemma  $\text{squarefree-decomposition-unique2}'$ :
assumes  $\text{decomp}$ :  $(\prod A1 * s1^2) = (\prod A2 * s2^2 :: \text{nat})$ 
assumes  $\text{fin}$ :  $\text{finite } A1\ \text{finite } A2$ 
assumes  $\text{subset}$ :  $\bigwedge x. x \in A1 \implies \text{prime } x \ \bigwedge x. x \in A2 \implies \text{prime } x$ 
assumes  $\text{pos}$ :  $s1 > 0\ s2 > 0$ 
defines  $n \equiv \prod A1 * s1^2$ 
shows  $A1 = A2\ s1 = s2$ 
proof –
from  $\text{pos}$  have  $n$ :  $n > 0$  using  $\text{prime-gt-0-nat}$ 
  by  $(\text{auto } \text{simp}: n\text{-def } \text{intro!}: \text{prod-pos dest}: \text{subset})$ 

```

have $A1 = \text{squarefree-part } n \text{ } s1 = \text{square-part } n$
by ((*rule squarefree-decomposition-unique2*[of $n \ A1 \ s1$], *insert assms* n , *simp-all*)))+
moreover have $A2 = \text{squarefree-part } n \ s2 = \text{square-part } n$
by ((*rule squarefree-decomposition-unique2*[of $n \ A2 \ s2$], *insert assms* n , *simp-all*)))+
ultimately show $A1 = A2 \ s1 = s2$ **by** *simp-all*
qed

The following is a nice and simple lower bound on the number of prime numbers less than a given number due to Erdős. In particular, it implies that there are infinitely many primes.

lemma *primes-lower-bound*:

fixes $n :: \text{nat}$
assumes $n > 0$
defines $\pi \equiv \lambda n. \text{card } \{p. \text{prime } p \wedge p \leq n\}$
shows $\text{real } (\pi \ n) \geq \ln (\text{real } n) / \ln 4$
proof –
have $\text{real } n = \text{real } (\text{card } \{1..n\})$ **by** *simp*
also have $\{1..n\} = (\lambda(A, b). \prod A * b^2) \text{ ` } (\lambda n. (\text{squarefree-part } n, \text{square-part } n)) \text{ ` } \{1..n\}$
unfolding *image-comp o-def squarefree-decompose case-prod-unfold fst-conv snd-conv* **by** *simp*
also have $\text{card } \dots \leq \text{card } ((\lambda n. (\text{squarefree-part } n, \text{square-part } n)) \text{ ` } \{1..n\})$
by (*rule card-image-le*) *simp-all*
also have $\dots \leq \text{card } (\text{squarefree-part } \text{ ` } \{1..n\} \times \text{square-part } \text{ ` } \{1..n\})$
by (*rule card-mono*) *auto*
also have $\text{real } \dots = \text{real } (\text{card } (\text{squarefree-part } \text{ ` } \{1..n\})) * \text{real } (\text{card } (\text{square-part } \text{ ` } \{1..n\}))$
by *simp*
also have $\dots \leq 2^{\wedge \pi \ n} * \text{sqrt } (\text{real } n)$
proof (*rule mult-mono*)
have $\text{card } (\text{squarefree-part } \text{ ` } \{1..n\}) \leq \text{card } (\text{Pow } \{p. \text{prime } p \wedge p \leq n\})$
using *squarefree-part-subset squarefree-part-le* **by** (*intro card-mono*) *force+*
also have $\text{real } \dots = 2^{\wedge \pi \ n}$ **by** (*simp add: pi-def card-Pow*)
finally show $\text{real } (\text{card } (\text{squarefree-part } \text{ ` } \{1..n\})) \leq 2^{\wedge \pi \ n}$ **by** – *simp-all*
next
have $\text{square-part } k \leq \text{nat } \lfloor \text{sqrt } n \rfloor$ **if** $k \leq n$ **for** k
by (*rule order.trans[OF square-part-le-sqrt]*)
(insert that, auto intro!: nat-mono floor-mono)
hence $\text{card } (\text{square-part } \text{ ` } \{1..n\}) \leq \text{card } \{1..\text{nat } \lfloor \text{sqrt } n \rfloor\}$
by (*intro card-mono*) (*auto intro: order.trans[OF square-part-le-sqrt]*)
also have $\dots = \text{nat } \lfloor \text{sqrt } n \rfloor$ **by** *simp*
also have $\text{real } \dots \leq \text{sqrt } n$ **by** *simp*
finally show $\text{real } (\text{card } (\text{square-part } \text{ ` } \{1..n\})) \leq \text{sqrt } (\text{real } n)$ **by** – *simp-all*
qed *simp-all*
finally have $\text{real } n \leq 2^{\wedge \pi \ n} * \text{sqrt } (\text{real } n)$ **by** – *simp-all*
with $\langle n > 0 \rangle$ **have** $\ln (\text{real } n) \leq \ln (2^{\wedge \pi \ n} * \text{sqrt } (\text{real } n))$
by (*subst ln-le-cancel-iff*) *simp-all*
moreover have $\ln (4 :: \text{real}) = \text{real } 2 * \ln 2$ **by** (*subst ln-realpow [symmetric]*)
simp-all

ultimately show *?thesis* using $\langle n > 0 \rangle$
 by (*simp add: ln-mult ln-realpow[of - π n] ln-sqrt field-simps*)
 qed
 end

3 The Prime Harmonic Series

theory *Prime-Harmonic*
 imports
 HOL-Analysis.Analysis
 HOL-Number-Theory.Number-Theory
 Prime-Harmonic-Misc
 Squarefree-Nat
 begin

3.1 Auxiliary equalities and inequalities

First of all, we prove the following result about rearranging a product over a set into a sum over all subsets of that set.

