

The Gelfand–Naimark–Segal Construction

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Abstract

This entry formalises complete normed algebras equipped with an involution, so-called C^* -algebras. We provide both a class definition, and a locale for C^* -algebras on carrier sets in the spirit of existing developments of linear algebra and smooth manifolds. Bounded operators on a complex Hilbert space, with the operator norm and adjoints, form such an algebra. The main theorem of this entry is a result in the converse direction: the Gelfand–Naimark–Segal (GNS) construction, which starts with a single suitable functional on a C^* -algebra in order to obtain both a Hilbert space and a representation of the algebra in terms of bounded operators on that space. This is implemented as a type construction in Isabelle/HOL, taking advantage of existing mechanisms for quotient types, and integrating with existing type classes for Hilbert spaces and Cauchy completions.

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1 C^* -algebras

theory *Cstar-Algebra-On*

imports

Lie-Groups.Algebra-On

Types-To-Sets-Extension.SML-Topological-Space

Complex-Bounded-Operators.Complex-Vector-Spaces

HOL-Analysis.Abstract-Metric-Spaces

begin

bundle *scaleC-syntax* **begin**

notation *Complex-Vector-Spaces0.scaleC-class.scaleC* (**infixr** $\langle *_{\mathcal{C}} \rangle$ 75)

end

unbundle *no scaleC-syntax*

1.1 Additional lemmas for *Lie-Groups.Algebra-On*

lemma (**in** *algebra-on*)

shows *distR'*: $\llbracket x \in S; y \in S; z \in S \rrbracket \implies (x - y) \bullet z = x \bullet z - y \bullet z$

and *distL'*: $\llbracket x \in S; y \in S; z \in S \rrbracket \implies z \bullet (x - y) = z \bullet x - z \bullet y$

using *distR m1.mem-uminus* **apply** *fastforce*

using *distL m1.mem-uminus* **by** *fastforce*

1.2 Involutive rings and algebras

locale *involutive-semigroup = semigroup-add-on-with +*

```

fixes involution :: 'a ⇒ 'a (⟨-†⟩ [99] 100)
assumes involution[simp]: x ∈ S ⇒ (x†)† = x
and antiautomorphism: [[x ∈ S; y ∈ S]] ⇒ (pls x y)† = pls (y†) (x†)
and involution-mem[simp]: x ∈ S ⇒ x† ∈ S

```

— Bundle for class constant notation (e.g. for (+)): disable inside Ballarin’s set-based locale for rings, re-enable once we involve type classes for e.g. base fields of algebras.

```

bundle class-ring-notation begin
  notation plus (infixl + 65)
  notation minus (infixl ⟨-⟩ 65)
  notation uminus (⟨⟨open-block notation=⟨prefix -⟩- -⟩ [81] 80)
end

```

```

unbundle no class-ring-notation
locale involutive-ring =
  Ring-Theory.ring R addition multiplication zero unit +
  involutive-semigroup R multiplication involution
  for R :: 'a set and addition :: 'a ⇒ 'a ⇒ 'a (infixl + 65)
  and multiplication (infixl · 70) and zero (0) and unit (1)
  and involution :: 'a ⇒ 'a (⟨-†⟩ [99] 100) +
  assumes ivl-ring: [[x ∈ R; y ∈ R]] ⇒ (x + y)† = x† + y†
begin

```

```

  lemma unit-self-adjoint: 1† = 1
  using antiautomorphism[of - 1] involution involution-mem
  by (metis multiplicative.right-unit multiplicative.unit-closed)

```

```

  lemma ivl-uminus: x ∈ R ⇒ involution (-x) = - involution x
  by (metis (no-types, lifting) additive.inverse-equality additive.invertible additive.invertibleE
    additive.invertible-left-inverse2 additive.unit-closed involution-mem ivl-ring)

```

```

  lemma ivl-minus: [[x ∈ R; y ∈ R]] ⇒ involution (x - y) = involution x - involution y
  by (simp add: ivl-ring ivl-uminus)

```

```

end
unbundle class-ring-notation

```

A general definition of *-algebra might look as follows.

Definition 1 (*-algebra). Let A be a ring with involution $*$, and R any commutative ring with involution $'$. A is a *-algebra if it is an associative algebra over R , such that:

$$\forall r \in R. \forall a \in A. (ra)^* = r'a^*$$

A *-homomorphism $f: A \rightarrow B$ is an algebra homomorphism that respects

involution:

$$\forall a \in A. f(a)^{*B} = f(a^{*A})$$

Since nearly all formalisation seems to use classes for the vector addition and base field, and some texts (e.g. [2]) define *-algebras using the complex numbers as a base ring directly, we do so as well, without worrying about the involution of the base ring being specified only implicitly, on a type-level. Even category theory is, most of the time, only interested in categories of spaces over a shared base field/ring. Note also our involutive complex algebras are unital (and associative).

```

locale involutive-complex-algebra =
  assoc-algebra-1-on S scale multiplication unit +
  involutive-ring S (+) multiplication 0 unit involution
for S :: 'a::ab-group-add set
  and scale :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr <*_C> 75)
  and multiplication :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr <•> 74)
  and unit :: 'a (<1>)
  and involution :: 'a  $\Rightarrow$  'a (<†> [99] 100) +
assumes ivl-scale: s ∈ S  $\implies$  (c *_C s)† = cnj c *_C s†

```

lemma involutive-complex-algebraI:

```

fixes S :: 'a::ab-group-add set
  and scl :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a
  and mlt :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a
  and e :: 'a
  and involution :: 'a  $\Rightarrow$  'a
assumes assoc-algebra-1-on S scl mlt e
  and involution:  $\forall x. x \in S \longrightarrow \text{involution} (\text{involution } x) = x$ 
  and antiautomorphism:  $\forall x y. x \in S \longrightarrow y \in S \longrightarrow \text{involution} (\text{mlt } x y) = \text{mlt} (\text{involution } y) (\text{involution } x)$ 
  and ivl-mem:  $\forall x. x \in S \longrightarrow \text{involution } x \in S$ 
  and ivl-ring:  $\forall x y. x \in S \longrightarrow y \in S \longrightarrow \text{involution} (x+y) = (\text{involution } x) + (\text{involution } y)$ 
  and ivl-scale:  $\forall x c. x \in S \longrightarrow \text{involution} (\text{scl } c x) = \text{scl} (\text{cnj } c) (\text{involution } x)$ 
shows involutive-complex-algebra S scl mlt e involution

```

proof –

```

interpret alg: assoc-algebra-1-on S scl mlt e using assms(1).
interpret mon: Group-Theory.monoid S (+) 0
by (unfold-locales, simp-all add: group-cancel.add1 alg.m1.mem-add alg.m1.mem-zero)
have mon.invertible u if u ∈ S for u
by (meson ab-left-minus alg.m1.mem-uminus mon.invertibleI neg-eq-iff-add-eq-0
that)
then show involutive-complex-algebra S scl mlt e involution
by (unfold-locales, simp-all add: alg.m1.subspace-UNIV alg.m1.subspace-add
add.commute
add.left-commute alg.amult-assoc alg.distL alg.distR assms(2-))
qed

```

```

lemma (in module-on) sub-module:
  assumes subspace  $A \subseteq S$ 
  shows module-on  $A$  scale
  using assms module-on.intro module-on.subspace-def module-on-axioms scale-left-distrib-on
  scale-one-on scale-right-distrib-on scale-scale-on subset-eq by (smt (verit, ccfv-threshold))

locale involutive-subalgebra =
  subalg: involutive-complex-algebra  $A +$  involutive-complex-algebra  $B$  for  $A B +$ 
  assumes  $A \subseteq B$ 

lemma (in involutive-complex-algebra) subalgebraI:
  fixes  $A$ 
  assumes unital-subspace:  $m1.subspace$   $A \subseteq S$   $\mathbf{1} \in A$ 
  and mult-closed:  $\forall x y. x \in A \longrightarrow y \in A \longrightarrow x \bullet y \in A$ 
  and involution-closed:  $\forall x. x \in A \longrightarrow$  involution  $x \in A$ 
  shows involutive-subalgebra  $(*_C)$   $(\bullet)$   $\mathbf{1}$  involution  $A$   $S$ 
proof –
  interpret vector-space-on  $A$ 
  using  $m1.sub-module$  unital-subspace(1,2) vector-space-on.intro by blast

interpret subalg: assoc-algebra-1-on  $A$   $(*_C)$   $(\bullet)$   $\mathbf{1}$ 
  apply unfold-locales
  apply (meson in-mono linearL'(2) unital-subspace(2))
  apply (meson unital-subspace(2) in-mono linearL'(1))
  apply (meson distributive(1) in-mono unital-subspace(2))
  apply (meson unital-subspace(2) linearR'(1) subsetD)
  using assms(4) apply blast
  using unital-subspace(2) apply blast
  apply (simp add: unital-subspace(3))
  using unital-subspace(2) apply blast
  using unital-subspace(2) apply blast
by simp

interpret ivl-subalg: involutive-complex-algebra  $A$ 
  apply (intro involutive-complex-algebraI)
  subgoal by unfold-locales
  using involution unital-subspace(2) apply blast
  apply (meson antiautomorphism in-mono unital-subspace(2))
  using involution-closed apply blast
  apply (meson ivl-ring subset-eq unital-subspace(2))
  using assms(2) ivl-scale by blast

show ?thesis
  by (unfold-locales, simp add: assms(2))
qed

```

1.3 Preliminaries and experiments

context *Metric-space* **begin**

sublocale *topological-space-ow M mopen*

apply *unfold-locales*

subgoal by (*simp add: gt-ex mball-subset-mspace mopen-def*)

subgoal by (*metis mopen-def openin-Int openin-mtopology*)

subgoal using *openin-Union[of - mtopology]* **by** (*simp add: mtopology-def*)

done

end

1.4 Subfields of a field on a type universe

Compare to *subspace* in *HOL-Types-To-Sets.Linear-Algebra-On*.

abbreviation *closed-under-un K op* $\equiv \forall x \in K. op\ x \in K$

abbreviation *closed-under-bin K op* $\equiv \forall x \in K. \forall y \in K. op\ x\ y \in K$

locale *subfield* =

fixes *K :: 'a::field set*

assumes *subfield-1[simp]: 1 ∈ K*

and *subfield-closed[simp]:*

$x \in K \implies -\ x \in K$

$x \in K \implies inverse\ x \in K$

$\llbracket x \in K; y \in K \rrbracket \implies x + y \in K$

$\llbracket x \in K; y \in K \rrbracket \implies x * y \in K$

begin

— The zero element of a field coincides with the zero element of any of its subfields. Since 0 is a class constant, it is always the zero element of the field on the type universe.

lemma *subfield-0 [simp]: 0 ∈ K*

by (*metis add.right-inverse subfield-1 subfield-closed(1,3)*)

end

— Any field is a subfield of itself.

lemma *subfield-UNIV: subfield UNIV*

unfolding *subfield-def* **by** *simp*

— The real numbers are a subfield of the complex numbers.

lemma *real-subfield-complex: subfield {c. Im c = 0}*

unfolding *subfield-def* **by** *auto*

1.4.1 ... as a transfer relation?

The price of being able to talk about a subfield of a different type is representational definiteness. The subfield of type 'a is only a subfield “up to isomorphism”. Quite categorical in flavour, this should make no practical difference. See also skeletal categories: <https://ncatlab.org/nlab/show/skeleton>

context includes *lifting-syntax* **begin**

definition *subfield-rel* ($R :: 'a::field \Rightarrow 'b::field \Rightarrow bool$) \equiv
 ~~$left-total\ R \wedge bi-unique\ R \wedge$~~
 $R\ 1\ 1 \wedge$
 $(R\ ==>\ R\ ==>\ R)\ (+)\ (+) \wedge$
 $(R\ ==>\ R\ ==>\ R)\ (*)\ (*) \wedge$
 $(R\ ==>\ R)\ uminus\ uminus \wedge$
 $(R\ ==>\ R)\ inverse\ inverse$

lemma *subfield-rel-0*: $R\ 0\ 0$ **if** *subfield-rel* R
using *add.right-inverse* **by** (*metis rel-funD that[unfolding subfield-rel-def]*)

lemma *subfield-rel-UNIV*: *subfield-rel* (=)
by (*simp add: bi-unique-eq left-total-eq rel-fun-eq subfield-rel-def*)

lemma *real-subfield-rel-complex*: *subfield-rel* ($\lambda r\ c.\ complex-of-real\ r = c$)
using *of-real-add of-real-mult* **by** (*simp add: left-total-def bi-unique-def subfield-rel-def rel-fun-def*)

end

1.5 Topological Fields and Vector Spaces

The topology will be defined, as usual for locales, on a carrier set only. Here, that carrier is implicit as the union of all open sets, like for manifolds. This means e.g. addition is defined on the type universe, but is continuous only on the subset of that universe covered by the topology.

abbreviation *continuous-binary-op* $t\ f \equiv continuous-map\ (prod-topology\ t\ t)\ t$
 $(\lambda(a,b).\ f\ a\ b)$

locale *topological-field-ow* = *topological-space-ow* $F\ \tau$

for $F :: 'at::field\ set$

and $\tau :: 'at\ set \Rightarrow bool\ +$

assumes *continuous-plus*: *continuous-binary-op* (*topology* τ) (+)

and *continuous-times*: *continuous-binary-op* (*topology* τ) (*)

and *continuous-uminus*: *continuous-map* (*topology* τ) (*topology* τ) *uminus*

and *continuous-inverse*: *continuous-map* (*topology* τ) (*topology* τ) *inverse*

begin

A somewhat Frankensteinian construction. The locale *topological-space-ow* is taken from the ETTS-powered *Types-To-Sets-Extension.SML-Topological-Space*,

and transferred from *topological-space*. By contrast, *continuous-map* stems from *HOL-Analysis.Abstract-Topology*. The field operations are still class constants, similarly to *vector-space-on*, with topological properties on the carrier F only.

end

```

locale topological-vector-space-on =
  vector-space-on V scale +
  topological-space-ow V  $\tau_V$  +
  top-F: topological-field-ow F  $\tau_F$ 
for F :: 'a::field set and  $\tau_F$  :: 'a set  $\Rightarrow$  bool
and V :: 'b::ab-group-add set
and scale :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b (infixr *s 75)
and  $\tau_V$  :: 'b set  $\Rightarrow$  bool +
assumes continuous-add: continuous-binary-op (topology  $\tau_V$ ) (+)
and continuous-scale: continuous-map (prod-topology (topology  $\tau_F$ ) (topology
 $\tau_V$ )) (topology  $\tau_V$ ) ( $\lambda(a,v). scale a v$ )

```

1.6 Normed Fields and Vector Spaces

```

locale normed-field = subfield F for F :: 'a::field set +
fixes norm :: 'a $\Rightarrow$ real ( $\|-|\|$  100)
assumes normed-field:  $x \in F \Rightarrow \|x\| \geq 0$ 
 $x \in F \Rightarrow \|x\| = 0 \iff x = 0$ 
 $x \in F \Rightarrow \|-x\| = \|x\|$ 
 $\llbracket x \in F; y \in F \rrbracket \Rightarrow \|x+y\| \leq \|x\| + \|y\|$ 
 $\llbracket x \in F; y \in F \rrbracket \Rightarrow \|x*y\| \leq \|x\| * \|y\|$ 

```

```

locale valued-field = normed-field +
assumes multiplicative-norm:  $\llbracket x \in F; y \in F \rrbracket \Rightarrow \|x*y\| = \|x\| * \|y\|$ 
begin

```

```

lemma norm-1 [simp]:  $\|1\| = 1$ 

```

```

by (metis mult-cancel-right1 multiplicative-norm normed-field(2) subfield-1 zero-neq-one)

```

end

```

locale seminormed-vector-space-on =
  vector-space-on V scale +
  F: valued-field F field-abs
for F :: 'f::field set and field-abs :: 'f $\Rightarrow$ real ( $\|-|\|$  [80])
and V :: 'v::ab-group-add set and scale :: 'f $\Rightarrow$ 'v $\Rightarrow$ 'v +
fixes vector-norm :: 'v::ab-group-add  $\Rightarrow$  real ( $\|-|\|$  [65]) — At priority 65, you can
write sums inside the norm.
assumes triangle-add:  $\llbracket x \in V; y \in V \rrbracket \Rightarrow$ 
  vector-norm (x+y)  $\leq$  vector-norm x + vector-norm y
and absolute-homogeneous:  $\llbracket a \in F; x \in V \rrbracket \Rightarrow$ 
  vector-norm (scale a x) = (field-abs a) * (vector-norm x)

```

begin

lemma *norm-0*: *vector-norm* $0 = 0$

using *absolute-homogeneous*[*of 0 0*] *F.normed-field*(2) *scale-zero-left* *mem-zero*
F.subfield-0
by *fastforce*

lemma *norm-uminus*: $\| -x \| = \| x \|$ **if** $x: x \in V$

proof –

have $\| -x \| = \| -1 \| * \| x \|$
using *absolute-homogeneous*[*OF - x, of -1*] **by** (*simp add: scale-minus-left-on*
x)

then show $\| -x \| = \| x \|$

using *F.normed-field*(3)[*OF F.subfield-1*] *absolute-homogeneous x* **by** *simp*
qed

lemma *norm-nonneg* [*simp*]: $x \in V \implies \| x \| \geq 0$

using *mem-uminus* *norm-0* *norm-uminus triangle-add*
by *fastforce*

end

locale *normed-vector-space-on* = *seminormed-vector-space-on* +

assumes *positive-definite*: $\llbracket x \in V; \text{vector-norm } x = 0 \rrbracket \implies x = 0$

begin

lemma *norm-0-iff*: *vector-norm* $x = 0 \iff x = 0$ **if** $x \in V$

using *positive-definite* *norm-0* **that** **by** *blast*

abbreviation (*input*) *induced-metric*

where *induced-metric* $x \ y \equiv \| y - x \|$

The locale *Metric-space*, which we would like to instantiate, requires things like $0 \leq \| y - x \|$. This is not true in general: $?x \in V \implies 0 \leq \| ?x \|$ only guarantees this behaviour inside the carrier set. Thus we have to “totalise” the induced metric for use in the existing *Metric-space*, by defining behaviour outside the carrier set to satisfy the axioms of the locale.

definition *totalized-induced-metric* (d)

where $d \ x \ y \equiv \text{if } x \in V \wedge y \in V \text{ then induced-metric } x \ y \text{ else } 0$

lemma *induced-metric-simps* [*simp*]:

$\llbracket x \in V; y \in V \rrbracket \implies d \ x \ y = \text{induced-metric } x \ y$

$x \notin V \implies d \ x \ y = 0$

$y \notin V \implies d \ x \ y = 0$

by (*simp-all add: totalized-induced-metric-def*)

lemma *metric-translation-invariant*: $\llbracket x \in V; y \in V; z \in V \rrbracket \implies d \ x \ y = d \ (x+z)$

```

(y+z)
  by (simp add: mem-add)
lemma metric-translation-invariant':  $\llbracket x \in V; y \in V \rrbracket \implies d\ x\ y = d\ (x-y)\ 0$ 
  using mem-add mem-uminus mem-zero by fastforce

sublocale Metric-space V d
proof
  fix x y z
  show  $0 \leq d\ x\ y$ 
    using mem-add mem-uminus norm-nonneg
    by (metis ab-group-add-class.ab-diff-conv-add-uminus induced-metric-simps
le-numeral-extra(3))
  show  $d\ x\ y = d\ y\ x$ 
    by (metis mem-add mem-uminus minus-diff-eq norm-uminus totalized-induced-metric-def
uminus-add-conv-diff)
  assume x:  $x \in V$  and y:  $y \in V$ 
  thus  $d\ x\ y = 0 \iff x = y$ 
    by simp (metis diff-conv-add-uminus eq-iff-diff-eq-0 mem-add mem-uminus
norm-0-iff)
  assume z:  $z \in V$ 
  have  $\|z - x\| = \|(z-y) + (y-x)\|$  by simp
  also have  $\dots \leq \|z - y\| + \|y - x\|$ 
    using triangle-add[of z-y y-x] by (metis diff-conv-add-uminus mem-add
mem-uminus x y z)
  finally show  $d\ x\ z \leq d\ x\ y + d\ y\ z$ 
    using x y z by simp
qed

end

