

# Interpreter\_Optimizations

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June 17, 2024

## Abstract

This Isabelle/HOL formalization builds on the `VeriComp` entry of the *Archive of Formal Proofs* to provide the following contributions:

- an operational semantics for a realistic virtual machine (`Std`) for dynamically typed programming languages;
- the formalization of an inline caching optimization (`Inca`), a proof of bisimulation with (`Std`), and a compilation function;
- the formalization of an unboxing optimization (`Ubx`), a proof of bisimulation with (`Inca`), and a simple compilation function.

This formalization was described in [1].

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<b>theory Env</b>	
<b>imports</b> <i>Main HOL-Library.Library</i>	
<b>begin</b>	

## 1 Generic lemmas

**lemma** *map-of-list-allI*:

**assumes**  $\bigwedge k v. f k = \text{Some } v \implies P (k, v)$  **and**  
 $\bigwedge k v. \text{map-of } kvs k = \text{Some } v \implies f k = \text{Some } v$  **and**  
*distinct (map fst kvs)*  
**shows** *list-all P kvs*  
*<proof>*

## 2 Environment

**locale** *env* =

```

fixes
  empty :: 'env and
  get :: 'env  $\Rightarrow$  'key  $\Rightarrow$  'val option and
  add :: 'env  $\Rightarrow$  'key  $\Rightarrow$  'val  $\Rightarrow$  'env and
  to-list :: 'env  $\Rightarrow$  ('key  $\times$  'val) list
assumes
  get-empty: get empty x = None and
  get-add-eq: get (add e x v) x = Some v and
  get-add-neq: x  $\neq$  y  $\implies$  get (add e x v) y = get e y and
  to-list-correct: map-of (to-list e) = get e and
  to-list-distinct: distinct (map fst (to-list e))

begin

declare get-empty[simp]
declare get-add-eq[simp]
declare get-add-neq[simp]

definition singleton where
  singleton  $\equiv$  add empty

fun add-list :: 'env  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  'env where
  add-list e [] = e |
  add-list e (x # xs) = add (add-list e xs) (fst x) (snd x)

definition from-list :: ('key  $\times$  'val) list  $\Rightarrow$  'env where
  from-list  $\equiv$  add-list empty

lemma from-list-correct: get (from-list xs) = map-of xs
  <proof>

lemma from-list-Nil[simp]: from-list [] = empty
  <proof>

lemma get-from-list-to-list: get (from-list (to-list e)) = get e
  <proof>

lemma to-list-list-all:
  assumes  $\bigwedge k v. \text{get } e \ k = \text{Some } v \implies P \ (k, v)$ 
  shows list-all P (to-list e)
  <proof>

definition map-entry where
  map-entry e k f  $\equiv$  case get e k of None  $\Rightarrow$  e | Some v  $\Rightarrow$  add e k (f v)

lemma get-map-entry-eq[simp]: get (map-entry e k f) k = map-option f (get e k)
  <proof>

lemma get-map-entry-neq[simp]: x  $\neq$  y  $\implies$  get (map-entry e x f) y = get e y

```

*<proof>*

**lemma** *dom-map-entry[simp]*:  $\text{dom } (\text{get } (\text{map-entry } e \ k \ f)) = \text{dom } (\text{get } e)$   
*<proof>*

**lemma** *get-map-entry-conv*:  
 $\text{get } (\text{map-entry } e \ x \ f) \ y = \text{map-option } (\lambda v. \text{if } x = y \text{ then } f \ v \ \text{else } \ v) (\text{get } e \ y)$   
*<proof>*

**lemma** *map-option-comp-map-entry*:  
**assumes**  $\forall x \in \text{ran } (\text{get } e). f \ (g \ x) = f \ x$   
**shows**  $\text{map-option } f \circ \text{get } (\text{map-entry } e \ k \ g) = \text{map-option } f \circ \text{get } e$   
*<proof>*

**lemma** *map-option-comp-get-add*:  
**assumes**  $k \in \text{dom } (\text{get } e)$  **and**  $\forall x \in \text{ran } (\text{get } e). f \ v = f \ x$   
**shows**  $\text{map-option } f \circ \text{get } (\text{add } e \ k \ v) = \text{map-option } f \circ \text{get } e$   
*<proof>*

**end**

**end**

**theory** *Env-list*

**imports** *Env HOL-Library.Library*

**begin**

## 2.1 Generic lemmas

**lemma** *map-of-filter*:  
 $x \neq y \implies \text{map-of } (\text{filter } (\lambda z. \text{fst } z \neq y) \ zs) \ x = \text{map-of } \ zs \ x$   
*<proof>*

## 2.2 List-based implementation of environment

**context**

**begin**

**qualified type-synonym**  $(\text{'key}, \text{'val}) \ t = (\text{'key} \times \text{'val}) \ \text{list}$

**qualified definition** *empty* ::  $(\text{'key}, \text{'val}) \ t$  **where**  
 $\text{empty} \equiv []$

**qualified definition** *get* ::  $(\text{'key}, \text{'val}) \ t \Rightarrow \text{'key} \Rightarrow \text{'val} \ \text{option}$  **where**  
 $\text{get} \equiv \text{map-of}$

**qualified definition** *add* ::  $(\text{'key}, \text{'val}) \ t \Rightarrow \text{'key} \Rightarrow \text{'val} \Rightarrow (\text{'key}, \text{'val}) \ t$  **where**  
 $\text{add } e \ k \ v \equiv \text{AList.update } k \ v \ e$

**term** *filter*

**qualified fun** *to-list* :: ('key, 'val) t ⇒ ('key × 'val) list **where**  
*to-list* [] = [] |  
*to-list* (x # xs) = x # *to-list* (filter (λ(k, v). k ≠ fst x) xs)

**lemma** *get-empty*: *get empty x = None*  
 ⟨*proof*⟩

**lemma** *get-add-eq*: *get (add e x v) x = Some v*  
 ⟨*proof*⟩

**lemma** *get-add-neg*: *x ≠ y ⇒ get (add e x v) y = get e y*  
 ⟨*proof*⟩

**lemma** *to-list-correct*: *map-of (to-list e) = get e*  
 ⟨*proof*⟩

**lemma** *set-to-list*: *set (to-list e) ⊆ set e*  
 ⟨*proof*⟩

**lemma** *to-list-distinct*: *distinct (map fst (to-list e))*  
 ⟨*proof*⟩

**end**

**global-interpretation** *env-list*:

*env Env-list.empty Env-list.get Env-list.add Env-list.to-list*

**defines**

*singleton = env-list.singleton* **and**

*add-list = env-list.add-list* **and**

*from-list = env-list.from-list*

⟨*proof*⟩

**export-code** *Env-list.empty Env-list.get Env-list.add Env-list.to-list singleton add-list from-list*

**in** *SML module-name Env*

**end**

**theory** *List-util*

**imports** *Main*

**begin**

**inductive** *same-length* :: 'a list ⇒ 'b list ⇒ bool **where**

*same-length-Nil*: *same-length* [] [] |

*same-length-Cons*: *same-length xs ys ⇒ same-length (x # xs) (y # ys)*

**code-pred** *same-length* ⟨*proof*⟩

**lemma** *same-length-iff-eq-lengths*:  $\text{same-length } xs \ ys \longleftrightarrow \text{length } xs = \text{length } ys$   
 ⟨proof⟩

**lemma** *same-length-Cons*:

$\text{same-length } (x \# xs) \ ys \implies \exists y \ ys'. \ ys = y \# ys'$   
 $\text{same-length } xs \ (y \# ys) \implies \exists x \ xs'. \ xs = x \# xs'$   
 ⟨proof⟩

### 3 nth\_opt

**fun** *nth-opt* **where**

$\text{nth-opt } (x \# -) \ 0 = \text{Some } x \mid$   
 $\text{nth-opt } (- \# xs) \ (\text{Suc } n) = \text{nth-opt } xs \ n \mid$   
 $\text{nth-opt } - \ - = \text{None}$

**lemma** *nth-opt-eq-Some-conv*:  $\text{nth-opt } xs \ n = \text{Some } x \longleftrightarrow n < \text{length } xs \wedge xs ! n = x$   
 ⟨proof⟩

**lemmas** *nth-opt-eq-SomeD*[*dest*] = *nth-opt-eq-Some-conv*[*THEN iffD1*]

### 4 Generic lemmas

**lemma** *list-rel-imp-pred1*:

**assumes**  
 $\text{list-all2 } R \ xs \ ys$  **and**  
 $\bigwedge x \ y. (x, y) \in \text{set } (\text{zip } xs \ ys) \implies R \ x \ y \implies P \ x$   
**shows**  $\text{list-all } P \ xs$   
 ⟨proof⟩

**lemma** *list-rel-imp-pred2*:

**assumes**  
 $\text{list-all2 } R \ xs \ ys$  **and**  
 $\bigwedge x \ y. (x, y) \in \text{set } (\text{zip } xs \ ys) \implies R \ x \ y \implies P \ y$   
**shows**  $\text{list-all } P \ ys$   
 ⟨proof⟩

**lemma** *eq-append-conv-conj*:  $(zs = xs \ @ \ ys) = (xs = \text{take } (\text{length } xs) \ zs \wedge ys = \text{drop } (\text{length } xs) \ zs)$   
 ⟨proof⟩

**lemma** *list-all-list-updateI*:  $\text{list-all } P \ xs \implies P \ x \implies \text{list-all } P \ (\text{list-update } xs \ n \ x)$   
 ⟨proof⟩

**lemmas** *list-all2-update1-cong* = *list-all2-update-cong*[*of - - ys - ys ! i i for ys i, simplified*]

**lemmas** *list-all2-update2-cong* = *list-all2-update-cong*[*of - xs - xs ! i - i for xs i, simplified*]

**lemma** *map-list-update-id*:  
 $f (xs ! pc) = f instr \implies \text{map } f (xs[pc := instr]) = \text{map } f xs$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-eq-const-imp-replicate*:  
**assumes** *list-all*  $(\lambda x. x = y) xs$   
**shows**  $xs = \text{replicate } (\text{length } xs) y$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-eq-const-imp-replicate'*:  
**assumes** *list-all*  $((=) y) xs$   
**shows**  $xs = \text{replicate } (\text{length } xs) y$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-eq-const-replicate-lhs[intro]*:  
*list-all*  $(\lambda x. y = x) (\text{replicate } n y)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-eq-const-replicate-rhs[intro]*:  
*list-all*  $(\lambda x. x = y) (\text{replicate } n y)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-eq-const-replicate[simp]*: *list-all*  $((=) c) (\text{replicate } n c)$   
 $\langle \text{proof} \rangle$

**lemma** *replicate-eq-map*:  
**assumes**  $n = \text{length } xs$  **and**  $\bigwedge y. y \in \text{set } xs \implies f y = x$   
**shows**  $\text{replicate } n x = \text{map } f xs$   
 $\langle \text{proof} \rangle$

**lemma** *replicate-eq-impl-Ball-eq*:  
**shows**  $\text{replicate } n c = xs \implies (\forall x \in \text{set } xs. x = c)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-map-of*:  
**assumes** *list-all2*  $(\text{rel-prod } (=) R) xs ys$   
**shows** *rel-option*  $R (\text{map-of } xs l) (\text{map-of } ys l)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-rel-prod-nth*:  
**assumes** *list-all2*  $(\text{rel-prod } R1 R2) xs ys$  **and**  $n < \text{length } xs$   
**shows**  $R1 (\text{fst } (xs ! n)) (\text{fst } (ys ! n)) \wedge R2 (\text{snd } (xs ! n)) (\text{snd } (ys ! n))$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-rel-prod-fst-hd*:  
**assumes** *list-all2*  $(\text{rel-prod } R1 R2) xs ys$  **and**  $xs \neq [] \vee ys \neq []$   
**shows**  $R1 (\text{fst } (\text{hd } xs)) (\text{fst } (\text{hd } ys)) \wedge R2 (\text{snd } (\text{hd } xs)) (\text{snd } (\text{hd } ys))$   
 $\langle \text{proof} \rangle$



**lemma** *list-all2-rel-prod-fst-last*:  
**assumes** *list-all2* (*rel-prod* *R1* *R2*) *xs ys* **and**  $xs \neq [] \vee ys \neq []$   
**shows**  $R1$  (*fst* (*last xs*)) (*fst* (*last ys*))  $\wedge$   $R2$  (*snd* (*last xs*)) (*snd* (*last ys*))  
*<proof>*

**lemma** *list-all-nthD[intro]*:  $list\-all\ P\ xs \implies n < length\ xs \implies P\ (xs\ !\ n)$   
*<proof>*

**lemma** *list-all P xs  $\implies \forall x \in set\ xs.\ P\ x$*   
*<proof>*

**lemma** *list-all-map-of-SomeD*:  
**assumes** *list-all P kvs* **and** *map-of kvs k = Some v*  
**shows**  $P\ (k, v)$   
*<proof>*

**lemma** *list-all-not-nthD*:  $list\-all\ P\ xs \implies \neg P\ (xs\ !\ n) \implies length\ xs \leq n$   
*<proof>*

**lemma** *list-all-butlast-not-nthD*:  $list\-all\ P\ (butlast\ xs) \implies \neg P\ (xs\ !\ n) \implies length\ xs \leq Suc\ n$   
*<proof>*

**lemma** *list-all-replicateI[intro]*:  $P\ x \implies list\-all\ P\ (replicate\ n\ x)$   
*<proof>*

**lemma** *map-eq-append-replicate-conv*:  
**assumes**  $map\ f\ xs = replicate\ n\ x\ @\ ys$   
**shows**  $map\ f\ (take\ n\ xs) = replicate\ n\ x$   
*<proof>*

**lemma** *map-eq-replicate-imp-list-all-const*:  
 $map\ f\ xs = replicate\ n\ x \implies n = length\ xs \implies list\-all\ (\lambda y.\ f\ y = x)$   
*<proof>*

**lemma** *map-eq-replicateI*:  $length\ xs = n \implies (\bigwedge x.\ x \in set\ xs \implies f\ x = c) \implies map\ f\ xs = replicate\ n\ c$   
*<proof>*

**lemma** *list-all-dropI[intro]*:  $list\-all\ P\ xs \implies list\-all\ P\ (drop\ n\ xs)$   
*<proof>*

## 5 Non-empty list

**type-synonym**  $'a\ nlist = 'a \times 'a\ list$

**end**

```

theory Result
  imports
    Main
    HOL-Library.Monad-Syntax
begin

datatype ('err, 'a) result =
  is-err: Error 'err |
  is-ok: Ok 'a

```

## 6 Monadic bind

```

context begin

```

```

qualified fun bind :: ('a, 'b) result => ('b => ('a, 'c) result) => ('a, 'c) result where
  bind (Error x) - = Error x |
  bind (Ok x) f = f x

```

```

end

```

```

adhoc-overloading

```

```

  bind Result.bind

```

```

context begin

```

```

qualified lemma bind-Ok[simp]:  $x \gg= Ok = x$ 
  (proof) lemma bind-eq-Ok-conv:  $(x \gg= f = Ok z) = (\exists y. x = Ok y \wedge f y = Ok z)$ 
  (proof) lemmas bind-eq-OkD[dest!] = bind-eq-Ok-conv[THEN iffD1]
qualified lemmas bind-eq-OkE = bind-eq-OkD[THEN exE]
qualified lemmas bind-eq-OkI[intro] = conjI[THEN exI[THEN bind-eq-Ok-conv[THEN iffD2]]]

```

```

qualified lemma bind-eq-Error-conv:
   $x \gg= f = Error z \longleftrightarrow x = Error z \vee (\exists y. x = Ok y \wedge f y = Error z)$ 
  (proof) lemmas bind-eq-ErrorD[dest!] = bind-eq-Error-conv[THEN iffD1]
qualified lemmas bind-eq-ErrorE = bind-eq-ErrorD[THEN disjE]
qualified lemmas bind-eq-ErrorI =
  disjI1[THEN bind-eq-Error-conv[THEN iffD2]]
  conjI[THEN exI[THEN disjI2[THEN bind-eq-Error-conv[THEN iffD2]]]]

```

```

lemma if-then-else-Ok[simp]:
  (if a then b else Error c) = Ok d  $\longleftrightarrow a \wedge b = Ok d$ 
  (if a then Error c else b) = Ok d  $\longleftrightarrow \neg a \wedge b = Ok d$ 
  (proof) lemma if-then-else-Error[simp]:
  (if a then Ok b else c) = Error d  $\longleftrightarrow \neg a \wedge c = Error d$ 
  (if a then c else Ok b) = Error d  $\longleftrightarrow a \wedge c = Error d$ 
  (proof) lemma map-eq-Ok-conv:  $map\text{-}result\ f\ g\ x = Ok\ y \longleftrightarrow (\exists x'. x = Ok\ x' \wedge y = g\ x')$ 

```

```

  <proof> lemma map-eq-Error-conv: map-result f g x = Error y  $\longleftrightarrow$  ( $\exists x'. x =$ 
  Error x'  $\wedge y = f x'$ )
  <proof> lemmas map-eq-OkD[dest!] = iffD1[OF map-eq-Ok-conv]
qualified lemmas map-eq-ErrorD[dest!] = iffD1[OF map-eq-Error-conv]

end

```

## 7 Conversion functions

```
context begin
```

```
qualified fun of-option where
```

```

  of-option e None = Error e |
  of-option e (Some x) = Ok x

```

```
qualified lemma of-option-injective[simp]: (of-option e x = of-option e y) = (x = y)
```

```
<proof> lemma of-option-eq-Ok[simp]: (of-option x y = Ok z) = (y = Some z)
```

```
<proof> fun to-option where
```

```

  to-option (Error _) = None |
  to-option (Ok x) = Some x

```

```
qualified lemma to-option-Some[simp]: (to-option r = Some x) = (r = Ok x)
```

```
<proof> fun those :: ('err, 'ok) result list  $\Rightarrow$  ('err, 'ok list) result where
```

```

  those [] = Ok [] |
  those (x # xs) = do {
    y  $\leftarrow$  x;
    ys  $\leftarrow$  those xs;
    Ok (y # ys)
  }

```

```
qualified lemma those-Cons-OkD: those (x # xs) = Ok ys  $\implies \exists y ys'. ys = y \#$ 
  ys'  $\wedge x = Ok y \wedge$  those xs = Ok ys'
```

```
<proof>
```

```
end
```

```
end
```

```
theory Option-Extra
```

```
  imports Main
```

```
begin
```

```
fun ap-option (infixl  $\diamond$  60) where
```

```

  (Some f)  $\diamond$  (Some x) = Some (f x) |
  -  $\diamond$  - = None

```

```
lemma ap-option-eq-Some-conv: f  $\diamond$  x = Some y  $\longleftrightarrow$  ( $\exists f' x'. f = Some f' \wedge x =$ 
  Some x'  $\wedge y = f' x'$ )
```

```
<proof>
```

**definition** *ap-map-prod* **where**

$ap\text{-map-prod } f \ g \ p \equiv \text{Some } Pair \diamond f \ (fst \ p) \diamond g \ (snd \ p)$

**lemma** *ap-map-prod-eq-Some-conv*:

$ap\text{-map-prod } f \ g \ p = \text{Some } p' \longleftrightarrow (\exists x \ y. p = (x, y) \wedge (\exists x' \ y'. p' = (x', y') \wedge f \ x = \text{Some } x' \wedge g \ y = \text{Some } y'))$

*<proof>*

**fun** *ap-map-list* :: ('a  $\Rightarrow$  'b option)  $\Rightarrow$  'a list  $\Rightarrow$  'b list option **where**

$ap\text{-map-list } - \ [] = \text{Some } [] \ |$

$ap\text{-map-list } f \ (x \# \ xs) = \text{Some } (\#) \diamond f \ x \diamond ap\text{-map-list } f \ xs$

**lemma** *length-ap-map-list*:  $ap\text{-map-list } f \ xs = \text{Some } ys \implies \text{length } ys = \text{length } xs$

*<proof>*

**lemma** *ap-map-list-imp-rel-option-map-of*:

**assumes**  $ap\text{-map-list } f \ xs = \text{Some } ys$  **and**

$\bigwedge x \ y. (x, y) \in \text{set } (zip \ xs \ ys) \implies f \ x = \text{Some } y \implies fst \ x = fst \ y$

**shows**  $rel\text{-option } (\lambda x \ y. f \ (k, x) = \text{Some } (k, y)) \ (map\text{-of } xs \ k) \ (map\text{-of } ys \ k)$

*<proof>*

**lemma** *ap-map-list-ap-map-prod-imp-rel-option-map-of*:

**assumes**  $ap\text{-map-list } (ap\text{-map-prod } \text{Some } f) \ xs = \text{Some } ys$

**shows**  $rel\text{-option } (\lambda x \ y. f \ x = \text{Some } y) \ (map\text{-of } xs \ k) \ (map\text{-of } ys \ k)$

*<proof>*

**lemma** *ex-ap-map-list-eq-SomeI*:

**assumes**  $list\text{-all } (\lambda x. \exists y. f \ x = \text{Some } y) \ xs$

**shows**  $\exists ys. ap\text{-map-list } f \ xs = \text{Some } ys$

*<proof>*

**lemma** *ap-map-list-iff-list-all2*:

$ap\text{-map-list } f \ xs = \text{Some } ys \longleftrightarrow list\text{-all2 } (\lambda x \ y. f \ x = \text{Some } y) \ xs \ ys$

*<proof>*

**lemma** *ap-map-list-map-conv*:

**assumes**

$ap\text{-map-list } f \ xs = \text{Some } ys$  **and**

$\bigwedge x \ y. x \in \text{set } xs \implies f \ x = \text{Some } y \implies y = g \ x$

**shows**  $ys = map \ g \ xs$

*<proof>*

**end**

**theory** *Map-Extra*

**imports** *Main HOL-Library.Library*

**begin**

**lemmas** *map-of-eq-Some-imp-key-in-fst-dom*[*intro*] =

*domI*[of map-of xs for xs, unfolded dom-map-of-conv-image-fst]

**lemma** *very-weak-map-of-SomeI*:  $k \in \text{fst } \text{'set } kvs \implies \exists v. \text{map-of } kvs \ k = \text{Some } v$   
<proof>

**lemma** *map-of-fst-hd-neq-Nil*[simp]:

**assumes**  $xs \neq []$

**shows**  $\text{map-of } xs \ (\text{fst } (\text{hd } xs)) = \text{Some } (\text{snd } (\text{hd } xs))$

<proof>

**definition** *map-merge* **where**

$\text{map-merge } f \ m1 \ m2 \ x =$   
  (case (m1 x, m2 x) of  
    (None, None)  $\Rightarrow$  None  
  | (None, Some z)  $\Rightarrow$  Some z  
  | (Some y, None)  $\Rightarrow$  Some y  
  | (Some y, Some z)  $\Rightarrow$  f y z)

**lemma** *option-case-cancel*[simp]: (case opt of None  $\Rightarrow$  x | Some -  $\Rightarrow$  x) = x  
<proof>

**lemma** *map-le-map-merge-Some-const*:

$f \subseteq_m \text{map-merge } (\lambda x \ y. \text{Some } x) \ f \ g$  **and**

$g \subseteq_m \text{map-merge } (\lambda x \ y. \text{Some } y) \ f \ g$

<proof>

## 8 pred\_map

**definition** *pred-map* **where**

$\text{pred-map } P \ m \equiv (\forall x \ y. m \ x = \text{Some } y \longrightarrow P \ y)$

