# Formalizing a Seligman-Style Tableau System for Hybrid Logic

Asta Halkjr From October 24, 2020

#### Abstract

This work is a formalization of soundness and completeness proofs for a Seligman-style tableau system for hybrid logic. The completeness result is obtained via a synthetic approach using maximally consistent sets of tableau blocks. The formalization differs from previous work [1, 2] in a few ways. First, to avoid the need to backtrack in the construction of a tableau, the formalized system has no unnamed initial segment, and therefore no Name rule. Second, I show that the full Bridge rule is admissible in the system. Third, I start from rules restricted to only extend the branch with new formulas, including only witnessing diamonds that are not already witnessed, and show that the unrestricted rules are admissible. Similarly, I start from simpler versions of the @-rules and show that these are sufficient. The GoTo rule is restricted using a notion of potential such that each application consumes potential and potential is earned through applications of the remaining rules. I show that if a branch can be closed then it can be closed starting from a single unit. Finally, Nom is restricted by a fixed set of allowed nominals. The resulting system should be terminating.

### Preamble

The formalization was part of the author's MSc thesis in Computer Science and Engineering at the Technical University of Denmark (DTU).

#### **Supervisors:**

- Jrgen Villadsen
- Alexander Birch Jensen (co-supervisor)
- Patrick Blackburn (Roskilde University, external supervisor)

# Contents

1	Syntax	3
2	Semantics           2.1 Examples	<b>4</b> 4
3	Tableau	5
4	Soundness	7
5	No Detours 5.1 Free GoTo	<b>8</b> 9
6	Indexed Mapping           6.1 Indexing	<b>9</b> 9 10
7	Duplicate Formulas7.1 Removable indices7.2 Omitting formulas7.3 Induction7.4 Unrestricted rules	12 12 13 16 16
8	Substitution 8.1 Unrestricted (♦) rule	17 20
9	Structural Properties	20
10	Bridge         10.1 Replacing          10.2 Descendants          10.3 Induction          10.4 Derivation	22 22 23 25 25
11	Completeness         11.1 Hintikka       11.1.1 Named model         11.2 Lindenbaum-Henkin       11.2.1 Consistency         11.2.2 Maximality       11.2.3 Saturation         11.3 Smullyan-Fitting       11.4 Result	25 25 27 29 30 32 32 33 33
Re	eferences	<b>34</b>

### 1 Syntax

```
datatype ('a, 'b) fm
  = Pro'a
    Nom 'b
    Neg \langle ('a, 'b) fm \rangle (\langle \neg \rightarrow [40] 40)
    Dis \langle ('a, 'b) fm \rangle \langle ('a, 'b) fm \rangle  (infixr \langle \vee \rangle 30)
    Dia \langle ('a, 'b) fm \rangle (\langle \lozenge \rightarrow 10)
   | Sat 'b \langle ('a, 'b) fm \rangle (\langle @ - - \rangle 10)
We can give other connectives as abbreviations.
abbreviation Top (\langle \top \rangle) where
   \langle \top \equiv (undefined \lor \neg undefined) \rangle
abbreviation Con (infixr \langle \wedge \rangle 35) where
   \langle p \land q \equiv \neg (\neg p \lor \neg q) \rangle
abbreviation Imp (infixr \longleftrightarrow 25) where
  \langle p \longrightarrow q \equiv \neg (p \land \neg q) \rangle
\langle \Box p \equiv \neg (\Diamond \neg p) \rangle
primrec nominals :: \langle ('a, 'b) fm \Rightarrow 'b set \rangle where
   \langle nominals (Pro x) = \{\} \rangle
  \langle nominals \ (Nom \ i) = \{i\} \rangle
  \langle nominals \ (\neg \ p) = nominals \ p \rangle
  \langle nominals\ (p \lor q) = nominals\ p \cup nominals\ q \rangle
  \langle nominals \ (\lozenge \ p) = nominals \ p \rangle
 \langle nominals \ (@ \ i \ p) = \{i\} \cup nominals \ p \rangle
primrec sub :: \langle ('b \Rightarrow 'c) \Rightarrow ('a, 'b) fm \Rightarrow ('a, 'c) fm \rangle where
   \langle sub - (Pro \ x) = Pro \ x \rangle
  \langle sub\ f\ (Nom\ i) = Nom\ (f\ i) \rangle
 \langle sub \ f \ (\neg \ p) = (\neg \ sub \ f \ p) \rangle
 \langle sub \ f \ (p \lor q) = (sub \ f \ p \lor sub \ f \ q) \rangle
 \langle sub \ f \ (\lozenge \ p) = (\lozenge \ sub \ f \ p) \rangle
|\langle sub \ f \ (@ \ i \ p) = (@ \ (f \ i) \ (sub \ f \ p)) \rangle
lemma sub-nominals: \langle nominals \ (sub \ f \ p) = f \ (nominals \ p)
   \langle proof \rangle
lemma sub-id: \langle sub \ id \ p = p \rangle
   \langle proof \rangle
lemma sub-upd-fresh: (i \notin nominals \ p \Longrightarrow sub \ (f(i := j)) \ p = sub \ f \ p)
   \langle proof \rangle
```

### 2 Semantics

datatype ('w, 'a) model =

 $Model (R: \langle 'w \Rightarrow 'w \ set \rangle) (V: \langle 'w \Rightarrow 'a \Rightarrow bool \rangle)$ 

Type variable 'w stands for the set of worlds and 'a for the set of propositional symbols. The accessibility relation is given by R and the valuation by V. The mapping from nominals to worlds is an extra argument g to the semantics.

```
primrec semantics
  :: \langle ('w, 'a) \ model \Rightarrow ('b \Rightarrow 'w) \Rightarrow 'w \Rightarrow ('a, 'b) \ fm \Rightarrow bool \rangle
  (\langle -, -, - \models - \rangle [50, 50, 50] 50) where
  \langle (M, -, w \models Pro \ x) = V M w x \rangle
|\langle (-, g, w \models Nom i) = (w = g i) \rangle
 \langle (M, g, w \models \neg p) = (\neg M, g, w \models p) \rangle
 \langle (M, g, w \models (p \lor q)) = ((M, g, w \models p) \lor (M, g, w \models q)) \rangle
 \langle (M, g, w \models \Diamond p) = (\exists v \in R \ M \ w. \ M, g, v \models p) \rangle
|\langle (M, g, - \models \mathbf{@} \ i \ p) = (M, g, g \ i \models p) \rangle
lemma \langle M, g, w \models \top \rangle
   \langle proof \rangle
lemma semantics-fresh:
   \langle i \notin nominals \ p \Longrightarrow (M, g, w \models p) = (M, g(i := v), w \models p) \rangle
  \langle proof \rangle
2.1
           Examples
abbreviation is-named :: \langle ('w, 'b) | model \Rightarrow bool \rangle where
   \langle is\text{-}named\ M \equiv \forall\ w.\ \exists\ a.\ V\ M\ a=w \rangle
abbreviation reflexive :: \langle ('w, 'b) \ model \Rightarrow bool \rangle where
   \langle reflexive \ M \equiv \forall \ w. \ w \in R \ M \ w \rangle
abbreviation irreflexive :: \langle ('w, 'b) \ model \Rightarrow bool \rangle where
   \langle irreflexive \ M \equiv \forall \ w. \ w \notin R \ M \ w \rangle
abbreviation symmetric :: \langle ('w, 'b) | model \Rightarrow bool \rangle where
   \langle symmetric\ M \equiv \forall\ v\ w.\ w \in R\ M\ v \longleftrightarrow v \in R\ M\ w \rangle
abbreviation asymmetric :: \langle ('w, 'b) | model \Rightarrow bool \rangle where
   \langle asymmetric\ M \equiv \forall\ v\ w.\ \neg\ (w \in R\ M\ v \land v \in R\ M\ w) \rangle
abbreviation transitive :: \langle ('w, 'b) | model \Rightarrow bool \rangle where
   \langle transitive \ M \equiv \forall \ v \ w \ x. \ w \in R \ M \ v \ \land \ x \in R \ M \ w \longrightarrow x \in R \ M \ v \rangle
abbreviation universal :: \langle ('w, 'b) \ model \Rightarrow bool \rangle where
   \langle universal \ M \equiv \forall \ v \ w. \ v \in R \ M \ w \rangle
```

```
lemma (irreflexive M \Longrightarrow M, g, w \models @ i \neg (\lozenge Nom i)) \langle proof \rangle
```

We can automatically show some characterizations of frames by pure axioms.

```
lemma (irreflexive M = (\forall g \ w. \ M, \ g, \ w \models @ i \neg (\lozenge \ Nom \ i)) \land \langle proof \rangle
```

```
\mathbf{lemma} \ \langle asymmetric \ M = (\forall \ g \ w. \ M, \ g, \ w \models \mathbf{@} \ i \ (\Box \neg \ (\lozenge \ Nom \ i))) \rangle \\ \langle proof \rangle
```

```
lemma \langle universal \ M = (\forall g \ w. \ M, \ g, \ w \models \Diamond \ Nom \ i) \rangle \langle proof \rangle
```

### 3 Tableau

A block is defined as a list of formulas paired with an opening nominal. The opening nominal is not necessarily in the list. A branch is a list of blocks.

```
type-synonym ('a, 'b) block = \langle ('a, 'b) \ fm \ list \times 'b \rangle type-synonym ('a, 'b) block \ list \rangle
```

```
abbreviation member-list :: \langle 'a \Rightarrow 'a \; list \Rightarrow bool \rangle (\langle \cdot \in . \; \cdot \rangle [51, 51] 50) where \langle x \in . \; xs \equiv x \in set \; xs \rangle
```

The predicate on presents the opening nominal as appearing on the block.

```
primrec on :: \langle ('a, 'b) \ fm \Rightarrow ('a, 'b) \ block \Rightarrow bool \rangle \ (\langle -on \rightarrow [51, 51] \ 50) where \langle p \ on \ (ps, i) = (p \in .ps \lor p = Nom \ i) \rangle
```

#### syntax

```
-Ballon :: \langle pttrn \Rightarrow 'a \ set \Rightarrow bool \Rightarrow bool \rangle (\langle (3\forall (-/on-)./ -) \rangle [0, 0, 10] \ 10)
-Bexon :: \langle pttrn \Rightarrow 'a \ set \Rightarrow bool \Rightarrow bool \rangle (\langle (3\exists (-/on-)./ -) \rangle [0, 0, 10] \ 10)
```

#### translations

$$\forall p \ on \ A. \ P \rightharpoonup \forall p. \ p \ on \ A \longrightarrow P$$
 
$$\exists p \ on \ A. \ P \rightharpoonup \exists p. \ p \ on \ A \land P$$

**abbreviation** list-nominals ::  $\langle ('a, 'b) \text{ fm list} \Rightarrow 'b \text{ set} \rangle$  where  $\langle \text{list-nominals } ps \equiv (\bigcup p \in \text{set ps. nominals } p) \rangle$ 

```
primrec block-nominals :: \langle ('a, 'b) \ block \Rightarrow 'b \ set \rangle where \langle block\text{-}nominals \ (ps, i) = \{i\} \cup list\text{-}nominals \ ps \rangle
```

```
definition branch-nominals :: \langle ('a, 'b) | branch \Rightarrow 'b | set \rangle where \langle branch-nominals | branch \equiv (\bigcup block \in set | branch | block-nominals | block) \rangle
```

