

Hoare Logics for Time Bounds

Maximilian P. L. Haslbeck Tobias Nipkow*

August 7, 2022

Abstract

We study three different Hoare logics for reasoning about time bounds of imperative programs and formalize them in Isabelle/HOL: a classical Hoare like logic due to Nielson, a logic with potentials due to Carbonneaux *et al.* and a *separation logic* following work by Atkey, Chagu erand and Pottier. These logics are formally shown to be sound and complete. Verification condition generators are developed and are shown sound and complete too. We also consider variants of the systems where we abstract from multiplicative constants in the running time bounds, thus supporting a big-O style of reasoning. Finally we compare the expressive power of the three systems.

An informal description is found in an accompanying report [HN18].

Contents

1	Arithmetic and Boolean Expressions	4
1.1	Arithmetic Expressions	4
1.2	Boolean Expressions	4
2	IMP — A Simple Imperative Language	5
2.1	Big-Step Semantics of Commands	5
2.2	Rule inversion	7
2.3	Command Equivalence	8
2.4	Execution is deterministic	9
3	Big Step Semantics with Time	9
3.1	Big-Step with Time Semantics of Commands	9
3.2	Rule inversion	10
3.3	Relation to Big-Step Semantics	11
3.4	Execution is deterministic	11

*Supported by DFG GRK 1480 (PUMA) and Koselleck Grant NI 491/16-1

4	Nielson Style Hoare Logic with logical variables	13
4.1	The support of an <code>assn2</code>	13
4.2	Validity	14
4.3	Hoare rules	14
4.4	Soundness	15
4.5	Completeness	16
4.6	Verification Condition Generator	18
4.7	The Variables in an Expression	27
4.8	Optimized Verification Condition Generator	29
4.9	Example: discrete square root in Nielson's logic	45
5	Quantitative Hoare Logic (due to Carbonneaux)	46
5.1	Validity of quantitative Hoare Triple	46
5.2	Hoare logic for quantiative reasoning	46
5.3	Soundness	47
5.4	Completeness	48
5.5	Verification Condition Generator	50
5.6	Examples	52
6	Quantitative Hoare Logic (big-O style)	53
6.1	Definition of Validity	53
6.2	Hoare Rules	53
6.3	Soundness	55
6.4	Completeness	56
6.5	Example	58
6.6	Verification Condition Generator	58
6.7	Examples for quantitative Hoare logic	61
6.8	Example: discrete square root in the quantitative Hoare logic	63
7	Partial States	64
7.1	Partial evaluation of expressions	64
7.2	Big step Semantics on partial states	70
7.3	Partial State	74
7.4	Dollar and Pointsto	74
7.5	Frame Inference	76
7.6	Expression evaluation	78
8	Hoare Logic based on Separation Logic and Time Credits	79
8.1	Definition of Validity	79
8.2	Hoare Rules	79
8.3	Soundness Proof	81
8.4	Completeness	81
8.5	Examples	83

9	Hoare Logic based on Separation Logic and Time Credits (big-O style)	84
9.1	Definition of Validity	84
9.2	Hoare Rules	84
9.3	Soundness	87
9.4	Completeness	88
10	Discussion	93
10.1	Relation between the explicit Hoare logics	93
10.2	Relation between the Hoare logics in big-O style	93
10.3	A General Validity Predicate with Time	94

1 Arithmetic and Boolean Expressions

theory *AExp* **imports** *Main* **begin**

1.1 Arithmetic Expressions

type_synonym *vname* = *string*

type_synonym *val* = *int*

type_synonym *state* = *vname* \Rightarrow *val*

datatype *aexp* = *N int* | *V vname* | *Plus aexp aexp* | *Times aexp aexp* |
Div aexp aexp

fun *aval* :: *aexp* \Rightarrow *state* \Rightarrow *val* **where**

aval (*N n*) *s* = *n* |

aval (*V x*) *s* = *s x* |

aval (*Plus a₁ a₂*) *s* = *aval a₁ s* + *aval a₂ s* |

aval (*Times a₁ a₂*) *s* = *aval a₁ s* * *aval a₂ s* |

aval (*Div a₁ a₂*) *s* = *aval a₁ s* *div* *aval a₂ s*

value *aval* (*Plus* (*V "x"*) (*N 5*)) ($\lambda x.$ *if* *x* = *"x"* *then* 7 *else* 0)

The same state more concisely:

value *aval* (*Plus* (*V "x"*) (*N 5*)) (($\lambda x.$ 0) (*"x"* := 7))

A little syntax magic to write larger states compactly:

definition *null_state* (<>) **where**

null_state \equiv $\lambda x.$ 0

syntax

State :: *updbinds* \Rightarrow 'a (<>)

translations

_State ms == *_Update* <> *ms*

_State (*_updbinds b bs*) <= *_Update* (*_State b*) *bs*

end

theory *BExp* **imports** *AExp* **begin**

1.2 Boolean Expressions

datatype *bexp* = *Bc bool* | *Not bexp* | *And bexp bexp* | *Less aexp aexp*

fun *bval* :: *bexp* \Rightarrow *state* \Rightarrow *bool* **where**

bval (*Bc v*) *s* = *v* |

bval (*Not b*) *s* = (\neg *bval b s*) |

```

bval (And  $b_1$   $b_2$ )  $s$  = (bval  $b_1$   $s$   $\wedge$  bval  $b_2$   $s$ ) |
bval (Less  $a_1$   $a_2$ )  $s$  = (aval  $a_1$   $s$  < aval  $a_2$   $s$ )

```

```

value bval (Less (V "x") (Plus (N 3) (V "y")))
    <"x" := 3, "y" := 1>

```

```

end

```

2 IMP — A Simple Imperative Language

```

theory Com imports BExp begin

```

```

datatype

```

```

  com = SKIP
    | Assign vname aexp      ( $\_ ::= \_ [1000, 61] 61$ )
    | Seq   com com         ( $\_ ;; \_ [60, 61] 60$ )
    | If    bexp com com    ( $((IF \_ / THEN \_ / ELSE \_) [0, 0, 61] 61)$ )
    | While bexp com        ( $((WHILE \_ / DO \_) [0, 61] 61)$ )

```

```

end

```

```

theory Big_Step imports Com begin

```

2.1 Big-Step Semantics of Commands

The big-step semantics is a straight-forward inductive definition with concrete syntax. Note that the first parameter is a tuple, so the syntax becomes $(c, s) \Rightarrow s'$.

```

inductive

```

```

  big_step :: com  $\times$  state  $\Rightarrow$  state  $\Rightarrow$  bool (infix  $\Rightarrow$  55)

```

```

where

```

```

Skip: (SKIP,  $s$ )  $\Rightarrow$   $s$  |
Assign: ( $x ::= a, s$ )  $\Rightarrow$   $s(x := \text{aval } a \ s)$  |
Seq:  $\llbracket (c_1, s_1) \Rightarrow s_2; (c_2, s_2) \Rightarrow s_3 \rrbracket \Longrightarrow (c_1 ;; c_2, s_1) \Rightarrow s_3$  |
IfTrue:  $\llbracket \text{bval } b \ s; (c_1, s) \Rightarrow t \rrbracket \Longrightarrow (IF \ b \ THEN \ c_1 \ ELSE \ c_2, s) \Rightarrow t$  |
IfFalse:  $\llbracket \neg \text{bval } b \ s; (c_2, s) \Rightarrow t \rrbracket \Longrightarrow (IF \ b \ THEN \ c_1 \ ELSE \ c_2, s) \Rightarrow t$  |
WhileFalse:  $\neg \text{bval } b \ s \Longrightarrow (WHILE \ b \ DO \ c, s) \Rightarrow s$  |
WhileTrue:
 $\llbracket \text{bval } b \ s_1; (c, s_1) \Rightarrow s_2; (WHILE \ b \ DO \ c, s_2) \Rightarrow s_3 \rrbracket$ 
 $\Longrightarrow (WHILE \ b \ DO \ c, s_1) \Rightarrow s_3$ 

```

We want to execute the big-step rules:

```

code_pred big_step <proof>

```

For inductive definitions we need command **values** instead of **value**.

values $\{t. (SKIP, \lambda_. 0) \Rightarrow t\}$

We need to translate the result state into a list to display it.

values $\{map\ t\ [\"x'\"]\ |t. (SKIP, \langle \"x'' := 42 \rangle) \Rightarrow t\}$

values $\{map\ t\ [\"x'\"]\ |t. (\"x'' ::= N\ 2, \langle \"x'' := 42 \rangle) \Rightarrow t\}$

values $\{map\ t\ [\"x'', 'y'']\ |t. (WHILE\ Less\ (V\ \"x'')\ (V\ \"y'')\ DO\ (\"x'' ::= Plus\ (V\ \"x'')\ (N\ 5)), \langle \"x'' := 0, \"y'' := 13 \rangle) \Rightarrow t\}$

Proof automation:

The introduction rules are good for automatically construction small program executions. The recursive cases may require backtracking, so we declare the set as unsafe intro rules.

declare *big_step.intros* [*intro*]

The standard induction rule

$$\begin{aligned} & \llbracket x1 \Rightarrow x2; \wedge s. P (SKIP, s) s; \wedge x a s. P (x ::= a, s) (s(x := aval\ a\ s)); \\ & \wedge c_1\ s_1\ s_2\ c_2\ s_3. \\ & \quad \llbracket (c_1, s_1) \Rightarrow s_2; P (c_1, s_1) s_2; (c_2, s_2) \Rightarrow s_3; P (c_2, s_2) s_3 \rrbracket \\ & \quad \Longrightarrow P (c_1;; c_2, s_1) s_3; \\ & \wedge b\ s\ c_1\ t\ c_2. \\ & \quad \llbracket bval\ b\ s; (c_1, s) \Rightarrow t; P (c_1, s) t \rrbracket \Longrightarrow P (IF\ b\ THEN\ c_1\ ELSE\ c_2, s) t; \\ & \wedge b\ s\ c_2\ t\ c_1. \\ & \quad \llbracket \neg\ bval\ b\ s; (c_2, s) \Rightarrow t; P (c_2, s) t \rrbracket \Longrightarrow P (IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \\ & t; \\ & \wedge b\ s\ c. \neg\ bval\ b\ s \Longrightarrow P (WHILE\ b\ DO\ c, s) s; \\ & \wedge b\ s_1\ c\ s_2\ s_3. \\ & \quad \llbracket bval\ b\ s_1; (c, s_1) \Rightarrow s_2; P (c, s_1) s_2; (WHILE\ b\ DO\ c, s_2) \Rightarrow s_3; \\ & \quad P (WHILE\ b\ DO\ c, s_2) s_3 \rrbracket \\ & \quad \Longrightarrow P (WHILE\ b\ DO\ c, s_1) s_3 \rrbracket \\ & \Longrightarrow P\ x1\ x2 \end{aligned}$$

thm *big_step.induct*

This induction schema is almost perfect for our purposes, but our trick for reusing the tuple syntax means that the induction schema has two parameters instead of the c , s , and s' that we are likely to encounter. Splitting the tuple parameter fixes this:

lemmas *big_step_induct* = *big_step.induct*[*split_format*(*complete*)]

thm *big_step_induct*

$$\begin{aligned}
& \llbracket (x1a, x1b) \Rightarrow x2a; \wedge s. P \text{ SKIP } s \ s; \wedge x \ a \ s. P \ (x ::= a) \ s \ (s(x := \text{aval } a \ s)); \\
& \wedge c_1 \ s_1 \ s_2 \ c_2 \ s_3. \\
& \quad \llbracket (c_1, s_1) \Rightarrow s_2; P \ c_1 \ s_1 \ s_2; (c_2, s_2) \Rightarrow s_3; P \ c_2 \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (c_1;; c_2) \ s_1 \ s_3; \\
& \wedge b \ s \ c_1 \ t \ c_2. \\
& \quad \llbracket \text{bval } b \ s; (c_1, s) \Rightarrow t; P \ c_1 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \wedge b \ s \ c_2 \ t \ c_1. \\
& \quad \llbracket \neg \text{bval } b \ s; (c_2, s) \Rightarrow t; P \ c_2 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \wedge b \ s \ c. \neg \text{bval } b \ s \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s \ s; \\
& \wedge b \ s_1 \ c \ s_2 \ s_3. \\
& \quad \llbracket \text{bval } b \ s_1; (c, s_1) \Rightarrow s_2; P \ c \ s_1 \ s_2; (\text{WHILE } b \ \text{DO } c, s_2) \Rightarrow s_3; \\
& \quad P \ (\text{WHILE } b \ \text{DO } c) \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s_1 \ s_3 \\
& \Longrightarrow P \ x1a \ x1b \ x2a
\end{aligned}$$

2.2 Rule inversion

What can we deduce from $(\text{SKIP}, s) \Rightarrow t$? That $s = t$. This is how we can automatically prove it:

inductive_cases *SkipE*[*elim!*]: $(\text{SKIP}, s) \Rightarrow t$
thm *SkipE*

This is an *elimination rule*. The [elim] attribute tells auto, blast and friends (but not simp!) to use it automatically; [elim!] means that it is applied eagerly.

Similarly for the other commands:

inductive_cases *AssignE*[*elim!*]: $(x ::= a, s) \Rightarrow t$
thm *AssignE*
inductive_cases *SeqE*[*elim!*]: $(c_1;;c_2, s_1) \Rightarrow s_3$
thm *SeqE*
inductive_cases *IfE*[*elim!*]: $(\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2, s) \Rightarrow t$
thm *IfE*

inductive_cases *WhileE*[*elim*]: $(\text{WHILE } b \ \text{DO } c, s) \Rightarrow t$
thm *WhileE*

Only [elim]: [elim!] would not terminate.

An automatic example:

lemma $(\text{IF } b \ \text{THEN } \text{SKIP} \ \text{ELSE } \text{SKIP}, s) \Rightarrow t \Longrightarrow t = s$
 $\langle \text{proof} \rangle$

Rule inversion by hand via the “cases” method:

lemma assumes $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow t$
shows $t = s$
 $\langle proof \rangle$

lemma assign_simp:
 $(x ::= a, s) \Rightarrow s' \longleftrightarrow (s' = s(x := aval\ a\ s))$
 $\langle proof \rangle$

An example combining rule inversion and derivations

lemma Seq_assoc:
 $(c1;; c2;; c3, s) \Rightarrow s' \longleftrightarrow (c1;; (c2;; c3), s) \Rightarrow s'$
 $\langle proof \rangle$

2.3 Command Equivalence

We call two statements c and c' equivalent wrt. the big-step semantics when c started in s terminates in s' iff c' started in the same s also terminates in the same s' . Formally:

abbreviation

$equiv_c :: com \Rightarrow com \Rightarrow bool$ (**infix** \sim 50) **where**
 $c \sim c' \equiv (\forall s\ t. (c, s) \Rightarrow t = (c', s) \Rightarrow t)$

Warning: \sim is the symbol written $\backslash < \text{sim} >$ (without spaces).

As an example, we show that loop unfolding is an equivalence transformation on programs:

lemma unfold_while:
 $(WHILE\ b\ DO\ c) \sim (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP)$ (**is** $?w$
 $\sim ?iw$)
 $\langle proof \rangle$

Luckily, such lengthy proofs are seldom necessary. Isabelle can prove many such facts automatically.

lemma while_unfold:
 $(WHILE\ b\ DO\ c) \sim (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP)$
 $\langle proof \rangle$

lemma triv_if:
 $(IF\ b\ THEN\ c\ ELSE\ c) \sim c$
 $\langle proof \rangle$

lemma commute_if:
 $(IF\ b1\ THEN\ (IF\ b2\ THEN\ c11\ ELSE\ c12)\ ELSE\ c2)$
 \sim

(IF b2 THEN (IF b1 THEN c11 ELSE c2) ELSE (IF b1 THEN c12
 ELSE c2))
 <proof>

lemma *sim_while_cong_aux*:

(WHILE b DO c,s) ⇒ t ⇒ c ~ c' ⇒ (WHILE b DO c',s) ⇒ t
 <proof>

lemma *sim_while_cong*: c ~ c' ⇒ WHILE b DO c ~ WHILE b DO c'
 <proof>

Command equivalence is an equivalence relation, i.e. it is reflexive, symmetric, and transitive. Because we used an abbreviation above, Isabelle derives this automatically.

lemma *sim_refl*: c ~ c <proof>

lemma *sim_sym*: (c ~ c') = (c' ~ c) <proof>

lemma *sim_trans*: c ~ c' ⇒ c' ~ c'' ⇒ c ~ c'' <proof>

2.4 Execution is deterministic

This proof is automatic.

theorem *big_step_determ*: $\llbracket (c,s) \Rightarrow t; (c,s) \Rightarrow u \rrbracket \Longrightarrow u = t$
 <proof>

This is the proof as you might present it in a lecture. The remaining cases are simple enough to be proved automatically:

theorem

(c,s) ⇒ t ⇒ (c,s) ⇒ t' ⇒ t' = t
 <proof>

end

3 Big Step Semantics with Time

theory *Big_StepT* imports *Big_Step* begin

3.1 Big-Step with Time Semantics of Commands

inductive

big_step_t :: com × state ⇒ nat ⇒ state ⇒ bool (_ ⇒ _ ↓ _ 55)

where

Skip: (SKIP,s) ⇒ Suc 0 ↓ s |

Assign: (x ::= a,s) ⇒ Suc 0 ↓ s(x := aval a s) |

$Seq: \llbracket (c1, s1) \Rightarrow x \Downarrow s2; (c2, s2) \Rightarrow y \Downarrow s3 ; z=x+y \rrbracket \Longrightarrow (c1;;c2, s1) \Rightarrow z \Downarrow s3 \mid$
 $IfTrue: \llbracket bval\ b\ s; (c1, s) \Rightarrow x \Downarrow t; y=x+1 \rrbracket \Longrightarrow (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow y \Downarrow t \mid$
 $IfFalse: \llbracket \neg bval\ b\ s; (c2, s) \Rightarrow x \Downarrow t; y=x+1 \rrbracket \Longrightarrow (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow y \Downarrow t \mid$
 $WhileFalse: \llbracket \neg bval\ b\ s \rrbracket \Longrightarrow (WHILE\ b\ DO\ c, s) \Rightarrow Suc\ 0 \Downarrow s \mid$
 $WhileTrue: \llbracket bval\ b\ s1; (c, s1) \Rightarrow x \Downarrow s2; (WHILE\ b\ DO\ c, s2) \Rightarrow y \Downarrow s3; 1+x+y=z \rrbracket \Longrightarrow (WHILE\ b\ DO\ c, s1) \Rightarrow z \Downarrow s3$

We want to execute the big-step rules:

code_pred *big_step_t* <proof>

For inductive definitions we need command **values** instead of **value**.

values $\{(t, x). (SKIP, \lambda_. 0) \Rightarrow x \Downarrow t\}$

We need to translate the result state into a list to display it.

values $\{map\ t\ [\"x'\"]\ |t\ x. (SKIP, <\"x'\"] := 42>) \Rightarrow x \Downarrow t\}$

values $\{map\ t\ [\"x'\", \"y'\"]\ |t\ x. (\"x'\"] ::= N\ 2, <\"x'\"] := 42>) \Rightarrow x \Downarrow t\}$

values $\{map\ t\ [\"x'\", \"y'\"]\ |t\ x. (WHILE\ Less\ (V\ \"x'\")\ (V\ \"y'\")\ DO\ (\"x'\"] ::= Plus\ (V\ \"x'\")\ (N\ 5)), <\"x'\"] := 0, \"y'\"] := 13>) \Rightarrow x \Downarrow t\}$

Proof automation:

declare *big_step_t.intros* [intro]

lemmas *big_step_t_induct* = *big_step_t.induct*[split_format(complete)]

3.2 Rule inversion

What can we deduce from $(SKIP, s) \Rightarrow x \Downarrow t$? That $s = t$. This is how we can automatically prove it:

inductive_cases *Skip_tE*[elim!]: $(SKIP, s) \Rightarrow x \Downarrow t$

thm *Skip_tE*

This is an *elimination rule*. The [elim] attribute tells auto, blast and friends (but not simp!) to use it automatically; [elim!] means that it is applied eagerly.

Similarly for the other commands:

inductive_cases *Assign_tE*[elim!]: $(x ::= a, s) \Rightarrow p \Downarrow t$

thm *Assign_tE*

inductive_cases *Seq_tE[elim!]*: $(c1;;c2,s1) \Rightarrow p \Downarrow s3$
thm *Seq_tE*
inductive_cases *If_tE[elim!]*: $(IF\ b\ THEN\ c1\ ELSE\ c2,s) \Rightarrow x \Downarrow t$
thm *If_tE*

inductive_cases *While_tE[elim]*: $(WHILE\ b\ DO\ c,s) \Rightarrow x \Downarrow t$
thm *While_tE*

Only [elim]: [elim!] would not terminate.

An automatic example:

lemma $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow x \Downarrow t \Longrightarrow t = s$
 $\langle proof \rangle$

Rule inversion by hand via the “cases” method:

lemma assumes $(IF\ b\ THEN\ SKIP\ ELSE\ SKIP,\ s) \Rightarrow x \Downarrow t$
shows $t = s$
 $\langle proof \rangle$

lemma *assign_t_simp*:
 $(x ::= a,s) \Rightarrow Suc\ 0 \Downarrow\ s' \longleftrightarrow (s' = s(x := aval\ a\ s))$
 $\langle proof \rangle$

An example combining rule inversion and derivations

lemma *Seq_t_assoc*:
 $((c1;;\ c2;;\ c3),\ s) \Rightarrow p \Downarrow\ s' \longleftrightarrow ((c1;;\ (c2;;\ c3)),\ s) \Rightarrow p \Downarrow\ s'$
 $\langle proof \rangle$

3.3 Relation to Big-Step Semantics

lemma $(\exists p. ((c,\ s) \Rightarrow p \Downarrow\ s')) = ((c,\ s) \Rightarrow s')$
 $\langle proof \rangle$

3.4 Execution is deterministic

This proof is automatic.

theorem *big_step_t_determ*: $\llbracket (c,s) \Rightarrow p \Downarrow t; (c,s) \Rightarrow q \Downarrow u \rrbracket \Longrightarrow u = t$
 $\langle proof \rangle$

theorem *big_step_t_determ2*: $\llbracket (c,s) \Rightarrow p \Downarrow t; (c,s) \Rightarrow q \Downarrow u \rrbracket \Longrightarrow (u = t \wedge p=q)$
 $\langle proof \rangle$

lemma *bigstep_det*: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1=p \wedge t1=t$
 ⟨proof⟩

lemma *bigstep_progress*: $(c, s) \Rightarrow p \Downarrow t \Longrightarrow p > 0$
 ⟨proof⟩

abbreviation *terminates* (\Downarrow) **where** *terminates* $cs \equiv (\exists n a. (cs \Rightarrow n \Downarrow a))$

abbreviation *thestate* (\Downarrow_s) **where** *thestate* $cs \equiv (THE a. \exists n. (cs \Rightarrow n \Downarrow a))$

abbreviation *thetime* (\Downarrow_t) **where** *thetime* $cs \equiv (THE n. \exists a. (cs \Rightarrow n \Downarrow a))$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \Downarrow_t(c, s) = t$
 ⟨proof⟩

lemma *bigstepT_the_state*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \Downarrow_s(c, s) = s'$
 ⟨proof⟩

lemma *SKIPnot*: $(\neg (SKIP, s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc\ 0)$ ⟨proof⟩

lemma *SKIPp*: $\Downarrow_t(SKIP, s) = Suc\ 0$
 ⟨proof⟩

lemma *SKIPlt*: $\Downarrow_s(SKIP, s) = s$
 ⟨proof⟩

lemma *ASSp*: $(THE p. \exists x. (big_step_t\ (x ::= e, s)\ p)) = Suc\ 0$
 ⟨proof⟩

lemma *ASSt*: $(THE t. \exists p. (x ::= e, s) \Rightarrow p \Downarrow t) = s(x ::= aval\ e\ s)$
 ⟨proof⟩

lemma *ASSnot*: $(\neg (x ::= e, s) \Rightarrow p \Downarrow t) = (p \neq Suc\ 0 \vee t \neq s(x ::= aval\ e\ s))$
 ⟨proof⟩

lemma *If_THE_True*: $Suc (THE\ n.\ \exists a.\ (c1,\ s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a)$
if $T: bval\ b\ s$ **and** $c1_t: terminates\ (c1,\ s)$ **for** $s\ l$
 $\langle proof \rangle$

lemma *If_THE_False*: $Suc (THE\ n.\ \exists a.\ (c2,\ s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a)$
if $T: \neg bval\ b\ s$ **and** $c2_t: \downarrow\ (c2,\ s)$ **for** $s\ l$
 $\langle proof \rangle$

end
theory *Nielson_Hoare*
imports *Big_StepT*
begin

4 Nielson Style Hoare Logic with logical variables

abbreviation $eq\ a\ b == (And\ (Not\ (Less\ a\ b))\ (Not\ (Less\ b\ a)))$

type_synonym $lname = string$
type_synonym $assn2 = (lname \Rightarrow nat) \Rightarrow state \Rightarrow bool$
type_synonym $tbd = state \Rightarrow nat$

4.1 The support of an assn2

definition $support :: assn2 \Rightarrow string\ set$ **where**
 $support\ P = \{x.\ \exists l1\ l2\ s.\ (\forall y.\ y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s\}$

lemma *support_and*: $support\ (\lambda l\ s.\ P\ l\ s \wedge Q\ l\ s) \subseteq support\ P \cup support\ Q$
 $\langle proof \rangle$

lemma *support_impl*: $support\ (\lambda l\ s.\ P\ s \longrightarrow Q\ l\ s) \subseteq support\ Q$
 $\langle proof \rangle$

lemma *support_exist*: $support\ (\lambda l\ s.\ \exists z::nat.\ Q\ z\ l\ s) \subseteq (UN\ n.\ support\ (Q\ n))$
 $\langle proof \rangle$

lemma *support_all*: $support\ (\lambda l\ s.\ \forall z.\ Q\ z\ l\ s) \subseteq (UN\ n.\ support\ (Q\ n))$

$\langle \text{proof} \rangle$

lemma *support_single*: $\text{support } (\lambda l s. P (l a) s) \subseteq \{a\}$
 $\langle \text{proof} \rangle$

lemma *support_inv*: $\bigwedge P. \text{support } (\lambda l s. P s) = \{\}$
 $\langle \text{proof} \rangle$

lemma *assn2_lupd*: $x \notin \text{support } Q \implies Q (l(x:=n)) = Q l$
 $\langle \text{proof} \rangle$

4.2 Validity

abbreviation *state_subst* :: $\text{state} \Rightarrow \text{aexp} \Rightarrow \text{vname} \Rightarrow \text{state}$
 $(_\[_\prime/__] [1000,0,0] 999)$
where $s[a/x] == s(x := \text{aval } a s)$

definition *hoare1_valid* :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$
 $(\models_1 \{(1_)\} / (_) / \{ _ \Downarrow (1_)\} 50)$ **where**
 $\models_1 \{P\} c \{q \Downarrow Q\} \longleftrightarrow (\exists k > 0. (\forall l s. P l s \longrightarrow (\exists t p. ((c,s) \Rightarrow p \Downarrow t) \wedge p \leq k * (q s) \wedge Q l t)))$