lemma *prime-harmonic-aux1*:
 fixes $A :: 'a :: \text{field set}$
 shows $\text{finite } A \implies (\prod_{x \in A}. 1 + 1 / x) = (\sum_{x \in \text{Pow } A}. 1 / \prod x)$
proof (*induction rule: finite-induct*)
 fix $a :: 'a$ and $A :: 'a \text{ set}$
 assume $a: a \notin A$ and *fin*: $\text{finite } A$
 assume *IH*: $(\prod_{x \in A}. 1 + 1 / x) = (\sum_{x \in \text{Pow } A}. 1 / \prod x)$
 from *a* and *fin* have $(\prod_{x \in \text{insert } a A}. 1 + 1 / x) = (1 + 1 / a) * (\prod_{x \in A}. 1 + 1 / x)$ by *simp*
 also from *fin* have $\dots = (\sum_{x \in \text{Pow } A}. 1 / \prod x) + (\sum_{x \in \text{Pow } A}. 1 / (a * \prod x))$
 by (*subst IH*) (*auto simp add: algebra-simps sum-divide-distrib*)
 also from *fin a* have $(\sum_{x \in \text{Pow } A}. 1 / (a * \prod x)) = (\sum_{x \in \text{Pow } A}. 1 / \prod (\text{insert } a x))$
 by (*intro sum.cong refl, subst prod.insert*) (*auto dest: finite-subset*)
 also from *a* have $\dots = (\sum_{x \in \text{insert } a ' \text{Pow } A}. 1 / \prod x)$
 by (*subst sum.reindex*) (*auto simp: inj-on-def*)
 also from *fin a* have $(\sum_{x \in \text{Pow } A}. 1 / \prod x) + \dots = (\sum_{x \in \text{Pow } A \cup \text{insert } a ' \text{Pow } A}. 1 / \prod x)$
 by (*intro sum.union-disjoint [symmetric]*) (*simp, simp, blast*)
 also have $\text{Pow } A \cup \text{insert } a ' \text{Pow } A = \text{Pow } (\text{insert } a A)$ by (*simp only: Pow-insert*)
 finally show $(\prod_{x \in \text{insert } a A}. 1 + 1 / x) = (\sum_{x \in \text{Pow } (\text{insert } a A)}. 1 / \prod x)$
 .
 qed *simp*

Next, we prove a simple and reasonably accurate upper bound for the sum of the squares of any subset of the natural numbers, derived by simple telescop-

ing. Our upper bound is approximately 1.67; the exact value is $\frac{\pi^2}{6} \approx 1.64$.