```

```

lemma totalized-induced-metric-eq-dist:
  normed-vector-space-on.totalized-induced-metric UNIV norm = dist
proof -
  interpret normed-vector-space-on UNIV abs UNIV :: 'a set scaleR norm
  apply unfold-locales apply simp-all
  using scaleR-right.add apply blast
  using module.scale-left-distrib module-axioms apply blast
  apply (simp add: abs-mult)
  using abs-mult apply blast
  using norm-triangle-ineq by blast
  show totalized-induced-metric = dist
  unfolding totalized-induced-metric-def
  by (auto simp add: Met-TC.commute simp flip: dist-norm)
qed

```

```

term <x::'a::real-normed-vector>

```

```

locale banach-space-on = normed-vector-space-on +
  assumes mcomplete

thm class.cbanach-def
lemma cbanach-is-banach-space-on:
  shows banach-space-on UNIV cmod (UNIV::'cb::cbanach set) scaleC norm
  apply unfold-locales apply simp-all
  subgoal using complex-vector.vector-space-assms(1) by blast
  subgoal using complex-vector.vector-space-assms(2) by blast
  subgoal using norm-triangle-ineq by blast
  subgoal using norm-mult-ineq by blast
  subgoal using norm-mult by blast
  subgoal by (simp add: norm-triangle-ineq)
  subgoal
    unfolding totalized-induced-metric-eq-dist mcomplete-iff-complete
    by (simp add: complete-UNIV)
  done

```

1.7 C* algebras

```

locale Cstar-algebra =
  banach-space-on F cmod V scale vector-norm +

  involutive-complex-algebra V scale multiplication unit involution
for F :: complex set
  and V :: 'v::ab-group-add set
  and scale :: complex  $\Rightarrow$  'v  $\Rightarrow$  'v (infixr <*_C> 75)
  and multiplication :: 'v  $\Rightarrow$  'v  $\Rightarrow$  'v (infixr <•> 74)
  and vector-norm :: 'v  $\Rightarrow$  real (⟨||-||⟩ [65])
  and unit :: 'v (⟨1⟩)
  and involution :: 'v  $\Rightarrow$  'v (⟨-†⟩ [99] 100) +
  assumes normed-algebra :  $\bigwedge A B. [A \in V; B \in V] \Longrightarrow \|A \bullet B\| \leq \|A\| * \|B\|$ 
  assumes normed-unital:  $\|1\| = 1$ 
  assumes involution-isometric:  $\bigwedge A. A \in V \Longrightarrow \|A^\dagger\| = \|A\|$ 
  assumes Cstar:  $\bigwedge A. A \in V \Longrightarrow \|(A^\dagger) \bullet A\| = \|A^\dagger\| * \|A\|$ 
begin

lemma Bstar:  $\|(A^\dagger) \bullet A\| = \|A\|^2$  if  $A \in V$  for  $A$ 
  using Cstar[OF that]
  unfolding involution-isometric[OF that] power2-eq-square .

end

```

```

lemma Bstar-implies-isometric:
  assumes seminormed-vector-space-on F cmod V scale vector-norm
  involutive-complex-algebra V scale multiplication unit involution
  and  $\bigwedge A B. [A \in V; B \in V] \Longrightarrow$  vector-norm (multiplication A B)  $\leq$  vector-norm
  A * vector-norm B vector-norm unit = 1

```

and $\bigwedge A. A \in V \implies \text{vector-norm (multiplication (involution } A) A) = (\text{vector-norm } A)^2$
and $A \in V$
shows $\text{vector-norm (involution } A) = \text{vector-norm } A$
proof –
interpret *seminormed-vector-space-on F cmod V scale vector-norm*
using *assms(1)* .
interpret *involution-complex-algebra V scale multiplication unit involution*
using *assms(2)* .
from *assms(3)[of involution A A]*
have $(\text{vector-norm } A)^2 \leq \text{vector-norm (involution } A) * \text{vector-norm } A$
by (*simp add: assms(5,6)*)
hence *ineq-1: (vector-norm A) ≤ vector-norm (involution A)*
unfolding *power2-eq-square*
using *norm-nonneg[OF involution-mem[OF assms(6)]]*
using *nle-le*
by (*smt (verit, ccfv-SIG) mult-mono vector-space-over-itself.scale-cancel-right*)
from *assms(3)[of A involution A]*
have $(\text{vector-norm (involution } A))^2 \leq \text{vector-norm } A * \text{vector-norm (involution } A)$
by (*metis assms(5,6) involution involution-mem*)
hence *ineq-2: (vector-norm (involution A)) ≤ vector-norm A*
unfolding *power2-eq-square*
using *norm-nonneg[OF assms(6)]*
using *linorder-not-le* **by** *fastforce*
from *ineq-1 ineq-2* **show** *?thesis* **by** *force*
qed

locale *Cstar-subalgebra* =
subalg: Cstar-algebra - A + Cstar-algebra - B **for** $A \subseteq B$ +
assumes $A \subseteq B$

lemma (*in banach-space-on*) *closed-iff-complete*:
assumes $A \subseteq V$
shows *closedin mtopology A* \longleftrightarrow *Metric-space.mcomplete A* **d**
proof –
interpret *sub-A: Submetric V d A*
using *assms* **by** *unfold-locales*
show *?thesis*
using *sub-A.closedin-eq-mcomplete assms banach-space-on-axioms*
by (*simp add: banach-space-on-def banach-space-on-axioms-def*)
qed

lemma (*in normed-vector-space-on*) *from-subspace*:
assumes *subspace A* $A \subseteq V$

shows *normed-vector-space-on F field-abs A scale vector-norm*
proof –
interpret *VS: vector-space-on A scale*
by (*simp add: assms sub-module vector-space-on-alt-def*)
show *?thesis*
apply *unfold-locales*
using *absolute-homogeneous assms(2) positive-definite triangle-add* **by** (*auto simp: in-mono*)
qed

Not particularly elegantly or generally written: it doesn't matter which (induced) metric we use, because all cases outside of the subspace carrier A don't matter. Used for C^* -subalgebra intro lemma.

lemma (*in normed-vector-space-on*) *mcomplete-submetric-equal*:
assumes $A \subseteq V$ *subspace A*
shows *Metric-space.mcomplete A (normed-vector-space-on.totalized-induced-metric A vector-norm) = Metric-space.mcomplete A d*
proof –
interpret *VS-A: normed-vector-space-on - - A*
using *from-subspace[OF assms(2,1)]* .
interpret *met-A: Metric-space A d*
apply *unfold-locales*
using *nonneg commute assms(1) zero triangle subset-iff* **by** (*auto simp: in-mono*)
have *tot-met-simp: VS-A.totalized-induced-metric x y = totalized-induced-metric x y if x ∈ A y ∈ A for x y*
using *assms(1) that totalized-induced-metric-def* **by** *auto*
have *mball-local-simp: VS-A.mball x r = met-A.mball x r for x r*
using *tot-met-simp* **by** *auto*
show *?thesis*
unfolding *VS-A.mcomplete-def met-A.mcomplete-def*
unfolding *VS-A.MCauchy-def met-A.MCauchy-def*
unfolding *VS-A.mtopology-def met-A.mtopology-def*
unfolding *VS-A.mopen-def met-A.mopen-def*
apply (*subst mball-local-simp*)
using *tot-met-simp* **by** (*auto simp: range-subsetD*)
qed

lemma (*in banach-space-on*) *closed-iff-complete'*:
defines *complete-in-induced-submetric* \equiv
 $\lambda A n. \text{Metric-space.mcomplete } A \text{ (normed-vector-space-on.totalized-induced-metric } A \text{ n)}$
assumes $A \subseteq V$ *subspace A*
shows *closedin mtopology A* \longleftrightarrow *complete-in-induced-submetric A vector-norm*
using *closed-iff-complete mcomplete-submetric-equal assms* **by** *simp*

lemma (*in Cstar-algebra*) *subalgebraI*:
fixes A
assumes *unital-subalgebra: subspace A A ⊆ V 1 ∈ A*
and *mult-closed: ∀ x y. x ∈ A → y ∈ A → x • y ∈ A*

```

    and involution-closed:  $\forall x. x \in A \longrightarrow \text{involution } x \in A$ 
    and closed-subset: closedin mtopology A
    shows Cstar-subalgebra F scale (•) vector-norm 1 involution A V
proof -
interpret involutive-subalgebra - - - A V
using subalgebraI[OF assms(1-5)] .
show ?thesis
apply unfold-locales
using triangle-add unital-subalgebra(2) apply blast
using absolute-homogeneous unital-subalgebra(2) apply blast
using assms(2) positive-definite apply blast
using closed-iff-complete'[OF assms(2,1)] closed-subset apply blast
apply (meson assms(2) normed-algebra subset-iff)
using normed-unital assms(2) involution-isometric Cstar by blast+
qed

```

```

lemma (in Cstar-algebra) subalgebraI':
fixes A
assumes unital-subalgebra: subspace A A ⊆ V 1 ∈ A
and mult-closed:  $\forall x y. x \in A \longrightarrow y \in A \longrightarrow x \bullet y \in A$ 
and involution-closed:  $\forall x. x \in A \longrightarrow \text{involution } x \in A$ 
and complete-subset: Metric-space.mcomplete A d
shows Cstar-subalgebra F scale (•) vector-norm 1 involution A V
using subalgebraI[OF assms(1-5)] assms(2,6) closed-iff-complete by blast

```

1.8 Cauchy-Schwarz Inequality for functionals on involutive algebras

context *involutive-complex-algebra begin*

Disable the notation from `Jacobson_Basic_Algebra` that conflicts with underlying class constants used for our algebras.

```

no-notation additive.inverse (- - [66] 65) — conflicts with uminus
no-notation subtraction (infixl - 65) — conflicts with (-)

```

```

lemma additive-inverse-uminus[simp]:
additive.inverse A = - A if A ∈ S
by (simp add: additive.inverse-equality m1.mem-uminus that)

```

```

lemma subtraction-minus[simp]:
subtraction A B = A - B if A ∈ S B ∈ S
by (simp add: that(2))

```

```

declare ivl-uminus [[simp del]]

```

```

lemma involution-uminus'[simp]: involution (- B) = - (involution B) if B ∈ S for B
using ivl-uminus by (simp add: that)

```

```

declare ivl-minus [[simp del]]
lemma ivl-minus'[[simp]: involution (A - B) = involution A - (involution B)
  if A ∈ S B ∈ S for B
  using ivl-minus[OF that] by (simp add: that)

```

end

The nomenclature of “functional” is taken from operator algebras, where operators are often functions. Here “positive” is not strict: the corresponding axiom is named after non-negativity.

```

locale positive-normalised-linear-functional =
  involution-complex-algebra S scale multiplication unit involution +
  linear-on S UNIV scale (*) ω
for S :: 'a::ab-group-add set
  and scale :: complex ⇒ 'a ⇒ 'a (infixr ‹*C› 75)
  and multiplication :: 'a ⇒ 'a ⇒ 'a (infixr ‹•› 74)
  and unit :: 'a (‹1›)
  and involution :: 'a ⇒ 'a (‹†› 73)
  and ω :: 'a ⇒ complex +
assumes nonneg: A ∈ S ⇒ ω ((A†) • A) ≥ 0
  and one [[simp]: ω 1 = 1]
begin

```

notation *cmod* (||-||) — *abs* has omitted priority

```

lemma nonneg':
  assumes A ∈ S
  shows Re (ω (involution A • A)) ≥ 0 Re (ω (A • involution A)) ≥ 0 Im (ω (A
  • involution A)) = 0 Im (ω (involution A • A)) = 0
  using nonneg assms involution involution-mem
  by (metis zero-complex.simps(1) Re-mono,
    metis zero-complex.simps(1) Re-mono,
    (metis comp-Im-same zero-complex.simps(2))+)

```

lemma *involution-conjugate*: ω (*involution* A) = *cnj* (ω A) **if** A ∈ S

proof –

have *is-Real*: Im (α * ω A + *cnj* α * ω (*involution* A)) = 0 **if** A ∈ S **for** α::*complex* **and** A

proof –

let ?s = 1 + α *_C A — This is the crucial starting “guess”.

note [[*simp*] = *m1.mem-scale*]

have *inS* [[*simp*]: A ∈ S *involution* A ∈ S ?s ∈ S

by (*simp-all add: that*)

have ?s • *involution* ?s = 1 • *involution* (1 + α *_C A) + α *_C A • *involution* (1 + α *_C A)

by (*simp add: distR*[of 1 α *_C A *involution* ?s])

also have ... = 1 + *involution* (α *_C A) + α *_C A + α *_C A • *involution* (α *_C A)

```

    by (simp add: ivl-ring unit-self-adjoint algebra-simps distL)
    finally have ?s • involution ?s = 1 + |α|2 *C A • (involution A) + α *C A +
    involution (α *C A)
    using ivl-scale using complex-norm-square[of α] by (simp add: abs-complex-def
    mult commute)
    then have Im (α * ω A + cnj α * ω (involution A)) = Im (ω (?s • involution
    ?s))
    by (simp add: abs-complex-def add scale ivl-scale nonneg'(3))
    then show ?thesis
    by (simp add: nonneg'(3))
  qed
  have re-im-decompose: 0 = Re a * (Im (ω A) + Im (ω (involution A))) + Im a
  * (Re (ω A) - Re (ω (involution A)))
  for a::complex
  using is-Real[OF that, of a]
  by (simp add: vector-space-over-itself.scale-right-diff-distrib vector-space-over-itself.scale-right-distrib)
  have Im (ω A) + Im (ω (involution A)) = 0 Re (ω A) - Re (ω (involution A))
  = 0
  using re-im-decompose[of 1] re-im-decompose[of i] by simp-all
  thus ?thesis by (simp add: complex-eq-iff)
qed

```

lemma *cnj-ivl*: $cnj (\omega (involution A)) = \omega (A)$ if $A \in S$
 using *involution-conjugate that* by *simp*

Any such functional can be used to define a sesquilinear form that acts as a generalised inner product. This interpretation is justified below by providing a Cauchy-Schwarz inequality.

definition *functional-inner* :: 'a ⇒ 'a ⇒ complex (⟨-|-⟩ 76)
 where *functional-inner* A B ≡ ω ((A[†]) • B)

named-theorems *finner-simps*

lemma *finner-nonneg* [*finner-simps*]:

```

  assumes A ∈ S
  shows ⟨A|A⟩ ≥ 0
  using nonneg by (simp add: assms functional-inner-def)

```

lemma *finner-scale* [*finner-simps*]:

```

  ⟨c *C A|B⟩ = (cnj c) * ⟨A|B⟩
  ⟨A|c *C B⟩ = c * ⟨A|B⟩
  if A ∈ S B ∈ S for c and A B
  unfolding functional-inner-def
  subgoal using involution-mem that scale ivl-scale linearL'(1) multiplicative.composition-closed
  by presburger
  subgoal using involution-mem that multiplicative.composition-closed scalar-compat'(2)
  scale by presburger
  done

```

lemma *finner-plus* [*finner-simps*]: $\langle A+B|C \rangle = \langle A|C \rangle + \langle B|C \rangle$ $\langle A|B+C \rangle = \langle A|B \rangle + \langle A|C \rangle$
if $A \in S$ $B \in S$ $C \in S$ **for** A B C
unfolding *functional-inner-def*
subgoal using *involution-mem* that add *amult-closed distR ivl-ring* **by** *presburger*
subgoal using *involution-mem* that add *amult-closed distL* **by** *presburger*
done

lemma *finner-uminus* [*finner-simps*]: $\langle \text{uminus } A|B \rangle = \text{uminus } (\langle A|B \rangle)$ $\langle A|\text{uminus } B \rangle = \text{uminus } (\langle A|B \rangle)$
if $A \in S$ $B \in S$ **for** c **and** A B
using *ivl-uminus mapsto-uminus* **by** (*simp-all add: that functional-inner-def*)

lemma *finner-minus* [*finner-simps*]: $\langle A-B|C \rangle = \langle A|C \rangle - \langle B|C \rangle$ $\langle A|B-C \rangle = \langle A|B \rangle - \langle A|C \rangle$
if $A \in S$ $B \in S$ $C \in S$ **for** c **and** A B C
subgoal using *finner-plus*[*OF - m1.mem-uminus*] *finner-uminus*(1) that **by** *force*
subgoal using *finner-plus*[*OF - - m1.mem-uminus*] *finner-uminus*(2) that **by** *force*
done

lemma *finner-cnj*: $\langle A|B \rangle = \text{cnj } (\langle B|A \rangle)$
if $A \in S$ $B \in S$ **for** A B
unfolding *functional-inner-def*
using *involution-conjugate*[*of involution A • B*] *antiautomorphism*[*of involution A B*] *involution*[*OF that(1)*]
by (*simp add: that*)

lemma *finner-real-commute*:
assumes $A \in S$ $B \in S$ $\langle A|B \rangle \in \mathbb{R}$
shows $\langle A|B \rangle = \langle B|A \rangle$
using *cnj-ivl*[*of involution B • A*] *antiautomorphism*[*of involution B A*] *involution*[*of B*] *assms*(3)[*unfolded Reals-cnj-iff*]
by (*simp add: assms(1,2) functional-inner-def*)

lemma *finner-zero-commute*:
assumes $A \in S$ $B \in S$ $\langle A|B \rangle = 0$
shows $\langle B|A \rangle = 0$
using *finner-real-commute* *assms* *Reals-0* **by** *metis*

lemma *finner-self-0-if*: $\langle A|A \rangle = 0$ **if** $A = 0$
by (*simp add: functional-inner-def mapsto-zero that*)

lemma *pythagorean-theorem*:
assumes $A \in S$ $B \in S$ $\langle A|B \rangle = 0$
shows $\langle A+B|A+B \rangle = \langle A|A \rangle + \langle B|B \rangle$
proof (*unfold functional-inner-def*)
have *inS: involution A ∈ S involution B ∈ S involution A • B ∈ S involution B*