4.3 Hoare rules

inductive

hoare1 :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$ $(\vdash_1 (\{(1_)\} / (_) / \{ _ \Downarrow (1_)\} 50)$

where

Skip: $\vdash_1 \{P\} \text{SKIP} \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

Assign: $\vdash_1 \{\lambda l s. P l (s[a/x])\} x ::= a \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

If: $\llbracket \vdash_1 \{\lambda l s. P l s \wedge \text{bval } b s\} c_1 \{ e1 \Downarrow Q \};$
 $\vdash_1 \{\lambda l s. P l s \wedge \neg \text{bval } b s\} c_2 \{ e1 \Downarrow Q \} \rrbracket$
 $\implies \vdash_1 \{P\} \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ (\lambda s. e1 s + \text{Suc } 0) \Downarrow Q \} \mid$

Seq: $\llbracket \vdash_1 \{ (\%l s. P_1 l s \wedge l x = e2' s) \} c_1 \{ e1 \Downarrow (\%l s. P_2 l s \wedge e2 s \leq l x) \};$
 $\vdash_1 \{P_2\} c_2 \{ e2 \Downarrow P_3 \}; x \notin \text{support } P_1; x \notin \text{support } P_2;$
 $\bigwedge l s. P_1 l s \implies e1 s + e2' s \leq e s \rrbracket$
 $\implies \vdash_1 \{P_1\} c_1;;c_2 \{ e \Downarrow P_3 \} \mid$

While:

$$\begin{aligned} & \llbracket \vdash_1 \{ \lambda l s. P l s \wedge \text{bval } b s \wedge e' s = l y \} c \{ e'' \Downarrow \lambda l s. P l s \wedge e s \leq l y \}; \\ & \quad \forall l s. \text{bval } b s \wedge P l s \longrightarrow e s \geq 1 + e' s + e'' s ; \\ & \quad \forall l s. \sim \text{bval } b s \wedge P l s \longrightarrow 1 \leq e s ; \\ & \quad y \notin \text{support } P \rrbracket \\ & \implies \vdash_1 \{ P \} \text{ WHILE } b \text{ DO } c \{ e \Downarrow \lambda l s. P l s \wedge \neg \text{bval } b s \} \mid \end{aligned}$$

$$\begin{aligned} \text{conseq: } & \llbracket \exists k > 0. \forall l s. P' l s \longrightarrow (e s \leq k * (e' s) \wedge (\forall t. \exists l'. P l' s \wedge (Q l' t \longrightarrow Q' l t))) \rrbracket; \\ & \vdash_1 \{ P \} c \{ e \Downarrow Q \} \rrbracket \implies \\ & \vdash_1 \{ P' \} c \{ e' \Downarrow Q' \} \end{aligned}$$

Derived Rules:

$$\begin{aligned} \text{lemma } \text{conseq_old: } & \llbracket \exists k > 0. \forall l s. P' l s \longrightarrow (P l s \wedge (e' s \leq k * (e s))) \rrbracket; \\ & \vdash_1 \{ P \} c \{ e' \Downarrow Q \}; \forall l s. Q l s \longrightarrow Q' l s \rrbracket \implies \\ & \vdash_1 \{ P' \} c \{ e \Downarrow Q' \} \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{If2: } & \llbracket \vdash_1 \{ \lambda l s. P l s \wedge \text{bval } b s \} c_1 \{ e \Downarrow Q \}; \vdash_1 \{ \lambda l s. P l s \wedge \neg \\ & \text{bval } b s \} c_2 \{ e \Downarrow Q \}; \\ & \quad \wedge l s. P l s \implies e s + 1 = e' s \rrbracket \\ & \implies \vdash_1 \{ P \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ e' \Downarrow Q \} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *strengthen_pre:*

$$\llbracket \forall l s. P' l s \longrightarrow P l s ; \vdash_1 \{ P \} c \{ e \Downarrow Q \} \rrbracket \implies \vdash_1 \{ P' \} c \{ e \Downarrow Q \}$$

<proof>

lemma *weaken_post:*

$$\llbracket \vdash_1 \{ P \} c \{ e \Downarrow Q \}; \forall l s. Q l s \longrightarrow Q' l s \rrbracket \implies \vdash_1 \{ P \} c \{ e \Downarrow Q' \}$$

<proof>

lemma *ub_cost:*

$$\llbracket (\exists k > 0. \forall l s. P l s \longrightarrow e' s \leq k * (e s)); \vdash_1 \{ P \} c \{ e' \Downarrow Q \} \rrbracket \implies \vdash_1 \{ P \} c \{ e \Downarrow Q \}$$

<proof>

$$\text{lemma } \text{Assign': } \forall l s. P l s \longrightarrow Q l (s[a/x]) \implies (\vdash_1 \{ P \} x ::= a \{ (\%s. 1) \Downarrow Q \})$$

<proof>

4.4 Soundness

The soundness theorem:

theorem *hoare1_sound*: $\vdash_1 \{P\}c\{e \Downarrow Q\} \implies \models_1 \{P\}c\{e \Downarrow Q\}$
 ⟨proof⟩

4.5 Completeness

definition *wp1* :: *com* \Rightarrow *assn2* \Rightarrow *assn2* (*wp1*) **where**
wp1 *c* *Q* = $(\lambda l s. \exists t p. (c, s) \Rightarrow p \Downarrow t \wedge Q l t)$

lemma *support_wpt*: $\text{support } (wp_1 c Q) \subseteq \text{support } Q$
 ⟨proof⟩

lemma *wp1_terminates*: $wp_1 c Q l s \implies \downarrow (c, s)$ ⟨proof⟩

lemma *wp1_SKIP[simp]*: $wp_1 \text{ SKIP } Q = Q$ ⟨proof⟩

lemma *wp1_Assign[simp]*: $wp_1 (x ::= e) Q = (\lambda l s. Q l (s(x := \text{aval } e s)))$
 ⟨proof⟩

lemma *wp1_Seq[simp]*: $wp_1 (c_1;;c_2) Q = wp_1 c_1 (wp_1 c_2 Q)$ ⟨proof⟩

lemma *wp1_If[simp]*: $wp_1 (\text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2) Q = (\lambda l s. wp_1 (\text{if } \text{bval } b s \text{ then } c_1 \text{ else } c_2) Q l s)$ ⟨proof⟩

definition *prec* *c* *E* == $\%s. E (\text{THE } t. (\exists p. (c, s) \Rightarrow p \Downarrow t))$

lemma *wp1_prec_Seq_correct*: $wp_1 (c_1;;c_2) Q l s \implies \downarrow_t (c_1, s) + \text{prec } c_1 (\lambda s. \downarrow_t (c_2, s)) s \leq \downarrow_t (c_1;; c_2, s)$
 ⟨proof⟩

abbreviation *new* *Q* $\equiv \text{SOME } x. x \notin \text{support } Q$

lemma *bigstep_det*: $(c_1, s) \Rightarrow p_1 \Downarrow t_1 \implies (c_1, s) \Rightarrow p \Downarrow t \implies p_1 = p \wedge t_1 = t$
 ⟨proof⟩

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow P \Downarrow T \implies (\text{THE } n. \exists a. (c, s) \Rightarrow n \Downarrow a) = P$
 ⟨proof⟩

lemma *bigstepT_the_state*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (\text{THE } a. \exists n. (c, s) \Rightarrow n \Downarrow a) = T$
 <proof>

lemma *assumes b: bval b s*
shows *wp1WhileTrue'*: $wp_1 (\text{WHILE } b \text{ DO } c) Q \ l \ s = wp_1 \ c \ (wp_1 (\text{WHILE } b \text{ DO } c) Q) \ l \ s$
 <proof>

lemma *assumes b: ~ bval b s*
shows *wp1WhileFalse'*: $wp_1 (\text{WHILE } b \text{ DO } c) Q \ l \ s = Q \ l \ s$
 <proof>

lemma *wp1While*: $wp_1 (\text{WHILE } b \text{ DO } c) Q \ l \ s = (\text{if } bval \ b \ s \ \text{then } wp_1 \ c \ (wp_1 (\text{WHILE } b \text{ DO } c) Q) \ l \ s \ \text{else } Q \ l \ s)$
 <proof>

lemma *wp1_prec2: fixes e::tbd*
shows $(wp_1 \ c1 \ Q \ l \ s \wedge l \ x = prec \ c1 \ e \ s) = wp_1 \ c1 \ (\lambda l \ s. Q \ l \ s \wedge e \ s = l \ x) \ l \ s$
 <proof>

lemma *wp1_prec: fixes e::tbd*
shows $wp_1 \ c1 \ Q \ l \ s \Longrightarrow l \ x = prec \ c1 \ e \ s \Longrightarrow wp_1 \ c1 \ (\lambda l \ s. Q \ l \ s \wedge e \ s = l \ x) \ l \ s$
 <proof>

lemma *wp1_is_pre: finite (support Q) $\Longrightarrow \vdash_1 \{wp_1 \ c \ Q\} \ c \ \{ \lambda s. \downarrow_t (c, s) \downarrow Q \}$*
 <proof>

lemma *valid_wp*: $\vdash_1 \{P\} \ c \ \{p \downarrow Q\} \longleftrightarrow (\exists k > 0. (\forall l \ s. P \ l \ s \longrightarrow (wp_1 \ c \ Q \ l \ s \wedge ((\text{THE } n. (\exists t. ((c, s) \Rightarrow n \downarrow t)))) \leq k * p \ s)))$
 <proof>

theorem *hoare1_complete: finite (support Q) $\Longrightarrow \vdash_1 \{P\} \ c \ \{p \downarrow Q\} \Longrightarrow \vdash_1 \{P\} \ c \ \{p \downarrow Q\}$*
 <proof>

corollary *hoare1_sound_complete*: $finite (support\ Q) \implies \vdash_1 \{P\}c\{p \Downarrow Q\}$
 $\longleftrightarrow \models_1 \{P\}c\{p \Downarrow Q\}$
<proof>

end

theory *Nielson_VCG* **imports** *Nielson_Hoare* **begin**

4.6 Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

datatype *acom* =
ASkip $(SKIP)$ |
Aassign *vname* *aexp* $((_ ::= _) [1000, 61] 61)$ |
Aseq *acom* *acom* $((_ ;; _ [60, 61] 60)$ |
Aif *bexp* *acom* *acom* $((IF _ / THEN _ / ELSE _) [0, 0, 61] 61)$ |
Aconseq *assn2* *assn2* *tbd* *acom*
 $((\{ _ / _ / _ \} / CONSEQ _) [0, 0, 0, 61] 61)$ |
Awhile $(assn2) * ((state \Rightarrow state) * (tbd))$ *bexp* *acom* $((\{ _ \} / WHILE _ / DO _)$
 $[0, 0, 61] 61)$

notation *com.SKIP* (*SKIP*)

Strip annotations:

fun *strip* :: *acom* \Rightarrow *com* **where**
strip *SKIP* = *SKIP* |
strip $(x ::= a)$ = $(x ::= a)$ |
strip $(C_1 ;; C_2)$ = $(strip\ C_1 ;; strip\ C_2)$ |
strip $(IF\ b\ THEN\ C_1\ ELSE\ C_2)$ = $(IF\ b\ THEN\ strip\ C_1\ ELSE\ strip\ C_2)$
|
strip $(\{ _ / _ / _ \} CONSEQ\ C)$ = *strip* *C* |
strip $(\{ _ \} WHILE\ b\ DO\ C)$ = $(WHILE\ b\ DO\ strip\ C)$

support of an expression

4.6.1 support and supportE

definition *supportE* :: $((char\ list \Rightarrow nat) \Rightarrow (char\ list \Rightarrow int) \Rightarrow nat) \Rightarrow$
string\ set **where**
supportE *P* = $\{x. \exists l1\ l2\ s. (\forall y. y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s\}$

lemma *expr_lupd*: $x \notin \text{supportE } Q \implies Q (l(x:=n)) = Q l$
 <proof>

lemma *supportE_if*: $\text{supportE } (\lambda l s. \text{if } b \text{ } s \text{ then } A \text{ } l \text{ } s \text{ else } B \text{ } l \text{ } s) \subseteq \text{supportE } A \cup \text{supportE } B$
 <proof>

lemma *support_eq*: $\text{support } (\lambda l s. l \text{ } x = E \text{ } l \text{ } s) \subseteq \text{supportE } E \cup \{x\}$
 <proof>

lemma *support_impl_in*: $G \text{ } e \longrightarrow \text{support } (\lambda l s. H \text{ } e \text{ } l \text{ } s) \subseteq T \implies \text{support } (\lambda l s. G \text{ } e \longrightarrow H \text{ } e \text{ } l \text{ } s) \subseteq T$
 <proof>

lemma *support_supportE*: $\bigwedge P \text{ } e. \text{support } (\lambda l s. P (e \text{ } l \text{ } s)) \subseteq \text{supportE } e$
 <proof>

fun *varacom* :: *acom* \Rightarrow *lname set* **where**
 | *varacom* ($C_1;; C_2$) = *varacom* $C_1 \cup \text{varacom } C_2$
 | *varacom* (*IF* b *THEN* C_1 *ELSE* C_2) = *varacom* $C_1 \cup \text{varacom } C_2$
 | *varacom* ($\{P/Q\text{annot}/_ \}$ *CONSEQ* C) = *support* $P \cup \text{varacom } C \cup \text{support } Q\text{annot}$
 | *varacom* ($\{(I,(S,(E)))\}$ *WHILE* b *DO* C) = *support* $I \cup \text{varacom } C$
 | *varacom* $_ = \{\}$

Weakest precondition from annotated commands:

fun *preT* :: *acom* \Rightarrow *tbd* \Rightarrow *tbd* **where**
 | *preT* *SKIP* $e = e$ |
 | *preT* ($x ::= a$) $e = (\lambda s. e(s(x := \text{aval } a \text{ } s)))$ |
 | *preT* ($C_1;; C_2$) $e = \text{preT } C_1 (\text{preT } C_2 \text{ } e)$ |
 | *preT* ($\{_/_/_ \}$ *CONSEQ* C) $e = \text{preT } C \text{ } e$ |
 | *preT* (*IF* b *THEN* C_1 *ELSE* C_2) $e = (\lambda s. \text{if } \text{bval } b \text{ } s \text{ then } \text{preT } C_1 \text{ } e \text{ } s \text{ else } \text{preT } C_2 \text{ } e \text{ } s)$ |
 | *preT* ($\{(_,(S,_))\}$ *WHILE* b *DO* C) $e = e \text{ } o \text{ } S$

lemma *preT_linear*: $\text{preT } C (\%s. k * e \text{ } s) = (\%s. k * \text{preT } C \text{ } e \text{ } s)$
 <proof>

fun *postQ* :: *acom* \Rightarrow *state* \Rightarrow *state* **where**
 | *postQ* *SKIP* $s = s$ |

$postQ (x ::= a) s = s(x := aval a s) \mid$
 $postQ (C_1;; C_2) s = postQ C_2 (postQ C_1 s) \mid$
 $postQ (\{ _ / _ / _ \} CONSEQ C) s = postQ C s \mid$
 $postQ (IF b THEN C_1 ELSE C_2) s =$
 $(if bval b s then postQ C_1 s else postQ C_2 s) \mid$
 $postQ (\{ (_, (S, _)) \} WHILE b DO C) s = S s$

lemma *TQ*: $preT C e s = e (postQ C s)$

<proof>

function (*domintros*) *times* :: *state* \Rightarrow *bexp* \Rightarrow *acom* \Rightarrow *nat* **where**
times *s b C* = (if *bval b s* then *Suc (times (postQ C s) b C)* else 0)

<proof>

lemma *assumes I*: *I z s* **and**

i: $\bigwedge s z. I (Suc z) s \Longrightarrow bval b s \wedge I z (postQ C s)$

and *ii*: $\bigwedge s. I 0 s \Longrightarrow \sim bval b s$

shows *times_z*: *times s b C = z*

<proof>

function (*domintros*) *postQs* :: *acom* \Rightarrow *bexp* \Rightarrow *state* \Rightarrow *state* **where**

postQs C b s = (if *bval b s* then (*postQs C b (postQ C s)*) else *s*)

<proof>

fun *postQz* :: *acom* \Rightarrow *state* \Rightarrow *nat* \Rightarrow *state* **where**

postQz C s 0 = *s* |

postQz C s (Suc n) = (*postQz C (postQ C s) n*)

fun *preTz* :: *acom* \Rightarrow *tbd* \Rightarrow *nat* \Rightarrow *tbd* **where**

preTz C e 0 = *e* |

preTz C e (Suc n) = *preT C (preTz C e n)*

lemma *TzQ*: $preTz C e n s = e (postQz C s n)$

<proof>

4.6.2 Weakest precondition from annotated commands:

fun *pre* :: *acom* \Rightarrow *assn2* \Rightarrow *assn2* **where**
pre *SKIP* *Q* = *Q* |
pre (*x ::= a*) *Q* = ($\lambda l s. Q l (s(x := \text{aval } a s))$) |
pre (*C*₁;; *C*₂) *Q* = *pre* *C*₁ (*pre* *C*₂ *Q*) |
pre ({*P*'/_/_} *CONSEQ* *C*) *Q* = *P*' |
pre (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *Q* =
($\lambda l s. \text{if } \text{bval } b s \text{ then } \text{pre } C_1 Q l s \text{ else } \text{pre } C_2 Q l s$) |
pre ({(*I*,(*S*,(*E*)))} *WHILE* *b* *DO* *C*) *Q* = *I*

lemma *supportE_preT*: *supportE* ($\%l. \text{preT } C (e l)$) \subseteq *supportE* *e*
 $\langle \text{proof} \rangle$

lemma *supportE_twicepreT*: *supportE* ($\%l. \text{preT } C_1 (\text{preT } C_2 (e l))$) \subseteq
supportE *e*
 $\langle \text{proof} \rangle$

lemma *supportE_preTz*: *supportE* ($\%l. \text{preTz } C (e l) n$) \subseteq *supportE* *e*
 $\langle \text{proof} \rangle$

lemma *supportE_preTz_Un*:
supportE ($\lambda l. \text{preTz } C (e l) (l x)$) \subseteq *insert* *x* (*UN* *n. supportE* ($\lambda l. \text{preTz } C (e l) n$))
 $\langle \text{proof} \rangle$

lemma *supportE_preTz2*: *supportE* ($\%l. \text{preTz } C (e l) (l x)$) \subseteq *insert* *x*
(*supportE* *e*)
 $\langle \text{proof} \rangle$

lemma *pff*: $\bigwedge n. \text{support} (\lambda l. I (l(x := n))) \subseteq \text{support } I - \{x\}$
 $\langle \text{proof} \rangle$

lemma *pff*: $\bigwedge n. \text{support} (\lambda l. I (l(x := n))) \subseteq \text{support } I$
 $\langle \text{proof} \rangle$

lemma *supportAB*: *support* ($\lambda l s. A l s \wedge B s$) \subseteq *support* *A*

$\langle \text{proof} \rangle$

lemma $\text{support} (\text{pre} (\{(I,(S,(E)))\} \text{ WHILE } b \text{ DO } C) Q) \subseteq \text{support } I$
 $\langle \text{proof} \rangle$

lemma $\text{support_pre}: \text{support} (\text{pre } C Q) \subseteq \text{support } Q \cup \text{varacom } C$
 $\langle \text{proof} \rangle$

lemma $\text{finite_support_pre}[\text{simp}]: \text{finite} (\text{support } Q) \implies \text{finite} (\text{varacom } C)$
 $\implies \text{finite} (\text{support} (\text{pre } C Q))$
 $\langle \text{proof} \rangle$

fun $\text{time} :: \text{acom} \Rightarrow \text{tbd}$ **where**

$\text{time } \text{SKIP} = (\%s. \text{Suc } 0) \mid$
 $\text{time } (x ::= a) = (\%s. \text{Suc } 0) \mid$
 $\text{time } (C_1 ;; C_2) = (\%s. \text{time } C_1 s + \text{preT } C_1 (\text{time } C_2) s) \mid$
 $\text{time } (\{_/_/e\} \text{ CONSEQ } C) = e \mid$
 $\text{time } (\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) =$
 $(\lambda s. \text{if } \text{bval } b s \text{ then } 1 + \text{time } C_1 s \text{ else } 1 + \text{time } C_2 s) \mid$
 $\text{time } (\{(_,(E',(E)))\} \text{ WHILE } b \text{ DO } C) = E$

lemma $\text{supportE_single}: \text{supportE} (\lambda l s. P) = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{supportE_plus}: \text{supportE} (\lambda l s. e1 l s + e2 l s) \subseteq \text{supportE } e1 \cup$
 $\text{supportE } e2$
 $\langle \text{proof} \rangle$

lemma $\text{supportE_Suc}: \text{supportE} (\lambda l s. \text{Suc} (e1 l s)) = \text{supportE } e1$
 $\langle \text{proof} \rangle$

lemma $\text{supportE_single2}: \text{supportE} (\lambda l . P) = \{\}$
 $\langle \text{proof} \rangle$

lemma $\text{supportE_time}: \text{supportE} (\lambda l. \text{time } C) = \{\}$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=0))) s = (\forall l. l x = 0 \longrightarrow I l s)$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=Suc (l x))) s) = (\forall l. (\exists n. l x = Suc n) \longrightarrow I l s)$
 $\langle proof \rangle$

Verification condition:

fun $vc :: acom \Rightarrow assn2 \Rightarrow bool$ **where**
 $vc SKIP Q = True \mid$
 $vc (x ::= a) Q = True \mid$
 $vc (C_1 ;; C_2) Q = ((vc C_1 (pre C_2 Q)) \wedge (vc C_2 Q)) \mid$
 $vc (IF b THEN C_1 ELSE C_2) Q = (vc C_1 Q \wedge vc C_2 Q) \mid$
 $vc (\{P'/Q/e'\} CONSEQ C) Q' = (vc C Q \wedge (\exists k > 0. (\forall l s. P' l s \longrightarrow$
 $time C s \leq k * e' s \wedge (\forall t. \exists l'. (pre C Q) l' s \wedge (Q l' t \longrightarrow Q' l t)))) \mid$

 $vc (\{(I,(S,(E)))\} WHILE b DO C) Q =$
 $((\forall l s. (I l s \wedge bval b s \longrightarrow pre C I l s \wedge E s \geq 1 + preT C E s + time$
 $C s$
 $\wedge S s = S (postQ C s)) \wedge$
 $(I l s \wedge \neg bval b s \longrightarrow Q l s \wedge E s \geq 1 \wedge S s = s)) \wedge$
 $vc C I)$

lemma $pre_mono:$
 $(\forall l s. P l s \longrightarrow P' l s) \Longrightarrow pre C P l s \Longrightarrow pre C P' l s$
 $\langle proof \rangle$

lemma $vc_mono:$ $(\forall l s. P l s \longrightarrow P' l s) \Longrightarrow vc C P \Longrightarrow vc C P'$
 $\langle proof \rangle$

4.6.3 Soundness:

abbreviation $preSet U C l s == (Ball U (\%u. case u of (x,e) \Rightarrow l x =$
 $preT C e s))$

abbreviation $postSet U l s == (Ball U (\%u. case u of (x,e) \Rightarrow l x = e$
 $s))$

fun $ListUpdate$ **where**
 $ListUpdate f [] l = f$
 $\mid ListUpdate f ((x,e)\#xs) q = (ListUpdate f xs q)(x:=q e x)$

lemma $allg:$
assumes $U2: \bigwedge l s n x. x \in fst \text{ ' } upds \Longrightarrow A (l(x := n)) = A l$
shows
 $fst \text{ ' } set xs \subseteq fst \text{ ' } upds \Longrightarrow A (ListUpdate l'' xs q) = A l''$
 $\langle proof \rangle$

fun $ListUpdateE$ **where**

$ListUpdateE f [] = f$
 $| ListUpdateE f ((x,v)\#xs) = (ListUpdateE f xs)(x:=v)$

lemma *ListUpdate_E*: $ListUpdateE f xs = ListUpdate f xs (\%e x. e)$
 $\langle proof \rangle$

lemma *allg_E*: **fixes** $A::assn2$

assumes

$(\bigwedge l s n x. x \in fst \text{ ' } upds \implies A (l(x := n)) = A l) \text{ } fst \text{ ' } set xs \subseteq fst \text{ ' } upds$

shows $A (ListUpdateE f xs) = A f$

$\langle proof \rangle$

lemma *ListUpdateE_updates*: $distinct (map fst xs) \implies x \in set xs \implies ListUpdateE l'' xs (fst x) = snd x$

$\langle proof \rangle$

lemma *ListUpdate_updates*: $x \in fst \text{ ' } (set xs) \implies ListUpdate l'' xs (\%e. l) x = l x$

$\langle proof \rangle$

abbreviation $lesvars xs == fst \text{ ' } (set xs)$

fun *preList* **where**

$preList [] C l s = True$

$| preList ((x,e)\#xs) C l s = (l x = preT C e s \wedge preList xs C l s)$

lemma *preList_Seq*: $preList upds (C1;; C2) l s = preList (map (\lambda(x, e). (x, preT C2 e)) upds) C1 l s$

