

First Order Clause

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Abstract

This entry provides reusable theories that lift properties of first-order (ground and nonground) terms to atoms, literals, and clauses. These properties include substitutions, orders, entailment, and typing. The sessions `AFP/First_Order_Terms` and `AFP/Abstract_Substitution` are the basis of this entry.

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9 Nonground Order **78**

```

theory Ground-Term-Extra
  imports Regular-Tree-Relations.Ground-Terms
begin

lemma gterm-is-fun: is-Fun (term-of-gterm t)
  <proof>

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Ground-Context
  imports Ground-Term-Extra
begin

type-synonym 'f ground-context = ('f, 'f gterm) actxt

abbreviation (input) GHole ( $\langle \square_G \rangle$ ) where
   $\square_G \equiv \square$ 

abbreviation ctxt-apply-gterm ( $\langle \cdot \rangle_G$  [1000, 0] 1000) where
   $C \langle s \rangle_G \equiv GFun \langle C; s \rangle$ 

lemma le-size-gctxt: size t  $\leq$  size (c<t>G)
  <proof>

lemma lt-size-gctxt: c  $\neq$   $\square \implies$  size t < size c<t>G
  <proof>

lemma gctxt-ident-iff-eq-GHole[simp]: c<t>G = t  $\longleftrightarrow$  c =  $\square$ 
  <proof>

end
theory Multiset-Extra
  imports
    HOL-Library.Multiset
    HOL-Library.Multiset-Order
    Nested-Multisets-Ordinals.Multiset-More
    Abstract-Substitution.Natural-Magma-Functor
begin

```

lemma *exists-multiset* [intro]: $\exists M. x \in \text{set-mset } M$
(proof)

global-interpretation *multiset-magma: natural-magma-with-empty* **where**
to-set = *set-mset* **and** *plus* = (+) **and** *wrap* = $\lambda l. \{\#l\# \}$ **and** *add* = *add-mset*
and *empty* = {#}
(proof)

global-interpretation *multiset-functor: finite-natural-functor* **where**
map = *image-mset* **and** *to-set* = *set-mset*
(proof)

global-interpretation *multiset-functor: natural-functor-conversion* **where**
map = *image-mset* **and** *to-set* = *set-mset* **and** *map-to* = *image-mset* **and**
map-from = *image-mset* **and**
map' = *image-mset* **and** *to-set'* = *set-mset*
(proof)

global-interpretation *multiset-functor: natural-magma-functor* **where**
map = *image-mset* **and** *to-set* = *set-mset* **and** *plus* = (+) **and** *wrap* = $\lambda l. \{\#l\# \}$
and *add* = *add-mset*
(proof)

lemma *one-le-countE*:
assumes $1 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ M'$
(proof)

lemma *two-le-countE*:
assumes $2 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ M')$
(proof)

lemma *three-le-countE*:
assumes $3 \leq \text{count } M \ x$
obtains M' **where** $M = \text{add-mset } x \ (\text{add-mset } x \ (\text{add-mset } x \ M'))$
(proof)

lemma *one-step-implies-multp_{HO}-strong*:
fixes $A \ B \ J \ K :: \text{- multiset}$
defines $J \equiv B - A$ **and** $K \equiv A - B$
assumes $J \neq \{\#\}$ **and** $\forall k \in \# \ K. \exists x \in \# \ J. R \ k \ x$
shows $\text{multp}_{HO} \ R \ A \ B$
(proof)

lemma *Uniq-antimono*: $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$
(proof)

lemma *Uniq-antimono'*: $(\bigwedge x. Q x \implies P x) \implies \text{Uniq } P \implies \text{Uniq } Q$
 ⟨proof⟩

lemma *multp-singleton-right[simp]*:
 assumes *transp R*
 shows $\text{multp } R \ M \ \{\#x\# \} \longleftrightarrow (\forall y \in\# \ M. \ R \ y \ x)$
 ⟨proof⟩

lemma *multp-singleton-left[simp]*:
 assumes *transp R*
 shows $\text{multp } R \ \{\#x\# \} \ M \longleftrightarrow (\{\#x\# \} \subset\# \ M \vee (\exists y \in\# \ M. \ R \ x \ y))$
 ⟨proof⟩

lemma *multp-singleton-singleton[simp]*: $\text{transp } R \implies \text{multp } R \ \{\#x\# \} \ \{\#y\# \} \longleftrightarrow$
 $R \ x \ y$
 ⟨proof⟩

lemma *multp-subset-supersetI*: $\text{transp } R \implies \text{multp } R \ A \ B \implies C \subseteq\# \ A \implies B$
 $\subseteq\# \ D \implies \text{multp } R \ C \ D$
 ⟨proof⟩

lemma *multp-double-doubleI*:
 assumes *transp R multp R A B*
 shows $\text{multp } R \ (A + A) \ (B + B)$
 ⟨proof⟩

lemma *multp-implies-one-step-strong*:
 fixes $A \ B \ I \ J \ K :: \text{- multiset}$
 assumes *transp R and asymp R and multp R A B*
 defines $J \equiv B - A$ and $K \equiv A - B$
 shows $J \neq \{\#\}$ and $\forall k \in\# \ K. \exists x \in\# \ J. \ R \ k \ x$
 ⟨proof⟩

lemma *multp-double-doubleD*:
 assumes *transp R and asymp R and multp R (A + A) (B + B)*
 shows $\text{multp } R \ A \ B$
 ⟨proof⟩

lemma *multp-double-double*:
 $\text{transp } R \implies \text{asymp } R \implies \text{multp } R \ (A + A) \ (B + B) \longleftrightarrow \text{multp } R \ A \ B$
 ⟨proof⟩

lemma *multp-doubleton-doubleton[simp]*:
 $\text{transp } R \implies \text{asymp } R \implies \text{multp } R \ \{\#x, x\# \} \ \{\#y, y\# \} \longleftrightarrow R \ x \ y$
 ⟨proof⟩

lemma *multp-single-doubleI*: $M \neq \{\#\} \implies \text{multp } R \ M \ (M + M)$
 ⟨proof⟩

lemma *mult1-implies-one-step-strong*:

assumes *trans r and asym r and* $(A, B) \in \text{mult1 } r$

shows $B - A \neq \{\#\}$ **and** $\forall k \in\# A - B. \exists j \in\# B - A. (k, j) \in r$
<proof>

lemma *asympt-multp*:

assumes *asympt R and transp R*

shows *asympt (multp R)*

<proof>

lemma *multp-doubleton-singleton*: $\text{transp } R \implies \text{multp } R \{\# x, x \#\} \{\# y \#\}$
 $\longleftrightarrow R x y$

<proof>

lemma *image-mset-remove1-mset*:

assumes *inj f*

shows $\text{remove1-mset } (f a) (\text{image-mset } f X) = \text{image-mset } f (\text{remove1-mset } a X)$

<proof>

lemma *multp_{DM}-map-strong*:

assumes

f-mono: monotone-on (set-mset (M1 + M2)) R S f and

M1-lt-M2: multp_{DM} R M1 M2

shows $\text{multp}_{DM} S (\text{image-mset } f M1) (\text{image-mset } f M2)$
<proof>

lemma *multp-map-strong*:

assumes

transp: transp R and

f-mono: monotone-on (set-mset (M1 + M2)) R S f and

M1-lt-M2: multp R M1 M2

shows $\text{multp } S (\text{image-mset } f M1) (\text{image-mset } f M2)$
<proof>

lemma *multp_{HO}-add-mset*:

assumes *asympt R transp R R x y multp_{HO} R X Y*

shows $\text{multp}_{HO} R (\text{add-mset } x X) (\text{add-mset } y Y)$

<proof>

lemma *multp-add-mset*:

assumes *asympt R transp R R x y multp R X Y*

shows $\text{multp } R (\text{add-mset } x X) (\text{add-mset } y Y)$

<proof>

lemma *multp-add-mset'*:

assumes *R x y*

shows $\text{multp } R (\text{add-mset } x X) (\text{add-mset } y X)$

<proof>

lemma *multp-add-mset-reflclp*:

assumes *asympt R transp R R x y (multp R)⁼⁼ X Y*

shows *multp R (add-mset x X) (add-mset y Y)*

<proof>

lemma *multp-add-same [simp]*:

assumes *asympt R transp R*

shows *multp R (add-mset x X) (add-mset x Y) \longleftrightarrow multp R X Y*

<proof>

lemma *inj-mset-plus-same: inj ($\lambda X :: 'a \text{ multiset} . X + X$)*

<proof>

lemma *multp-image-lesseq-if-all-lesseq*:

assumes

asympt: asympt R and

transp: transp R and

all-lesseq: $\forall x \in \#X. R^{==} (f x) (g x)$

shows *(multp R)⁼⁼ (image-mset f X) (image-mset g X)*

<proof>

lemma *multp-image-less-if-all-lesseq-ex-less*:

assumes

asympt: asympt R and

transp: transp R and

all-less-eq: $\forall x \in \#X. R^{==} (f x) (g x)$ and

ex-less: $\exists x \in \#X. R (f x) (g x)$

shows *multp R $\{\# f x. x \in \# X \#\}$ $\{\# g x. x \in \# X \#\}$*

<proof>

lemma *not-reflp-multp_{DM}: $\neg \text{reflp} (\text{multp}_{DM} R)$*

<proof>

lemma *not-less-empty-multp_{DM}: $\neg \text{multp}_{DM} R X \{\#\}$*

<proof>

lemma *not-reflp-multp_{HO}: $\neg \text{reflp} (\text{multp}_{HO} R)$*

<proof>

lemma *not-less-empty-multp_{HO}: $\neg \text{multp}_{HO} R X \{\#\}$*

<proof>

lemma *not-refl-mult: $\neg \text{refl} (\text{mult} R)$*

<proof>

lemma *not-less-empty-mult*: $(X, \{\#\}) \notin \text{mult } R$
 ⟨*proof*⟩

lemma *empty-less-mult*: $X \neq \{\#\} \implies (\{\#\}, X) \in \text{mult } R$
 ⟨*proof*⟩

lemma *not-reflp-mult*: $\neg \text{reflp } (\text{multp } R)$
 ⟨*proof*⟩

lemma *empty-less-multp*: $X \neq \{\#\} \implies \text{multp } R \ \{\#\} \ X$
 ⟨*proof*⟩

lemma *not-less-empty-multp*: $\neg \text{multp } R \ X \ \{\#\}$
 ⟨*proof*⟩

end

theory *Uprod-Extra*

imports
 HOL-Library.Uprod
 Multiset-Extra
 Abstract-Substitution.Natural-Functor

begin

abbreviation *upair where*
 $\text{upair} \equiv \lambda(x, y). \text{Upair } x \ y$

lemma *Upair-sym*: $\text{Upair } x \ y = \text{Upair } y \ x$
 ⟨*proof*⟩

lemma *upair-in-sym* [*simp*]:
assumes *sym* *I*
shows $\text{Upair } a \ b \in \text{upair } 'I \iff (a, b) \in I \wedge (b, a) \in I$
 ⟨*proof*⟩

lemma *ex-ordered-Upair*:
assumes *tot*: *totalp-on* (*set-uprod* *p*) *R*
shows $\exists x \ y. p = \text{Upair } x \ y \wedge R^{\text{==}} x \ y$
 ⟨*proof*⟩

definition *mset-uprod* :: '*a* *uprod* \Rightarrow '*a* *multiset where*
 $\text{mset-uprod} = \text{case-uprod } (\text{Abs-commute } (\lambda x \ y. \{\#x, y\#}))$

lemma *Abs-commute-inverse-mset* [*simp*]:
apply-commute (*Abs-commute* ($\lambda x \ y. \{\#x, y\#}$)) = ($\lambda x \ y. \{\#x, y\#}$)
 ⟨*proof*⟩

lemma *set-mset-mset-uprod* [*simp*]: $\text{set-mset } (\text{mset-uprod } \text{up}) = \text{set-uprod } \text{up}$
 ⟨*proof*⟩

lemma *mset-uprod-Upair [simp]*: $mset-uprod (Upair\ x\ y) = \{\#x, y\# \}$
 ⟨proof⟩

lemma *map-uprod-inverse*: $(\bigwedge x. f (g\ x) = x) \implies (\bigwedge y. map-uprod\ f (map-uprod\ g\ y) = y)$
 ⟨proof⟩

lemma *mset-uprod-image-mset*: $mset-uprod (map-uprod\ f\ p) = image-mset\ f (mset-uprod\ p)$
 ⟨proof⟩

lemma *ball-set-uprod [simp]*: $(\forall t \in set-uprod (Upair\ t_1\ t_2). P\ t) \longleftrightarrow P\ t_1 \wedge P\ t_2$
 ⟨proof⟩

lemma *inj-mset-uprod*: *inj mset-uprod*
 ⟨proof⟩

lemma *mset-uprod-plus-neq*: $mset-uprod\ a \neq mset-uprod\ b + mset-uprod\ b$
 ⟨proof⟩

lemma *set-uprod-not-empty*: $set-uprod\ a \neq \{\}$
 ⟨proof⟩

lemma *exists-uprod [intro]*: $\exists a. x \in set-uprod\ a$
 ⟨proof⟩

global-interpretation *uprod-functor*: *finite-natural-functor* **where** $map = map-uprod$
and $to-set = set-uprod$
 ⟨proof⟩

global-interpretation *uprod-functor*: *natural-functor-conversion* **where**
 $map = map-uprod$ **and** $to-set = set-uprod$ **and** $map-to = map-uprod$ **and** $map-from$
 $= map-uprod$ **and**
 $map' = map-uprod$ **and** $to-set' = set-uprod$
 ⟨proof⟩

end

theory *Ground-Clause*

imports

Saturation-Framework-Extensions.Clausal-Calculus

Ground-Term-Extra

Ground-Context

Uprod-Extra

begin

type-synonym $'f\ gatom = 'f\ gterm\ uprod$

end

```

theory Typing
  imports Main
begin

locale predicate-typed =
  fixes typed :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool
  assumes right-unique: right-unique typed
begin

abbreviation is-typed where
  is-typed expr  $\equiv \exists \tau. \textit{typed expr } \tau$ 

lemmas right-uniqueD [dest] = right-uniqueD[OF right-unique]

end

definition uniform-typed-lifting where
  uniform-typed-lifting to-set sub-typed expr  $\equiv \exists \tau. \forall \textit{sub} \in \textit{to-set expr}. \textit{sub-typed sub } \tau$ 

definition is-typed-lifting where
  is-typed-lifting to-set sub-is-typed expr  $\equiv \forall \textit{sub} \in \textit{to-set expr}. \textit{sub-is-typed sub}$ 

locale typing =
  fixes is-typed is-welltyped
  assumes is-typed-if-is-welltyped:
     $\bigwedge \textit{expr}. \textit{is-welltyped expr} \Longrightarrow \textit{is-typed expr}$ 

locale explicit-typing =
  typed: predicate-typed where typed = typed +
  welltyped: predicate-typed where typed = welltyped
for typed welltyped :: 'expr  $\Rightarrow$  'ty  $\Rightarrow$  bool +
assumes typed-if-welltyped:  $\bigwedge \textit{expr } \tau. \textit{welltyped expr } \tau \Longrightarrow \textit{typed expr } \tau$ 
begin

abbreviation is-typed where
  is-typed  $\equiv \textit{typed.is-typed}$ 

abbreviation is-welltyped where
  is-welltyped  $\equiv \textit{welltyped.is-typed}$ 

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
   $\langle \textit{proof} \rangle$ 

lemma typed-welltyped-same-type:
  assumes typed expr  $\tau$  welltyped expr  $\tau'$ 
  shows  $\tau = \tau'$ 
   $\langle \textit{proof} \rangle$ 

```

```

end

locale uniform-typing-lifting =
  sub: explicit-typing where typed = sub-typed and welltyped = sub-welltyped
for sub-typed sub-welltyped :: 'sub ⇒ 'ty ⇒ bool +
fixes to-set :: 'expr ⇒ 'sub set
begin

abbreviation is-typed where
  is-typed ≡ uniform-typed-lifting to-set sub-typed

lemmas is-typed-def = uniform-typed-lifting-def[of to-set sub-typed]

abbreviation is-welltyped where
  is-welltyped ≡ uniform-typed-lifting to-set sub-welltyped

lemmas is-welltyped-def = uniform-typed-lifting-def[of to-set sub-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
  ⟨proof⟩

end

locale typing-lifting =
  sub: typing where is-typed = sub-is-typed and is-welltyped = sub-is-welltyped
for sub-is-typed sub-is-welltyped :: 'sub ⇒ bool +
fixes
  to-set :: 'expr ⇒ 'sub set
begin

abbreviation is-typed where
  is-typed ≡ is-typed-lifting to-set sub-is-typed

lemmas is-typed-def = is-typed-lifting-def[of to-set sub-is-typed]

abbreviation is-welltyped where
  is-welltyped ≡ is-typed-lifting to-set sub-is-welltyped

lemmas is-welltyped-def = is-typed-lifting-def[of to-set sub-is-welltyped]

sublocale typing where is-typed = is-typed and is-welltyped = is-welltyped
  ⟨proof⟩

end

end
theory Natural-Magma-Typing-Lifting
imports
  Abstract-Substitution.Natural-Magma

```

```

    Typing
  begin

  locale natural-magma-is-typed-lifting = natural-magma where to-set = to-set
    for to-set :: 'expr  $\Rightarrow$  'sub set +
    fixes sub-is-typed :: 'sub  $\Rightarrow$  bool
  begin

  abbreviation (input) is-typed where
    is-typed  $\equiv$  is-typed-lifting to-set sub-is-typed

  lemma add [simp]:
    is-typed (add sub M)  $\longleftrightarrow$  sub-is-typed sub  $\wedge$  is-typed M
    <proof>

  lemma plus [simp]:
    is-typed (plus M M')  $\longleftrightarrow$  is-typed M  $\wedge$  is-typed M'
    <proof>

  end

  locale natural-magma-with-empty-is-typed-lifting =
    natural-magma-is-typed-lifting + natural-magma-with-empty
  begin

  lemma empty [intro]: is-typed empty
    <proof>

  end

  locale natural-magma-typing-lifting = typing-lifting + natural-magma
  begin

  sublocale is-typed: natural-magma-is-typed-lifting where sub-is-typed = sub-is-typed
    <proof>

  sublocale is-welltyped: natural-magma-is-typed-lifting where sub-is-typed = sub-is-welltyped
    <proof>

  end

  locale natural-magma-with-empty-typing-lifting =
    natural-magma-typing-lifting + natural-magma-with-empty
  begin

  sublocale is-typed: natural-magma-with-empty-is-typed-lifting where sub-is-typed
    = sub-is-typed
    <proof>

```

```

sublocale is-welltyped: natural-magma-with-empty-is-typed-lifting where
  sub-is-typed = sub-is-welltyped
  ⟨proof⟩

end

end
theory Multiset-Typing-Lifting
  imports
    Natural-Magma-Typing-Lifting
    Multiset-Extra
    Abstract-Substitution.Functional-Substitution-Lifting
begin

locale multiset-typing-lifting = typing-lifting where to-set = set-mset
begin

sublocale natural-magma-with-empty-typing-lifting where
  to-set = set-mset and plus = (+) and wrap = λl. {#l#} and add = add-mset
and empty = {#}
  ⟨proof⟩

end

end
theory Clausal-Calculus-Extra
  imports
    Saturation-Framework-Extensions.Clausal-Calculus
    Uprod-Extra
begin

lemma literal-cases:  $\llbracket \mathcal{P} \in \{Pos, Neg\}; \mathcal{P} = Pos \implies P; \mathcal{P} = Neg \implies P \rrbracket \implies P$ 
  ⟨proof⟩

lemma map-literal-inverse:
   $(\bigwedge x. f (g x) = x) \implies (\bigwedge l. \text{map-literal } f (\text{map-literal } g l) = l)$ 
  ⟨proof⟩

lemma map-literal-comp:
   $\text{map-literal } f (\text{map-literal } g l) = \text{map-literal } (\lambda a. f (g a)) l$ 
  ⟨proof⟩

lemma literals-distinct [simp]:  $Pos \neq Neg \quad Neg \neq Pos$ 
  ⟨proof⟩

primrec mset-lit :: 'a uprod literal  $\Rightarrow$  'a multiset where
  mset-lit (Pos a) = mset-uprod a |
  mset-lit (Neg a) = mset-uprod a + mset-uprod a