(cf. Basel problem)

lemma *prime-harmonic-aux2*:

assumes *finite* ($A :: \text{nat set}$)

shows $(\sum_{k \in A} 1 / (\text{real } k^2)) \leq 5/3$

proof –

define n **where** $n = \text{max } 2$ ($\text{Max } A$)

have $n: n \geq \text{Max } A$ $n \geq 2$ **by** (*auto simp: n-def*)

with *assms* **have** $A \subseteq \{0..n\}$ **by** (*auto intro: order.trans[OF Max-ge]*)

hence $(\sum_{k \in A} 1 / (\text{real } k^2)) \leq (\sum_{k=0..n} 1 / (\text{real } k^2))$ **by** (*intro sum-mono2*) *auto*

also from n **have** $\dots = 1 + (\sum_{k=\text{Suc } 1..n} 1 / (\text{real } k^2))$ **by** (*simp add: sum.atLeast-Suc-atMost*)

also have $(\sum_{k=\text{Suc } 1..n} 1 / (\text{real } k^2)) \leq$

$(\sum_{k=\text{Suc } 1..n} 1 / (\text{real } k^2 - 1/4))$ **unfolding** *power2-eq-square*

by (*intro sum-mono divide-left-mono mult-pos-pos*)

(*linarith, simp-all add: field-simps less-1-mult*)

also have $\dots = (\sum_{k=\text{Suc } 1..n} 1 / (\text{real } k - 1/2) - 1 / (\text{real } (\text{Suc } k) - 1/2))$

by (*intro sum.cong refl*) (*simp-all add: field-simps power2-eq-square*)

also from n **have** $\dots = 2 / 3 - 1 / (1 / 2 + \text{real } n)$

by (*subst sum-telescope'*) *simp-all*

also have $1 + \dots \leq 5/3$ **by** *simp*

finally show *?thesis* **by** – *simp*

qed

3.2 Estimating the partial sums of the Prime Harmonic Series

We are now ready to show our main result: the value of the partial prime harmonic sum over all primes no greater than n is bounded from below by the n -th harmonic number H_n minus some constant.

In our case, this constant will be $\frac{5}{3}$. As mentioned before, using a proof of the Basel problem can improve this to $\frac{\pi^2}{6}$, but the improvement is very small and the proof of the Basel problem is a very complex one.

The exact asymptotic behaviour of the partial sums is actually $\ln(\ln n) + M$, where M is the Meissel–Mertens constant (approximately 0.261).

theorem *prime-harmonic-lower*:

assumes $n: n \geq 2$

shows $(\sum_{p \leftarrow \text{primes-upto } n} 1 / \text{real } p) \geq \ln(\text{harm } n) - \ln(5/3)$

proof –

– the set of primes that we will allow in the squarefree part

define P **where** $P\ n = \text{set } (\text{primes-upto } n)$ **for** n

{

fix $n :: \text{nat}$

have *finite* ($P\ n$) **by** (*simp add: P-def*)

} **note** [*simp*] = *this*

— The function that combines the squarefree part and the square part
define f **where** $f = (\lambda(R, s :: \text{nat}). \prod R * s^2)$

— f is injective if the squarefree part contains only primes and the square part is positive.

have $\text{inj}: \text{inj-on } f \text{ (Pow (P n) } \times \{1..n\})$
proof (*rule inj-onI, clarify, rule conjI*)
fix $A1 A2 :: \text{nat set}$ **and** $s1 s2 :: \text{nat}$
assume $A: A1 \subseteq P \ n \ A2 \subseteq P \ n \ s1 \in \{1..n\} \ s2 \in \{1..n\} \ f \ (A1, s1) = f \ (A2, s2)$
have $\text{fin}: \text{finite } A1 \ \text{finite } A2$ **by** (*rule A(1,2)[THEN finite-subset], simp*)
show $A1 = A2 \ s1 = s2$
by (*(rule squarefree-decomposition-unique2'[of A1 s1 A2 s2], insert A fin, auto simp: f-def P-def set-primes-upto)[]*)
qed

— f hits every number between 1 and n . It also hits a lot of other numbers, but we do not care about those, since we only need a lower bound.

