

# Effect Polymorphism in Higher-Order Logic

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## Abstract

The notion of a *monad* cannot be expressed within higher-order logic (HOL) due to type system restrictions. We show that if a monad is used with values of only one type, this notion *can* be formalised in HOL. Based on this idea, we develop a library of effect specifications and implementations of monads and monad transformers. Hence, we can abstract over the concrete monad in HOL definitions and thus use the same definition for different (combinations of) effects. We illustrate the usefulness of effect polymorphism with a monadic interpreter for a simple language.

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```

theory Monomorphic-Monad imports
  HOL-Probability.Probability
  HOL-Library.Multiset
  HOL-Library.Countable-Set-Type
begin

```

## 1 Preliminaries

```

lemma (in comp-fun-idem) fold-set-union:
   $[[ \text{finite } A; \text{finite } B ]] \implies \text{Finite-Set.fold } f \ x \ (A \cup B) = \text{Finite-Set.fold } f \ (\text{Finite-Set.fold } f \ x \ A) \ B$ 
  <proof>

```

```

lemma (in comp-fun-idem) ffold-set-union:  $\text{ffold } f \ x \ (A \mid\cup\mid B) = \text{ffold } f \ (\text{ffold } f \ x \ A) \ B$ 
including fset.lifting <proof>

```

```

lemma relcompp-top-top [simp]:  $\text{top } OO \ \text{top} = \text{top}$ 
<proof>

```

*<ML>*

```

named-theorems monad-unfold Defining equations for overloaded monad operations

```

```

context includes lifting-syntax begin

```

```

inductive rel-itself :: 'a itself  $\Rightarrow$  'b itself  $\Rightarrow$  bool
where rel-itself TYPE(-) TYPE(-)

```

```

lemma type-parametric [transfer-rule]: rel-itself TYPE('a) TYPE('b)
<proof>

```

```

lemma plus-multiset-parametric [transfer-rule]:
   $(\text{rel-mset } A \implies \text{rel-mset } A \implies \text{rel-mset } A) \ (+) \ (+)$ 
  <proof>

```

```

lemma Mempty-parametric [transfer-rule]: rel-mset A {#} {#}
  <proof>

```

```

lemma fold-mset-parametric:
  assumes 12:  $(A \implies B \implies B) \ f1 \ f2$ 
  and comp-fun-commute f1 comp-fun-commute f2
  shows  $(B \implies \text{rel-mset } A \implies B) \ (\text{fold-mset } f1) \ (\text{fold-mset } f2)$ 
  <proof>

```

```

lemma rel-fset-induct [consumes 1, case-names empty step, induct pred: rel-fset]:
  assumes XY: rel-fset A X Y

```

**and empty:**  $P \{\{\}\} \{\{\}\}$   
**and step:**  $\bigwedge X Y x y. \llbracket \text{rel-fset } A X Y; P X Y; A x y; x \notin X \vee y \notin Y \rrbracket \implies$   
 $P (\text{finsert } x X) (\text{finsert } y Y)$   
**shows**  $P X Y$   
 $\langle \text{proof} \rangle$

**lemma** *ffold-parametric:*  
**assumes**  $1\text{: } (A \implies B \implies B) f1 f2$   
**and** *comp-fun-idem*  $f1$  *comp-fun-idem*  $f2$   
**shows**  $(B \implies \text{rel-fset } A \implies B) (\text{ffold } f1) (\text{ffold } f2)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *rel-set-Grp:*  $\text{rel-set } (\text{BNF-Def.Grp } A f) = \text{BNF-Def.Grp } \{X. X \subseteq A\}$   
 $(\text{image } f)$   
 $\langle \text{proof} \rangle$

**context includes** *cset.lifting* **begin**

**lemma** *cUNION-assoc:*  $cUNION (cUNION A f) g = cUNION A (\lambda x. cUNION$   
 $(f x) g)$   
 $\langle \text{proof} \rangle$

**lemma** *cUnion-empty [simp]:*  $cUnion \text{ empty} = \text{empty}$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-empty [simp]:*  $cUNION \text{ empty } f = \text{empty}$   
 $\langle \text{proof} \rangle$

**lemma** *cUnion-cinsert:*  $cUnion (\text{cinsert } x A) = cUn x (cUnion A)$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-cinsert:*  $cUNION (\text{cinsert } x A) f = cUn (f x) (cUNION A f)$   
 $\langle \text{proof} \rangle$

**lemma** *cUnion-csingle [simp]:*  $cUnion (\text{csingle } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-csingle [simp]:*  $cUNION (\text{csingle } x) f = f x$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-csingle2 [simp]:*  $cUNION A \text{ csingle} = A$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-cUn:*  $cUNION (cUn A B) f = cUn (cUNION A f) (cUNION B$   
 $f)$   
 $\langle \text{proof} \rangle$

**lemma** *cUNION-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
 $(rel\text{-}cset\ A\ ==> (A\ ==> rel\text{-}cset\ B)\ ==> rel\text{-}cset\ B)\ cUNION\ cUNION$   
 $\langle proof \rangle$

**end**

**locale** *three* =  
**fixes** *tytok* :: 'a *itself*  
**assumes** *ex-three*:  $\exists x\ y\ z :: 'a. x \neq y \wedge x \neq z \wedge y \neq z$   
**begin**

**definition** *threes* :: 'a  $\times$  'a  $\times$  'a **where**  
 $threes = (SOME\ (x,\ y,\ z). x \neq y \wedge x \neq z \wedge y \neq z)$   
**definition** *three<sub>1</sub>* :: 'a (**1**) **where** **1** = *fst* *threes*  
**definition** *three<sub>2</sub>* :: 'a (**2**) **where** **2** = *fst* (*snd* *threes*)  
**definition** *three<sub>3</sub>* :: 'a (**3**) **where** **3** = *snd* (*snd* (*threes*))

**lemma** *three-neq-aux*: **1**  $\neq$  **2** **1**  $\neq$  **3** **2**  $\neq$  **3**  
 $\langle proof \rangle$

**lemmas** *three-neq* [*simp*] = *three-neq-aux* *three-neq-aux*[*symmetric*]

**inductive** *rel-12-23* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  *bool* **where**  
 $rel\text{-}12\text{-}23\ \mathbf{1}\ \mathbf{2}$   
 $| rel\text{-}12\text{-}23\ \mathbf{2}\ \mathbf{3}$

**lemma** *bi-unique-rel-12-23* [*simp*, *transfer-rule*]: *bi-unique* *rel-12-23*  
 $\langle proof \rangle$

**inductive** *rel-12-21* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  *bool* **where**  
 $rel\text{-}12\text{-}21\ \mathbf{1}\ \mathbf{2}$   
 $| rel\text{-}12\text{-}21\ \mathbf{2}\ \mathbf{1}$

**lemma** *bi-unique-rel-12-21* [*simp*, *transfer-rule*]: *bi-unique* *rel-12-21*  
 $\langle proof \rangle$

**end**

**lemma** *bernoulli-pmf-0*: *bernoulli-pmf* 0 = *return-pmf* *False*  
 $\langle proof \rangle$

**lemma** *bernoulli-pmf-1*: *bernoulli-pmf* 1 = *return-pmf* *True*  
 $\langle proof \rangle$

**lemma** *bernoulli-Not*: *map-pmf* *Not* (*bernoulli-pmf* *r*) = *bernoulli-pmf* (1 - *r*)  
 $\langle proof \rangle$

**lemma** *pmf-eqI-avoid*:  $p = q$  **if**  $\bigwedge i. i \neq x \implies pmf\ p\ i = pmf\ q\ i$   
 $\langle proof \rangle$

## 2 Locales for monomorphic monads

### 2.1 Plain monad

**type-synonym** ('a, 'm) bind = 'm  $\Rightarrow$  ('a  $\Rightarrow$  'm)  $\Rightarrow$  'm  
**type-synonym** ('a, 'm) return = 'a  $\Rightarrow$  'm

**locale** monad-base =  
  **fixes** return :: ('a, 'm) return  
  **and** bind :: ('a, 'm) bind  
**begin**

**primrec** sequence :: 'm list  $\Rightarrow$  ('a list  $\Rightarrow$  'm)  $\Rightarrow$  'm  
**where**

  sequence [] f = f []  
  | sequence (x # xs) f = bind x ( $\lambda a.$  sequence xs (f  $\circ$  (#) a))

**definition** lift :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'm  $\Rightarrow$  'm  
**where** lift f x = bind x ( $\lambda x.$  return (f x))

**end**

**declare**  
  monad-base.sequence.simps [code]  
  monad-base.lift-def [code]

**context includes** lifting-syntax **begin**

**lemma** sequence-parametric [transfer-rule]:  
  ((M  $\Longrightarrow$  (A  $\Longrightarrow$  M)  $\Longrightarrow$  M)  $\Longrightarrow$  list-all2 M  $\Longrightarrow$  (list-all2 A  
   $\Longrightarrow$  M)  $\Longrightarrow$  M) monad-base.sequence monad-base.sequence  
  <proof>

**lemma** lift-parametric [transfer-rule]:  
  ((A  $\Longrightarrow$  M)  $\Longrightarrow$  (M  $\Longrightarrow$  (A  $\Longrightarrow$  M)  $\Longrightarrow$  M)  $\Longrightarrow$  (A  $\Longrightarrow$   
  A)  $\Longrightarrow$  M  $\Longrightarrow$  M) monad-base.lift monad-base.lift  
  <proof>

**end**

**locale** monad = monad-base return bind  
  **for** return :: ('a, 'm) return  
  **and** bind :: ('a, 'm) bind  
  +  
  **assumes** bind-assoc:  $\bigwedge(x :: 'm) f g.$  bind (bind x f) g = bind x ( $\lambda y.$  bind (f y)  
  g)  
  **and** return-bind:  $\bigwedge x f.$  bind (return x) f = f x  
  **and** bind-return:  $\bigwedge x.$  bind x return = x  
**begin**

**lemma** *bind-lift* [*simp*]:  $\text{bind } (\text{lift } f \ x) \ g = \text{bind } x \ (g \circ f)$   
 ⟨*proof*⟩

**lemma** *lift-bind* [*simp*]:  $\text{lift } f \ (\text{bind } m \ g) = \text{bind } m \ (\lambda x. \text{lift } f \ (g \ x))$   
 ⟨*proof*⟩

**end**

## 2.2 State

**type-synonym** (*'s*, *'m*) *get* = (*'s*  $\Rightarrow$  *'m*)  $\Rightarrow$  *'m*  
**type-synonym** (*'s*, *'m*) *put* = *'s*  $\Rightarrow$  *'m*  $\Rightarrow$  *'m*

**locale** *monad-state-base* = *monad-base* *return* *bind*  
**for** *return* :: (*'a*, *'m*) *return*  
**and** *bind* :: (*'a*, *'m*) *bind*  
 +  
**fixes** *get* :: (*'s*, *'m*) *get*  
**and** *put* :: (*'s*, *'m*) *put*  
**begin**

**definition** *update* :: (*'s*  $\Rightarrow$  *'s*)  $\Rightarrow$  *'m*  $\Rightarrow$  *'m*  
**where** *update* *f* *m* = *get* ( $\lambda s. \text{put } (f \ s) \ m$ )

**end**

**declare** *monad-state-base.update-def* [*code*]

**lemma** *update-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
 (((*S*  $\text{====>}$  *M*)  $\text{====>}$  *M*)  $\text{====>}$  (*S*  $\text{====>}$  *M*  $\text{====>}$  *M*)  $\text{====>}$  (*S*  $\text{====>}$   
*S*)  $\text{====>}$  *M*  $\text{====>}$  *M*)  
*monad-state-base.update monad-state-base.update*  
 ⟨*proof*⟩

**locale** *monad-state* = *monad-state-base* *return* *bind* *get* *put* + *monad* *return* *bind*  
**for** *return* :: (*'a*, *'m*) *return*  
**and** *bind* :: (*'a*, *'m*) *bind*  
**and** *get* :: (*'s*, *'m*) *get*  
**and** *put* :: (*'s*, *'m*) *put*  
 +  
**assumes** *put-get*:  $\bigwedge f. \text{put } s \ (\text{get } f) = \text{put } s \ (f \ s)$   
**and** *get-get*:  $\bigwedge f. \text{get } (\lambda s. \text{get } (f \ s)) = \text{get } (\lambda s. f \ s \ s)$   
**and** *put-put*:  $\text{put } s \ (\text{put } s' \ m) = \text{put } s' \ m$   
**and** *get-put*:  $\text{get } (\lambda s. \text{put } s \ m) = m$   
**and** *get-const*:  $\bigwedge m. \text{get } (\lambda -. \ m) = m$   
**and** *bind-get*:  $\bigwedge f \ g. \text{bind } (\text{get } f) \ g = \text{get } (\lambda s. \text{bind } (f \ s) \ g)$   
**and** *bind-put*:  $\bigwedge f. \text{bind } (\text{put } s \ m) \ f = \text{put } s \ (\text{bind } m \ f)$   
**begin**



**lemma** *put-update*:  $\text{put } s (\text{update } f \ m) = \text{put } (f \ s) \ m$   
*<proof>*

**lemma** *update-put*:  $\text{update } f (\text{put } s \ m) = \text{put } s \ m$   
*<proof>*

**lemma** *bind-update*:  $\text{bind } (\text{update } f \ m) \ g = \text{update } f (\text{bind } m \ g)$   
*<proof>*

**lemma** *update-get*:  $\text{update } f (\text{get } g) = \text{get } (\text{update } f \circ g \circ f)$   
*<proof>*

**lemma** *update-const*:  $\text{update } (\lambda \cdot s) \ m = \text{put } s \ m$   
*<proof>*

**lemma** *update-update*:  $\text{update } f (\text{update } g \ m) = \text{update } (g \circ f) \ m$   
*<proof>*

**lemma** *update-id*:  $\text{update } \text{id} \ m = m$   
*<proof>*

**end**

## 2.3 Failure

**type-synonym** *'m fail* = *'m*

**locale** *monad-fail-base* = *monad-base* *return* *bind*  
  **for** *return* :: (*'a*, *'m*) *return*  
  **and** *bind* :: (*'a*, *'m*) *bind*  
  +  
  **fixes** *fail* :: *'m fail*  
**begin**

**definition** *assert* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'m*  $\Rightarrow$  *'m*  
**where** *assert* *P* *m* = *bind* *m* ( $\lambda x$ . *if* *P* *x* *then* *return* *x* *else* *fail*)

**end**

**declare** *monad-fail-base.assert-def* [*code*]

**lemma** *assert-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
   $((A \text{====>} M) \text{====>} (M \text{====>} (A \text{====>} M) \text{====>} M) \text{====>} M \text{====>} M \text{====>} M)$   
   $(A \text{====>} (=)) \text{====>} M \text{====>} M$   
  *monad-fail-base.assert* *monad-fail-base.assert*  
*<proof>*

**locale** *monad-fail* = *monad-fail-base* *return* *bind* *fail* + *monad* *return* *bind*  
  **for** *return* :: (*'a*, *'m*) *return*

```

and bind :: ('a, 'm) bind
and fail :: 'm fail
+
assumes fail-bind:  $\bigwedge f. \text{bind fail } f = \text{fail}$ 
begin

```

```

lemma assert-fail: assert P fail = fail
<proof>

```

```

end

```

## 2.4 Exception

```

type-synonym 'm catch = 'm  $\Rightarrow$  'm  $\Rightarrow$  'm

```

```

locale monad-catch-base = monad-fail-base return bind fail
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
+
fixes catch :: 'm catch

```

```

locale monad-catch = monad-catch-base return bind fail catch + monad-fail return
bind fail
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
and catch :: 'm catch
+
assumes catch-return: catch (return x) m = return x
and catch-fail: catch fail m = m
and catch-fail2: catch m fail = m
and catch-assoc: catch (catch m m') m'' = catch m (catch m' m'')

```

```

locale monad-catch-state = monad-catch return bind fail catch + monad-state re-
turn bind get put
for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and fail :: 'm fail
and catch :: 'm catch
and get :: ('s, 'm) get
and put :: ('s, 'm) put
+
assumes catch-get: catch (get f) m = get ( $\lambda s. \text{catch } (f s) m$ )
and catch-put: catch (put s m) m' = put s (catch m m')
begin

```

