

# Partial Order Reduction

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## Abstract

This entry provides a formalization of the abstract theory of ample set partial order reduction as presented in [2, 1]. The formalization includes transition systems with actions, trace theory, as well as basics on finite, infinite, and lazy sequences. We also provide a basic framework for static analysis on concurrent systems with respect to the ample set condition.

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## 1 List Prefixes

```

theory List-Prefixes
imports HOL-Library.Prefix-Order
begin

lemmas [intro] = prefixI strict-prefixI[folded less-eq-list-def]
lemmas [elim] = prefixE strict-prefixE[folded less-eq-list-def]

lemmas [intro?] = take-is-prefix[folded less-eq-list-def]

hide-const (open) Sublist.prefix Sublist.suffix

lemma prefix-finI-item[intro!]:
  assumes  $a = b$   $u \leq v$ 
  shows  $a \# u \leq b \# v$ 
  using assms by force
lemma prefix-finE-item[elim!]:
  assumes  $a \# u \leq b \# v$ 
  obtains  $a = b$   $u \leq v$ 
  using assms by force

lemma prefix-fin-append[intro]:  $u \leq u @ v$  by auto
lemma pprefix-fin-length[dest]:
  assumes  $u < v$ 
  shows  $length\ u < length\ v$ 

```

```

proof –
  obtain  $a\ w$  where  $1: v = u @ a \# w$  using assms by rule
  show ?thesis unfolding  $1$  by simp
qed

```

**end**

## 2 Lists

```

theory List-Extensions
imports HOL-Library.Sublist
begin

```

```

declare remove1-idem[simp]

```

```

lemma nth-append-simps[simp]:
   $i < \text{length } xs \implies (xs @ ys) ! i = xs ! i$ 
   $i \geq \text{length } xs \implies (xs @ ys) ! i = ys ! (i - \text{length } xs)$ 
  unfolding nth-append by simp+

```

```

notation zip (infixr || 51)

```

```

abbreviation project  $A \equiv \text{filter } (\lambda a. a \in A)$ 

```

```

abbreviation select  $s\ w \equiv \text{nths } w\ s$ 

```

```

lemma map-plus[simp]:  $\text{map } (\text{plus } n) [i ..< j] = [i + n ..< j + n]$ 

```

```

proof (induct  $n$ )

```

```

  case  $0$ 

```

```

    show ?case by simp

```

```

  next

```

```

    case (Suc  $n$ )

```

```

    have  $\text{map } (\text{plus } (\text{Suc } n)) [i ..< j] = \text{map } (\text{Suc } \circ \text{plus } n) [i ..< j]$  by simp

```

```

    also have  $\dots = (\text{map } \text{Suc } \circ \text{map } (\text{plus } n)) [i ..< j]$  by simp

```

```

    also have  $\dots = \text{map } \text{Suc } (\text{map } (\text{plus } n) [i ..< j])$  by simp

```

```

    also have  $\dots = \text{map } \text{Suc } [i + n ..< j + n]$  unfolding Suc by simp

```

```

    also have  $\dots = [\text{Suc } (i + n) ..< \text{Suc } (j + n)]$  unfolding map-Suc-upt by simp

```

```

    also have  $\dots = [i + \text{Suc } n ..< j + \text{Suc } n]$  by simp

```

```

    finally show ?case by this

```

```

qed

```

```

lemma singleton-list-lengthE[elim]:

```

```

  assumes  $\text{length } xs = 1$ 

```

```

  obtains  $x$ 

```

```

  where  $xs = [x]$ 

```

```

proof –

```

```

  have  $0: \text{length } xs = \text{Suc } 0$  using assms by simp

```

```

  obtain  $y\ ys$  where  $1: xs = y \# ys$   $\text{length } ys = 0$  using  $0$  Suc-length-conv by

```

```

metis

```

```

  show ?thesis using that  $1$  by blast

```

qed

lemma *singleton-hd-last*:  $length\ xs = 1 \implies hd\ xs = last\ xs$  by *fastforce*

lemma *set-subsetI*[*intro*]:

assumes  $\bigwedge i. i < length\ xs \implies xs\ !\ i \in S$   
shows  $set\ xs \subseteq S$

proof

fix  $x$

assume  $0: x \in set\ xs$

obtain  $i$  where  $1: i < length\ xs\ x = xs\ !\ i$  using  $0$  unfolding *in-set-conv-nth*

by *blast*

show  $x \in S$  using *assms*(1) 1 by *auto*

qed

lemma *hd-take*[*simp*]:

assumes  $n \neq 0\ xs \neq []$   
shows  $hd\ (take\ n\ xs) = hd\ xs$

proof -

have  $1: take\ n\ xs \neq []$  using *assms* by *simp*

have  $2: 0 < n$  using *assms* by *simp*

have  $hd\ (take\ n\ xs) = take\ n\ xs\ !\ 0$  using *hd-conv-nth*[*OF* 1] by *this*

also have  $\dots = xs\ !\ 0$  using *nth-take*[*OF* 2] by *this*

also have  $\dots = hd\ xs$  using *hd-conv-nth*[*OF* *assms*(2)] by *simp*

finally show *?thesis* by *this*

qed

lemma *hd-drop*[*simp*]:

assumes  $n < length\ xs$   
shows  $hd\ (drop\ n\ xs) = xs\ !\ n$   
using *hd-drop-conv-nth* *assms* by *this*

lemma *last-take*[*simp*]:

assumes  $n < length\ xs$   
shows  $last\ (take\ (Suc\ n)\ xs) = xs\ !\ n$

using *assms*

proof (*induct xs arbitrary: n*)

case (*Nil*)

show *?case* using *Nil* by *simp*

next

case (*Cons x xs*)

show *?case* using *Cons* by (*auto*) (*metis Suc-less-eq Suc-pred*)

qed

lemma *split-list-first-unique*:

assumes  $u_1\ @\ [a]\ @\ u_2 = v_1\ @\ [a]\ @\ v_2\ a \notin set\ u_1\ a \notin set\ v_1$   
shows  $u_1 = v_1$

proof -

obtain  $w$  where  $u_1 = v_1\ @\ w \wedge w\ @\ [a]\ @\ u_2 = [a]\ @\ v_2 \vee$

$u_1\ @\ w = v_1\ @\ [a]\ @\ u_2 = w\ @\ [a]\ @\ v_2$  using *assms*(1) *append-eq-append-conv2*

by *blast*

**thus** *?thesis* **using** *assms(2, 3)* **by** (*auto*) (*metis hd-append2 list.sel(1) list.set-sel(1)*)+  
**qed**

**end**

### 3 Finite Prefixes of Infinite Sequences

**theory** *Word-Prefixes*

**imports**

*List-Prefixes*

*../Extensions/List-Extensions*

*Transition-Systems-and-Automata.Sequence*

**begin**

**definition** *prefix-fininf* :: '*a list*  $\Rightarrow$  '*a stream*  $\Rightarrow$  *bool* (**infix**  $\leq_{FI}$  50)  
**where**  $u \leq_{FI} v \equiv \exists w. u @- w = v$

**lemma** *prefix-fininfI[intro]*:  
**assumes**  $u @- w = v$   
**shows**  $u \leq_{FI} v$   
**using** *assms* **unfolding** *prefix-fininf-def* **by** *auto*

**lemma** *prefix-fininfE[elim]*:  
**assumes**  $u \leq_{FI} v$   
**obtains**  $w$   
**where**  $v = u @- w$   
**using** *assms* **unfolding** *prefix-fininf-def* **by** *auto*

**lemma** *prefix-fininfI-empty[intro!]*:  $[] \leq_{FI} w$  **by** *force*

**lemma** *prefix-fininfI-item[intro!]*:  
**assumes**  $a = b$   $u \leq_{FI} v$   
**shows**  $a \# u \leq_{FI} b \#\# v$   
**using** *assms* **by** *force*

**lemma** *prefix-fininfE-item[elim!]*:  
**assumes**  $a \# u \leq_{FI} b \#\# v$   
**obtains**  $a = b$   $u \leq_{FI} v$   
**using** *assms* **by** *force*

**lemma** *prefix-fininf-item[simp]*:  $a \# u \leq_{FI} a \#\# v \longleftrightarrow u \leq_{FI} v$  **by** *force*

**lemma** *prefix-fininf-list[simp]*:  $w @ u \leq_{FI} w @- v \longleftrightarrow u \leq_{FI} v$  **by** (*induct w*,  
*auto*)

**lemma** *prefix-fininf-conc[intro]*:  $u \leq_{FI} u @- v$  **by** *auto*

**lemma** *prefix-fininf-prefix[intro]*: *stake k w*  $\leq_{FI}$  *w* **using** *stake-sdrop* **by** *blast*

**lemma** *prefix-fininf-set-range[dest]*:  $u \leq_{FI} v \Longrightarrow \text{set } u \subseteq \text{sset } v$  **by** *auto*

**lemma** *prefix-fininf-absorb*:  
**assumes**  $u \leq_{FI} v @- w$   $\text{length } u \leq \text{length } v$   
**shows**  $u \leq v$

**proof**  $-$

**obtain**  $x$  **where**  $1: u @- x = v @- w$  **using** *assms(1)* **by** *auto*

**have**  $u \leq u @ stake (length v - length u) x$  **by rule**  
**also have**  $\dots = stake (length v) (u @- x)$  **using** *assms(2)* **by** (*simp add: stake-shift*)  
**also have**  $\dots = stake (length v) (v @- w)$  **unfolding 1 by rule**  
**also have**  $\dots = v$  **using** *eq-shift* **by blast**  
**finally show** *?thesis* **by this**  
**qed**  
**lemma** *prefix-fininf-extend*:  
**assumes**  $u \leq_{FI} v @- w$   $length v \leq length u$   
**shows**  $v \leq u$   
**proof** –  
**obtain**  $x$  **where**  $1: u @- x = v @- w$  **using** *assms(1)* **by auto**  
**have**  $v \leq v @ stake (length u - length v) w$  **by rule**  
**also have**  $\dots = stake (length u) (v @- w)$  **using** *assms(2)* **by** (*simp add: stake-shift*)  
**also have**  $\dots = stake (length u) (u @- x)$  **unfolding 1 by rule**  
**also have**  $\dots = u$  **using** *eq-shift* **by blast**  
**finally show** *?thesis* **by this**  
**qed**  
**lemma** *prefix-fininf-length*:  
**assumes**  $u \leq_{FI} w$   $v \leq_{FI} w$   $length u \leq length v$   
**shows**  $u \leq v$   
**proof** –  
**obtain**  $u' v'$  **where**  $1: w = u @- u'$   $w = v @- v'$  **using** *assms(1, 2)* **by**  
*blast+*  
**have**  $u = stake (length u) (u @- u')$  **using** *shift-eq* **by blast**  
**also have**  $\dots = stake (length u) w$  **unfolding 1(1) by rule**  
**also have**  $\dots = stake (length u) (v @- v')$  **unfolding 1(2) by rule**  
**also have**  $\dots = take (length u) v$  **using** *assms* **by** (*simp add: min.absorb2 stake-append*)  
**also have**  $\dots \leq v$  **by rule**  
**finally show** *?thesis* **by this**  
**qed**  
  
**lemma** *prefix-fininf-append*:  
**assumes**  $u \leq_{FI} v @- w$   
**obtains** (*absorb*)  $u \leq v$  | (*extend*)  $z$  **where**  $u = v @ z$   $z \leq_{FI} w$   
**proof** (*cases length u length v rule: le-cases*)  
**case** *le*  
**obtain**  $x$  **where**  $1: u @- x = v @- w$  **using** *assms(1)* **by auto**  
**show** *?thesis*  
**proof** (*rule absorb*)  
**have**  $u \leq u @ stake (length v - length u) x$  **by rule**  
**also have**  $\dots = stake (length v) (u @- x)$  **using** *le* **by** (*simp add: stake-shift*)  
**also have**  $\dots = stake (length v) (v @- w)$  **unfolding 1 by rule**  
**also have**  $\dots = v$  **using** *eq-shift* **by blast**  
**finally show**  $u \leq v$  **by this**  
**qed**  
**next**

```

case ge
obtain x where 1: u @- x = v @- w using assms(1) by auto
show ?thesis
proof (rule extend)
  have u = stake (length u) (u @- x) using shift-eq by auto
  also have ... = stake (length u) (v @- w) unfolding 1 by rule
  also have ... = v @ stake (length u - length v) w using ge by (simp add:
stake-shift)
  finally show u = v @ stake (length u - length v) w by this
  show stake (length u - length v) w ≤FI w by rule
qed
qed

```

```

lemma prefix-fin-prefix-fininf-trans[trans, intro]: u ≤ v ⇒ v ≤FI w ⇒ u ≤FI
w
by (metis Prefix-Order.prefixE prefix-fininf-def shift-append)

```

```

lemma prefix-finE-nth:
  assumes u ≤ v i < length u
  shows u ! i = v ! i

```

```

proof -
  obtain w where 1: v = u @ w using assms(1) by auto
  show ?thesis unfolding 1 using assms(2) by (simp add: nth-append)
qed

```

```

lemma prefix-fininfI-nth:
  assumes ∧ i. i < length u ⇒ u ! i = w !! i
  shows u ≤FI w

```

```

proof (rule prefix-fininfI)
  show u @- sdrop (length u) w = w by (simp add: assms list-eq-iff-nth-eq
shift-eq)
qed

```

```

definition chain :: (nat ⇒ 'a list) ⇒ bool
  where chain w ≡ mono w ∧ (∀ k. ∃ l. k < length (w l))

```

```

definition limit :: (nat ⇒ 'a list) ⇒ 'a stream
  where limit w ≡ smap (λ k. w (SOME l. k < length (w l)) ! k) nats

```

```

lemma chainI[intro?]:
  assumes mono w
  assumes ∧ k. ∃ l. k < length (w l)
  shows chain w
  using assms unfolding chain-def by auto

```

```

lemma chainD-mono[dest?]:
  assumes chain w
  shows mono w
  using assms unfolding chain-def by auto

```

```

lemma chainE-length[elim?]:
  assumes chain w
  obtains l

```

where  $k < \text{length } (w \ l)$   
**using** *assms unfolding chain-def* **by** *auto*

**lemma** *chain-prefix-limit:*

**assumes** *chain w*

**shows**  $w \ k \leq_{FI} \text{limit } w$

**proof** (*rule prefix-fininfI-nth*)

**fix** *i*

**assume**  $1: i < \text{length } (w \ k)$

**have**  $2: \text{mono } w \ \wedge \ k. \ \exists \ l. \ k < \text{length } (w \ l)$  **using** *chainD-mono chainE-length*  
*assms* **by** *blast+*

**have**  $3: i < \text{length } (w \ (\text{SOME } l. \ i < \text{length } (w \ l)))$  **using** *someI-ex 2(2)* **by**  
*this*

**show**  $w \ k \ ! \ i = \text{limit } w \ ! \ i$

**proof** (*cases k SOME l. i < length (w l) rule: le-cases*)

**case** (*le*)

**have**  $4: w \ k \leq w \ (\text{SOME } l. \ i < \text{length } (w \ l))$  **using** *monoD 2(1) le* **by** *this*

**show** *?thesis* **unfolding** *limit-def* **using** *prefix-finE-nth 4 1* **by** *auto*

**next**

**case** (*ge*)

**have**  $4: w \ (\text{SOME } l. \ i < \text{length } (w \ l)) \leq w \ k$  **using** *monoD 2(1) ge* **by** *this*

**show** *?thesis* **unfolding** *limit-def* **using** *prefix-finE-nth 4 3* **by** *auto*

**qed**

**qed**

**lemma** *chain-construct-1:*

**assumes**  $P \ 0 \ x_0 \ \wedge \ k \ x. \ P \ k \ x \ \Longrightarrow \ \exists \ x'. \ P \ (\text{Suc } k) \ x' \ \wedge \ f \ x \leq f \ x'$

**assumes**  $\bigwedge \ k \ x. \ P \ k \ x \ \Longrightarrow \ k \leq \text{length } (f \ x)$

**obtains** *Q*

**where**  $\bigwedge \ k. \ P \ k \ (Q \ k)$  *chain* ( $f \circ Q$ )

**proof**  $-$

**obtain** *x'* **where**  $1:$

$P \ 0 \ x_0 \ \wedge \ k \ x. \ P \ k \ x \ \Longrightarrow \ P \ (\text{Suc } k) \ (x' \ k \ x) \ \wedge \ f \ x \leq f \ (x' \ k \ x)$

**using** *assms(1, 2)* **by** *metis*

**define** *Q* **where**  $Q \equiv \text{rec-nat } x_0 \ x'$

**have** [*simp*]:  $Q \ 0 = x_0 \ \wedge \ k. \ Q \ (\text{Suc } k) = x' \ k \ (Q \ k)$  **unfolding** *Q-def* **by**  
*simp+*

**have**  $2: \bigwedge \ k. \ P \ k \ (Q \ k)$

**proof**  $-$

**fix** *k*

**show**  $P \ k \ (Q \ k)$  **using**  $1$  **by** (*induct k, auto*)

**qed**

**show** *?thesis*

**proof** (*intro that chainI monoI, unfold comp-apply*)

**fix** *k*

**show**  $P \ k \ (Q \ k)$  **using**  $2$  **by** *this*

**next**

**fix**  $x \ y :: \text{nat}$

**assume**  $x \leq y$



```

thus  $f (Q x) \leq f (Q y)$ 
proof (induct y - x arbitrary: x y)
  case 0
  show ?case using 0 by simp
next
  case (Suc k)
  have  $f (Q x) \leq f (Q (Suc x))$  using 1(2) 2 by auto
  also have  $\dots \leq f (Q y)$  using Suc(2) by (intro Suc(1), auto)
  finally show ?case by this
qed
next
fix k
have 3:  $P (Suc k) (Q (Suc k))$  using 2 by this
have 4:  $Suc k \leq length (f (Q (Suc k)))$  using assms(3) 3 by this
have 5:  $k < length (f (Q (Suc k)))$  using 4 by auto
show  $\exists l. k < length (f (Q l))$  using 5 by blast
qed
qed
lemma chain-construct-2:
  assumes  $P 0 x_0 \wedge k x. P k x \implies \exists x'. P (Suc k) x' \wedge f x \leq f x' \wedge g x \leq g x'$ 
  assumes  $\wedge k x. P k x \implies k \leq length (f x) \wedge k x. P k x \implies k \leq length (g x)$ 
  obtains Q
  where  $\wedge k. P k (Q k)$  chain (f o Q) chain (g o Q)
proof -
  obtain x' where 1:
     $P 0 x_0 \wedge k x. P k x \implies P (Suc k) (x' k x) \wedge f x \leq f (x' k x) \wedge g x \leq g (x'$ 
k x)
    using assms(1, 2) by metis
  define Q where  $Q \equiv rec-nat x_0 x'$ 
  have [simp]:  $Q 0 = x_0 \wedge k. Q (Suc k) = x' k (Q k)$  unfolding Q-def by
simp+
  have 2:  $\wedge k. P k (Q k)$ 
  proof -
    fix k
    show  $P k (Q k)$  using 1 by (induct k, auto)
  qed
show ?thesis
proof (intro that chainI monoI, unfold comp-apply)
  fix k
  show  $P k (Q k)$  using 2 by this
next
fix x y :: nat
assume  $x \leq y$ 
thus  $f (Q x) \leq f (Q y)$ 
proof (induct y - x arbitrary: x y)
  case 0
  show ?case using 0 by simp
next
  case (Suc k)

```

```

    have  $f(Q x) \leq f(Q (Suc x))$  using 1(2) 2 by auto
    also have  $\dots \leq f(Q y)$  using Suc(2) by (intro Suc(1), auto)
    finally show ?case by this
  qed
next
  fix  $k$ 
  have 3:  $P(Suc k)(Q(Suc k))$  using 2 by this
  have 4:  $Suc k \leq \text{length}(f(Q(Suc k)))$  using assms(3) 3 by this
  have 5:  $k < \text{length}(f(Q(Suc k)))$  using 4 by auto
  show  $\exists l. k < \text{length}(f(Q l))$  using 5 by blast
next
  fix  $x y :: nat$ 
  assume  $x \leq y$ 
  thus  $g(Q x) \leq g(Q y)$ 
  proof (induct  $y - x$  arbitrary:  $x y$ )
    case 0
    show ?case using 0 by simp
  next
    case (Suc  $k$ )
    have  $g(Q x) \leq g(Q(Suc x))$  using 1(2) 2 by auto
    also have  $\dots \leq g(Q y)$  using Suc(2) by (intro Suc(1), auto)
    finally show ?case by this
  qed
next
  fix  $k$ 
  have 3:  $P(Suc k)(Q(Suc k))$  using 2 by this
  have 4:  $Suc k \leq \text{length}(g(Q(Suc k)))$  using assms(4) 3 by this
  have 5:  $k < \text{length}(g(Q(Suc k)))$  using 4 by auto
  show  $\exists l. k < \text{length}(g(Q l))$  using 5 by blast
qed
qed
lemma chain-construct-2':
  assumes  $P 0 u_0 v_0 \wedge k u v. P k u v \implies \exists u' v'. P(Suc k) u' v' \wedge u \leq u' \wedge v \leq v'$ 
  assumes  $\wedge k u v. P k u v \implies k \leq \text{length } u \wedge k u v. P k u v \implies k \leq \text{length } v$ 
  obtains  $u v$ 
  where  $\wedge k. P k (u k) (v k)$  chain  $u$  chain  $v$ 
proof -
  obtain  $Q$  where 1:  $\wedge k. (\text{case-prod} \circ P) k (Q k)$  chain  $(fst \circ Q)$  chain  $(snd \circ Q)$ 
  proof (rule chain-construct-2)
    show  $\exists x'. (\text{case-prod} \circ P) (Suc k) x' \wedge \text{fst } x \leq \text{fst } x' \wedge \text{snd } x \leq \text{snd } x'$ 
    if  $(\text{case-prod} \circ P) k x$  for  $k x$  using assms that by auto
    show  $(\text{case-prod} \circ P) 0 (u_0, v_0)$  using assms by auto
    show  $k \leq \text{length}(fst x)$  if  $(\text{case-prod} \circ P) k x$  for  $k x$  using assms that by
  auto
  show  $k \leq \text{length}(snd x)$  if  $(\text{case-prod} \circ P) k x$  for  $k x$  using assms that by
  auto
  qed rule

```

```

    show ?thesis
  proof
    show  $P\ k\ ((fst \circ Q)\ k)\ ((snd \circ Q)\ k)$  for  $k$  using 1(1) by (auto simp:
prod.case-eq-if)
    show chain (fst  $\circ$  Q) chain (snd  $\circ$  Q) using 1(2, 3) by this
  qed
qed
end

```

## 4 Sets

theory Set-Extensions

imports

HOL-Library.Infinite-Set

begin

declare finite-subset[intro]

lemma set-not-emptyI[intro 0]:  $x \in S \implies S \neq \{\}$  by auto

lemma sets-empty-iffI[intro 0]:

assumes  $\bigwedge a. a \in A \implies \exists b. b \in B$

assumes  $\bigwedge b. b \in B \implies \exists a. a \in A$

shows  $A = \{\} \longleftrightarrow B = \{\}$

using assms by auto

lemma disjointI[intro 0]:

assumes  $\bigwedge x. x \in A \implies x \in B \implies \text{False}$

shows  $A \cap B = \{\}$

using assms by auto

lemma range-subsetI[intro 0]:

assumes  $\bigwedge x. f\ x \in S$

shows  $\text{range}\ f \subseteq S$

using assms by blast

lemma finite-imageI-range:

assumes finite (range f)

shows finite (f ' A)

using finite-subset image-mono subset-UNIV assms by metis

lemma inf-img-fin-domE':

assumes infinite A

assumes finite (f ' A)

obtains y

where  $y \in f\ ' A$  infinite (A  $\cap$  f - ' {y})

proof (rule ccontr)

assume 1:  $\neg$  thesis

have 2: finite ( $\bigcup y \in f\ ' A. A \cap f\ -\ ' \{y\}$ )

proof (rule finite-UN-I)

show finite (f ' A) using assms(2) by this

**show**  $\bigwedge y. y \in f \text{ ` } A \implies \text{finite } (A \cap f \text{ - ` } \{y\})$  **using** *that 1* **by** *blast*  
**qed**  
**have**  $\exists: A \subseteq (\bigcup y \in f \text{ ` } A. A \cap f \text{ - ` } \{y\})$  **by** *blast*  
**show** *False* **using** *assms(1) 2 3* **by** *blast*  
**qed**

**lemma** *vimage-singleton[simp]:*  $f \text{ - ` } \{y\} = \{x. f \ x = y\}$  **unfolding** *vimage-def*  
**by** *simp*

**lemma** *these-alt-def:*  $\text{Option.these } S = \text{Some - ` } S$  **unfolding** *Option.these-def*  
**by** *force*

**lemma** *the-vimage-subset:*  $\text{the - ` } \{a\} \subseteq \{\text{None}, \text{Some } a\}$  **by** *auto*

**lemma** *finite-induct-reverse[consumes 1, case-names remove]:*

**assumes** *finite S*

**assumes**  $\bigwedge S. \text{finite } S \implies (\bigwedge x. x \in S \implies P (S - \{x\})) \implies P \ S$

**shows**  $P \ S$

**using** *assms(1)*

**proof** (*induct rule: finite-psubset-induct*)

**case** (*psubset S*)

**show** *?case*

**proof** (*rule assms(2)*)

**show** *finite S* **using** *psubset(1)* **by** *this*

**next**

**fix** *x*

**assume**  $0: x \in S$

**show**  $P (S - \{x\})$

**proof** (*rule psubset(2)*)

**show**  $S - \{x\} \subset S$  **using**  $0$  **by** *auto*

**qed**

**qed**

**qed**

**lemma** *zero-not-in-Suc-image[simp]:*  $0 \notin \text{Suc ` } A$  **by** *auto*

**lemma** *Collect-split-Suc:*

$\neg P \ 0 \implies \{i. P \ i\} = \text{Suc ` } \{i. P \ (\text{Suc } i)\}$

$P \ 0 \implies \{i. P \ i\} = \{0\} \cup \text{Suc ` } \{i. P \ (\text{Suc } i)\}$

**proof**  $-$

**assume**  $\neg P \ 0$

**thus**  $\{i. P \ i\} = \text{Suc ` } \{i. P \ (\text{Suc } i)\}$

**by** (*auto,metis image-eqI mem-Collect-eq nat.exhaust*)

**next**

**assume**  $P \ 0$

**thus**  $\{i. P \ i\} = \{0\} \cup \text{Suc ` } \{i. P \ (\text{Suc } i)\}$

**by** (*auto,metis imageI mem-Collect-eq not0-implies-Suc*)

**qed**

**lemma** *Collect-subsume[simp]:*

**assumes**  $\bigwedge x. x \in A \implies P x$   
**shows**  $\{x \in A. P x\} = A$   
**using** *assms unfolding simp-implies-def* **by** *auto*

**lemma** *Max-ge'*:  
**assumes** *finite A A  $\neq \{\}$*   
**assumes**  $b \in A a \leq b$   
**shows**  $a \leq \text{Max } A$   
**using** *assms Max-ge-iff* **by** *auto*

**abbreviation**  $\text{least } A \equiv \text{LEAST } k. k \in A$

**lemma** *least-contains[intro?, simp]*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $k \in A$   
**shows**  $\text{least } A \in A$   
**using** *assms by (metis LeastI)*

**lemma** *least-contains'[intro?, simp]*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $A \neq \{\}$   
**shows**  $\text{least } A \in A$   
**using** *assms by (metis LeastI equalsOI)*

**lemma** *least-least[intro?, simp]*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $k \in A$   
**shows**  $\text{least } A \leq k$   
**using** *assms by (metis Least-le)*

**lemma** *least-unique*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $k \in A k \leq \text{least } A$   
**shows**  $k = \text{least } A$   
**using** *assms by (metis Least-le antisym)*

**lemma** *least-not-less*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $k < \text{least } A$   
**shows**  $k \notin A$   
**using** *assms by (metis not-less-Least)*

**lemma** *leastI2-order[simp]*:  
**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes**  $A \neq \{\} \bigwedge k. k \in A \implies (\bigwedge l. l \in A \implies k \leq l) \implies P k$   
**shows**  $P (\text{least } A)$

**proof** (*rule LeastI2-order*)  
**show**  $\text{least } A \in A$  **using** *assms(1)* **by** *rule*

**next**  
**fix**  $k$   
**assume**  $1: k \in A$   
**show**  $\text{least } A \leq k$  **using**  $1$  **by** *rule*  
**next**  
**fix**  $k$

**assume** 1:  $k \in A \forall l. l \in A \longrightarrow k \leq l$   
**show**  $P k$  **using** *assms(2)* 1 **by** *auto*  
**qed**

**lemma** *least-singleton[simp]*:  
**fixes**  $a :: 'a :: wellorder$   
**shows**  $\text{least } \{a\} = a$   
**by** (*metis insert-not-empty least-contains' singletonD*)

**lemma** *least-image[simp]*:  
**fixes**  $f :: 'a :: wellorder \Rightarrow 'b :: wellorder$   
**assumes**  $A \neq \{\}$   $\wedge k l. k \in A \Longrightarrow l \in A \Longrightarrow k \leq l \Longrightarrow f k \leq f l$   
**shows**  $\text{least } (f ' A) = f (\text{least } A)$   
**proof** (*rule leastI2-order*)  
**show**  $A \neq \{\}$  **using** *assms(1)* **by** *this*  
**next**  
**fix**  $k$   
**assume** 1:  $k \in A \wedge i. i \in A \Longrightarrow k \leq i$   
**show**  $\text{least } (f ' A) = f k$   
**proof** (*rule leastI2-order*)  
**show**  $f ' A \neq \{\}$  **using** *assms(1)* **by** *simp*  
**next**  
**fix**  $l$   
**assume** 2:  $l \in f ' A \wedge i. i \in f ' A \Longrightarrow l \leq i$   
**show**  $l = f k$  **using** *assms(2)* 1 2 **by** *force*  
**qed**  
**qed**

**lemma** *least-le*:  
**fixes**  $A B :: 'a :: wellorder set$   
**assumes**  $B \neq \{\}$   
**assumes**  $\wedge i. i \leq \text{least } A \Longrightarrow i \leq \text{least } B \Longrightarrow i \in B \Longrightarrow i \in A$   
**shows**  $\text{least } A \leq \text{least } B$   
**proof** (*rule ccontr*)  
**assume** 1:  $\neg \text{least } A \leq \text{least } B$   
**have** 2:  $\text{least } B \in A$  **using** *assms(1, 2)* 1 **by** *simp*  
**have** 3:  $\text{least } A \leq \text{least } B$  **using** 2 **by** *rule*  
**show** *False* **using** 1 3 **by** *rule*  
**qed**

**lemma** *least-eq*:  
**fixes**  $A B :: 'a :: wellorder set$   
**assumes**  $A \neq \{\}$   $B \neq \{\}$   
**assumes**  $\wedge i. i \leq \text{least } A \Longrightarrow i \leq \text{least } B \Longrightarrow i \in A \longleftrightarrow i \in B$   
**shows**  $\text{least } A = \text{least } B$   
**using** *assms* **by** (*auto intro: antisym least-le*)

**lemma** *least-Suc[simp]*:  
**assumes**  $A \neq \{\}$   
**shows**  $\text{least } (\text{Suc } ' A) = \text{Suc } (\text{least } A)$

**proof** (*rule antisym*)  
**obtain**  $k$  **where**  $10: k \in A$  **using** *assms* **by** *blast*  
**have**  $11: \text{Suc } k \in \text{Suc } 'A$  **using**  $10$  **by** *auto*  
**have**  $20: \text{least } A \in A$  **using**  $10$  *LeastI* **by** *metis*  
**have**  $21: \text{least } (\text{Suc } 'A) \in \text{Suc } 'A$  **using**  $11$  *LeastI* **by** *metis*  
**have**  $30: \bigwedge l. l \in A \implies \text{least } A \leq l$  **using**  $10$  *Least-le* **by** *metis*  
**have**  $31: \bigwedge l. l \in \text{Suc } 'A \implies \text{least } (\text{Suc } 'A) \leq l$  **using**  $11$  *Least-le* **by** *metis*  
**show**  $\text{least } (\text{Suc } 'A) \leq \text{Suc } (\text{least } A)$  **using**  $20$   $31$  **by** *auto*  
**show**  $\text{Suc } (\text{least } A) \leq \text{least } (\text{Suc } 'A)$  **using**  $21$   $30$  **by** *auto*  
**qed**

**lemma** *least-Suc-diff[simp]*:  $\text{Suc } 'A - \{\text{least } (\text{Suc } 'A)\} = \text{Suc } '(A - \{\text{least } A\})$

**proof** (*cases*  $A = \{\}$ )  
**case** *True*  
**show** *?thesis* **unfolding** *True* **by** *simp*  
**next**  
**case** *False*  
**have**  $\text{Suc } 'A - \{\text{least } (\text{Suc } 'A)\} = \text{Suc } 'A - \{\text{Suc } (\text{least } A)\}$  **using** *False* **by**  
*simp*  
**also** **have**  $\dots = \text{Suc } 'A - \text{Suc } '\{\text{least } A\}$  **by** *simp*  
**also** **have**  $\dots = \text{Suc } '(A - \{\text{least } A\})$  **by** *blast*  
**finally** **show** *?thesis* **by** *this*  
**qed**

**lemma** *Max-diff-least[simp]*:

**fixes**  $A :: 'a :: \text{wellorder set}$   
**assumes** *finite*  $A - \{\text{least } A\} \neq \{\}$   
**shows**  $\text{Max } (A - \{\text{least } A\}) = \text{Max } A$

**proof** –  
**have**  $1: \text{least } A \in A$  **using** *assms(2)* **by** *auto*  
**obtain**  $a$  **where**  $2: a \in A - \{\text{least } A\}$  **using** *assms(2)* **by** *blast*  
**have**  $\text{Max } A = \text{Max } (\text{insert } (\text{least } A) (A - \{\text{least } A\}))$  **using** *insert-absorb 1*  
**by** *force*  
**also** **have**  $\dots = \text{max } (\text{least } A) (\text{Max } (A - \{\text{least } A\}))$   
**proof** (*rule* *Max-insert*)  
**show** *finite*  $(A - \{\text{least } A\})$  **using** *assms(1)* **by** *auto*  
**show**  $A - \{\text{least } A\} \neq \{\}$  **using** *assms(2)* **by** *this*  
**qed**  
**also** **have**  $\dots = \text{Max } (A - \{\text{least } A\})$   
**proof** (*rule* *max-absorb2*, *rule* *Max-ge'*)  
**show** *finite*  $(A - \{\text{least } A\})$  **using** *assms(1)* **by** *auto*  
**show**  $A - \{\text{least } A\} \neq \{\}$  **using** *assms(2)* **by** *this*  
**show**  $a \in A - \{\text{least } A\}$  **using**  $2$  **by** *this*  
**show**  $\text{least } A \leq a$  **using**  $2$  **by** *simp*  
**qed**  
**finally** **show** *?thesis* **by** *rule*  
**qed**

**lemma** *nat-set-card-equality-less*:

```

fixes A :: nat set
assumes x ∈ A y ∈ A card {z ∈ A. z < x} = card {z ∈ A. z < y}
shows x = y
proof (cases x y rule: linorder-cases)
  case less
    have 0: finite {z ∈ A. z < y} by simp
    have 1: {z ∈ A. z < x} ⊂ {z ∈ A. z < y} using assms(1, 2) less by force
    have 2: card {z ∈ A. z < x} < card {z ∈ A. z < y} using psubset-card-mono
  0 1 by this
  show ?thesis using assms(3) 2 by simp
next
  case equal
    show ?thesis using equal by this
next
  case greater
    have 0: finite {z ∈ A. z < x} by simp
    have 1: {z ∈ A. z < y} ⊂ {z ∈ A. z < x} using assms(1, 2) greater by force
    have 2: card {z ∈ A. z < y} < card {z ∈ A. z < x} using psubset-card-mono
  0 1 by this
  show ?thesis using assms(3) 2 by simp
qed

```

```

lemma nat-set-card-equality-le:
  fixes A :: nat set
  assumes x ∈ A y ∈ A card {z ∈ A. z ≤ x} = card {z ∈ A. z ≤ y}
  shows x = y
  proof (cases x y rule: linorder-cases)
    case less
      have 0: finite {z ∈ A. z ≤ y} by simp
      have 1: {z ∈ A. z ≤ x} ⊂ {z ∈ A. z ≤ y} using assms(1, 2) less by force
      have 2: card {z ∈ A. z ≤ x} < card {z ∈ A. z ≤ y} using psubset-card-mono
    0 1 by this
    show ?thesis using assms(3) 2 by simp
  next
  case equal
    show ?thesis using equal by this
  next
  case greater
    have 0: finite {z ∈ A. z ≤ x} by simp
    have 1: {z ∈ A. z ≤ y} ⊂ {z ∈ A. z ≤ x} using assms(1, 2) greater by force
    have 2: card {z ∈ A. z ≤ y} < card {z ∈ A. z ≤ x} using psubset-card-mono
  0 1 by this
  show ?thesis using assms(3) 2 by simp
qed

```

```

lemma nat-set-card-mono[simp]:
  fixes A :: nat set
  assumes x ∈ A
  shows card {z ∈ A. z < x} < card {z ∈ A. z < y} ↔ x < y

```



```

proof
  assume 1:  $\text{card } \{z \in A. z < x\} < \text{card } \{z \in A. z < y\}$ 
  show  $x < y$ 
  proof (rule ccontr)
    assume 2:  $\neg x < y$ 
    have 3:  $\text{card } \{z \in A. z < y\} \leq \text{card } \{z \in A. z < x\}$  using 2 by (auto intro: card-mono)
    show False using 1 3 by simp
  qed
next
  assume 1:  $x < y$ 
  show  $\text{card } \{z \in A. z < x\} < \text{card } \{z \in A. z < y\}$ 
  proof (intro psubset-card-mono psubsetI)
    show finite  $\{z \in A. z < y\}$  by simp
    show  $\{z \in A. z < x\} \subseteq \{z \in A. z < y\}$  using 1 by auto
    show  $\{z \in A. z < x\} \neq \{z \in A. z < y\}$  using assms 1 by blast
  qed
qed

lemma card-one[elim]:
  assumes  $\text{card } A = 1$ 
  obtains  $a$ 
  where  $A = \{a\}$ 
  using assms by (metis One-nat-def card-Suc-eq)

lemma image-alt-def:  $f \text{ ` } A = \{f x \mid x. x \in A\}$  by auto

lemma supset-mono-inductive[mono]:
  assumes  $\bigwedge x. x \in B \longrightarrow x \in C$ 
  shows  $A \subseteq B \longrightarrow A \subseteq C$ 
  using assms by auto
lemma Collect-mono-inductive[mono]:
  assumes  $\bigwedge x. P x \longrightarrow Q x$ 
  shows  $x \in \{x. P x\} \longrightarrow x \in \{x. Q x\}$ 
  using assms by auto

lemma image-union-split:
  assumes  $f \text{ ` } (A \cup B) = g \text{ ` } C$ 
  obtains  $D E$ 
  where  $f \text{ ` } A = g \text{ ` } D$   $f \text{ ` } B = g \text{ ` } E$   $D \subseteq C$   $E \subseteq C$ 
  using assms unfolding image-Un
  by (metis (erased, lifting) inf-sup-ord(3) inf-sup-ord(4) subset-imageE)
lemma image-insert-split:
  assumes  $\text{inj } g$   $f \text{ ` } \text{insert } a B = g \text{ ` } C$ 
  obtains  $d E$ 
  where  $f a = g d$   $f \text{ ` } B = g \text{ ` } E$   $d \in C$   $E \subseteq C$ 
proof –
  have 1:  $f \text{ ` } (\{a\} \cup B) = g \text{ ` } C$  using assms(2) by simp
  obtain  $D E$  where 2:  $f \text{ ` } \{a\} = g \text{ ` } D$   $f \text{ ` } B = g \text{ ` } E$   $D \subseteq C$   $E \subseteq C$ 

```

```

    using image-union-split 1 by this
    obtain d where  $\exists: D = \{d\}$  using assms(1) 2(1) by (auto, metis (erased,
opaque-lifting) imageE
      image-empty image-insert inj-image-eq-iff singletonI)
    show ?thesis using that 2 unfolding  $\exists$  by simp
  qed

end

```

## 5 Basics

```

theory Basic-Extensions
imports HOL-Library.Infinite-Set
begin

```

### 5.1 Types

```

type-synonym 'a step = 'a  $\Rightarrow$  'a

```

### 5.2 Rules

```

declare less-imp-le[dest, simp]

```

```

declare le-funI[intro]
declare le-funE[elim]
declare le-funD[dest]

```

```

lemma IdI'[intro]:
  assumes  $x = y$ 
  shows  $(x, y) \in Id$ 
  using assms by auto

```

```

lemma (in order) order-le-cases:
  assumes  $x \leq y$ 
  obtains  $(eq) x = y \mid (lt) x < y$ 
  using assms le-less by auto