**abbreviation** at-in-branch ::  $\langle ('a, 'b) \ fm \Rightarrow 'b \Rightarrow ('a, 'b) \ branch \Rightarrow bool \rangle$  where  $\langle at\text{-}in\text{-}branch \ p \ a \ branch \equiv \exists \ ps. \ (ps, \ a) \in . \ branch \land \ p \ on \ (ps, \ a) \rangle$ 

```
notation at-in-branch (\langle -at - in - \rangle [51, 51, 51] 50)
definition new :: \langle ('a, 'b) fm \Rightarrow 'b \Rightarrow ('a, 'b) branch \Rightarrow bool \rangle where
  \langle new \ p \ a \ branch \equiv \neg \ p \ at \ a \ in \ branch \rangle
definition witnessed :: (('a, 'b) fm \Rightarrow 'b \Rightarrow ('a, 'b) branch \Rightarrow bool) where
  (witnessed p a branch \equiv \exists i. (@ i p) at a in branch \land (\lozenge Nom i) at a in branch)
A branch has a closing tableau iff it is contained in the following inductively
defined set. In that case I call the branch closeable. The first argument on
the left of the turnstile, A, is a fixed set of nominals restricting Nom. This
set rules out the copying of nominals and accessibility formulas introduced
by DiaP. The second argument is "potential", used to restrict the GoTo rule.
50)
  for A :: \langle b \mid set \rangle where
    Close:
    \langle p \ at \ i \ in \ branch \Longrightarrow (\neg \ p) \ at \ i \ in \ branch \Longrightarrow
     A, n \vdash branch
    Neq:
    \langle (\neg \neg p) \ at \ a \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
     new \ p \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow
     A, Suc n \vdash (p \# ps, a) \# branch \Longrightarrow
     A, n \vdash (ps, a) \# branch
  \mid DisP:
    \langle (p \lor q) \ at \ a \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
     new \ p \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow new \ q \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow
     A, Suc \ n \vdash (p \# ps, a) \# branch \Longrightarrow A, Suc \ n \vdash (q \# ps, a) \# branch \Longrightarrow
     A, n \vdash (ps, a) \# branch
  | DisN:
    \langle (\neg (p \lor q)) \ at \ a \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
     new (\neg p) \ a ((ps, a) \# branch) \lor new (\neg q) \ a ((ps, a) \# branch) \Longrightarrow
     A, Suc \ n \vdash ((\neg q) \# (\neg p) \# ps, a) \# branch \Longrightarrow
     A, n \vdash (ps, a) \# branch
    DiaP:
    \langle (\lozenge p) \ at \ a \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
     i \notin A \cup branch-nominals ((ps, a) \# branch) \Longrightarrow
     \nexists a. \ p = Nom \ a \Longrightarrow \neg \ witnessed \ p \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow
     A, Suc \ n \vdash ((@ \ i \ p) \# (\lozenge \ Nom \ i) \# ps, \ a) \# branch \Longrightarrow
     A, n \vdash (ps, a) \# branch
  \mid DiaN:
    \langle (\neg (\Diamond p)) \ at \ a \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
     (\lozenge Nom \ i) at a in (ps, a) \# branch \Longrightarrow
     new (\neg (@ i p)) \ a ((ps, a) \# branch) \Longrightarrow
     A, Suc \ n \vdash ((\neg (@ i \ p)) \ \# \ ps, \ a) \ \# \ branch \Longrightarrow
     A, n \vdash (ps, a) \# branch
```

 $\mid SatP:$ 

 $(@ \ a \ p) \ at \ b \ in \ (ps, \ a) \ \# \ branch \Longrightarrow new \ p \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow$ 

```
A, Suc n \vdash (p \# ps, a) \# branch \Longrightarrow
      A, n \vdash (ps, a) \# branch
   \mid SatN:
     \langle (\neg (@ a p)) \ at \ b \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
      new (\neg p) \ a ((ps, a) \# branch) \Longrightarrow
      A, Suc \ n \vdash ((\neg p) \# ps, a) \# branch \Longrightarrow
      A, n \vdash (ps, a) \# branch
     Go To:
     \langle i \in \mathit{branch}\text{-}\mathit{nominals}\ \mathit{branch} \Longrightarrow
      A, n \vdash ([], i) \# branch \Longrightarrow
      A, Suc n \vdash branch
   | Nom:
     (p \ at \ b \ in \ (ps, \ a) \ \# \ branch \Longrightarrow Nom \ a \ at \ b \ in \ (ps, \ a) \ \# \ branch \Longrightarrow
      \forall i. \ p = Nom \ i \lor p = (\lozenge \ Nom \ i) \longrightarrow i \in A \Longrightarrow
      new \ p \ a \ ((ps, \ a) \ \# \ branch) \Longrightarrow
      A, Suc n \vdash (p \# ps, a) \# branch \Longrightarrow
      A, n \vdash (ps, a) \# branch
abbreviation STA-ex-potential :: \langle b \mid set \Rightarrow (a, b) \mid branch \Rightarrow bool \rangle ( \leftarrow \vdash \rightarrow [50, branch \Rightarrow bool) \rangle
50 \mid 50) where
  \langle A \vdash branch \equiv \exists n. \ A, \ n \vdash branch \rangle
lemma STA-Suc: \langle A, n \vdash branch \Longrightarrow A, Suc n \vdash branch \rangle
   \langle proof \rangle
A verified derivation in the calculus.
lemma
  fixes i
  defines \langle p \equiv \neg (@ i (Nom i)) \rangle
  shows \langle A, Suc \ n \vdash [([p], \ a)] \rangle
\langle proof \rangle
```

### 4 Soundness

An i-block is satisfied by a model M and assignment g if all formulas on the block are true under M at the world g i A branch is satisfied by a model and assignment if all blocks on it are.

```
primrec block-sat :: \langle ('w, 'a) \bmod el \Rightarrow ('b \Rightarrow 'w) \Rightarrow ('a, 'b) \bmod el \Rightarrow bool \rangle

(\langle \cdot, \cdot \models_B \rightarrow [50, 50] 50) where

\langle (M, g \models_B (ps, i)) = (\forall p \ on \ (ps, i). \ M, g, g \ i \models p) \rangle

abbreviation branch-sat ::

\langle ('w, 'a) \bmod el \Rightarrow ('b \Rightarrow 'w) \Rightarrow ('a, 'b) \ branch \Rightarrow bool \rangle

(\langle \cdot, \cdot \models_{\Theta} \rightarrow [50, 50] 50) where

\langle M, g \models_{\Theta} branch \equiv \forall (ps, i) \in set \ branch. \ M, g \models_B (ps, i) \rangle

lemma block-nominals:
```

 $\langle p \ on \ block \Longrightarrow i \in nominals \ p \Longrightarrow i \in block-nominals \ block \rangle$ 

```
\langle proof \rangle
lemma block-sat-fresh:
  assumes \langle M, g \models_B block \rangle \langle i \notin block-nominals block \rangle
  shows \langle M, g(i := v) \models_B block \rangle
   \langle proof \rangle
lemma branch-sat-fresh:
  assumes \langle M, g \models_{\Theta} branch \rangle \langle i \notin branch-nominals branch \rangle
  shows \langle M, g(i := v) \models_{\Theta} branch \rangle
   \langle proof \rangle
If a branch has a derivation then it cannot be satisfied.
lemma soundness': \langle A, n \vdash branch \Longrightarrow M, g \models_{\Theta} branch \Longrightarrow False \rangle
\langle proof \rangle
lemma block-sat: \forall p \text{ on block. } M, g, w \models p \Longrightarrow M, g \models_B block
lemma branch-sat:
  assumes \forall (ps, i) \in set \ branch. \ \forall \ p \ on \ (ps, i). \ M, \ g, \ w \models p \rangle
  shows \langle M, q \models_{\Theta} branch \rangle
   \langle proof \rangle
lemma soundness:
  assumes \langle A, n \vdash branch \rangle
  shows \langle \exists block \in set branch. \exists p on block. \neg M, g, w \models p \rangle
   \langle proof \rangle
corollary \langle \neg A, n \vdash [] \rangle
   \langle proof \rangle
theorem soundness-fresh:
  \mathbf{assumes} \ \langle A, \ n \vdash [([\lnot \ p], \ i)] \rangle \ \langle i \not\in \ nominals \ p \rangle
  shows \langle M, g, w \models p \rangle
\langle proof \rangle
```

### 5 No Detours

We only need to spend initial potential when we apply GoTo twice in a row. Otherwise another rule will have been applied in-between that justifies the GoTo. Therefore, by filtering out detours we can close any closeable branch starting from a single unit of potential.

```
primrec nonempty :: \langle ('a, 'b) \ block \Rightarrow bool \rangle where \langle nonempty \ (ps, i) = (ps \neq []) \rangle
```

lemma nonempty-Suc:

```
assumes (A, n \vdash (ps, a) \# \text{ filter nonempty left } @ \text{ right}) (q \text{ at } a \text{ in } (ps, a) \# \text{ filter nonempty left } @ \text{ right}) (q \neq Nom \ a) shows (A, Suc \ n \vdash \text{ filter nonempty } ((ps, a) \# \text{ left}) @ \text{ right}) (proof)

lemma STA-nonempty: (A, n \vdash \text{ left } @ \text{ right} \implies A, Suc \ m \vdash \text{ filter nonempty left } @ \text{ right}) (proof)

theorem STA-potential: (A, n \vdash \text{ branch} \implies A, Suc \ m \vdash \text{ branch}) (proof)

corollary STA-one: (A, n \vdash \text{ branch} \implies A, 1 \vdash \text{ branch}) (proof)
```

#### 5.1 Free GoTo

The above result allows us to prove a version of GoTo that works "for free."

```
 \begin{array}{l} \textbf{lemma} \ \textit{GoTo':} \\ \textbf{assumes} \ \langle A, \ \textit{Suc} \ n \vdash ([], \ i) \ \# \ \textit{branch} \rangle \ \langle i \in \textit{branch-nominals branch} \rangle \\ \textbf{shows} \ \langle A, \ \textit{Suc} \ n \vdash \textit{branch} \rangle \\ \langle \textit{proof} \ \rangle \\ \end{array}
```

# 6 Indexed Mapping

This section contains some machinery for showing admissible rules.

