

Hoare Logics for Time Bounds

Maximilian P. L. Haslbeck Tobias Nipkow*

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Abstract

We study three different Hoare logics for reasoning about time bounds of imperative programs and formalize them in Isabelle/HOL: a classical Hoare like logic due to Nielson, a logic with potentials due to Carbonneaux *et al.* and a *separation logic* following work by Atkey, Chagu  rand and Pottier. These logics are formally shown to be sound and complete. Verification condition generators are developed and are shown sound and complete too. We also consider variants of the systems where we abstract from multiplicative constants in the running time bounds, thus supporting a big-O style of reasoning. Finally we compare the expressive power of the three systems.

An informal description is found in an accompanying report [HN18].

Contents

1	Arithmetic and Boolean Expressions	4
1.1	Arithmetic Expressions	4
1.2	Boolean Expressions	4
2	IMP — A Simple Imperative Language	5
2.1	Big-Step Semantics of Commands	5
2.2	Rule inversion	7
2.3	Command Equivalence	8
2.4	Execution is deterministic	11
3	Big Step Semantics with Time	11
3.1	Big-Step with Time Semantics of Commands	12
3.2	Rule inversion	12
3.3	Relation to Big-Step Semantics	14
3.4	Execution is deterministic	14

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4	Nielson Style Hoare Logic with logical variables	17
4.1	The support of an assn2	17
4.2	Validity	18
4.3	Hoare rules	18
4.4	Soundness	20
4.5	Completeness	25
4.6	Verification Condition Generator	32
4.7	The Variables in an Expression	65
4.8	Optimized Verification Condition Generator	68
4.9	Example: discrete square root in Nielson's logic	109
5	Quantitative Hoare Logic (due to Carbonneaux)	113
5.1	Validity of quantitative Hoare Triple	113
5.2	Hoare logic for quantiative reasoning	113
5.3	Soundness	115
5.4	Completeness	119
5.5	Verification Condition Generator	127
5.6	Examples	131
6	Quantitative Hoare Logic (big-O style)	133
6.1	Definition of Validity	134
6.2	Hoare Rules	134
6.3	Soundness	136
6.4	Completeness	143
6.5	Example	151
6.6	Verification Condition Generator	154
6.7	Examples for quantitative Hoare logic	162
6.8	Example: discrete square root in the quantitative Hoare logic	167
7	Partial States	171
7.1	Partial evaluation of expressions	171
7.2	Big step Semantics on partial states	179
7.3	Partial State	185
7.4	Dollar and Pointsto	186
7.5	Frame Inference	188
7.6	Expression evaluation	191
8	Hoare Logic based on Separation Logic and Time Credits	192
8.1	Definition of Validity	192
8.2	Hoare Rules	193
8.3	Soundness Proof	194
8.4	Completeness	202
8.5	Examples	207

9	Hoare Logic based on Separation Logic and Time Credits (big-O style)	209
9.1	Definition of Validity	209
9.2	Hoare Rules	209
9.3	Soundness	214
9.4	Completeness	231
10	Discussion	251
10.1	Relation between the explicit Hoare logics	251
10.2	Relation between the Hoare logics in big-O style	252
10.3	A General Validity Predicate with Time	257

1 Arithmetic and Boolean Expressions

theory *AExp* **imports** *Main* **begin**

1.1 Arithmetic Expressions

type_synonym *vname* = *string*

type_synonym *val* = *int*

type_synonym *state* = *vname* $\Rightarrow$ *val*

datatype *aexp* = *N int* | *V vname* | *Plus aexp aexp* | *Times aexp aexp* |
Div aexp aexp

fun *aval* :: *aexp* $\Rightarrow$ *state* $\Rightarrow$ *val* **where**

aval (*N n*) *s* = *n* |

aval (*V x*) *s* = *s x* |

aval (*Plus a₁ a₂*) *s* = *aval a₁ s* + *aval a₂ s* |

aval (*Times a₁ a₂*) *s* = *aval a₁ s* * *aval a₂ s* |

aval (*Div a₁ a₂*) *s* = *aval a₁ s* div *aval a₂ s*

value *aval* (*Plus* (*V "x"*) (*N 5*)) ($\lambda x.$ if *x* = "x" then 7 else 0)

The same state more concisely:

value *aval* (*Plus* (*V "x"*) (*N 5*)) (($\lambda x.$ 0) ("x" := 7))

A little syntax magic to write larger states compactly:

definition *null_state* ($\langle _ \rangle$) **where**

null_state $\equiv \lambda x.$ 0

syntax

_State :: *updbinds* $\Rightarrow$ 'a ($\langle _ \rangle$)

translations

_State ms == *_Update* $\langle _ \rangle$ *ms*

_State (*_updbinds b bs*) <= *_Update* (*_State b*) *bs*

end

theory *BExp* **imports** *AExp* **begin**

1.2 Boolean Expressions

datatype *bexp* = *Bc bool* | *Not bexp* | *And bexp bexp* | *Less aexp aexp*

fun *bval* :: *bexp* $\Rightarrow$ *state* $\Rightarrow$ *bool* **where**

bval (*Bc v*) *s* = *v* |

bval (*Not b*) *s* = ($\neg$ *bval b s*) |

```

bval (And b1 b2) s = (bval b1 s ∧ bval b2 s) |
bval (Less a1 a2) s = (aval a1 s < aval a2 s)

value bval (Less (V "x") (Plus (N 3) (V "y")))
    <"x" := 3, "y" := 1>

```

end

2 IMP — A Simple Imperative Language

theory *Com* **imports** *BExp* **begin**

datatype

```

com = SKIP
  | Assign vname aexp      (⟨_ ::= _⟩ [1000, 61] 61)
  | Seq    com com        (⟨_;; _⟩ [60, 61] 60)
  | If     bexp com com    (⟨(IF _/ THEN _/ ELSE _)⟩ [0, 0, 61] 61)
  | While  bexp com        (⟨(WHILE _/ DO _)⟩ [0, 61] 61)

```

end

theory *Big_Step* **imports** *Com* **begin**

2.1 Big-Step Semantics of Commands

The big-step semantics is a straight-forward inductive definition with concrete syntax. Note that the first parameter is a tuple, so the syntax becomes $(c, s) \Rightarrow s'$.

inductive

```

big_step :: com × state ⇒ state ⇒ bool (infix ⟨⇒⟩ 55)
where
  Skip: (SKIP, s) ⇒ s |
  Assign: (x ::= a, s) ⇒ s(x := aval a s) |
  Seq: [ (c1, s1) ⇒ s2; (c2, s2) ⇒ s3 ] ⇒ (c1;; c2, s1) ⇒ s3 |
  IfTrue: [ bval b s; (c1, s) ⇒ t ] ⇒ (IF b THEN c1 ELSE c2, s) ⇒ t |
  IfFalse: [ ¬bval b s; (c2, s) ⇒ t ] ⇒ (IF b THEN c1 ELSE c2, s) ⇒ t |
  WhileFalse: ¬bval b s ⇒ (WHILE b DO c, s) ⇒ s |
  WhileTrue:
    [ bval b s1; (c, s1) ⇒ s2; (WHILE b DO c, s2) ⇒ s3 ]
    ⇒ (WHILE b DO c, s1) ⇒ s3

```

We want to execute the big-step rules:

code_pred *big_step* .

For inductive definitions we need command **values** instead of **value**.

values $\{t. (SKIP, \lambda_. 0) \Rightarrow t\}$

We need to translate the result state into a list to display it.

values $\{map\ t\ ["x'"]\ |t. (SKIP, <"x" := 42>) \Rightarrow t\}$

values $\{map\ t\ ["x'"]\ |t. ("x" ::= N\ 2, <"x" := 42>) \Rightarrow t\}$

values $\{map\ t\ ["x'", "y'"]\ |t. (WHILE\ Less\ (V\ "x")\ (V\ "y")\ DO\ ("x" ::= Plus\ (V\ "x")\ (N\ 5)), <"x" := 0, "y" := 13>) \Rightarrow t\}$

Proof automation:

The introduction rules are good for automatically construction small program executions. The recursive cases may require backtracking, so we declare the set as unsafe intro rules.

declare *big_step.intros* [*intro*]

The standard induction rule

$$\begin{aligned} & \llbracket x1 \Rightarrow x2; \wedge s. P\ (SKIP, s)\ s; \wedge x\ a\ s. P\ (x ::= a, s)\ (s(x := aval\ a\ s)); \\ & \wedge c_1\ s_1\ s_2\ c_2\ s_3. \\ & \quad \llbracket (c_1, s_1) \Rightarrow s_2; P\ (c_1, s_1)\ s_2; (c_2, s_2) \Rightarrow s_3; P\ (c_2, s_2)\ s_3 \rrbracket \\ & \quad \implies P\ (c_1;;\ c_2, s_1)\ s_3; \\ & \wedge b\ s\ c_1\ t\ c_2. \\ & \quad \llbracket bval\ b\ s; (c_1, s) \Rightarrow t; P\ (c_1, s)\ t \rrbracket \implies P\ (IF\ b\ THEN\ c_1\ ELSE\ c_2, s)\ t; \\ & \wedge b\ s\ c_2\ t\ c_1. \\ & \quad \llbracket \neg\ bval\ b\ s; (c_2, s) \Rightarrow t; P\ (c_2, s)\ t \rrbracket \implies P\ (IF\ b\ THEN\ c_1\ ELSE\ c_2, s)\ t; \\ & \wedge b\ s\ c. \neg\ bval\ b\ s \implies P\ (WHILE\ b\ DO\ c, s)\ s; \\ & \wedge b\ s_1\ c\ s_2\ s_3. \\ & \quad \llbracket bval\ b\ s_1; (c, s_1) \Rightarrow s_2; P\ (c, s_1)\ s_2; (WHILE\ b\ DO\ c, s_2) \Rightarrow s_3; \\ & \quad P\ (WHILE\ b\ DO\ c, s_2)\ s_3 \rrbracket \\ & \quad \implies P\ (WHILE\ b\ DO\ c, s_1)\ s_3 \\ & \implies P\ x1\ x2 \end{aligned}$$

thm *big_step.induct*

This induction schema is almost perfect for our purposes, but our trick for reusing the tuple syntax means that the induction schema has two parameters instead of the c , s , and s' that we are likely to encounter. Splitting the tuple parameter fixes this:

lemmas *big_step_induct* = *big_step.induct*[*split_format*(*complete*)]

thm *big_step_induct*

$$\begin{aligned}
& \llbracket (x1a, x1b) \Rightarrow x2a; \bigwedge s. P \text{ SKIP } s \ s; \bigwedge x \ a \ s. P \ (x ::= a) \ s \ (s(x := \text{aval } a \ s)); \\
& \bigwedge c_1 \ s_1 \ s_2 \ c_2 \ s_3. \\
& \quad \llbracket (c_1, s_1) \Rightarrow s_2; P \ c_1 \ s_1 \ s_2; (c_2, s_2) \Rightarrow s_3; P \ c_2 \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (c_1;; c_2) \ s_1 \ s_3; \\
& \bigwedge b \ s \ c_1 \ t \ c_2. \\
& \quad \llbracket \text{bval } b \ s; (c_1, s) \Rightarrow t; P \ c_1 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \bigwedge b \ s \ c_2 \ t \ c_1. \\
& \quad \llbracket \neg \text{bval } b \ s; (c_2, s) \Rightarrow t; P \ c_2 \ s \ t \rrbracket \Longrightarrow P \ (\text{IF } b \ \text{THEN } c_1 \ \text{ELSE } c_2) \ s \ t; \\
& \bigwedge b \ s \ c. \neg \text{bval } b \ s \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s \ s; \\
& \bigwedge b \ s_1 \ c \ s_2 \ s_3. \\
& \quad \llbracket \text{bval } b \ s_1; (c, s_1) \Rightarrow s_2; P \ c \ s_1 \ s_2; (\text{WHILE } b \ \text{DO } c, s_2) \Rightarrow s_3; \\
& \quad P \ (\text{WHILE } b \ \text{DO } c) \ s_2 \ s_3 \rrbracket \\
& \quad \Longrightarrow P \ (\text{WHILE } b \ \text{DO } c) \ s_1 \ s_3 \\
& \Longrightarrow P \ x1a \ x1b \ x2a
\end{aligned}$$

2.2 Rule inversion

What can we deduce from $(\text{SKIP}, s) \Rightarrow t$? That $s = t$. This is how we can automatically prove it:

inductive_cases *SkipE*[elim!]: $(\text{SKIP}, s) \Rightarrow t$
thm *SkipE*

This is an *elimination rule*. The [elim] attribute tells auto, blast and friends (but not simp!) to use it automatically; [elim!] means that it is applied eagerly.

Similarly for the other commands:

inductive_cases *AssignE*[elim!]: $(x ::= a, s) \Rightarrow t$
thm *AssignE*
inductive_cases *SeqE*[elim!]: $(c1;;c2, s1) \Rightarrow s3$
thm *SeqE*
inductive_cases *IfE*[elim!]: $(\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2, s) \Rightarrow t$
thm *IfE*

inductive_cases *WhileE*[elim]: $(\text{WHILE } b \ \text{DO } c, s) \Rightarrow t$
thm *WhileE*

Only [elim]: [elim!] would not terminate.

An automatic example:

lemma $(\text{IF } b \ \text{THEN } \text{SKIP} \ \text{ELSE } \text{SKIP}, s) \Rightarrow t \Longrightarrow t = s$
by *blast*

Rule inversion by hand via the “cases” method:

```

lemma assumes (IF b THEN SKIP ELSE SKIP, s)  $\Rightarrow$  t
shows t = s
proof—
  from assms show ?thesis
  proof cases — inverting assms
    case IfTrue thm IfTrue
    thus ?thesis by blast
  next
    case IfFalse thus ?thesis by blast
  qed
qed

```

```

lemma assign_simp:
  (x ::= a, s)  $\Rightarrow$  s'  $\longleftrightarrow$  (s' = s(x := aval a s))
by auto

```

An example combining rule inversion and derivations

```

lemma Seq_assoc:
  (c1;; c2;; c3, s)  $\Rightarrow$  s'  $\longleftrightarrow$  (c1;; (c2;; c3), s)  $\Rightarrow$  s'
proof
  assume (c1;; c2;; c3, s)  $\Rightarrow$  s'
  then obtain s1 s2 where
    c1: (c1, s)  $\Rightarrow$  s1 and
    c2: (c2, s1)  $\Rightarrow$  s2 and
    c3: (c3, s2)  $\Rightarrow$  s' by auto
  from c2 c3
  have (c2;; c3, s1)  $\Rightarrow$  s' by (rule Seq)
  with c1
  show (c1;; (c2;; c3), s)  $\Rightarrow$  s' by (rule Seq)
next
  — The other direction is analogous
  assume (c1;; (c2;; c3), s)  $\Rightarrow$  s'
  thus (c1;; c2;; c3, s)  $\Rightarrow$  s' by auto
qed

```

2.3 Command Equivalence

We call two statements *c* and *c'* equivalent wrt. the big-step semantics when *c* started in *s* terminates in *s'* iff *c'* started in the same *s* also terminates in the same *s'*. Formally:

```

abbreviation
  equiv_c :: com  $\Rightarrow$  com  $\Rightarrow$  bool (infix  $\langle \sim \rangle$  50) where
    c  $\sim$  c'  $\equiv (\forall s t. (c, s) \Rightarrow t = (c', s) \Rightarrow t)$ 

```


Warning: $\sim$ is the symbol written $\backslash < \text{sim} >$ (without spaces).

As an example, we show that loop unfolding is an equivalence transformation on programs:

lemma *unfold_while*:

$(\text{WHILE } b \text{ DO } c) \sim (\text{IF } b \text{ THEN } c;; \text{WHILE } b \text{ DO } c \text{ ELSE SKIP})$ (**is** $?w \sim ?iw$)

proof –

- to show the equivalence, we look at the derivation tree for
- each side and from that construct a derivation tree for the other side

{ **fix** $s \ t$ **assume** $(?w, s) \Rightarrow t$

- as a first thing we note that, if b is *False* in state s ,
- then both statements do nothing:

{ **assume** $\neg \text{bval } b \ s$
hence $t = s$ **using** $\langle (?w, s) \Rightarrow t \rangle$ **by** *blast*
hence $(?iw, s) \Rightarrow t$ **using** $\langle \neg \text{bval } b \ s \rangle$ **by** *blast*
}

moreover

- on the other hand, if b is *True* in state s ,
- then only the *WhileTrue* rule can have been used to derive $(?w, s) \Rightarrow$

t

{ **assume** $\text{bval } b \ s$
with $\langle (?w, s) \Rightarrow t \rangle$ **obtain** s' **where**
 $(c, s) \Rightarrow s'$ **and** $(?w, s') \Rightarrow t$ **by** *auto*
— now we can build a derivation tree for the *IF*
— first, the body of the True-branch:
hence $(c;; ?w, s) \Rightarrow t$ **by** (rule *Seq*)
— then the whole *IF*
with $\langle \text{bval } b \ s \rangle$ **have** $(?iw, s) \Rightarrow t$ **by** (rule *IfTrue*)
}

ultimately

- both cases together give us what we want:

have $(?iw, s) \Rightarrow t$ **by** *blast*

}

moreover

- now the other direction:

{ **fix** $s \ t$ **assume** $(?iw, s) \Rightarrow t$

- again, if b is *False* in state s , then the False-branch
- of the *IF* is executed, and both statements do nothing:

{ **assume** $\neg \text{bval } b \ s$
hence $s = t$ **using** $\langle (?iw, s) \Rightarrow t \rangle$ **by** *blast*
hence $(?w, s) \Rightarrow t$ **using** $\langle \neg \text{bval } b \ s \rangle$ **by** *blast*
}

moreover

— on the other hand, if b is *True* in state s ,
 — then this time only the *IfTrue* rule can have be used
{ assume $bval\ b\ s$
 with $\langle ?iw, s \rangle \Rightarrow t \rangle$ have $(c;;\ ?w, s) \Rightarrow t$ by *auto*
 — and for this, only the Seq-rule is applicable:
 then obtain s' where
 $(c, s) \Rightarrow s'$ **and $(?w, s') \Rightarrow t$ by *auto***
 — with this information, we can build a derivation tree for the *WHILE*
 with $\langle bval\ b\ s \rangle$
 have $(?w, s) \Rightarrow t$ by (rule *WhileTrue*)
}
ultimately
 — both cases together again give us what we want:
have $(?w, s) \Rightarrow t$ by *blast*
}
ultimately
show $?thesis$ by *blast*
qed

Luckily, such lengthy proofs are seldom necessary. Isabelle can prove many such facts automatically.

lemma *while_unfold*:
 $(WHILE\ b\ DO\ c) \sim (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP)$
by *blast*

lemma *triv_if*:
 $(IF\ b\ THEN\ c\ ELSE\ c) \sim c$
by *blast*

lemma *commute_if*:
 $(IF\ b1\ THEN\ (IF\ b2\ THEN\ c11\ ELSE\ c12)\ ELSE\ c2)$
 $\sim$
 $(IF\ b2\ THEN\ (IF\ b1\ THEN\ c11\ ELSE\ c2)\ ELSE\ (IF\ b1\ THEN\ c12\ ELSE\ c2))$
by *blast*

lemma *sim_while_cong_aux*:
 $(WHILE\ b\ DO\ c, s) \Rightarrow t \implies c \sim c' \implies (WHILE\ b\ DO\ c', s) \Rightarrow t$
apply(induction $WHILE\ b\ DO\ c\ s\ t$ arbitrary: $b\ c$ rule: *big_step_induct*)
apply *blast*
apply *blast*
done

lemma *sim_while_cong*: $c \sim c' \implies WHILE\ b\ DO\ c \sim WHILE\ b\ DO\ c'$

by (*metis sim_while_cong_aux*)

Command equivalence is an equivalence relation, i.e. it is reflexive, symmetric, and transitive. Because we used an abbreviation above, Isabelle derives this automatically.

lemma *sim_refl*: $c \sim c$ **by** *simp*

lemma *sim_sym*: $(c \sim c') = (c' \sim c)$ **by** *auto*

lemma *sim_trans*: $c \sim c' \implies c' \sim c'' \implies c \sim c''$ **by** *auto*

2.4 Execution is deterministic

This proof is automatic.

theorem *big_step_determ*: $\llbracket (c,s) \Rightarrow t; (c,s) \Rightarrow u \rrbracket \implies u = t$

by (*induction arbitrary: u rule: big_step.induct*) *blast+*

This is the proof as you might present it in a lecture. The remaining cases are simple enough to be proved automatically:

theorem

$(c,s) \Rightarrow t \implies (c,s) \Rightarrow t' \implies t' = t$

proof (*induction arbitrary: t' rule: big_step.induct*)

— the only interesting case, *WhileTrue*:

fix *b c s s₁ t t'*

— The assumptions of the rule:

assume *bval b s and (c,s) $\Rightarrow$ s₁ and (WHILE b DO c,s₁) $\Rightarrow$ t*

— Ind.Hyp; note the $\wedge$ because of arbitrary:

assume *IHc: $\wedge t'. (c,s) \Rightarrow t' \implies t' = s_1$*

assume *IHw: $\wedge t'. (WHILE b DO c,s_1) \Rightarrow t' \implies t' = t$*

— Premise of implication:

assume *(WHILE b DO c,s) $\Rightarrow$ t'*

with *bval b s* **obtain** *s₁' where*

c: (c,s) $\Rightarrow$ s₁' and

w: (WHILE b DO c,s₁') $\Rightarrow$ t'

by *auto*

from *c IHc* **have** *s₁' = s₁* **by** *blast*

with *w IHw* **show** *t' = t* **by** *blast*

qed *blast+* — prove the rest automatically

end

3 Big Step Semantics with Time

theory *Big_StepT* **imports** *Big_Step* **begin**

3.1 Big-Step with Time Semantics of Commands

inductive

```

big_step_t :: com × state ⇒ nat ⇒ state ⇒ bool (⟨_ ⇒ _ ↓ _⟩ 55)
where
Skip: (SKIP, s) ⇒ Suc 0 ↓ s |
Assign: (x ::= a, s) ⇒ Suc 0 ↓ s(x := aval a s) |
Seq: [ (c1, s1) ⇒ x ↓ s2; (c2, s2) ⇒ y ↓ s3 ; z=x+y ] ⇒ (c1;;c2, s1) ⇒
z ↓ s3 |
IfTrue: [ bval b s; (c1, s) ⇒ x ↓ t; y=x+1 ] ⇒ (IF b THEN c1 ELSE c2,
s) ⇒ y ↓ t |
IfFalse: [ ¬bval b s; (c2, s) ⇒ x ↓ t; y=x+1 ] ⇒ (IF b THEN c1 ELSE
c2, s) ⇒ y ↓ t |
WhileFalse: [ ¬bval b s ] ⇒ (WHILE b DO c, s) ⇒ Suc 0 ↓ s |
WhileTrue: [ bval b s1; (c, s1) ⇒ x ↓ s2; (WHILE b DO c, s2) ⇒ y ↓ s3;
1+x+y=z ]
⇒ (WHILE b DO c, s1) ⇒ z ↓ s3

```

We want to execute the big-step rules:

code_pred big_step_t .

For inductive definitions we need command **values** instead of **value**.

values { (t, x). (SKIP, λ_. 0) ⇒ x ↓ t }

We need to translate the result state into a list to display it.

values { map t ["x"] | t x. (SKIP, <"x" := 42>) ⇒ x ↓ t }

values { map t ["x"] | t x. ("x" ::= N 2, <"x" := 42>) ⇒ x ↓ t }

values { map t ["x", "y"] | t x.
(WHILE Less (V "x") (V "y") DO ("x" ::= Plus (V "x") (N 5)),
<"x" := 0, "y" := 13>) ⇒ x ↓ t }

Proof automation:

declare big_step_t.intros [intro]

lemmas big_step_t_induct = big_step_t.induct[split_format(complete)]

3.2 Rule inversion

What can we deduce from (SKIP, s) ⇒ x ↓ t ? That s = t. This is how we can automatically prove it:

inductive_cases Skip_tE[elim!]: (SKIP, s) ⇒ x ↓ t
thm Skip_tE

This is an *elimination rule*. The `[elim]` attribute tells auto, blast and friends (but not simp!) to use it automatically; `[elim!]` means that it is applied eagerly.

Similarly for the other commands:

```

inductive_cases Assign_tE[elim!]: (x ::= a, s) ⇒ p ↓ t
thm Assign_tE
inductive_cases Seq_tE[elim!]: (c1;;c2, s1) ⇒ p ↓ s3
thm Seq_tE
inductive_cases If_tE[elim!]: (IF b THEN c1 ELSE c2, s) ⇒ x ↓ t
thm If_tE

inductive_cases While_tE[elim]: (WHILE b DO c, s) ⇒ x ↓ t
thm While_tE

```

Only `[elim]`: `[elim!]` would not terminate.

An automatic example:

```

lemma (IF b THEN SKIP ELSE SKIP, s) ⇒ x ↓ t ⇒ t = s
by blast

```

Rule inversion by hand via the “cases” method:

```

lemma assumes (IF b THEN SKIP ELSE SKIP, s) ⇒ x ↓ t
shows t = s
proof—
  from assms show ?thesis
  proof cases — inverting assms
    case IfTrue
    thus ?thesis by blast
  next
    case IfFalse thus ?thesis by blast
  qed
qed

```

```

lemma assign_t_simp:
  (x ::= a, s) ⇒ Suc 0 ↓ s' ⟷ (s' = s(x := aval a s))
by (auto)

```

An example combining rule inversion and derivations

```

lemma Seq_t_assoc:
  ((c1;; c2;; c3, s) ⇒ p ↓ s') ⟷ ((c1;; (c2;; c3), s) ⇒ p ↓ s')
proof
  assume (c1;; c2;; c3, s) ⇒ p ↓ s'
  then obtain s1 s2 p1 p2 p3 where

```

```

  c1: (c1, s) ⇒ p1 ↓ s1 and
  c2: (c2, s1) ⇒ p2 ↓ s2 and
  c3: (c3, s2) ⇒ p3 ↓ s' and
  p: p = p1 + (p2 + p3) by auto
from c2 c3
have (c2;; c3, s1) ⇒ p2 + p3 ↓ s' apply (rule Seq) by simp
with c1
show (c1;; (c2;; c3), s) ⇒ p ↓ s' unfolding p apply (rule Seq) by simp
next
— The other direction is analogous
assume (c1;; (c2;; c3), s) ⇒ p ↓ s'
then obtain s1 s2 p1 p2 p3 where
  c1: (c1, s) ⇒ p1 ↓ s1 and
  c2: (c2, s1) ⇒ p2 ↓ s2 and
  c3: (c3, s2) ⇒ p3 ↓ s' and
  p: p = (p1 + p2) + p3 by auto
from c1 c2
have (c1;; c2, s) ⇒ p1 + p2 ↓ s2 apply (rule Seq) by simp
from this c3
show (c1;; c2;; c3, s) ⇒ p ↓ s' unfolding p apply (rule Seq) by simp
qed

```

3.3 Relation to Big-Step Semantics

```

lemma (∃ p. ((c, s) ⇒ p ↓ s')) = ((c, s) ⇒ s')
proof
  assume ∃ p. (c, s) ⇒ p ↓ s'
  then obtain p where (c, s) ⇒ p ↓ s'
by blast
  then show ((c, s) ⇒ s')
  apply(induct c s p s' rule: big_step_t_induct)
  prefer 2 apply(rule Big_Step.Assign)
  apply(auto) done
next
  assume ((c, s) ⇒ s')
  then show (∃ p. ((c, s) ⇒ p ↓ s'))
  apply(induct c s s' rule: big_step_induct)
  by blast+
qed

```

3.4 Execution is deterministic

This proof is automatic.

```

theorem big_step_t_determ: ⌊ (c,s) ⇒ p ↓ t; (c,s) ⇒ q ↓ u ⌋ ⇒ u = t

```

apply (*induction arbitrary: u q rule: big_step_t.induct*)
apply *blast+* **done**

theorem *big_step_t_determ2*: $\llbracket (c,s) \Rightarrow p \Downarrow t; (c,s) \Rightarrow q \Downarrow u \rrbracket \Longrightarrow (u = t \wedge p=q)$

apply (*induction arbitrary: u q rule: big_step_t_induct*)
apply(*elim Skip_tE*) **apply**(*simp*)
apply(*elim Assign_tE*) **apply**(*simp*)
apply *blast*
apply(*elim If_tE*) **apply**(*simp*) **apply** *blast*
apply(*elim If_tE*) **apply** *blast* **apply**(*simp*)
apply(*erule While_tE*) **apply**(*simp*) **apply** *blast*
proof (*goal_cases*)
case 1
from 1(γ) **show** ?*case* **apply**(*safe*)
apply(*erule While_tE*)
using 1(1-6) **apply** *fast*
using 1(1-6) **apply** (*simp*)
apply(*erule While_tE*)
using 1(1-6) **apply** *fast*
using 1(1-6) **by** (*simp*)
qed

lemma *bigstep_det*: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1=p \wedge t1=t$

using *big_step_t_determ2* **by** *simp*

lemma *bigstep_progress*: $(c, s) \Rightarrow p \Downarrow t \Longrightarrow p > 0$

apply(*induct rule: big_step_t.induct, auto*) **done**

abbreviation *terminates* ($\Downarrow$) **where** *terminates cs* $\equiv (\exists n a. (cs \Rightarrow n \Downarrow a))$

abbreviation *thestate* ($\Downarrow_s$) **where** *thestate cs* $\equiv (THE a. \exists n. (cs \Rightarrow n \Downarrow a))$

abbreviation *thetime* ($\Downarrow_t$) **where** *thetime cs* $\equiv (THE n. \exists a. (cs \Rightarrow n \Downarrow a))$

lemma *bigstepT_the_cost*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \Downarrow_t(c, s) = t$

using *bigstep_det* **by** *blast*

lemma *bigstepT_the_state*: $(c, s) \Rightarrow t \Downarrow s' \Longrightarrow \downarrow_s(c, s) = s'$
using *bigstep_det* **by** *blast*

lemma *SKIPnot*: $(\neg (SKIP, s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc\ 0)$ **by** *blast*

lemma *SKIPp*: $\downarrow_t(SKIP, s) = Suc\ 0$
apply(*rule the_equality*)
apply *fast*
apply *auto* **done**

lemma *SKIPIt*: $\downarrow_s(SKIP, s) = s$
apply(*rule the_equality*)
apply *fast*
apply *auto* **done**

lemma *ASSp*: $(THE\ p.\ Ex\ (big_step_t\ (x ::= e, s)\ p)) = Suc\ 0$
apply(*rule the_equality*)
apply *fast*
apply *auto* **done**

lemma *ASSt*: $(THE\ t.\ \exists p.\ (x ::= e, s) \Rightarrow p \Downarrow t) = s(x := aval\ e\ s)$
apply(*rule the_equality*)
apply *fast*
apply *auto* **done**

lemma *ASSnot*: $(\neg (x ::= e, s) \Rightarrow p \Downarrow t) = (p \neq Suc\ 0 \vee t \neq s(x := aval\ e\ s))$
apply *auto* **done**

lemma *If_THE_True*: $Suc\ (THE\ n.\ \exists a.\ (c1, s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists a.\ (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow n \Downarrow a)$

if *T*: *bval b s* **and** *c1_t*: *terminates (c1,s)* **for** *s l*

proof –

from *c1_t* **obtain** *p t* **where** *a*: $(c1, s) \Rightarrow p \Downarrow t$ **by** *blast*

with *T* **have** *b*: $(IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p+1 \Downarrow t$ **using** *IfTrue*

by *simp*

from *a* *bigstepT_the_cost* **have** $(THE\ n.\ \exists a.\ (c1, s) \Rightarrow n \Downarrow a) = p$ **by**

simp

moreover

from b *bigstepT_the_cost* **have** $(THE\ n.\ \exists\ a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a) = p+1$ **by** *simp*
ultimately
show *?thesis* **by** *simp*
qed

lemma *If_THE_False*: $Suc\ (THE\ n.\ \exists\ a.\ (c2,\ s) \Rightarrow n \Downarrow a) = (THE\ n.\ \exists\ a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a)$
if $T: \neg bval\ b\ s$ **and** $c2_t: \downarrow (c2,s)$ **for** $s\ l$
proof –
from $c2_t$ **obtain** $p\ t$ **where** $a: (c2,\ s) \Rightarrow p \Downarrow t$ **by** *blast*
with T **have** $b: (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow p+1 \Downarrow t$ **using** *IfFalse*
by *simp*
from a *bigstepT_the_cost* **have** $(THE\ n.\ \exists\ a.\ (c2,\ s) \Rightarrow n \Downarrow a) = p$ **by** *simp*
moreover
from b *bigstepT_the_cost* **have** $(THE\ n.\ \exists\ a.\ (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow n \Downarrow a) = p+1$ **by** *simp*
ultimately
show *?thesis* **by** *simp*
qed

end
theory *Nielson_Hoare*
imports *Big_StepT*
begin

4 Nielson Style Hoare Logic with logical variables

abbreviation $eq\ a\ b == (And\ (Not\ (Less\ a\ b))\ (Not\ (Less\ b\ a)))$

type_synonym *lname* = *string*
type_synonym *assn2* = $(lname \Rightarrow nat) \Rightarrow state \Rightarrow bool$
type_synonym *tbd* = $state \Rightarrow nat$

4.1 The support of an assn2

definition *support* :: $assn2 \Rightarrow string\ set$ **where**
 $support\ P = \{x.\ \exists\ l1\ l2\ s.\ (\forall\ y.\ y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s\}$

lemma *support_and*: $support\ (\lambda l\ s.\ P\ l\ s \wedge Q\ l\ s) \subseteq support\ P \cup support\ Q$

unfolding *support_def* **by** *blast*

lemma *support_impl*: $\text{support } (\lambda l s. P s \longrightarrow Q l s) \subseteq \text{support } Q$
unfolding *support_def* **by** *blast*

lemma *support_exist*: $\text{support } (\lambda l s. \exists z::\text{nat}. Q z l s) \subseteq (UN n. \text{support } (Q n))$
unfolding *support_def* **apply**(*auto*)
by *blast+*

lemma *support_all*: $\text{support } (\lambda l s. \forall z. Q z l s) \subseteq (UN n. \text{support } (Q n))$
unfolding *support_def* **apply**(*auto*)
by *blast+*

lemma *support_single*: $\text{support } (\lambda l s. P (l a) s) \subseteq \{a\}$
unfolding *support_def* **by** *fastforce*

lemma *support_inv*: $\bigwedge P. \text{support } (\lambda l s. P s) = \{\}$
unfolding *support_def* **by** *blast*

lemma *assn2_lupd*: $x \notin \text{support } Q \implies Q (l(x:=n)) = Q l$
by(*simp add: support_def fun_upd_other fun_eq_iff*)
(metis (no_types, lifting) fun_upd_def)

4.2 Validity

abbreviation *state_subst* :: $\text{state} \Rightarrow \text{aexp} \Rightarrow \text{vname} \Rightarrow \text{state}$
 $(\langle _ _ \rangle _ _ \rangle [1000, 0, 0] 999)$
where $s[a/x] == s(x := \text{aval } a \ s)$

definition *hoare1_valid* :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$
 $(\langle \models_1 \{ (1_)\} / (_) / \{ _ \Downarrow (1_)\} \rangle 50) \text{ where}$
 $\models_1 \{P\} c \{q \Downarrow Q\} \longleftrightarrow (\exists k > 0. (\forall l s. P l s \longrightarrow (\exists t p. ((c, s) \Rightarrow p \Downarrow t) \wedge p \leq k * (q s) \wedge Q l t)))$

4.3 Hoare rules

inductive

hoare1 :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{tbd} \Rightarrow \text{assn2} \Rightarrow \text{bool}$ $(\langle \vdash_1 (\{ (1_)\} / (_) / \{ _ \Downarrow (1_)\} \rangle 50)$
where

Skip: $\vdash_1 \{P\} \text{ SKIP } \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

Assign: $\vdash_1 \{ \lambda l s. P \ l \ s \ (s[a/x]) \} x ::= a \{ (\%s. \text{Suc } 0) \Downarrow P \} \mid$

If: $\llbracket \vdash_1 \{ \lambda l s. P \ l \ s \wedge \text{bval } b \ s \} c_1 \{ e1 \Downarrow Q \};$
 $\vdash_1 \{ \lambda l s. P \ l \ s \wedge \neg \text{bval } b \ s \} c_2 \{ e1 \Downarrow Q \} \rrbracket$
 $\implies \vdash_1 \{P\} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ (\lambda s. e1 \ s + \text{Suc } 0) \Downarrow Q \} \mid$

Seq: $\llbracket \vdash_1 \{ (\%l s. P_1 \ l \ s \wedge l \ x = e2' \ s) \} c_1 \{ e1 \Downarrow (\%l s. P_2 \ l \ s \wedge e2 \ s \leq l \ x) \};$
 $\vdash_1 \{P_2\} c_2 \{ e2 \Downarrow P_3 \}; x \notin \text{support } P_1; x \notin \text{support } P_2;$
 $\wedge l s. P_1 \ l \ s \implies e1 \ s + e2' \ s \leq e \ s \rrbracket$
 $\implies \vdash_1 \{P_1\} c_1;; c_2 \{ e \Downarrow P_3 \} \mid$

While:

$\llbracket \vdash_1 \{ \lambda l s. P \ l \ s \wedge \text{bval } b \ s \wedge e' \ s = l \ y \} c \{ e'' \Downarrow \lambda l s. P \ l \ s \wedge e \ s \leq l \ y \};$
 $\forall l s. \text{bval } b \ s \wedge P \ l \ s \longrightarrow e \ s \geq 1 + e' \ s + e'' \ s ;$
 $\forall l s. \sim \text{bval } b \ s \wedge P \ l \ s \longrightarrow 1 \leq e \ s;$
 $y \notin \text{support } P \rrbracket$
 $\implies \vdash_1 \{P\} \text{ WHILE } b \text{ DO } c \{ e \Downarrow \lambda l s. P \ l \ s \wedge \neg \text{bval } b \ s \} \mid$

conseq: $\llbracket \exists k > 0. \forall l s. P' \ l \ s \longrightarrow (e \ s \leq k * (e' \ s) \wedge (\forall t. \exists l'. P \ l' \ s \wedge (Q \ l' \ t \longrightarrow Q' \ l \ t))) \rrbracket;$
 $\vdash_1 \{P\} c \{ e \Downarrow Q \} \rrbracket \implies$
 $\vdash_1 \{P'\} c \{ e' \Downarrow Q' \}$

Derived Rules:

lemma *conseq_old*: $\llbracket \exists k > 0. \forall l s. P' \ l \ s \longrightarrow (P \ l \ s \wedge (e' \ s \leq k * (e \ s))) \rrbracket;$
 $\vdash_1 \{P\} c \{ e' \Downarrow Q \}; \forall l s. Q \ l \ s \longrightarrow Q' \ l \ s \rrbracket \implies$
 $\vdash_1 \{P'\} c \{ e \Downarrow Q' \}$
using *conseq* **apply**(metis) **done**

lemma *If2*: $\llbracket \vdash_1 \{ \lambda l s. P \ l \ s \wedge \text{bval } b \ s \} c_1 \{ e \Downarrow Q \}; \vdash_1 \{ \lambda l s. P \ l \ s \wedge \neg \text{bval } b \ s \} c_2 \{ e \Downarrow Q \};$
 $\wedge l s. P \ l \ s \implies e \ s + 1 = e' \ s \rrbracket$
 $\implies \vdash_1 \{P\} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ e' \Downarrow Q \}$
apply(rule *conseq*[OF _ *If*, **where** $P=P$ **and** $P'=P$]) **by**(auto)

lemma *strengthen_pre*:

$\llbracket \forall l s. P' \ l \ s \longrightarrow P \ l \ s; \vdash_1 \{P\} c \{ e \Downarrow Q \} \rrbracket \implies \vdash_1 \{P'\} c \{ e \Downarrow Q \}$
apply(rule *conseq_old*[**where** $e'=e$ **and** $Q=Q$ **and** $P=P$])
by(auto)

lemma *weaken_post*:

$\llbracket \vdash_1 \{P\} c \{e \Downarrow Q\}; \forall l s. Q l s \longrightarrow Q' l s \rrbracket \Longrightarrow \vdash_1 \{P\} c \{e \Downarrow Q'\}$
apply(*rule* *conseq_old*[**where** $e'=e$ **and** $Q=Q$ **and** $P=P$])
by(*auto*)

lemma *ub_cost*:

$\llbracket (\exists k > 0. \forall l s. P l s \longrightarrow e' s \leq k * (e s)); \vdash_1 \{P\} c \{e' \Downarrow Q\} \rrbracket \Longrightarrow \vdash_1 \{P\} c \{e \Downarrow Q\}$
apply(*rule* *conseq_old*[**where** $e'=e'$ **and** $Q=Q$ **and** $P=P$])
by(*auto*)

lemma *Assign'*: $\forall l s. P l s \longrightarrow Q l (s[a/x]) \Longrightarrow (\vdash_1 \{P\} x ::= a \{ \%s. 1 \} \Downarrow Q)$
using *strengthen_pre*[*OF* *Assign*]
by (*simp*)

4.4 Soundness

The soundness theorem:

theorem *hoare1_sound*: $\vdash_1 \{P\} c \{e \Downarrow Q\} \Longrightarrow \models_1 \{P\} c \{e \Downarrow Q\}$
apply(*unfold hoare1_valid_def*)
proof(*induction* *rule*: *hoare1.induct*)
case (*Skip P*)
show *?case* **by** *fastforce*
next
case (*Assign P a x*)
show *?case* **by** *fastforce*
next
case (*Seq P1 x e2' c1 e1 P2 e2 c2 P3 e*)
from *Seq(6)* **obtain** *k* **where** *k*: $k > 0$ **and** *S6*: $\forall l s. P1 l s \wedge l x = e2' s \longrightarrow (\exists t p. (c1, s) \Rightarrow p \Downarrow t \wedge p \leq k * e1 s \wedge P2 l t \wedge e2 t \leq l x)$ **by** *auto*
from *Seq(7)* **obtain** *k'* **where** *k'*: $k' > 0$ **and** *S7*: $\forall l s. P2 l s \longrightarrow (\exists t p. (c2, s) \Rightarrow p \Downarrow t \wedge p \leq k' * e2 s \wedge P3 l t)$ **by** *auto*
from *k k'* **have** $0 < \max k k'$ **by** *auto*
show *?case*
proof (*rule* *exI*[**where** $x = \max k k'$], *safe*)
fix *l s*
have *x_supp*: $x \notin \text{support } P1$ **by** *fact*
have *x_supp2*: $x \notin \text{support } P2$ **by** *fact*

from *S6* **have** *S*: $P1 (l(x := e2' s)) s \wedge (l(x := e2' s)) x = e2' s \longrightarrow (\exists t p. (c1, s) \Rightarrow p \Downarrow t \wedge p \leq k * e1 s \wedge P2 (l(x := e2' s)) t \wedge e2 t \leq (l(x := e2' s)) x)$
by *blast*

assume $a: P1 \ l \ s$

with $Seq(5)$ **have** $1: e1 \ s + e2' \ s \leq e \ s$ **by** *simp*

with $a \ S \ assn2_lupd[OF \ x_supp]$ **have** $(\exists t \ p. (c1, s) \Rightarrow p \Downarrow t \wedge p \leq k$
 $* e1 \ s \wedge P2 \ (l(x := e2' \ s)) \ t \wedge e2 \ t \leq (l(x := e2' \ s)) \ x)$ **by** *simp*

then obtain $t \ p$ **where** $c1: (c1, s) \Rightarrow p \Downarrow t$ **and** $cost1: p \leq k * e1 \ s$
and $P2': P2 \ (l(x := e2' \ s)) \ t$ **and** $31: e2 \ t \leq (l(x := e2' \ s)) \ x$ **by** *blast*

from $P2' \ assn2_lupd[OF \ x_supp2]$ **have** $P2: P2 \ l \ t$ **by** *auto*

from 31 **have** $3: e2 \ t \leq e2' \ s$ **by** *simp*

from $S7 \ P2$ **have** $(\exists t' \ p'. ((c2, t) \Rightarrow p' \Downarrow t') \wedge p' \leq k' * e2 \ t \wedge P3 \ l$
 $t')$ **by** *blast*

then obtain $t' \ p'$ **where** $c2: (c2, t) \Rightarrow p' \Downarrow t'$ **and** $cost2: p' \leq k' * (e2 \ t)$
and $P3: P3 \ l \ t'$ **by** *blast*

from $c1 \ c2$ **have** $weg: (c1;; c2, s) \Rightarrow p + p' \Downarrow t'$

apply $(rule \ Big_StepT.Seq)$ **by** *simp*

from $cost1 \ cost2 \ 3$ **have** $(p+p') \leq k * (e1 \ s) + k' * (e2' \ s)$
by $(meson \ add_mono \ mult_le_mono2 \ order_subst1)$

also have $\dots \leq (max \ k \ k') * (e1 \ s) + (max \ k \ k') * (e2' \ s)$
by $(simp \ add: \ add_mono)$

also have $\dots \leq (max \ k \ k') * (e1 \ s + e2' \ s)$
by $(simp \ add: \ add_mult_distrib2)$

also have $\dots \leq (max \ k \ k') * (e \ s)$ **using** 1 **by** *simp*

finally

have $cost: (p + p') \leq (max \ k \ k') * (e \ s) .$

from $weg \ cost \ P3$

have $(c1;; c2, s) \Rightarrow p+p' \Downarrow t' \wedge (p+p') \leq (max \ k \ k') * (e \ s) \wedge P3 \ l \ t'$
by *blast*

then show $(\exists t \ p. (c1;; c2, s) \Rightarrow p \Downarrow t \wedge p \leq (max \ k \ k') * (e \ s) \wedge P3$
 $l \ t)$ **by** *metis*

qed fact

next

case $(If \ P \ b \ c1 \ e \ Q \ c2)$

from $If(3)$ **obtain** $k1$ **where** $k1: k1 > 0$ **and** $If1: \forall l \ s. P \ l \ s \wedge bval \ b \ s$
 $\longrightarrow (\exists t \ p. (c1, s) \Rightarrow p \Downarrow t \wedge p \leq k1 * e \ s \wedge Q \ l \ t)$ **by** *auto*

from $If(4)$ **obtain** $k2$ **where** $k2: k2 > 0$ **and** $If2: \forall l \ s. P \ l \ s \wedge \neg bval \ b$
 $s \longrightarrow (\exists t \ p. (c2, s) \Rightarrow p \Downarrow t \wedge p \leq k2 * e \ s \wedge Q \ l \ t)$ **by** *auto*

let $?k' = max \ (k1+1) \ (k2+1)$

have $?k' > 0$ **by** *auto*

show $?case$

proof $(rule \ exI[where \ x=?k'], \ safe)$

fix $l \ s$

assume $P1: P \ l \ s$

show $\exists t p. (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p \Downarrow t \wedge p \leq ?k' * (e\ s + Suc\ 0) \wedge Q\ l\ t$
proof (cases bval b s)
case True
with If1 P1 **obtain** t p **where** $(c1, s) \Rightarrow p \Downarrow t\ p \leq k1 * (e\ s)\ Q\ l\ t$
by blast
with True **have** 1: $(IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p+1 \Downarrow t\ (p + 1) \leq (k1+1) * (e\ s + Suc\ 0) \wedge Q\ l\ t$
by auto
have $(k1+1) * (e\ s + Suc\ 0) \leq ?k' * (e\ s + Suc\ 0)$
by (simp add: nat_mult_max_left)
with 1 **have** 2: $p+1 \leq ?k' * (e\ s + Suc\ 0)$
by linarith
from 1 2 **show** $\exists t p. (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p \Downarrow t \wedge p \leq ?k' * (e\ s + Suc\ 0) \wedge Q\ l\ t$ **by** metis
next
case False
with If2 P1 **obtain** t p **where** $(c2, s) \Rightarrow p \Downarrow t\ p \leq k2 * (e\ s)\ Q\ l\ t$
by blast
with False **have** 1: $(IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p+1 \Downarrow t\ (p + 1) \leq (k2+1) * (e\ s + Suc\ 0) \wedge Q\ l\ t$
by auto
have $(k2+1) * (e\ s + Suc\ 0) \leq ?k' * (e\ s + Suc\ 0)$
by (simp add: nat_mult_max_left)
with 1 **have** 2: $p+1 \leq ?k' * (e\ s + Suc\ 0)$
by linarith
from 1 2 **show** $\exists t p. (IF\ b\ THEN\ c1\ ELSE\ c2, s) \Rightarrow p \Downarrow t \wedge p \leq ?k' * (e\ s + Suc\ 0) \wedge Q\ l\ t$ **by** metis
qed
qed fact
next
case (conseq P' e e' P Q Q' c)
from conseq(1) **obtain** k1 **where** $k1: k1 > 0$ **and** $c1: \forall l s. P'\ l\ s \longrightarrow e\ s \leq k1 * e'\ s \wedge (\forall t. \exists l'. P'\ l'\ s \wedge (Q\ l'\ t \longrightarrow Q'\ l\ t))$ **by** auto
then have $c1': \wedge l s. P'\ l\ s \Longrightarrow e\ s \leq k1 * e'\ s \wedge (\forall t. \exists l'. P'\ l'\ s \wedge (Q\ l'\ t \longrightarrow Q'\ l\ t))$
by auto
from conseq(3) **obtain** k2 **where** $k2: k2 > 0$ **and** $c2: \forall l s. P\ l\ s \longrightarrow (\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k2 * e\ s \wedge Q\ l\ t)$ **by** auto
then have $c2': \wedge l s. P\ l\ s \Longrightarrow (\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k2 * e\ s \wedge Q\ l\ t)$ **by** auto
have $k2 * k1 > 0$ **using** k1 k2 **by** auto

```

show ?case
proof (rule exI[where x=k2*k1], safe)
  fix l s
  assume P' l s
  with c1' have A: e s ≤ k1 * e' s and  $\bigwedge t. \exists l'. P l' s \wedge (Q l' t \longrightarrow Q'$ 
l t) by auto
  then obtain fl where  $\bigwedge t. P (fl t) s$  and B:  $\bigwedge t. Q (fl t) t \longrightarrow Q' l t$ 
by metis
  with c2' obtain ft fp where i:  $\bigwedge t. (c, s) \Rightarrow (fp t) \Downarrow (ft t)$  and ii:  $\bigwedge t.$ 
(fp t) ≤ k2 * e s
    and iii:  $\bigwedge t. Q (fl t) (ft t)$ 
  by meson
  from i obtain t p where tt:  $\bigwedge x. ft x = t \wedge x. fp x = p$  using
big_step_t_determ2
  by meson
  with i have c:  $(c, s) \Rightarrow p \Downarrow t$  by simp
  from tt ii iii have p: p ≤ k2 * e s and Q:  $\bigwedge x. Q (fl x) t$  by auto
  have p: p ≤ k2 * k1 * e' s using p A
    by (metis le_trans mult.assoc mult_le_mono2)
  from B Q have q: Q' l t by fast

  from c p q
  show  $\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k2 * k1 * e' s \wedge Q' l t$ 
    by blast
qed fact
next
case (While INV b e' y c e'' e)
  from While(5) obtain k where W6:  $\forall l s. INV l s \wedge bval b s \wedge e' s = l$ 
y  $\longrightarrow (\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k * e'' s \wedge INV l t \wedge e t \leq l y)$  by auto
  let ?k' = k+1
  {
    fix n l s
    have  $\llbracket e s = n; INV l s \rrbracket \Longrightarrow \exists t p. (WHILE b DO c, s) \Rightarrow p \Downarrow t \wedge p$ 
≤ ?k' * e s  $\wedge INV l t \wedge \neg bval b t$ 
    proof(induction n arbitrary: l s rule: less_induct)
      case (less x)

      show ?case
      proof (cases bval b s)
        case False
        with less(2,3) While(3) have b: 1 ≤ e s by auto

      show ?thesis
      apply(rule exI[where x=s])

```

```

    apply(rule exI[where x=1]) apply safe
    subgoal using WhileFalse[OF False] by simp
    subgoal using b by auto
    subgoal using less by auto
    subgoal using False by auto
    done

next
case True
  with less(2,3) While(2) have bT: bval b s and cost1: 1 + e' s +
e'' s ≤ e s by auto
  let ?l' = l(y := e' s)

  have y_supp: y ∉ support INV by fact

  from cost1 have Z: e' s < x using less(2) by auto
  from W6
  have INV ?l' s ∧ bval b s ∧ e' s = ?l' y
    → (∃ t p. (c, s) ⇒ p ↓ t ∧ p ≤ k * e'' s ∧ INV ?l' t ∧ e t ≤
?l' y)
    by blast
  with less(3) bT
  have (∃ t p. ((c, s) ⇒ p ↓ t) ∧ p ≤ k * e'' s ∧ INV ?l' t ∧ e t ≤ e'
s)
    using assn2_lupd[OF y_supp]
    by(auto)
  then obtain t p where ceff: (c, s) ⇒ p ↓ t and cost2: p ≤ k *
e'' s
    and INVz': INV ?l' t and cost3: e t ≤ e' s
    by blast

  from INVz' have INVz: INV l t using assn2_lupd[OF y_supp] by
auto
  have e t < x using Z cost3 by auto
  with less(1)[OF __ INVz, of e t] obtain t' p'
    where weff: (WHILE b DO c, t) ⇒ p' ↓ t' and cost4: p' ≤ ?k' *
e t and INV0: INV l t'
    and nb: ¬ bval b t'
    by fastforce

  have (WHILE b DO c, s) ⇒ 1 + p + p' ↓ t'
    apply(rule WhileTrue)
    apply fact
    apply (fact ceff)

```



```

      apply (fact weff) by simp
    moreover
      note INV0 nb
    moreover
      {
        have  $(1 + p + p') \leq 1 + k * e'' s + ?k' * e t$  using cost2 cost4 by
linarith
        also have  $\dots \leq 1 + k * e'' s + ?k' * e' s$  using cost3
        using add_left_mono mult_le_mono2 by blast
        also have  $\dots \leq ?k' * 1 + ?k' * e'' s + ?k' * e' s$  by force
        also have  $\dots = ?k' * (1 + e' s + e'' s)$  by algebra
        also have  $\dots \leq ?k' * e s$  using cost1
        using mult_le_mono2 by blast
        finally have  $(1 + p + p') \leq ?k' * e s$  .
      }
    ultimately
      show ?thesis by metis
    qed
  qed
}
then have erg:  $\bigwedge l s. INV l s \implies \exists t p. (WHILE b DO c, s) \Rightarrow p \Downarrow t \wedge$ 
 $p \leq (k + 1) * e s \wedge INV l t \wedge \neg bval b t$  by auto
show ?case apply(rule exI[where x=?k']) using erg by fastforce
qed

```

4.5 Completeness

definition $wp_1 :: com \Rightarrow assn2 \Rightarrow assn2 \ (\langle wp_1 \rangle)$ **where**
 $wp_1 \ c \ Q = (\lambda l s. \exists t p. (c, s) \Rightarrow p \Downarrow t \wedge Q \ l t)$

lemma *support_wpt*: $support \ (wp_1 \ c \ Q) \subseteq support \ Q$
by(simp add: support_def wp1_def) blast

lemma *wp1_terminates*: $wp_1 \ c \ Q \ l s \implies \downarrow (c, s)$ **unfolding** *wp1_def* **by**
auto

lemma *wp1_SKIP*[simp]: $wp_1 \ SKIP \ Q = Q$ **by**(auto intro!: ext simp: wp1_def)

lemma *wp1_Assign*[simp]: $wp_1 \ (x ::= e) \ Q = (\lambda l s. Q \ l \ (s(x ::= aval \ e \ s)))$
by(auto intro!: ext simp: wp1_def)

lemma *wp1_Seq[simp]*: $wp_1 (c_1;;c_2) Q = wp_1 c_1 (wp_1 c_2 Q)$ **by** (*auto simp: wp1_def fun_eq_iff*)

lemma *wp1_If[simp]*: $wp_1 (IF b THEN c_1 ELSE c_2) Q = (\lambda l s. wp_1 (if bval b s then c_1 else c_2) Q l s)$ **by** (*auto simp: wp1_def fun_eq_iff*)

definition *prec* $c E == \%s. E (THE t. (\exists p. (c,s) \Rightarrow p \Downarrow t))$

lemma *wp1_prec_Seq_correct*: $wp_1 (c_1;;c_2) Q l s \Longrightarrow \downarrow_t (c_1, s) + prec\ c_1\ (\lambda s. \downarrow_t (c_2, s))\ s \leq \downarrow_t (c_1;;c_2, s)$

proof –

assume $wp_1 (c_1;;c_2) Q l s$
 then have $wp: wp_1 c_1 (wp_1 c_2 Q) l s$ **by** *simp*
 then obtain $t\ p$ **where** $c1_term: (c_1, s) \Rightarrow p \Downarrow t$ **and** $(\exists ta\ p. (c_2, t) \Rightarrow p \Downarrow ta \wedge Q\ l\ ta)$ **unfolding** *wp1_def* **by** *blast*
 then obtain $t'\ p'$ **where** $c2_term: (c_2, t) \Rightarrow p' \Downarrow t'$ **and** $Q\ l\ t'$ **by** *blast*

have $p: \downarrow_t (c_1, s) = p$ **using** $c1_term\ bigstepT_the_cost$ **by** *simp*

have $\downarrow_t (c_2, t) = p'$ **using** $c2_term\ bigstepT_the_cost$ **by** *simp*

have $f: (THE\ t.\ \exists p. (c_1, s) \Rightarrow p \Downarrow t) = t$ **using** $c1_term\ bigstepT_the_state$ **by** *simp*

have $prec\ c_1\ (\lambda s. \downarrow_t (c_2, s))\ s = p'$ **unfolding** *prec_def f* **using** $c2_term\ bigstep_det$ **by** *blast*

then have $p': prec\ c_1\ (\lambda s. (THE\ n. \exists a. (c_2, s) \Rightarrow n \Downarrow a))\ s$
 $= p'$ **unfolding** *prec_def* **by** *blast*

from wp **have** $wp_1 (c_1;;c_2) Q l s$ **by** *simp*

then obtain $T\ P$ **where** $c12_term: (c_1;;c_2, s) \Rightarrow P \Downarrow T$ **and** $Q\ l\ T$ **unfolding** *wp1_def* **by** *blast*

have $P: (THE\ n. (\exists a. (c_1;;c_2, s) \Rightarrow n \Downarrow a)) = P$ **using** $c12_term\ bigstepT_the_cost$ **by** *simp*

from $c12_term$ **have** $Ppp': P = p + p'$

apply(*elim Seq_tE*)

using $c1_term\ bigstep_det\ c2_term$ **by** *blast*

have $(THE\ n. \exists a. (c_1, s) \Rightarrow n \Downarrow a) + prec\ c_1\ (\lambda s. (THE\ n. \exists a. (c_2, s) \Rightarrow n \Downarrow a))\ s$

$= p + p'$ **using** $p\ p'$ **by** *auto*

also have $\dots = P$ **using** Ppp' **by** *auto*

also have ... = (THE n. ($\exists a. (c1;;c2, s) \Rightarrow n \Downarrow a$)) using P by auto
 finally
 show $\downarrow_t (c1, s) + \text{prec } c1 (\lambda s. \downarrow_t (c2, s)) s \leq \downarrow_t (c1;; c2, s)$
 by simp
 qed

abbreviation new Q $\equiv$ SOME x. x $\notin$ support Q

lemma bigstep_det: $(c1, s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, s) \Rightarrow p \Downarrow t \Longrightarrow p1 = p \wedge t1 = t$
 using big_step_t_determ2 by simp

lemma bigstepT_the_cost: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (\text{THE } n. \exists a. (c, s) \Rightarrow n \Downarrow a) = P$
 using bigstep_det by blast

lemma bigstepT_the_state: $(c, s) \Rightarrow P \Downarrow T \Longrightarrow (\text{THE } a. \exists n. (c, s) \Rightarrow n \Downarrow a) = T$
 using bigstep_det by blast

lemma assumes b: bval b s
 shows wp1WhileTrue': $\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q \text{ l } s = \text{wp}_1 c (\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q) \text{ l } s$
 proof
 assume $\text{wp}_1 c (\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q) \text{ l } s$
 from this[unfolded wp1_def]
 obtain t s' t' s'' where $(c, s) \Rightarrow t \Downarrow s' (\text{WHILE } b \text{ DO } c, s') \Rightarrow t' \Downarrow s''$
 and Q: Q l s'' by blast
 with b have $(\text{WHILE } b \text{ DO } c, s) \Rightarrow 1+t+t' \Downarrow s''$ by auto
 with Q show $\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q \text{ l } s$ unfolding wp1_def by auto
 next
 assume $\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q \text{ l } s$
 from this[unfolded wp1_def]
 obtain t s'' where $(\text{WHILE } b \text{ DO } c, s) \Rightarrow t \Downarrow s''$ and Q: Q l s'' by blast
 with b obtain t1 t2 s' where $(c, s) \Rightarrow t1 \Downarrow s' (\text{WHILE } b \text{ DO } c, s') \Rightarrow t2 \Downarrow s''$ by auto
 with Q show $\text{wp}_1 c (\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q) \text{ l } s$ unfolding wp1_def by auto
 qed

lemma assumes b: $\sim$ bval b s
 shows wp1WhileFalse': $\text{wp}_1 (\text{WHILE } b \text{ DO } c) Q \text{ l } s = Q \text{ l } s$

```

proof
  assume  $wp_1 \text{ (WHILE } b \text{ DO } c) \ Q \ l \ s$ 
  from  $this[unfolded \ wp1\_def]$ 
  obtain  $t \ s'$  where  $(WHILE \ b \ DO \ c, \ s) \Rightarrow t \Downarrow s'$  and  $Q: Q \ l \ s'$  by blast
  with  $b$  have  $s=s'$  by auto
  with  $Q$  show  $Q \ l \ s$  by auto
next
  assume  $Q \ l \ s$ 
  with  $b$  show  $wp_1 \text{ (WHILE } b \text{ DO } c) \ Q \ l \ s$  unfolding  $wp1\_def$  by auto
qed

```

```

lemma  $wp1While$ :  $wp_1 \text{ (WHILE } b \text{ DO } c) \ Q \ l \ s = (if \ bval \ b \ s \ then \ wp_1 \ c$ 
 $(wp_1 \text{ (WHILE } b \text{ DO } c) \ Q) \ l \ s \ else \ Q \ l \ s)$ 
  apply( $cases \ bval \ b \ s$ )
  using  $wp1WhileTrue'$  apply simp
  using  $wp1WhileFalse'$  apply simp done

```

```

lemma  $wp1\_prec2$ : fixes  $e::tbd$ 
  shows  $(wp_1 \ c1 \ Q \ l \ s \wedge$ 
 $l \ x = prec \ c1 \ e \ s) = wp_1 \ c1 \ (\lambda l \ s. \ Q \ l \ s \wedge \ e \ s = l \ x) \ l \ s$ 
  by ( $metis \ (mono\_tags, \ lifting) \ Big\_StepT.bigstepT\_the\_state \ prec\_def$ 
 $wp1\_def$ )

```

```

lemma  $wp1\_prec$ : fixes  $e::tbd$ 
  shows  $wp_1 \ c1 \ Q \ l \ s \Longrightarrow$ 
 $l \ x = prec \ c1 \ e \ s \Longrightarrow wp_1 \ c1 \ (\lambda l \ s. \ Q \ l \ s \wedge \ e \ s = l \ x) \ l \ s$ 
unfolding  $wp1\_def \ prec\_def$  apply(auto)

```

```

proof –
  fix  $p \ t$ 
  assume  $l \ x = e \ (THE \ t. \ \exists p. \ (c1, \ s) \Rightarrow p \Downarrow t)$ 
  assume  $2: Q \ l \ t$ 
  assume  $1: (c1, \ s) \Rightarrow p \Downarrow t$ 
  show  $\exists t. (\exists p. (c1, \ s) \Rightarrow p \Downarrow t) \wedge Q \ l \ t \wedge e \ t = e \ (THE \ t. \ \exists p. (c1, \ s)$ 
 $\Rightarrow p \Downarrow t)$ 
  apply( $rule \ exI[where \ x=t]$ )
  apply(safe)
  apply( $rule \ exI[where \ x=p]$ ) using  $1$  apply simp
  apply(fact)
  using  $1$  by( $simp \ add: \ bigstepT\_the\_state$ )
qed

```

```

lemma  $wp1\_is\_pre$ :  $finite \ (support \ Q) \Longrightarrow \vdash_1 \ \{wp_1 \ c \ Q\} \ c \ \{ \lambda s. \ \Downarrow_t \ (c, \ s)$ 

```

$\Downarrow Q\}$
proof (*induction c arbitrary: Q*)
 case *SKIP*
 have $ff: \bigwedge s\ n. (\exists a. (SKIP, s) \Rightarrow n \Downarrow a) = (n = Suc\ 0)$ **by** *blast*
 show $?case$ **apply** (*auto intro: hoare1.Skip simp add: ff*) **using** *ff* **done**
 next
 have $gg: \bigwedge x1\ x2\ s\ n. (\exists a. (x1 ::= x2, s) \Rightarrow n \Downarrow a) = (n = Suc\ 0)$ **by** *blast*
 case *Assign* **show** $?case$ **apply** (*auto intro: hoare1.Assign simp add: gg*)
 done
next
 case (*Seq c1 c2*)
 — choose a fresh logical variable x
 let $?x = new\ Q$
 have $\exists x. x \notin support\ Q$ **using** *Seq.premis infinite_UNIV_listI*
 using *ex_new_if_finite* **by** *blast*
 hence $?x \notin support\ Q$ **by** (*rule someI_ex*)
 then have $x2: ?x \notin support\ (wp_1\ c2\ Q)$ **using** *support_wpt* **by** (*fast*)
 then have $x12: ?x \notin support\ (wp_1\ (c1;;c2)\ Q)$ **apply** *simp* **using** *support_wpt* **by** *fast*

 — assemble a postcondition Q1 that ensures the weakest precondition of Q before c2 and saves the running time of c2 into the logical variable x
 let $?Q1 = (\lambda l\ s. (wp_1\ c2\ Q)\ l\ s \wedge \downarrow_t (c2, s) = l\ ?x)$
 have *finite (support ?Q1)* **apply** (*rule rev_finite_subset[OF _ support_and]*)
 apply (*rule finite_UnI*)
 apply (*rule rev_finite_subset[OF _ support_wpt]*) **apply** (*fact*)
 apply (*rule rev_finite_subset[OF _ support_single]*) **by** *simp*
 — we can now specify this Q1 in the first Induction Hypothesis
 then have $pre: \bigwedge u. \vdash_1 \{wp_1\ c1\ ?Q1\}\ c1\ \{\lambda s. \downarrow_t (c1, s) \Downarrow ?Q1\}$
 using *Seq(1)* **by** *simp*

 — we can rewrite this into the form we need for the Seq rule
 have $A: \vdash_1 \{\lambda l\ s. wp_1\ (c1;;c2)\ Q\ l\ s \wedge l\ ?x = (prec\ c1\ (\%s. \downarrow_t (c2, s)))\}$
 $s\}\ c1\ \{\lambda s. \downarrow_t (c1, s) \Downarrow \lambda l\ s. wp_1\ c2\ Q\ l\ s \wedge \downarrow_t (c2, s) \leq l\ ?x\}$
 apply (*rule conseq_old[OF _ pre]*)
 by (*auto simp add: wp1_prec*)

 — we can now apply the Seq rule with the first IH (in the right shape A)
 and the second IH
 show $\vdash_1 \{wp_1\ (c1;; c2)\ Q\}\ c1;; c2\ \{\lambda s. \downarrow_t (c1;; c2, s) \Downarrow Q\}$
 apply (*rule hoare1.Seq[OF A Seq(2)]*)
 — finally some side conditions have to be proven
 using *Seq(3) x12 x2 wp1_prec_Seq_correct .*
next

```

case (If b c1 c2)

show ?case apply(simp)
apply(rule If2[where e=%s. if bval b s then  $\downarrow_t$  (c1, s) else  $\downarrow_t$  (c2, s)])
  apply(simp_all cong:rev_conj_cong)
  apply(rule conseq_old[where Q=Q and Q'=Q])
    prefer 2
    apply(rule If.IH(1)) apply(fact)
    apply(simp_all) apply(auto)[1]
  apply(rule conseq_old[where Q=Q and Q'=Q])
    prefer 2
    apply(rule If.IH(2)) apply(fact)
    apply(simp_all) apply(auto)[1]
    apply (blast intro: If_THE_True wp1_terminates If_THE_False)
  done

next
  case (While b c)

    let ?y = (new (wp1 (WHILE b DO c) Q))
    have finite (support (wp1 (WHILE b DO c) Q))
    apply(rule finite_subset[OF support_wpt]) apply fact done
    then have  $\exists x. x \notin \text{support} \text{ } (wp_1 \text{ } (WHILE \text{ } b \text{ } DO \text{ } c) \text{ } Q)$  using infinite_UNIV_listI
    using ex_new_if_finite by blast
    hence yQx: ?y  $\notin \text{support} \text{ } (wp_1 \text{ } (WHILE \text{ } b \text{ } DO \text{ } c) \text{ } Q)$  by (rule someI_ex)

    show ?case
    proof (rule conseq_old[OF __ hoare1.While], safe )
      show  $\exists k > 0. \forall l \text{ } s. wp_1 \text{ } (WHILE \text{ } b \text{ } DO \text{ } c) \text{ } Q \text{ } l \text{ } s \longrightarrow wp_1 \text{ } (WHILE \text{ } b \text{ } DO$ 
c) Q l s  $\wedge \downarrow_t \text{ } (WHILE \text{ } b \text{ } DO \text{ } c, s) \leq k * \downarrow_t \text{ } (WHILE \text{ } b \text{ } DO \text{ } c, s)$ 
      apply auto done
    next
      fix l s
      assume wp1 (WHILE b DO c) Q l s  $\neg \text{bval } b \text{ } s$ 
      then show Q l s by (simp add: wp1While)
    next
      fix l s
      assume wp1 (WHILE b DO c) Q l s
      from this[unfolded wp1_def] obtain t s' where t: (WHILE b DO c, s)
 $\Rightarrow t \Downarrow s'$  and Q l s' by blast
      then have r:  $\downarrow_t \text{ } (WHILE \text{ } b \text{ } DO \text{ } c, s) = t$  using Nielson_Hoare.bigstepT_the_cost
    by auto
      assume  $\neg \text{bval } b \text{ } s$ 

```

```

with  $r\ t$  have  $t2: t=1$  by auto
from  $r\ t2$  show  $1 \leq \downarrow_t (WHILE\ b\ DO\ c,\ s)$  by auto
next
  fix  $l\ s$ 
  assume  $wp_1 (WHILE\ b\ DO\ c)\ Q\ l\ s$ 
  from  $this[unfolded\ wp1\_def]$  obtain  $t\ s''$  where  $t: (WHILE\ b\ DO\ c,\ s)$ 
 $\Rightarrow t \Downarrow s''\ Q\ l\ s''$  by blast
  then have  $r: \downarrow_t (WHILE\ b\ DO\ c,\ s) = t$  using Nielson_Hoare.bigstepT_the_cost
by auto
  assume  $bval\ b\ s$ 
  with  $t$  obtain  $t1\ t2\ s'$  where  $1: (c,s) \Rightarrow t1 \Downarrow s'$  and  $2: (WHILE\ b\ DO\ c,\ s') \Rightarrow t2 \Downarrow s''$  and  $sum: t=t1+t2+1$  and  $bval\ b\ s$  by auto
  from  $1$  have  $A: \downarrow_t (c,s) = t1$  and  $s': \downarrow_s (c,s) = s'$  using Nielson_Hoare.bigstepT_the_cost bigstepT_the_state by auto
  from  $2\ s'$  have  $B: \downarrow_t (WHILE\ b\ DO\ c,\ \downarrow_s(c,s)) = t2$  using Nielson_Hoare.bigstepT_the_cost by auto

  show  $1 + (\%s. \downarrow_t (WHILE\ b\ DO\ c,\ \downarrow_s(c,s)))\ s + (\%s. \downarrow_t (c,s))\ s \leq \downarrow_t (WHILE\ b\ DO\ c,\ s)$ 
  apply(simp add: r A B sum) done
next

```

```

show  $\vdash_1 \{ \lambda l\ s. wp_1 (WHILE\ b\ DO\ c)\ Q\ l\ s \wedge bval\ b\ s \wedge \downarrow_t (WHILE\ b\ DO\ c,\ \downarrow_s (c,\ s)) = l\ ?y \}\ c$ 
 $\{ \lambda s. \downarrow_t (c,\ s) \Downarrow \lambda l\ s. wp_1 (WHILE\ b\ DO\ c)\ Q\ l\ s \wedge \downarrow_t (WHILE\ b\ DO\ c,\ s) \leq l\ ?y \}$ 
apply(rule conseq_old[OF _ While(1), of _ %l s. wp_1 (WHILE\ b\ DO\ c)\ Q\ l\ s \wedge \downarrow_t (WHILE\ b\ DO\ c,\ s) = l\ ?y])
apply(rule exI[where x=1]) apply simp
subgoal apply safe
apply(subst (asm) wp1While) apply simp
proof – fix  $l\ s$ 
  assume  $1: wp_1\ c\ (wp_1 (WHILE\ b\ DO\ c)\ Q)\ l\ s$ 
  assume  $2: \downarrow_t (WHILE\ b\ DO\ c,\ \downarrow_s (c,\ s)) = l\ ?y$ 
  then have  $l\ ?y = prec\ c\ (\%s. \downarrow_t (WHILE\ b\ DO\ c,\ s))\ s$  unfolding prec_def by auto
  with  $1\ wp1\_prec2[of\ c\ (wp_1 (WHILE\ b\ DO\ c)\ Q)\ l\ s \_ (\lambda s. \downarrow_t (WHILE\ b\ DO\ c,\ s))]$ 
  show  $wp_1\ c\ (\lambda l\ s. wp_1 (WHILE\ b\ DO\ c)\ Q)\ l\ s \wedge \downarrow_t (WHILE\ b\ DO\ c,\ s) = l\ ?y$  by auto
qed
subgoal apply(rule finite_subset[OF support_and]) apply auto
apply(rule finite_subset[OF support_wpt]) apply fact

```

```

      apply(rule finite_subset) apply(rule support_single) by auto
    apply auto done
  next
    assume new (wp1 (WHILE b DO c) Q) ∈ support (wp1 (WHILE b
DO c) Q)
    with yQx show False
    by blast

qed
qed

```

```

lemma valid_wp:  $\models_1 \{P\}c\{p \Downarrow Q\} \longleftrightarrow (\exists k > 0. (\forall l \ s. P \ l \ s \longrightarrow (wp_1 \ c \ Q \ l \ s \wedge ((THE \ n. (\exists \ t. ((c, s) \Rightarrow n \Downarrow t)))) \leq k * p \ s)))$ 
  apply(rule)
  apply(auto simp: hoare1_valid_def wp1_def)
  subgoal for k apply(rule exI[where x=k]) using bigstepT_the_cost by
fast
  subgoal for k apply(rule exI[where x=k]) using bigstepT_the_cost by
fast
done

```

```

theorem hoare1_complete: finite (support Q)  $\implies \models_1 \{P\}c\{p \Downarrow Q\} \implies \vdash_1 \{P\}c\{p \Downarrow Q\}$ 
  apply(rule conseq_old[OF _ wp1_is_pre, where Q'=Q and Q=Q, simplified])
  by (auto simp: valid_wp)

```

```

corollary hoare1_sound_complete: finite (support Q)  $\implies \vdash_1 \{P\}c\{p \Downarrow Q\} \longleftrightarrow \models_1 \{P\}c\{p \Downarrow Q\}$ 
  by (metis hoare1_sound hoare1_complete)