**lemma** *pred-map-get*:

**assumes**  $\text{pred-map } P \ m$  **and**  $m \ x = \text{Some } y$

**shows**  $P \ y$

<proof>

**end**

**theory** *AList-Extra*

**imports** *HOL-Library.AList List-util*

**begin**

**lemma** *list-all2-rel-prod-updateI*:

**assumes**  $\text{list-all2 } (\text{rel-prod } (=) \ R) \ xs \ ys$  **and**  $R \ xval \ yval$

**shows**  $\text{list-all2 } (\text{rel-prod } (=) \ R) \ (\text{AList.update } k \ xval \ xs) \ (\text{AList.update } k \ yval \ ys)$

<proof>

**lemma** *length-map-entry*[simp]:  $\text{length } (\text{AList.map-entry } k \ f \ al) = \text{length } al$

<proof>

**lemma** *map-entry-id0*[simp]:  $AList.map\text{-}entry\ k\ id = id$   
(proof)

**lemma** *map-entry-id*:  $AList.map\text{-}entry\ k\ id\ xs = xs$   
(proof)

**lemma** *map-entry-map-of-Some-conv*:  
 $map\text{-}of\ xs\ k = Some\ v \implies AList.map\text{-}entry\ k\ f\ xs = AList.update\ k\ (f\ v)\ xs$   
(proof)

**lemma** *map-entry-map-of-None-conv*:  
 $map\text{-}of\ xs\ k = None \implies AList.map\text{-}entry\ k\ f\ xs = xs$   
(proof)

**lemma** *list-all2-rel-prod-map-entry*:

**assumes**  
 $list\text{-}all2\ (rel\text{-}prod\ (=)\ R)\ xs\ ys$  **and**  
 $\bigwedge xval\ yval. map\text{-}of\ xs\ k = Some\ xval \implies map\text{-}of\ ys\ k = Some\ yval \implies R\ (f\ xval)\ (g\ yval)$   
**shows**  $list\text{-}all2\ (rel\text{-}prod\ (=)\ R)\ (AList.map\text{-}entry\ k\ f\ xs)\ (AList.map\text{-}entry\ k\ g\ ys)$   
(proof)

**lemmas** *list-all2-rel-prod-map-entry1* = *list-all2-rel-prod-map-entry*[**where**  $g = id$ , *simplified*]

**lemmas** *list-all2-rel-prod-map-entry2* = *list-all2-rel-prod-map-entry*[**where**  $f = id$ , *simplified*]

**lemma** *list-all-updateI*:  
**assumes**  $list\text{-}all\ P\ xs$  **and**  $P\ (k,\ v)$   
**shows**  $list\text{-}all\ P\ (AList.update\ k\ v\ xs)$   
(proof)

**lemma** *set-update*:  $set\ (AList.update\ k\ v\ xs) \subseteq \{(k,\ v)\} \cup set\ xs$   
(proof)

**end**

**theory** *Global*

**imports** *HOL-Library.Library Result Env List-util Option-Extra Map-Extra AList-Extra*

**begin**

**sledgehammer-params** [*timeout = 30*]

**sledgehammer-params** [*provers = cvc4 e spass vampire z3 zipperposition*]

**declare** *K-record-comp*[simp]

**lemma** *if-then-Some-else-None-eq*[simp]:  
 $(if\ a\ then\ Some\ b\ else\ None) = Some\ c \iff a \wedge b = c$

(if a then Some b else None) = None  $\longleftrightarrow$   $\neg$  a  
<proof>

**lemma** if-then-else-distributive: (if a then f b else f c) = f (if a then b else c)  
<proof>

## 9 Rest

**lemma** map-ofD:

fixes xs k opt

assumes map-of xs k = opt

shows opt = None  $\vee$  ( $\exists$  n < length xs. opt = Some (snd (xs ! n)))

<proof>

**lemma** list-all2-assoc-map-rel-get:

assumes list-all2 (=) (map fst xs) (map fst ys) and list-all2 R (map snd xs)  
(map snd ys)

shows rel-option R (map-of xs k) (map-of ys k)

<proof>

### 9.1 Function definition

**datatype** ('label, 'instr) fundef =

Fundef (body: ('label  $\times$  'instr list) list) (arity: nat) (return: nat) (fundef-locals:  
nat)

**lemma** rel-fundef-arithies: rel-fundef r1 r2 gd1 gd2  $\implies$  arity gd1 = arity gd2

<proof>

**lemma** rel-fundef-return: rel-fundef R1 R2 gd1 gd2  $\implies$  return gd1 = return gd2

<proof>

**lemma** rel-fundef-locals: rel-fundef R1 R2 gd1 gd2  $\implies$  fundef-locals gd1 = fundef-locals gd2

<proof>

**lemma** rel-fundef-body-length[simp]:

rel-fundef r1 r2 fd1 fd2  $\implies$  length (body fd1) = length (body fd2)

<proof>

**definition** funtype where

funtype fd  $\equiv$  (arity fd, return fd)

**lemma** rel-fundef-funtype[simp]: rel-fundef R1 R2 fd1 fd2  $\implies$  funtype fd1 = funtype fd2

<proof>

**lemma** rel-fundef-rel-fst-hd-bodies:

assumes rel-fundef R1 R2 fd1 fd2 and body fd1  $\neq$  []  $\vee$  body fd2  $\neq$  []

**shows**  $R1$  ( $fst$  ( $hd$  ( $body$   $fd1$ ))) ( $fst$  ( $hd$  ( $body$   $fd2$ )))  
 $\langle proof \rangle$

**lemma** *map-option-comp-conv*:

**assumes**

$\bigwedge x. rel-option\ R\ (f\ x)\ (g\ x)$

$\bigwedge fd1\ fd2. fd1 \in ran\ f \implies fd2 \in ran\ g \implies R\ fd1\ fd2 \implies h\ fd1 = i\ fd2$

**shows**  $map-option\ h \circ f = map-option\ i \circ g$

$\langle proof \rangle$

**lemma** *map-option-arity-comp-conv*:

**assumes** ( $\bigwedge x. rel-option\ (rel-fundef\ R\ S)\ (f\ x)\ (g\ x)$ )

**shows**  $map-option\ arity \circ f = map-option\ arity \circ g$

$\langle proof \rangle$

**definition** *wf-fundef* **where**

$wf-fundef\ fd \iff body\ fd \neq []$

**lemma** *wf-fundef-non-empty-bodyD*[*dest,intro*]:  $wf-fundef\ fd \implies body\ fd \neq []$

$\langle proof \rangle$

**definition** *wf-fundefs* **where**

$wf-fundefs\ F \iff (\forall f\ fd. F\ f = Some\ fd \longrightarrow wf-fundef\ fd)$

**lemma** *wf-fundefsI*:

**assumes**  $\bigwedge f\ fd. F\ f = Some\ fd \implies wf-fundef\ fd$

**shows**  $wf-fundefs\ F$

$\langle proof \rangle$

**lemma** *wf-fundefsI'*:

**assumes**  $\bigwedge f. pred-option\ wf-fundef\ (F\ f)$

**shows**  $wf-fundefs\ F$

$\langle proof \rangle$

**lemma** *wf-fundefs-imp-wf-fundef*:

**assumes**  $wf-fundefs\ F$  **and**  $F\ f = Some\ fd$

**shows**  $wf-fundef\ fd$

$\langle proof \rangle$

**hide-fact** *wf-fundefs-def*

## 9.2 Program

**datatype** (*'fenv, 'henv, 'fun*) *prog* =

*Prog* (*prog-fundefs: 'fenv*) (*heap: 'henv*) (*main-fun: 'fun*)

**definition** *wf-prog* **where**

$wf-prog\ Get\ p \iff wf-fundefs\ (Get\ (prog-fundefs\ p))$



### 9.3 Stack frame

**datatype** ('fun, 'label, 'operand) frame =  
 Frame 'fun 'label (prog-counter: nat) (regs: 'operand list) (operand-stack: 'operand list)

**definition** instr-at where

instr-at fd label pc =  
 (case map-of (body fd) label of  
 Some instrs  $\Rightarrow$   
 if pc < length instrs then  
 Some (instrs ! pc)  
 else  
 None  
 | None  $\Rightarrow$  None)

**lemma** instr-atD:

**assumes** instr-at fd l pc = Some instr  
**shows**  $\exists$  instrs. map-of (body fd) l = Some instrs  $\wedge$  pc < length instrs  $\wedge$  instrs ! pc = instr  
 <proof>

**lemma** rel-fundef-imp-rel-option-instr-at:

**assumes** rel-fundef (=) R fd1 fd2  
**shows** rel-option R (instr-at fd1 l pc) (instr-at fd2 l pc)  
 <proof>

**definition** next-instr where

next-instr F f label pc  $\equiv$  do {  
 fd  $\leftarrow$  F f;  
 instr-at fd label pc  
 }

**lemma** next-instr-eq-Some-conv:

next-instr F f l pc = Some instr  $\longleftrightarrow$  ( $\exists$  fd. F f = Some fd  $\wedge$  instr-at fd l pc = Some instr)  
 <proof>

**lemma** next-instrD:

**assumes** next-instr F f l pc = Some instr  
**shows**  $\exists$  fd. F f = Some fd  $\wedge$  instr-at fd l pc = Some instr  
 <proof>

**lemma** next-instr-pc-lt-length-instrsI:

**assumes**  
 next-instr F f l pc = Some instr **and**  
 F f = Some fd **and**  
 map-of (body fd) l = Some instrs  
**shows** pc < length instrs  
 <proof>

**lemma** *next-instr-get-map-ofD*:

**assumes**

*next-instr F f l pc = Some instr* **and**

*F f = Some fd* **and**

*map-of (body fd) l = Some instrs*

**shows** *pc < length instrs* **and** *instrs ! pc = instr*

*<proof>*

**lemma** *next-instr-length-instrs*:

**assumes**

*F f = Some fd* **and**

*map-of (body fd) label = Some instrs*

**shows** *next-instr F f label (length instrs) = None*

*<proof>*

**lemma** *next-instr-take-Suc-conv*:

**assumes** *next-instr F f l pc = Some instr* **and**

*F f = Some fd* **and**

*map-of (body fd) l = Some instrs*

**shows** *take (Suc pc) instrs = take pc instrs @ [instr]*

*<proof>*

## 9.4 Dynamic state

**datatype** (*'fenv, 'henv, 'frame*) *state* =

*State (state-fundefs: 'fenv) (heap: 'henv) (callstack: 'frame list)*

**definition** *state-ok* **where**

*state-ok Get s  $\longleftrightarrow$  wf-fundefs (Get (state-fundefs s))*

**inductive** *final* **for** *F-get Return* **where**

*finalI: next-instr (F-get F) f l pc = Some Return  $\implies$*

*final F-get Return (State F H [Frame f l pc R  $\Sigma$ ])*

**definition** *allocate-frame* **where**

*allocate-frame f fd args uninitialized  $\equiv$*

*Frame f (fst (hd (body fd))) 0 (args @ replicate (fundef-locals fd) uninitialized)*

$\square$

**inductive** *load* **for** *F-get Uninitialized* **where**

*F-get F main = Some fd  $\implies$  arity fd = 0  $\implies$  body fd  $\neq$  []  $\implies$*

*load F-get Uninitialized (Prog F H main) (State F H [allocate-frame main fd [] Uninitialized])*

**lemma** *length-neq-imp-not-list-all2*:

**assumes** *length xs  $\neq$  length ys*

**shows**  $\neg$  *list-all2 R xs ys*

*<proof>*

**lemma** *list-all2-rel-prod-conv*:

$list-all2 (rel-prod R S) xs ys \longleftrightarrow$   
 $list-all2 R (map fst xs) (map fst ys) \wedge list-all2 S (map snd xs) (map snd ys)$   
(proof)

**definition** *rewrite-fundef-body* ::

$(label, instr) fundef \Rightarrow label \Rightarrow nat \Rightarrow instr \Rightarrow (label, instr) fundef$  **where**  
*rewrite-fundef-body*  $fd\ l\ n\ instr =$   
 $(case\ fd\ of\ Fundef\ bblocks\ ar\ ret\ locals \Rightarrow$   
 $Fundef\ (AList.map-entry\ l\ (\lambda instrs.\ instrs[n := instr])\ bblocks)\ ar\ ret\ locals)$

**lemma** *rewrite-fundef-body-cases*[*case-names invalid-label valid-label*]:

**assumes**

$\wedge bs\ ar\ ret\ locals.\ fd = Fundef\ bs\ ar\ ret\ locals \implies map-of\ bs\ l = None \implies P$   
 $\wedge bs\ ar\ ret\ locals\ instrs.\ fd = Fundef\ bs\ ar\ ret\ locals \implies map-of\ bs\ l = Some$   
 $instrs \implies P$

**shows**  $P$

(proof)

**lemma** *arity-rewrite-fundef-body*[*simp*]:  $arity (rewrite-fundef-body\ fd\ l\ pc\ instr) =$

$arity\ fd$   
(proof)

**lemma** *return-rewrite-fundef-body*[*simp*]:  $return (rewrite-fundef-body\ fd\ l\ pc\ instr)$

$= return\ fd$   
(proof)

**lemma** *funtype-rewrite-fundef-body*[*simp*]:  $funtype (rewrite-fundef-body\ fd\ l\ pc\ instr')$

$= funtype\ fd$   
(proof)

**lemma** *length-body-rewrite-fundef-body*[*simp*]:

$length (body (rewrite-fundef-body\ fd\ l\ pc\ instr)) = length (body\ fd)$   
(proof)

**lemma** *prod-in-set-fst-image-conv*:  $(x, y) \in set\ xys \implies x \in fst\ 'set\ xys$

(proof)

**lemma** *map-of-body-rewrite-fundef-body-conv-neq*[*simp*]:

**assumes**  $l \neq l'$

**shows**  $map-of (body (rewrite-fundef-body\ fd\ l\ pc\ instr))\ l' = map-of (body\ fd)\ l'$   
(proof)

**lemma** *map-of-body-rewrite-fundef-body-conv-eq*[*simp*]:

$map-of (body (rewrite-fundef-body\ fd\ l\ pc\ instr))\ l =$   
 $map-option (\lambda xs.\ xs[pc := instr]) (map-of (body\ fd)\ l)$   
(proof)

**lemma** *instr-at-rewrite-fundef-body-conv*:  
 $instr-at (rewrite-fundef-body fd l' pc' instr') l pc =$   
 $map-option (\lambda instr. if l = l' \wedge pc = pc' then instr' else instr) (instr-at fd l pc)$   
 ⟨proof⟩

**lemma** *fundef-locals-rewrite-fundef-body[simp]*:  
 $fundef-locals (rewrite-fundef-body fd l pc instr) = fundef-locals fd$   
 ⟨proof⟩

**lemma** *rel-fundef-rewrite-body1*:  
**assumes**  
 $rel-fd1-fd2: rel-fundef (=) R fd1 fd2$  **and**  
 $instr-at-l-pc: instr-at fd1 l pc = Some instr$  **and**  
 $R-iff: \bigwedge x. R instr x \longleftrightarrow R instr' x$   
**shows**  $rel-fundef (=) R (rewrite-fundef-body fd1 l pc instr') fd2$   
 ⟨proof⟩

**lemma** *rel-fundef-rewrite-body*:  
**assumes**  $rel-fd1-fd2: rel-fundef (=) R fd1 fd2$  **and**  $R-i1-i2: R i1 i2$   
**shows**  $rel-fundef (=) R (rewrite-fundef-body fd1 l pc i1) (rewrite-fundef-body fd2 l pc i2)$   
 ⟨proof⟩

**lemma** *rewrite-fundef-body-triv*:  
 $instr-at fd l pc = Some instr \implies rewrite-fundef-body fd l pc instr = fd$   
 ⟨proof⟩

**lemma** *rel-fundef-rewrite-body2*:  
**assumes**  
 $rel-fd1-fd2: rel-fundef (=) R fd1 fd2$  **and**  
 $instr-at-l-pc: instr-at fd2 l pc = Some instr$  **and**  
 $R-iff: \bigwedge x. R x instr \longleftrightarrow R x instr'$   
**shows**  $rel-fundef (=) R fd1 (rewrite-fundef-body fd2 l pc instr')$   
 ⟨proof⟩

**lemma** *rel-fundef-rewrite-body2'*:  
**assumes**  
 $rel-fd1-fd2: rel-fundef (=) R fd1 fd2$  **and**  
 $instr-at1: instr-at fd1 l pc = Some instr1$  **and**  
 $R-instr1-instr2: R instr1 instr2'$   
**shows**  $rel-fundef (=) R fd1 (rewrite-fundef-body fd2 l pc instr2')$   
 ⟨proof⟩

**thm** *rel-fundef-rewrite-body*

**lemma** *if-eq-const-conv*:  $(if x then y else z) = w \longleftrightarrow x \wedge y = w \vee \neg x \wedge z = w$   
 ⟨proof⟩

**lemma** *const-eq-if-conv*:  $w = (if x then y else z) \longleftrightarrow x \wedge w = y \vee \neg x \wedge w = z$

```

    <proof>

end
theory Op
  imports Main
begin

```

## 10 n-ary operations

```

locale nary-operations =
  fixes
     $\mathcal{O}p :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'a$  and
     $\mathcal{A}rity :: 'op \Rightarrow \text{nat}$ 
  assumes
     $\mathcal{O}p\text{-}\mathcal{A}rity\text{-domain: } \text{length } xs = \mathcal{A}rity \text{ } op \implies \exists y. \mathcal{O}p \text{ } op \text{ } xs = y$ 

```

```

end
theory OpInl
  imports Op
begin

```

## 11 n-ary operations

```

locale nary-operations-inl =
  nary-operations  $\mathcal{O}p$   $\mathcal{A}rity$ 
  for
     $\mathcal{O}p :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'a$  and  $\mathcal{A}rity +$ 
  fixes
     $\mathcal{I}nl\mathcal{O}p :: 'opinl \Rightarrow 'a \text{ list} \Rightarrow 'a$  and
     $\mathcal{I}nl :: 'op \Rightarrow 'a \text{ list} \Rightarrow 'opinl \text{ option}$  and
     $\mathcal{I}s\mathcal{I}nl :: 'opinl \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  and
     $\mathcal{D}e\mathcal{I}nl :: 'opinl \Rightarrow 'op$ 
  assumes
     $\mathcal{I}nl\text{-invertible: } \mathcal{I}nl \text{ } op \text{ } xs = \text{Some } opinl \implies \mathcal{D}e\mathcal{I}nl \text{ } opinl = op$  and
     $\mathcal{I}nl\mathcal{O}p\text{-correct: } \text{length } xs = \mathcal{A}rity (\mathcal{D}e\mathcal{I}nl \text{ } opinl) \implies \mathcal{I}nl\mathcal{O}p \text{ } opinl \text{ } xs = \mathcal{O}p (\mathcal{D}e\mathcal{I}nl$ 
     $opinl) \text{ } xs$  and
     $\mathcal{I}nl\text{-}\mathcal{I}s\mathcal{I}nl: \mathcal{I}nl \text{ } op \text{ } xs = \text{Some } opinl \implies \mathcal{I}s\mathcal{I}nl \text{ } opinl \text{ } xs$ 

```

```

begin

```

```

lemma  $\mathcal{I}nl\text{-inj-on: inj-on } \mathcal{I}nl \{ op \mid op \text{ args. } \mathcal{I}nl \text{ } op \text{ } args \neq \text{None} \}$ 
  <proof>

```

```

abbreviation  $\mathcal{I}nl\text{-dom}$  where

```

```

     $\mathcal{I}nl\text{-}dom \equiv \{op \mid op \text{ args. } \mathcal{I}nl \text{ op args} \neq None \}$ 

lemma bij-betw  $\mathcal{I}nl \mathcal{I}nl\text{-}dom \{ \mathcal{I}nl \text{ op} \mid op. \text{ op} \in \mathcal{I}nl\text{-}dom \}$ 
  <proof>

end

end
theory Dynamic
  imports Main
begin

locale dynval =
  fixes
    uninitialized :: 'dyn and
    is-true :: 'dyn  $\Rightarrow$  bool and
    is-false :: 'dyn  $\Rightarrow$  bool
  assumes
    not-true-and-false:  $\neg (is\text{-}true \ d \wedge is\text{-}false \ d)$ 
begin

lemma false-not-true: is-false d  $\Longrightarrow$   $\neg is\text{-}true \ d$ 
  <proof>

lemma true-not-false: is-true d  $\Longrightarrow$   $\neg is\text{-}false \ d$ 
  <proof>

lemma is-true-and-is-false-implies-False: is-true d  $\Longrightarrow is\text{-}false \ d \Longrightarrow False$ 
  <proof>

end

end
theory Inca
  imports Global OpInl Env Dynamic
    VeriComp.Language
begin

```

## 12 Inline caching

### 12.1 Static representation

```

datatype ('dyn, 'var, 'fun, 'label, 'op, 'opinl) instr =
  IPush 'dyn |
  IPop |
  IGet nat |
  ISet nat |
  ILoad 'var |
  IStore 'var |

```

*I*Op 'op |  
*I*OpInl 'opinl |  
*is-jump*: *IC*Jump 'label 'label |  
*I*Call 'fun |  
*is-return*: *I*Return

**locale** *inca* =

*Fenv*: env *F*-empty *F*-get *F*-add *F*-to-list +  
*Henv*: env heap-empty heap-get heap-add heap-to-list +  
 dynval uninitialized *is-true* *is-false* +  
 nary-operations-inl  $\mathcal{O}p$   $\mathcal{A}rity$   $\mathcal{I}nl\mathcal{O}p$   $\mathcal{I}nl$   $\mathcal{I}s\mathcal{I}nl$   $\mathcal{D}e\mathcal{I}nl$   
**for**

— Functions environment

*F*-empty **and**

*F*-get :: 'fenv  $\Rightarrow$  'fun  $\Rightarrow$  ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl) instr) fundef

*option* **and**

*F*-add **and** *F*-to-list **and**

— Memory heap

heap-empty **and**

heap-get :: 'henv  $\Rightarrow$  'var  $\times$  'dyn  $\Rightarrow$  'dyn option **and**

heap-add **and** heap-to-list **and**

— Dynamic values

uninitialized :: 'dyn **and** *is-true* **and** *is-false* **and**

— n-ary operations

$\mathcal{O}p$  :: 'op  $\Rightarrow$  'dyn list  $\Rightarrow$  'dyn **and**  $\mathcal{A}rity$  **and**

$\mathcal{I}nl\mathcal{O}p$  **and**  $\mathcal{I}nl$  **and**  $\mathcal{I}s\mathcal{I}nl$  **and**  $\mathcal{D}e\mathcal{I}nl$  :: 'opinl  $\Rightarrow$  'op

**begin**

## 12.2 Semantics

**inductive** *step* (**infix**  $\rightarrow$  55) **where**

*step-push*:

*next-instr* (*F*-get *F*) *f l pc* = *Some* (*I*Push *d*)  $\Longrightarrow$

*State F H* (*Frame f l pc R*  $\Sigma$  # *st*)  $\rightarrow$  *State F H* (*Frame f l* (*Suc pc*) *R* (*d* #  $\Sigma$ ) # *st*) |

*step-pop*:

*next-instr* (*F*-get *F*) *f l pc* = *Some* *I*Pop  $\Longrightarrow$

*State F H* (*Frame f l pc R* (*d* #  $\Sigma$ ) # *st*)  $\rightarrow$  *State F H* (*Frame f l* (*Suc pc*) *R*  $\Sigma$  # *st*) |

*step-get*:

*next-instr* (*F*-get *F*) *f l pc* = *Some* (*I*Get *n*)  $\Longrightarrow$

*n* < length *R*  $\Longrightarrow$  *d* = *R* ! *n*  $\Longrightarrow$

*State F H* (*Frame f l pc R*  $\Sigma$  # *st*)  $\rightarrow$  *State F H* (*Frame f l* (*Suc pc*) *R* (*d* #  $\Sigma$ ) # *st*) |

*step-set:*  
 $next\_instr (F.get F) f l pc = Some (ISet n) \implies$   
 $n < length R \implies R' = R[n := d] \implies$   
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R' \Sigma \# st) |$

*step-load:*  
 $next\_instr (F.get F) f l pc = Some (ILoad x) \implies$   
 $heap\_get H (x, y) = Some d \implies$   
 $State F H (Frame f l pc R (y \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R (d \# \Sigma) \# st) |$

*step-store:*  
 $next\_instr (F.get F) f l pc = Some (IStore x) \implies$   
 $heap\_add H (x, y) d = H' \implies$   
 $State F H (Frame f l pc R (y \# d \# \Sigma) \# st) \rightarrow State F H' (Frame f l (Suc pc) R \Sigma \# st) |$

*step-op:*  
 $next\_instr (F.get F) f l pc = Some (IOp op) \implies$   
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies \mathcal{I}nl op (take ar \Sigma) = None \implies$   
 $\mathcal{O}p op (take ar \Sigma) = x \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

*step-op-inl:*  
 $next\_instr (F.get F) f l pc = Some (IOp op) \implies$   
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies \mathcal{I}nl op (take ar \Sigma) = Some opinl \implies$   
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$   
 $F' = Fenv.map\_entry F f (\lambda fd. rewrite\_fundef\_body fd l pc (IOpInl opinl)) \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

*step-op-inl-hit:*  
 $next\_instr (F.get F) f l pc = Some (IOpInl opinl) \implies$   
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies \mathcal{I}s\mathcal{I}nl opinl (take ar \Sigma) \implies$   
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

*step-op-inl-miss:*  
 $next\_instr (F.get F) f l pc = Some (IOpInl opinl) \implies$   
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies \neg \mathcal{I}s\mathcal{I}nl opinl (take ar \Sigma) \implies$   
 $\mathcal{I}nl\mathcal{O}p opinl (take ar \Sigma) = x \implies$   
 $F' = Fenv.map\_entry F f (\lambda fd. rewrite\_fundef\_body fd l pc (IOp (\mathcal{D}e\mathcal{I}nl opinl))) \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$



*step-cjump:*  
 $next\_instr (F\text{-get } F) f l pc = Some (ICJump l_t l_f) \implies$   
 $is\_true d \wedge l' = l_t \vee is\_false d \wedge l' = l_f \implies$   
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l' 0 R \Sigma \# st) |$

*step-call:*  
 $next\_instr (F\text{-get } F) f l pc = Some (ICall g) \implies$   
 $F\text{-get } F g = Some gd \implies arity\ gd \leq length\ \Sigma \implies$   
 $frame_g = allocate\_frame\ g\ gd\ (take\ (arity\ gd)\ \Sigma)\ uninitialized \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (frame_g \# Frame f l pc R \Sigma \# st) |$

*step-return:*  
 $next\_instr (F\text{-get } F) g l_g pc_g = Some IReturn \implies$   
 $F\text{-get } F g = Some gd \implies arity\ gd \leq length\ \Sigma_f \implies$   
 $length\ \Sigma_g = return\ gd \implies$   
 $frame_{f'} = Frame f l_f (Suc pc_f) R_f (\Sigma_g @ drop\ (arity\ gd)\ \Sigma_f) \implies$   
 $State F H (Frame g l_g pc_g R_g \Sigma_g \# Frame f l_f pc_f R_f \Sigma_f \# st) \rightarrow State F H (frame_{f'} \# st)$

**lemma** *step-deterministic:*  
**assumes**  $s1 \rightarrow s2$  **and**  $s1 \rightarrow s3$   
**shows**  $s2 = s3$   
 $\langle proof \rangle$

**lemma** *step-right-unique: right-unique step*  
 $\langle proof \rangle$

**lemma** *final-finished:*  
**assumes** *final*  $F\text{-get } IReturn\ s$   
**shows** *finished step*  $s$   
 $\langle proof \rangle$

**sublocale** *semantics step final F-get IReturn*  
 $\langle proof \rangle$

**definition** *load where*  
 $load \equiv Global.load\ F\text{-get}\ uninitialized$

**sublocale** *language step final F-get IReturn load*  
 $\langle proof \rangle$

**end**

**end**

**theory** *Unboxed*  
**imports** *Global Dynamic*

```

begin

datatype type = Ubx1 | Ubx2

datatype ('dyn, 'ubx1, 'ubx2) unboxed =
  is-dyn-operand: OpDyn 'dyn |
  OpUbx1 'ubx1 |
  OpUbx2 'ubx2

fun typeof where
  typeof (OpDyn _) = None |
  typeof (OpUbx1 _) = Some Ubx1 |
  typeof (OpUbx2 _) = Some Ubx2

fun cast-Dyn where
  cast-Dyn (OpDyn d) = Some d |
  cast-Dyn _ = None

fun cast-Ubx1 where
  cast-Ubx1 (OpUbx1 x) = Some x |
  cast-Ubx1 _ = None

fun cast-Ubx2 where
  cast-Ubx2 (OpUbx2 x) = Some x |
  cast-Ubx2 _ = None

locale unboxedval = dynval uninitialized is-true is-false
  for uninitialized :: 'dyn and is-true and is-false +
  fixes
    box-ubx1 :: 'ubx1 ⇒ 'dyn and unbox-ubx1 :: 'dyn ⇒ 'ubx1 option and
    box-ubx2 :: 'ubx2 ⇒ 'dyn and unbox-ubx2 :: 'dyn ⇒ 'ubx2 option
  assumes
    box-unbox-inverse:
      unbox-ubx1 d = Some u1 ⇒ box-ubx1 u1 = d
      unbox-ubx2 d = Some u2 ⇒ box-ubx2 u2 = d
begin

fun unbox :: type ⇒ 'dyn ⇒ ('dyn, 'ubx1, 'ubx2) unboxed option where
  unbox Ubx1 = map-option OpUbx1 ∘ unbox-ubx1 |
  unbox Ubx2 = map-option OpUbx2 ∘ unbox-ubx2

fun cast-and-box where
  cast-and-box Ubx1 = map-option box-ubx1 ∘ cast-Ubx1 |
  cast-and-box Ubx2 = map-option box-ubx2 ∘ cast-Ubx2

fun norm-unboxed where
  norm-unboxed (OpDyn d) = d |
  norm-unboxed (OpUbx1 x) = box-ubx1 x |
  norm-unboxed (OpUbx2 x) = box-ubx2 x

```

```

fun box-operand where
  box-operand (OpDyn d) = OpDyn d |
  box-operand (OpUbx1 x) = OpDyn (box-ubx1 x) |
  box-operand (OpUbx2 x) = OpDyn (box-ubx2 x)

fun box-frame where
  box-frame f (Frame g l pc R  $\Sigma$ ) = Frame g l pc R (if f = g then map box-operand
 $\Sigma$  else  $\Sigma$ )

definition box-stack where
  box-stack f  $\equiv$  map (box-frame f)

end

end
theory OpUbx
  imports OpInl Unboxed
begin

```

### 13 n-ary operations

```

locale nary-operations-ubx =
  nary-operations-inl Op Arity InlOp Inl IsInl DeInl +
  unboxedval uninitialized is-true is-false box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2
for
  Op :: 'op  $\Rightarrow$  'dyn list  $\Rightarrow$  'dyn and Arity and
  InlOp and Inl and IsInl and DeInl :: 'opinl  $\Rightarrow$  'op and
  uninitialized :: 'dyn and is-true and is-false and
  box-ubx1 :: 'ubx1  $\Rightarrow$  'dyn and unbox-ubx1 and
  box-ubx2 :: 'ubx2  $\Rightarrow$  'dyn and unbox-ubx2 +
fixes
  UbxOp :: 'opubx  $\Rightarrow$  ('dyn, 'ubx1, 'ubx2) unboxed list  $\Rightarrow$  ('dyn, 'ubx1, 'ubx2)
unboxed option and
  Ubx :: 'opinl  $\Rightarrow$  type option list  $\Rightarrow$  'opubx option and
  Box :: 'opubx  $\Rightarrow$  'opinl and
  TypeOfOp :: 'opubx  $\Rightarrow$  type option list  $\times$  type option
assumes
  Ubx-invertible:
    Ubx opinl ts = Some opubx  $\implies$  Box opubx = opinl and
  UbxOp-correct:
    UbxOp opubx  $\Sigma$  = Some z  $\implies$  InlOp (Box opubx) (map norm-unboxed  $\Sigma$ ) =
norm-unboxed z and
  UbxOp-to-Inl:
    UbxOp opubx  $\Sigma$  = Some z  $\implies$  Inl (DeInl (Box opubx)) (map norm-unboxed
 $\Sigma$ ) = Some (Box opubx) and

  TypeOfOp-Arity:
    Arity (DeInl (Box opubx)) = length (fst (TypeOfOp opubx)) and

```

*Ubx-opubx-type:*

$\forall \text{opinl } ts = \text{Some } \text{opubx} \implies \text{fst } (\text{TypeDfDp } \text{opubx}) = ts$  **and**

*TypeDfDp-correct:*

$\text{TypeDfDp } \text{opubx} = (\text{map } \text{typeof } xs, \tau) \implies$

$\exists y. \forall \text{Dp } \text{opubx } xs = \text{Some } y \wedge \text{typeof } y = \tau$  **and**

*TypeDfDp-complete:*

$\forall \text{Dp } \text{opubx } xs = \text{Some } y \implies \text{TypeDfDp } \text{opubx} = (\text{map } \text{typeof } xs, \text{typeof } y)$

**end**

**theory** *Ubx*

**imports** *Global OpUbx Env*

*VeriComp.Language*

**begin**

## 14 Unboxed caching

### 14.1 Static representation

**datatype** (*'dyn, 'var, 'fun, 'label, 'op, 'opinl, 'opubx, 'num, 'bool*) *instr* =

*IPush 'dyn* | *IPushUbx1 'num* | *IPushUbx2 'bool* |

*IPop* |

*IGet nat* | *IGetUbx type nat* |

*ISet nat* | *ISetUbx type nat* |

*ILoad 'var* | *ILoadUbx type 'var* |

*IStore 'var* | *IStoreUbx type 'var* |

*IOp 'op* | *IOpInl 'opinl* | *IOpUbx 'opubx* |

*is-jump: ICJump 'label 'label* |

*is-fun-call: ICall 'fun* |

*is-return: IReturn*

**locale** *ubx* =

*Fenv: env F-empty F-get F-add F-to-list* +

*Henv: env heap-empty heap-get heap-add heap-to-list* +

*nary-operations-ubx*

**Dp Arity**

**InlDp Inl IsInl DeInl**

*uninitialized is-true is-false box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2*

**UbxDp Ubx Box TypeDfDp**

**for**

— Functions environment

*F-empty* **and**

*F-get* :: *'fenv*  $\Rightarrow$  *'fun*  $\Rightarrow$  (*'label*, (*'dyn*, *'var*, *'fun*, *'label*, *'op*, *'opinl*, *'opubx*,  
*'num*, *'bool*) *instr*) *fundef option* **and**

*F-add* **and** *F-to-list* **and**

— Memory heap

*heap-empty* **and**

*heap-get* :: *'henv*  $\Rightarrow$  *'var*  $\times$  *'dyn*  $\Rightarrow$  *'dyn option* **and**

**heap-add and heap-to-list and**  
 — Dynamic values  
*uninitialized* :: 'dyn **and** *is-true* **and** *is-false* **and**  
 — Unboxed values  
*box-ubx1* **and** *unbox-ubx1* **and**  
*box-ubx2* **and** *unbox-ubx2* **and**  
 — n-ary operations  
*Op* :: 'op ⇒ 'dyn list ⇒ 'dyn **and** *Arity* **and**  
*InlOp* **and** *Inl* **and** *IsInl* **and** *DeInl* :: 'opinl ⇒ 'op **and**  
*UbxOp* :: 'opubx ⇒ ('dyn, 'num, 'bool) *unboxed list* ⇒ ('dyn, 'num, 'bool) *unboxed*  
*option* **and**  
*Ubx* :: 'opinl ⇒ *type option list* ⇒ 'opubx *option* **and**  
*Box* :: 'opubx ⇒ 'opinl **and**  
*TypeOfOp*  
**begin**

**lemmas** *map-option-funtype-comp-map-entry-rewrite-fundef-body[simp]* =  
*Fenv.map-option-comp-map-entry[of - funtype λfd. rewrite-fundef-body fd l pc*  
*instr for l pc instr, simplified]*

**fun** *generalize-instr* **where**  
*generalize-instr* (*IPushUbx1* *n*) = *IPush* (*box-ubx1* *n*) |  
*generalize-instr* (*IPushUbx2* *b*) = *IPush* (*box-ubx2* *b*) |  
*generalize-instr* (*IGetUbx* - *n*) = *IGet* *n* |  
*generalize-instr* (*ISetUbx* - *n*) = *ISet* *n* |  
*generalize-instr* (*ILoadUbx* - *x*) = *ILoad* *x* |  
*generalize-instr* (*IStoreUbx* - *x*) = *IStore* *x* |  
*generalize-instr* (*IOpUbx* *opubx*) = *IOpInl* (*Box* *opubx*) |  
*generalize-instr* *instr* = *instr*

**lemma** *instr-sel-generalize-instr[simp]*:  
*is-jump* (*generalize-instr* *instr*) ⇔ *is-jump* *instr*  
*is-fun-call* (*generalize-instr* *instr*) ⇔ *is-fun-call* *instr*  
*is-return* (*generalize-instr* *instr*) ⇔ *is-return* *instr*  
 ⟨*proof*⟩

**lemma** *instr-sel-generalize-instr-comp[simp]*:  
*is-jump* ∘ *generalize-instr* = *is-jump* **and**  
*is-fun-call* ∘ *generalize-instr* = *is-fun-call* **and**  
*is-return* ∘ *generalize-instr* = *is-return*  
 ⟨*proof*⟩

**fun** *generalize-fundef* **where**  
*generalize-fundef* (*Fundef* *xs* *ar* *ret* *locals*) =  
*Fundef* (*map-ran* (λ-. *map* *generalize-instr*) *xs*) *ar* *ret* *locals*

**lemma** *generalize-instr-idempotent*[simp]:  
 $generalize-instr (generalize-instr x) = generalize-instr x$   
 ⟨proof⟩

**lemma** *generalize-instr-idempotent-comp*[simp]:  
 $generalize-instr \circ generalize-instr = generalize-instr$   
 ⟨proof⟩

**lemma** *length-body-generalize-fundef*[simp]:  $length (body (generalize-fundef fd)) = length (body fd)$   
 ⟨proof⟩

**lemma** *arity-generalize-fundef*[simp]:  $arity (generalize-fundef fd) = arity fd$   
 ⟨proof⟩

**lemma** *return-generalize-fundef*[simp]:  $return (generalize-fundef fd) = return fd$   
 ⟨proof⟩

**lemma** *fundef-locals-generalize*[simp]:  $fundef-locals (generalize-fundef fd) = fundef-locals fd$   
 ⟨proof⟩

**lemma** *funtype-generalize-fundef*[simp]:  $funtype (generalize-fundef fd) = funtype fd$   
 ⟨proof⟩

**lemmas** *map-option-comp-map-entry-generalize-fundef*[simp] =  
 $Fenv.map-option-comp-map-entry[of - funtype generalize-fundef, simplified]$

**lemma** *image-fst-set-body-generalize-fundef*[simp]:  
 $fst \text{ ' set } (body (generalize-fundef fd)) = fst \text{ ' set } (body fd)$   
 ⟨proof⟩

**lemma** *map-of-generalize-fundef-conv*:  
 $map-of (body (generalize-fundef fd)) l = map-option (map generalize-instr) (map-of (body fd) l)$   
 ⟨proof⟩

**lemma** *map-option-arity-get-map-entry-generalize-fundef*[simp]:  
 $map-option arity \circ F-get (Fenv.map-entry F2 f generalize-fundef) = map-option arity \circ F-get F2$   
 ⟨proof⟩

**lemma** *instr-at-generalize-fundef-conv*:  
 $instr-at (generalize-fundef fd) l = map-option generalize-instr \circ instr-at fd l$   
 ⟨proof⟩

## 14.2 Semantics

**inductive step (infix  $\rightarrow$  55) where**

*step-push:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPush \ d) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc } R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpDyn} \\ & \ d \ \# \ \Sigma) \ \# \ \text{st}) \mid \end{aligned}$$

*step-push-ubx1:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPushUbx1 \ n) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpUbx1} \\ & \ n \ \# \ \Sigma) \ \# \ \text{st}) \mid \end{aligned}$$

*step-push-ubx2:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IPushUbx2 \ b) \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpUbx2} \\ & \ b \ \# \ \Sigma) \ \# \ \text{st}) \mid \end{aligned}$$

*step-pop:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } IPop \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ (x \ \# \ \Sigma) \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \\ & \ \Sigma \ \# \ \text{st}) \mid \end{aligned}$$

*step-get:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGet \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{OpDyn} \\ & \ d \ \# \ \Sigma) \ \# \ \text{st}) \mid \end{aligned}$$

*step-get-ubx-hit:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGetUbx \ \tau \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \text{unbox } \tau \ d = \text{Some } \text{blob} \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \ R \ (\text{blob} \\ & \ \# \ \Sigma) \ \# \ \text{st}) \mid \end{aligned}$$

*step-get-ubx-miss:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (IGetUbx \ \tau \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } (R \ ! \ n) = \text{Some } d \implies \text{unbox } \tau \ d = \text{None} \implies \\ & \ F' = \text{Fenv.map-entry } F \ \text{f generalize-fundef} \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ \Sigma \ \# \ \text{st}) \rightarrow \text{State } F' \ H \ (\text{box-stack } \text{f } (\text{Frame } \text{f l } (\text{Suc} \\ & \ \text{pc}) \ R \ (\text{OpDyn } \ d \ \# \ \Sigma) \ \# \ \text{st})) \mid \end{aligned}$$

*step-set:*

$$\begin{aligned} & \text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (ISet \ n) \implies \\ & \ n < \text{length } R \implies \text{cast-Dyn } \text{blob} = \text{Some } d \implies R' = R[n := \text{OpDyn } d] \implies \\ & \text{State } F \ H \ (\text{Frame } \text{f l pc} \ R \ (\text{blob} \ \# \ \Sigma) \ \# \ \text{st}) \rightarrow \text{State } F \ H \ (\text{Frame } \text{f l } (\text{Suc } \text{pc}) \\ & \ R' \ \Sigma \ \# \ \text{st}) \mid \end{aligned}$$

*step-set-ubx:*

$$\text{next-instr } (F\text{-get } F) \text{ f l pc} = \text{Some } (ISetUbx \ \tau \ n) \implies$$

$n < \text{length } R \implies \text{cast-and-box } \tau \text{ blob} = \text{Some } d \implies R' = R[n := \text{OpDyn } d]$   
 $\implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (\text{blob } \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R' \ \Sigma \ \# \ st) \ |$

*step-load:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoad } x) \implies$   
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } d \ \# \ \Sigma) \ \# \ st) \ |$

*step-load-ubx-hit:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoadUbx } \tau \ x) \implies$   
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies \text{unbox } \tau \ d = \text{Some } \text{blob} \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{blob } \# \ \Sigma) \ \# \ st) \ |$

*step-load-ubx-miss:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{ILoadUbx } \tau \ x) \implies$   
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{heap-get } H \ (x, i') = \text{Some } d \implies \text{unbox } \tau \ d = \text{None}$   
 $\implies$   
 $F' = \text{Fenv.map-entry } F \ f \ \text{generalize-fundef} \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F' \ H \ (\text{box-stack } f \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } d \ \# \ \Sigma) \ \# \ st)) \ |$

*step-store:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IStore } x) \implies$   
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{cast-Dyn } y = \text{Some } d \implies \text{heap-add } H \ (x, i') \ d = H' \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ y \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H' \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ \Sigma \ \# \ st) \ |$

*step-store-ubx:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IStoreUbx } \tau \ x) \implies$   
 $\text{cast-Dyn } i = \text{Some } i' \implies \text{cast-and-box } \tau \ \text{blob} = \text{Some } d \implies \text{heap-add } H \ (x, i') \ d = H' \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ (i \ \# \ \text{blob } \ \# \ \Sigma) \ \# \ st) \rightarrow \text{State } F \ H' \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ \Sigma \ \# \ st) \ |$

*step-op:*

$\text{next-instr } (F\text{-get } F) \ f \ l \ pc = \text{Some } (\text{IOp } op) \implies$   
 $\mathfrak{Arity} \ op = ar \implies ar \leq \text{length } \Sigma \implies$   
 $\text{ap-map-list } \text{cast-Dyn } (\text{take } ar \ \Sigma) = \text{Some } \Sigma' \implies$   
 $\mathfrak{Inl} \ op \ \Sigma' = \text{None} \implies \mathfrak{Op} \ op \ \Sigma' = x \implies$   
 $\text{State } F \ H \ (\text{Frame } f \ l \ pc \ R \ \Sigma \ \# \ st) \rightarrow \text{State } F \ H \ (\text{Frame } f \ l \ (\text{Suc } pc) \ R \ (\text{OpDyn } x \ \# \ \text{drop } ar \ \Sigma) \ \# \ st) \ |$

*step-op-inl:*



$next-instr (F-get F) f l pc = Some (IOp op) \implies$   
 $\mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies$   
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$   
 $\mathcal{I}nl op \Sigma' = Some opinl \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$   
 $F' = Fenv.map-entry F f (\lambda fd. rewrite-fundef-body fd l pc (IOpInl opinl)) \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (OpDyn$   
 $x \# drop ar \Sigma) \# st) |$

*step-op-inl-hit:*

$next-instr (F-get F) f l pc = Some (IOpInl opinl) \implies$   
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies$   
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$   
 $\mathcal{I}s\mathcal{I}nl opinl \Sigma' \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (OpDyn$   
 $x \# drop ar \Sigma) \# st) |$

*step-op-inl-miss:*

$next-instr (F-get F) f l pc = Some (IOpInl opinl) \implies$   
 $\mathcal{A}rity (\mathcal{D}e\mathcal{I}nl opinl) = ar \implies ar \leq length \Sigma \implies$   
 $ap-map-list cast-Dyn (take ar \Sigma) = Some \Sigma' \implies$   
 $\neg \mathcal{I}s\mathcal{I}nl opinl \Sigma' \implies \mathcal{I}nlOp opinl \Sigma' = x \implies$   
 $F' = Fenv.map-entry F f (\lambda fd. rewrite-fundef-body fd l pc (IOp (\mathcal{D}e\mathcal{I}nl opinl)))$   
 $\implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F' H (Frame f l (Suc pc) R (OpDyn$   
 $x \# drop ar \Sigma) \# st) |$

*step-op-ubx:*

$next-instr (F-get F) f l pc = Some (IOpUbx opubx) \implies$   
 $\mathcal{D}e\mathcal{I}nl (\mathcal{B}ox opubx) = op \implies \mathcal{A}rity op = ar \implies ar \leq length \Sigma \implies$   
 $\mathcal{U}brOp opubx (take ar \Sigma) = Some x \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \#$   
 $drop ar \Sigma) \# st) |$