### 6.1 Indexing

We use pairs of natural numbers to index into the branch. The first component specifies the block and the second specifies the formula on that block. We index from the back to ensure that indices are stable under the addition of new formulas and blocks.

```
primrec rev-nth :: \langle 'a \; list \Rightarrow nat \Rightarrow 'a \; option \rangle (infix] \langle !. \rangle \; 100) where \langle [] \; !. \; v = None \rangle | \langle (x \# xs) \; !. \; v = (if \; length \; xs = v \; then \; Some \; x \; else \; xs \; !. \; v) \rangle lemma rev-nth-last: \langle xs \; !. \; 0 = Some \; x \Longrightarrow last \; xs = x \rangle \langle proof \rangle lemma rev-nth-zero: \langle (xs @ [x]) \; !. \; 0 = Some \; x \rangle \langle proof \rangle lemma rev-nth-snoc: \langle (xs @ [x]) \; !. \; Suc \; v = Some \; y \Longrightarrow xs \; !. \; v = Some \; y \rangle \langle proof \rangle
```

```
lemma rev-nth-Suc: \langle (xs @ [x]) !. Suc v = xs !. v \rangle
  \langle proof \rangle
lemma rev-nth-bounded: \langle v < length \ xs \Longrightarrow \exists \ x. \ xs \ !. \ v = Some \ x \rangle
  \langle proof \rangle
lemma rev-nth-Cons: \langle xs \mid ... v = Some y \Longrightarrow (x \# xs) \mid ... v = Some y \rangle
\langle proof \rangle
lemma rev-nth-append: \langle xs \mid . v = Some \ y \Longrightarrow (ys @ xs) \mid . v = Some \ y \rangle
lemma rev-nth-mem: \langle block \in branch \longleftrightarrow (\exists v. branch !. v = Some block) \rangle
lemma rev-nth-on: \langle p \text{ on } (ps, i) \longleftrightarrow (\exists v. ps !. v = Some p) \lor p = Nom i \rangle
  \langle proof \rangle
lemma rev-nth-Some: \langle xs \mid v = Some \ y \Longrightarrow v < length \ xs \rangle
\langle proof \rangle
lemma index-Cons:
  assumes \langle ((ps, a) \# branch) !. v = Some (qs, b) \rangle \langle qs !. v' = Some q \rangle
  shows (\exists gs'. ((p \# ps, a) \# branch) !. v = Some (gs', b) \land gs' !. v' = Some q)
\langle proof \rangle
6.2
          Mapping
primrec mapi :: \langle (nat \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \ list \Rightarrow 'b \ list \rangle where
  \langle mapi f [] = [] \rangle
|\langle mapi f (x \# xs) = f (length xs) x \# mapi f xs \rangle
primrec mapi-block ::
  \langle (nat \Rightarrow ('a, 'b) fm \Rightarrow ('a, 'b) fm \rangle \Rightarrow (('a, 'b) block \Rightarrow ('a, 'b) block) \rangle where
  \langle mapi-block f (ps, i) = (mapi f ps, i) \rangle
definition mapi-branch ::
  \langle (nat \Rightarrow nat \Rightarrow ('a, 'b) \ fm \Rightarrow ('a, 'b) \ fm) \Rightarrow (('a, 'b) \ branch \Rightarrow ('a, 'b) \ branch) \rangle
where
  \langle mapi-branch \ f \ branch \ \equiv \ mapi \ (\lambda v. \ mapi-block \ (f \ v)) \ branch \rangle
{f abbreviation}\ mapper::
  \langle (('a, 'b) fm \Rightarrow ('a, 'b) fm) \Rightarrow
   (nat \times nat) \ set \Rightarrow nat \Rightarrow nat \Rightarrow ('a, 'b) \ fm \Rightarrow ('a, 'b) \ fm \Rightarrow where
  \langle mapper f xs \ v \ v' \ p \equiv (if \ (v, \ v') \in xs \ then \ f \ p \ else \ p) \rangle
lemma mapi-block-add-oob:
  assumes \langle length \ ps < v' \rangle
```

```
shows
    \langle mapi-block \ (mapper f \ (\{(v, v')\} \cup xs) \ v) \ (ps, i) =
     mapi-block \ (mapper \ f \ xs \ v) \ (ps, \ i)
  \langle proof \rangle
lemma mapi-branch-add-oob:
  assumes \langle length \ branch \leq v \rangle
  shows
    \langle mapi-branch\ (mapper\ f\ (\{(v,\ v')\}\ \cup\ xs))\ branch =
     mapi-branch (mapper f xs) branch
  \langle proof \rangle
{\bf lemma}\ mapi-branch-head-add-oob:
  (mapi-branch (mapper f ({(length branch, length ps)} \cup xs)) ((ps, a) # branch)
   mapi-branch \ (mapper \ f \ xs) \ ((ps, \ a) \ \# \ branch)
  \langle proof \rangle
lemma mapi-branch-mem:
  assumes \langle (ps, i) \in branch \rangle
  shows \langle \exists v. (mapi (f v) ps, i) \in mapi-branch f branch \rangle
  \langle proof \rangle
lemma rev-nth-mapi-branch:
  assumes \langle branch !. v = Some (ps, a) \rangle
  shows \langle (mapi\ (f\ v)\ ps,\ a) \in mapi-branch\ f\ branch \rangle
  \langle proof \rangle
lemma rev-nth-mapi-block:
  assumes \langle ps !. v' = Some p \rangle
  shows \langle f v' p \ on \ (mapi \ f \ ps, \ a) \rangle
  \langle proof \rangle
lemma mapi-append:
  (mapi \ f \ (xs @ ys) = mapi \ (\lambda v. \ f \ (v + length \ ys)) \ xs @ mapi \ f \ ys)
  \langle proof \rangle
lemma mapi-block-id: \langle mapi-block \ (mapper f \{\} \ v) \ (ps, i) = (ps, i) \rangle
  \langle proof \rangle
lemma mapi-branch-id: \langle mapi-branch \ (mapper f \ \{\}) \ branch = branch \rangle
  \langle proof \rangle
lemma length-mapi: \langle length \ (mapi \ f \ xs) = length \ xs \rangle
  \langle proof \rangle
lemma mapi-rev-nth:
  assumes \langle xs \mid . v = Some \ x \rangle
  shows \langle mapi \ f \ xs \ !. \ v = Some \ (f \ v \ x) \rangle
```

 $\langle proof \rangle$ 

### 7 Duplicate Formulas

### 7.1 Removable indices

**lemma** only-touches-opening:

```
abbreviation \langle proj \equiv Equiv\text{-}Relations.proj \rangle
definition all-is :: (('a, 'b) fm \Rightarrow ('a, 'b) fm list \Rightarrow nat set \Rightarrow bool) where
  \langle all\text{-}is\ p\ ps\ xs \equiv \forall\ v \in xs.\ ps\ !.\ v = Some\ p \rangle
definition is-at :: \langle ('a, 'b) | fm \Rightarrow 'b \Rightarrow ('a, 'b) | branch \Rightarrow nat \Rightarrow nat \Rightarrow book
  (is-at p i branch v v' \equiv \exists ps. branch !. v = Some (ps, i) \land ps !. v' = Some p)
This definition is slightly complicated by the inability to index the opening
definition is-elsewhere :: ((a, b) fm \Rightarrow b \Rightarrow (a, b) branch \Rightarrow (nat \times nat) set
\Rightarrow bool where
  \forall is-elsewhere p i branch xs \equiv \exists w \ w' \ ps. \ (w, w') \notin xs \land i
    branch!. w = Some(ps, i) \land (p = Nom i \lor ps!. w' = Some(p))
definition Dup :: \langle ('a, 'b) \ fm \Rightarrow 'b \Rightarrow ('a, 'b) \ branch \Rightarrow (nat \times nat) \ set \Rightarrow book \rangle
  \langle Dup \ p \ i \ branch \ xs \equiv \forall (v, v') \in xs.
    is-at p i branch v v' \land is-elsewhere p i branch xs \lor
lemma Dup-all-is:
  assumes \langle Dup \ p \ i \ branch \ xs \rangle \langle branch \ !. \ v = Some \ (ps, \ a) \rangle
  shows \langle all\text{-}is \ p \ ps \ (proj \ xs \ v) \rangle
  \langle proof \rangle
lemma Dup-branch:
  \langle Dup \ p \ i \ branch \ xs \Longrightarrow Dup \ p \ i \ (extra @ branch) \ xs \rangle
  \langle proof \rangle
lemma Dup-block:
  assumes \langle Dup \ p \ i \ ((ps, a) \# branch) \ xs \rangle
  shows \langle Dup \ p \ i \ ((ps' @ ps, a) \# branch) \ xs \rangle
  \langle proof \rangle
definition only-touches :: (b \Rightarrow (a, b) \text{ branch} \Rightarrow (nat \times nat) \text{ set} \Rightarrow bool(b) where
  \langle only\text{-touches } i \text{ branch } xs \equiv \forall (v, v') \in xs. \ \forall ps \ a. \ branch \ !. \ v = Some \ (ps, a)
\longrightarrow i = a
lemma Dup-touches: \langle Dup \ p \ i \ branch \ xs \Longrightarrow only-touches \ i \ branch \ xs \rangle
  \langle proof \rangle
```

```
assumes \langle only\text{-}touches\ i\ branch\ xs \rangle \langle (v,\ v') \in xs \rangle \langle branch\ !.\ v = Some\ (ps,\ a) \rangle
  \mathbf{shows} \ \langle i = a \rangle
  \langle proof \rangle
lemma Dup-head:
  (Dup\ p\ i\ ((ps,\ a)\ \#\ branch)\ xs \Longrightarrow Dup\ p\ i\ ((q\ \#\ ps,\ a)\ \#\ branch)\ xs)
  \langle proof \rangle
lemma Dup-head-oob':
  assumes \langle Dup \ p \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
  shows \langle (length\ branch,\ k + length\ ps) \notin xs \rangle
  \langle proof \rangle
lemma Dup-head-oob:
  assumes \langle Dup \ p \ i \ ((ps, a) \ \# \ branch) \ xs \rangle
  shows (length\ branch,\ length\ ps) \notin xs
  \langle proof \rangle
7.2
          Omitting formulas
primrec omit :: (nat set \Rightarrow ('a, 'b) fm list \Rightarrow ('a, 'b) fm list) where
  \langle omit \ xs \ [] = [] \rangle
|\langle omit \ xs \ (p \# ps) = (if \ length \ ps \in xs \ then \ omit \ xs \ ps \ else \ p \# \ omit \ xs \ ps) |
primrec omit-block :: \langle nat \ set \Rightarrow ('a, 'b) \ block \Rightarrow ('a, 'b) \ block \rangle where
  \langle omit\text{-}block \ xs \ (ps, \ a) = (omit \ xs \ ps, \ a) \rangle
definition omit-branch :: \langle (nat \times nat) \ set \Rightarrow ('a, 'b) \ branch \Rightarrow ('a, 'b) \ branch \rangle
where
  \langle omit\text{-}branch \ xs \ branch \equiv mapi \ (\lambda v. \ omit\text{-}block \ (proj \ xs \ v)) \ branch \rangle
lemma omit-mem: \langle ps \mid . \ v = Some \ p \Longrightarrow v \notin xs \Longrightarrow p \in . \ omit \ xs \ ps \rangle
\langle proof \rangle
lemma omit-id: \langle omit \ \{ \} \ ps = ps \rangle
  \langle proof \rangle
lemma omit-block-id: \langle omit-block \{\} block = block \rangle
  \langle proof \rangle
lemma omit-branch-id: \langle omit-branch \{\} branch = branch \rangle
  \langle proof \rangle
lemma omit-branch-mem-diff-opening:
  assumes \langle only\text{-}touches\ i\ branch\ xs \rangle\ \langle (ps,\ a) \in branch \rangle\ \langle i \neq a \rangle
  shows \langle (ps, a) \in . \ omit\text{-}branch \ xs \ branch \rangle
\langle proof \rangle
lemma Dup-omit-branch-mem-same-opening:
```

```
assumes \langle Dup \ p \ i \ branch \ xs \rangle \ \langle p \ at \ i \ in \ branch \rangle
     shows \langle p \ at \ i \ in \ omit-branch \ xs \ branch \rangle
\langle proof \rangle
lemma omit-del:
     assumes \langle p \in .ps \rangle \langle p \notin set (omit xs ps) \rangle
     shows \langle \exists v. \ ps \ !. \ v = Some \ p \land v \in xs \rangle
     \langle proof \rangle
lemma omit-all-is:
     assumes \langle all\text{-}is\ p\ ps\ xs\rangle\ \langle q\in .ps\rangle\ \langle q\notin set\ (omit\ xs\ ps)\rangle
     shows \langle q = p \rangle
      \langle proof \rangle
definition all-is-branch :: \langle ('a, 'b) | fm \Rightarrow 'b \Rightarrow ('a, 'b) | branch \Rightarrow (nat \times nat) | set
\Rightarrow bool where
      \forall all\text{-}is\text{-}branch \ p \ i \ branch \ xs \equiv \forall (v, \ v') \in xs. \ v < length \ branch \longrightarrow is\text{-}at \ p \ i
branch v v'
lemma all-is-branch:
     \langle all\text{-}is\text{-}branch\ p\ i\ branch\ xs \Longrightarrow branch\ !.\ v = Some\ (ps,\ a) \Longrightarrow all\text{-}is\ p\ ps\ (proj\ proj\ 
xs v)
     \langle proof \rangle
lemma Dup-all-is-branch: \langle Dup \ p \ i \ branch \ xs \implies all-is-branch p \ i \ branch \ xs \rangle
      \langle proof \rangle
lemma omit-branch-mem-diff-formula:
     \mathbf{assumes} \ \langle \mathit{all-is-branch} \ \mathit{p} \ \mathit{i} \ \mathit{branch} \ \mathit{xs} \rangle \ \langle \mathit{q} \ \mathit{at} \ \mathit{i} \ \mathit{in} \ \mathit{branch} \rangle \ \langle \mathit{p} \neq \mathit{q} \rangle
     shows (q at i in omit-branch xs branch)
\langle proof \rangle
lemma Dup-omit-branch-mem:
     assumes \langle Dup \ p \ i \ branch \ xs \rangle \ \langle q \ at \ a \ in \ branch \rangle
     shows (q at a in omit-branch xs branch)
     \langle proof \rangle
lemma omit-set: \langle set \ (omit \ xs \ ps) \subseteq set \ ps \rangle
      \langle proof \rangle
lemma on-omit: \langle p \text{ on } (omit \ xs \ ps, \ i) \Longrightarrow p \text{ on } (ps, \ i) \rangle
     \langle proof \rangle
lemma all-is-set:
     \mathbf{assumes} \ \langle \mathit{all-is} \ p \ \mathit{ps} \ \mathit{xs} \rangle
     shows \langle \{p\} \cup set \ (omit \ xs \ ps) = \{p\} \cup set \ ps \rangle
     \langle proof \rangle
lemma all-is-list-nominals:
```

```
assumes \langle all\text{-}is\ p\ ps\ xs \rangle
  shows (nominals p \cup list-nominals (omit xs ps) = nominals p \cup list-nominals
ps\rangle
  \langle proof \rangle
lemma all-is-block-nominals:
  \mathbf{assumes} \ \langle \mathit{all-is} \ p \ \mathit{ps} \ \mathit{xs} \rangle
 shows (nominals p \cup block-nominals (omit xs ps, i) = nominals p \cup block-nominals
(ps, i)
  \langle proof \rangle
lemma all-is-branch-nominals':
  assumes \langle all\text{-}is\text{-}branch\ p\ i\ branch\ xs \rangle
  shows
    (nominals \ p \cup branch-nominals \ (omit-branch \ xs \ branch) =
     nominals p \cup branch-nominals branch
\langle proof \rangle
lemma Dup-branch-nominals:
  assumes \langle Dup \ p \ i \ branch \ xs \rangle
  shows \langle branch-nominals \ (omit-branch \ xs \ branch) = branch-nominals \ branch \rangle
\langle proof \rangle
{f lemma} omit-branch-mem-dual:
  assumes (p at i in omit-branch xs branch)
  shows (p at i in branch)
\langle proof \rangle
lemma witnessed-omit-branch:
  assumes (witnessed p a (omit-branch xs branch))
  shows (witnessed p a branch)
\langle proof \rangle
lemma new-omit-branch:
  assumes \langle new \ p \ a \ branch \rangle
  shows (new p a (omit-branch xs branch))
  \langle proof \rangle
lemma omit-oob:
  assumes \langle length \ ps \leq v \rangle
  shows \langle omit \ (\{v\} \cup xs) \ ps = omit \ xs \ ps \rangle
  \langle proof \rangle
lemma omit-branch-oob:
  assumes \langle length \ branch \leq v \rangle
  shows \langle omit\text{-}branch\ (\{(v, v')\} \cup xs)\ branch = omit\text{-}branch\ xs\ branch\rangle
  \langle proof \rangle
```