```

```

lemma (in linorder) linorder-cases':
  obtains  $(le) x \leq y \mid (gt) x > y$ 
  by force

```

```

lemma monoI-comp[intro]:
  assumes mono f mono g
  shows mono (f  $\circ$  g)
  using assms by (intro monoI, auto dest: monoD)

```

```

lemma strict-monoI-comp[intro]:
  assumes strict-mono f strict-mono g
  shows strict-mono (f  $\circ$  g)
  using assms by (intro strict-monoI, auto dest: strict-monoD)

```

```

lemma eq-le-absorb[simp]:
  fixes  $x\ y :: 'a :: \text{order}$ 
  shows  $x = y \wedge x \leq y \longleftrightarrow x = y\ x \leq y \wedge x = y \longleftrightarrow x = y$ 
  by auto

lemma INFM-Suc[simp]:  $(\exists_{\infty} i. P (Suc\ i)) \longleftrightarrow (\exists_{\infty} i. P\ i)$ 
  unfolding INFM-nat using Suc-lessE less-Suc-eq by metis
lemma INFM-plus[simp]:  $(\exists_{\infty} i. P (i + n :: \text{nat})) \longleftrightarrow (\exists_{\infty} i. P\ i)$ 
proof (induct  $n$ )
  case  $0$ 
  show ?case by simp
next
  case (Suc  $n$ )
  have  $(\exists_{\infty} i. P (i + Suc\ n)) \longleftrightarrow (\exists_{\infty} i. P (Suc\ i + n))$  by simp
  also have  $\dots \longleftrightarrow (\exists_{\infty} i. P (i + n))$  using INFM-Suc by this
  also have  $\dots \longleftrightarrow (\exists_{\infty} i. P\ i)$  using Suc by this
  finally show ?case by this
qed
lemma INFM-minus[simp]:  $(\exists_{\infty} i. P (i - n :: \text{nat})) \longleftrightarrow (\exists_{\infty} i. P\ i)$ 
proof (induct  $n$ )
  case  $0$ 
  show ?case by simp
next
  case (Suc  $n$ )
  have  $(\exists_{\infty} i. P (i - Suc\ n)) \longleftrightarrow (\exists_{\infty} i. P (Suc\ i - Suc\ n))$  using INFM-Suc
by meson
  also have  $\dots \longleftrightarrow (\exists_{\infty} i. P (i - n))$  by simp
  also have  $\dots \longleftrightarrow (\exists_{\infty} i. P\ i)$  using Suc by this
  finally show ?case by this
qed

```

### 5.3 Constants

```

definition const ::  $'a \Rightarrow 'b \Rightarrow 'a$ 
  where const  $x \equiv \lambda -. x$ 
definition const2 ::  $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a$ 
  where const2  $x \equiv \lambda - . x$ 
definition const3 ::  $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'a$ 
  where const3  $x \equiv \lambda - - . x$ 
definition const4 ::  $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'a$ 
  where const4  $x \equiv \lambda - - - . x$ 
definition const5 ::  $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow 'a$ 
  where const5  $x \equiv \lambda - - - - . x$ 

```

```

lemma const-apply[simp]: const  $x\ y = x$  unfolding const-def by rule
lemma const2-apply[simp]: const2  $x\ y\ z = x$  unfolding const2-def by rule
lemma const3-apply[simp]: const3  $x\ y\ z\ u = x$  unfolding const3-def by rule
lemma const4-apply[simp]: const4  $x\ y\ z\ u\ v = x$  unfolding const4-def by rule

```

**lemma** *const5-apply[simp]*: *const5*  $x\ y\ z\ u\ v\ w = x$  **unfolding** *const5-def* **by** *rule*

**definition** *zip-fun* ::  $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \times 'c$  (**infixr**  $\parallel$  51)  
**where**  $f \parallel g \equiv \lambda x. (f\ x, g\ x)$

**lemma** *zip-fun-simps[simp]*:  
 $(f \parallel g)\ x = (f\ x, g\ x)$   
 $fst \circ (f \parallel g) = f$   
 $snd \circ (f \parallel g) = g$   
 $fst \circ h \parallel snd \circ h = h$   
 $fst \text{ ` } range\ (f \parallel g) = range\ f$   
 $snd \text{ ` } range\ (f \parallel g) = range\ g$   
**unfolding** *zip-fun-def* **by** *force+*

**lemma** *zip-fun-eq[dest]*:  
**assumes**  $f \parallel g = h \parallel i$   
**shows**  $f = h\ g = i$   
**using** *assms* **unfolding** *zip-fun-def* **by** (*auto dest: fun-cong*)

**lemma** *zip-fun-range-subset[intro, simp]*:  $range\ (f \parallel g) \subseteq range\ f \times range\ g$   
**unfolding** *zip-fun-def* **by** *blast*

**lemma** *zip-fun-range-finite[elim]*:  
**assumes** *finite*  $(range\ (f \parallel g))$   
**obtains** *finite*  $(range\ f)$  *finite*  $(range\ g)$

**proof**  
**show** *finite*  $(range\ f)$  **using** *finite-imageI* [*OF* *assms*(1), *of* *fst*]  
**by** (*simp add: image-image*)  
**show** *finite*  $(range\ g)$  **using** *finite-imageI* [*OF* *assms*(1), *of* *snd*]  
**by** (*simp add: image-image*)  
**qed**

**lemma** *zip-fun-split*:  
**obtains**  $f\ g$   
**where**  $h = f \parallel g$

**proof**  
**show**  $h = fst \circ h \parallel snd \circ h$  **by** *simp*  
**qed**

**abbreviation** *None-None*  $\equiv (None, None)$   
**abbreviation** *None-Some*  $\equiv \lambda (y). (None, Some\ y)$   
**abbreviation** *Some-None*  $\equiv \lambda (x). (Some\ x, None)$   
**abbreviation** *Some-Some*  $\equiv \lambda (x, y). (Some\ x, Some\ y)$

**abbreviation** *None-None-None*  $\equiv (None, None, None)$   
**abbreviation** *None-None-Some*  $\equiv \lambda (z). (None, None, Some\ z)$   
**abbreviation** *None-Some-None*  $\equiv \lambda (y). (None, Some\ y, None)$   
**abbreviation** *None-Some-Some*  $\equiv \lambda (y, z). (None, Some\ y, Some\ z)$   
**abbreviation** *Some-None-None*  $\equiv \lambda (x). (Some\ x, None, None)$

**abbreviation** *Some-None-Some*  $\equiv \lambda (x, z). (\text{Some } x, \text{None}, \text{Some } z)$   
**abbreviation** *Some-Some-None*  $\equiv \lambda (x, y). (\text{Some } x, \text{Some } y, \text{None})$   
**abbreviation** *Some-Some-Some*  $\equiv \lambda (x, y, z). (\text{Some } x, \text{Some } y, \text{Some } z)$

**lemma** *inj-Some2*[*simp*, *intro*]:

*inj None-Some*  
*inj Some-None*  
*inj Some-Some*  
**by** (*rule injI*, *force*)**+**

**lemma** *inj-Some3*[*simp*, *intro*]:

*inj None-None-Some*  
*inj None-Some-None*  
*inj None-Some-Some*  
*inj Some-None-None*  
*inj Some-None-Some*  
*inj Some-Some-None*  
*inj Some-Some-Some*  
**by** (*rule injI*, *force*)**+**

**definition** *swap* ::  $'a \times 'b \Rightarrow 'b \times 'a$

**where** *swap*  $x \equiv (\text{snd } x, \text{fst } x)$

**lemma** *swap-simps*[*simp*]: *swap* ( $a, b$ ) = ( $b, a$ ) **unfolding** *swap-def* **by** *simp*

**lemma** *swap-inj*[*intro*, *simp*]: *inj swap* **by** (*rule injI*, *auto*)

**lemma** *swap-surj*[*intro*, *simp*]: *surj swap* **by** (*rule surjI*[**where**  $?f = \text{swap}$ ], *auto*)

**lemma** *swap-bij*[*intro*, *simp*]: *bij swap* **by** (*rule bijI*, *auto*)

**definition** *push* ::  $('a \times 'b) \times 'c \Rightarrow 'a \times 'b \times 'c$

**where** *push*  $x \equiv (\text{fst } (\text{fst } x), \text{snd } (\text{fst } x), \text{snd } x)$

**definition** *pull* ::  $'a \times 'b \times 'c \Rightarrow ('a \times 'b) \times 'c$

**where** *pull*  $x \equiv ((\text{fst } x, \text{fst } (\text{snd } x)), \text{snd } (\text{snd } x))$

**lemma** *push-simps*[*simp*]: *push* ( $(x, y), z$ ) = ( $x, y, z$ ) **unfolding** *push-def* **by** *simp*

**lemma** *pull-simps*[*simp*]: *pull* ( $x, y, z$ ) = ( $(x, y), z$ ) **unfolding** *pull-def* **by** *simp*

**definition** *label* ::  $'vertex \times 'label \times 'vertex \Rightarrow 'label$

**where** *label*  $\equiv \text{fst} \circ \text{snd}$

**lemma** *label-select*[*simp*]: *label* ( $p, a, q$ ) =  $a$  **unfolding** *label-def* **by** *simp*

## 5.4 Theorems for @termcurry and @termsplit

**lemma** *curry-split*[*simp*]: *curry*  $\circ$  *case-prod* = *id* **by** *auto*

**lemma** *split-curry*[*simp*]: *case-prod*  $\circ$  *curry* = *id* **by** *auto*

**lemma** *curry-le*[simp]:  $\text{curry } f \leq \text{curry } g \iff f \leq g$  **unfolding** *le-fun-def* **by** *force*

**lemma** *split-le*[simp]:  $\text{case-prod } f \leq \text{case-prod } g \iff f \leq g$  **unfolding** *le-fun-def* **by** *force*

**lemma** *mono-curry-left*[simp]:  $\text{mono } (\text{curry } \circ h) \iff \text{mono } h$   
**unfolding** *mono-def* **by** *fastforce*

**lemma** *mono-split-left*[simp]:  $\text{mono } (\text{case-prod } \circ h) \iff \text{mono } h$   
**unfolding** *mono-def* **by** *fastforce*

**lemma** *mono-curry-right*[simp]:  $\text{mono } (h \circ \text{curry}) \iff \text{mono } h$   
**unfolding** *mono-def* *split-le*[*symmetric*] **by** *bestsimp*

**lemma** *mono-split-right*[simp]:  $\text{mono } (h \circ \text{case-prod}) \iff \text{mono } h$   
**unfolding** *mono-def* *curry-le*[*symmetric*] **by** *bestsimp*

**lemma** *Collect-curry*[simp]:  $\{x. P (\text{curry } x)\} = \text{case-prod } \{x. P x\}$  **using** *image-Collect* **by** *fastforce*

**lemma** *Collect-split*[simp]:  $\{x. P (\text{case-prod } x)\} = \text{curry } \{x. P x\}$  **using** *image-Collect* **by** *force*

**lemma** *gfp-split-curry*[simp]:  $\text{gfp } (\text{case-prod } \circ f \circ \text{curry}) = \text{case-prod } (\text{gfp } f)$   
**proof** –

**have**  $\text{gfp } (\text{case-prod } \circ f \circ \text{curry}) = \text{Sup } \{u. u \leq \text{case-prod } (f (\text{curry } u))\}$   
**unfolding** *gfp-def* **by** *simp*

**also have**  $\dots = \text{Sup } \{u. \text{curry } u \leq \text{curry } (\text{case-prod } (f (\text{curry } u)))\}$  **unfolding** *curry-le* **by** *simp*

**also have**  $\dots = \text{Sup } \{u. \text{curry } u \leq f (\text{curry } u)\}$  **by** *simp*

**also have**  $\dots = \text{Sup } (\text{case-prod } \{u. u \leq f u\})$  **unfolding** *Collect-curry*[*of*  $\lambda u. u \leq f u$ ] **by** *simp*

**also have**  $\dots = \text{case-prod } (\text{Sup } \{u. u \leq f u\})$  **by** (*force simp add: image-comp*)

**also have**  $\dots = \text{case-prod } (\text{gfp } f)$  **unfolding** *gfp-def* **by** *simp*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *gfp-curry-split*[simp]:  $\text{gfp } (\text{curry } \circ f \circ \text{case-prod}) = \text{curry } (\text{gfp } f)$

**proof** –

**have**  $\text{gfp } (\text{curry } \circ f \circ \text{case-prod}) = \text{Sup } \{u. u \leq \text{curry } (f (\text{case-prod } u))\}$   
**unfolding** *gfp-def* **by** *simp*

**also have**  $\dots = \text{Sup } \{u. \text{case-prod } u \leq \text{case-prod } (\text{curry } (f (\text{case-prod } u)))\}$   
**unfolding** *split-le* **by** *simp*

**also have**  $\dots = \text{Sup } \{u. \text{case-prod } u \leq f (\text{case-prod } u)\}$  **by** *simp*

**also have**  $\dots = \text{Sup } (\text{curry } \{u. u \leq f u\})$  **unfolding** *Collect-split*[*of*  $\lambda u. u \leq f u$ ] **by** *simp*

**also have**  $\dots = \text{curry } (\text{Sup } \{u. u \leq f u\})$  **by** (*force simp add: image-comp*)

**also have**  $\dots = \text{curry } (\text{gfp } f)$  **unfolding** *gfp-def* **by** *simp*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *not-someI*:

**assumes**  $\bigwedge x. P x \implies \text{False}$

**shows**  $\neg P (\text{SOME } x. P x)$

```

    using assms by blast
  lemma some-ccontr:
    assumes  $(\bigwedge x. \neg P x) \implies \text{False}$ 
    shows  $P$  (SOME  $x. P x$ )
    using assms someI-ex ccontr by metis
end

```

## 6 Relations

```

theory Relation-Extensions
imports
  Basic-Extensions
begin

```

```

abbreviation rev-lex-prod (infixr  $\langle *rlex* \rangle$  80)
  where  $r_1 \langle *rlex* \rangle r_2 \equiv \text{inv-image } (r_2 \langle *lex* \rangle r_1) \text{ swap}$ 

```

```

lemmas sym-rtranclp[intro] = sym-rtrancl[to-pred]

```

```

definition liftablep ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a) \Rightarrow \text{bool}$ 
  where  $\text{liftablep } r f \equiv \forall x y. r x y \longrightarrow r (f x) (f y)$ 

```

```

lemma liftablepI[intro]:
  assumes  $\bigwedge x y. r x y \implies r (f x) (f y)$ 
  shows liftablep  $r f$ 
  using assms
  unfolding liftablep-def
  by simp

```

```

lemma liftablepE[elim]:
  assumes liftablep  $r f$ 
  assumes  $r x y$ 
  obtains  $r (f x) (f y)$ 
  using assms
  unfolding liftablep-def
  by simp

```

```

lemma liftablep-rtranclp:
  assumes liftablep  $r f$ 
  shows liftablep  $r^{**} f$ 

```

```

proof
  fix  $x y$ 
  assume  $r^{**} x y$ 
  thus  $r^{**} (f x) (f y)$ 
    using assms
    by (induct rule: rtranclp-induct, force+)

```

```

qed

```

```

definition confluentp ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ 

```

**where**  $\text{confluentp } r \equiv \forall x y1 y2. r^{**} x y1 \longrightarrow r^{**} x y2 \longrightarrow (\exists z. r^{**} y1 z \wedge r^{**} y2 z)$

**lemma**  $\text{confluentpI}[\text{intro}]$ :

**assumes**  $\bigwedge x y1 y2. r^{**} x y1 \implies r^{**} x y2 \implies \exists z. r^{**} y1 z \wedge r^{**} y2 z$   
**shows**  $\text{confluentp } r$   
**using**  $\text{assms}$   
**unfolding**  $\text{confluentp-def}$   
**by**  $\text{simp}$

**lemma**  $\text{confluentpE}[\text{elim}]$ :

**assumes**  $\text{confluentp } r$   
**assumes**  $r^{**} x y1 r^{**} x y2$   
**obtains**  $z$   
**where**  $r^{**} y1 z r^{**} y2 z$   
**using**  $\text{assms}$   
**unfolding**  $\text{confluentp-def}$   
**by**  $\text{blast}$

**lemma**  $\text{confluentpI}'[\text{intro}]$ :

**assumes**  $\bigwedge x y1 y2. r^{**} x y1 \implies r x y2 \implies \exists z. r^{**} y1 z \wedge r^{**} y2 z$   
**shows**  $\text{confluentp } r$

**proof**

**fix**  $x y1 y2$

**assume**  $r^{**} x y1 r^{**} x y2$

**thus**  $\exists z. r^{**} y1 z \wedge r^{**} y2 z$  **using**  $\text{assms}$  **by** ( $\text{induct rule: rtranclp-induct, force+}$ )

**qed**

**lemma**  $\text{transclp-eq-implies-confluent-imp}$ :

**assumes**  $r1^{**} = r2^{**}$   
**assumes**  $\text{confluentp } r1$   
**shows**  $\text{confluentp } r2$   
**using**  $\text{assms}$   
**by**  $\text{force}$

**lemma**  $\text{transclp-eq-implies-confluent-eq}$ :

**assumes**  $r1^{**} = r2^{**}$   
**shows**  $\text{confluentp } r1 \longleftrightarrow \text{confluentp } r2$   
**using**  $\text{assms transclp-eq-implies-confluent-imp}$   
**by**  $\text{metis}$

**definition**  $\text{diamondp} :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$

**where**  $\text{diamondp } r \equiv \forall x y1 y2. r x y1 \longrightarrow r x y2 \longrightarrow (\exists z. r y1 z \wedge r y2 z)$

**lemma**  $\text{diamondpI}[\text{intro}]$ :

**assumes**  $\bigwedge x y1 y2. r x y1 \implies r x y2 \implies \exists z. r y1 z \wedge r y2 z$   
**shows**  $\text{diamondp } r$   
**using**  $\text{assms}$



**unfolding** *diamondp-def*  
**by** *simp*

**lemma** *diamondpE[elim]*:  
**assumes** *diamondp r*  
**assumes** *r x y1 r x y2*  
**obtains** *z*  
**where** *r y1 z r y2 z*  
**using** *assms*  
**unfolding** *diamondp-def*  
**by** *blast*

**lemma** *diamondp-implies-confluentp*:  
**assumes** *diamondp r*  
**shows** *confluentp r*  
**proof** (*rule confluentpI'*)  
**fix** *x y1 y2*  
**assume** *r\*\* x y1 r x y2*  
**hence**  $\exists z. r y1 z \wedge r** y2 z$  **using** *assms* **by** (*induct rule: rtranclp-induct,*  
*force+*)  
**thus**  $\exists z. r** y1 z \wedge r** y2 z$  **by** *blast*  
**qed**

**locale** *wellfounded-relation* =  
**fixes** *R :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool*  
**assumes** *wellfounded: wfP R*

**end**

## 7 Transition Systems

**theory** *Transition-System-Extensions*

**imports**

*Basics/Word-Prefixes*

*Extensions/Set-Extensions*

*Extensions/Relation-Extensions*

*Transition-Systems-and-Automata.Transition-System*

*Transition-Systems-and-Automata.Transition-System-Extra*

*Transition-Systems-and-Automata.Transition-System-Construction*

**begin**

**context** *transition-system-initial*

**begin**

**definition** *cycles* :: *'state  $\Rightarrow$  'transition list set*  
**where** *cycles p  $\equiv$  {w. path w p  $\wedge$  target w p = p}*

**lemma** *cyclesI[intro!]*:  
**assumes** *path w p target w p = p*

```

    shows  $w \in \text{cycles } p$ 
    using assms unfolding cycles-def by auto
lemma cyclesE[elim!]:
    assumes  $w \in \text{cycles } p$ 
    obtains path  $w p$  target  $w p = p$ 
    using assms unfolding cycles-def by auto

inductive-set executable :: 'transition set
  where executable:  $p \in \text{nodes} \implies \text{enabled } a p \implies a \in \text{executable}$ 

lemma executableI-step[intro!]:
    assumes  $p \in \text{nodes}$  enabled  $a p$ 
    shows  $a \in \text{executable}$ 
    using executable assms by this
lemma executableI-words-fin[intro!]:
    assumes  $p \in \text{nodes}$  path  $w p$ 
    shows  $\text{set } w \subseteq \text{executable}$ 
    using assms by (induct w arbitrary: p, auto del: subsetI)
lemma executableE[elim?]:
    assumes  $a \in \text{executable}$ 
    obtains p
    where  $p \in \text{nodes}$  enabled  $a p$ 
    using assms by induct auto

end

locale transition-system-interpreted =
  transition-system ex en
  for ex :: 'action  $\Rightarrow$  'state  $\Rightarrow$  'state
  and en :: 'action  $\Rightarrow$  'state  $\Rightarrow$  bool
  and int :: 'state  $\Rightarrow$  'interpretation
begin

definition visible :: 'action set
  where visible  $\equiv \{a. \exists q. \text{en } a q \wedge \text{int } q \neq \text{int } (ex \ a \ q)\}$ 

lemma visibleI[intro]:
    assumes  $\text{en } a \ q$   $\text{int } q \neq \text{int } (ex \ a \ q)$ 
    shows  $a \in \text{visible}$ 
    using assms unfolding visible-def by auto
lemma visibleE[elim]:
    assumes  $a \in \text{visible}$ 
    obtains q
    where  $\text{en } a \ q$   $\text{int } q \neq \text{int } (ex \ a \ q)$ 
    using assms unfolding visible-def by auto

abbreviation invisible  $\equiv - \text{visible}$ 

lemma execute-fin-word-invisible:

```

```

    assumes path w p set w  $\subseteq$  invisible
    shows int (target w p) = int p
    using assms by (induct w arbitrary: p rule: list.induct, auto)
lemma execute-inf-word-invisible:
    assumes run w p k  $\leq$  l  $\wedge$  i. k  $\leq$  i  $\implies$  i < l  $\implies$  w !! i  $\notin$  visible
    shows int ((p ## trace w p) !! k) = int ((p ## trace w p) !! l)
proof -
    have (p ## trace w p) !! l = target (stake l w) p by simp
    also have stake l w = stake k w @ stake (l - k) (sdrop k w) using assms(2)
by simp
    also have target ... p = target (stake (l - k) (sdrop k w)) (target (stake k
w) p)
    unfolding fold-append comp-apply by rule
    also have int ... = int (target (stake k w) p)
proof (rule execute-fin-word-invisible)
    have w = stake l w @- sdrop l w by simp
    also have stake l w = stake k w @ stake (l - k) (sdrop k w) using assms(2)
by simp
    finally have 1: run (stake k w @- stake (l - k) (sdrop k w) @- sdrop l w)
p
    unfolding shift-append using assms(1) by simp
    show path (stake (l - k) (sdrop k w)) (target (stake k w) p) using 1 by
auto
    show set (stake (l - k) (sdrop k w))  $\subseteq$  invisible using assms(3) by (auto
simp: set-stake-snth)
    qed
    also have ... = int ((p ## trace w p) !! k) by simp
    finally show ?thesis by rule
    qed
end

locale transition-system-complete =
    transition-system-initial ex en init +
    transition-system-interpreted ex en int
for ex :: 'action  $\Rightarrow$  'state  $\Rightarrow$  'state
and en :: 'action  $\Rightarrow$  'state  $\Rightarrow$  bool
and init :: 'state  $\Rightarrow$  bool
and int :: 'state  $\Rightarrow$  'interpretation
begin

definition language :: 'interpretation stream set
    where language  $\equiv$  {smap int (p ## trace w p) | p w. init p  $\wedge$  run w p}

lemma languageI[intro!]:
    assumes w = smap int (p ## trace v p) init p run v p
    shows w  $\in$  language
    using assms unfolding language-def by auto
lemma languageE[elim!]:

```

```

    assumes  $w \in \text{language}$ 
    obtains  $p v$ 
    where  $w = \text{smap int } (p \text{ \#\# trace } v p) \text{ init } p \text{ run } v p$ 
    using assms unfolding language-def by auto

end

locale transition-system-finite-nodes =
  transition-system-initial ex en init
  for  $ex :: 'action \Rightarrow 'state \Rightarrow 'state$ 
  and  $en :: 'action \Rightarrow 'state \Rightarrow \text{bool}$ 
  and  $init :: 'state \Rightarrow \text{bool}$ 
  +
  assumes reachable-finite: finite nodes

locale transition-system-cut =
  transition-system-finite-nodes ex en init
  for  $ex :: 'action \Rightarrow 'state \Rightarrow 'state$ 
  and  $en :: 'action \Rightarrow 'state \Rightarrow \text{bool}$ 
  and  $init :: 'state \Rightarrow \text{bool}$ 
  +
  fixes  $cuts :: 'action \text{ set}$ 
  assumes cycles-cut:  $p \in \text{nodes} \Longrightarrow w \in \text{cycles } p \Longrightarrow w \neq [] \Longrightarrow \text{set } w \cap \text{cuts}$ 
   $\neq \{\}$ 
begin

  inductive  $scut :: 'state \Rightarrow 'state \Rightarrow \text{bool}$ 
    where  $scut: p \in \text{nodes} \Longrightarrow en \ a \ p \Longrightarrow a \notin \text{cuts} \Longrightarrow scut \ p \ (ex \ a \ p)$ 

  declare  $scut.intros[intro!]$ 
  declare  $scut.cases[elim!]$ 

  lemma scut-reachable:
    assumes  $scut \ p \ q$ 
    shows  $p \in \text{nodes} \ q \in \text{nodes}$ 
    using assms by auto
  lemma scut-transl:
    assumes  $scut^{++} \ p \ q$ 
    obtains  $w$ 
    where  $\text{path } w \ p \ \text{target } w \ p = q \ \text{set } w \cap \text{cuts} = \{\} \ w \neq []$ 
  using assms
  proof (induct arbitrary: thesis)
    case (base q)
    show ?case using base by force
  next
  case (step q r)
  obtain  $w$  where  $1: \text{path } w \ p \ \text{target } w \ p = q \ \text{set } w \cap \text{cuts} = \{\} \ w \neq []$ 
    using step(3) by this
  obtain  $a$  where  $2: en \ a \ q \ a \notin \text{cuts} \ ex \ a \ q = r$  using step(2) by auto

```

```

show ?case
proof (rule step(4))
  show path (w @ [a]) p using 1 2 by auto
  show target (w @ [a]) p = r using 1 2 by auto
  show set (w @ [a]) ∩ cuts = {} using 1 2 by auto
  show w @ [a] ≠ [] by auto
qed
qed

sublocale wellfounded-relation scut-1-1
proof (unfold-locales, intro finite-acyclic-wf-converse[to-pred] acyclicI[to-pred],
safe)
  have 1: {(p, q). scut p q} ⊆ nodes × nodes using scut-reachable by blast
  have 2: finite (nodes × nodes)
    using finite-cartesian-product reachable-finite by blast
  show finite {(p, q). scut p q} using 1 2 by blast
next
  fix p
  assume 1: scut++ p p
  have 2: p ∈ nodes using 1 tranclE[to-pred] scut-reachable by metis
  obtain w where 3: path w p target w p = p set w ∩ cuts = {} w ≠ []
    using scut-trancl 1 by this
  have 4: w ∈ cycles p using 3(1, 2) by auto
  have 5: set w ∩ cuts ≠ {} using cycles-cut 2 4 3(4) by this
  show False using 3(3) 5 by simp
qed

lemma no-cut-scut:
  assumes p ∈ nodes en a p a ∉ cuts
  shows scut-1-1 (ex a p) p
  using assms by auto

end

locale transition-system-sticky =
  transition-system-complete ex en init int +
  transition-system-cut ex en init sticky
  for ex :: 'action ⇒ 'state ⇒ 'state
  and en :: 'action ⇒ 'state ⇒ bool
  and init :: 'state ⇒ bool
  and int :: 'state ⇒ 'interpretation
  and sticky :: 'action set
  +
  assumes executable-visible-sticky: executable ∩ visible ⊆ sticky

end

```

## 8 Trace Theory

```

theory Traces
imports Basics/Word-Prefixes
begin

  locale traces =
    fixes ind :: 'item  $\Rightarrow$  'item  $\Rightarrow$  bool
    assumes independence-symmetric[sym]: ind a b  $\Longrightarrow$  ind b a
  begin

    abbreviation Ind :: 'item set  $\Rightarrow$  'item set  $\Rightarrow$  bool
      where Ind A B  $\equiv$   $\forall$  a  $\in$  A.  $\forall$  b  $\in$  B. ind a b

    inductive eq-swap :: 'item list  $\Rightarrow$  'item list  $\Rightarrow$  bool (infix  $=_S$  50)
      where swap: ind a b  $\Longrightarrow$  u @ [a] @ [b] @ v  $=_S$  u @ [b] @ [a] @ v

    declare eq-swap.intros[intro]
    declare eq-swap.cases[elim]

    lemma eq-swap-sym[sym]: v  $=_S$  w  $\Longrightarrow$  w  $=_S$  v using independence-symmetric
  by auto

    lemma eq-swap-length[dest]: w1  $=_S$  w2  $\Longrightarrow$  length w1 = length w2 by force
    lemma eq-swap-range[dest]: w1  $=_S$  w2  $\Longrightarrow$  set w1 = set w2 by force

    lemma eq-swap-extend:
      assumes w1  $=_S$  w2
      shows u @ w1 @ v  $=_S$  u @ w2 @ v
    using assms
    proof induct
      case (swap a b u' v')
      have u @ (u' @ [a] @ [b] @ v') @ v = (u @ u') @ [a] @ [b] @ (v' @ v) by
simp
      also have  $\dots =_S$  (u @ u') @ [b] @ [a] @ (v' @ v) using swap by blast
      also have  $\dots =$  u @ (u' @ [b] @ [a] @ v') @ v by simp
      finally show ?case by this
    qed

    lemma eq-swap-remove1:
      assumes w1  $=_S$  w2
      obtains (equal) remove1 c w1 = remove1 c w2 | (swap) remove1 c w1  $=_S$ 
remove1 c w2
      using assms
      proof induct
        case (swap a b u v)
        have c  $\notin$  set (u @ [a] @ [b] @ v)  $\vee$ 
          c  $\in$  set u  $\vee$ 
          c  $\notin$  set u  $\wedge$  c = a  $\vee$ 

```

```

c ∉ set u ∧ c ≠ a ∧ c = b ∨
c ∉ set u ∧ c ≠ a ∧ c ≠ b ∧ c ∈ set v
by auto
thus ?case
proof (elim disjE)
  assume 0: c ∉ set (u @ [a] @ [b] @ v)
  have 1: c ∉ set (u @ [b] @ [a] @ v) using 0 by auto
  have 2: remove1 c (u @ [a] @ [b] @ v) = u @ [a] @ [b] @ v using
remove1-idem 0 by this
  have 3: remove1 c (u @ [b] @ [a] @ v) = u @ [b] @ [a] @ v using
remove1-idem 1 by this
  have 4: remove1 c (u @ [a] @ [b] @ v) =S remove1 c (u @ [b] @ [a] @ v)
  unfolding 2 3 using eq-swap.intros swap(1) by this
  show thesis using swap(3) 4 by this
next
  assume 0: c ∈ set u
  have 2: remove1 c (u @ [a] @ [b] @ v) = remove1 c u @ [a] @ [b] @ v
  unfolding remove1-append using 0 by simp
  have 3: remove1 c (u @ [b] @ [a] @ v) = remove1 c u @ [b] @ [a] @ v
  unfolding remove1-append using 0 by simp
  have 4: remove1 c (u @ [a] @ [b] @ v) =S remove1 c (u @ [b] @ [a] @ v)
  unfolding 2 3 using eq-swap.intros swap(1) by this
  show thesis using swap(3) 4 by this
next
  assume 0: c ∉ set u ∧ c = a
  have 2: remove1 c (u @ [a] @ [b] @ v) = u @ [b] @ v
  unfolding remove1-append using remove1-idem 0 by auto
  have 3: remove1 c (u @ [b] @ [a] @ v) = u @ [b] @ v
  unfolding remove1-append using remove1-idem 0 by auto
  have 4: remove1 c (u @ [a] @ [b] @ v) = remove1 c (u @ [b] @ [a] @ v)
  unfolding 2 3 by rule
  show thesis using swap(2) 4 by this
next
  assume 0: c ∉ set u ∧ c ≠ a ∧ c = b
  have 2: remove1 c (u @ [a] @ [b] @ v) = u @ [a] @ v
  unfolding remove1-append using remove1-idem 0 by auto
  have 3: remove1 c (u @ [b] @ [a] @ v) = u @ [a] @ v
  unfolding remove1-append using remove1-idem 0 by auto
  have 4: remove1 c (u @ [a] @ [b] @ v) = remove1 c (u @ [b] @ [a] @ v)
  unfolding 2 3 by rule
  show thesis using swap(2) 4 by this
next
  assume 0: c ∉ set u ∧ c ≠ a ∧ c ≠ b ∧ c ∈ set v
  have 2: remove1 c (u @ [a] @ [b] @ v) = u @ [a] @ [b] @ remove1 c v
  unfolding remove1-append using 0 by simp
  have 3: remove1 c (u @ [b] @ [a] @ v) = u @ [b] @ [a] @ remove1 c v
  unfolding remove1-append using 0 by simp
  have 4: remove1 c (u @ [a] @ [b] @ v) =S remove1 c (u @ [b] @ [a] @ v)
  unfolding 2 3 using eq-swap.intros swap(1) by this

```

```

    show ?thesis using swap(3) 4 by this
  qed
qed

lemma eq-swap-rev:
  assumes  $w_1 =_S w_2$ 
  shows  $rev\ w_1 =_S rev\ w_2$ 
using assms
proof induct
  case (swap a b u v)
  have 1:  $rev\ v @ [a] @ [b] @ rev\ u =_S rev\ v @ [b] @ [a] @ rev\ u$  using swap
by blast
  have 2:  $rev\ v @ [b] @ [a] @ rev\ u =_S rev\ v @ [a] @ [b] @ rev\ u$  using 1
eq-swap-sym by blast
  show ?case using 2 by simp
qed

abbreviation eq-fin :: 'item list  $\Rightarrow$  'item list  $\Rightarrow$  bool (infix =F 50)
  where eq-fin  $\equiv$  eq-swap**

lemma eq-fin-symp[intro, sym]:  $u =_F v \Longrightarrow v =_F u$ 
  using eq-swap-sym sym-rtrancl[to-pred] unfolding symp-def by metis

lemma eq-fin-length[dest]:  $w_1 =_F w_2 \Longrightarrow length\ w_1 = length\ w_2$ 
  by (induct rule: rtrancl.induct, auto)
lemma eq-fin-range[dest]:  $w_1 =_F w_2 \Longrightarrow set\ w_1 = set\ w_2$ 
  by (induct rule: rtrancl.induct, auto)

lemma eq-fin-remove1:
  assumes  $w_1 =_F w_2$ 
  shows  $remove1\ c\ w_1 =_F remove1\ c\ w_2$ 
using assms
proof induct
  case (base)
  show ?case by simp
next
  case (step w2 w3)
  show ?case
  using step(2)
  proof (cases rule: eq-swap-remove1[where ?c = c])
    case equal
    show ?thesis using step equal by simp
  next
    case swap
    show ?thesis using step swap by auto
  qed
qed
qed

lemma eq-fin-rev:

```



**assumes**  $w_1 =_F w_2$   
**shows**  $rev\ w_1 =_F rev\ w_2$   
**using** *assms* **by** (*induct*, *auto dest: eq-swap-rev*)

**lemma** *eq-fin-concat-eq-fin-start*:

**assumes**  $u @ v_1 =_F u @ v_2$   
**shows**  $v_1 =_F v_2$

**using** *assms*

**proof** (*induct u arbitrary: v1 v2 rule: rev-induct*)

**case** (*Nil*)

**show** *?case* **using** *Nil* **by** *simp*

**next**

**case** (*snoc a u*)

**have** 1:  $u @ [a] @ v_1 =_F u @ [a] @ v_2$  **using** *snoc(2)* **by** *simp*

**have** 2:  $[a] @ v_1 =_F [a] @ v_2$  **using** *snoc(1) 1* **by** *this*

**show** *?case* **using** *eq-fin-remove1[OF 2, of a]* **by** *simp*

**qed**

**lemma** *eq-fin-concat*:  $u @ w_1 @ v =_F u @ w_2 @ v \longleftrightarrow w_1 =_F w_2$

**proof**

**assume** 0:  $u @ w_1 @ v =_F u @ w_2 @ v$

**have** 1:  $w_1 @ v =_F w_2 @ v$  **using** *eq-fin-concat-eq-fin-start 0* **by** *this*

**have** 2:  $rev\ (w_1 @ v) =_F rev\ (w_2 @ v)$  **using** 1 **by** (*blast dest: eq-fin-rev*)

**have** 3:  $rev\ v @ rev\ w_1 =_F rev\ v @ rev\ w_2$  **using** 2 **by** *simp*

**have** 4:  $rev\ w_1 =_F rev\ w_2$  **using** *eq-fin-concat-eq-fin-start 3* **by** *this*

**have** 5:  $rev\ (rev\ w_1) =_F rev\ (rev\ w_2)$  **using** 4 **by** (*blast dest: eq-fin-rev*)

**show**  $w_1 =_F w_2$  **using** 5 **by** *simp*

**next**

**show**  $u @ w_1 @ v =_F u @ w_2 @ v$  **if**  $w_1 =_F w_2$

**using** *that* **by** (*induct*, *auto dest: eq-swap-extend[of - - u v]*)

**qed**

**lemma** *eq-fin-concat-start[iff]*:  $w @ w_1 =_F w @ w_2 \longleftrightarrow w_1 =_F w_2$

**using** *eq-fin-concat[of w - []]* **by** *simp*

**lemma** *eq-fin-concat-end[iff]*:  $w_1 @ w =_F w_2 @ w \longleftrightarrow w_1 =_F w_2$

**using** *eq-fin-concat[of [] - w]* **by** *simp*

**lemma** *ind-eq-fin'*:

**assumes** *Ind {a} (set v)*

**shows**  $[a] @ v =_F v @ [a]$

**using** *assms*

**proof** (*induct v*)

**case** (*Nil*)

**show** *?case* **by** *simp*

**next**

**case** (*Cons b v*)

**have** 1: *Ind {a} (set v)* **using** *Cons(2)* **by** *auto*

**have** 2: *ind a b* **using** *Cons(2)* **by** *auto*

**have**  $[a] @ b \# v = [a] @ [b] @ v$  **by** *simp*

**also have**  $\dots =_S [b] @ [a] @ v$  **using** *eq-swap.intros[OF 2, of []]* **by** *auto*

also have  $\dots =_F [b] @ v @ [a]$  using *Cons(1) 1* by *blast*  
also have  $\dots = (b \# v) @ [a]$  by *simp*  
finally show *?case* by *this*  
qed

lemma *ind-eq-fin[intro]*:  
assumes *Ind (set u) (set v)*  
shows  $u @ v =_F v @ u$   
using *assms*  
proof (*induct u*)  
case (*Nil*)  
show *?case* by *simp*  
next  
case (*Cons a u*)  
have 1: *Ind (set u) (set v)* using *Cons(2)* by *auto*  
have 2: *Ind {a} (set v)* using *Cons(2)* by *auto*  
have  $(a \# u) @ v = [a] @ u @ v$  by *simp*  
also have  $\dots =_F [a] @ v @ u$  using *Cons(1) 1* by *blast*  
also have  $\dots = ([a] @ v) @ u$  by *simp*  
also have  $\dots =_F (v @ [a]) @ u$  using *ind-eq-fin' 2* by *blast*  
also have  $\dots = v @ (a \# u)$  by *simp*  
finally show *?case* by *this*  
qed

definition *le-fin* :: *'item list*  $\Rightarrow$  *'item list*  $\Rightarrow$  *bool* (**infix**  $\preceq_F$  50)  
where  $w_1 \preceq_F w_2 \equiv \exists v_1. w_1 @ v_1 =_F w_2$

lemma *le-finI[intro 0]*:  
assumes  $w_1 @ v_1 =_F w_2$   
shows  $w_1 \preceq_F w_2$   
using *assms* unfolding *le-fin-def* by *auto*

lemma *le-finE[elim 0]*:  
assumes  $w_1 \preceq_F w_2$   
obtains  $v_1$   
where  $w_1 @ v_1 =_F w_2$   
using *assms* unfolding *le-fin-def* by *auto*

lemma *le-fin-empty[simp]*:  $[] \preceq_F w$  by *force*

lemma *le-fin-trivial[intro]*:  $w_1 =_F w_2 \Longrightarrow w_1 \preceq_F w_2$

proof  
assume 1:  $w_1 =_F w_2$   
show  $w_1 @ [] =_F w_2$  using 1 by *simp*  
qed

lemma *le-fin-length[dest]*:  $w_1 \preceq_F w_2 \Longrightarrow \text{length } w_1 \leq \text{length } w_2$  by *force*

lemma *le-fin-range[dest]*:  $w_1 \preceq_F w_2 \Longrightarrow \text{set } w_1 \subseteq \text{set } w_2$  by *force*

lemma *eq-fin-alt-def*:  $w_1 =_F w_2 \longleftrightarrow w_1 \preceq_F w_2 \wedge w_2 \preceq_F w_1$

proof

**show**  $w_1 \preceq_F w_2 \wedge w_2 \preceq_F w_1$  **if**  $w_1 =_F w_2$  **using** *that* **by** *blast*  
**next**  
**assume**  $0: w_1 \preceq_F w_2 \wedge w_2 \preceq_F w_1$   
**have**  $1: w_1 \preceq_F w_2$   $w_2 \preceq_F w_1$  **using**  $0$  **by** *auto*  
**have**  $10: \text{length } w_1 = \text{length } w_2$  **using**  $1$  **by** *force*  
**obtain**  $v_1 v_2$  **where**  $2: w_1 @ v_1 =_F w_2$   $w_2 @ v_2 =_F w_1$  **using**  $1$  **by** (*elim*  
*le-finE*)  
**have**  $3: \text{length } w_1 = \text{length } (w_1 @ v_1)$  **using**  $2$   $10$  **by** *force*  
**have**  $4: w_1 = w_1 @ v_1$  **using**  $3$  **by** *auto*  
**have**  $5: \text{length } w_2 = \text{length } (w_2 @ v_2)$  **using**  $2$   $10$  **by** *force*  
**have**  $6: w_2 = w_2 @ v_2$  **using**  $5$  **by** *auto*  
**show**  $w_1 =_F w_2$  **using**  $4$   $6$   $2$  **by** *simp*  
**qed**