```

end

theory Nielson_VCG **imports** Nielson_Hoare **begin**

4.6 Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

datatype acom =


```

Askip                                (⟨SKIP⟩) |
Aassign vname aexp                  (⟨_ ::= _⟩ [1000, 61] 61) |
Aseq  acom acom                      (⟨_;;_⟩ [60, 61] 60) |
Aif bexp acom acom                  (⟨(IF _/ THEN _/ ELSE _)⟩ [0, 0, 61] 61) |
Aconseq assn2 assn2 tbd acom
(⟨({_/_/_}/ CONSEQ _)⟩ [0, 0, 0, 61] 61)|
Awhile (assn2)*((state⇒state)*(tbd)) bexp acom (⟨({_}/ WHILE _/ DO
_)⟩ [0, 0, 61] 61)

```

notation *com.SKIP* (⟨SKIP⟩)

Strip annotations:

fun *strip* :: *acom* ⇒ *com* **where**

```

strip SKIP = SKIP |
strip (x ::= a) = (x ::= a) |
strip (C1;; C2) = (strip C1;; strip C2) |
strip (IF b THEN C1 ELSE C2) = (IF b THEN strip C1 ELSE strip C2)
|
strip ({_/_/_} CONSEQ C) = strip C |
strip ({_} WHILE b DO C) = (WHILE b DO strip C)

```

support of an expression

4.6.1 support and supportE

definition *supportE* :: ((*char list* ⇒ *nat*) ⇒ (*char list* ⇒ *int*) ⇒ *nat*) ⇒ *string set* **where**

```

supportE P = {x. ∃ l1 l2 s. (∀ y. y ≠ x ⟶ l1 y = l2 y) ∧ P l1 s ≠ P l2
s}

```

lemma *expr_lupd*: $x \notin \text{supportE } Q \implies Q (l(x:=n)) = Q l$

by (*simp add: supportE_def fun_upd_other fun_eq_iff*)

(*metis (no_types, lifting) fun_upd_def*)

lemma *supportE_if*: *supportE* (λ*l s*. if *b s* then *A l s* else *B l s*)

⊆ *supportE A* ∪ *supportE B*

unfolding *supportE_def* **apply**(*auto*)

by *metis+*

lemma *support_eq*: *support* (λ*l s*. *l x = E l s*) ⊆ *supportE E* ∪ {*x*}

unfolding *support_def supportE_def*

apply(*auto*)

apply *blast*
by *metis*

lemma *support_impl_in*: $G\ e \longrightarrow \text{support}\ (\lambda l\ s.\ H\ e\ l\ s) \subseteq T$
 $\implies \text{support}\ (\lambda l\ s.\ G\ e \longrightarrow H\ e\ l\ s) \subseteq T$
unfolding *support_def* **apply**(*auto*)
apply *blast*+ **done**

lemma *support_supportE*: $\bigwedge P\ e.\ \text{support}\ (\lambda l\ s.\ P\ (e\ l)\ s) \subseteq \text{supportE}\ e$
unfolding *support_def* *supportE_def*
apply(*rule subsetI*)
apply(*simp*)
proof (*clarify*, *goal_cases*)
case ($1\ P\ e\ x\ l1\ l2\ s$)
have $P: \forall s.\ e\ l1\ s = e\ l2\ s \implies e\ l1 = e\ l2$ **by** *fast*
show $\exists l1\ l2.\ (\forall y.\ y \neq x \longrightarrow l1\ y = l2\ y) \wedge (\exists s.\ e\ l1\ s \neq e\ l2\ s)$
apply(*rule exI*[**where** $x=l1$])
apply(*rule exI*[**where** $x=l2$])
apply(*safe*)
using 1 **apply** *blast*
apply(*rule ccontr*)
apply(*simp*)
using $1(2)\ P$ **by** *force*
qed

— collects the logical variables in the Invariants and Loop Bodies as well as the annotated assertions at CONSEQs of an annotated command

fun *varacom* :: $acom \Rightarrow lvarname\ set$ **where**
 $\text{varacom}\ (C_1;; C_2) = \text{varacom}\ C_1 \cup \text{varacom}\ C_2$
 $\text{varacom}\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) = \text{varacom}\ C_1 \cup \text{varacom}\ C_2$
 $\text{varacom}\ (\{P/Qannot/_\} CONSEQ\ C) = \text{support}\ P \cup \text{varacom}\ C \cup \text{support}\ Qannot$
 $\text{varacom}\ (\{(I,(S,(E)))\} WHILE\ b\ DO\ C) = \text{support}\ I \cup \text{varacom}\ C$
 $\text{varacom}\ _ = \{\}$

Weakest precondition from annotated commands:

fun *preT* :: $acom \Rightarrow tbd \Rightarrow tbd$ **where**
 $\text{preT}\ SKIP\ e = e$ |
 $\text{preT}\ (x ::= a)\ e = (\lambda s.\ e(s(x := \text{aval}\ a\ s)))$ |
 $\text{preT}\ (C_1;; C_2)\ e = \text{preT}\ C_1\ (\text{preT}\ C_2\ e)$ |
 $\text{preT}\ (\{ _/_/_ \} CONSEQ\ C)\ e = \text{preT}\ C\ e$ |
 $\text{preT}\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ e =$
 $(\lambda s.\ \text{if}\ \text{bval}\ b\ s\ \text{then}\ \text{preT}\ C_1\ e\ s\ \text{else}\ \text{preT}\ C_2\ e\ s)$ |

$preT \ (\{(_,(S,_))\} \text{ WHILE } b \text{ DO } C) \ e = e \ o \ S$

lemma *preT_linear*: $preT \ C \ (\%s. k * e \ s) = (\%s. k * preT \ C \ e \ s)$
by (*induct C arbitrary: e, auto*)

fun *postQ* :: *acom* $\Rightarrow$ *state* $\Rightarrow$ *state* **where**
postQ SKIP *s* = *s* |
postQ (*x* ::= *a*) *s* = *s*(*x* := *aval a s*) |
postQ (*C*₁;; *C*₂) *s* = *postQ C*₂ (*postQ C*₁ *s*) |
postQ ($\{_/_/_\}$ *CONSEQ C*) *s* = *postQ C s* |
postQ (*IF b THEN C*₁ *ELSE C*₂) *s* =
 (*if bval b s then postQ C*₁ *s else postQ C*₂ *s*) |
postQ ($\{(_,(S,_))\} \text{ WHILE } b \text{ DO } C$) *s* = *S s*

lemma *TQ*: $preT \ C \ e \ s = e \ (postQ \ C \ s)$
apply(*induct C arbitrary: e s*) **by** (*auto*)

function (*domintros*) *times* :: *state* $\Rightarrow$ *bexp* $\Rightarrow$ *acom* $\Rightarrow$ *nat* **where**
times s b C = (*if bval b s then Suc (times (postQ C s) b C) else 0*)
apply(*auto*) **done**

lemma *assumes I*: *I z s* **and**
i: $\bigwedge s \ z. I \ (Suc \ z) \ s \Longrightarrow bval \ b \ s \wedge I \ z \ (postQ \ C \ s)$
and *ii*: $\bigwedge s. I \ 0 \ s \Longrightarrow \sim bval \ b \ s$
shows *times_z*: *times s b C* = *z*
proof –
have $I \ z \ s \Longrightarrow times_dom \ (s, \ b, \ C) \wedge times \ s \ b \ C = z$
proof(*induct z arbitrary: s*)
case 0
have *A*: *times_dom (s, b, C)*
apply(*rule times.domintros*)
apply(*simp add: ii[OF 0]*) **done**
have *B*: *times s b C* = 0
using *times.psimps[OF A]* **by**(*simp add: ii[OF 0]*)

show ?*case* **using** *A B* **by** *simp*
next
case (*Suc z*)
from *i[OF Suc(2)]* **have** *bv*: *bval b s*

```

    and g: I z (postQ C s) by simp_all
  from Suc(1)[OF g] have p1: times_dom (postQ C s, b, C)
    and p2: times (postQ C s) b C = z by simp_all
  have A: times_dom (s, b, C)
    apply(rule times.domintros) apply(rule p1) done
  have B: times s b C = Suc z
    using times.psimps[OF A] bv p2 by simp
  show ?case using A B by simp
qed

then show times s b C = z using I by simp
qed

function (domintros) postQs :: acom  $\Rightarrow$  bexp  $\Rightarrow$  state  $\Rightarrow$  state where
  postQs C b s = (if bval b s then (postQs C b (postQ C s)) else s)
  apply(auto) done

fun postQz :: acom  $\Rightarrow$  state  $\Rightarrow$  nat  $\Rightarrow$  state where
  postQz C s 0 = s |
  postQz C s (Suc n) = (postQz C (postQ C s) n)

fun preTz :: acom  $\Rightarrow$  tbd  $\Rightarrow$  nat  $\Rightarrow$  tbd where
  preTz C e 0 = e |
  preTz C e (Suc n) = preT C (preTz C e n)

lemma TzQ: preTz C e n s = e (postQz C s n)
  by (induct n arbitrary: s, simp_all add: TQ)

```

4.6.2 Weakest precondition from annotated commands:

```

fun pre :: acom  $\Rightarrow$  assn2  $\Rightarrow$  assn2 where
  pre SKIP Q = Q |
  pre (x ::= a) Q = ( $\lambda$  l s. Q l (s(x := aval a s))) |
  pre (C1;; C2) Q = pre C1 (pre C2 Q) |
  pre ({P'/_/_} CONSEQ C) Q = P' |
  pre (IF b THEN C1 ELSE C2) Q =
    ( $\lambda$  l s. if bval b s then pre C1 Q l s else pre C2 Q l s) |
  pre ({(I,(S,(E)))} WHILE b DO C) Q = I

```

```

lemma supportE_preT: supportE (%l. preT C (e l))  $\subseteq$  supportE e
proof(induct C arbitrary: e)
  case (Aif b C1 C2 e)
  show ?case
    apply(simp)
    apply(rule subset_trans[OF supportE_if])
    using Aif by fast
next
  case (Awhile A y C e)
  obtain I S E where A: A = (I,S,E) using prod_cases3 by blast
  show ?case using A apply(simp) unfolding supportE_def
    by blast
next
  case (Aseq)
  then show ?case by force
qed (simp_all add: supportE_def, blast)

lemma supportE_twicepreT: supportE (%l. preT C1 (preT C2 (e l)))  $\subseteq$ 
supportE e
  by (rule subset_trans[OF supportE_preT supportE_preT])

lemma supportE_preTz: supportE (%l. preTz C (e l) n)  $\subseteq$  supportE e
proof (induct n)
  case (Suc n)
  show ?case
    apply(simp)
    apply(rule subset_trans[OF supportE_preT])
    by fact
qed simp

lemma supportE_preTz_Un:
  supportE ( $\lambda$ l. preTz C (e l) (l x))  $\subseteq$  insert x (UN n. supportE ( $\lambda$ l. preTz
C (e l) n))
  apply(auto simp add: supportE_def subset_iff)
  applymetis
  done

lemma supportE_preTz2: supportE (%l. preTz C (e l) (l x))  $\subseteq$  insert x
(supportE e)

```

```

apply(rule subset_trans[OF supportE_preTz_Un])
using supportE_preTz by blast

lemma pff:  $\bigwedge n. \text{support } (\lambda l. I (l(x := n))) \subseteq \text{support } I - \{x\}$ 
  unfolding support_def apply(auto) using fun_upd_apply apply smt
  apply (smt fun_upd_apply) oops

lemma pff:  $\bigwedge n. \text{support } (\lambda l. I (l(x := n))) \subseteq \text{support } I$ 
  unfolding support_def apply(auto) using fun_upd_apply apply smt
  by (smt fun_upd_apply)

lemma supportAB:  $\text{support } (\lambda l s. A l s \wedge B s) \subseteq \text{support } A$ 
  apply(rule subset_trans[OF support_and])
  by (simp add: support_inv)

lemma support (pre ({(I,(S,(E)))} WHILE b DO C) Q)  $\subseteq \text{support } I$ 
  by (simp add: supportAB)

lemma support_pre:  $\text{support } (\text{pre } C Q) \subseteq \text{support } Q \cup \text{varacom } C$ 
proof (induct C arbitrary: Q)
  case (Awhile A b C Q)
  obtain I S E where A:  $A = (I, (S, (E)))$  using prod_cases3 by blast
  have support_inv:  $\bigwedge P. \text{support } (\lambda l s. P s) = \{\}$ 
    unfolding support_def by blast
  show ?case unfolding A apply(simp) using supportAB by fast
next
  case (Aseq C1 C2)
  then show ?case by(auto)
next
  case (Aif x C1 C2 Q)
  have s1:  $\text{support } (\lambda l s. \text{bval } x s \longrightarrow \text{pre } C1 Q l s) \subseteq \text{support } Q \cup \text{varacom } C1$ 
    apply(rule subset_trans[OF support_impl]) by(rule Aif)
  have s2:  $\text{support } (\lambda l s. \sim \text{bval } x s \longrightarrow \text{pre } C2 Q l s) \subseteq \text{support } Q \cup \text{varacom } C2$ 
    apply(rule subset_trans[OF support_impl]) by(rule Aif)

  show ?case apply(simp)
    apply(rule subset_trans[OF support_and])
    using s1 s2 by blast
next

```

```

case (Aconseq x1 x2 x3 C)
then show ?case by(auto)
qed (auto simp add: support_def)

```

```

lemma finite_support_pre[simp]: finite (support Q)  $\implies$  finite (varacom
C)  $\implies$  finite (support (pre C Q))
using finite_subset support_pre finite_UnI by metis

```

```

fun time :: acom  $\Rightarrow$  tbd where
  time SKIP = (%s. Suc 0) |
  time (x ::= a) = (%s. Suc 0) |
  time (C1;; C2) = (%s. time C1 s + preT C1 (time C2) s) |
  time ({_/_/e} CONSEQ C) = e |
  time (IF b THEN C1 ELSE C2) =
    ( $\lambda s$ . if bval b s then 1 + time C1 s else 1 + time C2 s) |
  time ({(_, (E', (E)))} WHILE b DO C) = E

```

```

lemma supportE_single: supportE ( $\lambda l$  s. P) = {}
unfolding supportE_def by blast

```

```

lemma supportE_plus: supportE ( $\lambda l$  s. e1 l s + e2 l s)  $\subseteq$  supportE e1  $\cup$ 
supportE e2
unfolding supportE_def apply(auto)
by metis

```

```

lemma supportE_Suc: supportE ( $\lambda l$  s. Suc (e1 l s)) = supportE e1
unfolding supportE_def by (auto)

```

```

lemma supportE_single2: supportE ( $\lambda l$  . P) = {}
unfolding supportE_def by blast

```

```

lemma supportE_time: supportE ( $\lambda l$ . time C) = {}
using supportE_single2 by simp

```

```

lemma  $\bigwedge s. (\forall l. I (l(x:=0)) s) = (\forall l. l x = 0 \longrightarrow I l s)$ 
apply(auto)
by (metis fun_upd_triv)

```

```

lemma  $\bigwedge s. (\forall l. I (l(x:=Suc (l x))) s) = (\forall l. (\exists n. l x = Suc n) \longrightarrow I l s)$ 
apply(auto)

```

```

proof (goal_cases)
  case (1 s l n)
  then have  $\bigwedge l. I (l(x := \text{Suc } (l x))) s$  by simp
  from this[where  $l=l(x:=n)$ ]
  have  $I ((l(x:=n))(x := \text{Suc } ((l(x:=n)) x))) s$  by simp
  then show ?case using 1(2) apply(simp)
  by (metis fun_upd_triv)
qed

```

Verification condition:

```

fun vc :: acom  $\Rightarrow$  assn2  $\Rightarrow$  bool where
  vc SKIP Q = True |
  vc (x ::= a) Q = True |
  vc (C1 ;; C2) Q = ((vc C1 (pre C2 Q))  $\wedge$  (vc C2 Q)) |
  vc (IF b THEN C1 ELSE C2) Q = (vc C1 Q  $\wedge$  vc C2 Q) |
  vc ({P'/Q/e} CONSEQ C) Q' = (vc C Q  $\wedge$  ( $\exists k > 0. (\forall l s. P' l s \longrightarrow$ 
time C s  $\leq k * e' s \wedge (\forall t. \exists l'. (pre C Q) l' s \wedge (Q l' t \longrightarrow Q' l t))))$ ) |

  vc ({(I,(S,(E)))} WHILE b DO C) Q =
  (( $\forall l s. (I l s \wedge \text{bval } b s \longrightarrow pre C I l s \wedge E s \geq 1 + preT C E s + time$ 
C s
 $\wedge S s = S (postQ C s)) \wedge$ 
  ( $I l s \wedge \neg \text{bval } b s \longrightarrow Q l s \wedge E s \geq 1 \wedge S s = s$ )  $\wedge$ 
  vc C I)

```

lemma pre_mono:

$(\forall l s. P l s \longrightarrow P' l s) \Longrightarrow pre C P l s \Longrightarrow pre C P' l s$

proof (induction C arbitrary: P P' l s)

case (Aseq C1 C2)

then have A: $pre C1 (pre C2 P) l s$ **by**(simp)

from Aseq(2)[OF Aseq(3)] Aseq(1)[OF _ A]

show ?case **by** simp

next

case (Awhile A b C)

then obtain I S E **where** A: $A = (I, S, E)$ **using** prod_cases3 **by** blast

from Awhile **show** ?case **unfolding** A **by** simp

qed simp_all

lemma vc_mono: $(\forall l s. P l s \longrightarrow P' l s) \Longrightarrow vc C P \Longrightarrow vc C P'$

apply (induct C arbitrary: P P')

apply auto

subgoal using pre_mono **by** metis

subgoal using pre_mono **by** metis

done

4.6.3 Soundness:

abbreviation $preSet\ U\ C\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e) \Rightarrow l\ x = preT\ C\ e\ s))$

abbreviation $postSet\ U\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e) \Rightarrow l\ x = e\ s))$

fun *ListUpdate* **where**

ListUpdate $f\ []\ l = f$
 $| ListUpdate\ f\ ((x,e)\#xs)\ q = (ListUpdate\ f\ xs\ q)(x:=q\ e\ x)$

lemma *allq*:

assumes $U2: \bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l$

shows

$fst\ 'set\ xs \subseteq fst\ 'upds \Longrightarrow A\ (ListUpdate\ l''\ xs\ q) = A\ l''$

proof (*induct xs*)

case (*Cons a xs*)

obtain $x\ e$ **where** $axe: a = (x,e)$ **by** *fastforce*

have $A\ (ListUpdate\ l''\ (a\ \#xs)\ q)$

$= A\ ((ListUpdate\ l''\ xs\ q)(x := q\ e\ x))$ **unfolding** axe **by** (*simp*)

also have

$\dots = A\ (ListUpdate\ l''\ xs\ q)$

apply (*rule U2*)

using *Cons axe* **by** *force*

also have $\dots = A\ l''$

using *Cons* **by** *force*

finally show *?case* .

qed *simp*

fun *ListUpdateE* **where**

ListUpdateE $f\ [] = f$
 $| ListUpdateE\ f\ ((x,v)\#xs) = (ListUpdateE\ f\ xs)(x:=v)$

lemma *ListUpdate_E*: $ListUpdateE\ f\ xs = ListUpdate\ f\ xs\ (\%e\ x. e)$

apply (*induct xs*) **apply** (*simp_all*)

subgoal for $a\ xs$ **apply** (*cases a*) **apply** (*simp*) **done**

done

lemma *allq_E*: **fixes** $A::asn2$

assumes

$(\bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l)\ fst\ 'set\ xs \subseteq fst\ 'upds$

shows $A\ (ListUpdateE\ f\ xs) = A\ f$

proof –

```

have A (ListUpdate f xs (%e x. e)) = A f
  apply(rule allg)
  apply fact+ done
then show ?thesis by(simp only: ListUpdate_E)
qed

```

```

lemma ListUpdateE_updates: distinct (map fst xs)  $\implies$   $x \in \text{set } xs \implies$ 
ListUpdateE l'' xs (fst x) = snd x
proof (induct xs)
  case Nil
  then show ?case apply(simp) done
next
  case (Cons a xs)
  show ?case
  proof (cases fst a = fst x)
    case True
    then obtain y e where a: a=(y,e) by fastforce
    with True have fstx: fst x=y by simp
    from Cons(2,3) fstx a have a2: x=a
      by force
    show ?thesis unfolding a2 a by(simp)
  next
    case False
    with Cons(3) have A:  $x \in \text{set } xs$  by auto
    obtain y e where a: a=(y,e) by fastforce
    from Cons(2) have B: distinct (map fst xs) by simp
    from Cons(1)[OF B A] False
      show ?thesis unfolding a by(simp)
  qed
qed

```

```

lemma ListUpdate_updates:  $x \in \text{fst } ( \text{set } xs ) \implies \text{ListUpdate } l'' xs (\%e. l)$ 
 $x = l x$ 
proof(induct xs)
  case Nil
  then show ?case by(simp)
next
  case (Cons a xs)
  obtain q p where axe: a = (p,q) by fastforce
  from Cons show ?case unfolding axe
    apply(cases x=p)
    by(simp_all)
qed

```

abbreviation *lesvars* $xs == \text{fst } \text{'(set } xs)$

fun *preList* **where**

preList [] $C \ l \ s = \text{True}$

| *preList* ((x, e)# xs) $C \ l \ s = (l \ x = \text{preT } C \ e \ s \wedge \text{preList } xs \ C \ l \ s)$

lemma *preList_Seq*: *preList* *upds* ($C1;; C2$) $l \ s = \text{preList } (\text{map } (\lambda(x, e). (x, \text{preT } C2 \ e))) \ \text{upds} \ C1 \ l \ s$

proof (*induct upds*)

case *Nil*

then show ?*case* **by** *simp*

next

case (*Cons* $a \ xs$)

obtain $y \ e$ **where** $a = (y, e)$ **by** *fastforce*

from *Cons* **show** ?*case* **unfolding** a **by** (*simp*)

qed

lemma *support_True*[*simp*]: *support* ($\lambda a \ b. \text{True}$) = {}

unfolding *support_def*

by *fast*

lemma *support_preList*: *support* (*preList* *upds* $C1$) $\subseteq \text{lesvars } \text{upds}$

proof (*induct upds*)

case *Nil*

then show ?*case* **by** *simp*

next

case (*Cons* $a \ \text{upds}$)

obtain $y \ e$ **where** $a = (y, e)$ **by** *fastforce*

from *Cons* **show** ?*case* **unfolding** a **apply** (*simp*)

apply(*rule subset_trans*[*OF support_and*])

apply(*rule Un_least*)

subgoal **apply**(*rule subset_trans*[*OF support_eq*])

using *supportE_twicepreT subset_trans supportE_single2* **by** *simp*

subgoal **by** *auto*

done

qed

lemma *preListpreSet*: *preSet* (*set* xs) $C \ l \ s \implies \text{preList } xs \ C \ l \ s$

proof (*induct xs*)

case *Nil*

then show ?*case* **by** *simp*

next

```

  case (Cons a xs)
  obtain y e where a: a=(y,e) by fastforce
  from Cons show ?case unfolding a by (simp)
qed

```

```

lemma preSetpreList: preList xs C l s  $\implies$  preSet (set xs) C l s
proof (induct xs)
  case (Cons a xs)
  obtain y e where a: a=(y,e) by fastforce
  from Cons show ?case unfolding a
    by (simp)
qed simp

```

```

lemma preSetpreList_eq: preList xs C l s = preSet (set xs) C l s
proof (induct xs)
  case (Cons a xs)
  obtain y e where a: a=(y,e) by fastforce
  from Cons show ?case unfolding a
    by (simp)
qed simp

```

```

fun postList where
  postList [] l s = True
| postList ((x,e)#xs) l s = (l x = e s  $\wedge$  postList xs l s)

```

```

lemma support_postList: support (postList xs)  $\subseteq$  lesvars xs
proof (induct xs)
  case (Cons a xs)
  obtain y e where a: a=(y,e) by fastforce
  from Cons show ?case unfolding a
    apply (simp) apply (rule subset_trans[OF support_and])
    apply (rule Un_least)
    subgoal apply (rule subset_trans[OF support_eq])
      using supportE_twicepreT subset_trans supportE_single2 by simp
    subgoal by (auto)
    done
qed simp

```

```

lemma postpreList_inv: assumes S s = S (postQ C s)
  shows postList (map ( $\lambda(x, e). (x, \lambda s. e (S s))$ ) upds) l s = preList (map

```

```

( $\lambda(x, e). (x, \lambda s. e (S s))$ ) upds) C l s
proof (induct upds)
  case (Cons a upds)
  obtain y e where axe:  $a = (y, e)$  by fastforce

  from Cons show ?case unfolding axe apply(simp)
    apply(simp only: TQ) using assms by auto
qed simp

```

```

lemma postList_preList: postList ( $\lambda(x, e). (x, \text{preT } C e)$ ) upds) l s
= preList upds C l s
proof (induct upds)
  case (Cons a xs)
  obtain y e where  $a = (y, e)$  by fastforce
  from Cons show ?case unfolding a
    by(simp)
qed simp

```

```

lemma postSetpostList: postList xs l s  $\implies$  postSet (set xs) l s
proof (induct xs)
  case (Cons a xs)
  obtain y e where  $a = (y, e)$  by fastforce
  from Cons show ?case unfolding a
    by(simp)
qed simp

```

```

lemma postListpostSet: postSet (set xs) l s  $\implies$  postList xs l s
proof (induct xs)
  case (Cons a xs)
  obtain y e where  $a = (y, e)$  by fastforce
  from Cons show ?case unfolding a
    by(simp)
qed simp

```

```

lemma ListAskip: preList xs Askip l s = postList xs l s
  apply(induct xs)
  apply(simp) by force

```

```

lemma SetAskip: preSet U Askip l s = postSet U l s
by simp

```

lemma *ListAassign*: $preList\ upds\ (Aassign\ x1\ x2)\ l\ s = postList\ upds\ l\ (s[x2/x1])$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAassign*: $preSet\ U\ (Aassign\ x1\ x2)\ l\ s = postSet\ U\ l\ (s[x2/x1])$
by *simp*

lemma *ListAconseq*: $preList\ upds\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preList\ upds\ C\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAconseq*: $preSet\ U\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preSet\ U\ C\ l\ s$
by *simp*

lemma *ListAif1*: $bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C1\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAif1*: $bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C1\ l\ s$
apply(*simp*) **done**

lemma *ListAif2*: $\sim bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C2\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAif2*: $\sim bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C2\ l\ s$
apply(*simp*) **done**

lemma *vc_sound*: $vc\ C\ Q \implies finite\ (support\ Q) \implies finite\ (varacom\ C)$
 $\implies fst\ ' (set\ upds) \cap varacom\ C = \{\} \implies distinct\ (map\ fst\ upds)$
 $\implies \vdash_1 \{\%l\ s.\ pre\ C\ Q\ l\ s \wedge preList\ upds\ C\ l\ s\}\ strip\ C\ \{\ time\ C\ \Downarrow\ \%l\ s.\ Q\ l\ s \wedge postList\ upds\ l\ s\}$
 $\wedge (\forall l\ s.\ pre\ C\ Q\ l\ s \longrightarrow Q\ l\ (postQ\ C\ s))$
proof(*induction C arbitrary: Q upds*)
case (*Askip Q upds*)
then show *?case*

```

    apply(auto)
    apply(rule weaken_post[where  $Q = \%l\ s.\ Q\ l\ s \wedge preList\ upds\ Askip\ l$ 
s])
    apply(simp add: Skip) using ListAskip
    by fast
next
case (Aassign x1 x2 Q upds)
then show ?case apply(safe) apply(auto simp add: Assign)[1]
    apply(rule weaken_post[where  $Q = \%l\ s.\ Q\ l\ s \wedge postList\ upds\ l\ s$ ])
    apply(simp only: ListAassign)
    apply(rule Assign) apply simp
    apply(simp only: postQ.simps pre.simps) done
next
case (Aif b C1 C2 Q upds )
then show ?case apply(auto simp add: Assign)
    apply(rule If2[where  $e = \lambda a.\ if\ bval\ b\ a\ then\ time\ C1\ a\ else\ time\ C2$ 
a])
    subgoal
    apply(simp cong: rev_conj_cong)
    apply(rule ub_cost[where  $e' = time\ C1$ ])
    apply(simp) apply(auto)[1]
    apply(rule strengthen_pre[where  $P = \%l\ s.\ pre\ C1\ Q\ l\ s \wedge preList\ upds$ 
C1 l s])
    using ListAif1
    apply fast
    apply(rule Aif(1)[THEN conjunct1])
    apply(auto)
    done
    subgoal
    apply(simp cong: rev_conj_cong)
    apply(rule ub_cost[where  $e' = time\ C2$ ])
    apply(simp) apply(auto)[1]
    apply(rule strengthen_pre[where  $P = \%l\ s.\ pre\ C2\ Q\ l\ s \wedge preList\ upds$ 
C2 l s])
    using ListAif2
    apply fast
    apply(rule Aif(2)[THEN conjunct1])
    apply(auto)
    done
    apply auto apply fast+ done
next
case (Aconseq P' Qannot eannot C Q upds)
then obtain k where k:  $k > 0$  and ih1:  $vc\ C\ Qannot$ 
    and ih1':  $(\forall l\ s.\ P'\ l\ s \longrightarrow time\ C\ s \leq k * eannot\ s \wedge (\forall t.\ \exists l'.\ pre\ C$ 

```

$Q_{\text{annot}} l' s \wedge (Q_{\text{annot}} l' t \longrightarrow Q l t))$
by *auto*

have $ih2': \forall l s. \text{pre } C \ Q_{\text{annot}} l s \longrightarrow Q_{\text{annot}} l (\text{postQ } C s)$
apply(*rule Aconseq(1)[THEN conjunct2]*) **using** *Aconseq(2-6)* **by** *auto*

have $G1: \vdash_1 \{ \lambda l s. P' l s \wedge \text{preList upds } (\{P'/Q_{\text{annot}}/e_{\text{annot}}\} \text{ CONSEQ } C) l s \}$ *strip C*

$\{ e_{\text{annot}} \Downarrow \lambda l s. Q l s \wedge \text{postList upds } l s \}$

proof (*rule conseq[rotated]*)

show $\vdash_1 \{ \lambda l s. \text{pre } C \ Q_{\text{annot}} l s \wedge \text{preList upds } C l s \}$ *strip C* $\{ \text{time } C \Downarrow \lambda l s. Q_{\text{annot}} l s \wedge \text{postList upds } l s \}$

apply(*rule Aconseq(1)[THEN conjunct1]*)

using *Aconseq(2-6)* **by** *auto*

next

show $\exists k > 0. \forall l s. P' l s \wedge \text{preList upds } (\{P'/Q_{\text{annot}}/e_{\text{annot}}\} \text{ CONSEQ } C) l s \longrightarrow$

$\text{time } C s \leq k * e_{\text{annot}} s \wedge$

$(\forall t. \exists l'. (\text{pre } C \ Q_{\text{annot}} l' s \wedge \text{preList upds } C l' s) \wedge$

$(Q_{\text{annot}} l' t \wedge \text{postList upds } l' t \longrightarrow Q l t \wedge \text{postList$

$\text{upds } l t))$

proof(*rule exI[where x=k], safe*)

fix $l s$

assume $P': P' l s$ **and** *prelist*: $\text{preList upds } (\{P'/Q_{\text{annot}}/e_{\text{annot}}\} \text{ CONSEQ } C) l s$

then show $\text{time } C s \leq k * e_{\text{annot}} s$ **using** $ih1'$ **by** *simp*

fix t

— we now have to construct a logical environment, that both $*$ satisfies the annotated postcondition Q_{annot} (we obtain it from the first IH) $*$ lets the updates come true (we have to show that resetting these logical variables does not interfere with the other variables)

from $ih1' P'$ **have** $\text{satQan}:(\exists l'. \text{pre } C \ Q_{\text{annot}} l' s \wedge (Q_{\text{annot}} l' t \longrightarrow Q l t))$ **by** *simp*

then obtain l' **where** $i': \text{pre } C \ Q_{\text{annot}} l' s$ **and** $ii': (Q_{\text{annot}} l' t \longrightarrow Q l t)$ **by** *blast*

let $?upds' = (\text{map } (\lambda(x,e). (x, \text{preT } C e s)) \text{ upds})$

let $?l'' = (\text{ListUpdateE } l' ?upds')$

{

fix $l s n x$


```

    assume  $x \in \text{fst } (set \text{ upds})$ 
    then have  $x \notin \text{support } (pre \ C \ Qannot)$  using  $Aconseq(5)$  support_pre
  by auto
    from assn2_lupd[OF this] have  $pre \ C \ Qannot \ (l(x := n)) = pre \ C \ Qannot \ l$  .
  } note U2=this
  {
    fix  $l \ s \ n \ x$ 
    assume  $x \in \text{fst } (set \text{ upds})$ 
    then have  $x \notin \text{support } Qannot$  using  $Aconseq(5)$  by auto
    from assn2_lupd[OF this] have  $Qannot \ (l(x := n)) = Qannot \ l$  .
  } note K2=this

  have  $pre \ C \ Qannot \ ?l'' = pre \ C \ Qannot \ l'$ 
    apply(rule allg_E[where ?upds=set upds]) apply(rule U2) by
force+
  with  $i'$  have  $i''$ :  $pre \ C \ Qannot \ ?l'' \ s$  by simp

  have  $Qannot \ ?l'' = Qannot \ l'$ 
    apply(rule allg_E[where ?upds=set upds]) apply(rule K2) by
force+
  then have  $K$ :  $(\%l' \ s. \ Qannot \ l' \ t \longrightarrow Q \ l \ t) \ ?l'' \ s = (\%l' \ s. \ Qannot \ l' \ t \longrightarrow Q \ l \ t) \ l' \ s$ 
    by simp
  with  $ii'$  have  $ii''$ :  $(Qannot \ ?l'' \ t \longrightarrow Q \ l \ t)$  by simp

  have  $xs\_upds$ :  $map \ fst \ ?upds' = map \ fst \ upds$ 
    by auto
  have resets:  $\bigwedge x. x \in set \ ?upds' \implies ListUpdateE \ l' \ ?upds' \ (fst \ x) =$ 
 $snd \ x$  apply(rule ListUpdateE_updates)
    apply(simp only: xs_upds) using  $Aconseq(6)$  apply simp
    apply(simp) done

  have  $A$ :  $preList \ upds \ C \ ?l'' \ s$ 
  proof (rule preListpreSet, safe, goal_cases)
    case (1  $x \ e$ )
    then have  $(x, preT \ C \ e \ s) \in set \ ?upds'$ 
      by fastforce
    from resets[OF this, simplified]
    show ?case .
  qed

  have  $B$ :  $Qannot \ ?l'' \ t \implies postList \ upds \ ?l'' \ t \implies postList \ upds \ l \ t$ 
  proof (rule postListpostSet, safe, goal_cases)

```

```

    case (1 x e)
    from postSetpostList[OF 1(2)] have g: postSet (set upds) ?l'' t .
    with 1(3) have A: ?l'' x = e t
    by fast
    from 1(3) resets[of (x,preT C e s)] have B: ?l'' x = snd (x, preT
C e s)
    by fastforce
    from A B have X: e t = preT C e s by fastforce
    from preSetpreList[OF prelist] have preSet (set upds) ({P'/Qannot/eannot}
CONSEQ C) l s .
    with 1(3) have Y: l x = preT C e s apply(simp) by fast
    from X Y show ?case by simp
qed

show  $\exists l'. (pre\ C\ Qannot\ l'\ s \wedge preList\ upds\ C\ l'\ s) \wedge$ 
 $(Qannot\ l'\ t \wedge postList\ upds\ l'\ t \longrightarrow Q\ l\ t \wedge postList\ upds\ l$ 
t)
    apply(rule exI[where x=?l''], safe)
    using i'' A ii'' B by auto
qed fact
qed

have G2:  $\bigwedge l\ s. P'\ l\ s \Longrightarrow Q\ l\ (postQ\ C\ s)$ 
proof -
  fix l s
  assume P' l s
  with ih1' ih2' show Q l (postQ C s) by blast
qed

show ?case using G1 G2 by auto
next
case (Aseq C1 C2 Q upds)

let ?P = ( $\lambda l\ s. pre\ (C1;; C2)\ Q\ l\ s \wedge preList\ upds\ (C1;; C2)\ l\ s$ )
let ?P' = support Q  $\cup$  varacom C1  $\cup$  varacom C2  $\cup$  lesvars upds

have finite_varacom: finite (varacom (C1;; C2)) by fact
have sup_L: support (preList upds (C1;; C2))  $\subseteq$  lesvars upds
  apply(rule support_preList) done

— choose a fresh logical variable ?y in order to pull through the cost of
the second command
let ?y = SOME x. x  $\notin$  ?P'
have fP': finite (?P') using finite_varacom Aseq(4,5) apply simp done

```

from fP' **have** $\exists x. x \notin ?P'$ **using** *infinite_UNIV_listI*
using *ex_new_if_finite* **by** *metis*
hence ynP' : $?y \notin ?P'$ **by** (*rule someI_ex*)
hence $ysupC1$: $?y \notin \text{varacom } C1$ **using** *support_pre* **by** *auto*
have sup_B : $\text{support } ?P \subseteq ?P'$
apply(*rule subset_trans[OF support_and]*) **apply** *simp* **using** *support_pre sup_L* **by** *blast*

— we show the first goal: we can deduce the desired Hoare Triple
have $C1$: $\vdash_1 \{ \lambda l s. \text{pre } (C1;; C2) \ Q \ l \ s \wedge \text{preList upds } (C1;; C2) \ l \ s \}$
strip $C1;; \text{strip } C2$
 $\{ \text{time } (C1;; C2) \Downarrow \lambda l s. Q \ l \ s \wedge \text{postList upds } l \ s \}$
proof (*rule Seq[rotated]*)

— start from the back: we can simply use the IH for $C2$, and solve the side conditions automatically

show $\vdash_1 \{ (\%l s. \text{pre } C2 \ Q \ l \ s \wedge \text{preList upds } C2 \ l \ s) \}$ *strip* $C2 \ \{ \text{time } C2 \Downarrow (\%l s. Q \ l \ s \wedge \text{postList upds } l \ s) \}$
apply(*rule Aseq(2)[THEN conjunct1]*)
using *Aseq(3-7)* **by** *auto*
next

— prepare the new updates: pull them through $C2$ and save the new execution time of $C2$ in $?y$

let $?upds = \text{map } (\lambda a. \text{case } a \text{ of } (x, e) \Rightarrow (x, \text{preT } C2 \ e)) \ \text{upds}$
let $?upds' = (?y, \text{time } C2) \# ?upds$

have dst_upds' : *distinct* (*map fst ?upds'*)
using ynP' *Aseq(7)* **apply** *simp* **apply** *safe*
using *image_iff* **apply** *fastforce* **by** (*simp add: case_prod_beta' distinct_conv_nth*)

— now use the first induction hypothesis (specialised with the augmented upds list, and the weakest precondition of Q through C as post condition)

have $IH1s$: $\vdash_1 \{ \lambda l s. \text{pre } C1 \ (\text{pre } C2 \ Q) \ l \ s \wedge \text{preList } ?upds' \ C1 \ l \ s \}$
strip $C1$
 $\{ \text{time } C1 \Downarrow \lambda l s. \text{pre } C2 \ Q \ l \ s \wedge \text{postList } ?upds' \ l \ s \}$
apply(*rule Aseq(1)[THEN conjunct1]*)
using *Aseq(3-7)* $ysupC1 \ \text{dst_upds'}$ **by** *auto*

— glue it together with a consequence rule, side conditions are automatic

show $\vdash_1 \{ \lambda l s. (\text{pre } (C1;; C2) \ Q \ l \ s \wedge \text{preList upds } (C1;; C2) \ l \ s) \wedge l \ ?y = \text{preT } C1 \ (\text{time } C2) \ s \}$ *strip* $C1$
 $\{ \text{time } C1 \Downarrow \lambda l s. (\lambda l s. \text{pre } C2 \ Q \ l \ s \wedge \text{preList upds } C2 \ l \ s) \ l \ s \wedge \text{time } C2 \ s \leq l \ ?y \}$
apply(*rule conseq_old[OF _ IH1s]*)

```

    by (auto simp: preList_Seq postList_preList)
next
  — solve some side conditions showing that, ?y is indeed fresh
  show ?y ∉ support ?P
    using sup_B ynP' by auto
  have F: support (preList upds C2) ⊆ lesvars upds
    apply(rule support_preList) done
  have support (λl s. pre C2 Q l s ∧ preList upds C2 l s) ⊆ ?P'
    apply(rule subset_trans[OF support_and]) using F support_pre by
blast
  with ynP'
  show ?y ∉ support (λl s. pre C2 Q l s ∧ preList upds C2 l s) by blast
qed simp

```

— we show the second goal: weakest precondition implies, that Q holds after the execution of C1 and C2

```

  have C2: ∧l s. pre (C1;; C2) Q l s ⇒ Q l (postQ (C1;; C2) s)
  proof —
    fix l s
    assume p: pre (C1;; C2) Q l s
    have A: ∀l s. pre C1 (pre C2 Q) l s ⇒ pre C2 Q l (postQ C1 s)
      apply(rule Aseq(1)[where upds=[], THEN conjunct2])
      using Aseq by auto
    have B: (∀l s. pre C2 Q l s ⇒ Q l (postQ C2 s))
      apply(rule Aseq(2)[where upds=[], THEN conjunct2])
      using Aseq by auto
    from p A B show Q l (postQ (C1;; C2) s) by simp
  qed

```

```

  show ?case using C1 C2 by simp
next
  case (Awhile A b C Q upds)

```

— Let us first see, what we got from the induction hypothesis:

```

  obtain I S E where [simp]: A = (I,(S,(E))) using prod_cases3 by blast
  with ⟨vc (Awhile A b C) Q⟩ have vc (Awhile (I,S,E) b C) Q by blast
  then have vc: vc C I and pre2: ∧l s. I l s ⇒ ¬ bval b s ⇒ Q l s ∧
1 ≤ E s ∧ S s = s
    and IQ2: ∧l s. I l s ⇒ bval b s ⇒
      pre C I l s
      ∧ 1 + preT C E s + time C s ≤ E s ∧ S s = S (postQ
C s) by auto

```

— the logical variable x represents the number of loop unfoldings

from $IQ2$ **have** $IQ_in: \bigwedge l\ s. I\ l\ s \implies \text{bval } b\ s \implies S\ s = S\ (postQ\ C\ s)$ **by** *auto*

have $inv_impl: \bigwedge l\ s. I\ l\ s \implies \text{bval } b\ s \implies pre\ C\ I\ l\ s$ **using** $IQ2$ **by** *auto*

have $yC: lesvars\ upds \cap varacom\ C = \{\}$ **using** $Awhile(5)$ **by** *auto*

let $?upds = map\ (\% (x, e). (x, \% s. e\ (S\ s)))\ upds$
let $?INV = \% l\ s. I\ l\ s \wedge postList\ ?upds\ l\ s$

have $lesvars\ upds \cap support\ I = \{\}$ **using** $Awhile(5)$ **by** *auto*

— we need a fresh variable $?z$ to remember the time bound of the tail of the loop

let $?P = lesvars\ upds \cup varacom\ (\{A\}\ WHILE\ b\ DO\ C)$
let $?z = SOME\ z::lvarname. z \notin ?P$
have $finite\ ?P$ **using** $Awhile$ **by** *auto*
hence $\exists z. z \notin ?P$ **using** $infinite_UNIV_listI$
using $ex_new_if_finite$ **by** *metis*
hence $znP: ?z \notin ?P$ **by** $(rule\ someI_ex)$
from znP **have** $zny: ?z \notin lesvars\ upds$
and $zI: ?z \notin support\ I$
and $blb: ?z \notin varacom\ C$ **by** $(simp_all)$

from $Awhile(4,6)$ **have** $23: finite\ (varacom\ C)$
and $26: finite\ (support\ I)$ **by** *auto*

have $\forall l\ s. pre\ C\ I\ l\ s \longrightarrow I\ l\ (postQ\ C\ s)$
apply $(rule\ Awhile(1)[THEN\ conjunct2])$ **by** $(fact)+$
hence $step: \bigwedge l\ s. pre\ C\ I\ l\ s \implies I\ l\ (postQ\ C\ s)$ **by** *simp*

— we adapt the updates, by pulling them through the loop body and remembering the time bound of the tail of the loop

let $?upds = map\ (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ upds$
have $fua: lesvars\ ?upds = lesvars\ upds$
by *force*
let $?upds' = (?z, E) \# ?upds$

have $g: \bigwedge e. e \circ S = (\%s. e (S s))$ **by** *auto*

— show that the Hoare Rule is derivable

have $G1: \vdash_1 \{ \lambda l s. I l s \wedge preList\ upds\ (\{(I, S, E)\} \text{ WHILE } b \text{ DO } C) l s \}$ *WHILE* $b \text{ DO strip } C$

$\{ E \Downarrow \lambda l s. Q l s \wedge postList\ upds\ l s \}$

proof(*rule conseq_old*)

show $\vdash_1 \{ \lambda l s. I l s \wedge postList\ ?upds\ l s \}$ *WHILE* $b \text{ DO strip } C$

$\{ E \Downarrow \lambda l s. (I l s \wedge postList\ ?upds\ l s) \wedge \neg bval\ b\ s \}$

— We use the While Rule and then have to show, that ...

proof(*rule While, goal_cases*)

— A) the loop body preserves the loop invariant

have $lesvars\ ?upds' \cap varacom\ C = \{\}$

using $yC\ blb$ **by**(*auto*)

have $z: (fst \circ (\lambda(x, e). (x, \lambda s. e (S s)))) = fst$ **by** *auto*

have *distinct* (*map* *fst* $?upds'$)

using *Awhile*(6) *zny* **by** (*auto simp add: z*)

— for showing preservation of the invariant, use the consequence rule

...

show $\vdash_1 \{ \lambda l s. (I l s \wedge postList\ ?upds\ l s) \wedge bval\ b\ s \wedge preT\ C\ E\ s = l\ ?z \}$

$strip\ C\ \{ \text{time } C \Downarrow \lambda l s. (I l s \wedge postList\ ?upds\ l s) \wedge E\ s \leq l\ ?z \}$

proof (*rule conseq_old*)

— ... and employ the induction hypothesis, ...

show $\vdash_1 \{ \lambda l s. pre\ C\ I\ l\ s \wedge preList\ ?upds'\ C\ l\ s \}$ *strip* C

$\{ \text{time } C \Downarrow \lambda l s. I l s \wedge postList\ ?upds'\ l s \}$

apply(*rule Awhile.IH[THEN conjunct1]*) **by** *fact+*

next

— finally we have to prove the side condition.

show $\exists k > 0. \forall l s. (I l s \wedge postList\ ?upds\ l s) \wedge bval\ b\ s \wedge preT\ C\ E\ s = l\ ?z$

$\longrightarrow (pre\ C\ I\ l\ s \wedge preList\ ?upds'\ C\ l\ s) \wedge \text{time } C\ s \leq k * \text{time } C\ s$

apply(*rule exI[where x=1]*) **apply**(*simp*)

proof (*safe, goal_cases*)

case ($2\ l\ s$)

note $upds_invariant = postpreList_inv[OF\ IQ_in[OF\ 2(1)]]$

from $2\ upds_invariant$ **show** $?case$ **by** *auto*

next

case ($1\ l\ s$) **then** **show** $?case$ **using** *inv_impl* **by** *auto*

qed

qed *auto*

```

next
  — B) the invariant with number of loop unfoldings greater than 0
  implies true loop guard and running time is correctly bounded
  show  $\forall l s. \text{bval } b s \wedge I l s \wedge \text{postList } ?\text{upds } l s \longrightarrow 1 + \text{preT } C E s$ 
  +  $\text{time } C s \leq E s$ 
  proof (clarify, goal_cases)
    case (1 l s)
    show ?case using IQ2 1(1,2) by auto
  qed
next
  — C) the invariant with number of loop unfoldings equal to 0 implies
  false loop guard and running time is correctly bounded
  show  $\forall l s. \neg \text{bval } b s \wedge I l s \wedge \text{postList } ?\text{upds } l s \longrightarrow 1 \leq E s$ 
  proof (clarify, goal_cases)
    case (1 l s)
    then show ?case
      using pre2 1(2) by auto
  qed
next
  — D) ?z is indeed a fresh variable
  have pff:  $?z \notin \text{lesvars } ?\text{upds}$  apply(simp only: fua) by fact
  have support  $(\lambda l s. I l s \wedge \text{postList } ?\text{upds } l s) \subseteq \text{support } I \cup \text{support}$ 
  (postList ?upds)
  by(rule support_and)
  also have support (postList ?upds)  $\subseteq \text{lesvars } ?\text{upds}$ 
  apply(rule support_postList) done
  finally
  have support  $(\lambda l s. I l s \wedge \text{postList } ?\text{upds } l s) \subseteq \text{support } I \cup \text{lesvars}$ 
  ?upds
  by blast
  thus  $?z \notin \text{support } (\lambda l s. I l s \wedge \text{postList } ?\text{upds } l s)$ 
  apply(rule contra_subsetD)
  using zI pff by(simp)
  qed
next
  show  $\exists k > 0. \forall l s. I l s \wedge \text{preList upds } (\{(I, S, E)\} \text{ WHILE } b \text{ DO } C)$ 
   $l s \longrightarrow$ 
   $(I l s \wedge \text{postList } (\text{map } (\lambda(x, e). (x, \lambda s. e (S s))) \text{ upds}) l s) \wedge E s$ 
   $\leq k * E s$ 
  apply(rule exI[where x=1]) apply(auto) apply(simp only:
  postList_preList[symmetric] ) apply (auto)
  apply(simp only: g)
  done
next

```

```

show  $\forall l\ s. (I\ l\ s \wedge \text{postList } (\text{map } (\lambda(x, e). (x, \lambda s. e\ (S\ s)))\ \text{upds})\ l\ s) \wedge$ 
 $\neg\ \text{bval}\ b\ s \longrightarrow Q\ l\ s \wedge \text{postList}\ \text{upds}\ l\ s$ 
using pre2 by(induct upds, auto)
qed

have G2:  $\bigwedge l\ s. \text{pre } (\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ Q\ l\ s \implies Q\ l\ (\text{postQ } (\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ s)$ 
proof –
  fix l s
  assume pre  $(\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ Q\ l\ s$ 
  then have I:  $I\ l\ s$  by simp
  { fix n
    have  $E\ s = n \implies I\ l\ s \implies Q\ l\ (\text{postQ } (\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ s)$ 
    proof (induct n arbitrary: s l rule: less_induct)
      case (less n)
      then show ?case
      proof (cases bval b s)
        case True
          with less IQ2 have pre C I l s and S:  $S\ s = S\ (\text{postQ } C\ s)$  and t:
 $1 + \text{preT } C\ E\ s + \text{time } C\ s \leq E\ s$  by auto
          with step have I':  $I\ l\ (\text{postQ } C\ s)$  and  $1 + E\ (\text{postQ } C\ s) + \text{time } C\ s \leq E\ s$  using TQ by auto
          with less have  $E\ (\text{postQ } C\ s) < n$  by auto
          with less(1) I' have  $Q\ l\ (\text{postQ } (\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ (\text{postQ } C\ s))$  by auto
          with step show ?thesis using S by simp
        next
        case False
        with pre2 less(3) have  $Q\ l\ s\ S\ s = s$  by auto
        then show ?thesis by simp
      qed
    }
  qed
  with I show  $Q\ l\ (\text{postQ } (\{A\}\ \text{WHILE}\ b\ \text{DO}\ C)\ s)$  by simp
qed

show ?case using G1 G2 by auto
qed

```



```

corollary vc_sound':
  assumes vc C Q
    finite (support Q) finite (varacom C)
     $\forall l s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s$ 
  shows  $\vdash_1 \{P\}\ strip\ C\ \{time\ C\ \Downarrow\ Q\}$ 
proof –
  show ?thesis
    apply(rule conseq_old)
    prefer 2 apply(rule vc_sound[where upds=[], OF assms(1-3),
    THEN conjunct1])
    using assms(4) apply auto
  done
qed

```

```

lemma preT_constant:  $preT\ C\ (\%_.\ a) = (\%_.\ a)$ 
apply(induct C) by (auto)

```

```

corollary vc_sound'':
   $\llbracket vc\ C\ Q; (\exists k > 0. \forall l s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge time\ C\ s \leq k * e\ s);$ 
   $finite\ (support\ Q); finite\ (varacom\ C) \rrbracket \Longrightarrow \vdash_1 \{P\}\ strip\ C\ \{e\ \Downarrow\ Q\}$ 
apply(rule ub_cost[where e'=time C])
apply(auto)
apply(rule vc_sound') by auto

```

4.6.4 Completeness:

```

lemma vc_complete:
   $\vdash_1 \{P\}\ c\ \{e\ \Downarrow\ Q\} \Longrightarrow \exists C. strip\ C = c \wedge vc\ C\ Q$ 
   $\wedge (\forall l s. P\ l\ s \longrightarrow pre\ C\ Q\ l\ s \wedge Q\ l\ (postQ\ C\ s))$ 
   $\wedge (\exists k. \forall l s. P\ l\ s \longrightarrow time\ C\ s \leq k * e\ s)$ 
  (is  $\_ \Longrightarrow \exists C. ?G\ P\ c\ Q\ C\ e$ )
proof (induction rule: hoare1.induct)
  case Skip
    show ?case (is  $\exists C. ?C\ C$ )
    proof show ?C Askip by auto
  qed
next
  case (Assign P a x)
  show ?case (is  $\exists C. ?C\ C$ )
    proof show ?C(Aassign x a) apply (simp del: fun_upd_apply) ap-
ply(auto) done qed
next
  case (Seq P x e2' c1 e1 Q e2 c2 R e)

```

```

from Seq.IH(1) obtain C1 where ?G ( $\lambda l\ s. P\ l\ s \wedge l\ x = e2'\ s$ ) c1
( $\lambda a\ b. Q\ a\ b \wedge e2\ b \leq a\ x$ ) C1 e1 by blast
then obtain k where ih1: strip C1 = c1
  vc C1 ( $\lambda a\ b. Q\ a\ b \wedge e2\ b \leq a\ x$ )
   $\bigwedge l\ s. P\ l\ s \implies l\ x = e2'\ s \implies \text{pre } C1\ (\lambda la\ sa. (Q\ la\ sa \wedge e2\ sa \leq la\ x))\ l\ s$ 
  ( $\forall l\ s. P\ l\ s \wedge l\ x = e2'\ s \longrightarrow \text{time } C1\ s \leq k * e1\ s$ )
   $\bigwedge l\ s. P\ l\ s \implies l\ x = e2'\ s \implies Q\ l\ (\text{postQ } C1\ s) \wedge e2\ (\text{postQ } C1\ s) \leq l\ x$ 
apply auto done

from Seq.IH(2) obtain C2 where ih2: ?G Q c2 R C2 e2 by blast
then obtain k2 where ih2: strip C2 = c2
  vc C2 R
  ( $\bigwedge l\ s. Q\ l\ s \implies \text{pre } C2\ R\ l\ s$ )
  ( $\forall l\ s. Q\ l\ s \longrightarrow \text{time } C2\ s \leq k2 * e2\ s$ )
   $\bigwedge l\ s. Q\ l\ s \implies R\ l\ (\text{postQ } C2\ s)$  apply auto done

show ?case (is  $\exists C. ?C\ C$ )
proof
  show ?C(Aseq (Aconseq P Q (time C1) C1) C2)
  proof (safe, goal_cases)
    case 1
    then show ?case apply(simp add: ih1(1) ih2(1)) done
  next
    case 2
    then show ?case apply(simp) apply(safe)
      subgoal apply(rule vc_mono) prefer 2 apply (rule ih1(2)) apply(auto) done
      subgoal apply(rule exI[where x=1]) apply safe
      subgoal by(auto)
      subgoal for l s t
        apply(rule exI[where x=l(x:= e2' s)])
        apply(safe)
        subgoal apply(rule pre_mono) prefer 2 apply (rule ih1(3))

        apply(subst assn2_lupd) using Seq(3) by auto
        subgoal apply(rule ih2(3)) using assn2_lupd[OF Seq(4)] by
auto
      done
    done
    subgoal by (rule ih2(2))
    done
  next

```

```

    case (3 l s)
    then show ?case apply(simp) done
next

    case (4 l s)
  from 4 have P (l(x:=e2' s)) s using assn2_lupd[OF Seq(3)] by simp
  with ih1(5)[where l=l(x:=e2' s)]
  have Q (l(x := e2' s)) (postQ C1 s) by simp
  then have Q l (postQ C1 s) using assn2_lupd[OF Seq(4)] by simp
  with ih2(3) have Q l (postQ C1 s) by simp
  with ih2(5)
  show ?case apply(auto) done
next

  case 5
  from ih1(4) have
    gg:  $\bigwedge l s. \llbracket P \ l \ s; \ e2' \ s = l \ x \rrbracket \implies \text{time } C1 \ s \leq k * e1 \ s$  by auto

  show ?case
  proof (rule exI[where x=(max k k2)], safe, goal_cases)
    case (1 l s)
    have xnP:  $x \notin \text{support } P$  by fact
    have 41:  $P \ (l(x := e2' s)) \ s$ 
      apply(subst assn2_lupd)
      apply(fact xnP)
      apply(fact 5) done

    have A:  $\text{time } C1 \ s \leq k * e1 \ s$ 
      apply(rule gg[where l=l(x:=e2' s)])
      apply(rule 41)
      apply(simp) done

    have B:  $\text{preT } C1 \ (\text{time } C2) \ s \leq k2 * e2' \ s$ 
    proof -
      from 1 have P (l(x := e2' s)) s using assn2_lupd[OF xnP] by
simp

    have F:  $Q \ (l(x:=e2' s)) \ (\text{postQ } C1 \ s) \wedge e2 \ (\text{postQ } C1 \ s) \leq (l(x:=e2' s)) \ x$ 
      apply(rule ih1(5)[where l=l(x:=e2' s) and s=s])
      apply(fact)
      apply(simp) done
    then have  $\text{time } C2 \ (\text{postQ } C1 \ s) \leq k2 * e2 \ (\text{postQ } C1 \ s)$  using
ih2(4) by auto
    with F have  $\text{time } C2 \ (\text{postQ } C1 \ s) \leq k2 * e2' \ s$ 

```

```

    using order_subst1 by fastforce
    then show preT C1 (time C2) s ≤ k2 * e2' s using TQ by simp

qed
  have time C1 s + preT C1 (time C2) s ≤ k * e1 s + k2 * e2' s
using A B by linarith
  also have ... ≤ (max k k2) * e1 s + (max k k2) * e2' s
  using nat_mult_max_left by auto
  also have ... = (max k k2) * (e1 s + e2' s) by algebra
  also have ... ≤ (max k k2) * e s using Seq(5)[OF 1] by auto
  finally
  have time C1 s + preT C1 (time C2) s ≤ (max k k2) * e s .
  then show ?case
    by auto
qed
qed
qed

next
  case (If P b c1 e1 Q c2)
  from If.IH(1) obtain C1 where ?G (λ l s. P l s ∧ bval b s) c1 Q C1 e1
    by blast
  then obtain k1 where ih1: strip C1 = c1 ∧ vc C1 Q ∧ (∀ l s. P l s ∧
    bval b s → pre C1 Q l s ∧ Q l (postQ C1 s)) ∧ (∀ l s. P l s ∧ bval b s
    → time C1 s ≤ k1 * e1 s)
    by blast
  from If.IH(2) obtain C2 where ?G (λ l s. P l s ∧ ¬bval b s) c2 Q C2
    e1
    by blast
  then obtain k2 where ih2: strip C2 = c2 ∧ vc C2 Q ∧ (∀ l s. P l s ∧
    ¬bval b s → pre C2 Q l s ∧ Q l (postQ C2 s)) ∧ (∀ l s. P l s ∧ ¬bval b s
    → time C2 s ≤ k2 * e1 s)
    by blast
  define k' where k' == max (k1+1) (k2+1)
  show ?case (is ∃ C. ?C C)
  proof
    show ?C(Aif b C1 C2)
    apply(safe)
    prefer 5
    apply(rule exI[where x=k']) apply(safe)
    subgoal for l s apply(auto)
    proof(goal_cases)
      case 1
      with ih1 have time C1 s ≤ k1 * e1 s by blast

```

```

    then have  $Suc \ (time \ C1 \ s) \leq 1 + k1 * e1 \ s$  by auto
    also have  $\dots \leq k' + k1 * e1 \ s$  unfolding  $k\_def$  by (auto)
    also have  $\dots \leq k' + k' * e1 \ s$  unfolding  $k\_def$ 
      by (simp add: max_def)
    finally show ?case .
  next
    case 2
    with ih2 have  $time \ C2 \ s \leq k2 * e1 \ s$  by blast
    then have  $Suc \ (time \ C2 \ s) \leq 1 + k2 * e1 \ s$  by auto
    also have  $\dots \leq k' + k2 * e1 \ s$  unfolding  $k\_def$  by (auto)
    also have  $\dots \leq k' + k' * e1 \ s$  unfolding  $k\_def$ 
      by (simp add: max_def)
    finally show ?case .
  qed
  using ih1 ih2 apply (simp)
  using ih1 ih2 apply (auto)
  done
qed
next
  case (While  $P \ b \ e' \ y \ c \ e'' \ e$ )
  have  $supportPre: support \ (\lambda l \ s. \ P \ l \ s \wedge bval \ b \ s \wedge e' \ s = l \ y) \subseteq support$ 
 $P \cup \{y\}$ 
  using support_and support_single by fast
  from While.IH obtain  $C$  where
     $ih: ?G \ (\lambda l \ s. \ P \ l \ s \wedge bval \ b \ s \wedge e' \ s = l \ y) \ c \ (\lambda a \ b. \ P \ a \ b \wedge e \ b \leq a \ y)$ 
 $C \ e''$ 
  using supportPre by blast
  then obtain  $k$  where  $ih2: vc \ C \ (\lambda a \ b. \ P \ a \ b \wedge e \ b \leq a \ y)$ 
 $\bigwedge l \ s. \llbracket P \ l \ s ; bval \ b \ s ; e' \ s = l \ y \rrbracket \implies pre \ C \ (\lambda la \ sa. \ (P \ la \ sa \wedge e \ sa$ 
 $\leq la \ y)) \ l \ s$ 
 $\bigwedge l \ s. \llbracket P \ l \ s ; bval \ b \ s ; e' \ s = l \ y \rrbracket \implies time \ C \ s \leq k * e'' \ s$ 
 $\bigwedge l \ s. \llbracket P \ l \ s ; bval \ b \ s ; e' \ s = l \ y \rrbracket \implies P \ l \ (postQ \ C \ s) \wedge e \ (postQ \ C \ s)$ 
 $\leq l \ y$ 
by fast

  let  $?S = postQs \ C \ b$ 
  {
    fix  $l \ s \ n$ 
    have  $e \ s = n \implies P \ l \ s \implies postQs\_dom \ (C, \ b, \ s) \wedge P \ l \ (?S \ s) \wedge \sim$ 
 $bval \ b \ (?S \ s)$ 
    proof (induct n arbitrary: l s rule: less_induct)
      case (less x)
      show ?case
      proof (cases bval b s)

```

```

    case True
    with While(2) less(3) have  $1 + e' s + e'' s \leq e s$  by auto
    then have  $e'e: e' s < e s$  by simp
    have  $P (l(y:=e' s)) s$  using less(3) assn2_lupd[OF While(4)] by
simp
    from ih2(4)[OF this] True have  $ee': e (postQ C s) \leq e' s$  and  $P':$ 
 $P (l(y := e' s)) (postQ C s)$  by auto
    from  $P'$  have  $P'': P l (postQ C s)$  using less(3) assn2_lupd[OF
While(4)] by simp
    from  $ee' e'e$  less(2) have  $e (postQ C s) < x$  by auto
    from less(1)[OF this _  $P''$ ] have  $d: postQs\_dom (C, b, postQ C s)$ 
    and  $p: P l (postQs C b (postQ C s))$ 
    and  $b: \neg bval b (postQs C b (postQ C s))$  by auto
    have  $d': postQs\_dom (C, b, s)$ 
    by (simp add:  $d postQs.domintros$ )
    have  $p': P l (postQs C b s)$ 
    using True  $d p postQs.domintros postQs.psimps$  by fastforce
    have  $b': \neg bval b (postQs C b s)$ 
    by (metis  $b d postQs.domintros postQs.pelims$ )

    from  $d' p' b'$  show ?thesis by auto
  next
    case False
    then have  $1: postQs\_dom (C, b, s)$ 
    using  $postQs.domintros$  by blast
    then have  $2: ?S s = s$  using  $postQs.psimps$  False by force
    from 1 2 less(3) False show ?thesis by simp
  qed
}
then have  $Pdom: \bigwedge l s. P l s \implies postQs\_dom (C, b, s) \wedge P l (?S s) \wedge$ 
 $\sim bval b (?S s)$  by simp

have  $S1: \bigwedge l s. P l s \implies P l (?S s)$  using  $Pdom$  by simp
have  $S2: \bigwedge l s. P l s \implies \sim bval b (?S s)$  using  $Pdom$  by simp
have  $S3: \bigwedge l s. P l s \implies bval b s \implies ?S s = ?S (postQ C s)$  using
 $postQs.psimps Pdom$  by simp
have  $S4: \bigwedge l s. P l s \implies \neg bval b s \implies ?S s = s$  using  $postQs.psimps$ 
 $Pdom$  by simp

let  $?w = \{(P, ?S, (\%s. \max k 1 * e s))\}$  WHILE  $b$  DO ( $Aconseq (\lambda l s. P$ 
 $l s \wedge bval b s) (\lambda la sa. P la sa \wedge e sa \leq la y) (time C) C$ )

show ?case (is  $\exists C. ?C C$ )

```

```

proof
  show ?C ?w
  proof (safe, goal_cases)
    case 1
    then show ?case using ih by(simp)
  next
    case 2
    then show ?case
    proof(simp, safe, goal_cases)
      case (1 l s)
      from 2 have z: P (l(y := e' s)) s
        using 1 assn2_lupd[OF While(4)] by metis
      from ih2(3)[where l=l(y := e' s) and s=s]
      have A: time C s ≤ k * e'' s using 1 z by(simp)

      from ih2(4)[where l=l(y := e' s) and s=s]
      have e (postQ C s) ≤ (l(y := e' s)) y apply(simp) using 1 z by(simp)

      then have e (postQ C s) ≤ e' s by simp

      with TQ have B: preT C e s ≤ e' s by simp
      let ?eskal = (λs. max k (Suc 0) * e s)
      have preT C (λs. max k (Suc 0) * e s) s = max k (Suc 0) * preT
C e s
        using preT_linear by simp
      with B have B: preT C ?eskal s ≤ max k (Suc 0) * e' s by auto

      from While.hyps(2) 1 have C: 1 + e' s + e'' s ≤ e s by auto
      have Suc (preT C ?eskal s + time C s) ≤ 1 + (max k 1) * e' s + k
* e'' s
        using A B by linarith
      also have ... ≤ (max k 1) + (max k 1) * e' s + (max k 1) * e'' s
        using nat_mult_max_left by auto
      also have ... = (max k 1) * (1 + e' s + e'' s)
        by algebra
      also have ... ≤ (max k 1) * e s
        using C by (metis mult.assoc mult_le_mono2)
      finally have Suc (preT C ?eskal s + time C s) ≤ ((max k 1) ) * e s
.

    thus ?case by auto
  next
    case (3 l s)
    with While.hyps(3) show ?case by auto
  next

```

```

    case 5
    then show ?case
      apply(rule vc_mono)
      prefer 2 apply(fact ih2(1)) by auto
    next
    case 6
    show ?case apply(rule exI[where x=1]) apply(safe)
      subgoal by simp
      subgoal for l s t apply(rule exI[where x=l(y:=e' s)])

    proof (safe)
      assume 8: P l s and b: bval b s
      then have P (l(y := e' s)) s using assn2_lupd[OF While(4)]
by metis
      with b ih2(2) show pre C (λla sa. P la sa ∧ e sa ≤ la y) (l(y
:= e' s)) s
      apply(auto) done
      fix t
      assume P (l(y := e' s)) t
      thus P l t using assn2_lupd[OF While(4)] by simp
    qed
  done
  qed (simp_all add: S4 S3)
next
  case 6
  show ?case apply(rule exI[where x=k+1]) by auto
  qed (simp_all add: S1 S2)
qed
next
  case (conseq P' e e' P Q Q' c)
  then obtain C k where C: strip C = c
    vc C Q
    (∀ l s . P l s ⟶ pre C Q l s )
    (∀ l s . P l s ⟶ Q l (postQ C s))
    (∀ l s. P l s ⟶ time C s ≤ k * e s) by metis
  from conseq(1) obtain k2 where cons: ∀ l s. P' l s ⟶ e s ≤ k2 * e' s
  ∧ (∀ t. ∃ l'. P l' s ∧ (Q l' t ⟶ Q' l t)) by auto

  show ?case
    apply(rule exI[where x=Aconseq P' Q (time C) C])
    apply(safe)
    subgoal apply(simp) by(fact)
    subgoal apply(simp)
      apply(safe)

```



```

    subgoal using C(2)
      apply(fast) done
    subgoal
      apply(rule exI[where x=k+1])
      apply auto
      using C(2) cons C(3) by blast
    done
  subgoal apply(rule pre_mono)
    prefer 2 apply(simp) using C(3) conseq(1) apply fast
  done
  subgoal
    apply(simp)
    using C(4) conseq(1,3) apply blast done
  apply(rule exI[where x=k*k2]) apply(safe)
  subgoal for l s
    using C(5) cons apply(auto)
  proof(goal_cases)
    case 1
    then have absch:  $e\ s \leq k2 * e'\ s$  time  $C\ s \leq k * e\ s$  by blast+
    show ?case
      using absch order_trans by fastforce
  qed
done
qed
end

```

4.7 The Variables in an Expression

```

theory Vars imports Com
begin

```

We need to collect the variables in both arithmetic and boolean expressions. For a change we do not introduce two functions, e.g. *avars* and *bvars*, but we overload the name *vars* via a *type class*, a device that originated with Haskell:

```

class vars =
fixes vars :: 'a  $\Rightarrow$  vname set

```

This defines a type class “vars” with a single function of (coincidentally) the same name. Then we define two separated instances of the class, one for *aexp* and one for *bexp*:

```

instantiation aexp :: vars
begin

```

```

fun vars_aexp :: aexp  $\Rightarrow$  vname set where
  vars (N n) = {} |
  vars (V x) = {x} |
  vars (Plus a1 a2) = vars a1  $\cup$  vars a2 |
  vars (Times a1 a2) = vars a1  $\cup$  vars a2 |
  vars (Div a1 a2) = vars a1  $\cup$  vars a2

instance ..

end

value vars (Plus (V "x") (V "y"))

instantiation bexp :: vars
begin

fun vars_bexp :: bexp  $\Rightarrow$  vname set where
  vars (Bc v) = {} |
  vars (Not b) = vars b |
  vars (And b1 b2) = vars b1  $\cup$  vars b2 |
  vars (Less a1 a2) = vars a1  $\cup$  vars a2

instance ..

end

value vars (Less (Plus (V "z") (V "y")) (V "x"))

abbreviation
  eq_on :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a set  $\Rightarrow$  bool
  ( $\langle$  ( $\_ = / \_ /$  on  $\_$ )  $\rangle$  [50,0,50] 50) where
  f = g on X ==  $\forall$  x  $\in$  X. f x = g x

lemma aval_eq_if_eq_on_vars[simp]:
  s1 = s2 on vars a  $\implies$  aval a s1 = aval a s2
apply(induction a)
apply simp_all
done

lemma bval_eq_if_eq_on_vars:
  s1 = s2 on vars b  $\implies$  bval b s1 = bval b s2
proof(induction b)
  case (Less a1 a2)

```

hence $aval\ a1\ s_1 = aval\ a1\ s_2$ **and** $aval\ a2\ s_1 = aval\ a2\ s_2$ **by** *simp_all*
thus *?case* **by** *simp*
qed *simp_all*

fun *lvars* :: *com* $\Rightarrow$ *vname set* **where**
lvars *SKIP* = {} |
lvars (*x*::=*e*) = {*x*} |
lvars (*c1*;;*c2*) = *lvars* *c1* $\cup$ *lvars* *c2* |
lvars (*IF* *b* *THEN* *c1* *ELSE* *c2*) = *lvars* *c1* $\cup$ *lvars* *c2* |
lvars (*WHILE* *b* *DO* *c*) = *lvars* *c*

fun *rvars* :: *com* $\Rightarrow$ *vname set* **where**
rvars *SKIP* = {} |
rvars (*x*::=*e*) = *vars* *e* |
rvars (*c1*;;*c2*) = *rvars* *c1* $\cup$ *rvars* *c2* |
rvars (*IF* *b* *THEN* *c1* *ELSE* *c2*) = *vars* *b* $\cup$ *rvars* *c1* $\cup$ *rvars* *c2* |
rvars (*WHILE* *b* *DO* *c*) = *vars* *b* $\cup$ *rvars* *c*

instantiation *com* :: *vars*
begin

definition *vars_com* *c* = *lvars* *c* $\cup$ *rvars* *c*

instance ..

end

lemma *vars_com_simps*[*simp*]:
vars *SKIP* = {}
vars (*x*::=*e*) = {*x*} $\cup$ *vars* *e*
vars (*c1*;;*c2*) = *vars* *c1* $\cup$ *vars* *c2*
vars (*IF* *b* *THEN* *c1* *ELSE* *c2*) = *vars* *b* $\cup$ *vars* *c1* $\cup$ *vars* *c2*
vars (*WHILE* *b* *DO* *c*) = *vars* *b* $\cup$ *vars* *c*
by(*auto simp: vars_com_def*)

end
theory *Nielson_VCGi*
imports *Nielson_Hoare Vars*
begin

4.8 Optimized Verification Condition Generator

Annotated commands: commands where loops are annotated with invariants.

datatype *acom* =

```

  Askip                                ( $\langle \text{SKIP} \rangle$ ) |
  Aassign vname aexp                 ( $\langle \_ ::= \_ \rangle$  [1000, 61] 61) |
  Aseq acom acom                     ( $\langle \_ ;; \_ \rangle$  [60, 61] 60) |
  Aif bexp acom acom                 ( $\langle \text{IF } \_ / \text{ THEN } \_ / \text{ ELSE } \_ \rangle$  [0, 0, 61] 61) |
  Aconseq assn2*(vname set) assn2*(vname set) tbd * (vname set) acom
  ( $\langle \{ \_ / \_ / \_ \} / \text{ CONSEQ } \_ \rangle$  [0, 0, 0, 61] 61) |
  Awhile (assn2*(vname set)*((state  $\Rightarrow$  state)*(tbd*(vname set*(vname  $\Rightarrow$ 
  vname set))))) bexp acom ( $\langle \{ \_ \} / \text{ WHILE } \_ / \text{ DO } \_ \rangle$  [0, 0, 61] 61)

```

notation *com.SKIP* ($\langle \text{SKIP} \rangle$)

Strip annotations:

fun *strip* :: *acom* $\Rightarrow$ *com* **where**

```

  strip SKIP = SKIP |
  strip (x ::= a) = (x ::= a) |
  strip (C1;; C2) = (strip C1;; strip C2) |
  strip (IF b THEN C1 ELSE C2) = (IF b THEN strip C1 ELSE strip C2)
|
  strip ( $\{ \_ / \_ / \_ \} \text{ CONSEQ } C$ ) = strip C |
  strip ( $\{ \_ \} \text{ WHILE } b \text{ DO } C$ ) = (WHILE b DO strip C)