```

lemma *mset-lit-image-mset*: $mset\text{-lit } (map\text{-literal } (map\text{-uprod } f) l) = image\text{-mset } f (mset\text{-lit } l)$
 ⟨proof⟩

lemma *uprod-mem-image-iff-prod-mem[simp]*:
assumes $sym\ I$
shows $(Upair\ t\ t') \in (\lambda(t_1, t_2).\ Upair\ t_1\ t_2) \text{ ' } I \longleftrightarrow (t, t') \in I$
 ⟨proof⟩

lemma *true-lit-uprod-iff-true-lit-prod[simp]*:
assumes $sym\ I$
shows
 $upair \text{ ' } I \Vdash_l Pos\ (Upair\ t\ t') \longleftrightarrow I \Vdash_l Pos\ (t, t')$
 $upair \text{ ' } I \Vdash_l Neg\ (Upair\ t\ t') \longleftrightarrow I \Vdash_l Neg\ (t, t')$
 ⟨proof⟩

abbreviation *Pos-Upair* (**infix** ≈ 66) **where**
 $Pos\text{-Upair } t\ t' \equiv Pos\ (Upair\ t\ t')$

abbreviation *Neg-Upair* (**infix** $!\approx 66$) **where**
 $Neg\text{-Upair } t\ t' \equiv Neg\ (Upair\ t\ t')$

lemma *exists-literal-for-atom [intro]*: $\exists l. a \in set\text{-literal } l$
 ⟨proof⟩

lemma *exists-literal-for-term [intro]*: $\exists l. t \in\# mset\text{-lit } l$
 ⟨proof⟩

lemma *finite-set-literal [intro]*: $finite\ (set\text{-literal } l)$
 ⟨proof⟩

lemma *map-literal-map-uprod-cong*:
assumes $\bigwedge t. t \in\# mset\text{-lit } l \implies f\ t = g\ t$
shows $map\text{-literal } (map\text{-uprod } f) l = map\text{-literal } (map\text{-uprod } g) l$
 ⟨proof⟩

lemma *set-mset-set-uprod*: $set\text{-mset } (mset\text{-lit } l) = set\text{-uprod } (atm\text{-of } l)$
 ⟨proof⟩

lemma *mset-lit-set-literal*: $t \in\# mset\text{-lit } l \longleftrightarrow t \in \bigcup (set\text{-uprod } \text{ ' } set\text{-literal } l)$
 ⟨proof⟩

lemma *inj-mset-lit*: $inj\ mset\text{-lit}$
 ⟨proof⟩

global-interpretation *literal-functor*: *finite-natural-functor* **where**
 $map = map\text{-literal}$ **and** $to\text{-set} = set\text{-literal}$
 ⟨proof⟩

global-interpretation *literal-functor: natural-functor-conversion* **where**
 $map = map\text{-}literal$ **and** $to\text{-}set = set\text{-}literal$ **and** $map\text{-}to = map\text{-}literal$ **and**
 $map\text{-}from = map\text{-}literal$ **and**
 $map' = map\text{-}literal$ **and** $to\text{-}set' = set\text{-}literal$
 $\langle proof \rangle$

abbreviation *uprod-literal-to-set* **where** $uprod\text{-}literal\text{-}to\text{-}set\ l \equiv set\text{-}mset\ (mset\text{-}lit\ l)$

abbreviation *map-uprod-literal* **where** $map\text{-}uprod\text{-}literal\ f \equiv map\text{-}literal\ (map\text{-}uprod\ f)$

global-interpretation *uprod-literal-functor: finite-natural-functor* **where**
 $map = map\text{-}uprod\text{-}literal$ **and** $to\text{-}set = uprod\text{-}literal\text{-}to\text{-}set$
 $\langle proof \rangle$

global-interpretation *uprod-literal-functor: natural-functor-conversion* **where**
 $map = map\text{-}uprod\text{-}literal$ **and** $to\text{-}set = uprod\text{-}literal\text{-}to\text{-}set$ **and** $map\text{-}to = map\text{-}uprod\text{-}literal$
and
 $map\text{-}from = map\text{-}uprod\text{-}literal$ **and** $map' = map\text{-}uprod\text{-}literal$ **and** $to\text{-}set' = uprod\text{-}literal\text{-}to\text{-}set$
 $\langle proof \rangle$

lemma *exists-inference* [intro]: $\exists \iota. f \in set\text{-}inference\ \iota$
 $\langle proof \rangle$

lemma *finite-set-inference* [intro]: $finite\ (set\text{-}inference\ \iota)$
 $\langle proof \rangle$

global-interpretation *inference-functor: finite-natural-functor* **where**
 $map = map\text{-}inference$ **and** $to\text{-}set = set\text{-}inference$
 $\langle proof \rangle$

global-interpretation *inference-functor: natural-functor-conversion* **where**
 $map = map\text{-}inference$ **and** $to\text{-}set = set\text{-}inference$ **and** $map\text{-}to = map\text{-}inference$
and
 $map\text{-}from = map\text{-}inference$ **and** $map' = map\text{-}inference$ **and** $to\text{-}set' = set\text{-}inference$
 $\langle proof \rangle$

end

theory *Clause-Typing*

imports

Multiset-Typing-Lifting

Clausal-Calculus-Extra

Multiset-Extra

Uprod-Extra

begin

locale *clause-typing* =
term: explicit-typing term-typed term-welltyped
for *term-typed term-welltyped*
begin

sublocale *atom: uniform-typing-lifting* **where**
sub-typed = term-typed and
sub-welltyped = term-welltyped and
to-set = set-uprod
<proof>

lemma *atom-is-typed-iff [simp]:*
atom.is-typed (Upair t t') \longleftrightarrow ($\exists \tau. \text{term-typed } t \ \tau \wedge \text{term-typed } t' \ \tau$)
<proof>

lemma *atom-is-welltyped-iff [simp]:*
atom.is-welltyped (Upair t t') \longleftrightarrow ($\exists \tau. \text{term-welltyped } t \ \tau \wedge \text{term-welltyped } t' \ \tau$)
<proof>

sublocale *literal: typing-lifting* **where**
sub-is-typed = atom.is-typed and
sub-is-welltyped = atom.is-welltyped and
to-set = set-literal
<proof>

lemma *literal-is-typed-iff [simp]:*
literal.is-typed (t \approx t') \longleftrightarrow atom.is-typed (Upair t t')
literal.is-typed (t $!\approx$ t') \longleftrightarrow atom.is-typed (Upair t t')
<proof>

lemma *literal-is-welltyped-iff [simp]:*
literal.is-welltyped (t \approx t') \longleftrightarrow atom.is-welltyped (Upair t t')
literal.is-welltyped (t $!\approx$ t') \longleftrightarrow atom.is-welltyped (Upair t t')
<proof>

lemma *literal-is-typed-iff-atm-of: literal.is-typed l \longleftrightarrow atom.is-typed (atm-of l)*
<proof>

lemma *literal-is-welltyped-iff-atm-of:*
literal.is-welltyped l \longleftrightarrow atom.is-welltyped (atm-of l)
<proof>

sublocale *clause: multiset-typing-lifting* **where**
sub-is-typed = literal.is-typed and
sub-is-welltyped = literal.is-welltyped
<proof>

end

```

end
theory Context-Extra
  imports First-Order-Terms.Subterm-and-Context
begin

no-notation subst-compose (infixl  $\circ_s$  75)
no-notation subst-apply-term (infixl  $\cdot$  67)

end
theory Term-Typing
  imports Typing Context-Extra
begin

type-synonym ('f, 'ty) fun-types = 'f  $\Rightarrow$  nat  $\Rightarrow$  'ty list  $\times$  'ty

locale context-compatible-typing =
  fixes Fun typed
  assumes
    context-compatible [intro]:
       $\bigwedge t t' c \tau \tau'. \text{typed } t \tau' \Longrightarrow \text{typed } t' \tau' \Longrightarrow \text{typed } (\text{Fun}\langle c; t \rangle) \tau \Longrightarrow \text{typed } (\text{Fun}\langle c; t' \rangle) \tau$ 

locale subterm-typing =
  fixes Fun typed
  assumes
    subterm':  $\bigwedge f ts \tau. \text{typed } (\text{Fun } f \text{ } ts) \tau \Longrightarrow \forall t \in \text{set } ts. \exists \tau'. \text{typed } t \tau'$ 
begin

lemma subterm:  $\text{typed } (\text{Fun}\langle c; t \rangle) \tau \Longrightarrow \exists \tau. \text{typed } t \tau$ 
  <proof>

end

locale term-typing =
  explicit-typing +
  typed: context-compatible-typing where typed = typed +
  welltyped: context-compatible-typing where typed = welltyped +
  welltyped: subterm-typing where typed = welltyped +
  assumes all-terms-are-typed:  $\bigwedge t. \text{is-typed } t$ 
begin

sublocale typed: subterm-typing
  <proof>

end

```

```

end
theory Ground-Typing
  imports
    Ground-Clause
    Clause-Typing
    Term-Typing
  begin

  inductive typed for  $\mathcal{F}$  where
     $GFun: \mathcal{F} f (\text{length } ts) = (\tau s, \tau) \implies \text{typed } \mathcal{F} (GFun f ts) \tau$ 

  inductive welltyped for  $\mathcal{F}$  where
     $GFun: \mathcal{F} f (\text{length } ts) = (\tau s, \tau) \implies \text{list-all2 } (\text{welltyped } \mathcal{F}) ts \tau s \implies \text{welltyped } \mathcal{F} (GFun f ts) \tau$ 

  locale ground-term-typing =
    fixes  $\mathcal{F} :: ('f, 'ty) \text{fun-types}$ 
  begin

  abbreviation typed where  $\text{typed} \equiv \text{Ground-Typing.typed } \mathcal{F}$ 
  abbreviation welltyped where  $\text{welltyped} \equiv \text{Ground-Typing.welltyped } \mathcal{F}$ 

  sublocale explicit-typing where  $\text{typed} = \text{typed}$  and  $\text{welltyped} = \text{welltyped}$ 
   $\langle \text{proof} \rangle$ 

  sublocale term-typing where  $\text{typed} = \text{typed}$  and  $\text{welltyped} = \text{welltyped}$  and  $Fun = GFun$ 
   $\langle \text{proof} \rangle$ 

  end

  locale ground-typing = term: ground-term-typing
  begin

  sublocale clause-typing where  $\text{term-typed} = \text{term.typed}$  and  $\text{term-welltyped} = \text{term.welltyped}$ 
   $\langle \text{proof} \rangle$ 

  end

  end
theory Nonground-Term
  imports
    Abstract-Substitution.Substitution-First-Order-Term
    Abstract-Substitution.Functional-Substitution-Lifting
    Ground-Term-Extra
  begin

  no-notation subst-compose (infixl  $\circ_s$  75)

```

notation *subst-compose* (**infixl** \odot 75)

no-notation *subst-apply-term* (**infixl** \cdot 67)

notation *subst-apply-term* (**infixl** $\cdot t$ 67)

Prefer *term-subst.subst-id-subst* to *subst-apply-term-empty*.

declare *subst-apply-term-empty*[*no-atp*]

1 Nonground Terms and Substitutions

type-synonym *'f ground-term* = *'f gterm*

1.1 Unified naming

locale *vars-def* =

fixes *vars-def* :: *'expr* \Rightarrow *'var*

begin

abbreviation *vars* \equiv *vars-def*

end

locale *grounding-def* =

fixes

to-ground-def :: *'expr* \Rightarrow *'expr_G* **and**

from-ground-def :: *'expr_G* \Rightarrow *'expr*

begin

abbreviation *to-ground* \equiv *to-ground-def*

abbreviation *from-ground* \equiv *from-ground-def*

end

1.2 Term

locale *nonground-term-properties* =

base-functional-substitution +

finite-variables +

all-subst-ident-iff-ground

locale *term-grounding* =

variables-in-base-imgu **where** *base-vars* = *vars* **and** *base-subst* = *subst* +

grounding

locale *nonground-term*

begin

sublocale *vars-def* **where** *vars-def* = *vars-term* \langle *proof* \rangle

sublocale *grounding-def* **where**

to-ground-def = *gterm-of-term* **and** *from-ground-def* = *term-of-gterm* \langle *proof* \rangle

lemma *infinite-terms* [*intro*]: *infinite* (*UNIV* :: (*'f*, *'v*) *term set*)
 \langle *proof* \rangle

sublocale *nonground-term-properties* **where**

subst = $(\cdot t)$ **and** *id-subst* = *Var* **and** *comp-subst* = (\odot) **and**

vars = *vars* :: (*'f*, *'v*) *term* \Rightarrow *'v set*

\langle *proof* \rangle

sublocale *renaming-variables* **where**

vars = *vars* :: (*'f*, *'v*) *term* \Rightarrow *'v set* **and** *subst* = $(\cdot t)$ **and** *id-subst* = *Var* **and**

comp-subst = (\odot)

\langle *proof* \rangle

sublocale *term-grounding* **where**

subst = $(\cdot t)$ **and** *id-subst* = *Var* **and** *comp-subst* = (\odot) **and**

vars = *vars* :: (*'f*, *'v*) *term* \Rightarrow *'v set* **and** *from-ground* = *from-ground* **and**

to-ground = *to-ground*

\langle *proof* \rangle

lemma *term-context-ground-iff-term-is-ground* [*simp*]: *Term-Context*.*ground* *t* =
is-ground *t*

\langle *proof* \rangle

declare *Term-Context*.*ground-vars-term-empty* [*simp del*]

lemma *obtain-ground-fun*:

assumes *is-ground* *t*

obtains *f ts* **where** *t* = *Fun* *f ts*

\langle *proof* \rangle

end

1.3 Setup for lifting from terms

locale *lifting* =

based-functional-substitution-lifting +

all-subst-ident-iff-ground-lifting +

grounding-lifting +

renaming-variables-lifting +

variables-in-base-ingu-lifting

locale *term-based-lifting* =

term: *nonground-term* +

lifting **where**

$comp\text{-}subst = (\odot)$ **and** $id\text{-}subst = Var$ **and** $base\text{-}subst = (\cdot t)$ **and** $base\text{-}vars = term.vars$

end
theory *Nonground-Context*
imports
Nonground-Term
Ground-Context
begin

2 Nonground Contexts and Substitutions

type-synonym $(f, 'v) context = (f, 'v) ctxt$

abbreviation $subst\text{-}apply\text{-}ctxt ::$
 $(f, 'v) context \Rightarrow (f, 'v) subst \Rightarrow (f, 'v) context$ (**infixl** $\cdot t_c$ 67) **where**
 $subst\text{-}apply\text{-}ctxt \equiv subst\text{-}apply\text{-}actxt$

global-interpretation $context: finite\text{-}natural\text{-}functor$ **where**
 $map = map\text{-}args\text{-}actxt$ **and** $to\text{-}set = set2\text{-}actxt$
 $\langle proof \rangle$

global-interpretation $context: natural\text{-}functor\text{-}conversion$ **where**
 $map = map\text{-}args\text{-}actxt$ **and** $to\text{-}set = set2\text{-}actxt$ **and** $map\text{-}to = map\text{-}args\text{-}actxt$
and
 $map\text{-}from = map\text{-}args\text{-}actxt$ **and** $map' = map\text{-}args\text{-}actxt$ **and** $to\text{-}set' = set2\text{-}actxt$
 $\langle proof \rangle$

locale $nonground\text{-}context =$
 $term: nonground\text{-}term$
begin

sublocale $term\text{-}based\text{-}lifting$ **where**
 $sub\text{-}subst = (\cdot t)$ **and** $sub\text{-}vars = term.vars$ **and**
 $to\text{-}set = set2\text{-}actxt :: (f, 'v) context \Rightarrow (f, 'v) term set$ **and** $map = map\text{-}args\text{-}actxt$
and
 $sub\text{-}to\text{-}ground = term.to\text{-}ground$ **and** $sub\text{-}from\text{-}ground = term.from\text{-}ground$ **and**
 $to\text{-}ground\text{-}map = map\text{-}args\text{-}actxt$ **and** $from\text{-}ground\text{-}map = map\text{-}args\text{-}actxt$ **and**
 $ground\text{-}map = map\text{-}args\text{-}actxt$ **and** $to\text{-}set\text{-}ground = set2\text{-}actxt$
rewrites
 $\bigwedge c \sigma. subst\ c \sigma = c \cdot t_c \sigma$ **and**
 $\bigwedge c. vars\ c = vars\text{-}ctxt\ c$
 $\langle proof \rangle$

lemma $ground\text{-}ctxt\text{-}iff\text{-}context\text{-}is\text{-}ground$ [*simp*]: $ground\text{-}ctxt\ c \longleftrightarrow is\text{-}ground\ c$
 $\langle proof \rangle$

lemma $term\text{-}to\text{-}ground\text{-}context\text{-}to\text{-}ground$ [*simp*]:

shows $term.to-ground\ c\langle t \rangle = (to-ground\ c)\langle term.to-ground\ t \rangle_G$
<proof>

lemma *term-from-ground-context-from-ground* [simp]:
 $term.from-ground\ c_G\langle t_G \rangle_G = (from-ground\ c_G)\langle term.from-ground\ t_G \rangle$
<proof>

lemma *term-from-ground-context-to-ground*:
assumes *is-ground* c
shows $term.from-ground\ (to-ground\ c)\langle t_G \rangle_G = c\langle term.from-ground\ t_G \rangle$
<proof>

lemmas *safe-unfolds* =
eval-ctxt
term-to-ground-context-to-ground
term-from-ground-context-from-ground

lemma *composed-context-is-ground* [simp]:
 $is-ground\ (c\ \circ_c\ c') \longleftrightarrow is-ground\ c \wedge is-ground\ c'$
<proof>

lemma *ground-context-subst*:
assumes
is-ground c_G
 $c_G = (c \cdot t_c\ \sigma) \circ_c\ c'$
shows
 $c_G = c \circ_c\ c' \cdot t_c\ \sigma$
<proof>

lemma *from-ground-hole* [simp]: $from-ground\ c_G = \square \longleftrightarrow c_G = \square$
<proof>

lemma *hole-simps* [simp]: $from-ground\ \square = \square\ to-ground\ \square = \square$
<proof>

lemma *term-with-context-is-ground* [simp]:
 $term.is-ground\ c\langle t \rangle \longleftrightarrow is-ground\ c \wedge term.is-ground\ t$
<proof>

lemma *map-args-actxt-compose* [simp]:
 $map-args-actxt\ f\ (c \circ_c\ c') = map-args-actxt\ f\ c \circ_c\ map-args-actxt\ f\ c'$
<proof>

lemma *from-ground-compose* [simp]: $from-ground\ (c \circ_c\ c') = from-ground\ c \circ_c\ from-ground\ c'$
<proof>

lemma *to-ground-compose* [simp]: $to-ground\ (c \circ_c\ c') = to-ground\ c \circ_c\ to-ground$

```

c'
  ⟨proof⟩

end

locale nonground-term-with-context =
  term: nonground-term +
  context: nonground-context

end

theory Multiset-Grounding-Lifting
  imports
    HOL-Library.Multiset
    Abstract-Substitution.Functional-Substitution-Lifting
  begin

locale multiset-grounding-lifting =
  functional-substitution-lifting where to-set = set-mset and map = image-mset
+
  grounding-lifting where
  to-set = set-mset and map = image-mset and to-ground-map = image-mset and
  from-ground-map = image-mset and ground-map = image-mset and to-set-ground
= set-mset
begin

sublocale natural-magma-with-empty-grounding-lifting where
  plus = (+) and wrap = λl. {#l#} and plus-ground = (+) and wrap-ground =
λl. {#l#} and
  empty = {#} and empty-ground = {#} and to-set = set-mset and map =
image-mset and
  to-ground-map = image-mset and from-ground-map = image-mset and ground-map
= image-mset and
  to-set-ground = set-mset and add = add-mset and add-ground = add-mset
  ⟨proof⟩

sublocale natural-magma-functor-functional-substitution-lifting where
  plus = (+) and wrap = λl. {#l#} and to-set = set-mset and map = image-mset
and add = add-mset
  ⟨proof⟩

end

end

theory Nonground-Clause
  imports
    Ground-Clause
    Nonground-Term
    Nonground-Context
    Clausal-Calculus-Extra

```

Multiset-Extra
Multiset-Grounding-Lifting
begin

3 Nonground Clauses and Substitutions

type-synonym *'f ground-atom* = *'f gatom*
type-synonym (*'f, 'v*) *atom* = (*'f, 'v*) *term uprod*

locale *term-based-multiset-lifting* =
term-based-lifting **where**
map = *image-mset* **and** *to-set* = *set-mset* **and** *to-ground-map* = *image-mset* **and**
from-ground-map = *image-mset* **and** *ground-map* = *image-mset* **and** *to-set-ground*
= *set-mset*
begin

sublocale *multiset-grounding-lifting* **where**
id-subst = *Var* **and** *comp-subst* = (\odot)
<proof>

end

locale *nonground-clause* = *nonground-term-with-context*
begin

3.1 Nonground Atoms

sublocale *atom: term-based-lifting* **where**
sub-subst = ($\cdot t$) **and** *sub-vars* = *term.vars* **and** *map* = *map-uprod* **and** *to-set* =
set-uprod **and**
sub-to-ground = *term.to-ground* **and** *sub-from-ground* = *term.from-ground* **and**
to-ground-map = *map-uprod* **and** *from-ground-map* = *map-uprod* **and** *ground-map*
= *map-uprod* **and**
to-set-ground = *set-uprod*
<proof>

notation *atom.subst* (**infixl** $\cdot a$ 67)

lemma *vars-atom* [*simp*]: *atom.vars* (*Upair* t_1 t_2) = *term.vars* $t_1 \cup$ *term.vars* t_2
<proof>

lemma *subst-atom* [*simp*]:
Upair t_1 $t_2 \cdot a$ σ = *Upair* ($t_1 \cdot t$ σ) ($t_2 \cdot t$ σ)
<proof>

lemma *atom-from-ground-term-from-ground* [*simp*]:
atom.from-ground (*Upair* t_{G1} t_{G2}) = *Upair* (*term.from-ground* t_{G1}) (*term.from-ground*
 t_{G2})
<proof>

lemma *atom-to-ground-term-to-ground* [simp]:

$atom.to-ground (Upair\ t_1\ t_2) = Upair\ (term.to-ground\ t_1)\ (term.to-ground\ t_2)$
<proof>

lemma *atom-is-ground-term-is-ground* [simp]:

$atom.is-ground (Upair\ t_1\ t_2) \longleftrightarrow term.is-ground\ t_1 \wedge term.is-ground\ t_2$
<proof>

lemma *obtain-from-atom-subst*:

assumes $Upair\ t_1'\ t_2' = a \cdot a\ \sigma$
obtains $t_1\ t_2$
where $a = Upair\ t_1\ t_2\ t_1' = t_1 \cdot t\ \sigma\ t_2' = t_2 \cdot t\ \sigma$
<proof>

3.2 Nonground Literals

sublocale *literal: term-based-lifting* **where**

$sub-subst = atom.subst$ **and** $sub-vars = atom.vars$ **and** $map = map-literal$ **and**
 $to-set = set-literal$ **and** $sub-to-ground = atom.to-ground$ **and**
 $sub-from-ground = atom.from-ground$ **and** $to-ground-map = map-literal$ **and**
 $from-ground-map = map-literal$ **and** $ground-map = map-literal$ **and** $to-set-ground$
 $= set-literal$
<proof>

notation *literal.subst* (infixl $\cdot l$ 66)

lemma *vars-literal* [simp]:

$literal.vars (Pos\ a) = atom.vars\ a$
 $literal.vars (Neg\ a) = atom.vars\ a$
 $literal.vars ((if\ b\ then\ Pos\ else\ Neg)\ a) = atom.vars\ a$
<proof>

lemma *subst-literal* [simp]:

$Pos\ a \cdot l\ \sigma = Pos\ (a \cdot a\ \sigma)$
 $Neg\ a \cdot l\ \sigma = Neg\ (a \cdot a\ \sigma)$
 $atm-of\ (l \cdot l\ \sigma) = atm-of\ l \cdot a\ \sigma$
<proof>

lemma *subst-literal-if* [simp]:

$(if\ b\ then\ Pos\ else\ Neg)\ a \cdot l\ \varrho = (if\ b\ then\ Pos\ else\ Neg)\ (a \cdot a\ \varrho)$
<proof>

lemma *subst-polarity-stable*:

shows

$subst-neg-stable$ [simp]: $is-neg\ (l \cdot l\ \sigma) \longleftrightarrow is-neg\ l$ **and**
 $subst-pos-stable$ [simp]: $is-pos\ (l \cdot l\ \sigma) \longleftrightarrow is-pos\ l$
<proof>

declare *literal.discI* [intro]

lemma *literal-from-ground-atom-from-ground* [simp]:

literal.from-ground (*Neg* a_G) = *Neg* (*atom.from-ground* a_G)

literal.from-ground (*Pos* a_G) = *Pos* (*atom.from-ground* a_G)

<proof>

lemma *literal-from-ground-polarity-stable* [simp]:

shows

neg-literal-from-ground-stable: *is-neg* (*literal.from-ground* l_G) \longleftrightarrow *is-neg* l_G **and**

pos-literal-from-ground-stable: *is-pos* (*literal.from-ground* l_G) \longleftrightarrow *is-pos* l_G

<proof>

lemma *literal-to-ground-atom-to-ground* [simp]:

literal.to-ground (*Pos* a) = *Pos* (*atom.to-ground* a)

literal.to-ground (*Neg* a) = *Neg* (*atom.to-ground* a)

<proof>

lemma *literal-is-ground-atom-is-ground* [intro]:

literal.is-ground $l \longleftrightarrow$ *atom.is-ground* (*atm-of* l)

<proof>

lemma *obtain-from-pos-literal-subst*:

assumes $l \cdot l \ \sigma = t_1' \approx t_2'$

obtains $t_1 \ t_2$

where $l = t_1 \approx t_2 \ t_1' = t_1 \cdot t \ \sigma \ t_2' = t_2 \cdot t \ \sigma$

<proof>

lemma *obtain-from-neg-literal-subst*:

assumes $l \cdot l \ \sigma = t_1' !\approx t_2'$

obtains $t_1 \ t_2$

where $l = t_1 !\approx t_2 \ t_1 \cdot t \ \sigma = t_1' \ t_2 \cdot t \ \sigma = t_2'$

<proof>

lemmas *obtain-from-literal-subst* = *obtain-from-pos-literal-subst* *obtain-from-neg-literal-subst*

3.3 Nonground Literals - Alternative

lemma *uprod-literal-subst-eq-literal-subst*: *map-uprod-literal* ($\lambda t. t \cdot t \ \sigma$) $l = l \cdot l \ \sigma$

<proof>

lemma *uprod-literal-vars-eq-literal-vars*: \bigcup (*term.vars* ‘ *uprod-literal-to-set* l) = *literal.vars* l

<proof>

lemma *uprod-literal-from-ground-eq-literal-from-ground*:

map-uprod-literal term.from-ground $l_G =$ *literal.from-ground* l_G

<proof>

lemma *uprod-literal-to-ground-eq-literal-to-ground*:
 $map-uprod-literal\ term.to-ground\ l = literal.to-ground\ l$
 $\langle proof \rangle$

sublocale *uprod-literal: term-based-lifting* **where**
 $sub-subst = (\cdot t)$ **and** $sub-vars = term.vars$ **and** $map = map-uprod-literal$ **and**
 $to-set = uprod-literal-to-set$ **and** $sub-to-ground = term.to-ground$ **and**
 $sub-from-ground = term.from-ground$ **and** $to-ground-map = map-uprod-literal$
and
 $from-ground-map = map-uprod-literal$ **and** $ground-map = map-uprod-literal$ **and**
 $to-set-ground = uprod-literal-to-set$

rewrites
 $uprod-literal-subst\ [simp]: \bigwedge l\ \sigma. uprod-literal.subst\ l\ \sigma = literal.subst\ l\ \sigma$ **and**
 $uprod-literal-vars\ [simp]: \bigwedge l. uprod-literal.vars\ l = literal.vars\ l$ **and**
 $uprod-literal-from-ground\ [simp]: \bigwedge l_G. uprod-literal.from-ground\ l_G = literal.from-ground\ l_G$ **and**
 $uprod-literal-to-ground\ [simp]: \bigwedge l. uprod-literal.to-ground\ l = literal.to-ground\ l$
 $\langle proof \rangle$

lemma *mset-literal-from-ground*:
 $mset-lit\ (literal.from-ground\ l) = image-mset\ term.from-ground\ (mset-lit\ l)$
 $\langle proof \rangle$

3.4 Nonground Clauses

sublocale *clause: term-based-multiset-lifting* **where**
 $sub-subst = literal.subst$ **and** $sub-vars = literal.vars$ **and** $sub-to-ground = literal.to-ground$ **and**
 $sub-from-ground = literal.from-ground$
 $\langle proof \rangle$

notation $clause.subst$ (**infixl** \cdot 67)

lemmas *clause-submset-vars-clause-subset* [*intro*] =
 $clause.to-set-subset-vars-subset[OF\ set-mset-mono]$

lemmas *sub-ground-clause* = $clause.to-set-subset-is-ground[OF\ set-mset-mono]$

lemma *subst-clause-remove1-mset* [*simp*]:
assumes $l \in \# C$
shows $remove1-mset\ l\ C \cdot \sigma = remove1-mset\ (l \cdot l\ \sigma)\ (C \cdot \sigma)$
 $\langle proof \rangle$

lemma *clause-from-ground-remove1-mset* [*simp*]:
 $clause.from-ground\ (remove1-mset\ l_G\ C_G) =$
 $remove1-mset\ (literal.from-ground\ l_G)\ (clause.from-ground\ C_G)$
 $\langle proof \rangle$

lemmas *clause-safe-unfolds* =

```

    atom-to-ground-term-to-ground
    literal-to-ground-atom-to-ground
    atom-from-ground-term-from-ground
    literal-from-ground-atom-from-ground
    literal-from-ground-polarity-stable
    subst-atom
    subst-literal
    vars-atom
    vars-literal

end

end

theory Selection-Function
  imports Ordered-Resolution-Prover.Clausal-Logic
begin

locale selection-function =
  fixes select :: 'a clause  $\Rightarrow$  'a clause
  assumes
    select-subset:  $\bigwedge C. \text{select } C \subseteq\# C$  and
    select-negative-literals:  $\bigwedge C l. l \in\# \text{select } C \Longrightarrow \text{is-neg } l$ 

end

theory Nonground-Selection-Function
  imports
    Nonground-Clause
    Selection-Function
begin

type-synonym 'f ground-select = 'f ground-atom clause  $\Rightarrow$  'f ground-atom clause
type-synonym ('f, 'v) select = ('f, 'v) atom clause  $\Rightarrow$  ('f, 'v) atom clause

context nonground-clause
begin

definition is-select-grounding :: ('f, 'v) select  $\Rightarrow$  'f ground-select  $\Rightarrow$  bool where
  is-select-grounding select selectG  $\equiv \forall C_G. \exists C \gamma.$ 
    clause.is-ground (C ·  $\gamma$ )  $\wedge$ 
    CG = clause.to-ground (C ·  $\gamma$ )  $\wedge$ 
    selectG CG = clause.to-ground ((select C) ·  $\gamma$ )

end

locale nonground-selection-function =
  nonground-clause +
  selection-function select
  for select :: ('f, 'v) atom clause  $\Rightarrow$  ('f, 'v) atom clause
begin

```

abbreviation *is-grounding* :: 'f ground-select \Rightarrow bool **where**

is-grounding select_G \equiv *is-select-grounding select select_G*

definition *select_{Gs}* **where**

select_{Gs} = { *select_G. is-grounding select_G* }

definition *select_G-simple* **where**

select_G-simple C = *clause.to-ground (select (clause.from-ground C))*

lemma *select_G-simple: is-grounding select_G-simple*

<proof>

lemma *select-is-ground:*

assumes *clause.is-ground C*

shows *clause.is-ground (select C)*

<proof>

lemma *is-ground-in-selection:*

assumes $l \in \# \text{select (clause.from-ground C)}$

shows *literal.is-ground l*

<proof>

lemma *ground-literal-in-selection:*

assumes *clause.is-ground C* $l_G \in \# \text{clause.to-ground C}$

shows *literal.from-ground l_G \in # C*

<proof>

lemma *select-ground-subst:*

assumes *clause.is-ground (C · γ)*

shows *clause.is-ground (select C · γ)*

<proof>

lemma *select-neg-subst:*

assumes $l \in \# \text{select C} \cdot \gamma$

shows *is-neg l*

<proof>

lemma *select-vars-subset: $\bigwedge C. \text{clause.vars (select C)} \subseteq \text{clause.vars C}$*

<proof>

end

end

theory *Collect-Extra*

imports *Main*

begin

lemma *Collect-if-eq: $\{x. \text{if } b \ x \ \text{then } P \ x \ \text{else } Q \ x\} = \{x. b \ x \wedge P \ x\} \cup \{x. \neg b \ x\}$*

$\wedge Q x\}$
 $\langle proof \rangle$

lemma *Collect-not-mem-conj-eq*: $\{x. x \notin X \wedge P x\} = \{x. P x\} - X$
 $\langle proof \rangle$

end

theory *Infinite-Variables-Per-Type*

imports

HOL-Library.Countable-Set

HOL-Cardinals.Cardinals

Fresh-Identifiers.Fresh

Collect-Extra

begin

lemma *infinite-prods*:

assumes *infinite* (*UNIV* :: 'a set)

shows *infinite* $\{p :: 'a \times 'a. fst p = x\}$

$\langle proof \rangle$

lemma *surj-infinite-set*: $surj\ g \implies infinite\ \{x. f\ x = \tau\} \implies infinite\ \{x. f\ (g\ x) = \tau\}$

$\langle proof \rangle$

definition *infinite-variables-per-type-on* :: 'var set \Rightarrow ('var \Rightarrow 'ty) \Rightarrow bool **where**
infinite-variables-per-type-on *X* $\mathcal{V} \equiv \forall \tau \in \mathcal{V}. \text{infinite}\ \{x. \mathcal{V}\ x = \tau\}$

abbreviation *infinite-variables-per-type* :: ('var \Rightarrow 'ty) \Rightarrow bool **where**
infinite-variables-per-type \equiv *infinite-variables-per-type-on UNIV*

lemma *obtain-type-preserving-inj*:

fixes $\mathcal{V} :: 'v \Rightarrow 'ty$

assumes

finite-X: *finite* *X* **and**

\mathcal{V} : *infinite-variables-per-type* \mathcal{V}

obtains $f :: 'v \Rightarrow 'v$ **where**

inj f

$X \cap f\ 'Y = \{\}$

$\forall x \in Y. \mathcal{V}\ (f\ x) = \mathcal{V}\ x$

$\langle proof \rangle$

lemma *obtain-type-preserving-injs*:

fixes $\mathcal{V}_1\ \mathcal{V}_2 :: 'v \Rightarrow 'ty$

assumes

finite-X: *finite* *X* **and**

\mathcal{V}_2 : *infinite-variables-per-type* \mathcal{V}_2

obtains $f\ f' :: 'v \Rightarrow 'v$ **where**

inj f *inj* f'

$f\ 'X \cap f'\ 'Y = \{\}$

$\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$
 $\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$
 <proof>

lemma *obtain-type-preserving-injs'*:

fixes $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$

assumes

finite-Y: *finite Y and*

\mathcal{V}_1 : *infinite-variables-per-type \mathcal{V}_1*

obtains $f f' :: 'v \Rightarrow 'v$ **where**

inj f inj f'

$f' X \cap f' Y = \{\}$

$\forall x \in X. \mathcal{V}_1 (f x) = \mathcal{V}_1 x$

$\forall x \in Y. \mathcal{V}_2 (f' x) = \mathcal{V}_2 x$

<proof>

lemma *obtain-infinite-variables-per-type-on*:

assumes

infinite-UNIV: *infinite (UNIV :: 'v set) and*

finite-Y: *finite Y and*

finite-Z: *finite Z and*

disjoint: $Y \cap Z = \{\}$

obtains $\mathcal{V} :: 'v \Rightarrow 'ty$

where *infinite-variables-per-type-on* $X \mathcal{V} \forall x \in Y. \mathcal{V} x = \mathcal{V}' x \forall x \in Z. \mathcal{V} x = \mathcal{V}'' x$

<proof>

lemma *obtain-infinite-variables-per-type-on'*:

assumes *infinite-UNIV*: *infinite (UNIV :: 'v set) and finite-Y*: *finite Y*

obtains $\mathcal{V} :: 'v \Rightarrow 'ty$

where *infinite-variables-per-type-on* $X \mathcal{V} \forall x \in Y. \mathcal{V} x = \mathcal{V}' x$

<proof>

lemma *obtain-infinite-variables-per-type-on''*:

assumes *finite Y*

obtains $\mathcal{V} :: 'v :: infinite \Rightarrow 'ty$

where *infinite-variables-per-type-on* $X \mathcal{V} \forall x \in Y. \mathcal{V} x = \mathcal{V}' x$

<proof>

lemma *infinite-variables-per-type-on-subset*:

$X \subseteq Y \implies \text{infinite-variables-per-type-on } Y \mathcal{V} \implies \text{infinite-variables-per-type-on } X \mathcal{V}$

<proof>

definition *infinite-variables-for-all-types* :: $('v \Rightarrow 'ty) \Rightarrow \text{bool}$ **where**

infinite-variables-for-all-types $\mathcal{V} \equiv \forall \tau. \text{infinite } \{x. \mathcal{V} x = \tau\}$

lemma *exists-infinite-variables-for-all-types*:

assumes $|UNIV :: 'ty \text{ set}| \leq o \ |UNIV :: ('v :: infinite) \text{ set}|$

shows $\exists \mathcal{V} :: 'v \Rightarrow 'ty$. *infinite-variables-for-all-types* \mathcal{V}
 ⟨proof⟩

lemma *obtain-infinite-variables-for-all-types*:
assumes $|UNIV :: 'ty \text{ set}| \leq o \ |UNIV :: 'v \text{ set}|$
obtains $\mathcal{V} :: 'v :: \text{infinite} \Rightarrow 'ty$ **where** *infinite-variables-for-all-types* \mathcal{V}
 ⟨proof⟩

lemma *infinite-variables-per-type-if-infinite-variables-for-all-types*:
infinite-variables-for-all-types $\mathcal{V} \Longrightarrow$ *infinite-variables-per-type* \mathcal{V}
 ⟨proof⟩

end

theory *Typed-Functional-Substitution*

imports

Typing

Abstract-Substitution.Functional-Substitution

Infinite-Variables-Per-Type

begin

type-synonym (*'var*, *'ty*) *var-types* = *'var* \Rightarrow *'ty*

locale *explicitly-typed-functional-substitution* =

base-functional-substitution **where** *vars* = *vars* **and** *id-subst* = *id-subst*

for

id-subst :: *'var* \Rightarrow *'base* **and**

vars :: *'base* \Rightarrow *'var set* **and**

typed :: (*'var*, *'ty*) *var-types* \Rightarrow *'base* \Rightarrow *'ty* \Rightarrow *bool* +

assumes

predicate-typed: $\bigwedge \mathcal{V}$. *predicate-typed* (*typed* \mathcal{V}) **and**

typed-id-subst [*intro*]: $\bigwedge \mathcal{V} x$. *typed* \mathcal{V} (*id-subst* x) ($\mathcal{V} x$)

begin

sublocale *predicate-typed* *typed* \mathcal{V}

⟨proof⟩

abbreviation *is-typed-on* :: *'var set* \Rightarrow (*'var*, *'ty*) *var-types* \Rightarrow (*'var* \Rightarrow *'base*) \Rightarrow *bool* **where**

is-typed-on $X \mathcal{V} \sigma \equiv \forall x \in X$. *typed* \mathcal{V} (σx) ($\mathcal{V} x$)

lemma *subst-update*:

assumes *typed* \mathcal{V} (*id-subst* *var*) τ *typed* \mathcal{V} *update* τ *is-typed-on* $X \mathcal{V} \gamma$

shows *is-typed-on* $X \mathcal{V}$ ($\gamma(\text{var} := \text{update})$)

