Euler's Polyhedron Formula

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Abstract

Euler stated in 1752 that every convex polyhedron satisfied the formula V - E + F = 2 where V, E and F are the numbers of its vertices, edges, and faces. For three dimensions, the well-known proof involves removing one face and then flattening the remainder to form a planar graph, which then is iteratively transformed to leave a single triangle. The history of that proof is extensively discussed and elaborated by Imre Lakatos [1], leaving one finally wondering whether the theorem even holds. The formal proof provided here has been ported from HOL Light, where it is credited to Lawrence [2]. The proof generalises Euler's observation from solid polyhedra to convex polytopes of arbitrary dimension.

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1 Euler's Polyhedron Formula

One of the Famous 100 Theorems, ported from HOL Light

Cited source: Lawrence, J. (1997). A Short Proof of Euler's Relation for Convex Polytopes. *Canadian Mathematical Bulletin*, **40**(4), 471–474.

```
theory Euler-Formula
 imports
   HOL-Analysis. Analysis
begin
    Interpret which "side" of a hyperplane a point is on.
definition hyperplane-side
 where hyperplane-side \equiv \lambda(a,b). \lambda x. sgn (a \cdot x - b)
    Equivalence relation imposed by a hyperplane arrangement.
definition hyperplane-equiv
where hyperplane-equiv \equiv \lambda A \ x \ y. \forall h \in A. hyperplane-side h \ x = hyperplane-side
h y
lemma hyperplane-equiv-refl [iff]: hyperplane-equiv A x x
 by (simp add: hyperplane-equiv-def)
lemma hyperplane-equiv-sym:
  hyperplane-equiv A \ x \ y \longleftrightarrow hyperplane-equiv A \ y \ x
  by (auto simp: hyperplane-equiv-def)
lemma hyperplane-equiv-trans:
  [[hyperplane-equiv A x y; hyperplane-equiv A y z]] \Longrightarrow hyperplane-equiv A x z
 by (auto simp: hyperplane-equiv-def)
lemma hyperplane-equiv-Un:
  hyperplane-equiv (A \cup B) \ x \ y \longleftrightarrow hyperplane-equiv A \ x \ y \land hyperplane-equiv B
x y
 by (meson Un-iff hyperplane-equiv-def)
```

1.1 Cells of a hyperplane arrangement

definition hyperplane-cell :: ('a::real-inner \times real) set \Rightarrow 'a set \Rightarrow bool where hyperplane-cell $\equiv \lambda A \ C. \ \exists x. \ C = Collect \ (hyperplane-equiv A x)$

lemma hyperplane-cell: hyperplane-cell A $C \longleftrightarrow (\exists x. C = \{y. hyperplane-equiv A x y\})$

by (*simp add: hyperplane-cell-def*)

lemma not-hyperplane-cell-empty [simp]: \neg hyperplane-cell A {} using hyperplane-cell by auto

lemma nonempty-hyperplane-cell: hyperplane-cell $A \ C \Longrightarrow (C \neq \{\})$

by *auto*

lemma Union-hyperplane-cells: $\bigcup \{C. hyperplane-cell A C\} = UNIV$ using hyperplane-cell by blast **lemma** *disjoint-hyperplane-cells*: $[hyperplane-cell \ A \ C1; \ hyperplane-cell \ A \ C2; \ C1 \neq C2] \implies disjnt \ C1 \ C2$ by (force simp: hyperplane-cell-def disjnt-iff hyperplane-equiv-def) **lemma** *disjoint-hyperplane-cells-eq*: $\llbracket hyperplane-cell \ A \ C1; \ hyperplane-cell \ A \ C2 \rrbracket \Longrightarrow (disjnt \ C1 \ C2 \longleftrightarrow (C1 \neq C2))$ C2))using disjoint-hyperplane-cells by auto **lemma** hyperplane-cell-empty [iff]: hyperplane-cell {} $C \leftrightarrow C = UNIV$ **by** (simp add: hyperplane-cell hyperplane-equiv-def) **lemma** hyperplane-cell-singleton-cases: assumes hyperplane-cell $\{(a,b)\}$ C shows $C = \{x. a \cdot x = b\} \lor C = \{x. a \cdot x < b\} \lor C = \{x. a \cdot x > b\}$ proof – **obtain** x where x: $C = \{y, hyperplane-side (a, b) | x = hyperplane-side (a, b) | y \}$ **using** assms **by** (auto simp: hyperplane-equiv-def hyperplane-cell) then show ?thesis **by** (*auto simp: hyperplane-side-def sqn-if split: if-split-asm*) qed **lemma** hyperplane-cell-singleton: hyperplane-cell $\{(a,b)\} \ C \longleftrightarrow$ (if a = 0 then C = UNIV else $C = \{x. a \cdot x = b\} \lor C = \{x. a \cdot x < b\} \lor C$ $= \{x. \ a \cdot x > b\})$ **apply** (simp add: hyperplane-cell-def hyperplane-equiv-def hyperplane-side-def *sgn-if split: if-split-asm*) **by** (*smt* (*verit*) *Collect-cong gt-ex hyperplane-eq-Ex lt-ex*) **lemma** *hyperplane-cell-Un*: hyperplane-cell $(A \cup B) \ C \longleftrightarrow$ $C \neq \{\} \land$ $(\exists C1 C2. hyperplane-cell A C1 \land hyperplane-cell B C2 \land C = C1 \cap C2)$ **by** (*auto simp: hyperplane-cell hyperplane-equiv-def*) **lemma** *finite-hyperplane-cells*: finite $A \Longrightarrow$ finite $\{C. hyperplane-cell A C\}$ **proof** (*induction rule: finite-induct*) **case** (insert p A) **obtain** a b where peq: p = (a,b)**bv** *fastforce* have Collect (hyperplane-cell $\{p\}$) \subseteq {{x. $a \cdot x = b$ },{x. $a \cdot x < b$ },{x. $a \cdot x > b$ } $b\}\}$