```

lemma catch-update: catch (update f m) m' = update f (catch m m')
<proof>

```

**end**

## 2.5 Reader

As `ask` takes a continuation, we have to restate the monad laws for `ask`

**type-synonym**  $(\prime r, \prime m)$  `ask` =  $(\prime r \Rightarrow \prime m) \Rightarrow \prime m$

**locale** `monad-reader-base` = `monad-base return bind`

**for** `return` ::  $(\prime a, \prime m)$  `return`

**and** `bind` ::  $(\prime a, \prime m)$  `bind`

+

**fixes** `ask` ::  $(\prime r, \prime m)$  `ask`

**locale** `monad-reader` = `monad-reader-base return bind ask + monad return bind`

**for** `return` ::  $(\prime a, \prime m)$  `return`

**and** `bind` ::  $(\prime a, \prime m)$  `bind`

**and** `ask` ::  $(\prime r, \prime m)$  `ask`

+

**assumes** `ask-ask`:  $\bigwedge f. \text{ask } (\lambda r. \text{ask } (f r)) = \text{ask } (\lambda r. f r r)$

**and** `ask-const`:  $\text{ask } (\lambda-. m) = m$

**and** `bind-ask`:  $\bigwedge f g. \text{bind } (\text{ask } f) g = \text{ask } (\lambda r. \text{bind } (f r) g)$

**and** `bind-ask2`:  $\bigwedge f. \text{bind } m (\lambda x. \text{ask } (f x)) = \text{ask } (\lambda r. \text{bind } m (\lambda x. f x r))$

**begin**

**lemma** `ask-bind`:  $\text{ask } (\lambda r. \text{bind } (f r) (g r)) = \text{bind } (\text{ask } f) (\lambda x. \text{ask } (\lambda r. g r x))$

*<proof>*

**end**

**locale** `monad-reader-state` =

`monad-reader return bind ask +`

`monad-state return bind get put`

**for** `return` ::  $(\prime a, \prime m)$  `return`

**and** `bind` ::  $(\prime a, \prime m)$  `bind`

**and** `ask` ::  $(\prime r, \prime m)$  `ask`

**and** `get` ::  $(\prime s, \prime m)$  `get`

**and** `put` ::  $(\prime s, \prime m)$  `put`

+

**assumes** `ask-get`:  $\bigwedge f. \text{ask } (\lambda r. \text{get } (f r)) = \text{get } (\lambda s. \text{ask } (\lambda r. f r s))$

**and** `put-ask`:  $\bigwedge f. \text{put } s (\text{ask } f) = \text{ask } (\lambda r. \text{put } s (f r))$

## 2.6 Probability

**type-synonym**  $(\prime p, \prime m)$  `sample` =  $\prime p \text{ pmf} \Rightarrow (\prime p \Rightarrow \prime m) \Rightarrow \prime m$

**locale** `monad-prob-base` = `monad-base return bind`

**for** `return` ::  $(\prime a, \prime m)$  `return`

**and** `bind` ::  $(\prime a, \prime m)$  `bind`

+  
**fixes** *sample* :: ('p, 'm) *sample*

**locale** *monad-prob* = *monad return bind* + *monad-prob-base return bind sample*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *sample* :: ('p, 'm) *sample*

+  
**assumes** *sample-const*:  $\bigwedge p m. \text{sample } p (\lambda-. m) = m$   
**and** *sample-return-pmf*:  $\bigwedge x f. \text{sample } (\text{return-pmf } x) f = f x$   
**and** *sample-bind-pmf*:  $\bigwedge p f g. \text{sample } (\text{bind-pmf } p f) g = \text{sample } p (\lambda x. \text{sample } (f x) g)$   
**and** *sample-commute*:  $\bigwedge p q f. \text{sample } p (\lambda x. \text{sample } q (f x)) = \text{sample } q (\lambda y. \text{sample } p (\lambda x. f x y))$   
 — We'd like to state that we can combine independent samples rather than just commute them, but that's not possible with a monomorphic sampling operation  
**and** *bind-sample1*:  $\bigwedge p f g. \text{bind } (\text{sample } p f) g = \text{sample } p (\lambda x. \text{bind } (f x) g)$   
**and** *bind-sample2*:  $\bigwedge m f p. \text{bind } m (\lambda y. \text{sample } p (f y)) = \text{sample } p (\lambda x. \text{bind } m (\lambda y. f y x))$   
**and** *sample-parametric*:  $\bigwedge R. \text{bi-unique } R \implies \text{rel-fun } (\text{rel-pmf } R) (\text{rel-fun } (\text{rel-fun } R (=)) (=)) \text{ sample sample}$   
**begin**

**lemma** *sample-cong*:  $(\bigwedge x. x \in \text{set-pmf } p \implies f x = g x) \implies \text{sample } p f = \text{sample } q g$  **if**  $p = q$   
 ⟨*proof*⟩

**end**

We can implement binary probabilistic choice using *sample* provided that the sample space contains at least three elements.

**locale** *monad-prob3* = *monad-prob return bind sample* + *three TYPE('p)*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *sample* :: ('p, 'm) *sample*  
**begin**

**definition** *pchoose* ::  $\text{real} \Rightarrow 'm \Rightarrow 'm \Rightarrow 'm$  **where**  
*pchoose*  $r m m' = \text{sample } (\text{map-pmf } (\lambda b. \text{if } b \text{ then } \mathbf{1} \text{ else } \mathbf{2}) (\text{bernoulli-pmf } r)) (\lambda x. \text{if } x = \mathbf{1} \text{ then } m \text{ else } m')$

**abbreviation** *pchoose-syntax* ::  $'m \Rightarrow \text{real} \Rightarrow 'm \Rightarrow 'm$  (- ◁ - ▷ - [100, 0, 100] 99) **where**  
 $m \triangleleft r \triangleright m' \equiv \text{pchoose } r m m'$

**lemma** *pchoose-0*:  $m \triangleleft 0 \triangleright m' = m'$   
 ⟨*proof*⟩

**lemma** *pchoose-1*:  $m \triangleleft 1 \triangleright m' = m$

*<proof>*

**lemma** *pchoose-idemp*:  $m \triangleleft r \triangleright m = m$   
*<proof>*

**lemma** *pchoose-bind1*:  $\text{bind } (m \triangleleft r \triangleright m') f = \text{bind } m f \triangleleft r \triangleright \text{bind } m' f$   
*<proof>*

**lemma** *pchoose-bind2*:  $\text{bind } m (\lambda x. f x \triangleleft p \triangleright g x) = \text{bind } m f \triangleleft p \triangleright \text{bind } m g$   
*<proof>*

**lemma** *pchoose-commute*:  $m \triangleleft 1 - r \triangleright m' = m' \triangleleft r \triangleright m$   
*<proof>*

**lemma** *pchoose-assoc*:  $m \triangleleft p \triangleright (m' \triangleleft q \triangleright m'') = (m \triangleleft r \triangleright m') \triangleleft s \triangleright m''$  (is  
*?lhs = ?rhs*)  
**if**  $\min 1 (\max 0 p) = \min 1 (\max 0 r) * \min 1 (\max 0 s)$   
**and**  $1 - \min 1 (\max 0 s) = (1 - \min 1 (\max 0 p)) * (1 - \min 1 (\max 0 q))$   
*<proof>*

**lemma** *pchoose-assoc'*:  $m \triangleleft p \triangleright (m' \triangleleft q \triangleright m'') = (m \triangleleft r \triangleright m') \triangleleft s \triangleright m''$   
**if**  $p = r * s$  **and**  $1 - s = (1 - p) * (1 - q)$   
**and**  $0 \leq p \leq 1$   $0 \leq q \leq 1$   $0 \leq r \leq 1$   $0 \leq s \leq 1$   
*<proof>*

**end**

**locale** *monad-state-prob* = *monad-state return bind get put + monad-prob return*  
*bind sample*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *get* :: ('s, 'm) *get*  
**and** *put* :: ('s, 'm) *put*  
**and** *sample* :: ('p, 'm) *sample*  
+  
**assumes** *sample-get*:  $\text{sample } p (\lambda x. \text{get } (f x)) = \text{get } (\lambda s. \text{sample } p (\lambda x. f x s))$   
**begin**

**lemma** *sample-put*:  $\text{sample } p (\lambda x. \text{put } s (m x)) = \text{put } s (\text{sample } p m)$   
*<proof>*

**lemma** *sample-update*:  $\text{sample } p (\lambda x. \text{update } f (m x)) = \text{update } f (\text{sample } p m)$   
*<proof>*

**end**

## 2.7 Nondeterministic choice

### 2.7.1 Binary choice

**type-synonym**  $'m \text{ alt} = 'm \Rightarrow 'm \Rightarrow 'm$

**locale** *monad-alt-base* = *monad-base return bind*

**for** *return* :: ( $'a, 'm$ ) *return*

**and** *bind* :: ( $'a, 'm$ ) *bind*

+

**fixes** *alt* ::  $'m \text{ alt}$

**locale** *monad-alt* = *monad return bind* + *monad-alt-base return bind alt*

**for** *return* :: ( $'a, 'm$ ) *return*

**and** *bind* :: ( $'a, 'm$ ) *bind*

**and** *alt* ::  $'m \text{ alt}$

+ — Laws taken from Gibbons, Hinze: Just do it

**assumes** *alt-assoc*:  $\text{alt } (m1 \ m2) \ m3 = \text{alt } m1 \ (\text{alt } m2 \ m3)$

**and** *bind-alt1*:  $\text{bind } (m \ m') \ f = \text{alt } (\text{bind } m \ f) \ (\text{bind } m' \ f)$

**locale** *monad-fail-alt* = *monad-fail return bind fail* + *monad-alt return bind alt*

**for** *return* :: ( $'a, 'm$ ) *return*

**and** *bind* :: ( $'a, 'm$ ) *bind*

**and** *fail* ::  $'m \text{ fail}$

**and** *alt* ::  $'m \text{ alt}$

+

**assumes** *alt-fail1*:  $\text{alt } \text{fail } m = m$

**and** *alt-fail2*:  $\text{alt } m \ \text{fail} = m$

**begin**

**lemma** *assert-alt*:  $\text{assert } P \ (\text{alt } m \ m') = \text{alt } (\text{assert } P \ m) \ (\text{assert } P \ m')$

*<proof>*

**end**

**locale** *monad-state-alt* = *monad-state return bind get put* + *monad-alt return bind alt*

**for** *return* :: ( $'a, 'm$ ) *return*

**and** *bind* :: ( $'a, 'm$ ) *bind*

**and** *get* :: ( $'s, 'm$ ) *get*

**and** *put* :: ( $'s, 'm$ ) *put*

**and** *alt* ::  $'m \text{ alt}$

+

**assumes** *alt-get*:  $\text{alt } (\text{get } f) \ (\text{get } g) = \text{get } (\lambda x. \text{alt } (f \ x) \ (g \ x))$

**and** *alt-put*:  $\text{alt } (\text{put } s \ m) \ (\text{put } s \ m') = \text{put } s \ (\text{alt } m \ m')$

— Unlike for *sample*, we must require both *alt-get* and *alt-put* because we do not require that *bind* right-distributes over *alt*.

**begin**

**lemma** *alt-update*:  $\text{alt } (\text{update } f \ m) \ (\text{update } f \ m') = \text{update } f \ (\text{alt } m \ m')$

*<proof>*

**end**

## 2.7.2 Countable choice

**type-synonym** ('c, 'm) *altc* = 'c *cset*  $\Rightarrow$  ('c  $\Rightarrow$  'm)  $\Rightarrow$  'm

**locale** *monad-altc-base* = *monad-base* *return* *bind*

**for** *return* :: ('a, 'm) *return*

**and** *bind* :: ('a, 'm) *bind*

+

**fixes** *altc* :: ('c, 'm) *altc*

**begin**

**definition** *fail* :: 'm *fail* **where** *fail* = *altc* *cempty* ( $\lambda$ -. *undefined*)

**end**

**declare** *monad-altc-base.fail-def* [*code*]

**locale** *monad-altc* = *monad* *return* *bind* + *monad-altc-base* *return* *bind* *altc*

**for** *return* :: ('a, 'm) *return*

**and** *bind* :: ('a, 'm) *bind*

**and** *altc* :: ('c, 'm) *altc*

+

**assumes** *bind-altc1*:  $\bigwedge C g f. \text{bind } (\text{altc } C g) f = \text{altc } C (\lambda c. \text{bind } (g c) f)$

**and** *altc-single*:  $\bigwedge x f. \text{altc } (\text{csingle } x) f = f x$

**and** *altc-cUNION*:  $\bigwedge C f g. \text{altc } (\text{cUNION } C f) g = \text{altc } C (\lambda x. \text{altc } (f x) g)$

— We do not assume *altc-const* like for *sample* because the choice set might be empty

**and** *altc-parametric*:  $\bigwedge R. \text{bi-unique } R \implies \text{rel-fun } (\text{rel-cset } R) (\text{rel-fun } (\text{rel-fun } R (=)) (=)) \text{ altc altc}$

**begin**

**lemma** *altc-cong*: *cBall* *C* ( $\lambda x. f x = g x$ )  $\implies$  *altc* *C* *f* = *altc* *C* *g*

*<proof>*

**lemma** *monad-fail* [*locale-witness*]: *monad-fail* *return* *bind* *fail*

*<proof>*

**end**

We can implement *alt* via *altc* only if we know that there are sufficiently many elements in the choice type 'c. For the associativity law, we need at least three elements.

**locale** *monad-altc3* = *monad-altc* *return* *bind* *altc* + *three* *TYPE*('c)

**for** *return* :: ('a, 'm) *return*

**and** *bind* :: ('a, 'm) *bind*

**and** *altc* :: ('c, 'm) *altc*  
**begin**

**definition** *alt* :: 'm *alt*  
**where** *alt m1 m2 = altc (cinsert 1 (csingle 2))* ( $\lambda c. \text{if } c = \mathbf{1} \text{ then } m1 \text{ else } m2$ )

**lemma** *monad-alt: monad-alt return bind alt*  
 $\langle \text{proof} \rangle$  **including** *cset.lifting*  $\langle \text{proof} \rangle$  **including** *cset.lifting*  $\langle \text{proof} \rangle$

**end**

**locale** *monad-state-altc =*  
*monad-state return bind get put +*  
*monad-altc return bind altc*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *get* :: ('s, 'm) *get*  
**and** *put* :: ('s, 'm) *put*  
**and** *altc* :: ('c, 'm) *altc*  
 +  
**assumes** *altc-get*:  $\bigwedge C f. \text{altc } C (\lambda c. \text{get } (f c)) = \text{get } (\lambda s. \text{altc } C (\lambda c. f c s))$   
**and** *altc-put*:  $\bigwedge C f. \text{altc } C (\lambda c. \text{put } s (f c)) = \text{put } s (\text{altc } C f)$

## 2.8 Writer monad

**type-synonym** ('w, 'm) *tell* = 'w  $\Rightarrow$  'm  $\Rightarrow$  'm

**locale** *monad-writer-base = monad-base return bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
 +  
**fixes** *tell* :: ('w, 'm) *tell*

**locale** *monad-writer = monad-writer-base return bind tell + monad return bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *tell* :: ('w, 'm) *tell*  
 +  
**assumes** *bind-tell*:  $\bigwedge w m f. \text{bind } (\text{tell } w m) f = \text{tell } w (\text{bind } m f)$

## 2.9 Resumption monad

**type-synonym** ('o, 'i, 'm) *pause* = 'o  $\Rightarrow$  ('i  $\Rightarrow$  'm)  $\Rightarrow$  'm

**locale** *monad-resumption-base = monad-base return bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
 +  
**fixes** *pause* :: ('o, 'i, 'm) *pause*



**locale** *monad-resumption* = *monad-resumption-base* *return* *bind* *pause* + *monad*  
*return* *bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
**and** *pause* :: ('o, 'i, 'm) *pause*  
+  
**assumes** *bind-pause*: *bind* (*pause* *out* *c*) *f* = *pause* *out* ( $\lambda i$ . *bind* (*c* *i*) *f*)

## 2.10 Commutative monad

**locale** *monad-commute* = *monad* *return* *bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
+  
**assumes** *bind-commute*: *bind* *m* ( $\lambda x$ . *bind* *m'* (*f* *x*)) = *bind* *m'* ( $\lambda y$ . *bind* *m* ( $\lambda x$ .  
*f* *x* *y*))

## 2.11 Discardable monad

**locale** *monad-discard* = *monad* *return* *bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
+  
**assumes** *bind-const*: *bind* *m* ( $\lambda$ -. *m'*) = *m'*

## 2.12 Duplicable monad

**locale** *monad-duplicate* = *monad* *return* *bind*  
**for** *return* :: ('a, 'm) *return*  
**and** *bind* :: ('a, 'm) *bind*  
+  
**assumes** *bind-duplicate*: *bind* *m* ( $\lambda x$ . *bind* *m* (*f* *x*)) = *bind* *m* ( $\lambda x$ . *f* *x* *x*)

# 3 Monad implementations

## 3.1 Identity monad

We need a type constructor such that we can overload the monad operations

**datatype** 'a *id* = *return-id* (*extract*: 'a)

**lemmas** *return-id-parametric* = *id.ctr-transfer*

**lemma** *rel-id-unfold*:

*rel-id* *A* (*return-id* *x*) *m'*  $\longleftrightarrow$  ( $\exists x'$ . *m'* = *return-id* *x'*  $\wedge$  *A* *x* *x'*)  
*rel-id* *A* *m* (*return-id* *x'*)  $\longleftrightarrow$  ( $\exists x$ . *m* = *return-id* *x*  $\wedge$  *A* *x* *x'*)  
<*proof*>

**lemma** *rel-id-expand*: *M* (*extract* *m*) (*extract* *m'*)  $\Longrightarrow$  *rel-id* *M* *m* *m'*

<*proof*>

### 3.1.1 Plain monad

**primrec** *bind-id* :: ('a, 'a id) bind  
**where** *bind-id* (return-id x) f = f x

**lemma** *extract-bind* [*simp*]: *extract* (bind-id x f) = *extract* (f (extract x))  
<proof>

**lemma** *bind-id-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
(rel-id A ==> (A ==> rel-id A) ==> rel-id A) bind-id bind-id  
<proof>

**lemma** *monad-id* [*locale-witness*]: *monad* return-id bind-id  
<proof>

**lemma** *monad-commute-id* [*locale-witness*]: *monad-commute* return-id bind-id  
<proof>

**lemma** *monad-discard-id* [*locale-witness*]: *monad-discard* return-id bind-id  
<proof>

**lemma** *monad-duplicate-id* [*locale-witness*]: *monad-duplicate* return-id bind-id  
<proof>

### 3.2 Probability monad

We don't know of a sensible probability monad transformer, so we define the plain probability monad.

**type-synonym** 'a prob = 'a pmf

**lemma** *monad-prob* [*locale-witness*]: *monad* return-pmf bind-pmf  
<proof>

**lemma** *monad-prob-prob* [*locale-witness*]: *monad-prob* return-pmf bind-pmf bind-pmf  
**including** *lifting-syntax*  
<proof>

**lemma** *monad-commute-prob* [*locale-witness*]: *monad-commute* return-pmf bind-pmf  
<proof>

**lemma** *monad-discard-prob* [*locale-witness*]: *monad-discard* return-pmf bind-pmf  
<proof>

### 3.3 Resumption

We cannot define a resumption monad transformer because the codatatype recursion would have to go through a type variable. If we plug in something like unbounded non-determinism, then the HOL type does not exist.

**codatatype** ('o, 'i, 'a) *resumption* = *is-Done*: Done (result: 'a) | *Pause* (output: 'o) (resume: 'i  $\Rightarrow$  ('o, 'i, 'a) *resumption*)

### 3.3.1 Plain monad

**definition** *return-resumption* :: 'a  $\Rightarrow$  ('o, 'i, 'a) *resumption*  
**where** *return-resumption* = *Done*

**primcorec** *bind-resumption* :: ('o, 'i, 'a) *resumption*  $\Rightarrow$  ('a  $\Rightarrow$  ('o, 'i, 'a) *resumption*)  $\Rightarrow$  ('o, 'i, 'a) *resumption*  
**where** *bind-resumption* m f = (if *is-Done* m then f (result m) else *Pause* (output m)) ( $\lambda i$ . *bind-resumption* (resume m i) f)

**definition** *pause-resumption* :: 'o  $\Rightarrow$  ('i  $\Rightarrow$  ('o, 'i, 'a) *resumption*)  $\Rightarrow$  ('o, 'i, 'a) *resumption*  
**where** *pause-resumption* = *Pause*

**lemma** *is-Done-return-resumption* [*simp*]: *is-Done* (*return-resumption* x)  
 <proof>

**lemma** *result-return-resumption* [*simp*]: *result* (*return-resumption* x) = x  
 <proof>

**lemma** *monad-resumption* [*locale-witness*]: *monad* *return-resumption* *bind-resumption*  
 <proof>

**lemma** *monad-resumption-resumption* [*locale-witness*]:  
*monad-resumption* *return-resumption* *bind-resumption* *pause-resumption*  
 <proof>

## 3.4 Failure and exception monad transformer

The phantom type variable 'a is needed to avoid hidden polymorphism when overloading the monad operations for the failure monad transformer.

**datatype** (*plugins del: transfer*) (*phantom-optionT*: 'a, *set-optionT*: 'm) *optionT*  
 =  
*OptionT* (*run-option*: 'm)  
**for** *rel*: *rel-optionT'*  
*map*: *map-optionT'*

We define our own relator and mapper such that the phantom variable does not need any relation.

**lemma** *phantom-optionT* [*simp*]: *phantom-optionT* x = {}  
 <proof>

**context includes** *lifting-syntax* **begin**

**lemma** *rel-optionT'-phantom*: *rel-optionT'* A = *rel-optionT'* top

*<proof>*

**lemma** *map-optionT'-phantom*: *map-optionT' f = map-optionT' undefined*  
*<proof>*

**definition** *map-optionT* :: (*'m*  $\Rightarrow$  *'m'*)  $\Rightarrow$  (*'a*, *'m*) *optionT*  $\Rightarrow$  (*'b*, *'m'*) *optionT*  
**where** *map-optionT* = *map-optionT' undefined*

**definition** *rel-optionT* :: (*'m*  $\Rightarrow$  *'m'*  $\Rightarrow$  *bool*)  $\Rightarrow$  (*'a*, *'m*) *optionT*  $\Rightarrow$  (*'b*, *'m'*)  
*optionT*  $\Rightarrow$  *bool*  
**where** *rel-optionT* = *rel-optionT' top*

**lemma** *rel-optionTE*:  
  **assumes** *rel-optionT M m m'*  
  **obtains** *x y* **where** *m = OptionT x m' = OptionT y M x y*  
*<proof>*

**lemma** *rel-optionT-simps* [*simp*]: *rel-optionT M (OptionT m) (OptionT m')  $\longleftrightarrow$*   
*M m m'*  
*<proof>*

**lemma** *rel-optionT-eq* [*relator-eq*]: *rel-optionT (=) = (=)*  
*<proof>*

**lemma** *rel-optionT-mono* [*relator-mono*]: *rel-optionT A  $\leq$  rel-optionT B* **if** *A  $\leq$  B*  
*<proof>*

**lemma** *rel-optionT-distr* [*relator-distr*]: *rel-optionT A OO rel-optionT B = rel-optionT*  
*(A OO B)*  
*<proof>*

**lemma** *rel-optionT-Grp*: *rel-optionT (BNF-Def.Grp A f) = BNF-Def.Grp {x.*  
*set-optionT x  $\subseteq$  A} (map-optionT f)*  
*<proof>*

**lemma** *OptionT-parametric* [*transfer-rule*]: (*M  $\implies$  rel-optionT M*) *OptionT*  
*OptionT*  
*<proof>*

**lemma** *run-option-parametric* [*transfer-rule*]: (*rel-optionT M  $\implies$  M*) *run-option*  
*run-option*  
*<proof>*

**lemma** *case-optionT-parametric* [*transfer-rule*]:  
  (*(M  $\implies$  X)  $\implies$  rel-optionT M  $\implies$  X*) *case-optionT case-optionT*  
*<proof>*

**lemma** *rec-optionT-parametric* [*transfer-rule*]:  
  (*(M  $\implies$  X)  $\implies$  rel-optionT M  $\implies$  X*) *rec-optionT rec-optionT*

*<proof>*

**end**

### 3.4.1 Plain monad, failure, and exceptions

**context**

**fixes** *return* :: ('a option, 'm) *return*

**and** *bind* :: ('a option, 'm) *bind*

**begin**

**definition** *return-option* :: ('a, ('a, 'm) optionT) *return*

**where** *return-option* *x* = OptionT (return (Some *x*))

**primrec** *bind-option* :: ('a, ('a, 'm) optionT) *bind*

**where** [*code-unfold, monad-unfold*]:

*bind-option* (OptionT *x*) *f* =

OptionT (bind *x* ( $\lambda x$ . case *x* of None  $\Rightarrow$  return (None :: 'a option) | Some *y*  $\Rightarrow$  run-option (*f* *y*)))

**definition** *fail-option* :: ('a, 'm) optionT *fail*

**where** [*code-unfold, monad-unfold*]: *fail-option* = OptionT (return None)

**definition** *catch-option* :: ('a, 'm) optionT *catch*

**where** *catch-option* *m* *h* = OptionT (bind (run-option *m*) ( $\lambda x$ . if *x* = None then run-option *h* else return *x*))

**lemma** *run-bind-option*:

*run-option* (bind-option *x* *f*) = bind (run-option *x*) ( $\lambda x$ . case *x* of None  $\Rightarrow$  return None | Some *y*  $\Rightarrow$  run-option (*f* *y*))

*<proof>*

**lemma** *run-return-option* [*simp*]: *run-option* (return-option *x*) = return (Some *x*)

*<proof>*

**lemma** *run-fail-option* [*simp*]: *run-option* fail-option = return None

*<proof>*

**lemma** *run-catch-option* [*simp*]:

*run-option* (catch-option *m1* *m2*) = bind (run-option *m1*) ( $\lambda x$ . if *x* = None then run-option *m2* else return *x*)

*<proof>*

**context**

**assumes** *monad*: monad return bind

**begin**

**interpretation** *monad* return bind *<proof>*

**lemma** *monad-optionT* [*locale-witness*]: *monad return-option bind-option* (**is monad** *?return ?bind*)  
 ⟨*proof*⟩

**lemma** *monad-fail-optionT* [*locale-witness*]:  
*monad-fail return-option bind-option fail-option*  
 ⟨*proof*⟩

**lemma** *monad-catch-optionT* [*locale-witness*]:  
*monad-catch return-option bind-option fail-option catch-option*  
 ⟨*proof*⟩

**end**

### 3.4.2 Reader

**context**  
**fixes** *ask* :: (*'r*, *'m*) *ask*  
**begin**

**definition** *ask-option* :: (*'r*, (*'a*, *'m*) *optionT*) *ask*  
**where** [*code-unfold*, *monad-unfold*]: *ask-option f* = *OptionT* (*ask* ( $\lambda r$ . *run-option* (*f r*)))

**lemma** *run-ask-option* [*simp*]: *run-option* (*ask-option f*) = *ask* ( $\lambda r$ . *run-option* (*f r*))  
 ⟨*proof*⟩

**lemma** *monad-reader-optionT* [*locale-witness*]:  
**assumes** *monad-reader return bind ask*  
**shows** *monad-reader return-option bind-option ask-option*  
 ⟨*proof*⟩

**end**

### 3.4.3 State

**context**  
**fixes** *get* :: (*'s*, *'m*) *get*  
**and** *put* :: (*'s*, *'m*) *put*  
**begin**

**definition** *get-option* :: (*'s*, (*'a*, *'m*) *optionT*) *get*  
**where** *get-option f* = *OptionT* (*get* ( $\lambda s$ . *run-option* (*f s*)))

**primrec** *put-option* :: (*'s*, (*'a*, *'m*) *optionT*) *put*  
**where** *put-option s* (*OptionT m*) = *OptionT* (*put s m*)

**lemma** *run-get-option* [*simp*]:  
*run-option* (*get-option f*) = *get* ( $\lambda s$ . *run-option* (*f s*))

*<proof>*

**lemma** *run-put-option* [*simp*]:

*run-option (put-option s m) = put s (run-option m)*

*<proof>*

**context**

**assumes** *state: monad-state return bind get put*

**begin**

**interpretation** *monad-state return bind get put* *<proof>*

**lemma** *monad-state-optionT* [*locale-witness*]:

*monad-state return-option bind-option get-option put-option*

*<proof>*

**lemma** *monad-catch-state-optionT* [*locale-witness*]:

*monad-catch-state return-option bind-option fail-option catch-option get-option  
put-option*

*<proof>*

**end**

### 3.4.4 Probability

**definition** *altc-sample-option* :: (*'x*  $\Rightarrow$  (*'b*  $\Rightarrow$  *'m*)  $\Rightarrow$  *'m*)  $\Rightarrow$  *'x*  $\Rightarrow$  (*'b*  $\Rightarrow$  (*'a*, *'m*)  
*optionT*)  $\Rightarrow$  (*'a*, *'m*) *optionT*

**where** *altc-sample-option altc-sample p f = OptionT (altc-sample p ( $\lambda x$ . run-option  
(f x)))*

**lemma** *run-altc-sample-option* [*simp*]: *run-option (altc-sample-option altc-sample  
p f) = altc-sample p ( $\lambda x$ . run-option (f x))*

*<proof>*

**context**

**fixes** *sample* :: (*'p*, *'m*) *sample*

**begin**

**abbreviation** *sample-option* :: (*'p*, (*'a*, *'m*) *optionT*) *sample*

**where** *sample-option*  $\equiv$  *altc-sample-option sample*

**lemma** *monad-prob-optionT* [*locale-witness*]:

**assumes** *monad-prob return bind sample*

**shows** *monad-prob return-option bind-option sample-option*

*<proof>* **including** *lifting-syntax*

*<proof>*

**lemma** *monad-state-prob-optionT* [*locale-witness*]:

**assumes** *monad-state-prob return bind get put sample*

**shows** *monad-state-prob return-option bind-option get-option put-option sample-option*  
 ⟨*proof*⟩

**end**

### 3.4.5 Writer

**context**

**fixes** *tell* :: ('w, 'm) *tell*

**begin**

**definition** *tell-option* :: ('w, ('a, 'm) *optionT*) *tell*

**where** *tell-option w m* = *OptionT (tell w (run-option m))*

**lemma** *run-tell-option [simp]*: *run-option (tell-option w m) = tell w (run-option m)*

⟨*proof*⟩

**lemma** *monad-writer-optionT [locale-witness]*:

**assumes** *monad-writer return bind tell*

**shows** *monad-writer return-option bind-option tell-option*

⟨*proof*⟩

**end**

### 3.4.6 Binary Non-determinism

**context**

**fixes** *alt* :: 'm *alt*

**begin**

**definition** *alt-option* :: ('a, 'm) *optionT alt*

**where** *alt-option m1 m2* = *OptionT (alt (run-option m1) (run-option m2))*

**lemma** *run-alt-option [simp]*: *run-option (alt-option m1 m2) = alt (run-option m1) (run-option m2)*

⟨*proof*⟩

**lemma** *monad-alt-optionT [locale-witness]*:

**assumes** *monad-alt return bind alt*

**shows** *monad-alt return-option bind-option alt-option*

⟨*proof*⟩

The *fail* of  $(-, -)$  *optionT* does not combine with *alt* of the inner monad because  $(-, -)$  *optionT* injects failures with *return None* into the inner monad.

**lemma** *monad-state-alt-optionT [locale-witness]*:

**assumes** *monad-state-alt return bind get put alt*

**shows** *monad-state-alt return-option bind-option get-option put-option alt-option*



*<proof>*

**end**

### 3.4.7 Countable Non-determinism

**context**

**fixes**  $altc :: ('c, 'm) altc$

**begin**

**abbreviation**  $altc-option :: ('c, ('a, 'm) optionT) altc$

**where**  $altc-option \equiv altc-sample-option altc$

**lemma**  $monad-altc-optionT [locale-witness]:$

**assumes**  $monad-altc return bind altc$

**shows**  $monad-altc return-option bind-option altc-option$

*<proof>* **including**  $lifting-syntax$

*<proof>*

**lemma**  $monad-altc3-optionT [locale-witness]:$

**assumes**  $monad-altc3 return bind altc$

**shows**  $monad-altc3 return-option bind-option altc-option$

*<proof>*

**lemma**  $monad-state-altc-optionT [locale-witness]:$

**assumes**  $monad-state-altc return bind get put altc$

**shows**  $monad-state-altc return-option bind-option get-option put-option altc-option$

*<proof>*

**end**

**end**

### 3.4.8 Resumption

**context**

**fixes**  $pause :: ('o, 'i, 'm) pause$

**begin**

**definition**  $pause-option :: ('o, 'i, ('a, 'm) optionT) pause$

**where**  $pause-option out c = OptionT (pause out (\lambda i. run-option (c i)))$

**lemma**  $run-pause-option [simp]: run-option (pause-option out c) = pause out (\lambda i. run-option (c i))$

*<proof>*

**lemma**  $monad-resumption-optionT [locale-witness]:$

**assumes**  $monad-resumption return bind pause$

**shows**  $monad-resumption return-option bind-option pause-option$

*<proof>*

end

### 3.4.9 Commutativity

**lemma** *monad-commute-optionT* [*locale-witness*]:  
  **assumes** *monad-commute return bind*  
  **and** *monad-discard return bind*  
  **shows** *monad-commute return-option bind-option*  
  ⟨*proof*⟩

### 3.4.10 Duplicability

**lemma** *monad-duplicate-optionT* [*locale-witness*]:  
  **assumes** *monad-duplicate return bind*  
  **and** *monad-discard return bind*  
  **shows** *monad-duplicate return-option bind-option*  
  ⟨*proof*⟩

end

### 3.4.11 Parametricity

**context includes** *lifting-syntax* **begin**

**lemma** *return-option-parametric* [*transfer-rule*]:  
   $((rel\text{-option } A \text{ ====> } M) \text{ ====> } A \text{ ====> } rel\text{-optionT } M) \text{ return-option re-}$   
   $\text{turn-option}$   
  ⟨*proof*⟩

**lemma** *bind-option-parametric* [*transfer-rule*]:  
   $((rel\text{-option } A \text{ ====> } M) \text{ ====> } (M \text{ ====> } (rel\text{-option } A \text{ ====> } M) \text{ ====> } M)$   
   $\text{====> } rel\text{-optionT } M \text{ ====> } (A \text{ ====> } rel\text{-optionT } M) \text{ ====> } rel\text{-optionT}$   
   $M)$   
   $\text{bind-option bind-option}$   
  ⟨*proof*⟩

**lemma** *fail-option-parametric* [*transfer-rule*]:  
   $((rel\text{-option } A \text{ ====> } M) \text{ ====> } rel\text{-optionT } M) \text{ fail-option fail-option}$   
  ⟨*proof*⟩

**lemma** *catch-option-parametric* [*transfer-rule*]:  
   $((rel\text{-option } A \text{ ====> } M) \text{ ====> } (M \text{ ====> } (rel\text{-option } A \text{ ====> } M) \text{ ====> } M)$   
   $M)$   
   $\text{====> } rel\text{-optionT } M \text{ ====> } rel\text{-optionT } M \text{ ====> } rel\text{-optionT } M)$   
   $\text{catch-option catch-option}$   
  ⟨*proof*⟩

**lemma** *ask-option-parametric* [*transfer-rule*]:

$((R \text{====>} M) \text{====>} M) \text{====>} (R \text{====>} \text{rel-optionT } M) \text{====>} \text{rel-optionT } M$   
*ask-option ask-option*  
 <proof>

**lemma** *get-option-parametric* [*transfer-rule*]:  
 $((S \text{====>} M) \text{====>} M) \text{====>} (S \text{====>} \text{rel-optionT } M) \text{====>} \text{rel-optionT } M$   
*get-option get-option*  
 <proof>

**lemma** *put-option-parametric* [*transfer-rule*]:  
 $(S \text{====>} M \text{====>} M) \text{====>} S \text{====>} \text{rel-optionT } M \text{====>} \text{rel-optionT } M$   
*put-option put-option*  
 <proof>

**lemma** *altc-sample-option-parametric* [*transfer-rule*]:  
 $(A \text{====>} (P \text{====>} M) \text{====>} M) \text{====>} A \text{====>} (P \text{====>} \text{rel-optionT } M) \text{====>} \text{rel-optionT } M$   
*altc-sample-option altc-sample-option*  
 <proof>

**lemma** *alt-option-parametric* [*transfer-rule*]:  
 $(M \text{====>} M \text{====>} M) \text{====>} \text{rel-optionT } M \text{====>} \text{rel-optionT } M \text{====>} \text{rel-optionT } M$   
*alt-option alt-option*  
 <proof>

**lemma** *tell-option-parametric* [*transfer-rule*]:  
 $(W \text{====>} M \text{====>} M) \text{====>} W \text{====>} \text{rel-optionT } M \text{====>} \text{rel-optionT } M$   
*tell-option tell-option*  
 <proof>

**lemma** *pause-option-parametric* [*transfer-rule*]:  
 $(Out \text{====>} (In \text{====>} M) \text{====>} M) \text{====>} Out \text{====>} (In \text{====>} \text{rel-optionT } M) \text{====>} \text{rel-optionT } M$   
*pause-option pause-option*  
 <proof>

end

### 3.5 Reader monad transformer

**datatype** (*r*, *m*) *envT* = *EnvT* (*run-env*: *r*  $\Rightarrow$  *m*)

**context includes** *lifting-syntax* **begin**

**definition** *rel-envT* :: (*r*  $\Rightarrow$  *r'*  $\Rightarrow$  *bool*)  $\Rightarrow$  (*m*  $\Rightarrow$  *m'*  $\Rightarrow$  *bool*)  $\Rightarrow$  (*r*, *m*) *envT*  $\Rightarrow$  (*r'*, *m'*) *envT*  $\Rightarrow$  *bool*

**where** *rel-envT* *R* *M* = *BNF-Def.vimage2p* *run-env* *run-env* (*R*  $\text{====>} M$ )

**lemma** *rel-envTI* [*intro!*]: (*R*  $\text{====>} M$ ) *f g*  $\Longrightarrow$  *rel-envT* *R* *M* (*EnvT* *f*) (*EnvT*

*g*)  
<proof>

**lemma** *rel-envT-simps*: *rel-envT* *R* *M* (*EnvT* *f*) (*EnvT* *g*)  $\longleftrightarrow$  (*R*  $\implies$  *M*) *f* *g*  
<proof>

**lemma** *rel-envTE* [*cases pred*]:  
  **assumes** *rel-envT* *R* *M* *m* *m'*  
  **obtains** *f* *g* **where** *m* = *EnvT* *f* *m'* = *EnvT* *g* (*R*  $\implies$  *M*) *f* *g*  
<proof>

**lemma** *rel-envT-eq* [*relator-eq*]: *rel-envT* (=) (=) = (=)  
<proof>

**lemma** *rel-envT-mono* [*relator-mono*]:  $\llbracket R \leq R'; M \leq M' \rrbracket \implies \text{rel-envT } R' M \leq \text{rel-envT } R M'$   
<proof>

**lemma** *EnvT-parametric* [*transfer-rule*]:  $((R \implies M) \implies \text{rel-envT } R M) \implies \text{EnvT } \text{EnvT}$   
<proof>

**lemma** *run-env-parametric* [*transfer-rule*]:  $(\text{rel-envT } R M \implies R \implies M) \implies \text{run-env } \text{run-env}$   
<proof>

**lemma** *rec-envT-parametric* [*transfer-rule*]:  
   $((R \implies M) \implies X) \implies \text{rel-envT } R M \implies X) \implies \text{rec-envT } \text{rec-envT}$   
<proof>

**lemma** *case-envT-parametric* [*transfer-rule*]:  
   $((R \implies M) \implies X) \implies \text{rel-envT } R M \implies X) \implies \text{case-envT } \text{case-envT}$   
<proof>

**end**

### 3.5.1 Plain monad and ask

**context**

**fixes** *return* :: ('a, 'm) *return*

**and** *bind* :: ('a, 'm) *bind*

**begin**

**definition** *return-env* :: ('a, ('r, 'm) *envT*) *return*

**where** *return-env* *x* = *EnvT* ( $\lambda$ -. *return* *x*)

**primrec** *bind-env* :: ('a, ('r, 'm) *envT*) *bind*

**where** *bind-env* (*EnvT* *x*) *f* = *EnvT* ( $\lambda$ *r*. *bind* (*x* *r*) ( $\lambda$ *y*. *run-env* (*f* *y*) *r*))

**definition**  $ask\text{-}env :: ('r, ('r, 'm) envT) ask$   
**where**  $ask\text{-}env f = EnvT (\lambda r. run\text{-}env (f r) r)$

**lemma**  $run\text{-}bind\text{-}env [simp]: run\text{-}env (bind\text{-}env x f) r = bind (run\text{-}env x r) (\lambda y. run\text{-}env (f y) r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}return\text{-}env [simp]: run\text{-}env (return\text{-}env x) r = return x$   
 $\langle proof \rangle$

**lemma**  $run\text{-}ask\text{-}env [simp]: run\text{-}env (ask\text{-}env f) r = run\text{-}env (f r) r$   
 $\langle proof \rangle$

**context**

**assumes**  $monad: monad\ return\ bind$

**begin**

**interpretation**  $monad\ return\ bind :: ('a, 'm) bind \langle proof \rangle$

**lemma**  $monad\text{-}envT [locale\text{-}witness]: monad\ return\text{-}env\ bind\text{-}env$   
 $\langle proof \rangle$

**lemma**  $monad\text{-}reader\text{-}envT [locale\text{-}witness]:$   
 $monad\text{-}reader\ return\text{-}env\ bind\text{-}env\ ask\text{-}env$   
 $\langle proof \rangle$

**end**

### 3.5.2 Failure

**context**

**fixes**  $fail :: 'm fail$

**begin**

**definition**  $fail\text{-}env :: ('r, 'm) envT fail$   
**where**  $fail\text{-}env = EnvT (\lambda r. fail)$

**lemma**  $run\text{-}fail\text{-}env [simp]: run\text{-}env fail\text{-}env r = fail$   
 $\langle proof \rangle$

**lemma**  $monad\text{-}fail\text{-}envT [locale\text{-}witness]:$   
**assumes**  $monad\text{-}fail\ return\ bind\ fail$   
**shows**  $monad\text{-}fail\ return\text{-}env\ bind\text{-}env\ fail\text{-}env$   
 $\langle proof \rangle$

**context**

**fixes**  $catch :: 'm catch$

**begin**

**definition** *catch-env* :: ('r, 'm) envT catch  
**where** *catch-env* m1 m2 = EnvT (λr. catch (run-env m1 r) (run-env m2 r))

**lemma** *run-catch-env* [simp]: run-env (catch-env m1 m2) r = catch (run-env m1 r) (run-env m2 r)  
⟨proof⟩

**lemma** *monad-catch-envT* [locale-witness]:  
**assumes** *monad-catch* return bind fail catch  
**shows** *monad-catch* return-env bind-env fail-env catch-env  
⟨proof⟩

**end**

**end**

### 3.5.3 State

**context**  
**fixes** *get* :: ('s, 'm) get  
**and** *put* :: ('s, 'm) put  
**begin**

**definition** *get-env* :: ('s, ('r, 'm) envT) get  
**where** *get-env* f = EnvT (λr. get (λs. run-env (f s) r))

**definition** *put-env* :: ('s, ('r, 'm) envT) put  
**where** *put-env* s m = EnvT (λr. put s (run-env m r))

**lemma** *run-get-env* [simp]: run-env (get-env f) r = get (λs. run-env (f s) r)  
⟨proof⟩

**lemma** *run-put-env* [simp]: run-env (put-env s m) r = put s (run-env m r)  
⟨proof⟩

**lemma** *monad-state-envT* [locale-witness]:  
**assumes** *monad-state* return bind get put  
**shows** *monad-state* return-env bind-env get-env put-env  
⟨proof⟩

### 3.5.4 Probability

**context**  
**fixes** *sample* :: ('p, 'm) sample  
**begin**

**definition** *sample-env* :: ('p, ('r, 'm) envT) sample  
**where** *sample-env* p f = EnvT (λr. sample p (λx. run-env (f x) r))

**lemma** *run-sample-env* [*simp*]: *run-env (sample-env p f) r = sample p (λx. run-env (f x) r)*  
 ⟨*proof*⟩

**lemma** *monad-prob-envT* [*locale-witness*]:  
**assumes** *monad-prob return bind sample*  
**shows** *monad-prob return-env bind-env sample-env*  
 ⟨*proof*⟩ **including** *lifting-syntax*  
 ⟨*proof*⟩

**lemma** *monad-state-prob-envT* [*locale-witness*]:  
**assumes** *monad-state-prob return bind get put sample*  
**shows** *monad-state-prob return-env bind-env get-env put-env sample-env*  
 ⟨*proof*⟩