⟨proof⟩

lemma *is-typed-on-subset*:

assumes *is-typed-on* $Y \mathcal{V} \sigma$ $X \subseteq Y$

shows *is-typed-on* $X \mathcal{V} \sigma$

⟨proof⟩

lemma *is-typed-id-subst* [intro]: *is-typed-on* $X \mathcal{V}$ *id-subst*
⟨*proof*⟩

end

locale *inhabited-explicitly-typed-functional-substitution* =
explicitly-typed-functional-substitution +
assumes *types-inhabited*: $\bigwedge \mathcal{V} \tau. \exists b. \text{is-ground } b \wedge \text{typed } \mathcal{V} b \tau$

locale *typed-functional-substitution* =
base: *explicitly-typed-functional-substitution* **where**
vars = *base-vars* **and** *subst* = *base-subst* **and** *typed* = *base-typed* +
based-functional-substitution **where** *vars* = *vars*
for
vars :: 'expr \Rightarrow 'var set **and**
is-typed :: ('var, 'ty) var-types \Rightarrow 'expr \Rightarrow bool **and**
base-typed :: ('var, 'ty) var-types \Rightarrow 'base \Rightarrow 'ty \Rightarrow bool
begin

abbreviation *is-typed-ground-instance* **where**

is-typed-ground-instance expr $\mathcal{V} \gamma \equiv$
is-ground (expr $\cdot \gamma$) \wedge
is-typed \mathcal{V} expr \wedge
base.is-typed-on (vars expr) $\mathcal{V} \gamma \wedge$
infinite-variables-per-type \mathcal{V}

end

sublocale *explicitly-typed-functional-substitution* \subseteq *typed-functional-substitution* **where**
base-subst = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**
base-typed = *typed*
⟨*proof*⟩

locale *typed-grounding-functional-substitution* =
typed-functional-substitution + *grounding*
begin

definition *typed-ground-instances* **where**

typed-ground-instances typed-expr =
{ *to-ground* (fst typed-expr $\cdot \gamma$) | γ .
is-typed-ground-instance (fst typed-expr) (snd typed-expr) γ }

lemma *typed-ground-instances-ground-instances'*:
typed-ground-instances (expr, \mathcal{V}) \subseteq *ground-instances'* expr
⟨*proof*⟩

end

locale *explicitly-typed-grounding-functional-substitution* =
explicitly-typed-functional-substitution + *grounding*
begin

sublocale *typed-grounding-functional-substitution* **where**
base-subst = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**
base-typed = *typed*
 ⟨*proof*⟩

end

locale *inhabited-typed-functional-substitution* =
typed-functional-substitution +
base: inhabited-explicitly-typed-functional-substitution **where**
subst = *base-subst* **and** *vars* = *base-vars* **and** *typed* = *base-typed*
begin

lemma *ground-subst-extension*:
assumes
grounding: is-ground (expr · γ) **and**
 γ -is-typed-on: base.is-typed-on (vars expr) \mathcal{V} γ
obtains γ'
where
base.is-ground-subst γ'
base.is-typed-on UNIV \mathcal{V} γ'
 $\forall x \in \text{vars expr}. \gamma x = \gamma' x$
 ⟨*proof*⟩

lemma *grounding-extension*:
assumes
grounding: is-ground (expr · γ) **and**
 γ -is-typed-on: base.is-typed-on (vars expr) \mathcal{V} γ
obtains γ'
where
is-ground (expr' · γ')
base.is-typed-on (vars expr') \mathcal{V} γ'
 $\forall x \in \text{vars expr}. \gamma x = \gamma' x$
 ⟨*proof*⟩

end

sublocale *explicitly-typed-functional-substitution* \subseteq *typed-functional-substitution* **where**
base-subst = *subst* **and** *base-vars* = *vars* **and** *is-typed* = *is-typed* **and**
base-typed = *typed*
 ⟨*proof*⟩

locale *typed-subst-stability* = *typed-functional-substitution* +
assumes

subst-stability [simp]:
 $\bigwedge \mathcal{V} \text{ expr } \sigma. \text{base.is-typed-on } (\text{vars expr}) \mathcal{V} \sigma \implies \text{is-typed } \mathcal{V} (\text{expr} \cdot \sigma) \longleftrightarrow \text{is-typed } \mathcal{V} \text{ expr}$
begin

lemma *subst-stability-UNIV* [simp]:
 $\bigwedge \mathcal{V} \text{ expr } \sigma. \text{base.is-typed-on UNIV } \mathcal{V} \sigma \implies \text{is-typed } \mathcal{V} (\text{expr} \cdot \sigma) \longleftrightarrow \text{is-typed } \mathcal{V} \text{ expr}$
 <proof>

end

locale *explicitly-typed-subst-stability* = *explicitly-typed-functional-substitution* +
assumes
explicit-subst-stability [simp]:
 $\bigwedge \mathcal{V} \text{ expr } \sigma \tau. \text{is-typed-on } (\text{vars expr}) \mathcal{V} \sigma \implies \text{typed } \mathcal{V} (\text{expr} \cdot \sigma) \tau \longleftrightarrow \text{typed } \mathcal{V} \text{ expr } \tau$
begin

lemma *explicit-subst-stability-UNIV* [simp]:
 $\bigwedge \mathcal{V} \text{ expr } \sigma. \text{is-typed-on UNIV } \mathcal{V} \sigma \implies \text{typed } \mathcal{V} (\text{expr} \cdot \sigma) \tau \longleftrightarrow \text{typed } \mathcal{V} \text{ expr } \tau$
 <proof>

sublocale *typed-subst-stability* **where**
base-vars = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and** *is-typed* =
is-typed
 <proof>

lemma *typed-subst-compose* [intro]:
assumes
is-typed-on *X* $\mathcal{V} \sigma$
is-typed-on $(\bigcup (\text{vars } \sigma \text{ ' } X)) \mathcal{V} \sigma'$
shows *is-typed-on* *X* $\mathcal{V} (\sigma \odot \sigma')$
 <proof>

lemma *typed-subst-compose-UNIV* [intro]:
assumes
is-typed-on UNIV $\mathcal{V} \sigma$
is-typed-on UNIV $\mathcal{V} \sigma'$
shows *is-typed-on UNIV* $\mathcal{V} (\sigma \odot \sigma')$
 <proof>

end

locale *replaceable- \mathcal{V}* = *typed-functional-substitution* +
assumes *replace- \mathcal{V}* :
 $\bigwedge \text{expr } \mathcal{V} \mathcal{V}'. \forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{is-typed } \mathcal{V} \text{ expr} \implies \text{is-typed } \mathcal{V}' \text{ expr}$
begin

lemma *replace- \mathcal{V} -iff*:

assumes $\forall x \in \text{vars } \text{expr}. \mathcal{V} x = \mathcal{V}' x$
shows $\text{is-typed } \mathcal{V} \text{ expr} \longleftrightarrow \text{is-typed } \mathcal{V}' \text{ expr}$
<proof>

lemma *is-ground-typed*:

assumes *is-ground expr*
shows $\text{is-typed } \mathcal{V} \text{ expr} \longleftrightarrow \text{is-typed } \mathcal{V}' \text{ expr}$
<proof>

end

locale *explicitly-replaceable- \mathcal{V}* = *explicitly-typed-functional-substitution* +

assumes *explicit-replace- \mathcal{V}* :

$\bigwedge \text{expr } \mathcal{V} \mathcal{V}' \tau. \forall x \in \text{vars } \text{expr}. \mathcal{V} x = \mathcal{V}' x \implies \text{typed } \mathcal{V} \text{ expr } \tau \implies \text{typed } \mathcal{V}' \text{ expr } \tau$

begin

lemma *explicit-replace- \mathcal{V} -iff*:

assumes $\forall x \in \text{vars } \text{expr}. \mathcal{V} x = \mathcal{V}' x$
shows $\text{typed } \mathcal{V} \text{ expr } \tau \longleftrightarrow \text{typed } \mathcal{V}' \text{ expr } \tau$
<proof>

lemma *explicit-is-ground-typed*:

assumes *is-ground expr*
shows $\text{typed } \mathcal{V} \text{ expr } \tau \longleftrightarrow \text{typed } \mathcal{V}' \text{ expr } \tau$
<proof>

sublocale *replaceable- \mathcal{V}* **where**

base-vars = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and** *is-typed* = *is-typed*
<proof>

end

locale *typed-renaming* = *typed-functional-substitution* + *renaming-variables* +

assumes

typed-renaming [*simp*]:

$\bigwedge \mathcal{V} \mathcal{V}' \text{ expr } \varrho. \text{base.is-renaming } \varrho \implies$
 $\forall x \in \text{vars } \text{expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$
 $\text{is-typed } \mathcal{V}' (\text{expr} \cdot \varrho) \longleftrightarrow \text{is-typed } \mathcal{V} \text{ expr}$

locale *explicitly-typed-renaming* =

explicitly-typed-functional-substitution **where** *typed* = *typed* +
renaming-variables +

explicitly-replaceable- \mathcal{V} **where** *typed* = *typed*

for *typed* :: ('var \Rightarrow 'ty) \Rightarrow 'expr \Rightarrow 'ty \Rightarrow bool +

assumes

explicit-typed-renaming [simp]:
 $\bigwedge \mathcal{V} \mathcal{V}' \text{ expr } \varrho \tau. \text{ is-renaming } \varrho \implies$
 $\forall x \in \text{vars expr}. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x) \implies$
 $\text{typed } \mathcal{V}' (\text{expr} \cdot \varrho) \tau \longleftrightarrow \text{typed } \mathcal{V} \text{ expr } \tau$
begin

sublocale *typed-renaming*

where *base-vars* = *vars* **and** *base-subst* = *subst* **and** *base-typed* = *typed* **and**
is-typed = *is-typed*
⟨*proof*⟩

lemma *renaming-ground-subst*:

assumes
is-renaming ϱ
is-typed-on $(\bigcup (\text{vars } \varrho \text{ } X)) \mathcal{V}' \gamma$
is-typed-on $X \mathcal{V} \varrho$
is-ground-subst γ
 $\forall x \in X. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$
shows *is-typed-on* $X \mathcal{V} (\varrho \odot \gamma)$
⟨*proof*⟩

lemma *inj-id-subst*: *inj id-subst*
⟨*proof*⟩

lemma *obtain-typed-renaming*:

fixes $\mathcal{V} :: \text{'var} \Rightarrow \text{'ty}$
assumes
finite X
infinite-variables-per-type \mathcal{V}
obtains $\varrho :: \text{'var} \Rightarrow \text{'expr}$ **where**
is-renaming ϱ
id-subst $\varrho \text{ } X \cap \varrho \text{ } Y = \{\}$
is-typed-on $Y \mathcal{V} \varrho$
⟨*proof*⟩

lemma *obtain-typed-renamings*:

fixes $\mathcal{V}_1 \mathcal{V}_2 :: \text{'var} \Rightarrow \text{'ty}$
assumes
finite X
infinite-variables-per-type \mathcal{V}_2
obtains $\varrho_1 \varrho_2 :: \text{'var} \Rightarrow \text{'expr}$ **where**
is-renaming ϱ_1
is-renaming ϱ_2
 $\varrho_1 \text{ } X \cap \varrho_2 \text{ } Y = \{\}$
is-typed-on $X \mathcal{V}_1 \varrho_1$
is-typed-on $Y \mathcal{V}_2 \varrho_2$
⟨*proof*⟩

lemma *obtain-typed-renamings'*:

fixes $\mathcal{V}_1 \mathcal{V}_2 :: 'var \Rightarrow 'ty$
assumes
finite Y
infinite-variables-per-type \mathcal{V}_1
obtains $\varrho_1 \varrho_2 :: 'var \Rightarrow 'expr$ **where**
is-renaming ϱ_1
is-renaming ϱ_2
 $\varrho_1 \text{ ' } X \cap \varrho_2 \text{ ' } Y = \{\}$
is-typed-on $X \mathcal{V}_1 \varrho_1$
is-typed-on $Y \mathcal{V}_2 \varrho_2$
 $\langle proof \rangle$

lemma *renaming-subst-compose*:

assumes
is-renaming ϱ
is-typed-on $X \mathcal{V} (\varrho \odot \sigma)$
is-typed-on $X \mathcal{V} \varrho$
shows *is-typed-on* $(\bigcup (\text{vars } \text{' } \varrho \text{ ' } X)) \mathcal{V} \sigma$
 $\langle proof \rangle$

end

lemma (**in** *renaming-variables*) *obtain-merged-V*:

assumes
 ϱ_1 : *is-renaming* ϱ_1 **and**
 ϱ_2 : *is-renaming* ϱ_2 **and**
rename-apart: $\text{vars } (\text{expr} \cdot \varrho_1) \cap \text{vars } (\text{expr}' \cdot \varrho_2) = \{\}$ **and**
finite-vars: *finite* $(\text{vars } \text{expr})$ *finite* $(\text{vars } \text{expr}')$ **and**
infinite-UNIV: *infinite* $(UNIV :: 'a \text{ set})$
obtains \mathcal{V}_3 **where**
infinite-variables-per-type-on $X \mathcal{V}_3$
 $\forall x \in \text{vars } \text{expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$
 $\forall x \in \text{vars } \text{expr}'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$
 $\langle proof \rangle$

lemma (**in** *renaming-variables*) *obtain-merged-V-infinite-variables-for-all-types*:

assumes
 ϱ_1 : *is-renaming* ϱ_1 **and**
 ϱ_2 : *is-renaming* ϱ_2 **and**
rename-apart: $\text{vars } (\text{expr} \cdot \varrho_1) \cap \text{vars } (\text{expr}' \cdot \varrho_2) = \{\}$ **and**
 \mathcal{V}_2 : *infinite-variables-for-all-types* \mathcal{V}_2 **and**
finite-vars: *finite* $(\text{vars } \text{expr})$
obtains \mathcal{V}_3 **where**
 $\forall x \in \text{vars } \text{expr}. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$
 $\forall x \in \text{vars } \text{expr}'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$
infinite-variables-for-all-types \mathcal{V}_3
 $\langle proof \rangle$

lemma (**in** *renaming-variables*) *obtain-merged-V'-infinite-variables-for-all-types*:

assumes

ϱ_1 : *is-renaming* ϱ_1 **and**

ϱ_2 : *is-renaming* ϱ_2 **and**

rename-apart: $\text{vars } (expr \cdot \varrho_1) \cap \text{vars } (expr' \cdot \varrho_2) = \{\}$ **and**

\mathcal{V}_1 : *infinite-variables-for-all-types* \mathcal{V}_1 **and**

finite-vars: *finite* ($\text{vars } expr'$)

obtains \mathcal{V}_3 **where**

$\forall x \in \text{vars } expr. \mathcal{V}_1 x = \mathcal{V}_3 (\text{rename } \varrho_1 x)$

$\forall x \in \text{vars } expr'. \mathcal{V}_2 x = \mathcal{V}_3 (\text{rename } \varrho_2 x)$

infinite-variables-for-all-types \mathcal{V}_3

$\langle \text{proof} \rangle$

locale *based-typed-renaming* =

base: *explicitly-typed-renaming* **where**

subst = *base-subst* **and** *vars* = *base-vars* :: $'base \Rightarrow 'v \text{ set}$ **and**

typed = *typed* :: $('v \Rightarrow 'ty) \Rightarrow 'base \Rightarrow 'ty \Rightarrow \text{bool} +$

base: *explicitly-typed-functional-substitution* **where**

vars = *base-vars* **and** *subst* = *base-subst* +

based-functional-substitution +

renaming-variables

begin

lemma *renaming-grounding*:

assumes

renaming: *base.is-renaming* ϱ **and**

ϱ - γ -*is-welltyped*: *base.is-typed-on* ($\text{vars } expr$) $\mathcal{V} (\varrho \odot \gamma)$ **and**

grounding: *is-ground* ($expr \cdot \varrho \odot \gamma$) **and**

\mathcal{V} - \mathcal{V}' : $\forall x \in \text{vars } expr. \mathcal{V} x = \mathcal{V}' (\text{rename } \varrho x)$

shows *base.is-typed-on* ($\text{vars } (expr \cdot \varrho)$) $\mathcal{V}' \gamma$

$\langle \text{proof} \rangle$

lemma *obtain-merged-grounding*:

fixes $\mathcal{V}_1 \mathcal{V}_2$:: $'v \Rightarrow 'ty$

assumes

base.is-typed-on ($\text{vars } expr$) $\mathcal{V}_1 \gamma_1$

base.is-typed-on ($\text{vars } expr'$) $\mathcal{V}_2 \gamma_2$

is-ground ($expr \cdot \gamma_1$)

is-ground ($expr' \cdot \gamma_2$) **and**

\mathcal{V}_2 : *infinite-variables-per-type* \mathcal{V}_2 **and**

finite-vars: *finite* ($\text{vars } expr$)

obtains $\varrho_1 \varrho_2 \gamma$ **where**

base.is-renaming ϱ_1

base.is-renaming ϱ_2

$\text{vars } (expr \cdot \varrho_1) \cap \text{vars } (expr' \cdot \varrho_2) = \{\}$

base.is-typed-on ($\text{vars } expr$) $\mathcal{V}_1 \varrho_1$

base.is-typed-on ($\text{vars } expr'$) $\mathcal{V}_2 \varrho_2$

$\forall x \in \text{vars } expr. \gamma_1 x = (\varrho_1 \odot \gamma) x$

$\forall x \in \text{vars } expr'. \gamma_2 x = (\varrho_2 \odot \gamma) x$

$\langle \text{proof} \rangle$

```

lemma obtain-merged-grounding':
  fixes  $\mathcal{V}_1 \mathcal{V}_2 :: 'v \Rightarrow 'ty$ 
  assumes
    typed- $\gamma_1$ : base.is-typed-on (vars expr)  $\mathcal{V}_1 \gamma_1$  and
    typed- $\gamma_2$ : base.is-typed-on (vars expr')  $\mathcal{V}_2 \gamma_2$  and
    expr-grounding: is-ground (expr ·  $\gamma_1$ ) and
    expr'-grounding: is-ground (expr' ·  $\gamma_2$ ) and
     $\mathcal{V}_1$ : infinite-variables-per-type  $\mathcal{V}_1$  and
    finite-vars: finite (vars expr')
  obtains  $\varrho_1 \varrho_2 \gamma$  where
    base.is-renaming  $\varrho_1$ 
    base.is-renaming  $\varrho_2$ 
    vars (expr ·  $\varrho_1$ )  $\cap$  vars (expr' ·  $\varrho_2$ ) = {}
    base.is-typed-on (vars expr)  $\mathcal{V}_1 \varrho_1$ 
    base.is-typed-on (vars expr')  $\mathcal{V}_2 \varrho_2$ 
     $\forall x \in$  vars expr.  $\gamma_1 x = (\varrho_1 \odot \gamma) x$ 
     $\forall x \in$  vars expr'.  $\gamma_2 x = (\varrho_2 \odot \gamma) x$ 
    <proof>

end

sublocale explicitly-typed-renaming  $\subseteq$ 
  based-typed-renaming where base-vars = vars and base-subst = subst
  <proof>

end
theory Functional-Substitution-Typing
  imports Typed-Functional-Substitution
begin

locale subst-is-typed-abbreviations =
  is-typed: typed-functional-substitution where
    base-typed = base-typed and is-typed = expr-is-typed +
    is-welltyped: typed-functional-substitution where
    base-typed = base-welltyped and is-typed = expr-is-welltyped
for
  base-typed base-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'base  $\Rightarrow$  'ty  $\Rightarrow$  bool and
  expr-is-typed expr-is-welltyped :: ('var, 'ty) var-types  $\Rightarrow$  'expr  $\Rightarrow$  bool
begin

abbreviation is-typed-on where
  is-typed-on  $\equiv$  is-typed.base.is-typed-on

abbreviation is-welltyped-on where
  is-welltyped-on  $\equiv$  is-welltyped.base.is-typed-on

abbreviation is-typed where
  is-typed  $\equiv$  is-typed.base.is-typed-on UNIV

```

abbreviation *is-welltyped* **where**
is-welltyped \equiv *is-welltyped.base.is-typed-on UNIV*

end

locale *functional-substitution-typing* =
is-typed: typed-functional-substitution **where**
base-typed = *base-typed* **and** *is-typed* = *is-typed* +
is-welltyped: typed-functional-substitution **where**
base-typed = *base-welltyped* **and** *is-typed* = *is-welltyped*

for
base-typed base-welltyped :: ('var, 'ty) var-types \Rightarrow 'base \Rightarrow 'ty \Rightarrow bool **and**
is-typed is-welltyped :: ('var, 'ty) var-types \Rightarrow 'expr \Rightarrow bool +

assumes *typing*: $\bigwedge \mathcal{V}. \text{typing } (is\text{-typed } \mathcal{V}) (is\text{-welltyped } \mathcal{V})$

begin

sublocale *base: typing is-typed \mathcal{V} is-welltyped \mathcal{V}*
<proof>

sublocale *subst: subst-is-typed-abbreviations*
where *expr-is-typed* = *is-typed* **and** *expr-is-welltyped* = *is-welltyped*
<proof>

end

locale *base-functional-substitution-typing* =
typed: explicitly-typed-functional-substitution **where** *typed* = *typed* +
welltyped: explicitly-typed-functional-substitution **where** *typed* = *welltyped*

for
welltyped typed :: ('var, 'ty) var-types \Rightarrow 'expr \Rightarrow 'ty \Rightarrow bool +

assumes
explicit-typing: $\bigwedge \mathcal{V}. \text{explicit-typing } (typed \ \mathcal{V}) (welltyped \ \mathcal{V})$

begin

sublocale *base: explicit-typing typed \mathcal{V} welltyped \mathcal{V}*
<proof>

lemmas *typed-id-subst* = *typed.typed-id-subst*

lemmas *welltyped-id-subst* = *welltyped.typed-id-subst*

lemmas *is-typed-id-subst* = *typed.is-typed-id-subst*

lemmas *is-welltyped-id-subst* = *welltyped.is-typed-id-subst*

lemmas *is-typed-on-subset* = *typed.is-typed-on-subset*

```

lemmas is-welltyped-on-subset = welltyped.is-typed-on-subset

sublocale functional-substitution-typing where
  is-typed = base.is-typed and is-welltyped = base.is-welltyped and base-typed =
  typed and
  base-welltyped = welltyped and base-vars = vars and base-subst = subst
  ⟨proof⟩

sublocale subst: typing subst.is-typed-on X V subst.is-welltyped-on X V
  ⟨proof⟩

end

end

theory Typed-Functional-Substitution-Lifting
  imports
    Typed-Functional-Substitution
    Abstract-Substitution.Functional-Substitution-Lifting
  begin

lemma ext-equiv:  $(\bigwedge x. f\ x \equiv g\ x) \implies f \equiv g$ 
  ⟨proof⟩

locale typed-functional-substitution-lifting =
  sub: typed-functional-substitution where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
  base-vars = base-vars +
  based-functional-substitution-lifting where to-set = to-set and base-vars = base-vars
for
  sub-is-typed :: ('var, 'ty) var-types  $\Rightarrow$  'sub  $\Rightarrow$  bool and
  to-set :: 'expr  $\Rightarrow$  'sub set and
  base-vars :: 'base  $\Rightarrow$  'var set
begin

abbreviation (input) lifted-is-typed where
  lifted-is-typed V  $\equiv$  is-typed-lifting to-set (sub-is-typed V)

lemmas lifted-is-typed-def = is-typed-lifting-def[of to-set, THEN ext-equiv, of sub-is-typed]

sublocale typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  ⟨proof⟩

end

locale uniform-typed-functional-substitution-lifting =
  base: explicitly-typed-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed +

```

```

based-functional-substitution-lifting where
  to-set = to-set and sub-subst = base-subst and sub-vars = base-vars
for
  base-typed :: ('var, 'ty) var-types ⇒ 'base ⇒ 'ty ⇒ bool and
  to-set :: 'expr ⇒ 'base set
begin

abbreviation (input) lifted-is-typed where
  lifted-is-typed  $\mathcal{V} \equiv$  uniform-typed-lifting to-set (base-typed  $\mathcal{V}$ )

lemmas lifted-is-typed-def = uniform-typed-lifting-def[of to-set, THEN ext-equiv,
of base-typed]

sublocale typed-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed
  ⟨proof⟩

end

locale uniform-typed-grounding-functional-substitution-lifting =
  uniform-typed-functional-substitution-lifting +
  grounding-lifting where sub-subst = base-subst and sub-vars = base-vars +
  base: explicitly-typed-grounding-functional-substitution where
  vars = base-vars and subst = base-subst and typed = base-typed and
  to-ground = sub-to-ground and from-ground = sub-from-ground
begin

sublocale typed-grounding-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
  to-ground and
  from-ground = from-ground
  ⟨proof⟩

end

locale typed-grounding-functional-substitution-lifting =
  typed-functional-substitution-lifting +
  grounding-lifting +
  sub: typed-grounding-functional-substitution where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
  to-ground = sub-to-ground and from-ground = sub-from-ground
begin

sublocale typed-grounding-functional-substitution where
  vars = vars and subst = subst and is-typed = lifted-is-typed and to-ground =
  to-ground and
  from-ground = from-ground
  ⟨proof⟩

```

end

locale *uniform-inhabited-typed-functional-substitution-lifting* =
 uniform-typed-functional-substitution-lifting +
 base: inhabited-explicitly-typed-functional-substitution **where**
 vars = base-vars and subst = base-subst and typed = base-typed
begin

sublocale *inhabited-typed-functional-substitution* **where**
 vars = vars and subst = subst and is-typed = lifted-is-typed
 ⟨*proof*⟩

end

locale *inhabited-typed-functional-substitution-lifting* =
 typed-functional-substitution-lifting +
 sub: inhabited-typed-functional-substitution **where**
 vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed
begin

sublocale *inhabited-typed-functional-substitution* **where**
 vars = vars and subst = subst and is-typed = lifted-is-typed
 ⟨*proof*⟩

end

locale *typed-subst-stability-lifting* =
 typed-functional-substitution-lifting +
 sub: typed-subst-stability **where** *is-typed = sub-is-typed and vars = sub-vars and*
 subst = sub-subst
begin

sublocale *typed-subst-stability* **where**
 is-typed = lifted-is-typed and subst = subst and vars = vars
 ⟨*proof*⟩

end

locale *uniform-typed-subst-stability-lifting* =
 uniform-typed-functional-substitution-lifting +
 base: explicitly-typed-subst-stability **where**
 typed = base-typed and vars = base-vars and subst = base-subst
begin

sublocale *typed-subst-stability* **where**
 is-typed = lifted-is-typed and subst = subst and vars = vars
 ⟨*proof*⟩

end

locale *replaceable- \mathcal{V} -lifting* =
typed-functional-substitution-lifting +
sub: replaceable- \mathcal{V} **where**
subst = *sub-subst* **and** *vars* = *sub-vars* **and** *is-typed* = *sub-is-typed*
begin

sublocale *replaceable- \mathcal{V}* **where**
subst = *subst* **and** *vars* = *vars* **and** *is-typed* = *lifted-is-typed*
<proof>

end

locale *uniform-replaceable- \mathcal{V} -lifting* =
uniform-typed-functional-substitution-lifting +
sub: explicitly-replaceable- \mathcal{V} **where**
typed = *base-typed* **and** *vars* = *base-vars* **and** *subst* = *base-subst*
begin

sublocale *replaceable- \mathcal{V}* **where**
is-typed = *lifted-is-typed* **and** *subst* = *subst* **and** *vars* = *vars*
<proof>

end

locale *based-typed-renaming-lifting* =
based-functional-substitution-lifting +
renaming-variables-lifting +
based-typed-renaming **where** *subst* = *sub-subst* **and** *vars* = *sub-vars*
begin

sublocale *based-typed-renaming* **where** *subst* = *subst* **and** *vars* = *vars*
<proof>

end

locale *typed-renaming-lifting* =
typed-functional-substitution-lifting **where**
base-typed = *base-typed* :: (*'v* \Rightarrow *'ty*) \Rightarrow *'base* \Rightarrow *'ty* \Rightarrow *bool* +
based-typed-renaming-lifting **where** *typed* = *base-typed* +
sub: typed-renaming **where**
subst = *sub-subst* **and** *vars* = *sub-vars* **and** *is-typed* = *sub-is-typed*
begin

sublocale *typed-renaming* **where**
subst = *subst* **and** *vars* = *vars* **and** *is-typed* = *lifted-is-typed*
<proof>

end

```

locale uniform-typed-renaming-lifting =
  uniform-typed-functional-substitution-lifting where base-typed = base-typed +
  based-typed-renaming-lifting where
  typed = base-typed and sub-vars = base-vars and sub-subst = base-subst
for base-typed :: ('v ⇒ 'ty) ⇒ 'base ⇒ 'ty ⇒ bool
begin

sublocale typed-renaming where
  is-typed = lifted-is-typed and subst = subst and vars = vars
  ⟨proof⟩

end

end
theory Functional-Substitution-Typing-Lifting
  imports
    Functional-Substitution-Typing
    Typed-Functional-Substitution-Lifting
begin

locale functional-substitution-typing-lifting =
  sub: functional-substitution-typing where
  vars = sub-vars and subst = sub-subst and is-typed = sub-is-typed and
  is-welltyped = sub-is-welltyped +
  based-functional-substitution-lifting where to-set = to-set
for
  to-set :: 'expr ⇒ 'sub set and
  sub-is-typed sub-is-welltyped :: ('var, 'ty) var-types ⇒ 'sub ⇒ bool
begin

sublocale typing-lifting where
  sub-is-typed = sub-is-typed  $\mathcal{V}$  and sub-is-welltyped = sub-is-welltyped  $\mathcal{V}$ 
  ⟨proof⟩

sublocale functional-substitution-typing where
  is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
  = subst
  ⟨proof⟩

end

locale functional-substitution-uniform-typing-lifting =
  base: base-functional-substitution-typing where
  vars = base-vars and subst = base-subst and typed = base-typed and welltyped
  = base-welltyped +
  based-functional-substitution-lifting where
  to-set = to-set and sub-vars = base-vars and sub-subst = base-subst
for

```

```

    to-set :: 'expr ⇒ 'base set and
    base-typed base-welltyped :: ('var, 'ty) var-types ⇒ 'base ⇒ 'ty ⇒ bool
begin

sublocale uniform-typing-lifting where
    sub-typed = base-typed  $\mathcal{V}$  and sub-welltyped = base-welltyped  $\mathcal{V}$ 
    ⟨proof⟩

sublocale functional-substitution-typing where
    is-typed = is-typed and is-welltyped = is-welltyped and vars = vars and subst
    = subst
    ⟨proof⟩

end

end
theory Nonground-Term-Typing
imports
    Term-Typing
    Typed-Functional-Substitution
    Functional-Substitution-Typing
    Nonground-Term
begin

locale base-typed-properties =
    explicitly-typed-subst-stability +
    explicitly-replaceable- $\mathcal{V}$  +
    explicitly-typed-renaming +
    explicitly-typed-grounding-functional-substitution

locale base-typing-properties =
    base-functional-substitution-typing +
    typed: base-typed-properties +
    welltyped: base-typed-properties where typed = welltyped

locale base-inhabited-typing-properties =
    base-typing-properties +
    typed: inhabited-explicitly-typed-functional-substitution +
    welltyped: inhabited-explicitly-typed-functional-substitution where typed = well-
typed

locale nonground-term-typing =
    term: nonground-term +
    fixes  $\mathcal{F}$  :: ('f, 'ty) fun-types
begin

inductive typed :: ('v, 'ty) var-types ⇒ ('f, 'v) term ⇒ 'ty ⇒ bool
for  $\mathcal{V}$  where
    Var:  $\mathcal{V} x = \tau \implies \text{typed } \mathcal{V} (\text{Var } x) \tau$ 

```

| *Fun*: $\mathcal{F} f (\text{length } ts) = (\tau s, \tau) \implies \text{typed } \mathcal{V} (\text{Fun } f \text{ } ts) \tau$

inductive *welltyped* :: ('v, 'ty) var-types \Rightarrow ('f, 'v) term \Rightarrow 'ty \Rightarrow bool
for \mathcal{V} **where**
 Var: $\mathcal{V} x = \tau \implies \text{welltyped } \mathcal{V} (\text{Var } x) \tau$
 | *Fun*: $\mathcal{F} f (\text{length } ts) = (\tau s, \tau) \implies \text{list-all2 } (\text{welltyped } \mathcal{V}) \text{ } ts \tau s \implies \text{welltyped } \mathcal{V} (\text{Fun } f \text{ } ts) \tau$

sublocale *term: explicit-typing typed* ($\mathcal{V} ::$ ('v, 'ty) var-types) *welltyped* \mathcal{V}
<proof>

sublocale *term: term-typing where*
typed = *typed* ($\mathcal{V} ::$ 'v \Rightarrow 'ty) **and** *welltyped* = *welltyped* \mathcal{V} **and** *Fun* = *Fun*
<proof>

sublocale *term: base-typing-properties where*
id-subst = *Var* :: 'v \Rightarrow ('f, 'v) term **and** *comp-subst* = (\odot) **and** *subst* = (\cdot .t) **and**
vars = *term.vars* **and** *welltyped* = *welltyped* **and** *typed* = *typed* **and** *to-ground*
= *term.to-ground* **and**
from-ground = *term.from-ground*
<proof>

end

locale *nonground-term-inhabited-typing* =
nonground-term-typing **where** $\mathcal{F} = \mathcal{F}$ **for** $\mathcal{F} ::$ ('f, 'ty) fun-types +
assumes *types-inhabited*: $\bigwedge \tau. \exists f. \mathcal{F} f \theta = ([], \tau)$

begin

sublocale *base-inhabited-typing-properties where*
id-subst = *Var* :: 'v \Rightarrow ('f, 'v) term **and** *comp-subst* = (\odot) **and** *subst* = (\cdot .t) **and**
vars = *term.vars* **and** *welltyped* = *welltyped* **and** *typed* = *typed* **and** *to-ground*
= *term.to-ground* **and**
from-ground = *term.from-ground*
<proof>

end

end

theory *Nonground-Typing*
imports
 Clause-Typing
 Functional-Substitution-Typing-Lifting
 Nonground-Term-Typing
 Nonground-Clause

begin

type-synonym ('f, 'v, 'ty) *typed-clause* = ('f, 'v) *atom clause* \times ('v, 'ty) *var-types*

locale *nonground-uniform-typed-lifting* =
uniform-typed-subst-stability-lifting +
uniform-replaceable- \mathcal{V} -lifting +
uniform-typed-renaming-lifting +
uniform-typed-grounding-functional-substitution-lifting

locale *nonground-typed-lifting* =
typed-subst-stability-lifting +
replaceable- \mathcal{V} -lifting +
typed-renaming-lifting +
typed-grounding-functional-substitution-lifting

locale *nonground-uniform-typing-lifting* =
functional-substitution-uniform-typing-lifting +
is-typed: nonground-uniform-typed-lifting **where** *base-typed* = *base-typed* +
is-welltyped: nonground-uniform-typed-lifting **where** *base-typed* = *base-welltyped*
begin

abbreviation *is-typed-ground-instance* \equiv *is-typed.is-typed-ground-instance*

abbreviation *is-welltyped-ground-instance* \equiv *is-welltyped.is-typed-ground-instance*

abbreviation *typed-ground-instances* \equiv *is-typed.typed-ground-instances*

abbreviation *welltyped-ground-instances* \equiv *is-welltyped.typed-ground-instances*

lemmas *typed-ground-instances-def* = *is-typed.typed-ground-instances-def*

lemmas *welltyped-ground-instances-def* = *is-welltyped.typed-ground-instances-def*

end

locale *nonground-typing-lifting* =
functional-substitution-typing-lifting +
is-typed: nonground-typed-lifting +
is-welltyped: nonground-typed-lifting **where**
sub-is-typed = *sub-is-welltyped* **and** *base-typed* = *base-welltyped*
begin

abbreviation *is-typed-ground-instance* \equiv *is-typed.is-typed-ground-instance*

abbreviation *is-welltyped-ground-instance* \equiv *is-welltyped.is-typed-ground-instance*

abbreviation *typed-ground-instances* \equiv *is-typed.typed-ground-instances*

abbreviation *welltyped-ground-instances* \equiv *is-welltyped.typed-ground-instances*

lemmas *typed-ground-instances-def* = *is-typed.typed-ground-instances-def*

lemmas *welltyped-ground-instances-def* = *is-welltyped.typed-ground-instances-def*

end

locale *nonground-uniform-inhabited-typing-lifting* =
 nonground-uniform-typing-lifting +
 is-typed: uniform-inhabited-typed-functional-substitution-lifting **where** *base-typed*
= *base-typed* +
 is-welltyped: uniform-inhabited-typed-functional-substitution-lifting **where**
 base-typed = *base-welltyped*

locale *nonground-inhabited-typing-lifting* =
 nonground-typing-lifting +
 is-typed: inhabited-typed-functional-substitution-lifting **where** *base-typed* = *base-typed*
+
 is-welltyped: inhabited-typed-functional-substitution-lifting **where**
 sub-is-typed = *sub-is-welltyped* **and** *base-typed* = *base-welltyped*

locale *term-based-nonground-typing-lifting* =
 term: nonground-term +
 nonground-typing-lifting **where**
 id-subst = *Var* **and** *comp-subst* = (\odot) **and** *base-subst* = $(\cdot t)$ **and** *base-vars* =
term.vars

locale *term-based-nonground-inhabited-typing-lifting* =
 term: nonground-term +
 nonground-inhabited-typing-lifting **where**
 id-subst = *Var* **and** *comp-subst* = (\odot) **and** *base-subst* = $(\cdot t)$ **and** *base-vars* =
term.vars

locale *term-based-nonground-uniform-typing-lifting* =
 term: nonground-term +
 nonground-uniform-typing-lifting **where**
 id-subst = *Var* **and** *comp-subst* = (\odot) **and** *map* = *map-uprod* **and** *to-set* =
set-uprod **and**
 base-vars = *term.vars* **and** *base-subst* = $(\cdot t)$ **and** *sub-to-ground* = *term.to-ground*
and
 sub-from-ground = *term.from-ground* **and** *to-ground-map* = *map-uprod* **and**
 from-ground-map = *map-uprod* **and** *ground-map* = *map-uprod* **and** *to-set-ground*
= *set-uprod*

locale *term-based-nonground-uniform-inhabited-typing-lifting* =
 term: nonground-term +
 nonground-uniform-inhabited-typing-lifting **where**
 id-subst = *Var* **and** *comp-subst* = (\odot) **and** *map* = *map-uprod* **and** *to-set* =
set-uprod **and**
 base-vars = *term.vars* **and** *base-subst* = $(\cdot t)$ **and** *sub-to-ground* = *term.to-ground*

and

sub-from-ground = *term.from-ground* **and** *to-ground-map* = *map-uprod* **and**
from-ground-map = *map-uprod* **and** *ground-map* = *map-uprod* **and** *to-set-ground*
= *set-uprod*

locale *nonground-typing* =
nonground-clause +
nonground-term-typing \mathcal{F}
for $\mathcal{F} :: ('f, 'ty)$ *fun-types*
begin

sublocale *clause-typing typed* ($\mathcal{V} :: ('v, 'ty)$ *var-types*) *welltyped* \mathcal{V}
<proof>

sublocale *atom: term-based-nonground-uniform-typing-lifting where*
base-typed = *typed* :: ($'v \Rightarrow 'ty$) \Rightarrow ($'f, 'v$) *Term.term* \Rightarrow $'ty \Rightarrow bool$ **and**
base-welltyped = *welltyped*
<proof>

sublocale *literal: term-based-nonground-typing-lifting where*
base-typed = *typed* :: ($'v \Rightarrow 'ty$) \Rightarrow ($'f, 'v$) *Term.term* \Rightarrow $'ty \Rightarrow bool$ **and**
base-welltyped = *welltyped* **and** *sub-vars* = *atom.vars* **and** *sub-subst* = ($\cdot a$) **and**
map = *map-literal* **and** *to-set* = *set-literal* **and** *sub-is-typed* = *atom.is-typed* **and**
sub-is-welltyped = *atom.is-welltyped* **and** *sub-to-ground* = *atom.to-ground* **and**
sub-from-ground = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**
from-ground-map = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*
= *set-literal*
<proof>

sublocale *clause: term-based-nonground-typing-lifting where*
base-typed = *typed* **and** *base-welltyped* = *welltyped* **and**
sub-vars = *literal.vars* **and** *sub-subst* = ($\cdot l$) **and** *map* = *image-mset* **and** *to-set*
= *set-mset* **and**
sub-is-typed = *literal.is-typed* **and** *sub-is-welltyped* = *literal.is-welltyped* **and**
sub-to-ground = *literal.to-ground* **and** *sub-from-ground* = *literal.from-ground* **and**
to-ground-map = *image-mset* **and** *from-ground-map* = *image-mset* **and** *ground-map*
= *image-mset* **and**
to-set-ground = *set-mset*
<proof>

end

locale *nonground-inhabited-typing* =
nonground-typing \mathcal{F} +
nonground-term-inhabited-typing \mathcal{F}
for $\mathcal{F} :: ('f, 'ty)$ *fun-types*
begin

sublocale *atom: term-based-nonground-uniform-inhabited-typing-lifting where*

base-typed = *typed* :: ('v ⇒ 'ty) ⇒ ('f, 'v) Term.term ⇒ 'ty ⇒ bool **and**
base-welltyped = *welltyped*
 ⟨proof⟩

sublocale *literal*: *term-based-nonground-inhabited-typing-lifting* **where**
base-typed = *typed* :: ('v ⇒ 'ty) ⇒ ('f, 'v) Term.term ⇒ 'ty ⇒ bool **and**
base-welltyped = *welltyped* **and** *sub-vars* = *atom.vars* **and** *sub-subst* = (·.a) **and**
map = *map-literal* **and** *to-set* = *set-literal* **and** *sub-is-typed* = *atom.is-typed* **and**
sub-is-welltyped = *atom.is-welltyped* **and** *sub-to-ground* = *atom.to-ground* **and**
sub-from-ground = *atom.from-ground* **and** *to-ground-map* = *map-literal* **and**
from-ground-map = *map-literal* **and** *ground-map* = *map-literal* **and** *to-set-ground*
 = *set-literal*
 ⟨proof⟩

sublocale *clause*: *term-based-nonground-inhabited-typing-lifting* **where**
base-typed = *typed* **and** *base-welltyped* = *welltyped* **and**
sub-vars = *literal.vars* **and** *sub-subst* = (·.l) **and** *map* = *image-mset* **and** *to-set*
 = *set-mset* **and**
sub-is-typed = *literal.is-typed* **and** *sub-is-welltyped* = *literal.is-welltyped* **and**
sub-to-ground = *literal.to-ground* **and** *sub-from-ground* = *literal.from-ground* **and**
to-ground-map = *image-mset* **and** *from-ground-map* = *image-mset* **and** *ground-map*
 = *image-mset* **and**
to-set-ground = *set-mset*
 ⟨proof⟩

end

end

theory *HOL-Extra*

imports *Main*

begin

lemmas *UniqI* = *Uniq-I*

lemma *Uniq-prodI*:

assumes $\bigwedge x1\ y1\ x2\ y2. P\ x1\ y1 \implies P\ x2\ y2 \implies (x1, y1) = (x2, y2)$

shows $\exists_{\leq 1}(x, y). P\ x\ y$

⟨proof⟩

lemma *Uniq-implies-ex1*: $\exists_{\leq 1}x. P\ x \implies P\ y \implies \exists!x. P\ x$

⟨proof⟩

lemma *Uniq-antimono*: $Q \leq P \implies \text{Uniq } Q \geq \text{Uniq } P$

⟨proof⟩

lemma *Uniq-antimono'*: $(\bigwedge x. Q\ x \implies P\ x) \implies \text{Uniq } P \implies \text{Uniq } Q$

⟨proof⟩

lemma *Collect-eq-if-Uniq*: $(\exists_{\leq 1}x. P\ x) \implies \{x. P\ x\} = \{\} \vee (\exists x. \{x. P\ x\} = \{x\})$