have $\text{surj}: \{1..n\} \subseteq f \text{ ' (Pow (P n) } \times \{1..n\})$
proof
fix x **assume** $x: x \in \{1..n\}$
have $x = f \ (\text{squarefree-part } x, \text{square-part } x)$ **by** (*simp add: f-def square-free-decompose*)
moreover **have** $\text{squarefree-part } x \in \text{Pow (P n)}$ **using** *squarefree-part-subset[of x] x*
by (*auto simp: P-def set-primes-upto intro: order.trans[OF squarefree-part-le[of - x]]*)
moreover **have** $\text{square-part } x \in \{1..n\}$ **using** x
by (*auto simp: Suc-le-eq intro: order.trans[OF square-part-le[of x]]*)
ultimately **show** $x \in f \text{ ' (Pow (P n) } \times \{1..n\})$ **by** *simp*
qed

— We now show the main result by rearranging the sum over all primes to a product over all all squarefree parts times a sum over all square parts, and then applying some simple-minded approximation

have $\text{harm } n = (\sum_{n=1..n}. 1 / \text{real } n)$ **by** (*simp add: harm-def field-simps*)
also **from** surj **have** $\dots \leq (\sum_{n \in f \text{ ' (Pow (P n) } \times \{1..n\})}. 1 / \text{real } n)$
by (*intro sum-mono2 finite-imageI finite-cartesian-product simp-all*)
also **from** inj **have** $\dots = (\sum_{x \in \text{Pow (P n) } \times \{1..n\}}. 1 / \text{real } (f \ x))$
by (*subst sum.reindex simp-all*)
also **have** $\dots = (\sum_{A \in \text{Pow (P n)}}. 1 / \text{real } (\prod A)) * (\sum_{k=1..n}. 1 / (\text{real } k)^2)$
unfolding *f-def*
by (*subst sum-product, subst sum.cartesian-product*) (*simp add: case-prod-beta*)
also **have** $\dots \leq (\sum_{A \in \text{Pow (P n)}}. 1 / \text{real } (\prod A)) * (5/3)$
by (*intro mult-left-mono prime-harmonic-aux2 sum-nonneg*)
(auto simp: P-def intro!: prod-nonneg)
also **have** $(\sum_{A \in \text{Pow (P n)}}. 1 / \text{real } (\prod A)) = (\sum_{A \in ((\text{' real}) \text{ ' Pow (P n)}. 1 / \prod A))$
by (*subst sum.reindex*) (*auto simp: inj-on-def inj-image-eq-iff prod.reindex*)

also have $((\cdot) \text{ real}) \cdot \text{Pow } (P \ n) = \text{Pow } (\text{real } \cdot P \ n)$ **by** $(\text{intro image-Pow-surj refl})$
also have $(\sum A \in \text{Pow } (\text{real } \cdot P \ n). 1 / \prod A) = (\prod x \in \text{real } \cdot P \ n. 1 + 1 / x)$
by $(\text{intro prime-harmonic-aux1 [symmetric] finite-imageI}) \text{ simp-all}$
also have $\dots = (\prod i \in P \ n. 1 + 1 / \text{real } i)$ **by** $(\text{subst prod.reindex}) (\text{auto simp: inj-on-def})$
also have $\dots \leq (\prod i \in P \ n. \exp (1 / \text{real } i))$ **by** $(\text{intro prod-mono}) \text{ auto}$
also have $\dots = \exp (\sum i \in P \ n. 1 / \text{real } i)$ **by** $(\text{simp add: exp-sum})$
finally have $\ln (\text{harm } n) \leq \ln (\dots * (5/3))$ **using** n
by $(\text{subst ln-le-cancel-iff}) \text{ simp-all}$
hence $\ln (\text{harm } n) - \ln (5/3) \leq (\sum i \in P \ n. 1 / \text{real } i)$
by $(\text{subst (asm) ln-mult}) (\text{simp-all add: algebra-simps})$
thus $?thesis$ **unfolding** $P\text{-def}$
by $(\text{subst (asm) sum.distinct-set-conv-list}) \text{ simp-all}$
qed

We can use the inequality $\ln(n+1) \leq H_n$ to estimate the asymptotic growth of the partial prime harmonic series. Note that $H_n \sim \ln n + \gamma$ where γ is the Euler–Mascheroni constant (approximately 0.577), so we lose some accuracy here.

corollary *prime-harmonic-lower'*:

assumes $n: n \geq 2$

shows $(\sum p \leftarrow \text{primes-upto } n. 1 / \text{real } p) \geq \ln (\ln (n + 1)) - \ln (5/3)$

proof –

from *assms ln-le-harm[of n]* **have** $\ln (\ln (\text{real } n + 1)) \leq \ln (\text{harm } n)$ **by** *simp*

also from *assms* **have** $\dots - \ln (5/3) \leq (\sum p \leftarrow \text{primes-upto } n. 1 / \text{real } p)$

by $(\text{rule prime-harmonic-lower})$

finally show $?thesis$ **by** – *simp*

qed

lemma *Bseq-eventually-mono*:

assumes *eventually* $(\lambda n. \text{norm } (f \ n) \leq \text{norm } (g \ n))$ *sequentially* *Bseq* g