**end**

### 3.5.5 Binary Non-determinism

**context**  
**fixes** *alt* :: 'm *alt*  
**begin**

**definition** *alt-env* :: ('r, 'm) *envT alt*  
**where** *alt-env m1 m2 = EnvT (λr. alt (run-env m1 r) (run-env m2 r))*

**lemma** *run-alt-env* [*simp*]: *run-env (alt-env m1 m2) r = alt (run-env m1 r) (run-env m2 r)*  
 ⟨*proof*⟩

**lemma** *monad-alt-envT* [*locale-witness*]:  
**assumes** *monad-alt return bind alt*  
**shows** *monad-alt return-env bind-env alt-env*  
 ⟨*proof*⟩

**lemma** *monad-fail-alt-envT* [*locale-witness*]:  
**fixes** *fail*  
**assumes** *monad-fail-alt return bind fail alt*  
**shows** *monad-fail-alt return-env bind-env (fail-env fail) alt-env*  
 ⟨*proof*⟩

**lemma** *monad-state-alt-envT* [*locale-witness*]:  
**assumes** *monad-state-alt return bind get put alt*  
**shows** *monad-state-alt return-env bind-env get-env put-env alt-env*  
 ⟨*proof*⟩

**end**

### 3.5.6 Countable Non-determinism

**context**

**fixes**  $altc :: ('c, 'm) altc$

**begin**

**definition**  $altc-env :: ('c, ('r, 'm) envT) altc$

**where**  $altc-env C f = EnvT (\lambda r. altc C (\lambda c. run-env (f c) r))$

**lemma**  $run-altc-env [simp]: run-env (altc-env C f) r = altc C (\lambda c. run-env (f c) r)$

$\langle proof \rangle$

**lemma**  $monad-altc-envT [locale-witness]:$

**assumes**  $monad-altc return bind altc$

**shows**  $monad-altc return-env bind-env altc-env$

$\langle proof \rangle$  **including**  $lifting-syntax$

$\langle proof \rangle$

**lemma**  $monad-altc3-envT [locale-witness]:$

**assumes**  $monad-altc3 return bind altc$

**shows**  $monad-altc3 return-env bind-env altc-env$

$\langle proof \rangle$

**lemma**  $monad-state-altc-envT [locale-witness]:$

**assumes**  $monad-state-altc return bind get put altc$

**shows**  $monad-state-altc return-env bind-env get-env put-env altc-env$

$\langle proof \rangle$

**end**

**end**

### 3.5.7 Resumption

**context**

**fixes**  $pause :: ('o, 'i, 'm) pause$

**begin**

**definition**  $pause-env :: ('o, 'i, ('r, 'm) envT) pause$

**where**  $pause-env out c = EnvT (\lambda r. pause out (\lambda i. run-env (c i) r))$

**lemma**  $run-pause-env [simp]:$

$run-env (pause-env out c) r = pause out (\lambda i. run-env (c i) r)$

$\langle proof \rangle$

**lemma**  $monad-resumption-envT [locale-witness]:$

**assumes**  $monad-resumption return bind pause$

**shows**  $monad-resumption return-env bind-env pause-env$

$\langle proof \rangle$



end

### 3.5.8 Writer

context

fixes  $tell :: ('w, 'm) \rightarrow tell$

begin

definition  $tell\text{-}env :: ('w, ('r, 'm) \rightarrow envT) \rightarrow tell$

where  $tell\text{-}env\ w\ m = EnvT\ (\lambda r. tell\ w\ (run\text{-}env\ m\ r))$

lemma  $run\text{-}tell\text{-}env\ [simp]: run\text{-}env\ (tell\text{-}env\ w\ m)\ r = tell\ w\ (run\text{-}env\ m\ r)$

$\langle proof \rangle$

lemma  $monad\text{-}writer\text{-}envT\ [locale\text{-}witness]:$

assumes  $monad\text{-}writer\ return\ bind\ tell$

shows  $monad\text{-}writer\ return\text{-}env\ bind\text{-}env\ tell\text{-}env$

$\langle proof \rangle$

end

### 3.5.9 Commutativity

lemma  $monad\text{-}commute\text{-}envT\ [locale\text{-}witness]:$

assumes  $monad\text{-}commute\ return\ bind$

shows  $monad\text{-}commute\ return\text{-}env\ bind\text{-}env$

$\langle proof \rangle$

### 3.5.10 Discardability

lemma  $monad\text{-}discard\text{-}envT\ [locale\text{-}witness]:$

assumes  $monad\text{-}discard\ return\ bind$

shows  $monad\text{-}discard\ return\text{-}env\ bind\text{-}env$

$\langle proof \rangle$

### 3.5.11 Duplicability

lemma  $monad\text{-}duplicate\text{-}envT\ [locale\text{-}witness]:$

assumes  $monad\text{-}duplicate\ return\ bind$

shows  $monad\text{-}duplicate\ return\text{-}env\ bind\text{-}env$

$\langle proof \rangle$

end

### 3.5.12 Parametricity

context includes  $lifting\text{-}syntax$  begin

lemma  $return\text{-}env\text{-}parametric\ [transfer\text{-}rule]:$

$((A \text{====>} M) \text{====>} A \text{====>} \text{rel-envT } R \ M) \text{return-env return-env}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-env-parametric* [*transfer-rule*]:

$((M \text{====>} (A \text{====>} M) \text{====>} M) \text{====>} \text{rel-envT } R \ M \text{====>} (A \text{====>} \text{rel-envT } R \ M) \text{====>} \text{rel-envT } R \ M)$   
*bind-env bind-env*  
 $\langle \text{proof} \rangle$

**lemma** *ask-env-parametric* [*transfer-rule*]:  $((R \text{====>} \text{rel-envT } R \ M) \text{====>} \text{rel-envT } R \ M)$  *ask-env ask-env*  
 $\langle \text{proof} \rangle$

**lemma** *fail-env-parametric* [*transfer-rule*]:  $(M \text{====>} \text{rel-envT } R \ M)$  *fail-env fail-env*  
 $\langle \text{proof} \rangle$

**lemma** *catch-env-parametric* [*transfer-rule*]:

$((M \text{====>} M \text{====>} M) \text{====>} \text{rel-envT } R \ M \text{====>} \text{rel-envT } R \ M \text{====>} \text{rel-envT } R \ M)$  *catch-env catch-env*  
 $\langle \text{proof} \rangle$

**lemma** *get-env-parametric* [*transfer-rule*]:

$((S \text{====>} M) \text{====>} M) \text{====>} (S \text{====>} \text{rel-envT } R \ M) \text{====>} \text{rel-envT } R \ M)$  *get-env get-env*  
 $\langle \text{proof} \rangle$

**lemma** *put-env-parametric* [*transfer-rule*]:

$(S \text{====>} M \text{====>} M) \text{====>} S \text{====>} \text{rel-envT } R \ M \text{====>} \text{rel-envT } R \ M)$  *put-env put-env*  
 $\langle \text{proof} \rangle$

**lemma** *sample-env-parametric* [*transfer-rule*]:

$((\text{rel-pmf } P \text{====>} (P \text{====>} M) \text{====>} M) \text{====>} \text{rel-pmf } P \text{====>} (P \text{====>} \text{rel-envT } R \ M) \text{====>} \text{rel-envT } R \ M)$   
*sample-env sample-env*  
 $\langle \text{proof} \rangle$

**lemma** *alt-env-parametric* [*transfer-rule*]:

$(M \text{====>} M \text{====>} M) \text{====>} \text{rel-envT } R \ M \text{====>} \text{rel-envT } R \ M \text{====>} \text{rel-envT } R \ M)$  *alt-env alt-env*  
 $\langle \text{proof} \rangle$

**lemma** *altc-env-parametric* [*transfer-rule*]:

$((\text{rel-cset } C \text{====>} (C \text{====>} M) \text{====>} M) \text{====>} \text{rel-cset } C \text{====>} (C \text{====>} \text{rel-envT } R \ M) \text{====>} \text{rel-envT } R \ M)$   
*altc-env altc-env*  
 $\langle \text{proof} \rangle$

**lemma** *pause-env-parametric* [*transfer-rule*]:

$((Out \text{====>} (In \text{====>} M) \text{====>} M) \text{====>} Out \text{====>} (In \text{====>} rel\text{-env}T R M) \text{====>} rel\text{-env}T R M)$   
*pause-env pause-env*  
 <proof>

**lemma** *tell-env-parametric* [*transfer-rule*]:

$((W \text{====>} M \text{====>} M) \text{====>} W \text{====>} rel\text{-env}T R M \text{====>} rel\text{-env}T R M)$   
*tell-env tell-env*  
 <proof>

**end**

### 3.6 Unbounded non-determinism

**abbreviation** (*input*) *return-set* :: ('a, 'a set) **return where** *return-set*  $x \equiv \{x\}$

**abbreviation** (*input*) *bind-set* :: ('a, 'a set) **bind where** *bind-set*  $\equiv \lambda A f. \bigcup (f \text{ ` } A)$

**abbreviation** (*input*) *fail-set* :: 'a set **fail where** *fail-set*  $\equiv \{\}$

**abbreviation** (*input*) *alt-set* :: 'a set **alt where** *alt-set*  $\equiv (\cup)$

**abbreviation** (*input*) *altc-set* :: ('c, 'a set) **altc where** *altc-set*  $C \equiv \lambda f. \bigcup (f \text{ ` } rcset C)$

**lemma** *monad-set* [*locale-witness*]: *monad return-set bind-set*  
 <proof>

**lemma** *monad-fail-set* [*locale-witness*]: *monad-fail return-set bind-set fail-set*  
 <proof>

**lemma** *monad-lift-set* [*simp*]: *monad-base.lift return-set bind-set = image*  
 <proof>

**lemma** *monad-alt-set* [*locale-witness*]: *monad-alt return-set bind-set alt-set*  
 <proof>

**lemma** *monad-altc-set* [*locale-witness*]: *monad-altc return-set bind-set altc-set*  
**including** *cset.lifting lifting-syntax*  
 <proof>

**lemma** *monad-altc3-set* [*locale-witness*]:  
*monad-altc3 return-set bind-set (altc-set :: ('c, 'a set) altc)*  
**if** [*locale-witness*]: *three TYPE('c)*  
 <proof>

### 3.7 Non-determinism transformer

**datatype** (*plugins del: transfer*) (*phantom-nondetT: 'a, set-nondetT: 'm*) *nondetT*  
 $= NondetT$  (*run-nondet: 'm*)  
**for** *map: map-nondetT'*  
*rel: rel-nondetT'*

We define our own relator and mapper such that the phantom variable does not need any relation.

**lemma** *phantom-nondetT* [*simp*]: *phantom-nondetT*  $x = \{\}$   
 ⟨*proof*⟩

**context includes** *lifting-syntax* **begin**

**lemma** *rel-nondetT'-phantom*: *rel-nondetT'*  $A = \text{rel-nondetT}' \text{ top}$   
 ⟨*proof*⟩

**lemma** *map-nondetT'-phantom*: *map-nondetT'*  $f = \text{map-nondetT}' \text{ undefined}$   
 ⟨*proof*⟩

**definition** *map-nondetT* ::  $('m \Rightarrow 'm') \Rightarrow ('a, 'm) \text{ nondetT} \Rightarrow ('b, 'm') \text{ nondetT}$   
**where** *map-nondetT* = *map-nondetT'* *undefined*

**definition** *rel-nondetT* ::  $('m \Rightarrow 'm' \Rightarrow \text{bool}) \Rightarrow ('a, 'm) \text{ nondetT} \Rightarrow ('b, 'm') \text{ nondetT} \Rightarrow \text{bool}$   
**where** *rel-nondetT* = *rel-nondetT'* *top*

**lemma** *rel-nondetTE*:  
**assumes** *rel-nondetT*  $M m m'$   
**obtains**  $x y$  **where**  $m = \text{NondetT } x m' = \text{NondetT } y M x y$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-simps* [*simp*]: *rel-nondetT*  $M (\text{NondetT } m) (\text{NondetT } m') \longleftrightarrow M m m'$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-unfold*:  
 $\bigwedge m m'. \text{rel-nondetT } M (\text{NondetT } m) m' \longleftrightarrow (\exists m''. m' = \text{NondetT } m'' \wedge M m m'')$   
 $\bigwedge m m'. \text{rel-nondetT } M m (\text{NondetT } m') \longleftrightarrow (\exists m''. m = \text{NondetT } m'' \wedge M m'' m')$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-expand*:  $M (\text{run-nondet } m) (\text{run-nondet } m') \Longrightarrow \text{rel-nondetT } M m m'$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-eq* [*relator-eq*]: *rel-nondetT*  $(=) = (=)$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-mono* [*relator-mono*]: *rel-nondetT*  $A \leq \text{rel-nondetT } B$  **if**  $A \leq B$   
 ⟨*proof*⟩

**lemma** *rel-nondetT-distr* [*relator-distr*]: *rel-nondetT*  $A \text{ OO } \text{rel-nondetT } B = \text{rel-nondetT } (A \text{ OO } B)$

*<proof>*

**lemma** *rel-nondetT-Grp*: *rel-nondetT (BNF-Def.Grp A f) = BNF-Def.Grp {x. set-nondetT x  $\subseteq$  A} (map-nondetT f)*  
*<proof>*

**lemma** *NondetT-parametric [transfer-rule]*: *(M  $\implies$  rel-nondetT M) NondetT NondetT*  
*<proof>*

**lemma** *run-nondet-parametric [transfer-rule]*: *(rel-nondetT M  $\implies$  M) run-nondet run-nondet*  
*<proof>*

**lemma** *case-nondetT-parametric [transfer-rule]*:  
*((M  $\implies$  X)  $\implies$  rel-nondetT M  $\implies$  X) case-nondetT case-nondetT*  
*<proof>*

**lemma** *rec-nondetT-parametric [transfer-rule]*:  
*((M  $\implies$  X)  $\implies$  rel-nondetT M  $\implies$  X) rec-nondetT rec-nondetT*  
*<proof>*

**end**

### 3.7.1 Generic implementation

**type-synonym** *('a, 'm, 's) merge = 's  $\Rightarrow$  ('a  $\Rightarrow$  'm)  $\Rightarrow$  'm*

**locale** *nondetM-base = monad-base return bind*

**for** *return :: ('s, 'm) return*

**and** *bind :: ('s, 'm) bind*

**and** *merge :: ('a, 'm, 's) merge*

**and** *empty :: 's*

**and** *single :: 'a  $\Rightarrow$  's*

**and** *union :: 's  $\Rightarrow$  's  $\Rightarrow$  's (infixl  $\cup$  65)*

**begin**

**definition** *return-nondet :: ('a, ('a, 'm) nondetT) return*

**where** *return-nondet x = NondetT (return (single x))*

**definition** *bind-nondet :: ('a, ('a, 'm) nondetT) bind*

**where** *bind-nondet m f = NondetT (bind (run-nondet m) ( $\lambda A.$  merge A (run-nondet  $\circ$  f)))*

**definition** *fail-nondet :: ('a, 'm) nondetT fail*

**where** *fail-nondet = NondetT (return empty)*

**definition** *alt-nondet :: ('a, 'm) nondetT alt*

**where** *alt-nondet m1 m2 = NondetT (bind (run-nondet m1) ( $\lambda A.$  bind (run-nondet*

$m2) (\lambda B. \text{return } (A \cup B)))$

**definition**  $\text{get-nondet} :: ('state, 'm) \text{get} \Rightarrow ('state, ('a, 'm) \text{nondetT}) \text{get}$   
**where**  $\text{get-nondet get } f = \text{NondetT } (\text{get } (\lambda s. \text{run-nondet } (f s)))$  **for**  $\text{get}$

**definition**  $\text{put-nondet} :: ('state, 'm) \text{put} \Rightarrow ('state, ('a, 'm) \text{nondetT}) \text{put}$   
**where**  $\text{put-nondet put } s m = \text{NondetT } (\text{put } s (\text{run-nondet } m))$  **for**  $\text{put}$

**definition**  $\text{ask-nondet} :: ('r, 'm) \text{ask} \Rightarrow ('r, ('a, 'm) \text{nondetT}) \text{ask}$   
**where**  $\text{ask-nondet ask } f = \text{NondetT } (\text{ask } (\lambda r. \text{run-nondet } (f r)))$

The canonical lift of sampling into  $(-, -) \text{nondetT}$  does not satisfy *monad-prob*, because sampling does not distribute over *bind* backwards. Intuitively, if we sample first, then the same sample is used in all non-deterministic choices. But if we sample later, each non-deterministic choice may sample a different value.

**lemma**  $\text{run-return-nondet [simp]: run-nondet (return-nondet } x) = \text{return (single } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-bind-nondet [simp]: run-nondet (bind-nondet } m f) = \text{bind (run-nondet } m) (\lambda A. \text{merge } A (\text{run-nondet } \circ f))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-fail-nondet [simp]: run-nondet fail-nondet} = \text{return empty}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-alt-nondet [simp]: run-nondet (alt-nondet } m1 m2) = \text{bind (run-nondet } m1) (\lambda A. \text{bind (run-nondet } m2) (\lambda B. \text{return } (A \cup B)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-get-nondet [simp]: run-nondet (get-nondet get } f) = \text{get } (\lambda s. \text{run-nondet } (f s))$  **for**  $\text{get}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-put-nondet [simp]: run-nondet (put-nondet put } s m) = \text{put } s (\text{run-nondet } m)$  **for**  $\text{put}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{run-ask-nondet [simp]: run-nondet (ask-nondet ask } f) = \text{ask } (\lambda r. \text{run-nondet } (f r))$  **for**  $\text{ask}$   
 $\langle \text{proof} \rangle$

**end**

**lemma**  $\text{bind-nondet-cong [cong]: nondetM-base.bind-nondet bind merge} = \text{nondetM-base.bind-nondet bind merge}$

**for** *bind merge*  $\langle$ *proof* $\rangle$

**lemmas** [*code*] =  
  *nondetM-base.return-nondet-def*  
  *nondetM-base.bind-nondet-def*  
  *nondetM-base.fail-nondet-def*  
  *nondetM-base.alt-nondet-def*  
  *nondetM-base.get-nondet-def*  
  *nondetM-base.put-nondet-def*  
  *nondetM-base.ask-nondet-def*

**locale** *nondetM* = *nondetM-base return bind merge empty single union*

+  
  *monad-commute return bind*  
  **for** *return* :: ('s, 'm) *return*  
  **and** *bind* :: ('s, 'm) *bind*  
  **and** *merge* :: ('a, 'm, 's) *merge*  
  **and** *empty* :: 's  
  **and** *single* :: 'a  $\Rightarrow$  's  
  **and** *union* :: 's  $\Rightarrow$  's  $\Rightarrow$  's (**infixl**  $\cup$  65)  
+  
  **assumes** *bind-merge-merge*:  
     $\bigwedge y f g. \text{bind } (\text{merge } y f) (\lambda A. \text{merge } A g) = \text{merge } y (\lambda x. \text{bind } (f x) (\lambda A. \text{merge } A g))$   
  **and** *merge-empty*:  $\bigwedge f. \text{merge } \text{empty } f = \text{return } \text{empty}$   
  **and** *merge-single*:  $\bigwedge x f. \text{merge } (\text{single } x) f = f x$   
  **and** *merge-single2*:  $\bigwedge A. \text{merge } A (\lambda x. \text{return } (\text{single } x)) = \text{return } A$   
  **and** *merge-union*:  $\bigwedge A B f. \text{merge } (A \cup B) f = \text{bind } (\text{merge } A f) (\lambda A'. \text{bind } (\text{merge } B f) (\lambda B'. \text{return } (A' \cup B')))$   
  **and** *union-assoc*:  $\bigwedge A B C. (A \cup B) \cup C = A \cup (B \cup C)$   
  **and** *empty-union*:  $\bigwedge A. \text{empty} \cup A = A$   
  **and** *union-empty*:  $\bigwedge A. A \cup \text{empty} = A$   
**begin**

**lemma** *monad-nondetT* [*locale-witness*]: *monad return-nondet bind-nondet*  
 $\langle$ *proof* $\rangle$

**lemma** *monad-fail-nondetT* [*locale-witness*]: *monad-fail return-nondet bind-nondet fail-nondet*  
 $\langle$ *proof* $\rangle$

**lemma** *monad-alt-nondetT* [*locale-witness*]: *monad-alt return-nondet bind-nondet alt-nondet*  
 $\langle$ *proof* $\rangle$

**lemma** *monad-fail-alt-nondetT* [*locale-witness*]:  
  *monad-fail-alt return-nondet bind-nondet fail-nondet alt-nondet*  
 $\langle$ *proof* $\rangle$

**lemma** *monad-state-nondetT* [*locale-witness*]:

— It's not really sensible to assume a commutative state monad, but let's prove it anyway ...

**fixes** *get put*  
**assumes** *monad-state return bind get put*  
**shows** *monad-state return-nondet bind-nondet (get-nondet get) (put-nondet put)*  
*<proof>*

**lemma** *monad-state-alt-nondetT* [*locale-witness*]:

**fixes** *get put*  
**assumes** *monad-state return bind get put*  
**shows** *monad-state-alt return-nondet bind-nondet (get-nondet get) (put-nondet put) alt-nondet*  
*<proof>*

**end**

**lemmas** *nondetM-lemmas* =

*nondetM.monad-nondetT*  
*nondetM.monad-fail-nondetT*  
*nondetM.monad-alt-nondetT*  
*nondetM.monad-fail-alt-nondetT*  
*nondetM.monad-state-nondetT*

**locale** *nondetM-ask* = *nondetM return bind merge empty single union*

**for** *return* :: ('s, 'm) *return*  
**and** *bind* :: ('s, 'm) *bind*  
**and** *ask* :: ('r, 'm) *ask*  
**and** *merge* :: ('a, 'm, 's) *merge*  
**and** *empty* :: 's  
**and** *single* :: 'a  $\Rightarrow$  's  
**and** *union* :: 's  $\Rightarrow$  's  $\Rightarrow$  's (**infixl**  $\cup$  65)  
+  
**assumes** *monad-reader: monad-reader return bind ask*  
**assumes** *merge-ask:*  
 $\bigwedge A (f :: 'a \Rightarrow 'r \Rightarrow ('a, 'm) \text{ nondetT}). \text{merge } A (\lambda x. \text{ask } (\lambda r. \text{run-nondet } (f x r))) =$   
 $\text{ask } (\lambda r. \text{merge } A (\lambda x. \text{run-nondet } (f x r)))$

**begin**

**interpretation** *monad-reader return bind ask* *<proof>*

**lemma** *monad-reader-nondetT*: *monad-reader return-nondet bind-nondet (ask-nondet ask)*

*<proof>*

**end**

**lemmas** *nondetM-ask-lemmas* =



*nondetM-ask.monad-reader-nondetT*

### 3.7.2 Parametricity

**context includes** *lifting-syntax* **begin**

**lemma** *return-nondet-parametric* [*transfer-rule*]:

$((S \text{====>} M) \text{====>} (A \text{====>} S) \text{====>} A \text{====>} \text{rel-nondetT } M)$   
*nondetM-base.return-nondet nondetM-base.return-nondet*  
*<proof>*

**lemma** *bind-nondet-parametric* [*transfer-rule*]:

$((M \text{====>} (S \text{====>} M) \text{====>} M) \text{====>} (S \text{====>} (A \text{====>} M) \text{====>} M) \text{====>} \text{rel-nondetT } M \text{====>} (A \text{====>} \text{rel-nondetT } M) \text{====>} \text{rel-nondetT } M)$   
*nondetM-base.bind-nondet nondetM-base.bind-nondet*  
*<proof>*

**lemma** *fail-nondet-parametric* [*transfer-rule*]:

$((S \text{====>} M) \text{====>} S \text{====>} \text{rel-nondetT } M) \text{====>} \text{rel-nondetT } M$   
*nondetM-base.fail-nondet nondetM-base.fail-nondet*  
*<proof>*

**lemma** *alt-nondet-parametric* [*transfer-rule*]:

$((S \text{====>} M) \text{====>} (M \text{====>} (S \text{====>} M) \text{====>} M) \text{====>} (S \text{====>} S) \text{====>} \text{rel-nondetT } M \text{====>} \text{rel-nondetT } M)$   
*nondetM-base.alt-nondet nondetM-base.alt-nondet*  
*<proof>*

**lemma** *get-nondet-parametric* [*transfer-rule*]:

$((S \text{====>} M) \text{====>} M) \text{====>} (S \text{====>} \text{rel-nondetT } M) \text{====>} \text{rel-nondetT } M$   
*nondetM-base.get-nondet nondetM-base.get-nondet*  
*<proof>*

**lemma** *put-nondet-parametric* [*transfer-rule*]:

$((S \text{====>} M \text{====>} M) \text{====>} S \text{====>} \text{rel-nondetT } M \text{====>} \text{rel-nondetT } M)$   
*nondetM-base.put-nondet nondetM-base.put-nondet*  
*<proof>*

**lemma** *ask-nondet-parametric* [*transfer-rule*]:

$((R \text{====>} M) \text{====>} M) \text{====>} (R \text{====>} \text{rel-nondetT } M) \text{====>} \text{rel-nondetT } M$   
*nondetM-base.ask-nondet nondetM-base.ask-nondet*  
*<proof>*

**end**

### 3.7.3 Implementation using lists

**context**

**fixes**  $return :: ('a\ list, 'm)\ return$   
**and**  $bind :: ('a\ list, 'm)\ bind$   
**and**  $lunionM\ lUnionM$   
**defines**  $lunionM\ m1\ m2 \equiv bind\ m1\ (\lambda A.\ bind\ m2\ (\lambda B.\ return\ (A\ @\ B)))$   
**and**  $lUnionM\ ms \equiv foldr\ lunionM\ ms\ (return\ [])$

**begin**

**definition**  $lmerge :: 'a\ list \Rightarrow ('a \Rightarrow 'm) \Rightarrow 'm$  **where**

$lmerge\ A\ f = lUnionM\ (map\ f\ A)$

**context**

**assumes**  $monad-commute\ return\ bind$

**begin**

**interpretation**  $monad-commute\ return\ bind$   $\langle proof \rangle$

**interpretation**  $nondetM-base\ return\ bind\ lmerge$   $[]\ \lambda x.\ [x]\ (@)\ \langle proof \rangle$

**lemma**  $lUnionM-empty$   $[simp]: lUnionM\ [] = return\ []$   $\langle proof \rangle$

**lemma**  $lUnionM-Cons$   $[simp]: lUnionM\ (x\ \# M) = lunionM\ x\ (lUnionM\ M)$  **for**  
 $x\ M$

$\langle proof \rangle$

**lemma**  $lunionM-return-empty1$   $[simp]: lunionM\ (return\ [])\ x = x$  **for**  $x$

$\langle proof \rangle$

**lemma**  $lunionM-return-empty2$   $[simp]: lunionM\ x\ (return\ []) = x$  **for**  $x$

$\langle proof \rangle$

**lemma**  $lunionM-return-return$   $[simp]: lunionM\ (return\ A)\ (return\ B) = return\ (A\ @\ B)$  **for**  $A\ B$

$\langle proof \rangle$

**lemma**  $lunionM-assoc: lunionM\ (lunionM\ x\ y)\ z = lunionM\ x\ (lunionM\ y\ z)$  **for**  
 $x\ y\ z$

$\langle proof \rangle$

**lemma**  $lunionM-lUnionM1: lunionM\ (lUnionM\ A)\ x = foldr\ lunionM\ A\ x$  **for**  $A$   
 $x$

$\langle proof \rangle$

**lemma**  $lUnionM-append$   $[simp]: lUnionM\ (A\ @\ B) = lunionM\ (lUnionM\ A)\ (lUnionM\ B)$  **for**  $A\ B$

$\langle proof \rangle$

**lemma**  $lUnionM-return$   $[simp]: lUnionM\ (map\ (\lambda x.\ return\ [x])\ A) = return\ A$  **for**  
 $A$

$\langle proof \rangle$

**lemma**  $bind-lunionM: bind\ (lunionM\ m\ m')\ f = lunionM\ (bind\ m\ f)\ (bind\ m'\ f)$   
**if**  $\bigwedge A\ B.\ f\ (A\ @\ B) = bind\ (f\ A)\ (\lambda x.\ bind\ (f\ B)\ (\lambda y.\ return\ (x\ @\ y)))$  **for**  $m$   
 $m'\ f$

$\langle proof \rangle$

**lemma**  $list-nondetM: nondetM\ return\ bind\ lmerge$   $[]\ (\lambda x.\ [x])\ (@)$

$\langle proof \rangle$

**lemma** *list-nondetM-ask*:  
**notes** *list-nondetM* [*locale-witness*]  
**assumes** [*locale-witness*]: *monad-reader return bind ask*  
**shows** *nondetM-ask return bind ask lmerge [] (λx. [x]) (@)*  
 ⟨*proof*⟩

**lemmas** *list-nondetMs* [*locale-witness*] =  
*nondetM-lemmas* [*OF list-nondetM*]  
*nondetM-ask-lemmas* [*OF list-nondetM-ask*]

**end**

**end**

**lemma** *lmerge-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
 ((*list-all2 A ==> M*) ==> (*M ==> (list-all2 A ==> M)*) ==> *M*)  
 ==> *list-all2 A ==> (A ==> M)* ==> *M*)  
*lmerge lmerge*  
 ⟨*proof*⟩

### 3.7.4 Implementation using multisets

**context**

**fixes** *return* :: ('a multiset, 'm) *return*  
**and** *bind* :: ('a multiset, 'm) *bind*  
**and** *mUnionM* *mUnionM*  
**defines** *munionM* *m1 m2* ≡ *bind m1 (λA. bind m2 (λB. return (A + B)))*  
**and** *mUnionM* ≡ *fold-mset munionM (return {#})*

**begin**

**definition** *mmerge* :: 'a multiset ⇒ ('a ⇒ 'm) ⇒ 'm  
**where** *mmerge* *A f* = *mUnionM (image-mset f A)*

**context**

**assumes** *monad-commute return bind*

**begin**

**interpretation** *monad-commute return bind* ⟨*proof*⟩

**interpretation** *nondetM-base return bind mmerge {#} λx. {#x#} (+)* ⟨*proof*⟩

**lemma** *munionM-comp-fun-commute*: *comp-fun-commute munionM*  
 ⟨*proof*⟩

**interpretation** *comp-fun-commute munionM* ⟨*proof*⟩

**lemma** *mUnionM-empty* [*simp*]: *mUnionM {#} = return {#}* ⟨*proof*⟩

**lemma** *mUnionM-add-mset* [*simp*]: *mUnionM (add-mset x M) = munionM x*  
 (*mUnionM M*) **for** *x M*

$\langle \text{proof} \rangle$   
**lemma** *munionM-return-empty1* [simp]: *munionM* (return {#}) *x* = *x* **for** *x*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-return-empty2* [simp]: *munionM* *x* (return {#}) = *x* **for** *x*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-return-return* [simp]: *munionM* (return *A*) (return *B*) = return  
(*A* + *B*) **for** *A B*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-assoc*: *munionM* (*munionM* *x y*) *z* = *munionM* *x* (*munionM* *y*  
*z*) **for** *x y z*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-commute*: *munionM* *x y* = *munionM* *y x* **for** *x y*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-mUnionM1*: *munionM* (*mUnionM* *A*) *x* = *fold-mset munionM*  
*x A* **for** *A x*  
 $\langle \text{proof} \rangle$   
**lemma** *munionM-mUnionM2*: *munionM* *x* (*mUnionM* *A*) = *fold-mset munionM*  
*x A* **for** *x A*  
 $\langle \text{proof} \rangle$   
**lemma** *mUnionM-add* [simp]: *mUnionM* (*A* + *B*) = *munionM* (*mUnionM* *A*)  
(*mUnionM* *B*) **for** *A B*  
 $\langle \text{proof} \rangle$   
**lemma** *mUnionM-return* [simp]: *mUnionM* (*image-mset* ( $\lambda x. \text{return } \{\#x\# \}$ ) *A*)  
= *return A* **for** *A*  
 $\langle \text{proof} \rangle$   
**lemma** *bind-munionM*: *bind* (*munionM* *m m'*) *f* = *munionM* (*bind* *m f*) (*bind* *m'*  
*f*)  
**if**  $\bigwedge A B. f (A + B) = \text{bind} (f A) (\lambda x. \text{bind} (f B) (\lambda y. \text{return } (x + y)))$  **for** *m*  
*m' f*  
 $\langle \text{proof} \rangle$

**lemma** *mset-nondetM*: *nondetM* return *bind* *mmerge* {#} ( $\lambda x. \{\#x\# \}$ ) (+)  
 $\langle \text{proof} \rangle$

**lemma** *mset-nondetM-ask*:  
**notes** *mset-nondetM*[*locale-witness*]  
**assumes** [*locale-witness*]: *monad-reader* return *bind* *ask*  
**shows** *nondetM-ask* return *bind* *ask* *mmerge* {#} ( $\lambda x. \{\#x\# \}$ ) (+)  
 $\langle \text{proof} \rangle$

**lemmas** *mset-nondetMs* [*locale-witness*] =  
*nondetM-lemmas*[*OF mset-nondetM*]  
*nondetM-ask-lemmas*[*OF mset-nondetM-ask*]

**end**

**end**

**lemma** *mmerge-parametric*:

**includes** *lifting-syntax*  
**assumes** *return* [*transfer-rule*]: (*rel-mset* *A*  $\implies$  *M*) *return1* *return2*  
**and** *bind* [*transfer-rule*]: (*M*  $\implies$  (*rel-mset* *A*  $\implies$  *M*)  $\implies$  *M*) *bind1*  
*bind2*  
**and** *comm1*: *monad-commute* *return1* *bind1*  
**and** *comm2*: *monad-commute* *return2* *bind2*  
**shows** (*rel-mset* *A*  $\implies$  (*A*  $\implies$  *M*)  $\implies$  *M*) (*mmerge* *return1* *bind1*)  
(*mmerge* *return2* *bind2*)  
*<proof>*

### 3.7.5 Implementation using finite sets

**context**

**fixes** *return* :: ('a *fset*, 'm) *return*  
**and** *bind* :: ('a *fset*, 'm) *bind*  
**and** *funionM* *fUnionM*  
**defines** *funionM* *m1* *m2*  $\equiv$  *bind* *m1* ( $\lambda A.$  *bind* *m2* ( $\lambda B.$  *return* (*A*  $\cup$  *B*)))  
**and** *fUnionM*  $\equiv$  *ffold* *funionM* (*return*  $\{\|\}$ )  
**begin**

**definition** *fmerge* :: 'a *fset*  $\Rightarrow$  ('a  $\Rightarrow$  'm)  $\Rightarrow$  'm  
**where** *fmerge* *A* *f* = *fUnionM* (*fimage* *f* *A*)

**context**

**assumes** *monad-commute* *return* *bind*  
**and** *monad-duplicate* *return* *bind*  
**begin**

**interpretation** *monad-commute* *return* *bind* *<proof>*

**interpretation** *monad-duplicate* *return* *bind* *<proof>*

**interpretation** *nondetM-base* *return* *bind* *fmerge*  $\{\|\}$   $\lambda x.$   $\{|x|\}$  ( $|\cup|$ ) *<proof>*

**lemma** *funionM-comp-fun-commute*: *comp-fun-commute* *funionM*  
*<proof>*

**interpretation** *comp-fun-commute* *funionM* *<proof>*

**lemma** *funionM-comp-fun-idem*: *comp-fun-idem* *funionM*  
*<proof>*

**interpretation** *comp-fun-idem* *funionM* *<proof>*

**lemma** *fUnionM-empty* [*simp*]: *fUnionM*  $\{\|\}$  = *return*  $\{\|\}$  *<proof>*

**lemma** *fUnionM-finset* [*simp*]: *fUnionM* (*finsert* *x* *M*) = *funionM* *x* (*fUnionM* *M*)

**for** *x* *M*

*<proof>*

**lemma** *funionM-return-empty1* [*simp*]: *funionM* (*return*  $\{\|\}$ ) *x* = *x* **for** *x*

*<proof>*

**lemma** *funionM-return-empty2* [*simp*]: *funionM* *x* (*return*  $\{\|\}$ ) = *x* **for** *x*

$\langle \text{proof} \rangle$   
**lemma** *funionM-return-return* [simp]: *funionM* (return *A*) (return *B*) = return (*A* | $\cup$ | *B*) **for** *A B*  
 $\langle \text{proof} \rangle$   
**lemma** *funionM-assoc*: *funionM* (*funionM* *x y*) *z* = *funionM* *x* (*funionM* *y z*) **for** *x y z*  
 $\langle \text{proof} \rangle$   
**lemma** *funionM-commute*: *funionM* *x y* = *funionM* *y x* **for** *x y*  
 $\langle \text{proof} \rangle$   
**lemma** *funionM-fUnionM1*: *funionM* (*fUnionM* *A*) *x* = *ffold funionM x A* **for** *A x*  
 $\langle \text{proof} \rangle$   
**lemma** *funionM-fUnionM2*: *funionM* *x* (*fUnionM* *A*) = *ffold funionM x A* **for** *x A*  
 $\langle \text{proof} \rangle$   
**lemma** *fUnionM-funion* [simp]: *fUnionM* (*A* | $\cup$ | *B*) = *funionM* (*fUnionM* *A*) (*fUnionM* *B*) **for** *A B*  
 $\langle \text{proof} \rangle$   
**lemma** *fUnionM-return* [simp]: *fUnionM* (*fimage* ( $\lambda x$ . return  $\{|x|\}$ ) *A*) = return *A* **for** *A*  
 $\langle \text{proof} \rangle$   
**lemma** *bind-funionM*: *bind* (*funionM* *m m'*) *f* = *funionM* (*bind* *m f*) (*bind* *m' f*)  
**if**  $\bigwedge A B$ . *f* (*A* | $\cup$ | *B*) = *bind* (*f* *A*) ( $\lambda x$ . *bind* (*f* *B*) ( $\lambda y$ . return (*x* | $\cup$ | *y*))) **for** *m m' f*  
 $\langle \text{proof} \rangle$   
**lemma** *fUnionM-return-fempty* [simp]: *fUnionM* (*fimage* ( $\lambda x$ . return  $\{|\}$ ) *A*) = return  $\{|\}$  **for** *A*  
 $\langle \text{proof} \rangle$   
**lemma** *funionM-bind*: *funionM* (*bind* *m f*) (*bind* *m g*) = *bind* *m* ( $\lambda x$ . *funionM* (*f* *x*) (*g* *x*)) **for** *m f g*  
 $\langle \text{proof} \rangle$   
**lemma** *fUnionM-funionM*:  
*fUnionM* (( $\lambda y$ . *funionM* (*f* *y*) (*g* *y*)) | $\dagger$ | *A*) = *funionM* (*fUnionM* (*f* | $\dagger$ | *A*)) (*fUnionM* (*g* | $\dagger$ | *A*)) **for** *f g A*  
 $\langle \text{proof} \rangle$

**lemma** *fset-nondetM*: *nondetM* return *bind fmerge*  $\{|\}$  ( $\lambda x$ .  $\{|x|\}$ ) (| $\cup$ |)  
 $\langle \text{proof} \rangle$

**lemma** *fset-nondetM-ask*:  
**notes** *fset-nondetM*[*locale-witness*]  
**assumes** [*locale-witness*]: *monad-reader* return *bind ask*  
**shows** *nondetM-ask* return *bind ask fmerge*  $\{|\}$  ( $\lambda x$ .  $\{|x|\}$ ) (| $\cup$ |)  
 $\langle \text{proof} \rangle$

**lemmas** *fset-nondetMs* [*locale-witness*] =  
*nondetM-lemmas*[*OF fset-nondetM*]  
*nondetM-ask-lemmas*[*OF fset-nondetM-ask*]

**context**

**assumes** *monad-discard return bind*  
**begin**

**interpretation** *monad-discard return bind*  $\langle$ *proof* $\rangle$

**lemma** *fmerge-bind*:

$fmerge\ A\ (\lambda x. bind\ m'\ (\lambda A'. fmerge\ A'\ (f\ x))) = bind\ m'\ (\lambda A'. fmerge\ A\ (\lambda x. fmerge\ A'\ (f\ x)))$   
 $\langle$ *proof* $\rangle$

**lemma** *fmerge-commute*:  $fmerge\ A\ (\lambda x. fmerge\ B\ (f\ x)) = fmerge\ B\ (\lambda y. fmerge\ A\ (\lambda x. f\ x\ y))$   
 $\langle$ *proof* $\rangle$

**lemma** *monad-commute-nondetT-fset* [*locale-witness*]:  
*monad-commute return-nondet bind-nondet*  
 $\langle$ *proof* $\rangle$

**end**

**end**

**end**

**lemma** *fmerge-parametric*:

**includes** *lifting-syntax*  
**assumes** *return* [*transfer-rule*]:  $(rel-fset\ A\ ==>\ M)\ return1\ return2$   
**and** *bind* [*transfer-rule*]:  $(M\ ==>\ (rel-fset\ A\ ==>\ M)) ==>\ M)\ bind1\ bind2$   
**and** *comm1*: *monad-commute return1 bind1 monad-duplicate return1 bind1*  
**and** *comm2*: *monad-commute return2 bind2 monad-duplicate return2 bind2*  
**shows**  $(rel-fset\ A\ ==>\ (A\ ==>\ M)) ==>\ M)\ (fmerge\ return1\ bind1)\ (fmerge\ return2\ bind2)$   
 $\langle$ *proof* $\rangle$

### 3.7.6 Implementation using countable sets

For non-finite choices, we cannot generically construct the merge operation. So we formalize in a locale what can be proven generically and then prove instances of the locale for concrete locale implementations.

We need two separate merge parameters because we must merge effects over choices (type '*c*') and effects over the non-deterministic results (type '*a*') of computations.

**locale** *cset-nondetM-base* =  
*nondetM-base return bind merge cempty csingle cUn*  
**for** *return* ::  $(\ 'a\ cset, \ 'm)\ return$

**and**  $bind :: ('a\ cset, 'm)\ bind$   
**and**  $merge :: ('a, 'm, 'a\ cset)\ merge$   
**and**  $mergex :: ('c, 'm, 'c\ cset)\ mergex$   
**begin**

**definition**  $altc-nondet :: ('c, ('a, 'm)\ nondetT)\ altc\ \mathbf{where}$   
 $altc-nondet\ A\ f = NondetT\ (mergex\ A\ (run-nondet\ \circ\ f))$

**lemma**  $run-altc-nondet\ [simp]: run-nondet\ (altc-nondet\ A\ f) = mergex\ A\ (run-nondet\ \circ\ f)$   
 $\langle proof \rangle$

**end**

**locale**  $cset-nondetM =$

$cset-nondetM-base\ return\ bind\ merge\ mergex$

+

$monad-commute\ return\ bind$

+

$monad-duplicate\ return\ bind$

**for**  $return :: ('a\ cset, 'm)\ return$

**and**  $bind :: ('a\ cset, 'm)\ bind$

**and**  $merge :: ('a, 'm, 'a\ cset)\ merge$

**and**  $mergex :: ('c, 'm, 'c\ cset)\ mergex$

+

**assumes**  $bind-merge-merge:$

$\bigwedge y\ f\ g.\ bind\ (merge\ y\ f)\ (\lambda A.\ merge\ A\ g) = merge\ y\ (\lambda x.\ bind\ (f\ x)\ (\lambda A.\ merge\ A\ g))$

**and**  $merge-empty: \bigwedge f.\ merge\ empty\ f = return\ empty$

**and**  $merge-single: \bigwedge x\ f.\ merge\ (csingle\ x)\ f = f\ x$

**and**  $merge-single2: \bigwedge A.\ merge\ A\ (\lambda x.\ return\ (csingle\ x)) = return\ A$

**and**  $merge-union: \bigwedge A\ B\ f.\ merge\ (cUn\ A\ B)\ f = bind\ (merge\ A\ f)\ (\lambda A'.\ bind\ (merge\ B\ f)\ (\lambda B'.\ return\ (cUn\ A'\ B')))$

**and**  $bind-mergex-merge:$

$\bigwedge y\ f\ g.\ bind\ (mergex\ y\ f)\ (\lambda A.\ merge\ A\ g) = mergex\ y\ (\lambda x.\ bind\ (f\ x)\ (\lambda A.\ merge\ A\ g))$

**and**  $mergex-single: \bigwedge x\ f.\ mergex\ (csingle\ x)\ f = f\ x$

**and**  $mergex-UNION: \bigwedge C\ f\ g.\ mergex\ (cUNION\ C\ f)\ g = mergex\ C\ (\lambda x.\ mergex\ (f\ x)\ g)$

**and**  $mergex-parametric\ [transfer-rule]:$

$\bigwedge R.\ bi-unique\ R \implies rel-fun\ (rel-cset\ R)\ (rel-fun\ (rel-fun\ R\ (=))\ (=))\ mergex\ mergex$

**begin**

**interpretation**  $nondetM\ return\ bind\ merge\ empty\ csingle\ cUn$

$\langle proof \rangle$

**sublocale**  $nondet: monad-altc\ return-nondet\ bind-nondet\ altc-nondet$

**including**  $lifting-syntax$



*<proof>*

**end**

**locale** *cset-nondetM3* =  
  *cset-nondetM* *return* *bind* *merge* *mergec*  
  +  
  *three* *TYPE*('c)  
  **for** *return* :: ('a *cset*, 'm) *return*  
  **and** *bind* :: ('a *cset*, 'm) *bind*  
  **and** *merge* :: ('a, 'm, 'a *cset*) *merge*  
  **and** *mergec* :: ('c, 'm, 'c *cset*) *merge*  
**begin**

**interpretation** *nondet*: *monad-altc3* *return-nondet* *bind-nondet* *altc-nondet* *<proof>*

**end**

**Identity monad definition** *merge-id* :: ('c, 'a *cset* *id*, 'c *cset*) *merge* **where**  
  *merge-id* *A* *f* = *return-id* (*cUNION* *A* (*extract*  $\circ$  *f*))

**lemma** *extract-merge-id* [*simp*]: *extract* (*merge-id* *A* *f*) = *cUNION* *A* (*extract*  $\circ$  *f*)  
*<proof>*

**lemma** *merge-id-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
  (*rel-cset* *A*  $\implies$  (*A*  $\implies$  *rel-id* (*rel-cset* *A*))  $\implies$  *rel-id* (*rel-cset* *A*))  
*merge-id* *merge-id*  
*<proof>*

**lemma** *cset-nondetM-id* [*locale-witness*]: *cset-nondetM* *return-id* *bind-id* *merge-id*  
*merge-id*  
  **including** *lifting-syntax*  
*<proof>*

**Reader monad transformer definition** *merge-env* :: ('c, 'm, 'c *cset*) *merge*  
 $\Rightarrow$  ('c, ('r, 'm) *envT*, 'c *cset*) *merge* **where**  
  *merge-env* *merge* *A* *f* = *EnvT* ( $\lambda r$ . *merge* *A* ( $\lambda a$ . *run-env* (*f* *a*) *r*)) **for** *merge*

**lemma** *run-merge-env* [*simp*]: *run-env* (*merge-env* *merge* *A* *f*) *r* = *merge* *A* ( $\lambda a$ .  
*run-env* (*f* *a*) *r*) **for** *merge*  
*<proof>*

**lemma** *merge-env-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
  ((*rel-cset* *C*  $\implies$  (*C*  $\implies$  *M*)  $\implies$  *M*)  $\implies$  *rel-cset* *C*  $\implies$  (*C*  
 $\implies$  *rel-envT* *R* *M*)  $\implies$  *rel-envT* *R* *M*)  
  *merge-env* *merge-env*  
*<proof>*

**lemma** *cset-nondetM-envT* [*locale-witness*]:

```

fixes return :: ('a cset, 'm) return
and bind :: ('a cset, 'm) bind
and merge :: ('a, 'm, 'a cset) merge
and mergec :: ('c, 'm, 'c cset) merge
assumes cset-nondetM return bind merge mergec
shows cset-nondetM (return-env return) (bind-env bind) (merge-env merge)
(merge-env mergec)
<proof> including lifting-syntax
<proof>

```

### 3.8 State transformer

```

datatype ('s, 'm) stateT = StateT (run-state: 's ⇒ 'm)
for rel: rel-stateT'

```

We define a more general relator for  $(-, -)$  *stateT* than the one generated by the datatype package such that we can also show parametricity in the state.

```

context includes lifting-syntax begin

```

```

definition rel-stateT :: ('s ⇒ 's' ⇒ bool) ⇒ ('m ⇒ 'm' ⇒ bool) ⇒ ('s, 'm) stateT
⇒ ('s', 'm') stateT ⇒ bool
where rel-stateT S M m m' ←→ (S ===> M) (run-state m) (run-state m')

```

```

lemma rel-stateT-eq [relator-eq]: rel-stateT (=) (=) = (=)
<proof>

```

```

lemma rel-stateT-mono [relator-mono]: [[ S' ≤ S; M ≤ M' ]] ⇒ rel-stateT S M
≤ rel-stateT S' M'
<proof>

```

```

lemma StateT-parametric [transfer-rule]: ((S ===> M) ===> rel-stateT S M)
StateT StateT
<proof>

```

```

lemma run-state-parametric [transfer-rule]: (rel-stateT S M ===> S ===> M)
run-state run-state
<proof>

```

```

lemma case-stateT-parametric [transfer-rule]:
(((S ===> M) ===> A) ===> rel-stateT S M ===> A) case-stateT case-stateT
<proof>

```

```

lemma rec-stateT-parametric [transfer-rule]:
(((S ===> M) ===> A) ===> rel-stateT S M ===> A) rec-stateT rec-stateT
<proof>

```

