

A Partition Theorem for the Ordinal ω^ω

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Abstract

The theory of partition relations concerns generalisations of Ramsey's theorem. For any ordinal α , write $\alpha \rightarrow (\alpha, m)^2$ if for each function f from unordered pairs of elements of α into $\{0, 1\}$, either there is a subset $X \subseteq \alpha$ order-isomorphic to α such that $f\{x, y\} = 0$ for all $\{x, y\} \subseteq X$, or there is an m element set $Y \subseteq \alpha$ such that $f\{x, y\} = 1$ for all $\{x, y\} \subseteq Y$. (In both cases, with $\{x, y\}$ we require $x \neq y$.) In particular, the infinite Ramsey theorem can be written in this notation as $\omega \rightarrow (\omega, \omega)^2$, or if we restrict m to the positive integers as above, then $\omega \rightarrow (\omega, m)^2$ for all m [3].

This entry formalises Larson's proof of $\omega^\omega \rightarrow (\omega^\omega, m)^2$ along with a similar proof of a result due to Specker: $\omega^2 \rightarrow (\omega^2, m)^2$. Also proved is a necessary result by Erdős and Milner [1, 2]: $\omega^{1+\alpha \cdot n} \rightarrow (\omega^{1+\alpha}, 2^n)^2$.

These examples demonstrate the use of Isabelle/HOL to formalise advanced results that combine ZF set theory with basic concepts like lists and natural numbers.

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4 Acknowledgements **28**

1 Library additions

```
theory Library-Additions
  imports ZFC-in-HOL.Ordinal-Exp HOL-Library.Ramsey Nash-Williams.Nash-Williams
```

```
begin
```

```
lemma finite-enumerate-Diff-singleton:
  fixes  $S :: 'a::wellorder\ set$ 
  assumes finite  $S$  and  $i: i < card\ S$ 
  shows  $enumerate\ (S - \{x\})\ i = enumerate\ S\ i$ 
  <proof>
```

```
lemma hd-lex:  $[hd\ ms < hd\ ns; length\ ms = length\ ns; ns \neq []] \implies (ms, ns) \in lex\ less-than$ 
  <proof>
```

```
lemma sorted-hd-le:
  assumes sorted  $xs$   $x \in list.set\ xs$ 
  shows  $hd\ xs \leq x$ 
  <proof>
```

```
lemma sorted-le-last:
```

assumes *sorted xs* $x \in \text{list.set } xs$
shows $x \leq \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *hd-list-of*:
assumes *finite A* $A \neq \{\}$
shows $\text{hd } (\text{sorted-list-of-set } A) = \text{Min } A$
 $\langle \text{proof} \rangle$

lemma *sorted-hd-le-last*:
assumes *sorted xs* $xs \neq []$
shows $\text{hd } xs \leq \text{last } xs$
 $\langle \text{proof} \rangle$

lemma *sorted-list-of-set-set-of* [*simp*]: $\text{strict-sorted } l \implies \text{sorted-list-of-set } (\text{list.set } l) = l$
 $\langle \text{proof} \rangle$

lemma *range-strict-mono-ext*:
fixes $f :: \text{nat} \Rightarrow 'a :: \text{linorder}$
assumes $\text{eq} : \text{range } f = \text{range } g$
and $\text{sm} : \text{strict-mono } f \text{ strict-mono } g$
shows $f = g$
 $\langle \text{proof} \rangle$

1.1 Other material

definition *strict-mono-sets* :: $['a :: \text{order set}, 'b :: \text{order set}] \Rightarrow \text{bool}$ **where**
 $\text{strict-mono-sets } A f \equiv \forall x \in A. \forall y \in A. x < y \longrightarrow \text{less-sets } (f x) (f y)$

lemma *strict-mono-setsD*:
assumes $\text{strict-mono-sets } A f$ $x < y$ $x \in A$ $y \in A$
shows $\text{less-sets } (f x) (f y)$
 $\langle \text{proof} \rangle$

lemma *strict-mono-sets-imp-disjoint*:
fixes $A :: 'a :: \text{linorder set}$
assumes $\text{strict-mono-sets } A f$
shows $\text{pairwise } (\lambda x y. \text{disjnt } (f x) (f y)) A$
 $\langle \text{proof} \rangle$

lemma *strict-mono-sets-subset*:
assumes $\text{strict-mono-sets } B f$ $A \subseteq B$
shows $\text{strict-mono-sets } A f$
 $\langle \text{proof} \rangle$

lemma *strict-mono-less-sets-Min*:
assumes $\text{strict-mono-sets } I f$ *finite I* $I \neq \{\}$
shows $\text{less-sets } (f (\text{Min } I)) (\bigcup (f ` (I - \{\text{Min } I\})))$

<proof>

lemma *pair-less-iff1* [*simp*]: $((x,y), (x,z)) \in \text{pair-less} \longleftrightarrow y < z$
<proof>

lemma *infinite-finite-Inter*:
assumes *finite* \mathcal{A} $\mathcal{A} \neq \{\}$ $\bigwedge A. A \in \mathcal{A} \implies \text{infinite } A$
and $\bigwedge A B. \llbracket A \in \mathcal{A}; B \in \mathcal{A} \rrbracket \implies A \cap B \in \mathcal{A}$
shows *infinite* $(\bigcap \mathcal{A})$
<proof>

lemma *atLeast-less-sets*: $\llbracket \text{less-sets } A \{x\}; B \subseteq \{x..\} \rrbracket \implies \text{less-sets } A B$
<proof>

1.2 The list-of function

lemma *sorted-list-of-set-insert-remove-cons*:
assumes *finite* A *less-sets* $\{a\} A$
shows *sorted-list-of-set* (*insert* $a A$) = $a \# \text{sorted-list-of-set } A$
<proof>

lemma *sorted-list-of-set-Un*:
assumes AB : *less-sets* $A B$ **and** *fin*: *finite* A *finite* B
shows *sorted-list-of-set* $(A \cup B)$ = *sorted-list-of-set* $A @ \text{sorted-list-of-set } B$
<proof>

lemma *sorted-list-of-set-UN-lessThan*:
fixes $k::\text{nat}$
assumes *sm*: *strict-mono-sets* $\{..<k\} A$ **and** $\bigwedge i. i < k \implies \text{finite } (A i)$
shows *sorted-list-of-set* $(\bigcup i < k. A i)$ = *concat* (*map* (*sorted-list-of-set* $\circ A$)
(*sorted-list-of-set* $\{..<k\}$))
<proof>

lemma *sorted-list-of-set-UN-atMost*:
fixes $k::\text{nat}$
assumes *strict-mono-sets* $\{..k\} A$ **and** $\bigwedge i. i \leq k \implies \text{finite } (A i)$
shows *sorted-list-of-set* $(\bigcup i \leq k. A i)$ = *concat* (*map* (*sorted-list-of-set* $\circ A$)
(*sorted-list-of-set* $\{..k\}$))
<proof>