using hyperplane-cell-singleton-cases **by** (*auto simp: peq*) then have *: finite (Collect (hyperplane-cell $\{p\}$)) **by** (*simp add: finite-subset*) define \mathcal{C} where $\mathcal{C} \equiv (\bigcup C1 \in \{C. hyperplane-cell \ A \ C\}. \ \bigcup C2 \in \{C. hyper$ plane-cell $\{p\} \ C\}$. $\{C1 \cap C2\}$) **have** $\{a. hyperplane-cell (insert p A) a\} \subseteq C$ using hyperplane-cell-Un [of $\{p\}$ A] by (auto simp: C-def) moreover have finite Cusing * C-def insert.IH by blast ultimately show ?case using finite-subset by blast qed auto **lemma** *finite-restrict-hyperplane-cells*: finite $A \Longrightarrow$ finite $\{C. hyperplane-cell A C \land P C\}$ **by** (*simp add: finite-hyperplane-cells*) **lemma** *finite-set-of-hyperplane-cells*: [finite A; $\land C. \ C \in \mathcal{C} \Longrightarrow$ hyperplane-cell A C] \Longrightarrow finite C by (metis finite-hyperplane-cells finite-subset mem-Collect-eq subsetI) **lemma** pairwise-disjoint-hyperplane-cells: $(\bigwedge C. \ C \in \mathcal{C} \Longrightarrow hyperplane-cell \ A \ C) \Longrightarrow pairwise disjnt \ C$ **by** (*metis disjoint-hyperplane-cells pairwiseI*) **lemma** hyperplane-cell-Int-open-affine: assumes finite A hyperplane-cell A Cobtains S T where open S affine $T C = S \cap T$ using assms **proof** (*induction arbitrary*: *thesis C rule: finite-induct*) case *empty* then show ?case by auto next **case** (insert p A thesis C') obtain a b where peq: p = (a,b)by fastforce obtain C C1 where C1: hyperplane-cell $\{(a,b)\}$ C1 and C: hyperplane-cell A Cand $C' \neq \{\}$ and $C': C' = C1 \cap C$ by (metis hyperplane-cell-Un insert.prems(2) insert-is-Un peq) then obtain S T where ST: open S affine $T C = S \cap T$ by (meson insert.IH) show ?case **proof** (cases $a=\theta$) case True with insert.prems show ?thesis by (metis C1 Int-commute $ST \langle C' = C1 \cap C \rangle$ hyperplane-cell-singleton

```
inf-top.right-neutral)
 \mathbf{next}
   {\bf case} \ {\it False}
   then consider C1 = \{x. a \cdot x = b\} \mid C1 = \{x. a \cdot x < b\} \mid C1 = \{x. b < a\}
\cdot x
     by (metis C1 hyperplane-cell-singleton)
   then show ?thesis
   proof cases
     case 1
     then show thesis
    by (metis C' ST affine-Int affine-hyperplane inf-left-commute insert.prems(1))
   \mathbf{next}
     case 2
     with ST show thesis
        by (metis \ Int-assoc \ C' \ insert.prems(1) \ open-Int \ open-halfspace-lt)
   \mathbf{next}
     case 3
     with ST show thesis
      by (metis Int-assoc C' insert.prems(1) open-Int open-halfspace-gt)
   qed
 qed
qed
lemma hyperplane-cell-relatively-open:
 assumes finite A hyperplane-cell A C
 shows open in (subtopology euclidean (affine hull C)) C
proof –
  obtain S T where open S affine T C = S \cap T
   by (meson assms hyperplane-cell-Int-open-affine)
 show ?thesis
 proof (cases S \cap T = \{\})
   case True
   then show ?thesis
     by (simp add: \langle C = S \cap T \rangle)
 \mathbf{next}
   case False
   then have affine hull (S \cap T) = T
   by (metis (affine T) (open S) affine-hull-affine-Int-open hull-same inf-commute)
   then show ?thesis
     using \langle C = S \cap T \rangle (open S) open in-subtopology by fastforce
 \mathbf{qed}
qed
lemma hyperplane-cell-relative-interior:
  [finite A; hyperplane-cell A C] \implies rel-interior C = C
 by (simp add: hyperplane-cell-relatively-open rel-interior-openin)
```

lemma hyperplane-cell-convex: assumes hyperplane-cell A C

```
shows convex C
proof -
  obtain c where c: C = \{y, hyperplane-equiv A c y\}
   by (meson assms hyperplane-cell)
 have convex (\bigcap h \in A. \{y. hyperplane-side h c = hyperplane-side h y\})
 proof (rule convex-INT)
   fix h :: 'a \times real
   assume h \in A
   obtain a b where heq: h = (a,b)
     by fastforce
   have [simp]: \{y, \neg a \cdot c < a \cdot y \land a \cdot y = a \cdot c\} = \{y, a \cdot y = a \cdot c\}
                \{y. \neg b < a \cdot y \land a \cdot y \neq b\} = \{y. b > a \cdot y\}
     by auto
   then show convex \{y. hyperplane-side \ h \ c = hyperplane-side \ h \ y\}
       by (fastforce simp: heq hyperplane-side-def sgn-if convex-halfspace-gt con-
vex-halfspace-lt convex-hyperplane cong: conj-cong)
 qed
  with c show ?thesis
   by (simp add: hyperplane-equiv-def INTER-eq)
qed
lemma hyperplane-cell-Inter:
  assumes \bigwedge C. C \in \mathcal{C} \implies hyperplane-cell A \subset C
   and C \neq \{\} and INT: \bigcap C \neq \{\}
 shows hyperplane-cell A (\bigcap C)
proof -
 have \bigcap \mathcal{C} = \{y. hyperplane-equiv \ A \ z \ y\}
   if z \in \bigcap \mathcal{C} for z
     using assms that by (force simp: hyperplane-cell hyperplane-equiv-def)
 with INT hyperplane-cell show ?thesis
   by fastforce
qed
```

lemma hyperplane-cell-Int:

 $\llbracket hyperplane-cell \ A \ S; \ hyperplane-cell \ A \ T; \ S \cap T \neq \{\} \rrbracket \Longrightarrow hyperplane-cell \ A \\ (S \cap T) \\ \mathbf{by} \ (metis \ hyperplane-cell-Un \ sup.idem)$

1.2 A cell complex is considered to be a union of such cells

definition hyperplane-cellcomplex where hyperplane-cellcomplex $A \ S \equiv \exists \mathcal{T}. \ (\forall C \in \mathcal{T}. \ hyperplane-cell A \ C) \land S = \bigcup \mathcal{T}$

lemma hyperplane-cellcomplex-empty [simp]: hyperplane-cellcomplex A {} using hyperplane-cellcomplex-def by auto

lemma hyperplane-cell-cellcomplex:

hyperplane-cell $A \ C \Longrightarrow$ hyperplane-cellcomplex $A \ C$ **by** (*auto simp: hyperplane-cellcomplex-def*) **lemma** hyperplane-cellcomplex-Union: assumes $\bigwedge S. S \in \mathcal{C} \Longrightarrow$ hyperplane-cellcomplex A S**shows** hyperplane-cellcomplex A ($\bigcup C$) proof – obtain \mathcal{F} where \mathcal{F} : $\bigwedge S$. $S \in \mathcal{C} \implies (\forall C \in \mathcal{F} S. hyperplane-cell A C) \land S =$ $\bigcup (\mathcal{F} S)$ **by** (*metis assms hyperplane-cellcomplex-def*) show ?thesis unfolding hyperplane-cellcomplex-def using \mathcal{F} by (fastforce intro: exI [where $x = \bigcup (\mathcal{F} \ (\mathcal{C})])$ \mathbf{qed} **lemma** hyperplane-cellcomplex-Un: [hyperplane-cellcomplex A S; hyperplane-cellcomplex A T] \implies hyperplane-cellcomplex $A (S \cup T)$ by (smt (verit) Un-iff Union-Un-distrib hyperplane-cellcomplex-def) lemma hyperplane-cellcomplex-UNIV [simp]: hyperplane-cellcomplex A UNIV by (metis Union-hyperplane-cells hyperplane-cellcomplex-def mem-Collect-eq) **lemma** hyperplane-cellcomplex-Inter: assumes $\bigwedge S. S \in \mathcal{C} \Longrightarrow$ hyperplane-cellcomplex A Sshows hyperplane-cellcomplex $A (\bigcap C)$ **proof** (cases $C = \{\}$) case True then show ?thesis by simp next case False obtain \mathcal{F} where \mathcal{F} : $\bigwedge S$. $S \in \mathcal{C} \implies (\forall C \in \mathcal{F} S. hyperplane-cell A C) \land S =$ $\bigcup (\mathcal{F} S)$ **by** (*metis assms hyperplane-cellcomplex-def*) have $*: \mathcal{C} = (\lambda S. \mid J(\mathcal{F} S)) `\mathcal{C}$ using \mathcal{F} by force define U where $U \equiv \bigcup \{T \in \{ \bigcap (g \, `\mathcal{C}) \mid g. \forall S \in \mathcal{C}. g S \in \mathcal{F} S \}. T \neq \{ \} \}$ have $\bigcap \mathcal{C} = \bigcup \{\bigcap (g \, \cdot \, \widetilde{\mathcal{C}}) \mid g. \forall S \in \widetilde{\mathcal{C}}. g S \in \widetilde{\mathcal{F}} S \}$ using False \mathcal{F} unfolding Inter-over-Union [symmetric] by blast also have $\ldots = U$ unfolding U-def by blast finally have $\bigcap \mathcal{C} = U$. have $hyperplane-cellcomplex \ A \ U$ using False \mathcal{F} unfolding U-def **apply** (*intro hyperplane-cellcomplex-Union hyperplane-cell-cellcomplex*) **by** (*auto intro*!: *hyperplane-cell-Inter*)