#### 7.3 Induction

assumes

```
lemma STA-Dup:
  assumes \langle A, n \vdash branch \rangle \langle Dup \ q \ i \ branch \ xs \rangle
  shows \langle A, n \vdash omit\text{-}branch \ xs \ branch \rangle
  \langle proof \rangle
theorem Dup:
  assumes \langle A, n \vdash (p \# ps, a) \# branch \rangle \langle \neg new p \ a \ ((ps, a) \# branch) \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
\langle proof \rangle
7.4
         Unrestricted rules
lemma STA-add: \langle A, n \vdash branch \Longrightarrow A, m + n \vdash branch \rangle
  \langle proof \rangle
lemma STA-le: \langle A, n \vdash branch \Longrightarrow n \leq m \Longrightarrow A, m \vdash branch \rangle
lemma Neg':
  assumes
     \langle (\neg \neg p) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
    \langle A, n \vdash (p \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
  \langle proof \rangle
lemma DisP':
  assumes
     \langle (p \lor q) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash (p \# ps, a) \# branch \rangle \langle A, n \vdash (q \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
\langle proof \rangle
lemma DisP'':
  assumes
    \langle (p \lor q) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash (p \# ps, a) \# branch \rangle \langle A, m \vdash (q \# ps, a) \# branch \rangle
  shows \langle A, max \ n \ m \vdash (ps, a) \# branch \rangle
\langle proof \rangle
lemma DisN':
  assumes
     \langle (\neg (p \lor q)) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash ((\neg q) \# (\neg p) \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
\langle proof \rangle
lemma DiaP':
```

```
\langle (\lozenge p) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle i \notin A \cup branch-nominals ((ps, a) \# branch) \rangle
     \langle \nexists a. p = Nom a \rangle
     \langle \neg witnessed p \ a \ ((ps, a) \# branch) \rangle
     \langle A, n \vdash ((@ i p) \# (\lozenge Nom i) \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
  \langle proof \rangle
lemma DiaN':
  assumes
     \langle (\neg (\Diamond p)) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle (\lozenge Nom \ i) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash ((\neg (@ i p)) \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
  \langle proof \rangle
lemma SatP':
  assumes
     \langle (@ \ a \ p) \ at \ b \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash (p \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
  \langle proof \rangle
lemma SatN':
  assumes
     \langle (\neg (@ a p)) \ at \ b \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle A, n \vdash ((\neg p) \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
  \langle proof \rangle
lemma Nom':
  assumes
    \langle p \ at \ b \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle Nom \ a \ at \ b \ in \ (ps, \ a) \ \# \ branch \rangle
     \langle \forall i. \ p = Nom \ i \lor p = (\lozenge \ Nom \ i) \longrightarrow i \in A \rangle
     \langle A, n \vdash (p \# ps, a) \# branch \rangle
  shows \langle A, n \vdash (ps, a) \# branch \rangle
\langle proof \rangle
8
        Substitution
lemma finite-nominals: (finite (nominals p))
  \langle proof \rangle
lemma finite-block-nominals: ⟨finite (block-nominals block)⟩
  \langle proof \rangle
lemma finite-branch-nominals: (finite (branch-nominals branch))
```

 $\langle proof \rangle$ 

```
abbreviation sub-list :: \langle ('b \Rightarrow 'c) \Rightarrow ('a, 'b) \text{ fm list} \Rightarrow ('a, 'c) \text{ fm list} \rangle where
   \langle sub\text{-}list f ps \equiv map (sub f) ps \rangle
primrec sub\text{-}block :: \langle ('b \Rightarrow 'c) \Rightarrow ('a, 'b) \ block \Rightarrow ('a, 'c) \ block \rangle where
   \langle sub\text{-}block \ f \ (ps, \ i) = (sub\text{-}list \ f \ ps, \ f \ i) \rangle
definition sub-branch :: \langle ('b \Rightarrow 'c) \Rightarrow ('a, 'b) \ branch \Rightarrow ('a, 'c) \ branch \rangle where
   \langle sub\text{-}branch\ f\ blocks \equiv map\ (sub\text{-}block\ f)\ blocks \rangle
lemma sub-block-mem: \langle p \ on \ block \implies sub \ f \ p \ on \ sub-block \ f \ block \rangle
   \langle proof \rangle
\mathbf{lemma}\ \mathit{sub-branch-mem}\colon
  assumes \langle (ps, i) \in branch \rangle
  shows \langle (sub\text{-}list\ f\ ps,\ f\ i) \in sub\text{-}branch\ f\ branch \rangle
   \langle proof \rangle
lemma sub\-block\-nominals: \langle block\-nominals (sub\-block\ f\ block) = f 'block\-nominals
block
  \langle proof \rangle
lemma sub-branch-nominals:
   \langle branch-nominals\ (sub-branch\ f\ branch) = f\ `branch-nominals\ branch\rangle
   \langle proof \rangle
lemma sub-list-id: \langle sub-list id ps = ps \rangle
   \langle proof \rangle
\mathbf{lemma} \ \mathit{sub-block-id:} \ \langle \mathit{sub-block} \ \mathit{id} \ \mathit{block} = \mathit{block} \rangle
   \langle proof \rangle
lemma sub-branch-id: \langle sub-branch id branch = branch \rangle
   \langle proof \rangle
lemma sub-block-upd-fresh:
  assumes \langle i \notin block\text{-}nominals\ block \rangle
  shows \langle sub\text{-}block \ (f(i:=j)) \ block = sub\text{-}block \ f \ block \rangle
   \langle proof \rangle
lemma sub-branch-upd-fresh:
  \mathbf{assumes} \ \langle i \not\in \mathit{branch-nominals} \ \mathit{branch} \rangle
  shows \langle sub\text{-}branch\ (f(i:=j))\ branch = sub\text{-}branch\ f\ branch \rangle
   \langle proof \rangle
lemma sub\text{-}comp: \langle sub\ f\ (sub\ g\ p) = sub\ (f\ o\ g)\ p \rangle
lemma sub-list-comp: \langle sub-list\ f\ (sub-list\ g\ ps) = sub-list\ (f\ o\ g)\ ps \rangle
```

```
 \langle proof \rangle   | \mathbf{lemma} \ sub\text{-}block\text{-}comp \colon (sub\text{-}block\ f\ (sub\text{-}block\ g\ block)) = sub\text{-}block\ (f\ o\ g)\ block} \rangle   \langle proof \rangle   | \mathbf{lemma} \ sub\text{-}branch\text{-}comp \colon (sub\text{-}branch\ g\ branch) = sub\text{-}branch\ (f\ o\ g)\ branch} \rangle   \langle proof \rangle   | \mathbf{lemma} \ swap\text{-}id \colon (id(i:=j,j:=i))\ o\ (id(i:=j,j:=i)) = id \rangle   \langle proof \rangle   | \mathbf{lemma} \ at\text{-}in\text{-}sub\text{-}branch \colon   \mathbf{assumes}\ (p\ at\ i\ in\ (ps,\ a)\ \#\ branch \rangle   \mathbf{shows}\ (sub\ f\ p\ at\ f\ i\ in\ (sub\text{-}list\ f\ ps,\ f\ a)\ \#\ sub\text{-}branch\ f\ branch \rangle   \langle proof \rangle   | \mathbf{lemma} \ sub\text{-}still\text{-}allowed \colon   \mathbf{assumes}\ \langle \forall\ i.\ p\ =\ Nom\ i\ \vee\ p\ =\ (\Diamond\ Nom\ i)\ \longrightarrow\ i\ \in\ A \rangle   \mathbf{shows}\ (sub\ f\ p\ =\ Nom\ i\ \vee\ sub\ f\ p\ =\ (\Diamond\ Nom\ i)\ \longrightarrow\ i\ \in\ f\ '\ A \rangle   \langle proof \rangle
```