**lemma** *le-fin-reflp*[*simp, intro*]:  $w \preceq_F w$  **by** *auto*  
**lemma** *le-fin-transp*[*intro, trans*]:  
**assumes**  $w_1 \preceq_F w_2$   $w_2 \preceq_F w_3$   
**shows**  $w_1 \preceq_F w_3$   
**proof** –  
**obtain**  $v_1$  **where**  $1: w_1 @ v_1 =_F w_2$  **using** *assms(1)* **by** *rule*  
**obtain**  $v_2$  **where**  $2: w_2 @ v_2 =_F w_3$  **using** *assms(2)* **by** *rule*  
**show** *?thesis*  
**proof**  
**have**  $w_1 @ v_1 @ v_2 = (w_1 @ v_1) @ v_2$  **by** *simp*  
**also have**  $\dots =_F w_2 @ v_2$  **using**  $1$  **by** *blast*  
**also have**  $\dots =_F w_3$  **using**  $2$  **by** *blast*  
**finally show**  $w_1 @ v_1 @ v_2 =_F w_3$  **by** *this*  
**qed**

**qed**  
**lemma** *eq-fin-le-fin-transp*[*intro, trans*]:  
**assumes**  $w_1 =_F w_2$   $w_2 \preceq_F w_3$   
**shows**  $w_1 \preceq_F w_3$   
**using** *assms* **by** *auto*  
**lemma** *le-fin-eq-fin-transp*[*intro, trans*]:  
**assumes**  $w_1 \preceq_F w_2$   $w_2 =_F w_3$   
**shows**  $w_1 \preceq_F w_3$   
**using** *assms* **by** *auto*  
**lemma** *prefix-le-fin-transp*[*intro, trans*]:  
**assumes**  $w_1 \leq w_2$   $w_2 \preceq_F w_3$   
**shows**  $w_1 \preceq_F w_3$   
**proof** –  
**obtain**  $v_1$  **where**  $1: w_2 = w_1 @ v_1$  **using** *assms(1)* **by** *rule*  
**obtain**  $v_2$  **where**  $2: w_2 @ v_2 =_F w_3$  **using** *assms(2)* **by** *rule*  
**show** *?thesis*  
**proof**  
**show**  $w_1 @ v_1 @ v_2 =_F w_3$  **using**  $1$   $2$  **by** *simp*  
**qed**

**qed**  
**lemma** *le-fin-prefix-transp*[*intro, trans*]:

```

assumes  $w_1 \preceq_F w_2 \ w_2 \leq w_3$ 
shows  $w_1 \preceq_F w_3$ 
proof -
  obtain  $v_1$  where  $1: w_1 @ v_1 =_F w_2$  using assms(1) by rule
  obtain  $v_2$  where  $2: w_3 = w_2 @ v_2$  using assms(2) by rule
  show ?thesis
proof
  have  $w_1 @ v_1 @ v_2 = (w_1 @ v_1) @ v_2$  by simp
  also have  $\dots =_F w_2 @ v_2$  using 1 by blast
  also have  $\dots = w_3$  using 2 by simp
  finally show  $w_1 @ v_1 @ v_2 =_F w_3$  by this
qed
qed
lemma prefix-eq-fin-transp[intro, trans]:
  assumes  $w_1 \leq w_2 \ w_2 =_F w_3$ 
  shows  $w_1 \preceq_F w_3$ 
  using assms by auto

lemma le-fin-concat-start[iff]:  $w @ w_1 \preceq_F w @ w_2 \longleftrightarrow w_1 \preceq_F w_2$ 
proof
  assume  $0: w @ w_1 \preceq_F w @ w_2$ 
  obtain  $v_1$  where  $1: w @ w_1 @ v_1 =_F w @ w_2$  using 0 by auto
  show  $w_1 \preceq_F w_2$  using 1 by auto
next
  assume  $0: w_1 \preceq_F w_2$ 
  obtain  $v_1$  where  $1: w_1 @ v_1 =_F w_2$  using 0 by auto
  have  $2: (w @ w_1) @ v_1 =_F w @ w_2$  using 1 by auto
  show  $w @ w_1 \preceq_F w @ w_2$  using 2 by blast
qed
lemma le-fin-concat-end[dest]:
  assumes  $w_1 \preceq_F w_2$ 
  shows  $w_1 \preceq_F w_2 @ w$ 
proof -
  obtain  $v_1$  where  $1: w_1 @ v_1 =_F w_2$  using assms by rule
  show ?thesis
proof
  have  $w_1 @ v_1 @ w = (w_1 @ v_1) @ w$  by simp
  also have  $\dots =_F w_2 @ w$  using 1 by blast
  finally show  $w_1 @ v_1 @ w =_F w_2 @ w$  by this
qed
qed

definition le-fininf :: 'item list  $\Rightarrow$  'item stream  $\Rightarrow$  bool (infix  $\preceq_{FI}$  50)
  where  $w_1 \preceq_{FI} w_2 \equiv \exists v_2. v_2 \leq_{FI} w_2 \wedge w_1 \preceq_F v_2$ 

lemma le-fininfI[intro 0]:
  assumes  $v_2 \leq_{FI} w_2 \ w_1 \preceq_F v_2$ 
  shows  $w_1 \preceq_{FI} w_2$ 
  using assms unfolding le-fininf-def by auto

```

**lemma** *le-fininfE*[*elim 0*]:

**assumes**  $w_1 \preceq_{FI} w_2$

**obtains**  $v_2$

**where**  $v_2 \leq_{FI} w_2$   $w_1 \preceq_F v_2$

**using** *assms unfolding le-fininf-def by auto*

**lemma** *le-fininf-empty*[*simp*]:  $[] \preceq_{FI} w$  **by force**

**lemma** *le-fininf-range*[*dest*]:  $w_1 \preceq_{FI} w_2 \implies \text{set } w_1 \subseteq \text{sset } w_2$  **by force**

**lemma** *eq-fin-le-fininf-transp*[*intro, trans*]:

**assumes**  $w_1 =_F w_2$   $w_2 \preceq_{FI} w_3$

**shows**  $w_1 \preceq_{FI} w_3$

**using** *assms by blast*

**lemma** *le-fin-le-fininf-transp*[*intro, trans*]:

**assumes**  $w_1 \preceq_F w_2$   $w_2 \preceq_{FI} w_3$

**shows**  $w_1 \preceq_{FI} w_3$

**using** *assms by blast*

**lemma** *prefix-le-fininf-transp*[*intro, trans*]:

**assumes**  $w_1 \leq w_2$   $w_2 \preceq_{FI} w_3$

**shows**  $w_1 \preceq_{FI} w_3$

**using** *assms by auto*

**lemma** *le-fin-prefix-fininf-transp*[*intro, trans*]:

**assumes**  $w_1 \preceq_F w_2$   $w_2 \leq_{FI} w_3$

**shows**  $w_1 \preceq_{FI} w_3$

**using** *assms by auto*

**lemma** *eq-fin-prefix-fininf-transp*[*intro, trans*]:

**assumes**  $w_1 =_F w_2$   $w_2 \leq_{FI} w_3$

**shows**  $w_1 \preceq_{FI} w_3$

**using** *assms by auto*

**lemma** *le-fininf-concat-start*[*iff*]:  $w @ w_1 \preceq_{FI} w @- w_2 \longleftrightarrow w_1 \preceq_{FI} w_2$

**proof**

**assume**  $0$ :  $w @ w_1 \preceq_{FI} w @- w_2$

**obtain**  $v_2$  **where**  $1$ :  $v_2 \leq_{FI} w @- w_2$   $w @ w_1 \preceq_F v_2$  **using**  $0$  **by rule**

**have**  $2$ :  $\text{length } w \leq \text{length } v_2$  **using**  $1(2)$  **by force**

**have**  $4$ :  $w \leq v_2$  **using** *prefix-fininf-extend*[*OF 1(1) 2*] **by this**

**obtain**  $v_1$  **where**  $5$ :  $v_2 = w @ v_1$  **using**  $4$  **by rule**

**show**  $w_1 \preceq_{FI} w_2$

**proof**

**show**  $v_1 \leq_{FI} w_2$  **using**  $1(1)$  **unfolding**  $5$  **by auto**

**show**  $w_1 \preceq_F v_1$  **using**  $1(2)$  **unfolding**  $5$  **by simp**

**qed**

**next**

**assume**  $0$ :  $w_1 \preceq_{FI} w_2$

**obtain**  $v_2$  **where**  $1$ :  $v_2 \leq_{FI} w_2$   $w_1 \preceq_F v_2$  **using**  $0$  **by rule**

**show**  $w @ w_1 \preceq_{FI} w @- w_2$

**proof**

**show**  $w @ v_2 \leq_{FI} (w @- w_2)$  **using**  $1(1)$  **by auto**

show  $w @ w_1 \preceq_F w @ v_2$  **using** 1(2) **by** *auto*  
 qed  
 qed

**lemma** *le-fininf-singleton*[*intro, simp*]:  $[shd\ v] \preceq_{FI} v$   
**proof** –  
 have  $[shd\ v] \preceq_{FI} shd\ v \#\# sdrop\ 1\ v$  **by** *blast*  
 also have  $\dots = v$  **by** *simp*  
 finally show *?thesis* **by** *this*  
 qed

**definition** *le-inf* ::  $'item\ stream \Rightarrow 'item\ stream \Rightarrow bool$  (**infix**  $\preceq_I$  50)  
 where  $w_1 \preceq_I w_2 \equiv \forall v_1. v_1 \leq_{FI} w_1 \longrightarrow v_1 \preceq_{FI} w_2$

**lemma** *le-infI*[*intro 0*]:  
 assumes  $\bigwedge v_1. v_1 \leq_{FI} w_1 \Longrightarrow v_1 \preceq_{FI} w_2$   
 shows  $w_1 \preceq_I w_2$   
 using *assms* **unfolding** *le-inf-def* **by** *auto*  
**lemma** *le-infE*[*elim 0*]:  
 assumes  $w_1 \preceq_I w_2\ v_1 \leq_{FI} w_1$   
 obtains  $v_1 \preceq_{FI} w_2$   
 using *assms* **unfolding** *le-inf-def* **by** *auto*

**lemma** *le-inf-range*[*dest*]:  
 assumes  $w_1 \preceq_I w_2$   
 shows  $sset\ w_1 \subseteq sset\ w_2$   
**proof**  
 fix *a*  
 assume 1:  $a \in sset\ w_1$   
 obtain *i* **where** 2:  $a = w_1 !! i$  **using** 1 **by** (*metis imageE sset-range*)  
 have 3:  $stake\ (Suc\ i)\ w_1 \leq_{FI} w_1$  **by** *rule*  
 have 4:  $stake\ (Suc\ i)\ w_1 \preceq_{FI} w_2$  **using** *assms* 3 **by** *rule*  
 have 5:  $w_1 !! i \in set\ (stake\ (Suc\ i)\ w_1)$  **by** (*meson lessI set-stake-snth*)  
 show  $a \in sset\ w_2$  **unfolding** 2 **using** 5 4 **by** *fastforce*  
 qed

**lemma** *le-inf-reflp*[*simp, intro*]:  $w \preceq_I w$  **by** *auto*

**lemma** *prefix-fininf-le-inf-transp*[*intro, trans*]:

assumes  $w_1 \leq_{FI} w_2\ w_2 \preceq_I w_3$   
 shows  $w_1 \preceq_{FI} w_3$   
 using *assms* **by** *blast*

**lemma** *le-fininf-le-inf-transp*[*intro, trans*]:

assumes  $w_1 \preceq_{FI} w_2\ w_2 \preceq_I w_3$   
 shows  $w_1 \preceq_{FI} w_3$   
 using *assms* **by** *blast*

**lemma** *le-inf-transp*[*intro, trans*]:

assumes  $w_1 \preceq_I w_2\ w_2 \preceq_I w_3$   
 shows  $w_1 \preceq_I w_3$   
 using *assms* **by** *blast*

**lemma** *le-infI'*:  
**assumes**  $\bigwedge k. \exists v. v \leq_{FI} w_1 \wedge k < \text{length } v \wedge v \preceq_{FI} w_2$   
**shows**  $w_1 \preceq_I w_2$   
**proof**  
**fix**  $u$   
**assume**  $1: u \leq_{FI} w_1$   
**obtain**  $v$  **where**  $2: v \leq_{FI} w_1$   $\text{length } u < \text{length } v$   $v \preceq_{FI} w_2$  **using** *assms* **by**  
*auto*  
**have**  $3: \text{length } u \leq \text{length } v$  **using**  $2(2)$  **by** *auto*  
**have**  $4: u \leq v$  **using** *prefix-fininf-length*  $1$   $2(1)$   $3$  **by** *this*  
**show**  $u \preceq_{FI} w_2$  **using**  $4$   $2(3)$  **by** *rule*  
**qed**

**lemma** *le-infI-chain-left*:  
**assumes** *chain*  $w \bigwedge k. w k \preceq_{FI} v$   
**shows** *limit*  $w \preceq_I v$   
**proof** (*rule le-infI'*)  
**fix**  $k$   
**obtain**  $l$  **where**  $1: k < \text{length } (w l)$  **using** *assms(1)* **by** *rule*  
**show**  $\exists va. va \leq_{FI} \text{limit } w \wedge k < \text{length } va \wedge va \preceq_{FI} v$   
**proof** (*intro exI conjI*)  
**show**  $w l \leq_{FI} \text{limit } w$  **using** *chain-prefix-limit assms(1)* **by** *this*  
**show**  $k < \text{length } (w l)$  **using**  $1$  **by** *this*  
**show**  $w l \preceq_{FI} v$  **using** *assms(2)* **by** *this*  
**qed**

**lemma** *le-infI-chain-right*:  
**assumes** *chain*  $w \bigwedge u. u \leq_{FI} v \implies u \preceq_F w (l u)$   
**shows**  $v \preceq_I \text{limit } w$   
**proof**  
**fix**  $u$   
**assume**  $1: u \leq_{FI} v$   
**show**  $u \preceq_{FI} \text{limit } w$   
**proof**  
**show**  $w (l u) \leq_{FI} \text{limit } w$  **using** *chain-prefix-limit assms(1)* **by** *this*  
**show**  $u \preceq_F w (l u)$  **using** *assms(2)*  $1$  **by** *this*  
**qed**

**lemma** *le-infI-chain-right'*:  
**assumes** *chain*  $w \bigwedge k. \text{stake } k v \preceq_F w (l k)$   
**shows**  $v \preceq_I \text{limit } w$   
**proof** (*rule le-infI-chain-right*)  
**show** *chain*  $w$  **using** *assms(1)* **by** *this*  
**next**  
**fix**  $u$   
**assume**  $1: u \leq_{FI} v$   
**have**  $2: \text{stake } (\text{length } u) v = u$  **using**  $1$  **by** (*simp add: prefix-fininf-def shift-eq*)  
**have**  $3: \text{stake } (\text{length } u) v \preceq_F w (l (\text{length } u))$  **using** *assms(2)* **by** *this*

**show**  $u \preceq_F w$  ( $l$  ( $length\ u$ )) **using**  $\mathcal{P}$  **unfolding**  $\mathcal{Q}$  **by this**  
**qed**

**definition**  $eq\text{-}inf :: 'item\ stream \Rightarrow 'item\ stream \Rightarrow bool$  (**infix**  $=_I$  50)  
**where**  $w_1 =_I w_2 \equiv w_1 \preceq_I w_2 \wedge w_2 \preceq_I w_1$

**lemma**  $eq\text{-}infI$ [*intro* 0]:  
**assumes**  $w_1 \preceq_I w_2$   $w_2 \preceq_I w_1$   
**shows**  $w_1 =_I w_2$   
**using** *assms* **unfolding**  $eq\text{-}inf\text{-}def$  **by auto**

**lemma**  $eq\text{-}infE$ [*elim* 0]:  
**assumes**  $w_1 =_I w_2$   
**obtains**  $w_1 \preceq_I w_2$   $w_2 \preceq_I w_1$   
**using** *assms* **unfolding**  $eq\text{-}inf\text{-}def$  **by auto**

**lemma**  $eq\text{-}inf\text{-}range$ [*dest*]:  $w_1 =_I w_2 \implies sset\ w_1 = sset\ w_2$  **by force**

**lemma**  $eq\text{-}inf\text{-}reflp$ [*simp*, *intro*]:  $w =_I w$  **by auto**

**lemma**  $eq\text{-}inf\text{-}symp$ [*intro*]:  $w_1 =_I w_2 \implies w_2 =_I w_1$  **by auto**

**lemma**  $eq\text{-}inf\text{-}transp$ [*intro*, *trans*]:

**assumes**  $w_1 =_I w_2$   $w_2 =_I w_3$   
**shows**  $w_1 =_I w_3$   
**using** *assms* **by blast**

**lemma**  $le\text{-}fininf\text{-}eq\text{-}inf\text{-}transp$ [*intro*, *trans*]:

**assumes**  $w_1 \preceq_{FI} w_2$   $w_2 =_I w_3$   
**shows**  $w_1 \preceq_{FI} w_3$   
**using** *assms* **by blast**

**lemma**  $le\text{-}inf\text{-}eq\text{-}inf\text{-}transp$ [*intro*, *trans*]:

**assumes**  $w_1 \preceq_I w_2$   $w_2 =_I w_3$   
**shows**  $w_1 \preceq_I w_3$   
**using** *assms* **by blast**

**lemma**  $eq\text{-}inf\text{-}le\text{-}inf\text{-}transp$ [*intro*, *trans*]:

**assumes**  $w_1 =_I w_2$   $w_2 \preceq_I w_3$   
**shows**  $w_1 \preceq_I w_3$   
**using** *assms* **by blast**

**lemma**  $prefix\text{-}fininf\text{-}eq\text{-}inf\text{-}transp$ [*intro*, *trans*]:

**assumes**  $w_1 \leq_{FI} w_2$   $w_2 =_I w_3$   
**shows**  $w_1 \preceq_{FI} w_3$   
**using** *assms* **by blast**

**lemma**  $le\text{-}inf\text{-}concat\text{-}start$ [*iff*]:  $w @- w_1 \preceq_I w @- w_2 \iff w_1 \preceq_I w_2$

**proof**

**assume**  $1$ :  $w @- w_1 \preceq_I w @- w_2$

**show**  $w_1 \preceq_I w_2$

**proof**

**fix**  $v_1$

**assume**  $2$ :  $v_1 \leq_{FI} w_1$

**have**  $w @ v_1 \leq_{FI} w @- w_1$  **using**  $2$  **by auto**

**also have**  $\dots \preceq_I w @- w_2$  **using**  $1$  **by this**



```

    finally show  $v_1 \preceq_{FI} w_2$  by rule
  qed
next
assume 1:  $w_1 \preceq_I w_2$ 
show  $w @- w_1 \preceq_I w @- w_2$ 
proof
  fix  $v_1$ 
  assume 2:  $v_1 \leq_{FI} w @- w_1$ 
  then show  $v_1 \preceq_{FI} w @- w_2$ 
  proof (cases rule: prefix-fininf-append)
    case (absorb)
    show ?thesis using absorb by auto
  next
    case (extend z)
    show ?thesis using 1 extend by auto
  qed
qed
qed
lemma eq-fin-le-inf-concat-end[dest]:  $w_1 =_F w_2 \implies w_1 @- w \preceq_I w_2 @- w$ 
proof
  fix  $v_1$ 
  assume 1:  $w_1 =_F w_2$   $v_1 \leq_{FI} w_1 @- w$ 
  show  $v_1 \preceq_{FI} w_2 @- w$ 
  using 1(2)
  proof (cases rule: prefix-fininf-append)
    case (absorb)
    show ?thesis
  proof
    show  $w_2 \leq_{FI} (w_2 @- w)$  by auto
    show  $v_1 \preceq_F w_2$  using absorb 1(1) by auto
  qed
  next
  case (extend w')
  show ?thesis
  proof
    show  $w_2 @ w' \leq_{FI} (w_2 @- w)$  using extend(2) by auto
    show  $v_1 \preceq_F w_2 @ w'$  unfolding extend(1) using 1(1) by auto
  qed
qed
qed
lemma eq-inf-concat-start[iff]:  $w @- w_1 =_I w @- w_2 \iff w_1 =_I w_2$  by blast
lemma eq-inf-concat-end[dest]:  $w_1 =_F w_2 \implies w_1 @- w =_I w_2 @- w$ 
proof -
  assume 0:  $w_1 =_F w_2$ 
  have 1:  $w_2 =_F w_1$  using 0 by auto
  show  $w_1 @- w =_I w_2 @- w$ 
  using eq-fin-le-inf-concat-end[OF 0] eq-fin-le-inf-concat-end[OF 1] by auto
qed

```

```

lemma le-fininf-suffixI[intro]:
  assumes  $w =_I w_1 @- w_2$ 
  shows  $w_1 \preceq_{FI} w$ 
  using assms by blast
lemma le-fininf-suffixE[elim]:
  assumes  $w_1 \preceq_{FI} w$ 
  obtains  $w_2$ 
  where  $w =_I w_1 @- w_2$ 
proof -
  obtain  $v_2$  where  $1: v_2 \leq_{FI} w$   $w_1 \preceq_F v_2$  using assms(1) by rule
  obtain  $u_1$  where  $2: w_1 @ u_1 =_F v_2$  using 1(2) by rule
  obtain  $v_2'$  where  $3: w = v_2 @- v_2'$  using 1(1) by rule
  show ?thesis
proof
  show  $w =_I w_1 @- u_1 @- v_2'$  unfolding 3 using 2 by fastforce
qed
qed

lemma subsume-fin:
  assumes  $u_1 \preceq_{FI} w$   $v_1 \preceq_{FI} w$ 
  obtains  $w_1$ 
  where  $u_1 \preceq_F w_1$   $v_1 \preceq_F w_1$ 
proof -
  obtain  $u_2$  where  $2: u_2 \leq_{FI} w$   $u_1 \preceq_F u_2$  using assms(1) by rule
  obtain  $v_2$  where  $3: v_2 \leq_{FI} w$   $v_1 \preceq_F v_2$  using assms(2) by rule
  show ?thesis
proof (cases length  $u_2$  length  $v_2$  rule: le-cases)
  case le
  show ?thesis
proof
  show  $u_1 \preceq_F v_2$  using 2(2) prefix-fininf-length[OF 2(1) 3(1) le] by auto
  show  $v_1 \preceq_F v_2$  using 3(2) by this
qed
next
  case ge
  show ?thesis
proof
  show  $u_1 \preceq_F u_2$  using 2(2) by this
  show  $v_1 \preceq_F u_2$  using 3(2) prefix-fininf-length[OF 3(1) 2(1) ge] by auto
qed
qed
qed

lemma eq-fin-end:
  assumes  $u_1 =_F u_2$   $u_1 @ v_1 =_F u_2 @ v_2$ 
  shows  $v_1 =_F v_2$ 
proof -
  have  $u_1 @ v_2 =_F u_2 @ v_2$  using assms(1) by blast

```

**also have**  $\dots =_F u_1 @ v_1$  **using** *assms(2)* **by** *blast*  
**finally show** *?thesis* **by** *blast*  
**qed**

**definition** *indoc* :: 'item  $\Rightarrow$  'item list  $\Rightarrow$  bool  
**where** *indoc a u*  $\equiv \exists u_1 u_2. u = u_1 @ [a] @ u_2 \wedge a \notin \text{set } u_1 \wedge \text{Ind } \{a\} (\text{set } u_1)$

**lemma** *indoc-set*: *indoc a u*  $\implies a \in \text{set } u$  **unfolding** *indoc-def* **by** *auto*

**lemma** *indoc-appendI1*[*intro*]:  
**assumes** *indoc a u*  
**shows** *indoc a (u @ v)*  
**using** *assms* **unfolding** *indoc-def* **by** *force*

**lemma** *indoc-appendI2*[*intro*]:  
**assumes**  $a \notin \text{set } u$  *Ind {a} (set u)* *indoc a v*  
**shows** *indoc a (u @ v)*

**proof** –  
**obtain**  $v_1 v_2$  **where**  $1: v = v_1 @ [a] @ v_2$   $a \notin \text{set } v_1$  *Ind {a} (set v\_1)*  
**using** *assms(3)* **unfolding** *indoc-def* **by** *blast*  
**show** *?thesis*  
**proof** (*unfold indoc-def, intro exI conjI*)  
**show**  $u @ v = (u @ v_1) @ [a] @ v_2$  **unfolding**  $1(1)$  **by** *simp*  
**show**  $a \notin \text{set } (u @ v_1)$  **using** *assms(1) 1(2)* **by** *auto*  
**show** *Ind {a} (set (u @ v\_1))* **using** *assms(2) 1(3)* **by** *auto*  
**qed**

**qed**

**lemma** *indoc-appendE*[*elim!*]:  
**assumes** *indoc a (u @ v)*  
**obtains** (*first*)  $a \in \text{set } u$  *indoc a u* | (*second*)  $a \notin \text{set } u$  *Ind {a} (set u)* *indoc a v*

**proof** –  
**obtain**  $w_1 w_2$  **where**  $1: u @ v = w_1 @ [a] @ w_2$   $a \notin \text{set } w_1$  *Ind {a} (set w\_1)*  
**using** *assms* **unfolding** *indoc-def* **by** *blast*  
**show** *?thesis*  
**proof** (*cases a \in set u*)  
**case** *True*  
**obtain**  $u_1 u_2$  **where**  $2: u = u_1 @ [a] @ u_2$   $a \notin \text{set } u_1$   
**using** *split-list-first[OF True]* **by** *auto*  
**have**  $3: w_1 = u_1$   
**proof** (*rule split-list-first-unique*)  
**show**  $w_1 @ [a] @ w_2 = u_1 @ [a] @ u_2 @ v$  **using**  $1(1)$  **unfolding**  $2(1)$   
**by** *simp*  
**show**  $a \notin \text{set } w_1$  **using**  $1(2)$  **by** *auto*  
**show**  $a \notin \text{set } u_1$  **using**  $2(2)$  **by** *this*  
**qed**  
**show** *?thesis*  
**proof** (*rule first*)  
**show**  $a \in \text{set } u$  **using** *True* **by** *this*

```

    show indoc a u
    proof (unfold indoc-def, intro exI conjI)
      show  $u = u_1 @ [a] @ u_2$  using 2(1) by this
      show  $a \notin \text{set } u_1$  using 1(2) unfolding 3 by this
      show  $\text{Ind } \{a\} (\text{set } u_1)$  using 1(3) unfolding 3 by this
    qed
  qed
next
case False
have 2:  $a \in \text{set } v$  using indoc-set assms False by fastforce
obtain  $v_1 v_2$  where 3:  $v = v_1 @ [a] @ v_2$   $a \notin \text{set } v_1$ 
  using split-list-first[OF 2] by auto
have 4:  $w_1 = u @ v_1$ 
proof (rule split-list-first-unique)
  show  $w_1 @ [a] @ w_2 = (u @ v_1) @ [a] @ v_2$  using 1(1) unfolding 3(1)
by simp
  show  $a \notin \text{set } w_1$  using 1(2) by auto
  show  $a \notin \text{set } (u @ v_1)$  using False 3(2) by auto
qed
show ?thesis
proof (rule second)
  show  $a \notin \text{set } u$  using False by this
  show  $\text{Ind } \{a\} (\text{set } u)$  using 1(3) 4 by auto
  show indoc a v
  proof (unfold indoc-def, intro exI conjI)
    show  $v = v_1 @ [a] @ v_2$  using 3(1) by this
    show  $a \notin \text{set } v_1$  using 1(2) unfolding 4 by auto
    show  $\text{Ind } \{a\} (\text{set } v_1)$  using 1(3) unfolding 4 by auto
  qed
qed
qed
qed
lemma indoc-single:  $\text{indoc } a [b] \longleftrightarrow a = b$ 
proof
  assume 1: indoc a [b]
  obtain  $u_1 u_2$  where 2:  $[b] = u_1 @ [a] @ u_2$   $\text{Ind } \{a\} (\text{set } u_1)$ 
    using 1 unfolding indoc-def by auto
  show  $a = b$  using 2(1)
  by (metis append-eq-Cons-conv append-is-Nil-conv list.distinct(2) list.inject)
next
  assume 1:  $a = b$ 
  show indoc a [b]
  unfolding indoc-def 1
  proof (intro exI conjI)
    show  $[b] = [] @ [b] @ []$  by simp
    show  $b \notin \text{set } []$  by simp
    show  $\text{Ind } \{b\} (\text{set } [])$  by simp
  qed

```

qed

**lemma** *indoc-append[simp]*:  $\text{indoc } a (u @ v) \longleftrightarrow$

$\text{indoc } a u \vee a \notin \text{set } u \wedge \text{Ind } \{a\} (\text{set } u) \wedge \text{indoc } a v$  **by** *blast*

**lemma** *indoc-Nil[simp]*:  $\text{indoc } a [] \longleftrightarrow \text{False}$  **unfolding** *indoc-def* **by** *auto*

**lemma** *indoc-Cons[simp]*:  $\text{indoc } a (b \# v) \longleftrightarrow a = b \vee a \neq b \wedge \text{ind } a b \wedge$   
*indoc } a v*

**proof** –

**have**  $\text{indoc } a (b \# v) \longleftrightarrow \text{indoc } a ([b] @ v)$  **by** *simp*

**also have**  $\dots \longleftrightarrow \text{indoc } a [b] \vee a \notin \text{set } [b] \wedge \text{Ind } \{a\} (\text{set } [b]) \wedge \text{indoc } a v$   
**unfolding** *indoc-append* **by** *rule*

**also have**  $\dots \longleftrightarrow a = b \vee a \neq b \wedge \text{ind } a b \wedge \text{indoc } a v$  **unfolding** *indoc-single*  
**by** *simp*

**finally show** *?thesis* **by** *this*

qed

**lemma** *eq-swap-indoc*:  $u =_S v \implies \text{indoc } c u \implies \text{indoc } c v$  **by** *auto*

**lemma** *eq-fin-indoc*:  $u =_F v \implies \text{indoc } c u \implies \text{indoc } c v$  **by** (*induct rule*:  
*rtranclp.induct, auto*)

**lemma** *eq-fin-ind'*:

**assumes**  $[a] @ u =_F u_1 @ [a] @ u_2$   $a \notin \text{set } u_1$

**shows**  $\text{Ind } \{a\} (\text{set } u_1)$

**proof** –

**have**  $1: \text{indoc } a ([a] @ u)$  **by** *simp*

**have**  $2: \text{indoc } a (u_1 @ [a] @ u_2)$  **using** *eq-fin-indoc* *assms(1)*  $1$  **by** *this*

**show** *?thesis* **using** *assms(2)*  $2$  **by** *blast*

qed

**lemma** *eq-fin-ind*:

**assumes**  $u @ v =_F v @ u$   $\text{set } u \cap \text{set } v = \{\}$

**shows**  $\text{Ind } (\text{set } u) (\text{set } v)$

**using** *assms*

**proof** (*induct u*)

**case** *Nil*

**show** *?case* **by** *simp*

**next**

**case** (*Cons a u*)

**have**  $1: \text{Ind } \{a\} (\text{set } v)$

**proof** (*rule eq-fin-ind'*)

**show**  $[a] @ u @ v =_F v @ [a] @ u$  **using** *Cons(2)* **by** *simp*

**show**  $a \notin \text{set } v$  **using** *Cons(3)* **by** *simp*

qed

**have**  $2: \text{Ind } (\text{set } [a]) (\text{set } v)$  **using**  $1$  **by** *simp*

**have**  $4: \text{Ind } (\text{set } u) (\text{set } v)$

**proof** (*rule Cons(1)*)

**have**  $[a] @ u @ v = (a \# u) @ v$  **by** *simp*

**also have**  $\dots =_F v @ a \# u$  **using** *Cons(2)* **by** *this*

**also have**  $\dots = (v @ [a]) @ u$  **by** *simp*

**also have**  $\dots =_F ([a] @ v) @ u$  **using**  $2$  **by** *blast*

also have  $\dots = [a] @ v @ u$  by *simp*  
 finally show  $u @ v =_F v @ u$  by *blast*  
 show  $set\ u \cap set\ v = \{\}$  using *Cons(3)* by *auto*  
 qed  
 show *?case* using 1 4 by *auto*  
 qed

lemma *le-fin-member'*:

assumes  $[a] \preceq_F u @ v$   $a \in set\ u$

shows  $[a] \preceq_F u$

proof –

obtain  $w$  where 1:  $[a] @ w =_F u @ v$  using *assms(1)* by *rule*

obtain  $u_1\ u_2$  where 2:  $u = u_1 @ [a] @ u_2$   $a \notin set\ u_1$

using *split-list-first[OF assms(2)]* by *auto*

have 3: *Ind*  $\{a\}$  (*set*  $u_1$ )

proof (*rule eq-fin-ind'*)

show  $[a] @ w =_F u_1 @ [a] @ u_2 @ v$  using 1 unfolding 2(1) by *simp*

show  $a \notin set\ u_1$  using 2(2) by *this*

qed

have 4: *Ind* (*set*  $[a]$ ) (*set*  $u_1$ ) using 3 by *simp*

have  $[a] \leq [a] @ u_1 @ u_2$  by *auto*

also have  $\dots = ([a] @ u_1) @ u_2$  by *simp*

also have  $\dots =_F (u_1 @ [a]) @ u_2$  using 4 by *blast*

also have  $\dots = u$  unfolding 2(1) by *simp*

finally show *?thesis* by *this*

qed

lemma *le-fin-not-member'*:

assumes  $[a] \preceq_F u @ v$   $a \notin set\ u$

shows  $[a] \preceq_F v$

proof –

obtain  $w$  where 1:  $[a] @ w =_F u @ v$  using *assms(1)* by *rule*

have 3:  $a \in set\ v$  using *assms* by *auto*

obtain  $v_1\ v_2$  where 4:  $v = v_1 @ [a] @ v_2$   $a \notin set\ v_1$  using *split-list-first[OF 3]* by *auto*

have 5:  $[a] @ w =_F u @ v_1 @ [a] @ v_2$  using 1 unfolding 4(1) by *this*

have 6: *Ind*  $\{a\}$  (*set* ( $u @ v_1$ ))

proof (*rule eq-fin-ind'*)

show  $[a] @ w =_F (u @ v_1) @ [a] @ v_2$  using 5 by *simp*

show  $a \notin set\ (u @ v_1)$  using *assms(2)* 4(2) by *auto*

qed

have 9: *Ind* (*set*  $[a]$ ) (*set*  $v_1$ ) using 6 by *auto*

have  $[a] \leq [a] @ v_1 @ v_2$  by *auto*

also have  $\dots = ([a] @ v_1) @ v_2$  by *simp*

also have  $\dots =_F (v_1 @ [a]) @ v_2$  using 9 by *blast*

also have  $\dots = v_1 @ [a] @ v_2$  by *simp*

also have  $\dots = v$  unfolding 4(1) by *rule*

finally show *?thesis* by *this*

qed

lemma *le-fininf-not-member'*:

**assumes**  $[a] \preceq_{FI} u @- v a \notin \text{set } u$   
**shows**  $[a] \preceq_{FI} v$   
**proof** –  
**obtain**  $v_2$  **where**  $1: v_2 \leq_{FI} u @- v [a] \preceq_F v_2$  **using** *le-fininfE assms(1)* **by**  
*this*  
**show** *?thesis*  
**using**  $1(1)$   
**proof** (*cases rule: prefix-fininf-append*)  
**case** *absorb*  
**have**  $[a] \preceq_F v_2$  **using**  $1(2)$  **by** *this*  
**also have**  $\dots \leq u$  **using** *absorb* **by** *this*  
**finally have**  $2: a \in \text{set } u$  **by** *force*  
**show** *?thesis* **using** *assms(2)*  $2$  **by** *simp*  
**next**  
**case** (*extend z*)  
**have**  $[a] \preceq_F v_2$  **using**  $1(2)$  **by** *this*  
**also have**  $\dots = u @ z$  **using** *extend(1)* **by** *this*  
**finally have**  $2: [a] \preceq_F u @ z$  **by** *this*  
**have**  $[a] \preceq_F z$  **using** *le-fin-not-member' 2 assms(2)* **by** *this*  
**also have**  $\dots \leq_{FI} v$  **using** *extend(2)* **by** *this*  
**finally show** *?thesis* **by** *this*  
**qed**  
**qed**

**lemma** *le-fin-ind''*:  
**assumes**  $[a] \preceq_F w [b] \preceq_F w a \neq b$   
**shows** *ind a b*  
**proof** –  
**obtain**  $u$  **where**  $1: [a] @ u =_F w$  **using** *assms(1)* **by** *rule*  
**obtain**  $v$  **where**  $2: [b] @ v =_F w$  **using** *assms(2)* **by** *rule*  
**have**  $3: [a] @ u =_F [b] @ v$  **using**  $1\ 2$  [*symmetric*] **by** *auto*  
**have**  $4: a \in \text{set } v$  **using**  $3$  *assms(3)*  
**by** (*metis append-Cons append-Nil eq-fin-range list.set-intros(1) set-ConsD*)  
**obtain**  $v_1\ v_2$  **where**  $5: v = v_1 @ [a] @ v_2\ a \notin \text{set } v_1$  **using** *split-list-first[OF*  
 $4]$  **by** *auto*  
**have**  $7: \text{Ind } \{a\} (\text{set } ([b] @ v_1))$   
**proof** (*rule eq-fin-ind'*)  
**show**  $[a] @ u =_F ([b] @ v_1) @ [a] @ v_2$  **using**  $3$  *unfolding*  $5(1)$  **by** *simp*  
**show**  $a \notin \text{set } ([b] @ v_1)$  **using** *assms(3)*  $5(2)$  **by** *auto*  
**qed**  
**show** *?thesis* **using**  $7$  **by** *auto*  
**qed**

**lemma** *le-fin-ind'*:  
**assumes**  $[a] \preceq_F w v \preceq_F w a \notin \text{set } v$   
**shows** *Ind {a} (set v)*  
**using** *assms*  
**proof** (*induct v arbitrary: w*)  
**case** *Nil*  
**show** *?case* **by** *simp*

```

next
  case (Cons b v)
  have 1: ind a b
  proof (rule le-fin-ind'')
    show [a]  $\preceq_F$  w using Cons(2) by this
    show [b]  $\preceq_F$  w using Cons(3) by auto
    show a  $\neq$  b using Cons(4) by auto
  qed
  obtain w' where 2: [b] @ w' =F w using Cons(3) by auto
  have 3: Ind {a} (set v)
  proof (rule Cons(1))
    show [a]  $\preceq_F$  w'
    proof (rule le-fin-not-member')
      show [a]  $\preceq_F$  [b] @ w' using Cons(2) 2 by auto
      show a  $\notin$  set [b] using Cons(4) by auto
    qed
    have [b] @ v = b # v by simp
    also have ...  $\preceq_F$  w using Cons(3) by this
    also have ... =F [b] @ w' using 2 by auto
    finally show v  $\preceq_F$  w' by blast
    show a  $\notin$  set v using Cons(4) by auto
  qed
  show ?case using 1 3 by auto
qed
lemma le-fininf-ind'':
  assumes [a]  $\preceq_{FI}$  w [b]  $\preceq_{FI}$  w a  $\neq$  b
  shows ind a b
  using subsume-fin le-fin-ind'' assms by metis
lemma le-fininf-ind':
  assumes [a]  $\preceq_{FI}$  w v v  $\preceq_{FI}$  w a  $\notin$  set v
  shows Ind {a} (set v)
  using subsume-fin le-fin-ind' assms by metis

lemma indoc-alt-def: indoc a v  $\longleftrightarrow$  v =F [a] @ remove1 a v
proof
  assume 0: indoc a v
  obtain v1 v2 where 1: v = v1 @ [a] @ v2 a  $\notin$  set v1 Ind {a} (set v1)
    using 0 unfolding indoc-def by blast
  have 2: Ind (set [a]) (set v1) using 1(3) by simp
  have v = v1 @ [a] @ v2 using 1(1) by this
  also have ... = (v1 @ [a]) @ v2 by simp
  also have ... =F ([a] @ v1) @ v2 using 2 by blast
  also have ... = [a] @ v1 @ v2 by simp
  also have ... = [a] @ remove1 a v unfolding 1(1) remove1-append using
1(2) by auto
  finally show v =F [a] @ remove1 a v by this
next
  assume 0: v =F [a] @ remove1 a v
  have 1: indoc a ([a] @ remove1 a v) by simp