then show ?thesis by (simp add: $\langle \bigcap \mathcal{C} = U \rangle$) qed **lemma** hyperplane-cellcomplex-Int: [hyperplane-cellcomplex A S; hyperplane-cellcomplex A T] \implies hyperplane-cellcomplex A (S \cap T) using hyperplane-cellcomplex-Inter [of $\{S,T\}$] by force **lemma** hyperplane-cellcomplex-Compl: assumes $hyperplane-cellcomplex \ A \ S$ shows hyperplane-cellcomplex A(-S)proof obtain \mathcal{C} where \mathcal{C} : $\bigwedge C$. $C \in \mathcal{C} \implies hyperplane-cell \ A \ C$ and $S = \bigcup \mathcal{C}$ **by** (meson assms hyperplane-cellcomplex-def) have hyperplane-cellcomplex $A \ (\bigcap T \in \mathcal{C}, -T)$ **proof** (*intro hyperplane-cellcomplex-Inter*) fix $C\theta$ assume $C\theta \in uminus \, `C$ then obtain C where C: $C\theta = -C \ C \in C$ by *auto* have $*: -C = \bigcup \{D. hyperplane-cell A D \land D \neq C\}$ (is -= ?rhs) proof show $-C \subseteq ?rhs$ using hyperplane-cell by blast show $?rhs \subseteq -C$ by clarify (meson $\langle C \in C \rangle C$ disjnt-iff disjoint-hyperplane-cells) qed then show hyperplane-cellcomplex A C0 $\mathbf{by} \ (metis \ (no-types, \ lifting) \ C(1) \ hyperplane-cell-cell complex \ hyperplane-cellcomplex-Union$ mem-Collect-eq) qed then show ?thesis **by** (simp add: $\langle S = \bigcup \mathcal{C} \rangle$ uminus-Sup) qed **lemma** hyperplane-cellcomplex-diff: [[hyperplane-cellcomplex A S; hyperplane-cellcomplex A T]] \implies hyperplane-cellcomplex A (S - T)using hyperplane-cellcomplex-Inter [of $\{S, -T\}$] **by** (force simp: Diff-eq hyperplane-cellcomplex-Compl) **lemma** hyperplane-cellcomplex-mono: **assumes** hyperplane-cellcomplex $A \ S \ A \subseteq B$ shows hyperplane-cellcomplex B S proof – obtain \mathcal{C} where \mathcal{C} : $\bigwedge C$. $C \in \mathcal{C} \Longrightarrow$ hyperplane-cell $A \ C$ and eq: $S = \bigcup \mathcal{C}$ **by** (meson assms hyperplane-cellcomplex-def) show ?thesis

unfolding eq proof (intro hyperplane-cellcomplex-Union) fix Cassume $C \in C$ have $\bigwedge x. x \in C \Longrightarrow \exists D'. (\exists D. D' = D \cap C \land hyperplane-cell (B - A) D \land$ $D \cap C \neq \{\} \land x \in D'$ unfolding hyperplane-cell-def by blast then have hyperplane-cellcomplex $(A \cup (B - A))$ C unfolding hyperplane-cellcomplex-def hyperplane-cell-Un using $\mathcal{C} \langle \mathcal{C} \in \mathcal{C} \rangle$ by (fastforce introl: exI [where $x = \{D \cap \mathcal{C} \mid D. hyper$ plane-cell (B - A) $D \land D \cap C \neq \{\}\}])$ moreover have $B = A \cup (B - A)$ using $\langle A \subseteq B \rangle$ by *auto* ultimately show hyperplane-cellcomplex B C by simp qed qed **lemma** finite-hyperplane-cellcomplexes: assumes finite A **shows** finite $\{C. hyperplane-cellcomplex A C\}$ proof – have $\{C. hyperplane-cellcomplex A C\} \subseteq image \bigcup \{T. T \subseteq \{C. hyperplane-cell$ $A \ C\}\}$ **by** (force simp: hyperplane-cellcomplex-def subset-eq) with finite-hyperplane-cells show ?thesis **by** (*metis assms finite-Collect-subsets finite-surj*) qed

lemma finite-restrict-hyperplane-cellcomplexes: finite $A \Longrightarrow$ finite {C. hyperplane-cellcomplex $A \ C \land P \ C$ } by (simp add: finite-hyperplane-cellcomplexes)

lemma finite-set-of-hyperplane-cellcomplex: **assumes** finite $A \ C. \ C \in \mathcal{C} \implies$ hyperplane-cellcomplex $A \ C$ **shows** finite \mathcal{C} **by** (metis assms finite-hyperplane-cellcomplexes mem-Collect-eq rev-finite-subset)

lemma cell-subset-cellcomplex:

subsetI)

1.3 Euler characteristic

definition Euler-characteristic :: ('a::euclidean-space \times real) set \Rightarrow 'a set \Rightarrow int where Euler-characteristic $A S \equiv$

 $(\sum C \mid hyperplane-cell \land C \land C \subseteq S. (-1) \land nat (aff-dim C))$

lemma Euler-characteristic-empty [simp]: Euler-characteristic $A \{\} = 0$ by (simp add: sum.neutral Euler-characteristic-def)