If a branch has a closing tableau then so does any branch obtained by renaming nominals as long as the substitution leaves some nominals free. This is always the case for substitutions that do not change the type of nominals. Since some formulas on the renamed branch may no longer be new, they do not contribute any potential and so we existentially quantify over the potential needed to close the new branch. We assume that the set of allowed nominals A is finite such that we can obtain a free nominal.

```
lemma STA-sub':
   fixes f :: \langle b' \Rightarrow c' \rangle
   assumes (\bigwedge(f :: 'b \Rightarrow 'c) \ i \ A. \ finite \ A \Longrightarrow i \notin A \Longrightarrow \exists j. \ j \notin f \ `A)
      \langle finite \ A \rangle \ \langle A, \ n \vdash branch \rangle
   shows \langle f : A \vdash sub\text{-}branch \ f \ branch \rangle
   \langle proof \rangle
\mathbf{lemma}\ \textit{ex-fresh-gt} \colon
   fixes f :: \langle b' \rangle \Rightarrow \langle c \rangle
   assumes (\exists g :: 'c \Rightarrow 'b. \ surj g) (finite A) (i \notin A)
   shows \langle \exists j. \ j \notin f \ `A \rangle
\langle proof \rangle
corollary STA-sub-gt:
   fixes f :: \langle b' \Rightarrow c' \rangle
   assumes \langle \exists g :: 'c \Rightarrow 'b. \ surj \ g \rangle \ \langle A \vdash branch \rangle
      \langle finite \ A \rangle \ \langle \forall \ i \in branch-nominals \ branch. \ f \ i \in f \ `A \longrightarrow i \in A \rangle
   shows \langle f : A \vdash sub\text{-}branch \ f \ branch \rangle
   \langle proof \rangle
```

```
 \begin{array}{l} \textbf{corollary } \textit{STA-sub-inf:} \\ \textbf{fixes } \textit{f} :: \langle 'b \Rightarrow 'c \rangle \\ \textbf{assumes } \langle \textit{infinite } (\textit{UNIV} :: 'c \ \textit{set}) \rangle \ \langle \textit{A} \vdash \textit{branch} \rangle \\ \langle \textit{finite } \textit{A} \rangle \ \langle \forall \ \textit{i} \in \textit{branch-nominals branch. } \textit{f} \ \textit{i} \in \textit{f} \ '\textit{A} \longrightarrow \textit{i} \in \textit{A} \rangle \\ \textbf{shows } \langle \textit{f} \ '\textit{A} \vdash \textit{sub-branch } \textit{f branch} \rangle \\ \langle \textit{proof} \rangle \\ \\ \textbf{corollary } \textit{STA-sub:} \\ \textbf{fixes } \textit{f} :: \langle 'b \Rightarrow 'b \rangle \\ \textbf{assumes } \langle \textit{A} \vdash \textit{branch} \rangle \ \langle \textit{finite } \textit{A} \rangle \\ \textbf{shows } \langle \textit{f} \ '\textit{A} \vdash \textit{sub-branch } \textit{f branch} \rangle \\ \langle \textit{proof} \rangle \\ \\ \\ \langle \textit{proof} \rangle \\ \end{aligned}
```

### 8.1 Unrestricted $(\lozenge)$ rule

```
lemma DiaP'':

assumes
 \langle (\lozenge \ p) \ at \ a \ in \ (ps, \ a) \ \# \ branch \rangle 
 \langle i \notin A \cup branch-nominals \ ((ps, \ a) \ \# \ branch ) \rangle \langle \nexists \ a. \ p = Nom \ a \rangle 
 \langle finite \ A \rangle 
 \langle A \vdash ((@ \ i \ p) \ \# \ (\lozenge \ Nom \ i) \ \# \ ps, \ a) \ \# \ branch \rangle 
 shows \ \langle A \vdash (ps, \ a) \ \# \ branch \rangle 
 \langle proof \rangle
```

## 9 Structural Properties

```
lemma block-nominals-branch:

assumes \langle block \in .branch \rangle

shows \langle block-nominals block \subseteq branch-nominals branch \rangle

\langle proof \rangle

lemma sub-block-fresh:

assumes \langle i \notin branch-nominals branch \rangle \langle block \in .branch \rangle

shows \langle sub-block (f(i:=j)) block = sub-block f block \rangle

\langle proof \rangle

lemma list-down-induct [consumes\ 1,\ case-names\ Start\ Cons]:

assumes \langle \forall\ y \in set\ ys.\ Q\ y \rangle \langle P\ (ys\ @\ xs) \rangle

\langle \bigwedge y\ xs.\ Q\ y \Longrightarrow P\ (y\ \#\ xs) \Longrightarrow P\ xs \rangle

shows \langle P\ xs \rangle
\langle proof \rangle
```

If the last block on a branch has opening nominal a and the last formulas on that block occur on another block alongside nominal a, then we can drop those formulas.

```
 \begin{array}{l} \textbf{lemma} \ \textit{STA-drop-prefix:} \\ \textbf{assumes} \ \langle \textit{set} \ \textit{ps} \subseteq \textit{set} \ \textit{qs} \rangle \ \langle (\textit{qs}, \ \textit{a}) \in . \ \textit{branch} \rangle \ \langle \textit{A}, \ \textit{n} \vdash (\textit{ps} \ @ \ \textit{ps'}, \ \textit{a}) \ \# \ \textit{branch} \rangle \\ \end{array}
```

```
shows \langle A, n \vdash (ps', a) \# branch \rangle
\langle proof \rangle
We can drop a block if it is subsumed by another block.
\mathbf{lemma} STA-drop-block:
  assumes
    \langle set\ ps \subseteq set\ ps' \rangle \langle (ps',\ a) \in branch \rangle
    \langle A, n \vdash (ps, a) \# branch \rangle
  shows \langle A, Suc \ n \vdash branch \rangle
  \langle proof \rangle
lemma STA-drop-block':
  assumes \langle A, n \vdash (ps, a) \# branch \rangle \langle (ps, a) \in branch \rangle
  shows \langle A, Suc \ n \vdash branch \rangle
  \langle proof \rangle
lemma sub-branch-image: \langle set \ (sub-branch \ f \ branch) = sub-block \ f \ `set \ branch \ )
  \langle proof \rangle
\mathbf{lemma} sub\text{-}block\text{-}repl:
  assumes \langle j \notin block-nominals\ block \rangle
  shows \langle i \notin block\text{-}nominals (sub\text{-}block (id(i := j, j := i)) block) \rangle
  \langle proof \rangle
lemma sub-branch-repl:
  assumes \langle j \notin branch-nominals branch \rangle
  shows \langle i \notin branch\text{-}nominals (sub\text{-}branch (id(i := j, j := i)) branch) \rangle
If a finite set of blocks has a closing tableau then so does any finite superset.
lemma STA-struct:
  fixes branch :: \langle ('a, 'b) \ branch \rangle
  assumes
     inf: \langle infinite\ (UNIV\ ::\ 'b\ set) \rangle and fin: \langle finite\ A \rangle and
    \langle A, n \vdash branch \rangle \langle set branch \subseteq set branch' \rangle
  shows \langle A \vdash branch' \rangle
  \langle proof \rangle
```

If a branch has a closing tableau then we can replace the formulas of the last block on that branch with any finite superset and still obtain a closing tableau.

```
lemma STA-struct-block:

fixes branch :: \langle ('a, 'b) \ branch \rangle

assumes

inf: \langle infinite \ (UNIV :: 'b \ set) \rangle and fin: \langle finite \ A \rangle and

\langle A, \ n \vdash (ps, \ a) \ \# \ branch \rangle \langle set \ ps \subseteq set \ ps' \rangle

shows \langle A \vdash (ps', \ a) \ \# \ branch \rangle

\langle proof \rangle
```

### 10 Bridge

We define a descendants k i branch relation on sets of indices. The sets are built on the index of a  $\Diamond$  Nom k on an i-block in branch and can be extended by indices of formula occurrences that can be thought of as descending from that  $\Diamond$  Nom k by application of either the  $(\neg \Diamond)$  or Nom rule.

We show that if we have nominals j and k on the same block in a closeable branch, then the branch obtained by the following transformation is also closeable: For every index v, if the formula at v is  $\lozenge$   $Nom\ k$ , replace it by  $\lozenge$   $Nom\ j$  and if it is  $\neg$  (@ k p) replace it by  $\neg$  (@ j p). There are no other cases.

From this transformation we can show admissibility of the Bridge rule under the assumption that j is an allowed nominal.