$\langle proof \rangle$

lemma *support_True[simp]*: $support (\lambda a b. True) = \{\}$

$\langle proof \rangle$

lemma *support_preList*: $support (preList upds C1) \subseteq lesvars upds$

$\langle proof \rangle$

lemma *preListpreSet*: $preSet (set xs) C l s \implies preList xs C l s$

$\langle proof \rangle$

lemma *preSetpreList*: $preList xs C l s \implies preSet (set xs) C l s$

$\langle proof \rangle$

lemma *preSetpreList_eq*: $preList\ xs\ C\ l\ s = preSet\ (set\ xs)\ C\ l\ s$
<proof>

fun *postList* **where**
 postList [] *l s* = *True*
| *postList* ((*x,e*)#*xs*) *l s* = (*l x = e s* \wedge *postList xs l s*)

lemma *support_postList*: $support\ (postList\ xs) \subseteq lesvars\ xs$
<proof>

lemma *postpreList_inv*: **assumes** $S\ s = S\ (postQ\ C\ s)$
 shows $postList\ (map\ (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ upds)\ l\ s = preList\ (map\ (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ upds)\ C\ l\ s$
<proof>

lemma *postList_preList*: $postList\ (map\ (\lambda(x, e). (x, preT\ C\ e))\ upds)\ l\ s = preList\ upds\ C\ l\ s$
<proof>

lemma *postSetpostList*: $postList\ xs\ l\ s \implies postSet\ (set\ xs)\ l\ s$
<proof>

lemma *postListpostSet*: $postSet\ (set\ xs)\ l\ s \implies postList\ xs\ l\ s$
<proof>

lemma *ListAskip*: $preList\ xs\ Askip\ l\ s = postList\ xs\ l\ s$
<proof>

lemma *SetAskip*: $preSet\ U\ Askip\ l\ s = postSet\ U\ l\ s$
<proof>

lemma *ListAassign*: $preList\ upds\ (Aassign\ x1\ x2)\ l\ s = postList\ upds\ l\ (s[x2/x1])$
<proof>

lemma *SetAassign*: $preSet\ U\ (Aassign\ x1\ x2)\ l\ s = postSet\ U\ l\ (s[x2/x1])$
<proof>

lemma *ListAconseq*: $preList\ upds\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preList\ upds\ C\ l\ s$
 ⟨proof⟩

lemma *SetAconseq*: $preSet\ U\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preSet\ U\ C\ l\ s$
 ⟨proof⟩

lemma *ListAif1*: $bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C1\ l\ s$
 ⟨proof⟩

lemma *SetAif1*: $bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C1\ l\ s$
 ⟨proof⟩

lemma *ListAif2*: $\sim\ bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C2\ l\ s$
 ⟨proof⟩

lemma *SetAif2*: $\sim\ bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C2\ l\ s$
 ⟨proof⟩

lemma *vc_sound*: $vc\ C\ Q \implies finite\ (support\ Q) \implies finite\ (varacom\ C) \implies fst\ ' (set\ upds) \cap\ varacom\ C = \{\} \implies distinct\ (map\ fst\ upds) \implies \vdash_1\ \{\%l\ s.\ pre\ C\ Q\ l\ s \wedge\ preList\ upds\ C\ l\ s\}\ strip\ C\ \{\ time\ C\ \Downarrow\ \%l\ s.\ Q\ l\ s \wedge\ postList\ upds\ l\ s\} \wedge\ (\forall\ l\ s.\ pre\ C\ Q\ l\ s \longrightarrow Q\ l\ (postQ\ C\ s))$
 ⟨proof⟩

corollary *vc_sound'*:

assumes $vc\ C\ Q$

$finite\ (support\ Q)\ finite\ (varacom\ C)$

$\forall\ l\ s.\ P\ l\ s \longrightarrow pre\ C\ Q\ l\ s$

shows $\vdash_1\ \{P\}\ strip\ C\ \{\ time\ C\ \Downarrow\ Q\}$

⟨proof⟩

lemma *preT_constant*: $preT\ C\ (\%_{_}\ a) = (\%_{_}\ a)$
 <proof>

corollary *vc_sound''*:

$\llbracket vc\ C\ Q; (\exists k > 0. \forall l\ s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge time\ C\ s \leq k * e\ s);$
 $finite\ (support\ Q); finite\ (varacom\ C) \rrbracket \Longrightarrow \vdash_1\ \{P\}\ strip\ C\ \{e \Downarrow Q\}$
 <proof>

4.6.4 Completeness:

lemma *vc_complete*:

$\vdash_1\ \{P\}\ c\ \{e \Downarrow Q\} \Longrightarrow \exists C. strip\ C = c \wedge vc\ C\ Q$
 $\wedge (\forall l\ s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge Q\ l\ (postQ\ C\ s))$
 $\wedge (\exists k. \forall l\ s. P\ l\ s \longrightarrow time\ C\ s \leq k * e\ s)$
 (is $_ \Longrightarrow \exists C. ?G\ P\ c\ Q\ C\ e$)
 <proof>

end

4.7 The Variables in an Expression

theory *Vars imports Com*

begin

We need to collect the variables in both arithmetic and boolean expressions. For a change we do not introduce two functions, e.g. *avars* and *bvars*, but we overload the name *vars* via a *type class*, a device that originated with Haskell:

class *vars* =
fixes *vars* :: 'a \Rightarrow *vname set*

This defines a type class “vars” with a single function of (coincidentally) the same name. Then we define two separated instances of the class, one for *aexp* and one for *bexp*:

instantiation *aexp* :: *vars*

begin

fun *vars_aexp* :: *aexp* \Rightarrow *vname set* **where**

vars (*N* *n*) = {} |
vars (*V* *x*) = {*x*} |
vars (*Plus* *a*₁ *a*₂) = *vars* *a*₁ \cup *vars* *a*₂ |
vars (*Times* *a*₁ *a*₂) = *vars* *a*₁ \cup *vars* *a*₂ |
vars (*Div* *a*₁ *a*₂) = *vars* *a*₁ \cup *vars* *a*₂

```

instance ⟨proof⟩

end

value vars (Plus (V "x") (V "y"))

instantiation bexp :: vars
begin

fun vars_bexp :: bexp ⇒ vname set where
vars (Bc v) = {} |
vars (Not b) = vars b |
vars (And b1 b2) = vars b1 ∪ vars b2 |
vars (Less a1 a2) = vars a1 ∪ vars a2

instance ⟨proof⟩

end

value vars (Less (Plus (V "z") (V "y")) (V "x"))

abbreviation
  eq_on :: ('a ⇒ 'b) ⇒ ('a ⇒ 'b) ⇒ 'a set ⇒ bool
  ((_ =/ _/ on _) [50,0,50] 50) where
  f = g on X == ∀ x ∈ X. f x = g x

lemma aval_eq_if_eq_on_vars[simp]:
  s1 = s2 on vars a ⇒ aval a s1 = aval a s2
  ⟨proof⟩

lemma bval_eq_if_eq_on_vars:
  s1 = s2 on vars b ⇒ bval b s1 = bval b s2
  ⟨proof⟩

fun lvars :: com ⇒ vname set where
lvars SKIP = {} |
lvars (x ::= e) = {x} |
lvars (c1 ;; c2) = lvars c1 ∪ lvars c2 |
lvars (IF b THEN c1 ELSE c2) = lvars c1 ∪ lvars c2 |
lvars (WHILE b DO c) = lvars c

fun rvars :: com ⇒ vname set where

```

```

rvars SKIP = {} |
rvars (x::=e) = vars e |
rvars (c1;;c2) = rvars c1 ∪ rvars c2 |
rvars (IF b THEN c1 ELSE c2) = vars b ∪ rvars c1 ∪ rvars c2 |
rvars (WHILE b DO c) = vars b ∪ rvars c

```

```

instantiation com :: vars
begin

```

```

definition vars_com c = lvars c ∪ rvars c

```

```

instance ⟨proof⟩

```

```

end

```

```

lemma vars_com_simps[simp]:

```

```

  vars SKIP = {}
  vars (x::=e) = {x} ∪ vars e
  vars (c1;;c2) = vars c1 ∪ vars c2
  vars (IF b THEN c1 ELSE c2) = vars b ∪ vars c1 ∪ vars c2
  vars (WHILE b DO c) = vars b ∪ vars c
  ⟨proof⟩

```

```

end

```

```

theory Nielson_VCGi
imports Nielson_Hoare Vars
begin

```

4.8 Optimized Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

```

datatype acom =

```

```

  Askip (SKIP) |
  Aassign vname aexp ((_::=_) [l000, l61] l61) |
  Aseq acom acom ((_;;/_ [l60, l61] l60) |
  Aif bexp acom acom ((IF _/ THEN _/ ELSE _) [l0, l0, l61] l61) |
  Aconseq assn2*(vname set) assn2*(vname set) tbd * (vname set) acom
  (({_'/_'/_}/ CONSEQ _) [l0, l0, l0, l61] l61) |
  Awhile (assn2*(vname set))*((state⇒state))*(tbd*((vname set*(vname ⇒
vname set)))) bexp acom (({_}/ WHILE _/ DO _) [l0, l0, l61] l61)

```

```

notation com.SKIP (SKIP)

```

Strip annotations:

fun *strip* :: *acom* \Rightarrow *com* **where**

strip *SKIP* = *SKIP* |
strip (*x* ::= *a*) = (*x* ::= *a*) |
strip (*C*₁;; *C*₂) = (*strip* *C*₁;; *strip* *C*₂) |
strip (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = (*IF* *b* *THEN* *strip* *C*₁ *ELSE* *strip* *C*₂)
|
strip ({_/_/_} *CONSEQ* *C*) = *strip* *C* |
strip ({_} *WHILE* *b* *DO* *C*) = (*WHILE* *b* *DO* *strip* *C*)

support of an expression

definition *supportE* :: ((*char list* \Rightarrow *nat*) \Rightarrow (*char list* \Rightarrow *int*) \Rightarrow *nat*) \Rightarrow *string set* **where**

supportE *P* = {*x*. \exists *l1 l2 s*. (\forall *y*. *y* \neq *x* \longrightarrow *l1* *y* = *l2* *y*) \wedge *P* *l1* *s* \neq *P* *l2* *s*}

lemma *expr_lupd*: *x* \notin *supportE* *Q* \Longrightarrow *Q* (*l*(*x*:=*n*)) = *Q* *l*

<proof>

fun *varacom* :: *acom* \Rightarrow *lname set* **where**

varacom (*C*₁;; *C*₂) = *varacom* *C*₁ \cup *varacom* *C*₂
| *varacom* (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = *varacom* *C*₁ \cup *varacom* *C*₂
| *varacom* ({(*P*,_) / (*Q*annot,_) / _} *CONSEQ* *C*) = *support* *P* \cup *varacom* *C*
 \cup *support* *Q*annot
| *varacom* ({(*I*,_),(*S*,(*E*,*Es*))} *WHILE* *b* *DO* *C*) = *support* *I* \cup *varacom* *C*
| *varacom* _ = {}

fun *varnewacom* :: *acom* \Rightarrow *lname set* **where**

varnewacom (*C*₁;; *C*₂) = *varnewacom* *C*₁ \cup *varnewacom* *C*₂
| *varnewacom* (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = *varnewacom* *C*₁ \cup *varnewacom* *C*₂
| *varnewacom* ({_/_/_} *CONSEQ* *C*) = *varnewacom* *C*
| *varnewacom* ({(*I*,(*S*,(*E*,*Es*)))} *WHILE* *b* *DO* *C*) = *varnewacom* *C*
| *varnewacom* _ = {}

lemma *finite_varnewacom*: *finite* (*varnewacom* *C*)

<proof>

fun *wf* :: *acom* \Rightarrow *lvarname set* \Rightarrow *bool* **where**
wf *SKIP* *_* = *True* |
wf (*x* ::= *a*) *_* = *True* |
wf (*C*₁;; *C*₂) *S* = (*wf* *C*₁ (*S* \cup *varnewacom* *C*₂) \wedge *wf* *C*₂ *S*) |
wf (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *S* = (*wf* *C*₁ *S* \wedge *wf* *C*₂ *S*) |
wf ($\{_/_/_/_ \}$ *CONSEQ* *C*) *S* = (*finite* (*support* *Qannot*) \wedge *wf* *C* *S*) |
wf ($\{(_,(_,(_,Es)))\}$ *WHILE* *b* *DO* *C*) *S* = (*wf* *C* *S*)

Weakest precondition from annotated commands:

fun *preT* :: *acom* \Rightarrow *tbd* \Rightarrow *tbd* **where**
preT *SKIP* *e* = *e* |
preT (*x* ::= *a*) *e* = ($\lambda s. e(s(x := \text{aval } a \ s))$) |
preT (*C*₁;; *C*₂) *e* = *preT* *C*₁ (*preT* *C*₂ *e*) |
preT ($\{_/_/_/_ \}$ *CONSEQ* *C*) *e* = *preT* *C* *e* |
preT (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *e* =
($\lambda s. \text{if } \text{bval } b \ s \ \text{then } \text{preT } C_1 \ e \ s \ \text{else } \text{preT } C_2 \ e \ s$) |
preT ($\{(_,(S,_))\}$ *WHILE* *b* *DO* *C*) *e* = *e* *o* *S*

lemma *preT_constant*: *preT* *C* ($\%_ . a$) = ($\%_ . a$)
 \langle *proof* \rangle

lemma *preT_linear*: *preT* *C* ($\%s. k * e \ s$) = ($\%s. k * \text{preT } C \ e \ s$)
 \langle *proof* \rangle

fun *postQ* :: *acom* \Rightarrow *state* \Rightarrow *state* **where**
postQ *SKIP* *s* = *s* |
postQ (*x* ::= *a*) *s* = *s*(*x* := *aval* *a* *s*) |
postQ (*C*₁;; *C*₂) *s* = *postQ* *C*₂ (*postQ* *C*₁ *s*) |
postQ ($\{_/_/_/_ \}$ *CONSEQ* *C*) *s* = *postQ* *C* *s* |
postQ (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *s* =
(*if* *bval* *b* *s* *then* *postQ* *C*₁ *s* *else* *postQ* *C*₂ *s*) |
postQ ($\{(_,(S,_))\}$ *WHILE* *b* *DO* *C*) *s* = *S* *s*

fun *fune* :: *acom* \Rightarrow *vname set* \Rightarrow *vname set* **where**
fune *SKIP* *LV* = *LV* |
fune (*x* ::= *a*) *LV* = *LV* \cup *vars* *a* |
fune (*C*₁;; *C*₂) *LV* = *fune* *C*₁ (*fune* *C*₂ *LV*) |
fune ($\{_/_/_/_ \}$ *CONSEQ* *C*) *LV* = *fune* *C* *LV* |
fune (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *LV* = *vars* *b* \cup *fune* *C*₁ *LV* \cup *fune* *C*₂

LV |
fune ($\{(_,(S,(E,Es,SS)))\}$ WHILE *b DO C*) *LV* = $(\bigcup_{x \in LV}. SS\ x)$

lemma *fune_mono*: $A \subseteq B \implies \text{fune } C\ A \subseteq \text{fune } C\ B$
 ⟨*proof*⟩

lemma *TQ*: $\text{preT } C\ e\ s = e\ (\text{postQ } C\ s)$
 ⟨*proof*⟩

function (*domintros*) *times* :: *state* \Rightarrow *bexp* \Rightarrow *acom* \Rightarrow *nat* **where**
times *s b C* = (if *bval b s* then *Suc* (*times* (*postQ C s*) *b C*) else 0)
 ⟨*proof*⟩

lemma *assumes I*: *I z s* **and**
i: $\bigwedge s\ z. I\ (\text{Suc } z)\ s \implies \text{bval } b\ s \wedge I\ z\ (\text{postQ } C\ s)$
and *ii*: $\bigwedge s. I\ 0\ s \implies \sim \text{bval } b\ s$
shows *times_z*: *times s b C* = *z*
 ⟨*proof*⟩

fun *postQz* :: *acom* \Rightarrow *state* \Rightarrow *nat* \Rightarrow *state* **where**
postQz C s 0 = *s* |
postQz C s (Suc n) = (*postQz C* (*postQ C s*) *n*)

fun *preTz* :: *acom* \Rightarrow *tbd* \Rightarrow *nat* \Rightarrow *tbd* **where**
preTz C e 0 = *e* |
preTz C e (Suc n) = *preT C* (*preTz C e n*)

lemma *TzQ*: $\text{preTz } C\ e\ n\ s = e\ (\text{postQz } C\ s\ n)$
 ⟨*proof*⟩

Weakest precondition from annotated commands:

fun *pre* :: *acom* \Rightarrow *assn2* \Rightarrow *assn2* **where**
pre SKIP Q = *Q* |
pre (*x ::= a*) *Q* = $(\lambda l\ s. Q\ l\ (s(x := \text{aval } a\ s)))$ |
pre (*C*₁;; *C*₂) *Q* = *pre C*₁ (*pre C*₂ *Q*) |
pre ($\{(P',Ps)/_/_ \}$ CONSEQ *C*) *Q* = *P'* |
pre (*IF b THEN C*₁ *ELSE C*₂) *Q* =

$(\lambda l s. \text{if bval } b \text{ s then pre } C_1 \ Q \ l \ s \text{ else pre } C_2 \ Q \ l \ s) \mid$
 $\text{pre } (\{(I,Is),(S,(E,Es,SS))\} \text{ WHILE } b \text{ DO } C) \ Q = I$

fun *qdeps* :: *acom* \Rightarrow *vname set* \Rightarrow *vname set* **where**
qdeps *SKIP* *LV* = *LV* |
qdeps (*x ::= a*) *LV* = *LV* \cup *vars a* |
qdeps (*C*₁;; *C*₂) *LV* = *qdeps C*₁ (*qdeps C*₂ *LV*) |
qdeps ($\{(P',Ps)/_/_ \}$ *CONSEQ* *C*) $_ = Ps$ |
qdeps (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *LV* = *vars b* \cup *qdeps C*₁ *LV* \cup *qdeps*
*C*₂ *LV* |
qdeps ($\{(I,Is),(S,(E,x,Es))\} \text{ WHILE } b \text{ DO } C$) $_ = Is$

lemma *qdeps_mono*: $A \subseteq B \implies \text{qdeps } C \ A \subseteq \text{qdeps } C \ B$
 $\langle \text{proof} \rangle$

lemma *supportE_if*: $\text{supportE } (\lambda l s. \text{if } b \text{ s then } A \ l \ s \text{ else } B \ l \ s)$
 $\subseteq \text{supportE } A \cup \text{supportE } B$
 $\langle \text{proof} \rangle$

lemma *supportE_preT*: $\text{supportE } (\%l. \text{preT } C \ (e \ l)) \subseteq \text{supportE } e$
 $\langle \text{proof} \rangle$

lemma *supportE_twicepreT*: $\text{supportE } (\%l. \text{preT } C_1 \ (\text{preT } C_2 \ (e \ l))) \subseteq$
 $\text{supportE } e$
 $\langle \text{proof} \rangle$

lemma *supportE_preTz*: $\text{supportE } (\%l. \text{preTz } C \ (e \ l) \ n) \subseteq \text{supportE } e$
 $\langle \text{proof} \rangle$

lemma *supportE_preTz_Un*:
 $\text{supportE } (\lambda l. \text{preTz } C \ (e \ l) \ (l \ x)) \subseteq \text{insert } x \ (\text{UN } n. \text{supportE } (\lambda l. \text{preTz}$
 $C \ (e \ l) \ n))$
 $\langle \text{proof} \rangle$

lemma *support_eq*: $\text{support } (\lambda l s. l \ x = E \ l \ s) \subseteq \text{supportE } E \cup \{x\}$
 $\langle \text{proof} \rangle$

lemma *support_impl_in*: $G \ e \longrightarrow \text{support } (\lambda l s. H \ e \ l \ s) \subseteq T$
 $\implies \text{support } (\lambda l s. G \ e \longrightarrow H \ e \ l \ s) \subseteq T$

<proof>

lemma *support_supportE*: $\bigwedge P e. \text{support } (\lambda s. P (e \ l) \ s) \subseteq \text{supportE } e$
<proof>

lemma *support_pre*: $\text{support } (\text{pre } C \ Q) \subseteq \text{support } Q \cup \text{varacom } C$
<proof>

lemma *finite_support_pre*: $\text{finite } (\text{support } Q) \implies \text{finite } (\text{varacom } C) \implies$
 $\text{finite } (\text{support } (\text{pre } C \ Q))$
<proof>

fun *time* :: *acom* \Rightarrow *tbd* **where**
 time *SKIP* = (%s. *Suc* 0) |
 time (*x* ::= *a*) = (%s. *Suc* 0) |
 time (*C*₁;; *C*₂) = (%s. *time* *C*₁ *s* + *preT* *C*₁ (*time* *C*₂) *s*) |
 time ({_/_/(*e*,*es*)} *CONSEQ* *C*) = *e* |
 time (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) =
 ($\lambda s. \text{if } \text{bval } b \ s \ \text{then } 1 + \text{time } C_1 \ s \ \text{else } 1 + \text{time } C_2 \ s$) |
 time ({(_,(*E'*,(*E*,*x*))} *WHILE* *b* *DO* *C*) = *E*

fun *kdeps* :: *acom* \Rightarrow *vname* *set* **where**
 kdeps *SKIP* = {} |
 kdeps (*x* ::= *a*) = {} |
 kdeps (*C*₁;; *C*₂) = *kdeps* *C*₁ \cup *fune* *C*₁ (*kdeps* *C*₂) |
 kdeps (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) = *vars* *b* \cup *kdeps* *C*₁ \cup *kdeps* *C*₂ |
 kdeps ({(_,(*E'*,(*E*,*Es*,*SS*))} *WHILE* *b* *DO* *C*) = *Es* |
 kdeps ({_/_/(*e*,*es*)} *CONSEQ* *C*) = *es*

lemma *supportE_single*: $\text{supportE } (\lambda s. P) = \{\}$
<proof>

lemma *supportE_plus*: $\text{supportE } (\lambda s. e1 \ l \ s + e2 \ l \ s) \subseteq \text{supportE } e1 \cup$
 $\text{supportE } e2$
<proof>

lemma *supportE_Suc*: $\text{supportE } (\lambda s. \text{Suc } (e1 \ l \ s)) = \text{supportE } e1$
<proof>

lemma *supportE_single2*: $\text{supportE } (\lambda l . P) = \{\}$
 $\langle \text{proof} \rangle$

lemma *supportE_time*: $\text{supportE } (\lambda l . \text{time } C) = \{\}$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=0)) s) = (\forall l. l x = 0 \longrightarrow I l s)$
 $\langle \text{proof} \rangle$

lemma $\bigwedge s. (\forall l. I (l(x:=\text{Suc } (l x)))) s) = (\forall l. (\exists n. l x = \text{Suc } n) \longrightarrow I l s)$
 $\langle \text{proof} \rangle$

Verification condition:

definition *funStar where* $\text{funStar } f = (\%x. \{y. (x,y) \in \{(x,y). y \in f x\}^*\})$

lemma *funStart_prop1*: $x \in (\text{funStar } f) x \langle \text{proof} \rangle$

lemma *funStart_prop2*: $f x \subseteq (\text{funStar } f) x \langle \text{proof} \rangle$

fun *vc* :: *acom* \Rightarrow *assn2* \Rightarrow *vname set* \Rightarrow *vname set* \Rightarrow *bool* **where**

vc *SKIP* $Q _ _ = \text{True} \mid$

vc $(x ::= a) Q _ _ = \text{True} \mid$

vc $(C_1 ;; C_2) Q \text{ LVQ } LVE = ((vc C_1 (\text{pre } C_2 Q) (\text{qdeps } C_2 \text{ LVQ}) (\text{fune } C_2 \text{ LVE} \cup \text{kdeps } C_2)) \wedge (vc C_2 Q \text{ LVQ } LVE)) \mid$

vc $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) Q \text{ LVQ } LVE = (vc C_1 Q \text{ LVQ } LVE \wedge vc C_2 Q \text{ LVQ } LVE) \mid$

vc $(\{(P',Ps)/(Q,Qs)/(e',es)\} \text{ CONSEQ } C) Q' \text{ LVQ } LVE = (vc C Q Qs \text{ LVE} \text{ — evtl LV weglassen - glaub eher nicht}$

$\wedge (\forall s1 s2 l. (\forall x \in Ps. s1 x = s2 x) \longrightarrow P' l s1 = P' l s2) \text{ —}$

annotation *Ps* (the set of variables *P'* depends on) is correct

$\wedge (\forall s1 s2 l. (\forall x \in Qs. s1 x = s2 x) \longrightarrow Q l s1 = Q l s2) \text{ —}$

annotation *Qs* (the set of variables *Q* depends on) is correct

$\wedge (\forall s1 s2. (\forall x \in es. s1 x = s2 x) \longrightarrow e' s1 = e' s2) \text{ —}$

annotation *es* (the set of variables *e'* depends on) is correct

$\wedge (\exists k > 0. (\forall l s. P' l s \longrightarrow \text{time } C s \leq k * e' s \wedge (\forall t. \exists l'. (\text{pre } C Q) l' s \wedge (Q l' t \longrightarrow Q' l t)))) \mid$

vc $(\{(I,Is),(S,(E,es,SS))\} \text{ WHILE } b \text{ DO } C) Q \text{ LVQ } LVE = ((\forall s1 s2 l. (\forall x \in Is. s1 x = s2 x) \longrightarrow I l s1 = I l s2) \text{ — annotation } Is \text{ is correct}$

$\wedge (\forall y \in LVE \cup \text{LVQ}. (\text{let } Ss = SS \text{ } y \text{ in } (\forall s1 s2. (\forall x \in Ss. s1 x = s2 x) \longrightarrow (S s1) y = (S s2) y))) \text{ — annotation } SS \text{ is correct, for}$

only one step

$\wedge (\forall s1 s2. (\forall x \in es. s1 x = s2 x) \longrightarrow E s1 = E s2) \text{ —}$

annotation *es* (the set of variables *E* depends on) is correct

$$\begin{aligned}
& \wedge (\forall l s. (I l s \wedge \text{bval } b s \longrightarrow \text{pre } C I l s \wedge E s \geq 1 + \text{preT } C E s + \\
& \text{time } C s \\
& \wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (\text{funStar } SS) y). (S s) v = (S (\text{postQ } C s)) v) \\
&) \wedge \\
& (I l s \wedge \neg \text{bval } b s \longrightarrow Q l s \wedge E s \geq 1 \wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (\text{funStar} \\
& SS) y). (S s) v = s v)) \wedge \\
& \text{vc } C I Is (es \cup (\bigcup y \in LVE. (\text{funStar } SS) y))
\end{aligned}$$

4.8.1 Soundness:

abbreviation $\text{preSet } U C l s == (\text{Ball } U (\%u. \text{case } u \text{ of } (x, e, v) \Rightarrow l x = \text{preT } C e s))$

abbreviation $\text{postSet } U l s == (\text{Ball } U (\%u. \text{case } u \text{ of } (x, e, v) \Rightarrow l x = e s))$

fun ListUpdate **where**

$\text{ListUpdate } f [] l = f$
 $| \text{ListUpdate } f ((x, e, v) \# xs) q = (\text{ListUpdate } f xs q)(x := q e x)$

lemma allg :

assumes $U2: \bigwedge l s n x. x \in \text{fst } ' \text{upds} \Longrightarrow A (l(x := n)) = A l$

shows

$\text{fst } ' \text{set } xs \subseteq \text{fst } ' \text{upds} \Longrightarrow A (\text{ListUpdate } l'' xs q) = A l''$

$\langle \text{proof} \rangle$

fun ListUpdateE **where**

$\text{ListUpdateE } f [] = f$
 $| \text{ListUpdateE } f ((x, e, v) \# xs) = (\text{ListUpdateE } f xs) (x := e)$

lemma ListUpdate_E : $\text{ListUpdateE } f xs = \text{ListUpdate } f xs (\%e x. e)$

$\langle \text{proof} \rangle$

lemma allg_E : **fixes** $A::\text{asn2}$

assumes

$(\bigwedge l s n x. x \in \text{fst } ' \text{upds} \Longrightarrow A (l(x := n)) = A l) \text{fst } ' \text{set } xs \subseteq \text{fst } ' \text{upds}$

shows $A (\text{ListUpdateE } f xs) = A f$

$\langle \text{proof} \rangle$

lemma $\text{ListUpdateE_updates}$: $\text{distinct } (\text{map } \text{fst } xs) \Longrightarrow x \in \text{set } xs \Longrightarrow \text{ListUpdateE } l'' xs (\text{fst } x) = \text{fst } (\text{snd } x)$

$\langle \text{proof} \rangle$

lemma $\text{ListUpdate_updates}$: $x \in \text{fst } ' (\text{set } xs) \Longrightarrow \text{ListUpdate } l'' xs (\%e. l)$