1.3 Monotonic enumeration of a countably infinite set

abbreviation *enum* \equiv *enumerate*

Could be generalised to infinite countable sets of any type

lemma *nat-infinite-iff*:
fixes $N :: \text{nat set}$
shows *infinite* $N \longleftrightarrow (\exists f::\text{nat} \Rightarrow \text{nat}. N = \text{range } f \wedge \text{strict-mono } f)$
<proof>

lemma *enum-works*:
fixes $N :: \text{nat set}$
assumes *infinite* N
shows $N = \text{range } (\text{enum } N) \wedge \text{strict-mono } (\text{enum } N)$
 $\langle \text{proof} \rangle$

lemma *range-enum*: $\text{range } (\text{enum } N) = N$ **and** *strict-mono-enum*: *strict-mono* $(\text{enum } N)$
if *infinite* N **for** $N :: \text{nat set}$
 $\langle \text{proof} \rangle$

lemma *enum-0-eq-Inf*:
fixes $N :: \text{nat set}$
assumes *infinite* N
shows $\text{enum } N 0 = \text{Inf } N$
 $\langle \text{proof} \rangle$

lemma *enum-works-finite*:
fixes $N :: \text{nat set}$
assumes *finite* N
shows $N = \text{enum } N \text{ ' } \{..<\text{card } N\} \wedge \text{strict-mono-on } \{..<\text{card } N\} (\text{enum } N)$
 $\langle \text{proof} \rangle$

lemma *enum-obtain-index-finite*:
fixes $N :: \text{nat set}$
assumes $x \in N$ *finite* N
obtains i **where** $i < \text{card } N$ $x = \text{enum } N i$
 $\langle \text{proof} \rangle$

lemma *enum-0-eq-Inf-finite*:
fixes $N :: \text{nat set}$
assumes *finite* N $N \neq \{\}$
shows $\text{enum } N 0 = \text{Inf } N$
 $\langle \text{proof} \rangle$

lemma *greaterThan-less-enum*:
fixes $N :: \text{nat set}$
assumes $N \subseteq \{x<..\}$ *infinite* N
shows $x < \text{enum } N i$
 $\langle \text{proof} \rangle$

lemma *atLeast-le-enum*:
fixes $N :: \text{nat set}$
assumes $N \subseteq \{x..\}$ *infinite* N
shows $x \leq \text{enum } N i$
 $\langle \text{proof} \rangle$

lemma *less-sets-empty1* [*simp*]: *less-sets* $\{\}$ A **and** *less-sets-empty2* [*simp*]: *less-sets* A $\{\}$

<proof>

lemma *less-sets-singleton1* [simp]: *less-sets* {*a*} *A* \longleftrightarrow ($\forall x \in A. a < x$)
and *less-sets-singleton2* [simp]: *less-sets* *A* {*a*} \longleftrightarrow ($\forall x \in A. x < a$)
<proof>

lemma *less-sets-atMost* [simp]: *less-sets* {..*a*} *A* \longleftrightarrow ($\forall x \in A. a < x$)
and *less-sets-atLeast* [simp]: *less-sets* *A* {*a*..} \longleftrightarrow ($\forall x \in A. x < a$)
<proof>

lemma *less-sets-imp-strict-mono-sets*:
assumes $\bigwedge i. \text{less-sets } (A \ i) \ (A \ (\text{Suc } i)) \ \wedge i. i > 0 \implies A \ i \neq \{\}$
shows *strict-mono-sets UNIV A*
<proof>

lemma *less-sets-Suc-Max*:
assumes *finite A*
shows *less-sets A {Suc (Max A)..}*
<proof>

lemma *infinite-nat-greaterThan*:
fixes *m::nat*
assumes *infinite N*
shows *infinite (N \cap {*m*..})*
<proof>

end

2 Ordinal Partitions

Material from Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973. Also from “Partition Relations” by A. Hajnal and J. A. Larson, in *Handbook of Set Theory*, edited by Matthew Foreman and Akihiro Kanamori (Springer, 2010).

theory *Partitions*

imports *Library-Additions ZFC-in-HOL.ZFC-Typeclasses ZFC-in-HOL.Cantor-NF*

begin

abbreviation *tp* :: *V set* \Rightarrow *V*
where *tp A* \equiv *ordertype A VWF*

2.1 Ordinal Partitions: Definitions

definition *partn-1st* :: [*'a* \times *'a*] *set*, *'a set*, *V list*, *nat*] \Rightarrow *bool*
where *partn-1st r B α n* \equiv $\forall f \in [B]^n \rightarrow \{..
 $\exists i < \text{length } \alpha. \exists H. H \subseteq B \wedge \text{ordertype } H \ r = (\alpha!i) \wedge f' (nsets \ H \ n)$
 $\subseteq \{i\}$$

abbreviation *partn- lst -VWF* :: $V \Rightarrow V\ list \Rightarrow nat \Rightarrow bool$
where *partn- lst -VWF* $\beta \equiv partn\text{-}lst\ VWF\ (elts\ \beta)$

lemma *partn- lst -E*:

assumes *partn- lst* $r\ B\ \alpha\ n\ f \in nsets\ B\ n \rightarrow \{..<l\}\ l = length\ \alpha$
obtains $i\ H$ **where** $i < l\ H \subseteq B$
 $ordertype\ H\ r = \alpha!i\ f\ ' (nsets\ H\ n) \subseteq \{i\}$
<proof>

lemma *partn- lst -VWF-nontriv*:

assumes *partn- lst -VWF* $\beta\ \alpha\ n\ l = length\ \alpha\ Ord\ \beta\ l > 0$
obtains i **where** $i < l\ \alpha!i \leq \beta$
<proof>

lemma *partn- lst -triv0*:

assumes $\alpha!i = 0\ i < length\ \alpha\ n \neq 0$
shows *partn- lst* $r\ B\ \alpha\ n$
<proof>

lemma *partn- lst -triv1*:

assumes $\alpha!i \leq 1\ i < length\ \alpha\ n > 1\ B \neq \{\}\ wf\ r$
shows *partn- lst* $r\ B\ \alpha\ n$
<proof>

lemma *partn- lst -two-swap*:

assumes *partn- lst* $r\ B\ [x,y]\ n$ **shows** *partn- lst* $r\ B\ [y,x]\ n$
<proof>

lemma *partn- lst -greater-resource*:

assumes M : *partn- lst* $r\ B\ \alpha\ n$ **and** $B \subseteq C$
shows *partn- lst* $r\ C\ \alpha\ n$
<proof>

lemma *partn- lst -less*:

assumes M : *partn- lst* $r\ B\ \alpha\ n$ **and** eq : $length\ \alpha' = length\ \alpha$ **and** $List.set\ \alpha' \subseteq ON$
and le : $\bigwedge i. i < length\ \alpha \implies \alpha!i \leq \alpha!i$
and r : $wf\ r\ trans\ r\ total\text{-}on\ B\ r$ **and** $small\ B$
shows *partn- lst* $r\ B\ \alpha'\ n$
<proof>

Holds because no n -sets exist!

lemma *partn- lst -VWF-degenerate*:

assumes $k < n$
shows *partn- lst -VWF* $\omega\ (ord\text{-}of\text{-}nat\ k\ \# \alpha\ s)\ n$
<proof>

lemma *partn-lst-VWF- ω -2*:

assumes *Ord* α

shows *partn-lst-VWF* $(\omega \uparrow (1+\alpha)) [2, \omega \uparrow (1+\alpha)] 2$ (**is** *partn-lst-VWF* $? \beta - -$)
<proof>

2.2 Relating partition properties on *VWF* to the general case

Two very similar proofs here!

lemma *partn-lst-imp-partn-lst-VWF-eq*:

assumes *part*: *partn-lst* $r U \alpha n$ **and** β : *ordertype* $U r = \beta$ **and** *small* U

and r : *wf* r *trans* r *total-on* $U r$

shows *partn-lst-VWF* $\beta \alpha n$

<proof>

lemma *partn-lst-imp-partn-lst-VWF*:

assumes *part*: *partn-lst* $r U \alpha n$ **and** β : *ordertype* $U r \leq \beta$ *small* U

and r : *wf* r *trans* r *total-on* $U r$

shows *partn-lst-VWF* $\beta \alpha n$

<proof>

lemma *partn-lst-VWF-imp-partn-lst-eq*:

assumes *part*: *partn-lst-VWF* $\beta \alpha n$ **and** β : *ordertype* $U r = \beta$ *small* U

and r : *wf* r *trans* r *total-on* $U r$

shows *partn-lst* $r U \alpha n$

<proof>

corollary *partn-lst-VWF-imp-partn-lst*:

assumes *partn-lst-VWF* $\beta \alpha n$ **and** β : *ordertype* $U r \geq \beta$ *small* U

wf r *trans* r *total-on* $U r$

shows *partn-lst* $r U \alpha n$

<proof>

2.3 Simple consequences of the definitions

A restatement of the infinite Ramsey theorem using partition notation

lemma *Ramsey-partn*: *partn-lst-VWF* $\omega [\omega, \omega] 2$

<proof>

This is the counterexample sketched in Hajnal and Larson, section 9.1.

