Parikh's theorem

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Abstract

In formal language theory, the $Parikh\ image$ of a language L is the set of multisets of the words in L: the order of letters becomes irrelevant, only the number of occurrences is relevant. Parikh's Theorem states that the Parikh image of a context-free language is the same as the Parikh image of some regular language. This formalization closely follows Pilling's proof [1]: It describes a context-free language as a minimal solution to a system of equations induced by a context free grammar for this language. Then it is shown that there exists a minimal solution to this system which is regular, such that the regular solution and the context-free language have the same Parikh image.

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1 Regular language expressions

```
theory Reg_Lang_Exp
imports
Regular—Sets.Regular_Exp
begin
```

datatype 'a rlexp = Var nat

1.1 Definition

We introduce regular language expressions which will be the building blocks of the systems of equations defined later. Regular language expressions can contain both constant languages and variable languages where variables are natural numbers for simplicity. Given a valuation, i.e. an instantiation of each variable with a language, the regular language expression can be evaluated, yielding a language.

```
| Const 'a lang
                  Union 'a rlexp 'a rlexp
                 Concat 'a rlexp 'a rlexp
                 Star 'a rlexp
type_synonym 'a valuation = nat \Rightarrow 'a lang
primrec eval :: 'a rlexp \Rightarrow 'a valuation \Rightarrow 'a lang where
  eval (Var n) v = v n
  eval (Const \ l) = l \ |
  eval (Union f g) v = eval f v \cup eval g v \mid
  eval (Concat f g) v = eval f v @@ eval g v |
  eval (Star f) v = star (eval f v)
primrec vars :: 'a \ rlexp \Rightarrow nat \ set \ where
  vars (Var n) = \{n\} \mid
  vars\ (Const\ \_) = \{\}\ |
  vars (Union f g) = vars f \cup vars g
  vars (Concat f g) = vars f \cup vars g
  vars (Star f) = vars f
```

Given some regular language expression, substituting each occurrence

of a variable i by the regular language expression s i yields the following regular language expression:

```
primrec subst :: (nat \Rightarrow 'a \ rlexp) \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp where subst s (Var \ n) = s \ n \mid subst \_(Const \ l) = Const \ l \mid subst s (Union \ f \ g) = Union \ (subst \ s \ f) \ (subst \ s \ g) \mid subst s (Concat \ f \ g) = Concat \ (subst \ s \ f) \ (subst \ s \ g) \mid subst s (Star \ f) = Star \ (subst \ s \ f)
```

1.2 Basic lemmas

```
lemma substitution lemma:
  assumes \forall i. \ v' \ i = eval \ (upd \ i) \ v
 shows eval (subst upd f) v = eval f v'
  \langle proof \rangle
{\bf lemma} \ substitution\_lemma\_upd:
  eval\ (subst\ (Var(x:=f'))\ f)\ v=eval\ f\ (v(x:=eval\ f'\ v))
  \langle proof \rangle
lemma \ subst\_id: \ eval \ (subst \ Var \ f) \ v = \ eval \ f \ v
  \langle proof \rangle
lemma vars\_subst: vars (subst upd f) = (\bigcup x \in vars f. vars (upd x))
  \langle proof \rangle
lemma vars\_subst\_upd\_upper: vars (subst (Var(x := fx)) f) \subseteq vars f - \{x\} \cup f
vars fx
\langle proof \rangle
lemma eval_vars:
  assumes \forall i \in vars f. \ s \ i = s' \ i
 shows eval f s = eval f s'
  \langle proof \rangle
lemma eval_vars_subst:
  assumes \forall i \in vars f. \ v \ i = eval \ (upd \ i) \ v
  shows eval (subst upd f) v = eval f v
\langle proof \rangle
     eval f is monotone:
lemma rlexp_mono:
 assumes \forall i \in vars f. \ v \ i \subseteq v' \ i
  shows eval f v \subseteq eval f v'
\langle proof \rangle
```

1.3 Continuity

```
\begin{array}{l} \mathbf{lemma} \ \mathit{rlexp\_cont\_aux1:} \\ \mathbf{assumes} \ \forall i. \ v \ i \leq v \ (\mathit{Suc} \ i) \\ \mathbf{and} \ w \in (\bigcup i. \ \mathit{eval} \ f \ (v \ i)) \\ \mathbf{shows} \ w \in \mathit{eval} \ f \ (\lambda x. \bigcup i. \ v \ i \ x) \\ \langle \mathit{proof} \rangle \\ \\ \mathbf{lemma} \ \mathit{langpow\_Union\_eval:} \\ \mathbf{assumes} \ \forall i. \ v \ i \leq v \ (\mathit{Suc} \ i) \\ \mathbf{and} \ w \in (\bigcup i. \ \mathit{eval} \ f \ (v \ i)) \ ^{\frown} n \\ \mathbf{shows} \ w \in (\bigcup i. \ \mathit{eval} \ f \ (v \ i) \ ^{\frown} n) \\ \langle \mathit{proof} \rangle \\ \\ \mathbf{lemma} \ \mathit{rlexp\_cont\_aux2:} \\ \mathbf{assumes} \ \forall i. \ v \ i \leq v \ (\mathit{Suc} \ i) \\ \mathbf{and} \ w \in \mathit{eval} \ f \ (\lambda x. \bigcup i. \ v \ i \ x) \\ \mathbf{shows} \ w \in (\bigcup i. \ \mathit{eval} \ f \ (v \ i)) \\ \langle \mathit{proof} \rangle \\ \\ \langle \mathit{proof} \rangle \\ \end{array}
```

Now we prove that eval f is continuous. This result is not needed in the further proof, but it is interesting anyway:

```
lemma rlexp\_cont:
assumes \forall i. \ v \ i \leq v \ (Suc \ i)
shows eval \ f \ (\lambda x. \bigcup i. \ v \ i \ x) = (\bigcup i. \ eval \ f \ (v \ i))
\langle proof \rangle
```