<proof>

lemma *Collect-eq-if-Uniq-prod:*

$(\exists_{\leq 1}(x, y). P x y) \implies \{(x, y). P x y\} = \{\} \vee (\exists x y. \{(x, y). P x y\} = \{(x, y)\})$
<proof>

lemma *Ball-Ex-comm:*

$(\forall x \in X. \exists f. P (f x) x) \implies (\exists f. \forall x \in X. P (f x) x)$
 $(\exists f. \forall x \in X. P (f x) x) \implies (\forall x \in X. \exists f. P (f x) x)$
<proof>

lemma *set-map-id:*

assumes $x \in \text{set } X \text{ } f x \notin \text{set } X \text{ } \text{map } f X = X$
shows *False*
<proof>

lemma *Ball-singleton:* $(\forall x \in \{x\}. P x) \longleftrightarrow P x$

<proof>

end

theory *Grounded-Selection-Function*

imports

Nonground-Selection-Function

Nonground-Typing

HOL-Extra

begin

context *nonground-typing*

begin

abbreviation *select-subst-stability-on-clause* **where**

select-subst-stability-on-clause $\text{select } \text{select}_G C_G C \mathcal{V} \gamma \equiv$
 $C \cdot \gamma = \text{clause.from-ground } C_G \wedge$
 $\text{select}_G C_G = \text{clause.to-ground } ((\text{select } C) \cdot \gamma) \wedge$
clause.is-welltyped-ground-instance $C \mathcal{V} \gamma$

abbreviation *select-subst-stability-on* **where**

select-subst-stability-on $\text{select } \text{select}_G N \equiv$
 $\forall C_G \in \bigcup (\text{clause.welltyped-ground-instances } 'N). \exists (C, \mathcal{V}) \in N. \exists \gamma.$
select-subst-stability-on-clause $\text{select } \text{select}_G C_G C \mathcal{V} \gamma$

lemma *obtain-subst-stable-on-select-grounding:*

fixes $\text{select} :: ('f, 'v) \text{select}$

obtains select_G **where**

select-subst-stability-on $\text{select } \text{select}_G N$

is-select-grounding $\text{select } \text{select}_G$

<proof>

end

```

locale grounded-selection-function =
  nonground-selection-function select +
  nonground-typing  $\mathcal{F}$ 
for
  select :: ('f, 'v :: infinite) atom clause  $\Rightarrow$  ('f, 'v) atom clause and
   $\mathcal{F}$  :: ('f, 'ty) fun-types +
fixes selectG
assumes selectG: is-select-grounding select selectG
begin

abbreviation subst-stability-on where
  subst-stability-on  $N \equiv$  select-subst-stability-on select selectG  $N$ 

lemma selectG-subset: selectG  $C \subseteq\# C$ 
  <proof>

lemma selectG-negative-literals:
  assumes  $l_G \in\#$  selectG  $C_G$ 
  shows is-neg  $l_G$ 
  <proof>

sublocale ground: selection-function selectG
  <proof>

end

end
theory Term-Rewrite-System
  imports Ground-Context
begin

definition compatible-with-gctxt :: 'f gterm rel  $\Rightarrow$  bool where
  compatible-with-gctxt  $I \iff (\forall t t' \text{ ctxt. } (t, t') \in I \longrightarrow (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I)$ 

lemma compatible-with-gctxtD:
  compatible-with-gctxt  $I \implies (t, t') \in I \implies (\text{ctxt}\langle t \rangle_G, \text{ctxt}\langle t' \rangle_G) \in I$ 
  <proof>

lemma compatible-with-gctxt-converse:
  assumes compatible-with-gctxt  $I$ 
  shows compatible-with-gctxt  $(I^{-1})$ 
  <proof>

lemma compatible-with-gctxt-symcl:
  assumes compatible-with-gctxt  $I$ 
  shows compatible-with-gctxt  $(I^{\leftrightarrow})$ 
  <proof>

```

lemma *compatible-with-gctxt-rtrancel*:

assumes *compatible-with-gctxt I*

shows *compatible-with-gctxt (I*)*

<proof>

lemma *compatible-with-gctxt-relcomp*:

assumes *compatible-with-gctxt I1* **and** *compatible-with-gctxt I2*

shows *compatible-with-gctxt (I1 O I2)*

<proof>

lemma *compatible-with-gctxt-join*:

assumes *compatible-with-gctxt I*

shows *compatible-with-gctxt (I[↓])*

<proof>

lemma *compatible-with-gctxt-conversion*:

assumes *compatible-with-gctxt I*

shows *compatible-with-gctxt (I^{↔*})*

<proof>

definition *rewrite-inside-gctxt* :: '*f gterm rel* ⇒ '*f gterm rel* **where**

rewrite-inside-gctxt R = {(cxt⟨t1⟩_G, cxt⟨t2⟩_G) | cxt t1 t2. (t1, t2) ∈ R}

lemma *mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[*intro*]:

(l, r) ∈ R ⇒ (l, r) ∈ rewrite-inside-gctxt R

<proof>

lemma *cxt-mem-rewrite-inside-gctxt-if-mem-rewrite-rules*[*intro*]:

(l, r) ∈ R ⇒ (cxt⟨l⟩_G, cxt⟨r⟩_G) ∈ rewrite-inside-gctxt R

<proof>

lemma *rewrite-inside-gctxt-mono*: *R ⊆ S ⇒ rewrite-inside-gctxt R ⊆ rewrite-inside-gctxt S*

<proof>

lemma *rewrite-inside-gctxt-union*:

rewrite-inside-gctxt (R ∪ S) = rewrite-inside-gctxt R ∪ rewrite-inside-gctxt S

<proof>

lemma *rewrite-inside-gctxt-insert*:

rewrite-inside-gctxt (insert r R) = rewrite-inside-gctxt {r} ∪ rewrite-inside-gctxt R

<proof>

lemma *converse-rewrite-steps*: *(rewrite-inside-gctxt R)⁻¹ = rewrite-inside-gctxt (R⁻¹)*

<proof>

lemma *rhs-lt-lhs-if-rule-in-rewrite-inside-gctxt*:

fixes *less-trm* :: '*f gterm* ⇒ '*f gterm* ⇒ *bool* (**infix** <_t 50)

assumes
rule-in: $(t1, t2) \in \text{rewrite-inside-gctxt } R$ **and**
ball-R-rhs-lt-lhs: $\bigwedge t1\ t2. (t1, t2) \in R \implies t2 \prec_t t1$ **and**
compatible-with-gctxt: $\bigwedge t1\ t2\ \text{ctxt}. t2 \prec_t t1 \implies \text{ctxt}\langle t2 \rangle_G \prec_t \text{ctxt}\langle t1 \rangle_G$
shows $t2 \prec_t t1$
 $\langle \text{proof} \rangle$

lemma *mem-rewrite-step-union-NF*:
assumes $(t, t') \in \text{rewrite-inside-gctxt } (R1 \cup R2)$
 $t \in \text{NF } (\text{rewrite-inside-gctxt } R2)$
shows $(t, t') \in \text{rewrite-inside-gctxt } R1$
 $\langle \text{proof} \rangle$

lemma *predicate-holds-of-mem-rewrite-inside-gctxt*:
assumes *rule-in*: $(t1, t2) \in \text{rewrite-inside-gctxt } R$ **and**
ball-P: $\bigwedge t1\ t2. (t1, t2) \in R \implies P\ t1\ t2$ **and**
preservation: $\bigwedge t1\ t2\ \text{ctxt } \sigma. (t1, t2) \in R \implies P\ t1\ t2 \implies P\ \text{ctxt}\langle t1 \rangle_G\ \text{ctxt}\langle t2 \rangle_G$
shows $P\ t1\ t2$
 $\langle \text{proof} \rangle$

lemma *compatible-with-gctxt-rewrite-inside-gctxt[simp]*: *compatible-with-gctxt* (*rewrite-inside-gctxt* E)
 $\langle \text{proof} \rangle$

lemma *subset-rewrite-inside-gctxt[simp]*: $E \subseteq \text{rewrite-inside-gctxt } E$
 $\langle \text{proof} \rangle$

lemma *wf-converse-rewrite-inside-gctxt*:
fixes $E :: 'f\ \text{gterm}\ \text{rel}$
assumes
wfP-R: *wfP* R **and**
R-compatible-with-gctxt: $\bigwedge \text{ctxt } t\ t'. R\ t\ t' \implies R\ \text{ctxt}\langle t \rangle_G\ \text{ctxt}\langle t' \rangle_G$ **and**
equations-subset-R: $\bigwedge x\ y. (x, y) \in E \implies R\ y\ x$
shows *wf* $((\text{rewrite-inside-gctxt } E)^{-1})$
 $\langle \text{proof} \rangle$

end
theory *Entailment-Lifting*
imports *Abstract-Substitution.Functional-Substitution-Lifting*
begin

locale *entailment* =
based: *based-functional-substitution* **where** *base-subst* = *base-subst* **and** *vars* =
vars +
base: *grounding* **where** *subst* = *base-subst* **and** *vars* = *base-vars* **and** *to-ground*
= *base-to-ground* **and**
from-ground = *base-from-ground* **for**
vars :: $'\text{expr} \Rightarrow '\text{var}\ \text{set}$ **and**
base-subst :: $'\text{base} \Rightarrow ('var \Rightarrow 'base) \Rightarrow 'base$ **and**

base-to-ground :: 'base \Rightarrow 'base_G **and**
base-from-ground +
fixes *entails-def* :: 'expr \Rightarrow bool **and** *I* :: ('base_G × 'base_G) set
assumes
congruence: \bigwedge expr γ var update.
based.base.is-ground update \implies
based.base.is-ground (γ var) \implies
(*base-to-ground* (γ var), *base-to-ground* update) $\in I \implies$
based.is-ground (*subst* expr γ) \implies
entails-def (*subst* expr (γ (var := update))) \implies
entails-def (*subst* expr γ)
begin

abbreviation *entails* \equiv *entails-def*

end

locale *symmetric-entailment* = *entailment* +
assumes *sym*: *sym* *I*
begin

lemma *symmetric-congruence*:
assumes
update-is-ground: *based.base.is-ground* update **and**
var-grounding: *based.base.is-ground* (γ var) **and**
var-update: (*base-to-ground* (γ var), *base-to-ground* update) $\in I$ **and**
expr-grounding: *based.is-ground* (*subst* expr γ)
shows
entails (*subst* expr (γ (var := update))) \longleftrightarrow *entails* (*subst* expr γ)
<proof>

end

locale *symmetric-base-entailment* =
base-functional-substitution **where** *subst* = *subst* +
grounding **where** *subst* = *subst* **and** *to-ground* = *to-ground* **for**
subst :: 'base \Rightarrow ('var \Rightarrow 'base) \Rightarrow 'base (**infixl** · 70) **and**
to-ground :: 'base \Rightarrow 'base_G +
fixes *I* :: ('base_G × 'base_G) set
assumes
sym: *sym* *I* **and**
congruence: \bigwedge expr expr' update γ var.
is-ground update \implies
is-ground (γ var) \implies
(*to-ground* (γ var), *to-ground* update) $\in I \implies$
is-ground (expr · γ) \implies
(*to-ground* (expr · (γ (var := update))), expr') $\in I \implies$
(*to-ground* (expr · γ), expr') $\in I$
begin

lemma *symmetric-congruence*:

assumes

update-is-ground: *is-ground update* **and**

var-grounding: *is-ground* (γ *var*) **and**

expr-grounding: *is-ground* (*expr* \cdot γ) **and**

var-update: (*to-ground* (γ *var*), *to-ground update*) $\in I$

shows (*to-ground* (*expr* \cdot (γ (*var* := *update*))), *expr'*) $\in I \longleftrightarrow$ (*to-ground* (*expr* \cdot γ), *expr'*) $\in I$

<proof>

lemma *simultaneous-congruence*:

assumes

update-is-ground: *is-ground update* **and**

var-grounding: *is-ground* (γ *var*) **and**

var-update: (*to-ground* (γ *var*), *to-ground update*) $\in I$ **and**

expr-grounding: *is-ground* (*expr* \cdot γ) *is-ground* (*expr'* \cdot γ)

shows

(*to-ground* (*expr* \cdot (γ (*var* := *update*))), *to-ground* (*expr'* \cdot (γ (*var* := *update*)))) $\in I \longleftrightarrow$

(*to-ground* (*expr* \cdot γ), *to-ground* (*expr'* \cdot γ)) $\in I$

<proof>

end

locale *entailment-lifting* =

based-functional-substitution-lifting +

finite-variables-lifting +

sub: *symmetric-entailment*

where *subst* = *sub-subst* **and** *vars* = *sub-vars* **and** *entails-def* = *sub-entails*

for *sub-entails* +

fixes

is-negated :: 'd \Rightarrow bool **and**

empty :: bool **and**

connective :: bool \Rightarrow bool \Rightarrow bool **and**

entails-def

assumes

is-negated-subst: \bigwedge *expr* σ . *is-negated* (*subst expr* σ) \longleftrightarrow *is-negated expr* **and**

entails-def: \bigwedge *expr*. *entails-def expr* \longleftrightarrow

(*if is-negated expr then Not else* (λx . *x*))

(*Finite-Set.fold connective empty* (*sub-entails* ' *to-set expr*))

begin

notation *sub-entails* ((\models_s -) [50] 50)

notation *entails-def* ((\models -) [50] 50)

sublocale *symmetric-entailment* **where** *subst* = *subst* **and** *vars* = *vars* **and** *entails-def* = *entails-def*

<proof>

```

end

locale entailment-lifting-conj = entailment-lifting
  where connective = ( $\wedge$ ) and empty = True

locale entailment-lifting-disj = entailment-lifting
  where connective = ( $\vee$ ) and empty = False

end
theory Fold-Extra
  imports Main
begin

lemma comp-fun-idem-conj: comp-fun-idem-on  $X$  ( $\wedge$ )
   $\langle$ proof $\rangle$ 

lemma comp-fun-idem-disj: comp-fun-idem-on  $X$  ( $\vee$ )
   $\langle$ proof $\rangle$ 

lemma fold-conj-insert [simp]:
   $Finite-Set.fold$  ( $\wedge$ ) True (insert  $b$   $B$ )  $\longleftrightarrow$   $b \wedge Finite-Set.fold$  ( $\wedge$ ) True  $B$ 
   $\langle$ proof $\rangle$ 

lemma fold-disj-insert [simp]:
   $Finite-Set.fold$  ( $\vee$ ) False (insert  $b$   $B$ )  $\longleftrightarrow$   $b \vee Finite-Set.fold$  ( $\vee$ ) False  $B$ 
   $\langle$ proof $\rangle$ 

end
theory Nonground-Entailment
  imports
    Nonground-Context
    Nonground-Clause
    Term-Rewrite-System
    Entailment-Lifting
    Fold-Extra
begin

```

4 Entailment

```

context nonground-term
begin

lemma var-in-term:
  assumes  $x \in vars$   $t$ 
  obtains  $c$  where  $t = c\langle Var$   $x$  $\rangle$ 
   $\langle$ proof $\rangle$ 

lemma vars-term-ms-count:

```

assumes *is-ground t*
shows
 $size \{\#x' \in \# vars-term-ms \ c \langle Var \ x \rangle. \ x' = x\# \} = Suc \ (size \{\#x' \in \# vars-term-ms \ c \langle t \rangle. \ x' = x\# \})$
 $\langle proof \rangle$

end

context *nonground-clause*
begin

lemma *not-literal-entails [simp]*:
 $\neg \ upair \ 'I \ \models Neg \ a \ \longleftrightarrow \ upair \ 'I \ \models Pos \ a$
 $\neg \ upair \ 'I \ \models Pos \ a \ \longleftrightarrow \ upair \ 'I \ \models Neg \ a$
 $\langle proof \rangle$

lemmas *literal-entails-unfolds =*
not-literal-entails true-lit-simps

end

locale *clause-entailment = nonground-clause +*
fixes $I :: ('f \ gterm \times 'f \ gterm) \ set$
assumes
trans: trans I and
sym: sym I and
compatible-with-gctxt: compatible-with-gctxt I
begin

lemma *symmetric-context-congruence*:
assumes $(t, t') \in I$
shows $(c \langle t \rangle_G, t'') \in I \ \longleftrightarrow \ (c \langle t' \rangle_G, t'') \in I$
 $\langle proof \rangle$

lemma *symmetric-upair-context-congruence*:
assumes $Upair \ t \ t' \in upair \ 'I$
shows $Upair \ c \langle t \rangle_G \ t'' \in upair \ 'I \ \longleftrightarrow \ Upair \ c \langle t' \rangle_G \ t'' \in upair \ 'I$
 $\langle proof \rangle$

lemma *upair-compatible-with-gctxtI [intro]*:
 $Upair \ t \ t' \in upair \ 'I \ \Longrightarrow \ Upair \ c \langle t \rangle_G \ c \langle t' \rangle_G \in upair \ 'I$
 $\langle proof \rangle$

sublocale *term: symmetric-base-entailment where vars = term.vars :: ('f, 'v)*
term \Rightarrow 'v set and
id-subst = Var and comp-subst = (\odot) and subst = (\cdot) and to-ground =
term.to-ground and
from-ground = term.from-ground
 $\langle proof \rangle$

sublocale *atom: symmetric-entailment*
where $comp\text{-subst} = (\odot)$ **and** $id\text{-subst} = Var$
and $base\text{-subst} = (\cdot t)$ **and** $base\text{-vars} = term.vars$ **and** $subst = (\cdot a)$ **and** $vars$
 $= atom.vars$
and $base\text{-to-ground} = term.to-ground$ **and** $base\text{-from-ground} = term.from-ground$
and $I = I$
and $entails\text{-def} = \lambda a. atom.to-ground\ a \in upair\ 'I$
 $\langle proof \rangle$

sublocale *literal: entailment-lifting-conj*
where $comp\text{-subst} = (\odot)$ **and** $id\text{-subst} = Var$
and $base\text{-subst} = (\cdot t)$ **and** $base\text{-vars} = term.vars$ **and** $sub\text{-subst} = (\cdot a)$ **and**
 $sub\text{-vars} = atom.vars$
and $base\text{-to-ground} = term.to-ground$ **and** $base\text{-from-ground} = term.from-ground$
and $I = I$
and $sub\text{-entails} = atom.entails$ **and** $map = map\text{-literal}$ **and** $to\text{-set} = set\text{-literal}$
and $is\text{-negated} = is\text{-neg}$ **and** $entails\text{-def} = \lambda l. upair\ 'I \Vdash l\ literal.to-ground\ l$
 $\langle proof \rangle$

sublocale *clause: entailment-lifting-disj*
where $comp\text{-subst} = (\odot)$ **and** $id\text{-subst} = Var$
and $base\text{-subst} = (\cdot t)$ **and** $base\text{-vars} = term.vars$
and $base\text{-to-ground} = term.to-ground$ **and** $base\text{-from-ground} = term.from-ground$
and $I = I$
and $sub\text{-subst} = (\cdot l)$ **and** $sub\text{-vars} = literal.vars$ **and** $sub\text{-entails} = literal.entails$
and $map = image\text{-mset}$ **and** $to\text{-set} = set\text{-mset}$ **and** $is\text{-negated} = \lambda -. False$
and $entails\text{-def} = \lambda C. upair\ 'I \Vdash clause.to-ground\ C$
 $\langle proof \rangle$

lemma *literal-compatible-with-gctxtI* [intro]:
 $literal.entails\ (t \approx t') \implies literal.entails\ (c\langle t \rangle \approx c\langle t' \rangle)$
 $\langle proof \rangle$

lemma *symmetric-literal-context-congruence*:
assumes $Upair\ t\ t' \in upair\ 'I$
shows
 $upair\ 'I \Vdash l\ c\langle t \rangle_G \approx t'' \iff upair\ 'I \Vdash l\ c\langle t' \rangle_G \approx t''$
 $upair\ 'I \Vdash l\ c\langle t \rangle_G \not\approx t'' \iff upair\ 'I \Vdash l\ c\langle t' \rangle_G \not\approx t''$
 $\langle proof \rangle$

end

end

theory *Nonground-Inference*

imports *Nonground-Clause Nonground-Typing*

begin

locale *nonground-inference* = *nonground-clause*

begin

sublocale *inference: term-based-lifting* **where**

sub-subst = *clause.subst* **and** *sub-vars* = *clause.vars* **and** *map* = *map-inference*

and

to-set = *set-inference* **and** *sub-to-ground* = *clause.to-ground* **and**

sub-from-ground = *clause.from-ground* **and** *to-ground-map* = *map-inference* **and**

from-ground-map = *map-inference* **and** *ground-map* = *map-inference* **and** *to-set-ground*
= *set-inference*

<proof>

notation *inference.subst* (**infixl** $\cdot\iota$ 67)

lemma *vars-inference* [*simp*]:

inference.vars (*Infer* *Ps* *C*) = \bigcup (*clause.vars* ‘ *set* *Ps*) \cup *clause.vars* *C*

<proof>

lemma *subst-inference* [*simp*]:

Infer *Ps* *C* $\cdot\iota$ σ = *Infer* (*map* ($\lambda P. P \cdot \sigma$) *Ps*) (*C* $\cdot \sigma$)