lemma Euler-characteristic-cell-Union: assumes $\bigwedge C$. $C \in \mathcal{C} \implies$ hyperplane-cell $A \subset C$ shows Euler-characteristic $A (\bigcup C) = (\sum C \in C. (-1) \cap nat (aff-dim C))$ proof have $\bigwedge x$. [hyperplane-cell $A \ x; x \subseteq \bigcup C$] $\Longrightarrow x \in C$ by (metis assms disjnt-Union1 disjnt-subset1 disjoint-hyperplane-cells-eq) then have $\{C. hyperplane-cell \ A \ C \land C \subseteq \bigcup \ C\} = C$ **by** (*auto simp: assms*) then show ?thesis **by** (*auto simp: Euler-characteristic-def*) qed lemma Euler-characteristic-cell: hyperplane-cell A $C \Longrightarrow$ Euler-characteristic A $C = (-1) \uparrow (nat(aff-dim C)))$ using Euler-characteristic-cell-Union [of $\{C\}$] by force lemma Euler-characteristic-cellcomplex-Un: **assumes** finite A hyperplane-cellcomplex A Sand AT: hyperplane-cellcomplex A T and disjnt S Tshows Euler-characteristic $A (S \cup T) =$ Euler-characteristic A S + Euler-characteristic A Tproof have *: {C. hyperplane-cell $A \ C \land C \subseteq S \cup T$ } = $\{C. hyperplane-cell \ A \ C \land C \subseteq S\} \cup \{C. hyperplane-cell \ A \ C \land C \subseteq T\}$ using cell-subset-cellcomplex [OF - AT] by (auto simp: disjnt-iff) have **: {C. hyperplane-cell $A \ C \land C \subseteq S$ } \cap {C. hyperplane-cell $A \ C \land C \subseteq$ $T\} = \{\}$ using assms cell-subset-cellcomplex disjnt-subset1 by fastforce show ?thesis unfolding Euler-characteristic-def by (simp add: finite-restrict-hyperplane-cells assms * ** flip: sum.union-disjoint) qed lemma Euler-characteristic-cellcomplex-Union: assumes finite A and $\mathcal{C}: \bigwedge C. \ C \in \mathcal{C} \Longrightarrow$ hyperplane-cellcomplex A C pairwise disjnt \mathcal{C} shows Euler-characteristic $A (\bigcup C) = sum$ (Euler-characteristic A) C proof – have finite Cusing assms finite-set-of-hyperplane-cellcomplex by blast then show ?thesis using \mathcal{C} **proof** (*induction rule*: *finite-induct*) case *empty* then show ?case

```
by auto
  \mathbf{next}
   case (insert C C)
   then obtain disjoint C disjnt C ([] C)
     by (metis disjnt-Union2 pairwise-insert)
   with insert show ?case
    by (simp add: Euler-characteristic-cellcomplex-Un hyperplane-cellcomplex-Union
\langle finite A \rangle)
 qed
qed
lemma Euler-characteristic:
 fixes A :: ('n::euclidean-space * real) set
 assumes finite A
 shows Euler-characteristic A S =
       (\sum d = 0..DIM('n). (-1) \ \hat{d} * int (card \{C. hyperplane-cell A \ C \land C \subseteq C \}
S \wedge aff-dim \ C = int \ d\}))
       (is - = ?rhs)
proof -
 have \bigwedge T. [hyperplane-cell A T; T \subseteq S] \implies aff-dim T \in \{0..DIM(n)\}
   by (metis atLeastAtMost-iff nle-le order.strict-iff-not aff-dim-negative-iff
       nonempty-hyperplane-cell aff-dim-le-DIM)
 then have *: aff-dim ' {C. hyperplane-cell A \ C \land C \subseteq S} \subseteq int ' {0..DIM('n)}
   by (auto simp: image-int-atLeastAtMost)
 have Euler-characteristic A S = (\sum y \in int ` \{0..DIM('n)\}.
      \sum C \in \{x. hyperplane-cell A \ x \land x \subseteq S \land aff-dim \ x = y\}. (-1) \cap nat \ y)
    using sum.group [of {C. hyperplane-cell A \ C \land C \subseteq S} int '{0..DIM('n)}
aff-dim \lambda C. (-1::int) \widehat{} nat(aff-dim C), symmetric]
   by (simp add: assms Euler-characteristic-def finite-restrict-hyperplane-cells *)
 also have \ldots = ?rhs
   by (simp add: sum.reindex mult-of-nat-commute)
 finally show ?thesis .
qed
```

1.4 Show that the characteristic is invariant w.r.t. hyperplane arrangement.

 ${\bf lemma}\ hyperplane-cells-distinct-lemma:$

 $\{x. \ a \cdot x = b\} \cap \{x. \ a \cdot x < b\} = \{\} \land \\ \{x. \ a \cdot x = b\} \cap \{x. \ a \cdot x > b\} = \{\} \land \\ \{x. \ a \cdot x < b\} \cap \{x. \ a \cdot x = b\} = \{\} \land \\ \{x. \ a \cdot x < b\} \cap \{x. \ a \cdot x > b\} = \{\} \land \\ \{x. \ a \cdot x < b\} \cap \{x. \ a \cdot x > b\} = \{\} \land \\ \{x. \ a \cdot x > b\} \cap \{x. \ a \cdot x < b\} = \{\} \land \\ \{x. \ a \cdot x > b\} \cap \{x. \ a \cdot x < b\} = \{\} \land \\ \{x. \ a \cdot x > b\} \cap \{x. \ a \cdot x < b\} = \{\} \end{cases}$

by auto

 ${\bf proposition} \ Euler-characterstic-lemma:$

assumes finite A and hyperplane-cellcomplex A S shows Euler-characteristic (insert h A) S = Euler-characteristic A S