```

lemma rel-stateT-Grp: rel-stateT (=) (BNF-Def.Grp UNIV f) = BNF-Def.Grp
UNIV (map-stateT f)
<proof>

```

**end**

### 3.8.1 Plain monad, get, and put

**context**

**fixes**  $return :: ('a \times 's, 'm) \rightarrow 'a$

**and**  $bind :: ('a \times 's, 'm) \rightarrow 'a \rightarrow 's$

**begin**

**primrec**  $bind\text{-}state :: ('a, ('s, 'm) \text{state}T) \rightarrow 'a \rightarrow 's$

**where**  $bind\text{-}state (StateT\ x)\ f = StateT\ (\lambda s. bind\ (x\ s)\ (\lambda(a, s'). run\text{-}state\ (f\ a)\ s'))$

**definition**  $return\text{-}state :: ('a, ('s, 'm) \text{state}T) \rightarrow 'a \times 's$

**where**  $return\text{-}state\ x = StateT\ (\lambda s. return\ (x, s))$

**definition**  $get\text{-}state :: ('s, ('s, 'm) \text{state}T) \rightarrow 's$

**where**  $get\text{-}state\ f = StateT\ (\lambda s. run\text{-}state\ (f\ s)\ s)$

**primrec**  $put\text{-}state :: ('s, ('s, 'm) \text{state}T) \rightarrow 's \rightarrow 's$

**where**  $put\text{-}state\ s (StateT\ f) = StateT\ (\lambda-. f\ s)$

**lemma**  $run\text{-}put\text{-}state$  [simp]:  $run\text{-}state\ (put\text{-}state\ s\ m)\ s' = run\text{-}state\ m\ s$   
(proof)

**lemma**  $run\text{-}get\text{-}state$  [simp]:  $run\text{-}state\ (get\text{-}state\ f)\ s = run\text{-}state\ (f\ s)\ s$   
(proof)

**lemma**  $run\text{-}bind\text{-}state$  [simp]:

$run\text{-}state\ (bind\text{-}state\ x\ f)\ s = bind\ (run\text{-}state\ x\ s)\ (\lambda(a, s'). run\text{-}state\ (f\ a)\ s')$   
(proof)

**lemma**  $run\text{-}return\text{-}state$  [simp]:

$run\text{-}state\ (return\text{-}state\ x)\ s = return\ (x, s)$   
(proof)

**context**

**assumes**  $monad: monad\ return\ bind$

**begin**

**interpretation**  $monad\ return\ bind$  (proof)

**lemma**  $monad\text{-}stateT$  [locale-witness]:  $monad\ return\text{-}state\ bind\text{-}state$  (is  $monad$   
 $?return\ ?bind$ )

(proof)

**lemma**  $monad\text{-}state\text{-}stateT$  [locale-witness]:

$monad\text{-}state\ return\text{-}state\ bind\text{-}state\ get\text{-}state\ put\text{-}state$

*<proof>*

**end**

We cannot define a generic lifting operation for state like in Haskell. If we separate the monad type variable from the element type variable, then *lift* should have type  $'a \ 'm \Rightarrow (('a \times \ 's) \ 'm) \ stateT$ , but this means that the type of results must change, which does not work for monomorphic monads. Instead, we must lift all operations individually. *lift-definition* does not work because the monad transformer type is typically larger than the base type, but *lift-definition* only works if the lifted type is no bigger.

### 3.8.2 Failure

**context**

**fixes** *fail* :: *'m fail*

**begin**

**definition** *fail-state* :: (*'s, 'm*) *stateT fail*

**where** *fail-state* = *StateT* ( $\lambda s. \ fail$ )

**lemma** *run-fail-state* [*simp*]: *run-state fail-state s = fail*

*<proof>*

**lemma** *monad-fail-stateT* [*locale-witness*]:

**assumes** *monad-fail return bind fail*

**shows** *monad-fail return-state bind-state fail-state (is monad-fail ?return ?bind ?fail)*

*<proof>*

**notepad begin**

*catch* cannot be lifted through the state monad according to *monad-catch-state* because there is now way to communicate the state updates to the handler.

*<proof>*

**end**

**end**

### 3.8.3 Reader

**context**

**fixes** *ask* :: (*'r, 'm*) *ask*

**begin**

**definition** *ask-state* :: (*'r, ('s, 'm) stateT*) *ask*

**where** *ask-state f* = *StateT* ( $\lambda s. \ ask \ (\lambda r. \ run-state \ (f \ r) \ s)$ )

**lemma** *run-ask-state* [*simp*]:  
 $run\text{-}state\ (ask\text{-}state\ f)\ s = ask\ (\lambda r.\ run\text{-}state\ (f\ r)\ s)$   
 ⟨*proof*⟩

**lemma** *monad-reader-stateT* [*locale-witness*]:  
**assumes** *monad-reader return bind ask*  
**shows** *monad-reader return-state bind-state ask-state*  
 ⟨*proof*⟩

**lemma** *monad-reader-state-stateT* [*locale-witness*]:  
**assumes** *monad-reader return bind ask*  
**shows** *monad-reader-state return-state bind-state ask-state get-state put-state*  
 ⟨*proof*⟩

**end**

### 3.8.4 Probability

**definition** *altc-sample-state* ::  $( 'x \Rightarrow ('b \Rightarrow 'm) \Rightarrow 'm) \Rightarrow 'x \Rightarrow ('b \Rightarrow ('s, 'm) stateT) \Rightarrow ('s, 'm) stateT$   
**where** *altc-sample-state altc-sample p f* = *StateT*  $(\lambda s.\ altc\text{-}sample\ p\ (\lambda x.\ run\text{-}state\ (f\ x)\ s))$

**lemma** *run-altc-sample-state* [*simp*]:  
 $run\text{-}state\ (altc\text{-}sample\text{-}state\ altc\text{-}sample\ p\ f)\ s = altc\text{-}sample\ p\ (\lambda x.\ run\text{-}state\ (f\ x)\ s)$   
 ⟨*proof*⟩

**context**  
**fixes** *sample* ::  $( 'p, 'm) sample$   
**begin**

**abbreviation** *sample-state* ::  $( 'p, ('s, 'm) stateT) sample$  **where**  
 $sample\text{-}state \equiv altc\text{-}sample\text{-}state\ sample$

**context**  
**assumes** *monad-prob return bind sample*  
**begin**

**interpretation** *monad-prob return bind sample* ⟨*proof*⟩

**lemma** *monad-prob-stateT* [*locale-witness*]: *monad-prob return-state bind-state sample-state*  
**including** *lifting-syntax*  
 ⟨*proof*⟩

**lemma** *monad-state-prob-stateT* [*locale-witness*]:  
*monad-state-prob return-state bind-state get-state put-state sample-state*  
 ⟨*proof*⟩

end

end

### 3.8.5 Writer

**context**

fixes  $tell :: ('w, 'm) \text{ tell}$

**begin**

**definition**  $tell\text{-state} :: ('w, ('s, 'm) \text{ stateT}) \text{ tell}$

**where**  $tell\text{-state } w \ m = \text{StateT } (\lambda s. \text{tell } w \ (\text{run-state } m \ s))$

**lemma**  $run\text{-tell-state} \ [simp]: \text{run-state } (tell\text{-state } w \ m) \ s = \text{tell } w \ (\text{run-state } m \ s)$   
 $\langle proof \rangle$

**lemma**  $monad\text{-writer-stateT} \ [locale\text{-witness}]:$

assumes  $monad\text{-writer return bind tell}$

shows  $monad\text{-writer return-state bind-state tell-state}$

$\langle proof \rangle$

end

### 3.8.6 Binary Non-determinism

**context**

fixes  $alt :: 'm \text{ alt}$

**begin**

**definition**  $alt\text{-state} :: ('s, 'm) \text{ stateT } alt$

**where**  $alt\text{-state } m1 \ m2 = \text{StateT } (\lambda s. \text{alt } (\text{run-state } m1 \ s) \ (\text{run-state } m2 \ s))$

**lemma**  $run\text{-alt-state} \ [simp]: \text{run-state } (alt\text{-state } m1 \ m2) \ s = \text{alt } (\text{run-state } m1 \ s) \ (\text{run-state } m2 \ s)$   
 $\langle proof \rangle$

**context** assumes  $monad\text{-alt return bind alt}$  **begin**

**interpretation**  $monad\text{-alt return bind alt} \ \langle proof \rangle$

**lemma**  $monad\text{-alt-stateT} \ [locale\text{-witness}]: \text{monad-alt return-state bind-state alt-state}$   
 $\langle proof \rangle$

**lemma**  $monad\text{-state-alt-stateT} \ [locale\text{-witness}]:$

$monad\text{-state-alt return-state bind-state get-state put-state alt-state}$

$\langle proof \rangle$

end

**lemma** *monad-fail-alt-stateT* [*locale-witness*]:  
 fixes *fail*  
 assumes *monad-fail-alt return bind fail alt*  
 shows *monad-fail-alt return-state bind-state (fail-state fail) alt-state*  
 ⟨*proof*⟩

**end**

### 3.8.7 Countable Non-determinism

**context**  
 fixes *altc* :: (*'c*, *'m*) *altc*  
**begin**

**abbreviation** *altc-state* :: (*'c*, (*'s*, *'m*) *stateT*) *altc*  
**where** *altc-state*  $\equiv$  *altc-sample-state altc*

**context**  
 includes *lifting-syntax*  
 assumes *monad-altc return bind altc*  
**begin**

**interpretation** *monad-altc return bind altc* ⟨*proof*⟩

**lemma** *monad-altc-stateT* [*locale-witness*]: *monad-altc return-state bind-state altc-state*  
 ⟨*proof*⟩

**lemma** *monad-state-altc-stateT* [*locale-witness*]:  
*monad-state-altc return-state bind-state get-state put-state altc-state*  
 ⟨*proof*⟩

**end**

**lemma** *monad-altc3-stateT* [*locale-witness*]:  
 assumes *monad-altc3 return bind altc*  
 shows *monad-altc3 return-state bind-state altc-state*  
 ⟨*proof*⟩

**end**

### 3.8.8 Resumption

**context**  
 fixes *pause* :: (*'o*, *'i*, *'m*) *pause*  
**begin**

**definition** *pause-state* :: (*'o*, *'i*, (*'s*, *'m*) *stateT*) *pause*  
**where** *pause-state out c* = *StateT* ( $\lambda s.$  *pause out* ( $\lambda i.$  *run-state* (*c i*) *s*))

**lemma** *run-pause-state* [*simp*]:

*run-state (pause-state out c) s = pause out (λi. run-state (c i) s)*  
⟨proof⟩

**lemma** *monad-resumption-stateT [locale-witness]:*  
**assumes** *monad-resumption return bind pause*  
**shows** *monad-resumption return-state bind-state pause-state*  
⟨proof⟩

**end**

**end**

### 3.8.9 Parametricity

**context includes** *lifting-syntax* **begin**

**lemma** *return-state-parametric [transfer-rule]:*  
 $((rel\text{-}prod\ A\ S\ ==>\ M)\ ==>\ A\ ==>\ rel\text{-}stateT\ S\ M)\ return\text{-}state\ re\text{-}turn\text{-}state$   
⟨proof⟩

**lemma** *bind-state-parametric [transfer-rule]:*  
 $((M\ ==>\ (rel\text{-}prod\ A\ S\ ==>\ M)\ ==>\ M)\ ==>\ rel\text{-}stateT\ S\ M\ ==>\ (A\ ==>\ rel\text{-}stateT\ S\ M)\ ==>\ rel\text{-}stateT\ S\ M)$   
*bind-state bind-state*  
⟨proof⟩

**lemma** *get-state-parametric [transfer-rule]:*  
 $((S\ ==>\ rel\text{-}stateT\ S\ M)\ ==>\ rel\text{-}stateT\ S\ M)\ get\text{-}state\ get\text{-}state$   
⟨proof⟩

**lemma** *put-state-parametric [transfer-rule]:*  
 $(S\ ==>\ rel\text{-}stateT\ S\ M\ ==>\ rel\text{-}stateT\ S\ M)\ put\text{-}state\ put\text{-}state$   
⟨proof⟩

**lemma** *fail-state-parametric [transfer-rule]:*  $(M\ ==>\ rel\text{-}stateT\ S\ M)\ fail\text{-}state\ fail\text{-}state$   
⟨proof⟩

**lemma** *ask-state-parametric [transfer-rule]:*  
 $((R\ ==>\ M)\ ==>\ M)\ ==>\ (R\ ==>\ rel\text{-}stateT\ S\ M)\ ==>\ rel\text{-}stateT\ S\ M)\ ask\text{-}state\ ask\text{-}state$   
⟨proof⟩

**lemma** *altc-sample-state-parametric [transfer-rule]:*  
 $((X\ ==>\ (P\ ==>\ M)\ ==>\ M)\ ==>\ X\ ==>\ (P\ ==>\ rel\text{-}stateT\ S\ M)\ ==>\ rel\text{-}stateT\ S\ M)$   
*altc-sample-state altc-sample-state*  
⟨proof⟩



**lemma** *tell-state-parametric* [*transfer-rule*]:  
 $((W \text{====>} M \text{====>} M) \text{====>} W \text{====>} \text{rel-stateT } S \ M \text{====>} \text{rel-stateT } S \ M)$   
*tell-state tell-state*  
 <proof>

**lemma** *alt-state-parametric* [*transfer-rule*]:  
 $((M \text{====>} M \text{====>} M) \text{====>} \text{rel-stateT } S \ M \text{====>} \text{rel-stateT } S \ M \text{====>} \text{rel-stateT } S \ M)$   
*alt-state alt-state*  
 <proof>

**lemma** *pause-state-parametric* [*transfer-rule*]:  
 $((Out \text{====>} (In \text{====>} M) \text{====>} M) \text{====>} Out \text{====>} (In \text{====>} \text{rel-stateT } S \ M) \text{====>} \text{rel-stateT } S \ M)$   
*pause-state pause-state*  
 <proof>

**end**

### 3.9 Writer monad transformer

We implement a simple writer monad which collects all the output in a list. It would also have been possible to use a monoid instead. The phantom type variables *'a* and *'w* are needed to avoid hidden polymorphism when overloading the monad operations for the writer monad transformer.

**datatype** (*'w, 'a, 'm*) *writerT* = *WriterT* (*run-writer: 'm*)

**context**

**fixes** *return* :: (*'a* × *'w list, 'm*) *return*

**and** *bind* :: (*'a* × *'w list, 'm*) *bind*

**begin**

**definition** *return-writer* :: (*'a, ('w, 'a, 'm) writerT*) *return*

**where** *return-writer* *x* = *WriterT* (*return* (*x*, []))

**definition** *bind-writer* :: (*'a, ('w, 'a, 'm) writerT*) *bind*

**where** *bind-writer* *m f* = *WriterT* (*bind* (*run-writer* *m*) ( $\lambda(a, ws). \text{bind} (\text{run-writer } (f \ a)) (\lambda(b, ws'). \text{return } (b, ws \ @ \ ws'))$ )))

**definition** *tell-writer* :: (*'w, ('w, 'a, 'm) writerT*) *tell*

**where** *tell-writer* *w m* = *WriterT* (*bind* (*run-writer* *m*) ( $\lambda(a, ws). \text{return } (a, w \ # \ ws)$ )))

**lemma** *run-return-writer* [*simp*]: *run-writer* (*return-writer* *x*) = *return* (*x*, [])

<proof>

**lemma** *run-bind-writer* [simp]:  
 $run\text{-}writer\ (bind\text{-}writer\ m\ f) = bind\ (run\text{-}writer\ m)\ (\lambda(a, ws). bind\ (run\text{-}writer\ (f\ a))\ (\lambda(b, ws'). return\ (b, ws\ @\ ws')))$   
 ⟨proof⟩

**lemma** *run-tell-writer* [simp]:  
 $run\text{-}writer\ (tell\text{-}writer\ w\ m) = bind\ (run\text{-}writer\ m)\ (\lambda(a, ws). return\ (a, w\ \#\ ws))$   
 ⟨proof⟩

**context**  
 assumes *monad return bind*  
**begin**

**interpretation** *monad return bind* ⟨proof⟩

**lemma** *monad-writerT* [locale-witness]: *monad return-writer bind-writer*  
 ⟨proof⟩

**lemma** *monad-writer-writerT* [locale-witness]: *monad-writer return-writer bind-writer tell-writer*  
 ⟨proof⟩

**end**

### 3.9.1 Failure

**context**  
 fixes *fail* :: 'm fail  
**begin**

**definition** *fail-writer* :: ('w, 'a, 'm) *writerT fail*  
**where** *fail-writer* = *WriterT fail*

**lemma** *run-fail-writer* [simp]: *run-writer fail-writer = fail*  
 ⟨proof⟩

**lemma** *monad-fail-writerT* [locale-witness]:  
 assumes *monad-fail return bind fail*  
 shows *monad-fail return-writer bind-writer fail-writer*  
 ⟨proof⟩

Just like for the state monad, we cannot lift *catch* because the output before the failure would be lost.

### 3.9.2 State

**context**  
 fixes *get* :: ('s, 'm) *get*

**and**  $put :: ('s, 'm) put$   
**begin**

**definition**  $get-writer :: ('s, ('w, 'a, 'm) writerT) get$   
**where**  $get-writer f = WriterT (get (\lambda s. run-writer (f s)))$

**definition**  $put-writer :: ('s, ('w, 'a, 'm) writerT) put$   
**where**  $put-writer s m = WriterT (put s (run-writer m))$

**lemma**  $run-get-writer [simp]: run-writer (get-writer f) = get (\lambda s. run-writer (f s))$   
 $\langle proof \rangle$

**lemma**  $run-put-writer [simp]: run-writer (put-writer s m) = put s (run-writer m)$   
 $\langle proof \rangle$

**lemma**  $monad-state-writerT [locale-witness]:$   
**assumes**  $monad-state return bind get put$   
**shows**  $monad-state return-writer bind-writer get-writer put-writer$   
 $\langle proof \rangle$

### 3.9.3 Probability

**definition**  $altc-sample-writer :: ('x \Rightarrow ('b \Rightarrow 'm) \Rightarrow 'm) \Rightarrow 'x \Rightarrow ('b \Rightarrow ('w, 'a, 'm) writerT) \Rightarrow ('w, 'a, 'm) writerT$   
**where**  $altc-sample-writer altc-sample p f = WriterT (altc-sample p (\lambda p. run-writer (f p)))$

**lemma**  $run-altc-sample-writer [simp]:$   
 $run-writer (altc-sample-writer altc-sample p f) = altc-sample p (\lambda p. run-writer (f p))$   
 $\langle proof \rangle$

**context**  
**fixes**  $sample :: ('p, 'm) sample$   
**begin**

**abbreviation**  $sample-writer :: ('p, ('w, 'a, 'm) writerT) sample$   
**where**  $sample-writer \equiv altc-sample-writer sample$

**lemma**  $monad-prob-writerT [locale-witness]:$   
**assumes**  $monad-prob return bind sample$   
**shows**  $monad-prob return-writer bind-writer sample-writer$   
 $\langle proof \rangle$  **including**  $lifting-syntax$   
 $\langle proof \rangle$

**lemma**  $monad-state-prob-writerT [locale-witness]:$   
**assumes**  $monad-state-prob return bind get put sample$

**shows** *monad-state-prob return-writer bind-writer get-writer put-writer sample-writer*  
<proof>

**end**

### 3.9.4 Reader

**context**

**fixes** *ask* :: ('r, 'm) *ask*

**begin**

**definition** *ask-writer* :: ('r, ('w, 'a, 'm) *writerT*) *ask*

**where** *ask-writer* *f* = *WriterT* (*ask* ( $\lambda r$ . *run-writer* (*f* *r*)))

**lemma** *run-ask-writer* [*simp*]: *run-writer* (*ask-writer* *f*) = *ask* ( $\lambda r$ . *run-writer* (*f* *r*))

<proof>

**lemma** *monad-reader-writerT* [*locale-witness*]:

**assumes** *monad-reader return bind ask*

**shows** *monad-reader return-writer bind-writer ask-writer*

<proof>

**lemma** *monad-reader-state-writerT* [*locale-witness*]:

**assumes** *monad-reader-state return bind ask get put*

**shows** *monad-reader-state return-writer bind-writer ask-writer get-writer put-writer*

<proof>

**end**

### 3.9.5 Resumption

**context**

**fixes** *pause* :: ('o, 'i, 'm) *pause*

**begin**

**definition** *pause-writer* :: ('o, 'i, ('w, 'a, 'm) *writerT*) *pause*

**where** *pause-writer* *out* *c* = *WriterT* (*pause* *out* ( $\lambda input$ . *run-writer* (*c* *input*)))

**lemma** *run-pause-writer* [*simp*]:

*run-writer* (*pause-writer* *out* *c*) = *pause* *out* ( $\lambda input$ . *run-writer* (*c* *input*))

<proof>

**lemma** *monad-resumption-writerT* [*locale-witness*]:

**assumes** *monad-resumption return bind pause*

**shows** *monad-resumption return-writer bind-writer pause-writer*

<proof>

**end**

### 3.9.6 Binary Non-determinism

**context**

**fixes**  $alt :: 'm \text{ alt}$

**begin**

**definition**  $alt\text{-}writer :: ('w, 'a, 'm) \text{ writerT } alt$

**where**  $alt\text{-}writer \ m \ m' = \text{WriterT } (alt \ (run\text{-}writer \ m) \ (run\text{-}writer \ m'))$

**lemma**  $run\text{-}alt\text{-}writer \ [simp]: \ run\text{-}writer \ (alt\text{-}writer \ m \ m') = alt \ (run\text{-}writer \ m) \ (run\text{-}writer \ m')$

$\langle proof \rangle$

**lemma**  $monad\text{-}alt\text{-}writerT \ [locale\text{-}witness]:$

**assumes**  $monad\text{-}alt \ return \ bind \ alt$

**shows**  $monad\text{-}alt \ return\text{-}writer \ bind\text{-}writer \ alt\text{-}writer$

$\langle proof \rangle$

**lemma**  $monad\text{-}fail\text{-}alt\text{-}writerT \ [locale\text{-}witness]:$

**assumes**  $monad\text{-}fail\text{-}alt \ return \ bind \ fail \ alt$

**shows**  $monad\text{-}fail\text{-}alt \ return\text{-}writer \ bind\text{-}writer \ fail\text{-}writer \ alt\text{-}writer$

$\langle proof \rangle$

**lemma**  $monad\text{-}state\text{-}alt\text{-}writerT \ [locale\text{-}witness]:$

**assumes**  $monad\text{-}state\text{-}alt \ return \ bind \ get \ put \ alt$

**shows**  $monad\text{-}state\text{-}alt \ return\text{-}writer \ bind\text{-}writer \ get\text{-}writer \ put\text{-}writer \ alt\text{-}writer$

$\langle proof \rangle$

**end**

### 3.9.7 Countable Non-determinism

**context**

**fixes**  $altc :: ('c, 'm) \text{ altc}$

**begin**

**abbreviation**  $altc\text{-}writer :: ('c, ('w, 'a, 'm) \text{ writerT}) \text{ altc}$

**where**  $altc\text{-}writer \equiv altc\text{-}sample\text{-}writer \ altc$

**lemma**  $monad\text{-}altc\text{-}writerT \ [locale\text{-}witness]:$

**assumes**  $monad\text{-}altc \ return \ bind \ altc$

**shows**  $monad\text{-}altc \ return\text{-}writer \ bind\text{-}writer \ altc\text{-}writer$

$\langle proof \rangle$  **including**  $lifting\text{-}syntax$

$\langle proof \rangle$

**lemma**  $monad\text{-}altc3\text{-}writerT \ [locale\text{-}witness]:$

**assumes**  $monad\text{-}altc3 \ return \ bind \ altc$

**shows**  $monad\text{-}altc3 \ return\text{-}writer \ bind\text{-}writer \ altc\text{-}writer$

$\langle proof \rangle$

**lemma** *monad-state-altc-writerT* [*locale-witness*]:  
**assumes** *monad-state-altc return bind get put altc*  
**shows** *monad-state-altc return-writer bind-writer get-writer put-writer altc-writer*  
 $\langle$ *proof* $\rangle$

**end**

**end**

**end**

**end**

### 3.9.8 Parametricity

**context includes** *lifting-syntax* **begin**

**lemma** *return-writer-parametric* [*transfer-rule*]:  
 $((rel\text{-}prod\ A\ (list\text{-}all2\ W) ==> M) ==> A ==> rel\text{-}writerT\ W\ A\ M)$   
*return-writer return-writer*  
 $\langle$ *proof* $\rangle$

**lemma** *bind-writer-parametric* [*transfer-rule*]:  
 $((rel\text{-}prod\ A\ (list\text{-}all2\ W) ==> M) ==> (M ==> (rel\text{-}prod\ A\ (list\text{-}all2\ W) ==> M) ==> M)$   
 $==> rel\text{-}writerT\ W\ A\ M ==> (A ==> rel\text{-}writerT\ W\ A\ M) ==> rel\text{-}writerT\ W\ A\ M)$   
*bind-writer bind-writer*  
 $\langle$ *proof* $\rangle$

**lemma** *tell-writer-parametric* [*transfer-rule*]:  
 $((rel\text{-}prod\ A\ (list\text{-}all2\ W) ==> M) ==> (M ==> (rel\text{-}prod\ A\ (list\text{-}all2\ W) ==> M) ==> M)$   
 $==> W ==> rel\text{-}writerT\ W\ A\ M ==> rel\text{-}writerT\ W\ A\ M)$   
*tell-writer tell-writer*  
 $\langle$ *proof* $\rangle$

**lemma** *ask-writer-parametric* [*transfer-rule*]:  
 $((R ==> M) ==> M) ==> (R ==> rel\text{-}writerT\ W\ A\ M) ==> rel\text{-}writerT\ W\ A\ M)$   
*ask-writer ask-writer*  
 $\langle$ *proof* $\rangle$

**lemma** *fail-writer-parametric* [*transfer-rule*]:  
 $(M ==> rel\text{-}writerT\ W\ A\ M)$  *fail-writer fail-writer*  
 $\langle$ *proof* $\rangle$

**lemma** *get-writer-parametric* [*transfer-rule*]:  
 $((S ==> M) ==> M) ==> (S ==> rel\text{-}writerT\ W\ A\ M) ==>$

*rel-writerT W A M) get-writer get-writer*  
 ⟨proof⟩

**lemma** *put-writer-parametric [transfer-rule]*:  
 ((*S* ==> *M* ==> *M*) ==> *S* ==> *rel-writerT W A M* ==> *rel-writerT W A M*) *put-writer put-writer*  
 ⟨proof⟩

**lemma** *altc-sample-writer-parametric [transfer-rule]*:  
 ((*X* ==> (*P* ==> *M*) ==> *M*) ==> *X* ==> (*P* ==> *rel-writerT W A M*) ==> *rel-writerT W A M*)  
*altc-sample-writer altc-sample-writer*  
 ⟨proof⟩

**lemma** *alt-writer-parametric [transfer-rule]*:  
 ((*M* ==> *M* ==> *M*) ==> *rel-writerT W A M* ==> *rel-writerT W A M*)  
*alt-writer alt-writer*  
 ⟨proof⟩

**lemma** *pause-writer-parametric [transfer-rule]*:  
 ((*Out* ==> (*In* ==> *M*) ==> *M*) ==> *Out* ==> (*In* ==> *rel-writerT W A M*) ==> *rel-writerT W A M*)  
*pause-writer pause-writer*  
 ⟨proof⟩

end

### 3.10 Continuation monad transformer

**datatype** (*'a*, *'m*) *contT* = *ContT* (*run-cont*: (*'a* ⇒ *'m*) ⇒ *'m*)

#### 3.10.1 CallCC

**type-synonym** (*'a*, *'m*) *calcc* = ((*'a* ⇒ *'m*) ⇒ *'m*) ⇒ *'m*

**definition** *calcc-cont* :: (*'a*, (*'a*, *'m*) *contT*) *calcc*  
**where** *calcc-cont* *f* = *ContT* ( $\lambda k. \text{run-cont } (f (\lambda x. \text{ContT } (\lambda \_. k x))) k$ )

**lemma** *run-calcc-cont [simp]*: *run-cont* (*calcc-cont* *f*) *k* = *run-cont* (*f* ( $\lambda x. \text{ContT } (\lambda \_. k x)$ )) *k*  
 ⟨proof⟩

#### 3.10.2 Plain monad

**definition** *return-cont* :: (*'a*, (*'a*, *'m*) *contT*) *return*  
**where** *return-cont* *x* = *ContT* ( $\lambda k. k x$ )

**definition** *bind-cont* :: (*'a*, (*'a*, *'m*) *contT*) *bind*  
**where** *bind-cont* *m* *f* = *ContT* ( $\lambda k. \text{run-cont } m (\lambda x. \text{run-cont } (f x) k)$ )

**lemma** *run-return-cont* [*simp*]: *run-cont* (*return-cont* *x*) *k* = *k* *x*  
⟨*proof*⟩

**lemma** *run-bind-cont* [*simp*]: *run-cont* (*bind-cont* *m* *f*) *k* = *run-cont* *m* ( $\lambda x.$  *run-cont* (*f* *x*) *k*)  
⟨*proof*⟩

**lemma** *monad-contT* [*locale-witness*]: *monad* *return-cont* *bind-cont* (**is monad** ?*return* ?*bind*)  
⟨*proof*⟩

### 3.10.3 Failure

**context**

**fixes** *fail* :: 'm *fail*

**begin**

**definition** *fail-cont* :: ('a, 'm) *contT* *fail*  
**where** *fail-cont* = *ContT* ( $\lambda.$  *fail*)

**lemma** *run-fail-cont* [*simp*]: *run-cont* *fail-cont* *k* = *fail*  
⟨*proof*⟩

**lemma** *monad-fail-contT* [*locale-witness*]: *monad-fail* *return-cont* *bind-cont* *fail-cont*  
⟨*proof*⟩

**end**

### 3.10.4 State

**context**

**fixes** *get* :: ('s, 'm) *get*

**and** *put* :: ('s, 'm) *put*

**begin**

**definition** *get-cont* :: ('s, ('a, 'm) *contT*) *get*  
**where** *get-cont* *f* = *ContT* ( $\lambda k.$  *get* ( $\lambda s.$  *run-cont* (*f* *s*) *k*))

**definition** *put-cont* :: ('s, ('a, 'm) *contT*) *put*  
**where** *put-cont* *s* *m* = *ContT* ( $\lambda k.$  *put* *s* (*run-cont* *m* *k*))

**lemma** *run-get-cont* [*simp*]: *run-cont* (*get-cont* *f*) *k* = *get* ( $\lambda s.$  *run-cont* (*f* *s*) *k*)  
⟨*proof*⟩

**lemma** *run-put-cont* [*simp*]: *run-cont* (*put-cont* *s* *m*) *k* = *put* *s* (*run-cont* *m* *k*)  
⟨*proof*⟩

**lemma** *monad-state-contT* [*locale-witness*]:



**assumes** *monad-state return' bind' get put* — We don't need the plain monad operations for lifting.

**shows** *monad-state return-cont bind-cont get-cont (put-cont :: ('s, ('a, 'm) contT) put)*

(**is** *monad-state ?return ?bind ?get ?put*)

*<proof>*

**end**

## 4 Locales for monad homomorphisms

**locale** *monad-hom = m1: monad return1 bind1 +*

*m2: monad return2 bind2*

**for** *return1 :: ('a, 'm1) return*

**and** *bind1 :: ('a, 'm1) bind*

**and** *return2 :: ('a, 'm2) return*

**and** *bind2 :: ('a, 'm2) bind*

**and** *h :: 'm1  $\Rightarrow$  'm2*

**+**

**assumes** *hom-return:  $\bigwedge x. h (return1 x) = return2 x$*

**and** *hom-bind:  $\bigwedge x f. h (bind1 x f) = bind2 (h x) (h \circ f)$*

**begin**

**lemma** *hom-lift [simp]:  $h (m1.lift f m) = m2.lift f (h m)$*

*<proof>*

**end**

**locale** *monad-state-hom = m1: monad-state return1 bind1 get1 put1 +*

*m2: monad-state return2 bind2 get2 put2 +*

*monad-hom return1 bind1 return2 bind2 h*

**for** *return1 :: ('a, 'm1) return*

**and** *bind1 :: ('a, 'm1) bind*

**and** *get1 :: ('s, 'm1) get*

**and** *put1 :: ('s, 'm1) put*

**and** *return2 :: ('a, 'm2) return*

**and** *bind2 :: ('a, 'm2) bind*

**and** *get2 :: ('s, 'm2) get*

**and** *put2 :: ('s, 'm2) put*

**and** *h :: 'm1  $\Rightarrow$  'm2*

**+**

**assumes** *hom-get [simp]:  $h (get1 f) = get2 (h \circ f)$*

**and** *hom-put [simp]:  $h (put1 s m) = put2 s (h m)$*

**locale** *monad-fail-hom = m1: monad-fail return1 bind1 fail1 +*

*m2: monad-fail return2 bind2 fail2 +*

*monad-hom return1 bind1 return2 bind2 h*

**for** *return1 :: ('a, 'm1) return*

**and** *bind1 :: ('a, 'm1) bind*