*step-cjump:*

$next-instr (F-get F) f l pc = Some (ICJump l_t l_f) \implies$   
 $cast-Dyn y = Some d \implies is-true d \wedge l' = l_t \vee is-false d \wedge l' = l_f \implies$   
 $State F H (Frame f l pc R (y \# \Sigma) \# st) \rightarrow State F H (Frame f l' 0 R \Sigma \#$   
 $st) |$

*step-call:*

$next-instr (F-get F) f l pc = Some (ICall g) \implies$   
 $F-get F g = Some gd \implies arity gd \leq length \Sigma \implies$   
 $list-all is-dyn-operand (take (arity gd) \Sigma) \implies$   
 $frame_g = allocate-frame g gd (take (arity gd) \Sigma) (OpDyn uninitialized) \implies$   
 $State F H (Frame f l pc R_f \Sigma \# st) \rightarrow State F H (frame_g \# Frame f l pc R_f$   
 $\Sigma \# st) |$

*step-return:*

$next-instr (F-get F) g l_g pc_g = Some IReturn \implies$

$F\text{-get } F\ g = \text{Some } gd \implies \text{arity } gd \leq \text{length } \Sigma_f \implies$   
 $\text{length } \Sigma_g = \text{return } gd \implies \text{list-all is-dyn-operand } \Sigma_g \implies$   
 $\text{frame}_f' = \text{Frame } f\ l_f\ (\text{Suc } pc_f)\ R_f\ (\Sigma_g\ @\ \text{drop } (\text{arity } gd)\ \Sigma_f) \implies$   
 $\text{State } F\ H\ (\text{Frame } g\ l_g\ pc_g\ R_g\ \Sigma_g\ \# \text{Frame } f\ l_f\ pc_f\ R_f\ \Sigma_f\ \# st) \rightarrow \text{State } F\ H$   
 $(\text{frame}_f' \# st)$

**lemma** *step-deterministic*:  
**assumes**  $s1 \rightarrow s2$  **and**  $s1 \rightarrow s3$   
**shows**  $s2 = s3$   
 $\langle \text{proof} \rangle$

**lemma** *step-right-unique: right-unique step*  
 $\langle \text{proof} \rangle$

**lemma** *final-finished*:  
**assumes** *final F-get IReturn s*  
**shows** *finished step s*  
 $\langle \text{proof} \rangle$

**sublocale** *ubx-sem: semantics step final F-get IReturn*  
 $\langle \text{proof} \rangle$

**definition** *load where*  
 $\text{load} \equiv \text{Global.load } F\text{-get } (\text{OpDyn uninitialized})$

**sublocale** *inca-lang: language step final F-get IReturn load*  
 $\langle \text{proof} \rangle$

**end**

**end**  
**theory** *Ubx-Verification*  
**imports** *HOL-Library.Sublist Ubx Map-Extra*  
**begin**

**lemma** *f-g-eq-f-imp-f-comp-g-eq-f[simp]*:  $(\bigwedge x. f (g\ x) = f\ x) \implies (f \circ g) = f$   
 $\langle \text{proof} \rangle$

**context** *ubx begin*

**inductive** *sp-instr for F ret where*

*Push*:  
 $\text{sp-instr } F\ \text{ret } (\text{IPush } d)\ \Sigma\ (\text{None } \# \Sigma) \mid$   
*PushUbx1*:  
 $\text{sp-instr } F\ \text{ret } (\text{IPushUbx1 } u)\ \Sigma\ (\text{Some } \text{Ubx1 } \# \Sigma) \mid$   
*PushUbx2*:  
 $\text{sp-instr } F\ \text{ret } (\text{IPushUbx2 } u)\ \Sigma\ (\text{Some } \text{Ubx2 } \# \Sigma) \mid$   
*Pop*:  
 $\text{sp-instr } F\ \text{ret } \text{IPop } (\tau \# \Sigma)\ \Sigma \mid$

*Get:*  
 $sp\text{-instr } F \text{ ret } (I\text{Get } n) \Sigma (None \# \Sigma) \mid$   
*GetUbx:*  
 $sp\text{-instr } F \text{ ret } (I\text{GetUbx } \tau \ n) \Sigma (Some \ \tau \ \# \ \Sigma) \mid$   
*Set:*  
 $sp\text{-instr } F \text{ ret } (I\text{Set } n) (None \# \Sigma) \Sigma \mid$   
*SetUbx:*  
 $sp\text{-instr } F \text{ ret } (I\text{SetUbx } \tau \ n) (Some \ \tau \ \# \ \Sigma) \Sigma \mid$   
*Load:*  
 $sp\text{-instr } F \text{ ret } (I\text{Load } x) (None \# \Sigma) (None \# \Sigma) \mid$   
*LoadUbx:*  
 $sp\text{-instr } F \text{ ret } (I\text{LoadUbx } \tau \ x) (None \# \Sigma) (Some \ \tau \ \# \ \Sigma) \mid$   
*Store:*  
 $sp\text{-instr } F \text{ ret } (I\text{Store } x) (None \# \ None \# \ \Sigma) \Sigma \mid$   
*StoreUbx:*  
 $sp\text{-instr } F \text{ ret } (I\text{StoreUbx } \tau \ x) (None \# \ Some \ \tau \ \# \ \Sigma) \Sigma \mid$   
*Op:*  
 $\Sigma i = (\text{replicate } (\mathcal{A}rity \ op) \ None \ @ \ \Sigma) \implies$   
 $sp\text{-instr } F \text{ ret } (I\text{Op } op) \Sigma i (None \# \Sigma) \mid$   
*OpInl:*  
 $\Sigma i = (\text{replicate } (\mathcal{A}rity \ (\mathcal{D}e\mathcal{I}nl \ op\text{inl})) \ None \ @ \ \Sigma) \implies$   
 $sp\text{-instr } F \text{ ret } (I\text{OpInl } op\text{inl}) \Sigma i (None \# \Sigma) \mid$   
*OpUbx:*  
 $\Sigma i = \text{fst } (\mathcal{T}ype\mathcal{D}f\mathcal{D}p \ opubx) \ @ \ \Sigma \implies \text{result} = \text{snd } (\mathcal{T}ype\mathcal{D}f\mathcal{D}p \ opubx) \implies$   
 $sp\text{-instr } F \text{ ret } (I\text{OpUbx } opubx) \Sigma i (\text{result} \# \Sigma) \mid$   
*CJump:*  
 $sp\text{-instr } F \text{ ret } (I\text{CJump } l_t \ l_f) [None] \ [] \mid$   
*Call:*  
 $F \ f = \text{Some } (ar, r) \implies \Sigma i = \text{replicate } ar \ None \ @ \ \Sigma \implies \Sigma o = \text{replicate } r \ None$   
 $@ \ \Sigma \implies$   
 $sp\text{-instr } F \text{ ret } (I\text{Call } f) \Sigma i \Sigma o \mid$   
*Return:*  $\Sigma i = \text{replicate } ret \ None \implies$   
 $sp\text{-instr } F \text{ ret } I\text{Return } \Sigma i \ []$

**inductive  $sp\text{-instrs}$  for  $F \text{ ret}$  where**

*Nil:*  
 $sp\text{-instrs } F \text{ ret } [] \Sigma \Sigma \mid$   
*Cons:*  
 $sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma \implies sp\text{-instrs } F \text{ ret } instrs \Sigma \Sigma o \implies$   
 $sp\text{-instrs } F \text{ ret } (instr \# instrs) \Sigma i \Sigma o$

**lemmas**  $sp\text{-instrs-ConsE} = sp\text{-instrs.cases}[of \ - \ - \ x \ \# \ xs \ \text{for } x \ xs, \ \text{simplified}]$

**lemma**  $sp\text{-instrs-ConsD}$ :

**assumes**  $sp\text{-instrs } F \text{ ret } (instr \# instrs) \Sigma i \Sigma o$   
**shows**  $\exists \Sigma. sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma \wedge sp\text{-instrs } F \text{ ret } instrs \Sigma \Sigma o$   
*<proof>*

**lemma**  $sp\text{-instr-deterministic}$ :

**assumes**  
*sp-instr*  $F$  *ret instr*  $\Sigma i \Sigma o$  **and**  
*sp-instr*  $F$  *ret instr*  $\Sigma i \Sigma o'$   
**shows**  $\Sigma o = \Sigma o'$   
*<proof>*

**lemma** *sp-instr-right-unique*: *right-unique*  $(\lambda(\text{instr}, \Sigma i) \Sigma o. \text{sp-instr } F \text{ ret instr } \Sigma i \Sigma o)$   
*<proof>*

**lemma** *sp-instrs-deterministic*:  
**assumes**  
*sp-instrs*  $F$  *ret instr*  $\Sigma i \Sigma o$  **and**  
*sp-instrs*  $F$  *ret instr*  $\Sigma i \Sigma o'$   
**shows**  $\Sigma o = \Sigma o'$   
*<proof>*

**fun** *fun-call-in-range* **where**  
*fun-call-in-range*  $F$   $(\text{ICall } f) \longleftrightarrow f \in \text{dom } F \mid$   
*fun-call-in-range*  $F$  *instr*  $\longleftrightarrow \text{True}$

**lemma** *fun-call-in-range-generalize-instr[simp]*:  
*fun-call-in-range*  $F$   $(\text{generalize-instr } \text{instr}) \longleftrightarrow \text{fun-call-in-range } F \text{ instr}$   
*<proof>*

**lemma** *sp-instr-complete*:  
**assumes** *fun-call-in-range*  $F$  *instr*  
**shows**  $\exists \Sigma i \Sigma o. \text{sp-instr } F \text{ ret instr } \Sigma i \Sigma o$   
*<proof>*

**lemma** *sp-instr-Op2I*:  
**assumes**  $\mathfrak{A}(\text{arity}) \text{ op} = 2$   
**shows** *sp-instr*  $F$  *ret*  $(\text{IOp } \text{op})$   $(\text{None} \# \text{None} \# \Sigma)$   $(\text{None} \# \Sigma)$   
*<proof>*

**lemma**  
**assumes**  
*F-def*:  $F = \text{Map.empty}$  **and**  
*arity-op*:  $\mathfrak{A}(\text{arity}) \text{ op} = 2$   
**shows** *sp-instrs*  $F$  1  $[\text{IPush } x, \text{IPush } y, \text{IOp } \text{op}, \text{IReturn}] [] []$   
*<proof>*

**lemma** *sp-instrs-NilD[dest]*: *sp-instrs*  $F$  *ret*  $[] \Sigma i \Sigma o \implies \Sigma i = \Sigma o$   
*<proof>*

**lemma** *sp-instrs-list-update*:  
**assumes**  
*sp-instrs*  $F$  *ret instrs*  $\Sigma i \Sigma o$  **and**  
*sp-instr*  $F$  *ret*  $(\text{instrs}!n) = \text{sp-instr } F \text{ ret instr}$

**shows**  $sp\text{-instrs } F \text{ ret } (instrs[n := instr]) \Sigma i \Sigma o$   
 $\langle proof \rangle$

**lemma**  $sp\text{-instrs-appendD}$ :

**assumes**  $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$   
**shows**  $\exists \Sigma. sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma \wedge sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$   
 $\langle proof \rangle$

**lemma**  $sp\text{-instrs-appendD}'$ :

**assumes**  $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$  **and**  $sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma$   
**shows**  $sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$   
 $\langle proof \rangle$

**lemma**  $sp\text{-instrs-appendI[intro]}$ :

**assumes**  $sp\text{-instrs } F \text{ ret } instrs1 \Sigma i \Sigma$  **and**  $sp\text{-instrs } F \text{ ret } instrs2 \Sigma \Sigma o$   
**shows**  $sp\text{-instrs } F \text{ ret } (instrs1 @ instrs2) \Sigma i \Sigma o$   
 $\langle proof \rangle$

**lemma**  $sp\text{-instrs-singleton-conv[simp]}$ :

$sp\text{-instrs } F \text{ ret } [instr] \Sigma i \Sigma o \longleftrightarrow sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma o$   
 $\langle proof \rangle$

**lemma**  $sp\text{-instrs-singletonI}$ :

**assumes**  $sp\text{-instr } F \text{ ret } instr \Sigma i \Sigma o$   
**shows**  $sp\text{-instrs } F \text{ ret } [instr] \Sigma i \Sigma o$   
 $\langle proof \rangle$

**fun**  $local\text{-var-in-range}$  **where**

$local\text{-var-in-range } n (IGet k) \longleftrightarrow k < n \mid$   
 $local\text{-var-in-range } n (IGetUbx \tau k) \longleftrightarrow k < n \mid$   
 $local\text{-var-in-range } n (ISet k) \longleftrightarrow k < n \mid$   
 $local\text{-var-in-range } n (ISetUbx \tau k) \longleftrightarrow k < n \mid$   
 $local\text{-var-in-range } - - \longleftrightarrow True$

**lemma**  $local\text{-var-in-range-generalize-instr[simp]}$ :

$local\text{-var-in-range } n (generalize\text{-instr } instr) \longleftrightarrow local\text{-var-in-range } n instr$   
 $\langle proof \rangle$

**lemma**  $local\text{-var-in-range-comp-generalize-instr[simp]}$ :

$local\text{-var-in-range } n \circ generalize\text{-instr} = local\text{-var-in-range } n$   
 $\langle proof \rangle$

**fun**  $jump\text{-in-range}$  **where**

$jump\text{-in-range } L (ICJump l_t l_f) \longleftrightarrow \{l_t, l_f\} \subseteq L \mid$   
 $jump\text{-in-range } L - \longleftrightarrow True$

**inductive**  $wf\text{-basic-block}$  **for**  $F L \text{ ret } n$  **where**

$instrs \neq [] \implies$

*list-all* (*local-var-in-range* *n*) *instrs*  $\implies$   
*list-all* (*fun-call-in-range* *F*) *instrs*  $\implies$   
*list-all* (*jump-in-range* *L*) *instrs*  $\implies$   
*list-all* ( $\lambda i. \neg \text{is-jump } i \wedge \neg \text{is-return } i$ ) (*butlast* *instrs*)  $\implies$   
*sp-instrs* *F* *ret* *instrs* [] []  $\implies$   
*wf-basic-block* *F* *L* *ret* *n* (*label*, *instrs*)

**lemmas** *wf-basic-blockI* = *wf-basic-block.simps*[*THEN* *iffD2*]  
**lemmas** *wf-basic-blockD* = *wf-basic-block.simps*[*THEN* *iffD1*]

**definition** *wf-fundef* **where**

*wf-fundef* *F* *fd*  $\longleftrightarrow$   
*body* *fd*  $\neq$  []  $\wedge$   
*list-all*  
(*wf-basic-block* *F* (*fst* ‘ *set* (*body* *fd*)) (*return* *fd*) (*arity* *fd* + *fundef-locals* *fd*))  
(*body* *fd*)

**lemmas** *wf-fundefI* = *wf-fundef-def*[*THEN* *iffD2*, *OF* *conjI*]  
**lemmas** *wf-fundefD* = *wf-fundef-def*[*THEN* *iffD1*]  
**lemmas** *wf-fundef-body-neq-NilD* = *wf-fundefD*[*THEN* *conjunct1*]  
**lemmas** *wf-fundef-all-wf-basic-blockD* = *wf-fundefD*[*THEN* *conjunct2*]

**definition** *wf-fundefs* **where**

*wf-fundefs* *F*  $\longleftrightarrow$  ( $\forall f. \text{pred-option } (\text{wf-fundef } (\text{map-option funtype } \circ F)) (F f)$ )

**lemmas** *wf-fundefsI* = *wf-fundefs-def*[*THEN* *iffD2*]  
**lemmas** *wf-fundefsD* = *wf-fundefs-def*[*THEN* *iffD1*]

**lemma** *wf-fundefs-getD*:

**shows** *wf-fundefs* *F*  $\implies$  *F* *f* = *Some* *fd*  $\implies$  *wf-fundef* (*map-option* *funtype*  $\circ$  *F*)  
*fd*  
*<proof>*

**definition** *wf-prog* **where**

*wf-prog* *p*  $\longleftrightarrow$  *wf-fundefs* (*F*.*get* (*prog-fundefs* *p*))

**definition** *wf-state* **where**

*wf-state* *s*  $\longleftrightarrow$  *wf-fundefs* (*F*.*get* (*state-fundefs* *s*))

**lemmas** *wf-stateI* = *wf-state-def*[*THEN* *iffD2*]  
**lemmas** *wf-stateD* = *wf-state-def*[*THEN* *iffD1*]

**lemma** *sp-instr-generalize0*:

**assumes** *sp-instr* *F* *ret* *instr*  $\Sigma i$   $\Sigma o$  **and**  
 $\Sigma i' = \text{map } (\lambda-. \text{None}) \Sigma i$  **and**  $\Sigma o' = \text{map } (\lambda-. \text{None}) \Sigma o$   
**shows** *sp-instr* *F* *ret* (*generalize-instr* *instr*)  $\Sigma i'$   $\Sigma o'$   
*<proof>*

**lemma** *sp-instrs-generalize0*:

**assumes** *sp-instrs* *F* *ret* *instrs*  $\Sigma i$   $\Sigma o$  **and**  
 $\Sigma i' = \text{map } (\lambda \cdot \text{None}) \Sigma i$  **and**  $\Sigma o' = \text{map } (\lambda \cdot \text{None}) \Sigma o$   
**shows** *sp-instrs* *F* *ret* (*map generalize-instr instrs*)  $\Sigma i'$   $\Sigma o'$   
*<proof>*

**lemmas** *sp-instr-generalize* = *sp-instr-generalize0*[*OF* - *refl* *refl*]  
**lemmas** *sp-instr-generalize-Nil-Nil* = *sp-instr-generalize*[*of* - - - [] [], *simplified*]  
**lemmas** *sp-instrs-generalize* = *sp-instrs-generalize0*[*OF* - *refl* *refl*]  
**lemmas** *sp-instrs-generalize-Nil-Nil* = *sp-instrs-generalize*[*of* - - - [] [], *simplified*]

**lemma** *jump-in-range-generalize-instr*[*simp*]:  
*jump-in-range* *L* (*generalize-instr instr*)  $\longleftrightarrow$  *jump-in-range* *L* *instr*  
*<proof>*

**lemma** *wf-basic-block-map-generalize-instr*:  
**assumes** *wf-basic-block* *F* *L* *ret* *n* (*label*, *instrs*)  
**shows** *wf-basic-block* *F* *L* *ret* *n* (*label*, *map generalize-instr instrs*)  
*<proof>*

**lemma** *list-all-wf-basic-block-generalize-fundef*:  
**assumes** *list-all* (*wf-basic-block* *F* *L* *ret* *n*) (*body* *fd*)  
**shows** *list-all* (*wf-basic-block* *F* *L* *ret* *n*) (*body* (*generalize-fundef* *fd*))  
*<proof>*

**lemma** *wf-fundefs-map-entry*:  
**assumes** *wf-F*: *wf-fundefs* (*F*-*get* *F*) **and**  
*same-funtype*:  $\bigwedge \text{fd}. \text{fd} \in \text{ran } (F\text{-get } F) \implies \text{funtype } (f \text{ fd}) = \text{funtype } \text{fd}$  **and**  
*same-arity*:  $\bigwedge \text{fd}. F\text{-get } F \ x = \text{Some } \text{fd} \implies \text{arity } (f \text{ fd}) = \text{arity } \text{fd}$  **and**  
*same-return*:  $\bigwedge \text{fd}. F\text{-get } F \ x = \text{Some } \text{fd} \implies \text{return } (f \text{ fd}) = \text{return } \text{fd}$  **and**  
*same-body-length*:  $\bigwedge \text{fd}. F\text{-get } F \ x = \text{Some } \text{fd} \implies \text{length } (\text{body } (f \text{ fd})) = \text{length}$   
(*body* *fd*) **and**  
*same-locals*:  $\bigwedge \text{fd}. F\text{-get } F \ x = \text{Some } \text{fd} \implies \text{fundef-locals } (f \text{ fd}) = \text{fundef-locals}$   
*fd* **and**  
*same-labels*:  $\bigwedge \text{fd}. F\text{-get } F \ x = \text{Some } \text{fd} \implies \text{fst ' set } (\text{body } (f \text{ fd})) = \text{fst ' set}$   
(*body* *fd*) **and**  
*list-all-wf-basic-block-f*:  $\bigwedge \text{fd}.$   
 $F\text{-get } F \ x = \text{Some } \text{fd} \implies$   
*list-all* (*wf-basic-block* (*map-option funtype*  $\circ$  *F*-*get* *F*) (*fst ' set* (*body* *fd*))  
(*return* *fd*)  
(*arity* *fd* + *fundef-locals* *fd*)) (*body* *fd*)  $\implies$   
*list-all* (*wf-basic-block* (*map-option funtype*  $\circ$  *F*-*get* *F*) (*fst ' set* (*body* *fd*))  
(*return* *fd*)  
(*arity* *fd* + *fundef-locals* *fd*)) (*body* (*f* *fd*))  
**shows** *wf-fundefs* (*F*-*get* (*Fenv.map-entry* *F* *x* *f*))  
*<proof>*

**lemma** *wf-fundefs-generalize*:  
**assumes** *wf-F*: *wf-fundefs* (*F*-*get* *F*)  
**shows** *wf-fundefs* (*F*-*get* (*Fenv.map-entry* *F* *f* *generalize-fundef*))

*<proof>*

**lemma** *list-all-wf-basic-block-rewrite-fundef-body:*

**assumes**

*list-all (wf-basic-block F L ret n) (body fd) and*

*instr-at fd l pc = Some instr and*

*sp-instr-eq: sp-instr F ret instr = sp-instr F ret instr' and*

*local-var-in-range-iff: local-var-in-range n instr'  $\longleftrightarrow$  local-var-in-range n instr*

**and**

*fun-call-in-range-iff: fun-call-in-range F instr'  $\longleftrightarrow$  fun-call-in-range F instr*

**and**

*jump-in-range-iff: jump-in-range L instr'  $\longleftrightarrow$  jump-in-range L instr and*

*is-jump-iff: is-jump instr'  $\longleftrightarrow$  is-jump instr and*

*is-return-iff: is-return instr'  $\longleftrightarrow$  is-return instr*

**shows** *list-all (wf-basic-block F L ret n) (body (rewrite-fundef-body fd l pc instr'))*

*<proof>*

**lemma** *wf-fundefs-rewrite-body:*

**assumes** *wf-fundefs (F-get F) and*

*next-instr (F-get F) f l pc = Some instr and*

*sp-instr-eq:  $\bigwedge$ ret.*

*sp-instr (map-option funtype  $\circ$  F-get F) ret instr' =*

*sp-instr (map-option funtype  $\circ$  F-get F) ret instr and*

*local-var-in-range-iff:  $\bigwedge$ n. local-var-in-range n instr'  $\longleftrightarrow$  local-var-in-range n*

*instr and*

*fun-call-in-range-iff:*

*fun-call-in-range (map-option funtype  $\circ$  F-get F) instr'  $\longleftrightarrow$*

*fun-call-in-range (map-option funtype  $\circ$  F-get F) instr and*

*jump-in-range-iff:  $\bigwedge$ L. jump-in-range L instr'  $\longleftrightarrow$  jump-in-range L instr and*