### 10.1 Replacing

```
abbreviation bridge' :: \langle b' \Rightarrow b' \Rightarrow (a, b) fm \Rightarrow (a, b) fm  where
         \langle bridge' k j p \equiv case p of
                 (\lozenge Nom \ k') \Rightarrow (if \ k = k' \ then \ (\lozenge Nom \ j) \ else \ (\lozenge Nom \ k'))
         |(\neg (@ k' q)) \Rightarrow (if k = k' then (\neg (@ j q)) else (\neg (@ k' q)))
       |p \Rightarrow p\rangle
abbreviation bridge ::
         (b \Rightarrow b \Rightarrow (nat \times nat) \ set \Rightarrow nat \Rightarrow nat \Rightarrow (a, b) \ fm \Rightarrow (a, b) \ fm \Rightarrow (b, b) \ fm \Rightarrow (
         \langle bridge \ k \ j \equiv mapper \ (bridge' \ k \ j) \rangle
lemma bridge-on-Nom:
         \langle Nom \ i \ on \ (ps, \ a) \Longrightarrow Nom \ i \ on \ (mapi \ (bridge \ k \ j \ xs \ v) \ ps, \ a) \rangle
         \langle proof \rangle
lemma bridge'-nominals:
         \langle nominals\ (bridge'\ k\ j\ p) \cup \{k,j\} = nominals\ p \cup \{k,j\} \rangle
\langle proof \rangle
lemma bridge-nominals:
         (nominals (bridge k \ j \ xs \ v \ v' \ p) \cup \{k, j\} = nominals \ p \cup \{k, j\})
\langle proof \rangle
lemma bridge-block-nominals:
         \langle block-nominals\ (mapi-block\ (bridge\ k\ j\ xs\ v)\ (ps,\ a))\cup\{k,\ j\}=0
             block-nominals (ps, a) \cup \{k, j\}
\langle proof \rangle
lemma bridge-branch-nominals:
         \langle branch-nominals\ (mapi-branch\ (bridge\ k\ j\ xs)\ branch) \cup \{k,\ j\} =
             branch-nominals branch \cup \{k, j\}
\langle proof \rangle
```

```
lemma at-in-mapi-branch:
  assumes \langle p \ at \ a \ in \ branch \rangle \ \langle p \neq Nom \ a \rangle
  shows (\exists v \ v'. \ f \ v \ v' \ p \ at \ a \ in \ mapi-branch \ f \ branch)
  \langle proof \rangle
lemma nom-at-in-bridge:
  fixes k j xs
  defines \langle f \equiv bridge \ k \ j \ xs \rangle
  assumes \langle Nom \ i \ at \ a \ in \ branch \rangle
  shows (Nom i at a in mapi-branch f branch)
\langle proof \rangle
\mathbf{lemma}\ nominals\text{-}mapi\text{-}branch\text{-}bridge:
  assumes \langle Nom \ k \ at \ j \ in \ branch \rangle
  shows \langle branch-nominals\ (mapi-branch\ (bridge\ k\ j\ xs)\ branch) = branch-nominals
branch
\langle proof \rangle
lemma bridge-proper-dia:
  assumes \langle \nexists a. p = Nom a \rangle
  shows \langle bridge\ k\ j\ xs\ v\ v'\ (\Diamond\ p) = (\Diamond\ p) \rangle
  \langle proof \rangle
lemma bridge-compl-cases:
  fixes k j xs v v' w w' p
  defines \langle q \equiv bridge \ k \ j \ xs \ v \ v' \ p \rangle and \langle q' \equiv bridge \ k \ j \ xs \ w \ w' \ (\neg \ p) \rangle
    \langle (q = (\lozenge \ Nom \ j) \land q' = (\neg \ (\lozenge \ Nom \ k))) \lor \rangle
 (\exists r. \ q = (\neg (@ j r)) \land q' = (\neg \neg (@ k r))) \lor
 (\exists r. q = (@ k r) \land q' = (\neg (@ j r))) \lor
      (q = p \land q' = (\neg p))
\langle proof \rangle
            Descendants
10.2
inductive descendants :: (b \Rightarrow b \Rightarrow (a, b) \text{ branch} \Rightarrow (nat \times nat) \text{ set} \Rightarrow book
where
  Initial:
  (branch !. v = Some (qs, i) \Longrightarrow qs !. v' = Some (\lozenge Nom k) \Longrightarrow
     descendants k i branch \{(v, v')\}
| Derived:
  (branch !. v = Some (qs, a) \Longrightarrow qs !. v' = Some (\neg (@ k p)) \Longrightarrow
     descendants k i branch xs \Longrightarrow (w, w') \in xs \Longrightarrow
     \mathit{branch} \mathrel{!.} w = \mathit{Some} \; (\mathit{rs}, \; a) \Longrightarrow \mathit{rs} \mathrel{!.} w' = \mathit{Some} \; (\lozenge \; \mathit{Nom} \; k) \Longrightarrow
     descendants k i branch (\{(v, v')\} \cup xs)
| Copied:
  \langle branch !. v = Some (qs, a) \Longrightarrow qs !. v' = Some p \Longrightarrow
     descendants k i branch xs \Longrightarrow (w, w') \in xs \Longrightarrow
```

```
branch!. w = Some (rs, b) \Longrightarrow rs!. w' = Some p \Longrightarrow
    Nom\ a\ at\ b\ in\ branch \Longrightarrow
    descendants k i branch (\{(v, v')\} \cup xs)
lemma descendants-initial:
  assumes \langle descendants \ k \ i \ branch \ xs \rangle
  shows (\exists (v, v') \in xs. \exists ps.
     branch !. v = Some (ps, i) \land ps !. v' = Some (\lozenge Nom k)
  \langle proof \rangle
lemma descendants-bounds-fst:
  assumes \langle descendants \ k \ i \ branch \ xs \rangle \ \langle (v, v') \in xs \rangle
  shows \langle v < length \ branch \rangle
  \langle proof \rangle
lemma descendants-bounds-snd:
  assumes \langle descendants \ k \ i \ branch \ xs \rangle \ \langle (v, v') \in xs \rangle \ \langle branch \ !. \ v = Some \ (ps, \ a) \rangle
  shows \langle v' < length ps \rangle
  \langle proof \rangle
lemma descendants-branch:
  \langle descendants \ k \ i \ branch \ xs \implies descendants \ k \ i \ (extra @ branch) \ xs \rangle
\langle proof \rangle
lemma descendants-block:
  assumes \langle descendants \ k \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
  shows \langle descendants \ k \ i \ ((ps' @ ps, \ a) \ \# \ branch) \ xs \rangle
  \langle proof \rangle
lemma descendants-no-head:
  assumes \langle descendants \ k \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
  shows \langle descendants \ k \ i \ ((p \# ps, a) \# branch) \ xs \rangle
  \langle proof \rangle
lemma descendants-types:
    \langle descendants \ k \ i \ branch \ xs \rangle \ \langle (v, \ v \ ') \in xs \rangle
    \langle branch !. v = Some (ps, a) \rangle \langle ps !. v' = Some p \rangle
  shows \langle p = (\lozenge Nom \ k) \lor (\exists \ q. \ p = (\neg (@ k \ q))) \rangle
  \langle proof \rangle
lemma descendants-oob-head':
  assumes \langle descendants \ k \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
  shows \langle (length\ branch,\ m + length\ ps) \notin xs \rangle
  \langle proof \rangle
lemma descendants-oob-head:
  assumes \langle descendants \ k \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
  shows \langle (length \ branch, \ length \ ps) \notin xs \rangle
```

 $\langle proof \rangle$ 

#### 10.3 Induction

We induct over an arbitrary set of indices. That way, we can determine in each case whether the extension gets replaced or not by manipulating the set before applying the induction hypothesis.

```
lemma STA-bridge':
  fixes a :: 'b
  assumes
     inf: \langle infinite\ (UNIV:: 'b\ set) \rangle and fin: \langle finite\ A \rangle and \langle j \in A \rangle
     \langle A, n \vdash (ps, a) \# branch \rangle
     \langle descendants \ k \ i \ ((ps, \ a) \ \# \ branch) \ xs \rangle
     \langle Nom \ k \ at \ j \ in \ branch \rangle
  shows \langle A \vdash mapi-branch \ (bridge \ k \ j \ xs) \ ((ps, \ a) \ \# \ branch) \rangle
   \langle proof \rangle
lemma STA-bridge:
  fixes i :: 'b
  assumes
     inf: \langle infinite\ (UNIV:: 'b\ set) \rangle and
     \langle A \vdash branch \rangle \langle descendants \ k \ i \ branch \ xs \rangle
     \langle Nom \ k \ at \ j \ in \ branch \rangle
     \langle finite \ A \rangle \ \langle j \in A \rangle
  shows \langle A \vdash mapi-branch \ (bridge \ k \ j \ xs) \ branch \rangle
\langle proof \rangle
```

### 10.4 Derivation

```
theorem Bridge:
fixes i::'b
assumes inf: \langle infinite\ (UNIV::'b\ set) \rangle and fin: \langle finite\ A \rangle and \langle j \in A \rangle
\langle Nom\ k\ at\ j\ in\ (ps,\ i)\ \#\ branch \rangle\ \langle (\lozenge\ Nom\ j)\ at\ i\ in\ (ps,\ i)\ \#\ branch \rangle
\langle A \vdash ((\lozenge\ Nom\ k)\ \#\ ps,\ i)\ \#\ branch \rangle
shows\ \langle A \vdash (ps,\ i)\ \#\ branch \rangle
\langle proof \rangle
```

### 11 Completeness

#### 11.1 Hintikka

```
abbreviation at-in-set :: \langle ('a, 'b) \ fm \Rightarrow 'b \Rightarrow ('a, 'b) \ block \ set \Rightarrow bool \rangle where \langle at\text{-}in\text{-}set \ p \ a \ S \equiv \exists \ ps. \ (ps, \ a) \in S \land p \ on \ (ps, \ a) \rangle
notation at-in-set \langle (-at - in'' \rightarrow [51, 51, 51] \ 50)
```

A set of blocks is Hintikka if it satisfies the following requirements. Intuitively, if it corresponds to an exhausted open branch with respect to the

fixed set of allowed nominals A. For example, we only require symmetry, "if j occurs at i then i occurs at j" if  $i \in A$ .

```
locale Hintikka =
   fixes A :: \langle b \mid set \rangle and H :: \langle (a, b) \mid block \mid set \rangle assumes
     ProP: \langle Nom \ j \ at \ i \ in' \ H \Longrightarrow Pro \ x \ at \ j \ in' \ H \Longrightarrow \neg \ (\neg Pro \ x) \ at \ i \ in' \ H \rangle and
      NomP: \langle Nom \ a \ at \ i \ in' \ H \Longrightarrow \neg \ (\neg \ Nom \ a) \ at \ i \ in' \ H \rangle and
      NegN: \langle (\neg \neg p) \ at \ i \ in' \ H \Longrightarrow p \ at \ i \ in' \ H \rangle and
      DisP: \langle (p \lor q) \ at \ i \ in' \ H \Longrightarrow p \ at \ i \ in' \ H \lor q \ at \ i \ in' \ H \rangle and
      DisN: \langle (\neg (p \lor q)) \text{ at } i \text{ in' } H \Longrightarrow (\neg p) \text{ at } i \text{ in' } H \land (\neg q) \text{ at } i \text{ in' } H \rangle and
      DiaP: \langle \nexists a. \ p = Nom \ a \Longrightarrow (\Diamond \ p) \ at \ i \ in' \ H \Longrightarrow
        \exists j. \ (\lozenge \ Nom \ j) \ at \ i \ in' \ H \land (@ \ j \ p) \ at \ i \ in' \ H >  and
     DiaN: \langle (\neg (\Diamond p)) \ at \ i \ in' \ H \Longrightarrow (\Diamond Nom \ j) \ at \ i \ in' \ H \Longrightarrow (\neg (@ j \ p)) \ at \ i \ in'
      SatP: \langle (@ i p) \ at \ a \ in' \ H \Longrightarrow p \ at \ i \ in' \ H \rangle and
      SatN: \langle (\neg (@ i p)) \ at \ a \ in' \ H \Longrightarrow (\neg p) \ at \ i \ in' \ H \rangle and
      Go To: (i \in nominals \ p \Longrightarrow \exists \ a. \ p \ at \ a \ in' \ H \Longrightarrow \exists \ ps. \ (ps, \ i) \in H) and
      Nom: \forall a. \ p = Nom \ a \lor p = (\lozenge \ Nom \ a) \longrightarrow a \in A \Longrightarrow
        p \ at \ i \ in' \ H \Longrightarrow Nom \ j \ at \ i \ in' \ H \Longrightarrow p \ at \ j \ in' \ H
Two nominals i and j are equivalent in respect to a Hintikka set H if H
contains an i-block with j on it. This is an equivalence relation on the
names in H intersected with the allowed nominals A.
definition hequiv :: \langle ('a, 'b) | block set \Rightarrow 'b \Rightarrow 'b \Rightarrow bool \rangle where
   \langle hequiv \ H \ i \ j \equiv Nom \ j \ at \ i \ in' \ H \rangle
abbreviation hequiv-rel :: (b \ set \Rightarrow (a, b) \ block \ set \Rightarrow (b \times b) \ set) where
   \langle hequiv\text{-rel }A | H \equiv \{(i,j) | i j. hequiv H | i j \land i \in A \land j \in A \} \rangle
definition names :: \langle ('a, 'b) | block | set \Rightarrow 'b | set \rangle where
   \langle names \ H \equiv \{i \mid ps \ i. \ (ps, \ i) \in H\} \rangle
lemma hequiv-refl: \langle i \in names \ H \implies hequiv \ H \ i \ i \rangle
   \langle proof \rangle
lemma hequiv-refl': \langle (ps, i) \in H \implies hequiv H i i \rangle
   \langle proof \rangle
lemma hequiv-sym':
   assumes \langle Hintikka \ A \ H \rangle \ \langle i \in A \rangle \ \langle hequiv \ H \ i \ j \rangle
   shows \langle hequiv \ H \ j \ i \rangle
\langle proof \rangle
lemma hequiv-sym: (Hintikka A \ H \Longrightarrow i \in A \Longrightarrow j \in A \Longrightarrow hequiv \ H \ i \ j \longleftrightarrow
hequiv H j i>
   \langle proof \rangle
lemma hequiv-trans:
   \mathbf{assumes} \ \langle \mathit{Hintikka} \ \mathit{A} \ \mathit{H} \rangle \ \langle \mathit{i} \in \mathit{A} \rangle \ \langle \mathit{k} \in \mathit{A} \rangle \ \langle \mathit{hequiv} \ \mathit{H} \ \mathit{i} \ \mathit{j} \rangle \ \langle \mathit{hequiv} \ \mathit{H} \ \mathit{j} \ \mathit{k} \rangle
```