$x = l x$
 $\langle proof \rangle$

abbreviation $lesvars\ xs == fst\ '(set\ xs)$

fun $preList$ **where**
 $preList\ []\ C\ l\ s = True$
| $preList\ ((x,(e,v))\#xs)\ C\ l\ s = (l\ x = preT\ C\ e\ s \wedge preList\ xs\ C\ l\ s)$

lemma $preList_Seq$: $preList\ upds\ (C1;;\ C2)\ l\ s = preList\ (map\ (\lambda(x,\ e,\ v).\ (x,\ preT\ C2\ e,\ fune\ C2\ v))\ upds)\ C1\ l\ s$
 $\langle proof \rangle$

lemma $[simp]$: $support\ (\lambda a\ b.\ True) = \{\}$
 $\langle proof \rangle$

lemma $support_preList$: $support\ (preList\ upds\ C1) \subseteq lesvars\ upds$
 $\langle proof \rangle$

lemma $preListpreSet$: $preSet\ (set\ xs)\ C\ l\ s \implies preList\ xs\ C\ l\ s$
 $\langle proof \rangle$

lemma $preSetpreList$: $preList\ xs\ C\ l\ s \implies preSet\ (set\ xs)\ C\ l\ s$
 $\langle proof \rangle$

lemma $preSetpreList_eq$: $preList\ xs\ C\ l\ s = preSet\ (set\ xs)\ C\ l\ s$
 $\langle proof \rangle$

fun $postList$ **where**
 $postList\ []\ l\ s = True$
| $postList\ ((x,(e,v))\#xs)\ l\ s = (l\ x = e\ s \wedge postList\ xs\ l\ s)$

lemma $postList\ xs\ l\ s = (foldr\ (\lambda(x,(e,v))\ acc\ l\ s.\ l\ x = e\ s \wedge acc\ l\ s)\ xs\ (%l\ s.\ True))\ l\ s$
 $\langle proof \rangle$

lemma $support_postList$: $support\ (postList\ xs) \subseteq lesvars\ xs$
 $\langle proof \rangle$

lemma *postList_preList*: $postList (map (\lambda(x, e, v). (x, preT C2 e, fune C2 v)) upds) l s = preList upds C2 l s$
 ⟨proof⟩

lemma *postSetpostList*: $postList xs l s \implies postSet (set xs) l s$
 ⟨proof⟩

lemma *postListpostSet*: $postSet (set xs) l s \implies postList xs l s$
 ⟨proof⟩

lemma *postListpostSet2*: $postList xs l s = postSet (set xs) l s$
 ⟨proof⟩

lemma *ListAskip*: $preList xs Askip l s = postList xs l s$
 ⟨proof⟩

lemma *SetAskip*: $preSet U Askip l s = postSet U l s$
 ⟨proof⟩

lemma *ListAassign*: $preList upds (Aassign x1 x2) l s = postList upds l (s[x2/x1])$
 ⟨proof⟩

lemma *SetAassign*: $preSet U (Aassign x1 x2) l s = postSet U l (s[x2/x1])$
 ⟨proof⟩

lemma *ListAconseq*: $preList upds (Aconseq x1 x2 x3 C) l s = preList upds C l s$
 ⟨proof⟩

lemma *SetAconseq*: $preSet U (Aconseq x1 x2 x3 C) l s = preSet U C l s$
 ⟨proof⟩

lemma *ListAif1*: $bval b s \implies preList upds (IF b THEN C1 ELSE C2) l s = preList upds C1 l s$
 ⟨proof⟩

lemma *SetAif1*: $bval b s \implies preSet upds (IF b THEN C1 ELSE C2) l s = preSet upds C1 l s$

<proof>

lemma *ListAif2*: $\sim \text{bval } b \text{ } s \implies \text{preList upds (IF } b \text{ THEN } C1 \text{ ELSE } C2) \text{ } l$
 $s = \text{preList upds } C2 \text{ } l \text{ } s$

<proof>

lemma *SetAif2*: $\sim \text{bval } b \text{ } s \implies \text{preSet upds (IF } b \text{ THEN } C1 \text{ ELSE } C2) \text{ } l \text{ } s$
 $= \text{preSet upds } C2 \text{ } l \text{ } s$

<proof>

definition *K* where $K \text{ } C \text{ } LVQ \text{ } Q == (\forall l \text{ } s1 \text{ } s2. s1 = s2 \text{ on } q\text{deps } C \text{ } LVQ$
 $\longrightarrow \text{pre } C \text{ } Q \text{ } l \text{ } s1 = \text{pre } C \text{ } Q \text{ } l \text{ } s2)$

definition *K2* where $K2 \text{ } C \text{ } e \text{ } Es \text{ } Q == (\forall s1 \text{ } s2. s1 = s2 \text{ on } \text{fune } C \text{ } Es$
 $\longrightarrow \text{preT } C \text{ } e \text{ } s1 = \text{preT } C \text{ } e \text{ } s2)$

definition *K3* where $K3 \text{ upds } C \text{ } Q = (\forall (a,b,c) \in \text{set upds}. K2 \text{ } C \text{ } b \text{ } c \text{ } Q)$

definition *K4* where $K4 \text{ upds } LV \text{ } C \text{ } Q = (K \text{ } C \text{ } LV \text{ } Q \wedge K3 \text{ upds } C \text{ } Q \wedge$
 $(\forall s1 \text{ } s2. s1 = s2 \text{ on } k\text{deps } C \longrightarrow \text{time } C \text{ } s1 = \text{time } C \text{ } s2))$

lemma *k4If*: $K4 \text{ upds } LVQ \text{ } C1 \text{ } Q \implies K4 \text{ upds } LVQ \text{ } C2 \text{ } Q \implies K4 \text{ upds}$
 $LVQ \text{ (IF } b \text{ THEN } C1 \text{ ELSE } C2) \text{ } Q$

<proof>

4.8.2 Soundness

lemma *vc_sound*: $\text{vc } C \text{ } Q \text{ } LVQ \text{ } LVE \implies \text{finite (support } Q)$

$\implies \text{fst } ' \text{ (set upds) } \cap \text{varacom } C = \{\}$ $\implies \text{distinct (map fst upds)}$

$\implies \text{finite (varacom } C)$

$\implies (\forall l \text{ } s1 \text{ } s2. s1 = s2 \text{ on } LVQ \longrightarrow Q \text{ } l \text{ } s1 = Q \text{ } l \text{ } s2)$

$\implies (\forall l \text{ } s1 \text{ } s2. s1 = s2 \text{ on } LVE \longrightarrow \text{postList upds } l \text{ } s1 = \text{postList upds } l$
 $s2)$

$\implies (\forall (a,b,c) \in \text{set upds}. (\forall s1 \text{ } s2. s1 = s2 \text{ on } c \longrightarrow b \text{ } s1 = b \text{ } s2))$ —

c are really the variables b depends on

$\implies (\bigcup (a,b,c) \in \text{set upds}. c) \subseteq LVE$ — in *LV*

are all the variables that the expressions in *upds* depend on

$\implies \vdash_1 \{ \%l \text{ } s. \text{pre } C \text{ } Q \text{ } l \text{ } s \wedge \text{preList upds } C \text{ } l \text{ } s \} \text{strip } C \{ \text{time } C \downarrow \%l \text{ } s.}$

$Q \text{ } l \text{ } s \wedge \text{postList upds } l \text{ } s \}$

$\wedge ((\forall l \text{ } s. \text{pre } C \text{ } Q \text{ } l \text{ } s \longrightarrow Q \text{ } l \text{ } (\text{postQ } C \text{ } s)) \wedge K4 \text{ upds } LVQ \text{ } C \text{ } Q)$

<proof>

corollary *vc_sound'*:

assumes $\text{vc } C \text{ } Q \text{ } Q\text{set } \{\}$

$finite (support\ Q)\ finite (varacom\ C)$
 $\forall l\ s.\ P\ l\ s \longrightarrow pre\ C\ Q\ l\ s$
 $\wedge s1\ s2\ l.\ s1 = s2\ on\ Qset \implies Q\ l\ s1 = Q\ l\ s2$
shows $\vdash_1 \{P\}\ strip\ C\ \{time\ C\ \Downarrow\ Q\}$
 $\langle proof \rangle$

corollary vc_sound'' :

assumes $vc\ C\ Q\ Qset\ \{\}$
 $finite (support\ Q)\ finite (varacom\ C)$
 $(\exists k>0.\ \forall l\ s.\ P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge time\ C\ s \leq k * e\ s)$
 $\wedge s1\ s2\ l.\ s1 = s2\ on\ Qset \implies Q\ l\ s1 = Q\ l\ s2$
shows $\vdash_1 \{P\}\ strip\ C\ \{e\ \Downarrow\ Q\}$
 $\langle proof \rangle$

end

theory $Nielson_VCGi_complete$

imports $Nielson_VCG\ Nielson_VCGi$

begin

4.8.3 Completeness

As the improved VCG for the Nielson logic is only more liberal in the sense that the S annotation is only checked for "interesting" variables, if we specify the set of interesting variables to be all variables we basically get the same verification conditions as for the normal VCG. In that sense, we can prove the completeness of the improved VCG with the completeness theorem of the normal VCG.

For that, we formulate some translation functions and in the end show completeness of the improved VCG:

fun $transl :: Nielson_VCG.acom \Rightarrow Nielson_VCGi.acom$ **where**
 $transl\ SKIP = SKIP\ |$
 $transl\ (x ::= a) = (x ::= a)\ |$
 $transl\ (C_1;; C_2) = (transl\ C_1;; transl\ C_2)\ |$
 $transl\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) = (IF\ b\ THEN\ transl\ C_1\ ELSE\ transl\ C_2)\ |$
 $transl\ (\{A/B/D\}\ CONSEQ\ C) = (\{(A,UNIV)/(B,UNIV)/(D,UNIV)\}\ CONSEQ\ transl\ C)\ |$
 $transl\ (\{(I,S,E)\}\ WHILE\ b\ DO\ C) = (\{((I,UNIV),S,E,UNIV,(\lambda v.\ UNIV))\}\ WHILE\ b\ DO\ transl\ C)$

lemma $qdeps_UNIV$: $qdeps\ (transl\ C)\ UNIV = UNIV$
 $\langle proof \rangle$

lemma $fune_UNIV$: $fune\ (transl\ C)\ UNIV = UNIV$

$\langle \text{proof} \rangle$

lemma *pre_transl*: $Nielson_VCGi.pre (transl C) Q = Nielson_VCG.pre C Q$

$\langle \text{proof} \rangle$

lemma *preT_transl*: $Nielson_VCGi.preT (transl C) E = Nielson_VCG.preT C E$

$\langle \text{proof} \rangle$

lemma *postQ_transl*: $Nielson_VCGi.postQ (transl C) = Nielson_VCG.postQ C$

$\langle \text{proof} \rangle$

lemma *time_transl*: $Nielson_VCGi.time (transl C) = Nielson_VCG.time C$

$\langle \text{proof} \rangle$

lemma *vc_transl*: $Nielson_VCG.vc C Q \implies Nielson_VCGi.vc (transl C) Q UNIV UNIV$

$\langle \text{proof} \rangle$

lemma *strip_transl*: $Nielson_VCGi.strip (transl C) = Nielson_VCG.strip C$

$\langle \text{proof} \rangle$

lemma *vc_restrict_complete*:

assumes $\vdash_1 \{P\} c \{e \Downarrow Q\}$

shows $\exists C. Nielson_VCGi.strip C = c \wedge Nielson_VCGi.vc C Q UNIV UNIV$

$\wedge (\forall l s. P l s \longrightarrow Nielson_VCGi.pre C Q l s \wedge Q l (Nielson_VCGi.postQ C s))$

$\wedge (\exists k. \forall l s. P l s \longrightarrow Nielson_VCGi.time C s \leq k * e s)$

(is $\exists C. ?G P c Q C e)$

$\langle \text{proof} \rangle$

end

theory *Nielson_Examples*

imports *Nielson_VCG*

begin

4.8.4 example

lemma $\vdash_1 \{ \%l s. True \} SKIP ;; SKIP \{ \%s. 1 \Downarrow \%l s. True \}$
<proof>

lemma *finite* (*support* P) $\implies \vdash_1 \{ P \} strip Askip \{ time Askip \Downarrow P \}$
<proof>

lemma *support_single2*: *support* $(\lambda l s. P s) = \{ \}$
<proof>

lemma $\vdash_1 \{ \%l s. True \} strip (Aassign a (N 1)) \{ time (Aassign a (N 1)) \Downarrow \%l s. s a = 1 \}$
<proof>

lemma $\vdash_1 \{ \%l s. True \} strip ((a ::= (N 1)) ;; Askip) \{ time ((a ::= (N 1)) ;; Askip) \Downarrow \%l s. s a = 1 \}$
<proof>

lemma $\vdash_1 \{ \%l s. True \} strip ((a ::= (N 1)) ;; b ::= (V a)) \{ time ((a ::= (N 1)) ;; b ::= (V a)) \Downarrow \%l s. s b = 1 \}$
<proof>

lemma *assumes*

E: $E = (\%s. 1 + 2 * (4 - nat (s a)))$ **and**

C: $C = (\{ (I, (S, (E))) \} WHILE Less (V a) (N 3) DO a ::= Plus (V a) (N 1))$

shows $\bigwedge s. 0 \leq s a \implies time C s \leq 9$

<proof>

Count up to 3 **lemma** *example_count_upto_3*: **assumes**

I: $I = (\%l s. s a \geq 0)$ **and**

E: $E = (\%s. 1 + 2 * (4 - nat (s a)))$ **and**

S: $S = (\%s. (if s a \geq 3 then s else s(a:=3)))$ **and**

C: $C = (\{ (I, (S, (E))) \} WHILE Less (V a) (N 3) DO a ::= Plus (V a) (N 1))$

shows $\vdash_1 \{ \%l s. 0 \leq s a \} strip C \{ time C \Downarrow \%l s. True \}$

<proof>

Count up to b **lemma** *example_count_upto_b*: **assumes**

I: $I = (\%l s. s a \geq 0)$ **and**

$E: E = (\%s. 1 + 2 * ((nat\ b+1) - nat\ (s\ a)))$ **and**
 $S: S = (\%s. (if\ s\ a \geq b\ then\ s\ else\ s(a:=b)))$ **and**
 $C: C = (\{(I,(S,(E)))\}$ **WHILE** $Less\ (V\ a)\ (N\ b)$ **DO** $a ::= Plus\ (V\ a)\ (N\ 1)$)
shows $\vdash_1 \{ \%l\ s. 0 \leq s\ a \}$ *strip* $C \{ time\ C \Downarrow \%l\ s. True \}$
 $\langle proof \rangle$

Example: multiplication by repeated addition *lemma helper:* $(A::int)$
 $* B + B = (A+1) * B$ $\langle proof \rangle$

lemma mult: assumes

$I: I = (\%l\ s. s\ ''a'' \geq 0 \wedge s\ ''a'' \geq s\ ''z'' \wedge s\ ''z'' \geq 0 \wedge s\ ''y'' = s\ ''z''$
 $* (s\ ''b'')$) **and**
 $E: E = (\%s. 1 + 3 * ((nat\ (s\ ''a'') + 1) - nat\ (s\ ''z'')))$ **and**
 $S: S = (\%s. (if\ s\ ''z'' \geq s\ ''a''\ then\ s\ else\ s(''y'':=s\ ''a'') * (s\ ''b''), ''z'':=s\ ''a''))$) **and**
 $C: C = (''y'' ::= (N\ 0));; ''z'' ::= (N\ 0) ;; \{(I,(S,(E)))\}$ **WHILE** $Less\ (V\ ''z'')\ (V\ ''a'')$ **DO** $(''y'' ::= Plus\ (V\ ''y'')\ (V\ ''b'')) ;; ''z'' ::= Plus\ (V\ ''z'')\ (N\ 1)$)) **and**
 $f: f = (\%s. 3 * (nat\ (s\ ''a'') + 2))$
shows $\vdash_1 \{ \%l\ s. 0 \leq s\ ''a'' \}$ *strip* $C \{ f \Downarrow \%l\ s. s\ ''y'' = s\ ''a'' * (s\ ''b'')$
 $\}$
 $\langle proof \rangle$

lemma mult_abstract: assumes

$I: I = (\%l\ s. s\ ''a'' \geq 0 \wedge s\ ''a'' \geq s\ ''z'' \wedge s\ ''z'' \geq 0 \wedge s\ ''y'' = s\ ''z''$
 $* (s\ ''b'')$) **and**
 $E: E = (\%s. 1 + 2 * ((nat\ (s\ ''a'') + 1) - nat\ (s\ ''z'')))$ **and**
 $S: S = (\%s. (if\ s\ ''z'' \geq s\ ''a''\ then\ s\ else\ s(''y'':=s\ ''a'') * (s\ ''b''), ''z'':=s\ ''a''))$) **and**
 $e: e = (\%s. 1)$ **and**
 $lb[simp]: (lb::acom) = (\{\lambda l\ s. I\ l\ s \wedge s\ ''z'' < s\ ''a''\ /I/e\}$ **CONSEQ** $(''y'' ::= Plus\ (V\ ''y'')\ (V\ ''b'')) ;; ''z'' ::= Plus\ (V\ ''z'')\ (N\ 1)$)) **and**
 $l[simp]: (l::acom) = (\{(I,(S,(E)))\}$ **WHILE** $(Less\ (V\ ''z'')\ (V\ ''a''))$ **DO**
 lb **and**
 $e'[simp]: e' = (\%s. 1 + (nat\ (s\ ''a'')))$ **and**
 $wl[simp]: (wl::acom) = \{I/\lambda l\ s. I\ l\ s \wedge s\ ''z'' \geq s\ ''a''/e'\}$ **CONSEQ** l **and**
 $C: (C::acom) = (''y'' ::= (N\ 0));; ''z'' ::= (N\ 0) ;; wl)$ **and**
 $f: f = (\%s. nat\ (s\ ''a'') + 1)$
shows $\vdash_1 \{ \%l\ s. 0 \leq s\ ''a'' \}$ *strip* $(\{ \%l\ s. 0 \leq s\ ''a'' / \%l\ s. s\ ''y'' = s\ ''a'' * (s\ ''b'') / f \}$ **CONSEQ** $C \{ f \Downarrow \%l\ s. s\ ''y'' = s\ ''a'' * (s\ ''b'')$
 $\}$

$\langle \text{proof} \rangle$

Example: nested loops lemma *nested: assumes*

$I2: I2 = (\%l s. s \text{"a''} \geq 0 \wedge s \text{"b''} \geq 0 \wedge s \text{"a''} > s \text{"z''} \wedge s \text{"z''} \geq 0 \wedge s \text{"b''} \geq s \text{"g''} \wedge s \text{"g''} \geq 0 \wedge s \text{"y''} = (s \text{"z''}) * (s \text{"b''}) + s \text{"g''})$
and

$I1: I1 = (\%l s. s \text{"a''} \geq 0 \wedge s \text{"b''} \geq 0 \wedge s \text{"a''} \geq s \text{"z''} \wedge s \text{"z''} \geq 0 \wedge s \text{"y''} = s \text{"z''} * (s \text{"b''}))$ **and**

$E2: E2 = (\%s. 1 + 3 * ((\text{nat} (s \text{"b''})) - \text{nat} (s \text{"g''})))$ **and**

$S2: S2 = (\%s. (\text{if } s \text{"g''} \geq s \text{"b''} \text{ then } s \text{ else } s(\text{"y''} := (s \text{"z''}) * (s \text{"b''}) + s \text{"b''}, \text{"g''} := s \text{"b''})))$ **and**

$E1: E1 = (\%s. 1 + (4 + (3 * ((\text{nat} (s \text{"b''})))))) * ((\text{nat} (s \text{"a''})) - \text{nat} (s \text{"z''}))$ **and**

$S1: S1 = (\%s. (\text{if } s \text{"z''} \geq s \text{"a''} \text{ then } s \text{ else } s(\text{"y''} := (s \text{"a''}) * (s \text{"b''}), \text{"z''} := s \text{"a''}, \text{"g''} := s \text{"b''})))$ **and**

$C: C = (\text{"y''} ::= (N 0));;$

$\text{"z''} ::= (N 0);;$

$\{(I1, (S1, (E1)))\}$ WHILE Less (V "z'') (V "a'') DO

(

$\text{"g''} ::= (N 0);;$

(

$\{(I2, (S2, (E2)))\}$ WHILE Less (V "g'') (V "b'') DO

$(\text{"y''} ::= \text{Plus} (V \text{"y''}) (N 1));;$

$\text{"g''} ::= \text{Plus} (V \text{"g''}) (N 1))$

) ;;

$\text{"z''} ::= \text{Plus} (V \text{"z''}) (N 1))$

) **and**

$f: f = (\%s. 3 + 4 * \text{nat}(s \text{"a''}) + 3 * (\text{nat}(s \text{"a''}) * \text{nat}(s \text{"b''})))$

shows $\vdash_1 \{ \%l s. 0 \leq s \text{"a''} \wedge s \text{"b''} \geq 0 \}$ strip C { f \Downarrow $\%l s. s \text{"y''} = s \text{"a''} * (s \text{"b''})$ }

$\langle \text{proof} \rangle$

with logical variables lemma *fin_sup_single: finite (support (λl. P (l a)))*

$\langle \text{proof} \rangle$

lemmas *fin_support = fin_sup_single*

lemma *finite_support_and: finite (support A) \implies finite (support B) \implies*

```

finite (support (λ l s. A l s ∧ B l s))
  ⟨proof⟩

```

```

end
theory Nielson_Sqrt
imports Nielson_VCGi HOL-Library.Discrete
begin

```

4.9 Example: discrete square root in Nielson's logic

As an example, consider the following program that computes the discrete square root:

```

definition c :: com where c =
  "l" ::= N 0 ;;
  "m" ::= N 0 ;;
  "r" ::= Plus (N 1) (V "x");;
  (WHILE (Less (Plus (N 1) (V "l"))) (V "r"))
    DO ("m" ::= (Div (Plus (V "l") (V "r")) (N 2)) ;;
      (IF Not (Less (Times (V "m") (V "m")) (V "x"))
        THEN "l" ::= V "m"
        ELSE "r" ::= V "m");;
      "m" ::= N 0))

```

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

```

lemma absch: λ s k. 1 + s "x" = 2 ^ k ⇒ 5 * k ≤ 96 + 100 * Discrete.log
(nat (s "x"))
  ⟨proof⟩

```

For simplicity we assume, that during the process all segments between "l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the precondition P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log "x")$

```

lemma
  assumes P: P = (λ l s. (∃ k. 1 + s "x" = 2 ^ k) )
  and e : e = (λ s. Discrete.log (nat (s "x")) + 1) and
  Q[simp]: Q = (λ l s. True)
  shows ⊢1 {P} c { e ↓ Q }
  ⟨proof⟩

```

end

5 Quantitative Hoare Logic (due to Carbonneaux)

```
theory Quant_Hoare
imports Big_StepT Complex_Main HOL-Library.Extended_Nat
begin
```

```
abbreviation eq a b == (And (Not (Less a b)) (Not (Less b a)))
```

```
type_synonym lname = string
type_synonym assn = state  $\Rightarrow$  bool
type_synonym qassn = state  $\Rightarrow$  enat
```

The support of an assn2

```
abbreviation state_subst :: state  $\Rightarrow$  aexp  $\Rightarrow$  vname  $\Rightarrow$  state
  ( $\_$ [ $\_$ '/ $\_$ ] [1000,0,0] 999)
where s[a/x] == s(x := aval a s)
```

```
fun emb :: bool  $\Rightarrow$  enat ( $\uparrow$ ) where
  emb False =  $\infty$ 
| emb True = 0
```

5.1 Validity of quantitative Hoare Triple

```
definition hoare2_valid :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool
  ( $\models_2$   $\{(1\_)\}$ / $\_$ / $\{(1\_)\}$  50) where
 $\models_2$   $\{P\}$  c  $\{Q\}$   $\longleftrightarrow$  ( $\forall s. P s < \infty \longrightarrow (\exists t p. ((c,s) \Rightarrow p \Downarrow t) \wedge P s \geq p + Q t)$ )
```

5.2 Hoare logic for quantiative reasoning

inductive

```
hoare2 :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool ( $\vdash_2$  ( $\{(1\_)\}$ / $\_$ / $\{(1\_)\}$ ) 50)
where
```

```
Skip:  $\vdash_2$   $\{\%s. eSuc (P s)\}$  SKIP  $\{P\}$  |
```

```
Assign:  $\vdash_2$   $\{\lambda s. eSuc (P (s[a/x]))\}$   $x ::= a$   $\{P\}$  |
```

If: $\llbracket \vdash_2 \{ \lambda s. P \ s + \uparrow(\text{bval } b \ s) \} \ c_1 \ \{ Q \};$
 $\vdash_2 \{ \lambda s. P \ s + \uparrow(\neg \text{bval } b \ s) \} \ c_2 \ \{ Q \} \rrbracket$
 $\implies \vdash_2 \{ \lambda s. \text{eSuc } (P \ s) \} \ \text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2 \ \{ Q \} \mid$

Seq: $\llbracket \vdash_2 \{ P_1 \} \ c_1 \ \{ P_2 \}; \vdash_2 \{ P_2 \} \ c_2 \ \{ P_3 \} \rrbracket \implies \vdash_2 \{ P_1 \} \ c_1;; c_2 \ \{ P_3 \} \mid$

While:

$\llbracket \vdash_2 \{ \%s. I \ s + \uparrow(\text{bval } b \ s) \} \ c \ \{ \%t. I \ t + 1 \} \rrbracket$
 $\implies \vdash_2 \{ \lambda s. I \ s + 1 \} \ \text{WHILE } b \ \text{DO } c \ \{ \lambda s. I \ s + \uparrow(\neg \text{bval } b \ s) \} \mid$

conseq: $\llbracket \vdash_2 \{ P \} \ c \ \{ Q \}; \wedge s. P \ s \leq P' \ s; \wedge s. Q' \ s \leq Q \ s \rrbracket \implies$
 $\vdash_2 \{ P' \} \ c \ \{ Q' \}$

derived rules

lemma *strengthen_pre*: $\llbracket \forall s. P \ s \leq P' \ s; \vdash_2 \{ P \} \ c \ \{ Q \} \rrbracket \implies \vdash_2 \{ P' \} \ c \ \{ Q \}$
 $\langle \text{proof} \rangle$

lemma *weaken_post*: $\llbracket \vdash_2 \{ P \} \ c \ \{ Q \}; \forall s. Q \ s \geq Q' \ s \rrbracket \implies \vdash_2 \{ P \} \ c \ \{ Q' \}$
 $\langle \text{proof} \rangle$

lemma *Assign'*: $\forall s. P \ s \geq \text{eSuc } (Q(s[a/x])) \implies \vdash_2 \{ P \} \ x ::= a \ \{ Q \}$
 $\langle \text{proof} \rangle$

lemma *progress*: $(c, s) \Rightarrow p \Downarrow t \implies p > 0$
 $\langle \text{proof} \rangle$

lemma *FalseImplies*: $\vdash_2 \{ \%s. \infty \} \ c \ \{ Q \}$
 $\langle \text{proof} \rangle$

