A Reuse-Based Multi-Stage Compiler Verification for Language IMP

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Abstract

After introducing the didactic imperative programming language IMP, Nipkow and Klein's book on formal programming language semantics (version of March 2021) specifies compilation of IMP commands into a lower-level language based on a stack machine, and expounds a formal verification of that compiler. Exercise 8.4 asks the reader to adjust such proof for a new compilation target, consisting of a machine language that (i) accesses memory locations through their addresses instead of variable names, and (ii) maintains a stack in memory via a stack pointer rather than relying upon a built-in stack. A natural strategy to maximize reuse of the original proof is keeping the original language as an assembly one and splitting compilation into multiple steps, namely a source-to-assembly step matching the original compilation process followed by an assembly-to-machine step. In this way, proving assembly code-machine code equivalence is the only extant task.

A previous paper by the present author introduces a reasoning toolbox that allows for a compiler correctness proof shorter than the book's one, as such promising to constitute a further enhanced reference for the formal verification of real-world compilers. This paper in turn shows that such toolbox can be reused to accomplish the aforesaid task as well, which demonstrates that the proposed approach also promotes proof reuse in multi-stage compiler verifications.

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1 Compiler formalization

theory *Compiler*
imports
HOL−IMP.Big-Step
HOL−IMP.Star
begin

This paper is dedicated to Gaia and Greta, my sweet nieces, who fill my life with love and happiness.

After introducing the didactic imperative programming language IMP, [5] specifies compilation of IMP commands into a lower-level language based on a stack machine, and expounds a formal verification of that compiler. Exercise 8.4 asks the reader to adjust such proof for a new compilation target, consisting of a machine language that (i) accesses memory locations through their addresses instead of variable names, and (ii) maintains a stack in memory via a stack pointer rather than relying upon a built-in stack. A natural strategy to maximize reuse of the original proof is keeping the original language as an assembly one and splitting compilation into multiple steps, namely a source-to-assembly step matching the original compilation process followed by an assembly-to-machine step. In this way, proving assembly code-machine code equivalence is the only extant task.

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The formal proof development presented in this paper consists of two theory files, as follows.

- The former theory, briefly referred to as "the *Compiler* theory", is derived from the *HOL−IMP.Compiler* one included in the Isabelle2021-1 distribution [4].
  However, the signature of function *bcomp* is modified in the same way as in [7].

- The latter theory, briefly referred to as "the *Compiler2* theory", is derived from the *Compiler2* one developed in [7].
  However, unlike [7], the original language IMP is considered here, without extending it with non-deterministic choice. Hence, the additional case pertaining to non-deterministic choice in the proof of lemma *ccomp-correct* is not present any longer.

Both theory files are split into the same subsections as the respective original theories, and only the most salient differences with respect to the original theories are commented in both of them.

For further information about the formal definitions and proofs contained in this paper, see Isabelle documentation, particularly [6], [3], [1], and [2].

1.1 List setup

declare [[*coercion-enabled*]]
declare [[*coercion int* :: *nat* ⇒ *int*]]
declare [[*syntax-ambiguity-warning* = *false*]]

abbreviation (**output**)
isize xs ≡ *int* (*length xs*)

notation *isize* (‹*size*›)

primrec (*nonexhaustive*) *inth* :: *'a list* ⇒ *int* ⇒ *'a* (**infixl** ‹!!› *100*) **where**
(*x* # *xs*) !! *i* = (*if i* = *0 then x else xs* !! (*i* − *1*))

lemma *inth-append* [*simp*]:
0 ≤ *i* ⟹
(*xs* @ *ys*) !! *i* = (*if i* < *size xs then xs* !! *i else ys* !! (*i* − *size xs*))
by (*induction xs arbitrary*: *i*, *auto simp*: *algebra-simps*)

1.2 Instructions and stack machine

Here below, both the syntax and the semantics of the instruction set are defined. As a deterministic language is considered here, as opposed to the non-deterministic one addressed in [7], instruction semantics can be defined via a simple non-recursive function *iexec* (identical to the one used in [5], since the instruction set is the same). However, an inductive predicate *iexec-pred*, resembling the *iexec* one used in [7] and denoted by the same infix symbol ↦, is also defined. Though notation (*ins*, *cf*) ↦ *cf'* is just an alias for *cf'* = *iexec ins cf*, it is used in place of the latter in the definition of predicate *exec1*, which formalizes single-step program execution. The reason is that the compiler correctness proof developed in the *Compiler2* theory of [7] depends on the introduction and elimination rules deriving from predicate *iexec*'s inductive definition. Thus, the use of predicate *iexec-pred* is a

trick enabling Isabelle's classical reasoner to keep using such rules, which restricts the changes to be made to the proofs in the *Compiler2* theory to those required by the change of the compilation target.

The instructions defined by type *instr*, which refer to memory locations via variable names, will keep being used as an assembly language. In order to have a machine language rather referring to memory locations via their addresses, modeled as integers, an additional type *m-instr* of machine instructions, in one-to-one correspondence with assembly instructions, is introduced. The underlying idea is to reuse the proofs that source code and compiled (assembly) code simulate each other built in [4] and [7], so that the only extant task is proving that assembly code and machine code in turn simulate each other. This is nothing but an application of the *divide et impera* strategy of considering multiple compilation stages mentioned in [5], section 8.5.

In other words, the solution developed in what follows does not require any change to the original compiler completeness and correctness proofs. This result is achieved by splitting compilation into multiple steps, namely a source-to-assembly step matching the original compilation process, to which the aforesaid proofs still apply, followed by an assembly-to-machine step. In this way, to establish source code-machine code equivalence, the assembly code-machine code one is all that is left to be proven. In addition to proof reuse, this approach provides the following further advantages.

- There is no need to reason about the composition and decomposition of machine code sequences, which would also involve the composition and decomposition of the respective mappings between used variables and their addresses (as opposed to what happens with assembly code sequences).
- There is no need to change the original compilation functions, modeling the source-to-assembly compilation step in the current context. In fact, the outputs of these functions are assembly programs, namely lists of assembly instructions, which are in one-to-one correspondence with machine ones. Thus, the assembly-to-machine compilation step can easily be modeled as a mapping of such a list into a machine instruction one, where each referenced variable can be assigned an unambiguous address based on the position of the first/last instruction referencing it within the assembly program.

datatype *instr* =
LOADI int | *LOAD vname* | *ADD* | *STORE vname* |
JMP int | *JMPLESS int* | *JMPGE int*

type-synonym *stack* = *val list*
type-synonym *config* = *int* × *state* × *stack*

abbreviation *hd2 xs* ≡ *hd* (*tl xs*)
abbreviation *tl2 xs* ≡ *tl* (*tl xs*)

fun *iexec* :: *instr* ⇒ *config* ⇒ *config* **where**
iexec ins (*i*, *s*, *stk*) = (*case ins of*
  LOADI n ⇒ (*i* + *1*, *s*, *n* # *stk*) |
  LOAD x ⇒ (*i* + *1*, *s*, *s x* # *stk*) |
  ADD ⇒ (*i* + *1*, *s*, (*hd2 stk* + *hd stk*) # *tl2 stk*) |
  STORE x ⇒ (*i* + *1*, *s*(*x* := *hd stk*), *tl stk*) |
  JMP n ⇒ (*i* + *1* + *n*, *s*, *stk*) |
  JMPLESS n ⇒ (*if hd2 stk* < *hd stk then i* + *1* + *n else i* + *1*, *s*, *tl2 stk*) |
  JMPGE n ⇒ (*if hd2 stk* ≥ *hd stk then i* + *1* + *n else i* + *1*, *s*, *tl2 stk*))

inductive *iexec-pred* :: *instr* × *config* ⇒ *config* ⇒ *bool*
  (**infix** ‹↦› *55*) **where**
(*ins*, *cf*) ↦ *iexec ins cf*

definition *exec1* :: *instr list* ⇒ *config* ⇒ *config* ⇒ *bool*
  (‹(-/ ⊢/ -/ →/ -)› *55*) **where**
P ⊢ *cf* → *cf'* ≡ (*P* !! *fst cf*, *cf*) ↦ *cf'* ∧ *0* ≤ *fst cf* ∧ *fst cf* < *size P*

abbreviation *exec* :: *instr list* ⇒ *config* ⇒ *config* ⇒ *bool*
  (‹(-/ ⊢/ -/ →*/ -)› *55*) **where**
exec P ≡ *star* (*exec1 P*)

declare *iexec-pred.intros* [*intro*]

inductive-cases *LoadIE* [*elim*!]: (*LOADI i*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *LoadE* [*elim*!]: (*LOAD x*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *AddE* [*elim*!]: (*ADD*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *StoreE* [*elim*!]: (*STORE x*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *JmpE* [*elim*!]: (*JMP i*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *JmpLessE* [*elim*!]: (*JMPLESS i*, *pc*, *s*, *stk*) ↦ *cf*
inductive-cases *JmpGeE* [*elim*!]: (*JMPGE i*, *pc*, *s*, *stk*) ↦ *cf*

lemmas *exec-induct* = *star.induct* [*of exec1 P*, *split-format*(*complete*)]

lemma *iexec-simp*:
 (*ins*, *cf*) ↦ *cf'* = (*cf'* = *iexec ins cf*)
by (*auto elim*: *iexec-pred.cases*)

lemma *exec1I* [*intro*, *code-pred-intro*]:
 ⟦*c'* = *iexec* (*P* !! *i*) (*i*, *s*, *stk*); *0* ≤ *i*; *i* < *size P*⟧ ⟹
    P ⊢ (*i*, *s*, *stk*) → *c'*
by (*auto simp*: *exec1-def iexec-simp*)

type-synonym *addr* = *int*

datatype *m-instr* =
M-LOADI int | *M-LOAD addr* | *M-ADD* | *M-STORE addr* |
M-JMP int | *M-JMPLESS int* | *M-JMPGE int*

Here below are the recursive definitions of functions *vars*, which takes an assembly program as input and returns a list without repetitions of the referenced variables, and *addr-of*, which in turn takes a list of variables *xs* and a variable *x* as inputs and returns the address *a* of *x*. If *x* is included in *xs*, *a* is set to the one-based right offset of the leftmost occurrence of *x* in *xs*, otherwise *a* is set to zero.

Therefore, for any assembly program *P*, function *addr-of* (*vars P*) maps each variable occurring within *P* to a distinct positive address, and any other, unused variable to a default, invalid address (zero).

primrec *vars* :: *instr list* ⇒ *vname list* **where**
vars [] = [] |
vars (*ins* # *P*) = (*case ins of*
LOAD x ⇒ *if x* ∈ *set* (*vars P*) *then* [] *else* [*x*] |
STORE x ⇒ *if x* ∈ *set* (*vars P*) *then* [] *else* [*x*] |
- ⇒ []) @ *vars P*

primrec *addr-of* :: *vname list* ⇒ *vname* ⇒ *addr* **where**
addr-of [] - = *0* |
addr-of (*x* # *xs*) *y* = (*if x* = *y then size xs* + *1 else addr-of xs y*)

Functions *vars* and *addr-of* can be used to translate an assembly program into a machine program, which is done by the subsequent functions *to-m-instr* and *to-m-prog*. The former takes a list of variables *xs* and an assembly instruction *ins* as inputs and returns the corresponding machine instruction, which refers to address *addr-of xs x* whenever *ins* references variable *x*. Then, the latter function turns each instruction contained in the input assembly program *P* into the corresponding machine one, using function *to-m-instr* (*vars P*) for such mapping. Hence, each variable *x* occurring within *P* is turned into the address *addr-of* (*vars P*) *x*, as expected.

In addition, the types *m-state* and *m-config* of machine states and configurations are also defined here below. The former one encompasses any function mapping addresses to values. The latter one reflects the fact that the third element of a machine configuration has to be a pointer to a stack maintained by the machine state, rather than a list-encoded stack as keeps happening with assembly configurations. This can be achieved using a natural num-

ber *sp* as third element, standing for the current size of the machine stack. Hence, if it is nonempty, the address of its topmost element matches $-sp$, given that the machine stack will be modeled by making it start from address -1 and grow downward.

```
fun to-m-instr :: vname list ⇒ instr ⇒ m-instr where
to-m-instr xs ins = (case ins of
  LOADI n ⇒ M-LOADI n |
  LOAD x ⇒ M-LOAD (addr-of xs x) |
  ADD ⇒ M-ADD |
  STORE x ⇒ M-STORE (addr-of xs x) |
  JMP n ⇒ M-JMP n |
  JMPLESS n ⇒ M-JMPLESS n |
  JMPGE n ⇒ M-JMPGE n)

fun to-m-prog :: instr list ⇒ m-instr list where
to-m-prog P = map (to-m-instr (vars P)) P

type-synonym m-state = addr ⇒ val
type-synonym m-config = int × m-state × nat
```

Next are the definitions of functions *to-state* and *to-m-state*, which turn a machine program state *ms* into an equivalent assembly program state *s* and vice versa, based on an input list of variables *xs*. Here, *equivalent* means that for each variable x in *xs*, s assigns x the same value that *ms* assigns to x's address *addr-of xs x*.

Function *to-m-state xs s* maps any positive address a up to *size xs* to value $s\ x$, where x is the variable occurring within *xs* at the zero-based left offset *size xs* $-$ a, and any other, unused address to a default, dummy value (zero). The resulting machine program state is equivalent to s since the zero-based left offset *size xs* $-$ a points to the same variable x within *xs* as the one-based right offset a. As long as *xs* does not contain any repetition, as happens with the outputs of function *vars*, x is indeed the variable such that *addr-of xs x* $= a$, by virtue of the definition of function *addr-of*. To perform the reverse conversion, function *to-state xs ms* merely needs to map any variable x to *ms* (*addr-of xs x*).

Hence, for any assembly program P, function *to-state* (*vars P*) converts each state of the resulting machine program *to-m-prog P* into an equivalent state of P, while *to-m-state* (*vars P*) performs conversions the other way around.

```
fun to-state :: vname list ⇒ m-state ⇒ state where
to-state xs ms x = ms (addr-of xs x)

fun to-m-state :: vname list ⇒ state ⇒ m-state where
```

to-m-state xs s a = (*if 0* < *a* ∧ *a* ≤ *size xs then s* (*xs* !! (*size xs* − *a*)) *else 0*)

Likewise, functions *add-stack* and *add-m-stack* are defined to convert machine stacks into assembly ones and vice versa. Function *add-stack* takes a stack pointer and a machine state *ms* as inputs, and returns a list-encoded stack mirroring the machine one maintained by *ms*. Conversely, function *add-m-stack* takes a stack pointer, a list-encoded stack *stk*, and a machine state *ms* as inputs, and returns the machine state obtained by extending *ms* with a machine stack mirroring *stk*.

primrec *add-stack* :: *nat* ⇒ *m-state* ⇒ *stack* **where**
add-stack 0 - = [] |
add-stack (*Suc n*) *ms* = *ms* (−*Suc n*) # *add-stack n ms*

primrec *add-m-stack* :: *nat* ⇒ *stack* ⇒ *m-state* ⇒ *m-state* **where**
add-m-stack 0 - ms = *ms* |
add-m-stack (*Suc n*) *stk ms* = (*add-m-stack n* (*tl stk*) *ms*)(−*Suc n* := *hd stk*)

Here below, the semantics of machine instructions and the execution of machine programs are defined. Such definitions resemble their assembly counterparts, but no inductive predicate like *iexec-pred* is needed here. In fact, *iexec-pred* is employed to enable Isabelle's classical reasoner to use the resulting introduction and elimination rules in the compiler correctness proof contained in the *Compiler2* theory, which in the current context shows that source code simulates assembly code. As all that is required here is to establish the further, missing link between assembly code and machine code, the compiler correctness proof can keep referring to assembly code – indeed, it does not demand any change at all. Consequently, no machine counterpart of inductive predicate *iexec-pred* is needed in the definition of machine instruction semantics.