```

support of an expression

definition *supportE* :: (*char list* $\Rightarrow$ *nat*) $\Rightarrow$ (*char list* $\Rightarrow$ *int*) $\Rightarrow$ *nat* $\Rightarrow$ *string set* **where**

```

  supportE P = {x.  $\exists l1\ l2\ s. (\forall y. y \neq x \longrightarrow l1\ y = l2\ y) \wedge P\ l1\ s \neq P\ l2\ s$ }

```

lemma *expr_lupd*: $x \notin \text{supportE } Q \Longrightarrow Q\ (l(x:=n)) = Q\ l$

by(*simp add: supportE_def fun_upd_other fun_eq_iff*)
 (*metis* (*no_types*, *lifting*) *fun_upd_def*)

fun *varacom* :: *acom* $\Rightarrow$ *lname set* **where**

```

  varacom (C1;; C2) = varacom C1  $\cup$  varacom C2
| varacom (IF b THEN C1 ELSE C2) = varacom C1  $\cup$  varacom C2
| varacom ( $\{ (P, \_ ) / (Q \text{annot}, \_ ) / \_ \} \text{ CONSEQ } C$ ) = support P  $\cup$  varacom C
 $\cup$  support Qannot
| varacom ( $\{ ((I, \_ ), (S, (E, Es))) \} \text{ WHILE } b \text{ DO } C$ ) = support I  $\cup$  varacom C

```

| $varacom _ = \{\}$

fun $varnewacom :: acom \Rightarrow lname\ set$ **where**
 $varnewacom (C_1;; C_2) = varnewacom C_1 \cup varnewacom C_2$
| $varnewacom (IF\ b\ THEN\ C_1\ ELSE\ C_2) = varnewacom C_1 \cup varnewacom C_2$
| $varnewacom (\{ _ / _ / _ \} CONSEQ\ C) = varnewacom C$
| $varnewacom (\{(I, (S, (E, Es)))\} WHILE\ b\ DO\ C) = varnewacom C$
| $varnewacom _ = \{\}$

lemma $finite_varnewacom$: $finite (varnewacom C)$
by ($induct\ C$) ($auto$)

fun $wf :: acom \Rightarrow lname\ set \Rightarrow bool$ **where**
 $wf\ SKIP\ _ = True$ |
 $wf\ (x ::= a)\ _ = True$ |
 $wf\ (C_1;; C_2)\ S = (wf\ C_1\ (S \cup varnewacom C_2) \wedge wf\ C_2\ S)$ |
 $wf\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ S = (wf\ C_1\ S \wedge wf\ C_2\ S)$ |
 $wf\ (\{ _ / (Qannot, _) / _ \} CONSEQ\ C)\ S = (finite\ (support\ Qannot) \wedge wf\ C\ S)$ |
 $wf\ (\{ (_, (_, (_, Es))) \} WHILE\ b\ DO\ C)\ S = (wf\ C\ S)$

Weakest precondition from annotated commands:

fun $preT :: acom \Rightarrow tbd \Rightarrow tbd$ **where**
 $preT\ SKIP\ e = e$ |
 $preT\ (x ::= a)\ e = (\lambda s. e(s(x := aval\ a\ s)))$ |
 $preT\ (C_1;; C_2)\ e = preT\ C_1\ (preT\ C_2\ e)$ |
 $preT\ (\{ _ / _ / _ \} CONSEQ\ C)\ e = preT\ C\ e$ |
 $preT\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ e =$
 $(\lambda s. if\ bval\ b\ s\ then\ preT\ C_1\ e\ s\ else\ preT\ C_2\ e\ s)$ |
 $preT\ (\{ (_, (S, _)) \} WHILE\ b\ DO\ C)\ e = e\ o\ S$

lemma $preT_constant$: $preT\ C\ (\% _. a) = (\% _. a)$
by ($induct\ C$, $auto$)

lemma $preT_linear$: $preT\ C\ (\% s. k * e\ s) = (\% s. k * preT\ C\ e\ s)$
by ($induct\ C$ $arbitrary$: e , $auto$)

fun $postQ :: acom \Rightarrow state \Rightarrow state$ **where**
 $postQ\ SKIP\ s = s$ |

$postQ (x ::= a) s = s(x := aval a s) \mid$
 $postQ (C_1;; C_2) s = postQ C_2 (postQ C_1 s) \mid$
 $postQ (\{ _ / _ / _ \} CONSEQ C) s = postQ C s \mid$
 $postQ (IF b THEN C_1 ELSE C_2) s =$
 $(if bval b s then postQ C_1 s else postQ C_2 s) \mid$
 $postQ (\{ (_, (S, _)) \} WHILE b DO C) s = S s$

fun *fune* :: *acom* $\Rightarrow$ *vname set* $\Rightarrow$ *vname set* **where**
fune *SKIP* *LV* = *LV* $\mid$
fune (*x* ::= *a*) *LV* = *LV* $\cup$ *vars a* $\mid$
fune (*C*₁;; *C*₂) *LV* = *fune* *C*₁ (*fune* *C*₂ *LV*) $\mid$
fune ($\{ _ / _ / _ \}$ *CONSEQ* *C*) *LV* = *fune* *C* *LV* $\mid$
fune (*IF* *b* *THEN* *C*₁ *ELSE* *C*₂) *LV* = *vars b* $\cup$ *fune* *C*₁ *LV* $\cup$ *fune* *C*₂
LV $\mid$
fune ($\{ (_, (S, (E, Es, SS))) \}$ *WHILE* *b* *DO* *C*) *LV* = ($\bigcup_{x \in LV} SS x$)

lemma *fune_mono*: $A \subseteq B \implies fune C A \subseteq fune C B$
proof(*induct C arbitrary: A B*)
case (*Awhile* *x1 x2 C*)
obtain *a b c d e f* **where** *a*: $x1 = (a, b, c, d, e)$ **using** *prod_cases5* **by** *blast*
from *Awhile* **show** *?case unfolding a by(auto)*
qed (*auto simp add: le_supI1 le_supI2*)

lemma *TQ*: $preT C e s = e (postQ C s)$
apply(*induct C arbitrary: e s*) **by** (*auto*)

function (*domintros*) *times* :: *state* $\Rightarrow$ *bexp* $\Rightarrow$ *acom* $\Rightarrow$ *nat* **where**
times *s b C* = (*if* *bval b s* *then* *Suc* (*times* (*postQ C s*) *b C*) *else* 0)
apply(*auto*) **done**

lemma *assumes I*: *I z s* **and**
i: $\bigwedge s z. I (Suc z) s \implies bval b s \wedge I z (postQ C s)$
and *ii*: $\bigwedge s. I 0 s \implies \sim bval b s$
shows *times_z*: *times s b C* = *z*
proof –
have $I z s \implies times_dom (s, b, C) \wedge times s b C = z$

```

proof(induct z arbitrary: s)
  case 0
  have A: times_dom (s, b, C)
    apply(rule times.domintros)
    apply(simp add: ii[OF 0]) done
  have B: times s b C = 0
    using times.psims[OF A] by(simp add: ii[OF 0])

  show ?case using A B by simp
next
  case (Suc z)
  from i[OF Suc(2)] have bv: bval b s
    and g: I z (postQ C s) by simp_all
  from Suc(1)[OF g] have p1: times_dom (postQ C s, b, C)
    and p2: times (postQ C s) b C = z by simp_all
  have A: times_dom (s, b, C)
    apply(rule times.domintros) apply(rule p1) done
  have B: times s b C = Suc z
    using times.psims[OF A] bv p2 by simp
  show ?case using A B by simp
qed

then show times s b C = z using I by simp
qed

fun postQz :: acom  $\Rightarrow$  state  $\Rightarrow$  nat  $\Rightarrow$  state where
  postQz C s 0 = s |
  postQz C s (Suc n) = (postQz C (postQ C s) n)

fun preTz :: acom  $\Rightarrow$  tbd  $\Rightarrow$  nat  $\Rightarrow$  tbd where
  preTz C e 0 = e |
  preTz C e (Suc n) = preT C (preTz C e n)

lemma TzQ: preTz C e n s = e (postQz C s n)
  by (induct n arbitrary: s, simp_all add: TQ)

  Weakest precondition from annotated commands:

fun pre :: acom  $\Rightarrow$  assn2  $\Rightarrow$  assn2 where
  pre SKIP Q = Q |
  pre (x ::= a) Q = ( $\lambda$  l s. Q l (s(x := aval a s))) |
  pre (C1;; C2) Q = pre C1 (pre C2 Q) |
  pre ({(P',Ps)/_/_} CONSEQ C) Q = P' |

```

$pre (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ Q =$
 $(\lambda l\ s.\ if\ bval\ b\ s\ then\ pre\ C_1\ Q\ l\ s\ else\ pre\ C_2\ Q\ l\ s) \mid$
 $pre\ (\{((I,Is),(S,(E,Es,SS)))\}\ WHILE\ b\ DO\ C)\ Q = I$

fun $qdeps :: acom \Rightarrow vname\ set \Rightarrow vname\ set$ **where**
 $qdeps\ SKIP\ LV = LV \mid$
 $qdeps\ (x ::= a)\ LV = LV \cup vars\ a \mid$
 $qdeps\ (C_1;;\ C_2)\ LV = qdeps\ C_1\ (qdeps\ C_2\ LV) \mid$
 $qdeps\ (\{(P',Ps)/_/_\}\ CONSEQ\ C)\ _ = Ps \mid$
 $qdeps\ (IF\ b\ THEN\ C_1\ ELSE\ C_2)\ LV = vars\ b \cup qdeps\ C_1\ LV \cup qdeps\ C_2\ LV \mid$
 $qdeps\ (\{((I,Is),(S,(E,x,Es)))\}\ WHILE\ b\ DO\ C)\ _ = Is$

lemma $qdeps_mono: A \subseteq B \implies qdeps\ C\ A \subseteq qdeps\ C\ B$
by (*induct C arbitrary: A B, auto simp: le_supI1 le_supI2*)

lemma $supportE_if: supportE\ (\lambda l\ s.\ if\ b\ s\ then\ A\ l\ s\ else\ B\ l\ s)$
 $\subseteq supportE\ A \cup supportE\ B$
unfolding $supportE_def$ **apply**(*auto*)
by *metis+*

lemma $supportE_preT: supportE\ (\%l.\ preT\ C\ (e\ l)) \subseteq supportE\ e$

proof(*induct C arbitrary: e*)

case (*Aif b C1 C2 e*)
show *?case*
apply(*simp*)
apply(*rule subset_trans[OF supportE_if]*)
using *Aif* **by** *fast*

next

case (*Awhile A y C e*)
obtain $I\ S\ E\ x$ **where** $A: A = (I, S, E, x)$ **using** *prod_cases4* **by** *blast*
show *?case* **using** A **apply**(*simp*) **unfolding** $supportE_def$
by *blast*

next

case (*Aseq*)
then show *?case* **by** *force*

qed (*simp_all add: supportE_def, blast*)

lemma $supportE_twicepreT: supportE\ (\%l.\ preT\ C1\ (preT\ C2\ (e\ l))) \subseteq supportE\ e$

by (*rule subset_trans[OF supportE_preT supportE_preT]*)


```

lemma supportE_preTz: supportE (%l. preTz C (e l) n)  $\subseteq$  supportE e
proof (induct n)
  case (Suc n)
  show ?case
    apply(simp)
    apply(rule subset_trans[OF supportE_preT])
    by fact
qed simp

```

```

lemma supportE_preTz_Un:
  supportE ( $\lambda$ l. preTz C (e l) (l x))  $\subseteq$  insert x (UN n. supportE ( $\lambda$ l. preTz
  C (e l) n))
  apply(auto simp add: supportE_def subset_iff)
  apply metis
  done

```

```

lemma support_eq: support ( $\lambda$ l s. l x = E l s)  $\subseteq$  supportE E  $\cup$  {x}
  unfolding support_def supportE_def
  apply(auto)
  apply blast
  by metis

```

```

lemma support_impl_in: G e  $\longrightarrow$  support ( $\lambda$ l s. H e l s)  $\subseteq$  T
 $\impl$  support ( $\lambda$ l s. G e  $\longrightarrow$  H e l s)  $\subseteq$  T
  unfolding support_def apply(auto)
  apply blast+ done

```

```

lemma support_supportE:  $\bigwedge$ P e. support ( $\lambda$ l s. P (e l) s)  $\subseteq$  supportE e
  unfolding support_def supportE_def
  apply(rule subsetI)
  apply(simp)
proof (clarify, goal_cases)
  case (1 P e x l1 l2 s)
  have P:  $\forall$ s. e l1 s = e l2 s  $\impl$  e l1 = e l2 by fast
  show  $\exists$ l1 l2. ( $\forall$ y. y  $\neq$  x  $\longrightarrow$  l1 y = l2 y)  $\wedge$  ( $\exists$ s. e l1 s  $\neq$  e l2 s)
    apply(rule exI[where x=l1])
    apply(rule exI[where x=l2])
    apply(safe)
    using 1 apply blast
    apply(rule ccontr)
    apply(simp)

```

using 1(2) P by force
qed

lemma *support_pre*: *support* (*pre C Q*) $\subseteq$ *support Q* $\cup$ *varacom C*
proof (*induct C arbitrary: Q*)
 case (*Awhile A b C Q*)
 obtain *I2 S E Es SS* **where** *A*: *A* = (*I2*, (*S*, (*E*, *Es*, *SS*))) **using** *prod_cases5*
by *blast*
 obtain *I Is* **where** *I2* = (*I*, *Is*) **by** *fastforce*
 note *A=this A*
 have *support_inv*: $\bigwedge P. \text{support } (\lambda l s. P s) = \{\}$
 unfolding *support_def* **by** *blast*
 show ?*case* **unfolding** *A* **by** (*auto*)
next
 case (*Aseq C1 C2*)
 then show ?*case* **by** (*auto*)
next
 case (*Aif x C1 C2 Q*)
 have *s1*: *support* ($\lambda l s. \text{bval } x s \longrightarrow \text{pre } C1 Q l s$) $\subseteq$ *support Q* $\cup$ *varacom C1*
 apply(*rule subset_trans[OF support_impl]*) **by**(*rule Aif*)
 have *s2*: *support* ($\lambda l s. \sim \text{bval } x s \longrightarrow \text{pre } C2 Q l s$) $\subseteq$ *support Q* $\cup$ *varacom C2*
 apply(*rule subset_trans[OF support_impl]*) **by**(*rule Aif*)

 show ?*case* **apply**(*simp*)
 apply(*rule subset_trans[OF support_and]*)
 using *s1 s2* **by** *blast*
next
 case (*Aconseq x1 x2 x3 C*)
 obtain *a b c d e f* **where** *x1* = (*a*, *b*) *x2* = (*c*, *d*) *x3* = (*e*, *f*) **by** *force*
 with *Aconseq* **show** ?*case* **by** *auto*
qed (*auto simp add: support_def*)

lemma *finite_support_pre*: *finite* (*support Q*) $\implies$ *finite* (*varacom C*) $\implies$ *finite* (*support* (*pre C Q*))
 using *finite_subset support_pre finite_UnI* **by** *metis*

fun *time* :: *acom* $\Rightarrow$ *tbd* **where**
 time SKIP = (%*s*. *Suc 0*) |
 time (*x ::= a*) = (%*s*. *Suc 0*) |
 time (*C1;; C2*) = (%*s*. *time C1 s* + *preT C1 (time C2) s*) |
 time ({*_/_*/(*e*, *es*)} *CONSEQ C*) = *e* |

$time (IF\ b\ THEN\ C_1\ ELSE\ C_2) =$
 $(\lambda s. \text{ if bval } b\ s\ \text{ then } 1 + time\ C_1\ s\ \text{ else } 1 + time\ C_2\ s) \mid$
 $time (\{(_,(E',(E,x)))\}\ WHILE\ b\ DO\ C) = E$

fun *kdeps* :: *acom* $\Rightarrow$ *vname set* **where**
 $kdeps\ SKIP = \{\}$ |
 $kdeps\ (x ::= a) = \{\}$ |
 $kdeps\ (C_1;;\ C_2) = kdeps\ C_1 \cup\ fune\ C_1\ (kdeps\ C_2) \mid$
 $kdeps\ (IF\ b\ THEN\ C_1\ ELSE\ C_2) =\ vars\ b \cup\ kdeps\ C_1 \cup\ kdeps\ C_2 \mid$
 $kdeps\ (\{(_,(E',(E,Es,SS)))\}\ WHILE\ b\ DO\ C) = Es \mid$
 $kdeps\ (\{_/_/(e,es)\}\ CONSEQ\ C) = es$

lemma *supportE_single*: *supportE* ($\lambda l\ s.\ P$) = $\{\}$
unfolding *supportE_def* **by** *blast*

lemma *supportE_plus*: *supportE* ($\lambda l\ s.\ e1\ l\ s + e2\ l\ s$) $\subseteq$ *supportE* *e1* $\cup$
supportE *e2*
unfolding *supportE_def* **apply**(*auto*)
by *metis*

lemma *supportE_Suc*: *supportE* ($\lambda l\ s.\ Suc\ (e1\ l\ s)$) = *supportE* *e1*
unfolding *supportE_def* **by** (*auto*)

lemma *supportE_single2*: *supportE* ($\lambda l.\ P$) = $\{\}$
unfolding *supportE_def* **by** *blast*

lemma *supportE_time*: *supportE* ($\lambda l.\ time\ C$) = $\{\}$
using *supportE_single2* **by** *simp*

lemma $\bigwedge s. (\forall l. I\ (l(x:=0))\ s) = (\forall l. l\ x = 0 \longrightarrow I\ l\ s)$
apply(*auto*)
by (*metis fun_upd_triv*)

lemma $\bigwedge s. (\forall l. I\ (l(x:=Suc\ (l\ x)))\ s) = (\forall l. (\exists n. l\ x = Suc\ n) \longrightarrow I\ l\ s)$
apply(*auto*)
proof (*goal_cases*)
case ($1\ s\ l\ n$)
then have $\bigwedge l. I\ (l(x := Suc\ (l\ x)))\ s$ **by** *simp*
from *this*[**where** $l=l(x:=n)$]

```

have  $I ((l(x:=n))(x := \text{Suc } ((l(x:=n)) x)))$  s by simp
then show ?case using  $1(2)$  apply(simp)
by (metis fun_upd_triv)
qed

```

Verification condition:

definition *funStar* **where** $\text{funStar } f = (\%x. \{y. (x,y) \in \{(x,y). y \in f x\}^*\})$

lemma *funStart_prop1*: $x \in (\text{funStar } f) x$ **unfolding** *funStar_def* **by** *auto*

lemma *funStart_prop2*: $f x \subseteq (\text{funStar } f) x$ **unfolding** *funStar_def* **by** *auto*

fun *vc* :: *acom* $\Rightarrow$ *assn2* $\Rightarrow$ *vname set* $\Rightarrow$ *vname set* $\Rightarrow$ *bool* **where**

vc SKIP Q *__* = *True* |

vc ($x ::= a$) *Q* *__* = *True* |

vc ($C_1 ;; C_2$) *Q LVQ LVE* = (*vc* C_1 (*pre* C_2 *Q*) (*qdeps* C_2 *LVQ*) (*fune* C_2 *LVE* $\cup$ *kdeps* C_2)) $\wedge$ (*vc* C_2 *Q LVQ LVE*)) |

vc (*IF* *b THEN* C_1 *ELSE* C_2) *Q LVQ LVE* = (*vc* C_1 *Q LVQ LVE* $\wedge$ *vc* C_2 *Q LVQ LVE*) |

vc ($\{(P',Ps)/(Q,Qs)/(e',es)\}$ *CONSEQ C*) *Q' LVQ LVE* = (*vc* C *Q Qs LVE* — *evtl LV weglassen - glaub eher nicht*

$\wedge (\forall s1 s2 l. (\forall x \in Ps. s1 x = s2 x) \longrightarrow P' l s1 = P' l s2)$ —

annotation *Ps* (the set of variables *P'* depends on) is correct

$\wedge (\forall s1 s2 l. (\forall x \in Qs. s1 x = s2 x) \longrightarrow Q l s1 = Q l s2)$ —

annotation *Qs* (the set of variables *Q* depends on) is correct

$\wedge (\forall s1 s2. (\forall x \in es. s1 x = s2 x) \longrightarrow e' s1 = e' s2)$ —

annotation *es* (the set of variables *e'* depends on) is correct

$\wedge (\exists k > 0. (\forall l s. P' l s \longrightarrow \text{time } C s \leq k * e' s \wedge (\forall t. \exists l'. (\text{pre } C Q) l' s \wedge (Q l' t \longrightarrow Q' l t))))$) |

vc ($\{(I,Is),(S,(E,es,SS))\}$ *WHILE b DO C*) *Q LVQ LVE* = ($(\forall s1 s2 l. (\forall x \in Is. s1 x = s2 x) \longrightarrow I l s1 = I l s2)$ — annotation *Is* is correct

$\wedge (\forall y \in LVE \cup LVQ. (\text{let } Ss = SS \text{ y in } (\forall s1 s2. (\forall x \in Ss. s1 x = s2 x) \longrightarrow (S s1) y = (S s2) y)))$ — annotation *SS* is correct, for only one step

$\wedge (\forall s1 s2. (\forall x \in es. s1 x = s2 x) \longrightarrow E s1 = E s2)$ —

annotation *es* (the set of variables *E* depends on) is correct

$\wedge (\forall l s. (I l s \wedge \text{bval } b s \longrightarrow \text{pre } C I l s \wedge E s \geq 1 + \text{preT } C E s + \text{time } C s$

$\wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (\text{funStar } SS) y). (S s) v = (S (\text{postQ } C s)) v)$

) $\wedge$

$(I l s \wedge \neg \text{bval } b s \longrightarrow Q l s \wedge E s \geq 1 \wedge (\forall v \in (\bigcup y \in LVE \cup LVQ. (\text{funStar } SS) y). (S s) v = s v))$) $\wedge$

vc $C I Is (es \cup (\bigcup y \in LVE. (\text{funStar } SS) y))$)

4.8.1 Soundness:

abbreviation $preSet\ U\ C\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e,v) \Rightarrow l\ x = preT\ C\ e\ s))$

abbreviation $postSet\ U\ l\ s == (Ball\ U\ (\%u. case\ u\ of\ (x,e,v) \Rightarrow l\ x = e\ s))$

fun *ListUpdate* **where**

ListUpdate $f\ []\ l = f$

| *ListUpdate* $f\ ((x,e,v)\#xs)\ q = (ListUpdate\ f\ xs\ q)(x:=q\ e\ x)$

lemma *allg*:

assumes $U2: \bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l$

shows

$fst\ 'set\ xs \subseteq fst\ 'upds \Longrightarrow A\ (ListUpdate\ l''\ xs\ q) = A\ l''$

proof (*induct xs*)

case (*Cons a xs*)

obtain $x\ e\ v$ **where** $axe: a = (x,e,v)$

using *prod_cases3* **by** *blast*

have $A\ (ListUpdate\ l''\ (a\ \#xs)\ q)$

$= A\ ((ListUpdate\ l''\ xs\ q)(x := q\ e\ x))$ **unfolding** axe **by** (*simp*)

also have

$\dots = A\ (ListUpdate\ l''\ xs\ q)$

apply(*rule U2*)

using *Cons axe* **by** *force*

also have $\dots = A\ l''$

using *Cons* **by** *force*

finally show *?case* .

qed *simp*

fun *ListUpdateE* **where**

ListUpdateE $f\ [] = f$

| *ListUpdateE* $f\ ((x,e,v)\#xs) = (ListUpdateE\ f\ xs)(x:=e)$

lemma *ListUpdate_E*: $ListUpdateE\ f\ xs = ListUpdate\ f\ xs\ (\%e\ x. e)$

apply(*induct xs*) **apply**(*simp_all*)

subgoal for $a\ xs$ **apply**(*cases a*) **apply**(*simp*) **done**

done

lemma *allg_E*: **fixes** $A::assn2$

assumes

$(\bigwedge l\ s\ n\ x. x \in fst\ 'upds \Longrightarrow A\ (l(x := n)) = A\ l)\ fst\ 'set\ xs \subseteq fst\ 'upds$

shows $A\ (ListUpdateE\ f\ xs) = A\ f$

proof –

```

have A (ListUpdate f xs (%e x. e)) = A f
  apply(rule allg)
  apply fact+ done
then show ?thesis by(simp only: ListUpdate_E)
qed

```

```

lemma ListUpdateE_updates: distinct (map fst xs)  $\implies$   $x \in \text{set } xs \implies$ 
ListUpdateE l'' xs (fst x) = fst (snd x)
proof (induct xs)
  case Nil
  then show ?case apply(simp) done
next
  case (Cons a xs)
  show ?case
  proof (cases fst a = fst x)
    case True
    then obtain y e v where a: a=(y,e,v)
      using prod_cases3 by blast
    with True have fstx: fst x=y by simp
    from Cons(2,3) fstx a have a2: x=a
      by force
    show ?thesis unfolding a2 a by(simp)
  next
    case False
    with Cons(3) have A:  $x \in \text{set } xs$  by auto
    then obtain y e v where a: a=(y,e,v)
      using prod_cases3 by blast
    from Cons(2) have B: distinct (map fst xs) by simp
    from Cons(1)[OF B A] False
      show ?thesis unfolding a by(simp)
  qed
qed

```

```

lemma ListUpdate_updates:  $x \in \text{fst } ( \text{set } xs ) \implies \text{ListUpdate } l'' xs (%e. l)$ 
 $x = l x$ 
proof(induct xs)
  case Nil
  then show ?case by(simp)
next
  case (Cons a xs)
  obtain q p v where axe: a = (p,q,v)
    using prod_cases3 by blast
  from Cons show ?case unfolding axe

```

```

    apply(cases x=p)
    by(simp_all)
qed

```

abbreviation *lesvars* $xs == fst \text{ ` } (set \ xs)$

```

fun preList where
  preList [] C l s = True
| preList ((x,(e,v))#xs) C l s = (l x = preT C e s  $\wedge$  preList xs C l s)

```

lemma *preList_Seq*: $preList \ upds \ (C1;; \ C2) \ l \ s = preList \ (map \ (\lambda(x, \ e, \ v). \ (x, \ preT \ C2 \ e, \ fune \ C2 \ v)) \ upds) \ C1 \ l \ s$

```

proof (induct upds)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  obtain y e v where a: a=(y,(e,v))
  using prod_cases3 by blast
  from Cons show ?case unfolding a by (simp)
qed

```

lemma [*simp*]: $support \ (\lambda a \ b. \ True) = \{\}$
unfolding support_def
by fast

lemma *support_preList*: $support \ (preList \ upds \ C1) \subseteq lesvars \ upds$

```

proof (induct upds)
  case Nil
  then show ?case by simp
next
  case (Cons a upds)
  obtain y e v where a: a=(y,(e,v))
  using prod_cases3 by blast
  from Cons show ?case unfolding a apply (simp)
  apply(rule subset_trans[OF support_and])
  apply(rule Un_least)
  subgoal apply(rule subset_trans[OF support_eq])
  using supportE_twicepreT subset_trans supportE_single2 by simp
  subgoal by auto
  done
qed

```

```

lemma preListpreSet: preSet (set xs) C l s  $\implies$  preList xs C l s
proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  obtain y e v where a: a=(y,(e,v))
  using prod_cases3 by blast
  from Cons show ?case unfolding a by (simp)
qed

```

```

lemma preSetpreList: preList xs C l s  $\implies$  preSet (set xs) C l s
proof (induct xs)
  case (Cons a xs)
  obtain y e v where a: a=(y,(e,v))
  using prod_cases3 by blast
  from Cons show ?case unfolding a
  by(simp)
qed simp

```

```

lemma preSetpreList_eq: preList xs C l s = preSet (set xs) C l s
proof (induct xs)
  case (Cons a xs)
  obtain y e v where a: a=(y,(e,v))
  using prod_cases3 by blast
  from Cons show ?case unfolding a
  by(simp)
qed simp

```

```

fun postList where
  postList [] l s = True
| postList ((x,e,v)#xs) l s = (l x = e s  $\wedge$  postList xs l s)

```

```

lemma postList xs l s = (foldr ( $\lambda(x,e,v)$  acc l s. l x = e s  $\wedge$  acc l s) xs (%l
s. True)) l s
apply(induct xs) apply(simp) by (auto)

```

```

lemma support_postList: support (postList xs)  $\subseteq$  lesvars xs
proof (induct xs)
  case (Cons a xs)

```



```

obtain  $y\ e\ v$  where  $a: a=(y,(e,v))$ 
  using prod_cases3 by blast
from Cons show  $?case$  unfolding  $a$ 
  apply(simp) apply(rule subset_trans[OF support_and])
  apply(rule Un_least)
  subgoal apply(rule subset_trans[OF support_eq])
    using supportE_twicepreT subset_trans supportE_single2 by simp
  subgoal by(auto)
  done
qed simp

```

```

lemma postList_preList: postList ( $\lambda(x, e, v). (x, \text{preT } C2\ e, \text{fune } C2\ v)$ ) upds)  $l\ s = \text{preList } \text{upds } C2\ l\ s$ 
proof (induct upds)
  case (Cons a xs)
    obtain  $y\ e\ v$  where  $a: a=(y,(e,v))$ 
      using prod_cases3 by blast
    from Cons show  $?case$  unfolding  $a$ 
      by(simp)
qed simp

```

```

lemma postSetpostList: postList xs l s  $\implies$  postSet (set xs)  $l\ s$ 
proof (induct xs)
  case (Cons a xs)
    obtain  $y\ e\ v$  where  $a: a=(y,(e,v))$ 
      using prod_cases3 by blast
    from Cons show  $?case$  unfolding  $a$ 
      by(simp)
qed simp

```

```

lemma postListpostSet: postSet (set xs)  $l\ s \implies \text{postList } xs\ l\ s$ 
proof (induct xs)
  case (Cons a xs)
    obtain  $y\ e\ v$  where  $a: a=(y,(e,v))$ 
      using prod_cases3 by blast
    from Cons show  $?case$  unfolding  $a$ 
      by(simp)
qed simp

```

```

lemma postListpostSet2: postList xs l s = postSet (set xs)  $l\ s$ 
  using postListpostSet postSetpostList by metis

```

lemma *ListAskip*: $preList\ xs\ Askip\ l\ s = postList\ xs\ l\ s$
apply(*induct xs*)
apply(*simp*) **by** *force*

lemma *SetAskip*: $preSet\ U\ Askip\ l\ s = postSet\ U\ l\ s$
by *simp*

lemma *ListAassign*: $preList\ upds\ (Aassign\ x1\ x2)\ l\ s = postList\ upds\ l\ (s[x2/x1])$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAassign*: $preSet\ U\ (Aassign\ x1\ x2)\ l\ s = postSet\ U\ l\ (s[x2/x1])$
by *simp*

lemma *ListAconseq*: $preList\ upds\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preList\ upds\ C\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAconseq*: $preSet\ U\ (Aconseq\ x1\ x2\ x3\ C)\ l\ s = preSet\ U\ C\ l\ s$
by *simp*

lemma *ListAif1*: $bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C1\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAif1*: $bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C1\ l\ s$
apply(*simp*) **done**

lemma *ListAif2*: $\sim bval\ b\ s \implies preList\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preList\ upds\ C2\ l\ s$
apply(*induct upds*)
apply(*simp*) **by** *force*

lemma *SetAif2*: $\sim bval\ b\ s \implies preSet\ upds\ (IF\ b\ THEN\ C1\ ELSE\ C2)\ l\ s = preSet\ upds\ C2\ l\ s$
apply(*simp*) **done**

definition *K* **where** $K\ C\ LVQ\ Q == (\forall\ l\ s1\ s2. s1 = s2\ on\ qdeps\ C\ LVQ\ Q)$

$\longrightarrow \text{pre } C \ Q \ l \ s1 = \text{pre } C \ Q \ l \ s2)$

definition $K2$ **where** $K2 \ C \ e \ Es \ Q == (\forall s1 \ s2. \ s1 = s2 \text{ on } \text{fune } C \ Es$
 $\longrightarrow \text{preT } C \ e \ s1 = \text{preT } C \ e \ s2)$

definition $K3$ **where** $K3 \ \text{upds } C \ Q = (\forall (a,b,c) \in \text{set upds}. \ K2 \ C \ b \ c \ Q)$

definition $K4$ **where** $K4 \ \text{upds } LV \ C \ Q = (K \ C \ LV \ Q \wedge K3 \ \text{upds } C \ Q \wedge$
 $(\forall s1 \ s2. \ s1 = s2 \text{ on } \text{kdeps } C \longrightarrow \text{time } C \ s1 = \text{time } C \ s2))$

lemma $k4If$: $K4 \ \text{upds } LVQ \ C1 \ Q \Longrightarrow K4 \ \text{upds } LVQ \ C2 \ Q \Longrightarrow K4 \ \text{upds}$
 $LVQ \ (IF \ b \ THEN \ C1 \ ELSE \ C2) \ Q$

proof –

have fl : $\bigwedge A \ B \ s1 \ s2. \ A \subseteq B \Longrightarrow s1 = s2 \text{ on } B \Longrightarrow s1 = s2 \text{ on } A$ **by**
 $auto$

assume $K4 \ \text{upds } LVQ \ C1 \ Q \ K4 \ \text{upds } LVQ \ C2 \ Q$

then show $K4 \ \text{upds } LVQ \ (IF \ b \ THEN \ C1 \ ELSE \ C2) \ Q$

unfolding $K4_def \ K_def \ K3_def \ K2_def$ **using** $bval_eq_if_eq_on_vars$
 fl **apply** $auto$

apply $blast+$ **done**

qed

4.8.2 Soundness

lemma vc_sound : $vc \ C \ Q \ LVQ \ LVE \Longrightarrow \text{finite } (\text{support } Q)$

$\Longrightarrow \text{fst } '(\text{set upds}) \cap \text{varacom } C = \{\} \Longrightarrow \text{distinct } (\text{map } \text{fst upds})$

$\Longrightarrow \text{finite } (\text{varacom } C)$

$\Longrightarrow (\forall l \ s1 \ s2. \ s1 = s2 \text{ on } LVQ \longrightarrow Q \ l \ s1 = Q \ l \ s2)$

$\Longrightarrow (\forall l \ s1 \ s2. \ s1 = s2 \text{ on } LVE \longrightarrow \text{postList upds } l \ s1 = \text{postList upds } l$
 $s2)$

$\Longrightarrow (\forall (a,b,c) \in \text{set upds}. \ (\forall s1 \ s2. \ s1 = s2 \text{ on } c \longrightarrow b \ s1 = b \ s2))$ —

c are really the variables b depends on

$\Longrightarrow (\bigcup (a,b,c) \in \text{set upds}. \ c) \subseteq LVE$ — in LV

are all the variables that the expressions in $upds$ depend on

$\Longrightarrow \vdash_1 \{ \%l \ s. \ \text{pre } C \ Q \ l \ s \wedge \text{preList upds } C \ l \ s \} \text{ strip } C \{ \text{time } C \Downarrow \%l \ s. \}$

$Q \ l \ s \wedge \text{postList upds } l \ s \}$

$\wedge ((\forall l \ s. \ \text{pre } C \ Q \ l \ s \longrightarrow Q \ l \ (\text{postQ } C \ s)) \wedge K4 \ \text{upds } LVQ \ C \ Q)$

proof(*induction* C *arbitrary*: $Q \ \text{upds } LVE \ LVQ$)

case ($Askip \ Q \ \text{upds}$)

then show $?case$ **unfolding** $K4_def \ K_def \ K3_def \ K2_def$

apply($auto$)

apply($\text{rule } \text{weaken_post}$ [**where** $Q = \%l \ s. \ Q \ l \ s \wedge \text{preList upds } Askip \ l$
 $s]$)

apply($\text{simp add: } Skip$) **using** $ListAskip$

```

    by fast
next
  case (Aassign x1 x2 Q upds)
  then show ?case unfolding K_def apply(safe) apply(auto simp add:
Assign)[1]
    apply(rule weaken_post[where Q=%l s. Q l s ∧ postList upds l s])
    apply(simp only: ListAassign)
    apply(rule Assign) apply simp
    apply(simp only: postQ.simps pre.simps) apply(auto)
    unfolding K4_def K2_def K3_def K_def by (auto)
next
  case (Aif b C1 C2 Q upds )
  from Aif(3) have 1: vc C1 Q LVQ LVE and 2: vc C2 Q LVQ LVE by
auto
  have T:  $\bigwedge l s. \text{pre } C1 \ Q \ l \ s \implies \text{bval } b \ s \implies Q \ l \ (\text{postQ } C1 \ s)$ 
    and kT: K4 upds LVQ C1 Q
    using Aif(1)[OF 1 Aif(4) _ Aif(6)] Aif(5-11) by auto
  have F:  $\bigwedge l s. \text{pre } C2 \ Q \ l \ s \implies \neg \text{bval } b \ s \implies Q \ l \ (\text{postQ } C2 \ s)$ 
    and kF: K4 upds LVQ C2 Q
    using Aif(2)[OF 2 Aif(4) _ Aif(6)] Aif(5-11) by auto

  show ?case apply(safe)
  subgoal
    apply(simp)
    apply(rule If2[where e= $\lambda a. \text{if } \text{bval } b \ a \text{ then } \text{time } C1 \ a \text{ else } \text{time } C2$ 
a])
  subgoal
    apply(simp cong: rev_conj_cong)
    apply(rule ub_cost[where e'=time C1])
    apply(simp) apply(auto)[1]
    apply(rule strengthen_pre[where P=%l s. pre C1 Q l s ∧ preList upds
C1 l s])
      using ListAif1
      apply fast
      apply(rule Aif(1)[THEN conjunct1])
      using Aif
      apply(auto)
    done
  subgoal
    apply(simp cong: rev_conj_cong)
    apply(rule ub_cost[where e'=time C2])
    apply(simp) apply(auto)[1]
    apply(rule strengthen_pre[where P=%l s. pre C2 Q l s ∧ preList upds
C2 l s])

```

```

    using ListAif2
    apply fast
    apply(rule Aif(2)[THEN conjunct1])
    using Aif
    apply(auto)
    done
    by simp
    using T F kT kF by (auto intro: k4If)
next
  case (Aconseq P'2 Qannot2 eannot2 C Q upds)
  obtain P' Ps where [simp]: P'2 = (P',Ps) by fastforce
  obtain Qannot Q's where [simp]: Qannot2 = (Qannot,Q's) by fastforce
  obtain eannot es where [simp]: eannot2 = (eannot,es) by fastforce

  have ih0: finite (support Qannot) using Aconseq(3,6) by simp

  from ⟨vc ({P'2/Qannot2/eannot2} CONSEQ C) Q LVQ LVE⟩
  obtain k where k0: k>0 and ih1: vc C Qannot Q's LVE
    and ih2: (∀ l s. P' l s ⟶ time C s ≤ k * eannot s ∧ (∀ t. ∃ l'. pre C
Qannot l' s ∧ (Qannot l' t ⟶ Q l t)))
    and pc: (∀ s1 s2 l. (∀ x∈Ps. s1 x=s2 x) ⟶ P' l s1 = P' l s2)
    and qc: (∀ s1 s2 l. (∀ x∈Q's. s1 x=s2 x) ⟶ Qannot l s1 = Qannot l
s2)
    and ec: (∀ s1 s2. (∀ x∈es. s1 x=s2 x) ⟶ eannot s1 = eannot s2)
  by auto
  have k: ⊢1 {λ l s. pre C Qannot l s ∧ preList upds C l s} strip C { time
C ↓ λ l s. Qannot l s ∧ postList upds l s}
    ∧ ((∀ l s. pre C Qannot l s ⟶ Qannot l (postQ C s)) ∧ K4 upds Q's C
Qannot)
  apply(rule Aconseq(1)) using Aconseq(2-10) by auto

  note ih=k[THEN conjunct1] and ihsnd=k[THEN conjunct2]

  show ?case apply(simp, safe)
    apply(rule conseq[where e=time C and P=λ l s. pre C Qannot l s ∧
preList upds C l s and Q=%l s. Qannot l s ∧ postList upds l s])
    prefer 2
    apply(rule ih)
  subgoal apply(rule exI[where x=k])
  proof (safe, goal_cases)
    case (1)
    with k0 show ?case by auto
  next
    case (2 l s)

```

```

    then show ?case using ih2 by simp
next
  case (3 l s t)
  have finupds: finite (set upds) by simp
  {
    fix l s n x
    assume x ∈ fst ' (set upds)
    then have x ∉ support (pre C Qannot) using Aconseq(4) support_pre
  by auto
    from assn2_lupd[OF this] have pre C Qannot (l(x := n)) = pre C
Qannot l .
  } note U2=this
  {
    fix l s n x
    assume x ∈ fst ' (set upds)
    then have x ∉ support Qannot using Aconseq(4) by auto
    from assn2_lupd[OF this] have Qannot (l(x := n)) = Qannot l .
  } note K2=this

  from ih2 3(1) have *: (∃ l'. pre C Qannot l' s ∧ (Qannot l' t → Q l
t)) by simp
  obtain l' where i': pre C Qannot l' s and ii': (Qannot l' t → Q l t)
  and lxlx: ∧x. x ∈ fst ' (set upds) ⇒ l' x = l x
  proof (goal_cases)
    case 1
    from * obtain l'' where i': pre C Qannot l'' s and ii': (Qannot l''
t → Q l t)
    by blast

    note allg=allg[where q=%e x. l x]

    have pre C Qannot (ListUpdate l'' upds (λe. l)) = pre C Qannot l''

    apply(rule allg[where ?upds=set upds]) apply(rule U2) apply
fast by fast
    with i' have U: pre C Qannot (ListUpdate l'' upds (λe. l)) s by
simp

    have Qannot (ListUpdate l'' upds (λe. l)) = Qannot l''
    apply(rule allg[where ?upds=set upds]) apply(rule K2) apply
fast by fast

    then have K: (%l' s. Qannot l' t → Q l t) (ListUpdate l'' upds (λe.
l)) s = (%l' s. Qannot l' t → Q l t) l'' s

```

```

      by simp
    with ii' have K: (Qannot (ListUpdate l'' upds (λe. l)) t → Q l t)
  by simp

  {
    fix x
    assume as: x ∈ fst ' (set upds)
    have ListUpdate l'' upds (λe. l) x = l x
      apply(rule ListUpdate_updates)
      using as by fast
  } note kla=this

  show thesis
    apply(rule 1)
    apply(fact U)
    apply(fact K)
    apply(fact kla)
  done
qed

let ?upds' = set (map (%(x,e,v). (x,preT C e s,fune C v)) upds)
have finite ?upds' by simp
define xs where xs = map (%(x,e,v). (x,preT C e s,fune C v)) upds
then have set xs = ?upds' by simp

have pre C Qannot (ListUpdateE l' xs) = pre C Qannot l'
  apply(rule allg_E[where ?upds=?upds']) apply(rule U2)
  apply force unfolding xs_def by simp
with i' have U: pre C Qannot (ListUpdateE l' xs) s by simp

have Qannot (ListUpdateE l' xs) = Qannot l'
  apply(rule allg_E[where ?upds=?upds']) apply(rule K2) apply
force unfolding xs_def by auto
  then have K: (%l' s. Qannot l' t → Q l t) (ListUpdateE l' xs) s =
(%l' s. Qannot l' t → Q l t) l' s
    by simp
  with ii' have K: (Qannot (ListUpdateE l' xs) t → Q l t) by simp

have xs_upds: map fst xs = map fst upds
  unfolding xs_def by auto

have grr: ∧x. x ∈ ?upds' ⇒ ListUpdateE l' xs (fst x) = fst (snd x)
  apply(rule ListUpdateE_updates)

```

```

    apply(simp only: xs_upds) using Aconseq(5) apply simp
    unfolding xs_def apply(simp) done
show ?case
  apply(rule exI[where x=ListUpdateE l' xs])
  apply(safe)
  subgoal by fact
  subgoal apply(rule preListpreSet) proof (safe,goal_cases)
    case (1 x e v)
    then have (x, preT C e s, fune C v) ∈ ?upds'
    by force
    from grr[OF this, simplified]
    show ?case .

  qed
  subgoal using K apply(simp) done
  subgoal apply(rule postListpostSet)
  proof (safe, goal_cases)
    case (1 x e v)
    with lxlx[of x] have fF: l x = l' x
    by force

    from postSetpostList[OF 1(2)] have g: postSet (set upds)
(ListUpdateE l' xs) t .
    with 1(3) have A: (ListUpdateE l' xs) x = e t
    by fast
    from 1(3) grr[of (x,preT C e s, fune C v)] have B: ListUpdateE
l' xs x = fst (snd (x, preT C e s, fune C v))
    by force
    from A B have X: e t = preT C e s by fastforce
    from preSetpreList[OF 3(2)] have preSet (set upds) ({P'2/Qannot2/eannot2}
CONSEQ C) l s apply(simp) done
    with 1(3) have Y: l x = preT C e s apply(simp) by fast
    from X Y show ?case by simp
  qed
done
qed
subgoal using ihsnd ih2 by blast
subgoal using ihsnd[THEN conjunct2] pc unfolding K4_def K_def
apply(auto)
  unfolding K3_def K2_def using ec by auto
done
next
case (Aseq C1 C2 Q upds)

```



```

let ?P = (λl s. pre C1 (pre C2 Q) l s ∧ preList upds (C1;;C2) l s )
let ?P' = support Q ∪ varacom C1 ∪ varacom C2 ∪ lesvars upds

have finite_varacom: finite (varacom (C1;; C2)) by fact
have finite_varacomC2: finite (varacom C2)
  apply(rule finite_subset[OF _ finite_varacom]) by simp

let ?y = SOME x. x ∉ ?P'
have sup_L: support (preList upds (C1;;C2)) ⊆ lesvars upds
  apply(rule support_preList) done

have sup_B: support ?P ⊆ ?P'
  apply(rule subset_trans[OF support_and]) using support_pre sup_L
by blast
have fP': finite (?P') using finite_varacom Aseq(3,4,5) apply simp
done
hence ∃x. x ∉ ?P' using infinite_UNIV_listI
  using ex_new_if_finite by metis
hence ynP': ?y ∉ ?P' by (rule someI_ex)
hence ysupPreC2Q: ?y ∉ support (pre C2 Q) and ysupC1: ?y ∉ varacom
C1 using support_pre by auto

from Aseq(5) have lesvars upds ∩ varacom C2 = {} by auto

from Aseq show ?case apply(auto)
proof (rule Seq, goal_cases)
  case 2
  show ⊢1 {(%l s. pre C2 Q l s ∧ preList upds C2 l s)} strip C2 { time
C2 ↓ (%l s. Q l s ∧ postList upds l s)}
  apply(rule weaken_post[where Q=(%l s. Q l s ∧ postList upds l s)])
  apply(rule 2(2)[THEN conjunct1])
  apply fact
  apply (fact)+ using 2(8) by simp
next
  case 3
  fix s
  show time C1 s + preT C1 (time C2) s ≤ time C1 s + preT C1 (time
C2) s
  by simp
next
  case 1

```

```

from  $ynP'$  have  $yC1$ :  $?y \notin \text{varacom } C1$  by blast
have  $xC1$ :  $\text{lesvars } \text{upds} \cap \text{varacom } C1 = \{\}$  using  $\text{Aseq}(5)$  by auto
from  $\text{finite\_support\_pre}[OF \text{Aseq}(4) \text{finite\_varacom}C2]$ 
have  $G$ :  $\text{finite } (\text{support } (\text{pre } C2 \ Q))$  .

let  $?upds = \text{map } (\lambda a. \text{case } a \text{ of } (x, e, v) \Rightarrow (x, \text{preT } C2 \ e, \text{fune } C2 \ v))$ 
 $\text{upds}$ 
let  $?upds' = (?y, \text{time } C2, \text{kdeps } C2) \# ?upds$ 

{
  have  $A$ :  $\text{lesvars } ?upds' = \{?y\} \cup \text{lesvars } \text{upds}$  apply simp
  by force
  from  $\text{Aseq}(5)$  have  $2$ :  $\text{lesvars } \text{upds} \cap \text{varacom } C1 = \{\}$  by auto
  have  $\text{lesvars } ?upds' \cap \text{varacom } C1 = \{\}$ 
  unfolding  $A$  using  $\text{ysup}C1 \ 2$  by blast
} note klar=this

have  $t$ :  $\text{fst} \circ (\lambda(x, e, v). (x, \text{preT } C2 \ e, \text{fune } C2 \ v)) = \text{fst}$  by auto

{
  fix  $a \ b \ c \ X$ 
  assume  $a \notin \text{lesvars } X \ (a, b, c) \in \text{set } X$ 
  then have False by force
} note helper=this

have  $dmap$ :  $\text{distinct } (\text{map } \text{fst } ?upds')$ 
apply(auto simp add: t)
subgoal for  $e$  apply(rule helper[of ?y upds e]) using  $ynP'$  by auto
subgoal by fact
done
note  $\text{bla1} = 1(1)[\text{where } Q = \text{pre } C2 \ Q \text{ and } \text{upds} = ?upds', OF \ 1(10) \ G]$ 
 $\text{klar } dmap]$ 

note  $\text{bla} = 1(2)[OF \ 1(11, 3), THEN \ \text{conjunct2}, THEN \ \text{conjunct2}]$ 
from  $1(4)$  have  $kal$ :  $\text{lesvars } \text{upds} \cap \text{varacom } C2 = \{\}$  by auto
from  $\text{bla}[OF \ kal \ \text{Aseq.prem}(4, 6, 7, 8, 9)]$  have  $\text{bla4}$ :  $K4 \ \text{upds} \ \text{LVQ } C2$ 
 $Q$  by auto
then have  $\text{bla}$ :  $K \ C2 \ \text{LVQ } Q$  unfolding  $K4\_def$  by auto

have  $A$ :
   $\vdash_1 \{ \lambda l \ s. \text{pre } C1 \ (\text{pre } C2 \ Q) \ l \ s \wedge \text{preList } ?upds' \ C1 \ l \ s \}$ 
  strip C1
   $\{ \text{time } C1 \Downarrow \lambda l \ s. \text{pre } C2 \ Q \ l \ s \wedge \text{postList } ?upds' \ l \ s \} \wedge$ 

```

```

  (∀ l s. pre C1 (pre C2 Q) l s → pre C2 Q l (postQ C1 s)) ∧ K4 ?upds'
  (qdeps C2 LVQ) C1 (pre C2 Q)
  apply(rule 1(1)[where Q=pre C2 Q and upds=?upds', OF 1(10) G
klar dmap])
  proof (goal_cases)
    case 1
    then show ?case using bla unfolding K_def by auto
  next
    case 2
    show ?case apply(rule,rule,rule,rule) proof (goal_cases)
      case (1 l s1 s2)
      then show ?case using bla4 using Aseq.premis(9) unfolding K4_def
K3_def K2_def
      apply(simp)
      proof (goal_cases)
        case 1
        then have t: time C2 s1 = time C2 s2 by auto

        have post: postList (map (λ(x, e, v). (x, preT C2 e, fune C2 v))
upds) l s1 = postList (map (λ(x, e, v). (x, preT C2 e, fune C2 v)) upds) l
s2 (is ?IH upds)
        using 1
        proof (induct upds)
          case (Cons a upds)
          then have IH: ?IH upds by auto
          obtain x e v where a: a = (x,e,v) using prod_cases3 by blast
          from Cons(4) have v ⊆ LVE unfolding a by auto
          with Cons(2) have s12v: s1 = s2 on fune C2 v unfolding a
using fune_mono by blast
          with Cons(3) IH a show ?case by auto
        qed auto

        from post t show ?case by auto
      qed
    qed
  next
    case 3
    then show ?case using bla4 unfolding K4_def K3_def K2_def
by(auto)
  next
    case 4
    then show ?case apply(auto)
    proof (goal_cases)
      case (1 x a aa b)

```

```

    with Aseq.premis(9) have b  $\subseteq$  LVE by auto
    with fune_mono have fune C2 b  $\subseteq$  fune C2 LVE by auto
    with 1 show ?case by blast
  qed
qed

show  $\vdash_1 \{ \lambda l s. (pre\ C1\ (pre\ C2\ Q)\ l\ s \wedge preList\ upds\ (C1;;\ C2)\ l\ s) \wedge$ 
 $l\ ?y = preT\ C1\ (time\ C2)\ s\} strip\ C1$ 
 $\{ time\ C1 \Downarrow \lambda l s. (pre\ C2\ Q\ l\ s \wedge preList\ upds\ C2\ l\ s) \wedge time\ C2\ s$ 
 $\leq l\ ?y\}$ 
  apply(rule conseq_old)
  prefer 2
  apply(rule A[THEN conjunct1])
  apply(auto simp: preList_Seq postList_preList) done

from A[THEN conjunct2, THEN conjunct2] have A1: K C1 (qdeps C2
LVQ) (pre C2 Q)
  and A2: K3 ?upds' C1 (pre C2 Q) and A3:  $(\forall s1\ s2. s1 = s2$ 
on kdeps C1  $\longrightarrow time\ C1\ s1 = time\ C1\ s2)$  unfolding K4_def by auto
  from bla4 have B1: K C2 LVQ Q and B2: K3 upds C2 Q and B3:
 $(\forall s1\ s2. s1 = s2$  on kdeps C2  $\longrightarrow time\ C2\ s1 = time\ C2\ s2)$  unfolding
K4_def by auto
  show K4 upds LVQ (C1;; C2) Q
  unfolding K4_def apply(safe)
  subgoal using A1 B1 unfolding K_def by(simp)
  subgoal using A2 B2 unfolding K3_def K2_def apply(auto) done
  subgoal for s1 s2 using A3 B3 apply auto
  proof (goal_cases)
  case 1
  then have t: time C1 s1 = time C1 s2 by auto
  from A2 have  $\forall s1\ s2. s1 = s2$  on fune C1 (kdeps C2)  $\longrightarrow preT$ 
C1 (time C2) s1 = preT C1 (time C2) s2 unfolding K3_def K2_def by
auto
  then have p: preT C1 (time C2) s1 = preT C1 (time C2) s2
  using 1(1) by simp
  from t p show ?case by auto
  qed
done
next
from ynP' sup_B show ?y  $\notin support\ ?P$  by blast
have F: support (preList upds C2)  $\subseteq lesvars\ upds$ 
  apply(rule support_preList) done
have support  $(\lambda l s. pre\ C2\ Q\ l\ s \wedge preList\ upds\ C2\ l\ s) \subseteq ?P'$ 
  apply(rule subset_trans[OF support_and]) using F support_pre by

```

```

blast
  with ynP'
  show ?y ∉ support (λl s. pre C2 Q l s ∧ preList upds C2 l s) by blast
next
  case (6 l s)

  note bla=6(2)[OF 6(11,3), THEN conjunct2, THEN conjunct2]
  from 6(4) have kal: lesvars upds ∩ varacom C2 = {} by auto
  from bla[OF kal Aseq.premis(4,6,7,8,9)] have bla4: K4 upds LVQ C2
Q  by auto
  then have bla: K C2 LVQ Q unfolding K4_def by auto

  have 11: finite (support (pre C2 Q))
    apply(rule finite_subset[OF support_pre])
    using 6(3,4,10) finite_varacomC2 by blast
  have A: ∀l s. pre C1 (pre C2 Q) l s ⟶ pre C2 Q l (postQ C1 s)
    apply(rule 6(1)[where upds=[], THEN conjunct2, THEN conjunct1])
    apply(fact)+ apply(auto) using bla unfolding K_def apply
blast+ done
  have B: (∀l s. pre C2 Q l s ⟶ Q l (postQ C2 s))
    apply(rule 6(2)[where upds=[], THEN conjunct2, THEN conjunct1])
    apply(fact)+ apply auto using Aseq.premis(6) by auto
  from A B 6 show ?case by simp
qed
next
  case (Awhile A b C Q upds)
  obtain I2 S E Es SS where aha[simp]: A = (I2,(S,(E,Es,SS))) using
prod_cases5 by blast
  obtain I Is where aha2: I2 = (I, Is)
  by fastforce
  let ?LV = (⋃ y∈LVE ∪ LVQ. (funStar SS) y)
  have LVE_LVE: LVE ⊆ (⋃ y∈LVE. (funStar SS) y) using funStart_prop1
by fast
  have LV_LV: LVE ∪ LVQ ⊆ ?LV using funStart_prop1 by fast
  have LV_LV2: (⋃ y∈LVE ∪ LVQ. SS y) ⊆ ?LV using funStart_prop2
by fast
  have LVE_LV2: (⋃ y∈LVE. SS y) ⊆ (⋃ y∈LVE. (funStar SS) y) using
funStart_prop2 by fast
  note aha = aha2 aha
  with aha aha2 ⟨vc (Awhile A b C) Q LVQ LVE⟩ have vc (Awhile
((I,Is),S,E,Es,SS) b C) Q LVQ LVE apply auto apply fast+ done
  then
  have vc: vc C I Is (Es ∪ (⋃ y∈LVE. (funStar SS) y))

```

and IQ : $\forall l\ s. (I\ l\ s \wedge bval\ b\ s \longrightarrow pre\ C\ I\ l\ s \wedge \neg 1 + preT\ C\ E\ s +$
time $C\ s \leq E\ s \wedge S\ s = S\ (postQ\ C\ s)$ **on** $?LV$) **and**
 pre : $\forall l\ s. (I\ l\ s \wedge \neg bval\ b\ s \longrightarrow Q\ l\ s \wedge 1 \leq E\ s \wedge S\ s = s$ **on** $?LV$)
and Is : $(\forall s1\ s2\ l. s1 = s2$ **on** $Is \longrightarrow I\ l\ s1 = I\ l\ s2)$
and Ss : $(\forall y \in LVE \cup LVQ. let\ Ss = SS\ y\ in\ \forall s1\ s2. s1 = s2$ **on** $Ss \longrightarrow$
 $S\ s1\ y = S\ s2\ y)$
and Es : $(\forall s1\ s2. s1 = s2$ **on** $Es \longrightarrow E\ s1 = E\ s2)$ **apply** *simp_all*
apply *auto* **apply** *fast+* **done**

then have $pre2$: $\bigwedge l\ s. I\ l\ s \Longrightarrow \neg bval\ b\ s \Longrightarrow Q\ l\ s \wedge 1 \leq E\ s \wedge S\ s =$
 s **on** $?LV$
and $IQ2$: $\bigwedge l\ s. (I\ l\ s \Longrightarrow bval\ b\ s \Longrightarrow pre\ C\ I\ l\ s \wedge \neg 1 + preT\ C\ E\ s +$
time $C\ s \leq E\ s \wedge S\ s = S\ (postQ\ C\ s)$ **on** $?LV$)
and $Ss2$: $\bigwedge y\ s1\ s2. s1 = s2$ **on** $(\bigcup y \in LVE. SS\ y) \Longrightarrow S\ s1 = S\ s2$ **on**
 LVE
by *auto*

from Ss **have** Ssc : $\bigwedge c\ s1\ s2. c \subseteq LVE \Longrightarrow s1 = s2$ **on** $(\bigcup y \in c. SS\ y)$
 $\Longrightarrow S\ s1 = S\ s2$ **on** c
by *auto*

from IQ **have** IQ_in : $\bigwedge l\ s. I\ l\ s \Longrightarrow bval\ b\ s \Longrightarrow S\ s = S\ (postQ\ C\ s)$
on $?LV$ **by** *auto*

have inv_impl : $\bigwedge l\ s. I\ l\ s \Longrightarrow bval\ b\ s \Longrightarrow pre\ C\ I\ l\ s$ **using** IQ **by** *auto*

have yC : $lesvars\ upds \cap varacom\ C = \{\}$ **using** $Awhile(4)$ *aha* **by** *auto*

let $?upds = map\ (\% (x, e, v). (x, \% s. e\ (S\ s), \bigcup x \in v. SS\ x))\ upds$
let $?INV = \% l\ s. I\ l\ s \wedge postList\ ?upds\ l\ s$

have $lesvars\ upds \cap support\ I = \{\}$ **using** $Awhile(4)$ **unfolding** *aha* **by**
auto

let $?P = lesvars\ upds \cup varacom\ (\{A\}\ WHILE\ b\ DO\ C)$
let $?z = SOME\ z::lvarname. z \notin ?P$
have $finite\ ?P$ **apply** $(auto\ simp\ del: aha)$ **by** $(fact\ Awhile(6))$
hence $\exists z. z \notin ?P$ **using** $infinite_UNIV_listI$
using $ex_new_if_finite$ **by** *metis*
hence znP : $?z \notin ?P$ **by** $(rule\ someI_ex)$
from znP **have**
 zny : $?z \notin lesvars\ upds$
and zI : $?z \notin support\ I$

```

and b1b:    ?z  $\notin$  varacom C by (simp_all add: aha)

from Awhile(4,6) have 23: finite (varacom C)
and 26: finite (support I) by (auto simp add: finite_subset aha)

have  $\forall l s. \text{pre } C \ I \ l \ s \longrightarrow I \ l \ (\text{postQ } C \ s)$ 
apply (rule Awhile(1)[THEN conjunct2, THEN conjunct1])
apply (fact)+ subgoal using Is apply auto done
subgoal using Awhile(8) LVE_LVE by (metis subsetD sup.cobounded2)
apply fact using Awhile(10) LVE_LVE by blast
hence step:  $\bigwedge l s. \text{pre } C \ I \ l \ s \Longrightarrow I \ l \ (\text{postQ } C \ s)$  by simp

have fua: lesvars ?upds = lesvars upds
by force
let ?upds' = (?z,E,Es) # ?upds

show ?case
proof (safe, goal_cases)
  case (2 l s)
  from 2 have A:  $I \ l \ s$  unfolding aha by (simp)
  then have I:  $I \ l \ s$  by simp

  { fix n
  have  $E \ s = n \Longrightarrow I \ l \ s \Longrightarrow Q \ l \ (\text{postQ } (\{A\} \text{ WHILE } b \text{ DO } C) \ s)$ 
  proof (induct n arbitrary: s l rule: less_induct)
    case (less n)
    then show ?case
    proof (cases bval b s)
      case True
      with less IQ2 have pre C I l s and S:  $S \ s = S \ (\text{postQ } C \ s)$  on ?LV
and t:  $1 + \text{preT } C \ E \ s + \text{time } C \ s \leq E \ s$  by auto
      with step have I':  $I \ l \ (\text{postQ } C \ s)$  and  $1 + E \ (\text{postQ } C \ s) + \text{time } C \ s \leq E \ s$  using TQ by auto
      with less have  $E \ (\text{postQ } C \ s) < n$  by auto
      with less(1) I' have  $Q \ l \ (\text{postQ } (\{A\} \text{ WHILE } b \text{ DO } C) \ (\text{postQ } C \ s))$  by auto
      with step show ?thesis using S apply simp using Awhile(7)
      by (metis (no_types, lifting) LV_LV SUP_union contra_subsetD sup.boundedE)
    next
    case False
    with pre2 less(3) have  $Q \ l \ s \ S \ s = s$  on ?LV by auto
    then show ?thesis apply simp using Awhile(7)
    by (metis (no_types, lifting) LV_LV SUP_union contra_subsetD

```

```

sup.boundedE)
  qed
  qed
}
with I show Q l (postQ ({A} WHILE b DO C) s) by simp
next
  case 1
  have g:  $\bigwedge e. e \circ S = (\%s. e (S s))$  by auto

  have lesvars ?upds'  $\cap$  varacom C = {}
    using yC blb by(auto)

    have z: (fst  $\circ$  ( $\lambda(x, e, v). (x, \lambda s. e (S s), \bigcup_{x \in v. SS x}$ ))) = fst
  by(auto)
    have distinct (map fst ?upds')
    using Awhile(5) zny by (auto simp add: z)

    have klae:  $\bigwedge s1 s2 A B. B \subseteq A \implies s1 = s2 \text{ on } A \implies s1 = s2 \text{ on } B$ 
  by auto
    from Awhile(8) Awhile(9) have gl:  $\bigwedge a b c s1 s2. (a,b,c) \in \text{set upds} \implies s1 = s2 \text{ on } c \implies b s1 = b s2$ 
    by fast
    have CombALL:  $\vdash_1 \{ \lambda l s. \text{pre } C \text{ I } l s \wedge \text{preList ?upds}' C l s \}$ 
      strip C
      { time C  $\Downarrow \lambda l s. I l s \wedge \text{postList ?upds}' l s \}$   $\wedge$ 
      ( $\forall l s. \text{pre } C \text{ I } l s \longrightarrow I l (\text{postQ } C s)$ )  $\wedge K4 ((\text{SOME } z. z \notin \text{lesvars upds} \cup \text{varacom } (\{A\} \text{ WHILE } b \text{ DO } C), E, Es) \# \text{map } (\lambda(x, e, v). (x, \lambda s. e (S s), \bigcup_{x \in v. SS x})) \text{ upds}) Is C I$ 
    apply(rule Awhile.IH[where upds=?upds'] )
    apply (fact)+

  subgoal apply safe using Is apply blast
    using Is apply blast done
  subgoal
    using Is Es apply auto
    apply(simp_all add: postListpostSet2, safe)
  proof (goal_cases)
    case (1 l s1 s2 x e v)
    from 1(5,6) have i:  $l x = e (S s1)$  by auto
    from Awhile(10) 1(6) have vLC:  $v \subseteq LVE$  by auto
    have st:  $(\bigcup_{y \in v. SS y} \subseteq (\bigcup_{y \in LVE. SS y})$  using vLC by blast
    also have  $\dots \subseteq (\bigcup_{y \in LVE. \text{funStar } SS y})$  using LVE_LV2 by
blast

```



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      finally have st:  $(\bigcup y \in v. SS\ y) \subseteq Es \cup (\bigcup y \in LVE. funStar\ SS\ y)$ 
by blast
      have ii:  $e\ (S\ s1) = e\ (S\ s2)$ 
      apply(rule gl)
      apply fact
      apply(rule Ssc)
      apply fact
      using st 1(3) by blast
      from i ii show ?case by simp
next
  case (2 l s1 s2 x e v)
  from 2(5,6) have i:  $l\ x = e\ (S\ s2)$  by auto
  from Awhile(10) 2(6) have vLC:  $v \subseteq LVE$  by auto
  have st:  $(\bigcup y \in v. SS\ y) \subseteq (\bigcup y \in LVE. SS\ y)$  using vLC by blast
  also have  $\dots \subseteq (\bigcup y \in LVE. funStar\ SS\ y)$  using LVE_LV2 by
blast
  finally have st:  $(\bigcup y \in v. SS\ y) \subseteq Es \cup (\bigcup y \in LVE. funStar\ SS\ y)$ 
by blast
  have ii:  $e\ (S\ s1) = e\ (S\ s2)$ 
  apply(rule gl)
  apply fact
  apply(rule Ssc)
  apply fact
  using st 2(3) by blast
  from i ii show ?case by simp
qed apply(auto)
subgoal using Es by auto
  subgoal apply(rule gl) apply(simp) using Ss Awhile(10) by
fastforce
  subgoal using Awhile(10) LVE_LV2 by blast
done
from this[THEN conjunct2, THEN conjunct2] have
  K:  $K\ C\ Is\ I$  and K3:  $K3\ ?upds'\ C\ I$  and Kt:  $\forall s1\ s2. s1 = s2\ on$ 
kdeps  $C \longrightarrow time\ C\ s1 = time\ C\ s2$  unfolding K4_def by auto
show  $K4\ upds\ LVQ\ (\{A\}\ WHILE\ b\ DO\ C)\ Q$ 
  unfolding K4_def apply safe
  subgoal using K unfolding K_def aha using Is by auto
  subgoal using K3 unfolding K3_def K2_def aha apply auto
  subgoal for  $x\ e\ v$  apply (rule gl) apply simp apply(rule Ssc)
using Awhile(10)
  apply fast apply blast done done
  subgoal using Kt Es unfolding aha by auto
done

```

```

show ?case

  apply(simp add: aha)
  apply(rule conseq_old[where P=?INV and e'=E and Q= $\lambda l s. ?INV$ 
 $l s \wedge \sim bval b s$ ])
  defer
  proof (goal_cases)
    case 3
    show ?case apply(rule exI[where x=1]) apply(auto)[1] apply(simp
only: postList_preList[symmetric] ) apply (auto)[1]
      by(simp only: g)
    next
    case 2
    show ?case
    proof (safe, goal_cases)
      case (1 l s)
      then show ?case using pre by auto
    next
      case (2 l s)
      from Awhile(8) have Aw7:  $\bigwedge l s1 s2. s1 = s2 \text{ on LVE} \implies postList$ 
 $upds l s1 = postList upds l s2$  by auto
      have postList (map ( $\lambda(x, e, v). (x, \lambda s. e (S s), \bigcup_{x \in v. SS x})$ ) upds)
 $l s =$ 
        postList upds l (S s) apply(induct upds) apply auto done
      also have ... = postList upds l s using Aw7[of S s s l] pre2 2
LV_LV
      by fast
      finally show ?case using 2(3) by simp
    qed
  next
  case 1
  show ?case
  proof(rule While, goal_cases)
    case 1

    note Comb=CombALL[THEN conjunct1]

    show  $\vdash_1 \{ \lambda l s. (I l s \wedge postList ?upds l s) \wedge bval b s \wedge preT C E s$ 
 $= l ?z \}$ 
      strip C { time C  $\Downarrow \lambda l s. (I l s \wedge postList ?upds l s) \wedge E s \leq l ?z \}$ 
      apply(rule conseq_old)
      apply(rule exI[where x=1]) apply(simp)
      prefer 2

```

```

proof (rule Comb, safe, goal_cases)
  case (2 l s)
  from IQ_in[OF 2(1)] gl Awhile(10,9)
  have y: postList ?upds l s =
    preList ?upds C l s (is ?IH upds)
  proof (induct upds)
    case (Cons a upds')
    obtain y e v where axe: a = (y,e,v) using prod_cases3 by blast

    have IH: ?IH upds' apply(rule Cons(1))
      using Cons(2-5) by auto
    from Cons(3) axe have ke:  $\wedge s1\ s2. s1 = s2 \text{ on } v \implies e\ s1 = e\ s2$ 

    by fastforce
    have vLC:  $v \subseteq LVE$  using axe Cons(4) by simp
    have step:  $e\ (S\ s) = e\ (S\ (\text{postQ}\ C\ s))$  apply(rule ke) using
      Cons(2) using vLC LV_LV 2(3)
    by blast
    show ?case unfolding axe using IH step apply(simp)
    apply(simp only: TQ) done
  qed simp
  from 2 show ?case by(simp add: y)
  qed (auto simp: inv_impl)
next
  show  $\forall l\ s. \text{bval}\ b\ s \wedge I\ l\ s \wedge \text{postList}\ ?\text{upds}\ l\ s \longrightarrow 1 + \text{preT}\ C\ E\ s$ 
    +  $\text{time}\ C\ s \leq E\ s$ 
  proof (clarify, goal_cases)
    case (1 l s)
    thus ?case
      using 1 IQ by auto
  qed
next
  show  $\forall l\ s. \sim \text{bval}\ b\ s \wedge I\ l\ s \wedge \text{postList}\ ?\text{upds}\ l\ s \longrightarrow 1 \leq E\ s$ 
  proof (clarify, goal_cases)
    case (1 l s)
    with pre show ?case by auto
  qed
next
  have pff:  $?z \notin \text{lesvars}\ ?\text{upds}$  apply(simp only: fua) by fact
  have support  $(\lambda l\ s. I\ l\ s \wedge \text{postList}\ ?\text{upds}\ l\ s) \subseteq \text{support}\ I \cup \text{support}$ 
    (postList ?upds)
    by(rule support_and)
  also
  have support (postList ?upds)

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```

       $\subseteq \text{lesvars } ?\text{upds}$ 
      apply(rule support_postList) done
    finally
      have support  $(\lambda l s. I l s \wedge \text{postList } ?\text{upds } l s) \subseteq \text{support } I \cup \text{lesvars}$ 
       $??\text{upds}$ 
      by blast
      thus  $?z \notin \text{support } (\lambda l s. I l s \wedge \text{postList } ?\text{upds } l s)$ 
      apply(rule contra_subsetD)
      using zI pff by(simp)
    qed
  qed

qed
qed

```

```

corollary vc_sound':
  assumes vc C Q Qset {}
    finite (support Q) finite (varacom C)
     $\forall l s. P l s \longrightarrow \text{pre } C Q l s$ 
     $\wedge s1 s2 l. s1 = s2 \text{ on } Q\text{set} \Longrightarrow Q l s1 = Q l s2$ 
  shows  $\vdash_1 \{P\} \text{strip } C \{ \text{time } C \Downarrow Q \}$ 
proof –
  show ?thesis
    apply(rule conseq_old)
    prefer 2 apply(rule vc_sound[where upds=[], OF assms(1), simplified, OF assms(2–3), THEN conjunct1])
    using assms(4,5) apply auto
    done
  qed

```

```

corollary vc_sound'':
  assumes vc C Q Qset {}
    finite (support Q) finite (varacom C)
     $(\exists k > 0. \forall l s. P l s \longrightarrow \text{pre } C Q l s \wedge \text{time } C s \leq k * e s)$ 
     $\wedge s1 s2 l. s1 = s2 \text{ on } Q\text{set} \Longrightarrow Q l s1 = Q l s2$ 
  shows  $\vdash_1 \{P\} \text{strip } C \{e \Downarrow Q\}$ 
proof –
  show ?thesis
    apply(rule conseq_old)
    prefer 2 apply(rule vc_sound[where upds=[], OF assms(1), simplified, OF assms(2–3), THEN conjunct1])
    using assms(4,5) apply auto
    done

```

qed

end

theory *Nielson_VCGi_complete*

imports *Nielson_VCG Nielson_VCGi*

begin

4.8.3 Completeness

As the improved VCG for the Nielson logic is only more liberal in the sense that the S annotation is only checked for "interesting" variables, if we specify the set of interesting variables to be all variables we basically get the same verification conditions as for the normal VCG. In that sense, we can prove the completeness of the improved VCG with the completeness theorem of the normal VCG.

For that, we formulate some translation functions and in the end show completeness of the improved VCG:

fun *transl* :: *Nielson_VCG.acom* $\Rightarrow$ *Nielson_VCGi.acom* **where**
 transl SKIP = *SKIP* |
 transl (*x ::= a*) = (*x ::= a*) |
 transl (*C*₁;; *C*₂) = (*transl C*₁;; *transl C*₂) |
 transl (*IF b THEN C*₁ *ELSE C*₂) = (*IF b THEN transl C*₁ *ELSE transl C*₂) |
 transl ($\{A/B/D\}$ *CONSEQ C*) = ($\{(A,UNIV)/(B,UNIV)/(D,UNIV)\}$ *CONSEQ transl C*) |
 transl ($\{(I,S,E)\}$ *WHILE b DO C*) = ($\{((I,UNIV),S,E,UNIV,(\lambda v. UNIV))\}$ *WHILE b DO transl C*)

lemma *qdeps_UNIV*: *qdeps (transl C) UNIV = UNIV*
 apply(*induct C*) **apply** *auto* **done**

lemma *fune_UNIV*: *fune (transl C) UNIV = UNIV*
 apply(*induct C*) **apply** *auto* **done**

lemma *pre_transl*: *Nielson_VCGi.pre (transl C) Q = Nielson_VCG.pre C Q*
 apply(*induct C arbitrary: Q*) **by** (*auto*)

lemma *preT_transl*: *Nielson_VCGi.preT (transl C) E = Nielson_VCG.preT C E*
 apply(*induct C arbitrary: E*) **by** (*auto*)

lemma *postQ_transl*: *Nielson_VCGi.postQ (transl C) = Nielson_VCG.postQ C*