proof -

obtain \mathcal{C} where $\mathcal{C}: \bigwedge C. \ C \in \mathcal{C} \Longrightarrow$ hyperplane-cell $A \ C$ and $S = \bigcup \mathcal{C}$ and pairwise disjnt Cby (meson assms hyperplane-cellcomplex-def pairwise-disjoint-hyperplane-cells) **obtain** $a \ b$ where h = (a,b)by *fastforce* have $\bigwedge C. \ C \in \mathcal{C} \implies$ hyperplane-cellcomplex $A \ C \land$ hyperplane-cellcomplex (insert (a,b) A) Cby (meson \mathcal{C} hyperplane-cell-cellcomplex hyperplane-cellcomplex-mono sub*set-insertI*) moreover have sum (Euler-characteristic (insert (a,b) A)) C = sum (Euler-characteristic A) \mathcal{C} proof (rule sum.cong [OF refl]) fix Cassume $C \in C$ have Euler-characteristic (insert (a, b) A) C = (-1) $\widehat{}$ nat(aff-dim C) **proof** (cases hyperplane-cell (insert (a,b) A) C) case True then show ?thesis using Euler-characteristic-cell by blast \mathbf{next} case False with $\mathcal{C}[OF \langle C \in \mathcal{C} \rangle]$ have $a \neq 0$ by (smt (verit, ccfv-threshold) hyperplane-cell-Un hyperplane-cell-empty hyperplane-cell-singleton insert-is-Un sup-bot-left) have convex C using $\langle hyperplane-cell A C \rangle$ hyperplane-cell-convex by blast define r where $r \equiv (\sum D \in \{C' \cap C \mid C'. hyperplane-cell \{(a, b)\} C' \land C' \cap$ $C \neq \{\}\}. \ (-1::int) \ \widehat{} nat \ (aff-dim \ D))$ have Euler-characteristic (insert (a, b) A) C $= (\sum D \mid (D \neq \{\} \land$ $(\exists C1 C2. hyperplane-cell \{(a, b)\} C1 \land hyperplane-cell A C2 \land$ $D = C1 \cap C2) \wedge D \subseteq C.$ (-1) $\widehat{}$ nat (aff-dim D)) unfolding r-def Euler-characteristic-def insert-is-Un [of - A] hyperplane-cell-Un also have $\ldots = r$ unfolding *r*-def apply (rule sum.cong [OF - refl]) using $\langle hyperplane-cell \ A \ C \rangle$ disjoint-hyperplane-cells disjnt-iff by (smt (verit, ccfv-SIG) Collect-cong Int-iff disjoint-iff subsetD subsetI) also have $\ldots = (-1) \cap nat(aff-dim C)$ proof have $C \neq \{\}$ using $\langle hyperplane-cell \ A \ C \rangle$ by auto show ?thesis **proof** (cases $C \subseteq \{x. a \cdot x < b\} \lor C \subseteq \{x. a \cdot x > b\} \lor C \subseteq \{x. a \cdot x = b\}$ $b\})$

case Csub: True with $\langle C \neq \{\}\rangle$ have $r = sum (\lambda c. (-1) \cap nat (aff-dim c)) \{C\}$ unfolding *r*-def apply (intro sum.cong [OF - refl]) **by** (auto simp: $\langle a \neq 0 \rangle$ hyperplane-cell-singleton) also have $\ldots = (-1) \cap nat(aff-dim C)$ by simp finally show ?thesis . \mathbf{next} case False then obtain u v where $uv: u \in C \neg a \cdot u < b v \in C \neg a \cdot v > b$ by blast have CInt-ne: $C \cap \{x. a \cdot x = b\} \neq \{\}$ **proof** (cases $a \cdot u = b \lor a \cdot v = b$) case True with uv show ?thesis **bv** blast next case False have $a \cdot v < a \cdot u$ using False uv by auto define w where $w \equiv v + ((b - a \cdot v) / (a \cdot u - a \cdot v)) *_R (u - v)$ have **: $v + a *_R (u - v) = (1 - a) *_R v + a *_R u$ for a **by** (*simp add: algebra-simps*) have $w \in C$ **unfolding** *w*-*def* ** **proof** (*intro convexD-alt*) qed (use $\langle a \cdot v < a \cdot u \rangle$ (convex C) uv in auto) moreover have $w \in \{x. a \cdot x = b\}$ using $\langle a \cdot v < a \cdot u \rangle$ by (simp add: w-def inner-add-right inner-diff-right) ultimately show ?thesis by blast qed have Cab: $C \cap \{x. \ a \cdot x < b\} \neq \{\} \land C \cap \{x. \ b < a \cdot x\} \neq \{\}$ proof obtain u v where $u \in C a \cdot u = b v \in C a \cdot v \neq b u \neq v$ using False $\langle C \cap \{x. a \cdot x = b\} \neq \{\}$ by blast have open in (subtopology euclidean (affine hull C)) Cusing $\langle hyperplane-cell \ A \ C \rangle \langle finite \ A \rangle \ hyperplane-cell-relatively-open$ **by** blast then obtain ε where $\theta < \varepsilon$ and $\varepsilon: \bigwedge x'$. $[x' \in affine \ hull \ C; \ dist \ x' \ u < \varepsilon] \implies x' \in C$ by (meson $\langle u \in C \rangle$ open in-euclidean-subtopology-iff) define ξ where $\xi \equiv u - (\varepsilon / 2 / norm (v - u)) *_R (v - u)$ have $\xi \in C$ **proof** (rule ε) show $\xi \in affine \ hull \ C$ by (simp add: ξ -def $\langle u \in C \rangle \langle v \in C \rangle$ hull-inc mem-affine-3-minus2) qed (use ξ -def $\langle 0 < \varepsilon \rangle$ in force)