As usual, any two machine configurations *mcf* and *mcf′* may be linked by a single-step execution of a machine program *MP* only if *mcf*'s program counter points to some instruction *mins* within *MP*. However, *mcf′* is not required to match, but just to be *equivalent* to the machine configuration produced by the execution of *mins* in *mcf*; namely, program counters and stack pointers have to be equal, but machine states just have to match up to the machine stack's top. Moreover, *mcf*'s machine stack has to be large enough to store the operands, if any, required for executing *mins*. As shown in what follows, these conditions are necessary for the lemmas establishing single-step assembly code-machine code equivalence to hold.

primrec *m-msp* :: *m-instr* ⇒ *nat* **where**
m-msp (*M-LOADI n*) = *0* |

```
m-msp (M-LOAD a) = 0 |
m-msp M-ADD = 2 |
m-msp (M-STORE a) = 1 |
m-msp (M-JMP n) = 0 |
m-msp (M-JMPLESS n) = 2 |
m-msp (M-JMPGE n) = 2

definition msp :: instr list ⇒ int ⇒ nat where
msp P i ≡ m-msp (to-m-instr [] (P !! i))


fun m-iexec :: m-instr ⇒ m-config ⇒ m-config where
m-iexec mins (i, ms, sp) = (case mins of
  M-LOADI n ⇒ (i + 1, ms(−1 − sp := n), sp + 1) |
  M-LOAD a ⇒ (i + 1, ms(−1 − sp := ms a), sp + 1) |
  M-ADD ⇒ (i + 1, ms(1 − sp := ms (1 − sp) + ms (−sp)), sp − 1) |
  M-STORE a ⇒ (i + 1, ms(a := ms (−sp)), sp − 1) |
  M-JMP n ⇒ (i + 1 + n, ms, sp) |
  M-JMPLESS n ⇒
    (if ms (1 − sp) < ms (−sp) then i + 1 + n else i + 1, ms, sp − 2) |
  M-JMPGE n ⇒
    (if ms (1 − sp) ≥ ms (−sp) then i + 1 + n else i + 1, ms, sp − 2))

fun m-config-equiv :: m-config ⇒ m-config ⇒ bool (infix ‹≅› 55) where
(i, ms, sp) ≅ (i′, ms′, sp′) =
  (i = i′ ∧ sp = sp′ ∧ (∀ a ≥ −sp. ms a = ms′ a))

definition m-exec1 :: m-instr list ⇒ m-config ⇒ m-config ⇒ bool
  (‹(-/ ⊢/ -/ →/ -)› [59, 0, 59] 60) where
MP ⊢ mcf → mcf′ ≡
  mcf′ ≅ m-iexec (MP !! fst mcf) mcf ∧ 0 ≤ fst mcf ∧ fst mcf < size MP ∧
    m-msp (MP !! fst mcf) ≤ snd (snd mcf)

abbreviation m-exec :: m-instr list ⇒ m-config ⇒ m-config ⇒ bool
  (‹(-/ ⊢/ -/ →∗/ -)› [59, 0, 59] 60) where
m-exec MP ≡ star (m-exec1 MP)
```

Here below is the proof of lemma *exec1-m-exec1*, which states that, under proper assumptions, single-step assembly code executions are simulated by machine code ones. The assumptions are that the initial stack pointer is not less than the number of the operands taken by the instruction to be run, and not greater than the size of the initial assembly stack. Unfortunately, the resulting stack pointer is not guaranteed to keep fulfilling the former assumption for the next instruction; indeed, an arbitrary instruction list is generally not so well-behaved. So, in order to prove that assembly programs are simulated by machine ones, it needs to be proven that any machine program produced by compiling a source one is actually well-behaved in this

respect; namely, that a starting machine configuration with stack pointer zero, as well as any intermediate configuration reached thereafter, meet the aforesaid assumptions when executing every such program. This issue will be addressed in the *Compiler2* theory.

At first glance, the need for the assumption causing this issue might appear to result from the lower bound on the initial machine stack size introduced in *m-exec1*'s definition. If that were really the case, the aforesaid issue could be solved by merely dropping this condition (leaving aside its necessity for the twin lemma *m-exec1-exec1* to hold, discussed later on). Nonetheless, a more in-depth investigation shows that the incriminated assumption would be required all the same: were it dropped, a counterexample for lemma *exec1-m-exec1* would arise for P !! $pc = ADD$, $sp = 1$ (addition rather pops *two* operands from the machine stack), and *hd stk* $\neq 0$. In fact, the initial configuration in *exec1-m-exec1*'s conclusion would map addresses 0 and -1 to values 0 and *hd stk*. Hence, the configuration correspondingly output by function *m-iexec M-ADD* would map address 0 to *hd stk*, whereas the final configuration in *exec1-m-exec1*'s conclusion would map it to 0. Being $sp' = 0$, this state of affairs would not satisfy *m-exec1*'s definition, which would rather require the machine states of those configurations to match at every address from 0 upward.

Lemma *exec1-m-exec1* would fail to hold if $\cong$ were replaced with $=$ within *m-exec1*'s definition. In fact, function *to-m-state* invariably returns machine states mapping any nonpositive address to zero, and function *add-m-stack* leaves unchanged any value below the machine stack's top. Thus, upon any machine instruction *mins* that pops a value $i \neq 0$ from the stack's top address a, the configuration obtained by applying function *m-iexec mins* to the initial configuration in *exec1-m-exec1*'s conclusion maps a to i, whereas the final configuration maps a to 0. As a result, the machine states of those configurations match only up to the machine stack's top, exactly as required using $\cong$ in *m-exec1*'s definition.

lemma *inth-map* [*simp*]:
$[\![0 \leq i;\ i < size\ xs]\!] \Longrightarrow (map\ f\ xs)\ !!\ i = f\ (xs\ !!\ i)$
by (*induction xs arbitrary*: *i*, *simp-all*)

lemma *inth-set* [*simp*]:
$[\![0 \leq i;\ i < size\ xs]\!] \Longrightarrow xs\ !!\ i \in set\ xs$
by (*induction xs arbitrary*: *i*, *simp-all*)

lemma *vars-dist*:
distinct (*vars P*)
by (*induction P*, *simp-all split*: *instr.split*)

lemma *vars-load*:
$[\![0 \leq i;\ i < size\ P;\ P\ !!\ i = LOAD\ x]\!] \Longrightarrow x \in set\ (vars\ P)$

by (*induction P arbitrary*: *i*, *simp*, *fastforce split*: *if-split-asm*)

lemma *vars-store*:
⟦*0* ≤ *i*; *i* < *size P*; *P* !! *i* = *STORE x*⟧ ⟹ *x* ∈ *set* (*vars P*)
by (*induction P arbitrary*: *i*, *simp*, *fastforce split*: *if-split-asm*)

lemma *addr-of-max*:
addr-of xs x ≤ *size xs*
by (*induction xs*, *simp-all*)

lemma *addr-of-neq*:
1 + *size xs* ≠ *addr-of xs x*
by (*insert addr-of-max* [*of xs x*], *simp*)

lemma *addr-of-correct*:
x ∈ *set xs* ⟹ *xs* !! (*size xs* − *addr-of xs x*) = *x*
by (*induction xs*, *simp*, *clarsimp*, *erule contrapos-pp*, *rule addr-of-neq*)

lemma *addr-of-nneg*:
0 ≤ *addr-of xs x*
by (*induction xs*, *simp-all*)

lemma *addr-of-set*:
x ∈ *set xs* ⟹ *0* < *addr-of xs x*
by (*induction xs*, *auto*)

lemma *addr-of-unique*:
⟦*distinct xs*; *0* < *a*; *a* ≤ *size xs*⟧ ⟹ *addr-of xs* (*xs* !! (*size xs* − *a*)) = *a*
by (*induction xs*, *auto*)

lemma *add-m-stack-nneg*:
0 ≤ *a* ⟹ *add-m-stack n stk ms a* = *ms a*
by (*induction n arbitrary*: *stk*, *simp-all*)

lemma *add-m-stack-hd*:
0 < *n* ⟹ *add-m-stack n stk ms* (−*n*) = *hd stk*
by (*cases n*, *simp-all*)

lemma *add-m-stack-hd2*:
1 < *n* ⟹ *add-m-stack n stk ms* (*1* − *int n*) = *hd2 stk*
by (*cases n*, *simp-all add*: *add-m-stack-hd*)

lemma *add-m-stack-nth*:
⟦−*n* ≤ *a*; *n* ≤ *length stk*⟧ ⟹
add-m-stack n stk ms a = (*if 0* ≤ *a then ms a else stk* ! (*nat* (*n* + *a*)))
by (*induction n arbitrary*: *stk*, *auto intro*: *hd-conv-nth simp*: *add-m-stack-nneg nth-tl Suc-nat-eq-nat-zadd1 ac-simps*)

lemma *exec1-m-exec1* [*simplified Let-def*]:

$[\![P \vdash (pc,\ s,\ stk) \rightarrow (pc',\ s',\ stk');\ msp\ P\ pc \leq sp;\ sp \leq length\ stk]\!] \Longrightarrow$
$\quad let\ sp' = sp + length\ stk' - length\ stk\ in\ to\text{-}m\text{-}prog\ P \vdash$
$\quad\quad (pc,\ add\text{-}m\text{-}stack\ sp\ stk\ (to\text{-}m\text{-}state\ (vars\ P)\ s),\ sp) \rightarrow$
$\quad\quad (pc',\ add\text{-}m\text{-}stack\ sp'\ stk'\ (to\text{-}m\text{-}state\ (vars\ P)\ s'),\ sp')$
proof (*auto dest*: *vars-load vars-store addr-of-set intro*: *addr-of-max simp*: *msp-def exec1-def m-exec1-def vars-load addr-of-correct addr-of-nneg add-m-stack-nneg add-m-stack-hd add-m-stack-hd2 split*: *instr.split*)
qed (*auto dest*: *vars-store simp*: *add-m-stack-nth nth-tl Suc-nat-eq-nat-zadd1 of-nat-diff vars-dist addr-of-correct addr-of-unique*)

Here below is the proof of lemma *m-exec1-exec1*, which reverses the previous one and states that single-step machine code executions are simulated by assembly code ones. As opposed to lemma *exec1-m-exec1*, the present one does not require any assumption apart from having two arbitrary machine configurations linked by a single-step program execution. Hence, this time there is no obstacle to proving lemma *m-exec-exec*, which generalizes *m-exec1-exec1* to multiple-step program executions, as a direct consequence of *m-exec1-exec1* via induction over the reflexive transitive closure of binary predicate *m-exec1* (*to-m-prog P*), where P is the given, arbitrary assembly program.

If the condition that the initial machine stack be large enough to store the operands of the current instruction were removed from *m-exec1*'s definition, lemma *m-exec1-exec1* would not hold. A counterexample would be the case where P !! $pc = ADD$, $sp = 1$, and $stk = []$. Being $sp' = 0$, the final assembly stack in *m-exec1-exec1*'s conclusion would be empty, whereas according to *exec1*'s definition, the assembly stack resulting from the execution of an addition cannot be empty.

lemma *addr-of-nset*:
$x \notin set\ xs \Longrightarrow addr\text{-}of\ xs\ x = 0$
by (*induction xs*, *auto split*: *if-split-asm*)

lemma *addr-of-inj*:
inj-on (*addr-of xs*) (*set xs*)
by (*subst inj-on-def*, *clarify*, *induction xs*, *simp-all split*: *if-split-asm*, *drule sym*, (*subst* (*asm*) *add.commute*, *erule contrapos-pp*, *rule addr-of-neq*)+)

lemma *addr-of-neq2*:
$[\![x \in set\ xs;\ x' \neq x]\!] \Longrightarrow addr\text{-}of\ xs\ x' \neq addr\text{-}of\ xs\ x$
by (*cases* $x' \in set\ xs$, *erule contrapos-nn*, *rule inj-onD* [*OF addr-of-inj*], *simp-all*, *drule addr-of-set*, *drule addr-of-nset*, *simp*)

lemma *to-state-eq*:
$\forall\, a \geq 0.\ ms'\ a = ms\ a \Longrightarrow to\text{-}state\ xs\ ms' = to\text{-}state\ xs\ ms$
by (*rule ext*, *simp*, *induction xs*, *simp-all*)

lemma *to-state-upd*:
$[\![\forall\, a \geq 0.\ ms'\ a = (\mathit{if}\ a = \mathit{addr\text{-}of}\ xs\ x\ \mathit{then}\ i\ \mathit{else}\ ms\ a);\ x \in \mathit{set}\ xs]\!] \Longrightarrow$
$\mathit{to\text{-}state}\ xs\ ms' = (\mathit{to\text{-}state}\ xs\ ms)(x := i)$
by (*rule ext*, *simp*, *rule conjI*, *rule-tac* [!] *impI*, *simp add*: *addr-of-nneg*,
drule addr-of-neq2, *simp*, *simp add*: *addr-of-nneg*)

lemma *add-stack-eq*:
$[\![\forall\, a \in \{-m..<0\}.\ ms'\ a = ms\ a;\ m = n]\!] \Longrightarrow \mathit{add\text{-}stack}\ m\ ms' = \mathit{add\text{-}stack}\ n\ ms$
by (*induction m arbitrary*: *n*, *auto*)

lemma *add-stack-eq2*:
$[\![\forall\, a \in \{-n..<0\}.\ ms'\ a = (\mathit{if}\ a = -n\ \mathit{then}\ i\ \mathit{else}\ ms\ a);\ 0 < n]\!] \Longrightarrow$
$\mathit{add\text{-}stack}\ n\ ms' = i\ \#\ \mathit{add\text{-}stack}\ (n - 1)\ ms$
by (*cases n*, *simp-all add*: *add-stack-eq*)

lemma *add-stack-hd*:
$0 < n \Longrightarrow \mathit{hd}\ (\mathit{add\text{-}stack}\ n\ ms) = ms\ (-n)$
by (*cases n*, *simp-all*)