```

and fail1 :: 'm1 fail
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and fail2 :: 'm2 fail
and h :: 'm1 ⇒ 'm2
+
assumes hom-fail [simp]: h fail1 = fail2

locale monad-catch-hom = m1: monad-catch return1 bind1 fail1 catch1 +
  m2: monad-catch return2 bind2 fail2 catch2 +
  monad-fail-hom return1 bind1 fail1 return2 bind2 fail2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and fail1 :: 'm1 fail
and catch1 :: 'm1 catch
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and fail2 :: 'm2 fail
and catch2 :: 'm2 catch
and h :: 'm1 ⇒ 'm2
+
assumes hom-catch [simp]: h (catch1 m1 m2) = catch2 (h m1) (h m2)

locale monad-reader-hom = m1: monad-reader return1 bind1 ask1 +
  m2: monad-reader return2 bind2 ask2 +
  monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and ask1 :: ('r, 'm1) ask
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and ask2 :: ('r, 'm2) ask
and h :: 'm1 ⇒ 'm2
+
assumes hom-ask [simp]: h (ask1 f) = ask2 (h ∘ f)

locale monad-prob-hom = m1: monad-prob return1 bind1 sample1 +
  m2: monad-prob return2 bind2 sample2 +
  monad-hom return1 bind1 return2 bind2 h
for return1 :: ('a, 'm1) return
and bind1 :: ('a, 'm1) bind
and sample1 :: ('p, 'm1) sample
and return2 :: ('a, 'm2) return
and bind2 :: ('a, 'm2) bind
and sample2 :: ('p, 'm2) sample
and h :: 'm1 ⇒ 'm2
+
assumes hom-sample [simp]: h (sample1 p f) = sample2 p (h ∘ f)

```

**locale** *monad-alt-hom* = *m1*: *monad-alt* *return1* *bind1* *alt1* +  
*m2*: *monad-alt* *return2* *bind2* *alt2* +  
*monad-hom* *return1* *bind1* *return2* *bind2* *h*  
**for** *return1* :: ('a, 'm1) *return*  
**and** *bind1* :: ('a, 'm1) *bind*  
**and** *alt1* :: 'm1 *alt*  
**and** *return2* :: ('a, 'm2) *return*  
**and** *bind2* :: ('a, 'm2) *bind*  
**and** *alt2* :: 'm2 *alt*  
**and** *h* :: 'm1  $\Rightarrow$  'm2  
+  
**assumes** *hom-alt* [*simp*]:  $h (alt1\ m\ m') = alt2 (h\ m) (h\ m')$

**locale** *monad-altc-hom* = *m1*: *monad-altc* *return1* *bind1* *altc1* +  
*m2*: *monad-altc* *return2* *bind2* *altc2* +  
*monad-hom* *return1* *bind1* *return2* *bind2* *h*  
**for** *return1* :: ('a, 'm1) *return*  
**and** *bind1* :: ('a, 'm1) *bind*  
**and** *altc1* :: ('c, 'm1) *altc*  
**and** *return2* :: ('a, 'm2) *return*  
**and** *bind2* :: ('a, 'm2) *bind*  
**and** *altc2* :: ('c, 'm2) *altc*  
**and** *h* :: 'm1  $\Rightarrow$  'm2  
+  
**assumes** *hom-altc* [*simp*]:  $h (altc1\ C\ f) = altc2\ C (h\ o\ f)$

**locale** *monad-writer-hom* = *m1*: *monad-writer* *return1* *bind1* *tell1* +  
*m2*: *monad-writer* *return2* *bind2* *tell2* +  
*monad-hom* *return1* *bind1* *return2* *bind2* *h*  
**for** *return1* :: ('a, 'm1) *return*  
**and** *bind1* :: ('a, 'm1) *bind*  
**and** *tell1* :: ('w, 'm1) *tell*  
**and** *return2* :: ('a, 'm2) *return*  
**and** *bind2* :: ('a, 'm2) *bind*  
**and** *tell2* :: ('w, 'm2) *tell*  
**and** *h* :: 'm1  $\Rightarrow$  'm2  
+  
**assumes** *hom-tell* [*simp*]:  $h (tell1\ w\ m) = tell2\ w (h\ m)$

**locale** *monad-resumption-hom* = *m1*: *monad-resumption* *return1* *bind1* *pause1* +  
*m2*: *monad-resumption* *return2* *bind2* *pause2* +  
*monad-hom* *return1* *bind1* *return2* *bind2* *h*  
**for** *return1* :: ('a, 'm1) *return*  
**and** *bind1* :: ('a, 'm1) *bind*  
**and** *pause1* :: ('o, 'i, 'm1) *pause*  
**and** *return2* :: ('a, 'm2) *return*  
**and** *bind2* :: ('a, 'm2) *bind*  
**and** *pause2* :: ('o, 'i, 'm2) *pause*  
**and** *h* :: 'm1  $\Rightarrow$  'm2

+  
**assumes** *hom-pause* [*simp*]:  $h (\text{pause1 out } c) = \text{pause2 out } (h \circ c)$

## 5 Switching between monads

Homomorphisms are functional relations between monads. In general, it is more convenient to use arbitrary relations as embeddings because arbitrary relations allow us to change the type of values in a monad. As different monad transformers change the value type in different ways, the embeddings must also support such changes in values.

**context includes** *lifting-syntax* **begin**

### 5.1 Embedding Identity into Probability

**named-theorems** *cr-id-prob-transfer*

**definition** *prob-of-id* :: 'a id  $\Rightarrow$  'a prob **where**  
*prob-of-id* m = *return-pmf* (extract m)

**lemma** *monad-id-prob-hom* [*locale-witness*]:  
*monad-hom* *return-id* *bind-id* *return-pmf* *bind-pmf* *prob-of-id*  
 ⟨*proof*⟩

**inductive** *cr-id-prob* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a id  $\Rightarrow$  'b prob  $\Rightarrow$  bool **for** A  
**where** A x y  $\Longrightarrow$  *cr-id-prob* A (*return-id* x) (*return-pmf* y)

**inductive-simps** *cr-id-prob-simps* [*simp*]: *cr-id-prob* A (*return-id* x) (*return-pmf* y)

**lemma** *cr-id-prob-return* [*cr-id-prob-transfer*]: (A  $\Longrightarrow$  *cr-id-prob* A) *return-id* *return-pmf*  
 ⟨*proof*⟩

**lemma** *cr-id-prob-bind* [*cr-id-prob-transfer*]:  
 (*cr-id-prob* A  $\Longrightarrow$  (A  $\Longrightarrow$  *cr-id-prob* B)  $\Longrightarrow$  *cr-id-prob* B) *bind-id* *bind-pmf*  
 ⟨*proof*⟩

**lemma** *cr-id-prob-Grp*: *cr-id-prob* (BNF-Def.Grp A f) = BNF-Def.Grp {x. *set-id* x  $\subseteq$  A} (*return-pmf*  $\circ$  f  $\circ$  *extract*)  
 ⟨*proof*⟩

### 5.2 State and Reader

When no state updates are needed, the operation *get* can be replaced by *ask*.

**named-theorems** *cr-envT-stateT-transfer*

**definition** *cr-prod1* :: 'c ⇒ ('a ⇒ 'b ⇒ bool) ⇒ 'a ⇒ 'b × 'c ⇒ bool  
**where** *cr-prod1 c' A* = (λa (b, c). A a b ∧ c' = c)

**lemma** *cr-prod1-simps* [*simp*]: *cr-prod1 c' A a (b, c) ⟷ A a b ∧ c' = c*  
{*proof*}

**lemma** *cr-prod1I*: *A a b ⟹ cr-prod1 c' A a (b, c')* {*proof*}

**lemma** *cr-prod1-Pair-transfer* [*cr-envT-stateT-transfer*]: (*A ⟹ eq-onp ((=) c) ⟹ cr-prod1 c A*) (λa . a) *Pair*  
{*proof*}

**lemma** *cr-prod1-fst-transfer* [*cr-envT-stateT-transfer*]: (*cr-prod1 c A ⟹ A*)  
(λa. a) *fst*  
{*proof*}

**lemma** *cr-prod1-case-prod-transfer* [*cr-envT-stateT-transfer*]:  
(*A ⟹ eq-onp ((=) c) ⟹ C*) ⟹ *cr-prod1 c A ⟹ C*) (λf a. f a  
*case-prod*  
{*proof*}

**lemma** *cr-prod1-Grp*: *cr-prod1 c (BNF-Def.Grp A f) = BNF-Def.Grp A* (λb. (f  
b, c))  
{*proof*}

**definition** *cr-envT-stateT* :: 's ⇒ ('m1 ⇒ 'm2 ⇒ bool) ⇒ ('s, 'm1) *envT* ⇒ ('s,  
'm2) *stateT* ⇒ bool  
**where** *cr-envT-stateT s M m1 m2* = (*eq-onp ((=) s) ⟹ M*) (*run-env m1*)  
(*run-state m2*)

**lemma** *cr-envT-stateT-simps* [*simp*]:  
*cr-envT-stateT s M (EnvT f) (StateT g) ⟷ M (f s) (g s)*  
{*proof*}

**lemma** *cr-envT-stateTE*:  
**assumes** *cr-envT-stateT s M m1 m2*  
**obtains** *f g* **where** *m1 = EnvT f m2 = StateT g (eq-onp ((=) s) ⟹ M) f g*  
{*proof*}

**lemma** *cr-envT-stateTD*: *cr-envT-stateT s M m1 m2 ⟹ M (run-env m1 s)*  
(*run-state m2 s*)  
{*proof*}

**lemma** *cr-envT-stateT-run* [*cr-envT-stateT-transfer*]:  
(*cr-envT-stateT s M ⟹ eq-onp ((=) s) ⟹ M*) *run-env run-state*  
{*proof*}

**lemma** *cr-envT-stateT-StateT-EnvT* [*cr-envT-stateT-transfer*]:  
 $((eq-onp ((=) s) ==> M) ==> cr-envT-stateT s M) EnvT StateT$   
 <proof>

**lemma** *cr-envT-stateT-rec* [*cr-envT-stateT-transfer*]:  
 $((eq-onp ((=) s) ==> M) ==> C) ==> cr-envT-stateT s M ==> C)$   
*rec-envT rec-stateT*  
 <proof>

**lemma** *cr-envT-stateT-return* [*cr-envT-stateT-transfer*]:  
**notes** [*transfer-rule*] = *cr-envT-stateT-transfer* **shows**  
 $((cr-prod1 s A ==> M) ==> A ==> cr-envT-stateT s M) return-env$   
*return-state*  
 <proof>

**lemma** *cr-envT-stateT-bind* [*cr-envT-stateT-transfer*]:  
 $((M ==> (cr-prod1 s A ==> M) ==> M) ==> cr-envT-stateT s M$   
 $==> (A ==> cr-envT-stateT s M) ==> cr-envT-stateT s M)$   
*bind-env bind-state*  
 <proof>

**lemma** *cr-envT-stateT-ask-get* [*cr-envT-stateT-transfer*]:  
 $((eq-onp ((=) s) ==> cr-envT-stateT s M) ==> cr-envT-stateT s M) ask-env$   
*get-state*  
 <proof>

**lemma** *cr-envT-stateT-fail* [*cr-envT-stateT-transfer*]:  
**notes** [*transfer-rule*] = *cr-envT-stateT-transfer* **shows**  
 $(M ==> cr-envT-stateT s M) fail-env fail-state$   
 <proof>

### 5.3 - *spmf* and $(-, - prob) optionT$

This section defines the mapping between the *- spmf* monad and the monad obtained by composing transforming *- prob* with  $(-, -) optionT$ .

**definition** *cr-spmf-prob-optionT* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'a option prob) optionT$   
 $\Rightarrow 'b spmf \Rightarrow bool$

**where** *cr-spmf-prob-optionT*  $A p q \iff rel-spmf A (run-option p) q$

**lemma** *cr-spmf-prob-optionTI*:  $rel-spmf A (run-option p) q \implies cr-spmf-prob-optionT$   
 $A p q$   
 <proof>

**lemma** *cr-spmf-prob-optionTD*:  $cr-spmf-prob-optionT A p q \implies rel-spmf A (run-option$   
 $p) q$   
 <proof>

**lemma** *cr-spmf-prob-optionT-return-transfer*:

— Cannot be used as a transfer rule in *transfer-prover* because *return-spmf* is not a constant.

$(A \text{ ===> } cr\text{-}spm\text{-}prob\text{-}optionT\ A) \text{ (return-option return-pmf) return-spmf}$   
 $\langle proof \rangle$

**lemma** *cr-spmf-prob-optionT-bind-transfer*:

$(cr\text{-}spm\text{-}prob\text{-}optionT\ A \text{ ===> } (A \text{ ===> } cr\text{-}spm\text{-}prob\text{-}optionT\ A) \text{ ===> } cr\text{-}spm\text{-}prob\text{-}optionT\ A)$   
 $(bind\text{-}option\ return\text{-}pmf\ bind\text{-}pmf) \text{ bind-spmf}$   
 $\langle proof \rangle$

**lemma** *cr-spmf-prob-optionT-fail-transfer*:

$cr\text{-}spm\text{-}prob\text{-}optionT\ A \text{ (fail-option return-pmf) (return-pmf None)}$   
 $\langle proof \rangle$

**abbreviation**  $(input) \text{ spmf-of-prob-optionT} :: ('a, 'a \text{ option } prob) \text{ optionT} \Rightarrow 'a \text{ spmf}$

**where**  $spm\text{-}of\text{-}prob\text{-}optionT \equiv run\text{-}option$

**abbreviation**  $(input) \text{ prob-optionT-of-spmf} :: 'a \text{ spmf} \Rightarrow ('a, 'a \text{ option } prob) \text{ optionT}$

**where**  $prob\text{-}optionT\text{-of-spmf} \equiv OptionT$

**lemma** *spm\text{-}of-prob-optionT-transfer*:  $(cr\text{-}spm\text{-}prob\text{-}optionT\ A \text{ ===> } rel\text{-}spm\text{-}f\ A) \text{ spmf-of-prob-optionT } (\lambda x. x)$   
 $\langle proof \rangle$

**lemma** *prob-optionT-of-spmf-transfer*:  $(rel\text{-}spm\text{-}f\ A \text{ ===> } cr\text{-}spm\text{-}prob\text{-}optionT\ A) \text{ prob-optionT-of-spmf } (\lambda x. x)$   
 $\langle proof \rangle$

## 5.4 Probabilities and countable non-determinism

**named-theorems** *cr-prob-ndi-transfer*

**context includes** *cset.lifting* **begin**

**interpretation** *cset-nondetM* *return-id* *bind-id* *merge-id* *merge-id*  $\langle proof \rangle$

**lift-definition** *cset-pmf* ::  $'a \text{ pmf} \Rightarrow 'a \text{ cset}$  **is** *set-pmf*  $\langle proof \rangle$

**inductive** *cr-pmf-cset* ::  $'a \text{ pmf} \Rightarrow 'a \text{ cset} \Rightarrow bool$  **for** *p* **where**  
 $cr\text{-}pmf\text{-}cset\ p \text{ (cset-pmf } p)$

**lemma** *cr-pmf-cset-Grp*:  $cr\text{-}pmf\text{-}cset = BNF\text{-}Def.Grp\ UNIV\ cset\text{-}pmf$   
 $\langle proof \rangle$

**lemma** *cr-pmf-cset-return-pmf* [*cr-prob-ndi-transfer*]:  
 $((=) \text{ ===> } cr\text{-}pmf\text{-}cset) \text{ return-pmf } c\text{single}$

*<proof>*

**inductive** *cr-prob-ndi* :: ('a ⇒ 'b ⇒ bool) ⇒ 'a prob ⇒ ('b, 'b cset id) nondetT ⇒ bool

**for** *A p B* **where**

*cr-prob-ndi A p B* **if** *rel-set A (set-pmf p) (rcset (extract (run-nondet B)))*

**lemma** *cr-prob-ndi-Grp*: *cr-prob-ndi (BNF-Def.Grp UNIV f) = BNF-Def.Grp UNIV (NondetT ∘ return-id ∘ cimage f ∘ cset-pmf)*

*<proof>*

**lemma** *cr-ndi-prob-return* [*cr-prob-ndi-transfer*]:

*(A ==> cr-prob-ndi A) return-pmf return-nondet*

*<proof>*

**lemma** *cr-ndi-prob-bind* [*cr-prob-ndi-transfer*]:

*(cr-prob-ndi A ==> (A ==> cr-prob-ndi A) ==> cr-prob-ndi A) bind-pmf bind-nondet*

*<proof>*

**lemma** *cr-ndi-prob-sample* [*cr-prob-ndi-transfer*]:

*(cr-pmf-cset ==> ((=) ==> cr-prob-ndi A) ==> cr-prob-ndi A) bind-pmf altc-nondet*

*<proof>*

**end**

**end**

**end**

## 6 Overloaded monad operations

**theory** *Monad-Overloading* **imports** *Monomorphic-Monad* **begin**

**consts** *return* :: ('a, 'm) return

**consts** *bind* :: ('a, 'm) bind

**consts** *get* :: ('s, 'm) get

**consts** *put* :: ('s, 'm) put

**consts** *fail* :: 'm fail

**consts** *catch* :: 'm catch

**consts** *ask* :: ('r, 'm) ask

**consts** *sample* :: ('p, 'm) sample

**consts** *pause* :: ('o, 'i, 'm) pause

**consts** *tell* :: ('w, 'm) tell

**consts** *alt* :: 'm alt

**consts** *altc* :: ('c, 'm) altc



## 6.1 Identity monad

**overloading**

$bind-id' \equiv bind :: ('a, 'a id) bind$

$return-id \equiv return :: ('a, 'a id) return$

**begin**

**definition**  $bind-id' :: ('a, 'a id) bind$

**where**  $[code-unfold, monad-unfold]: bind-id' = bind-id$

**definition**  $return-id :: ('a, 'a id) return$

**where**  $[code-unfold, monad-unfold]: return-id = id.return-id$

**end**

**lemma**  $extract-bind' [simp]: extract (bind x f) = extract (f (extract x))$

$\langle proof \rangle$

**lemma**  $extract-return [simp]: extract (return x) = x$

$\langle proof \rangle$

**lemma**  $monad-id' [locale-witness]: monad return (bind :: ('a, 'a id) bind)$

$\langle proof \rangle$

**lemma**  $monad-commute-id' [locale-witness]: monad-commute return (bind :: ('a, 'a id) bind)$

$\langle proof \rangle$

## 6.2 Probability monad

**overloading**

$return-prob \equiv return :: ('a, 'a prob) return$

$bind-prob \equiv bind :: ('a, 'a prob) bind$

$sample-prob \equiv sample :: ('p, 'a prob) sample$

**begin**

**definition**  $return-prob :: ('a, 'a pmf) return$

**where**  $[code-unfold, monad-unfold]: return-prob = return-pmf$

**definition**  $bind-prob :: ('a, 'a prob) bind$

**where**  $[code-unfold, monad-unfold]: bind-prob = bind-pmf$

**definition**  $sample-prob :: ('p, 'a pmf) sample$

**where**  $[code-unfold, monad-unfold]: sample-prob = bind-pmf$

**end**

**lemma**  $monad-prob' [locale-witness]: monad return (bind :: ('a, 'a prob) bind)$

$\langle proof \rangle$

**lemma** *monad-commute-prob'* [*locale-witness*]: *monad-commute return (bind :: ('a, 'a prob) bind)*  
 ⟨*proof*⟩

**lemma** *monad-prob-prob'* [*locale-witness*]: *monad-prob return (bind :: ('a, 'a prob) bind) (sample :: ('p, 'a prob) sample)*  
 ⟨*proof*⟩

### 6.3 Nondeterminism monad transformer

As the collection type is not determined from the type of the return operation, we can only provide definitions for one collection type implementation. We choose multisets. Accordingly, *altc* is not available.

**consts**

*munionMT :: 'a itself ⇒ 'm ⇒ 'm ⇒ 'm*  
*mUnionMT :: 'a itself ⇒ 'm multiset ⇒ 'm*

**overloading**

*return-nondetT ≡ return :: ('a, ('a, 'm) nondetT) return (unchecked)*  
*bind-nondetT ≡ bind :: ('a, ('a, 'm) nondetT) bind (unchecked)*  
*fail-nondetT ≡ fail :: ('a, 'm) nondetT fail (unchecked)*  
*ask-nondetT ≡ ask :: ('r, ('a, 'm) nondetT) ask*  
*get-nondetT ≡ get :: ('s, ('a, 'm) nondetT) get*  
*put-nondetT ≡ put :: ('s, ('a, 'm) nondetT) put*  
*alt-nondetT ≡ alt :: ('a, 'm) nondetT alt (unchecked)*  
*munionMT ≡ munionMT :: 'a itself ⇒ 'm ⇒ 'm ⇒ 'm (unchecked)*  
*mUnionMT ≡ mUnionMT :: 'a itself ⇒ 'm multiset ⇒ 'm (unchecked)*

**begin**

**interpretation** *nondetM-base return bind mmerge return bind {#} λx. {#x#}*  
 (+) ⟨*proof*⟩

**definition** *return-nondetT :: ('a, ('a, 'm) nondetT) return*

**where** [*code-unfold, monad-unfold*]: *return-nondetT = return-nondet*

**definition** *bind-nondetT :: ('a, ('a, 'm) nondetT) bind*

**where** [*code-unfold, monad-unfold*]: *bind-nondetT = bind-nondet*

**definition** *fail-nondetT :: ('a, 'm) nondetT fail*

**where** [*code-unfold, monad-unfold*]: *fail-nondetT = fail-nondet*

**definition** *ask-nondetT :: ('r, ('a, 'm) nondetT) ask*

**where** [*code-unfold, monad-unfold*]: *ask-nondetT = ask-nondet ask*

**definition** *get-nondetT :: ('s, ('a, 'm) nondetT) get*

**where** [*code-unfold, monad-unfold*]: *get-nondetT = get-nondet get*

**definition** *put-nondetT :: ('s, ('a, 'm) nondetT) put*

**where** [*code-unfold, monad-unfold*]: *put-nondetT = put-nondet put*

**definition** *alt-nondetT* :: ('a, 'm) nondetT alt  
**where** [*code-unfold, monad-unfold*]: *alt-nondetT* = *alt-nondet*

**definition** *munionMT* :: 'a itself  $\Rightarrow$  'm  $\Rightarrow$  'm  $\Rightarrow$  'm  
**where** *munionMT* - *m1 m2* = *bind m1* ( $\lambda A.$  *bind m2* ( $\lambda B.$  *return* (*A + B* :: 'a *multiset*)))

**definition** *mUnionMT* :: 'a itself  $\Rightarrow$  'm *multiset*  $\Rightarrow$  'm  
**where** *mUnionMT* - = *fold-mset* (*munionMT* *TYPE('a)*) (*return* ({#} :: 'a *multiset*))

**end**

**context begin**

**interpretation** *nondetM-base* *return* *bind* *mmerge* *return* *bind* {#}  $\lambda x.$  {#x#}  
 (+) *<proof>*

**lemma** *run-bind-nondetT*:

**fixes** *f* :: 'a  $\Rightarrow$  ('a, 'm) *nondetT* **shows**  
*run-nondet* (*bind m f*) = *bind* (*run-nondet m*) ( $\lambda A.$  *mUnionMT* *TYPE('a)*  
 (*image-mset* (*run-nondet*  $\circ$  *f*) *A*))  
*<proof>*

**lemma** *run-return-nondetT* [*simp*]: *run-nondet* (*return* *x* :: ('a, 'm) *nondetT*) =  
*return* {#x#} **for** *x* :: 'a  
*<proof>*

**lemma** *run-fail-nondetT* [*simp*]: *run-nondet* (*fail* :: ('a, 'm) *nondetT*) = *return*  
 ({#} :: 'a *multiset*)  
*<proof>*

**lemma** *run-ask-nondetT* [*simp*]: *run-nondet* (*ask f*) = *ask* ( $\lambda r.$  *run-nondet* (*f r*))  
*<proof>*

**lemma** *run-get-nondetT* [*simp*]: *run-nondet* (*get f*) = *get* ( $\lambda s.$  *run-nondet* (*f s*))  
*<proof>*

**lemma** *run-put-nondetT* [*simp*]: *run-nondet* (*put s m*) = *put s* (*run-nondet m*)  
*<proof>*

**lemma** *run-alt-nondetT* [*simp*]:

*run-nondet* (*alt m m'* :: ('a, 'm) *nondetT*) =  
*bind* (*run-nondet m*) ( $\lambda A :: 'a$  *multiset.* *bind* (*run-nondet m'*) ( $\lambda B.$  *return* (*A +*  
*B*)))  
*<proof>*

**end**

**lemma** *monad-nondetT'* [locale-witness]:  
*monad-commute return (bind :: ('a multiset, 'm) bind)*  
 $\implies$  *monad return (bind :: ('a, ('a, 'm) nondetT) bind)*  
 <proof>

**lemma** *monad-fail-nondetT'* [locale-witness]:  
*monad-commute return (bind :: ('a multiset, 'm) bind)*  
 $\implies$  *monad-fail return (bind :: ('a, ('a, 'm) nondetT) bind) fail*  
 <proof>

**lemma** *monad-alt-nondetT'* [locale-witness]:  
*monad-commute return (bind :: ('a multiset, 'm) bind)*  
 $\implies$  *monad-alt return (bind :: ('a, ('a, 'm) nondetT) bind) alt*  
 <proof>

**lemma** *monad-fail-alt-nondetT'* [locale-witness]:  
*monad-commute return (bind :: ('a multiset, 'm) bind)*  
 $\implies$  *monad-fail-alt return (bind :: ('a, ('a, 'm) nondetT) bind) fail alt*  
 <proof>

**lemma** *monad-reader-nondetT'* [locale-witness]:  
 [ *monad-commute return (bind :: ('a multiset, 'm) bind);*  
*monad-reader return (bind :: ('a multiset, 'm) bind) (ask :: ('r, 'm) ask) ] ]  
 $\implies$  *monad-reader return (bind :: ('a, ('a, 'm) nondetT) bind) (ask :: ('r, ('a, 'm)*  
*nondetT) ask)*  
 <proof>*

## 6.4 State monad transformer

### overloading

*get-stateT*  $\equiv$  *get :: ('s, ('s, 'm) stateT) get*  
*put-stateT*  $\equiv$  *put :: ('s, ('s, 'm) stateT) put*  
*bind-stateT*  $\equiv$  *bind :: ('a, ('s, 'm) stateT) bind (unchecked)*  
*return-stateT*  $\equiv$  *return :: ('a, ('s, 'm) stateT) return (unchecked)*  
*fail-stateT*  $\equiv$  *fail :: ('s, 'm) stateT fail*  
*ask-stateT*  $\equiv$  *ask :: ('r, ('s, 'm) stateT) ask*  
*sample-stateT*  $\equiv$  *sample :: ('p, ('s, 'm) stateT) sample*  
*tell-stateT*  $\equiv$  *tell :: ('w, ('s, 'm) stateT) tell*  
*alt-stateT*  $\equiv$  *alt :: ('s, 'm) stateT alt*  
*altc-stateT*  $\equiv$  *altc :: ('c, ('s, 'm) stateT) altc*  
*pause-stateT*  $\equiv$  *pause :: ('o, 'i, ('s, 'm) stateT) pause*

### begin

**definition** *get-stateT* :: ('s, ('s, 'm) stateT) get  
**where** [code-unfold, monad-unfold]: *get-stateT* = *get-state*

**definition** *put-stateT* :: ('s, ('s, 'm) stateT) put  
**where** [code-unfold, monad-unfold]: *put-stateT* = *put-state*

**definition**  $bind\text{-}stateT :: ('a, ('s, 'm) stateT) bind$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: bind\text{-}stateT = bind\text{-}state bind$

**definition**  $return\text{-}stateT :: ('a, ('s, 'm) stateT) return$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: return\text{-}stateT = return\text{-}state return$

**definition**  $fail\text{-}stateT :: ('s, 'm) stateT fail$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: fail\text{-}stateT = fail\text{-}state fail$

**definition**  $ask\text{-}stateT :: ('r, ('s, 'm) stateT) ask$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: ask\text{-}stateT = ask\text{-}state ask$

**definition**  $sample\text{-}stateT :: ('p, ('s, 'm) stateT) sample$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: sample\text{-}stateT = sample\text{-}state sample$

**definition**  $tell\text{-}stateT :: ('w, ('s, 'm) stateT) tell$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: tell\text{-}stateT = tell\text{-}state tell$

**definition**  $alt\text{-}stateT :: ('s, 'm) stateT alt$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: alt\text{-}stateT = alt\text{-}state alt$

**definition**  $altc\text{-}stateT :: ('c, ('s, 'm) stateT) altc$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: altc\text{-}stateT = altc\text{-}state altc$

**definition**  $pause\text{-}stateT :: ('o, 'i, ('s, 'm) stateT) pause$   
**where**  $[code\text{-}unfold, monad\text{-}unfold]: pause\text{-}stateT = pause\text{-}state pause$

**end**

**lemma**  $run\text{-}bind\text{-}stateT [simp]:$   
 $run\text{-}state (bind x f) s = bind (run\text{-}state x s) (\lambda(a, s'). run\text{-}state (f a) s')$   
 $\langle proof \rangle$

**lemma**  $run\text{-}return\text{-}stateT [simp]: run\text{-}state (return x) s = return (x, s)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}put\text{-}stateT [simp]: run\text{-}state (put s m) s' = run\text{-}state m s$   
 $\langle proof \rangle$

**lemma**  $run\text{-}get\text{-}state [simp]: run\text{-}state (get f) s = run\text{-}state (f s) s$   
 $\langle proof \rangle$

**lemma**  $run\text{-}fail\text{-}stateT [simp]: run\text{-}state fail s = fail$   
 $\langle proof \rangle$

**lemma**  $run\text{-}ask\text{-}stateT [simp]: run\text{-}state (ask f) s = ask (\lambda r. run\text{-}state (f r) s)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}sample\text{-}stateT [simp]: run\text{-}state (sample p f) s = sample p (\lambda x. run\text{-}state$

(f x) s  
<proof>

**lemma** *run-tell-stateT* [simp]: *run-state (tell w m) s = tell w (run-state m s)*  
<proof>

**lemma** *run-alt-stateT* [simp]: *run-state (alt m m') s = alt (run-state m s) (run-state m' s)*  
<proof>

**lemma** *run-altc-stateT* [simp]: *run-state (altc C f) s = altc C (λx. run-state (f x) s)*  
<proof>

**lemma** *run-pause-stateT* [simp]: *run-state (pause out c) s = pause out (λinput. run-state (c input) s)*  
<proof>

**lemma** *monad-stateT'* [locale-witness]:  
*monad return (bind :: ('a × 's, 'm) bind) ⇒ monad return (bind :: ('a, ('s, 'm) stateT) bind)*  
<proof>

**lemma** *monad-state-stateT'* [locale-witness]:  
*monad return (bind :: ('a × 's, 'm) bind)*  
*⇒ monad-state return (bind :: ('a, ('s, 'm) stateT) bind) get (put :: ('s, ('s, 'm) stateT) put)*  
<proof>

**lemma** *monad-fail-stateT'* [locale-witness]:  
*monad-fail return (bind :: ('a × 's, 'm) bind) fail*  
*⇒ monad-fail return (bind :: ('a, ('s, 'm) stateT) bind) fail*  
<proof>

**lemma** *monad-reader-stateT'* [locale-witness]:  
*monad-reader return (bind :: ('a × 's, 'm) bind) (ask :: ('r, 'm) ask)*  
*⇒ monad-reader return (bind :: ('a, ('s, 'm) stateT) bind) (ask :: ('r, ('s, 'm) stateT) ask)*  
<proof>

**lemma** *monad-reader-state-stateT'* [locale-witness]:  
*monad-reader return (bind :: ('a × 's, 'm) bind) (ask :: ('r, 'm) ask)*  
*⇒ monad-reader-state return (bind :: ('a, ('s, 'm) stateT) bind) (ask :: ('r, ('s, 'm) stateT) ask) get-state put-state*  
<proof>

**lemma** *monad-prob-stateT'* [locale-witness]:  
*monad-prob return (bind :: ('a × 's, 'm) bind) (sample :: ('p, 'm) sample)*  
*⇒ monad-prob return (bind :: ('a, ('s, 'm) stateT) bind) (sample :: ('p, ('s, 'm)*

*stateT*) *sample*)  
<proof>

**lemma** *monad-state-prob-stateT'* [*locale-witness*]:

*monad-prob return (bind :: ('a × 's, 'm) bind) (sample :: ('p, 'm) sample)*  
⇒ *monad-state-prob return (bind :: ('a, ('s, 'm) stateT) bind) get (put :: ('s, ('s, 'm) stateT) put) (sample :: ('p, ('s, 'm) stateT) sample)*  
<proof>

**lemma** *monad-writer-stateT'* [*locale-witness*]:

*monad-writer return (bind :: ('a × 's, 'm) bind) (tell :: ('w, 'm) tell)*  
⇒ *monad-writer return (bind :: ('a, ('s, 'm) stateT) bind) (tell :: ('w, ('s, 'm) stateT) tell)*  
<proof>

**lemma** *monad-alt-stateT'* [*locale-witness*]:

*monad-alt return (bind :: ('a × 's, 'm) bind) alt*  
⇒ *monad-alt return (bind :: ('a, ('s, 'm) stateT) bind) alt*  
<proof>

**lemma** *monad-state-alt-stateT'* [*locale-witness*]:

*monad-alt return (bind :: ('a × 's, 'm) bind) alt*  
⇒ *monad-state-alt return (bind :: ('a, ('s, 'm) stateT) bind) (get :: ('s, ('s, 'm) stateT) get) put alt*  
<proof>

**lemma** *monad-fail-alt-stateT'* [*locale-witness*]:

*monad-fail-alt return (bind :: ('a × 's, 'm) bind) fail alt*  
⇒ *monad-fail-alt return (bind :: ('a, ('s, 'm) stateT) bind) fail alt*  
<proof>

**lemma** *monad-altc-stateT'* [*locale-witness*]:

*monad-altc return (bind :: ('a × 's, 'm) bind) (altc :: ('c, 'm) altc)*  
⇒ *monad-altc return (bind :: ('a, ('s, 'm) stateT) bind) (altc :: ('c, ('s, 'm) stateT) altc)*  
<proof>

**lemma** *monad-state-altc-stateT'* [*locale-witness*]:

*monad-altc return (bind :: ('a × 's, 'm) bind) (altc :: ('c, 'm) altc)*  