proposition *omega-basic-counterexample*:

assumes *Ord* α

shows \neg *partn-lst-VWF* $\alpha [succ (vcard \alpha), \omega] 2$

<proof>

2.4 Specker's theorem

definition *form-split* :: $[nat, nat, nat, nat, nat] \Rightarrow bool$ **where**

form-split $a b c d i \equiv a \leq c \wedge (i=0 \wedge a < b \wedge b < c \wedge c < d \vee$
 $i=1 \wedge a < c \wedge c < b \wedge b < d \vee$

$$i=2 \wedge a < c \wedge c < d \wedge d < b \vee \\ i=3 \wedge a=c \wedge b \neq d)$$

definition *form* :: [(nat**nat*)*set*, *nat*] ⇒ *bool* **where**
form *u* *i* ≡ ∃ *a b c d*. *u* = {(*a,b*),(*c,d*)} ∧ *form-split* *a b c d i*

definition *scheme* :: [(nat**nat*)*set*] ⇒ *nat set* **where**
scheme *u* ≡ *fst* ‘ *u* ∪ *snd* ‘ *u*

definition *UU* :: (nat**nat*) *set*
where *UU* ≡ {(*a,b*). *a* < *b*}

lemma *ordertype-UNIV-ω2*: *ordertype UNIV pair-less* = ω↑2
 ⟨*proof*⟩

lemma *ordertype-UU-ge-ω2*: *ordertype UNIV pair-less* ≤ *ordertype UU pair-less*
 ⟨*proof*⟩

lemma *ordertype-UU-ω2*: *ordertype UU pair-less* = ω↑2
 ⟨*proof*⟩

Lemma 2.3 of Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973.

lemma *lemma-2-3*:

fixes *f* :: (nat × nat) *set* ⇒ *nat*
assumes *f* ∈ [*UU*]² → {..*Suc* (*Suc* 0)}
obtains *N js* **where** *infinite N* **and** ∧*k* *u*. [*k* < 4; *u* ∈ [*UU*]²; *form* *u* *k*; *scheme* *u* ⊆ *N*] ⇒ *f* *u* = *js*!*k*
 ⟨*proof*⟩

Lemma 2.4 of Jean A. Larson, *ibid*.

lemma *lemma-2-4*:

assumes *infinite N* *k* < 4
obtains *M* **where** *M* ∈ [*UU*]^{*m*} ∧ *u*. *u* ∈ [*M*]² ⇒ *form* *u* *k* ∧ *u*. *u* ∈ [*M*]² ⇒ *scheme* *u* ⊆ *N*
 ⟨*proof*⟩

Lemma 2.5 of Jean A. Larson, *ibid*.

lemma *lemma-2-5*:

assumes *infinite N*
obtains *X* **where** *X* ⊆ *UU* *ordertype X pair-less* = ω↑2
 ∧ *u*. *u* ∈ [*X*]² ⇒ (∃ *k* < 4. *form* *u* *k*) ∧ *scheme* *u* ⊆ *N*
 ⟨*proof*⟩

Theorem 2.1 of Jean A. Larson, *ibid*.

lemma *Specker-aux*:

assumes α ∈ *elts* ω
shows *partn-lst pair-less UU* [ω↑2,α] 2
 ⟨*proof*⟩

theorem *Specker*: $\alpha \in \text{elts } \omega \implies \text{partn-lst-VWF } (\omega \uparrow 2) [\omega \uparrow 2, \alpha] 2$
 ⟨*proof*⟩

end

theory *Erdos-Milner*

imports *Partitions*

begin

2.5 Erdos-Milner theorem

P. Erds and E. C. Milner, A Theorem in the Partition Calculus. Canadian Math. Bull. 15:4 (1972), 501-505. Corrigendum, Canadian Math. Bull. 17:2 (1974), 305.

The paper defines strong types as satisfying the criteria below. It remarks that ordinals satisfy those criteria. Here is a (too complicated) proof.

proposition *strong-ordertype-eq*:

assumes $D: D \subseteq \text{elts } \beta$ **and** $\text{Ord } \beta$

obtains L **where** $\bigcup (\text{List.set } L) = D \wedge X. X \in \text{List.set } L \implies \text{indecomposable } (tp\ X)$

and $\bigwedge M. \llbracket M \subseteq D; \bigwedge X. X \in \text{List.set } L \implies tp\ (M \cap X) \geq tp\ X \rrbracket \implies tp\ M = tp\ D$

⟨*proof*⟩

The “remark” of Erds and E. C. Milner, Canad. Math. Bull. Vol. 17 (2), 1974

proposition *indecomposable-imp-Ex-less-sets*:

assumes *indec*: *indecomposable* α **and** $\alpha \geq \omega$

and $A: tp\ A = \alpha$ *small* $A \subseteq ON$

and $x \in A$ **and** $A1: tp\ A1 = \alpha$ $A1 \subseteq A$

obtains $A2$ **where** $tp\ A2 = \alpha$ $A2 \subseteq A1 \setminus \{x\} \ll A2$

⟨*proof*⟩

the main theorem, from which they derive the headline result

theorem *Erdos-Milner-aux*:

assumes *part*: *partn-lst-VWF* $\alpha [k, \gamma] 2$

and *indec*: *indecomposable* α **and** $k > 1$ $\text{Ord } \gamma$ **and** $\beta: \beta \in \text{elts } \omega 1$

shows *partn-lst-VWF* $(\alpha * \beta) [\text{ord-of-nat } (2 * k), \text{min } \gamma (\omega * \beta)] 2$

⟨*proof*⟩

theorem *Erdos-Milner*:

assumes $\nu: \nu \in \text{elts } \omega 1$

shows *partn-lst-VWF* $(\omega \uparrow (1 + \nu * n)) [\text{ord-of-nat } (2 \hat{\sim} n), \omega \uparrow (1 + \nu)] 2$

⟨*proof*⟩

corollary *remark-3: partn-lst-VWF* $(\omega^\uparrow(\text{Suc}(4*k))) [4, \omega^\uparrow(\text{Suc}(2*k))] 2$
 ⟨*proof*⟩

Theorem 3.2 of Jean A. Larson, *ibid.*

corollary *Theorem-3-2:*

fixes $k n :: \text{nat}$

shows *partn-lst-VWF* $(\omega^\uparrow(n*k)) [\omega^\uparrow n, \text{ord-of-nat } k] 2$
 ⟨*proof*⟩

end

3 An ordinal partition theorem by Jean A. Larson

Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω .
Annals of Mathematical Logic, 6:129–145, 1973.

theory *Omega-Omega*

imports *HOL-Library.Product-Lexorder Erdos-Milner*

begin

abbreviation *list-of* \equiv *sorted-list-of-set*

3.1 Cantor normal form for ordinals below $\omega \uparrow \omega$

Unlike *Cantor-sum*, there is no list of ordinal exponents, which are instead taken as consecutive. We obtain an order-isomorphism between $\omega \uparrow \omega$ and increasing lists of natural numbers (ordered lexicographically).

fun *omega-sum-aux* **where**

Nil: omega-sum-aux $0 - = 0$

| *Suc: omega-sum-aux* $(\text{Suc } n) [] = 0$

| *Cons: omega-sum-aux* $(\text{Suc } n) (m\#ms) = (\omega^\uparrow n) * (\text{ord-of-nat } m) + \text{omega-sum-aux } n \ ms$

abbreviation *omega-sum* **where** *omega-sum* $ms \equiv \text{omega-sum-aux } (\text{length } ms) \ ms$

A normal expansion has no leading zeroes

inductive *normal:: nat list* \Rightarrow *bool* **where**

normal-Nil[*iff*]: *normal* $[]$

| *normal-Cons*: $m > 0 \implies \text{normal } (m\#ms)$

inductive-simps *normal-Cons-iff* [*simp*]: *normal* $(m\#ms)$

lemma *omega-sum-0-iff* [*simp*]: *normal* $ns \implies \text{omega-sum } ns = 0 \iff ns = []$

⟨*proof*⟩

lemma *Ord-omega-sum-aux* [*simp*]: *Ord* $(\text{omega-sum-aux } k \ ms)$

<proof>

lemma *Ord-omega-sum: Ord (omega-sum ms)*

<proof>

lemma *omega-sum-less- $\omega\omega$ [intro]: omega-sum ms < $\omega \uparrow \omega$*