- $A \in S$
 - by (*simp-all add: assms*)
 - have $BA-0: \omega (\text{involution } B \bullet A) = 0$
 - using *involution antiautomorphism complex-cnj-zero cnj-ivl[symmetric]*
 - by (*simp only: assms(1,2,3)[unfolded functional-inner-def] inS*)
 - have $\omega ((\text{involution } (A + B)) \bullet (A + B)) = \omega ((\text{involution } A \bullet A) + \text{involution } A \bullet B + \text{involution } B \bullet A + \text{involution } B \bullet B)$
 - by (*simp add: add commute add.left-commute assms(1,2) distributive inS(1,2) ivl-ring*)
 - thus $\omega (\text{involution } (A + B) \bullet (A + B)) = \omega (\text{involution } A \bullet A) + \omega (\text{involution } B \bullet B)$
 - using *add BA-0 finner-zero-commute[OF assms]*
 - using *assms(1,2,3) functional-inner-def* by *fastforce*

qed

lemma *finner-csqrtnonneg*: $\text{csqrt } (\langle X|X \rangle) \geq 0$ if $X \in S$
unfolding *less-eq-complex-def*
using *finner-nonneg Re-csqrtnonneg* **apply** *simp*
using *cmod-Re that* **by** *blast+*

lemma *finner-cmod-eq*: $\| \langle B|B \rangle \| = \langle B|B \rangle$ if $B \in S$
using *cmod-Re finner-nonneg nonnegative-complex-is-real of-real-Re that* **by** *presburger*

definition *functional-norm* :: $'a \Rightarrow \text{real } (\| \cdot \|_\omega)$
where *functional-norm* $X \equiv \text{Re } (\text{csqrt } (\langle X|X \rangle))$

lemma *fnorm-finner-squared*: $\langle B|B \rangle = \|B\|_\omega^2 \| \langle B|B \rangle \| = \|B\|_\omega^2$ if $B \in S$
unfolding *functional-norm-def*
apply (*metis Re-power-real complex-is-Real-iff complex-is-real-iff-compare0 finner-csqrtnonneg functional-inner-def nonneg'(4) of-real-Re power2-csqrtnonneg that*)
by (*metis cmod-Re finner-csqrtnonneg norm-power power2-csqrtnonneg that*)

lemma *fnorm-nonneg*: $\|X\|_\omega \geq 0$
using *Re-csqrtnonneg functional-norm-def* **by** *presburger*

lemma *finner-lindep*:
fixes $c::\text{complex}$
assumes $B \in S$
shows $\| \langle c *_C B|B \rangle \| = \|c\| * \|B\|_\omega^2$
using *finner-scale(1)[OF assms assms] fnorm-finner-squared(2)[OF assms]*
by (*simp add: norm-mult*)

lemma *fnorm-scale*: $\|c *_C X\|_\omega = \|c\| * \|X\|_\omega$ if $X \in S$ for $c::\text{complex}$

proof (*unfold functional-norm-def*)
have $2: \langle c *_C X|c *_C X \rangle = c * \text{cnj } c * \langle X|X \rangle$
using *finner-scale(1) finner-scale(2)[OF m1.mem-scale]* **by** (*simp add: that*)
have $\| \langle c *_C X|c *_C X \rangle \| = \text{Re } (\langle c *_C X|c *_C X \rangle) \| \langle X|X \rangle \| = \text{Re } (\langle X|X \rangle)$
using *cmod-Re finner-nonneg(1)*

by (*simp add: functional-inner-def less-eq-complexI m1.mem-scale nonneg'*(3))
that
del: involution-mem)+
thus $\text{Re} (\text{csqrt} (\langle c *_C X | c *_C X \rangle)) = \|c\| * \text{Re} (\text{csqrt} (\langle X | X \rangle))$
apply *simp*
using 2 *cmod-Re complex-mod-sqrt-Re-mult-cnj real-sqrt-mult*
by (*metis (no-types, lifting) cnj-x-x-geq0 mult.commute norm-mult*)
qed

lemma *finner-lindep-cong*:
assumes $B \in S \ A = c *_C B$
shows $\|\langle A | B \rangle\| = \|A\|_\omega * \|B\|_\omega$
using *finner-lindep fnorm-scale*
by (*simp add: assms power2-eq-square*)

context — Controlling notation for partitions/division. **begin**
no-notation *equivalence.Partition (infixl '<|>' 75)*

— Stolen from *Polygonal-Number-Theorem.Polygonal-Number-Theorem-Lemmas.qua-disc* on the AFP. The import brought in too many dependencies to be worth it.

lemma *qua-disc*:

fixes $a \ b \ c :: \text{real}$
assumes $a > 0$
assumes $\forall x :: \text{real}. a * x^2 + b * x + c \geq 0$
shows $b^2 - 4 * a * c \leq 0$

proof —

from *assms* **have** $0 : \forall x :: \text{real}. (a * x^2 + b * x + c) / a \geq 0$ **by** *simp*
from *assms* **have** $1 : \forall x :: \text{real}. (b * x + c) / a = b / a * x + c / a$ **by** (*simp add: add-divide-distrib*)
from *assms* **have** $\forall x :: \text{real}. (a * x^2 + b * x + c) / a = x^2 + (b * x + c) / a$
by (*simp add: add-divide-eq-if-simps(1)*)
from 1 **this** **have** $\forall x :: \text{real}. (a * x^2 + b * x + c) / a = x^2 + b / a * x + c / a$ **by** *simp*
hence *atleastzero*: $\forall x :: \text{real}. x^2 + b / a * x + c / a \geq 0$ **using** 0 **by** *simp*

from *assms* **have** 2: $\forall x :: \text{real}. x^2 + b / a * x + c / a = x^2 + 2 * b / (2 * a) * x + c / a + b^2 / (4 * a^2) - b^2 / (4 * a^2)$ **by** *simp*

have *simp1*: $\forall x :: \text{real}. (x + b / (2 * a))^2 = x^2 + 2 * b / (2 * a) * x + (b / (2 * a))^2$ **by** (*simp add: power2-sum*)

have $(b / (2 * a))^2 = b^2 / (4 * a^2)$ **by** (*metis four-x-squared power-divide*)

hence $\forall x :: \text{real}. x^2 + b / a * x + c / a = (x + b / (2 * a))^2 + c / a - b^2 / (4 * a^2)$

using 2 *simp1* **by** *auto*

hence $\forall x :: \text{real}. (x + b / (2 * a))^2 + c / a - b^2 / (4 * a^2) \geq 0$ **using** *atleastzero* **by** *presburger*

hence 3: $\forall x :: \text{real}. b^2 / (4 * a^2) - c / a \leq (x + b / (2 * a))^2$ **by** (*smt (verit, del-insts)*)

have $\exists x :: \text{real}. (x + b / (2 * a))^2 = 0$ **by** (*metis diff-add-cancel power-zero-numeral*)

hence $b^2 / (4 * a^2) - c / a \leq 0$ **using** 3 **by** *metis*

hence 4: $4 * a^2 * (b^2 / (4 * a^2) - c / a) \leq 0$ **using** *assms* **by** (*simp add: mult-nonneg-nonpos*)

have 5: $4 * a^2 * b^2 / (4 * a^2) = b^2$ **using** *assms* **by** *simp*

have 6: $4 * a^2 * c / a = 4 * a * c$ **using** *assms* **by** (*simp add: power2-eq-square*)

show *?thesis* **using** 4 5 6 *assms* **by** (*simp add: Rings.ring-distrib(4)*)
qed

lemma *sign-of-discriminant*:

fixes *a b c :: real*
assumes $\forall x::real. a*x^2 + b*x + c \geq 0$
shows $a \geq 0 \implies b^2 - 4 * a * c \leq 0$ $a < 0 \implies b^2 - 4 * a * c \geq 0$
subgoal apply (*cases a=0*)
subgoal by (*metis Groups.add-ac(2) assms diff-add-cancel diff-zero mult-zero-left mult-zero-right nonzero-mult-div-cancel-left real-sqrt-pow2 sum-power2-le-zero-iff times-divide-eq-right zero-eq-power2*)
subgoal using *qua-disc assms* **by** *simp*
done
subgoal using *assms* **by** (*smt (verit, ccfv-threshold) zero-compare-simps(8,12)*)
done

end

lemma *cauchy-schwarz-square*:

assumes $A \in S$ $B \in S$
shows $|\langle A|B \rangle|^2 \leq \langle A|A \rangle * \langle B|B \rangle$
proof –
consider (*some-zero*) $A=0 \vee B=0$ | (*nonzero*) $A \neq 0 \wedge B \neq 0$ **by** *fastforce*
then show *?thesis*
proof (*cases*)
case *some-zero*
then show *?thesis*
using *finner-plus(1) finner-zero-commute*
by (*metis assms cnj-x-x cnj-x-x-geq0 eq-add-iff mult-eq-0-iff*)
next

case *nonzero* — Mostly revolves around the discriminant of a quadratic, and the inequality $\llbracket 0 < ?a; \forall x. 0 \leq ?a * x^2 + ?b * x + ?c \rrbracket \implies ?b^2 - 4 * ?a * ?c \leq 0$ (cribbed from *Polygonal-Number-Theorem*).

let $?c = |\langle B|A \rangle| / \langle B|A \rangle$
have *c-witness*: $\|?c\| = 1$ $?c * \langle B|A \rangle = |\langle B|A \rangle|$ **if** $\langle B|A \rangle \neq 0$
using *Real-Vector-Spaces.norm-divide[of \|<B|A>\| <B|A>]* *that*
by (*simp-all add: abs-complex-def*)
have $\exists c::complex. cmod\ c = 1 \wedge c * \langle B|A \rangle = |\langle B|A \rangle|$
apply (*cases <B|A>=0*)
subgoal using *norm-one* **by** *fastforce*
using *c-witness* **by** *blast*
then
obtain $c :: complex$
where $cmod\ c = 1$ $c * \langle B|A \rangle = |\langle B|A \rangle|$
by *blast*
{ fix $x :: complex$ **assume** $x: x \in \mathbb{R}$

```

have  $\langle (x * c) *_C A + B | (x * c) *_C A + B \rangle =$ 
   $\langle (x * c) *_C A | (x * c) *_C A \rangle +$ 
   $\langle (x * c) *_C A | B \rangle + \langle B | (x * c) *_C A \rangle + \langle B | B \rangle$ 
  using m1.mem-scale finner-plus by (simp add: assms finner-plus(1)) +
  also have  $\dots = (cmod (x * c))^2 * \langle A | A \rangle + \langle (x * c) *_C A | B \rangle + \langle B | (x * c) *_C A \rangle + \langle B | B \rangle$ 
  apply (simp add: complex-mod-mult-cnj del: Real-Vector-Spaces.of-real-power)
  using finner-scale[OF assms(1), of - x * c] m1.mem-scale[OF assms(2)]
  using assms(1) complex-norm-square m1.mem-scale by auto
  also have  $\dots = (cmod (x * c))^2 * \langle A | A \rangle + cnj (x * c) * \langle A | B \rangle + (x * c) * \langle B | A \rangle + \langle B | B \rangle$ 
  using finner-scale m1.mem-scale assms by simp
  also have  $\dots = \|x * c\|^2 * \langle A | A \rangle +$ 
   $cnj (x * c * \langle B | A \rangle) + ((x * c) * \langle B | A \rangle) + \langle B | B \rangle$ 
  by (metis assms complex-cnj-mult finner-cnj)
  also have  $\dots = |x * c|^2 * \langle A | A \rangle + cnj (x * |\langle B | A \rangle|) + (x * |\langle B | A \rangle|) + \langle B | B \rangle$ 
  apply (simp add: complex-of-real-cmod)
  using c(2) by (metis Extra-Ordered-Fields.sign-simps(4) complex-cnj-mult)
  also have  $\dots = \|\langle A | A \rangle\| * x^2 + (2 * \|\langle B | A \rangle\|) * x + \|\langle B | B \rangle\|$ 
  unfolding fnorm-finner-squared(2)[OF assms(1)] fnorm-finner-squared(2)[OF assms(2)]
  apply (simp add: complex-of-real-cmod)
  using c(1) Reals-cnj-iff x assms abs-complex-real complex-norm-square complex-of-real-cmod
  fnorm-finner-squared(1) norm-mult norm-one
  by (smt (verit) sign-simps(3,5) more-arith-simps(6) of-real-power power2-eq-square)
  finally
  have  $\|A\|_\omega^2 * x^2 + (2 * \|\langle B | A \rangle\|) * x + \|B\|_\omega^2 \geq 0$ 
  unfolding fnorm-finner-squared(2)[OF assms(1)] fnorm-finner-squared(2)[OF assms(2)]
  by (metis assms finner-nonneg m1.mem-add m1.mem-scale) }
  note quadratic-nonnegative = this
  have  $0 \leq \|\langle A | A \rangle\| * x^2 + 2 * \|\langle B | A \rangle\| * x + \|\langle B | B \rangle\|$  for x::real
  using quadratic-nonnegative[of x, simplified]
  unfolding functional-norm-def
  using assms(1,2) fnorm-finner-squared(1) quadratic-nonnegative
  by (smt (verit, ccfv-threshold) Re-complex-of-real Reals-of-real cmod-Re finner-nonneg less-eq-complex-def
  of-real-0 of-real-mult of-real-power plus-complex.sel(1))
  hence  $(2 * \|\langle B | A \rangle\|)^2 - 4 * \|\langle A | A \rangle\| * \|\langle B | B \rangle\| \leq 0$ 
  using sign-of-discriminant(1) zero-le-power norm-ge-zero
  by blast
  then show ?thesis
  apply (simp add: abs-complex-def)
  apply (subst of-real-power[of \|\langle A | B \rangle\| 2, symmetric])
  using norm-of-real of-real-0 of-real-mult
  by (smt (verit, del-insts) Im-complex-of-real Re-complex-of-real assms(1,2) cnj-x-x complex-norm-square
  finner-cnj finner-nonneg fnorm-finner-squared(1) less-eq-complex-def)

```

$x\text{-cnj-}x$)

qed
qed

lemma *cauchy-schwarz*:
assumes $A \in S$ $B \in S$
shows $\|\langle A|B \rangle\| \leq \|A\|_\omega * \|B\|_\omega$
proof –
from *cauchy-schwarz-square*[*OF assms*]
have $\|\langle A|B \rangle\|^2 \leq \|A\|_\omega^2 * \|B\|_\omega^2$

unfolding *abs-complex-def* **by** (*simp add: assms fnorm-finner-squared(1) less-eq-complex-def*)
hence $\|\langle A|B \rangle\| \leq \text{sqrt} (\|A\|_\omega^2 * \|B\|_\omega^2)$

using *real-le-rsqrt* **by** *blast*
also have $\text{sqrt} (\|A\|_\omega^2 * \|B\|_\omega^2) = \|A\|_\omega * \|B\|_\omega$

by (*simp add: fnorm-nonneg real-sqrt-mult*)
finally show *?thesis* .

qed

1.9 Identities for states on unital C^* -algebras

For now, see [1, page 49, Prop. 2.3.11]. We already have $?A \in S \implies \omega (?A^\dagger) = \text{cnj} (\omega ?A)$.

lemma *state-norm-leq-inner*: $\|\omega A\|^2 \leq \langle A|A \rangle$ **if** $A \in S$
using *cauchy-schwarz-square*[*OF that, of 1*]
unfolding *functional-inner-def*
by (*metis amult-id(3) antiautomorphism cnj-ivl cnj-x-x complex-norm-square involution-conjugate local.one more-arith-simps(6) multiplicative.unit-closed that unit-self-adjoint*)

lemma *state-zero-if-inner-zero*: $\omega A = 0$ **if** $\omega (involution A \bullet A) = 0$ $A \in S$

proof–
have $(\text{norm} (\omega A))^2 = 0$
using *state-norm-leq-inner* **apply** (*simp add: functional-inner-def*)
by (*metis complex-of-real-mono-iff norm-le-zero-iff norm-power-of-real-eq-0-iff of-real-power that zero-eq-power2*)
thus *?thesis* **by** *simp*

qed

end

locale *Cstar-state* =
Cstar-algebra F S *scale multiplication vector-norm unit involution + positive-normalised-linear-functional* S *scale multiplication unit involution* ω
for $F :: \text{complex set}$

```

and  $S :: 'a::ab\text{-group-add set}$ 
and  $scale :: complex \Rightarrow 'a \Rightarrow 'a$  (infixr  $\langle *_{\mathbb{C}} \rangle$  75)
and  $multiplication :: 'a \Rightarrow 'a \Rightarrow 'a$  (infixr  $\langle \bullet \rangle$  74)
and  $vector\text{-norm} :: 'a \Rightarrow real$  ( $\langle ||-\| \rangle$  [65])
and  $unit :: 'a$  ( $\langle \mathbf{1} \rangle$ )
and  $involution :: 'a \Rightarrow 'a$  ( $\langle -^\dagger \rangle$  [99] 100)
and  $\omega :: 'a \Rightarrow complex$ 
begin

```

lemma *mult-inner-norm-bound*:

```

assumes  $\bigwedge A B. \llbracket A \in S; B \in S \rrbracket \implies \langle B \bullet A | B \bullet A \rangle \leq \|B\|^2 * \omega (A^\dagger \bullet A)$ 

```

— This assumption is, in fact, a theorem. It can be proven using spectral theory, by defining positive operators to enable the following ordering relation:

$$A^\dagger B^\dagger B A \leq \|B\|^2 A^\dagger A$$

See Bratteli and Robinson’s textbook, p. 51 for proof of the lemma, and p. 36 for ordering on positive operators [1]. The definition of an operator as positive depends on the operator’s spectrum (i.e. it is in the positive half-line, p. 32). Spectral theory is, for now, out of scope for this development.

```

and  $A \in S \ B \in S$ 

```

```

shows  $|\langle B \bullet A | A \rangle| \leq \langle A | A \rangle * \|B\|$ 

```

proof–

```

have  $|\langle B \bullet A | A \rangle|^2 \leq \langle B \bullet A | B \bullet A \rangle * \langle A | A \rangle$ 

```

```

using cauchy-schwarz-square[of  $\langle B \bullet A \rangle$   $\langle A \rangle$ ]

```

```

by (auto simp: assms(2,3))

```

```

also have  $\dots \leq (\|B\|^2) * \omega (involution A \bullet A) * \langle A | A \rangle$ 

```

```

using assms(1)[OF assms(2,3)] finner-nonneg[OF assms(2)] mult-right-mono

```

by *blast*

```

finally have  $|\langle B \bullet A | A \rangle|^2 \leq \langle A | A \rangle * (\|B\|^2) * \langle A | A \rangle$ 

```

```

by (metis Extra-Ordered-Fields.sign-simps(5) functional-inner-def)

```

```

then have  $|\langle B \bullet A | A \rangle|^2 \leq (\langle A | A \rangle * \|B\|)^2$ 

```

```

by (smt (verit) of-real-power ordered-field-class.sign-simps(2,3,4,5) power2-eq-square)

```

```

moreover have  $|0| \leq |\langle B \bullet A | A \rangle|$  by (simp add: abs-nn)

```

```

ultimately have  $\|\langle B \bullet A | A \rangle\| \leq Re(\langle A | A \rangle) * \|B\|$ 

```

```

apply (intro power2-le-imp-le[of  $\|\langle B \bullet A | A \rangle\|$   $Re(\langle A | A \rangle) * \|B\|$ ])

```

```

subgoal using finner-cmod-eq x-cnj-x

```

```

by (smt (verit, best) Re-complex-of-real complex-norm-square

```

```

less-eq-complex-def of-real-mult of-real-power assms(2))

```

```

subgoal by (simp add: assms(2,3) functional-inner-def nonneg'(1))

```

```

done

```

```

thus ?thesis

```

```

by (metis Re-complex-of-real Reals-cnj-iff assms(2) of-real-mult

```

```

complex-cnj-complex-of-real complex-is-Real-iff

```

```

complex-of-real-cmod finner-cmod-eq less-eq-complexI)

```

qed

end

1.10 Morphisms

```

locale involutive-complex-algebras =
  A1: involutive-complex-algebra S1 scale1 multiplication1 unit1 involution1 +
  A2: involutive-complex-algebra S2 scale2 multiplication2 unit2 involution2
for S1 :: 'a::ab-group-add set
  and scale1 :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\langle *_{C1} \rangle$  75)
  and multiplication1 :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\langle \bullet_1 \rangle$  74)
  and unit1 :: 'a ( $\langle \mathbf{1}_1 \rangle$ )
  and involution1 :: 'a  $\Rightarrow$  'a
  and S2 :: 'b::ab-group-add set
  and scale2 :: complex  $\Rightarrow$  'b  $\Rightarrow$  'b (infixr  $\langle *_{C2} \rangle$  75)
  and multiplication2 :: 'b  $\Rightarrow$  'b  $\Rightarrow$  'b (infixr  $\langle \bullet_2 \rangle$  74)
  and unit2 :: 'b ( $\langle \mathbf{1}_2 \rangle$ )
  and involution2 :: 'b  $\Rightarrow$  'b

```

We define *-homomorphisms to be unital always. I believe a minority of authors consider non-unital homomorphisms of rings, but then you need to consider degenerate cases (e.g. $\lambda x. 0$). For some discussion, see e.g. <https://mathoverflow.net/questions/34332/consequences-of-not-requiring-ring-homomorphisms-to-be-unital> .

```

locale involutive-complex-homomorphism = involutive-complex-algebras +
  fixes f :: 'a  $\Rightarrow$  'b
  assumes f-codomain: f  $\cdot S1 \subseteq S2$ 
  and f-unit: f  $\mathbf{1}_1 = \mathbf{1}_2$ 
  and f-scale:  $\bigwedge r x. \llbracket x \in S1 \rrbracket \Longrightarrow f (r *_{C1} x) = r *_{C2} (f x)$ 
  and f-plus:  $\bigwedge x y. \llbracket x \in S1; y \in S1 \rrbracket \Longrightarrow f (x + y) = (f x) + (f y)$ 
  and f-multiplication:  $\bigwedge x y. \llbracket x \in S1; y \in S1 \rrbracket \Longrightarrow$ 
    f (x  $\bullet_1$  y) = (f x)  $\bullet_2$  (f y)
  and f-involution:  $\bigwedge x. \llbracket x \in S1 \rrbracket \Longrightarrow$ 
    f (involution1 x) = involution2 (f x)
begin

```

```

lemma linear-f: linear-on S1 S2 scale1 scale2 f
  apply unfold-locales
  using f-plus f-scale by auto

```

```

interpretation linear-f: linear-on S1 S2 scale1 scale2 f
  using linear-f .