```

apply(induct C) by (auto)

lemma time_transl: Nielson_VCGi.time (transl C) = Nielson_VCG.time C
apply(induct C) by(auto simp: preT_transl)

lemma vc_transl: Nielson_VCG.vc C Q  $\implies$  Nielson_VCGi.vc (transl C) Q UNIV UNIV
proof (induct C arbitrary: Q)
next
  case (Aconseq x1 x2 x3 C)
  then show ?case apply (auto simp: pre_transl time_transl) apply presburger+ done
next
  case (Awhile A b C)
  obtain I S E where A=(I,S,E) using prod_cases3 by blast
  with Awhile show ?case apply (auto simp: pre_transl preT_transl time_transl postQ_transl) apply presburger+ done
qed (auto simp: qdeps_UNIV fune_UNIV pre_transl)

lemma strip_transl: Nielson_VCGi.strip (transl C) = Nielson_VCG.strip C
by (induct C, auto)

lemma vc_restrict_complete:
  assumes  $\vdash_1 \{P\} c \{e \Downarrow Q\}$ 
  shows  $\exists C. \text{Nielson\_VCGi.strip } C = c \wedge \text{Nielson\_VCGi.vc } C Q \text{ UNIV UNIV}$ 
 $\wedge (\forall l s. P l s \longrightarrow \text{Nielson\_VCGi.pre } C Q l s \wedge Q l (\text{Nielson\_VCGi.postQ } C s))$ 
 $\wedge (\exists k. \forall l s. P l s \longrightarrow \text{Nielson\_VCGi.time } C s \leq k * e s)$ 
  (is  $\exists C. ?G P c Q C e$ )
proof –
  obtain C where C: Nielson_VCG.strip C = c Nielson_VCG.vc C Q
  ( $\forall l s. P l s \longrightarrow \text{Nielson\_VCG.pre } C Q l s \wedge Q l (\text{Nielson\_VCG.postQ } C s)$ )
  ( $\exists k. \forall l s. P l s \longrightarrow \text{Nielson\_VCG.time } C s \leq k * e s$ ) using
vc_complete[OF assms] by blast
  let ?C=transl C
  from C have ?G P c Q ?C e
  by(auto simp: strip_transl vc_transl pre_transl postQ_transl time_transl)
  then show ?thesis ..

```

qed

end
theory *Nielson_Examples*
imports *Nielson_VCG*
begin

4.8.4 example

lemma $\vdash_1 \{ \%l\ s.\ True \}\ SKIP;;\ SKIP\ \{ \%s.\ 1\ \Downarrow\ \%l\ s.\ True \}$
proof –
let $?T = \%l\ s.\ True$
have $\vdash_1 \{ \%l\ s.\ True \}\ strip\ (Aconseq\ ?T\ ?T\ (\%s.\ 1)\ (Aseq\ Askip\ Askip))$
 $\{ \%s.\ 1\ \Downarrow\ \%l\ s.\ True \}$
apply(*rule vc_sound'*) **by** *auto*
then show *?thesis* **by** *simp*
qed

lemma *finite (support P) $\implies \vdash_1 \{P\}\ strip\ Askip\ \{time\ Askip\ \Downarrow\ P\}$*
apply(*rule vc_sound'*)
apply(*simp*)
apply(*simp*)
apply(*simp*)
apply(*simp*) **done**

lemma *support_single2: support $(\lambda l\ s.\ P\ s) = \{\}$*
unfolding *support_def* **by** *fastforce*

lemma $\vdash_1 \{ \%l\ s.\ True \}\ strip\ (Aassign\ a\ (N\ 1))\ \{time\ (Aassign\ a\ (N\ 1))\}$
 $\Downarrow\ \%l\ s.\ s\ a = 1\}$
apply(*rule vc_sound'*)
apply(*simp_all add: support_single2*) **done**

lemma $\vdash_1 \{ \%l\ s.\ True \}\ strip\ (\ (a ::= (N\ 1)) ;;\ Askip\)\ \{time\ (\ (a ::= (N\ 1)) ;;\ Askip\)\ \Downarrow\ \%l\ s.\ s\ a = 1\}$
apply(*rule vc_sound'*)
apply(*simp_all add: support_single2*) **done**

lemma $\vdash_1 \{ \%l\ s.\ True \}\ strip\ (\ (a ::= (N\ 1)) ;;\ b ::= (V\ a)\)\ \{time\ (\ (a$

$::= (N\ 1)) \ ;\ ;\ b ::= (V\ a) \) \Downarrow \ \%l\ s.\ s\ b = 1\}$
apply(*rule vc_sound'*)
by(*simp_all add: support_single2*)

lemma assumes

E: $E = (\%s.\ 1 + 2 * (4 - \text{nat } (s\ a)))$ **and**
C: $C = (\{(I,(S,(E)))\}\ \text{WHILE Less } (V\ a)\ (N\ 3)\ \text{DO } a ::= \text{Plus } (V\ a)\ (N\ 1)\)$
shows $\bigwedge s.\ 0 \leq s\ a \implies \text{time } C\ s \leq 9$
unfolding *C E* **apply**(*simp*) **done**

Count up to 3 lemma example_count_upto_3: assumes

I: $I = (\%l\ s.\ s\ a \geq 0)$ **and**
E: $E = (\%s.\ 1 + 2 * (4 - \text{nat } (s\ a)))$ **and**
S: $S = (\%s.\ (\text{if } s\ a \geq 3\ \text{then } s\ \text{else } s(a:=3)\))$ **and**
C: $C = (\{(I,(S,(E)))\}\ \text{WHILE Less } (V\ a)\ (N\ 3)\ \text{DO } a ::= \text{Plus } (V\ a)\ (N\ 1)\)$
shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ a \}\ \text{strip } C\ \{\text{time } C \Downarrow \%l\ s.\ \text{True} \}$
unfolding *C*
apply(*rule vc_sound'*)
subgoal
apply(*simp*)
apply(*safe*)
subgoal unfolding *I* **by** *simp*
subgoal unfolding *I E* **by** *simp*
subgoal unfolding *S* **by** *auto*
subgoal unfolding *I E* **by** *auto*
subgoal unfolding *I S* **by** *auto*
done
subgoal
by *simp*
subgoal
unfolding *I* **by**(*simp add: support_inv*)
subgoal unfolding *I* **by** *simp*
done

Count up to b lemma example_count_upto_b: assumes

I: $I = (\%l\ s.\ s\ a \geq 0)$ **and**
E: $E = (\%s.\ 1 + 2 * ((\text{nat } b + 1) - \text{nat } (s\ a)))$ **and**
S: $S = (\%s.\ (\text{if } s\ a \geq b\ \text{then } s\ \text{else } s(a:=b)\))$ **and**
C: $C = (\{(I,(S,(E)))\}\ \text{WHILE Less } (V\ a)\ (N\ b)\ \text{DO } a ::= \text{Plus } (V\ a)\ (N\ 1)\)$
shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ a \}\ \text{strip } C\ \{\text{time } C \Downarrow \%l\ s.\ \text{True} \}$

unfolding C
apply(rule vc_sound') **by**(auto simp: $I E S support_inv$)

Example: multiplication by repeated addition **lemma** *helper*: ($A::int$)
 $* B + B = (A+1) * B$ **by**(auto simp: $distrib_right$)

lemma *mult*: **assumes**

$I: I = (\%l s. s \text{ ''a''} \geq 0 \wedge s \text{ ''a''} \geq s \text{ ''z''} \wedge s \text{ ''z''} \geq 0 \wedge s \text{ ''y''} = s \text{ ''z''}$
 $* (s \text{ ''b''}))$ **and**

$E: E = (\%s. 1 + 3 * ((nat (s \text{ ''a''}) + 1) - nat (s \text{ ''z''})))$ **and**

$S: S = (\%s. (if s \text{ ''z''} \geq s \text{ ''a''} then s else s(\text{''y''} := (s \text{ ''a''}) * (s \text{ ''b''})), \text{''z''} := s$
 $\text{''a''})))$ **and**

$C: C = (\text{''y''} ::= (N 0);; \text{''z''} ::= (N 0) ;; \{(I, (S, (E)))\} \text{ WHILE Less } (V$
 $\text{''z''}) (V \text{ ''a''}) \text{ DO } (\text{''y''} ::= Plus (V \text{ ''y''}) (V \text{ ''b''}) ;; \text{''z''} ::= Plus (V \text{ ''z''})$
 $(N 1)))$ **and**

$f: f = (\%s. 3 * (nat(s \text{ ''a''}) + 2))$

shows $\vdash_1 \{ \%l s. 0 \leq s \text{ ''a''} \} strip C \{ f \Downarrow \%l s. s \text{ ''y''} = s \text{ ''a''} * (s \text{ ''b''})$
 $\}$

unfolding C

apply(rule vc_sound'')

apply(auto simp: $I E S distrib_right support_inv f$)

subgoal for s **by** (auto simp $add: helper$)

done

lemma *mult_abstract*: **assumes**

$I: I = (\%l s. s \text{ ''a''} \geq 0 \wedge s \text{ ''a''} \geq s \text{ ''z''} \wedge s \text{ ''z''} \geq 0 \wedge s \text{ ''y''} = s \text{ ''z''}$
 $* (s \text{ ''b''}))$ **and**

$E: E = (\%s. 1 + 2 * ((nat (s \text{ ''a''}) + 1) - nat (s \text{ ''z''})))$ **and**

$S: S = (\%s. (if s \text{ ''z''} \geq s \text{ ''a''} then s else s(\text{''y''} := (s \text{ ''a''}) * (s \text{ ''b''})), \text{''z''} := s$
 $\text{''a''})))$ **and**

$e: e = (\%s. 1)$ **and**

$lb[simp]: (lb::acom) = (\{\lambda l s. I l s \wedge s \text{ ''z''} < s \text{ ''a''} / I / e\} \text{ CONSEQ } (\text{''y''}$
 $::= Plus (V \text{ ''y''}) (V \text{ ''b''}) ;; \text{''z''} ::= Plus (V \text{ ''z''}) (N 1)))$ **and**

$l[simp]: (l::acom) = \{(I, (S, (E)))\} \text{ WHILE } (Less (V \text{ ''z''}) (V \text{ ''a''})) \text{ DO}$
 lb **and**

$e'[simp]: e' = (\%s. 1 + (nat (s \text{ ''a''})))$ **and**

$wl[simp]: (wl::acom) = \{I / \lambda l s. I l s \wedge s \text{ ''z''} \geq s \text{ ''a''} / e'\} \text{ CONSEQ } l$ **and**

$C: (C::acom) = (\text{''y''} ::= (N 0);; \text{''z''} ::= (N 0) ;; wl)$ **and**

$f: f = (\%s. nat(s \text{ ''a''}) + 1)$

shows $\vdash_1 \{ \%l s. 0 \leq s \text{ ''a''} \} strip (\{ \%l s. 0 \leq s \text{ ''a''} / \%l s. s \text{ ''y''} = s$
 $\text{''a''} * (s \text{ ''b''}) / f \} \text{ CONSEQ } C) \{ f \Downarrow \%l s. s \text{ ''y''} = s \text{ ''a''} * (s \text{ ''b''}) \}$

```

unfolding C
apply(rule vc_sound")
  apply(auto simp: I E S distrib_right support_inv f e)
subgoal for s by (auto simp add: helper)
  apply(rule exI[where x=100]) apply auto
  apply(rule exI[where x=100]) apply auto
done

```

Example: nested loops lemma *nested*: assumes

I2: $I2 = (\%l\ s.\ s\ "a" \geq 0 \wedge s\ "b" \geq 0 \wedge s\ "a" > s\ "z" \wedge s\ "z" \geq 0 \wedge s\ "b" \geq s\ "g" \wedge s\ "g" \geq 0 \wedge s\ "y" = (s\ "z") * (s\ "b") + s\ "g")$
and

I1: $I1 = (\%l\ s.\ s\ "a" \geq 0 \wedge s\ "b" \geq 0 \wedge s\ "a" \geq s\ "z" \wedge s\ "z" \geq 0 \wedge s\ "y" = s\ "z" * (s\ "b"))$ **and**

E2: $E2 = (\%s.\ 1 + 3 * ((nat\ (s\ "b")) - nat\ (s\ "g")))$ **and**

S2: $S2 = (\%s.\ (if\ s\ "g" \geq s\ "b" then\ s\ else\ s("y":=(s\ "z") * (s\ "b") + s\ "b", "g":=s\ "b")))$ **and**

E1: $E1 = (\%s.\ 1 + (4 + (3 * ((nat\ (s\ "b")))) * ((nat\ (s\ "a")) - nat\ (s\ "z")))$ **and**

S1: $S1 = (\%s.\ (if\ s\ "z" \geq s\ "a" then\ s\ else\ s("y":=(s\ "a") * (s\ "b"), "z":=s\ "a", "g":=s\ "b")))$ **and**

C: $C = ("y" ::= (N\ 0));;$

$"z" ::= (N\ 0) ;;$

$\{(I1,(S1,(E1)))\}$ WHILE Less (V "z") (V "a") DO

(

$"g" ::= (N\ 0) ;;$

(

$\{(I2,(S2,(E2)))\}$ WHILE Less (V "g") (V "b") DO

$"y" ::= Plus\ (V\ "y")\ (N\ 1);;$

$"g" ::= Plus\ (V\ "g")\ (N\ 1))$

) ;;

$"z" ::= Plus\ (V\ "z")\ (N\ 1))$

) **and**

f: $f = (\%s.\ 3 + 4 * nat(s\ "a") + 3 * (nat(s\ "a") * nat(s\ "b")))$

shows $\vdash_1 \{ \%l\ s.\ 0 \leq s\ "a" \wedge s\ "b" \geq 0 \}$ strip C { *f* $\Downarrow \%l\ s.\ s\ "y" = s\ "a" * (s\ "b")$ }

unfolding C

apply(rule vc_sound")

proof(goal_cases)

```

case 1
show ?case apply(simp)
proof(safe, goal_cases)
  case (1 l s)
  from 1 show ?case unfolding I1 unfolding I2 by(auto)
next
case (2 l s)
  then show ?case unfolding I1 S2 apply(auto) unfolding E2 ap-
ply(simp) unfolding E2 apply(auto)
  unfolding E1 apply(simp)

  apply(simp)
proof (goal_cases)
  case 1
  then have g: s "a'" > s "z'" by linarith
  then have p: (nat (s "a'") - nat (s "z'" + 1)) = (nat (s "a'") - nat
(s "z'")) - 1 and z: (nat (s "a'") - nat (s "z'")) ≥ 1
  using 1 apply linarith
  using g 1 by linarith

  have Suc (Suc (Suc (Suc ((4 + 3 * nat (s "b'")) * (nat (s "a'") - nat
(s "z'" + 1)) + 3 * nat (s "b'"))))) =
    4 + ((4 + 3 * nat (s "b'")) * (nat (s "a'") - nat (s "z'" + 1)) + 3
* nat (s "b'"))
  by auto
  also
  have ... = 4 + ( (4 + 3 * nat (s "b'")) * (nat (s "a'") - nat (s "z'"))
- (4 + 3 * nat (s "b'")) + 3 * nat (s "b'"))
  apply(simp only: p)
  proof -
    have ∧n na. (n::nat) * na - n = n * (na - 1)
    by (simp add: diff_mult_distrib2)
    then show 4 + ((4 + 3 * nat (s "b'")) * (nat (s "a'") - nat (s "z'"
- 1)) + 3 * nat (s "b'")) = 4 + ((4 + 3 * nat (s "b'")) * (nat (s "a'") -
nat (s "z'")) - (4 + 3 * nat (s "b'")) + 3 * nat (s "b'"))
    by presburger
  qed
  also
  have ... = (4 + 3 * nat (s "b'")) * (nat (s "a'") - nat (s "z'"))
  using z
  by (smt '4 + ((4 + 3 * nat (s "b'")) * (nat (s "a'") - nat (s "z'" +
1)) + 3 * nat (s "b'")) = 4 + ((4 + 3 * nat (s "b'")) * (nat (s "a'") - nat
(s "z'")) - (4 + 3 * nat (s "b'")) + 3 * nat (s "b'"))' add.left_commute
diff_add distrib_left mult.right_neutral p)

```

```

    finally show ?case by simp
qed

next
case (3 l s)
{ fix i :: int assume 0 ≤ i then have int (∑ {0..<nat i}) + i = int
(∑ {0..nat i})
  by (simp add: sum.last_plus) } note bla=this
from 3 show ?case unfolding I1 S1 S2 apply(auto simp add: )
proof (goal_cases)
case 1
then have a: s "a" = s "z" + 1 by linarith
show ?case apply(simp only: a) using 1
  by (simp add: distrib_left mult.commute fun_upd_twist)
qed
next
case (4 l s)
then show ?case unfolding I1 by (auto)
next
case (5 l s)
then show ?case unfolding I1 E1 by auto
next
case (6 l s)
then show ?case unfolding I1 S1 by(simp)

next
case (7 l s)
then show ?case unfolding I2 apply(simp) done
next
case (8 l s)
then show ?case unfolding I2 apply(auto) unfolding E2 by(auto)

next
case (9 l s)
{ fix i :: int assume 0 ≤ i then have int (∑ {0..<nat i}) + i = int
(∑ {0..nat i})
  by (simp add: sum.last_plus) } note bla=this
from 9 show ?case unfolding I2 S2 apply(auto simp add: ) done

next
case (10 l s)
then show ?case unfolding I2 I1 by (auto simp add: distrib_left

```

```

mult.commute)
next
  case (11 l s)
  then show ?case unfolding I2 E2 by (simp)
next
  case (12 l s)
  then show ?case unfolding I2 S2 by (simp)
qed
next
  case 2
  show ?case apply (rule exI[where x=1]) by (auto simp add: I1 C E1 f
distrib_left mult.commute)
qed (auto simp: I1 I2 support_single2)

with logical variables lemma fin_sup_single: finite (support (λl. P (l
a)))
  apply (rule finite_subset[OF support_single]) by simp

lemmas fin_support = fin_sup_single

lemma finite_support_and: finite (support A) ⇒ finite (support B) ⇒
finite (support (λl s. A l s ∧ B l s))
  apply (rule finite_subset[OF support_and]) by blast

end
theory Nielson_Sqrt
imports Nielson_VCGi HOL-Library.Discrete_Functions
begin

```

4.9 Example: discrete square root in Nielson's logic

As an example, consider the following program that computes the discrete square root:

```

definition c :: com where c =
  "l" ::= N 0 ;;
  "m" ::= N 0 ;;
  "r" ::= Plus (N 1) (V "x");;
  (WHILE (Less (Plus (N 1) (V "l")) (V "r"))
    DO ("m" ::= (Div (Plus (V "l") (V "r")) (N 2)) ;;
      (IF Not (Less (Times (V "m") (V "m")) (V "x"))
        THEN "l" ::= V "m"
        ELSE "r" ::= V "m"));

```

"m" ::= N 0))

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

```

lemma absch:  $\bigwedge s k. 1 + s \text{"x"} = 2^k \implies 5 * k \leq 96 + 100 * \text{floor\_log}$ 
(nat (s "x"))
proof -
  fix s :: state and k :: nat
  assume F:  $1 + s \text{"x"} = 2^k$ 
  then have i: nat (1 + s "x") =  $2^k$  and nn: s "x"  $\geq 0$  apply (auto
simp: nat_power_eq)
    by (smt one_le_power)
  have F:  $1 + \text{nat} (s \text{"x"}) = 2^k$  unfolding i[symmetric] using nn by
auto
  show  $5 * k \leq 96 + 100 * \text{floor\_log} (\text{nat} (s \text{"x"}))$ 
proof (cases s "x"  $\geq 1$ )
  case True
    have  $5 * k = 5 * (\text{floor\_log} (2^k))$  by auto
    also have  $\dots = 5 * \text{floor\_log} (1 + \text{nat} (s \text{"x"}))$  by (simp only: F[symmetric])
    also have  $\dots \leq 5 * \text{floor\_log} (\text{nat} (s \text{"x"} + s \text{"x"}))$  using True
      apply auto apply (rule monoD[OF floor_log_mono]) by auto
    also have  $\dots = 5 * \text{floor\_log} (2 * \text{nat} (s \text{"x"}))$  by (auto simp:
nat_mult_distrib)
    also have  $\dots = 5 + 5 * (\text{floor\_log} (\text{nat} (s \text{"x"})))$  using True by auto
    also have  $\dots \leq 96 + 100 * \text{floor\_log} (\text{nat} (s \text{"x"}))$  by simp
    finally show ?thesis .
  next
  case False
    with nn have gt1: s "x" = 0 by auto
    from F[unfolded gt1] have  $2^k = (1::\text{int})$  using floor_log_Suc_zero
by auto
    then have k=0
      by (metis One_nat_def add.right_neutral gt1 i n_not_Suc_n nat_numeral
nat_power_eq_Suc_0_iff numeral_2_eq_2 numeral_One)
    then show ?thesis by (simp add: gt1)
  qed
qed

```

For simplicity we assume, that during the process all segments between "l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the precondition P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log \text{"x"})$

lemma

assumes P : $P = (\lambda l s. (\exists k. 1 + s \text{''}x'' = 2 \wedge k))$
and $e : e = (\lambda s. \text{floor_log } (\text{nat } (s \text{''}x'')) + 1)$ **and**
 $Q[\text{simp}]$: $Q = (\lambda l s. \text{True})$
shows $\vdash_1 \{P\} c \{e \Downarrow Q\}$

proof –

— first we create an annotated command

let $?lb = \text{''}m'' ::=$
 $(\text{Div } (\text{Plus } (V \text{''}l'') (V \text{''}r'')) (N 2)) ;;$
 $(\text{IF Not } (\text{Less } (\text{Times } (V \text{''}m'') (V \text{''}m'')) (V \text{''}x''))$
 $\text{ THEN } \text{''}l'' ::= V \text{''}m''$
 $\text{ ELSE } \text{''}r'' ::= V \text{''}m'');;$
 $(\text{''}m'' ::= N 0)::\text{acom}$

— with an Invariant

define $I :: \text{assn2}$ **where** $I \equiv (\lambda l s. (\exists k. s \text{''}r'' - s \text{''}l'' = 2 \wedge k) \wedge s \text{''}l'' \geq 0)$

— and an time bound annotation for the loop

define $E :: \text{tbd}$ **where** $E \equiv \%s. 1 + 5 * \text{floor_log } (\text{nat}(s \text{''}r'' - s \text{''}l''))$

define $S :: \text{state} \Rightarrow \text{state}$ **where** $S \equiv \%s. s$

define $Es :: \text{vname} \Rightarrow \text{vname set}$ **where** $Es = (\%x. \{x\})$

define $R :: (\text{assn2} * (\text{vname set})) * ((\text{state} \Rightarrow \text{state}) * (\text{tbd} * ((\text{vname set} * (\text{vname} \Rightarrow \text{vname set}))))))$

where $R = ((I, \{\text{''}l'', \text{''}r''\}), (S, (E, (\{\text{''}l'', \text{''}r''\}, Es))))$

let $?C = \text{''}l'' ::= N 0 ;; \text{''}m'' ::= N 0 ;; \text{''}r'' ::= \text{Plus } (N 1) (V \text{''}x'');;$
 $(\{R\} \text{ WHILE } (\text{Less } (\text{Plus } (N 1) (V \text{''}l'')) (V \text{''}r'')) \text{ DO } ?lb)$

— we show that the annotated command corresponds to the command we are interested in

have s : $\text{strip } ?C = c$ **unfolding** c_def **by** auto

— now we show that the annotated command is correct; here we use the improved VCG and the Nielson

have v : $\vdash_1 \{P\} \text{strip } ?C \{e \Downarrow Q\}$

proof $(\text{rule } \text{vc_sound''}, \text{safe})$

— A) first lets show the verification conditions:

show $\text{vc } ?C Q \{\} \{\}$ **unfolding** R_def **apply** $(\text{simp only: } \text{vc.simps})$

apply auto

subgoal unfolding I_def **by** auto

subgoal unfolding I_def **by** auto

```

    subgoal unfolding E_def by auto
  proof (goal_cases)
    fix s::state and l
    assume I: I l s and 2: 1 + s "l" < s "r"
    from I obtain k :: nat where 3: s "r" - s "l" = 2 ^ k and 4: s "l"
    ≥ 0 unfolding I_def by blast
    from 3 2 have k>0 using gr0I by force
    then obtain k' where k': k=k'+1 by (metis Suc_eq_plus1 Suc_pred)

    from 3 k' have R1: s "r" - (s "l" + s "r") div 2 = 2 ^ k' and
      R2: (s "l" + s "r") div 2 - s "l" = 2 ^ k' by auto
    then have E1: ∃ k. s "r" - (s "l" + s "r") div 2 = 2 ^ k and
      E2: ∃ k. (s "l" + s "r") div 2 - s "l" = 2 ^ k by auto
    then show I l (s("l" := (s "l" + s "r") div 2, "m" := 0)) and
      I l (s("r" := (s "l" + s "r") div 2, "m" := 0)) using 2 4 unfolding
      I_def by auto

    show Suc (Suc (Suc (Suc (Suc (E (s("l" := (s "l" + s "r") div 2,
    "m" := 0))))))) ≤ E s
      unfolding E_def apply simp unfolding R1 3 k' by (auto simp:
      nat_power_eq nat_mult_distrib)
    show Suc (Suc (Suc (Suc (Suc (E (s("r" := (s "l" + s "r") div 2,
    "m" := 0))))))) ≤ E s
      unfolding E_def apply simp unfolding R2 3 k' by (auto simp:
      nat_power_eq nat_mult_distrib)
    next
      fix l s
      show Suc 0 ≤ E s unfolding E_def by auto
      show Suc 0 ≤ E s unfolding E_def by auto
    qed
  next
    — B) lets show that the precondition implies the weakest precondition,
    and that the time bound of C can be bounded by log "x"
    fix s
    show (∃ k>0. ∀ l s. P l s ⟶ pre ?C Q l s ∧ time ?C s ≤ k * e s)
      apply(rule exI[where x=100])
      unfolding P R_def I_def E_def e by (auto simp: nat_power_eq
      absch)
    qed
    — last side conditions are proven automatically
    (auto simp: Q support_inv R_def I_def)

    — now we conclude with the correctness of the Hoare triple involving the

```



```

time bound
  from  $s$  v show ?thesis by simp
qed

```

end

5 Quantitative Hoare Logic (due to Carbonneaux)

```

theory Quant_Hoare
imports Big_StepT Complex_Main HOL-Library.Extended_Nat
begin

```

```

abbreviation eq a b == (And (Not (Less a b)) (Not (Less b a)))

```

```

type_synonym lname = string
type_synonym assn = state  $\Rightarrow$  bool
type_synonym qassn = state  $\Rightarrow$  enat

```

The support of an assn2

```

abbreviation state_subst :: state  $\Rightarrow$  aexp  $\Rightarrow$  vname  $\Rightarrow$  state
  ( $\langle \_ \rangle$  [1000,0,0] 999)
where  $s[a/x] == s(x := \text{aval } a \ s)$ 

```

```

fun emb :: bool  $\Rightarrow$  enat ( $\langle \uparrow \rangle$ ) where
  emb False =  $\infty$ 
  | emb True = 0

```

5.1 Validity of quantitative Hoare Triple

```

definition hoare2_valid :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool
  ( $\models_2 \{ (1\_)\} / (\_) / \{ (1\_)\} \ 50$ ) where
 $\models_2 \{P\} \ c \ \{Q\} \ \longleftrightarrow \ (\forall s. \ P \ s < \infty \longrightarrow (\exists t \ p. ((c,s) \Rightarrow p \Downarrow t) \wedge P \ s \geq p + Q \ t))$ 

```

5.2 Hoare logic for quantiative reasoning

inductive

```

hoare2 :: qassn  $\Rightarrow$  com  $\Rightarrow$  qassn  $\Rightarrow$  bool ( $\vdash_2 \{ (1\_)\} / (\_) / \{ (1\_)\} \ 50$ )

```

where

Skip: $\vdash_2 \{ \%s. eSuc (P\ s) \} SKIP \{ P \} \mid$

Assign: $\vdash_2 \{ \lambda s. eSuc (P (s[a/x])) \} x ::= a \{ P \} \mid$

If: $\llbracket \vdash_2 \{ \lambda s. P\ s + \uparrow (bval\ b\ s) \} c_1 \{ Q \};$
 $\vdash_2 \{ \lambda s. P\ s + \uparrow (\neg bval\ b\ s) \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_2 \{ \lambda s. eSuc (P\ s) \} IF\ b\ THEN\ c_1\ ELSE\ c_2 \{ Q \} \mid$

Seq: $\llbracket \vdash_2 \{ P_1 \} c_1 \{ P_2 \}; \vdash_2 \{ P_2 \} c_2 \{ P_3 \} \rrbracket \implies \vdash_2 \{ P_1 \} c_1;; c_2 \{ P_3 \} \mid$

While:

$\llbracket \vdash_2 \{ \%s. I\ s + \uparrow (bval\ b\ s) \} c \{ \%t. I\ t + 1 \} \rrbracket$
 $\implies \vdash_2 \{ \lambda s. I\ s + 1 \} WHILE\ b\ DO\ c \{ \lambda s. I\ s + \uparrow (\neg bval\ b\ s) \} \mid$

conseq: $\llbracket \vdash_2 \{ P \} c \{ Q \}; \wedge s. P\ s \leq P'\ s; \wedge s. Q'\ s \leq Q\ s \rrbracket \implies$
 $\vdash_2 \{ P' \} c \{ Q' \}$

derived rules

lemma *strengthen_pre*: $\llbracket \forall s. P\ s \leq P'\ s; \vdash_2 \{ P \} c \{ Q \} \rrbracket \implies \vdash_2 \{ P' \} c \{ Q \}$

using *conseq* **by** *blast*

lemma *weaken_post*: $\llbracket \vdash_2 \{ P \} c \{ Q \}; \forall s. Q\ s \geq Q'\ s \rrbracket \implies \vdash_2 \{ P \} c \{ Q' \}$

using *conseq* **by** *blast*

lemma *Assign'*: $\forall s. P\ s \geq eSuc (Q (s[a/x])) \implies \vdash_2 \{ P \} x ::= a \{ Q \}$
by (*simp add: strengthen_pre[OF _ Assign]*)

lemma *progress*: $(c, s) \Rightarrow p \Downarrow t \implies p > 0$
by (*induct rule: big_step_t.induct, auto*)

lemma *FalseImplies*: $\vdash_2 \{ \%s. \infty \} c \{ Q \}$

apply (*induction c arbitrary: Q*)

apply(*auto intro: hoare2.Skip hoare2.Assign hoare2.Seq hoare2.conseq*)

subgoal **apply**(*rule hoare2.conseq*) **apply**(*rule hoare2.If*[**where** $P = \%s.$
 ∞]) **by**(*auto intro: hoare2.If hoare2.conseq*)

subgoal **apply**(*rule hoare2.conseq*) **apply**(*rule hoare2.While*[**where** $I = \%s.$
 ∞]) **apply**(*rule hoare2.conseq*) **by** *auto*

done

5.3 Soundness

The soundness theorem:

lemma *help1*: **assumes** $enat\ a + X \leq Y$
 $enat\ b + Z \leq X$
shows $enat\ (a + b) + Z \leq Y$
using *assms* **by** (*metis* *ab_semigroup_add_class.add_ac(1)* *add_left_mono* *order_trans* *plus_enat_simps(1)*)

lemma *help2'*: **assumes** $enat\ p + INV\ t \leq INV\ s$
 $0 < p\ INV\ s = enat\ n$
shows $INV\ t < INV\ s$
using *assms* *iadd_le_enat_iff* **by** *auto*

lemma *help2*: **assumes** $enat\ p + INV\ t + 1 \leq INV\ s$
 $INV\ s = enat\ n$
shows $INV\ t < INV\ s$
using *assms* *le_less_trans* *not_less_iff_gr_or_eq* **by** *fastforce*

lemma *Seq_sound*: **assumes** $\models_2 \{P1\}\ C1\ \{P2\}$
 $\models_2 \{P2\}\ C2\ \{P3\}$
shows $\models_2 \{P1\}\ C1\ ;;\ C2\ \{P3\}$
unfolding *hoare2_valid_def*
proof (*safe*)
fix *s*
assume *ninfP1*: $P1\ s < \infty$
with *assms(1)* [*unfolded* *hoare2_valid_def*] **obtain** *t1 p1*
where *1*: $(C1, s) \Rightarrow p1 \Downarrow t1$ **and** *q1*: $enat\ p1 + P2\ t1 \leq P1\ s$ **by** *blast*
with *ninfP1* **have** *ninfP2*: $P2\ t1 < \infty$
using *not_le* **by** *fastforce*
with *assms(2)* [*unfolded* *hoare2_valid_def*] **obtain** *t2 p2*
where *2*: $(C2, t1) \Rightarrow p2 \Downarrow t2$ **and** *q2*: $enat\ p2 + P3\ t2 \leq P2\ t1$ **by** *blast*
with *ninfP2* **have** *ninfP3*: $P3\ t2 < \infty$
using *not_le* **by** *fastforce*

from *Big_StepT.Seq* [*OF* *1 2*] **have** *bigstep*: $(C1;;\ C2, s) \Rightarrow p1 + p2 \Downarrow t2$ **by** *simp*
from *help1* [*OF* *q1 q2*] **have** *potential*: $enat\ (p1 + p2) + P3\ t2 \leq P1\ s$.

show $\exists t\ p. (C1;;\ C2, s) \Rightarrow p \Downarrow t \wedge enat\ p + P3\ t \leq P1\ s$
apply (*rule* *exI* [**where** $x=t2$])
apply (*rule* *exI* [**where** $x=p1 + p2$])

using *bigstep potential* by *simp*
qed

theorem *hoare2_sound*: $\vdash_2 \{P\}c\{Q\} \implies \models_2 \{P\}c\{Q\}$
proof(*induction rule*: *hoare2.induct*)
 case (*Skip P*)
 show ?*case* **unfolding** *hoare2_valid_def* **apply**(*safe*)
 subgoal for *s* **apply**(*rule exI*[**where** $x=s$]) **apply**(*rule exI*[**where**
 $x=Suc\ 0$])
 by (*auto simp: eSuc_enat_iff eSuc_enat*)
 done
 next
 case (*Assign P a x*)
 show ?*case* **unfolding** *hoare2_valid_def* **apply**(*safe*)
 subgoal for *s* **apply**(*rule exI*[**where** $x=s[a/x]$]) **apply**(*rule exI*[**where**
 $x=Suc\ 0$])
 by (*auto simp: eSuc_enat_iff eSuc_enat*)
 done
 next
 case (*Seq P1 C1 P2 C2 P3*)
 thus ?*case* **using** *Seq_sound* **by** *auto*
 next
 case (*If P b c1 Q c2*)
 show ?*case* **unfolding** *hoare2_valid_def*
 proof (*safe*)
 fix *s*
 assume $eSuc\ (P\ s) < \infty$
 then have *i*: $P\ s < \infty$
 using *enat_ord_simps(4)* **by** *fastforce*
 show $\exists t\ p. (IF\ b\ THEN\ c1\ ELSE\ c2,\ s) \Rightarrow p \Downarrow t \wedge enat\ p + Q\ t \leq$
 $eSuc\ (P\ s)$
 proof(*cases bval b s*)
 case *True*
 with *i* **have** $P\ s + emb\ (bval\ b\ s) < \infty$ **by** *simp*
 with *If(3)*[*unfolded hoare2_valid_def*] **obtain** *p t*
 where *1*: $(c1,\ s) \Rightarrow p \Downarrow t$ **and** *q*: $enat\ p + Q\ t \leq P\ s + emb\ (bval$
 $b\ s)$ **by** *blast*
 from *Big_StepT.IfTrue*[*OF True 1*] **have** *2*: $(IF\ b\ THEN\ c1\ ELSE$
 $c2,\ s) \Rightarrow p + 1 \Downarrow t$ **by** *simp*
 show ?*thesis* **apply**(*rule exI*[**where** $x=t$]) **apply**(*rule exI*[**where**
 $x=p+1$])
 apply(*safe*) **apply**(*fact*)
 using *q True* **apply**(*simp*)

```

    by (metis eSuc_enat eSuc_ile_mono iadd_Suc)
  next
    case False
    with i have  $P\ s + \text{emb } (\sim \text{bval } b\ s) < \infty$  by simp
    with If(4)[unfolded hoare2_valid_def] obtain p t
      where 1:  $(c2, s) \Rightarrow p \Downarrow t$  and  $q: \text{enat } p + Q\ t \leq P\ s + \text{emb } (\sim \text{bval } b\ s)$  by blast
    from Big_StepT.IfFalse[OF False 1] have 2:  $(\text{IF } b\ \text{THEN } c1\ \text{ELSE } c2, s) \Rightarrow p + 1 \Downarrow t$  by simp
    show ?thesis apply(rule exI[where x=t]) apply(rule exI[where x=p+1])
      apply(safe) apply(fact)
      using q False apply(simp)
      by (metis eSuc_enat eSuc_ile_mono iadd_Suc)
    qed
  qed
next
  case (conseq P c Q P' Q')
  show ?case unfolding hoare2_valid_def
  proof (safe)
    fix s
    assume  $P'\ s < \infty$ 
    with conseq(2) have  $P\ s < \infty$ 
      using le_less_trans by blast
    with conseq(4)[unfolded hoare2_valid_def] obtain p t where  $(c, s) \Rightarrow p \Downarrow t$  and  $\text{enat } p + Q\ t \leq P\ s$  by blast
    with conseq(2,3) show  $\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + Q'\ t \leq P'\ s$ 
      by (meson add_left_mono dual_order.trans)
    qed
  next
    case (While INV b c)

    from While(2)[unfolded hoare2_valid_def]
    have WH2:  $\bigwedge s. \text{INV } s + \uparrow (\text{bval } b\ s) < \infty \implies (\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{INV } t + 1 \leq \text{INV } s + \uparrow (\text{bval } b\ s))$ 
      by (simp add: add commute add.left_commute)

    show ?case unfolding hoare2_valid_def
    proof (safe)
      fix s
      assume ninfINV:  $\text{INV } s + 1 < \infty$ 
      then have  $\text{INV } s < \infty$ 
        using enat_ord_simps(4) by fastforce
      then obtain n where  $i: \text{INV } s = \text{enat } n$  using not_infinity_eq

```

by *auto*

In order to prove validity, we induct on the value of the Invariant, which is a finite number and decreases in every loop iteration. For each step we show that validity holds.

```

have  $INV\ s = enat\ n \implies \exists t\ p. (WHILE\ b\ DO\ c,\ s) \Rightarrow p \Downarrow t \wedge enat\ p$ 
 $+ (INV\ t + emb\ (\neg\ bval\ b\ t)) \leq INV\ s + 1$ 
proof (induct n arbitrary: s rule: less_induct)
  case (less n)
  show ?case
  proof (cases bval b s)
    case False
    show ?thesis
    using WhileFalse[OF False] one_enat_def by fastforce
  next
  case True
  — obtain the loop body from the outer IH
  with less(2) WH2 obtain t p
  where o:  $(c,\ s) \Rightarrow p \Downarrow t$ 
  and q:  $enat\ p + INV\ t + 1 \leq INV\ s$  by force

  — prepare premises to ...
  from q have g:  $INV\ t < INV\ s$ 
  using help2 less(2) by metis
  then have ninfINVt:  $INV\ t < \infty$  using less(2)
  using enat_ord_simps(4) by fastforce
  then obtain n' where i:  $INV\ t = enat\ n'$  using not_infinity_eq
  by auto
  with less(2) have ii:  $n' < n$ 
  using g by auto
  — ... obtain the tail of the While loop from the inner IH
  from i ii less(1) obtain t2 p2
  where o2:  $(WHILE\ b\ DO\ c,\ t) \Rightarrow p2 \Downarrow t2$ 
  and q2:  $enat\ p2 + (INV\ t2 + emb\ (\neg\ bval\ b\ t2)) \leq INV\ t + 1$ 
by blast
  have ende:  $\sim\ bval\ b\ t2$ 
  apply(rule ccontr) apply(simp) using q2 ninfINVt
  by (simp add: i one_enat_def)

  — combine body and tail to one loop unrolling:
  — - the Bigstep Semantic
  from WhileTrue[OF True o o2] have BigStep:  $(WHILE\ b\ DO\ c,\ s)$ 
 $\Rightarrow 1 + p + p2 \Downarrow t2$  by simp

```

— - the potentialPreservation
from *ende q2 have q2': enat p2 + INV t2 ≤ INV t + 1 by simp*

have *potentialPreservation: enat (1 + p + p2) + (INV t2 + ↑ (¬ bval b t2)) ≤ INV s + 1*
proof —
have *enat (1 + p + p2) + (INV t2 + ↑ (¬ bval b t2))*
 = enat (Suc (p + p2)) + INV t2 using ende by simp
also have *... = enat (Suc p) + enat p2 + INV t2 by fastforce*
also have *... ≤ enat (Suc p) + INV t + 1 using q2'*
 by (metis ab_semigroup_add_class.add_ac(1) add_left_mono)
also have *... ≤ INV s + 1 using q*
 by (metis (no_types, opaque_lifting) add commute add_left_mono
 eSuc_enat iadd_Suc plus_1_eSuc(1))
finally show *enat (1 + p + p2) + (INV t2 + ↑ (¬ bval b t2)) ≤*
INV s + 1 .
qed

 — finally combine BigStep Semantic and TimeBound
show *?thesis*
 apply(*rule exI[where x=t2]*)
 apply(*rule exI[where x= 1 + p + p2]*)
 apply(*safe*)
 by(*fact BigStep potentialPreservation*) +
qed
qed
from *this[OF i] show* $\exists t p. (WHILE\ b\ DO\ c, s) \Rightarrow p \Downarrow t \wedge enat\ p +$
(INV t + emb (¬ bval b t)) ≤ INV s + 1 .
qed
qed

5.4 Completeness

definition *wp2 :: com $\Rightarrow$ qassn $\Rightarrow$ qassn ($\langle wp_2 \rangle$) where*
wp2 c Q = (λs. (if (∃ t p. (c,s) $\Rightarrow$ p $\Downarrow$ t ∧ Q t < ∞) then enat (THE p.
∃ t. (c,s) $\Rightarrow$ p $\Downarrow$ t) + Q (THE t. ∃ p. (c,s) $\Rightarrow$ p $\Downarrow$ t) else ∞))

lemma *wp2_alt: wp2 c Q = (λs. (if $\downarrow(c,s)$ then enat ($\downarrow_t(c, s)$) + Q ($\downarrow_s$*
(c, s)) else ∞))

apply(*rule ext*) **by**(*auto simp: bigstepT_the_state wp2_def split: if_split*)

theorem *wp2_is_weakestprePotential: $\models_2 \{P\}c\{Q\} \longleftrightarrow (\forall s. wp_2\ c\ Q\ s$*

```

≤ P s)
unfolding wp2_def hoare2_valid_def
apply(rule)
subgoal
  apply(safe) subgoal for s
    apply(cases ∃ t p. (c, s) ⇒ p ↓ t ∧ Q t < ∞)
      apply(simp) apply auto oops

```

```

lemma wp2_Skip[simp]: wp2 SKIP Q = (%s. eSuc (Q s))
apply(auto intro!: ext simp: wp2_def)
prefer 2
apply(simp only: SKIPnot)
apply(simp)
apply(simp only: SKIPp SKIPt)
using one_enat_def plus_1_eSuc(1) by auto

```

```

lemma wp2_Assign[simp]: wp2 (x ::= e) Q = (λs. eSuc (Q (s(x := aval e
s))))
by (auto intro!: ext simp: wp2_def ASSp ASSt ASSnot eSuc_enat)

```

```

lemma wp2_Seq[simp]: wp2 (c1;;c2) Q = wp2 c1 (wp2 c2 Q)
unfolding wp2_def
proof (rule, case_tac ∃ t p. (c1;; c2, s) ⇒ p ↓ t ∧ Q t < ∞, goal_cases)
  case (1 s)
    then obtain u p where ter: (c1;; c2, s) ⇒ p ↓ u and Q: Q u < ∞ by
blast
    then obtain t p1 p2 where i: (c1, s) ⇒ p1 ↓ t and ii: (c2, t) ⇒ p2
↓ u and p: p1 + p2 = p by blast

```

```

from bigstepT_the_state[OF i] have t: ↓s (c1, s) = t
by blast
from bigstepT_the_state[OF ii] have t2: ↓s (c2, t) = u
by blast
from bigstepT_the_cost[OF i] have firstcost: ↓t (c1, s) = p1
by blast
from bigstepT_the_cost[OF ii] have secondcost: ↓t (c2, t) = p2
by blast

```

```

have totalcost: ↓t(c1;; c2, s) = p1 + p2
using bigstepT_the_cost[OF ter] p by auto
have totalstate: ↓s(c1;; c2, s) = u

```



```

using bigstepT_the_state[OF ter] by auto

have c2:  $\exists ta\ p. (c_2, t) \Rightarrow p \Downarrow ta \wedge Q\ ta < \infty$ 
  apply(rule exI[where x= u])
  apply(rule exI[where x= p2]) apply safe apply fact+ done

have C:  $\exists t\ p. (c_1, s) \Rightarrow p \Downarrow t \wedge (if\ \exists ta\ p. (c_2, t) \Rightarrow p \Downarrow ta \wedge Q\ ta < \infty$ 
then enat (THE p. Ex (big_step_t (c_2, t) p)) + Q (THE ta.  $\exists p. (c_2, t) \Rightarrow$ 
p  $\Downarrow$  ta) else  $\infty$ ) <  $\infty$ 
  apply(rule exI[where x=t])
  apply(rule exI[where x=p1])
  apply safe
  apply fact
  apply(simp only: c2 if_True)
  using Q bigstepT_the_state ii by auto

show ?case
  apply(simp only: 1 if_True t t2 c2 C totalcost totalstate firstcost sec-
ondcost) by fastforce
next
  case (2 s)
  show ?case apply(simp only: 2 if_False)
  apply auto using 2
  by force
qed

lemma wp2_If[simp]:
  wp2 (IF b THEN c1 ELSE c2) Q = ( $\lambda s. eSuc (wp2 (if bval\ b\ s\ then\ c_1\ else$ 
c2) Q s))
  apply (auto simp: wp2_def fun_eq_iff)
  subgoal for x t p i ta ia xa apply(simp only: IfTrue[THEN bigstepT_the_state])
  apply(simp only: IfTrue[THEN bigstepT_the_cost])
  apply(simp only: bigstepT_the_cost bigstepT_the_state)
  by (simp add: eSuc_enat)
  apply(simp only: bigstepT_the_state bigstepT_the_cost) apply force
  apply(simp only: bigstepT_the_state bigstepT_the_cost)
proof(goal_cases)
  case (1 x t p i ta ia xa)
  note f= IfFalse[THEN bigstepT_the_state, of b x c2 xa ta Suc xa c1,
simplified, OF 1(4) 1(5)]
  note f2= IfFalse[THEN bigstepT_the_cost, of b x c2 xa ta Suc xa c1,
simplified, OF 1(4) 1(5)]

```

```

note  $g = \text{bigstep\_det}[OF\ 1(1)\ 1(5)]$ 
show  $?case$ 
  apply(simp only:  $f\ f2$ ) using 1  $g$ 
  by (simp add:  $eSuc\_enat$ )
next
  case 2
  then
    show  $?case$ 
    apply(simp only:  $\text{bigstepT\_the\_state}\ \text{bigstepT\_the\_cost}$ ) apply force
done
qed

```

```

lemma assumes  $b: \text{bval}\ b\ s$ 
  shows  $\text{wp2WhileTrue}: \text{wp}_2\ c\ (\text{wp}_2\ (\text{WHILE}\ b\ \text{DO}\ c)\ Q)\ s\ +\ 1 \leq \text{wp}_2\ (\text{WHILE}\ b\ \text{DO}\ c)\ Q\ s$ 
proof (cases  $\exists t\ p. (\text{WHILE}\ b\ \text{DO}\ c, s) \Rightarrow p \Downarrow t \wedge Q\ t < \infty$ )
  case True
    then obtain  $t\ p$  where  $w: (\text{WHILE}\ b\ \text{DO}\ c, s) \Rightarrow p \Downarrow t$  and  $q: Q\ t < \infty$ 
    by blast
    from  $b\ w$  obtain  $p1\ p2\ t1$  where  $c: (c, s) \Rightarrow p1 \Downarrow t1$  and  $w': (\text{WHILE}\ b\ \text{DO}\ c, t1) \Rightarrow p2 \Downarrow t$  and  $\text{sum}: 1 + p1 + p2 = p$ 
    by auto
    have  $g: \exists ta\ p. (\text{WHILE}\ b\ \text{DO}\ c, t1) \Rightarrow p \Downarrow ta \wedge Q\ ta < \infty$ 
    apply(rule exI[where  $x=t1$ ])
    apply(rule exI[where  $x=p2$ ])
    apply safe apply fact+ done

    have  $h: \exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge (\text{if } \exists ta\ p. (\text{WHILE}\ b\ \text{DO}\ c, t) \Rightarrow p \Downarrow ta \wedge Q\ ta < \infty \text{ then } \text{enat}\ (\text{THE}\ p. \text{Ex}\ (\text{big\_step\_t}\ (\text{WHILE}\ b\ \text{DO}\ c, t)\ p)) + Q\ (\text{THE}\ ta. \exists p. (\text{WHILE}\ b\ \text{DO}\ c, t) \Rightarrow p \Downarrow ta) \text{ else } \infty) < \infty$ 
    apply(rule exI[where  $x=t1$ ])
    apply(rule exI[where  $x=p1$ ])
    apply safe apply fact
    apply(simp only:  $g\ \text{if\_True}$ ) using  $\text{bigstepT\_the\_state}\ \text{bigstepT\_the\_cost}\ w'\ q$  by(auto)

```

```

  have  $\text{wp}_2\ c\ (\text{wp}_2\ (\text{WHILE}\ b\ \text{DO}\ c)\ Q)\ s\ +\ 1 = \text{enat}\ p + Q\ t$ 
  unfolding  $\text{wp2\_def}$  apply(simp only:  $h\ \text{if\_True}$ )
  apply(simp only:  $\text{bigstepT\_the\_state}[OF\ c]\ \text{bigstepT\_the\_cost}[OF\ c]\ g\ \text{if\_True}\ \text{bigstepT\_the\_state}[OF\ w']\ \text{bigstepT\_the\_cost}[OF\ w']$ ) using  $\text{sum}$ 
  by (metis One\_nat\_def ab\_semigroup\_add\_class.add\_ac(1) add.commute add.right\_neutral  $eSuc\_enat\ \text{plus\_1}\ eSuc$ (2) plus\_enat\_simps(1))
  also have  $\dots = \text{wp}_2\ (\text{WHILE}\ b\ \text{DO}\ c)\ Q\ s$ 

```

```

    unfolding wp2_def apply(simp only: True if_True)
    using bigstepT_the_state bigstepT_the_cost w apply(simp) done
  finally show ?thesis by simp
next
case False
have wp2 (WHILE b DO c) Q s = ∞
  unfolding wp2_def
  apply(simp only: False if_False) done
then show ?thesis by auto
qed

lemma assumes b: bval b s
shows wp2WhileTrue': wp2 c (wp2 (WHILE b DO c) Q) s + 1 = wp2
(WHILE b DO c) Q s
proof (cases ∃ p t. (WHILE b DO c, s) ⇒ p ↓ t)
case True
then obtain t p where w: (WHILE b DO c, s) ⇒ p ↓ t by blast
from b w obtain p1 p2 t1 where c: (c, s) ⇒ p1 ↓ t1 and w': (WHILE
b DO c, t1) ⇒ p2 ↓ t and sum: 1 + p1 + p2 = p
  by auto
then have z: ↓ (c, s) and z2: ↓ (WHILE b DO c, t1) by auto

have wp2 c (wp2 (WHILE b DO c) Q) s + 1 = enat p + Q t
  unfolding wp2_alt apply(simp only: z if_True)
  apply(simp only: bigstepT_the_state[OF c] bigstepT_the_cost[OF c]
z2 if_True bigstepT_the_state[OF w'] bigstepT_the_cost[OF w'])
  using sum
  by (metis One_nat_def ab_semigroup_add_class.add_ac(1) add.commute
add.right_neutral eSuc_enat plus_1_eSuc(2) plus_enat_simps(1))
also have ... = wp2 (WHILE b DO c) Q s
  unfolding wp2_alt apply(simp only: True if_True)
  using bigstepT_the_state bigstepT_the_cost w apply(simp) done
finally show ?thesis by simp
next
case False
case False
have ¬ (↓ (WHILE b DO c, ↓s(c, s)) ∧ ↓ (c, s))
proof (rule)
assume P: ↓ (WHILE b DO c, ↓s(c, s)) ∧ ↓ (c, s)
then obtain t s' where A: (c, s) ⇒ t ↓ s' by blast
with A P have ↓ (WHILE b DO c, s') using bigstepT_the_state by
auto
then obtain t' s'' where B: (WHILE b DO c, s') ⇒ t' ↓ s'' by auto
have (WHILE b DO c, s) ⇒ 1+t+t' ↓ s'' apply(rule WhileTrue) using
b A B by auto

```

```

    then have  $\downarrow (WHILE\ b\ DO\ c,\ s)$  by auto
    thus False using False by auto
  qed
  then have  $\neg \downarrow (WHILE\ b\ DO\ c,\ \downarrow_s(c,s)) \vee \neg \downarrow (c,\ s)$  by simp

  then show ?thesis apply rule
    subgoal unfolding wp2_alt apply(simp only: if_False False) by auto
    subgoal unfolding wp2_alt apply(simp only: if_False False) by auto
  done
qed

lemma assumes b:  $\sim\ bval\ b\ s$ 
  shows wp2WhileFalse:  $Q\ s + 1 \leq wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$ 
proof (cases  $\exists\ t\ p.\ (WHILE\ b\ DO\ c,\ s) \Rightarrow p \Downarrow t \wedge Q\ t < \infty$ )
  case True
    with b obtain t p where w:  $(WHILE\ b\ DO\ c,\ s) \Rightarrow p \Downarrow t$  and  $Q\ t < \infty$ 
  by blast
    with b have c:  $s=t\ p=Suc\ 0$  by auto
    have  $wp_2\ (WHILE\ b\ DO\ c)\ Q\ s = Q\ s + 1$ 
      unfolding wp2_def apply(simp only: True if_True)
      using w c bigstepT_the_cost bigstepT_the_state by(auto simp add: one_enat_def)
    then show ?thesis by auto
  next
    case False
    have  $wp_2\ (WHILE\ b\ DO\ c)\ Q\ s = \infty$ 
      unfolding wp2_def
      apply(simp only: False if_False) done
    then show ?thesis by auto
  qed

lemma thet_WhileFalse:  $\sim\ bval\ b\ s \Longrightarrow \downarrow_t (WHILE\ b\ DO\ c,\ s) = 1$  by auto
lemma thes_WhileFalse:  $\sim\ bval\ b\ s \Longrightarrow \downarrow_s (WHILE\ b\ DO\ c,\ s) = s$  by auto

lemma assumes b:  $\sim\ bval\ b\ s$ 
  shows wp2WhileFalse':  $Q\ s + 1 = wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$ 
proof -
  from b have T:  $\downarrow (WHILE\ b\ DO\ c,\ s)$  by auto
  show ?thesis unfolding wp2_alt using b apply(simp only: T if_True)
    by(simp add: thet_WhileFalse thes_WhileFalse one_enat_def)
  qed

```

lemma *wp2While*: (if *bval b s* then $wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\ s$ else $Q\ s$) + 1 = $wp_2\ (WHILE\ b\ DO\ c)\ Q\ s$
apply(cases *bval b s*)
using *wp2WhileTrue'* **apply** *simp*
using *wp2WhileFalse'* **apply** *simp* **done**

lemma **assumes** $\bigwedge Q. \vdash_2 \{wp_2\ c\ Q\}\ c\ \{Q\}$
shows $\vdash_2 \{wp_2\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{Q\}$
proof –
let $?I = \%s. (if\ bval\ b\ s\ then\ wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\ s\ else\ Q\ s)$
from *assms*[of $wp_2\ (WHILE\ b\ DO\ c)\ Q$]
have $A: \vdash_2 \{wp_2\ c\ (wp_2\ (WHILE\ b\ DO\ c)\ Q)\}\ c\ \{wp_2\ (WHILE\ b\ DO\ c)\ Q\}$.
have $B: \vdash_2 \{\lambda s. (?I\ s) + \uparrow (bval\ b\ s)\}\ c\ \{\lambda t. (?I\ t) + 1\}$
apply(rule *conseq*)
apply(rule A)
apply *simp*
using *wp2While* **apply** *simp* **done**
from *hoare2.While*[**where** $I=?I$]
have $C: \vdash_2 \{\lambda s. (?I\ s) + \uparrow (bval\ b\ s)\}\ c\ \{\lambda t. (?I\ t) + 1\} \implies$
 $\vdash_2 \{\lambda s. (?I\ s) + 1\}\ WHILE\ b\ DO\ c\ \{\lambda s. (?I\ s) + \uparrow (\neg\ bval\ b\ s)\}$
by *simp*
from $C[OF\ B]$ **have** $D: \vdash_2 \{\lambda s. (?I\ s) + 1\}\ WHILE\ b\ DO\ c\ \{\lambda s. (?I\ s) + \uparrow (\neg\ bval\ b\ s)\}$.
show $\vdash_2 \{wp_2\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{Q\}$
apply(rule *conseq*)
apply(rule D)
using *wp2While* **apply** *simp*
apply *simp* **done**
qed

lemma *wp2_is_pre*: $\vdash_2 \{wp_2\ c\ Q\}\ c\ \{Q\}$
proof (*induction c arbitrary: Q*)
case *SKIP* **show** *?case* **by** (*auto intro: hoare2.Skip*)
next
case *Assign* **show** *?case* **by** (*auto intro: hoare2.Assign*)
next
case *Seq* **thus** *?case* **by** (*auto intro: hoare2.Seq*)

```

next
  case (If x1 c1 c2 Q) thus ?case
    apply (auto intro!: hoare2.If )
    apply(rule hoare2.conseq)
    apply(auto)
    apply(rule hoare2.conseq)
    apply(auto)
  done
next
  case (While b c)
  show ?case
    apply(rule conseq)
    apply(rule hoare2.While[where I=%s. (if bval b s then wp2 c (wp2
( WHILE b DO c) Q) s else Q s)])
    apply(rule conseq)
    apply(rule While[of wp2 ( WHILE b DO c) Q])
    using wp2While by auto
qed

```

```

lemma wp2_is_weakestprePotential1:  $\models_2 \{P\}c\{Q\} \implies (\forall s. wp2\ c\ Q\ s \leq P\ s)$ 
apply(auto simp: hoare2_valid_def wp2_def)
proof(goal_cases)
  case (1 s t p i)
  show ?case
  proof(cases  $P\ s < \infty$ )
    case True
    with 1(1) obtain t p' where i:  $(c, s) \Rightarrow p' \Downarrow t$  and ii:  $enat\ p' + Q\ t \leq P\ s$ 
    by auto
    show ?thesis apply(simp add: bigstepT_the_state[OF i] bigstepT_the_cost[OF i] ii) done
  qed simp
qed force

```

```

lemma wp2_is_weakestprePotential2:  $(\forall s. wp2\ c\ Q\ s \leq P\ s) \implies \models_2 \{P\}c\{Q\}$ 
apply(auto simp: hoare2_valid_def wp2_def)
proof(goal_cases)
  case (1 s i)
  then have A: (if  $\exists t. (\exists p. (c, s) \Rightarrow p \Downarrow t) \wedge (\exists i. Q\ t = enat\ i)$  then  $enat\ (THE\ p. Ex\ (big\_step\_t\ (c, s)\ p)) + Q\ (THE\ t. \exists p. (c, s) \Rightarrow p \Downarrow t)$  else

```

```

 $\infty$ )  $\leq P\ s$ 
  by fast
  show ?case
  proof (cases  $\exists t. (\exists p. (c, s) \Rightarrow p \Downarrow t) \wedge (\exists i. Q\ t = \text{enat}\ i)$ )
    case True
      then obtain  $t\ p$  where  $i: (c, s) \Rightarrow p \Downarrow t$  by blast
      from True A have  $\text{enat}\ p + Q\ t \leq P\ s$  by (simp add: bigstepT_the_cost[OF
i] bigstepT_the_state[OF i])
      then have  $(c, s) \Rightarrow p \Downarrow t \wedge \text{enat}\ p + Q\ t \leq \text{enat}\ i$  using 1(2) i by
simp
      then show ?thesis by auto
    next
      case False
      with A have  $P\ s \geq \infty$  by auto
      then show ?thesis using 1 by auto
  qed
qed

```

```

theorem wp2_is_weakestprePotential:  $(\forall s. \text{wp}_2\ c\ Q\ s \leq P\ s) \longleftrightarrow \models_2$ 
 $\{P\}c\{Q\}$ 
  using wp2_is_weakestprePotential2 wp2_is_weakestprePotential1 by
metis

```

```

theorem hoare2_complete:  $\models_2 \{P\}c\{Q\} \implies \vdash_2 \{P\}c\{ Q\}$ 
apply(rule conseq[OF wp2_is_pre, where  $Q'=Q$  and  $Q=Q$ , simplified])
using wp2_is_weakestprePotential1 by blast

```

```

corollary hoare2_sound_complete:  $\vdash_2 \{P\}c\{Q\} \longleftrightarrow \models_2 \{P\}c\{ Q\}$ 
by (metis hoare2_sound hoare2_complete)

```

end

5.5 Verification Condition Generator

```

theory Quant_VCG
imports Quant_Hoare
begin

```

```

datatype acom =
  Askip                (⟨SKIP⟩) |
  Aassign vname aexp   (⟨_ ::= _⟩ [1000, 61] 61) |
  Aseq   acom acom     (⟨_;;_⟩ [60, 61] 60) |
  Aif bexp acom acom   (⟨(IF _/ THEN _/ ELSE _)⟩ [0, 0, 61] 61) |
  Awhile qassn bexp acom (⟨({_}/ WHILE _/ DO _)⟩ [0, 0, 61] 61)

```

notation com.SKIP (⟨SKIP⟩)

```

fun strip :: acom ⇒ com where
  strip SKIP = SKIP |
  strip (x ::= a) = (x ::= a) |
  strip (C1;; C2) = (strip C1;; strip C2) |
  strip (IF b THEN C1 ELSE C2) = (IF b THEN strip C1 ELSE strip C2) |
  strip ({_} WHILE b DO C) = (WHILE b DO strip C)

```

```

fun pre :: acom ⇒ qassn ⇒ qassn where
  pre SKIP Q = (λs. eSuc (Q s)) |
  pre (x ::= a) Q = (λs. eSuc (Q (s[a/x]))) |
  pre (C1;; C2) Q = pre C1 (pre C2 Q) |
  pre (IF b THEN C1 ELSE C2) Q =
    (λs. eSuc (if bval b s then pre C1 Q s else pre C2 Q s)) |
  pre ({I} WHILE b DO C) Q = (λs. I s + 1)

```

```

fun vc :: acom ⇒ qassn ⇒ bool where
  vc SKIP Q = True |
  vc (x ::= a) Q = True |
  vc (C1;; C2) Q = ((vc C1 (pre C2 Q)) ∧ (vc C2 Q)) |
  vc (IF b THEN C1 ELSE C2) Q = (vc C1 Q ∧ vc C2 Q) |
  vc ({I} WHILE b DO C) Q = ((∀ s. (pre C (λs. I s + 1) s ≤ I s + ↑(bval b s)) ∧ (Q s ≤ I s + ↑(¬ bval b s))) ∧ vc C (%s. I s + 1))

```

5.5.1 Soundness of VCG

lemma *vc_sound*: $vc\ C\ Q \implies \vdash_2 \{pre\ C\ Q\}\ strip\ C\ \{Q\}$

proof (induct C arbitrary: Q)

case (Aif b C1 C2)

then have Aif1: $\vdash_2 \{pre\ C1\ Q\}\ strip\ C1\ \{Q\}$ and Aif2: $\vdash_2 \{pre\ C2\ Q\}\ strip\ C2\ \{Q\}$ by auto

show ?case apply auto apply (rule hoare2.conseq)

apply (rule hoare2.If[where P=%s. if bval b s then pre C1 Q s else pre C2 Q s and Q=Q])

subgoal


```

    apply(rule hoare2.conseq)
    apply (fact Aif1)
    subgoal for s apply(cases bval b s) by auto
    apply simp done
  subgoal
    apply(rule hoare2.conseq)
    apply (fact Aif2)
    subgoal for s apply(cases bval b s) by auto
    apply simp done
    apply auto
  done
next
  case (Awhile I b C)
  then have i: ( $\bigwedge Q. vc\ C\ Q \implies \vdash_2 \{pre\ C\ Q\}\ strip\ C\ \{Q\}$ )
    and ii:  $\forall s. pre\ C\ (\lambda s. I\ s + 1)\ s \leq I\ s + \uparrow (bval\ b\ s) \wedge Q\ s \leq I\ s + \uparrow (\neg\ bval\ b\ s)$ 
    and iii:  $vc\ C\ (\lambda s. I\ s + 1)$  by auto

  from i iii have A:  $\vdash_2 \{pre\ C\ (\lambda s. I\ s + 1)\}\ strip\ C\ \{(\lambda s. I\ s + 1)\}$  by
  auto

  have  $\vdash_2 \{\lambda s. I\ s + 1\}\ WHILE\ b\ DO\ strip\ C\ \{Q\}$ 
    apply(rule hoare2.conseq)
    apply(rule hoare2.While[where I=I])
    apply(rule hoare2.conseq)
    apply(rule A) using ii by auto
  then show ?case by auto
qed (auto intro: hoare2.Skip hoare2.Assign hoare2.Seq )

```

lemma *vc_sound'*: $\llbracket vc\ C\ Q ; (\forall s. pre\ C\ Q\ s \leq P\ s) \rrbracket \implies \vdash_2 \{P\}\ strip\ C\ \{Q\}$

```

  apply(rule hoare2.conseq)
  apply(rule vc_sound) by auto

```

5.5.2 Completeness

lemma *pre_mono*: **assumes** $\bigwedge s. P'\ s \leq P\ s$
shows $\bigwedge s. pre\ C\ P'\ s \leq pre\ C\ P\ s$
using *assms* **by** (induct C arbitrary: P P', auto)

lemma *vc_mono*: **assumes** $\bigwedge s. P'\ s \leq P\ s$
shows $vc\ C\ P \implies vc\ C\ P'$

```

using assms proof (induct C arbitrary: P P')
case (Awhile I b C)
thus ?case
  apply (auto simp: pre_mono)
  using order.trans by blast
qed (auto simp: pre_mono)

```

```

lemma  $\vdash_2 \{ P \} c \{ Q \} \implies \exists C. \text{strip } C = c \wedge \text{vc } C \ Q \wedge (\forall s. \text{pre } C \ Q$ 
 $s \leq P \ s)$ 
  (is  $\_ \implies \exists C. ?G \ P \ c \ Q \ C$ )
proof (induction rule: hoare2.induct)
  case (Skip P)
  show ?case (is  $\exists C. ?C \ C$ )
  proof show ?C Askip by auto
  qed
next
  case (Assign P a x)
  show ?case (is  $\exists C. ?C \ C$ )
  proof show ?C(Aassign x a) by simp qed
next
  case (If P b c1 Q c2)
  from If(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1 Q
  and pre1: ( $\bigwedge s. \text{pre } C1 \ Q \ s \leq P \ s + \uparrow (bval \ b \ s)$ ) by blast
  from If(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2 Q
  and pre2: ( $\bigwedge s. \text{pre } C2 \ Q \ s \leq P \ s + \uparrow (\neg bval \ b \ s)$ ) by blast

  show ?case
    apply(rule exI[where x=IF b THEN C1 ELSE C2], safe)
    subgoal using strip1 strip2 by auto
    subgoal using vc1 vc2 by auto
    subgoal for s using pre1[of s] pre2[of s] by auto
    done
next
  case (Seq P1 c1 P2 c2 P3)
  from Seq(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1 P2
  and pre1: ( $\forall s. \text{pre } C1 \ P_2 \ s \leq P_1 \ s$ ) by blast
  from Seq(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2 P3
  and pre2: ( $\forall s. \text{pre } C2 \ P_3 \ s \leq P_2 \ s$ ) by blast
  {
    fix s
    have pre C1 (pre C2 P3) s ≤ P1 s
    apply(rule order.trans[where b=pre C1 P2 s])
    apply(rule pre_mono) using pre2 apply simp using pre1 by simp
  }

```

```

} note pre = this
show ?case
  apply(rule exI[where x=C1 ;; C2], safe)
  subgoal using strip1 strip2 by simp
  subgoal using vc1 vc2 vc_mono pre2 by auto
  subgoal using pre by auto
  done
next
case (While I b c)
from While(2) obtain C where strip: strip C = c and vc: vc C (λa. I
a + 1)
and pre: ∧s. pre C (λa. I a + 1) s ≤ I s + ↑(bval b s) by blast
show ?case
  apply(rule exI[where x={I} WHILE b DO C], safe)
  subgoal using strip by simp
  subgoal using pre vc by auto
  subgoal by simp
  done
next
case (conseq P c Q P' Q')
then obtain C where strip C = c and vc: vc C Q and pre: ∧s. pre C
Q s ≤ P s by blast

from pre_mono[OF conseq(3)] have 1: ∧s. pre C Q' s ≤ pre C Q s by
auto

show ?case
  apply(rule exI[where x=C])
  apply safe
  apply fact
  subgoal using vc conseq(3) vc_mono by auto
  subgoal using pre conseq(2) 1 using order.trans by metis
  done
qed

```

end

5.6 Examples

theory Quant_Examples

```

imports Quant_VCG
begin

fun sum :: int  $\Rightarrow$  int where
  sum i = (if i  $\leq$  0 then 0 else sum (i - 1) + i)

abbreviation wsum ==
  WHILE Less (N 0) (V "x")
  DO ("y" ::= Plus (V "y") (V "x"));
  "x" ::= Plus (V "x") (N (- 1)))

lemma example:  $\vdash_2 \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \} \text{ "y"} ::=$ 
  N 0;; wsum { $\lambda s. 0$  }
apply(rule Seq)
prefer 2
apply(rule conseq)
apply(rule While[where I= $\lambda s. \text{enat } (3 * \text{nat } (s \text{ "x"}))$ ]])
apply(rule Seq)
prefer 2
  apply(rule Assign)
  apply(rule Assign')
  apply(simp)
  apply(safe) subgoal for s apply(cases 0 < s "x") apply(simp)
  apply (smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral eq_iff_iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
  apply(simp) done
  apply blast
  apply simp
apply(rule Assign')
apply simp
  apply(safe) subgoal for s apply(cases s "x" = int n) apply(simp)
  apply (simp add: eSuc_enat_plus_1_eSuc(2))
  apply simp
  done
done

lemma example_sound:  $\models_2 \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \}$ 
  "y" ::= N 0;; wsum { $\lambda s. 0$  }
apply(rule hoare2_sound) apply (rule example) done

```

5.6.1 Examples for the use of the VCG

```

abbreviation Wsum ==

```

```

{ $\lambda s. \text{enat } (\mathcal{I} * \text{nat } (s \text{ ''x''}))$ } WHILE Less (N 0) (V ''x'')
DO (''y'' ::= Plus (V ''y'') (V ''x''));;
  ''x'' ::= Plus (V ''x'') (N (- 1)))

lemma  $\vdash_2$  { $\lambda s. \text{enat } (2 + \mathcal{I} * n) + \text{emb } (s \text{ ''x''} = \text{int } n)$ } ''y'' ::= N 0;;
wsum { $\lambda s. 0$ }
proof -
  have  $\vdash_2$  { $\lambda s. \text{enat } (2 + \mathcal{I} * n) + \text{emb } (s \text{ ''x''} = \text{int } n)$ } strip (''y'' ::= N
0;; Wsum) { $\lambda s. 0$ }
  apply(rule vc_sound')
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases 0 < s ''x'')
      apply(simp)
      apply (smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral_eq_iff iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
      apply(simp) done
    done
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases s ''x'' = int n) apply(simp)

      apply (simp add: eSuc_enat plus_1_eSuc(2))
      apply simp
      done
    done
  done
  then show ?thesis by simp
qed

end

```

6 Quantitative Hoare Logic (big-O style)

```

theory QuantK_Hoare
imports Big_StepT Complex_Main HOL-Library.Extended_Nat
begin

```