<proof>

lemma *omega-sum-aux-less: omega-sum-aux k ms < $\omega \uparrow k$*

<proof>

lemma *omega-sum-less: omega-sum ms < $\omega \uparrow (\text{length ms})$*

<proof>

lemma *omega-sum-ge: $m \neq 0 \implies \omega \uparrow (\text{length ms}) \leq \text{omega-sum } (m\#ms)$*

<proof>

lemma *omega-sum-length-less:*

assumes *normal ns length ms < length ns*

shows *omega-sum ms < omega-sum ns*

<proof>

lemma *omega-sum-length-leD:*

assumes *omega-sum ms \leq omega-sum ns normal ms*

shows *length ms \leq length ns*

<proof>

lemma *omega-sum-less-eqlen-iff-cases [simp]:*

assumes *length ms = length ns*

shows *omega-sum (m#ms) < omega-sum (n#ns) $\longleftrightarrow m < n \vee m = n \wedge \text{omega-sum } ms < \text{omega-sum } ns$*

<proof>

lemma *omega-sum-less-iff-cases:*

assumes *$m > 0 \ n > 0$*

shows *omega-sum (m#ms) < omega-sum (n#ns)*

\longleftrightarrow *length ms < length ns*

\vee *length ms = length ns \wedge $m < n$*

\vee *length ms = length ns \wedge $m = n \wedge \text{omega-sum } ms < \text{omega-sum } ns$*

<proof>

lemma *omega-sum-less-iff:*

*((length ms, omega-sum ms), (length ns, omega-sum ns)) \in less-than $\langle *lex* \rangle$*
VWF

\longleftrightarrow *(ms, ns) \in lenlex less-than*

<proof>

lemma *eq-omega-sum-less-iff:*

assumes *length ms = length ns*

shows $(\text{omega-sum } ms, \text{omega-sum } ns) \in VWF \longleftrightarrow (ms, ns) \in \text{lenlex less-than}$
 ⟨proof⟩

lemma *eq-omega-sum-eq-iff*:
assumes $\text{length } ms = \text{length } ns$
shows $\text{omega-sum } ms = \text{omega-sum } ns \longleftrightarrow ms=ns$
 ⟨proof⟩

lemma *inj-omega-sum*: $\text{inj-on } \text{omega-sum } \{l. \text{length } l = n\}$
 ⟨proof⟩

lemma *Ex-omega-sum*: $\gamma \in \text{elts } (\omega \uparrow n) \implies \exists ns. \gamma = \text{omega-sum } ns \wedge \text{length } ns = n$
 ⟨proof⟩

lemma *omega-sum-drop [simp]*: $\text{omega-sum } (\text{dropWhile } (\lambda n. n=0) ns) = \text{omega-sum } ns$
 ⟨proof⟩

lemma *normal-drop [simp]*: $\text{normal } (\text{dropWhile } (\lambda n. n=0) ns)$
 ⟨proof⟩

lemma *omega-sum- $\omega\omega$* :
assumes $\gamma \in \text{elts } (\omega \uparrow \omega)$
obtains ns **where** $\gamma = \text{omega-sum } ns$ *normal* ns
 ⟨proof⟩

definition *Cantor- $\omega\omega$* :: $V \Rightarrow \text{nat list}$
where $\text{Cantor-}\omega\omega \equiv \lambda x. \text{SOME } ns. x = \text{omega-sum } ns \wedge \text{normal } ns$

lemma
assumes $\gamma \in \text{elts } (\omega \uparrow \omega)$
shows *Cantor- $\omega\omega$* : $\text{omega-sum } (\text{Cantor-}\omega\omega \ \gamma) = \gamma$
and *normal-Cantor- $\omega\omega$* : $\text{normal } (\text{Cantor-}\omega\omega \ \gamma)$
 ⟨proof⟩

3.2 Larson's set $W(n)$

definition *WW* :: nat list set
where $WW \equiv \{l. \text{strict-sorted } l\}$

fun *into-WW* :: $\text{nat} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$ **where**
 $\text{into-WW } k \ [] = []$
 $|\ \text{into-WW } k \ (n\#\text{ns}) = (k+n) \# \text{into-WW } (\text{Suc } (k+n)) \ ns$

fun *from-WW* :: $\text{nat} \Rightarrow \text{nat list} \Rightarrow \text{nat list}$ **where**
 $\text{from-WW } k \ [] = []$
 $|\ \text{from-WW } k \ (n\#\text{ns}) = (n - k) \# \text{from-WW } (\text{Suc } n) \ ns$

lemma *from-into-WW* [simp]: $\text{from-WW } k \ (\text{into-WW } k \ ns) = ns$
(proof)

lemma *inj-into-WW*: $\text{inj} \ (\text{into-WW } k)$
(proof)

lemma *into-from-WW-aux*:
[[*strict-sorted* ns ; $\forall n \in \text{list.set } ns. k \leq n$]] $\implies \text{into-WW } k \ (\text{from-WW } k \ ns) = ns$
(proof)

lemma *into-from-WW* [simp]: $\text{strict-sorted } ns \implies \text{into-WW } 0 \ (\text{from-WW } 0 \ ns) = ns$
(proof)

lemma *into-WW-imp-ge*: $y \in \text{List.set} \ (\text{into-WW } x \ ns) \implies x \leq y$
(proof)

lemma *strict-sorted-into-WW*: $\text{strict-sorted} \ (\text{into-WW } x \ ns)$
(proof)

lemma *length-into-WW*: $\text{length} \ (\text{into-WW } x \ ns) = \text{length } ns$
(proof)

lemma *WW-eq-range-into*: $WW = \text{range} \ (\text{into-WW } 0)$
(proof)

lemma *into-WW-lenlex-iff*: $(\text{into-WW } k \ ms, \text{into-WW } k \ ns) \in \text{lenlex less-than} \iff (ms, ns) \in \text{lenlex less-than}$
(proof)

lemma *wf-llt* [simp]: $\text{wf} \ (\text{lenlex less-than})$ **and** *trans-llt* [simp]: $\text{trans} \ (\text{lenlex less-than})$
(proof)

lemma *total-llt* [simp]: $\text{total-on } A \ (\text{lenlex less-than})$
(proof)

lemma *omega-sum-1-less*:
assumes $(ms, ns) \in \text{lenlex less-than}$ **shows** $\text{omega-sum} \ (1 \# ms) < \text{omega-sum} \ (1 \# ns)$
(proof)

lemma *ordertype-WW-1*: $\text{ordertype } WW \ (\text{lenlex less-than}) \leq \text{ordertype } UNIV \ (\text{lenlex less-than})$
(proof)

lemma *ordertype-WW-2*: $\text{ordertype } UNIV \ (\text{lenlex less-than}) \leq \omega \uparrow \omega$
(proof)

lemma *ordertype-WW-3*: $\omega \uparrow \omega \leq \text{ordertype } WW \ (\text{lenlex less-than})$

<proof>

lemma *ordertype-WW*: *ordertype WW (lenlex less-than) = $\omega \uparrow \omega$*
and *ordertype-UNIV- $\omega\omega$* : *ordertype UNIV (lenlex less-than) = $\omega \uparrow \omega$*
<proof>

lemma *ordertype- $\omega\omega$* :
fixes *F :: nat \Rightarrow nat list set*
assumes $\bigwedge j::nat. \text{ordertype } (F\ j) \text{ (lenlex less-than) = } \omega \uparrow j$
shows *ordertype ($\bigcup j. F\ j$) (lenlex less-than) = $\omega \uparrow \omega$*
<proof>

definition *WW-seg :: nat \Rightarrow nat list set*
where *WW-seg n \equiv {l \in WW. length l = n}*

lemma *WW-seg-subset-WW*: *WW-seg n \subseteq WW*
<proof>

lemma *WW-eq-UN-WW-seg*: *WW = ($\bigcup n. WW\text{-seg } n$)*
<proof>

lemma *ordertype-list-seg*: *ordertype {l. length l = n} (lenlex less-than) = $\omega \uparrow n$*
<proof>

lemma *ordertype-WW-seg*: *ordertype (WW-seg n) (lenlex less-than) = $\omega \uparrow n$*
(is *ordertype ?W ?R = $\omega \uparrow n$)*
<proof>