lemma *add-stack-hd2*:
$1 < n \Longrightarrow \mathit{hd2}\ (\mathit{add\text{-}stack}\ n\ ms) = ms\ (1 - \mathit{int}\ n)$
by (*induction n*, *simp-all add*: *add-stack-hd*)

lemma *add-stack-nnil*:
$0 < n \Longrightarrow \mathit{add\text{-}stack}\ n\ ms \neq []$
by (*cases n*, *simp-all*)

lemma *add-stack-nnil2*:
$1 < n \Longrightarrow \mathit{tl}\ (\mathit{add\text{-}stack}\ n\ ms) \neq []$
by (*induction n*, *simp-all add*: *add-stack-nnil*)

lemma *add-stack-tl*:
$\mathit{tl}\ (\mathit{add\text{-}stack}\ n\ ms) = \mathit{add\text{-}stack}\ (n - 1)\ ms$
by (*cases n*, *simp-all*)

lemma *m-exec1-exec1* [*simplified*]:
$\mathit{to\text{-}m\text{-}prog}\ P \vdash (pc,\ ms,\ sp) \rightarrow (pc',\ ms',\ sp') \Longrightarrow$
$P \vdash (pc,\ \mathit{to\text{-}state}\ (\mathit{vars}\ P)\ ms,\ \mathit{add\text{-}stack}\ sp\ ms\ @\ stk) \rightarrow$
$(pc',\ \mathit{to\text{-}state}\ (\mathit{vars}\ P)\ ms',\ \mathit{add\text{-}stack}\ sp'\ ms'\ @\ stk)$
proof (*auto elim*!: *vars-store intro*!: *to-state-eq to-state-upd add-stack-eq*
simp: *exec1-def m-exec1-def iexec-simp add-stack-hd add-stack-hd2*
add-stack-nnil add-stack-nnil2 split: *instr.split-asm*)
qed (*subst add-stack-eq2*, *fastforce+*, *simp-all add*: *add-stack-tl*, *rule arg-cong*,
auto dest!: *vars-store addr-of-set intro*: *add-stack-eq*)

lemma *m-exec-exec*:
$\mathit{to\text{-}m\text{-}prog}\ P \vdash (pc,\ ms,\ sp) \rightarrow\!* (pc',\ ms',\ sp') \Longrightarrow$
$P \vdash (pc,\ \mathit{to\text{-}state}\ (\mathit{vars}\ P)\ ms,\ \mathit{add\text{-}stack}\ sp\ ms\ @\ stk) \rightarrow\!*$
$(pc',\ \mathit{to\text{-}state}\ (\mathit{vars}\ P)\ ms',\ \mathit{add\text{-}stack}\ sp'\ ms'\ @\ stk)$

by (*induction* - (*pc*, *ms*, *sp*) (*pc'*, *ms'*, *sp'*) *arbitrary*: *pc ms sp rule*:
star.induct, *simp-all add*: *split-paired-all*, *drule m-exec1-exec1*,
auto intro: *star-trans*)

1.3 Verification infrastructure

lemma *iexec-shift* [*simp*]:
((*n* + *i'*, *s'*, *stk'*) = *iexec ins* (*n* + *i*, *s*, *stk*)) =
((*i'*, *s'*, *stk'*) = *iexec ins* (*i*, *s*, *stk*))
by (*auto split*: *instr.split*)

lemma *exec1-appendR*:
P ⊢ *c* → *c'* ⟹ *P* @ *P'* ⊢ *c* → *c'*
by (*auto simp*: *exec1-def*)

lemma *exec-appendR*:
P ⊢ *c* →* *c'* ⟹ *P* @ *P'* ⊢ *c* →* *c'*
by (*induction rule*: *star.induct*) (*fastforce intro*: *star.step exec1-appendR*)+

lemma *exec1-appendL*:
fixes *i i'* :: *int*
shows *P* ⊢ (*i*, *s*, *stk*) → (*i'*, *s'*, *stk'*) ⟹
P' @ *P* ⊢ (*size P'* + *i*, *s*, *stk*) → (*size P'* + *i'*, *s'*, *stk'*)
by (*auto simp*: *exec1-def iexec-simp simp del*: *iexec.simps*)

lemma *exec-appendL*:
fixes *i i'* :: *int*
shows *P* ⊢ (*i*, *s*, *stk*) →* (*i'*, *s'*, *stk'*) ⟹
P' @ *P* ⊢ (*size P'* + *i*, *s*, *stk*) →* (*size P'* + *i'*, *s'*, *stk'*)
by (*induction rule*: *exec-induct*) (*blast intro*: *star.step exec1-appendL*)+

lemma *exec-Cons-1* [*intro*]:
P ⊢ (*0*, *s*, *stk*) →* (*j*, *t*, *stk'*) ⟹
ins # *P* ⊢ (*1*, *s*, *stk*) →* (*1* + *j*, *t*, *stk'*)
by (*drule exec-appendL* [**where** *P'* = [*ins*]]) *simp*

lemma *exec-appendL-if* [*intro*]:
fixes *i i' j* :: *int*
shows ⟦*size P'* ≤ *i*; *P* ⊢ (*i* − *size P'*, *s*, *stk*) →* (*j*, *s'*, *stk'*);
i' = *size P'* + *j*⟧ ⟹
P' @ *P* ⊢ (*i*, *s*, *stk*) →* (*i'*, *s'*, *stk'*)
by (*drule exec-appendL* [**where** *P'* = *P''*]) *simp*

lemma *exec-append-trans* [*intro*]:
fixes *i' i'' j''* :: *int*
shows ⟦*P* ⊢ (*0*, *s*, *stk*) →* (*i'*, *s'*, *stk'*); *size P* ≤ *i'*;
P' ⊢ (*i'* − *size P*, *s'*, *stk'*) →* (*i''*, *s''*, *stk''*); *j''* = *size P* + *i''*⟧ ⟹
P @ *P'* ⊢ (*0*, *s*, *stk*) →* (*j''*, *s''*, *stk''*)
by (*metis star-trans* [*OF exec-appendR exec-appendL-if*])

declare *Let-def* [*simp*]

1.4 Compilation

As mentioned previously, the definitions of the functions modeling source-to-assembly compilation, reported here below, need not be changed. Particularly, function *ccomp* can be used to define some abbreviations for functions *to-m-prog*, *to-state*, and *to-m-state*, in which their first parameter (an assembly program for *to-m-prog*, a list of variables for the other two functions) is replaced with a command. In fact, the compiler completeness and correctness properties apply to machine programs resulting from the compilation of source programs, that is, of commands. Consequently, such abbreviations, defined here below as well, can be used to express those properties in a more concise form.

primrec *acomp* :: *aexp* ⇒ *instr list* **where**
acomp (*N i*) = [*LOADI i*] |
acomp (*V x*) = [*LOAD x*] |
acomp (*Plus* a_1 a_2) = *acomp* a_1 @ *acomp* a_2 @ [*ADD*]

fun *bcomp* :: *bexp* × *bool* × *int* ⇒ *instr list* **where**
bcomp (*Bc v*, *f*, *i*) = (*if v* = *f then* [*JMP i*] *else* []) |
bcomp (*Not b*, *f*, *i*) = *bcomp* (*b*, ¬ *f*, *i*) |
bcomp (*And* b_1 b_2, *f*, *i*) =
(*let* cb_2 = *bcomp* (b_2, *f*, *i*);
cb_1 = *bcomp* (b_1, *False*, *size* cb_2 + (*if f then 0 else i*))
in cb_1 @ cb_2) |
bcomp (*Less* a_1 a_2, *f*, *i*) =
acomp a_1 @ *acomp* a_2 @ (*if f then* [*JMPLESS i*] *else* [*JMPGE i*])

primrec *ccomp* :: *com* ⇒ *instr list* **where**
ccomp SKIP = [] |
ccomp (*x* ::= *a*) = *acomp a* @ [*STORE x*] |
ccomp (c_1;; c_2) = *ccomp* c_1 @ *ccomp* c_2 |
ccomp (*IF b THEN* c_1 *ELSE* c_2) =
(*let* cc_1 = *ccomp* c_1; cc_2 = *ccomp* c_2; *cb* = *bcomp* (*b*, *False*, *size* cc_1 + *1*)
in cb @ cc_1 @ *JMP* (*size* cc_2) # cc_2) |
ccomp (*WHILE b DO c*) =
(*let cc* = *ccomp c*; *cb* = *bcomp* (*b*, *False*, *size cc* + *1*)
in cb @ *cc* @ [*JMP* (− (*size cb* + *size cc* + *1*))])

abbreviation *m-ccomp* :: *com* ⇒ *m-instr list* **where**
m-ccomp c ≡ *to-m-prog* (*ccomp c*)

abbreviation *m-state* :: *com* ⇒ *state* ⇒ *m-state* **where**

```
m-state c ≡ to-m-state (vars (ccomp c))

abbreviation state :: com ⇒ m-state ⇒ state where
state c ≡ to-state (vars (ccomp c))


lemma acomp-correct [intro]:
 acomp a ⊢ (0, s, stk) →* (size (acomp a), s, aval a s # stk)
by (induction a arbitrary: stk) fastforce+

lemma bcomp-correct [intro]:
  fixes i :: int
  shows 0 ≤ i ⟹ bcomp (b, f, i) ⊢ (0, s, stk) →*
    (size (bcomp (b, f, i)) + (if f = bval b s then i else 0), s, stk)
proof (induction b arbitrary: f i)
  case Not
  from Not(1) [where f = ¬ f] Not(2)
  show ?case
    by fastforce
next
  case (And b1 b2)
  from And(1) [of if f then size (bcomp (b2, f, i)) else
    size (bcomp (b2, f, i)) + i False] And(2) [of i f] And(3)
  show ?case
    by fastforce
qed fastforce+
```

1.5 Preservation of semantics

Like [4], this theory ends with the proof of theorem *ccomp-bigstep*, which states that source programs are simulated by assembly ones, as proving that assembly programs are in turn simulated by machine ones is still a pending task. This missing link will be established in the *Compiler2* theory. Such a state of affairs might appear as nothing but an extravagant choice: if the original development detailed in [5] addresses the "easy" direction of the program bisimulation proof in the *Compiler* theory, why moving its machine code add-on to the *Compiler2* theory? The bad news here are that the move has occurred as proving that assembly programs are simulated by machine ones is no longer "easy". Indeed, this task demands the further reasoning tools used in the *Compiler2* theory to cope with the reverse, "hard" direction of the program bisimulation proof. On the other hand, the good news are that such tools, in the form introduced in [7], are sufficiently general and powerful to also accomplish that task, as will be shown shortly.

```
theorem ccomp-bigstep:
 (c, s) ⇒ t ⟹ ccomp c ⊢ (0, s, stk) →* (size (ccomp c), t, stk)
proof (induction arbitrary: stk rule: big-step-induct)
```

case (*Assign x a s*)
show *?case*
by (*fastforce simp*: *fun-upd-def cong*: *if-cong*)
next
case (*Seq* c_1 s_1 s_2 c_2 s_3)
let *?cc*$_1$ = *ccomp* c_1
let *?cc*$_2$ = *ccomp* c_2
have *?cc*$_1$ @ *?cc*$_2$ ⊢ (*0*, s_1, *stk*) →∗ (*size ?cc*$_1$, s_2, *stk*)
using *Seq.IH*(*1*) **by** *fastforce*
moreover have *?cc*$_1$ @ *?cc*$_2$ ⊢ (*size ?cc*$_1$, s_2, *stk*) →∗
(*size* (*?cc*$_1$ @ *?cc*$_2$), s_3, *stk*)
using *Seq.IH*(*2*) **by** *fastforce*
ultimately show *?case*
by *simp* (*blast intro*: *star-trans*)
next
case (*WhileTrue b* s_1 *c* s_2 s_3)
let *?cc* = *ccomp c*
let *?cb* = *bcomp* (*b*, *False*, *size ?cc* + *1*)
let *?cw* = *ccomp* (*WHILE b DO c*)
have *?cw* ⊢ (*0*, s_1, *stk*) →∗ (*size ?cb*, s_1, *stk*)
using ‹*bval b* s_1› **by** *fastforce*
moreover have *?cw* ⊢ (*size ?cb*, s_1, *stk*) →∗ (*size ?cb* + *size ?cc*, s_2, *stk*)
using *WhileTrue.IH*(*1*) **by** *fastforce*
moreover have *?cw* ⊢ (*size ?cb* + *size ?cc*, s_2, *stk*) →∗ (*0*, s_2, *stk*)
by *fastforce*
moreover have *?cw* ⊢ (*0*, s_2, *stk*) →∗ (*size ?cw*, s_3, *stk*)
by (*rule WhileTrue.IH*(*2*))
ultimately show *?case*
by (*blast intro*: *star-trans*)
qed *fastforce+*

declare *Let-def* [*simp del*]

lemma *impCE2* [*elim*!]:
⟦*P* ⟶ *Q*; ¬ *P* ⟹ *R*; *P* ⟹ *Q* ⟹ *R*⟧ ⟹ *R*
by *blast*

lemma *Suc-lessI2* [*intro*!]:
⟦*m* < *n*; *m* ≠ *n* − *1*⟧ ⟹ *Suc m* < *n*
by *simp*

end

2 Compiler verification

theory *Compiler2*
imports *Compiler*
begin

The reasoning toolbox introduced in the *Compiler2* theory of [7] to cope with the "hard" direction of the bisimulation proof can be outlined as follows.

First, predicate *execl-all* is defined to capture the notion of a *complete small-step* program execution – an *assembly* program execution in the current context –, where such an execution is modeled as a list of program configurations. This predicate has the property that, for any complete execution of program P @ P' @ P'' making the program counter point to the beginning of program P' in some step, there exists a sub-execution being also a complete execution of P'. Under the further assumption that any complete execution of P' fulfills a given predicate Q, this implies the existence of a sub-execution fulfilling Q (as established by lemma *execl-all-sub* in [7]).

The compilation of arithmetic/boolean expressions and commands, modeled by functions *acomp*, *bcomp*, and *ccomp*, produces programs matching pattern P @ P' @ P'', where sub-programs P, P', P'' may either be empty or result from the compilation of nested expressions or commands (possibly with the insertion of further instructions). Moreover, simulation of compiled programs by source ones can be formalized as the statement that any complete small-step execution of a compiled program meets a proper well-behavedness predicate *cpred*. By proving this statement via structural induction over commands, the resulting subgoals assume its validity for any nested command. If as many suitable well-behavedness predicates, *apred* and *bpred*, have been proven to hold for any complete execution of a compiled arithmetic/boolean expression, the above *execl-all*'s property entails that the complete execution targeted in each subgoal is comprised of pieces satisfying *apred*, *bpred*, or *cpred*, which enables to conclude that the whole execution satisfies *cpred*.

Can this machinery come in handy to generalize single-step assembly code simulation by machine code, established by lemma *exec1-m-exec1*, to full program executions? Actually, the gap to be filled in is showing that assembly program execution unfolds in such a way, that a machine stack pointer starting from zero complies with *exec1-m-exec1*'s assumptions in each intermediate step. The key insight, which provides the previous question with an affirmative answer, is that this property can as well be formalized as a well-behavedness predicate *mpred*, so that the pending task takes again the form of proving that such a predicate holds for any complete small-step execution of an assembly program.