```

end

```

abbreviation (in involutive-complex-algebras) homomorphism
  where homomorphism f  $\equiv$  involutive-complex-homomorphism
    S1 scale1 multiplication1 unit1 involution1
    S2 scale2 multiplication2 unit2 involution2
  f

```

```

locale involutive-complex-isomorphism = involutive-complex-homomorphism +

```

assumes *bij-f: bij-betw f S1 S2*

abbreviation (*in involutive-complex-algebras*) *isomorphism*
where *isomorphism f* \equiv *involutive-complex-isomorphism*
S1 scale1 multiplication1 unit1 involution1
S2 scale2 multiplication2 unit2 involution2
f

locale *involutive-complex-automorphism* =
involutive-complex-isomorphism S scale multiplication unit involution
S scale multiplication unit involution f
for *S scale multiplication unit involution f*

abbreviation (*in involutive-complex-algebra*) *automorphism*
where *automorphism f* \equiv *involutive-complex-automorphism S scale multiplication*
unit involution f

locale *Cstar-algebras* =
A1: Cstar-algebra F1 S1 scale1 multiplication1 vnorm1 unit1 involution1 +
A2: Cstar-algebra F2 S2 scale2 multiplication2 vnorm2 unit2 involution2
for *F1 :: complex set*
and *S1 :: 'a::ab-group-add set*
and *scale1 :: complex \Rightarrow 'a \Rightarrow 'a (infixr <*_C1> 75)*
and *multiplication1 :: 'a \Rightarrow 'a \Rightarrow 'a (infixr <•_1> 74)*
and *vnorm1 :: 'a \Rightarrow real (⟨|-|_1⟩ [65])*
and *unit1 :: 'a (⟨1_1⟩)*
and *involution1 :: 'a \Rightarrow 'a*
and *F2 :: complex set*
and *S2 :: 'b::ab-group-add set*
and *scale2 :: complex \Rightarrow 'b \Rightarrow 'b (infixr <*_C2> 75)*
and *multiplication2 :: 'b \Rightarrow 'b \Rightarrow 'b (infixr <•_2> 74)*
and *vnorm2 :: 'b \Rightarrow real (⟨|-|_2⟩ [65])*
and *unit2 :: 'b (⟨1_2⟩)*
and *involution2 :: 'b \Rightarrow 'b*
begin

sublocale *involutive-complex-algebras*
by *unfold-locales*

end

A C^* -homomorphism is a homomorphism of $*$ -algebras between C^* -algebras.

locale *Cstar-homomorphism* = *Cstar-algebras + involutive-complex-homomorphism*

```

lemma (in Cstar-algebras) homomorphismI:
  assumes homomorphism f
  shows Cstar-homomorphism F1 S1 scale1 multiplication1 vnorm1 unit1 involu-
tion1
      F2 S2 scale2 multiplication2 vnorm2 unit2 involution2 f
  by (simp add: assms Cstar-algebras-axioms Cstar-homomorphism.intro)

```

```

locale Cstar-isomorphism = Cstar-algebras + involutive-complex-isomorphism

```

```

locale Cstar-automorphism =
  Cstar-isomorphism F V scale multiplication vector-norm unit involution
  F V scale multiplication vector-norm unit involution f
for F :: complex set
  and V :: 'v::ab-group-add set
  and scale :: complex  $\Rightarrow$  'v  $\Rightarrow$  'v (infixr  $\langle *_{\mathbb{C}} \rangle$  75)
  and multiplication :: 'v  $\Rightarrow$  'v  $\Rightarrow$  'v (infixr  $\langle \bullet \rangle$  74)
  and vector-norm :: 'v  $\Rightarrow$  real ( $\langle ||-\| \rangle$  [65])
  and unit :: 'v ( $\langle \mathbf{1} \rangle$ )
  and involution :: 'v  $\Rightarrow$  'v ( $\langle -^\dagger \rangle$  73)
  and f
begin

```

```

lemma is-star-aut: involutive-complex-automorphism V (*C) (•) 1 involution f
  using involutive-complex-isomorphism-axioms by (simp only: involutive-complex-automorphism-def)

```

```

sublocale as-star-aut: involutive-complex-automorphism V
  using is-star-aut .

```

```

end

```

```

lemma (in Cstar-algebra) automorphismI:
  assumes automorphism f
  shows Cstar-automorphism F V scale multiplication vector-norm unit involution
f
  using involutive-complex-isomorphism.axioms[OF assms[unfolding involutive-complex-automorphism-def]]
  unfolding involutive-complex-isomorphism-axioms-def
  unfolding involutive-complex-homomorphism-def involutive-complex-homomorphism-axioms-def
  by unfold-locales presburger+

```

```

end

```

2 Completions of Normed Vector Spaces

```

theory Inner-Completion

```

```

imports

```

```

  Complex-Bounded-Operators.Complex-Inner-Product

```

Gromov-Hyperbolicity.Metric-Completion
begin

2.1 Helper lemmas

lemma *Cauchy-norm-bounded:*

assumes *Cauchy X*

shows $\exists B. \forall n. \text{norm } (X\ n) < B$

by (*meson cauchy-imp-bounded assms bounded-normE-less rangeI*)

lemma *convergent-Cauchy-norm:*

fixes $u\ v::\text{nat} \Rightarrow ('a::\text{real-normed-vector})$

assumes *Cauchy u*

shows *convergent* $(\lambda n. \text{norm } (u\ n))$

unfolding *norm-conv-dist using convergent-Cauchy-dist assms convergent-Cauchy convergent-const*

by *blast*

lemma *Cauchy-norm-if-Cauchy:*

assumes *Cauchy u*

shows *Cauchy* $(\lambda n. \text{norm } (u\ n))$

unfolding *norm-conv-dist*

using *assms convergent-Cauchy convergent-Cauchy-norm by auto*

lemma *lim-ge: convergent f $\implies (\bigwedge n. f\ n \geq x) \implies \text{lim } f \geq x$*

for $x :: 'a::\text{linorder-topology}$

using *LIMSEQ-le-const[of f lim f x] by (simp add: convergent-LIMSEQ-iff)*

lemma

assumes *convergent u*

shows *lim-Re: lim* $(\lambda n. \text{Re } (u\ n)) = \text{Re } (\text{lim } (\lambda n. u\ n))$

and *lim-Im: lim* $(\lambda n. \text{Im } (u\ n)) = \text{Im } (\text{lim } (\lambda n. u\ n))$

by (*intro limI tendsto-Re tendsto-Im, simp add: assms[unfolded convergent-LIMSEQ-iff]*)⁺

lemma *Cauchy-sum:*

fixes $x1\ x2 :: \text{nat} \Rightarrow 'a::\text{real-normed-vector}$

assumes *Cauchy x1 Cauchy x2*

shows *Cauchy* $(x1+x2)$

proof (*unfold Cauchy-def[of x+y for x y], intro allI impI*)

fix $e::\text{real}$

assume $0 < e$

then

obtain $M1\ M3$

where $M: \forall m \geq M1. \forall n \geq M1. \text{dist } ((x1)\ m) ((x1)\ n) < e/2$

$\forall m \geq M3. \forall n \geq M3. \text{dist } ((x2)\ m) ((x2)\ n) < e/2$

using *zero-less-divide-iff zero-less-numeral*

by (*metis Cauchy-def assms*)

let $?M = \text{if } M1 \geq M3 \text{ then } M1 \text{ else } M3$

{ fix $m\ n$ **assume** $m \geq ?M\ n \geq ?M$

hence $mn: m \geq M1 \ n \geq M1 \ m \geq M3 \ n \geq M3$ **by** *presburger+*
have $\text{norm } (x1 \ n - x1 \ m) + \text{norm } (x2 \ m - x2 \ n) < e$
using $M \ mn$ **unfolding** *dist-norm* **by** *fastforce*
hence $\text{norm } ((x1 + x2) \ m - (x1 + x2) \ n) < e$
unfolding *plus-fun-apply*
by (*metis add.commute add-diff-eq diff-diff-eq norm-minus-commute norm-triangle-lt*)
hence $\text{dist } ((x1 + x2) \ m) ((x1 + x2) \ n) < e$
unfolding *dist-norm* **by** *blast* }
thus $\exists M. \forall m \geq M. \forall n \geq M. \text{dist } ((x1 + x2) \ m) ((x1 + x2) \ n) < e$
by *blast*
qed

2.2 The Cauchy completion of a normed vector space is a Banach space.

instantiation *metric-completion* :: (*real-normed-vector*) *scaleR*
begin
lift-definition *scaleR-metric-completion* :: *real* \Rightarrow '*a metric-completion* \Rightarrow '*a metric-completion*
is $\lambda r \ x. \ \lambda n. (\text{scaleR } r) (x \ n)$
using *bounded-linear.Cauchy bounded-linear-scaleR-right tendsto-mult-right-zero*
by (*auto simp add: scaleR-diff-right[symmetric] dist-norm*)
instance **by** *standard*
end

instantiation *metric-completion* :: (*real-normed-vector*) *real-vector*
begin

lift-definition *uminus-metric-completion* :: '*a metric-completion* \Rightarrow '*a metric-completion*
is *uminus*
by (*simp add: Cauchy-def dist-minus*)

lift-definition *zero-metric-completion* :: '*a metric-completion*
is $\lambda n. \ 0$
by (*simp add: Cauchy-def*)

lift-definition *plus-metric-completion* ::
'*a metric-completion* \Rightarrow '*a metric-completion* \Rightarrow '*a metric-completion*
is *plus*
proof (*intro conjI; elim conjE*)
fix $x1 \ x2 \ x3 \ x4 :: \text{nat} \Rightarrow$ '*a*
assume *cauchy: Cauchy x1 Cauchy x2 Cauchy x3 Cauchy x4*
assume *dist-conv: ($\lambda n. \text{dist } (x1 \ n) (x2 \ n)$) $\longrightarrow 0$ ($\lambda n. \text{dist } (x3 \ n) (x4 \ n)$) $\longrightarrow 0$*
show *cauchy-sum: Cauchy (x1 + x3) Cauchy (x2 + x4)*
using *Cauchy-sum cauchy* **by** *auto*
show ($\lambda n. \text{dist } ((x1 + x3) \ n) ((x2 + x4) \ n)$) $\longrightarrow 0$
proof –
have *dist-conv-add: ($\lambda n. \text{dist } (x1 \ n) (x2 \ n) + \text{dist } (x3 \ n) (x4 \ n)$) $\longrightarrow 0$*

```

    using dist-conv tendsto-add by fastforce
  show ?thesis
  apply (rule tendsto-sandwich[of λ-. 0 - λn. dist (x1 n) (x2 n) + dist (x3 n)
(x4 n)])
  using dist-conv-add by (auto simp: dist-triangle-add)
qed
qed

definition minus-metric-completion ::
  'a metric-completion ⇒ 'a metric-completion ⇒ 'a metric-completion
  where minus-metric-completion a b ≡ a + (-b)

instance
  apply intro-classes
  subgoal by (transfer, simp add: Cauchy-sum Groups.add-ac)
  subgoal by (transfer, simp add: Groups.add-ac Cauchy-sum)
  subgoal apply transfer by (transfer, simp add: plus-fun-def)
  subgoal by (transfer, simp add: Cauchy-def)
  subgoal by (simp add: minus-metric-completion-def)
  subgoal apply (transfer, auto)
    subgoal using Cauchy-sum bounded-linear.Cauchy
      bounded-linear-scaleR-right by fastforce
    subgoal by (simp add: Cauchy-sum bounded-linear.Cauchy bounded-linear-scaleR-right)
    subgoal by (simp add: scaleR-add-right)
  done
  subgoal apply (transfer, auto)
    using bounded-linear.Cauchy bounded-linear-scaleR-right apply blast
    using bounded-linear.Cauchy bounded-linear-scaleR-right apply (metis Cauchy-sum)
    using dist-self scaleR-add-left by (metis (mono-tags, lifting) LIMSEQ-def)
  subgoal by (transfer, simp add: bounded-linear.Cauchy bounded-linear-scaleR-right)
  subgoal by (transfer, simp)
  done
end

instantiation metric-completion :: (complex-normed-vector) scaleC
begin
lift-definition scaleC-metric-completion :: complex ⇒ 'a metric-completion ⇒ 'a
metric-completion
  is λc x. λn. (scaleC c) (x n)
  using bounded-clinear.Cauchy bounded-clinear-scaleC-right tendsto-mult-right-zero
  by (auto simp add: scaleC-diff-right[symmetric] dist-norm)
instance
  apply intro-classes
  unfolding scaleR-metric-completion-def scaleC-metric-completion-def
  by (simp add: scaleR-scaleC)
end

instance metric-completion :: (complex-normed-vector) complex-vector

```

```

apply intro-classes
subgoal apply (transfer, auto)
  subgoal using Inner-Completion.Cauchy-sum bounded-clinear.Cauchy
    bounded-clinear-scaleC-right by fastforce
  subgoal by (simp add: Cauchy-sum bounded-clinear.Cauchy bounded-clinear-scaleC-right)
  subgoal by (simp add: scaleC-add-right)
  done
subgoal apply (transfer, auto)
  using bounded-clinear.Cauchy bounded-clinear-scaleC-right apply blast
  using bounded-clinear.Cauchy bounded-clinear-scaleC-right apply (metis Cauchy-sum)
  using dist-self scaleC-add-left by (metis (mono-tags, lifting) LIMSEQ-def)
subgoal by (transfer, simp add: bounded-clinear.Cauchy bounded-clinear-scaleC-right)
subgoal by (transfer, simp)
done

```

```

instantiation metric-completion :: (real-normed-vector) banach
begin

```

```

lift-definition norm-metric-completion :: 'a metric-completion  $\Rightarrow$  real
is  $\lambda X. \text{lim } (\lambda n. \text{norm } (X\ n))$ 
unfolding dist-norm
apply (rule convergent-add-null(2))
subgoal using convergent-Cauchy-dist zero-metric-completion.rsp by force
using Lim-null-comparison eventually-sequentially norm-triangle-ineq3
by (metis (mono-tags, lifting) real-norm-def)

```

```

definition sgn-metric-completion :: 'a metric-completion  $\Rightarrow$  'a metric-completion
where sgn-metric-completion  $x = x /_R \text{norm } x$ 

```

```

lemma metric-completion-triangle-ineq:

```

```

  fixes x y :: 'a metric-completion
  shows  $\text{norm } (x + y) \leq \text{norm } x + \text{norm } y$ 

```

```

proof –

```

```

  have 1: lim (λn. norm (x n + y n)) ≤ lim (λn. norm (x n)) + lim (λn. norm (y n))

```

```

    if cauchy: Cauchy x Cauchy y

```

```

    for x y :: nat  $\Rightarrow$  'a

```

```

proof –

```

```

  have lim-norm-sum-distrib: lim (λn. norm (x n) + norm (y n)) =
    lim (λn. norm (x n)) + lim (λn. norm (y n))

```

```

    apply (intro limI)

```

```

    apply (rule tendsto-add)

```

```

using cauchy convergent-Cauchy-dist convergent-LIMSEQ-iff zero-metric-completion.rsp
by fastforce+

```

```

have conv-norm-plus: convergent (λn. norm (x n + y n))

```

```

    apply (simp only: norm-conv-dist)

```

```

    apply (intro convergent-Cauchy-dist)

```

```

    apply (metis (no-types, lifting) ext Cauchy-sum cauchy(1,2) plus-fun-apply)

```

```

    by (simp add: zero-metric-completion.rsp)

```

```

have conv-plus-norm: convergent ( $\lambda n. \text{norm } (x \ n) + \text{norm } (y \ n)$ )
  apply (rule convergent-add)
  apply (simp-all only: norm-conv-dist)
  apply (rule convergent-Cauchy-dist[OF cauchy(1) zero-metric-completion.rsp[THEN
conjunct1]])
  by (rule convergent-Cauchy-dist[OF cauchy(2) zero-metric-completion.rsp[THEN
conjunct1]])
  thus ?thesis
  apply (simp flip: lim-norm-sum-distrib)
  apply (rule lim-mono[of - ( $\lambda n. \text{norm } (x \ n + y \ n)$ ) ( $\lambda n. \text{norm } (x \ n) + \text{norm}$ 
( $y \ n$ ))])
  using conv-norm-plus conv-plus-norm
  by (simp-all add: norm-triangle-ineq convergent-LIMSEQ-iff[symmetric])
qed
show ?thesis
  apply transfer
  using 1 by auto
qed

```

instance

```

apply intro-classes — Remember to unfold non-lifted definitions before transfer-
ring!
unfolding minus-metric-completion-def sgn-metric-completion-def
subgoal by (transfer, simp add: dist-norm)
subgoal apply (transfer, auto)
  using bounded-linear.Cauchy bounded-linear-scaleR-right by blast
subgoal apply (transfer, auto)
  subgoal using zero-metric-completion.rsp by blast
  subgoal using convergent-Cauchy-dist convergent-LIMSEQ-iff zero-metric-completion.rsp
by force
  subgoal by (simp add: tendsto-Lim)
  done
subgoal using metric-completion-triangle-ineq .
subgoal
  apply (transfer, auto)
  apply (intro limI)
  apply (rule tendsto-mult)
  apply blast
  apply (simp only: convergent-LIMSEQ-iff[symmetric] norm-conv-dist)
  apply (rule convergent-Cauchy-dist)
  using zero-metric-completion.rsp by simp-all
done
end

```

instance *metric-completion* :: (*complex-normed-vector*) *cbanach*

```

apply intro-classes
apply (transfer, auto)
apply (intro limI)

```

```

apply (rule tendsto-mult)
apply blast
apply (simp only: convergent-LIMSEQ-iff[symmetric] norm-conv-dist)
apply (rule convergent-Cauchy-dist)
using zero-metric-completion.rsp by simp-all

```

2.3 The Cauchy completion of an inner product space is a Hilbert space.

```

instantiation metric-completion :: (complex-inner) hilbert-space
begin