1.4 Regular language expressions which evaluate to regular languages

Evaluating regular language expressions can yield non-regular languages even if the valuation maps each variable to a regular language. This is because Const may introduce non-regular languages. We therefore define the following predicate which guarantees that a regular language expression f yields a regular language if the valuation maps all variables occurring in f to some regular language. This is achieved by only allowing regular languages as constants. However, note that this predicate is just an underapproximation, i.e. there exist regular language expressions which do not satisfy this predicate but evaluate to regular languages anyway.

```
\begin{array}{lll} \mathbf{fun} \ reg\_eval :: 'a \ rlexp \Rightarrow bool \ \mathbf{where} \\ reg\_eval \ (Var\_) \longleftrightarrow True \mid \\ reg\_eval \ (Const \ l) \longleftrightarrow regular\_lang \ l \mid \\ reg\_eval \ (Union \ f \ g) \longleftrightarrow reg\_eval \ f \wedge reg\_eval \ g \mid \\ reg\_eval \ (Concat \ f \ g) \longleftrightarrow reg\_eval \ f \wedge reg\_eval \ g \mid \\ reg\_eval \ (Star \ f) \longleftrightarrow reg\_eval \ f \end{array}
```

lemma emptyset_regular: reg_eval (Const {})

```
⟨proof⟩

lemma epsilon_regular: reg_eval (Const {[]})
⟨proof⟩
```

If the valuation v maps all variables occurring in the regular language expression f to a regular language, then evaluating f again yields a regular language:

```
lemma reg\_eval\_regular:

assumes reg\_eval\ f

and \bigwedge n.\ n \in vars\ f \Longrightarrow regular\_lang\ (v\ n)

shows regular\_lang\ (eval\ f\ v)
\langle proof \rangle
```

A reg_eval regular language expression stays reg_eval if all variables are substituted by reg_eval regular language expressions:

```
\begin{array}{l} \textbf{lemma } subst\_reg\_eval: \\ \textbf{assumes } reg\_eval \ f \\ \textbf{and } \forall \ x \in \ vars \ f. \ reg\_eval \ (upd \ x) \\ \textbf{shows } reg\_eval \ (subst \ upd \ f) \\ \langle proof \rangle \\ \\ \textbf{lemma } subst\_reg\_eval\_update: \\ \textbf{assumes } reg\_eval \ f \\ \textbf{and } reg\_eval \ g \\ \textbf{shows } reg\_eval \ (subst \ (Var(x := g)) \ f) \\ \langle proof \rangle \end{array}
```

For any finite union of *reg_eval* regular language expressions exists a *reg_eval* regular language expression:

```
lemma finite_Union_regular_aux:
\forall f \in set \ fs. \ reg\_eval \ f \Longrightarrow \exists \ g. \ reg\_eval \ g \land \bigcup (vars \ `set \ fs) = vars \ g
\land (\forall v. \ (\bigcup f \in set \ fs. \ eval \ f \ v) = eval \ g \ v)
\langle proof \rangle
lemma finite_Union_regular:
assumes finite F
and \forall f \in F. \ reg\_eval \ f
shows \exists \ g. \ reg\_eval \ g \land \bigcup (vars \ `F) = vars \ g \land (\forall v. \ (\bigcup f \in F. \ eval \ f \ v) = eval \ g \ v)
\langle proof \rangle
```

1.5 Constant regular language expressions

We call a regular language expression constant if it contains no variables. A constant regular language expression always evaluates to the same language, independent on the valuation. Thus, if the constant regular language expression is *reg_eval*, then it evaluates to some regular language, independent on the valuation.

```
abbreviation const\_rlexp :: 'a \ rlexp \Rightarrow bool \ \mathbf{where} const\_rlexp \ f \equiv vars \ f = \{\}
\mathbf{lemma} \ const\_rlexp\_lang: \ const\_rlexp \ f \Longrightarrow \exists \ l. \ \forall \ v. \ eval \ f \ v = l \langle proof \rangle
\mathbf{lemma} \ const\_rlexp\_regular\_lang: \ \mathbf{assumes} \ const\_rlexp \ f \mathbf{and} \ reg\_eval \ f \mathbf{shows} \ \exists \ l. \ regular\_lang \ l \ \land \ (\forall \ v. \ eval \ f \ v = l) \langle proof \rangle
\mathbf{end}
```

2 Parikh images

```
theory Parikh_Img
imports
Reg_Lang_Exp
HOL-Library.Multiset
begin
```

2.1 Definition and basic lemmas

The Parikh vector of a finite word describes how often each symbol of the alphabet occurs in the word. We represent parikh vectors by multisets. The Parikh image of a language L, denoted by Ψ L, is then the set of Parikh vectors of all words in the language.