Following this insight, the present theory extends the *Compiler2* theory of [7] by reusing its reasoning toolbox to additionally prove that any such program execution is indeed well-behaved in this respect, too.

2.1 Preliminary definitions and lemmas

To define predicate *mpred*, the value taken by the machine stack pointer in every program execution step needs to be expressed as a function of just the initial configuration and the current one, so that a quantification over each intermediate configuration can occur in the definition's right-hand side. On the other hand, within *exec1-m-exec1*'s conclusion, the stack pointer sp' resulting from single-step execution is $sp + length\ stk' - length\ stk$, where *stk* and *sp* are the assembly stack and the stack pointer prior to single-step execution and stk' is the ensuing assembly stack. Thus, the aforesaid function must be such that, by replacing *sp* with its value into the previous expression, sp''s value is obtained. If $sp = length\ stk - length\ stk_0$, where stk_0 is the initial assembly stack, that expression gives $sp' = length\ stk - length\ stk_0 + length\ stk' - length\ stk$, and the right-hand side matches $length\ stk' - length\ stk_0$ by library lemma *add-diff-assoc2* provided that $length\ stk_0 \leq length\ stk$.

Thus, to meet *exec1-m-exec1*'s former assumption for an assembly program *P*, each intermediate configuration (*pc*, *s*, *stk*) in a list *cfs* must be such that (i) $length\ stk - length\ stk_0$ is not less than the number of the operands taken by *P*'s instruction at offset *pc*, and (ii) $length\ stk_0 \leq length\ stk$. Since the subgoals arising from structural induction will assume this to hold for pieces of a given complete execution, it is convenient to make *mpred* take two offsets *m* and *n* as further inputs besides *P* and *cfs*. This enables the quantification to only span the configurations within *cfs* whose offsets are comprised in the interval $\{m..<n\}$ (the upper bound is excluded as intermediate configurations alone are relevant). Unlike *apred*, *bpred*, and *cpred*, *mpred* expresses a well-behavedness condition applying indiscriminately to arithmetic/boolean expressions and commands, which is the reason why a single predicate suffices, as long as it takes a list of assembly instructions as input instead of a specific source code token.

fun *execl* :: *instr list* ⇒ *config list* ⇒ *bool* (**infix** ‹⊨› *55*) **where**
$P \models cf\ \#\ cf'\ \#\ cfs = (P \vdash cf \rightarrow cf' \wedge P \models cf'\ \#\ cfs)\ |$
$P \models \text{-} = True$

definition *execl-all* :: *instr list* ⇒ *config list* ⇒ *bool* (‹(-/ ⊨/ -□)› *55*) **where**
$P \models cfs\square \equiv P \models cfs \wedge cfs \neq [] \wedge$
$fst\ (cfs\ !\ 0) = 0 \wedge fst\ (cfs\ !\ (length\ cfs - 1)) \notin \{0..<size\ P\}$

definition *apred* :: *aexp* ⇒ *config* ⇒ *config* ⇒ *bool* **where**
$apred \equiv \lambda a\ (pc,\ s,\ stk)\ (pc',\ s',\ stk').$
$pc' = pc + size\ (acomp\ a) \wedge s' = s \wedge stk' = aval\ a\ s\ \#\ stk$

definition *bpred* :: *bexp* × *bool* × *int* ⇒ *config* ⇒ *config* ⇒ *bool* **where**
$bpred \equiv \lambda(b,\ f,\ i)\ (pc,\ s,\ stk)\ (pc',\ s',\ stk').$

$pc' = pc + size\ (bcomp\ (b,\ f,\ i)) + (if\ bval\ b\ s = f\ then\ i\ else\ 0) \wedge$
$s' = s \wedge stk' = stk$

definition *cpred* :: *com* $\Rightarrow$ *config* $\Rightarrow$ *config* $\Rightarrow$ *bool* **where**
cpred $\equiv \lambda c\ (pc,\ s,\ stk)\ (pc',\ s',\ stk').$
$pc' = pc + size\ (ccomp\ c) \wedge (c,\ s) \Rightarrow s' \wedge stk' = stk$

definition *mpred* :: *instr list* $\Rightarrow$ *config list* $\Rightarrow$ *nat* $\Rightarrow$ *nat* $\Rightarrow$ *bool* **where**
mpred P cfs m n $\equiv$ *case cfs* ! *0 of* (-, -, stk_0) $\Rightarrow$
$\forall k \in \{m..<n\}.$ *case cfs* ! *k of* (*pc*, -, *stk*) $\Rightarrow$
msp P pc $\leq$ *length stk* $-$ *length* $stk_0 \wedge$ *length* $stk_0 \leq$ *length stk*

abbreviation *off* :: *instr list* $\Rightarrow$ *config* $\Rightarrow$ *config* **where**
off P cf $\equiv$ (*fst cf* $-$ *size P*, *snd cf*)

By slightly extending their conclusions, the lemmas used to prove compiler correctness automatically for constructors *N*, *V*, *Bc*, and *SKIP* can be reused for the new well-behavedness proof as well. Actually, it is sufficient to additionally infer that (i) the given complete execution consists of one or two steps and (ii) in the latter case, the initial program counter is zero, so that the first inequality within *mpred*'s definition matches the trivial one $0 \leq 0$.

lemma *iexec-offset* [*intro*]:
(*ins*, *pc*, *s*, *stk*) $\mapsto$ (*pc'*, *s'*, *stk'*) $\Longrightarrow$
(*ins*, *pc* $-$ *i*, *s*, *stk*) $\mapsto$ (*pc'* $-$ *i*, *s'*, *stk'*)
by (*auto simp*: *iexec-simp split*: *instr.split*)

lemma *execl-next*:
$[\![P \models cfs;\ k < length\ cfs;\ k \neq length\ cfs - 1]\!] \Longrightarrow$
(*P* !! *fst* (*cfs* ! *k*), *cfs* ! *k*) $\mapsto$ *cfs* ! *Suc k* $\wedge$
0 $\leq$ *fst* (*cfs* ! *k*) $\wedge$ *fst* (*cfs* ! *k*) $<$ *size P*
by (*induction cfs arbitrary*: *k rule*: *execl.induct*, *auto*
simp: *nth-Cons exec1-def split*: *nat.split*)

lemma *execl-last*:
$[\![P \models cfs;\ k < length\ cfs;\ fst\ (cfs\ !\ k) \notin \{0..<size\ P\}]\!] \Longrightarrow$
length cfs $-$ *1* $=$ *k*
by (*induction cfs arbitrary*: *k rule*: *execl.induct*, *auto*
simp: *nth-Cons exec1-def split*: *nat.split-asm*)

lemma *execl-take*:
P $\models$ *cfs* $\Longrightarrow$ *P* $\models$ *take n cfs*
by (*induction cfs arbitrary*: *n rule*: *execl.induct*, *simp-all* (*no-asm-simp*)
add: *take-Cons split*: *nat.split*, *subst take-Suc-Cons* [*symmetric*], *fastforce*)

lemma *execl-drop*:
P $\models$ *cfs* $\Longrightarrow$ *P* $\models$ *drop n cfs*

by (*induction cfs arbitrary*: *n rule*: *execl.induct*, *simp-all*
add: *drop-Cons split*: *nat.split*)

lemma *execl-all-N* [*simplified*, *dest*]:
[*LOADI i*] ⊨ *cfs*□ ⟹ *apred* (*N i*) (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*)) ∧
length cfs = *2* ∧ *fst* (*cfs* ! *0*) = *0*
by (*clarsimp simp*: *execl-all-def apred-def*, *cases cfs* ! *0*,
subgoal-tac length cfs − *1* = *1*, *frule-tac* [!] *execl-next*,
((*rule ccontr*)*?*, *fastforce*, (*rule execl-last*)*?*)+)

lemma *execl-all-V* [*simplified*, *dest*]:
[*LOAD x*] ⊨ *cfs*□ ⟹ *apred* (*V x*) (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*)) ∧
length cfs = *2* ∧ *fst* (*cfs* ! *0*) = *0*
by (*clarsimp simp*: *execl-all-def apred-def*, *cases cfs* ! *0*,
subgoal-tac length cfs − *1* = *1*, *frule-tac* [!] *execl-next*,
((*rule ccontr*)*?*, *fastforce*, (*rule execl-last*)*?*)+)

lemma *execl-all-Bc* [*simplified*, *dest*]:
⟦*if v* = *f then* [*JMP i*] *else* [] ⊨ *cfs*□; *0* ≤ *i*⟧ ⟹
bpred (*Bc v*, *f*, *i*) (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*)) ∧
length cfs = (*if v* = *f then 2 else 1*) ∧ *fst* (*cfs* ! *0*) = *0*
by (*clarsimp simp*: *execl-all-def bpred-def split*: *if-split-asm*, *cases cfs* ! *0*,
subgoal-tac length cfs − *1* = *1*, *frule-tac* [*1*−*2*] *execl-next*,
((*rule ccontr*)*?*, *force*, (*rule execl-last*)*?*)+, *rule execl.cases* [*of* ([], *cfs*)],
auto simp: *exec1-def*)

lemma *execl-all-SKIP* [*simplified*, *dest*]:
[] ⊨ *cfs*□ ⟹ *cpred SKIP* (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*)) ∧ *length cfs* = *1*
by (*rule execl.cases* [*of* ([], *cfs*)], *auto simp*: *execl-all-def exec1-def cpred-def*)

In [7], part of the proof of lemma *execl-all-sub* is devoted to establishing the fundamental property of predicate *execl-all* stated above: for any complete execution of program P @ P' @ P'' making the program counter point to the beginning of P' in its k-th step, there exists a sub-execution starting from the k-th step and being a complete execution of P'.

Here below, this property is proven as a lemma in its own respect, named *execl-all*, so that besides *execl-all-sub*, it can be reused to prove a further lemma *execl-all-sub-m*. This new lemma establishes that, if (i) *execl-all-sub*'s assumptions hold, (ii) any complete execution of P' fulfills predicate *mpred*, and (iii) the initial assembly stack is not longer than the one in the k-th step, then there exists a sub-execution starting from the k-th step and fulfilling both predicates Q and *mpred*. Within the new well-behavedness proof, this lemma will play the same role as *execl-all-sub* in the compiler correctness proof; namely, for each structural induction subgoal, it will entail that the respective complete execution is comprised of pieces fulfilling *mpred*. As with *execl-all-sub*, Q can be instantiated to *apred*, *bpred*, or *cpred*; indeed,

knowing that sub-executions satisfy these predicates in addition to *mpred* is necessary to show that the whole execution satisfies *mpred*. For example, to draw the conclusion that the assembly code *acomp a* @ [*STORE x*] for an assignment meets *mpred*, one needs to know that *acomp a*'s sub-execution also meets *apred*, so that the assembly stack contains an element more than the initial stack when instruction *STORE x* is executed.

lemma *execl-sub-aux*:
⟦⋀*m n*. ∀ *k* ∈ {*m*..<*n*}. *Q P′* (((*pc*, *s*, *stk*) # *cfs*) ! *k*) ⟹ *P′* ⊨
map (*off P*) (*case m of* 0 ⇒ (*pc*, *s*, *stk*) # *take n cfs* | *Suc m* ⇒ *F cfs m n*);
∀ *k* ∈ {*m*..<*n*+*m*+*length cfs′*}. *Q P′* ((*cfs′* @ (*pc*, *s*, *stk*) # *cfs*) ! (*k*−*m*))⟧ ⟹
P′ ⊨ (*pc* − *size P*, *s*, *stk*) # *map* (*off P*) (*take n cfs*)
(**is** ⟦⋀- -. ∀ *k* ∈ -. *Q P′* (*?F k*) ⟹ -; ∀ *k* ∈ *?A*. *Q P′* (*?G k*)⟧ ⟹ -)
by (*subgoal-tac* ∀ *k* ∈ {*0*..<*n*}. *Q P′* (*?F k*), *fastforce*, *subgoal-tac*
∀ *k* ∈ {*0*..<*n*}. *k* + *m* + *length cfs′* ∈ *?A* ∧ *?F k* = *?G* (*k* + *m* + *length cfs′*),
fastforce, *simp add*: *nth-append*)

lemma *execl-sub*:
⟦*P* @ *P′* @ *P″* ⊨ *cfs*; ∀ *k* ∈ {*m*..<*n*}.
size P ≤ *fst* (*cfs* ! *k*) ∧ *fst* (*cfs* ! *k*) − *size P* < *size P′*⟧ ⟹
P′ ⊨ *map* (*off P*) (*drop m* (*take* (*Suc n*) *cfs*))
(**is** ⟦-; ∀ *k* ∈ -. *?P P′ cfs k*⟧ ⟹ *P′* ⊨ *map* - (*?F cfs m* (*Suc n*)))
proof (*induction cfs arbitrary*: *m n rule*: *execl.induct* [*of* - *P′*], *auto*
simp: *take-Cons drop-Cons exec1-def split*: *nat.split*, *force*, *force*, *force*,
erule execl-sub-aux [**where** *m* = *0*], *subst append-Cons* [*of* - []], *simp*,
erule execl-sub-aux [**where** *m* = *Suc 0* **and** *cfs′* = []], *simp*)
fix *P′ pc s stk cfs m n*
let *?cf* = (*pc*, *s*, *stk*) :: *config*
assume ⋀*m n*. ∀ *k* ∈ {*m*..<*n*}. *?P P′* (*?cf* # *cfs*) *k* ⟹ *P′* ⊨
map (*off P*) (*case m of* 0 ⇒ *?cf* # *take n cfs* | *Suc m* ⇒ *?F cfs m n*)
moreover assume ∀ *k* ∈ {*Suc* (*Suc m*)..<*Suc n*}. *?P P′ cfs* (*k* − *Suc* (*Suc 0*))
hence ∀ *k* ∈ {*Suc m*..<*n*}. *?P P′* (*?cf* # *cfs*) *k*
by *force*
ultimately show *P′* ⊨ *map* (*off P*) (*?F cfs m n*)
by *fastforce*
qed