*is-jump-iff: is-jump instr'  $\longleftrightarrow$  is-jump instr and*

*is-return-iff: is-return instr'  $\longleftrightarrow$  is-return instr*

**shows** *wf-fundefs (F-get (Fenv.map-entry F f ( $\lambda$ fd. rewrite-fundef-body fd l pc instr')))*

*<proof>*

**lemma** *sp-instr-Op-OpInl-conv:*

**assumes** *op =  $\mathfrak{DcInl}$  opinl*

**shows** *sp-instr F ret (IOp op) = sp-instr F ret (IOpInl opinl)*

*<proof>*

**lemma** *wf-state-step-preservation:*

**assumes** *wf-state s and step s s'*

**shows** *wf-state s'*

*<proof>*

**end**



```

end
theory Unboxed-lemmas
  imports Unboxed
begin

lemma cast-Dyn-eq-Some-imp-typeof: cast-Dyn u = Some d  $\implies$  typeof u = None
  <proof>

lemma typeof-bind-OpDyn[simp]: typeof  $\circ$  OpDyn = ( $\lambda$ -. None)
  <proof>

lemma is-dyn-operand-eq-typeof: is-dyn-operand = ( $\lambda$ x. typeof x = None)
  <proof>

lemma is-dyn-operand-eq-typeof-Dyn[simp]: is-dyn-operand x  $\longleftrightarrow$  typeof x = None
  <proof>

lemma typeof-unboxed-eq-const:
  fixes x
  shows
    typeof x = None  $\longleftrightarrow$  ( $\exists$  d. x = OpDyn d)
    typeof x = Some Ubx1  $\longleftrightarrow$  ( $\exists$  n. x = OpUbx1 n)
    typeof x = Some Ubx2  $\longleftrightarrow$  ( $\exists$  b. x = OpUbx2 b)
  <proof>

lemmas typeof-unboxed-inversion = typeof-unboxed-eq-const[THEN iffD1]

lemma cast-inversions:
  cast-Dyn x = Some d  $\implies$  x = OpDyn d
  cast-Ubx1 x = Some n  $\implies$  x = OpUbx1 n
  cast-Ubx2 x = Some b  $\implies$  x = OpUbx2 b
  <proof>

lemma ap-map-list-cast-Dyn-replicate:
  assumes ap-map-list cast-Dyn xs = Some ys
  shows map typeof xs = replicate (length xs) None
  <proof>

context unboxedval begin

lemma unbox-typeof[simp]: unbox  $\tau$  d = Some blob  $\implies$  typeof blob = Some  $\tau$ 
  <proof>

lemma cast-and-box-imp-typeof[simp]: cast-and-box  $\tau$  blob = Some d  $\implies$  typeof
blob = Some  $\tau$ 
  <proof>

lemma norm-unbox-inverse[simp]: unbox  $\tau$  d = Some blob  $\implies$  norm-unboxed blob

```

=  $d$   
⟨proof⟩

**lemma** *norm-cast-and-box-inverse*[simp]:  
cast-and-box  $\tau$  blob = Some  $d \implies$  norm-unboxed blob =  $d$   
⟨proof⟩

**lemma** *typeof-and-norm-unboxed-imp-cast-Dyn*:  
assumes *typeof*  $x' = \text{None}$  norm-unboxed  $x' = x$   
shows *cast-Dyn*  $x' = \text{Some } x$   
⟨proof⟩

**lemma** *typeof-and-norm-unboxed-imp-cast-and-box*:  
assumes *typeof*  $x' = \text{Some } \tau$  norm-unboxed  $x' = x$   
shows *cast-and-box*  $\tau$   $x' = \text{Some } x$   
⟨proof⟩

**lemma** *norm-unboxed-bind-OpDyn*[simp]: norm-unboxed  $\circ$  OpDyn = *id*  
⟨proof⟩

**lemmas** *box-stack-Nil*[simp] = list.map(1)[of *box-frame*  $f$  for  $f$ , folded *box-stack-def*]  
**lemmas** *box-stack-Cons*[simp] = list.map(2)[of *box-frame*  $f$  for  $f$ , folded *box-stack-def*]

**lemma** *typeof-box-operand*[simp]: *typeof* (box-operand  $u$ ) = None  
⟨proof⟩

**lemma** *typeof-box-operand-comp*[simp]: *typeof*  $\circ$  box-operand = ( $\lambda$ -. None)  
⟨proof⟩

**lemma** *is-dyn-box-operand*: *is-dyn-operand* (box-operand  $x$ )  
⟨proof⟩

**lemma** *is-dyn-operand-comp-box-operand*[simp]: *is-dyn-operand*  $\circ$  box-operand =  
( $\lambda$ -. True)  
⟨proof⟩

**lemma** *norm-box-operand*[simp]: norm-unboxed (box-operand  $x$ ) = norm-unboxed  
 $x$   
⟨proof⟩

**end**

**end**

**theory** *Inca-to-Ubx-simulation*

**imports** *List-util Result*

*VeriComp.Simulation*

*Inca Ubx Ubx-Verification Unboxed-lemmas*

**begin**

**lemma** *take-:Suc n = length xs  $\implies$  take n xs = butlast xs*  
 ⟨proof⟩

**lemma** *append-take-singleton-conv:Suc n = length xs  $\implies$  xs = take n xs @ [xs ! n]*  
 ⟨proof⟩

## 15 Locale imports

**locale** *inca-to-ubx-simulation =*

*Sinca: inca*

*Finca-empty Finca-get Finca-add Finca-to-list*  
*heap-empty heap-get heap-add heap-to-list*  
*uninitialized is-true is-false*

**Op Arity InlOp Inl IsInl DeInl +**

*Subx: ubx*

*Fubx-empty Fubx-get Fubx-add Fubx-to-list*  
*heap-empty heap-get heap-add heap-to-list*  
*uninitialized is-true is-false*

*box-ubx1 unbox-ubx1 box-ubx2 unbox-ubx2*

**Op Arity InlOp Inl IsInl DeInl UbxOp Ubx Box TypeOfOp**

**for**

— Functions environments

*Finca-empty and*

*Finca-get :: 'fenv-inca  $\implies$  'fun  $\implies$  ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl)*

*Inca.instr) fundef option and*

*Finca-add and Finca-to-list and*

*Fubx-empty and*

*Fubx-get :: 'fenv-ubx  $\implies$  'fun  $\implies$  ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl, 'opubx, 'ubx1, 'ubx2) Ubx.instr) fundef option and*

*Fubx-add and Fubx-to-list and*

— Memory heap

*heap-empty and heap-get :: 'henv  $\implies$  'var  $\times$  'dyn  $\implies$  'dyn option and heap-add and heap-to-list and*

— Dynamic values

*uninitialized :: 'dyn and is-true and is-false and*

— Unboxed values

*box-ubx1 and unbox-ubx1 and*

*box-ubx2 and unbox-ubx2 and*

— n-ary operations

**Op and Arity and InlOp and Inl and IsInl and DeInl and UbxOp and Ubx and Box and TypeOfOp**  
**begin**

## 16 Normalization

**fun** *norm-instr* **where**

*norm-instr* (*Ubx.IPush* *d*) = *Inca.IPush* *d* |  
*norm-instr* (*Ubx.IPushUbx1* *n*) = *Inca.IPush* (*box-ubx1* *n*) |  
*norm-instr* (*Ubx.IPushUbx2* *b*) = *Inca.IPush* (*box-ubx2* *b*) |  
*norm-instr* *Ubx.IPop* = *Inca.IPop* |  
*norm-instr* (*Ubx.IGet* *n*) = *Inca.IGet* *n* |  
*norm-instr* (*Ubx.IGetUbx* - *n*) = *Inca.IGet* *n* |  
*norm-instr* (*Ubx.ISet* *n*) = *Inca.ISet* *n* |  
*norm-instr* (*Ubx.ISetUbx* - *n*) = *Inca.ISet* *n* |  
*norm-instr* (*Ubx.ILoad* *x*) = *Inca.ILoad* *x* |  
*norm-instr* (*Ubx.ILoadUbx* - *x*) = *Inca.ILoad* *x* |  
*norm-instr* (*Ubx.IStore* *x*) = *Inca.IStore* *x* |  
*norm-instr* (*Ubx.IStoreUbx* - *x*) = *Inca.IStore* *x* |  
*norm-instr* (*Ubx.IOp* *op*) = *Inca.IOp* *op* |  
*norm-instr* (*Ubx.IOpInl* *op*) = *Inca.IOpInl* *op* |  
*norm-instr* (*Ubx.IOpUbx* *op*) = *Inca.IOpInl* (**Box** *op*) |  
*norm-instr* (*Ubx.ICJump* *l<sub>t</sub>* *l<sub>f</sub>*) = *Inca.ICJump* *l<sub>t</sub>* *l<sub>f</sub>* |  
*norm-instr* (*Ubx.ICall* *x*) = *Inca.ICall* *x* |  
*norm-instr* *Ubx.IReturn* = *Inca.IReturn*

**lemma** *norm-generalize-instr[simp]*: *norm-instr* (*Subx.generalize-instr* *instr*) = *norm-instr* *instr*

⟨*proof*⟩

**abbreviation** *norm-eq* **where**

*norm-eq* *x y* ≡ *x* = *norm-instr* *y*

**definition** *rel-fundefs* **where**

*rel-fundefs* *f g* = (∀ *x*. *rel-option* (*rel-fundef* (=) *norm-eq*) (*f* *x*) (*g* *x*))

**lemma** *rel-fundefsI*:

**assumes** ∧*x*. *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

**shows** *rel-fundefs* *F1* *F2*

⟨*proof*⟩

**lemma** *rel-fundefsD*:

**assumes** *rel-fundefs* *F1* *F2*

**shows** *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

⟨*proof*⟩

**lemma** *rel-fundefs-next-instr*:

**assumes** *rel-F1-F2*: *rel-fundefs* *F1* *F2*

**shows** *rel-option* *norm-eq* (*next-instr* *F1* *f l pc*) (*next-instr* *F2* *f l pc*)

⟨*proof*⟩

**lemma** *rel-fundefs-next-instr1*:

**assumes** *rel-F1-F2*: *rel-fundefs* *F1* *F2* **and** *next-instr1*: *next-instr* *F1* *f l pc* =

*Some instr1*

**shows**  $\exists \text{instr2}. \text{next-instr } F2 \text{ f l pc} = \text{Some instr2} \wedge \text{norm-eq instr1 instr2}$   
*<proof>*

**lemma** *rel-fundefs-next-instr2:*

**assumes** *rel-F1-F2: rel-fundefs F1 F2* **and** *next-instr2: next-instr F2 f l pc = Some instr2*  
**shows**  $\exists \text{instr1}. \text{next-instr } F1 \text{ f l pc} = \text{Some instr1} \wedge \text{norm-eq instr1 instr2}$   
*<proof>*

**lemma** *rel-fundefs-empty: rel-fundefs ( $\lambda\cdot$ . None) ( $\lambda\cdot$ . None)*  
*<proof>*

**lemma** *rel-fundefs-None1:*

**assumes** *rel-fundefs f g* **and**  $f \ x = \text{None}$   
**shows**  $g \ x = \text{None}$   
*<proof>*

**lemma** *rel-fundefs-None2:*

**assumes** *rel-fundefs f g* **and**  $g \ x = \text{None}$   
**shows**  $f \ x = \text{None}$   
*<proof>*

**lemma** *rel-fundefs-Some1:*

**assumes** *rel-fundefs f g* **and**  $f \ x = \text{Some } y$   
**shows**  $\exists z. g \ x = \text{Some } z \wedge \text{rel-fundef } (=) \text{ norm-eq } y \ z$   
*<proof>*

**lemma** *rel-fundefs-Some2:*

**assumes** *rel-fundefs f g* **and**  $g \ x = \text{Some } y$   
**shows**  $\exists z. f \ x = \text{Some } z \wedge \text{rel-fundef } (=) \text{ norm-eq } z \ y$   
*<proof>*

**lemma** *rel-fundefs-rel-option:*

**assumes** *rel-fundefs f g* **and**  $\bigwedge x \ y. \text{rel-fundef } (=) \text{ norm-eq } x \ y \implies h \ x \ y$   
**shows** *rel-option h (f z) (g z)*  
*<proof>*

**lemma** *rel-fundef-generalizeI:*

**assumes** *rel-fundef (=) norm-eq fd1 fd2*  
**shows** *rel-fundef (=) norm-eq fd1 (Subx.generalize-fundef fd2)*  
*<proof>*

**lemma** *rel-fundefs-generalizeI:*

**assumes** *rel-fundefs (Fincx-get F1) (Fubx-get F2)*  
**shows** *rel-fundefs (Fincx-get F1) (Fubx-get (Subx.Fenv.map-entry F2 f Subx.generalize-fundef))*  
*<proof>*

**lemma** *rel-fundefs-rewriteI:*

**assumes**  
*rel-F1-F2*: *rel-fundefs* (*Finca-get* *F1*) (*Fubx-get* *F2*) **and**  
*norm-eq instr1' instr2'*  
**shows** *rel-fundefs*  
(*Finca-get* (*Sinca.Fenv.map-entry* *F1 f* ( $\lambda fd. \text{rewrite-fundef-body } fd \text{ l pc instr1 '}$ )))  
(*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* ( $\lambda fd. \text{rewrite-fundef-body } fd \text{ l pc instr2 '}$ )))  
**(is** *rel-fundefs* (*Finca-get* *?F1'*) (*Fubx-get* *?F2'*)  
 $\langle \text{proof} \rangle$

## 17 Equivalence of call stacks

**definition** *norm-stack* :: (*'dyn*, *'ubx1*, *'ubx2*) *unboxed list*  $\Rightarrow$  *'dyn list* **where**  
*norm-stack*  $\Sigma \equiv \text{List.map } \text{Subx.norm-unboxed } \Sigma$

**lemma** *norm-stack-Nil[simp]*: *norm-stack* [] = []  
 $\langle \text{proof} \rangle$

**lemma** *norm-stack-Cons[simp]*: *norm-stack* (*d #*  $\Sigma$ ) = *Subx.norm-unboxed* *d #*  
*norm-stack*  $\Sigma$   
 $\langle \text{proof} \rangle$

**lemma** *norm-stack-append*: *norm-stack* (*xs @ ys*) = *norm-stack* *xs @ norm-stack*  
*ys*  
 $\langle \text{proof} \rangle$

**lemmas** *drop-norm-stack* = *drop-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]  
**lemmas** *take-norm-stack* = *take-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]  
**lemmas** *norm-stack-map* = *map-map*[**where** *f* = *Subx.norm-unboxed*, *folded norm-stack-def*]

**lemma** *norm-box-stack[simp]*: *norm-stack* (*map* *Subx.box-operand*  $\Sigma$ ) = *norm-stack*  
 $\Sigma$   
 $\langle \text{proof} \rangle$

**lemma** *length-norm-stack[simp]*: *length* (*norm-stack* *xs*) = *length* *xs*  
 $\langle \text{proof} \rangle$

**definition** *is-valid-fun-call* **where**

*is-valid-fun-call* *F f l pc*  $\Sigma$  *g*  $\equiv \text{next-instr } F \text{ f l pc} = \text{Some } (\text{ICall } g) \wedge$   
 $(\exists gd. F \text{ g} = \text{Some } gd \wedge \text{arity } gd \leq \text{length } \Sigma \wedge \text{list-all is-dyn-operand } (\text{take}$   
 $(\text{arity } gd) \Sigma))$

**lemma** *is-valid-funcall-map-entry-generalize-fundefI*:

**assumes** *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc*  $\Sigma$  *z*  
**shows** *is-valid-fun-call* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* *Subx.generalize-fundef*))  
*g l pc*  $\Sigma$  *z*  
 $\langle \text{proof} \rangle$

**lemma** *is-valid-fun-call-map-box-operandI*:

**assumes** *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc*  $\Sigma$  *z*

**shows** *is-valid-fun-call* (*Fubx-get* *F2*) *g l pc* (*map Subx.box-operand*  $\Sigma$ ) *z*  
 ⟨*proof*⟩

**lemma** *inst-at-rewrite-fundef-body-disj*:  
*instr-at* (*rewrite-fundef-body* *fd l pc instr*) *l pc* = *Some instr*  $\vee$   
*instr-at* (*rewrite-fundef-body* *fd l pc instr*) *l pc* = *None*  
 ⟨*proof*⟩

**lemma** *is-valid-fun-call-map-entry-conv*:  
**assumes** *next-instr* (*Fubx-get* *F2*) *f l pc* = *Some instr*  $\neg$  *is-fun-call instr*  $\neg$   
*is-fun-call instr'*  
**shows**  
*is-valid-fun-call* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* ( $\lambda$ *fd. rewrite-fundef-body*  
*fd l pc instr'*))) =  
*is-valid-fun-call* (*Fubx-get* *F2*)  
 ⟨*proof*⟩

**lemma** *is-valid-fun-call-map-entry-neq-f-neq-l*:  
**assumes** *f*  $\neq$  *g* *l*  $\neq$  *l'*  
**shows**  
*is-valid-fun-call* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* ( $\lambda$ *fd. rewrite-fundef-body*  
*fd l pc instr'*))) *g l'* =  
*is-valid-fun-call* (*Fubx-get* *F2*) *g l'*  
 ⟨*proof*⟩

**inductive** *rel-stacktraces* for *F* where

*rel-stacktraces-Nil*:  
*rel-stacktraces* *F opt* [] [] |

*rel-stacktraces-Cons*:  
*rel-stacktraces* *F* (*Some f*) *st1 st2*  $\implies$   
 $\Sigma 1 = \text{map } \text{Subx.norm-unboxed } \Sigma 2 \implies$   
 $R 1 = \text{map } \text{Subx.norm-unboxed } R 2 \implies$   
 $\text{list-all is-dyn-operand } R 2 \implies$   
 $F f = \text{Some } fd 2 \implies \text{map-of (body } fd 2) l = \text{Some instrs} \implies$   
 $\text{Subx.sp-instrs (map-option funtype } \circ F) (\text{return } fd 2) (\text{take } pc \text{ instrs}) [] (\text{map}$   
 $\text{typeof } \Sigma 2) \implies$   
 $\text{pred-option (is-valid-fun-call } F f l pc \Sigma 2) \text{ opt} \implies$   
*rel-stacktraces* *F opt* (*Frame* *f l pc R1*  $\Sigma 1$   $\#$  *st1*) (*Frame* *f l pc R2*  $\Sigma 2$   $\#$  *st2*)

**lemma** *rel-stacktraces-map-entry-gneralize-fundefI*[*intro*]:  
**assumes** *rel-stacktraces* (*Fubx-get* *F2*) *opt st1 st2*  
**shows** *rel-stacktraces* (*Fubx-get* (*Subx.Fenv.map-entry* *F2 f* *Subx.generalize-fundef*))  
*opt st1* (*Subx.box-stack* *f st2*)  
 ⟨*proof*⟩

**lemma** *rel-stacktraces-map-entry-rewrite-fundef-body*:  
**assumes**  
*rel-stacktraces* (*Fubx-get* *F2*) *opt st1 st2* **and**

$next\_instr (Fubx\_get F2) f l pc = Some\ instr$  **and**  
 $\bigwedge ret. Subx.sp\_instr (map\_option\ funtype \circ Fubx\_get\ F2) ret\ instr =$   
 $Subx.sp\_instr (map\_option\ funtype \circ Fubx\_get\ F2) ret\ instr'$  **and**  
 $\neg is\_fun\_call\ instr \neg is\_fun\_call\ instr'$   
**shows**  $rel\_stacktraces$   
 $(Fubx\_get (Subx.Fenv.map\_entry\ F2\ f (\lambda fd. rewrite\_fundef\_body\ fd\ l\ pc\ instr')))$   
 $opt\ st1\ st2$   
 $\langle proof \rangle$

## 18 Simulation relation

**inductive**  $match$  (**infix**  $\sim 55$ ) **where**  
 $matchI: Subx.wf\_state (State\ F2\ H\ st2) \implies$   
 $rel\_fundefs (Finca\_get\ F1) (Fubx\_get\ F2) \implies$   
 $rel\_stacktraces (Fubx\_get\ F2) None\ st1\ st2 \implies$   
 $match (State\ F1\ H\ st1) (State\ F2\ H\ st2)$

**lemmas**  $matchI[consumes\ 0, case\_names\ wf\_state\ rel\_fundefs\ rel\_stacktraces] =$   
 $match.intros(1)$

## 19 Backward simulation

**lemma**  $map\_eq\_append\_map\_drop$ :  
 $map\ f\ xs = ys @ map\ f (drop\ n\ xs) \longleftrightarrow map\ f (take\ n\ xs) = ys$   
 $\langle proof \rangle$

**lemma**  $ap\_map\_list\_cast\_Dyn\_to\_map\_norm$ :  
**assumes**  $ap\_map\_list\ cast\_Dyn\ xs = Some\ ys$   
**shows**  $ys = map\ Subx.norm\_unboxed\ xs$   
 $\langle proof \rangle$

**lemma**  $ap\_map\_list\_cast\_Dyn\_to\_all\_Dyn$ :  
**assumes**  $ap\_map\_list\ cast\_Dyn\ xs = Some\ ys$   
**shows**  $list\_all (\lambda x. typeof\ x = None)\ xs$   
 $\langle proof \rangle$

**lemma**  $ap\_map\_list\_cast\_Dyn\_map\_typeof\_replicate\_conv$ :  
**assumes**  $ap\_map\_list\ cast\_Dyn\ xs = Some\ ys$  **and**  $n = length\ xs$   
**shows**  $map\ typeof\ xs = replicate\ n\ None$   
 $\langle proof \rangle$

**lemma**  $cast\_Dyn\_eq\_Some\_conv\_norm\_unboxed[simp]$ :  $cast\_Dyn\ i = Some\ i' \implies$   
 $Subx.norm\_unboxed\ i = i'$   
 $\langle proof \rangle$

**lemma**  $cast\_Dyn\_eq\_Some\_conv\_typeof[simp]$ :  $cast\_Dyn\ i = Some\ i' \implies typeof\ i =$   
 $None$   
 $\langle proof \rangle$



**lemma** *backward-lockstep-simulation*:  
**assumes** *match s1 s2 and Subx.step s2 s2'*  
**shows**  $\exists s1'. \text{Sinca.step } s1 \ s1' \wedge \text{match } s1' \ s2'$   
*<proof>*

**lemma** *match-final-backward*:  
**assumes** *match s1 s2 and final-s2: final Fubx-get Ubx.IReturn s2*  
**shows** *final Finca-get Inca.IReturn s1*  
*<proof>*

**sublocale** *inca-to-ubx-simulation*:  
*backward-simulation Sinca.step Subx.step*  
*final Finca-get Inca.IReturn*  
*final Fubx-get Ubx.IReturn*  
 $\lambda-. \text{False } \lambda-. \text{match}$   
*<proof>*

## 20 Forward simulation

**lemma** *ap-map-list-cast-Dyn-eq-norm-stack*:  
**assumes** *list-all ( $\lambda x. x = \text{None}$ ) (map typeof xs)*  
**shows** *ap-map-list cast-Dyn xs = Some (map Subx.norm-unboxed xs)*  
*<proof>*

**lemma** *forward-lockstep-simulation*:  
**assumes** *match s1 s2 and Sinca.step s1 s1'*  
**shows**  $\exists s2'. \text{Subx.step } s2 \ s2' \wedge \text{match } s1' \ s2'$   
*<proof>*

**lemma** *match-final-forward*:  
**assumes** *match s1 s2 and final-s1: final Finca-get Inca.IReturn s1*  
**shows** *final Fubx-get Ubx.IReturn s2*  
*<proof>*

**sublocale** *inca-ubx-forward-simulation*:  
*forward-simulation Sinca.step Subx.step*  
*final Finca-get Inca.IReturn*  
*final Fubx-get Ubx.IReturn*  
 $\lambda-. \text{False } \lambda-. \text{match}$   
*<proof>*

## 21 Bisimulation

**sublocale** *inca-ubx-bisimulation*:  
*bisimulation Sinca.step Subx.step final Finca-get Inca.IReturn final Fubx-get Ubx.IReturn*  
 $\lambda-. \text{False } \lambda-. \text{match}$   
*<proof>*

```

end

end
theory Inca-Verification
  imports Inca
begin

context inca begin

```

## 22 Strongest postcondition

**inductive** *sp-instr* for *F ret* where

```

Push:
  sp-instr F ret (IPush d)  $\Sigma$  (Suc  $\Sigma$ ) |
Pop:
  sp-instr F ret IPop (Suc  $\Sigma$ )  $\Sigma$  |
Get:
  sp-instr F ret (IGet n)  $\Sigma$  (Suc  $\Sigma$ ) |
Set:
  sp-instr F ret (ISet n) (Suc  $\Sigma$ )  $\Sigma$  |
Load:
  sp-instr F ret (ILoad x) (Suc  $\Sigma$ ) (Suc  $\Sigma$ ) |
Store:
  sp-instr F ret (IStore x) (Suc (Suc  $\Sigma$ ))  $\Sigma$  |
Op:
   $\Sigma i = \mathfrak{Arity} \text{ op} + \Sigma \implies$ 
  sp-instr F ret (IOp op)  $\Sigma i$  (Suc  $\Sigma$ ) |
OpInl:
   $\Sigma i = \mathfrak{Arity} (\mathfrak{OpInl} \text{ opinl}) + \Sigma \implies$ 
  sp-instr F ret (IOpInl opinl)  $\Sigma i$  (Suc  $\Sigma$ ) |
CJump:
  sp-instr F ret (ICJump  $l_t$   $l_f$ ) 1 0 |
Call:
   $F f = \text{Some} (ar, r) \implies \Sigma i = ar + \Sigma \implies \Sigma o = r + \Sigma \implies$ 
  sp-instr F ret (ICall f)  $\Sigma i$   $\Sigma o$  |
Return:  $\Sigma i = \text{ret} \implies$ 
  sp-instr F ret IReturn  $\Sigma i$  0

```

*sp-instr* calculates the strongest postcondition of the arity of the operand stack.

