

JinjaDCI: a Java semantics with dynamic class initialization

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Abstract. This work is an extension of the Ninja semantics for Java and the JVM by Klein and Nipkow to include static fields and methods and dynamic class initialization. In Java, class initialization methods are run dynamically, called when classes are first used. Such calls are handled by the running of an initialization procedure, which interrupts execution and determines which initialization methods must be run before execution continues. This interrupting is modeled here in a couple of ways. In the Java semantics, evaluation is performed via expressions that are manipulated through evaluation until a final value is reached. In NinjaDCI, we have added two types of initialization expressions whose evaluations produce the steps of the initialization procedure. These expressions can occur during evaluation and store the calling expression away to continue being evaluated once the procedure is complete. In the JVM semantics, since programs are static sequences of instructions, the initialization procedure is run instead by the execution function. This function performs steps of the procedure rather than calling instructions when the initialization procedure has been called.

This extension includes the necessary updates to all major proofs from the original Ninja, including type safety and correctness of compilation from the Java semantics to the JVM semantics.

This work is partially described in [1].

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Chapter 1

Jinja Source Language

1.1 Auxiliary Definitions

```
theory Auxiliary imports Main begin

lemma nat-add-max-le[simp]:
  ((n::nat) + max i j ≤ m) = (n + i ≤ m ∧ n + j ≤ m)
  ⟨proof⟩
lemma Suc-add-max-le[simp]:
  (Suc(n + max i j) ≤ m) = (Suc(n + i) ≤ m ∧ Suc(n + j) ≤ m)⟨proof⟩

notation Some (⟨(−]⟩)
```

1.1.1 distinct-fst

```
definition distinct-fst :: ('a × 'b) list ⇒ bool
where
  distinct-fst ≡ distinct ∘ map fst

lemma distinct-fst-Nil [simp]:
  distinct-fst [] ≡ True
  ⟨proof⟩
lemma distinct-fst-Cons [simp]:
  distinct-fst ((k,x)#kxs) = (distinct-fst kxs ∧ (∀ y. (k,y) ∉ set kxs))⟨proof⟩
lemma distinct-fst-appendD:
  distinct-fst(kxs @ kxs') ⇒ distinct-fst kxs ∧ distinct-fst kxs'⟨proof⟩
lemma map-of-SomeI:
  [] = distinct-fst kxs; (k,x) ∈ set kxs ⇒ map-of kxs k = Some x⟨proof⟩
```

1.1.2 Using list-all2 for relations

```
definition fun-of :: ('a × 'b) set ⇒ 'a ⇒ 'b ⇒ bool
where
  fun-of S ≡ λx y. (x,y) ∈ S
```

Convenience lemmas

```
lemma rel-list-all2-Cons [iff]:
  list-all2 (fun-of S) (x#xs) (y#ys) =
  ((x,y) ∈ S ∧ list-all2 (fun-of S) xs ys)
```

```

⟨proof⟩
lemma rel-list-all2-Cons1:
  list-all2 (fun-of S) (x#xs) ys =
  (exists z zs. ys = z#zs ∧ (x,z) ∈ S ∧ list-all2 (fun-of S) xs zs)
  ⟨proof⟩
lemma rel-list-all2-Cons2:
  list-all2 (fun-of S) xs (y#ys) =
  (exists z zs. xs = z#zs ∧ (z,y) ∈ S ∧ list-all2 (fun-of S) zs ys)
  ⟨proof⟩
lemma rel-list-all2-refl:
  (forall x. (x,x) ∈ S) ==> list-all2 (fun-of S) xs xs
  ⟨proof⟩
lemma rel-list-all2-antisym:
  [[(forall x y. [(x,y) ∈ S; (y,x) ∈ T]] ==> x = y);
   list-all2 (fun-of S) xs ys; list-all2 (fun-of T) ys xs]] ==> xs = ys
  ⟨proof⟩
lemma rel-list-all2-trans:
  [[forall a b c. [[(a,b) ∈ R; (b,c) ∈ S]] ==> (a,c) ∈ T;
   list-all2 (fun-of R) as bs; list-all2 (fun-of S) bs cs]]
   ==> list-all2 (fun-of T) as cs
  ⟨proof⟩
lemma rel-list-all2-update-cong:
  [[i < size xs; list-all2 (fun-of S) xs ys; (x,y) ∈ S]]
   ==> list-all2 (fun-of S) (xs[i:=x]) (ys[i:=y])
  ⟨proof⟩
lemma rel-list-all2-nthD:
  [[list-all2 (fun-of S) xs ys; p < size xs]] ==> (xs!p,ys!p) ∈ S
  ⟨proof⟩
lemma rel-list-all2I:
  [[length a = length b; ∀n. n < length a ==> (a!n,b!n) ∈ S]] ==> list-all2 (fun-of S) a b
  ⟨proof⟩

```

1.1.3 Auxiliary properties of map-of function

```

lemma map-of-set-pcs-notin: C ∉ (λt. snd (fst t)) ` set FDTs ==> map-of FDTs (F, C) = None⟨proof⟩
lemma map-of-insertmap-SomeD':
  map-of fs F = Some y ==> map-of (map (λ(F, y). (F, D, y)) fs) F = Some(D,y)⟨proof⟩
lemma map-of-reinsert-neq-None:
  Ca ≠ D ==> map-of (map (λ(F, y). ((F, Ca), y)) fs) (F, D) = None⟨proof⟩
lemma map-of-remap-insertmap:
  map-of (map ((λ((F, D), b, T). (F, D, b, T)) ∘ (λ(F, y). ((F, D), y))) fs)
  = map-of (map (λ(F, y). (F, D, y)) fs)⟨proof⟩

lemma map-of-reinsert-SomeD:
  map-of (map (λ(F, y). ((F, D), y)) fs) (F, D) = Some T ==> map-of fs F = Some T⟨proof⟩
lemma map-of-filtered-SomeD:
  map-of fs (F,D) = Some (a, T) ==> Q ((F,D),a,T) ==>
  map-of (map (λ((F,D), b, T). ((F,D), P T)) (filter Q fs))
  (F,D) = Some (P T)⟨proof⟩

lemma map-of-remove-filtered-SomeD:
  map-of fs (F,C) = Some (a, T) ==> Q ((F,C),a,T) ==>
  map-of (map (λ((F,D), b, T). (F, P T)) [(F, D), b, T] ← fs . Q ((F, D), b, T) ∧ D = C])
  F = Some (P T)⟨proof⟩

```

```
lemma map-of-Some-None-split:
assumes t = map (λ(F, y). ((F, C), y)) fs @ t' map-of t' (F, C) = None map-of t (F, C) = Some y
shows map-of (map (λ((F, D), b, T). (F, D, b, T)) t) F = Some (C, y)⟨proof⟩
end
```

1.2 Ninja types

```
theory Type imports Auxiliary begin
```

```
type-synonym cname = string — class names
type-synonym mname = string — method name
type-synonym vname = string — names for local/field variables
```

```
definition Object :: cname
```

```
where
```

```
Object ≡ "Object"
```

```
definition this :: vname
```

```
where
```

```
this ≡ "this"
```

```
definition clinit :: string where clinit = "<clinit>"
```

```
definition init :: string where init = "<init>"
```

```
definition start-m :: string where start-m = "<start>"
```

```
definition Start :: string where Start = "<Start>"
```

```
lemma start-m-neq-clinit [simp]: start-m ≠ clinit ⟨proof⟩
```

```
lemma Object-neq-Start [simp]: Object ≠ Start ⟨proof⟩
```

```
lemma Start-neq-Object [simp]: Start ≠ Object ⟨proof⟩
```

```
datatype staticb = Static | NonStatic
```

```
— types
```

```
datatype ty
= Void — type of statements
| Boolean
| Integer
| NT — null type
| Class cname — class type
```

```
definition is-refT :: ty ⇒ bool
```

```
where
```

```
is-refT T ≡ T = NT ∨ (exists C. T = Class C)
```

```
lemma [iff]: is-refT NT⟨proof⟩
```

```
lemma [iff]: is-refT(Class C)⟨proof⟩
```

```
lemma refTE:
```

```
[(is-refT T; T = NT) ⇒ P; (forall C. T = Class C) ⇒ P] ⇒ P⟨proof⟩
```

```
lemma not-refTE:
```

```
[(not(is-refT T; T = NT) ∨ T = Void ∨ T = Boolean ∨ T = Integer) ⇒ P] ⇒ P⟨proof⟩
```

```
end
```

1.3 Class Declarations and Programs

```

theory Decl imports Type begin

type-synonym
  fdecl = vname × staticb × ty      — field declaration
type-synonym
  'm mdecl = mname × staticb × ty list × ty × 'm      — method = name, static flag, arg. types,
return type, body
type-synonym
  'm class = cname × fdecl list × 'm mdecl list      — class = superclass, fields, methods
type-synonym
  'm cdecl = cname × 'm class      — class declaration
type-synonym
  'm prog = 'm cdecl list      — program

definition class :: 'm prog ⇒ cname → 'm class
where
  class ≡ map-of

lemma class-cons: [ C ≠ fst x ] ⇒ class (x # P) C = class P C
  ⟨proof⟩

definition is-class :: 'm prog ⇒ cname ⇒ bool
where
  is-class P C ≡ class P C ≠ None

lemma finite-is-class: finite {C. is-class P C}⟨proof⟩
definition is-type :: 'm prog ⇒ ty ⇒ bool
where
  is-type P T ≡
  (case T of Void ⇒ True | Boolean ⇒ True | Integer ⇒ True | NT ⇒ True
  | Class C ⇒ is-class P C)

lemma is-type-simps [simp]:
  is-type P Void ∧ is-type P Boolean ∧ is-type P Integer ∧
  is-type P NT ∧ is-type P (Class C) = is-class P C⟨proof⟩

abbreviation
  types P == Collect (is-type P)

lemma class-exists-equiv:
  (exists x. fst x = cn ∧ x ∈ set P) = (class P cn ≠ None)
  ⟨proof⟩

lemma class-exists-equiv2:
  (exists x. fst x = cn ∧ x ∈ set (P1 @ P2)) = (class P1 cn ≠ None ∨ class P2 cn ≠ None)
  ⟨proof⟩

end

```

1.4 Relations between Jinja Types

```
theory TypeRel imports
  HOL-Library.Transitive-Closure-Table
  Decl
begin
```

1.4.1 The subclass relations

inductive-set

and $subcls1' :: 'm prog \Rightarrow [cname, cname] \Rightarrow bool (\leftarrow \vdash - \prec^1 \rightarrow [71, 71, 71] \ 70)$
for $P :: 'm prog$

where

$P \vdash C \prec^1 D \equiv (C,D) \in \text{subcls } P$
 $\text{subcls } I1: [\text{class } P \ C = \text{Some } (D, \text{rest}); \ C \neq \text{Object}] \implies P \vdash C \prec^1 D$

abbreviation

subcls :: '*m prog* \Rightarrow [*cname, cname*] \Rightarrow bool ($\langle\cdot\rangle \vdash \cdot \preceq^* \rightarrow [71, 71, 71] \ 70$)
where *P* \vdash *C* \preceq^* *D* \equiv (*C,D*) \in (*subcls1 P*) *

lemma *subcls1D*: $P \vdash C \prec^1 D \implies C \neq \text{Object} \wedge (\exists fs ms. \text{ class } P C = \text{Some } (D, fs, ms)) \langle proof \rangle$
lemma *[iff]*: $\neg P \vdash \text{Object} \prec^1 C \langle proof \rangle$

lemma [*iff*]: $(P \vdash Object \preceq^* C) = (C =$

lemma *subcls1-def2*:

subcls1 $P =$

(SIGMA C:{C. is-class P C}. {D. C≠Object ∧ fst (the (class P C))=D})⟨proof⟩

lemma finite-subcls1: *finite (subcls1 P)⟨proof⟩*

primrec *supercls-lst* :: '*m* *prog* \Rightarrow *cname* *list* \Rightarrow *bool* **where**

$$\begin{aligned}supercls-lst\ P\ (C \# Cs) &= ((\forall C' \in set\ Cs.\ P \vdash C' \preceq^* C) \wedge supercls-lst\ P\ Cs) \mid \\supercls-lst\ P\ [] &= True\end{aligned}$$

lemma *supercls-lst-app*:

$\llbracket \text{supercls-lst } P \ (C \# Cs); \ P \vdash C \preceq^* C' \rrbracket \implies \text{supercls-lst } P \ (C' \# C \# Cs)$

1.4.2 The subtype relations

inductive

widen :: ' m prog \Rightarrow ty \Rightarrow ty \Rightarrow bool ($\cdot \vdash \cdot \leq \cdot \rightarrow [71, 71, 71] \ 70$)
for P :: ' m prog

where

- | widen-refl[iff]: $P \vdash T \leq T$
- | widen-subels: $P \vdash C \preceq^* D \implies P \vdash \text{Class } C \leq \text{Class } D$
- | widen-null[iff]: $P \vdash NT \leq \text{Class } C$

abbreviation

widens :: '*m prog* \Rightarrow *ty list* \Rightarrow *ty list* \Rightarrow *bool*
 $(\langle - \vdash - [\leq] \rightarrow [71, 71, 71] \ 70 \rangle)$ **where**
widens P Ts Ts' \equiv list-all2 (widen P) Ts Ts''

```

lemma [iff]:  $(P \vdash T \leq \text{Void}) = (T = \text{Void})\langle proof \rangle$ 
lemma [iff]:  $(P \vdash T \leq \text{Boolean}) = (T = \text{Boolean})\langle proof \rangle$ 
lemma [iff]:  $(P \vdash T \leq \text{Integer}) = (T = \text{Integer})\langle proof \rangle$ 
lemma [iff]:  $(P \vdash \text{Void} \leq T) = (T = \text{Void})\langle proof \rangle$ 

```

```

lemma [iff]:  $(P \vdash \text{Boolean} \leq T) = (T = \text{Boolean})\langle\text{proof}\rangle$ 
lemma [iff]:  $(P \vdash \text{Integer} \leq T) = (T = \text{Integer})\langle\text{proof}\rangle$ 

lemma Class-widen:  $P \vdash \text{Class } C \leq T \implies \exists D. T = \text{Class } D\langle\text{proof}\rangle$ 
lemma [iff]:  $(P \vdash T \leq NT) = (T = NT)\langle\text{proof}\rangle$ 
lemma Class-widen-Class [iff]:  $(P \vdash \text{Class } C \leq \text{Class } D) = (P \vdash C \preceq^* D)\langle\text{proof}\rangle$ 
lemma widen-Class:  $(P \vdash T \leq \text{Class } C) = (T = NT \vee (\exists D. T = \text{Class } D \wedge P \vdash D \preceq^* C))\langle\text{proof}\rangle$ 

lemma widen-trans[trans]:  $\llbracket P \vdash S \leq U; P \vdash U \leq T \rrbracket \implies P \vdash S \leq T\langle\text{proof}\rangle$ 
lemma widens-trans [trans]:  $\llbracket P \vdash Ss \leq Ts; P \vdash Ts \leq Us \rrbracket \implies P \vdash Ss \leq Us\langle\text{proof}\rangle$ 

```

1.4.3 Method lookup

inductive

Methods :: $['m \text{ prog}, \text{cname}, \text{mname} \rightarrow (\text{staticb} \times \text{ty list} \times \text{ty} \times 'm) \times \text{cname}] \Rightarrow \text{bool}$
 $(\dashv \vdash - \text{ sees'-methods} \rightarrow [51,51,51] 50)$

for $P :: 'm \text{ prog}$

where

sees-methods-Object:

$\llbracket \text{class } P \text{ Object} = \text{Some}(D, fs, ms); Mm = \text{map-option } (\lambda m. (m, \text{Object})) \circ \text{map-of } ms \rrbracket$
 $\implies P \vdash \text{Object sees-methods } Mm$

| *sees-methods-rec*:

$\llbracket \text{class } P C = \text{Some}(D, fs, ms); C \neq \text{Object}; P \vdash D \text{ sees-methods } Mm;$
 $Mm' = Mm ++ (\text{map-option } (\lambda m. (m, C)) \circ \text{map-of } ms) \rrbracket$
 $\implies P \vdash C \text{ sees-methods } Mm'$

lemma *sees-methods-fun*:

assumes 1: $P \vdash C \text{ sees-methods } Mm$

shows $\bigwedge Mm'. P \vdash C \text{ sees-methods } Mm' \implies Mm' = Mm$

$\langle\text{proof}\rangle$

lemma *visible-methods-exist*:

$P \vdash C \text{ sees-methods } Mm \implies Mm M = \text{Some}(m, D) \implies$
 $(\exists D' fs ms. \text{class } P D = \text{Some}(D', fs, ms) \wedge \text{map-of } ms M = \text{Some } m)$
 $\langle\text{proof}\rangle$

lemma *sees-methods-decl-above*:

assumes *Csees*: $P \vdash C \text{ sees-methods } Mm$

shows $Mm M = \text{Some}(m, D) \implies P \vdash C \preceq^* D$

$\langle\text{proof}\rangle$

lemma *sees-methods-idemp*:

assumes *Cmethods*: $P \vdash C \text{ sees-methods } Mm$

shows $\bigwedge m D. Mm M = \text{Some}(m, D) \implies$

$\exists Mm'. (P \vdash D \text{ sees-methods } Mm') \wedge Mm' M = \text{Some}(m, D)\langle\text{proof}\rangle$

lemma *sees-methods-decl-mono*:

assumes *sub*: $P \vdash C' \preceq^* C$

shows $P \vdash C \text{ sees-methods } Mm \implies$

$\exists Mm' Mm_2. P \vdash C' \text{ sees-methods } Mm' \wedge Mm' = Mm ++ Mm_2 \wedge$
 $(\forall M m D. Mm_2 M = \text{Some}(m, D) \longrightarrow P \vdash D \preceq^* C)\langle\text{proof}\rangle$

lemma *sees-methods-is-class-Object*:

$P \vdash D \text{ sees-methods } Mm \implies \text{is-class } P \text{ Object}$

$\langle\text{proof}\rangle$

lemma *sees-methods-sub-Obj*: $P \vdash C \text{ sees-methods } Mm \implies P \vdash C \preceq^* \text{Object}$

$\langle proof \rangle$

definition $Method :: 'm\ prog \Rightarrow cname \Rightarrow mname \Rightarrow staticb \Rightarrow ty\ list \Rightarrow ty \Rightarrow 'm \Rightarrow cname \Rightarrow bool$
 $(\langle - \vdash - \ sees - , - : - \rightarrow - = - \ in - \rangle [51,51,51,51,51,51,51,51] 50)$

where

$P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \equiv$
 $\exists Mm. P \vdash C \ sees\text{-methods}\ Mm \wedge Mm\ M = Some((b, Ts, T, m), D)$

definition $has\text{-method} :: 'm\ prog \Rightarrow cname \Rightarrow mname \Rightarrow staticb \Rightarrow bool$
 $(\langle - \vdash - \ has - , - : - \rightarrow - [51,0,0,51] 50)$

where

$P \vdash C \ has\ M, b \equiv \exists Ts\ T\ m\ D. P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D$

lemma $sees\text{-method-fun}$:

$\llbracket P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D; P \vdash C \ sees\ M, b': Ts' \rightarrow T' = m' \ in\ D' \rrbracket$
 $\implies b = b' \wedge Ts' = Ts \wedge T' = T \wedge m' = m \wedge D' = D$

$\langle proof \rangle$

lemma $sees\text{-method-decl-above}$:

$P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \implies P \vdash C \preceq^* D$

$\langle proof \rangle$

lemma $visible\text{-method-exists}$:

$P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \implies$
 $\exists D' fs\ ms. class\ P\ D = Some(D', fs, ms) \wedge map\text{-of}\ ms\ M = Some(b, Ts, T, m) \langle proof \rangle$

lemma $sees\text{-method-idemp}$:

$P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \implies P \vdash D \ sees\ M, b: Ts \rightarrow T = m \ in\ D$

$\langle proof \rangle$

lemma $sees\text{-method-decl-mono}$:

assumes $sub: P \vdash C' \preceq^* C$ **and**

$C\text{-sees}: P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D$ **and**
 $C'\text{-sees}: P \vdash C' \ sees\ M, b': Ts' \rightarrow T' = m' \ in\ D'$

shows $P \vdash D' \preceq^* D$

$\langle proof \rangle$

lemma $sees\text{-methods-is-class}$: $P \vdash C \ sees\text{-methods}\ Mm \implies is\text{-class}\ P\ C \langle proof \rangle$

lemma $sees\text{-method-is-class}$:

$\llbracket P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \rrbracket \implies is\text{-class}\ P\ C \langle proof \rangle$

lemma $sees\text{-method-is-class}'$:

$\llbracket P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \rrbracket \implies is\text{-class}\ P\ D \langle proof \rangle$

lemma $sees\text{-method-sub-Obj}$: $P \vdash C \ sees\ M, b: Ts \rightarrow T = m \ in\ D \implies P \vdash C \preceq^* Object$

$\langle proof \rangle$

1.4.4 Field lookup

inductive

$Fields :: ['m\ prog, cname, ((vname \times cname) \times staticb \times ty)\ list] \Rightarrow bool$
 $(\langle - \vdash - \ has\text{-fields} \rightarrow [51,51,51] 50)$

for $P :: 'm\ prog$

where

$has\text{-fields-rec}$:

$\llbracket class\ P\ C = Some(D, fs, ms); C \neq Object; P \vdash D \ has\text{-fields}\ FDTs;$
 $FDTs' = map\ (\lambda(F, b, T). ((F, C), b, T))\ fs @ FDTs \rrbracket$
 $\implies P \vdash C \ has\text{-fields}\ FDTs'$

$| has\text{-fields-Object}$:

$\llbracket \text{class } P \text{ Object} = \text{Some}(D, fs, ms); FDTs = \text{map } (\lambda(F, b, T). ((F, \text{Object}), b, T)) fs \rrbracket$
 $\implies P \vdash \text{Object has-fields } FDTs$

lemma *has-fields-is-class*:
 $P \vdash C \text{ has-fields } FDTs \implies \text{is-class } P \text{ } C \langle \text{proof} \rangle$

lemma *has-fields-fun*:
assumes 1: $P \vdash C \text{ has-fields } FDTs$
shows $\bigwedge FDTs'. P \vdash C \text{ has-fields } FDTs' \implies FDTs' = FDTs$
 $\langle \text{proof} \rangle$

lemma *all-fields-in-has-fields*:
assumes sub: $P \vdash C \text{ has-fields } FDTs$
shows $\llbracket P \vdash C \preceq^* D; \text{class } P \text{ } D = \text{Some}(D', fs, ms); (F, b, T) \in \text{set } fs \rrbracket$
 $\implies ((F, D), b, T) \in \text{set } FDTs \langle \text{proof} \rangle$

lemma *has-fields-decl-above*:
assumes fields: $P \vdash C \text{ has-fields } FDTs$
shows $((F, D), b, T) \in \text{set } FDTs \implies P \vdash C \preceq^* D \langle \text{proof} \rangle$

lemma *subcls-notin-has-fields*:
assumes fields: $P \vdash C \text{ has-fields } FDTs$
shows $((F, D), b, T) \in \text{set } FDTs \implies (D, C) \notin (\text{subcls1 } P)^+ \langle \text{proof} \rangle$

lemma *subcls-notin-has-fields2*:
assumes fields: $P \vdash C \text{ has-fields } FDTs$
shows $\llbracket C \neq \text{Object}; P \vdash C \prec^1 D \rrbracket \implies (D, C) \notin (\text{subcls1 } P)^*$
 $\langle \text{proof} \rangle$

lemma *has-fields-mono-lem*:
assumes sub: $P \vdash D \preceq^* C$
shows $P \vdash C \text{ has-fields } FDTs$
 $\implies \exists \text{pre}. P \vdash D \text{ has-fields } \text{pre}@FDTs \wedge \text{dom}(\text{map-of pre}) \cap \text{dom}(\text{map-of } FDTs) = \{\} \langle \text{proof} \rangle$

lemma *has-fields-declaring-classes*:
shows $P \vdash C \text{ has-fields } FDTs$
 $\implies \exists \text{pre } FDTs'. FDTs = \text{pre}@FDTs'$
 $\wedge (C \neq \text{Object} \longrightarrow (\exists D \text{ } fs \text{ } ms. \text{ class } P \text{ } C = \lfloor (D, fs, ms) \rfloor \wedge P \vdash D \text{ has-fields } FDTs'))$
 $\wedge \text{set}(\text{map } (\lambda t. \text{snd}(\text{fst } t)) \text{ pre}) \subseteq \{C\}$
 $\wedge \text{set}(\text{map } (\lambda t. \text{snd}(\text{fst } t)) \text{ } FDTs') \subseteq \{C'. C' \neq C \wedge P \vdash C \preceq^* C'\}$
 $\langle \text{proof} \rangle$

lemma *has-fields-mono-lem2*:
assumes hf: $P \vdash C \text{ has-fields } FDTs$
and cls: $\text{class } P \text{ } C = \text{Some}(D, fs, ms)$ **and** map-of: $\text{map-of } FDTs \text{ } (F, C) = \lfloor (b, T) \rfloor$
shows $\exists FDTs'. FDTs = (\text{map } (\lambda(F, b, T). ((F, C), b, T)) fs) @ FDTs' \wedge \text{map-of } FDTs' \text{ } (F, C) = \text{None}$
 $\langle \text{proof} \rangle$

lemma *has-fields-is-class-Object*:
 $P \vdash D \text{ has-fields } FDTs \implies \text{is-class } P \text{ } \text{Object}$
 $\langle \text{proof} \rangle$

lemma *Object-fields*:
 $\llbracket P \vdash \text{Object has-fields } FDTs; C \neq \text{Object} \rrbracket \implies \text{map-of } FDTs \text{ } (F, C) = \text{None}$
 $\langle \text{proof} \rangle$

definition *has-field* :: '*m* prog \Rightarrow cname \Rightarrow vname \Rightarrow staticb \Rightarrow ty \Rightarrow cname \Rightarrow bool

$$(\langle \cdot \vdash \cdot \text{ has } \cdot, \cdot \text{ in } \cdot \rangle [51, 51, 51, 51, 51, 51] 50)$$

where

$$\begin{aligned} P \vdash C \text{ has } F, b : T \text{ in } D &\equiv \\ \exists \text{FDTs. } P \vdash C \text{ has-fields FDTs} \wedge \text{map-of FDTs } (F, D) &= \text{Some } (b, T) \end{aligned}$$

lemma has-field-mono:

assumes has: $P \vdash C \text{ has } F, b : T \text{ in } D$ **and** sub: $P \vdash C' \preceq^* C$

shows $P \vdash C' \text{ has } F, b : T \text{ in } D \langle \text{proof} \rangle$

lemma has-field-fun:

$$[P \vdash C \text{ has } F, b : T \text{ in } D; P \vdash C \text{ has } F, b' : T' \text{ in } D] \implies b = b' \wedge T' = T \langle \text{proof} \rangle$$

lemma has-field-idemp:

assumes has: $P \vdash C \text{ has } F, b : T \text{ in } D$

shows $P \vdash D \text{ has } F, b : T \text{ in } D \langle \text{proof} \rangle$

lemma visible-fields-exist:

assumes fields: $P \vdash C \text{ has-fields FDTs}$ **and**

$$\text{FDTs: map-of FDTs } (F, D) = \text{Some } (b, T)$$

shows $\exists D' \text{ fs ms. class } P D = \text{Some}(D', \text{fs}, \text{ms}) \wedge \text{map-of fs } F = \text{Some}(b, T)$

$\langle \text{proof} \rangle$

lemma map-of-remap-SomeD:

$$\text{map-of } (\text{map } (\lambda((k, k'), x). (k, (k', x))) t) k = \text{Some } (k', x) \implies \text{map-of } t (k, k') = \text{Some } x \langle \text{proof} \rangle$$

lemma map-of-remap-SomeD2:

$$\text{map-of } (\text{map } (\lambda((k, k'), x, x'). (k, (k', x, x'))) t) k = \text{Some } (k', x, x') \implies \text{map-of } t (k, k') = \text{Some } (x, x') \langle \text{proof} \rangle$$

lemma has-field-decl-above:

$$P \vdash C \text{ has } F, b : T \text{ in } D \implies P \vdash C \preceq^* D \langle \text{proof} \rangle$$

definition sees-field :: 'm prog \Rightarrow cname \Rightarrow vname \Rightarrow staticb \Rightarrow ty \Rightarrow cname \Rightarrow bool

$$(\langle \cdot \vdash \cdot \text{ sees } \cdot, \cdot \text{ in } \cdot \rangle [51, 51, 51, 51, 51, 51] 50)$$

where

$P \vdash C \text{ sees } F, b : T \text{ in } D \equiv$

$\exists \text{FDTs. } P \vdash C \text{ has-fields FDTs} \wedge$

$$\text{map-of } (\text{map } (\lambda((F, D), b, T). (F, (D, b, T))) \text{ FDTs}) F = \text{Some}(D, b, T)$$

lemma has-visible-field:

$$P \vdash C \text{ sees } F, b : T \text{ in } D \implies P \vdash C \text{ has } F, b : T \text{ in } D \langle \text{proof} \rangle$$

lemma sees-field-fun:

$$[P \vdash C \text{ sees } F, b : T \text{ in } D; P \vdash C \text{ sees } F, b' : T' \text{ in } D] \implies b = b' \wedge T' = T \wedge D' = D \langle \text{proof} \rangle$$

lemma sees-field-decl-above:

$$P \vdash C \text{ sees } F, b : T \text{ in } D \implies P \vdash C \preceq^* D \langle \text{proof} \rangle$$

lemma sees-field-idemp:

assumes sees: $P \vdash C \text{ sees } F, b : T \text{ in } D$

shows $P \vdash D \text{ sees } F, b : T \text{ in } D \langle \text{proof} \rangle$

lemma has-field-sees-aux:

assumes hf: $P \vdash C \text{ has-fields FDTs}$ **and** map: $\text{map-of FDTs } (F, C) = \lfloor (b, T) \rfloor$

shows $\text{map-of } (\text{map } (\lambda((F, D), b, T). (F, D, b, T)) \text{ FDTs}) F = \lfloor (C, b, T) \rfloor$

$\langle \text{proof} \rangle$

lemma has-field-sees: $P \vdash C \text{ has } F, b : T \text{ in } C \implies P \vdash C \text{ sees } F, b : T \text{ in } C$
 $\langle \text{proof} \rangle$

lemma has-field-is-class:

$P \vdash C \text{ has } F, b:T \text{ in } D \implies \text{is-class } P \ C \langle \text{proof} \rangle$

lemma *has-field-is-class'*:

$P \vdash C \text{ has } F, b:T \text{ in } D \implies \text{is-class } P \ D \langle \text{proof} \rangle$

1.4.5 Functional lookup

definition *method* :: ' m prog \Rightarrow cname \Rightarrow mname \Rightarrow cname \times staticb \times ty list \times ty \times ' m '
where

$\text{method } P \ C \ M \equiv \text{THE } (D, b, Ts, T, m). \ P \vdash C \text{ sees } M, b:Ts \rightarrow T = m \text{ in } D$

definition *field* :: ' m prog \Rightarrow cname \Rightarrow vname \Rightarrow cname \times staticb \times ty

where

$\text{field } P \ C \ F \equiv \text{THE } (D, b, T). \ P \vdash C \text{ sees } F, b:T \text{ in } D$

definition *fields* :: ' m prog \Rightarrow cname \Rightarrow ((vname \times cname) \times staticb \times ty) list
where

$\text{fields } P \ C \equiv \text{THE } FDTs. \ P \vdash C \text{ has-fields } FDTs$

lemma *fields-def2 [simp]*: $P \vdash C \text{ has-fields } FDTs \implies \text{fields } P \ C = FDTs \langle \text{proof} \rangle$

lemma *field-def2 [simp]*: $P \vdash C \text{ sees } F, b:T \text{ in } D \implies \text{field } P \ C \ F = (D, b, T) \langle \text{proof} \rangle$

lemma *method-def2 [simp]*: $P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D \implies \text{method } P \ C \ M = (D, b, Ts, T, m) \langle \text{proof} \rangle$

The following are the fields for initializing an object (non-static fields) and a class (just that class's static fields), respectively.

definition *ifields* :: ' m prog \Rightarrow cname \Rightarrow ((vname \times cname) \times staticb \times ty) list
where

$\text{ifields } P \ C \equiv \text{filter } (\lambda((F, D), b, T). \ b = \text{NonStatic}) \ (\text{fields } P \ C)$

definition *isfields* :: ' m prog \Rightarrow cname \Rightarrow ((vname \times cname) \times staticb \times ty) list
where

$\text{isfields } P \ C \equiv \text{filter } (\lambda((F, D), b, T). \ b = \text{Static} \wedge D = C) \ (\text{fields } P \ C)$

lemma *ifields-def2 [simp]*: $\llbracket P \vdash C \text{ has-fields } FDTs \rrbracket \implies \text{ifields } P \ C = \text{filter } (\lambda((F, D), b, T). \ b = \text{NonStatic}) \ FDTs \langle \text{proof} \rangle$

lemma *isfields-def2 [simp]*: $\llbracket P \vdash C \text{ has-fields } FDTs \rrbracket \implies \text{isfields } P \ C = \text{filter } (\lambda((F, D), b, T). \ b = \text{Static} \wedge D = C) \ FDTs \langle \text{proof} \rangle$

lemma *ifields-def3*: $\llbracket P \vdash C \text{ sees } F, b:T \text{ in } D; \ b = \text{NonStatic} \rrbracket \implies (((F, D), b, T) \in \text{set } (\text{ifields } P \ C)) \langle \text{proof} \rangle$

lemma *isfields-def3*: $\llbracket P \vdash C \text{ sees } F, b:T \text{ in } D; \ b = \text{Static}; \ D = C \rrbracket \implies (((F, D), b, T) \in \text{set } (\text{isfields } P \ C)) \langle \text{proof} \rangle$

definition *seeing-class* :: ' m prog \Rightarrow cname \Rightarrow mname \Rightarrow cname option
where

$\text{seeing-class } P \ C \ M =$

(if $\exists Ts \ T \ m \ D. \ P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = m \text{ in } D$

then Some (fst(method P C M))

else None)

lemma *seeing-class-def2 [simp]*:

$P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = m \text{ in } D \implies \text{seeing-class } P \ C \ M = \text{Some } D \langle \text{proof} \rangle$

1.5 Jinja Values

```

theory Value imports TypeRel begin

type-synonym addr = nat

datatype val
  = Unit      — dummy result value of void expressions
  | Null       — null reference
  | Bool bool — Boolean value
  | Intg int   — integer value
  | Addr addr  — addresses of objects in the heap

primrec the-Intg :: val ⇒ int where
  the-Intg (Intg i) = i

primrec the-Addr :: val ⇒ addr where
  the-Addr (Addr a) = a

primrec default-val :: ty ⇒ val — default value for all types where
  default-val Void      = Unit
  | default-val Boolean  = Bool False
  | default-val Integer  = Intg 0
  | default-val NT        = Null
  | default-val (Class C) = Null

end

```

1.6 Objects and the Heap

```
theory Objects imports TypeRel Value begin
```

1.6.1 Objects

```

type-synonym
  fields = vname × cname → val — field name, defining class, value
type-synonym
  obj = cname × fields — class instance with class name and fields
type-synonym
  sfields = vname → val — field name to value

```

```

definition obj-ty :: obj ⇒ ty
where
  obj-ty obj ≡ Class (fst obj)

— initializes a given list of fields
definition init-fields :: ((vname × cname) × staticb × ty) list ⇒ fields
where
  init-fields FDTs ≡ (map-of ∘ map (λ((F,D),b,T). ((F,D),default-val T))) FDTs

definition init-sfields :: ((vname × cname) × staticb × ty) list ⇒ sfields
where
  init-sfields FDTs ≡ (map-of ∘ map (λ((F,D),b,T). (F,default-val T))) FDTs

```

— a new, blank object with default values for instance fields:

definition *blank* :: '*m prog* \Rightarrow *cname* \Rightarrow *obj*
where

blank P C \equiv (*C,init-fields (ifields P C)*)

— a new, blank object with default values for static fields:

definition *sblank* :: '*m prog* \Rightarrow *cname* \Rightarrow *sfields*
where
sblank P C \equiv *init-sfields (isfields P C)*

lemma [*simp*]: *obj-ty (C,fs) = Class C⟨proof⟩*

translations

(*type*) *fields* \leq (*type*) *char list* \times *char list* \Rightarrow *val option*
 (*type*) *obj* \leq (*type*) *char list* \times *fields*
 (*type*) *sfields* \leq (*type*) *char list* \Rightarrow *val option*

1.6.2 Heap

type-synonym *heap* $=$ *addr* \rightarrow *obj*

translations

(*type*) *heap* \leq (*type*) *nat* \Rightarrow *obj option*

abbreviation

cname-of :: *heap* \Rightarrow *addr* \Rightarrow *cname* **where**
cname-of hp a \equiv *fst (the (hp a))*

definition *new-Addr* :: *heap* \Rightarrow *addr option*

where

new-Addr h \equiv if $\exists a. h a = \text{None}$ then *Some(LEAST a. h a = None)* else *None*

definition *cast-ok* :: '*m prog* \Rightarrow *cname* \Rightarrow *heap* \Rightarrow *val* \Rightarrow *bool*

where

cast-ok P C h v \equiv *v = Null* \vee *P ⊢ cname-of h (the-Addr v) ⊢* C*

definition *hext* :: *heap* \Rightarrow *heap* \Rightarrow *bool* ($\leftarrow \sqsubseteq \rightarrow [51,51] 50$)

where

h ⊑ h' \equiv $\forall a. C fs. h a = \text{Some}(C,fs) \longrightarrow (\exists fs'. h' a = \text{Some}(C,fs'))$

primrec *typeof-h* :: *heap* \Rightarrow *val* \Rightarrow *ty option* ($\langle\text{typeof-}\rangle$)

where

$ $ <i>typeof_h Unit</i> $= \text{Some Void}$
$ $ <i>typeof_h Null</i> $= \text{Some NT}$
$ $ <i>typeof_h (Bool b)</i> $= \text{Some Boolean}$
$ $ <i>typeof_h (Intg i)</i> $= \text{Some Integer}$
$ $ <i>typeof_h (Addr a)</i> $= (\text{case } h a \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some}(C,fs) \Rightarrow \text{Some}(\text{Class } C))$

lemma *new-Addr-SomeD*:

new-Addr h = Some a $\implies h a = \text{None}$

$\langle\text{proof}\rangle$

lemma [*simp*]: (*typeof_h v = Some Boolean*) $= (\exists b. v = \text{Bool } b)$

$\langle\text{proof}\rangle$

```

lemma [simp]: (typeofh v = Some Integer) = ( $\exists i. v = \text{Intg } i$ )⟨proof⟩
lemma [simp]: (typeofh v = Some NT) = (v = Null)
⟨proof⟩
lemma [simp]: (typeofh v = Some(Class C)) = ( $\exists a fs. v = \text{Addr } a \wedge h a = \text{Some}(C, fs)$ )
⟨proof⟩
lemma [simp]: h a = Some(C, fs)  $\implies$  typeof(h(a \mapsto (C, fs'))) v = typeofh v
⟨proof⟩

```

For literal values the first parameter of *typeof* can be set to {} because they do not contain addresses:

abbreviation

```

typeof :: val  $\Rightarrow$  ty option where
typeof v == typeof-h Map.empty v

```

```

lemma typeof-lit-typeof:
typeof v = Some T  $\implies$  typeofh v = Some T
⟨proof⟩
lemma typeof-lit-is-type:
typeof v = Some T  $\implies$  is-type P T
⟨proof⟩

```

1.6.3 Heap extension \trianglelefteq

```

lemma hextI:  $\forall a C fs. h a = \text{Some}(C, fs) \longrightarrow (\exists fs'. h' a = \text{Some}(C, fs') \implies h \trianglelefteq h')$ ⟨proof⟩
lemma hext-objD:  $\llbracket h \trianglelefteq h'; h a = \text{Some}(C, fs) \rrbracket \implies \exists fs'. h' a = \text{Some}(C, fs')$ ⟨proof⟩
lemma hext-refl [iff]:  $h \trianglelefteq h$ ⟨proof⟩
lemma hext-new [simp]: h a = None  $\implies h \trianglelefteq h(a \mapsto x)$ ⟨proof⟩
lemma hext-trans:  $\llbracket h \trianglelefteq h'; h' \trianglelefteq h'' \rrbracket \implies h \trianglelefteq h''$ ⟨proof⟩
lemma hext-upd-obj: h a = Some (C, fs)  $\implies h \trianglelefteq h(a \mapsto (C, fs'))$ ⟨proof⟩
lemma hext-typeof-mono:  $\llbracket h \trianglelefteq h'; \text{typeof}_h v = \text{Some } T \rrbracket \implies \text{typeof}_{h'} v = \text{Some } T$ ⟨proof⟩

```

1.6.4 Static field information function

```

datatype init-state = Done | Processing | Prepared | Error
— Done = initialized
— Processing = currently being initialized
— Prepared = uninitialized and not currently being initialized
— Error = previous initialization attempt resulted in erroneous state

```

inductive iprog :: init-state \Rightarrow init-state \Rightarrow bool ($\cdot \leq_i \cdot$ [51,51] 50)

where

```

| [simp]: Prepared  $\leq_i$  i
| [simp]: Processing  $\leq_i$  Done
| [simp]: Processing  $\leq_i$  Error
| [simp]: i  $\leq_i$  i

```

lemma iprog-Done[simp]: (Done \leq_i i) = (i = Done)
⟨proof⟩

lemma iprog-Error[simp]: (Error \leq_i i) = (i = Error)
⟨proof⟩

lemma iprog-Processing[simp]: (Processing \leq_i i) = (i = Done \vee i = Error \vee i = Processing)
⟨proof⟩

lemma *iprog-trans*: $\llbracket i \leq_i i'; i' \leq_i i'' \rrbracket \implies i \leq_i i'' \langle proof \rangle$

1.6.5 Static Heap

The static heap (*sheap*) is used for storing information about static field values and initialization status for classes.

type-synonym

sheap = *cname* \rightarrow *sfields* \times *init-state*

translations

(*type*) *sheap* $\leq=$ (*type*) *char list* \Rightarrow (*sfields* \times *init-state*) *option*

definition *shext* :: *sheap* \Rightarrow *sheap* \Rightarrow *bool* ($\cdot \leq_s \cdot \rightarrow [51,51] 50$)

where

$sh \leq_s sh' \equiv \forall C sfs i. sh C = Some(sfs, i) \rightarrow (\exists sfs' i'. sh' C = Some(sfs', i') \wedge i \leq_i i')$

lemma *shextI*: $\forall C sfs i. sh C = Some(sfs, i) \rightarrow (\exists sfs' i'. sh' C = Some(sfs', i') \wedge i \leq_i i') \implies sh \leq_s sh' \langle proof \rangle$

lemma *shext-objD*: $\llbracket sh \leq_s sh'; sh C = Some(sfs, i) \rrbracket \implies \exists sfs' i'. sh' C = Some(sfs', i') \wedge i \leq_i i' \langle proof \rangle$

lemma *shext-refl* [*iff*]: $sh \leq_s sh \langle proof \rangle$

lemma *shext-new* [*simp*]: $sh C = None \implies sh \leq_s sh(C \mapsto x) \langle proof \rangle$

lemma *shext-trans*: $\llbracket sh \leq_s sh'; sh' \leq_s sh'' \rrbracket \implies sh \leq_s sh'' \langle proof \rangle$

lemma *shext-upd-obj*: $\llbracket sh C = Some(sfs, i); i \leq_i i' \rrbracket \implies sh \leq_s sh(C \mapsto (sfs', i')) \langle proof \rangle$

end

1.7 Exceptions

theory *Exceptions* **imports** *Objects* **begin**

definition *ErrorCl* :: *string* **where** *ErrorCl* = "Error"

definition *ThrowCl* :: *string* **where** *ThrowCl* = "Throwable"

definition *NullPointer* :: *cname*

where

NullPointer \equiv "NullPointer"

definition *ClassCast* :: *cname*

where

ClassCast \equiv "ClassCast"

definition *OutOfMemory* :: *cname*

where

OutOfMemory \equiv "OutOfMemory"

definition *NoClassDefFoundError* :: *cname*

where

NoClassDefFoundError \equiv "NoClassDefFoundError"

definition *IncompatibleClassChangeError* :: *cname*

where

IncompatibleClassChangeError \equiv "IncompatibleClassChangeError"

definition *NoSuchFieldError* :: *cname*

where

NoSuchFieldError \equiv "NoSuchFieldError"

definition *NoSuchMethodError* :: *cname*

where

NoSuchMethodError \equiv "NoSuchMethodError"

definition *sys-xcpts* :: *cname set*

where

sys-xcpts \equiv {*NullPointer*, *ClassCast*, *OutOfMemory*, *NoClassDefFoundError*,
IncompatibleClassChangeError,
NoSuchFieldError, *NoSuchMethodError*}

definition *addr-of-sys-xcpt* :: *cname* \Rightarrow *addr*

where

addr-of-sys-xcpt s \equiv if *s* = *NullPointer* then 0 else
if *s* = *ClassCast* then 1 else
if *s* = *OutOfMemory* then 2 else
if *s* = *NoClassDefFoundError* then 3 else
if *s* = *IncompatibleClassChangeError* then 4 else
if *s* = *NoSuchFieldError* then 5 else
if *s* = *NoSuchMethodError* then 6 else undefined

lemmas *sys-xcpts-defs* = *NullPointer-def* *ClassCast-def* *OutOfMemory-def* *NoClassDefFoundError-def*
IncompatibleClassChangeError-def *NoSuchFieldError-def* *NoSuchMethodError-def*

lemma *Start-nsys-xcpts*: *Start* \notin *sys-xcpts*

(proof)

lemma *Start-nsys-xcpts1* [simp]: *Start* \neq *NullPointer* *Start* \neq *ClassCast*
Start \neq *OutOfMemory* *Start* \neq *NoClassDefFoundError*
Start \neq *IncompatibleClassChangeError* *Start* \neq *NoSuchFieldError*
Start \neq *NoSuchMethodError*

(proof)

lemma *Start-nsys-xcpts2* [simp]: *NullPointer* \neq *Start* *ClassCast* \neq *Start*
OutOfMemory \neq *Start* *NoClassDefFoundError* \neq *Start*
IncompatibleClassChangeError \neq *Start* *NoSuchFieldError* \neq *Start*
NoSuchMethodError \neq *Start*

(proof)

definition *start-heap* :: 'c *prog* \Rightarrow *heap*

where

start-heap G \equiv *Map.empty* (*addr-of-sys-xcpt NullPointer* \mapsto *blank G NullPointer*,
addr-of-sys-xcpt ClassCast \mapsto *blank G ClassCast*,
addr-of-sys-xcpt OutOfMemory \mapsto *blank G OutOfMemory*,
addr-of-sys-xcpt NoClassDefFoundError \mapsto *blank G NoClassDefFoundError*,
addr-of-sys-xcpt IncompatibleClassChangeError \mapsto *blank G IncompatibleClassChangeError*,
addr-of-sys-xcpt NoSuchFieldError \mapsto *blank G NoSuchFieldError*,

addr-of-sys-xcpt NoSuchMethodError \mapsto blank G NoSuchMethodError)

definition preallocated :: heap \Rightarrow bool
where
preallocated h $\equiv \forall C \in sys\text{-}xcpts. \exists fs. h(addr\text{-}of\text{-}sys\text{-}xcpt C) = Some(C,fs)$

1.7.1 System exceptions

lemma sys-xcpts-incl [simp]: *NullPointer \in sys-xcpts \wedge OutOfMemory \in sys-xcpts*
 \wedge ClassCast \in sys-xcpts \wedge NoClassDefFoundError \in sys-xcpts
 \wedge IncompatibleClassChangeError \in sys-xcpts \wedge NoSuchFieldError \in sys-xcpts
 \wedge NoSuchMethodError \in sys-xcpts⟨proof⟩
lemma sys-xcpts-cases [consumes 1, cases set]:
 $\llbracket C \in sys\text{-}xcpts; P NullPointer; P OutOfMemory; P ClassCast; P NoClassDefFoundError;$
 $P IncompatibleClassChangeError; P NoSuchFieldError;$
 $P NoSuchMethodError \rrbracket \implies P C\langle proof \rangle$

1.7.2 Starting heap

lemma start-heap-sys-xcpts:
assumes *C \in sys-xcpts*
shows *start-heap P (addr-of-sys-xcpt C) = Some(blank P C)*
⟨proof⟩

lemma start-heap-classes:
start-heap P a = Some(C,fs) $\implies C \in sys\text{-}xcpts$
⟨proof⟩

lemma start-heap-nStart: *start-heap P a = Some obj $\implies fst(obj) \neq Start$*
⟨proof⟩

1.7.3 preallocated

lemma preallocated-dom [simp]:
 $\llbracket \text{preallocated } h; C \in sys\text{-}xcpts \rrbracket \implies addr\text{-}of\text{-}sys\text{-}xcpt C \in \text{dom } h\langle proof \rangle$
lemma preallocatedD:
 $\llbracket \text{preallocated } h; C \in sys\text{-}xcpts \rrbracket \implies \exists fs. h(addr\text{-}of\text{-}sys\text{-}xcpt C) = Some(C, fs)\langle proof \rangle$
lemma preallocatedE [elim?]:
 $\llbracket \text{preallocated } h; C \in sys\text{-}xcpts; \wedge fs. h(addr\text{-}of\text{-}sys\text{-}xcpt C) = Some(C, fs) \implies P h C \rrbracket$
 $\implies P h C\langle proof \rangle$
lemma cname-of-xcp [simp]:
 $\llbracket \text{preallocated } h; C \in sys\text{-}xcpts \rrbracket \implies cname\text{-}of } h (addr\text{-}of\text{-}sys\text{-}xcpt C) = C\langle proof \rangle$
lemma typeof-ClassCast [simp]:
preallocated h \implies typeof_h(Addr(addr-of-sys-xcpt ClassCast)) = Some(Class ClassCast)⟨proof⟩
lemma typeof-OutOfMemory [simp]:
preallocated h \implies typeof_h(Addr(addr-of-sys-xcpt OutOfMemory)) = Some(Class OutOfMemory)⟨proof⟩
lemma typeof-NullPointer [simp]:
preallocated h \implies typeof_h(Addr(addr-of-sys-xcpt NullPointer)) = Some(Class NullPointer)⟨proof⟩
lemma typeof-NoClassDefNotFoundError [simp]:

```

preallocated h ==> typeof_h (Addr(addr-of-sys-xcpt NoClassDefFoundError)) = Some(Class NoClass-
DefNotFoundError)⟨proof⟩
lemma typeof-IncompatibleClassChangeError [simp]:
  preallocated h ==> typeof_h (Addr(addr-of-sys-xcpt IncompatibleClassChangeError)) = Some(Class
IncompatibleClassChangeError)⟨proof⟩
lemma typeof-NoSuchFieldError [simp]:
  preallocated h ==> typeof_h (Addr(addr-of-sys-xcpt NoSuchFieldError)) = Some(Class NoSuchField-
Error)⟨proof⟩
lemma typeof-NoSuchMethodError [simp]:
  preallocated h ==> typeof_h (Addr(addr-of-sys-xcpt NoSuchMethodError)) = Some(Class NoSuchMeth-
odError)⟨proof⟩
lemma preallocated-hext:
  [ preallocated h; h ⊑ h' ] ==> preallocated h'⟨proof⟩
lemma preallocated-start:
  preallocated (start-heap P)
  ⟨proof⟩
end

```

1.8 Expressions

```

theory Expr
imports ..;/Common/Exceptions
begin

datatype bop = Eq | Add — names of binary operations

datatype 'a exp
= new cname — class instance creation
| Cast cname ('a exp) — type cast
| Val val — value
| BinOp ('a exp) bop ('a exp) ((-- «--> [80,0,81] 80) — binary operation
| Var 'a — local variable (incl. parameter)
| LAss 'a ('a exp) ((--:=> [90,90]90) — local assignment
| FAcc ('a exp) vname cname ((--{-} [10,90,99]90) — field access
| SFAcc cname vname cname ((--s{-} [10,90,99]90) — static field access
| FAAss ('a exp) vname cname ('a exp) ((--{-} := -> [10,90,99,90]90) — field assignment
| SFAss cname vname cname ('a exp) ((--s{-} := -> [10,90,99,90]90) — static field assignment
| Call ('a exp) mname ('a exp list) ((--'(-') [90,99,0] 90) — method call
| SCall cname mname ('a exp list) ((--s'(-') [90,99,0] 90) — static method call
| Block 'a ty ('a exp) (({-;-} {-}))
| Seq ('a exp) ('a exp) ((--;;/ -> [61,60]60)
| Cond ('a exp) ('a exp) ('a exp) ((if '(-') -/ else -> [80,79,79]70)
| While ('a exp) ('a exp) ((while '(-') -> [80,79]70)
| throw ('a exp)
| TryCatch ('a exp) cname 'a ('a exp) ((try -/ catch'(- -') -> [0,99,80,79] 70)
| INIT cname cname list bool ('a exp) ((INIT -'(-') ← -> [60,60,60,60] 60) — internal initialization
command: class, list of superclasses to initialize, preparation flag; command on hold
| RI cname ('a exp) cname list ('a exp) ((RI '(-,-') ; - ← -> [60,60,60,60] 60) — running of the
initialization procedure for class with expression, classes still to initialize command on hold

type-synonym
expr = vname exp — Jinja expression

```

type-synonym

$J\text{-}mb = vname \ list \times expr$ — Jinja method body: parameter names and expression

type-synonym

$J\text{-}prog = J\text{-}mb \ prog$ — Jinja program

type-synonym

$init\text{-}stack = expr \ list \times bool$ — Stack of expressions waiting on initialization in small step; indicator boolean True if current expression has been init checked

The semantics of binary operators:

fun $binop :: bop \times val \times val \Rightarrow val \ option \ where$

| $binop(Eq,v_1,v_2) = Some(Bool(v_1 = v_2))$
| $binop(Add,Intg \ i_1,Intg \ i_2) = Some(Intg(i_1 + i_2))$
| $binop(bop,v_1,v_2) = None$

lemma [*simp*]:

$(binop(Add,v_1,v_2) = Some \ v) = (\exists \ i_1 \ i_2. \ v_1 = Intg \ i_1 \wedge v_2 = Intg \ i_2 \wedge v = Intg(i_1 + i_2)) \langle proof \rangle$

lemma $map\text{-}Val\text{-}throw\text{-}eq$:

$map \ Val \ vs @ throw ex \# es = map \ Val \ vs' @ throw ex' \# es' \implies ex = ex' \langle proof \rangle$

lemma $map\text{-}Val\text{-}nthrow\text{-}neq$:

$map \ Val \ vs = map \ Val \ vs' @ throw ex' \# es' \implies False \langle proof \rangle$

lemma $map\text{-}Val\text{-}eq$:

$map \ Val \ vs = map \ Val \ vs' \implies vs = vs' \langle proof \rangle$

lemma $init\text{-}rhs\text{-}neq$ [*simp*]: $e \neq INIT \ C \ (Cs,b) \leftarrow e$
 $\langle proof \rangle$

lemma $init\text{-}rhs\text{-}neq'$ [*simp*]: $INIT \ C \ (Cs,b) \leftarrow e \neq e$
 $\langle proof \rangle$

lemma $ri\text{-}rhs\text{-}neq$ [*simp*]: $e \neq RI(C,e'); Cs \leftarrow e$
 $\langle proof \rangle$

lemma $ri\text{-}rhs\text{-}neq'$ [*simp*]: $RI(C,e'); Cs \leftarrow e \neq e$
 $\langle proof \rangle$

1.8.1 Syntactic sugar

abbreviation (*input*)

$InitBlock :: 'a \Rightarrow ty \Rightarrow 'a \ exp \Rightarrow 'a \ exp \Rightarrow 'a \ exp \ ((1\{:-:= -;/ -\})) \ where$
 $InitBlock \ V \ T \ e1 \ e2 == \{V:T; \ V := e1;; \ e2\}$

abbreviation $unit \ where \ unit == Val \ Unit$

abbreviation $null \ where \ null == Val \ Null$

abbreviation $addr \ a == Val(Addr \ a)$

abbreviation $true == Val(Bool \ True)$

abbreviation $false == Val(Bool \ False)$

abbreviation

$Throw :: addr \Rightarrow 'a \ exp \ where$

$Throw \ a == throw(Val(Addr \ a))$

abbreviation

```
THROW :: cname  $\Rightarrow$  'a exp where
THROW xc == Throw(addr-of-sys-xcpt xc)
```

1.8.2 Free Variables

```
primrec fv :: expr  $\Rightarrow$  vname set and fvs :: expr list  $\Rightarrow$  vname set where
| fv(new C) = {}
| fv(Cast C e) = fv e
| fv(Val v) = {}
| fv(e1 «bop» e2) = fv e1  $\cup$  fv e2
| fv(Var V) = {V}
| fv(LAss V e) = {V}  $\cup$  fv e
| fv(e•F{D}) = fv e
| fv(C•sF{D}) = {}
| fv(e1•F{D}:=e2) = fv e1  $\cup$  fv e2
| fv(C•sF{D}:=e2) = fv e2
| fv(e•M(es)) = fv e  $\cup$  fvs es
| fv(C•sM(es)) = fvs es
| fv({V:T; e}) = fv e - {V}
| fv(e1;e2) = fv e1  $\cup$  fv e2
| fv(if (b) e1 else e2) = fv b  $\cup$  fv e1  $\cup$  fv e2
| fv(while (b) e) = fv b  $\cup$  fv e
| fv(throw e) = fv e
| fv(try e1 catch(C V) e2) = fv e1  $\cup$  (fv e2 - {V})
| fv(INIT C (Cs,b)  $\leftarrow$  e) = fv e
| fv(RI (C,e);Cs  $\leftarrow$  e') = fv e  $\cup$  fv e'
| fvs([]) = {}
| fvs(e#es) = fv e  $\cup$  fvs es
```

```
lemma [simp]: fvs(es1 @ es2) = fvs es1  $\cup$  fvs es2  $\langle$ proof $\rangle$ 
lemma [simp]: fvs(map Val vs) = {}  $\langle$ proof $\rangle$ 
```

1.8.3 Accessing expression constructor arguments

```
fun val-of :: 'a exp  $\Rightarrow$  val option where
val-of (Val v) = Some v |
val-of - = None
```

```
lemma val-of-spec: val-of e = Some v  $\implies$  e = Val v
 $\langle$ proof $\rangle$ 
```

```
fun lass-val-of :: 'a exp  $\Rightarrow$  ('a  $\times$  val) option where
lass-val-of (V:=Val v) = Some (V, v) |
lass-val-of - = None
```

```
lemma lass-val-of-spec:
assumes lass-val-of e = [a]
shows e = (fst a:=Val (snd a))
 $\langle$ proof $\rangle$ 
```

```
fun map-vals-of :: 'a exp list  $\Rightarrow$  val list option where
map-vals-of (e#es) = (case val-of e of Some v  $\Rightarrow$  (case map-vals-of es of Some vs  $\Rightarrow$  Some (v#vs)
| -  $\Rightarrow$  None)
| -  $\Rightarrow$  None) |
```

```
map-vals-of [] = Some []
```

```
lemma map-vals-of-spec: map-vals-of es = Some vs  $\implies$  es = map Val vs  

  ⟨proof⟩
```

```
lemma map-vals-of-Vals[simp]: map-vals-of (map Val vs) = [vs] ⟨proof⟩
```

```
lemma map-vals-of-throw[simp]:  

  map-vals-of (map Val vs @ throw e # es') = None  

  ⟨proof⟩
```

```
fun bool-of :: 'a exp  $\Rightarrow$  bool option where  

  bool-of true = Some True |  

  bool-of false = Some False |  

  bool-of - = None
```

```
lemma bool-of-specT:  

assumes bool-of e = Some True shows e = true  

  ⟨proof⟩
```

```
lemma bool-of-specF:  

assumes bool-of e = Some False shows e = false  

  ⟨proof⟩
```

```
fun throw-of :: 'a exp  $\Rightarrow$  'a exp option where  

  throw-of (throw e') = Some e' |  

  throw-of - = None
```

```
lemma throw-of-spec: throw-of e = Some e'  $\implies$  e = throw e'  

  ⟨proof⟩
```

```
fun init-exp-of :: 'a exp  $\Rightarrow$  'a exp option where  

  init-exp-of (INIT C (Cs,b)  $\leftarrow$  e) = Some e |  

  init-exp-of (RI(C,e');Cs  $\leftarrow$  e) = Some e |  

  init-exp-of - = None
```

```
lemma init-exp-of-neq [simp]: init-exp-of e = [e']  $\implies$  e'  $\neq$  e ⟨proof⟩  

lemma init-exp-of-neq'[simp]: init-exp-of e = [e']  $\implies$  e  $\neq$  e' ⟨proof⟩
```

1.8.4 Class initialization

This section defines a few functions that return information about an expression's current initialization status.

```
primrec sub-RI :: 'a exp  $\Rightarrow$  bool and sub-RIs :: 'a exp list  $\Rightarrow$  bool where  

  sub-RI(new C) = False  

  | sub-RI(Cast C e) = sub-RI e  

  | sub-RI(Val v) = False  

  | sub-RI(e1 «bop» e2) = (sub-RI e1  $\vee$  sub-RI e2)  

  | sub-RI(Var V) = False  

  | sub-RI(LAss V e) = sub-RI e  

  | sub-RI(e.F{D}) = sub-RI e  

  | sub-RI(C.s.F{D}) = False
```

```

| sub-RI( $e_1 \cdot F\{D\} := e_2$ ) = ( $\text{sub-RI } e_1 \vee \text{sub-RI } e_2$ )
| sub-RI( $C \cdot_s F\{D\} := e_2$ ) =  $\text{sub-RI } e_2$ 
| sub-RI( $e \cdot M(es)$ ) = ( $\text{sub-RI } e \vee \text{sub-RIs } es$ )
| sub-RI( $C \cdot_s M(es)$ ) = ( $M = \text{clinit} \vee \text{sub-RIs } es$ )
| sub-RI( $\{V:T; e\}$ ) =  $\text{sub-RI } e$ 
| sub-RI( $e_1;; e_2$ ) = ( $\text{sub-RI } e_1 \vee \text{sub-RI } e_2$ )
| sub-RI( $\text{if } (b) e_1 \text{ else } e_2$ ) = ( $\text{sub-RI } b \vee \text{sub-RI } e_1 \vee \text{sub-RI } e_2$ )
| sub-RI( $\text{while } (b) e$ ) = ( $\text{sub-RI } b \vee \text{sub-RI } e$ )
| sub-RI( $\text{throw } e$ ) =  $\text{sub-RI } e$ 
| sub-RI( $\text{try } e_1 \text{ catch}(C V) e_2$ ) = ( $\text{sub-RI } e_1 \vee \text{sub-RI } e_2$ )
| sub-RI( $\text{INIT } C (Cs, b) \leftarrow e$ ) =  $\text{True}$ 
| sub-RI( $RI(C, e); Cs \leftarrow e'$ ) =  $\text{True}$ 
| sub-RIs([]) =  $\text{False}$ 
| sub-RIs( $e \# es$ ) = ( $\text{sub-RI } e \vee \text{sub-RIs } es$ )

```

lemmas $\text{sub-RI-sub-RIs-induct} = \text{sub-RI.induct sub-RIs.induct}$

lemma $nsub-RIs\text{-def}[simp]$:
 $\neg \text{sub-RIs } es \implies \forall e \in \text{set } es. \neg \text{sub-RI } e$
 $\langle \text{proof} \rangle$

lemma sub-RI-base :
 $e = \text{INIT } C (Cs, b) \leftarrow e' \vee e = RI(C, e_0); Cs \leftarrow e' \implies \text{sub-RI } e$
 $\langle \text{proof} \rangle$

lemma $nsub-RI\text{-Vals}[simp]$: $\neg \text{sub-RIs } (\text{map Val vs})$
 $\langle \text{proof} \rangle$

lemma $\text{lass-val-of-nsub-RI}$: $\text{lass-val-of } e = \lfloor a \rfloor \implies \neg \text{sub-RI } e$
 $\langle \text{proof} \rangle$

primrec $\text{not-init} :: \text{cname} \Rightarrow 'a \text{ exp} \Rightarrow \text{bool}$ **and** $\text{not-inits} :: \text{cname} \Rightarrow 'a \text{ exp list} \Rightarrow \text{bool}$ **where**

- $\text{not-init } C' (\text{new } C) = \text{True}$
- | $\text{not-init } C' (\text{Cast } C e) = \text{not-init } C' e$
- | $\text{not-init } C' (\text{Val } v) = \text{True}$
- | $\text{not-init } C' (e_1 \llcorner \text{bop} \lrcorner e_2) = (\text{not-init } C' e_1 \wedge \text{not-init } C' e_2)$
- | $\text{not-init } C' (\text{Var } V) = \text{True}$
- | $\text{not-init } C' (\text{LAss } V e) = \text{not-init } C' e$
- | $\text{not-init } C' (e \cdot F\{D\}) = \text{not-init } C' e$
- | $\text{not-init } C' (C \cdot_s F\{D\}) = \text{True}$
- | $\text{not-init } C' (e_1 \cdot F\{D\} := e_2) = (\text{not-init } C' e_1 \wedge \text{not-init } C' e_2)$
- | $\text{not-init } C' (C \cdot_s F\{D\} := e_2) = \text{not-init } C' e_2$
- | $\text{not-init } C' (e \cdot M(es)) = (\text{not-init } C' e \wedge \text{not-inits } C' es)$
- | $\text{not-init } C' (C \cdot_s M(es)) = \text{not-inits } C' es$
- | $\text{not-init } C' (\{V:T; e\}) = \text{not-init } C' e$
- | $\text{not-init } C' (e_1;; e_2) = (\text{not-init } C' e_1 \wedge \text{not-init } C' e_2)$
- | $\text{not-init } C' (\text{if } (b) e_1 \text{ else } e_2) = (\text{not-init } C' b \wedge \text{not-init } C' e_1 \wedge \text{not-init } C' e_2)$
- | $\text{not-init } C' (\text{while } (b) e) = (\text{not-init } C' b \wedge \text{not-init } C' e)$
- | $\text{not-init } C' (\text{throw } e) = \text{not-init } C' e$
- | $\text{not-init } C' (\text{try } e_1 \text{ catch}(C V) e_2) = (\text{not-init } C' e_1 \wedge \text{not-init } C' e_2)$
- | $\text{not-init } C' (\text{INIT } C (Cs, b) \leftarrow e) = ((b \rightarrow Cs = \text{Nil} \vee C' \neq \text{hd } Cs) \wedge C' \notin \text{set}(tl Cs) \wedge \text{not-init } C' e)$
- | $\text{not-init } C' (RI(C, e); Cs \leftarrow e') = (C' \notin \text{set}(C \# Cs) \wedge \text{not-init } C' e \wedge \text{not-init } C' e')$
- | $\text{not-inits } C' ([]) = \text{True}$

| *not-init* C' ($e \# es$) = (*not-init* C' $e \wedge *not-init* C' es)$

lemma *not-init-def'*[simp]:

not-init C $es \implies \forall e \in \text{set } es. \text{not-init } C e$
 $\langle proof \rangle$

lemma *nsub-RIs-not-init-aux*: $\forall e \in \text{set } es. \neg \text{sub-RI } e \longrightarrow \text{not-init } C e$

$\implies \neg \text{sub-RIs } es \implies \text{not-init } C es$
 $\langle proof \rangle$

lemma *nsub-RI-not-init*: $\neg \text{sub-RI } e \implies \text{not-init } C e$

$\langle proof \rangle$

lemma *nsub-RIs-not-init*: $\neg \text{sub-RIs } es \implies \text{not-init } C es$

$\langle proof \rangle$

1.8.5 Subexpressions

```
primrec subexp :: 'a exp ⇒ 'a exp set and subexps :: 'a exp list ⇒ 'a exp set where
  subexp(new C) = {}
| subexp(Cast C e) = {e} ∪ subexp e
| subexp(Val v) = {}
| subexp(e₁ «bop» e₂) = {e₁, e₂} ∪ subexp e₁ ∪ subexp e₂
| subexp(Var V) = {}
| subexp(LAss V e) = {e} ∪ subexp e
| subexp(e.F{D}) = {e} ∪ subexp e
| subexp(C.sF{D}) = {}
| subexp(e.F{D}:=e₂) = {e₁, e₂} ∪ subexp e₁ ∪ subexp e₂
| subexp(C.sF{D}:=e₂) = {e₂} ∪ subexp e₂
| subexp(e.M(es)) = {e} ∪ set es ∪ subexp e ∪ subexps es
| subexp(C.sM(es)) = set es ∪ subexps es
| subexp({V:T; e}) = {e} ∪ subexp e
| subexp(e₁;;e₂) = {e₁, e₂} ∪ subexp e₁ ∪ subexp e₂
| subexp(if (b) e₁ else e₂) = {b, e₁, e₂} ∪ subexp b ∪ subexp e₁ ∪ subexp e₂
| subexp(while (b) e) = {b, e} ∪ subexp b ∪ subexp e
| subexp(throw e) = {e} ∪ subexp e
| subexp(try e₁ catch(C V) e₂) = {e₁, e₂} ∪ subexp e₁ ∪ subexp e₂
| subexp(INIT C (Cs,b) ← e) = {e} ∪ subexp e
| subexp(RI (C,e);Cs ← e') = {e, e'} ∪ subexp e ∪ subexp e'
| subexps([]) = {}
| subexps(e # es) = {e} ∪ subexp e ∪ subexps es
```

lemmas *subexp-subexps-induct* = *subexp.induct* *subexps.induct*

abbreviation *subexp-of* :: 'a exp ⇒ 'a exp ⇒ bool where
 $\text{subexp-of } e \ e' \equiv e \in \text{subexp } e'$

lemma *subexp-size-le*:

$(e' \in \text{subexp } e \longrightarrow \text{size } e' < \text{size } e) \wedge (e' \in \text{subexps } es \longrightarrow \text{size } e' < \text{size-list size } es)$
 $\langle proof \rangle$

lemma *subexps-def2*: $\text{subexps } es = \text{set } es \cup (\bigcup e \in \text{set } es. \text{subexp } e)$ $\langle proof \rangle$
lemma shows *subexp-induct*[consumes 1]:

$$\begin{aligned}
 & (\bigwedge e. \text{subexp } e = \{\} \Rightarrow R e) \Rightarrow (\bigwedge e. (\bigwedge e'. e' \in \text{subexp } e \Rightarrow R e') \Rightarrow R e) \\
 & \quad \Rightarrow (\bigwedge es. (\bigwedge e'. e' \in \text{subexps } es \Rightarrow R e') \Rightarrow \text{Rs } es) \Rightarrow (\forall e'. e' \in \text{subexp } e \rightarrow R e') \wedge R e \\
 & \text{and subexps-induct[consumes 1]:} \\
 & (\bigwedge es. \text{subexps } es = \{\} \Rightarrow \text{Rs } es) \Rightarrow (\bigwedge e. (\bigwedge e'. e' \in \text{subexp } e \Rightarrow R e') \Rightarrow R e) \\
 & \quad \Rightarrow (\bigwedge es. (\bigwedge e'. e' \in \text{subexps } es \Rightarrow R e') \Rightarrow \text{Rs } es) \Rightarrow (\forall e'. e' \in \text{subexps } es \rightarrow R e') \wedge \text{Rs } es \\
 & \langle \text{proof} \rangle
 \end{aligned}$$

1.8.6 Final expressions

definition final :: 'a exp \Rightarrow bool

where

$$\text{final } e \equiv (\exists v. e = \text{Val } v) \vee (\exists a. e = \text{Throw } a)$$

definition finals:: 'a exp list \Rightarrow bool

where

$$\text{finals } es \equiv (\exists vs. es = \text{map Val } vs) \vee (\exists vs a es'. es = \text{map Val } vs @ \text{Throw } a \# es')$$

lemma [simp]: $\text{final}(\text{Val } v) \langle \text{proof} \rangle$

lemma [simp]: $\text{final}(\text{throw } e) = (\exists a. e = \text{addr } a) \langle \text{proof} \rangle$

lemma finalE: $\llbracket \text{final } e; \bigwedge v. e = \text{Val } v \Rightarrow R; \bigwedge a. e = \text{Throw } a \Rightarrow R \rrbracket \Rightarrow R \langle \text{proof} \rangle$

lemma final-fv[iff]: $\text{final } e \Rightarrow \text{fv } e = \{\}$

$\langle \text{proof} \rangle$

lemma finalsE:

$\llbracket \text{finals } es; \bigwedge vs. es = \text{map Val } vs \Rightarrow R; \bigwedge vs a es'. es = \text{map Val } vs @ \text{Throw } a \# es' \Rightarrow R \rrbracket \Rightarrow R \langle \text{proof} \rangle$

lemma [iff]: $\text{finals } [] \langle \text{proof} \rangle$

lemma [iff]: $\text{finals } (\text{Val } v \# es) = \text{finals } es \langle \text{proof} \rangle$

lemma finals-app-map[iff]: $\text{finals } (\text{map Val } vs @ es) = \text{finals } es \langle \text{proof} \rangle$

lemma [iff]: $\text{finals } (\text{map Val } vs) \langle \text{proof} \rangle$

lemma [iff]: $\text{finals } (\text{throw } e \# es) = (\exists a. e = \text{addr } a) \langle \text{proof} \rangle$

lemma not-finals-ConsI: $\neg \text{final } e \Rightarrow \neg \text{finals}(e \# es) \langle \text{proof} \rangle$

lemma not-finals-ConsI2: $e = \text{Val } v \Rightarrow \neg \text{finals } es \Rightarrow \neg \text{finals}(e \# es) \langle \text{proof} \rangle$

end

1.9 Well-typedness of Ninja expressions

theory WellType

imports .. / Common / Objects Expr

begin

type-synonym

$$\text{env} = \text{vname} \multimap \text{ty}$$

inductive

$$WT ::= [\text{J-prog}, \text{env}, \text{expr} \quad , \text{ty} \quad] \Rightarrow \text{bool}$$

$$(\langle \cdot, \cdot \vdash \cdot : \cdot \rangle \rightarrow [51, 51, 51] 50)$$

$$\text{and } WTs ::= [\text{J-prog}, \text{env}, \text{expr list}, \text{ty list}] \Rightarrow \text{bool}$$

$$(\langle \cdot, \cdot \vdash \cdot [:] \rightarrow [51, 51, 51] 50)$$

$$\text{for } P :: \text{J-prog}$$

where

WTNew:

- is-class P C* \implies
 $P, E \vdash \text{new } C :: \text{Class } C$
- | *WTCast:*
 $\llbracket P, E \vdash e :: \text{Class } D; \text{ is-class } P C; P \vdash C \preceq^* D \vee P \vdash D \preceq^* C \rrbracket$
 $\implies P, E \vdash \text{Cast } C e :: \text{Class } C$
- | *WTVal:*
 $\text{typeof } v = \text{Some } T \implies$
 $P, E \vdash \text{Val } v :: T$
- | *WTVar:*
 $E V = \text{Some } T \implies$
 $P, E \vdash \text{Var } V :: T$
- | *WTBinOpEq:*
 $\llbracket P, E \vdash e_1 :: T_1; P, E \vdash e_2 :: T_2; P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1 \rrbracket$
 $\implies P, E \vdash e_1 \llbracket \text{Eq} \rrbracket e_2 :: \text{Boolean}$
- | *WTBinOpAdd:*
 $\llbracket P, E \vdash e_1 :: \text{Integer}; P, E \vdash e_2 :: \text{Integer} \rrbracket$
 $\implies P, E \vdash e_1 \llbracket \text{Add} \rrbracket e_2 :: \text{Integer}$
- | *WTLAss:*
 $\llbracket E V = \text{Some } T; P, E \vdash e :: T'; P \vdash T' \leq T; V \neq \text{this} \rrbracket$
 $\implies P, E \vdash V := e :: \text{Void}$
- | *WTFAcc:*
 $\llbracket P, E \vdash e :: \text{Class } C; P \vdash C \text{ sees } F, \text{NonStatic}:T \text{ in } D \rrbracket$
 $\implies P, E \vdash e \cdot F\{D\} :: T$
- | *WTSAcc:*
 $\llbracket P \vdash C \text{ sees } F, \text{Static}:T \text{ in } D \rrbracket$
 $\implies P, E \vdash C \cdot_s F\{D\} :: T$
- | *WTFAss:*
 $\llbracket P, E \vdash e_1 :: \text{Class } C; P \vdash C \text{ sees } F, \text{NonStatic}:T \text{ in } D; P, E \vdash e_2 :: T'; P \vdash T' \leq T \rrbracket$
 $\implies P, E \vdash e_1 \cdot F\{D\} := e_2 :: \text{Void}$
- | *WTSAss:*
 $\llbracket P \vdash C \text{ sees } F, \text{Static}:T \text{ in } D; P, E \vdash e_2 :: T'; P \vdash T' \leq T \rrbracket$
 $\implies P, E \vdash C \cdot_s F\{D\} := e_2 :: \text{Void}$
- | *WTCall:*
 $\llbracket P, E \vdash e :: \text{Class } C; P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = (pns, body) \text{ in } D;$
 $P, E \vdash es [::] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$
 $\implies P, E \vdash e \cdot M(es) :: T$
- | *WTSCall:*
 $\llbracket P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = (pns, body) \text{ in } D;$
 $P, E \vdash es [::] Ts'; P \vdash Ts' [\leq] Ts; M \neq \text{clinit} \rrbracket$
 $\implies P, E \vdash C \cdot_s M(es) :: T$
- | *WTBlock:*

$$\begin{aligned}
& \llbracket \text{is-type } P \ T; \ P, E(V \mapsto T) \vdash e :: T' \rrbracket \\
& \implies P, E \vdash \{V:T; e\} :: T'
\end{aligned}$$

| *WTSeq*:

$$\begin{aligned}
& \llbracket P, E \vdash e_1 :: T_1; \ P, E \vdash e_2 :: T_2 \rrbracket \\
& \implies P, E \vdash e_1;; e_2 :: T_2
\end{aligned}$$

| *WTCond*:

$$\begin{aligned}
& \llbracket P, E \vdash e :: \text{Boolean}; \ P, E \vdash e_1 :: T_1; \ P, E \vdash e_2 :: T_2; \\
& \quad P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1; \ P \vdash T_1 \leq T_2 \longrightarrow T = T_2; \ P \vdash T_2 \leq T_1 \longrightarrow T = T_1 \rrbracket \\
& \implies P, E \vdash \text{if } (e) \ e_1 \ \text{else } e_2 :: T
\end{aligned}$$

| *WTWhile*:

$$\begin{aligned}
& \llbracket P, E \vdash e :: \text{Boolean}; \ P, E \vdash c :: T \rrbracket \\
& \implies P, E \vdash \text{while } (e) \ c :: \text{Void}
\end{aligned}$$

| *WTThrow*:

$$\begin{aligned}
& P, E \vdash e :: \text{Class } C \implies \\
& P, E \vdash \text{throw } e :: \text{Void}
\end{aligned}$$

| *WTTry*:

$$\begin{aligned}
& \llbracket P, E \vdash e_1 :: T; \ P, E(V \mapsto \text{Class } C) \vdash e_2 :: T; \ \text{is-class } P \ C \rrbracket \\
& \implies P, E \vdash \text{try } e_1 \ \text{catch}(C \ V) \ e_2 :: T
\end{aligned}$$