3.3 Definitions required for the lemmas

3.3.1 Larson's "<"-relation on ordered lists

instantiation *list :: (ord)ord*
begin

definition *xs < ys \equiv xs \neq [] \wedge ys \neq [] \longrightarrow last xs < hd ys* **for** *xs ys :: 'a list*

definition *xs \leq ys \equiv xs < ys \vee xs = ys* **for** *xs ys :: 'a list*

instance
<proof>

end

lemma *less-Nil [simp]*: *xs < [] \wedge [] < xs*
<proof>

lemma *less-sets-imp-list-less*:

assumes $list.set\ xs \ll list.set\ ys$
shows $xs < ys$
 $\langle proof \rangle$

lemma *less-sets-imp-sorted-list-of-set*:
assumes $A \ll B$ *finite A finite B*
shows $list-of\ A < list-of\ B$
 $\langle proof \rangle$

lemma *sorted-list-of-set-imp-less-sets*:
assumes $xs < ys$ *sorted xs sorted ys*
shows $list.set\ xs \ll list.set\ ys$
 $\langle proof \rangle$

lemma *less-list-iff-less-sets*:
assumes *sorted xs sorted ys*
shows $xs < ys \longleftrightarrow list.set\ xs \ll list.set\ ys$
 $\langle proof \rangle$

lemma *strict-sorted-append-iff*:
 $strict-sorted\ (xs\ @\ ys) \longleftrightarrow xs < ys \wedge strict-sorted\ xs \wedge strict-sorted\ ys$
 $\langle proof \rangle$

lemma *singleton-less-list-iff*: $sorted\ xs \implies [n] < xs \longleftrightarrow \{..n\} \cap list.set\ xs = \{\}$
 $\langle proof \rangle$

lemma *less-hd-imp-less*: $xs < [hd\ ys] \implies xs < ys$
 $\langle proof \rangle$

lemma *strict-sorted-concat-I*:
assumes $\bigwedge x. x \in list.set\ xs \implies strict-sorted\ x$
 $\bigwedge n. Suc\ n < length\ xs \implies xs!n < xs!Suc\ n$
 $xs \in lists\ (-\ \{\}\}$
shows $strict-sorted\ (concat\ xs)$
 $\langle proof \rangle$

3.4 Nash Williams for lists

3.4.1 Thin sets of lists

inductive *initial-segment* :: $'a\ list \Rightarrow 'a\ list \Rightarrow bool$
where *initial-segment xs (xs@ys)*

definition *thin* :: $'a\ list\ set \Rightarrow bool$
where $thin\ A \equiv \neg (\exists x\ y. x \in A \wedge y \in A \wedge x \neq y \wedge initial-segment\ x\ y)$

lemma *initial-segment-ne*:
assumes $initial-segment\ xs\ ys\ xs \neq []$
shows $ys \neq [] \wedge hd\ ys = hd\ xs$
 $\langle proof \rangle$

lemma *take-initial-segment*:

assumes *initial-segment xs ys k ≤ length xs*

shows *take k xs = take k ys*

<proof>

lemma *initial-segment-length-eq*:

assumes *initial-segment xs ys length xs = length ys*

shows *xs = ys*

<proof>

lemma *initial-segment-Nil [simp]: initial-segment [] ys*

<proof>

lemma *initial-segment-Cons [simp]: initial-segment (x#xs) (y#ys) ↔ x=y ∧ initial-segment xs ys*

<proof>

lemma *init-segment-iff-initial-segment*:

assumes *strict-sorted xs strict-sorted ys*

shows *init-segment (list.set xs) (list.set ys) ↔ initial-segment xs ys (is ?lhs = ?rhs)*

<proof>

theorem *Nash-Williams-WW*:

fixes *h :: nat list ⇒ nat*

assumes *infinite M and h: h ‘ {l ∈ A. List.set l ⊆ M} ⊆ {..<2} and thin A A ⊆ WW*

obtains *i N where i < 2 infinite N N ⊆ M h ‘ {l ∈ A. List.set l ⊆ N} ⊆ {i}*

<proof>

3.5 Specialised functions on lists

lemma *mem-lists-non-Nil: xss ∈ lists (– {[]}) ↔ (∀ x ∈ list.set xss. x ≠ [])*

<proof>

fun *acc-lengths :: nat ⇒ 'a list list ⇒ nat list*

where *acc-lengths acc [] = []*

| acc-lengths acc (l#ls) = (acc + length l) # acc-lengths (acc + length l) ls

lemma *length-acc-lengths [simp]: length (acc-lengths acc ls) = length ls*

<proof>

lemma *acc-lengths-eq-Nil-iff [simp]: acc-lengths acc ls = [] ↔ ls = []*

<proof>

lemma *set-acc-lengths*:

assumes *ls ∈ lists (– {[]}) shows list.set (acc-lengths acc ls) ⊆ {acc<..}*

<proof>

Useful because *acc-lengths.simps* will sometimes be deleted from the simpset.

lemma *hd-acc-lengths* [*simp*]: $hd (acc-lengths\ acc\ (l\#\!ls)) = acc + length\ l$
 ⟨*proof*⟩

lemma *last-acc-lengths* [*simp*]:
 $ls \neq [] \implies last (acc-lengths\ acc\ ls) = acc + sum-list (map\ length\ ls)$
 ⟨*proof*⟩

lemma *nth-acc-lengths* [*simp*]:
 $\llbracket ls \neq []; k < length\ ls \rrbracket \implies acc-lengths\ acc\ ls\ !\ k = acc + sum-list (map\ length\ (take\ (Suc\ k)\ ls))$
 ⟨*proof*⟩

lemma *acc-lengths-plus*: $acc-lengths\ (m+n)\ as = map\ ((+)\ m)\ (acc-lengths\ n\ as)$
 ⟨*proof*⟩

lemma *acc-lengths-shift*: *NO-MATCH* $0\ acc \implies acc-lengths\ acc\ as = map\ ((+)\ acc)\ (acc-lengths\ 0\ as)$
 ⟨*proof*⟩

lemma *length-concat-acc-lengths*:
 $ls \neq [] \implies k + length (concat\ ls) \in list.set (acc-lengths\ k\ ls)$
 ⟨*proof*⟩

lemma *strict-sorted-acc-lengths*:
assumes $ls \in lists\ (-\ \{\!\!\}\! \}$ **shows** *strict-sorted* $(acc-lengths\ acc\ ls)$
 ⟨*proof*⟩

lemma *acc-lengths-append*:
 $acc-lengths\ acc\ (xs\ @\ ys)$
 $= acc-lengths\ acc\ xs\ @\ acc-lengths\ (acc + sum-list (map\ length\ xs))\ ys$
 ⟨*proof*⟩

lemma *length-concat-ge*:
assumes $as \in lists\ (-\ \{\!\!\}\! \}$
shows $length (concat\ as) \geq length\ as$
 ⟨*proof*⟩

fun *interact* :: 'a list list \Rightarrow 'a list list \Rightarrow 'a list
where
 $interact\ []\ ys = concat\ ys$
 $| interact\ xs\ [] = concat\ xs$
 $| interact\ (x\#\!xs)\ (y\#\!ys) = x\ @\ y\ @\ interact\ xs\ ys$

lemma (**in** *monoid-add*) *length-interact*:
 $length (interact\ xs\ ys) = sum-list (map\ length\ xs) + sum-list (map\ length\ ys)$

<proof>

lemma *length-interact-ge*:

assumes $xs \in \text{lists } (- \{\{\}\})$ $ys \in \text{lists } (- \{\{\}\})$

shows $\text{length } (\text{interact } xs \ ys) \geq \text{length } xs + \text{length } ys$

<proof>

lemma *set-interact [simp]*:

shows $\text{list.set } (\text{interact } xs \ ys) = \text{list.set } (\text{concat } xs) \cup \text{list.set } (\text{concat } ys)$