```

```

lift-definition cinner-metric-completion ::
  'a metric-completion  $\Rightarrow$  'a metric-completion  $\Rightarrow$  complex
is  $\lambda x y. \lim (\lambda n. cinner (x n) (y n))$ 
proof (elim conjE)
  fix x1 x2 x3 x4 :: nat  $\Rightarrow$  'a
  assume cauchy: Cauchy x1 Cauchy x2 Cauchy x3 Cauchy x4
  and dist-conv:  $(\lambda n. \text{dist } (x1 n) (x2 n)) \longrightarrow 0$ 
     $(\lambda n. \text{dist } (x3 n) (x4 n)) \longrightarrow 0$ 

  have 1:  $(\lambda x. \text{cmod } ((x1 x - x2 x) \cdot_C x3 x)) \longrightarrow 0$ 
  if cauchy: Cauchy x1 Cauchy x2 Cauchy x3
  and dist-conv:  $(\lambda n. \text{dist } (x1 n) (x2 n)) \longrightarrow 0$ 
  for x1 x2 x3 :: nat  $\Rightarrow$  'a
  proof -
  obtain B where B:  $\forall n. \text{norm } (x3 n) < B$ 
  using Cauchy-norm-bounded[OF cauchy(3)] by blast
  have *:  $(\lambda n. \text{norm } (x1 n - x2 n) * B) \longrightarrow 0$ 
  apply (rule tendsto-mult[where a=0 and b=B, simplified])
  by (metis (no-types, lifting) ext dist-conv dist-norm) simp
  have norm-mult-tendsto-0:  $(\lambda n. \text{norm } (x1 n - x2 n) * \text{norm } (x3 n)) \longrightarrow 0$ 
  apply (rule tendsto-sandwich[where f= $\lambda n. 0$  and h= $\lambda n. \text{norm } (x1 n - x2 n) * B$ ])
  using * B by (simp-all add: less-imp-le mult-left-mono)
  show ?thesis
  apply (rule tendsto-sandwich[where f= $\lambda n. 0$  and h= $\lambda n. \text{norm } (x1 n - x2 n) * \text{norm } (x3 n)$ ])
  by (simp-all add: Cauchy-Schwarz-ineq2 norm-mult-tendsto-0)
  qed

  have 2:  $(\lambda n. x1 n \cdot_C x3 n - x2 n \cdot_C x4 n) \longrightarrow 0$ 
  proof -
  have *:  $x1 n \cdot_C x3 n - x2 n \cdot_C x4 n =$ 
     $(x1 n - x2 n) \cdot_C x3 n + x2 n \cdot_C (x3 n - x4 n)$  for n
  by (simp add: cinner-simps(3,4))
  show ?thesis
  apply (simp only: *)
  apply (rule tendsto-add[of - 0 - 0, simplified]; rule tendsto-norm-zero-cancel)

```

```

    apply (rule 1[OF cauchy(1-3) dist-conv(1)])
    apply (subst complex-mod-cnj[symmetric])
    apply (subst cinner-commute[symmetric])
    by (rule 1[OF cauchy(3,4,2) dist-conv(2)])
qed

show lim (λn. x1 n •C x3 n) = lim (λn. x2 n •C x4 n)
  apply (intro convergent-add-null(2))
  using 2 by (simp-all add: Cauchy-cinner-Cauchy cauchy(2,4) complex-Cauchy-convergent)
qed

instance
proof (intro-classes; transfer; elim conjE)
  have conv-cinner-self: convergent (λn. x n •C x n)
    if Cauchy x for x :: nat ⇒ 'a
    using Cauchy-cinner-Cauchy Cauchy-convergent-iff that by blast

  fix x :: nat ⇒ 'a
  assume x: Cauchy x
  note conv-xx = conv-cinner-self[OF x]

  have 0 ≤ Re (lim (λn. x n •C x n))
    unfolding lim-Re[symmetric, OF conv-xx]
    apply (rule lim-ge)
    using cinner-ge-zero less-eq-complex-def
    by (auto simp add: Cauchy-Re Cauchy-cinner-Cauchy real-Cauchy-convergent
x)
  moreover have Im (lim (λn. x n •C x n)) = 0
    by (simp add: lim-Im[symmetric, OF conv-xx])
  ultimately show 0 ≤ lim (λn. x n •C x n)
    by (simp add: less-eq-complex-def)

  show lim (λn. norm (x n)) = sqrt (cmod (lim (λn. x n •C x n)))
    apply (intro limI)
    apply (rule tendsto-real-sqrt[
      where f=λn. cmod (x n •C x n) for x n,
      folded norm-eq-sqrt-cinner])
    using Cauchy-cinner-Cauchy convergent-eq-Cauchy limI tendsto-norm x by
fastforce

  show (lim (λn. x n •C x n) = 0) =
    (Cauchy x ∧ Cauchy (λn. 0::'a) ∧ (λn. dist (x n) 0) ⟶ 0)
  proof -
    have Cauchy-0: Cauchy (λn. 0::'a)
      using zero-metric-completion.rsp by simp
    { assume lim-cinner-self: lim (λn. x n •C x n) = 0
      — Notice convergence Cauchy ?x ⟹ convergent (λn. ?x n •C ?x n) is proved
      completely independently!
    }
  }

```

```

have tendsto-cinner-self: (λn. cmod (x n •C x n)) → 0
  using lim-cinner-self conv-xx unfolding convergent-LIMSEQ-iff
  by (simp add: tendsto-norm-zero)
have (λn. norm (x n)) → 0
  unfolding norm-eq-sqrt-cinner[of x n for n]
  apply (rule tendsto-real-sqrt[of - 0, simplified])
  using tendsto-cinner-self . }
note t0-if-inner-t0 = this
{ assume (λn. norm (x n)) → 0
  hence lim (λn. x n •C x n) = 0
  apply (intro limI)
  using tendsto-cinner tendsto-norm-zero-cancel by fastforce }
note inner-t0-if-t0 = this
show ?thesis
  using t0-if-inner-t0 inner-t0-if-t0 x Cauchy-0 by auto
qed

fix y :: nat ⇒ 'a assume y: Cauchy y

show lim (λn. x n •C y n) = cnj (lim (λn. y n •C x n))
  apply (intro limI)
  unfolding lim-cnj[of λn. x n •C y n for x y, unfolded cinner-commute', of - -
- sequentially]
  using Cauchy-cinner-Cauchy convergent-eq-Cauchy limI x y by blast

{ fix r :: complex
  have lim (λn. cnj r * (x n •C y n)) = cnj r * lim (λn. x n •C y n)
  apply (intro limI tendsto-mult)
  using Cauchy-cinner-Cauchy convergent-eq-Cauchy limI x y by blast+
  thus lim (λn. r *C x n •C y n) = cnj r * lim (λn. x n •C y n) by simp }

fix z :: nat ⇒ 'a assume z: Cauchy z
show lim (λn. ((x + y) n) •C z n) = lim (λn. x n •C z n) + lim (λn. y n •C z n)
  apply (simp only: cinner-add-left plus-fun-def)
  apply (intro limI tendsto-add)
  using Cauchy-cinner-Cauchy convergent-eq-Cauchy limI x y z by blast+
qed
end

```

2.4 The embedding to-metric-completion

And now we get all sorts of metric lemmas for inner products, e.g. an isometry (see *isometry-on UNIV to-metric-completion*) into a dense subset (see *closure (range to-metric-completion) = UNIV*) of a *chilbert-space*. This isometry is (complex-)linear, and preserves norms and inner products.

lemma *to-metric-completion-linear*: linear to-metric-completion (**is** ⟨linear ?g⟩)
and *to-metric-completion-clinear*: clinear to-metric-completion (**is** ⟨clinear ?f⟩)
proof –

note *plus-abs-mc* = *plus-metric-completion.abs-eq*

$[symmetric, \text{ of } \lambda n. b1 \ \lambda n. b2 \text{ for } b1 \ b2, \text{ simplified, unfolded plus-fun-def}]$
have $\bigwedge r \ b. \ ?g \ (r \ *_R \ b) = r \ *_R \ ?g \ b \ \bigwedge r \ b. \ ?f \ (r \ *_C \ b) = r \ *_C \ ?f \ b$
unfolding *to-metric-completion-def*
by (*simp-all add: Cauchy-def scaleR-metric-completion.abs-eq scaleC-metric-completion.abs-eq*)
moreover have $\bigwedge b1 \ b2. \ ?g \ (b1 + b2) = ?g \ b1 + ?g \ b2 \ \bigwedge b1 \ b2. \ ?f \ (b1 + b2) =$
 $?f \ b1 + ?f \ b2$
unfolding *to-metric-completion-def*
by (*rule plus-abs-mc; simp add: Cauchy-def*) +
ultimately show *linear ?g clinear ?f*
by *unfold-locales (auto)*
qed

lemma *to-metric-completion-norm:*
shows $norm \ (to-metric-completion \ c) = norm \ c$
unfolding *to-metric-completion-def*
apply (*rule norm-metric-completion.abs-eq[of $\lambda n. c$, simplified]*)
by (*simp add: Cauchy-def*)

lemma *to-metric-completion-bounded-clinear:*
shows *bounded-clinear to-metric-completion*
apply *unfold-locales*
apply (*simp add: linear-add to-metric-completion-linear*)
apply (*simp add: complex-vector.linear-scale to-metric-completion-clinear*)
by (*metis mult.right-neutral norm-imp-pos-and-ge to-metric-completion-norm*)

lemma *to-metric-completion-cinner:*
 $(to-metric-completion \ c) \cdot_C \ (to-metric-completion \ d) = c \cdot_C \ d$
unfolding *to-metric-completion-def*
apply (*rule cinner-metric-completion.abs-eq[of $\lambda n. b1 \ \lambda n. b2$ for $b1 \ b2$, simplified]*)
by (*simp-all add: Cauchy-def*)

end

3 The Gelfand–Naimark–Segal Construction

theory *Gelfand-Naimark-Segal*
imports
Inner-Completion
Cstar-Algebra-On
Complex-Bounded-Operators.Complex-Bounded-Linear-Function
Smooth-Manifolds.Analysis-More
begin

unbundle *lifting-syntax*

3.1 Strengthen lifting lemmas

3.1.1 Lifting to the metric completion

lemma *inj-to-metric-completion*: *inj to-metric-completion*
using *isometry-on-injective to-metric-completion-isometry* by *blast*

definition *from-metric-completion* \equiv *the-inv to-metric-completion*

lemma *lift-to-metric-completion2*:
fixes $f::('a::metric-space) \Rightarrow ('b::complete-space)$
assumes *uniformly-continuous-on UNIV f*
shows $\exists g. (uniformly-continuous-on UNIV g)$
 $\wedge (f = g \circ to-metric-completion)$
 $\wedge (\forall x \in range\ to-metric-completion. g\ x = f\ (from-metric-completion\ x))$
unfolding *from-metric-completion-def*
using *lift-to-metric-completion[OF assms]*
by (*simp add: inj-to-metric-completion the-inv-f-f*)

lemma *lift-to-metric-completion-isometry2*:
fixes $f::('a::metric-space) \Rightarrow ('b::complete-space)$
assumes *isometry-on UNIV f*
shows $\exists g. isometry-on\ UNIV\ g$
 $\wedge range\ g = closure(range\ f)$
 $\wedge f = g \circ to-metric-completion$
 $\wedge (\forall x \in range\ to-metric-completion. g\ x = f\ (from-metric-completion\ x))$
unfolding *from-metric-completion-def*
using *lift-to-metric-completion-isometry[OF assms]*
by (*simp add: inj-to-metric-completion the-inv-f-f*)

lemma *dense-imp-conv-seq*:
assumes $closure\ S = U\ x \in U$
shows $\exists s. (s\ n) \in S \wedge s \longrightarrow x$
using *LIMSEQ-if-less*
by (*metis (full-types, lifting) ext all-not-in-conv*
assms closed-empty closure-closed order.strict-iff-order)

lemma *to-metric-completion-sequence-ex*:
shows $\exists s. (to-metric-completion\ o\ s) \longrightarrow x$
using *dense-imp-conv-seq[of range to-metric-completion UNIV]*
by (*smt (verit, best) UNIV-I closure-sequential function-factors-right image-iff*
to-metric-completion-dense')

lemma *cinner-extensionality-dense*:
assumes *dense-S: closure S = UNIV*
and *inner-on-S: $\forall z \in S. cinner\ z\ x = cinner\ z\ y$*
shows $x = y$
proof (*rule cinner-extensionality*)
fix $z :: 'a::complex-inner$
obtain s where $s: s \longrightarrow z \wedge n::nat. (s\ n) \cdot_C\ x = (s\ n) \cdot_C\ y$

by (*metis UNIV-I closure-sequential dense-S inner-on-S*)
 — We could obtain $\forall n. s\ n \in S$ at the same time, but this is not needed here.

```

have ( $\lambda n. s\ n \cdot_C x$ )  $\longrightarrow$   $z \cdot_C x$ 
and ( $\lambda n. s\ n \cdot_C x$ )  $\longrightarrow$   $z \cdot_C y$ 
apply (simp add: s(1) tendsto-cinner)
unfolding s(2) by (simp add: s(1) tendsto-cinner)
thus  $z \cdot_C x = z \cdot_C y$ 
using LIMSEQ-unique by blast
qed
  
```

lemma *cinner-extensionality-metric-completion*:
assumes $\forall z. \text{cinner } (\text{to-metric-completion } z) x = \text{cinner } (\text{to-metric-completion } z) y$
shows $x = y$
using *cinner-extensionality-dense*[*OF to-metric-completion-dense*] *assms*
by *blast*

lemma *completion-ext-simp1*:
fixes $f :: ('m :: \text{metric-space}) \Rightarrow ('c :: \text{complete-space})$ **and** g
defines $D \equiv \text{range to-metric-completion}$
shows $(f = g \circ \text{to-metric-completion}) \iff$
 $(\forall x \in D. g\ x = f\ (\text{from-metric-completion } x))$
unfolding *assms o-def from-metric-completion-def*
using *f-the-inv-into-f the-inv-f-f inj-to-metric-completion*
by (*metis rangeI*)

lemma *lift-to-metric-completion4*:
fixes $f :: ('m :: \text{metric-space}) \Rightarrow ('c :: \text{complete-space})$
assumes *uniformly-continuous-on UNIV f*
shows $\exists! g. (\text{uniformly-continuous-on UNIV } g)$
 $\wedge (f = g \circ \text{to-metric-completion})$

proof —
{ fix $x\ y$ **and** $v :: 'm$ *metric-completion*
assume $x: \text{isUCont } x\ f = x \circ \text{to-metric-completion}$
and $y: \text{isUCont } y\ f = y \circ \text{to-metric-completion}$
have $x\beta: \forall b \in \text{range to-metric-completion}. x\ b = f\ (\text{from-metric-completion } b)$
and $y\beta: \forall b \in \text{range to-metric-completion}. y\ b = f\ (\text{from-metric-completion } b)$
using *completion-ext-simp1 x(2) y(2)* **by** *blast+*
have $\exists s. (\text{to-metric-completion } \circ s) \longrightarrow v$
using *to-metric-completion-sequence-ex* .
then obtain $s :: \text{nat} \Rightarrow 'm$ **where** $s: (\text{to-metric-completion } \circ s) \longrightarrow v$
by *blast*
let $?s = \text{to-metric-completion } \circ s$
have $x\text{-to-}x: (x \circ ?s) \longrightarrow x\ v$
and $y\text{-to-}y: (y \circ ?s) \longrightarrow y\ v$
using *continuous-at-sequentially isUCont-isCont s x(1) y(1)* **by** *blast+*
moreover have $\forall b \in \text{range to-metric-completion}. x\ b = y\ b$
using $x\beta\ y\beta$ **by** *presburger*
ultimately have $x\text{-to-}y: (x \circ ?s) \longrightarrow y\ v$

```

    by (metis fun.map-comp x(2) y(2))
  have x v = y v
    using LIMSEQ-unique x-to-x x-to-y by blast
} note 1 = this
have  $\exists!x. \text{isUCont } x \wedge$ 
   $f = x \circ \text{to-metric-completion} \wedge$ 
   $(\forall xa \in \text{range to-metric-completion.}$ 
     $x xa = f (\text{from-metric-completion } xa))$ 
  by (metis (no-types, lifting) ext 1 assms lift-to-metric-completion2)
thus ?thesis
  using completion-ext-simp1
  by (metis (no-types, lifting))
qed

```

```

lemma lift-to-metric-completion3:
  fixes f::('m::metric-space)  $\Rightarrow$  ('c::complete-space)
  assumes uniformly-continuous-on UNIV f
  shows  $\exists!g. (\text{uniformly-continuous-on UNIV } g)$ 
     $\wedge (f = g \circ \text{to-metric-completion})$ 
     $\wedge (\forall x \in \text{range to-metric-completion. } g x = f (\text{from-metric-completion } x))$ 
  by (metis assms lift-to-metric-completion2 lift-to-metric-completion4)

```

3.1.2 Lifting a bounded operator from an inner product space to a Hilbert space

```

lemma to-metric-completion-cblinfun-exists:
  fixes f :: ('a::complex-normed-vector)  $\Rightarrow$  ('b::cbanach)
  assumes f-add:  $\langle \bigwedge x y. f (x + y) = f x + f y \rangle$ 
  assumes f-scale:  $\langle \bigwedge c x y. f (c *_C x) = c *_C f x \rangle$ 
  assumes bounded:  $\langle \bigwedge x. \text{norm } (f x) \leq B * \text{norm } x \rangle$ 
  shows cblinfun-extension-exists (range to-metric-completion)
    (map-fun (from-metric-completion) id f)
    (is  $\langle \text{cblinfun-extension-exists } ?D ?f \rangle$ )
proof -
  interpret f: bounded-clinear f
  by unfold-locales (use f-add f-scale bounded in  $\langle \text{auto simp: mult.commute} \rangle$ )
  obtain K where K:  $\bigwedge x. \text{norm } (f x) \leq \text{norm } x * K$ 
  using f.real.bounded by blast
  interpret m: bounded-clinear to-metric-completion
  using to-metric-completion-bounded-clinear .

```

show ?thesis

proof (rule cblinfun-extension-exists-bounded-dense)

fix c x y

assume x: $x \in ?D$

show $?f (c *_C x) = c *_C ?f x$

unfolding map-fun-def from-metric-completion-def apply simp

using the-inv-f-f[OF inj-to-metric-completion]

```

    by (metis x assms(2) m.scale rangeE)
  show norm (?f x) ≤ K * norm x
    unfolding map-fun-def from-metric-completion-def apply simp
    using the-inv-f-f[OF inj-to-metric-completion]
    using to-metric-completion-norm
    by (metis Extra-Ordered-Fields.sign-simps(5) K rangeE x)
  assume y: y ∈ ?D
  show ?f (x + y) = ?f x + ?f y
    unfolding map-fun-def from-metric-completion-def apply simp
    using the-inv-f-f[OF inj-to-metric-completion] f-the-inv-into-f[OF inj-to-metric-completion]
    using m.add f.add
    by (metis (no-types, lifting) x y)
  qed (use range-is-csubspace to-metric-completion-clinear in blast,
    use to-metric-completion-dense' in blast)
qed

```

```

lemma to-metric-completion-cblinfun-exists':
  fixes f :: ⟨('a::complex-normed-vector, 'b::cbanach) cblinfun⟩
  shows cblinfun-extension-exists (range to-metric-completion)
    (map-fun (from-metric-completion) id f)
  using to-metric-completion-cblinfun-exists
    cblinfun.add-right cblinfun.scaleC-right norm-cblinfun
  by meson

```

3.2 The C^* -algebra of bounded operators on a Hilbert space

The assumption on the next lemma is a nondegeneracy condition found as the defining assumption of *assoc-algebra-1-on*. It's similar also to the class *zero-neq-one*, which enters for example into *ring-1*, *field*, and *one-dim*.

```

lemma Cstar-cblinfun:
  includes cblinfun-syntax
  assumes (id-cblinfun :: ('b ⇒CL ('b::hilbert-space))) ≠ 0
  shows Cstar-algebra UNIV (UNIV :: ('b ⇒CL ('b::hilbert-space)) set) (*C)
    (oCL) norm id-cblinfun adj
    (is Cstar-algebra ?C ?B - - - -)
  proof -
    interpret normed-vector-space-on ?C cmod ?B (*C) norm
      by (unfold-locales,
        auto simp: norm-triangle-ineq norm-mult-ineq norm-mult complex-vector.vector-space-assms(1,2))

    interpret Group-Theory.monoid ?B (+) 0
      by unfold-locales auto
    interpret involutive-complex-algebra ?B (*C) (oCL) id-cblinfun adj
      by (unfold-locales,
        auto simp: assms cblinfun-compose-add-left cblinfun-compose-add-right lift-cblinfun-comp(2)
          add-eq-0-iff adj-plus)

    have complete ?B
      by (simp add: complete-UNIV)

```

```

then have mcomplete
unfolding mcomplete-iff-complete[symmetric] dist-cblinfun-def totalized-induced-metric-def
  by (simp add: norm-minus-commute)
show ?thesis
apply unfold-locales
using  $\langle mcomplete \rangle$  by (auto simp:
  norm-is-Proj-nonzero norm-cblinfun-compose power2-eq-square)
qed

```

The above can be used e.g. as *Cstar-algebra UNIV UNIV (*_C) cblinfun-compose norm id-cblinfun adj*, or using *zero-neq-one*.