lemma *execl-all*:
assumes
A: *P* @ *P′ x* @ *P″* ⊨ *cfs*□ **and**
B: *k* < *length cfs* **and**
C: *fst* (*cfs* ! *k*) = *size P*
shows ∃ *k′* ∈ {*k*..<*length cfs*}. *P′ x* ⊨ *map* (*off P*) (*drop k* (*take* (*Suc k′*) *cfs*))□
(**is** ∃ *k′* ∈ -. - ⊨ *?F k′*□)
proof −
let *?P* = λ*k*. *size P* ≤ *fst* (*cfs* ! *k*) ∧ *fst* (*cfs* ! *k*) − *size P* < *size* (*P′ x*)
let *?A* = {*k′*. *k′* ∈ {*k*..<*length cfs*} ∧ ¬ *?P k′*}
have *D*: *Min ?A* ∈ *?A*

```
    (is ?k' ∈ -)
    using A and B by (rule-tac Min-in, simp-all add: execl-all-def,
     rule-tac exI [of - length cfs − 1], auto)
  moreover from this have ?F ?k' ! (length (?F ?k') − 1) = off P (cfs ! ?k')
    by (auto dest!: min-absorb2 simp: less-eq-Suc-le)
  moreover have ¬ (∃ k'. k' ∈ {k'. k' ∈ {k..<?k'} ∧ ¬ ?P k'})
    by (rule notI, erule exE, frule rev-subsetD [of - - ?A], rule subsetI,
     insert D, simp, subgoal-tac finite ?A, drule Min-le, force+)
  hence P' x ⊨ ?F ?k'
    using A by (subst (asm) execl-all-def, rule-tac execl-sub, blast+)
  ultimately show ?thesis
    using C by (auto simp: execl-all-def)
qed

lemma execl-all-sub [rule-format]:
  assumes
    A: P @ P' x @ P'' ⊨ cfs□ and
    B: k < length cfs and
    C: fst (cfs ! k) = size P and
    D: ∀ cfs. P' x ⊨ cfs□ ⟶ Q x (cfs ! 0) (cfs ! (length cfs − 1))
  shows ∃ k' < length cfs. Q x (off P (cfs ! k)) (off P (cfs ! k'))
proof −
  have ∃ k' ∈ {k..<length cfs}. P' x ⊨ map (off P) (drop k (take (Suc k') cfs))□
    (is ∃ k' ∈ -. - ⊨ ?F k'□)
    using A and B and C by (rule execl-all)
  then obtain k' where k' ∈ {k..<length cfs} and
   Q x (?F k' ! 0) (?F k' ! (length (?F k') − 1))
    using D by blast
  moreover from this have ?F k' ! (length (?F k') − 1) = off P (cfs ! k')
    by (auto dest!: min-absorb2 simp: less-eq-Suc-le)
  ultimately show ?thesis
    by auto
qed

lemma execl-all-sub2:
  assumes
    A: P x @ P' x' @ P'' ⊨ cfs□
      (is ?P ⊨ -□) and
    B: ⋀cfs. P x ⊨ cfs□ ⟹ (λ(pc, s, stk) (pc', s', stk').
      pc' = pc + size (P x) + I s ∧ Q s s' ∧ stk' = F s stk)
        (cfs ! 0) (cfs ! (length cfs − 1))
      (is ⋀cfs. - ⟹ ?Q x (cfs ! 0) (cfs ! (length cfs − 1))) and
    C: ⋀cfs. P' x' ⊨ cfs□ ⟹ (λ(pc, s, stk) (pc', s', stk').
      pc' = pc + size (P' x') + I' s ∧ Q' s s' ∧ stk' = F' s stk)
        (cfs ! 0) (cfs ! (length cfs − 1))
      (is ⋀cfs. - ⟹ ?Q' x' (cfs ! 0) (cfs ! (length cfs − 1))) and
    D: I (fst (snd (cfs ! 0))) = 0
  shows ∃ k < length cfs. ∃ t. (λ(pc, s, stk) (pc', s', stk').
    pc = 0 ∧ pc' = size (P x) + size (P' x') + I' t ∧ Q s t ∧ Q' t s' ∧
```

stk' = *F'* *t* (*F* *s* *stk*)) (*cfs* ! *0*) (*cfs* ! *k*)
by (*subgoal-tac* [] @ *?P* ⊨ *cfs*□, *drule execl-all-sub* [**where** *k* = *0* **and** *Q* = *?Q*], *insert A B*, (*clarsimp simp*: *execl-all-def*)+, *insert A C D*, *drule execl-all-sub* [**where** *Q* = *?Q'*], *simp*+, *clarify*, *rule exI*, *rule conjI*, *simp*, *rule exI*, *auto*)

lemma *execl-all-sub-m* [*rule-format*]:
assumes
A: *P* @ *P'* *x* @ *P''* ⊨ *cfs*□ **and**
B: *k* < *length cfs* **and**
C: *fst* (*cfs* ! *k*) = *size P* **and**
D: *length* (*snd* (*snd* (*cfs* ! *0*))) ≤ *length* (*snd* (*snd* (*cfs* ! *k*))) **and**
E: ∀ *cfs*. *P'* *x* ⊨ *cfs*□ ⟶ *Q* *x* (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*)) **and**
F: ∀ *cfs*. *P'* *x* ⊨ *cfs*□ ⟶ *mpred* (*P'* *x*) *cfs 0* (*length cfs* − *1*)
shows ∃ *k'* < *length cfs*. *Q* *x* (*off P* (*cfs* ! *k*)) (*off P* (*cfs* ! *k'*)) ∧
mpred (*P* @ *P'* *x* @ *P''*) *cfs* *k* *k'*
proof −
have ∃ *k'* ∈ {*k*..<*length cfs*}. *P'* *x* ⊨ *map* (*off P*) (*drop k* (*take* (*Suc k'*) *cfs*))□
(**is** ∃ *k'* ∈ -. - ⊨ *?F k'*□)
using *A* **and** *B* **and** *C* **by** (*rule execl-all*)
then obtain *k'* **where** *G*: *k'* ∈ {*k*..<*length cfs*} **and** *H*: *P'* *x* ⊨ *?F k'*□ **and**
Q *x* (*?F k'* ! *0*) (*?F k'* ! (*length* (*?F k'*) − *1*))
using *E* **by** *blast*
moreover from *this* **have** *?F k'* ! (*length* (*?F k'*) − *1*) = *off P* (*cfs* ! *k'*)
by (*auto dest*!: *min-absorb2 simp*: *less-eq-Suc-le*)
ultimately have *I*: *Q* *x* (*off P* (*cfs* ! *k*)) (*off P* (*cfs* ! *k'*))
by *auto*
have *mpred* (*P'* *x*) (*?F k'*) *0* (*length* (*?F k'*) − *1*)
using *F* **and** *H* **by** *blast*
moreover have *length* (*?F k'*) − *1* = *k'* − *k*
using *G* **by** *auto*
ultimately have *mpred* (*P* @ *P'* *x* @ *P''*) *cfs* *k* *k'*
proof (*auto del*: *conjI simp*: *mpred-def split*: *prod.split prod.split-asm*)
fix *j* *s* *stk* *pc*$_0$ *s*$_0$ *stk*$_0$ *pc'* *s'* *stk'*
assume ∀ *j'* ∈ {*0*..<*k'* − *k*}. ∀ *s'* *stk'*. *snd* (*cfs* ! (*k* + *j'*)) = (*s'*, *stk'*) ⟶
msp (*P'* *x*) (*fst* (*cfs* ! (*k* + *j'*)) − *size P*) ≤ *length stk'* − *length stk* ∧
length stk ≤ *length stk'* **and**
J: *k* ≤ *j* **and**
K: *j* < *k'* **and**
L: *cfs* ! *0* = (*pc*$_0$, *s*$_0$, *stk*$_0$) **and**
M: *snd* (*cfs* ! *k*) = (*s*, *stk*) **and**
N: *cfs* ! *j* = (*pc'*, *s'*, *stk'*)
moreover from *this* **have** *snd* (*cfs* ! (*k* + (*j* − *k*))) = (*s'*, *stk'*)
by *simp*
ultimately have *msp* (*P'* *x*) (*pc'* − *size P*) ≤ *length stk'* − *length stk* ∧
length stk ≤ *length stk'*
by *fastforce*
moreover have *0* ≤ *pc'* − *size P* ∧ *pc'* − *size P* < *size* (*P'* *x*)
using *G* **and** *H* **and** *J* **and** *K* **and** *N* **by** (*insert execl-next*
[*of P'* *x* *?F k'* *j* − *k*], *simp add*: *execl-all-def*)

```
    ultimately show msp (P @ P' x @ P'') pc' ≤ length stk' − length stk₀ ∧
      length stk₀ ≤ length stk'
      using D and L and M by (auto simp: msp-def split: instr.split)
  qed
  thus ?thesis
    using G and I by auto
qed
```

The lemmas here below establish the properties of predicate *mpred* required for the new well-behavedness proof. In more detail:

- Lemma *mpred-merge* states that, if two consecutive sublists of a list of configurations are both well-behaved, then such is the merged sublist. This lemma is the means enabling to infer that a complete execution made of well-behaved pieces is itself well-behaved.
- Lemma *mpred-drop* states that, under proper assumptions, if a sublist of a suffix of a list of configurations is well-behaved, then such is the matching sublist of the whole list. In the subgoal of the well-behavedness proof for loops where an iteration has been run, this lemma can be used to deduce the well-behavedness of the whole execution from that of the sub-execution following that iteration.
- Lemma *mpred-execl-m-exec* states that, if a nonempty small-step assembly code execution is well-behaved, then the machine configurations corresponding to the initial and final assembly ones are linked by a machine code execution. Namely, this lemma proves that the well-behavedness property expressed by predicate *mpred* is sufficient to fulfill the assumptions of lemma *exec1-m-exec1* in each intermediate step. Once any complete small-step assembly program execution is proven to satisfy *mpred*, this lemma can then be used to achieve the final goal of establishing that source programs are simulated by machine ones.

```
lemma mpred-merge:
 ⟦mpred P cfs k m; mpred P cfs m n⟧ ⟹ mpred P cfs k n
by (subgoal-tac {k..<n} ⊆ {k..<m} ∪ {m..<n},
 simp add: mpred-def split: prod.split-asm, rule ballI, auto)

lemma mpred-drop:
  assumes
    A: k ≤ length cfs and
    B: length (snd (snd (cfs ! 0))) ≤ length (snd (snd (cfs ! k)))
  shows mpred P (drop k cfs) m n ⟹ mpred P cfs (k + m) (k + n)
proof (clarsimp simp: mpred-def)
```

fix k' pc pc' pc'' s s' s'' stk stk'' **and** stk' :: $stack$
assume $\forall\, k'' \in \{m..<n\}$. $case\ drop\ k\ cfs\ !\ k''\ of\ (pc'',\ s'',\ stk'') \Rightarrow$
$msp\ P\ pc'' \leq length\ stk'' - length\ stk' \wedge length\ stk' \leq length\ stk''$
(**is** $\forall\, k'' \in$ -. $?Q\ k''$)
hence $k' - k \in \{m..<n\} \longrightarrow ?Q\ (k' - k)$
by $simp$
moreover assume $k + m \leq k'$ **and** $k' < k + n$ **and** $cfs\ !\ 0 = (pc,\ s,\ stk)$ **and**
$drop\ k\ cfs\ !\ 0 = (pc',\ s',\ stk')$ **and** $cfs\ !\ k' = (pc'',\ s'',\ stk'')$
ultimately show
$msp\ P\ pc'' \leq length\ stk'' - length\ stk \wedge length\ stk \leq length\ stk''$
using A **and** B **by** $auto$
qed

lemma $mpred\text{-}execl\text{-}m\text{-}exec$ [$simplified\ Let\text{-}def$]:
$[\![cfs \neq []; P \models cfs; mpred\ P\ cfs\ 0\ (length\ cfs - 1)]\!] \Longrightarrow$
$case\ (cfs\ !\ 0,\ cfs\ !\ (length\ cfs - 1))\ of\ ((pc,\ s,\ stk),\ (pc',\ s',\ stk')) \Rightarrow$
$let\ sp' = length\ stk' - length\ stk\ in\ to\text{-}m\text{-}prog\ P \vdash$
$(pc,\ to\text{-}m\text{-}state\ (vars\ P)\ s,\ 0) \rightarrow\!*$
$(pc',\ add\text{-}m\text{-}stack\ sp'\ stk'\ (to\text{-}m\text{-}state\ (vars\ P)\ s'),\ sp')$
proof ($induction\ cfs\ rule$: $rev\text{-}nonempty\text{-}induct$, $force$, $rule\ rev\text{-}cases$, $erule\ notE$,
$simp\text{-}all\ only$: $nth\text{-}append$, $auto\ simp$: $Let\text{-}def\ simp\ del$: $to\text{-}m\text{-}prog.simps$)
fix $cfs\ pc\ pc'\ pc''\ s\ s'\ s''\ stk''$ **and** stk :: $stack$ **and** stk' :: $stack$
let $?sp = length\ stk' - length\ stk$
assume $P \models cfs\ @\ [(pc',\ s',\ stk')] \Longrightarrow$
$mpred\ P\ (cfs\ @\ [(pc',\ s',\ stk')])\ 0\ (length\ cfs) \Longrightarrow$
$to\text{-}m\text{-}prog\ P \vdash$
$(pc,\ to\text{-}m\text{-}state\ (vars\ P)\ s,\ 0) \rightarrow\!*$
$(pc',\ add\text{-}m\text{-}stack\ ?sp\ stk'\ (to\text{-}m\text{-}state\ (vars\ P)\ s'),\ ?sp)$
(**is** $?P \Longrightarrow ?Q \Longrightarrow ?R$)
moreover assume A: $P \models cfs\ @\ [(pc',\ s',\ stk'),\ (pc'',\ s'',\ stk'')]$
(**is** - $\models ?cfs$)
hence $?P$
by ($drule\text{-}tac\ execl\text{-}take$ [**where** $n = Suc\ (length\ cfs)$], $simp$)
moreover assume B: $mpred\ P\ ?cfs\ 0\ (Suc\ (length\ cfs))$
hence $?Q$
by ($auto\ simp$: $mpred\text{-}def\ nth\text{-}append\ split$: $if\text{-}split\text{-}asm$)
ultimately have $?R$
by $simp$
let $?sp' = ?sp + length\ stk'' - length\ stk'$
let $?sp'' = length\ stk'' - length\ stk$
have $P \vdash (pc',\ s',\ stk') \rightarrow (pc'',\ s'',\ stk'')$
by ($insert\ execl\text{-}drop$ [$OF\ A$, $of\ length\ cfs$], $simp$)
moreover assume $(cfs\ @\ [(pc',\ s',\ stk')])\ !\ 0 = (pc,\ s,\ stk)$
hence C: $msp\ P\ pc' \leq ?sp \wedge length\ stk \leq length\ stk'$
using B **by** ($auto\ simp$: $mpred\text{-}def\ nth\text{-}append\ split$: $if\text{-}split\text{-}asm$)
ultimately have $to\text{-}m\text{-}prog\ P \vdash$
$(pc',\ add\text{-}m\text{-}stack\ ?sp\ stk'\ (to\text{-}m\text{-}state\ (vars\ P)\ s'),\ ?sp) \rightarrow$
$(pc'',\ add\text{-}m\text{-}stack\ ?sp'\ stk''\ (to\text{-}m\text{-}state\ (vars\ P)\ s''),\ ?sp')$
by ($rule\text{-}tac\ exec1\text{-}m\text{-}exec1$, $simp\text{-}all$)

thus *to-m-prog P* ⊢
(*pc*, *to-m-state* (*vars P*) *s*, *0*) →∗
(*pc''*, *add-m-stack ?sp'' stk''* (*to-m-state* (*vars P*) *s''*), *?sp''*)
using ‹*?R*› **and** *C* **by** (*auto intro*: *star-trans*)
qed