2.2 Monotonicity properties

```
lemma parikh_img_mono: A \subseteq B \Longrightarrow \Psi \ A \subseteq \Psi \ B
  \langle proof \rangle
lemma parikh\_conc\_right\_subset: \Psi A \subseteq \Psi B \Longrightarrow \Psi (A @@ C) \subseteq \Psi (B @@ C)
  \langle proof \rangle
lemma parikh\_conc\_left\_subset: \Psi A \subseteq \Psi B \Longrightarrow \Psi (C @@ A) \subseteq \Psi (C @@ B)
  \langle proof \rangle
lemma parikh conc subset:
  assumes \Psi A \subseteq \Psi C
      and \Psi B \subseteq \Psi D
    shows \Psi (A @@ B) \subseteq \Psi (C @@ D)
lemma parikh\_conc\_right: \Psi \ A = \Psi \ B \Longrightarrow \Psi \ (A @@ \ C) = \Psi \ (B @@ \ C)
  \langle proof \rangle
lemma parikh_conc_left: \Psi A = \Psi B \Longrightarrow \Psi (C@@A) = \Psi (C@@B)
  \langle proof \rangle
lemma parikh\_pow\_mono: \Psi A \subseteq \Psi B \Longrightarrow \Psi (A ^ n) \subseteq \Psi (B ^ n)
  \langle proof \rangle
lemma parikh_star_mono:
  assumes \Psi A \subseteq \Psi B
  shows \Psi (star A) \subseteq \Psi (star B)
\langle proof \rangle
lemma parikh_star_mono_eq:
  assumes \Psi A = \Psi B
  shows \Psi (star A) = \Psi (star B)
  \langle proof \rangle
lemma parikh_img_subst_mono:
  \mathbf{assumes} \ \forall \ i. \ \Psi \ (\mathit{eval} \ (A \ i) \ v) \subseteq \Psi \ (\mathit{eval} \ (B \ i) \ v)
  shows \Psi (eval (subst A f) v) \subseteq \Psi (eval (subst B f) v)
\langle proof \rangle
lemma parikh_img_subst_mono_upd:
  assumes \Psi (eval A v) \subseteq \Psi (eval B v)
  shows \Psi (eval (subst (Var(x := A)) f) v) \subseteq \Psi (eval (subst (Var(x := B)) f) v)
  \langle proof \rangle
lemma rlexp_mono_parikh:
  assumes \forall i \in vars f. \ \Psi \ (v \ i) \subseteq \Psi \ (v' \ i)
```

```
shows \Psi (eval f v) \subseteq \Psi (eval f v')
\langle proof \rangle
lemma rlexp_mono_parikh_eq:
  assumes \forall i \in vars f. \ \Psi \ (v \ i) = \Psi \ (v' \ i)
 shows \Psi (eval f(v) = \Psi (eval f(v')
  \langle proof \rangle
        \Psi (A \cup B)^* = \Psi A^*B^*
2.3
This property is claimed by Pilling in [1] and will be needed later.
lemma parikh_img_union_pow_aux1:
  assumes v \in \Psi ((A \cup B) \cap n)
   shows v \in \Psi (\bigcup i \leq n. A \frown i @@ B \frown (n-i))
\langle proof \rangle
lemma parikh_img_star_aux1:
 assumes v \in \Psi (star (A \cup B))
  shows v \in \Psi (star A @@ star B)
\langle proof \rangle
lemma parikh_img_star_aux2:
 assumes v \in \Psi (star A @@ star B)
  shows v \in \Psi (star (A \cup B))
\langle proof \rangle
lemma parikh img_star: \Psi (star (A \cup B)) = \Psi (star A @@ star B)
\langle proof \rangle
        \Psi (E^*F)^* = \Psi (\{\varepsilon\} \cup E^*F^*F)
This property (where \varepsilon denotes the empty word) is claimed by Pilling as
well [1]; we will use it later.
lemma parikh\_img\_conc\_pow: \Psi ((A @@ B) ^{\sim} n) \subseteq \Psi (A ^{\sim} n @@ B ^{\sim} n)
\langle proof \rangle
lemma parikh\_img\_conc\_star: \Psi (star\ (A @@ B)) \subseteq \Psi (star\ A @@ star\ B)
\langle proof \rangle
```

 $\Psi (star (star E @@ F)) \subseteq \Psi (\{[]\} \cup star E @@ star F @@ F)$

lemma $parikh_img_conc_pow2$: Ψ ((A @@ B) $^{\sim}$ Suc n) \subseteq Ψ (star A @@ star

 $\begin{array}{cc} B @@ B) \\ \langle proof \rangle \end{array}$

 $\langle proof \rangle$

lemma parikh_img_star2_aux1:

```
lemma parikh_img_star2_aux2: \Psi (star E @@ star F @@ F) \subseteq \Psi (star (star E @@ F)) \langle proof \rangle lemma parikh_img_star2: \Psi (star (star E @@ F)) = \Psi ({[]} \cup star E @@ star F @@ F) \langle proof \rangle
```

2.5 A homogeneous-like property for regular language expressions

```
\begin{array}{l} \mathbf{lemma} \ rlexp\_homogeneous\_aux: \\ \mathbf{assumes} \ v \ x = star \ Y \ @@ \ Z \\ \mathbf{shows} \ \Psi \ (eval \ f \ v) \subseteq \Psi \ (star \ Y \ @@ \ eval \ f \ (v(x := Z))) \\ \langle proof \rangle \end{array}
```

Now we can prove the desired homogeneous-like property which will become useful later. Notably this property slightly differs from the property claimed in [1]. However, our property is easier to prove formally and it suffices for the rest of the proof.

```
lemma rlexp_homogeneous: \Psi (eval (subst (Var(x := Concat (Star y) z)) f) v) \subseteq \Psi (eval (Concat (Star y) (subst (<math>Var(x := z)) f)) v) (is \Psi ?L \subseteq \Psi ?R)
```

2.6 Extension of Arden's lemma to Parikh images

```
\begin{array}{l} \textbf{lemma} \ \ parikh\_img\_arden\_aux: \\ \textbf{assumes} \ \Psi \ (A @@ X \cup B) \subseteq \Psi \ X \\ \textbf{shows} \ \Psi \ (A @@ B) \subseteq \Psi \ X \\ \langle proof \rangle \\ \\ \textbf{lemma} \ \ parikh\_img\_arden: \\ \textbf{assumes} \ \Psi \ (A @@ X \cup B) \subseteq \Psi \ X \\ \textbf{shows} \ \Psi \ (star \ A @@ B) \subseteq \Psi \ X \\ \langle proof \rangle \\ \end{array}
```

2.7 Equivalence class of languages with identical Parikh image

For a given language L, we define the equivalence class of all languages with identical Parikh image:

```
definition parikh\_img\_eq\_class: 'a\ lang \Rightarrow 'a\ lang\ set\ where parikh\_img\_eq\_class\ L \equiv \{L'.\ \Psi\ L' = \Psi\ L\} lemma\ parikh\_img\_Union\_class:\ \Psi\ A = \Psi\ (\bigcup\ (parikh\_img\_eq\_class\ A)) \langle proof \rangle
```

```
 \begin{array}{l} \mathbf{lemma} \ subseteq\_comm\_subseteq: \\ \mathbf{assumes} \ \Psi \ A \subseteq \Psi \ B \\ \mathbf{shows} \ A \subseteq \bigcup \left(parikh\_img\_eq\_class \ B\right) \ (\mathbf{is} \ A \subseteq ?B') \\ \langle proof \rangle \end{array}
```

 \mathbf{end}

3 Context free grammars and systems of equations

```
theory Reg_Lang_Exp_Eqns
imports
Parikh_Img
Context_Free_Grammar.Context_Free_Language
begin
```

In this section, we will first introduce two types of systems of equations. Then we will show that to each CFG corresponds a system of equations of the first type and that the language defined by the CFG is a minimal solution of this systems. Lastly we prove some relations between the two types of systems of equations.