5.3 Soundness

The soundness theorem:

lemma *help1*: **assumes** $\text{enat } a + X \leq Y$
 $\text{enat } b + Z \leq X$
shows $\text{enat } (a + b) + Z \leq Y$
 $\langle \text{proof} \rangle$

lemma *help2'*: **assumes** $\text{enat } p + \text{INV } t \leq \text{INV } s$
 $0 < p \ \text{INV } s = \text{enat } n$
shows $\text{INV } t < \text{INV } s$

$\langle proof \rangle$

lemma *help2*: **assumes** $enat\ p + INV\ t + 1 \leq INV\ s$

$INV\ s = enat\ n$

shows $INV\ t < INV\ s$

$\langle proof \rangle$

lemma *Seq_sound*: **assumes** $\models_2 \{P1\}\ C1\ \{P2\}$

$\models_2 \{P2\}\ C2\ \{P3\}$

shows $\models_2 \{P1\}\ C1\ ;;\ C2\ \{P3\}$

$\langle proof \rangle$

theorem *hoare2_sound*: $\vdash_2 \{P\}c\{Q\} \implies \models_2 \{P\}c\{Q\}$

$\langle proof \rangle$

5.4 Completeness

definition $wp2 :: com \Rightarrow qassn \Rightarrow qassn$ **where**

$wp2\ c\ Q = (\lambda s. (if\ (\exists t\ p. (c,s) \Rightarrow p \Downarrow t \wedge Q\ t < \infty)\ then\ enat\ (THE\ p.\ \exists t. (c,s) \Rightarrow p \Downarrow t) + Q\ (THE\ t.\ \exists p. (c,s) \Rightarrow p \Downarrow t)\ else\ \infty))$

lemma *wp2_alt*: $wp2\ c\ Q = (\lambda s. (if\ \downarrow(c,s)\ then\ enat\ (\downarrow_t\ (c, s)) + Q\ (\downarrow_s\ (c, s))\ else\ \infty))$

$\langle proof \rangle$

theorem *wp2_is_weakestprePotential*: $\models_2 \{P\}c\{Q\} \longleftrightarrow (\forall s. wp2\ c\ Q\ s \leq P\ s)$

$\langle proof \rangle$

lemma *wp2_Skip[simp]*: $wp2\ SKIP\ Q = (\%s. eSuc\ (Q\ s))$

$\langle proof \rangle$

lemma *wp2_Assign[simp]*: $wp2\ (x ::= e)\ Q = (\lambda s. eSuc\ (Q\ (s(x := aval\ e\ s))))$

$\langle proof \rangle$

lemma *wp2_Seq[simp]*: $wp2\ (c1;;c2)\ Q = wp2\ c1\ (wp2\ c2\ Q)$

$\langle proof \rangle$

lemma *wp2_If[simp]*:

$wp_2 (IF\ b\ THEN\ c_1\ ELSE\ c_2)\ Q = (\lambda s. eSuc (wp_2 (if\ bval\ b\ s\ then\ c_1\ else\ c_2)\ Q\ s))$
<proof>

lemma *assumes* $b: bval\ b\ s$

shows *wp2WhileTrue*: $wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\ s + 1 \leq wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
<proof>

lemma *assumes* $b: bval\ b\ s$

shows *wp2WhileTrue'*: $wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\ s + 1 = wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
<proof>

lemma *assumes* $b: \sim bval\ b\ s$

shows *wp2WhileFalse*: $Q\ s + 1 \leq wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
<proof>

lemma *that_WhileFalse*: $\sim bval\ b\ s \implies \downarrow_t (WHILE\ b\ DO\ c, s) = 1$ *<proof>*

lemma *thes_WhileFalse*: $\sim bval\ b\ s \implies \downarrow_s (WHILE\ b\ DO\ c, s) = s$ *<proof>*

lemma *assumes* $b: \sim bval\ b\ s$

shows *wp2WhileFalse'*: $Q\ s + 1 = wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
<proof>

lemma *wp2While*: $(if\ bval\ b\ s\ then\ wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\ s\ else\ Q\ s) + 1 = wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
<proof>

lemma *assumes* $\wedge Q. \vdash_2 \{wp_2\ c\ Q\} c \{Q\}$

shows $\vdash_2 \{wp_2\ (WHILE\ b\ DO\ c)\ Q\} WHILE\ b\ DO\ c\ \{Q\}$
<proof>

lemma *wp2_is_pre*: $\vdash_2 \{wp_2\ c\ Q\} \ c\ \{Q\}$
 $\langle proof \rangle$

lemma *wp2_is_weakestprePotential1*: $\models_2 \{P\}c\{Q\} \implies (\forall s. wp_2\ c\ Q\ s \leq P\ s)$
 $\langle proof \rangle$

lemma *wp2_is_weakestprePotential2*: $(\forall s. wp_2\ c\ Q\ s \leq P\ s) \implies \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

theorem *wp2_is_weakestprePotential*: $(\forall s. wp_2\ c\ Q\ s \leq P\ s) \longleftrightarrow \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

theorem *hoare2_complete*: $\models_2 \{P\}c\{Q\} \implies \vdash_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

corollary *hoare2_sound_complete*: $\vdash_2 \{P\}c\{Q\} \longleftrightarrow \models_2 \{P\}c\{Q\}$
 $\langle proof \rangle$

end

5.5 Verification Condition Generator

theory *Quant_VCG*
imports *Quant_Hoare*
begin

datatype *acom* =
Askip $(SKIP)$ |
Aassign *vname* *aexp* $((_ ::= _) [1000, 61] 61)$ |
Aseq *acom* *acom* $((_ ;; _ [60, 61] 60)$ |
Aif *bexp* *acom* *acom* $((IF _ / THEN _ / ELSE _) [0, 0, 61] 61)$ |

Awhile qassn bexp acom (({ }/ WHILE _/ DO _) [0, 0, 61] 61)

notation *com.SKIP (SKIP)*

fun *strip :: acom \Rightarrow com where*

strip SKIP = SKIP |
strip (x ::= a) = (x ::= a) |
strip (C₁;; C₂) = (strip C₁;; strip C₂) |
strip (IF b THEN C₁ ELSE C₂) = (IF b THEN strip C₁ ELSE strip C₂) |
strip ({ } WHILE b DO C) = (WHILE b DO strip C)

fun *pre :: acom \Rightarrow qassn \Rightarrow qassn where*

pre SKIP Q = ($\lambda s. eSuc (Q s)$) |
pre (x ::= a) Q = ($\lambda s. eSuc (Q (s[a/x]))$) |
pre (C₁;; C₂) Q = pre C₁ (pre C₂ Q) |
pre (IF b THEN C₁ ELSE C₂) Q =
($\lambda s. eSuc (if bval b s then pre C₁ Q s else pre C₂ Q s)$) |
pre ({I} WHILE b DO C) Q = ($\lambda s. I s + 1$)

fun *vc :: acom \Rightarrow qassn \Rightarrow bool where*

vc SKIP Q = True |
vc (x ::= a) Q = True |
vc (C₁;; C₂) Q = ((vc C₁ (pre C₂ Q)) \wedge (vc C₂ Q)) |
vc (IF b THEN C₁ ELSE C₂) Q = (vc C₁ Q \wedge vc C₂ Q) |
vc ({I} WHILE b DO C) Q = (($\forall s. (pre C (\lambda s. I s + 1) s \leq I s + \uparrow(bval b s)) \wedge (Q s \leq I s + \uparrow(\neg bval b s))$) \wedge vc C (%s. I s + 1))

5.5.1 Soundness of VCG

lemma *vc_sound: vc C Q \Longrightarrow \vdash_2 {pre C Q} strip C { Q }*
\langle proof \rangle

lemma *vc_sound': $\llbracket vc C Q ; (\forall s. pre C Q s \leq P s) \rrbracket \Longrightarrow \vdash_2 \{P\} strip C$*
{ Q }
\langle proof \rangle

5.5.2 Completeness

lemma *pre_mono: assumes $\bigwedge s. P' s \leq P s$*
shows $\bigwedge s. pre C P' s \leq pre C P s$
\langle proof \rangle

lemma *vc_mono*: **assumes** $\bigwedge s. P' s \leq P s$
shows $vc\ C\ P \implies vc\ C\ P'$
 $\langle proof \rangle$

lemma $\vdash_2 \{ P \} c \{ Q \} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$
(is $_ \implies \exists C. ?G\ P\ c\ Q\ C)$
 $\langle proof \rangle$

end

5.6 Examples

theory *Quant_Examples*
imports *Quant_VCG*
begin

fun *sum* :: *int* \Rightarrow *int* **where**
sum *i* = (if *i* \leq 0 then 0 else *sum* (*i* - 1) + *i*)

abbreviation *wsum* ==
 $WHILE\ Less\ (N\ 0)\ (V\ "x")$
 $DO\ ("y" ::= Plus\ (V\ "y")\ (V\ "x"));$
 $"x" ::= Plus\ (V\ "x")\ (N\ (-\ 1))$

lemma *example*: $\vdash_2 \{ \lambda s. enat\ (2 + 3*n) + emb\ (s\ "x" = int\ n) \} "y" ::= N\ 0;; wsum\ \{ \lambda s. 0 \}$
 $\langle proof \rangle$

lemma *example_sound*: $\models_2 \{ \lambda s. enat\ (2 + 3*n) + emb\ (s\ "x" = int\ n) \} "y" ::= N\ 0;; wsum\ \{ \lambda s. 0 \}$
 $\langle proof \rangle$

5.6.1 Examples for the use of the VCG

abbreviation *Wsum* ==
 $\{ \lambda s. enat\ (3 * nat\ (s\ "x")) \} WHILE\ Less\ (N\ 0)\ (V\ "x")$
 $DO\ ("y" ::= Plus\ (V\ "y")\ (V\ "x"));$
 $"x" ::= Plus\ (V\ "x")\ (N\ (-\ 1))$

```

lemma  $\vdash_2$  { $\lambda s.$  enat ( $2 + 3*n$ ) + emb ( $s$  "x" = int  $n$ )} "y" ::= N 0;;
wsum { $\lambda s.$  0 }
<proof>

```

end

6 Quantitative Hoare Logic (big-O style)

```

theory QuantK_Hoare
imports Big_StepT Complex_Main HOL-Library.Extended_Nat
begin

```

```

abbreviation eq  $a$   $b$  == (And (Not (Less  $a$   $b$ )) (Not (Less  $b$   $a$ )))

```

```

type_synonym lname = string
type_synonym assn = state  $\Rightarrow$  bool
type_synonym qassn = state  $\Rightarrow$  enat

```

The support of an *assn2*

```

abbreviation state_subst :: state  $\Rightarrow$  aexp  $\Rightarrow$  vname  $\Rightarrow$  state
  ( $\_$ [ $\_$ '/ $\_$ ] [1000,0,0] 999)
where  $s[a/x]$  ==  $s(x := \text{aval } a \ s)$ 

```

```

fun emb :: bool  $\Rightarrow$  enat ( $\uparrow$ ) where
  emb False =  $\infty$ 
  | emb True = 0

```

6.1 Definition of Validity

```

definition hoare2o_valid :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool
  ( $\models_2'$  {( $1$ _ $\_$ )} / ( $\_$ ) / {( $1$ _ $\_$ )} 50) where
 $\models_2'$  { $P$ }  $c$  { $Q$ }  $\longleftrightarrow$  ( $\exists k > 0.$  ( $\forall s.$   $P \ s < \infty \longrightarrow$  ( $\exists t \ p.$  ( $(c,s) \Rightarrow p \Downarrow t$ )  $\wedge$ 
enat  $k * P \ s \geq p + \text{enat } k * Q \ t$ )))

```

6.2 Hoare Rules

inductive

```

  hoareQ :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool ( $\vdash_2'$  ({( $1$ _ $\_$ )} / ( $\_$ ) / {( $1$ _ $\_$ )} 50)
where

```

```

  Skip:  $\vdash_2'$  { $\%s.$  eSuc ( $P \ s$ )} SKIP { $P$ } |

```

Assign: $\vdash_{2'} \{ \lambda s. eSuc (P (s[a/x])) \} x ::= a \{ P \} \mid$

If: $\llbracket \vdash_{2'} \{ \lambda s. P s + \uparrow (bval b s) \} c_1 \{ Q \};$
 $\vdash_{2'} \{ \lambda s. P s + \uparrow (\neg bval b s) \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{2'} \{ \lambda s. eSuc (P s) \} IF b THEN c_1 ELSE c_2 \{ Q \} \mid$

Seq: $\llbracket \vdash_{2'} \{ P_1 \} c_1 \{ P_2 \}; \vdash_{2'} \{ P_2 \} c_2 \{ P_3 \} \rrbracket \implies \vdash_{2'} \{ P_1 \} c_1;; c_2 \{ P_3 \}$
 \mid

While:

$\llbracket \vdash_{2'} \{ \%s. I s + \uparrow (bval b s) \} c \{ \%t. I t + 1 \} \rrbracket$
 $\implies \vdash_{2'} \{ \lambda s. I s + 1 \} WHILE b DO c \{ \lambda s. I s + \uparrow (\neg bval b s) \} \mid$

conseq: $\llbracket \vdash_{2'} \{ P \} c \{ Q \}; \wedge s. P s \leq enat k * P' s; \wedge s. enat k * Q' s \leq Q$
 $s; k > 0 \rrbracket \implies$
 $\vdash_{2'} \{ P' \} c \{ Q' \}$

Derived Rules

lemma *const*: $\llbracket \vdash_{2'} \{ \lambda s. enat k * P s \} c \{ \lambda s. enat k * Q s \}; k > 0 \rrbracket \implies$
 $\vdash_{2'} \{ P \} c \{ Q \}$
 $\langle proof \rangle$

inductive

hoare $Q' :: qassn \Rightarrow com \Rightarrow qassn \Rightarrow bool (\vdash_Z (\{(1_)\} / (_)/ \{(1_)\}) 50)$

where

ZSkip: $\vdash_Z \{ \%s. eSuc (P s) \} SKIP \{ P \} \mid$

ZAssign: $\vdash_Z \{ \lambda s. eSuc (P (s[a/x])) \} x ::= a \{ P \} \mid$

ZIf: $\llbracket \vdash_Z \{ \lambda s. P s + \uparrow (bval b s) \} c_1 \{ Q \};$
 $\vdash_Z \{ \lambda s. P s + \uparrow (\neg bval b s) \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_Z \{ \lambda s. eSuc (P s) \} IF b THEN c_1 ELSE c_2 \{ Q \} \mid$

ZSeq: $\llbracket \vdash_Z \{ P_1 \} c_1 \{ P_2 \}; \vdash_Z \{ P_2 \} c_2 \{ P_3 \} \rrbracket \implies \vdash_Z \{ P_1 \} c_1;; c_2 \{ P_3 \}$
 \mid

ZWhile:

$\llbracket \vdash_Z \{ \%s. I s + \uparrow (bval b s) \} c \{ \%t. I t + 1 \} \rrbracket$
 $\implies \vdash_Z \{ \lambda s. I s + 1 \} WHILE b DO c \{ \lambda s. I s + \uparrow (\neg bval b s) \} \mid$

Zconseq': $\llbracket \vdash_Z \{ P \} c \{ Q \}; \wedge s. P s \leq P' s; \wedge s. Q' s \leq Q s \rrbracket \implies$
 $\vdash_Z \{ P' \} c \{ Q' \} \mid$

Zconst: $\llbracket \vdash_Z \{\lambda s. \text{enat } k * P\} c \{\lambda s. \text{enat } k * Q\}; k > 0 \rrbracket \implies \vdash_Z \{P\} c \{Q\}$

lemma *Zconseq*: $\llbracket \vdash_Z \{P\} c \{Q\}; \bigwedge s. P\ s \leq \text{enat } k * P'\ s; \bigwedge s. \text{enat } k * Q'\ s \leq Q\ s; k > 0 \rrbracket \implies \vdash_Z \{P'\} c \{Q'\}$
 $\langle \text{proof} \rangle$

lemma *ZQ*: $\vdash_Z \{P\} c \{Q\} \implies \vdash_{2'} \{P\} c \{Q\}$
 $\langle \text{proof} \rangle$

lemma *QZ*: $\vdash_{2'} \{P\} c \{Q\} \implies \vdash_Z \{P\} c \{Q\}$
 $\langle \text{proof} \rangle$

lemma *QZ_iff*: $\vdash_{2'} \{P\} c \{Q\} \iff \vdash_Z \{P\} c \{Q\}$
 $\langle \text{proof} \rangle$

6.3 Soundness

lemma *enatSuc0[simp]*: $\text{enat } (\text{Suc } 0) * x = x$
 $\langle \text{proof} \rangle$

theorem *hoareQ_sound*: $\vdash_{2'} \{P\} c \{Q\} \implies \models_{2'} \{P\} c \{Q\}$
 $\langle \text{proof} \rangle$

lemma *conseq'*:
 $\llbracket \vdash_{2'} \{P\} c \{Q\}; \forall s. P\ s \leq P'\ s; \forall s. Q'\ s \leq Q\ s \rrbracket \implies \vdash_{2'} \{P'\} c \{Q'\}$
 $\langle \text{proof} \rangle$

lemma *strengthen_pre*:
 $\llbracket \forall s. P\ s \leq P'\ s; \vdash_{2'} \{P\} c \{Q\} \rrbracket \implies \vdash_{2'} \{P'\} c \{Q\}$
 $\langle \text{proof} \rangle$

lemma *weaken_post*:
 $\llbracket \vdash_{2'} \{P\} c \{Q\}; \forall s. Q\ s \geq Q'\ s \rrbracket \implies \vdash_{2'} \{P\} c \{Q'\}$
 $\langle \text{proof} \rangle$

lemma *Assign'*: $\forall s. P\ s \geq \text{eSuc } (Q(s[a/x])) \implies \vdash_{2'} \{P\} x ::= a \{Q\}$
 $\langle \text{proof} \rangle$

6.4 Completeness

lemma *bigstep_det*: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1=p \wedge t1=t$
 $\langle proof \rangle$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE\ n.\ \exists a.\ (c, s) \Rightarrow n \Downarrow a) = P$
 $\langle proof \rangle$

lemma *bigstepT_the_state*: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (THE\ a.\ \exists n.\ (c, s) \Rightarrow n \Downarrow a) = T$
 $\langle proof \rangle$

lemma *SKIPnot*: $(\neg (SKIP, s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc\ 0)$ $\langle proof \rangle$

lemma *SKIPp*: $(THE\ p.\ \exists t.\ (SKIP, s) \Rightarrow p \Downarrow t) = Suc\ 0$
 $\langle proof \rangle$

lemma *SKIpt*: $(THE\ t.\ \exists p.\ (SKIP, s) \Rightarrow p \Downarrow t) = s$
 $\langle proof \rangle$

lemma *ASSp*: $(THE\ p.\ Ex\ (big_step_t\ (x ::= e, s)\ p)) = Suc\ 0$
 $\langle proof \rangle$

lemma *ASSt*: $(THE\ t.\ \exists p.\ (x ::= e, s) \Rightarrow p \Downarrow t) = s(x ::= aval\ e\ s)$
 $\langle proof \rangle$

lemma *ASSnot*: $(\neg (x ::= e, s) \Rightarrow p \Downarrow t) = (p \neq Suc\ 0 \vee t \neq s(x ::= aval\ e\ s))$
 $\langle proof \rangle$

The completeness proof proceeds along the same lines as the one for partial correctness. First we have to strengthen our notion of weakest precondition to take termination into account:

definition *wp_Q* :: *com* \Rightarrow *qassn* \Rightarrow *qassn* (*wp_Q*) **where**
wp_Q *c* *Q* = $(\lambda s.\ (if\ (\exists t\ p.\ (c, s) \Rightarrow p \Downarrow t \wedge Q\ t < \infty)\ then\ enat\ (THE\ p.\ \exists t.\ (c, s) \Rightarrow p \Downarrow t) + Q\ (THE\ t.\ \exists p.\ (c, s) \Rightarrow p \Downarrow t)\ else\ \infty))$

lemma *wp_Q_skip[simp]*: *wp_Q* *SKIP* *Q* = $(\%s.\ eSuc\ (Q\ s))$
 $\langle proof \rangle$

lemma *wpQ_ass[simp]*: $wp_Q (x ::= e) Q = (\lambda s. eSuc (Q (s(x := aval e s))))$
 ⟨proof⟩

lemma *wpt_Seq[simp]*: $wp_Q (c_1;;c_2) Q = wp_Q c_1 (wp_Q c_2 Q)$
 ⟨proof⟩

lemma *wpQ_If[simp]*:
 $wp_Q (IF b THEN c_1 ELSE c_2) Q = (\lambda s. eSuc (wp_Q (if bval b s then c_1 else c_2) Q s))$
 ⟨proof⟩

lemma *hoareQ_inf*: $\vdash_2, \{\%s. \infty\} c \{ Q \}$
 ⟨proof⟩

lemma *assumes b: bval b s*
shows *wpQ_WhileTrue*: $wp_Q c (wp_Q (WHILE b DO c) Q) s + 1 \leq wp_Q (WHILE b DO c) Q s$
 ⟨proof⟩

lemma *assumes b: ~ bval b s*
shows *wpQ_WhileFalse*: $Q s + 1 \leq wp_Q (WHILE b DO c) Q s$
 ⟨proof⟩

lemma *wpQ_is_pre*: $\vdash_2, \{wp_Q c Q\} c \{ Q \}$
 ⟨proof⟩

lemma *wpQ_is_pre'*: $\vdash_2, \{wp_Q c (\%s. enat k * Q s)\} c \{(\%s. enat k * Q s)\}$
 ⟨proof⟩

lemma *wpQ_is_weakestprePotential1*: $\models_2, \{P\}c\{Q\} \implies (\exists k > 0. \forall s. wp_Q c (\%s. enat k * Q s) s \leq enat k * P s)$
 ⟨proof⟩

theorem *hoareQ_complete*: $\models_2, \{P\}c\{Q\} \implies \vdash_2, \{P\}c\{ Q \}$
 ⟨proof⟩

theorem *hoareQ_complete'*: $\models_2, \{P\}c\{Q\} \implies \vdash_2, \{P\}c\{ Q \}$
 ⟨proof⟩

corollary *hoareQ_sound_complete*: $\vdash_{2'} \{P\}c\{Q\} \longleftrightarrow \models_{2'} \{P\}c\{Q\}$
 ⟨proof⟩

6.5 Example

lemma *fixes X::int assumes 0 < X shows*

*Z: eSuc (enat (nat (2 * X) * nat (2 * X))) ≤ enat (5 * (nat (X * X)))*
 ⟨proof⟩

lemma *weakenpre*: $\llbracket \vdash_{2'} \{P\}c\{Q\} ; (\forall s. P\ s \leq P'\ s) \rrbracket \implies$
 $\vdash_{2'} \{P'\}c\{Q\}$ ⟨proof⟩

lemma *whileDecr*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ 'x')) + 1 \}$ WHILE (*Less (N 0)*
 (*V 'x'*)) DO (*SKIP*;; *SKIP*;; *'x'' ::= Plus (V 'x') (N (-1))*) { $\%s. \text{enat}$
 0 }
 ⟨proof⟩

lemma *whileDecrIf*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ 'x')) + 1 \}$ WHILE (*Less (N*
 0) (*V 'x'*)) DO ((*IF Less (N 0) (V 'z')* THEN *SKIP*;; *SKIP ELSE SKIP*
);; *'x'' ::= Plus (V 'x') (N (-1))*) { $\%s. \text{enat}$ 0 }
 ⟨proof⟩

lemma *whileDecrIf2*: $\vdash_{2'} \{ \%s. \text{enat} (\text{nat} (s\ 'x')) + 1 \}$ WHILE (*Less (N*
 0) (*V 'x'*)) DO ((*IF Less (N 0) (V 'z')* THEN *SKIP*;; *SKIP ELSE SKIP*
);; *'x'' ::= Plus (V 'x') (N (-1))*) { $\%s. \text{enat}$ 0 }
 ⟨proof⟩

end

6.6 Verification Condition Generator

theory *QuantK_VCG*
imports *QuantK_Hoare*
begin

6.6.1 Ceiling integer division on extended natural numbers

definition *mydiv* (*a::nat*) (*k::nat*) = (*if k dvd a then a div k else (a div k)*
 + 1)

lemma *mydivcode*: $k > 0 \implies D \geq k \implies \text{mydiv } D \ k = \text{Suc } (\text{mydiv } (D - k) \ k)$

<proof>

lemma *mydivcode1*: $\text{mydiv } 0 \ k = 0$

<proof>

lemma *mydivcode2*: $k > 0 \implies 0 < D \implies D < k \implies \text{mydiv } D \ k = \text{Suc } 0$

<proof>

lemma *mydiv_mono*: $a \leq b \implies \text{mydiv } a \ k \leq \text{mydiv } b \ k$ *<proof>*

lemma *mydiv_cancel*: $0 < k \implies \text{mydiv } (k * i) \ k = i$

<proof>

lemma *assumes* $k: k > 0$ **and** $B: B \leq k * A$

shows *mydiv_le_E*: $\text{mydiv } B \ k \leq A$

<proof>

lemma *mydiv_mult_leq*: $0 < k \implies l \leq k \implies \text{mydiv } (l * A) \ k \leq A$

<proof>

lemma *mydiv_cancel3*: $0 < k \implies i \leq k * \text{mydiv } i \ k$

<proof>

definition *ediv* $a \ k = (\text{if } a = \infty \text{ then } \infty \text{ else } \text{enat } (\text{mydiv } (\text{THE } i. a = \text{enat } i) \ k))$

lemma *ediv_enat[simp]*: $\text{ediv } (\text{enat } a) \ k = \text{enat } (\text{mydiv } a \ k)$

<proof>

lemma *ediv_mydiv[simp]*: $\text{ediv } (\text{enat } a) \ k \leq \text{enat } f \iff \text{mydiv } a \ k \leq f$

<proof>

lemma *ediv_mono*: $a \leq b \implies \text{ediv } a \ k \leq \text{ediv } b \ k$

<proof>

lemma *ediv_cancel2*: $k > 0 \implies \text{ediv } (\text{enat } k * x) \ k = x$

<proof>

lemma *ediv_cancel3*: $k > 0 \implies A \leq \text{enat } k * \text{ediv } A \ k$

<proof>

6.6.2 Definition of VCG

datatype *acom* =

```

  ASkip (SKIP) |
  Aassign vname aexp ((_ ::= _) [1000, 61] 61) |
  Aseq acom acom ((_;;/ _ [60, 61] 60) |
  Aif bexp acom acom ((IF _/ THEN _/ ELSE _) [0, 0, 61] 61) |
  Awhile qassn bexp acom (({_}/ WHILE _/ DO _) [0, 0, 61] 61)
  | Abst nat acom (({_}/ Ab _) [0, 61] 61)