<proof>

lemma *interact-eq-Nil-iff [simp]*:

assumes $xs \in \text{lists } (- \{\{\}\})$ $ys \in \text{lists } (- \{\{\}\})$

shows $\text{interact } xs \ ys = [] \iff xs=[] \wedge ys=[]$

<proof>

lemma *interact-sing [simp]*: $\text{interact } [x] \ ys = x \ @ \ \text{concat } ys$

<proof>

lemma *hd-interact*: $[[xs \neq []; \text{hd } xs \neq []]] \implies \text{hd } (\text{interact } xs \ ys) = \text{hd } (\text{hd } xs)$

<proof>

lemma *acc-lengths-concat-injective*:

assumes $\text{concat } as' = \text{concat } as$ $\text{acc-lengths } n \ as' = \text{acc-lengths } n \ as$

shows $as' = as$

<proof>

lemma *acc-lengths-interact-injective*:

assumes $\text{interact } as' \ bs' = \text{interact } as \ bs$ $\text{acc-lengths } a \ as' = \text{acc-lengths } a \ as$
 $\text{acc-lengths } b \ bs' = \text{acc-lengths } b \ bs$

shows $as' = as \wedge bs' = bs$

<proof>

lemma *strict-sorted-interact-I*:

assumes $\text{length } ys \leq \text{length } xs$ $\text{length } xs \leq \text{Suc } (\text{length } ys)$

$\bigwedge x. x \in \text{list.set } xs \implies \text{strict-sorted } x$

$\bigwedge y. y \in \text{list.set } ys \implies \text{strict-sorted } y$

$\bigwedge n. n < \text{length } ys \implies xs!n < ys!n$

$\bigwedge n. \text{Suc } n < \text{length } xs \implies ys!n < xs!\text{Suc } n$

assumes $xs \in \text{lists } (- \{\{\}\})$ $ys \in \text{lists } (- \{\{\}\})$

shows $\text{strict-sorted } (\text{interact } xs \ ys)$

<proof>

3.6 Forms and interactions

3.6.1 Forms

inductive *Form-Body* :: $[\text{nat}, \text{nat}, \text{nat list}, \text{nat list}, \text{nat list}] \Rightarrow \text{bool}$

where *Form-Body* $ka \ kb \ xs \ ys \ zs$

if $\text{length } xs < \text{length } ys$ $xs = \text{concat } (a\#as)$ $ys = \text{concat } (b\#bs)$
 $a\#as \in \text{lists } (- \{\emptyset\})$ $b\#bs \in \text{lists } (- \{\emptyset\})$
 $\text{length } (a\#as) = ka$ $\text{length } (b\#bs) = kb$
 $c = \text{acc-lengths } 0 (a\#as)$
 $d = \text{acc-lengths } 0 (b\#bs)$
 $zs = \text{concat } [c, a, d, b]$ @ *interact as bs*
strict-sorted zs

inductive $\text{Form} :: [\text{nat}, \text{nat list set}] \Rightarrow \text{bool}$
where $\text{Form } 0 \{xs,ys\}$ **if** $\text{length } xs = \text{length } ys$ $xs \neq ys$
| $\text{Form } (2*k-1) \{xs,ys\}$ **if** $\text{Form-Body } k k xs ys zs k > 0$
| $\text{Form } (2*k) \{xs,ys\}$ **if** $\text{Form-Body } (\text{Suc } k) k xs ys zs k > 0$

inductive-cases $\text{Form-0-cases-raw}: \text{Form } 0 u$

lemma *Form-elim-upair*:
assumes $\text{Form } l U$
obtains $xs \ ys$ **where** $xs \neq ys$ $U = \{xs,ys\}$ $\text{length } xs \leq \text{length } ys$
<proof>

lemma **assumes** $\text{Form-Body } ka kb xs ys zs$
shows $\text{Form-Body-WW}: zs \in WW$
and $\text{Form-Body-nonempty}: \text{length } zs > 0$
and $\text{Form-Body-length}: \text{length } xs < \text{length } ys$
<proof>

lemma *form-cases*:
fixes $l::\text{nat}$
obtains $(\text{zero}) l = 0$ | $(\text{nz}) ka kb$ **where** $l = ka+kb - 1$ $0 < kb$ $kb \leq ka$ $ka \leq \text{Suc } kb$
<proof>

3.6.2 Interactions

lemma *interact*:
assumes $\text{Form } l U l > 0$
obtains $ka kb xs ys zs$ **where** $l = ka+kb - 1$ $U = \{xs,ys\}$ $\text{Form-Body } ka kb xs ys zs$ $0 < kb$ $kb \leq ka$ $ka \leq \text{Suc } kb$
<proof>

definition *inter-scheme* :: $\text{nat} \Rightarrow \text{nat list set} \Rightarrow \text{nat list}$
where $\text{inter-scheme } l U \equiv$
 $\text{SOME } zs. \exists k xs ys. U = \{xs,ys\} \wedge$
 $(l = 2*k-1 \wedge \text{Form-Body } k k xs ys zs \vee l = 2*k \wedge \text{Form-Body } (\text{Suc } k) k xs ys zs)$

lemma *inter-scheme*:

assumes $Form\ l\ U\ l > 0$

obtains $ka\ kb\ xs\ ys$ **where** $l = ka + kb - 1\ U = \{xs, ys\}$ *Form-Body* $ka\ kb\ xs\ ys$
(*inter-scheme* $l\ U$) $0 < kb\ kb \leq ka\ ka \leq Suc\ kb$
(*proof*)

lemma *inter-scheme-strict-sorted*:

assumes $Form\ l\ U\ l > 0$

shows *strict-sorted* (*inter-scheme* $l\ U$)

(*proof*)

lemma *inter-scheme-simple*:

assumes $Form\ l\ U\ l > 0$

shows *inter-scheme* $l\ U \in WW \wedge length\ (inter-scheme\ l\ U) > 0$

(*proof*)

3.6.3 Injectivity of interactions

proposition *inter-scheme-injective*:

assumes $Form\ l\ U\ Form\ l\ U'\ l > 0$ **and** $eq:\ inter-scheme\ l\ U' = inter-scheme\ l\ U$

shows $U' = U$

(*proof*)

lemma *strict-sorted-interact-imp-concat*:

$strict-sorted\ (interact\ as\ bs) \implies strict-sorted\ (concat\ as) \wedge strict-sorted\ (concat\ bs)$

(*proof*)

lemma *strict-sorted-interact-hd*:

$[strict-sorted\ (interact\ cs\ ds); cs \neq []; ds \neq []; hd\ cs \neq []; hd\ ds \neq []]$
 $\implies hd\ (hd\ cs) < hd\ (hd\ ds)$

(*proof*)

the lengths of the two lists can differ by one

proposition *interaction-scheme-unique-aux*:

assumes $concat\ as = concat\ as'$ **and** $ys': concat\ bs = concat\ bs'$

and $as \in lists\ (-\ \{\})\ bs \in lists\ (-\ \{\})$

and *strict-sorted* (*interact* $as\ bs$)

and $length\ bs \leq length\ as\ length\ as \leq Suc\ (length\ bs)$

and $as' \in lists\ (-\ \{\})\ bs' \in lists\ (-\ \{\})$

and *strict-sorted* (*interact* $as'\ bs'$)

and $length\ bs' \leq length\ as'\ length\ as' \leq Suc\ (length\ bs')$

and $length\ as = length\ as'\ length\ bs = length\ bs'$

shows $as = as' \wedge bs = bs'$

(*proof*)

proposition *Form-Body-unique:*

assumes *Form-Body* $ka\ kb\ xs\ ys\ zs$ *Form-Body* $ka\ kb\ xs\ ys\ zs'$ **and** $kb \leq ka$ $ka \leq Suc\ kb$
shows $zs' = zs$
<proof>

lemma *Form-Body-imp-inter-scheme:*

assumes *FB: Form-Body* $ka\ kb\ xs\ ys\ zs$ **and** $0 < kb$ $kb \leq ka$ $ka \leq Suc\ kb$
shows $zs = inter\ scheme\ ((ka+kb) - Suc\ 0)\ \{xs,ys\}$
<proof>