3.1 Introduction of systems of equations

For the first type of systems, each equation is of the form

$$X_i \supset r_i$$

For the second type of systems, each equation is of the form

$$\Psi X_i \supseteq \Psi r_i$$

i.e. the Parikh image is applied on both sides of each equation. In both cases, we represent the whole system by a list of regular language expressions where each of the variables X_0, X_1, \ldots is identified by its integer, i.e. $Var\ i$ denotes the variable X_i . The *i*-th item of the list then represents the right-hand side r_i of the *i*-th equation:

```
type_synonym 'a eq_sys = 'a rlexp list
```

Now we can define what it means for a valuation v to solve a system of equations of the first type, i.e. a system without Parikh images. Afterwards we characterize minimal solutions of such a system.

```
definition solves\_ineq\_sys :: 'a \ eq\_sys \Rightarrow 'a \ valuation \Rightarrow bool \ where solves\_ineq\_sys \ sys \ v \equiv \forall i < length \ sys. \ eval \ (sys!i) \ v \subseteq v \ i
```

```
definition min\_sol\_ineq\_sys :: 'a \ eq\_sys \Rightarrow 'a \ valuation \Rightarrow bool \ \mathbf{where}
min\_sol\_ineq\_sys \ sys \ sol \equiv
solves\_ineq\_sys \ sys \ sol \land (\forall \ sol'. \ solves\_ineq\_sys \ sys \ sol' \longrightarrow (\forall \ x. \ sol \ x \subseteq sol' \ x))
```

The previous definitions can easily be extended to the second type of systems of equations where the Parikh image is applied on both sides of each equation:

```
definition solves\_ineq\_comm :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ valuation \Rightarrow bool \ \mathbf{where} solves\_ineq\_comm \ x \ eq \ v \equiv \Psi \ (eval \ eq \ v) \subseteq \Psi \ (v \ x)
\mathbf{definition} \ solves\_ineq\_sys\_comm :: 'a \ eq\_sys \Rightarrow 'a \ valuation \Rightarrow bool \ \mathbf{where} solves\_ineq\_sys\_comm \ sys \ v \equiv \forall i < length \ sys. \ solves\_ineq\_comm \ i \ (sys \ ! \ i) \ v
\mathbf{definition} \ min\_sol\_ineq\_sys\_comm \ sys \ sol \equiv solves\_ineq\_sys\_comm \ sys \ sol \equiv solves\_ineq\_sys\_comm \ sys \ sol \land (\forall sol'. \ solves\_ineq\_sys\_comm \ sys \ sol' \longrightarrow (\forall x. \ \Psi \ (sol \ x) \subseteq \Psi \ (sol' \ x)))
\mathbf{Substitution} \ into \ each \ equation \ of \ a \ system:
\mathbf{definition} \ subst\_sys :: (nat \Rightarrow 'a \ rlexp) \Rightarrow 'a \ eq\_sys \Rightarrow 'a \ eq\_sys \ \mathbf{where} subst\_sys \equiv map \circ subst
```

```
lemma subst\_sys\_subst:
assumes i < length \ sys
shows (subst\_sys \ s \ sys) \ ! \ i = subst \ s \ (sys \ ! \ i)
\langle proof \rangle
```

3.2 Partial solutions of systems of equations

We introduce partial solutions, i.e. solutions which might depend on one or multiple variables. They are therefore not represented as languages, but as regular language expressions. sol is a partial solution of the x-th equation if and only if it solves the equation independently on the values of the other variables:

```
definition partial\_sol\_ineq :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp \Rightarrow bool \ \mathbf{where} partial\_sol\_ineq \ x \ eq \ sol \equiv \forall \ v. \ v \ x = eval \ sol \ v \longrightarrow solves\_ineq\_comm \ x \ eq \ v
```

We generalize the previous definition to partial solutions of whole systems of equations: sols maps each variable i to a regular language expression representing the partial solution of the i-th equation. sols is then a partial solution of the whole system if it satisfies the following predicate:

```
definition solution\_ineq\_sys :: 'a \ eq\_sys \Rightarrow (nat \Rightarrow 'a \ rlexp) \Rightarrow bool \ \mathbf{where} solution\_ineq\_sys \ sys \ sols \equiv \forall \ v. \ (\forall \ x. \ v \ x = eval \ (sols \ x) \ v) \longrightarrow solves\_ineq\_sys\_comm sus \ v
```

Given the x-th equation eq, sol is a minimal partial solution of this equation if and only if

- 1. sol is a partial solution of eq
- 2. sol is a proper partial solution (i.e. it does not depend on x) and only depends on variables occurring in the equation eq
- 3. no partial solution of the equation eq is smaller than sol

```
definition partial\_min\_sol\_one\_ineq :: nat \Rightarrow 'a \ rlexp \Rightarrow 'a \ rlexp \Rightarrow bool  where partial\_min\_sol\_one\_ineq \ x \ eq \ sol \equiv partial\_sol\_ineq \ x \ eq \ sol \land vars \ sol \subseteq vars \ eq - \{x\} \land (\forall sol' \ v'. \ solves\_ineq\_comm \ x \ eq \ v' \land v' \ x = \ eval \ sol' \ v' \longrightarrow \Psi \ (eval \ sol \ v') \subseteq \Psi \ (v' \ x))
```

Given a whole system of equations sys, we can generalize the previous definition such that sols is a minimal solution (possibly dependent on the variables X_n, X_{n+1}, \ldots) of the first n equations. Besides the three conditions described above, we introduce a forth condition: $sols \ i = Var \ i$ for $i \geq n$, i.e. sols assigns only spurious solutions to the equations which are not yet solved:

```
definition partial\_min\_sol\_ineq\_sys :: nat \Rightarrow 'a eq\_sys \Rightarrow (nat \Rightarrow 'a rlexp) \Rightarrow bool where
```

```
\begin{array}{l} partial\_min\_sol\_ineq\_sys \ n \ sys \ sols \equiv \\ solution\_ineq\_sys \ (take \ n \ sys) \ sols \ \land \\ (\forall i \geq n. \ sols \ i = \ Var \ i) \ \land \\ (\forall i < n. \ \forall x \in vars \ (sols \ i). \ x \geq n \ \land \ x < length \ sys) \ \land \\ (\forall sols' \ v'. \ (\forall x. \ v' \ x = eval \ (sols' \ x) \ v') \\ \qquad \land \ solves\_ineq\_sys\_comm \ (take \ n \ sys) \ v' \\ \qquad \longrightarrow (\forall i. \ \Psi \ (eval \ (sols \ i) \ v') \subseteq \Psi \ (v' \ i))) \end{array}
```