```

notation *com.SKIP* (SKIP)

fun *strip* :: *acom* \Rightarrow *com* **where**

```

strip SKIP = SKIP |
strip (x ::= a) = (x ::= a) |
strip (C1;; C2) = (strip C1;; strip C2) |
strip (IF b THEN C1 ELSE C2) = (IF b THEN strip C1 ELSE strip C2) |
strip ({_} WHILE b DO C) = (WHILE b DO strip C) |
strip ({_} Ab C) = strip C

```

fun *pre* :: *acom* \Rightarrow *qassn* \Rightarrow *qassn* **where**

```

pre SKIP Q = ( $\lambda$ s. eSuc (Q s)) |
pre (x ::= a) Q = ( $\lambda$ s. eSuc (Q (s[a/x]))) |
pre (C1;; C2) Q = pre C1 (pre C2 Q) |
pre (IF b THEN C1 ELSE C2) Q =
  ( $\lambda$ s. eSuc (if bval b s then pre C1 Q s else pre C2 Q s )) |
pre ({P} WHILE b DO C) Q = (%s. P s + 1) |
pre ({k} Ab C) Q = ( $\lambda$ s. ediv (pre C ( $\lambda$ s. k*Q s) s) k)

```

In contrast to *pre*, *vc* produces a formula that is independent of the state:

fun *vc* :: *acom* \Rightarrow *qassn* \Rightarrow *bool* **where**

```

vc SKIP Q = True |
vc (x ::= a) Q = True |
vc (C1;; C2) Q = ((vc C1 (pre C2 Q))  $\wedge$  (vc C2 Q)) |
vc (IF b THEN C1 ELSE C2) Q = (vc C1 Q  $\wedge$  vc C2 Q) |
vc ({I} WHILE b DO C) Q = ( ( $\forall$ s. (pre C ( $\lambda$ s. I s + 1) s  $\leq$  I s +
 $\uparrow$ (bval b s))  $\wedge$  ( Q s  $\leq$  I s +  $\uparrow$ ( $\neg$  bval b s)))  $\wedge$  vc C (%s. I s + 1)) |
vc ({k} Ab C) Q = (vc C ( $\lambda$ s. enat k* Q s)  $\wedge$  k>0)

```

6.6.3 Soundness of VCG

lemma *vc_sound*: *vc* C Q \Longrightarrow \vdash_2 {*pre* C Q} *strip* C { Q }
 <proof>

lemma *vc_sound'*: $\llbracket vc\ C\ Q ; (\forall s. pre\ C\ Q\ s \leq P\ s) \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$
 <proof>

lemma *vc_sound''*: $\llbracket vc\ C\ Q' ; (\forall s. pre\ C\ Q'\ s \leq k * P\ s) ; (\wedge s. enat\ k * Q\ s \leq Q'\ s); k > 0 \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$
 <proof>

6.6.4 Completeness

lemma *pre_mono*: **assumes** $\wedge s. P'\ s \leq P\ s$
shows $\wedge s. pre\ C\ P'\ s \leq pre\ C\ P\ s$
 <proof>

lemma *vc_mono*: **assumes** $\wedge s. P'\ s \leq P\ s$
shows $vc\ C\ P \implies vc\ C\ P'$
 <proof>

lemma $\vdash_{2'} \{P\}\ c\ \{Q\} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$
 (**is** $_ \implies \exists C. ?G\ P\ c\ Q\ C$)
 <proof>

lemma $\vdash_Z \{P\}\ c\ \{Q\} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$
 (**is** $_ \implies \exists C. ?G\ P\ c\ Q\ C$)
 <proof>

end

6.7 Examples for quantitative Hoare logic

theory *QuantK_Examples*
imports *QuantK_VCG*
begin

fun *sum* :: *int* ⇒ *int* **where**
sum *i* = (if *i* ≤ 0 then 0 else *sum* (*i* - 1) + *i*)

abbreviation *wsum* ==
 WHILE *Less* (*N* 0) (*V* "*x*")
 DO ("*y*" ::= *Plus* (*V* "*y*") (*V* "*x*"));
 "*x*" ::= *Plus* (*V* "*x*") (*N* (- 1)))

lemma *example*: ⊢_{2'} {λ*s*. *enat* (2 + 3*n) + *emb* (*s* "*x*" = *int* *n*)} "*y*" ::= *N* 0;; *wsum* {λ*s*. 0 }
 ⟨*proof*⟩

lemma *example_sound*: ⊨_{2'} {λ*s*. *enat* (2 + 3*n) + *emb* (*s* "*x*" = *int* *n*)} "*y*" ::= *N* 0;; *wsum* {λ*s*. 0 }
 ⟨*proof*⟩

schematic_goal ⊢_{2'} {λ*s*. ?*A* *s* + *emb* (*s* "*x*" = *int* *n*)} "*y*" ::= *N* 0;;
wsum {λ*s*. 0 }
 ⟨*proof*⟩

6.7.1 Example for VCG

lemma ⊢_{2'} {λ*s*. 1} *SKIP* ;; *SKIP* {λ*s*. 0 }
 ⟨*proof*⟩

lemma *hoareQ_Seq_assoc*: ⊢_{2'} {*P*} *A*;; *B*;; *C* {*Q*} = (⊢_{2'} {*P*} *A*;; (*B*;; *C*) {*Q*})
 ⟨*proof*⟩

lemma ⊢_{2'} {λ*s*. 1} *SKIP* ;; *SKIP* ;; *SKIP* {λ*s*. 0 }
 ⟨*proof*⟩

abbreviation *Wsum* ==
 {λ*s*. *enat* (3 * *nat* (*s* "*x*"))} WHILE *Less* (*N* 0) (*V* "*x*")
 DO ("*y*" ::= *Plus* (*V* "*y*") (*V* "*x*"));
 "*x*" ::= *Plus* (*V* "*x*") (*N* (- 1)))

lemma $\vdash_2, \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N 0;;$
 $\text{wsum } \{ \lambda s. 0 \}$
 $\langle \text{proof} \rangle$

lemma assumes $n0: n > 0$ **shows** $\vdash_2, \{ \lambda s. \text{enat } (n) + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N 0;;$
 $\text{wsum } \{ \lambda s. 0 \}$
 $\langle \text{proof} \rangle$

lemma $\vdash_2, \{ \lambda s. \text{enat } (n+1) + \text{emb } (s \text{ ''x''} = \text{int } n) \} \text{ ''y''} ::= N 0;;$
 $\text{wsum } \{ \lambda s. 0 \}$
 $\langle \text{proof} \rangle$

abbreviation $\text{Wsum1 } z ==$
 $\{ \lambda s. \text{enat } (z * \text{nat } (s \text{ ''x''})) \} \text{ WHILE Less } (N 0) (V \text{ ''x''})$
 $\text{DO } (\text{ ''y''} ::= \text{Plus } (V \text{ ''y''}) (V \text{ ''x''});;$
 $\text{ ''x''} ::= \text{Plus } (V \text{ ''x''}) (N (- 1)))$

abbreviation $\text{Wsum2 } n \text{ vier} ==$
 $\{ \lambda s. \text{enat } (\text{vier} * (\text{nat } (s \text{ ''x''}) + n + 1)) \} \text{ WHILE Less } (N 0) (V \text{ ''x''})$
 $\text{DO } (\text{ ''y''} ::= \text{Plus } (V \text{ ''y''}) (V \text{ ''x''});;$
 $\text{ ''x''} ::= \text{Plus } (V \text{ ''x''}) (N (- 1)))$

end
theory QuantK_Sqrt
imports $\text{QuantK_VCG HOL-Library.Discrete}$
begin

6.8 Example: discrete square root in the quantitative Hoare logic

As an example, consider the following program that computes the discrete square root:

definition $c :: \text{com}$ **where** $c =$
 $\text{ ''l''} ::= N 0 ;;$
 $\text{ ''m''} ::= N 0 ;;$
 $\text{ ''r''} ::= \text{Plus } (N 1) (V \text{ ''x''});;$
 $(\text{ WHILE } (\text{ Less } (\text{ Plus } (N 1) (V \text{ ''l''})) (V \text{ ''r''}))$
 $\text{ DO } (\text{ ''m''} ::= (\text{ Div } (\text{ Plus } (V \text{ ''l''}) (V \text{ ''r''})) (N 2)) ;;$

```

(IF Not (Less (Times (V "m'") (V "m'")) (V "x'"))
  THEN "l" ::= V "m"
  ELSE "r" ::= V "m");;
"m" ::= N 0))

```

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

lemma *absch*: $\bigwedge s k. 1 + s^{''x''} = 2^k \implies 5 * k \leq 96 + 100 * \text{Discrete.log}(\text{nat}(s^{''x''}))$
<proof>

For simplicity we assume, that during the process all segments between "l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the prepotential P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log "x")$

lemma

assumes

P: $P = (\lambda s. \uparrow (\exists k. 1 + s^{''x''} = 2^k)) + (\text{Discrete.log}(\text{nat}(s^{''x''})) + 1)$ **and**

Q[simp]: $Q = (\lambda_. 0)$

shows $\vdash_{2'} \{P\} c \{Q\}$

<proof>

end

7 Partial States

7.1 Partial evaluation of expressions

theory *Partial_Evaluation*

imports *AExp Vars*

begin

type_synonym *partstate* = (*vname* \Rightarrow *val option*)

definition *emb* :: *partstate* \Rightarrow *state* \Rightarrow *state* **where**

emb ps s = ($\%v. \text{case}(ps\ v)\ \text{of}\ (\text{Some}\ r) \Rightarrow r \mid \text{None} \Rightarrow s\ v$)

definition *part* :: *state* \Rightarrow *partstate* **where**

part s = ($\%v. \text{Some}(s\ v)$)

lemma *emb_part[simp]*: $emb (part s) q = s \langle proof \rangle$

lemma *part_emb[simp]*: $dom ps = UNIV \implies part (emb ps q) = ps \langle proof \rangle$

lemma *dom_part[simp]*: $dom (part s) = UNIV \langle proof \rangle$

abbreviation *optplus* :: $int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where**
optplus $a\ b \equiv (case\ a\ of\ None \Rightarrow None \mid Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None \mid Some\ b' \Rightarrow Some\ (a' + b')))$

abbreviation *opttimes* :: $int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where**
opttimes $a\ b \equiv (case\ a\ of\ None \Rightarrow None \mid Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None \mid Some\ b' \Rightarrow Some\ (a' * b')))$

abbreviation *optdiv* :: $int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where** *optdiv*
 $a\ b \equiv (case\ a\ of\ None \Rightarrow None \mid Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None \mid Some\ b' \Rightarrow Some\ (a' div b')))$

fun *paval'* :: $aexp \Rightarrow partstate \Rightarrow val\ option$ **where**

paval' $(N\ n)\ s = Some\ n \mid$

paval' $(V\ x)\ s = s\ x \mid$

paval' $(Plus\ a_1\ a_2)\ s = optplus (paval'\ a_1\ s) (paval'\ a_2\ s) \mid$

paval' $(Times\ a_1\ a_2)\ s = opttimes (paval'\ a_1\ s) (paval'\ a_2\ s) \mid$

paval' $(Div\ a_1\ a_2)\ s = optdiv (paval'\ a_1\ s) (paval'\ a_2\ s)$

lemma *paval'_a_ps = Some v* $\implies vars\ a \subseteq dom\ ps$
 $\langle proof \rangle$

lemma *paval'_aval*: $paval'\ a\ ps = Some\ v \implies aval\ a\ (emb\ ps\ s) = v$
 $\langle proof \rangle$

fun *paval* :: $aexp \Rightarrow partstate \Rightarrow val$ **where**

paval $(N\ n)\ s = n \mid$

paval $(V\ x)\ s = the\ (s\ x) \mid$

paval $(Plus\ a_1\ a_2)\ s = paval\ a_1\ s + paval\ a_2\ s \mid$

paval $(Times\ a_1\ a_2)\ s = paval\ a_1\ s * paval\ a_2\ s \mid$

paval $(Div\ a_1\ a_2)\ s = paval\ a_1\ s div\ paval\ a_2\ s$

lemma *paval_aval*: $vars\ a \subseteq dom\ ps \implies paval\ a\ ps = aval\ a\ (\lambda v. case\ ps\ v\ of\ None \Rightarrow s\ v \mid Some\ r \Rightarrow r)$
 $\langle proof \rangle$

lemma *paval'_paval*: $\text{vars } a \subseteq \text{dom } ps \implies \text{paval}' a ps = \text{Some } (\text{paval } a ps)$
 ⟨proof⟩

lemma *paval_paval'*: $\text{paval}' a ps = \text{Some } v \implies \text{paval } a ps = v$
 ⟨proof⟩

fun *pbval* :: $\text{bexp} \Rightarrow \text{partstate} \Rightarrow \text{bool}$ **where**
pbval (Bc v) s = v |
pbval (Not b) s = (\neg *pbval* b s) |
pbval (And b₁ b₂) s = (*pbval* b₁ s \wedge *pbval* b₂ s) |
pbval (Less a₁ a₂) s = (*paval* a₁ s < *paval* a₂ s)

abbreviation *optnot* **where** $\text{optnot } a \equiv (\text{case } a \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } a' \Rightarrow \text{Some } (\sim a'))$

abbreviation *optand* **where** $\text{optand } a b \equiv (\text{case } a \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } a' \Rightarrow (\text{case } b \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } b' \Rightarrow \text{Some } (a' \wedge b')))$

abbreviation *optless* **where** $\text{optless } a b \equiv (\text{case } a \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } a' \Rightarrow (\text{case } b \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } b' \Rightarrow \text{Some } (a' < b')))$

fun *pbval'* :: $\text{bexp} \Rightarrow \text{partstate} \Rightarrow \text{bool option}$ **where**
pbval' (Bc v) s = Some v |
pbval' (Not b) s = (*optnot* (*pbval'* b s)) |
pbval' (And b₁ b₂) s = (*optand* (*pbval'* b₁ s) (*pbval'* b₂ s)) |
pbval' (Less a₁ a₂) s = (*optless* (*paval'* a₁ s) (*paval'* a₂ s))

lemma *pbval'_pbval*: $\text{vars } a \subseteq \text{dom } ps \implies \text{pbval}' a ps = \text{Some } (\text{pbval } a ps)$
 ⟨proof⟩

lemma *paval_aval_vars*: $\text{vars } a \subseteq \text{dom } ps \implies \text{paval } a ps = \text{aval } a (\text{emb } ps s)$
 ⟨proof⟩

lemma *pbval_bval_vars*: $\text{vars } b \subseteq \text{dom } ps \implies \text{pbval } b ps = \text{bval } b (\text{emb } ps s)$
 ⟨proof⟩

lemma *paval'_dom*: $\text{paval}' a ps = \text{Some } v \implies \text{vars } a \subseteq \text{dom } ps$
 ⟨proof⟩

```

end
theory Product_Separation_Algebra
imports Separation_Algebra.Separation_Algebra
begin

instantiation prod :: (sep_algebra, sep_algebra) sep_algebra
begin

definition
  zero_prod_def: 0 ≡ (0, 0)

definition
  plus_prod_def: m1 + m2 ≡ (fst m1 + fst m2 , snd m1 + snd m2)

definition
  sep_disj_prod_def: sep_disj m1 m2 ≡ sep_disj (fst m1) (fst m2) ∧
  sep_disj (snd m1) (snd m2)

instance
  ⟨proof⟩

end

lemma sep_disj_prod_commute[simp]: (ps, 0) ## (0, n) (0, n) ## (ps,
0) ⟨proof⟩

lemma sep_disj_prod_conv[simp]: (a, x) ## (b, y) = (a##b ∧ x##y)
⟨proof⟩

lemma sep_plus_prod_conv[simp]: (ps, n) + (ps', n') = (ps + ps', n +
n') ⟨proof⟩

lemma
  fixes h :: ('a::sep_algebra) * ('b::sep_algebra)
  shows ((%(a,b). P a ∧ b = 0) ** %(a,b). a = 0 ∧ Q b) =
  %(a,b). P a ∧ Q b ⟨proof⟩

instantiation nat :: sep_algebra
begin

definition
  sep_disj_nat_def[simp]: sep_disj (m1::nat) m2 ≡ True

```

```

instance
  ⟨proof⟩
end

```

```

lemma
  fixes  $h :: \text{nat}$ 
  shows  $(P ** Q ** H) h = (Q ** H ** P) h$ 
  ⟨proof⟩

```

```

lemma
  fixes  $h :: ('a::\text{sep\_algebra}) * ('b::\text{sep\_algebra})$ 
  shows  $(P ** Q ** H) h = (Q ** H ** P) h$ 
  ⟨proof⟩

```

```

lemma
  fixes  $h :: \text{nat} * \text{nat}$ 
  shows  $(P ** Q ** H) h = (Q ** H ** P) h$ 
  ⟨proof⟩

```

```

end
theory Sep_Algebra_Add
  imports Separation_Algebra.Separation_Algebra Separation_Algebra.Sep_Heap_Instance
    Product_Separation_Algebra
begin

```

```

definition puree ::  $\text{bool} \Rightarrow 'h::\text{sep\_algebra} \Rightarrow \text{bool} (\uparrow)$  where  $\text{puree } P \equiv$ 
 $\lambda h. h=0 \wedge P$ 

```

```

lemma puree_alt:  $\uparrow\Phi = (\langle\Phi\rangle \text{ and } \square)$ 
  ⟨proof⟩

```

```

lemma pure_alt:  $\langle\Phi\rangle = (\uparrow\Phi ** \text{sep\_true})$ 
  ⟨proof⟩

```

```

abbreviation NO_PURE ::  $\text{bool} \Rightarrow ('h::\text{sep\_algebra} \Rightarrow \text{bool}) \Rightarrow \text{bool}$ 
  where  $\text{NO\_PURE } X Q \equiv (\text{NO\_MATCH } (\langle X \rangle::'h \Rightarrow \text{bool}) Q \wedge \text{NO\_MATCH}$ 
 $((\uparrow X)::'h \Rightarrow \text{bool}) Q)$ 

```

```

named_theorems sep_simplify ⟨Assertion simplifications⟩

```

lemma *sep_reorder*[*sep_simplify*]:

$$\begin{aligned} & ((a \wedge^* b) \wedge^* c) = (a \wedge^* b \wedge^* c) \\ & (NO_PURE\ X\ a) \implies (a ** b) = (b ** a) \\ & (NO_PURE\ X\ b) \implies (b \wedge^* a \wedge^* c) = (a \wedge^* b \wedge^* c) \\ & (Q ** \langle P \rangle) = (\langle P \rangle ** Q) \\ & (Q ** \uparrow P) = (\uparrow P ** Q) \\ & NO_PURE\ X\ Q \implies (Q ** \langle P \rangle ** F) = (\langle P \rangle ** Q ** F) \\ & NO_PURE\ X\ Q \implies (Q ** \uparrow P ** F) = (\uparrow P ** Q ** F) \\ & \langle proof \rangle \end{aligned}$$

lemma *sep_combine1*[*simp*]:

$$\begin{aligned} & (\uparrow P ** \uparrow Q) = \uparrow(P \wedge Q) \\ & (\langle P \rangle ** \langle Q \rangle) = \langle P \wedge Q \rangle \\ & (\uparrow P ** \langle Q \rangle) = \langle P \wedge Q \rangle \\ & (\langle P \rangle ** \uparrow Q) = \langle P \wedge Q \rangle \\ & \langle proof \rangle \end{aligned}$$

lemma *sep_combine2*[*simp*]:

$$\begin{aligned} & (\uparrow P ** \uparrow Q ** F) = (\uparrow(P \wedge Q) ** F) \\ & (\langle P \rangle ** \langle Q \rangle ** F) = (\langle P \wedge Q \rangle ** F) \\ & (\uparrow P ** \langle Q \rangle ** F) = (\langle P \wedge Q \rangle ** F) \\ & (\langle P \rangle ** \uparrow Q ** F) = (\langle P \wedge Q \rangle ** F) \\ & \langle proof \rangle \end{aligned}$$

lemma *sep_extract_pure*[*simp*]:

$$\begin{aligned} & NO_MATCH\ True\ P \implies (\langle P \rangle ** Q) h = (P \wedge (sep_true ** Q) h) \\ & (\uparrow P ** Q) h = (P \wedge Q h) \\ & \uparrow True = \square \\ & \uparrow False = sep_false \\ & \langle proof \rangle \end{aligned}$$

lemma *sep_pure_front2*[*simp*]:

$$\begin{aligned} & (\uparrow P ** A ** \uparrow Q ** F) = (\uparrow(P \wedge Q) ** F ** A) \\ & \langle proof \rangle \end{aligned}$$

lemma *ex_h_simps*[*simp*]:

$$\begin{aligned} & Ex\ (\uparrow \Phi) \longleftrightarrow \Phi \\ & Ex\ (\uparrow \Phi ** P) \longleftrightarrow (\Phi \wedge Ex\ P) \\ & \langle proof \rangle \end{aligned}$$

lemma

$$\begin{aligned} & \text{fixes } h :: ('a \Rightarrow 'b\ option) * nat \\ & \text{shows } (P ** Q ** H) h = (Q ** H ** P) h \end{aligned}$$

<proof>

lemma *map_le_substate_conv*: *map_le = sep_substate*
<proof>

end

7.2 Big step Semantics on partial states

theory *Big_StepT_Partial*
imports *Partial_Evaluation Big_StepT SepLogAdd/Sep_Algebra_Add*
HOL-Eisbach.Eisbach
begin

type_synonym *lname* = *string*
type_synonym *pstate_t* = *partstate * nat*
type_synonym *assn* = *partstate \Rightarrow bool*
type_synonym *assn2* = *pstate_t \Rightarrow bool*

7.2.1 helper functions

restrict definition *restrict* **where** *restrict S s* = ($\%x$. if $x:S$ then *Some* (*s x*) else *None*)

lemma *restrictI*: $\forall x \in S. s1\ x = s2\ x \implies \text{restrict } S\ s1 = \text{restrict } S\ s2$
<proof>

lemma *restrictE*: $\text{restrict } S\ s1 = \text{restrict } S\ s2 \implies s1 = s2 \text{ on } S$
<proof>

lemma *dom_restrict[simp]*: $\text{dom } (\text{restrict } S\ s) = S$
<proof>

lemma *restrict_less_part*: $\text{restrict } S\ t \preceq \text{part } t$
<proof>

Heap helper functions **fun** *lmaps_to_expr* :: *aexp \Rightarrow val \Rightarrow assn2*
where
lmaps_to_expr a v = ($\%(s,c)$. $\text{dom } s = \text{vars } a \wedge \text{paval } a\ s = v \wedge c = 0$)

fun *lmaps_to_expr_x* :: *vname \Rightarrow aexp \Rightarrow val \Rightarrow assn2* **where**

$lmaps_to_expr_x\ x\ a\ v = (\% (s,c).\ dom\ s = vars\ a \cup \{x\} \wedge paval\ a\ s = v \wedge c = 0)$

lemma *subState*: $x \preceq y \implies v \in dom\ x \implies x\ v = y\ v$ *<proof>*

lemma *fixes ps:: partstate*

and *s::state*

assumes $vars\ a \subseteq dom\ ps$ $ps \preceq part\ s$

shows *emb_update*: $emb\ [x \mapsto paval\ a\ ps]\ s = (emb\ ps\ s)\ (x := aval\ a\ (emb\ ps\ s))$

<proof>

lemma *paval_aval2*: $vars\ a \subseteq dom\ ps \implies ps \preceq part\ s \implies paval\ a\ ps = aval\ a\ s$

<proof>

lemma *fixes ps:: partstate*

and *s::state*

assumes $vars\ a \subseteq dom\ ps$ $ps \preceq part\ s$

shows *emb_update2*: $emb\ (ps(x \mapsto paval\ a\ ps))\ s = (emb\ ps\ s)(x := aval\ a\ (emb\ ps\ s))$

<proof>

7.2.2 Big step Semantics on partial states

inductive

big_step_t_part :: $com \times partstate \Rightarrow nat \Rightarrow partstate \Rightarrow bool$ ($_ \Rightarrow_A _ \Downarrow _$ 55)

where

Skip: $(SKIP, s) \Rightarrow_A Suc\ 0 \Downarrow s$ |

Assign: $\llbracket vars\ a \cup \{x\} \subseteq dom\ ps; paval\ a\ ps = v; ps' = ps(x \mapsto v) \rrbracket \implies (x ::= a, ps) \Rightarrow_A Suc\ 0 \Downarrow ps'$ |

Seq: $\llbracket (c1, s1) \Rightarrow_A x \Downarrow s2; (c2, s2) \Rightarrow_A y \Downarrow s3; z=x+y \rrbracket \implies (c1;;c2, s1) \Rightarrow_A z \Downarrow s3$ |

IfTrue: $\llbracket vars\ b \subseteq dom\ ps; dom\ ps' = dom\ ps; pbval\ b\ ps; (c1, ps) \Rightarrow_A x \Downarrow ps'; y=x+1 \rrbracket \implies (IF\ b\ THEN\ c1\ ELSE\ c2, ps) \Rightarrow_A y \Downarrow ps'$ |

IfFalse: $\llbracket vars\ b \subseteq dom\ ps; dom\ ps' = dom\ ps; \neg pbval\ b\ ps; (c2, ps) \Rightarrow_A x \Downarrow ps'; y=x+1 \rrbracket \implies (IF\ b\ THEN\ c1\ ELSE\ c2, ps) \Rightarrow_A y \Downarrow ps'$ |

WhileFalse: $\llbracket vars\ b \subseteq dom\ s; \neg pbval\ b\ s \rrbracket \implies (WHILE\ b\ DO\ c, s) \Rightarrow_A Suc\ 0 \Downarrow s$ |

WhileTrue: $\llbracket pbval\ b\ s1; vars\ b \subseteq dom\ s1; (c, s1) \Rightarrow_A x \Downarrow s2; (WHILE\ b\ DO\ c, s2) \Rightarrow_A y \Downarrow s3; 1+x+y=z \rrbracket$