3.3 Type class of C^* -algebras

Make a bundle to (de)activate dagger notation for adjoints of functions between types in the class of complex inner product spaces.

```

bundle cadjoint-syntax begin
  notation Complex-Inner-Product.cadjoint ( $-\dagger$  [99] 100)
end
unbundle no cadjoint-syntax

```

```

class cstar = complex-normed-algebra-1 + cbanach +
  fixes involution::'a $\Rightarrow$ 'a ( $-\dagger$  [99] 100)
  assumes ivl-scaleC: (c *C s) $\dagger$  = cnj c *C s $\dagger$  — [[involutive-complex-algebra ?S
?scale ?multiplication ?unit ?involution; ?s  $\in$  ?S]]  $\Longrightarrow$  ?involution (?scale ?c ?s) =
?scale (cnj ?c) (?involution ?s)
  and ivl-plus [simp]: (x+y) $\dagger$  = x $\dagger$  + y $\dagger$  — [[involutive-ring ?R ?addition
?multiplication ?zero ?unit ?involution; ?x  $\in$  ?R; ?y  $\in$  ?R]]  $\Longrightarrow$  ?involution (?addition
?x ?y) = ?addition (?involution ?x) (?involution ?y)
  and involution[simp]: (x $\dagger$ ) $\dagger$  = x — [[involutive-semigroup ?S ?pls ?involution;
?x  $\in$  ?S]]  $\Longrightarrow$  ?involution (?involution ?x) = ?x
  and ivl-times [simp]: (x * y) $\dagger$  = y $\dagger$  * x $\dagger$  — [[involutive-semigroup ?S ?pls
?involution; ?x  $\in$  ?S; ?y  $\in$  ?S]]  $\Longrightarrow$  ?involution (?pls ?x ?y) = ?pls (?involution
?y) (?involution ?x)
  and ivl-isometric: norm (A $\dagger$ ) = norm A — [[Cstar-algebra ?F ?V ?scale
?multiplication ?vector-norm ?unit ?involution; ?A  $\in$  ?V]]  $\Longrightarrow$  ?vector-norm (?involution
?A) = ?vector-norm ?A
  and Cstar: norm (A $\dagger$  * A) = (norm (A $\dagger$ )) * (norm A) — [[Cstar-algebra ?F ?V
?scale ?multiplication ?vector-norm ?unit ?involution; ?A  $\in$  ?V]]  $\Longrightarrow$  ?vector-norm
(?multiplication (?involution ?A) ?A) = ?vector-norm (?involution ?A) * ?vector-norm
?A
begin

```

A class analogue of *Cstar-algebra*, reproducing the axioms *Cstar-algebra-axioms* $?V ?multiplication ?vector-norm ?unit ?involution \equiv ((\forall A B. A \in ?V \longrightarrow B \in ?V \longrightarrow ?vector-norm (?multiplication A B) \leq ?vector-norm A * ?vector-norm B) \wedge ?vector-norm ?unit = 1) \wedge (\forall A. A \in ?V \longrightarrow ?vector-norm (?involution A) = ?vector-norm A) \wedge (\forall A. A \in ?V \longrightarrow$

$?vector\text{-}norm (?multiplication (?involution A) A) = ?vector\text{-}norm (?involution A) * ?vector\text{-}norm A$ one-by-one. Some assumptions of *Cstar-algebra* are in *real-normed-algebra*, compare:

- $\llbracket Cstar\text{-}algebra ?F ?V ?scale ?multiplication ?vector\text{-}norm ?unit ?involution; ?A \in ?V; ?B \in ?V \rrbracket \implies ?vector\text{-}norm (?multiplication ?A ?B) \leq ?vector\text{-}norm ?A * ?vector\text{-}norm ?B$ with $norm (?x * ?y) \leq norm ?x * norm ?y$,
- $Cstar\text{-}algebra ?F ?V ?scale ?multiplication ?vector\text{-}norm ?unit ?involution \implies ?vector\text{-}norm ?unit = 1$ with $norm 1 = 1$.

end

bundle *cstar-syntax* begin

notation *involution* ($-\dagger$ [99] 100)

end

unbundle *cstar-syntax*

lemma *cstar-locale: Cstar-algebra UNIV* ($UNIV::'a::cstar\ set$)
scaleC times norm 1 involution

proof –

have 0: *Metric-space.mcomplete* ($UNIV::'a\ set$)
(normed-vector-space-on.totalized-induced-metric UNIV norm)

by (*simp add: complete-UNIV totalized-induced-metric-eq-dist*)

have 1: *monoid.invertible UNIV* (+) 0 u

for u :: 'a

proof –

interpret *Group-Theory.monoid UNIV* (+) 0::'a

by *unfold-locales simp-all*

show *?thesis*

unfolding *invertible-def[simplified]*

by (*intro exI[of - -u] simp*)

qed

have 2: *involution u * involution (of-complex c) =*
*of-complex (cnj c) * involution u*

for u :: 'a and c::complex

using *ivl-scaleC* by *auto*

have 3: *norm (of-complex c * u) = cmod c * norm u*

for u :: 'a and c::complex

by (*metis norm-scaleC scaleC-conv-of-complex*)

have 4: *v * (of-complex c * u) = of-complex c * (v * u)*

for u v :: 'a and c::complex

by (*metis mult-scaleC-right scaleC-conv-of-complex*)

show *?thesis*

apply *unfold-locales*

apply (*simp-all add: ivl-isometric Cstar*)

```

    norm-triangle-ineq norm-mult Groups.mult-ac(1)
    nat-distrib(2) cross3-simps(23) norm-mult-ineq
  using 0 1 2 3 4 by simp-all
qed

```

We have to interpret *cstar* as a *Cstar-algebra* in stages, and name the interpretation of the *involutive-complex-algebra*, otherwise there is a naming conflict between $\llbracket \text{algebra-on } ?S \text{ ?scale ?amult}; \forall x \in ?S. ?amult \ x \ x = 0; \forall x \in ?S. \forall y \in ?S. \forall z \in ?S. 0 = ?amult \ x \ (?amult \ y \ z) + ?amult \ y \ (?amult \ z \ x) + ?amult \ z \ (?amult \ x \ y) \rrbracket \Longrightarrow \text{lie-algebra } ?S \text{ ?scale ?amult}$ and $\llbracket \text{vector-space-on } ?S \text{ ?scale}; \bigwedge x \ y \ z. \llbracket x \in ?S; y \in ?S; z \in ?S \rrbracket \Longrightarrow ?\text{lie-bracket } (x + y) \ z = ?\text{lie-bracket } x \ z + ?\text{lie-bracket } y \ z \wedge ?\text{lie-bracket } z \ (x + y) = ?\text{lie-bracket } z \ x + ?\text{lie-bracket } z \ y; \bigwedge a \ x \ y. \llbracket x \in ?S; y \in ?S \rrbracket \Longrightarrow ?\text{lie-bracket } (?scale \ a \ x) \ y = ?scale \ a \ (?lie-bracket \ x \ y) \wedge ?\text{lie-bracket } x \ (?scale \ a \ y) = ?scale \ a \ (?lie-bracket \ x \ y); \bigwedge x \ y. \llbracket x \in ?S; y \in ?S \rrbracket \Longrightarrow ?\text{lie-bracket } x \ y \in ?S; \forall x \in ?S. ?\text{lie-bracket } x \ x = 0; \forall x \in ?S. \forall y \in ?S. \forall z \in ?S. 0 = ?\text{lie-bracket } x \ (?lie-bracket \ y \ z) + ?\text{lie-bracket } y \ (?lie-bracket \ z \ x) + ?\text{lie-bracket } z \ (?lie-bracket \ x \ y) \rrbracket \Longrightarrow \text{lie-algebra } ?S \text{ ?scale ?lie-bracket}$.

```

interpretation cstar1: involutive-complex-algebra
  UNIV::'a::cstar set scaleC times 1 involution
  using cstar-locale unfolding Cstar-algebra-def by blast

```

```

interpretation Cstar-algebra
  UNIV UNIV::'a::cstar set scaleC times norm 1 involution
  using cstar-locale .

```

Some simp set adjustments.

```

declare cstar1.involution-uminus'[simp del]
declare cstar1.ivl-minus'[simp del]

context cstar begin
named-theorems cstar-simps
lemmas cross3-simps(10,11,23,24,27,28)[cstar-simps]
lemmas
  cstar1.involution-uminus'[OF UNIV-I, cstar-simps]
  cstar1.ivl-minus'[OF UNIV-I UNIV-I, cstar-simps]
end

```

3.4 GNS construction

```

context
  fixes  $\omega :: 'a::cstar \Rightarrow \text{complex}$ 
  assumes omega-nonneg:  $\bigwedge A::'a. \omega \ (A^\dagger * A) \geq 0$ 
  and one [simp]:  $\omega \ 1 = 1$ 
  and clinear  $\omega$ 
begin

```

3.4.1 Interpretation of existing locales

thm *linear-def clinear-def linear-on-def*

interpretation ω : *cllinear* ω
using \langle *cllinear* ω \rangle .

lemma *cstar-state*: *Cstar-state UNIV UNIV scaleC times norm 1 involution ω*
apply *unfold-locales*
using ω .*add* ω .*scaleC* *omega-nonneg*
by (*simp-all add: nat-distrib(2) cross3-simps(52)*)

interpretation *Cstar-state UNIV UNIV scaleC times norm 1 involution ω*
using *cstar-state* .

3.4.2 Some identities for *cstar* and its left ideal.

Show the kernel of our intended inner product is a (left) ideal.

definition *zero-inner-set*: $\mathfrak{I} = \{A :: 'a. \omega (A^\dagger * A) = 0\}$

lemma *is-zero-inner*: $\omega (A^\dagger * A) = 0$ **if** $A \in \mathfrak{I}$
using *that unfolding zero-inner-set by simp*

lemma *zero-inner-zero*: $\omega i = 0$ **if** $i \in \mathfrak{I}$
using *state-zero-if-inner-zero that unfolding zero-inner-set*
by *blast*

lemma *zero-inner-times*: $a*i \in \mathfrak{I}$ **if** $i \in \mathfrak{I}$ **for** $a i :: 'a$

proof –

let $?b = a^\dagger * a * i$
from *cauchy-schwarz-square*[*of ?b i*]
have $|\omega ((a*i)^\dagger * (a*i))|^2 \leq \omega (?b^\dagger * ?b) * \omega (i^\dagger * i)$
by (*simp add: cstar-simps functional-inner-def*)
then show *?thesis*
unfolding *is-zero-inner*[*OF that*] *zero-inner-set* **apply** *simp*
using *cnj-x-x-geq0 cnj-x-x*
by (*metis abs-eq-0-iff le-less less-le-not-le zero-eq-power2*)

qed

lemma *zero-inner-zero2*: $\omega (a*i) = 0$ **if** $i \in \mathfrak{I}$
apply (*rule zero-inner-zero*)
apply (*rule zero-inner-times*)
using *that* .

end

class *cstar-state* = *cstar* +
fixes *state* :: 'a \Rightarrow *complex* ($\langle \omega \rangle$)

```

assumes omega-nonneg:  $\bigwedge A::'a. \omega (A^\dagger * A) \geq 0$ 
and omega-one [simp]:  $\omega 1 = 1$ 
and omega-linear[simp]:  $\omega (u + v) = \omega u + \omega v$ 
 $\omega (c *_C u) = c * \omega u$ 
and extra-assm[no-atp]:
 $\langle \omega ((B*A)^\dagger * B*A) \leq (\text{norm } B)^2 * \omega (A^\dagger * A) \rangle$ 

```

```

lemma omega-clinear: clinear  $\omega$ 
apply unfold-locales using omega-linear by auto

```

```

interpretation omega-linear: Vector-Spaces.linear scaleC times  $\omega$ 
apply unfold-locales using omega-linear(2)
by auto

```

— Top-level interpretation of *Cstar-state* for any type in *cstar-state*.

```

interpretation cstar-state: Cstar-state UNIV UNIV scaleC times norm 1 involution  $\omega$ 

```

proof –

```

interpret Cstar-algebra UNIV UNIV scaleC times norm 1 involution
using cstar-locale .
show Cstar-state UNIV UNIV (*_C) (*) norm 1 involution  $\omega$ 
apply unfold-locales
using omega-linear(2) omega-nonneg by (auto simp: cstar-simps)
qed

```

```

lemma zero-inner-zero':  $\omega A = 0$  if  $\omega (A^\dagger * A) = 0$ 
using zero-inner-zero zero-inner-set that
using omega-nonneg omega-one omega-clinear
by (metis (full-types,lifting) ctr-simps-mem-Collect-eq)

```

```

lemma zero-inner-zero2':  $\omega (B*A) = 0$  if  $\omega (A^\dagger * A) = 0$ 
using zero-inner-zero2 zero-inner-set that
using omega-nonneg omega-one omega-clinear
by (metis (full-types,lifting) ctr-simps-mem-Collect-eq)

```

Some identities, restated for *cstar-state*.

```

lemma state-ivl-cnj:  $\omega (A^\dagger) = \text{cnj } (\omega A)$ 
using positive-normalised-linear-functional.involution-conjugate
using cstar-state[of  $\omega$ , OF omega-nonneg omega-one omega-clinear]
unfolding Cstar-state-def by blast

```

```

lemma state-cnj-ivl [cstar-simps]:  $\text{cnj } (\omega (A^\dagger)) = \omega A$ 
using positive-normalised-linear-functional.cnj-ivl
using cstar-state[of  $\omega$ , OF omega-nonneg omega-one omega-clinear]
unfolding Cstar-state-def by blast

```

```

lemma state-norm-leq-inner:  $|\omega A|^2 \leq \omega (A^\dagger * A)$ 

```

using *positive-normalised-linear-functional.state-norm-leq-inner*
using *cstar-state*[of ω , *OF omega-nonneg omega-one omega-linear*]
unfolding *Cstar-state-def*
by (*metis UNIV-I complex-of-real-cmod of-real-power*
positive-normalised-linear-functional.functional-inner-def)

lemma *zero-inner-equiv*: *equivp* ($\lambda u v. \exists A. \omega (A^\dagger * A) = 0 \wedge u = v + A$)

proof –

have 1: $\exists B. \omega (B^\dagger * B) = 0 \wedge A + B = 0$
if $\omega (A^\dagger * A) = 0$ **for** $A :: 'a$
apply (*intro exI*[of - - A])
by (*simp add: cstar1.involution-uminus'* that)
have 2: $\omega ((B^\dagger + A^\dagger) * (B + A)) = 0$
if $\omega (A^\dagger * A) = 0$ $\omega (B^\dagger * B) = 0$
for $A B :: 'a$
by (*simp add: ordered-field-class.sign-simps*(18) that *zero-inner-zero2'*)
show *?thesis*
apply (*intro equivpI reflpI sympI transpI*)
by (*auto simp: 1 2*)

qed

3.4.3 Quotient construction for the inner product

quotient-type (**overloaded**) $'a$ *gns-precomplete* =
 $'a :: \text{cstar-state} / \lambda u v. \exists A. (\omega (A^\dagger * A) = 0 \wedge u = v + A)$
using *zero-inner-equivp* .

instantiation *gns-precomplete* :: (*cstar-state*) *scaleC*
begin

lift-definition *scaleC-gns-precomplete* ::
 $\text{complex} \Rightarrow 'a \text{gns-precomplete} \Rightarrow 'a \text{gns-precomplete}$
is *scaleC*
apply (*simp add: cstar-simps*)
by (*metis cross3-simps*(10) *nat-distrib*(2) *zero-inner-zero2'*)

lift-definition *scaleR-gns-precomplete* ::
 $\text{real} \Rightarrow 'a \text{gns-precomplete} \Rightarrow 'a \text{gns-precomplete}$
is *scaleR*

proof –

{ **fix** $r :: \text{real}$ **and** $u i :: 'a$
assume *asm*: $\omega (i^\dagger * i) = 0$
have $\exists i'. \omega (i'^\dagger * i') = 0 \wedge r *_{\mathbb{R}} (u + i) = r *_{\mathbb{R}} u + i'$
apply (*rule exI*[of - *scaleR* r i], *intro conjI*)
apply (*metis scaleC-gns-precomplete.abs-eq asm arithmetic-simps*(49)
gns-precomplete.abs-eq-iff pth-4(2) *scaleR-scaleC*)
using *cross3-simps*(30) **by** *blast* **}**
thus $\exists A. \omega (A^\dagger * A) = 0 \wedge r *_{\mathbb{R}} a1 = r *_{\mathbb{R}} a2 + A$

if $\exists A. \omega (A^\dagger * A) = 0 \wedge a1 = a2 + A$
for r **and** $a1\ a2 :: 'a$
using *that by blast*
qed

instance
by (*intro-classes*) (*simp add: scaleC-gns-precomplete-def*
scaleR-gns-precomplete-def scaleR-scaleC)

end

The lifting of the vector space structure is almost entirely a transfer+sledgehammer affair: identities proven earlier are enough to let sledgehammer find respectfulness proofs.

instantiation *gns-precomplete* :: (*cstar-state*) *complex-vector*
begin

lift-definition *zero-gns-precomplete* :: ' a *gns-precomplete*
is 0 .

lemma $(a+b)*\ c = a*\ c + b*\ (c::'a)$
using *cross3-simps(23)* **by** *blast*

lift-definition *minus-gns-precomplete* ::
' a *gns-precomplete* \Rightarrow ' a *gns-precomplete* \Rightarrow ' a *gns-precomplete*
is *minus*

proof –

{ **fix** $u\ v :: 'a$
assume $u: \omega (u^\dagger * u) = 0$ **and** $v: \omega (v^\dagger * v) = 0$
have $\omega ((u^\dagger - v^\dagger) * (u - v)) = \omega (u^\dagger * u) - \omega (v^\dagger * u) - \omega (u^\dagger * v) + \omega (v^\dagger$
 $*\ v)$

by (*simp add: omega-linear.diff cstar-simps*)

also have $\dots = 0$

using *zero-inner-zero2'[OF u] zero-inner-zero2'[OF v]* **by** *simp*

finally have $\omega ((u^\dagger - v^\dagger) * (u - v)) = 0$. **}**

thus $\wedge a1\ a2\ a3\ a4.$

$\exists A. \omega (A^\dagger * A) = 0 \wedge a1 = a2 + A \implies$

$\exists A. \omega (A^\dagger * A) = 0 \wedge a3 = a4 + A \implies$

$\exists A. \omega (A^\dagger * A) = 0 \wedge a1 - a3 = a2 - a4 + A$

apply (*auto simp add: cstar1.involution-uminus' cstar1.ivl-minus'*)

apply (*metis arith-simps(57) cross3-simps(27) omega-linear.diff zero-inner-zero2'*)

done

qed

lift-definition *uminus-gns-precomplete* ::
' a *gns-precomplete* \Rightarrow ' a *gns-precomplete*
is *uminus* **by** (*auto simp: cstar-simps*)

lift-definition *plus-gns-precomplete* ::

```

    'a gns-precomplete ⇒ 'a gns-precomplete ⇒ 'a gns-precomplete
  is plus
  by (auto simp: cstar-simps) (metis add-0-iff zero-inner-zero2')

instance
  apply (intro-classes; transfer)
  by (auto simp add: cstar-simps)