If the Parikh image of two equations f and g is identical on all valuations, then their minimal partial solutions are identical, too:

```
\begin{array}{lll} \textbf{lemma} & same\_min\_sol\_if\_same\_parikh\_img: \\ \textbf{assumes} & same\_parikh\_img: \ \forall \ v. \ \Psi \ (eval \ f \ v) = \Psi \ (eval \ g \ v) \\ \textbf{and} & same\_vars: & vars \ f - \{x\} = vars \ g - \{x\} \\ \textbf{and} & minimal\_sol: & partial\_min\_sol\_one\_ineq \ x \ f \ sol \\ \textbf{shows} & partial\_min\_sol\_one\_ineq \ x \ g \ sol \\ \langle proof \rangle & \end{array}
```

3.3 CFLs as minimal solutions to systems of equations

We show that each CFG induces a system of equations of the first type, i.e. without Parikh images, such that each equation is reg_eval and the CFG's language is the minimal solution of the system. First, we describe how to derive the system of equations from a CFG. This requires us to fix some bijection between the variables in the system and the non-terminals occurring in the CFG:

```
definition bij\_Nt\_Var: 'n \ set \Rightarrow (nat \Rightarrow 'n) \Rightarrow ('n \Rightarrow nat) \Rightarrow bool \ \mathbf{where}
bij\_Nt\_Var \ A \ \gamma \ \gamma' \equiv bij\_betw \ \gamma \ \{..< card \ A\} \ A \ \wedge bij\_betw \ \gamma' \ A \ \{..< card \ A\} \ \wedge (\forall x \in \{..< card \ A\}. \ \gamma' \ (\gamma \ x) = x) \ \wedge (\forall y \in A. \ \gamma \ (\gamma' \ y) = y)
lemma \ exists\_bij\_Nt\_Var:
assumes \ finite \ A
shows \ \exists \gamma \ \gamma'. \ bij\_Nt\_Var \ A \ \gamma \ \gamma' \ \langle proof \rangle
locale \ CFG\_eq\_sys =
fixes \ P :: ('n,'a) \ Prods
fixes \ S :: 'n
fixes \ \gamma :: nat \Rightarrow 'n
fixes \ \gamma' :: 'n \Rightarrow nat
assumes \ finite\_P : finite \ P
assumes \ bij\_\gamma\_\gamma' : \ bij\_Nt\_Var \ (Nts \ P) \ \gamma \ \gamma'
begin
```

The following definitions construct a regular language expression for a single production. This happens step by step, i.e. starting with a single symbol (terminal or non-terminal) and then extending this to a single production. The definitions closely follow the definitions <code>inst_sym</code>, <code>concats</code> and <code>inst_syms</code> in <code>Context_Free_Grammar.Context_Free_Language</code>.

```
definition rlexp\_sym :: ('n, 'a) \ sym \Rightarrow 'a \ rlexp where rlexp\_sym \ s = (case \ s \ of \ Tm \ a \Rightarrow Const \ \{[a]\} \mid Nt \ A \Rightarrow Var \ (\gamma' \ A))
definition rlexp\_concats :: 'a \ rlexp \ list \Rightarrow 'a \ rlexp where rlexp\_concats \ fs = foldr \ Concat \ fs \ (Const \ \{[]\})
definition rlexp\_syms :: ('n, 'a) \ syms \Rightarrow 'a \ rlexp where rlexp\_syms \ w = rlexp\_concats \ (map \ rlexp\_sym \ w)
```

Now it is shown that the regular language expression constructed for a single production is *reg_eval*. Again, this happens step by step:

```
\begin{array}{l} \textbf{lemma} \ rlexp\_sym\_reg: \ reg\_eval \ (rlexp\_sym \ s) \\ \langle proof \rangle \\ \\ \textbf{lemma} \ rlexp\_concats\_reg: \\ \textbf{assumes} \ \forall \ f \in set \ fs. \ reg\_eval \ f \\ \textbf{shows} \ reg\_eval \ (rlexp\_concats \ fs) \\ \langle proof \rangle \\ \\ \textbf{lemma} \ rlexp\_syms\_reg: \ reg\_eval \ (rlexp\_syms \ w) \\ \langle proof \rangle \\ \end{array}
```

The subsequent lemmas prove that all variables appearing in the regular language expression of a single production correspond to non-terminals appearing in the production:

```
 \begin{array}{l} \textbf{lemma} \ rlexp\_sym\_vars\_Nt: \\ \textbf{assumes} \ s \ (\gamma' \ A) = L \ A \\ \textbf{shows} \ vars \ (rlexp\_sym \ (Nt \ A)) = \{\gamma' \ A\} \\ \langle proof \rangle \\ \\ \textbf{lemma} \ rlexp\_sym\_vars\_Tm: \ vars \ (rlexp\_sym \ (Tm \ x)) = \{\} \\ \langle proof \rangle \\ \\ \textbf{lemma} \ rlexp\_concats\_vars: \ vars \ (rlexp\_concats \ fs) = \bigcup (vars \ `set \ fs) \\ \langle proof \rangle \\ \\ \textbf{lemma} \ insts'\_vars: \ vars \ (rlexp\_syms \ w) \subseteq \gamma' \ `nts\_syms \ w \\ \langle proof \rangle \\ \\ \end{array}
```