— well-typed expression lists

| *WTNil*:

$$P, E \vdash [] :: []$$

| *WTCons*:

$$\begin{aligned}
& \llbracket P, E \vdash e :: T; \ P, E \vdash es :: Ts \rrbracket \\
& \implies P, E \vdash e \# es :: T \# Ts
\end{aligned}$$

lemma *init-nwt* [*simp*]: $\neg P, E \vdash \text{INIT } C (Cs, b) \leftarrow e :: T$
⟨proof⟩

lemma *ri-nwt* [*simp*]: $\neg P, E \vdash RI(C, e); Cs \leftarrow e' :: T$
⟨proof⟩

lemma [iff]: $(P, E \vdash [] :: Ts) = (Ts = [])$ *⟨proof⟩*
lemma [iff]: $(P, E \vdash e \# es :: Ts) = (P, E \vdash e :: T \wedge P, E \vdash es :: Ts)$ *⟨proof⟩*
lemma [iff]: $(P, E \vdash (e \# es) :: Ts) = (\exists U Us. Ts = U \# Us \wedge P, E \vdash e :: U \wedge P, E \vdash es :: Us)$ *⟨proof⟩*
lemma [iff]: $\bigwedge Ts. (P, E \vdash es_1 @ es_2 :: Ts) = (\exists Ts_1 Ts_2. Ts = Ts_1 @ Ts_2 \wedge P, E \vdash es_1 :: Ts_1 \wedge P, E \vdash es_2 :: Ts_2)$ *⟨proof⟩*
lemma [iff]: $P, E \vdash \text{Val } v :: T = (\text{typeof } v = \text{Some } T)$ *⟨proof⟩*
lemma [iff]: $P, E \vdash \text{Var } V :: T = (E V = \text{Some } T)$ *⟨proof⟩*
lemma [iff]: $P, E \vdash e_1;; e_2 :: T_2 = (\exists T_1. P, E \vdash e_1 :: T_1 \wedge P, E \vdash e_2 :: T_2)$ *⟨proof⟩*
lemma [iff]: $(P, E \vdash \{V:T; e\} :: T') = (\text{is-type } P \ T \wedge P, E(V \mapsto T) \vdash e :: T')$ *⟨proof⟩*

lemma *wt-env-mono*:

$$\begin{aligned}
& P, E \vdash e :: T \implies (\bigwedge E'. E \subseteq_m E' \implies P, E' \vdash e :: T) \text{ and} \\
& P, E \vdash es :: Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P, E' \vdash es :: Ts)
\end{aligned}$$

lemma *WT-fv*: $P, E \vdash e :: T \implies \text{fv } e \subseteq \text{dom } E$

and $P, E \vdash es :: Ts \implies fvs es \subseteq \text{dom } E\langle \text{proof} \rangle$
lemma $WT\text{-}nsub\text{-}RI: P, E \vdash e :: T \implies \neg \text{sub-}RI e$
and $WTs\text{-}nsub\text{-}RIs: P, E \vdash es :: Ts \implies \neg \text{sub-}RIs es\langle \text{proof} \rangle$

1.10 Runtime Well-typedness

```

theory WellTypeRT
imports WellType
begin

inductive
   $WTrt :: J\text{-prog} \Rightarrow \text{heap} \Rightarrow \text{sheap} \Rightarrow \text{env} \Rightarrow \text{expr} \Rightarrow \text{ty} \Rightarrow \text{bool}$ 
  and  $WTrts :: J\text{-prog} \Rightarrow \text{heap} \Rightarrow \text{sheap} \Rightarrow \text{env} \Rightarrow \text{expr list} \Rightarrow \text{ty list} \Rightarrow \text{bool}$ 
  and  $WTrt2 :: [J\text{-prog}, \text{env}, \text{heap}, \text{sheap}, \text{expr}, \text{ty}] \Rightarrow \text{bool}$ 
     $(\langle \_, \_, \_, \_ \vdash \_ : \_ \rangle [51, 51, 51, 51] 50)$ 
  and  $WTrts2 :: [J\text{-prog}, \text{env}, \text{heap}, \text{sheap}, \text{expr list}, \text{ty list}] \Rightarrow \text{bool}$ 
     $(\langle \_, \_, \_, \_ \vdash \_ : \_ \rangle [51, 51, 51, 51] 50)$ 
  for  $P :: J\text{-prog}$  and  $h :: \text{heap}$  and  $sh :: \text{sheap}$ 
where

   $P, E, h, sh \vdash e : T \equiv WTrt P h sh E e T$ 
   $| P, E, h, sh \vdash es :: Ts \equiv WTrts P h sh E es Ts$ 

   $| WTrtNew:$ 
     $\text{is-class } P C \implies P, E, h, sh \vdash \text{new } C : \text{Class } C$ 

   $| WTrtCast:$ 
     $\llbracket P, E, h, sh \vdash e : T; \text{is-refT } T; \text{is-class } P C \rrbracket \implies P, E, h, sh \vdash \text{Cast } C e : \text{Class } C$ 

   $| WTrtVal:$ 
     $\text{typeof}_h v = \text{Some } T \implies P, E, h, sh \vdash \text{Val } v : T$ 

   $| WTrtVar:$ 
     $E V = \text{Some } T \implies P, E, h, sh \vdash \text{Var } V : T$ 

   $| WTrtBinOpEq:$ 
     $\llbracket P, E, h, sh \vdash e_1 : T_1; P, E, h, sh \vdash e_2 : T_2 \rrbracket \implies P, E, h, sh \vdash e_1 \llbracket \text{Eq} \rrbracket e_2 : \text{Boolean}$ 

   $| WTrtBinOpAdd:$ 
     $\llbracket P, E, h, sh \vdash e_1 : \text{Integer}; P, E, h, sh \vdash e_2 : \text{Integer} \rrbracket \implies P, E, h, sh \vdash e_1 \llbracket \text{Add} \rrbracket e_2 : \text{Integer}$ 

   $| WTrtLAss:$ 
     $\llbracket E V = \text{Some } T; P, E, h, sh \vdash e : T'; P \vdash T' \leq T \rrbracket \implies P, E, h, sh \vdash V := e : \text{Void}$ 

   $| WTrtFAcc:$ 
     $\llbracket P, E, h, sh \vdash e : \text{Class } C; P \vdash C \text{ has } F, \text{NonStatic}: T \text{ in } D \rrbracket \implies$ 

```

- $P, E, h, sh \vdash e \cdot F\{D\} : T$
- | $WTrtFAccNT:$

$$\begin{aligned} P, E, h, sh \vdash e : NT &\implies \\ P, E, h, sh \vdash e \cdot F\{D\} &: T \end{aligned}$$
 - | $WTrtSFAcc:$

$$\begin{aligned} [\![P \vdash C \text{ has } F, \text{Static}:T \text{ in } D]\!] &\implies \\ P, E, h, sh \vdash C \cdot_s F\{D\} &: T \end{aligned}$$
 - | $WTrtFAss:$

$$\begin{aligned} [\![P, E, h, sh \vdash e_1 : \text{Class } C; P \vdash C \text{ has } F, \text{NonStatic}:T \text{ in } D; P, E, h, sh \vdash e_2 : T_2; P \vdash T_2 \leq T]\!] \\ &\implies P, E, h, sh \vdash e_1 \cdot F\{D\} := e_2 : \text{Void} \end{aligned}$$
 - | $WTrtFAssNT:$

$$\begin{aligned} [\![P, E, h, sh \vdash e_1 : NT; P, E, h, sh \vdash e_2 : T_2]\!] \\ &\implies P, E, h, sh \vdash e_1 \cdot F\{D\} := e_2 : \text{Void} \end{aligned}$$
 - | $WTrtSFAss:$

$$\begin{aligned} [\![P, E, h, sh \vdash e_2 : T_2; P \vdash C \text{ has } F, \text{Static}:T \text{ in } D; P \vdash T_2 \leq T]\!] \\ &\implies P, E, h, sh \vdash C \cdot_s F\{D\} := e_2 : \text{Void} \end{aligned}$$
 - | $WTrtCall:$

$$\begin{aligned} [\![P, E, h, sh \vdash e : \text{Class } C; P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = (pns, body) \text{ in } D; \\ P, E, h, sh \vdash es [:] Ts'; P \vdash Ts' [\leq] Ts]\!] \\ &\implies P, E, h, sh \vdash e \cdot M(es) : T \end{aligned}$$
 - | $WTrtCallNT:$

$$\begin{aligned} [\![P, E, h, sh \vdash e : NT; P, E, h, sh \vdash es [:] Ts]\!] \\ &\implies P, E, h, sh \vdash e \cdot M(es) : T \end{aligned}$$
 - | $WTrtSCall:$

$$\begin{aligned} [\![P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = (pns, body) \text{ in } D; \\ P, E, h, sh \vdash es [:] Ts'; P \vdash Ts' [\leq] Ts; \\ M = \text{clinit} \longrightarrow sh D = \lfloor (sfs, \text{Processing}) \rfloor \wedge es = \text{map Val vs}]\!] \\ &\implies P, E, h, sh \vdash C \cdot_s M(es) : T \end{aligned}$$
 - | $WTrtBlock:$

$$\begin{aligned} P, E(V \mapsto T), h, sh \vdash e : T' &\implies \\ P, E, h, sh \vdash \{V:T; e\} &: T' \end{aligned}$$
 - | $WTrtSeq:$

$$\begin{aligned} [\![P, E, h, sh \vdash e_1 : T_1; P, E, h, sh \vdash e_2 : T_2]\!] \\ &\implies P, E, h, sh \vdash e_1 ; e_2 : T_2 \end{aligned}$$
 - | $WTrtCond:$

$$\begin{aligned} [\![P, E, h, sh \vdash e : \text{Boolean}; P, E, h, sh \vdash e_1 : T_1; P, E, h, sh \vdash e_2 : T_2; \\ P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1; P \vdash T_1 \leq T_2 \longrightarrow T = T_2; P \vdash T_2 \leq T_1 \longrightarrow T = T_1]\!] \\ &\implies P, E, h, sh \vdash \text{if } (e) e_1 \text{ else } e_2 : T \end{aligned}$$
 - | $WTrtWhile:$

$$\begin{aligned} [\![P, E, h, sh \vdash e : \text{Boolean}; P, E, h, sh \vdash c : T]\!] \\ &\implies P, E, h, sh \vdash \text{while}(e) c : \text{Void} \end{aligned}$$

<i>WTrtThrow</i> : $\llbracket P, E, h, sh \vdash e : T_r; \text{is-refT } T_r \rrbracket \implies P, E, h, sh \vdash \text{throw } e : T$
<i>WTrtTry</i> : $\llbracket P, E, h, sh \vdash e_1 : T_1; P, E(V \mapsto \text{Class } C), h, sh \vdash e_2 : T_2; P \vdash T_1 \leq T_2 \rrbracket \implies P, E, h, sh \vdash \text{try } e_1 \text{ catch}(C V) e_2 : T_2$
<i>WTrtInit</i> : $\llbracket P, E, h, sh \vdash e : T; \forall C' \in \text{set } (C\#Cs). \text{is-class } P C'; \neg \text{sub-RI } e;$ $\forall C' \in \text{set } (\text{tl Cs}). \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor;$ $b \longrightarrow (\forall C' \in \text{set Cs}. \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor);$ $\text{distinct Cs; supercls-lst } P \text{ Cs} \rrbracket \implies P, E, h, sh \vdash \text{INIT } C (Cs, b) \leftarrow e : T$
<i>WTrtRI</i> : $\llbracket P, E, h, sh \vdash e : T; P, E, h, sh \vdash e' : T'; \forall C' \in \text{set } (C\#Cs). \text{is-class } P C'; \neg \text{sub-RI } e';$ $\forall C' \in \text{set } (C\#Cs). \text{not-init } C' e;$ $\forall C' \in \text{set Cs}. \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor;$ $\exists \text{sfs. sh } C = \lfloor (\text{sfs}, \text{Processing}) \rfloor \vee (\text{sh } C = \lfloor (\text{sfs}, \text{Error}) \rfloor \wedge e = \text{THROW NoClassDefNotFoundError});$ $\text{distinct } (C\#Cs); \text{supercls-lst } P (C\#Cs) \rrbracket \implies P, E, h, sh \vdash \text{RI}(C, e); Cs \leftarrow e' : T'$
— well-typed expression lists
<i>WTrtNil</i> : $P, E, h, sh \vdash [] [:] []$
<i>WTrtCons</i> : $\llbracket P, E, h, sh \vdash e : T; P, E, h, sh \vdash es [:] Ts \rrbracket \implies P, E, h, sh \vdash e \# es [:] T \# Ts$

1.10.1 Easy consequences

lemma [iff]: $(P, E, h, sh \vdash [] [:] Ts) = (Ts = []) \langle \text{proof} \rangle$
lemma [iff]: $(P, E, h, sh \vdash e \# es [:] T \# Ts) = (P, E, h, sh \vdash e : T \wedge P, E, h, sh \vdash es [:] Ts) \langle \text{proof} \rangle$
lemma [iff]: $(P, E, h, sh \vdash (e \# es) [:] Ts) =$
 $(\exists U Us. Ts = U \# Us \wedge P, E, h, sh \vdash e : U \wedge P, E, h, sh \vdash es [:] Us) \langle \text{proof} \rangle$
lemma [simp]: $\forall Ts. (P, E, h, sh \vdash es_1 @ es_2 [:] Ts) =$
 $(\exists Ts_1 Ts_2. Ts = Ts_1 @ Ts_2 \wedge P, E, h, sh \vdash es_1 [:] Ts_1 \wedge P, E, h, sh \vdash es_2 [:] Ts_2) \langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash \text{Val } v : T = (\text{typeof}_h v = \text{Some } T) \langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash \text{Var } v : T = (E v = \text{Some } T) \langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash e_1;; e_2 : T_2 = (\exists T_1. P, E, h, sh \vdash e_1 : T_1 \wedge P, E, h, sh \vdash e_2 : T_2) \langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash \{V : T; e\} : T' = (P, E(V \mapsto T), h, sh \vdash e : T') \langle \text{proof} \rangle$

1.10.2 Some interesting lemmas

lemma *WTrts-Val*[simp]:
 $\bigwedge Ts. (P, E, h, sh \vdash \text{map Val } vs [:] Ts) = (\text{map } (\text{typeof}_h) vs = \text{map Some } Ts) \langle \text{proof} \rangle$

lemma *WTrts-same-length*: $\bigwedge Ts. P, E, h, sh \vdash es [:] Ts \implies \text{length } es = \text{length } Ts \langle \text{proof} \rangle$

lemma *WTrt-env-mono*:

```

 $P, E, h, sh \vdash e : T \implies (\bigwedge E'. E \subseteq_m E' \implies P, E', h, sh \vdash e : T)$  and
 $P, E, h, sh \vdash es [:] Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P, E', h, sh \vdash es [:] Ts) \langle proof \rangle$ 

lemma  $WTrt\text{-hext-mono}$ :  $P, E, h, sh \vdash e : T \implies h \trianglelefteq h' \implies P, E, h', sh \vdash e : T$ 
and  $WTrts\text{-hext-mono}$ :  $P, E, h, sh \vdash es [:] Ts \implies h \trianglelefteq h' \implies P, E, h', sh \vdash es [:] Ts \langle proof \rangle$ 
lemma  $WTrt\text{-shext-mono}$ :  $P, E, h, sh \vdash e : T \implies sh \trianglelefteq_s sh' \implies \neg \text{sub-RI } e \implies P, E, h, sh' \vdash e : T$ 
and  $WTrts\text{-shext-mono}$ :  $P, E, h, sh \vdash es [:] Ts \implies sh \trianglelefteq_s sh' \implies \neg \text{sub-RIs } es \implies P, E, h, sh' \vdash es [:] Ts \langle proof \rangle$ 
lemma  $WTrt\text{-hext-shext-mono}$ :  $P, E, h, sh \vdash e : T$   

 $\implies h \trianglelefteq h' \implies sh \trianglelefteq_s sh' \implies \neg \text{sub-RI } e \implies P, E, h', sh' \vdash e : T$   

 $\langle proof \rangle$ 

lemma  $WTrts\text{-hext-shext-mono}$ :  $P, E, h, sh \vdash es [:] Ts$   

 $\implies h \trianglelefteq h' \implies sh \trianglelefteq_s sh' \implies \neg \text{sub-RIs } es \implies P, E, h', sh' \vdash es [:] Ts$   

 $\langle proof \rangle$ 

```

```

lemma  $WT\text{-implies-}WTrt$ :  $P, E \vdash e :: T \implies P, E, h, sh \vdash e : T$ 
and  $WTs\text{-implies-}WTrts$ :  $P, E \vdash es [:] Ts \implies P, E, h, sh \vdash es [:] Ts \langle proof \rangle$ 
end

```

1.11 Program State

```

theory State imports .. / Common / Exceptions begin

type-synonym
  locals = vname → val — local vars, incl. params and “this”
type-synonym
  state = heap × locals × sheap

definition hp :: state ⇒ heap
where
  hp ≡ fst
definition lcl :: state ⇒ locals
where
  lcl ≡ fst ∘ snd
definition shp :: state ⇒ sheap
where
  shp ≡ snd ∘ snd

end

```

1.12 System Classes

```

theory SystemClasses
imports Decl Exceptions
begin

```

This theory provides definitions for the *Object* class, and the system exceptions.

```

definition ObjectC :: 'm cdecl
where
  ObjectC ≡ (Object, (undefined, [], []))

```

```

definition NullPointerC :: 'm cdecl
where
  NullPointerC ≡ (NullPointer, (Object,[],[]))

definition ClassCastC :: 'm cdecl
where
  ClassCastC ≡ (ClassCast, (Object,[],[]))

definition OutOfMemoryC :: 'm cdecl
where
  OutOfMemoryC ≡ (OutOfMemory, (Object,[],[]))

definition NoClassDefFoundC :: 'm cdecl
where
  NoClassDefFoundC ≡ (NoClassDefFoundError, (Object,[],[]))

definition IncompatibleClassChangeC :: 'm cdecl
where
  IncompatibleClassChangeC ≡ (IncompatibleClassChangeError, (Object,[],[]))

definition NoSuchFieldC :: 'm cdecl
where
  NoSuchFieldC ≡ (NoSuchFieldError, (Object,[],[]))

definition NoSuchMethodC :: 'm cdecl
where
  NoSuchMethodC ≡ (NoSuchMethodError, (Object,[],[]))

definition SystemClasses :: 'm cdecl list
where
  SystemClasses ≡ [ObjectC, NullPointerC, ClassCastC, OutOfMemoryC, NoClassDefFoundC,
    IncompatibleClassChangeC, NoSuchFieldC, NoSuchMethodC]

end

```

1.13 Generic Well-formedness of programs

theory WellForm **imports** TypeRel SystemClasses **begin**

This theory defines global well-formedness conditions for programs but does not look inside method bodies. Hence it works for both Ninja and JVM programs. Well-typing of expressions is defined elsewhere (in theory WellType).

Because Ninja does not have method overloading, its policy for method overriding is the classical one: *covariant in the result type but contravariant in the argument types*. This means the result type of the overriding method becomes more specific, the argument types become more general.

type-synonym '*m wf-mdecl-test* = '*m prog* ⇒ *cname* ⇒ '*m mdecl* ⇒ *bool*

definition *wf-fdecl* :: '*m prog* ⇒ *fdecl* ⇒ *bool*
where
wf-fdecl P ≡ $\lambda(F,b,T). \text{is-type } P \ T$

definition *wf-mdecl* :: '*m wf-mdecl-test* ⇒ '*m wf-mdecl-test*

where

$$\begin{aligned} wf\text{-}mdecl\ wf\text{-}md\ P\ C &\equiv \lambda(M,b,Ts,T,m). \\ (\forall T \in \text{set } Ts. \text{ is-type } P\ T) \wedge \text{ is-type } P\ T \wedge wf\text{-}md\ P\ C\ (M,b,Ts,T,m) \end{aligned}$$

definition $wf\text{-}clinit :: 'm\ mdecl\ list \Rightarrow \text{bool}$ **where**

$$wf\text{-}clinit\ ms = (\exists m. (clinit, Static, [], Void, m) \in \text{set } ms)$$

definition $wf\text{-}cdecl :: 'm\ wf\text{-}mdecl\ test \Rightarrow 'm\ prog \Rightarrow 'm\ cdecl \Rightarrow \text{bool}$

where

$$\begin{aligned} wf\text{-}cdecl\ wf\text{-}md\ P &\equiv \lambda(C, (D, fs, ms)). \\ (\forall f \in \text{set } fs. wf\text{-}fdecl\ P\ f) \wedge \text{ distinct-fst } fs \wedge \\ (\forall m \in \text{set } ms. wf\text{-}mdecl\ wf\text{-}md\ P\ C\ m) \wedge \text{ distinct-fst } ms \wedge \\ (C \neq \text{Object} \longrightarrow \\ \text{ is-class } P\ D \wedge \neg P \vdash D \preceq^* C \wedge \\ (\forall (M, b, Ts, T, m) \in \text{set } ms. \\ \forall D' b' Ts' T' m'. P \vdash D \text{ sees } M, b': Ts' \rightarrow T' = m' \text{ in } D' \longrightarrow \\ b = b' \wedge P \vdash Ts' [\leq] Ts \wedge P \vdash T \leq T')) \wedge \\ wf\text{-}clinit\ ms \end{aligned}$$

definition $wf\text{-}syscls :: 'm\ prog \Rightarrow \text{bool}$

where

$$wf\text{-}syscls\ P \equiv \{\text{Object}\} \cup sys\text{-}xcpts \subseteq \text{set}(\text{map } fst\ P)$$

definition $wf\text{-}prog :: 'm\ wf\text{-}mdecl\ test \Rightarrow 'm\ prog \Rightarrow \text{bool}$

where

$$wf\text{-}prog\ wf\text{-}md\ P \equiv wf\text{-}syscls\ P \wedge (\forall c \in \text{set } P. wf\text{-}cdecl\ wf\text{-}md\ P\ c) \wedge \text{ distinct-fst } P$$

1.13.1 Well-formedness lemmas

lemma $class\text{-}wf$:

$$[\![\text{class } P\ C = \text{Some } c; wf\text{-}prog\ wf\text{-}md\ P]\!] \implies wf\text{-}cdecl\ wf\text{-}md\ P\ (C, c)\langle proof \rangle$$

lemma $class\text{-}Object$ [simp]:

$$wf\text{-}prog\ wf\text{-}md\ P \implies \exists C\ fs\ ms. \text{ class } P\ \text{Object} = \text{Some } (C, fs, ms)\langle proof \rangle$$

lemma $is\text{-}class\text{-}Object$ [simp]:

$$wf\text{-}prog\ wf\text{-}md\ P \implies \text{is-class } P\ \text{Object}\langle proof \rangle$$

lemma $is\text{-}class\text{-}supclass$:

assumes $wf: wf\text{-}prog\ wf\text{-}md\ P$ and $\text{sub}: P \vdash C \preceq^* D$

shows $\text{is-class } P\ C \implies \text{is-class } P\ D\langle proof \rangle$

lemma $is\text{-}class\text{-}xcept$:

$$[\![C \in sys\text{-}xcpts; wf\text{-}prog\ wf\text{-}md\ P]!] \implies \text{is-class } P\ C\langle proof \rangle$$

lemma $subcls1\text{-}wfD$:

assumes $\text{sub1}: P \vdash C \prec^1 D$ and $wf: wf\text{-}prog\ wf\text{-}md\ P$

shows $D \neq C \wedge (D, C) \notin (\text{subcls1 } P)^+\langle proof \rangle$

lemma $wf\text{-}cdecl\text{-}supD$:

$$[\![wf\text{-}cdecl\ wf\text{-}md\ P\ (C, D, r); C \neq \text{Object}]!] \implies \text{is-class } P\ D\langle proof \rangle$$

lemma $subcls\text{-}asym$:

$$[\![wf\text{-}prog\ wf\text{-}md\ P; (C, D) \in (\text{subcls1 } P)^+]!] \implies (D, C) \notin (\text{subcls1 } P)^+\langle proof \rangle$$

lemma $subcls\text{-irrefl}$:

```

 $\llbracket \text{wf-prog wf-md } P; (C,D) \in (\text{subcls1 } P)^+ \rrbracket \implies C \neq D \langle \text{proof} \rangle$ 

lemma acyclic-subcls1:
 $\text{wf-prog wf-md } P \implies \text{acyclic } (\text{subcls1 } P) \langle \text{proof} \rangle$ 

lemma wf-subcls1:
 $\text{wf-prog wf-md } P \implies \text{wf } ((\text{subcls1 } P)^{-1}) \langle \text{proof} \rangle$ 

lemma single-valued-subcls1:
 $\text{wf-prog wf-md } G \implies \text{single-valued } (\text{subcls1 } G) \langle \text{proof} \rangle$ 

lemma subcls-induct:
 $\llbracket \text{wf-prog wf-md } P; \bigwedge C. \forall D. (C,D) \in (\text{subcls1 } P)^+ \longrightarrow Q D \implies Q C \rrbracket \implies Q C \langle \text{proof} \rangle$ 

lemma subcls1-induct-aux:
assumes is-class  $P C$  and  $\text{wf: wf-prog wf-md } P$  and  $Q \text{Obj: } Q \text{ Object}$ 
shows
 $\llbracket \bigwedge C D fs ms.$ 
 $\llbracket C \neq \text{Object}; \text{is-class } P C; \text{class } P C = \text{Some } (D, fs, ms) \wedge$ 
 $\text{wf-cdecl wf-md } P (C, D, fs, ms) \wedge P \vdash C \prec^1 D \wedge \text{is-class } P D \wedge Q D \rrbracket \implies Q C \rrbracket$ 
 $\implies Q C \langle \text{proof} \rangle$ 

lemma subcls1-induct [consumes 2, case-names Object Subcls]:
 $\llbracket \text{wf-prog wf-md } P; \text{is-class } P C; Q \text{ Object};$ 
 $\bigwedge C D. \llbracket C \neq \text{Object}; P \vdash C \prec^1 D; \text{is-class } P D; Q D \rrbracket \implies Q C \rrbracket$ 
 $\implies Q C \langle \text{proof} \rangle$ 

lemma subcls-C-Object:
assumes class: is-class  $P C$  and  $\text{wf: wf-prog wf-md } P$ 
shows  $P \vdash C \preceq^* \text{Object} \langle \text{proof} \rangle$ 

lemma is-type-pTs:
assumes  $\text{wf-prog wf-md } P$  and  $(C, S, fs, ms) \in \text{set } P$  and  $(M, b, Ts, T, m) \in \text{set } ms$ 
shows  $\text{set } Ts \subseteq \text{types } P \langle \text{proof} \rangle$ 
lemma wf-supercls-distinct-app:
assumes  $\text{wf: wf-prog wf-md } P$ 
and  $nObj: C \neq \text{Object}$  and  $\text{cls: class } P C = \lfloor (D, fs, ms) \rfloor$ 
and  $\text{super: supercls-lst } P (C \# Cs)$  and  $\text{dist: distinct } (C \# Cs)$ 
shows  $\text{distinct } (D \# C \# Cs)$ 
 $\langle \text{proof} \rangle$ 

```

1.13.2 Well-formedness and method lookup

```

lemma sees-wf-mdecl:
assumes  $\text{wf: wf-prog wf-md } P$  and  $\text{sees: } P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D$ 
shows  $\text{wf-mdecl wf-md } P D (M, b, Ts, T, m) \langle \text{proof} \rangle$ 
lemma sees-method-mono [rule-format (no-asn)]:
assumes  $\text{sub: } P \vdash C' \preceq^* C$  and  $\text{wf: wf-prog wf-md } P$ 
shows  $\forall D b Ts T m. P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D \longrightarrow$ 
 $(\exists D' Ts' T' m'. P \vdash C' \text{ sees } M, b: Ts' \rightarrow T' = m' \text{ in } D' \wedge P \vdash Ts [\leq] Ts' \wedge P \vdash T' \leq T) \langle \text{proof} \rangle$ 

lemma sees-method-mono2:
 $\llbracket P \vdash C' \preceq^* C; \text{wf-prog wf-md } P;$ 
 $P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D; P \vdash C' \text{ sees } M, b': Ts' \rightarrow T' = m' \text{ in } D' \rrbracket$ 

```

$\implies b = b' \wedge P \vdash Ts \leq Ts' \wedge P \vdash T' \leq T \langle proof \rangle$

lemma mdecls-visible:

assumes wf: wf-prog wf-md P **and** class: is-class P C

shows $\bigwedge D fs ms. class P C = Some(D,fs,ms)$

$\implies \exists Mm. P \vdash C sees-methods Mm \wedge (\forall (M,b,Ts,T,m) \in set ms. Mm M = Some((b,Ts,T,m),C)) \langle proof \rangle$

lemma mdecl-visible:

assumes wf: wf-prog wf-md P **and** C: (C,S,fs,ms) \in set P **and** m: (M,b,Ts,T,m) \in set ms

shows $P \vdash C sees M,b:Ts \rightarrow T = m$ in C $\langle proof \rangle$

lemma Call-lemma:

assumes sees: $P \vdash C sees M,b:Ts \rightarrow T = m$ in D **and** sub: $P \vdash C' \preceq^* C$ **and** wf: wf-prog wf-md P

shows $\exists D'. Ts' T' m'$.

$P \vdash C' sees M,b:Ts' \rightarrow T' = m'$ in D' $\wedge P \vdash Ts \leq Ts' \wedge P \vdash T' \leq T \wedge P \vdash C' \preceq^* D'$

\wedge is-type P T' $\wedge (\forall T \in set Ts'. is-type P T) \wedge wf-md P D' (M,b,Ts',T',m') \langle proof \rangle$

lemma wf-prog-lift:

assumes wf: wf-prog ($\lambda P C bd. A P C bd$) P

and rule:

$\bigwedge wf-md C M b Ts C T m bd.$

wf-prog wf-md P \implies

$P \vdash C sees M,b:Ts \rightarrow T = m$ in C \implies

$set Ts \subseteq types P \implies$

$bd = (M,b,Ts,T,m) \implies$

$A P C bd \implies$

$B P C bd$

shows wf-prog ($\lambda P C bd. B P C bd$) P $\langle proof \rangle$

lemma wf-sees-clinit:

assumes wf: wf-prog wf-md P **and** ex: class P C = Some a

shows $\exists m. P \vdash C sees clinit,Static:[] \rightarrow Void = m$ in C

$\langle proof \rangle$

lemma wf-sees-clinit1:

assumes wf: wf-prog wf-md P **and** ex: class P C = Some a

and $P \vdash C sees clinit,b:Ts \rightarrow T = m$ in D

shows $b = Static \wedge Ts = [] \wedge T = Void \wedge D = C$

$\langle proof \rangle$

lemma wf-NonStatic-nclinit:

assumes wf: wf-prog wf-md P **and** meth: $P \vdash C sees M,NonStatic:Ts \rightarrow T = (mxs,mxl,ins,xt)$ in D

shows $M \neq clinit$

$\langle proof \rangle$

1.13.3 Well-formedness and field lookup

lemma wf-Fields-Ex:

assumes wf: wf-prog wf-md P **and** is-class P C

shows $\exists FDTs. P \vdash C has-fields FDTs \langle proof \rangle$

lemma has-fields-types:

$\llbracket P \vdash C has-fields FDTs; (FD,b,T) \in set FDTs; wf-prog wf-md P \rrbracket \implies is-type P T \langle proof \rangle$

lemma sees-field-is-type:

$\llbracket P \vdash C sees F,b:T \text{ in } D; wf-prog wf-md P \rrbracket \implies is-type P T \langle proof \rangle$

```
lemma wf-syscls:
  set SystemClasses ⊆ set P ==> wf-syscls P⟨proof⟩
```

1.13.4 Well-formedness and subclassing

```
lemma wf-subcls-nCls:
assumes wf: wf-prog wf-md P and ns: ¬ is-class P C
shows [ P ⊢ D ⊣* D'; D ≠ C ] ==> D' ≠ C
⟨proof⟩
```

```
lemma wf-subcls-nCls':
assumes wf: wf-prog wf-md P and ns: ¬ is-class P C₀
shows ⋀ cd D'. cd ∈ set P ==> ¬P ⊢ fst cd ⊣* C₀
⟨proof⟩
```

```
lemma wf-nclass-nsub:
[ wf-prog wf-md P; is-class P C; ¬is-class P C' ] ==> ¬P ⊢ C ⊣* C'
⟨proof⟩
```

```
lemma wf-sys-xcpt-nsub-Start:
assumes wf: wf-prog wf-md P and ns: ¬ is-class P Start and sx: C ∈ sys-xcpts
shows ¬P ⊢ C ⊣* Start
⟨proof⟩
```

end

1.14 Weak well-formedness of Jinja programs

```
theory WWellForm imports .. / Common / WellForm Expr begin
```

```
definition wwf-J-mdecl :: J-prog ⇒ cname ⇒ J-mb mdecl ⇒ bool
where
  wwf-J-mdecl P C ≡ λ(M,b,Ts,T,(pns,body)).
  length Ts = length pns ∧ distinct pns ∧ ¬sub-RI body ∧
  (case b of
    NonStatic ⇒ this ∉ set pns ∧ fv body ⊆ {this} ∪ set pns
  | Static ⇒ fv body ⊆ set pns)
```

```
lemma wwf-J-mdecl-NonStatic[simp]:
  wwf-J-mdecl P C (M,NonStatic,Ts,T,pns,body) =
  (length Ts = length pns ∧ distinct pns ∧ ¬sub-RI body ∧ this ∉ set pns ∧ fv body ⊆ {this} ∪ set pns)⟨proof⟩
lemma wwf-J-mdecl-Static[simp]:
  wwf-J-mdecl P C (M,Static,Ts,T,pns,body) =
  (length Ts = length pns ∧ distinct pns ∧ ¬sub-RI body ∧ fv body ⊆ set pns)⟨proof⟩
```

```
abbreviation
  wwf-J-prog :: J-prog ⇒ bool where
  wwf-J-prog ≡ wf-prog wwf-J-mdecl
```

```
lemma sees-wwf-nsub-RI:
[ wwf-J-prog P; P ⊢ C sees M,b : Ts→T = (pns, body) in D ] ==> ¬sub-RI body⟨proof⟩
end
```

1.15 Big Step Semantics

theory BigStep imports Expr State WWellForm **begin**

inductive

eval :: J-prog \Rightarrow expr \Rightarrow state \Rightarrow expr \Rightarrow state \Rightarrow bool
 $(\langle \cdot \vdash ((1\langle \cdot, \cdot \rangle) \Rightarrow / (1\langle \cdot, \cdot \rangle)) \rangle [51, 0, 0, 0, 0] 81)$
and *evals* :: J-prog \Rightarrow expr list \Rightarrow state \Rightarrow expr list \Rightarrow state \Rightarrow bool
 $(\langle \cdot \vdash ((1\langle \cdot, \cdot \rangle) [\Rightarrow] / (1\langle \cdot, \cdot \rangle)) \rangle [51, 0, 0, 0, 0] 81)$
for *P* :: J-prog

where

New:

$\llbracket sh C = Some(sfs, Done); new-Addr h = Some a;$
 $P \vdash C \text{ has-fields } FDTs; h' = h(a \rightarrow \text{blank } P C) \rrbracket$
 $\implies P \vdash \langle new C, (h, l, sh) \rangle \Rightarrow \langle addr a, (h', l, sh) \rangle$

| *NewFail*:

$\llbracket sh C = Some(sfs, Done); new-Addr h = None; is-class P C \rrbracket \implies$
 $P \vdash \langle new C, (h, l, sh) \rangle \Rightarrow \langle \text{THROW OutOfMemory}, (h, l, sh) \rangle$

| *NewInit*:

$\llbracket \nexists sfs. sh C = Some(sfs, Done); P \vdash \langle \text{INIT } C ([C], False) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle Val v', (h', l', sh') \rangle;$
 $new-Addr h' = Some a; P \vdash C \text{ has-fields } FDTs; h'' = h'(a \rightarrow \text{blank } P C) \rrbracket$
 $\implies P \vdash \langle new C, (h, l, sh) \rangle \Rightarrow \langle addr a, (h'', l', sh') \rangle$

| *NewInitOOM*:

$\llbracket \nexists sfs. sh C = Some(sfs, Done); P \vdash \langle \text{INIT } C ([C], False) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle Val v', (h', l', sh') \rangle;$
 $new-Addr h' = None; is-class P C \rrbracket$
 $\implies P \vdash \langle new C, (h, l, sh) \rangle \Rightarrow \langle \text{THROW OutOfMemory}, (h', l', sh') \rangle$

| *NewInitThrow*:

$\llbracket \nexists sfs. sh C = Some(sfs, Done); P \vdash \langle \text{INIT } C ([C], False) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle;$
 $is-class P C \rrbracket$
 $\implies P \vdash \langle new C, (h, l, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

| *Cast*:

$\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle addr a, (h, l, sh) \rangle; h a = Some(D, fs); P \vdash D \preceq^* C \rrbracket$
 $\implies P \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle addr a, (h, l, sh) \rangle$

| *CastNull*:

$P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies$
 $P \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle$

| *CastFail*:

$\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle addr a, (h, l, sh) \rangle; h a = Some(D, fs); \neg P \vdash D \preceq^* C \rrbracket$
 $\implies P \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{THROW ClassCast}, (h, l, sh) \rangle$

| *CastThrow*:

$P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$
 $P \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| *Val*:

$P \vdash \langle Val v, s \rangle \Rightarrow \langle Val v, s \rangle$

- | *BinOp*:

$$\begin{aligned} & \llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v_2, s_2 \rangle; \text{binop}(bop, v_1, v_2) = \text{Some } v \rrbracket \\ \implies & P \vdash \langle e_1 \llcorner bop \lrcorner e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle \end{aligned}$$
- | *BinOpThrow1*:

$$\begin{aligned} & P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \implies \\ & P \vdash \langle e_1 \llcorner bop \lrcorner e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \end{aligned}$$
- | *BinOpThrow2*:

$$\begin{aligned} & \llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle \rrbracket \\ \implies & P \vdash \langle e_1 \llcorner bop \lrcorner e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle \end{aligned}$$
- | *Var*:

$$\begin{aligned} & l \ V = \text{Some } v \implies \\ & P \vdash \langle \text{Var } V, (h, l, sh) \rangle \Rightarrow \langle \text{Val } v, (h, l, sh) \rangle \end{aligned}$$
- | *LAss*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l, sh) \rangle; l' = l(V \mapsto v) \rrbracket \\ \implies & P \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{unit}, (h, l', sh) \rangle \end{aligned}$$
- | *LAssThrow*:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | *FAcc*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, l, sh) \rangle; h \ a = \text{Some}(C, fs); \\ & \quad P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D; \\ & \quad fs(F, D) = \text{Some } v \rrbracket \\ \implies & P \vdash \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l, sh) \rangle \end{aligned}$$
- | *FAccNull*:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\ & P \vdash \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle \end{aligned}$$
- | *FAccThrow*:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | *FAccNone*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, l, sh) \rangle; h \ a = \text{Some}(C, fs); \\ & \quad \neg(\exists b \ t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket \\ \implies & P \vdash \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW NoSuchFieldError}, (h, l, sh) \rangle \end{aligned}$$
- | *FAccStatic*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, l, sh) \rangle; h \ a = \text{Some}(C, fs); \\ & \quad P \vdash C \text{ has } F, \text{Static}:t \text{ in } D \rrbracket \\ \implies & P \vdash \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h, l, sh) \rangle \end{aligned}$$
- | *SFAcc*:

$$\begin{aligned} & \llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \\ & \quad sh \ D = \text{Some } (sfs, \text{Done}); \\ & \quad sfs \ F = \text{Some } v \rrbracket \\ \implies & P \vdash \langle C \cdot_s F\{D\}, (h, l, sh) \rangle \Rightarrow \langle \text{Val } v, (h, l, sh) \rangle \end{aligned}$$

- | *SFAccInit:*
 - $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \#sfs. sh D = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle \text{Val } v', (h', l', sh') \rangle; sh' D = \text{Some}(sfs, i); sfs F = \text{Some } v \rrbracket$
 - $\Rightarrow P \vdash \langle C \cdot_s F\{D\}, (h, l, sh) \rangle \Rightarrow \langle \text{Val } v, (h', l', sh') \rangle$
- | *SFAccInitThrow:*
 - $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \#sfs. sh D = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle \rrbracket$
 - $\Rightarrow P \vdash \langle C \cdot_s F\{D\}, (h, l, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle$
- | *SFAccNone:*
 - $\llbracket \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 - $\Rightarrow P \vdash \langle C \cdot_s F\{D\}, s \rangle \Rightarrow \langle \text{THROW } \text{NoSuchFieldError}, s \rangle$
- | *SFAccNonStatic:*
 - $\llbracket P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D \rrbracket$
 - $\Rightarrow P \vdash \langle C \cdot_s F\{D\}, s \rangle \Rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, s \rangle$
- | *FAss:*
 - $\llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D; fs' = fs((F, D) \mapsto v); h_2' = h_2(a \mapsto (C, fs')) \rrbracket$
 - $\Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h_2', l_2, sh_2) \rangle$
- | *FAssNull:*
 - $\llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle \rrbracket \Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW } \text{NullPointer}, s_2 \rangle$
- | *FAssThrow1:*
 - $P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$
- | *FAssThrow2:*
 - $\llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket \Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$
- | *FAssNone:*
 - $\llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 - $\Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW } \text{NoSuchFieldError}, (h_2, l_2, sh_2) \rangle$
- | *FAssStatic:*
 - $\llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); P \vdash C \text{ has } F, \text{Static}:t \text{ in } D \rrbracket$
 - $\Rightarrow P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h_2, l_2, sh_2) \rangle$
- | *SFAss:*
 - $\llbracket P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle; P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; sh_1 D = \text{Some}(sfs, \text{Done}); sfs' = sfs(F \mapsto v); sh_1' = sh_1(D \mapsto (sfs', \text{Done})) \rrbracket$
 - $\Rightarrow P \vdash \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h_1, l_1, sh_1') \rangle$

- | *SFAssInit:*
 - $\llbracket P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle; P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \nexists sfs. sh_1 D = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h_1, l_1, sh_1) \rangle \Rightarrow \langle \text{Val } v', (h', l', sh') \rangle; sh' D = \text{Some}(sfs, i); sfs' = sfs(F \mapsto v); sh'' = sh'(D \mapsto (sfs', i)) \rrbracket$
 - $\implies P \vdash \langle C \cdot_s F \{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h', l', sh'') \rangle$
- | *SFAssInitThrow:*
 - $\llbracket P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle; P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \nexists sfs. sh_1 D = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h_1, l_1, sh_1) \rangle \Rightarrow \langle \text{throw } a, s' \rangle \rrbracket$
 - $\implies P \vdash \langle C \cdot_s F \{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } a, s' \rangle$
- | *SFAssThrow:*
 - $P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$
 - $\implies P \vdash \langle C \cdot_s F \{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$
- | *SFAssNone:*
 - $\llbracket P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 - $\implies P \vdash \langle C \cdot_s F \{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW } \text{NoSuchFieldError}, (h_2, l_2, sh_2) \rangle$
- | *SFAssNonStatic:*
 - $\llbracket P \vdash \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D \rrbracket$
 - $\implies P \vdash \langle C \cdot_s F \{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h_2, l_2, sh_2) \rangle$
- | *CallObjThrow:*
 - $P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$
 - $P \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$
- | *CallParamsThrow:*
 - $\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P \vdash \langle es, s_1 \rangle [\Rightarrow] \langle \text{map } \text{Val } vs @ \text{throw } ex \# es', s_2 \rangle \rrbracket$
 - $\implies P \vdash \langle e \cdot M(es), s_0 \rangle \Rightarrow \langle \text{throw } ex, s_2 \rangle$
- | *CallNull:*
 - $\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map } \text{Val } vs, s_2 \rangle \rrbracket$
 - $\implies P \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{THROW } \text{NullPointer}, s_2 \rangle$
- | *CallNone:*
 - $\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map } \text{Val } vs, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); \neg(\exists b T s T m D. P \vdash C \text{ sees } M, b:T \rightarrow T = m \text{ in } D) \rrbracket$
 - $\implies P \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{THROW } \text{NoSuchMethodError}, (h_2, l_2, sh_2) \rangle$
- | *CallStatic:*
 - $\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map } \text{Val } vs, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{Static}:T \rightarrow T = m \text{ in } D \rrbracket$
 - $\implies P \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h_2, l_2, sh_2) \rangle$
- | *Call:*
 - $\llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map } \text{Val } vs, (h_2, l_2, sh_2) \rangle; h_2 a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{NonStatic}:T \rightarrow T = (pns, body) \text{ in } D; \dots \rrbracket$

- $length\ vs = length\ pns;\ l_2' = [this \mapsto Addr\ a,\ pns[\mapsto]vs];$
 $P \vdash \langle body,(h_2,l_2',sh_2) \rangle \Rightarrow \langle e',(h_3,l_3,sh_3) \rangle \]$
 $\implies P \vdash \langle e.M(ps),s_0 \rangle \Rightarrow \langle e',(h_3,l_2,sh_3) \rangle$
- | *SCallParamsThrow*:
 $\llbracket P \vdash \langle es,s_0 \rangle \Rightarrow \langle map\ Val\ vs @ throw\ ex \# es',s_2 \rangle \]$
 $\implies P \vdash \langle C \cdot_s M(es),s_0 \rangle \Rightarrow \langle throw\ ex,s_2 \rangle$
- | *SCallNone*:
 $\llbracket P \vdash \langle ps,s_0 \rangle \Rightarrow \langle map\ Val\ vs,s_2 \rangle;$
 $\neg(\exists b\ Ts\ T\ m\ D.\ P \vdash C\ sees\ M,b:Ts \rightarrow T = m\ in\ D) \]$
 $\implies P \vdash \langle C \cdot_s M(ps),s_0 \rangle \Rightarrow \langle \text{THROW}\ \text{NoSuchMethodError},s_2 \rangle$
- | *SCallNonStatic*:
 $\llbracket P \vdash \langle ps,s_0 \rangle \Rightarrow \langle map\ Val\ vs,s_2 \rangle;$
 $P \vdash C\ sees\ M,\text{NonStatic}:Ts \rightarrow T = m\ in\ D \]$
 $\implies P \vdash \langle C \cdot_s M(ps),s_0 \rangle \Rightarrow \langle \text{THROW}\ \text{IncompatibleClassChangeError},s_2 \rangle$
- | *SCallInitThrow*:
 $\llbracket P \vdash \langle ps,s_0 \rangle \Rightarrow \langle map\ Val\ vs,(h_1,l_1,sh_1) \rangle;$
 $P \vdash C\ sees\ M,\text{Static}:Ts \rightarrow T = (pns,body)\ in\ D;$
 $\nexists sfs.\ sh_1\ D = \text{Some}(sfs,Done);\ M \neq \text{clinit};$
 $P \vdash \langle \text{INIT}\ D\ ([D],\text{False}) \leftarrow \text{unit},(h_1,l_1,sh_1) \rangle \Rightarrow \langle \text{throw}\ a,s' \rangle \]$
 $\implies P \vdash \langle C \cdot_s M(ps),s_0 \rangle \Rightarrow \langle \text{throw}\ a,s' \rangle$
- | *SCallInit*:
 $\llbracket P \vdash \langle ps,s_0 \rangle \Rightarrow \langle map\ Val\ vs,(h_1,l_1,sh_1) \rangle;$
 $P \vdash C\ sees\ M,\text{Static}:Ts \rightarrow T = (pns,body)\ in\ D;$
 $\nexists sfs.\ sh_1\ D = \text{Some}(sfs,Done);\ M \neq \text{clinit};$
 $P \vdash \langle \text{INIT}\ D\ ([D],\text{False}) \leftarrow \text{unit},(h_1,l_1,sh_1) \rangle \Rightarrow \langle \text{Val}\ v',(h_2,l_2,sh_2) \rangle;$
 $length\ vs = length\ pns;\ l_2' = [pns[\mapsto]vs];$
 $P \vdash \langle body,(h_2,l_2',sh_2) \rangle \Rightarrow \langle e',(h_3,l_3,sh_3) \rangle \]$
 $\implies P \vdash \langle C \cdot_s M(ps),s_0 \rangle \Rightarrow \langle e',(h_3,l_2,sh_3) \rangle$
- | *SCall*:
 $\llbracket P \vdash \langle ps,s_0 \rangle \Rightarrow \langle map\ Val\ vs,(h_2,l_2,sh_2) \rangle;$
 $P \vdash C\ sees\ M,\text{Static}:Ts \rightarrow T = (pns,body)\ in\ D;$
 $sh_2\ D = \text{Some}(sfs,Done) \vee (M = \text{clinit} \wedge sh_2\ D = \text{Some}(sfs,\text{Processing}));$
 $length\ vs = length\ pns;\ l_2' = [pns[\mapsto]vs];$
 $P \vdash \langle body,(h_2,l_2',sh_2) \rangle \Rightarrow \langle e',(h_3,l_3,sh_3) \rangle \]$
 $\implies P \vdash \langle C \cdot_s M(ps),s_0 \rangle \Rightarrow \langle e',(h_3,l_2,sh_3) \rangle$
- | *Block*:
 $P \vdash \langle e_0,(h_0,l_0(V:=\text{None}),sh_0) \rangle \Rightarrow \langle e_1,(h_1,l_1,sh_1) \rangle \implies$
 $P \vdash \langle \{V:T;\ e_0\},(h_0,l_0,sh_0) \rangle \Rightarrow \langle e_1,(h_1,l_1(V:=l_0\ V),sh_1) \rangle$
- | *Seq*:
 $\llbracket P \vdash \langle e_0,s_0 \rangle \Rightarrow \langle \text{Val}\ v,s_1 \rangle; P \vdash \langle e_1,s_1 \rangle \Rightarrow \langle e_2,s_2 \rangle \]$
 $\implies P \vdash \langle e_0;;e_1,s_0 \rangle \Rightarrow \langle e_2,s_2 \rangle$
- | *SeqThrow*:
 $P \vdash \langle e_0,s_0 \rangle \Rightarrow \langle \text{throw}\ e,s_1 \rangle \implies$
 $P \vdash \langle e_0;;e_1,s_0 \rangle \Rightarrow \langle \text{throw}\ e,s_1 \rangle$

- | CondT:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P \vdash \langle e_1, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \implies P \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$
- | CondF:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{false}, s_1 \rangle; P \vdash \langle e_2, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \implies P \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$
- | CondThrow:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | WhileF:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{false}, s_1 \rangle \implies \\ & P \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow \langle \text{unit}, s_1 \rangle \end{aligned}$$
- | WhileT:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P \vdash \langle c, s_1 \rangle \Rightarrow \langle \text{Val } v_1, s_2 \rangle; P \vdash \langle \text{while } (e) \ c, s_2 \rangle \Rightarrow \langle e_3, s_3 \rangle \rrbracket \\ & \implies P \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow \langle e_3, s_3 \rangle \end{aligned}$$
- | WhileCondThrow:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | WhileBodyThrow:

$$\begin{aligned} & \llbracket P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P \vdash \langle c, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket \\ & \implies P \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \end{aligned}$$
- | Throw:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle \implies \\ & P \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{Throw } a, s_1 \rangle \end{aligned}$$
- | ThrowNull:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\ & P \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle \end{aligned}$$
- | ThrowThrow:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | Try:

$$\begin{aligned} & P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle \implies \\ & P \vdash \langle \text{try } e_1 \ \text{catch}(C \ V) \ e_2, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle \end{aligned}$$
- | TryCatch:

$$\begin{aligned} & \llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Throw } a, (h_1, l_1, sh_1) \rangle; \ h_1 \ a = \text{Some}(D, fs); \ P \vdash D \preceq^* C; \\ & \quad P \vdash \langle e_2, (h_1, l_1(V \mapsto \text{Addr } a), sh_1) \rangle \Rightarrow \langle e_2', (h_2, l_2, sh_2) \rangle \rrbracket \\ & \implies P \vdash \langle \text{try } e_1 \ \text{catch}(C \ V) \ e_2, s_0 \rangle \Rightarrow \langle e_2', (h_2, l_2(V := l_1 \ V), sh_2) \rangle \end{aligned}$$
- | TryThrow:

$$\begin{aligned} & \llbracket P \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Throw } a, (h_1, l_1, sh_1) \rangle; \ h_1 \ a = \text{Some}(D, fs); \ \neg P \vdash D \preceq^* C \rrbracket \\ & \implies P \vdash \langle \text{try } e_1 \ \text{catch}(C \ V) \ e_2, s_0 \rangle \Rightarrow \langle \text{Throw } a, (h_1, l_1, sh_1) \rangle \end{aligned}$$
- | Nil:

$P \vdash \langle[], s\rangle \Rightarrow \langle[], s\rangle$

| Cons:

$$\begin{aligned} & [\![P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P \vdash \langle es, s_1 \rangle \Rightarrow \langle es', s_2 \rangle]\!] \\ & \implies P \vdash \langle e \# es, s_0 \rangle \Rightarrow \langle \text{Val } v \# es', s_2 \rangle \end{aligned}$$

| ConsThrow:

$$\begin{aligned} & P \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P \vdash \langle e \# es, s_0 \rangle \Rightarrow \langle \text{throw } e' \# es, s_1 \rangle \end{aligned}$$

— init rules

| InitFinal:

$$P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \implies P \vdash \langle \text{INIT } C \ (\text{Nil}, b) \leftarrow e, s \rangle \Rightarrow \langle e', s' \rangle$$

| InitNone:

$$\begin{aligned} & [\![sh \ C = \text{None}; P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh(C \mapsto (\text{sblank } P \ C, \text{ Prepared}))) \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| InitDone:

$$\begin{aligned} & [\![sh \ C = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| InitProcessing:

$$\begin{aligned} & [\![sh \ C = \text{Some}(sfs, \text{Processing}); P \vdash \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

— note that RI will mark all classes in the list Cs with the Error flag

| InitError:

$$\begin{aligned} & [\![sh \ C = \text{Some}(sfs, \text{Error}); \\ & \quad P \vdash \langle \text{RI } (C, \text{THROW NoClassDefFoundError}); Cs \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| InitObject:

$$\begin{aligned} & [\![sh \ C = \text{Some}(sfs, \text{Prepared}); \\ & \quad C = \text{Object}; \\ & \quad sh' = sh(C \mapsto (sfs, \text{Processing})); \\ & \quad P \vdash \langle \text{INIT } C' (C \# Cs, \text{True}) \leftarrow e, (h, l, sh') \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| InitNonObject:

$$\begin{aligned} & [\![sh \ C = \text{Some}(sfs, \text{Prepared}); \\ & \quad C \neq \text{Object}; \\ & \quad \text{class } P \ C = \text{Some } (D, r); \\ & \quad sh' = sh(C \mapsto (sfs, \text{Processing})); \\ & \quad P \vdash \langle \text{INIT } C' (D \# C \# Cs, \text{False}) \leftarrow e, (h, l, sh') \rangle \Rightarrow \langle e', s' \rangle]\!] \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| InitRInit:

$$\begin{aligned} & P \vdash \langle \text{RI } (C, C \cdot_s \text{clinit}([])); Cs \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \\ & \implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{True}) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \end{aligned}$$

| RInit:

$$[\![P \vdash \langle e', s \rangle \Rightarrow \langle \text{Val } v, (h', l', sh') \rangle;]\!]$$

```

 $sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Done));$ 
 $C' = last(C \# Cs);$ 
 $P \vdash \langle INIT C' (Cs, True) \leftarrow e, (h', l', sh'') \rangle \Rightarrow \langle e_1, s_1 \rangle \llbracket$ 
 $\Rightarrow P \vdash \langle RI (C, e'); Cs \leftarrow e, s \rangle \Rightarrow \langle e_1, s_1 \rangle$ 

| RInitInitFail:
 $\llbracket P \vdash \langle e', s \rangle \Rightarrow \langle throw a, (h', l', sh') \rangle;$ 
 $sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Error));$ 
 $P \vdash \langle RI (D, throw a); Cs \leftarrow e, (h', l', sh'') \rangle \Rightarrow \langle e_1, s_1 \rangle \llbracket$ 
 $\Rightarrow P \vdash \langle RI (C, e'); D \# Cs \leftarrow e, s \rangle \Rightarrow \langle e_1, s_1 \rangle$ 

| RInitFailFinal:
 $\llbracket P \vdash \langle e', s \rangle \Rightarrow \langle throw a, (h', l', sh') \rangle;$ 
 $sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Error)) \llbracket$ 
 $\Rightarrow P \vdash \langle RI (C, e'); Nil \leftarrow e, s \rangle \Rightarrow \langle throw a, (h', l', sh'') \rangle$ 

```

1.15.1 Final expressions

lemma eval-final: $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \Rightarrow final e'$
and evals-final: $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \Rightarrow finals es' \langle proof \rangle$

Only used later, in the small to big translation, but is already a good sanity check:

```

lemma eval-finalId:  $final e \Rightarrow P \vdash \langle e, s \rangle \Rightarrow \langle e, s \rangle \langle proof \rangle$ 
lemma eval-final-same:  $\llbracket P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle; final e \rrbracket \Rightarrow e = e' \wedge s = s' \langle proof \rangle$ 
lemma eval-finalsId:
assumes finals:  $finals es$  shows  $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \langle proof \rangle$ 
lemma evals-finals-same:
assumes finals:  $finals es$ 
shows  $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \langle proof \rangle \Rightarrow es = es' \wedge s = s'$ 

```

1.15.2 Property preservation

lemma evals-length: $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \Rightarrow length es = length es' \langle proof \rangle$

corollary evals-empty: $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \Rightarrow (es = []) = (es' = []) \langle proof \rangle$

theorem eval-hext: $P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \Rightarrow h \sqsubseteq h'$
and evals-hext: $P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \Rightarrow h \sqsubseteq h' \langle proof \rangle$

lemma eval-lcl-incr: $P \vdash \langle e, (h_0, l_0, sh_0) \rangle \Rightarrow \langle e', (h_1, l_1, sh_1) \rangle \Rightarrow dom l_0 \subseteq dom l_1$
and evals-lcl-incr: $P \vdash \langle es, (h_0, l_0, sh_0) \rangle \Rightarrow \langle es', (h_1, l_1, sh_1) \rangle \Rightarrow dom l_0 \subseteq dom l_1 \langle proof \rangle$

lemma
shows init-ri-same-loc: $P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$
 $\Rightarrow (\bigwedge C Cs b M a. e = INIT C (Cs, b) \leftarrow unit \vee e = C \cdot_s M([]) \vee e = RI (C, Throw a) ; Cs \leftarrow unit$
 $\vee e = RI (C, C \cdot_s clinit([])) ; Cs \leftarrow unit$
 $\Rightarrow l = l')$
and $P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \Rightarrow True$

$\langle proof \rangle$

lemma *init-same-loc*: $P \vdash \langle \text{INIT } C (Cs, b) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \implies l = l'$
 $\langle proof \rangle$

lemma assumes *wf*: *wwf-J-prog P*
shows eval-proc-pres': $P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$
 $\implies \text{not-init } C e \implies \exists sfs. sh C = \lfloor (sfs, \text{Processing}) \rfloor \implies \exists sfs'. sh' C = \lfloor (sfs', \text{Processing}) \rfloor$
and evals-proc-pres': $P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle$
 $\implies \text{not-inits } C es \implies \exists sfs. sh C = \lfloor (sfs, \text{Processing}) \rfloor \implies \exists sfs'. sh' C = \lfloor (sfs', \text{Processing}) \rfloor \langle proof \rangle \langle proof \rangle$

1.16 Definite assignment

theory *DefAss imports BigStep begin*

1.16.1 Hypersets

type-synonym *'a hyperset = 'a set option*

definition *hyperUn* :: *'a hyperset \Rightarrow 'a hyperset \Rightarrow 'a hyperset* (infixl \sqcup 65)
where

$$A \sqcup B \equiv \begin{cases} \text{case } A \text{ of } \text{None} \Rightarrow \text{None} \\ | \lfloor A \rfloor \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow \text{None} | \lfloor B \rfloor \Rightarrow \lfloor A \cup B \rfloor) \end{cases}$$

definition *hyperInt* :: *'a hyperset \Rightarrow 'a hyperset \Rightarrow 'a hyperset* (infixl \sqcap 70)
where

$$A \sqcap B \equiv \begin{cases} \text{case } A \text{ of } \text{None} \Rightarrow B \\ | \lfloor A \rfloor \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow \lfloor A \rfloor | \lfloor B \rfloor \Rightarrow \lfloor A \cap B \rfloor) \end{cases}$$

definition *hyperDiff1* :: *'a hyperset \Rightarrow 'a \Rightarrow 'a hyperset* (infixl \ominus 65)
where

$$A \ominus a \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{None} | \lfloor A \rfloor \Rightarrow \lfloor A - \{a\} \rfloor$$

definition *hyper-isin* :: *'a \Rightarrow 'a hyperset \Rightarrow bool* (infix \in 50)
where

$$a \in A \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{True} | \lfloor A \rfloor \Rightarrow a \in \lfloor A \rfloor$$

definition *hyper-subset* :: *'a hyperset \Rightarrow 'a hyperset \Rightarrow bool* (infix \sqsubseteq 50)
where

$$A \sqsubseteq B \equiv \begin{cases} \text{case } B \text{ of } \text{None} \Rightarrow \text{True} \\ | \lfloor B \rfloor \Rightarrow (\text{case } A \text{ of } \text{None} \Rightarrow \text{False} | \lfloor A \rfloor \Rightarrow A \subseteq B) \end{cases}$$

lemmas *hyperset-defs* =
hyperUn-def *hyperInt-def* *hyperDiff1-def* *hyper-isin-def* *hyper-subset-def*

lemma [simp]: $\lfloor \{\} \rfloor \sqcup A = A \wedge A \sqcup \lfloor \{\} \rfloor = A \langle proof \rangle$
lemma [simp]: $\lfloor A \rfloor \sqcup \lfloor B \rfloor = \lfloor A \cup B \rfloor \wedge \lfloor A \rfloor \ominus a = \lfloor A - \{a\} \rfloor \langle proof \rangle$
lemma [simp]: *None* $\sqcup A = \text{None} \wedge A \sqcup \text{None} = \text{None} \langle proof \rangle$
lemma [simp]: $a \in \text{None} \wedge \text{None} \ominus a = \text{None} \langle proof \rangle$
lemma *hyper-isin-union*: $x \in \lfloor A \rfloor \implies x \in \lfloor A \rfloor \sqcup B$
 $\langle proof \rangle$

```

lemma hyperUn-assoc:  $(A \sqcup B) \sqcup C = A \sqcup (B \sqcup C)$ ⟨proof⟩
lemma hyper-insert-comm:  $A \sqcup [\{a\}] = [\{a\}] \sqcup A \wedge A \sqcup ([\{a\}] \sqcup B) = [\{a\}] \sqcup (A \sqcup B)$ ⟨proof⟩
lemma hyper-comm:  $A \sqcup B = B \sqcup A \wedge A \sqcup B \sqcup C = B \sqcup A \sqcup C$ ⟨proof⟩

```

1.16.2 Definite assignment

primrec

```

 $\mathcal{A} :: 'a exp \Rightarrow 'a hyperset$ 
and  $\mathcal{As} :: 'a exp list \Rightarrow 'a hyperset$ 
where
 $\mathcal{A} (\text{new } C) = [\{\})$ 
|  $\mathcal{A} (\text{Cast } C e) = \mathcal{A} e$ 
|  $\mathcal{A} (\text{Val } v) = [\{\})$ 
|  $\mathcal{A} (e_1 \llcorner \text{bop} \lrcorner e_2) = \mathcal{A} e_1 \sqcup \mathcal{A} e_2$ 
|  $\mathcal{A} (\text{Var } V) = [\{\})$ 
|  $\mathcal{A} (\text{LAss } V e) = [\{V\}] \sqcup \mathcal{A} e$ 
|  $\mathcal{A} (e \cdot F\{D\}) = \mathcal{A} e$ 
|  $\mathcal{A} (C \cdot_s F\{D\}) = [\{\})$ 
|  $\mathcal{A} (e_1 \cdot F\{D\} := e_2) = \mathcal{A} e_1 \sqcup \mathcal{A} e_2$ 
|  $\mathcal{A} (C \cdot_s F\{D\} := e_2) = \mathcal{A} e_2$ 
|  $\mathcal{A} (e \cdot M(es)) = \mathcal{A} e \sqcup \mathcal{As} es$ 
|  $\mathcal{A} (C \cdot_s M(es)) = \mathcal{As} es$ 
|  $\mathcal{A} (\{V:T; e\}) = \mathcal{A} e \ominus V$ 
|  $\mathcal{A} (e_1;; e_2) = \mathcal{A} e_1 \sqcup \mathcal{A} e_2$ 
|  $\mathcal{A} (\text{if } (e) e_1 \text{ else } e_2) = \mathcal{A} e \sqcup (\mathcal{A} e_1 \sqcap \mathcal{A} e_2)$ 
|  $\mathcal{A} (\text{while } (b) e) = \mathcal{A} b$ 
|  $\mathcal{A} (\text{throw } e) = \text{None}$ 
|  $\mathcal{A} (\text{try } e_1 \text{ catch}(C V) e_2) = \mathcal{A} e_1 \sqcap (\mathcal{A} e_2 \ominus V)$ 
|  $\mathcal{A} (\text{INIT } C (Cs, b) \leftarrow e) = [\{\})$ 
|  $\mathcal{A} (\text{RI } (C, e); Cs \leftarrow e') = \mathcal{A} e$ 

|  $\mathcal{As} ([])) = [\{\})$ 
|  $\mathcal{As} (e \# es) = \mathcal{A} e \sqcup \mathcal{As} es$ 

```

primrec

```

 $\mathcal{D} :: 'a exp \Rightarrow 'a hyperset \Rightarrow \text{bool}$ 
and  $\mathcal{Ds} :: 'a exp list \Rightarrow 'a hyperset \Rightarrow \text{bool}$ 
where
 $\mathcal{D} (\text{new } C) A = \text{True}$ 
|  $\mathcal{D} (\text{Cast } C e) A = \mathcal{D} e A$ 
|  $\mathcal{D} (\text{Val } v) A = \text{True}$ 
|  $\mathcal{D} (e_1 \llcorner \text{bop} \lrcorner e_2) A = (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1))$ 
|  $\mathcal{D} (\text{Var } V) A = (V \in \in A)$ 
|  $\mathcal{D} (\text{LAss } V e) A = \mathcal{D} e A$ 
|  $\mathcal{D} (e \cdot F\{D\}) A = \mathcal{D} e A$ 
|  $\mathcal{D} (C \cdot_s F\{D\}) A = \text{True}$ 
|  $\mathcal{D} (e_1 \cdot F\{D\} := e_2) A = (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1))$ 
|  $\mathcal{D} (C \cdot_s F\{D\} := e_2) A = \mathcal{D} e_2 A$ 
|  $\mathcal{D} (e \cdot M(es)) A = (\mathcal{D} e A \wedge \mathcal{Ds} es (A \sqcup \mathcal{A} e))$ 
|  $\mathcal{D} (C \cdot_s M(es)) A = \mathcal{Ds} es A$ 
|  $\mathcal{D} (\{V:T; e\}) A = \mathcal{D} e (A \ominus V)$ 
|  $\mathcal{D} (e_1;; e_2) A = (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1))$ 
|  $\mathcal{D} (\text{if } (e) e_1 \text{ else } e_2) A =$ 
 $(\mathcal{D} e A \wedge \mathcal{D} e_1 (A \sqcup \mathcal{A} e) \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e))$ 

```

```

|  $\mathcal{D} (\text{while } (e) c) A = (\mathcal{D} e A \wedge \mathcal{D} c (A \sqcup \mathcal{A} e))$ 
|  $\mathcal{D} (\text{throw } e) A = \mathcal{D} e A$ 
|  $\mathcal{D} (\text{try } e_1 \text{ catch}(C V) e_2) A = (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup [\{V\}]))$ 
|  $\mathcal{D} (\text{INIT } C (Cs,b) \leftarrow e) A = \mathcal{D} e A$ 
|  $\mathcal{D} (\text{RI } (C,e); Cs \leftarrow e') A = (\mathcal{D} e A \wedge \mathcal{D} e' A)$ 

|  $\mathcal{D}s ([] A = \text{True}$ 
|  $\mathcal{D}s (e \# es) A = (\mathcal{D} e A \wedge \mathcal{D}s es (A \sqcup \mathcal{A} e))$ 

lemma As-map-Val[simp]:  $\mathcal{A}s (\text{map Val vs}) = [\{\}] \langle \text{proof} \rangle$ 
lemma D-append[iff]:  $\bigwedge A. \mathcal{D}s (es @ es') A = (\mathcal{D}s es A \wedge \mathcal{D}s es' (A \sqcup \mathcal{A}s es)) \langle \text{proof} \rangle$ 

lemma A-fv:  $\bigwedge A. \mathcal{A} e = [A] \implies A \subseteq fv e$ 
and  $\bigwedge A. \mathcal{A}s es = [A] \implies A \subseteq fvs es \langle \text{proof} \rangle$ 

lemma sqUn-lem:  $A \sqsubseteq A' \implies A \sqcup B \sqsubseteq A' \sqcup B \langle \text{proof} \rangle$ 
lemma diff-lem:  $A \sqsubseteq A' \implies A \ominus b \sqsubseteq A' \ominus b \langle \text{proof} \rangle$ 

lemma D-mono:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D} e A \implies \mathcal{D} (e::'a \text{ exp}) A'$ 
and Ds-mono:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D}s es A \implies \mathcal{D}s (es::'a \text{ exp list}) A' \langle \text{proof} \rangle$ 

lemma D-mono':  $\mathcal{D} e A \implies A \sqsubseteq A' \implies \mathcal{D} e A'$ 
and Ds-mono':  $\mathcal{D}s es A \implies A \sqsubseteq A' \implies \mathcal{D}s es A' \langle \text{proof} \rangle$ 

lemma Ds-Vals:  $\mathcal{D}s (\text{map Val vs}) A \langle \text{proof} \rangle$ 

end

```

1.17 Conformance Relations for Type Soundness Proofs

```

theory Conform
imports Exceptions
begin

```

```

definition conf :: ' $m \text{ prog} \Rightarrow \text{heap} \Rightarrow \text{val} \Rightarrow \text{ty} \Rightarrow \text{bool}$ ' ( $\langle \cdot, \cdot \vdash \cdot : \leq \rightarrow [51, 51, 51, 51] \rangle$ ) 50)
where
 $P, h \vdash v : \leq T \equiv$ 
 $\exists T'. \text{typeof}_h v = \text{Some } T' \wedge P \vdash T' \leq T$ 

```

```

definition oconf :: ' $m \text{ prog} \Rightarrow \text{heap} \Rightarrow \text{obj} \Rightarrow \text{bool}$ ' ( $\langle \cdot, \cdot \vdash \cdot \vee \rangle$ ) [51, 51, 51] 50)
where
 $P, h \vdash obj \vee \equiv$ 
 $\text{let } (C, fs) = obj \text{ in } \forall F D. T. P \vdash C \text{ has } F, \text{NonStatic}:T \text{ in } D \longrightarrow$ 
 $(\exists v. fs(F, D) = \text{Some } v \wedge P, h \vdash v : \leq T)$ 

```

```

definition soconf :: ' $m \text{ prog} \Rightarrow \text{heap} \Rightarrow \text{cname} \Rightarrow \text{sfields} \Rightarrow \text{bool}$ ' ( $\langle \cdot, \cdot, \cdot \vdash_s \cdot \vee \rangle$ ) [51, 51, 51, 51] 50)
where
 $P, h, C \vdash_s sfs \vee \equiv$ 
 $\forall F T. P \vdash C \text{ has } F, \text{Static}:T \text{ in } C \longrightarrow$ 
 $(\exists v. sfs F = \text{Some } v \wedge P, h \vdash v : \leq T)$ 

```

```

definition hconf :: ' $m \text{ prog} \Rightarrow \text{heap} \Rightarrow \text{bool}$ ' ( $\langle \cdot \vdash \cdot \vee \rangle$ ) [51, 51] 50)
where

```

$P \vdash h \vee \equiv (\forall a \ obj. \ h \ a = Some \ obj \longrightarrow P, h \vdash obj \vee) \wedge preallocated \ h$

definition $shconf :: 'm \ prog \Rightarrow heap \Rightarrow shheap \Rightarrow bool \quad (\langle \cdot, \cdot \vdash_s \cdot \vee \rangle [51, 51, 51] \ 50)$

where

$P, h \vdash_s sh \vee \equiv (\forall C \ sfs \ i. \ sh \ C = Some(sfs, i) \longrightarrow P, h, C \vdash_s sfs \vee)$

definition $lconf :: 'm \ prog \Rightarrow heap \Rightarrow (vname \rightarrow val) \Rightarrow (vname \rightarrow ty) \Rightarrow bool \quad (\langle \cdot, \cdot \vdash \cdot \cdot \cdot \vdash \cdot \rangle [51, 51, 51] \ 50)$

where

$P, h \vdash l \cdot \leq \cdot E \equiv \forall V v. \ l \ V = Some \ v \longrightarrow (\exists T. \ E \ V = Some \ T \wedge P, h \vdash v \leq T)$

abbreviation

$confs :: 'm \ prog \Rightarrow heap \Rightarrow val \ list \Rightarrow ty \ list \Rightarrow bool \quad (\langle \cdot, \cdot \vdash \cdot \cdot \cdot \vdash \cdot \rangle [51, 51, 51] \ 50) \text{ where}$

$P, h \vdash vs \cdot \leq \cdot Ts \equiv list-all2 \ (conf \ P \ h) \ vs \ Ts$

1.17.1 Value conformance \leq

lemma $conf-Null [simp]: P, h \vdash Null \leq T = P \vdash NT \leq T \langle proof \rangle$

lemma $typeof-conf[simp]: typeof_h v = Some \ T \implies P, h \vdash v \leq T \langle proof \rangle$

lemma $typeof-lit-conf[simp]: typeof v = Some \ T \implies P, h \vdash v \leq T \langle proof \rangle$

lemma $defval-conf[simp]: P, h \vdash default-val \ T \leq T \langle proof \rangle$

lemma $conf-upd-obj: h \ a = Some(C, fs) \implies (P, h(a \mapsto (C, fs')) \vdash x \leq T) = (P, h \vdash x \leq T) \langle proof \rangle$

lemma $conf-widen: P, h \vdash v \leq T \implies P \vdash T \leq T' \implies P, h \vdash v \leq T' \langle proof \rangle$

lemma $conf-hext: h \trianglelefteq h' \implies P, h \vdash v \leq T \implies P, h' \vdash v \leq T \langle proof \rangle$

lemma $conf-ClassD: P, h \vdash v \leq Class \ C \implies$

$v = Null \vee (\exists a \ obj \ T. \ v = Addr \ a \wedge h \ a = Some \ obj \wedge obj-ty \ obj = T \wedge P \vdash T \leq Class \ C) \langle proof \rangle$

lemma $conf-NT [iff]: P, h \vdash v \leq NT = (v = Null) \langle proof \rangle$

lemma $non-npD: \llbracket v \neq Null; P, h \vdash v \leq Class \ C \rrbracket$

$\implies \exists a \ C' \ fs. \ v = Addr \ a \wedge h \ a = Some(C', fs) \wedge P \vdash C' \preceq^* C \langle proof \rangle$

1.17.2 Value list conformance $\cdot \leq \cdot$

lemma $confs-widens [trans]: \llbracket P, h \vdash vs \cdot \leq \cdot Ts; P \vdash Ts \cdot \leq \cdot Ts' \rrbracket \implies P, h \vdash vs \cdot \leq \cdot Ts' \langle proof \rangle$

lemma $confs-rev: P, h \vdash rev \ s \cdot \leq \cdot t = (P, h \vdash s \cdot \leq \cdot rev \ t) \langle proof \rangle$

lemma $confs-conv-map:$

$\wedge Ts'. \ P, h \vdash vs \cdot \leq \cdot Ts' = (\exists Ts. \ map \ typeof_h \ vs = map \ Some \ Ts \wedge P \vdash Ts \cdot \leq \cdot Ts') \langle proof \rangle$

lemma $confs-hext: P, h \vdash vs \cdot \leq \cdot Ts \implies h \trianglelefteq h' \implies P, h' \vdash vs \cdot \leq \cdot Ts \langle proof \rangle$

lemma $confs-Cons2: P, h \vdash xs \cdot \leq \cdot ys = (\exists z \ zs. \ xs = z \# zs \wedge P, h \vdash z \leq y \wedge P, h \vdash zs \cdot \leq \cdot ys) \langle proof \rangle$

1.17.3 Object conformance

lemma $oconf-hext: P, h \vdash obj \vee \implies h \trianglelefteq h' \implies P, h' \vdash obj \vee \langle proof \rangle$

lemma $oconf-blank:$

$P \vdash C \ has-fields \ FDTs \implies P, h \vdash blank \ P \ C \vee \langle proof \rangle$

lemma $oconf-fupd [intro?]:$

$\llbracket P \vdash C \ has \ F, NonStatic: T \ in \ D; P, h \vdash v \leq T; P, h \vdash (C, fs) \vee \rrbracket \implies P, h \vdash (C, fs((F, D) \mapsto v)) \vee \langle proof \rangle$

1.17.4 Static object conformance

```

lemma soconf-hext:  $P,h,C \vdash_s obj \vee \Rightarrow h \sqsubseteq h' \Rightarrow P,h',C \vdash_s obj \vee \langle proof \rangle$ 
lemma soconf-sblank:
   $P \vdash C \text{ has-fields } FDTs \Rightarrow P,h,C \vdash_s sblank P C \vee \langle proof \rangle$ 
lemma soconf-fupd [intro?]:
   $\llbracket P \vdash C \text{ has } F, \text{Static}:T \text{ in } C; P,h \vdash v : \leq T; P,h,C \vdash_s sfs \vee \rrbracket$ 
   $\Rightarrow P,h,C \vdash_s sfs(F \mapsto v) \vee \langle proof \rangle$ 

```

1.17.5 Heap conformance

```

lemma hconfD:  $\llbracket P \vdash h \vee; h a = \text{Some } obj \rrbracket \Rightarrow P,h \vdash obj \vee \langle proof \rangle$ 
lemma hconf-new:  $\llbracket P \vdash h \vee; h a = \text{None}; P,h \vdash obj \vee \rrbracket \Rightarrow P \vdash h(a \mapsto obj) \vee \langle proof \rangle$ 
lemma hconf-upd-obj:  $\llbracket P \vdash h \vee; h a = \text{Some}(C,fs); P,h \vdash (C,fs) \vee \rrbracket \Rightarrow P \vdash h(a \mapsto (C,fs)) \vee \langle proof \rangle$ 

```

1.17.6 Class statics conformance

```

lemma shconfD:  $\llbracket P,h \vdash_s sh \vee; sh C = \text{Some}(sfs,i) \rrbracket \Rightarrow P,h,C \vdash_s sfs \vee \langle proof \rangle$ 
lemma shconf-upd-obj:  $\llbracket P,h \vdash_s sh \vee; P,h,C \vdash_s sfs' \vee \rrbracket$ 
   $\Rightarrow P,h \vdash_s sh(C \mapsto (sfs',i)) \vee \langle proof \rangle$ 
lemma shconf-hnew:  $\llbracket P,h \vdash_s sh \vee; h a = \text{None} \rrbracket \Rightarrow P,h(a \mapsto obj) \vdash_s sh \vee \langle proof \rangle$ 
lemma shconf-hupd-obj:  $\llbracket P,h \vdash_s sh \vee; h a = \text{Some}(C,fs) \rrbracket \Rightarrow P,h(a \mapsto (C,fs)) \vdash_s sh \vee \langle proof \rangle$ 