shows (hequiv H i k)

```
\langle proof \rangle
lemma hequiv-names: \langle hequiv \ H \ i \ j \Longrightarrow i \in names \ H \rangle
lemma hequiv-names-rel:
  assumes \langle Hintikka \ A \ H \rangle
  shows \langle hequiv\text{-rel } A \ H \subseteq names \ H \times names \ H \rangle
  \langle proof \rangle
lemma hequiv-refl-rel:
  assumes \langle Hintikka \ A \ H \rangle
  shows \langle refl\text{-}on \ (names \ H \cap A) \ (hequiv\text{-}rel \ A \ H) \rangle
  \langle proof \rangle
lemma hequiv-sym-rel: \langle Hintikka \ A \ H \Longrightarrow sym \ (hequiv-rel \ A \ H) \rangle
lemma hequiv-trans-rel: \langle Hintikka \ B \ A \Longrightarrow trans \ (hequiv-rel \ B \ A) \rangle
lemma hequiv-rel: \langle Hintikka \ A \ H \Longrightarrow equiv \ (names \ H \cap A) \ (hequiv-rel \ A \ H) \rangle
  \langle proof \rangle
lemma nominal-in-names:
  assumes \langle Hintikka \ A \ H \rangle \ \langle \exists \ block \in H. \ i \in block-nominals \ block \rangle
  shows \langle i \in names H \rangle
  \langle proof \rangle
```

#### 11.1.1 Named model

Given a Hintikka set H, a formula p on a block in H and a set of allowed nominals A which contains all "root-like" nominals in p we construct a model that satisfies p.

The worlds of our model are sets of equivalent nominals and nominals are assigned to the equivalence class of an equivalent allowed nominal. This definition resembles the "ur-father" notion.

From a world is, we can reach a world js iff there is an  $i \in is$  and a  $j \in js$  s.t. there is an i-block in H with  $\lozenge$   $Nom\ j$  on it.

A propositional symbol p is true in a world is if there exists an  $i \in is$  s.t. p occurs on an i-block in H.

```
definition assign :: ('b set \Rightarrow ('a, 'b) block set \Rightarrow 'b \Rightarrow 'b set) where (assign A \ H \ i \equiv if \ \exists \ a. \ a \in A \land Nom \ a \ at \ i \ in' \ H then proj (hequiv-rel A \ H) (SOME a. \ a \in A \land Nom \ a \ at \ i \ in' \ H) else \{i\})
```

**definition** reach ::  $('b \ set \Rightarrow ('a, \ 'b) \ block \ set \Rightarrow 'b \ set \Rightarrow 'b \ set \ set)$  where

```
\langle reach \ A \ H \ is \equiv \{ assign \ A \ H \ j \ | i \ j. \ i \in is \land (\lozenge \ Nom \ j) \ at \ i \ in' \ H \} \rangle
definition val :: \langle ('a, 'b) \ block \ set \Rightarrow 'b \ set \Rightarrow 'a \Rightarrow bool \rangle where
   \langle val \ H \ is \ x \equiv \exists \ i \in is. \ Pro \ x \ at \ i \ in' \ H \rangle
lemma ex-assignment:
  assumes \langle Hintikka \ A \ H \rangle
   shows \langle assign \ A \ H \ i \neq \{\} \rangle
\langle proof \rangle
lemma ur-closure:
  assumes \langle Hintikka \ A \ H \rangle \langle p \ at \ i \ in' \ H \rangle \langle \forall \ a. \ p = Nom \ a \lor p = (\lozenge \ Nom \ a) \longrightarrow
  shows \forall a \in assign \ A \ H \ i. \ p \ at \ a \ in' \ H \rangle
\langle proof \rangle
lemma ur-closure':
  assumes \langle Hintikka \ A \ H \rangle \langle p \ at \ i \ in' \ H \rangle \langle \forall \ a. \ p = Nom \ a \ \lor \ p = (\lozenge \ Nom \ a) \longrightarrow
  shows \langle \exists a \in assign \ A \ H \ i. \ p \ at \ a \ in' \ H \rangle
\langle proof \rangle
lemma mem-hequiv-rel: \langle a \in proj \ (hequiv-rel \ A \ H) \ b \Longrightarrow a \in A \rangle
   \langle proof \rangle
lemma hequiv-proj:
   assumes \langle Hintikka \ A \ H \rangle
     \langle Nom \ a \ at \ i \ in' \ H \rangle \ \langle a \in A \rangle \ \langle Nom \ b \ at \ i \ in' \ H \rangle \ \langle b \in A \rangle
  shows \langle proj \ (hequiv-rel \ A \ H) \ a = proj \ (hequiv-rel \ A \ H) \ b \rangle
\langle proof \rangle
lemma hequiv-proj-opening:
  assumes \langle Hintikka \ A \ H \rangle \langle Nom \ a \ at \ i \ in' \ H \rangle \langle a \in A \rangle \langle i \in A \rangle
  shows \langle proj \ (hequiv-rel \ A \ H) \ a = proj \ (hequiv-rel \ A \ H) \ i \rangle
   \langle proof \rangle
lemma assign-proj-refl:
   assumes \langle Hintikka \ A \ H \rangle \langle Nom \ i \ at \ i \ in' \ H \rangle \langle i \in A \rangle
   shows \langle assign \ A \ H \ i = proj \ (hequiv-rel \ A \ H) \ i \rangle
\langle proof \rangle
lemma assign-named:
   assumes \langle Hintikka \ A \ H \rangle \ \langle i \in proj \ (hequiv-rel \ A \ H) \ a \rangle
  \mathbf{shows} \ \langle i \in \mathit{names} \ H \rangle
   \langle proof \rangle
lemma assign-unique:
   assumes \langle Hintikka \ A \ H \rangle \ \langle a \in assign \ A \ H \ i \rangle
  shows \langle assign \ A \ H \ a = assign \ A \ H \ i \rangle
```

```
\langle proof \rangle
\mathbf{lemma} \ assign\text{-}val\text{:}
\mathbf{assumes}
\langle Hintikka \ A \ H \rangle \ \langle Pro \ x \ at \ a \ in' \ H \rangle \ \langle (\neg \ Pro \ x) \ at \ i \ in' \ H \rangle
\langle a \in assign \ A \ H \ i \rangle \ \langle i \in names \ H \rangle
\mathbf{shows} \ False
\langle proof \rangle
\mathbf{lemma} \ Hintikka\text{-}model\text{:}
\mathbf{assumes} \ \langle Hintikka \ A \ H \rangle
\mathbf{shows}
\langle p \ at \ i \ in' \ H \implies nominals \ p \subseteq A \implies
\langle model \ (reach \ A \ H) \ (val \ H), \ assign \ A \ H, \ assign \ A \ H \ i \models p \rangle
\langle (\neg \ p) \ at \ i \ in' \ H \implies nominals \ p \subseteq A \implies
\neg \ Model \ (reach \ A \ H) \ (val \ H), \ assign \ A \ H, \ assign \ A \ H \ i \models p \rangle
\langle proof \rangle
```