Evaluating the regular language expression of a single production under a valuation corresponds to instantiating the non-terminals in the production according to the valuation:

```
lemma rlexp\_sym\_inst\_Nt:
   assumes v (\gamma' A) = L A
   shows eval (rlexp\_sym (Nt A)) v = inst\_sym L (Nt A)
   \langle proof \rangle

lemma rlexp\_sym\_inst\_Tm: eval (rlexp\_sym (Tm a)) v = inst\_sym L (Tm a)
   \langle proof \rangle

lemma rlexp\_concats\_concats:
   assumes length fs = length Ls
   and \forall i < length fs. eval (fs ! i) v = Ls ! i
   shows eval (rlexp\_concats fs) v = concats Ls

\langle proof \rangle

lemma rlexp\_syms\_insts:
   assumes \forall A \in nts\_syms w. v (\gamma' A) = L A
   shows eval (rlexp\_syms w) v = inst\_syms L w

\langle proof \rangle
```

Each non-terminal of the CFG induces some *reg_eval* equation. We do not directly construct the equation but only prove its existence:

```
lemma subst\_lang\_rlexp:
\exists eq. reg\_eval \ eq \land vars \ eq \subseteq \gamma' \ `Nts \ P
\land (\forall v \ L. \ (\forall A \in Nts \ P. \ v \ (\gamma' \ A) = L \ A) \longrightarrow eval \ eq \ v = subst\_lang \ P \ L \ A)
\langle proof \rangle
```

The whole CFG induces a system of *reg_eval* equations. We first define which conditions this system should fulfill and show its existence in the second step:

```
abbreviation CFG\_sys\ sys \equiv length\ sys = card\ (Nts\ P)\ \land
```

```
(\forall i < card \ (Nts \ P). \ reg\_eval \ (sys \ ! \ i) \land (\forall x \in vars \ (sys \ ! \ i). \ x < card \ (Nts \ P))
\land \ (\forall s \ L. \ (\forall A \in Nts \ P. \ s \ (\gamma' \ A) = L \ A)
\rightarrow eval \ (sys \ ! \ i) \ s = subst\_lang \ P \ L \ (\gamma \ i)))
\mathbf{lemma} \ CFG\_as\_eq\_sys: \ \exists \ sys. \ CFG\_sys \ sys
\langle proof \rangle
```

As we have proved that each CFG induces a system of reg_eval equations, it remains to show that the CFG's language is a minimal solution of this system. The first lemma proves that the CFG's language is a solution and the next two lemmas prove that it is minimal:

```
abbreviation sol \equiv \lambda i. if i < card (Nts P) then Lang_lfp P(\gamma i) else \{\}
```

```
lemma CFG\_sys\_CFL\_is\_sol:
   assumes CFG\_sys sys
   shows solves\_ineq\_sys sys sol
\langle proof \rangle

lemma CFG\_sys\_CFL\_is\_min\_aux:
   assumes CFG\_sys sys
   and solves\_ineq\_sys sys sol'
   shows Lang\_lfp P \leq (\lambda A. sol' (\gamma' A)) (is \_ \leq ?L')
\langle proof \rangle

lemma CFG\_sys\_CFL\_is\_min:
   assumes CFG\_sys sys
   and solves\_ineq\_sys sys sol'
   shows sol x \subseteq sol' x
\langle proof \rangle
```

Lastly we combine all of the previous lemmas into the desired result of this section, namely that each CFG induces a system of *reg_eval* equations such that the CFG's language is a minimal solution of the system:

```
lemma CFL\_is\_min\_sol:

\exists sys. \ (\forall eq \in set \ sys. \ reg\_eval \ eq) \land (\forall eq \in set \ sys. \ \forall x \in vars \ eq. \ x < length sys)

\land min\_sol\_ineq\_sys \ sys \ sol

\langle proof \rangle
```

end

3.4 Relation between the two types of systems of equations

One can simply convert a system sys of equations of the second type (i.e. with Parikh images) into a system of equations of the first type by dropping the Parikh images on both sides of each equation. The following lemmas describe how the two systems are related to each other.

First of all, to any solution sol of sys exists a valuation whose Parikh image is identical to that of sol and which is a solution of the other system (i.e. the system obtained by dropping all Parikh images in sys). The following proof explicitly gives such a solution, namely λx . \bigcup $(parikh_img_eq_class(sol\ x))$, benefiting from the results of section 2.7:

```
lemma sol\_comm\_sol:
   assumes sol\_is\_sol\_comm: solves\_ineq\_sys\_comm sys sol
   shows \exists sol'. (\forall x. \ \Psi \ (sol \ x) = \Psi \ (sol' \ x)) \land solves\_ineq\_sys sys sol' \land proof \land
```

The converse works similarly: Given a minimal solution *sol* of the system *sys* of the first type, then *sol* is also a minimal solution to the system obtained by converting *sys* into a system of the second type (which can be achieved by applying the Parikh image on both sides of each equation):

```
lemma min_sol_min_sol_comm:
assumes min_sol_ineq_sys sys sol
shows min_sol_ineq_sys_comm sys sol
⟨proof⟩
```

All minimal solutions of a system of the second type have the same Parikh image:

end

4 Pilling's proof of Parikh's theorem

```
theory Pilling
imports
Reg_Lang_Exp_Eqns
begin
```

We prove Parikh's theorem, closely following Pilling's proof [1]. The rough idea is as follows: As seen in section 3.3, each CFG can be interpreted as a system of reg_eval equations of the first type and we can easily convert it into a system of the second type by applying the Parikh image on both sides of each equation. Pilling now shows that there is a regular solution to the latter system and that this solution is furthermore minimal. Using the relations explored in section 3.4 we prove that the CFG's language is a minimal solution of the same sytem and hence that the Parikh image of the CFG's language and of the regular solution must be identical; this finishes the proof of Parikh's theorem.

Notably, while in [1] Pilling proves an auxiliary lemma first and applies this lemma in the proof of the main theorem, we were able to complete the whole proof without using the lemma.