```



show *indoc a v* using *eq-fin-indoc 0 1* by *blast*  
qed

lemma *levi-lemma*:

assumes  $t @ u =_F v @ w$

obtains  $p r s q$

where  $t =_F p @ r$   $u =_F s @ q$   $v =_F p @ s$   $w =_F r @ q$  *Ind (set r) (set s)*

using *assms*

proof (*induct t arbitrary: thesis v w*)

case *Nil*

show *?case*

proof (*rule Nil(1)*)

show  $[] =_F [] @ []$  by *simp*

show  $v =_F [] @ v$  by *simp*

show  $u =_F v @ w$  using *Nil(2)* by *simp*

show  $w =_F [] @ w$  by *simp*

show *Ind (set []) (set v)* by *simp*

qed

next

case (*Cons a t'*)

have 1:  $[a] \preceq_F v @ w$  using *Cons(3)* by *blast*

show *?case*

proof (*cases a ∈ set v*)

case *False*

have 2:  $[a] \preceq_F w$  using *le-fin-not-member' 1 False* by *this*

obtain  $w'$  where 3:  $w =_F [a] @ w'$  using 2 by *blast*

have 4:  $v \preceq_F v @ w$  by *auto*

have 5: *Ind (set [a]) (set v)* using *le-fin-ind'[OF 1 4] False* by *simp*

have  $[a] @ t' @ u = (a \# t') @ u$  by *simp*

also have  $\dots =_F v @ w$  using *Cons(3)* by *this*

also have  $\dots =_F v @ [a] @ w'$  using 3 by *blast*

also have  $\dots = (v @ [a]) @ w'$  by *simp*

also have  $\dots =_F ([a] @ v) @ w'$  using 5 by *blast*

also have  $\dots = [a] @ v @ w'$  by *simp*

finally have 6:  $t' @ u =_F v @ w'$  by *blast*

obtain  $p r' s q$  where 7:  $t' =_F p @ r'$   $u =_F s @ q$   $v =_F p @ s$   $w' =_F r'$

@ q

*Ind (set r') (set s)* using *Cons(1)[OF - 6]* by *this*

have 8:  $set v = set p \cup set s$  using *eq-fin-range 7(3)* by *auto*

have 9: *Ind (set [a]) (set p)* using 5 8 by *auto*

have 10: *Ind (set [a]) (set s)* using 5 8 by *auto*

show *?thesis*

proof (*rule Cons(2)*)

have  $a \# t' = [a] @ t'$  by *simp*

also have  $\dots =_F [a] @ p @ r'$  using 7(1) by *blast*

also have  $\dots = ([a] @ p) @ r'$  by *simp*

also have  $\dots =_F (p @ [a]) @ r'$  using 9 by *blast*

also have  $\dots = p @ [a] @ r'$  by *simp*

finally show  $a \# t' =_F p @ [a] @ r'$  by *this*

```

    show  $u =_F s @ q$  using  $\gamma(2)$  by this
    show  $v =_F p @ s$  using  $\gamma(3)$  by this
    have  $w =_F [a] @ w'$  using  $\beta$  by this
    also have  $\dots =_F [a] @ r' @ q$  using  $\gamma(4)$  by blast
    also have  $\dots = ([a] @ r') @ q$  by simp
    finally show  $w =_F ([a] @ r') @ q$  by this
    show  $Ind (set ([a] @ r')) (set s)$  using  $\gamma(5)$  10 by auto
qed
next
case True
have  $2: [a] \preceq_F v$  using le-fin-member' 1 True by this
obtain  $v'$  where  $3: v =_F [a] @ v'$  using 2 by blast
have  $[a] @ t' @ u = (a \# t') @ u$  by simp
also have  $\dots =_F v @ w$  using Cons(3) by this
also have  $\dots =_F ([a] @ v') @ w$  using  $\beta$  by blast
also have  $\dots = [a] @ v' @ w$  by simp
finally have  $4: t' @ u =_F v' @ w$  by blast
obtain  $p' r s q$  where  $7: t' =_F p' @ r$   $u =_F s @ q$   $v' =_F p' @ s$   $w =_F r$ 
@ q
     $Ind (set r) (set s)$  using Cons(1)[OF - 4] by this
show ?thesis
proof (rule Cons(2))
    have  $a \# t' = [a] @ t'$  by simp
    also have  $\dots =_F [a] @ p' @ r$  using  $\gamma(1)$  by blast
    also have  $\dots = ([a] @ p') @ r$  by simp
    finally show  $a \# t' =_F ([a] @ p') @ r$  by this
    show  $u =_F s @ q$  using  $\gamma(2)$  by this
    have  $v =_F [a] @ v'$  using  $\beta$  by this
    also have  $\dots =_F [a] @ p' @ s$  using  $\gamma(3)$  by blast
    also have  $\dots = ([a] @ p') @ s$  by simp
    finally show  $v =_F ([a] @ p') @ s$  by this
    show  $w =_F r @ q$  using  $\gamma(4)$  by this
    show  $Ind (set r) (set s)$  using  $\gamma(5)$  by this
qed
qed
qed
end
end

```

## 9 Transition Systems and Trace Theory

```

theory Transition-System-Traces
imports
    Transition-System-Extensions
    Traces
begin

```

**lemma** (in *transition-system*) *words-infI-construct*[*rule-format, intro?*]:  
**assumes**  $\forall v. v \leq_{FI} w \longrightarrow \text{path } v \ p$   
**shows** *run w p*  
**using** *assms* **by** *coinduct auto*

**lemma** (in *transition-system*) *words-infI-construct'*:  
**assumes**  $\bigwedge k. \exists v. v \leq_{FI} w \wedge k < \text{length } v \wedge \text{path } v \ p$   
**shows** *run w p*  
**proof**  
**fix** *u*  
**assume** *1: u ≤<sub>FI</sub> w*  
**obtain** *v* **where** *2: v ≤<sub>FI</sub> w* *length u < length v* *path v p* **using** *assms(1)* **by**  
*auto*  
**have** *3: length u ≤ length v* **using** *2(2)* **by** *simp*  
**have** *4: u ≤ v* **using** *prefix-fininf-length 1 2(1) 3* **by** *this*  
**show** *path u p* **using** *4 2(3)* **by** *auto*  
**qed**

**lemma** (in *transition-system*) *words-infI-construct-chain*[*intro*]:  
**assumes** *chain w*  $\bigwedge k. \text{path } (w \ k) \ p$   
**shows** *run (limit w) p*  
**proof** (*rule words-infI-construct'*)  
**fix** *k*  
**obtain** *l* **where** *1: k < length (w l)* **using** *assms(1)* **by** *rule*  
**show**  $\exists v. v \leq_{FI} \text{limit } w \wedge k < \text{length } v \wedge \text{path } v \ p$   
**proof** (*intro exI conjI*)  
**show** *w l ≤<sub>FI</sub> limit w* **using** *chain-prefix-limit assms(1)* **by** *this*  
**show** *k < length (w l)* **using** *1* **by** *this*  
**show** *path (w l) p* **using** *assms(2)* **by** *this*  
**qed**  
**qed**

**lemma** (in *transition-system*) *words-fin-blocked*:  
**assumes**  $\bigwedge w. \text{path } w \ p \implies A \cap \text{set } w = \{\} \implies A \cap \{a. \text{enabled } a \ (\text{target } w \ p)\} \subseteq A \cap \{a. \text{enabled } a \ p\}$   
**assumes** *path w p*  $A \cap \{a. \text{enabled } a \ p\} \cap \text{set } w = \{\}$   
**shows**  $A \cap \text{set } w = \{\}$   
**using** *assms* **by** (*induct w rule: rev-induct, auto*)

**locale** *transition-system-traces* =  
*transition-system ex en +*  
*traces ind*  
**for** *ex :: 'action ⇒ 'state ⇒ 'state*  
**and** *en :: 'action ⇒ 'state ⇒ bool*  
**and** *ind :: 'action ⇒ 'action ⇒ bool*  
**+**  
**assumes** *en: ind a b ⇒ en a p ⇒ en b p ⇔ en b (ex a p)*  
**assumes** *ex: ind a b ⇒ en a p ⇒ en b p ⇒ ex b (ex a p) = ex a (ex b p)*  
**begin**

**lemma** *diamond-bottom*:  
**assumes** *ind a b*  
**assumes** *en a p en b p*  
**shows** *en a (ex b p) en b (ex a p) ex b (ex a p) = ex a (ex b p)*  
**using** *assms independence-symmetric en ex* **by** *metis+*

**lemma** *diamond-right*:  
**assumes** *ind a b*  
**assumes** *en a p en b (ex a p)*  
**shows** *en a (ex b p) en b p ex b (ex a p) = ex a (ex b p)*  
**using** *assms independence-symmetric en ex* **by** *metis+*

**lemma** *diamond-left*:  
**assumes** *ind a b*  
**assumes** *en a (ex b p) en b p*  
**shows** *en a p en b (ex a p) ex b (ex a p) = ex a (ex b p)*  
**using** *assms independence-symmetric en ex* **by** *metis+*

**lemma** *eq-swap-word*:  
**assumes** *w<sub>1</sub> =<sub>S</sub> w<sub>2</sub> path w<sub>1</sub> p*  
**shows** *path w<sub>2</sub> p*  
**using** *assms diamond-right* **by** (*induct, auto*)

**lemma** *eq-fin-word*:  
**assumes** *w<sub>1</sub> =<sub>F</sub> w<sub>2</sub> path w<sub>1</sub> p*  
**shows** *path w<sub>2</sub> p*  
**using** *assms eq-swap-word* **by** (*induct, auto*)

**lemma** *le-fin-word*:  
**assumes** *w<sub>1</sub> ≼<sub>F</sub> w<sub>2</sub> path w<sub>2</sub> p*  
**shows** *path w<sub>1</sub> p*  
**using** *assms eq-fin-word* **by** *blast*

**lemma** *le-fininf-word*:  
**assumes** *w<sub>1</sub> ≼<sub>FI</sub> w<sub>2</sub> run w<sub>2</sub> p*  
**shows** *path w<sub>1</sub> p*  
**using** *assms le-fin-word* **by** *blast*

**lemma** *le-inf-word*:  
**assumes** *w<sub>2</sub> ≼<sub>I</sub> w<sub>1</sub> run w<sub>1</sub> p*  
**shows** *run w<sub>2</sub> p*  
**using** *assms le-fininf-word* **by** (*blast intro: words-infI-construct*)

**lemma** *eq-inf-word*:  
**assumes** *w<sub>1</sub> =<sub>I</sub> w<sub>2</sub> run w<sub>1</sub> p*  
**shows** *run w<sub>2</sub> p*  
**using** *assms le-inf-word* **by** *auto*

**lemma** *eq-swap-execute*:  
**assumes** *path w<sub>1</sub> p w<sub>1</sub> =<sub>S</sub> w<sub>2</sub>*  
**shows** *fold ex w<sub>1</sub> p = fold ex w<sub>2</sub> p*  
**using** *assms(2, 1) diamond-right* **by** (*induct, auto*)

**lemma** *eq-fin-execute*:  
**assumes** *path w<sub>1</sub> p w<sub>1</sub> =<sub>F</sub> w<sub>2</sub>*  
**shows** *fold ex w<sub>1</sub> p = fold ex w<sub>2</sub> p*

**using** *assms*(2, 1) *eq-fin-word eq-swap-execute* **by** (*induct*, *auto*)

**lemma** *diamond-fin-word-step*:

**assumes** *Ind* {*a*} (*set v*) *en a p path v p*

**shows** *path v (ex a p)*

**using** *diamond-bottom assms* **by** (*induct v arbitrary: p, auto, metis*)

**lemma** *diamond-inf-word-step*:

**assumes** *Ind* {*a*} (*sset w*) *en a p run w p*

**shows** *run w (ex a p)*

**using** *diamond-fin-word-step assms* **by** (*fast intro: words-infI-construct*)

**lemma** *diamond-fin-word-inf-word*:

**assumes** *Ind* (*set v*) (*sset w*) *path v p run w p*

**shows** *run w (fold ex v p)*

**using** *diamond-inf-word-step assms* **by** (*induct v arbitrary: p, auto*)

**lemma** *diamond-fin-word-inf-word'*:

**assumes** *Ind* (*set v*) (*sset w*) *path (u @ v) p run (u @- w) p*

**shows** *run (u @- v @- w) p*

**using** *assms diamond-fin-word-inf-word* **by** *auto*

**end**

**end**

## 10 Functions

**theory** *Functions*

**imports** *../Extensions/Set-Extensions*

**begin**

**locale** *bounded-function* =

**fixes** *A* :: '*a set*

**fixes** *B* :: '*b set*

**fixes** *f* :: '*a* ⇒ '*b*

**assumes** *wellformed*[*intro?*, *simp*]:  $x \in A \implies f x \in B$

**locale** *bounded-function-pair* =

*f*: *bounded-function* *A B f* +

*g*: *bounded-function* *B A g*

**for** *A* :: '*a set*

**and** *B* :: '*b set*

**and** *f* :: '*a* ⇒ '*b*

**and** *g* :: '*b* ⇒ '*a*

**locale** *injection* = *bounded-function-pair* +

**assumes** *left-inverse*[*simp*]:  $x \in A \implies g (f x) = x$

**begin**

**lemma** *inj-on*[*intro*]: *inj-on* *f A* **using** *inj-onI left-inverse* **by** *metis*

```

lemma injective-on:
  assumes  $x \in A$   $y \in A$   $f\ x = f\ y$ 
  shows  $x = y$ 
  using assms left-inverse by metis

end

locale injective = bounded-function +
  assumes injection:  $\exists g.$  injection  $A\ B\ f\ g$ 
begin

  definition  $g \equiv$  SOME  $g.$  injection  $A\ B\ f\ g$ 

  sublocale injection  $A\ B\ f\ g$  unfolding g-def using someI-ex[OF injection] by
  this

end

locale surjection = bounded-function-pair +
  assumes right-inverse[simp]:  $y \in B \implies f\ (g\ y) = y$ 
begin

  lemma image-superset[intro]:  $f\ 'A \supseteq B$ 
  using g.wellformed image-iff right-inverse subsetI by metis

  lemma image-eq[simp]:  $f\ 'A = B$  using image-superset by auto

end

locale surjective = bounded-function +
  assumes surjection:  $\exists g.$  surjection  $A\ B\ f\ g$ 
begin

  definition  $g \equiv$  SOME  $g.$  surjection  $A\ B\ f\ g$ 

  sublocale surjection  $A\ B\ f\ g$  unfolding g-def using someI-ex[OF surjection]
  by this

end

locale bijection = injection + surjection

lemma inj-on-bijection:
  assumes inj-on  $f\ A$ 
  shows bijection  $A\ (f\ 'A)\ f\ (inv-into\ A\ f)$ 
proof
  show  $\bigwedge x. x \in A \implies f\ x \in f\ 'A$  using imageI by this
  show  $\bigwedge y. y \in f\ 'A \implies inv-into\ A\ f\ y \in A$  using inv-into-into by this
  show  $\bigwedge x. x \in A \implies inv-into\ A\ f\ (f\ x) = x$  using inv-into-f-f assms by this

```

show  $\bigwedge y. y \in f^{-1} A \implies f(\text{inv-into } A f y) = y$  using *f-inv-into-f* by this  
qed

end

## 11 Extended Natural Numbers

theory *ENat-Extensions*

imports

*Coinductive.Coinductive-Nat*

begin

declare *eSuc-enat*[simp]  
declare *iadd-Suc*[simp] *iadd-Suc-right*[simp]  
declare *enat-0*[simp] *enat-1*[simp] *one-eSuc*[simp]  
declare *enat-0-iff*[iff] *enat-1-iff*[iff]  
declare *Suc-ile-eq*[iff]

lemma *enat-Suc0*[simp]: *enat (Suc 0) = eSuc 0* by (*metis One-nat-def one-eSuc one-enat-def*)

lemma *le-epred*[iff]:  $l < \text{epred } k \iff \text{eSuc } l < k$   
by (*metis eSuc-le-iff epred-eSuc epred-le-epredI less-le-not-le not-le*)

lemma *eq-infI*[intro]:  
assumes  $\bigwedge n. \text{enat } n \leq m$   
shows  $m = \infty$   
using *assms* by (*metis enat-less-imp-le enat-ord-simps(5) less-le-not-le*)

end

## 12 Chain-Complete Partial Orders

theory *CCPO-Extensions*

imports

*HOL-Library.Complete-Partial-Order2*

*ENat-Extensions*

*Set-Extensions*

begin

lemma *chain-split*[dest]:  
assumes *Complete-Partial-Order.chain ord C x*  $x \in C$   
shows  $C = \{y \in C. \text{ord } x y\} \cup \{y \in C. \text{ord } y x\}$   
proof –  
have 1:  $\bigwedge y. y \in C \implies \text{ord } x y \vee \text{ord } y x$  using *chainD assms* by this  
show *?thesis* using 1 by blast  
qed

**lemma** *infinite-chain-below*[*dest*]:  
**assumes** *Complete-Partial-Order.chain ord C infinite C x ∈ C*  
**assumes** *finite {y ∈ C. ord x y}*  
**shows** *infinite {y ∈ C. ord y x}*  
**proof** –  
**have**  $1: C = \{y \in C. \text{ord } x \ y\} \cup \{y \in C. \text{ord } y \ x\}$  **using** *assms(1, 3)* **by rule**  
**show** *?thesis* **using** *finite-Un assms(2, 4) 1* **by** (*metis (poly-guards-query)*)  
**qed**

**lemma** *infinite-chain-above*[*dest*]:  
**assumes** *Complete-Partial-Order.chain ord C infinite C x ∈ C*  
**assumes** *finite {y ∈ C. ord y x}*  
**shows** *infinite {y ∈ C. ord x y}*  
**proof** –  
**have**  $1: C = \{y \in C. \text{ord } x \ y\} \cup \{y \in C. \text{ord } y \ x\}$  **using** *assms(1, 3)* **by rule**  
**show** *?thesis* **using** *finite-Un assms(2, 4) 1* **by** (*metis (poly-guards-query)*)  
**qed**

**lemma** (in *ccpo*) *ccpo-Sup-upper-inv*:  
**assumes** *Complete-Partial-Order.chain less-eq C x > ⋓ C*  
**shows**  $x \notin C$   
**using** *assms ccpo-Sup-upper* **by** *fastforce*

**lemma** (in *ccpo*) *ccpo-Sup-least-inv*:  
**assumes** *Complete-Partial-Order.chain less-eq C ⋓ C > x*  
**obtains**  $y$   
**where**  $y \in C \neg y \leq x$   
**using** *assms ccpo-Sup-least that* **by** *fastforce*

**lemma** *ccpo-Sup-least-inv'*:  
**fixes**  $C :: 'a :: \{\text{ccpo}, \text{linorder}\} \text{ set}$   
**assumes** *Complete-Partial-Order.chain less-eq C ⋓ C > x*  
**obtains**  $y$   
**where**  $y \in C \ y > x$   
**proof** –  
**obtain**  $y$  **where**  $1: y \in C \neg y \leq x$  **using** *ccpo-Sup-least-inv assms* **by** *this*  
**show** *?thesis* **using** *that 1* **by** *simp*  
**qed**

**lemma** *mcont2mcont-lessThan*[*THEN lfp.mcont2mcont, simp, cont-intro*]:  
**shows** *mcont-lessThan: mcont Sup less-eq Sup less-eq*  
*(lessThan :: 'a :: {\ccpo, linorder} ⇒ 'a set)*  
**proof**  
**show** *monotone less-eq less-eq (lessThan :: 'a ⇒ 'a set)* **by** (*rule, auto*)  
**show** *cont Sup less-eq Sup less-eq (lessThan :: 'a ⇒ 'a set)*  
**proof**  
**fix**  $C :: 'a \text{ set}$   
**assume**  $1: \text{Complete-Partial-Order.chain less-eq } C$   
**show**  $\{.. < \sqcup C\} = \bigcup (\text{lessThan } ' C)$   
**proof** (*intro equalityI subsetI*)  
**fix**  $A$



```

    assume 2:  $A \in \{..< \sqcup C\}$ 
    obtain B where 3:  $B \in C B > A$  using ccpo-Sup-least-inv' 1 2 by blast
    show  $A \in \cup (lessThan ' C)$  using 3 by auto
  next
    fix A
    assume 2:  $A \in \cup (lessThan ' C)$ 
    show  $A \in \{..< \sqcup C\}$  using ccpo-Sup-upper 2 by force
  qed
qed
qed

class esize =
  fixes esize :: 'a  $\Rightarrow$  enat

class esize-order = esize + order +
  assumes esize-finite[dest]:  $esize\ x \neq \infty \implies finite\ \{y.\ y \leq x\}$ 
  assumes esize-mono[intro]:  $x \leq y \implies esize\ x \leq esize\ y$ 
  assumes esize-strict-mono[intro]:  $esize\ x \neq \infty \implies x < y \implies esize\ x < esize\ y$ 
begin

lemma infinite-chain-eSuc-esize[dest]:
  assumes Complete-Partial-Order.chain less-eq C infinite C  $x \in C$ 
  obtains y
  where  $y \in C esize\ y \geq eSuc\ (esize\ x)$ 
proof (cases esize x)
  case (enat k)
  have 1:  $finite\ \{y \in C.\ y \leq x\}$  using esize-finite enat by simp
  have 2:  $infinite\ \{y \in C.\ y \geq x\}$  using assms 1 by rule
  have 3:  $\{y \in C.\ y > x\} = \{y \in C.\ y \geq x\} - \{x\}$  by auto
  have 4:  $infinite\ \{y \in C.\ y > x\}$  using 2 unfolding 3 by simp
  obtain y where 5:  $y \in C\ y > x$  using 4 by auto
  have 6:  $esize\ y > esize\ x$  using esize-strict-mono enat 5(2) by blast
  show ?thesis using that 5(1) 6 ileI1 by simp
next
  case (infinity)
  show ?thesis using that infinity assms(3) by simp
qed

lemma infinite-chain-arbitrary-esize[dest]:
  assumes Complete-Partial-Order.chain less-eq C infinite C
  obtains x
  where  $x \in C esize\ x \geq enat\ n$ 
proof (induct n arbitrary: thesis)
  case 0
  show ?case using assms(2) 0 by force
next
  case (Suc n)
  obtain x where 1:  $x \in C esize\ x \geq enat\ n$  using Suc(1) by blast
  obtain y where 2:  $y \in C esize\ y \geq eSuc\ (esize\ x)$  using assms 1(1) by rule

```

```

    show ?case using gfp.leq-trans Suc(2) 1(2) 2 by fastforce
  qed

end

class esize-ccpo = esize-order + ccpo
begin

lemma esize-cont[dest]:
  assumes Complete-Partial-Order.chain less-eq C C ≠ {}
  shows esize (⊔ C) = ⊔ (esize ` C)
proof (cases finite C)
  case False
  have 1: esize (⊔ C) = ∞
  proof
    fix n
    obtain A where 1: A ∈ C esize A ≥ enat n using assms(1) False by rule
    have 2: A ≤ ⊔ C using ccpo-Sup-upper assms(1) 1(1) by this
    have enat n ≤ esize A using 1(2) by this
    also have ... ≤ esize (⊔ C) using 2 by rule
    finally show enat n ≤ esize (⊔ C) by this
  qed
  have 2: (⊔ A ∈ C. esize A) = ∞
  proof
    fix n
    obtain A where 1: A ∈ C esize A ≥ enat n using assms(1) False by rule
    show enat n ≤ (⊔ A ∈ C. esize A) using SUP-upper2 1 by this
  qed
  show ?thesis using 1 2 by simp
next
  case True
  have 1: esize (⊔ C) = (⊔ x ∈ C. esize x)
  proof (intro order-class.order.antisym SUP-upper SUP-least esize-mono)
    show ⊔ C ∈ C using in-chain-finite assms(1) True assms(2) by this
    show ∧ x. x ∈ C ⇒ x ≤ ⊔ C using ccpo-Sup-upper assms(1) by this
  qed
  show ?thesis using 1 by simp
qed

lemma esize-mcont: mcont Sup less-eq Sup less-eq esize
  by (blast intro: mcontI monotoneI contI)

lemmas mcont2mcont-esome = esize-mcont[THEN lfp.mcont2mcont, simp, cont-intro]

end

end

```

## 13 Sets and Extended Natural Numbers

**theory** *ESet-Extensions*

**imports**

*../Basics/Functions*

*Basic-Extensions*

*CCPO-Extensions*

**begin**

**lemma** *card-lessThan-enat[simp]*:  $\text{card } \{.. $\lt$  enat  $k\} = \text{card } \{.. $\lt$   $k\}$$$

**proof** –

**have** 1:  $\{.. $\lt$  enat  $k\} = \text{enat } \{.. $\lt$   $k\}$$$

**unfolding** *lessThan-def image-Collect* **using** *enat-iless* **by force**

**have**  $\text{card } \{.. $\lt$  enat  $k\} = \text{card } (\text{enat } \{.. $\lt$   $k\})$  **unfolding** 1 **by rule**$$

**also have**  $\dots = \text{card } \{.. $\lt$   $k\}$  **using** *card-image inj-enat* **by metis**$

**finally show** *?thesis* **by this**

**qed**

**lemma** *card-atMost-enat[simp]*:  $\text{card } \{.. $\leq$  enat  $k\} = \text{card } \{.. $\leq$   $k\}$$$

**proof** –

**have** 1:  $\{.. $\leq$  enat  $k\} = \text{enat } \{.. $\leq$   $k\}$$$

**unfolding** *atMost-def image-Collect* **using** *enat-ile* **by force**

**have**  $\text{card } \{.. $\leq$  enat  $k\} = \text{card } (\text{enat } \{.. $\leq$   $k\})$  **unfolding** 1 **by rule**$$

**also have**  $\dots = \text{card } \{.. $\leq$   $k\}$  **using** *card-image inj-enat* **by metis**$

**finally show** *?thesis* **by this**

**qed**

**lemma** *enat-Collect*:

**assumes**  $\infty \notin A$

**shows**  $\{i. \text{enat } i \in A\} = \text{the-enat } A$

**using** *assms* **by** (*safe, force*) (*metis enat-the-enat*)

**lemma** *Collect-lessThan*:  $\{i. \text{enat } i < n\} = \text{the-enat } \{.. $\lt$   $n\}$$

**proof** –

**have** 1:  $\infty \notin \{.. $\lt$   $n\}$  **by simp**$

**have**  $\{i. \text{enat } i < n\} = \{i. \text{enat } i \in \{.. $\lt$   $n\}\}$  **by simp**$

**also have**  $\dots = \text{the-enat } \{.. $\lt$   $n\}$  **using** *enat-Collect 1* **by this**$

**finally show** *?thesis* **by this**

**qed**

**instantiation** *set* :: (*type*) *esize-ccpo*

**begin**

**function** *esize-set* **where** *finite*  $A \implies \text{esize } A = \text{enat } (\text{card } A) \mid \text{infinite } A \implies \text{esize } A = \infty$

**by auto termination by lexicographic-order**

**lemma** *esize-iff-empty[iff]*:  $\text{esize } A = 0 \iff A = \{\}$  **by** (*cases finite A, auto*)

**lemma** *esize-iff-infinite[iff]*:  $\text{esize } A = \infty \iff \text{infinite } A$  **by force**

**lemma** *esize-singleton[simp]*:  $\text{esize } \{a\} = \text{eSuc } 0$  **by simp**

**lemma** *esize-infinite-enat*[*dest, simp*]:  $\text{infinite } A \implies \text{enat } k < \text{esize } A$  **by force**

**instance**

**proof**

fix  $A :: 'a \text{ set}$

assume  $1: \text{esize } A \neq \infty$

show *finite*  $\{B. B \subseteq A\}$  **using 1 by simp**

**next**

fix  $A B :: 'a \text{ set}$

assume  $1: A \subseteq B$

show  $\text{esize } A \leq \text{esize } B$

**proof** (*cases finite B*)

case *False*

show *?thesis* **using False by auto**

**next**

case *True*

have  $2: \text{finite } A$  **using True 1 by auto**

show *?thesis* **using card-mono True 1 2 by auto**

**qed**

**next**

fix  $A B :: 'a \text{ set}$

assume  $1: \text{esize } A \neq \infty \ A \subset B$

show  $\text{esize } A < \text{esize } B$  **using psubset-card-mono 1 by (cases finite B, auto)**

**qed**

**end**

**lemma** *esize-image*[*simp, intro*]:

assumes *inj-on f A*

shows  $\text{esize } (f \text{ ` } A) = \text{esize } A$

**using card-image finite-imageD assms by (cases finite A, auto)**

**lemma** *esize-insert1*[*simp*]:  $a \notin A \implies \text{esize } (\text{insert } a A) = \text{eSuc } (\text{esize } A)$

**by (cases finite A, force+)**

**lemma** *esize-insert2*[*simp*]:  $a \in A \implies \text{esize } (\text{insert } a A) = \text{esize } A$

**using insert-absorb by metis**

**lemma** *esize-remove1*[*simp*]:  $a \notin A \implies \text{esize } (A - \{a\}) = \text{esize } A$

**by (cases finite A, force+)**

**lemma** *esize-remove2*[*simp*]:  $a \in A \implies \text{esize } (A - \{a\}) = \text{epred } (\text{esize } A)$

**by (cases finite A, force+)**

**lemma** *esize-union-disjoint*[*simp*]:

assumes  $A \cap B = \{\}$

shows  $\text{esize } (A \cup B) = \text{esize } A + \text{esize } B$

**proof** (*cases finite (A ∪ B)*)

case *True*

show *?thesis* **using card-Un-disjoint assms True by auto**

**next**

case *False*

show *?thesis* **using False by (cases finite A, auto)**

**qed**

```

lemma esize-lessThan[simp]: esize {..n} = n
proof (cases n)
  case (enat k)
    have 1: finite {..n} unfolding enat by (metis finite-lessThan-enat-iff
not-enat-eq)
    show ?thesis using 1 unfolding enat by simp
  next
  case (infinity)
    have 1: infinite {..n} unfolding infinity using infinite-lessThan-infty by
simp
    show ?thesis using 1 unfolding infinity by simp
  qed
lemma esize-atMost[simp]: esize {..n} = eSuc n
proof (cases n)
  case (enat k)
    have 1: finite {..n} unfolding enat by (metis atMost-iff finite-enat-bounded)
    show ?thesis using 1 unfolding enat by simp
  next
  case (infinity)
    have 1: infinite {..n}
    unfolding infinity
    by (metis atMost-iff enat-ord-code(3) infinite-lessThan-infty infinite-super
subsetI)
    show ?thesis using 1 unfolding infinity by simp
  qed

lemma least-eSuc[simp]:
  assumes A ≠ {}
  shows least (eSuc ' A) = eSuc (least A)
proof (rule antisym)
  obtain k where 10: k ∈ A using assms by blast
  have 11: eSuc k ∈ eSuc ' A using 10 by auto
  have 20: least A ∈ A using 10 LeastI by metis
  have 21: least (eSuc ' A) ∈ eSuc ' A using 11 LeastI by metis
  have 30:  $\bigwedge l. l \in A \implies \text{least } A \leq l$  using 10 Least-le by metis
  have 31:  $\bigwedge l. l \in \text{eSuc } ' A \implies \text{least } (\text{eSuc } ' A) \leq l$  using 11 Least-le by metis
  show least (eSuc ' A) ≤ eSuc (least A) using 20 31 by auto
  show eSuc (least A) ≤ least (eSuc ' A) using 21 30 by auto
qed

lemma Inf-enat-eSuc[simp]:  $\bigcap$  (eSuc ' A) = eSuc ( $\bigcap$  A) unfolding Inf-enat-def
by simp

definition lift :: nat set ⇒ nat set
  where lift A ≡ insert 0 (Suc ' A)

lemma liftI-0[intro, simp]: 0 ∈ lift A unfolding lift-def by auto
lemma liftI-Suc[intro]: a ∈ A ⇒ Suc a ∈ lift A unfolding lift-def by auto
lemma liftE[elim]:

```

**assumes**  $b \in \text{lift } A$   
**obtains**  $(0) b = 0 \mid (\text{Suc}) a$  **where**  $b = \text{Suc } a \ a \in A$   
**using** *assms unfolding lift-def by auto*

**lemma** *lift-esize[simp]*:  $\text{esize } (\text{lift } A) = \text{eSuc } (\text{esize } A)$  **unfolding** *lift-def by auto*

**lemma** *lift-least[simp]*:  $\text{least } (\text{lift } A) = 0$  **unfolding** *lift-def by auto*

**primrec** *nth-least* ::  $'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a :: \text{wellorder}$   
**where**  $\text{nth-least } A \ 0 = \text{least } A \mid \text{nth-least } A \ (\text{Suc } n) = \text{nth-least } (A - \{\text{least } A\}) \ n$

**lemma** *nth-least-wellformed[intro?, simp]*:

**assumes**  $\text{enat } n < \text{esize } A$   
**shows**  $\text{nth-least } A \ n \in A$

**using** *assms*

**proof** (*induct n arbitrary: A*)

**case**  $0$

**show** *?case using 0 by simp*

**next**

**case**  $(\text{Suc } n)$

**have**  $1: A \neq \{\}$  **using** *Suc(2) by auto*

**have**  $2: \text{enat } n < \text{esize } (A - \{\text{least } A\})$  **using** *Suc(2) 1 by simp*

**have**  $3: \text{nth-least } (A - \{\text{least } A\}) \ n \in A - \{\text{least } A\}$  **using** *Suc(1) 2 by this*

**show** *?case using 3 by simp*

**qed**

**lemma** *card-wellformed[intro?, simp]*:

**fixes**  $k :: 'a :: \text{wellorder}$

**assumes**  $k \in A$

**shows**  $\text{enat } (\text{card } \{i \in A. i < k\}) < \text{esize } A$

**proof** (*cases finite A*)

**case** *False*

**show** *?thesis using False by simp*

**next**

**case** *True*

**have**  $1: \text{esize } \{i \in A. i < k\} < \text{esize } A$  **using** *True assms by fastforce*

**show** *?thesis using True 1 by simp*

**qed**

**lemma** *nth-least-strict-mono*:

**assumes**  $\text{enat } l < \text{esize } A \ k < l$

**shows**  $\text{nth-least } A \ k < \text{nth-least } A \ l$

**using** *assms*

**proof** (*induct k arbitrary: A l*)

**case**  $0$

**obtain**  $l'$  **where**  $1: l = \text{Suc } l'$  **using** *0(2) by (metis gr0-conv-Suc)*

**have**  $2: A \neq \{\}$  **using** *0(1) by auto*

**have**  $3: \text{enat } l' < \text{esize } (A - \{\text{least } A\})$  **using** *0(1) 2 unfolding 1 by simp*

```

    have 4: nth-least (A - {least A}) l' ∈ A - {least A} using 3 by rule
    show ?case using 1 4 by (auto intro: le-neq-trans)
next
  case (Suc k)
  obtain l' where 1: l = Suc l' using Suc(3) by (metis Suc-lessE)
  have 2: A ≠ {} using Suc(2) by auto
  show ?case using Suc 2 unfolding 1 by simp
qed

lemma nth-least-mono[intro, simp]:
  assumes enat l < esize A k ≤ l
  shows nth-least A k ≤ nth-least A l
  using nth-least-strict-mono le-less assms by metis

lemma card-nth-least[simp]:
  assumes enat n < esize A
  shows card {k ∈ A. k < nth-least A n} = n
  using assms
  proof (induct n arbitrary: A)
    case 0
    have 1: {k ∈ A. k < least A} = {} using least-not-less by auto
    show ?case using nth-least.simps(1) card.empty 1 by metis
  next
    case (Suc n)
    have 1: A ≠ {} using Suc(2) by auto
    have 2: enat n < esize (A - {least A}) using Suc(2) 1 by simp
    have 3: nth-least A 0 < nth-least A (Suc n) using nth-least-strict-mono Suc(2)
  by blast
    have 4: {k ∈ A. k < nth-least A (Suc n)} =
      {least A} ∪ {k ∈ A - {least A}. k < nth-least (A - {least A}) n} using 1 3
  by auto
    have 5: card {k ∈ A - {least A}. k < nth-least (A - {least A}) n} = n using
  Suc(1) 2 by this
    have 6: finite {k ∈ A - {least A}. k < nth-least (A - {least A}) n}
      using 5 Collect-empty-eq card.infinite infinite-imp-nonempty least-not-less
  nth-least.simps(1)
    by (metis (no-types, lifting))
    have card {k ∈ A. k < nth-least A (Suc n)} =
      card ({least A} ∪ {k ∈ A - {least A}. k < nth-least (A - {least A}) n})
  using 4 by simp
    also have ... = card {least A} + card {k ∈ A - {least A}. k < nth-least (A
  - {least A}) n}
      using 6 by simp
    also have ... = Suc n using 5 by simp
    finally show ?case by this
  qed

lemma card-nth-least-le[simp]:
  assumes enat n < esize A

```

**shows**  $\text{card } \{k \in A. k \leq \text{nth-least } A \ n\} = \text{Suc } n$   
**proof** –  
**have** 1:  $\{k \in A. k \leq \text{nth-least } A \ n\} = \{\text{nth-least } A \ n\} \cup \{k \in A. k < \text{nth-least } A \ n\}$   
**using** *assms by auto*  
**have** 2:  $\text{card } \{k \in A. k < \text{nth-least } A \ n\} = n$  **using** *assms by simp*  
**have** 3: *finite*  $\{k \in A. k < \text{nth-least } A \ n\}$   
**using** 2 *Collect-empty-eq card.infinite infinite-imp-nonempty least-not-less nth-least.simps(1)*  
**by** (*metis (no-types, lifting)*)  
**have**  $\text{card } \{k \in A. k \leq \text{nth-least } A \ n\} = \text{card } (\{\text{nth-least } A \ n\} \cup \{k \in A. k < \text{nth-least } A \ n\})$   
**unfolding** 1 **by** *rule*  
**also**  $\text{have } \dots = \text{card } \{\text{nth-least } A \ n\} + \text{card } \{k \in A. k < \text{nth-least } A \ n\}$  **using** 3 **by** *simp*  
**also**  $\text{have } \dots = \text{Suc } n$  **using** *assms by simp*  
**finally** **show** *?thesis by this*  
**qed**

**lemma** *nth-least-card*:

**fixes**  $k :: \text{nat}$   
**assumes**  $k \in A$   
**shows**  $\text{nth-least } A \ (\text{card } \{i \in A. i < k\}) = k$   
**proof** (*rule nat-set-card-equality-less*)  
**have** 1:  $\text{enat } (\text{card } \{l \in A. l < k\}) < \text{esize } A$   
**proof** (*cases finite A*)  
**case** *False*  
**show** *?thesis using False by simp*  
**next**  
**case** *True*  
**have** 1:  $\{l \in A. l < k\} \subset A$  **using** *assms by blast*  
**have** 2:  $\text{card } \{l \in A. l < k\} < \text{card } A$  **using** *psubset-card-mono True 1 by this*  
**show** *?thesis using True 2 by simp*  
**qed**  
**show**  $\text{nth-least } A \ (\text{card } \{l \in A. l < k\}) \in A$  **using** 1 **by** *rule*  
**show**  $k \in A$  **using** *assms by this*  
**show**  $\text{card } \{z \in A. z < \text{nth-least } A \ (\text{card } \{i \in A. i < k\})\} = \text{card } \{z \in A. z < k\}$  **using** 1 **by** *simp*  
**qed**

**interpretation** *nth-least*:

*bounded-function-pair*  $\{i. \text{enat } i < \text{esize } A\} A \ \text{nth-least } A \ \lambda k. \text{card } \{i \in A. i < k\}$   
**using** *nth-least-wellformed card-wellformed by (unfold-locales, blast+)*

**interpretation** *nth-least*:

*injection*  $\{i. \text{enat } i < \text{esize } A\} A \ \text{nth-least } A \ \lambda k. \text{card } \{i \in A. i < k\}$   
**using** *card-nth-least by (unfold-locales, blast)*