2.2 Main theorems

Here below is the proof that every complete small-step execution of an assembly program fulfills predicate *cpred* (lemma *ccomp-correct*), which is reused as is from [7], followed by the proof that every such execution satisfies predicate *mpred* as well (lemma *ccomp-correct-m*), which closely resembles the former one.

lemma *acomp-acomp*:
⟦*acomp* a_1 @ *acomp* a_2 @ *P* ⊨ *cfs*□;
⋀*cfs*. *acomp* a_1 ⊨ *cfs*□ ⟹ *apred* a_1 (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*));
⋀*cfs*. *acomp* a_2 ⊨ *cfs*□ ⟹ *apred* a_2 (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*))⟧ ⟹
case cfs ! *0 of* (*pc*, *s*, *stk*) ⇒ *pc* = *0* ∧ (∃ *k* < *length cfs*. *cfs* ! *k* =
(*size* (*acomp* a_1 @ *acomp* a_2), *s*, *aval* a_2 *s* # *aval* a_1 *s* # *stk*))
by (*drule execl-all-sub2* [**where** *I* = λ*s*. *0* **and** *I'* = λ*s*. *0* **and** *Q* = λ*s s'*. *s'* = *s*
and *Q'* = λ*s s'*. *s'* = *s* **and** *F* = λ*s stk*. *aval* a_1 *s* # *stk*
and *F'* = λ*s stk*. *aval* a_2 *s* # *stk*], *auto simp*: *apred-def*)

lemma *bcomp-bcomp*:
⟦*bcomp* (b_1, f_1, i_1) @ *bcomp* (b_2, f_2, i_2) ⊨ *cfs*□;
⋀*cfs*. *bcomp* (b_1, f_1, i_1) ⊨ *cfs*□ ⟹
bpred (b_1, f_1, i_1) (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*));
⋀*cfs*. *bcomp* (b_2, f_2, i_2) ⊨ *cfs*□ ⟹
bpred (b_2, f_2, i_2) (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*))⟧ ⟹
case cfs ! *0 of* (*pc*, *s*, *stk*) ⇒ *pc* = *0* ∧ (*bval* b_1 *s* ≠ f_1 ⟶
(∃ *k* < *length cfs*. *cfs* ! *k* = (*size* (*bcomp* (b_1, f_1, i_1) @ *bcomp* (b_2, f_2, i_2)) +
(*if bval* b_2 *s* = f_2 *then* i_2 *else 0*), *s*, *stk*)))
by (*clarify*, *rule conjI*, *simp add*: *execl-all-def*, *rule impI*, *subst* (*asm*) *append-Nil2*
[*symmetric*], *drule execl-all-sub2* [**where** *I* = λ*s*. *if bval* b_1 *s* = f_1 *then* i_1 *else 0*
and *I'* = λ*s*. *if bval* b_2 *s* = f_2 *then* i_2 *else 0* **and** *Q* = λ*s s'*. *s'* = *s*
and *Q'* = λ*s s'*. *s'* = *s* **and** *F* = λ*s stk*. *stk* **and** *F'* = λ*s stk*. *stk*],
auto simp: *bpred-def*)

lemma *acomp-correct* [*simplified*, *intro*]:
acomp a ⊨ *cfs*□ ⟹ *apred a* (*cfs* ! *0*) (*cfs* ! (*length cfs* − *1*))
proof (*induction a arbitrary*: *cfs*, *simp-all*, *frule-tac* [*3*] *acomp-acomp*, *auto*)
fix a_1 a_2 *cfs s stk k*
assume *A*: *acomp* a_1 @ *acomp* a_2 @ [*ADD*] ⊨ *cfs*□
(**is** *?ca*$_1$ @ *?ca*$_2$ @ *?i* ⊨ -□)
assume *B*: *k* < *length cfs* **and**
C: *cfs* ! *k* = (*size ?ca*$_1$ + *size ?ca*$_2$, *s*, *aval* a_2 *s* # *aval* a_1 *s* # *stk*)
hence *cfs* ! *Suc k* = (*size* (*?ca*$_1$ @ *?ca*$_2$ @ *?i*), *s*, *aval* (*Plus* a_1 a_2) *s* # *stk*)

```
    using A by (insert execl-next [of ?ca1 @ ?ca2 @ ?i cfs k],
     simp add: execl-all-def, drule-tac impI, auto)
  thus apred (Plus a1 a2) (0, s, stk) (cfs ! (length cfs − Suc 0))
    using A and B and C by (insert execl-last [of ?ca1 @ ?ca2 @ ?i cfs Suc k],
     simp add: execl-all-def apred-def, drule-tac impI, auto)
qed

lemma bcomp-correct [simplified, intro]:
 ⟦bcomp x ⊨ cfs□; 0 ≤ snd (snd x)⟧ ⟹ bpred x (cfs ! 0) (cfs ! (length cfs − 1))
proof (induction x arbitrary: cfs rule: bcomp.induct, simp-all add: Let-def,
 frule-tac [4] acomp-acomp, frule-tac [3] bcomp-bcomp, auto, force simp: bpred-def)
  fix b1 b2 f i cfs s stk
  assume A: bcomp (b1, False, size (bcomp (b2, f, i)) + (if f then 0 else i)) @
    bcomp (b2, f, i) ⊨ cfs□
    (is bcomp (-, -, ?n + ?i) @ ?cb ⊨ -□)
  moreover assume B: cfs ! 0 = (0, s, stk) and
   ⋀cb cfs. ⟦cb = ?cb; bcomp (b1, False, ?n + ?i) ⊨ cfs□⟧ ⟹
     bpred (b1, False, ?n + ?i) (cfs ! 0) (cfs ! (length cfs − Suc 0))
  ultimately have ∃k < length cfs. bpred (b1, False, ?n + ?i)
    (off [] (cfs ! 0)) (off [] (cfs ! k))
    by (rule-tac execl-all-sub, auto simp: execl-all-def)
  moreover assume C: ¬ bval b1 s
  ultimately obtain k where D: k < length cfs and
    E: cfs ! k = (size (bcomp (b1, False, ?n + ?i)) + ?n + ?i, s, stk)
    using B by (auto simp: bpred-def)
  assume 0 ≤ i
  thus bpred (And b1 b2, f, i) (0, s, stk) (cfs ! (length cfs − Suc 0))
    using A and C and D and E by (insert execl-last, auto simp:
     execl-all-def bpred-def Let-def)
next
  fix b1 b2 f i cfs s stk k
  assume A: bcomp (b1, False, size (bcomp (b2, f, i)) + (if f then 0 else i)) @
    bcomp (b2, f, i) ⊨ cfs□
    (is ?cb1 @ ?cb2 ⊨ -□)
  assume k < length cfs and 0 ≤ i and bval b1 s and
   cfs ! k = (size ?cb1 + size ?cb2 + (if bval b2 s = f then i else 0), s, stk)
  thus bpred (And b1 b2, f, i) (0, s, stk) (cfs ! (length cfs − Suc 0))
    using A by (insert execl-last, auto simp: execl-all-def bpred-def Let-def)
next
  fix a1 a2 f i cfs s stk k
  assume A: acomp a1 @ acomp a2 @
    (if f then [JMPLESS i] else [JMPGE i]) ⊨ cfs□
    (is ?ca1 @ ?ca2 @ ?i ⊨ -□)
  assume B: k < length cfs and
    C: cfs ! k = (size ?ca1 + size ?ca2, s, aval a2 s # aval a1 s # stk)
  hence D: cfs ! Suc k =
    (size (?ca1 @ ?ca2 @ ?i) + (if bval (Less a1 a2) s = f then i else 0), s, stk)
    using A by (insert execl-next [of ?ca1 @ ?ca2 @ ?i cfs k],
     simp add: execl-all-def, drule-tac impI, auto split: if-split-asm)
```

assume $0 \leq i$
with A **and** B **and** C **and** D **have** $length\ cfs - 1 = Suc\ k$
by (*rule-tac execl-last*, *auto simp*: *execl-all-def*, *simp split*: *if-split-asm*)
thus $bpred\ (Less\ a_1\ a_2, f, i)\ (0, s, stk)\ (cfs\ !\ (length\ cfs - Suc\ 0))$
using D **by** (*simp add*: *bpred-def*)
qed

lemma *bcomp-ccomp*:
$[\![bcomp\ (b, f, i)\ @\ ccomp\ c\ @\ P \models cfs\square;\ 0 \leq i;$
$\bigwedge cfs.\ ccomp\ c \models cfs\square \Longrightarrow cpred\ c\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs - 1))]\!] \Longrightarrow$
$case\ cfs\ !\ 0\ of\ (pc, s, stk) \Rightarrow pc = 0 \wedge (bval\ b\ s \neq f \longrightarrow$
$(\exists k < length\ cfs.\ case\ cfs\ !\ k\ of\ (pc', s', stk') \Rightarrow$
$pc' = size\ (bcomp\ (b, f, i)\ @\ ccomp\ c) \wedge (c, s) \Rightarrow s' \wedge stk' = stk))$
by (*clarify*, *rule conjI*, *simp add*: *execl-all-def*, *rule impI*, *drule execl-all-sub2*
[**where** $I = \lambda s.\ if\ bval\ b\ s = f\ then\ i\ else\ 0$ **and** $I' = \lambda s.\ 0$
and $Q = \lambda s\ s'.\ s' = s$ **and** $Q' = \lambda s\ s'.\ (c, s) \Rightarrow s'$ **and** $F = \lambda s\ stk.\ stk$
and $F' = \lambda s\ stk.\ stk$], *insert bcomp-correct* [*of* (b, f, i)],
auto simp: *bpred-def cpred-def*)

lemma *ccomp-ccomp*:
$[\![ccomp\ c_1\ @\ ccomp\ c_2 \models cfs\square;$
$\bigwedge cfs.\ ccomp\ c_1 \models cfs\square \Longrightarrow cpred\ c_1\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs - 1));$
$\bigwedge cfs.\ ccomp\ c_2 \models cfs\square \Longrightarrow cpred\ c_2\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs - 1))]\!] \Longrightarrow$
$case\ cfs\ !\ 0\ of\ (pc, s, stk) \Rightarrow pc = 0 \wedge (\exists k < length\ cfs.\ \exists t.$
$case\ cfs\ !\ k\ of\ (pc', s', stk') \Rightarrow pc' = size\ (ccomp\ c_1\ @\ ccomp\ c_2) \wedge$
$(c_1, s) \Rightarrow t \wedge (c_2, t) \Rightarrow s' \wedge stk' = stk)$
by (*subst* (*asm*) *append-Nil2* [*symmetric*], *drule execl-all-sub2* [**where** $I = \lambda s.\ 0$
and $I' = \lambda s.\ 0$ **and** $Q = \lambda s\ s'.\ (c_1, s) \Rightarrow s'$ **and** $Q' = \lambda s\ s'.\ (c_2, s) \Rightarrow s'$
and $F = \lambda s\ stk.\ stk$ **and** $F' = \lambda s\ stk.\ stk$], *auto simp*: *cpred-def*, *force*)

lemma *while-correct* [*simplified*, *intro*]:
$[\![bcomp\ (b, False, size\ (ccomp\ c) + 1)\ @\ ccomp\ c\ @$
$[JMP\ (-\ (size\ (bcomp\ (b, False, size\ (ccomp\ c) + 1)\ @\ ccomp\ c) + 1))]$
$\models cfs\square;$
$\bigwedge cfs.\ ccomp\ c \models cfs\square \Longrightarrow cpred\ c\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs - 1))]\!] \Longrightarrow$
$cpred\ (WHILE\ b\ DO\ c)\ (cfs\ !\ 0)\ (cfs\ !\ (length\ cfs - Suc\ 0))$
(**is** $[\![?cb\ @\ ?cc\ @\ [JMP\ (-\ ?n)] \models \text{-}\square;\ \bigwedge \text{-}.\ \text{-} \Longrightarrow \text{-}]\!] \Longrightarrow ?Q\ cfs$)
proof (*induction cfs rule*: *length-induct*, *frule bcomp-ccomp*, *auto*)
fix *cfs s stk*
assume A: $?cb\ @\ ?cc\ @\ [JMP\ (-\ size\ ?cb - size\ ?cc - 1)] \models cfs\square$
hence $\exists k < length\ cfs.\ bpred\ (b, False, size\ (ccomp\ c) + 1)$
$(off\ []\ (cfs\ !\ 0))\ (off\ []\ (cfs\ !\ k))$
by (*rule-tac execl-all-sub*, *auto simp*: *execl-all-def*)
moreover assume B: $\neg\ bval\ b\ s$ **and** $cfs\ !\ 0 = (0, s, stk)$
ultimately obtain k **where** $k < length\ cfs$ **and** $cfs\ !\ k = (?n, s, stk)$
by (*auto simp*: *bpred-def*)
thus $cpred\ (WHILE\ b\ DO\ c)\ (0, s, stk)\ (cfs\ !\ (length\ cfs - Suc\ 0))$
using A **and** B **by** (*insert execl-last*, *auto simp*: *execl-all-def cpred-def Let-def*)

next
fix *cfs s s′ stk k*
assume *A*: *?cb @ ?cc @ [JMP (− size ?cb − size ?cc − 1)] ⊨ cfs□*
(**is** *?P ⊨ -□*)
assume *B*: *k < length cfs* **and** *cfs ! k = (size ?cb + size ?cc, s′, stk)*
moreover from *this* **have** *C*: *k ≠ length cfs − 1*
using *A* **by** (*rule-tac notI*, *simp add*: *execl-all-def*)
ultimately have *D*: *cfs ! Suc k = (0, s′, stk)*
using *A* **by** (*insert execl-next* [*of ?P cfs k*], *auto simp*: *execl-all-def*)
moreover have *E*: *Suc k + (length (drop (Suc k) cfs) − 1) = length cfs − 1*
(**is** *- + (length ?cfs − -) = -*)
using *B* **and** *C* **by** *simp*
ultimately have *?P ⊨ ?cfs□*
using *A* **and** *B* **and** *C* **by** (*auto simp*: *execl-all-def intro*: *execl-drop*)
moreover assume *∀ cfs′. length cfs′ < length cfs ⟶ ?P ⊨ cfs′□ ⟶ ?Q cfs′*
hence *length ?cfs < length cfs ⟶ ?P ⊨ ?cfs□ ⟶ ?Q ?cfs* **..**
ultimately have *cpred* (*WHILE b DO c*) (*cfs ! Suc k*) (*cfs ! (length cfs − 1)*)
using *B* **and** *C* **and** *E* **by** *simp*
moreover assume *bval b s* **and** *(c, s) ⇒ s′*
ultimately show *cpred* (*WHILE b DO c*) (*0, s, stk*) (*cfs ! (length cfs − Suc 0)*)
using *D* **by** (*auto simp*: *cpred-def*)
qed