4.1 Special representation of regular language expressions

To each reg_eval regular language expression and variable x corresponds a second regular language expression with the same Parikh image and of the form depicted in equation (3) in [1]. We call regular language expressions of this form "bipartite regular language expressions" since they decompose into two subexpressions where one of them contains the variable x and the other one does not:

```
definition bipart_rlexp :: nat \Rightarrow 'a \ rlexp \Rightarrow bool \ \mathbf{where}
bipart_rlexp x \ f \equiv \exists \ p \ q. \ reg\_eval \ p \land reg\_eval \ q \land
f = Union \ p \ (Concat \ q \ (Var \ x)) \land x \notin vars \ p
```

All bipartite regular language expressions evaluate to regular languages. Additionally, for each reg_eval regular language expression and variable x, there exists a bipartite regular language expression with identical Parikh image and almost identical set of variables. While the first proof is simple, the second one is more complex and needs the results of the sections 2.3 and 2.4:

```
lemma bipart\_rlexp \ x \ f \Longrightarrow reg\_eval \ f
  \langle proof \rangle
lemma reg\_eval\_bipart\_rlexp\_Variable: \exists f'. bipart\_rlexp \ x \ f' \land vars \ f' = vars
(Var\ y) \cup \{x\}
                                                   \wedge (\forall v. \ \Psi \ (eval \ (Var \ y) \ v) = \Psi \ (eval \ f' \ v))
\langle proof \rangle
\mathbf{lemma}\ \mathit{reg}\_\mathit{eval}\_\mathit{bipart}\_\mathit{rlexp}\_\mathit{Const} \colon
  assumes regular_lang l
     shows \exists f'. bipart_rlexp x f' \land vars f' = vars (Const l) \cup \{x\}
                    \wedge (\forall v. \ \Psi \ (eval \ (Const \ l) \ v) = \Psi \ (eval \ f' \ v))
\langle proof \rangle
lemma reg_eval_bipart_rlexp_Union:
  assumes \exists f'. bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f1 \cup \{x\} \land
                    (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f' \ v))
            \exists f'. \ bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f2 \cup \{x\} \land
                    (\forall v. \ \Psi \ (eval \ f2 \ v) = \Psi \ (eval \ f' \ v))
     shows \exists f'. bipart\_rlexp \ x \ f' \land vars \ f' = vars \ (Union \ f1 \ f2) \cup \{x\} \land
                    (\forall v. \ \Psi \ (eval \ (Union \ f1 \ f2) \ v) = \Psi \ (eval \ f' \ v))
\langle proof \rangle
```

lemma reg_eval_bipart_rlexp_Concat:

```
assumes \exists f'. bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f1 \cup \{x\} \land f' = vars \ f' = var
                                                                                               (\forall v. \ \Psi \ (eval \ f1 \ v) = \Psi \ (eval \ f' \ v))
                                                           \exists f'. \ bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f2 \cup \{x\} \land f' = vars \ f'
                                                                                               (\forall v. \ \Psi \ (eval \ f2 \ v) = \Psi \ (eval \ f' \ v))
                       shows \exists f'. bipart_rlexp x f' \land vars f' = vars (Concat f1 f2) <math>\cup \{x\} \land f'
                                                                                              (\forall v. \ \Psi \ (eval \ (Concat \ f1 \ f2) \ v) = \Psi \ (eval \ f' \ v))
 \langle proof \rangle
lemma reg_eval_bipart_rlexp_Star:
            assumes \exists f'. bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f \cup \{x\}
                                                                                               \wedge (\forall v. \ \Psi \ (eval \ f \ v) = \Psi \ (eval \ f' \ v))
           shows \exists f'. bipart_rlexp x f' \land vars f' = vars (Star f) \cup \{x\}
                                                                                               \wedge (\forall v. \ \Psi \ (eval \ (Star \ f) \ v) = \Psi \ (eval \ f' \ v))
 \langle proof \rangle
lemma req eval bipart rlexp: req eval f \Longrightarrow
                       \exists f'. \ bipart\_rlexp \ x \ f' \land vars \ f' = vars \ f \cup \{x\} \land
                                                     (\forall s. \ \Psi \ (eval \ f \ s) = \Psi \ (eval \ f' \ s))
 \langle proof \rangle
```

4.2 Minimal solution for a single equation

The aim is to prove that every system of reg_eval equations of the second type has some minimal solution which is reg_eval . In this section, we prove this property only for the case of a single equation. First we assume that the equation is bipartite but later in this section we will abandon this assumption.

```
locale single\_bipartite\_eq =
fixes x :: nat
fixes p :: 'a \ rlexp
fixes q :: 'a \ rlexp
assumes p\_reg := reg\_eval \ p
assumes q\_reg := reg\_eval \ q
assumes x\_not\_in\_p : x \notin vars \ p
begin
```

The equation and the minimal solution look as follows. Here, x describes the variable whose solution is to be determined. In the subsequent lemmas, we prove that the solution is reg_eval and fulfills each of the three conditions of the predicate $partial_min_sol_one_ineq$. In particular, we will use the lemmas of the sections 2.5 and 2.6 here:

```
abbreviation eq \equiv Union\ p\ (Concat\ q\ (Var\ x))
abbreviation sol \equiv Concat\ (Star\ (subst\ (Var(x:=p))\ q))\ p
lemma sol\_is\_reg:\ reg\_eval\ sol
\langle proof \rangle
lemma sol\_vars:\ vars\ sol \subseteq vars\ eq\ -\{x\}
```

```
 \begin{array}{l} \left\langle proof\right\rangle \\ \\ \textbf{lemma} \ sol\_is\_sol\_ineq: \ partial\_sol\_ineq \ x \ eq \ sol \\ \left\langle proof\right\rangle \\ \\ \textbf{lemma} \ sol\_is\_minimal: \\ \textbf{assumes} \ is\_sol: \ solves\_ineq\_comm \ x \ eq \ v \\ \textbf{and} \ sol'\_s: \ v \ x = eval \ sol' \ v \\ \textbf{shows} \qquad \Psi \ (eval \ sol \ v) \subseteq \Psi \ (v \ x) \\ \left\langle proof\right\rangle \\ \\ \textbf{In summary}, \ sol \ is \ a \ minimal \ partial \ solution \ and \ it \ is \ reg\_eval: \\ \textbf{lemma} \ sol\_is\_minimal\_reg\_sol: \\ reg\_eval \ sol \ \wedge \ partial\_min\_sol\_one\_ineq \ x \ eq \ sol \\ \left\langle proof\right\rangle \\ \end{array}
```

As announced at the beginning of this section, we now extend the previous result to arbitrary equations, i.e. we show that each *reg_eval* equation has some minimal partial solution which is *reg_eval*:

```
lemma exists_minimal_reg_sol:
    assumes eq_reg: reg_eval eq
    shows \exists sol. reg_eval sol \land partial_min_sol_one_ineq x eq sol \langle proof \rangle
```

end

4.3 Minimal solution of the whole system of equations

In this section we will extend the last section's result to whole systems of reg_eval equations. For this purpose, we will show by induction on r that the first r equations have some minimal partial solution which is reg_eval .