**interpretation** *nth-least*:  
*surjection*  $\{i. \text{enat } i < \text{esize } A\} A \text{ nth-least } A \lambda k. \text{card } \{i \in A. i < k\}$   
**for**  $A :: \text{nat set}$   
**using** *nth-least-card* **by** (*unfold-locales, blast*)

**interpretation** *nth-least*:  
*bijection*  $\{i. \text{enat } i < \text{esize } A\} A \text{ nth-least } A \lambda k. \text{card } \{i \in A. i < k\}$   
**for**  $A :: \text{nat set}$   
**by** *unfold-locales*

**lemma** *nth-least-strict-mono-inverse*:  
**fixes**  $A :: \text{nat set}$   
**assumes**  $\text{enat } k < \text{esize } A \text{ enat } l < \text{esize } A \text{ nth-least } A k < \text{nth-least } A l$   
**shows**  $k < l$   
**using** *assms* **by** (*metis not-less-iff-gr-or-eq nth-least-strict-mono*)

**lemma** *nth-least-less-card-less*:  
**fixes**  $k :: \text{nat}$   
**shows**  $\text{enat } n < \text{esize } A \wedge \text{nth-least } A n < k \longleftrightarrow n < \text{card } \{i \in A. i < k\}$

**proof** *safe*

**assume**  $1: \text{enat } n < \text{esize } A \text{ nth-least } A n < k$   
**have**  $2: \text{nth-least } A n \in A$  **using**  $1(1)$  **by** *rule*  
**have**  $n = \text{card } \{i \in A. i < \text{nth-least } A n\}$  **using**  $1$  **by** *simp*  
**also have**  $\dots < \text{card } \{i \in A. i < k\}$  **using**  $1(2)$   $2$  **by** *simp*  
**finally show**  $n < \text{card } \{i \in A. i < k\}$  **by** *this*

**next**

**assume**  $1: n < \text{card } \{i \in A. i < k\}$   
**have**  $\text{enat } n < \text{enat } (\text{card } \{i \in A. i < k\})$  **using**  $1$  **by** *simp*  
**also have**  $\dots = \text{esize } \{i \in A. i < k\}$  **by** *simp*  
**also have**  $\dots \leq \text{esize } A$  **by** *blast*  
**finally show**  $2: \text{enat } n < \text{esize } A$  **by** *this*  
**have**  $3: n = \text{card } \{i \in A. i < \text{nth-least } A n\}$  **using**  $2$  **by** *simp*  
**have**  $4: \text{card } \{i \in A. i < \text{nth-least } A n\} < \text{card } \{i \in A. i < k\}$  **using**  $1$   $2$  **by**

*simp*

**have**  $5: \text{nth-least } A n \in A$  **using**  $2$  **by** *rule*  
**show**  $\text{nth-least } A n < k$  **using**  $4$   $5$  **by** *simp*

**qed**

**lemma** *nth-least-less-esize-less*:  
 $\text{enat } n < \text{esize } A \wedge \text{enat } (\text{nth-least } A n) < k \longleftrightarrow \text{enat } n < \text{esize } \{i \in A. \text{enat } i < k\}$   
**using** *nth-least-less-card-less* **by** (*cases k, simp+*)

**lemma** *nth-least-le*:  
**assumes**  $\text{enat } n < \text{esize } A$   
**shows**  $n \leq \text{nth-least } A n$   
**using** *assms*  
**proof** (*induct n*)

```

    case 0
    show ?case using 0 by simp
next
  case (Suc n)
  have n ≤ nth-least A n using Suc by (metis Suc-ile-eq less-imp-le)
  also have ... < nth-least A (Suc n) using nth-least-strict-mono Suc(2) by
blast
  finally show ?case by simp
qed

lemma nth-least-eq:
  assumes enat n < esize A enat n < esize B
  assumes  $\bigwedge i. i \leq \text{nth-least } A \ n \implies i \leq \text{nth-least } B \ n \implies i \in A \longleftrightarrow i \in B$ 
  shows nth-least A n = nth-least B n
using assms
proof (induct n arbitrary: A B)
  case 0
  have 1: least A = least B
  proof (rule least-eq)
    show A ≠ {} using 0(1) by simp
    show B ≠ {} using 0(2) by simp
  next
  fix i
  assume 2: i ≤ least A i ≤ least B
  show i ∈ A ↔ i ∈ B using 0(3) 2 unfolding nth-least.simps by this
  qed
  show ?case using 1 by simp
next
  case (Suc n)
  have 1: A ≠ {} B ≠ {} using Suc(2, 3) by auto
  have 2: least A = least B
  proof (rule least-eq)
    show A ≠ {} using 1(1) by this
    show B ≠ {} using 1(2) by this
  next
  fix i
  assume 3: i ≤ least A i ≤ least B
  have 4: nth-least A 0 ≤ nth-least A (Suc n) using Suc(2) by blast
  have 5: nth-least B 0 ≤ nth-least B (Suc n) using Suc(3) by blast
  have 6: i ≤ nth-least A (Suc n) i ≤ nth-least B (Suc n) using 3 4 5 by auto
  show i ∈ A ↔ i ∈ B using Suc(4) 6 by this
  qed
  have 3: nth-least (A - {least A}) n = nth-least (B - {least B}) n
  proof (rule Suc(1))
    show enat n < esize (A - {least A}) using Suc(2) 1(1) by simp
    show enat n < esize (B - {least B}) using Suc(3) 1(2) by simp
  next
  fix i
  assume 3: i ≤ nth-least (A - {least A}) n i ≤ nth-least (B - {least B}) n

```

```

    have 4:  $i \leq \text{nth-least } A \text{ (Suc } n) \leq \text{nth-least } B \text{ (Suc } n)$  using 3 by simp+
    have 5:  $i \in A \longleftrightarrow i \in B$  using Suc(4) 4 by this
    show  $i \in A - \{\text{least } A\} \longleftrightarrow i \in B - \{\text{least } B\}$  using 2 5 by auto
  qed
  show ?case using 3 by simp
  qed

lemma nth-least-restrict[simp]:
  assumes  $\text{enat } i < \text{esize } \{i \in s. \text{enat } i < k\}$ 
  shows  $\text{nth-least } \{i \in s. \text{enat } i < k\} i = \text{nth-least } s i$ 
  proof (rule nth-least-eq)
    show  $\text{enat } i < \text{esize } \{i \in s. \text{enat } i < k\}$  using assms by this
    show  $\text{enat } i < \text{esize } s$  using nth-least-less-esize-less assms by auto
  next
    fix l
    assume 1:  $l \leq \text{nth-least } \{i \in s. \text{enat } i < k\} i$ 
    have 2:  $\text{nth-least } \{i \in s. \text{enat } i < k\} i \in \{i \in s. \text{enat } i < k\}$  using assms by
  rule
    have  $\text{enat } l \leq \text{enat } (\text{nth-least } \{i \in s. \text{enat } i < k\} i)$  using 1 by simp
    also have  $\dots < k$  using 2 by simp
    finally show  $l \in \{i \in s. \text{enat } i < k\} \longleftrightarrow l \in s$  by auto
  qed

lemma least-nth-least[simp]:
  assumes  $A \neq \{\} \wedge i. i \in A \implies \text{enat } i < \text{esize } B$ 
  shows  $\text{least } (\text{nth-least } B \text{ ' } A) = \text{nth-least } B \text{ (least } A)$ 
  using assms by simp

lemma nth-least-nth-least[simp]:
  assumes  $\text{enat } n < \text{esize } A \wedge i. i \in A \implies \text{enat } i < \text{esize } B$ 
  shows  $\text{nth-least } B \text{ (nth-least } A \text{ } n) = \text{nth-least } (\text{nth-least } B \text{ ' } A) \text{ } n$ 
  using assms
  proof (induct n arbitrary: A)
    case 0
    show ?case using 0 by simp
  next
    case (Suc n)
    have 1:  $A \neq \{\}$  using Suc(2) by auto
    have 2:  $\text{nth-least } B \text{ ' } (A - \{\text{least } A\}) = \text{nth-least } B \text{ ' } A - \text{nth-least } B \text{ ' } \{\text{least } A\}$ 
  A}
    proof (rule inj-on-image-set-diff)
      show  $\text{inj-on } (\text{nth-least } B) \{i. \text{enat } i < \text{esize } B\}$  using nth-least.inj-on by this
      show  $A - \{\text{least } A\} \subseteq \{i. \text{enat } i < \text{esize } B\}$  using Suc(3) by blast
      show  $\{\text{least } A\} \subseteq \{i. \text{enat } i < \text{esize } B\}$  using Suc(3) 1 by force
    qed
    have  $\text{nth-least } B \text{ (nth-least } A \text{ (Suc } n)) = \text{nth-least } B \text{ (nth-least } (A - \{\text{least } A\})$ 
  n) by simp
    also have  $\dots = \text{nth-least } (\text{nth-least } B \text{ ' } (A - \{\text{least } A\})) \text{ } n$  using Suc 1 by
  force

```

**also have**  $\dots = \text{nth-least } ( \text{nth-least } B \text{ ' } A - \text{nth-least } B \text{ ' } \{ \text{least } A \} ) n$  **unfolding**  
**2 by rule**  
**also have**  $\dots = \text{nth-least } ( \text{nth-least } B \text{ ' } A - \{ \text{nth-least } B \text{ (least } A \} ) \} n$  **by simp**  
**also have**  $\dots = \text{nth-least } ( \text{nth-least } B \text{ ' } A - \{ \text{least } ( \text{nth-least } B \text{ ' } A ) \} ) n$  **using**  
*Suc(3) 1 by auto*  
**also have**  $\dots = \text{nth-least } ( \text{nth-least } B \text{ ' } A ) ( \text{Suc } n )$  **by simp**  
**finally show** *?case* **by this**  
**qed**

**lemma** *nth-least-Max[simp]*:

**assumes** *finite A A ≠ {}*

**shows**  $\text{nth-least } A \text{ (card } A - 1) = \text{Max } A$

**using** *assms*

**proof** (*induct card A - 1 arbitrary: A*)

**case** *0*

**have** *1: card A = 1* **using** *0* **by** (*metis One-nat-def Suc-diff-1 card-gt-0-iff*)

**obtain** *a* **where** *2: A = {a}* **using** *1* **by rule**

**show** *?case* **unfolding** *2* **by** (*simp del: insert-iff*)

**next**

**case** (*Suc n*)

**have** *1: least A ∈ A* **using** *Suc(4)* **by rule**

**have** *2: card (A - {least A}) = Suc n* **using** *Suc(2, 3) 1* **by simp**

**have** *3: A - {least A} ≠ {}* **using** *2* *Suc(3)* **by fastforce**

**have**  $\text{nth-least } A \text{ (card } A - 1) = \text{nth-least } A \text{ (Suc } n)$  **unfolding** *Suc(2)* **by**

*rule*

**also have**  $\dots = \text{nth-least } ( A - \{ \text{least } A \} ) n$  **by simp**

**also have**  $\dots = \text{nth-least } ( A - \{ \text{least } A \} ) \text{ (card } ( A - \{ \text{least } A \} ) - 1)$  **unfolding**

**2 by simp**

**also have**  $\dots = \text{Max } ( A - \{ \text{least } A \} )$

**proof** (*rule Suc(1)*)

**show**  $n = \text{card } ( A - \{ \text{least } A \} ) - 1$  **unfolding** *2* **by simp**

**show** *finite (A - {least A})* **using** *Suc(3)* **by simp**

**show**  $A - \{ \text{least } A \} \neq \{ \}$  **using** *3* **by this**

**qed**

**also have**  $\dots = \text{Max } A$  **using** *Suc(3) 3* **by simp**

**finally show** *?case* **by this**

**qed**

**lemma** *nth-least-le-Max*:

**assumes** *finite A A ≠ {} enat n < esize A*

**shows**  $\text{nth-least } A \ n \leq \text{Max } A$

**proof** -

**have**  $\text{nth-least } A \ n \leq \text{nth-least } A \text{ (card } A - 1)$

**proof** (*rule nth-least-mono*)

**show**  $\text{enat } ( \text{card } A - 1 ) < \text{esize } A$  **by** (*metis Suc-diff-1 Suc-ile-eq assms(1)*  
*assms(2)*)

*card-eq-0-iff esize-set.simps(1) not-gr0 order-refl*)

**show**  $n \leq \text{card } A - 1$  **by** (*metis Suc-diff-1 Suc-leI antisym-conv assms(1)*  
*assms(3)*)

```

    enat-ord-simps(2) esize-set.simps(1) le-less neq-iff not-gr0)
  qed
  also have ... = Max A using nth-least-Max assms(1, 2) by this
  finally show ?thesis by this
  qed

lemma nth-least-not-contains:
  fixes k :: nat
  assumes enat (Suc n) < esize A nth-least A n < k k < nth-least A (Suc n)
  shows k ∉ A
  proof
    assume 1: k ∈ A
    have 2: nth-least A (card {i ∈ A. i < k}) = k using nth-least.right-inverse 1
  by this
    have 3: n < card {i ∈ A. i < k}
    proof (rule nth-least-strict-mono-inverse)
      show enat n < esize A using assms(1) by auto
      show enat (card {i ∈ A. i < k}) < esize A using nth-least.g.wellformed 1
    by simp
      show nth-least A n < nth-least A (card {i ∈ A. i < k}) using assms(2) 2
    by simp
    qed
    have 4: card {i ∈ A. i < k} < Suc n
    proof (rule nth-least-strict-mono-inverse)
      show enat (card {i ∈ A. i < k}) < esize A using nth-least.g.wellformed 1
    by simp
      show enat (Suc n) < esize A using assms(1) by this
      show nth-least A (card {i ∈ A. i < k}) < nth-least A (Suc n) using assms(3)
    2 by simp
    qed
    show False using 3 4 by auto
  qed

lemma nth-least-Suc[simp]:
  assumes enat n < esize A
  shows nth-least (Suc ' A) n = Suc (nth-least A n)
  using assms
  proof (induct n arbitrary: A)
    case (0)
    have 1: A ≠ {} using 0 by auto
    show ?case using 1 by simp
  next
    case (Suc n)
    have 1: enat n < esize (A - {least A})
    proof -
      have 2: A ≠ {} using Suc(2) by auto
      have 3: least A ∈ A using LeastI 2 by fast
      have 4: A = insert (least A) A using 3 by auto
      have eSuc (enat n) = enat (Suc n) by simp
    qed
  qed

```

```

    also have ... < esize A using Suc(2) by this
    also have ... = esize (insert (least A) A) using 4 by simp
    also have ... = eSuc (esize (A - {least A})) using 3 2 by simp
    finally show ?thesis using Extended-Nat.eSuc-mono by metis
qed
have nth-least (Suc ' A) (Suc n) = nth-least (Suc ' A - {least (Suc ' A)}) n
by simp
also have ... = nth-least (Suc ' (A - {least A})) n by simp
also have ... = Suc (nth-least (A - {least A}) n) using Suc(1) 1 by this
also have ... = Suc (nth-least A (Suc n)) by simp
finally show ?case by this
qed

```

```

lemma nth-least-lift[simp]:
  nth-least (lift A) 0 = 0
  enat n < esize A  $\implies$  nth-least (lift A) (Suc n) = Suc (nth-least A n)
  unfolding lift-def by simp+

```

```

lemma nth-least-list-card[simp]:
  assumes enat n  $\leq$  esize A
  shows card {k  $\in$  A. k < nth-least (lift A) n} = n
  using less-Suc-eq-le assms by (cases n, auto simp del: nth-least.simps)

```

end

## 14 Coinductive Lists

```

theory Coinductive-List-Extensions
imports

```

```

  Coinductive.Coinductive-List
  Coinductive.Coinductive-List-Prefix
  Coinductive.Coinductive-Stream
  ../Extensions/List-Extensions
  ../Extensions/ESet-Extensions

```

```

begin

```

```

hide-const (open) Sublist.prefix
hide-const (open) Sublist.suffix

```

```

declare list-of-lappend[simp]
declare lnth-lappend1 [simp]
declare lnth-lappend2 [simp]
declare lprefix-llength-le[dest]
declare Sup-llist-def[simp]
declare length-list-of[simp]
declare llast-linfinite[simp]
declare lnth-ltake[simp]
declare lappend-assoc[simp]
declare lprefix-lappend[simp]

```

**lemma** *lprefix-lSup-revert*:  $lSup = Sup$  *lprefix* = *less-eq* **by** *auto*  
**lemma** *admissible-lprefixI*[*cont-intro*]:  
**assumes** *mcont lub ord lSup lprefix f*  
**assumes** *mcont lub ord lSup lprefix g*  
**shows** *ccpo.admissible lub ord* ( $\lambda x. lprefix (f x) (g x)$ )  
**using** *ccpo-class.admissible-leI assms* **unfolding** *lprefix-lSup-revert* **by** *this*  
**lemma** *lList-lift-admissible*:  
**assumes** *ccpo.admissible lSup lprefix P*  
**assumes**  $\bigwedge u. u \leq v \implies lfinite\ u \implies P\ u$   
**shows**  $P\ v$   
**using** *assms* **by** (*metis LNil-lprefix le-lList-conv-lprefix lfinite.simps lList-gen-induct*)

**abbreviation** *lInfinite*  $w \equiv \neg lfinite\ w$

**notation** *LNil* ( $\langle \rangle$ )  
**notation** *LCons* (**infixr**  $\%$  65)  
**notation** *lzip* (**infixr**  $\|$  51)  
**notation** *lappend* (**infixr**  $\$$  65)  
**notation** *lnth* (**infixl**  $!$  100)

**syntax** *-lList* :: *args*  $\Rightarrow$  'a *lList* ( $\langle - \rangle$ )  
**translations**  
 $\langle a, x \rangle \Leftrightarrow a\ \% \langle x \rangle$   
 $\langle a \rangle \Leftrightarrow a\ \% \langle \rangle$

**lemma** *eq-LNil-conv-lnull*[*simp*]:  $w = \langle \rangle \longleftrightarrow lnull\ w$  **by** *auto*  
**lemma** *Collect-lnull*[*simp*]:  $\{w. lnull\ w\} = \{\langle \rangle\}$  **by** *auto*

**lemma** *inj-on-ltake*: *inj-on* ( $\lambda k. ltake\ k\ w$ )  $\{.. llength\ w\}$   
**by** (*rule inj-onI, auto, metis llength-ltake min-def*)

**lemma** *lnth-inf-lList'*[*simp*]:  $lnth (inf-lList\ f) = f$  **by** *auto*

**lemma** *not-lnull-lappend-startE*[*elim*]:  
**assumes**  $\neg lnull\ w$   
**obtains**  $a\ v$   
**where**  $w = \langle a \rangle \$ v$   
**using** *not-lnull-conv assms* **by** (*simp, metis*)  
**lemma** *not-lnull-lappend-endE*[*elim*]:  
**assumes**  $\neg lnull\ w$   
**obtains**  $a\ v$   
**where**  $w = v \$ \langle a \rangle$   
**proof** (*cases lfinite w*)  
**case** *False*  
**show** *?thesis*  
**proof**  
**show**  $w = w \$ \langle a \rangle$  **using** *lappend-inf False* **by** *force*  
**qed**

```

next
  case True
  show ?thesis
  using True assms that
  proof (induct arbitrary: thesis)
    case (lfinite-LNil)
    show ?case using lfinite-LNil by auto
  next
    case (lfinite-LConsI w a)
    show ?case
    proof (cases lnull w)
      case False
      obtain b v where 1: w = v $ <b> using lfinite-LConsI(2) False by this
      show ?thesis
      proof (rule lfinite-LConsI(4))
        show a % w = (a % v) $ <b> unfolding 1 by simp
      qed
    next
      case True
      show ?thesis
      proof (rule lfinite-LConsI(4))
        show a % w = <> $ <a> using True by simp
      qed
    qed
  qed
qed

```

```

lemma llength-lappend-startE[elim]:
  assumes llength w ≥ eSuc n
  obtains a v
  where w = <a> $ v llength v ≥ n
proof -
  have 1: ¬ lnull w using assms by auto
  show ?thesis using assms 1 that by auto
qed

```

```

lemma llength-lappend-endE[elim]:
  assumes llength w ≥ eSuc n
  obtains a v
  where w = v $ <a> llength v ≥ n
proof -
  have 1: ¬ lnull w using assms by auto
  show ?thesis using assms 1 that by auto
qed

```

```

lemma llength-lappend-start'E[elim]:
  assumes llength w = enat (Suc n)
  obtains a v
  where w = <a> $ v llength v = enat n
proof -

```



**have** 1:  $\text{length } w \geq \text{eSuc } (\text{enat } n)$  **using** *assms* **by** *simp*  
**obtain**  $a \ v$  **where** 2:  $w = \langle a \rangle \$ v$  **using** 1 **by** *blast*  
**show** *?thesis*  
**proof**  
    **show**  $w = \langle a \rangle \$ v$  **using** 2(1) **by** *this*  
    **show**  $\text{length } v = \text{enat } n$  **using** *assms* **unfolding** 2(1) **by** (*simp*, *metis*  
*eSuc-enat eSuc-inject*)  
**qed**  
**qed**  
**lemma** *llength-lappend-end'E[elim]*:  
    **assumes**  $\text{length } w = \text{enat } (\text{Suc } n)$   
    **obtains**  $a \ v$   
    **where**  $w = v \$ \langle a \rangle$   $\text{length } v = \text{enat } n$   
**proof** –  
    **have** 1:  $\text{length } w \geq \text{eSuc } (\text{enat } n)$  **using** *assms* **by** *simp*  
    **obtain**  $a \ v$  **where** 2:  $w = v \$ \langle a \rangle$  **using** 1 **by** *blast*  
    **show** *?thesis*  
    **proof**  
        **show**  $w = v \$ \langle a \rangle$  **using** 2(1) **by** *this*  
        **show**  $\text{length } v = \text{enat } n$  **using** *assms* **unfolding** 2(1) **by** (*simp*, *metis*  
*eSuc-enat eSuc-inject*)  
    **qed**  
**qed**

**lemma** *ltake-llast[simp]*:  
    **assumes**  $\text{enat } k < \text{length } w$   
    **shows**  $\text{llast } (\text{ltake } (\text{enat } (\text{Suc } k)) \ w) = w \ ?! \ k$   
**proof** –  
    **have** 1:  $\text{length } (\text{ltake } (\text{enat } (\text{Suc } k)) \ w) = \text{eSuc } (\text{enat } k)$  **using** *min.absorb-iff1*  
*assms* **by** *auto*  
    **have**  $\text{llast } (\text{ltake } (\text{enat } (\text{Suc } k)) \ w) = \text{ltake } (\text{enat } (\text{Suc } k)) \ w \ ?! \ k$   
        **using** *llast-conv-lnth 1* **by** *this*  
    **also have**  $\dots = w \ ?! \ k$  **by** (*rule lnth-ltake*, *simp*)  
    **finally show** *?thesis* **by** *this*  
**qed**

**lemma** *linfinite-llength[dest, simp]*:  
    **assumes** *linfinite*  $w$   
    **shows**  $\text{enat } k < \text{length } w$   
    **using** *assms not-lfinite-llength* **by** *force*

**lemma** *llist-nth-eqI[intro]*:  
    **assumes**  $\text{length } u = \text{length } v$   
    **assumes**  $\bigwedge i. \text{enat } i < \text{length } u \implies \text{enat } i < \text{length } v \implies u \ ?! \ i = v \ ?! \ i$   
    **shows**  $u = v$   
**using** *assms*  
**proof** (*coinduction arbitrary: u v*)  
    **case** *Eq-llist*  
    **have** 10:  $\text{length } u = \text{length } v$  **using** *Eq-llist* **by** *auto*

```

have 11:  $\bigwedge i. \text{enat } i < \text{llength } u \implies \text{enat } i < \text{llength } v \implies u \text{ ?! } i = v \text{ ?! } i$ 
  using Eq-llist by auto
show ?case
proof (intro conjI impI exI allI)
  show  $\text{lnull } u \longleftrightarrow \text{lnull } v$  using 10 by auto
next
  assume 20:  $\neg \text{lnull } u \neg \text{lnull } v$ 
  show  $\text{lhd } u = \text{lhd } v$  using lhd-conv-lnth enat-0 11 20 by force
next
  show  $\text{ltl } u = \text{ltl } u$  by rule
next
  show  $\text{ltl } v = \text{ltl } v$  by rule
next
  assume 30:  $\neg \text{lnull } u \neg \text{lnull } v$ 
  show  $\text{llength } (\text{ltl } u) = \text{llength } (\text{ltl } v)$  using 10 30 by force
next
  fix i
  assume 40:  $\neg \text{lnull } u \neg \text{lnull } v \text{enat } i < \text{llength } (\text{ltl } u) \text{enat } i < \text{llength } (\text{ltl } v)$ 
  have 41:  $u \text{ ?! } \text{Suc } i = v \text{ ?! } \text{Suc } i$ 
  proof (rule 11)
    show  $\text{enat } (\text{Suc } i) < \text{llength } u$  using Suc-ile-eq 40(1) 40(3) by auto
    show  $\text{enat } (\text{Suc } i) < \text{llength } v$  using Suc-ile-eq 40(2) 40(4) by auto
  qed
  show  $\text{ltl } u \text{ ?! } i = \text{ltl } v \text{ ?! } i$  using lnth-ltl 40(1-2) 41 by metis
qed
qed

primcorec lscan :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a llist  $\Rightarrow$  'b  $\Rightarrow$  'b llist
  where lscan f w a = (case w of <>  $\Rightarrow$  <a> | x % xs  $\Rightarrow$  a % lscan f xs (f x a))

lemma lscan-simps[simp]:
  lscan f <> a = <a>
  lscan f (x % xs) a = a % lscan f xs (f x a)
  by (metis lscan.listsimps(4) lscan.code, metis llist.simps(5) lscan.code)

lemma lscan-lfinite[iff]: lfinite (lscan f w a)  $\longleftrightarrow$  lfinite w
proof
  assume lfinite (lscan f w a)
  thus lfinite w
proof (induct lscan f w a arbitrary: w a rule: lfinite-induct)
  case LNil
  show ?case using LNil by simp
next
  case LCons
  show ?case by (cases w, simp, simp add: LCons(3))
qed
next
  assume lfinite w
  thus lfinite (lscan f w a) by (induct arbitrary: a, auto)

```

```

qed
lemma lscan-llength[simp]: llength (lscan f w a) = eSuc (llength w)
proof (cases lfinite w)
  case False
  have 1: llength (lscan f w a) = ∞ using not-lfinite-llength False by auto
  have 2: llength w = ∞ using not-lfinite-llength False by auto
  show ?thesis using 1 2 by simp
next
  case True
  show ?thesis using True by (induct arbitrary: a, auto)
qed

function lfold :: ('a ⇒ 'b ⇒ 'b) ⇒ 'a llist ⇒ 'b ⇒ 'b
  where lfinite w ⇒ lfold f w = fold f (list-of w) | linfinite w ⇒ lfold f w = id
  by (auto, metis) termination by lexicographic-order

lemma lfold-llist-of[simp]: lfold f (llist-of xs) = fold f xs by simp

lemma finite-UNIV-llength-eq:
  assumes finite (UNIV :: 'a set)
  shows finite {w :: 'a llist. llength w = enat n}
proof (induct n)
  case (0)
  show ?case by simp
next
  case (Suc n)
  have 1: finite ({v. llength v = enat n} × UNIV :: ('a llist × 'a) set)
    using Suc assms by simp
  have 2: finite ((λ (v, a). v $ <a> :: 'a llist) ` ({v. llength v = enat n} ×
    UNIV))
    using 1 by auto
  have 3: finite {v $ <a> :: 'a llist | v a. llength v = enat n}
  proof -
    have 0: {v $ <a> :: 'a llist | v a. llength v = enat n} =
      (λ (v, a). v $ <a> :: 'a llist) ` ({v. llength v = enat n} × UNIV) by auto
    show ?thesis using 2 unfolding 0 by this
  qed
  have 4: finite {w :: 'a llist . llength w = enat (Suc n)}
  proof -
    have 0: {w :: 'a llist . llength w = enat (Suc n)} =
      {v $ <a> :: 'a llist | v a. llength v = enat n} by force
    show ?thesis using 3 unfolding 0 by this
  qed
  show ?case using 4 by this
qed
lemma finite-UNIV-llength-le:
  assumes finite (UNIV :: 'a set)
  shows finite {w :: 'a llist. llength w ≤ enat n}
proof -

```

**have** 1:  $\{w. \text{length } w \leq \text{enat } n\} = (\bigcup k \leq n. \{w. \text{length } w = \text{enat } k\})$   
**by** (auto, metis atMost-iff enat-ile enat-ord-simps(1))  
**show** ?thesis **unfolding** 1 **using** finite-UNIV-length-eq assms **by** auto  
**qed**

**lemma** lprefix-ltake[dest]:  $u \leq v \implies u = \text{ltake } (\text{length } u) v$   
**by** (metis le-llist-conv-lprefix lprefix-conv-lappend ltake-all ltake-lappend1 order-refl)

**lemma** prefixes-set:  $\{v. v \leq w\} = \{\text{ltake } k w \mid k. k \leq \text{length } w\}$  **by** fastforce

**lemma** esize-prefixes[simp]:  $\text{esize } \{v. v \leq w\} = \text{eSuc } (\text{length } w)$

**proof** –

**have**  $\text{esize } \{v. v \leq w\} = \text{esize } \{\text{ltake } k w \mid k. k \leq \text{length } w\}$  **unfolding** prefixes-set **by** rule

**also have**  $\dots = \text{esize } ((\lambda k. \text{ltake } k w) \text{ ` } \{.. \text{length } w\})$

**unfolding** atMost-def image-Collect **by** rule

**also have**  $\dots = \text{esize } \{.. \text{length } w\}$  **using** inj-on-ltake esize-image **by** blast

**also have**  $\dots = \text{eSuc } (\text{length } w)$  **by** simp

**finally show** ?thesis **by** this

**qed**

**lemma** prefix-subsume:  $v \leq w \implies u \leq w \implies \text{length } v \leq \text{length } u \implies v \leq u$

**by** (metis le-llist-conv-lprefix lprefix-conv-lappend

lprefix-ltake ltake-is-lprefix ltake-lappend1)

**lemma** ltake-infinite[simp]:  $\text{ltake } \infty w = w$  **by** (metis enat-ord-code(3) ltake-all)

**lemma** lprefix-infinite:

**assumes**  $u \leq v$  linfinite  $u$

**shows**  $u = v$

**proof** –

**have** 1:  $\text{length } u = \infty$  **using** not-lfinite-length assms(2) **by** this

**have**  $u = \text{ltake } (\text{length } u) v$  **using** lprefix-ltake assms(1) **by** this

**also have**  $\dots = v$  **using** 1 **by** simp

**finally show** ?thesis **by** this

**qed**

**instantiation** llist :: (type) esize-order

**begin**

**definition** [simp]:  $\text{esize} \equiv \text{length}$

**instance**

**proof**

**fix**  $w :: 'a \text{ llist}$

**assume** 1:  $\text{esize } w \neq \infty$

**show** finite  $\{v. v \leq w\}$

**using** esize-prefixes 1 **by** (metis eSuc-eq-infinity-iff esize-set.simps(2) esize-llist-def)

**next**

**fix**  $u v :: 'a \text{ llist}$

```

    assume 1:  $u \leq v$ 
    show  $esize\ u \leq esize\ v$  using lprefix-llength-le 1 by auto
next
fix  $u\ v :: 'a\ llist$ 
assume 1:  $u < v$ 
show  $esize\ u < esize\ v$  using lstrict-prefix-llength-less 1 by auto
qed

end

```

## 14.1 Index Sets

**definition** *liset* ::  $'a\ set \Rightarrow 'a\ llist \Rightarrow nat\ set$   
**where** *liset*  $A\ w \equiv \{i.\ enat\ i < llength\ w \wedge w\ ?!\ i \in A\}$

**lemma** *lisetI[intro]*:  
**assumes**  $enat\ i < llength\ w\ w\ ?!\ i \in A$   
**shows**  $i \in liset\ A\ w$   
**using** *assms unfolding liset-def* by auto

**lemma** *lisetD[dest]*:  
**assumes**  $i \in liset\ A\ w$   
**shows**  $enat\ i < llength\ w\ w\ ?!\ i \in A$   
**using** *assms unfolding liset-def* by auto

**lemma** *liset-finite*:  
**assumes** *lfinite*  $w$   
**shows** *finite* (*liset*  $A\ w$ )

**proof**  
**show**  $liset\ A\ w \subseteq \{i.\ enat\ i < llength\ w\}$  by auto  
**show** *finite*  $\{i.\ enat\ i < llength\ w\}$  using *lfinite-finite-index assms* by this  
**qed**

**lemma** *liset-nil[simp]*:  $liset\ A\ <> = \{\}$  by auto

**lemma** *liset-cons-not-member[simp]*:  
**assumes**  $a \notin A$   
**shows**  $liset\ A\ (a \% w) = Suc\ ' liset\ A\ w$

**proof** –  
**have**  $liset\ A\ (a \% w) = \{i.\ enat\ i < llength\ (a \% w) \wedge (a \% w)\ ?!\ i \in A\}$  by  
*auto*  
**also have**  $\dots = Suc\ ' \{i.\ enat\ (Suc\ i) < llength\ (a \% w) \wedge (a \% w)\ ?!\ Suc\ i \in A\}$

**using** *Collect-split-Suc(1) assms* by *simp*  
**also have**  $\dots = Suc\ ' \{i.\ enat\ i < llength\ w \wedge w\ ?!\ i \in A\}$  using *Suc-ile-eq*  
by *simp*  
**also have**  $\dots = Suc\ ' liset\ A\ w$  by auto  
**finally show** *?thesis* by this

**qed**  
**lemma** *liset-cons-member[simp]*:  
**assumes**  $a \in A$

**shows**  $\text{liset } A (a \% w) = \{0\} \cup \text{Suc } \text{' } \text{liset } A w$   
**proof** –  
**have**  $\text{liset } A (a \% w) = \{i. \text{enat } i < \text{llength } (a \% w) \wedge (a \% w) \text{ ?! } i \in A\}$  **by**  
*auto*  
**also have**  $\dots = \{0\} \cup \text{Suc } \text{' } \{i. \text{enat } (\text{Suc } i) < \text{llength } (a \% w) \wedge (a \% w) \text{ ?! } \text{Suc } i \in A\}$   
**using** *Collect-split-Suc(2) assms* **by** *simp*  
**also have**  $\dots = \{0\} \cup \text{Suc } \text{' } \{i. \text{enat } i < \text{llength } w \wedge w \text{ ?! } i \in A\}$  **using**  
*Suc-ile-eq* **by** *simp*  
**also have**  $\dots = \{0\} \cup \text{Suc } \text{' } \text{liset } A w$  **by** *auto*  
**finally show** *?thesis* **by** *this*  
**qed**

**lemma** *liset-prefix*:

**assumes**  $i \in \text{liset } A v \ u \leq v \ \text{enat } i < \text{llength } u$   
**shows**  $i \in \text{liset } A u$   
**unfolding** *liset-def*  
**proof** (*intro CollectI conjI*)  
**have**  $1: v \text{ ?! } i \in A$  **using** *assms(1)* **by** *auto*  
**show**  $\text{enat } i < \text{llength } u$  **using** *assms(3)* **by** *this*  
**show**  $u \text{ ?! } i \in A$  **using** *lprefix-lnthD assms(2, 3) 1* **by** *force*  
**qed**

**lemma** *liset-suffix*:

**assumes**  $i \in \text{liset } A u \ u \leq v$   
**shows**  $i \in \text{liset } A v$   
**unfolding** *liset-def*  
**proof** (*intro CollectI conjI*)  
**have**  $1: \text{enat } i < \text{llength } u \ u \text{ ?! } i \in A$  **using** *assms(1)* **by** *auto*  
**show**  $\text{enat } i < \text{llength } v$  **using** *lprefix-llength-le 1(1) assms(2)* **by** *fastforce*  
**show**  $v \text{ ?! } i \in A$  **using** *lprefix-lnthD assms(2) 1* **by** *force*  
**qed**

**lemma** *liset-ltake[simp]*:  $\text{liset } A (\text{ltake } (\text{enat } k) w) = \text{liset } A w \cap \{.. < k\}$

**proof** (*intro equalityI subsetI*)

**fix**  $i$   
**assume**  $1: i \in \text{liset } A (\text{ltake } (\text{enat } k) w)$   
**have**  $2: \text{enat } i < \text{enat } k$  **using**  $1$  **by** *auto*  
**have**  $3: \text{ltake } (\text{enat } k) w \text{ ?! } i = w \text{ ?! } i$  **using** *lnth-ltake 2* **by** *this*  
**show**  $i \in \text{liset } A w \cap \{.. < k\}$  **using**  $1\ 3$  **by** *fastforce*

**next**

**fix**  $i$   
**assume**  $1: i \in \text{liset } A w \cap \{.. < k\}$   
**have**  $2: \text{enat } i < \text{enat } k$  **using**  $1$  **by** *auto*  
**have**  $3: \text{ltake } (\text{enat } k) w \text{ ?! } i = w \text{ ?! } i$  **using** *lnth-ltake 2* **by** *this*  
**show**  $i \in \text{liset } A (\text{ltake } (\text{enat } k) w)$  **using**  $1\ 3$  **by** *fastforce*

**qed**

**lemma** *liset-mono[dest]*:  $u \leq v \implies \text{liset } A u \subseteq \text{liset } A v$

**unfolding** *liset-def* **using** *lprefix-lnthD* **by** *fastforce*

```

lemma lisset-cont[dest]:
  assumes Complete-Partial-Order.chain less-eq C C ≠ {}
  shows lisset A (⊔ C) = (⋃ w ∈ C. lisset A w)
proof safe
  fix i
  assume 1: i ∈ lisset A (⊔ C)
  show i ∈ (⋃ w ∈ C. lisset A w)
  proof (cases finite C)
    case False
    obtain w where 2: w ∈ C enat i < llength w
    using esize-llist-def infinite-chain-arbitrary-esize assms(1) False Suc-ile-eq
by metis
  have 3: w ≤ ⊔ C using chain-lprefix-lSup assms(1) 2(1) by simp
  have 4: i ∈ lisset A w using lisset-prefix 1 3 2(2) by this
  show ?thesis using 2(1) 4 by auto
next
  case True
  have 2: ⊔ C ∈ C using in-chain-finite assms(1) True assms(2) by this
  show ?thesis using 1 2 by auto
qed
next
  fix w i
  assume 1: w ∈ C i ∈ lisset A w
  have 2: w ≤ ⊔ C using chain-lprefix-lSup assms(1) 1(1) by simp
  show i ∈ lisset A (⊔ C) using lisset-suffix 1(2) 2 by this
qed

lemma lisset-mcont: Complete-Partial-Order2.mcont lSup lprefix Sup less-eq
(lisset A)
  unfolding lprefix-lSup-revert by (blast intro: mcontI monotoneI contI)

lemmas mcont2mcont-lisset = lisset-mcont[THEN lfp.mcont2mcont, simp, cont-intro]

```

## 14.2 Selections

**abbreviation** *lproject A ≡ lfilter (λ a. a ∈ A)*  
**abbreviation** *lselect s w ≡ lnths w s*

**lemma** *lselect-to-lproject: lselect s w = lmap fst (lproject (UNIV × s) (w || iterates Suc 0))*

```

proof –
  have 1: {(x, y). y ∈ s} = UNIV × s by auto
  have lselect s w = lmap fst (lproject {(x, y). y ∈ s} (w || iterates Suc 0))
    unfolding lnths-def by simp
  also have ... = lmap fst (lproject (UNIV × s) (w || iterates Suc 0)) unfolding
1 by rule
  finally show ?thesis by this
qed
lemma lproject-to-lselect: lproject A w = lselect (lisset A w) w

```

**unfolding** *lfilter-conv-lnth*s *lset-def* **by** *rule*

**lemma** *lproject-llength[simp]*:  $llength (lproject A w) = esize (lset A w)$   
**by** (*induct rule: llist-induct*) (*auto*)

**lemma** *lproject-lfinite[simp]*:  $lfinite (lproject A w) \longleftrightarrow finite (lset A w)$   
**using** *lproject-llength esize-iff-infinite llength-eq-infty-conv-lfinite* **by** *metis*

**lemma** *lselect-restrict-indices[simp]*:  $lselect \{i \in s. enat i < llength w\} w = lselect s w$

**proof** (*rule lnths-cong*)  
**show**  $w = w$  **by** *rule*

**next**

**fix**  $n$

**assume**  $1: enat n < llength w$

**show**  $n \in \{i \in s. enat i < llength w\} \longleftrightarrow n \in s$  **using**  $1$  **by** *blast*

**qed**

**lemma** *lselect-llength*:  $llength (lselect s w) = esize \{i \in s. enat i < llength w\}$

**proof** –

**have**  $1: \bigwedge i. enat i < llength w \implies (w \parallel iterates Suc 0) \text{ ?! } i = (w \text{ ?! } i, i)$

**by** (*metis Suc-funpow enat.distinct(1) enat-ord-simps(4) llength-iterates*

*lnth-iterates*

*lnth-lzip monoid-add-class.add.right-neutral*)