```

1.17.7 Local variable conformance

```

lemma lconf-hext:  $\llbracket P,h \vdash l (\leq) E; h \sqsubseteq h' \rrbracket \Rightarrow P,h' \vdash l (\leq) E \langle proof \rangle$ 
lemma lconf-upd:
   $\llbracket P,h \vdash l (\leq) E; P,h \vdash v : \leq T; E V = \text{Some } T \rrbracket \Rightarrow P,h \vdash l(V \mapsto v) (\leq) E \langle proof \rangle$ 
lemma lconf-empty[iff]:  $P,h \vdash \text{Map.empty} (\leq) E \langle proof \rangle$ 
lemma lconf-upd2:  $\llbracket P,h \vdash l (\leq) E; P,h \vdash v : \leq T \rrbracket \Rightarrow P,h \vdash l(V \mapsto v) (\leq) E(V \mapsto T) \langle proof \rangle$ 

```

end

1.18 Small Step Semantics

```

theory SmallStep
imports Expr State WWellForm
begin

fun blocks :: "vname list * ty list * val list * expr ⇒ expr"
where
   $blocks(V \# Vs, T \# Ts, v \# vs, e) = \{V:T := Val v; blocks(Vs, Ts, vs, e)\}$ 
   $| blocks([],[],[],e) = e$ 

lemmas blocks-induct = blocks.induct[split-format (complete)]

lemma [simp]:
   $\llbracket \text{size } vs = \text{size } Vs; \text{size } Ts = \text{size } Vs \rrbracket \Rightarrow fv(blocks(Vs, Ts, vs, e)) = fv e - \text{set } Vs \langle proof \rangle$ 

lemma sub-RI-blocks-body[iff]:  $\text{length } vs = \text{length } pns \Rightarrow \text{length } Ts = \text{length } pns$ 
   $\Rightarrow \text{sub-RI (blocks (pns, Ts, vs, body))} \leftrightarrow \text{sub-RI body} \langle proof \rangle$ 

```

```
definition assigned :: 'a ⇒ 'a exp ⇒ bool
where
  assigned V e ≡ ∃v e'. e = (V := Val v; e')
```

— expression is okay to go the right side of INIT or RI ← or to have indicator Boolean be True (in latter case, given that class is also verified initialized)

```
fun icheck :: 'm prog ⇒ cname ⇒ 'a exp ⇒ bool where
  icheck P C' (new C) = (C' = C) |
  icheck P D' (C•sF{D}) = ((D' = D) ∧ (∃T. P ⊢ C has F, Static: T in D)) |
  icheck P D' (C•sF{D}):=(Val v) = ((D' = D) ∧ (∃T. P ⊢ C has F, Static: T in D)) |
  icheck P D (C•sM(es)) = ((∃vs. es = map Val vs) ∧ (∃Ts T m. P ⊢ C sees M, Static: Ts → T = m in D)) |
  icheck P - - = False
```

```
lemma nicheck-SFAss-nonVal: val-of e2 = None ⇒ ¬icheck P C' (C•sF{D}):= (e2::'a exp))
  ⟨proof⟩
```

inductive-set

```
red :: J-prog ⇒ ((expr × state × bool) × (expr × state × bool)) set
and reds :: J-prog ⇒ ((expr list × state × bool) × (expr list × state × bool)) set
and red' :: J-prog ⇒ expr ⇒ state ⇒ bool ⇒ expr ⇒ state ⇒ bool ⇒ bool
  (⟨- ⊢ ((1⟨-, /-, /-⟩) → / (1⟨-, /-, /-⟩))⟩ [51, 0, 0, 0, 0, 0, 0] 81)
and reds' :: J-prog ⇒ expr list ⇒ state ⇒ bool ⇒ expr list ⇒ state ⇒ bool ⇒ bool
  (⟨- ⊢ ((1⟨-, /-, /-⟩) [→]/ (1⟨-, /-, /-⟩))⟩ [51, 0, 0, 0, 0, 0] 81)
for P :: J-prog
where
```

```
P ⊢ ⟨e,s,b⟩ → ⟨e',s',b'⟩ ≡ ((e,s,b), e',s',b') ∈ red P
| P ⊢ ⟨es,s,b⟩ [→] ⟨es',s',b'⟩ ≡ ((es,s,b), es',s',b') ∈ reds P
```

```
| RedNew:
  [ new-Addr h = Some a; P ⊢ C has-fields FDTs; h' = h(a→blank P C) ]
  ⇒ P ⊢ ⟨new C, (h,l,sh), True⟩ → ⟨addr a, (h',l,sh), False⟩
```

```
| RedNewFail:
  [ new-Addr h = None; is-class P C ] ⇒
  P ⊢ ⟨new C, (h,l,sh), True⟩ → ⟨THROW OutOfMemory, (h,l,sh), False⟩
```

```
| NewInitDoneRed:
  sh C = Some (sfs, Done) ⇒
  P ⊢ ⟨new C, (h,l,sh), False⟩ → ⟨new C, (h,l,sh), True⟩
```

```
| NewInitRed:
  [ ∉ sfs. sh C = Some (sfs, Done); is-class P C ]
  ⇒ P ⊢ ⟨new C, (h,l,sh), False⟩ → ⟨INIT C ([C], False) ← new C, (h,l,sh), False⟩
```

```
| CastRed:
  P ⊢ ⟨e,s,b⟩ → ⟨e',s',b'⟩ ⇒
  P ⊢ ⟨Cast C e, s, b⟩ → ⟨Cast C e', s', b'⟩
```

```
| RedCastNull:
  P ⊢ ⟨Cast C null, s, b⟩ → ⟨null, s,b⟩
```

```
| RedCast:
```

- $$\begin{aligned} & \llbracket h \ a = \text{Some}(D, fs); P \vdash D \preceq^* C \rrbracket \\ & \implies P \vdash \langle \text{Cast } C \ (\text{addr } a), (h, l, sh), b \rangle \rightarrow \langle \text{addr } a, (h, l, sh), b \rangle \end{aligned}$$
- | RedCastFail:
$$\begin{aligned} & \llbracket h \ a = \text{Some}(D, fs); \neg P \vdash D \preceq^* C \rrbracket \\ & \implies P \vdash \langle \text{Cast } C \ (\text{addr } a), (h, l, sh), b \rangle \rightarrow \langle \text{THROW ClassCast}, (h, l, sh), b \rangle \end{aligned}$$
- | BinOpRed1:
$$\begin{aligned} & P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies \\ & P \vdash \langle e \ \llbracket \text{bop} \rrbracket \ e_2, s, b \rangle \rightarrow \langle e' \ \llbracket \text{bop} \rrbracket \ e_2, s', b' \rangle \end{aligned}$$
- | BinOpRed2:
$$\begin{aligned} & P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies \\ & P \vdash \langle (\text{Val } v_1) \ \llbracket \text{bop} \rrbracket \ e, s, b \rangle \rightarrow \langle (\text{Val } v_1) \ \llbracket \text{bop} \rrbracket \ e', s', b' \rangle \end{aligned}$$
- | RedBinOp:
$$\begin{aligned} & \text{binop}(bop, v_1, v_2) = \text{Some } v \implies \\ & P \vdash \langle (\text{Val } v_1) \ \llbracket \text{bop} \rrbracket \ (\text{Val } v_2), s, b \rangle \rightarrow \langle \text{Val } v, s, b \rangle \end{aligned}$$
- | RedVar:
$$\begin{aligned} & l \ V = \text{Some } v \implies \\ & P \vdash \langle \text{Var } V, (h, l, sh), b \rangle \rightarrow \langle \text{Val } v, (h, l, sh), b \rangle \end{aligned}$$
- | LAssRed:
$$\begin{aligned} & P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies \\ & P \vdash \langle V := e, s, b \rangle \rightarrow \langle V := e', s', b' \rangle \end{aligned}$$
- | RedLAss:
$$P \vdash \langle V := (\text{Val } v), (h, l, sh), b \rangle \rightarrow \langle \text{unit}, (h, l(V \mapsto v), sh), b \rangle$$
- | FAccRed:
$$\begin{aligned} & P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies \\ & P \vdash \langle e \cdot F\{D\}, s, b \rangle \rightarrow \langle e' \cdot F\{D\}, s', b' \rangle \end{aligned}$$
- | RedFAcc:
$$\begin{aligned} & \llbracket h \ a = \text{Some}(C, fs); fs(F, D) = \text{Some } v; \\ & \quad P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D \rrbracket \\ & \implies P \vdash \langle (\text{addr } a) \cdot F\{D\}, (h, l, sh), b \rangle \rightarrow \langle \text{Val } v, (h, l, sh), b \rangle \end{aligned}$$
- | RedFAccNull:
$$P \vdash \langle \text{null} \cdot F\{D\}, s, b \rangle \rightarrow \langle \text{THROW NullPointer}, s, b \rangle$$
- | RedFAccNone:
$$\begin{aligned} & \llbracket h \ a = \text{Some}(C, fs); \neg(\exists b \ t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket \\ & \implies P \vdash \langle (\text{addr } a) \cdot F\{D\}, (h, l, sh), b \rangle \rightarrow \langle \text{THROW NoSuchFieldError}, (h, l, sh), b \rangle \end{aligned}$$
- | RedFAccStatic:
$$\begin{aligned} & \llbracket h \ a = \text{Some}(C, fs); P \vdash C \text{ has } F, \text{Static}:t \text{ in } D \rrbracket \\ & \implies P \vdash \langle (\text{addr } a) \cdot F\{D\}, (h, l, sh), b \rangle \rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h, l, sh), b \rangle \end{aligned}$$
- | RedSFAcc:
$$\begin{aligned} & \llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \\ & \quad sh \ D = \text{Some } (sfs, i); \\ & \quad sfs \ F = \text{Some } v \rrbracket \end{aligned}$$

- $\implies P \vdash \langle C \cdot_s F\{D\}, (h, l, sh), True \rangle \rightarrow \langle Val v, (h, l, sh), False \rangle$
- | *SFAccInitDoneRed*:
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $sh D = \text{Some } (sfs, \text{Done}) \rrbracket$
 $\implies P \vdash \langle C \cdot_s F\{D\}, (h, l, sh), False \rangle \rightarrow \langle C \cdot_s F\{D\}, (h, l, sh), True \rangle$
- | *SFAccInitRed*:
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $\nexists sfs. sh D = \text{Some } (sfs, \text{Done}) \rrbracket$
 $\implies P \vdash \langle C \cdot_s F\{D\}, (h, l, sh), False \rangle \rightarrow \langle \text{INIT } D ([D], False) \leftarrow C \cdot_s F\{D\}, (h, l, sh), False \rangle$
- | *RedSFAccNone*:
 $\neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D)$
 $\implies P \vdash \langle C \cdot_s F\{D\}, (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{NoSuchFieldError}, (h, l, sh), False \rangle$
- | *RedSFAccNonStatic*:
 $P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D$
 $\implies P \vdash \langle C \cdot_s F\{D\}, (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h, l, sh), False \rangle$
- | *FAssRed1*:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle e \cdot F\{D\} := e_2, s, b \rangle \rightarrow \langle e' \cdot F\{D\} := e_2, s', b' \rangle$
- | *FAssRed2*:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle \text{Val } v \cdot F\{D\} := e, s, b \rangle \rightarrow \langle \text{Val } v \cdot F\{D\} := e', s', b' \rangle$
- | *RedFAss*:
 $\llbracket P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D; h a = \text{Some}(C, fs) \rrbracket \implies$
 $P \vdash \langle (\text{addr } a) \cdot F\{D\} := (\text{Val } v), (h, l, sh), b \rangle \rightarrow \langle \text{unit}, (h(a \mapsto (C, fs((F, D) \mapsto v))), l, sh), b \rangle$
- | *RedFAssNull*:
 $P \vdash \langle \text{null} \cdot F\{D\} := \text{Val } v, s, b \rangle \rightarrow \langle \text{THROW } \text{NullPointer}, s, b \rangle$
- | *RedFAssNone*:
 $\llbracket h a = \text{Some}(C, fs); \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket \implies$
 $P \vdash \langle (\text{addr } a) \cdot F\{D\} := (\text{Val } v), (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{NoSuchFieldError}, (h, l, sh), b \rangle$
- | *RedFAssStatic*:
 $\llbracket h a = \text{Some}(C, fs); P \vdash C \text{ has } F, \text{Static}:t \text{ in } D \rrbracket \implies$
 $P \vdash \langle (\text{addr } a) \cdot F\{D\} := (\text{Val } v), (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h, l, sh), b \rangle$
- | *SFAssRed*:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle C \cdot_s F\{D\} := e, s, b \rangle \rightarrow \langle C \cdot_s F\{D\} := e', s', b' \rangle$
- | *RedSFAss*:
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $sh D = \text{Some}(sfs, i);$
 $sfs' = sfs(F \mapsto v); sh' = sh(D \mapsto (sfs', i)) \rrbracket \implies$
 $P \vdash \langle C \cdot_s F\{D\} := (\text{Val } v), (h, l, sh), True \rangle \rightarrow \langle \text{unit}, (h, l, sh'), False \rangle$
- | *SFAssInitDoneRed*:

- $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; sh D = \text{Some}(sfs, Done) \rrbracket$
 $\implies P \vdash \langle C \cdot_s F\{D\} := (\text{Val } v), (h, l, sh), \text{False} \rangle \rightarrow \langle C \cdot_s F\{D\} := (\text{Val } v), (h, l, sh), \text{True} \rangle$
- | SFAssInitRed:
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D; \nexists sfs. sh D = \text{Some}(sfs, Done) \rrbracket$
 $\implies P \vdash \langle C \cdot_s F\{D\} := (\text{Val } v), (h, l, sh), \text{False} \rangle \rightarrow \langle \text{INIT } D ([D], \text{False}) \leftarrow C \cdot_s F\{D\} := (\text{Val } v), (h, l, sh), \text{False} \rangle$
- | RedSFAssNone:
 $\neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D)$
 $\implies P \vdash \langle C \cdot_s F\{D\} := (\text{Val } v), s, b \rangle \rightarrow \langle \text{THROW } \text{NoSuchFieldError}, s, \text{False} \rangle$
- | RedSFAssNonStatic:
 $P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D$
 $\implies P \vdash \langle C \cdot_s F\{D\} := (\text{Val } v), s, b \rangle \rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, s, \text{False} \rangle$
- | CallObj:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle e \cdot M(es), s, b \rangle \rightarrow \langle e' \cdot M(es'), s', b' \rangle$
- | CallParams:
 $P \vdash \langle es, s, b \rangle \xrightarrow{} \langle es', s', b' \rangle \implies$
 $P \vdash \langle (\text{Val } v) \cdot M(es), s, b \rangle \rightarrow \langle (\text{Val } v) \cdot M(es'), s', b' \rangle$
- | RedCall:
 $\llbracket h a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{NonStatic}: Ts \rightarrow T = (pns, body) \text{ in } D; \text{size } vs = \text{size } pns; \text{size } Ts = \text{size } pns \rrbracket$
 $\implies P \vdash \langle (\text{addr } a) \cdot M(\text{map Val } vs), (h, l, sh), b \rangle \rightarrow \langle \text{blocks(this}\#pns, \text{Class } D \# Ts, \text{Addr } a \# vs, \text{body}), (h, l, sh), b \rangle$
- | RedCallNull:
 $P \vdash \langle \text{null} \cdot M(\text{map Val } vs), s, b \rangle \rightarrow \langle \text{THROW } \text{NullPointer}, s, b \rangle$
- | RedCallNone:
 $\llbracket h a = \text{Some}(C, fs); \neg(\exists b Ts T m D. P \vdash C \text{ sees } M, b:T \rightarrow T = m \text{ in } D) \rrbracket$
 $\implies P \vdash \langle (\text{addr } a) \cdot M(\text{map Val } vs), (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{NoSuchMethodError}, (h, l, sh), b \rangle$
- | RedCallStatic:
 $\llbracket h a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = m \text{ in } D \rrbracket$
 $\implies P \vdash \langle (\text{addr } a) \cdot M(\text{map Val } vs), (h, l, sh), b \rangle \rightarrow \langle \text{THROW } \text{IncompatibleClassChangeError}, (h, l, sh), b \rangle$
- | SCallParams:
 $P \vdash \langle es, s, b \rangle \xrightarrow{} \langle es', s', b' \rangle \implies$
 $P \vdash \langle C \cdot_s M(es), s, b \rangle \rightarrow \langle C \cdot_s M(es'), s', b' \rangle$
- | RedSCall:
 $\llbracket P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = (pns, body) \text{ in } D;$
 $\text{length } vs = \text{length } pns; \text{size } Ts = \text{size } pns \rrbracket$
 $\implies P \vdash \langle C \cdot_s M(\text{map Val } vs), s, \text{True} \rangle \rightarrow \langle \text{blocks(pns, Ts, vs, body)}, s, \text{False} \rangle$
- | SCallInitDoneRed:
 $\llbracket P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = (pns, body) \text{ in } D;$
 $sh D = \text{Some}(sfs, Done) \vee (M = \text{clinit} \wedge sh D = \text{Some}(sfs, Processing)) \rrbracket$

- $\implies P \vdash \langle C \cdot_s M(\text{map Val } vs), (h, l, sh), \text{ False} \rangle \rightarrow \langle C \cdot_s M(\text{map Val } vs), (h, l, sh), \text{ True} \rangle$
- | *SCallInitRed:*
 $\llbracket P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = (\text{pns}, \text{body}) \text{ in } D;$
 $\nexists sfs. sh D = \text{Some}(sfs, \text{Done}); M \neq \text{clinit} \rrbracket$
 $\implies P \vdash \langle C \cdot_s M(\text{map Val } vs), (h, l, sh), \text{ False} \rangle \rightarrow \langle \text{INIT } D ([D], \text{False}) \leftarrow C \cdot_s M(\text{map Val } vs), (h, l, sh), \text{ False} \rangle$
- | *RedSCallNone:*
 $\llbracket \neg(\exists b \ Ts \ T \ m \ D. P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D) \rrbracket$
 $\implies P \vdash \langle C \cdot_s M(\text{map Val } vs), s, b \rangle \rightarrow \langle \text{THROW NoSuchMethodError}, s, \text{False} \rangle$
- | *RedSCallNonStatic:*
 $\llbracket P \vdash C \text{ sees } M, \text{NonStatic}: Ts \rightarrow T = m \text{ in } D \rrbracket$
 $\implies P \vdash \langle C \cdot_s M(\text{map Val } vs), s, b \rangle \rightarrow \langle \text{THROW IncompatibleClassChangeError}, s, \text{False} \rangle$
- | *BlockRedNone:*
 $\llbracket P \vdash \langle e, (h, l(V := \text{None}), sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle; l' V = \text{None}; \neg \text{assigned } V e \rrbracket$
 $\implies P \vdash \langle \{V: T; e\}, (h, l, sh), b \rangle \rightarrow \langle \{V: T; e'\}, (h', l'(V := l V), sh'), b' \rangle$
- | *BlockRedSome:*
 $\llbracket P \vdash \langle e, (h, l(V := \text{None}), sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle; l' V = \text{Some } v; \neg \text{assigned } V e \rrbracket$
 $\implies P \vdash \langle \{V: T; e\}, (h, l, sh), b \rangle \rightarrow \langle \{V: T := \text{Val } v; e'\}, (h', l'(V := l V), sh'), b' \rangle$
- | *InitBlockRed:*
 $\llbracket P \vdash \langle e, (h, l(V \mapsto v), sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle; l' V = \text{Some } v' \rrbracket$
 $\implies P \vdash \langle \{V: T := \text{Val } v; e\}, (h, l, sh), b \rangle \rightarrow \langle \{V: T := \text{Val } v'; e'\}, (h', l'(V := l V), sh'), b' \rangle$
- | *RedBlock:*
 $P \vdash \langle \{V: T; \text{Val } u\}, s, b \rangle \rightarrow \langle \text{Val } u, s, b \rangle$
- | *RedInitBlock:*
 $P \vdash \langle \{V: T := \text{Val } v; \text{Val } u\}, s, b \rangle \rightarrow \langle \text{Val } u, s, b \rangle$
- | *SeqRed:*
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle e;; e_2, s, b \rangle \rightarrow \langle e';; e_2, s', b' \rangle$
- | *RedSeq:*
 $P \vdash \langle (\text{Val } v);; e_2, s, b \rangle \rightarrow \langle e_2, s, b \rangle$
- | *CondRed:*
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s, b \rangle \rightarrow \langle \text{if } (e') \ e_1 \ \text{else } e_2, s', b' \rangle$
- | *RedCondT:*
 $P \vdash \langle \text{if } (\text{true}) \ e_1 \ \text{else } e_2, s, b \rangle \rightarrow \langle e_1, s, b \rangle$
- | *RedCondF:*
 $P \vdash \langle \text{if } (\text{false}) \ e_1 \ \text{else } e_2, s, b \rangle \rightarrow \langle e_2, s, b \rangle$
- | *RedWhile:*
 $P \vdash \langle \text{while}(b) \ c, s, b' \rangle \rightarrow \langle \text{if}(b) \ (c;; \text{while}(b) \ c) \ \text{else unit}, s, b' \rangle$
- | *ThrowRed:*

- $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle \text{throw } e, s, b \rangle \rightarrow \langle \text{throw } e', s', b' \rangle$
- | RedThrowNull:
 $P \vdash \langle \text{throw null}, s, b \rangle \rightarrow \langle \text{THROW NullPointer}, s, b \rangle$
- | TryRed:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle \text{try } e \text{ catch}(C V) e_2, s, b \rangle \rightarrow \langle \text{try } e' \text{ catch}(C V) e_2, s', b' \rangle$
- | RedTry:
 $P \vdash \langle \text{try } (\text{Val } v) \text{ catch}(C V) e_2, s, b \rangle \rightarrow \langle \text{Val } v, s, b \rangle$
- | RedTryCatch:
 $\llbracket hp s a = \text{Some}(D, fs); P \vdash D \preceq^* C \rrbracket \implies$
 $P \vdash \langle \text{try } (\text{Throw } a) \text{ catch}(C V) e_2, s, b \rangle \rightarrow \langle \{V:Class\} C := \text{addr } a; e_2, s, b \rangle$
- | RedTryFail:
 $\llbracket hp s a = \text{Some}(D, fs); \neg P \vdash D \preceq^* C \rrbracket \implies$
 $P \vdash \langle \text{try } (\text{Throw } a) \text{ catch}(C V) e_2, s, b \rangle \rightarrow \langle \text{Throw } a, s, b \rangle$
- | ListRed1:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \implies$
 $P \vdash \langle e \# es, s, b \rangle [\rightarrow] \langle e' \# es, s', b' \rangle$
- | ListRed2:
 $P \vdash \langle es, s, b \rangle [\rightarrow] \langle es', s', b' \rangle \implies$
 $P \vdash \langle \text{Val } v \# es, s, b \rangle [\rightarrow] \langle \text{Val } v \# es', s', b' \rangle$
- Initialization procedure
- | RedInit:
 $\neg \text{sub-RI } e \implies P \vdash \langle \text{INIT } C (\text{Nil}, b) \leftarrow e, s, b \rangle \rightarrow \langle e, s, \text{icheck } P C e \rangle$
- | InitNoneRed:
 $sh C = \text{None}$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh(C \mapsto (sblank P C, Prepared))), b \rangle$
- | RedInitDone:
 $sh C = \text{Some}(sfs, \text{Done})$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh), b \rangle$
- | RedInitProcessing:
 $sh C = \text{Some}(sfs, \text{Processing})$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh), b \rangle$
- | RedInitError:
 $sh C = \text{Some}(sfs, \text{Error})$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle \text{RI } (C, \text{THROW NoClassDefFoundError}); Cs \leftarrow e, (h, l, sh), b \rangle$
- | InitObjectRed:
 $\llbracket sh C = \text{Some}(sfs, \text{Prepared});$

$C = Object;$
 $sh' = sh(C \mapsto (sfs, Processing)) \Rightarrow P \vdash \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle INIT C' (C \# Cs, True) \leftarrow e, (h, l, sh'), b \rangle$

| *InitNonObjectSuperRed*:
 $\llbracket sh\ C = Some(sfs, Prepared);$
 $C \neq Object;$
 $class\ P\ C = Some(D, r);$
 $sh' = sh(C \mapsto (sfs, Processing)) \Rightarrow P \vdash \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle INIT C' (D \# C \# Cs, False) \leftarrow e, (h, l, sh'), b \rangle$

| *RedInitRInit*:
 $P \vdash \langle INIT C' (C \# Cs, True) \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle RI (C, C \cdot_s clinit([])); Cs \leftarrow e, (h, l, sh), b \rangle$

| *RInitRed*:
 $P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \Rightarrow P \vdash \langle RI (C, e); Cs \leftarrow e_0, s, b \rangle \rightarrow \langle RI (C, e'); Cs \leftarrow e_0, s', b' \rangle$

| *RedRInit*:
 $\llbracket sh\ C = Some(sfs, i);$
 $sh' = sh(C \mapsto (sfs, Done));$
 $C' = last(C \# Cs) \Rightarrow P \vdash \langle RI (C, Val v); Cs \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle INIT C' (Cs, True) \leftarrow e, (h, l, sh'), b \rangle$

— Exception propagation

| *CastThrow*: $P \vdash \langle Cast\ C\ (\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *BinOpThrow1*: $P \vdash \langle (\text{throw } e) \llcorner \text{bop} \gg e_2, s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *BinOpThrow2*: $P \vdash \langle (\text{Val } v_1) \llcorner \text{bop} \gg (\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *LAssThrow*: $P \vdash \langle V := (\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *FAccThrow*: $P \vdash \langle (\text{throw } e) \cdot F\{D\}, s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *FAssThrow1*: $P \vdash \langle (\text{throw } e) \cdot F\{D\} := e_2, s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *FAssThrow2*: $P \vdash \langle \text{Val } v \cdot F\{D\} := (\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *SFAssThrow*: $P \vdash \langle C \cdot_s F\{D\} := (\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *CallThrowObj*: $P \vdash \langle (\text{throw } e) \cdot M(es), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *CallThrowParams*: $\llbracket es = map\ Val\ vs @ \text{throw } e \# es' \rrbracket \Rightarrow P \vdash \langle (\text{Val } v) \cdot M(es), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *SCallThrowParams*: $\llbracket es = map\ Val\ vs @ \text{throw } e \# es' \rrbracket \Rightarrow P \vdash \langle C \cdot_s M(es), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *BlockThrow*: $P \vdash \langle \{V:T; \text{Throw } a\}, s, b \rangle \rightarrow \langle \text{Throw } a, s, b \rangle$
| *InitBlockThrow*: $P \vdash \langle \{V:T := \text{Val } v; \text{Throw } a\}, s, b \rangle \rightarrow \langle \text{Throw } a, s, b \rangle$
| *SeqThrow*: $P \vdash \langle (\text{throw } e); e_2, s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *CondThrow*: $P \vdash \langle \text{if } (\text{throw } e) e_1 \text{ else } e_2, s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *ThrowThrow*: $P \vdash \langle \text{throw}(\text{throw } e), s, b \rangle \rightarrow \langle \text{throw } e, s, b \rangle$
| *RInitInitThrow*: $\llbracket sh\ C = Some(sfs, i); sh' = sh(C \mapsto (sfs, Error)) \rrbracket \Rightarrow P \vdash \langle RI (C, \text{Throw } a); D \# Cs \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle RI (D, \text{Throw } a); Cs \leftarrow e, (h, l, sh'), b \rangle$
| *RInitThrow*: $\llbracket sh\ C = Some(sfs, i); sh' = sh(C \mapsto (sfs, Error)) \rrbracket \Rightarrow P \vdash \langle RI (C, \text{Throw } a); Nil \leftarrow e, (h, l, sh), b \rangle \rightarrow \langle \text{Throw } a, (h, l, sh'), b \rangle$

1.18.1 The reflexive transitive closure

abbreviation

$Step :: J\text{-prog} \Rightarrow expr \Rightarrow state \Rightarrow bool \Rightarrow expr \Rightarrow state \Rightarrow bool \Rightarrow bool$
 $(\langle - \rangle \vdash ((1 \langle -, /-, /-\rangle) \rightarrow*/ (1 \langle -, /-, /-\rangle)) \triangleright [51, 0, 0, 0, 0, 0] \ 81)$

where $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \equiv ((e, s, b), e', s', b') \in (\text{red } P)^*$

abbreviation

$\text{Steps} :: J\text{-prog} \Rightarrow \text{expr list} \Rightarrow \text{state} \Rightarrow \text{bool} \Rightarrow \text{expr list} \Rightarrow \text{state} \Rightarrow \text{bool} \Rightarrow \text{bool}$
 $(\langle - \rangle \vdash ((1 \langle -, /-, /- \rangle) [\rightarrow]^*/ (1 \langle -, /-, /- \rangle)) \Rightarrow [51, 0, 0, 0, 0, 0] 81)$

where $P \vdash \langle es, s, b \rangle \rightarrow^* \langle es', s', b' \rangle \equiv ((es, s, b), es', s', b') \in (\text{reds } P)^*$

lemmas *converse-rtrancl-induct3* =

converse-rtrancl-induct [of (ax, ay, az) (bx, by, bz), split-format (complete),
consumes 1, case-names refl step]

lemma *converse-rtrancl-induct-red*[consumes 1]:

assumes $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle$

and $\bigwedge e h l sh b. R e h l sh b e h l sh b$

and $\bigwedge e_0 h_0 l_0 sh_0 b_0 e_1 h_1 l_1 sh_1 b_1 e' h' l' sh' b'$.

$\llbracket P \vdash \langle e_0, (h_0, l_0, sh_0), b_0 \rangle \rightarrow \langle e_1, (h_1, l_1, sh_1), b_1 \rangle; R e_1 h_1 l_1 sh_1 b_1 e' h' l' sh' b' \rrbracket$

$\implies R e_0 h_0 l_0 sh_0 b_0 e' h' l' sh' b'$

shows $R e h l sh b e' h' l' sh' b' \langle \text{proof} \rangle$

1.18.2 Some easy lemmas

lemma [iff]: $\neg P \vdash \langle [], s, b \rangle \rightarrow \langle es', s', b' \rangle \langle \text{proof} \rangle$

lemma [iff]: $\neg P \vdash \langle \text{Val } v, s, b \rangle \rightarrow \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *val-no-step*: *val-of* $e = [v] \implies \neg P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma [iff]: $\neg P \vdash \langle \text{Throw } a, s, b \rangle \rightarrow \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *map-Vals-no-step* [iff]: $\neg P \vdash \langle \text{map Val } vs, s, b \rangle \rightarrow \langle es', s', b' \rangle \langle \text{proof} \rangle$

lemma *vals-no-step*: *map-vals-of* $es = [vs] \implies \neg P \vdash \langle es, s, b \rangle \rightarrow \langle es', s', b' \rangle \langle \text{proof} \rangle$

lemma *vals-throw-no-step* [iff]: $\neg P \vdash \langle \text{map Val } vs @ \text{ Throw } a \# es, s, b \rangle \rightarrow \langle es', s', b' \rangle \langle \text{proof} \rangle$

lemma *lass-val-of-red*:

$\llbracket \text{lass-val-of } e = [a]; P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \rrbracket$

$\implies e' = \text{unit} \wedge h' = h \wedge l' = l(\text{fst } a \mapsto \text{snd } a) \wedge sh' = sh \wedge b = b' \langle \text{proof} \rangle$

lemma *final-no-step* [iff]: *final* $e \implies \neg P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *finals-no-step* [iff]: *finals* $es \implies \neg P \vdash \langle es, s, b \rangle \rightarrow \langle es', s', b' \rangle \langle \text{proof} \rangle$

lemma *reds-final-same*:

$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies \text{final } e \implies e = e' \wedge s = s' \wedge b = b'$

$\langle \text{proof} \rangle$

lemma *reds-throw*:

$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies (\bigwedge e_t. \text{throw-of } e = [e_t] \implies \exists e_t'. \text{throw-of } e' = [e_t']) \langle \text{proof} \rangle$

lemma *red-hext-incr*: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies h \sqsubseteq h'$

and *reds-hext-incr*: $P \vdash \langle es, (h, l, sh), b \rangle \rightarrow \langle es', (h', l', sh'), b' \rangle \implies h \sqsubseteq h' \langle \text{proof} \rangle$

lemma *red-lcl-incr*: $P \vdash \langle e, (h_0, l_0, sh_0), b \rangle \rightarrow \langle e', (h_1, l_1, sh_1), b' \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$

and *reds-lcl-incr*: $P \vdash \langle es, (h_0, l_0, sh_0), b \rangle \rightarrow \langle es', (h_1, l_1, sh_1), b' \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1 \langle \text{proof} \rangle$

lemma *red-lcl-add*: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies (\bigwedge l_0. P \vdash \langle e, (h, l_0 + + l, sh), b \rangle \rightarrow \langle e', (h', l_0 + + l, sh'), b' \rangle)$

and *reds-lcl-add*: $P \vdash \langle es, (h, l, sh), b \rangle \rightarrow \langle es', (h', l', sh'), b' \rangle \implies (\bigwedge l_0. P \vdash \langle es, (h, l_0 + + l, sh), b \rangle \rightarrow \langle es', (h', l_0 + + l, sh'), b' \rangle) \langle \text{proof} \rangle$

lemma *Red-lcl-add*:

```

assumes  $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle$  shows  $P \vdash \langle e, (h, l_0 + + l, sh), b \rangle \rightarrow^* \langle e', (h', l_0 + + l', sh'), b' \rangle$   $\langle proof \rangle$ 
lemma assumes wf: wwf-J-prog P
shows red-proc-pres:  $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle$ 
 $\implies \text{not-init } C e \implies sh C = \lfloor (sfs, \text{Processing}) \rfloor \implies \text{not-init } C e' \wedge (\exists sfs'. sh' C = \lfloor (sfs', \text{Processing}) \rfloor)$ 
and reds-proc-pres:  $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{} \langle es', (h', l', sh'), b' \rangle$ 
 $\implies \text{not-inits } C es \implies sh C = \lfloor (sfs, \text{Processing}) \rfloor \implies \text{not-inits } C es' \wedge (\exists sfs'. sh' C = \lfloor (sfs', \text{Processing}) \rfloor)$   $\langle proof \rangle$ 

```

1.19 Expression conformance properties

```

theory EConform
imports SmallStep BigStep
begin

```

```

lemma cons-to-append:  $list \neq [] \longrightarrow (\exists ls. a \# list = ls @ [last list])$ 
 $\langle proof \rangle$ 

```

1.19.1 Initialization conformance

```

fun init-class :: 'm prog  $\Rightarrow$  'a exp  $\Rightarrow$  cname option where
init-class P (new C) = Some C |
init-class P (C $\cdot_s$ F{D}) = Some D |
init-class P (C $\cdot_s$ F{D}:=e2) = Some D |
init-class P (C $\cdot_s$ M(es)) = seeing-class P C M |
init-class - - = None

lemma icheck-init-class: icheck P C e  $\implies$  init-class P e =  $\lfloor C \rfloor$ 
 $\langle proof \rangle$ 
fun ss-exp :: 'a exp  $\Rightarrow$  'a exp and ss-expes :: 'a exp list  $\Rightarrow$  'a exp option where
ss-exp (new C) = new C
| ss-exp (Cast C e) = (case val-of e of Some v  $\Rightarrow$  Cast C e | -  $\Rightarrow$  ss-exp e)
| ss-exp (Val v) = Val v
| ss-exp (e1 «bop» e2) = (case val-of e1 of Some v  $\Rightarrow$  (case val-of e2 of Some v  $\Rightarrow$  e1 «bop» e2 | -  $\Rightarrow$  ss-exp e2)
| -  $\Rightarrow$  ss-exp e1)
| ss-exp (Var V) = Var V
| ss-exp (LAss V e) = (case val-of e of Some v  $\Rightarrow$  LAss V e | -  $\Rightarrow$  ss-exp e)
| ss-exp (e $\cdot$ F{D}) = (case val-of e of Some v  $\Rightarrow$  e $\cdot$ F{D} | -  $\Rightarrow$  ss-exp e)
| ss-exp (C $\cdot_s$ F{D}) = C $\cdot_s$ F{D}
| ss-exp (e1 $\cdot$ F{D}:=e2) = (case val-of e1 of Some v  $\Rightarrow$  (case val-of e2 of Some v  $\Rightarrow$  e1 $\cdot$ F{D}:=e2 | -  $\Rightarrow$  ss-exp e2)
| -  $\Rightarrow$  ss-exp e1)
| ss-exp (C $\cdot_s$ F{D}:=e2) = (case val-of e2 of Some v  $\Rightarrow$  C $\cdot_s$ F{D}:=e2 | -  $\Rightarrow$  ss-exp e2)
| ss-exp (e $\cdot$ M(es)) = (case val-of e of Some v  $\Rightarrow$  (case map-vals-of es of Some t  $\Rightarrow$  e $\cdot$ M(es) | -  $\Rightarrow$  the(ss-expes es))
| -  $\Rightarrow$  ss-exp e)
| ss-exp (C $\cdot_s$ M(es)) = (case map-vals-of es of Some t  $\Rightarrow$  C $\cdot_s$ M(es) | -  $\Rightarrow$  the(ss-expes es))
| ss-exp ({V:T; e}) = ss-exp e
| ss-exp (e1;e2) = (case val-of e1 of Some v  $\Rightarrow$  ss-exp e2
| None  $\Rightarrow$  (case lass-val-of e1 of Some p  $\Rightarrow$  ss-exp e2
| None  $\Rightarrow$  ss-exp e1))
| ss-exp (if (b) e1 else e2) = (case bool-of b of Some True  $\Rightarrow$  if (b) e1 else e2
| Some False  $\Rightarrow$  if (b) e1 else e2

```

```

| - ⇒ ss-exp b)
| ss-exp (while (b) e) = while (b) e
| ss-exp (throw e) = (case val-of e of Some v ⇒ throw e | - ⇒ ss-exp e)
| ss-exp (try e1 catch(C V) e2) = (case val-of e1 of Some v ⇒ try e1 catch(C V) e2
| | - ⇒ ss-exp e1)
| ss-exp (INIT C (Cs,b) ← e) = INIT C (Cs,b) ← e
| ss-exp (RI (C,e);Cs ← e') = (case val-of e of Some v ⇒ RI (C,e);Cs ← e | - ⇒ ss-exp e)
| ss-exp[] = None
| ss-exp[] = (case val-of e of Some v ⇒ ss-exp[] es | - ⇒ Some (ss-exp e))

```

lemma icheck-ss-exp:

assumes icheck P C e **shows** ss-exp e = e
(proof)

lemma ss-exp[]-Vals-None[simp]:

ss-exp[] (map Val vs) = None
(proof)

lemma ss-exp[]-Vals-NoneI:

ss-exp[] es = None ⇒ ∃ vs. es = map Val vs
(proof)

lemma ss-exp[]-throw-nVal:

[[val-of e = None; ss-exp[] (map Val vs @ throw e # es') = [e']]]
 ⇒ e' = ss-exp e
(proof)

lemma ss-exp[]-throw-Val:

[[val-of e = [a]; ss-exp[] (map Val vs @ throw e # es') = [e']]]
 ⇒ e' = throw e
(proof)

abbreviation curr-init :: 'm prog ⇒ 'a exp ⇒ cname option **where**

curr-init P e ≡ init-class P (ss-exp e)

abbreviation curr-inits :: 'm prog ⇒ 'a exp list ⇒ cname option **where**

curr-inits P es ≡ case ss-exp[] es of Some e ⇒ init-class P e | - ⇒ None

lemma icheck-curr-init': ∀ e'. ss-exp e = e' ⇒ icheck P C e' ⇒ curr-init P e = [C]
and icheck-curr-inits': ∀ e. ss-exp[] es = [e] ⇒ icheck P C e ⇒ curr-inits P es = [C]
(proof)

lemma icheck-curr-init: icheck P C e' ⇒ ss-exp e = e' ⇒ curr-init P e = [C]
(proof)

lemma icheck-curr-inits: icheck P C e ⇒ ss-exp[] es = [e] ⇒ curr-inits P es = [C]
(proof)

definition initPD :: sheap ⇒ cname ⇒ bool **where**

initPD sh C ≡ ∃ sfs i. sh C = Some (sfs, i) ∧ (i = Done ∨ i = Processing)

— checks that INIT and RI conform and are only in the main computation

fun iconf :: sheap ⇒ 'a exp ⇒ bool **and** iconfs :: sheap ⇒ 'a exp list ⇒ bool **where**
 iconf sh (new C) = True

```

|  $iconf sh (Cast C e) = iconf sh e$ 
|  $iconf sh (Val v) = True$ 
|  $iconf sh (e_1 \llcorner bop \lrcorner e_2) = (\text{case val-of } e_1 \text{ of Some } v \Rightarrow iconf sh e_2 \mid - \Rightarrow iconf sh e_1 \wedge \neg sub\text{-}RI e_2)$ 
|  $iconf sh (Var V) = True$ 
|  $iconf sh (LAss V e) = iconf sh e$ 
|  $iconf sh (e \cdot F\{D\}) = iconf sh e$ 
|  $iconf sh (C \cdot_s F\{D\}) = True$ 
|  $iconf sh (e_1 \cdot F\{D\} := e_2) = (\text{case val-of } e_1 \text{ of Some } v \Rightarrow iconf sh e_2 \mid - \Rightarrow iconf sh e_1 \wedge \neg sub\text{-}RI e_2)$ 
|  $iconf sh (C \cdot_s F\{D\} := e_2) = iconf sh e_2$ 
|  $iconf sh (e \cdot M(es)) = (\text{case val-of } e \text{ of Some } v \Rightarrow iconfs sh es \mid - \Rightarrow iconf sh e \wedge \neg sub\text{-}RIs es)$ 
|  $iconf sh (C \cdot_s M(es)) = iconfs sh es$ 
|  $iconf sh (\{V:T; e\}) = iconf sh e$ 
|  $iconf sh (e_1;;e_2) = (\text{case val-of } e_1 \text{ of Some } v \Rightarrow iconf sh e_2$ 
|      $| None \Rightarrow (\text{case lass-val-of } e_1 \text{ of Some } p \Rightarrow iconf sh e_2$ 
|          $| None \Rightarrow iconf sh e_1 \wedge \neg sub\text{-}RI e_2))$ 
|  $iconf sh (if (b) e_1 \text{ else } e_2) = (iconf sh b \wedge \neg sub\text{-}RI e_1 \wedge \neg sub\text{-}RI e_2)$ 
|  $iconf sh (while (b) e) = (\neg sub\text{-}RI b \wedge \neg sub\text{-}RI e)$ 
|  $iconf sh (throw e) = iconf sh e$ 
|  $iconf sh (try e_1 \text{ catch}(C V) e_2) = (iconf sh e_1 \wedge \neg sub\text{-}RI e_2)$ 
|  $iconf sh (INIT C (Cs,b) \leftarrow e) = ((\text{case } Cs \text{ of Nil} \Rightarrow initPD sh C \mid C' \# Cs' \Rightarrow last Cs = C) \wedge \neg sub\text{-}RI e)$ 
|  $iconf sh (RI (C,e);Cs \leftarrow e') = (iconf sh e \wedge \neg sub\text{-}RI e')$ 
|  $iconfs sh ([])) = True$ 
|  $iconfs sh (e \# es) = (\text{case val-of } e \text{ of Some } v \Rightarrow iconfs sh es \mid - \Rightarrow iconf sh e \wedge \neg sub\text{-}RIs es)$ 

```

lemma *iconfs-map-throw*: $iconfs sh (\text{map Val vs} @ \text{throw } e \# es') \implies iconf sh e$
(proof)

lemma *nsub-RI-iconf-aux*:

$(\neg sub\text{-}RI (e::'a exp) \implies (\forall e'. e' \in subexp e \implies \neg sub\text{-}RI e' \implies iconf sh e') \implies iconf sh e)$
 $\wedge (\neg sub\text{-}RIs (es::'a exp list) \implies (\forall e'. e' \in subexps es \implies \neg sub\text{-}RI e' \implies iconf sh e') \implies iconfs sh es)$
(proof)

lemma *nsub-RI-iconf-aux'*:

$(\wedge e'. subexp\text{-}of } e' e \implies \neg sub\text{-}RI e' \implies iconf sh e') \implies (\neg sub\text{-}RI e \implies iconf sh e)$
(proof)

lemma *nsub-RI-iconf*: $\neg sub\text{-}RI e \implies iconf sh e$

and *nsub-RIs-iconfs*: $\neg sub\text{-}RIs es \implies iconfs sh es$

(proof)

lemma *lass-val-of-iconf*: $lass\text{-}val\text{-}of e = [a] \implies iconf sh e$
(proof)

lemma *icheck-iconf*:

assumes *icheck P C e shows* $iconf sh e$
(proof)

1.19.2 Indicator boolean conformance

definition *bconf* :: '*m prog* \Rightarrow *sheap* \Rightarrow '*a exp* \Rightarrow *bool* \Rightarrow *bool* ($\langle \cdot, \cdot \rangle \vdash_b \langle \cdot, \cdot \rangle \vee [51, 51, 0, 0] 50$)
where

$P, sh \vdash_b (e, b) \vee \equiv b \implies (\exists C. \text{icheck } P C (\text{ss-exp } e) \wedge \text{initPD sh } C)$

definition $bconfs :: 'm\ prog \Rightarrow sheap \Rightarrow 'a\ exp\ list \Rightarrow bool \Rightarrow bool \ (\langle\langle\ ,\ -\ \vdash_b\ '(-,-)\ \vee\rangle\ [51,51,0,0]\ 50)$
where

$$P,sh \vdash_b (es,b) \vee \equiv b \longrightarrow (\exists C. (icheck P C (the(ss-expes es)) \wedge (curr-init P es = Some C) \wedge initPD sh C))$$

— bconf helper lemmas

lemma $bconf\text{-}nonVal[simp]$:

$$P,sh \vdash_b (e,True) \vee \implies val\text{-}of\ e = None$$

$\langle proof \rangle$

lemma $bconf\text{-}nonVals[simp]$:

$$P,sh \vdash_b (es,True) \vee \implies map\text{-}vals\text{-}of\ es = None$$

$\langle proof \rangle$

lemma $bconf\text{-}Cast[iff]$:

$$P,sh \vdash_b (Cast\ C\ e,b) \vee \longleftrightarrow P,sh \vdash_b (e,b) \vee$$

$\langle proof \rangle$

lemma $bconf\text{-}BinOp[iff]$:

$$\begin{aligned} P,sh \vdash_b (e1\ «bop»\ e2,b) \vee \\ \longleftrightarrow (case\ val\text{-}of\ e1\ of\ Some\ v \Rightarrow P,sh \vdash_b (e2,b) \vee | - \Rightarrow P,sh \vdash_b (e1,b) \vee) \end{aligned}$$

$\langle proof \rangle$

lemma $bconf\text{-}LAss[iff]$:

$$P,sh \vdash_b (LAss\ V\ e,b) \vee \longleftrightarrow P,sh \vdash_b (e,b) \vee$$

$\langle proof \rangle$

lemma $bconf\text{-}FAcc[iff]$:

$$P,sh \vdash_b (e\cdot F\{D\},b) \vee \longleftrightarrow P,sh \vdash_b (e,b) \vee$$

$\langle proof \rangle$

lemma $bconf\text{-}FAss[iff]$:

$$\begin{aligned} P,sh \vdash_b (FAss\ e1\ F\ D\ e2,b) \vee \\ \longleftrightarrow (case\ val\text{-}of\ e1\ of\ Some\ v \Rightarrow P,sh \vdash_b (e2,b) \vee | - \Rightarrow P,sh \vdash_b (e1,b) \vee) \end{aligned}$$

$\langle proof \rangle$

lemma $bconf\text{-}SFAss[iff]$:

$$val\text{-}of\ e2 = None \implies P,sh \vdash_b (SFAss\ C\ F\ D\ e2,b) \vee \longleftrightarrow P,sh \vdash_b (e2,b) \vee$$

$\langle proof \rangle$

lemma $bconf\text{-}Vals[iff]$:

$$P,sh \vdash_b (map\ Val\ vs,\ b) \vee \longleftrightarrow \neg\ b$$

$\langle proof \rangle$

lemma $bconf\text{-}Call[iff]$:

$$\begin{aligned} P,sh \vdash_b (e\cdot M(es),b) \vee \\ \longleftrightarrow (case\ val\text{-}of\ e\ of\ Some\ v \Rightarrow P,sh \vdash_b (es,b) \vee | - \Rightarrow P,sh \vdash_b (e,b) \vee) \end{aligned}$$

$\langle proof \rangle$

lemma $bconf\text{-}SCall[iff]$:

$$\text{assumes } mvn: map\text{-}vals\text{-}of\ es = None$$

shows $P, sh \vdash_b (C \cdot_s M(es), b) \vee \longleftrightarrow P, sh \vdash_b (es, b) \vee \langle proof \rangle$

lemma $bconf\text{-}Cons[iff]$:

$$\begin{aligned} P, sh \vdash_b (e \# es, b) \vee \\ \longleftrightarrow (\text{case val-of } e \text{ of Some } v \Rightarrow P, sh \vdash_b (es, b) \vee | - \Rightarrow P, sh \vdash_b (e, b) \vee) \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}InitBlock[iff]$:

$$\begin{aligned} P, sh \vdash_b (\{V:T; V:=Val v;; e_2\}, b) \vee \longleftrightarrow P, sh \vdash_b (e_2, b) \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}Block[iff]$:

$$\begin{aligned} P, sh \vdash_b (\{V:T; e\}, b) \vee \longleftrightarrow P, sh \vdash_b (e, b) \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}Seq[iff]$:

$$\begin{aligned} P, sh \vdash_b (e1;; e2, b) \vee \\ \longleftrightarrow (\text{case val-of } e1 \text{ of Some } v \Rightarrow P, sh \vdash_b (e2, b) \vee \\ | - \Rightarrow (\text{case lass-val-of } e1 \text{ of Some } p \Rightarrow P, sh \vdash_b (e2, b) \vee \\ | None \Rightarrow P, sh \vdash_b (e1, b) \vee)) \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}Cond[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{if } (b) e_1 \text{ else } e_2, b') \vee \longleftrightarrow P, sh \vdash_b (b, b') \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}While[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{while } (b) e, b') \vee \longleftrightarrow \neg b' \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}Throw[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{throw } e, b) \vee \longleftrightarrow P, sh \vdash_b (e, b) \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}Try[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{try } e_1 \text{ catch}(C V) e_2, b) \vee \longleftrightarrow P, sh \vdash_b (e_1, b) \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}INIT[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{INIT } C (Cs, b') \leftarrow e, b) \vee \longleftrightarrow \neg b \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}RI[iff]$:

$$\begin{aligned} P, sh \vdash_b (RI(C, e); Cs \leftarrow e', b) \vee \longleftrightarrow P, sh \vdash_b (e, b) \vee \\ \langle proof \rangle \end{aligned}$$

lemma $bconf\text{-}map-throw[iff]$:

$$\begin{aligned} P, sh \vdash_b (\text{map Val vs @ throw } e \# es', b) \vee \longleftrightarrow P, sh \vdash_b (e, b) \vee \\ \langle proof \rangle \end{aligned}$$

end

1.20 Progress of Small Step Semantics

```

theory Progress
imports WellTypeRT DefAss .. / Common / Conform EConform
begin

lemma final-addrE:
   $\llbracket P, E, h, sh \vdash e : \text{Class } C; \text{final } e;$ 
   $\wedge a. e = \text{addr } a \implies R;$ 
   $\wedge a. e = \text{Throw } a \implies R \rrbracket \implies R \langle \text{proof} \rangle$ 

lemma finalRefE:
   $\llbracket P, E, h, sh \vdash e : T; \text{is-refT } T; \text{final } e;$ 
   $e = \text{null} \implies R;$ 
   $\wedge a. C. \llbracket e = \text{addr } a; T = \text{Class } C \rrbracket \implies R;$ 
   $\wedge a. e = \text{Throw } a \implies R \rrbracket \implies R \langle \text{proof} \rangle$ 

```

Derivation of new induction scheme for well typing:

```

inductive
  WTrt' :: [J-prog, heap, sheap, env, expr, ty]  $\Rightarrow$  bool
  and WTrts' :: [J-prog, heap, sheap, env, expr list, ty list]  $\Rightarrow$  bool
  and WTrt2' :: [J-prog, env, heap, sheap, expr, ty]  $\Rightarrow$  bool
    ( $\langle \cdot, \cdot, \cdot, \cdot \vdash \cdot \rangle^{\cdot} \rightarrow [51, 51, 51, 51] 50$ )
  and WTrts2' :: [J-prog, env, heap, sheap, expr list, ty list]  $\Rightarrow$  bool
    ( $\langle \cdot, \cdot, \cdot, \cdot \vdash \cdot \rangle^{\cdot} \rightarrow [51, 51, 51, 51] 50$ )
  for P :: J-prog and h :: heap and sh :: sheap
where

```

$P, E, h, sh \vdash e :' T \equiv WTrt' P h sh E e T$

$| P, E, h, sh \vdash es [:'] Ts \equiv WTrts' P h sh E es Ts$

$| \text{is-class } P C \implies P, E, h, sh \vdash \text{new } C :' \text{Class } C$

$| \llbracket P, E, h, sh \vdash e :' T; \text{is-refT } T; \text{is-class } P C \rrbracket \implies P, E, h, sh \vdash \text{Cast } C e :' \text{Class } C$

$| \text{typeof}_h v = \text{Some } T \implies P, E, h, sh \vdash \text{Val } v :' T$

$| E v = \text{Some } T \implies P, E, h, sh \vdash \text{Var } v :' T$

$| \llbracket P, E, h, sh \vdash e_1 :' T_1; P, E, h, sh \vdash e_2 :' T_2 \rrbracket \implies P, E, h, sh \vdash e_1 \llcorner \text{Eq} \lrcorner e_2 :' \text{Boolean}$

$| \llbracket P, E, h, sh \vdash e_1 :' \text{Integer}; P, E, h, sh \vdash e_2 :' \text{Integer} \rrbracket \implies P, E, h, sh \vdash e_1 \llcorner \text{Add} \lrcorner e_2 :' \text{Integer}$

$| \llbracket P, E, h, sh \vdash \text{Var } V :' T; P, E, h, sh \vdash e :' T'; P \vdash T' \leq T \rrbracket \implies P, E, h, sh \vdash V := e :' \text{Void}$

$| \llbracket P, E, h, sh \vdash e :' \text{Class } C; P \vdash C \text{ has } F, \text{NonStatic}:T \text{ in } D \rrbracket \implies P, E, h, sh \vdash e \cdot F\{D\} :' T$

$| P, E, h, sh \vdash e :' NT \implies P, E, h, sh \vdash e \cdot F\{D\} :' T$

$| \llbracket P \vdash C \text{ has } F, \text{Static}:T \text{ in } D \rrbracket \implies P, E, h, sh \vdash C \cdot_s F\{D\} :' T$

$| \llbracket P, E, h, sh \vdash e_1 :' \text{Class } C; P \vdash C \text{ has } F, \text{NonStatic}:T \text{ in } D;$

$P, E, h, sh \vdash e_2 :' T_2; P \vdash T_2 \leq T \rrbracket \implies P, E, h, sh \vdash e_1 \cdot F\{D\} := e_2 :' \text{Void}$

$| \llbracket P, E, h, sh \vdash e_1 :' NT; P, E, h, sh \vdash e_2 :' T_2 \rrbracket \implies P, E, h, sh \vdash e_1 \cdot F\{D\} := e_2 :' \text{Void}$

$| \llbracket P \vdash C \text{ has } F, \text{Static}:T \text{ in } D;$

$P, E, h, sh \vdash e_2 :' T_2; P \vdash T_2 \leq T \rrbracket \implies P, E, h, sh \vdash C \cdot_s F\{D\} := e_2 :' \text{Void}$

$| \llbracket P, E, h, sh \vdash e :' \text{Class } C; P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = (pns, body) \text{ in } D;$

$P, E, h, sh \vdash es [:'] Ts'; P \vdash Ts' [\leq] Ts \rrbracket \implies P, E, h, sh \vdash e \cdot M(es) :' T$

| $\llbracket P, E, h, sh \vdash e :' NT; P, E, h, sh \vdash es [:] Ts \rrbracket \implies P, E, h, sh \vdash e.M(es) :' T$
 | $\llbracket P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = (\text{pns}, \text{body}) \text{ in } D;$
 $P, E, h, sh \vdash es [:] Ts'; P \vdash Ts' [\leq] Ts;$
 $M = \text{clinit} \longrightarrow sh D = \lfloor (\text{sfs}, \text{Processing}) \rfloor \wedge es = \text{map Val vs} \rfloor$
 $\implies P, E, h, sh \vdash C \cdot_s M(es) :' T$
 $| P, E, h, sh \vdash [] [:] []$
| $\llbracket P, E, h, sh \vdash e :' T; P, E, h, sh \vdash es [:] Ts \rrbracket \implies P, E, h, sh \vdash e \# es [:] T \# Ts$
| $\llbracket \text{typeof}_h v = \text{Some } T_1; P \vdash T_1 \leq T; P, E(V \mapsto T), h, sh \vdash e_2 :' T_2 \rrbracket$
 $\implies P, E, h, sh \vdash \{V:T := \text{Val } v; e_2\} :' T_2$
| $\llbracket P, E(V \mapsto T), h, sh \vdash e :' T'; \neg \text{assigned } V e \rrbracket \implies P, E, h, sh \vdash \{V:T; e\} :' T'$
| $\llbracket P, E, h, sh \vdash e_1 :' T_1; P, E, h, sh \vdash e_2 :' T_2 \rrbracket \implies P, E, h, sh \vdash e_1; e_2 :' T_2$
| $\llbracket P, E, h, sh \vdash e :' \text{Boolean}; P, E, h, sh \vdash e_1 :' T_1; P, E, h, sh \vdash e_2 :' T_2;$
 $P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1;$
 $P \vdash T_1 \leq T_2 \longrightarrow T = T_2; P \vdash T_2 \leq T_1 \longrightarrow T = T_1 \rrbracket$
 $\implies P, E, h, sh \vdash \text{if } (e) e_1 \text{ else } e_2 :' T$
| $\llbracket P, E, h, sh \vdash e :' \text{Boolean}; P, E, h, sh \vdash c :' T \rrbracket$
 $\implies P, E, h, sh \vdash \text{while}(e) c :' \text{Void}$
| $\llbracket P, E, h, sh \vdash e :' T_r; \text{is-refT } T_r \rrbracket \implies P, E, h, sh \vdash \text{throw } e :' T$
| $\llbracket P, E, h, sh \vdash e_1 :' T_1; P, E(V \mapsto \text{Class } C), h, sh \vdash e_2 :' T_2; P \vdash T_1 \leq T_2 \rrbracket$
 $\implies P, E, h, sh \vdash \text{try } e_1 \text{ catch}(C V) e_2 :' T_2$
| $\llbracket P, E, h, sh \vdash e :' T; \forall C' \in \text{set } (C \# Cs). \text{is-class } P C'; \neg \text{sub-RI } e;$
 $\forall C' \in \text{set } (tl Cs). \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor;$
 $b \longrightarrow (\forall C' \in \text{set } Cs. \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor);$
 $\text{distinct } Cs; \text{supercls-lst } P Cs \rrbracket \implies P, E, h, sh \vdash \text{INIT } C (Cs, b) \leftarrow e :' T$
| $\llbracket P, E, h, sh \vdash e :' T; P, E, h, sh \vdash e' :' T'; \forall C' \in \text{set } (C \# Cs). \text{is-class } P C'; \neg \text{sub-RI } e';$
 $\forall C' \in \text{set } (C \# Cs). \text{not-init } C' e;$
 $\forall C' \in \text{set } Cs. \exists \text{sfs. sh } C' = \lfloor (\text{sfs}, \text{Processing}) \rfloor;$
 $\exists \text{sfs. sh } C = \lfloor (\text{sfs}, \text{Processing}) \rfloor \vee (\text{sh } C = \lfloor (\text{sfs}, \text{Error}) \rfloor \wedge e = \text{THROW NoClassDefFoundError});$
 $\text{distinct } (C \# Cs); \text{supercls-lst } P (C \# Cs) \rrbracket$
 $\implies P, E, h, sh \vdash \text{RI}(C, e); Cs \leftarrow e' :' T'$

lemma [iff]: $P, E, h, sh \vdash e_1; e_2 :' T_2 = (\exists T_1. P, E, h, sh \vdash e_1 :' T_1 \wedge P, E, h, sh \vdash e_2 :' T_2)$ $\langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash \text{Val } v :' T = (\text{typeof}_h v = \text{Some } T)$ $\langle \text{proof} \rangle$
lemma [iff]: $P, E, h, sh \vdash \text{Var } v :' T = (E v = \text{Some } T)$ $\langle \text{proof} \rangle$

lemma wt-wt': $P, E, h, sh \vdash e : T \implies P, E, h, sh \vdash e :' T$
and wts-wts': $P, E, h, sh \vdash es [:] Ts \implies P, E, h, sh \vdash es [:] Ts$ $\langle \text{proof} \rangle$

lemma wt'-wt: $P, E, h, sh \vdash e :' T \implies P, E, h, sh \vdash e : T$
and wts'-wts: $P, E, h, sh \vdash es [:] Ts \implies P, E, h, sh \vdash es [:] Ts$ $\langle \text{proof} \rangle$

corollary wt'-iff-wt: $(P, E, h, sh \vdash e :' T) = (P, E, h, sh \vdash e : T)$ $\langle \text{proof} \rangle$

corollary wts'-iff-wts: $(P, E, h, sh \vdash es [:] Ts) = (P, E, h, sh \vdash es [:] Ts)$ $\langle \text{proof} \rangle$
theorem assumes wf: $\text{uwf-J-prog } P$ **and** $\text{hconf: } P \vdash h \vee \text{and shconf: } P, h \vdash_s sh \vee$
shows progress: $P, E, h, sh \vdash e : T \implies$
 $(\bigwedge l. \llbracket \mathcal{D} e \lfloor \text{dom } l \rfloor; P, sh \vdash_b (e, b) \vee; \neg \text{final } e \rrbracket \implies \exists e' s' b'. P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', s', b' \rangle)$
and $P, E, h, sh \vdash es [:] Ts \implies$
 $(\bigwedge l. \llbracket \mathcal{D} s es \lfloor \text{dom } l \rfloor; P, sh \vdash_b (es, b) \vee; \neg \text{finals } es \rrbracket \implies \exists es' s' b'. P \vdash \langle es, (h, l, sh), b \rangle \rightarrow \langle es', s', b' \rangle)$ $\langle \text{proof} \rangle$
end

1.21 Well-formedness Constraints

```

theory JWellForm
imports .../Common/WellForm WWellForm WellType DefAss
begin

definition wf-J-mdecl :: J-prog  $\Rightarrow$  cname  $\Rightarrow$  J-mb mdecl  $\Rightarrow$  bool
where
  wf-J-mdecl P C  $\equiv$   $\lambda(M,b,Ts,T,(pns,body)).$ 
  length Ts = length pns  $\wedge$ 
  distinct pns  $\wedge$ 
   $\neg$ sub-RI body  $\wedge$ 
  (case b of
    NonStatic  $\Rightarrow$  this  $\notin$  set pns  $\wedge$ 
    ( $\exists T'. P, [this \mapsto Class\ C, pns[\rightarrow] Ts] \vdash body :: T' \wedge P \vdash T' \leq T$ )  $\wedge$ 
     $\mathcal{D}\ body \ [ \{this\} \cup set\ pns ]$ 
  | Static  $\Rightarrow$  ( $\exists T'. P, [pns[\rightarrow] Ts] \vdash body :: T' \wedge P \vdash T' \leq T$ )  $\wedge$ 
     $\mathcal{D}\ body \ [ set\ pns ]$ )
  )

lemma wf-J-mdecl-NonStatic[simp]:
  wf-J-mdecl P C (M,NonStatic,Ts,T,pns,body)  $\equiv$ 
  (length Ts = length pns  $\wedge$ 
  distinct pns  $\wedge$ 
   $\neg$ sub-RI body  $\wedge$ 
  this  $\notin$  set pns  $\wedge$ 
  ( $\exists T'. P, [this \mapsto Class\ C, pns[\rightarrow] Ts] \vdash body :: T' \wedge P \vdash T' \leq T$ )  $\wedge$ 
   $\mathcal{D}\ body \ [ \{this\} \cup set\ pns ] \langle proof \rangle$ 
  )

lemma wf-J-mdecl-Static[simp]:
  wf-J-mdecl P C (M,Static,Ts,T,pns,body)  $\equiv$ 
  (length Ts = length pns  $\wedge$ 
  distinct pns  $\wedge$ 
   $\neg$ sub-RI body  $\wedge$ 
  ( $\exists T'. P, [pns[\rightarrow] Ts] \vdash body :: T' \wedge P \vdash T' \leq T$ )  $\wedge$ 
   $\mathcal{D}\ body \ [ set\ pns ] \langle proof \rangle$ 
  )

abbreviation
  wf-J-prog :: J-prog  $\Rightarrow$  bool where
  wf-J-prog == wf-prog wf-J-mdecl

lemma wf-J-prog-wf-J-mdecl:
   $\llbracket wf\text{-}J\text{-}prog\ P; (C, D, fds, mths) \in set\ P; jmdcl \in set\ mths \rrbracket$ 
   $\implies wf\text{-}J\text{-}mdecl\ P\ C\ jmdcl \langle proof \rangle$ 
lemma wf-mdecl-wwf-mdecl: wf-J-mdecl P C Md  $\implies$  wwf-J-mdecl P C Md  $\langle proof \rangle$ 
lemma wf-prog-wwf-prog: wf-J-prog P  $\implies$  wwf-J-prog P  $\langle proof \rangle$ 

end

```

1.22 Type Safety Proof

```

theory TypeSafe
imports Progress BigStep SmallStep JWellForm
begin

```

lemma *red-shext-incr*: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle$
 $\implies (\bigwedge E. P, E, h, sh \vdash e : T \implies sh \trianglelefteq_s sh')$
and *reds-shext-incr*: $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle$
 $\implies (\bigwedge Ts. P, E, h, sh \vdash es [:] Ts \implies sh \trianglelefteq_s sh') \langle proof \rangle$
lemma *wf-types-clinit*:
assumes *wf:wf-prog wf-md P and ex: class P C = Some a and proc: sh C = [(sfs, Processing)]*
shows $P, E, h, sh \vdash C \cdot_s clinit([]) : Void$
 $\langle proof \rangle$

1.22.1 Basic preservation lemmas

First some easy preservation lemmas.

theorem *red-preserves-hconf*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies (\bigwedge T. E. \llbracket P, E, h, sh \vdash e : T; P \vdash h \checkmark \rrbracket \implies P \vdash h' \checkmark)$
and *reds-preserves-hconf*:
 $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle \implies (\bigwedge Ts. E. \llbracket P, E, h, sh \vdash es [:] Ts; P \vdash h \checkmark \rrbracket \implies P \vdash h' \checkmark) \langle proof \rangle$

theorem *red-preserves-lconf*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies$
 $(\bigwedge T. E. \llbracket P, E, h, sh \vdash e : T; P, h \vdash l (\leq) E \rrbracket \implies P, h' \vdash l' (\leq) E)$
and *reds-preserves-lconf*:
 $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle \implies$
 $(\bigwedge Ts. E. \llbracket P, E, h, sh \vdash es [:] Ts; P, h \vdash l (\leq) E \rrbracket \implies P, h' \vdash l' (\leq) E) \langle proof \rangle$

theorem *red-preserves-shconf*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies (\bigwedge T. E. \llbracket P, E, h, sh \vdash e : T; P, h \vdash_s sh \checkmark \rrbracket \implies P, h' \vdash_s sh' \checkmark)$
and *reds-preserves-shconf*:

$P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle \implies (\bigwedge Ts. E. \llbracket P, E, h, sh \vdash es [:] Ts; P, h \vdash_s sh \checkmark \rrbracket \implies P, h' \vdash_s sh' \checkmark) \langle proof \rangle$

theorem assumes *wf: wwf-J-prog P*

shows *red-preserves-iconf*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies iconf sh e \implies iconf sh' e'$

and *reds-preserves-iconf*:

$P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle \implies iconfs sh es \implies iconfs sh' es' \langle proof \rangle$

lemma *Seq-bconf-preserve-aux*:

assumes $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle$ **and** $P, sh \vdash_b (e;; e_2, b) \checkmark$
and $P, sh \vdash_b (e::expr, b) \checkmark \implies P, sh' \vdash_b (e'::expr, b') \checkmark$
shows $P, sh' \vdash_b (e';; e_2, b') \checkmark$
 $\langle proof \rangle$

theorem *red-preserves-bconf*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies iconf sh e \implies P, sh \vdash_b (e, b) \checkmark \implies P, sh' \vdash_b (e', b') \checkmark$
and *reds-preserves-bconf*:
 $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{[\rightarrow]} \langle es', (h', l', sh'), b' \rangle \implies iconfs sh es \implies P, sh \vdash_b (es, b) \checkmark \implies P, sh' \vdash_b (es', b') \checkmark \langle proof \rangle$

Preservation of definite assignment more complex and requires a few lemmas first.

lemma *[iff]*: $\bigwedge A. \llbracket length Vs = length Ts; length vs = length Ts \rrbracket \implies$
 $\mathcal{D}(\text{blocks } (Vs, Ts, vs, e)) A = \mathcal{D} e (A \sqcup [\text{set } Vs]) \langle proof \rangle$

lemma *red-lA-incr*: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle$

$\Rightarrow \lfloor \text{dom } l \rfloor \sqcup \mathcal{A} e \subseteq \lfloor \text{dom } l' \rfloor \sqcup \mathcal{A} e'$
and *reds-lA-incr*: $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{} \langle es', (h', l', sh'), b' \rangle$
 $\Rightarrow \lfloor \text{dom } l \rfloor \sqcup \mathcal{A}s es \subseteq \lfloor \text{dom } l' \rfloor \sqcup \mathcal{A}s es' \langle \text{proof} \rangle$

Now preservation of definite assignment.

lemma assumes *wf*: *wf-J-prog P*

shows *red-preserves-defass*:

$P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \Rightarrow \mathcal{D} e \lfloor \text{dom } l \rfloor \Rightarrow \mathcal{D} e' \lfloor \text{dom } l' \rfloor$
and $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{} \langle es', (h', l', sh'), b' \rangle \Rightarrow \mathcal{D}s es \lfloor \text{dom } l \rfloor \Rightarrow \mathcal{D}s es' \lfloor \text{dom } l' \rfloor \langle \text{proof} \rangle$

Combining conformance of heap, static heap, and local variables:

definition *sconf* :: *J-prog* \Rightarrow *env* \Rightarrow *state* \Rightarrow *bool* ($\langle \cdot, \cdot \vdash \cdot \vee \cdot \rangle$ [51,51,51]50)

where

$P, E \vdash s \vee \equiv \text{let } (h, l, sh) = s \text{ in } P \vdash h \vee \wedge P, h \vdash l (\leq) E \wedge P, h \vdash_s sh \vee$

lemma *red-preserves-sconf*:

$\llbracket P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle; P, E, hp s, shp s \vdash e : T; P, E \vdash s \vee \rrbracket \Rightarrow P, E \vdash s' \vee \langle \text{proof} \rangle$

lemma *reds-preserves-sconf*:

$\llbracket P \vdash \langle es, s, b \rangle \xrightarrow{} \langle es', s', b' \rangle; P, E, hp s, shp s \vdash es [:] Ts; P, E \vdash s \vee \rrbracket \Rightarrow P, E \vdash s' \vee \langle \text{proof} \rangle$

1.22.2 Subject reduction

lemma *wt-blocks*:

$\wedge E. \llbracket \text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts \rrbracket \Rightarrow$
 $(P, E, h, sh \vdash \text{blocks}(Vs, Ts, vs, e) : T) =$
 $(P, E(Vs[\rightarrow] Ts), h, sh \vdash e : T \wedge (\exists Ts'. \text{map}(\text{typeof}_h) vs = \text{map Some } Ts' \wedge P \vdash Ts' [\leq] Ts)) \langle \text{proof} \rangle$

theorem assumes *wf*: *wf-J-prog P*

shows *subject-reduction2*: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \Rightarrow$

$(\wedge E T. \llbracket P, E \vdash (h, l, sh) \vee; \text{iconf } sh e; P, E, h, sh \vdash e : T \rrbracket \Rightarrow$
 $\exists T'. P, E, h', sh' \vdash e' : T' \wedge P \vdash T' \leq T)$

and *subjects-reduction2*: $P \vdash \langle es, (h, l, sh), b \rangle \xrightarrow{} \langle es', (h', l', sh'), b' \rangle \Rightarrow$

$(\wedge E Ts. \llbracket P, E \vdash (h, l, sh) \vee; \text{iconfs } sh es; P, E, h, sh \vdash es [:] Ts \rrbracket \Rightarrow$
 $\exists Ts'. P, E, h', sh' \vdash es' [:] Ts' \wedge P \vdash Ts' [\leq] Ts) \langle \text{proof} \rangle$

corollary *subject-reduction*:

$\llbracket wf\text{-J-prog } P; P \vdash \langle e, s, b \rangle \rightarrow \langle e', s', b' \rangle; P, E \vdash s \vee; \text{iconf } (shp s) e; P, E, hp s, shp s \vdash e : T \rrbracket \Rightarrow$
 $\exists T'. P, E, hp s', shp s' \vdash e' : T' \wedge P \vdash T' \leq T \langle \text{proof} \rangle$

corollary *subjects-reduction*:

$\llbracket wf\text{-J-prog } P; P \vdash \langle es, s, b \rangle \xrightarrow{} \langle es', s', b' \rangle; P, E \vdash s \vee; \text{iconfs } (shp s) es; P, E, hp s, shp s \vdash es [:] Ts \rrbracket \Rightarrow$
 $\exists Ts'. P, E, hp s', shp s' \vdash es' [:] Ts' \wedge P \vdash Ts' [\leq] Ts \langle \text{proof} \rangle$

1.22.3 Lifting to \rightarrow^*

Now all these preservation lemmas are first lifted to the transitive closure ...

lemma *Red-preserves-sconf*:

assumes *wf*: *wf-J-prog P* **and** *Red*: $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle$

shows $\wedge T. \llbracket P, E, hp s, shp s \vdash e : T; \text{iconf } (shp s) e; P, E \vdash s \vee \rrbracket \Rightarrow P, E \vdash s' \vee \langle \text{proof} \rangle$

lemma *Red-preserves-iconf*:

assumes *wf*: *wwf-J-prog P* **and** *Red*: $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle$

shows *iconf* (*shp s*) *e* \Rightarrow *iconf* (*shp s'*) *e'* $\langle \text{proof} \rangle$

lemma *Reds-preserves-iconf*:

assumes *wf*: *wwf-J-prog P* **and** *Red*: $P \vdash \langle es, s, b \rangle \xrightarrow{} \langle es', s', b' \rangle$

shows *iconfs* (*shp s*) *es* \Rightarrow *iconfs* (*shp s'*) *es'* $\langle \text{proof} \rangle$

lemma *Red-preserves-bconf*:

assumes $wf: wwf\text{-}J\text{-}prog P$ **and** $Red: P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle$
shows $iconf(shp s) e \implies P,(shp s) \vdash_b (e,b) \vee \implies P,(shp s') \vdash_b (e':expr,b') \vee \langle proof \rangle$
lemma $Reds\text{-}preserves\text{-}bconf:$
assumes $wf: wwf\text{-}J\text{-}prog P$ **and** $Red: P \vdash \langle es,s,b \rangle [\rightarrow]^* \langle es',s',b' \rangle$
shows $iconfs(shp s) es \implies P,(shp s) \vdash_b (es,b) \vee \implies P,(shp s') \vdash_b (es':expr\ list,b') \vee \langle proof \rangle$
lemma $Red\text{-}preserves\text{-}defass:$
assumes $wf: wf\text{-}J\text{-}prog P$ **and** $reds: P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle$
shows $\mathcal{D} e [dom(lcl s)] \implies \mathcal{D} e' [dom(lcl s')] \langle proof \rangle$

lemma $Red\text{-}preserves\text{-}type:$
assumes $wf: wf\text{-}J\text{-}prog P$ **and** $Red: P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle$
shows $\exists T. \llbracket P,E \vdash s \vee; iconf(shp s) e; P,E,hp s,shp s \vdash e:T \rrbracket \implies \exists T'. P \vdash T' \leq T \wedge P,E,hp s',shp s' \vdash e':T' \langle proof \rangle$

1.22.4 The final polish

The above preservation lemmas are now combined and packed nicely.

definition $wf\text{-}config :: J\text{-}prog \Rightarrow env \Rightarrow state \Rightarrow expr \Rightarrow ty \Rightarrow bool$ $(\langle \cdot, \cdot, \cdot, \vdash \cdot : \cdot \vee \rangle [51,0,0,0,0] 50)$
where
 $P,E,s \vdash e:T \vee \equiv P,E \vdash s \vee \wedge iconf(shp s) e \wedge P,E,hp s,shp s \vdash e:T$

theorem *Subject-reduction*: **assumes** $wf: wf\text{-}J\text{-}prog P$
shows $P \vdash \langle e,s,b \rangle \rightarrow \langle e',s',b' \rangle \implies P,E,s \vdash e:T \vee \implies \exists T'. P,E,s' \vdash e':T' \vee \wedge P \vdash T' \leq T \langle proof \rangle$

theorem *Subject-reductions*:
assumes $wf: wf\text{-}J\text{-}prog P$
shows $\bigwedge T. P,E,s \vdash e:T \vee \implies \exists T'. P,E,s' \vdash e':T' \vee \wedge P \vdash T' \leq T \langle proof \rangle$

corollary *Progress*: **assumes** $wf: wf\text{-}J\text{-}prog P$
shows $\llbracket P,E,s \vdash e:T \vee; \mathcal{D} e [dom(lcl s)]; P,shp s \vdash_b (e,b) \vee; \neg final e \rrbracket \implies \exists e' s' b'. P \vdash \langle e,s,b \rangle \rightarrow \langle e',s',b' \rangle \langle proof \rangle$

corollary *TypeSafety*:
fixes $s::state$ **and** $e::expr$
assumes $wf: wf\text{-}J\text{-}prog P$ **and** $sconf: P,E \vdash s \vee$ **and** $wt: P,E \vdash e:T$
and $\mathcal{D}: \mathcal{D} e [dom(lcl s)]$
and $iconf: iconf(shp s) e$ **and** $bconf: P,(shp s) \vdash_b (e,b) \vee$
and $steps: P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle$
and $nstep: \neg(\exists e'' s'' b''. P \vdash \langle e',s',b' \rangle \rightarrow \langle e'',s'',b'' \rangle)$
shows $(\exists v. e' = Val v \wedge P,hp s' \vdash v : \leq T) \vee (\exists a. e' = Throw a \wedge a \in dom(hp s')) \langle proof \rangle$

end

1.23 Equivalence of Big Step and Small Step Semantics

theory *Equivalence* **imports** *TypeSafe* *WWellForm* **begin**

1.23.1 Small steps simulate big step

Init

The reduction of initialization expressions doesn't touch or use their on-hold expressions (the subexpression to the right of \leftarrow) until the initialization operation completes. This function is used to prove this and related properties. It is then used for general reduction of initialization expressions separately from their on-hold expressions by using the on-hold expression *unit*, then putting the real on-hold expression back in at the end.

```

fun init-switch :: 'a exp  $\Rightarrow$  'a exp  $\Rightarrow$  'a exp where
init-switch (INIT C (Cs,b)  $\leftarrow$  ei) e = (INIT C (Cs,b)  $\leftarrow$  e) | 
init-switch (RI(C,e');Cs  $\leftarrow$  ei) e = (RI(C,e');Cs  $\leftarrow$  e) | 
init-switch e' e = e'

fun INIT-class :: 'a exp  $\Rightarrow$  cname option where
INIT-class (INIT C (Cs,b)  $\leftarrow$  e) = (if C = last (C#Cs) then Some C else None) | 
INIT-class (RI(C,e0);Cs  $\leftarrow$  e) = Some (last (C#Cs)) | 
INIT-class - = None

lemma init-red-init:
 $\llbracket$  init-exp-of e0 = [e]; P  $\vdash$  ⟨e0,s0,b0\rightarrow ⟨e1,s1,b1⟩  $\rrbracket$ 
 $\implies$  (init-exp-of e1 = [e]  $\wedge$  (INIT-class e0 = [C]  $\longrightarrow$  INIT-class e1 = [C])) 
 $\vee$  (e1 = e  $\wedge$  b1 = icheck P (the(INIT-class e0)) e)  $\vee$  ( $\exists$  a. e1 = throw a)
⟨proof⟩

lemma init-exp-switch[simp]:
init-exp-of e0 = [e]  $\implies$  init-exp-of (init-switch e0 e') = [e']
⟨proof⟩

lemma init-red-sync:
 $\llbracket$  P  $\vdash$  ⟨e0,s0,b0⟩  $\rightarrow$  ⟨e1,s1,b1⟩; init-exp-of e0 = [e]; e1  $\neq$  e  $\rrbracket$ 
 $\implies$  ( $\bigwedge$  e'. P  $\vdash$  ⟨init-switch e0 e',s0,b0⟩  $\rightarrow$  ⟨init-switch e1 e',s1,b1⟩)
⟨proof⟩

lemma init-red-sync-end:
 $\llbracket$  P  $\vdash$  ⟨e0,s0,b0⟩  $\rightarrow$  ⟨e1,s1,b1⟩; init-exp-of e0 = [e]; e1 = e; throw-of e = None  $\rrbracket$ 
 $\implies$  ( $\bigwedge$  e'.  $\neg$ sub-RI e'  $\implies$  P  $\vdash$  ⟨init-switch e0 e',s0,b0⟩  $\rightarrow$  ⟨e',s1, icheck P (the(INIT-class e0)) e'⟩)
⟨proof⟩

lemma init-reds-sync-unit':
 $\llbracket$  P  $\vdash$  ⟨e0,s0,b0⟩  $\rightarrow^*$  ⟨Val v',s1,b1⟩; init-exp-of e0 = [unit]; INIT-class e0 = [C]  $\rrbracket$ 
 $\implies$  ( $\bigwedge$  e'.  $\neg$ sub-RI e'  $\implies$  P  $\vdash$  ⟨init-switch e0 e',s0,b0⟩  $\rightarrow^*$  ⟨e',s1, icheck P (the(INIT-class e0)) e'⟩)
⟨proof⟩

lemma init-reds-sync-unit-throw':
 $\llbracket$  P  $\vdash$  ⟨e0,s0,b0⟩  $\rightarrow^*$  ⟨throw a,s1,b1⟩; init-exp-of e0 = [unit]  $\rrbracket$ 
 $\implies$  ( $\bigwedge$  e'. P  $\vdash$  ⟨init-switch e0 e',s0,b0⟩  $\rightarrow^*$  ⟨throw a,s1,b1⟩)
⟨proof⟩

lemma init-reds-sync-unit:
assumes P  $\vdash$  ⟨e0,s0,b0⟩  $\rightarrow^*$  ⟨Val v',s1,b1⟩ and init-exp-of e0 = [unit] and INIT-class e0 = [C]
and  $\neg$ sub-RI e'
shows P  $\vdash$  ⟨init-switch e0 e',s0,b0⟩  $\rightarrow^*$  ⟨e',s1, icheck P (the(INIT-class e0)) e'⟩
⟨proof⟩