```

abbreviation eq a b == (And (Not (Less a b)) (Not (Less b a)))

```

```

type_synonym lname = string

```

type_synonym *assn* = *state* $\Rightarrow$ *bool*
type_synonym *qassn* = *state* $\Rightarrow$ *enat*

The support of an assn2

abbreviation *state_subst* :: *state* $\Rightarrow$ *aexp* $\Rightarrow$ *vname* $\Rightarrow$ *state*
 $(\langle _ _ _ \rangle [1000, 0, 0] \ 999)$
where $s[a/x] == s(x := \text{aval } a \ s)$

fun *emb* :: *bool* $\Rightarrow$ *enat* $(\langle \uparrow \rangle)$ **where**
 $\text{emb } \text{False} = \infty$
 $\mid \text{emb } \text{True} = 0$

6.1 Definition of Validity

definition *hoare2o_valid* :: *qassn* $\Rightarrow$ *com* $\Rightarrow$ *qassn* $\Rightarrow$ *bool*
 $(\langle \models_2' \{ (1_) \} / (_) / \{ (1_) \} \ 50 \rangle)$ **where**
 $\models_2' \{P\} \ c \ \{Q\} \ \longleftrightarrow \ (\exists k > 0. (\forall s. \ P \ s < \infty \longrightarrow (\exists t \ p. ((c, s) \Rightarrow p \Downarrow t) \wedge \text{enat } k * P \ s \geq p + \text{enat } k * Q \ t)))$

6.2 Hoare Rules

inductive

hoareQ :: *qassn* $\Rightarrow$ *com* $\Rightarrow$ *qassn* $\Rightarrow$ *bool* $(\langle \vdash_2' (\{ (1_) \} / (_) / \{ (1_) \}) \ 50 \rangle)$
where

Skip: $\vdash_2' \{ \%s. \text{eSuc } (P \ s) \} \text{ SKIP } \{P\} \mid$

Assign: $\vdash_2' \{ \lambda s. \text{eSuc } (P \ (s[a/x])) \} x ::= a \ \{ P \} \mid$

If: $\llbracket \vdash_2' \{ \lambda s. \ P \ s + \uparrow(\text{bval } b \ s) \} \ c_1 \ \{ Q \};$
 $\vdash_2' \{ \lambda s. \ P \ s + \uparrow(\neg \text{bval } b \ s) \} \ c_2 \ \{ Q \} \rrbracket$
 $\Longrightarrow \vdash_2' \{ \lambda s. \text{eSuc } (P \ s) \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \ \{ Q \} \mid$

Seq: $\llbracket \vdash_2' \{ P_1 \} \ c_1 \ \{ P_2 \}; \vdash_2' \{ P_2 \} \ c_2 \ \{ P_3 \} \rrbracket \Longrightarrow \vdash_2' \{ P_1 \} \ c_1;; c_2 \ \{ P_3 \}$
 $\mid$

While:

$\llbracket \vdash_2' \{ \%s. \ I \ s + \uparrow(\text{bval } b \ s) \} \ c \ \{ \%t. \ I \ t + 1 \} \rrbracket$
 $\Longrightarrow \vdash_2' \{ \lambda s. \ I \ s + 1 \} \text{ WHILE } b \text{ DO } c \ \{ \lambda s. \ I \ s + \uparrow(\neg \text{bval } b \ s) \} \mid$

conseq: $\llbracket \vdash_2' \{P\} c \{Q\} ; \wedge s. \ P \ s \leq \text{enat } k * P' \ s ; \wedge s. \ \text{enat } k * Q' \ s \leq Q \ s ; k > 0 \rrbracket \Longrightarrow$
 $\vdash_2' \{P'\} c \{Q'\}$

Derived Rules

lemma *const*: $\llbracket \vdash_{2'} \{ \lambda s. \text{enat } k * P \ s \} c \{ \lambda s. \text{enat } k * Q \ s \}; \ k > 0 \rrbracket \Longrightarrow$
 $\vdash_{2'} \{ P \} c \{ Q \}$
apply(*rule* *conseq*) **by** *auto*

inductive

hoareQ' :: *qassn* $\Rightarrow$ *com* $\Rightarrow$ *qassn* $\Rightarrow$ *bool* ($\langle \vdash_Z (\{(1_)\} / (_) / \{(1_)\}) \rangle$
50)

where

ZSkip: $\vdash_Z \{ \%s. \text{eSuc } (P \ s) \} \text{SKIP } \{ P \} \mid$

ZAssign: $\vdash_Z \{ \lambda s. \text{eSuc } (P \ (s[a/x])) \} x ::= a \{ P \} \mid$

ZIf: $\llbracket \vdash_Z \{ \lambda s. P \ s + \uparrow(\text{bval } b \ s) \} c_1 \{ Q \};$
 $\vdash_Z \{ \lambda s. P \ s + \uparrow(\neg \text{bval } b \ s) \} c_2 \{ Q \} \rrbracket$
 $\Longrightarrow \vdash_Z \{ \lambda s. \text{eSuc } (P \ s) \} \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ Q \} \mid$

ZSeq: $\llbracket \vdash_Z \{ P_1 \} c_1 \{ P_2 \}; \vdash_Z \{ P_2 \} c_2 \{ P_3 \} \rrbracket \Longrightarrow \vdash_Z \{ P_1 \} c_1;; c_2 \{ P_3 \}$
 $\mid$

ZWhile:

$\llbracket \vdash_Z \{ \%s. I \ s + \uparrow(\text{bval } b \ s) \} c \{ \%t. I \ t + 1 \} \rrbracket$
 $\Longrightarrow \vdash_Z \{ \lambda s. I \ s + 1 \} \text{WHILE } b \text{ DO } c \{ \lambda s. I \ s + \uparrow(\neg \text{bval } b \ s) \} \mid$

Zconseq': $\llbracket \vdash_Z \{ P \} c \{ Q \}; \wedge s. P \ s \leq P' \ s; \wedge s. Q' \ s \leq Q \ s \rrbracket \Longrightarrow$
 $\vdash_Z \{ P \} c \{ Q \} \mid$

Zconst: $\llbracket \vdash_Z \{ \lambda s. \text{enat } k * P \ s \} c \{ \lambda s. \text{enat } k * Q \ s \}; \ k > 0 \rrbracket \Longrightarrow$
 $\vdash_Z \{ P \} c \{ Q \}$

lemma *Zconseq*: $\llbracket \vdash_Z \{ P \} c \{ Q \}; \wedge s. P \ s \leq \text{enat } k * P' \ s; \wedge s. \text{enat } k * Q' \ s \leq Q \ s; \ k > 0 \rrbracket \Longrightarrow$
 $\vdash_Z \{ P \} c \{ Q \}$
apply(*rule* *Zconst*[*of* *k* *P'* *c* *Q'*])
apply(*rule* *Zconseq'*[**where** *P*=*P* **and** *Q*=*Q*]) **by** *auto*

lemma *ZQ*: $\vdash_Z \{ P \} c \{ Q \} \Longrightarrow \vdash_{2'} \{ P \} c \{ Q \}$

apply(*induct rule*: *hoareQ'.induct*)

apply (*auto simp*: *hoareQ.Skip* *hoareQ.Assign* *hoareQ.If* *hoareQ.Seq*
hoareQ.While)

```

    subgoal using conseq[where k=1] using one_enat_def by auto
    subgoal for k P c Q using const by auto
  done
lemma QZ:  $\vdash_2, \{ P \} c \{ Q \} \implies \vdash_Z \{ P \} c \{ Q \}$ 
  apply(induct rule: hoareQ.induct)
  apply (auto simp: ZSkip ZAssign ZIf ZSeq ZWhile )
  using Zconseq by blast

lemma QZ_iff:  $\vdash_2, \{ P \} c \{ Q \} \longleftrightarrow \vdash_Z \{ P \} c \{ Q \}$ 
using ZQ QZ by metis

```

6.3 Soundness

```

lemma enatSuc0[simp]: enat (Suc 0) * x = x
  using one_enat_def by auto

```

```

theorem hoareQ_sound:  $\vdash_2, \{ P \} c \{ Q \} \implies \models_2, \{ P \} c \{ Q \}$ 
  apply(unfold hoare2o_valid_def)
  proof( induction rule: hoareQ.induct)
    case (Skip P)
    show ?case apply(rule exI[where x=1]) apply(auto)
      subgoal for s apply(rule exI[where x=s]) apply(rule exI[where
x=Suc 0])
        apply safe
        apply fast
        by (metis add.left_neutral add.right_neutral eSuc_enat iadd_Suc
le_iff_add zero_enat_def)
      done
    next
    case (Assign P a x)
    show ?case apply(rule exI[where x=1]) apply(auto)
      subgoal for s apply(rule exI[where x=s[a/x]]) apply(rule exI[where
x=Suc 0])
        apply safe
        apply fast
        by (metis add.left_neutral add.right_neutral eSuc_enat iadd_Suc
le_iff_add zero_enat_def)
      done
    next
    case (Seq P1 C1 P2 C2 P3)
    from Seq(3) obtain k1 where Seq3:  $\forall s. P1\ s < \infty \longrightarrow (\exists t\ p. (C1, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{enat } k1 * P2\ t \leq \text{enat } k1 * P1\ s)$  and 10:  $k1 > 0$  by
blast

```



```

from Seq(4) obtain k2 where Seq4:  $\forall s. P2\ s < \infty \longrightarrow (\exists t\ p. (C2, s) \Rightarrow p \Downarrow t \wedge enat\ p + enat\ k2 * P3\ t \leq enat\ k2 * P2\ s)$  and 20:  $k2 > 0$  by
blast
let ?k = lcm k1 k2
show ?case apply(rule exI[where x=?k])
proof (safe)
  from 10 20 show lcm k1 k2 > 0 by (auto simp: lcm_pos_nat)
  fix s
  assume ninfP1:  $P1\ s < \infty$ 
  with Seq3 obtain t1 p1 where 1:  $(C1, s) \Rightarrow p1 \Downarrow t1$  and q1:  $enat\ p1 + k1 * P2\ t1 \leq k1 * P1\ s$  by blast
  with ninfP1 have ninfP2:  $P2\ t1 < \infty$ 
    using not_le 10 by fastforce
  with Seq4 obtain t2 p2 where 2:  $(C2, t1) \Rightarrow p2 \Downarrow t2$  and q2:  $enat\ p2 + k2 * P3\ t2 \leq k2 * P2\ t1$  by blast
  with ninfP2 have ninfP3:  $P3\ t2 < \infty$ 
    using not_le 20 by fastforce
  then obtain u2 where u2:  $P3\ t2 = enat\ u2$  by auto
  from ninfP2 obtain u1 where u1:  $P2\ t1 = enat\ u1$  by auto
  from ninfP1 obtain u0 where u0:  $P1\ s = enat\ u0$  by auto

  from Big_StepT.Seq[OF 1 2] have 12:  $(C1;; C2, s) \Rightarrow p1 + p2 \Downarrow t2$ 
by simp

  have i:  $(C1;; C2, s) \Rightarrow p1 + p2 \Downarrow t2$  using 1 and 2 by auto

  from 10 20 have p:  $k1\ \text{div}\ \text{gcd}\ k1\ k2 > 0\ k2\ \text{div}\ \text{gcd}\ k1\ k2 > 0$ 
    by (simp_all add: div_greater_zero_iff)

  have za: ?k =  $(k1\ \text{div}\ \text{gcd}\ k1\ k2) * k2$ 
    apply(simp only: lcm_nat_def)
    by (simp add: dvd_div_mult)

  have za2: ?k =  $(k2\ \text{div}\ \text{gcd}\ k1\ k2) * k1$ 
    apply(simp only: lcm_nat_def)
    by (metis dvd_div_mult gcd_dvd2 mult.commute)

  from q1[unfolded u1 u2 u0] have z:  $p1 + k1 * u1 \leq k1 * u0$  by auto
  from q2[unfolded u1 u2 u0] have y:  $p2 + k2 * u2 \leq k2 * u1$  by
auto
  have  $p1 + p2 + ?k * u2 \leq p1 + (k1\ \text{div}\ \text{gcd}\ k1\ k2) * p2 + ?k * u2$ 
using p by simp
  also have  $\dots \leq (k2\ \text{div}\ \text{gcd}\ k1\ k2) * p1 + (k1\ \text{div}\ \text{gcd}\ k1\ k2) * p2 + ?k * u2$ 
using y by simp

```

```

    also have ... = (k2 div gcd k1 k2)*p1 + (k1 div gcd k1 k2)*(p2 + k2*
u2)
    apply(simp only: za) by algebra
    also have ... ≤ (k2 div gcd k1 k2)*p1 + (k1 div gcd k1 k2)*(k2 * u1)
using y
    by (metis add_left_mono distrib_left le_iff_add)
    also have ... = (k2 div gcd k1 k2)*p1 + ?k * u1 by(simp only: za)
    also have ... = (k2 div gcd k1 k2)*p1 + (k2 div gcd k1 k2) * (k1 * u1)
by(simp only: za2)
    also have ... ≤ (k2 div gcd k1 k2)*(p1 + k1*u1)
    by (simp add: distrib_left)
    also have ... ≤ (k2 div gcd k1 k2)*(k1 * u0) using z
    by fastforce
    also have ... ≤ ?k * u0 by(simp only: za2)
    finally
    have p1+p2 + ?k * u2 ≤ ?k * u0 .
    then have ii: enat (p1+p2) + ?k * P3 t2 ≤ ?k * P1 s
    unfolding u0 u2 by auto

    from i ii show ∃ t p. (C1;; C2, s) ⇒ p ↓ t ∧ enat p + ?k * P3 t ≤ ?k
* P1 s by blast
    qed
next
    case (If P b c1 Q c2)
    from If(3) obtain kT where If3: ∀ s. P s + ↑ (bval b s) < ∞ ⟶ (∃ t
p. (c1, s) ⇒ p ↓ t ∧ enat p + enat kT * Q t ≤ enat kT * (P s + ↑ (bval
b s))) and T: kT > 0 by blast
    from If(4) obtain kF where If4: ∀ s. P s + ↑ (¬ bval b s) < ∞ ⟶ (∃ t
p. (c2, s) ⇒ p ↓ t ∧ enat p + enat kF * Q t ≤ enat kF * (P s + ↑ (¬ bval
b s))) and F: kF > 0 by blast
    show ?case apply(rule exI[where x=kT*kF])
    proof (safe)
    from T F show 0 < kT * kF by auto
    fix s
    assume eSuc (P s) < ∞
    then have i: P s < ∞
    using enat_ord_simps(4) by fastforce
    then obtain u0 where u0: P s = enat u0 by auto
    show ∃ t p. (IF b THEN c1 ELSE c2, s) ⇒ p ↓ t ∧ enat p + enat (kT
* kF) * Q t ≤ enat (kT * kF) * eSuc (P s)
    proof(cases bval b s)
    case True
    with i have P s + emb (bval b s) < ∞ by simp
    with If3 obtain p t where 1: (c1, s) ⇒ p ↓ t and q: enat p + enat

```

$kT * Q \ t \leq \text{enat } kT * (P \ s + \text{emb } (\sim \text{bval } b \ s))$ **by** *blast*
from *Big_StepT.IfTrue[OF True 1]* **have** 2: $(\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2, \ s) \Rightarrow p + 1 \Downarrow t$ **by** *simp*

from q **have** $Q \ t < \infty$ **using** $i \ T \ \text{True}$
using *less_irrefl* **by** *fastforce*
then obtain $u1$ **where** $u1: Q \ t = \text{enat } u1$ **by** *auto*
from $q \ \text{True}$ **have** $q': p + kT * u1 \leq kT * u0$ **unfolding** $u0 \ u1$ **by**
auto
have $(p+1) + (kT * kF) * u1 \leq kF*(p+1) + (kT * kF) * u1$ **using**
 F
by $(\text{simp add: mult_eq_if})$
also have $\dots \leq kF*(p+1 + kT * u1)$
by $(\text{simp add: add_mult_distrib2})$
also have $\dots \leq kF*(1 + kT * u0)$
using q' **by** *auto*
also have $\dots \leq (kT * kF) * \text{Suc } u0$ **using** T **by** *simp*
finally
have $(p+1) + (kT * kF) * u1 \leq (kT * kF) * \text{Suc } u0$.
then have 1: $\text{enat } (p+1) + \text{enat } (kT * kF) * Q \ t \leq \text{enat } (kT * kF)$
 $* \text{eSuc } (P \ s)$
unfolding $u1 \ u0$ **by** $(\text{simp add: eSuc_enat})$
from 1 2 **show** *?thesis* **by** *metis*
next
case *False*
with i **have** $P \ s + \text{emb } (\sim \text{bval } b \ s) < \infty$ **by** *simp*
with *If4* **obtain** $p \ t$ **where** 1: $(c2, \ s) \Rightarrow p \Downarrow t$ **and** $q: \text{enat } p + \text{enat}$
 $kF * Q \ t \leq \text{enat } kF * (P \ s + \text{emb } (\sim \text{bval } b \ s))$ **by** *blast*
from *Big_StepT.IfFalse[OF False 1]* **have** 2: $(\text{IF } b \ \text{THEN } c1 \ \text{ELSE } c2, \ s) \Rightarrow p + 1 \Downarrow t$ **by** *simp*

from q **have** $Q \ t < \infty$ **using** $i \ F \ \text{False}$
using *less_irrefl* **by** *fastforce*
then obtain $u1$ **where** $u1: Q \ t = \text{enat } u1$ **by** *auto*
from $q \ \text{False}$ **have** $q': p + kF * u1 \leq kF * u0$ **unfolding** $u0 \ u1$ **by**
auto
have $(p+1) + (kF * kT) * u1 \leq kT*(p+1) + (kF * kT) * u1$ **using**
 T
by $(\text{simp add: mult_eq_if})$
also have $\dots \leq kT*(p+1 + kF * u1)$
by $(\text{simp add: add_mult_distrib2})$
also have $\dots \leq kT*(1 + kF * u0)$
using q' **by** *auto*
also have $\dots \leq (kF * kT) * \text{Suc } u0$ **using** F **by** *simp*

```

    finally
    have  $(p+1) + (kT * kF) * u1 \leq (kT * kF) * \text{Suc } u0$ 
      by (simp add: mult.commute)
    then have  $1: \text{enat } (p+1) + \text{enat } (kT * kF) * Q \ t \leq \text{enat } (kT * kF)$ 
      *  $e\text{Suc } (P \ s)$ 
      unfolding  $u1 \ u0$  by (simp add: eSuc_enat)
      from 1 2 show ?thesis by metis
  qed
qed
next
case (conseq P c Q k1 P' Q')
from conseq(5) obtain  $k$  where  $c4: \forall s. P \ s < \infty \longrightarrow (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{enat } k * Q \ t \leq \text{enat } k * P \ s)$  and  $0: k > 0$  by blast
show ?case apply(rule exI[where  $x=k*k1$ ])
proof (safe)
  show  $k*k1 > 0$  using 0 conseq(4) by auto
  fix  $s$ 
  assume  $P' \ s < \infty$ 
  with conseq(2,4) have  $P \ s < \infty$ 
    using le_less_trans
    by (metis enat.distinct(2) enat_ord_simps(4) imult_is_infinity)
  with  $c4$  obtain  $p \ t$  where  $1: (c, s) \Rightarrow p \Downarrow t$  and  $2: \text{enat } p + \text{enat } k$ 
    *  $Q \ t \leq \text{enat } k * P \ s$  by blast

  have  $\text{enat } p + \text{enat } (k*k1) * Q' \ t = \text{enat } p + \text{enat } (k) * ((\text{enat } k1) * Q' \ t)$ 
    by (metis mult.assoc times_enat_simps(1))
  also have  $\dots \leq \text{enat } p + \text{enat } (k) * Q \ t$  using conseq(3)
    by (metis add_left_mono distrib_left le_iff_add)
  also have  $\dots \leq \text{enat } k * P \ s$  using 2 by auto
  also have  $\dots \leq \text{enat } (k*k1) * P' \ s$  using conseq(2)
    by (metis mult.assoc mult_left_mono not_less not_less_zero times_enat_simps(1))
  finally have  $2: \text{enat } p + \text{enat } (k*k1) * Q' \ t \leq \text{enat } (k*k1) * P' \ s$ 
    by auto
  from 1 2 show  $\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + (k*k1) * Q' \ t \leq (k*k1)$ 
    *  $P' \ s$  by auto
  qed
next
case (While INV b c)
then obtain  $k$  where  $W2: \forall s. \text{INV } s + \uparrow (bval \ b \ s) < \infty \longrightarrow (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{enat } k * (\text{INV } t + 1) \leq \text{enat } k * (\text{INV } s + \uparrow (bval \ b \ s)))$  and  $g0: k > 0$ 
  by blast
show ?case apply(rule exI[where  $x=k$ ])

```

```

proof (safe)
  show  $0 < k$  by fact
  fix  $s$ 
  assume  $ninfINV: INV\ s + 1 < \infty$ 
  then have  $f: INV\ s < \infty$ 
    using enat_ord_simps(4) by fastforce
  then obtain  $n$  where  $i: INV\ s = enat\ n$  using not_infinity_eq
    by auto

  have  $INV\ s = enat\ n \implies \exists t\ p. (WHILE\ b\ DO\ c,\ s) \Rightarrow p \Downarrow t \wedge enat\ p$ 
   $+ enat\ k * (INV\ t + emb\ (\neg\ bval\ b\ t)) \leq enat\ k * (INV\ s + 1)$ 
  proof (induct n arbitrary: s rule: less_induct)
    case (less n)

    then show ?case
    proof (cases bval b s)
      case False
      show ?thesis
        apply (rule exI[where x=s])
        apply (rule exI[where x=Suc 0])
        apply safe
        apply (fact WhileFalse[OF False])
        using False
        apply (simp add: one_enat_def) using g0
        by (metis One_nat_def Suc_ile_eq add commute add_left_mono
distrib_left enat_0_iff(2) mult.right_neutral not_gr_zero one_enat_def)

    next
    case True
    with less(2) W2 have  $(\exists t\ p. (c,\ s) \Rightarrow p \Downarrow t \wedge enat\ p + enat\ k * (INV\ t + 1) \leq enat\ k * INV\ s)$ 
    by force
    then obtain  $t\ p$  where  $o: (c,\ s) \Rightarrow p \Downarrow t$  and  $q: enat\ p + enat\ k * (INV\ t + 1) \leq enat\ k * INV\ s$  by auto
    from  $o$  bigstep_progress have  $p: p > 0$  by blast

    from  $q$  have  $pf: enat\ k * (INV\ t + 1) \leq enat\ k * INV\ s$ 
    using dual_order.trans by fastforce
    then have  $INV\ t < \infty$  using less(2)
    using g0 not_le by fastforce
    then obtain  $inv_t$  where  $inv_t: INV\ t = enat\ inv_t$  by auto
    from  $pf\ g0$  have  $g: INV\ t < INV\ s$ 
    unfolding less(2) inv_t

```

by (*metis* (*full_types*) *Suc_ile_eq* *add commute eSuc_enat enat_ord_simps(1)*
nat_mult_le_cancel_disj plus_1_eSuc(1) times_enat_simps(1))

then have *ninfINVt*: $INV\ t < \infty$ **using** *less(2)*
using *enat_ord_simps(4)* **by** *fastforce*
then obtain *n'* **where** *i*: $INV\ t = enat\ n'$ **using** *not_infinity_eq*
by *auto*
with *less(2)* **have** *ii*: $n' < n$
using *g* **by** *auto*
from *i ii less(1)* **obtain** *t2 p2* **where** *o2*: $(WHILE\ b\ DO\ c,\ t) \Rightarrow$
 $p2 \Downarrow t2$ **and** *q2*: $enat\ p2 + enat\ k * (INV\ t2 + emb\ (\neg\ bval\ b\ t2)) \leq enat$
 $k * (INV\ t + 1)$ **by** *blast*
have *ende*: $\sim\ bval\ b\ t2$
apply(*rule ccontr*) **apply**(*simp*) **using** *q2 g0 ninfINVt*
by (*simp add: i one_enat_def*)
from *WhileTrue[OF True o2]* **have** $(WHILE\ b\ DO\ c,\ s) \Rightarrow 1 + p$
 $+ p2 \Downarrow t2$ **by** *simp*

from *ende q2* **have** *q2'*: $enat\ p2 + enat\ k * INV\ t2 \leq enat\ k * (INV$
 $t + 1)$ **by** *simp*

show *?thesis*
apply(*rule exI[where x=t2]*)
apply(*rule exI[where x= 1 + p + p2]*)
apply(*safe*)
apply(*fact*)
using *ende* **apply**(*simp*)
proof –
have $enat\ (Suc\ (p + p2)) + enat\ k * INV\ t2 = enat\ (Suc\ p) +$
 $enat\ p2 + enat\ k * INV\ t2$ **by** *fastforce*
also have $\dots \leq enat\ (Suc\ p) + enat\ k * (INV\ t + 1)$ **using** *q2'*
by (*metis ab_semigroup_add_class.add_ac(1) add_left_mono*)
also have $\dots \leq 1 + enat\ k * (INV\ s)$ **using** *q*
by (*metis (no_types, opaque_lifting) add commute add_left_mono*
 $eSuc_enat\ iadd_Suc\ plus_1_eSuc(1)$)
also have $\dots \leq enat\ k + enat\ k * (INV\ s)$ **using** *g0*
by (*simp add: Suc_leI one_enat_def*)
also have $\dots \leq enat\ k * (INV\ s + 1)$
by (*simp add: add commute distrib_left*)
finally show $enat\ (Suc\ (p + p2)) + enat\ k * INV\ t2 \leq enat\ k *$
 $(INV\ s + 1)$.
qed
qed

qed

from *this*[*OF i*] **show** $\exists t \ p. (WHILE \ b \ DO \ c, \ s) \Rightarrow p \Downarrow t \wedge enat \ p + enat \ k * (INV \ t + emb \ (\neg \ bval \ b \ t)) \leq enat \ k * (INV \ s + 1) .$

qed

qed

lemma *conseq'*:

$\llbracket \vdash_{2'} \{P\} \ c \ \{Q\} ; \ \forall s. \ P \ s \leq P' \ s ; \forall s. \ Q' \ s \leq Q \ s \rrbracket \Longrightarrow \vdash_{2'} \{P'\} \ c \ \{Q'\}$
apply(*rule conseq*[**where** *k=1*]) **by** *auto*

lemma *strengthen_pre*:

$\llbracket \forall s. \ P \ s \leq P' \ s ; \vdash_{2'} \{P\} \ c \ \{Q\} \rrbracket \Longrightarrow \vdash_{2'} \{P'\} \ c \ \{Q\}$
apply(*rule conseq*[**where** *k=1 and Q'=Q and Q=Q*]) **by** *auto*

lemma *weaken_post*:

$\llbracket \vdash_{2'} \{P\} \ c \ \{Q\} ; \ \forall s. \ Q \ s \geq Q' \ s \rrbracket \Longrightarrow \vdash_{2'} \{P\} \ c \ \{Q'\}$
apply(*rule conseq*[**where** *k=1*]) **by** *auto*

lemma *Assign'*: $\forall s. \ P \ s \geq eSuc \ (Q(s[a/x])) \Longrightarrow \vdash_{2'} \{P\} \ x ::= a \ \{Q\}$
by (*simp add: strengthen_pre*[*OF _ Assign*])

6.4 Completeness

lemma *bigstep_det*: $(c1, \ s) \Rightarrow p1 \Downarrow t1 \Longrightarrow (c1, \ s) \Rightarrow p \Downarrow t \Longrightarrow p1=p \wedge t1=t$
using *big_step_t_determ2* **by** *simp*

lemma *bigstepT_the_cost*: $(c, \ s) \Rightarrow P \Downarrow T \Longrightarrow (THE \ n. \ \exists a. \ (c, \ s) \Rightarrow n \Downarrow a) = P$
using *bigstep_det* **by** *blast*

lemma *bigstepT_the_state*: $(c, \ s) \Rightarrow P \Downarrow T \Longrightarrow (THE \ a. \ \exists n. \ (c, \ s) \Rightarrow n \Downarrow a) = T$
using *bigstep_det* **by** *blast*

lemma *SKIPnot*: $(\neg \ (SKIP, \ s) \Rightarrow p \Downarrow t) = (s \neq t \vee p \neq Suc \ 0)$ **by** *blast*

lemma *SKIPp*: $(THE \ p. \ \exists t. \ (SKIP, \ s) \Rightarrow p \Downarrow t) = Suc \ 0$
apply(*rule the_equality*)

apply *fast*
apply *auto done*

lemma *SKIpt*: (*THE* t . $\exists p$. (*SKIP*, s) $\Rightarrow$ $p \Downarrow t$) = s
apply(*rule the_equality*)
apply *fast*
apply *auto done*

lemma *ASSp*: (*THE* p . $\exists x$ (*big_step_t* ($x ::= e$, s) p)) = *Suc* 0
apply(*rule the_equality*)
apply *fast*
apply *auto done*

lemma *ASSt*: (*THE* t . $\exists p$. ($x ::= e$, s) $\Rightarrow$ $p \Downarrow t$) = $s(x := \text{aval } e \ s)$
apply(*rule the_equality*)
apply *fast*
apply *auto done*

lemma *ASSnot*: ($\neg (x ::= e, s) \Rightarrow p \Downarrow t$) = ($p \neq \text{Suc } 0 \vee t \neq s(x := \text{aval } e \ s)$)
apply *auto done*

The completeness proof proceeds along the same lines as the one for partial correctness. First we have to strengthen our notion of weakest precondition to take termination into account:

definition $wp_Q :: com \Rightarrow qassn \Rightarrow qassn$ ($\langle wp_Q \rangle$) **where**
 $wp_Q \ c \ Q = (\lambda s. (if (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge Q \ t < \infty) \ then \ enat \ (THE \ p. \exists t. (c, s) \Rightarrow p \Downarrow t) + Q \ (THE \ t. \exists p. (c, s) \Rightarrow p \Downarrow t) \ else \ \infty))$

lemma $wp_Q_skip[simp]$: $wp_Q \ SKIP \ Q = (\%s. eSuc \ (Q \ s))$
apply(*auto intro! ext simp wp_Q_def*)
prefer 2
apply(*simp only: SKIPnot*)
apply(*simp*)
apply(*simp only: SKIPp SKIPT*)
using *one_enat_def plus_1_eSuc(1)* **by** *auto*

lemma $wp_Q_ass[simp]$: $wp_Q \ (x ::= e) \ Q = (\lambda s. eSuc \ (Q \ (s(x := \text{aval } e \ s))))$
by (*auto intro! ext simp wp_Q_def ASSp ASSt ASSnot eSuc_enat*)

lemma $wpt_Seq[simp]$: $wp_Q \ (c_1;;c_2) \ Q = wp_Q \ c_1 \ (wp_Q \ c_2 \ Q)$
unfolding wp_Q_def


```

proof (rule, case_tac  $\exists t p. (c_1;; c_2, s) \Rightarrow p \Downarrow t \wedge Q t < \infty$ , goal_cases)
  case (1 s)
    then obtain u p where ter:  $(c_1;; c_2, s) \Rightarrow p \Downarrow u$  and Q:  $Q u < \infty$  by
    blast
    then obtain t p1 p2 where i:  $(c_1, s) \Rightarrow p1 \Downarrow t$  and ii:  $(c_2, t) \Rightarrow p2 \Downarrow u$ 
    and p:  $p1 + p2 = p$  by blast

    from bigstepT_the_state[OF i] have t: (THE t.  $\exists p. (c_1, s) \Rightarrow p \Downarrow t$ ) =
    t
    by blast
    from bigstepT_the_state[OF ii] have t2: (THE u.  $\exists p. (c_2, t) \Rightarrow p \Downarrow u$ )
    = u
    by blast
    from bigstepT_the_cost[OF i] have firstcost: (THE p.  $\exists t. (c_1, s) \Rightarrow p \Downarrow t$ )
    = p1
    by blast
    from bigstepT_the_cost[OF ii] have secondcost: (THE p.  $\exists u. (c_2, t) \Rightarrow p \Downarrow u$ )
    = p2
    by blast

    have totalcost: (THE p.  $Ex (big\_step\_t (c_1;; c_2, s) p) = p1 + p2$ )
    using bigstepT_the_cost[OF ter] p by auto
    have totalstate: (THE t.  $\exists p. (c_1;; c_2, s) \Rightarrow p \Downarrow t = u$ )
    using bigstepT_the_state[OF ter] by auto

    have c2:  $\exists ta p. (c_2, t) \Rightarrow p \Downarrow ta \wedge Q ta < \infty$ 
    apply (rule exI[where x= u])
    apply (rule exI[where x= p2]) apply safe apply fact+ done

    have C:  $\exists t p. (c_1, s) \Rightarrow p \Downarrow t \wedge (if \exists ta p. (c_2, t) \Rightarrow p \Downarrow ta \wedge Q ta < \infty$ 
    then enat (THE p.  $Ex (big\_step\_t (c_2, t) p) + Q (THE ta. \exists p. (c_2, t) \Rightarrow p \Downarrow ta$ )
    else  $\infty) < \infty$ 
    apply (rule exI[where x=t])
    apply (rule exI[where x=p1])
    apply safe
    apply fact
    apply (simp only: c2 if_True)
    using Q bigstepT_the_state ii by auto

    show ?case
    apply (simp only: 1 if_True t t2 c2 C totalcost totalstate firstcost secondcost)
    by fastforce
  next

```

```

case (2 s)
show ?case apply(simp only: 2 if_False)
  apply auto using 2
  by force
qed

```

```

lemma wpQ_If[simp]:
  wpQ (IF b THEN c1 ELSE c2) Q = (λs. eSuc (wpQ (if bval b s then c1
else c2) Q s))
  apply (auto simp: wpQ_def fun_eq_iff)
  subgoal for x t p i ta ia xa apply(simp only: IfTrue[THEN bigstepT_the_state])
    apply(simp only: IfTrue[THEN bigstepT_the_cost])
    apply(simp only: bigstepT_the_cost bigstepT_the_state)
    by (simp add: eSuc_enat)
    apply(simp only: bigstepT_the_state bigstepT_the_cost) apply force
    apply(simp only: bigstepT_the_state bigstepT_the_cost)
proof(goal_cases)
  case (1 x t p i ta ia xa)
    note f= IfFalse[THEN bigstepT_the_state, of b x c2 xa ta Suc xa c1,
simplified, OF 1(4) 1(5)]
    note f2= IfFalse[THEN bigstepT_the_cost, of b x c2 xa ta Suc xa c1,
simplified, OF 1(4) 1(5)]
    note g= bigstep_det[OF 1(1) 1(5)]
    show ?case
      apply(simp only: f f2) using 1 g
      by (simp add: eSuc_enat)
  next
    case 2
    then
      show ?case
        apply(simp only: bigstepT_the_state bigstepT_the_cost) apply force
done
qed

```

```

lemma hoareQ_inf: ⊢2, { %s. ∞ } c { Q }
  apply (induction c arbitrary: Q)
  apply(auto intro: hoareQ.Skip hoareQ.Assign hoareQ.Seq hoareQ.conseq)
  subgoal apply(rule hoareQ.conseq) apply(rule hoareQ.If[where P=%s.
∞]) by(auto intro: hoareQ.If hoareQ.conseq)
  subgoal apply(rule hoareQ.conseq) apply(rule hoareQ.While[where I=%s.
∞]) apply(rule hoareQ.conseq) by auto
done

```

lemma assumes $b: \text{bval } b \ s$
shows $\text{wp}_Q \text{ WhileTrue}: \text{wp}_Q \ c \ (\text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q) \ s \ + \ 1 \leq \text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q \ s$
proof $(\text{cases } \exists t \ p. (\text{WHILE } b \ \text{DO } c, s) \Rightarrow p \Downarrow t \wedge Q \ t < \infty)$
case True
then obtain $t \ p$ **where** $w: (\text{WHILE } b \ \text{DO } c, s) \Rightarrow p \Downarrow t$ **and** $q: Q \ t < \infty$ **by** blast
from $b \ w$ **obtain** $p1 \ p2 \ t1$ **where** $c: (c, s) \Rightarrow p1 \Downarrow t1$ **and** $w': (\text{WHILE } b \ \text{DO } c, t1) \Rightarrow p2 \Downarrow t$ **and** $\text{sum}: 1 + p1 + p2 = p$
by auto
have $g: \exists ta \ p. (\text{WHILE } b \ \text{DO } c, t1) \Rightarrow p \Downarrow ta \wedge Q \ ta < \infty$
apply $(\text{rule } \text{exI}[\text{where } x=t])$
apply $(\text{rule } \text{exI}[\text{where } x=p2])$
apply $\text{safe apply fact+ done}$

have $h: \exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge (\text{if } \exists ta \ p. (\text{WHILE } b \ \text{DO } c, t) \Rightarrow p \Downarrow ta \wedge Q \ ta < \infty \text{ then } \text{enat } (\text{THE } p. \text{Ex } (\text{big_step_t } (\text{WHILE } b \ \text{DO } c, t) \ p)) + Q \ (\text{THE } ta. \exists p. (\text{WHILE } b \ \text{DO } c, t) \Rightarrow p \Downarrow ta) \text{ else } \infty) < \infty$
apply $(\text{rule } \text{exI}[\text{where } x=t1])$
apply $(\text{rule } \text{exI}[\text{where } x=p1])$
apply safe apply fact
apply $(\text{simp only: } g \text{ if_True})$ **using** $\text{bigstepT_the_state bigstepT_the_cost } w' \ q$ **by** (auto)

have $\text{wp}_Q \ c \ (\text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q) \ s \ + \ 1 = \text{enat } p \ + \ Q \ t$
unfolding $\text{wp}_Q \text{ def}$ **apply** $(\text{simp only: } h \text{ if_True})$
apply $(\text{simp only: bigstepT_the_state}[OF \ c] \text{ bigstepT_the_cost}[OF \ c] \ g \text{ if_True bigstepT_the_state}[OF \ w'] \text{ bigstepT_the_cost}[OF \ w'])$ **using** sum
by $(\text{metis One_nat_def ab_semigroup_add_class.add_ac}(1) \text{ add.commute add.right_neutral eSuc_enat plus_1_eSuc}(2) \text{ plus_enat_sims}(1))$
also have $\dots = \text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q \ s$
unfolding $\text{wp}_Q \text{ def}$ **apply** $(\text{simp only: True if_True})$
using $\text{bigstepT_the_state bigstepT_the_cost } w$ **apply** (simp) **done**
finally show $?thesis$ **by** simp
next
case False
have $\text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q \ s = \infty$
unfolding $\text{wp}_Q \text{ def}$
apply $(\text{simp only: False if_False})$ **done**
then show $?thesis$ **by** auto
qed

lemma assumes $b: \sim \text{bval } b \ s$
shows $\text{wp}_Q \text{ WhileFalse}: Q \ s \ + \ 1 \leq \text{wp}_Q \ (\text{WHILE } b \ \text{DO } c) \ Q \ s$

```

proof (cases  $\exists t p. (WHILE\ b\ DO\ c, s) \Rightarrow p \Downarrow t \wedge Q\ t < \infty$ )
  case True
    with b obtain t p where w:  $(WHILE\ b\ DO\ c, s) \Rightarrow p \Downarrow t$  and  $Q\ t < \infty$ 
by blast
    with b have c: s=t p=Suc 0 by auto
    have  $wp_Q\ (WHILE\ b\ DO\ c)\ Q\ s = Q\ s + 1$ 
    unfolding wpQ_def apply(simp only: True if_True)
    using w c bigstepT_the_cost bigstepT_the_state by(auto simp add: one_enat_def)
    then show ?thesis by auto
next
  case False
    have  $wp_Q\ (WHILE\ b\ DO\ c)\ Q\ s = \infty$ 
    unfolding wpQ_def
    apply(simp only: False if_False) done
    then show ?thesis by auto
qed

```

```

lemma wpQ_is_pre:  $\vdash_{-2'} \{wp_Q\ c\ Q\}\ c\ \{Q\}$ 
proof (induction c arbitrary: Q)
  case SKIP show ?case apply (auto intro: hoareQ.Skip) done
next
  case Assign show ?case apply (auto intro: hoareQ.Assign) done
next
  case Seq thus ?case by (auto intro: hoareQ.Seq)
next
  case (If x1 c1 c2 Q) thus ?case
    apply (auto intro!: hoareQ.If)
    apply(rule hoareQ.conseq)
    apply(auto)
    apply(rule hoareQ.conseq)
    apply(auto)
    done
next
  case (While b c)
  show ?case
    apply(rule conseq[where k=1])
    apply(rule hoareQ.While[where I=%s. (if bval b s then wp_Q c (wp_Q
(WHILE b DO c) Q) s else Q s)])
    apply(rule conseq[where k=1])
    apply(rule While[of wp_Q (WHILE b DO c) Q])
    apply(case_tac bval b s)
    apply(simp) apply(simp)

```

```

subgoal for s
  apply(cases bval b s)
  using wpQ_WhileTrue apply simp
  using wpQ_WhileFalse apply simp done
  apply simp
subgoal for s
  apply(cases bval b s)
  using wpQ_WhileTrue apply simp
  using wpQ_WhileFalse apply simp done
  apply(case_tac bval b s)
  apply(simp) apply(simp)
  apply simp done
qed

lemma wpQ_is_pre':  $\vdash_2, \{wpQ\ c\ (\%s. enat\ k * Q\ s)\} \ c\ \{(\%s. enat\ k * Q\ s)\}$ 
  using wpQ_is_pre by blast

lemma wpQ_is_weakestprePotential1:  $\vdash_2, \{P\}c\{Q\} \implies (\exists k > 0. \forall s. wpQ\ c\ (\%s. enat\ k * Q\ s)\ s \leq enat\ k * P\ s)$ 
  apply(auto simp: hoare2o_valid_def wpQ_def)
  proof (goal_cases)
    case (1 k)
    show ?case
    proof (rule exI[where x=k], safe)
      show  $0 < k$  by fact
    next
      fix s t p i
      assume  $(c, s) \Rightarrow p \Downarrow t \text{ enat } k * Q\ t = enat\ i$ 

      show  $enat\ (\downarrow_t (c, s)) + enat\ k * Q\ (\downarrow_s (c, s)) \leq enat\ k * P\ s$ 
      proof (cases  $P\ s < \infty$ )
        case True
        with 1 obtain t p' where i:  $(c, s) \Rightarrow p' \Downarrow t$  and ii:  $enat\ p' + enat\ k * Q\ t \leq enat\ k * P\ s$ 
        by auto
        show ?thesis by(simp add: bigstepT_the_state[OF i] bigstepT_the_cost[OF i] ii)
      next
        case False
        then show ?thesis
        using 1 by auto
    qed
  qed

```

```

next
  fix s
  assume  $\forall t. (\forall p. \neg (c, s) \Rightarrow p \Downarrow t) \vee \text{enat } k * Q \ t = \infty$ 
  then show  $\text{enat } k * P \ s = \infty$  using 1 by force
qed
qed

theorem hoareQ_complete:  $\models_{2'} \{P\}c\{Q\} \Longrightarrow \vdash_{2'} \{P\}c\{ Q\}$ 
proof -
  assume  $\models_{2'} \{P\}c\{Q\}$ 
  with wpQ_is_weakestprePotential1 obtain k where  $k > 0$ 
    and 1:  $\bigwedge s. \text{wp}_Q \ c \ (\lambda s. \text{enat } k * Q \ s) \ s \leq \text{enat } k * P \ s$  by blast
  show  $\vdash_{2'} \{P\}c\{Q\}$ 
    apply (rule conseq[OF wpQ_is_pre'])
    apply (fact 1)
    apply simp by fact
qed

theorem hoareQ_complete':  $\models_{2'} \{P\}c\{Q\} \Longrightarrow \vdash_{2'} \{P\}c\{ Q\}$ 
  unfolding hoare2o_valid_def
proof -
  assume  $\exists k > 0. \forall s. P \ s < \infty \longrightarrow (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{enat } k * Q \ t \leq \text{enat } k * P \ s)$ 
  then obtain k where  $f: \forall s. P \ s < \infty \longrightarrow (\exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + \text{enat } k * Q \ t \leq \text{enat } k * P \ s)$  and  $k: k > 0$  by auto

  show  $\vdash_{2'} \{P\}c\{ Q\}$ 
    apply (rule conseq[OF wpQ_is_pre', where Q'=Q, simplified, where k1=k and k=k and Q1=Q])
    unfolding wpQ_def
    subgoal for s
      proof (cases  $P \ s < \infty$ )
      case True
        with f obtain t p' where  $i: (c, s) \Rightarrow p' \Downarrow t$  and  $ii: \text{enat } p' + \text{enat } k * Q \ t \leq \text{enat } k * P \ s$ 
        by auto
        from ii k True have  $iii: \text{enat } k * Q \ t < \infty$ 
          using imult_is_infinity by fastforce
        have  $kla: \exists t \ p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } k * Q \ t < \infty$ 
          using iii i by auto
        show ?thesis unfolding bigstepT_the_state[OF i]
          unfolding bigstepT_the_cost[OF i]
          apply (simp only: kla) using ii by simp
      case False
    next

```

```

      case False
      then show ?thesis using k by auto
    qed
  subgoal by auto
  using k by auto
qed

```

corollary *hoareQ_sound_complete*: $\vdash_2, \{P\}c\{Q\} \longleftrightarrow \models_2, \{P\}c\{Q\}$
 by (*metis hoareQ_sound hoareQ_complete*)

6.5 Example

lemma fixes *X::int* assumes $0 < X$ shows

Z: $eSuc (enat (nat (2 * X) * nat (2 * X))) \leq enat (5 * (nat (X * X)))$

proof –

from *assms* have *nn*: $0 \leq X$ by auto

from *assms* have $0 < nat\ X$ by auto

then have $0 < enat (nat\ X)$ by (*simp add: zero_enat_def*)

then have *A*: $eSuc\ 0 \leq enat (nat\ X)$ using *ileI1*

by *blast*

have $(nat\ X) \leq (nat\ (X * X))$ using *nn nat_mult_distrib* by auto

then have *D*: $enat (nat\ X) \leq enat (nat\ (X * X))$ by auto

have *C*: $(enat (nat (2 * X) * nat (2 * X))) = 4 * enat (nat (X * X))$

using *nn nat_mult_distrib*

by (*simp add: numeral_eq_enat*)

have $eSuc (enat (nat (2 * X) * nat (2 * X)))$

$= eSuc\ 0 + (enat (nat (2 * X) * nat (2 * X)))$

using *one_eSuc plus_1_eSuc(1)* by auto

also have $\dots \leq enat (nat\ X) + (enat (nat (2 * X) * nat (2 * X)))$

using *A add_right_mono* by *blast*

also have $\dots \leq enat (nat\ X) + 4 * enat (nat (X * X))$ using *C* by auto

also have $\dots \leq enat (nat (X * X)) + 4 * enat (nat (X * X))$ using *D*

by auto

also have $\dots = 5 * enat (nat (X * X))$

by (*metis eSuc_numeral mult_eSuc semiring_norm(5)*)

also have $\dots = enat (5 * nat (X * X))$

by (*simp add: numeral_eq_enat*)

finally

show ?thesis .

qed

lemma *weakenpre*: $\llbracket \vdash_2, \{P\}c\{Q\} ; (\forall s. P \ s \leq P' \ s) \rrbracket \implies$
 $\vdash_2, \{P'\}c\{Q\}$ **using** *conseq*[**where** $Q'=Q$ **and** $k=1$]
by *auto*

lemma *whileDecr*: $\vdash_2, \{ \%s. \text{enat} (\text{nat} (s \text{ ''x''}) + 1) \} \text{ WHILE } (\text{Less} (N \ 0) (V \text{ ''x''})) \text{ DO } (\text{SKIP};; \text{SKIP};; \text{''x''} ::= \text{Plus} (V \text{ ''x''}) (N \ (-1))) \{ \%s. \text{enat} \ 0 \}$

apply(*rule conseq*[**where** $k=4$])
apply(*rule While*[**where** $I=\%s. \text{enat} \ 4 * (\text{enat} (\text{nat} (s \text{ ''x''})))$])
prefer 2
subgoal for s **apply**(*simp only: one_enat_def plus_enat_simps times_enat_simps enat_ord_code(1)*) **by** *presburger*
apply(*rule Seq*[**where** $P_2=wp_Q (\text{''x''} ::= \text{Plus} (V \text{ ''x''}) (N \ (-1))) (\lambda t. \text{enat} \ 4 * \text{enat} (\text{nat} (t \text{ ''x''}) + 1))$])
apply(*simp*)
apply(*rule Seq*[**where** $P_2=wp_Q (\text{SKIP}) (\lambda s. eSuc (\text{enat} (4 * \text{nat} (s \text{ ''x''}} - 1)) + 1))$])
apply *simp*
subgoal apply(*rule weakenpre*) **apply**(*rule Skip*) **apply** *auto*
subgoal for s **apply**(*cases* $s \text{ ''x''} > 0$) **apply** *auto*
apply(*simp only: one_enat_def plus_enat_simps times_enat_simps enat_ord_code(1) eSuc_enat*) **done**
done
subgoal apply *simp* **apply**(*rule Skip*) **done**
subgoal apply *simp* **apply**(*rule weakenpre*) **apply**(*rule Assign*) **by** *simp*
apply *simp*
subgoal for s **apply**(*cases* $s \text{ ''x''} > 0$) **by** *auto*
by *simp*

lemma *whileDecrIf*: $\vdash_2, \{ \%s. \text{enat} (\text{nat} (s \text{ ''x''}) + 1) \} \text{ WHILE } (\text{Less} (N \ 0) (V \text{ ''x''})) \text{ DO } ((\text{IF Less} (N \ 0) (V \text{ ''z''}) \text{ THEN SKIP};; \text{SKIP ELSE SKIP});; \text{''x''} ::= \text{Plus} (V \text{ ''x''}) (N \ (-1))) \{ \%s. \text{enat} \ 0 \}$

apply(*rule conseq*[*OF While*, **where** $k=6$ **and** $I1=\%s. \text{enat} \ 6 * (\text{enat} (\text{nat} (s \text{ ''x''})))$])
prefer 2
subgoal for s **apply**(*simp only: one_enat_def plus_enat_simps times_enat_simps enat_ord_code(1)*) **by** *presburger*
apply(*rule Seq*[**where** $P_2=wp_Q (\text{''x''} ::= \text{Plus} (V \text{ ''x''}) (N \ (-1))) (\lambda t. \text{enat} \ 6 * \text{enat} (\text{nat} (t \text{ ''x''}) + 1))$])
apply(*simp*)
apply(*rule weakenpre*)
apply(*rule If*[**where** $P=wp_Q (\text{IF Less} (N \ 0) (V \text{ ''z''}) \text{ THEN SKIP};;$


```

SKIP ELSE SKIP ) (λs. eSuc (enat (6 * nat (s "x" - 1)) + 1)))
  subgoal
    apply simp
    apply(rule Seq[where P2=wpQ (SKIP) (λs. eSuc (enat (6 * nat (s
"x" - 1)) + 1))])
      subgoal apply(rule weakenpre) apply(rule Skip) by auto
      subgoal apply(rule weakenpre) apply(rule Skip) by auto
      done
    subgoal
      apply simp
      subgoal apply(rule weakenpre) apply(rule Skip) by auto
      done
    subgoal
      apply auto
    subgoal for s apply(cases s "x" > 0) apply auto
      apply(simp only: one_enat_def plus_enat_simps times_enat_simps
enat_ord_code(1) eSuc_enat) done
      subgoal for s apply(cases s "x" > 0) apply auto
        apply(simp only: one_enat_def plus_enat_simps times_enat_simps
enat_ord_code(1) eSuc_enat) done
      done
    subgoal apply simp apply(rule weakenpre) apply(rule Assign) by simp
      apply simp
    subgoal for s apply(cases s "x" > 0) by auto
  by simp

```

```

lemma whileDecrIf2: ⊢2, { %s. enat (nat (s "x")) + 1 } WHILE (Less (N
0) (V "x")) DO ( (IF Less (N 0) (V "z") THEN SKIP;; SKIP ELSE SKIP
);; "x" ::= Plus (V "x") (N (-1))) { %s. enat 0 }
  apply(rule conseq[OF While, where k=6 and I1=%s. enat 6 * (enat
(nat (s "x")))])
  apply(rule Seq[where P2=wpQ ("x" ::= Plus (V "x") (N (-1))) (λt.
enat 6 * enat (nat (t "x")) + 1)])
  apply(simp)
  apply(rule weakenpre)
  apply(rule If[where P=wpQ (IF Less (N 0) (V "z") THEN SKIP;;
SKIP ELSE SKIP ) (λs. eSuc (enat (6 * nat (s "x" - 1)) + 1))])
  subgoal
    apply simp
    apply(rule Seq[where P2=wpQ (SKIP) (λs. eSuc (enat (6 * nat (s
"x" - 1)) + 1))])
      subgoal apply(rule weakenpre) apply(rule Skip) by auto
      subgoal apply(rule weakenpre) apply(rule Skip) by auto

```

```

    done
  subgoal
    apply simp
    subgoal apply(rule weakenpre) apply(rule Skip) by auto
    done
  prefer 2
  subgoal apply simp apply(rule weakenpre) apply(rule Assign) by
simp
  subgoal
    apply auto
  subgoal for s apply(cases s "x" > 0) apply auto
    apply(simp only: one_enat_def plus_enat_simps times_enat_simps
enat_ord_code(1) eSuc_enat) done
  subgoal for s apply(cases s "x" > 0) apply auto
    apply(simp only: one_enat_def plus_enat_simps times_enat_simps
enat_ord_code(1) eSuc_enat) done
  done
  subgoal for s apply(simp only: one_enat_def plus_enat_simps times_enat_simps
enat_ord_code(1)) by presburger
  subgoal for s apply(cases s "x" > 0) by auto
  by simp

end

```

6.6 Verification Condition Generator

```

theory QuantK_VCG
imports QuantK_Hoare
begin

```

6.6.1 Ceiling integer division on extended natural numbers

definition $mydiv (a::nat) (k::nat) = (if\ k\ dvd\ a\ then\ a\ div\ k\ else\ (a\ div\ k) + 1)$

lemma $mydivcode: k > 0 \implies D \geq k \implies mydiv\ D\ k = Suc\ (mydiv\ (D - k)\ k)$

```

  unfolding mydiv_def apply (auto simp add: le_div_geq)
  using dvd_minus_self by auto

```

lemma $mydivcode1: mydiv\ 0\ k = 0$
 unfolding mydiv_def by auto

lemma *mydivcode2*: $k > 0 \implies 0 < D \implies D < k \implies \text{mydiv } D \ k = \text{Suc } 0$
unfolding *mydiv_def* **by** *auto*

lemma *mydiv_mono*: $a \leq b \implies \text{mydiv } a \ k \leq \text{mydiv } b \ k$ **unfolding** *mydiv_def*
apply(*cases k dvd a*)
subgoal **apply**(*cases k dvd b*) **apply** *auto* **apply** (*auto simp add: div_le_mono*)
using *div_le_mono le_Suc_eq* **by** *blast*
subgoal **apply**(*cases k dvd b*) **apply** *auto* **apply** (*auto simp add: div_le_mono*)
by (*metis Suc_leI add.right_neutral div_le_mono div_mult_mod_eq*
dvd_imp_mod_0 le_add1 le_antisym less_le)
done

lemma *mydiv_cancel*: $0 < k \implies \text{mydiv } (k * i) \ k = i$
unfolding *mydiv_def* **by** *auto*

lemma *assumes k*: $k > 0$ **and** *B*: $B \leq k * A$
shows *mydiv_le_E*: $\text{mydiv } B \ k \leq A$
proof –
from *mydiv_mono*[*OF B*] **and** *k* *mydiv_cancel* **show** ?thesis
by *metis*
qed

lemma *mydiv_mult_leq*: $0 < k \implies l \leq k \implies \text{mydiv } (l * A) \ k \leq A$
by(*simp add: mydiv_le_E*)

lemma *mydiv_cancel3*: $0 < k \implies i \leq k * \text{mydiv } i \ k$
by (*auto simp add: mydiv_def dividend_less_times_div le_eq_less_or_eq*)

definition *ediv a k* = (if $a = \infty$ then ∞ else *enat* ($\text{mydiv } (\text{THE } i. a = \text{enat } i) \ k$))

lemma *ediv_enat[simp]*: $\text{ediv } (\text{enat } a) \ k = \text{enat } (\text{mydiv } a \ k)$
unfolding *ediv_def* **by** *auto*

lemma *ediv_mydiv[simp]*: $\text{ediv } (\text{enat } a) \ k \leq \text{enat } f \longleftrightarrow \text{mydiv } a \ k \leq f$
unfolding *ediv_def* **by** *auto*

lemma *ediv_mono*: $a \leq b \implies \text{ediv } a \ k \leq \text{ediv } b \ k$
unfolding *ediv_def* **by** (*auto simp add: mydiv_mono*)

lemma *ediv_cancel2*: $k > 0 \implies \text{ediv } (\text{enat } k * x) \ k = x$
unfolding *ediv_def* **apply**(*cases x = ∞*) **using** *mydiv_cancel* **by** *auto*

lemma *ediv_cancel3*: $k > 0 \implies A \leq \text{enat } k * \text{ediv } A \ k$

unfolding *ediv_def* **apply**(cases $A=\infty$) **using** *mydiv_cancel3* **by** *auto*

6.6.2 Definition of VCG

datatype *acom* =

Askip $(\langle \text{SKIP} \rangle) \mid$
Aassign *vname* *aexp* $(\langle _ ::= _ \rangle [1000, 61] 61) \mid$
Aseq *acom* *acom* $(\langle _ ;; _ \rangle [60, 61] 60) \mid$
Aif *bexp* *acom* *acom* $(\langle \text{IF } _ / \text{ THEN } _ / \text{ ELSE } _ \rangle [0, 0, 61] 61) \mid$
Awhile *qassn* *bexp* *acom* $(\langle \{ _ \} / \text{ WHILE } _ / \text{ DO } _ \rangle [0, 0, 61] 61)$
 $\mid$ *Abst* *nat* *acom* $(\langle \{ _ \} / \text{ Ab } _ \rangle [0, 61] 61)$

notation *com.SKIP* $(\langle \text{SKIP} \rangle)$

fun *strip* :: *acom* $\Rightarrow$ *com* **where**

strip *SKIP* = *SKIP* $\mid$
strip $(x ::= a) = (x ::= a) \mid$
strip $(C_1 ;; C_2) = (\text{strip } C_1 ;; \text{strip } C_2) \mid$
strip $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) = (\text{IF } b \text{ THEN } \text{strip } C_1 \text{ ELSE } \text{strip } C_2) \mid$
strip $(\{ _ \} \text{ WHILE } b \text{ DO } C) = (\text{WHILE } b \text{ DO } \text{strip } C) \mid$
strip $(\{ _ \} \text{ Ab } C) = \text{strip } C$

fun *pre* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *qassn* **where**

pre *SKIP* *Q* = $(\lambda s. \text{eSuc } (Q \ s)) \mid$
pre $(x ::= a) \ Q = (\lambda s. \text{eSuc } (Q \ (s[a/x]))) \mid$
pre $(C_1 ;; C_2) \ Q = \text{pre } C_1 \ (\text{pre } C_2 \ Q) \mid$
pre $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q =$
 $(\lambda s. \text{eSuc } (\text{if } \text{bval } b \ s \text{ then } \text{pre } C_1 \ Q \ s \text{ else } \text{pre } C_2 \ Q \ s)) \mid$
pre $(\{P\} \text{ WHILE } b \text{ DO } C) \ Q = (\%s. P \ s + 1) \mid$
pre $(\{k\} \text{ Ab } C) \ Q = (\lambda s. \text{ediv } (\text{pre } C \ (\lambda s. k * Q \ s) \ s) \ k)$

In contrast to *pre*, *vc* produces a formula that is independent of the state:

fun *vc* :: *acom* $\Rightarrow$ *qassn* $\Rightarrow$ *bool* **where**

vc *SKIP* *Q* = *True* $\mid$
vc $(x ::= a) \ Q = \text{True} \mid$
vc $(C_1 ;; C_2) \ Q = ((vc \ C_1 \ (\text{pre } C_2 \ Q)) \wedge (vc \ C_2 \ Q)) \mid$
vc $(\text{IF } b \text{ THEN } C_1 \text{ ELSE } C_2) \ Q = (vc \ C_1 \ Q \wedge vc \ C_2 \ Q) \mid$
vc $(\{I\} \text{ WHILE } b \text{ DO } C) \ Q = ((\forall s. (\text{pre } C \ (\lambda s. I \ s + 1) \ s) \leq I \ s + \uparrow(\text{bval } b \ s)) \wedge (Q \ s \leq I \ s + \uparrow(\neg \text{bval } b \ s))) \wedge vc \ C \ (\%s. I \ s + 1)) \mid$
vc $(\{k\} \text{ Ab } C) \ Q = (vc \ C \ (\lambda s. \text{enat } k * Q \ s) \wedge k > 0 \ \wedge \ \text{ediv } (\text{pre } C \ (\lambda s. \text{enat } k * Q \ s) \ s) \ k)$

6.6.3 Soundness of VCG

lemma *vc_sound*: $vc \ C \ Q \Longrightarrow \vdash_2, \{\text{pre } C \ Q\} \text{strip } C \ \{ \ Q \}$

```

proof (induct C arbitrary: Q)
  case (Aif b C1 C2)
    then have Aif1:  $\vdash_{2'} \{pre\ C1\ Q\}$  strip C1 {Q} and Aif2:  $\vdash_{2'} \{pre\ C2\ Q\}$ 
    strip C2 {Q} by auto
    show ?case apply auto apply(rule hoareQ.conseq[where k=1])
      apply(rule hoareQ.If[where P=%s. if bval b s then pre C1 Q s else
pre C2 Q s and Q=Q])
    subgoal
      apply(rule hoareQ.conseq[where k=1])
      apply (fact Aif1)
      subgoal for s apply(cases bval b s) by auto
      apply auto done
    subgoal
      apply(rule hoareQ.conseq[where k=1])
      apply (fact Aif2)
      subgoal for s apply(cases bval b s) by auto
      apply auto done
    apply auto
  done
next
  case (Awhile I b C)
  then have i:  $(\wedge Q. vc\ C\ Q \implies \vdash_{2'} \{pre\ C\ Q\})$  strip C {Q}
  and ii':  $\forall s. pre\ C\ (\lambda s. I\ s + 1)\ s \leq I\ s + \uparrow (bval\ b\ s)$ 
  and ii'':  $\wedge s. Q\ s \leq I\ s + \uparrow (\neg bval\ b\ s)$ 
  and iii:  $vc\ C\ (\lambda s. I\ s + 1)$ 
  by auto

  from i iii have A:  $\vdash_{2'} \{pre\ C\ (\lambda s. I\ s + 1)\}$  strip C {(\lambda s. I s + 1)} by
auto

  show ?case
    apply simp
    apply(rule conseq[where k=1])
    apply(rule While[where I=I])
    apply(rule weakenpre)
    apply(rule A)
    apply(rule ii') apply simp
    using ii'' apply auto done
next
  case (Abst k C)
  then have vc:  $vc\ C\ (\lambda s. k*Q\ s)$  and k:  $k > 0$  by auto
  from Abst(1) vc have C:  $\vdash_{2'} \{pre\ C\ (\%s. k*Q\ s)\}$  strip C {(\%s. k*Q
s)} by auto
  show ?case apply(simp)

```

```

    apply(rule conseq)
    apply(rule C) using k apply auto
    using ediv_cancel3 by auto
qed (auto intro: hoareQ.Skip hoareQ.Assign hoareQ.Seq )

```

```

lemma vc_sound':  $\llbracket vc\ C\ Q\ ;\ (\forall s. pre\ C\ Q\ s \leq P\ s) \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$ 
  apply(rule hoareQ.conseq[where k=1])
  apply(rule vc_sound) by auto

```

```

lemma vc_sound'':  $\llbracket vc\ C\ Q'\ ;\ (\forall s. pre\ C\ Q'\ s \leq k * P\ s) \ ;\ (\wedge s. enat\ k * Q\ s \leq Q'\ s); k > 0 \rrbracket \implies \vdash_{2'} \{P\}\ strip\ C\ \{Q\}$ 
  apply(rule hoareQ.conseq )
  apply(rule vc_sound) by auto

```

6.6.4 Completeness

```

lemma pre_mono: assumes  $\wedge s. P'\ s \leq P\ s$ 
  shows  $\wedge s. pre\ C\ P'\ s \leq pre\ C\ P\ s$ 
  using assms by (induct C arbitrary: P P', auto simp: ediv_mono mult_left_mono)

```

```

lemma vc_mono: assumes  $\wedge s. P'\ s \leq P\ s$ 
  shows  $vc\ C\ P \implies vc\ C\ P'$ 
  using assms
proof (induct C arbitrary: P P')
  case (Awhile I b C Q)
  thus ?case
    apply (auto simp: pre_mono)
    using order.trans by blast
next
  case (Abst x1 C)
  then show ?case by (auto simp: mult_left_mono)
qed (auto simp: pre_mono)

```

```

lemma  $\vdash_{2'} \{P\}\ c\ \{Q\} \implies \exists C. strip\ C = c \wedge vc\ C\ Q \wedge (\forall s. pre\ C\ Q\ s \leq P\ s)$ 
  (is  $\_ \implies \exists C. ?G\ P\ c\ Q\ C$ )
proof (induction rule: hoareQ.induct)
  case (conseq P c Q k P' Q')
  then obtain C where strip:  $strip\ C = c$  and vc:  $vc\ C\ Q$  and pre:  $\wedge s.$ 

```

```

pre C Q s ≤ P s
  by blast

{ fix s
  have pre C (λs. enat k * Q' s) s ≤ pre C Q s using pre_mono conseq(3)
by simp
  also
    from pre conseq(2) have ... ≤ enat k * P' s using order.trans by
blast
    finally have pre C (λs. enat k * Q' s) s ≤ enat k * P' s by auto
      then have ediv (pre C (λs. enat k * Q' s) s) k ≤ ediv (enat k * P'
s) k using ediv_mono by auto
    moreover note ediv_cancel2[OF conseq(4)]
    ultimately have ediv (pre C (λs. enat k * Q' s) s) k ≤ P' s
      by simp
  } note compensate=this

show ?case
  apply(rule exI[where x={k} Ab C])
  apply(safe)
  subgoal using strip by simp
  subgoal apply simp apply safe
    subgoal using vc vc_mono conseq(3) by force
    subgoal by fact
    done
  subgoal apply simp using compensate by auto
  done
next
case (Skip P)
show ?case (is ∃ C. ?C C)
proof show ?C Askip by auto qed
next
case (Assign P a x)
show ?case (is ∃ C. ?C C)
proof show ?C(Aassign x a) by auto qed
next
case (If P b c1 Q c2)
from If(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1 Q
  and pre1: (λs. pre C1 Q s ≤ (P s + ↑(bval b s)))
  by blast
from If(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2 Q
  and pre2: (λs. pre C2 (λs. Q s) s ≤ (P s + ↑(¬ bval b s)))
  by blast

```

```

show ?case
  apply(rule exI[where x=IF b THEN C1 ELSE C2], safe)
  subgoal using strip1 strip2 by auto
  subgoal using vc1 vc2 by auto
  subgoal for s using pre1[of s] pre2[of s] by auto
  done
next
case (Seq P1 c1 P2 c2 P3)
from Seq(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1 P2
  and pre1: (∀ s. pre C1 P2 s ≤ P1 s) by blast
from Seq(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2 P3
  and pre2: ∧ s. pre C2 P3 s ≤ P2 s by blast

{
  fix s
  have pre C1 (pre C2 P3) s ≤ P1 s
  apply(rule order.trans[where b=pre C1 P2 s])
  apply(rule pre_mono) using pre2 apply simp using pre1 by simp
} note pre = this
show ?case
  apply(rule exI[where x=C1 ;; C2], safe)
  subgoal using strip1 strip2 by simp
  subgoal apply simp apply safe using vc1 vc2 vc_mono pre2 by auto

  subgoal apply simp using pre by auto
  done
next
case (While I b c)
from While(2) obtain C where strip: strip C = c and vc: vc C (λa. I
a + 1)
  and pre: ∧ s. pre C (λa. I a + 1) s ≤ I s + ↑ (bval b s) by blast
show ?case
  apply(rule exI[where x={I} WHILE b DO C], safe)
  subgoal using strip by simp
  subgoal apply simp using pre vc by auto
  subgoal by simp
done
qed

lemma ⊢Z { P } c { Q } ⇒ ∃ C. strip C = c ∧ vc C Q ∧ (∀ s. pre C Q
s ≤ P s)
(is _ ⇒ ∃ C. ?G P c Q C)
proof (induction rule: hoareQ'.induct)
  case (ZSkip P)

```



```

show ?case (is  $\exists C. ?C C$ )
proof show ?C Askip by auto
qed
next
case (ZAssign P a x)
show ?case (is  $\exists C. ?C C$ )
proof show ?C(Aassign x a) by simp qed
next
case (ZIf P b c1 Q c2)
from ZIf(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1 Q
and pre1: ( $\wedge s. \text{pre } C1 \ Q \ s \leq P \ s + \uparrow (bval \ b \ s)$ ) by blast
from ZIf(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2 Q
and pre2: ( $\wedge s. \text{pre } C2 \ Q \ s \leq P \ s + \uparrow (\neg bval \ b \ s)$ ) by blast

show ?case apply(rule exI[where x=IF b THEN C1 ELSE C2])
  apply(safe)
  subgoal using strip1 strip2 by auto
  subgoal using vc1 vc2 by auto
  subgoal for s apply auto
    subgoal using pre1[of s] by auto
    subgoal using pre2[of s] by auto
  done
done
next
case (ZSeq P1 c1 P2 c2 P3)
from ZSeq(3) obtain C1 where strip1: strip C1 = c1 and vc1: vc C1
P2 and pre1: ( $\forall s. \text{pre } C1 \ P_2 \ s \leq P_1 \ s$ ) by blast
from ZSeq(4) obtain C2 where strip2: strip C2 = c2 and vc2: vc C2
P3 and pre2: ( $\forall s. \text{pre } C2 \ P_3 \ s \leq P_2 \ s$ ) by blast
{
  fix s
  have pre C1 (pre C2 P3) s  $\leq P_1 \ s$ 
  apply(rule order.trans[where b=pre C1 P2 s])
  apply(rule pre_mono) using pre2 apply simp using pre1 by simp
} note pre = this
show ?case apply(rule exI[where x=C1 ;; C2])
  apply safe
  subgoal using strip1 strip2 by simp
  subgoal using vc1 vc2 vc_mono pre2 by auto
  subgoal using pre by auto
done
next
case (ZWhile I b c)
from ZWhile(2) obtain C where strip: strip C = c and vc: vc C ( $\lambda a.$ 

```

```

 $I\ a + 1)$ 
  and  $pre: \bigwedge s. pre\ C\ (\lambda a. I\ a + 1)\ s \leq I\ s + \uparrow (bval\ b\ s)$  by blast
  show ?case apply(rule exI[where  $x=\{I\}$  WHILE  $b\ DO\ C$ ])
    apply safe
    subgoal using strip by simp
    subgoal using pre vc by auto
    subgoal by simp
  done
next
  case (Zconseq'  $P\ c\ Q\ P'\ Q'$ )
  then obtain  $C$  where strip  $C = c$  and vc:  $vc\ C\ Q$  and  $pre: \bigwedge s. pre\ C\ Q\ s \leq P\ s$  by blast

  from pre_mono[OF Zconseq'(3)] have  $1: \bigwedge s. pre\ C\ Q'\ s \leq pre\ C\ Q\ s$ 
  by auto

  show ?case
    apply(rule exI[where  $x=C$ ])
    apply safe
    apply fact
    subgoal using vc Zconseq'(3) vc_mono by auto
    subgoal using pre Zconseq'(2)  $1$  using order.trans by metis
    done
next
  case (Zconst  $k\ P\ c\ Q$ )
  then obtain  $C$  where strip: strip  $C = c$  and vc:  $vc\ C\ (\lambda a. enat\ k * Q\ a)$ 
  and  $k: k > 0$  and  $pre: \bigwedge s. pre\ C\ (\lambda a. enat\ k * Q\ a)\ s \leq enat\ k * P\ s$  by
  blast

  show ?case
    apply(rule exI[where  $x=\{k\}$  Ab  $C$ ]) apply safe
    subgoal using strip by auto
    subgoal using vc  $k$  by auto
    subgoal apply auto using ediv_mono[OF pre] ediv_cancel2[OF  $k$ ] by
    metis
    done
  qed

end

```

6.7 Examples for quantitative Hoare logic

theory *QuantK_Examples*

```

imports QuantK_VCG
begin

fun sum :: int  $\Rightarrow$  int where
  sum i = (if i  $\leq$  0 then 0 else sum (i - 1) + i)

abbreviation wsum ==
  WHILE Less (N 0) (V "x'")
  DO ("y'' ::= Plus (V "y'") (V "x'");;
     "x'' ::= Plus (V "x'") (N (- 1)))

lemma example:  $\vdash_{2'}$  { $\lambda s.$  enat (2 + 3*n) + emb (s "x'' = int n)} "y'' ::=
  N 0;; wsum { $\lambda s.$  0 }
apply(rule Seq)
prefer 2
apply(rule conseq')
apply(rule While[where I= $\lambda s.$  enat (3 * nat (s "x'"))])
apply(rule Seq)
prefer 2
  apply(rule Assign)
  apply(rule Assign')
  apply(simp)
  apply(safe) subgoal for s apply(cases 0 < s "x'") apply(simp)
  apply (smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral eq_iff iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
  apply(simp) done
  apply blast
  apply simp
apply(rule Assign')
apply simp
  apply(safe) subgoal for s apply(cases s "x'' = int n) apply(simp)
  apply (simp add: eSuc_enat plus_1_eSuc(2))
  apply simp
  done
done

lemma example_sound:  $\models_{2'}$  { $\lambda s.$  enat (2 + 3*n) + emb (s "x'' = int n)}
  "y'' ::= N 0;; wsum { $\lambda s.$  0 }
apply(rule hoareQ_sound) apply (rule example) done

```

```

schematic_goal  $\vdash_{2'}$   $\{\lambda s. ?A \ s + \text{emb} \ (s \ "x" = \text{int } n)\} \ "y" ::= N \ 0;;$ 
 $wsum \ \{\lambda s. \ 0 \}$ 
apply(rule Seq)
prefer 2
apply(rule conseq')
  apply(rule While)
apply(rule Seq)
prefer 2
  apply(rule Assign)
  apply(rule Assign')
  apply(simp)
  apply(safe) apply(case_tac  $0 < s \ "x"$ ) apply(simp) defer
apply(simp)
apply blast
apply simp
apply(rule Assign')
apply simp
  apply(safe) apply(case_tac  $s \ "x" = \text{int } n$ ) apply(simp)
    apply (simp add: eSuc_enat plus_1_eSuc(2)) defer
    apply simp
  prefer 2 apply auto oops

```

6.7.1 Example for VCG

```

lemma  $\vdash_{2'}$   $\{\lambda s. \ 1\} \text{ SKIP } ;; \text{ SKIP } \{\lambda s. \ 0 \}$ 
proof –
  have  $\vdash_{2'}$   $\{\lambda s. \text{ enat } 1\} \text{ strip } (\{2\} \text{ Ab } (\text{SKIP } ;; \text{ SKIP})) \{\lambda s. \ 0 \}$ 
    apply(rule vc_sound')
    apply(auto simp: eSuc_enat zero_enat_def)
    by (simp add: mydivcode mydivcode1 mydivcode2)
  then show ?thesis by (simp add: one_enat_def)
qed

```

```

lemma hoareQ_Seq_assoc:  $\vdash_{2'}$   $\{P\} \ A;; \ B;; \ C \ \{Q\} = (\vdash_{2'} \{P\} \ A;; (B;; C) \ \{Q\})$ 
by(auto simp: hoare2o_valid_def hoareQ_sound_complete Seq_t_assoc)

```

```

lemma  $\vdash_{2'}$   $\{\lambda s. \ 1\} \text{ SKIP } ;; \text{ SKIP } ;; \text{ SKIP } \{\lambda s. \ 0 \}$ 
proof –
  have  $\vdash_{2'}$   $\{\lambda s. \text{ enat } 1\} \text{ strip } (\{2\} \text{ Ab } (\text{SKIP } ;; \{2\} \text{ Ab } (\text{SKIP } ;; \text{ SKIP})))$ 
     $\{\lambda s. \ 0 \}$ 

```

```

    apply(rule vc_sound')
    apply(auto simp: eSuc_enat zero_enat_def)
    by (simp add: mydivcode mydivcode1 mydivcode2)
  then show ?thesis by (simp add: one_enat_def hoareQ_Seq_assoc)
qed

```

abbreviation $Wsum ==$

```

{λs. enat (3 * nat (s "x"))} WHILE Less (N 0) (V "x")
DO ("y" ::= Plus (V "y") (V "x"));
"x" ::= Plus (V "x") (N (- 1)))

```

lemma $\vdash_2, \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \} \text{ "y"} ::= N 0;;$
 $wsum \{ \lambda s. 0 \}$

proof –

```

  have  $\vdash_2, \{ \lambda s. \text{enat } (2 + 3*n) + \text{emb } (s \text{ "x"} = \text{int } n) \} \text{ strip } ("y" ::= N 0;; Wsum) \{ \lambda s. 0 \}$ 
  apply(rule vc_sound')
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases 0 < s "x")
      apply(simp)
      apply ( smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral eq_iff_iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
      apply(simp) done
    done
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases s "x" = int n) apply(simp)

      apply (simp add: eSuc_enat plus_1_eSuc(2))
      apply simp
    done
  done
done
then show ?thesis by simp
qed

```

lemma assumes $n0: n > 0$ shows $\vdash_2, \{ \lambda s. \text{enat } (n) + \text{emb } (s \text{ "x"} = \text{int } n) \}$

```

n)} "y" ::= N 0;; wsum {λs. 0 }
proof –
  from n0 obtain n' where n': n=Suc n'
    using not0_implies_Suc by blast
  have ⊢2' {λs. enat (n ) + emb (s "x" = int n)} strip ({5} Ab ("y" ::=
N 0;; Wsum)) {λs. 0 }
    apply(rule vc_sound')
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases 0 < s "x")
      apply(simp)
    apply ( smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral eq_iff iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
      apply(simp) done
    done
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases s "x" = int n) apply(simp)

      subgoal apply (simp add: eSuc_enat plus_1_eSuc(2))
        apply(simp add: n') apply (simp add: mydiv_le_E) done
      apply simp
      done
    done
  done
  then show ?thesis by simp
qed

```

```

lemma ⊢2' {λs. enat (n+1) + emb (s "x" = int n)} "y" ::= N 0;; wsum
{λs. 0 }
proof –
  have ⊢2' {λs. enat (n+1) + emb (s "x" = int n)} strip ({3} Ab ("y" ::=
N 0;; Wsum)) {λs. 0 }
    apply(rule vc_sound')
  subgoal
    apply simp
    apply(safe) subgoal for s apply(cases 0 < s "x")
      apply(simp)
    apply ( smt Suc_eq_plus1 Suc_nat_eq_nat_zadd1 distrib_left_numeral
eSuc_numeral enat_numeral eq_iff iadd_Suc_right nat_mult_1_right one_add_one
plus_1_eSuc(1) plus_enat_simps(1) semiring_norm(5))
      apply(simp) done
    done

```