shows *Bseq* f

proof –

from *assms(1)* **obtain** N **where** $N: \bigwedge n. n \geq N \implies \text{norm } (f \ n) \leq \text{norm } (g \ n)$

by $(\text{auto simp: eventually-at-top-linorder})$

from *assms(2)* **obtain** K **where** $K: \bigwedge n. \text{norm } (g \ n) \leq K$ **by** $(\text{blast elim!: BseqE})$

{

fix $n :: \text{nat}$

have $\text{norm } (f \ n) \leq \max K (\text{Max } \{\text{norm } (f \ n) \mid n. n < N\})$

apply $(\text{cases } n < N)$

apply $(\text{rule max.coboundedI2, rule Max.coboundedI, auto}) []$

apply $(\text{rule max.coboundedI1, force intro: order.trans[OF N K]})$

done

}

thus $?thesis$ **by** $(\text{blast intro: BseqI'})$

qed

lemma *Bseq-add*:

assumes *Bseq* ($f :: \text{nat} \Rightarrow 'a :: \text{real-normed-vector}$)

shows *Bseq* ($\lambda x. f x + c$)

proof –

from *assms* **obtain** K **where** $K: \bigwedge x. \text{norm } (f x) \leq K$ **unfolding** *Bseq-def* **by** *blast*

{
 fix $x :: \text{nat}$
 have $\text{norm } (f x + c) \leq \text{norm } (f x) + \text{norm } c$ **by** (*rule norm-triangle-ineq*)
 also have $\text{norm } (f x) \leq K$ **by** (*rule K*)
 finally have $\text{norm } (f x + c) \leq K + \text{norm } c$ **by** *simp*
}

thus *?thesis* **by** (*rule BseqI'*)

qed

lemma *convergent-imp-Bseq*: *convergent* $f \implies \text{Bseq } f$

by (*simp add: Cauchy-Bseq convergent-Cauchy*)

We now use our last estimate to show that the prime harmonic series diverges. This is obvious, since it is bounded from below by $\ln(\ln(n+1))$ minus some constant, which obviously tends to infinite.

Directly using the divergence of the harmonic series would also be possible and shorten this proof a bit..

corollary *prime-harmonic-series-unbounded*:

$\neg \text{Bseq } (\lambda n. \sum p \leftarrow \text{primes-upto } n. 1 / p)$ (**is** $\neg \text{Bseq } ?f$)

proof

assume *Bseq* $?f$

hence *Bseq* ($\lambda n. ?f n + \ln (5/3)$) **by** (*rule Bseq-add*)

have *Bseq* ($\lambda n. \ln (\ln (n + 1))$)

proof (*rule Bseq-eventually-mono*)

from *eventually-ge-at-top*[*of 2::nat*]

show *eventually* ($\lambda n. \text{norm } (\ln (\ln (n + 1))) \leq \text{norm } (?f n + \ln (5/3))$)
sequentially

proof *eventually-elim*

fix $n :: \text{nat}$ **assume** $n: n \geq 2$

hence $\text{norm } (\ln (\ln (\text{real } n + 1))) = \ln (\ln (\text{real } n + 1))$

using *ln-ln-nonneg*[*of real n + 1*] **by** *simp*

also have $\dots \leq ?f n + \ln (5/3)$ **using** *prime-harmonic-lower'*[*OF n*]

by (*simp add: algebra-simps*)

also have $?f n + \ln (5/3) \geq 0$ **by** (*intro add-nonneg-nonneg sum-list-nonneg*)
simp-all

hence $?f n + \ln (5/3) = \text{norm } (?f n + \ln (5/3))$ **by** *simp*

finally show $\text{norm } (\ln (\ln (n + 1))) \leq \text{norm } (?f n + \ln (5/3))$

by (*simp add: add-ac*)

qed

qed *fact*

then obtain k **where** $k: k > 0 \wedge n. \text{norm } (\ln (\ln (\text{real } (n::\text{nat}) + 1))) \leq k$

by (*auto elim!*: *BseqE simp: add-ac*)

define N **where** $N = \text{nat } \lceil \exp (\exp k) \rceil$
have $N\text{-pos}$: $N > 0$ **unfolding** $N\text{-def}$ **by** *simp*
have $\text{real } N + 1 > \exp (\exp k)$ **unfolding** $N\text{-def}$ **by** *linarith*
hence $\ln (\text{real } N + 1) > \ln (\exp (\exp k))$ **by** (*subst ln-less-cancel-iff*) *simp-all*
with $N\text{-pos}$ **have** $\ln (\ln (\text{real } N + 1)) > \ln (\exp k)$ **by** (*subst ln-less-cancel-iff*)
simp-all
hence $k < \ln (\ln (\text{real } N + 1))$ **by** *simp*
also have $\dots \leq \text{norm } (\ln (\ln (\text{real } N + 1)))$ **by** *simp*
finally show *False* **using** $k(2)[\text{of } N]$ **by** *simp*
qed

corollary *prime-harmonic-series-diverges*:
 $\neg \text{convergent } (\lambda n. \sum p \leftarrow \text{primes-upto } n. 1 / p)$
using *prime-harmonic-series-unbounded convergent-imp-Bseq* **by** *blast*

end