```

lemma *init-reds-sync-unit-throw*:

assumes $P \vdash \langle e_0, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle$ **and** *init-exp-of* $e_0 = [\text{unit}]$

shows $P \vdash \langle \text{init-switch } e_0 \ e', s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle$

$\langle \text{proof} \rangle$

lemma *InitSeqReds*:

assumes $P \vdash \langle \text{INIT } C ([C], b) \leftarrow \text{unit}, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v', s_1, b_1 \rangle$
and $P \vdash \langle e, s_1, i\text{check } P \ C \ e \rangle \rightarrow^* \langle e_2, s_2, b_2 \rangle$ **and** $\neg \text{sub-RI } e$

shows $P \vdash \langle \text{INIT } C ([C], b) \leftarrow e, s_0, b_0 \rangle \rightarrow^* \langle e_2, s_2, b_2 \rangle$

$\langle \text{proof} \rangle$

lemma *InitSeqThrowReds*:

assumes $P \vdash \langle \text{INIT } C ([C], b) \leftarrow \text{unit}, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle$

shows $P \vdash \langle \text{INIT } C ([C], b) \leftarrow e, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle$

$\langle \text{proof} \rangle$

lemma *InitNoneReds*:

$\llbracket sh \ C = \text{None};$
 $P \vdash \langle \text{INIT } C' (C \ # \ Cs, \text{False}) \leftarrow e, (h, l, sh(C \mapsto (\text{sblank } P \ C, \text{ Prepared}))), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *InitDoneReds*:

$\llbracket sh \ C = \text{Some}(sfs, \text{Done}); P \vdash \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *InitProcessingReds*:

$\llbracket sh \ C = \text{Some}(sfs, \text{Processing}); P \vdash \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *InitErrorReds*:

$\llbracket sh \ C = \text{Some}(sfs, \text{Error}); P \vdash \langle \text{RI } (C, \text{THROW } \text{NoClassDefFoundError}); Cs \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *InitObjectReds*:

$\llbracket sh \ C = \text{Some}(sfs, \text{Prepared}); C = \text{Object}; sh' = sh(C \mapsto (sfs, \text{Processing}));$
 $P \vdash \langle \text{INIT } C' (C \# Cs, \text{True}) \leftarrow e, (h, l, sh'), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *InitNonObjectReds*:

$\llbracket sh \ C = \text{Some}(sfs, \text{Prepared}); C \neq \text{Object}; \text{class } P \ C = \text{Some } (D, r);$
 $sh' = sh(C \mapsto (sfs, \text{Processing}));$
 $P \vdash \langle \text{INIT } C' (D \# C \# Cs, \text{False}) \leftarrow e, (h, l, sh'), b \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{False}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *RedsInitRInit*:

$P \vdash \langle \text{RI } (C, C \cdot_s \text{clinit}([])); Cs \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle$
 $\implies P \vdash \langle \text{INIT } C' (C \# Cs, \text{True}) \leftarrow e, (h, l, sh), b \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemmas *rtrancl-induct3* = $rtrancl-induct[\text{of } (ax, ay, az) \ (bx, by, bz), \text{ split-format } (\text{complete}), \text{ consumes } 1, \text{ case-names refl step}]$

lemma *RInitReds*:

$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle$
 $\implies P \vdash \langle \text{RI } (C, e); Cs \leftarrow e_0, s, b \rangle \rightarrow^* \langle \text{RI } (C, e'); Cs \leftarrow e_0, s', b' \rangle \langle \text{proof} \rangle$

lemma *RedsRInit*:

$\llbracket P \vdash \langle e_0, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_1, l_1, sh_1), b_1 \rangle;$
 $sh_1 \ C = \text{Some } (sfs, i); sh_2 = sh_1(C \mapsto (sfs, \text{Done})); C' = \text{last}(C \# Cs);$
 $P \vdash \langle \text{INIT } C' (Cs, \text{True}) \leftarrow e, (h_1, l_1, sh_2), b_1 \rangle \rightarrow^* \langle e', s', b' \rangle \rrbracket$
 $\implies P \vdash \langle \text{RI } (C, e_0); Cs \leftarrow e, s_0, b_0 \rangle \rightarrow^* \langle e', s', b' \rangle \langle \text{proof} \rangle$

lemma *RInitInitThrowReds*:

$$\begin{aligned} & \llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle \text{Throw } a, (h',l',sh'), b' \rangle; \\ & \quad sh' C = \text{Some}(sfs, i); sh'' = sh'(C \mapsto (sfs, \text{Error})); \\ & \quad P \vdash \langle RI(D, \text{Throw } a); Cs \leftarrow e_0, (h',l',sh''), b' \rangle \rightarrow^* \langle e_1, s_1, b_1 \rangle \rrbracket \\ & \implies P \vdash \langle RI(C, e); D \# Cs \leftarrow e_0, s, b \rangle \rightarrow^* \langle e_1, s_1, b_1 \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *RInitThrowReds*:

$$\begin{aligned} & \llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle \text{Throw } a, (h',l',sh'), b' \rangle; \\ & \quad sh' C = \text{Some}(sfs, i); sh'' = sh'(C \mapsto (sfs, \text{Error})) \rrbracket \\ & \implies P \vdash \langle RI(C, e); \text{Nil} \leftarrow e_0, s, b \rangle \rightarrow^* \langle \text{Throw } a, (h',l',sh''), b' \rangle \langle \text{proof} \rangle \end{aligned}$$

New

lemma *NewInitDoneReds*:

$$\begin{aligned} & \llbracket sh C = \text{Some}(sfs, \text{Done}); \text{new-Addr } h = \text{Some } a; \\ & \quad P \vdash C \text{ has-fields FDTs}; h' = h(a \mapsto \text{blank } P C) \rrbracket \\ & \implies P \vdash \langle \text{new } C, (h, l, sh), \text{False} \rangle \rightarrow^* \langle \text{addr } a, (h', l, sh), \text{False} \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *NewInitDoneReds2*:

$$\begin{aligned} & \llbracket sh C = \text{Some}(sfs, \text{Done}); \text{new-Addr } h = \text{None}; \text{is-class } P C \rrbracket \\ & \implies P \vdash \langle \text{new } C, (h, l, sh), \text{False} \rangle \rightarrow^* \langle \text{THROW OutOfMemory}, (h, l, sh), \text{False} \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *NewInitReds*:

assumes *nDone*: $\nexists sfs. shp s C = \text{Some}(sfs, \text{Done})$

and INIT-steps: $P \vdash \langle \text{INIT } C ([C], \text{False}) \leftarrow \text{unit}, s, \text{False} \rangle \rightarrow^* \langle \text{Val } v', (h', l', sh'), b' \rangle$

and Addr: $\text{new-Addr } h' = \text{Some } a$ and has: $P \vdash C \text{ has-fields FDTs}$

and $h'': h'' = h'(a \mapsto \text{blank } P C)$ and *cls-C*: $\text{is-class } P C$

shows $P \vdash \langle \text{new } C, s, \text{False} \rangle \rightarrow^* \langle \text{addr } a, (h'', l', sh'), \text{False} \rangle \langle \text{proof} \rangle$

lemma *NewInitOOMReds*:

assumes *nDone*: $\nexists sfs. shp s C = \text{Some}(sfs, \text{Done})$

and INIT-steps: $P \vdash \langle \text{INIT } C ([C], \text{False}) \leftarrow \text{unit}, s, \text{False} \rangle \rightarrow^* \langle \text{Val } v', (h', l', sh'), b' \rangle$

and Addr: $\text{new-Addr } h' = \text{None}$ and *cls-C*: $\text{is-class } P C$

shows $P \vdash \langle \text{new } C, s, \text{False} \rangle \rightarrow^* \langle \text{THROW OutOfMemory}, (h', l', sh'), \text{False} \rangle \langle \text{proof} \rangle$

lemma *NewInitThrowReds*:

assumes *nDone*: $\nexists sfs. shp s C = \text{Some}(sfs, \text{Done})$

and *cls-C*: $\text{is-class } P C$

and INIT-steps: $P \vdash \langle \text{INIT } C ([C], \text{False}) \leftarrow \text{unit}, s, \text{False} \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle$

shows $P \vdash \langle \text{new } C, s, \text{False} \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle \text{proof} \rangle$

Cast

lemma *CastReds*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle \text{Cast } C e, s, b \rangle \rightarrow^* \langle \text{Cast } C e', s', b' \rangle \langle \text{proof} \rangle$$

lemma *CastRedsNull*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{null}, s', b' \rangle \implies P \vdash \langle \text{Cast } C e, s, b \rangle \rightarrow^* \langle \text{null}, s', b' \rangle \langle \text{proof} \rangle$$

lemma *CastRedsAddr*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{addr } a, s', b' \rangle; hp s' a = \text{Some}(D, fs); P \vdash D \preceq^* C \rrbracket \implies \\ & P \vdash \langle \text{Cast } C e, s, b \rangle \rightarrow^* \langle \text{addr } a, s', b' \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *CastRedsFail*:

$$\begin{aligned} & \llbracket P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{addr } a, s', b' \rangle; hp s' a = \text{Some}(D, fs); \neg P \vdash D \preceq^* C \rrbracket \implies \\ & P \vdash \langle \text{Cast } C e, s, b \rangle \rightarrow^* \langle \text{THROW ClassCast}, s', b' \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *CastRedsThrow*:

$$\llbracket P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \rrbracket \implies P \vdash \langle \text{Cast } C e, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle \text{proof} \rangle$$

LAss

lemma *LAssReds*:

$P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle \implies P \vdash \langle V := e,s,b \rangle \rightarrow^* \langle V := e',s',b' \rangle \langle proof \rangle$

lemma *LAssRedsVal*:

$$\llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle Val v, (h', l', sh'), b' \rangle \rrbracket \implies P \vdash \langle V := e,s,b \rangle \rightarrow^* \langle unit, (h', l' \circ (V \mapsto v), sh'), b' \rangle \langle proof \rangle$$

lemma *LAssRedsThrow*:

$$\llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle throw a,s',b' \rangle \rrbracket \implies P \vdash \langle V := e,s,b \rangle \rightarrow^* \langle throw a,s',b' \rangle \langle proof \rangle$$

BinOp

lemma *BinOp1Reds*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle \implies P \vdash \langle e \text{ ``bop'' } e_2, s,b \rangle \rightarrow^* \langle e' \text{ ``bop'' } e_2, s',b' \rangle \langle proof \rangle$$

lemma *BinOp2Reds*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle \implies P \vdash \langle (Val v) \text{ ``bop'' } e, s,b \rangle \rightarrow^* \langle (Val v) \text{ ``bop'' } e', s',b' \rangle \langle proof \rangle$$

lemma *BinOpRedsVal*:

assumes $e_1\text{-steps}$: $P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle Val v_1, s_1, b_1 \rangle$
and $e_2\text{-steps}$: $P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle Val v_2, s_2, b_2 \rangle$
and $op: binop(bop, v_1, v_2) = Some v$
shows $P \vdash \langle e_1 \text{ ``bop'' } e_2, s_0, b_0 \rangle \rightarrow^* \langle Val v, s_2, b_2 \rangle \langle proof \rangle$

lemma *BinOpRedsThrow1*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle throw e',s',b' \rangle \implies P \vdash \langle e \text{ ``bop'' } e_2, s,b \rangle \rightarrow^* \langle throw e', s',b' \rangle \langle proof \rangle$$

lemma *BinOpRedsThrow2*:

assumes $e_1\text{-steps}$: $P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle Val v_1, s_1, b_1 \rangle$
and $e_2\text{-steps}$: $P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle throw e, s_2, b_2 \rangle$
shows $P \vdash \langle e_1 \text{ ``bop'' } e_2, s_0, b_0 \rangle \rightarrow^* \langle throw e, s_2, b_2 \rangle \langle proof \rangle$

FAcc

lemma *FAccReds*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle e',s',b' \rangle \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle e' \cdot F\{D\}, s',b' \rangle \langle proof \rangle$$

lemma *FAccRedsVal*:

$$\llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle addr a,s',b' \rangle; hp s' a = Some(C,fs); fs(F,D) = Some v; \\ P \vdash C \text{ has } F, NonStatic:t \text{ in } D \rrbracket \\ \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle Val v, s',b' \rangle \langle proof \rangle$$

lemma *FAccRedsNull*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle null, s',b' \rangle \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle THROW NullPointer, s',b' \rangle \langle proof \rangle$$

lemma *FAccRedsNone*:

$$\llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle addr a,s',b' \rangle; \\ hp s' a = Some(C,fs); \\ \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket \\ \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle THROW NoSuchFieldError, s',b' \rangle \langle proof \rangle$$

lemma *FAccRedsStatic*:

$$\llbracket P \vdash \langle e,s,b \rangle \rightarrow^* \langle addr a,s',b' \rangle; \\ hp s' a = Some(C,fs); \\ P \vdash C \text{ has } F, Static:t \text{ in } D \rrbracket \\ \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle THROW IncompatibleClassChangeError, s',b' \rangle \langle proof \rangle$$

lemma *FAccRedsThrow*:

$$P \vdash \langle e,s,b \rangle \rightarrow^* \langle throw a,s',b' \rangle \implies P \vdash \langle e \cdot F\{D\}, s,b \rangle \rightarrow^* \langle throw a,s',b' \rangle \langle proof \rangle$$

SFAcc

lemma *SFAccReds*:

$$\llbracket P \vdash C \text{ has } F, Static:t \text{ in } D; \\ shp s D = Some(sfs, Done); sfs F = Some v \rrbracket \\ \implies P \vdash \langle C \cdot_s F\{D\}, s, True \rangle \rightarrow^* \langle Val v, s, False \rangle \langle proof \rangle$$

lemma *SFAccRedsNone*:

$$\neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D)$$

$\implies P \vdash \langle C \cdot_s F\{D\}, s, b \rangle \rightarrow^* \langle \text{THROW NoSuchFieldError}, s, \text{False} \rangle \langle \text{proof} \rangle$

lemma SFAccRedsNonStatic:
 $P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D$
 $\implies P \vdash \langle C \cdot_s F\{D\}, s, b \rangle \rightarrow^* \langle \text{THROW IncompatibleClassChangeError}, s, \text{False} \rangle \langle \text{proof} \rangle$

lemma SFAccInitDoneReds:
assumes $cF: P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$
and $shp: shp \ s \ D = \text{Some } (sfs, \text{Done})$ **and** $sfs: sfs \ F = \text{Some } v$
shows $P \vdash \langle C \cdot_s F\{D\}, s, b \rangle \rightarrow^* \langle \text{Val } v, s, \text{False} \rangle \langle \text{proof} \rangle$

lemma SFAccInitReds:
assumes $cF: P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$
and $nDone: \nexists sfs. shp \ s \ D = \text{Some } (sfs, \text{Done})$
and $\text{INIT-steps: } P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, s, \text{False} \rangle \rightarrow^* \langle \text{Val } v', s', b' \rangle$
and $shp': shp \ s' \ D = \text{Some } (sfs, i)$ **and** $sfs: sfs \ F = \text{Some } v$
shows $P \vdash \langle C \cdot_s F\{D\}, s, \text{False} \rangle \rightarrow^* \langle \text{Val } v, s', \text{False} \rangle \langle \text{proof} \rangle$

lemma SFAccInitThrowReds:
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $\nexists sfs. shp \ s \ D = \text{Some } (sfs, \text{Done});$
 $P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, s, \text{False} \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \rrbracket$
 $\implies P \vdash \langle C \cdot_s F\{D\}, s, \text{False} \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle \text{proof} \rangle$

FAss

lemma FAssReds1:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle e \cdot F\{D\} := e_2, s, b \rangle \rightarrow^* \langle e' \cdot F\{D\} := e_2, s', b' \rangle \langle \text{proof} \rangle$

lemma FAssReds2:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle \text{Val } v \cdot F\{D\} := e, s, b \rangle \rightarrow^* \langle \text{Val } v \cdot F\{D\} := e', s', b' \rangle \langle \text{proof} \rangle$

lemma FAssRedsVal:
assumes $e_1\text{-steps: } P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
and $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle$
and $cF: P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D$ **and** $hC: \text{Some}(C, fs) = h_2 \ a$
shows $P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{unit}, (h_2(a \mapsto (C, fs((F, D) \mapsto v))), l_2, sh_2), b_2 \rangle \langle \text{proof} \rangle$

lemma FAssRedsNull:
assumes $e_1\text{-steps: } P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{null}, s_1, b_1 \rangle$
and $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle \text{Val } v, s_2, b_2 \rangle$
shows $P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{THROW NullPointer}, s_2, b_2 \rangle \langle \text{proof} \rangle$

lemma FAssRedsThrow1:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{throw } e', s', b' \rangle \implies P \vdash \langle e \cdot F\{D\} := e_2, s, b \rangle \rightarrow^* \langle \text{throw } e', s', b' \rangle \langle \text{proof} \rangle$

lemma FAssRedsThrow2:
assumes $e_1\text{-steps: } P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, s_1, b_1 \rangle$
and $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle$
shows $P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle \langle \text{proof} \rangle$

lemma FAssRedsNone:
assumes $e_1\text{-steps: } P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
and $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle$
and $hC: h_2 \ a = \text{Some}(C, fs)$ **and** $ncF: \neg(\exists b \ t. P \vdash C \text{ has } F, b:t \text{ in } D)$
shows $P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{THROW NoSuchFieldError}, (h_2, l_2, sh_2), b_2 \rangle \langle \text{proof} \rangle$

lemma FAssRedsStatic:
assumes $e_1\text{-steps: } P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
and $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle$
and $hC: h_2 \ a = \text{Some}(C, fs)$ **and** $cF\text{-Static: } P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$
shows $P \vdash \langle e_1 \cdot F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{THROW IncompatibleClassChangeError}, (h_2, l_2, sh_2), b_2 \rangle \langle \text{proof} \rangle$

SFAss

lemma *SFAssReds*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle C \cdot_s F\{D\} := e, s, b \rangle \rightarrow^* \langle C \cdot_s F\{D\} := e', s', b' \rangle \langle proof \rangle$$

lemma *SFAssRedsVal*:

assumes *e₂-steps*: $P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle$

and *cF*: $P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$

and *shD*: $sh_2 \ D = \lfloor \text{sfs}, \text{Done} \rfloor$

shows $P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{unit}, (h_2, l_2, sh_2(D \mapsto (\text{sfs}(F \mapsto v), \text{Done}))), False \rangle \langle proof \rangle$

lemma *SFAssRedsThrow*:

$$\llbracket P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle \rrbracket$$

$$\implies P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle \langle proof \rangle$$

lemma *SFAssRedsNone*:

$$\llbracket P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle; \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket \implies$$

$$P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{THROW NoSuchFieldError}, (h_2, l_2, sh_2), False \rangle \langle proof \rangle$$

lemma *SFAssRedsNonStatic*:

$$\llbracket P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), b_2 \rangle; P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D \rrbracket \implies$$

$$P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{THROW IncompatibleClassChangeError}, (h_2, l_2, sh_2), False \rangle \langle proof \rangle$$

lemma *SFAssInitReds*:

assumes *e₂-steps*: $P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), False \rangle$

and *cF*: $P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$

and *nDone*: $\nexists \text{sfs}. sh_2 \ D = \text{Some}(\text{sfs}, \text{Done})$

and *INIT-steps*: $P \vdash \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_2, l_2, sh_2), False \rangle \rightarrow^* \langle \text{Val } v', (h', l', sh'), b' \rangle$

and *sh'D*: $sh' \ D = \text{Some}(\text{sfs}, i)$

and *sfs'*: $sfs' = \text{sfs}(F \mapsto v)$ and *sh''*: $sh'' = sh'(D \mapsto (sfs', i))$

shows $P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{unit}, (h', l', sh'), False \rangle \langle proof \rangle$

lemma *SFAssInitThrowReds*:

assumes *e₂-steps*: $P \vdash \langle e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2, sh_2), False \rangle$

and *cF*: $P \vdash C \text{ has } F, \text{Static}:t \text{ in } D$

and *nDone*: $\nexists \text{sfs}. sh_2 \ D = \text{Some}(\text{sfs}, \text{Done})$

and *INIT-steps*: $P \vdash \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_2, l_2, sh_2), False \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle$

shows $P \vdash \langle C \cdot_s F\{D\} := e_2, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle proof \rangle$

;;

lemma *SeqReds*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle e;; e_2, s, b \rangle \rightarrow^* \langle e';; e_2, s', b' \rangle \langle proof \rangle$$

lemma *SeqRedsThrow*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{throw } e', s', b' \rangle \implies P \vdash \langle e;; e_2, s, b \rangle \rightarrow^* \langle \text{throw } e', s', b' \rangle \langle proof \rangle$$

lemma *SeqReds2*:

assumes *e₁-steps*: $P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1, b_1 \rangle$

and *e₂-steps*: $P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle e_2', s_2, b_2 \rangle$

shows $P \vdash \langle e_1;; e_2, s_0, b_0 \rangle \rightarrow^* \langle e_2', s_2, b_2 \rangle \langle proof \rangle$

If

lemma *CondReds*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s, b \rangle \rightarrow^* \langle \text{if } (e') e_1 \text{ else } e_2, s', b' \rangle \langle proof \rangle$$

lemma *CondRedsThrow*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \implies P \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle proof \rangle$$

lemma *CondReds2T*:

assumes *e-steps*: $P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{true}, s_1, b_1 \rangle$

and *e₁-steps*: $P \vdash \langle e_1, s_1, b_1 \rangle \rightarrow^* \langle e', s_2, b_2 \rangle$

```

shows  $P \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0, b_0 \rangle \rightarrow^* \langle e', s_2, b_2 \rangle \langle \text{proof} \rangle$ 
lemma CondReds2F:
assumes  $e\text{-steps: } P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{false}, s_1, b_1 \rangle$ 
and  $e_2\text{-steps: } P \vdash \langle e_2, s_1, b_1 \rangle \rightarrow^* \langle e', s_2, b_2 \rangle$ 
shows  $P \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0, b_0 \rangle \rightarrow^* \langle e', s_2, b_2 \rangle \langle \text{proof} \rangle$ 

```

While

```

lemma WhileFReds:
assumes  $b\text{-steps: } P \vdash \langle b, s, b_0 \rangle \rightarrow^* \langle \text{false}, s', b' \rangle$ 
shows  $P \vdash \langle \text{while } (b) c, s, b_0 \rangle \rightarrow^* \langle \text{unit}, s', b' \rangle \langle \text{proof} \rangle$ 
lemma WhileRedsThrow:
assumes  $b\text{-steps: } P \vdash \langle b, s, b_0 \rangle \rightarrow^* \langle \text{throw } e, s', b' \rangle$ 
shows  $P \vdash \langle \text{while } (b) c, s, b_0 \rangle \rightarrow^* \langle \text{throw } e, s', b' \rangle \langle \text{proof} \rangle$ 
lemma WhileTReds:
assumes  $b\text{-steps: } P \vdash \langle b, s_0, b_0 \rangle \rightarrow^* \langle \text{true}, s_1, b_1 \rangle$ 
and  $c\text{-steps: } P \vdash \langle c, s_1, b_1 \rangle \rightarrow^* \langle \text{Val } v_1, s_2, b_2 \rangle$ 
and  $\text{while-steps: } P \vdash \langle \text{while } (b) c, s_2, b_2 \rangle \rightarrow^* \langle e, s_3, b_3 \rangle$ 
shows  $P \vdash \langle \text{while } (b) c, s_0, b_0 \rangle \rightarrow^* \langle e, s_3, b_3 \rangle \langle \text{proof} \rangle$ 
lemma WhileTRedsThrow:
assumes  $b\text{-steps: } P \vdash \langle b, s_0, b_0 \rangle \rightarrow^* \langle \text{true}, s_1, b_1 \rangle$ 
and  $c\text{-steps: } P \vdash \langle c, s_1, b_1 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle$ 
shows  $P \vdash \langle \text{while } (b) c, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } e, s_2, b_2 \rangle \langle \text{proof} \rangle$ 

```

Throw

```

lemma ThrowReds:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle \text{throw } e, s, b \rangle \rightarrow^* \langle \text{throw } e', s', b' \rangle \langle \text{proof} \rangle$ 
lemma ThrowRedsNull:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{null}, s', b' \rangle \implies P \vdash \langle \text{throw } e, s, b \rangle \rightarrow^* \langle \text{THROW NullPointer}, s', b' \rangle \langle \text{proof} \rangle$ 
lemma ThrowRedsThrow:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \implies P \vdash \langle \text{throw } e, s, b \rangle \rightarrow^* \langle \text{throw } a, s', b' \rangle \langle \text{proof} \rangle$ 

```

InitBlock

```

lemma InitBlockReds-aux:
 $P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies$ 
 $\forall h \ l \ sh \ h' \ l' \ sh' \ v. \ s = (h, l(V \mapsto v), sh) \longrightarrow s' = (h', l', sh') \longrightarrow$ 
 $P \vdash \langle \{ V:T := \text{Val } v; e \}, (h, l, sh), b \rangle \rightarrow^* \langle \{ V:T := \text{Val}(\text{the}(l' V)); e' \}, (h', l'(V := (l V)), sh'), b' \rangle \langle \text{proof} \rangle$ 
lemma InitBlockReds:
 $P \vdash \langle e, (h, l(V \mapsto v), sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle \implies$ 
 $P \vdash \langle \{ V:T := \text{Val } v; e \}, (h, l, sh), b \rangle \rightarrow^* \langle \{ V:T := \text{Val}(\text{the}(l' V)); e' \}, (h', l'(V := (l V)), sh'), b' \rangle \langle \text{proof} \rangle$ 
lemma InitBlockRedsFinal:
 $\llbracket P \vdash \langle e, (h, l(V \mapsto v), sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle; \text{final } e' \rrbracket \implies$ 
 $P \vdash \langle \{ V:T := \text{Val } v; e \}, (h, l, sh), b \rangle \rightarrow^* \langle e', (h', l'(V := l V), sh'), b' \rangle \langle \text{proof} \rangle$ 

```

Block

```

lemmas converse-rtrancI3 = converse-rtrancI [of (xa, xb, xc) (za, zb, zc), split-rule]

```

```

lemma BlockRedsFinal:
assumes  $\text{reds: } P \vdash \langle e_0, s_0, b_0 \rangle \rightarrow^* \langle e_2, (h_2, l_2, sh_2), b_2 \rangle \text{ and } \text{fin: final } e_2$ 
shows  $\bigwedge h_0 \ l_0 \ sh_0. \ s_0 = (h_0, l_0(V := \text{None}), sh_0) \implies P \vdash \langle \{ V:T; e_0 \}, (h_0, l_0, sh_0), b_0 \rangle \rightarrow^* \langle e_2, (h_2, l_2(V := l_0 V), sh_2), b_2 \rangle \langle \text{proof} \rangle$ 

```

try-catch

lemma *TryReds*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle \text{try } e \text{ catch}(C V) e_2, s, b \rangle \rightarrow^* \langle \text{try } e' \text{ catch}(C V) e_2, s', b' \rangle \langle \text{proof} \rangle$$

lemma *TryRedsVal*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{Val } v, s', b' \rangle \implies P \vdash \langle \text{try } e \text{ catch}(C V) e_2, s, b \rangle \rightarrow^* \langle \text{Val } v, s', b' \rangle \langle \text{proof} \rangle$$

lemma *TryCatchRedsFinal*:

assumes $e_1\text{-steps}$: $P \vdash \langle e_1, s_0, b_0 \rangle \rightarrow^* \langle \text{Throw } a, (h_1, l_1, sh_1), b_1 \rangle$
and $h_1 a = \text{Some}(D, fs)$ **and** sub : $P \vdash D \preceq^* C$
and $e_2\text{-steps}$: $P \vdash \langle e_2, (h_1, l_1(V \mapsto \text{Addr } a), sh_1), b_1 \rangle \rightarrow^* \langle e_2', (h_2, l_2, sh_2), b_2 \rangle$
and final : e_2'

shows $P \vdash \langle \text{try } e_1 \text{ catch}(C V) e_2, s_0, b_0 \rangle \rightarrow^* \langle e_2', (h_2, (l_2::\text{locals})(V := l_1 V), sh_2), b_2 \rangle \langle \text{proof} \rangle$

lemma *TryRedsFail*:

$$\begin{aligned} & [\![P \vdash \langle e_1, s, b \rangle \rightarrow^* \langle \text{Throw } a, (h, l, sh), b' \rangle; h a = \text{Some}(D, fs); \neg P \vdash D \preceq^* C]\!] \\ & \implies P \vdash \langle \text{try } e_1 \text{ catch}(C V) e_2, s, b \rangle \rightarrow^* \langle \text{Throw } a, (h, l, sh), b' \rangle \langle \text{proof} \rangle \end{aligned}$$

List

lemma *ListReds1*:

$$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle e \# es, s, b \rangle \rightarrow^* \langle e' \# es, s', b' \rangle \langle \text{proof} \rangle$$

lemma *ListReds2*:

$$P \vdash \langle es, s, b \rangle \rightarrow^* \langle es', s', b' \rangle \implies P \vdash \langle \text{Val } v \# es, s, b \rangle \rightarrow^* \langle \text{Val } v \# es', s', b' \rangle \langle \text{proof} \rangle$$

lemma *ListRedsVal*:

$$\begin{aligned} & [\![P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v, s_1, b_1 \rangle; P \vdash \langle es, s_1, b_1 \rangle \rightarrow^* \langle es', s_2, b_2 \rangle]\!] \\ & \implies P \vdash \langle e \# es, s_0, b_0 \rangle \rightarrow^* \langle \text{Val } v \# es', s_2, b_2 \rangle \langle \text{proof} \rangle \end{aligned}$$

Call

First a few lemmas on what happens to free variables during reduction.

lemma assumes $wf: wwf\text{-J-prog } P$
shows $Red\text{-fv}$: $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies fv e' \subseteq fv e$
and $P \vdash \langle es, (h, l, sh), b \rangle \rightarrow \langle es', (h', l', sh'), b' \rangle \implies fvs es' \subseteq fvs es \langle \text{proof} \rangle$

lemma *Red-dom-lcl*:

$$\begin{aligned} & P \vdash \langle e, (h, l, sh), b \rangle \rightarrow \langle e', (h', l', sh'), b' \rangle \implies \text{dom } l' \subseteq \text{dom } l \cup fv e \text{ and} \\ & P \vdash \langle es, (h, l, sh), b \rangle \rightarrow \langle es', (h', l', sh'), b' \rangle \implies \text{dom } l' \subseteq \text{dom } l \cup fvs es \langle \text{proof} \rangle \end{aligned}$$

lemma *Reds-dom-lcl*:

assumes $wf: wwf\text{-J-prog } P$
shows $P \vdash \langle e, (h, l, sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle \implies \text{dom } l' \subseteq \text{dom } l \cup fv e \langle \text{proof} \rangle$

Now a few lemmas on the behaviour of blocks during reduction.

lemma *override-on-upd-lemma*:

$$(override\text{-on } f(g(a \mapsto b)) A)(a := g a) = override\text{-on } f g (\text{insert } a A) \langle \text{proof} \rangle$$

lemma *blocksReds*:

$$\begin{aligned} & \forall l. [\![\text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts; \text{distinct } Vs; \\ & \quad P \vdash \langle e, (h, l(Vs \mapsto vs), sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle]\!] \\ & \implies P \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h, l, sh), b \rangle \rightarrow^* \langle \text{blocks}(Vs, Ts, map(\text{the } \circ l') Vs, e'), (h', \text{override-on } l' l (\text{set } Vs), sh'), b' \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *blocksFinal*:

$$\begin{aligned} & \forall l. [\![\text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts; \text{final } e]\!] \implies \\ & \quad P \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h, l, sh), b \rangle \rightarrow^* \langle e, (h, l, sh), b \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma *blocksRedsFinal*:

assumes *wf*: $\text{length } Vs = \text{length } Ts$ $\text{length } vs = \text{length } Ts$ $\text{distinct } Vs$
and *reds*: $P \vdash \langle e, (h, l(Vs \rightarrow] vs), sh), b \rangle \rightarrow^* \langle e', (h', l', sh'), b' \rangle$
and *fin*: *final* e' **and** l'' : *override-on* $l' l$ (*set* Vs)
shows $P \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h, l, sh), b \rangle \rightarrow^* \langle e', (h', l'', sh'), b' \rangle \langle \text{proof} \rangle$

An now the actual method call reduction lemmas.

lemma *CallRedsObj*:

$P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', b' \rangle \implies P \vdash \langle e \cdot M(es), s, b \rangle \rightarrow^* \langle e' \cdot M(es), s', b' \rangle \langle \text{proof} \rangle$

lemma *CallRedsParams*:

$P \vdash \langle es, s, b \rangle \rightarrow] * \langle es', s', b' \rangle \implies P \vdash \langle (Val v) \cdot M(es), s, b \rangle \rightarrow] * \langle (Val v) \cdot M(es'), s', b' \rangle \langle \text{proof} \rangle$

lemma *CallRedsFinal*:

assumes *wwf*: *wwf-J-prog* P
and $P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
 $P \vdash \langle es, s_1, b_1 \rangle \rightarrow] * \langle \text{map } Val vs, (h_2, l_2, sh_2), b_2 \rangle$
 $h_2 a = \text{Some}(C, fs)$ $P \vdash C \text{ sees } M, \text{NonStatic}: Ts \rightarrow T = (pns, body) \text{ in } D$
 $\text{size } vs = \text{size } pns$
and $l'_2: l_2' = [\text{this} \mapsto \text{Addr } a, pns \rightarrow] vs]$
and *body*: $P \vdash \langle \text{body}, (h_2, l'_2, sh_2), b_2 \rangle \rightarrow^* \langle ef, (h_3, l_3, sh_3), b_3 \rangle$
and *final ef*
shows $P \vdash \langle e \cdot M(es), s_0, b_0 \rangle \rightarrow^* \langle ef, (h_3, l_2, sh_3), b_3 \rangle \langle \text{proof} \rangle$

lemma *CallRedsThrowParams*:

assumes *e-steps*: $P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle Val v, s_1, b_1 \rangle$
and *es-steps*: $P \vdash \langle es, s_1, b_1 \rangle \rightarrow] * \langle \text{map } Val vs_1 @ \text{throw } a \# es_2, s_2, b_2 \rangle$
shows $P \vdash \langle e \cdot M(es), s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_2, b_2 \rangle \langle \text{proof} \rangle$

lemma *CallRedsThrowObj*:

$P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle \implies P \vdash \langle e \cdot M(es), s_0, b_0 \rangle \rightarrow^* \langle \text{throw } a, s_1, b_1 \rangle \langle \text{proof} \rangle$

lemma *CallRedsNull*:

assumes *e-steps*: $P \vdash \langle e, s_0, b_0 \rangle \rightarrow^* \langle \text{null}, s_1, b_1 \rangle$
and *es-steps*: $P \vdash \langle es, s_1, b_1 \rangle \rightarrow] * \langle \text{map } Val vs, s_2, b_2 \rangle$
shows $P \vdash \langle e \cdot M(es), s_0, b_0 \rangle \rightarrow^* \langle \text{THROW NullPointer}, s_2, b_2 \rangle \langle \text{proof} \rangle$

lemma *CallRedsNone*:

assumes *e-steps*: $P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
and *es-steps*: $P \vdash \langle es, s_1, b_1 \rangle \rightarrow] * \langle \text{map } Val vs, s_2, b_2 \rangle$
and *hp2a*: $hp s_2 a = \text{Some}(C, fs)$
and *ncM*: $\neg(\exists b \in Ts \ T \ m \ D. P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D)$
shows $P \vdash \langle e \cdot M(es), s, b \rangle \rightarrow^* \langle \text{THROW NoSuchMethodError}, s_2, b_2 \rangle \langle \text{proof} \rangle$

lemma *CallRedsStatic*:

assumes *e-steps*: $P \vdash \langle e, s, b \rangle \rightarrow^* \langle \text{addr } a, s_1, b_1 \rangle$
and *es-steps*: $P \vdash \langle es, s_1, b_1 \rangle \rightarrow] * \langle \text{map } Val vs, s_2, b_2 \rangle$
and *hp2a*: $hp s_2 a = \text{Some}(C, fs)$
and *cM-Static*: $P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = m \text{ in } D$
shows $P \vdash \langle e \cdot M(es), s, b \rangle \rightarrow^* \langle \text{THROW IncompatibleClassChangeError}, s_2, b_2 \rangle \langle \text{proof} \rangle$

1.23.2 SCall

lemma *SCallRedsParams*:

$P \vdash \langle es, s, b \rangle \rightarrow] * \langle es', s', b' \rangle \implies P \vdash \langle C \cdot_s M(es), s, b \rangle \rightarrow] * \langle C \cdot_s M(es'), s', b' \rangle \langle \text{proof} \rangle$

lemma *SCallRedsFinal*:

assumes $\text{wwf: wwf-J-prog } P$
and $P \vdash \langle es, s_0, b_0 \rangle \xrightarrow{*} \langle \text{map Val vs}, (h_2, l_2, sh_2), b_2 \rangle$
 $P \vdash C \text{ sees } M, \text{Static: } Ts \rightarrow T = (pns, body) \text{ in } D$
 $sh_2 D = \text{Some}(sfs, \text{Done}) \vee (M = \text{clinit} \wedge sh_2 D = \lfloor (sfs, \text{Processing}) \rfloor)$
 $\text{size vs} = \text{size pns}$
and $l_2' : l_2' = [pns[\rightarrow] vs]$
and $\text{body: } P \vdash \langle \text{body}, (h_2, l_2', sh_2), \text{False} \rangle \rightarrow* \langle ef, (h_3, l_3, sh_3), b_3 \rangle$
and final ef
shows $P \vdash \langle C \cdot_s M(es), s_0, b_0 \rangle \rightarrow* \langle ef, (h_3, l_2, sh_3), b_3 \rangle \langle proof \rangle$
lemma $SCallRedsThrowParams:$
 $\llbracket P \vdash \langle es, s_0, b_0 \rangle \xrightarrow{*} \langle \text{map Val vs}_1 @ \text{throw a} \# es_2, s_2, b_2 \rangle \rrbracket$
 $\implies P \vdash \langle C \cdot_s M(es), s_0, b_0 \rangle \rightarrow* \langle \text{throw a}, s_2, b_2 \rangle \langle proof \rangle$
lemma $SCallRedsNone:$
 $\llbracket P \vdash \langle es, s, b \rangle \xrightarrow{*} \langle \text{map Val vs}, s_2, \text{False} \rangle;$
 $\neg (\exists b \ Ts \ T \ m \ D. P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D)$
 $\implies P \vdash \langle C \cdot_s M(es), s, b \rangle \rightarrow* \langle \text{THROW NoSuchMethodError}, s_2, \text{False} \rangle \langle proof \rangle$
lemma $SCallRedsNonStatic:$
 $\llbracket P \vdash \langle es, s, b \rangle \xrightarrow{*} \langle \text{map Val vs}, s_2, \text{False} \rangle;$
 $P \vdash C \text{ sees } M, \text{NonStatic: } Ts \rightarrow T = m \text{ in } D$
 $\implies P \vdash \langle C \cdot_s M(es), s, b \rangle \rightarrow* \langle \text{THROW IncompatibleClassChangeError}, s_2, \text{False} \rangle \langle proof \rangle$
lemma $SCallInitThrowReds:$
assumes $\text{wwf: wwf-J-prog } P$
and $P \vdash \langle es, s_0, b_0 \rangle \xrightarrow{*} \langle \text{map Val vs}, (h_1, l_1, sh_1), \text{False} \rangle$
 $P \vdash C \text{ sees } M, \text{Static: } Ts \rightarrow T = (pns, body) \text{ in } D$
 $\# sfs. sh_1 D = \text{Some}(sfs, \text{Done})$
 $M \neq \text{clinit}$
and $P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h_1, l_1, sh_1), \text{False} \rangle \rightarrow* \langle \text{throw a}, (h_2, l_2, sh_2), b_2 \rangle$
shows $P \vdash \langle C \cdot_s M(es), s_0, b_0 \rangle \rightarrow* \langle \text{throw a}, (h_2, l_2, sh_2), b_2 \rangle \langle proof \rangle$
lemma $SCallInitReds:$
assumes $\text{wwf: wwf-J-prog } P$
and $P \vdash \langle es, s_0, b_0 \rangle \xrightarrow{*} \langle \text{map Val vs}, (h_1, l_1, sh_1), \text{False} \rangle$
 $P \vdash C \text{ sees } M, \text{Static: } Ts \rightarrow T = (pns, body) \text{ in } D$
 $\# sfs. sh_1 D = \text{Some}(sfs, \text{Done})$
 $M \neq \text{clinit}$
and $P \vdash \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h_1, l_1, sh_1), \text{False} \rangle \rightarrow* \langle \text{Val v}', (h_2, l_2, sh_2), b_2 \rangle$
and $\text{size vs} = \text{size pns}$
and $l_2' : l_2' = [pns[\rightarrow] vs]$
and $\text{body: } P \vdash \langle \text{body}, (h_2, l_2', sh_2), \text{False} \rangle \rightarrow* \langle ef, (h_3, l_3, sh_3), b_3 \rangle$
and final ef
shows $P \vdash \langle C \cdot_s M(es), s_0, b_0 \rangle \rightarrow* \langle ef, (h_3, l_2, sh_3), b_3 \rangle \langle proof \rangle$
lemma $SCallInitProcessingReds:$
assumes $\text{wwf: wwf-J-prog } P$
and $P \vdash \langle es, s_0, b_0 \rangle \xrightarrow{*} \langle \text{map Val vs}, (h_2, l_2, sh_2), b_2 \rangle$
 $P \vdash C \text{ sees } M, \text{Static: } Ts \rightarrow T = (pns, body) \text{ in } D$
 $sh_2 D = \text{Some}(sfs, \text{Processing})$
and $\text{size vs} = \text{size pns}$
and $l_2' : l_2' = [pns[\rightarrow] vs]$
and $\text{body: } P \vdash \langle \text{body}, (h_2, l_2', sh_2), \text{False} \rangle \rightarrow* \langle ef, (h_3, l_3, sh_3), b_3 \rangle$
and final ef
shows $P \vdash \langle C \cdot_s M(es), s_0, b_0 \rangle \rightarrow* \langle ef, (h_3, l_2, sh_3), b_3 \rangle \langle proof \rangle$

The main Theorem

lemma assumes $\text{wwf: wwf-J-prog } P$

shows big-by-small: $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$
 $\Rightarrow (\bigwedge b. \text{iconf}(\text{shp } s) e \Rightarrow P, \text{shp } s \vdash_b (e, b) \vee \Rightarrow P \vdash \langle e, s, b \rangle \rightarrow^* \langle e', s', \text{False} \rangle)$
and bigs-by-smalls: $P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle$
 $\Rightarrow (\bigwedge b. \text{iconfs}(\text{shp } s) es \Rightarrow P, \text{shp } s \vdash_b (es, b) \vee \Rightarrow P \vdash \langle es, s, b \rangle \rightarrow^* \langle es', s', \text{False} \rangle) \langle \text{proof} \rangle$

1.23.3 Big steps simulates small step

This direction was carried out by Norbert Schirmer and Daniel Wasserrab (and modified to include statics and DCI by Susannah Mansky).

The big step equivalent of *RedWhile*:

lemma unfold-while:

$$P \vdash \langle \text{while}(b) c, s \rangle \Rightarrow \langle e', s' \rangle = P \vdash \langle \text{if}(b) (c; \text{while}(b) c) \text{ else } (\text{unit}), s \rangle \Rightarrow \langle e', s' \rangle \langle \text{proof} \rangle$$

lemma blocksEval:

$$\begin{aligned} \bigwedge Ts \text{ vs } l \text{ l'. } [\text{size } ps = \text{size } Ts; \text{size } ps = \text{size } vs; P \vdash \langle \text{blocks}(ps, Ts, vs, e), (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle] \\ \Rightarrow \exists l''. P \vdash \langle e, (h, l(ps[\rightarrow] vs), sh) \rangle \Rightarrow \langle e', (h', l'', sh') \rangle \langle \text{proof} \rangle \end{aligned}$$

lemma

assumes *wf*: *wwf-J-prog* *P*

shows eval-restrict-lcl:

$$\begin{aligned} P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \Rightarrow (\bigwedge W. \text{fv } e \subseteq W \Rightarrow P \vdash \langle e, (h, l|'W, sh) \rangle \Rightarrow \langle e', (h', l|'W, sh') \rangle) \\ \text{and } P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \Rightarrow (\bigwedge W. \text{fvs } es \subseteq W \Rightarrow P \vdash \langle es, (h, l|'W, sh) \rangle \Rightarrow \langle es', (h', l|'W, sh') \rangle) \langle \text{proof} \rangle \end{aligned}$$

lemma eval-notfree-unchanged:

$$\begin{aligned} P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \Rightarrow (\bigwedge V. V \notin \text{fv } e \Rightarrow l' V = l V) \\ \text{and } P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \Rightarrow (\bigwedge V. V \notin \text{fvs } es \Rightarrow l' V = l V) \langle \text{proof} \rangle \end{aligned}$$

lemma eval-closed-lcl-unchanged:

$$[P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle; \text{fv } e = \{\}] \Rightarrow l' = l \langle \text{proof} \rangle$$

lemma list-eval-Throw:

assumes eval-e: $P \vdash \langle \text{throw } x, s \rangle \Rightarrow \langle e', s' \rangle$

shows $P \vdash \langle \text{map Val } vs @ \text{throw } x \# es', s \rangle \Rightarrow \langle \text{map Val } vs @ e' \# es', s' \rangle \langle \text{proof} \rangle$

lemma seq-ext:

assumes IH: $\bigwedge e' s'. P \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle \Rightarrow P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

and seq: $P \vdash \langle e'' ;; e_0, s'' \rangle \Rightarrow \langle e', s' \rangle$

shows $P \vdash \langle e ;; e_0, s \rangle \Rightarrow \langle e', s' \rangle$

$\langle \text{proof} \rangle$

lemma rinit-Val-ext:

assumes ri: $P \vdash \langle RI(C, e'') ; Cs \leftarrow e_0, s'' \rangle \Rightarrow \langle \text{Val } v', s_1 \rangle$

and IH: $\bigwedge e' s'. P \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle \Rightarrow P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

shows $P \vdash \langle RI(C, e) ; Cs \leftarrow e_0, s \rangle \Rightarrow \langle \text{Val } v', s_1 \rangle$

$\langle \text{proof} \rangle$

lemma rinit-throw-ext:

assumes ri: $P \vdash \langle RI(C, e'') ; Cs \leftarrow e_0, s'' \rangle \Rightarrow \langle \text{throw } e_t, s' \rangle$

and IH: $\bigwedge e' s'. P \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle \Rightarrow P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

shows $P \vdash \langle RI(C, e) ; Cs \leftarrow e_0, s \rangle \Rightarrow \langle \text{throw } e_t, s' \rangle$

$\langle \text{proof} \rangle$

lemma rinit-ext:

assumes IH: $\bigwedge e' s'. P \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle \Rightarrow P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

shows $\bigwedge e' s'. P \vdash \langle RI(C, e'') ; Cs \leftarrow e_0, s'' \rangle \Rightarrow \langle e', s' \rangle$

$\Rightarrow P \vdash \langle RI(C, e) ; Cs \leftarrow e_0, s \rangle \Rightarrow \langle e', s' \rangle$

$\langle \text{proof} \rangle$

lemma

shows eval-init-return: $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

$$\begin{aligned} &\implies \text{iconf } (\text{shp } s) \ e \\ &\implies (\exists \text{Cs } b. \ e = \text{INIT } C' (\text{Cs}, b) \leftarrow \text{unit}) \vee (\exists \text{C } e_0 \ \text{Cs } e_i. \ e = \text{RI}(C, e_0); \text{Cs}@[C'] \leftarrow \text{unit}) \\ &\quad \vee (\exists e_0. \ e = \text{RI}(C', e_0); \text{Nil} \leftarrow \text{unit}) \\ &\implies (\text{val-of } e' = \text{Some } v \longrightarrow (\exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, i) \rfloor \wedge (i = \text{Done} \vee i = \text{Processing})) \\ &\quad \wedge (\text{throw-of } e' = \text{Some } a \longrightarrow (\exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, \text{Error}) \rfloor))) \\ \text{and } P \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle &\implies \text{True} \\ \langle \text{proof} \rangle \end{aligned}$$

lemma init-Val-PD: $P \vdash \langle \text{INIT } C' (\text{Cs}, b) \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{Val } v, s' \rangle$

$$\begin{aligned} &\implies \text{iconf } (\text{shp } s) (\text{INIT } C' (\text{Cs}, b) \leftarrow \text{unit}) \\ &\implies \exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, i) \rfloor \wedge (i = \text{Done} \vee i = \text{Processing}) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma init-throw-PD: $P \vdash \langle \text{INIT } C' (\text{Cs}, b) \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

$$\begin{aligned} &\implies \text{iconf } (\text{shp } s) (\text{INIT } C' (\text{Cs}, b) \leftarrow \text{unit}) \\ &\implies \exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, \text{Error}) \rfloor \\ \langle \text{proof} \rangle \end{aligned}$$

lemma rinit-Val-PD: $P \vdash \langle \text{RI}(C, e_0); \text{Cs} \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{Val } v, s' \rangle$

$$\begin{aligned} &\implies \text{iconf } (\text{shp } s) (\text{RI}(C, e_0); \text{Cs} \leftarrow \text{unit}) \implies \text{last}(C \# \text{Cs}) = C' \\ &\implies \exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, i) \rfloor \wedge (i = \text{Done} \vee i = \text{Processing}) \\ \langle \text{proof} \rangle \end{aligned}$$

lemma rinit-throw-PD: $P \vdash \langle \text{RI}(C, e_0); \text{Cs} \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

$$\begin{aligned} &\implies \text{iconf } (\text{shp } s) (\text{RI}(C, e_0); \text{Cs} \leftarrow \text{unit}) \implies \text{last}(C \# \text{Cs}) = C' \\ &\implies \exists \text{sfs } i. \ \text{shp } s' C' = \lfloor (sfs, \text{Error}) \rfloor \\ \langle \text{proof} \rangle \end{aligned}$$

lemma eval-init-seq': $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

$$\begin{aligned} &\implies (\exists \text{C } \text{Cs } b \ e_i. \ e = \text{INIT } C (\text{Cs}, b) \leftarrow e_i) \vee (\exists \text{C } e_0 \ \text{Cs } e_i. \ e = \text{RI}(C, e_0); \text{Cs} \leftarrow e_i) \\ &\implies (\exists \text{C } \text{Cs } b \ e_i. \ e = \text{INIT } C (\text{Cs}, b) \leftarrow e_i \wedge P \vdash \langle (\text{INIT } C (\text{Cs}, b) \leftarrow \text{unit});; e_i, s \rangle \Rightarrow \langle e', s' \rangle) \\ &\quad \vee (\exists \text{C } e_0 \ \text{Cs } e_i. \ e = \text{RI}(C, e_0); \text{Cs} \leftarrow e_i \wedge P \vdash \langle (\text{RI}(C, e_0); \text{Cs} \leftarrow \text{unit});; e_i, s \rangle \Rightarrow \langle e', s' \rangle) \\ \text{and } P \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle &\implies \text{True} \\ \langle \text{proof} \rangle \end{aligned}$$

lemma eval-init-seq: $P \vdash \langle \text{INIT } C (\text{Cs}, b) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$

$$\begin{aligned} &\implies P \vdash \langle (\text{INIT } C (\text{Cs}, b) \leftarrow \text{unit});; e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \\ \langle \text{proof} \rangle \end{aligned}$$

The key lemma:

lemma

assumes wf: wwf-J-prog P

shows extend-1-eval: $P \vdash \langle e, s, b \rangle \rightarrow \langle e'', s'', b'' \rangle \implies P, \text{shp } s \vdash_b (e, b) \vee$

$$\begin{aligned} &\implies (\bigwedge s' e'. \ P \vdash \langle e'', s' \rangle \Rightarrow \langle e', s' \rangle \implies P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle) \\ \text{and extend-1-evals: } P \vdash \langle es, s, b \rangle [\rightarrow] \langle es'', s'', b'' \rangle &\implies P, \text{shp } s \vdash_b (es, b) \vee \\ &\implies (\bigwedge s' es'. \ P \vdash \langle es'', s' \rangle \Rightarrow \langle es', s' \rangle \implies P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle) \\ \langle \text{proof} \rangle \end{aligned}$$

Its extension to \rightarrow^* :

lemma extend-eval:

assumes wf: wwf-J-prog P

shows $\llbracket P \vdash \langle e, s, b \rangle \rightarrow^* \langle e'', s'', b'' \rangle; P \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle;$
 $\text{iconf } (\text{shp } s) \ e; P, \text{shp } s \vdash_b (e::\text{expr}, b) \vee \rrbracket$

$$\implies P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \langle proof \rangle$$

lemma *extend-evals*:

assumes *wf*: *wwf-J-prog P*
shows $\llbracket P \vdash \langle es, s, b \rangle \xrightarrow{*} \langle es'', s'', b'' \rangle; P \vdash \langle es'', s'' \rangle \Rightarrow \langle es', s' \rangle; \text{iconfs } (shp s) es; P, shp s \vdash_b (es::expr\ list, b) \checkmark \rrbracket$
 $\implies P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \langle proof \rangle$

Finally, small step semantics can be simulated by big step semantics:

theorem

assumes *wf*: *wwf-J-prog P*
shows *small-by-big*:
 $\llbracket P \vdash \langle e, s, b \rangle \xrightarrow{*} \langle e', s', b' \rangle; \text{iconf } (shp s) e; P, shp s \vdash_b (e, b) \checkmark; \text{final } e \rrbracket$
 $\implies P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$
and $\llbracket P \vdash \langle es, s, b \rangle \xrightarrow{*} \langle es', s', b' \rangle; \text{iconfs } (shp s) es; P, shp s \vdash_b (es, b) \checkmark; \text{finals } es \rrbracket$
 $\implies P \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \langle proof \rangle$

1.23.4 Equivalence

And now, the crowning achievement:

corollary *big-iff-small*:

$\llbracket \text{wwf-J-prog } P; \text{iconf } (shp s) e; P, shp s \vdash_b (e::expr, b) \checkmark \rrbracket$
 $\implies P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle = (P \vdash \langle e, s, b \rangle \xrightarrow{*} \langle e', s', \text{False} \rangle \wedge \text{final } e') \langle proof \rangle$

corollary *big-iff-small-WT*:

$\text{wwf-J-prog } P \implies P, E \vdash e::T \implies P, shp s \vdash_b (e, b) \checkmark \implies$
 $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle = (P \vdash \langle e, s, b \rangle \xrightarrow{*} \langle e', s', \text{False} \rangle \wedge \text{final } e') \langle proof \rangle$

1.23.5 Lifting type safety to \Rightarrow

... and now to the big step semantics, just for fun.

lemma *eval-preserves-sconf*:

fixes *s::state* **and** *s'::state*
assumes *wf-J-prog P* **and** $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$ **and** *iconf* (*shp s*) *e*
and $P, E \vdash e::T$ **and** $P, E \vdash s \checkmark$
shows $P, E \vdash s' \checkmark \langle proof \rangle$

lemma *eval-preserves-type*:

fixes *s::state*
assumes *wf*: *wf-J-prog P*
and $P \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$ **and** $P, E \vdash s \checkmark$ **and** *iconf* (*shp s*) *e* **and** $P, E \vdash e::T$
shows $\exists T'. P \vdash T' \leq T \wedge P, E, hp s', shp s' \vdash e': T' \langle proof \rangle$

end

1.24 Program annotation

theory *Annotate imports WellType begin*

inductive

Anno :: $[J\text{-prog}, env, expr, expr] \Rightarrow bool$
 $(\langle \cdot, \cdot \rangle \vdash - \rightsquigarrow \neg \rightarrow [51, 0, 0, 51] 50)$
and *Annos* :: $[J\text{-prog}, env, expr\ list, expr\ list] \Rightarrow bool$
 $(\langle \cdot, \cdot \rangle \vdash - [\rightsquigarrow] \rightarrow [51, 0, 0, 51] 50)$

for $P :: J\text{-prog}$
where

$\begin{array}{l} AnnoNew: P,E \vdash new C \rightsquigarrow new C \\ | AnnoCast: P,E \vdash e \rightsquigarrow e' \implies P,E \vdash Cast C e \rightsquigarrow Cast C e' \\ | AnnoVal: P,E \vdash Val v \rightsquigarrow Val v \\ | AnnoVarVar: E V = \lfloor T \rfloor \implies P,E \vdash Var V \rightsquigarrow Var V \\ | AnnoVarField: \llbracket E V = None; E this = \lfloor Class C \rfloor; P \vdash C \text{ sees } V, NonStatic: T \text{ in } D \rrbracket \\ \implies P,E \vdash Var V \rightsquigarrow Var this \cdot V\{D\} \\ | AnnoBinOp: \\ \quad \llbracket P,E \vdash e1 \rightsquigarrow e1'; P,E \vdash e2 \rightsquigarrow e2' \rrbracket \\ \implies P,E \vdash e1 \llcorner bop \urcorner e2 \rightsquigarrow e1' \llcorner bop \urcorner e2' \\ | AnnoLAssVar: \\ \quad \llbracket E V = \lfloor T \rfloor; P,E \vdash e \rightsquigarrow e' \rrbracket \implies P,E \vdash V := e \rightsquigarrow V := e' \\ | AnnoLAssField: \\ \quad \llbracket E V = None; E this = \lfloor Class C \rfloor; P \vdash C \text{ sees } V, NonStatic: T \text{ in } D; P,E \vdash e \rightsquigarrow e' \rrbracket \\ \implies P,E \vdash V := e \rightsquigarrow Var this \cdot V\{D\} := e' \\ | AnnoFAcc: \\ \quad \llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash e' :: Class C; P \vdash C \text{ sees } F, NonStatic: T \text{ in } D \rrbracket \\ \implies P,E \vdash e \cdot F\{\} \rightsquigarrow e' \cdot F\{D\} \\ | AnnoSFAcc: \\ \quad \llbracket P \vdash C \text{ sees } F, Static: T \text{ in } D \rrbracket \\ \implies P,E \vdash C \cdot_s F\{\} \rightsquigarrow C \cdot_s F\{D\} \\ | AnnoFAss: \llbracket P,E \vdash e1 \rightsquigarrow e1'; P,E \vdash e2 \rightsquigarrow e2'; \\ \quad P,E \vdash e1' :: Class C; P \vdash C \text{ sees } F, NonStatic: T \text{ in } D \rrbracket \\ \implies P,E \vdash e1 \cdot F\{\} := e2 \rightsquigarrow e1' \cdot F\{D\} := e2' \\ | AnnoSFAss: \llbracket P,E \vdash e2 \rightsquigarrow e2'; P \vdash C \text{ sees } F, Static: T \text{ in } D \rrbracket \\ \implies P,E \vdash C \cdot_s F\{\} := e2 \rightsquigarrow C \cdot_s F\{D\} := e2' \\ | AnnoCall: \\ \quad \llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash es \rightsquigarrow es' \rrbracket \\ \implies P,E \vdash Call e M es \rightsquigarrow Call e' M es' \\ | AnnoSCall: \\ \quad \llbracket P,E \vdash es \rightsquigarrow es' \rrbracket \\ \implies P,E \vdash SCall C M es \rightsquigarrow SCall C M es' \\ | AnnoBlock: \\ \quad P,E(V \mapsto T) \vdash e \rightsquigarrow e' \implies P,E \vdash \{V:T; e\} \rightsquigarrow \{V:T; e'\} \\ | AnnoComp: \llbracket P,E \vdash e1 \rightsquigarrow e1'; P,E \vdash e2 \rightsquigarrow e2' \rrbracket \\ \implies P,E \vdash e1;; e2 \rightsquigarrow e1';; e2' \\ | AnnoCond: \llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash e1 \rightsquigarrow e1'; P,E \vdash e2 \rightsquigarrow e2' \rrbracket \\ \implies P,E \vdash \text{if } (e) e1 \text{ else } e2 \rightsquigarrow \text{if } (e') e1' \text{ else } e2' \\ | AnnoLoop: \llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash c \rightsquigarrow c' \rrbracket \\ \implies P,E \vdash \text{while } (e) c \rightsquigarrow \text{while } (e') c' \\ | AnnoThrow: P,E \vdash e \rightsquigarrow e' \implies P,E \vdash \text{throw } e \rightsquigarrow \text{throw } e' \\ | AnnoTry: \llbracket P,E \vdash e1 \rightsquigarrow e1'; P,E(V \mapsto \text{Class } C) \vdash e2 \rightsquigarrow e2' \rrbracket \\ \implies P,E \vdash \text{try } e1 \text{ catch}(C V) e2 \rightsquigarrow \text{try } e1' \text{ catch}(C V) e2' \\ | AnnoNil: P,E \vdash [] \rightsquigarrow [] \\ | AnnoCons: \llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash es \rightsquigarrow es' \rrbracket \\ \implies P,E \vdash e \# es \rightsquigarrow e' \# es' \end{array}$

end

Chapter 2

Jinja Virtual Machine

2.1 State of the JVM

```
theory JVMState imports .. /Common/Objects begin
```

type-synonym

$pc = nat$

abbreviation $start\text{-}sheap :: sheap$

where $start\text{-}sheap \equiv (\lambda x. None)(Start \mapsto (Map.empty, Done))$

definition $start\text{-}sheap\text{-}preloaded :: 'm prog \Rightarrow sheap$

where

$start\text{-}sheap\text{-}preloaded P \equiv fold (\lambda(C, cl) f. f(C := Some (sblank P C, Prepared))) P (\lambda x. None)$

2.1.1 Frame Stack

datatype $init\text{-}call\text{-}status = No\text{-}ics \mid Calling cname cname list$

$\mid Called cname list \mid Throwing cname list addr$

— $No\text{-}ics$ = not currently calling or waiting for the result of an initialization procedure call

— $Calling C Cs$ = current instruction is calling for initialization of classes $C\#Cs$ (last class is the original) – still collecting classes to be initialized, C most recently collected

— $Called Cs$ = current instruction called initialization and is waiting for the result – now initializing classes in the list

— $Throwing Cs a$ = frame threw or was thrown an error causing erroneous end of initialization procedure for classes Cs

type-synonym

$frame = val list \times val list \times cname \times mname \times pc \times init\text{-}call\text{-}status$

— operand stack

— registers (including this pointer, method parameters, and local variables)

— name of class where current method is defined

— current method

— program counter within frame

— indicates frame's initialization call status

translations

$(type) frame \leq (type) val list \times val list \times char list \times char list \times nat \times init\text{-}call\text{-}status$

```

fun curr-stk :: frame  $\Rightarrow$  val list where
curr-stk (stk, loc, C, M, pc, ics) = stk

fun curr-class :: frame  $\Rightarrow$  cname where
curr-class (stk, loc, C, M, pc, ics) = C

fun curr-method :: frame  $\Rightarrow$  mname where
curr-method (stk, loc, C, M, pc, ics) = M

fun curr-pc :: frame  $\Rightarrow$  nat where
curr-pc (stk, loc, C, M, pc, ics) = pc

fun init-status :: frame  $\Rightarrow$  init-call-status where
init-status (stk, loc, C, M, pc, ics) = ics

fun ics-of :: frame  $\Rightarrow$  init-call-status where
ics-of fr = snd(snd(snd(snd(fr))))

```

2.1.2 Runtime State

type-synonym

$jvm\text{-state} = \text{addr option} \times \text{heap} \times \text{frame list} \times \text{sheap}$
— exception flag, heap, frames, static heap

translations

(type) $jvm\text{-state} \leq (\text{type}) \text{nat option} \times \text{heap} \times \text{frame list} \times \text{sheap}$

```

fun frames-of :: jvm-state  $\Rightarrow$  frame list where
frames-of (xp, h, frs, sh) = frs

```

```

abbreviation sheap :: jvm-state  $\Rightarrow$  sheap where
sheap js  $\equiv$  snd (snd (snd js))

```

end

2.2 Instructions of the JVM

theory *JVMInstructions imports JVMState begin*

datatype

$instr = Load \text{ nat}$	— load from local variable
$Store \text{ nat}$	— store into local variable
$Push \text{ val}$	— push a value (constant)
$New \text{ cname}$	— create object
$Getfield \text{ vname cname}$	— Fetch field from object
$Getstatic \text{ cname vname cname}$	— Fetch static field from class
$Putfield \text{ vname cname}$	— Set field in object
$Putstatic \text{ cname vname cname}$	— Set static field in class
$Checkcast \text{ cname}$	— Check whether object is of given type
$Invoke \text{ mname nat}$	— inv. instance meth of an object
$Invokestatic \text{ cname mname nat}$	— inv. static method of a class
$Return$	— return from method
Pop	— pop top element from opstack

$ IAdd$	— integer addition
$ Goto\ int$	— goto relative address
$ CmpEq$	— equality comparison
$ IfFalse\ int$	— branch if top of stack false
$ Throw$	— throw top of stack as exception

type-synonym $\text{bytecode} = \text{instr list}$ **type-synonym** $\text{ex-entry} = \text{pc} \times \text{pc} \times \text{cname} \times \text{pc} \times \text{nat}$

— start-pc, end-pc, exception type, handler-pc, remaining stack depth

type-synonym $\text{ex-table} = \text{ex-entry list}$ **type-synonym** $\text{jvm-method} = \text{nat} \times \text{nat} \times \text{bytecode} \times \text{ex-table}$

— max stacksize

— number of local variables. Add 1 + no. of parameters to get no. of registers

— instruction sequence

— exception handler table

type-synonym $\text{jvm-prog} = \text{jvm-method prog}$ **end**

2.3 Exception handling in the JVM

theory *JVMExceptions* **imports** ..//Common/Exceptions *JVMInstructions*
begin

definition *matches-ex-entry* :: '*m* *prog* \Rightarrow *cname* \Rightarrow *pc* \Rightarrow *ex-entry* \Rightarrow *bool*
where

matches-ex-entry P C pc xcp \equiv
 $\quad \text{let } (s, e, C', h, d) = xcp \text{ in}$
 $\quad s \leq pc \wedge pc < e \wedge P \vdash C \preceq^* C'$

primrec *match-ex-table* :: '*m* *prog* \Rightarrow *cname* \Rightarrow *pc* \Rightarrow *ex-table* \Rightarrow (*pc* \times *nat*) *option*
where

match-ex-table P C pc [] $= \text{None}$
 $| \text{match-ex-table P C pc (e\#es)} = (\text{if matches-ex-entry P C pc e}$
 $\quad \text{then Some (snd(snd(snd e)))}$
 $\quad \text{else match-ex-table P C pc es})$

abbreviation*ex-table-of* :: *jvm-prog* \Rightarrow *cname* \Rightarrow *mname* \Rightarrow *ex-table* **where***ex-table-of P C M* $= \text{snd}(\text{snd}(\text{snd}(\text{snd}(\text{snd}(\text{method P C M})))))$

fun *find-handler* :: *jvm-prog* \Rightarrow *addr* \Rightarrow *heap* \Rightarrow *frame list* \Rightarrow *sheap* \Rightarrow *jvm-state*

where

```

find-handler P a h [] sh = (Some a, h, [], sh)
| find-handler P a h (fr#frs) sh =
  (let (stk,loc,C,M,pc,ics) = fr in
    case match-ex-table P (cname-of h a) pc (ex-table-of P C M) of
      None =>
        (case M = cinit of
          True => (case frs of (stk',loc',C',M',pc',ics')#frs'
            => (case ics' of Called Cs => (None, h, (stk',loc',C',M',pc',Throwing
              (C#Cs) a)#frs', sh)
              | - => (None, h, (stk',loc',C',M',pc',ics')#frs', sh)) — this won't
              happen in wf code
            )
          )
        )
      | - => find-handler P a h frs sh
    )
  | Some pc-d => (None, h, (Addr a # drop (size stk - snd pc-d) stk, loc, C, M, fst pc-d,
    No-ics)#frs, sh))

```

lemma *find-handler-cases*:

```

find-handler P a h frs sh = js
  ==> ( $\exists$  frs'. frs'  $\neq$  []  $\wedge$  js = (None, h, frs', sh))  $\vee$  (js = (Some a, h, [], sh))
⟨proof⟩

```

lemma *find-handler-heap[simp]*:

```

find-handler P a h frs sh = (xp',h',frs',sh') ==> h' = h
⟨proof⟩

```

lemma *find-handler-sheap[simp]*:

```

find-handler P a h frs sh = (xp',h',frs',sh') ==> sh' = sh
⟨proof⟩

```

lemma *find-handler-frames[simp]*:

```

find-handler P a h frs sh = (xp',h',frs',sh') ==> length frs'  $\leq$  length frs
⟨proof⟩

```

lemma *find-handler-None*:

```

find-handler P a h frs sh = (None, h, frs', sh') ==> frs'  $\neq$  []
⟨proof⟩

```

lemma *find-handler-Some*:

```

find-handler P a h frs sh = (Some x, h, frs', sh') ==> frs' = []
⟨proof⟩

```

lemma *find-handler-Some-same-error-same-heap[simp]*:

```

find-handler P a h frs sh = (Some x, h', frs', sh') ==> x = a  $\wedge$  h = h'  $\wedge$  sh = sh'
⟨proof⟩

```

lemma *find-handler-prealloc-pres*:

```

assumes preallocated h
and fh: find-handler P a h frs sh = (xp',h',frs',sh')
shows preallocated h'
⟨proof⟩

```

```

lemma find-handler-frs-tl-neq:
  ics-of f ≠ No-ics
   $\implies (xp, h, f\#frs, sh) \neq \text{find-handler } P \text{ xa } h' (f' \# frs) sh'$ 
{proof}
end

```

2.4 Program Execution in the JVM

```

theory JVMExecInstr
imports JVMInstructions JVMExceptions
begin

  — frame calling the class initialization method for the given class in the given program
fun create-init-frame :: [jvm-prog, cname] ⇒ frame where
  create-init-frame P C =
    (let (D,b,Ts,T,(mxs,mxl0,ins,xt)) = method P C clinit
     in ([](replicate mxl0 undefined),D,clinit,0,No-ics)
    )

primrec exec-instr :: [instr, jvm-prog, heap, val list, val list,
  cname, mname, pc, init-call-status, frame list, sheap] ⇒ jvm-state
where
  exec-instr-Load:
  exec-instr (Load n) P h stk loc C0 M0 pc ics frs sh =
    (None, h, ((loc ! n) # stk, loc, C0, M0, Suc pc, ics)#frs, sh)
  | exec-instr-Store:
  exec-instr (Store n) P h stk loc C0 M0 pc ics frs sh =
    (None, h, (tl stk, loc[n:=hd stk], C0, M0, Suc pc, ics)#frs, sh)
  | exec-instr-Push:
  exec-instr (Push v) P h stk loc C0 M0 pc ics frs sh =
    (None, h, (v # stk, loc, C0, M0, Suc pc, ics)#frs, sh)
  | exec-instr-New:
  exec-instr (New C) P h stk loc C0 M0 pc ics frs sh =
    (case (ics, sh C) of
      (Called Cs, -) ⇒
        (case new-Addr h of
          None ⇒ ([addr-of-sys-xcpt OutOfMemory], h, (stk, loc, C0, M0, pc, No-ics)#frs, sh)
          | Some a ⇒ (None, h(a→blank P C), (Addr a#stk, loc, C0, M0, Suc pc, No-ics)#frs, sh)
        )
      | (-, Some(obj, Done)) ⇒
        (case new-Addr h of
          None ⇒ ([addr-of-sys-xcpt OutOfMemory], h, (stk, loc, C0, M0, pc, ics)#frs, sh)
          | Some a ⇒ (None, h(a→blank P C), (Addr a#stk, loc, C0, M0, Suc pc, ics)#frs, sh)
        )
      | - ⇒ (None, h, (stk, loc, C0, M0, pc, Calling C [])#frs, sh)
    )
  | exec-instr-Getfield:
```

```

exec-instr (Getfield F C) P h stk loc C0 M0 pc ics frs sh =
  (let v      = hd stk;
   (D,fs) = the(h(the-Addr v));
   (D',b,t) = field P C F;
   xp'    = if v=NULL then [addr-of-sys-xcpt NullPointer]
            else if  $\neg(\exists t b. P \vdash D \text{ has } F, b:t \text{ in } C)$ 
                  then [addr-of-sys-xcpt NoSuchFieldError]
            else case b of Static  $\Rightarrow$  [addr-of-sys-xcpt IncompatibleClassChangeError]
                        | NonStatic  $\Rightarrow$  None
   in case xp' of None  $\Rightarrow$  (xp', h, (the(fs(F,C))#(tl stk), loc, C0, M0, pc+1, ics)#frs, sh)
            | Some x  $\Rightarrow$  (xp', h, (stk, loc, C0, M0, pc, ics)#frs, sh))

| exec-instr-Getstatic:
exec-instr (Getstatic C F D) P h stk loc C0 M0 pc ics frs sh =
  (let (D',b,t) = field P D F;
   xp'    = if  $\neg(\exists t b. P \vdash C \text{ has } F, b:t \text{ in } D)$ 
             then [addr-of-sys-xcpt NoSuchFieldError]
            else case b of NonStatic  $\Rightarrow$  [addr-of-sys-xcpt IncompatibleClassChangeError]
                        | Static  $\Rightarrow$  None
   in (case (xp', ics, sh D') of
        (Some a, -)  $\Rightarrow$  (xp', h, (stk, loc, C0, M0, pc, ics)#frs, sh)
        | (-, Called Cs, -)  $\Rightarrow$  let (sfs, i) = the(sh D');
           v = the(sfs F)
           in (xp', h, (v#stk, loc, C0, M0, Suc pc, No-ics)#frs, sh)
        | (-, -, Some (sfs, Done))  $\Rightarrow$  let v = the(sfs F)
           in (xp', h, (v#stk, loc, C0, M0, Suc pc, ics)#frs, sh)
        | -  $\Rightarrow$  (xp', h, (stk, loc, C0, M0, pc, Calling D' []))#frs, sh)
      )
  )

| exec-instr-Putfield:
exec-instr (Putfield F C) P h stk loc C0 M0 pc ics frs sh =
  (let v      = hd stk;
   r      = hd (tl stk);
   a      = the-Addr r;
   (D,fs) = the (h a);
   (D',b,t) = field P C F;
   xp'    = if r=NULL then [addr-of-sys-xcpt NullPointer]
            else if  $\neg(\exists t b. P \vdash D \text{ has } F, b:t \text{ in } C)$ 
                  then [addr-of-sys-xcpt NoSuchFieldError]
            else case b of Static  $\Rightarrow$  [addr-of-sys-xcpt IncompatibleClassChangeError]
                        | NonStatic  $\Rightarrow$  None;
   h' = h(a  $\mapsto$  (D, fs((F,C)  $\mapsto$  v)))
   in case xp' of None  $\Rightarrow$  (xp', h', (tl (tl stk), loc, C0, M0, pc+1, ics)#frs, sh)
            | Some x  $\Rightarrow$  (xp', h, (stk, loc, C0, M0, pc, ics)#frs, sh)
  )

| exec-instr-Putstatic:
exec-instr (Putstatic C F D) P h stk loc C0 M0 pc ics frs sh =
  (let (D',b,t) = field P D F;
   xp'    = if  $\neg(\exists t b. P \vdash C \text{ has } F, b:t \text{ in } D)$ 
             then [addr-of-sys-xcpt NoSuchFieldError]
            else case b of NonStatic  $\Rightarrow$  [addr-of-sys-xcpt IncompatibleClassChangeError]
                        | Static  $\Rightarrow$  None