```

subgoal
  apply simp
  apply(safe) subgoal for s apply(cases s "x" = int n) apply(simp)

    subgoal apply (simp add: eSuc_enat plus_1_eSuc(2))
      apply (simp add: mydiv_le_E) done
    apply simp
  done
done
done
then show ?thesis by simp
qed

```

```

abbreviation Wsum1 z ==
  { $\lambda s. \text{enat } (z * \text{nat } (s \text{ "x"}))$ } WHILE Less (N 0) (V "x")
  DO ("y" ::= Plus (V "y") (V "x"));
  "x" ::= Plus (V "x") (N (- 1)))

```

```

abbreviation Wsum2 n vier ==
  { $\lambda s. \text{enat } (\text{vier} * (\text{nat } (s \text{ "x"}) + n + 1))$ } WHILE Less (N 0) (V "x")
  DO ("y" ::= Plus (V "y") (V "x"));
  "x" ::= Plus (V "x") (N (- 1)))

```

```

end
theory QuantK_Sqrt
imports QuantK_VCG HOL-Library.Discrete_Functions
begin

```

6.8 Example: discrete square root in the quantitative Hoare logic

As an example, consider the following program that computes the discrete square root:

```

definition c :: com where c =
  "l" ::= N 0 ;;
  "m" ::= N 0 ;;
  "r" ::= Plus (N 1) (V "x");;
  (WHILE (Less (Plus (N 1) (V "l")) (V "r"))

```

```

DO ("m'' ::= (Div (Plus (V "l'') (V "r'')) (N 2)) ;;
  (IF Not (Less (Times (V "m'') (V "m'')) (V "x''))
    THEN "l'' ::= V "m''
    ELSE "r'' ::= V "m'');;
  "m'' ::= N 0))

```

In this theory we will show that its running time is in the order of magnitude of the logarithm of the variable "x"

a little lemma we need later for bounding the running time:

```

lemma absch:  $\bigwedge s k. 1 + s \text{"x''} = 2^k \implies 5 * k \leq 96 + 100 * \text{floor\_log}$ 
(nat (s "x''))
proof -
  fix s :: state and k :: nat
  assume F:  $1 + s \text{"x''} = 2^k$ 
  then have i: nat (1 + s "x'') =  $2^k$  and nn: s "x''  $\geq 0$  apply (auto
simp: nat_power_eq)
  by (smt one_le_power)
  have F:  $1 + \text{nat } (s \text{"x''}) = 2^k$  unfolding i[symmetric] using nn by
auto
  show  $5 * k \leq 96 + 100 * \text{floor\_log } (\text{nat } (s \text{"x''}))$ 
proof (cases s "x''  $\geq 1$ )
  case True
    have  $5 * k = 5 * (\text{floor\_log } (2^k))$  by auto
    also have  $\dots = 5 * \text{floor\_log } (1 + \text{nat } (s \text{"x''}))$  by (simp only: F[symmetric])
    also have  $\dots \leq 5 * \text{floor\_log } (\text{nat } (s \text{"x''} + s \text{"x''}))$  using True
    apply auto apply (rule monoD[OF floor_log_mono]) by auto
    also have  $\dots = 5 * \text{floor\_log } (2 * \text{nat } (s \text{"x''}))$  by (auto simp:
nat_mult_distrib)
    also have  $\dots = 5 + 5 * (\text{floor\_log } (\text{nat } (s \text{"x''})))$  using True by auto
    also have  $\dots \leq 96 + 100 * \text{floor\_log } (\text{nat } (s \text{"x''}))$  by simp
    finally show ?thesis .
  next
    case False
    with nn have gt1: s "x'' = 0 by auto
    from F[unfolded gt1] have  $2^k = (1::\text{int})$  using floor_log_Suc_zero
by auto
    then have k=0
    by (metis One_nat_def add.right_neutral gt1 i n_not_Suc_n nat_numerical
nat_power_eq_Suc_0_iff numerical_2_eq_2 numerical_One)
    then show ?thesis by (simp add: gt1)
  qed
qed

```

For simplicity we assume, that during the process all segments between

"l" and "r" have as length a power of two. This simplifies the analysis. To obtain this we choose the prepotential P accordingly.

Now lets show the correctness of our time complexity: the binary search is in $O(\log "x")$

lemma

assumes

$P: P = (\lambda s. \uparrow ((\exists k. 1 + s "x'' = 2 \wedge k) + (\text{floor_log } (\text{nat } (s "x'')) + 1)))$ **and**

$Q[\text{simp}]: Q = (\lambda_. 0)$

shows $\vdash_{2'} \{P\} c \{Q\}$

proof —

— first we create an annotated command

let $?lb = "m'' ::=$
 $(\text{Div } (\text{Plus } (V "l'') (V "r'')) (N 2)) ;;$
 $(\text{IF Not } (\text{Less } (\text{Times } (V "m'') (V "m'')) (V "x''))$
 $\text{ THEN } "l'' ::= V "m''$
 $\text{ ELSE } "r'' ::= V "m'');;$
 $("m'' ::= N 0)::\text{acom}$

— with an invariant potential

define I **where** $I \equiv (\lambda s::\text{state}. ((\text{emb } (s "l'' \geq 0 \wedge (\exists k. s "r'' - s "l'' = 2 \wedge k)) + 5 * \text{floor_log } (\text{nat } (s "r'') - \text{nat } (s "l'')))::\text{enat}))$
let $?C = ((?l'' ::= N 0) :: \text{acom}) ;; ("m'' ::= N 0) ;; "r'' ::= \text{Plus } (N 1)$
 $(V "x'');; (\{I\} \text{ WHILE } (\text{Less } (\text{Plus } (N 1) (V "l'')) (V "r'')) \text{ DO } ?lb)$

— we show that the annotated command corresponds to the command we are interested in

have $s: \text{strip } ?C = c$ **unfolding** c_def **by** *auto*

— now we show that the annotated command is correct; here we use the VCG for the QuantK logic

have $v: \vdash_{2'} \{P\} \text{strip } ?C \{Q\}$

proof (*rule* vc_sound'' , *safe*)

— A) first lets show the verification conditions:

show $vc \ ?C \ Q$ **apply** *auto*

unfolding I_def

subgoal for s

apply(*cases* $(\exists k. s "r'' - s "l'' = 2 \wedge k)$) **apply** *auto*

apply(*cases* $(1 + s "l'' < s "r'')$) **apply** *auto*

apply(*cases* $0 \leq s "l''$) **apply** *auto*

proof (*goal_cases*)

case $(1 \ k)$

then have $k > 0$ **using** $gr0I$ **by** *force*

```

then obtain k' where k': k=k'+1 by (metis Suc_eq_plus1 Suc_pred)

from 1 k' have R: s ''r'' - (s ''l'' + s ''r'') div 2 = 2 ^ k' by auto
have gN: s ''l'' ≤ s ''r'' s ''l'' ≥ 0 s ''r'' ≥ 0 using 1 by auto
have n: nat ( s ''r'' - (s ''l'' + s ''r'') div 2 ) = nat (s ''r'') - nat
((s ''l'' + s ''r'') div 2)
using gN apply(simp add: nat_diff_distrib nat_div_distrib) done

have R': nat (s ''r'') - nat ((s ''l'' + s ''r'') div 2) = 2 ^ k'
apply(simp only: n[symmetric] R nat_power_eq) by auto
have S': nat (s ''r'') - nat (s ''l'') = 2 ^ k
using gN apply(simp only: nat_diff_distrib[symmetric] 1(2)
nat_power_eq) by auto
have N: 0 ≤ (s ''l'' + s ''r'') div 2 using gN by auto

from N show ?case apply (simp) apply (simp only: R R' S' k')
by (auto simp: eSuc_enat_plus_1_eSuc(2))
qed
subgoal for s
apply(cases ∃ k. s ''r'' - s ''l'' = 2 ^ k) apply auto
apply (cases (1 + s ''l'' < s ''r'')) apply auto
apply(cases 0 ≤ s ''l'') apply auto
proof (goal_cases)
case (1 k)
from 1(2,3) have k>0 using gr0I by force
then obtain k' where k': k=k'+1 by (metis Suc_eq_plus1 Suc_pred)

from 1 k' have R: (s ''l'' + s ''r'') div 2 - s ''l'' = 2 ^ k' by auto
have gN: s ''l'' ≤ s ''r'' s ''l'' ≥ 0 s ''r'' ≥ 0 using 1 by auto
have n: nat ((s ''l'' + s ''r'') div 2 - s ''l'') = nat ( (s ''l'' + s ''r'')
div 2) - nat (s ''l'')
using gN apply(simp add: nat_diff_distrib nat_div_distrib) done

have R': nat ( (s ''l'' + s ''r'') div 2) - nat (s ''l'') = 2 ^ k'
apply(simp only: n[symmetric] R nat_power_eq) by auto
have S': nat (s ''r'') - nat (s ''l'') = 2 ^ k
using gN apply(simp only: nat_diff_distrib[symmetric] 1(2)
nat_power_eq) by auto

show ?case apply (simp only: R R' S' k') by (auto simp: eSuc_enat
plus_1_eSuc(2))
qed done
next
— B) lets show that the precondition implies the weakest precondition,

```

and that the time bound of C can be bounded by $\log "x"$

```

fix s
  show pre ?C Q s ≤ enat 100 * P s unfolding I_def apply(simp only:
P) apply auto apply(cases (∃ k. 1 + s "x" = 2 ^ k))
    apply (auto simp: eSuc_enat plus_1_eSuc(2) nat_power_eq)
    using absch by force
qed auto

from s v show ?thesis by simp
qed

end

```

7 Partial States

7.1 Partial evaluation of expressions

```

theory Partial_Evaluation
imports AExp Vars
begin

```

```

type_synonym partstate = (vname ⇒ val option)

```

```

definition emb :: partstate ⇒ state ⇒ state where
  emb ps s = (%v. (case (ps v) of (Some r) ⇒ r | None ⇒ s v))

```

```

definition part :: state ⇒ partstate where
  part s = (%v. Some (s v))

```

```

lemma emb_part[simp]: emb (part s) q = s unfolding emb_def part_def
by auto

```

```

lemma part_emb[simp]: dom ps = UNIV ⇒ part (emb ps q) = ps un-
folding emb_def part_def apply(rule ext)
  by (simp add: domD option.case_eq_if)

```

```

lemma dom_part[simp]: dom (part s) = UNIV unfolding part_def by
auto

```

```

abbreviation optplus :: int option ⇒ int option ⇒ int option where
  optplus a b ≡ (case a of None ⇒ None | Some a' ⇒ (case b of None ⇒
None | Some b' ⇒ Some (a' + b')))

```

abbreviation $opttimes :: int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where**
 $opttimes\ a\ b \equiv (case\ a\ of\ None \Rightarrow None \mid Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None \mid Some\ b' \Rightarrow Some\ (a' * b')))$

abbreviation $optdiv :: int\ option \Rightarrow int\ option \Rightarrow int\ option$ **where** $optdiv$
 $a\ b \equiv (case\ a\ of\ None \Rightarrow None \mid Some\ a' \Rightarrow (case\ b\ of\ None \Rightarrow None \mid Some\ b' \Rightarrow Some\ (a' div\ b')))$

fun $paval' :: aexp \Rightarrow partstate \Rightarrow val\ option$ **where**

$paval'\ (N\ n)\ s = Some\ n \mid$

$paval'\ (V\ x)\ s = s\ x \mid$

$paval'\ (Plus\ a_1\ a_2)\ s = optplus\ (paval'\ a_1\ s)\ (paval'\ a_2\ s) \mid$

$paval'\ (Times\ a_1\ a_2)\ s = opttimes\ (paval'\ a_1\ s)\ (paval'\ a_2\ s) \mid$

$paval'\ (Div\ a_1\ a_2)\ s = optdiv\ (paval'\ a_1\ s)\ (paval'\ a_2\ s)$

lemma $paval'\ a\ ps = Some\ v \implies vars\ a \subseteq dom\ ps$

proof(*induct a arbitrary: v*)

case $(Plus\ a1\ a2)$

from $Plus(3)$ **obtain** $v1$ **where** $1: paval'\ a1\ ps = Some\ v1$

by *fastforce*

with $Plus(3)$ **obtain** $v2$ **where** $2: paval'\ a2\ ps = Some\ v2$

by *fastforce*

from $Plus(1)[OF\ 1]\ Plus(2)[OF\ 2]$ **show** *?case* **by** *auto*

next

case $(Times\ a1\ a2)$

from $Times(3)$ **obtain** $v1$ **where** $1: paval'\ a1\ ps = Some\ v1$

by *fastforce*

with $Times(3)$ **obtain** $v2$ **where** $2: paval'\ a2\ ps = Some\ v2$

by *fastforce*

from $Times(1)[OF\ 1]\ Times(2)[OF\ 2]$ **show** *?case* **by** *auto*

next

case $(Div\ a1\ a2)$

from $Div(3)$ **obtain** $v1$ **where** $1: paval'\ a1\ ps = Some\ v1$

by *fastforce*

with $Div(3)$ **obtain** $v2$ **where** $2: paval'\ a2\ ps = Some\ v2$

by *fastforce*

from $Div(1)[OF\ 1]\ Div(2)[OF\ 2]$ **show** *?case* **by** *auto*

qed (*simp_all, blast*)

lemma $paval'_aval: paval'\ a\ ps = Some\ v \implies aval\ a\ (emb\ ps\ s) = v$

proof(*induct a arbitrary: v*)

case $(Plus\ a1\ a2)$

from $Plus(3)$ **obtain** $v1$ **where** $1: paval'\ a1\ ps = Some\ v1$

by *fastforce*

```

with  $Plus(3)$  obtain  $v2$  where  $2: paval' a2 ps = Some v2$ 
  by fastforce
from  $Plus(1)[OF\ 1]$   $Plus(2)[OF\ 2]$   $Plus(3)\ 1\ 2$  show ?case by auto
next
  case ( $Times\ a1\ a2$ )
from  $Times(3)$  obtain  $v1$  where  $1: paval' a1 ps = Some v1$ 
  by fastforce
with  $Times(3)$  obtain  $v2$  where  $2: paval' a2 ps = Some v2$ 
  by fastforce
from  $Times(1)[OF\ 1]$   $Times(2)[OF\ 2]$   $Times(3)\ 1\ 2$  show ?case by
auto
next
  case ( $Div\ a1\ a2$ )
from  $Div(3)$  obtain  $v1$  where  $1: paval' a1 ps = Some v1$ 
  by fastforce
with  $Div(3)$  obtain  $v2$  where  $2: paval' a2 ps = Some v2$ 
  by fastforce
from  $Div(1)[OF\ 1]$   $Div(2)[OF\ 2]$   $Div(3)\ 1\ 2$  show ?case by auto
qed (simp_all add: emb_def)

```

fun $paval :: aexp \Rightarrow partstate \Rightarrow val$ **where**

```

 $paval\ (N\ n)\ s = n \mid$ 
 $paval\ (V\ x)\ s = the\ (s\ x) \mid$ 
 $paval\ (Plus\ a_1\ a_2)\ s = paval\ a_1\ s + paval\ a_2\ s \mid$ 
 $paval\ (Times\ a_1\ a_2)\ s = paval\ a_1\ s * paval\ a_2\ s \mid$ 
 $paval\ (Div\ a_1\ a_2)\ s = paval\ a_1\ s \div paval\ a_2\ s$ 

```

lemma $paval_aval: vars\ a \subseteq dom\ ps \implies paval\ a\ ps = aval\ a\ (\lambda v. case\ ps\ v\ of\ None \Rightarrow s\ v \mid Some\ r \Rightarrow r)$
by (*induct a, auto*)

lemma $paval'_paval: vars\ a \subseteq dom\ ps \implies paval'\ a\ ps = Some\ (paval\ a\ ps)$
by (*induct a, auto*)

lemma $paval_paval': paval'\ a\ ps = Some\ v \implies paval\ a\ ps = v$

proof(*induct a arbitrary: v*)

```

  case ( $Plus\ a1\ a2$ )
from  $Plus(3)$  obtain  $v1$  where  $1: paval'\ a1\ ps = Some\ v1$ 
  by fastforce
with  $Plus(3)$  obtain  $v2$  where  $2: paval'\ a2\ ps = Some\ v2$ 
  by fastforce
from  $Plus(1)[OF\ 1]$   $Plus(2)[OF\ 2]$   $Plus(3)\ 1\ 2$  show ?case by auto

```

```

next
  case (Times a1 a2)
  from Times(3) obtain v1 where 1: paval' a1 ps = Some v1
    by fastforce
  with Times(3) obtain v2 where 2: paval' a2 ps = Some v2
    by fastforce
  from Times(1)[OF 1] Times(2)[OF 2] Times(3) 1 2 show ?case by
auto
next
  case (Div a1 a2)
  from Div(3) obtain v1 where 1: paval' a1 ps = Some v1
    by fastforce
  with Div(3) obtain v2 where 2: paval' a2 ps = Some v2
    by fastforce
  from Div(1)[OF 1] Div(2)[OF 2] Div(3) 1 2 show ?case by auto
qed simp_all

```

```

fun pbval :: bexp  $\Rightarrow$  partstate  $\Rightarrow$  bool where
  pbval (Bc v) s = v |
  pbval (Not b) s = ( $\neg$  pbval b s) |
  pbval (And b1 b2) s = (pbval b1 s  $\wedge$  pbval b2 s) |
  pbval (Less a1 a2) s = (paval a1 s < paval a2 s)

```

```

abbreviation optnot where optnot a  $\equiv$  (case a of None  $\Rightarrow$  None | Some
a'  $\Rightarrow$  Some ( $\sim$  a'))

```

```

abbreviation optand where optand a b  $\equiv$  (case a of None  $\Rightarrow$  None |
Some a'  $\Rightarrow$  (case b of None  $\Rightarrow$  None | Some b'  $\Rightarrow$  Some (a'  $\wedge$  b')))
abbreviation optless where optless a b  $\equiv$  (case a of None  $\Rightarrow$  None |
Some a'  $\Rightarrow$  (case b of None  $\Rightarrow$  None | Some b'  $\Rightarrow$  Some (a' < b')))

```

```

fun pbval' :: bexp  $\Rightarrow$  partstate  $\Rightarrow$  bool option where
  pbval' (Bc v) s = Some v |
  pbval' (Not b) s = (optnot (pbval' b s)) |
  pbval' (And b1 b2) s = (optand (pbval' b1 s) (pbval' b2 s)) |
  pbval' (Less a1 a2) s = (optless (paval' a1 s) (paval' a2 s))

```

```

lemma pbval'_pbval: vars a  $\subseteq$  dom ps  $\Longrightarrow$  pbval' a ps = Some (pbval a
ps)
  apply(induct a) apply (auto simp: paval'_paval) done

```

```

lemma paval_aval_vars: vars a  $\subseteq$  dom ps  $\implies$  paval a ps = aval a (emb
ps s)
  apply(induct a) by(auto simp: emb_def)

lemma pbval_bval_vars: vars b  $\subseteq$  dom ps  $\implies$  pbval b ps = bval b (emb ps
s)
  apply(induct b) apply (simp_all)
  using paval_aval_vars[where s=s] by auto

lemma paval'dom: paval' a ps = Some v  $\implies$  vars a  $\subseteq$  dom ps
proof (induct a arbitrary: v)
  case (Plus a1 a2)
  then show ?case apply auto
  apply fastforce
  by (metis (no_types, lifting) domD option.case_eq_if option.collapse
subset_iff)
next
  case (Times a1 a2)
  then show ?case apply auto
  apply fastforce
  by (metis (no_types, lifting) domD option.case_eq_if option.collapse
subset_iff)
next
  case (Div a1 a2)
  then show ?case apply auto
  apply fastforce
  by (metis (no_types, lifting) domD option.case_eq_if option.collapse
subset_iff)
qed auto

end
theory Product_Separation_Algebra
imports Separation_Algebra.Separation_Algebra
begin

instantiation prod :: (sep_algebra, sep_algebra) sep_algebra
begin

definition
  zero_prod_def: 0  $\equiv$  (0, 0)

definition
  plus_prod_def: m1 + m2  $\equiv$  (fst m1 + fst m2 , snd m1 + snd m2)

```

definition

sep_disj_prod_def: $sep_disj\ m1\ m2 \equiv sep_disj\ (fst\ m1)\ (fst\ m2) \wedge sep_disj\ (snd\ m1)\ (snd\ m2)$

instance

apply *standard* **unfolding** *sep_disj_prod_def* *zero_prod_def* *plus_prod_def*

subgoal **by** *auto*

subgoal **by** (*auto simp: sep_disj_commuteI*)

subgoal **by** (*auto*)

subgoal **using** *sep_add_commute* **by** *metis*

subgoal **by** (*auto simp: sep_add_assoc*)

subgoal **apply** *auto* **using** *sep_disj_addD1* **by** *metis*+

subgoal **apply** *auto* **using** *sep_disj_addI1* **apply** *auto* **done**
done

end

lemma *sep_disj_prod_commute[simp]*: $(ps, 0) \#\# (0, n) \iff (0, n) \#\# (ps, 0)$ **unfolding** *sep_disj_prod_def* **by** *auto*

lemma *sep_disj_prod_conv[simp]*: $(a, x) \#\# (b, y) = (a\#\# b \wedge x\#\# y)$
unfolding *sep_disj_prod_def* **by** *auto*

lemma *sep_plus_prod_conv[simp]*: $(ps, n) + (ps', n') = (ps + ps', n + n')$ **unfolding** *plus_prod_def* **by** *auto*

lemma

fixes $h :: ('a::sep_algebra) * ('b::sep_algebra)$

shows $((\%(a,b). P\ a \wedge b = 0) ** (\%(a,b). a = 0 \wedge Q\ b)) =$

$(\%(a,b). P\ a \wedge Q\ b)$ **unfolding** *sep_conj_def* *sep_disj_prod_def* *plus_prod_def*

apply *auto* **apply**(*rule ext*) **apply** *auto* **by** *force*

instantiation *nat* :: *sep_algebra*

begin

definition

sep_disj_nat_def[simp]: $sep_disj\ (m1::nat)\ m2 \equiv True$

instance

apply *standard* **by**(*auto*)

end

lemma

fixes $h :: \text{nat}$
shows $(P ** Q ** H) h = (Q ** H ** P) h$
by (*simp add: sep_conj_ac*)

lemma

fixes $h :: ('a::\text{sep_algebra}) * ('b::\text{sep_algebra})$
shows $(P ** Q ** H) h = (Q ** H ** P) h$
by (*simp add: sep_conj_ac*)

lemma

fixes $h :: \text{nat} * \text{nat}$
shows $(P ** Q ** H) h = (Q ** H ** P) h$
by (*simp add: sep_conj_ac*)

end

theory *Sep_Algebra_Add*

imports *Separation_Algebra.Separation_Algebra Separation_Algebra.Sep_Heap_Instance*
Product_Separation_Algebra

begin

definition $\text{puree} :: \text{bool} \Rightarrow 'h::\text{sep_algebra} \Rightarrow \text{bool} \ (\uparrow)$ **where** $\text{puree } P \equiv \lambda h. h=0 \wedge P$

lemma $\text{pure_alt}: \uparrow\Phi = (\langle\Phi\rangle \text{ and } \Box)$

by (*auto simp: puree_def sep_empty_def*)

lemma $\text{pure_alt}: \langle\Phi\rangle = (\uparrow\Phi ** \text{sep_true})$

apply (*clarsimp simp: puree_def*)

proof –

{ **fix** $aa :: 'a$

obtain $aaa :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow 'a$ **where**

$\text{ff1}: \bigwedge p \text{ pa } a \text{ pb } pc \text{ aa}. (\neg (p \wedge * \text{ pa}) \ a \vee p \ (aaa \ p \text{ pb}) \vee (pb \wedge * \text{ pa}) \ a) \wedge (\neg pb \ (aaa \ p \text{ pb}) \vee \neg (p \wedge * \text{ pc}) \ aa \vee (pb \wedge * \text{ pc}) \ aa)$

by (*metis (no_types) sep_globalise*)

then have $\exists p. ((\lambda a. a = 0) \wedge * \text{ p}) \ aa$

by (*metis (full_types) sep_conj_commuteI sep_conj_sep_emptyE sep_empty_def*)

then have $\neg \Phi \vee \Phi \wedge ((\lambda a. a = 0) \wedge * (\lambda a. \text{True})) \ aa$

using ff1 by (*metis (no_types) sep_conj_commuteI*) }

```

then show ( $\lambda a. \Phi$ ) = ( $\lambda a. \Phi \wedge ((\lambda a. (a::'a) = 0) \wedge* (\lambda a. True))$ )  $a$ )
  by blast
qed

abbreviation NO_PURE :: bool  $\Rightarrow$  ('h::sep_algebra  $\Rightarrow$  bool)  $\Rightarrow$  bool
  where NO_PURE  $X$   $Q \equiv (NO\_MATCH (\langle X \rangle::'h \Rightarrow bool) Q \wedge NO\_MATCH$ 
    ( $(\uparrow X)::'h \Rightarrow bool$ )  $Q$ )

named_theorems sep_simplify  $\langle$ Assertion simplifications $\rangle$ 

lemma sep_reorder[sep_simplify]:
  ( $(a \wedge* b) \wedge* c$ ) = ( $a \wedge* b \wedge* c$ )
  (NO_PURE  $X$   $a$ )  $\Longrightarrow$  ( $a ** b$ ) = ( $b ** a$ )
  (NO_PURE  $X$   $b$ )  $\Longrightarrow$  ( $b \wedge* a \wedge* c$ ) = ( $a \wedge* b \wedge* c$ )
  ( $Q ** \langle P \rangle$ ) = ( $\langle P \rangle ** Q$ )
  ( $Q ** \uparrow P$ ) = ( $\uparrow P ** Q$ )
  NO_PURE  $X$   $Q \Longrightarrow (Q ** \langle P \rangle ** F) = (\langle P \rangle ** Q ** F)$ 
  NO_PURE  $X$   $Q \Longrightarrow (Q ** \uparrow P ** F) = (\uparrow P ** Q ** F)$ 
  by (simp_all add: sep.add_ac)

lemma sep_combine1[simp]:
  ( $\uparrow P ** \uparrow Q$ ) =  $\uparrow(P \wedge Q)$ 
  ( $\langle P \rangle ** \langle Q \rangle$ ) =  $\langle P \wedge Q \rangle$ 
  ( $\uparrow P ** \langle Q \rangle$ ) =  $\langle P \wedge Q \rangle$ 
  ( $\langle P \rangle ** \uparrow Q$ ) =  $\langle P \wedge Q \rangle$ 
  apply (auto simp add: sep_conj_def puree_def intro!: ext)
  apply (rule_tac x=0 in exI)
  apply simp
  done

lemma sep_combine2[simp]:
  ( $\uparrow P ** \uparrow Q ** F$ ) = ( $\uparrow(P \wedge Q) ** F$ )
  ( $\langle P \rangle ** \langle Q \rangle ** F$ ) = ( $\langle P \wedge Q \rangle ** F$ )
  ( $\uparrow P ** \langle Q \rangle ** F$ ) = ( $\langle P \wedge Q \rangle ** F$ )
  ( $\langle P \rangle ** \uparrow Q ** F$ ) = ( $\langle P \wedge Q \rangle ** F$ )
  apply (subst sep.add_assoc[symmetric]; simp)+
  done

lemma sep_extract_pure[simp]:
  NO_MATCH True  $P \Longrightarrow (\langle P \rangle ** Q) h = (P \wedge (sep\_true ** Q) h)$ 
  ( $\uparrow P ** Q$ )  $h = (P \wedge Q h)$ 
   $\uparrow True = \square$ 
   $\uparrow False = sep\_false$ 
  using sep_conj_sep_true_right apply fastforce

```

```

    by (auto simp: puree_def sep_empty_def[symmetric])

lemma sep_pure_front2[simp]:
  ( $\uparrow P ** A ** \uparrow Q ** F$ ) = ( $\uparrow(P \wedge Q) ** F ** A$ )
  apply (simp add: sep_reorder)
  done

lemma ex_h_simps[simp]:
  Ex ( $\uparrow \Phi$ )  $\longleftrightarrow$   $\Phi$ 
  Ex ( $\uparrow \Phi ** P$ )  $\longleftrightarrow$  ( $\Phi \wedge$  Ex  $P$ )
  apply (cases  $\Phi$ ; auto)
  apply auto
  done

lemma
  fixes  $h :: ('a \Rightarrow 'b \text{ option}) * \text{nat}$ 
  shows ( $P ** Q ** H$ )  $h$  = ( $Q ** H ** P$ )  $h$ 
  by (simp add: sep_conj_ac)

lemma map_le_substate_conv: map_le = sep_substate
  unfolding map_le_def sep_substate_def sep_disj_fun_def plus_fun_def
  domain_def dom_def none_def apply (auto intro!: ext)
  subgoal for  $m1\ m2$  apply (rule exI[where  $x = \%x$ . if ( $\exists y. m1\ x = \text{Some } y$ ) then None else  $m2\ x$ ])
  by auto
  by blast

end



## 7.2 Big step Semantics on partial states



theory Big_StepT_Partial
  imports Partial_Evaluation Big_StepT SepLogAdd/Sep_Algebra_Add
    HOL-Eisbach.Eisbach
  begin

  type_synonym lname = string
  type_synonym pstate_t = partstate * nat
  type_synonym assnp = partstate  $\Rightarrow$  bool
  type_synonym assn2 = pstate_t  $\Rightarrow$  bool

```

7.2.1 helper functions

restrict **definition** *restrict* **where** *restrict* $S\ s = (\%x. \text{ if } x:S \text{ then } \text{Some } (s\ x) \text{ else } \text{None})$

lemma *restrictI*: $\forall x \in S. s1\ x = s2\ x \implies \text{restrict } S\ s1 = \text{restrict } S\ s2$
unfolding *restrict_def* **by** *fastforce*

lemma *restrictE*: $\text{restrict } S\ s1 = \text{restrict } S\ s2 \implies s1 = s2 \text{ on } S$
unfolding *restrict_def* **by** (*meson option.inject*)

lemma *dom_restrict[simp]*: $\text{dom } (\text{restrict } S\ s) = S$
unfolding *restrict_def*
using *domIff* **by** *fastforce*

lemma *restrict_less_part*: $\text{restrict } S\ t \preceq \text{part } t$
unfolding *restrict_def* *map_le_substate_conv[symmetric]* *map_le_def*
part_def **apply** *auto*
by (*metis option.simps(3)*)

Heap helper functions **fun** *lmaps_to_expr* :: $aexp \Rightarrow val \Rightarrow assn2$
where
 $\text{lmaps_to_expr } a\ v = (\%(s,c). \text{ dom } s = \text{vars } a \wedge \text{paval } a\ s = v \wedge c = 0)$

fun *lmaps_to_expr_x* :: $vname \Rightarrow aexp \Rightarrow val \Rightarrow assn2$ **where**
 $\text{lmaps_to_expr_x } x\ a\ v = (\%(s,c). \text{ dom } s = \text{vars } a \cup \{x\} \wedge \text{paval } a\ s = v \wedge c = 0)$

lemma *subState*: $x \preceq y \implies v \in \text{dom } x \implies x\ v = y\ v$ **unfolding** *map_le_substate_conv[symmetric]*
map_le_def
by *blast*

lemma *fixes ps* :: *partstate*
and *s* :: *state*
assumes $\text{vars } a \subseteq \text{dom } ps$ $ps \preceq \text{part } s$
shows *emb_update*: $\text{emb } [x \mapsto \text{paval } a\ ps]\ s = (\text{emb } ps\ s)\ (x := \text{aval } a\ (\text{emb } ps\ s))$
using *assms*
unfolding *emb_def* **apply** *auto* **apply** (*rule ext*)
apply(*case_tac v=x*)
apply(*simp add: paval_aval*)
apply(*simp*) **unfolding** *part_def* **apply**(*case_tac v \in dom ps*)
using *subState* **apply** *fastforce*
by (*simp add: domIff*)

lemma *paval_aval2*: $\text{vars } a \subseteq \text{dom } ps \implies ps \preceq \text{part } s \implies \text{paval } a \text{ } ps = \text{aval } a \text{ } s$
apply(*induct a*) **using** *subState unfolding part_def apply auto*
by *fastforce*

lemma *fixes ps::partstate*
and *s::state*
assumes $\text{vars } a \subseteq \text{dom } ps \text{ } ps \preceq \text{part } s$
shows *emb_update2*: $\text{emb } (ps(x \mapsto \text{paval } a \text{ } ps)) \text{ } s = (\text{emb } ps \text{ } s)(x := \text{aval } a \text{ } (\text{emb } ps \text{ } s))$
using *assms*
unfolding *emb_def apply auto apply (rule ext)*
apply(*case_tac v=x*)
apply(*simp add: paval_aval*)
by (*simp*)

7.2.2 Big step Semantics on partial states

inductive

big_step_t_part :: $\text{com} \times \text{partstate} \Rightarrow \text{nat} \Rightarrow \text{partstate} \Rightarrow \text{bool}$ ($\langle _ \Rightarrow_A _ \Downarrow _ \rangle$ 55)

where

Skip: $(\text{SKIP}, s) \Rightarrow_A \text{Suc } 0 \Downarrow s \mid$

Assign: $\llbracket \text{vars } a \cup \{x\} \subseteq \text{dom } ps; \text{paval } a \text{ } ps = v; ps' = ps(x \mapsto v) \rrbracket \implies (x ::= a, ps) \Rightarrow_A \text{Suc } 0 \Downarrow ps' \mid$

Seq: $\llbracket (c1, s1) \Rightarrow_A x \Downarrow s2; (c2, s2) \Rightarrow_A y \Downarrow s3; z = x + y \rrbracket \implies (c1;;c2, s1) \Rightarrow_A z \Downarrow s3 \mid$

IfTrue: $\llbracket \text{vars } b \subseteq \text{dom } ps; \text{dom } ps' = \text{dom } ps; \text{pbval } b \text{ } ps; (c1, ps) \Rightarrow_A x \Downarrow ps'; y = x + 1 \rrbracket \implies (\text{IF } b \text{ THEN } c1 \text{ ELSE } c2, ps) \Rightarrow_A y \Downarrow ps' \mid$

IfFalse: $\llbracket \text{vars } b \subseteq \text{dom } ps; \text{dom } ps' = \text{dom } ps; \neg \text{pbval } b \text{ } ps; (c2, ps) \Rightarrow_A x \Downarrow ps'; y = x + 1 \rrbracket \implies (\text{IF } b \text{ THEN } c1 \text{ ELSE } c2, ps) \Rightarrow_A y \Downarrow ps' \mid$

WhileFalse: $\llbracket \text{vars } b \subseteq \text{dom } s; \neg \text{pbval } b \text{ } s \rrbracket \implies (\text{WHILE } b \text{ DO } c, s) \Rightarrow_A \text{Suc } 0 \Downarrow s \mid$

WhileTrue: $\llbracket \text{pbval } b \text{ } s1; \text{vars } b \subseteq \text{dom } s1; (c, s1) \Rightarrow_A x \Downarrow s2; (\text{WHILE } b \text{ DO } c, s2) \Rightarrow_A y \Downarrow s3; 1 + x + y = z \rrbracket \implies (\text{WHILE } b \text{ DO } c, s1) \Rightarrow_A z \Downarrow s3$

declare *big_step_t_part.intros* [*intro*]

```

inductive_cases Skip_tE3[elim!]: (SKIP,s)  $\Rightarrow_A x \Downarrow t$ 
thm Skip_tE3
inductive_cases Assign_tE3[elim!]: (x ::= a,s)  $\Rightarrow_A p \Downarrow t$ 
thm Assign_tE3
inductive_cases Seq_tE3[elim!]: (c1;;c2,s1)  $\Rightarrow_A p \Downarrow s3$ 
thm Seq_tE3
inductive_cases If_tE3[elim!]: (IF b THEN c1 ELSE c2,s)  $\Rightarrow_A x \Downarrow t$ 
thm If_tE3
inductive_cases While_tE3[elim!]: (WHILE b DO c,s)  $\Rightarrow_A x \Downarrow t$ 
thm While_tE3

lemmas big_step_t_part_induct = big_step_t_part.induct[split_format(complete)]

lemma big_step_t3_post_dom_conv: (c,ps)  $\Rightarrow_A t \Downarrow ps' \implies \text{dom } ps' =$ 
  dom ps
apply(induct rule: big_step_t_part_induct) apply (auto simp: sep_disj_fun_def
  plus_fun_def)
apply metis done

lemma add_update_distrib: ps1 x1 = Some y  $\implies ps1 \#\# ps2 \implies \text{vars}$ 
  x2  $\subseteq \text{dom } ps1 \implies ps1(x1 \mapsto \text{paval } x2 \text{ } ps1) + ps2 = (ps1 + ps2)(x1 \mapsto$ 
  paval x2 ps1)
apply (rule ext)
apply (auto simp: sep_disj_fun_def plus_fun_def)
by (metis disjoint_iff_not_equal domI domain_conv)

lemma paval_extend: ps1  $\#\# ps2 \implies \text{vars } a \subseteq \text{dom } ps1 \implies \text{paval } a$ 
  (ps1 + ps2) = paval a ps1
apply(induct a) apply (auto simp: sep_disj_fun_def domain_conv)
by (metis domI map_add_comm map_add_dom_app_simps(1) option.sel
  plus_fun_conv)

lemma pbval_extend: ps1  $\#\# ps2 \implies \text{vars } b \subseteq \text{dom } ps1 \implies \text{pbval } b$  (ps1
  + ps2) = pbval b ps1
apply(induct b) by (auto simp: paval_extend)

lemma Framer: (C, ps1)  $\Rightarrow_A m \Downarrow ps1' \implies ps1 \#\# ps2 \implies (C, ps1 +$ 
  ps2)  $\Rightarrow_A m \Downarrow ps1' + ps2$ 
proof (induct rule: big_step_t_part_induct)
case (Skip s)
then show ?case by (auto simp: big_step_t_part.Skip)

```

```

next
  case (Assign a x ps v ps')
  show ?case apply(rule big_step_t_part.Assign)
    using Assign
    apply (auto simp: plus_fun_def)
    apply(rule ext)
    apply(case_tac xa=x)
    subgoal apply auto subgoal using paval_extend[unfolded plus_fun_def]
by auto
    unfolding sep_disj_fun_def
    by (metis disjoint_iff_not_equal domI domain_conv)
  subgoal by auto
  done
next
  case (IfTrue b ps ps' c1 x y c2)
  then show ?case apply (auto) apply(subst big_step_t_part.IfTrue)
    apply (auto simp: pbval_extend)
    subgoal by (auto simp: plus_fun_def)
    subgoal by (auto simp: plus_fun_def)
    subgoal by (auto simp: plus_fun_def)
    done
next
  case (IfFalse b ps ps' c2 x y c1)
  then show ?case apply (auto) apply(subst big_step_t_part.IfFalse)
    apply (auto simp: pbval_extend)
    subgoal by (auto simp: plus_fun_def)
    subgoal by (auto simp: plus_fun_def)
    subgoal by (auto simp: plus_fun_def)
    done
next
  case (WhileFalse b s c)
  then show ?case apply(subst big_step_t_part.WhileFalse)
    subgoal by (auto simp: plus_fun_def)
    subgoal by (auto simp: pbval_extend)
    by auto
next
  case (WhileTrue b s1 c x s2 y s3 z)
  from big_step_t3_post_dom_conv[OF WhileTrue(3)] have dom s2 =
  dom s1 by auto
  with WhileTrue(8) have s2 ## ps2 unfolding sep_disj_fun_def do-
  main_conv by auto
  with WhileTrue show ?case apply auto apply(subst big_step_t_part.WhileTrue)
    subgoal by (auto simp: pbval_extend)
    subgoal by (auto simp: plus_fun_def)

```

```

      apply (auto) done
next
  case (Seq c1 s1 x s2 c2 y s3 z)
  from big_step_t3_post_dom_conv[OF Seq(1)] have dom s2 = dom s1
by auto
  with Seq(6) have s2 ## ps2 unfolding sep_disj_fun_def domain_conv
by auto
  with Seq show ?case apply (subst big_step_t_part.Seq)
    by auto
qed

```

```

lemma Framer2: (C, ps1)  $\Rightarrow_A m \Downarrow ps1'$   $\Longrightarrow ps1 ## ps2 \Longrightarrow ps = ps1$ 
+  $ps2 \Longrightarrow ps' = ps1' + ps2 \Longrightarrow (C, ps) \Rightarrow_A m \Downarrow ps'$ 
  using Framer by auto

```

7.2.3 Relation to BigStep Semantic on full states

```

lemma paval_aval_part: paval a (part s) = aval a s
  apply(induct a) by (auto simp: part_def)
lemma pbval_bval_part: pbval b (part s) = bval b s
  apply(induct b) by (auto simp: paval_aval_part)

lemma part_paval_aval: part (s(x := aval a s)) = (part s)(x  $\mapsto$  paval a
(part s))
  apply(rule ext)
  apply(case_tac xa=x)
  unfolding part_def apply auto by (metis (full_types) domIff map_le_def
map_le_substate_conv option.distinct(1) part_def paval_aval2 subsetI)

```

```

lemma full_to_part: (C, s)  $\Rightarrow m \Downarrow s' \Longrightarrow (C, part s) \Rightarrow_A m \Downarrow part s'$ 
apply(induct rule: big_step_t_induct)
  using Skip apply simp
    apply (subst Assign)
      using part_paval_aval apply(simp_all add: )
    apply(rule Seq) apply auto
    apply(rule IfTrue) apply (auto simp: pbval_bval_part)
    apply(rule IfFalse) apply (auto simp: pbval_bval_part)
    apply(rule WhileFalse) apply (auto simp: pbval_bval_part)
    apply(rule WhileTrue) apply (auto simp: pbval_bval_part)
done

```



```

lemma part_to_full':  $(C, ps) \Rightarrow_A m \Downarrow ps' \implies (C, \text{emb } ps \ s) \Rightarrow m \Downarrow \text{emb } ps' \ s$ 
proof (induct rule: big_step_t_part_induct)
  case (Assign a x ps v ps')
  have z: paval a ps = aval a (emb ps s)
    apply(rule paval_aval_vars) using Assign(1) by auto
  have g: emb ps' s = (emb ps s)(x:=aval a (emb ps s))
    apply(simp only: Assign z[symmetric])
    unfolding emb_def by auto
  show ?case apply(simp only: g) by(rule big_step_t.Assign)
qed (auto simp: paval_bval_vars[symmetric])

```

```

lemma part_to_full:  $(C, \text{part } s) \Rightarrow_A m \Downarrow \text{part } s' \implies (C, s) \Rightarrow m \Downarrow s'$ 
proof –
  assume  $(C, \text{part } s) \Rightarrow_A m \Downarrow \text{part } s'$ 
  then have  $(C, \text{emb } (\text{part } s) \ s) \Rightarrow m \Downarrow \text{emb } (\text{part } s') \ s$  by (rule part_to_full')
  then show  $(C, s) \Rightarrow m \Downarrow s'$  by auto
qed

```

```

lemma part_full_equiv:  $(C, s) \Rightarrow m \Downarrow s' \iff (C, \text{part } s) \Rightarrow_A m \Downarrow \text{part } s'$ 
using part_to_full full_to_part by metis

```

7.2.4 more properties

```

lemma big_step_t3_gt0:  $(C, ps) \Rightarrow_A x \Downarrow ps' \implies x > 0$ 
apply(induct rule: big_step_t_part_induct) apply auto done

```

```

lemma big_step_t3_same:  $(C, ps) \Rightarrow_A m \Downarrow ps' \implies ps = ps' \text{ on } \text{UNIV} - \text{lvars } C$ 
apply(induct rule: big_step_t_part_induct) by (auto simp: sep_disj_fun_def plus_fun_def)

```

```

lemma avalDirekt3_correct:  $(x ::= N \ v, ps) \Rightarrow_A m \Downarrow ps' \implies \text{paval}' \ a \ ps = \text{Some } v \implies (x ::= a, ps) \Rightarrow_A m \Downarrow ps'$ 
apply(auto) apply(subst Assign) by (auto simp: paval_paval' paval'_dom)

```

7.3 Partial State

```

lemma
  fixes h ::  $(\text{vname} \Rightarrow \text{val option}) * \text{nat}$ 
  shows  $(P ** Q ** H) \ h = (Q ** H ** P) \ h$ 
  by (simp add: sep_conj_ac)

```

lemma *separate_othogonal_commuted'*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \implies ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies n = 0$
shows $(P ** Q)\ s \longleftrightarrow P\ (0, snd\ s) \wedge Q\ (fst\ s, 0)$
using *assms* **unfolding** *sep_conj_def* **by** *force*

lemma *separate_othogonal_commuted*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \implies ps = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies n = 0$
shows $(P ** Q)\ (ps, n) \longleftrightarrow P\ (0, n) \wedge Q\ (ps, 0)$
using *assms* **unfolding** *sep_conj_def* **by** *force*

lemma *separate_othogonal*: **assumes**
 $\bigwedge ps\ n. P\ (ps, n) \implies n = 0$
 $\bigwedge ps\ n. Q\ (ps, n) \implies ps = 0$
shows $(P ** Q)\ (ps, n) \longleftrightarrow P\ (ps, 0) \wedge Q\ (0, n)$
using *assms* **unfolding** *sep_conj_def* **by** *force*

lemma **assumes** $((\lambda(s, n). P\ (s, n) \wedge vars\ b \subseteq dom\ s) \wedge * (\lambda(s, c). s = 0 \wedge c = Suc\ 0))\ (ps, n)$
shows $\exists\ n'. P\ (ps, n') \wedge vars\ b \subseteq dom\ ps \wedge n = Suc\ n'$
proof –
from *assms* **obtain** $x\ y$ **where** $x \#\# y$ **and** $(ps, n) = x + y$
and 2 : $(case\ x\ of\ (s, n) \Rightarrow P\ (s, n) \wedge vars\ b \subseteq dom\ s)$
and $(case\ y\ of\ (s, c) \Rightarrow s = 0 \wedge c = Suc\ 0)$
unfolding *sep_conj_def* **by** *blast*
then **have** $y = (0, Suc\ 0)$ **and** $f: fst\ x = ps$ **and** $n: n = snd\ x + Suc\ 0$
by *auto*

with 2 **have** $P\ (ps, snd\ x) \wedge vars\ b \subseteq dom\ ps \wedge n = Suc\ (snd\ x)$
by *auto*
then **show** *?thesis* **by** *simp*
qed

7.4 Dollar and Pointsto

definition *dollar* :: $nat \Rightarrow assn2\ (\langle \$ \rangle)$ **where**
 $dollar\ q = (\% (s, c). s = 0 \wedge c = q)$

lemma *sep_reorder_dollar_aux*:

```

    NO_MATCH ($X) A  $\implies$  ($B ** A) = (A ** $B)
    ($X ** $Y) = $(X+Y)
    apply (auto simp: sep_simplify)
    unfolding dollar_def sep_conj_def sep_disj_prod_def sep_disj_nat_def
  by auto

lemma sep_reorder_dollar = sep_conj_assoc sep_reorder_dollar_aux

lemma stardiff: assumes (P  $\wedge$ * $m) (ps, n)
  shows P: P (ps, n - m) and  $m \leq n$  using assms unfolding sep_conj_def
dollar_def by auto

lemma [simp]: (Q ** $0) = Q unfolding dollar_def sep_conj_def sep_disj_prod_def
sep_disj_nat_def
  by auto

definition embP :: (partstate  $\Rightarrow$  bool)  $\Rightarrow$  partstate  $\times$  nat  $\Rightarrow$  bool where
embP P = (%(s,n). P s  $\wedge$  n = 0)

lemma orthogonal_split: assumes (embP Q  $\wedge$ * $ n) = (embP P  $\wedge$ * $ m)

  shows (Q = P  $\wedge$  n = m)  $\vee$  Q = ( $\lambda$ s. False)  $\wedge$  P = ( $\lambda$ s. False)
  using assms unfolding embP_def dollar_def apply (auto intro!: ext)
    unfolding sep_conj_def apply auto unfolding sep_disj_prod_def
    plus_prod_def
    apply (metis fst_conv snd_conv)+ done

lemma F: assumes (embP Q  $\wedge$ * $ n) = (embP P  $\wedge$ * $ m)
  obtains (blub) Q = P and n = m |
    (da) Q = ( $\lambda$ s. False) and P = ( $\lambda$ s. False)
  using assms orthogonal_split by auto

lemma T: assumes (embP Q  $\wedge$ * $ n) = (embP P  $\wedge$ * $ m)
  obtains (blub) x::nat where Q = P and n = m and x=x |
    (da) Q = ( $\lambda$ s. False) and P = ( $\lambda$ s. False)
  using assms orthogonal_split by auto

definition pointsto :: vname  $\Rightarrow$  val  $\Rightarrow$  assn2 ( $\langle \_ \rhd \_ \rangle$  [56,51] 56) where
v  $\rhd$  n = (%(s,c). s = [v  $\mapsto$  n]  $\wedge$  c=0)

```

notation pred_ex (**binder** $\langle \exists \rangle$ 10)

definition $\text{maps_to_ex} :: \text{vname} \Rightarrow \text{assn2}$ ($\langle _ \hookrightarrow _ \rangle$ [56] 56)
where $x \hookrightarrow _ \equiv \exists y. x \hookrightarrow y$

fun $\text{lmaps_to_ex} :: \text{vname set} \Rightarrow \text{assn2}$ **where**
 $\text{lmaps_to_ex } xs = (\% (s, c). \text{dom } s = xs \wedge c = 0)$

lemma $(x \hookrightarrow _) (s, n) \implies x \in \text{dom } s$
unfolding maps_to_ex_def pointsto_def **by** *auto*

fun $\text{lmaps_to_axpr} :: \text{bexp} \Rightarrow \text{bool} \Rightarrow \text{assnp}$ **where**
 $\text{lmaps_to_axpr } b \text{ bv} = (\% ps. \text{vars } b \subseteq \text{dom } ps \wedge \text{pbval } b \text{ ps} = \text{bv})$

definition $\text{lmaps_to_axpr}' :: \text{bexp} \Rightarrow \text{bool} \Rightarrow \text{assnp}$ **where**
 $\text{lmaps_to_axpr}' b \text{ bv} = \text{lmaps_to_axpr } b \text{ bv}$

7.5 Frame Inference

definition Frame **where** $\text{Frame } P \ Q \ F \equiv \forall s. (P \text{ imp } (Q ** F)) \ s$

definition Frame' **where** $\text{Frame}' \ P \ P' \ Q \ F \equiv \forall s. ((P' ** P) \text{ imp } (Q ** F)) \ s$

definition cnv **where** $\text{cnv } x \ y == x = y$

lemma $\text{cnv_I}: \text{cnv } x \ x$
unfolding cnv_def **by** *simp*

lemma $\text{Frame}'_conv: \text{Frame } P \ Q \ F = \text{Frame}' (P ** \Box) \Box (Q ** \Box) \ F$
unfolding Frame_def Frame'_def **apply** *auto* **done**

lemma $\text{Frame}'I: \text{Frame}' (P ** \Box) \Box (Q ** \Box) \ F \implies \text{cnv } F \ F' \implies \text{Frame } P \ Q \ F'$
unfolding Frame_def Frame'_def cnv_def **apply** *auto* **done**

lemma $\text{FrameD}: \text{assumes } \text{Frame } P \ Q \ F \ P \ s$
shows $(F ** Q) \ s$
using *assms* **unfolding** Frame_def **by** (*auto simp: sep_conj_commute*)

lemma $\text{Frame}'_match: \text{Frame}' (P ** P') \Box Q \ F \implies \text{Frame}' (x \hookrightarrow v ** P) \ P' (x \hookrightarrow v ** Q) \ F$

unfolding *Frame_def Frame'_def* **apply** (*auto simp: sep_conj_ac*)
by (*metis (no_types, opaque_lifting) prod.collapse sep.mult_assoc sep_conj_impl1*)

lemma *R*: **assumes** $\bigwedge s. (A \text{ imp } B) \ s$ **shows** $((A ** \$n) \text{ imp } (B ** \$n)) \ s$

proof (*safe*)
assume $(A \wedge * \$n) \ s$
then obtain *h1 h2* **where** *A: A h1* **and** *n: \$n h2* **and** *disj: h1 ## h2*
s = h1+h2 **unfolding** *sep_conj_def* **by** *blast*
from *assms A* **have** *B: B h1* **by** *auto*
show $(B ** \$n) \ s$ **using** *B n disj* **unfolding** *sep_conj_def* **by** *blast*
qed

lemma *Frame'_matchdollar*: **assumes** *Frame' (P ** P' ** \$(n-m))* $\square$ *Q*
F **and** *nm: n ≥ m*

shows *Frame' (\$n ** P) P' (\$m ** Q) F*
using *assms(1)* **unfolding** *Frame_def Frame'_def* **apply** (*auto simp: sep_conj_ac*)

proof (*goal_cases*)
case $(1 \ a \ b)$
have *g: ((P ∧* P' ∧* \$n) imp (F ∧* Q ∧* \$m)) (a, b)*
 $\longleftrightarrow (((P \wedge * P' \wedge * \$n) ** \$m) \text{ imp } ((F \wedge * Q) \wedge * \$m)) (a, b)$
by(*simp add: nm sep_reorder_dollar*)
have $((P \wedge * P' \wedge * \$n) \text{ imp } (F \wedge * Q \wedge * \$m)) (a, b)$
apply(*subst g*)
apply(*rule R*) **using** *1(1)* **by** *auto*
then have $(P \wedge * P' \wedge * \$n) (a, b) \longrightarrow (F \wedge * Q \wedge * \$m) (a, b)$
by *blast*
then show *?case* **using** *1(2)* **by** *auto*
qed

lemma *Frame'_nomatch*: *Frame' P (p ** P') (x ↦ v ** Q) F* $\implies$ *Frame' (p ** P) P' (x ↦ v ** Q) F*
unfolding *Frame'_def* **by** (*auto simp: sep_conj_ac*)

lemma *Frame'_nomatchempty*: *Frame' P P' (x ↦ v ** Q) F* $\implies$ *Frame' (□ ** P) P' (x ↦ v ** Q) F*
unfolding *Frame'_def* **by** (*auto simp: sep_conj_ac*)

lemma *Frame'_end*: *Frame' P* $\square \square$ *P*
unfolding *Frame'_def* **by** (*auto simp: sep_conj_ac*)

```

schematic_goal Frame ( $x \hookrightarrow v1 \wedge* y \hookrightarrow v2$ ) ( $x \hookrightarrow ?v$ ) ?F
  apply(rule Frame'I) apply(simp only: sep_conj_assoc)
  apply(rule Frame'_match)
  apply(rule Frame'_end) apply(simp only: sep_conj_ac sep_conj_empty'
sep_conj_empty) apply(rule cnv_I) done

```

```

schematic_goal Frame ( $x \hookrightarrow v1 \wedge* y \hookrightarrow v2$ ) ( $y \hookrightarrow ?v$ ) ?F
  apply(rule Frame'I) apply(simp only: sep_conj_assoc)
  apply(rule Frame'_end Frame'_match Frame'_nomatchempty Frame'_nomatch;
(simp only: sep_conj_assoc)?)+
  apply(simp only: sep_conj_ac sep_conj_empty' sep_conj_empty) ap-
ply(rule cnv_I)
done

```

```

method frame_inference_init = (rule Frame'I, (simp only: sep_conj_assoc)?)

```

```

method frame_inference_solve = (rule Frame'_matchdollar Frame'_end
Frame'_match Frame'_nomatchempty Frame'_nomatch; (simp only: sep_conj_assoc)?)+

```

```

method frame_inference_cleanup = ( (simp only: sep_conj_ac sep_conj_empty'
sep_conj_empty)?; rule cnv_I)

```

```

method frame_inference = (frame_inference_init, (frame_inference_solve;
fail), (frame_inference_cleanup; fail))
method frame_inference_debug = (frame_inference_init, frame_inference_solve)

```

7.5.1 tests

```

schematic_goal Frame ( $x \hookrightarrow v1 \wedge* y \hookrightarrow v2$ ) ( $y \hookrightarrow ?v$ ) ?F
  by frame_inference

```

```

schematic_goal Frame ( $x \hookrightarrow v1 ** P ** \Box ** y \hookrightarrow v2 \wedge* z \hookrightarrow v2 ** Q$ )
( $z \hookrightarrow ?v ** y \hookrightarrow ?v2$ ) ?F
  by frame_inference

```

```

schematic_goal  $1 \leq v \implies$  Frame ( $\$ (2 * v) \wedge* "x" \hookrightarrow \text{int } v$ ) ( $\$ 1 \wedge*
"x" \hookrightarrow ?d$ ) ?F
  apply(rule Frame'I) apply(simp only: sep_conj_assoc)
  apply(rule Frame'_matchdollar Frame'_end Frame'_match Frame'_nomatchempty
Frame'_nomatch; (simp only: sep_conj_assoc)?)+
  apply (simp only: sep_conj_ac sep_conj_empty' sep_conj_empty)?

```

apply (*rule* *cnv_I*) **done**

schematic_goal $0 < v \implies \text{Frame } (\$ (2 * v) \wedge * "x" \hookrightarrow \text{int } v) (\$ 1 \wedge * "x" \hookrightarrow ?d) ?F$
apply *frame_inference* **done**

7.6 Expression evaluation

definition *symeval* **where** $\text{symeval } P \ e \ v \equiv (\forall s \ n. P \ (s, n) \longrightarrow \text{paval}' \ e \ s = \text{Some } v)$

definition *symevalb* **where** $\text{symevalb } P \ e \ v \equiv (\forall s \ n. P \ (s, n) \longrightarrow \text{pbval}' \ e \ s = \text{Some } v)$

lemma *symeval_c*: $\text{symeval } P \ (N \ v) \ v$
unfolding *symeval_def* **apply** *auto* **done**

lemma *symeval_v*: **assumes** $\text{Frame } P \ (x \hookrightarrow v) \ F$
shows $\text{symeval } P \ (V \ x) \ v$
unfolding *symeval_def* **apply** *auto*
apply (*drule* $\text{FrameD}[OF \ \text{assms}]$) **unfolding** *sep_conj_def* *pointsto_def*
apply (*auto simp: plus_fun_conv*) **done**

lemma *symeval_plus*: **assumes** $\text{symeval } P \ e1 \ v1 \ \text{symeval } P \ e2 \ v2$
shows $\text{symeval } P \ (\text{Plus } e1 \ e2) \ (v1 + v2)$
using *assms* **unfolding** *symeval_def* **by** *auto*

lemma *symevalb_c*: $\text{symevalb } P \ (Bc \ v) \ v$
unfolding *symevalb_def* **apply** *auto* **done**

lemma *symevalb_and*: **assumes** $\text{symevalb } P \ e1 \ v1 \ \text{symevalb } P \ e2 \ v2$
shows $\text{symevalb } P \ (\text{And } e1 \ e2) \ (v1 \wedge v2)$
using *assms* **unfolding** *symevalb_def* **by** *auto*

lemma *symevalb_not*: **assumes** $\text{symevalb } P \ e \ v$
shows $\text{symevalb } P \ (\text{Not } e) \ (\neg v)$
using *assms* **unfolding** *symevalb_def* **by** *auto*

lemma *symevalb_less*: **assumes** $\text{symeval } P \ e1 \ v1 \ \text{symeval } P \ e2 \ v2$
shows $\text{symevalb } P \ (\text{Less } e1 \ e2) \ (v1 < v2)$
using *assms* **unfolding** *symevalb_def* *symeval_def* **by** *auto*

lemmas *symeval* = *symeval_c symeval_v symeval_plus symevalb_c symevalb_and symevalb_not symevalb_less*

schematic_goal *symevalb* ((*x* $\hookrightarrow$ *v1*) ** (*y* $\hookrightarrow$ *v2*)) (*Less* (*Plus* (*V x*) (*V y*)) (*N 5*)) ?*g*
apply(*rule symeval* | *frame_inference*)+ **done**

end

8 Hoare Logic based on Separation Logic and Time Credits

theory *SepLog_Hoare*

imports *Big_StepT_Partial SepLogAdd/Sep_Algebra_Add*
begin

8.1 Definition of Validity

definition *hoare3_valid* :: *assn2* $\Rightarrow$ *com* $\Rightarrow$ *assn2* $\Rightarrow$ *bool*

($\models_3 \{ (1_) \} / (_) / \{ (1_) \} \triangleright 50$) **where**
 $\models_3 \{ P \} c \{ Q \} \longleftrightarrow$
 $(\forall ps\ n. P\ (ps, n)$
 $\longrightarrow (\exists ps' m. ((c, ps) \Rightarrow_A m \Downarrow ps')$
 $\wedge n \geq m \wedge Q\ (ps', n - m)) \)$

lemma *alternative*: $\models_3 \{ P \} c \{ Q \} \longleftrightarrow$

$(\forall ps\ n. P\ (ps, n)$
 $\longrightarrow (\exists ps' t\ n'. ((c, ps) \Rightarrow_A t \Downarrow ps')$
 $\wedge n = n' + t \wedge Q\ (ps', n')) \)$

proof *rule*

assume $\models_3 \{ P \} c \{ Q \}$

then have *P*: $(\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps' m. ((c, ps) \Rightarrow_A m \Downarrow ps') \wedge n \geq m \wedge Q\ (ps', n - m)) \)$ **unfolding** *hoare3_valid_def*.

show $\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps' m\ e. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge Q\ (ps', e))$

proof (*safe*)

fix *ps n*

assume *P* (*ps, n*)

with *P* **obtain** *ps' m* **where** *Z*: $((c, ps) \Rightarrow_A m \Downarrow ps') \wedge n \geq m \wedge Q\ (ps', n - m)$ **by** *blast*

show $\exists ps' m\ e. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge Q\ (ps', e)$

apply(*rule exI*[**where** *x=ps'*])


```

    apply(rule exI[where x=m])
    apply(rule exI[where x=n-m]) using Z by auto
  qed
next
  assume  $\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps'\ m\ e. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge Q\ (ps', e))$ 
  then show  $\vdash_3 \{ P \}\ c\ \{ Q \}$  unfolding hoare3_valid_def
    by fastforce
  qed

```

8.2 Hoare Rules

inductive

$hoareT3 :: assn2 \Rightarrow com \Rightarrow assn2 \Rightarrow bool$ ($\vdash_3 (\{(1_)\}/ (_)/ \{ (1_)\})$, 50)

where

Skip: $\vdash_3 \{ \$1 \}\ SKIP\ \{ \$0 \}$ |

Assign: $\vdash_3 \{ lmaps_to_expr_x\ x\ a\ v\ **\ \$1 \}\ x ::= a\ \{ (\%(s,c). dom\ s = vars\ a - \{x\} \wedge c = 0) **\ x \hookrightarrow v \}$ |

If: $\llbracket \vdash_3 \{ \lambda(s,n). P\ (s,n) \wedge lmaps_to_axpr\ b\ True\ s \}\ c_1\ \{ Q \};$
 $\vdash_3 \{ \lambda(s,n). P\ (s,n) \wedge lmaps_to_axpr\ b\ False\ s \}\ c_2\ \{ Q \} \rrbracket$
 $\implies \vdash_3 \{ (\lambda(s,n). P\ (s,n) \wedge vars\ b \subseteq dom\ s) **\ \$1 \}\ IF\ b\ THEN\ c_1\ ELSE\ c_2\ \{ Q \}$ |

Frame: $\llbracket \vdash_3 \{ P \}\ C\ \{ Q \} \rrbracket$
 $\implies \vdash_3 \{ P ** F \}\ C\ \{ Q ** F \}$ |

Seq: $\llbracket \vdash_3 \{ P \}\ C_1\ \{ Q \}; \vdash_3 \{ Q \}\ C_2\ \{ R \} \rrbracket$
 $\implies \vdash_3 \{ P \}\ C_1 ;; C_2\ \{ R \}$ |

While: $\llbracket \vdash_3 \{ (\lambda(s,n). P\ (s,n) \wedge lmaps_to_axpr\ b\ True\ s) \}\ C\ \{ P ** \$1 \} \rrbracket$
 $\implies \vdash_3 \{ (\lambda(s,n). P\ (s,n) \wedge vars\ b \subseteq dom\ s) **\ \$1 \}\ WHILE\ b\ DO\ C\ \{ \lambda(s,n). P\ (s,n) \wedge lmaps_to_axpr\ b\ False\ s \}$ |

conseq: $\llbracket \vdash_3 \{ P \}\ c\ \{ Q \}; \wedge s. P'\ s \implies P\ s; \wedge s. Q\ s \implies Q'\ s \rrbracket \implies$
 $\vdash_3 \{ P' \}\ c\ \{ Q' \}$ |

normalize: $\llbracket \vdash_3 \{ P ** \$m \}\ C\ \{ Q ** \$n \}; n \leq m \rrbracket$
 $\implies \vdash_3 \{ P ** \$ (m-n) \}\ C\ \{ Q \}$ |

constancy: $\llbracket \vdash_3 \{ P \} C \{ Q \}; \wedge ps \ ps'. \ ps = ps' \text{ on } UNIV - lvars \ C \implies R \ ps = R \ ps' \rrbracket$
 $\implies \vdash_3 \{ \% (ps, n). \ P \ (ps, n) \wedge R \ ps \} C \{ \% (ps, n). \ Q \ (ps, n) \wedge R \ ps \} \mid$

Assign''': $\vdash_3 \{ \$I \ ** \ (x \hookrightarrow ds) \} x ::= (N \ v) \{ (x \hookrightarrow v) \} \mid$

Assign'''': $\llbracket \text{symeval } P \ a \ v; \vdash_3 \{ P \} x ::= (N \ v) \{ Q' \} \rrbracket \implies \vdash_3 \{ P \} x ::= a \{ Q' \} \mid$

Assign4: $\vdash_3 \{ (\lambda(ps, t). \ x \in dom \ ps \wedge vars \ a \subseteq dom \ ps \wedge Q \ (ps(x \mapsto (paval \ a \ ps)), t)) \ ** \ \$I \} x ::= a \{ Q \} \mid$

False: $\vdash_3 \{ \lambda(ps, n). \ False \} c \{ Q \} \mid$

pureI: $(P \implies \vdash_3 \{ Q \} c \{ R \}) \implies \vdash_3 \{ \uparrow P \ ** \ Q \} c \{ R \}$

Derived Rules

lemma *Frame_R*: **assumes** $\vdash_3 \{ P \} C \{ Q \}$ *Frame* $P' \ P \ F$
shows $\vdash_3 \{ P' \} C \{ Q \ ** \ F \}$
apply(*rule conseq*) **apply**(*rule Frame*) **apply**(*rule assms(1)*)
using *assms(2)* **unfolding** *Frame_def* **by** *auto*

lemma *strengthen_post*: **assumes** $\vdash_3 \{ P \} c \{ Q \} \wedge s. \ Q \ s \implies Q' \ s$
shows $\vdash_3 \{ P \} c \{ Q' \}$
apply(*rule conseq*)
apply (*rule assms(1)*)
apply *simp* **apply** *fact* **done**

lemma *weakenpre*: $\llbracket \vdash_3 \{ P \} c \{ Q \} ; \wedge s. \ P' \ s \implies P \ s \rrbracket \implies$
 $\vdash_3 \{ P' \} c \{ Q \}$
using *conseq* **by** *auto*

8.3 Soundness Proof

lemma *exec_preserves_disj*: $(c, ps) \Rightarrow_A t \Downarrow ps' \implies ps'' \ ## \ ps \implies ps'' \ ## \ ps'$
apply(*drule big_step_t3_post_dom_conv*)
unfolding *sep_disj_fun_def* *domain_conv* **by** *auto*

lemma *FrameRuleSound*: **assumes** $\models_3 \{ P \} C \{ Q \}$
shows $\models_3 \{ P \ ** \ F \} C \{ Q \ ** \ F \}$

```

proof –
{
  fix ps n
  assume (P  $\wedge$ * F) (ps, n)
  then obtain pP nP pF nF where orth: (pP, nP) ## (pF, nF) and
add: (ps, n) = (pP, nP) + (pF, nF)
    and P: P (pP, nP) and F: F (pF, nF) unfolding sep_conj_def
by auto
  from assms[unfolded hoare3_valid_def] P
  obtain pP' m where ex: (C, pP)  $\Rightarrow_A$  m  $\Downarrow$  pP' and m: m  $\leq$  nP and
Q: Q (pP', nP - m) by blast

  have exF: (C, ps)  $\Rightarrow_A$  m  $\Downarrow$  pP' + pF
  using Framer2 ex orth add by auto
  have QF: (Q  $\wedge$ * F) (pP' + pF, n - m)
  unfolding sep_conj_def
  apply(rule exI[where x=(pP',nP-m)])
  apply(rule exI[where x=(pF,nF)])
  using orth exec_preserves_disj[OF ex] add m F Q by (auto simp
add: sep_add_ac)
  have (C, ps)  $\Rightarrow_A$  m  $\Downarrow$  pP'+pF  $\wedge$  m  $\leq$  n  $\wedge$  (Q  $\wedge$ * F) (pP'+pF, n -
m)
  using QF exF add m by auto
  hence  $\exists$  ps' m. (C, ps)  $\Rightarrow_A$  m  $\Downarrow$  ps'  $\wedge$  m  $\leq$  n  $\wedge$  (Q  $\wedge$ * F) (ps', n - m)
by auto
}
thus ?thesis unfolding hoare3_valid_def by auto
qed

theorem hoare3_sound: assumes  $\vdash_3 \{ P \} c \{ Q \}$ 
shows  $\models_3 \{ P \} c \{ Q \}$  using assms
proof(induction rule: hoareT3.induct)
case (False c Q)
then show ?case by (auto simp: hoare3_valid_def)
next
case Skip
then show ?case by (auto simp: hoare3_valid_def dollar_def)
next
case (Assign4 x a Q)
then show ?case
  apply (auto simp: dollar_def sep_conj_def hoare3_valid_def )
  subgoal for ps b y
  apply(rule exI[where x=ps(x  $\mapsto$  paval a ps)])
  apply(rule exI[where x=Suc 0]) by auto

```

```

done
next
  case (Assign x a v)
  then show ?case unfolding hoare3_valid_def apply auto apply (auto
simp: dollar_def ) apply (subst (asm) separate_othogonal)
    apply simp_all apply(intro exI conjI)
    apply(rule big_step_t_part.Assign)
    apply (auto simp: pointsto_def) unfolding sep_conj_def
    subgoal for ps apply(rule exI[where x=((%y. if y=x then None else
ps y) , 0)])
      apply(rule exI[where x=((%y. if y = x then Some (paval a ps) else
None),0)])
      apply (auto simp: sep_disj_prod_def sep_disj_fun_def plus_fun_def)
      apply (smt domIff domain_conv)
      apply (metis domI insertE option.simps(3))
      using domIff by fastforce
    done
next
  case (If P b c1 Q c2)
  from If(3)[unfolded hoare3_valid_def]
  have T:  $\bigwedge ps\ n. P\ (ps, n) \implies vars\ b \subseteq dom\ ps \implies pbval\ b\ ps$ 
 $\implies (\exists ps'\ m. (c_1, ps) \Rightarrow_A m \Downarrow ps' \wedge m \leq n \wedge Q\ (ps', n-m))$  by auto
  from If(4)[unfolded hoare3_valid_def]
  have F:  $\bigwedge ps\ n. P\ (ps, n) \implies vars\ b \subseteq dom\ ps \implies \neg pbval\ b\ ps$ 
 $\implies (\exists ps'\ m. (c_2, ps) \Rightarrow_A m \Downarrow ps' \wedge m \leq n \wedge Q\ (ps', n-m))$  by auto
  show ?case unfolding hoare3_valid_def apply auto apply (auto simp:
dollar_def)
  proof (goal_cases)
    case (1 ps n)
    then obtain n' where P:  $P\ (ps, n')$  and dom:  $vars\ b \subseteq dom\ ps$  and
Suc:  $n = Suc\ n'$  unfolding sep_conj_def
    by force
    show ?case
    proof(cases pbval b ps)
      case True
      with T[OF P dom] obtain ps' m where d:  $(c_1, ps) \Rightarrow_A m \Downarrow ps'$ 
        and m1:  $m \leq n'$  and Q:  $Q\ (ps', n'-m)$  by blast
      from big_step_t3_post_dom_conv[OF d] have klong:  $dom\ ps' = dom$ 
ps .
      show ?thesis
      apply(rule exI[where x=ps]) apply(rule exI[where x=m+1])
      apply safe
      apply(rule big_step_t_part.IfTrue)
      apply (rule dom)

```

```

      apply fact
      apply (rule True)
      apply (rule d)
      apply simp
      using m1 Suc apply simp
      using Q Suc by force
next
case False
with F[OF P dom] obtain ps' m where d: (c2, ps)  $\Rightarrow_A$  m  $\Downarrow$  ps'
  and m1: m  $\leq$  n' and Q: Q (ps', n'-m) by blast
from big_step_t3_post_dom_conv[OF d] have dom ps' = dom ps .
show ?thesis
  apply(rule exI[where x=ps]) apply(rule exI[where x=m+1])
  apply safe
  apply(rule big_step_t_part.IfFalse)
  apply fact
  apply fact
  apply (rule False)
  apply (rule d)
  apply simp
  using m1 Suc apply simp
  using Q Suc by force
qed
qed
next
case (Frame P C Q F)
then show ?case using FrameRuleSound by auto
next
case (Seq P C1 Q C2 R)
show ?case unfolding hoare3_valid_def
proof (safe, goal_cases)
  case (1 ps n)
  with Seq(3)[unfolded hoare3_valid_def] obtain ps' m where C1: (C1,
ps)  $\Rightarrow_A$  m  $\Downarrow$  ps'
    and m: m  $\leq$  n and Q: Q (ps', n - m) by blast
  with Seq(4)[unfolded hoare3_valid_def] obtain ps'' m' where C2: (C2,
ps')  $\Rightarrow_A$  m'  $\Downarrow$  ps''
    and m': m'  $\leq$  n - m and R: R (ps'', n - m - m') by blast
  have a: (C1;; C2, ps)  $\Rightarrow_A$  m + m'  $\Downarrow$  ps'' apply(rule big_step_t_part.Seq)
  apply fact+ by simp
  have b: m + m'  $\leq$  n using m' m by auto
  have c: R (ps'', n - (m + m')) using R by simp
  show ?case apply(rule exI[where x=ps']) apply(rule exI[where
x=m+m'])

```

```

    using a b c by auto
  qed
next
  case (While P b C)
  show ?case unfolding hoare3_valid_def apply auto apply (auto simp:
dollar_def)
  proof (goal_cases)
    case (1 ps n)
    from 1 show ?case
    proof(induct n arbitrary: ps rule: less_induct)
      case (less x ps3)

      show ?case
      proof(cases pbval b ps3)
        case True
        — prepare premise to obtain ...
        from less(2) obtain x' where P: P (ps3, x') and dom: vars b
 $\subseteq$  dom ps3 and Suc: x = Suc x' unfolding sep_conj_def dollar_def by
auto
        from P dom True have
          g: (( $\lambda$ (s, n). P (s, n)  $\wedge$  lmaps_to_axpr b True s)) (ps3, x')
          unfolding dollar_def by auto
        — ... the loop body from the outer IH
        from While(2)[unfolded hoare3_valid_def] g obtain ps3' x'' where
C: (C, ps3)  $\Rightarrow_A$  x''  $\Downarrow$  ps3' and x: x''  $\leq$  x' and P': (P  $\wedge$  * $ 1) (ps3', x' -
x'') by blast
        then obtain x''' where P'': P (ps3', x''') and Suc'': x' - x'' = Suc
x''' unfolding sep_conj_def dollar_def by auto

        from C big_step_t3_post_dom_conv have dom ps3 = dom ps3'
by simp
        with dom have dom': vars b  $\subseteq$  dom ps3' by auto