3.7 For Lemma 3.8 AND PROBABLY 3.7

definition $grab :: nat\ set \Rightarrow nat \Rightarrow nat\ set \times nat\ set$

where $grab\ N\ n \equiv (N \cap enumerate\ N\ '\{..<n\}, N \cap \{enumerate\ N\ n.. \})$

lemma *grab-0 [simp]:* $grab\ N\ 0 = (\{\}, N)$
<proof>

lemma *less-sets-grab:*

$infinite\ N \Longrightarrow fst\ (grab\ N\ n) \ll snd\ (grab\ N\ n)$
<proof>

lemma *finite-grab [iff]:* $finite\ (fst\ (grab\ N\ n))$
<proof>

lemma *card-grab [simp]:*

assumes $infinite\ N$ **shows** $card\ (fst\ (grab\ N\ n)) = n$
<proof>

lemma *fst-grab-subset:* $fst\ (grab\ N\ n) \subseteq N$
<proof>

lemma *snd-grab-subset:* $snd\ (grab\ N\ n) \subseteq N$
<proof>

lemma *grab-Un-eq:*

assumes $infinite\ N$ **shows** $fst\ (grab\ N\ n) \cup snd\ (grab\ N\ n) = N$
<proof>

lemma *finite-grab-iff [simp]:* $finite\ (snd\ (grab\ N\ n)) \longleftrightarrow finite\ N$
<proof>

lemma *grab-eqD:*

$\llbracket grab\ N\ n = (A,M); infinite\ N \rrbracket$
 $\Longrightarrow A \ll M \wedge finite\ A \wedge card\ A = n \wedge infinite\ M \wedge A \subseteq N \wedge M \subseteq N$
<proof>

lemma *less-sets-fst-grab*: $A \ll N \implies A \ll \text{fst } (\text{grab } N \ n)$
 ⟨proof⟩

Possibly redundant, given *grab*

definition *next* **where** $\text{next} \equiv \lambda N. \lambda n::\text{nat}. N \cap \{n<..\}$

lemma *infinite-nextN*: $\text{infinite } N \implies \text{infinite } (\text{next } N \ n)$
 ⟨proof⟩

lemma *next-subset*: $\text{next } N \ n \subseteq N$
 ⟨proof⟩

lemma *next-subset-greaterThan*: $m \leq n \implies \text{next } N \ n \subseteq \{m<..\}$
 ⟨proof⟩

lemma *next-subset-atLeast*: $m \leq n \implies \text{next } N \ n \subseteq \{m..\}$
 ⟨proof⟩

lemma *enum-next-ge*: $\text{infinite } N \implies a \leq \text{enum } (\text{next } N \ a) \ n$
 ⟨proof⟩

lemma *inj-enum-next*: $\text{infinite } N \implies \text{inj-on } (\text{enum } (\text{next } N \ a)) \ A$
 ⟨proof⟩

3.8 Larson's Lemma 3.11

Again from Jean A. Larson, A short proof of a partition theorem for the ordinal ω^ω . *Annals of Mathematical Logic*, 6:129–145, 1973.

lemma *lemma-3-11*:
assumes $l > 0$
shows *thin* (*inter-scheme* $l \ ' \ \{U. \text{Form } l \ U\}$)
 ⟨proof⟩

3.9 Larson's Lemma 3.6

proposition *lemma-3-6*:
fixes $g :: \text{nat list set} \Rightarrow \text{nat}$
assumes $g: g \in [WW]^2 \rightarrow \{0,1\}$
obtains $N \ j$ **where** *infinite* N
and $\bigwedge k \ u. \llbracket k > 0; u \in [WW]^2; \text{Form } k \ u; [\text{enum } N \ k] < \text{inter-scheme } k \ u; \text{List.set } (\text{inter-scheme } k \ u) \subseteq N \rrbracket \implies g \ u = j \ k$
 ⟨proof⟩

3.10 Larson's Lemma 3.7

3.10.1 Preliminaries

Analogous to *ordered-nsets-2-eq*, but without type classes

lemma *total-order-nsets-2-eq*:

assumes *tot*: *total-on A r* **and** *irr*: *irrefl r*

shows $nsets\ A\ 2 = \{\{x,y\} \mid x\ y.\ x \in A \wedge y \in A \wedge (x,y) \in r\}$

(**is** - = ?*rhs*)

<proof>

lemma *lenlex-nsets-2-eq*: $nsets\ A\ 2 = \{\{x,y\} \mid x\ y.\ x \in A \wedge y \in A \wedge (x,y) \in lenlex\ less-than\}$

<proof>

lemma *sum-sorted-list-of-set-map*: $finite\ I \implies sum-list\ (map\ f\ (list-of\ I)) = sum\ f\ I$

<proof>

lemma *sorted-list-of-set-UN-eq-concat*:

assumes *I*: *strict-mono-sets I f finite I* **and** *fin*: $\bigwedge i.\ finite\ (f\ i)$

shows $list-of\ (\bigcup i \in I.\ f\ i) = concat\ (map\ (list-of\ \circ\ f)\ (list-of\ I))$

<proof>

3.10.2 Lemma 3.7 of Jean A. Larson, *ibid.*

proposition *lemma-3-7*:

assumes *infinite N l > 0*

obtains *M* **where** $M \in [WW]^m$

$\bigwedge U.\ U \in [M]^2 \implies Form\ l\ U \wedge List.set\ (inter-scheme\ l\ U) \subseteq N$

<proof>

3.11 Larson's Lemma 3.8

3.11.1 Primitives needed for the inductive construction of *b*

definition *IJ* **where** $IJ \equiv \lambda k.\ Sigma\ \{..k\}\ (\lambda j::nat.\ \{..<j\})$

lemma *IJ-iff*: $u \in IJ\ k \longleftrightarrow (\exists j\ i.\ u = (j,i) \wedge i < j \wedge j \leq k)$

<proof>

lemma *finite-IJ*: $finite\ (IJ\ k)$

<proof>

fun *prev* **where**

prev 0 0 = None

| *prev (Suc 0) 0 = None*

| *prev (Suc j) 0 = Some (j, j - Suc 0)*

| *prev j (Suc i) = Some (j,i)*

lemma *prev-eq-None-iff*: $prev\ j\ i = None \longleftrightarrow j \leq Suc\ 0 \wedge i = 0$

<proof>

lemma *prev-pair-less*:

$prev\ j\ i = Some\ ji' \implies (ji', (j,i)) \in pair-less$
 ⟨proof⟩

lemma *prev-Some-less*: $\llbracket prev\ j\ i = Some\ (j',i');\ i \leq j \rrbracket \implies i' < j'$
 ⟨proof⟩

lemma *prev-maximal*:
 $\llbracket prev\ j\ i = Some\ (j',i');\ (ji'', (j,i)) \in pair-less;\ ji'' \in IJ\ k \rrbracket$
 $\implies (ji'', (j',i')) \in pair-less \vee ji'' = (j',i')$
 ⟨proof⟩

lemma *pair-less-prev*:
 assumes $(u, (j,i)) \in pair-less\ u \in IJ\ k$
 shows $prev\ j\ i = Some\ u \vee (\exists x. (u, x) \in pair-less \wedge prev\ j\ i = Some\ x)$
 ⟨proof⟩