```

```

in (case (xp', ics, sh D') of
    (Some a, -) => (xp', h, (stk, loc, C0, M0, pc, ics) #frs, sh)
    | (-, Called Cs, -)
    => let (sfs, i) = the(sh D')
        in (xp', h, (tl stk, loc, C0, M0, Suc pc, No-ics) #frs, sh(D' := Some ((sfs(F ↦ hd stk)), i)))
        | (-, -, Some (sfs, Done))
        => (xp', h, (tl stk, loc, C0, M0, Suc pc, ics) #frs, sh(D' := Some ((sfs(F ↦ hd stk)), Done)))
        | - => (xp', h, (stk, loc, C0, M0, pc, Calling D' []) #frs, sh)
    )
)

| exec-instr-Checkcast:
exec-instr (Checkcast C) P h stk loc C0 M0 pc ics frs sh =
(if cast-ok P C h (hd stk)
then (None, h, (stk, loc, C0, M0, Suc pc, ics) #frs, sh)
else ([addr-of-sys-xcpt ClassCast], h, (stk, loc, C0, M0, pc, ics) #frs, sh)
)

| exec-instr-Invoke:
exec-instr (Invoke M n) P h stk loc C0 M0 pc ics frs sh =
(let ps = take n stk;
 r = stk!n;
 C = fst(the(h(the-Addr r)));
 (D,b,Ts,T,mxs,mxl0,ins,xt) = method P C M;
 xp' = if r=Null then [addr-of-sys-xcpt NullPointer]
 else if ¬(∃ Ts T m D b. P ⊢ C sees M,b:Ts → T = m in D)
 then [addr-of-sys-xcpt NoSuchMethodError]
 else case b of Static ⇒ [addr-of-sys-xcpt IncompatibleClassChangeError]
 | NonStatic ⇒ None;
 f' = ([],[r]@(rev ps)@(replicate mxl0 undefined),D,M,0,No-ics)
 in case xp' of None => (xp', h, f' #(stk, loc, C0, M0, pc, ics) #frs, sh)
 | Some a => (xp', h, (stk, loc, C0, M0, pc, ics) #frs, sh)
)

| exec-instr-Invokestatic:
exec-instr (Invokestatic C M n) P h stk loc C0 M0 pc ics frs sh =
(let ps = take n stk;
 (D,b,Ts,T,mxs,mxl0,ins,xt) = method P C M;
 xp' = if ¬(∃ Ts T m D b. P ⊢ C sees M,b:Ts → T = m in D)
 then [addr-of-sys-xcpt NoSuchMethodError]
 else case b of NonStatic ⇒ [addr-of-sys-xcpt IncompatibleClassChangeError]
 | Static ⇒ None;
 f' = ([],(rev ps)@(replicate mxl0 undefined),D,M,0,No-ics)
 in (case (xp', ics, sh D) of
    (Some a, -) => (xp', h, (stk, loc, C0, M0, pc, ics) #frs, sh)
    | (-, Called Cs, -) => (xp', h, f' #(stk, loc, C0, M0, pc, No-ics) #frs, sh)
    | (-, -, Some (sfs, Done)) => (xp', h, f' #(stk, loc, C0, M0, pc, ics) #frs, sh)
    | - => (xp', h, (stk, loc, C0, M0, pc, Calling D' []) #frs, sh)
  )
)

| exec-instr-Return:
exec-instr Return P h stk0 loc0 C0 M0 pc ics frs sh =
(case frs of

```

```

 $\emptyset \Rightarrow let sh' = (case M_0 = clinit of True \Rightarrow sh(C_0:=Some(fst(the(sh C_0)), Done))$ 
 $| - \Rightarrow sh$ 
 $)$ 
 $in (None, h, \emptyset, sh')$ 
 $| (stk', loc', C', m', pc', ics')\#frs'$ 
 $\Rightarrow let (D, b, Ts, T, (mxs, mxl_0, ins, xt)) = method P C_0 M_0;$ 
 $offset = case b of NonStatic \Rightarrow 1 | Static \Rightarrow 0;$ 
 $(sh'', stk'', pc'') = (case M_0 = clinit of True \Rightarrow (sh(C_0:=Some(fst(the(sh C_0)), Done)),$ 
 $stk', pc'))$ 
 $| - \Rightarrow (sh, (hd stk_0)\#(drop (length Ts + offset) stk'), Suc pc')$ 
 $)$ 
 $in (None, h, (stk'', loc', C', m', pc'', ics')\#frs', sh'')$ 
 $)$ 

| exec-instr-Pop:
exec-instr Pop P h stk loc C_0 M_0 pc ics frs sh = (None, h, (tl stk, loc, C_0, M_0, Suc pc, ics)\#frs, sh)

| exec-instr-IAdd:
exec-instr IAdd P h stk loc C_0 M_0 pc ics frs sh =
 $(None, h, (Intg (the-Intg (hd (tl stk)) + the-Intg (hd stk))\#(tl (tl stk)), loc, C_0, M_0, Suc pc,$ 
 $ics)\#frs, sh)$ 

| exec-instr-IfFalse:
exec-instr (IfFalse i) P h stk loc C_0 M_0 pc ics frs sh =
 $(let pc' = if hd stk = Bool False then nat(int pc+i) else pc+1$ 
 $in (None, h, (tl stk, loc, C_0, M_0, pc', ics)\#frs, sh))$ 

| exec-instr-CmpEq:
exec-instr CmpEq P h stk loc C_0 M_0 pc ics frs sh =
 $(None, h, (Bool (hd (tl stk) = hd stk) \# tl (tl stk), loc, C_0, M_0, Suc pc, ics)\#frs, sh)$ 

| exec-instr-Goto:
exec-instr (Goto i) P h stk loc C_0 M_0 pc ics frs sh =
 $(None, h, (stk, loc, C_0, M_0, nat(int pc+i), ics)\#frs, sh)$ 

| exec-instr-Throw:
exec-instr Throw P h stk loc C_0 M_0 pc ics frs sh =
 $(let xp' = if hd stk = Null then [addr-of-sys-xcpt NullPointer]$ 
 $else [the-Addr(hd stk)]$ 
 $in (xp', h, (stk, loc, C_0, M_0, pc, ics)\#frs, sh))$ 

```

Given a preallocated heap, a thrown exception is either a system exception or thrown directly by *Throw*.

```

lemma exec-instr-xcpts:
assumes  $\sigma' = exec-instr i P h stk loc C M pc ics' frs sh$ 
and  $fst \sigma' = Some a$ 
shows  $i = (JVMInstructions.Throw) \vee a \in \{a. \exists x \in sys-xcpts. a = addr-of-sys-xcpt x\}$ 
 $\langle proof \rangle$ 

lemma exec-instr-prealloc-pres:
assumes preallocated h
and  $exec-instr i P h stk loc C_0 M_0 pc ics frs sh = (xp', h', frs', sh')$ 
shows preallocated h'
 $\langle proof \rangle$ 

```

end

2.5 Program Execution in the JVM in full small step style

```

theory JVMExec
imports JVMExecInstr
begin

abbreviation
  instrs-of :: jvm-prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  instr list where
  instrs-of P C M == fst(snd(snd(snd(snd(snd(method P C M)))))))

fun curr-instr :: jvm-prog  $\Rightarrow$  frame  $\Rightarrow$  instr where
curr-instr P (stk,loc,C,M,pc,ics) = instrs-of P C M ! pc

— execution of single step of the initialization procedure
fun exec-Calling :: [cname, cname list, jvm-prog, heap, val list, val list,
  cname, mname, pc, frame list, sheap]  $\Rightarrow$  jvm-state
where
exec-Calling C Cs P h stk loc C0 M0 pc frs sh =
  (case sh C of
    None  $\Rightarrow$  (None, h, (stk, loc, C0, M0, pc, Calling C Cs)#frs, sh(C := Some (sblank P C, Prepared)))
  | Some (obj, iflag)  $\Rightarrow$ 
    (case iflag of
      Done  $\Rightarrow$  (None, h, (stk, loc, C0, M0, pc, Called Cs)#frs, sh)
      | Processing  $\Rightarrow$  (None, h, (stk, loc, C0, M0, pc, Called Cs)#frs, sh)
      | Error  $\Rightarrow$  (None, h, (stk, loc, C0, M0, pc, Throwing Cs (addr-of-sys-xcpt NoClassDefFoundError))#frs, sh)
      )
    | Prepared  $\Rightarrow$ 
      let sh' = sh(C := Some(fst(the(sh C)), Processing));
        D = fst(the(class P C))
      in if C = Object
        then (None, h, (stk, loc, C0, M0, pc, Called (C#Cs))#frs, sh')
        else (None, h, (stk, loc, C0, M0, pc, Calling D (C#Cs))#frs, sh')
    )
  )

— single step of execution without error handling
fun exec-step :: [jvm-prog, heap, val list, val list,
  cname, mname, pc, init-call-status, frame list, sheap]  $\Rightarrow$  jvm-state
where
exec-step P h stk loc C M pc (Calling C' Cs) frs sh
  = exec-Calling C' Cs P h stk loc C M pc frs sh |
exec-step P h stk loc C M pc (Called (C'#Cs)) frs sh
  = (None, h, create-init-frame P C' #(stk, loc, C, M, pc, Called Cs)#frs, sh) |
exec-step P h stk loc C M pc (Throwing (C'#Cs) a) frs sh
  = (None, h, (stk, loc, C, M, pc, Throwing Cs a)#frs, sh(C' := Some(fst(the(sh C')), Error))) |
exec-step P h stk loc C M pc (Throwing [] a) frs sh
  = ([a], h, (stk, loc, C, M, pc, No-ics)#frs, sh) |
exec-step P h stk loc C M pc ics frs sh
  = exec-instr (instrs-of P C M ! pc) P h stk loc C M pc ics frs sh

```

— execution including error handling

```
fun exec :: jvm-prog × jvm-state ⇒ jvm-state option — single step execution where
exec (P, None, h, (stk,loc,C,M,pc,ics)♯frs, sh) =
  (let (xp', h', frs', sh') = exec-step P h stk loc C M pc ics frs sh
   in case xp' of
     None ⇒ Some (None,h',frs',sh')
     | Some x ⇒ Some (find-handler P x h ((stk,loc,C,M,pc,ics)♯frs) sh)
   )
| exec - = None
```

— relational view

inductive-set

```
exec-1 :: jvm-prog ⇒ (jvm-state × jvm-state) set
and exec-1' :: jvm-prog ⇒ jvm-state ⇒ jvm-state ⇒ bool
  (⟨- ⊢ / - jvm→₁ / → [61,61,61] 60)
  for P :: jvm-prog
where
  P ⊢ σ - jvm→₁ σ' ≡ (σ,σ') ∈ exec-1 P
| exec-1I: exec (P,σ) = Some σ' ⇒ P ⊢ σ - jvm→₁ σ'
```

— reflexive transitive closure:

```
definition exec-all :: jvm-prog ⇒ jvm-state ⇒ jvm-state ⇒ bool
  (⟨(⟨- ⊢ / - jvm→ / → [61,61,61] 60)⟩ [61,61,61] 60) where
  exec-all-def1: P ⊢ σ - jvm→ σ' ⇔ (σ,σ') ∈ (exec-1 P)∗
```

notation (ASCII)

```
exec-all (⟨- | / - jvm→ / → [61,61,61] 60)
```

lemma exec-1-eq:

```
exec-1 P = { (σ,σ') . exec (P,σ) = Some σ' } ⟨proof⟩
```

lemma exec-1-iff:

```
P ⊢ σ - jvm→₁ σ' = (exec (P,σ) = Some σ') ⟨proof⟩
```

lemma exec-all-def:

```
P ⊢ σ - jvm→ σ' = ( (σ,σ') ∈ { (σ,σ') . exec (P,σ) = Some σ' } ∗ ) ⟨proof⟩
```

lemma jvm-refl[iff]: P ⊢ σ - jvm→ σ ⟨proof⟩

lemma jvm-trans[trans]:

```
[ P ⊢ σ - jvm→ σ'; P ⊢ σ' - jvm→ σ'' ] ⇒ P ⊢ σ - jvm→ σ'' ⟨proof⟩
```

lemma jvm-one-step1[trans]:

```
[ P ⊢ σ - jvm→₁ σ'; P ⊢ σ' - jvm→ σ'' ] ⇒ P ⊢ σ - jvm→ σ'' ⟨proof⟩
```

lemma jvm-one-step2[trans]:

```
[ P ⊢ σ - jvm→ σ'; P ⊢ σ' - jvm→₁ σ'' ] ⇒ P ⊢ σ - jvm→ σ'' ⟨proof⟩
```

lemma exec-all-conf:

```
[ P ⊢ σ - jvm→ σ'; P ⊢ σ - jvm→ σ'' ]
⇒ P ⊢ σ' - jvm→ σ'' ∨ P ⊢ σ'' - jvm→ σ' ⟨proof⟩
```

lemma exec-1-exec-all-conf:

```
[ exec (P, σ) = Some σ'; P ⊢ σ - jvm→ σ''; σ ≠ σ'' ]
⇒ P ⊢ σ' - jvm→ σ'' ⟨proof⟩
```

lemma exec-all-finalD: P ⊢ (x, h, [], sh) - jvm→ σ ⇒ σ = (x, h, [], sh) ⟨proof⟩

lemma exec-all-deterministic:

```
[ P ⊢ σ - jvm→ (x, h, [], sh); P ⊢ σ - jvm→ σ' ] ⇒ P ⊢ σ' - jvm→ (x, h, [], sh) ⟨proof⟩
```

2.5.1 Preservation of preallocated

```
lemma exec-Calling-prealloc-pres:
assumes preallocated h
  and exec-Calling C Cs P h stk loc C0 M0 pc frs sh = (xp',h',frs',sh')
shows preallocated h'
⟨proof⟩
```

```
lemma exec-step-prealloc-pres:
assumes pre: preallocated h
  and exec-step P h stk loc C M pc ics frs sh = (xp',h',frs',sh')
shows preallocated h'
⟨proof⟩
```

```
lemma exec-prealloc-pres:
assumes pre: preallocated h
  and exec (P, xp, h, frs, sh) = Some(xp',h',frs',sh')
shows preallocated h'
⟨proof⟩
```

2.5.2 Start state

The *Start* class is defined based on a given initial class and method. It has two methods: one that calls the initial method in the initial class, which is called by the starting program, and *cinit*, as required for the class to be well-formed.

```
definition start-method :: cname ⇒ mname ⇒ jvm-method mdecl where
start-method C M = (start-m, Static, [], Void, (1,0,[Invokestatic C M 0,Return],[]))
abbreviation start-clinit :: jvm-method mdecl where
start-clinit ≡ (clinit, Static, [], Void, (1,0,[Push Unit,Return],[]))
definition start-class :: cname ⇒ mname ⇒ jvm-method cdecl where
start-class C M = (Start, Object, [], [start-method C M, start-clinit])
```

The start configuration of the JVM in program *P*: in the start heap, we call the “start” method of the “Start”; this method performs *Invokestatic* on the class and method given to create the start program’s *Start* class. This allows the initialization procedure to be called on the initial class in a natural way before the initial method is performed. There is no *this* pointer of the frame as *start* is *Static*. The start heap has every class pre-prepared; this decision is not necessary. The starting program includes the added *Start* class, given a method *M* of class *C*, added to program *P*.

```
definition start-state :: jvm-prog ⇒ jvm-state where
start-state P = (None, start-heap P, [([], [], Start, start-m, 0, No-ics)], start-sheap)
abbreviation start-prog :: jvm-prog ⇒ cname ⇒ mname ⇒ jvm-prog where
start-prog P C M ≡ start-class C M # P
end
```

2.6 A Defensive JVM

```
theory JVMDefensive
imports JVMExec .. / Common / Conform
begin
```

Extend the state space by one element indicating a type error (or other abnormal termination)

```

datatype 'a type-error = TypeError | Normal 'a

fun is-Addr :: val  $\Rightarrow$  bool where
  is-Addr (Addr a)  $\longleftrightarrow$  True
  | is-Addr v  $\longleftrightarrow$  False

fun is-Intg :: val  $\Rightarrow$  bool where
  is-Intg (Intg i)  $\longleftrightarrow$  True
  | is-Intg v  $\longleftrightarrow$  False

fun is-Bool :: val  $\Rightarrow$  bool where
  is-Bool (Bool b)  $\longleftrightarrow$  True
  | is-Bool v  $\longleftrightarrow$  False

definition is-Ref :: val  $\Rightarrow$  bool where
  is-Ref v  $\longleftrightarrow$  v = Null  $\vee$  is-Addr v

primrec check-instr :: [instr, jvm-prog, heap, val list, val list,
                           cname, mname, pc, frame list, sheap]  $\Rightarrow$  bool where
  check-instr-Load:
    check-instr (Load n) P h stk loc C M0 pc frs sh =
    (n < length loc)

  | check-instr-Store:
    check-instr (Store n) P h stk loc C0 M0 pc frs sh =
    (0 < length stk  $\wedge$  n < length loc)

  | check-instr-Push:
    check-instr (Push v) P h stk loc C0 M0 pc frs sh =
    ( $\neg$ is-Addr v)

  | check-instr-New:
    check-instr (New C) P h stk loc C0 M0 pc frs sh =
    is-class P C

  | check-instr-Getfield:
    check-instr (Getfield F C) P h stk loc C0 M0 pc frs sh =
    (0 < length stk  $\wedge$  ( $\exists$  C' T. P  $\vdash$  C sees F,NonStatic:T in C')  $\wedge$ 
     (let (C', b, T) = field P C F; ref = hd stk in
      C' = C  $\wedge$  is-Ref ref  $\wedge$  (ref  $\neq$  Null  $\longrightarrow$ 
      h (the-Addr ref)  $\neq$  None  $\wedge$ 
      (let (D,vs) = the (h (the-Addr ref)) in
       P  $\vdash$  D  $\leq^*$  C  $\wedge$  vs (F,C)  $\neq$  None  $\wedge$  P,h  $\vdash$  the (vs (F,C)) : $\leq$  T)))))

  | check-instr-Getstatic:
    check-instr (Getstatic C F D) P h stk loc C0 M0 pc frs sh =
    (( $\exists$  T. P  $\vdash$  C sees F,Static:T in D)  $\wedge$ 
     (let (C', b, T) = field P C F in
      C' = D  $\wedge$  (sh D  $\neq$  None  $\longrightarrow$ 
      (let (sfs,i) = the (sh D) in
       sfs F  $\neq$  None  $\wedge$  P,h  $\vdash$  the (sfs F) : $\leq$  T))))
```

| *check-instr-Putfield*:

$$\begin{aligned} & \text{check-instr } (\text{Putfield } F \ C) \ P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (1 < \text{length } \text{stk} \wedge (\exists C' \ T. \ P \vdash C \ \text{sees } F, \text{NonStatic}:T \ \text{in } C') \wedge \\ & (\text{let } (C', b, T) = \text{field } P \ C \ F; v = \text{hd } \text{stk}; \text{ref} = \text{hd } (\text{tl } \text{stk}) \ \text{in} \\ & C' = C \wedge \text{is-Ref ref} \wedge (\text{ref} \neq \text{Null} \longrightarrow \\ & h(\text{the-Addr ref}) \neq \text{None} \wedge \\ & (\text{let } D = \text{fst } (\text{the } (h(\text{the-Addr ref}))) \ \text{in} \\ & P \vdash D \preceq^* C \wedge P, h \vdash v : \leq T))) \end{aligned}$$

| *check-instr-Putstatic*:

$$\begin{aligned} & \text{check-instr } (\text{Putstatic } C \ F \ D) \ P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (0 < \text{length } \text{stk} \wedge (\exists T. \ P \vdash C \ \text{sees } F, \text{Static}:T \ \text{in } D) \wedge \\ & (\text{let } (C', b, T) = \text{field } P \ C \ F; v = \text{hd } \text{stk} \ \text{in} \\ & C' = D \wedge P, h \vdash v : \leq T)) \end{aligned}$$

| *check-instr-Checkcast*:

$$\begin{aligned} & \text{check-instr } (\text{Checkcast } C) \ P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (0 < \text{length } \text{stk} \wedge \text{is-class } P \ C \wedge \text{is-Ref } (\text{hd } \text{stk})) \end{aligned}$$

| *check-instr-Invoke*:

$$\begin{aligned} & \text{check-instr } (\text{Invoke } M \ n) \ P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (n < \text{length } \text{stk} \wedge \text{is-Ref } (\text{stk!n}) \wedge \\ & (\text{stk!n} \neq \text{Null} \longrightarrow \\ & (\text{let } a = \text{the-Addr } (\text{stk!n}); \\ & C = \text{cname-of } h \ a; \\ & Ts = \text{fst } (\text{snd } (\text{snd } (\text{method } P \ C \ M))) \\ & \text{in } h \ a \neq \text{None} \wedge P \vdash C \ \text{has } M, \text{NonStatic} \wedge \\ & P, h \vdash \text{rev } (\text{take } n \ \text{stk}) \ [:\leq] \ Ts))) \end{aligned}$$

| *check-instr-Invokestatic*:

$$\begin{aligned} & \text{check-instr } (\text{Invokestatic } C \ M \ n) \ P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (n \leq \text{length } \text{stk} \wedge \\ & (\text{let } Ts = \text{fst } (\text{snd } (\text{snd } (\text{method } P \ C \ M))) \\ & \text{in } P \vdash C \ \text{has } M, \text{Static} \wedge \\ & P, h \vdash \text{rev } (\text{take } n \ \text{stk}) \ [:\leq] \ Ts)) \end{aligned}$$

| *check-instr-Return*:

$$\begin{aligned} & \text{check-instr } \text{Return } P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (\text{case } (M_0 = \text{clinit}) \ \text{of } \text{False} \Rightarrow (0 < \text{length } \text{stk} \wedge ((0 < \text{length } frs) \longrightarrow \\ & (\exists b. \ P \vdash C_0 \ \text{has } M_0, b) \wedge \\ & (\text{let } v = \text{hd } \text{stk}; \\ & T = \text{fst } (\text{snd } (\text{snd } (\text{snd } (\text{method } P \ C_0 \ M_0)))) \\ & \text{in } P, h \vdash v : \leq T))) \\ & | \ \text{True} \Rightarrow P \vdash C_0 \ \text{has } M_0, \text{Static}) \end{aligned}$$

| *check-instr-Pop*:

$$\begin{aligned} & \text{check-instr } \text{Pop } P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (0 < \text{length } \text{stk}) \end{aligned}$$

| *check-instr-IAdd*:

$$\begin{aligned} & \text{check-instr } \text{IAdd } P \ h \ \text{stk loc } C_0 \ M_0 \ pc \ frs \ sh = \\ & (1 < \text{length } \text{stk} \wedge \text{is-Intg } (\text{hd } \text{stk}) \wedge \text{is-Intg } (\text{hd } (\text{tl } \text{stk}))) \end{aligned}$$

| *check-instr-IfFalse*:

```

check-instr (IfFalse b) P h stk loc C0 M0 pc frs sh =
(0 < length stk ∧ is-Bool (hd stk) ∧ 0 ≤ int pc+b)

| check-instr-CmpEq:
  check-instr CmpEq P h stk loc C0 M0 pc frs sh =
  (1 < length stk)

| check-instr-Goto:
  check-instr (Goto b) P h stk loc C0 M0 pc frs sh =
  (0 ≤ int pc+b)

| check-instr-Throw:
  check-instr Throw P h stk loc C0 M0 pc frs sh =
  (0 < length stk ∧ is-Ref (hd stk))

definition check :: jvm-prog ⇒ jvm-state ⇒ bool where
  check P σ = (let (xcpt, h, frs, sh) = σ in
    (case frs of [] ⇒ True | (stk,loc,C,M,pc,ics) # frs' ⇒
      ∃ b. P ⊢ C has M, b ∧
      (let (C',b,Ts,T,mxs,mxl0,ins,xt) = method P C M; i = ins!pc in
        pc < size ins ∧ size stk ≤ mxs ∧
        check-instr i P h stk loc C M pc frs' sh)))
  )

definition exec-d :: jvm-prog ⇒ jvm-state ⇒ jvm-state option type-error where
  exec-d P σ = (if check P σ then Normal (exec (P, σ)) else TypeError)

inductive-set
  exec-1-d :: jvm-prog ⇒ (jvm-state type-error × jvm-state type-error) set
  and exec-1-d' :: jvm-prog ⇒ jvm-state type-error ⇒ jvm-state type-error ⇒ bool
    (⊤ ⊢ - -jvmd→1 → [61,61,61]60)
  for P :: jvm-prog
  where
    P ⊢ σ -jvmd→1 σ' ≡ (σ,σ') ∈ exec-1-d P
  | exec-1-d-ErrorI: exec-d P σ = TypeError ⇒ P ⊢ Normal σ -jvmd→1 TypeError
  | exec-1-d-NormalI: exec-d P σ = Normal (Some σ') ⇒ P ⊢ Normal σ -jvmd→1 Normal σ'

— reflexive transitive closure:
definition exec-all-d :: jvm-prog ⇒ jvm-state type-error ⇒ jvm-state type-error ⇒ bool
  (⊤ ⊢ - -jvmd→ [61,61,61]60) where
    exec-all-d-def1: P ⊢ σ -jvmd→ σ' ⇔ (σ,σ') ∈ (exec-1-d P)*

notation (ASCII)
  exec-all-d (⊤ | - -jvmd→ [61,61,61]60)

lemma exec-1-d-eq:
  exec-1-d P = {(s,t). ∃ σ. s = Normal σ ∧ t = TypeError ∧ exec-d P σ = TypeError} ∪
  {(s,t). ∃ σ σ'. s = Normal σ ∧ t = Normal σ' ∧ exec-d P σ = Normal (Some σ')}  

  ⟨proof⟩

declare split-paired-All [simp del]
declare split-paired-Ex [simp del]

```

```

lemma if-neq [dest!]:
  (if P then A else B)  $\neq B \implies P$ 
   $\langle proof \rangle$ 

lemma exec-d-no-errorI [intro]:
  check P σ  $\implies \text{exec-d } P \sigma \neq \text{TypeError}$ 
   $\langle proof \rangle$ 

theorem no-type-error-commutes:
  exec-d P σ  $\neq \text{TypeError} \implies \text{exec-d } P \sigma = \text{Normal } (\text{exec } (P, \sigma))$ 
   $\langle proof \rangle$ 

lemma defensive-imp-aggressive:
  P ⊢ (Normal σ) -jvmd→ (Normal σ')  $\implies P ⊢ \sigma -jvm→ \sigma'$ 
   $\langle proof \rangle$ 
end

```

2.7 The Ninja Type System as a Semilattice

```

theory SemiType
imports ..;/Common/WellForm.Jinja.Semilattices
begin

definition super :: 'a prog  $\Rightarrow$  cname  $\Rightarrow$  cname
where super P C  $\equiv$  fst (the (class P C))

lemma superI:
  (C,D)  $\in \text{subcls1 } P \implies \text{super } P \ C = D$ 
   $\langle proof \rangle$ 

primrec the-Class :: ty  $\Rightarrow$  cname
where
  the-Class (Class C) = C

definition sup :: 'c prog  $\Rightarrow$  ty  $\Rightarrow$  ty  $\Rightarrow$  ty err
where
  sup P T1 T2  $\equiv$ 
    if is-refT T1  $\wedge$  is-refT T2 then
      OK (if T1 = NT then T2 else
        if T2 = NT then T1 else
          (Class (exec-lub (subcls1 P) (super P) (the-Class T1) (the-Class T2))))
    else
      (if T1 = T2 then OK T1 else Err)

lemma sup-def':
  sup P =  $(\lambda T_1 \ T_2.$ 
    if is-refT T1  $\wedge$  is-refT T2 then
      OK (if T1 = NT then T2 else
        if T2 = NT then T1 else
          (Class (exec-lub (subcls1 P) (super P) (the-Class T1) (the-Class T2))))
    else
      (if T1 = T2 then OK T1 else Err)

```

(if $T_1 = T_2$ then OK T_1 else Err))
 $\langle proof \rangle$

abbreviation

subtype :: '*c prog* \Rightarrow *ty* \Rightarrow *ty* \Rightarrow *bool*
where *subtype P* \equiv *widen P*

definition *esl* :: '*c prog* \Rightarrow *ty esl*

where

esl P \equiv (*types P*, *subtype P*, *sup P*)

lemma *is-class-is-subcls*:

wf-prog m P \implies *is-class P C* $=$ $P \vdash C \preceq^* \text{Object}$ $\langle proof \rangle$

lemma *subcls-antisym*:

$\llbracket \text{wf-prog } m \text{ P}; P \vdash C \preceq^* D; P \vdash D \preceq^* C \rrbracket \implies C = D$
 $\langle proof \rangle$

lemma *widen-antisym*:

$\llbracket \text{wf-prog } m \text{ P}; P \vdash T \leq U; P \vdash U \leq T \rrbracket \implies T = U \langle proof \rangle$

lemma *order-widen* [*intro, simp*]:

wf-prog m P \implies *order (subtype P) (types P)* $\langle proof \rangle$

lemma *NT-widen*:

$P \vdash NT \leq T = (T = NT \vee (\exists C. T = \text{Class } C)) \langle proof \rangle$

lemma *Class-widen2*: $P \vdash \text{Class } C \leq T = (\exists D. T = \text{Class } D \wedge P \vdash C \preceq^* D) \langle proof \rangle$

lemma *wf-converse-subcls1-impl-acc-subtype*:

wf ((subcls1 P) ^{-1}) \implies *acc (subtype P)* $\langle proof \rangle$

lemma *wf-subtype-acc* [*intro, simp*]:

wf-prog wf-mb P \implies *acc (subtype P)* $\langle proof \rangle$

lemma *exec-lub-refl* [*simp*]: *exec-lub r f T T* $=$ *T* $\langle proof \rangle$

lemma *closed-err-types*:

wf-prog wf-mb P \implies *closed (err (types P)) (lift2 (sup P))* $\langle proof \rangle$

lemma *sup-subtype-greater*:

$\llbracket \text{wf-prog wf-mb } P; \text{is-type } P \text{ t1}; \text{is-type } P \text{ t2}; \text{sup } P \text{ t1 t2} = \text{OK s} \rrbracket$

$\implies \text{subtype } P \text{ t1 s} \wedge \text{subtype } P \text{ t2 s} \langle proof \rangle$

lemma *sup-subtype-smallest*:

$\llbracket \text{wf-prog wf-mb } P; \text{is-type } P \text{ a}; \text{is-type } P \text{ b}; \text{is-type } P \text{ c};$

$\text{subtype } P \text{ a c}; \text{subtype } P \text{ b c}; \text{sup } P \text{ a b} = \text{OK d} \rrbracket$

$\implies \text{subtype } P \text{ d c} \langle proof \rangle$

lemma *sup-exists*:

$\llbracket \text{subtype } P \text{ a c}; \text{subtype } P \text{ b c} \rrbracket \implies \exists T. \text{sup } P \text{ a b} = \text{OK T} \langle proof \rangle$

lemma *err-semilat-JType-esl*:

wf-prog wf-mb P \implies *err-semilat (esl P)* $\langle proof \rangle$

end

2.8 The JVM Type System as Semilattice

```

theory JVM-SemiType imports SemiType begin

type-synonym tyl = ty err list
type-synonym tys = ty list
type-synonym tyi = tys × tyl
type-synonym tyi' = tyi option
type-synonym tym = tyi' list
type-synonym tyP = mname ⇒ cname ⇒ tym

definition stk-esl :: 'c prog ⇒ nat ⇒ tys esl
where
  stk-esl P mxs ≡ upto-esl mxs (SemiType.esl P)

definition loc-sl :: 'c prog ⇒ nat ⇒ tyl sl
where
  loc-sl P mxl ≡ Listn.sl mxl (Err.sl (SemiType.esl P))

definition sl :: 'c prog ⇒ nat ⇒ nat ⇒ tyi' err sl
where
  sl P mxs mxl ≡
    Err.sl(Opt.esl(Product.esl (stk-esl P mxs) (Err.esl(loc-sl P mxl)))))

definition states :: 'c prog ⇒ nat ⇒ nat ⇒ tyi' err set
where states P mxs mxl ≡ fst(sl P mxs mxl)

definition le :: 'c prog ⇒ nat ⇒ nat ⇒ tyi' err ord
where
  le P mxs mxl ≡ fst(snd(sl P mxs mxl))

definition sup :: 'c prog ⇒ nat ⇒ nat ⇒ tyi' err binop
where
  sup P mxs mxl ≡ snd(snd(sl P mxs mxl))

definition sup-ty-opt :: ['c prog,ty err,ty err] ⇒ bool
  (⟨- ⊢ - ≤T -⟩ [71,71,71] 70)
where
  sup-ty-opt P ≡ Err.le (subtype P)

definition sup-state :: ['c prog,tyi,tyi] ⇒ bool
  (⟨- ⊢ - ≤i -⟩ [71,71,71] 70)
where
  sup-state P ≡ Product.le (Listn.le (subtype P)) (Listn.le (sup-ty-opt P))

definition sup-state-opt :: ['c prog,tyi',tyi] ⇒ bool
  (⟨- ⊢ - ≤'' -⟩ [71,71,71] 70)
where
  sup-state-opt P ≡ Opt.le (sup-state P)

abbreviation

```



```
defines m xl-def:  $m \equiv 1 + \text{size } Ts + m \cdot l_0$ 
```

Program counter of successor instructions:

```
primrec succs :: instr  $\Rightarrow$  tyi  $\Rightarrow$  pc  $\Rightarrow$  pc list where
  succs (Load idx)  $\tau$  pc = [pc+1]
  | succs (Store idx)  $\tau$  pc = [pc+1]
  | succs (Push v)  $\tau$  pc = [pc+1]
  | succs (Getfield F C)  $\tau$  pc = [pc+1]
  | succs (Getstatic C F D)  $\tau$  pc = [pc+1]
  | succs (Putfield F C)  $\tau$  pc = [pc+1]
  | succs (Putstatic C F D)  $\tau$  pc = [pc+1]
  | succs (New C)  $\tau$  pc = [pc+1]
  | succs (Checkcast C)  $\tau$  pc = [pc+1]
  | succs Pop  $\tau$  pc = [pc+1]
  | succs IAdd  $\tau$  pc = [pc+1]
  | succs CmpEq  $\tau$  pc = [pc+1]
  | succs-IfFalse:
    succs (IfFalse b)  $\tau$  pc = [pc+1, nat (int pc + b)]
  | succs-Goto:
    succs (Goto b)  $\tau$  pc = [nat (int pc + b)]
  | succs-Return:
    succs Return  $\tau$  pc = []
  | succs-Invoke:
    succs (Invoke M n)  $\tau$  pc = (if (fst  $\tau$ )!n = NT then [] else [pc+1])
  | succs-Invokestatic:
    succs (Invokestatic C M n)  $\tau$  pc = [pc+1]
  | succs-Throw:
    succs Throw  $\tau$  pc = []
```

Effect of instruction on the state type:

```
fun the-class:: ty  $\Rightarrow$  cname where
  the-class (Class C) = C
```

```
fun effi :: instr  $\times$  'm prog  $\times$  tyi  $\Rightarrow$  tyi where
  effi-Load:
    effi (Load n, P, (ST, LT)) = (ok-val (LT ! n) # ST, LT)
  | effi-Store:
    effi (Store n, P, (T#ST, LT)) = (ST, LT[n:= OK T])
  | effi-Push:
    effi (Push v, P, (ST, LT)) = (the (typeof v) # ST, LT)
  | effi-Getfield:
    effi (Getfield F C, P, (T#ST, LT)) = (snd (snd (field P C F)) # ST, LT)
  | effi-Getstatic:
    effi (Getstatic C F D, P, (ST, LT)) = (snd (snd (field P C F)) # ST, LT)
  | effi-Putfield:
    effi (Putfield F C, P, (T1#T2#ST, LT)) = (ST, LT)
  | effi-Putstatic:
    effi (Putstatic C F D, P, (T#ST, LT)) = (ST, LT)
  | effi-New:
    effi (New C, P, (ST, LT)) = (Class C # ST, LT)
  | effi-Checkcast:
    effi (Checkcast C, P, (T#ST, LT)) = (Class C # ST, LT)
  | effi-Pop:
    effi (Pop, P, (T#ST, LT)) = (ST, LT)
```

```

|  $\text{eff}_i\text{-IAdd}$ :
   $\text{eff}_i(\text{IAdd}, P, (T_1 \# T_2 \# ST, LT)) = (\text{Integer} \# ST, LT)$ 
|  $\text{eff}_i\text{-CmpEq}$ :
   $\text{eff}_i(\text{CmpEq}, P, (T_1 \# T_2 \# ST, LT)) = (\text{Boolean} \# ST, LT)$ 
|  $\text{eff}_i\text{-IfFalse}$ :
   $\text{eff}_i(\text{IfFalse } b, P, (T_1 \# ST, LT)) = (ST, LT)$ 
|  $\text{eff}_i\text{-Invoke}$ :
   $\text{eff}_i(\text{Invoke } M n, P, (ST, LT)) =$ 
     $(\text{let } C = \text{the-class } (ST!n); (D, b, Ts, T_r, m) = \text{method } P \text{ } C \text{ } M$ 
     $\text{in } (T_r \# \text{drop } (n+1) \text{ } ST, LT))$ 
|  $\text{eff}_i\text{-Invokestatic}$ :
   $\text{eff}_i(\text{Invokestatic } C M n, P, (ST, LT)) =$ 
     $(\text{let } (D, b, Ts, T_r, m) = \text{method } P \text{ } C \text{ } M$ 
     $\text{in } (T_r \# \text{drop } n \text{ } ST, LT))$ 
|  $\text{eff}_i\text{-Goto}$ :
   $\text{eff}_i(\text{Goto } n, P, s) = s$ 

fun  $\text{is-relevant-class} :: \text{instr} \Rightarrow 'm \text{prog} \Rightarrow \text{cname} \Rightarrow \text{bool}$  where
   $\text{rel-Getfield}:$ 
     $\text{is-relevant-class } (\text{Getfield } F D)$ 
     $= (\lambda P \text{ } C. \text{ } P \vdash \text{NullPointer} \preceq^* C \vee P \vdash \text{NoSuchFieldError} \preceq^* C$ 
     $\vee P \vdash \text{IncompatibleClassChangeError} \preceq^* C)$ 
  |  $\text{rel-Getstatic}:$ 
     $\text{is-relevant-class } (\text{Getstatic } C F D)$ 
     $= (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-Putfield}:$ 
     $\text{is-relevant-class } (\text{Putfield } F D)$ 
     $= (\lambda P \text{ } C. \text{ } P \vdash \text{NullPointer} \preceq^* C \vee P \vdash \text{NoSuchFieldError} \preceq^* C$ 
     $\vee P \vdash \text{IncompatibleClassChangeError} \preceq^* C)$ 
  |  $\text{rel-Putstatic}:$ 
     $\text{is-relevant-class } (\text{Putstatic } C F D)$ 
     $= (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-Checkcast}:$ 
     $\text{is-relevant-class } (\text{Checkcast } D) = (\lambda P \text{ } C. \text{ } P \vdash \text{ClassCast} \preceq^* C)$ 
  |  $\text{rel-New}:$ 
     $\text{is-relevant-class } (\text{New } D) = (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-Throw}:$ 
     $\text{is-relevant-class Throw} = (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-Invoke}:$ 
     $\text{is-relevant-class } (\text{Invoke } M n) = (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-Invokestatic}:$ 
     $\text{is-relevant-class } (\text{Invokestatic } C M n) = (\lambda P \text{ } C. \text{ True})$ 
  |  $\text{rel-default}:$ 
     $\text{is-relevant-class } i = (\lambda P \text{ } C. \text{ False})$ 

definition  $\text{is-relevant-entry} :: 'm \text{prog} \Rightarrow \text{instr} \Rightarrow pc \Rightarrow ex\text{-entry} \Rightarrow \text{bool}$  where
   $\text{is-relevant-entry } P \text{ } i \text{ } pc \text{ } e \longleftrightarrow (\text{let } (f, t, C, h, d) = e \text{ in } \text{is-relevant-class } i \text{ } P \text{ } C \wedge pc \in \{f..<t\})$ 

definition  $\text{relevant-entries} :: 'm \text{prog} \Rightarrow \text{instr} \Rightarrow pc \Rightarrow ex\text{-table} \Rightarrow ex\text{-table}$  where
   $\text{relevant-entries } P \text{ } i \text{ } pc = \text{filter } (\text{is-relevant-entry } P \text{ } i \text{ } pc)$ 

definition  $\text{xcpt-eff} :: \text{instr} \Rightarrow 'm \text{prog} \Rightarrow pc \Rightarrow ty_i$ 
   $\Rightarrow ex\text{-table} \Rightarrow (pc \times ty_i) \text{ list}$  where
   $\text{xcpt-eff } i \text{ } P \text{ } pc \text{ } \tau \text{ } et = (\text{let } (ST, LT) = \tau \text{ in }$ 

```

map ($\lambda(f,t,C,h,d).$ ($h,$ *Some* (*Class* $C \# drop$ (*size* $ST - d$) $ST,$ $LT)))$ (*relevant-entries* $P i pc et))$

definition *norm-eff* :: *instr* \Rightarrow ' m prog \Rightarrow nat \Rightarrow $ty_i \Rightarrow (pc \times ty_i)$ ' list **where**
 $norm\text{-}eff i P pc \tau = map (\lambda pc'. (pc', Some (eff_i (i, P, \tau)))) (succs i \tau pc)$

definition *eff* :: *instr* \Rightarrow ' m prog \Rightarrow pc \Rightarrow *ex-table* \Rightarrow $ty_i' \Rightarrow (pc \times ty_i')$ ' list **where**
 $eff i P pc et t = (case t of$
 $None \Rightarrow []$
 $| Some \tau \Rightarrow (norm\text{-}eff i P pc \tau) @ (xcpt\text{-}eff i P pc \tau et))$

lemma *eff-None*:

$eff i P pc xt None = []$
(proof)

lemma *eff-Some*:

$eff i P pc xt (Some \tau) = norm\text{-}eff i P pc \tau @ xcpt\text{-}eff i P pc \tau xt$
(proof)

Conditions under which eff is applicable:

fun $app_i :: instr \times 'm prog \times pc \times nat \times ty \times ty_i \Rightarrow bool$ **where**

- $| app_i\text{-Load:}$
 $app_i (Load n, P, pc, mxs, T_r, (ST, LT)) =$
 $(n < length LT \wedge LT ! n \neq Err \wedge length ST < mxs)$
- $| app_i\text{-Store:}$
 $app_i (Store n, P, pc, mxs, T_r, (T \# ST, LT)) =$
 $(n < length LT)$
- $| app_i\text{-Push:}$
 $app_i (Push v, P, pc, mxs, T_r, (ST, LT)) =$
 $(length ST < mxs \wedge typeof v \neq None)$
- $| app_i\text{-Getfield:}$
 $app_i (Getfield F C, P, pc, mxs, T_r, (T \# ST, LT)) =$
 $(\exists T_f. P \vdash C \text{ sees } F, NonStatic: T_f \text{ in } C \wedge P \vdash T \leq Class C)$
- $| app_i\text{-Getstatic:}$
 $app_i (Getstatic C F D, P, pc, mxs, T_r, (ST, LT)) =$
 $(length ST < mxs \wedge (\exists T_f. P \vdash C \text{ sees } F, Static: T_f \text{ in } D))$
- $| app_i\text{-Putfield:}$
 $app_i (Putfield F C, P, pc, mxs, T_r, (T_1 \# T_2 \# ST, LT)) =$
 $(\exists T_f. P \vdash C \text{ sees } F, NonStatic: T_f \text{ in } C \wedge P \vdash T_2 \leq (Class C) \wedge P \vdash T_1 \leq T_f)$
- $| app_i\text{-Putstatic:}$
 $app_i (Putstatic C F D, P, pc, mxs, T_r, (T \# ST, LT)) =$
 $(\exists T_f. P \vdash C \text{ sees } F, Static: T_f \text{ in } D \wedge P \vdash T \leq T_f)$
- $| app_i\text{-New:}$
 $app_i (New C, P, pc, mxs, T_r, (ST, LT)) =$
 $(is\text{-}class P C \wedge length ST < mxs)$
- $| app_i\text{-Checkcast:}$
 $app_i (Checkcast C, P, pc, mxs, T_r, (T \# ST, LT)) =$
 $(is\text{-}class P C \wedge is\text{-}refT T)$
- $| app_i\text{-Pop:}$
 $app_i (Pop, P, pc, mxs, T_r, (T \# ST, LT)) =$
 $True$
- $| app_i\text{-IAdd:}$
 $app_i (IAdd, P, pc, mxs, T_r, (T_1 \# T_2 \# ST, LT)) = (T_1 = T_2 \wedge T_1 = Integer)$
- $| app_i\text{-CmpEq:}$

```


$$\begin{aligned}
& app_i (CmpEq, P, pc, mxs, T_r, (T_1 \# T_2 \# ST, LT)) = \\
& \quad (T_1 = T_2 \vee \text{is-ref} T_1 \wedge \text{is-ref} T_2) \\
| app_i\text{-IfFalse}: & app_i (\text{IfFalse } b, P, pc, mxs, T_r, (\text{Boolean} \# ST, LT)) = \\
& \quad (0 \leq \text{int } pc + b) \\
| app_i\text{-Goto}: & app_i (\text{Goto } b, P, pc, mxs, T_r, s) = \\
& \quad (0 \leq \text{int } pc + b) \\
| app_i\text{-Return}: & app_i (\text{Return}, P, pc, mxs, T_r, (T \# ST, LT)) = \\
& \quad (P \vdash T \leq T_r) \\
| app_i\text{-Throw}: & app_i (\text{Throw}, P, pc, mxs, T_r, (T \# ST, LT)) = \\
& \quad \text{is-ref} T \\
| app_i\text{-Invoke}: & app_i (\text{Invoke } M n, P, pc, mxs, T_r, (ST, LT)) = \\
& \quad (n < \text{length } ST \wedge \\
& \quad (ST!n \neq NT \longrightarrow \\
& \quad (\exists C D Ts T m. ST!n = \text{Class } C \wedge P \vdash C \text{ sees } M, \text{NonStatic}: Ts \rightarrow T = m \text{ in } D \wedge \\
& \quad P \vdash \text{rev} (\text{take } n ST) [\leq] Ts))) \\
| app_i\text{-Invokestatic}: & app_i (\text{Invokestatic } C M n, P, pc, mxs, T_r, (ST, LT)) = \\
& \quad (\text{length } ST - n < mxs \wedge n \leq \text{length } ST \wedge M \neq \text{clinit} \wedge \\
& \quad (\exists D Ts T m. P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = m \text{ in } D \wedge \\
& \quad P \vdash \text{rev} (\text{take } n ST) [\leq] Ts)) \\
| app_i\text{-default}: & app_i (i, P, pc, mxs, T_r, s) = \text{False}
\end{aligned}$$


```

definition $xcpt\text{-app} :: \text{instr} \Rightarrow 'm \text{ prog} \Rightarrow pc \Rightarrow nat \Rightarrow \text{ex-table} \Rightarrow ty_i \Rightarrow \text{bool}$ **where**
 $\text{xcpt-app } i P pc mxs xt \tau \longleftrightarrow (\forall (f, t, C, h, d) \in \text{set}(\text{relevant-entries } P i pc xt). \text{is-class } P C \wedge d \leq \text{size}(fst \tau) \wedge d < mxs)$

definition $app :: \text{instr} \Rightarrow 'm \text{ prog} \Rightarrow nat \Rightarrow ty \Rightarrow nat \Rightarrow \text{nat} \Rightarrow \text{ex-table} \Rightarrow ty' \Rightarrow \text{bool}$ **where**
 $\text{app } i P mxs T_r pc mpc xt t = (\text{case } t \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } \tau \Rightarrow$
 $\quad app_i (i, P, pc, mxs, T_r, \tau) \wedge xcpt\text{-app } i P pc mxs xt \tau \wedge$
 $\quad (\forall (pc', \tau') \in \text{set}(\text{eff } i P pc xt t). pc' < mpc))$

lemma $app\text{-Some}:$
 $\text{app } i P mxs T_r pc mpc xt (\text{Some } \tau) =$
 $(app_i (i, P, pc, mxs, T_r, \tau) \wedge xcpt\text{-app } i P pc mxs xt \tau \wedge$
 $(\forall (pc', s') \in \text{set}(\text{eff } i P pc xt (\text{Some } \tau)). pc' < mpc))$
 $\langle \text{proof} \rangle$

locale $eff = jvm\text{-method} +$
fixes eff_i **and** app_i **and** eff **and** app
fixes $norm\text{-eff}$ **and** $xcpt\text{-app}$ **and** $xcpt\text{-eff}$

fixes mpc
defines $mpc \equiv \text{size } is$

defines $eff_i i \tau \equiv Effect.eff_i (i, P, \tau)$

```

notes effi-simp [simp] = Effect.effi.simp [where P = P, folded effi-def]

defines appi i pc τ ≡ Effect.appi (i, P, pc, mxs, Tr, τ)
notes appi-simp [simp] = Effect.appi.simp [where P=P and mxs=mxs and Tr=Tr, folded appi-def]

defines xcpt-eff i pc τ ≡ Effect.xcpt-eff i P pc τ xt
notes xcpt-eff = Effect.xcpt-eff-def [of - P - - xt, folded xcpt-eff-def]

defines norm-eff i pc τ ≡ Effect.norm-eff i P pc τ
notes norm-eff = Effect.norm-eff-def [of - P, folded norm-eff-def effi-def]

defines eff i pc ≡ Effect.eff i P pc xt
notes eff = Effect.eff-def [of - P - xt, folded eff-def norm-eff-def xcpt-eff-def]

defines xcpt-app i pc τ ≡ Effect.xcpt-app i P pc mxs xt τ
notes xcpt-app = Effect.xcpt-app-def [of - P - mxs xt, folded xcpt-app-def]

defines app i pc ≡ Effect.app i P mxs Tr pc mpc xt
notes app = Effect.app-def [of - P mxs Tr - mpc xt, folded app-def xcpt-app-def appi-def eff-def]

```

lemma length-cases2:

assumes $\bigwedge LT. P (\[], LT)$

assumes $\bigwedge l ST LT. P (l \# ST, LT)$

shows P s

$\langle proof \rangle$

lemma length-cases3:

assumes $\bigwedge LT. P (\[], LT)$

assumes $\bigwedge l LT. P ([l], LT)$

assumes $\bigwedge l ST LT. P (l \# ST, LT)$

shows P s $\langle proof \rangle$

lemma length-cases4:

assumes $\bigwedge LT. P (\[], LT)$

assumes $\bigwedge l LT. P ([l], LT)$

assumes $\bigwedge l l' LT. P ([l, l'], LT)$

assumes $\bigwedge l l' ST LT. P (l \# l' \# ST, LT)$

shows P s $\langle proof \rangle$

simp rules for app

lemma appNone[simp]: app i P mxs T_r pc mpc et None = True
 $\langle proof \rangle$

lemma appLoad[simp]:
 $app_i (Load idx, P, T_r, mxs, pc, s) = (\exists ST LT. s = (ST, LT) \wedge idx < length LT \wedge LT!idx \neq Err \wedge length ST < mxs)$
 $\langle proof \rangle$

lemma appStore[simp]:
 $app_i (Store idx, P, pc, mxs, T_r, s) = (\exists ts ST LT. s = (ts \# ST, LT) \wedge idx < length LT)$
 $\langle proof \rangle$

lemma $appPush[simp]$:

$$app_i (Push v, P, pc, mxs, T_r, s) = (\exists ST LT. s = (ST, LT) \wedge \text{length } ST < mxs \wedge \text{typeof } v \neq \text{None}) \langle proof \rangle$$

lemma $appGetField[simp]$:

$$app_i (Getfield F C, P, pc, mxs, T_r, s) = (\exists oT vT ST LT. s = (oT \# ST, LT) \wedge P \vdash C \text{ sees } F, \text{NonStatic}:vT \text{ in } C \wedge P \vdash oT \leq (\text{Class } C)) \langle proof \rangle$$

lemma $appGetStatic[simp]$:

$$app_i (Getstatic C F D, P, pc, mxs, T_r, s) = (\exists vT ST LT. s = (ST, LT) \wedge \text{length } ST < mxs \wedge P \vdash C \text{ sees } F, \text{Static}:vT \text{ in } D) \langle proof \rangle$$

lemma $appPutField[simp]$:

$$app_i (Putfield F C, P, pc, mxs, T_r, s) = (\exists vT vT' oT ST LT. s = (vT \# oT \# ST, LT) \wedge P \vdash C \text{ sees } F, \text{NonStatic}:vT' \text{ in } C \wedge P \vdash oT \leq (\text{Class } C) \wedge P \vdash vT \leq vT') \langle proof \rangle$$

lemma $appPutstatic[simp]$:

$$app_i (Putstatic C F D, P, pc, mxs, T_r, s) = (\exists vT vT' ST LT. s = (vT \# ST, LT) \wedge P \vdash C \text{ sees } F, \text{Static}:vT' \text{ in } D \wedge P \vdash vT \leq vT') \langle proof \rangle$$

lemma $appNew[simp]$:

$$app_i (New C, P, pc, mxs, T_r, s) = (\exists ST LT. s = (ST, LT) \wedge \text{is-class } P \text{ } C \wedge \text{length } ST < mxs) \langle proof \rangle$$

lemma $appCheckcast[simp]$:

$$app_i (Checkcast C, P, pc, mxs, T_r, s) = (\exists T ST LT. s = (T \# ST, LT) \wedge \text{is-class } P \text{ } C \wedge \text{is-refT } T) \langle proof \rangle$$

lemma $app_i.Pop[simp]$:

$$app_i (Pop, P, pc, mxs, T_r, s) = (\exists ts ST LT. s = (ts \# ST, LT)) \langle proof \rangle$$

lemma $appIAdd[simp]$:

$$app_i (IAdd, P, pc, mxs, T_r, s) = (\exists ST LT. s = (\text{Integer} \# \text{Integer} \# ST, LT)) \langle proof \rangle$$

lemma $appIfFalse [simp]$:

$$app_i (IfFalse b, P, pc, mxs, T_r, s) = (\exists ST LT. s = (\text{Boolean} \# ST, LT) \wedge 0 \leq \text{int } pc + b) \langle proof \rangle$$

lemma $appCmpEq[simp]$:

$$app_i (CmpEq, P, pc, mxs, T_r, s) = (\exists T_1 T_2 ST LT. s = (T_1 \# T_2 \# ST, LT) \wedge (\neg \text{is-refT } T_1 \wedge T_2 = T_1 \vee \text{is-refT } T_1 \wedge \text{is-refT } T_2)) \langle proof \rangle$$

lemma *appReturn*[simp]:
 $app_i (Return, P, pc, mxs, T_r, s) = (\exists T ST LT. s = (T \# ST, LT) \wedge P \vdash T \leq T_r)$
(proof)

lemma *appThrow*[simp]:
 $app_i (Throw, P, pc, mxs, T_r, s) = (\exists T ST LT. s = (T \# ST, LT) \wedge \text{is-ref} T T)$
(proof)

lemma *effNone*:
 $(pc', s') \in \text{set } (\text{eff } i P pc \text{ et } None) \implies s' = None$
(proof)

some helpers to make the specification directly executable:

lemma *relevant-entries-append* [simp]:
 $\text{relevant-entries } P i pc (xt @ xt') = \text{relevant-entries } P i pc xt @ \text{relevant-entries } P i pc xt'$
(proof)

lemma *xcpt-app-append* [iff]:
 $xcpt-app i P pc mxs (xt @ xt') \tau = (xcpt-app i P pc mxs xt \tau \wedge xcpt-app i P pc mxs xt' \tau)$
(proof)

lemma *xcpt-eff-append* [simp]:
 $xcpt-eff i P pc \tau (xt @ xt') = xcpt-eff i P pc \tau xt @ xcpt-eff i P pc \tau xt'$
(proof)

lemma *app-append* [simp]:
 $app i P pc T mxs mpc (xt @ xt') \tau = (app i P pc T mxs mpc xt \tau \wedge app i P pc T mxs mpc xt' \tau)$
(proof)

end

2.10 Monotonicity of eff and app

theory *EffectMono* imports *Effect* begin

declare *not-Err-eq* [iff]

lemma *app_i-mono*:
assumes *wf*: *wf-prog p P*
assumes *less*: $P \vdash \tau \leq_i \tau'$
shows $app_i (i, P, mxs, mpc, rT, \tau') \implies app_i (i, P, mxs, mpc, rT, \tau)$ *(proof)*

lemma *succs-mono*:
assumes *wf*: *wf-prog p P* **and** $app_i: app_i (i, P, mxs, mpc, rT, \tau')$
shows $P \vdash \tau \leq_i \tau' \implies \text{set } (\text{succs } i \tau pc) \subseteq \text{set } (\text{succs } i \tau' pc)$ *(proof)*

lemma *app-mono*:
assumes *wf*: *wf-prog p P*
assumes *less'*: $P \vdash \tau \leq' \tau'$
shows $app i P m rT pc mpc xt \tau' \implies app i P m rT pc mpc xt \tau$ *(proof)*

lemma *eff_i-mono*:
assumes *wf*: *wf-prog p P*
assumes *less*: $P \vdash \tau \leq_i \tau'$
assumes $app_i: app i P m rT pc mpc xt (\text{Some } \tau')$

```

assumes succs: succs i  $\tau$  pc  $\neq \emptyset$  succs i  $\tau'$  pc  $\neq \emptyset$ 
shows P  $\vdash \text{eff}_i(i, P, \tau) \leq_i \text{eff}_i(i, P, \tau')$   $\langle \text{proof} \rangle$ 
end

```

2.11 The Bytecode Verifier

```

theory BVSpec
imports Effect
begin

```

This theory contains a specification of the BV. The specification describes correct typings of method bodies; it corresponds to type *checking*.

definition

- The method type only contains declared classes:

$$\text{check-types} :: 'm \text{ prog} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ty}_i \text{ err list} \Rightarrow \text{bool}$$

where

$$\text{check-types } P \text{ mxs mxl } \tau s \equiv \text{set } \tau s \subseteq \text{states } P \text{ mxs mxl}$$

- An instruction is welltyped if it is applicable and its effect

- is compatible with the type at all successor instructions:

definition

$$\text{wt-instr} :: ['m \text{ prog}, \text{ty}, \text{nat}, \text{pc}, \text{ex-table}, \text{instr}, \text{pc}, \text{ty}_m] \Rightarrow \text{bool}$$

$$(\langle _, _, _, _, _ \vdash _, _ :: _ \rangle [60, 0, 0, 0, 0, 0, 0, 61] 60)$$

where

$$P, T, \text{mxs}, \text{mpc}, \text{xt} \vdash i, \text{pc} :: \tau s \equiv$$

$$\text{app } i \text{ P mxs } T \text{ pc mpc xt } (\tau s! \text{pc}) \wedge$$

$$(\forall (pc', \tau') \in \text{set } (\text{eff } i \text{ P pc xt } (\tau s! \text{pc})). P \vdash \tau' \leq' \tau s! \text{pc}')$$

- The type at $pc=0$ conforms to the method calling convention:

definition *wt-start* :: $['m \text{ prog}, \text{cname}, \text{staticb}, \text{ty list}, \text{nat}, \text{ty}_m] \Rightarrow \text{bool}$

where

$$\text{wt-start } P \text{ C b Ts mxl}_0 \tau s \equiv$$

$$\text{case } b \text{ of NonStatic} \Rightarrow P \vdash \text{Some } ([], \text{OK } (\text{Class } C) \# \text{map OK } \text{Ts} @ \text{replicate mxl}_0 \text{ Err}) \leq' \tau s! 0$$

$$| \text{Static} \Rightarrow P \vdash \text{Some } ([], \text{map OK } \text{Ts} @ \text{replicate mxl}_0 \text{ Err}) \leq' \tau s! 0$$

- A method is welltyped if the body is not empty,

- if the method type covers all instructions and mentions

- declared classes only, if the method calling convention is respected, and

- if all instructions are welltyped.

definition *wt-method* :: $['m \text{ prog}, \text{cname}, \text{staticb}, \text{ty list}, \text{ty}, \text{nat}, \text{nat}, \text{instr list}, \text{ex-table}, \text{ty}_m] \Rightarrow \text{bool}$

where

$$\text{wt-method } P \text{ C b Ts T}_r \text{ mxs mxl}_0 \text{ is xt } \tau s \equiv (b = \text{Static} \vee b = \text{NonStatic}) \wedge$$

$$0 < \text{size is} \wedge \text{size } \tau s = \text{size is} \wedge$$

$$\text{check-types } P \text{ mxs } ((\text{case } b \text{ of Static} \Rightarrow 0 | \text{NonStatic} \Rightarrow 1) + \text{size } \text{Ts} + \text{mxl}_0) \text{ (map OK } \tau s) \wedge$$

$$\text{wt-start } P \text{ C b Ts mxl}_0 \tau s \wedge$$

$$(\forall pc < \text{size is}. P, T_r, \text{mxs}, \text{size is}, \text{xt} \vdash \text{is!pc, pc} :: \tau s)$$

- A program is welltyped if it is wellformed and all methods are welltyped

definition *wf-jvm-prog-phi* :: $ty_P \Rightarrow jvm\text{-prog} \Rightarrow \text{bool}$ $(\langle wf'\text{-jvm'}\text{-prog}_ \rangle)$

where

$$wf\text{-jvm}\text{-prog}_\Phi \equiv$$

$$wf\text{-prog } (\lambda P \text{ C } (M, b, Ts, T_r, (mxs, mxl_0, is, xt))).$$

wt-method P C b Ts T_r mxs mxl₀ is xt (Φ C M)

definition *wf-jvm-prog :: jvm-prog ⇒ bool*
where
wf-jvm-prog P ≡ ∃ Φ. wf-jvm-prog_Φ P

lemma *wt-jvm-progD:*
wf-jvm-prog_Φ P ⇒⇒ ∃ wt. wf-prog wt P⟨proof⟩

lemma *wt-jvm-prog-impl-wt-instr:*
assumes *wf: wf-jvm-prog_Φ P and*
sees: P ⊢ C sees M,b:Ts → T = (mxs,mxl₀,ins,xt) in C and
pc: pc < size ins
shows *P,T,mxs,size ins,xt ⊢ ins!pc,pc :: Φ C M⟨proof⟩*

lemma *wt-jvm-prog-impl-wt-start:*
assumes *wf: wf-jvm-prog_Φ P and*
sees: P ⊢ C sees M,b:Ts → T = (mxs,mxl₀,ins,xt) in C
shows *0 < size ins ∧ wt-start P C b Ts mxl₀ (Φ C M)⟨proof⟩*

lemma *wt-jvm-prog-nclinit:*
assumes *wtp: wf-jvm-prog_Φ P*
and *meth: P ⊢ C sees M, b : Ts → T = (mxs, mxl₀, ins, xt) in D*
and *wt: P,T,mxs,size ins,xt ⊢ ins!pc,pc :: Φ C M*
and *pc: pc < length ins and Φ: Φ C M ! pc = Some(ST,LT)*
and *ins: ins ! pc = Invokestatic C₀ M₀ n*
shows *M₀ ≠ clinit*
⟨proof⟩

end

2.12 The Typing Framework for the JVM

theory *TF-JVM*
imports *Jinja.Typing-Framework-err EffectMono BVSPEC*
begin

definition *exec :: jvm-prog ⇒ nat ⇒ ty ⇒ ex-table ⇒ instr list ⇒ ty_i' err step-type*
where
exec G maxs rT et bs ≡
err-step (size bs) (λpc. app (bs!pc) G maxs rT pc (size bs) et)
(λpc. eff (bs!pc) G pc et)

locale *JVM-sl =*
fixes *P :: jvm-prog and mxs and mxl₀ and n*
fixes *b and Ts :: ty list and is and xt and T_r*

fixes *mxl and A and r and f and app and eff and step*
defines *[simp]: mxl ≡ (case b of Static ⇒ 0 | NonStatic ⇒ 1) + size Ts + mxl₀*
defines *[simp]: A ≡ states P mxs mxl*
defines *[simp]: r ≡ JVM-SemiType.le P mxs mxl*
defines *[simp]: f ≡ JVM-SemiType.sup P mxs mxl*

defines *[simp]: app ≡ λpc. Effect.app (is!pc) P mxs T_r pc (size is) xt*
defines *[simp]: eff ≡ λpc. Effect.eff (is!pc) P pc xt*
defines *[simp]: step ≡ err-step (size is) app eff*

```

defines [simp]:  $n \equiv \text{size } is$ 
assumes staticb:  $b = \text{Static} \vee b = \text{NonStatic}$ 

locale start-context = JVM-sl +
  fixes p and C

  assumes wf: wf-prog p P
  assumes C: is-class P C
  assumes Ts: set Ts ⊆ types P

  fixes first :: tyi' and start
  defines [simp]:
    first ≡ Some ([](case b of Static ⇒ [] | NonStatic ⇒ [OK (Class C)]) @ map OK Ts @ replicate
    mxl0 Err)
  defines [simp]:
    start ≡ (OK first) # replicate (size is - 1) (OK None)
thm start-context.intro

lemma start-context-intro-auxi:
  fixes P b Ts p C
  assumes b = Static  $\vee$  b = NonStatic
    and wf-prog p P
    and is-class P C
    and set Ts ⊆ types P
  shows start-context P b Ts p C
  ⟨proof⟩

```

2.12.1 Connecting JVM and Framework

```

lemma (in start-context) semi: semilat (A, r, f)
  ⟨proof⟩

lemma (in JVM-sl) step-def-exec: step ≡ exec P mxs Tr xt is
  ⟨proof⟩

lemma special-ex-swap-lemma [iff]:
  (? X. (? n. X = A n & P n) & Q X) = (? n. Q(A n) & P n)
  ⟨proof⟩

lemma ex-in-list [iff]:
  ( $\exists n.$  ST ∈ nlists n A  $\wedge$  n ≤ mxs) = (set ST ⊆ A  $\wedge$  size ST ≤ mxs)
  ⟨proof⟩

lemma singleton-nlists:
  ( $\exists n.$  [Class C] ∈ nlists n (types P)  $\wedge$  n ≤ mxs) = (is-class P C  $\wedge$  0 < mxs)
  ⟨proof⟩

lemma set-drop-subset:
  set xs ⊆ A  $\implies$  set (drop n xs) ⊆ A
  ⟨proof⟩

```

```

lemma Suc-minus-minus-le:
   $n < mxs \implies Suc(n - (n - b)) \leq mxs$ 
   $\langle proof \rangle$ 

lemma in-nlistsE:
   $\llbracket xs \in nlists n A; \llbracket size xs = n; set xs \subseteq A \rrbracket \implies P \rrbracket \implies P$ 
   $\langle proof \rangle$ 

declare is-relevant-entry-def [simp]
declare set-drop-subset [simp]

theorem (in start-context) exec-pres-type:
  pres-type step (size is) A $\langle proof \rangle$ 
declare is-relevant-entry-def [simp del]
declare set-drop-subset [simp del]

lemma lesubstep-type-simple:
   $xs \sqsubseteq_{Product.le} (=) r] ys \implies set xs \{ \sqsubseteq_r \} set ys \langle proof \rangle$ 
declare is-relevant-entry-def [simp del]

lemma conjI2:  $\llbracket A; A \implies B \rrbracket \implies A \wedge B \langle proof \rangle$ 

lemma (in JVM-sl) eff-mono:
assumes wf: wf-prog p P and pc < length is and
  lesub: s  $\sqsubseteq_{sup-state-opt} P t$  and app: app pc t
shows set (eff pc s)  $\{ \sqsubseteq_{sup-state-opt} P \}$  set (eff pc t) $\langle proof \rangle$ 
lemma (in JVM-sl) bounded-step: bounded step (size is) $\langle proof \rangle$ 
theorem (in JVM-sl) step-mono:
  wf-prog wf-mb P  $\implies$  mono r step (size is) A $\langle proof \rangle$ 

lemma (in start-context) first-in-A [iff]: OK first  $\in A$ 
   $\langle proof \rangle$ 

lemma (in JVM-sl) wt-method-def2:
  wt-method P C' b Ts Tr mxs mxl0 is xt  $\tau s =$ 
  (is  $\neq [] \wedge$ 
   (b = Static  $\vee$  b = NonStatic)  $\wedge$ 
   size  $\tau s$  = size is  $\wedge$ 
   OK ' set  $\tau s \subseteq states P mxs mxl \wedge$ 
   wt-start P C' b Ts mxl0  $\tau s \wedge$ 
   wt-app-eff (sup-state-opt P) app eff  $\tau s \rangle \langle proof \rangle$ 

end

```

2.13 Kildall for the JVM

```

theory BVExec
imports Ninja.Abstract-BV TF-JVM Ninja.Typing-Framework-2
begin

definition kiljvm :: jvm-prog  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ty  $\Rightarrow$ 

```

$$instr\;list \Rightarrow ex-table \Rightarrow ty_i' \;err\;list \Rightarrow ty_i' \;err\;list$$

where

$$\begin{aligned} & \text{kiljvm } P \text{ mxs mxl } T_r \text{ is } xt \equiv \\ & \text{kildall } (\text{JVM-SemiType.le } P \text{ mxs mxl}) \ (\text{JVM-SemiType.sup } P \text{ mxs mxl}) \\ & \quad (\text{exec } P \text{ mxs } T_r \text{ xt is}) \end{aligned}$$

definition $wt\text{-}kildall :: jvm\text{-}prog \Rightarrow cname \Rightarrow staticb \Rightarrow ty\ list \Rightarrow ty \Rightarrow nat \Rightarrow nat \Rightarrow instr\ list \Rightarrow ex\text{-}table \Rightarrow bool$

where

```

wt-kildall P C' b Ts Tr mxs mxl0 is xt ≡ (b = Static ∨ b = NonStatic) ∧
0 < size is ∧
(let first = Some ([],(case b of Static ⇒ [] | NonStatic ⇒ [OK (Class C')])@
@(map OK Ts)@(replicate mxl0 Err));
start = (OK first)#{replicate (size is - 1) (OK None)};
result = kiljvm P mxs
          ((case b of Static ⇒ 0 | NonStatic ⇒ 1)+size Ts+mxl0)
          Tr is xt start
in ∀ n < size is. result!n ≠ Err)

```

definition $wf-jvm-prog_k :: jvm-prog \Rightarrow \text{bool}$

where

$$\begin{aligned} wf\text{-}jvm\text{-}prog_k \ P \equiv \\ wf\text{-}prog \ (\lambda P \ C' \ (M,b,Ts,T_r,(mxs,mxl_0,is,xt))). \ wt\text{-}kildall \ P \ C' \ b \ Ts \ T_r \ mxs \ mxl_0 \ is \ xt \) \ P \end{aligned}$$

context *start-context*

begin

lemma *Cons-less-Conss3 [simp]*:

$$x \# xs \sqsubseteq_r y \# ys = (x \sqsubseteq_r y \wedge xs \sqsubseteq_r ys \vee x = y \wedge xs \sqsubseteq_r ys) \\ \langle proof \rangle$$

lemma *acc-le-listI3* [*intro!*]:

$\text{acc } r \implies \text{acc } (\text{Listn}.le\ r)$
 $\langle proof \rangle$

lemma *wf-jvm*: $\text{wf } \{(ss', ss). ss \sqsubset_r ss'\}$
 $\langle proof \rangle$

lemma *iter-properties-bv*[rule-format]:

shows $\llbracket \forall p \in w0. p < n; ss0 \in nlists\ n\ A; \forall p < n. p \notin w0 \rightarrow stable\ r\ step\ ss0\ p \rrbracket \implies$
 $\text{iter}\ f\ step\ ss0\ w0 = (ss', w') \implies$
 $ss' \in nlists\ n\ A \wedge \text{stables}\ r\ step\ ss' \wedge ss0\ [\sqsubseteq_r]\ ss' \wedge$
 $(\forall ts \in nlists\ n\ A. ss0\ [\sqsubseteq_r]\ ts \wedge \text{stables}\ r\ step\ ts \rightarrow ss'\ [\sqsubseteq_r]\ ts) \langle proof \rangle$

lemma *kildall-properties-bv:*

shows $\llbracket ss0 \in nlists\ n\ A \rrbracket \implies$

kildall r f step ss0 ∈ *nlists n A* ∧

stables r step (kildall r f step

ss0 [\sqsubseteq_r] *kildall r f step ss0* \wedge

2.14 LBV for the JVM

```

theory LBVJVM
imports Ninja.Abstract-BV TF-JVM
begin

type-synonym prog-cert = cname ⇒ mname ⇒ tyi' err list

definition check-cert :: jvm-prog ⇒ nat ⇒ nat ⇒ nat ⇒ tyi' err list ⇒ bool
where
  check-cert P mxs mxl n cert ≡ check-types P mxs mxl cert ∧ size cert = n+1 ∧
    (∀ i < n. cert!i ≠ Err) ∧ cert!n = OK None

definition lbvjm :: jvm-prog ⇒ nat ⇒ nat ⇒ ty ⇒ ex-table ⇒
  tyi' err list ⇒ instr list ⇒ tyi' err ⇒ tyi' err
where
  lbvjm P mxs maxr Tr et cert bs ≡
    wtl-inst-list bs cert (JVM-SemiType.sup P mxs maxr) (JVM-SemiType.le P mxs maxr) Err (OK
  None) (exec P mxs Tr et bs) 0

definition wt-lbv :: jvm-prog ⇒ cname ⇒ staticb ⇒ ty list ⇒ ty ⇒ nat ⇒ nat ⇒
  ex-table ⇒ tyi' err list ⇒ instr list ⇒ bool
where
  wt-lbv P C b Ts Tr mxs mxl0 et cert ins ≡ (b = Static ∨ b = NonStatic) ∧
  check-cert P mxs ((case b of Static ⇒ 0 | NonStatic ⇒ 1) + size Ts + mxl0) (size ins) cert ∧
  0 < size ins ∧
  (let start = Some ([]), (case b of Static ⇒ [] | NonStatic ⇒ [OK (Class C)]) @((map OK Ts)) @((replicate mxl0 Err));
    result = lbvjm P mxs ((case b of Static ⇒ 0 | NonStatic ⇒ 1) + size Ts + mxl0) Tr et cert ins
  (OK start)
    in result ≠ Err)

definition wt-jvm-prog-lbv :: jvm-prog ⇒ prog-cert ⇒ bool
where
  wt-jvm-prog-lbv P cert ≡
    wf-prog (λP C (mn, b, Ts, Tr, (mxs, mxl0, ins, et)). wt-lbv P C b Ts Tr mxs mxl0 et (cert C mn) ins) P

definition mk-cert :: jvm-prog ⇒ nat ⇒ ty ⇒ ex-table ⇒ instr list
  ⇒ tym ⇒ tyi' err list
where
  mk-cert P mxs Tr et bs phi ≡ make-cert (exec P mxs Tr et bs) (map OK phi) (OK None)

definition prg-cert :: jvm-prog ⇒ tyP ⇒ prog-cert
where
  prg-cert P phi C mn ≡ let (C, b, Ts, Tr, (mxs, mxl0, ins, et)) = method P C mn
    in mk-cert P mxs Tr et ins (phi C mn)

lemma check-certD [intro?]:
  check-cert P mxs mxl n cert ==> cert-ok cert n Err (OK None) (states P mxs mxl)
  ⟨proof⟩

lemma (in start-context) wt-lbv-wt-step:
  assumes lbv: wt-lbv P C b Ts Tr mxs mxl0 xt cert is

```

shows $\exists \tau s \in nlists \text{ (size } is) A. wt\text{-step } r Err \text{ step } \tau s \wedge OK \text{ first } \sqsubseteq_r \tau s!0 \langle proof \rangle$

lemma (in start-context) *wt-lbv-wt-method*:

assumes $lbv: wt\text{-lbv } P C b Ts T_r mxs mxl_0 xt cert is$

shows $\exists \tau s. wt\text{-method } P C b Ts T_r mxs mxl_0 is xt \tau s \langle proof \rangle$

lemma (in start-context) *wt-method-wt-lbv*:

assumes $wt: wt\text{-method } P C b Ts T_r mxs mxl_0 is xt \tau s$

defines [simp]: $cert \equiv mk\text{-cert } P mxs T_r xt is \tau s$

shows $wt\text{-lbv } P C b Ts T_r mxs mxl_0 xt cert is \langle proof \rangle$

theorem *jvm-lbv-correct*:

$wt\text{-jvm-prog-lbv } P Cert \implies wf\text{-jvm-prog } P \langle proof \rangle$

theorem *jvm-lbv-complete*:

assumes $wt: wf\text{-jvm-prog}_\Phi P$

shows $wt\text{-jvm-prog-lbv } P (prg\text{-cert } P \Phi) \langle proof \rangle$

end

2.15 BV Type Safety Invariant

theory *BVConform*

imports *BVSpec .. / JVM / JVMExec .. / Common / Conform*

begin

2.15.1 correct-state definitions

definition $confT :: 'c prog \Rightarrow heap \Rightarrow val \Rightarrow ty err \Rightarrow bool$
 $(\langle \cdot, \cdot \rangle \vdash \cdot : \leq_T \rightarrow [51, 51, 51, 51] 50)$

where

$P, h \vdash v : \leq_T E \equiv case E of Err \Rightarrow True \mid OK T \Rightarrow P, h \vdash v : \leq T$

notation (ASCII)

$confT (\langle \cdot, \cdot \rangle \vdash \cdot : \leq_T \rightarrow [51, 51, 51, 51] 50)$

abbreviation

$confTs :: 'c prog \Rightarrow heap \Rightarrow val list \Rightarrow ty_l \Rightarrow bool$
 $(\langle \cdot, \cdot \rangle \vdash \cdot : \leq_T \rightarrow [51, 51, 51, 51] 50) \text{ where}$
 $P, h \vdash vs : \leq_T Ts \equiv list\text{-all2 } (confT P h) vs Ts$

notation (ASCII)

$confTs (\langle \cdot, \cdot \rangle \vdash \cdot : \leq_T \rightarrow [51, 51, 51, 51] 50)$

fun *Called-context* :: *jvm-prog* $\Rightarrow cname \Rightarrow instr \Rightarrow bool$ **where**

Called-context $P C_0 (New C') = (C_0 = C')$ |
 i
 $Called\text{-context } P C_0 (Getstatic C F D) = ((C_0 = D) \wedge (\exists t. P \vdash C \text{ has } F, Static:t \text{ in } D))$ |
 $Called\text{-context } P C_0 (Putstatic C F D) = ((C_0 = D) \wedge (\exists t. P \vdash C \text{ has } F, Static:t \text{ in } D))$ |
 $Called\text{-context } P C_0 (Invokestatic C M n)$
 $= (\exists Ts T m D. (C_0 = D) \wedge P \vdash C \text{ sees } M, Static:Ts \rightarrow T = m \text{ in } D)$ |
 $Called\text{-context } P \cdot \cdot = False$

abbreviation *Called-set* :: *instr set* **where**

Called-set $\equiv \{i. \exists C. i = New C\} \cup \{i. \exists C M n. i = Invokestatic C M n\}$

$$\cup \{i. \exists C F D. i = Getstatic C F D\} \cup \{i. \exists C F D. i = Putstatic C F D\}$$

lemma *Called-context-Called-set*:

Called-context P D i \implies *i* \in *Called-set* $\langle proof \rangle$

fun *valid-ics* :: *jvm-prog* \Rightarrow *heap* \Rightarrow *sheap* \Rightarrow *cname* \times *mname* \times *pc* \times *init-call-status* \Rightarrow *bool*
 $(\langle \cdot, \cdot, \cdot \vdash_i \cdot \rangle [51, 51, 51, 51] 50)$ **where**
valid-ics P h sh (C,M,pc,Calling Cs)
 $= (let ins = instrs-of P C M in Called-context P (last (C' \# Cs)) (ins!pc)$
 $\wedge is-class P C') |$
valid-ics P h sh (C,M,pc,Throwing Cs a)
 $= (let ins = instrs-of P C M in \exists C1. Called-context P C1 (ins!pc)$
 $\wedge (\exists obj. h a = Some obj) |$
valid-ics P h sh (C,M,pc,Called Cs)
 $= (let ins = instrs-of P C M$
 $in \exists C1 sobj. Called-context P C1 (ins!pc) \wedge sh C1 = Some sobj) |$
valid-ics P - - - = True

definition *conf-f* :: *jvm-prog* \Rightarrow *heap* \Rightarrow *sheap* \Rightarrow *ty_i* \Rightarrow *bytecode* \Rightarrow *frame* \Rightarrow *bool*

where

conf-f P h sh \equiv $\lambda(ST, LT) is (stk, loc, C, M, pc, ics).$

$P, h \vdash stk [:\leq] ST \wedge P, h \vdash loc [:\leq_{\top}] LT \wedge pc < size is \wedge P, h, sh \vdash_i (C, M, pc, ics)$

lemma *conf-f-def2*:

conf-f P h sh (ST,LT) is (stk,loc,C,M,pc,ics) \equiv

$P, h \vdash stk [:\leq] ST \wedge P, h \vdash loc [:\leq_{\top}] LT \wedge pc < size is \wedge P, h, sh \vdash_i (C, M, pc, ics)$