```

end

instantiation *gns-precomplete* :: (cstar-state) complex-inner
begin

The interesting definition is for the inner product, which implies that of the norm.

```

lift-definition cinner-gns-precomplete ::
  'a gns-precomplete ⇒ 'a gns-precomplete ⇒ complex
  is λu v. ω (u† * v)
proof clarify
  fix u v i1 i2 :: 'a
  assume i-in-ideal: ω (i1† * i1) = 0 ω (i2† * i2) = 0
  have 1: ω ((v+i2)† * (u+i1)) =
    ω (v†*u) + ω (v†*i1) + cnj (ω (u†*i2)) + ω (i2†*i1)
  apply (simp add: ring-distrib)
  using involution ivl-times omega-clinear omega-nonneg omega-one
  by (metis (no-types, lifting) Cstar-state-def UNIV-I cstar-state
    positive-normalised-linear-functional.involution-conjugate)
  also have ... = ω (v†*u)
  using i-in-ideal by (simp add: cstar-simps zero-inner-zero2')
  finally show ω ((v + i2)† * (u + i1)) = ω (v† * u)
  by (simp add: cstar-simps)
qed

```

— This could be defined in terms of $\lambda u. u \cdot_C u$, which will be a proof obligation later on anyway, but I think this is easier (since we can leverage identities to prove the necessary anyway) in the long term: we keep a concrete construction.

```

lift-definition norm-gns-precomplete :: 'a gns-precomplete ⇒ real
  is λu. Re (csqrt (ω (u† * u)))
  by clarsimp (metis (no-types, lifting) cinner-gns-precomplete.abs-eq
    gns-precomplete.abs-eq-iff ivl-plus)

```

The distance is given by the norm (and vector subtraction), as is standard.

```

definition dist-gns-precomplete ::
  'a gns-precomplete ⇒ 'a gns-precomplete ⇒ real
  where dist-gns-precomplete x y = norm (y-x)

```

The uniform and topological structures are prescribed, in turn, by the metric structure.

definition *uniformity-gns-precomplete*::(*'a gns-precomplete*) × (*'a gns-precomplete*)
filter

where *uniformity-gns-precomplete* = (*INF* $e \in \{0 < ..\}$. *principal* $\{(x, y). \text{dist } x \ y < e\}$)

definition *open-gns-precomplete* :: *'a gns-precomplete set* \Rightarrow *bool*

where *open-gns-precomplete* $U = (\forall x \in U. \text{eventually } (\lambda(x', y). x' = x \longrightarrow y \in U) \text{ uniformity})$

definition *sgn-gns-precomplete* ::

'a gns-precomplete \Rightarrow *'a gns-precomplete*

where *sgn-gns-precomplete* $x \equiv x /_R \text{ norm } x$

lemma *norm-sym-gns-precomplete*:

fixes $x \ y :: 'a \text{ gns-precomplete}$

shows $\text{norm } (y - x) = \text{norm } (x - y)$

proof *transfer*

fix $x \ y :: 'a$

have $\omega ((y - x)^\dagger * (y - x)) = \omega ((x - y)^\dagger * (x - y))$

by (*simp add: cstar-simps omega-linear.diff*)

thus $\text{Re } (\text{csqrt } (\omega ((y-x)^\dagger * (y-x)))) = \text{Re } (\text{csqrt } (\omega ((x-y)^\dagger * (x-y))))$

by *simp*

qed

instance

apply *intro-classes*

subgoal unfolding *dist-gns-precomplete-def* **using** *norm-sym-gns-precomplete* .

subgoal unfolding *sgn-gns-precomplete-def* **by** *blast*

subgoal unfolding *uniformity-gns-precomplete-def* **by** *blast*

subgoal unfolding *open-gns-precomplete-def* **by** *blast*

subgoal apply *transfer*

using *state-ivl-cnj* **by** (*metis involution ivl-times*)

subgoal apply *transfer*

by (*simp add: cstar-simps*)

subgoal by *transfer* (*simp only: ivl-scaleC mult-scaleC-left omega-linear.scale*)

subgoal by *transfer* (*simp add: omega-nonneg*)

subgoal by *transfer simp*

subgoal by *transfer* (*simp add: cmod-Re omega-nonneg*)

done

end

type-synonym (*'a gns*) = *'a gns-precomplete metric-completion*

3.4.4 Representation of *'a* on the Hilbert space *'a gns*.

The action on the dense subspace *range to-gns* is given by lifted multiplication. Notice this isn't quite the dense subspace – this is the action on the

precomplete quotient, a type, not a set on $'a$ *gns*.

lift-definition *action-gns-precomplete* ::

$'a::cstar\text{-state} \Rightarrow 'a\text{ gns-precomplete} \Rightarrow 'a\text{ gns-precomplete}$

is (*)

by (*metis Extra-Ordered-Fields.sign-simps(4) nat-distrib(2) zero-inner-zero2'*)

lemma *action-gns-precomplete-alt*: *action-gns-precomplete* $u \equiv$

$\lambda v. \text{abs-gns-precomplete } (u * (\text{rep-gns-precomplete } v))$

unfolding *action-gns-precomplete-def map-fun-def o-def id-def* .

— We can't use lift-definition for the action on the completion, because *from-gns* (below) isn't total (since *from-metric-completion* isn't).

definition *to-gns* :: $'a::cstar\text{-state} \Rightarrow 'a\text{ gns}$

where *to-gns* $\equiv \text{to-metric-completion} \circ \text{abs-gns-precomplete}$

definition *from-gns* :: $'a::cstar\text{-state} \text{ gns} \Rightarrow 'a$

where *from-gns* $\equiv \text{rep-gns-precomplete} \circ \text{from-metric-completion}$

definition *action-gns-precomplete'* ::

$'a::cstar\text{-state} \Rightarrow 'a\text{ gns-precomplete} \Rightarrow 'a\text{ gns}$

where *action-gns-precomplete'* $u \equiv$

$\text{to-metric-completion} \circ (\text{action-gns-precomplete } u)$

lemma *action-gns-precomplete'-alt*: *action-gns-precomplete'* \equiv

$(\text{id} \text{ ----} \> \text{id} \text{ ----} \> \text{to-metric-completion}) \text{ action-gns-precomplete}$

unfolding *action-gns-precomplete'-def map-fun-def o-def* **by** *simp*

lemma *action-gns-precomplete'-alt2*: *action-gns-precomplete'* \equiv

$(\text{id} \text{ ----} \> \text{rep-gns-precomplete} \text{ ----} \> (\text{to-gns})) (*)$

unfolding *action-gns-precomplete'-def action-gns-precomplete-def*

to-gns-def map-fun-def o-def id-def **by** *argo*

3.4.5 The action is bounded.

This is where $\omega ((?B * ?A)^\dagger * ?B * ?A) \leq \text{complex-of-real } ((\text{norm } ?B)^2) * \omega (?A^\dagger * ?A)$ is first used.

lemma *state-norm-sandwich-leq*: $\langle \omega (A^\dagger * B * A) \rangle \leq \omega (A^\dagger * A) * \text{norm } B$

proof—

from *cstar-state.mult-inner-norm-bound extra-assm*

show *?thesis*

unfolding *cstar-state.functional-inner-def*

by (*metis (no-types, lifting) UNIV-I involution ivl-isometric ivl-times mult.assoc*)

qed

lemma *action-gns-bounded-norm-square*:

$(\text{norm } ((\text{action-gns-precomplete } u) v))^2 \leq (\text{norm } u)^2 * (\text{norm } v)^2$

proof –
{ **fix** $u v :: 'a$
 have $|\omega (v^\dagger * u^\dagger * u * v)| \leq \omega (v^\dagger * v) * (\text{norm } (u^\dagger * u))$
 using *state-norm-sandwich-leq*[of $v u^\dagger * u$] **by** (*simp add: cstar-simps*)
 then have $\|\omega ((u * v)^\dagger * (u * v))\| \leq (\text{norm } u)^2 * \|\omega (v^\dagger * v)\|$
 apply (*simp add: cstar-simps*)
 using *Bstar*[*simplified*]
 by (*smt (verit) Extra-Ordered-Fields.sign-simps(5) UNIV-I complex-of-real-cmod*
 complex-of-real-mono-iff cstar-state.finner-cmod-eq cstar-state.functional-inner-def
 of-real-mult) }
thus *?thesis*
 unfolding *norm-eq-sqrt-cinner*[of (*action-gns-precomplete* u) v]
 unfolding *norm-eq-sqrt-cinner*[of v]
 apply *transfer*
 by (*simp only: abs-norm-cancel real-sqrt-power*[of - 2, *symmetric, unfolded*
real-sqrt-abs])
qed

lemma *action-gns-bounded-norm-square'*:
 shows $(\text{norm } ((\text{action-gns-precomplete}' u) v))^2 \leq (\text{norm } u)^2 * (\text{norm } v)^2$
 using *to-metric-completion-norm*[of *action-gns-precomplete* $u v$]
 by (*simp add: action-gns-precomplete'-def action-gns-bounded-norm-square*)

lemma *action-gns-bounded-norm*:
 shows $(\text{norm } ((\text{action-gns-precomplete } u) v)) \leq (\text{norm } u) * (\text{norm } v)$
 using *action-gns-bounded-norm-square norm-ge-zero*
 by (*metis power2-le-imp-le power-mult-distrib zero-compare-simps(4)*)

lemma *action-gns-bounded-norm'*:
 shows $(\text{norm } ((\text{action-gns-precomplete}' u) v)) \leq (\text{norm } u) * (\text{norm } v)$
 using *to-metric-completion-norm*[of *action-gns-precomplete* $u v$]
 by (*simp add: action-gns-precomplete'-def action-gns-bounded-norm*)

lemma *action-gns-blin*: *bounded-linear* (*action-gns-precomplete* u)

proof –
 interpret *linear* *action-gns-precomplete* u
 by *unfold-locales* (*transfer, simp add: cstar-simps*)+
 have $\forall x. \text{norm } (\text{action-gns-precomplete } u x) \leq \text{norm } x * \text{norm } u$
 by (*simp add: action-gns-bounded-norm*[of u] *cstar-simps*)
 then show *?thesis*
 by *unfold-locales blast*
qed

lemma *action-gns-blin'*: *bounded-linear* (*action-gns-precomplete'* u)
 unfolding *action-gns-precomplete'-def o-def*
 apply (*rule bounded-linear-compose*[*OF - action-gns-blin*])
 using *to-metric-completion-linear to-metric-completion-norm*
 by (*metis Groups.mult-ac(2) bounded-linear.intro*
 bounded-linear-axioms-def more-arith-simps(5) order.refl)

lemma *action-gns-unif-cts'*: *uniformly-continuous-on UNIV (action-gns-precomplete' u)*
using *bounded-linear.isUCont[OF action-gns-blin']* .

— Never unfold this. Use the lemma below instead.

definition *action-gns-closure*:: *'a::cstar-state \Rightarrow 'a gns \Rightarrow 'a gns*
where *action-gns-closure u \equiv THE g.*
(uniformly-continuous-on UNIV g) \wedge
(action-gns-precomplete' u = g \circ to-metric-completion)

lemma *action-gns-closure*:
uniformly-continuous-on UNIV (action-gns-closure u)
action-gns-precomplete' u =
(action-gns-closure u) \circ to-metric-completion
 $\wedge x. x \in \text{range to-metric-completion} \implies$
(action-gns-closure u) x = action-gns-precomplete' u (from-metric-completion x)
using *theI'[OF lift-to-metric-completion3[OF action-gns-unif-cts'], of u]*
by *(auto simp add: completion-ext-simp1 from-metric-completion-def action-gns-closure-def)*

lemma *action-gns-cblin*: *bounded-clinear (action-gns-precomplete u)*

proof —

interpret *linear action-gns-precomplete u*
apply *unfold-locales*
apply *transfer*
apply *(simp add: cstar-simps; fail)*
apply *transfer*
using *mult-scaleC-right mult-zero-right omega-linear.zero*
by *(metis nat-arith.rule0)*
have $\forall x. \text{norm (action-gns-precomplete u x)} \leq \text{norm x} * \text{norm u}$
by *(simp add: action-gns-bounded-norm[of u] cstar-simps)*
then show *?thesis*
by *unfold-locales blast*

qed

lemma *action-gns-cblin'*: *bounded-clinear (action-gns-precomplete' u)*

unfolding *action-gns-precomplete'-def o-def*
apply *(rule bounded-clinear-compose[OF - action-gns-cblin])*
using *to-metric-completion-clinear to-metric-completion-norm*
by *(metis Groups.mult-ac(2) bounded-clinear.intro*
bounded-clinear-axioms-def more-arith-simps(5) order.refl)

— See https://proofwiki.org/wiki/Bounded_Linear_Transformation_to_Banach_Space_has_Unique_Extension_to_Closure_of_Domain and <https://en.wikipedia.org>.

org/wiki/Continuous_linear_extension

lemma *linear-continuous-imp-bounded*:

assumes *linear f continuous-on UNIV f*

shows *bounded-linear f*

proof –

interpret *linear f using assms(1)* .

find-theorems *name: continuous-on norm*

thm *continuous-on-closure-norm-le continuous-on-compact-bound*

thm *assms(2)[unfolded continuous-on-def tendsto-def]*

have *continuous (at 0) f*

using *assms(2) by (simp add: continuous-on-interior)*

then obtain *d where $d > 0 \forall x. \text{norm } (x - 0) < d \longrightarrow \text{norm } (f x - f 0) < 1$*

unfolding *dist-norm[symmetric]*

using *continuous-at-eps-delta rel-simps(68) by blast*

hence *d: $d > 0 \wedge x. \text{norm } (x) < d \implies \text{norm } (f x) < 1$*

by *auto*

{ **fix** *x :: 'a*

let *?dx = d /_R (2 * norm x)*

let *?y = ?dx *_R x*

have *1: norm ?y < d*

proof –

have *norm x * inverse (norm x) < 2 \wedge 0 \leq d*

by *(metis d(1) less-le linorder-not-le mult-zero-left*

numeral-One numeral-less-iff right-inverse semiring-norm(83)

verit-comp-simplify(21) zero-less-numeral)

thus *?thesis*

by *(simp add: Groups.mult-ac(2) d(1))*

qed

have *2: norm (f ?y) = ?dx * norm (f x)*

using *d(1) scaleR by fastforce*

then have *3: ?dx * norm (f x) < 1*

using *d(2)[OF 1] by presburger*

then have *norm (f x) \leq (2 / d) * norm x*

using *norm-ge-zero zero-less-norm-iff d(1)*

proof –

have *$\forall r::\text{real}. 1 * r = r$*

by *simp*

moreover have *$\forall r \text{ ra}. (r::\text{real}) * (1 / \text{ra}) = r / \text{ra}$*

by *auto*

moreover have *norm (f x) < 1 / (d / (norm x * 2)) \vee \neg 0 < d / (norm x * 2)*

by *(metis Extra-Ordered-Fields.sign-simps(5) 3 divide-inverse linordered-field-class.pos-less-divide-eq real-scaleR-def)*

ultimately have *norm (f x) \leq norm x * (2 / d)*

by *(metis (no-types) arith-extra-simps(18) d(1) divide-divide-eq-right less-le*

linordered-field-class.pos-less-divide-eq local.zero norm-ge-zero zero-less-norm-iff

zero-less-numeral)

then show *?thesis*

by *(simp add: Extra-Ordered-Fields.sign-simps(5))*

```

    qed
  } then
  show ?thesis
    by unfold-locales (metis Extra-Ordered-Fields.sign-simps(5))
qed

```

```

lemma linear-continuous-iff-bounded:
  assumes linear f
  shows continuous-on UNIV f  $\longleftrightarrow$  bounded-linear f
  using assms linear-continuous-imp-bounded linear-continuous-on
  by blast

```

```

lemma clinear-continuous-iff-bounded:
  assumes clinear f
  shows continuous-on UNIV f  $\longleftrightarrow$  bounded-clinear f
  using assms linear-continuous-iff-bounded
  by (metis bounded-clinear.bounded-linear
    bounded-linear-bounded-clinear clinear.linear clinear.scaleC)

```

```

lemma linear-UCont-iff-bounded:
  assumes linear f
  shows uniformly-continuous-on UNIV f  $\longleftrightarrow$  bounded-linear f
  using linear-continuous-iff-bounded[OF assms]
  using bounded-linear.isUCont uniformly-continuous-imp-continuous by blast

```

```

lemma clinear-UCont-iff-bounded:
  assumes clinear f
  shows uniformly-continuous-on UNIV f  $\longleftrightarrow$  bounded-clinear f
  using clinear-continuous-iff-bounded[OF assms]
    bounded-linear.isUCont[OF bounded-clinear.bounded-linear]
    uniformly-continuous-imp-continuous by blast

```

```

definition action-cblinfun ::
  'a::cstar-state  $\Rightarrow$  ('a gns, 'a gns) cblinfun ( $\langle \pi_\omega \rangle$ )
  where action-cblinfun u  $\equiv$  cblinfun-extension
    (range to-metric-completion)
    (map-fun from-metric-completion id (action-gns-precomplete' u))

```

```

lemma action-cblinfun: cblinfun-extension-exists
  (range to-metric-completion)
  (map-fun from-metric-completion id (action-gns-precomplete' u))
  for u :: 'a::cstar-state

```

```

proof –
  interpret bounded-clinear action-gns-precomplete' u
    using action-gns-cblin' .
  obtain K where K:  $\bigwedge x. \text{norm} (action-gns-precomplete' u x) \leq \text{norm } x * K$ 
    using real.bounded by blast
  show ?thesis
    by (meson action-gns-bounded-norm' real.add scaleC to-metric-completion-cblinfun-exists)

```

qed

lemma *action-cblinfun-apply*:

shows $\text{action-cblinfun } u \text{ (to-metric-completion } x) = \text{action-gns-precomplete}' u \ x$
using *cblinfun-extension-apply*[*OF* *action-cblinfun*]
using *the-inv-f-f*[*OF* *inj-to-metric-completion*]
unfolding *action-cblinfun-def* *from-metric-completion-def*
by (*smt* (*verit*) *eq-id-iff* *map-fun-apply* *rangeI*)

lemma *action-cblinfun-cong*:

assumes $\text{cspan (range to-metric-completion)} = \text{cspan (to-metric-completion ' B')}$
 $\bigwedge x. x \in B' \implies \text{action-cblinfun } u \text{ (to-metric-completion } x) = g \text{ (to-metric-completion } x)$
shows $\text{cblinfun-extension (to-metric-completion ' B')} g = \text{action-cblinfun } u$
using *assms*
using *cblinfun-extension-cong*[*OF* - - - *action-cblinfun*]
using *the-inv-f-f*[*OF* *inj-to-metric-completion*]
by (*smt* (*verit*, *ccfv-threshold*) *action-cblinfun-apply* *action-cblinfun-def* *eq-id-iff* *from-metric-completion-def* *image-iff* *image-mono* *map-fun-apply* *subset-UNIV*)

lemmas *action-cblinfun-apply'* = *cblinfun-extension-apply*[*OF* *action-cblinfun*, *folded* *action-cblinfun-def*]

and *action-cblinfun-cong'* = *cblinfun-extension-cong*[*OF* - - - *action-cblinfun*, *folded* *action-cblinfun-def*]

and *action-cblinfun-restrict'* = *cblinfun-extension-exists-restrict*[*OF* - - *action-cblinfun*, *folded* *action-cblinfun-def*]

lemma *action-UCont*: *isUCont* (*action-cblinfun* *u*)

using *clinear-UCont-iff-bounded* *bounded-cbilinear-apply-bounded-clinear*
bounded-cbilinear-cblinfun-apply *cblinfun-apply-clinear* **by** *blast*

lemma *action-closure-cblinfun*:

$\text{action-gns-closure } u = (\text{action-cblinfun } u)$

proof–

have *action-cblinfun-to-completion*:

$\text{action-gns-precomplete}' u = \text{action-cblinfun } u \circ \text{to-metric-completion}$

$x \in \text{range to-metric-completion} \implies$

$\text{action-cblinfun } u \ x = \text{action-gns-precomplete}' u \ (\text{from-metric-completion } x)$

for *x*

by (*simp-all* *add*: *action-cblinfun-apply'* *action-cblinfun-apply* *o-def*)

show *?thesis*

using *action-UCont* *action-cblinfun-to-completion*(1) *action-gns-closure*(1,2)

action-gns-unif-cts'

lift-to-metric-completion4 **by** *blast*

qed

The cyclic vector is the abstraction of the unit

definition *gns-1* ($\langle \Omega \rangle$)

where $\text{gns-1} \equiv \text{to-gns } 1$

lemma *gns-1'*: $\Omega \cdot_C (\text{action-gns-closure } u \ \Omega) = \omega \ u$
proof –
 have $(\text{abs-gns-precomplete } 1) \cdot_C \text{action-gns-precomplete } u \ (\text{abs-gns-precomplete } 1) = \omega \ u$
 by *transfer (simp add: cstar1.unit-self-adjoint)*
 then have $\text{to-metric-completion } (\text{abs-gns-precomplete } 1) \cdot_C \text{action-gns-precomplete}' \ u \ (\text{abs-gns-precomplete } 1) = \omega \ u$
 unfolding *action-gns-precomplete'-def*
 by *(simp add: to-metric-completion-cinner)*
 thus *?thesis*
 using *action-gns-closure(2)* **by** *(metis comp-def gns-1-def to-gns-def)*
qed

— Basically an Isabelle phrasing of Bratteli and Robinson’s last sentence on page 55 [1].

lemma *cyclic-set*:
 shows $\{\pi_\omega \ u \ \Omega \mid u. \ \text{True}\} = \{\text{to-gns } u \mid u. \ \text{True}\}$
 and $\{\text{action-gns-closure } u \ \Omega \mid u. \ \text{True}\} = \{\text{to-gns } u \mid u. \ \text{True}\}$
proof –
 have $\exists u. \ \text{action-gns-closure } v \ \Omega = \text{to-gns } u$ **for** $v :: 'c::\text{cstar-state}$
 unfolding *gns-1-def to-gns-def*
 by *(metis action-gns-closure(2) action-gns-precomplete'-def action-gns-precomplete-alt o-def)*
 moreover have $\exists v. \ \text{to-gns } u = \text{action-gns-closure } v \ \Omega$ **for** $u :: 'c::\text{cstar-state}$
 unfolding *gns-1-def to-gns-def*
 by *(metis UNIV-I action-gns-closure(2) action-gns-precomplete'-def action-gns-precomplete.abs-eq comp-eq-dest-lhs cstar1.multiplicative.right-unit)*
 ultimately show $\{\text{action-gns-closure } u \ \Omega \mid u. \ \text{True}\} = \{\text{to-gns } u \mid u. \ \text{True}\}$
 by *(auto simp add: gns-1-def to-gns-def)*
 thus $\{\pi_\omega \ u \ \Omega \mid u. \ \text{True}\} = \{\text{to-gns } u \mid u. \ \text{True}\}$
 by *(simp add: action-closure-cblinfun)*
qed

lemma *gns-cyclic'*: *Elementary-Topology.closure* $\{\text{action-gns-closure } u \ \Omega \mid u :: 'a::\text{cstar-state}. \ \text{True}\} = \text{UNIV}$
proof –
 have $\exists u. \ \text{to-metric-completion } x = \text{to-metric-completion } (\text{abs-gns-precomplete } u)$
 for $x :: 'b::\text{cstar-state gns-precomplete}$
 by *(metis add.inverse-inverse map-fun-apply uminus-gns-precomplete-def)*
 hence $\{\text{to-gns } u \mid u. \ \text{True}\} = \text{range } \text{to-metric-completion}$
 by *(auto simp add: to-gns-def)*
 thus *?thesis*
 using *cyclic-set(2) to-metric-completion-dense'*
 by *(metis (lifting) ext)*
qed

3.4.6 The action is a homomorphism

hide-const (**open**) *closure*

Following *Smooth-Manifolds.Analysis-More* to instantiate the vector space on functions.

```
instantiation fun :: (type, scaleC) scaleC
begin
definition scaleC-fun :: complex  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b
  where scaleC-fun r f = ( $\lambda x. r *_C f x$ )
instance
  apply intro-classes unfolding scaleC-fun-def scaleR-fun-def
  by (simp add: scaleR-scaleC)
end
```

```
instance fun :: (type, complex-vector) complex-vector
  by standard (auto simp: scaleC-fun-def algebra-simps)
```

— The action is (by design) not injective. The norm is defined through the inner product, not as a lifting of the norm – it may not be preserved exactly.

```
lemma action-injective-on-quotient:  $\exists I. \omega (I^\dagger * I) = 0 \wedge a = b + I$ 
  if  $\forall x. \text{action-gns-precomplete } a \ x = \text{action-gns-precomplete } b \ x$ 
  for  $a \ b :: 'a::\text{cstar-state}$  and  $x :: 'a \ \text{gns-precomplete}$ 
  using that apply transfer
  by (metis verit-prod-simplify(2))
```

— We already know this action outputs *bounded-clinear* maps. We don't yet know it is linear itself.

```
lemma action-precomplete-morphism:
  shows action-gns-precomplete (a+b) = action-gns-precomplete a + action-gns-precomplete b
  and action-gns-precomplete (a*b) = action-gns-precomplete a  $\circ$  action-gns-precomplete b
  and action-gns-precomplete (c*_C a) = c *_C (action-gns-precomplete a)
  and action-gns-precomplete 1 = id
  and action-gns-precomplete (involution a) = cadjoint (action-gns-precomplete a)
```

proof –

```
let ?pi = action-gns-precomplete
show ?pi (a+b) = ?pi a + ?pi b
  unfolding plus-fun-def apply (intro ext) apply transfer
  by (simp add: cross3-simps(23))
show ?pi (a*b) = ?pi a  $\circ$  ?pi b
  unfolding o-def apply (intro ext) apply transfer
  by (simp add: ordered-field-class.sign-simps(4))
show ?pi (c*_C a) = c *_C (?pi a)
  unfolding o-def scaleC-fun-def apply (intro ext) apply transfer
  by (metis arith-simps(50) mult-scaleC-left mult-zero-right omega-linear.zero)
show ?pi 1 = id
```

```

    unfolding id-def apply (intro ext)
    by transfer fastforce
  show ?pi (a†) = cadjoint (?pi a)
  proof -
    have (?pi (a†) x) ·C y = x ·C (?pi a y) for x y
    apply transfer by (simp add: Groups.mult-ac(1))
    thus ?thesis
    by (metis cadjoint-eqI)
  qed
qed

lemma action-precomplete-morphism':
  shows action-gns-precomplete' (a+b) = action-gns-precomplete' a + action-gns-precomplete'
  b
  and action-gns-precomplete' (c*_C a) = c *_C (action-gns-precomplete' a)
  and action-gns-precomplete' 1 = to-metric-completion
  and action-gns-precomplete' (a*b) = action-gns-precomplete' a ◦
  from-metric-completion ◦ action-gns-precomplete' b
  and action-gns-precomplete' (a†) = to-metric-completion ◦
  cadjoint (from-metric-completion ◦ action-gns-precomplete' a)
  proof -
    let ?pi = action-gns-precomplete'
    show ?pi 1 = to-metric-completion
      unfolding action-gns-precomplete'-def o-def
      by (simp add: action-precomplete-morphism(4))

    interpret clinear to-metric-completion
      using to-metric-completion-clinear .
    show ?pi (a+b) = ?pi a + ?pi b
      unfolding action-gns-precomplete'-def o-def
      by (auto simp add: action-precomplete-morphism(1) add)
    show ?pi (c*_C a) = c *_C (?pi a)
      unfolding action-gns-precomplete'-def o-def
      by (simp only: action-precomplete-morphism(3) scaleC-fun-def scaleC)
    show ?pi (a*b) = (?pi a) ◦ from-metric-completion ◦ (?pi b)
      unfolding action-gns-precomplete'-def
      by (metis (mono-tags) action-precomplete-morphism(2) completion-ext-simp1
      o-apply)
    show ?pi (a†) = to-metric-completion ◦ (cajoint (from-metric-completion ◦
    action-gns-precomplete' a))
      unfolding action-gns-precomplete'-def action-precomplete-morphism(5) o-def
      by (simp add: from-metric-completion-def inj-to-metric-completion the-inv-f-f)
  qed

method action-uniq-eqI =
  (unfold action-gns-closure-def,
  intro the1-equality[OF lift-to-metric-completion4[OF action-gns-unif-cts]] conjI,
  fold action-gns-closure-def)

```

lemma

shows *action-closure-morphism*:

$action-gns-closure (a+b) = action-gns-closure a + action-gns-closure b$
 $action-gns-closure (a*b) = comp (action-gns-closure a) (action-gns-closure b)$
 $action-gns-closure (c*_C a) = c *_C (action-gns-closure a)$
 $action-gns-closure 1 = id-cblinfun$
 $action-gns-closure (involution a) = cadjoint (action-gns-closure a)$

and *action-cblinfun-morphism*:

$\pi_\omega (a+b) = \pi_\omega a + \pi_\omega b$
 $\pi_\omega (a*b) = cblinfun-compose (\pi_\omega a) (\pi_\omega b)$
 $\pi_\omega (c*_C a) = c *_C (\pi_\omega a)$
 $\pi_\omega 1 = id-cblinfun$
 $\pi_\omega (involution a) = adj (\pi_\omega a)$

proof –

show $action-gns-closure (a+b) = action-gns-closure a + action-gns-closure b$

proof *action-uniq-eqI*

show $isUCont (action-gns-closure a + action-gns-closure b)$

unfolding *plus-fun-def*

using $action-gns-closure(1)$ *uniformly-continuous-on-add* **by** *blast*

show $action-gns-precomplete' (a + b) =$

$(action-gns-closure a + action-gns-closure b) \circ to-metric-completion$

unfolding *plus-compose*

using $action-gns-closure(2)$ *action-precomplete-morphism'(1)*

by *metis*

qed

thus $\pi_\omega (a+b) = \pi_\omega a + \pi_\omega b$

unfolding *action-closure-cblinfun*

by (*intro cblinfun-eqI*) (*simp add: cblinfun.add-left*)

show $action-gns-closure (a*b) = action-gns-closure a \circ action-gns-closure b$

proof *action-uniq-eqI*

show $isUCont (action-gns-closure a \circ action-gns-closure b)$

unfolding *action-closure-cblinfun o-def*

apply (*rule bounded-linear.isUCont*)

apply (*rule bounded-linear-compose[of cblinfun-apply ($\pi_\omega a$)]*)

by (*simp-all add: cblinfun.real.bounded-linear-right*)

show $action-gns-precomplete' (a * b) =$

$(action-gns-closure a \circ action-gns-closure b) \circ to-metric-completion$

using $action-gns-closure(2)$ *action-precomplete-morphism(2)*

by (*metis (no-types, lifting) action-gns-precomplete'-def fun.map-comp*)

qed

thus $\pi_\omega (a*b) = cblinfun-compose (\pi_\omega a) (\pi_\omega b)$

unfolding *action-closure-cblinfun*

by (*intro cblinfun-eqI*) (*simp*)

show $action-gns-closure (c*_C a) = c *_C (action-gns-closure a)$

proof *action-uniq-eqI*

show $isUCont (c *_C (action-gns-closure a))$

unfolding *action-closure-cblinfun scaleC-fun-def*

```

by (auto intro: bounded-linear.isUCont simp add: bounded-clinear.bounded-linear

    bounded-clinear-scaleC-right bounded-linear-compose cblinfun.real.bounded-linear-right)
show action-gns-precomplete' (c*_C a) =
  (c *_C (action-gns-closure a)) o to-metric-completion
using action-gns-closure(2) action-precomplete-morphism'(2)
unfolding o-def scaleC-fun-def by metis
qed
thus  $\pi_\omega (c*_C a) = c *_C (\pi_\omega a)$ 
unfolding action-closure-cblinfun
by (intro cblinfun-eqI) (simp add: scaleC-fun-def)

show action-gns-closure 1 = id-cblinfun
by (metis action-gns-closure(1,2) action-gns-unif-cts' action-precomplete-morphism'(3)
apply-id-cblinfun
    bounded-linear.isUCont cblinfun.real.bounded-linear-right fun.map-id lift-to-metric-completion4)
thus  $\pi_\omega 1 = id-cblinfun$ 
by (smt (verit, best) action-closure-cblinfun cblinfun-eqI)

show action-gns-closure (a†) = cadjoint (action-gns-closure a)
proof action-uniq-eqI
have cblin-action: bounded-clinear (action-gns-closure a)
unfolding action-closure-cblinfun
by (metis (no-types) bounded-clinear-intro cblinfun.add-right
    cblinfun.scaleC-right norm-cblinfun ordered-field-class.sign-simps(5))

show isUCont (cajoints (action-gns-closure a))
by (auto intro!: bounded-linear.isUCont bounded-clinear.bounded-linear
    simp add: cblin-action cadjoint-bounded-clinear)
have to-metric-completion-cajoints:
  cadjoint (action-gns-closure a) (to-metric-completion x) •_C
    (to-metric-completion y) =
  cadjoint (action-gns-precomplete a) x •_C y
for x y :: 'a gns-precomplete
proof -
let ?x = to-metric-completion x
let ?y = to-metric-completion y
have cadjoint (action-gns-closure a) ?x •_C ?y = ?x •_C ((action-gns-closure a)
?y)
using cadjoint-univ-prop[OF cblin-action] by presburger
also have ... = ?x •_C (to-metric-completion ((action-gns-precomplete a) y))
using action-gns-closure(2)[unfolded action-gns-precomplete'-def o-def]
by metis
also have ... = x •_C ((action-gns-precomplete a) y)
by (rule to-metric-completion-cinner)
also have ... = (cajoints (action-gns-precomplete a) x) •_C y
using cadjoint-univ-prop[OF cblin-action]
by (metis (no-types, lifting) Groups.mult-ac(1) action-gns-precomplete'-def
action-gns-precomplete-alt)

```

action-precomplete-morphism'(3) action-precomplete-morphism(5) cin-
ner-gns-precomplete.abs-eq involution
ivl-times more-arith-simps(5) o-def to-metric-completion-cinner)
finally show *cadjoint (action-gns-closure a) ?x •_C ?y =*
cadjoint (action-gns-precomplete a) x •_C y
by –
qed

show *action-gns-precomplete' (a[†]) =*
cadjoint (action-gns-closure a) o to-metric-completion
unfolding *action-gns-closure(2)*
unfolding *o-def apply (intro ext)*
apply *(intro cinner-extensionality-metric-completion allI)*
by *(metis (mono-tags, opaque-lifting) action-cblinfun-apply action-closure-cblinfun*
action-gns-precomplete'-def
action-precomplete-morphism(5) cinner-eq-flip o-def to-metric-completion-cadjoint
to-metric-completion-cinner)
qed

thus π_ω *(involution a) = adj (π_ω a)*
unfolding *action-closure-cblinfun*
by *(intro cblinfun-eqI) (simp add: adj.rep-eq)*
qed

3.5 GNS theorem for π_ω

It's a little sparser than in textbooks: the existence of the Hilbert space and a representation in terms of bounded operators is implicit in the construction of *'a gns* and π_ω .

theorem *GNS-construction:*

shows *gns-1: $\forall u. \Omega \bullet_C (\pi_\omega u \Omega) = \omega u$*
and *gns-cyclic: closure { $\pi_\omega u \Omega \mid u. True$ } = UNIV*
and *gns-hom: $\pi_\omega (a+b) = \pi_\omega a + \pi_\omega b$*
 $\pi_\omega (a*b) = cblinfun-compose (\pi_\omega a) (\pi_\omega b)$
 $\pi_\omega (c*_C a) = c *_C (\pi_\omega a)$
 $\pi_\omega 1 = id-cblinfun$
 π_ω *(involution a) = adj (π_ω a)*

proof –

show $\forall u. \Omega \bullet_C cblinfun-apply (\pi_\omega u) \Omega = \omega u$
using *gns-1'[unfolded action-closure-cblinfun] by blast*
show *closure {cblinfun-apply ($\pi_\omega u$) $\Omega \mid u. True$ } = UNIV*
using *gns-cyclic'[unfolded action-closure-cblinfun] .*

qed *(use action-cblinfun-morphism in simp-all)*

unbundle *cblinfun-syntax*

no-notation *gns-1 ($\langle \Omega \rangle$)*

no-notation *action-cblinfun ($\langle \pi_\omega \rangle$)*

For presentation only, we give the existential form of the GNS construc-

tion. There's no disadvantage to using explicit constructions and $\forall u. gns-1$

```

 $\cdot_C (action-cblinfun\ u\ *_V\ gns-1) = \omega\ u$ 
  closure {action-cblinfun\ u\ *_V\ gns-1 | u. True} = UNIV
  action-cblinfun (?a + ?b) = action-cblinfun ?a + action-cblinfun ?b
  action-cblinfun (?a * ?b) = action-cblinfun ?a oCL action-cblinfun ?b
  action-cblinfun (?c *_C ?a) = ?c *_C action-cblinfun ?a
  action-cblinfun 1 = id-cblinfun
  action-cblinfun (?a†) = action-cblinfun ?a*.

```

theorem *GNS-construction'*:

```

obtains  $\pi_\omega :: 'a::cstar-state \Rightarrow ('a\ gns \Rightarrow_{CL} 'a\ gns)$ 
  and  $\Omega :: 'a\ gns$ 
where — properties of  $\Omega$ 
   $\forall u. \Omega \cdot_C (\pi_\omega\ u\ \Omega) = \omega\ u$ 
  and closure { $\pi_\omega\ u\ \Omega$  | u. True} = UNIV
  —  $\pi$  is a *-homomorphism
  and  $\pi_\omega (a+b) = \pi_\omega a + \pi_\omega b$ 
  and  $\pi_\omega (a*b) = cblinfun-compose (\pi_\omega a) (\pi_\omega b)$ 
  and  $\pi_\omega (c*_C a) = c *_C (\pi_\omega a)$ 
  and  $\pi_\omega 1 = id-cblinfun$ 
  and  $\pi_\omega (involution\ a) = adj (\pi_\omega a)$ 
using GNS-construction by blast
unbundle no cblinfun-syntax
notation gns-1 ( $\langle \Omega \rangle$ )
notation action-cblinfun ( $\langle \pi_\omega \rangle$ )

```

```

unbundle no lifting-syntax
unbundle no cstar-syntax
unbundle scaleC-syntax

```

end

References

- [1] O. Bratteli and D. W. Robinson. *Operator algebras and quantum statistical mechanics*. Texts and monographs in physics. Springer-Verlag, New York, 1979.
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