<proof>

lemma *inference-from-ground-clause-from-ground* [*simp*]:

inference.from-ground (*Infer* *Ps* *C*) = *Infer* (*map* *clause.from-ground* *Ps*) (*clause.from-ground*
C)

<proof>

lemma *inference-to-ground-clause-to-ground* [*simp*]:

inference.to-ground (*Infer* *Ps* *C*) = *Infer* (*map* *clause.to-ground* *Ps*) (*clause.to-ground*
C)

<proof>

lemma *inference-is-ground-clause-is-ground* [*simp*]:

inference.is-ground (*Infer* *Ps* *C*) \longleftrightarrow *list-all* *clause.is-ground* *Ps* \wedge *clause.is-ground*
C

<proof>

end

end

theory *Restricted-Order*

imports *Main*

begin

5 Restricted Orders

locale *relation-restriction* =

fixes *R* :: 'a \Rightarrow 'a \Rightarrow bool **and** *lift* :: 'b \Rightarrow 'a

assumes *inj-lift* [*intro*]: *inj lift*

begin

definition $R_r :: 'b \Rightarrow 'b \Rightarrow bool$ **where**

$R_r\ b\ b' \equiv R\ (\text{lift } b)\ (\text{lift } b')$

end

5.1 Strict Orders

locale *strict-order* =

fixes

$\text{less} :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** \prec 50)

assumes

$\text{transp } [\text{intro}]: \text{transp } (\prec)$ **and**

$\text{asympt } [\text{intro}]: \text{asympt } (\prec)$

begin

abbreviation *less-eq* **where** $\text{less-eq} \equiv (\prec)^{==}$

notation *less-eq* (**infix** \preceq 50)

sublocale *order* (\preceq) (\prec)

$\langle \text{proof} \rangle$

end

locale *strict-order-restriction* =

strict-order +

relation-restriction **where** $R = (\prec)$

begin

abbreviation $\text{less}_r \equiv R_r$

lemmas *less_r-def* = *R_r-def*

notation less_r (**infix** \prec_r 50)

sublocale *restriction: strict-order* (\prec_r)

$\langle \text{proof} \rangle$

abbreviation $\text{less-eq}_r \equiv \text{restriction.less-eq}$

notation less-eq_r (**infix** \preceq_r 50)

end

5.2 Wellfounded Strict Orders

locale *restricted-wellfounded-strict-order* = *strict-order* +

fixes *restriction*

assumes *wfp* [*intro*]: *wfp-on restriction* (\prec)

locale *wellfounded-strict-order* =
restricted-wellfounded-strict-order **where** *restriction* = *UNIV*

locale *wellfounded-strict-order-restriction* =
strict-order-restriction +
restricted-wellfounded-strict-order **where** *restriction* = *range lift* **and** *less* = (\prec)
begin

sublocale *wellfounded-strict-order* (\prec_r)
 \langle *proof* \rangle

end

5.3 Total Strict Orders

locale *restricted-total-strict-order* = *strict-order* +
fixes *restriction*
assumes *totalp* [*intro*]: *totalp-on restriction* (\prec)
begin

lemma *restricted-not-le*:
assumes $a \in \text{restriction}$ $b \in \text{restriction} \neg b \prec a$
shows $a \preceq b$
 \langle *proof* \rangle

end

locale *total-strict-order* =
restricted-total-strict-order **where** *restriction* = *UNIV*
begin

sublocale *linorder* (\preceq) (\prec)
 \langle *proof* \rangle

end

locale *total-strict-order-restriction* =
strict-order-restriction +
restricted-total-strict-order **where** *restriction* = *range lift* **and** *less* = (\prec)
begin

sublocale *total-strict-order* (\prec_r)
 \langle *proof* \rangle

end

locale *restricted-wellfounded-total-strict-order* =
restricted-wellfounded-strict-order + *restricted-total-strict-order*

```

end
theory Context-Compatible-Order
  imports
    Ground-Context
    Restricted-Order
begin

locale restriction-restricted =
  fixes restriction context-restriction restricted restricted-context
assumes
  restricted:
     $\bigwedge t. t \in \text{restriction} \longleftrightarrow \text{restricted } t$ 
     $\bigwedge c. c \in \text{context-restriction} \longleftrightarrow \text{restricted-context } c$ 

locale restricted-context-compatibility =
  restriction-restricted +
fixes R Fun
assumes
  context-compatible [simp]:
     $\bigwedge c t_1 t_2.$ 
       $\text{restricted } t_1 \implies$ 
       $\text{restricted } t_2 \implies$ 
       $\text{restricted-context } c \implies$ 
       $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 

locale context-compatibility = restricted-context-compatibility where
  restriction = UNIV and context-restriction = UNIV and restricted =  $\lambda-. \text{True}$ 
and
  restricted-context =  $\lambda-. \text{True}$ 
begin

lemma context-compatibility [simp]:  $R (\text{Fun}\langle c; t_1 \rangle) (\text{Fun}\langle c; t_2 \rangle) \longleftrightarrow R t_1 t_2$ 
  <proof>

end

locale context-compatible-restricted-order =
  restricted-total-strict-order +
  restriction-restricted +
fixes Fun
assumes less-context-compatible:
   $\bigwedge c t_1 t_2.$ 
     $\text{restricted } t_1 \implies$ 
     $\text{restricted } t_2 \implies$ 
     $\text{restricted-context } c \implies$ 
     $t_1 < t_2 \implies$ 
     $\text{Fun}\langle c; t_1 \rangle < \text{Fun}\langle c; t_2 \rangle$ 
begin

```

sublocale *restricted-context-compatibility* **where** $R = (<)$
<proof>

sublocale *less-eq: restricted-context-compatibility* **where** $R = (\preceq)$
<proof>

lemma *context-less-term-lesseq:*

assumes

restricted t

restricted t'

restricted-context c

restricted-context c'

$\wedge t. \text{restricted } t \implies \text{Fun}\langle c;t \rangle < \text{Fun}\langle c';t \rangle$

$t \preceq t'$

shows $\text{Fun}\langle c;t \rangle < \text{Fun}\langle c';t' \rangle$

<proof>

lemma *context-lesseq-term-less:*

assumes

restricted t

restricted t'

restricted-context c

restricted-context c'

$\wedge t. \text{restricted } t \implies \text{Fun}\langle c;t \rangle \preceq \text{Fun}\langle c';t \rangle$

$t < t'$

shows $\text{Fun}\langle c;t \rangle < \text{Fun}\langle c';t' \rangle$

<proof>

end

locale *context-compatible-order* =

total-strict-order +

fixes *Fun*

assumes *less-context-compatible*: $t_1 < t_2 \implies \text{Fun}\langle c;t_1 \rangle < \text{Fun}\langle c;t_2 \rangle$

begin

sublocale *restricted: context-compatible-restricted-order* **where**

restriction = *UNIV* **and** *context-restriction* = *UNIV* **and** *restricted* = $\lambda-. \text{True}$

and

restricted-context = $\lambda-. \text{True}$

<proof>

sublocale *context-compatibility* ($<$)

<proof>

sublocale *less-eq: context-compatibility* (\preceq)

<proof>

lemma *context-less-term-lesseq:*

```

assumes
   $\bigwedge t. \text{Fun}\langle c;t \rangle \prec \text{Fun}\langle c';t \rangle$ 
   $t \preceq t'$ 
shows  $\text{Fun}\langle c;t \rangle \prec \text{Fun}\langle c';t' \rangle$ 
  <proof>

lemma context-lesseq-term-less:
assumes
   $\bigwedge t. \text{Fun}\langle c;t \rangle \preceq \text{Fun}\langle c';t \rangle$ 
   $t \prec t'$ 
shows  $\text{Fun}\langle c;t \rangle \prec \text{Fun}\langle c';t' \rangle$ 
  <proof>

end

end
theory Term-Order-Notation
imports Main
begin

locale term-order-notation =
fixes  $\text{less}_t :: 't \Rightarrow 't \Rightarrow \text{bool}$ 
begin

notation  $\text{less}_t$  (infix  $\prec_t$  50)

abbreviation  $\text{less-eq}_t \equiv (\prec_t)^{==}$ 

notation  $\text{less-eq}_t$  (infix  $\preceq_t$  50)

end

end
theory Transitive-Closure-Extra
imports Main
begin

lemma reflclp-iff:  $\bigwedge R x y. R^{==} x y \longleftrightarrow R x y \vee x = y$ 
  <proof>

lemma reflclp-refl:  $R^{==} x x$ 
  <proof>

lemma transpD-strict-non-strict:
assumes transp  $R$ 
shows  $\bigwedge x y z. R x y \Longrightarrow R^{==} y z \Longrightarrow R x z$ 
  <proof>

lemma transpD-non-strict-strict:

```

```

assumes transp R
shows  $\bigwedge x y z. R^{=} x y \implies R y z \implies R x z$ 
  <proof>

lemma mem-rtrancl-union-iff-mem-rtrancl-lhs:
assumes  $\bigwedge z. (x, z) \in A^* \implies z \notin \text{Domain } B$ 
shows  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in A^*$ 
  <proof>

lemma mem-rtrancl-union-iff-mem-rtrancl-rhs:
assumes
   $\bigwedge z. (x, z) \in B^* \implies z \notin \text{Domain } A$ 
shows  $(x, y) \in (A \cup B)^* \longleftrightarrow (x, y) \in B^*$ 
  <proof>

end
theory Ground-Term-Order
imports
  Ground-Context
  Context-Compatible-Order
  Term-Order-Notation
  Transitive-Closure-Extra
begin

locale context-compatible-ground-order = context-compatible-order where Fun =
  GFun

locale subterm-property =
  strict-order where less = lesst
  for lesst :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool +
assumes
  subterm-property [simp]:  $\bigwedge t c. c \neq \square \implies less_t t c\langle t \rangle_G$ 
begin

interpretation term-order-notation<proof>

lemma less-eq-subterm-property:  $t \preceq_t c\langle t \rangle_G$ 
  <proof>

end

locale ground-term-order =
  wellfounded-strict-order lesst +
  total-strict-order lesst +
  context-compatible-ground-order lesst +
  subterm-property lesst
  for lesst :: 'f gterm  $\Rightarrow$  'f gterm  $\Rightarrow$  bool
begin

```

interpretation *term-order-notation*⟨*proof*⟩

end

end

theory *Grounded-Order*

imports

Restricted-Order

Abstract-Substitution.Functional-Substitution-Lifting

begin

6 Orders with ground restrictions

locale *grounded-order* =

strict-order **where** *less* = *less* +

grounding **where** *vars* = *vars*

for

less :: 'expr ⇒ 'expr ⇒ bool (**infix** <<> 50) **and**

vars :: 'expr ⇒ 'var set

begin

sublocale *strict-order-restriction* **where** *lift* = *from-ground*

⟨*proof*⟩

abbreviation *less_G* ≡ *less_r*

lemmas *less_G-def* = *less_r-def*

notation *less_G* (**infix** <<_G 50)

abbreviation *less-eq_G* ≡ *less-eq_r*

notation *less-eq_G* (**infix** ≲_G 50)

lemma *to-ground-less_r* [*simp*]:

assumes *is-ground* *e* **and** *is-ground* *e'*

shows *to-ground* *e* <<_G *to-ground* *e'* ↔ *e* < *e'*

⟨*proof*⟩

lemma *to-ground-less-eq_r* [*simp*]:

assumes *is-ground* *e* **and** *is-ground* *e'*

shows *to-ground* *e* ≲_G *to-ground* *e'* ↔ *e* ≲ *e'*

⟨*proof*⟩

lemma *less-eq_r-from-ground* [*simp*]:

e_G ≲_G *e'_G* ↔ *from-ground* *e_G* ≲ *from-ground* *e'_G*

⟨*proof*⟩

end

locale *grounded-restricted-total-strict-order* =

order: restricted-total-strict-order **where** *restriction = range from-ground + grounded-order*
begin

sublocale *total-strict-order-restriction* **where** *lift = from-ground*
<proof>

lemma *not-less-eq [simp]:*
assumes *is-ground expr and is-ground expr'*
shows $\neg \text{order.less-eq } \text{expr}' \text{ expr} \longleftrightarrow \text{expr} \prec \text{expr}'$
<proof>

end

locale *grounded-restricted-wellfounded-strict-order =*
restricted-wellfounded-strict-order **where** *restriction = range from-ground + grounded-order*
begin

sublocale *wellfounded-strict-order-restriction* **where** *lift = from-ground*
<proof>

end

6.1 Ground substitution stability

locale *ground-subst-stability = grounding +*
fixes *R*
assumes
ground-subst-stability:
 $\bigwedge \text{expr}_1 \text{ expr}_2 \gamma.$
 $\text{is-ground } (\text{expr}_1 \cdot \gamma) \implies$
 $\text{is-ground } (\text{expr}_2 \cdot \gamma) \implies$
 $R \text{ expr}_1 \text{ expr}_2 \implies$
 $R (\text{expr}_1 \cdot \gamma) (\text{expr}_2 \cdot \gamma)$

locale *ground-subst-stable-grounded-order =*
grounded-order +
ground-subst-stability **where** $R = (\prec)$
begin

sublocale *less-eq: ground-subst-stability* **where** $R = (\preceq)$
<proof>

lemma *ground-less-not-less-eq:*
assumes
grounding: is-ground (expr₁ · γ) is-ground (expr₂ · γ) and
less: expr₁ · γ < expr₂ · γ
shows

$\neg \text{expr}_2 \preceq \text{expr}_1$
 ⟨proof⟩

end

6.2 Substitution update stability

```

locale subst-update-stability =
  based-functional-substitution +
  fixes base-R R
  assumes
    subst-update-stability:
       $\bigwedge \text{update } x \ \gamma \ \text{expr}.$ 
      base.is-ground update  $\implies$ 
      base-R update  $(\gamma \ x) \implies$ 
      is-ground  $(\text{expr} \cdot \gamma) \implies$ 
       $x \in \text{vars } \text{expr} \implies$ 
       $R (\text{expr} \cdot \gamma(x := \text{update})) (\text{expr} \cdot \gamma)$ 

locale base-subst-update-stability =
  base-functional-substitution +
  subst-update-stability where base-R = R and base-subst = subst and base-vars
  = vars

locale subst-update-stable-grounded-order =
  grounded-order + subst-update-stability where R = less and base-R = base-less
for base-less
begin

sublocale less-eq: subst-update-stability
  where base-R = base-less== and R = less==
  ⟨proof⟩

end

locale base-subst-update-stable-grounded-order =
  base-subst-update-stability where R = less +
  subst-update-stable-grounded-order where
  base-less = less and base-subst = subst and base-vars = vars

end
theory Multiset-Extension
  imports
    Restricted-Order
    Multiset-Extra
begin

```

7 Multiset Extensions

locale *multiset-extension* = *order: strict-order* +
 fixes *to-mset* :: 'b \Rightarrow 'a *multiset*
begin

definition *multiset-extension* :: 'b \Rightarrow 'b \Rightarrow *bool* **where**
 multiset-extension b1 b2 \equiv *multp* (\prec) (*to-mset* b1) (*to-mset* b2)

notation *multiset-extension* (**infix** \prec_m 50)

sublocale *strict-order* (\prec_m)
(*proof*)

notation *less-eq* (**infix** \preceq_m 50)

end

7.1 Wellfounded Multiset Extensions

locale *wellfounded-multiset-extension* =
 order: wellfounded-strict-order +
 multiset-extension
begin

sublocale *wellfounded-strict-order* (\prec_m)
(*proof*)

end

7.2 Total Multiset Extensions

locale *restricted-total-multiset-extension* =
 base: restricted-total-strict-order +
 multiset-extension +
 assumes *inj-on-to-mset*: *inj-on* *to-mset* {*b. set-mset* (*to-mset* b) \subseteq *restriction*}
begin

sublocale *restricted-total-strict-order* (\prec_m) {*b. set-mset* (*to-mset* b) \subseteq *restriction*}
(*proof*)

end

locale *total-multiset-extension* =
 order: total-strict-order +
 multiset-extension +
 assumes *inj-to-mset*: *inj* *to-mset*
begin

sublocale *restricted-total-multiset-extension* **where** *restriction = UNIV*
 ⟨*proof*⟩

sublocale *total-strict-order* (\prec_m)
 ⟨*proof*⟩

end

locale *total-wellfounded-multiset-extension* =
wellfounded-multiset-extension + *total-multiset-extension*

end

theory *Grounded-Multiset-Extension*

imports *Grounded-Order Multiset-Extension*

begin

8 Grounded Multiset Extensions

locale *functional-substitution-multiset-extension* =
sub: strict-order **where** *less = (\prec) :: 'sub \Rightarrow 'sub \Rightarrow bool* +
multiset-extension **where** *to-mset = to-mset* +
functional-substitution-lifting **where** *id-subst = id-subst* **and** *to-set = to-set*
for

to-mset :: *'expr \Rightarrow 'sub multiset* **and**

id-subst :: *'var \Rightarrow 'base* **and**

to-set :: *'expr \Rightarrow 'sub set* +

assumes

to-mset-to-set: $\bigwedge \text{expr. set-mset (to-mset expr) = to-set expr}$ **and**

to-mset-map: $\bigwedge f b. \text{to-mset (map f b) = image-mset f (to-mset b)}$ **and**

inj-to-mset: *inj to-mset*

begin

no-notation *less-eq* (**infix** \preceq 50)

notation *sub.less-eq* (**infix** \preceq 50)

lemma *lesseq-if-all-lesseq*:

assumes $\forall \text{sub} \in \# \text{to-mset expr. sub} \cdot_s \sigma' \preceq \text{sub} \cdot_s \sigma$

shows $\text{expr} \cdot \sigma' \preceq_m \text{expr} \cdot \sigma$

⟨*proof*⟩

lemma *less-if-all-lesseq-ex-less*:

assumes

$\forall \text{sub} \in \# \text{to-mset expr. sub} \cdot_s \sigma' \preceq \text{sub} \cdot_s \sigma$

$\exists \text{sub} \in \# \text{to-mset expr. sub} \cdot_s \sigma' \prec \text{sub} \cdot_s \sigma$

shows

$\text{expr} \cdot \sigma' \prec_m \text{expr} \cdot \sigma$

⟨*proof*⟩

end

locale *grounded-multiset-extension* =
 grounding-lifting **where**
 id-subst = *id-subst* :: 'var \Rightarrow 'base **and** *to-set* = *to-set* :: 'expr \Rightarrow 'sub set **and**
 to-set-ground = *to-set-ground* +
 functional-substitution-multiset-extension **where** *to-mset* = *to-mset*
for
 to-mset :: 'expr \Rightarrow 'sub multiset **and**
 to-set-ground :: 'expr_G \Rightarrow 'sub_G set
begin

sublocale *strict-order-restriction* (\prec_m) *from-ground*
 <proof>

end

locale *total-grounded-multiset-extension* =
 grounded-multiset-extension +
 sub: total-strict-order-restriction **where** *lift* = *sub-from-ground*
begin

sublocale *total-strict-order-restriction* (\prec_m) *from-ground*
 <proof>

end

locale *based-grounded-multiset-extension* =
 based-functional-substitution-lifting **where** *base-vars* = *base-vars* +
 grounded-multiset-extension +
 base: strict-order **where** *less* = *base-less*
for
 base-vars :: 'base \Rightarrow 'var set **and**
 base-less :: 'base \Rightarrow 'base \Rightarrow bool