#### 11.2 Lindenbaum-Henkin

A set of blocks is consistent if no finite subset can be derived. Given a consistent set of blocks we are going to extend it to be saturated and maximally consistent and show that is then Hintikka. All definitions are with respect to the set of allowed nominals.

```
definition consistent :: \langle b \mid set \Rightarrow (a, b) \mid block \mid set \Rightarrow bool \rangle where
  \langle consistent\ A\ S \equiv \nexists\ S'.\ set\ S' \subseteq S \land A \vdash S' \rangle
instance \ fm :: (countable, countable) \ countable
  \langle proof \rangle
definition proper-dia :: \langle ('a, 'b) fm \Rightarrow ('a, 'b) fm \ option \rangle where
  \langle proper-dia\ p \equiv case\ p\ of\ (\Diamond\ p) \Rightarrow (if\ \nexists\ a.\ p = Nom\ a\ then\ Some\ p\ else\ None)\ |
lemma proper-dia: \langle proper-dia | p = Some | q \Longrightarrow p = (\lozenge | q) \land (\nexists | a. | q = Nom | a) \rangle
The following function witnesses each \Diamond p in a fresh world.
primrec witness-list :: \langle ('a, 'b) \ fm \ list \Rightarrow 'b \ set \Rightarrow ('a, 'b) \ fm \ list \rangle where
  \langle witness-list \mid - = \mid \rangle
| \langle witness\text{-}list \ (p \# ps) \ used =
     (case proper-dia p of
       None \Rightarrow witness-list ps used
    \mid Some \ q \Rightarrow
          let \ i = SOME \ i. \ i \not\in used
          in \ (@ \ i \ q) \ \# \ (\lozenge \ Nom \ i) \ \# \ witness-list \ ps \ (\{i\} \cup used)) \rangle
primrec witness :: \langle ('a, 'b) \ block \Rightarrow 'b \ set \Rightarrow ('a, 'b) \ block \rangle where
```

```
\langle witness\ (ps,\ a)\ used = (witness-list\ ps\ used,\ a) \rangle
\mathbf{lemma}\ \mathit{witness-list}\colon
  \langle proper-dia\ p=Some\ q \Longrightarrow witness-list\ (p\ \#\ ps)\ used=
    (let i = SOME i. i \notin used
      in (@ i q) # (\Diamond Nom i) # witness-list ps (\{i\} \cup used))
  \langle proof \rangle
primrec extend ::
  (b \ set \Rightarrow ('a, 'b) \ block \ set \Rightarrow (nat \Rightarrow ('a, 'b) \ block) \Rightarrow nat \Rightarrow ('a, 'b) \ block \ set)
where
  \langle extend \ A \ S \ f \ \theta = S \rangle
| \langle extend \ A \ S \ f \ (Suc \ n) | =
    (if \neg consistent \ A \ (\{f \ n\} \cup extend \ A \ S \ f \ n)
      then extend A S f n
       let used = A \cup (\bigcup block \in \{f \ n\} \cup extend \ A \ S \ f \ n. \ block-nominals \ block)
       in \{f n, witness (f n) used\} \cup extend A S f n \}
definition Extend ::
  (b \ set \Rightarrow (a, b) \ block \ set \Rightarrow (nat \Rightarrow (a, b) \ block) \Rightarrow (a, b) \ block \ set) where
  \langle Extend \ A \ S \ f \equiv (\bigcup n. \ extend \ A \ S \ f \ n) \rangle
lemma extend-chain: \langle extend\ A\ S\ f\ n\subseteq extend\ A\ S\ f\ (Suc\ n)\rangle
  \langle proof \rangle
lemma extend-mem: \langle S \subseteq extend \ A \ S \ f \ n \rangle
  \langle proof \rangle
lemma Extend-mem: \langle S \subseteq Extend \ A \ S \ f \rangle
  \langle proof \rangle
11.2.1
               Consistency
lemma split-list:
  (set\ A\subseteq \{x\}\cup X\Longrightarrow x\in A\Longrightarrow \exists\ B.\ set\ (x\ \#\ B)=set\ A\land x\notin set\ B)
  \langle proof \rangle
lemma consistent-drop-single:
  fixes a :: 'b
  assumes
    inf: \langle infinite\ (UNIV:: 'b\ set) \rangle and
    fin: \langle finite \ A \rangle and
    cons: \langle consistent \ A \ (\{(p \# ps, a)\} \cup S) \rangle
  shows \langle consistent \ A \ (\{(ps, \ a)\} \cup S) \rangle
  \langle proof \rangle
lemma consistent-drop-block: \langle consistent \ A \ (\{block\} \cup S) \implies consistent \ A \ S \rangle
  \langle proof \rangle
```

```
lemma inconsistent-weaken: \neg consistent A S \Longrightarrow S \subseteq S' \Longrightarrow \neg consistent A S' \lor a
   \langle proof \rangle
lemma finite-nominals-set: \langle finite \ S \Longrightarrow finite \ ([\ ] \ block \in S. \ block-nominals \ block) \rangle
   \langle proof \rangle
lemma witness-list-used:
   fixes i :: 'b
  assumes inf: \langle infinite\ (UNIV\ ::\ 'b\ set) \rangle and \langle finite\ used \rangle\ \langle i\notin list-nominals\ ps \rangle
  shows \langle i \notin list\text{-}nominals (witness\text{-}list ps (\{i\} \cup used)) \rangle
   \langle proof \rangle
lemma witness-used:
  fixes i :: 'b
  assumes inf: (infinite (UNIV :: 'b set)) and
     \langle finite\ used \rangle\ \langle i \notin block-nominals\ block \rangle
  shows \langle i \notin block\text{-}nominals (witness block (\{i\} \cup used)) \rangle
   \langle proof \rangle
\mathbf{lemma}\ consistent\text{-}witness\text{-}list\text{:}
  fixes a :: 'b
  assumes inf: \langle infinite\ (UNIV\ ::\ 'b\ set) \rangle and \langle consistent\ A\ S \rangle
     \langle (ps, a) \in S \rangle \langle finite \ used \rangle \langle A \cup \bigcup \ (block-nominals \ `S) \subseteq used \rangle
  shows \langle consistent \ A \ (\{(witness-list \ ps \ used, \ a)\} \cup S) \rangle
   \langle proof \rangle
lemma consistent-witness:
  fixes block :: \langle ('a, 'b) \ block \rangle
  assumes (infinite (UNIV :: 'b set))
     \langle consistent\ A\ S \rangle \langle finite\ (\bigcup\ (block-nominals\ `S)) \rangle \langle block \in S \rangle \langle finite\ A \rangle
  shows \langle consistent \ A \ (\{witness \ block \ (A \cup \bigcup \ (block-nominals \ `S))\} \cup S) \rangle
   \langle proof \rangle
lemma consistent-extend:
  fixes S :: \langle ('a, 'b) \ block \ set \rangle
  assumes inf: \langle infinite\ (UNIV:: 'b\ set) \rangle and fin: \langle finite\ A \rangle and
     \langle consistent\ A\ (extend\ A\ S\ f\ n)\rangle \langle finite\ (\bigcup\ (block-nominals\ `extend\ A\ S\ f\ n))\rangle
  shows \langle consistent \ A \ (extend \ A \ S \ f \ (Suc \ n)) \rangle
\langle proof \rangle
lemma finite-nominals-extend:
  assumes \langle finite (\bigcup (block-nominals 'S)) \rangle
  shows \langle finite (\bigcup (block-nominals 'extend A S f n)) \rangle
   \langle proof \rangle
lemma consistent-extend':
  fixes S :: \langle ('a, 'b) \ block \ set \rangle
 \textbf{assumes} \ \langle infinite \ (\textit{UNIV} :: \textit{'b set}) \rangle \ \langle finite \ A \rangle \ \langle consistent \ A \ S \rangle \ \langle finite \ ( \bigcup \ (\textit{block-nominals}) \ \rangle \ \rangle \ \rangle
```

```
shows \langle consistent\ A\ (extend\ A\ S\ f\ n) \rangle
\langle proof \rangle

lemma UN-finite-bound:
assumes \langle finite\ A \rangle\ \langle A\subseteq (\bigcup\ n.\ f\ n) \rangle
shows \langle \exists\ m::\ nat.\ A\subseteq (\bigcup\ n\le m.\ f\ n) \rangle
\langle proof \rangle

lemma extend-bound: \langle (\bigcup\ n\le m.\ extend\ A\ S\ f\ n) = extend\ A\ S\ f\ m \rangle
\langle proof \rangle

lemma consistent-Extend:
fixes S::\langle ('a,\ 'b)\ block\ set \rangle
assumes inf:\langle infinite\ (UNIV::\ 'b\ set) \rangle and \langle finite\ A \rangle
\langle consistent\ A\ S \rangle\ \langle finite\ (\bigcup\ (block-nominals\ 'S)) \rangle
shows \langle consistent\ A\ (Extend\ A\ S\ f) \rangle
\langle proof \rangle
```

#### 11.2.2 Maximality

A set of blocks is maximally consistent if any proper extension makes it inconsistent.

```
definition maximal :: \langle 'b \ set \Rightarrow ('a, 'b) \ block \ set \Rightarrow bool \rangle where \langle maximal \ A \ S \equiv consistent \ A \ S \land (\forall \ block. \ block \notin S \longrightarrow \neg \ consistent \ A \ (\{block\} \cup S)) \rangle

lemma extend-not-mem: \langle f \ n \notin extend \ A \ S \ f \ (Suc \ n) \Longrightarrow \neg \ consistent \ A \ (\{f \ n\} \cup extend \ A \ S \ f \ n) \rangle
\langle proof \rangle

lemma maximal-Extend: fixes S :: \langle ('a, 'b) \ block \ set \rangle assumes inf: \langle infinite \ (UNIV :: 'b \ set) \rangle and \langle finite \ A \rangle
```

# 11.2.3 Saturation

 $\langle proof \rangle$ 

**shows**  $\langle maximal \ A \ (Extend \ A \ S \ f) \rangle$ 

A set of blocks is saturated if every  $\Diamond p$  is witnessed.

```
definition saturated :: \langle ('a, 'b) \ block \ set \Rightarrow bool \rangle where \langle saturated \ S \equiv \forall \ p \ i. \ (\lozenge \ p) \ at \ i \ in' \ S \longrightarrow (\nexists \ a. \ p = Nom \ a) \longrightarrow (\exists \ j. \ (@ \ j \ p) \ at \ i \ in' \ S \wedge (\lozenge \ Nom \ j) \ at \ i \ in' \ S) \rangle
```

 $\langle consistent \ A \ S \rangle \langle finite \ (\bigcup \ (block-nominals \ `S)) \rangle \langle surj \ f \rangle$ 

lemma witness-list-append:

 $(\exists \ extra. \ witness-list \ (ps @ qs) \ used = witness-list \ ps \ used @ witness-list \ qs \ (extra \cup used))$ 

```
\langle proof \rangle
\mathbf{lemma} \ ex-witness-list:
\mathbf{assumes} \ \langle p \in .ps \rangle \ \langle proper-dia \ p = Some \ q \rangle
\mathbf{shows} \ \langle \exists \ i. \ \{ @ \ i \ q, \ \langle \ Nom \ i \} \subseteq set \ (witness-list \ ps \ used) \rangle
\langle proof \rangle
\mathbf{lemma} \ saturated-Extend:
\mathbf{fixes} \ S :: \langle ('a, 'b) \ block \ set \rangle
\mathbf{assumes} \ inf: \ \langle infinite \ (UNIV :: 'b \ set) \rangle \ \mathbf{and} \ fin: \ \langle finite \ A \rangle \ \mathbf{and}
\langle consistent \ A \ S \rangle \ \langle finite \ (\bigcup \ (block-nominals \ 'S)) \rangle \ \langle surj \ f \rangle
\mathbf{shows} \ \langle saturated \ (Extend \ A \ S \ f) \rangle
\langle proof \rangle
```

### 11.3 Smullyan-Fitting

```
 \begin{array}{l} \textbf{lemma} \ \textit{Hintikka-Extend:} \\ \textbf{fixes} \ \textit{S} :: \langle ('a, 'b) \ \textit{block set} \rangle \\ \textbf{assumes} \ \textit{inf:} \ \langle \textit{infinite} \ (\textit{UNIV} :: 'b \ \textit{set}) \rangle \ \textbf{and} \ \textit{fin:} \ \langle \textit{finite} \ \textit{A} \rangle \ \textbf{and} \\ \ \langle \textit{maximal} \ \textit{A} \ \textit{S} \rangle \ \langle \textit{consistent} \ \textit{A} \ \textit{S} \rangle \ \langle \textit{saturated} \ \textit{S} \rangle \\ \textbf{shows} \ \langle \textit{Hintikka} \ \textit{A} \ \textit{S} \rangle \\ \ \langle \textit{proof} \ \rangle \\ \end{array}
```

#### 11.4 Result

```
theorem completeness:

fixes p :: \langle ('a :: countable, 'b :: countable) fm \rangle

assumes

inf : \langle infinite \ (UNIV :: 'b \ set) \rangle and

valid : \langle \forall \ (M :: ('b \ set, 'a) \ model) \ g \ w. \ M, \ g, \ w \models p \rangle

shows \langle nominals \ p, \ 1 \vdash [([\neg \ p], \ i)] \rangle

\langle proof \rangle
```

We arbitrarily fix nominal and propositional symbols to be natural numbers (any countably infinite type suffices) and define validity as truth in all models with sets of natural numbers as worlds. We show below that this implies validity for any type of worlds.

#### abbreviation

```
\langle valid \ p \equiv \forall (M :: (nat \ set, \ nat) \ model) \ (g :: nat \Rightarrow -) \ w. \ M, \ g, \ w \models p \rangle
```

A formula is valid iff its negation has a closing tableau from a fresh world. We can assume a single unit of potential and take the allowed nominals to be the root nominals.

```
theorem main:

assumes \langle i \notin nominals \ p \rangle

shows \langle valid \ p \longleftrightarrow nominals \ p, \ 1 \vdash [([\neg \ p], \ i)] \rangle

\langle proof \rangle
```

The restricted validity implies validity in general.

```
\begin{array}{l} \textbf{theorem} \ \ valid\text{-}semantics\text{:} \\ \langle valid \ p \longrightarrow M, \ g, \ w \models p \rangle \\ \langle proof \rangle \end{array}
```

 $\quad \text{end} \quad$ 

## References

- [1] P. Blackburn, T. Bolander, T. Braüner, and K. F. Jørgensen. Completeness and Termination for a Seligman-style Tableau System. *Journal of Logic and Computation*, 27(1):81–107, 2017.
- [2] K. F. Jørgensen, P. Blackburn, T. Bolander, and T. Braüner. Synthetic Completeness Proofs for Seligman-style Tableau Systems. In *Advances in Modal Logic*, volume 11, pages 302–321, 2016.