consider $a \cdot v < b \mid a \cdot v > b$ using $\langle a \cdot v \neq b \rangle$ by linarith then show ?thesis **proof** cases case 1 moreover have $\xi \in \{x. \ b < a \cdot x\}$ using $1 < 0 < \varepsilon > \langle a \cdot u = b \rangle$ divide-less-cancel by (fastforce simp: ξ -def algebra-simps) ultimately show ?thesis using $\langle v \in C \rangle \langle \xi \in C \rangle$ by blast \mathbf{next} case 2moreover have $\xi \in \{x. \ b > a \cdot x\}$ using $2 \langle 0 < \varepsilon \rangle \langle a \cdot u = b \rangle$ divide-less-cancel by (fastforce simp: ξ -def algebra-simps) ultimately show *?thesis* using $\langle v \in C \rangle \langle \xi \in C \rangle$ by blast \mathbf{qed} qed have $r = (\sum C \in \{\{x. \ a \cdot x = b\} \cap C, \{x. \ b < a \cdot x\} \cap C, \{x. \ a \cdot x < b\}\}$ $\cap C$. (-1) ^ nat (aff-dim C)) unfolding *r*-def **proof** (*intro sum.cong* [*OF* - *refl*] *equalityI*) show $\{\{x. a \cdot x = b\} \cap C, \{x. b < a \cdot x\} \cap C, \{x. a \cdot x < b\} \cap C\}$ $\subseteq \{C' \cap C \mid C'. hyperplane-cell \{(a, b)\} C' \wedge C' \cap C \neq \{\}\}$ apply clarsimp using Cab Int-commute $\langle C \cap \{x. a \cdot x = b\} \neq \{\}$ hyperplane-cell-singleton $\langle a \neq 0 \rangle$ by *metis* **qed** (auto simp: $\langle a \neq 0 \rangle$ hyperplane-cell-singleton) also have $\ldots = (-1) \ \widehat{} nat \ (aff-dim \ (C \cap \{x. \ a \cdot x = b\}))$ + (-1) and $(aff-dim (C \cap \{x. \ b < a \cdot x\}))$ + (-1) $\widehat{}$ nat (aff-dim $(C \cap \{x. a \cdot x < b\}))$ using hyperplane-cells-distinct-lemma [of a b] Cab **by** (*auto simp: sum.insert-if Int-commute Int-left-commute*) also have $\ldots = (-1) \ \widehat{} nat \ (aff-dim \ C)$ proof – have *: aff-dim $(C \cap \{x. a \cdot x < b\}) = aff$ -dim $(C \cap \{x. a\})$ $\cdot x > b\}) = aff-dim C$ by (metis Cab open-halfspace-lt open-halfspace-gt aff-dim-affine-hull affine-hull-convex-Int-open[$OF \langle convex C \rangle$]) obtain S T where open S affine T and Ceq: $C = S \cap T$ by $(meson \land hyperplane-cell \land C \land (finite \land hyperplane-cell-Int-open-affine)$ have affine hull C = affine hull Tby (metis Ceq $\langle C \neq \{\}\rangle$ (affine T) (open S) affine-hull-affine-Int-open inf-commute) moreover

have $T \cap (\{x. a \cdot x = b\} \cap S) \neq \{\}$

using $Ceq \langle C \cap \{x. a \cdot x = b\} \neq \{\}$ by blast then have affine hull $(C \cap \{x. a \cdot x = b\}) = affine hull <math>(T \cap \{x. a \cdot x = b\})$ = busing affine-hull-affine-Int-open [of $T \cap \{x. a \cdot x = b\}$ S] **by** (simp add: Ceq Int-ac (affine T) (open S) affine-Int affine-hyperplane) ultimately have aff-dim (affine hull C) = aff-dim(affine hull ($C \cap \{x.$ $a \cdot x = b$)) + 1 using CInt-ne False Ceq by (auto simp: aff-dim-affine-Int-hyperplane $\langle affine T \rangle$) moreover have $0 \leq aff$ -dim $(C \cap \{x. a \cdot x = b\})$ by (metis CInt-ne aff-dim-negative-iff linorder-not-le) ultimately show *?thesis* **by** (*simp add*: * *nat-add-distrib*) qed finally show ?thesis . qed qed finally show Euler-characteristic (insert (a, b) A) C = (-1) ^ nat(aff-dim *C*). qed **then show** Euler-characteristic (insert (a, b) A) C = (Euler-characteristic A)Cby (simp add: Euler-characteristic-cell $\mathcal{C} \langle C \in \mathcal{C} \rangle$) qed ultimately show ?thesis by (simp add: Euler-characteristic-cellcomplex-Union $\langle S = \bigcup C \rangle$ (disjoint C) $\langle h = (a, b) \rangle assms(1))$ qed **lemma** Euler-characterstic-invariant-aux: assumes finite B finite A hyperplane-cellcomplex A S

shows Euler-characteristic $(A \cup B)$ S = Euler-characteristic A Susing assms by (induction rule: finite-induct) (auto simp: Euler-characteristic-lemma hyperplane-cellcomplex-mono)

lemma *Euler-characterstic-invariant*:

assumes finite A finite B hyperplane-cell complex A S hyperplane-cell complex B S

shows Euler-characteristic A S = Euler-characteristic B S**by** (metis Euler-characteristic-invariant-aux assms sup-commute)

lemma Euler-characteristic-inclusion-exclusion:

assumes finite A finite $S \ A K$. $K \in S \implies$ hyperplane-cellcomplex A K shows Euler-characteristic $A \ (\bigcup S) = (\sum T \mid T \subseteq S \land T \neq \{\}. (-1) \ (card T + 1) * Euler-characteristic A \ (\bigcap T))$ proof –

interpret Incl-Excl hyperplane-cellcomplex A Euler-characteristic A

 \mathbf{proof}

show Euler-characteristic $A (S \cup T) =$ Euler-characteristic A S + Euler-characteristic A T

if hyperplane-cellcomplex A S and hyperplane-cellcomplex A T and disjnt S T for S T

using that Euler-characteristic-cellcomplex- $Un \ assms(1)$ by blast

qed (use hyperplane-cellcomplex-Int hyperplane-cellcomplex-Un hyperplane-cellcomplex-diff **in** auto)