**have**  $2: \{i. enat i < llength w \wedge (w \parallel iterates Suc 0) \text{ ?! } i \in UNIV \times s\} = \{i \in s. enat i < llength w\}$  **using**  $1$  **by** *auto*

**have**  $llength (lselect s w) = esize (lset (UNIV \times s) (w \parallel iterates Suc 0))$

**unfolding** *lselect-to-lproject* **by** *simp*

**also have**  $\dots = esize \{i. enat i < llength w \wedge (w \parallel iterates Suc 0) \text{ ?! } i \in UNIV \times s\}$

**unfolding** *lset-def* **by** *simp*

**also have**  $\dots = esize \{i \in s. enat i < llength w\}$  **unfolding**  $2$  **by** *rule*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *lselect-llength-le[simp]*:  $llength (lselect s w) \leq esize s$

**proof** –

**have**  $llength (lselect s w) = esize \{i \in s. enat i < llength w\}$

**unfolding** *lselect-llength* **by** *rule*

**also have**  $\dots = esize (s \cap \{i. enat i < llength w\})$  **unfolding** *Collect-conj-eq*  
**by** *simp*

**also have**  $\dots \leq esize s$  **by** *blast*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *least-lselect-llength*:

**assumes**  $\neg lnull (lselect s w)$

**shows**  $enat (least s) < llength w$

**proof** –

**have**  $0: llength (lselect s w) > 0$  **using** *assms* **by** *auto*

**have**  $1: \bigwedge i. i \in s \implies least s \leq i$  **using** *Least-le 0* **by** *fast*

**obtain**  $i$  **where**  $2: i \in s \text{ enat } i < llength w$  **using**  $0$  **unfolding** *lselect-llength*



**by** *auto*  
**have**  $enat\ (least\ s) \leq enat\ i$  **using** 1 2(1) **by** *auto*  
**also have**  $\dots < llength\ w$  **using** 2(2) **by** *this*  
**finally show**  $enat\ (least\ s) < llength\ w$  **by** *this*  
**qed**  
**lemma** *lselect-lnull*:  $lnull\ (lselect\ s\ w) \longleftrightarrow (\forall\ i \in s.\ enat\ i \geq llength\ w)$   
**unfolding** *llength-eq-0[symmetric]* *lselect-llength* **by** *auto*

**lemma** *lselect-discard-start*:  
**assumes**  $\bigwedge i.\ i \in s \implies k \leq i$   
**shows**  $lselect\ \{i.\ k + i \in s\}\ (ldropn\ k\ w) = lselect\ s\ w$   
**proof** –  
**have** 1:  $lselect\ s\ (ltake\ (enat\ k)\ w) = \langle \rangle$   
**using** *assms* **by** (*fastforce simp add: lselect-lnull min-le-iff-disj*)  
**have**  $lselect\ \{m.\ k + m \in s\}\ (ldropn\ k\ w) =$   
 $lselect\ s\ (ltake\ (enat\ k)\ w)\ \$\ lselect\ \{m.\ k + m \in s\}\ (ldropn\ k\ w)$  **unfolding**  
1 **by** *simp*  
**also have**  $\dots = lselect\ s\ w$  **using** *lnths-split* **by** *rule*  
**finally show** *?thesis* **by** *this*  
**qed**  
**lemma** *lselect-discard-end*:  
**assumes**  $\bigwedge i.\ i \in s \implies i < k$   
**shows**  $lselect\ s\ (ltake\ (enat\ k)\ w) = lselect\ s\ w$   
**proof** –  
**have** 1:  $lselect\ \{m.\ k + m \in s\}\ (ldropn\ k\ w) = \langle \rangle$   
**using** *assms* **by** (*fastforce simp add: lselect-lnull min-le-iff-disj*)  
**have**  $lselect\ s\ (ltake\ (enat\ k)\ w) =$   
 $lselect\ s\ (ltake\ (enat\ k)\ w)\ \$\ lselect\ \{m.\ k + m \in s\}\ (ldropn\ k\ w)$  **unfolding**  
1 **by** *simp*  
**also have**  $\dots = lselect\ s\ w$  **using** *lnths-split* **by** *rule*  
**finally show** *?thesis* **by** *this*  
**qed**

**lemma** *lselect-least*:  
**assumes**  $\neg\ lnull\ (lselect\ s\ w)$   
**shows**  $lselect\ s\ w = w\ \text{?!}\ least\ s\ \% lselect\ (s - \{least\ s\})\ w$   
**proof** –  
**have** 0:  $s \neq \{\}$  **using** *assms* **by** *auto*  
**have** 1:  $least\ s \in s$  **using** *LeastI 0* **by** *fast*  
**have** 2:  $\bigwedge i.\ i \in s \implies least\ s \leq i$  **using** *Least-le 0* **by** *fast*  
**have** 3:  $\bigwedge i.\ i \in s - \{least\ s\} \implies Suc\ (least\ s) \leq i$  **using** *least-unique 2* **by**  
*force*  
**have** 4:  $insert\ (least\ s)\ (s - \{least\ s\}) = s$  **using** 1 **by** *auto*  
**have** 5:  $enat\ (least\ s) < llength\ w$  **using** *least-lselect-llength assms* **by** *this*  
**have** 6:  $lselect\ (s - \{least\ s\})\ (ltake\ (enat\ (least\ s))\ w) = \langle \rangle$   
**by** (*rule, auto simp: lselect-llength dest: least-not-less*)  
**have** 7:  $lselect\ \{i.\ Suc\ (least\ s) + i \in s - \{least\ s\}\}\ (ldropn\ (Suc\ (least\ s))\ w) =$   
 $lselect\ (s - \{least\ s\})\ w$  **using** *lselect-discard-start 3* **by** *this*

**have**  $lselect\ s\ w = lselect\ (insert\ (least\ s)\ (s - \{least\ s\}))\ w$  **unfolding 4 by simp**  
**also have**  $\dots = lselect\ (s - \{least\ s\})\ (ltake\ (enat\ (least\ s))\ w)\ \$\ <w\ \?!\ least\ s>\ \$$   
 $lselect\ \{m.\ Suc\ (least\ s) + m \in s - \{least\ s\}\}\ (ldropn\ (Suc\ (least\ s))\ w)$   
**unfolding lnth-insert[OF 5] by simp**  
**also have**  $\dots = <w\ \?!\ least\ s>\ \$$   
 $lselect\ \{m.\ Suc\ (least\ s) + m \in s - \{least\ s\}\}\ (ldropn\ (Suc\ (least\ s))\ w)$   
**unfolding 6 by simp**  
**also have**  $\dots = w\ \?!\ (least\ s)\ \% lselect\ (s - \{least\ s\})\ w$  **unfolding 7 by simp**  
**finally show ?thesis by this**  
**qed**

**lemma lselect-lnth[simp]:**  
**assumes**  $enat\ i < llength\ (lselect\ s\ w)$   
**shows**  $lselect\ s\ w\ \?!\ i = w\ \?!\ nth\ least\ s\ i$   
**using** *assms*  
**proof** (*induct i arbitrary: s*)  
**case 0**  
**have**  $1: \neg\ lnull\ (lselect\ s\ w)$  **using 0 by auto**  
**show** *?case* **using lselect-least 1 by force**  
**next**  
**case** (*Suc i*)  
**have**  $1: \neg\ lnull\ (lselect\ s\ w)$  **using Suc(2) by auto**  
**have**  $2: lselect\ s\ w = w\ \?!\ least\ s\ \% lselect\ (s - \{least\ s\})\ w$  **using lselect-least 1 by this**  
**have**  $3: llength\ (lselect\ s\ w) = eSuc\ (llength\ (lselect\ (s - \{least\ s\})\ w))$  **using 2 by simp**  
**have**  $4: enat\ i < llength\ (lselect\ (s - \{least\ s\})\ w)$  **using 3 Suc(2) by simp**  
**have**  $lselect\ s\ w\ \?!\ Suc\ i = (w\ \?!\ least\ s\ \% lselect\ (s - \{least\ s\})\ w)\ \?!\ Suc\ i$   
**using 2 by simp**  
**also have**  $\dots = lselect\ (s - \{least\ s\})\ w\ \?!\ i$  **by simp**  
**also have**  $\dots = w\ \?!\ nth\ least\ (s - \{least\ s\})\ i$  **using Suc(1) 4 by simp**  
**also have**  $\dots = w\ \?!\ nth\ least\ s\ (Suc\ i)$  **by simp**  
**finally show ?case by this**

**qed**

**lemma lproject-lnth[simp]:**  
**assumes**  $enat\ i < llength\ (lproject\ A\ w)$   
**shows**  $lproject\ A\ w\ \?!\ i = w\ \?!\ nth\ least\ (lset\ A\ w)\ i$   
**using** *assms* **unfolding lproject-to-lselect by simp**

**lemma lproject-ltake[simp]:**

**assumes**  $enat\ k \leq llength\ (lproject\ A\ w)$   
**shows**  $lproject\ A\ (ltake\ (enat\ (nth\ least\ (lift\ (lset\ A\ w))\ k))\ w) =$   
 $ltake\ (enat\ k)\ (lproject\ A\ w)$

**proof**

**have**  $llength\ (lproject\ A\ (ltake\ (enat\ (nth\ least\ (lift\ (lset\ A\ w))\ k))\ w)) =$   
 $enat\ (card\ (lset\ A\ w \cap \{.. < nth\ least\ (lift\ (lset\ A\ w))\ k\}))$  **by simp**

**also have**  $\dots = \text{enat } (\text{card } \{i \in \text{liset } A \ w. \ i < \text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k\})$   
**unfolding** *lessThan-def Collect-conj-eq* **by** *simp*  
**also have**  $\dots = \text{enat } k$  **using** *assms* **by** *simp*  
**also have**  $\dots = \text{llength } (\text{ltake } (\text{enat } k) (\text{lproject } A \ w))$  **using** *min-absorb1*  
*assms* **by** *force*  
**finally show**  $\text{llength } (\text{lproject } A \ (\text{ltake } (\text{enat } (\text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k)) \ w)) =$   
 $\text{llength } (\text{ltake } (\text{enat } k) (\text{lproject } A \ w))$  **by** *this*  
**next**  
**fix**  $i$   
**assume**  $1: \text{enat } i < \text{llength } (\text{lproject } A \ (\text{ltake } (\text{enat } (\text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k)) \ w))$   
**assume**  $2: \text{enat } i < \text{llength } (\text{ltake } (\text{enat } k) (\text{lproject } A \ w))$   
**obtain**  $k'$  **where**  $3: k = \text{Suc } k'$  **using**  $2$  *nat.exhaust* **by** *auto*  
**have**  $4: \text{enat } k' < \text{llength } (\text{lproject } A \ w)$  **using** *assms*  $3$  **by** *simp*  
**have**  $5: i \leq k'$  **using**  $2 \ 3$  **by** *simp*  
**have**  $6: \text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k = \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')$   
**using**  $3 \ 4$  **by** (*simp del: nth-least.simps*)  
**have**  $7: \text{nth-least } (\text{liset } A \ w) \ i < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')$   
**proof** –  
**have**  $\text{nth-least } (\text{liset } A \ w) \ i \leq \text{nth-least } (\text{liset } A \ w) \ k'$  **using**  $4 \ 5$  **by** *simp*  
**also have**  $\dots < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')$  **by** *simp*  
**finally show** *?thesis* **by** *this*  
**qed**  
**have**  $8: \text{nth-least } (\text{liset } A \ w \cap \{.. < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')\}) \ i =$   
 $\text{nth-least } (\text{liset } A \ w) \ i$   
**proof** (*rule nth-least-eq*)  
**show**  $\text{enat } i < \text{esize } (\text{liset } A \ w \cap \{.. < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')\})$  **using**  
 $1 \ 6$  **by** *simp*  
**have**  $\text{enat } i \leq \text{enat } k'$  **using**  $5$  **by** *simp*  
**also have**  $\text{enat } k' < \text{esize } (\text{liset } A \ w)$  **using**  $4$  **by** *simp*  
**finally show**  $\text{enat } i < \text{esize } (\text{liset } A \ w)$  **by** *this*  
**next**  
**fix**  $j$   
**assume**  $1: j \leq \text{nth-least } (\text{liset } A \ w) \ i$   
**show**  $j \in \text{liset } A \ w \cap \{.. < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')\} \longleftrightarrow j \in \text{liset } A \ w$   
**using**  $1 \ 7$  **by** *simp*  
**qed**  
**have**  $\text{lproject } A \ (\text{ltake } (\text{enat } (\text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k)) \ w) \ ?! \ i =$   
 $\text{ltake } (\text{enat } (\text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k'))) \ w \ ?!$   
 $\text{nth-least } (\text{liset } A \ w \cap \{.. < \text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k')\}) \ i$   
**using**  $1 \ 6$  **by** *simp*  
**also have**  $\dots = \text{ltake } (\text{enat } (\text{Suc } (\text{nth-least } (\text{liset } A \ w) \ k'))) \ w \ ?! \ \text{nth-least}$   
 $(\text{liset } A \ w) \ i$   
**using**  $8$  **by** *simp*  
**also have**  $\dots = w \ ?! \ \text{nth-least } (\text{liset } A \ w) \ i$  **using**  $7$  **by** *simp*  
**also have**  $\dots = \text{lproject } A \ w \ ?! \ i$  **using**  $2$  **by** *simp*  
**also have**  $\dots = \text{ltake } (\text{enat } k) (\text{lproject } A \ w) \ ?! \ i$  **using**  $2$  **by** *simp*  
**finally show**  $\text{lproject } A \ (\text{ltake } (\text{enat } (\text{nth-least } (\text{lift } (\text{liset } A \ w)) \ k)) \ w) \ ?! \ i =$

*l*take (enat *k*) (lproject *A w*) ?! *i* by this  
qed

**lemma** *l*length-less-*l*length-*l*select-less:

enat *i* < esize *s* ∧ enat (nth-least *s i*) < *l*length *w* ↔ enat *i* < *l*length (*l*select *s w*)

using *nth-least-less-esize-less* unfolding *l*select-*l*length by this

**lemma** *l*select-*l*select':

assumes  $\bigwedge i. i \in s \implies \text{enat } i < \text{llength } w$

assumes  $\bigwedge i. i \in t \implies \text{enat } i < \text{llength } (\text{lselect } s w)$

shows *l*select *t* (*l*select *s w*) = *l*select (nth-least *s ' t*) *w*

**proof**

note *l*select-*l*length[*simp*]

have 1:  $\bigwedge i. i \in \text{nth-least } s ' t \implies \text{enat } i < \text{llength } w$  using *assms* by auto

have 2:  $t \subseteq \{i. \text{enat } i < \text{esize } s\}$

using *assms*(2) *l*select-*l*length-le less-le-trans by blast

have 3: inj-on (nth-least *s*) *t* using subset-inj-on nth-least.inj-on 2 by this

have *l*length (*l*select *t* (*l*select *s w*)) = esize *t* using *assms*(2) by *simp*

also have ... = esize (nth-least *s ' t*) using 3 by auto

also have ... = *l*length (*l*select (nth-least *s ' t*) *w*) using 1 by *simp*

finally show *l*length (*l*select *t* (*l*select *s w*)) = *l*length (*l*select (nth-least *s ' t*)

*w*)

by this

**next**

**fix** *i*

assume 1: enat *i* < *l*length (*l*select *t* (*l*select *s w*))

assume 2: enat *i* < *l*length (*l*select (nth-least *s ' t*) *w*)

have 3: enat *i* < esize *t* using less-le-trans 1 *l*select-*l*length-le by this

have 4:  $\bigwedge i. i \in t \implies \text{enat } i < \text{esize } s$

using *assms*(2) *l*select-*l*length-le less-le-trans by blast

have *l*select *t* (*l*select *s w*) ?! *i* = *l*select *s w* ?! nth-least *t i* using 1 by *simp*

also have ... = *w* ?! nth-least *s* (nth-least *t i*) using *assms*(2) 3 by *simp*

also have ... = *w* ?! nth-least (nth-least *s ' t*) *i* using 3 4 by *simp*

also have ... = *l*select (nth-least *s ' t*) *w* ?! *i* using 2 by *simp*

finally show *l*select *t* (*l*select *s w*) ?! *i* = *l*select (nth-least *s ' t*) *w* ?! *i* by this

qed

**lemma** *l*select-*l*select'[*simp*]:

assumes  $\bigwedge i. i \in t \implies \text{enat } i < \text{esize } s$

shows *l*select *t* (*l*select *s w*) = *l*select (nth-least *s ' t*) *w*

**proof** –

have 1: nth-least  $\{i \in s. \text{enat } i < \text{llength } w\} ' \{i \in t. \text{enat } i < \text{llength } (\text{lselect } s w)\} =$

$\{i \in \text{nth-least } s ' t. \text{enat } i < \text{llength } w\}$

unfolding *Compr-image-eq*

**proof** (rule *image-cong*)

show  $\{i \in t. \text{enat } i < \text{llength } (\text{lselect } s w)\} = \{i \in t. \text{enat } (\text{nth-least } s i) < \text{llength } w\}$

```

    using llength-less-llength-lselect-less assms by blast
next
  fix i
  assume 1:  $i \in \{i \in t. \text{enat } (nth\text{-least } s \ i) < \text{llength } w\}$ 
  have 2:  $\text{enat } i < \text{esize } \{i \in s. \text{enat } i < \text{llength } w\}$ 
    using nth-least-less-esize-less assms 1 by blast
  show  $nth\text{-least } \{i \in s. \text{enat } i < \text{llength } w\} \ i = nth\text{-least } s \ i$  using 2 by
simp
  qed
  have lselect t (lselect s w) =
    lselect  $\{i \in t. \text{enat } i < \text{llength } (lselect \ s \ w)\} (lselect \ \{i \in s. \text{enat } i < \text{llength} \ w\} \ w)$ 
  by simp
  also have ... = lselect (nth-least  $\{i \in s. \text{enat } i < \text{llength } w\} \ \{i \in t. \text{enat } i < \text{llength } (lselect \ s \ w)\} \ w$ )
  by (rule lselect-lselect'', auto simp: lselect-llength)
  also have ... = lselect  $\{i \in nth\text{-least } s \ \{i \in t. \text{enat } i < \text{llength } w\} \ w$  unfolding
1 by rule
  also have ... = lselect (nth-least s ' t) w by simp
  finally show ?thesis by this
qed

lemma lselect-lselect:
  lselect t (lselect s w) = lselect (nth-least s '  $\{i \in t. \text{enat } i < \text{esize } s\}$ ) w
proof -
  have lselect t (lselect s w) = lselect  $\{i \in t. \text{enat } i < \text{llength } (lselect \ s \ w)\} (lselect \ s \ w)$ 
  by simp
  also have ... = lselect (nth-least s '  $\{i \in t. \text{enat } i < \text{llength } (lselect \ s \ w)\} \ w$ )
  using lselect-llength-le less-le-trans by (blast intro: lselect-lselect')
  also have ... = lselect (nth-least s '  $\{i \in t. \text{enat } i < \text{esize } s\}$ ) w
  using llength-less-llength-lselect-less by (auto intro!: lnths-cong)
  finally show ?thesis by this
qed

lemma lselect-lproject':
  assumes  $\bigwedge i. i \in s \implies \text{enat } i < \text{llength } w$ 
  shows lproject A (lselect s w) = lselect (s  $\cap$  liset A w) w
proof -
  have 1:  $\bigwedge i. i \in \text{liset } A \ (lselect \ s \ w) \implies \text{enat } i < \text{esize } s$  using less-le-trans
by force
  have 2:  $\{i \in \text{liset } A \ (lselect \ s \ w). \text{enat } i < \text{esize } s\} = \text{liset } A \ (lselect \ s \ w)$ 
  using 1 by auto
  have 3:  $nth\text{-least } s \ \{i \in \text{liset } A \ (lselect \ s \ w)\} = s \cap \text{liset } A \ w$ 
proof safe
  fix k
  assume 4:  $k \in \text{liset } A \ (lselect \ s \ w)$ 
  show  $nth\text{-least } s \ k \in s$  using 1 4 by simp
  show  $nth\text{-least } s \ k \in \text{liset } A \ w$ 

```

using *llength-less-llength-lselect-less 4* **unfolding** *liset-def* **by** *auto*  
**next**  
**fix** *k*  
**assume** *1*:  $k \in s$   $k \in \text{liset } A$  *w*  
**have** *2*:  $\text{nth-least } s$  ( $\text{card } \{i \in s. i < k\}$ ) = *k* **using** *nth-least-card 1(1)* **by**  
*this*  
**have** *3*:  $\text{enat } (\text{card } \{i \in s. i < k\}) < \text{llength } (\text{lselect } s$  *w*)  
**unfolding** *lselect-llength* **using** *assms 1(1)* **by** *simp*  
**show**  $k \in \text{nth-least } s$  ‘ *liset } A* ( $\text{lselect } s$  *w*)  
**proof**  
**show**  $k = \text{nth-least } s$  ( $\text{card } \{i \in s. i < k\}$ ) **using** *2* **by** *simp*  
**show**  $\text{card } \{i \in s. i < k\} \in \text{liset } A$  ( $\text{lselect } s$  *w*) **using** *1(2)* *2 3* **by** *fastforce*  
**qed**  
**qed**  
**have**  $\text{lproject } A$  ( $\text{lselect } s$  *w*) =  $\text{lselect } (\text{liset } A$  ( $\text{lselect } s$  *w*)) ( $\text{lselect } s$  *w*)  
**unfolding** *lproject-to-lselect* **by** *rule*  
**also have**  $\dots = \text{lselect } (\text{nth-least } s$  ‘ *liset } A* ( $\text{lselect } s$  *w*)).  $\text{enat } i < \text{esize}$   
*s})* *w*  
**unfolding** *lselect-lselect* **by** *rule*  
**also have**  $\dots = \text{lselect } (\text{nth-least } s$  ‘ *liset } A* ( $\text{lselect } s$  *w*)) *w* **unfolding** *2* **by**  
*rule*  
**also have**  $\dots = \text{lselect } (s \cap \text{liset } A$  *w*) *w* **unfolding** *3* **by** *rule*  
**finally show** *?thesis* **by** *this*  
**qed**

**lemma** *lselect-lproject[simp]*:  $\text{lproject } A$  ( $\text{lselect } s$  *w*) =  $\text{lselect } (s \cap \text{liset } A$  *w*) *w*  
**proof** –  
**have** *1*:  $\{i \in s. \text{enat } i < \text{llength } w\} \cap \text{liset } A$  *w* =  $s \cap \text{liset } A$  *w* **by** *auto*  
**have**  $\text{lproject } A$  ( $\text{lselect } s$  *w*) =  $\text{lproject } A$  ( $\text{lselect } \{i \in s. \text{enat } i < \text{llength } w\}$   
*w*) **by** *simp*  
**also have**  $\dots = \text{lselect } (\{i \in s. \text{enat } i < \text{llength } w\} \cap \text{liset } A$  *w*) *w*  
**by** (*rule lselect-lproject', simp*)  
**also have**  $\dots = \text{lselect } (s \cap \text{liset } A$  *w*) *w* **unfolding** *1* **by** *rule*  
**finally show** *?thesis* **by** *this*  
**qed**

**lemma** *lproject-lselect-subset[simp]*:  
**assumes**  $\text{liset } A$  *w*  $\subseteq s$   
**shows**  $\text{lproject } A$  ( $\text{lselect } s$  *w*) =  $\text{lproject } A$  *w*  
**proof** –  
**have** *1*:  $s \cap \text{liset } A$  *w* =  $\text{liset } A$  *w* **using** *assms* **by** *auto*  
**have**  $\text{lproject } A$  ( $\text{lselect } s$  *w*) =  $\text{lselect } (s \cap \text{liset } A$  *w*) *w* **by** *simp*  
**also have**  $\dots = \text{lselect } (\text{liset } A$  *w*) *w* **unfolding** *1* **by** *rule*  
**also have**  $\dots = \text{lproject } A$  *w* **unfolding** *lproject-to-lselect* **by** *rule*  
**finally show** *?thesis* **by** *this*  
**qed**

**lemma** *lselect-prefix[intro]*:  
**assumes**  $u \leq v$

```

    shows  $lselect\ s\ u \leq lselect\ s\ v$ 
  proof (cases  $lfinite\ u$ )
    case False
      show ?thesis using  $lprefix\text{-}infinite\ assms\ False$  by auto
    next
      case True
        obtain  $k$  where  $1: llength\ u = enat\ k$  using True length-list-of by metis
        obtain  $w$  where  $2: v = u \$ w$  using  $lprefix\text{-}conv\text{-}lappend\ assms$  by auto
        have  $lselect\ s\ u \leq lselect\ s\ u \$ lselect\ \{n.\ n + k \in s\}\ w$  by simp
        also have  $\dots = lselect\ s\ (u \$ w)$  using  $lnths\text{-}lappend\text{-}lfinite[symmetric]\ 1$  by
  this
        also have  $\dots = lselect\ s\ v$  unfolding  $2$  by rule
        finally show ?thesis by this
  qed
  lemma  $lproject\text{-}prefix[intro]$ :
    assumes  $u \leq v$ 
    shows  $lproject\ A\ u \leq lproject\ A\ v$ 
    using  $lprefix\text{-}lfilterI\ assms$  by auto

  lemma  $lproject\text{-}prefix\text{-}limit[intro?]$ :
    assumes  $\bigwedge v.\ v \leq w \implies lfinite\ v \implies lproject\ A\ v \leq x$ 
    shows  $lproject\ A\ w \leq x$ 
  proof -
    have  $1: cppo.admissible\ lSup\ lprefix\ (\lambda v.\ lproject\ A\ v \leq x)$  by simp
    show ?thesis using  $l\text{-}list\text{-}lift\text{-}admissible\ 1\ assms(1)$  by this
  qed
  lemma  $lproject\text{-}prefix\text{-}limit'$ :
    assumes  $\bigwedge k.\ \exists v.\ v \leq w \wedge enat\ k < llength\ v \wedge lproject\ A\ v \leq x$ 
    shows  $lproject\ A\ w \leq x$ 
  proof (rule  $lproject\text{-}prefix\text{-}limit$ )
    fix  $u$ 
    assume  $1: u \leq w\ lfinite\ u$ 
    obtain  $k$  where  $2: llength\ u = enat\ k$  using  $1(2)$  by (metis length-list-of)
    obtain  $v$  where  $3: v \leq w\ llength\ u < llength\ v\ lproject\ A\ v \leq x$ 
      unfolding  $2$  using  $assms(1)$  by auto
    have  $4: llength\ u \leq llength\ v$  using  $3(2)$  by simp
    have  $5: u \leq v$  using  $prefix\text{-}subsume\ 1(1)\ 3(1)\ 4$  by this
    have  $lproject\ A\ u \leq lproject\ A\ v$  using  $5$  by rule
    also have  $\dots \leq x$  using  $3(3)$  by this
    finally show  $lproject\ A\ u \leq x$  by this
  qed
end

```

## 15 Prefixes on Coinductive Lists

```

theory LList-Prefixes
imports
  Word-Prefixes

```

../Extensions/Coinductive-List-Extensions  
**begin**

**lemma** *unfold-stream-siterate-smap*:  $\text{unfold-stream } f \ g = \text{smap } f \circ \text{siterate } g$   
**by** (*rule*, *coinduction*, *auto*) (*metis* *unfold-stream-eq-SCons*)<sup>+</sup>

**lemma** *lappend-stream-of-llist*:  
**assumes** *lfinite* *u*  
**shows**  $\text{stream-of-llist } (u \ \$ \ v) = \text{list-of } u \ @- \ \text{stream-of-llist } v$   
**using** *assms* **unfolding** *stream-of-llist-def* **by** *induct* *auto*

**lemma** *llist-of-inf-llist-prefix*[*intro*]:  $u \leq_{FI} v \implies \text{llist-of } u \leq \text{llist-of-stream } v$   
**by** (*metis* *lappend-llist-of-stream-conv-shift* *le-llist-conv-lprefix* *lprefix-lappend* *prefix-fininfE*)

**lemma** *prefix-llist-of-inf-llist*[*intro*]:  $\text{lfinite } u \implies u \leq v \implies \text{list-of } u \leq_{FI} \text{stream-of-llist } v$   
**by** (*metis* *lappend-stream-of-llist* *le-llist-conv-lprefix* *lprefix-conv-lappend* *prefix-fininfI*)

**lemma** *lproject-prefix-limit-chain*:  
**assumes**  $\text{chain } w \ \wedge \ k. \ \text{lproject } A \ (\text{llist-of } (w \ k)) \leq x$   
**shows**  $\text{lproject } A \ (\text{llist-of-stream } (\text{limit } w)) \leq x$   
**proof** (*rule* *lproject-prefix-limit'*)  
**fix** *k*  
**obtain** *l* **where**  $1: k < \text{length } (w \ l)$  **using** *assms*(1) **by** *rule*  
**show**  $\exists v \leq \text{llist-of-stream } (\text{limit } w). \ \text{enat } k < \text{llength } v \ \wedge \ \text{lproject } A \ v \leq x$   
**proof** (*intro* *exI* *conjI*)  
**show**  $\text{llist-of } (w \ l) \leq \text{llist-of-stream } (\text{limit } w)$   
**using** *llist-of-inf-llist-prefix* *chain-prefix-limit* *assms*(1) **by** *this*  
**show**  $\text{enat } k < \text{llength } (\text{llist-of } (w \ l))$  **using** 1 **by** *simp*  
**show**  $\text{lproject } A \ (\text{llist-of } (w \ l)) \leq x$  **using** *assms*(2) **by** *this*  
**qed**

**qed**

**lemma** *lproject-eq-limit-chain*:  
**assumes**  $\text{chain } u \ \text{chain } v \ \wedge \ k. \ \text{project } A \ (u \ k) = \text{project } A \ (v \ k)$   
**shows**  $\text{lproject } A \ (\text{llist-of-stream } (\text{limit } u)) = \text{lproject } A \ (\text{llist-of-stream } (\text{limit } v))$   
**proof** (*rule* *antisym*)  
**show**  $\text{lproject } A \ (\text{llist-of-stream } (\text{limit } u)) \leq \text{lproject } A \ (\text{llist-of-stream } (\text{limit } v))$   
**proof** (*rule* *lproject-prefix-limit-chain*)  
**show**  $\text{chain } u$  **using** *assms*(1) **by** *this*  
**next**  
**fix** *k*  
**have**  $\text{lproject } A \ (\text{llist-of } (u \ k)) = \text{lproject } A \ (\text{llist-of } (v \ k))$  **using** *assms*(3)  
**by** *simp*  
**also** **have**  $\dots \leq \text{lproject } A \ (\text{llist-of-stream } (\text{limit } v))$  **using** *chain-prefix-limit* *assms*(2) **by** *blast*  
**finally** **show**  $\text{lproject } A \ (\text{llist-of } (u \ k)) \leq \text{lproject } A \ (\text{llist-of-stream } (\text{limit } v))$



```

by this
qed
show  $lproject\ A\ (lstream\ (limit\ v)) \leq lproject\ A\ (lstream\ (limit\ u))$ 
proof (rule lproject-prefix-limit-chain)
show chain v using assms(2) by this
next
fix k
have  $lproject\ A\ (lstream\ (v\ k)) = lproject\ A\ (lstream\ (u\ k))$  using assms(3)
by simp
also have  $\dots \leq lproject\ A\ (lstream\ (limit\ u))$  using chain-prefix-limit
assms(1) by blast
finally show  $lproject\ A\ (lstream\ (v\ k)) \leq lproject\ A\ (lstream\ (limit\ u))$ 
by this
qed
qed
end

```

## 16 Stuttering

```

theory Stuttering
imports
  Stuttering-Equivalence.StutterEquivalence
  LList-Prefixes
begin

function nth-least-ext :: nat set  $\Rightarrow$  nat  $\Rightarrow$  nat
where
  enat k < esize A  $\implies$  nth-least-ext A k = nth-least A k |
  enat k  $\geq$  esize A  $\implies$  nth-least-ext A k = Suc (Max A + (k - card A))
by force+ termination by lexicographic-order

lemma nth-least-ext-strict-mono:
  assumes k < l
  shows nth-least-ext s k < nth-least-ext s l
proof (cases enat l < esize s)
case True
  have 1: enat k < esize s using assms True by (metis enat-ord-simps(2))
less-trans)
  show ?thesis using nth-least-strict-mono assms True 1 by simp
next
case False
  have 1: finite s using False esize-infinite-enat by auto
  have 2: enat l  $\geq$  esize s using False by simp
  have 3: l  $\geq$  card s using 1 2 by simp
  show ?thesis
proof (cases enat k < esize s)
case True

```

```

have 4:  $s \neq \{\}$  using True by auto
have nth-least-ext  $s k = \text{nth-least } s k$  using True by simp
also have  $\dots \leq \text{Max } s$  using nth-least-le-Max 1 4 True by this
also have  $\dots < \text{Suc } (\text{Max } s)$  by auto
also have  $\dots \leq \text{Suc } (\text{Max } s + (l - \text{card } s))$  by auto
also have  $\text{Suc } (\text{Max } s + (l - \text{card } s)) = \text{nth-least-ext } s l$  using 2 by simp
finally show ?thesis by this
next
case False
have 4:  $\text{enat } k \geq \text{esize } s$  using False by simp
have 5:  $k \geq \text{card } s$  using 1 4 by simp
have nth-least-ext  $s k = \text{Suc } (\text{Max } s + (k - \text{card } s))$  using 4 by simp
also have  $\dots < \text{Suc } (\text{Max } s + (l - \text{card } s))$  using assms 5 by simp
also have  $\dots = \text{nth-least-ext } s l$  using 2 by simp
finally show ?thesis by this
qed
qed

definition stutter-selection ::  $\text{nat set} \Rightarrow 'a \text{ llist} \Rightarrow \text{bool}$ 
where stutter-selection  $s w \equiv 0 \in s \wedge$ 
 $(\forall k i. \text{enat } i < \text{llength } w \longrightarrow \text{enat } (\text{Suc } k) < \text{esize } s \longrightarrow$ 
 $\text{nth-least } s k < i \longrightarrow i < \text{nth-least } s (\text{Suc } k) \longrightarrow w \text{ ?! } i = w \text{ ?! } \text{nth-least } s k) \wedge$ 
 $(\forall i. \text{enat } i < \text{llength } w \longrightarrow \text{finite } s \longrightarrow \text{Max } s < i \longrightarrow w \text{ ?! } i = w \text{ ?! } \text{Max } s)$ 

lemma stutter-selectionI[intro]:
assumes  $0 \in s$ 
assumes  $\bigwedge k i. \text{enat } i < \text{llength } w \implies \text{enat } (\text{Suc } k) < \text{esize } s \implies$ 
 $\text{nth-least } s k < i \implies i < \text{nth-least } s (\text{Suc } k) \implies w \text{ ?! } i = w \text{ ?! } \text{nth-least } s k$ 
assumes  $\bigwedge i. \text{enat } i < \text{llength } w \implies \text{finite } s \implies \text{Max } s < i \implies w \text{ ?! } i = w$ 
?! Max } s
shows stutter-selection  $s w$ 
using assms unfolding stutter-selection-def by auto
lemma stutter-selectionD-0[dest]:
assumes stutter-selection  $s w$ 
shows  $0 \in s$ 
using assms unfolding stutter-selection-def by auto
lemma stutter-selectionD-inside[dest]:
assumes stutter-selection  $s w$ 
assumes  $\text{enat } i < \text{llength } w \text{ enat } (\text{Suc } k) < \text{esize } s$ 
assumes  $\text{nth-least } s k < i \text{ } i < \text{nth-least } s (\text{Suc } k)$ 
shows  $w \text{ ?! } i = w \text{ ?! } \text{nth-least } s k$ 
using assms unfolding stutter-selection-def by auto
lemma stutter-selectionD-infinite[dest]:
assumes stutter-selection  $s w$ 
assumes  $\text{enat } i < \text{llength } w \text{ finite } s \text{ Max } s < i$ 
shows  $w \text{ ?! } i = w \text{ ?! } \text{Max } s$ 
using assms unfolding stutter-selection-def by auto

lemma stutter-selection-stutter-sampler[intro]:

```

```

    assumes linfinite w stutter-selection s w
    shows stutter-sampler (nth-least-ext s) (lnth w)
  unfolding stutter-sampler-def
  proof safe
    show nth-least-ext s 0 = 0 using assms(2) by (cases enat 0 < esize s, auto)
    show strict-mono (nth-least-ext s) using strict-monoI nth-least-ext-strict-mono
  by blast
  next
  fix k i
  assume 1: nth-least-ext s k < i i < nth-least-ext s (Suc k)
  show w ?! i = w ?! nth-least-ext s k
  proof (cases enat (Suc k) esize s rule: linorder-cases)
    case less
    have w ?! i = w ?! nth-least s k
    proof (rule stutter-selectionD-inside)
      show stutter-selection s w using assms(2) by this
      show enat i < llength w using assms(1) by auto
      show enat (Suc k) < esize s using less by this
      show nth-least s k < i using 1(1) less by auto
      show i < nth-least s (Suc k) using 1(2) less by simp
    qed
    also have w ?! nth-least s k = w ?! nth-least-ext s k using less by auto
    finally show ?thesis by this
  next
  case equal
  have 2: enat k < esize s using equal by (metis enat-ord-simps(2) lessI)
  have 3: finite s using equal by (metis esize-infinite-enat less-irrefl)
  have 4:  $\bigwedge i. i > Max s \implies w ?! i = w ?! Max s$  using assms 3 by auto
  have 5:  $k = card s - 1$  using equal 3 by (metis diff-Suc-1 enat.inject  

esize-set.simps(1))
  have Max s = nth-least s (card s - 1) using nth-least-Max 3 assms(2) by  

force
  also have ... = nth-least s k unfolding 5 by rule
  also have ... = nth-least-ext s k using 2 by simp
  finally have 6: Max s = nth-least-ext s k by this
  have w ?! i = w ?! Max s using 1(1) 4 6 by auto
  also have ... = w ?! nth-least-ext s k unfolding 6 by rule
  finally show ?thesis by this
  next
  case greater
  have 2: enat k  $\geq$  esize s using greater by (metis Suc-ile-eq not-le)
  have 3: finite s using greater by (metis esize-infinite-enat less-asm)
  have 4:  $\bigwedge i. i > Max s \implies w ?! i = w ?! Max s$  using assms 3 by auto
  have w ?! i = w ?! Max s using 1(1) 2 4 by auto
  also have ... = w ?! Suc (Max s + (k - card s)) using 4 by simp
  also have ... = w ?! nth-least-ext s k using 2 by simp
  finally show ?thesis by this
  qed
  qed

```

```

lemma stutter-equivI-selection[intro]:
  assumes linfinite u linfinite v
  assumes stutter-selection s u stutter-selection t v
  assumes lselect s u = lselect t v
  shows lnth u ≈ lnth v
proof (rule stutter-equivI)
  have 1: llength (lselect s u) = llength (lselect t v) unfolding assms(5) by rule
  have 2: esize s = esize t using 1 assms(1, 2) unfolding lselect-llength by
simp
  show stutter-sampler (nth-least-ext s) (lnth u) using assms(1, 3) by rule
  show stutter-sampler (nth-least-ext t) (lnth v) using assms(2, 4) by rule
  show lnth u ∘ nth-least-ext s = lnth v ∘ nth-least-ext t
proof (rule ext, unfold comp-apply)
  fix i
  show u ?! nth-least-ext s i = v ?! nth-least-ext t i
proof (cases enat i < esize s)
  case True
  have 3: enat i < llength (lselect s u) enat i < llength (lselect t v)
    using assms(1, 2) 2 True unfolding lselect-llength by auto
  have u ?! nth-least-ext s i = u ?! nth-least s i using True by simp
  also have ... = lselect s u ?! i using 3(1) by simp
  also have ... = lselect t v ?! i unfolding assms(5) by rule
  also have ... = v ?! nth-least t i using 3(2) by simp
  also have ... = v ?! nth-least-ext t i using True unfolding 2 by simp
  finally show u ?! nth-least-ext s i = v ?! nth-least-ext t i by this
next
  case False
  have 3: s ≠ {} t ≠ {} using assms(3, 4) by auto
  have 4: finite s finite t using esize-infinite-enat 2 False bymetis+
  have 5: ∧ i. i > Max s ⇒ u ?! i = u ?! Max s using assms(1, 3) 4(1)
by auto
  have 6: ∧ i. i > Max t ⇒ v ?! i = v ?! Max t using assms(2, 4) 4(2)
by auto
  have 7: esize s = enat (card s) esize t = enat (card t) using 4 by auto
  have 8: card s ≠ 0 card t ≠ 0 using 3 4 by auto
  have 9: enat (card s - 1) < llength (lselect s u)
    using assms(1) 7(1) 8(1) unfolding lselect-llength by simp
  have 10: enat (card t - 1) < llength (lselect t v)
    using assms(2) 7(2) 8(2) unfolding lselect-llength by simp
  have u ?! nth-least-ext s i = u ?! Suc (Max s + (i - card s)) using False
by simp
  also have ... = u ?! Max s using 5 by simp
  also have ... = u ?! nth-least s (card s - 1) using nth-least-Max 4(1) 3(1)
by force
  also have ... = lselect s u ?! (card s - 1) using lselect-lnth 9 by simp
  also have ... = lselect s u ?! (card t - 1) using 2 4 by simp
  also have ... = lselect t v ?! (card t - 1) unfolding assms(5) by rule
  also have ... = v ?! nth-least t (card t - 1) using lselect-lnth 10 by simp