lemma *ccomp-correct* [*simplified*, *intro*]:
ccomp c ⊨ cfs□ ⟹ cpred c (cfs ! 0) (cfs ! (length cfs − 1))
proof (*induction c arbitrary*: *cfs*, *simp-all add*: *Let-def*, *frule-tac* [*4*] *bcomp-ccomp*, *frule-tac* [*3*] *ccomp-ccomp*, *auto*)
fix *a x cfs*
assume *A*: *acomp a @ [STORE x] ⊨ cfs□*
hence *∃ k < length cfs. apred a (off [] (cfs ! 0)) (off [] (cfs ! k))*
by (*rule-tac execl-all-sub*, *auto simp*: *execl-all-def*)
moreover obtain *s stk* **where** *B*: *cfs ! 0 = (0, s, stk)*
using *A* **by** (*cases cfs ! 0*, *simp add*: *execl-all-def*)
ultimately obtain *k* **where** *C*: *k < length cfs* **and**
D: *cfs ! k = (size (acomp a), s, aval a s # stk)*
by (*auto simp*: *apred-def*)
hence *cfs ! Suc k = (size (acomp a) + 1, s(x := aval a s), stk)*
using *A* **by** (*insert execl-next* [*of acomp a @ [STORE x] cfs k*],
simp add: *execl-all-def*, *drule-tac impI*, *auto*)
thus *cpred (x ::= a) (cfs ! 0) (cfs ! (length cfs − Suc 0))*
using *A* **and** *B* **and** *C* **and** *D* **by** (*insert execl-last* [*of acomp a @ [STORE x] cfs Suc k*], *simp add*: *execl-all-def cpred-def*, *drule-tac impI*, *auto*)
next
fix *c₁ c₂ cfs s s′ t stk k*
assume *ccomp c₁ @ ccomp c₂ ⊨ cfs□* **and** *k < length cfs* **and**
cfs ! k = (size (ccomp c₁) + size (ccomp c₂), s′, stk)
moreover assume *(c₁, s) ⇒ t* **and** *(c₂, t) ⇒ s′*
ultimately show *cpred (c₁;; c₂) (0, s, stk) (cfs ! (length cfs − Suc 0))*
by (*insert execl-last*, *auto simp*: *execl-all-def cpred-def*)

next
fix b c_1 c_2 *cfs* s *stk*
assume A: *bcomp* (b, *False*, *size* (*ccomp* c_1) + *1*) @ *ccomp* c_1 @
JMP (*size* (*ccomp* c_2)) # *ccomp* c_2 ⊨ *cfs*□
(**is** *bcomp ?x* @ *?cc*$_1$ @ - # *?cc*$_2$ ⊨ -□)
let *?P* = *bcomp ?x* @ *?cc*$_1$ @ [*JMP* (*size ?cc*$_2$)]
have ∃ k < *length cfs*. *bpred ?x* (*off* [] (*cfs* ! *0*)) (*off* [] (*cfs* ! k))
using A **by** (*rule-tac execl-all-sub*, *auto simp*: *execl-all-def*)
moreover assume B: ¬ *bval* b s **and** *cfs* ! *0* = (*0*, s, *stk*)
ultimately obtain k **where** C: k < *length cfs* **and** D: *cfs* ! k = (*size ?P*, s, *stk*)
by (*force simp*: *bpred-def*)
assume ⋀*cfs*. *?cc*$_2$ ⊨ *cfs*□ ⟹ *cpred* c_2 (*cfs* ! *0*) (*cfs* ! (*length cfs* − *Suc 0*))
hence ∃ k' < *length cfs*. *cpred* c_2 (*off ?P* (*cfs* ! k)) (*off ?P* (*cfs* ! k'))
using A **and** C **and** D **by** (*rule-tac execl-all-sub* [**where** P'' = []], *auto*)
then obtain k' **where** k' < *length cfs* **and** *case cfs* ! k' *of* (pc', s', stk') ⇒
pc' = *size* (*?P* @ *?cc*$_2$) ∧ (c_2, s) ⇒ s' ∧ stk' = *stk*
using D **by** (*fastforce simp*: *cpred-def*)
thus *cpred* (*IF* b *THEN* c_1 *ELSE* c_2) (*0*, s, *stk*) (*cfs* ! (*length cfs* − *Suc 0*))
using A **and** B **by** (*insert execl-last*, *auto simp*: *execl-all-def cpred-def Let-def*)
next
fix b c_1 c_2 *cfs* s s' *stk* k
assume A: *bcomp* (b, *False*, *size* (*ccomp* c_1) + *1*) @ *ccomp* c_1 @
JMP (*size* (*ccomp* c_2)) # *ccomp* c_2 ⊨ *cfs*□
(**is** *?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$ ⊨ -□)
assume B: k < *length cfs* **and** C: *cfs* ! k = (*size ?cb* + *size ?cc*$_1$, s', *stk*)
hence D: *cfs* ! *Suc* k = (*size* (*?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$), s', *stk*)
(**is** - = (*size ?P*, -, -))
using A **by** (*insert execl-next* [*of ?P cfs k*], *simp add*: *execl-all-def*,
drule-tac impI, *auto*)
assume *bval* b s **and** (c_1, s) ⇒ s'
thus *cpred* (*IF* b *THEN* c_1 *ELSE* c_2) (*0*, s, *stk*) (*cfs* ! (*length cfs* − *Suc 0*))
using A **and** B **and** C **and** D **by** (*insert execl-last* [*of ?P cfs Suc k*],
simp add: *execl-all-def cpred-def Let-def*, *drule-tac impI*, *auto*)
qed

lemma *acomp-acomp-m*:
assumes
A: *acomp* a_1 @ *acomp* a_2 @ P ⊨ *cfs*□
(**is** *?P* ⊨ -□) **and**
B: ⋀*cfs*. *acomp* a_1 ⊨ *cfs*□ ⟹ *mpred* (*acomp* a_1) *cfs 0* (*length cfs* − *1*) **and**
C: ⋀*cfs*. *acomp* a_2 ⊨ *cfs*□ ⟹ *mpred* (*acomp* a_2) *cfs 0* (*length cfs* − *1*)
shows *case cfs* ! *0 of* (pc, s, *stk*) ⇒ ∃ k < *length cfs*.
cfs ! k = (*size* (*acomp* a_1 @ *acomp* a_2), s, *aval* a_2 s # *aval* a_1 s # *stk*) ∧
mpred ?P cfs 0 k
proof −
have ∃ k < *length cfs*. *apred* a_1 (*off* [] (*cfs* ! *0*)) (*off* [] (*cfs* ! k)) ∧
mpred ([] @ *?P*) *cfs 0 k*
using A **and** B **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)

then obtain k s stk **where**
$cfs\ !\ 0 = (0,\ s,\ stk) \wedge mpred\ ?P\ cfs\ 0\ k \wedge k < length\ cfs$ **and**
D: $cfs\ !\ k = (size\ (acomp\ a_1),\ s,\ aval\ a_1\ s\ \#\ stk)$
using A **by** (*auto simp*: *apred-def execl-all-def*)
moreover from *this* **have** $\exists k' < length\ cfs.\ apred\ a_2\ (off\ (acomp\ a_1)\ (cfs\ !\ k))$
$(off\ (acomp\ a_1)\ (cfs\ !\ k')) \wedge mpred\ ?P\ cfs\ k\ k'$
using A **and** C **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain k' **where** $k' < length\ cfs \wedge mpred\ ?P\ cfs\ k\ k' \wedge$
$cfs\ !\ k' = (size\ (acomp\ a_1\ @\ acomp\ a_2),\ s,\ aval\ a_2\ s\ \#\ aval\ a_1\ s\ \#\ stk)$
using D **by** (*fastforce simp*: *apred-def prod-eq-iff*)
ultimately show *?thesis*
by (*auto intro*: *mpred-merge*)
qed

lemma *bcomp-bcomp-m* [*simplified*, *intro*]:
assumes A: $bcomp\ (b_1,\ f_1,\ i_1)\ @\ bcomp\ (b_2,\ f_2,\ i_2) \models cfs\square$
(**is** $bcomp\ ?x_1\ @\ bcomp\ ?x_2 \models \text{-}\square$)
assumes
B: $\bigwedge cfs.\ bcomp\ ?x_1 \models cfs\square \Longrightarrow mpred\ (bcomp\ ?x_1)\ cfs\ 0\ (length\ cfs - 1)$ **and**
C: $\bigwedge cfs.\ bcomp\ ?x_2 \models cfs\square \Longrightarrow mpred\ (bcomp\ ?x_2)\ cfs\ 0\ (length\ cfs - 1)$ **and**
D: $size\ (bcomp\ ?x_2) \leq i_1$ **and**
E: $0 \leq i_2$
shows $mpred\ (bcomp\ ?x_1\ @\ bcomp\ ?x_2)\ cfs\ 0\ (length\ cfs - 1)$
(**is** $mpred\ ?P\ \text{-}\ \text{-}\ \text{-}$)
proof −
have $\exists k < length\ cfs.\ bpred\ ?x_1\ (off\ []\ (cfs\ !\ 0))\ (off\ []\ (cfs\ !\ k)) \wedge$
$mpred\ ([]\ @\ ?P)\ cfs\ 0\ k$
using A **and** B **and** D **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain k s stk **where**
$cfs\ !\ 0 = (0,\ s,\ stk) \wedge mpred\ ?P\ cfs\ 0\ k \wedge k < length\ cfs$ **and**
F: $cfs\ !\ k = (size\ (bcomp\ ?x_1) + (if\ bval\ b_1\ s = f_1\ then\ i_1\ else\ 0),\ s,\ stk)$
using A **by** (*auto simp*: *bpred-def execl-all-def*)
moreover from *this* **have** $bval\ b_1\ s \neq f_1 \Longrightarrow \exists k' < length\ cfs.$
$bpred\ ?x_2\ (off\ (bcomp\ ?x_1)\ (cfs\ !\ k))\ (off\ (bcomp\ ?x_1)\ (cfs\ !\ k')) \wedge$
$mpred\ (bcomp\ ?x_1\ @\ bcomp\ ?x_2\ @\ [])\ cfs\ k\ k'$
using A **and** C **and** E **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain k' **where** $bval\ b_1\ s \neq f_1 \Longrightarrow k' < length\ cfs \wedge mpred\ ?P\ cfs\ k\ k' \wedge$
$fst\ (cfs\ !\ k') = size\ ?P + (if\ bval\ b_2\ s = f_2\ then\ i_2\ else\ 0)$
using F **by** (*fastforce simp*: *bpred-def*)
ultimately have $\exists k < length\ cfs.\ fst\ (cfs\ !\ k) = (if\ bval\ b_1\ s = f_1\ then$
$size\ (bcomp\ ?x_1) + i_1\ else\ size\ ?P + (if\ bval\ b_2\ s = f_2\ then\ i_2\ else\ 0)) \wedge$
$mpred\ ?P\ cfs\ 0\ k$
(**is** $\exists k < \text{-}.\ ?Q\ k$)
by (*fastforce intro*: *mpred-merge*)
then obtain k'' **where** $k'' < length\ cfs \wedge ?Q\ k''$ **..**
with A **and** D **and** E **show** *?thesis*
by (*insert execl-last* [*of ?P cfs k''*], *simp add*: *execl-all-def*)
qed

lemma *acomp-correct-m* [*simplified*, *intro*]:
acomp a $\models$ *cfs*$\square$ $\Longrightarrow$ *mpred* (*acomp a*) *cfs 0* (*length cfs* − *1*)
proof (*induction a arbitrary*: *cfs*, (*fastforce simp*: *mpred-def msp-def*)+, *simp*, *frule acomp-acomp-m*, *auto*)
fix a_1 a_2 *cfs pc s stk k*
assume *A*: *acomp* a_1 @ *acomp* a_2 @ [*ADD*] $\models$ *cfs*$\square$
(**is** *?P* $\models$ -$\square$)
assume *cfs* ! *0* = (*pc*, *s*, *stk*) **and** *mpred ?P cfs 0 k* **and**
B: *k* < *length cfs* **and**
C: *cfs* ! *k* = (*size* (*acomp* a_1) + *size* (*acomp* a_2), *s*,
aval a_2 *s* # *aval* a_1 *s* # *stk*)
moreover from *this* **have** *mpred ?P cfs k* (*Suc k*)
by (*simp add*: *mpred-def msp-def*)
moreover have *cfs* ! *Suc k* = (*size ?P*, *s*, *aval* (*Plus* a_1 a_2) *s* # *stk*)
using *A* **and** *B* **and** *C* **by** (*insert execl-next* [*of ?P cfs k*], *simp add*: *execl-all-def*, *drule-tac impI*, *auto*)
ultimately show *mpred ?P cfs 0* (*length cfs* − *Suc 0*)
using *A* **by** (*insert execl-last* [*of ?P cfs Suc k*], *simp add*: *execl-all-def*, *drule-tac impI*, *auto intro*: *mpred-merge*)
qed

lemma *bcomp-correct-m* [*simplified*, *intro*]:
⟦*bcomp x* $\models$ *cfs*$\square$; *0* ≤ *snd* (*snd x*)⟧ $\Longrightarrow$ *mpred* (*bcomp x*) *cfs 0* (*length cfs* − *1*)
proof (*induction x arbitrary*: *cfs rule*: *bcomp.induct*, *force simp*: *mpred-def msp-def*, (*simp add*: *Let-def*)+, *fastforce*, *subst* (*asm*) *bcomp.simps*, *frule acomp-acomp-m*, *auto simp del*: *bcomp.simps*, *subst bcomp.simps*)
fix a_1 a_2 *f i cfs pc s stk k*
assume *A*: *acomp* a_1 @ *acomp* a_2 @
(*if f then* [*JMPLESS i*] *else* [*JMPGE i*]) $\models$ *cfs*$\square$
(**is** *?P* $\models$ -$\square$)
assume *cfs* ! *0* = (*pc*, *s*, *stk*) **and** *mpred ?P cfs 0 k* **and**
B: *k* < *length cfs* **and**
C: *cfs* ! *k* = (*size* (*acomp* a_1) + *size* (*acomp* a_2), *s*,
aval a_2 *s* # *aval* a_1 *s* # *stk*)
moreover from *this* **have** *mpred ?P cfs k* (*Suc k*)
by (*simp add*: *mpred-def msp-def*)
moreover from *this* **have** *D*: *cfs* ! *Suc k* =
(*size ?P* + (*if bval* (*Less* a_1 a_2) *s* = *f then i else 0*), *s*, *stk*)
using *A* **and** *B* **and** *C* **by** (*insert execl-next* [*of ?P cfs k*], *simp add*: *execl-all-def*, *drule-tac impI*, *auto*)
assume *0* ≤ *i*
with *A* **and** *B* **and** *C* **and** *D* **have** *length cfs* − *1* = *Suc k*
by (*rule-tac execl-last*, *auto simp*: *execl-all-def*, *simp split*: *if-split-asm*)
ultimately show *mpred ?P cfs 0* (*length cfs* − *Suc 0*)
by (*auto intro*: *mpred-merge*)
qed