⇒ *monad-state-altc return (bind :: ('a, ('s, 'm) stateT) bind) (get :: ('s, ('s, 'm) stateT) get) put (altc :: ('c, ('s, 'm) stateT) altc)*  
<proof>

**lemma** *monad-resumption-stateT'* [*locale-witness*]:

*monad-resumption return (bind :: ('a × 's, 'm) bind) (pause :: ('o, 'i, 'm) pause)*  
⇒ *monad-resumption return (bind :: ('a, ('s, 'm) stateT) bind) (pause :: ('o, 'i, ('s, 'm) stateT) pause)*  
<proof>

## 6.5 Failure and Exception monad transformer

### overloading

*return-optionT*  $\equiv$  *return* :: ('a, ('a, 'm) optionT) return (**unchecked**)

*bind-optionT*  $\equiv$  *bind* :: ('a, ('a, 'm) optionT) bind (**unchecked**)

*fail-optionT*  $\equiv$  *fail* :: ('a, 'm) optionT fail (**unchecked**)

*catch-optionT*  $\equiv$  *catch* :: ('a, 'm) optionT catch (**unchecked**)

*ask-optionT*  $\equiv$  *ask* :: ('r, ('a, 'm) optionT) ask

*get-optionT*  $\equiv$  *get* :: ('s, ('a, 'm) optionT) get

*put-optionT*  $\equiv$  *put* :: ('s, ('a, 'm) optionT) put

*sample-optionT*  $\equiv$  *sample* :: ('p, ('a, 'm) optionT) sample

*tell-optionT*  $\equiv$  *tell* :: ('w, ('a, 'm) optionT) tell

*alt-optionT*  $\equiv$  *alt* :: ('a, 'm) optionT alt

*altc-optionT*  $\equiv$  *altc* :: ('c, ('a, 'm) optionT) altc

*pause-optionT*  $\equiv$  *pause* :: ('o, 'i, ('a, 'm) optionT) pause

### begin

**definition** *return-optionT* :: ('a, ('a, 'm) optionT) return

**where** [*code-unfold*, *monad-unfold*]: *return-optionT* = *return-option return*

**definition** *bind-optionT* :: ('a, ('a, 'm) optionT) bind

**where** [*code-unfold*, *monad-unfold*]: *bind-optionT* = *bind-option return bind*

**definition** *fail-optionT* :: ('a, 'm) optionT fail

**where** [*code-unfold*, *monad-unfold*]: *fail-optionT* = *fail-option return*

**definition** *catch-optionT* :: ('a, 'm) optionT catch

**where** [*code-unfold*, *monad-unfold*]: *catch-optionT* = *catch-option return bind*

**definition** *ask-optionT* :: ('r, ('a, 'm) optionT) ask

**where** [*code-unfold*, *monad-unfold*]: *ask-optionT* = *ask-option ask*

**definition** *get-optionT* :: ('s, ('a, 'm) optionT) get

**where** [*code-unfold*, *monad-unfold*]: *get-optionT* = *get-option get*

**definition** *put-optionT* :: ('s, ('a, 'm) optionT) put

**where** [*code-unfold*, *monad-unfold*]: *put-optionT* = *put-option put*

**definition** *sample-optionT* :: ('p, ('a, 'm) optionT) sample

**where** [*code-unfold*, *monad-unfold*]: *sample-optionT* = *sample-option sample*

**definition** *tell-optionT* :: ('w, ('a, 'm) optionT) tell

**where** [*code-unfold*, *monad-unfold*]: *tell-optionT* = *tell-option tell*

**definition** *alt-optionT* :: ('a, 'm) optionT alt

**where** [*code-unfold*, *monad-unfold*]: *alt-optionT* = *alt-option alt*

**definition** *altc-optionT* :: ('c, ('a, 'm) optionT) altc

**where** [*code-unfold*, *monad-unfold*]: *altc-optionT* = *altc-option altc*



**definition** *pause-optionT* :: ('o, 'i, ('a, 'm) optionT) pause  
**where** [*code-unfold, monad-unfold*]: *pause-optionT* = *pause-option pause*

**end**

**lemma** *run-bind-optionT*:

**fixes**  $f :: 'a \Rightarrow ('a, 'm) \text{ optionT}$  **shows**  
 $\text{run-option (bind } x \text{ f)} = \text{bind (run-option } x) (\lambda x. \text{ case } x \text{ of None} \Rightarrow \text{return (None} \\
:: 'a \text{ option)} \mid \text{Some } y \Rightarrow \text{run-option (f } y))$   
 $\langle \text{proof} \rangle$

**lemma** *run-return-optionT* [*simp*]:  $\text{run-option (return } x :: ('a, 'm) \text{ optionT)} = \\
\text{return (Some } x)$  **for**  $x :: 'a$   
 $\langle \text{proof} \rangle$

**lemma** *run-fail-optionT* [*simp*]:  $\text{run-option (fail :: ('a, 'm) \text{ optionT fail)} = \text{return} \\
(\text{None} :: 'a \text{ option})$   
 $\langle \text{proof} \rangle$

**lemma** *run-catch-optionT* [*simp*]:

$\text{run-option (catch } m \text{ h :: ('a, 'm) \text{ optionT)} = \\
\text{bind (run-option } m) (\lambda x :: 'a \text{ option. if } x = \text{None then run-option } h \text{ else return} \\
x)$   
 $\langle \text{proof} \rangle$

**lemma** *run-ask-optionT* [*simp*]:  $\text{run-option (ask } f) = \text{ask } (\lambda r. \text{run-option (f } r))$   
 $\langle \text{proof} \rangle$

**lemma** *run-get-optionT* [*simp*]:  $\text{run-option (get } f) = \text{get } (\lambda s. \text{run-option (f } s))$   
 $\langle \text{proof} \rangle$

**lemma** *run-put-optionT* [*simp*]:  $\text{run-option (put } s \text{ m)} = \text{put } s (\text{run-option } m)$   
 $\langle \text{proof} \rangle$

**lemma** *run-sample-optionT* [*simp*]:  $\text{run-option (sample } p \text{ f)} = \text{sample } p (\lambda x. \text{run-option} \\
(\text{f } x))$   
 $\langle \text{proof} \rangle$

**lemma** *run-tell-optionT* [*simp*]:  $\text{run-option (tell } w \text{ m)} = \text{tell } w (\text{run-option } m)$   
 $\langle \text{proof} \rangle$

**lemma** *run-alt-optionT* [*simp*]:  $\text{run-option (alt } m \text{ m')} = \text{alt (run-option } m) (\text{run-option} \\
m')$   
 $\langle \text{proof} \rangle$

**lemma** *run-altc-optionT* [*simp*]:  $\text{run-option (altc } C \text{ f)} = \text{altc } C (\text{run-option } \circ \text{ f})$   
 $\langle \text{proof} \rangle$

**lemma** *run-pause-optionT* [*simp*]:  $\text{run-option (pause out } c) = \text{pause out } (\lambda \text{input.}$

*run-option (c input)*  
<proof>

**lemma** *monad-optionT' [locale-witness]:*  
  *monad return (bind :: ('a option, 'm) bind)*  
   $\implies$  *monad return (bind :: ('a, ('a, 'm) optionT) bind)*  
<proof>

**lemma** *monad-fail-optionT' [locale-witness]:*  
  *monad return (bind :: ('a option, 'm) bind)*  
   $\implies$  *monad-fail return (bind :: ('a, ('a, 'm) optionT) bind) fail*  
<proof>

**lemma** *monad-catch-optionT' [locale-witness]:*  
  *monad return (bind :: ('a option, 'm) bind)*  
   $\implies$  *monad-catch return (bind :: ('a, ('a, 'm) optionT) bind) fail catch*  
<proof>

**lemma** *monad-reader-optionT' [locale-witness]:*  
  *monad-reader return (bind :: ('a option, 'm) bind) (ask :: ('r, 'm) ask)*  
   $\implies$  *monad-reader return (bind :: ('a, ('a, 'm) optionT) bind) (ask :: ('r, ('a, 'm) optionT) ask)*  
<proof>

**lemma** *monad-state-optionT' [locale-witness]:*  
  *monad-state return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put*  
   $\implies$  *monad-state return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a, 'm) optionT) get) put*  
<proof>

**lemma** *monad-catch-state-optionT' [locale-witness]:*  
  *monad-state return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put*  
   $\implies$  *monad-catch-state return (bind :: ('a, ('a, 'm) optionT) bind) fail catch (get :: ('s, ('a, 'm) optionT) get) put*  
<proof>

**lemma** *monad-prob-optionT' [locale-witness]:*  
  *monad-prob return (bind :: ('a option, 'm) bind) (sample :: ('p, 'm) sample)*  
   $\implies$  *monad-prob return (bind :: ('a, ('a, 'm) optionT) bind) (sample :: ('p, ('a, 'm) optionT) sample)*  
<proof>

**lemma** *monad-state-prob-optionT' [locale-witness]:*  
  *monad-state-prob return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put (sample :: ('p, 'm) sample)*  
   $\implies$  *monad-state-prob return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a, 'm) optionT) get) put (sample :: ('p, ('a, 'm) optionT) sample)*  
<proof>

**lemma** *monad-writer-optionT'* [locale-witness]:

*monad-writer return (bind :: ('a option, 'm) bind) (tell :: ('w, 'm) tell)*  
 $\implies$  *monad-writer return (bind :: ('a, ('a, 'm) optionT) bind) (tell :: ('w, ('a, 'm) optionT) tell)*  
<proof>

**lemma** *monad-alt-optionT'* [locale-witness]:

*monad-alt return (bind :: ('a option, 'm) bind) alt*  
 $\implies$  *monad-alt return (bind :: ('a, ('a, 'm) optionT) bind) alt*  
<proof>

**lemma** *monad-state-alt-optionT'* [locale-witness]:

*monad-state-alt return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put alt*  
 $\implies$  *monad-state-alt return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a, 'm) optionT) get) put alt*  
<proof>

**lemma** *monad-altc-optionT'* [locale-witness]:

*monad-altc return (bind :: ('a option, 'm) bind) (altc :: ('c, 'm) altc)*  
 $\implies$  *monad-altc return (bind :: ('a, ('a, 'm) optionT) bind) (altc :: ('c, ('a, 'm) optionT) altc)*  
<proof>

**lemma** *monad-state-altc-optionT'* [locale-witness]:

*monad-state-altc return (bind :: ('a option, 'm) bind) (get :: ('s, 'm) get) put (altc :: ('c, 'm) altc)*  
 $\implies$  *monad-state-altc return (bind :: ('a, ('a, 'm) optionT) bind) (get :: ('s, ('a, 'm) optionT) get) put (altc :: ('c, ('a, 'm) optionT) altc)*  
<proof>

**lemma** *monad-resumption-optionT'* [locale-witness]:

*monad-resumption return (bind :: ('a option, 'm) bind) (pause :: ('o, 'i, 'm) pause)*  
 $\implies$  *monad-resumption return (bind :: ('a, ('a, 'm) optionT) bind) (pause :: ('o, 'i, ('a, 'm) optionT) pause)*  
<proof>

**lemma** *monad-commute-optionT'* [locale-witness]:

$\llbracket$  *monad-commute return (bind :: ('a option, 'm) bind); monad-discard return (bind :: ('a option, 'm) bind)*  $\rrbracket$   
 $\implies$  *monad-commute return (bind :: ('a, ('a, 'm) optionT) bind)*  
<proof>

## 6.6 Reader monad transformer

### overloading

*return-envT*  $\equiv$  *return :: ('a, ('r, 'm) envT) return*  
*bind-envT*  $\equiv$  *bind :: ('a, ('r, 'm) envT) bind*  
*fail-envT*  $\equiv$  *fail :: ('r, 'm) envT fail*  
*get-envT*  $\equiv$  *get :: ('s, ('r, 'm) envT) get*

$put-envT \equiv put :: ('s, ('r, 'm) envT) put$   
 $sample-envT \equiv sample :: ('p, ('r, 'm) envT) sample$   
 $ask-envT \equiv ask :: ('r, ('r, 'm) envT) ask$   
 $catch-envT \equiv catch :: ('r, 'm) envT catch$   
 $alt-envT \equiv alt :: ('r, 'm) envT alt$   
 $altc-envT \equiv altc :: ('c, ('r, 'm) envT) altc$   
 $pause-envT \equiv pause :: ('o, 'i, ('r, 'm) envT) pause$   
 $tell-envT \equiv tell :: ('w, ('r, 'm) envT) tell$

**begin**

**definition**  $return-envT :: ('a, ('r, 'm) envT) return$   
**where**  $[code-unfold, monad-unfold]: return-envT = return-env return$

**definition**  $bind-envT :: ('a, ('r, 'm) envT) bind$   
**where**  $[code-unfold, monad-unfold]: bind-envT = bind-env bind$

**definition**  $ask-envT :: ('r, ('r, 'm) envT) ask$   
**where**  $[code-unfold, monad-unfold]: ask-envT = ask-env$

**definition**  $fail-envT :: ('r, 'm) envT fail$   
**where**  $[code-unfold, monad-unfold]: fail-envT = fail-env fail$

**definition**  $get-envT :: ('s, ('r, 'm) envT) get$   
**where**  $[code-unfold, monad-unfold]: get-envT = get-env get$

**definition**  $put-envT :: ('s, ('r, 'm) envT) put$   
**where**  $[code-unfold, monad-unfold]: put-envT = put-env put$

**definition**  $sample-envT :: ('p, ('r, 'm) envT) sample$   
**where**  $[code-unfold, monad-unfold]: sample-envT = sample-env sample$

**definition**  $catch-envT :: ('r, 'm) envT catch$   
**where**  $[code-unfold, monad-unfold]: catch-envT = catch-env catch$

**definition**  $alt-envT :: ('r, 'm) envT alt$   
**where**  $[code-unfold, monad-unfold]: alt-envT = alt-env alt$

**definition**  $altc-envT :: ('c, ('r, 'm) envT) altc$   
**where**  $[code-unfold, monad-unfold]: altc-envT = altc-env altc$

**definition**  $pause-envT :: ('o, 'i, ('r, 'm) envT) pause$   
**where**  $[code-unfold, monad-unfold]: pause-envT = pause-env pause$

**definition**  $tell-envT :: ('w, ('r, 'm) envT) tell$   
**where**  $[code-unfold, monad-unfold]: tell-envT = tell-env tell$

**end**

**lemma**  $run-bind-envT [simp]: run-env (bind x f) r = bind (run-env x r) (\lambda y.$

$run\text{-}env (f y) r$   
 $\langle proof \rangle$

**lemma**  $run\text{-}return\text{-}envT [simp]: run\text{-}env (return x) r = return x$   
 $\langle proof \rangle$

**lemma**  $run\text{-}ask\text{-}envT [simp]: run\text{-}env (ask f) r = run\text{-}env (f r) r$   
 $\langle proof \rangle$

**lemma**  $run\text{-}fail\text{-}envT [simp]: run\text{-}env fail r = fail$   
 $\langle proof \rangle$

**lemma**  $run\text{-}get\text{-}envT [simp]: run\text{-}env (get f) r = get (\lambda s. run\text{-}env (f s) r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}put\text{-}envT [simp]: run\text{-}env (put s m) r = put s (run\text{-}env m r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}sample\text{-}envT [simp]: run\text{-}env (sample p f) r = sample p (\lambda x. run\text{-}env (f x) r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}catch\text{-}envT [simp]: run\text{-}env (catch m h) r = catch (run\text{-}env m r) (run\text{-}env h r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}alt\text{-}envT [simp]: run\text{-}env (alt m m') r = alt (run\text{-}env m r) (run\text{-}env m' r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}altc\text{-}envT [simp]: run\text{-}env (altc C f) r = altc C (\lambda x. run\text{-}env (f x) r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}pause\text{-}envT [simp]: run\text{-}env (pause out c) r = pause out (\lambda input. run\text{-}env (c input) r)$   
 $\langle proof \rangle$

**lemma**  $run\text{-}tell\text{-}envT [simp]: run\text{-}env (tell s m) r = tell s (run\text{-}env m r)$   
 $\langle proof \rangle$

**lemma**  $monad\text{-}envT' [locale\text{-}witness]:$   
 $monad\ return (bind :: ('a, 'm) bind)$   
 $\implies monad\ return (bind :: ('a, ('r, 'm) envT) bind)$   
 $\langle proof \rangle$

**lemma**  $monad\text{-}reader\text{-}envT' [locale\text{-}witness]:$   
 $monad\ return (bind :: ('a, 'm) bind)$   
 $\implies monad\text{-}reader\ return (bind :: ('a, ('r, 'm) envT) bind) (ask :: ('r, ('r, 'm) envT) ask)$

*<proof>*

**lemma** *monad-fail-envT'* [locale-witness]:

*monad-fail return (bind :: ('a, 'm) bind) fail*

*⇒ monad-fail return (bind :: ('a, ('r, 'm) envT) bind) fail*

*<proof>*

**lemma** *monad-catch-envT'* [locale-witness]:

*monad-catch return (bind :: ('a, 'm) bind) fail catch*

*⇒ monad-catch return (bind :: ('a, ('r, 'm) envT) bind) fail catch*

*<proof>*

**lemma** *monad-state-envT'* [locale-witness]:

*monad-state return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put*

*⇒ monad-state return (bind :: ('a, ('r, 'm) envT) bind) (get :: ('s, ('r, 'm) envT) get) put*

*<proof>*

**lemma** *monad-prob-envT'* [locale-witness]:

*monad-prob return (bind :: ('a, 'm) bind) (sample :: ('p, 'm) sample)*

*⇒ monad-prob return (bind :: ('a, ('r, 'm) envT) bind) (sample :: ('p, ('r, 'm) envT) sample)*

*<proof>*

**lemma** *monad-state-prob-envT'* [locale-witness]:

*monad-state-prob return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put (sample :: ('p, 'm) sample)*

*⇒ monad-state-prob return (bind :: ('a, ('r, 'm) envT) bind) (get :: ('s, ('r, 'm) envT) get) put (sample :: ('p, ('r, 'm) envT) sample)*

*<proof>*

**lemma** *monad-alt-envT'* [locale-witness]:

*monad-alt return (bind :: ('a, 'm) bind) alt*

*⇒ monad-alt return (bind :: ('a, ('r, 'm) envT) bind) alt*

*<proof>*

**lemma** *monad-fail-alt-envT'* [locale-witness]:

*monad-fail-alt return (bind :: ('a, 'm) bind) fail alt*

*⇒ monad-fail-alt return (bind :: ('a, ('r, 'm) envT) bind) fail alt*

*<proof>*

**lemma** *monad-state-alt-envT'* [locale-witness]:

*monad-state-alt return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put alt*

*⇒ monad-state-alt return (bind :: ('a, ('r, 'm) envT) bind) (get :: ('s, ('r, 'm) envT) get) put alt*

*<proof>*

**lemma** *monad-altc-envT'* [locale-witness]:

*monad-altc return (bind :: ('a, 'm) bind) (altc :: ('c, 'm) altc)*

$\implies$  *monad-altc return (bind :: ('a, ('r, 'm) envT) bind) (altc :: ('c, ('r, 'm) envT) altc)*  
 <proof>

**lemma** *monad-state-altc-envT'* [locale-witness]:

*monad-state-altc return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put (altc :: ('c, 'm) altc)*

$\implies$  *monad-state-altc return (bind :: ('a, ('r, 'm) envT) bind) (get :: ('s, ('r, 'm) envT) get) put (altc :: ('c, ('r, 'm) envT) altc)*

<proof>

**lemma** *monad-resumption-envT'* [locale-witness]:

*monad-resumption return (bind :: ('a, 'm) bind) (pause :: ('o, 'i, 'm) pause)*

$\implies$  *monad-resumption return (bind :: ('a, ('r, 'm) envT) bind) (pause :: ('o, 'i, ('r, 'm) envT) pause)*

<proof>

**lemma** *monad-writer-readerT'* [locale-witness]:

*monad-writer return (bind :: ('a, 'm) bind) (tell :: ('w, 'm) tell)*

$\implies$  *monad-writer return (bind :: ('a, ('r, 'm) envT) bind) (tell :: ('w, ('r, 'm) envT) tell)*

<proof>

**lemma** *monad-commute-envT'* [locale-witness]:

*monad-commute return (bind :: ('a, 'm) bind)*

$\implies$  *monad-commute return (bind :: ('a, ('r, 'm) envT) bind)*

<proof>

**lemma** *monad-discard-envT'* [locale-witness]:

*monad-discard return (bind :: ('a, 'm) bind)*

$\implies$  *monad-discard return (bind :: ('a, ('r, 'm) envT) bind)*

<proof>

## 6.7 Writer monad transformer

### overloading

*return-writerT*  $\equiv$  *return :: ('a, ('w, 'a, 'm) writerT) return (unchecked)*

*bind-writerT*  $\equiv$  *bind :: ('a, ('w, 'a, 'm) writerT) bind (unchecked)*

*fail-writerT*  $\equiv$  *fail :: ('w, 'a, 'm) writerT fail*

*get-writerT*  $\equiv$  *get :: ('s, ('w, 'a, 'm) writerT) get*

*put-writerT*  $\equiv$  *put :: ('s, ('w, 'a, 'm) writerT) put*

*sample-writerT*  $\equiv$  *sample :: ('p, ('w, 'a, 'm) writerT) sample*

*ask-writerT*  $\equiv$  *ask :: ('r, ('w, 'a, 'm) writerT) ask*

*alt-writerT*  $\equiv$  *alt :: ('w, 'a, 'm) writerT alt*

*altc-writerT*  $\equiv$  *altc :: ('c, ('w, 'a, 'm) writerT) altc*

*pause-writerT*  $\equiv$  *pause :: ('o, 'i, ('w, 'a, 'm) writerT) pause*

*tell-writerT*  $\equiv$  *tell :: ('w, ('w, 'a, 'm) writerT) tell (unchecked)*

**begin**

**definition** *return-writerT* :: ('a, ('w, 'a, 'm) writerT) return  
**where** [code-unfold, monad-unfold]: *return-writerT* = *return-writer return*

**definition** *bind-writerT* :: ('a, ('w, 'a, 'm) writerT) bind  
**where** [code-unfold, monad-unfold]: *bind-writerT* = *bind-writer return bind*

**definition** *ask-writerT* :: ('r, ('w, 'a, 'm) writerT) ask  
**where** [code-unfold, monad-unfold]: *ask-writerT* = *ask-writer ask*

**definition** *fail-writerT* :: ('w, 'a, 'm) writerT fail  
**where** [code-unfold, monad-unfold]: *fail-writerT* = *fail-writer fail*

**definition** *get-writerT* :: ('s, ('w, 'a, 'm) writerT) get  
**where** [code-unfold, monad-unfold]: *get-writerT* = *get-writer get*

**definition** *put-writerT* :: ('s, ('w, 'a, 'm) writerT) put  
**where** [code-unfold, monad-unfold]: *put-writerT* = *put-writer put*

**definition** *sample-writerT* :: ('p, ('w, 'a, 'm) writerT) sample  
**where** [code-unfold, monad-unfold]: *sample-writerT* = *sample-writer sample*

**definition** *alt-writerT* :: ('w, 'a, 'm) writerT alt  
**where** [code-unfold, monad-unfold]: *alt-writerT* = *alt-writer alt*

**definition** *altc-writerT* :: ('c, ('w, 'a, 'm) writerT) altc  
**where** [code-unfold, monad-unfold]: *altc-writerT* = *altc-writer altc*

**definition** *pause-writerT* :: ('o, 'i, ('w, 'a, 'm) writerT) pause  
**where** [code-unfold, monad-unfold]: *pause-writerT* = *pause-writer pause*

**definition** *tell-writerT* :: ('w, ('w, 'a, 'm) writerT) tell  
**where** [code-unfold, monad-unfold]: *tell-writerT* = *tell-writer return bind*

**end**

**lemma** *run-bind-writerT* [simp]:  
*run-writer (bind m f :: ('w, 'a, 'm) writerT) = bind (run-writer m) (\(a :: 'a, ws :: 'w list). bind (run-writer (f a)) (\(b :: 'a, ws' :: 'w list). return (b, ws @ ws')))*  
<proof>

**lemma** *run-return-writerT* [simp]: *run-writer (return x :: ('w, 'a, 'm) writerT) = return (x :: 'a, [] :: 'w list)*  
<proof>

**lemma** *run-ask-writerT* [simp]: *run-writer (ask f) = ask (\r. run-writer (f r))*  
<proof>

**lemma** *run-fail-writerT* [simp]: *run-writer fail = fail*  
<proof>



**lemma** *run-get-writerT* [simp]: *run-writer* (get f) = get (λs. *run-writer* (f s))  
 ⟨proof⟩

**lemma** *run-put-writerT* [simp]: *run-writer* (put s m) = put s (*run-writer* m)  
 ⟨proof⟩

**lemma** *run-sample-writerT* [simp]: *run-writer* (sample p f) = sample p (λx. *run-writer* (f x))  
 ⟨proof⟩

**lemma** *run-alt-writerT* [simp]: *run-writer* (alt m m') = alt (*run-writer* m) (*run-writer* m')  
 ⟨proof⟩

**lemma** *run-altc-writerT* [simp]: *run-writer* (altc C f) = altc C (*run-writer* ∘ f)  
 ⟨proof⟩

**lemma** *run-pause-writerT* [simp]: *run-writer* (pause out c) = pause out (λinput. *run-writer* (c input))  
 ⟨proof⟩

**lemma** *run-tell-writerT* [simp]:  
*run-writer* (tell (w :: 'w) m :: ('w, 'a, 'm) writerT) =  
 bind (*run-writer* m) (λ(a :: 'a, ws :: 'w list). return (a, w # ws))  
 ⟨proof⟩

**lemma** *monad-writerT'* [locale-witness]:  
 monad return (bind :: ('a × 'w list, 'm) bind)  
 ⇒ monad return (bind :: ('a, ('w, 'a, 'm) writerT) bind)  
 ⟨proof⟩

**lemma** *monad-writer-writerT'* [locale-witness]:  
 monad return (bind :: ('a × 'w list, 'm) bind)  
 ⇒ monad-writer return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (tell :: ('w, ('w, 'a, 'm) writerT) tell)  
 ⟨proof⟩

**lemma** *monad-fail-writerT'* [locale-witness]:  
 monad-fail return (bind :: ('a × 'w list, 'm) bind) fail  
 ⇒ monad-fail return (bind :: ('a, ('w, 'a, 'm) writerT) bind) fail  
 ⟨proof⟩

**lemma** *monad-state-writerT'* [locale-witness]:  
 monad-state return (bind :: ('a × 'w list, 'm) bind) (get :: ('s, 'm) get) put  
 ⇒ monad-state return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (get :: ('s, ('w, 'a, 'm) writerT) get) put  
 ⟨proof⟩

**lemma** *monad-prob-writerT'* [locale-witness]:

*monad-prob return (bind :: ('a × 'w list, 'm) bind) (sample :: ('p, 'm) sample)*  
⇒ *monad-prob return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (sample :: ('p, ('w, 'a, 'm) writerT) sample)*  
<proof>

**lemma** *monad-state-prob-writerT'* [locale-witness]:

*monad-state-prob return (bind :: ('a × 'w list, 'm) bind) (get :: ('s, 'm) get) put (sample :: ('p, 'm) sample)*  
⇒ *monad-state-prob return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (get :: ('s, ('w, 'a, 'm) writerT) get) put (sample :: ('p, ('w, 'a, 'm) writerT) sample)*  
<proof>

**lemma** *monad-reader-writerT'* [locale-witness]:

*monad-reader return (bind :: ('a × 'w list, 'm) bind) (ask :: ('r, 'm) ask)*  
⇒ *monad-reader return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (ask :: ('r, ('w, 'a, 'm) writerT) ask)*  
<proof>

**lemma** *monad-reader-state-writerT'* [locale-witness]:

*monad-reader-state return (bind :: ('a × 'w list, 'm) bind) (ask :: ('r, 'm) ask) (get :: ('s, 'm) get) put*  
⇒ *monad-reader-state return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (ask :: ('r, ('w, 'a, 'm) writerT) ask) (get :: ('s, ('w, 'a, 'm) writerT) get) put*  
<proof>

**lemma** *monad-resumption-writerT'* [locale-witness]:

*monad-resumption return (bind :: ('a × 'w list, 'm) bind) (pause :: ('o, 'i, 'm) pause)*  
⇒ *monad-resumption return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (pause :: ('o, 'i, ('w, 'a, 'm) writerT) pause)*  
<proof>

**lemma** *monad-alt-writerT'* [locale-witness]:

*monad-alt return (bind :: ('a × 'w list, 'm) bind) alt*  
⇒ *monad-alt return (bind :: ('a, ('w, 'a, 'm) writerT) bind) alt*  
<proof>

**lemma** *monad-fail-alt-writerT'* [locale-witness]:

*monad-fail-alt return (bind :: ('a × 'w list, 'm) bind) fail alt*  
⇒ *monad-fail-alt return (bind :: ('a, ('w, 'a, 'm) writerT) bind) fail alt*  
<proof>

**lemma** *monad-state-alt-writerT'* [locale-witness]:

*monad-state-alt return (bind :: ('a × 'w list, 'm) bind) (get :: ('s, 'm) get) put alt*  
⇒ *monad-state-alt return (bind :: ('a, ('w, 'a, 'm) writerT) bind) (get :: ('s, ('w, 'a, 'm) writerT) get) put alt*  
<proof>

**lemma** *monad-altc-writerT'* [*locale-witness*]:  
 $monad-altc\ return\ (bind :: ('a \times 'w\ list, 'm)\ bind)\ (altc :: ('c, 'm)\ altc)$   
 $\implies monad-altc\ return\ (bind :: ('a, ('w, 'a, 'm)\ writerT)\ bind)\ (altc :: ('c, ('w,$   
 $'a, 'm)\ writerT)\ altc)$   
*<proof>*

**lemma** *monad-state-altc-writerT'* [*locale-witness*]:  
 $monad-state-altc\ return\ (bind :: ('a \times 'w\ list, 'm)\ bind)\ (get :: ('s, 'm)\ get)\ put$   
 $(altc :: ('c, 'm)\ altc)$   
 $\implies monad-state-altc\ return\ (bind :: ('a, ('w, 'a, 'm)\ writerT)\ bind)\ (get :: ('s,$   
 $('w, 'a, 'm)\ writerT)\ get)\ put\ (altc :: ('c, ('w, 'a, 'm)\ writerT)\ altc)$   
*<proof>*

## 6.8 Continuation monad transformer

### overloading

$return-contT \equiv return :: ('a, ('a, 'm)\ contT)\ return$   
 $bind-contT \equiv bind :: ('a, ('a, 'm)\ contT)\ bind$   
 $fail-contT \equiv fail :: ('a, 'm)\ contT\ fail$   
 $get-contT \equiv get :: ('s, ('a, 'm)\ contT)\ get$   
 $put-contT \equiv put :: ('s, ('a, 'm)\ contT)\ put$

### begin

**definition** *return-contT* :: ('a, ('a, 'm) contT) return  
**where** [*code-unfold, monad-unfold*]: *return-contT* = *return-cont*

**definition** *bind-contT* :: ('a, ('a, 'm) contT) bind  
**where** [*code-unfold, monad-unfold*]: *bind-contT* = *bind-cont*

**definition** *fail-contT* :: ('a, 'm) contT fail  
**where** [*code-unfold, monad-unfold*]: *fail-contT* = *fail-cont fail*

**definition** *get-contT* :: ('s, ('a, 'm) contT) get  
**where** [*code-unfold, monad-unfold*]: *get-contT* = *get-cont get*

**definition** *put-contT* :: ('s, ('a, 'm) contT) put  
**where** [*code-unfold, monad-unfold*]: *put-contT* = *put-cont put*

### end

**lemma** *monad-contT'* [*locale-witness*]: *monad return (bind :: ('a, ('a, 'm) contT)*  
*bind)*  
*<proof>*

**lemma** *monad-fail-contT'* [*locale-witness*]: *monad-fail return (bind :: ('a, ('a, 'm)*  
*contT) bind) fail*  
*<proof>*

**lemma** *monad-state-contT'* [*locale-witness*]:

```

    monad-state return (bind :: ('a, 'm) bind) (get :: ('s, 'm) get) put
    ==> monad-state return (bind :: ('a, ('a, 'm) contT) bind) (get :: ('s, ('a, 'm)
contT) get) put
<proof>

```

**end**

## 7 Examples

### 7.1 Monadic interpreter

**theory** *Interpreter* **imports** *Monomorphic-Monad* **begin**

**declare** [[*show-variants*]]

**definition** *apply* :: ('a => 'b) => 'a => 'b **where** *apply* f x = f x

**lemma** *apply-eq-onp*: **includes** *lifting-syntax* **shows** (eq-onp P ==> (=) ==> (=)) *apply* *apply*  
<proof>

#### 7.1.1 Basic interpreter

**datatype** (vars: 'v) *exp* = *Var* 'v | *Const* int | *Plus* 'v *exp* 'v *exp* | *Div* 'v *exp* 'v *exp*

**lemma** *rel-exp-simps* [*simp*]:

```

rel-exp V (Var x) e' <-> (∃ y. e' = Var y ∧ V x y)
rel-exp V (Const n) e' <-> e' = Const n
rel-exp V (Plus e1 e2) e' <-> (∃ e1' e2'. e' = Plus e1' e2' ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
rel-exp V (Div e1 e2) e' <-> (∃ e1' e2'. e' = Div e1' e2' ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
rel-exp V e (Var y) <-> (∃ x. e = Var x ∧ V x y)
rel-exp V e (Const n) <-> e = Const n
rel-exp V e (Plus e1' e2') <-> (∃ e1 e2. e = Plus e1 e2 ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
rel-exp V e (Div e1' e2') <-> (∃ e1 e2. e = Div e1 e2 ∧ rel-exp V e1 e1' ∧
rel-exp V e2 e2')
<proof>

```

**lemma** *finite-vars* [*simp*]: *finite* (vars e)  
<proof>

**locale** *exp-base* = *monad-fail-base* **return** *bind* *fail*  
**for** *return* :: (int, 'm) *return*  
**and** *bind* :: (int, 'm) *bind*  
**and** *fail* :: 'm *fail*  
**begin**

```

context fixes  $E :: 'v \Rightarrow 'm$  begin
primrec  $eval :: 'v \exp \Rightarrow 'm$ 
where
   $eval (Var x) = E x$ 
|  $eval (Const i) = return i$ 
|  $eval (Plus e1 e2) = bind (eval e1) (\lambda i. bind (eval e2) (\lambda j. return (i + j)))$ 
|  $eval (Div e1 e2) = bind (eval e1) (\lambda i. bind (eval e2) (\lambda j. if j = 0 then fail else return (i div j)))$ 

```

**end**

```

context fixes  $\sigma :: 'v \Rightarrow 'w \exp$  begin
primrec  $subst :: 'v \exp \Rightarrow 'w \exp$ 
where

```

```

   $subst (Const n) = Const n$ 
|  $subst (Var x) = \sigma x$ 
|  $subst (Plus e1 e2) = Plus (subst e1) (subst e2)$ 
|  $subst (Div e1 e2) = Div (subst e1) (subst e2)$ 

```

**end**

**lemma** *compositional*:  $eval E (subst \sigma e) = eval (eval E \circ \sigma) e$   
 $\langle proof \rangle$

**end**

**lemma** *eval-parametric [transfer-rule]*:

```

includes lifting-syntax shows
   $(( (= ) \implies M ) \implies ( M \implies (( = ) \implies M ) \implies M ) \implies M$ 
 $\implies ( V \implies M ) \implies rel-exp V \implies M)$ 
   $exp-base.eval exp-base.eval$ 
 $\langle proof \rangle$ 

```

**declare** *exp-base.eval.simps* [code]

**context** *exp-base* **begin**

**lemma** *eval-cong*:

```

  assumes  $\bigwedge x. x \in vars e \implies E x = E' x$ 
  shows  $eval E e = eval E' e$ 
  including lifting-syntax
 $\langle proof \rangle$ 

```

**end**

## 7.1.2 Memoisation

**lemma** *case-option-apply*:  $case-option none some x y = case-option (none y) (\lambda a. some a y) x$

*<proof>*

**lemma** (in monad-base) *bind-if2*:

*bind m (λx. if b then t x else e x) = (if b then bind m t else bind m e)*

*<proof>*

**lemma** (in monad-base) *bind-case-option2*:

*bind m (λx. case-option (none x) (some x) y) = case-option (bind m none) (λa.  
bind m (λx. some x a)) y*

*<proof>*

**locale** *memoization-base* = *monad-state-base* return bind get put

**for** *return* :: ('a, 'm) return

**and** *bind* :: ('a, 'm) bind

**and** *get* :: ('k → 'a, 'm) get

**and** *put* :: ('k → 'a, 'm) put

**begin**

**definition** *memo* :: ('k ⇒ 'm) ⇒ 'k ⇒ 'm

**where**

*memo f x =*

*get (λtable.*

*case table x of Some y ⇒ return y*

*| None ⇒ bind (f x) (λy. update (λm. m(x ↦ y)) (return y)))*

**lemma** *memo-cong* [*cong, fundef-cong*]:  $\llbracket x = y; f y = g y \rrbracket \implies memo f x =$

*memo g y*

*<proof>*

**end**

**declare** *memoization-base.memo-def* [*code*]

**locale** *memoization* = *memoization-base* return bind get put + *monad-state* return

*bind get put*

**for** *return* :: ('a, 'm) return

**and** *bind* :: ('a, 'm) bind

**and** *get* :: ('k → 'a, 'm) get

**and** *put* :: ('k → 'a, 'm) put

**begin**

**lemma** *memo-idem*: *memo (memo f) x = memo f x*

*<proof>*

**lemma** *memo-same*:

*bind (memo f x) (λa. bind (memo f x) (g a)) = bind (memo f x) (λa. g a a)*

*<proof>*

**lemma** *memo-commute*:

**assumes** *f-bind*:  $\bigwedge m x g. \text{bind } m (\lambda a. \text{bind } (f x) (g a)) = \text{bind } (f x) (\lambda b. \text{bind } m (\lambda a. g a b))$   
**and** *f-get*:  $\bigwedge x g. \text{get } (\lambda s. \text{bind } (f x) (g s)) = \text{bind } (f x) (\lambda a. \text{get } (\lambda s. g s a))$   
**shows**  $\text{bind } (\text{memo } f x) (\lambda a. \text{bind } (\text{memo } f y) (g a)) = \text{bind } (\text{memo } f y) (\lambda b. \text{bind } (\text{memo } f x) (\lambda a. g a b))$   
 <proof>

**end**

### 7.1.3 Probabilistic interpreter

**locale** *memo-exp-base* =  
*exp-base return bind fail* +  
*memoization-base return bind get put*  
**for** *return* :: (*int*, '*m*) *return*  
**and** *bind* :: (*int*, '*m*) *bind*  
**and** *fail* :: '*m* *fail*  
**and** *get* :: ('*v*  $\rightarrow$  *int*, '*m*) *get*  
**and** *put* :: ('*v*  $\rightarrow$  *int*, '*m*) *put*  
**begin**

**definition** *lookup* :: '*v*  $\Rightarrow$  '*m*  
**where** *lookup* *x* = *get* ( $\lambda s. \text{case } s \text{ of } \text{None} \Rightarrow \text{fail} \mid \text{Some } y \Rightarrow \text{return } y$ )

**lemma** *lookup-alt-def*: *lookup* *x* = *get* ( $\lambda s. \text{case apply } s \text{ of } \text{None} \Rightarrow \text{fail} \mid \text{Some } y \Rightarrow \text{return } y$ )  
 <proof>

**end**

**locale** *prob-exp-base* =  
*memo-exp-base return bind fail get put* +  
*monad-prob-base return bind sample*  
**for** *return* :: (*int*, '*m*) *return*  
**and** *bind* :: (*int*, '*m*) *bind*  
**and** *fail* :: '*m* *fail*  
**and** *get* :: ('*v*  $\rightarrow$  *int*, '*m*) *get*  
**and** *put* :: ('*v*  $\rightarrow$  *int*, '*m*) *put*  
**and** *sample* :: (*int*, '*m*) *sample*  
**begin**

**definition** *sample-var* :: ('*v*  $\Rightarrow$  *int pmf*)  $\Rightarrow$  '*v*  $\Rightarrow$  '*m*  
**where** *sample-var* *X* *x* = *sample* (*X* *x*) *return*

**definition** *lazy* :: ('*v*  $\Rightarrow$  *int pmf*)  $\Rightarrow$  '*v* *exp*  $\Rightarrow$  '*m*  
**where** *lazy* *X*  $\equiv$  *eval* (*memo* (*sample-var* *X*))

**definition** *sample-vars* :: ('*v*  $\Rightarrow$  *int pmf*)  $\Rightarrow$  '*v* *set*  $\Rightarrow$  '*m*  $\Rightarrow$  '*m*  
**where** *sample-vars* *X* *A* *m* = *Finite-Set.fold* ( $\lambda x m. \text{bind } (\text{memo } (\text{sample-var } X))$ )

$x) (\lambda-. m)) m A$

**definition** *eager* :: ('v  $\Rightarrow$  int pmf)  $\Rightarrow$  'v exp  $\Rightarrow$  'm **where**  
 *eager* p e = *sample-vars* p (vars e) (eval lookup e)

**end**

**lemmas** [*code*] =  
 *prob-exp-base.sample-var-def*  
 *prob-exp-base.lazy-def*  
 *prob-exp-base.eager-def*

**locale** *prob-exp* = *prob-exp-base* return bind fail get put sample +  
 *memoization* return bind get put +  
 *monad-state-prob* return bind get put sample +  
 *monad-fail* return bind fail  
 **for** *return* :: (int, 'm) return  
 **and** *bind* :: (int, 'm) bind  
 **and** *fail* :: 'm fail  
 **and** *get* :: ('v  $\rightarrow$  int, 'm) get  
 **and** *put* :: ('v  $\rightarrow$  int, 'm) put  
 **and** *sample* :: (int, 'm) sample  
**begin**

**lemma** *comp-fun-commute-sample-var*: *comp-fun-commute* ( $\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)$ )  
  $\langle \text{proof} \rangle$

**interpretation** *sample-var*: *comp-fun-commute*  $\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)$   
 **rewrites**  $\bigwedge X m A. \text{Finite-Set.fold} (\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)) m A \equiv \text{sample-vars } X A m$   
 **for**  $X$   
  $\langle \text{proof} \rangle$

**lemma** *comp-fun-idem-sample-var*: *comp-fun-idem* ( $\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)$ )  
  $\langle \text{proof} \rangle$

**interpretation** *sample-var*: *comp-fun-idem*  $\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)$   
 **rewrites**  $\bigwedge X m A. \text{Finite-Set.fold} (\lambda x m. \text{bind} (\text{memo} (\text{sample-var } X) x) (\lambda-. m)) m A \equiv \text{sample-vars } X A m$   
 **for**  $X$   
  $\langle \text{proof} \rangle$

**lemma** *sample-vars-empty* [*simp*]: *sample-vars*  $X \{\}$   $m = m$   
  $\langle \text{proof} \rangle$



**lemma** *sample-vars-insert*:

*finite A*  $\implies$  *sample-vars X (insert x A) m = bind (memo (sample-var X) x) ( $\lambda$ -.  
sample-vars X A m)*  
<proof>

**lemma** *sample-vars-insert2*:

*finite A*  $\implies$  *sample-vars X (insert x A) m = sample-vars X A (bind (memo  
(sample-var X) x) ( $\lambda$ -. m))*  
<proof>

**lemma** *sample-vars-union*:

$\llbracket$  *finite A*; *finite B*  $\rrbracket \implies$  *sample-vars X (A  $\cup$  B) m = sample-vars X A (sample-vars  
X B m)*  
<proof>

**lemma** *memo-lookup*:

*bind (memo f x) ( $\lambda$ i. bind (lookup x) (g i)) = bind (memo f x) ( $\lambda$ i. g i i)*  
<proof>

**lemma** *lazy-eq-eager*:

**assumes** *put-fail*:  $\bigwedge s. \text{put } s \text{ fail} = \text{fail}$   
**shows** *lazy X e = eager X e*  
<proof>

**end**

**interpretation** *F*: *exp-base*

*return-option return-id*  
*bind-option return-id bind-id*  
*fail-option return-id*  
<proof>

**value** [code] *F.eval* ( $\lambda x. \text{return-option return-id } 5$ ) (*Plus (Var "a") (Const 7)*)

#### 7.1.4 Moving between monad instances

**global-interpretation** *SFI*: *memo-exp-base*

*return-state (return-option (return-id :: ((int  $\times$  ('b  $\rightarrow$  int)) option, -) return))*  
*bind-state (bind-option return-id bind-id)*  
*fail-state (fail-option return-id)*  
*get-state*  
*put-state*  
**defines** *SFI-lookup = SFI.lookup*  
<proof>

**interpretation** *SFI*: *memoization*

*return-state (return-option (return-id :: ((int  $\times$  ('b  $\rightarrow$  int)) option, -) return))*  
*bind-state (bind-option return-id bind-id)*  
*get-state*

*put-state*  
<proof>

**global-interpretation** *SFP: prob-exp*  
*return-state* (*return-option* *return-pmf*)  
*bind-state* (*bind-option* *return-pmf* *bind-pmf*)  
*fail-state* (*fail-option* *return-pmf*)  
*get-state*  
*put-state*  
*sample-state* (*sample-option* *bind-pmf*)  
**defines** *SFP-lookup* = *SFP.lookup*  
<proof>

**interpretation** *FSP: prob-exp*  
*return-option* (*return-state* (*return-pmf* :: (*int option* × (*'b* → *int*), -) *return*))  
*bind-option* (*return-state* *return-pmf*) (*bind-state* *bind-pmf*)  
*fail-option* (*return-state* *return-pmf*)  
*get-option* *get-state*  
*put-option* *put-state*  
*sample-option* (*sample-state* *bind-pmf*)  
<proof>

**locale** *reader-exp-base* = *exp-base* *return* *bind* *fail* + *monad-reader-base* *return* *bind*  
*ask*  
**for** *return* :: (*int*, *'m*) *return*  
**and** *bind* :: (*int*, *'m*) *bind*  
**and** *fail* :: *'m* *fail*  
**and** *ask* :: (*'v* → *int*, *'m*) *ask*  
**begin**

**definition** *lookup* :: *'v* ⇒ *'m* **where**  
*lookup* *x* = *ask* ( $\lambda s. \text{case } s \text{ of } \text{None} \Rightarrow \text{fail} \mid \text{Some } y \Rightarrow \text{return } y$ )

**lemma** *lookup-alt-def*:  
*lookup* *x* = *ask* ( $\lambda s. \text{apply } s \text{ of } \text{None} \Rightarrow \text{fail} \mid \text{Some } y \Rightarrow \text{return } y$ )  
<proof>

**end**

**locale** *exp-commute* = *exp-base* *return* *bind* *fail* + *monad-commute* *return* *bind*  
**for** *return* :: (*int*, *'m*) *return*  
**and** *bind* :: (*int*, *'m*) *bind*  
**and** *fail* :: *'m* *fail*  
**begin**

**lemma** *eval-reverse*:  
*eval* *E* (*Var* *x*) = *E* *x*

```

    eval E (Const i) = return i
    eval E (Plus e1 e2) = bind (eval E e2) (λj. bind (eval E e1) (λi. return (i +
j)))
    eval E (Div e1 e2) = bind (eval E e2) (λj. bind (eval E e1) (λi. if j = 0 then
fail else return (i div j)))
⟨proof⟩

```

**end**

**global-interpretation** *RFI: reader-exp-base*

```

    return-env (return-option return-id)
    bind-env (bind-option return-id bind-id)
    fail-env (fail-option return-id)
    ask-env
    defines RFI-lookup = RFI.lookup
⟨proof⟩

```

**context includes** *lifting-syntax* **begin**

**lemma** *cr-id-prob-eval*:

```

    notes [transfer-rule] = cr-id-prob-transfer shows
    rel-stateT (=) (rel-optionT (cr-id-prob (=)))
      (SFI.eval SFI-lookup e)
      (SFP.eval SFP-lookup e)
⟨proof⟩

```

**lemma** *cr-envT-stateT-lookup'*:

```

    notes [transfer-rule] = cr-envT-stateT-transfer apply-eq-onp shows
    ((=) ===> cr-envT-stateT X (rel-optionT (rel-id (rel-option (cr-prod1 X (=))))))
      RFI-lookup SFI-lookup
⟨proof⟩

```

**lemma** *cr-envT-stateT-eval'*:

```

    notes [transfer-rule] = cr-envT-stateT-transfer cr-envT-stateT-lookup' shows
    ((=) ===> cr-envT-stateT X (rel-optionT (rel-id (rel-option (cr-prod1 X (=))))))
      (RFI.eval RFI-lookup) (SFI.eval SFI-lookup)
⟨proof⟩

```

**lemma** *cr-envT-stateT-lookup* [*cr-envT-stateT-transfer*]:

```

    notes [transfer-rule] = cr-id-prob-transfer cr-envT-stateT-transfer apply-eq-onp
shows
    ((=) ===> cr-envT-stateT X (rel-optionT (cr-id-prob (rel-option (cr-prod1 X
(=))))))
      RFI-lookup SFP-lookup
⟨proof⟩

```

**lemma** *cr-envT-stateT-eval* [*cr-envT-stateT-transfer*]:

```

    notes [transfer-rule] = cr-id-prob-transfer cr-envT-stateT-transfer shows
    ((=) ===> cr-envT-stateT X (rel-optionT (cr-id-prob (rel-option (cr-prod1 X

```

```
(=))))))
(RFI.eval RFI-lookup) (SFP.eval SFP-lookup)
⟨proof⟩
```

```
lemma prob-eval-lookup:
  run-state (SFP.eval SFP-lookup e) E =
  map-optionT (return-pmf ◦ map-option (λb. (b, E)) ◦ extract) (run-env (RFI.eval
RFI-lookup e) E)
⟨proof⟩
```

**end**

## 7.2 Non-deterministic interpreter

```
locale choose-base = monad-altc-base return bind altc
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and altc :: (int, 'm) altc
begin
```

```
definition choose-var :: ('v ⇒ int cset) ⇒ 'v ⇒ 'm where
  choose-var X x = altc (X x) return
```

**end**

```
declare choose-base.choose-var-def [code]
```

```
locale nondet-exp-base = choose-base return bind altc
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
  and altc :: (int, 'm) altc
begin
```

```
sublocale memo-exp-base return bind fail get put ⟨proof⟩
```

```
definition lazy where lazy X = eval (memo (choose-var X))
```

**end**

```
locale nondet-exp =
  monad-state-altc return bind get put altc +
  nondet-exp-base return bind get put altc +
  memoization return bind get put
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
```

```

    and altc :: (int, 'm) altc
begin

sublocale monad-fail return bind fail ⟨proof⟩

end

global-interpretation NI: cset-nondetM return-id bind-id merge-id merge-id
  defines NI-return = NI.return-nondet
    and NI-bind = NI.bind-nondet
    and NI-altc = NI.altc-nondet
  ⟨proof⟩

global-interpretation SNI: nondet-exp
  return-state NI-return
  bind-state NI-bind
  get-state
  put-state
  altc-state NI-altc
  defines SNI-lazy = SNI.lazy
  ⟨proof⟩

value run-state (SNI-lazy (λx. cinsert 0 (cinsert 1 cempty)) (Div (Const 2) (Var
(CHR 'x')))) Map.empty

locale nondet-fail-exp-base = choose-base return bind altc
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and get :: ('v → int, 'm) get
  and put :: ('v → int, 'm) put
  and altc :: (int, 'm) altc
begin

sublocale memo-exp-base return bind fail get put ⟨proof⟩

definition lazy where lazy X = eval (memo (choose-var X))

end

locale nondet-fail-exp =
  monad-state-altc return bind get put altc +
  nondet-fail-exp-base return bind fail get put altc +
  memoization return bind get put +
  fail: monad-fail return bind fail
  for return :: (int, 'm) return
  and bind :: (int, 'm) bind
  and fail :: 'm fail
  and get :: ('v → int, 'm) get

```

```

and put :: ('v  $\rightarrow$  int, 'm) put
and altc :: (int, 'm) altc

```

**global-interpretation** *SFNI: nondet-fail-exp*

```

return-state (return-option NI-return)
bind-state (bind-option NI-return NI-bind)
fail-state (fail-option NI-return)
get-state
put-state
altc-state (altc-option NI-altc)
defines SFNI-lazy = SFNI.lazy
<proof>

```

```

value run-state (SFP.lazy ( $\lambda x$ . pmf-of-set {0, 1}) (Div (Const 2) (Var (CHR
"x")))) Map.empty

```

```

value run-state (SFNI-lazy ( $\lambda x$ . cinsert 0 (cinsert 1 cempty)) (Div (Const 2) (Var
(CHR "x")))) Map.empty

```

**end**

**theory** *Just-Do-It-Examples* **imports** *Monomorphic-Monad* **begin**

Examples adapted from Gibbons and Hinze (ICFP 2011)

### 7.3 Towers of Hanoi

```

type-synonym 'm tick = 'm  $\Rightarrow$  'm

```

**locale** *monad-count-base* = *monad-base* return bind

```

for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
+
fixes tick :: 'm tick

```

**locale** *monad-count* = *monad-count-base* return bind tick + *monad* return bind

```

for return :: ('a, 'm) return
and bind :: ('a, 'm) bind
and tick :: 'm tick
+
assumes bind-tick: bind (tick m) f = tick (bind m f)

```

**locale** *hanoi-base* = *monad-count-base* return bind tick

```

for return :: (unit, 'm) return
and bind :: (unit, 'm) bind
and tick :: 'm tick

```

**begin**

**primrec** *hanoi* :: nat  $\Rightarrow$  'm **where**

```

hanoi 0 = return ()

```

| *hanoi* (*Suc n*) = *bind* (*hanoi n*) ( $\lambda$ -. *tick* (*hanoi n*))

**primrec** *repeat* :: *nat*  $\Rightarrow$  'm  $\Rightarrow$  'm

**where**

*repeat 0 mx* = *return* ()

| *repeat* (*Suc n*) *mx* = *bind mx* ( $\lambda$ -. *repeat n mx*)

**end**

**locale** *hanoi* = *hanoi-base return bind tick + monad-count return bind tick*

**for** *return* :: (*unit*, 'm) *return*

**and** *bind* :: (*unit*, 'm) *bind*

**and** *tick* :: 'm *tick*

**begin**

**lemma** *repeat-1*: *repeat 1 mx* = *mx*

*<proof>*

**lemma** *repeat-add*: *repeat (n + m) mx* = *bind (repeat n mx)* ( $\lambda$ -. *repeat m mx*)

*<proof>*

**lemma** *hanoi-correct*: *hanoi n* = *repeat (2<sup>n</sup> - 1)* (*tick (return ())*)

*<proof>*

**end**

## 7.4 Fast product

**locale** *fast-product-base* = *monad-catch-base return bind fail catch*

**for** *return* :: (*int*, 'm) *return*

**and** *bind* :: (*int*, 'm) *bind*

**and** *fail* :: 'm *fail*

**and** *catch* :: 'm *catch*

**begin**

**primrec** *work* :: *int list*  $\Rightarrow$  'm

**where**

*work []* = *return 1*

| *work (x # xs)* = (*if x = 0 then fail else bind (work xs)* ( $\lambda$ i. *return (x \* i)*))

**definition** *fastprod* :: *int list*  $\Rightarrow$  'm

**where** *fastprod xs* = *catch (work xs)* (*return 0*)

**end**

**locale** *fast-product* = *fast-product-base return bind fail catch + monad-catch return bind fail catch*

**for** *return* :: (*int*, 'm) *return*

**and** *bind* :: (*int*, 'm) *bind*

```
  and fail :: 'm fail
  and catch :: 'm catch
begin
```

```
lemma work-alt-def: work xs = (if 0 ∈ set xs then fail else return (prod-list xs))
⟨proof⟩
```

```
lemma fastprod-correct: fastprod xs = return (prod-list xs)
⟨proof⟩
```

```
end
```

```
end
```