**inductive** *sp-instrs* for *F ret* where

```

Nil:
  sp-instrs F ret []  $\Sigma$   $\Sigma$  |
Cons:
  sp-instr F ret instr  $\Sigma i$   $\Sigma \implies$  sp-instrs F ret instrs  $\Sigma$   $\Sigma o \implies$ 
  sp-instrs F ret (instr # instrs)  $\Sigma i$   $\Sigma o$ 

```

## 23 Range validations

**fun** *local-var-in-range* **where**  
  *local-var-in-range*  $n$  (*IGet*  $k$ )  $\longleftrightarrow k < n$  |  
  *local-var-in-range*  $n$  (*ISet*  $k$ )  $\longleftrightarrow k < n$  |  
  *local-var-in-range* - -  $\longleftrightarrow True$

**fun** *jump-in-range* **where**  
  *jump-in-range*  $L$  (*ICJump*  $l_t$   $l_f$ )  $\longleftrightarrow \{l_t, l_f\} \subseteq L$  |  
  *jump-in-range*  $L$  -  $\longleftrightarrow True$

**fun** *fun-call-in-range* **where**  
  *fun-call-in-range*  $F$  (*ICall*  $f$ )  $\longleftrightarrow f \in \text{dom } F$  |  
  *fun-call-in-range*  $F$  *instr*  $\longleftrightarrow True$

## 24 Basic block validation

**definition** *wf-basic-block* **where**  
  *wf-basic-block*  $F$   $L$  *ret*  $n$  *bblock*  $\longleftrightarrow$   
    (*let* (*label*, *instrs*) = *bblock* *in*  
      *list-all* (*local-var-in-range*  $n$ ) *instrs*  $\wedge$   
      *list-all* (*jump-in-range*  $L$ ) *instrs*  $\wedge$   
      *list-all* (*fun-call-in-range*  $F$ ) *instrs*  $\wedge$   
      *list-all* ( $\lambda i. \neg \text{Inca.is-jump } i \wedge \neg \text{Inca.is-return } i$ ) (*butlast* *instrs*)  $\wedge$   
      *instrs*  $\neq []$   $\wedge$   
      *sp-instrs*  $F$  *ret* *instrs*  $0$   $0$ )

## 25 Function definition validation

**definition** *wf-fundef* **where**  
  *wf-fundef*  $F$  *fd*  $\longleftrightarrow$   
    *body* *fd*  $\neq []$   $\wedge$   
    *list-all*  
      (*wf-basic-block*  $F$  (*fst* ‘ *set* (*body* *fd*)) (*return* *fd*) (*arity* *fd* + *fundef-locals* *fd*))  
      (*body* *fd*)

## 26 Program definition validation

**definition** *wf-prog* **where**  
  *wf-prog*  $p$   $\longleftrightarrow$   
    (*let*  $F = F\text{-get}$  (*prog-fundefs*  $p$ ) *in*  
      *pred-map* (*wf-fundef* (*map-option* *funtype*  $\circ F$ ))  $F$ )

**end**

**end**

**theory** *Inca-to-Ubx-compiler*  
  **imports** *Inca-to-Ubx-simulation* *Result*

begin

## 27 Generic program rewriting

**primrec** *monadic-fold-map* **where**

$$\begin{aligned} \text{monadic-fold-map } f \text{ acc } [] &= \text{Some } (\text{acc}, []) \mid \\ \text{monadic-fold-map } f \text{ acc } (x \# xs) &= \text{do } \{ \\ & \quad (\text{acc}', x') \leftarrow f \text{ acc } x; \\ & \quad (\text{acc}'', xs') \leftarrow \text{monadic-fold-map } f \text{ acc}' xs; \\ & \quad \text{Some } (\text{acc}'', x' \# xs') \\ & \} \end{aligned}$$

**lemma** *monadic-fold-map-length*:

$$\text{monadic-fold-map } f \text{ acc } xs = \text{Some } (\text{acc}', xs') \implies \text{length } xs = \text{length } xs'$$

*<proof>*

**lemma** *monadic-fold-map-ConsD[dest]*:

**assumes** *monadic-fold-map*  $f \ a \ (x \# xs) = \text{Some } (c, ys)$   
**shows**  $\exists y \ ys' \ b. \ ys = y \# ys' \wedge f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (c, ys')$   
 $= \text{Some } (c, ys')$   
*<proof>*

**lemma** *monadic-fold-map-eq-Some-conv*:

$$\begin{aligned} \text{monadic-fold-map } f \ a \ (x \# xs) = \text{Some } (c, ys) &\longleftrightarrow \\ (\exists y \ ys' \ b. \ f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (c, ys') \wedge ys &= y \# ys') \end{aligned}$$

*<proof>*

**lemma** *monadic-fold-map-eq-Some-conv'*:

$$\begin{aligned} \text{monadic-fold-map } f \ a \ (x \# xs) = \text{Some } p &\longleftrightarrow \\ (\exists y \ ys' \ b. \ f \ a \ x = \text{Some } (b, y) \wedge \text{monadic-fold-map } f \ b \ xs = \text{Some } (\text{fst } p, ys') &\wedge \text{snd } p = y \# ys') \end{aligned}$$

*<proof>*

**lemma** *monadic-fold-map-list-all2*:

**assumes** *monadic-fold-map*  $f \ \text{acc} \ xs = \text{Some } (\text{acc}', ys)$  **and**  
 $\bigwedge \text{acc} \ \text{acc}' \ x \ y. \ f \ \text{acc} \ x = \text{Some } (\text{acc}', y) \implies P \ x \ y$   
**shows** *list-all2*  $P \ xs \ ys$   
*<proof>*

**lemma** *monadic-fold-map-list-all*:

**assumes** *monadic-fold-map*  $f \ \text{acc} \ xs = \text{Some } (\text{acc}', ys)$  **and**  
 $\bigwedge \text{acc} \ \text{acc}' \ x \ y. \ f \ \text{acc} \ x = \text{Some } (\text{acc}', y) \implies P \ y$   
**shows** *list-all*  $P \ ys$   
*<proof>*

```

fun gen-pop-push where
  gen-pop-push instr (domain, codomain)  $\Sigma$  = (
    let ar = length domain in
    if ar  $\leq$  length  $\Sigma$   $\wedge$  take ar  $\Sigma$  = domain then
      Some (instr, codomain @ drop ar  $\Sigma$ )
    else
      None
  )

```

**context** inca-to-ubx-simulation **begin**

## 28 Lifting

```

fun lift-instr :: -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$  -  $\Rightarrow$ 
  ((-, -, -, -, -, 'opubx, 'ubx1, 'ubx2) Ubx.instr  $\times$  -) option where
  lift-instr F L ret N (Inca.IPush d)  $\Sigma$  = Some (IPush d, None #  $\Sigma$ ) |
  lift-instr F L ret N Inca.IPop (- #  $\Sigma$ ) = Some (IPop,  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IGet n)  $\Sigma$  = (if n < N then Some (IGet n, None #  $\Sigma$ )
  else None) |
  lift-instr F L ret N (Inca.ISet n) (None #  $\Sigma$ ) = (if n < N then Some (ISet n,
 $\Sigma$ ) else None) |
  lift-instr F L ret N (Inca.ILoad x) (None #  $\Sigma$ ) = Some (ILoad x, None #  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IStore x) (None # None #  $\Sigma$ ) = Some (IStore x,  $\Sigma$ ) |
  lift-instr F L ret N (Inca.IOp op)  $\Sigma$  =
    gen-pop-push (IOp op) (replicate (Arity op) None, [None])  $\Sigma$  |
  lift-instr F L ret N (Inca.IOpInl opinl)  $\Sigma$  =
    gen-pop-push (IOpInl opinl) (replicate (Arity (OpInl opinl)) None, [None])  $\Sigma$  |
  lift-instr F L ret N (Inca.ICJump lt lf) [None] =
    (if List.member L lt  $\wedge$  List.member L lf then Some (ICJump lt lf, []) else None)
  |
  lift-instr F L ret N (Inca.ICall f)  $\Sigma$  = do {
    (ar, ret)  $\leftarrow$  F f;
    gen-pop-push (ICall f) (replicate ar None, replicate ret None)  $\Sigma$ 
  } |
  lift-instr F L ret N Inca.IReturn  $\Sigma$  =
    (if  $\Sigma$  = replicate ret None then Some (IReturn, []) else None) |
  lift-instr - - - - - = None

```

**definition** lift-instrs **where**

```

lift-instrs F L ret N  $\equiv$ 
  monadic-fold-map ( $\lambda$  $\Sigma$  instr. map-option prod.swap (lift-instr F L ret N instr
 $\Sigma$ ))

```

**lemma** lift-instrs-length:

```

assumes lift-instrs F L ret N  $\Sigma$  i xs = Some ( $\Sigma$ o, ys)
shows length xs = length ys
<proof>

```

**lemma** lift-instrs-not-Nil: lift-instrs F L ret N  $\Sigma$  i xs = Some ( $\Sigma$ o, ys)  $\implies$  xs  $\neq$  []

$\longleftrightarrow ys \neq []$   
*<proof>*

**lemma** *lift-instrs-NilD[dest]*:  
**assumes** *lift-instrs F L ret N  $\Sigma i [] = Some (\Sigma o, ys)$*   
**shows**  $\Sigma o = \Sigma i \wedge ys = []$   
*<proof>*

**lemmas** *Some-eq-bind-conv =*  
*bind-eq-Some-conv[unfolded eq-commute[of Option.bind f g Some x for f g x]]*

**lemma** *lift-instr-is-jump*:  
**assumes** *lift-instr F L ret N x  $\Sigma i = Some (y, \Sigma o)$*   
**shows** *Inca.is-jump x  $\longleftrightarrow$  Ubx.is-jump y*  
*<proof>*

**lemma** *lift-instr-is-return*:  
**assumes** *lift-instr F L ret N x  $\Sigma i = Some (y, \Sigma o)$*   
**shows** *Inca.is-return x  $\longleftrightarrow$  Ubx.is-return y*  
*<proof>*

**lemma** *lift-instrs-all-not-jump-not-return*:  
**assumes** *lift-instrs F L ret N  $\Sigma i xs = Some (\Sigma o, ys)$*   
**shows**  
*list-all ( $\lambda i. \neg$  Inca.is-jump i  $\wedge$   $\neg$  Inca.is-return i) xs  $\longleftrightarrow$*   
*list-all ( $\lambda i. \neg$  Ubx.is-jump i  $\wedge$   $\neg$  Ubx.is-return i) ys*  
*<proof>*

**lemma** *lift-instrs-all-butlast-not-jump-not-return*:  
**assumes** *lift-instrs F L ret N  $\Sigma i xs = Some (\Sigma o, ys)$*   
**shows**  
*list-all ( $\lambda i. \neg$  Inca.is-jump i  $\wedge$   $\neg$  Inca.is-return i) (butlast xs)  $\longleftrightarrow$*   
*list-all ( $\lambda i. \neg$  Ubx.is-jump i  $\wedge$   $\neg$  Ubx.is-return i) (butlast ys)*  
*<proof>*

**lemma** *lift-instr-sp*:  
**assumes** *lift-instr F L ret N x  $\Sigma i = Some (y, \Sigma o)$*   
**shows** *Subx.sp-instr F ret y  $\Sigma i \Sigma o$*   
*<proof>*

**lemma** *lift-instrs-sp*:  
**assumes** *lift-instrs F L ret N  $\Sigma i xs = Some (\Sigma o, ys)$*   
**shows** *Subx.sp-instrs F ret ys  $\Sigma i \Sigma o$*   
*<proof>*

**lemma** *lift-instr-fun-call-in-range*:  
**assumes** *lift-instr F L ret N x  $\Sigma i = Some (y, \Sigma o)$*   
**shows** *Subx.fun-call-in-range F y*  
*<proof>*

**lemma** *lift-instrs-all-fun-call-in-range*:  
**assumes** *lift-instrs F L ret N  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)*  
**shows** *list-all (Subx.fun-call-in-range F) ys*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instr-local-var-in-range*:  
**assumes** *lift-instr F L ret N x  $\Sigma i$  = Some (y,  $\Sigma o$ )*  
**shows** *Subx.local-var-in-range N y*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instrs-all-local-var-in-range*:  
**assumes** *lift-instrs F L ret N  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)*  
**shows** *list-all (Subx.local-var-in-range N) ys*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instr-jump-in-range*:  
**assumes** *lift-instr F L ret N x  $\Sigma i$  = Some (y,  $\Sigma o$ )*  
**shows** *Subx.jump-in-range (set L) y*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instrs-all-jump-in-range*:  
**assumes** *lift-instrs F L ret N  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)*  
**shows** *list-all (Subx.jump-in-range (set L)) ys*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instr-norm*:  
*lift-instr F L ret N instr1  $\Sigma 1$  = Some (instr2,  $\Sigma 2$ )  $\implies$  norm-eq instr1 instr2*  
 $\langle$ *proof* $\rangle$

**lemma** *lift-instrs-all-norm*:  
**assumes** *lift-instrs F L ret N  $\Sigma 1$  instrs1 = Some ( $\Sigma 2$ , instrs2)*  
**shows** *list-all2 norm-eq instrs1 instrs2*  
 $\langle$ *proof* $\rangle$

## 29 Optimization

**context**  
**fixes** *load-oracle :: nat  $\Rightarrow$  type option*  
**begin**

**definition** *orelse :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  'a option (infixr orelse 55) where*  
*x orelse y = (case x of Some x'  $\Rightarrow$  Some x' | None  $\Rightarrow$  y)*

**lemma** *None-orelse[simp]*: *None orelse y = y*  
 $\langle$ *proof* $\rangle$

**lemma** *orelse-None[simp]*: *x orelse None = x*  
 $\langle$ *proof* $\rangle$

**lemma** *Some-orelse[simp]*: *Some x orelse y = Some x*  
 ⟨proof⟩

**lemma** *orelse-eq-Some-conv*:  
 $x \text{ orelse } y = \text{Some } z \iff (x = \text{Some } z \vee x = \text{None} \wedge y = \text{Some } z)$   
 ⟨proof⟩

**lemma** *orelse-eq-SomeE*:  
**assumes**  
 $x \text{ orelse } y = \text{Some } z$  **and**  
 $x = \text{Some } z \implies P$  **and**  
 $x = \text{None} \implies y = \text{Some } z \implies P$   
**shows**  $P$   
 ⟨proof⟩

**fun** *drop-prefix where*  
 $\text{drop-prefix } [] \text{ } ys = \text{Some } ys \mid$   
 $\text{drop-prefix } (x \# xs) (y \# ys) = (\text{if } x = y \text{ then } \text{drop-prefix } xs \text{ } ys \text{ else } \text{None}) \mid$   
 $\text{drop-prefix } \_ \_ = \text{None}$

**lemma** *drop-prefix-append-prefix[simp]*:  $\text{drop-prefix } xs (xs @ ys) = \text{Some } ys$   
 ⟨proof⟩

**lemma** *drop-prefix-eq-Some-conv*:  $\text{drop-prefix } xs \text{ } ys = \text{Some } zs \iff ys = xs @ zs$   
 ⟨proof⟩

**fun** *optim-instr where*  
 $\text{optim-instr } \_ \_ \_ (IPush \ d) \ \Sigma =$   
 $\text{Some } \text{Pair} \diamond (\text{Some } IPushUbx1 \diamond (\text{unbox-ubx1 } \ d)) \diamond \text{Some } (\text{Some } Ubx1 \ \# \ \Sigma)$   
*orelse*  
 $\text{Some } \text{Pair} \diamond (\text{Some } IPushUbx2 \diamond (\text{unbox-ubx2 } \ d)) \diamond \text{Some } (\text{Some } Ubx2 \ \# \ \Sigma)$   
*orelse*  
 $\text{Some } (IPush \ d, \ \text{None} \ \# \ \Sigma)$   
 |  
 $\text{optim-instr } \_ \_ \_ (IPushUbx1 \ n) \ \Sigma = \text{Some } (IPushUbx1 \ n, \ \text{Some } Ubx1 \ \# \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ (IPushUbx2 \ b) \ \Sigma = \text{Some } (IPushUbx2 \ b, \ \text{Some } Ubx2 \ \# \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ IPop \ (- \ \# \ \Sigma) = \text{Some } (IPop, \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ pc \ (IGet \ n) \ \Sigma =$   
 $\text{map-option } (\lambda \tau. (IGetUbx \ \tau \ n, \ \text{Some } \tau \ \# \ \Sigma)) (\text{load-oracle } \ pc) \ \text{orelse}$   
 $\text{Some } (IGet \ n, \ \text{None} \ \# \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ pc \ (IGetUbx \ \tau \ n) \ \Sigma = \text{Some } (IGetUbx \ \tau \ n, \ \text{Some } \tau \ \# \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ (ISet \ n) \ (\text{None} \ \# \ \Sigma) = \text{Some } (ISet \ n, \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ (ISet \ n) \ (\text{Some } \tau \ \# \ \Sigma) = \text{Some } (ISetUbx \ \tau \ n, \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ (ISetUbx \ - \ n) \ (\text{None} \ \# \ \Sigma) = \text{Some } (ISet \ n, \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ (ISetUbx \ - \ n) \ (\text{Some } \tau \ \# \ \Sigma) = \text{Some } (ISetUbx \ \tau \ n, \ \Sigma) \mid$   
 $\text{optim-instr } \_ \_ \_ pc \ (ILoad \ x) \ (\text{None} \ \# \ \Sigma) =$   
 $\text{map-option } (\lambda \tau. (ILoadUbx \ \tau \ x, \ \text{Some } \tau \ \# \ \Sigma)) (\text{load-oracle } \ pc) \ \text{orelse}$   
 $\text{Some } (ILoad \ x, \ \text{None} \ \# \ \Sigma) \mid$



$optim-instr \ - \ - \ (IloadUbx \ \tau \ x) \ (None \ \# \ \Sigma) = Some \ (IloadUbx \ \tau \ x, \ Some \ \tau \ \# \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IStore \ x) \ (None \ \# \ None \ \# \ \Sigma) = Some \ (IStore \ x, \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IStore \ x) \ (None \ \# \ Some \ \tau \ \# \ \Sigma) = Some \ (IStoreUbx \ \tau \ x, \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IStoreUbx \ - \ x) \ (None \ \# \ None \ \# \ \Sigma) = Some \ (IStore \ x, \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IStoreUbx \ - \ x) \ (None \ \# \ Some \ \tau \ \# \ \Sigma) = Some \ (IStoreUbx \ \tau \ x, \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IOp \ op) \ \Sigma =$   
 $\quad map-option \ (\lambda \Sigma o. \ (IOp \ op, \ None \ \# \ \Sigma o)) \ (drop-prefix \ (replicate \ (\mathfrak{A}rity \ op) \ None) \ \Sigma) \ |$   
 $optim-instr \ - \ - \ (IOpInl \ opinl) \ \Sigma = ($   
 $\quad let \ ar = \mathfrak{A}rity \ (\mathfrak{D}e\mathfrak{I}nl \ opinl) \ in$   
 $\quad if \ ar \leq length \ \Sigma \ then$   
 $\quad \quad case \ \mathfrak{U}bx \ opinl \ (take \ ar \ \Sigma) \ of$   
 $\quad \quad \quad None \Rightarrow map-option \ (\lambda \Sigma o. \ (IOpInl \ opinl, \ None \ \# \ \Sigma o)) \ (drop-prefix \ (replicate \ ar \ None) \ \Sigma) \ |$   
 $\quad \quad \quad Some \ opubx \Rightarrow map-option \ (\lambda \Sigma o. \ (IOpUbx \ opubx, \ snd \ (\mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx) \ \# \ \Sigma o))$   
 $\quad \quad \quad \quad (drop-prefix \ (fst \ (\mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx)) \ \Sigma)$   
 $\quad \quad \quad else$   
 $\quad \quad \quad \quad None$   
 $\quad ) \ |$   
 $optim-instr \ - \ - \ (IOpUbx \ opubx) \ \Sigma =$   
 $\quad (let \ p = \mathfrak{T}hpe\mathfrak{D}f\mathfrak{D}p \ opubx \ in$   
 $\quad \quad map-option \ (\lambda \Sigma o. \ (IOpUbx \ opubx, \ snd \ p \ \# \ \Sigma o)) \ (drop-prefix \ (fst \ p) \ \Sigma)) \ |$   
 $optim-instr \ - \ - \ (ICJump \ l_t \ l_f) \ [None] = Some \ (ICJump \ l_t \ l_f, \ []) \ |$   
 $optim-instr \ F \ - \ (ICall \ f) \ \Sigma = do \ {$   
 $\quad (ar, \ ret) \leftarrow F \ f;$   
 $\quad \Sigma o \leftarrow drop-prefix \ (replicate \ ar \ None) \ \Sigma;$   
 $\quad Some \ (ICall \ f, \ replicate \ ret \ None \ @ \ \Sigma o)$   
 $\quad } \ |$   
 $optim-instr \ - \ ret \ - \ IReturn \ \Sigma = (if \ \Sigma = replicate \ ret \ None \ then \ Some \ (IReturn,$   
 $\quad []) \ else \ None) \ |$   
 $optim-instr \ - \ - \ - \ - \ = \ None$