$\implies (\text{WHILE } b \text{ DO } c, s1) \Rightarrow_A z \Downarrow s3$

declare *big_step_t_part.intros* [intro]

inductive_cases *Skip_tE3[elim!]*: (*SKIP*, *s*) $\Rightarrow_A x \Downarrow t$

thm *Skip_tE3*

inductive_cases *Assign_tE3[elim!]*: (*x ::= a*, *s*) $\Rightarrow_A p \Downarrow t$

thm *Assign_tE3*

inductive_cases *Seq_tE3[elim!]*: (*c1;;c2*, *s1*) $\Rightarrow_A p \Downarrow s3$

thm *Seq_tE3*

inductive_cases *If_tE3[elim!]*: (*IF b THEN c1 ELSE c2*, *s*) $\Rightarrow_A x \Downarrow t$

thm *If_tE3*

inductive_cases *While_tE3[elim!]*: (*WHILE b DO c*, *s*) $\Rightarrow_A x \Downarrow t$

thm *While_tE3*

lemmas *big_step_t_part_induct* = *big_step_t_part.induct*[*split_format*(*complete*)]

lemma *big_step_t3_post_dom_conv*: (*c*, *ps*) $\Rightarrow_A t \Downarrow ps' \implies \text{dom } ps' = \text{dom } ps$
 <proof>

lemma *add_update_distrib*: *ps1 x1 = Some y* $\implies ps1 \#\# ps2 \implies \text{vars } x2 \subseteq \text{dom } ps1 \implies ps1(x1 \mapsto \text{paval } x2 \text{ } ps1) + ps2 = (ps1 + ps2)(x1 \mapsto \text{paval } x2 \text{ } ps1)$
 <proof>

lemma *paval_extend*: *ps1 \#\# ps2* $\implies \text{vars } a \subseteq \text{dom } ps1 \implies \text{paval } a (ps1 + ps2) = \text{paval } a \text{ } ps1$
 <proof>

lemma *pbval_extend*: *ps1 \#\# ps2* $\implies \text{vars } b \subseteq \text{dom } ps1 \implies \text{pbval } b (ps1 + ps2) = \text{pbval } b \text{ } ps1$
 <proof>

lemma *Framer*: (*C*, *ps1*) $\Rightarrow_A m \Downarrow ps1' \implies ps1 \#\# ps2 \implies (C, ps1 + ps2) \Rightarrow_A m \Downarrow ps1'+ps2$
 <proof>

lemma *Framer2*: $(C, ps1) \Rightarrow_A m \Downarrow ps1' \Longrightarrow ps1 \#\# ps2 \Longrightarrow ps = ps1 + ps2 \Longrightarrow ps' = ps1' + ps2 \Longrightarrow (C, ps) \Rightarrow_A m \Downarrow ps'$
 ⟨proof⟩

7.2.3 Relation to BigStep Semantic on full states

lemma *paval_aval_part*: $paval\ a\ (part\ s) = aval\ a\ s$
 ⟨proof⟩

lemma *pbval_bval_part*: $pbval\ b\ (part\ s) = bval\ b\ s$
 ⟨proof⟩

lemma *part_paval_aval*: $part\ (s(x := aval\ a\ s)) = part\ s(x \mapsto paval\ a\ (part\ s))$
 ⟨proof⟩

lemma *full_to_part*: $(C, s) \Rightarrow m \Downarrow s' \Longrightarrow (C, part\ s) \Rightarrow_A m \Downarrow part\ s'$
 ⟨proof⟩

lemma *part_to_full'*: $(C, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow (C, emb\ ps\ s) \Rightarrow m \Downarrow emb\ ps'\ s$
 ⟨proof⟩

lemma *part_to_full*: $(C, part\ s) \Rightarrow_A m \Downarrow part\ s' \Longrightarrow (C, s) \Rightarrow m \Downarrow s'$
 ⟨proof⟩

lemma *part_full_equiv*: $(C, s) \Rightarrow m \Downarrow s' \longleftrightarrow (C, part\ s) \Rightarrow_A m \Downarrow part\ s'$
 ⟨proof⟩

7.2.4 more properties

lemma *big_step_t3_gt0*: $(C, ps) \Rightarrow_A x \Downarrow ps' \Longrightarrow x > 0$
 ⟨proof⟩

lemma *big_step_t3_same*: $(C, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow ps = ps'$ on UNIV
 – lvars C
 ⟨proof⟩

lemma *avalDirekt3_correct*: $(x ::= N\ v, ps) \Rightarrow_A m \Downarrow ps' \Longrightarrow paval'\ a\ ps = Some\ v \Longrightarrow (x ::= a, ps) \Rightarrow_A m \Downarrow ps'$
 ⟨proof⟩

7.3 Partial State

lemma

fixes $h :: (vname \Rightarrow val\ option) * nat$
shows $(P ** Q ** H) h = (Q ** H ** P) h$
 $\langle proof \rangle$

lemma *separate_othogonal_commuted'*: **assumes**

$\bigwedge ps\ n. P\ (ps, n) \implies ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies n = 0$
shows $(P ** Q) s \longleftrightarrow P\ (0, snd\ s) \wedge Q\ (fst\ s, 0)$
 $\langle proof \rangle$

lemma *separate_othogonal_commuted*: **assumes**

$\bigwedge ps\ n. P\ (ps, n) \implies ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies n = 0$
shows $(P ** Q) (ps, n) \longleftrightarrow P\ (0, n) \wedge Q\ (ps, 0)$
 $\langle proof \rangle$

lemma *separate_othogonal*: **assumes**

$\bigwedge ps\ n. P\ (ps, n) \implies n = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies ps = 0$
shows $(P ** Q) (ps, n) \longleftrightarrow P\ (ps, 0) \wedge Q\ (0, n)$
 $\langle proof \rangle$

lemma **assumes** $((\lambda(s, n). P\ (s, n) \wedge vars\ b \subseteq dom\ s) \wedge * (\lambda(s, c). s = 0 \wedge c = Suc\ 0)) (ps, n)$

shows $\exists n'. P\ (ps, n') \wedge vars\ b \subseteq dom\ ps \wedge n = Suc\ n'$
 $\langle proof \rangle$

7.4 Dollar and Pointsto

definition *dollar* :: $nat \Rightarrow assn2\ (\$)$ **where**

$dollar\ q = (\% (s, c). s = 0 \wedge c = q)$

lemma *sep_reorder_dollar_aux*:

$NO_MATCH\ (\$X) A \implies (\$B ** A) = (A ** \$B)$
 $(\$X ** \$Y) = \$(X+Y)$
 $\langle proof \rangle$

lemmas *sep_reorder_dollar = sep_conj_assoc sep_reorder_dollar_aux*

lemma *stardiff*: **assumes** $(P \wedge^* \$m)$ (ps, n)
shows $P: P (ps, n - m)$ **and** $m \leq n$ $\langle proof \rangle$

lemma [*simp*]: $(Q ** \$0) = Q$ $\langle proof \rangle$

definition *embP* :: $(partstate \Rightarrow bool) \Rightarrow partstate \times nat \Rightarrow bool$ **where**
 $embP P = (\%)(s, n). P s \wedge n = 0$

lemma *orthogonal_split*: **assumes** $(embP Q \wedge^* \$ n) = (embP P \wedge^* \$ m)$

shows $(Q = P \wedge n = m) \vee Q = (\lambda s. False) \wedge P = (\lambda s. False)$
 $\langle proof \rangle$

lemma *F*: **assumes** $(embP Q \wedge^* \$ n) = (embP P \wedge^* \$ m)$

obtains $(blub) Q = P$ **and** $n = m$ |
 $(da) Q = (\lambda s. False)$ **and** $P = (\lambda s. False)$
 $\langle proof \rangle$

lemma *T*: **assumes** $(embP Q \wedge^* \$ n) = (embP P \wedge^* \$ m)$

obtains $(blub) x::nat$ **where** $Q = P$ **and** $n = m$ **and** $x=x$ |
 $(da) Q = (\lambda s. False)$ **and** $P = (\lambda s. False)$
 $\langle proof \rangle$

definition *pointsto* :: $vname \Rightarrow val \Rightarrow assn2$ $(_ \hookrightarrow _ [56,51] 56)$ **where**
 $v \hookrightarrow n = (\%)(s, c). s = [v \mapsto n] \wedge c=0$

notation *pred_ex* (**binder** $\exists 10$)

definition *maps_to_ex* :: $vname \Rightarrow assn2$ $(_ \hookrightarrow - [56] 56)$

where $x \hookrightarrow - \equiv \exists y. x \hookrightarrow y$

fun *lmaps_to_ex* :: $vname set \Rightarrow assn2$ **where**

$lmaps_to_ex\ xs = (\%)(s, c). dom\ s = xs \wedge c = 0$

lemma $(x \hookrightarrow -) (s, n) \Longrightarrow x \in dom\ s$

$\langle proof \rangle$

fun *lmaps_to_axpr* :: *bexp* \Rightarrow *bool* \Rightarrow *assnp* **where**
lmaps_to_axpr *b* *bv* = (%*ps*. *vars* *b* \subseteq *dom* *ps* \wedge *pbval* *b* *ps* = *bv*)

definition *lmaps_to_axpr'* :: *bexp* \Rightarrow *bool* \Rightarrow *assnp* **where**
lmaps_to_axpr' *b* *bv* = *lmaps_to_axpr* *b* *bv*

7.5 Frame Inference

definition *Frame* **where** *Frame* *P* *Q* *F* $\equiv \forall s. (P \text{ imp } (Q ** F)) s$

definition *Frame'* **where** *Frame'* *P* *P'* *Q* *F* $\equiv \forall s. ((P' ** P) \text{ imp } (Q ** F)) s$

definition *cnv* **where** *cnv* *x* *y* == *x* = *y*

lemma *cnv_I*: *cnv* *x* *x*
 <proof>

lemma *Frame'_conv*: *Frame* *P* *Q* *F* = *Frame'* (*P* ** \square) \square (*Q* ** \square) *F*
 <proof>

lemma *Frame'I*: *Frame'* (*P* ** \square) \square (*Q* ** \square) *F* \Longrightarrow *cnv* *F* *F'* \Longrightarrow *Frame* *P* *Q* *F'*
 <proof>

lemma *FrameD*: **assumes** *Frame* *P* *Q* *F* *P* *s*
shows (*F* ** *Q*) *s*
 <proof>

lemma *Frame'_match*: *Frame'* (*P* ** *P'*) \square *Q* *F* \Longrightarrow *Frame'* (*x* \hookrightarrow *v* ** *P*) *P'* (*x* \hookrightarrow *v* ** *Q*) *F*
 <proof>

lemma *R*: **assumes** $\wedge s. (A \text{ imp } B) s$ **shows** $((A ** \$n) \text{ imp } (B ** \$n)) s$
 <proof>

lemma *Frame'_matchdollar*: **assumes** *Frame'* (*P* ** *P'* ** $\$(n-m)$) \square *Q* *F* **and** *nm*: $n \geq m$
shows *Frame'* ($\$(n-m)$ ** *P*) *P'* ($\$(n-m)$ ** *Q*) *F*
 <proof>

lemma *Frame'_nomatch*: *Frame'* *P* (*p* ** *P'*) (*x* \hookrightarrow *v* ** *Q*) *F* \Longrightarrow *Frame'* (*p* ** *P*) *P'* (*x* \hookrightarrow *v* ** *Q*) *F*
 <proof>

lemma *Frame'_nomatchempty*: $Frame' P P' (x \hookrightarrow v ** Q) F \implies Frame'$
 $(\square ** P) P' (x \hookrightarrow v ** Q) F$
 $\langle proof \rangle$

lemma *Frame'_end*: $Frame' P \square \square P$
 $\langle proof \rangle$

schematic_goal *Frame* $(x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (x \hookrightarrow ?v) ?F$
 $\langle proof \rangle$

schematic_goal *Frame* $(x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (y \hookrightarrow ?v) ?F$
 $\langle proof \rangle$

method *frame_inference_init* = (rule *Frame'I*, (simp only: *sep_conj_assoc*)?)

method *frame_inference_solve* = (rule *Frame'_matchdollar* *Frame'_end*
Frame'_match *Frame'_nomatchempty* *Frame'_nomatch*; (simp only: *sep_conj_assoc*)?)+

method *frame_inference_cleanup* = ((simp only: *sep_conj_ac* *sep_conj_empty'*
sep_conj_empty)?; rule *cnv_I*)

method *frame_inference* = (*frame_inference_init*, (*frame_inference_solve*;
fail), (*frame_inference_cleanup*; *fail*))

method *frame_inference_debug* = (*frame_inference_init*, *frame_inference_solve*)

7.5.1 tests

schematic_goal *Frame* $(x \hookrightarrow v1 \wedge * y \hookrightarrow v2) (y \hookrightarrow ?v) ?F$
 $\langle proof \rangle$

schematic_goal *Frame* $(x \hookrightarrow v1 ** P ** \square ** y \hookrightarrow v2 \wedge * z \hookrightarrow v2 ** Q)$
 $(z \hookrightarrow ?v ** y \hookrightarrow ?v2) ?F$
 $\langle proof \rangle$

schematic_goal $1 \leq v \implies Frame (\$ (2 * v) \wedge * "x" \hookrightarrow int v) (\$ 1 \wedge *$
 $"x" \hookrightarrow ?d) ?F$
 $\langle proof \rangle$

schematic_goal $0 < v \implies \text{Frame } (\$ (2 * v) \wedge * "x" \leftrightarrow \text{int } v) (\$ 1 \wedge * "x" \leftrightarrow ?d) ?F$
 <proof>

7.6 Expression evaluation

definition symeval where $\text{symeval } P e v \equiv (\forall s n. P (s,n) \longrightarrow \text{paval}' e s = \text{Some } v)$

definition symevalb where $\text{symevalb } P e v \equiv (\forall s n. P (s,n) \longrightarrow \text{pbval}' e s = \text{Some } v)$

lemma symeval_c: $\text{symeval } P (N v) v$
 <proof>

lemma symeval_v: assumes $\text{Frame } P (x \leftrightarrow v) F$
shows $\text{symeval } P (V x) v$
 <proof>

lemma symeval_plus: assumes $\text{symeval } P e1 v1 \text{ symeval } P e2 v2$
shows $\text{symeval } P (\text{Plus } e1 e2) (v1+v2)$
 <proof>

lemma symevalb_c: $\text{symevalb } P (Bc v) v$
 <proof>

lemma symevalb_and: assumes $\text{symevalb } P e1 v1 \text{ symevalb } P e2 v2$
shows $\text{symevalb } P (\text{And } e1 e2) (v1 \wedge v2)$
 <proof>

lemma symevalb_not: assumes $\text{symevalb } P e v$
shows $\text{symevalb } P (\text{Not } e) (\neg v)$
 <proof>

lemma symevalb_less: assumes $\text{symeval } P e1 v1 \text{ symeval } P e2 v2$
shows $\text{symevalb } P (\text{Less } e1 e2) (v1 < v2)$
 <proof>

lemmas $\text{symeval} = \text{symeval_c } \text{symeval_v } \text{symeval_plus } \text{symevalb_c } \text{symevalb_and } \text{symevalb_not } \text{symevalb_less}$

schematic_goal $\text{symevalb } ((x \leftrightarrow v1) ** (y \leftrightarrow v2)) (\text{Less } (\text{Plus } (V x) (V y)) (N 5)) ?g$

<proof>

end

8 Hoare Logic based on Separation Logic and Time Credits

theory *SepLog_Hoare*

imports *Big_StepT_Partial SepLogAdd/Sep_Algebra_Add*

begin

8.1 Definition of Validity

definition *hoare3_valid* :: *assn2* \Rightarrow *com* \Rightarrow *assn2* \Rightarrow *bool*

($\models_3 \{ (1_)\} / (_)/ \{ (1_)\} 50$) **where**
 $\models_3 \{ P \} c \{ Q \} \longleftrightarrow$
 $(\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps' m. ((c, ps) \Rightarrow_A m \Downarrow ps')$
 $\wedge n \geq m \wedge Q (ps', n-m)))$

lemma *alternative*: $\models_3 \{ P \} c \{ Q \} \longleftrightarrow$
 $(\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps' t n'. ((c, ps) \Rightarrow_A t \Downarrow ps')$
 $\wedge n = n' + t \wedge Q (ps', n')))$

<proof>

8.2 Hoare Rules

inductive

hoareT3 :: *assn2* \Rightarrow *com* \Rightarrow *assn2* \Rightarrow *bool* ($\vdash_3 (\{(1_)\} / (_)/ \{ (1_)\} 50)$

where

Skip: $\vdash_3 \{ \$1 \} SKIP \{ \$0 \} \mid$

Assign: $\vdash_3 \{ lmaps_to_expr_x\ a\ v\ **\ \$1 \} x ::= a \{ (\% (s, c). dom\ s = vars\ a - \{x\} \wedge c = 0) **\ x \leftrightarrow v \} \mid$

If: $\llbracket \vdash_3 \{ \lambda(s, n). P (s, n) \wedge lmaps_to_expr\ b\ True\ s \} c_1 \{ Q \};$
 $\vdash_3 \{ \lambda(s, n). P (s, n) \wedge lmaps_to_expr\ b\ False\ s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_3 \{ (\lambda(s, n). P (s, n) \wedge vars\ b \subseteq dom\ s) **\ \$1 \} IF\ b\ THEN\ c_1\ ELSE\ c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_3 \{ P \} C \{ Q \} \rrbracket$

$$\Longrightarrow \vdash_3 \{ P ** F \} C \{ Q ** F \} \quad |$$

$$\begin{aligned} \text{Seq: } & \llbracket \vdash_3 \{ P \} C_1 \{ Q \}; \vdash_3 \{ Q \} C_2 \{ R \} \rrbracket \\ & \Longrightarrow \vdash_3 \{ P \} C_1 ;; C_2 \{ R \} \quad | \end{aligned}$$

$$\begin{aligned} \text{While: } & \llbracket \vdash_3 \{ (\lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ P ** \$1 \} \rrbracket \\ & \Longrightarrow \vdash_3 \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE } b \\ & \text{DO } C \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \quad | \end{aligned}$$

$$\begin{aligned} \text{conseq: } & \llbracket \vdash_3 \{ P \} c \{ Q \}; \wedge s. P' s \Longrightarrow P s; \wedge s. Q s \Longrightarrow Q' s \rrbracket \Longrightarrow \\ & \vdash_3 \{ P' \} c \{ Q' \} \quad | \end{aligned}$$

$$\begin{aligned} \text{normalize: } & \llbracket \vdash_3 \{ P ** \$m \} C \{ Q ** \$n \}; n \leq m \rrbracket \\ & \Longrightarrow \vdash_3 \{ P ** \$(m-n) \} C \{ Q \} \quad | \end{aligned}$$

$$\begin{aligned} \text{constancy: } & \llbracket \vdash_3 \{ P \} C \{ Q \}; \wedge ps \ ps'. ps = ps' \text{ on UNIV} - \text{lvars } C \\ & \Longrightarrow R \ ps = R \ ps' \rrbracket \\ & \Longrightarrow \vdash_3 \{ \% (ps,n). P(ps,n) \wedge R \ ps \} C \{ \% (ps,n). Q(ps,n) \wedge \\ & R \ ps \} \quad | \end{aligned}$$

$$\text{Assign}''': \vdash_3 \{ \$1 ** (x \leftrightarrow ds) \} x ::= (N \ v) \{ (x \leftrightarrow v) \} \quad |$$

$$\text{Assign}''': \llbracket \text{symeval } P \ a \ v; \vdash_3 \{ P \} x ::= (N \ v) \{ Q' \} \rrbracket \Longrightarrow \vdash_3 \{ P \} x ::= a \{ Q' \} \quad |$$

$$\text{Assign}_4: \vdash_3 \{ (\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a \ ps)), t)) ** \$1 \} x ::= a \{ Q \} \quad |$$

$$\text{False: } \vdash_3 \{ \lambda(ps,n). \text{False} \} c \{ Q \} \quad |$$

$$\text{pureI: } (P \Longrightarrow \vdash_3 \{ Q \} c \{ R \}) \Longrightarrow \vdash_3 \{ \uparrow P ** Q \} c \{ R \}$$

Derived Rules

$$\begin{aligned} \text{lemma } \text{Frame_R: } & \text{assumes } \vdash_3 \{ P \} C \{ Q \} \text{ Frame } P' \ P \ F \\ & \text{shows } \vdash_3 \{ P' \} C \{ Q ** F \} \\ & \langle \text{proof} \rangle \end{aligned}$$

$$\begin{aligned} \text{lemma } \text{strengthen_post: } & \text{assumes } \vdash_3 \{ P \} c \{ Q \} \wedge s. Q \ s \Longrightarrow Q' \ s \\ & \text{shows } \vdash_3 \{ P \} c \{ Q' \} \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *weakenpre*: $\llbracket \vdash_3 \{P\}c\{Q\} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_3 \{P'\}c\{Q\}$
 $\langle proof \rangle$

8.3 Soundness Proof

lemma *exec_preserves_disj*: $(c, ps) \Rightarrow_A t \Downarrow ps' \implies ps'' \#\# ps \implies ps''$
 $\#\# ps'$
 $\langle proof \rangle$

lemma *FrameRuleSound*: **assumes** $\models_3 \{ P \} C \{ Q \}$
shows $\models_3 \{ P ** F \} C \{ Q ** F \}$
 $\langle proof \rangle$

theorem *hoare3_sound*: **assumes** $\vdash_3 \{ P \}c\{ Q \}$
shows $\models_3 \{ P \} c \{ Q \}$ $\langle proof \rangle$

8.4 Completeness

definition *wp3* :: $com \Rightarrow assn2 \Rightarrow assn2$ (*wp3*) **where**
 $wp3\ c\ Q = (\lambda(s,n). \exists t\ m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q\ (t,n-m))$

lemma *wp3_SKIP[simp]*: $wp3\ SKIP\ Q = (Q ** \$1)$
 $\langle proof \rangle$

lemma *wp3_Assign[simp]*: $wp3\ (x ::= e)\ Q = ((\lambda(ps,t). vars\ e \cup \{x\} \subseteq$
 $dom\ ps \wedge Q\ (ps(x \mapsto paval\ e\ ps),t)) ** \$1)$
 $\langle proof \rangle$

lemma *wpt_Seq[simp]*: $wp3\ (c_1;;c_2)\ Q = wp3\ c_1\ (wp3\ c_2\ Q)$
 $\langle proof \rangle$

lemma *wp3_If[simp]*:
 $wp3\ (IF\ b\ THEN\ c_1\ ELSE\ c_2)\ Q = ((\lambda(ps,t). vars\ b \subseteq dom\ ps \wedge wp3\ (if$
 $pbval\ b\ ps\ then\ c_1\ else\ c_2)\ Q\ (ps,t)) ** \$1)$
 $\langle proof \rangle$

lemma *sFTrue*: **assumes** $pbval\ b\ ps\ vars\ b \subseteq dom\ ps$
shows $wp3\ (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge$
 $(if\ pbval\ b\ ps\ then\ wp3\ c\ (wp3\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps, n)))$
 $\wedge * \$1)\ (ps, n)$
 $(is\ ?wp = (?I\ \wedge * \$1)\ _)$
 $\langle proof \rangle$

lemma *sFFalse*: **assumes** $\sim pbval\ b\ ps\ vars\ b \subseteq dom\ ps$

shows $wp_3\ (WHILE\ b\ DO\ c)\ Q\ (ps,\ n) = ((\lambda(ps,\ n).\ vars\ b \subseteq dom\ ps \wedge$
(if pbval b ps then wp₃ c (wp₃ (WHILE b DO c) Q) (ps, n) else Q (ps, n)))
 $\wedge * \$ 1)\ (ps,\ n)$

(is ?wp = (?I $\wedge * \$ 1$) _)

<proof>

lemma *sF'*: $wp_3\ (WHILE\ b\ DO\ c)\ Q\ (ps,\ n) = ((\lambda(ps,\ n).\ vars\ b \subseteq dom\ ps$
 $\wedge\ (if\ pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps,\ n)\ else\ Q\ (ps,$
 $n)))\ \wedge * \$ 1)\ (ps,\ n)$

<proof>

lemma *sF*: $wp_3\ (WHILE\ b\ DO\ c)\ Q\ s = ((\lambda(ps,\ n).\ vars\ b \subseteq dom\ ps \wedge (if$
 $pbval\ b\ ps\ then\ wp_3\ c\ (wp_3\ (WHILE\ b\ DO\ c)\ Q)\ (ps,\ n)\ else\ Q\ (ps,\ n)))$
 $\wedge * \$ 1)\ s$

<proof>

lemma **assumes** $\wedge Q.\ \vdash_3\ \{wp_3\ c\ Q\}\ c\ \{Q\}$

shows *WhileWpisPre*: $\vdash_3\ \{wp_3\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{$
 $Q\}$

<proof>

lemma *wp3_is_pre*: $\vdash_3\ \{wp_3\ c\ Q\}\ c\ \{Q\}$

<proof>

theorem *hoare3_complete*: $\models_3\ \{P\}c\{Q\} \implies \vdash_3\ \{P\}c\{Q\}$

<proof>

theorem *hoare3_sound_complete*: $\models_3\ \{P\}c\{Q\} \longleftrightarrow \vdash_3\ \{P\}c\{Q\}$

<proof>

8.4.1 What about garbage collection?

definition *F* **where** $F\ C\ Q = (\% (ps,\ n).\ \exists ps1'\ ps2'\ m\ e1\ e2.\ (C,\ ps) \Rightarrow_A$
 $m \Downarrow ps1' + ps2' \wedge ps1' \#\#\ ps2' \wedge n = e1 + e2 + m \wedge Q\ (ps1',\ e1)\)$

lemma $wp_3\ C\ (Q ** (\% _. True)) = F\ C\ Q$

<proof>

definition *hoareT3_validGC* :: *assn2* ⇒ *com* ⇒ *assn2* ⇒ *bool*
 (⊨_G {(1_)} / () / { (1_)} 50) **where**
 ⊨_G { *P* } *c* { *Q* } ⇔ ⊨₃ { *P* } *c* { *Q* ** (%_ . True) }

end

8.5 Examples

theory *SepLog_Examples*
imports *SepLog_Hoare*
begin

8.5.1 nice example

lemmas *strongAssign* = *Assign*'''[*OF* _ *strengthen_post*, *OF* _ *Frame_R*,
OF _ *Assign*''']

lemma *myrule*: **assumes** *case s of* (*s*, *n*) ⇒ (\$ (2 * *x*) ∧ * "*x*" ↦ *int x*)
 (*s*, *n*) ∧ *lmaps_to_axpr'* (*Less* (*N 0*) (*V* "*x*")) *True s*
and *synevalb* (\$ (2 * *x*) ** "*x*" ↦ *int x*) (*Less* (*N 0*) (*V* "*x*")) *v*
shows (↑(*v*=*True*) ** \$ (2 * *x*) ** "*x*" ↦ *int x*) *s*
 ⟨*proof*⟩

fun *sum* :: *int* ⇒ *int* **where**
sum i = (*if i* ≤ 0 *then 0* *else sum* (*i* - 1) + *i*)

abbreviation *wsum* ==
WHILE Less (*N 0*) (*V* "*x*")
DO (
 "*x*" ::= *Plus* (*V* "*x*") (*N* (- 1)))

lemma *E4_R*: ⊢₃ { ↑(*v*>0) ** \$(2*v) ** *pointsto* "*x*" (*int v*) }
 "*x*" ::= *Plus* (*V* "*x*") (*N* (- 1))
 { ↑(*v*>0) ** \$(2*v-1) ** *pointsto* "*x*" (*int v*-1) }
 ⟨*proof*⟩

lemma *prod_0*:
shows (λ(*s*::*char list* ⇒ *int option*, *c*::*nat*). *s* = *Map.empty* ∧ *c* = 0) *h*
 ⇒ *h* = 0 ⟨*proof*⟩

lemma *example2*: $\vdash_3 \{ (\text{pointsto } "x" \ n) \ ** (\text{pointsto } "y" \ n) \ ** \$1 \} "x"$
 $::= \text{Plus } (V \ "x") \ (N \ (- \ 1)) \ \{ (\text{pointsto } "x" \ (n-1)) \ ** (\text{pointsto } "y" \ n) \}$
 $\langle \text{proof} \rangle$

end

9 Hoare Logic based on Separation Logic and Time Credits (big-O style)

theory *SepLogK_Hoare*
imports *Big_StepT Partial_Evaluation Big_StepT_Partial*
begin