lemma *bcomp-ccomp-m*:

assumes *A*: *bcomp* (*b*, *f*, *i*) @ *ccomp c* @ *P* ⊨ *cfs*□
(**is** *bcomp ?x* @ *?cc* @ - ⊨ -□)
assumes
B: ⋀*cfs*. *?cc* ⊨ *cfs*□ ⟹ *mpred ?cc cfs 0* (*length cfs* − *1*) **and**
C: *0* ≤ *i*
shows *case cfs* ! *0 of* (*pc*, *s*, *stk*) ⇒ ∃ *k* < *length cfs*. ∃ *s′*.
cfs ! *k* = (*size* (*bcomp ?x*) + (*if bval b s* = *f then i else size ?cc*), *s′*, *stk*) ∧
mpred (*bcomp ?x* @ *?cc* @ *P*) *cfs 0 k*
proof −
let *?P* = *bcomp ?x* @ *?cc* @ *P*
have ∃ *k* < *length cfs*. *bpred ?x* (*off* [] (*cfs* ! *0*)) (*off* [] (*cfs* ! *k*)) ∧
mpred ([] @ *?P*) *cfs 0 k*
using *A* **and** *C* **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *s stk k* **where**
cfs ! *0* = (*0*, *s*, *stk*) ∧ *mpred ?P cfs 0 k* ∧ *k* < *length cfs* **and**
D: *cfs* ! *k* = (*size* (*bcomp ?x*) + (*if bval b s* = *f then i else 0*), *s*, *stk*)
using *A* **by** (*auto simp*: *bpred-def execl-all-def*)
moreover from *this* **have** *bval b s* ≠ *f* ⟹ ∃ *k′* < *length cfs*. *cpred c*
(*off* (*bcomp ?x*) (*cfs* ! *k*)) (*off* (*bcomp ?x*) (*cfs* ! *k′*)) ∧ *mpred ?P cfs k k′*
using *A* **and** *B* **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *k′ s′* **where** *bval b s* ≠ *f* ⟹ *k′* < *length cfs* ∧ *mpred ?P cfs k k′* ∧
cfs ! *k′* = (*size* (*bcomp ?x* @ *?cc*), *s′*, *stk*)
using *D* **by** (*fastforce simp*: *cpred-def prod-eq-iff*)
ultimately show *?thesis*
by (*auto intro*: *mpred-merge*)
qed

lemma *ccomp-ccomp-m* [*simplified*, *intro*]:
assumes
A: *ccomp c*$_1$ @ *ccomp c*$_2$ ⊨ *cfs*□
(**is** *?P* ⊨ -□) **and**
B: ⋀*cfs*. *ccomp c*$_1$ ⊨ *cfs*□ ⟹ *mpred* (*ccomp c*$_1$) *cfs 0* (*length cfs* − *1*) **and**
C: ⋀*cfs*. *ccomp c*$_2$ ⊨ *cfs*□ ⟹ *mpred* (*ccomp c*$_2$) *cfs 0* (*length cfs* − *1*)
shows *mpred ?P cfs 0* (*length cfs* − *1*)
proof −
have ∃ *k* < *length cfs*. *cpred c*$_1$ (*off* [] (*cfs* ! *0*)) (*off* [] (*cfs* ! *k*)) ∧
mpred ([] @ *?P*) *cfs 0 k*
using *A* **and** *B* **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *k s s′ stk* **where**
cfs ! *0* = (*0*, *s*, *stk*) ∧ *mpred ?P cfs 0 k* ∧ *k* < *length cfs* **and**
D: *cfs* ! *k* = (*size* (*ccomp c*$_1$), *s′*, *stk*)
using *A* **by** (*auto simp*: *cpred-def execl-all-def*)
moreover from *this* **have** ∃ *k′* < *length cfs*. *cpred c*$_2$ (*off* (*ccomp c*$_1$) (*cfs* ! *k*))
(*off* (*ccomp c*$_1$) (*cfs* ! *k′*)) ∧ *mpred* (*ccomp c*$_1$ @ *ccomp c*$_2$ @ []) *cfs k k′*
using *A* **and** *C* **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *k′* **where**
fst (*cfs* ! *k′*) = *size ?P* ∧ *mpred ?P cfs k k′* ∧ *k′* < *length cfs*
using *D* **by** (*fastforce simp*: *cpred-def*)
ultimately show *?thesis*

```
    using A by (insert execl-last [of ?P cfs k'], simp add: execl-all-def,
     auto intro: mpred-merge)
qed

lemma while-correct-m [simplified, simplified Let-def, intro]:
 ⟦bcomp (b, False, size (ccomp c) + 1) @ ccomp c @
    [JMP (− (size (bcomp (b, False, size (ccomp c) + 1) @ ccomp c) + 1))]
      ⊨ cfs□;
    ⋀cfs. ccomp c ⊨ cfs□ ⟹ mpred (ccomp c) cfs 0 (length cfs − 1)⟧ ⟹
  mpred (ccomp (WHILE b DO c)) cfs 0 (length cfs − Suc 0)
  (is ⟦?cb @ ?cc @ - ⊨ -□; ⋀-. - ⟹ -⟧ ⟹ -)
proof (induction cfs rule: length-induct, frule bcomp-ccomp-m,
 auto simp: Let-def split: if-split-asm)
  fix cfs s stk k
  assume ?cb @ ?cc @ [JMP (− size ?cb − size ?cc − 1)] ⊨ cfs□
    (is ?P ⊨ -□)
  moreover assume mpred ?P cfs 0 k and k < length cfs and
   cfs ! k = (size ?cb + (size ?cc + 1), s, stk)
  ultimately show mpred ?P cfs 0 (length cfs − Suc 0)
    by (insert execl-last [of ?P cfs k], simp add: execl-all-def)
next
  fix cfs pc s s' stk k
  assume A: ?cb @ ?cc @ [JMP (− size ?cb − size ?cc − 1)] ⊨ cfs□
    (is ?P ⊨ -□)
  assume B: k < length cfs and C: cfs ! k = (size ?cb + size ?cc, s', stk)
  moreover from this have D: k ≠ length cfs − 1
    using A by (rule-tac notI, simp add: execl-all-def)
  ultimately have E: cfs ! Suc k = (0, s', stk)
    using A by (insert execl-next [of ?P cfs k], auto simp: execl-all-def)
  moreover have F: Suc k + (length (drop (Suc k) cfs) − 1) = length cfs − 1
    (is - + (length ?cfs − -) = -)
    using B and D by simp
  ultimately have ?P ⊨ ?cfs□
    using A and B and D by (auto simp: execl-all-def intro: execl-drop)
  moreover assume ∀ cfs'. length cfs' < length cfs ⟶ ?P ⊨ cfs'□ ⟶
    mpred ?P cfs' 0 (length cfs' − Suc 0)
  ultimately have mpred ?P ?cfs 0 (length ?cfs − 1)
    using B by force
  moreover assume G: cfs ! 0 = (pc, s, stk)
  ultimately have mpred ?P cfs (Suc k + 0) (Suc k + (length ?cfs − 1))
    using B and E by (rule-tac mpred-drop, simp-all)
  hence mpred ?P cfs (Suc k) (length cfs − 1)
    by (subst (asm) F, simp)
  moreover assume mpred ?P cfs 0 k
  moreover have mpred ?P cfs k (Suc k)
    using C and G by (simp add: mpred-def msp-def)
  ultimately show mpred ?P cfs 0 (length cfs − Suc 0)
    by (auto intro: mpred-merge)
qed
```

lemma *ccomp-correct-m*:
ccomp c $\models$ *cfs*$\square$ $\Longrightarrow$ *mpred* (*ccomp c*) *cfs 0* (*length cfs* − *1*)
proof (*induction c arbitrary*: *cfs*, (*fastforce simp*: *mpred-def*)+,
simp-all add: *Let-def*, *frule-tac* [*3*] *bcomp-ccomp-m*, *auto split*: *if-split-asm*)
fix *a x cfs*
assume *A*: *acomp a* @ [*STORE x*] $\models$ *cfs*$\square$
(**is** *?P* $\models$ -$\square$)
hence ∃ *k* < *length cfs*. *apred a* (*off* [] (*cfs* ! *0*)) (*off* [] (*cfs* ! *k*)) ∧
mpred ([] @ *?P*) *cfs 0 k*
by (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *k s stk* **where** *cfs* ! *0* = (*0*, *s*, *stk*) ∧ *mpred ?P cfs 0 k* **and**
B: *k* < *length cfs* ∧ *cfs* ! *k* = (*size* (*acomp a*), *s*, *aval a s* # *stk*)
using *A* **by** (*auto simp*: *apred-def execl-all-def*)
moreover from *this* **have** *mpred ?P cfs k* (*Suc k*)
by (*simp add*: *mpred-def msp-def*)
moreover have *cfs* ! *Suc k* = (*size* (*acomp a*) + *1*, *s*(*x* := *aval a s*), *stk*)
using *A* **and** *B* **by** (*insert execl-next* [*of acomp a* @ [*STORE x*] *cfs k*],
simp add: *execl-all-def*, *drule-tac impI*, *auto*)
ultimately show *mpred ?P cfs 0* (*length cfs* − *Suc 0*)
using *A* **by** (*insert execl-last* [*of ?P cfs Suc k*], *simp add*: *execl-all-def*,
drule-tac impI, *auto intro*: *mpred-merge*)
next
fix *b* c_1 c_2 *cfs pc s s′ stk k*
assume *A*: *bcomp* (*b*, *False*, *size* (*ccomp* c_1) + *1*) @ *ccomp* c_1 @
JMP (*size* (*ccomp* c_2)) # *ccomp* c_2 $\models$ *cfs*$\square$
(**is** *?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$ $\models$ -$\square$)
let *?P* = *?cb* @ *?cc*$_1$ @ [*?i*]
assume *B*: *cfs* ! *k* = (*size ?cb* + (*size ?cc*$_1$ + *1*), *s′*, *stk*)
assume *cfs* ! *0* = (*pc*, *s*, *stk*) **and** *k* < *length cfs* **and**
⋀*cfs*. *?cc*$_2$ $\models$ *cfs*$\square$ $\Longrightarrow$ *mpred ?cc*$_2$ *cfs 0* (*length cfs* − *Suc 0*)
hence ∃ *k′* < *length cfs*. *cpred* c_2 (*off ?P* (*cfs* ! *k*)) (*off ?P* (*cfs* ! *k′*)) ∧
mpred (*?P* @ *?cc*$_2$ @ []) *cfs k k′*
using *A* **and** *B* **by** (*rule-tac execl-all-sub-m*, *insert execl-all-def*, *auto*)
then obtain *k′* **where** *fst* (*cfs* ! *k′*) = *size* (*?P* @ *?cc*$_2$) ∧
mpred (*?P* @ *?cc*$_2$) *cfs k k′* ∧ *k′* < *length cfs*
using *B* **by** (*fastforce simp*: *cpred-def*)
moreover assume *mpred* (*?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$) *cfs 0 k*
ultimately show *mpred* (*?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$) *cfs 0* (*length cfs* − *Suc 0*)
using *A* **by** (*insert execl-last* [*of ?P* @ *?cc*$_2$ *cfs k′*], *simp add*: *execl-all-def*,
auto intro: *mpred-merge*)
next
fix *b* c_1 c_2 *cfs pc s s′ stk k*
assume *A*: *bcomp* (*b*, *False*, *size* (*ccomp* c_1) + *1*) @ *ccomp* c_1 @
JMP (*size* (*ccomp* c_2)) # *ccomp* c_2 $\models$ *cfs*$\square$
(**is** *?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$ $\models$ -$\square$)
let *?P* = *?cb* @ *?cc*$_1$ @ *?i* # *?cc*$_2$
assume *B*: *k* < *length cfs* **and** *C*: *cfs* ! *k* = (*size ?cb* + *size ?cc*$_1$, *s′*, *stk*)
hence *cfs* ! *Suc k* = (*size ?P*, *s′*, *stk*)

using *A* **by** (*insert execl-next* [*of ?P cfs k*], *simp add*: *execl-all-def*,
drule-tac impI, *auto*)
moreover assume *cfs* ! *0* = (*pc*, *s*, *stk*)
hence *mpred ?P cfs k* (*Suc k*)
using *C* **by** (*simp add*: *mpred-def msp-def*)
moreover assume *mpred ?P cfs 0 k*
ultimately show *mpred ?P cfs 0* (*length cfs* − *Suc 0*)
using *A* **and** *B* **by** (*insert execl-last* [*of ?P cfs Suc k*], *simp add*: *execl-all-def*,
drule-tac impI, *auto intro*: *mpred-merge*)
qed

Here below are the proofs of theorems *m-ccomp-bigstep* and *m-ccomp-exec*, which establish that machine programs simulate source ones and vice versa. The former theorem is inferred from theorem *ccomp-bigstep* and lemmas *mpred-execl-m-exec*, *ccomp-correct-m*, the latter one from lemma *m-exec-exec* and theorem *ccomp-exec*, in turn derived from lemma *ccomp-correct*.

lemma *exec-execl* [*dest*!]:
P ⊢ *cf* →∗ *cf′* ⟹ ∃ *cfs*. *P* ⊨ *cfs* ∧ *cfs* ≠ [] ∧ *hd cfs* = *cf* ∧ *last cfs* = *cf′*
by (*erule star.induct*, *force*, *erule exE*, *rule list.exhaust*, *blast*,
simp del: *last.simps*, *rule exI*, *subst execl.simps*(*1*), *simp*)

theorem *m-ccomp-bigstep*:
(*c*, *s*) ⇒ *s′* ⟹
m-ccomp c ⊢ (*0*, *m-state c s*, *0*) →∗ (*size* (*m-ccomp c*), *m-state c s′*, *0*)
by (*drule ccomp-bigstep* [**where** *stk* = []], *drule exec-execl*, *clarify*,
frule mpred-execl-m-exec, *simp*, *rule ccomp-correct-m*, *simp-all add*:
hd-conv-nth last-conv-nth execl-all-def)

theorem *ccomp-exec*:
ccomp c ⊢ (*0*, *s*, *stk*) →∗ (*size* (*ccomp c*), *s′*, *stk′*) ⟹ (*c*, *s*) ⇒ *s′* ∧ *stk′* = *stk*
by (*insert ccomp-correct*, *force simp*: *hd-conv-nth last-conv-nth execl-all-def cpred-def*)

theorem *m-ccomp-exec*:
m-ccomp c ⊢ (*0*, *ms*, *0*) →∗ (*size* (*m-ccomp c*), *ms′*, *sp*) ⟹
(*c*, *state c ms*) ⇒ *state c ms′* ∧ *sp* = *0*
by (*drule m-exec-exec* [**where** *stk* = []], *simp*, *drule ccomp-exec*,
cases 0 < *sp*, *simp-all*, *drule add-stack-nnil*, *blast*)

end

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