**definition** *optim-instrs where*

$optim-instrs \ F \ ret \equiv \lambda pc \ \Sigma i \ instrs.$   
 $\quad map-option \ (\lambda((- , \ \Sigma o), \ instrs'). \ (\Sigma o, \ instrs'))$   
 $\quad \quad (monadic-fold-map \ (\lambda(pc, \ \Sigma) \ instr.$   
 $\quad \quad \quad map-option \ (\lambda(instr', \ \Sigma o). \ ((Suc \ pc, \ \Sigma o), \ instr')) \ (optim-instr \ F \ ret \ pc \ instr$   
 $\quad \quad \quad \Sigma))$   
 $\quad \quad (pc, \ \Sigma i) \ instrs)$

**lemma** *optim-instrs-Cons-eq-Some-conv:*

$optim-instrs \ F \ ret \ pc \ \Sigma i \ (instr \ \# \ instrs) = Some \ (\Sigma o, \ ys) \longleftrightarrow (\exists y \ ys' \ \Sigma.$   
 $\quad ys = y \ \# \ ys' \wedge$   
 $\quad \quad optim-instr \ F \ ret \ pc \ instr \ \Sigma i = Some \ (y, \ \Sigma) \wedge$   
 $\quad \quad optim-instrs \ F \ ret \ (Suc \ pc) \ \Sigma \ instrs = Some \ (\Sigma o, \ ys'))$   
 $\langle proof \rangle$

**lemma** *optim-instrs-length*:

**assumes** *optim-instrs F ret pc  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)*

**shows** *length xs = length ys*

*<proof>*

**lemma** *optim-instrs-not-Nil*: *optim-instrs F ret pc  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)  $\implies$  xs*

*$\neq [] \longleftrightarrow$  ys  $\neq []$*

*<proof>*

**lemma** *optim-instrs-NilD[dest]*:

**assumes** *optim-instrs F ret pc  $\Sigma i$  [] = Some ( $\Sigma o$ , ys)*

**shows**  *$\Sigma o = \Sigma i \wedge$  ys = []*

*<proof>*

**lemma** *optim-instrs-ConsD[dest]*:

**assumes** *optim-instrs F ret pc  $\Sigma i$  (x # xs) = Some ( $\Sigma o$ , ys)*

**shows**  *$\exists$  y ys'  $\Sigma$ . ys = y # ys'  $\wedge$*

*optim-instr F ret pc x  $\Sigma i$  = Some (y,  $\Sigma$ )  $\wedge$*

*optim-instrs F ret (Suc pc)  $\Sigma$  xs = Some ( $\Sigma o$ , ys')*

*<proof>*

**lemma** *optim-instr-norm*:

**assumes** *optim-instr F ret pc instr1  $\Sigma 1$  = Some (instr2,  $\Sigma 2$ )*

**shows** *norm-instr instr1 = norm-instr instr2*

*<proof>*

**lemma** *optim-instrs-all-norm*:

**assumes** *optim-instrs F ret pc  $\Sigma 1$  instrs1 = Some ( $\Sigma 2$ , instrs2)*

**shows** *list-all2 ( $\lambda i 1$  i2. norm-instr i1 = norm-instr i2) instrs1 instrs2*

*<proof>*

**lemma** *optim-instr-is-jump*:

**assumes** *optim-instr F ret pc x  $\Sigma i$  = Some (y,  $\Sigma o$ )*

**shows** *is-jump x  $\longleftrightarrow$  is-jump y*

*<proof>*

**lemma** *optim-instr-is-return*:

**assumes** *optim-instr F ret pc x  $\Sigma i$  = Some (y,  $\Sigma o$ )*

**shows** *is-return x  $\longleftrightarrow$  is-return y*

*<proof>*

**lemma** *optim-instrs-all-butlast-not-jump-not-return*:

**assumes** *optim-instrs F ret pc  $\Sigma i$  xs = Some ( $\Sigma o$ , ys)*

**shows**

*list-all ( $\lambda i$ .  $\neg$  is-jump i  $\wedge$   $\neg$  is-return i) (butlast xs)  $\longleftrightarrow$*

*list-all ( $\lambda i$ .  $\neg$  is-jump i  $\wedge$   $\neg$  is-return i) (butlast ys)*

*<proof>*

**lemma** *optim-instr-jump-in-range*:

**assumes** *optim-instr*  $F$  *ret pc*  $x$   $\Sigma i = \text{Some } (y, \Sigma o)$

**shows** *Subx.jump-in-range*  $L$   $x \longleftrightarrow \text{Subx.jump-in-range } L$   $y$

*<proof>*

**lemma** *optim-instrs-all-jump-in-range*:

**assumes** *optim-instrs*  $F$  *ret pc*  $\Sigma i$   $xs = \text{Some } (\Sigma o, ys)$

**shows** *list-all* (*Subx.jump-in-range*  $L$ )  $xs \longleftrightarrow \text{list-all } (\text{Subx.jump-in-range } L)$   $ys$

*<proof>*

**lemma** *optim-instr-fun-call-in-range*:

**assumes** *optim-instr*  $F$  *ret pc*  $x$   $\Sigma i = \text{Some } (y, \Sigma o)$

**shows** *Subx.fun-call-in-range*  $F$   $x \longleftrightarrow \text{Subx.fun-call-in-range } F$   $y$

*<proof>*

**lemma** *optim-instrs-all-fun-call-in-range*:

**assumes** *optim-instrs*  $F$  *ret pc*  $\Sigma i$   $xs = \text{Some } (\Sigma o, ys)$

**shows** *list-all* (*Subx.fun-call-in-range*  $F$ )  $xs \longleftrightarrow \text{list-all } (\text{Subx.fun-call-in-range } F)$   $ys$

*<proof>*

**lemma** *optim-instr-local-var-in-range*:

**assumes** *optim-instr*  $F$  *ret pc*  $x$   $\Sigma i = \text{Some } (y, \Sigma o)$

**shows** *Subx.local-var-in-range*  $N$   $x \longleftrightarrow \text{Subx.local-var-in-range } N$   $y$

*<proof>*

**lemma** *optim-instrs-all-local-var-in-range*:

**assumes** *optim-instrs*  $F$  *ret pc*  $\Sigma i$   $xs = \text{Some } (\Sigma o, ys)$

**shows** *list-all* (*Subx.local-var-in-range*  $N$ )  $xs \longleftrightarrow \text{list-all } (\text{Subx.local-var-in-range } N)$   $ys$

*<proof>*

**lemma** *optim-instr-sp*:

**assumes** *optim-instr*  $F$  *ret pc*  $x$   $\Sigma i = \text{Some } (y, \Sigma o)$

**shows** *Subx.sp-instr*  $F$  *ret*  $y$   $\Sigma i$   $\Sigma o$

*<proof>*

**lemma** *optim-instrs-sp*:

**assumes** *optim-instrs*  $F$  *ret pc*  $\Sigma i$   $xs = \text{Some } (\Sigma o, ys)$

**shows** *Subx.sp-instrs*  $F$  *ret*  $ys$   $\Sigma i$   $\Sigma o$

*<proof>*

## 30 Compilation of function definition

**definition** *compile-basic-block where*

*compile-basic-block*  $F$   $L$  *ret*  $N \equiv$

*ap-map-prod*  $\text{Some } (\lambda i1. \text{do } \{$

-  $\leftarrow$  *if*  $i1 \neq []$  *then*  $\text{Some } ()$  *else*  $\text{None}$ ;

-  $\leftarrow$  *if* *list-all*  $(\lambda i. \neg \text{Inca.is-jump } i \wedge \neg \text{Inca.is-return } i)$  (*butlast*  $i1$ ) *then*

```

Some () else None;
  ( $\Sigma o, i2$ )  $\leftarrow$  lift-instrs  $F L$  ret  $N$  ( $[] ::$  type option list)  $i1$ ;
  if  $\Sigma o = []$  then
    case optim-instrs  $F$  ret  $0$  ( $[] ::$  type option list)  $i2$  of
      Some ( $\Sigma o', i2'$ )  $\Rightarrow$  Some (if  $\Sigma o' = []$  then  $i2'$  else  $i2$ ) |
      None  $\Rightarrow$  Some  $i2$ 
    else
      None
  })

```

**lemma** *compile-basic-block-rel-prod-all-norm-eq*:  
**assumes** *compile-basic-block*  $F L$  ret  $N$   $bblock1 =$  Some  $bblock2$   
**shows** *rel-prod* (=) (*list-all2 norm-eq*)  $bblock1$   $bblock2$   
*<proof>*

**lemma** *list-all-iff-butlast-last*:  
**assumes**  $xs \neq []$   
**shows** *list-all*  $P$   $xs \longleftrightarrow$  *list-all*  $P$  (*butlast*  $xs$ )  $\wedge$   $P$  (*last*  $xs$ )  
*<proof>*

**lemma** *compile-basic-block-wf*:  
**assumes** *compile-basic-block*  $F L$  ret  $N$   $x =$  Some  $y$   
**shows** *Subx.wf-basic-block*  $F$  (*set*  $L$ ) ret  $N$   $y$   
*<proof>*

**fun** *compile-fundef where*  
*compile-fundef*  $F$  (*Fundef*  $bblocks1$  ar ret locals) = do {  
 -  $\leftarrow$  if  $bblocks1 = []$  then None else Some ();  
 $bblocks2 \leftarrow$  ap-map-list (*compile-basic-block*  $F$  (*map fst*  $bblocks1$ ) ret (*ar* +  
 locals))  $bblocks1$ ;  
 Some (*Fundef*  $bblocks2$  ar ret locals)  
}

**lemma** *compile-fundef-arities*: *compile-fundef*  $F$   $fd1 =$  Some  $fd2 \implies$  *arity*  $fd1 =$   
*arity*  $fd2$   
*<proof>*

**lemma** *compile-fundef-returns*: *compile-fundef*  $F$   $fd1 =$  Some  $fd2 \implies$  *return*  $fd1$   
 $=$  *return*  $fd2$   
*<proof>*

**lemma** *compile-fundef-locals*:  
*compile-fundef*  $F$   $fd1 =$  Some  $fd2 \implies$  *fundef-locals*  $fd1 =$  *fundef-locals*  $fd2$   
*<proof>*

**lemma** *if-then-None-else-Some-eq[simp]*:  
(*if*  $a$  then None else Some  $b$ ) = Some  $c \longleftrightarrow \neg a \wedge b = c$   
(*if*  $a$  then None else Some  $b$ ) = None  $\longleftrightarrow a$   
*<proof>*

**lemma**  
**assumes** *compile-fundef*  $F$   $fd1 = \text{Some } fd2$   
**shows**  
*rel-compile-fundef*: *rel-fundef* (=) *norm-eq*  $fd1$   $fd2$  (**is** ?*REL*) **and**  
*wf-compile-fundef*: *Subx.wf-fundef*  $F$   $fd2$  (**is** ?*WF*)  
⟨*proof*⟩

**end**

**end**

**locale** *inca-ubx-compiler* =  
*inca-to-ubx-simulation* *Finca-empty* *Finca-get*  
**for**  
*Finca-empty* **and**  
*Finca-get* :: -  $\Rightarrow$  'fun  $\Rightarrow$  - *option* +  
**fixes**  
*load-oracle* :: 'fun  $\Rightarrow$  nat  $\Rightarrow$  *type option*  
**begin**

## 31 Compilation of function environment

**definition** *compile-env-entry* **where**  
*compile-env-entry*  $F \equiv \lambda p. \text{ap-map-prod } \text{Some } (\text{compile-fundef } (\text{load-oracle } (\text{fst } p)) F) p$

**lemma** *rel-compile-env-entry*:  
**assumes** *compile-env-entry*  $F$   $(f, fd1) = \text{Some } (f, fd2)$   
**shows** *rel-fundef* (=) *norm-eq*  $fd1$   $fd2$   
⟨*proof*⟩

**definition** *compile-env* **where**  
*compile-env*  $e \equiv \text{do } \{$   
  *let*  $\text{fundefs1} = \text{Finca-to-list } e;$   
   $\text{fundefs2} \leftarrow \text{ap-map-list } (\text{compile-env-entry } (\text{map-option } \text{funtype} \circ \text{Finca-get } e))$   
 $\text{fundefs1};$   
   $\text{Some } (\text{Subx.Fenv.from-list } \text{fundefs2})$   
 $\}$

**lemma** *rel-ap-map-list-ap-map-list-compile-env-entries*:  
**assumes** *ap-map-list* (*compile-env-entry*  $F$ )  $xs = \text{Some } ys$   
**shows** *rel-fundefs* (*Finca-get* (*Finca.Fenv.from-list*  $xs$ )) (*Fubx-get* (*Subx.Fenv.from-list*  $ys$ ))  
⟨*proof*⟩

**lemma** *rel-fundefs-compile-env*:  
**assumes** *compile-env*  $F1 = \text{Some } F2$   
**shows** *rel-fundefs* (*Finca-get*  $F1$ ) (*Fubx-get*  $F2$ )

*<proof>*

## 32 Compilation of program

**fun** *compile* **where**

*compile* (Prog F1 H f) = Some Prog  $\diamond$  *compile-env* F1  $\diamond$  Some H  $\diamond$  Some f

**lemma** *ap-map-list-cong*:

**assumes**  $\bigwedge x. x \in \text{set } ys \implies f x = g x$  **and**  $xs = ys$

**shows** *ap-map-list* f xs = *ap-map-list* g ys

*<proof>*

**lemma** *compile-env-wf-fundefs*:

**assumes** *compile-env* F1 = Some F2

**shows** *Subx.wf-fundefs* (Fubx-get F2)

*<proof>*

**lemma** *compile-load*:

**assumes**

*compile-p1*: *compile* p1 = Some p2 **and**

*load*: *Subx.load* p2 s2

**shows**  $\exists s1. \text{Sinca.load } p1 s1 \wedge \text{match } s1 s2$

*<proof>*

**interpretation** *std-to-inca-compiler*:

*compiler* *Sinca.step* *Subx.step* *final* *Finca-get* *Inca.IReturn* *final* *Fubx-get* *Ubx.IReturn*

*Sinca.load* *Subx.load*

$\lambda - . \text{False}$   $\lambda - . \text{match compile}$

*<proof>*

### 32.1 Completeness of compilation

**lemma** *lift-instr-None-preservation*:

**assumes** *lift-instr* F L ret N *instr*  $\Sigma = \text{Some } (instr', \Sigma')$  **and** *list-all* ((=) None)  $\Sigma$

**shows** *list-all* ((=) None)  $\Sigma'$

*<proof>*

**lemma** *lift-instr-complete*:

**assumes**

*Sinca.local-var-in-range* N *instr* **and**

*Sinca.jump-in-range* (set L) *instr* **and**

*Sinca.fun-call-in-range* F *instr* **and**

*Sinca.sp-instr* F ret *instr* (length  $\Sigma$ ) k **and**

*list-all* ((=) None)  $\Sigma$

**shows**  $\exists instr' \Sigma'. \text{lift-instr } F L \text{ ret } N \text{ instr } \Sigma = \text{Some } (instr', \Sigma') \wedge \text{length } \Sigma' = k$

*<proof>*

**lemma** *lift-instrs-complete*:  
**fixes**  $\Sigma :: \text{type option list}$   
**assumes**  
*list-all* (*Sinca.local-var-in-range*  $N$ ) *instrs* **and**  
*list-all* (*Sinca.jump-in-range* (*set*  $L$ )) *instrs* **and**  
*list-all* (*Sinca.fun-call-in-range*  $F$ ) *instrs* **and**  
*Sinca.sp-instrs*  $F$  *ret instrs* (*length*  $\Sigma$ )  $k$  **and**  
*list-all* ( $(=)$  *None*)  $\Sigma$   
**shows**  $\exists \Sigma' \text{ instrs}'.$  *lift-instrs*  $F L \text{ ret } N \Sigma \text{ instrs} = \text{Some } (\Sigma', \text{instrs}') \wedge \text{length}$   
 $\Sigma' = k$   
*<proof>*

**lemma** *optim-instr-complete*:  
**assumes** *sp*: *Subx.sp-instr*  $F \text{ ret instr } \Sigma \Sigma'$   
**shows**  $\exists \Sigma'' \text{ instr}'.$  *optim-instr*  $\mathcal{O} F \text{ ret pc instr } \Sigma = \text{Some } (\text{instr}', \Sigma'') \wedge \text{length}$   
 $\Sigma' = \text{length } \Sigma''$   
*<proof>*

**lemma** *compile-basic-block-complete*:  
**assumes** *wf-bblock1*: *Sinca.wf-basic-block*  $F (\text{set } L) \text{ ret } n \text{ bblock1}$   
**shows**  $\exists \text{ bblock2}.$  *compile-basic-block*  $\mathcal{O} F L \text{ ret } n \text{ bblock1} = \text{Some } \text{ bblock2}$   
*<proof>*

**lemma** *bind-eq-map-option[simp]*:  $x \gg= (\lambda y. \text{Some } (f y)) = \text{map-option } f x$   
*<proof>*

**lemma** *compile-fundef-complete*:  
**assumes** *wf-fd1*: *Sinca.wf-fundef*  $F \text{ fd1}$   
**shows**  $\exists \text{ fd2}.$  *compile-fundef*  $\mathcal{O} F \text{ fd1} = \text{Some } \text{ fd2}$   
*<proof>*

**lemma** *compile-env-entry-complete*:  
**assumes** *wf-fd1*: *Sinca.wf-fundef*  $F \text{ fd1}$   
**shows**  $\exists \text{ fd2}.$  *compile-env-entry*  $F (f, \text{fd1}) = \text{Some } \text{ fd2}$   
*<proof>*

**lemma** *compile-env-complete*:  
**assumes** *wf-F1*: *pred-map* (*Sinca.wf-fundef* (*map-option funtype*  $\circ$  *Finca-get*  $F1$ ))  
(*Finca-get*  $F1$ )  
**shows**  $\exists F2.$  *compile-env*  $F1 = \text{Some } F2$   
*<proof>*

**theorem** *compile-complete*:  
**assumes** *wf-p1*: *Sinca.wf-prog*  $p1$   
**shows**  $\exists p2.$  *compile*  $p1 = \text{Some } p2$   
*<proof>*

**end**

```

end
theory Op-example
  imports OpUbx Global Unboxed-lemmas
begin

```

### 33 Dynamic values

```

datatype dynamic = DNil | DBool bool | DNum int

```

```

definition is-true where
  is-true d  $\equiv$  (d = DBool True)

```

```

definition is-false where
  is-false d  $\equiv$  (d = DBool False)

```

```

interpretation dynval-dynamic: dynval DNil is-true is-false
<proof>

```

```

fun unbox-num :: dynamic  $\Rightarrow$  int option where
  unbox-num (DNum n) = Some n |
  unbox-num - = None

```

```

fun unbox-bool :: dynamic  $\Rightarrow$  bool option where
  unbox-bool (DBool b) = Some b |
  unbox-bool - = None

```

```

interpretation unboxed-dynamic:
  unboxedval DNil is-true is-false DNum unbox-num DBool unbox-bool
<proof>

```

### 34 Normal operations

```

datatype op =
  OpNeg |
  OpAdd |
  OpMul

```

```

fun ar :: op  $\Rightarrow$  nat where
  ar OpNeg = 1 |
  ar OpAdd = 2 |
  ar OpMul = 2

```

```

fun eval-Neg :: dynamic list  $\Rightarrow$  dynamic where
  eval-Neg [DBool b] = DBool ( $\neg$ b) |
  eval-Neg [-] = DNil

```

```

fun eval-Add :: dynamic list  $\Rightarrow$  dynamic where
  eval-Add [DBool x, DBool y] = DBool (x  $\vee$  y) |

```



*eval-Add* [DNum *x*, DNum *y*] = DNum (*x* + *y*) |  
*eval-Add* [-, -] = DNil

**fun** *eval-Mul* :: *dynamic list* ⇒ *dynamic* **where**  
*eval-Mul* [DBool *x*, DBool *y*] = DBool (*x* ∧ *y*) |  
*eval-Mul* [DNum *x*, DNum *y*] = DNum (*x* \* *y*) |  
*eval-Mul* [-, -] = DNil

**fun** *eval* :: *op* ⇒ *dynamic list* ⇒ *dynamic* **where**  
*eval OpNeg* = *eval-Neg* |  
*eval OpAdd* = *eval-Add* |  
*eval OpMul* = *eval-Mul*

**lemma** *eval-arith-domain*: *length xs = ar op* ⇒ ∃ *y*. *eval op xs = y*  
⟨*proof*⟩

**interpretation** *op-Op*: *nary-operations eval ar*  
⟨*proof*⟩

## 35 Inlined operations

**datatype** *opinl* =  
*OpAddNum* |  
*OpMulNum*

**fun** *inl* :: *op* ⇒ *dynamic list* ⇒ *opinl option* **where**  
*inl OpAdd* [DNum -, DNum -] = *Some OpAddNum* |  
*inl OpMul* [DNum -, DNum -] = *Some OpMulNum* |  
*inl - -* = *None*

**inductive** *isinl* :: *opinl* ⇒ *dynamic list* ⇒ *bool* **where**  
*isinl OpAddNum* [DNum -, DNum -] |  
*isinl OpMulNum* [DNum -, DNum -]

**fun** *deinl* :: *opinl* ⇒ *op* **where**  
*deinl OpAddNum* = *OpAdd* |  
*deinl OpMulNum* = *OpMul*

**lemma** *inl-inj*: *inj inl*  
⟨*proof*⟩

**lemma** *inl-invertible*: *inl op xs = Some opinl* ⇒ *deinl opinl = op*  
⟨*proof*⟩

**fun** *eval-AddNum* :: *dynamic list* ⇒ *dynamic* **where**  
*eval-AddNum* [DNum *x*, DNum *y*] = DNum (*x* + *y*) |  
*eval-AddNum* [DBool *x*, DBool *y*] = DBool (*x* ∨ *y*) |  
*eval-AddNum* [-, -] = DNil

**fun** *eval-MulNum* :: *dynamic list*  $\Rightarrow$  *dynamic* **where**  
*eval-MulNum* [*DNum* *x*, *DNum* *y*] = *DNum* (*x* \* *y*) |  
*eval-MulNum* [*DBool* *x*, *DBool* *y*] = *DBool* (*x*  $\wedge$  *y*) |  
*eval-MulNum* [-, -] = *DNil*

**fun** *eval-inl* :: *opinl*  $\Rightarrow$  *dynamic list*  $\Rightarrow$  *dynamic* **where**  
*eval-inl* *OpAddNum* = *eval-AddNum* |  
*eval-inl* *OpMulNum* = *eval-MulNum*

**lemma** *eval-AddNum-correct*:  
 $\text{length } xs = 2 \implies \text{eval-AddNum } xs = \text{eval-Add } xs$   
*<proof>*

**lemma** *eval-MulNum-correct*:  
 $\text{length } xs = 2 \implies \text{eval-MulNum } xs = \text{eval-Mul } xs$   
*<proof>*

**lemma** *eval-inl-correct*:  
 $\text{length } xs = \text{ar } (\text{deinl } \text{opinl}) \implies \text{eval-inl } \text{opinl } xs = \text{eval } (\text{deinl } \text{opinl}) xs$   
*<proof>*

**lemma** *inl-isinl*:  
 $\text{inl } \text{op } xs = \text{Some } \text{opinl} \implies \text{isinl } \text{opinl } xs$   
*<proof>*