$\langle proof \rangle$

primrec *conf-fs* :: [*jvm-prog, heap, sheap, ty_P, cname, mname, nat, ty, frame list*] \Rightarrow *bool*

where

conf-fs P h sh \Phi C_0 M_0 n_0 T_0 [] = True
 $| conf-fs P h sh \Phi C_0 M_0 n_0 T_0 (f \# frs) =$
 $(let (stk, loc, C, M, pc, ics) = f in$
 $(\exists ST LT b Ts T mxs mxl_0 is xt.$
 $\Phi C M ! pc = Some (ST, LT) \wedge$
 $(P \vdash C sees M, b : Ts \rightarrow T = (mxs, mxl_0, is, xt) in C) \wedge$
 $((\exists D Ts' T' m D'. M_0 \neq clinit \wedge ics = No-ics \wedge$
 $is!pc = Invoke M_0 n_0 \wedge ST!n_0 = Class D \wedge$
 $P \vdash D sees M_0, NonStatic : Ts' \rightarrow T' = m in D' \wedge P \vdash C_0 \preceq^* D' \wedge P \vdash T_0 \leq T') \vee$
 $(\exists D Ts' T' m. M_0 \neq clinit \wedge ics = No-ics \wedge$
 $is!pc = Invoke static D M_0 n_0 \wedge$
 $P \vdash D sees M_0, Static : Ts' \rightarrow T' = m in C_0 \wedge P \vdash T_0 \leq T') \vee$
 $(M_0 = clinit \wedge (\exists Cs. ics = Called Cs))) \wedge$
conf-f P h sh (ST, LT) is f \wedge *conf-fs P h sh \Phi C M (size Ts) T frs))*

fun *ics-classes* :: *init-call-status* \Rightarrow *cname list* **where**

ics-classes (Calling Cs) = *Cs* |

ics-classes (Throwing Cs a) = *Cs* |

ics-classes (Called Cs) = *Cs* |

ics-classes - = []

fun *frame-clinit-classes* :: *frame* \Rightarrow *cname list* **where**

frame-clinit-classes (stk, loc, C, M, pc, ics) = (*if M=clinit then [C] else []*) @ *ics-classes ics*

abbreviation *clinit-classes* :: *frame list* \Rightarrow *cname list where*
clinit-classes frs \equiv *concat (map frame-clinit-classes frs)*

definition *distinct-clinit* :: *frame list* \Rightarrow *bool where*
distinct-clinit frs \equiv *distinct (clinit-classes frs)*

definition *conf-clinit* :: *jvm-prog* \Rightarrow *sheap* \Rightarrow *frame list* \Rightarrow *bool where*
conf-clinit P sh frs
 \equiv *distinct-clinit frs* \wedge
 $(\forall C \in \text{set}(\text{clinit-classes frs}). \text{is-class } P C \wedge (\exists sfs. sh C = \text{Some}(sfs, \text{Processing})))$

definition *correct-state* :: *[jvm-prog, typ, jvm-state]* \Rightarrow *bool* $(\langle \cdot, \cdot \vdash \cdot \cdot \checkmark \rangle [61, 0, 0] 61)$
where
correct-state P Φ \equiv $\lambda(xp, h, frs, sh).$
case xp of
None \Rightarrow *(case frs of*
 $\boxed{} \Rightarrow \text{True}$
 $| (f \# fs) \Rightarrow P \vdash h \checkmark \wedge P, h \vdash_s sh \checkmark \wedge \text{conf-clinit } P sh frs \wedge$
(let (stk, loc, C, M, pc, ics) = f
in $\exists b Ts T mxs mxl_0$ is xt $\tau.$
 $(P \vdash C \text{ sees } M, b : Ts \rightarrow T = (mxs, mxl_0, is, xt) \text{ in } C) \wedge$
 $\Phi C M ! pc = \text{Some } \tau \wedge$
 $\text{conf-f } P h sh \tau \text{ is } f \wedge \text{conf-fs } P h sh \Phi C M (\text{size } Ts) T fs)$
 $| \text{Some } x \Rightarrow frs = \boxed{}$

notation

correct-state $(\langle \cdot, \cdot \mid \cdot \cdot [ok] \rangle [61, 0, 0] 61)$

2.15.2 Values and \top

lemma *confT-Err* [iff]: $P, h \vdash x : \leq_{\top} Err$
 $\langle \text{proof} \rangle$

lemma *confT-OK* [iff]: $P, h \vdash x : \leq_{\top} OK T = (P, h \vdash x : \leq T)$
 $\langle \text{proof} \rangle$

lemma *confT-cases*:
 $P, h \vdash x : \leq_{\top} X = (X = Err \vee (\exists T. X = OK T \wedge P, h \vdash x : \leq T))$
 $\langle \text{proof} \rangle$

lemma *confT-hext* [intro?, trans]:
 $\llbracket P, h \vdash x : \leq_{\top} T; h \trianglelefteq h' \rrbracket \implies P, h' \vdash x : \leq_{\top} T$
 $\langle \text{proof} \rangle$

lemma *confT-widen* [intro?, trans]:
 $\llbracket P, h \vdash x : \leq_{\top} T; P \vdash T \leq_{\top} T' \rrbracket \implies P, h \vdash x : \leq_{\top} T'$
 $\langle \text{proof} \rangle$

2.15.3 Stack and Registers

lemmas *confTs-Cons1* [iff] = *list-all2-Cons1* [of *confT P h*] **for** *P h*

```

lemma confTs-confT-sup:
assumes confTs:  $P, h \vdash loc [:\leq_{\top}] LT$  and  $n: n < size LT$  and
 $LTn: LT!n = OK T$  and subtype:  $P \vdash T \leq T'$ 
shows  $P, h \vdash (loc!n) : \leq T' \langle proof \rangle$ 
lemma confTs-hext [intro?]:
 $P, h \vdash loc [:\leq_{\top}] LT \implies h \trianglelefteq h' \implies P, h' \vdash loc [:\leq_{\top}] LT$ 
 $\langle proof \rangle$ 

lemma confTs-widen [intro?, trans]:
 $P, h \vdash loc [:\leq_{\top}] LT \implies P \vdash LT [:\leq_{\top}] LT' \implies P, h \vdash loc [:\leq_{\top}] LT'$ 
 $\langle proof \rangle$ 

lemma confTs-map [iff]:
 $\wedge vs. (P, h \vdash vs [:\leq_{\top}] map OK Ts) = (P, h \vdash vs [:\leq] Ts)$ 
 $\langle proof \rangle$ 

lemma reg-widen-Err [iff]:
 $\wedge LT. (P \vdash replicate n Err [:\leq_{\top}] LT) = (LT = replicate n Err)$ 
 $\langle proof \rangle$ 

lemma confTs-Err [iff]:
 $P, h \vdash replicate n v [:\leq_{\top}] replicate n Err$ 
 $\langle proof \rangle$ 

```

2.15.4 valid init-call-status

```

lemma valid-ics-shupd:
assumes  $P, h, sh \vdash_i (C, M, pc, ics)$  and distinct ( $C' \# ics\text{-classes} ics$ )
shows  $P, h, sh(C' \mapsto (sfs, i')) \vdash_i (C, M, pc, ics)$ 
 $\langle proof \rangle$ 

```

2.15.5 correct-frame

```

lemma conf-f-Throwing:
assumes conf-f  $P h sh (ST, LT)$  is (stk, loc, C, M, pc, Called Cs)
and is-class P C' and h xcp = Some obj and sh C' = Some(sfs, Processing)
shows conf-f  $P h sh (ST, LT)$  is (stk, loc, C, M, pc, Throwing (C' # Cs) xcp)
 $\langle proof \rangle$ 

lemma conf-f-shupd:
assumes conf-f  $P h sh (ST, LT)$  ins f
and i = Processing
 $\vee (distinct (C \# ics\text{-classes} (ics\text{-of} f)) \wedge (curr\text{-method} f = clinit \longrightarrow C \neq curr\text{-class} f))$ 
shows conf-f  $P h (sh(C \mapsto (sfs, i))) (ST, LT)$  ins f
 $\langle proof \rangle$ 

lemma conf-f-shupd':
assumes conf-f  $P h sh (ST, LT)$  ins f
and sh C = Some(sfs, i)
shows conf-f  $P h (sh(C \mapsto (sfs', i))) (ST, LT)$  ins f
 $\langle proof \rangle$ 

```

2.15.6 correct-frames

lemmas [simp del] = fun-upd-apply

```

lemma conf-fs-hext:
   $\bigwedge C M n T_r$ .
   $\llbracket \text{conf-fs } P h sh \Phi C M n T_r frs; h \sqsubseteq h' \rrbracket \implies \text{conf-fs } P h' sh \Phi C M n T_r frs \langle proof \rangle$ 

lemma conf-fs-shupd:
assumes conf-fs  $P h sh \Phi C_0 M n T frs$ 
and dist: distinct ( $C \# \text{clinit-classes } frs$ )
shows conf-fs  $P h (sh(C \mapsto (sfs, i))) \Phi C_0 M n T frs$ 
   $\langle proof \rangle$ 

lemma conf-fs-shupd':
assumes conf-fs  $P h sh \Phi C_0 M n T frs$ 
and shC:  $sh C = \text{Some}(sfs, i)$ 
shows conf-fs  $P h (sh(C \mapsto (sfs', i))) \Phi C_0 M n T frs$ 
   $\langle proof \rangle$ 

```

2.15.7 correctness wrt clinit use

```

lemma conf-clinit-Cons:
assumes conf-clinit  $P sh (f \# frs)$ 
shows conf-clinit  $P sh frs$ 
   $\langle proof \rangle$ 

lemma conf-clinit-Cons-Cons:
 $conf\text{-clinit } P sh (f' \# f \# frs) \implies conf\text{-clinit } P sh (f' \# frs)$ 
   $\langle proof \rangle$ 

lemma conf-clinit-diff:
assumes conf-clinit  $P sh ((stk, loc, C, M, pc, ics) \# frs)$ 
shows conf-clinit  $P sh ((stk', loc', C, M, pc', ics) \# frs)$ 
   $\langle proof \rangle$ 

lemma conf-clinit-diff':
assumes conf-clinit  $P sh ((stk, loc, C, M, pc, ics) \# frs)$ 
shows conf-clinit  $P sh ((stk', loc', C, M, pc', \text{No-}ics) \# frs)$ 
   $\langle proof \rangle$ 

lemma conf-clinit-Called-Throwing:
 $conf\text{-clinit } P sh ((stk', loc', C', clinit, pc', ics') \# (stk, loc, C, M, pc, \text{Called Cs}) \# fs) \implies conf\text{-clinit } P sh ((stk, loc, C, M, pc, \text{Throwing } (C' \# Cs) \text{ } xcp) \# fs)$ 
   $\langle proof \rangle$ 

lemma conf-clinit-Throwing:
 $conf\text{-clinit } P sh ((stk, loc, C, M, pc, \text{Throwing } (C' \# Cs) \text{ } xcp) \# fs) \implies conf\text{-clinit } P sh ((stk, loc, C, M, pc, \text{Throwing } Cs \text{ } xcp) \# fs)$ 
   $\langle proof \rangle$ 

lemma conf-clinit-Called:
 $\llbracket \text{conf-clinit } P sh ((stk, loc, C, M, pc, \text{Called } (C' \# Cs)) \# frs); P \vdash C' \text{ sees clinit, Static: } [] \rightarrow \text{Void}=(mxs', mxl', ins', xt') \text{ in } C' \rrbracket \implies conf\text{-clinit } P sh (\text{create-init-frame } P C' \# (stk, loc, C, M, pc, \text{Called Cs}) \# frs)$ 
   $\langle proof \rangle$ 

```

```

lemma conf-clinit-Cons-nclinit:
assumes conf-clinit P sh frs and nclinit: M ≠ clinit
shows conf-clinit P sh ((stk, loc, C, M, pc, No-ics) # frs)
⟨proof⟩

lemma conf-clinit-Invoke:
assumes conf-clinit P sh ((stk, loc, C, M, pc, ics) # frs) and M' ≠ clinit
shows conf-clinit P sh ((stk', loc', C', M', pc', No-ics) # (stk, loc, C, M, pc, No-ics) # frs)
⟨proof⟩

lemma conf-clinit-nProc-dist:
assumes conf-clinit P sh frs
and ∀ sfs. sh C ≠ Some(sfs, Processing)
shows distinct (C # clinit-classes frs)
⟨proof⟩

lemma conf-clinit-shupd:
assumes conf-clinit P sh frs
and dist: distinct (C # clinit-classes frs)
shows conf-clinit P (sh(C ↦ (sfs, i))) frs
⟨proof⟩

lemma conf-clinit-shupd':
assumes conf-clinit P sh frs
and sh C = Some(sfs, i)
shows conf-clinit P (sh(C ↦ (sfs', i))) frs
⟨proof⟩

lemma conf-clinit-shupd-Called:
assumes conf-clinit P sh ((stk, loc, C, M, pc, Calling C' Cs) # frs)
and dist: distinct (C' # clinit-classes ((stk, loc, C, M, pc, Calling C' Cs) # frs))
and cls: is-class P C'
shows conf-clinit P (sh(C' ↦ (sfs, Processing))) ((stk, loc, C, M, pc, Called (C' # Cs)) # frs)
⟨proof⟩

lemma conf-clinit-shupd-Calling:
assumes conf-clinit P sh ((stk, loc, C, M, pc, Calling C' Cs) # frs)
and dist: distinct (C' # clinit-classes ((stk, loc, C, M, pc, Calling C' Cs) # frs))
and cls: is-class P C'
shows conf-clinit P (sh(C' ↦ (sfs, Processing)))
    ((stk, loc, C, M, pc, Calling (fst(the(class P C')))) (C' # Cs)) # frs
⟨proof⟩

```

2.15.8 correct state

```

lemma correct-state-Cons:
assumes cr: P, Φ |- (xp, h, f # frs, sh) [ok]
shows P, Φ |- (xp, h, frs, sh) [ok]
⟨proof⟩

lemma correct-state-shupd:
assumes cs: P, Φ |- (xp, h, frs, sh) [ok] and shC: sh C = Some(sfs, i)
and dist: distinct (C # clinit-classes frs)

```

```

shows  $P, \Phi \vdash (xp, h, frs, sh(C \mapsto (sfs, i'))) [ok]$ 
⟨proof⟩

lemma correct-state-Throwing-ex:
assumes correct:  $P, \Phi \vdash (xp, h, (stk, loc, C, M, pc, ics) \# frs, sh) \checkmark$ 
shows  $\bigwedge Cs a. ics = \text{Throwing } Cs a \implies \exists obj. h a = \text{Some } obj$ 
⟨proof⟩

end

```

2.16 Property preservation under *class-add*

```

theory ClassAdd
imports BVConform
begin

lemma err-mono:  $A \subseteq B \implies \text{err } A \subseteq \text{err } B$ 
⟨proof⟩

lemma opt-mono:  $A \subseteq B \implies \text{opt } A \subseteq \text{opt } B$ 
⟨proof⟩
abbreviation class-add :: jvm-prog ⇒ jvm-method cdecl ⇒ jvm-prog where
class-add P cd ≡ cd#P

```

2.16.1 Fields

```

lemma class-add-has-fields:
assumes fs:  $P \vdash D \text{ has-fields FDTs}$  and nc:  $\neg \text{is-class } P C$ 
shows class-add P (C, cdecl) ⊢ D has-fields FDTs
⟨proof⟩

lemma class-add-has-fields-rev:
[ $\llbracket \text{class-add } P (C, cdecl) \vdash D \text{ has-fields FDTs}; \neg P \vdash D \preceq^* C \rrbracket$ 
 $\implies P \vdash D \text{ has-fields FDTs}$ ]
⟨proof⟩

```

```

lemma class-add-has-field:
assumes  $P \vdash C_0 \text{ has } F, b : T \text{ in } D$  and  $\neg \text{is-class } P C$ 
shows class-add P (C, cdecl) ⊢ C0 has F, b : T in D
⟨proof⟩

```

```

lemma class-add-has-field-rev:
assumes has: class-add P (C, cdecl) ⊢ C0 has F, b : T in D
and ncp:  $\bigwedge D'. P \vdash C_0 \preceq^* D' \implies D' \neq C$ 
shows P ⊢ C0 has F, b : T in D
⟨proof⟩

```

```

lemma class-add-sees-field:
assumes  $P \vdash C_0 \text{ sees } F, b : T \text{ in } D$  and  $\neg \text{is-class } P C$ 
shows class-add P (C, cdecl) ⊢ C0 sees F, b : T in D
⟨proof⟩

```

```

lemma class-add-sees-field-rev:

```

assumes has: class-add $P (C, cdec) \vdash C_0$ sees $F, b:T$ in D
and ncp: $\bigwedge D'. P \vdash C_0 \preceq^* D' \implies D' \neq C$
shows $P \vdash C_0$ sees $F, b:T$ in D
(proof)

lemma class-add-field:
assumes fd: $P \vdash C_0$ sees $F, b:T$ in D **and** \neg is-class $P C$
shows field $P C_0 F = \text{field} (\text{class-add } P (C, cdec)) C_0 F$
(proof)

2.16.2 Methods

lemma class-add-sees-methods:
assumes ms: $P \vdash D$ sees-methods Mm **and** nc: \neg is-class $P C$
shows class-add $P (C, cdec) \vdash D$ sees-methods Mm
(proof)

lemma class-add-sees-methods-rev:
 $\llbracket \text{class-add } P (C, cdec) \vdash D \text{ sees-methods } Mm;$
 $\quad \bigwedge D'. P \vdash D \preceq^* D' \implies D' \neq C \rrbracket$
 $\implies P \vdash D \text{ sees-methods } Mm$
(proof)

lemma class-add-sees-methods-Obj:
assumes $P \vdash \text{Object}$ sees-methods Mm **and** nObj: $C \neq \text{Object}$
shows class-add $P (C, cdec) \vdash \text{Object}$ sees-methods Mm
(proof)

lemma class-add-sees-methods-rev-Obj:
assumes class-add $P (C, cdec) \vdash \text{Object}$ sees-methods Mm **and** nObj: $C \neq \text{Object}$
shows $P \vdash \text{Object}$ sees-methods Mm
(proof)

lemma class-add-sees-method:
assumes $P \vdash C_0$ sees M_0 , $b : Ts \rightarrow T = m$ in D **and** \neg is-class $P C$
shows class-add $P (C, cdec) \vdash C_0$ sees M_0 , $b : Ts \rightarrow T = m$ in D
(proof)

lemma class-add-method:
assumes md: $P \vdash C_0$ sees M_0 , $b : Ts \rightarrow T = m$ in D **and** \neg is-class $P C$
shows method $P C_0 M_0 = \text{method} (\text{class-add } P (C, cdec)) C_0 M_0$
(proof)

lemma class-add-sees-method-rev:
 $\llbracket \text{class-add } P (C, cdec) \vdash C_0 \text{ sees } M_0, b : Ts \rightarrow T = m \text{ in } D;$
 $\quad \neg P \vdash C_0 \preceq^* C \rrbracket$
 $\implies P \vdash C_0 \text{ sees } M_0, b : Ts \rightarrow T = m \text{ in } D$
(proof)

lemma class-add-sees-method-Obj:
 $\llbracket P \vdash \text{Object} \text{ sees } M_0, b : Ts \rightarrow T = m \text{ in } D; C \neq \text{Object} \rrbracket$
 $\implies \text{class-add } P (C, cdec) \vdash \text{Object} \text{ sees } M_0, b : Ts \rightarrow T = m \text{ in } D$
(proof)

lemma *class-add-sees-method-rev-Obj*:
 $\llbracket \text{class-add } P (C, \text{cdec}) \vdash \text{Object sees } M_0, b : Ts \rightarrow T = m \text{ in } D; C \neq \text{Object} \rrbracket$
 $\implies P \vdash \text{Object sees } M_0, b : Ts \rightarrow T = m \text{ in } D$
 $\langle \text{proof} \rangle$

2.16.3 Types and states

lemma *class-add-is-type*:
 $\text{is-type } P T \implies \text{is-type} (\text{class-add } P (C, \text{cdec})) T$
 $\langle \text{proof} \rangle$

lemma *class-add-types*:
 $\text{types } P \subseteq \text{types} (\text{class-add } P (C, \text{cdec}))$
 $\langle \text{proof} \rangle$

lemma *class-add-states*:
 $\text{states } P mxs mxl \subseteq \text{states} (\text{class-add } P (C, \text{cdec})) mxs mxl$
 $\langle \text{proof} \rangle$

lemma *class-add-check-types*:
 $\text{check-types } P mxs mxl \tau s \implies \text{check-types} (\text{class-add } P (C, \text{cdec})) mxs mxl \tau s$
 $\langle \text{proof} \rangle$

2.16.4 Subclasses and subtypes

lemma *class-add-subcls*:
 $\llbracket P \vdash D \preceq^* D'; \neg \text{is-class } P C \rrbracket$
 $\implies \text{class-add } P (C, \text{cdec}) \vdash D \preceq^* D'$
 $\langle \text{proof} \rangle$

lemma *class-add-subcls-rev*:
 $\llbracket \text{class-add } P (C, \text{cdec}) \vdash D \preceq^* D'; \neg P \vdash D \preceq^* C \rrbracket$
 $\implies P \vdash D \preceq^* D'$
 $\langle \text{proof} \rangle$

lemma *class-add-subtype*:
 $\llbracket \text{subtype } P x y; \neg \text{is-class } P C \rrbracket$
 $\implies \text{subtype} (\text{class-add } P (C, \text{cdec})) x y$
 $\langle \text{proof} \rangle$

lemma *class-add-widens*:
 $\llbracket P \vdash Ts \leq Ts'; \neg \text{is-class } P C \rrbracket$
 $\implies (\text{class-add } P (C, \text{cdec})) \vdash Ts \leq Ts'$
 $\langle \text{proof} \rangle$

lemma *class-add-sup-ty-opt*:
 $\llbracket P \vdash l1 \leq_{\top} l2; \neg \text{is-class } P C \rrbracket$
 $\implies \text{class-add } P (C, \text{cdec}) \vdash l1 \leq_{\top} l2$
 $\langle \text{proof} \rangle$

lemma *class-add-sup-loc*:
 $\llbracket P \vdash LT \leq_{\top} LT'; \neg \text{is-class } P C \rrbracket$
 $\implies \text{class-add } P (C, \text{cdec}) \vdash LT \leq_{\top} LT'$
 $\langle \text{proof} \rangle$

lemma *class-add-sup-state*:
 $\llbracket P \vdash \tau \leq_i \tau'; \neg \text{is-class } P C \rrbracket$
 $\implies \text{class-add } P (C, \text{cdec}) \vdash \tau \leq_i \tau'$
(proof)

lemma *class-add-sup-state-opt*:
 $\llbracket P \vdash \tau \leq' \tau'; \neg \text{is-class } P C \rrbracket$
 $\implies \text{class-add } P (C, \text{cdec}) \vdash \tau \leq' \tau'$
(proof)

2.16.5 Effect

lemma *class-add-is-relevant-class*:
 $\llbracket \text{is-relevant-class } i P C_0; \neg \text{is-class } P C \rrbracket$
 $\implies \text{is-relevant-class } i (\text{class-add } P (C, \text{cdec})) C_0$
(proof)

lemma *class-add-is-relevant-class-rev*:
assumes *irc*: $\text{is-relevant-class } i (\text{class-add } P (C, \text{cdec})) C_0$
and *ncp*: $\bigwedge \text{cd } D'. \text{cd} \in \text{set } P \implies \neg P \vdash \text{fst cd} \preceq^* C$
and *wfsyscls*: $\text{wf-syscls } P$
shows $\text{is-relevant-class } i P C_0$
(proof)

lemma *class-add-is-relevant-entry*:
 $\llbracket \text{is-relevant-entry } P i pc e; \neg \text{is-class } P C \rrbracket$
 $\implies \text{is-relevant-entry } (\text{class-add } P (C, \text{cdec})) i pc e$
(proof)

lemma *class-add-is-relevant-entry-rev*:
 $\llbracket \text{is-relevant-entry } (\text{class-add } P (C, \text{cdec})) i pc e;$
 $\bigwedge \text{cd } D'. \text{cd} \in \text{set } P \implies \neg P \vdash \text{fst cd} \preceq^* C;$
 $\text{wf-syscls } P \rrbracket$
 $\implies \text{is-relevant-entry } P i pc e$
(proof)

lemma *class-add-relevant-entries*:
 $\neg \text{is-class } P C$
 $\implies \text{set } (\text{relevant-entries } P i pc xt) \subseteq \text{set } (\text{relevant-entries } (\text{class-add } P (C, \text{cdec})) i pc xt)$
(proof)

lemma *class-add-relevant-entries-eq*:
assumes *wf*: $\text{wf-prog wf-md } P$ **and** *nclass*: $\neg \text{is-class } P C$
shows $\text{relevant-entries } P i pc xt = \text{relevant-entries } (\text{class-add } P (C, \text{cdec})) i pc xt$
(proof)

lemma *class-add-norm-eff-pc*:
assumes *ne*: $\forall (pc', \tau') \in \text{set } (\text{norm-eff } i P pc \tau). pc' < mpc$
shows $\forall (pc', \tau') \in \text{set } (\text{norm-eff } i (\text{class-add } P (C, \text{cdec})) pc \tau). pc' < mpc$
(proof)

lemma *class-add-norm-eff-sup-state-opt*:
assumes *ne*: $\forall (pc', \tau') \in \text{set } (\text{norm-eff } i P pc \tau). P \vdash \tau' \leq' \tau s!pc'$

```

and nclass:  $\neg \text{is-class } P C$  and app:  $\text{app}_i (i, P, pc, mxs, T, \tau)$ 
shows  $\forall (pc', \tau') \in \text{set}(\text{norm-eff } i (\text{class-add } P (C, cdec)) pc \tau). (\text{class-add } P (C, cdec)) \vdash \tau' \leq' \tau s!pc'$ 
 $\langle \text{proof} \rangle$ 

lemma class-add-xcpt-eff-eq:
assumes wf: wf-prog wf-md P and nclass:  $\neg \text{is-class } P C$ 
shows xcpt-eff i P pc  $\tau$  xt = xcpt-eff i (class-add P (C, cdec)) pc  $\tau$  xt
 $\langle \text{proof} \rangle$ 

lemma class-add-eff-pc:
assumes eff:  $\forall (pc', \tau') \in \text{set}(\text{eff } i P pc xt (\text{Some } \tau)). pc' < mpc$ 
    and wf: wf-prog wf-md P and nclass:  $\neg \text{is-class } P C$ 
shows  $\forall (pc', \tau') \in \text{set}(\text{eff } i (\text{class-add } P (C, cdec)) pc xt (\text{Some } \tau)). pc' < mpc$ 
 $\langle \text{proof} \rangle$ 

lemma class-add-eff-sup-state-opt:
assumes eff:  $\forall (pc', \tau') \in \text{set}(\text{eff } i P pc xt (\text{Some } \tau)). P \vdash \tau' \leq' \tau s!pc'$ 
    and wf: wf-prog wf-md P and nclass:  $\neg \text{is-class } P C$ 
    and app:  $\text{app}_i (i, P, pc, mxs, T, \tau)$ 
shows  $\forall (pc', \tau') \in \text{set}(\text{eff } i (\text{class-add } P (C, cdec)) pc xt (\text{Some } \tau)).$ 
     $(\text{class-add } P (C, cdec)) \vdash \tau' \leq' \tau s!pc'$ 
 $\langle \text{proof} \rangle$ 

lemma class-add-app_i:
assumes app_i (i, P, pc, mxs, T_r, ST, LT) and  $\neg \text{is-class } P C$ 
shows app_i (i, class-add P (C, cdec), pc, mxs, T_r, ST, LT)
 $\langle \text{proof} \rangle$ 

lemma class-add-xcpt-app:
assumes xa: xcpt-app i P pc mxs xt  $\tau$ 
    and wf: wf-prog wf-md P and nclass:  $\neg \text{is-class } P C$ 
shows xcpt-app i (class-add P (C, cdec)) pc mxs xt  $\tau$ 
 $\langle \text{proof} \rangle$ 

lemma class-add-app:
assumes app: app i P mxs T pc mpc xt t
    and wf: wf-prog wf-md P and nclass:  $\neg \text{is-class } P C$ 
shows app i (class-add P (C, cdec)) mxs T pc mpc xt t
 $\langle \text{proof} \rangle$ 

```

2.16.6 Well-formedness and well-typedness

```

lemma class-add-wf-mdecl:
 $\llbracket \text{wf-mdecl wf-md } P C_0 md;$ 
 $\wedge C_0 md. \text{wf-md } P C_0 md \implies \text{wf-md } (\text{class-add } P (C, cdec)) C_0 md \rrbracket$ 
 $\implies \text{wf-mdecl wf-md } (\text{class-add } P (C, cdec)) C_0 md$ 
 $\langle \text{proof} \rangle$ 

lemma class-add-wf-mdecl':
assumes wfd: wf-mdecl wf-md P C_0 md
    and ms:  $(C_0, S, fs, ms) \in \text{set } P$  and md:  $md \in \text{set } ms$ 
    and wf-md':  $\bigwedge C_0 S fs ms m. [(C_0, S, fs, ms) \in \text{set } P; m \in \text{set } ms] \implies \text{wf-md}' (\text{class-add } P (C, cdec))$ 
 $C_0 m$ 

```

shows wf-mdecl wf-md' (class-add P (C, cdec)) C₀ md
(proof)

lemma class-add-wf-cdecl:
assumes wfcd: wf-cdecl wf-md P cd **and** cdP: cd ∈ set P
and ncp: ¬ P ⊢ fst cd ≤* C **and** dist: distinct-fst P
and wfmd: ∏ C₀ md. wf-md P C₀ md ⇒ wf-md (class-add P (C, cdec)) C₀ md
and nclass: ¬ is-class P C
shows wf-cdecl wf-md (class-add P (C, cdec)) cd
(proof)

lemma class-add-wf-cdecl':
assumes wfcd: wf-cdecl wf-md P cd **and** cdP: cd ∈ set P
and ncp: ¬ P ⊢ fst cd ≤* C **and** dist: distinct-fst P
and wfmd: ∏ C₀ S fs ms m. [(C₀, S, fs, ms) ∈ set P; m ∈ set ms] ⇒ wf-md' (class-add P (C, cdec)) C₀ m
and nclass: ¬ is-class P C
shows wf-cdecl wf-md' (class-add P (C, cdec)) cd
(proof)

lemma class-add-wt-start:
 $\llbracket \text{wt-start } P \text{ } C_0 \text{ } b \text{ } Ts \text{ } mxl \text{ } \tau_s; \neg \text{is-class } P \text{ } C \rrbracket$
 $\implies \text{wt-start} (\text{class-add } P \text{ } (C, \text{cdec})) \text{ } C_0 \text{ } b \text{ } Ts \text{ } mxl \text{ } \tau_s$
(proof)

lemma class-add-wt-instr:
assumes wti: P, T, mxs, mpc, xt ⊢ i, pc :: τ_s
and wf: wf-prog wf-md P **and** nclass: ¬ is-class P C
shows class-add P (C, cdec), T, mxs, mpc, xt ⊢ i, pc :: τ_s
(proof)

lemma class-add-wt-method:
assumes wtm: wt-method P C₀ b Ts T_r mxs mxl₀ is xt (Φ C₀ M₀)
and wf: wf-prog wf-md P **and** nclass: ¬ is-class P C
shows wt-method (class-add P (C, cdec)) C₀ b Ts T_r mxs mxl₀ is xt (Φ C₀ M₀)
(proof)

lemma class-add-wt-method':
 $\llbracket (\lambda P \text{ } C \text{ } (M, b, Ts, T_r, (mxs, mxl_0, is, xt)). \text{wt-method } P \text{ } C \text{ } b \text{ } Ts \text{ } T_r \text{ } mxs \text{ } mxl_0 \text{ } is \text{ } xt \text{ } (\Phi \text{ } C \text{ } M)) \text{ } P \text{ } C_0 \text{ } md;$
 $\text{wf-prog } wf-md \text{ } P; \neg \text{is-class } P \text{ } C \rrbracket$
 $\implies (\lambda P \text{ } C \text{ } (M, b, Ts, T_r, (mxs, mxl_0, is, xt)). \text{wt-method } P \text{ } C \text{ } b \text{ } Ts \text{ } T_r \text{ } mxs \text{ } mxl_0 \text{ } is \text{ } xt \text{ } (\Phi \text{ } C \text{ } M))$
 $\text{(class-add } P \text{ } (C, \text{cdec})) \text{ } C_0 \text{ } md$
(proof)

2.16.7 distinct-fst

lemma class-add-distinct-fst:
 $\llbracket \text{distinct-fst } P; \neg \text{is-class } P \text{ } C \rrbracket$
 $\implies \text{distinct-fst} (\text{class-add } P \text{ } (C, \text{cdec}))$
(proof)

2.16.8 Conformance

lemma class-add-conf:

```

 $\llbracket P, h \vdash v : \leq T; \neg \text{is-class } P C \rrbracket$ 
 $\implies \text{class-add } P (C, cdec), h \vdash v : \leq T$ 
⟨proof⟩

lemma class-add-oconf:
fixes obj::obj
assumes oc:  $P, h \vdash obj \vee \text{and } ns: \neg \text{is-class } P C$ 
    and ncp:  $\bigwedge D'. P \vdash \text{fst}(obj) \preceq^* D' \implies D' \neq C$ 
shows (class-add  $P (C, cdec)$ ),  $h \vdash obj \vee$ 
⟨proof⟩

lemma class-add-soconf:
assumes soc:  $P, h, C_0 \vdash_s sfs \vee \text{and } ns: \neg \text{is-class } P C$ 
    and ncp:  $\bigwedge D'. P \vdash C_0 \preceq^* D' \implies D' \neq C$ 
shows (class-add  $P (C, cdec)$ ),  $h, C_0 \vdash_s sfs \vee$ 
⟨proof⟩

lemma class-add-hconf:
assumes  $P \vdash h \vee \text{and } \neg \text{is-class } P C$ 
    and  $\bigwedge a \ obj \ D'. h \ a = \text{Some } obj \implies P \vdash \text{fst}(obj) \preceq^* D' \implies D' \neq C$ 
shows class-add  $P (C, cdec) \vdash h \vee$ 
⟨proof⟩

lemma class-add-hconf-wf:
assumes wf: wf-prog wf-md  $P$  and  $P \vdash h \vee \text{and } \neg \text{is-class } P C$ 
    and  $\bigwedge a \ obj. h \ a = \text{Some } obj \implies \text{fst}(obj) \neq C$ 
shows class-add  $P (C, cdec) \vdash h \vee$ 
⟨proof⟩

lemma class-add-shconf:
assumes  $P, h \vdash_s sh \vee \text{and } ns: \neg \text{is-class } P C$ 
    and  $\bigwedge C \ sobj \ D'. sh \ C = \text{Some } sobj \implies P \vdash C \preceq^* D' \implies D' \neq C$ 
shows class-add  $P (C, cdec), h \vdash_s sh \vee$ 
⟨proof⟩

lemma class-add-shconf-wf:
assumes wf: wf-prog wf-md  $P$  and  $P, h \vdash_s sh \vee \text{and } \neg \text{is-class } P C$ 
    and  $\bigwedge C \ sobj. sh \ C = \text{Some } sobj \implies C \neq C$ 
shows class-add  $P (C, cdec), h \vdash_s sh \vee$ 
⟨proof⟩

end

```

2.17 Properties and types of the starting program

```

theory StartProg
imports ClassAdd
begin

lemmas wt-defs = correct-state-def conf-f-def wt-instr-def eff-def norm-eff-def app-def xcpt-app-def

declare wt-defs [simp] — removed from simp at the end of file

```

```
declare start-class-def [simp]
```

2.17.1 Types

abbreviation $\text{start-}\varphi_m :: \text{ty}_m$ **where**
 $\text{start-}\varphi_m \equiv [\text{Some}(\[], \[]), \text{Some}([\text{Void}], \[])]$

fun $\Phi\text{-start} :: \text{ty}_P \Rightarrow \text{ty}_P$ **where**
 $\Phi\text{-start } \Phi C M = (\text{if } C = \text{Start} \wedge (M = \text{start-}m \vee M = \text{clinit}) \text{ then } \text{start-}\varphi_m \text{ else } \Phi C M)$

lemma $\Phi\text{-start}: \bigwedge C. C \neq \text{Start} \implies \Phi\text{-start } \Phi C = \Phi C$
 $\Phi\text{-start } \Phi \text{Start start-}m = \text{start-}\varphi_m$ $\Phi\text{-start } \Phi \text{Start clinit} = \text{start-}\varphi_m$
 $\langle \text{proof} \rangle$

lemma $\text{check-types-}\varphi_m: \text{check-types} (\text{start-prog } P C M) 1 0$ (*map OK start- φ_m*)
 $\langle \text{proof} \rangle$

2.17.2 Some simple properties

lemma $\text{preallocated-start-state}: \text{start-state } P = \sigma \implies \text{preallocated} (\text{fst}(\text{snd } \sigma))$
 $\langle \text{proof} \rangle$

lemma $\text{start-prog-Start-super}: \text{start-prog } P C M \vdash \text{Start} \prec^1 \text{Object}$
 $\langle \text{proof} \rangle$

lemma $\text{start-prog-Start-fields}:$
 $\text{start-prog } P C M \vdash \text{Start has-fields FDTs} \implies \text{map-of FDTs } (F, \text{Start}) = \text{None}$
 $\langle \text{proof} \rangle$

lemma $\text{start-prog-Start-soconf}:$
 $(\text{start-prog } P C M), h, \text{Start} \vdash_s \text{Map.empty} \vee$
 $\langle \text{proof} \rangle$

lemma $\text{start-prog-start-shconf}:$
 $\text{start-prog } P C M, \text{start-heap } P \vdash_s \text{start-sheap} \vee \langle \text{proof} \rangle$

2.17.3 Well-typed and well-formed

lemma $\text{start-wt-method}:$
assumes $P \vdash C \text{ sees } M, \text{Static} : [] \rightarrow \text{Void} = m \text{ in } D$ **and** $M \neq \text{clinit}$ **and** $\neg \text{is-class } P \text{ Start}$
shows $\text{wt-method } (\text{start-prog } P C M) \text{ Start Static } [] \text{ Void } 1 0 [\text{Invokestatic } C M 0, \text{Return}] [] \text{ start-}\varphi_m$
 $(\text{is wt-method } ?P ?C ?b ?Ts ?T_r ?mxs ?mxl_0 ?is ?xt ?ts)$
 $\langle \text{proof} \rangle$

lemma $\text{start-clinit-wt-method}:$
assumes $P \vdash C \text{ sees } M, \text{Static} : [] \rightarrow \text{Void} = m \text{ in } D$ **and** $M \neq \text{clinit}$ **and** $\neg \text{is-class } P \text{ Start}$
shows $\text{wt-method } (\text{start-prog } P C M) \text{ Start Static } [] \text{ Void } 1 0 [\text{Push Unit,Return}] [] \text{ start-}\varphi_m$
 $(\text{is wt-method } ?P ?C ?b ?Ts ?T_r ?mxs ?mxl_0 ?is ?xt ?ts)$
 $\langle \text{proof} \rangle$

lemma $\text{start-class-wf}:$
assumes $P \vdash C \text{ sees } M, \text{Static} : [] \rightarrow \text{Void} = m \text{ in } D$
and $M \neq \text{clinit}$ **and** $\neg \text{is-class } P \text{ Start}$
and $\Phi \text{ Start start-}m = \text{start-}\varphi_m$ **and** $\Phi \text{ Start clinit} = \text{start-}\varphi_m$
and $\text{is-class } P \text{ Object}$

and $\bigwedge b' Ts' T' m' D'. P \vdash Object \text{ sees } start-m, b': Ts' \rightarrow T' = m' \text{ in } D'$
 $\implies b' = Static \wedge Ts' = [] \wedge T' = Void$
and $\bigwedge b' Ts' T' m' D'. P \vdash Object \text{ sees } clinit, b': Ts' \rightarrow T' = m' \text{ in } D'$
 $\implies b' = Static \wedge Ts' = [] \wedge T' = Void$
shows $wf\text{-cdecl} (\lambda P C (M, b, Ts, T_r, (mxs, mxl_0, is, xt))). wt\text{-method} P C b Ts T_r mxs mxl_0 is xt (\Phi C M))$
 $(start\text{-prog } P C M) (start\text{-class } C M)$
 $\langle proof \rangle$

lemma *start-prog-wf-jvm-prog-phi*:
assumes $wtp: wf\text{-jvm}\text{-prog}_\Phi P$
and $nstart: \neg is\text{-class } P Start$
and $meth: P \vdash C \text{ sees } M, Static : [] \rightarrow Void = m \text{ in } D$ **and** $ncinit: M \neq clinit$
and $\Phi: \bigwedge C. C \neq Start \implies \Phi' C = \Phi C$
and $\Phi': \Phi' Start start-m = start\text{-}\varphi_m \Phi' Start clinit = start\text{-}\varphi_m$
and $Obj\text{-start-m}: \bigwedge b' Ts' T' m' D'. P \vdash Object \text{ sees } start-m, b': Ts' \rightarrow T' = m' \text{ in } D'$
 $\implies b' = Static \wedge Ts' = [] \wedge T' = Void$
shows $wf\text{-jvm}\text{-prog}_\Phi (start\text{-prog } P C M)$
 $\langle proof \rangle$

lemma *start-prog-wf-jvm-prog*:
assumes $wf: wf\text{-jvm}\text{-prog } P$
and $nstart: \neg is\text{-class } P Start$
and $meth: P \vdash C \text{ sees } M, Static : [] \rightarrow Void = m \text{ in } D$ **and** $ncinit: M \neq clinit$
and $Obj\text{-start-m}: \bigwedge b' Ts' T' m' D'. P \vdash Object \text{ sees } start-m, b': Ts' \rightarrow T' = m' \text{ in } D'$
 $\implies b' = Static \wedge Ts' = [] \wedge T' = Void$
shows $wf\text{-jvm}\text{-prog} (start\text{-prog } P C M)$
 $\langle proof \rangle$

2.17.4 Methods and instructions

lemma *start-prog-Start-sees-methods*:
 $P \vdash Object \text{ sees-methods } Mm$
 $\implies start\text{-prog } P C M \vdash$
 $Start \text{ sees-methods } Mm ++ (map\text{-option } (\lambda m. (m, Start)) \circ map\text{-of } [start\text{-method } C M, start\text{-clinit}])$
 $\langle proof \rangle$

lemma *start-prog-Start-sees-start-method*:
 $P \vdash Object \text{ sees-methods } Mm$
 $\implies start\text{-prog } P C M \vdash$
 $Start \text{ sees } start-m, Static : [] \rightarrow Void = (1, 0, [Invokestatic C M 0, Return], []) \text{ in } Start$
 $\langle proof \rangle$

lemma *wf-start-prog-Start-sees-start-method*:
assumes $wf: wf\text{-prog } wf\text{-md } P$
shows $start\text{-prog } P C M \vdash$
 $Start \text{ sees } start-m, Static : [] \rightarrow Void = (1, 0, [Invokestatic C M 0, Return], []) \text{ in } Start$
 $\langle proof \rangle$

lemma *start-prog-start-m-instrs*:
assumes $wf: wf\text{-prog } wf\text{-md } P$
shows $(instrs\text{-of } (start\text{-prog } P C M) Start start-m) = [Invokestatic C M 0, Return]$
 $\langle proof \rangle$

```
declare wt-defs [simp del]
end
```

2.18 BV Type Safety Proof

```
theory BVSpecTypeSafe
imports BVConform StartProg
begin
```

This theory contains proof that the specification of the bytecode verifier only admits type safe programs.

2.18.1 Preliminaries

Simp and intro setup for the type safety proof:

```
lemmas defs1 = correct-state-def conf-f-def wt-instr-def eff-def norm-eff-def app-def xcpt-app-def
lemmas widen-rules [intro] = conf-widen confT-widen confs-widens confTs-widen
```

2.18.2 Exception Handling

For the *Invoke* instruction the BV has checked all handlers that guard the current *pc*.

lemma *Invoke-handlers*:

$$\begin{aligned} & \text{match-ex-table } P C pc xt = \text{Some } (pc', d') \implies \\ & \exists (f, t, D, h, d) \in \text{set (relevant-entries } P (\text{Invoke } n M) pc xt). \\ & P \vdash C \preceq^* D \wedge pc \in \{f..<t\} \wedge pc' = h \wedge d' = d \\ & \langle \text{proof} \rangle \end{aligned}$$

For the *Invokestatic* instruction the BV has checked all handlers that guard the current *pc*.

lemma *Invokestatic-handlers*:

$$\begin{aligned} & \text{match-ex-table } P C pc xt = \text{Some } (pc', d') \implies \\ & \exists (f, t, D, h, d) \in \text{set (relevant-entries } P (\text{Invokestatic } C_0 n M) pc xt). \\ & P \vdash C \preceq^* D \wedge pc \in \{f..<t\} \wedge pc' = h \wedge d' = d \\ & \langle \text{proof} \rangle \end{aligned}$$

For the instrs in *Called-set* the BV has checked all handlers that guard the current *pc*.

lemma *Called-set-handlers*:

$$\begin{aligned} & \text{match-ex-table } P C pc xt = \text{Some } (pc', d') \implies i \in \text{Called-set} \implies \\ & \exists (f, t, D, h, d) \in \text{set (relevant-entries } P i pc xt). \\ & P \vdash C \preceq^* D \wedge pc \in \{f..<t\} \wedge pc' = h \wedge d' = d \\ & \langle \text{proof} \rangle \end{aligned}$$

We can prove separately that the recursive search for exception handlers (*find-handler*) in the frame stack results in a conforming state (if there was no matching exception handler in the current frame). We require that the exception is a valid heap address, and that the state before the exception occurred conforms.

lemma *uncaught-xcpt-correct*:

assumes *wt: wf-jvm-prog_Φ P*

```

assumes  $h : h \text{ } xcpt = \text{Some obj}$ 
shows  $\bigwedge f. P, \Phi \vdash (\text{None}, h, f\#frs, sh) \checkmark$ 
 $\implies \text{curr-method } f \neq \text{clinit} \implies P, \Phi \vdash \text{find-handler } P \text{ } xcpt \text{ } h \text{ } frs \text{ } sh \checkmark$ 
 $(\text{is } \bigwedge f. ?\text{correct } (\text{None}, h, f\#frs, sh) \implies ?\text{prem } f \implies ?\text{correct } (?\text{find frs})) \langle \text{proof} \rangle$ 

```

The requirement of lemma *uncaught-xcpt-correct* (that the exception is a valid reference on the heap) is always met for welltyped instructions and conformant states:

```

lemma exec-instr-xcpt-h:
 $\llbracket \text{fst } (\text{exec-instr } (\text{ins!pc}) \text{ } P \text{ } h \text{ } stk \text{ } vars \text{ } C \text{ } M \text{ } pc \text{ } ics \text{ } frs \text{ } sh) = \text{Some } xcpt;$ 
 $P, T, mxs, \text{size ins,xt} \vdash \text{ins!pc,pc} :: \Phi \text{ } C \text{ } M;$ 
 $P, \Phi \vdash (\text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh) \checkmark \rrbracket$ 
 $\implies \exists \text{obj. } h \text{ } xcpt = \text{Some obj}$ 
 $(\text{is } \llbracket ?xcpt; ?wt; ?\text{correct} \rrbracket \implies ?\text{thesis}) \langle \text{proof} \rangle$ 
lemma exec-step-xcpt-h:
assumes  $xcpt: \text{fst } (\text{exec-step } P \text{ } h \text{ } stk \text{ } vars \text{ } C \text{ } M \text{ } pc \text{ } ics \text{ } frs \text{ } sh) = \text{Some } xcpt$ 
and  $ins: \text{instrs-of } P \text{ } C \text{ } M = ins$ 
and  $wti: P, T, mxs, \text{size ins,xt} \vdash \text{ins!pc,pc} :: \Phi \text{ } C \text{ } M$ 
and  $\text{correct}: P, \Phi \vdash (\text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh) \checkmark$ 
shows  $\exists \text{obj. } h \text{ } xcpt = \text{Some obj}$ 
 $\langle \text{proof} \rangle$ 

lemma conf-sys-xcpt:
 $\llbracket \text{preallocated } h; C \in \text{sys-xcpts} \rrbracket \implies P, h \vdash \text{Addr } (\text{addr-of-sys-xcpt } C) : \leq \text{Class } C$ 
 $\langle \text{proof} \rangle$ 

lemma match-ex-table-SomeD:
 $\text{match-ex-table } P \text{ } C \text{ } pc \text{ } xt = \text{Some } (pc', d') \implies$ 
 $\exists (f, t, D, h, d) \in \text{set } xt. \text{matches-ex-entry } P \text{ } C \text{ } pc \text{ } (f, t, D, h, d) \wedge h = pc' \wedge d = d'$ 
 $\langle \text{proof} \rangle$ 

```

Finally we can state that, whenever an exception occurs, the next state always conforms:

```

lemma xcpt-correct:
fixes  $\sigma' :: \text{jvm-state}$ 
assumes  $wtp: \text{wf-jvm-prog}_\Phi \text{ } P$ 
assumes  $meth: P \vdash C \text{ sees } M, b: Ts \rightarrow T = (mxs, mxl_0, ins, xt) \text{ in } C$ 
assumes  $wt: P, T, mxs, \text{size ins,xt} \vdash \text{ins!pc,pc} :: \Phi \text{ } C \text{ } M$ 
assumes  $xp: \text{fst } (\text{exec-step } P \text{ } h \text{ } stk \text{ } loc \text{ } C \text{ } M \text{ } pc \text{ } ics \text{ } frs \text{ } sh) = \text{Some } xcpt$ 
assumes  $s': \text{Some } \sigma' = \text{exec } (P, \text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh)$ 
assumes  $\text{correct}: P, \Phi \vdash (\text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh) \checkmark$ 
shows  $P, \Phi \vdash \sigma' \checkmark \langle \text{proof} \rangle$ 

```

```
declare defs1 [simp]
```

2.18.3 Initialization procedure steps

In this section we prove that, for states that result in a step of the initialization procedure rather than an instruction execution, the state after execution of the step still conforms.

```

lemma Calling-correct:
fixes  $\sigma' :: \text{jvm-state}$ 
assumes  $wtp: \text{wf-jvm-prog}_\Phi \text{ } P$ 
assumes  $mC: P \vdash C \text{ sees } M, b: Ts \rightarrow T = (mxs, mxl_0, ins, xt) \text{ in } C$ 
assumes  $s': \text{Some } \sigma' = \text{exec } (P, \text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh)$ 
assumes  $cf: P, \Phi \vdash (\text{None}, h, (stk,loc,C,M,pc,ics)\#frs, sh) \checkmark$ 

```

```
assumes xc: fst (exec-step P h stk loc C M pc ics frs sh) = None
assumes ics: ics = Calling C' Cs
```

shows *P,Φ ⊢ σ' √*
(proof)

lemma *Throwing-correct*:

```
fixes  $\sigma'$  :: jvm-state
assumes wtprog: wf-jvm-prog $\Phi$  P
assumes mC: P ⊢ C sees M,b:Ts→T=(mxs,mxl0,ins,xt) in C
assumes s': Some σ' = exec (P, None, h, (stk,loc,C,M,pc,ics) #frs, sh)
assumes cf: P,Φ ⊢ (None, h, (stk,loc,C,M,pc,ics) #frs, sh) √
assumes xc: fst (exec-step P h stk loc C M pc ics frs sh) = None
assumes ics: ics = Throwing (C' #Cs) a
```

shows *P,Φ ⊢ σ' √*
(proof)

lemma *Called-correct*:

```
fixes  $\sigma'$  :: jvm-state
assumes wtprog: wf-jvm-prog $\Phi$  P
assumes mC: P ⊢ C sees M,b:Ts→T=(mxs,mxl0,ins,xt) in C
assumes s': Some σ' = exec (P, None, h, (stk,loc,C,M,pc,ics) #frs, sh)
assumes cf: P,Φ ⊢ (None, h, (stk,loc,C,M,pc,ics) #frs, sh) √
assumes xc: fst (exec-step P h stk loc C M pc ics frs sh) = None
assumes ics[simp]: ics = Called (C' #Cs)
```

shows *P,Φ ⊢ σ' √*
(proof)

2.18.4 Single Instructions

In this section we prove for each single (welltyped) instruction that the state after execution of the instruction still conforms. Since we have already handled exceptions above, we can now assume that no exception occurs in this step. For instructions that may call the initialization procedure, we cover the calling and non-calling cases separately.

lemma *Invoke-correct*:

```
fixes  $\sigma'$  :: jvm-state
assumes wtprog: wf-jvm-prog $\Phi$  P
assumes meth-C: P ⊢ C sees M,b:Ts→T=(mxs,mxl0,ins,xt) in C
assumes ins: ins ! pc = Invoke M' n
assumes wti: P,T,mxs,size ins,xt ⊢ ins!pc,pc :: Φ C M
assumes σ': Some σ' = exec (P, None, h, (stk,loc,C,M,pc,ics) #frs, sh)
assumes approx: P,Φ ⊢ (None, h, (stk,loc,C,M,pc,ics) #frs, sh) √
assumes no-xcp: fst (exec-step P h stk loc C M pc ics frs sh) = None
shows P,Φ ⊢ σ' √  

(proof)
```

lemma *Invokestatic-nInit-correct*:

```
fixes  $\sigma'$  :: jvm-state
assumes wtprog: wf-jvm-prog $\Phi$  P
assumes meth-C: P ⊢ C sees M,b:Ts→T=(mxs,mxl0,ins,xt) in C
assumes ins: ins ! pc = Invokestatic D M' n and ncinit: M' ≠ clinit
assumes wti: P,T,mxs,size ins,xt ⊢ ins!pc,pc :: Φ C M
assumes σ': Some σ' = exec (P, None, h, (stk,loc,C,M,pc,ics) #frs, sh)
```

```

assumes approx:  $P, \Phi \vdash (\text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \vee$ 
assumes no-xcp:  $\text{fst}(\text{exec-step } P h \text{ stk loc } C M \text{ pc } ics \text{ frs } sh) = \text{None}$ 
assumes cs:  $ics = \text{Called} [] \vee (ics = \text{No-ics} \wedge (\exists sfs. sh (\text{fst(method } P D M')) = \text{Some}(sfs, Done)))$ 
shows  $P, \Phi \vdash \sigma' \vee \langle \text{proof} \rangle$ 
lemma Invokestatic-Init-correct:
  fixes  $\sigma' :: jvm\text{-state}$ 
  assumes wtprog:  $\text{wf-jvm-prog}_\Phi P$ 
  assumes meth-C:  $P \vdash C \text{ sees } M, b: Ts \rightarrow T = (m_{xs}, m_{xl_0}, ins, xt) \text{ in } C$ 
  assumes ins:  $ins ! pc = \text{Invokestatic } D M' n \text{ and } n \neq \text{clinit: } M' \neq \text{clinit}$ 
  assumes wti:  $P, T, m_{xs}, \text{size } ins, xt \vdash ins!pc, pc :: \Phi C M$ 
  assumes  $\sigma': \text{Some } \sigma' = \text{exec } (P, \text{None}, h, (\text{stk}, \text{loc}, C, M, pc, \text{No-ics})\#frs, sh)$ 
  assumes approx:  $P, \Phi \vdash (\text{None}, h, (\text{stk}, \text{loc}, C, M, pc, \text{No-ics})\#frs, sh) \vee$ 
  assumes no-xcp:  $\text{fst}(\text{exec-step } P h \text{ stk loc } C M \text{ pc No-ics frs sh}) = \text{None}$ 
  assumes nDone:  $\forall sfs. sh (\text{fst(method } P D M')) \neq \text{Some}(sfs, Done)$ 
  shows  $P, \Phi \vdash \sigma' \vee \langle \text{proof} \rangle$ 
declare list-all2-Cons2 [iff]

lemma Return-correct:
  fixes  $\sigma' :: jvm\text{-state}$ 
  assumes wt-prog:  $\text{wf-jvm-prog}_\Phi P$ 
  assumes meth:  $P \vdash C \text{ sees } M, b: Ts \rightarrow T = (m_{xs}, m_{xl_0}, ins, xt) \text{ in } C$ 
  assumes ins:  $ins ! pc = \text{Return}$ 
  assumes wt:  $P, T, m_{xs}, \text{size } ins, xt \vdash ins!pc, pc :: \Phi C M$ 
  assumes  $\sigma': \text{Some } \sigma' = \text{exec } (P, \text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh)$ 
  assumes correct:  $P, \Phi \vdash (\text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \vee$ 

  shows  $P, \Phi \vdash \sigma' \vee \langle \text{proof} \rangle$ 
declare sup-state-opt-any-Some [iff]
declare not-Err-eq [iff]

lemma Load-correct:
  assumes wf-prog wt P and
     $mC: P \vdash C \text{ sees } M, b: Ts \rightarrow T = (m_{xs}, m_{xl_0}, ins, xt) \text{ in } C \text{ and}$ 
     $i: ins!pc = \text{Load idx} \text{ and}$ 
     $P, T, m_{xs}, \text{size } ins, xt \vdash ins!pc, pc :: \Phi C M \text{ and}$ 
     $\text{Some } \sigma' = \text{exec } (P, \text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \text{ and}$ 
     $cf: P, \Phi \vdash (\text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \vee$ 
  shows  $P, \Phi \vdash \sigma' \vee \langle \text{ok} \rangle \langle \text{proof} \rangle$ 
declare [[simproc del: list-to-set-comprehension]]

lemma Store-correct:
  assumes wf-prog wt P and
     $mC: P \vdash C \text{ sees } M, b: Ts \rightarrow T = (m_{xs}, m_{xl_0}, ins, xt) \text{ in } C \text{ and}$ 
     $i: ins!pc = \text{Store idx} \text{ and}$ 
     $P, T, m_{xs}, \text{size } ins, xt \vdash ins!pc, pc :: \Phi C M \text{ and}$ 
     $\text{Some } \sigma' = \text{exec } (P, \text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \text{ and}$ 
     $cf: P, \Phi \vdash (\text{None}, h, (\text{stk}, \text{loc}, C, M, pc, ics)\#frs, sh) \vee$ 
  shows  $P, \Phi \vdash \sigma' \vee \langle \text{ok} \rangle \langle \text{proof} \rangle$ 

lemma Push-correct:
  assumes wf-prog wt P and
     $mC: P \vdash C \text{ sees } M, b: Ts \rightarrow T = (m_{xs}, m_{xl_0}, ins, xt) \text{ in } C \text{ and}$ 
     $i: ins!pc = \text{Push } v \text{ and}$ 
     $P, T, m_{xs}, \text{size } ins, xt \vdash ins!pc, pc :: \Phi C M \text{ and}$ 

```

2.19 Welltyped Programs produce no Type Errors

```
theory BVNoTypeError  
imports .. /JVM /JVMDefensive BVSpecTypeSafe  
begin
```

lemma *has-methodI*:

$P \vdash C \text{ sees } M, b : Ts \rightarrow T = m \text{ in } D \implies P \vdash C \text{ has } M, b$
 $\langle proof \rangle$

Some simple lemmas about the type testing functions of the defensive JVM:

lemma *typeof-NoneD* [*simp, dest*]: *typeof v = Some x* \implies $\neg \text{is-Addr } v$
 $\langle \text{proof} \rangle$

lemma *is-Ref-def2*:

$$is\text{-}Ref\ v = (v = Null \vee (\exists\ a.\ v = Addr\ a))$$

$\langle proof \rangle$

lemma [*iff*]: *is-Ref Null* ⟨*proof*⟩

lemma *is-RefI* [*intro, simp*]: $P, h \vdash v : \leq T \implies \text{is-refT } T \implies \text{is-Ref } v \langle \text{proof} \rangle$

lemma *is-IntgI* [*intro, simp*]: $P, h \vdash v : \leq \text{Integer} \implies \text{is-Intg } v \langle \text{proof} \rangle$

lemma *is-BoolI* [*intro*, *simp*]: $P, h \vdash v : \leq \text{Boolean} \implies \text{is-Bool } v \langle \text{proof} \rangle$

declare *defs1* [*simp del*]

lemma *wt-jvm-prog-states-NonStatic*:

assumes *wf*: *wf-jvm-prog*_Φ *P*

and $mC: P \vdash C$ sees M , $\text{NonStatic}: Ts \rightarrow T = (mxs, m xl, ins, et)$ in C

and $\Phi : \Phi \ C\ M\ !\ pc = \tau$ and $pc : pc < size\ ins$

shows $OK \tau \in states P mxs (1+size Ts+mxl) \langle proof \rangle$

lemma *wt-jvm-prog-states-Static*:

assumes wf : $wf\text{-}jvm\text{-}prog_{\Phi}$ P

and $mC: P \vdash C$ sees M , $\text{Static}: Ts \rightarrow T = (mxs, mxl, ins, et)$ in C

and $\Phi : \Phi \ C\ M\ !\ pc = \tau$ and $pc : pc < size\ ins$

shows $OK \ \tau \in states \ P \ mxs \ (\text{size } Ts + mxl) \langle proof \rangle$

The main theorem: welltyped programs do not produce type errors if they are started in a conformant state.

theorem *no-type-error*:

fixes $\sigma :: jvm-state$

assumes welltyped: $wf-jvm\text{-}prog}_\Phi P$ and **conforms:** $P, \Phi \vdash \sigma \sqrt{}$

shows $\text{exec-}d\ P\ \sigma \neq \text{TypeError}\langle\text{proof}\rangle$

The theorem above tells us that, in welltyped programs, the defensive machine reaches the same result as the aggressive one (after arbitrarily many steps).

theorem *welltyped-aggressive-imp-defensive*:

$$\begin{aligned} & wf-jvm\text{-}prog_{\Phi} P \implies P, \Phi \vdash \sigma \quad \checkmark \implies P \vdash \sigma - jvm \rightarrow \sigma' \\ & \implies P \vdash (\text{Normal } \sigma) - jvmd \rightarrow (\text{Normal } \sigma') \langle proof \rangle \end{aligned}$$

As corollary we get that the aggressive and the defensive machine are equivalent for well-typed programs (if started in a conformant state or in the canonical start state)

corollary *welltyped-commutes*:

```
fixes  $\sigma :: jvm\text{-state}$ 
assumes  $wf: wf\text{-jvm-prog}_\Phi P$  and  $conforms: P, \Phi \vdash \sigma \checkmark$ 
shows  $P \vdash (\text{Normal } \sigma) \dashv_{jvmd} (\text{Normal } \sigma') = P \vdash \sigma \dashv_{jvm} \sigma'$ 
⟨proof⟩
```

corollary *welltyped-initial-commutes*:

```
assumes  $wf: wf\text{-jvm-prog } P$ 
assumes  $nstart: \neg \text{is-class } P \text{ Start}$ 
assumes  $meth: P \vdash C \text{ sees } M, \text{Static}: [] \dashv Void = b \text{ in } C$ 
assumes  $nclinit: M \neq clinit$ 
assumes  $Obj\text{-start-}m:$ 
 $(\bigwedge b' Ts' T' m' D'. P \vdash \text{Object sees start-}m, b': Ts' \dashv T' = m' \text{ in } D')$ 
 $\implies b' = \text{Static} \wedge Ts' = [] \wedge T' = \text{Void})$ 
defines  $start: \sigma \equiv \text{start-state } P$ 
shows  $\text{start-prog } P C M \vdash (\text{Normal } \sigma) \dashv_{jvmd} (\text{Normal } \sigma') = \text{start-prog } P C M \vdash \sigma \dashv_{jvm} \sigma'$ 
⟨proof⟩
```

lemma *not-TypeError-eq [iff]*:

```
 $x \neq \text{TypeError} = (\exists t. x = \text{Normal } t)$ 
⟨proof⟩
```

locale $cnf =$

```
fixes  $P$  and  $\Phi$  and  $\sigma$ 
assumes  $wf: wf\text{-jvm-prog}_\Phi P$ 
assumes  $cnf: \text{correct-state } P \Phi \sigma$ 
```

theorem (in cnf) no-type-errors:

```
 $P \vdash (\text{Normal } \sigma) \dashv_{jvmd} \sigma' \implies \sigma' \neq \text{TypeError}$ 
⟨proof⟩
```

locale $start =$

```
fixes  $P$  and  $C$  and  $M$  and  $\sigma$  and  $T$  and  $b$  and  $P_0$ 
assumes  $wf: wf\text{-jvm-prog } P$ 
assumes  $nstart: \neg \text{is-class } P \text{ Start}$ 
assumes  $sees: P \vdash C \text{ sees } M, \text{Static}: [] \dashv Void = b \text{ in } C$ 
assumes  $nclinit: M \neq clinit$ 
assumes  $Obj\text{-start-}m: (\bigwedge b' Ts' T' m' D'. P \vdash \text{Object sees start-}m, b': Ts' \dashv T' = m' \text{ in } D')$ 
 $\implies b' = \text{Static} \wedge Ts' = [] \wedge T' = \text{Void})$ 
defines  $\sigma \equiv \text{Normal } (\text{start-state } P)$ 
defines [simp]:  $P_0 \equiv \text{start-prog } P C M$ 
```

corollary (in start) bv-no-type-error:

```
shows  $P_0 \vdash \sigma \dashv_{jvmd} \sigma' \implies \sigma' \neq \text{TypeError}$ 
⟨proof⟩
```

end

Chapter 3

Compilation

3.1 An Intermediate Language

```
theory J1 imports ..//J/BigStep begin

type-synonym expr1 = nat exp
type-synonym J1-prog = expr1 prog
type-synonym state1 = heap × (val list) × sheap

definition hp1 :: state1 ⇒ heap
where
  hp1 ≡ fst
definition lcl1 :: state1 ⇒ val list
where
  lcl1 ≡ fst ∘ snd
definition shp1 :: state1 ⇒ sheap
where
  shp1 ≡ snd ∘ snd

primrec
  max-vars :: 'a exp ⇒ nat
  and max-varss :: 'a exp list ⇒ nat
where
  max-vars(new C) = 0
  | max-vars(Cast C e) = max-vars e
  | max-vars(Val v) = 0
  | max-vars(e1 «bop» e2) = max(max-vars e1) (max-vars e2)
  | max-vars(Var V) = 0
  | max-vars(V:=e) = max-vars e
  | max-vars(e•F{D}) = max-vars e
  | max-vars(C•sF{D}) = 0
  | max-vars(FAss e1 F D e2) = max(max-vars e1) (max-vars e2)
  | max-vars(SFAss C F D e2) = max-vars e2
  | max-vars(e•M(es)) = max(max-vars e) (max-varss es)
  | max-vars(C•sM(es)) = max-varss es
  | max-vars({V:T; e}) = max-vars e + 1
  | max-vars(e1;e2) = max(max-vars e1) (max-vars e2)
  | max-vars(if (e) e1 else e2) =
    max(max-vars e) (max(max-vars e1) (max-vars e2))
  | max-vars(while (b) e) = max(max-vars b) (max-vars e)
```

```

| max-vars(throw e) = max-vars e
| max-vars(try e1 catch(C V) e2) = max (max-vars e1) (max-vars e2 + 1)
| max-vars(INIT C (Cs,b) ← e) = max-vars e
| max-vars(RI(C,e);Cs ← e') = max (max-vars e) (max-vars e')

| max-varss [] = 0
| max-varss (e#es) = max (max-vars e) (max-varss es)

```

inductive

```

eval1 :: J1-prog ⇒ expr1 ⇒ state1 ⇒ expr1 ⇒ state1 ⇒ bool
    (⟨- ⊢1 ((1⟨-,/-⟩) ⇒/ (1⟨-,/-⟩))⟩ [51,0,0,0,0] 81)
and evals1 :: J1-prog ⇒ expr1 list ⇒ state1 ⇒ expr1 list ⇒ state1 ⇒ bool
    (⟨- ⊢1 ((1⟨-,/-⟩) [⇒]/ (1⟨-,/-⟩))⟩ [51,0,0,0,0] 81)
for P :: J1-prog
where

```

```

New1:
[ sh C = Some (sfs, Done); new-Addr h = Some a;
  P ⊢ C has-fields FDTs; h' = h(a ↦ blank P C) ]
⇒ P ⊢1 ⟨new C, (h,l,sh)⟩ ⇒ ⟨addr a, (h',l,sh)⟩

| NewFail1:
[ sh C = Some (sfs, Done); new-Addr h = None ] ⇒
  P ⊢1 ⟨new C, (h,l,sh)⟩ ⇒ ⟨THROW OutOfMemory, (h,l,sh)⟩

| NewInit1:
[ ∉ sfs. sh C = Some (sfs, Done); P ⊢1 ⟨INIT C ([C], False) ← unit, (h,l,sh)⟩ ⇒ ⟨Val v', (h',l',sh')⟩;
  new-Addr h' = Some a; P ⊢ C has-fields FDTs; h'' = h'(a ↦ blank P C) ]
⇒ P ⊢1 ⟨new C, (h,l,sh)⟩ ⇒ ⟨addr a, (h'',l',sh')⟩

| NewInitOOM1:
[ ∉ sfs. sh C = Some (sfs, Done); P ⊢1 ⟨INIT C ([C], False) ← unit, (h,l,sh)⟩ ⇒ ⟨Val v', (h',l',sh')⟩;
  new-Addr h' = None; is-class P C ]
⇒ P ⊢1 ⟨new C, (h,l,sh)⟩ ⇒ ⟨THROW OutOfMemory, (h',l',sh')⟩

| NewInitThrow1:
[ ∉ sfs. sh C = Some (sfs, Done); P ⊢1 ⟨INIT C ([C], False) ← unit, (h,l,sh)⟩ ⇒ ⟨throw a,s'⟩;
  is-class P C ]
⇒ P ⊢1 ⟨new C, (h,l,sh)⟩ ⇒ ⟨throw a,s'⟩

| Cast1:
[ P ⊢1 ⟨e,s0⟩ ⇒ ⟨addr a, (h,l,sh)⟩; h a = Some(D,fs); P ⊢ D ⊑* C ]
⇒ P ⊢1 ⟨Cast C e,s0⟩ ⇒ ⟨addr a, (h,l,sh)⟩

| CastNull1:
P ⊢1 ⟨e,s0⟩ ⇒ ⟨null,s1⟩ ⇒
P ⊢1 ⟨Cast C e,s0⟩ ⇒ ⟨null,s1⟩

| CastFail1:
[ P ⊢1 ⟨e,s0⟩ ⇒ ⟨addr a, (h,l,sh)⟩; h a = Some(D,fs); ⊥ P ⊢ D ⊑* C ]
⇒ P ⊢1 ⟨Cast C e,s0⟩ ⇒ ⟨THROW ClassCast, (h,l,sh)⟩

| CastThrow1:
P ⊢1 ⟨e,s0⟩ ⇒ ⟨throw e',s1⟩ ⇒
P ⊢1 ⟨Cast C e,s0⟩ ⇒ ⟨throw e',s1⟩

| Val1:
P ⊢1 ⟨Val v,s⟩ ⇒ ⟨Val v,s⟩

| BinOp1:
[ P ⊢1 ⟨e1,s0⟩ ⇒ ⟨Val v1,s1⟩; P ⊢1 ⟨e2,s1⟩ ⇒ ⟨Val v2,s2⟩; binop(bop,v1,v2) = Some v ]

```

$\implies P \vdash_1 \langle e_1 \text{ ``bop'' } e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle$

| $\text{BinOpThrow}_{11}:$
 $P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \implies$
 $P \vdash_1 \langle e_1 \text{ ``bop'' } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle$

| $\text{BinOpThrow}_{21}:$
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle \rrbracket$
 $\implies P \vdash_1 \langle e_1 \text{ ``bop'' } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle$

| $\text{Var}_1:$
 $\llbracket ls!i = v; i < \text{size } ls \rrbracket \implies$
 $P \vdash_1 \langle \text{Var } i, (h, ls, sh) \rangle \Rightarrow \langle \text{Val } v, (h, ls, sh) \rangle$

| $\text{LAss}_1:$
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, ls, sh) \rangle; i < \text{size } ls; ls' = ls[i := v] \rrbracket$
 $\implies P \vdash_1 \langle i := e, s_0 \rangle \Rightarrow \langle \text{unit}, (h, ls', sh) \rangle$

| $\text{LAssThrow}_1:$
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$
 $P \vdash_1 \langle i := e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| $\text{FAcc}_1:$
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, ls, sh) \rangle; h \ a = \text{Some}(C, fs);$
 $P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D;$
 $fs(F, D) = \text{Some } v \rrbracket$
 $\implies P \vdash_1 \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, ls, sh) \rangle$

| $\text{FAccNull}_1:$
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies$
 $P \vdash_1 \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle$

| $\text{FAccThrow}_1:$
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$
 $P \vdash_1 \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| $\text{FAccNone}_1:$
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, ls, sh) \rangle; h \ a = \text{Some}(C, fs);$
 $\neg(\exists b \ t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 $\implies P \vdash_1 \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW NoSuchFieldError}, (h, ls, sh) \rangle$

| $\text{FAccStatic}_1:$
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, (h, ls, sh) \rangle; h \ a = \text{Some}(C, fs);$
 $P \vdash C \text{ has } F, \text{Static}:t \text{ in } D \rrbracket$
 $\implies P \vdash_1 \langle e \cdot F\{D\}, s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h, ls, sh) \rangle$

| $\text{SFAcc}_1:$
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $sh \ D = \text{Some } (sfs, \text{Done});$
 $sfs \ F = \text{Some } v \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\}, (h, ls, sh) \rangle \Rightarrow \langle \text{Val } v, (h, ls, sh) \rangle$

| $\text{SFAccInit}_1:$
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $\nexists sfs. sh \ D = \text{Some } (sfs, \text{Done}); P \vdash_1 \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h, ls, sh) \rangle \Rightarrow \langle \text{Val } v', (h', ls', sh') \rangle;$
 $sh' \ D = \text{Some } (sfs, i);$
 $sfs \ F = \text{Some } v \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\}, (h, ls, sh) \rangle \Rightarrow \langle \text{Val } v, (h', ls', sh') \rangle$

| $\text{SFAccInitThrow}_1:$
 $\llbracket P \vdash C \text{ has } F, \text{Static}:t \text{ in } D;$
 $\nexists sfs. sh \ D = \text{Some } (sfs, \text{Done}); P \vdash_1 \langle \text{INIT } D ([D], \text{False}) \leftarrow \text{unit}, (h, ls, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\}, (h, ls, sh) \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

| $SFAccNone_1$:
 $\llbracket \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\}, s \rangle \Rightarrow \langle \text{THROW NoSuchFieldError}, s \rangle$

| $SFAccNonStatic_1$:
 $\llbracket P \vdash C \text{ has } F, NonStatic:t \text{ in } D \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\}, s \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, s \rangle$

| $FAss_1$:
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle;$
 $h_2 \ a = \text{Some}(C, fs); P \vdash C \text{ has } F, NonStatic:t \text{ in } D;$
 $fs' = fs((F, D) \mapsto v); h_2' = h_2(a \mapsto (C, fs')) \rrbracket$
 $\implies P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h_2', l_2, sh_2) \rangle$

| $FAssNull_1$:
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle \rrbracket \implies$
 $P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_2 \rangle$

| $FAssThrow_{11}$:
 $P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$
 $P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| $FAssThrow_{21}$:
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket$
 $\implies P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$

| $FAssNone_1$:
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle;$
 $h_2 \ a = \text{Some}(C, fs); \neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$
 $\implies P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW NoSuchFieldError}, (h_2, l_2, sh_2) \rangle$

| $FAssStatic_1$:
 $\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle;$
 $h_2 \ a = \text{Some}(C, fs); P \vdash C \text{ has } F, Static:t \text{ in } D \rrbracket$
 $\implies P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h_2, l_2, sh_2) \rangle$

| $SFAss_1$:
 $\llbracket P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle;$
 $P \vdash C \text{ has } F, Static:t \text{ in } D;$
 $sh_1 \ D = \text{Some}(sfs, Done); sfs' = sfs(F \mapsto v); sh_1' = sh_1(D \mapsto (sfs', Done)) \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h_1, l_1, sh_1') \rangle$

| $SFAssInit_1$:
 $\llbracket P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle;$
 $P \vdash C \text{ has } F, Static:t \text{ in } D;$
 $\nexists sfs. sh_1 \ D = \text{Some}(sfs, Done); P \vdash_1 \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_1, l_1, sh_1) \rangle \Rightarrow \langle \text{Val } v', (h', l', sh') \rangle;$
 $sh' \ D = \text{Some}(sfs, i);$
 $sfs' = sfs(F \mapsto v); sh'' = sh'(D \mapsto (sfs', i)) \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{unit}, (h', l', sh'') \rangle$

| $SFAssInitThrow_1$:
 $\llbracket P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_1, l_1, sh_1) \rangle;$
 $P \vdash C \text{ has } F, Static:t \text{ in } D;$
 $\nexists sfs. sh_1 \ D = \text{Some}(sfs, Done); P \vdash_1 \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_1, l_1, sh_1) \rangle \Rightarrow \langle \text{throw } a, s' \rangle \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

| $SFAssThrow_1$:
 $P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$

| $SFAssNone_1$:
 $\llbracket P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle;$
 $\neg(\exists b t. P \vdash C \text{ has } F, b:t \text{ in } D) \rrbracket$