3.11.2 Special primitives for the ordertype proof

definition *USigma* :: $'a\ set\ set \Rightarrow ('a\ set \Rightarrow 'a\ set) \Rightarrow 'a\ set\ set$
 where $USigma\ A\ B \equiv \bigcup X \in A. \bigcup y \in B\ X. \{insert\ y\ X\}$

definition *usplit*
 where $usplit\ f\ A \equiv f\ (A - \{Max\ A\})\ (Max\ A)$

lemma *USigma-empty* [*simp*]: $USigma\ \{\}\ B = \{\}$
 ⟨proof⟩

lemma *USigma-iff*:
 assumes $\bigwedge i\ j. I \in \mathcal{I} \implies I \ll J\ I \wedge finite\ I$
 shows $x \in USigma\ \mathcal{I}\ J \iff usplit\ (\lambda I\ j. I \in \mathcal{I} \wedge j \in J\ I \wedge x = insert\ j\ I)\ x$
 ⟨proof⟩

proposition *ordertype-append-image-IJ*:
 assumes $lenB\ [simp]: \bigwedge i\ j. i \in \mathcal{I} \implies j \in J\ i \implies length\ (B\ j) = c$
 and $AB: \bigwedge i\ j. i \in \mathcal{I} \implies j \in J\ i \implies A\ i < B\ j$
 and $IJ: \bigwedge i. i \in \mathcal{I} \implies i \ll J\ i \wedge finite\ i$
 and $\beta: \bigwedge i. i \in \mathcal{I} \implies ordertype\ (B\ 'J\ i)\ (lenlex\ less-than) = \beta$
 and $A: inj-on\ A\ \mathcal{I}$
 shows $ordertype\ (usplit\ (\lambda i\ j. A\ i @ B\ j)\ 'USigma\ \mathcal{I}\ J)\ (lenlex\ less-than)$
 $= \beta * ordertype\ (A\ ' \mathcal{I})\ (lenlex\ less-than)$
 (is $ordertype\ ?AB\ ?R = - * ?\alpha$)
 ⟨proof⟩

3.11.3 The final part of 3.8, where two sequences are merged

inductive *merge* :: $[nat\ list\ list, nat\ list\ list, nat\ list\ list, nat\ list\ list] \Rightarrow bool$
 where $NullNull: merge\ []\ []\ []\ []$
 | $Null: as \neq [] \implies merge\ as\ []\ [concat\ as]\ []$
 | $App: [as1 \neq []; bs1 \neq [];$

$concat\ as1 < concat\ bs1; concat\ bs1 < concat\ as2; merge\ as2\ bs2\ as$
 $bs]$
 $\implies merge\ (as1@as2)\ (bs1@bs2)\ (concat\ as1\ \#)\ as)\ (concat\ bs1\ \#)\ bs)$

inductive-simps *Null1* [*simp*]: $merge\ []\ bs\ us\ vs$

inductive-simps *Null2* [*simp*]: $merge\ as\ []\ us\ vs$

lemma *merge-single*:

$\llbracket concat\ as < concat\ bs; concat\ as \neq []; concat\ bs \neq [] \rrbracket \implies merge\ as\ bs\ [concat$
 $as]\ [concat\ bs]$
 $\langle proof \rangle$

lemma *merge-length1-nonempty*:

assumes $merge\ as\ bs\ us\ vs\ as \in lists\ (-\ \{\}\}$

shows $us \in lists\ (-\ \{\}\}$

$\langle proof \rangle$

lemma *merge-length2-nonempty*:

assumes $merge\ as\ bs\ us\ vs\ bs \in lists\ (-\ \{\}\}$

shows $vs \in lists\ (-\ \{\}\}$

$\langle proof \rangle$

lemma *merge-length1-gt-0*:

assumes $merge\ as\ bs\ us\ vs\ as \neq []$

shows $length\ us > 0$

$\langle proof \rangle$

lemma *merge-length-le*:

assumes $merge\ as\ bs\ us\ vs$

shows $length\ vs \leq length\ us$

$\langle proof \rangle$

lemma *merge-length-le-Suc*:

assumes $merge\ as\ bs\ us\ vs$

shows $length\ us \leq Suc\ (length\ vs)$

$\langle proof \rangle$

lemma *merge-length-less2*:

assumes $merge\ as\ bs\ us\ vs$

shows $length\ vs \leq length\ as$

$\langle proof \rangle$

lemma *merge-preserves*:

assumes $merge\ as\ bs\ us\ vs$

shows $concat\ as = concat\ us \wedge concat\ bs = concat\ vs$

$\langle proof \rangle$

lemma *merge-interact*:

assumes $merge\ as\ bs\ us\ vs\ strict\ sorted\ (concat\ as)\ strict\ sorted\ (concat\ bs)$

$bs \in \text{lists } (- \{\square\})$
shows *strict-sorted* (*interact us vs*)
<proof>

lemma *acc-lengths-merge1*:
assumes *merge as bs us vs*
shows $\text{list.set } (\text{acc-lengths } k \text{ us}) \subseteq \text{list.set } (\text{acc-lengths } k \text{ as})$
<proof>

lemma *acc-lengths-merge2*:
assumes *merge as bs us vs*
shows $\text{list.set } (\text{acc-lengths } k \text{ vs}) \subseteq \text{list.set } (\text{acc-lengths } k \text{ bs})$
<proof>

lemma *length-hd-le-concat*:
assumes $as \neq []$ **shows** $\text{length } (\text{hd } as) \leq \text{length } (\text{concat } as)$
<proof>

lemma *length-hd-merge2*:
assumes *merge as bs us vs*
shows $\text{length } (\text{hd } bs) \leq \text{length } (\text{hd } vs)$
<proof>

lemma *merge-less-sets-hd*:
assumes *merge as bs us vs strict-sorted (concat as) strict-sorted (concat bs) bs*
 $\in \text{lists } (- \{\square\})$
shows $\text{list.set } (\text{hd } us) \ll \text{list.set } (\text{concat } vs)$
<proof>

lemma *set-takeWhile*:
assumes *strict-sorted (concat as) as* $\in \text{lists } (- \{\square\})$
shows $\text{list.set } (\text{takeWhile } (\lambda x. x < y) \text{ as}) = \{x \in \text{list.set } as. x < y\}$
<proof>

proposition *merge-exists*:
assumes *strict-sorted (concat as) strict-sorted (concat bs)*
 $as \in \text{lists } (- \{\square\})$ $bs \in \text{lists } (- \{\square\})$
 $\text{hd } as < \text{hd } bs$ $as \neq []$ $bs \neq []$
and *disj*: $\bigwedge a b. \llbracket a \in \text{list.set } as; b \in \text{list.set } bs \rrbracket \implies a < b \vee b < a$
shows $\exists us \text{ vs. merge } as \text{ bs } us \text{ vs}$
<proof>

3.11.4 Actual proof of Larson's Lemma 3.8

proposition *lemma-3-8*:
assumes *infinite N*
obtains *X* where $X \subseteq WW \text{ ordertype } X$ (*lenlex less-than*) $= \omega \uparrow \omega$
 $\bigwedge u. u \in [X]^2 \implies$

$\exists l. \text{Form } l \ u \wedge (l > 0 \longrightarrow [\text{enum } N \ l] < \text{inter-scheme } l \ u \wedge \text{List.set}$
 $(\text{inter-scheme } l \ u) \subseteq N)$
 $\langle \text{proof} \rangle$

3.12 The main partition theorem for $\omega \uparrow \omega$

definition *iso-ll* where $\text{iso-ll } A \ B \equiv \text{iso} (\text{lenlex less-than} \cap (A \times A)) (\text{lenlex less-than} \cap (B \times B))$

corollary *ordertype-eq-ordertype-iso-ll*:

assumes $\text{Field } (\text{Restr } (\text{lenlex less-than}) \ A) = A \ \text{Field } (\text{Restr } (\text{lenlex less-than}) \ B) = B$

shows $(\text{ordertype } A \ (\text{lenlex less-than}) = \text{ordertype } B \ (\text{lenlex less-than}))$

$\longleftrightarrow (\exists f. \text{iso-ll } A \ B \ f)$

$\langle \text{proof} \rangle$

theorem *partition- $\omega\omega$ -aux*:

assumes $\alpha \in \text{elts } \omega$

shows $\text{partn-lst } (\text{lenlex less-than}) \ WW \ [\omega \uparrow \omega, \alpha] \ 2 \ (\text{is } \text{partn-lst } ?R \ WW \ [\omega \uparrow \omega, \alpha] \ 2)$

$\langle \text{proof} \rangle$

Theorem 3.1 of Jean A. Larson, *ibid.*

theorem *partition- $\omega\omega$* : $\alpha \in \text{elts } \omega \implies \text{partn-lst-VWF } (\omega \uparrow \omega) \ [\omega \uparrow \omega, \alpha] \ 2$

$\langle \text{proof} \rangle$

end

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