        — prepare premises to ...
        from C big_step_t3_gt0 have gt0: x'' > 0 by auto
        have  $\exists ps' m. (WHILE\ b\ DO\ C, ps3') \Rightarrow_A m \Downarrow ps' \wedge m \leq (x - (1 + x'')) \wedge P(ps', (x - (1 + x'')) - m) \wedge vars\ b \subseteq dom\ ps' \wedge \neg pbval\ b\ ps'$ 
        apply(rule less(1))
        using gt0 x Suc apply simp
        using dom' Suc P' unfolding dollar_def sep_conj_def
        by force
        — ... obtain the tail of the While loop from the inner IH
        then obtain ps3'' m where w: ((WHILE b DO C, ps3')  $\Rightarrow_A m \Downarrow$ 
ps3'')

```

```

    and  $m'': m \leq (x - (1 + x''))$  and  $P'': P (ps3'', (x - (1 + x'')) - m)$ 
    and  $dom'': vars\ b \subseteq dom\ ps3''$  and  $b'': \neg pbval\ b\ ps3''$  by
    auto

    — combine body and tail to one loop unrolling:
    — - the Bigstep Semantic
    have BigStep:  $(WHILE\ b\ DO\ C, ps3) \Rightarrow_A 1 + x'' + m \Downarrow ps3''$ 
    apply (rule big_step_t_part.WhileTrue)
    apply (fact True) apply (fact dom) apply (fact C) apply (fact
    w) by simp
    — - the TimeBound
    have TimeBound:  $1 + x'' + m \leq x$ 
    using  $m''\ Suc''\ Suc$  by simp
    — - the invariantPreservation
    have invariantPreservation:  $P (ps3'', x - (1 + x'' + m))$  using  $P''$ 
     $m''$  by auto

    — finally combine BigStep Semantic, TimeBound, invariantPreservation
    show ?thesis
    apply (rule exI[where  $x=ps3''$ ])
    apply (rule exI[where  $x=1 + x'' + m$ ])
    using BigStep TimeBound invariantPreservation dom'' b'' by
    blast

    next
    case False
    from less(2) obtain  $x'$  where  $P: P (ps3, x')$  and  $dom: vars\ b \subseteq$ 
     $dom\ ps3$  and  $Suc: x = Suc\ x'$  unfolding sep_conj_def
    by force
    show ?thesis
    apply (rule exI[where  $x=ps3$ ])
    apply (rule exI[where  $x=Suc\ 0$ ]) apply safe
    apply (rule big_step_t_part.WhileFalse)
    subgoal using dom by simp
    apply fact
    using Suc apply simp
    using P Suc apply simp
    using dom apply auto
    using False apply auto done
  qed
qed
qed

```

```

next
  case (conseq P c Q P' Q')
  then show ?case unfolding hoare3_valid_def by metis
next
  case (normalize P m C Q n)
  then show ?case unfolding hoare3_valid_def
  apply(safe) proof (goal_cases)
    case (1 ps N)
    have Q2: P (ps, N - (m - n)) apply(rule stardiff) by fact
    have mn: m - n ≤ N apply(rule stardiff(2)) by fact
    have P: (P ∧* $ m) (ps, N - (m - n) + m) unfolding sep_conj_def
    dollar_def
    apply(rule exI[where x=(ps, N - (m - n))]) apply(rule exI[where
    x=(0, m)])
    apply(auto simp: sep_disj_prod_def sep_disj_nat_def) by fact
    have N - (m - n) + m = N + n using normalize(2)
    using mn by auto

    from P 1(3) obtain ps' m' where (C, ps) ⇒A m' ↓ ps' and m': m' ≤
    N - (m - n) + m and Q: (Q ∧* $ n) (ps', N - (m - n) + m - m') by
    blast
    have Q2: Q (ps', (N - (m - n) + m - m') - n) apply(rule stardiff)
    by fact
    have nm2: n ≤ (N - (m - n) + m - m') apply(rule stardiff(2)) by
    fact
    show ?case
    apply(rule exI[where x=ps']) apply(rule exI[where x=m'])
    apply(safe)
    apply fact
    using Q2
    using ⟨N - (m - n) + m = N + n⟩ m' nm2 apply linarith
    using Q2 ⟨N - (m - n) + m = N + n⟩ by auto
  qed
next
  case (constancy P C Q R)
  from constancy(3) show ?case unfolding hoare3_valid_def
  apply safe proof (goal_cases)
    case (1 ps n)
    then obtain ps' m where C: (C, ps) ⇒A m ↓ ps' and m: m ≤ n and
    Q: Q (ps', n - m) by blast
    from C big_step_t3_same have ps = ps' on UNIV - lvars C by auto
    with constancy(2) 1(3) have R ps' by auto

    show ?case apply(rule exI[where x=ps']) apply(rule exI[where x=m])

```



```

      apply(safe)
      apply fact+ done
    qed
  next
    case (Assign''' x ds v)
    then show ?case
      unfolding hoare3_valid_def apply auto
      subgoal for ps n apply(rule exI[where x=ps(x→v)])
      apply(rule exI[where x=Suc 0])
      apply safe
      apply(rule big_step_t_part.Assign)
      apply (auto)
      subgoal apply(subst (asm) separate_othogonal_commuted') by(auto
simp: dollar_def pointsto_def)
      subgoal apply(subst (asm) separate_othogonal_commuted') by(auto
simp: dollar_def pointsto_def)
      subgoal apply(subst (asm) separate_othogonal_commuted') by(auto
simp: dollar_def pointsto_def)
      done
    done

  next
    case (Assign'''' P a v x Q')
    show ?case

    unfolding hoare3_valid_def apply auto
    proof (goal_cases)
      case (1 ps n)
      with Assign''''(3)[unfolded hoare3_valid_def] obtain ps' m
      where (x ::= N v, ps) ⇒A m ⇓ ps' m ≤ n Q' (ps', n - m) by metis
      from 1(1) Assign''''(1)[unfolded symeval_def] have paval' a ps =
Some v by auto
      show ?case apply(rule exI[where x=ps']) apply(rule exI[where
x=m])
      apply safe
      apply(rule avalDirekt3_correct)
      apply fact+ done
    qed
  next
    case (pureI P Q c R)
    then show ?case unfolding hoare3_valid_def by auto
  qed

```

8.4 Completeness

definition $wp_3 :: com \Rightarrow assn2 \Rightarrow assn2 \ (\langle wp_3 \rangle)$ **where**
 $wp_3 \ c \ Q = (\lambda(s,n). \exists t \ m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q \ (t,n-m))$

lemma $wp_3_SKIP[simp]$: $wp_3 \ SKIP \ Q = (Q ** \$1)$
apply (*auto intro!*: *ext simp*: wp_3_def)
unfolding sep_conj_def $dollar_def$ $sep_disj_prod_def$ $sep_disj_nat_def$
apply *auto* **apply** *force*
subgoal for $t \ n$ **apply**(*rule* exI [**where** $x=t$]) **apply**(*rule* exI [**where**
 $x=Suc \ 0$])
using $big_step_t_part.Skip$ **by** *auto*
done

lemma $wp_3_Assign[simp]$: $wp_3 \ (x ::= e) \ Q = ((\lambda(ps,t). \ vars \ e \cup \{x\} \subseteq$
 $dom \ ps \wedge Q \ (ps(x \mapsto paval \ e \ ps),t)) ** \$1)$
apply (*auto intro!*: *ext simp*: wp_3_def)
unfolding sep_conj_def **apply** (*auto simp*: $sep_disj_prod_def$ $sep_disj_nat_def$
 $dollar_def$) **apply** *force*
by *fastforce*

lemma $wpt_Seq[simp]$: $wp_3 \ (c_1;;c_2) \ Q = wp_3 \ c_1 \ (wp_3 \ c_2 \ Q)$
apply (*auto simp*: wp_3_def fun_eq_iff)
subgoal for $a \ b \ t \ m1 \ s2 \ m2$
apply(*rule* exI [**where** $x=s2$])
apply(*rule* exI [**where** $x=m1$])
apply *simp*
apply(*rule* exI [**where** $x=t$])
apply(*rule* exI [**where** $x=m2$])
apply *simp* **done**
subgoal for $s \ m \ t' \ m1 \ t \ m2$
apply(*rule* exI [**where** $x=t$])
apply(*rule* exI [**where** $x=m1+m2$])
apply (*auto simp*: $big_step_t_part.Seq$) **done**
done

lemma $wp_3_If[simp]$:
 $wp_3 \ (IF \ b \ THEN \ c_1 \ ELSE \ c_2) \ Q = ((\lambda(ps,t). \ vars \ b \subseteq dom \ ps \wedge wp_3 \ (if$
 $pbval \ b \ ps \ then \ c_1 \ else \ c_2) \ Q \ (ps,t)) ** \$1)$
apply (*auto simp*: wp_3_def fun_eq_iff)
unfolding sep_conj_def **apply** (*auto simp*: $sep_disj_prod_def$ $sep_disj_nat_def$
 $dollar_def$)
subgoal for $a \ ba \ t \ x$ **apply**(*rule* exI [**where** $x=ba - 1$]) **apply** *auto*

```

    apply(rule exI[where x=t]) apply(rule exI[where x=x]) apply auto
done
  subgoal for a ba t x apply(rule exI[where x=ba - 1]) apply auto
    apply(rule exI[where x=t]) apply(rule exI[where x=x]) apply auto
done
  subgoal for a ba t m
    apply(rule exI[where x=t]) apply(rule exI[where x=Suc m]) apply
auto
    apply(cases pval b a)
  subgoal apply simp apply(subst big_step_t_part.IfTrue) using big_step_t3_post_dom_conv
by auto
  subgoal apply simp apply(subst big_step_t_part.IfFalse) using big_step_t3_post_dom_conv
by auto
done
done

lemma sFTrue: assumes pval b ps vars b  $\subseteq$  dom ps
  shows wp3 (WHILE b DO c) Q (ps, n) = (( $\lambda$ (ps, n). vars b  $\subseteq$  dom ps  $\wedge$ 
(if pval b ps then wp3 c (wp3 (WHILE b DO c) Q) (ps, n) else Q (ps, n)))
 $\wedge$  * $ 1) (ps, n)
  (is ?wp = (?I  $\wedge$  * $ 1) _)
proof
  assume wp3 (WHILE b DO c) Q (ps, n)
  from this[unfolded wp3_def] obtain ps'' tt where tn: tt  $\leq$  n and w1:
(WHILE b DO c, ps)  $\Rightarrow_A$  tt  $\Downarrow$  ps'' and Q: Q (ps'', n - tt) by blast
  with assms obtain t t' ps' where w2: (WHILE b DO c, ps')  $\Rightarrow_A$  t'  $\Downarrow$ 
ps'' and c: (c, ps)  $\Rightarrow_A$  t  $\Downarrow$  ps' and tt: tt=1+t+t' by auto

  from tn obtain k where n: n=tt+k
  using le_Suc_ex by blast

  from assms show (?I  $\wedge$  * $ 1) (ps,n)
  unfolding sep_conj_def dollar_def wp3_def apply auto
  apply(rule exI[where x=t+t'+k])
  apply safe subgoal using n tt by auto
  apply(rule exI[where x=ps'])
  apply(rule exI[where x=t])
  using c apply auto
  apply(rule exI[where x=ps'])
  apply(rule exI[where x=t'])
  using w2 Q n by auto
next
  assume (?I  $\wedge$  * $ 1) (ps,n)
  with assms have Q: wp3 c (wp3 (WHILE b DO c) Q) (ps, n-1) and n:

```

$n \geq 1$ **unfolding** *dollar_def sep_conj_def* **by** *auto*
then obtain $t \ ps' \ t' \ ps''$ **where** $t: t \leq n - 1$
and $c: (c, ps) \Rightarrow_A t \Downarrow ps'$ **and** $t': t' \leq (n-1) - t$ **and** $w: (WHILE$
 $b \ DO \ c, \ ps') \Rightarrow_A t' \Downarrow ps''$
and $Q: Q \ (ps'', ((n-1) - t) - t')$
unfolding *wp3_def* **by** *auto*

show *?wp unfolding wp3_def*
apply *simp* **apply**(*rule exI[where x=ps'']*) **apply**(*rule exI[where*
 $x=1+t+t']$)
apply *safe*
subgoal using $t \ t' \ n$ **by** *simp*
subgoal using $c \ w \ assms$ **by** *auto*
subgoal using $Q \ t \ t' \ n$ **by** *simp*
done
qed

lemma *sFFalse: assumes* $\sim \ pbval \ b \ ps \ vars \ b \subseteq dom \ ps$
shows $wp_3 \ (WHILE \ b \ DO \ c) \ Q \ (ps, n) = ((\lambda(ps, n). \ vars \ b \subseteq dom \ ps \wedge$
 $(if \ pbval \ b \ ps \ then \ wp_3 \ c \ (wp_3 \ (WHILE \ b \ DO \ c) \ Q) \ (ps, n) \ else \ Q \ (ps, n)))$
 $\wedge * \$ 1) \ (ps, n)$
 $(is \ ?wp = (?I \wedge * \$ 1) \ _)$

proof

assume $wp_3 \ (WHILE \ b \ DO \ c) \ Q \ (ps, n)$
from *this[unfolded wp3_def]* **obtain** $ps' \ t$ **where** $tn: t \leq n$ **and** $w1:$
 $(WHILE \ b \ DO \ c, ps) \Rightarrow_A t \Downarrow ps'$ **and** $Q: Q \ (ps', n - t)$ **by** *blast*
from *assms* **have** $w2: (WHILE \ b \ DO \ c, ps) \Rightarrow_A 1 \Downarrow ps$ **by** *auto*
from $w1 \ w2 \ big_step_t_determ2$ **have** $t1: t=1$ **and** $pps: ps=ps'$ **by** *auto*
from *assms* **show** $(?I \wedge * \$ 1) \ (ps, n)$
unfolding *sep_conj_def dollar_def* **using** $t1 \ tn \ Q \ pps$ **apply** *auto*
apply(*rule exI[where x=n-1]*) **by** *auto*
next

assume $(?I \wedge * \$ 1) \ (ps, n)$
with *assms* **have** $Q: Q(ps, n-1)$ $n \geq 1$ **unfolding** *dollar_def sep_conj_def*
by *auto*
from *assms* **have** $w2: (WHILE \ b \ DO \ c, ps) \Rightarrow_A 1 \Downarrow ps$ **by** *auto*
show *?wp unfolding wp3_def*
apply *auto* **apply**(*rule exI[where x=ps]*) **apply**(*rule exI[where x=1]*)
using $Q \ w2$ **by** *auto*
qed

lemma *sF'*: $wp_3 \ (WHILE \ b \ DO \ c) \ Q \ (ps, n) = ((\lambda(ps, n). \ vars \ b \subseteq dom \ ps$
 $\wedge \ (if \ pbval \ b \ ps \ then \ wp_3 \ c \ (wp_3 \ (WHILE \ b \ DO \ c) \ Q) \ (ps, n) \ else \ Q \ (ps,$

```

n)))  $\wedge$  * $ 1) (ps,n)
  apply(cases vars b  $\subseteq$  dom ps)
  subgoal apply(cases pbval b ps) using sFTrue sFFalse by auto
  subgoal by (auto simp add: dollar_def wp3_def sep_conj_def)
  done

lemma sF: wp3 (WHILE b DO c) Q s = (( $\lambda$ (ps, n). vars b  $\subseteq$  dom ps  $\wedge$  (if
pbval b ps then wp3 c (wp3 (WHILE b DO c) Q) (ps, n) else Q (ps, n)))
 $\wedge$  * $ 1) s
  using sF'
  by (metis (mono_tags, lifting) prod.case_eq_if prod.collapse sep_conj_impl1)

lemma assumes  $\wedge$  Q.  $\vdash_3$  {wp3 c Q} c {Q}
  shows WhileWpisPre:  $\vdash_3$  {wp3 (WHILE b DO c) Q} WHILE b DO c {
Q}
proof -
  define I where I  $\equiv$  ( $\lambda$ (ps, n). vars b  $\subseteq$  dom ps  $\wedge$  (if pbval b ps then
wp3 c (wp3 (WHILE b DO c) Q) (ps, n) else Q (ps, n)))

  from assms[where Q=(wp3 (WHILE b DO c) Q)] have
    c:  $\vdash_3$  {wp3 c (wp3 (WHILE b DO c) Q)} c {(wp3 (WHILE b DO c) Q)}
  .
  have c':  $\vdash_3$  { ( $\lambda$ (s,n). I (s,n)  $\wedge$  lmaps_to_axpr b True s) } c { I ** $1
}
  apply(rule conseq)
  apply(rule c)
  subgoal apply auto unfolding I_def by auto
  subgoal unfolding I_def using sF by auto
  done

  from hoareT3.While[where P=I] c' have
    w:  $\vdash_3$  { ( $\lambda$ (s,n). I (s,n)  $\wedge$  vars b  $\subseteq$  dom s) ** $1 } WHILE b DO c {
 $\lambda$ (s,n). I (s,n)  $\wedge$  lmaps_to_axpr b False s } .

  show  $\vdash_3$  {wp3 (WHILE b DO c) Q} WHILE b DO c { Q}
  apply(rule conseq)
  apply(rule w)
  subgoal using sF I_def
  by (smt Pair_inject R case_prodE case_prodI2)
  subgoal unfolding I_def by auto
  done
qed

```

```

lemma wp3_is_pre:  $\vdash_3 \{wp_3 \ c \ Q\} \ c \ \{ \ Q\}$ 
proof (induction c arbitrary: Q)
  case SKIP
  then show ?case apply auto
    using Frame[where  $F=Q$  and  $Q=\$0$  and  $P=\$1$ , OF Skip]
    by (auto simp: sep.add_ac)
next
  case (Assign x1 x2)
  then show ?case using Assign4 by simp
next
  case (Seq c1 c2)
  then show ?case apply auto
    apply(subst hoareT3.Seq[rotated]) by auto
next
  case (If x1 c1 c2)
  then show ?case apply auto
    apply(rule weakenpre[OF hoareT3.If, where  $P1=\%(ps,n).$   $wp_3$  (if pbval
x1 ps then c1 else c2)  $Q$  ( $ps,n$ )]])
    apply auto
    subgoal apply(rule conseq[where  $P=wp_3 \ c1 \ Q$  and  $Q=Q$ ]) by auto
    subgoal apply(rule conseq[where  $P=wp_3 \ c2 \ Q$  and  $Q=Q$ ]) by auto
  proof –
    fix a b
    assume  $((\lambda(ps, t). \text{vars } x1 \subseteq \text{dom } ps \wedge wp_3 \ (\text{if pbval } x1 \text{ ps then } c1 \text{ else } c2) \ Q \ (ps, t)) \wedge \$ \ (Suc \ 0)) \ (a, b)$ 
    then show  $((\lambda(ps, t). \ wp_3 \ (\text{if pbval } x1 \text{ ps then } c1 \text{ else } c2) \ Q \ (ps, t) \wedge \text{vars } x1 \subseteq \text{dom } ps) \wedge \$ \ (Suc \ 0)) \ (a, b)$ 
    unfolding sep_conj_def apply auto apply(case_tac pbval x1 aa)
  apply auto done
  qed
next
  case (While b c)
  with WhileWpisPre show ?case .
qed

```

```

theorem hoare3_complete:  $\models_3 \{P\}c\{Q\} \implies \vdash_3 \{P\}c\{Q\}$ 
apply(rule conseq[OF wp3_is_pre, where  $Q'=Q$  and  $Q=Q$ , simplified])
  apply(auto simp: hoare3_valid_def wp3_def)
  by fast

```

```

theorem hoare3_sound_complete:  $\models_3 \{P\}c\{Q\} \longleftrightarrow \vdash_3 \{P\}c\{Q\}$ 

```

using *hoare3_complete hoare3_sound by metis*

8.4.1 What about garbage collection?

definition *F* **where** $F\ C\ Q = (\% (ps, n). \exists ps1'\ ps2'\ m\ e1\ e2. (C, ps) \Rightarrow_A m \Downarrow ps1' + ps2' \wedge ps1' \#\# ps2' \wedge n = e1 + e2 + m \wedge Q\ (ps1', e1))$

lemma $wp_3\ C\ (Q ** (\% _. True)) = F\ C\ Q$

apply *rule*

unfolding *wp3_def sep_conj_def*

unfolding *F_def* **apply** *auto*

subgoal **for** *a b m aaa ba ab bb* **apply** (*rule exI[where x=aaa]*)

apply (*rule exI[where x=ab]*) **apply** (*rule exI[where x=m]*)

apply *auto* **apply** (*rule exI[where x=ba]*) **apply** *auto* **apply** (*rule exI[where x=bb]*)

apply *auto*

done

subgoal **for** *a ps1' ps2' m e1 e2*

apply (*rule exI[where x=ps1'+ps2']*)

apply (*rule exI[where x=m]*) **by** *auto*

done

definition *hoareT3_validGC* :: $assn2 \Rightarrow com \Rightarrow assn2 \Rightarrow bool$

$(\vdash_G \{ (1_) \} / (_) / \{ (1_) \} \triangleright 50)$ **where**

$\vdash_G \{ P \} c \{ Q \} \longleftrightarrow \vdash_3 \{ P \} c \{ Q ** (\% _. True) \}$

end

8.5 Examples

theory *SepLog_Examples*

imports *SepLog_Hoare*

begin

8.5.1 nice example

lemmas *strongAssign* = *Assign'''[OF__strengthen_post, OF__Frame_R, OF__Assign''']*

lemma *myrule*: **assumes** *case s of* $(s, n) \Rightarrow (\$ (2 * x) \wedge * "x" \hookrightarrow int\ x)$

$(s, n) \wedge lmaps_to_axpr' (Less\ (N\ 0)\ (V\ "x'))\ True\ s$

and *symevalb* $(\$ (2 * x) ** "x" \hookrightarrow int\ x)\ (Less\ (N\ 0)\ (V\ "x'))\ v$

shows $(\uparrow(v= True) ** \$ (2 * x) ** "x" \hookrightarrow int\ x)\ s$

using *assms* **unfolding** *symevalb_def lmaps_to_axpr'_def* **by** *auto*

```
fun sum :: int  $\Rightarrow$  int where
sum i = (if i  $\leq$  0 then 0 else sum (i - 1) + i)
```

```
abbreviation wsum ==
  WHILE Less (N 0) (V "x")
  DO (
    "x" ::= Plus (V "x") (N (- 1)))
```

```
lemma E4_R:  $\vdash_3$  {  $\uparrow(v > 0) ** \$ (2*v) ** \text{pointsto } "x" (int\ v)$  }
  "x" ::= Plus (V "x") (N (- 1))
  {  $\uparrow(v > 0) ** \$ (2*v-1) ** \text{pointsto } "x" (int\ v-1)$  }
  apply(rule pureI)
apply(rule strongAssign)
apply(rule symeval | frame_inference) +
  by (simp add: sep_reorder_dollar)
```

```
lemma prod_0:
  shows ( $\lambda(s::char\ list \Rightarrow int\ option, c::nat). s = Map.empty \wedge c = 0$ ) h
 $\implies h = 0$  by (auto simp: zero_prod_def zero_fun_def)
```

```
lemma example2:  $\vdash_3$  { (pointsto "x" n) ** (pointsto "y" n) ** $1 } "x"
  ::= Plus (V "x") (N (- 1)) { (pointsto "x" (n-1)) ** (pointsto "y" n) }
  apply(rule conseq)
  apply(rule Frame[where F=(pointsto "y" n) and P=lmaps_to_expr_x
    "x" ( Plus (V "x") (N (- 1))) (n-1) ** $1])
  apply (rule Assign)
apply (simp add: sep_conj_assoc) apply (rule sep_conj_impl)
apply auto[1]
subgoal for s h unfolding pointsto_def apply auto
  by (meson option.distinct(1))
apply (simp add: sep_conj_commute)
apply simp apply (rule sep_conj_impl)
apply auto[1]
apply auto
unfolding sep_conj_def
using prod_0 by fastforce
```


end

9 Hoare Logic based on Separation Logic and Time Credits (big-O style)

theory *SepLogK_Hoare*
imports *Big_StepT Partial_Evaluation Big_StepT_Partial*
begin

9.1 Definition of Validity

definition *hoare3o_valid* :: *assn2* $\Rightarrow$ *com* $\Rightarrow$ *assn2* $\Rightarrow$ *bool*
 $(\langle \models_{3'} \{ (1_)\} / (_) / \{ (1_)\} \rangle 50)$ **where**
 $\models_{3'} \{ P \} c \{ Q \} \longleftrightarrow$
 $(\exists k > 0. (\forall ps\ n. P(ps, n)$
 $\longrightarrow (\exists ps' ps'' m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\# ps'' \wedge k * n = k * e + e' + m$
 $\wedge Q(ps', e))))$

9.2 Hoare Rules

inductive

hoare3a :: *assn2* $\Rightarrow$ *com* $\Rightarrow$ *assn2* $\Rightarrow$ *bool* $(\langle \vdash_{3a} (\{ (1_)\} / (_) / \{ (1_)\} \rangle 50)$
where

Skip: $\vdash_{3a} \{ \$1 \} SKIP \{ \$0 \} \mid$

Assign4: $\vdash_{3a} \{ (\lambda(ps, t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a\ ps))), t) \} ** \$1 \{ x ::= a \{ Q \} \} \mid$

If: $\llbracket \vdash_{3a} \{ \lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b\ \text{True } s \} c_1 \{ Q \};$
 $\vdash_{3a} \{ \lambda(s, n). P(s, n) \wedge \text{lmaps_to_expr } b\ \text{False } s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{3a} \{ (\lambda(s, n). P(s, n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} IF\ b\ THEN\ c_1\ ELSE\ c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_{3a} \{ P \} C \{ Q \} \rrbracket$
 $\implies \vdash_{3a} \{ P ** F \} C \{ Q ** F \} \mid$

Seq: $\llbracket \vdash_{3a} \{ P \} C_1 \{ Q \}; \vdash_{3a} \{ Q \} C_2 \{ R \} \rrbracket$
 $\implies \vdash_{3a} \{ P \} C_1 ;; C_2 \{ R \} \mid$

While: $\llbracket \vdash_{3a} \{ (\lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \rrbracket$
 $\implies \vdash_{3a} \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE } b \text{ DO } C \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \mid$

conseqS: $\llbracket \vdash_{3a} \{P\}c\{Q\} ; \wedge s \ n. P'(s,n) \implies P(s,n) ; \wedge s \ n. Q(s,n) \implies Q'(s,n) \rrbracket \implies$
 $\vdash_{3a} \{P'\}c\{Q'\}$

inductive

hoare3b :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} \ (\langle \vdash_{3b} (\{(1_)\} / (_) / \{ (1_)\}) \rangle$
 $50)$

where

import: $\vdash_{3a} \{P\} c \{ Q \} \implies \vdash_{3b} \{P\} c \{ Q \} \mid$

conseq: $\llbracket \vdash_{3b} \{P\}c\{Q\} ; \wedge s \ n. P'(s,n) \implies P(s,k*n) ; \wedge s \ n. Q(s,n) \implies Q'(s,n \text{ div } k); k > 0 \rrbracket \implies$
 $\vdash_{3b} \{P'\}c\{Q'\}$

inductive

hoare3' :: $\text{assn2} \Rightarrow \text{com} \Rightarrow \text{assn2} \Rightarrow \text{bool} \ (\langle \vdash_{3'} (\{(1_)\} / (_) / \{ (1_)\}) \rangle$
 $50)$

where

Skip: $\vdash_{3'} \{ \$1 \} \text{ SKIP } \{ \$0 \} \mid$

Assign: $\vdash_{3'} \{ \text{lmaps_to_expr } x \ a \ v ** \$1 \} x ::= a \{ (\% (s,c). \text{dom } s = \text{vars } a - \{x\} \wedge c = 0) ** x \hookrightarrow v \} \mid$

Assign': $\vdash_{3'} \{ \text{pointsto } x \ v' ** (\text{pointsto } x \ v \longrightarrow * Q) ** \$1 \} x ::= N \ v \{ Q \} \mid$

Assign2: $\vdash_{3'} \{ \exists v . ((\exists v'. \text{pointsto } x \ v') ** (\text{pointsto } x \ v \longrightarrow * Q) ** \$1) \text{ and sep_true } ** (\% (ps,n). \text{vars } a \subseteq \text{dom } ps \wedge \text{paval } a \ ps = v)) \} x ::= a \{ Q \} \mid$

If: $\llbracket \vdash_{3'} \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s \} c_1 \{ Q \};$
 $\vdash_{3'} \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} c_2 \{ Q \} \rrbracket$
 $\implies \vdash_{3'} \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{ Q \} \mid$

Frame: $\llbracket \vdash_{3'} \{ P \} C \{ Q \} \rrbracket$
 $\implies \vdash_{3'} \{ P ** F \} C \{ Q ** F \} \mid$

Seq: $\llbracket \vdash_{3'} \{ P \} C_1 \{ Q \}; \vdash_{3'} \{ Q \} C_2 \{ R \} \rrbracket$
 $\implies \vdash_{3'} \{ P \} C_1 ;; C_2 \{ R \} \mid$

While: $\llbracket \vdash_{3'} \{ (\lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ True } s) \} C \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \rrbracket$
 $\implies \vdash_{3'} \{ (\lambda(s,n). P(s,n) \wedge \text{vars } b \subseteq \text{dom } s) ** \$1 \} \text{ WHILE } b \text{ DO } C \{ \lambda(s,n). P(s,n) \wedge \text{lmaps_to_expr } b \text{ False } s \} \mid$

conseq: $\llbracket \vdash_{3'} \{ P \} c \{ Q \}; \wedge s \ n. P'(s,n) \implies P(s, k*n); \wedge s \ n. Q(s,n) \implies Q'(s, n \text{ div } k); k > 0 \rrbracket \implies$
 $\vdash_{3'} \{ P' \} c \{ Q' \} \mid$

normalize: $\llbracket \vdash_{3'} \{ P ** \$m \} C \{ Q ** \$n \}; n \leq m \rrbracket$
 $\implies \vdash_{3'} \{ P ** \$(m-n) \} C \{ Q \} \mid$

Assign''': $\vdash_{3'} \{ \$1 ** (x \hookrightarrow ds) \} x ::= (N \ v) \{ (x \hookrightarrow v) \} \mid$

Assign'''': $\llbracket \text{symeval } P \ a \ v; \vdash_{3'} \{ P \} x ::= (N \ v) \{ Q' \} \rrbracket \implies \vdash_{3'} \{ P \} x ::= a \{ Q' \} \mid$

Assign4: $\vdash_{3'} \{ (\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a \ ps)), t)) ** \$1 \} x ::= a \{ Q \} \mid$

False: $\vdash_{3'} \{ \lambda(ps,n). \text{False} \} c \{ Q \} \mid$

pureI: $(P \implies \vdash_{3'} \{ Q \} c \{ R \}) \implies \vdash_{3'} \{ \uparrow P ** Q \} c \{ R \}$

definition $A_4 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$

where $A_4 \ x \ a \ Q = ((\lambda(ps,t). x \in \text{dom } ps \wedge \text{vars } a \subseteq \text{dom } ps \wedge Q(ps(x \mapsto (\text{paval } a \ ps)), t)) ** \$1)$

definition $A_2 :: \text{vname} \Rightarrow \text{aexp} \Rightarrow \text{assn2} \Rightarrow \text{assn2}$

where $A_2 \ x \ a \ Q = (\exists v. ((\exists v'. \text{pointsto } x \ v') ** (\text{pointsto } x \ v \longrightarrow^* Q)) ** \$1)$

```

    and sep_true ** (%(ps,n). vars a ⊆ dom ps ∧ paval a ps = v
  ) ))

```

```

lemma A4 x a Q (ps,n) ⇒ A2 x a Q (ps,n)
unfolding A4_def A2_def sep_conj_def dollar_def sep_impl_def pointsto_def
apply auto
  apply (rule exI[where x=paval a ps])
  apply safe
  subgoal for n v
    apply (rule exI[where x=[x ↦ v]::partstate])
    apply (rule exI[where x=0])
    apply auto apply (rule exI[where x=ps(x:=None)])
    apply auto
    unfolding sep_disj_fun_def domain_conv apply auto
    unfolding plus_fun_conv apply auto
    by (simp add: map_add_upd_left map_upd_triv)
  subgoal for n v
    apply (rule exI[where x=0])
    apply (rule exI[where x=n])
    apply (rule exI[where x=ps])
    by auto
  done

```

```

lemma A2 x a Q (ps,n) ⇒ A4 x a Q (ps,n)
unfolding A4_def A2_def sep_conj_def dollar_def sep_impl_def pointsto_def
apply (auto simp: sep_disj_commute)
subgoal for aa ba ab ac bc xa bd apply (rule exI[where x=bd])
  by (auto simp: sep_add_ac domain_conv sep_disj_fun_def)
subgoal for aa ba ab ac bc xa bd apply (rule exI[where x=bd])
  apply (auto simp: sep_add_ac)
  subgoal apply (auto simp: domain_conv sep_disj_fun_def)
    by (metis fun_upd_same none_def plus_fun_def)
  subgoal
    by (metis domD map_add_dom_app_simps(1) plus_fun_conv subsetCE)
  subgoal
    proof –
      assume a: ab + [x ↦ xa] = aa + ac
      assume b: ps = aa + ac and o: aa ## ac
      then have b': ps = ac + aa by (simp add: sep_add_ac)
      assume vars: vars a ⊆ dom ac
      have pa: paval a ps = paval a ac unfolding b'
      apply (rule paval_extend) using o vars by (simp_all add: sep_add_ac)
    qed

```

```

have  $f: \wedge f. (ab + [x \mapsto xa])(x \mapsto f) = ab + [x \mapsto f]$ 
  by (simp add: plus_fun_conv)

assume  $Q (ab + [x \mapsto paval\ a\ ac], bd)$ 
thus  $Q ((aa + ac)(x \mapsto paval\ a\ (aa + ac)), bd)$ 
  unfolding  $b[symmetric]\ pa$ 
  unfolding  $b\ a[symmetric]\ pa\ f$  by auto
qed
done
done

```

```

lemma  $E\_extendsR: \vdash_{3a} \{ P \} c \{ F \} \implies \vdash_{3'} \{ P \} c \{ F \}$ 
apply (induct rule: hoare3a.induct)
apply (intro hoare3'.Skip)
apply (intro hoare3'.Assign4)
subgoal using hoare3'.If by auto
subgoal using hoare3'.Frame by auto
subgoal using hoare3'.Seq by auto
subgoal using hoare3'.While by auto
subgoal using hoare3'.conseq[where k=1] by simp
done

```

```

lemma  $E\_extendsS: \vdash_{3b} \{ P \} c \{ F \} \implies \vdash_{3'} \{ P \} c \{ F \}$ 
apply (induct rule: hoare3b.induct)
apply (erule E_extendsR)
using hoare3'.conseq by blast

```

```

lemma  $Skip': P = (F ** \$1) \implies \vdash_{3'} \{ P \} SKIP \{ F \}$ 
apply (rule conseq[where k=1])
apply (rule Frame[where F=F])
apply (rule Skip)
by (auto simp: sep_conj_ac)

```

9.2.1 experiments with explicit and implicit GarbageCollection

```

lemma (  $(\forall ps\ n. P\ (ps, n)$ 
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$ 
 $\wedge ps' \#\# ps'' \wedge n = e + e' + m$ 
 $\wedge Q\ (ps', e))))$ 
 $\longleftrightarrow (\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps'\ m\ e. ((c, ps) \Rightarrow_A m \Downarrow ps') \wedge n = e$ 

```

$+ m \wedge (Q ** (\lambda_ . True)) (ps', e))$

proof (*safe*)

fix $ps\ n$

assume $\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps' ps'' m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge n = e + e' + m \wedge Q\ (ps', e))$

$P\ (ps, n)$

then obtain $ps' ps'' m\ e\ e'$ **where** $C: (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge n = e + e' + m \wedge Q\ (ps', e)$ **by** *blast*

show $\exists ps' m\ e. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge (Q ** (\lambda_ . True)) (ps', e)$ **unfolding** *sep_conj_def*

apply(*rule exI*[**where** $x=ps' + ps''$])

apply(*rule exI*[**where** $x=m$])

apply(*rule exI*[**where** $x=e+e'$]) **using** C **by** *auto*

next

fix $ps\ n$

assume $\forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps' m\ e. ((c, ps) \Rightarrow_A m \Downarrow ps') \wedge n = e + m \wedge (Q ** (\lambda_ . True)) (ps', e))$

$P\ (ps, n)$

then obtain $ps' m\ e$ **where** $C: ((c, ps) \Rightarrow_A m \Downarrow ps') \wedge n = e + m$ **and** $Q: (Q ** (\lambda_ . True)) (ps', e)$ **by** *blast*

from Q **obtain** $ps1\ ps2\ e1\ e2$ **where** $Q': Q\ (ps1, e1)\ ps'=ps1+ps2\ ps1\#\#\ ps2\ e=e1+e2$ **unfolding** *sep_conj_def* **by** *auto*

show $\exists ps' ps'' m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge n = e + e' + m \wedge Q\ (ps', e)$

apply(*rule exI*[**where** $x=ps1$])

apply(*rule exI*[**where** $x=ps2$])

apply(*rule exI*[**where** $x=m$])

apply(*rule exI*[**where** $x=e1$])

apply(*rule exI*[**where** $x=e2$]) **using** $C\ Q'$ **by** *auto*

qed

9.3 Soundness

theorem *hoareT_sound2_part*: **assumes** $\vdash_{3'} \{ P \} c \{ Q \}$

shows $\models_{3'} \{ P \} c \{ Q \}$ **using** *assms*

proof(*induction rule: hoare3'.induct*)

case (*conseq* $P\ c\ Q\ P'\ k1\ Q'$)

then obtain k **where** $p: \forall ps\ n. P\ (ps, n) \longrightarrow (\exists ps' ps'' m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'') \wedge ps' \#\#\ ps'' \wedge k * n = k * e + e' + m \wedge Q\ (ps', e))$

and *gt0*: $k > 0$

unfolding *hoare3o_valid_def* **by** *blast*

```

show ?case unfolding hoare3o_valid_def
  apply(rule exI[where x=k*k1])
  apply safe
  using gt0 conseq(4) apply simp
proof -
  fix ps n
  assume P' (ps,n)
  with conseq(2) have P (ps, k1*n) by simp
  with p obtain ps' ps'' m e e' where pB: (c, ps)  $\Rightarrow_A$  m  $\Downarrow$  ps' + ps''
and orth: ps' ## ps''
  and m: k * (k1 * n) = k*e + e' + m and Q: Q (ps', e) by blast

  from Q conseq(3) have Q': Q' (ps', e div k1) by auto

  have k * k1 * n = k*e + e' + m using m by auto
  also have ... = k*(k1 * (e div k1) + e mod k1) + e' + m using
mod_mult_div_eq by simp
  also have ... = k*k1*(e div k1) + (k*(e mod k1) + e') + m
  by (metis add.assoc distrib_left mult.assoc)
  finally have k * k1 * n = k * k1 * (e div k1) + (k * (e mod k1) + e')
+ m .

  show  $\exists ps' ps'' m e e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' ## ps'' \wedge k * k1 * n = k * k1 * e + e' + m \wedge Q' (ps', e)$ 
  apply(rule exI[where x=ps'])
  apply(rule exI[where x=ps''])
  apply(rule exI[where x=m])
  apply(rule exI[where x=e div k1])
  apply(rule exI[where x=k * (e mod k1) + e'])
  apply safe apply fact apply fact apply fact apply fact done
qed
next
case (Frame P c Q F)
from Frame(2)[unfolded hoare3o_valid_def] obtain k
  where hyp:  $\forall ps n. P (ps, n) \longrightarrow (\exists ps' ps'' m e e'. ((c,ps) \Rightarrow_A m \Downarrow ps' + ps'') \wedge ps' ## ps'' \wedge k * n = k * e + e' + m \wedge Q (ps', e))$ 
  and k: k>0
  unfolding hoare3o_valid_def by blast

  show ?case unfolding hoare3o_valid_def apply(rule exI[where x=k])
using k apply simp
proof(safe)
  fix ps n

```

```

    assume (P  $\wedge$ * F) (ps, n)
    then obtain ps1 ps2 where orth: ps1  $\#\#$  ps2 and add: (ps, n) = ps1
    + ps2
        and P: P ps1 and F: F ps2 unfolding sep_conj_def by
    blast
    from hyp P have ( $\exists$  ps' ps'' m e e'. ((c, fst ps1)  $\Rightarrow_A$  m  $\Downarrow$  ps' + ps'')  $\wedge$ 
    ps'  $\#\#$  ps''  $\wedge$  k * snd ps1 = k * e + e' + m  $\wedge$  Q (ps', e))
    by simp
    then obtain ps' ps'' m e e' where a: (c, fst ps1)  $\Rightarrow_A$  m  $\Downarrow$  ps' + ps''
    and orth2[simp]: ps'  $\#\#$  ps''
        and m: k * snd ps1 = k * e + e' + m and Q: Q (ps', e) by
    blast

    from big_step_t3_post_dom_conv[OF a] have dom: dom (ps' + ps'')
    = dom (fst ps1) by auto

    from add have g: ps = fst ps1 + fst ps2 and h: n = snd ps1 + snd
    ps2 by (auto simp add: plus_prod_def)

    from orth have [simp]: fst ps2  $\#\#$  ps' fst ps2  $\#\#$  ps''
    apply (metis dom map_convs(1) orth2 sep_disj_addD1 sep_disj_commuteI
    sep_disj_fun_def sep_disj_prod_def)
    by (metis dom map_convs(1) orth orth2 sep_add_commute sep_disj_addD1
    sep_disj_commuteI sep_disj_fun_def sep_disj_prod_def)

    then have e: ps'  $\#\#$  fst ps2 unfolding sep_disj_fun_def using dom
    unfolding domain_conv by blast

    have  $\exists$ : (Q  $\wedge$ * F) (ps' + fst ps2, e + snd ps2) unfolding sep_conj_def
    apply (rule exI[where x=(ps', e)])
    apply (rule exI[where x=ps2])
    apply safe
    subgoal using orth unfolding sep_disj_prod_def apply (auto
    simp: sep_disj_nat_def)
    apply (rule e) done
    subgoal unfolding plus_prod_def apply auto done
    apply fact apply fact done

    show  $\exists$  ps' ps'' m. (c, ps)  $\Rightarrow_A$  m  $\Downarrow$  ps' + ps''  $\wedge$  ps'  $\#\#$  ps''  $\wedge$  ( $\exists$  e. ( $\exists$  e'.
    k * n = k * e + e' + m)  $\wedge$  (Q  $\wedge$ * F) (ps', e))
    apply (rule exI[where x=ps' + fst ps2])
    apply (rule exI[where x=ps'])
    apply (rule exI[where x=m])

```



```

proof safe
  show  $(c, ps) \Rightarrow_A m \Downarrow ps' + fst\ ps2 + ps''$ 
    apply (rule Framer2[OF _ _ g]) apply (fact a)
    using orth apply (auto simp: sep_disj_prod_def)
    by (metis  $\langle fst\ ps2\ \#\#\ ps'' \rangle\ \langle fst\ ps2\ \#\#\ ps' \rangle\ orth2\ sep\_add\_assoc$ 
sep\_add\_commute sep\_disj\_commuteI)
  next
    show  $ps' + fst\ ps2\ \#\#\ ps''$ 
    by (metis dom map_convs(1) orth orth2 sep\_add\_disjI1 sep\_disj\_fun\_def
sep\_disj\_prod\_def)
  next
    show  $\exists e. (\exists e'. k * n = k * e + e' + m) \wedge (Q \wedge * F) (ps' + fst\ ps2,$ 
e)
    apply (rule exI[where  $x=e+snd\ ps2$ ])
    apply safe
    subgoal proof (rule exI[where  $x=e$ ])
      have  $k * n = k * snd\ ps1 + k * snd\ ps2$  unfolding h by (simp
add: distrib_left)
      also have  $\dots = k * e + e' + m + k * snd\ ps2$  unfolding m by
auto
      finally show  $k * n = k * (e + snd\ ps2) + e' + m$ 
      by algebra
    qed apply fact done
  qed
qed
next
  case (False c Q)
  then show ?case by (auto simp: hoare3o_valid_def)
next
  case (Assign2 x Q a)
  show ?case
    unfolding hoare3o_valid_def
    apply (rule exI[where  $x=1$ ], safe) apply auto
    proof –
      fix ps n v
      assume A:  $((\lambda s. \exists xa. (x \hookrightarrow xa)\ s) \wedge * (x \hookrightarrow v \longrightarrow * Q) \wedge * \$ (Suc\ 0))$ 
      (ps, n)
      assume B:  $((\lambda s. True) \wedge * (\lambda (ps, n). vars\ a \subseteq dom\ ps \wedge paval\ a\ ps =$ 
v)) (ps, n)

```

```

    from A obtain ps1 ps2 n1 n2 v' where  $ps1\ \#\#\ ps2$  and add1:  $ps$ 
 $= ps1 + ps2$  and n:  $n = n1 + n2$  and
     $1: (\exists xaa. (x \hookrightarrow xaa)\ (ps1, n1))$ 

```

and 2: $((x \hookrightarrow v \longrightarrow^* Q) \wedge^* \$ (Suc\ 0)) (ps2, n2)$ **unfolding**
sep_conj_def
by *fastforce*

from 2 **obtain** $ps2a\ ps2b\ n2a\ n2b$ **where** $ps2a \## ps2b$ **and** $add2:$
 $ps2 = ps2a + ps2b$ **and** $n2: n2 = n2a + n2b$
and $Q: (x \hookrightarrow v \longrightarrow^* Q) (ps2a, n2a)$ **and** $ps2b: ps2b=0$ **and** $n2b:$
 $n2b=1$ **unfolding** *dollar_def sep_conj_def*
by *fastforce*

from 1 **obtain** v' **where** $n1: n1=0$ **and** $p: ps1 = ([x \mapsto v']::partstate)$

and $x: x : dom\ ps1$ **by** *(auto simp: pointsto_def)*
from $x\ add1$ **have** $x: x : dom\ ps$
by *(simp add: plus_fun_conv subset_iff)*

have $f: ([x \mapsto v'] + ps2a)(x \mapsto v) = ps2a + [x \mapsto v]$
by *(smt <thesis. ($\wedge v'. \llbracket n1 = 0; ps1 = [x \mapsto v'] \rrbracket; x \in dom\ ps1 \rrbracket \implies thesis) \implies thesis> <ps1 \## ps2> add2 disjoint_iff_not_equal dom_fun_upd domain_conv fun_upd_upd map_add_upd_left option.distinct(1) plus_fun_conv ps2b sep_add_commute sep_add_zero sep_disj_fun_def)$*

let $?n' = n2a + n1$
from $n\ n2\ n2b$ **have** $n': n=1+?n'$ **by** *simp*
have $Q': Q (ps(x \mapsto v), ?n')$ **using** $Q\ n1$ **unfolding** *sep_impl_def*
apply *auto*
unfolding *pointsto_def* **apply** *auto*
subgoal
by *(metis <ps1 \## ps2> <ps2 = ps2a + ps2b> <ps2b = 0> dom_fun_upd domain_conv option.distinct(1) p sep_add_zero sep_disj_commute sep_disj_fun_def)*
subgoal **unfolding** *add1 p add2 ps2b*
by *(auto simp: f)*
done

from B **obtain** $ps1\ ps2\ n1\ n2$ **where** $orth: ps1 \## ps2$ **and** $add: ps$
 $= ps2 + ps1$ **and** $n: n=n1+n2$
and $vars: vars\ a \subseteq dom\ ps2$ **and** $v: paval\ a\ ps2 = v$
unfolding *sep_conj_def* **by** *(auto simp: sep_add_ac)*

from $vars\ add$ **have** $a: vars\ a \subseteq dom\ ps$
by *(simp add: plus_fun_conv subset_iff)*

```

from  $a\ x$  have  $\text{vars } a \cup \{x\} \subseteq \text{dom } ps$  by auto

have  $\text{paval } a\ ps = v$  unfolding add apply(subst paval_extend)
  using orth vars v by(auto simp: sep_disj_commute)

show  $\exists ps' ps'' m. (x ::= a, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge$ 
 $(\exists e. (\exists e'. n = e + e' + m) \wedge Q(ps', e))$ 
  apply(rule exI[where x=ps(x↦v)])
  apply(rule exI[where x=0])
  apply(rule exI[where x=Suc 0])
  apply auto
  apply(rule big_step_t_part.Assign)
  apply fact+ apply simp
  apply(rule exI[where x=?n↑])
  apply safe
  apply(rule exI[where x=0]) using  $n'$  apply simp
  using  $Q'$  by auto

qed
next
  case Skip
  then show  $?case$  by (auto simp: hoare3o_valid_def dollar_def)
next
  case (Assign4 x a Q)
  then show  $?case$ 
    apply (auto simp: dollar_def sep_conj_def hoare3o_valid_def)
    apply(rule exI[where x=1]) apply auto
    subgoal for  $ps\ b\ y$ 
      apply(rule exI[where x=ps(x↦paval a ps)])
      apply(rule exI[where x=0])
      apply(rule exI[where x=Suc 0]) apply auto
      apply(rule exI[where x=b]) by auto
    done
  next
    case (Assign' x v' v Q)
    have  $\bigwedge aa. aa \#\# [x \mapsto v'] \Longrightarrow$ 
       $\neg aa \#\# [x \mapsto v] \Longrightarrow \text{False}$  unfolding sep_disj_fun_def domain_def
      apply auto by (smt Collect_conj_eq Collect_empty_eq)
    have  $f: \bigwedge v'. \text{domain } [x \mapsto v'] = \{x\}$  unfolding domain_conv by auto

    { fix  $ps$ 
      assume  $u: ps \#\# [x \mapsto v']$ 

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    have 2:  $[x \mapsto v'] + ps = ps + [x \mapsto v']$ 
       $[x \mapsto v] + ps = ps + [x \mapsto v]$ 
    subgoal apply (subst sep_add_commute) using u by (auto simp:
sep_add_ac)
    subgoal apply (subst sep_add_commute) using u apply (auto
simp: sep_add_ac)
    unfolding sep_disj_fun_def f by auto done
    have  $(x ::= N\ v, [x \mapsto v'] + ps) \Rightarrow_A\ Suc\ 0 \Downarrow [x \mapsto v] + ps$ 
    apply (rule Framer[OF big_step_t_part.Assign])
    apply simp_all using u by (auto simp: sep_add_ac)
    then have  $(x ::= N\ v, ps + [x \mapsto v']) \Rightarrow_A\ Suc\ 0 \Downarrow ps + [x \mapsto v]$ 
    by (simp only: 2)
  } note f2 = this

from Assign' show ?case
  apply (auto simp: dollar_def sep_conj_def pointsto_def sep_impl_def
hoare3o_valid_def )
  apply (rule exI[where x=1]) apply (auto simp: sep_add_ac)
  subgoal unfolding sep_disj_fun_def f by auto
  subgoal for ps n
    apply (rule exI[where x=ps+[x ↦ v]])
    apply (rule exI[where x=0])
    apply (rule exI[where x=Suc 0])
    apply safe
    subgoal using f2 by auto
    subgoal by auto
    subgoal by force
    done
  done
next
case (Assign x a v)
then show ?case unfolding hoare3o_valid_def
  apply (rule exI[where x=1])
  apply auto apply (auto simp: dollar_def )
  subgoal for ps n
    apply (subst (asm) separate_othogonal) apply auto
    apply (rule exI[where x=ps(x:=Some v)])
    apply (rule exI[where x=0])
    apply (rule exI[where x=1])
    apply auto
    apply (auto simp: pointsto_def) unfolding sep_conj_def
  subgoal apply (rule exI[where x=((%y. if y=x then None else ps y) ,
0)])
    apply (rule exI[where x=((%y. if y = x then Some (paval a ps) else

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None),0)])
  apply (auto simp: sep_disj_prod_def sep_disj_fun_def plus_fun_def)
  apply (smt domIff domain_conv)
  apply (metis domI insertE option.simps(3))
  using domIff by fastforce
done
done
next
  case (If P b c1 Q c2)
  from If(3)[unfolded hoare3o_valid_def]
  obtain k1 where T:  $\bigwedge ps\ n. P\ (ps, n) \implies vars\ b \subseteq dom\ ps \implies pbval\ b\ ps$ 
     $\implies (\exists ps'\ ps''\ m\ e\ e'. (c_1, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge k1$ 
     $*\ n = k1 * e + e' + m \wedge Q\ (ps', e))$ 
    and k1:  $k1 > 0$  by force
  from If(4)[unfolded hoare3o_valid_def]
  obtain k2 where F:  $\bigwedge ps\ n. P\ (ps, n) \implies vars\ b \subseteq dom\ ps \implies \neg pbval\ b\ ps$ 
     $\implies (\exists ps'\ ps''\ m\ e\ e'. (c_2, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge k2$ 
     $*\ n = k2 * e + e' + m \wedge Q\ (ps', e))$ 
    and k2:  $k2 > 0$  by force

  show ?case unfolding hoare3o_valid_def apply auto apply (auto
simp: dollar_def)
  apply(rule exI[where x=k1 * k2]) using k1 k2 apply auto
  proof (goal_cases)
    case (1 ps n)
    then obtain n' where P:  $P\ (ps, n')$  and dom:  $vars\ b \subseteq dom\ ps$  and
    Suc:  $n = Suc\ n'$  unfolding sep_conj_def
    by force
    show ?case
    proof(cases pbval b ps)
      case True
      with T[OF P dom] obtain ps' ps'' m e e' where d:  $(c_1, ps) \Rightarrow_A m \Downarrow ps' + ps''$ 
        and orth:  $ps' \#\#\ ps''$  and m1:  $k1 * n' = k1 * e + e' + m$  and
        Q:  $Q\ (ps', e)$ 
        by blast
      from big_step_t3_post_dom_conv[OF d] have klong:  $dom\ (ps' + ps'') = dom\ ps$  .
      from k1 obtain k1' where k1':  $k1 = Suc\ k1'$ 
        using gr0_implies_Suc by blast
      from k2 obtain k2' where k2':  $k2 = Suc\ k2'$ 
        using gr0_implies_Suc by blast

```

```

let ?e1 = (k2' * (e' + m + k1) + e' + k1')
show ?thesis
  apply(rule exI[where x=ps'])
  apply(rule exI[where x=ps'']) apply(rule exI[where x=m+1])
  apply safe
    apply(rule big_step_t_part.IfTrue)
    apply (rule dom)
    apply fact
    apply (rule True)
    apply (rule d)
    apply simp
    apply fact
  subgoal apply(rule exI[where x=e])
  apply safe
  subgoal proof (rule exI[where x=?e1])
    have k1 * k2 * n = k2 * (k1*n) by auto
    also have ... = k2 * (k1*n' + k1) unfolding Suc by auto
    also have ... = k2 * (k1 * e + e' + m + k1) unfolding m1 by
auto
      also have ... = k1 * k2 * e + k2 * (e' + m + k1) by algebra
      also have ... = k1 * k2 * e + k2' * (e' + m + k1) + (e' + m
+ k1) unfolding k2'
        by simp
      also have ... = k1 * k2 * e + k2' * (e' + m + k1) + (e' + k1'
+ m + 1) unfolding k1' by simp
      also have ... = k1 * k2 * e + (k2' * (e' + m + k1) + e' + k1')
+ (m+1) by algebra
      finally show k1 * k2 * n = k1 * k2 * e + ?e1 + (m + 1) .
    qed using Q by force
  done
next
  case False
  with F[OF P dom] obtain ps' ps'' m e e' where d: (c2, ps)  $\Rightarrow_A$  m  $\Downarrow$ 
ps' + ps''
    and orth: ps' ## ps'' and m2: k2 * n' = k2 * e + e' + m and
Q: Q (ps', e)
      by blast
    from big_step_t3_post_dom_conv[OF d] have klong: dom (ps' +
ps'') = dom ps .
    from k1 obtain k1' where k1': k1 = Suc k1'
      using gr0_implies_Suc by blast
    from k2 obtain k2' where k2': k2 = Suc k2'
      using gr0_implies_Suc by blast
    let ?e2 = (k1' * (e' + m + k2) + e' + k2')

```

```

show ?thesis
  apply(rule exI[where x=ps])
  apply(rule exI[where x=ps']) apply(rule exI[where x=m+1])
  apply safe
    apply(rule big_step_t_part.IfFalse)
    apply (rule dom)
    apply fact
    apply (rule False)
    apply (rule d)
  apply simp
  apply fact
  subgoal apply(rule exI[where x=e])
    apply safe
    subgoal proof (rule exI[where x=?e2])
      have k1 * k2 * n = k1 * (k2*n) by auto
      also have ... = k1 * (k2*n' + k2) unfolding Suc by auto
      also have ... = k1 * (k2 * e + e' + m + k2) unfolding m2 by
auto
      also have ... = k1 * k2 * e + k1 * (e' + m + k2) by algebra
      also have ... = k1 * k2 * e + k1' * (e' + m + k2) + (e' + m
+ k2) unfolding k1'
      by simp
      also have ... = k1 * k2 * e + k1' * (e' + m + k2) + (e' + k2'
+ m + 1) unfolding k2' by simp
      also have ... = k1 * k2 * e + (k1' * (e' + m + k2) + e' + k2')
+ (m+1) by algebra
      finally show k1 * k2 * n = k1 * k2 * e + ?e2 + (m + 1) .
    qed using Q by force
  done
qed
qed
next
case (Seq P C1 Q C2 R)

from Seq(3)[unfolded hoare3o_valid_def] obtain k1 where
  1: (∀ ps n. P (ps, n) → (∃ ps' ps'' m e e'. (C1, ps) ⇒A m ↓ ps' + ps''
∧ ps' ## ps'' ∧ k1 * n = k1 * e + e' + m ∧ Q (ps', e)))
  and k10: k1 > 0 by blast
from Seq(4)[unfolded hoare3o_valid_def] obtain k2 where
  2: (∀ ps n. Q (ps, n) → (∃ ps' ps'' m e e'. (C2, ps) ⇒A m ↓ ps' + ps''
∧ ps' ## ps'' ∧ k2 * n = k2 * e + e' + m ∧ R (ps', e)))
  and k20: k2 > 0 by blast

from k10 obtain k1' where k1': k1 = Suc k1'

```

```

    using gr0_implies_Suc by blast
  from k20 obtain k2' where k2': k2 = Suc k2'
    using gr0_implies_Suc by blast

  show ?case unfolding hoare3o_valid_def
  apply(rule exI[where x=k2*k1])
  proof safe
    fix ps n
    assume P (ps, n)

    with 1 obtain ps1' ps1'' m1 e1 e1' where C1: (C1, ps)  $\Rightarrow_A$  m1  $\Downarrow$ 
    ps1' + ps1'' and orth: ps1'  $\#\#$  ps1''
      and m1: k1 * n = k1 * e1 + e1' + m1 and Q: Q (ps1', e1) by
    blast

    from Q and 2 obtain ps2' ps2'' m2 e2 e2' where C2: (C2, ps1')  $\Rightarrow_A$ 
    m2  $\Downarrow$  ps2' + ps2'' and orth2: ps2'  $\#\#$  ps2''
      and m2: k2 * e1 = k2 * e2 + e2' + m2 and R: R (ps2', e2) by
    blast

    let ?ee = (k1 * e2' + k2 * e1' + k2' * m1 + k1' * m2)

    show  $\exists ps' ps'' m e e'. (C1;; C2, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps''$ 
     $\wedge k2 * k1 * n = k2 * k1 * e + e' + m \wedge R (ps', e)$ 
    apply(rule exI[where x=ps2'])
    apply(rule exI[where x=ps2'' + ps1''])
    apply(rule exI[where x=m1+m2])
    apply(rule exI[where x=e2])
    apply(rule exI[where x=?ee])
  proof safe
    have C2': (C2, ps1' + ps1'')  $\Rightarrow_A$  m2  $\Downarrow$  ps2' + (ps2'' + ps1'')
    apply(rule Framer2[OF C2, of ps1'']) apply fact apply simp
    using sep_add_assoc
    by (metis C2 big_step_t3_post_dom_conv map_convs(1) orth orth2
    sep_add_commute sep_disj_addD1 sep_disj_commuteI sep_disj_fun_def)
    show (C1;; C2, ps)  $\Rightarrow_A$  m1 + m2  $\Downarrow$  ps2' + (ps2'' + ps1'')
    using C1 C2' by auto
  next
    show ps2'  $\#\#$  ps2'' + ps1''
    by (metis C2 big_step_t3_post_dom_conv map_convs(1) orth orth2
    sep_disj_addI3 sep_disj_fun_def)
  next
    have k2 * k1 * n = k2 * (k1 * n) by auto
    also have ... = k2 * (k1 * e1 + e1' + m1) using m1 by auto

```


also have $\dots = k1 * k2 * e1 + k2 * (e1' + m1)$ **by algebra**
 also have $\dots = k1 * (k2 * e2 + e2' + m2) + k2 * (e1' + m1)$ **using**
m2 by auto
 also have $\dots = k2 * k1 * e2 + (k1 * e2' + k2 * e1' + k2 * m1 + k1 * m2)$
by algebra
 also have $\dots = k2 * k1 * e2 + (k1 * e2' + k2 * e1' + k2' * m1 + m1 + k1' * m2 + m2)$ **unfolding k1' k2' by auto**
 also have $\dots = k2 * k1 * e2 + (k1 * e2' + k2 * e1' + k2' * m1 + k1' * m2) + (m1 + m2)$ **by auto**
 finally show $k2 * k1 * n = k2 * k1 * e2 + ?ee + (m1 + m2)$.
qed fact
qed (simp add: k10 k20)
next
 case (While P b C)

 {
 assume $\exists k > 0. \forall ps\ n. (case\ (ps,\ n)\ of\ (s,\ n) \Rightarrow P\ (s,\ n) \wedge lmaps_to_axpr\ b\ True\ s) \longrightarrow$
 $(\exists ps'\ ps''\ m\ e\ e'. (C,\ ps) \Rightarrow_A\ m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge$
 $k * n = k * e + e' + m \wedge ((\lambda(s,\ n). P\ (s,\ n) \wedge vars\ b \subseteq dom\ s) \wedge * \$ 1)$
 $(ps',\ e))$
 then obtain k where While2: $\forall ps\ n. (case\ (ps,\ n)\ of\ (s,\ n) \Rightarrow P\ (s,$
 $n) \wedge lmaps_to_axpr\ b\ True\ s) \longrightarrow (\exists ps'\ ps''\ m\ e\ e'. (C,\ ps) \Rightarrow_A\ m \Downarrow ps'$
 $+ ps'' \wedge ps' \#\#\ ps'' \wedge k * n = k * e + e' + m \wedge ((\lambda(s,\ n). P\ (s,\ n) \wedge vars$
 $b \subseteq dom\ s) \wedge * \$ 1) (ps',\ e))$ **and k: k > 0 by blast**

 from k obtain k' where k': $k = Suc\ k'$
 using gr0_implies_Suc **by blast**

 have $\exists k > 0. \forall ps\ n. ((\lambda(s,\ n). P\ (s,\ n) \wedge vars\ b \subseteq dom\ s) \wedge * \$ 1) (ps,$
 $n) \longrightarrow$
 $(\exists ps'\ ps''\ m\ e\ e'.$
 $(WHILE\ b\ DO\ C,\ ps) \Rightarrow_A\ m \Downarrow ps' + ps'' \wedge$
 $ps' \#\#\ ps'' \wedge k * n = k * e + e' + m \wedge (case\ (ps',\ e)\ of$
 $(s,\ n) \Rightarrow P\ (s,\ n) \wedge lmaps_to_axpr\ b\ False\ s))$ **proof (rule exI[where**
 $x=k], safe, goal_cases)$
 case (2 ps n)
 from 2 show ?case
 proof(induct n arbitrary: ps rule: less_induct)
 case (less x ps3)

 show ?case
 proof(cases pbval b ps3)
 case True

from *less*(2) **obtain** x' **where** $P: P(ps3, x')$ **and** $dom: vars\ b \subseteq dom\ ps3$ **and** $Suc: x = Suc\ x'$ **unfolding** *sep_conj_def* *dollar_def* **by** *auto*

from $P\ dom\ True$ **have**

$g: ((\lambda(s, n). P(s, n) \wedge lmaps_to_axpr\ b\ True\ s))\ (ps3, x')$

unfolding *dollar_def* **by** *auto*

from *While2* g **obtain** $ps3'\ ps3''\ m\ e\ e'$ **where** $C: (C, ps3) \Rightarrow_A m \Downarrow ps3' + ps3''$ **and** *orth*: $ps3' \#\# ps3''$

and $x: k * x' = k * e + e' + m$ **and** $P': ((\lambda(s, n). P(s, n) \wedge vars\ b \subseteq dom\ s) \wedge \$\ 1)\ (ps3', e)$ **by** *blast*

then obtain x'' **where** $P'': P(ps3', x'')$ **and** $domb: vars\ b \subseteq dom\ ps3'$ **and** $Suc'': e = Suc\ x''$

unfolding *sep_conj_def* *dollar_def* **by** *auto*

from $C\ big_step_t3_post_dom_conv$ **have** $dom\ ps3 = dom\ (ps3' + ps3'')$ **by** *simp*

with dom **have** $dom': vars\ b \subseteq dom\ (ps3' + ps3'')$ **by** *auto*

from $C\ big_step_t3_gt0$ **have** $gt0: m > 0$ **by** *auto*

have $e < x$ **using** $x\ Suc\ gt0$

by (*metis* $k\ le_add1\ le_less_trans\ less_SucI\ less_add_same_cancel1\ nat_mult_less_cancel1$)

have $\exists ps'\ ps''\ m\ e2\ e2'. (WHILE\ b\ DO\ C, ps3') \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k * e = k * e2 + e2' + m \wedge P(ps', e2) \wedge lmaps_to_axpr\ b\ False\ ps'$

apply(*rule less*(1))

apply *fact* **by** *fact*

then obtain $ps4'\ ps4''\ mt\ et\ et'$ **where** $w: ((WHILE\ b\ DO\ C, ps3') \Rightarrow_A mt \Downarrow ps4' + ps4'')$

and *ortho*: $ps4' \#\# ps4''$ **and** $m'': k * e = k * et + et' + mt$

and $P'': P(ps4', et)$ **and** $dom'': vars\ b \subseteq dom\ ps4'$ **and** $b'': \neg pbval\ b\ ps4'$ **by** *auto*

have $ps4'' \#\# ps3''$ **and** $ps4' \#\# ps3''$ **by** (*metis* $big_step_t3_post_dom_conv\ domain_conv\ orth\ ortho\ sep_add_disjD\ sep_disj_fun_def\ w$) +

show *?thesis*

apply(*rule exI*[**where** $x=ps4'$])

apply(*rule exI*[**where** $x=(ps4'' + ps3'')$])

apply(*rule exI*[**where** $x=1 + m + mt$])

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    apply(rule exI[where x=et])
    apply(rule exI[where x= et' + k' + e'])
  proof (safe)
    have (WHILE b DO C, ps3' + ps3'')  $\Rightarrow_A$  mt  $\Downarrow$  ps4' + (ps4'' +
ps3'')
      apply(rule Framer2[OF w, of ps3'']) apply fact
      apply simp
      apply(rule sep_add_assoc[symmetric])
      by fact+
    show (WHILE b DO C, ps3)  $\Rightarrow_A$  1 + m + mt  $\Downarrow$  ps4' + (ps4''
+ ps3'')
      apply(rule WhileTrue) apply fact apply fact apply (fact C)
  apply fact by auto
  next
    show ps4' ### ps4'' + ps3''
    by (metis big_step_t3_post_dom_conv domain_conv orth ortho
sep_disj_addI3 sep_disj_fun_def w)
  next
    have k * x = k * x' + k unfolding Suc by auto
    also have ... = k * e + e' + m + k unfolding x by simp
    also have ... = k * et + et' + mt + e' + m + k using m'' by
simp
    also have ... = k * et + et' + mt + e' + m + 1 + k' using k'
by simp
    also have ... = k * et + ( et' + k' + e') + (1 + m + mt) using
k' by simp
    finally show k * x = k * et + ( et' + k' + e') + (1 + m + mt)
by simp
  next
    show P (ps4', et) by fact
  next
    show lmaps_to_axpr b False ps4' apply simp using dom'' b'' ..
qed
next
  case False
  from less(2) obtain x' where P: P (ps3, x') and dom: vars b  $\subseteq$ 
dom ps3 and Suc: x = Suc x' unfolding dollar_def sep_conj_def
  by force
  show ?thesis
  apply(rule exI[where x=ps3])
  apply(rule exI[where x=0])
  apply(rule exI[where x=Suc 0])
  apply(rule exI[where x=x'])
  apply(rule exI[where x=k']) apply safe

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      apply simp apply (rule big_step_t_part.WhileFalse)
    subgoal using dom by simp
      apply fact apply simp
    using Suc k k' apply simp
    using P Suc apply simp
      using dom apply auto
      using False apply auto done
    qed

  qed

qed (fact)

} with While(2)
  show ?case unfolding hoare3o_valid_def by simp
next
  case (Assign''' x ds v)
  then show ?case
    unfolding hoare3o_valid_def apply auto
    apply (rule exI[where x=1]) apply auto
    subgoal for ps n apply (rule exI[where x=ps(x→v)]) apply (rule
exI[where x=0])
    apply (rule exI[where x=Suc 0])
    apply safe
      apply (rule big_step_t_part.Assign)
      apply (auto)
    subgoal apply (subst (asm) separate_othogonal_commuted') by (auto
simp: dollar_def pointsto_def)
    subgoal apply (subst (asm) separate_othogonal_commuted') by (auto
simp: dollar_def pointsto_def)
    done
  done
next
  case (Assign'''' P a v x Q')
  from Assign''''(3)[unfolded hoare3o_valid_def] obtain k where k: k>0
and
  A:  $\forall ps\ n. P(ps, n) \longrightarrow (\exists ps' ps'' m\ e\ e'. (x ::= N\ v, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k * n = k * e + e' + m \wedge Q'(ps', e))$ 
  by auto
  show ?case
    unfolding hoare3o_valid_def apply auto
    apply (rule exI[where x=k]) using k apply auto
    proof (goal_cases)

```

```

    case (1 ps n)
    with A obtain ps' ps'' m e e'
      where (x ::= N v, ps)  $\Rightarrow_A$  m  $\Downarrow$  ps' + ps'' and orth: ps' ## ps''
and m: k * n = k * e + e' + m and Q: Q' (ps', e) by metis
  from 1(2) Assign''''(1)[unfolded symeval_def] have paval' a ps =
Some v by auto
  show ?case apply(rule exI[where x=ps']) apply(rule exI[where
x=ps'']) apply(rule exI[where x=m])
    apply safe
    apply(rule avalDirekt3_correct) apply fact+
    apply(rule exI[where x=e]) apply safe
    apply(rule exI[where x=e']) apply fact
    apply fact done
  qed
next
case (pureI P Q c R)
show ?case
proof (cases P)
  case True
  with pureI(2)[unfolded hoare3o_valid_def] obtain k where k: k>0
and
  thing:  $\forall ps\ n. Q\ (ps, n) \longrightarrow (\exists ps'\ ps''\ m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \## ps'' \wedge k * n = k * e + e' + m \wedge R\ (ps', e))$  by auto

  show ?thesis unfolding hoare3o_valid_def apply(rule exI[where
x=k])
    apply safe apply fact
    using thing by fastforce
  next
  case False
  show ?thesis unfolding hoare3o_valid_def apply(rule exI[where
x=1])
    using False by auto
  qed
next
case (normalize P m C Q n)
then show ?case unfolding hoare3o_valid_def
  apply(safe) subgoal for k apply(rule exI[where x=k]) apply safe
proof (goal_cases)
  case (1 ps N)
  have Q2: P (ps, N - (m - n)) apply(rule stardiff) by fact
  have mn: m - n  $\leq$  N apply(rule stardiff(2)) by fact
  have P: (P  $\wedge$  * $ m) (ps, N - (m - n) + m) unfolding sep_conj_def
dollar_def

```

```

    apply(rule exI[where x=(ps,N - (m - n))]) apply(rule exI[where
x=(0,m)])
    apply(auto simp: sep_disj_prod_def sep_disj_nat_def) by fact
    have N: N - (m - n) + m = N + n using normalize(2)
    using mn by auto
    from P N have P': (P ∧* $ m) (ps, N + n) by auto

    from P' 1(4) obtain ps' ps'' m' e e' where (C, ps) ⇒A m' ↓ ps' +
ps'' and orth: ps' ## ps''
    and m': k * (N + n) = k * e + e' + m' and Q: (Q ∧* $ n) (ps', e)
    by blast

    have Q2: Q (ps', e - n) apply(rule stardiff) by fact

    have en: e ≥ n using Q
    using stardiff(2) by blast

    show ?case
    apply(rule exI[where x=ps'])
    apply(rule exI[where x=ps'']) apply(rule exI[where x=m'])
    apply(rule exI[where x=e - n])
    apply(rule exI[where x=e'])
    proof (safe)
      show (C, ps) ⇒A m' ↓ ps' + ps'' by fact
    next
      show ps' ## ps'' by fact
    next
      have k * N = k * ( (N + n) - n) by auto
      also have ... = k*(N + n) - k*n using right_diff_distrib' by
blast
      also have ... = (k * e + e' + m') - k*n using m' by auto
      also have ... = k * e - k*n + e' + m' using en
      by (metis Nat.add_diff_assoc2 ab_semigroup_add_class.add_ac(1)
distrib_left le_add1 le_add_diff_inverse)
      also have ... = k * (e - n) + e' + m' by (simp add: diff_mult_distrib2)

      finally show k * N = k * (e - n) + e' + m' .
    next
      show Q (ps', e - n) by fact
    qed
  qed
done
qed

```

thm *hoareT_sound2_part E_extendsR*

lemma *hoareT_sound2_partR*: $\vdash_{3a} \{P\} c \{Q\} \implies \models_{3'} \{P\} c \{Q\}$
using *hoareT_sound2_part E_extendsR* **by** *blast*

9.3.1 nice example

lemma *Frame_R*: **assumes** $\vdash_{3'} \{P\} C \{Q\}$ *Frame P' P F*
shows $\vdash_{3'} \{P'\} C \{Q ** F\}$
apply(*rule conseq*[**where** *k=1*]) **apply**(*rule Frame*) **apply**(*rule assms*(1))
using *assms*(2) **unfolding** *Frame_def* **by** *auto*

lemma *strengthen_post*: **assumes** $\vdash_{3'} \{P\} c \{Q\} \wedge s. Q s \implies Q' s$
shows $\vdash_{3'} \{P\} c \{Q'\}$
apply(*rule conseq*[**where** *k=1*])
apply (*rule assms*(1))
apply *simp* **apply** *simp* **apply** *fact* **apply** *simp* **done**

lemmas *strongAssign* = *Assign'''*[*OF__strengthen_post*, *OF__Frame_R*,
OF__Assign'']

lemma *weakenpre*: $\llbracket \vdash_{3'} \{P\} c \{Q\} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3'} \{P'\} c \{Q\}$
using *conseq*[**where** *k=1*] **by** *auto*

lemma *weakenpreR*: $\llbracket \vdash_{3a} \{P\} c \{Q\} ; \wedge s. P' s \implies P s \rrbracket \implies$
 $\vdash_{3a} \{P'\} c \{Q\}$
using *hoare3a.conseqS* **by** *auto*