$\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW NoSuchFieldError}, (h_2, l_2, sh_2) \rangle$
| *SFAssNonStatic*₁:
 $\llbracket P \vdash_1 \langle e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2, sh_2) \rangle; P \vdash C \text{ has } F, \text{NonStatic}:t \text{ in } D \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h_2, l_2, sh_2) \rangle$

| *CallObjThrow*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies P \vdash_1 \langle e \cdot M(es), s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| *CallNull*₁:
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P \vdash_1 \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, s_2 \rangle \rrbracket$
 $\implies P \vdash_1 \langle e \cdot M(es), s_0 \rangle \Rightarrow \langle \text{THROW NullPointerException}, s_2 \rangle$

| *Call*₁:
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_2, ls_2, sh_2) \rangle; h_2 \text{ } a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = \text{body in } D; \text{size } vs = \text{size } Ts; ls_2' = (\text{Addr } a) \# vs @ \text{replicate } (\text{max-vars body}) \text{ undefined}; P \vdash_1 \langle \text{body}, (h_2, ls_2', sh_2) \rangle \Rightarrow \langle e', (h_3, ls_3, sh_3) \rangle \rrbracket$
 $\implies P \vdash_1 \langle e \cdot M(es), s_0 \rangle \Rightarrow \langle e', (h_3, ls_2, sh_3) \rangle$

| *CallParamsThrow*₁:
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P \vdash_1 \langle es, s_1 \rangle [\Rightarrow] \langle es', s_2 \rangle; es' = \text{map Val } vs @ \text{throw ex} \# es_2 \rrbracket$
 $\implies P \vdash_1 \langle e \cdot M(es), s_0 \rangle \Rightarrow \langle \text{throw ex}, s_2 \rangle$

| *CallNone*₁:
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_2, ls_2, sh_2) \rangle; h_2 \text{ } a = \text{Some}(C, fs); \neg(\exists b \text{ } Ts \text{ } T \text{ body } D. P \vdash C \text{ sees } M, b:Ts \rightarrow T = \text{body in } D) \rrbracket$
 $\implies P \vdash_1 \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{THROW NoSuchMethodError}, (h_2, ls_2, sh_2) \rangle$

| *CallStatic*₁:
 $\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle \text{addr } a, s_1 \rangle; P \vdash_1 \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_2, ls_2, sh_2) \rangle; h_2 \text{ } a = \text{Some}(C, fs); P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = \text{body in } D \rrbracket$
 $\implies P \vdash_1 \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, (h_2, ls_2, sh_2) \rangle$

| *SCallParamsThrow*₁:
 $\llbracket P \vdash_1 \langle es, s_0 \rangle [\Rightarrow] \langle es', s_2 \rangle; es' = \text{map Val } vs @ \text{throw ex} \# es_2 \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s M(es), s_0 \rangle \Rightarrow \langle \text{throw ex}, s_2 \rangle$

| *SCallNone*₁:
 $\llbracket P \vdash_1 \langle ps, s_0 \rangle [\Rightarrow] \langle \text{map Val } vs, s_2 \rangle; \neg(\exists b \text{ } Ts \text{ } T \text{ body } D. P \vdash C \text{ sees } M, b:Ts \rightarrow T = \text{body in } D) \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s M(ps), s_0 \rangle \Rightarrow \langle \text{THROW NoSuchMethodError}, s_2 \rangle$

| *SCallNonStatic*₁:
 $\llbracket P \vdash_1 \langle ps, s_0 \rangle [\Rightarrow] \langle \text{map Val } vs, s_2 \rangle; P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = \text{body in } D \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s M(ps), s_0 \rangle \Rightarrow \langle \text{THROW IncompatibleClassChangeError}, s_2 \rangle$

| *SCallInitThrow*₁:
 $\llbracket P \vdash_1 \langle ps, s_0 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_1, ls_1, sh_1) \rangle; P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = \text{body in } D; \nexists sfs. sh_1 D = \text{Some}(sfs, Done); M \neq \text{clinit}; P \vdash_1 \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_1, ls_1, sh_1) \rangle \Rightarrow \langle \text{throw } a, s' \rangle \rrbracket$
 $\implies P \vdash_1 \langle C \cdot_s M(ps), s_0 \rangle \Rightarrow \langle \text{throw } a, s' \rangle$

| *SCallInit*₁:
 $\llbracket P \vdash_1 \langle ps, s_0 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_1, ls_1, sh_1) \rangle; P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = \text{body in } D; \nexists sfs. sh_1 D = \text{Some}(sfs, Done); M \neq \text{clinit}; P \vdash_1 \langle \text{INIT } D ([D], False) \leftarrow \text{unit}, (h_1, ls_1, sh_1) \rangle \Rightarrow \langle \text{Val } v', (h_2, ls_2, sh_2) \rangle; \text{size } vs = \text{size } Ts; ls_2' = vs @ \text{replicate } (\text{max-vars body}) \text{ undefined};$

$P \vdash_1 \langle body, (h_2, ls_2', sh_2) \rangle \Rightarrow \langle e', (h_3, ls_3, sh_3) \rangle \]$
 $\implies P \vdash_1 \langle C \cdot_s M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, ls_2, sh_3) \rangle$
| *SCall*₁:
 $\| P \vdash_1 \langle ps, s_0 \rangle \ [\Rightarrow] \ \langle map \ Val \ vs, (h_2, ls_2, sh_2) \rangle;$
 $P \vdash C \ sees \ M, Static: Ts \rightarrow T = body \ in \ D;$
 $sh_2 \ D = Some(sfs, Done) \vee (M = clinit \wedge sh_2 \ D = [(sfs, Processing)]);$
 $size \ vs = size \ Ts; ls_2' = vs @ replicate (max-vars \ body) \ undefined;$
 $P \vdash_1 \langle body, (h_2, ls_2', sh_2) \rangle \Rightarrow \langle e', (h_3, ls_3, sh_3) \rangle \]$
 $\implies P \vdash_1 \langle C \cdot_s M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, ls_2, sh_3) \rangle$
| *Block*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle e', s_1 \rangle \implies P \vdash_1 \langle Block \ i \ T \ e, s_0 \rangle \Rightarrow \langle e', s_1 \rangle$
| *Seq*₁:
 $\| P \vdash_1 \langle e_0, s_0 \rangle \Rightarrow \langle Val \ v, s_1 \rangle; P \vdash_1 \langle e_1, s_1 \rangle \Rightarrow \langle e_2, s_2 \rangle \]$
 $\implies P \vdash_1 \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle e_2, s_2 \rangle$
| *SeqThrow*₁:
 $P \vdash_1 \langle e_0, s_0 \rangle \Rightarrow \langle throw \ e, s_1 \rangle \implies$
 $P \vdash_1 \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle throw \ e, s_1 \rangle$
| *CondT*₁:
 $\| P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P \vdash_1 \langle e_1, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \]$
 $\implies P \vdash_1 \langle if \ (e) \ e_1 \ else \ e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle$
| *CondF*₁:
 $\| P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle false, s_1 \rangle; P \vdash_1 \langle e_2, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \]$
 $\implies P \vdash_1 \langle if \ (e) \ e_1 \ else \ e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle$
| *CondThrow*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle \implies$
 $P \vdash_1 \langle if \ (e) \ e_1 \ else \ e_2, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle$
| *WhileF*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle false, s_1 \rangle \implies$
 $P \vdash_1 \langle while \ (e) \ c, s_0 \rangle \Rightarrow \langle unit, s_1 \rangle$
| *WhileT*₁:
 $\| P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P \vdash_1 \langle c, s_1 \rangle \Rightarrow \langle Val \ v_1, s_2 \rangle;$
 $P \vdash_1 \langle while \ (e) \ c, s_2 \rangle \Rightarrow \langle e_3, s_3 \rangle \]$
 $\implies P \vdash_1 \langle while \ (e) \ c, s_0 \rangle \Rightarrow \langle e_3, s_3 \rangle$
| *WhileCondThrow*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle \implies$
 $P \vdash_1 \langle while \ (e) \ c, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle$
| *WhileBodyThrow*₁:
 $\| P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P \vdash_1 \langle c, s_1 \rangle \Rightarrow \langle throw \ e', s_2 \rangle \]$
 $\implies P \vdash_1 \langle while \ (e) \ c, s_0 \rangle \Rightarrow \langle throw \ e', s_2 \rangle$
| *Throw*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle addr \ a, s_1 \rangle \implies$
 $P \vdash_1 \langle throw \ e, s_0 \rangle \Rightarrow \langle Throw \ a, s_1 \rangle$
| *ThrowNull*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle null, s_1 \rangle \implies$
 $P \vdash_1 \langle throw \ e, s_0 \rangle \Rightarrow \langle THROW \ NullPointer, s_1 \rangle$
| *ThrowThrow*₁:
 $P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle \implies$
 $P \vdash_1 \langle throw \ e, s_0 \rangle \Rightarrow \langle throw \ e', s_1 \rangle$

| Try₁:

$$P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle Val v_1, s_1 \rangle \Rightarrow$$

$$P \vdash_1 \langle try e_1 catch(C i) e_2, s_0 \rangle \Rightarrow \langle Val v_1, s_1 \rangle$$

| TryCatch₁:

$$\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle Throw a, (h_1, ls_1, sh_1) \rangle;$$

$$h_1 a = Some(D, fs); P \vdash D \preceq^* C; i < length ls_1;$$

$$P \vdash_1 \langle e_2, (h_1, ls_1[i := Addr a], sh_1) \rangle \Rightarrow \langle e_2', (h_2, ls_2, sh_2) \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle try e_1 catch(C i) e_2, s_0 \rangle \Rightarrow \langle e_2', (h_2, ls_2, sh_2) \rangle$$

| TryThrow₁:

$$\llbracket P \vdash_1 \langle e_1, s_0 \rangle \Rightarrow \langle Throw a, (h_1, ls_1, sh_1) \rangle; h_1 a = Some(D, fs); \neg P \vdash D \preceq^* C \rrbracket$$

$$\Rightarrow P \vdash_1 \langle try e_1 catch(C i) e_2, s_0 \rangle \Rightarrow \langle Throw a, (h_1, ls_1, sh_1) \rangle$$

| Nil₁:

$$P \vdash_1 \langle \[], s \rangle \Rightarrow \llbracket \[], s \rrbracket$$

| Cons₁:

$$\llbracket P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle Val v, s_1 \rangle; P \vdash_1 \langle es, s_1 \rangle \Rightarrow \langle es', s_2 \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle e \# es, s_0 \rangle \Rightarrow \langle Val v \# es', s_2 \rangle$$

| ConsThrow₁:

$$P \vdash_1 \langle e, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \Rightarrow$$

$$P \vdash_1 \langle e \# es, s_0 \rangle \Rightarrow \langle throw e' \# es, s_1 \rangle$$

— init rules

| InitFinal₁:

$$P \vdash_1 \langle e, s \rangle \Rightarrow \langle e', s' \rangle \Rightarrow P \vdash_1 \langle INIT C (Nil, b) \leftarrow e, s \rangle \Rightarrow \langle e', s' \rangle$$

| InitNone₁:

$$\llbracket sh C = None; P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh(C \mapsto (sblank P C, Prepared))) \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitDone₁:

$$\llbracket sh C = Some(sfs, Done); P \vdash_1 \langle INIT C' (Cs, True) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitProcessing₁:

$$\llbracket sh C = Some(sfs, Processing); P \vdash_1 \langle INIT C' (Cs, True) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitError₁:

$$\llbracket sh C = Some(sfs, Error);$$

$$P \vdash_1 \langle RI (C, THROW NoClassDefFoundError); Cs \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitObject₁:

$$\llbracket sh C = Some(sfs, Prepared);$$

$$C = Object;$$

$$sh' = sh(C \mapsto (sfs, Processing));$$

$$P \vdash_1 \langle INIT C' (C \# Cs, True) \leftarrow e, (h, l, sh') \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitNonObject₁:

$$\llbracket sh C = Some(sfs, Prepared);$$

$$C \neq Object;$$

$$class P C = Some (D, r);$$

$$sh' = sh(C \mapsto (sfs, Processing));$$

$$P \vdash_1 \langle INIT C' (D \# C \# Cs, False) \leftarrow e, (h, l, sh') \rangle \Rightarrow \langle e', s' \rangle \rrbracket$$

$$\Rightarrow P \vdash_1 \langle INIT C' (C \# Cs, False) \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', s' \rangle$$

| InitRInit₁:

$$\begin{aligned} P \vdash_1 \langle RI(C, C \cdot_s clinit([])); Cs \leftarrow e, (h, l, sh) \rangle &\Rightarrow \langle e', s' \rangle \\ \implies P \vdash_1 \langle INIT C' (C \# Cs, True) \leftarrow e, (h, l, sh) \rangle &\Rightarrow \langle e', s' \rangle \end{aligned}$$

$$\begin{aligned} | RInit_1: & \\ & \llbracket P \vdash_1 \langle e, s \rangle \Rightarrow \langle Val v, (h', l', sh') \rangle; \\ & sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Done)); \\ & C' = last(C \# Cs); \\ & P \vdash_1 \langle INIT C' (Cs, True) \leftarrow e', (h', l', sh'') \rangle \Rightarrow \langle e_1, s_1 \rangle \rrbracket \\ \implies P \vdash_1 \langle RI(C, e); Cs \leftarrow e', s \rangle &\Rightarrow \langle e_1, s_1 \rangle \\ | RInitInitFail_1: & \\ & \llbracket P \vdash_1 \langle e, s \rangle \Rightarrow \langle throw a, (h', l', sh') \rangle; \\ & sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Error)); \\ & P \vdash_1 \langle RI(D, throw a); Cs \leftarrow e', (h', l', sh'') \rangle \Rightarrow \langle e_1, s_1 \rangle \rrbracket \\ \implies P \vdash_1 \langle RI(C, e); D \# Cs \leftarrow e', s \rangle &\Rightarrow \langle e_1, s_1 \rangle \\ | RInitFailFinal_1: & \\ & \llbracket P \vdash_1 \langle e, s \rangle \Rightarrow \langle throw a, (h', l', sh') \rangle; \\ & sh' C = Some(sfs, i); sh'' = sh'(C \mapsto (sfs, Error)) \rrbracket \\ \implies P \vdash_1 \langle RI(C, e); Nil \leftarrow e', s \rangle &\Rightarrow \langle throw a, (h', l', sh'') \rangle \end{aligned}$$

inductive-cases $eval_1$ -cases [cases set]:

$$\begin{aligned} P \vdash_1 \langle new C, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle Cast C e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle Val v, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e_1 \llcorner bop \lrcorner e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle Var v, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle V := e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e \cdot F\{D\}, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle C \cdot_s F\{D\}, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e_1 \cdot F\{D\} := e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle C \cdot_s F\{D\} := e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e \cdot M(es), s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle C \cdot_s M(es), s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle \{V:T;e_1\}, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e_1;;e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle if (e) e_1 else e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle while (b) c, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle throw e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle try e_1 catch(C V) e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle INIT C (Cs, b) \leftarrow e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle RI(C, e); Cs \leftarrow e_0, s \rangle &\Rightarrow \langle e', s' \rangle \end{aligned}$$

inductive-cases $evals_1$ -cases [cases set]:

$$\begin{aligned} P \vdash_1 \langle [], s \rangle &\Rightarrow \langle e', s' \rangle \\ P \vdash_1 \langle e \# es, s \rangle &\Rightarrow \langle e', s' \rangle \end{aligned}$$

lemma $eval_1$ -final: $P \vdash_1 \langle e, s \rangle \Rightarrow \langle e', s' \rangle \implies \text{final } e'$

and $evals_1$ -final: $P \vdash_1 \langle es, s \rangle \Rightarrow \langle es', s' \rangle \implies \text{finals } es' \langle proof \rangle$

lemma $eval_1$ -final-same:

assumes eval: $P \vdash_1 \langle e, s \rangle \Rightarrow \langle e', s' \rangle$ **shows** final $e \implies e = e' \wedge s = s' \langle proof \rangle$

3.1.1 Property preservation

lemma eval₁-preserves-len:

$$P \vdash_1 \langle e_0, (h_0, ls_0, sh_0) \rangle \Rightarrow \langle e_1, (h_1, ls_1, sh_1) \rangle \implies \text{length } ls_0 = \text{length } ls_1$$

and evals₁-preserves-len:

$$P \vdash_1 \langle es_0, (h_0, ls_0, sh_0) \rangle \Rightarrow \langle es_1, (h_1, ls_1, sh_1) \rangle \implies \text{length } ls_0 = \text{length } ls_1 \langle \text{proof} \rangle$$

lemma evals₁-preserves-elen:

$$\wedge es' s s'. P \vdash_1 \langle es, s \rangle \Rightarrow \langle es', s' \rangle \implies \text{length } es = \text{length } es' \langle \text{proof} \rangle$$

lemma clinit₁-loc-pres:

$$P \vdash_1 \langle C_0 \cdot_s \text{clinit}(\[]), (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \implies l = l'$$

$\langle \text{proof} \rangle$

lemma

shows init₁-ri₁-same-loc: $P \vdash_1 \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$

$$\begin{aligned} &\implies (\wedge C Cs b M a. e = \text{INIT } C (Cs, b) \leftarrow \text{unit} \vee e = C \cdot_s M (\[]) \vee e = \text{RI } (C, \text{Throw } a) ; Cs \leftarrow \text{unit} \\ &\quad \vee e = \text{RI } (C, C \cdot_s \text{clinit}(\[])) ; Cs \leftarrow \text{unit} \\ &\quad \implies l = l' \end{aligned}$$

and $P \vdash_1 \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \implies \text{True}$
 $\langle \text{proof} \rangle$

lemma init₁-same-loc: $P \vdash_1 \langle \text{INIT } C (Cs, b) \leftarrow \text{unit}, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \implies l = l'$
 $\langle \text{proof} \rangle$

theorem eval₁-hext: $P \vdash_1 \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \implies h \trianglelefteq h'$

and evals₁-hext: $P \vdash_1 \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle \implies h \trianglelefteq h' \langle \text{proof} \rangle$

3.1.2 Initialization

lemma rinit₁-throw:

$$\begin{aligned} P_1 \vdash_1 \langle \text{RI } (D, \text{Throw } xa) ; Cs \leftarrow e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle \\ \implies e' = \text{Throw } xa \end{aligned}$$

$\langle \text{proof} \rangle$

lemma rinit₁-throwE:

$$\begin{aligned} P \vdash_1 \langle \text{RI } (C, \text{throw } e) ; Cs \leftarrow e_0, s \rangle \Rightarrow \langle e', s' \rangle \\ \implies \exists a s_t. e' = \text{throw } a \wedge P \vdash_1 \langle \text{throw } e, s \rangle \Rightarrow \langle \text{throw } a, s_t \rangle \end{aligned}$$

end

3.2 Well-Formedness of Intermediate Language

theory J1WellForm

imports ..//J/JWellForm J1

begin

3.2.1 Well-Typedness

type-synonym

$env_1 = ty \ list$ — type environment indexed by variable number

inductive

$WT_1 :: [J_1\text{-}prog, env_1, expr_1, ty] \Rightarrow \text{bool}$
 $(\langle(-, - \vdash_1 / - :: -) \rangle [51, 51, 51] 50)$
and $WTs_1 :: [J_1\text{-}prog, env_1, expr_1\ list, ty\ list] \Rightarrow \text{bool}$
 $(\langle(-, - \vdash_1 / - [::] -) \rangle [51, 51, 51] 50)$
for $P :: J_1\text{-}prog$
where

$WTNew_1:$
 $\text{is-class } P\ C \implies P, E \vdash_1 \text{new } C :: \text{Class } C$

- | $WTCast_1:$
 $\llbracket P, E \vdash_1 e :: \text{Class } D; \text{ is-class } P\ C; \ P \vdash C \preceq^* D \vee P \vdash D \preceq^* C \rrbracket$
 $\implies P, E \vdash_1 \text{Cast } C\ e :: \text{Class } C$
- | $WTVal_1:$
 $\text{typeof } v = \text{Some } T \implies P, E \vdash_1 \text{Val } v :: T$
- | $WTVar_1:$
 $\llbracket E!i = T; i < \text{size } E \rrbracket$
 $\implies P, E \vdash_1 \text{Var } i :: T$
- | $WTBinOp_1:$
 $\llbracket P, E \vdash_1 e_1 :: T_1; \ P, E \vdash_1 e_2 :: T_2;$
 $\text{case } bop \text{ of } Eq \Rightarrow (P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1) \wedge T = \text{Boolean}$
 $\quad \mid Add \Rightarrow T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} \rrbracket$
 $\implies P, E \vdash_1 e_1 \llcorner bop \lrcorner e_2 :: T$
- | $WTLAss_1:$
 $\llbracket E!i = T; i < \text{size } E; P, E \vdash_1 e :: T'; \ P \vdash T' \leq T \rrbracket$
 $\implies P, E \vdash_1 i := e :: \text{Void}$
- | $WTFAcc_1:$
 $\llbracket P, E \vdash_1 e :: \text{Class } C; \ P \vdash C \text{ sees } F, \text{NonStatic}:T \text{ in } D \rrbracket$
 $\implies P, E \vdash_1 e \cdot F\{D\} :: T$
- | $WTsFAcc_1:$
 $\llbracket P \vdash C \text{ sees } F, \text{Static}:T \text{ in } D \rrbracket$
 $\implies P, E \vdash_1 C \cdot_s F\{D\} :: T$
- | $WTFAss_1:$
 $\llbracket P, E \vdash_1 e_1 :: \text{Class } C; \ P \vdash C \text{ sees } F, \text{NonStatic}:T \text{ in } D; \ P, E \vdash_1 e_2 :: T'; \ P \vdash T' \leq T \rrbracket$
 $\implies P, E \vdash_1 e_1 \cdot F\{D\} := e_2 :: \text{Void}$
- | $WTsFAss_1:$
 $\llbracket P \vdash C \text{ sees } F, \text{Static}:T \text{ in } D; \ P, E \vdash_1 e_2 :: T'; \ P \vdash T' \leq T \rrbracket$
 $\implies P, E \vdash_1 C \cdot_s F\{D\} := e_2 :: \text{Void}$
- | $WTCall_1:$
 $\llbracket P, E \vdash_1 e :: \text{Class } C; \ P \vdash C \text{ sees } M, \text{NonStatic}:Ts' \rightarrow T = m \text{ in } D;$
 $P, E \vdash_1 es [::] Ts; \ P \vdash Ts [\leq] Ts' \rrbracket$
 $\implies P, E \vdash_1 e \cdot M(es) :: T$

- | $WTSCall_1:$
 $\llbracket P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = m \text{ in } D; P, E \vdash_1 es :: Ts'; P \vdash Ts' [\leq] Ts; M \neq \text{clinit} \rrbracket$
 $\implies P, E \vdash_1 C \cdot_s M(es) :: T$
 - | $WTBlock_1:$
 $\llbracket \text{is-type } P \ T; P, E @ [T] \vdash_1 e :: T' \rrbracket$
 $\implies P, E \vdash_1 \{i:T; e\} :: T'$
 - | $WTSeq_1:$
 $\llbracket P, E \vdash_1 e_1 :: T_1; P, E \vdash_1 e_2 :: T_2 \rrbracket$
 $\implies P, E \vdash_1 e_1;; e_2 :: T_2$
 - | $WTCond_1:$
 $\llbracket P, E \vdash_1 e :: \text{Boolean}; P, E \vdash_1 e_1 :: T_1; P, E \vdash_1 e_2 :: T_2;$
 $P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1; P \vdash T_1 \leq T_2 \longrightarrow T = T_2; P \vdash T_2 \leq T_1 \longrightarrow T = T_1 \rrbracket$
 $\implies P, E \vdash_1 \text{if } (e) \ e_1 \text{ else } e_2 :: T$
 - | $WTWhile_1:$
 $\llbracket P, E \vdash_1 e :: \text{Boolean}; P, E \vdash_1 c :: T \rrbracket$
 $\implies P, E \vdash_1 \text{while } (e) \ c :: \text{Void}$
 - | $WTThrow_1:$
 $P, E \vdash_1 e :: \text{Class } C \implies P, E \vdash_1 \text{throw } e :: \text{Void}$
 - | $WTTry_1:$
 $\llbracket P, E \vdash_1 e_1 :: T; P, E @ [\text{Class } C] \vdash_1 e_2 :: T; \text{is-class } P \ C \rrbracket$
 $\implies P, E \vdash_1 \text{try } e_1 \text{ catch}(C i) e_2 :: T$
 - | $WTNil_1:$
 $P, E \vdash_1 [] :: []$
 - | $WTCons_1:$
 $\llbracket P, E \vdash_1 e :: T; P, E \vdash_1 es :: Ts \rrbracket$
 $\implies P, E \vdash_1 e \# es :: T \# Ts$
- lemma** $\text{init-}n\text{WT}_1$ [*simp*]: $\neg P, E \vdash_1 \text{INIT } C (Cs, b) \leftarrow e :: T$
(proof)
- lemma** $r\text{init-}n\text{WT}_1$ [*simp*]: $\neg P, E \vdash_1 \text{RI}(C, e); Cs \leftarrow e' :: T$
(proof)
- lemma** $WTs_1\text{-same-size}$: $\bigwedge Ts. P, E \vdash_1 es :: Ts \implies \text{size } es = \text{size } Ts$ *(proof)*
- lemma** $WT_1\text{-unique}$:
 $P, E \vdash_1 e :: T_1 \implies (\bigwedge T_2. P, E \vdash_1 e :: T_2 \implies T_1 = T_2)$ **and**
 $WTs_1\text{-unique}$: $P, E \vdash_1 es :: Ts_1 \implies (\bigwedge Ts_2. P, E \vdash_1 es :: Ts_2 \implies Ts_1 = Ts_2)$ *(proof)*
- lemma** **assumes** wf : $wf\text{-prog } p \ P$
shows $WT_1\text{-is-type}$: $P, E \vdash_1 e :: T \implies \text{set } E \subseteq \text{types } P \implies \text{is-type } P \ T$
and $P, E \vdash_1 es :: Ts \implies \text{True}$ *(proof)*
- lemma** $WT_1\text{-nsub-RI}$: $P, E \vdash_1 e :: T \implies \neg \text{sub-RI } e$
and $WTs_1\text{-nsub-RIs}$: $P, E \vdash_1 es :: Ts \implies \neg \text{sub-RIs } es$

$\langle proof \rangle$

3.2.2 Runtime Well-Typedness

inductive

$WTrt_1 :: J_1\text{-prog} \Rightarrow heap \Rightarrow sheap \Rightarrow env_1 \Rightarrow expr_1 \Rightarrow ty \Rightarrow bool$
and $WTrts_1 :: J_1\text{-prog} \Rightarrow heap \Rightarrow sheap \Rightarrow env_1 \Rightarrow expr_1 \text{ list} \Rightarrow ty \text{ list} \Rightarrow bool$
and $WTrt2_1 :: [J_1\text{-prog}, env_1, heap, sheap, expr_1, ty] \Rightarrow bool$
 $(\langle -, -, -, - \vdash_1 - : \rightarrow [51, 51, 51, 51] 50)$
and $WTrts2_1 :: [J_1\text{-prog}, env_1, heap, sheap, expr_1 \text{ list}, ty \text{ list}] \Rightarrow bool$
 $(\langle -, -, -, - \vdash_1 - [:] \rightarrow [51, 51, 51, 51] 50)$
for $P :: J_1\text{-prog}$ **and** $h :: heap$ **and** $sh :: sheap$

where

$P, E, h, sh \vdash_1 e : T \equiv WTrt_1 P h sh E e T$
 $| P, E, h, sh \vdash_1 es[:] Ts \equiv WTrts_1 P h sh E es Ts$

$| WTrtNew_1:$
 $is\text{-class } P C \implies P, E, h, sh \vdash_1 new C : Class C$

$| WTrtCast_1:$
 $\llbracket P, E, h, sh \vdash_1 e : T; is\text{-refT } T; is\text{-class } P C \rrbracket \implies P, E, h, sh \vdash_1 Cast C e : Class C$

$| WTrtVal_1:$
 $typeof_h v = Some T \implies P, E, h, sh \vdash_1 Val v : T$

$| WTrtVar_1:$
 $\llbracket E!i = T; i < size E \rrbracket \implies P, E, h, sh \vdash_1 Var i : T$

$| WTrtBinOpEq_1:$
 $\llbracket P, E, h, sh \vdash_1 e_1 : T_1; P, E, h, sh \vdash_1 e_2 : T_2 \rrbracket \implies P, E, h, sh \vdash_1 e_1 \llcorner Eq \llcorner e_2 : Boolean$

$| WTrtBinOpAdd_1:$
 $\llbracket P, E, h, sh \vdash_1 e_1 : Integer; P, E, h, sh \vdash_1 e_2 : Integer \rrbracket \implies P, E, h, sh \vdash_1 e_1 \llcorner Add \llcorner e_2 : Integer$

$| WTrtLAss_1:$
 $\llbracket E!i = T; i < size E; P, E, h, sh \vdash_1 e : T'; P \vdash T' \leq T \rrbracket \implies P, E, h, sh \vdash_1 i := e : Void$

$| WTrtFAcc_1:$
 $\llbracket P, E, h, sh \vdash_1 e : Class C; P \vdash C \text{ has } F, NonStatic: T \text{ in } D \rrbracket \implies P, E, h, sh \vdash_1 e \cdot F\{D\} : T$

$| WTrtFAccNT_1:$
 $P, E, h, sh \vdash_1 e : NT \implies P, E, h, sh \vdash_1 e \cdot F\{D\} : T$

$| WTrtSFAcc_1:$

- $\llbracket P \vdash C \text{ has } F, \text{Static}:T \text{ in } D \rrbracket \implies P, E, h, sh \vdash_1 C \cdot_s F\{D\} : T$
- | $WTrtFAss_1:$
 - $\llbracket P, E, h, sh \vdash_1 e_1 : \text{Class } C; P \vdash C \text{ has } F, \text{NonStatic}:T \text{ in } D; P, E, h, sh \vdash_1 e_2 : T_2; P \vdash T_2 \leq T \rrbracket \implies P, E, h, sh \vdash_1 e_1 \cdot F\{D\} := e_2 : \text{Void}$
- | $WTrtFAssNT_1:$
 - $\llbracket P, E, h, sh \vdash_1 e_1 : NT; P, E, h, sh \vdash_1 e_2 : T_2 \rrbracket \implies P, E, h, sh \vdash_1 e_1 \cdot F\{D\} := e_2 : \text{Void}$
- | $WTrtSFAss_1:$
 - $\llbracket P, E, h, sh \vdash_1 e_2 : T_2; P \vdash C \text{ has } F, \text{Static}:T \text{ in } D; P \vdash T_2 \leq T \rrbracket \implies P, E, h, sh \vdash_1 C \cdot_s F\{D\} := e_2 : \text{Void}$
- | $WTrtCall_1:$
 - $\llbracket P, E, h, sh \vdash_1 e : \text{Class } C; P \vdash C \text{ sees } M, \text{NonStatic}:Ts \rightarrow T = m \text{ in } D; P, E, h, sh \vdash_1 es [:] Ts'; P \vdash Ts' [\leq] Ts \rrbracket \implies P, E, h, sh \vdash_1 e \cdot M(es) : T$
- | $WTrtCallNT_1:$
 - $\llbracket P, E, h, sh \vdash_1 e : NT; P, E, h, sh \vdash_1 es [:] Ts \rrbracket \implies P, E, h, sh \vdash_1 e \cdot M(es) : T$
- | $WTrtSCall_1:$
 - $\llbracket P \vdash C \text{ sees } M, \text{Static}:Ts \rightarrow T = m \text{ in } D; P, E, h, sh \vdash_1 es [:] Ts'; P \vdash Ts' [\leq] Ts; M = \text{clinit} \longrightarrow sh D = \lfloor (sfs, \text{Processing}) \rfloor \wedge es = \text{map Val vs} \rrbracket \implies P, E, h, sh \vdash_1 C \cdot_s M(es) : T$
- | $WTrtBlock_1:$
 - $P, E @ [T], h, sh \vdash_1 e : T' \implies P, E, h, sh \vdash_1 \{ i:T; e \} : T'$
- | $WTrtSeq_1:$
 - $\llbracket P, E, h, sh \vdash_1 e_1 : T_1; P, E, h, sh \vdash_1 e_2 : T_2 \rrbracket \implies P, E, h, sh \vdash_1 e_1 ; e_2 : T_2$
- | $WTrtCond_1:$
 - $\llbracket P, E, h, sh \vdash_1 e : \text{Boolean}; P, E, h, sh \vdash_1 e_1 : T_1; P, E, h, sh \vdash_1 e_2 : T_2; P \vdash T_1 \leq T_2 \vee P \vdash T_2 \leq T_1; P \vdash T_1 \leq T_2 \longrightarrow T = T_2; P \vdash T_2 \leq T_1 \longrightarrow T = T_1 \rrbracket \implies P, E, h, sh \vdash_1 \text{if } (e) e_1 \text{ else } e_2 : T$
- | $WTrtWhile_1:$
 - $\llbracket P, E, h, sh \vdash_1 e : \text{Boolean}; P, E, h, sh \vdash_1 c : T \rrbracket \implies P, E, h, sh \vdash_1 \text{while}(e) c : \text{Void}$
- | $WTrtThrow_1:$
 - $\llbracket P, E, h, sh \vdash_1 e : T_r; \text{is-refT } T_r \rrbracket \implies P, E, h, sh \vdash_1 \text{throw } e : T$
- | $WTrtTry_1:$
 - $\llbracket P, E, h, sh \vdash_1 e_1 : T_1; P, E @ [\text{Class } C], h, sh \vdash_1 e_2 : T_2; P \vdash T_1 \leq T_2 \rrbracket \implies P, E, h, sh \vdash_1 \text{try } e_1 \text{ catch}(C i) e_2 : T_2$

| $WTrtInit_1$:

$$\llbracket P, E, h, sh \vdash_1 e : T; \forall C' \in set(C\#Cs). is-class P C'; \neg sub\text{-}RI e;$$

$$\quad \forall C' \in set(tl Cs). \exists sfs. sh C' = \lfloor(sfs, Processing)\rfloor;$$

$$\quad b \longrightarrow (\forall C' \in set Cs. \exists sfs. sh C' = \lfloor(sfs, Processing)\rfloor);$$

$$distinct Cs; supercls-lst P Cs \rrbracket$$

$$\implies P, E, h, sh \vdash_1 INIT C (Cs, b) \leftarrow e : T$$

| $WTrtRI_1$:

$$\llbracket P, E, h, sh \vdash_1 e : T; P, E, h, sh \vdash_1 e' : T'; \forall C' \in set(C\#Cs). is-class P C'; \neg sub\text{-}RI e';$$

$$\quad \forall C' \in set(C\#Cs). not-init C' e;$$

$$\quad \forall C' \in set Cs. \exists sfs. sh C' = \lfloor(sfs, Processing)\rfloor;$$

$$\exists sfs. sh C = \lfloor(sfs, Processing)\rfloor \vee (sh C = \lfloor(sfs, Error)\rfloor \wedge e = THROW NoClassDefFoundError);$$

$$distinct (C\#Cs); supercls-lst P (C\#Cs) \rrbracket$$

$$\implies P, E, h, sh \vdash_1 RI(C, e); Cs \leftarrow e' : T'$$

— well-typed expression lists

| $WTrtNil_1$:

$$P, E, h, sh \vdash_1 [] [:] []$$

| $WTrtCons_1$:

$$\llbracket P, E, h, sh \vdash_1 e : T; P, E, h, sh \vdash_1 es [:] Ts \rrbracket$$

$$\implies P, E, h, sh \vdash_1 e \# es [:] T \# Ts$$

lemma $WT_1\text{-implies-}WTrt_1: P, E \vdash_1 e :: T \implies P, E, h, sh \vdash_1 e : T$
and $Wts_1\text{-implies-}WTrts_1: P, E \vdash_1 es [:] Ts \implies P, E, h, sh \vdash_1 es [:] Ts \langle proof \rangle$

3.2.3 Well-formedness

```

primrec  $\mathcal{B} :: expr_1 \Rightarrow nat \Rightarrow bool$ 
and  $\mathcal{B}s :: expr_1 list \Rightarrow nat \Rightarrow bool$  where
 $\mathcal{B} (new C) i = True \mid$ 
 $\mathcal{B} (Cast C e) i = \mathcal{B} e i \mid$ 
 $\mathcal{B} (Val v) i = True \mid$ 
 $\mathcal{B} (e_1 \llcorner bop \llcorner e_2) i = (\mathcal{B} e_1 i \wedge \mathcal{B} e_2 i) \mid$ 
 $\mathcal{B} (Var j) i = True \mid$ 
 $\mathcal{B} (e \cdot F\{D\}) i = \mathcal{B} e i \mid$ 
 $\mathcal{B} (C \cdot_s F\{D\}) i = True \mid$ 
 $\mathcal{B} (j := e) i = \mathcal{B} e i \mid$ 
 $\mathcal{B} (e_1 \cdot F\{D\} := e_2) i = (\mathcal{B} e_1 i \wedge \mathcal{B} e_2 i) \mid$ 
 $\mathcal{B} (C \cdot_s F\{D\} := e_2) i = \mathcal{B} e_2 i \mid$ 
 $\mathcal{B} (e \cdot M(es)) i = (\mathcal{B} e i \wedge \mathcal{B}s es i) \mid$ 
 $\mathcal{B} (C \cdot_s M(es)) i = \mathcal{B}s es i \mid$ 
 $\mathcal{B} (\{j:T ; e\}) i = (i = j \wedge \mathcal{B} e (i+1)) \mid$ 
 $\mathcal{B} (e_1 ; e_2) i = (\mathcal{B} e_1 i \wedge \mathcal{B} e_2 i) \mid$ 
 $\mathcal{B} (if (e) e_1 else e_2) i = (\mathcal{B} e i \wedge \mathcal{B} e_1 i \wedge \mathcal{B} e_2 i) \mid$ 
 $\mathcal{B} (throw e) i = \mathcal{B} e i \mid$ 
 $\mathcal{B} (while (e) c) i = (\mathcal{B} e i \wedge \mathcal{B} c i) \mid$ 
 $\mathcal{B} (try e_1 catch(C j) e_2) i = (\mathcal{B} e_1 i \wedge i=j \wedge \mathcal{B} e_2 (i+1)) \mid$ 
 $\mathcal{B} (INIT C (Cs, b) \leftarrow e) i = \mathcal{B} e i \mid$ 
 $\mathcal{B} (RI(C, e); Cs \leftarrow e') i = (\mathcal{B} e i \wedge \mathcal{B} e' i) \mid$ 
 $\mathcal{B}s [] i = True \mid$ 

```

$$\mathcal{B}s\ (e \# es)\ i = (\mathcal{B}\ e\ i \wedge \mathcal{B}s\ es\ i)$$

definition *wf-J₁-mdecl* :: *J₁-prog* \Rightarrow *cname* \Rightarrow *expr₁* *mdecl* \Rightarrow *bool*

where

$$\begin{aligned} wf\text{-}J_1\text{-}mdecl\ P\ C &\equiv \lambda(M,b,Ts,body). \\ &\quad \neg\text{sub-RI body} \wedge \\ &\quad (\text{case } b \text{ of} \\ &\quad \quad NonStatic \Rightarrow \\ &\quad \quad (\exists T'. P, Class\ C \# Ts \vdash_1 body :: T' \wedge P \vdash T' \leq T) \wedge \\ &\quad \quad D\ body\ [..size\ Ts] \wedge \mathcal{B}\ body\ (size\ Ts + 1) \\ &\quad \mid Static \Rightarrow (\exists T'. P, Ts \vdash_1 body :: T' \wedge P \vdash T' \leq T) \wedge \\ &\quad \quad D\ body\ [..<size\ Ts] \wedge \mathcal{B}\ body\ (size\ Ts)) \end{aligned}$$

lemma *wf-J₁-mdecl-NonStatic[simp]*:

$$\begin{aligned} wf\text{-}J_1\text{-}mdecl\ P\ C\ (M, NonStatic, Ts, T, body) &\equiv \\ &\quad (\neg\text{sub-RI body} \wedge \\ &\quad (\exists T'. P, Class\ C \# Ts \vdash_1 body :: T' \wedge P \vdash T' \leq T) \wedge \\ &\quad D\ body\ [..size\ Ts] \wedge \mathcal{B}\ body\ (size\ Ts + 1)) \langle proof \rangle \end{aligned}$$

lemma *wf-J₁-mdecl-Static[simp]*:

$$\begin{aligned} wf\text{-}J_1\text{-}mdecl\ P\ C\ (M, Static, Ts, T, body) &\equiv \\ &\quad (\neg\text{sub-RI body} \wedge \\ &\quad (\exists T'. P, Ts \vdash_1 body :: T' \wedge P \vdash T' \leq T) \wedge \\ &\quad D\ body\ [..<size\ Ts] \wedge \mathcal{B}\ body\ (size\ Ts)) \langle proof \rangle \end{aligned}$$

abbreviation *wf-J₁-prog* == *wf-prog* *wf-J₁-mdecl*

lemma *sees-wf₁-nsub-RI*:

assumes *wf*: *wf-J₁-prog* *P* **and** *cM*: *P* \vdash *C sees M,b : Ts* \rightarrow *T = body in D*
shows $\neg\text{sub-RI body}$
 $\langle proof \rangle$

lemma *wf₁-types-clinit*:

assumes *wf:wf-prog wf-md* *P* **and** *ex: class P C = Some a* **and** *proc: sh C = [(sfs, Processing)]*
shows *P,E,h,sh* $\vdash_1 C \cdot_s clinit([]) : Void$
 $\langle proof \rangle$

lemma assumes *wf: wf-J₁-prog P*

shows eval₁-proc-pres: *P* $\vdash_1 \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle
 $\implies \text{not-init } C\ e \implies \exists sfs.\ sh\ C = [(sfs, Processing)] \implies \exists sfs'. sh'\ C = [(sfs', Processing)]$
and evals₁-proc-pres: *P* $\vdash_1 \langle es, (h, l, sh) \rangle \implies \langle es', (h', l', sh') \rangle
 $\implies \text{not-inits } C\ es \implies \exists sfs.\ sh\ C = [(sfs, Processing)] \implies \exists sfs'. sh'\ C = [(sfs', Processing)] \langle proof \rangle$$$

lemma *clinit₁-proc-pres*:

$$\begin{aligned} &\llbracket wf\text{-}J_1\text{-}prog\ P; P \vdash_1 \langle C_0 \cdot_s clinit([]), (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle; \\ &\quad sh\ C' = [(sfs, Processing)] \rrbracket \\ &\implies \exists sfs.\ sh'\ C' = [(sfs, Processing)] \end{aligned}$$

$\langle proof \rangle$

end

3.3 Program Compilation

theory *PCompiler*

```

imports .. / Common / WellForm
begin

definition compM :: (staticb  $\Rightarrow$  'a  $\Rightarrow$  'b)  $\Rightarrow$  'a mdecl  $\Rightarrow$  'b mdecl
where
  compM f  $\equiv$   $\lambda(M, b, Ts, T, m). (M, b, Ts, T, f b m)$ 

definition compC :: (staticb  $\Rightarrow$  'a  $\Rightarrow$  'b)  $\Rightarrow$  'a cdecl  $\Rightarrow$  'b cdecl
where
  compC f  $\equiv$   $\lambda(C, D, Fdecls, Mdecls). (C, D, Fdecls, map (compM f) Mdecls)$ 

definition compP :: (staticb  $\Rightarrow$  'a  $\Rightarrow$  'b)  $\Rightarrow$  'a prog  $\Rightarrow$  'b prog
where
  compP f  $\equiv$  map (compC f)

```

Compilation preserves the program structure. Therefore lookup functions either commute with compilation (like method lookup) or are preserved by it (like the subclass relation).

```

lemma map-of-map4:
  map-of (map ( $\lambda(x, a, b, c).(x, a, b, f c)$ ) ts) =
  map-option ( $\lambda(a, b, c).(a, b, f c)$ )  $\circ$  (map-of ts) $\langle proof \rangle$ 

lemma map-of-map245:
  map-of (map ( $\lambda(x, a, b, c, d).(x, a, b, c, f a c d)$ ) ts) =
  map-option ( $\lambda(a, b, c, d).(a, b, c, f a c d)$ )  $\circ$  (map-of ts) $\langle proof \rangle$ 

lemma class-compP:
  class P C = Some (D, fs, ms)
   $\Rightarrow$  class (compP f P) C = Some (D, fs, map (compM f) ms) $\langle proof \rangle$ 

lemma class-compPD:
  class (compP f P) C = Some (D, fs, cms)
   $\Rightarrow$   $\exists ms.$  class P C = Some(D, fs, ms)  $\wedge$  cms = map (compM f) ms $\langle proof \rangle$ 

lemma [simp]: is-class (compP f P) C = is-class P C $\langle proof \rangle$ 

lemma [simp]: class (compP f P) C = map-option ( $\lambda c.$  snd(compC f (C, c))) (class P C) $\langle proof \rangle$ 

lemma sees-methods-compP:
  P  $\vdash$  C sees-methods Mm  $\Rightarrow$ 
  compP f P  $\vdash$  C sees-methods (map-option ( $\lambda((b, Ts, T, m), D).$  ((b, Ts, T, f b m), D))  $\circ$  Mm) $\langle proof \rangle$ 

lemma sees-method-compP:
  P  $\vdash$  C sees M, b: Ts  $\rightarrow$  T = m in D  $\Rightarrow$ 
  compP f P  $\vdash$  C sees M, b: Ts  $\rightarrow$  T = (f b m) in D $\langle proof \rangle$ 

lemma [simp]:
  P  $\vdash$  C sees M, b: Ts  $\rightarrow$  T = m in D  $\Rightarrow$ 
  method (compP f P) C M = (D, b, Ts, T, f b m) $\langle proof \rangle$ 

lemma sees-methods-compPD:
  [ cP  $\vdash$  C sees-methods Mm'; cP = compP f P ]  $\Rightarrow$ 
   $\exists Mm.$  P  $\vdash$  C sees-methods Mm  $\wedge$ 
  Mm' = (map-option ( $\lambda((b, Ts, T, m), D).$  ((b, Ts, T, f b m), D))  $\circ$  Mm) $\langle proof \rangle$ 

lemma sees-method-compPD:

```

$\text{compP } f P \vdash C \text{ sees } M, b: Ts \rightarrow T = fm \text{ in } D \implies$
 $\exists m. P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } D \wedge f b m = fm \langle proof \rangle$

lemma [simp]: $\text{subcls1}(\text{compP } f P) = \text{subcls1 } P \langle proof \rangle$

lemma $\text{compP-widen}[\text{simp}]$: $(\text{compP } f P \vdash T \leq T') = (P \vdash T \leq T') \langle proof \rangle$

lemma [simp]: $(\text{compP } f P \vdash Ts \leq Ts') = (P \vdash Ts \leq Ts') \langle proof \rangle$

lemma [simp]: $\text{is-type } (\text{compP } f P) T = \text{is-type } P T \langle proof \rangle$

lemma [simp]: $(\text{compP } (f :: \text{staticb} \Rightarrow 'a \Rightarrow 'b) P \vdash C \text{ has-fields } FDTs) = (P \vdash C \text{ has-fields } FDTs) \langle proof \rangle$

lemma $\text{fields-compP}[\text{simp}]$: $\text{fields } (\text{compP } f P) C = \text{fields } P C \langle proof \rangle$
lemma $\text{ifields-compP}[\text{simp}]$: $\text{ifields } (\text{compP } f P) C = \text{ifields } P C \langle proof \rangle$
lemma $\text{blank-compP}[\text{simp}]$: $\text{blank } (\text{compP } f P) C = \text{blank } P C \langle proof \rangle$
lemma $\text{isfields-compP}[\text{simp}]$: $\text{isfields } (\text{compP } f P) C = \text{isfields } P C \langle proof \rangle$
lemma $\text{sblank-compP}[\text{simp}]$: $\text{sblank } (\text{compP } f P) C = \text{sblank } P C \langle proof \rangle$
lemma $\text{sees-fields-compP}[\text{simp}]$: $(\text{compP } f P \vdash C \text{ sees } F, b: T \text{ in } D) = (P \vdash C \text{ sees } F, b: T \text{ in } D) \langle proof \rangle$
lemma $\text{has-field-compP}[\text{simp}]$: $(\text{compP } f P \vdash C \text{ has } F, b: T \text{ in } D) = (P \vdash C \text{ has } F, b: T \text{ in } D) \langle proof \rangle$
lemma $\text{field-compP}[\text{simp}]$: $\text{field } (\text{compP } f P) F D = \text{field } P F D \langle proof \rangle$

3.3.1 Invariance of wf-prog under compilation

lemma [iff]: $\text{distinct-fst } (\text{compP } f P) = \text{distinct-fst } P \langle proof \rangle$

lemma [iff]: $\text{distinct-fst } (\text{map } (\text{compM } f) ms) = \text{distinct-fst } ms \langle proof \rangle$

lemma [iff]: $\text{wf-syscls } (\text{compP } f P) = \text{wf-syscls } P \langle proof \rangle$

lemma [iff]: $\text{wf-fdecl } (\text{compP } f P) = \text{wf-fdecl } P \langle proof \rangle$

lemma $\text{wf-clinit-compM}[\text{iff}]$: $\text{wf-clinit } (\text{map } (\text{compM } f) ms) = \text{wf-clinit } ms \langle proof \rangle$
lemma set-compP :
 $((C, D, fs, ms') \in \text{set } (\text{compP } f P)) =$
 $(\exists ms. (C, D, fs, ms) \in \text{set } P \wedge ms' = \text{map } (\text{compM } f) ms) \langle proof \rangle$

lemma wf-cdecl-compPI :
 $\llbracket \bigwedge C M b Ts T m.$
 $\llbracket \text{wf-mdecl } wf_1 P C (M, b, Ts, T, m); P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } C \rrbracket$
 $\implies \text{wf-mdecl } wf_2 (\text{compP } f P) C (M, b, Ts, T, f b m);$
 $\forall x \in \text{set } P. \text{wf-cdecl } wf_1 P x; x \in \text{set } (\text{compP } f P); \text{wf-prog } p P \rrbracket$
 $\implies \text{wf-cdecl } wf_2 (\text{compP } f P) x \langle proof \rangle$

lemma wf-prog-compPI :
assumes $lift$:
 $\bigwedge C M b Ts T m.$
 $\llbracket P \vdash C \text{ sees } M, b: Ts \rightarrow T = m \text{ in } C; \text{wf-mdecl } wf_1 P C (M, b, Ts, T, m) \rrbracket$
 $\implies \text{wf-mdecl } wf_2 (\text{compP } f P) C (M, b, Ts, T, f b m)$
and wf : $\text{wf-prog } wf_1 P$
shows $\text{wf-prog } wf_2 (\text{compP } f P) \langle proof \rangle$

end
theory Hidden
imports List-Index.List-Index

begin

definition *hidden* :: 'a list \Rightarrow nat \Rightarrow bool **where**
hidden *xs* *i* \equiv *i* $<$ *size xs* \wedge *xs!**i* \in *set*(*drop* (*i*+1) *xs*)

lemma *hidden-last-index*: *x* \in *set xs* \implies *hidden* (*xs* @ [x]) (*last-index xs x*)
{proof}

lemma *hidden-inacc*: *hidden xs i* \implies *last-index xs x* \neq *i*
{proof}

lemma [*simp*]: *hidden xs i* \implies *hidden* (*xs*@[x]) *i*
{proof}

lemma *fun-upds-apply*:
 $((m(xs[\mapsto]ys)))\ x =$
 $(let\ xs' = take\ (size\ ys)\ xs$
 $\quad in\ if\ x \in set\ xs'\ then\ Some(ys\ !\ last-index\ xs'\ x)\ else\ m\ x)$
{proof}

lemma *map-upds-apply-eq-Some*:
 $((m(xs[\mapsto]ys)))\ x = Some\ y =$
 $(let\ xs' = take\ (size\ ys)\ xs$
 $\quad in\ if\ x \in set\ xs'\ then\ ys\ !\ last-index\ xs'\ x = y\ else\ m\ x = Some\ y)$
{proof}

lemma *map-upds-upd-conv-last-index*:
 $\llbracket x \in set\ xs; size\ xs \leq size\ ys \rrbracket$
 $\implies m(xs[\mapsto]ys, x \mapsto y) = m(xs[\mapsto]ys[last-index\ xs\ x := y])$
{proof}

end

3.4 Compilation Stage 1

theory *Compiler1 imports PCompiler J1 Hidden begin*

Replacing variable names by indices.

```
primrec compE1 :: vname list  $\Rightarrow$  expr  $\Rightarrow$  expr1
and compEs1 :: vname list  $\Rightarrow$  expr list  $\Rightarrow$  expr1 list where
  compE1 Vs (new C) = new C
| compE1 Vs (Cast C e) = Cast C (compE1 Vs e)
| compE1 Vs (Val v) = Val v
| compE1 Vs (e1 «bop» e2) = (compE1 Vs e1) «bop» (compE1 Vs e2)
| compE1 Vs (Var V) = Var(last-index Vs V)
| compE1 Vs (V:=e) = (last-index Vs V):= (compE1 Vs e)
| compE1 Vs (e.F{D}) = (compE1 Vs e)•F{D}
| compE1 Vs (C.sF{D}) = C.sF{D}
```

```

| compE1 Vs (e1·F{D}:=e2) = (compE1 Vs e1)·F{D} := (compE1 Vs e2)
| compE1 Vs (C·sF{D}:=e2) = C·sF{D} := (compE1 Vs e2)
| compE1 Vs (e·M(es)) = (compE1 Vs e)·M(compEs1 Vs es)
| compE1 Vs (C·sM(es)) = C·sM(compEs1 Vs es)
| compE1 Vs {V:T; e} = {(size Vs):T; compE1 (Vs@[V]) e}
| compE1 Vs (e1;e2) = (compE1 Vs e1);;(compE1 Vs e2)
| compE1 Vs (if (e) e1 else e2) = if (compE1 Vs e) (compE1 Vs e1) else (compE1 Vs e2)
| compE1 Vs (while (e) c) = while (compE1 Vs e) (compE1 Vs c)
| compE1 Vs (throw e) = throw (compE1 Vs e)
| compE1 Vs (try e1 catch(C V) e2) =
  try(compE1 Vs e1) catch(C (size Vs)) (compE1 (Vs@[V]) e2)
| compE1 Vs (INIT C (Cs,b) ← e) = INIT C (Cs,b) ← (compE1 Vs e)
| compE1 Vs (RI(C,e);Cs ← e') = RI(C,(compE1 Vs e));Cs ← (compE1 Vs e')

| compEs1 Vs [] = []
| compEs1 Vs (e#es) = compE1 Vs e # compEs1 Vs es

```

lemma [simp]: compEs₁ Vs es = map (compE₁ Vs) es⟨proof⟩

lemma [simp]: \bigwedge Vs. sub-RI (compE₁ Vs e) = sub-RI e

and [simp]: \bigwedge Vs. sub-RIs (compEs₁ Vs es) = sub-RIs es
⟨proof⟩

primrec fin₁:: expr \Rightarrow expr₁ **where**

 fin₁(Val v) = Val v

| fin₁(throw e) = throw(fin₁ e)

lemma comp-final: final e \Rightarrow compE₁ Vs e = fin₁ e⟨proof⟩

lemma [simp]:

\bigwedge Vs. max-vars (compE₁ Vs e) = max-vars e

and \bigwedge Vs. max-varss (compEs₁ Vs es) = max-varss es⟨proof⟩

Compiling programs:

definition compP₁ :: J-prog \Rightarrow J₁-prog

where

 compP₁ \equiv compP (λb (pns,body). compE₁ (case b of NonStatic \Rightarrow this#pns | Static \Rightarrow pns) body)

end

3.5 Correctness of Stage 1

theory Correctness1

imports J1WellForm Compiler1

begin

3.5.1 Correctness of program compilation

primrec unmod :: expr₁ \Rightarrow nat \Rightarrow bool

and unmods :: expr₁ list \Rightarrow nat \Rightarrow bool **where**

 unmod (new C) i = True |

 unmod (Cast C e) i = unmod e i |

 unmod (Val v) i = True |

 unmod (e₁ «bop» e₂) i = (unmod e₁ i \wedge unmod e₂ i) |

 unmod (Var i) j = True |

```

unmod (i:=e) j = (i ≠ j ∧ unmod e j) |
unmod (e·F{D}) i = unmod e i |
unmod (C·sF{D}) i = True |
unmod (e1·F{D}:=e2) i = (unmod e1 i ∧ unmod e2 i) |
unmod (C·sF{D}:=e2) i = unmod e2 i |
unmod (e·M(es)) i = (unmod e i ∧ unmods es i) |
unmod (C·sM(es)) i = unmods es i |
unmod {j:T; e} i = unmod e i |
unmod (e1;;e2) i = (unmod e1 i ∧ unmod e2 i) |
unmod (if (e) e1 else e2) i = (unmod e i ∧ unmod e1 i ∧ unmod e2 i) |
unmod (while (e) c) i = (unmod e i ∧ unmod c i) |
unmod (throw e) i = unmod e i |
unmod (try e1 catch(C i) e2) j = (unmod e1 j ∧ (if i=j then False else unmod e2 j)) |
unmod (INIT C (Cs,b) ← e) i = unmod e i |
unmod (RI(C,e);Cs ← e') i = (unmod e i ∧ unmod e' i) |

unmods ([] ) i = True |
unmods (e#es) i = (unmod e i ∧ unmods es i)

```

lemma *hidden-unmod*: $\bigwedge \text{Vs. hidden } \text{Vs } i \implies \text{unmod} (\text{compE}_1 \text{ Vs } e) i$ **and**
 $\bigwedge \text{Vs. hidden } \text{Vs } i \implies \text{unmods} (\text{compEs}_1 \text{ Vs } es) i \langle \text{proof} \rangle$

lemma *eval₁-preserves-unmod*:
 $\llbracket P \vdash_1 \langle e, (h, ls, sh) \rangle \Rightarrow \langle e', (h', ls', sh') \rangle; \text{unmod } e i; i < \text{size } ls \rrbracket$
 $\implies ls ! i = ls' ! i$
and $\llbracket P \vdash_1 \langle es, (h, ls, sh) \rangle \Rightarrow \langle es', (h', ls', sh') \rangle; \text{unmods } es i; i < \text{size } ls \rrbracket$
 $\implies ls ! i = ls' ! i \langle \text{proof} \rangle$

lemma *LAss-lem*:
 $\llbracket x \in \text{set } xs; \text{size } xs \leq \text{size } ys \rrbracket$
 $\implies m_1 \subseteq_m m_2(xs[\rightarrow]ys) \implies m_1(x \mapsto y) \subseteq_m m_2(xs[\rightarrow]ys[\text{last-index } xs x := y]) \langle \text{proof} \rangle$
lemma *Block-lem*:
fixes $l :: 'a \rightsquigarrow 'b$
assumes $0: l \subseteq_m [\text{Vs} \rightarrow] ls$
and $1: l' \subseteq_m [\text{Vs} \rightarrow] ls', V \mapsto v$
and *hidden*: $V \in \text{set } Vs \implies ls ! \text{last-index } Vs V = ls' ! \text{last-index } Vs V$
and *size*: $\text{size } ls = \text{size } ls' \quad \text{size } Vs < \text{size } ls'$
shows $l'(V := l V) \subseteq_m [\text{Vs} \rightarrow] ls' \langle \text{proof} \rangle$

The main theorem:

theorem assumes *wf*: *wwf-J-prog* P
shows *eval₁-eval*: $P \vdash \langle e, (h, l, sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$
 $\implies (\bigwedge \text{Vs } ls. \llbracket \text{fv } e \subseteq \text{set } Vs; l \subseteq_m [\text{Vs} \rightarrow] ls; \text{size } Vs + \text{max-vars } e \leq \text{size } ls \rrbracket$
 $\implies \exists ls'. \text{compP}_1 P \vdash_1 \langle \text{compE}_1 \text{ Vs } e, (h, ls, sh) \rangle \Rightarrow \langle \text{fin}_1 e', (h', ls', sh') \rangle \wedge l' \subseteq_m [\text{Vs} \rightarrow] ls')$
and *evals₁-evals*: $P \vdash \langle es, (h, l, sh) \rangle \Rightarrow \langle es', (h', l', sh') \rangle$
 $\implies (\bigwedge \text{Vs } ls. \llbracket \text{fus } es \subseteq \text{set } Vs; l \subseteq_m [\text{Vs} \rightarrow] ls; \text{size } Vs + \text{max-varss } es \leq \text{size } ls \rrbracket$
 $\implies \exists ls'. \text{compP}_1 P \vdash_1 \langle \text{compEs}_1 \text{ Vs } es, (h, ls, sh) \rangle \Rightarrow \langle \text{compEs}_1 \text{ Vs } es', (h', ls', sh') \rangle \wedge$
 $l' \subseteq_m [\text{Vs} \rightarrow] ls' \rangle \langle \text{proof} \rangle$

3.5.2 Preservation of well-formedness

The compiler preserves well-formedness. Is less trivial than it may appear. We start with two simple properties: preservation of well-typedness

lemma *compE₁-pres-wt*: $\bigwedge \text{Vs } Ts \ U.$
 $\llbracket P, [\text{Vs} \rightarrow] Ts \vdash e :: U; \text{size } Ts = \text{size } Vs \rrbracket$

$\implies \text{compP } f P, Ts \vdash_1 \text{compE}_1 \ Vs \ e :: U$
and $\bigwedge V s \ T s \ U s$.
 $\llbracket P, [Vs[\mapsto] Ts] \vdash es :: Us; \text{size } Ts = \text{size } Vs \rrbracket$
 $\implies \text{compP } f P, Ts \vdash_1 \text{compEs}_1 \ Vs \ es :: Us \langle \text{proof} \rangle$

and the correct block numbering:

lemma \mathcal{B} : $\bigwedge V s \ n. \text{size } Vs = n \implies \mathcal{B} (\text{compE}_1 \ Vs \ e) \ n$
and $\mathcal{B}s$: $\bigwedge V s \ n. \text{size } Vs = n \implies \mathcal{B}s (\text{compEs}_1 \ Vs \ es) \ n \langle \text{proof} \rangle$

The main complication is preservation of definite assignment \mathcal{D} .

lemma image-last-index : $A \subseteq \text{set}(xs@[x]) \implies \text{last-index } (xs @ [x]) ` A =$
 $(\text{if } x \in A \text{ then insert } (\text{size } xs) (\text{last-index } xs ` (A - \{x\})) \text{ else last-index } xs ` A) \langle \text{proof} \rangle$

lemma $A\text{-compE}_1\text{-None}$ [simp]:
 $\bigwedge V s. \mathcal{A} \ e = \text{None} \implies \mathcal{A} (\text{compE}_1 \ Vs \ e) = \text{None}$
and $\bigwedge V s. \mathcal{A}s \ es = \text{None} \implies \mathcal{A}s (\text{compEs}_1 \ Vs \ es) = \text{None} \langle \text{proof} \rangle$

lemma $A\text{-compE}_1$:
 $\bigwedge A \ V s. \llbracket \mathcal{A} \ e = [A]; fv \ e \subseteq \text{set } Vs \rrbracket \implies \mathcal{A} (\text{compE}_1 \ Vs \ e) = [\text{last-index } Vs ` A]$
and $\bigwedge A \ V s. \llbracket \mathcal{A}s \ es = [A]; fvs \ es \subseteq \text{set } Vs \rrbracket \implies \mathcal{A}s (\text{compEs}_1 \ Vs \ es) = [\text{last-index } Vs ` A] \langle \text{proof} \rangle$

lemma $D\text{-None}$ [iff]: $\mathcal{D} (e :: 'a \ exp) \text{ None and } [\text{iff}]: \mathcal{D}s (es :: 'a \ exp \ list) \text{ None} \langle \text{proof} \rangle$

lemma $D\text{-last-index-compE}_1$:
 $\bigwedge A \ V s. \llbracket A \subseteq \text{set } Vs; fv \ e \subseteq \text{set } Vs \rrbracket \implies$
 $\mathcal{D} e [A] \implies \mathcal{D} (\text{compE}_1 \ Vs \ e) [\text{last-index } Vs ` A]$
and $\bigwedge A \ V s. \llbracket A \subseteq \text{set } Vs; fvs \ es \subseteq \text{set } Vs \rrbracket \implies$
 $\mathcal{D}s es [A] \implies \mathcal{D}s (\text{compEs}_1 \ Vs \ es) [\text{last-index } Vs ` A] \langle \text{proof} \rangle$

lemma $\text{last-index-image-set}$: $\text{distinct } xs \implies \text{last-index } xs ` \text{set } xs = \{\dots < \text{size } xs\} \langle \text{proof} \rangle$

lemma $D\text{-compE}_1$:
 $\llbracket \mathcal{D} e [\text{set } Vs]; fv \ e \subseteq \text{set } Vs; \text{distinct } Vs \rrbracket \implies \mathcal{D} (\text{compE}_1 \ Vs \ e) [\{\dots < \text{length } Vs\}] \langle \text{proof} \rangle$

lemma $D\text{-compE}_1'$:
assumes $\mathcal{D} e [\text{set}(V \# Vs)]$ **and** $fv \ e \subseteq \text{set}(V \# Vs)$ **and** $\text{distinct}(V \# Vs)$
shows $\mathcal{D} (\text{compE}_1 (V \# Vs) \ e) [\{\dots < \text{length } Vs\}] \langle \text{proof} \rangle$
lemma $\text{compP}_1\text{-pres-wf}$: $wf\text{-J-prog } P \implies wf\text{-J}_1\text{-prog } (\text{compP}_1 \ P) \langle \text{proof} \rangle$

end

3.6 Compilation Stage 2

```
theory Compiler2
imports PCompiler J1 .. / JVM / JVMEexec
begin

lemma bop-expr-length-aux [simp]:
length (case bop of Eq ⇒ [CmpEq] | Add ⇒ [IAdd]) = Suc 0
⟨proof⟩

primrec compE2 :: expr1 ⇒ instr list
and compEs2 :: expr1 list ⇒ instr list where
compE2 (new C) = [New C]
```

```

|  $\text{compE}_2(\text{Cast } C e) = \text{compE}_2 e @ [\text{Checkcast } C]$ 
|  $\text{compE}_2(\text{Val } v) = [\text{Push } v]$ 
|  $\text{compE}_2(e_1 \ll bop \gg e_2) = \text{compE}_2 e_1 @ \text{compE}_2 e_2 @$ 
  ( $\text{case } bop \text{ of } Eq \Rightarrow [\text{CmpEq}]$ 
   |  $\text{Add} \Rightarrow [\text{IAdd}]$ )
|  $\text{compE}_2(\text{Var } i) = [\text{Load } i]$ 
|  $\text{compE}_2(i := e) = \text{compE}_2 e @ [\text{Store } i, \text{Push Unit}]$ 
|  $\text{compE}_2(e.F\{D\}) = \text{compE}_2 e @ [\text{Getfield } F D]$ 
|  $\text{compE}_2(C.s.F\{D\}) = [\text{Getstatic } C F D]$ 
|  $\text{compE}_2(e_1.F\{D\} := e_2) =$ 
   $\text{compE}_2 e_1 @ \text{compE}_2 e_2 @ [\text{Putfield } F D, \text{Push Unit}]$ 
|  $\text{compE}_2(C.s.F\{D\} := e_2) =$ 
   $\text{compE}_2 e_2 @ [\text{Putstatic } C F D, \text{Push Unit}]$ 
|  $\text{compE}_2(e.M(es)) = \text{compE}_2 e @ \text{compEs}_2 es @ [\text{Invoke } M (\text{size } es)]$ 
|  $\text{compE}_2(C.s.M(es)) = \text{compEs}_2 es @ [\text{Invokestatic } C M (\text{size } es)]$ 
|  $\text{compE}_2(\{i:T; e\}) = \text{compE}_2 e$ 
|  $\text{compE}_2(e_1;;e_2) = \text{compE}_2 e_1 @ [\text{Pop}] @ \text{compE}_2 e_2$ 
|  $\text{compE}_2(\text{if } (e) e_1 \text{ else } e_2) =$ 
  ( $\text{let } cnd = \text{compE}_2 e;$ 
    $\text{thn} = \text{compE}_2 e_1;$ 
    $\text{els} = \text{compE}_2 e_2;$ 
    $\text{test} = \text{IfFalse}(\text{int}(\text{size thn} + 2));$ 
    $\text{thnex} = \text{Goto}(\text{int}(\text{size els} + 1))$ 
    $\text{in } cnd @ [\text{test}] @ \text{thn} @ [\text{thnex}] @ \text{els}$ )
|  $\text{compE}_2(\text{while } (e) c) =$ 
  ( $\text{let } cnd = \text{compE}_2 e;$ 
    $\text{bdy} = \text{compE}_2 c;$ 
    $\text{test} = \text{IfFalse}(\text{int}(\text{size bdy} + 3));$ 
    $\text{loop} = \text{Goto}(-\text{int}(\text{size bdy} + \text{size cnd} + 2))$ 
    $\text{in } cnd @ [\text{test}] @ \text{bdy} @ [\text{Pop}] @ [\text{loop}] @ [\text{Push Unit}]$ )
|  $\text{compE}_2(\text{throw } e) = \text{compE}_2 e @ [\text{instr.Throw}]$ 
|  $\text{compE}_2(\text{try } e_1 \text{ catch}(C i) e_2) =$ 
  ( $\text{let } catch = \text{compE}_2 e_2$ 
    $\text{in } \text{compE}_2 e_1 @ [\text{Goto}(\text{int}(\text{size catch}) + 2), \text{Store } i] @ catch$ )
|  $\text{compE}_2(\text{INIT } C (Cs,b) \leftarrow e) = []$ 
|  $\text{compE}_2(RI(C,e);Cs \leftarrow e') = []$ 

|  $\text{compEs}_2[] = []$ 
|  $\text{compEs}_2(e \# es) = \text{compE}_2 e @ \text{compEs}_2 es$ 

```

Compilation of exception table. Is given start address of code to compute absolute addresses necessary in exception table.

```

primrec  $\text{compxE}_2 :: \text{expr}_1 \Rightarrow pc \Rightarrow nat \Rightarrow \text{ex-table}$ 
and  $\text{compxEs}_2 :: \text{expr}_1 \text{list} \Rightarrow pc \Rightarrow nat \Rightarrow \text{ex-table}$  where
|  $\text{compxE}_2(\text{new } C) pc d = []$ 
|  $\text{compxE}_2(\text{Cast } C e) pc d = \text{compxE}_2 e pc d$ 
|  $\text{compxE}_2(\text{Val } v) pc d = []$ 
|  $\text{compxE}_2(e_1 \ll bop \gg e_2) pc d =$ 
   $\text{compxE}_2 e_1 pc d @ \text{compxE}_2 e_2 (pc + \text{size}(\text{compE}_2 e_1)) (d+1)$ 
|  $\text{compxE}_2(\text{Var } i) pc d = []$ 
|  $\text{compxE}_2(i := e) pc d = \text{compxE}_2 e pc d$ 
|  $\text{compxE}_2(e.F\{D\}) pc d = \text{compxE}_2 e pc d$ 
|  $\text{compxE}_2(C.s.F\{D\}) pc d = []$ 
|  $\text{compxE}_2(e_1.F\{D\} := e_2) pc d =$ 

```

```

compxE2 e1 pc d @ compxE2 e2 (pc + size(comxE2 e1)) (d+1)
| compxE2 (C·sF{D} := e2) pc d = compxE2 e2 pc d
| compxE2 (e·M(es)) pc d =
  compxE2 e pc d @ compxEs2 es (pc + size(comxE2 e)) (d+1)
| compxE2 (C·sM(es)) pc d = compxEs2 es pc d
| compxE2 ({i:T; e}) pc d = compxE2 e pc d
| compxE2 (e1;;e2) pc d =
  compxE2 e1 pc d @ compxE2 e2 (pc+size(comxE2 e1)+1) d
| compxE2 (if (e) e1 else e2) pc d =
  (let pc1 = pc + size(comxE2 e) + 1;
   pc2 = pc1 + size(comxE2 e1) + 1
   in compxE2 e pc d @ compxE2 e1 pc1 d @ compxE2 e2 pc2 d)
| compxE2 (while (b) e) pc d =
  compxE2 b pc d @ compxE2 e (pc+size(comxE2 b)+1) d
| compxE2 (throw e) pc d = compxE2 e pc d
| compxE2 (try e1 catch(C i) e2) pc d =
  (let pc1 = pc + size(comxE2 e1)
   in compxE2 e1 pc d @ compxE2 e2 (pc1+2) d @ [(pc,pc1,C,pc1+1,d)])
| compxE2 (INIT C (Cs, b) ← e) pc d = []
| compxE2 (RI(C, e);Cs ← e') pc d = []

| compxEs2 [] pc d = []
| compxEs2 (e#es) pc d = compxE2 e pc d @ compxEs2 es (pc+size(comxE2 e)) (d+1)

primrec max-stack :: expr1  $\Rightarrow$  nat
and max-stacks :: expr1 list  $\Rightarrow$  nat where
  max-stack (new C) = 1
  max-stack (Cast C e) = max-stack e
  max-stack (Val v) = 1
  max-stack (e1 «bop» e2) = max (max-stack e1) (max-stack e2) + 1
  max-stack (Var i) = 1
  max-stack (i:=e) = max-stack e
  max-stack (e·F{D}) = max-stack e
  max-stack (C·sF{D}) = 1
  max-stack (e1·F{D} := e2) = max (max-stack e1) (max-stack e2) + 1
  max-stack (C·sF{D} := e2) = max-stack e2
  max-stack (e·M(es)) = max (max-stack e) (max-stacks es) + 1
  max-stack (C·sM(es)) = max-stacks es + 1
  max-stack ({i:T; e}) = max-stack e
  max-stack (e1;;e2) = max (max-stack e1) (max-stack e2)
  max-stack (if (e) e1 else e2) =
    max (max-stack e) (max (max-stack e1) (max-stack e2))
  max-stack (while (e) c) = max (max-stack e) (max-stack c)
  max-stack (throw e) = max-stack e
  max-stack (try e1 catch(C i) e2) = max (max-stack e1) (max-stack e2)

  | max-stacks [] = 0
  | max-stacks (e#es) = max (max-stack e) (1 + max-stacks es)

lemma max-stack1':  $\neg$ sub-RI e  $\implies$  1  $\leq$  max-stack e  $\langle$ proof $\rangle$ 
lemma compE2-not-Nil':  $\neg$ sub-RI e  $\implies$  compE2 e  $\neq$  []  $\langle$ proof $\rangle$ 
lemma compE2-nRet:  $\bigwedge i. i \in \text{set}(\text{compE2 } e_1) \implies i \neq \text{Return}$ 
and  $\bigwedge i. i \in \text{set}(\text{compEs2 } es_1) \implies i \neq \text{Return}$ 
 $\langle$ proof $\rangle$ 

```

```

definition compMb2 :: staticb  $\Rightarrow$  expr1  $\Rightarrow$  jvm-method
where
  compMb2  $\equiv$   $\lambda b\ body.$ 
  let ins = compE2 body @ [Return];
  xt = compxE2 body 0 0
  in (max-stack body, max-vars body, ins, xt)

definition compP2 :: J1-prog  $\Rightarrow$  jvm-prog
where
  compP2  $\equiv$  compP compMb2

lemma compMb2 [simp]:
  compMb2 b e = (max-stack e, max-vars e,
                      compE2 e @ [Return], compxE2 e 0 0)⟨proof⟩
end

```

3.7 Correctness of Stage 2

```

theory Correctness2
imports HOL-Library.Sublist Compiler2 J1WellForm ..//J/EConform
begin

```

3.7.1 Instruction sequences

How to select individual instructions and subsequences of instructions from a program given the class, method and program counter.

```

definition before :: jvm-prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  nat  $\Rightarrow$  instr list  $\Rightarrow$  bool
  ((-, -, -, /) [51, 0, 0, 0, 51] 50) where
  P, C, M, pc  $\triangleright$  is  $\longleftrightarrow$  prefix is (drop pc (instrs-of P C M))

```

```

definition at :: jvm-prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  nat  $\Rightarrow$  instr  $\Rightarrow$  bool
  ((-, -, -, /) [51, 0, 0, 0, 51] 50) where
  P, C, M, pc  $\triangleright$  i  $\longleftrightarrow$  ( $\exists$  is. drop pc (instrs-of P C M) = i#is)

```

```
lemma [simp]: P, C, M, pc  $\triangleright$  []⟨proof⟩
```

```
lemma [simp]: P, C, M, pc  $\triangleright$  (i#is) = (P, C, M, pc  $\triangleright$  i  $\wedge$  P, C, M, pc + 1  $\triangleright$  is)⟨proof⟩
```

```
lemma [simp]: P, C, M, pc  $\triangleright$  (is1 @ is2) = (P, C, M, pc  $\triangleright$  is1  $\wedge$  P, C, M, pc + size is1  $\triangleright$  is2)⟨proof⟩
```

```
lemma [simp]: P, C, M, pc  $\triangleright$  i  $\Longrightarrow$  instrs-of P C M ! pc = i⟨proof⟩
lemma beforeM:
```

```
P  $\vdash$  C sees M, b: Ts  $\rightarrow$  T = body in D  $\Longrightarrow$ 
compP2 P, D, M, 0  $\triangleright$  compE2 body @ [Return]⟨proof⟩
```

This lemma executes a single instruction by rewriting:

```
lemma [simp]:
P, C, M, pc  $\triangleright$  instr  $\Longrightarrow$ 
(P  $\vdash$  (None, h, (vs, ls, C, M, pc, ics) # frs, sh) -jvm- $\rightarrow$  σ') =
((None, h, (vs, ls, C, M, pc, ics) # frs, sh) = σ'  $\vee$ 
```

$$(\exists \sigma. \text{exec}(P, (\text{None}, h, (vs, ls, C, M, pc, ics) \# frs, sh)) = \text{Some } \sigma \wedge P \vdash \sigma \dashv jvm \rightarrow \sigma') \langle proof \rangle$$

3.7.2 Exception tables

definition $pcs :: ex\text{-table} \Rightarrow \text{nat set}$

where

$$pcs\ xt \equiv \bigcup\{(f, t, C, h, d) \in \text{set}\ xt. \{f .. < t\}$$

lemma $pcs\text{-subset}:$

shows $(\bigwedge pc\ d. \text{pcs}(\text{compxE}_2\ e\ pc\ d) \subseteq \{pc.. < pc + \text{size}(\text{compxE}_2\ e)\})$

and $(\bigwedge pc\ d. \text{pcs}(\text{compxEs}_2\ es\ pc\ d) \subseteq \{pc.. < pc + \text{size}(\text{compxEs}_2\ es)\}) \langle proof \rangle$

lemma [simp]: $pcs\ [] = \{\} \langle proof \rangle$

lemma [simp]: $pcs\ (x \# xt) = \{fst\ x .. < fst(snd\ x)\} \cup pcs\ xt \langle proof \rangle$

lemma [simp]: $pcs(xt_1 @ xt_2) = pcs\ xt_1 \cup pcs\ xt_2 \langle proof \rangle$

lemma [simp]: $pc < pc_0 \vee pc_0 + \text{size}(\text{compxE}_2\ e) \leq pc \implies pc \notin \text{pcs}(\text{compxE}_2\ e\ pc_0\ d) \langle proof \rangle$

lemma [simp]: $pc < pc_0 \vee pc_0 + \text{size}(\text{compxEs}_2\ es) \leq pc \implies pc \notin \text{pcs}(\text{compxEs}_2\ es\ pc_0\ d) \langle proof \rangle$

lemma [simp]: $pc_1 + \text{size}(\text{compxE}_2\ e_1) \leq pc_2 \implies \text{pcs}(\text{compxE}_2\ e_1\ pc_1\ d_1) \cap \text{pcs}(\text{compxE}_2\ e_2\ pc_2\ d_2) = \{\} \langle proof \rangle$

lemma [simp]: $pc_1 + \text{size}(\text{compxE}_2\ e) \leq pc_2 \implies \text{pcs}(\text{compxE}_2\ e\ pc_1\ d_1) \cap \text{pcs}(\text{compxEs}_2\ es\ pc_2\ d_2) = \{\} \langle proof \rangle$

lemma [simp]:

$pc \notin \text{pcs}\ xt_0 \implies \text{match-ex-table}\ P\ C\ pc\ (xt_0 @ xt_1) = \text{match-ex-table}\ P\ C\ pc\ xt_1 \langle proof \rangle$

lemma [simp]: $\llbracket x \in \text{set}\ xt; pc \notin \text{pcs}\ xt \rrbracket \implies \neg \text{matches-ex-entry}\ P\ D\ pc\ x \langle proof \rangle$

lemma [simp]:

assumes $xe: xe \in \text{set}(\text{compxE}_2\ e\ pc\ d)$ **and** $\text{outside}: pc' < pc \vee pc + \text{size}(\text{compxE}_2\ e) \leq pc'$
shows $\neg \text{matches-ex-entry}\ P\ C\ pc'\ xe \langle proof \rangle$

lemma [simp]:

assumes $xe: xe \in \text{set}(\text{compxEs}_2\ es\ pc\ d)$ **and** $\text{outside}: pc' < pc \vee pc + \text{size}(\text{compxEs}_2\ es) \leq pc'$
shows $\neg \text{matches-ex-entry}\ P\ C\ pc'\ xe \langle proof \rangle$

lemma $\text{match-ex-table-app}[\text{simp}]$:

$\forall xte \in \text{set}\ xt_1. \neg \text{matches-ex-entry}\ P\ D\ pc\ xte \implies \text{match-ex-table}\ P\ D\ pc\ (xt_1 @ xt) = \text{match-ex-table}\ P\ D\ pc\ xt \langle proof \rangle$

lemma [simp]:

$\forall x \in \text{set}\ xtab. \neg \text{matches-ex-entry}\ P\ C\ pc\ x \implies \text{match-ex-table}\ P\ C\ pc\ xtab = \text{None} \langle proof \rangle$

lemma $\text{match-ex-entry}:$

$\text{matches-ex-entry}\ P\ C\ pc\ (\text{start}, \text{end}, \text{catch-type}, \text{handler}) = (\text{start} \leq pc \wedge pc < \text{end} \wedge P \vdash C \preceq^* \text{catch-type}) \langle proof \rangle$

definition $\text{caught} :: \text{jvm-prog} \Rightarrow pc \Rightarrow \text{heap} \Rightarrow \text{addr} \Rightarrow \text{ex-table} \Rightarrow \text{bool}$ **where**

caught P pc h a xt \longleftrightarrow
 $(\exists \text{entry} \in \text{set } \text{xt}. \text{matches-ex-entry } P (\text{cname-of } h a) \text{ pc entry})$

definition $\text{beforex} :: \text{jvm-prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{ex-table} \Rightarrow \text{nat set} \Rightarrow \text{nat} \Rightarrow \text{bool}$
 $(\langle (\mathcal{Q}, /, /, /, /, /, /, /) \rangle [51, 0, 0, 0, 0, 51] 50) \text{ where}$
 $P, C, M \triangleright \text{xt} / I, d \longleftrightarrow$
 $(\exists \text{xt}_0 \text{ xt}_1. \text{ex-table-of } P C M = \text{xt}_0 @ \text{xt} @ \text{xt}_1 \wedge \text{pcs } \text{xt}_0 \cap I = \{\} \wedge \text{pcs } \text{xt} \subseteq I \wedge$
 $(\forall \text{pc} \in I. \forall C \text{ pc}' d'. \text{match-ex-table } P C \text{ pc } \text{xt}_1 = \lfloor (\text{pc}', d') \rfloor \longrightarrow d' \leq d))$

definition $\text{dummyx} :: \text{jvm-prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{ex-table} \Rightarrow \text{nat set} \Rightarrow \text{nat} \Rightarrow \text{bool}$ $(\langle (\mathcal{Q}, /, /, /, /, /, /) \rangle [51, 0, 0, 0, 0, 51] 50) \text{ where}$
 $P, C, M \triangleright \text{xt}/I, d \longleftrightarrow P, C, M \triangleright \text{xt}/I, d$

abbreviation
 $\text{beforex}_0 P C M d I \text{xt} \text{xt}_0 \text{xt}_1$
 $\equiv \text{ex-table-of } P C M = \text{xt}_0 @ \text{xt} @ \text{xt}_1 \wedge \text{pcs } \text{xt}_0 \cap I = \{\}$
 $\wedge \text{pcs } \text{xt} \subseteq I \wedge (\forall \text{pc} \in I. \forall C \text{ pc}' d'. \text{match-ex-table } P C \text{ pc } \text{xt}_1 = \lfloor (\text{pc}', d') \rfloor \longrightarrow d' \leq d)$

lemma $\text{beforex-beforex}_0\text{-eq}:$
 $P, C, M \triangleright \text{xt} / I, d \equiv \exists \text{xt}_0 \text{ xt}_1. \text{beforex}_0 P C M d I \text{xt} \text{xt}_0 \text{xt}_1$
 $\langle \text{proof} \rangle$

lemma $\text{beforexD1}: P, C, M \triangleright \text{xt} / I, d \implies \text{pcs } \text{xt} \subseteq I \langle \text{proof} \rangle$

lemma $\text{beforex-mono}: \llbracket P, C, M \triangleright \text{xt}/I, d'; d' \leq d \rrbracket \implies P, C, M \triangleright \text{xt}/I, d \langle \text{proof} \rangle$

lemma $\text{[simp]}: P, C, M \triangleright \text{xt}/I, d \implies P, C, M \triangleright \text{xt}/I, \text{Suc } d \langle \text{proof} \rangle$

lemma $\text{beforex-append[simp]}:$
 $\text{pcs } \text{xt}_1 \cap \text{pcs } \text{xt}_2 = \{\} \implies$
 $P, C, M \triangleright \text{xt}_1 @ \text{xt}_2 / I, d =$
 $(P, C, M \triangleright \text{xt}_1 / I - \text{pcs } \text{xt}_2, d \wedge P, C, M \triangleright \text{xt}_2 / I - \text{pcs } \text{xt}_1, d \wedge P, C, M \triangleright \text{xt}_1 @ \text{xt}_2 / I, d) \langle \text{proof} \rangle$

lemma $\text{beforex-appendD1}:$
assumes $\text{bx}: P, C, M \triangleright \text{xt}_1 @ \text{xt}_2 @ [(f, t, D, h, d)] / I, d$
and $\text{pcs}: \text{pcs } \text{xt}_1 \subseteq J \text{ and } JI: J \subseteq I \text{ and } J\text{pcs}: J \cap \text{pcs } \text{xt}_2 = \{\}$
shows $P, C, M \triangleright \text{xt}_1 / J, d \langle \text{proof} \rangle$

lemma $\text{beforex-appendD2}:$
assumes $\text{bx}: P, C, M \triangleright \text{xt}_1 @ \text{xt}_2 @ [(f, t, D, h, d)] / I, d$
and $\text{pcs}: \text{pcs } \text{xt}_2 \subseteq J \text{ and } JI: J \subseteq I \text{ and } J\text{pcs}: J \cap \text{pcs } \text{xt}_1 = \{\}$
shows $P, C, M \triangleright \text{xt}_2 / J, d \langle \text{proof} \rangle$

lemma $\text{beforexM}:$
 $P \vdash C \text{ sees } M, b: Ts \rightarrow T = \text{body in } D \implies \text{compP}_2 P, D, M \triangleright \text{compxE}_2 \text{ body } 0 \ 0 / \{\dots < \text{size}(\text{compxE}_2 \text{ body})\}, 0 \langle \text{proof} \rangle$

lemma $\text{match-ex-table-SomeD2}:$
assumes $\text{met}: \text{match-ex-table } P D \text{ pc } (\text{ex-table-of } P C M) = \lfloor (\text{pc}', d') \rfloor$
and $\text{bx}: P, C, M \triangleright \text{xt}/I, d$
and $\text{nmet}: \forall x \in \text{set } \text{xt}. \neg \text{matches-ex-entry } P D \text{ pc } x \text{ and } \text{pcI}: \text{pc} \in I$
shows $d' \leq d \langle \text{proof} \rangle$

lemma $\text{match-ex-table-SomeD1}:$

$$\llbracket \text{match-ex-table } P D pc (\text{ex-table-of } P C M) = \lfloor (pc', d') \rfloor; \\ P, C, M \triangleright xt / I, d; pc \in I; pc \notin \text{pcs } xt \rrbracket \implies d' \leq d \langle \text{proof} \rangle$$

3.7.3 The correctness proof

definition

handle :: *jvm-prog* \Rightarrow *cname* \Rightarrow *mname* \Rightarrow *addr* \Rightarrow *heap* \Rightarrow *val list* \Rightarrow *val list* \Rightarrow *nat* \Rightarrow *init-call-status* \Rightarrow *frame list* \Rightarrow *sheap*
 \Rightarrow *jvm-state* **where**
handle *P C M a h vs ls pc ics frs sh* = *find-handler* *P a h ((vs,ls,C,M,pc,ics) # frs) sh*

lemma aux-isin[simp]: $\llbracket B \subseteq A; a \in B \rrbracket \implies a \in A \langle \text{proof} \rangle$

lemma handle-frs-tl-neq:

ics-of f \neq *No-ics*
 $\implies (xp, h, f \# frs, sh) \neq \text{handle } P C M xa h' vs l pc ics frs sh'$
 $\langle \text{proof} \rangle$

Correctness proof inductive hypothesis

fun calling-to-called :: *frame* \Rightarrow *frame* **where**
calling-to-called (*stk,loc,D,M,pc,ics*) = (*stk,loc,D,M,pc*, *case ics of Calling C Cs* \Rightarrow *Called (C#Cs)*)

fun calling-to-scaled :: *frame* \Rightarrow *frame* **where**
calling-to-scaled (*stk,loc,D,M,pc,ics*) = (*stk,loc,D,M,pc*, *case ics of Calling C Cs* \Rightarrow *Called Cs*)

fun calling-to-calling :: *frame* \Rightarrow *cname* \Rightarrow *frame* **where**
calling-to-calling (*stk,loc,D,M,pc,ics*) *C' = (stk,loc,D,M,pc,case ics of Calling C Cs* \Rightarrow *Calling C' (C#Cs)*)

fun calling-to-throwing :: *frame* \Rightarrow *addr* \Rightarrow *frame* **where
calling-to-throwing (*stk,loc,D,M,pc,ics*) *a = (stk,loc,D,M,pc,case ics of Calling C Cs* \Rightarrow *Throwing (C#Cs) a*)**

fun calling-to-sthrowing :: *frame* \Rightarrow *addr* \Rightarrow *frame* **where
calling-to-sthrowing (*stk,loc,D,M,pc,ics*) *a = (stk,loc,D,M,pc,case ics of Calling C Cs* \Rightarrow *Throwing Cs a*)**

— pieces of the correctness proof's inductive hypothesis, which depend on the expression being compiled)

fun Jcc-cond :: *J1-prog* \Rightarrow *ty list* \Rightarrow *cname* \Rightarrow *mname* \Rightarrow *val list* \Rightarrow *pc* \Rightarrow *init-call-status*
 \Rightarrow *nat set* \Rightarrow *heap* \Rightarrow *sheap* \Rightarrow *expr1* \Rightarrow *bool* **where**

Jcc-cond P E C M vs pc ics I h sh (INIT C0 (Cs,b) \leftarrow e')
 $= ((\exists T. P, E, h, sh \vdash_1 \text{INIT } C_0 (Cs, b) \leftarrow e' : T) \wedge \text{unit} = e' \wedge \text{ics} = \text{No-ics}) \mid$

Jcc-cond P E C M vs pc ics I h sh (RI(C',e0);Cs \leftarrow e')
 $= (((e_0 = C'^{\cdot}_s \text{clinit}(\emptyset)) \wedge (\exists T. P, E, h, sh \vdash_1 \text{RI}(C', e_0); Cs \leftarrow e' : T))$
 $\vee ((\exists a. e_0 = \text{Throw } a) \wedge (\forall C \in \text{set}(C'\#Cs). \text{is-class } P C)) \wedge \text{unit} = e' \wedge \text{ics} = \text{No-ics}) \mid$

Jcc-cond P E C M vs pc ics I h sh (C'^{\cdot}_s M'(es))
 $= (\text{let } e = (C'^{\cdot}_s M'(es)) \text{ in if } M' = \text{clinit} \wedge es = [] \text{ then } (\exists T. P, E, h, sh \vdash_1 e : T) \wedge (\exists Cs. \text{ics} = \text{Called Cs})$
 $\text{else } (\text{compP}_2 P, C, M, pc \triangleright \text{compE}_2 e \wedge \text{compP}_2 P, C, M \triangleright \text{compxE}_2 e pc (\text{size vs}) / I, \text{size vs}))$

```

 $\wedge \{pc..<pc+size(compE_2 e)\} \subseteq I \wedge \neg sub\text{-}RI e \wedge ics = No\text{-}ics)$ 
) |
Jcc-cond P E C M vs pc ics I h sh e
= (compP_2 P,C,M,pc  $\triangleright$  compE_2 e  $\wedge$  compP_2 P,C,M  $\triangleright$  compxE_2 e pc (size vs)/I,size vs
 $\wedge \{pc..<pc+size(compE_2 e)\} \subseteq I \wedge \neg sub\text{-}RI e \wedge ics = No\text{-}ics)$ 

fun Jcc-frames :: jvm-prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  val list  $\Rightarrow$  val list  $\Rightarrow$  pc  $\Rightarrow$  init-call-status
 $\Rightarrow$  frame list  $\Rightarrow$  expr1  $\Rightarrow$  frame list where
Jcc-frames P C M vs ls pc ics frs (INIT C0 (C' # Cs, b)  $\leftarrow$  e')
= (case b of False  $\Rightarrow$  (vs, ls, C, M, pc, Calling C' Cs) # frs
| True  $\Rightarrow$  (vs, ls, C, M, pc, Called (C' # Cs)) # frs
) |
Jcc-frames P C M vs ls pc ics frs (INIT C0 (Nil, b)  $\leftarrow$  e')
= (vs, ls, C, M, pc, Called []) # frs |
Jcc-frames P C M vs ls pc ics frs (RI(C', e0); Cs  $\leftarrow$  e')
= (case e0 of Throw a  $\Rightarrow$  (vs, ls, C, M, pc, Throwing (C' # Cs) a) # frs
| -  $\Rightarrow$  (vs, ls, C, M, pc, Called (C' # Cs)) # frs ) |
Jcc-frames P C M vs ls pc ics frs (C' *s M'(es))
= (if M' = clinit  $\wedge$  es = []
then create-init-frame P C' #(vs, ls, C, M, pc, ics) # frs
else (vs, ls, C, M, pc, ics) # frs
) |
Jcc-frames P C M vs ls pc ics frs e
= (vs, ls, C, M, pc, ics) # frs

fun Jcc-rhs :: J1-prog  $\Rightarrow$  ty list  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  val list  $\Rightarrow$  val list  $\Rightarrow$  pc  $\Rightarrow$  init-call-status
 $\Rightarrow$  frame list  $\Rightarrow$  heap  $\Rightarrow$  val list  $\Rightarrow$  sheap  $\Rightarrow$  val  $\Rightarrow$  expr1  $\Rightarrow$  jvm-state where
Jcc-rhs P E C M vs ls pc ics frs h' ls' sh' v (INIT C0 (Cs, b)  $\leftarrow$  e')
= (None, h', (vs, ls, C, M, pc, Called []) # frs, sh') |
Jcc-rhs P E C M vs ls pc ics frs h' ls' sh' v (RI(C', e0); Cs  $\leftarrow$  e')
= (None, h', (vs, ls, C, M, pc, Called []) # frs, sh') |
Jcc-rhs P E C M vs ls pc ics frs h' ls' sh' v (C' *s M'(es))
= (let e = (C' *s M'(es))
in if M' = clinit  $\wedge$  es = []
then (None, h', (vs, ls, C, M, pc, ics) # frs, sh' (C'  $\mapsto$  (fst(the(sh' C'))), Done))
else (None, h', (v # vs, ls', C, M, pc + size(compE2 e), ics) # frs, sh')
) |
Jcc-rhs P E C M vs ls pc ics frs h' ls' sh' v e
= (None, h', (v # vs, ls', C, M, pc + size(compE2 e), ics) # frs, sh')

fun Jcc-err :: jvm-prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  heap  $\Rightarrow$  val list  $\Rightarrow$  val list  $\Rightarrow$  pc  $\Rightarrow$  init-call-status
 $\Rightarrow$  frame list  $\Rightarrow$  sheap  $\Rightarrow$  nat set  $\Rightarrow$  heap  $\Rightarrow$  val list  $\Rightarrow$  sheap  $\Rightarrow$  addr  $\Rightarrow$  expr1
 $\Rightarrow$  bool where
Jcc-err P C M h vs ls pc ics frs sh I h' ls' sh' xa (INIT C0 (Cs, b)  $\leftarrow$  e')
= ( $\exists$  vs'. P  $\vdash$  (None, h, Jcc-frames P C M vs ls pc ics frs (INIT C0 (Cs, b)  $\leftarrow$  e'), sh)
 $\neg jvm \rightarrow$  handle P C M xa h' (vs' @ vs) ls pc ics frs sh') |
Jcc-err P C M h vs ls pc ics frs sh I h' ls' sh' xa (RI(C', e0); Cs  $\leftarrow$  e')
= ( $\exists$  vs'. P  $\vdash$  (None, h, Jcc-frames P C M vs ls pc ics frs (RI(C', e0); Cs  $\leftarrow$  e'), sh)
 $\neg jvm \rightarrow$  handle P C M xa h' (vs' @ vs) ls pc ics frs sh') |
Jcc-err P C M h vs ls pc ics frs sh I h' ls' sh' xa (C' *s M'(es))
= (let e = (C' *s M'(es))
in if M' = clinit  $\wedge$  es = []
then case ics of

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Called Cs $\Rightarrow P \vdash (\text{None}, h, \text{Jcc-frames } P C M \text{ vs ls pc ics frs e, sh})$
 $\quad -\text{jvm} \rightarrow (\text{None}, h', (\text{vs}, \text{ls}, C, M, \text{pc}, \text{Throwing Cs xa}) \# \text{frs}, (\text{sh}'(C' \mapsto (\text{fst}(\text{the}(\text{sh}' C'))), \text{Error})))$

else $(\exists \text{pc}_1. \text{pc} \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compE}_2 \text{ e})) \wedge$
 $\quad \neg \text{caught } P \text{ pc}_1 \text{ h}' \text{ xa } (\text{compxE}_2 \text{ e pc } (\text{size vs})) \wedge$
 $\quad (\exists \text{vs}'. P \vdash (\text{None}, h, \text{Jcc-frames } P C M \text{ vs ls pc ics frs e, sh}))$
 $\quad -\text{jvm} \rightarrow \text{handle } P C M \text{ xa h}' (\text{vs}' @ \text{vs}) \text{ ls}' \text{ pc}_1 \text{ ics frs sh}')$

) |

Jcc-err P C M h vs ls pc ics frs sh I h' ls' sh' xa e
 $= (\exists \text{pc}_1. \text{pc} \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compE}_2 \text{ e})) \wedge$
 $\quad \neg \text{caught } P \text{ pc}_1 \text{ h}' \text{ xa } (\text{compxE}_2 \text{ e pc } (\text{size vs})) \wedge$
 $\quad (\exists \text{vs}'. P \vdash (\text{None}, h, \text{Jcc-frames } P C M \text{ vs ls pc ics frs e, sh}))$
 $\quad -\text{jvm} \rightarrow \text{handle } P C M \text{ xa h}' (\text{vs}' @ \text{vs}) \text{ ls}' \text{ pc}_1 \text{ ics frs sh}')$

fun Jcc-pieces :: J₁-prog \Rightarrow ty list \Rightarrow cname \Rightarrow mname \Rightarrow heap \Rightarrow val list \Rightarrow val list \Rightarrow pc \Rightarrow init-call-status
 \Rightarrow frame list \Rightarrow sheap \Rightarrow nat set \Rightarrow heap \Rightarrow val list \Rightarrow sheap \Rightarrow val \Rightarrow addr \Rightarrow expr₁
 \Rightarrow bool \times frame list \times jvm-state \times bool **where**

Jcc-pieces P E C M h vs ls pc ics frs sh I h' ls' sh' v xa e
 $= (\text{Jcc-cond } P E C M \text{ vs pc ics I h sh e}, \text{Jcc-frames } (\text{compP}_2 \text{ P}) C M \text{ vs ls pc ics frs e},$
 $\quad \text{Jcc-rhs } P E C M \text{ vs ls pc ics frs h' ls' sh' v e},$
 $\quad \text{Jcc-err } (\text{compP}_2 \text{ P}) C M \text{ h vs ls pc ics frs sh I h' ls' sh' xa e})$

— *Jcc-pieces* lemmas

lemma nsub-RI-Jcc-pieces:
assumes [simp]: $P \equiv \text{compP}_2 \text{ P}_1$
and nsub: $\neg \text{sub-RI e}$
shows *Jcc-pieces P₁ E C M h vs ls pc ics frs sh I h' ls' sh' v xa e*
 $= (\text{let cond} = P, C, M, \text{pc} \triangleright \text{compE}_2 \text{ e} \wedge P, C, M \triangleright \text{compxE}_2 \text{ e pc } (\text{size vs}) / I, \text{size vs}$
 $\quad \wedge \{\text{pc}.. < \text{pc} + \text{size}(\text{compE}_2 \text{ e})\} \subseteq I \wedge \text{ics} = \text{No-ics};$
 $\quad \text{frs}' = (\text{vs}, \text{ls}, C, M, \text{pc}, \text{ics}) \# \text{frs};$
 $\quad \text{rhs} = (\text{None}, h', (\text{v} \# \text{vs}, \text{ls}', C, M, \text{pc} + \text{size}(\text{compE}_2 \text{ e}), \text{ics}) \# \text{frs}, \text{sh}');$
 $\quad \text{err} = (\exists \text{pc}_1. \text{pc} \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compE}_2 \text{ e})) \wedge$
 $\quad \neg \text{caught } P \text{ pc}_1 \text{ h}' \text{ xa } (\text{compxE}_2 \text{ e pc } (\text{size vs})) \wedge$
 $\quad (\exists \text{vs}'. P \vdash (\text{None}, h, \text{frs}', \text{sh})) -\text{jvm} \rightarrow \text{handle } P C M \text{ xa h}' (\text{vs}' @ \text{vs}) \text{ ls}' \text{ pc}_1 \text{ ics frs sh}')$
 $\quad \text{in } (\text{cond}, \text{frs}', \text{rhs}, \text{err})$
 $)$
(proof)

lemma Jcc-pieces-Cast:
assumes [simp]: $P \equiv \text{compP}_2 \text{ P}_1$
and Jcc-pieces P₁ E C M h₀ vs ls₀ pc ics frs sh₀ I h₁ ls₁ sh₁ v xa (Cast C' e)
 $= (\text{True}, \text{frs}_0, (\text{xp}', h', (\text{v} \# \text{vs}', \text{ls}', C_0, M', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows *Jcc-pieces P₁ E C M h₀ vs ls₀ pc ics frs sh₀ I h₁ ls₁ sh₁ v' xa e*
 $= (\text{True}, \text{frs}_0, (\text{xp}', h', (\text{v}' \# \text{vs}', \text{ls}', C_0, M', \text{pc}' - 1, \text{ics}') \# \text{frs}', \text{sh}'),$
 $\quad (\exists \text{pc}_1. \text{pc} \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compE}_2 \text{ e})) \wedge$
 $\quad \neg \text{caught } P \text{ pc}_1 \text{ h}_1 \text{ xa } (\text{compxE}_2 \text{ e pc } (\text{size vs})) \wedge$
 $\quad (\exists \text{vs}'. P \vdash (\text{None}, h_0, \text{frs}_0, \text{sh}_0)) -\text{jvm} \rightarrow \text{handle } P C M \text{ xa h}_1 (\text{vs}' @ \text{vs}) \text{ ls}_1 \text{ pc}_1 \text{ ics frs sh}_1))$
(proof)

lemma Jcc-pieces-BinOp1:
assumes
Jcc-pieces P E C M h₀ vs ls₀ pc ics frs sh₀ I h₂ ls₂ sh₂ v xa (e «bop» e')

$= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\exists \text{err}. \text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0$
 $(I - \text{pcs}(\text{compxE}_2 e' (\text{pc} + \text{length}(\text{compxE}_2 e)) (\text{Suc}(\text{length} \text{vs}')))) h_1 \text{ ls}_1 \text{ sh}_1 \text{ v}' \text{ xa } e$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}_1, (\text{v}' \# \text{vs}', \text{ls}_1, \text{C}_0, \text{M}', \text{pc}' - \text{size}(\text{compxE}_2 e') - 1, \text{ics}') \# \text{frs}', \text{sh}_1), \text{err})$
 $\langle \text{proof} \rangle$

lemma *Jcc-pieces-BinOp2*:

assumes [simp]: $P \equiv \text{compP}_2 P_1$
and $\text{Jcc-pieces } P_1 E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_2 \text{ ls}_2 \text{ sh}_2 \text{ v } \text{xa } (e \llbracket \text{bop} \rrbracket e')$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\exists \text{err}. \text{Jcc-pieces } P_1 E C M h_1 (\text{v}_1 \# \text{vs}) \text{ ls}_1 (\text{pc} + \text{size}(\text{compxE}_2 e)) \text{ ics } \text{frs } \text{sh}_1$
 $(I - \text{pcs}(\text{compxE}_2 e \text{ pc}(\text{length} \text{vs}'))) h_2 \text{ ls}_2 \text{ sh}_2 \text{ v}' \text{ xa } e'$
 $= (\text{True}, (\text{v}_1 \# \text{vs}, \text{ls}_1, \text{C}, \text{M}, \text{pc} + \text{size}(\text{compxE}_2 e), \text{ics}) \# \text{frs},$
 $(\text{xp}', \text{h}', (\text{v}' \# \text{v}_1 \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}' - 1, \text{ics}') \# \text{frs}', \text{sh}'),$
 $(\exists \text{pc}_1. \text{pc} + \text{size}(\text{compxE}_2 e) \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compxE}_2 e) + \text{length}(\text{compxE}_2 e') \wedge$
 $\neg \text{caught } P \text{ pc}_1 \text{ h}_2 \text{ xa } (\text{compxE}_2 e' (\text{pc} + \text{size}(\text{compxE}_2 e)) (\text{Suc}(\text{length} \text{vs}))) \wedge$
 $(\exists \text{vs}'. P \vdash (\text{None}, \text{h}_1, (\text{v}_1 \# \text{vs}, \text{ls}_1, \text{C}, \text{M}, \text{pc} + \text{size}(\text{compxE}_2 e), \text{ics}) \# \text{frs}, \text{sh}_1)$
 $- \text{jvm} \rightarrow \text{handle } P C M \text{ xa } h_2 (\text{vs}' @ \text{v}_1 \# \text{vs}) \text{ ls}_2 \text{ pc}_1 \text{ ics } \text{frs } \text{sh}_2))$
 $\langle \text{proof} \rangle$

lemma *Jcc-pieces-FAcc*:

assumes
 $\text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_1 \text{ ls}_1 \text{ sh}_1 \text{ v } \text{xa } (e \cdot F\{D\})$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\exists \text{err}. \text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_1 \text{ ls}_1 \text{ sh}_1 \text{ v}' \text{ xa } e$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}' - 1, \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
 $\langle \text{proof} \rangle$

lemma *Jcc-pieces-LAss*:

assumes [simp]: $P \equiv \text{compP}_2 P_1$
and $\text{Jcc-pieces } P_1 E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_1 \text{ ls}_1 \text{ sh}_1 \text{ v } \text{xa } (i := e)$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\text{Jcc-pieces } P_1 E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_1 \text{ ls}_1 \text{ sh}_1 \text{ v}' \text{ xa } e$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}' - 2, \text{ics}') \# \text{frs}', \text{sh}'),$
 $(\exists \text{pc}_1. \text{pc} \leq \text{pc}_1 \wedge \text{pc}_1 < \text{pc} + \text{size}(\text{compxE}_2 e) \wedge$
 $\neg \text{caught } P \text{ pc}_1 \text{ h}_1 \text{ xa } (\text{compxE}_2 e \text{ pc}(\text{size} \text{vs})) \wedge$
 $(\exists \text{vs}'. P \vdash (\text{None}, \text{h}_0, \text{frs}_0, \text{sh}_0) - \text{jvm} \rightarrow \text{handle } P C M \text{ xa } h_1 (\text{vs}' @ \text{vs}) \text{ ls}_1 \text{ pc}_1 \text{ ics } \text{frs } \text{sh}_1))$
 $\langle \text{proof} \rangle$

lemma *Jcc-pieces-FAss1*:

assumes
 $\text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_2 \text{ ls}_2 \text{ sh}_2 \text{ v } \text{xa } (e \cdot F\{D\} := e')$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\exists \text{err}. \text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0$
 $(I - \text{pcs}(\text{compxE}_2 e' (\text{pc} + \text{length}(\text{compxE}_2 e)) (\text{Suc}(\text{length} \text{vs}')))) h_1 \text{ ls}_1 \text{ sh}_1 \text{ v}' \text{ xa } e$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}_1, (\text{v}' \# \text{vs}', \text{ls}_1, \text{C}_0, \text{M}', \text{pc}' - \text{size}(\text{compxE}_2 e') - 2, \text{ics}') \# \text{frs}', \text{sh}_1), \text{err})$
 $\langle \text{proof} \rangle$

lemma *Jcc-pieces-FAss2*:

assumes
 $\text{Jcc-pieces } P E C M h_0 \text{ vs } \text{ls}_0 \text{ pc } \text{ics } \text{frs } \text{sh}_0 I h_2 \text{ ls}_2 \text{ sh}_2 \text{ v } \text{xa } (e \cdot F\{D\} := e')$
 $= (\text{True}, \text{frs}_0, (\text{xp}', \text{h}', (\text{v}' \# \text{vs}', \text{ls}', \text{C}_0, \text{M}', \text{pc}', \text{ics}') \# \text{frs}', \text{sh}'), \text{err})$
shows $\text{Jcc-pieces } P E C M h_1 (\text{v}_1 \# \text{vs}) \text{ ls}_1 (\text{pc} + \text{size}(\text{compxE}_2 e)) \text{ ics } \text{frs } \text{sh}_1$
 $(I - \text{pcs}(\text{compxE}_2 e \text{ pc}(\text{length} \text{vs}'))) h_2 \text{ ls}_2 \text{ sh}_2 \text{ v}' \text{ xa } e'$

= (*True*, $(v_1 \# vs, ls_1, C, M, pc + \text{size}(\text{compE}_2 e), ics) \# frs,$
 $(xp', h', (v' \# v_1 \# vs', ls', C_0, M', pc' - 2, ics') \# frs', sh'),$
 $(\exists pc_1. (pc + \text{size}(\text{compE}_2 e)) \leq pc_1 \wedge pc_1 < pc + \text{size}(\text{compE}_2 e) + \text{size}(\text{compE}_2 e') \wedge$
 $\neg \text{caught}(\text{compP}_2 P) pc_1 h_2 xa (\text{compxE}_2 e' (pc + \text{size}(\text{compE}_2 e)) (\text{size}(v_1 \# vs))) \wedge$
 $(\exists vs'. (\text{compP}_2 P) \vdash (\text{None}, h_1, (v_1 \# vs, ls_1, C, M, pc + \text{size}(\text{compE}_2 e), ics) \# frs, sh_1)$
 $-jvm \rightarrow \text{handle}(\text{compP}_2 P) C M xa h_2 (vs' @ v_1 \# vs) ls_2 pc_1 ics frs sh_2))$)

$\langle proof \rangle$

lemma *Jcc-pieces-SFAss*:

assumes

Jcc-pieces P E C M h₀ vs ls₀ pc ics frs sh₀ I h' ls' sh' v xa (C'•_sF{D}:=e)
= (*True*, $frs_0, (xp', h', (v' \# vs', ls', C_0, M', pc', ics') \# frs', sh'), err$)

shows $\exists err. \text{Jcc-pieces } P E C M h_0 vs ls_0 pc ics frs sh_0 I h_1 ls_1 sh_1 v' xa e$
= (*True*, $frs_0, (xp', h_1, (v' \# vs', ls_1, C_0, M', pc' - 2, ics') \# frs', sh_1), err$)

$\langle proof \rangle$

lemma *Jcc-pieces-Call1*:

assumes

Jcc-pieces P E C M h₀ vs ls₀ pc ics frs sh₀ I h₃ ls₃ sh₃ v xa (e•M₀(es))
= (*True*, $frs_0, (xp', h', (v' \# vs', ls', C', M', pc', ics') \# frs', sh'), err$)

shows $\exists err. \text{Jcc-pieces } P E C M h_0 vs ls_0 pc ics frs sh_0$
 $(I - \text{pcs}(\text{compxEs}_2 es (pc + \text{length}(\text{compE}_2 e)) (\text{Suc}(\text{length} vs')))) h_1 ls_1 sh_1 v' xa e$
= (*True*, $frs_0,$
 $(xp', h_1, (v' \# vs', ls_1, C', M', pc' - \text{size}(\text{compxEs}_2 es) - 1, ics') \# frs', sh_1), err$)

$\langle proof \rangle$

lemma *Jcc-pieces-clinit*:

assumes [*simp*]: $P \equiv \text{compP}_2 P_1$

and *cond*: *Jcc-cond P₁ E C M vs pc ics I h sh (C1•_sclinit([]))*

shows *Jcc-pieces P₁ E C M h vs ls pc ics frs sh I h' ls' sh' v xa (C1•_sclinit([]))*

= (*True*, *create-init-frame P C1 # (vs, ls, C, M, pc, ics) # frs,*
 $(\text{None}, h', (vs, ls, C, M, pc, ics) \# frs, sh' (C1 \mapsto (\text{fst}(\text{the}(sh' C1)), \text{Done}))),$
 $P \vdash (\text{None}, h, \text{create-init-frame } P C1 \# (vs, ls, C, M, pc, ics) \# frs, sh) -jvm \rightarrow$
 $(\text{case } ics \text{ of } \text{Called } Cs \Rightarrow (\text{None}, h', (vs, ls, C, M, pc, \text{Throwing } Cs xa) \# frs, (sh' (C1 \mapsto (\text{fst}(\text{the}(sh' C1)), \text{Error}))))))$)

$\langle proof \rangle$

lemma *Jcc-pieces-SCall-clinit-body*:

assumes [*simp*]: $P \equiv \text{compP}_2 P_1$ **and** *wf*: *wf-J₁-prog P₁*

and *Jcc-pieces P₁ E C M h₀ vs ls₀ pc ics frs sh₀ I h₃ ls₂ sh₃ v xa (C1•_sclinit([]))*

= (*True*, frs', rhs', err')

and *method*: $P_1 \vdash C1 \text{ sees clinit, Static: } [] \rightarrow \text{Void} = \text{body in } D$

shows *Jcc-pieces P₁ [] D clinit h₂ [] (replicate(max-vars body) undefined) 0*

$No-ics(tl frs') sh_2 \{..<\text{length}(\text{compE}_2 \text{ body})\} h_3 ls_3 sh_3 v xa \text{ body}$

= (*True*, $frs',$

$(\text{None}, h_3, ([v], ls_3, D, \text{clinit}, \text{size}(\text{compE}_2 \text{ body}), No-ics) \# tl frs', sh_3),$

$\exists pc_1. 0 \leq pc_1 \wedge pc_1 < \text{size}(\text{compE}_2 \text{ body}) \wedge$

$\neg \text{caught } P pc_1 h_3 xa (\text{compxE}_2 \text{ body } 0 \ 0) \wedge$

$(\exists vs'. P \vdash (\text{None}, h_2, frs', sh_2) -jvm \rightarrow \text{handle } P D \text{ clinit } xa h_3 vs' ls_3 pc_1$

$No-ics(tl frs') sh_3))$

$\langle proof \rangle$

lemma *Jcc-pieces-Cons*:

assumes [*simp*]: $P \equiv \text{compP}_2 P_1$

and $P, C, M, pc \triangleright compEs_2 (e \# es)$ **and** $P, C, M \triangleright compxEs_2 (e \# es)$ $pc (size vs) / I, size vs$
and $\{pc.. < pc + size(compEs_2 (e \# es))\} \subseteq I$
and $ics = No-ics$
and $\neg sub-RIs (e \# es)$
shows $Jcc\text{-pieces } P_1 E C M h vs ls pc ics frs sh$
 $(I - pcs (compxEs_2 es (pc + length (compE_2 e)) (Suc (length vs)))) h' ls' sh' v xa e$
 $= (True, (vs, ls, C, M, pc, ics) \# frs,$
 $(None, h', (v \# vs, ls', C, M, pc + length (compE_2 e), ics) \# frs, sh'),$
 $\exists pc_1 \geq pc. pc_1 < pc + length (compE_2 e) \wedge \neg caught P pc_1 h' xa (compxE_2 e pc (length vs))$
 $\wedge (\exists vs'. P \vdash (None, h, (vs, ls, C, M, pc, ics) \# frs, sh)$
 $-jvm \rightarrow handle P C M xa h' (vs' @ vs) ls' pc_1 ics frs sh')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitNone}$:

assumes [simp]: $P \equiv compP_2 P_1$
and $Jcc\text{-pieces } P_1 E C M h vs l pc ics frs sh I h' l' sh' v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (True, frs', (None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$

shows

$Jcc\text{-pieces } P_1 E C M h vs l pc ics frs (sh(C_0 \mapsto (sblank P C_0, Prepared)))$
 $I h' l' sh' v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (True, frs', (None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'),$
 $\exists vs'. P \vdash (None, h, frs', (sh(C_0 \mapsto (sblank P_1 C_0, Prepared))))$
 $-jvm \rightarrow handle P C M xa h' (vs' @ vs) l pc ics frs sh')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitDP}$:

assumes [simp]: $P \equiv compP_2 P_1$
and $Jcc\text{-pieces } P_1 E C M h vs l pc ics frs sh I h' l' sh' v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (True, frs', (None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$

shows

$Jcc\text{-pieces } P_1 E C M h vs l pc ics frs sh I h' l' sh' v xa (INIT C' (Cs, True) \leftarrow e)$
 $= (True, (calling-to-scaled (hd frs')) \# (tl frs'),$
 $(None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'),$
 $\exists vs'. P \vdash (None, h, calling-to-scaled (hd frs') \# (tl frs'), sh)$
 $-jvm \rightarrow handle P C M xa h' (vs' @ vs) l pc ics frs sh')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitError}$:

assumes [simp]: $P \equiv compP_2 P_1$
and $Jcc\text{-pieces } P_1 E C M h vs l pc ics frs sh I h' l' sh' v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (True, frs', (None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$
and $err: sh C_0 = Some(sfs, Error)$

shows

$Jcc\text{-pieces } P_1 E C M h vs l pc ics frs sh I h' l' sh' v xa (RI (C_0, THROW NoClassDefFoundError); Cs \leftarrow e)$
 $= (True, (calling-to-throwing (hd frs') (addr-of-sys-xcpt NoClassDefFoundError)) \# (tl frs'),$
 $(None, h', (vs, l, C, M, pc, Called [])) \# frs, sh'),$
 $\exists vs'. P \vdash (None, h, (calling-to-throwing (hd frs') (addr-of-sys-xcpt NoClassDefFoundError)) \# (tl frs'), sh)$
 $-jvm \rightarrow handle P C M xa h' (vs' @ vs) l pc ics frs sh')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitObj}$:

assumes [simp]: $P \equiv compP_2 P_1$

and $Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh I h' l' (sh(C_0 \mapsto (sfs, Processing))) v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (\text{True}, frs', (\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$

shows

$Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } (sh(C_0 \mapsto (sfs, Processing))) I h' l' sh'' v xa (INIT C' (C_0 \# Cs, True) \leftarrow e)$
 $= (\text{True}, calling\text{-to}\text{-called } (hd frs') \# (tl frs'),$
 $(\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh''),$
 $\exists vs'. P \vdash (\text{None}, h, calling\text{-to}\text{-called } (hd frs') \# (tl frs'), sh')$
 $-jvm \rightarrow handle P C M xa h' (vs'@vs) l \text{ pc } ics \text{ frs } sh'')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitNonObj}:$

assumes [*simp*]: $P \equiv compP_2 P_1$
and $is\text{-class } P_1 D \text{ and } D \notin set(C_0 \# Cs) \text{ and } \forall C \in set(C_0 \# Cs). P_1 \vdash C \preceq^* D$
and $pcs: Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh I h' l' (sh(C_0 \mapsto (sfs, Processing))) v xa (INIT C' (C_0 \# Cs, False) \leftarrow e)$
 $= (\text{True}, frs', (\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$

shows

$Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } (sh(C_0 \mapsto (sfs, Processing))) I h' l' sh'' v xa (INIT C' (D \# C_0 \# Cs, False) \leftarrow e)$
 $= (\text{True}, calling\text{-to}\text{-calling } (hd frs') D \# (tl frs'),$
 $(\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh''),$
 $\exists vs'. P \vdash (\text{None}, h, calling\text{-to}\text{-calling } (hd frs') D \# (tl frs'), sh')$
 $-jvm \rightarrow handle P C M xa h' (vs'@vs) l \text{ pc } ics \text{ frs } sh'')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-InitRInit}:$

assumes [*simp*]: $P \equiv compP_2 P_1 \text{ and } wf: wf\text{-J}_1\text{-prog } P_1$
and $Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh I h' l' sh' v xa (INIT C' (C_0 \# Cs, True) \leftarrow e)$
 $= (\text{True}, frs', (\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh'), err)$

shows

$Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh I h' l' sh' v xa (RI (C_0, C_0 \cdot_s clinit([])) ; Cs \leftarrow e)$
 $= (\text{True}, frs',$
 $(\text{None}, h', (vs, l, C, M, pc, Called [])) \# frs, sh'),$
 $\exists vs'. P \vdash (\text{None}, h, frs', sh)$
 $-jvm \rightarrow handle P C M xa h' (vs'@vs) l \text{ pc } ics \text{ frs } sh')$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-RInit-clinit}:$

assumes [*simp*]: $P \equiv compP_2 P_1 \text{ and } wf: wf\text{-J}_1\text{-prog } P_1$
and $Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh I h_1 l_1 sh_1 v xa (RI (C_0, C_0 \cdot_s clinit([])); Cs \leftarrow e)$
 $= (\text{True}, frs',$
 $(\text{None}, h_1, (vs, l, C, M, pc, Called [])) \# frs, sh_1), err)$

shows

$Jcc\text{-pieces } P_1 E C M h \text{ vs } l \text{ pc } (Called Cs) (tl frs') sh I h' l' sh' v xa (C_0 \cdot_s clinit([]))$
 $= (\text{True}, create\text{-init}\text{-frame } P C_0 \# (vs, l, C, M, pc, Called Cs) \# tl frs',$
 $(\text{None}, h', (vs, l, C, M, pc, Called Cs) \# tl frs', sh'(C_0 \mapsto (fst(the(sh' C_0)), Done))),$
 $P \vdash (\text{None}, h, create\text{-init}\text{-frame } P C_0 \# (vs, l, C, M, pc, Called Cs) \# tl frs', sh)$
 $-jvm \rightarrow (\text{None}, h', (vs, l, C, M, pc, Throwing Cs xa) \# tl frs', sh'(C_0 \mapsto (fst(the(sh' C_0)), Error))))$

$\langle proof \rangle$

lemma $Jcc\text{-pieces-RInit-Init}:$

assumes [*simp*]: $P \equiv compP_2 P_1 \text{ and } wf: wf\text{-J}_1\text{-prog } P_1$

and proc: $\forall C' \in \text{set } Cs. \exists sfs. sh'' C' = \lfloor (sfs, \text{Processing}) \rfloor$
and Jcc-pieces: $P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh \text{ I } h_1 \text{ l}_1 \text{ sh}_1 \text{ v } xa (RI (C_0, C_0 \cdot_s clinit([])); Cs \leftarrow e)$
 $= (\text{True}, frs')$
 $(\text{None}, h_1, (vs, l, C, M, pc, Called [])) \# frs, sh_1, err)$

shows

$Jcc\text{-pieces } P_1 E C M h' \text{ vs } l \text{ pc } ics \text{ frs } sh'' \text{ I } h_1 \text{ l}_1 \text{ sh}_1 \text{ v } xa (INIT (last (C_0 \# Cs)) (Cs, True) \leftarrow e)$
 $= (\text{True}, (vs, l, C, M, pc, Called Cs) \# frs,$
 $(\text{None}, h_1, (vs, l, C, M, pc, Called [])) \# frs, sh_1),$
 $\exists vs'. P \vdash (\text{None}, h', (vs, l, C, M, pc, Called Cs) \# frs, sh'')$
 $-jvm \rightarrow \text{handle } P C M xa h_1 (vs' @ vs) l \text{ pc } ics \text{ frs } sh_1)$

$\langle proof \rangle$

lemma Jcc-pieces-RInit-RInit:

assumes [simp]: $P \equiv compP_2 P_1$
and Jcc-pieces: $P_1 E C M h \text{ vs } l \text{ pc } ics \text{ frs } sh \text{ I } h_1 \text{ l}_1 \text{ sh}_1 \text{ v } xa (RI (C_0, e); D \# Cs \leftarrow e')$
 $= (\text{True}, frs', rhs, err)$
and hd: $hd \text{ frs}' = (vs_1, l_1, C_1, M_1, pc_1, ics_1)$

shows

$Jcc\text{-pieces } P_1 E C M h' \text{ vs } l \text{ pc } ics \text{ frs } sh'' \text{ I } h_1 \text{ l}_1 \text{ sh}_1 \text{ v } xa (RI (D, Throw xa); Cs \leftarrow e')$
 $= (\text{True}, (vs_1, l_1, C_1, M_1, pc_1, Throwing (D \# Cs) xa) \# tl frs',$
 $(\text{None}, h_1, (vs, l, C, M, pc, Called [])) \# frs, sh_1),$
 $\exists vs'. P \vdash (\text{None}, h', (vs_1, l_1, C_1, M_1, pc_1, Throwing (D \# Cs) xa) \# tl frs', sh'')$
 $-jvm \rightarrow \text{handle } P C M xa h_1 (vs' @ vs) l \text{ pc } ics \text{ frs } sh_1)$

$\langle proof \rangle$

JVM stepping lemmas

lemma jvm-Invoke:

assumes [simp]: $P \equiv compP_2 P_1$
and $P, C, M, pc \triangleright \text{Invoke } M' \text{ (length } Ts)$
and ha: $h_2 \text{ a} = \lfloor (Ca, fs) \rfloor$ **and method:** $P_1 \vdash Ca \text{ sees } M', \text{NonStatic} : Ts \rightarrow T = \text{body in } D$
and len: $\text{length } pvs = \text{length } Ts$ **and** $ls_2' = \text{Addr a} \# pvs @ \text{replicate } (\text{max-vars body}) \text{ undefined}$
shows $P \vdash (\text{None}, h_2, (\text{rev } pvs @ \text{Addr a} \# vs, ls_2, C, M, pc, \text{No-ics}) \# frs, sh_2) -jvm \rightarrow$
 $(\text{None}, h_2, ([]), ls_2', D, M', 0, \text{No-ics}) \# (\text{rev } pvs @ \text{Addr a} \# vs, ls_2, C, M, pc, \text{No-ics}) \# frs, sh_2)$
 $\langle proof \rangle$

lemma jvm-Invokestatic:

assumes [simp]: $P \equiv compP_2 P_1$
and $P, C, M, pc \triangleright \text{Invokestatic } C' M' \text{ (length } Ts)$
and sh: $sh_2 \text{ D} = \text{Some}(sfs, \text{Done})$
and method: $P_1 \vdash C' \text{ sees } M', \text{Static} : Ts \rightarrow T = \text{body in } D$
and len: $\text{length } pvs = \text{length } Ts$ **and** $ls_2' = pvs @ \text{replicate } (\text{max-vars body}) \text{ undefined}$
shows $P \vdash (\text{None}, h_2, (\text{rev } pvs @ vs, ls_2, C, M, pc, \text{No-ics}) \# frs, sh_2) -jvm \rightarrow$
 $(\text{None}, h_2, ([]), ls_2', D, M', 0, \text{No-ics}) \# (\text{rev } pvs @ vs, ls_2, C, M, pc, \text{No-ics}) \# frs, sh_2)$
 $\langle proof \rangle$

lemma jvm-Invokestatic-Called:

assumes [simp]: $P \equiv compP_2 P_1$
and $P, C, M, pc \triangleright \text{Invokestatic } C' M' \text{ (length } Ts)$
and sh: $sh_2 \text{ D} = \text{Some}(sfs, i)$
and method: $P_1 \vdash C' \text{ sees } M', \text{Static} : Ts \rightarrow T = \text{body in } D$
and len: $\text{length } pvs = \text{length } Ts$ **and** $ls_2' = pvs @ \text{replicate } (\text{max-vars body}) \text{ undefined}$
shows $P \vdash (\text{None}, h_2, (\text{rev } pvs @ vs, ls_2, C, M, pc, \text{Called []}) \# frs, sh_2) -jvm \rightarrow$
 $(\text{None}, h_2, ([]), ls_2', D, M', 0, \text{No-ics}) \# (\text{rev } pvs @ vs, ls_2, C, M, pc, \text{No-ics}) \# frs, sh_2)$

$\langle proof \rangle$

lemma *jvm-Return-Init*:

$$\begin{aligned} P, D, \text{clinit}, 0 \triangleright \text{compE}_2 \text{ body } @ [\text{Return}] \\ \implies P \vdash (\text{None}, h, (vs, ls, D, \text{clinit}, \text{size}(\text{compE}_2 \text{ body}), \text{No-ics}) \# frs, sh) \\ -jvm\rightarrow (\text{None}, h, frs, sh(D \rightarrow (\text{fst}(\text{the}(sh D)), \text{Done}))) \\ (\mathbf{is} \ ?P \implies P \vdash ?s1 -jvm\rightarrow ?s2) \end{aligned}$$

$\langle proof \rangle$

lemma *jvm-InitNone*:

$$\begin{aligned} \llbracket \text{ics-of } f = \text{Calling C Cs}; \\ sh C = \text{None} \rrbracket \\ \implies P \vdash (\text{None}, h, f \# frs, sh) -jvm\rightarrow (\text{None}, h, f \# frs, sh(C \mapsto (\text{sblank } P \ C, \text{ Prepared}))) \\ (\mathbf{is} \llbracket ?P; ?Q \rrbracket \implies P \vdash ?s1 -jvm\rightarrow ?s2) \\ \langle proof \rangle \end{aligned}$$

lemma *jvm-InitDP*:

$$\begin{aligned} \llbracket \text{ics-of } f = \text{Calling C Cs}; \\ sh C = \lfloor (sfs, i) \rfloor; i = \text{Done} \vee i = \text{Processing} \rrbracket \\ \implies P \vdash (\text{None}, h, f \# frs, sh) -jvm\rightarrow (\text{None}, h, (\text{calling-to-scalled } f) \# frs, sh) \\ (\mathbf{is} \llbracket ?P; ?Q; ?R \rrbracket \implies P \vdash ?s1 -jvm\rightarrow ?s2) \\ \langle proof \rangle \end{aligned}$$

lemma *jvm-InitError*:

$$\begin{aligned} sh C = \lfloor (sfs, \text{Error}) \rfloor \\ \implies P \vdash (\text{None}, h, (vs, ls, C_0, M, pc, \text{Calling C Cs}) \# frs, sh) \\ -jvm\rightarrow (\text{None}, h, (vs, ls, C_0, M, pc, \text{Throwing Cs (addr-of-sys-xcpt NoClassDefFoundError)}) \# frs, sh) \\ \langle proof \rangle \end{aligned}$$

lemma *exec-ErrorThrowing*:

$$\begin{aligned} sh C = \lfloor (sfs, \text{Error}) \rfloor \\ \implies \text{exec } (P, (\text{None}, h, \text{calling-to-throwing (stk,loc,D,M,pc,Calling C Cs)} \ a \# frs, sh)) \\ = \text{Some } (\text{None}, h, \text{calling-to-throwing (stk,loc,D,M,pc,Calling C Cs)} \ a \ # frs, sh) \\ \langle proof \rangle \end{aligned}$$

lemma *jvm-InitObj*:

$$\begin{aligned} \llbracket sh C = \text{Some}(sfs, \text{Prepared}); \\ C = \text{Object}; \\ sh' = sh(C \mapsto (sfs, \text{Processing})) \rrbracket \\ \implies P \vdash (\text{None}, h, (vs, ls, C_0, M, pc, \text{Calling C Cs}) \# frs, sh) -jvm\rightarrow \\ (\text{None}, h, (vs, ls, C_0, M, pc, \text{Called (C \# Cs)}) \# frs, sh') \\ (\mathbf{is} \llbracket ?P; ?Q; ?R \rrbracket \implies P \vdash ?s1 -jvm\rightarrow ?s2) \\ \langle proof \rangle \end{aligned}$$

lemma *jvm-InitNonObj*:

$$\begin{aligned} \llbracket sh C = \text{Some}(sfs, \text{Prepared}); \\ C \neq \text{Object}; \\ \text{class } P \ C = \text{Some } (D, r); \\ sh' = sh(C \mapsto (sfs, \text{Processing})) \rrbracket \\ \implies P \vdash (\text{None}, h, (vs, ls, C_0, M, pc, \text{Calling C Cs}) \# frs, sh) -jvm\rightarrow \\ (\text{None}, h, (vs, ls, C_0, M, pc, \text{Calling D (C \# Cs)}) \# frs, sh') \\ (\mathbf{is} \llbracket ?P; ?Q; ?R; ?S \rrbracket \implies P \vdash ?s1 -jvm\rightarrow ?s2) \\ \langle proof \rangle \end{aligned}$$

lemma *jvm-RInit-throw*:

$P \vdash (\text{None}, h, (vs, l, C, M, pc, \text{Throwing} [] xa) \# frs, sh)$
 $\quad -jvm \rightarrow \text{handle } P C M xa h vs l pc \text{ No-ics frs sh}$
(is $P \vdash ?s1 -jvm \rightarrow ?s2$)
 $\langle proof \rangle$

lemma *jvm-RInit-throw'*:

$P \vdash (\text{None}, h, (vs, l, C, M, pc, \text{Throwing} [C'] xa) \# frs, sh)$
 $\quad -jvm \rightarrow \text{handle } P C M xa h vs l pc \text{ No-ics frs (sh(C'):=Some(fst(the(sh C'))), Error))}$
(is $P \vdash ?s1 -jvm \rightarrow ?s2$)
 $\langle proof \rangle$

lemma *jvm-Called*:

$P \vdash (\text{None}, h, (vs, l, C, M, pc, \text{Called } (C_0 \# Cs)) \# frs, sh) -jvm \rightarrow$
 $\quad (\text{None}, h, \text{create-init-frame } P C_0 \# (vs, l, C, M, pc, \text{Called } Cs) \# frs, sh)$
 $\langle proof \rangle$

lemma *jvm-Throwing*:

$P \vdash (\text{None}, h, (vs, l, C, M, pc, \text{Throwing } (C_0 \# Cs) xa') \# frs, sh) -jvm \rightarrow$
 $\quad (\text{None}, h, (vs, l, C, M, pc, \text{Throwing } Cs xa') \# frs, sh(C_0 \mapsto (\text{fst } (\text{the } (sh C_0)), \text{Error})))$
 $\langle proof \rangle$

Other lemmas for correctness proof

lemma assumes *wf:wf-prog wf-md P*

and ex: *class P C = Some a*

shows *create-init-frame-wf-eq: create-init-frame (compP2 P) C = (stk,loc,D,M,pc,ics) \implies D=C*
 $\langle proof \rangle$

lemma *beforex-try*:

assumes *pcI: {pc..<pc+size(compE2(try e1 catch(Ci i) e2))} \subseteq I*
and bx: $P, C, M \triangleright \text{compxE2 (try } e_1 \text{ catch}(Ci i) e_2\text{) pc (size } vs\text{) / } I, \text{size } vs$
shows $P, C, M \triangleright \text{compxE2 } e_1 \text{ pc (size } vs\text{) / } \{pc..<pc + \text{length } (\text{compE2 } e_1)\}, \text{size } vs$
 $\langle proof \rangle$

lemma

shows *eval1-init-return: $P \vdash_1 \langle e, s \rangle \Rightarrow \langle e', s' \rangle$*

$\implies \text{iconf } (shp_1 s) e$
 $\implies (\exists Cs b. e = \text{INIT } C' (Cs, b) \leftarrow \text{unit}) \vee (\exists C e_0 Cs e_i. e = RI(C, e_0); Cs @ [C'] \leftarrow \text{unit})$
 $\quad \vee (\exists e_0. e = RI(C', e_0); \text{Nil} \leftarrow \text{unit})$
 $\implies (\text{val-of } e' = \text{Some } v \longrightarrow (\exists sfs i. shp_1 s' C' = [(sfs, i)] \wedge (i = \text{Done} \vee i = \text{Processing})))$
 $\quad \wedge (\text{throw-of } e' = \text{Some } a \longrightarrow (\exists sfs i. shp_1 s' C' = [(sfs, Error)]))$

and $P \vdash_1 \langle es, s \rangle \Rightarrow \langle es', s' \rangle \implies \text{True}$

$\langle proof \rangle$

lemma *init1-Val-PD: $P \vdash_1 \langle \text{INIT } C' (Cs, b) \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{Val } v, s' \rangle$*

$\implies \text{iconf } (shp_1 s) (\text{INIT } C' (Cs, b) \leftarrow \text{unit})$
 $\implies \exists sfs i. shp_1 s' C' = [(sfs, i)] \wedge (i = \text{Done} \vee i = \text{Processing})$
 $\langle proof \rangle$

lemma *init1-throw-PD: $P \vdash_1 \langle \text{INIT } C' (Cs, b) \leftarrow \text{unit}, s \rangle \Rightarrow \langle \text{throw } a, s' \rangle$*

$\implies \text{iconf } (shp_1 s) (\text{INIT } C' (Cs, b) \leftarrow \text{unit})$
 $\implies \exists sfs i. shp_1 s' C' = [(sfs, Error)]$

$\langle proof \rangle$

lemma *rinit₁-Val-PD*:

assumes eval: $P \vdash_1 \langle RI(C, e_0); Cs \leftarrow unit, s \rangle \Rightarrow \langle Val v, s' \rangle$
and iconf: $iconf(shp_1 s) (RI(C, e_0); Cs \leftarrow unit)$ **and** last: $last(C \# Cs) = C'$
shows $\exists sfs i. shp_1 s' C' = \lfloor (sfs, i) \rfloor \wedge (i = Done \vee i = Processing)$

$\langle proof \rangle$

lemma *rinit₁-throw-PD*:

assumes eval: $P \vdash_1 \langle RI(C, e_0); Cs \leftarrow unit, s \rangle \Rightarrow \langle throw a, s' \rangle$
and iconf: $iconf(shp_1 s) (RI(C, e_0); Cs \leftarrow unit)$ **and** last: $last(C \# Cs) = C'$
shows $\exists sfs. shp_1 s' C' = \lfloor (sfs, Error) \rfloor$

$\langle proof \rangle$

The proof

lemma fixes *P₁ defines [simp]*: $P \equiv compP_2 P_1$

assumes wf: *wf-J₁-prog P₁*

shows Jcc: $P_1 \vdash_1 \langle e, (h_0, ls_0, sh_0) \rangle \Rightarrow \langle ef, (h_1, ls_1, sh_1) \rangle \Rightarrow$

$(\bigwedge E C M pc ics v xa vs frs I.$

$\llbracket Jcc\text{-cond } P_1 E C M vs pc ics I h_0 sh_0 e \rrbracket \Rightarrow$

$(ef = Val v \rightarrow$

$P \vdash (None, h_0, Jcc\text{-frames } P C M vs ls_0 pc ics frs e, sh_0)$

$-jvm \rightarrow Jcc\text{-rhs } P_1 E C M vs ls_0 pc ics frs h_1 ls_1 sh_1 v e)$

\wedge

$(ef = Throw xa \rightarrow Jcc\text{-err } P C M h_0 vs ls_0 pc ics frs sh_0 I h_1 ls_1 sh_1 xa e)$

and $P_1 \vdash_1 \langle es, (h_0, ls_0, sh_0) \rangle \Rightarrow \langle fs, (h_1, ls_1, sh_1) \rangle \Rightarrow$

$(\bigwedge C M pc ics ws xa es' vs frs I.$

$\llbracket P, C, M, pc \triangleright compEs_2 es; P, C, M \triangleright compxEs_2 es pc (size vs)/I, size vs;$

$\{pc.. < pc + size(compEs_2 es)\} \subseteq I; ics = No-ics;$

$\neg sub-RIs es \rrbracket \Rightarrow$

$(fs = map Val ws \rightarrow$

$P \vdash (None, h_0, (vs, ls_0, C, M, pc, ics) \# frs, sh_0) -jvm \rightarrow$

$(None, h_1, (rev ws @ vs, ls_1, C, M, pc + size(compEs_2 es), ics) \# frs, sh_1))$

\wedge

$(fs = map Val ws @ Throw xa \# es' \rightarrow$

$(\exists pc_1. pc \leq pc_1 \wedge pc_1 < pc + size(compEs_2 es) \wedge$

$\neg caught P pc_1 h_1 xa (compxEs_2 es pc (size vs)) \wedge$

$(\exists vs'. P \vdash (None, h_0, (vs, ls_0, C, M, pc, ics) \# frs, sh_0)$

$-jvm \rightarrow handle P C M xa h_1 (vs' @ vs) ls_1 pc_1 ics frs sh_1)))) \langle proof \rangle$

lemma *atLeast0AtMost[simp]*: $\{0::nat..n\} = \{..n\}$

$\langle proof \rangle$

lemma *atLeast0LessThan[simp]*: $\{0::nat..<n\} = \{..<n\}$

$\langle proof \rangle$

fun exception :: 'a exp \Rightarrow addr option **where**

exception (Throw a) = Some a

| exception e = None

lemma *comp₂-correct*:

assumes wf: *wf-J₁-prog P₁*

and method: $P_1 \vdash C \text{ sees } M, b: Ts \rightarrow T = \text{body in } C$

```

and eval:  $P_1 \vdash_1 \langle body, (h, ls, sh) \rangle \Rightarrow \langle e', (h', ls', sh') \rangle$ 
and nclinit:  $M \neq \text{clinit}$ 
shows compP2  $P_1 \vdash (\text{None}, h, [([], ls, C, M, 0, \text{No-ics})], sh) - jvm \rightarrow (\text{exception } e', h', [], sh') \langle proof \rangle$ 
end

```

3.8 Combining Stages 1 and 2

```

theory Compiler
imports Correctness1 Correctness2
begin

definition J2JVM :: J-prog  $\Rightarrow$  jvm-prog
where
J2JVM  $\equiv$   $compP_2 \circ compP_1$ 

theorem comp-correct-NonStatic:
assumes wf: wf-J-prog P
and method:  $P \vdash C \text{ sees } M, \text{NonStatic}: Ts \rightarrow T = (pns, body) \text{ in } C$ 
and eval:  $P \vdash \langle body, (h, [this \# pns \mapsto vs], sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$ 
and sizes:  $\text{size } vs = \text{size } pns + 1 \quad \text{size } rest = \text{max-vars } body$ 
shows J2JVM  $P \vdash (\text{None}, h, [([], vs @ rest, C, M, 0, \text{No-ics})], sh) - jvm \rightarrow (\text{exception } e', h', [], sh') \langle proof \rangle$ 
theorem comp-correct-Static:
assumes wf: wf-J-prog P
and method:  $P \vdash C \text{ sees } M, \text{Static}: Ts \rightarrow T = (pns, body) \text{ in } C$ 
and eval:  $P \vdash \langle body, (h, [pns \mapsto vs], sh) \rangle \Rightarrow \langle e', (h', l', sh') \rangle$ 
and sizes:  $\text{size } vs = \text{size } pns \quad \text{size } rest = \text{max-vars } body$ 
and nclinit:  $M \neq \text{clinit}$ 
shows J2JVM  $P \vdash (\text{None}, h, [([], vs @ rest, C, M, 0, \text{No-ics})], sh) - jvm \rightarrow (\text{exception } e', h', [], sh') \langle proof \rangle$ 
end

```

3.9 Preservation of Well-Typedness

```

theory TypeComp
imports Compiler .. / BV / BVSpec
begin

lemma max-stack1:  $P, E \vdash_1 e :: T \implies 1 \leq \text{max-stack } e \langle proof \rangle$ 
locale TC0 =
  fixes P :: J1-prog and  $mxl :: nat$ 
begin

definition ty E e = ( $\text{THE } T. P, E \vdash_1 e :: T$ )
definition tyl E A' =  $\text{map} (\lambda i. \text{if } i \in A' \wedge i < \text{size } E \text{ then } \text{OK}(E!i) \text{ else Err}) [0.. < mxl]$ 
definition tyi' ST E A = ( $\text{case } A \text{ of } \text{None} \Rightarrow \text{None} \mid [A'] \Rightarrow \text{Some}(ST, \text{ty}_l E A')$ )
definition after E A ST e =  $\text{ty}_i' (\text{ty } E e \# ST) E (A \sqcup \mathcal{A} e)$ 
end

lemma (in TC0) ty-def2 [simp]:  $P, E \vdash_1 e :: T \implies \text{ty } E e = T \langle proof \rangle$ 
lemma (in TC0) [simp]:  $\text{ty}_i' ST E \text{None} = \text{None} \langle proof \rangle$ 

```

lemma (in TC0) ty_l -app-diff[simp]:
 $ty_l (E @ [T]) (A - \{\text{size } E\}) = ty_l E A \langle \text{proof} \rangle$

lemma (in TC0) ty_i' -app-diff[simp]:
 $ty_i' ST (E @ [T]) (A \ominus \text{size } E) = ty_i' ST E A \langle \text{proof} \rangle$

lemma (in TC0) ty_l -antimono:
 $A \subseteq A' \implies P \vdash ty_l E A' [\leq_{\top}] ty_l E A \langle \text{proof} \rangle$

lemma (in TC0) ty_i' -antimono:
 $A \subseteq A' \implies P \vdash ty_i' ST E [A'] \leq' ty_i' ST E [A] \langle \text{proof} \rangle$

lemma (in TC0) ty_l -env-antimono:
 $P \vdash ty_l (E @ [T]) A [\leq_{\top}] ty_l E A \langle \text{proof} \rangle$

lemma (in TC0) ty_i' -env-antimono:
 $P \vdash ty_i' ST (E @ [T]) A \leq' ty_i' ST E A \langle \text{proof} \rangle$

lemma (in TC0) ty_i' -incr:
 $P \vdash ty_i' ST (E @ [T]) [\text{insert} (\text{size } E) A] \leq' ty_i' ST E [A] \langle \text{proof} \rangle$

lemma (in TC0) ty_l -incr:
 $P \vdash ty_l (E @ [T]) (\text{insert} (\text{size } E) A) [\leq_{\top}] ty_l E A \langle \text{proof} \rangle$

lemma (in TC0) ty_l -in-types:
 $\text{set } E \subseteq \text{types } P \implies ty_l E A \in \text{nlists mxl} (\text{err} (\text{types } P)) \langle \text{proof} \rangle$

locale TC1 = TC0

begin

```

primrec compT ::  $ty$  list  $\Rightarrow$  nat hyperset  $\Rightarrow$   $ty$  list  $\Rightarrow$  expr1  $\Rightarrow$   $ty_i'$  list and
  compTs ::  $ty$  list  $\Rightarrow$  nat hyperset  $\Rightarrow$   $ty$  list  $\Rightarrow$  expr1 list  $\Rightarrow$   $ty_i'$  list where
  compT  $E A ST$  (new C) = []
  | compT  $E A ST$  (Cast C e) =
    compT  $E A ST e @ [after E A ST e]$ 
  | compT  $E A ST$  (Val v) = []
  | compT  $E A ST$  (e1 «bop» e2) =
    (let ST1 =  $ty E e_1 \# ST$ ; A1 =  $A \sqcup \mathcal{A} e_1$  in
     compT  $E A ST e_1 @ [after E A ST e_1] @$ 
     compT  $E A_1 ST_1 e_2 @ [after E A_1 ST_1 e_2]$ )
  | compT  $E A ST$  (Var i) = []
  | compT  $E A ST$  (i := e) = compT  $E A ST e @$ 
    [after E A ST e,  $ty_i' ST E (A \sqcup \mathcal{A} e \sqcup [\{i\}])$ ]
  | compT  $E A ST$  (e·F{D}) =
    compT  $E A ST e @ [after E A ST e]$ 
  | compT  $E A ST$  (C·sF{D}) = []
  | compT  $E A ST$  (e1·F{D} := e2) =
    (let ST1 =  $ty E e_1 \# ST$ ; A1 =  $A \sqcup \mathcal{A} e_1$ ; A2 =  $A_1 \sqcup \mathcal{A} e_2$  in
     compT  $E A ST e_1 @ [after E A ST e_1] @$ 
     compT  $E A_1 ST_1 e_2 @ [after E A_1 ST_1 e_2] @$ 
     [ $ty_i' ST E A_2$ ])
  | compT  $E A ST$  (C·sF{D} := e2) = compT  $E A ST e_2 @ [after E A ST e_2] @ [ty_i' ST E (A \sqcup \mathcal{A} e_2)]$ 
  | compT  $E A ST$  {i:T; e} = compT (E @ [T]) (A ⊕ i) ST e
  | compT  $E A ST$  (e1;; e2) =

```

```

(let A1 = A ⊔ A e1 in
  compT E A ST e1 @ [after E A ST e1, tyi' ST E A1] @
  compT E A1 ST e2)
| compT E A ST (if (e) e1 else e2) =
  (let A0 = A ⊔ A e; τ = tyi' ST E A0 in
    compT E A ST e @ [after E A ST e, τ] @
    compT E A0 ST e1 @ [after E A0 ST e1, τ] @
    compT E A0 ST e2)
| compT E A ST (while (e) c) =
  (let A0 = A ⊔ A e; A1 = A0 ⊔ A c; τ = tyi' ST E A0 in
    compT E A ST e @ [after E A ST e, τ] @
    compT E A0 ST c @ [after E A0 ST c, tyi' ST E A1, tyi' ST E A0])
| compT E A ST (throw e) = compT E A ST e @ [after E A ST e]
| compT E A ST (e·M(es)) =
  compT E A ST e @ [after E A ST e] @
  compTs E (A ⊔ A e) (ty E e # ST) es
| compT E A ST (C·sM(es)) = compTs E A ST es
| compT E A ST (try e1 catch(C i) e2) =
  compT E A ST e1 @ [after E A ST e1] @
  [tyi' (Class C#ST) E A, tyi' ST (E@[Class C]) (A ⊔ {[i]})] @
  compT (E@[Class C]) (A ⊔ {[i]}) ST e2
| compT E A ST (INIT C (Cs,b) ← e) = []
| compT E A ST (RI(C,e');Cs ← e) = []
| compTs E A ST [] = []
| compTs E A ST (e#es) = compT E A ST e @ [after E A ST e] @
  compTs E (A ⊔ (A e)) (ty E e # ST) es

```

definition $\text{compT}_a :: \text{ty list} \Rightarrow \text{nat hyperset} \Rightarrow \text{ty list} \Rightarrow \text{expr}_1 \Rightarrow \text{ty}_i' \text{ list where}$
 $\text{compT}_a E A ST e = \text{compT E A ST e} @ [\text{after E A ST e}]$

end

lemma $\text{compE}_2\text{-not-Nil[simp]}: P, E \vdash_1 e :: T \implies \text{compE}_2 e \neq [] \langle \text{proof} \rangle$
lemma (in TC1) $\text{compT-sizes}'$:
shows $\bigwedge E A ST. \neg \text{sub-RI } e \implies \text{size}(\text{compT E A ST e}) = \text{size}(\text{compE}_2 e) - 1$
and $\bigwedge E A ST. \neg \text{sub-RIs es} \implies \text{size}(\text{compTs E A ST es}) = \text{size}(\text{compEs}_2 es) \langle \text{proof} \rangle$
lemma (in TC1) compT-sizes[simp] :
shows $\bigwedge E A ST. P, E \vdash_1 e :: T \implies \text{size}(\text{compT E A ST e}) = \text{size}(\text{compE}_2 e) - 1$
and $\bigwedge E A ST. P, E \vdash_1 es :: Ts \implies \text{size}(\text{compTs E A ST es}) = \text{size}(\text{compEs}_2 es) \langle \text{proof} \rangle$

lemma (in TC1) [simp]: $\bigwedge ST E. [\tau] \notin \text{set}(\text{compT E None ST e})$
and [simp]: $\bigwedge ST E. [\tau] \notin \text{set}(\text{compTs E None ST es}) \langle \text{proof} \rangle$

lemma (in TC0) pair-eq-ty_i'-conv:
 $([(ST, LT)] = ty_i' ST_0 E A) =$
 $(\text{case } A \text{ of } \text{None} \Rightarrow \text{False} \mid \text{Some } A \Rightarrow (ST = ST_0 \wedge LT = ty_l E A)) \langle \text{proof} \rangle$

lemma (in TC0) pair-conv-ty_i:
 $[(ST, ty_l E A)] = ty_i' ST E [A] \langle \text{proof} \rangle$

lemma (in TC1) compT-LT-prefix:
 $\bigwedge E A ST_0. \llbracket [(ST, LT)] \in \text{set}(\text{compT E A ST}_0 e); \mathcal{B} e (\text{size } E) \rrbracket$
 $\implies P \vdash [(ST, LT)] \leq' ty_i' ST E A$
and

$\bigwedge E A ST_0. \llbracket \lfloor (ST, LT) \rfloor \in set(compTs E A ST_0 es); \mathcal{B}s es (size E) \rrbracket \\ \implies P \vdash \lfloor (ST, LT) \rfloor \leq' ty_i' ST E A \langle proof \rangle$

lemma [iff]: $OK \text{ None} \in states P mxs mxl \langle proof \rangle$
lemma (in TC0) after-in-states:
assumes $wf: wf\text{-prog } p P \text{ and } wt: P, E \vdash_1 e :: T$
and $Etypes: set E \subseteq types P \text{ and } STtypes: set ST \subseteq types P$
and $stack: size ST + max\text{-stack } e \leq mxs$
shows $OK \text{ (after } E A ST e \text{) } \in states P mxs mxl \langle proof \rangle$

lemma (in TC0) OK-ty_i'-in-statesI[simp]:
 $\llbracket set E \subseteq types P; set ST \subseteq types P; size ST \leq mxs \rrbracket \\ \implies OK \text{ (ty}_i' ST E A \text{) } \in states P mxs mxl \langle proof \rangle$

lemma is-class-type-aux: $is\text{-class } P C \implies is\text{-type } P (Class C) \langle proof \rangle$

theorem (in TC1) compT-states:

assumes $wf: wf\text{-prog } p P$

shows $\bigwedge E T A ST.$

$\llbracket P, E \vdash_1 e :: T; set E \subseteq types P; set ST \subseteq types P; \\ size ST + max\text{-stack } e \leq mxs; size E + max\text{-vars } e \leq mxl \rrbracket \\ \implies OK \text{ 'set}(compT E A ST e) \subseteq states P mxs mxl$

and $\bigwedge E Ts A ST.$

$\llbracket P, E \vdash_1 es[::] Ts; set E \subseteq types P; set ST \subseteq types P; \\ size ST + max\text{-stacks } es \leq mxs; size E + max\text{-varss } es \leq mxl \rrbracket \\ \implies OK \text{ 'set}(compTs E A ST es) \subseteq states P mxs mxl \langle proof \rangle$

definition $shift :: nat \Rightarrow ex\text{-table} \Rightarrow ex\text{-table}$

where

$shift n xt \equiv map (\lambda(from,to,C,handler,depth). (from+n,to+n,C,handler+n,depth)) xt$

lemma [simp]: $shift 0 xt = xt \langle proof \rangle$

lemma [simp]: $shift n [] = [] \langle proof \rangle$

lemma [simp]: $shift n (xt_1 @ xt_2) = shift n xt_1 @ shift n xt_2 \langle proof \rangle$

lemma [simp]: $shift m (shift n xt) = shift (m+n) xt \langle proof \rangle$

lemma [simp]: $pcs (shift n xt) = \{pc+n | pc. pc \in pcs xt\} \langle proof \rangle$

lemma $shift\text{-compxE}_2:$

shows $\bigwedge pc pc'. d. shift pc (compxE_2 e pc' d) = compxE_2 e (pc' + pc) d$
and $\bigwedge pc pc'. d. shift pc (compxEs_2 es pc' d) = compxEs_2 es (pc' + pc) d \langle proof \rangle$

lemma compxE_2-size-convs[simp]:

shows $n \neq 0 \implies compxE_2 e n d = shift n (compxE_2 e 0 d)$

and $n \neq 0 \implies compxEs_2 es n d = shift n (compxEs_2 es 0 d) \langle proof \rangle$

locale $TC2 = TC1 +$

fixes $T_r :: ty \text{ and } mxs :: pc$

begin

definition

$wt\text{-instrs} :: instr list \Rightarrow ex\text{-table} \Rightarrow ty_i' list \Rightarrow bool$

$(\langle (\vdash -, - / [:] / -) \rangle [0,0,51] 50) \text{ where }$

$\vdash is, xt [:] \tau s \longleftrightarrow size is < size \tau s \wedge pcs xt \subseteq \{0..<size is\} \wedge$

$(\forall pc < size is. P, T_r, mxs, size \tau s, xt \vdash is!pc, pc :: \tau s)$

end

notation $TC2.wt\text{-instrs} ((-, -, - \vdash -, -, /[], /[] ::) [50, 50, 50, 50, 50, 51] 50)$

lemma (in $TC2$) [$simp$]: $\tau s \neq [] \implies \vdash [], [] :: \tau s \langle proof \rangle$

lemma [$simp$]: $eff i P pc \text{ et } None = [] \langle proof \rangle$

lemma $wt\text{-instr-appR}$:

$\llbracket P, T, m, mpc, xt \vdash is!pc, pc :: \tau s; pc < size is; size is < size \tau s; mpc \leq size \tau s; mpc \leq mpc' \rrbracket \implies P, T, m, mpc', xt \vdash is!pc, pc :: \tau s @ \tau s' \langle proof \rangle$

lemma $relevant\text{-entries}\text{-shift}$ [$simp$]:

$relevant\text{-entries} P i (pc + n) (shift n xt) = shift n (relevant\text{-entries} P i pc xt) \langle proof \rangle$

lemma [$simp$]:

$xcpt\text{-eff} i P (pc + n) \tau (shift n xt) = map (\lambda(pc, \tau). (pc + n, \tau)) (xcpt\text{-eff} i P pc \tau xt) \langle proof \rangle$

lemma [$simp$]:

$app_i (i, P, pc, m, T, \tau) \implies eff i P (pc + n) (shift n xt) (\text{Some } \tau) = map (\lambda(pc, \tau). (pc + n, \tau)) (eff i P pc xt (\text{Some } \tau)) \langle proof \rangle$

lemma [$simp$]:

$xcpt\text{-app} i P (pc + n) mxs (shift n xt) \tau = xcpt\text{-app} i P pc mxs xt \tau \langle proof \rangle$

lemma $wt\text{-instr-appL}$:

assumes $P, T, m, mpc, xt \vdash i, pc :: \tau s \text{ and } pc < size \tau s \text{ and } mpc \leq size \tau s$

shows $P, T, m, mpc + size \tau s', shift (size \tau s') xt \vdash i, pc + size \tau s' :: \tau s' @ \tau s \langle proof \rangle$

lemma $wt\text{-instr-Cons}$:

assumes $wti: P, T, m, mpc - 1, [] \vdash i, pc - 1 :: \tau s$

and $pcl: 0 < pc \text{ and } mpcl: 0 < mpc$

and $pcu: pc < size \tau s + 1 \text{ and } mpcl: mpc \leq size \tau s + 1$

shows $P, T, m, mpc, [] \vdash i, pc :: \tau \# \tau s \langle proof \rangle$

lemma $wt\text{-instr-append}$:

assumes $wti: P, T, m, mpc - size \tau s', [] \vdash i, pc - size \tau s' :: \tau s$

and $pcl: size \tau s' \leq pc \text{ and } mpcl: size \tau s' \leq mpc$

and $pcu: pc < size \tau s + size \tau s' \text{ and } mpcl: mpc \leq size \tau s + size \tau s'$

shows $P, T, m, mpc, [] \vdash i, pc :: \tau s' @ \tau s \langle proof \rangle$

lemma $xcpt\text{-app-pcs}$:

$pc \notin pcs \text{ xt} \implies xcpt\text{-app} i P pc mxs xt \tau \langle proof \rangle$

lemma $xcpt\text{-eff-pcs}$:

$pc \notin pcs \text{ xt} \implies xcpt\text{-eff} i P pc \tau xt = [] \langle proof \rangle$

lemma $pcs\text{-shift}$:

$pc < n \implies pc \notin pcs (shift n xt) \langle proof \rangle$

lemma $wt\text{-instr-appRx}$:

$\llbracket P, T, m, mpc, xt \vdash is!pc, pc :: \tau s; pc < size is; size is < size \tau s; mpc \leq size \tau s \rrbracket \implies P, T, m, mpc, xt @ shift (size is) xt' \vdash is!pc, pc :: \tau s \langle proof \rangle$

BV/BVExec
BV/LBVJVM
BV/BVNoTypeError
Compiler/TypeComp

begin

end

Bibliography

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