**interpretation** *op-OpInl*: *nary-operations-inl eval ar eval-inl inl isinl deinl*  
*<proof>*

## 36 Unboxed operations

**datatype** *opubx* =  
*OpAddNumUbx*

**fun** *ubx* :: *opinl*  $\Rightarrow$  *type option list*  $\Rightarrow$  *opubx option* **where**  
*ubx* *OpAddNum* [*Some* *Ubx1*, *Some* *Ubx1*] = *Some* *OpAddNumUbx* |  
*ubx* - - = *None*

**fun** *deubx* :: *opubx*  $\Rightarrow$  *opinl* **where**  
*deubx* *OpAddNumUbx* = *OpAddNum*

**lemma** *ubx-invertible*:  $\text{ubx } \text{opinl } xs = \text{Some } \text{opubx} \implies \text{deubx } \text{opubx} = \text{opinl}$   
*<proof>*

**fun** *eval-AddNumUbx* **where**  
*eval-AddNumUbx* [*OpUbx1* *x*, *OpUbx1* *y*] = *Some* (*OpUbx1* (*x* + *y*)) |  
*eval-AddNumUbx* - = *None*

**fun** *eval-ubx* **where**  
*eval-ubx* *OpAddNumUbx* = *eval-AddNumUbx*

**lemma** *eval-ubx-correct*:  
*eval-ubx opubx xs = Some z*  $\implies$   
*eval-inl (deubx opubx) (map unboxed-dynamic.norm-unboxed xs) = unboxed-dynamic.norm-unboxed*  
*z*  
 ⟨*proof*⟩

**lemma** *eval-ubx-to-inl*:  
**assumes** *eval-ubx opubx  $\Sigma$  = Some z*  
**shows** *inl (deinl (deubx opubx)) (map unboxed-dynamic.norm-unboxed  $\Sigma$ ) =*  
*Some (deubx opubx)*  
 ⟨*proof*⟩

### 36.1 Typing

**fun** *typeof-opubx* :: *opubx*  $\Rightarrow$  *type option list*  $\times$  *type option* **where**  
*typeof-opubx OpAddNumUbx = ([Some Ubx1, Some Ubx1], Some Ubx1)*

**lemma** *ubx-imp-typeof-opubx*:  
*ubx opinl ts = Some opubx*  $\implies$  *fst (typeof-opubx opubx) = ts*  
 ⟨*proof*⟩

**lemma** *typeof-opubx-correct*:  
*typeof-opubx opubx = (map typeof xs, codomain)*  $\implies$   
 $\exists y. \text{eval-ubx opubx xs} = \text{Some } y \wedge \text{typeof } y = \text{codomain}$   
 ⟨*proof*⟩

**lemma** *typeof-opubx-complete*:  
*eval-ubx opubx xs = Some y*  $\implies$   
*typeof-opubx opubx = (map typeof xs, typeof y)*  
 ⟨*proof*⟩

**lemma** *typeof-opubx-ar*: *length (fst (typeof-opubx opubx)) = ar (deinl (deubx op-  
 ubx))*  
 ⟨*proof*⟩

**interpretation** *op-OpUbx*:  
*nary-operations-ubx*  
*eval ar eval-inl inl isinl deinl*  
*DNil is-true is-false DNum unbox-num DBool unbox-bool*  
*eval-ubx ubx deubx typeof-opubx*  
 ⟨*proof*⟩

**end**  
**theory** *Std*  
**imports** *List-util Global Op Env Dynamic*  
*VeriComp.Language*  
**begin**

```

datatype ('dyn, 'var, 'fun, 'label, 'op) instr =
  IPush 'dyn |
  IPop |
  IGet nat |
  ISet nat |
  ILoad 'var |
  IStore 'var |
  IOp 'op |
  IJump 'label 'label |
  ICall 'fun |
  is-return: IReturn

locale std =
  Fenv: env F-empty F-get F-add F-to-list +
  Henv: env heap-empty heap-get heap-add heap-to-list +
  dynval uninitialized is-true is-false +
  nary-operations  $\mathfrak{Op}$   $\mathfrak{Arity}$ 
  for
    — Functions environment
    F-empty and
    F-get :: 'fenv  $\Rightarrow$  'fun  $\Rightarrow$  ('label, ('dyn, 'var, 'fun, 'label, 'op) instr) fundef option
  and
    F-add and F-to-list and

    — Memory heap
    heap-empty and
    heap-get :: 'henv  $\Rightarrow$  'var  $\times$  'dyn  $\Rightarrow$  'dyn option and
    heap-add and heap-to-list and

    — Dynamic values
    uninitialized :: 'dyn and is-true and is-false and

    — n-ary operations
     $\mathfrak{Op}$  :: 'op  $\Rightarrow$  'dyn list  $\Rightarrow$  'dyn and  $\mathfrak{Arity}$ 
  begin

inductive step (infix  $\rightarrow$  55) where
  step-push:
    next-instr (F-get F) f l pc = Some (IPush d)  $\implies$ 
    State F H (Frame f l pc R  $\Sigma$  # st)  $\rightarrow$  State F H (Frame f l (Suc pc) R (d #
     $\Sigma$ ) # st) |

  step-pop:
    next-instr (F-get F) f l pc = Some IPop  $\implies$ 
    State F H (Frame f l pc R (d #  $\Sigma$ ) # st)  $\rightarrow$  State F H (Frame f l (Suc pc) R
     $\Sigma$  # st) |

  step-get:

```

$next-instr (F-get F) f l pc = Some (IGet n) \implies$   
 $n < length R \implies d = R ! n \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (d \# \Sigma) \# st) |$

*step-set:*

$next-instr (F-get F) f l pc = Some (ISet n) \implies$   
 $n < length R \implies R' = R[n := d] \implies$   
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R' \Sigma \# st) |$

*step-load:*

$next-instr (F-get F) f l pc = Some (ILoad x) \implies$   
 $heap-get H (x, y) = Some d \implies$   
 $State F H (Frame f l pc R (y \# \Sigma) \# st) \rightarrow State F H (Frame f l (Suc pc) R (d \# \Sigma) \# st) |$

*step-store:*

$next-instr (F-get F) f l pc = Some (IStore x) \implies$   
 $heap-add H (x, y) d = H' \implies$   
 $State F H (Frame f l pc R (y \# d \# \Sigma) \# st) \rightarrow State F H' (Frame f l (Suc pc) R \Sigma \# st) |$

*step-op:*

$next-instr (F-get F) f l pc = Some (IOp op) \implies$   
 $\mathfrak{Arity} op = ar \implies ar \leq length \Sigma \implies \mathfrak{Op} op (take ar \Sigma) = x \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (Frame f l (Suc pc) R (x \# drop ar \Sigma) \# st) |$

*step-cjump:*

$next-instr (F-get F) f l pc = Some (ICJump l_t l_f) \implies$   
 $is-true d \wedge l' = l_t \vee is-false d \wedge l' = l_f \implies$   
 $State F H (Frame f l pc R (d \# \Sigma) \# st) \rightarrow State F H (Frame f l' 0 R \Sigma \# st) |$

*step-call:*

$next-instr (F-get F) f l pc = Some (ICall g) \implies$   
 $F-get F g = Some gd \implies arity gd \leq length \Sigma \implies$   
 $frame_g = allocate-frame g gd (take (arity gd) \Sigma) uninitialized \implies$   
 $State F H (Frame f l pc R \Sigma \# st) \rightarrow State F H (frame_g \# Frame f l pc R \Sigma \# st) |$

*step-return:*

$next-instr (F-get F) g l_g pc_g = Some IReturn \implies$   
 $F-get F g = Some gd \implies arity gd \leq length \Sigma_f \implies$   
 $length \Sigma_g = return gd \implies$   
 $frame_{f'} = Frame f l_f (Suc pc_f) R_f (\Sigma_g @ drop (arity gd) \Sigma_f) \implies$   
 $State F H (Frame g l_g pc_g R_g \Sigma_g \# Frame f l_f pc_f R_f \Sigma_f \# st) \rightarrow State F H (frame_{f'} \# st)$

```

lemma step-deterministic:
  assumes  $s1 \rightarrow s2$  and  $s1 \rightarrow s3$ 
  shows  $s2 = s3$ 
  <proof>

lemma step-right-unique: right-unique step
  <proof>

lemma final-finished:
  assumes final F-get IReturn s
  shows finished step s
  <proof>

sublocale semantics step final F-get IReturn
  <proof>

definition load where
  load  $\equiv$  Global.load F-get uninitialized

sublocale language step final F-get IReturn load
  <proof>

end

end

theory Std-to-Inca-simulation
  imports Global List-util Std Inca
  VeriComp.Simulation
begin

```

## 37 Generic definitions

```

locale std-inca-simulation =
  Sstd: std
  Fstd-empty Fstd-get Fstd-add Fstd-to-list
  heap-empty heap-get heap-add heap-to-list
  uninitialized is-true is-false
   $\Delta$  Arity +
  Sinca: inca
  Finca-empty Finca-get Finca-add Finca-to-list
  heap-empty heap-get heap-add heap-to-list
  uninitialized is-true is-false
   $\Delta$  Arity InlOp Inl IsInl DeInl
for
  — Functions environments
  Fstd-empty and
  Fstd-get :: 'fenv-std  $\Rightarrow$  'fun  $\Rightarrow$  ('label, ('dyn, 'var, 'fun, 'label, 'op) Std.instr)
fundef option and

```

*Fstd-add* **and** *Fstd-to-list* **and**

*Finca-empty* **and**

*Finca-get* :: 'fenv-inca  $\Rightarrow$  'fun  $\Rightarrow$  ('label, ('dyn, 'var, 'fun, 'label, 'op, 'opinl)  
*Inca.instr*) fundef option **and**

*Finca-add* **and** *Finca-to-list* **and**

— Memory heap

*heap-empty* **and**

*heap-get* :: 'henv  $\Rightarrow$  'var  $\times$  'dyn  $\Rightarrow$  'dyn option **and**

*heap-add* **and** *heap-to-list* **and**

— Dynamic values

*uninitialized* :: 'dyn **and** *is-true* **and** *is-false* **and**

— n-ary operations

$\mathfrak{Op}$  :: 'op  $\Rightarrow$  'dyn list  $\Rightarrow$  'dyn **and**  $\mathfrak{Arity}$  **and**

$\mathfrak{InlOp}$  **and**  $\mathfrak{Inl}$  **and**  $\mathfrak{IsInl}$  **and**  $\mathfrak{DeInl}$  :: 'opinl  $\Rightarrow$  'op

**begin**

**fun** *norm-instr* **where**

*norm-instr* (*Inca.IPush* *d*) = *Std.IPush* *d* |

*norm-instr* *Inca.IPop* = *Std.IPop* |

*norm-instr* (*Inca.IGet* *n*) = *Std.IGet* *n* |

*norm-instr* (*Inca.ISet* *n*) = *Std.ISet* *n* |

*norm-instr* (*Inca.ILoad* *x*) = *Std.ILoad* *x* |

*norm-instr* (*Inca.IStore* *x*) = *Std.IStore* *x* |

*norm-instr* (*Inca.IOp* *op*) = *Std.IOp* *op* |

*norm-instr* (*Inca.IOpInl* *opinl*) = *Std.IOp* ( $\mathfrak{DeInl}$  *opinl*) |

*norm-instr* (*Inca.ICJump* *l<sub>t</sub>* *l<sub>f</sub>*) = *Std.ICJump* *l<sub>t</sub>* *l<sub>f</sub>* |

*norm-instr* (*Inca.ICall* *x*) = *Std.ICall* *x* |

*norm-instr* *Inca.IReturn* = *Std.IReturn*

**abbreviation** *norm-eq* **where**

*norm-eq* *x* *y*  $\equiv$  *norm-instr* *y* = *x*

**definition** *rel-fundefs* **where**

*rel-fundefs* *f* *g* = ( $\forall x$ . *rel-option* (*rel-fundef* (=) *norm-eq*) (*f* *x*) (*g* *x*))

**lemma** *rel-fundefsI*:

**assumes**  $\bigwedge x$ . *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

**shows** *rel-fundefs* *F1* *F2*

*<proof>*

**lemma** *rel-fundefsD*:

**assumes** *rel-fundefs* *F1* *F2*

**shows** *rel-option* (*rel-fundef* (=) *norm-eq*) (*F1* *x*) (*F2* *x*)

*<proof>*

**lemma** *rel-fundefs-next-instr*:

**assumes** *rel-F1-F2*: *rel-fundefs F1 F2*

**shows** *rel-option norm-eq (next-instr F1 f l pc) (next-instr F2 f l pc)*

*<proof>*

**lemma** *rel-fundefs-next-instr1*:

**assumes** *rel-F1-F2*: *rel-fundefs F1 F2* **and** *next-instr1*: *next-instr F1 f l pc = Some instr1*

**shows**  $\exists \text{instr2. next-instr F2 f l pc = Some instr2} \wedge \text{norm-eq instr1 instr2}$

*<proof>*

**lemma** *rel-fundefs-next-instr2*:

**assumes** *rel-F1-F2*: *rel-fundefs F1 F2* **and** *next-instr2*: *next-instr F2 f l pc = Some instr2*

**shows**  $\exists \text{instr1. next-instr F1 f l pc = Some instr1} \wedge \text{norm-eq instr1 instr2}$

*<proof>*

**lemma** *rel-fundefs-empty*: *rel-fundefs ( $\lambda\cdot$ . None) ( $\lambda\cdot$ . None)*

*<proof>*

**lemma** *rel-fundefs-None1*:

**assumes** *rel-fundefs f g* **and** *f x = None*

**shows** *g x = None*

*<proof>*

**lemma** *rel-fundefs-None2*:

**assumes** *rel-fundefs f g* **and** *g x = None*

**shows** *f x = None*

*<proof>*

**lemma** *rel-fundefs-Some1*:

**assumes** *rel-fundefs f g* **and** *f x = Some y*

**shows**  $\exists z. g x = Some z \wedge \text{rel-fundef } (=) \text{ norm-eq } y z$

*<proof>*

**lemma** *rel-fundefs-Some2*:

**assumes** *rel-fundefs f g* **and** *g x = Some y*

**shows**  $\exists z. f x = Some z \wedge \text{rel-fundef } (=) \text{ norm-eq } z y$

*<proof>*

**lemma** *rel-fundefs-rel-option*:

**assumes** *rel-fundefs f g* **and**  $\bigwedge x y. \text{rel-fundef } (=) \text{ norm-eq } x y \implies h x y$

**shows** *rel-option h (f z) (g z)*

*<proof>*

**lemma** *rel-fundefs-rewriteI2*:

**assumes**

*rel-F1-F2*: *rel-fundefs (Fstd-get F1) (Finca-get F2)* **and**

*next-instr1*: *next-instr (Fstd-get F1) f l pc = Some instr1*



*norm-eq instr1 instr2'*  
**shows** *rel-fundefs (Fstd-get F1)*  
*(Finca-get (Sinca.Fenv.map-entry F2 f (λfd. rewrite-fundef-body fd l pc instr2')))*  
*(is rel-fundefs (Fstd-get ?F1') (Finca-get ?F2'))*  
 ⟨proof⟩

## 38 Simulation relation

**inductive match (infix ~ 55) where**  
*wf-fundefs (Fstd-get F1) ⇒*  
*rel-fundefs (Fstd-get F1) (Finca-get F2) ⇒*  
*(State F1 H st) ~ (State F2 H st)*

## 39 Backward simulation

**lemma backward-lockstep-simulation:**  
**assumes** *Sinca.step s2 s2'* **and** *match s1 s2*  
**shows**  $\exists s1'. Sstd.step\ s1\ s1' \wedge match\ s1'\ s2'$   
 ⟨proof⟩

**lemma match-final-backward:**  
**assumes** *match s1 s2* **and** *final-s2: final Finca-get Inca.IReturn s2*  
**shows** *final Fstd-get Std.IReturn s1*  
 ⟨proof⟩

**sublocale std-inca-simulation:**  
*backward-simulation where*  
*step1 = Sstd.step and final1 = final Fstd-get Std.IReturn and*  
*step2 = Sinca.step and final2 = final Finca-get Inca.IReturn and*  
*order = λ-. False and match = λ-. match*  
 ⟨proof⟩

## 40 Forward simulation

**lemma forward-lockstep-simulation:**  
**assumes** *Sstd.step s1 s1'* **and** *match s1 s2*  
**shows**  $\exists s2'. Sinca.step\ s2\ s2' \wedge s1' \sim s2'$   
 ⟨proof⟩

**lemma match-final-forward:**  
**assumes** *match s1 s2* **and** *final-s1: final Fstd-get Std.IReturn s1*  
**shows** *final Finca-get Inca.IReturn s2*  
 ⟨proof⟩

**sublocale std-inca-forward-simulation:**  
*forward-simulation where*  
*step1 = Sstd.step and final1 = final Fstd-get Std.IReturn and*  
*step2 = Sinca.step and final2 = final Finca-get Inca.IReturn and*

*order* =  $\lambda$ - -. **False** **and** *match* =  $\lambda$ -. *match*  
 ⟨*proof*⟩

## 41 Bisimulation

**sublocale** *std-inca-bisimulation*:

*bisimulation* **where**

*step1* = *Std.step* **and** *final1* = *final Fstd-get Std.IReturn* **and**  
*step2* = *Sinca.step* **and** *final2* = *final Finca-get Inca.IReturn* **and**  
*order* =  $\lambda$ - -. **False** **and** *match* =  $\lambda$ -. *match*

⟨*proof*⟩

**end**

**end**

**theory** *Std-to-Inca-compiler*

**imports** *Std-to-Inca-simulation*

*VeriComp.Compiler*

**begin**

### 41.1 Compilation of function definitions

**fun** *compile-instr* **where**

*compile-instr* (*Std.IPush* *d*) = *Inca.IPush* *d* |  
*compile-instr* *Std.IPop* = *Inca.IPop* |  
*compile-instr* (*Std.IGet* *n*) = *Inca.IGet* *n* |  
*compile-instr* (*Std.ISet* *n*) = *Inca.ISet* *n* |  
*compile-instr* (*Std.ILoad* *x*) = *Inca.ILoad* *x* |  
*compile-instr* (*Std.IStore* *x*) = *Inca.IStore* *x* |  
*compile-instr* (*Std.IOp* *op*) = *Inca.IOp* *op* |  
*compile-instr* (*Std.ICJump* *l<sub>t</sub>* *l<sub>f</sub>*) = *Inca.ICJump* *l<sub>t</sub>* *l<sub>f</sub>* |  
*compile-instr* (*Std.ICall* *f*) = *Inca.ICall* *f* |  
*compile-instr* *Std.IReturn* = *Inca.IReturn*

**fun** *compile-fundef* **where**

*compile-fundef* (*Fundef* [] - -) = *None* |  
*compile-fundef* (*Fundef* *bblocks* *ar* *ret* *locals*) =  
*Some* (*Fundef* (*map-ran* ( $\lambda$ -. *map compile-instr*) *bblocks*) *ar* *ret* *locals*)

**context** *std-inca-simulation* **begin**

**lemma** *lambda-eq-eq[simp]*: ( $\lambda$ *x y. y = x*) = (=)  
 ⟨*proof*⟩

**lemma** *norm-compile-instr*:

*norm-instr* (*compile-instr instr*) = *instr*  
 ⟨*proof*⟩

**lemma** *rel-compile-fundef*:

**assumes** *compile-fundef*  $fd1 = \text{Some } fd2$   
**shows** *rel-fundef*  $(=)$  *norm-eq*  $fd1\ fd2$   
 $\langle \text{proof} \rangle$

**lemma** *rel-fundef-imp-fundef-ok-iff*:  
**assumes** *rel-fundef*  $(=)$  *norm-eq*  $fd1\ fd2$   
**shows** *wf-fundef*  $fd1 \longleftrightarrow wf-fundef\ fd2$   
 $\langle \text{proof} \rangle$

**lemma** *rel-fundefs-imp-wf-fundefs-iff*:  
**assumes** *rel-f-g*: *rel-fundefs*  $f\ g$   
**shows** *wf-fundefs*  $f \longleftrightarrow wf-fundefs\ g$   
 $\langle \text{proof} \rangle$

**lemma** *compile-fundef-wf*:  
**assumes** *compile-fundef*  $fd = \text{Some } fd'$   
**shows** *wf-fundef*  $fd'$   
 $\langle \text{proof} \rangle$

## 41.2 Compilation of function environments

**definition** *compile-env* **where**  
*compile-env*  $e \equiv$   
 $\text{Some } \text{Sinca.Fenv.from-list} \diamond \text{ap-map-list } (\text{ap-map-prod } \text{Some } \text{compile-fundef})$   
 $(\text{Fstd-to-list } e)$

**lemma** *compile-env-imp-rel-option*:  
**assumes** *compile-env*  $F1 = \text{Some } F2$   
**shows** *rel-option*  $(\lambda fd1\ fd2. \text{compile-fundef } fd1 = \text{Some } fd2)$   $(\text{Fstd-get } F1\ f)$   
 $(\text{Finca-get } F2\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *Finca-get-compile*:  
**assumes** *compile-F1*: *compile-env*  $F1 = \text{Some } F2$   
**shows** *Finca-get*  $F2\ f = \text{Fstd-get } F1\ f \ggg \text{compile-fundef}$   
 $\langle \text{proof} \rangle$

**lemma** *compile-env-rel-fundefs*:  
**assumes** *compile-env*  $F1 = \text{Some } F2$   
**shows** *rel-fundefs*  $(\text{Fstd-get } F1)$   $(\text{Finca-get } F2)$   
 $\langle \text{proof} \rangle$

**lemma** *compile-env-imp-wf-fundefs2*:  
**assumes** *compile-env*  $F1 = \text{Some } F2$   
**shows** *wf-fundefs*  $(\text{Finca-get } F2)$   
 $\langle \text{proof} \rangle$

## 41.3 Compilation of programs

**fun** *compile* **where**

$compile (Prog F1 H f) = Some Prog \diamond compile-env F1 \diamond Some H \diamond Some f$

**theorem** *compile-load*:

**assumes**

$compile-p1: compile p1 = Some p2$  **and**

$load: Sinca.load p2 s2$

**shows**  $\exists s1. Sstd.load p1 s1 \wedge match s1 s2$

*<proof>*

**sublocale** *std-to-inca-compiler*:

*compiler* **where**

$step1 = Sstd.step$  **and**  $final1 = final Fstd-get Std.IReturn$  **and**  $load1 = Sstd.load$

**and**

$step2 = Sinca.step$  **and**  $final2 = final Finca-get Inca.IReturn$  **and**  $load2 = Sinca.load$  **and**

$order = \lambda-. False$  **and**  $match = \lambda-. match$  **and**  $compile = compile$

*<proof>*

## 41.4 Completeness of compilation

**lemma** *compile-fundef-complete*:

**assumes**  $wf-fundef fd1$

**shows**  $\exists fd2. compile-fundef fd1 = Some fd2$

*<proof>*

**lemma** *compile-env-complete*:

**assumes**  $wf-F1: wf-fundefs (Fstd-get F1)$

**shows**  $\exists F2. compile-env F1 = Some F2$

*<proof>*

**theorem** *compile-complete*:

**assumes**  $wf-p1: wf-prog Fstd-get p1$

**shows**  $\exists p2. compile p1 = Some p2$

*<proof>*

**theorem** *compile-load-forward*:

**assumes**

$wf-p1: wf-prog Fstd-get p1$  **and**  $load-p1: Sstd.load p1 s1$

**shows**  $\exists p2 s2. compile p1 = Some p2 \wedge Sinca.load p2 s2 \wedge match s1 s2$

*<proof>*

**end**

**end**

## References

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