9.4 Completeness

definition *wp3'* :: *com* $\Rightarrow$ *assn2* $\Rightarrow$ *assn2* ($\langle wp_{3'}' \rangle$) **where**
 $wp_{3'}' c Q = (\lambda(s,n). \exists t m. n \geq m \wedge (c,s) \Rightarrow_A m \Downarrow t \wedge Q(t, n-m))$

lemma *wp3Skip[simp]*: $wp_{3'}' SKIP Q = (Q ** \$1)$
apply (*auto intro!*: *ext simp: wp3'_def*)
unfolding *sep_conj_def* *dollar_def* *sep_disj_prod_def* *sep_disj_nat_def*
apply *auto* **apply** *force*
subgoal for *t n* **apply**(*rule exI*[**where** *x=t*]) **apply**(*rule exI*[**where**
x=Suc 0])

```

    using big_step_t_part.Skip by auto
  done

lemma wp3Assign[simp]:  $wp_3' (x ::= e) Q = ((\lambda(ps,t). vars e \cup \{x\} \subseteq dom$ 
 $ps \wedge Q (ps(x \mapsto paval e ps),t)) ** \$1)$ 
  apply (auto intro!: ext simp: wp3'_def )
  unfolding sep_conj_def apply (auto simp: sep_disj_prod_def sep_disj_nat_def
dollar_def) apply force
  by fastforce

lemma wpt_Seq[simp]:  $wp_3' (c_1;;c_2) Q = wp_3' c_1 (wp_3' c_2 Q)$ 
  apply (auto simp: wp3'_def fun_eq_iff )
  subgoal for a b t m1 s2 m2
    apply(rule exI[where x=s2])
    apply(rule exI[where x=m1])
    apply simp
    apply(rule exI[where x=t])
    apply(rule exI[where x=m2])
    apply simp done
  subgoal for s m t' m1 t m2
    apply(rule exI[where x=t])
    apply(rule exI[where x=m1+m2])
    apply (auto simp: big_step_t_part.Seq) done
  done

lemma wp3If[simp]:
   $wp_3' (IF b THEN c_1 ELSE c_2) Q = ((\lambda(ps,t). vars b \subseteq dom ps \wedge wp_3' (if$ 
 $pbval b ps then c_1 else c_2) Q (ps,t)) ** \$1)$ 
  apply (auto simp: wp3'_def fun_eq_iff)
  unfolding sep_conj_def apply (auto simp: sep_disj_prod_def sep_disj_nat_def
dollar_def)
  subgoal for a ba t x apply(rule exI[where x=ba - 1]) apply auto
    apply(rule exI[where x=t]) apply(rule exI[where x=x]) apply auto
  done
  subgoal for a ba t x apply(rule exI[where x=ba - 1]) apply auto
    apply(rule exI[where x=t]) apply(rule exI[where x=x]) apply auto
  done
  subgoal for a ba t m
    apply(rule exI[where x=t]) apply(rule exI[where x=Suc m]) apply
auto
    apply(cases pbval b a)
  subgoal apply simp apply(subst big_step_t_part.IfTrue) using big_step_t3_post_dom_conv
by auto
  subgoal apply simp apply(subst big_step_t_part.IfFalse) using big_step_t3_post_dom_conv

```


by auto
done
done

lemma *sFTrue*: **assumes** $pbval\ b\ ps\ vars\ b \subseteq dom\ ps$
shows $wp_{3'}\ (WHILE\ b\ DO\ c)\ Q\ (ps,\ n) = ((\lambda(ps,\ n). vars\ b \subseteq dom\ ps$
 $\wedge\ (if\ pbval\ b\ ps\ then\ wp_{3'}\ c\ (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\ (ps,\ n)\ else\ Q\ (ps,$
 $n))) \wedge\ \$\ 1)\ (ps,\ n)$
(is $?wp = (?I \wedge\ \$\ 1)\ _)$

proof

assume $wp_{3'}\ (WHILE\ b\ DO\ c)\ Q\ (ps,\ n)$
from *this[unfolded wp3'_def]* **obtain** $ps''\ tt$ **where** $tn: tt \leq n$ **and** $w1:$
 $(WHILE\ b\ DO\ c,\ ps) \Rightarrow_A\ tt \Downarrow ps''$ **and** $Q: Q\ (ps'',\ n - tt)$ **by** *blast*
with *assms* **obtain** $t\ t'\ ps'$ **where** $w2: (WHILE\ b\ DO\ c,\ ps') \Rightarrow_A\ t' \Downarrow$
 ps'' **and** $c: (c,\ ps) \Rightarrow_A\ t \Downarrow ps'$ **and** $tt: tt = 1 + t + t'$ **by** *auto*

from tn **obtain** k **where** $n: n = tt + k$
using *le_Suc_ex* **by** *blast*

from *assms* **show** $(?I \wedge\ \$\ 1)\ (ps, n)$
unfolding *sep_conj_def dollar_def wp3'_def* **apply** *auto*
apply(*rule exI[where x=t+t'+k]*)
apply *safe* **subgoal using** $n\ tt$ **by** *auto*
apply(*rule exI[where x=ps']*)
apply(*rule exI[where x=t]*)
using c **apply** *auto*
apply(*rule exI[where x=ps'']*)
apply(*rule exI[where x=t']*)
using $w2\ Q\ n$ **by** *auto*

next

assume $(?I \wedge\ \$\ 1)\ (ps, n)$
with *assms* **have** $Q: wp_{3'}\ c\ (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\ (ps,\ n-1)$ **and**
 $n: n \geq 1$ **unfolding** *dollar_def sep_conj_def* **by** *auto*
then obtain $t\ ps'\ t'\ ps''$ **where** $t: t \leq n - 1$
and $c: (c,\ ps) \Rightarrow_A\ t \Downarrow ps'$ **and** $t': t' \leq (n-1) - t$ **and** $w: (WHILE$
 $b\ DO\ c,\ ps') \Rightarrow_A\ t' \Downarrow ps''$
and $Q: Q\ (ps'',\ ((n-1) - t) - t')$
unfolding *wp3'_def* **by** *auto*
show $?wp$ **unfolding** *wp3'_def*
apply *simp* **apply**(*rule exI[where x=ps'']*) **apply**(*rule exI[where*
 $x = 1 + t + t']$)
apply *safe*
subgoal using $t\ t'\ n$ **by** *simp*

subgoal using c w *assms* by *auto*
 subgoal using Q t t' n by *simp*
 done
 qed

lemma *sFFalse*: **assumes** $\sim$ $pbval\ b\ ps\ vars\ b \subseteq dom\ ps$
shows $wp_3', (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps$
 $\wedge (if\ pbval\ b\ ps\ then\ wp_3', c\ (wp_3', (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps,$
 $n))) \wedge \$ 1)\ (ps, n)$
(is $?wp = (?I \wedge \$ 1)\ _)$

proof

assume $wp_3', (WHILE\ b\ DO\ c)\ Q\ (ps, n)$
from *this*[*unfolded* $wp_3'_def$] **obtain** $ps'\ t$ **where** $tn: t \leq n$ **and** $w1:$
 $(WHILE\ b\ DO\ c, ps) \Rightarrow_A t \Downarrow ps'$ **and** $Q: Q\ (ps', n - t)$ **by** *blast*
from *assms* **have** $w2: (WHILE\ b\ DO\ c, ps) \Rightarrow_A 1 \Downarrow ps$ **by** *auto*
from $w1\ w2\ big_step_t_determ2$ **have** $t1: t=1$ **and** $pps: ps=ps'$ **by** *auto*
from *assms* **show** $(?I \wedge \$ 1)\ (ps, n)$
unfolding *sep_conj_def* *dollar_def* **using** $t1\ tn\ Q\ pps$ **apply** *auto*
apply(*rule* *exI*[**where** $x=n-1$]) **by** *auto*
next
assume $(?I \wedge \$ 1)\ (ps, n)$
with *assms* **have** $Q: Q(ps, n-1)\ n \geq 1$ **unfolding** *dollar_def* *sep_conj_def*
by *auto*
from *assms* **have** $w2: (WHILE\ b\ DO\ c, ps) \Rightarrow_A 1 \Downarrow ps$ **by** *auto*
show $?wp$ **unfolding** $wp_3'_def$
apply *auto* **apply**(*rule* *exI*[**where** $x=ps$]) **apply**(*rule* *exI*[**where** $x=1$])
using $Q\ w2$ **by** *auto*
 qed

lemma *sF'*: $wp_3', (WHILE\ b\ DO\ c)\ Q\ (ps, n) = ((\lambda(ps, n). vars\ b \subseteq dom\ ps$
 $\wedge (if\ pbval\ b\ ps\ then\ wp_3', c\ (wp_3', (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps,$
 $n))) \wedge \$ 1)\ (ps, n)$
apply(*cases* $vars\ b \subseteq dom\ ps$)
subgoal **apply**(*cases* $pbval\ b\ ps$) **using** *sFTrue* *sFFalse* **by** *auto*
subgoal **by** (*auto* *simp* *add: dollar_def wp_3'_def sep_conj_def*)
done

lemma *sF*: $wp_3', (WHILE\ b\ DO\ c)\ Q\ s = ((\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge (if$
 $pbval\ b\ ps\ then\ wp_3', c\ (wp_3', (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ else\ Q\ (ps, n)))$
 $\wedge \$ 1)\ s$
using *sF'*
by (*metis* (*mono_tags*, *lifting*) *prod.case_eq_if* *prod.collapse* *sep_conj_impl1*)

```

lemma strengthen_postR: assumes  $\vdash_{3a} \{P\}c\{Q\} \wedge s. Q\ s \implies Q'\ s$ 
  shows  $\vdash_{3a} \{P\}c\{Q'\}$ 
  apply(rule hoare3a.conseqS)
    apply (rule assms(1))
    apply simp by (fact assms(2))

lemma assumes  $\wedge Q. \vdash_{3a} \{wp_{3'}\ c\ Q\} \ c\ \{Q\}$ 
  shows WhileWpisPre:  $\vdash_{3a} \{wp_{3'}\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{Q\}$ 
proof –
  define I where  $I \equiv (\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge (\text{if } pbval\ b\ ps\ \text{then } wp_{3'}\ c\ (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ \text{else } Q\ (ps, n)))$ 
  define I' where  $I' \equiv (\lambda(ps, n). (\text{if } pbval\ b\ ps\ \text{then } wp_{3'}\ c\ (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\ (ps, n)\ \text{else } Q\ (ps, n)))$ 
  have I':  $I = (\lambda(ps, n). \text{vars } b \subseteq \text{dom } ps \wedge I'\ (ps, n))$  unfolding I_def I'_def by auto

  from assms[where  $Q = (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)$ ] have
     $c: \vdash_{3a} \{wp_{3'}\ c\ (wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\} \ c\ \{(wp_{3'}\ (WHILE\ b\ DO\ c)\ Q)\}$ 
  have c':  $\vdash_{3a} \{(\lambda(s, n). I\ (s, n) \wedge lmaps\_to\_axpr\ b\ True\ s)\} \ c\ \{I\ **\ \$1\}$ 
  apply(rule hoare3a.conseqS)
    apply(rule c)
    subgoal apply auto unfolding I_def by auto
    subgoal unfolding I_def using sF by auto
    done

  have c'':  $\vdash_{3a} \{(\lambda(s, n). I\ (s, n) \wedge lmaps\_to\_axpr\ b\ True\ s)\} \ c\ \{(\lambda(s, n). I\ (s, n) \wedge \text{vars } b \subseteq \text{dom } s)\ **\ \$1\}$ 
  apply(rule strengthen_postR[OF c'])
    unfolding I'
    by (smt R case_prod_beta prod.sel(1) prod.sel(2))

  have ka:  $(\lambda(s, n). I\ (s, n) \wedge \text{vars } b \subseteq \text{dom } s) = I$ 
  apply rule unfolding I' by auto

  from hoare3a.While[where  $P = I$ ] c'' have
     $w: \vdash_{3a} \{(\lambda(s, n). I\ (s, n) \wedge \text{vars } b \subseteq \text{dom } s)\ **\ \$1\}\ WHILE\ b\ DO\ c\ \{ \lambda(s, n). I\ (s, n) \wedge lmaps\_to\_axpr\ b\ False\ s\} .$ 

  show  $\vdash_{3a} \{wp_{3'}\ (WHILE\ b\ DO\ c)\ Q\}\ WHILE\ b\ DO\ c\ \{Q\}$ 

```

```

    apply(rule hoare3a.conseqS)
    apply(rule w)
    subgoal unfolding ka using sF I_def by simp
    subgoal unfolding I_def by auto
  done
qed

lemma wpT_is_pre:  $\vdash_{3a} \{wp_3', c\} c \{Q\}$ 
proof (induction c arbitrary: Q)
  case SKIP
  then show ?case apply auto
    using hoare3a.Frame[where F=Q and Q=$0 and P=$1, OF hoare3a.Skip]
    by (auto simp: sep.add_ac)
next
  case (Assign x1 x2)
  then show ?case using hoare3a.Assign4 by simp
next
  case (Seq c1 c2)
  then show ?case apply auto
    apply(subst hoare3a.Seq[rotated]) by auto
next
  case (If x1 c1 c2)
  then show ?case apply auto
    apply(rule weakenpreR[OF hoare3a.If, where P1=%(ps,n). wp_3' (if
pbval x1 ps then c1 else c2) Q (ps,n)])
    apply auto
    subgoal apply(rule hoare3a.conseqS[where P=wp_3', c1 Q and Q=Q])
by auto
    subgoal apply(rule hoare3a.conseqS[where P=wp_3', c2 Q and Q=Q])
by auto
  proof -
    fix a b
    assume (( $\lambda(ps, t). \text{vars } x1 \subseteq \text{dom } ps \wedge wp_3' (if \text{pbval } x1 \text{ ps then } c1 \text{ else } c2) Q (ps, t)) \wedge * \$ (Suc 0)) (a, b)$ )
    then show (( $\lambda(ps, t). wp_3' (if \text{pbval } x1 \text{ ps then } c1 \text{ else } c2) Q (ps, t) \wedge \text{vars } x1 \subseteq \text{dom } ps) \wedge * \$ (Suc 0)) (a, b)$ )
      unfolding sep_conj_def apply auto apply(case_tac pbval x1 aa)
  apply auto done
  qed
next
  case (While b c)
  with WhileWpisPre show ?case .
qed

```

lemma *hoare3o_valid_alt*: $\models_{3'} \{ P \} c \{ Q \} \implies$
 $(\exists k > 0. (\forall ps\ n. P\ (ps, n\ \text{div}\ k)$
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\#\ ps'' \wedge n = e + e' + m$
 $\wedge Q\ (ps', e\ \text{div}\ k))))$

proof –
assume $\models_{3'} \{ P \} c \{ Q \}$
from *this[unfolded hoare3o_valid_def]* **obtain** k **where** $k0: k > 0$ **and**
 $P: \bigwedge ps\ n. P\ (ps, n) \implies (\exists ps'\ ps''\ m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\#\ ps'' \wedge k * n = k * e + e' + m \wedge Q\ (ps', e))$
by *blast*
show *?thesis* **apply**(*rule exI[where x=k]*)
apply *safe* **apply** *fact*

proof –
fix $ps\ n$
assume $P\ (ps, n\ \text{div}\ k)$
with P **obtain** $ps'\ ps''\ m\ e\ e'$ **where** $1: (c, ps) \Rightarrow_A m \Downarrow ps' + ps''$
 $\#\#\ ps''$ **and** $e: k * (n\ \text{div}\ k) = k * e + e' + m$ **and** $Q: Q\ (ps', e)$
by *blast*
have $k * (n\ \text{div}\ k) \leq n$ **using** $k0$
by *simp*
then obtain e'' **where** $n = k * (n\ \text{div}\ k) + e''$ **using** *le_Suc_ex* **by**
blast
also have $\dots = k * e + e' + e'' + m$ **using** e **by** *auto*
finally have $n = k * e + (e' + e'') + m$ **and** $Q\ (ps', (k * e)\ \text{div}\ k)$ **using**
 $Q\ k0$ **by** *auto*
with 1
show $\exists ps'\ ps''\ m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge n$
 $= e + e' + m \wedge Q\ (ps', e\ \text{div}\ k)$ **by** *blast*
qed
qed

lemma *valid_alternative_with_GC*: **assumes** $(\forall ps\ n. P\ (ps, n))$
 $\longrightarrow (\exists ps'\ ps''\ m\ e\ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'')$
 $\wedge ps' \#\#\ ps'' \wedge n = e + e' + m$
 $\wedge Q\ (ps', e))$ **shows** $(\forall ps\ n. P\ (ps, n))$
 $\longrightarrow (\exists ps'\ m\ e. ((c, ps) \Rightarrow_A m \Downarrow ps'))$
 $\wedge n = e + m \wedge (Q\ **\ sep_true)\ (ps', e))$

proof *safe*
fix $ps\ n$
assume $P: P\ (ps, n)$
with *assms* **obtain** $ps'\ ps''\ m\ e\ e'$ **where** $c: (c, ps) \Rightarrow_A m \Downarrow ps' + ps''$

and

$ps: ps' \#\# ps''$ and $n: n = e + e' + m$ and $Q: Q (ps', e)$ by *blast*
show $\exists ps' m e. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge (Q \wedge* (\lambda s. True))$
 (ps', e)
apply(*rule exI*[**where** $x=ps' + ps''$])
apply(*rule exI*[**where** $x=m$])
apply(*rule exI*[**where** $x=e+e'$])
apply safe apply fact apply fact
unfolding sep_conj_def apply simp apply(*rule exI*[**where** $x=ps'$])
apply(*rule exI*[**where** $x=e$])
apply(*rule exI*[**where** $x=ps''$]) **apply safe apply fact apply**(*rule*
exI[**where** $x=e'$]) **apply simp**
apply fact done
qed

lemma *hoare3o_valid_GC*: $\models_{3'} \{P\} c \{ Q \} \Longrightarrow \models_{3'} \{P\} c \{ Q **$
sep_true

proof –

assume $\models_{3'} \{P\} c \{ Q \}$
then obtain k **where** $k>0$ **and** $P: \bigwedge ps n. P (ps, n) \Longrightarrow (\exists ps' ps'' m e$
 $e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k * n = k * e + e' + m \wedge$
 $Q (ps', e))$
unfolding *hoare3o_valid_def* **by** *blast*
show $\models_{3'} \{P\} c \{ Q ** sep_true \}$ **unfolding** *hoare3o_valid_def*
apply(*rule exI*[**where** $x=k$])
apply safe apply fact
proof –
fix $ps n$
assume $P (ps, n)$
with P **obtain** $ps' ps'' m e e'$ **where** $(c, ps) \Rightarrow_A m \Downarrow ps' + ps'' ps' \#\#$
 $ps'' k * n = k * e + e' + m$ **and** $Q: Q (ps', e)$
by *blast*

show $\exists ps' ps'' m e e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k *$
 $n = k * e + e' + m \wedge (Q \wedge* (\lambda s. True)) (ps', e)$
apply(*rule exI*[**where** $x=ps'$])
apply(*rule exI*[**where** $x=ps''$])
apply(*rule exI*[**where** $x=m$])
apply(*rule exI*[**where** $x=e$])
apply(*rule exI*[**where** $x=e'$])
apply safe apply fact+ unfolding sep_conj_def apply(*rule exI*[**where**
 $x=(ps', e)$]) **apply**(*rule exI*[**where** $x=0$]) **using** Q **by** *simp*
qed

qed

lemma *hoare3a_sound_GC*: $\vdash_{3a} \{P\} \ c \ \{ \ Q \} \implies \models_{3'} \{P\} \ c \ \{ \ Q \ ** \ sep_true \}$ **using** *hoare3o_valid_GC* *hoareT_sound2_partR* **by** *auto*

lemma *valid_wp*: $\models_{3'} \{P\} \ c \ \{ \ Q \} \implies (\exists k > 0. \forall s \ n. P \ (s, n) \longrightarrow wp_{3'} \ c \ (\lambda(ps, n). (Q \ ** \ sep_true) \ (ps, n \ div \ k)) \ (s, k * n))$

proof –

let $?P = \lambda k \ (ps, n). P \ (ps, n \ div \ k)$
let $?Q = \lambda k \ (ps, n). Q \ (ps, n \ div \ k)$
let $?QG = \lambda k \ (ps, n). (Q \ ** \ sep_true) \ (ps, n \ div \ k)$
assume $\models_{3'} \{P\} \ c \ \{ \ Q \}$
then obtain k **where** $k[simp]: k > 0$ **and** $(\forall ps \ n. P \ (ps, n \ div \ k) \longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'') \wedge ps' \ \#\#\ ps'' \wedge n = e + e' + m \wedge Q \ (ps', e \ div \ k)))$ **using** *hoare3o_valid_alt* **by** *blast*
then have $(\forall ps \ n. ?P \ k \ (ps, n) \longrightarrow (\exists ps' \ ps'' \ m \ e \ e'. ((c, ps) \Rightarrow_A m \Downarrow ps' + ps'') \wedge ps' \ \#\#\ ps'' \wedge n = e + e' + m \wedge ?Q \ k \ (ps', e)))$ **by** *auto*
then have $f: (\forall ps \ n. ?P \ k \ (ps, n) \longrightarrow (\exists ps' \ m \ e. ((c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m \wedge (?Q \ k \ ** \ sep_true) \ (ps', e))))$ **apply**(*rule valid_alternative_with_GC*) **done**

have $\bigwedge s \ n. P \ (s, n) \implies wp_{3'} \ c \ (\lambda(ps, n). (Q \ ** \ sep_true) \ (ps, n \ div \ k)) \ (s, k * n)$

unfolding *wp3'_def* **apply** *auto*

proof –

fix $ps \ n$
assume $P \ (ps, n)$
then have $P \ (ps, (k * n) \ div \ k)$ **apply** *simp* **done**
with f **obtain** $ps' \ m \ e$ **where** $((c, ps) \Rightarrow_A m \Downarrow ps' \wedge n = e + m)$ **and** $z: k * n = e + m$
and $Q: (?Q \ k \ ** \ sep_true) \ (ps', e)$ **by** *blast*
from z **have** $e: e = k * n - m$ **by** *auto*
from $Q[unfolded \ e \ sep_conj_def]$ **obtain** $ps1 \ ps2 \ e1 \ e2$ **where**
 $ps1 \ \#\#\ ps2 \ (ps' = ps1 + ps2)$ **and** $eq: k * n - m = e1 + e2$ **and**
 $Q: Q \ (ps1, e1 \ div \ k)$ **by** *force*

let $?f = (e1 + e2) \ div \ k - (e1 \ div \ k + (e2 \ div \ k))$

have $kl: (e1 + e2) \ div \ k \geq (e1 \ div \ k + (e2 \ div \ k))$ **using** k

using *div_add1_eq le_iff_add* **by** *blast*

```

show  $\exists t m. m \leq k * n \wedge (c, ps) \Rightarrow_A m \Downarrow t \wedge (Q \wedge * (\lambda s. True)) (t, (k$ 
 $* n - m) \text{ div } k)$ 
  apply(rule exI[where  $x=ps'$ ])
  apply(rule exI[where  $x=m$ ]) apply safe using z apply simp
  apply fact unfolding e unfolding sep_conj_def
  apply(rule exI[where  $x=(ps1, e1 \text{ div } k)$ ])
  apply(rule exI[where  $x=(ps2, e2 \text{ div } k + ?f)$ ]) apply auto apply fact+
  unfolding eq using kl
  apply force using Q by auto
qed
then show  $(\exists k > 0. \forall s n. P (s, n) \longrightarrow wp_{3'} c (\lambda(ps, n). (Q ** sep\_true)$ 
 $(ps, n \text{ div } k)) (s, k * n))$ 
  using k by metis
qed

```

```

theorem completeness:  $\models_{3'} \{P\} c \{ Q \} \Longrightarrow \vdash_{3b} \{P\} c \{ Q ** sep\_true \}$ 
proof –
  let ?P =  $\lambda k (ps, n). P (ps, n \text{ div } k)$ 
  let ?Q =  $\lambda k (ps, n). Q (ps, n \text{ div } k)$ 
  let ?QG =  $\lambda k (ps, n). (Q ** sep\_true) (ps, n \text{ div } k)$ 
  assume  $\models_{3'} \{P\} c \{ Q \}$ 
  then obtain k where  $k[simp]: k > 0$  and  $P: \bigwedge s n. P (s, n) \Longrightarrow wp_{3'} c$ 
 $(\lambda(ps, n). (Q ** sep\_true) (ps, n \text{ div } k)) (s, k * n)$ 
  using valid_wp by blast

  from wpT_is_pre have R:  $\vdash_{3a} \{wp_{3'} c (?QG k)\} c \{ ?QG k \}$  by auto

  show  $\vdash_{3b} \{P\} c \{ Q ** sep\_true \}$ 
  apply(rule hoare3b.conseq[OF hoare3b.import[OF R], where  $k=k$ ])
  subgoal for s n by (fact P)
  apply simp by (fact k)
qed

```

thm E_extendsR completeness

```

lemma completenessR:  $\models_{3'} \{P\} c \{ Q \} \Longrightarrow \vdash_{3'} \{P\} c \{ Q ** sep\_true \}$ 
  using E_extendsS completeness by metis

```

```

end
theory SepLogK_VCG
imports SepLogK_Hoare

```


begin

lemmas $conseqS = conseq[\textbf{where } k=1, \textit{simplified}]$

datatype $acom =$

$Askip \quad (\langle SKIP \rangle) \mid$
 $Aassign \ vname \ aexp \quad (\langle _ ::= _ \rangle \ [1000, 61] \ 61) \mid$
 $Aseq \ \ acom \ acom \quad (\langle _ ;; _ \rangle \ [60, 61] \ 60) \mid$
 $Aif \ bexp \ acom \ acom \quad (\langle IF \ _ / \ THEN \ _ / \ ELSE \ _ \rangle \ [0, 0, 61] \ 61) \mid$
 $Awhile \ assn2 \ bexp \ acom \quad (\langle \{ _ \} / \ WHILE \ _ / \ DO \ _ \rangle \ [0, 0, 61] \ 61)$

notation $com.SKIP \ (\langle SKIP \rangle)$

fun $strip :: acom \Rightarrow com$ **where**

$strip \ SKIP = SKIP \mid$
 $strip \ (x ::= a) = (x ::= a) \mid$
 $strip \ (C_1 ;; C_2) = (strip \ C_1 ;; strip \ C_2) \mid$
 $strip \ (IF \ b \ THEN \ C_1 \ ELSE \ C_2) = (IF \ b \ THEN \ strip \ C_1 \ ELSE \ strip \ C_2) \mid$
 $strip \ (\{ _ \} \ WHILE \ b \ DO \ C) = (WHILE \ b \ DO \ strip \ C)$

fun $pre :: acom \Rightarrow assn2 \Rightarrow assn2$ **where**

$pre \ SKIP \ Q = (\$1 \ ** \ Q) \mid$
 $pre \ (x ::= a) \ Q = ((\lambda(ps,t). \ x \in dom \ ps \wedge vars \ a \subseteq dom \ ps \wedge Q \ (ps(x \mapsto (paval \ a \ ps)),t)) \ ** \ \$1) \mid$
 $pre \ (C_1 ;; C_2) \ Q = pre \ C_1 \ (pre \ C_2 \ Q) \mid$
 $pre \ (IF \ b \ THEN \ C_1 \ ELSE \ C_2) \ Q = ($
 $\quad \$1 \ ** \ (\lambda(ps,n). \ vars \ b \subseteq dom \ ps \wedge (if \ pbval \ b \ ps \ then \ pre \ C_1 \ Q \ (ps,n)$
 $\quad \text{else} \ pre \ C_2 \ Q \ (ps,n))) \mid$
 $pre \ (\{I\} \ WHILE \ b \ DO \ C) \ Q = (I \ ** \ \$1)$

fun $vc :: acom \Rightarrow assn2 \Rightarrow bool$ **where**

$vc \ SKIP \ Q = True \mid$
 $vc \ (x ::= a) \ Q = True \mid$
 $vc \ (C_1 ;; C_2) \ Q = ((vc \ C_1 \ (pre \ C_2 \ Q)) \wedge (vc \ C_2 \ Q)) \mid$
 $vc \ (IF \ b \ THEN \ C_1 \ ELSE \ C_2) \ Q = (vc \ C_1 \ Q \wedge vc \ C_2 \ Q) \mid$
 $vc \ (\{I\} \ WHILE \ b \ DO \ C) \ Q = ((\forall s. \ (I \ s \longrightarrow vars \ b \subseteq dom \ (fst \ s)) \wedge$
 $\quad ((\lambda(s,n). \ I \ (s,n) \wedge lmaps_to_axpr \ b \ True \ s) \ s \longrightarrow pre \ C \ (I \ ** \ \$1 \ s)$
 $\quad \wedge (\lambda(s,n). \ I \ (s,n) \wedge lmaps_to_axpr \ b \ False \ s) \ s \longrightarrow Q \ s)) \wedge vc \ C$
 $\quad (I \ ** \ \$1))$

lemma $dollar0_left: (\$ \ 0 \wedge* \ Q) = Q$

```

apply rule unfolding dollar_def sep_conj_def
  by force

lemma vc_sound:  $vc\ C\ Q \implies \vdash_3, \{pre\ C\ Q\}\ strip\ C\ \{Q\}$ 
proof (induct C arbitrary: Q)
  case Askip
  then show ?case
    apply simp
    apply(rule conseqS[OF Frame[OF Skip]])
    by (auto simp: dollar0_left)
next
  case (Aassign x1 x2)
  then show ?case
    apply simp
    apply(rule conseqS)
    apply(rule Assign4)
    apply auto done
next
  case (Aseq C1 C2)
  then show ?case apply (auto intro: Seq) done
next
  case (Aif b C1 C2)
  then have Aif1:  $\vdash_3, \{pre\ C1\ Q\}\ strip\ C1\ \{Q\}$ 
    and Aif2:  $\vdash_3, \{pre\ C2\ Q\}\ strip\ C2\ \{Q\}$  by auto
  show ?case apply simp
    apply (rule conseqS)
    apply(rule If[where  $P = \%(ps, n). (if\ pbval\ b\ ps\ then\ pre\ C1\ Q\ (ps, n)$ 
else  $pre\ C2\ Q\ (ps, n)$ ) and  $Q = Q$ ])
    subgoal apply simp
    apply (rule conseqS) apply(fact Aif1) by auto
    subgoal apply simp
    apply (rule conseqS) apply(fact Aif2) by auto
    apply (auto simp: sep_conj_ac)
    unfolding sep_conj_def by blast
next
  case (Awhile I b C)
  then have
    dom :  $\bigwedge s. (I\ s \longrightarrow vars\ b \subseteq dom\ (fst\ s))$ 
    and i:  $\bigwedge s. (\lambda(s, n). I\ (s, n) \wedge lmaps\_to\_axpr\ b\ True\ s)\ s \longrightarrow pre\ C$ 
    ( $I\ **\ \$\ 1$ ) s
    and ii:  $\bigwedge s. (\lambda(s, n). I\ (s, n) \wedge lmaps\_to\_axpr\ b\ False\ s)\ s \longrightarrow Q\ s$ 
    and C:  $\vdash_3, \{pre\ C\ (I\ **\ \$\ 1)\}\ strip\ C\ \{I\ **\ \$\ 1\}$ 
    by fastforce+

```

```

show ?case
  apply simp
  apply(rule conseqS)
    apply(rule While[where P=I])
    apply(rule conseqS)
    apply(rule C)
  subgoal using i by auto
  subgoal apply simp using dom unfolding sep_conj_def by force
  subgoal apply simp using dom unfolding sep_conj_def by force
  subgoal using ii apply auto done
done
qed

lemma vc2valid: vc C Q  $\implies \forall s. P\ s \longrightarrow pre\ C\ Q\ s \implies \models_{3'} \{P\}\ strip\ C\ \{Q\}$ 
  using hoareT_sound2_part weakenpre vc_sound by metis

lemma pre_mono: assumes  $\forall s. P\ s \longrightarrow Q\ s$  shows  $\bigwedge s. pre\ C\ P\ s \implies pre\ C\ Q\ s$ 
  using assms proof(induct C arbitrary: P Q)
    case Askip
    then show ?case apply (auto simp: sep_conj_def dollar_def)
      by force
  next
    case (Aassign x1 x2)
    then show ?case by (auto simp: sep_conj_def dollar_def)
  next
    case (Aseq C1 C2)
    then show ?case by auto
  next
    case (Aif b C1 C2)
    then show ?case apply (auto simp: sep_conj_def dollar_def)
      subgoal for ps n
        apply(rule exI[where x=0])
        apply(rule exI[where x=1])
        apply(rule exI[where x=ps]) by auto
      done
  next
    case (Awhile x1 x2 C)
    then show ?case by auto
qed

lemma vc_mono: assumes  $\forall s. P\ s \longrightarrow Q\ s$  shows  $vc\ C\ P \implies vc\ C\ Q$ 

```

```

using assms proof(induct C arbitrary: P Q)
  case Askip
  then show ?case by auto
next
  case (Aassign x1 x2)
  then show ?case by auto
next
  case (Aseq C1 C2 P Q)
  then have i: vc C1 (pre C2 P) and ii: vc C2 P by auto
  from pre_mono[OF ] Aseq(4) have iii:  $\forall s. \text{pre } C2 \ P \ s \longrightarrow \text{pre } C2 \ Q \ s$ 
by blast
  show ?case apply auto
    using Aseq(1)[OF i iii] Aseq(2)[OF ii Aseq(4)] by auto
next
  case (Aif x1 C1 C2)
  then show ?case by auto
next
  case (Awhile I b C P Q)
  then show ?case by auto
qed

```

```

lemma vc_sound': vc C Q  $\implies$  ( $\bigwedge s \ n. P' (s, n) \implies \text{pre } C \ Q (s, k * n)$ )
 $\implies$  ( $\bigwedge s \ n. Q (s, n) \implies Q' (s, n \text{ div } k)$ )  $\implies 0 < k \implies \vdash_{3'} \{P'\} \text{strip } C \{Q'\}$ 
using conseq vc_mono vc_sound by metis

```

```

lemma pre_Frame: ( $\forall s. P \ s \longrightarrow \text{pre } C \ Q \ s$ )  $\implies$  vc C Q
 $\implies$  ( $\exists C'. \text{strip } C = \text{strip } C' \wedge \text{vc } C' (Q ** F) \wedge (\forall s. (P ** F) \ s \longrightarrow \text{pre } C' (Q ** F) \ s)$ )
proof (induct C arbitrary: P Q)
  case Askip
  show ?case
  proof (rule exI[where x=Askip], safe)
    fix a b
    assume (P  $\wedge$  * F) (a, b)
    then obtain ps1 ps2 n1 n2 where A: ps1 ## ps2 a=ps1+ps2 b=n1+n2
    and P: P (ps1,n1) and F: F (ps2,n2) unfolding sep_conj_def by
auto
    from P Askip have p: ( $\$ (Suc \ 0) \wedge * Q$ ) (ps1, n1) by auto

```

```

    from  $p \ A \ F$ 
    have  $((\$ (Suc \ 0) \wedge^* Q) \wedge^* F) \ (a, \ b)$ 
      apply(subst (2) sep_conj_def) by auto
    then show pre SKIP  $(Q \wedge^* F) \ (a, \ b)$  by (simp add: sep_conj_ac)
  qed simp
next
  case (Aassign  $x \ a$ )
  show ?case
  proof (rule exI[where  $x=Aassign \ x \ a$ ], safe)
    fix  $ps \ n$ 
    assume  $(P \wedge^* F) \ (ps, n)$ 
    then obtain  $ps1 \ ps2 \ n1 \ n2$  where  $o: ps1 \ ## \ ps2 \ ps=ps1+ps2 \ n=n1+n2$ 
      and  $P: P \ (ps1, n1)$  and  $F: F \ (ps2, n2)$  unfolding sep_conj_def by
  auto
    from  $P \ Aassign(1)$  have  $z: ((\lambda(ps, t). \ x \in dom \ ps \wedge vars \ a \subseteq dom \ ps$ 
 $\wedge Q \ (ps(x \mapsto paval \ a \ ps), \ t))$ 
       $\wedge^* \$ (Suc \ 0)) \ (ps1, \ n1)$ 
      by auto
    with  $o \ F$  show pre  $(x ::= a) \ (Q \wedge^* F) \ (ps, n)$  apply auto
      unfolding sep_conj_def dollar_def apply (auto)
      subgoal by(simp add: plus_fun_def)
      subgoal by(auto simp add: plus_fun_def)
      subgoal
        by (smt add_update_distrib dom_fun_upd domain_conv insert_dom
option.simps(3) paval_extend sep_disj_fun_def)
      done
    qed auto
  next
    case (Aseq  $C1 \ C2$ )
    from Aseq(3) have pre:  $\forall s. \ P \ s \longrightarrow pre \ C1 \ (pre \ C2 \ Q) \ s$  by auto
    from Aseq(4) have  $vc1: vc \ C1 \ (pre \ C2 \ Q)$  and  $vc2: vc \ C2 \ Q$  by auto
    from Aseq(1)[OF pre  $vc1$ ] obtain  $C1'$  where  $S1: strip \ C1 = strip \ C1'$ 
      and  $vc1': vc \ C1' \ (pre \ C2 \ Q \wedge^* F)$ 
      and  $I1: (\forall s. \ (P \wedge^* F) \ s \longrightarrow pre \ C1' \ (pre \ C2 \ Q \wedge^* F) \ s)$  by blast
    from Aseq(2)[of pre  $C2 \ Q \ Q$ , OF _  $vc2$ ] obtain  $C2'$  where  $S2: strip$ 
 $C2 = strip \ C2'$ 
      and  $vc2': vc \ C2' \ (Q \wedge^* F)$ 
      and  $I2: (\forall s. \ (pre \ C2 \ Q \wedge^* F) \ s \longrightarrow pre \ C2' \ (Q \wedge^* F) \ s)$  by blast

    show ?case apply(rule exI[where  $x=Aseq \ C1' \ C2'$ ])
      apply safe
      subgoal using  $S1 \ S2$  by auto
      subgoal apply simp apply safe

```

```

    subgoal using vc_mono[OF I2 vc1] .
    subgoal by (fact vc2')
done
subgoal using I1 I2 pre_mono
  by force
done
next
case (Aif b C1 C2)
from Aif(3) have i:  $\forall s. P\ s \longrightarrow$ 
  ( $\$ (Suc\ 0) \wedge^*$ 
    ( $\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge (if\ pbval\ b\ ps\ then\ pre\ C1\ Q\ (ps, n)$ 
else pre C2 Q (ps, n))))
  s by simp
from Aif(4) have vc1: vc C1 Q and vc2: vc C2 Q by auto
from Aif(1)[where P=pre C1 Q and Q=Q, OF _ vc1] obtain C1'
where
  s1: strip C1 = strip C1' and v1: vc C1' (Q  $\wedge^*$  F)
  and p1:  $(\forall s. (pre\ C1\ Q \wedge^* F)\ s \longrightarrow pre\ C1'\ (Q \wedge^* F)\ s)$ 
  by auto
from Aif(2)[where P=pre C2 Q and Q=Q, OF _ vc2] obtain C2'
where
  s2: strip C2 = strip C2' and v2: vc C2' (Q  $\wedge^*$  F)
  and p2:  $(\forall s. (pre\ C2\ Q \wedge^* F)\ s \longrightarrow pre\ C2'\ (Q \wedge^* F)\ s)$ 
  by auto

show ?case apply(rule exI[where x=Aif b C1' C2'])
proof safe
  fix ps n
  assume  $(P \wedge^* F)\ (ps, n)$ 
  then obtain ps1 ps2 n1 n2 where o: ps1 ## ps2 ps=ps1+ps2 n=n1+n2
    and P: P (ps1,n1) and F: F (ps2,n2) unfolding sep_conj_def by
auto
    from P i have P': ( $\$ (Suc\ 0) \wedge^*$ 
      ( $\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge (if\ pbval\ b\ ps\ then\ pre\ C1\ Q\ (ps, n)$ 
else pre C2 Q (ps, n))))
      (ps1,n1) by auto
    have PF: ( $\$ (Suc\ 0) \wedge^*$ 
      ( $\lambda(ps, n). vars\ b \subseteq dom\ ps \wedge (if\ pbval\ b\ ps\ then\ pre\ C1\ Q\ (ps, n)$ 
else pre C2 Q (ps, n)))) ** F)
      (ps,n) apply(subst (2) sep_conj_def)
      apply(rule exI[where x=(ps1,n1)])
      apply(rule exI[where x=(ps2,n2)])
      using F P' o by auto
    from this[simplified sep_conj_assoc] obtain ps1 ps2 n1 n2 where o:

```

```

ps1##ps2 ps=ps1+ps2 n=n1+n2
  and P: ($ (Suc 0)) (ps1,n1) and F: ((λ(ps, n). vars b ⊆ dom ps ∧ (if
pbval b ps then pre C1 Q (ps, n) else pre C2 Q (ps, n))) ∧* F) (ps2,n2)
  unfolding sep_conj_def apply auto by fast
  then have ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then (pre C1 Q
∧* F) (ps, n) else (pre C2 Q ∧* F) (ps, n)))) (ps2,n2)
  unfolding sep_conj_def apply auto
  apply (metis contra_subsetD domD map_add_dom_app_simps(1)
plus_fun_conv_sep_add_commute)
  using pbval_extend apply auto[1]
  apply (metis contra_subsetD domD map_add_dom_app_simps(1)
plus_fun_conv_sep_add_commute)
  using pbval_extend apply auto[1] done
  then have ((λ(ps, n). vars b ⊆ dom ps ∧ (if pbval b ps then (pre C1'
(Q ∧* F)) (ps, n) else (pre C2' (Q ∧* F)) (ps, n)))) (ps2,n2)
  using p1 p2 by auto
  with o P
  show pre (IF b THEN C1' ELSE C2') (Q ∧* F) (ps, n)
  apply auto apply(subst sep_conj_def) by force
qed (auto simp: s1 s2 v1 v2)
next
case (Awhile I b C)
from Awhile(2) have pre: ∀ s. P s ⟶ (I ** $1) s by auto
from Awhile(3) have
  dom: ∀ ps n. I (ps, n) ⟶ vars b ⊆ dom ps
  and tB: ∀ s. I s ∧ vars b ⊆ dom (fst s) ∧ pbval b (fst s) ⟶ pre C (I ∧*
$ (Suc 0)) s
  and fB: ∀ ps n. I (ps, n) ∧ vars b ⊆ dom ps ∧ ¬ pbval b ps ⟶ Q (ps,
n)
  and vcB: vc C (I ∧* $(Suc 0)) by auto
from Awhile(1)[OF tB vcB] obtain C' where st: strip C = strip C'
  and vc': vc C' ((I ∧* $ (Suc 0)) ∧* F)
  and pre': (∀ s. ((λa. I a ∧ vars b ⊆ dom (fst a) ∧ pbval b (fst a)) ∧* F)
s ⟶
    pre C' ((I ∧* $ (Suc 0)) ∧* F) s)
  by auto
show ?case apply(rule exI[where x=Awhile (I**F) b C'])
  apply safe
  subgoal using st by simp
  subgoal apply simp apply safe
    subgoal using dom unfolding sep_conj_def apply auto
      by (metis domD sep_substate_disj_add subState_subsetCE)
    subgoal using pre' apply(auto simp: sep_conj_ac)
      apply(subst (asm) sep_conj_def)

```

```

      apply(subst (asm) sep_conj_def) apply auto
    by (metis dom pbval_extend sep_add_commute sep_disj_commuteI)
    subgoal using fB unfolding sep_conj_def apply auto
      using dom pbval_extend by fastforce
    subgoal using vc' apply(auto simp: sep_conj_ac) done
  done
  subgoal apply simp using pre unfolding sep_conj_def apply auto
  by (smt semiring_normalization_rules(23) sep_add_assoc sep_add_commute
    sep_add_disjD sep_add_disjI1)
  done
qed

```

lemma *vc_complete*: $\vdash_{3a} \{P\} \ c \ \{ \ Q \} \implies (\exists C. \ vc \ C \ Q \wedge (\forall s. \ P \ s \longrightarrow pre \ C \ Q \ s) \wedge strip \ C = c)$

proof(*induct rule: hoare3a.induct*)

case *Skip*

then show ?*case* **apply**(*rule exI[where x=Askip]*) **by** *auto*

next

case (*Assign4 x a Q*)

then show ?*case* **apply**(*rule exI[where x=Aassign x a]*) **by** *auto*

next

case (*If P b c₁ Q c₂*)

from *If(2)* **obtain** *C1* **where** *A1: vc C1 Q strip C1 = c₁* **and**

A2: $\bigwedge ps \ n. (P \ (ps, \ n) \wedge lmaps_to_axpr \ b \ True \ ps) \longrightarrow pre \ C1 \ Q \ (ps, \ n)$

by *blast*

from *If(4)* **obtain** *C2* **where** *B1: vc C2 Q strip C2 = c₂* **and** *B2:*

$\bigwedge ps \ n. (P \ (ps, \ n) \wedge lmaps_to_axpr \ b \ False \ ps) \longrightarrow pre \ C2 \ Q \ (ps, \ n)$

by *blast*

show ?*case* **apply**(*rule exI[where x=Aif b C1 C2]*) **using** *A1 B1* **apply** *auto*

subgoal for *ps n*

unfolding *sep_conj_def dollar_def* **apply** *auto*

apply(*rule exI[where x=0]*)

apply(*rule exI[where x=1]*)

apply(*rule exI[where x=ps]*)

using *A2 B2* **by** *auto*

done

next


```

case (Frame  $P \ C \ Q \ F$ )
then obtain  $C'$  where  $vc$ :  $vc \ C' \ Q$  and  $pre$ :  $(\forall s. P \ s \longrightarrow pre \ C' \ Q \ s)$ 
and  $strip$ :  $strip \ C' = C$  by auto
show ?case using  $pre\_Frame[OF \ pre \ vc]$   $strip$  by metis
next
case (Seq  $P \ c_1 \ Q \ c_2 \ R$ )
from Seq(2) obtain  $C1$  where  $A1$ :  $vc \ C1 \ Q \ strip \ C1 = c_1$  and
 $A2$ :  $\bigwedge s. P \ s \longrightarrow pre \ C1 \ Q \ s$ 
by blast
from Seq(4) obtain  $C2$  where  $B1$ :  $vc \ C2 \ R \ strip \ C2 = c_2$  and
 $B2$ :  $\bigwedge s. Q \ s \longrightarrow pre \ C2 \ R \ s$ 
by blast
show ?case apply(rule exI[where  $x=Aseq \ C1 \ C2$ ])
using  $B1 \ A1$  apply auto
subgoal using  $vc\_mono \ B2$  by auto
subgoal apply(rule  $pre\_mono[where \ P=Q]$ ) using  $B2$  apply auto
using  $A2$  by auto
done
next
case (While  $I \ b \ c$ )
then obtain  $C$  where 1:  $vc \ C \ ((\lambda(s, n). I \ (s, n) \wedge vars \ b \subseteq dom \ s) \wedge * \ \$ \ 1)$ 
 $strip \ C = c$  and 2:
 $\bigwedge ps \ n. (I \ (ps, n) \wedge lmaps\_to\_axpr \ b \ True \ ps) \longrightarrow$ 
 $pre \ C \ ((\lambda(s, n). I \ (s, n) \wedge vars \ b \subseteq dom \ s) \wedge * \ \$ \ 1) \ (ps, n)$  by
blast

show ?case apply(rule exI[where  $x=Awhile \ (\lambda(s, n). I \ (s, n) \wedge vars \ b \subseteq dom \ s) \ b \ C$ ])
using 1 2 by auto
next
case (conseqS  $P \ c \ Q \ P' \ Q'$ )
then obtain  $C'$  where  $C'$ :  $vc \ C' \ Q \ (\forall s. P \ s \longrightarrow pre \ C' \ Q \ s) \ strip \ C' =$ 
 $c$ 
by blast
show ?case apply(rule exI[where  $x=C'$ ])
using  $C' \ conseqS(3,4) \ pre\_mono \ vc\_mono$  by force
qed

```

theorem *vc_completeness*:
assumes $\models_{3'} \{P\} \ c \ \{Q\}$

```

shows  $\exists C k. vc\ C\ (Q\ **\ sep\_true)$ 
       $\wedge (\forall ps\ n. P\ (ps, n) \longrightarrow pre\ C\ (\lambda(ps, n). (Q\ **\ sep\_true)\ (ps, n\ div\ k))\ (ps, k * n))$ 
       $\wedge strip\ C = c$ 
proof –
  let  $?QG = \lambda k\ (ps, n). (Q\ **\ sep\_true)\ (ps, n\ div\ k)$ 
  from assms obtain k where  $k[simp]: k > 0$  and  $p: \bigwedge ps\ n. P\ (ps, n) \implies wp_3'\ c\ (\lambda(ps, n). (Q\ **\ sep\_true)\ (ps, n\ div\ k))\ (ps, k * n)$ 
  using valid_wp by blast

  from wpT_is_pre have  $R: \vdash_{3a} \{wp_3'\ c\ (?QG\ k)\}\ c\ \{?QG\ k\}$  by auto

  have  $z: (\forall s. (\lambda(ps, n). (Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k))\ s) \implies (\forall s. (\lambda(ps, n). (Q\ \wedge* (\lambda s. True))\ (ps, n))\ s)$ 
  by (metis (no_types) case_prod_conv k_neq0_conv nonzero_mult_div_cancel_left old.prod.exhaust)

  have  $z: \bigwedge ps\ n. ((Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k) \implies (Q\ \wedge* (\lambda s. True))\ (ps, n))$ 
proof –
  fix ps n
  assume  $(Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k)$ 
  then obtain ps1 n1 ps2 n2
  where  $o: ps1\ \#\#\ ps2\ ps = ps1 + ps2\ Q\ (ps1, n1)\ n\ div\ k = n1 + n2$ 
  unfolding sep_conj_def by auto
  from o(4) have  $nn1: n \geq n1$  using k
  by (metis (full_types) add_leE div_le_dividend)
  show  $(Q\ \wedge* (\lambda s. True))\ (ps, n)$  unfolding sep_conj_def
  apply(rule exI[where  $x=(ps1, n1)$ ])
  apply(rule exI[where  $x=(ps2, n - n1)$ ])
  using o nn1 by auto
qed
  then have  $z': \forall s. ((Q\ \wedge* (\lambda s. True))\ (fst\ s, (snd\ s)\ div\ k) \longrightarrow (Q\ \wedge* (\lambda s. True))\ s)$ 
  by (metis prod.collapse)

  from vc_complete[OF R] obtain C
  where  $o: vc\ C\ (\lambda(ps, n). (Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k))$ 
   $\forall a\ b. wp_3'\ (strip\ C)\ (\lambda(ps, n). (Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k))\ (a, b)$ 
 $\longrightarrow$ 
   $pre\ C\ (\lambda(ps, n). (Q\ \wedge* (\lambda s. True))\ (ps, n\ div\ k))\ (a, b)$ 
   $c = strip\ C$  by auto

```

```

have  $y$ :  $\bigwedge ps\ n. P\ (ps, n) \implies pre\ C\ (\lambda(ps, n). (Q \wedge * (\lambda s. True))\ (ps, n\ div\ k))\ (ps, k * n)$ 
using  $o\ p$  by metis

show ?thesis apply(rule exI[where  $x=C$ ]) apply(rule exI[where  $x=k$ ])
apply safe
subgoal apply(rule vc_mono[OF  $\_o(1)$ ]) using  $z$  by blast
subgoal using  $y$  by blast
subgoal using  $o$  by simp
done
qed

end

```

10 Discussion

10.1 Relation between the explicit Hoare logics

```

theory Discussion
imports Quant_Hoare SepLog_Hoare
begin

```

10.1.1 Relation SepLogic to quantHoare

```

definition em where  $em\ P' = (\% (ps, n). P' (emb\ ps\ (\% \_.\ 0)) \leq enat\ n)$ 

```

```

lemma assumes  $s$ :  $\models_3 \{ em\ P' \}\ c\ \{ em\ Q' \}$ 
shows  $\models_2 \{ P' \}\ c\ \{ Q' \}$ 
proof  $-$ 
  from  $s$  have  $s'$ :  $\bigwedge ps\ n. em\ P' (ps, n) \implies (\exists ps'\ m. (c, ps) \Rightarrow_A m \Downarrow ps' \wedge m \leq n \wedge em\ Q' (ps', n - m))$  unfolding hoare3_valid_def by auto
  {
    fix  $s$ 
    assume  $P'$ :  $P'\ s < \infty$ 
    then obtain  $n$  where  $n$ :  $P'\ s = enat\ n$ 
    by fastforce
    with  $P'$  have  $em\ P' (part\ s, n)$  unfolding em_def by auto
    with  $s'$  obtain  $ps'\ m$  where  $c$ :  $(c, part\ s) \Rightarrow_A m \Downarrow ps'$  and  $m$ :  $m \leq n$ 
and  $Q'$ :  $em\ Q' (ps', n - m)$  by blast

    from  $Q'$  have  $q$ :  $Q' (emb\ ps' (\lambda \_.\ 0)) \leq enat\ (n - m)$  unfolding
em_def by auto

```

```

thm full_to_part part_to_full
have i:  $(c, s) \Rightarrow m \Downarrow \text{emb } ps' (\lambda \_ . 0)$  using part_to_full'[OF c] apply
simp done

```

```

have ii:  $\text{enat } m + Q' (\text{emb } ps' (\lambda \_ . 0)) \leq P' s$  unfolding n using q
m

```

```

using enat_ile by fastforce

```

```

from i ii have  $(\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge \text{enat } p + Q' t \leq P' s)$  by auto
} then
show ?thesis unfolding hoare2_valid_def by blast
qed

```

```

end

```

10.2 Relation between the Hoare logics in big-O style

```

theory DiscussionO
imports SepLogK_Hoare QuantK_Hoare Nielson_Hoare
begin

```

10.2.1 Relation Nielson to quantHoare

```

definition emN ::  $qassn \Rightarrow \text{Nielson\_Hoare.assn2}$  where  $\text{emN } P = (\lambda l s. P s < \infty)$ 

```

```

lemma assumes  $s: \models_1 \{ \text{emN } P' \} c \{ \%s. (THE e. \text{enat } e = P' s - Q' (THE t. (\exists n. (c, s) \Rightarrow n \Downarrow t))) \Downarrow \text{emN } Q' \} \}$  (is  $\models_1 \{ ?P \} c \{ ?e \Downarrow ?Q \}$ )
shows  $\text{quantNielson}: \models_{2'} \{ P' \} c \{ Q' \}$ 

```

```

proof –

```

```

from s obtain k where  $k: k > 0$  and  $qd: \bigwedge l s. \text{emN } P' l s \Longrightarrow (\exists t p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k * ?e s \wedge \text{emN } Q' l t)$ 
unfolding hoare1_valid_def by blast

```

```

show ?thesis unfolding QuantK_Hoare.hoare2o_valid_def
apply(rule exI[where x=k])
apply safe apply fact

```

```

proof –

```

```

fix s

```

```

assume  $P': P' s < \infty$ 

```

```

then have (emN P') (λ_. 0) s unfolding emN_def by auto
with qd obtain p t where i: (c, s) ⇒ p ↓ t and p: p ≤ k * ?e s and
e: emN Q' (λ_. 0) t
  by blast
have t: ↓s (c, s) = t using bigstepT_the_state[OF i] by auto

from P' obtain pre where pre: P' s = enat pre by fastforce
from e have Q' t < ∞ unfolding emN_def by auto
then obtain post where post: Q' t = enat post by fastforce

have p > 0 using i bigstep_progress by auto

thm enat.inject idiff_enat_enat the_equality
have k: (THE e. enat e = P' s - Q' (THE t. ∃ n. (c, s) ⇒ n ↓ t)) =
pre - post
  unfolding t pre post apply(rule the_equality)
  using idiff_enat_enat by auto
with p have ieg: p ≤ k * (pre - post) by auto
then have p + k * post ≤ k * pre using ⟨p>0⟩
  using diff_mult_distrib2 by auto
then
  have ii: enat p + k * Q' t ≤ k * P' s unfolding post pre by simp

from i ii show (∃ t p. (c, s) ⇒ p ↓ t ∧ enat p + k * Q' t ≤ k * P' s)
by auto
qed
qed

```

```

lemma assumes s: ⊨2' { %s . emb (∀ l. P l s) + enat (e s) } c { %s. emb
(∀ l. Q l s) } (is ⊨2' { ?P } c { ?Q })
  and sP: ∧ l t. P l t ⇒ ∀ l. P l t
  and sQ: ∧ l t. Q l t ⇒ ∀ l. Q l t
shows NielsonQuant: ⊨1 { P } c { e ↓ Q }
proof -
  from s obtain k where k: k > 0 and qd: ∧ s. ?P s < ∞ ⟶ (∃ t p. (c, s)
⇒ p ↓ t ∧ enat p + enat k * ?Q t ≤ enat k * ?P s)
  unfolding QuantK_Hoare.hoare2o_valid_def by blast

show ?thesis unfolding hoare1_valid_def
  apply(rule exI[where x=k])
  apply safe apply fact

```

```

proof –
  fix  $l\ s$ 
  assume  $P'$ :  $P\ l\ s$ 
  then have  $aP$ :  $\forall l. P\ l\ s$  using  $sP$  by auto
  then have  $P$ :  $?P\ s < \infty$  by auto
  with  $qd$  obtain  $p\ t$  where  $i$ :  $(c, s) \Rightarrow p \Downarrow t$  and  $p$ :  $enat\ p + enat\ k * ?Q\ t \leq enat\ k * ?P\ s$ 
    by blast
  have  $t$ :  $\downarrow_s (c, s) = t$  using  $bigstepT\_the\_state[OF\ i]$  by auto

  from  $P$  have  $Q$ :  $Q\ l\ t$  using  $p\ k$ 
    apply auto
    by (metis (full_types) emb.simps(1) enat_ord_simps(2) imult_is_infinity_infinity_ileE not_less_zero_plus_enat_simps(3))
  with  $sQ$  have  $\forall l. Q\ l\ t$  by auto
  then have  $?Q\ t = 0$  by auto
  with  $p$  have  $enat\ p \leq enat\ k * ?P\ s$  by auto
  with  $aP$  have  $p'$ :  $p \leq k * e\ s$  by auto

  from  $i\ Q\ p'$  show  $\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge p \leq k * e\ s \wedge Q\ l\ t$  by blast

qed
qed

```

10.2.2 Relation SepLogic to quantHoare

definition $em :: qassn \Rightarrow (pstate_t \Rightarrow bool)$ **where**
 $em\ P = (\% (ps, n). (\forall ex. P\ (Partial_Evaluation.emb\ ps\ ex) \leq enat\ n))$

lemma **assumes** s : $\models_{3'} \{ em\ P \}\ c\ \{ em\ Q \}$
shows $\models_{2'} \{ P \}\ c\ \{ Q \}$

proof –

```

  from  $s$  obtain  $k$  where  $k$ :  $0 < k$  and  $s'$ :  $\bigwedge ps\ n. em\ P\ (ps, n) \Longrightarrow (\exists ps'\ ps''\ m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge k * n = k * e + e' + m \wedge em\ Q\ (ps', e))$  unfolding  $hoare3o\_valid\_def$  by auto
  {
    fix  $s$ 
    assume  $P$ :  $P\ s < \infty$ 
    then obtain  $n$  where  $n$ :  $P\ s = enat\ n$ 
      by fastforce
    with  $P$  have  $em\ P\ (part\ s, n)$  unfolding  $em\_def$  by auto
    with  $s'$  obtain  $ps'\ ps''\ m\ e\ e'$  where  $c$ :  $(c, part\ s) \Rightarrow_A m \Downarrow ps' + ps''$ 
    and  $orth$ :  $ps' \#\#\ ps''$ 
      and  $m$ :  $k * n = k * e + e' + m$  and  $Q$ :  $em\ Q\ (ps', e)$  by blast
  }

```

from Q **have** q : Q ($Partial_Evaluation.emb\ ps' (Partial_Evaluation.emb\ ps'' (\lambda_ . 0))) \leq enat\ (e)$ **unfolding** em_def **by** $auto$

have z : ($Partial_Evaluation.emb\ ps' (Partial_Evaluation.emb\ ps'' (\lambda_ . 0))) = (Partial_Evaluation.emb\ (ps'+ps'') (\lambda_ . 0))$
unfolding $Partial_Evaluation.emb_def$ **apply** ($auto\ simp: plus_fun_def$)
apply ($rule\ ext$) **subgoal for** v **apply** ($cases\ ps'\ v$) **apply** $auto$ **using** $orth$ **by** ($auto\ simp: sep_disj_fun_def\ domain_conv$) **done**

from $q\ z$ **have** q : $enat\ k * Q\ (Partial_Evaluation.emb\ (ps'+ps'') (\lambda_ . 0)) \leq enat\ k * enat\ e$ **using** k
by ($metis\ i0_lb\ mult_left_mono$)

have i : (c, s) $\Rightarrow m \Downarrow (Partial_Evaluation.emb\ (ps'+ps'') (\lambda_ . 0))$ **using** $part_to_full'[OF\ c]$ **by** $simp$

have ii : $enat\ m + enat\ k * Q\ (Partial_Evaluation.emb\ (ps'+ps'') (\lambda_ . 0)) \leq enat\ k * P\ s$ **unfolding** n **using** $q\ m$
using $enat_ile$ **by** $fastforce$

from $i\ ii$ **have** ($\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge enat\ p + enat\ k * Q\ t \leq enat\ k * P\ s$) **by** $auto$
} **note** $B=this$
show $?thesis$ **unfolding** $QuantK_Hoare.hoare2o_valid_def$
apply($rule\ exI[where\ x=k],\ safe$) **apply** $fact$
apply ($fact\ B$) **done**
qed

definition $embe :: (pstate_t \Rightarrow bool) \Rightarrow qassn$ **where**
 $embe\ P = (\%s. Inf\ \{enat\ n | n. P\ (part\ s,\ n)\})$

lemma **assumes** $s: \models_{2'} \{embe\ P\} c \{embe\ Q\}$ **and** $full: \bigwedge ps\ n. P\ (ps, n) \Rightarrow dom\ ps = UNIV$

shows $\models_{3'} \{P\} c \{Q\}$

proof –

from s **obtain** k **where** $k: k > 0$ **and** $s: \bigwedge s. embe\ P\ s < \infty \longrightarrow (\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge enat\ p + enat\ k * embe\ Q\ t \leq enat\ k * embe\ P\ s)$
unfolding $QuantK_Hoare.hoare2o_valid_def$ **by** $auto$

{ **fix** $ps\ n$
let $?s = (Partial_Evaluation.emb\ ps\ (\lambda_ . 0))$
assume $P: P\ (ps, n)$

```

with full have dom ps = UNIV by auto
then have ps: part ?s = ps by simp
from P have l': ( $\{ \text{enat } n \mid n. P (ps, n) \} = \{ \}$ ) = False by auto
have t: embe P ?s <  $\infty$  unfolding embe_def Inf_enat_def ps l'
  apply(rule ccontr) using l' apply auto
  by (metis (mono_tags, lifting) Least_le infinity_ileE)
with s obtain t p where c: (c, ?s)  $\Rightarrow$  p  $\Downarrow$  t and ineq: enat p + enat k
* embe Q t  $\leq$  enat k * embe P ?s by blast
from t obtain z where z: embe P ?s = enat z
  using less_infinityE by blast
with ineq obtain y where y: embe Q t = enat y
  using k by fastforce
then have l: embe Q t <  $\infty$  by auto
  then have zz: ( $\{ \text{enat } n \mid n. Q (\text{part } t, n) \} = \{ \}$ ) = False unfolding
embe_def Inf_enat_def apply safe by simp
from y have Q (part t, y) unfolding embe_def zz Inf_enat_def apply
auto
  using zz apply auto by (smt Collect_empty_eq LeastI enat.inject)

from full_to_part[OF c] ps have c': (c, ps)  $\Rightarrow_A$  p  $\Downarrow$  part t by auto

have  $\bigwedge P n. P (n::\text{nat}) \implies (\text{LEAST } n. P n) \leq n$  apply(rule Least_le)
by auto

from z P have zn: z  $\leq$  n unfolding embe_def ps unfolding embe_def
Inf_enat_def l'
  apply auto
  by (metis (mono_tags, lifting) Least_le enat_ord_simps(1))

from ineq z y have enat p + enat k * y  $\leq$  enat k * z by auto
then have p + k * y  $\leq$  k * z by auto
also have ...  $\leq$  k * n using zn k by simp
finally obtain e' where k * n = k * y + e' + p using k by (metis
add.assoc add.commute le_iff_add)

have  $\exists ps' ps'' m e e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k * n$ 
= k * e + e' + m  $\wedge$  Q (ps', e)
  apply(rule exI[where x=part t])
  apply(rule exI[where x=0])
  apply(rule exI[where x=p])
  apply(rule exI[where x=y])
  apply(rule exI[where x=e']) apply auto by fact+
}

```


show *?thesis unfolding hoare3o_valid_def* **apply**(*rule exI[where x=k], safe*)
apply *fact* **by** *fact*
qed

10.3 A General Validity Predicate with Time

definition *valid* **where**

$$valid\ P\ c\ Q\ n = (\forall s. P\ s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq n \wedge Q\ s'))$$

definition *validk* **where**

$$validk\ P\ c\ Q\ n = (\exists k > 0. (\forall s. P\ s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q\ s')))$$

lemma *validk P c Q n = (∃ k > 0. valid P c Q (k * n))*

unfolding *valid_def validk_def* **by** *simp*

10.3.1 Relation between valid predicate and Quantitative Hoare Logic

lemma $\models_{2'} \{ \%s. emb\ (P\ s) + enat\ n \}\ c\ \{ \%s. emb\ (Q\ s) \} \Longrightarrow \exists k > 0. valid\ P\ c\ Q\ (k * n)$

proof –

assume *valid*: $\models_{2'} \{ \%s. \uparrow (P\ s) + enat\ n \}\ c\ \{ \%s. \uparrow (Q\ s) \}$
then obtain *k* **where** *val*: $\bigwedge s. \uparrow (P\ s) + enat\ n < \infty \Longrightarrow (\exists t\ p. (c, s) \Rightarrow p \Downarrow t \wedge enat\ p + enat\ k * \uparrow (Q\ t) \leq enat\ k * (\uparrow (P\ s) + enat\ n))$
and *k*: *k* > 0 **unfolding** *QuantK_Hoare.hoare2o_valid_def* **by** *blast*
{
fix *s*
assume *Ps*: *P s*
then have $\uparrow (P\ s) + enat\ n < \infty$ **by** *auto*
with *val* **obtain** *t m* **where**
 $c: (c, s) \Rightarrow m \Downarrow t$ **and** $enat\ m + k * \uparrow (Q\ t) \leq k * (\uparrow (P\ s) + enat\ n)$ **by** *blast*

then have $m \leq k * n \wedge Q\ t$ **using** *k*
using *Ps add.commute add.right_neutral emb.simps(1) emb.simps(2) enat_ord_simps(1) infinity_ileE plus_enat_simps(3)*
by (*metis (full_types) mult_zero_right not_gr_zero times_enat_simps(1) times_enat_simps(4)*)

with *c*

```

    have ( $\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q s'$ ) by blast
  } note bla=this
  show  $\exists k > 0. \text{valid } P \ c \ Q \ (k * n)$  unfolding valid_def apply(rule exI[where
 $x=k$ ]) using bla k by auto
qed

```

```

lemma valid_quantHoare:  $\exists k > 0. \text{valid } P \ c \ Q \ (k * n) \Longrightarrow \models_{2'} \{ \%s. \text{emb } (P \ s) \ + \ \text{enat } n \} \ c \ \{ \ \%s. \text{emb } (Q \ s) \}$ 
proof –
  assume  $\exists k > 0. \text{valid } P \ c \ Q \ (k * n)$ 
  then obtain k where valid:  $\text{valid } P \ c \ Q \ (k * n)$  and k:  $k > 0$  by blast
  {
    fix s
    assume  $( \%s. \text{emb } (P \ s) \ + \ \text{enat } n) \ s < \infty$ 
    then have Ps:  $P \ s$  apply auto
    by (metis emb.elims enat.distinct(2) enat.simps(5) enat_defs(4))
    with valid[unfolded valid_def] obtain t m where
       $c: (c, s) \Rightarrow m \Downarrow t$  and  $m \leq k * n \wedge Q \ t$  by blast
    then have  $\text{enat } m \ + \ k * \uparrow (Q \ t) \leq k * (\uparrow (P \ s) \ + \ \text{enat } n)$  using Ps
  by simp
  with c
    have ( $\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge \text{enat } m \ + \ \text{enat } k * \uparrow (Q \ s') \leq \text{enat } k * (\uparrow (P \ s) \ + \ \text{enat } n)$ ) by blast
  } note funk=this
  show  $\models_{2'} \{ \%s. \text{emb } (P \ s) \ + \ \text{enat } n \} \ c \ \{ \ \%s. \text{emb } (Q \ s) \}$  unfolding
  QuantK_Hoare.hoare2o_valid_def
  apply(rule exI[where  $x=k$ ]) using funk k by auto
qed

```

10.3.2 Relation between valid predicate and Hoare Logic based on Separation Logic

definition $\text{embP2 } P = (\% (ps, n). \forall s. \ P \ (Partial_Evaluation.\text{emb } ps \ s) \wedge n = 0)$

definition $\text{embP3 } P = (\% (ps, n). \text{dom } ps = UNIV \wedge (\forall s. \ P \ (Partial_Evaluation.\text{emb } ps \ s)) \wedge n = 0)$

```

lemma emp:  $a \ + \ Map.empty = a$ 
  by (simp add: plus_fun_conv)

```

lemma oneway: $\models_{3'} \{ \text{embP3 } P \ ** \ \$n \} \ c \ \{ \text{embP2 } Q \} \Longrightarrow \text{validk } P \ c \ Q \ n$

```

proof –
  assume partial_true:  $\models_{3'} \{ \text{embP3 } P \ ** \ \$n \} \ c \ \{ \text{embP2 } Q \}$ 

```

from *partial_true*[*unfolded_hoare3o_valid_def*] **obtain** *k* **where** *k*: *k* > 0
and
 $q : \forall ps\ na. (embP3\ P \wedge * \$\ n) (ps, na) \longrightarrow$
 $(\exists ps'\ ps''\ m\ e\ e'. (c, ps) \Rightarrow_A m \Downarrow ps' + ps'' \wedge ps' \#\#\ ps'' \wedge$
 $k * na = k * e + e' + m \wedge embP2\ Q (ps', e))$ **by** *blast*
{ **fix** *s*
assume *P s*
then have *g*: (*embP3 P* $\wedge * \$\ n$) (*part s*, *n*)
unfolding *embP3_def* *dollar_def* *sep_conj_def* **by** *auto*
from *q g*
obtain *ps' ps'' m e e'* **where** *pbig*: (*c, part s*) $\Rightarrow_A m \Downarrow ps' + ps''$ **and**
orth: *ps' ## ps''*
and *ii*: $k * n = k * e + e' + m$ **and** *erg*: *embP2 Q (ps', e)* **by** *blast*

have *ii'*: $m \leq k * n$ **using** *ii* **by** *auto*

from *part_to_full*'[*OF pbig*] **have** *i*: (*c, s*) $\Rightarrow m \Downarrow Partial_Evaluation.emb$
(*ps' + ps''*) *s* **by** *simp*

from *erg* **have** *z2*: $\wedge s. Q (Partial_Evaluation.emb\ ps'\ s)$ **unfolding**
embP2_def **by** *auto*
have *Partial_Evaluation.emb (ps' + ps'') s = Partial_Evaluation.emb*
(*ps'' + ps'*) *s*
using *orth* **by** (*simp add: sep_add_commute*)
also have *Partial_Evaluation.emb (ps'' + ps') s = Partial_Evaluation.emb*
(*ps'*) (*Partial_Evaluation.emb (ps'') s*)
apply *rule*
unfolding *emb_def plus_fun_conv map_add_def*
by (*metis option.case_eq_if option.simps(5)*)
finally have *z*: *Partial_Evaluation.emb (ps' + ps'') s = Partial_Evaluation.emb*
(*ps'*) (*Partial_Evaluation.emb (ps'') s*) .
have *iii*: *Q (Partial_Evaluation.emb (ps' + ps'') s)* **unfolding** *z* **apply**
(*fact*) .

from *i ii' iii*
have $\exists s'\ m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q\ s'$ **by** *auto*
}
with *k* **show** *validk P c Q n* **unfolding** *validk_def* **by** *blast*
qed

lemma *theother*: *validk P c Q n* $\implies \models_{3'} \{embP3\ P ** \$\ n\} c \{embP2\ Q\}$
proof –
assume *valid*: *validk P c Q n*

then obtain k where $k : k > 0$ and $v : (\forall s. P\ s \longrightarrow (\exists s' m. (c, s) \Rightarrow m \Downarrow s' \wedge m \leq k * n \wedge Q\ s'))$
unfolding *validk_def* by *blast*

{ fix $ps\ na$
assume $an : (embP3\ P \wedge * \$\ n)\ (ps, na)$
have $dom : dom\ ps = UNIV$ and $Pps : \bigwedge s. P\ (Partial_Evaluation.emb\ ps\ s)$ and $nan : na = n$ using an unfolding *sep_conj_def*
by $(auto\ simp : embP3_def\ dollar_def)$

from $v\ Pps$
obtain $s'\ m$ where $big : (c, (Partial_Evaluation.emb\ ps\ (\%_.\ 0))) \Rightarrow m \Downarrow s'$ and $ii : m \leq k * n$ and $erg : Q\ s'$ by *blast*

have $part\ (Partial_Evaluation.emb\ ps\ (\lambda_.\ 0)) = ps$ using dom by *simp*
with $full_to_part[OF\ big]$ have $i : (c, ps) \Rightarrow_A\ m \Downarrow part\ s'$ by *auto*

have $iii : embP2\ Q\ (part\ s', 0)$
unfolding *embP2_def* apply *auto* by *fact*

have $k * na = k * n - m + m$ using $ii\ k\ nan$ by *simp*

have $(\exists ps'\ ps'' m\ e\ e'. (c, ps) \Rightarrow_A\ m \Downarrow ps' + ps'' \wedge ps' \#\# ps'' \wedge k * na = k * e + e' + m \wedge embP2\ Q\ (ps', e))$
apply $(rule\ exI[where\ x=part\ s'])$
apply $(rule\ exI[where\ x=0])$
apply $(rule\ exI[where\ x=m])$
apply $(rule\ exI[where\ x=0])$
apply $(rule\ exI[where\ x=k * n - m])$ apply *auto*
by *fact+*

}
with k show $\models_{3'} \{embP3\ P ** \$n\}\ c\ \{embP2\ Q\}$ unfolding *hoare3o_valid_def*
by *blast*
qed

lemma *validk* $P\ c\ Q\ n \longleftrightarrow \models_{3'} \{embP3\ P ** \$n\}\ c\ \{embP2\ Q\}$
using *oneway* and *theother* by *metis*

```

end
theory Hoare_Time imports

```

```

Nielson_Hoare
Nielson_VCG
Nielson_VCGi
Nielson_VCGi_complete
Nielson_Examples
Nielson_Sqrt

```

```

Quant_Hoare
Quant_VCG
Quant_Examples

```

```

QuantK_Hoare
QuantK_VCG
QuantK_Examples
QuantK_Sqrt

```

```

SepLog_Hoare
SepLog_Examples
SepLogK_Hoare
SepLogK_VCG

```

```

Discussion
DiscussionO

```

```

begin end

```

References

- [HN18] Maximilian Paul Louis Haslbeck and Tobias Nipkow. Hoare logics for time bounds. In M. Huisman and D. Beyer, editors, *Tools and Algorithms for the Construction and Analysis of Systems (TACAS 2018)*, LNCS. Springer, 2018. To appear.