8.1 Ground substitution stability

locale *ground-subst-stable-total-multiset-extension* =
 grounded-multiset-extension +
 sub: ground-subst-stable-grounded-order **where**
 less = *less* **and** *subst* = *sub-subst* **and** *vars* = *sub-vars* **and** *from-ground* =
 sub-from-ground **and**
 to-ground = *sub-to-ground*
begin

sublocale *ground-subst-stable-grounded-order* **where**
 less = (\prec_m) **and** *subst* = *subst* **and** *vars* = *vars* **and** *from-ground* = *from-ground*

and
to-ground = to-ground
 ⟨*proof*⟩

end

8.2 Substitution update stability

locale *subst-update-stable-multiset-extension* =
based-grounded-multiset-extension +
sub: subst-update-stable-grounded-order **where**
vars = sub-vars **and** *subst = sub-subst* **and** *to-ground = sub-to-ground* **and**
from-ground = sub-from-ground
begin

no-notation *less-eq* (**infix** \preceq 50)

sublocale *subst-update-stable-grounded-order* **where**
less = (\prec_m) **and** *vars = vars* **and** *subst = subst* **and** *from-ground = from-ground*
and
to-ground = to-ground
 ⟨*proof*⟩

end

end

theory *Maximal-Literal*

imports

Clausal-Calculus-Extra

Min-Max-Least-Greatest.Min-Max-Least-Greatest-Multiset

Restricted-Order

begin

locale *maximal-literal* = *order: strict-order* **where** *less = less*

for *less :: 'a literal \Rightarrow 'a literal \Rightarrow bool*

begin

abbreviation *is-maximal :: 'a literal \Rightarrow 'a clause \Rightarrow bool* **where**

is-maximal l C \equiv order.is-maximal-in-mset C l

abbreviation *is-strictly-maximal :: 'a literal \Rightarrow 'a clause \Rightarrow bool* **where**

is-strictly-maximal l C \equiv order.is-strictly-maximal-in-mset C l

lemmas *is-maximal-def = order.is-maximal-in-mset-iff*

lemmas *is-strictly-maximal-def = order.is-strictly-maximal-in-mset-iff*

lemmas *is-maximal-if-is-strictly-maximal = order.is-maximal-in-mset-if-is-strictly-maximal-in-mset*

```

lemma maximal-in-clause:
  assumes is-maximal l C
  shows  $l \in\# C$ 
   $\langle proof \rangle$ 

lemma strictly-maximal-in-clause:
  assumes is-strictly-maximal l C
  shows  $l \in\# C$ 
   $\langle proof \rangle$ 

lemma is-maximal-not-empty [intro]: is-maximal l C  $\implies C \neq \{\#\}$ 
   $\langle proof \rangle$ 

lemma is-strictly-maximal-not-empty [intro]: is-strictly-maximal l C  $\implies C \neq \{\#\}$ 
   $\langle proof \rangle$ 

end

end
theory Term-Order-Lifting
  imports
    Grounded-Multiset-Extension
    Maximal-Literal
    Term-Order-Notation
  begin

  locale restricted-term-order-lifting =
    term.order: restricted-wellfounded-total-strict-order where  $less = less_t$ 
  for  $less_t :: 't \Rightarrow 't \Rightarrow bool$  +
  fixes literal-to-mset ::  $'a \text{ literal} \Rightarrow 't \text{ multiset}$ 
  assumes inj-literal-to-mset: inj literal-to-mset
  begin

  sublocale term-order-notation  $\langle proof \rangle$ 

  abbreviation literal-order-restriction where
     $literal-order-restriction \equiv \{b. set-mset (literal-to-mset b) \subseteq restriction\}$ 

  sublocale literal.order: restricted-total-multiset-extension where
     $less = (\prec_t)$  and  $to-mset = literal-to-mset$ 
     $\langle proof \rangle$ 

  notation literal.order.multiset-extension (infix  $\prec_l$  50)
  notation literal.order.less-eq (infix  $\preceq_l$  50)

  lemmas  $less_l-def = literal.order.multiset-extension-def$ 

```

sublocale *maximal-literal* (\prec_l)
 ⟨*proof*⟩

sublocale *clause.order: restricted-total-multiset-extension* **where**
less = (\prec_l) **and** *to-mset* = $\lambda x. x$ **and** *restriction* = *literal-order-restriction*
 ⟨*proof*⟩

notation *clause.order.multiset-extension* (**infix** \prec_c 50)
notation *clause.order.less-eq* (**infix** \preceq_c 50)

lemmas *less_c-def* = *clause.order.multiset-extension-def*

end

locale *term-order-lifting* =
restricted-term-order-lifting **where** *restriction* = *UNIV* +
term.order: wellfounded-strict-order less_t +
term.order: total-strict-order less_t

begin

sublocale *literal.order: total-wellfounded-multiset-extension* **where**
less = (\prec_t) **and** *to-mset* = *literal-to-mset*
 ⟨*proof*⟩

sublocale *clause.order: total-wellfounded-multiset-extension* **where**
less = (\prec_l) **and** *to-mset* = $\lambda x. x$
 ⟨*proof*⟩

end

end

theory *Ground-Order*
imports *Ground-Term-Order Term-Order-Lifting*
begin

locale *ground-order* =
term.order: ground-term-order +
term-order-lifting

locale *ground-order-with-equality* =
term.order: ground-term-order

begin

sublocale *ground-order*
where *literal-to-mset* = *mset-lit*
 ⟨*proof*⟩

end

```

end
theory Nonground-Term-Order
  imports
    Nonground-Term
    Nonground-Context
    Ground-Order
begin

locale ground-context-compatible-order =
  nonground-term-with-context +
  restricted-total-strict-order where restriction = range term.from-ground +
assumes ground-context-compatibility:
   $\bigwedge c\ t_1\ t_2.$ 
    term.is-ground  $t_1 \implies$ 
    term.is-ground  $t_2 \implies$ 
    context.is-ground  $c \implies$ 
     $t_1 < t_2 \implies$ 
     $c\langle t_1 \rangle < c\langle t_2 \rangle$ 
begin

sublocale context-compatible-restricted-order where
  restriction = range term.from-ground and context-restriction = range context.from-ground
and
  Fun = Fun and restricted = term.is-ground and restricted-context = context.is-ground
  <proof>

end

locale ground-subterm-property =
  nonground-term-with-context +
  fixes  $R$ 
assumes ground-subterm-property:
   $\bigwedge t_G\ c_G.$ 
    term.is-ground  $t_G \implies$ 
    context.is-ground  $c_G \implies$ 
     $c_G \neq \square \implies$ 
     $R\ t_G\ c_G\langle t_G \rangle$ 

locale base-grounded-order =
  order: base-subst-update-stable-grounded-order +
  order: grounded-restricted-total-strict-order +
  order: grounded-restricted-wellfounded-strict-order +
  order: ground-subst-stable-grounded-order +
  grounding

locale nonground-term-order =
  nonground-term-with-context +
  order: restricted-wellfounded-total-strict-order where

```

```

    less = lesst and restriction = range term.from-ground +
    order: ground-subst-stability where R = lesst and comp-subst = (⊙) and subst
= (·t) and
    vars = term.vars and id-subst = Var and to-ground = term.to-ground and
    from-ground = term.from-ground +
    order: ground-context-compatible-order where less = lesst +
    order: ground-subterm-property where R = lesst
for lesst :: ('f, 'v) Term.term ⇒ ('f, 'v) Term.term ⇒ bool
begin

```

```

interpretation term-order-notation⟨proof⟩

```

```

sublocale base-grounded-order where
    comp-subst = (⊙) and subst = (·t) and vars = term.vars and id-subst = Var
and
    to-ground = term.to-ground and from-ground = term.from-ground and less =
    (≺t)
⟨proof⟩

```

```

notation order.lessG (infix ≺tG 50)
notation order.less-eqG (infix ≼tG 50)

```

```

sublocale restriction: ground-term-order (≺tG)
⟨proof⟩

```

```

end

```

```

end
theory Nonground-Order
imports
    Nonground-Clause
    Nonground-Term-Order
    Term-Order-Lifting
begin

```

9 Nonground Order

```

locale nonground-order-lifting =
    grounding-lifting +
    order: total-grounded-multiset-extension +
    order: ground-subst-stable-total-multiset-extension +
    order: subst-update-stable-multiset-extension
begin

```

```

sublocale order: grounded-restricted-total-strict-order where
    less = order.multiset-extension and subst = subst and vars = vars and to-ground
= to-ground and
    from-ground = from-ground

```

$\langle proof \rangle$

end

locale *nonground-term-based-order-lifting* =
term: *nonground-term* +
nonground-order-lifting **where**
id-subst = *Var* **and** *comp-subst* = (\odot) **and** *base-vars* = *term.vars* **and** *base-less*
= *less_t* **and**
base-subst = $(\cdot t)$
for *less_t*

locale *nonground-equality-order* =
nonground-clause +
term: *nonground-term-order*
begin

sublocale *restricted-term-order-lifting* **where**
restriction = *range term.from-ground* **and** *literal-to-mset* = *mset-lit*
 $\langle proof \rangle$

notation *term.order.less_G* (**infix** \prec_{tG} 50)
notation *term.order.less-eq_G* (**infix** \preceq_{tG} 50)

sublocale *literal: nonground-term-based-order-lifting* **where**
less = *less_t* **and** *sub-subst* = $(\cdot t)$ **and** *sub-vars* = *term.vars* **and** *sub-to-ground*
= *term.to-ground* **and**
sub-from-ground = *term.from-ground* **and** *map* = *map-uprod-literal* **and** *to-set*
= *uprod-literal-to-set* **and**
to-ground-map = *map-uprod-literal* **and** *from-ground-map* = *map-uprod-literal*
and
ground-map = *map-uprod-literal* **and** *to-set-ground* = *uprod-literal-to-set* **and**
to-mset = *mset-lit*
rewrites
 $\bigwedge l \sigma$. *functional-substitution-lifting.subst* $(\cdot t)$ *map-uprod-literal* *l* σ = *literal.subst*
l σ **and**
 $\bigwedge l$. *functional-substitution-lifting.vars* *term.vars* *uprod-literal-to-set* *l* = *literal.vars*
l **and**
 $\bigwedge l_G$. *grounding-lifting.from-ground* *term.from-ground* *map-uprod-literal* *l_G*
= *literal.from-ground* *l_G* **and**
 $\bigwedge l$. *grounding-lifting.to-ground* *term.to-ground* *map-uprod-literal* *l* = *literal.to-ground*
l
 $\langle proof \rangle$

notation *literal.order.less_G* (**infix** \prec_{lG} 50)
notation *literal.order.less-eq_G* (**infix** \preceq_{lG} 50)

sublocale clause: nonground-term-based-order-lifting where
less = (\prec_l) **and** *sub-subst* = *literal.subst* **and** *sub-vars* = *literal.vars* **and**
sub-to-ground = *literal.to-ground* **and** *sub-from-ground* = *literal.from-ground* **and**
map = *image-mset* **and** *to-set* = *set-mset* **and** *to-ground-map* = *image-mset* **and**
from-ground-map = *image-mset* **and** *ground-map* = *image-mset* **and** *to-set-ground*
= *set-mset* **and**
to-mset = $\lambda x. x$
 \langle *proof* \rangle

notation *clause.order.less_G* (**infix** \prec_{cG} 50)
notation *clause.order.less-eq_G* (**infix** \preceq_{cG} 50)

lemma *obtain-maximal-literal*:
assumes
not-empty: $C \neq \{\#\}$ **and**
grounding: *clause.is-ground* $(C \cdot \gamma)$
obtains *l*
where *is-maximal l C is-maximal* $(l \cdot l \ \gamma) (C \cdot \gamma)$
 \langle *proof* \rangle

lemma *obtain-strictly-maximal-literal*:
assumes
grounding: *clause.is-ground* $(C \cdot \gamma)$ **and**
ground-strictly-maximal: *is-strictly-maximal* $l_G (C \cdot \gamma)$
obtains *l* **where**
is-strictly-maximal l C l_G = $l \cdot l \ \gamma$
 \langle *proof* \rangle

lemma *is-maximal-if-grounding-is-maximal*:
assumes
l-in-C: $l \in \# C$ **and**
C-grounding: *clause.is-ground* $(C \cdot \gamma)$ **and**
l-grounding-is-maximal: *is-maximal* $(l \cdot l \ \gamma) (C \cdot \gamma)$
shows
is-maximal l C
 \langle *proof* \rangle

lemma *is-strictly-maximal-if-grounding-is-strictly-maximal*:
assumes
l-in-C: $l \in \# C$ **and**
grounding: *clause.is-ground* $(C \cdot \gamma)$ **and**
grounding-strictly-maximal: *is-strictly-maximal* $(l \cdot l \ \gamma) (C \cdot \gamma)$
shows
is-strictly-maximal l C
 \langle *proof* \rangle

lemma *unique-maximal-in-ground-clause*:
assumes
clause.is-ground C

is-maximal l C
is-maximal l' C
shows
 $l = l'$
 ⟨proof⟩

lemma *unique-strictly-maximal-in-ground-clause*:

assumes
clause.is-ground C
is-strictly-maximal l C
is-strictly-maximal l' C
shows
 $l = l'$
 ⟨proof⟩

thm *literal.order.order.strict-iff-order*

abbreviation *ground-is-maximal where*

ground-is-maximal l_G $C_G \equiv$ *is-maximal* (*literal.from-ground* l_G) (*clause.from-ground* C_G)

abbreviation *ground-is-strictly-maximal where*

ground-is-strictly-maximal l_G $C_G \equiv$
is-strictly-maximal (*literal.from-ground* l_G) (*clause.from-ground* C_G)

sublocale *ground: ground-order-with-equality where*

less_t = (\prec_{tG})

rewrites

less_{l_G}-rewrite [*simp*]: *multiset-extension.multiset-extension* (\prec_{tG}) *mset-lit* = (\prec_{lG})

and

less_{c_G}-rewrite [*simp*]: *multiset-extension.multiset-extension* (\prec_{lG}) ($\lambda x. x$) = (\prec_{cG})

and

is-maximal-rewrite [*simp*]: $\bigwedge l_G C_G. \text{ground.is-maximal } l_G C_G \longleftrightarrow \text{ground-is-maximal } l_G C_G$ **and**

is-strictly-maximal-rewrite [*simp*]:

$\bigwedge l_G C_G. \text{ground.is-strictly-maximal } l_G C_G \longleftrightarrow \text{ground-is-strictly-maximal } l_G$

C_G

⟨proof⟩

lemma *less_t-less_l*:

assumes $t_1 \prec_t t_2$

shows

less_t-less_l-pos: $t_1 \approx t_3 \prec_l t_2 \approx t_3$ **and**

less_t-less_l-neg: $t_1 \not\approx t_3 \prec_l t_2 \not\approx t_3$

⟨proof⟩

lemma *literal-order-less-if-all-lesseq-ex-less-set*:

```

assumes
   $\forall t \in \text{set-uprod } (\text{atm-of } l). t \cdot t \sigma' \preceq_t t \cdot t \sigma$ 
   $\exists t \in \text{set-uprod } (\text{atm-of } l). t \cdot t \sigma' \prec_t t \cdot t \sigma$ 
shows  $l \cdot l \sigma' \prec_l l \cdot l \sigma$ 
<proof>

lemma lessc-add-mset:
assumes  $l \prec_l l' \ C \preceq_c C'$ 
shows  $\text{add-mset } l \ C \prec_c \text{add-mset } l' \ C'$ 
<proof>

lemmas lessc-add-same [simp] =
  multp-add-same[OF literal.order.asymp literal.order.transp, folded lessc-def]

end

end
theory Typed-Functional-Substitution-Example
imports
  Functional-Substitution-Typing
  Typed-Functional-Substitution
  Abstract-Substitution.Functional-Substitution-Example
begin

type-synonym (f, ty) fun-types = f  $\Rightarrow$  ty list  $\times$  ty

Inductive predicates defining well-typed terms.

inductive typed :: (f, ty) fun-types  $\Rightarrow$  (v, ty) var-types  $\Rightarrow$  (f, v) term  $\Rightarrow$  ty  $\Rightarrow$ 
bool
  for  $\mathcal{F} \ \mathcal{V}$  where
     $\text{Var}: \mathcal{V} \ x = \tau \Longrightarrow \text{typed } \mathcal{F} \ \mathcal{V} \ (\text{Var } x) \ \tau$ 
     $|\ \text{Fun}: \mathcal{F} \ f = (\tau s, \tau) \Longrightarrow \text{typed } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ ts) \ \tau$ 

inductive welltyped :: (f, ty) fun-types  $\Rightarrow$  (v, ty) var-types  $\Rightarrow$  (f, v) term  $\Rightarrow$ 
ty  $\Rightarrow$  bool
  for  $\mathcal{F} \ \mathcal{V}$  where
     $\text{Var}: \mathcal{V} \ x = \tau \Longrightarrow \text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Var } x) \ \tau$ 
     $|\ \text{Fun}: \mathcal{F} \ f = (\tau s, \tau) \Longrightarrow \text{list-all2 } (\text{welltyped } \mathcal{F} \ \mathcal{V}) \ ts \ \tau s \Longrightarrow \text{welltyped } \mathcal{F} \ \mathcal{V} \ (\text{Fun } f \ ts) \ \tau$ 

global-interpretation term: explicit-typing typed  $\mathcal{F} \ \mathcal{V}$  welltyped  $\mathcal{F} \ \mathcal{V}$ 
<proof>

global-interpretation term: base-functional-substitution-typing where
  typed = typed ( $\mathcal{F} ::$  (f, ty) fun-types) and welltyped = welltyped  $\mathcal{F}$  and
  subst = subst-apply-term and id-subst = Var and comp-subst = subst-compose
and
  vars = vars-term :: (f, v) term  $\Rightarrow$  v set
<proof>

```

A selection of substitution properties for typed terms.

```
locale typed-term-subst-properties =
  typed: explicitly-typed-subst-stability where typed = typed  $\mathcal{F}$  +
  welltyped: explicitly-typed-subst-stability where typed = welltyped  $\mathcal{F}$ 
for  $\mathcal{F} :: ('f, 'ty)$  fun-types
```

```
global-interpretation term: typed-term-subst-properties where
  subst = subst-apply-term and id-subst = Var and comp-subst = subst-compose
and
  vars = vars-term :: ('f, 'v) term  $\Rightarrow$  'v set and  $\mathcal{F} = \mathcal{F}$ 
for  $\mathcal{F} :: 'f \Rightarrow 'ty$  list  $\times$  'ty
<proof>
```

Examples of generated lemmas and definitions

thm

```
term.welltyped.right-unique
term.welltyped.explicit-subst-stability
term.welltyped.subst-stability
term.welltyped.subst-update
```

```
term.typed.right-unique
term.typed.explicit-subst-stability
term.typed.subst-stability
term.typed.subst-update
```

```
term.is-welltyped-on-subset
term.is-typed-on-subset
term.is-welltyped-id-subst
term.is-typed-id-subst
```

```
term term.is-welltyped
term term.subst.is-welltyped-on
term term.subst.is-welltyped
term term.is-typed
term term.subst.is-typed-on
term term.subst.is-typed
```

end

theory *Typed-Functional-Substitution-Lifting-Example*

imports

```
Functional-Substitution-Typing-Lifting
Typed-Functional-Substitution-Lifting
Typed-Functional-Substitution-Example
Abstract-Substitution.Functional-Substitution-Lifting-Example
```

begin

All property locales have corresponding lifting locales

```
locale nonground-uniform-typing-lifting =
  functional-substitution-uniform-typing-lifting where
```

base-typed = *typed* \mathcal{F} **and** *base-welltyped* = *welltyped* \mathcal{F} +
is-typed: *uniform-typed-subst-stability-lifting* **where**
base-typed = *typed* \mathcal{F} +
is-welltyped: *uniform-typed-subst-stability-lifting* **where**
base-typed = *welltyped* \mathcal{F}
for $\mathcal{F} :: ('f, 'ty)$ *fun-types*
locale *nonground-typing-lifting* =
functional-substitution-typing-lifting **where**
base-typed = *typed* \mathcal{F} **and** *base-welltyped* = *welltyped* \mathcal{F} +
is-typed: *typed-subst-stability-lifting* **where** *base-typed* = *typed* \mathcal{F} +
is-welltyped: *typed-subst-stability-lifting* **where**
sub-is-typed = *sub-is-welltyped* **and** *base-typed* = *welltyped* \mathcal{F}
for $\mathcal{F} :: ('f, 'ty)$ *fun-types*

locale *example-typing-lifting* =
fixes $\mathcal{F} :: ('f, 'ty)$ *fun-types*
begin

sublocale *equation*:
uniform-typing-lifting **where**
sub-typed = *typed* \mathcal{F} \mathcal{V} **and** *sub-welltyped* = *welltyped* \mathcal{F} \mathcal{V} **and**
to-set = *set-prod*
<proof>

sublocale *equation*:
nonground-uniform-typing-lifting **where**
base-vars = *vars-term* **and** *base-subst* = *subst-apply-term* **and** *map* = $\lambda f. \text{map-prod}$
ff **and**
to-set = *set-prod* **and** *comp-subst* = *subst-compose* **and** *id-subst* = *Var*
<proof>

Lifted lemmas and definitions

thm
equation.is-welltyped-def
equation.is-typed-def

equation.is-welltyped.subst-stability
equation.is-typed.subst-stability
equation.is-typed-if-is-welltyped

We can lift multiple levels

sublocale *equation-set*:
typing-lifting **where**

sub-is-typed = *equation.is-typed* \mathcal{V} **and** *sub-is-welltyped* = *equation.is-welltyped*
 \mathcal{V} **and**
to-set = *fset*
 ⟨*proof*⟩

sublocale *equation-set*:

nonground-typing-lifting **where**
base-vars = *vars-term* **and** *base-subst* = *subst-apply-term* **and** *map* = *fimage*
and
to-set = *fset* **and** *comp-subst* = *subst-compose* **and** *id-subst* = *Var* **and**
sub-vars = *equation-subst.vars* **and** *sub-subst* = *equation-subst.subst* **and**
sub-is-welltyped = *equation.is-welltyped* **and** *sub-is-typed* = *equation.is-typed*
 ⟨*proof*⟩

Lifted lemmas and definitions

thm

equation-set.is-welltyped-def
equation-set.is-typed-def

equation-set.is-welltyped.subst-stability
equation-set.is-typed.subst-stability
equation-set.is-typed-if-is-welltyped

end

Interpretation with Unit-Typing

global-interpretation *example-typing-lifting* $\lambda\cdot. ([], ())$ ⟨*proof*⟩

end