```

also have ... = v ?! *Max t* using *nth-least-Max 4(2) 3(2)* by *force*  
also have ... = v ?! *Suc (Max t + (i - card t))* using *6* by *simp*  
also have ... = v ?! *nth-least-ext t i* using *2 False* by *simp*  
finally show ?thesis by *this*

qed

qed

qed

**definition** *stuttering-invariant* :: 'a word set  $\Rightarrow$  bool

where *stuttering-invariant*  $A \equiv \forall u v. u \approx v \longrightarrow u \in A \longleftrightarrow v \in A$

**lemma** *stuttering-invariant-complement*[intro]:

assumes *stuttering-invariant*  $A$

shows *stuttering-invariant*  $(- A)$

using *assms unfolding stuttering-invariant-def* by *simp*

**lemma** *stutter-equiv-forw-subst*[trans]:  $w_1 = w_2 \Longrightarrow w_2 \approx w_3 \Longrightarrow w_1 \approx w_3$  by

*auto*

**lemma** *stutter-sampler-build*:

assumes *stutter-sampler*  $f w$

shows *stutter-sampler*  $(0 \#\# (Suc \circ f)) (a \#\# w)$

**unfolding** *stutter-sampler-def*

**proof** *safe*

have  $0: f 0 = 0$  using *assms unfolding stutter-sampler-def* by *auto*

have  $1: f x < f y$  if  $x < y$  for  $x y$

using *assms that unfolding stutter-sampler-def strict-mono-def* by *auto*

have  $2: (0 \#\# (Suc \circ f)) x < (0 \#\# (Suc \circ f)) y$  if  $x < y$  for  $x y$

using *1 that* by *(cases x; cases y) (auto)*

have  $3: w n = w (f k)$  if  $f k < n n < f (Suc k)$  for  $k n$

using *assms that unfolding stutter-sampler-def* by *auto*

show  $(0 \#\# (Suc \circ f)) 0 = 0$  by *simp*

show *strict-mono*  $(0 \#\# (Suc \circ f))$  using *2* by *rule*

show  $(a \#\# w) n = (a \#\# w) ((0 \#\# (Suc \circ f)) k)$

if  $(0 \#\# (Suc \circ f)) k < n n < (0 \#\# (Suc \circ f)) (Suc k)$  for  $k n$

using *0 3 that* by *(cases k; cases n) (force)+*

qed

**lemma** *stutter-extend-build*:

assumes  $u \approx v$

shows  $a \#\# u \approx a \#\# v$

**proof** -

obtain  $f g$  where  $1: \text{stutter-sampler } f u \text{ stutter-sampler } g v u \circ f = v \circ g$

using *stutter-equivE assms* by *this*

show ?thesis

**proof** (*intro stutter-equivI ext*)

show *stutter-sampler*  $(0 \#\# (Suc \circ f)) (a \#\# u)$  using *stutter-sampler-build*

*1(1)* by *this*

show *stutter-sampler*  $(0 \#\# (Suc \circ g)) (a \#\# v)$  using *stutter-sampler-build*

*1(2)* by *this*

```

    show (a ## u ◦ 0 ## (Suc ◦ f)) i = (a ## v ◦ 0 ## (Suc ◦ g)) i for i
      using fun-cong[OF 1(3)] by (cases i) (auto)
  qed
qed
lemma stutter-extend-concat:
  assumes u ≈ v
  shows w ◊ u ≈ w ◊ v
  using stutter-extend-build assms by (induct w, force+)
lemma build-stutter: w 0 ## w ≈ w
proof (rule stutter-equivI)
  show stutter-sampler (Suc (0 := 0)) (w 0 ## w)
  unfolding stutter-sampler-def
  proof safe
    show (Suc (0 := 0)) 0 = 0 by simp
    show strict-mono (Suc (0 := 0)) by (rule strict-monoI, simp)
  next
  fix k n
  assume 1: (Suc (0 := 0)) k < n n < (Suc (0 := 0)) (Suc k)
  show (w 0 ## w) n = (w 0 ## w) ((Suc (0 := 0)) k) using 1 by (cases
n, auto)
  qed
  show stutter-sampler id w by rule
  show w 0 ## w ◦ (Suc (0 := 0)) = w ◦ id by auto
qed
lemma replicate-stutter: replicate n (v 0) ◊ v ≈ v
proof (induct n)
  case 0
  show ?case using stutter-equiv-refl by simp
next
  case (Suc n)
  have replicate (Suc n) (v 0) ◊ v = v 0 ## replicate n (v 0) ◊ v by simp
  also have ... = (replicate n (v 0) ◊ v) 0 ## replicate n (v 0) ◊ v by (cases
n, auto)
  also have ... ≈ replicate n (v 0) ◊ v using build-stutter by this
  also have ... ≈ v using Suc by this
  finally show ?case by this
qed

lemma replicate-stutter': u ◊ replicate n (v 0) ◊ v ≈ u ◊ v
  using stutter-extend-concat replicate-stutter by this
end

```

## 17 Interpreted Transition Systems and Traces

**theory** *Transition-System-Interpreted-Traces*

**imports**

*Transition-System-Traces*

*Basics/Stuttering*

**begin**

**locale** *transition-system-interpreted-traces* =  
  *transition-system-interpreted ex en int* +  
  *transition-system-traces ex en ind*  
  **for** *ex* :: 'action  $\Rightarrow$  'state  $\Rightarrow$  'state  
  **and** *en* :: 'action  $\Rightarrow$  'state  $\Rightarrow$  bool  
  **and** *int* :: 'state  $\Rightarrow$  'interpretation  
  **and** *ind* :: 'action  $\Rightarrow$  'action  $\Rightarrow$  bool  
  +  
  **assumes** *independence-invisible*:  $a \in \text{visible} \Longrightarrow b \in \text{visible} \Longrightarrow \neg \text{ind } a \ b$   
**begin**

**lemma** *eq-swap-lproject-visible*:

**assumes**  $u =_S v$   
  **shows** *lproject visible* (*l*list-of  $u$ ) = *lproject visible* (*l*list-of  $v$ )  
  **using** *assms independence-invisible* **by** (*induct*, *auto*)

**lemma** *eq-fin-lproject-visible*:

**assumes**  $u =_F v$   
  **shows** *lproject visible* (*l*list-of  $u$ ) = *lproject visible* (*l*list-of  $v$ )  
  **using** *assms eq-swap-lproject-visible* **by** (*induct*, *auto*)

**lemma** *le-fin-lproject-visible*:

**assumes**  $u \preceq_F v$   
  **shows** *lproject visible* (*l*list-of  $u$ )  $\leq$  *lproject visible* (*l*list-of  $v$ )

**proof** –

**obtain**  $w$  **where**  $1: u @ w =_F v$  **using** *assms* **by** *rule*

**have** *lproject visible* (*l*list-of  $u$ )  $\leq$

*lproject visible* (*l*list-of  $u$ ) \$ *lproject visible* (*l*list-of  $w$ ) **by** *auto*

**also have**  $\dots = \text{lproject visible} (\text{l}list\text{-of } (u @ w))$  **using** *lappend-llist-of-llist-of*

**by** *auto*

**also have**  $\dots = \text{lproject visible} (\text{l}list\text{-of } v)$  **using** *eq-fin-lproject-visible 1* **by**

*this*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *le-fininf-lproject-visible*:

**assumes**  $u \preceq_{FI} v$

**shows** *lproject visible* (*l*list-of  $u$ )  $\leq$  *lproject visible* (*l*list-of-stream  $v$ )

**proof** –

**obtain**  $w$  **where**  $1: w \preceq_{FI} v$   $u \preceq_F w$  **using** *assms* **by** *rule*

**have** *lproject visible* (*l*list-of  $u$ )  $\leq$  *lproject visible* (*l*list-of  $w$ )

**using** *le-fin-lproject-visible 1(2)* **by** *this*

**also have**  $\dots \leq \text{lproject visible} (\text{l}list\text{-of-stream } v)$  **using**  $1(1)$  **by** *blast*

**finally show** *?thesis* **by** *this*

**qed**

**lemma** *le-inf-lproject-visible*:

**assumes**  $u \preceq_I v$

**shows** *lproject visible* (*l*list-of-stream  $u$ )  $\leq$  *lproject visible* (*l*list-of-stream  $v$ )

**proof** (*rule lproject-prefix-limit*)

**fix**  $w$

**assume** 1:  $w \leq \text{lstream-of-llist } u \text{ lfinite } w$   
**have** 2:  $\text{list-of } w \leq_{FI} \text{stream-of-llist } (\text{lstream-of-llist } u)$  **using** 1 **by** *blast*  
**have** 3:  $\text{list-of } w \preceq_{FI} v$  **using** *assms* 2 **by** *auto*  
**have**  $\text{lproject visible } w = \text{lproject visible } (\text{lstream-of-llist } (\text{list-of } w))$  **using** 1(2) **by**  
*simp*  
**also have**  $\dots \leq \text{lproject visible } (\text{lstream-of-llist } v)$  **using** *le-fininf-lproject-visible*  
3 **by** *this*  
**finally show**  $\text{lproject visible } w \leq \text{lproject visible } (\text{lstream-of-llist } v)$  **by** *this*  
**qed**  
**lemma** *eq-inf-lproject-visible*:  
**assumes**  $u =_I v$   
**shows**  $\text{lproject visible } (\text{lstream-of-llist } u) = \text{lproject visible } (\text{lstream-of-llist } v)$   
**using** *le-inf-lproject-visible* *assms* **by** (*metis antisym eq-infE*)

**lemma** *stutter-selection-lproject-visible*:  
**assumes**  $\text{run } u \text{ } p$   
**shows**  $\text{stutter-selection } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u)))$   
 $(\text{lstream-of-llist } (\text{smap int } (p \#\# \text{trace } u \text{ } p)))$   
**proof**  
**show**  $0 \in \text{lift } (\text{liset visible } (\text{lstream-of-llist } u))$  **by** *auto*  
**next**  
**fix**  $k \text{ } i$   
**assume** 3:  $\text{enat } (\text{Suc } k) < \text{esize } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u)))$   
**assume** 4:  $\text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) \text{ } k < i$   
**assume** 5:  $i < \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) (\text{Suc } k)$   
**have** 6:  $\text{int } ((p \#\# \text{trace } u \text{ } p) \#\# \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) \text{ } k) =$   
 $\text{int } ((p \#\# \text{trace } u \text{ } p) \#\# i)$   
**proof** (*rule execute-inf-word-invisible*)  
**show**  $\text{run } u \text{ } p$  **using** *assms* **by** *this*  
**show**  $\text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) \text{ } k \leq i$  **using** 4 **by** *auto*  
**next**  
**fix**  $j$   
**assume** 6:  $\text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) \text{ } k \leq j$   
**assume** 7:  $j < i$   
**have** 8:  $\text{Suc } j \notin \text{lift } (\text{liset visible } (\text{lstream-of-llist } u))$   
**proof** (*rule nth-least-not-contains*)  
**show**  $\text{enat } (\text{Suc } k) < \text{esize } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u)))$  **using** 3  
**by** *this*  
**show**  $\text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) \text{ } k < \text{Suc } j$  **using** 6  
**by** *auto*  
**show**  $\text{Suc } j < \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of-llist } u))) (\text{Suc } k)$  **using**  
5 7 **by** *simp*  
**qed**  
**have** 9:  $j \notin \text{lift } (\text{liset visible } (\text{lstream-of-llist } u))$  **using** 8 **by** *auto*  
**show**  $u \#\# j \notin \text{visible}$  **using** 9 **by** *auto*  
**qed**  
**show**  $\text{lstream-of-llist } (\text{smap int } (p \#\# \text{trace } u \text{ } p)) \text{ } ?! \text{ } i = \text{lstream-of-llist } (\text{smap}$   
 $\text{int } (p \#\# \text{trace } u \text{ } p)) \text{ } ?!$



```

    nth-least (lift (liset visible (llist-of-stream u))) k
  using 6 by (metis lnth-list-of-stream snth-smap)
next
fix i
assume 1: finite (lift (liset visible (llist-of-stream u)))
assume 3: Max (lift (liset visible (llist-of-stream u))) < i
have 4: int ((p ## trace u p) !! Max (lift (liset visible (llist-of-stream u))))
=
  int ((p ## trace u p) !! i)
proof (rule execute-inf-word-invisible)
  show run u p using assms by this
  show Max (lift (liset visible (llist-of-stream u))) ≤ i using 3 by auto
next
fix j
assume 6: Max (lift (liset visible (llist-of-stream u))) ≤ j
assume 7: j < i
have 8: Suc j ∉ lift (liset visible (llist-of-stream u))
proof (rule ccontr)
  assume 9: ¬ Suc j ∉ lift (liset visible (llist-of-stream u))
  have 10: Suc j ∈ lift (liset visible (llist-of-stream u)) using 9 by simp
  have 11: Suc j ≤ Max (lift (liset visible (llist-of-stream u))) using Max-ge
1 10 by this
  show False using 6 11 by auto
qed
have 9: j ∉ liset visible (llist-of-stream u) using 8 by auto
show u !! j ∉ visible using 9 by auto
qed
show llist-of-stream (smap int (p ## trace u p)) ?! i = llist-of-stream (smap
int (p ## trace u p)) ?!
  Max (lift (liset visible (llist-of-stream u))) using 4 by (metis lnth-list-of-stream
snth-smap)
qed

lemma execute-fin-visible:
  assumes path u q path v q u ≼FI w v ≼FI w
  assumes project visible u = project visible v
  shows int (target u q) = int (target v q)
proof -
  obtain w' where 1: u ≼F w' v ≼F w' using subsume-fin assms(3, 4) by
this
  obtain u' v' where 2: u @ u' =F w' v @ v' =F w' using 1 by blast
  have u @ u' =F w' using 2(1) by this
  also have ... =F v @ v' using 2(2) by blast
  finally have 3: u @ u' =F v @ v' by this
  obtain s1 s2 s3 where 4: u =F s1 @ s2 v =F s1 @ s3 Ind (set s2) (set s3)
  using levi-lemma 3 by this
  have 5: project visible (s1 @ s2) = project visible (s1 @ s3)
  using eq-fin-lproject-visible assms(5) 4(1, 2) by auto
  have 6: project visible s2 = project visible s3 using 5 by simp

```

**have 7:**  $\text{set } (\text{project visible } s_2) = \text{set } (\text{project visible } s_3)$  **using 6 by simp**  
**have 8:**  $\text{set } s_2 \cap \text{visible} = \text{set } s_3 \cap \text{visible}$  **using 7 by auto**  
**have 9:**  $\text{set } s_2 \subseteq \text{invisible} \vee \text{set } s_3 \subseteq \text{invisible}$  **using independence-invisible**  
**4(3) by auto**  
**have 10:**  $\text{set } s_2 \subseteq \text{invisible}$   $\text{set } s_3 \subseteq \text{invisible}$  **using 8 9 by auto**  
**have 11:**  $\text{path } s_2 (\text{target } s_1 q)$  **using eq-fin-word 4(1) assms(1) by auto**  
**have 12:**  $\text{path } s_3 (\text{target } s_1 q)$  **using eq-fin-word 4(2) assms(2) by auto**  
**have int**  $(\text{fold ex } u q) = \text{int } (\text{fold ex } (s_1 @ s_2) q)$  **using eq-fin-execute assms(1)**  
**4(1) by simp**  
**also have**  $\dots = \text{int } (\text{fold ex } s_1 q)$  **using execute-fin-word-invisible 11 10(1)**  
**by simp**  
**also have**  $\dots = \text{int } (\text{fold ex } (s_1 @ s_3) q)$  **using execute-fin-word-invisible 12**  
**10(2) by simp**  
**also have**  $\dots = \text{int } (\text{fold ex } v q)$  **using eq-fin-execute assms(2) 4(2) by simp**  
**finally show ?thesis by this**  
**qed**  
**lemma execute-inf-visible:**  
**assumes**  $\text{run } u q \text{ run } v q u \preceq_I w v \preceq_I w$   
**assumes**  $\text{lproject visible } (\text{lstream } u) = \text{lproject visible } (\text{lstream } v)$   
**shows**  $\text{snth } (\text{smap int } (q \#\# \text{trace } u q)) \approx \text{snth } (\text{smap int } (q \#\# \text{trace } v q))$   
**proof -**  
**have 1:**  $\text{lnth } (\text{lstream } (\text{smap int } (q \#\# \text{trace } u q))) \approx$   
 $\text{lnth } (\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$   
**proof**  
**show**  $\text{linfinite } (\text{lstream } (\text{smap int } (q \#\# \text{trace } u q)))$  **by simp**  
**show**  $\text{linfinite } (\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$  **by simp**  
**show**  $\text{stutter-selection } (\text{lift } (\text{iset visible } (\text{lstream } u))) (\text{lstream } (\text{smap int } (q \#\# \text{trace } u q)))$   
**using stutter-selection-lproject-visible assms(1) by this**  
**show**  $\text{stutter-selection } (\text{lift } (\text{iset visible } (\text{lstream } v))) (\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$   
**using stutter-selection-lproject-visible assms(2) by this**  
**show**  $\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } u))) (\text{lstream } (\text{smap int } (q \#\# \text{trace } u q))) =$   
 $\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } v))) (\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$   
**proof**  
**have**  $\text{llength } (\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } u))))$   
 $(\text{lstream } (\text{smap int } (q \#\# \text{trace } u q))) = \text{eSuc } (\text{llength } (\text{lproject visible } (\text{lstream } u)))$   
**by (simp add: lselect-llength)**  
**also have**  $\dots = \text{eSuc } (\text{llength } (\text{lproject visible } (\text{lstream } v)))$   
**unfolding assms(5) by rule**  
**also have**  $\dots = \text{llength } (\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } v))))$   
 $(\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$  **by (simp add: lselect-llength)**  
**finally show**  $\text{llength } (\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } u))))$   
 $(\text{lstream } (\text{smap int } (q \#\# \text{trace } u q))) = \text{llength } (\text{lselect } (\text{lift } (\text{iset visible } (\text{lstream } v))))$   
 $(\text{lstream } (\text{smap int } (q \#\# \text{trace } v q)))$  **by this**

```

next
  fix  $i$ 
    assume  $1$ :
       $\text{enat } i < \text{llength } (\text{lselect } (\text{lift } (\text{liset visible } (\text{lstream-of } u))))$ 
       $(\text{lstream-of } (\text{smap int } (q \ \#\# \ \text{trace } u \ q))))$ 
       $\text{enat } i < \text{llength } (\text{lselect } (\text{lift } (\text{liset visible } (\text{lstream-of } v))))$ 
       $(\text{lstream-of } (\text{smap int } (q \ \#\# \ \text{trace } v \ q))))$ 
    have  $2$ :
       $\text{enat } i \leq \text{llength } (\text{lproject visible } (\text{lstream-of } u))$ 
       $\text{enat } i \leq \text{llength } (\text{lproject visible } (\text{lstream-of } v))$ 
      using  $1$  by (simp add: lselect-length)+
    define  $k$  where  $k \equiv \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of } u))) \ i$ 
    define  $l$  where  $l \equiv \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of } v))) \ i$ 
    have  $\text{lselect } (\text{lift } (\text{liset visible } (\text{lstream-of } u))) (\text{lstream-of } (\text{smap}$ 
   $\text{int } (q \ \#\# \ \text{trace } u \ q))) \ ?! \ i =$ 
     $\text{int } ((q \ \#\# \ \text{trace } u \ q) \ \#\# \ \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of } u))) \ i)$ 
    by (metis 1(1) lnth-list-of-stream lselect-lnth snth-smap)
    also have  $\dots = \text{int } ((q \ \#\# \ \text{trace } u \ q) \ \#\# \ k)$  unfolding  $k$ -def by rule
    also have  $\dots = \text{int } ((q \ \#\# \ \text{trace } v \ q) \ \#\# \ l)$ 
    unfolding sscan-scons-snth
    proof (rule execute-fin-visible)
      show path (stake  $k \ u$ )  $q$  using assms(1) by (metis run-shift-elim
  stake-sdrop)
      show path (stake  $l \ v$ )  $q$  using assms(2) by (metis run-shift-elim
  stake-sdrop)
      show stake  $k \ u \ \preceq_{FI} \ w$  stake  $l \ v \ \preceq_{FI} \ w$  using assms(3, 4) by auto
      have project visible (stake  $k \ u$ ) =
        list-of (lproject visible (lstream-of (stake  $k \ u$ ))) by simp
      also have  $\dots = \text{list-of } (\text{lproject visible } (\text{ltake } (\text{enat } k) (\text{lstream-of } u)))$ 
   $u))$ 
      by (metis length-stake llength-lstream-of lstream-of-inf-lstream-prefix lprefix-ltake
  prefix-fininf-prefix)
      also have  $\dots = \text{list-of } (\text{ltake } (\text{enat } i) (\text{lproject visible } (\text{lstream-of } u)))$ 
      unfolding  $k$ -def lproject-ltake[OF 2(1)] by rule
      also have  $\dots = \text{list-of } (\text{ltake } (\text{enat } i) (\text{lproject visible } (\text{lstream-of } v)))$ 
      unfolding assms(5) by rule
      also have  $\dots = \text{list-of } (\text{lproject visible } (\text{ltake } (\text{enat } l) (\text{lstream-of } v)))$ 
      unfolding  $l$ -def lproject-ltake[OF 2(2)] by rule
      also have  $\dots = \text{project visible } (\text{stake } l \ v)$ 
      by (metis length-stake lfilter-lstream-of list-of-lstream-of llength-lstream-of
  lstream-of-inf-lstream-prefix lprefix-ltake prefix-fininf-prefix)
      finally show project visible (stake  $k \ u$ ) = project visible (stake  $l \ v$ ) by
  this
    qed
    also have  $\dots = \text{int } ((q \ \#\# \ \text{trace } v \ q) \ \#\# \ \text{nth-least } (\text{lift } (\text{liset visible } (\text{lstream-of } v))) \ i)$ 
    unfolding  $l$ -def by simp
    also have  $\dots = \text{lselect } (\text{lift } (\text{liset visible } (\text{lstream-of } v)))$ 
   $(\text{lstream-of } (\text{smap int } (q \ \#\# \ \text{trace } v \ q))) \ ?! \ i$ 

```

```

    using 1 by (metis lnth-list-of-stream lselect-lnth snth-smap)
    finally show lselect (lift (liset visible (lstream u)))
      (lstream (smap int (q ## trace u q))) ?! i = lselect (lift (liset
visible (lstream v)))
      (lstream (smap int (q ## trace v q))) ?! i by this
    qed
  qed
  show ?thesis using 1 by simp
  qed

end

end

```

## 18 Abstract Theory of Ample Set Partial Order Reduction

**theory** *Ample-Abstract*

**imports**

*Transition-System-Interpreted-Traces*

*Extensions/Relation-Extensions*

**begin**

**locale** *ample-base* =

*transition-system-interpreted-traces ex en int ind +*

*wellfounded-relation src*

**for** *ex* :: 'action  $\Rightarrow$  'state  $\Rightarrow$  'state

**and** *en* :: 'action  $\Rightarrow$  'state  $\Rightarrow$  bool

**and** *int* :: 'state  $\Rightarrow$  'interpretation

**and** *ind* :: 'action  $\Rightarrow$  'action  $\Rightarrow$  bool

**and** *src* :: 'state  $\Rightarrow$  'state  $\Rightarrow$  bool

**begin**

**definition** *ample-set* :: 'state  $\Rightarrow$  'action set  $\Rightarrow$  bool

**where** *ample-set* *q* *A*  $\equiv$

$A \subseteq \{a. \text{en } a \text{ } q\} \wedge$

$(A \subset \{a. \text{en } a \text{ } q\} \longrightarrow A \neq \{\}) \wedge$

$(\forall a. A \subset \{a. \text{en } a \text{ } q\} \longrightarrow a \in A \longrightarrow \text{src } (ex \ a \ q) \ q) \wedge$

$(A \subset \{a. \text{en } a \text{ } q\} \longrightarrow A \subseteq \text{invisible}) \wedge$

$(\forall w. A \subset \{a. \text{en } a \text{ } q\} \longrightarrow \text{path } w \ q \longrightarrow A \cap \text{set } w = \{\} \longrightarrow \text{Ind } A \ (\text{set } w))$

**lemma** *ample-subset*:

**assumes** *ample-set* *q* *A*

**shows**  $A \subseteq \{a. \text{en } a \text{ } q\}$

**using** *assms* **unfolding** *ample-set-def* **by** *auto*

**lemma** *ample-nonempty*:  
**assumes** *ample-set*  $q$   $A$   $A \subset \{a. \text{en } a \ q\}$   
**shows**  $A \neq \{\}$   
**using** *assms* **unfolding** *ample-set-def* **by** *auto*

**lemma** *ample-wellfounded*:  
**assumes** *ample-set*  $q$   $A$   $A \subset \{a. \text{en } a \ q\}$   $a \in A$   
**shows** *src*  $(\text{ex } a \ q) \ q$   
**using** *assms* **unfolding** *ample-set-def* **by** *auto*

**lemma** *ample-invisible*:  
**assumes** *ample-set*  $q$   $A$   $A \subset \{a. \text{en } a \ q\}$   
**shows**  $A \subseteq \text{invisible}$   
**using** *assms* **unfolding** *ample-set-def* **by** *auto*

**lemma** *ample-independent*:  
**assumes** *ample-set*  $q$   $A$   $A \subset \{a. \text{en } a \ q\}$  *path*  $w \ q$   $A \cap \text{set } w = \{\}$   
**shows** *Ind*  $A$   $(\text{set } w)$   
**using** *assms* **unfolding** *ample-set-def* **by** *auto*

**lemma** *ample-en[intro]*: *ample-set*  $q$   $\{a. \text{en } a \ q\}$  **unfolding** *ample-set-def* **by** *blast*

**end**

**locale** *ample-abstract* =  
*S*?: *transition-system-complete*  $\text{ex en init int}$  +  
*R*: *transition-system-complete*  $\text{ex ren init int}$  +  
*ample-base*  $\text{ex en int ind src}$   
**for**  $\text{ex} :: \text{'action} \Rightarrow \text{'state} \Rightarrow \text{'state}$   
**and**  $\text{en} :: \text{'action} \Rightarrow \text{'state} \Rightarrow \text{bool}$   
**and**  $\text{init} :: \text{'state} \Rightarrow \text{bool}$   
**and**  $\text{int} :: \text{'state} \Rightarrow \text{'interpretation}$   
**and**  $\text{ind} :: \text{'action} \Rightarrow \text{'action} \Rightarrow \text{bool}$   
**and**  $\text{src} :: \text{'state} \Rightarrow \text{'state} \Rightarrow \text{bool}$   
**and**  $\text{ren} :: \text{'action} \Rightarrow \text{'state} \Rightarrow \text{bool}$   
+  
**assumes** *reduction-ample*:  $q \in \text{nodes} \Longrightarrow \text{ample-set } q \ \{a. \text{ren } a \ q\}$   
**begin**

**lemma** *reduction-words-fin*:  
**assumes**  $q \in \text{nodes}$  *R.path*  $w \ q$   
**shows** *S.path*  $w \ q$   
**using** *assms*(2, 1) *ample-subset* *reduction-ample* **by** *induct* *auto*

**lemma** *reduction-words-inf*:  
**assumes**  $q \in \text{nodes}$  *R.run*  $w \ q$   
**shows** *S.run*  $w \ q$   
**using** *reduction-words-fin* *assms* **by** (*auto intro: words-infI-construct*)

```

lemma reduction-step:
  assumes  $q \in \text{nodes run } w$ 
  obtains
    (deferred)  $a$  where  $\text{ren } a \ q \ [a] \preceq_{FI} w \mid$ 
    (omitted)  $\{a. \text{ren } a \ q\} \subseteq \text{invisible Ind } \{a. \text{ren } a \ q\} \ (\text{sset } w)$ 
  proof (cases  $\{a. \text{en } a \ q\} = \{a. \text{ren } a \ q\}$ )
  case True
  have 1:  $\text{run } (\text{shd } w \ \#\# \ \text{sdrop } 1 \ w) \ q$  using  $\text{assms}(2)$  by simp
  show ?thesis
  proof (rule deferred)
    show  $\text{ren } (\text{shd } w) \ q$  using True 1 by blast
    show  $[\text{shd } w] \preceq_{FI} w$  by simp
  qed
next
case False
  have 1:  $\{a. \text{ren } a \ q\} \subset \{a. \text{en } a \ q\}$  using  $\text{ample-subset reduction-ample}$ 
 $\text{assms}(1)$  False by auto
  show ?thesis
  proof (cases  $\{a. \text{ren } a \ q\} \cap \text{sset } w = \{\}$ )
  case True
  show ?thesis
  proof (rule omitted)
    show  $\{a. \text{ren } a \ q\} \subseteq \text{invisible}$  using  $\text{ample-invisible reduction-ample}$ 
 $\text{assms}(1)$  1 by auto
    show  $\text{Ind } \{a. \text{ren } a \ q\} \ (\text{sset } w)$ 
    proof safe
      fix  $a \ b$ 
      assume 2:  $b \in \text{sset } w \ \text{ren } a \ q$ 
      obtain  $u \ v$  where 3:  $w = u \ @- \ b \ \#\# \ v$  using  $\text{split-stream-first}' \ 2(1)$ 
    by this
    have 4:  $\text{Ind } \{a. \text{ren } a \ q\} \ (\text{set } (u \ @ \ [b]))$ 
    proof (rule  $\text{ample-independent}$ )
      show  $\text{ample-set } q \ \{a. \text{ren } a \ q\}$  using  $\text{reduction-ample assms}(1)$  by this
      show  $\{a. \text{ren } a \ q\} \subset \{a. \text{en } a \ q\}$  using 1 by this
      show  $\text{path } (u \ @ \ [b]) \ q$  using  $\text{assms}(2)$  3 by blast
      show  $\{a. \text{ren } a \ q\} \cap \text{set } (u \ @ \ [b]) = \{\}$  using True 3 by auto
    qed
    show  $\text{ind } a \ b$  using 2 3 4 by auto
  qed
  qed
next
case False
  obtain  $u \ a \ v$  where 2:  $w = u \ @- \ a \ \#\# \ v \ \{a. \text{ren } a \ q\} \cap \text{set } u = \{\}$   $\text{ren } a$ 
 $q$ 
  using  $\text{split-stream-first}[OF \ \text{False}]$  by auto
  have 3:  $\text{path } u \ q$  using  $\text{assms}(2)$  unfolding 2(1) by auto
  have 4:  $\text{Ind } \{a. \text{ren } a \ q\} \ (\text{set } u)$ 

```

**using** *ample-independent reduction-ample assms(1) 1 3 2(2)* **by this**  
**have** 5:  $\text{Ind } (\text{set } [a]) (\text{set } u)$  **using** 4 2(3) **by simp**  
**have** 6:  $[a] @ u =_F u @ [a]$  **using** 5 **by blast**  
**show** ?thesis  
**proof** (rule deferred)  
  **show** *ren a q* **using** 2(3) **by this**  
  **have**  $[a] \preceq_{FI} [a] @- u @- v$  **by blast**  
  **also have**  $[a] @- u @- v = ([a] @ u) @- v$  **by simp**  
  **also have**  $([a] @ u) @- v =_I (u @ [a]) @- v$  **using** 6 **by blast**  
  **also have**  $(u @ [a]) @- v = u @- [a] @- v$  **by simp**  
  **also have**  $\dots = w$  **unfolding** 2(1) **by simp**  
  **finally show**  $[a] \preceq_{FI} w$  **by this**  
**qed**  
**qed**  
**qed**

**lemma** *reduction-chunk*:

**assumes**  $q \in \text{nodes}$  *run*  $([a] @- v)$   $q$   
**obtains**  $b$   $b_1$   $b_2$   $u$   
**where**  
   $R.\text{path } (b @ [a]) q$   
   $\text{Ind } \{a\} (\text{set } b)$  *set*  $b \subseteq \text{invisible}$   
   $b =_F b_1 @ b_2$   $b_1 @- u =_I v$   $\text{Ind } (\text{set } b_2)$  (*sset*  $u$ )

**using** *wellfounded assms*

**proof** (*induct q arbitrary: thesis v rule: wfP-induct-rule*)

**case** (*less q*)

**show** ?case

**proof** (*cases ren a q*)

**case** (*True*)

**show** ?thesis

**proof** (rule *less(2)*)

**show**  $R.\text{path } (\ [] @ [a]) q$  **using** *True* **by auto**

**show**  $\text{Ind } \{a\} (\text{set } [])$  **by auto**

**show**  $\text{set } [] \subseteq \text{invisible}$  **by auto**

**show**  $[] =_F [] @ []$  **by auto**

**show**  $[] @- v =_I v$  **by auto**

**show**  $\text{Ind } (\text{set } []) (\text{sset } v)$  **by auto**

**qed**

**next**

**case** (*False*)

**have** 0:  $\{a. \text{en } a\} \neq \{a. \text{ren } a\}$  **using** *False less(4)* **by auto**

**show** ?thesis

**using** *less(3, 4)*

**proof** (*cases rule: reduction-step*)

**case** (*deferred c*)

**have** 1: *ren c q* **using** *deferred(1)* **by simp**

**have** 2: *ind a c*

**proof** (rule *le-fininf-ind''*)

**show**  $[a] \preceq_{FI} [a] @- v$  **by** *blast*  
**show**  $[c] \preceq_{FI} [a] @- v$  **using** *deferred(2)* **by** *this*  
**show**  $a \neq c$  **using** *False 1* **by** *auto*  
**qed**  
**obtain**  $v'$  **where**  $\exists: [a] @- v =_I [c] @- [a] @- v'$   
**proof** –  
  **have**  $10: [c] \preceq_{FI} v$   
  **proof** (*rule le-fininf-not-member'*)  
    **show**  $[c] \preceq_{FI} [a] @- v$  **using** *deferred(2)* **by** *this*  
    **show**  $c \notin \text{set } [a]$  **using** *False 1* **by** *auto*  
  **qed**  
  **obtain**  $v'$  **where**  $11: v =_I [c] @- v'$  **using**  $10$  **by** *blast*  
  **have**  $12: \text{Ind } (\text{set } [a]) (\text{set } [c])$  **using**  $2$  **by** *auto*  
  **have**  $13: [a] @ [c] =_F [c] @ [a]$  **using**  $12$  **by** *blast*  
  **have**  $[a] @- v =_I [a] @- [c] @- v'$  **using**  $11$  **by** *blast*  
  **also have**  $\dots = ([a] @ [c]) @- v'$  **by** *simp*  
  **also have**  $\dots =_I ([c] @ [a]) @- v'$  **using**  $13$  **by** *blast*  
  **also have**  $\dots = [c] @- [a] @- v'$  **by** *simp*  
  **finally show** *?thesis* **using** *that* **by** *auto*  
**qed**  
**have**  $4: \text{run } ([c] @- [a] @- v') q$  **using** *eq-inf-word 3 less(4)* **by** *this*  
**show** *?thesis*  
**proof** (*rule less(1)*)  
  **show** *src* ( $ex\ c\ q$ )  $q$   
    **using** *ample-wellfounded ample-subset reduction-ample less(3) 0 1* **by**  
*blast*  
  **have**  $100: ex\ c\ q$  **using** *less(4) deferred(2) le-fininf-word* **by** *auto*  
  **show**  $ex\ c\ q \in \text{nodes}$  **using** *less(3) 100* **by** *auto*  
  **show**  $\text{run } ([a] @- v') (ex\ c\ q)$  **using**  $4$  **by** *auto*  
**next**  
  **fix**  $b\ b_1\ b_2\ u$   
  **assume**  $5: R.\text{path } (b @ [a]) (ex\ c\ q)$   
  **assume**  $6: \text{Ind } \{a\} (\text{set } b)$   
  **assume**  $7: \text{set } b \subseteq \text{invisible}$   
  **assume**  $8: b =_F b_1 @ b_2$   
  **assume**  $9: b_1 @- u =_I v'$   
  **assume**  $10: \text{Ind } (\text{set } b_2) (\text{sset } u)$   
  **show** *thesis*  
  **proof** (*rule less(2)*)  
    **show**  $R.\text{path } (([c] @ b) @ [a]) q$  **using**  $1\ 5$  **by** *auto*  
    **show**  $\text{Ind } \{a\} (\text{set } ([c] @ b))$  **using**  $6\ 2$  **by** *auto*  
    **have**  $11: c \in \text{invisible}$   
    **using** *ample-invisible ample-subset reduction-ample less(3) 0 1* **by**  
*blast*  
    **show**  $\text{set } ([c] @ b) \subseteq \text{invisible}$  **using**  $7\ 11$  **by** *auto*  
    **have**  $[c] @ b =_F [c] @ b_1 @ b_2$  **using**  $8$  **by** *blast*  
    **also have**  $[c] @ b_1 @ b_2 = ([c] @ b_1) @ b_2$  **by** *simp*  
    **finally show**  $[c] @ b =_F ([c] @ b_1) @ b_2$  **by** *this*  
    **show**  $([c] @ b_1) @- u =_I v$



**proof** –  
**have** 10:  $Ind (set [a]) (set [c])$  **using** 2 **by** *auto*  
**have** 11:  $[a] @ [c] =_F [c] @ [a]$  **using** 10 **by** *blast*  
**have**  $[a] @- v =_I [c] @- [a] @- v'$  **using** 3 **by** *this*  
**also have**  $\dots = ([c] @ [a]) @- v'$  **by** *simp*  
**also have**  $\dots =_I ([a] @ [c]) @- v'$  **using** 11 **by** *blast*  
**also have**  $\dots = [a] @- [c] @- v'$  **by** *simp*  
**finally have** 12:  $[a] @- v =_I [a] @- [c] @- v'$  **by** *this*  
**have** 12:  $v =_I [c] @- v'$  **using** 12 **by** *blast*  
**have**  $([c] @ b_1) @- u = [c] @- b_1 @- u$  **by** *simp*  
**also have**  $\dots =_I [c] @- v'$  **using** 9 **by** *blast*  
**also have**  $\dots =_I v$  **using** 12 **by** *blast*  
**finally show** *?thesis* **by** *this*  
**qed**  
**show**  $Ind (set b_2) (sset u)$  **using** 10 **by** *this*  
**qed**  
**qed**  
**next**  
**case** (*omitted*)  
**have** 1:  $\{a. ren a q\} \subseteq invisible$  **using** *omitted(1)* **by** *simp*  
**have** 2:  $Ind \{a. ren a q\} (sset ([a] @- v))$  **using** *omitted(2)* **by** *simp*  
**obtain**  $c$  **where** 3:  $ren c q$   
**proof** –  
**have** 1:  $en a q$  **using** *less(4)* **by** *auto*  
**show** *?thesis* **using** *reduction-ample ample-nonempty less(3) 1* **that** **by**  
*blast*  
**qed**  
**have** 4:  $Ind (set [c]) (sset ([a] @- v))$  **using** 2 3 **by** *auto*  
**have** 6:  $path [c] q$  **using** *reduction-ample ample-subset less(3) 3* **by** *auto*  
**have** 7:  $run ([a] @- v) (target [c] q)$  **using** *diamond-fin-word-inf-word 4*  
*6 less(4)* **by** *this*  
**show** *?thesis*  
**proof** (*rule less(1)*)  
**show**  $src (ex c q) q$   
**using** *reduction-ample ample-wellfounded ample-subset less(3) 0 3* **by**  
*blast*  
**show**  $ex c q \in nodes$  **using** *less(3) 6* **by** *auto*  
**show**  $run ([a] @- v) (ex c q)$  **using** 7 **by** *auto*  
**next**  
**fix**  $b s b_1 b_2 u$   
**assume** 5:  $R.path (b @ [a]) (ex c q)$   
**assume** 6:  $Ind \{a\} (set b)$   
**assume** 7:  $set b \subseteq invisible$   
**assume** 8:  $b =_F b_1 @ b_2$   
**assume** 9:  $b_1 @- u =_I v$   
**assume** 10:  $Ind (set b_2) (sset u)$   
**show** *thesis*  
**proof** (*rule less(2)*)  
**show**  $R.path (([c] @ b) @ [a]) q$  **using** 3 5 **by** *auto*

```

show Ind {a} (set ([c] @ b))
proof -
  have 1: ind c a using 4 by simp
  have 2: ind a c using independence-symmetric 1 by this
  show ?thesis using 6 2 by auto
qed
have 11: c ∈ invisible using 1 3 by auto
show set ([c] @ b) ⊆ invisible using 7 11 by auto
have 12: Ind (set [c]) (set b1)
proof -
  have 1: set b1 ⊆ sset v using 9 by force
  have 2: Ind (set [c]) (sset v) using 4 by simp
  show ?thesis using 1 2 by auto
qed
have [c] @ b =F [c] @ b1 @ b2 using 8 by blast
also have ... = ([c] @ b1) @ b2 by simp
also have ... =F (b1 @ [c]) @ b2 using 12 by blast
also have ... = b1 @ [c] @ b2 by simp
finally show [c] @ b =F b1 @ [c] @ b2 by this
show b1 @- u =I v using 9 by this
have 13: Ind (set [c]) (sset u)
proof -
  have 1: sset u ⊆ sset v using 9 by force
  have 2: Ind (set [c]) (sset v) using 4 by simp
  show ?thesis using 1 2 by blast
qed
show Ind (set ([c] @ b2)) (sset u) using 10 13 by auto
qed
qed
qed
qed
qed