We start with the centerpiece of the induction step: If a reg_eval and minimal partial solution sols exists for the first r equations and furthermore a reg_eval and minimal partial solution sol_r exists for the r-th equation, then there exists a reg_eval and minimal partial solution for the first $Suc\ r$ equations as well.

```
locale min sol induction step =
  fixes r :: nat
   and sys :: 'a \ eq\_sys
   and sols :: nat \Rightarrow 'a \ rlexp
   and sol_r :: 'a \ rlexp
  assumes eqs_reg:
                           \forall eq \in set sys. reg\_eval eq
                          \forall eq \in set \ sys. \ \forall \ x \in vars \ eq. \ x < length \ sys
     and sys_valid:
     and r_valid:
                        r < length sys
     and sols_is_sol: partial_min_sol_ineq_sys r sys sols
     and sols_reg:
                       \forall i. reg\_eval (sols i)
    and sol\_r\_is\_sol: partial\_min\_sol\_one\_ineq\ r\ (subst\_sys\ sols\ sys\ !\ r)\ sol\_r
     and sol\_r\_reg: reg\_eval sol\_r
```

begin

Throughout the proof, a modified system of equations will be occasionally used to simplify the proof; this modified system is obtained by substituting the partial solutions of the first r equations into the original system. Additionally we retrieve a partial solution for the first $Suc\ r$ equations - named sols' - by substituting the partial solution of the r-th equation into the partial solutions of each of the first r equations:

```
abbreviation sys' \equiv subst sys sols sys
abbreviation sols' \equiv \lambda i. \ subst \ (Var(r := sol \ r)) \ (sols \ i)
lemma sols'_r: sols' r = sol_r
  \langle proof \rangle
     The next lemmas show that sols' is still req eval and that it complies
with each of the four conditions defined by the predicate partial min sol ineq sys:
lemma sols'\_reg: \forall i. reg\_eval (sols' i)
  \langle proof \rangle
lemma sols' is sol: solution ineq sys (take (Suc r) sys) sols'
\langle proof \rangle
lemma sols'\_min: \forall sols2 \ v2. \ (\forall x. \ v2 \ x = eval \ (sols2 \ x) \ v2)
                    \land solves ineq sys comm (take (Suc r) sys) v2
                    \longrightarrow (\forall i. \ \Psi \ (eval \ (sols' \ i) \ v2) \subseteq \Psi \ (v2 \ i))
\langle proof \rangle
lemma sols'\_vars\_gt\_r: \forall i \geq Suc \ r. sols' \ i = Var \ i
  \langle proof \rangle
lemma sols'\_vars\_leq\_r: \forall i < Suc \ r. \forall x \in vars \ (sols' \ i). x \geq Suc \ r \land x < length
sus
\langle proof \rangle
```

In summary, sols' is a minimal partial solution of the first $Suc\ r$ equations. This allows us to prove the centerpiece of the induction step in the next lemma, namely that there exists a reg_eval and minimal partial solution for the first $Suc\ r$ equations:

```
 \begin{array}{l} \textbf{lemma} \ sols'\_is\_min\_sol: \ partial\_min\_sol\_ineq\_sys \ (Suc \ r) \ sys \ sols' \\ & \langle proof \rangle \\ \\ \textbf{lemma} \ exists\_min\_sol\_Suc\_r: \\ & \exists \ sols'. \ partial\_min\_sol\_ineq\_sys \ (Suc \ r) \ sys \ sols' \land \ (\forall \ i. \ reg\_eval \ (sols' \ i)) \\ & \langle proof \rangle \\ \end{array}
```

end

Now follows the actual induction proof: For every r, there exists a reg_eval and minimal partial solution of the first r equations. This then implies that

there exists a regular and minimal (non-partial) solution of the whole system:

```
lemma exists_minimal_reg_sol_sys_aux:

assumes eqs_reg: \forall eq \in set sys. reg_eval eq
and sys_valid: \forall eq \in set sys. \forall x \in vars eq. x < length sys
and r_valid: r \leq length sys
shows \exists sols. partial_min_sol_ineq_sys r sys sols \land (\forall i. reg_eval (sols i))
\langle proof\rangle

lemma exists_minimal_reg_sol_sys:
assumes eqs_reg: \forall eq \in set sys. reg_eval eq
and sys_valid: \forall eq \in set sys. \forall x \in vars eq. x < length sys
shows \exists sols. min_sol_ineq_sys_comm sys sols \land (\forall i. regular_lang (sols i))
\langle proof\rangle
```

4.4 Parikh's theorem

Finally we are able to prove Parikh's theorem, i.e. that to each context free language exists a regular language with identical Parikh image:

```
theorem Parikh:
   assumes CFL (TYPE('n)) L
   shows \exists L'. regular\_lang L' \land \Psi L = \Psi L'
\langle proof \rangle

   Corollary: Every context-free language over a single letter is regular.

corollary CFL\_1\_Tm\_regular:
   assumes CFL (TYPE('n)) L and \forall w \in L. set w \subseteq \{a\}
   shows regular\_lang L
\langle proof \rangle

corollary CFG\_1\_Tm\_regular:
   assumes finite\ P\ Tms\ P = \{a\}
   shows regular\_lang\ (Lang\ P\ A)
\langle proof \rangle

no_notation parikh\_img\ (\Psi)
end
```

References

[1] D. L. Pilling. Commutative regular equations and Parikh's theorem. Journal of the London Mathematical Society, s2-6(4):663–666, 1973. https://doi.org/10.1112/jlms/s2-6.4.663.