9.1 Definition of Validity

definition *hoare3o_valid* :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool}$
 $(\models_3' \{ (1_)/ (_)/ \{ (1_)\} \ 50) \ \text{where}$
 $\models_3' \{ P \} \ c \ \{ Q \} \ \longleftrightarrow$
 $(\exists k > 0. (\forall ps \ n. P \ (ps, n)$
 $\longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c, ps) \Rightarrow_A \ m \ \Downarrow \ ps' + ps'')$
 $\wedge ps' \ \#\# \ ps'' \wedge k * n = k * e + e' + m$
 $\wedge Q \ (ps', e))))$

9.2 Hoare Rules

inductive

hoare3a :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool}$ $(\vdash_{3a} (\{ (1_)/ (_)/ \{ (1_)\} \}$
 $50)$

where

Skip: $\vdash_{3a} \{ \$1 \} \ \text{SKIP} \ \{ \$0 \} \ |$

Assign4: $\vdash_{3a} \{ (\lambda(ps, t). \ x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q \ (ps(x \mapsto (\text{paval } a \ ps)), t)) \ ** \$1 \} \ x ::= a \ \{ Q \} \ |$

If: $\llbracket \vdash_{3a} \{ \lambda(s, n). \ P \ (s, n) \wedge \text{lmaps_to_expr } b \ \text{True } s \} \ c_1 \ \{ Q \};$
 $\vdash_{3a} \{ \lambda(s, n). \ P \ (s, n) \wedge \text{lmaps_to_expr } b \ \text{False } s \} \ c_2 \ \{ Q \} \rrbracket$
 $\implies \vdash_{3a} \{ (\lambda(s, n). \ P \ (s, n) \wedge \text{vars } b \subseteq \text{dom } s) \ ** \$1 \} \ \text{IF } b \ \text{THEN } c_1 \ \text{ELSE}$
 $c_2 \ \{ Q \} \ |$

$$\text{Frame: } \llbracket \vdash_{3a} \{ P \} C \{ Q \} \rrbracket \\ \implies \vdash_{3a} \{ P ** F \} C \{ Q ** F \} \quad |$$

$$\text{Seq: } \llbracket \vdash_{3a} \{ P \} C_1 \{ Q \} ; \vdash_{3a} \{ Q \} C_2 \{ R \} \rrbracket \\ \implies \vdash_{3a} \{ P \} C_1 ;; C_2 \{ R \} \quad |$$

$$\text{While: } \llbracket \vdash_{3a} \{ (\lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ (\lambda(s,n). \\ P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \rrbracket \\ \implies \vdash_{3a} \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE} \\ b \text{ DO } C \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \quad |$$

$$\text{conseqS: } \llbracket \vdash_{3a} \{ P \} c \{ Q \} ; \wedge s n. P'(s,n) \implies P(s,n) ; \wedge s n. Q(s,n) \implies \\ Q'(s,n) \rrbracket \implies \\ \vdash_{3a} \{ P' \} c \{ Q' \}$$

inductive

$$\text{hoare3b} :: \text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} (\vdash_{3b} (\{(1_)\} / (_) / \{ (1_)\}) \\ 50)$$

where

$$\text{import: } \vdash_{3a} \{ P \} c \{ Q \} \implies \vdash_{3b} \{ P \} c \{ Q \} \quad |$$

$$\text{conseq: } \llbracket \vdash_{3b} \{ P \} c \{ Q \} ; \wedge s n. P'(s,n) \implies P(s,k*n) ; \wedge s n. Q(s,n) \implies \\ Q'(s,n \text{ div } k); k > 0 \rrbracket \implies \\ \vdash_{3b} \{ P' \} c \{ Q' \}$$

inductive

$$\text{hoare3' } :: \text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} (\vdash_{3'} (\{(1_)\} / (_) / \{ (1_)\}) \\ 50)$$

where

$$\text{Skip: } \vdash_{3'} \{ \$1 \} \text{ SKIP } \{ \$0 \} \quad |$$

$$\text{Assign: } \vdash_{3'} \{ \text{lmaps_to_expr } x a v ** \$1 \} x ::= a \{ (\% (s,c). \text{dom } s = \text{vars} \\ a - \{ x \} \wedge c = 0) ** x \leftrightarrow v \} \quad |$$

$$\text{Assign': } \vdash_{3'} \{ \text{pointsto } x v' ** (\text{pointsto } x v \longrightarrow * Q) ** \$1 \} x ::= N v \{ Q \\ \} \quad |$$

Assign2: $\vdash_{3'} \{ \exists v . ((\exists v'. \text{pointsto } x v') ** (\text{pointsto } x v \longrightarrow * Q) ** \$1)$
and sep_true $** (\% (ps, n). \text{vars } a \subseteq \text{dom } ps \wedge \text{paval } a \text{ } ps = v$
 $) \}$ $x ::= a \{ Q \} \mid$

If: $\llbracket \vdash_{3'} \{ \lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b \text{ True } s \} c_1 \{ Q \};$
 $\vdash_{3'} \{ \lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b \text{ False } s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{IF } b \text{ THEN } c_1 \text{ ELSE}$
 $c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_{3'} \{ P \} C \{ Q \} \rrbracket$
 $\implies \vdash_{3'} \{ P ** F \} C \{ Q ** F \} \mid$

Seq: $\llbracket \vdash_{3'} \{ P \} C_1 \{ Q \}; \vdash_{3'} \{ Q \} C_2 \{ R \} \rrbracket$
 $\implies \vdash_{3'} \{ P \} C_1 ;; C_2 \{ R \} \mid$

While: $\llbracket \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ (\lambda(s, n).$
 $P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \rrbracket$
 $\implies \vdash_{3'} \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{WHILE } b$
 $\text{DO } C \{ \lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \mid$

conseq: $\llbracket \vdash_{3'} \{ P \} c \{ Q \}; \wedge s n. P'(s, n) \implies P(s, k*n); \wedge s n. Q(s, n) \implies$
 $Q'(s, n \text{ div } k); k > 0 \rrbracket \implies$
 $\vdash_{3'} \{ P' \} c \{ Q' \} \mid$

normalize: $\llbracket \vdash_{3'} \{ P ** \$m \} C \{ Q ** \$n \}; n \leq m \rrbracket$
 $\implies \vdash_{3'} \{ P ** \$(m-n) \} C \{ Q \} \mid$

Assign''': $\vdash_{3'} \{ \$1 ** (x \leftrightarrow ds) \} x ::= (N v) \{ (x \leftrightarrow v) \} \mid$

Assign'''': $\llbracket \text{symeval } P a v; \vdash_{3'} \{ P \} x ::= (N v) \{ Q' \} \rrbracket \implies \vdash_{3'} \{ P \} x ::=$
 $a \{ Q' \} \mid$

Assign4: $\vdash_{3'} \{ (\lambda(ps, t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval}$
 $a \text{ } ps)), t)) ** \$1 \} x ::= a \{ Q \} \mid$

False: $\vdash_{3'} \{ \lambda(ps, n). \text{False} \} c \{ Q \} \mid$

pureI: $(P \implies \vdash_{3'} \{ Q \} c \{ R \}) \implies \vdash_{3'} \{ \uparrow P ** Q \} c \{ R \}$

definition $A_4 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$
where $A_4 \ x \ a \ Q = ((\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q (ps(x \mapsto (\text{paval } a \ ps))), t)) ** \$1)$
definition $A_2 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$
where $A_2 \ x \ a \ Q = (\exists v . ((\exists v'. \text{pointsto } x \ v') ** (\text{pointsto } x \ v \longrightarrow * Q) ** \$1))$
and $\text{sep_true} ** (\%_0(ps,n). \text{vars } a \subseteq \text{dom } ps \wedge \text{paval } a \ ps = v)))$

lemma $A_4 \ x \ a \ Q \ (ps,n) \Longrightarrow A_2 \ x \ a \ Q \ (ps,n)$
 $\langle \text{proof} \rangle$

lemma $A_2 \ x \ a \ Q \ (ps,n) \Longrightarrow A_4 \ x \ a \ Q \ (ps,n)$
 $\langle \text{proof} \rangle$

lemma $E_extendsR: \vdash_{3a} \{ P \} \ c \ \{ F \} \Longrightarrow \vdash_{3'} \{ P \} \ c \ \{ F \}$
 $\langle \text{proof} \rangle$

lemma $E_extendsS: \vdash_{3b} \{ P \} \ c \ \{ F \} \Longrightarrow \vdash_{3'} \{ P \} \ c \ \{ F \}$
 $\langle \text{proof} \rangle$

lemma $Skip': P = (F ** \$1) \Longrightarrow \vdash_{3'} \{ P \} \ SKIP \ \{ F \}$
 $\langle \text{proof} \rangle$

9.2.1 experiments with explicit and implicit GarbageCollection

lemma $((\forall ps \ n. P \ (ps,n) \longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c,ps) \Rightarrow_A m \Downarrow ps' + ps'') \wedge ps' \#\#\ ps'' \wedge n = e + e' + m \wedge Q \ (ps',e)))) \longleftrightarrow (\forall ps \ n. P \ (ps,n) \longrightarrow (\exists ps' \ m \ e . ((c,ps) \Rightarrow_A m \Downarrow ps') \wedge n = e + m \wedge (Q ** (\lambda_. True)) \ (ps',e)))$

$\langle \text{proof} \rangle$

9.3 Soundness

theorem $\text{hoareT_sound2_part: assumes } \vdash_{3'} \{ P \} \ c \ \{ Q \}$
shows $\models_{3'} \{ P \} \ c \ \{ Q \} \ \langle \text{proof} \rangle$

thm *hoareT_sound2_part E_extendsR*

lemma *hoareT_sound2_partR*: $\vdash_{3a} \{P\} c \{Q\} \implies \models_{3'} \{P\} c \{Q\}$
 ⟨proof⟩

9.3.1 nice example

lemma *Frame_R*: **assumes** $\vdash_{3'} \{P\} C \{Q\}$ *Frame P' P F*
shows $\vdash_{3'} \{P'\} C \{Q ** F\}$
 ⟨proof⟩

lemma *strengthen_post*: **assumes** $\vdash_{3'} \{P\} c \{Q\} \wedge s. Q s \implies Q' s$
shows $\vdash_{3'} \{P\} c \{Q'\}$
 ⟨proof⟩

lemmas *strongAssign = Assign''''[OF __ strengthen_post, OF __ Frame_R, OF __ Assign''']*

lemma *weakenpre*: $\llbracket \vdash_{3'} \{P\} c \{Q\} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3'} \{P'\} c \{Q\}$
 ⟨proof⟩

lemma *weakenpreR*: $\llbracket \vdash_{3a} \{P\} c \{Q\} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3a} \{P'\} c \{Q\}$
 ⟨proof⟩

9.4 Completeness

definition $wp_{3'} :: com \Rightarrow assn2 \Rightarrow assn2 (wp_{3'})$ **where**
 $wp_{3'} c Q = (\lambda(s,n). \exists t m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q (t,n-m))$

lemma *wp3Skip[simp]*: $wp_{3'} SKIP Q = (Q ** \$1)$
 ⟨proof⟩

lemma *wp3Assign[simp]*: $wp_{3'} (x ::= e) Q = ((\lambda(ps,t). vars e \cup \{x\} \subseteq dom ps \wedge Q (ps(x \mapsto paval e ps),t)) ** \$1)$
 ⟨proof⟩

lemma *wpt_Seq[simp]*: $wp_{3'} (c_1;;c_2) Q = wp_{3'} c_1 (wp_{3'} c_2 Q)$
 ⟨proof⟩

lemma *wp3If[simp]*:

*wp₃' (IF b THEN c₁ ELSE c₂) Q = ((λ(ps,t). vars b ⊆ dom ps ∧ wp₃' (if pbval b ps then c₁ else c₂) Q (ps,t)) ** \$1)*
 ⟨proof⟩

lemma *sFTrue*: **assumes** *pbval b ps vars b ⊆ dom ps*

shows *wp₃' (WHILE b DO c) Q (ps, n) = ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then wp₃' c (wp₃' (WHILE b DO c) Q) (ps, n) else Q (ps, n))) ∧* \$ 1) (ps, n)*
 (**is** *?wp = (?I ∧* \$ 1) _*)
 ⟨proof⟩

lemma *sFFalse*: **assumes** \sim *pbval b ps vars b ⊆ dom ps*

shows *wp₃' (WHILE b DO c) Q (ps, n) = ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then wp₃' c (wp₃' (WHILE b DO c) Q) (ps, n) else Q (ps, n))) ∧* \$ 1) (ps, n)*
 (**is** *?wp = (?I ∧* \$ 1) _*)
 ⟨proof⟩

lemma *sF'*: *wp₃' (WHILE b DO c) Q (ps,n) = ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then wp₃' c (wp₃' (WHILE b DO c) Q) (ps, n) else Q (ps, n))) ∧* \$ 1) (ps,n)*
 ⟨proof⟩

lemma *sF*: *wp₃' (WHILE b DO c) Q s = ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then wp₃' c (wp₃' (WHILE b DO c) Q) (ps, n) else Q (ps, n))) ∧* \$ 1) s*
 ⟨proof⟩

lemma *strengthen_postR*: **assumes** $\vdash_{3a} \{P\}c\{Q\} \wedge s. Q s \implies Q' s$

shows $\vdash_{3a} \{P\}c\{Q'\}$
 ⟨proof⟩

lemma **assumes** $\wedge Q. \vdash_{3a} \{wp_{3'} c Q\} c \{Q\}$

shows *WhileWpisPre*: $\vdash_{3a} \{wp_{3'} (WHILE b DO c) Q\} WHILE b DO c \{Q\}$
 ⟨proof⟩

lemma *wpT_is_pre*: $\vdash_{3a} \{wp_{3'} c Q\} c \{Q\}$

$\langle \text{proof} \rangle$

lemma *hoare3o_valid_alt*: $\models_{3'} \{ P \} c \{ Q \} \implies$
 $(\exists k > 0. (\forall ps\ n. P (ps, n\ \text{div}\ k)$
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\#\ ps'' \wedge n = e + e' + m$
 $\wedge Q (ps', e\ \text{div}\ k))))$
 $\langle \text{proof} \rangle$

lemma *valid_alternative_with_GC*: **assumes** $(\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\#\ ps'' \wedge n = e + e' + m$
 $\wedge Q (ps', e)))$ **shows** $(\forall ps\ n. P (ps, n)$
 $\longrightarrow (\exists ps'\ m\ e. ((c, ps) \Rightarrow_A m \Downarrow ps')$
 $\wedge n = e + m \wedge (Q \ **\ \text{sep_true}) (ps', e)))$
 $\langle \text{proof} \rangle$

lemma *hoare3o_valid_GC*: $\models_{3'} \{ P \} c \{ Q \} \implies \models_{3'} \{ P \} c \{ Q \ **\ \text{sep_true} \}$
 $\langle \text{proof} \rangle$

lemma *hoare3a_sound_GC*: $\vdash_{3a} \{ P \} c \{ Q \} \implies \models_{3'} \{ P \} c \{ Q \ **\ \text{sep_true} \}$
 $\langle \text{proof} \rangle$

lemma *valid_wp*: $\models_{3'} \{ P \} c \{ Q \} \implies (\exists k > 0. \forall s\ n. P (s, n) \longrightarrow wp_{3'} c$
 $(\lambda(ps, n). (Q \ **\ \text{sep_true}) (ps, n\ \text{div}\ k)) (s, k * n))$
 $\langle \text{proof} \rangle$

theorem *completeness*: $\models_{3'} \{ P \} c \{ Q \} \implies \vdash_{3b} \{ P \} c \{ Q \ **\ \text{sep_true} \}$
 $\langle \text{proof} \rangle$

thm *E_extendsR_completeness*

lemma *completenessR*: $\models_{3'} \{ P \} c \{ Q \} \implies \vdash_{3'} \{ P \} c \{ Q \ **\ \text{sep_true} \}$
 $\langle \text{proof} \rangle$

end

theory *SepLogK_VCG*

imports *SepLogK_Hoare*

begin

lemmas *conseqS* = *conseq*[**where** *k=1*, *simplified*]

datatype *acom* =

Askip (SKIP) |
Aassign *vname* *aexp* (($_ ::= _$) [1000, 61] 61) |
Aseq *acom* *acom* (($_ ; ; _$) [60, 61] 60) |
Aif *bexp* *acom* *acom* ((IF $_ /$ THEN $_ /$ ELSE $_$) [0, 0, 61] 61) |
Awhile *assn2* *bexp* *acom* (($\{ _ \}$ / WHILE $_ /$ DO $_$) [0, 0, 61] 61)

notation *com.SKIP* (SKIP)

fun *strip* :: *acom* \Rightarrow *com* **where**

strip SKIP = SKIP |
strip ($x ::= a$) = ($x ::= a$) |
strip ($C_1 ; ; C_2$) = (*strip* $C_1 ; ;$ *strip* C_2) |
strip (IF b THEN C_1 ELSE C_2) = (IF b THEN *strip* C_1 ELSE *strip* C_2) |
strip ($\{ _ \}$ WHILE b DO C) = (WHILE b DO *strip* C)

fun *pre* :: *acom* \Rightarrow *assn2* \Rightarrow *assn2* **where**

pre SKIP Q = ($\$1 ** Q$) |
pre ($x ::= a$) Q = (($\lambda(ps,t). x \in dom\ ps \wedge vars\ a \subseteq dom\ ps \wedge Q\ (ps(x \mapsto (paval\ a\ ps)),t)$) ** $\$1$) |
pre ($C_1 ; ; C_2$) Q = *pre* C_1 (*pre* C_2 Q) |
pre (IF b THEN C_1 ELSE C_2) Q = (
 $\$1 ** (\lambda(ps,n). vars\ b \subseteq dom\ ps \wedge (if\ pbval\ b\ ps\ then\ pre\ C_1\ Q\ (ps,n)\ else\ pre\ C_2\ Q\ (ps,n)))$) |
pre ($\{I\}$ WHILE b DO C) Q = ($I ** \$1$)

fun *vc* :: *acom* \Rightarrow *assn2* \Rightarrow *bool* **where**

vc SKIP Q = True |
vc ($x ::= a$) Q = True |
vc ($C_1 ; ; C_2$) Q = ((*vc* C_1 (*pre* C_2 Q)) \wedge (*vc* C_2 Q)) |
vc (IF b THEN C_1 ELSE C_2) Q = (*vc* C_1 Q \wedge *vc* C_2 Q) |
vc ($\{I\}$ WHILE b DO C) Q = ($\forall s. (I\ s \longrightarrow vars\ b \subseteq dom\ (fst\ s)) \wedge$
 ($\lambda(s,n). I\ (s,n) \wedge lmaps_to_axpr\ b\ True\ s) s \longrightarrow pre\ C\ (I ** \$1)\ s$)
 \wedge ($\lambda(s,n). I\ (s,n) \wedge lmaps_to_axpr\ b\ False\ s) s \longrightarrow Q\ s$) \wedge *vc* C
 ($I ** \$1$))

lemma *dollar0_left*: ($\$ 0 \wedge * Q$) = Q

$\langle proof \rangle$

lemma vc_sound : $vc\ C\ Q \implies \vdash_{3'} \{pre\ C\ Q\}\ strip\ C\ \{Q\}$

$\langle proof \rangle$

lemma $vc2valid$: $vc\ C\ Q \implies \forall s. P\ s \longrightarrow pre\ C\ Q\ s \implies \models_{3'} \{P\}\ strip\ C\ \{Q\}$

$\langle proof \rangle$

lemma pre_mono : **assumes** $\forall s. P\ s \longrightarrow Q\ s$ **shows** $\bigwedge s. pre\ C\ P\ s \implies pre\ C\ Q\ s$

$\langle proof \rangle$

lemma vc_mono : **assumes** $\forall s. P\ s \longrightarrow Q\ s$ **shows** $vc\ C\ P \implies vc\ C\ Q$

$\langle proof \rangle$

lemma vc_sound' : $vc\ C\ Q \implies (\bigwedge s\ n. P'\ (s, n) \implies pre\ C\ Q\ (s, k * n)) \implies (\bigwedge s\ n. Q\ (s, n) \implies Q'\ (s, n\ div\ k)) \implies 0 < k \implies \vdash_{3'} \{P'\}\ strip\ C\ \{Q'\}$

$\langle proof \rangle$

lemma pre_Frame : $(\forall s. P\ s \longrightarrow pre\ C\ Q\ s) \implies vc\ C\ Q$

$\implies (\exists C'. strip\ C = strip\ C' \wedge vc\ C'\ (Q ** F) \wedge (\forall s. (P ** F)\ s \longrightarrow pre\ C'\ (Q ** F)\ s))$

$\langle proof \rangle$

lemma $vc_complete$: $\vdash_{3a} \{P\}\ c\ \{Q\} \implies (\exists C. vc\ C\ Q \wedge (\forall s. P\ s \longrightarrow pre\ C\ Q\ s) \wedge strip\ C = c)$

$\langle proof \rangle$

theorem *vc_completeness*:
assumes $\models_3 \{P\} c \{Q\}$
shows $\exists C k. vc C (Q ** sep_true)$
 $\wedge (\forall ps n. P (ps, n) \longrightarrow pre C (\lambda(ps, n). (Q ** sep_true) (ps, n$
div k)) (ps, k * n))
 $\wedge strip C = c$
 $\langle proof \rangle$

end

10 Discussion

10.1 Relation between the explicit Hoare logics

theory *Discussion*
imports *Quant_Hoare SepLog_Hoare*
begin

10.1.1 Relation SepLogic to quantHoare

definition *em* **where** $em P' = (\% (ps, n). P' (emb ps (\%_. 0)) \leq enat n)$

lemma **assumes** $s: \models_3 \{em P'\} c \{em Q'\}$
shows $\models_2 \{P'\} c \{Q'\}$
 $\langle proof \rangle$

end

10.2 Relation between the Hoare logics in big-O style

theory *DiscussionO*
imports *SepLogK_Hoare QuantK_Hoare Nielson_Hoare*
begin

10.2.1 Relation Nielson to quantHoare

definition *emN* **::** $qassn \Rightarrow Nielson_Hoare.assn2$ **where** $emN P = (\lambda l s. P s < \infty)$

lemma **assumes** $s: \models_1 \{emN P'\} c \{ \%s. (THE e. enat e = P' s - Q'$
 $(THE t. (\exists n. (c, s) \Rightarrow n \Downarrow t)) \Downarrow emN Q' \}$ **(is** $\models_1 \{ ?P \} c \{ ?e \Downarrow ?Q \}$)
shows *quantNielson*: $\models_2 \{ P' \} c \{ Q' \}$

$\langle \text{proof} \rangle$

lemma assumes $s: \models_{2'} \{ \%s . \text{emb } (\forall l. P l s) + \text{enat } (e s) \} c \{ \%s . \text{emb } (\forall l. Q l s) \}$ (**is** $\models_{2'} \{ ?P \} c \{ ?Q \}$)
and $sP: \bigwedge l t. P l t \implies \forall l. P l t$
and $sQ: \bigwedge l t. Q l t \implies \forall l. Q l t$
shows $\text{NelsonQuant}: \models_1 \{ P \} c \{ e \Downarrow Q \}$
 $\langle \text{proof} \rangle$

10.2.2 Relation SepLogic to quantHoare

definition $\text{em} :: \text{qassn} \Rightarrow (\text{pstate_t} \Rightarrow \text{bool})$ **where**
 $\text{em } P = (\% (ps, n). (\forall ex. P (\text{Partial_Evaluation.emb } ps \ ex) \leq \text{enat } n))$

lemma assumes $s: \models_{3'} \{ \text{em } P \} c \{ \text{em } Q \}$
shows $\models_{2'} \{ P \} c \{ Q \}$
 $\langle \text{proof} \rangle$

definition $\text{embe} :: (\text{pstate_t} \Rightarrow \text{bool}) \Rightarrow \text{qassn}$ **where**
 $\text{embe } P = (\%s . \text{Inf } \{ \text{enat } n | n. P (\text{part } s, n) \})$

lemma assumes $s: \models_{2'} \{ \text{embe } P \} c \{ \text{embe } Q \}$ **and** $\text{full}: \bigwedge ps n. P (ps, n) \implies \text{dom } ps = \text{UNIV}$
shows $\models_{3'} \{ P \} c \{ Q \}$
 $\langle \text{proof} \rangle$

10.3 A General Validity Predicate with Time

definition valid **where**
 $\text{valid } P c Q n = (\forall s. P s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq n \wedge Q s'))$

definition validk **where**
 $\text{validk } P c Q n = (\exists k > 0. (\forall s. P s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q s')))$

lemma $\text{validk } P c Q n = (\exists k > 0. \text{valid } P c Q (k * n))$
 $\langle \text{proof} \rangle$

10.3.1 Relation between valid predicate and Quantitative Hoare Logic

lemma $\models_{2'} \{ \%s. emb (P s) + enat n \} c \{ \lambda s. emb (Q s) \} \implies \exists k > 0. valid P c Q (k * n)$
 $\langle proof \rangle$

lemma *valid_quantHoare*: $\exists k > 0. valid P c Q (k * n) \implies \models_{2'} \{ \%s. emb (P s) + enat n \} c \{ \lambda s. emb (Q s) \}$
 $\langle proof \rangle$

10.3.2 Relation between valid predicate and Hoare Logic based on Separation Logic

definition *embP2* $P = (\% (ps, n). \forall s. P (Partial_Evaluation.emb ps s) \wedge n = 0)$

definition *embP3* $P = (\% (ps, n). dom ps = UNIV \wedge (\forall s. P (Partial_Evaluation.emb ps s)) \wedge n = 0)$

lemma *emp*: $a + Map.empty = a$
 $\langle proof \rangle$

lemma *oneway*: $\models_{3'} \{ embP3 P ** \$n \} c \{ embP2 Q \} \implies validk P c Q n$
 $\langle proof \rangle$

lemma *theother*: $validk P c Q n \implies \models_{3'} \{ embP3 P ** \$n \} c \{ embP2 Q \}$
 $\langle proof \rangle$

lemma *validk* $P c Q n \longleftrightarrow \models_{3'} \{ embP3 P ** \$n \} c \{ embP2 Q \}$
 $\langle proof \rangle$

end

theory *Hoare_Time* **imports**

Nelson_Hoare
Nelson_VCG

Nielson_VCGi
Nielson_VCGi_complete
Nielson_Examples
Nielson_Sqrt

Quant_Hoare
Quant_VCG
Quant_Examples

QuantK_Hoare
QuantK_VCG
QuantK_Examples
QuantK_Sqrt

SepLog_Hoare
SepLog_Examples
SepLogK_Hoare
SepLogK_VCG

Discussion
DiscussionO

begin end

References

- [HN18] Maximilian Paul Louis Haslbeck and Tobias Nipkow. Hoare logics for time bounds. In M. Huisman and D. Beyer, editors, *Tools and Algorithms for the Construction and Analysis of Systems (TACAS 2018)*, LNCS. Springer, 2018. To appear.