```

**inductive** *reduced-run* :: 'state ⇒ 'action list ⇒ 'action stream ⇒ 'action list  
⇒

'action list ⇒ 'action list ⇒ 'action list ⇒ 'action stream ⇒ bool

**where**

*init*: *reduced-run* q [] v [] [] [] v |

*absorb*: *reduced-run* q v<sub>1</sub> ([a] @- v<sub>2</sub>) l w w<sub>1</sub> w<sub>2</sub> u ⇒ a ∈ set l ⇒

*reduced-run* q (v<sub>1</sub> @ [a]) v<sub>2</sub> (remove1 a l) w w<sub>1</sub> w<sub>2</sub> u |

*extend*: *reduced-run* q v<sub>1</sub> ([a] @- v<sub>2</sub>) l w w<sub>1</sub> w<sub>2</sub> u ⇒ a ∉ set l ⇒

*R.path* (b @ [a]) (target w q) ⇒

Ind {a} (set b) ⇒ set b ⊆ invisible ⇒

b =<sub>F</sub> b<sub>1</sub> @ b<sub>2</sub> ⇒ [a] @- b<sub>1</sub> @- u' =<sub>I</sub> u ⇒ Ind (set b<sub>2</sub>) (sset u') ⇒

*reduced-run* q (v<sub>1</sub> @ [a]) v<sub>2</sub> (l @ b<sub>1</sub>) (w @ b @ [a]) (w<sub>1</sub> @ b<sub>1</sub> @ [a]) (w<sub>2</sub> @ b<sub>2</sub>) u'

**lemma** *reduced-run-words-fin*:

```

assumes reduced-run  $q\ v_1\ v_2\ l\ w\ w_1\ w_2\ u$ 
shows  $R.path\ w\ q$ 
using assms by induct auto

lemma reduced-run-invar-2:
assumes reduced-run  $q\ v_1\ v_2\ l\ w\ w_1\ w_2\ u$ 
shows  $v_2 =_I l @- u$ 
using assms
proof induct
  case (init  $q\ v$ )
  show ?case by simp
next
  case (absorb  $q\ v_1\ a\ v_2\ l\ w\ w_1\ w_2\ u$ )
  obtain  $l_1\ l_2$  where  $10: l = l_1 @ [a] @ l_2\ a \notin set\ l_1$ 
    using split-list-first[OF absorb(3)] by auto
  have  $11: Ind\ \{a\}\ (set\ l_1)$ 
  proof (rule le-fininf-ind')
    show  $[a] \preceq_{FI} l @- u$  using absorb(2) by auto
    show  $l_1 \preceq_{FI} l @- u$  unfolding  $10(1)$  by auto
    show  $a \notin set\ l_1$  using  $10(2)$  by this
  qed
  have  $12: Ind\ (set\ [a])\ (set\ l_1)$  using  $11$  by auto
  have  $[a] @ remove1\ a\ l = [a] @ l_1 @ l_2$  unfolding  $10(1)$  remove1-append
using  $10(2)$  by auto
  also have  $\dots =_F ([a] @ l_1) @ l_2$  by simp
  also have  $\dots =_F (l_1 @ [a]) @ l_2$  using  $12$  by blast
  also have  $\dots = l$  unfolding  $10(1)$  by simp
  finally have  $13: [a] @ remove1\ a\ l =_F l$  by this
  have  $[a] @- remove1\ a\ l @- u = ([a] @ remove1\ a\ l) @- u$  unfolding
conc-conc by simp
  also have  $\dots =_I l @- u$  using  $13$  by blast
  also have  $\dots =_I [a] @- v_2$  using absorb(2) by auto
  finally show ?case by blast
next
  case (extend  $q\ v_1\ a\ v_2\ l\ w\ w_1\ w_2\ u\ b\ b_1\ b_2\ u'$ )
  have  $11: Ind\ \{a\}\ (set\ l)$ 
  proof (rule le-fininf-ind')
    show  $[a] \preceq_{FI} l @- u$  using extend(2) by auto
    show  $l \preceq_{FI} l @- u$  by auto
    show  $a \notin set\ l$  using extend(3) by this
  qed
  have  $11: Ind\ (set\ [a])\ (set\ l)$  using  $11$  by auto
  have  $12: eq-fin\ ([a] @ l)\ (l @ [a])$  using  $11$  by blast
  have  $131: set\ b_1 \subseteq set\ b$  using extend(7) by auto
  have  $132: Ind\ (set\ [a])\ (set\ b)$  using extend(5) by auto
  have  $13: Ind\ (set\ [a])\ (set\ b_1)$  using  $131\ 132$  by auto
  have  $14: eq-fin\ ([a] @ b_1)\ (b_1 @ [a])$  using  $13$  by blast
  have  $[a] @- ((l @ b_1) @- u') = ([a] @ l) @- b_1 @- u'$  by simp
  also have eq-inf  $\dots ((l @ [a]) @- b_1 @- u')$  using  $12$  by blast

```

**also have**  $\dots = l @- [a] @- b_1 @- u'$  **by simp**  
**also have**  $eq\text{-}inf \dots (l @- u)$  **using extend(8) by blast**  
**also have**  $eq\text{-}inf \dots ([a] @- v_2)$  **using extend(2) by auto**  
**finally show**  $?case$  **by blast**  
**qed**

**lemma** *reduced-run-invar-1*:  
**assumes** *reduced-run q v<sub>1</sub> v<sub>2</sub> l w w<sub>1</sub> w<sub>2</sub> u*  
**shows**  $v_1 @ l =_F w_1$   
**using** *assms*  
**proof** *induct*  
**case** (*init q v*)  
**show**  $?case$  **by simp**  
**next**  
**case** (*absorb q v<sub>1</sub> a v<sub>2</sub> l w w<sub>1</sub> w<sub>2</sub> u*)  
**have**  $1: [a] @- v_2 =_I l @- u$  **using** *reduced-run-invar-2 absorb(1)* **by this**  
**obtain**  $l_1 l_2$  **where**  $10: l = l_1 @ [a] @ l_2$   $a \notin set\ l_1$   
**using** *split-list-first[OF absorb(3)]* **by auto**  
**have**  $11: Ind\ \{a\}\ (set\ l_1)$   
**proof** (*rule le-fininf-ind'*)  
**show**  $[a] \preceq_{FI} l @- u$  **using**  $1$  **by auto**  
**show**  $l_1 \preceq_{FI} l @- u$  **unfolding**  $10(1)$  **by auto**  
**show**  $a \notin set\ l_1$  **using**  $10(2)$  **by this**  
**qed**  
**have**  $12: Ind\ (set\ [a])\ (set\ l_1)$  **using**  $11$  **by auto**  
**have**  $[a] @ remove1\ a\ l = [a] @ l_1 @ l_2$  **unfolding**  $10(1)$  *remove1-append*  
**using**  $10(2)$  **by auto**  
**also have**  $\dots =_F ([a] @ l_1) @ l_2$  **by simp**  
**also have**  $\dots =_F (l_1 @ [a]) @ l_2$  **using**  $12$  **by blast**  
**also have**  $\dots = l$  **unfolding**  $10(1)$  **by simp**  
**finally have**  $13: [a] @ remove1\ a\ l =_F l$  **by this**  
**have**  $w_1 =_F v_1 @ l$  **using** *absorb(2)* **by auto**  
**also have**  $\dots =_F v_1 @ ([a] @ remove1\ a\ l)$  **using**  $13$  **by blast**  
**also have**  $\dots = (v_1 @ [a]) @ remove1\ a\ l$  **by simp**  
**finally show**  $?case$  **by auto**  
**next**  
**case** (*extend q v<sub>1</sub> a v<sub>2</sub> l w w<sub>1</sub> w<sub>2</sub> u b b<sub>1</sub> b<sub>2</sub> u'*)  
**have**  $1: [a] @- v_2 =_I l @- u$  **using** *reduced-run-invar-2 extend(1)* **by this**  
**have**  $11: Ind\ \{a\}\ (set\ l)$   
**proof** (*rule le-fininf-ind'*)  
**show**  $[a] \preceq_{FI} l @- u$  **using**  $1$  **by auto**  
**show**  $l \preceq_{FI} l @- u$  **by auto**  
**show**  $a \notin set\ l$  **using** *extend(3)* **by auto**  
**qed**  
**have**  $11: Ind\ (set\ [a])\ (set\ l)$  **using**  $11$  **by auto**  
**have**  $12: eq\text{-}fin\ ([a] @ l)\ (l @ [a])$  **using**  $11$  **by blast**  
**have**  $131: set\ b_1 \subseteq set\ b$  **using** *extend(7)* **by auto**  
**have**  $132: Ind\ (set\ [a])\ (set\ b)$  **using** *extend(5)* **by auto**  
**have**  $13: Ind\ (set\ [a])\ (set\ b_1)$  **using**  $131\ 132$  **by auto**

```

have 14: eq-fin ([a] @ b1) (b1 @ [a]) using 13 by blast
have eq-fin (w1 @ b1 @ [a]) (w1 @ [a] @ b1) using 14 by blast
also have eq-fin ... ((v1 @ l) @ [a] @ b1) using extend(2) by blast
also have eq-fin ... (v1 @ (l @ [a]) @ b1) by simp
also have eq-fin ... (v1 @ ([a] @ l) @ b1) using 12 by blast
also have ... = (v1 @ [a]) @ l @ b1 by simp
finally show ?case by auto
qed

```

**lemma** *reduced-run-invisible*:

```

assumes reduced-run q v1 v2 l w w1 w2 u
shows set w2 ⊆ invisible
using assms
proof induct
  case (init q v)
    show ?case by simp
next
  case (absorb q v1 a v2 l w w1 w2 u)
    show ?case using absorb(2) by this
next
  case (extend q v1 a v2 l w w1 w2 u b b1 b2 u')
    have 1: set b2 ⊆ set b using extend(7) by auto
    show ?case unfolding set-append using extend(2) extend(6) 1 by blast
qed

```

**lemma** *reduced-run-ind*:

```

assumes reduced-run q v1 v2 l w w1 w2 u
shows Ind (set w2) (sset u)
using assms
proof induct
  case (init q v)
    show ?case by simp
next
  case (absorb q v1 a v2 l w w1 w2 u)
    show ?case using absorb(2) by this
next
  case (extend q v1 a v2 l w w1 w2 u b b1 b2 u')
    have 1: sset u' ⊆ sset u using extend(8) by force
    show ?case using extend(2) extend(9) 1 unfolding set-append by blast
qed

```

**lemma** *reduced-run-decompose*:

```

assumes reduced-run q v1 v2 l w w1 w2 u
shows w =F w1 @ w2
using assms
proof induct
  case (init q v)
    show ?case by simp
next

```

**case** (*absorb*  $q\ v_1\ a\ v_2\ l\ w\ w_1\ w_2\ u$ )  
**show** *?case* **using** *absorb(2)* **by this**  
**next**  
**case** (*extend*  $q\ v_1\ a\ v_2\ l\ w\ w_1\ w_2\ u\ b\ b_1\ b_2\ u'$ )  
**have 1:** *Ind* (*set*  $[a]$ ) (*set*  $b_2$ ) **using** *extend(5)* *extend(7)* **by auto**  
**have 2:** *Ind* (*set*  $w_2$ ) (*set* ( $b_1\ @\ [a]$ ))  
**proof** –  
**have 1:** *Ind* (*set*  $w_2$ ) (*sset*  $u$ ) **using** *reduced-run-ind* *extend(1)* **by this**  
**have 2:**  $u =_I [a]\ @- b_1\ @- u'$  **using** *extend(8)* **by auto**  
**have 3:** *sset*  $u = sset ([a]\ @- b_1\ @- u')$  **using 2** **by blast**  
**show** *?thesis* **unfolding** *set-append* **using 1 3** **by simp**  
**qed**  
**have**  $w\ @\ b\ @\ [a] =_F (w_1\ @\ w_2)\ @\ b\ @\ [a]$  **using** *extend(2)* **by blast**  
**also have**  $\dots =_F (w_1\ @\ w_2)\ @\ (b_1\ @\ b_2)\ @\ [a]$  **using** *extend(7)* **by blast**  
**also have**  $\dots = w_1\ @\ w_2\ @\ b_1\ @\ (b_2\ @\ [a])$  **by simp**  
**also have**  $\dots =_F w_1\ @\ w_2\ @\ b_1\ @\ ([a]\ @\ b_2)$  **using 1** **by blast**  
**also have**  $\dots =_F w_1\ @\ (w_2\ @\ (b_1\ @\ [a]))\ @\ b_2$  **by simp**  
**also have**  $\dots =_F w_1\ @\ ((b_1\ @\ [a])\ @\ w_2)\ @\ b_2$  **using 2** **by blast**  
**also have**  $\dots =_F (w_1\ @\ b_1\ @\ [a])\ @\ w_2\ @\ b_2$  **by simp**  
**finally show** *?case* **by this**  
**qed**

**lemma** *reduced-run-project:*

**assumes** *reduced-run*  $q\ v_1\ v_2\ l\ w\ w_1\ w_2\ u$   
**shows** *project visible*  $w_1 = project\ visible\ w$

**proof** –

**have 1:**  $w_1\ @\ w_2 =_F w$  **using** *reduced-run-decompose* *assms* **by auto**  
**have 2:** *set*  $w_2 \subseteq invisible$  **using** *reduced-run-invisible* *assms* **by this**  
**have 3:** *project visible*  $w_2 = []$  **unfolding** *filter-empty-conv* **using 2** **by auto**  
**have** *project visible*  $w_1 = project\ visible\ w_1\ @\ project\ visible\ w_2$  **using 3** **by**

*simp*

**also have**  $\dots = project\ visible\ (w_1\ @\ w_2)$  **by simp**  
**also have**  $\dots = list-of\ (lproject\ visible\ (l\ list-of\ (w_1\ @\ w_2)))$  **by simp**  
**also have**  $\dots = list-of\ (lproject\ visible\ (l\ list-of\ w))$   
**using** *eq-fin-lproject-visible 1* **by metis**  
**also have**  $\dots = project\ visible\ w$  **by simp**  
**finally show** *?thesis* **by this**  
**qed**

**lemma** *reduced-run-length-1:*

**assumes** *reduced-run*  $q\ v_1\ v_2\ l\ w\ w_1\ w_2\ u$   
**shows** *length*  $v_1 \leq length\ w_1$   
**using** *reduced-run-invar-1* *assms* **by force**

**lemma** *reduced-run-length:*

**assumes** *reduced-run*  $q\ v_1\ v_2\ l\ w\ w_1\ w_2\ u$   
**shows** *length*  $v_1 \leq length\ w$

**proof** –

**have** *length*  $v_1 \leq length\ w_1$  **using** *reduced-run-length-1* *assms* **by this**  
**also have**  $\dots \leq length\ w$  **using** *reduced-run-decompose* *assms* **by force**

finally show *?thesis* by this  
 qed

**lemma** *reduced-run-step*:  
 assumes  $q \in \text{nodes run } (v_1 @- [a] @- v_2) q$   
 assumes *reduced-run*  $q v_1 ([a] @- v_2) l w w_1 w_2 u$   
 obtains  $l' w' w_1' w_2' u'$   
 where *reduced-run*  $q (v_1 @ [a]) v_2 l' (w @ w') (w_1 @ w_1') (w_2 @ w_2') u'$   
**proof** (*cases*  $a \in \text{set } l$ )  
 case *True*  
 show *?thesis*  
**proof** (*rule that, rule absorb*)  
 show *reduced-run*  $q v_1 ([a] @- v_2) l (w @ []) (w_1 @ []) (w_2 @ []) u$  **using**  
*assms(3)* by *simp*  
 show  $a \in \text{set } l$  **using** *True* by this  
 qed  
 next  
 case *False*  
 have 1:  $v_1 @ l =_F w_1$  **using** *reduced-run-invar-1* *assms(3)* by this  
 have 2:  $[a] @- v_2 =_I l @- u$  **using** *reduced-run-invar-2* *assms(3)* by this  
 have 3:  $w =_F w_1 @ w_2$  **using** *reduced-run-decompose* *assms(3)* by this  
 have  $v_1 @ l @ w_2 = (v_1 @ l) @ w_2$  by *simp*  
 also have  $\dots =_F w_1 @ w_2$  **using** 1 by *blast*  
 also have  $\dots =_F w$  **using** 3 by *blast*  
 finally have 4:  $v_1 @ l @ w_2 =_F w$  by this  
 have 5: *run*  $((v_1 @ l) @- w_2 @- u) q$   
**proof** (*rule diamond-fin-word-inf-word'*)  
 show *Ind* (*set*  $w_2$ ) (*sset*  $u$ ) **using** *reduced-run-ind* *assms(3)* by this  
 have 6: *R.path*  $w q$  **using** *reduced-run-words-fin* *assms(3)* by this  
 have 7: *path*  $w q$  **using** *reduction-words-fin* *assms(1)* 6 by *auto*  
 show *path*  $((v_1 @ l) @ w_2) q$  **using** *eq-fin-word* 4 7 by *auto*  
 have 8:  $v_1 @- [a] @- v_2 =_I v_1 @- l @- u$  **using** 2 by *blast*  
 show *run*  $((v_1 @ l) @- u) q$  **using** *eq-inf-word* *assms(2)* 8 by *auto*  
 qed  
 have 6: *run*  $(w @- u) q$  **using** *eq-inf-word* 4 5 by (*metis eq-inf-concat-end*  
*shift-append*)  
 have 7:  $[a] \preceq_{FI} l @- u$  **using** 2 by *blast*  
 have 8:  $[a] \preceq_{FI} u$  **using** *le-fininf-not-member'* 7 *False* by this  
 obtain  $u'$  where 9:  $u =_I [a] @- u'$  **using** 8 by *rule*  
 have 101: *target*  $w q \in \text{nodes}$  **using** *assms(1)* 6 by *auto*  
 have 10: *run*  $([a] @- u')$  (*target*  $w q$ ) **using** *eq-inf-word* 9 6 by *blast*  
 obtain  $b b_1 b_2 u''$  where 11:  
   *R.path*  $(b @ [a])$  (*target*  $w q$ )  
   *Ind*  $\{a\}$  (*set*  $b$ ) *set*  $b \subseteq \text{invisible}$   
    $b =_F b_1 @ b_2 b_1 @- u'' =_I u'$  *Ind* (*set*  $b_2$ ) (*sset*  $u''$ )  
   **using** *reduction-chunk* 101 10 by this  
 show *?thesis*  
**proof** (*rule that, rule extend*)  
 show *reduced-run*  $q v_1 ([a] @- v_2) l w w_1 w_2 u$  **using** *assms(3)* by this

**show**  $a \notin \text{set } l$  **using** *False* **by this**  
**show**  $R.\text{path } (b @ [a])$  (target  $w$   $q$ ) **using** 11(1) **by this**  
**show**  $\text{Ind } \{a\}$  (set  $b$ ) **using** 11(2) **by this**  
**show**  $\text{set } b \subseteq \text{invisible}$  **using** 11(3) **by this**  
**show**  $b =_F b_1 @ b_2$  **using** 11(4) **by this**  
**show**  $[a] @- b_1 @- u'' =_I u$  **using** 9 11(5) **by blast**  
**show**  $\text{Ind } (\text{set } b_2)$  (sset  $u''$ ) **using** 11(6) **by this**  
**qed**  
**qed**

**lemma** *reduction-word*:

**assumes**  $q \in \text{nodes run } v$   $q$   
**obtains**  $u$   $w$   
**where**  
 $R.\text{run } w$   $q$   
 $v =_I u$   $u \preceq_I w$   
 $\text{lproject visible } (\text{lstream } u) = \text{lproject visible } (\text{lstream } w)$   
**proof** –  
**define**  $P$  **where**  $P \equiv \lambda k w w_1. \exists l w_2 u. \text{reduced-run } q$  (stake  $k$   $v$ ) (sdrop  $k$   $v$ )  $l$   $w$   $w_1$   $w_2$   $u$   
**obtain**  $w$   $w_1$  **where** 1:  $\bigwedge k. P k (w k) (w_1 k)$  *chain*  $w$  *chain*  $w_1$   
**proof** (rule *chain-construct-2'*[of  $P$ ])  
**show**  $P 0 [] []$  **unfolding**  $P\text{-def}$  **using** *init* **by force**  
**next**  
**fix**  $k w w_1$   
**assume** 1:  $P k w w_1$   
**obtain**  $l w_2 u$  **where** 2:  $\text{reduced-run } q$  (stake  $k$   $v$ ) (sdrop  $k$   $v$ )  $l$   $w$   $w_1$   $w_2$   $u$   
**using** 1 **unfolding**  $P\text{-def}$  **by auto**  
**obtain**  $l' w' w_1' w_2' u'$  **where** 3:  
 $\text{reduced-run } q$  (stake  $k$   $v @ [v !! k]$ ) (sdrop  $(\text{Suc } k)$   $v$ )  $l'$  ( $w @ w'$ ) ( $w_1 @ w_1'$ ) ( $w_2 @ w_2'$ )  $u'$   
**proof** (rule *reduced-run-step*)  
**show**  $q \in \text{nodes}$  **using** *assms(1)* **by this**  
**show**  $\text{run } (\text{stake } k v @- [v !! k] @- \text{sdrop } (\text{Suc } k) v)$   $q$   
**using** *assms(2)* **by** (*metis shift-append stake-Suc stake-sdrop*)  
**show**  $\text{reduced-run } q$  (stake  $k$   $v$ ) ( $[v !! k] @- \text{sdrop } (\text{Suc } k) v$ )  $l w w_1 w_2 u$   
**using** 2 **by** (*metis sdrop-simps shift.simps stream.collapse*)  
**qed**  
**show**  $\exists w' w_1'. P (\text{Suc } k) w' w_1' \wedge w \leq w' \wedge w_1 \leq w_1'$   
**unfolding**  $P\text{-def}$  **using** 3 **by** (*metis prefix-fin-append stake-Suc*)  
**show**  $k \leq \text{length } w$  **using** *reduced-run-length 2* **by force**  
**show**  $k \leq \text{length } w_1$  **using** *reduced-run-length-1 2* **by force**  
**qed rule**  
**obtain**  $l w_2 u$  **where** 2:  
 $\bigwedge k. \text{reduced-run } q$  (stake  $k$   $v$ ) (sdrop  $k$   $v$ ) ( $l k$ ) ( $w k$ ) ( $w_1 k$ ) ( $w_2 k$ ) ( $u k$ )  
**using** 1(1) **unfolding**  $P\text{-def}$  **by metis**  
**show** *?thesis*  
**proof**



```

    show  $R.run (Word-Prefixes.limit w) q$  using reduced-run-words-fin 1(2) 2
  by blast
    show  $v =_I Word-Prefixes.limit w_1$ 
    proof
      show  $v \preceq_I Word-Prefixes.limit w_1$ 
      proof (rule le-infI-chain-right')
        show chain  $w_1$  using 1(3) by this
        show  $\bigwedge k. stake\ k\ v \preceq_F w_1\ k$  using reduced-run-invar-1[OF 2] by auto
      qed
      show  $Word-Prefixes.limit w_1 \preceq_I v$ 
      proof (rule le-infI-chain-left)
        show chain  $w_1$  using 1(3) by this
      next
        fix  $k$ 
        have  $w_1\ k =_F stake\ k\ v @\ l\ k$  using reduced-run-invar-1 2 by blast
        also have  $\dots \leq_{FI} stake\ k\ v @-\ l\ k @-\ u\ k$  by auto
        also have  $\dots =_I stake\ k\ v @-\ sdrop\ k\ v$  using reduced-run-invar-2[OF 2] by blast
      2] by blast
        also have  $\dots = v$  by simp
        finally show  $w_1\ k \preceq_{FI} v$  by this
      qed
    qed
  show  $Word-Prefixes.limit w_1 \preceq_I Word-Prefixes.limit w$ 
  proof (rule le-infI-chain-left)
    show chain  $w_1$  using 1(3) by this
  next
    fix  $k$ 
    have  $w_1\ k \preceq_F w\ k$  using reduced-run-decompose[OF 2] by blast
    also have  $\dots \leq_{FI} Word-Prefixes.limit w$  using chain-prefix-limit 1(2) by this
    finally show  $w_1\ k \preceq_{FI} Word-Prefixes.limit w$  by this
  qed
  show lproject visible (lstream (Word-Prefixes.limit w_1)) =
    lproject visible (lstream (Word-Prefixes.limit w))
    using lproject-eq-limit-chain reduced-run-project 1 unfolding P-def by metis
  qed
  qed

```

```

lemma reduction-equivalent:
  assumes  $q \in nodes\ run\ u\ q$ 
  obtains  $v$ 
  where  $R.run\ v\ q\ snth\ (smap\ int\ (q\ \#\#\ trace\ u\ q)) \approx snth\ (smap\ int\ (q\ \#\#\ trace\ v\ q))$ 
  proof -
  obtain  $v\ w$  where 1: R.run w q u =I v v  $\preceq_I w$ 
    lproject visible (lstream v) = lproject visible (lstream w)
    using reduction-word assms by this

```

```

show ?thesis
proof
  show  $R.run\ w\ q$  using 1(1) by this
  show  $snth\ (smap\ int\ (q\ \#\#\ trace\ u\ q)) \approx snth\ (smap\ int\ (q\ \#\#\ trace\ w\ q))$ 
  proof (rule execute-inf-visible)
    show  $run\ u\ q$  using  $assms(2)$  by this
    show  $run\ w\ q$  using reduction-words-inf  $assms(1)$  1(1) by auto
    have  $u =_I v$  using 1(2) by this
    also have  $\dots \preceq_I w$  using 1(3) by this
    finally show  $u \preceq_I w$  by this
    show  $w \preceq_I w$  by simp
    have  $lproject\ visible\ (l\ list\ of\ stream\ u) = lproject\ visible\ (l\ list\ of\ stream\ w)$ 
      using eq-inf-lproject-visible 1(2) by this
    also have  $\dots = lproject\ visible\ (l\ list\ of\ stream\ w)$  using 1(4) by this
    finally show  $lproject\ visible\ (l\ list\ of\ stream\ u) = lproject\ visible\ (l\ list\ of\ stream\ w)$  by this
  w) by this
  qed
qed
qed

```

```

lemma reduction-language-subset:  $R.language \subseteq S.language$ 
  unfolding  $S.language\ def\ R.language\ def$  using reduction-words-inf by blast

```

```

lemma reduction-language-stuttering:

```

```

  assumes  $u \in S.language$ 
  obtains  $v$ 
  where  $v \in R.language$   $snth\ u \approx snth\ v$ 
proof –
  obtain  $q\ v$  where 1:  $u = smap\ int\ (q\ \#\#\ trace\ v\ q)$   $init\ q\ S.run\ v\ q$  using
 $assms$  by rule
  obtain  $v'$  where 2:  $R.run\ v'\ q\ snth\ (smap\ int\ (q\ \#\#\ trace\ v\ q)) \approx snth\ (smap\ int\ (q\ \#\#\ trace\ v'\ q))$ 
  using reduction-equivalent 1(2, 3) by blast
  show ?thesis
proof (intro that  $R.languageI$ )
  show  $smap\ int\ (q\ \#\#\ trace\ v'\ q) = smap\ int\ (q\ \#\#\ trace\ v\ q)$  by rule
  show  $init\ q$  using 1(2) by this
  show  $R.run\ v'\ q$  using 2(1) by this
  show  $snth\ u \approx snth\ (smap\ int\ (q\ \#\#\ trace\ v'\ q))$  unfolding 1(1) using
2(2) by this
  qed
qed

```

```

end

```

```

end

```

## 19 LTL Formulae

```
theory Formula
imports
  Basics/Stuttering
  Stuttering-Equivalence.PLTL
begin

  locale formula =
    fixes  $\varphi :: 'a\ pltl$ 
    begin

      definition language :: 'a stream set
        where language  $\equiv \{w. snth\ w \models_p \varphi\}$ 

      lemma language-entails[iff]:  $w \in language \longleftrightarrow snth\ w \models_p \varphi$  unfolding lan-
        guage-def by simp

    end

    locale formula-next-free =
      formula  $\varphi$ 
      for  $\varphi :: 'a\ pltl$ 
      +
      assumes next-free: next-free  $\varphi$ 
    begin

      lemma stutter-equivalent-entails[dest]:  $u \approx v \implies u \models_p \varphi \longleftrightarrow v \models_p \varphi$ 
        using next-free-stutter-invariant next-free by blast

    end

end
```

## 20 Correctness Theorem of Partial Order Reduction

```
theory Ample-Correctness
imports
  Ample-Abstract
  Formula
begin

  locale ample-correctness =
    S: transition-system-complete ex en init int +
    R: transition-system-complete ex ren init int +
    F: formula-next-free  $\varphi$  +
    ample-abstract ex en init int ind src ren
```

```

for ex :: 'action ⇒ 'state ⇒ 'state
and en :: 'action ⇒ 'state ⇒ bool
and init :: 'state ⇒ bool
and int :: 'state ⇒ 'interpretation
and ind :: 'action ⇒ 'action ⇒ bool
and src :: 'state ⇒ 'state ⇒ bool
and ren :: 'action ⇒ 'state ⇒ bool
and φ :: 'interpretation pltl
begin

  lemma reduction-language-indistinguishable:
    assumes R.language ⊆ F.language
    shows S.language ⊆ F.language
  proof
    fix u
    assume 1: u ∈ S.language
    obtain v where 2: v ∈ R.language snth u ≈ snth v using reduction-language-stuttering
  1 by this
    have 3: v ∈ F.language using assms 2(1) by rule
    show u ∈ F.language using 2(2) 3 by auto
  qed

  theorem reduction-correct: S.language ⊆ F.language ↔ R.language ⊆ F.language
    using reduction-language-subset reduction-language-indistinguishable by blast

end

end

```

## 21 Static Analysis for Partial Order Reduction

```

theory Ample-Analysis
imports
  Ample-Abstract
begin

  locale transition-system-ample =
    transition-system-sticky ex en init int sticky +
    transition-system-interpreted-traces ex en int ind
    for ex :: 'action ⇒ 'state ⇒ 'state
    and en :: 'action ⇒ 'state ⇒ bool
    and init :: 'state ⇒ bool
    and int :: 'state ⇒ 'interpretation
    and sticky :: 'action set
    and ind :: 'action ⇒ 'action ⇒ bool
  begin

    sublocale ample-base ex en int ind scut-1-1 by unfold-locales
  end

```

```

lemma restrict-ample-set:
  assumes  $s \in \text{nodes}$ 
  assumes  $A \cap \{a. \text{en } a \ s\} \neq \{\}$   $A \cap \{a. \text{en } a \ s\} \cap \text{sticky} = \{\}$ 
  assumes  $\text{Ind } (A \cap \{a. \text{en } a \ s\})$  (executable -  $A$ )
  assumes  $\bigwedge w. \text{path } w \ s \implies A \cap \{a. \text{en } a \ s\} \cap \text{set } w = \{\} \implies A \cap \text{set } w =$ 
   $\{\}$ 
  shows ample-set  $s$  ( $A \cap \{a. \text{en } a \ s\}$ )
unfolding ample-set-def
proof (intro conjI allI impI)
  show  $A \cap \{a. \text{en } a \ s\} \subseteq \{a. \text{en } a \ s\}$  by simp
next
  show  $A \cap \{a. \text{en } a \ s\} \neq \{\}$  using assms(2) by this
next
  fix  $a$ 
  assume  $1: a \in A \cap \{a. \text{en } a \ s\}$ 
  show  $\text{scut}^{-1-1} (\text{ex } a \ s) \ s$ 
  proof (rule no-cut-scut)
    show  $s \in \text{nodes}$  using assms(1) by this
    show  $\text{en } a \ s$  using  $1$  by simp
    show  $a \notin \text{sticky}$  using assms(3)  $1$  by auto
  qed
next
  have  $1: A \cap \{a. \text{en } a \ s\} \subseteq \text{executable}$  using executable assms(1) by blast
  show  $A \cap \{a. \text{en } a \ s\} \subseteq \text{invisible}$  using executable-visible-sticky assms(3)  $1$ 
by blast
next
  fix  $w$ 
  assume  $1: \text{path } w \ s \ A \cap \{a. \text{en } a \ s\} \cap \text{set } w = \{\}$ 
  have  $2: A \cap \text{set } w = \{\}$  using assms(5)  $1$  by this
  have  $3: \text{set } w \subseteq \text{executable}$  using assms(1)  $1(1)$  by rule
  show  $\text{Ind } (A \cap \{a. \text{en } a \ s\})$  (set  $w$ ) using assms(4)  $2$   $3$  by blast
qed

end

locale transition-system-concurrent =
  transition-system-initial ex en init
  for  $\text{ex} :: 'action \Rightarrow 'state \Rightarrow 'state$ 
  and  $\text{en} :: 'action \Rightarrow 'state \Rightarrow \text{bool}$ 
  and  $\text{init} :: 'state \Rightarrow \text{bool}$ 
  +
  fixes  $\text{procs} :: 'state \Rightarrow 'process \text{ set}$ 
  fixes  $\text{pac} :: 'process \Rightarrow 'action \text{ set}$ 
  fixes  $\text{psen} :: 'process \Rightarrow 'state \Rightarrow 'action \text{ set}$ 
  assumes procs-finite:  $s \in \text{nodes} \implies \text{finite } (\text{procs } s)$ 
  assumes psen-en:  $s \in \text{nodes} \implies \text{pac } p \cap \{a. \text{en } a \ s\} \subseteq \text{psen } p \ s$ 
  assumes psen-ex:  $s \in \text{nodes} \implies a \in \{a. \text{en } a \ s\} - \text{pac } p \implies \text{psen } p (\text{ex } a \ s)$ 
= psen  $p \ s$ 
begin

```

**lemma** *psen-fin-word*:

**assumes**  $s \in \text{nodes path } w \text{ s pac } p \cap \text{set } w = \{\}$   
**shows**  $\text{psen } p (\text{target } w \text{ s}) = \text{psen } p \text{ s}$   
**using** *assms*(2, 1, 3)  
**proof** *induct*  
  **case** (*nil* *s*)  
  **show** *?case* **by** *simp*  
**next**  
  **case** (*cons* *a* *s* *w*)  
  **have** 1:  $\text{ex } a \text{ s} \in \text{nodes}$  **using** *cons*(4, 1) **by** *rule*  
  **have**  $\text{psen } p (\text{target } (a \# w) \text{ s}) = \text{psen } p (\text{target } w (\text{ex } a \text{ s}))$  **by** *simp*  
  **also have**  $\dots = \text{psen } p (\text{ex } a \text{ s})$  **using** *cons* 1 **by** *simp*  
  **also have**  $\dots = \text{psen } p \text{ s}$  **using** *psen-ex cons* **by** *simp*  
  **finally show** *?case* **by** *this*  
**qed**

**lemma** *en-fin-word*:

**assumes**  $\bigwedge r \ a \ b. r \in \text{nodes} \implies a \in \text{psen } p \text{ s} - \{a. \text{en } a \text{ s}\} \implies b \in \{a. \text{en } a \text{ r}\} - \text{pac } p \implies$   
 $\text{en } a (\text{ex } b \text{ r}) \implies \text{en } a \text{ r}$   
**assumes**  $s \in \text{nodes path } w \text{ s pac } p \cap \text{set } w = \{\}$   
**shows**  $\text{pac } p \cap \{a. \text{en } a (\text{target } w \text{ s})\} \subseteq \text{pac } p \cap \{a. \text{en } a \text{ s}\}$   
**using** *assms*  
**proof** (*induct w rule: rev-induct*)  
  **case** *Nil*  
  **show** *?case* **by** *simp*  
**next**  
  **case** (*snoc* *b* *w*)  
  **show** *?case*  
  **proof** (*safe, rule ccontr*)  
    **fix** *a*  
    **assume** 2:  $a \in \text{pac } p \text{ en } a (\text{target } (w @ [b]) \text{ s}) \neg \text{en } a \text{ s}$   
    **have** 3:  $a \in \text{psen } p \text{ s}$   
    **proof** –  
      **have** 3:  $\text{psen } p (\text{target } (w @ [b]) \text{ s}) = \text{psen } p \text{ s}$  **using** *psen-fin-word snoc*(3, 4, 5) **by** *this*  
      **have** 4:  $\text{target } (w @ [b]) \text{ s} \in \text{nodes}$  **using** *snoc*(3, 4) **by** *rule*  
      **have** 5:  $a \in \text{psen } p (\text{target } (w @ [b]) \text{ s})$  **using** *psen-en* 4 2(1, 2) **by** *auto*  
      **show** *?thesis* **using** 2(1) 3 5 **by** *auto*  
    **qed**  
    **have** 4:  $\text{en } a (\text{target } w \text{ s})$   
    **proof** (*rule snoc*(2))  
      **show**  $\text{target } w \text{ s} \in \text{nodes}$  **using** *snoc*(3, 4) **by** *auto*  
      **show**  $a \in \text{psen } p \text{ s} - \{a. \text{en } a \text{ s}\}$  **using** 2(3) 3 **by** *simp*  
      **show**  $b \in \{a. \text{en } a (\text{target } w \text{ s})\} - \text{pac } p$  **using** *snoc*(4, 5) **by** *auto*  
      **show**  $\text{en } a (\text{ex } b (\text{target } w \text{ s}))$  **using** 2(2) **by** *simp*  
    **qed**  
    **have** 5:  $\text{pac } p \cap \{a. \text{en } a (\text{target } w \text{ s})\} \subseteq \text{pac } p \cap \{a. \text{en } a \text{ s}\}$

```

proof (rule snoc(1))
  show  $\bigwedge r a b. r \in \text{nodes} \implies a \in \text{psen } p s - \{a. \text{en } a s\} \implies b \in \{a. \text{en } a r\} - \text{pac } p \implies$ 
     $\text{en } a (\text{ex } b r) \implies \text{en } a r$  using snoc(2) by this
  show  $s \in \text{nodes}$  using snoc(3) by this
  show  $\text{path } w s$  using snoc(4) by auto
  show  $\text{pac } p \cap \text{set } w = \{\}$  using snoc(5) by auto
qed
have 6:  $\text{en } a s$  using 2(1) 4 5 by auto
show False using 2(3) 6 by simp
qed

```

```

lemma pac-en-blocked:
  assumes  $\bigwedge r a b. r \in \text{nodes} \implies a \in \text{psen } p s - \{a. \text{en } a s\} \implies b \in \{a. \text{en } a r\} - \text{pac } p \implies$ 
     $\text{en } a (\text{ex } b r) \implies \text{en } a r$ 
  assumes  $s \in \text{nodes}$   $\text{path } w s$   $\text{pac } p \cap \{a. \text{en } a s\} \cap \text{set } w = \{\}$ 
  shows  $\text{pac } p \cap \text{set } w = \{\}$ 
  using words-fin-blocked en-fin-word assms by metis

```

```

abbreviation proc  $a \equiv \{p. a \in \text{pac } p\}$ 
abbreviation Proc  $A \equiv \bigcup a \in A. \text{proc } a$ 

```

```

lemma psen-simple:
  assumes  $\text{Proc } (\text{psen } p s) = \{p\}$ 
  assumes  $\bigwedge r a b. r \in \text{nodes} \implies a \in \text{psen } p s - \{a. \text{en } a s\} \implies \text{en } b r \implies$ 
     $\text{proc } a \cap \text{proc } b = \{\} \implies \text{en } a (\text{ex } b r) \implies \text{en } a r$ 
  shows  $\bigwedge r a b. r \in \text{nodes} \implies a \in \text{psen } p s - \{a. \text{en } a s\} \implies b \in \{a. \text{en } a r\} - \text{pac } p \implies$ 
     $\text{en } a (\text{ex } b r) \implies \text{en } a r$ 
  using assms by force

```

**end**

**end**

## References

- [1] C.-T. Chou and D. Peled. Formal verification of a partial-order reduction technique for model checking. In T. Margaria and B. Steffen, editors, *Tools and Algorithms for the Construction and Analysis of Systems*, volume 1055 of *Lecture Notes in Computer Science*, pages 241–257. Springer Berlin Heidelberg, 1996.
- [2] D. Peled. Combining partial order reductions with on-the-fly model-checking. *Formal Methods in System Design*, 8(1):39–64, 1996.