show ?thesis using restricted assms by blast

qed

1.5 Euler-type relation for full-dimensional proper polyhedral cones

lemma Euler-polyhedral-cone: fixes S :: 'n::euclidean-space setassumes polyhedron S conic S and intS: interior $S \neq \{\}$ and $S \neq UNIV$ shows $(\sum d = 0..DIM('n). (-1) \land d * int (card \{f. f face-of S \land aff-dim f = 0..DIM('n). (-1) \land d * int (card (f. f face-of S)))$ int d)) = 0 (is ?lhs = 0) proof have [simp]: affine hull S = UNIV**by** (simp add: affine-hull-nonempty-interior intS) with $\langle polyhedron S \rangle$ obtain H where finite H and Seq: $S = \bigcap H$ and Hex: $\land h. h \in H \implies \exists a \ b. a \neq 0 \land h = \{x. a \cdot x \leq b\}$ and Hsub: $\bigwedge \mathcal{G}$. $\mathcal{G} \subset H \Longrightarrow S \subset \bigcap \mathcal{G}$ **by** (*fastforce simp: polyhedron-Int-affine-minimal*) have $\theta \in S$ using assms(2) conic-contains-0 intS interior-empty by blast have $*: \exists a. a \neq 0 \land h = \{x. a \cdot x \leq 0\}$ if $h \in H$ for hproof obtain a b where $a \neq 0$ and ab: $h = \{x. a \cdot x \leq b\}$ using $Hex [OF \langle h \in H \rangle]$ by blast have $\theta \in \bigcap H$ using $Seq \langle 0 \in S \rangle$ by force then have $\theta \in h$ using that by blast consider $b=0 \mid b < 0 \mid b > 0$ by *linarith* then show ?thesis proof cases case 1 then show ?thesis using $\langle a \neq 0 \rangle$ ab by blast next case 2

then show ?thesis using $\langle \theta \in h \rangle$ ab by auto \mathbf{next} case 3have $S \subset \bigcap (H - \{h\})$ using $Hsub [of H - \{h\}]$ that by auto then obtain x where $x: x \in \bigcap (H - \{h\})$ and $x \notin S$ by auto define ε where $\varepsilon \equiv min (1/2) (b / (a \cdot x))$ have $b < a \cdot x$ using $\langle x \notin S \rangle$ ab x by (fastforce simp: $\langle S = \bigcap H \rangle$) with 3 have $\theta < a \cdot x$ by auto with 3 have $\theta < \varepsilon$ by (simp add: ε -def) have $\varepsilon < 1$ using ε -def by linarith have $\varepsilon * (a \cdot x) \leq b$ unfolding ε -def using $\langle 0 < a \cdot x \rangle$ pos-le-divide-eq by fastforce have $x = inverse \ \varepsilon \ast_R \ \varepsilon \ast_R x$ using $\langle \theta < \varepsilon \rangle$ by force moreover have $\varepsilon *_R x \in S$ proof have $\varepsilon *_R x \in h$ **by** (simp add: $\langle \varepsilon * (a \cdot x) \leq b \rangle ab$) moreover have $\varepsilon *_R x \in \bigcap (H - \{h\})$ proof have $\varepsilon *_R x \in k$ if $x \in k \ k \in H \ k \neq h$ for kproof obtain a' b' where $a' \neq 0$ $k = \{x. a' \cdot x \leq b'\}$ using $Hex \langle k \in H \rangle$ by blasthave $(0 \leq a' \cdot x \Longrightarrow a' \cdot \varepsilon *_R x \leq a' \cdot x)$ by (metis $\langle \varepsilon < 1 \rangle$ inner-scaleR-right order-less-le pth-1 real-scaleR-def scaleR-right-mono) moreover have $(0 < -(a' \cdot x) \Longrightarrow 0 < -(a' \cdot \varepsilon *_R x))$ using $\langle 0 < \varepsilon \rangle$ mult-le-0-iff order-less-imp-le by auto ultimately have $a' \cdot x \leq b' \Longrightarrow a' \cdot \varepsilon *_R x \leq b'$ by (smt (verit) Inter $D < 0 \in \bigcap H > \langle k = \{x. a' \cdot x \leq b'\}$) inner-zero-right mem-Collect-eq that(2)) then show ?thesis using $\langle k = \{x. a' \cdot x \leq b'\} \rangle \langle x \in k \rangle$ by fastforce qed with x show ?thesis by blast qed ultimately show ?thesis using Seq by blast

\mathbf{qed}

with $\langle conic \ S \rangle$ have inverse $\varepsilon *_R \varepsilon *_R x \in S$ by $(meson < 0 < \varepsilon)$ conic-def inverse-nonnegative-iff-nonnegative order-less-le) ultimately show *?thesis* using $\langle x \notin S \rangle$ by presburger qed \mathbf{qed} then obtain fa where fa: Λh . $h \in H \Longrightarrow$ fa $h \neq 0 \land h = \{x. fa h \cdot x \leq 0\}$ by *metis* define fa-le-0 where fa-le-0 $\equiv \lambda h$. {x. fa $h \cdot x \leq 0$ } have $fa': \bigwedge h$. $h \in H \Longrightarrow fa\text{-}le\text{-}0$ h = husing fa fa-le-0-def by blast define A where $A \equiv (\lambda h. (fa h, 0::real))$ ' H have finite A using $\langle finite H \rangle$ by $(simp \ add: A - def)$ then have ?lhs = Euler-characteristic A Sproof **have** [simp]: card {f. f face-of $S \land aff$ -dim f = int d} = card {C. hyperplane-cell $A \ C \land C \subseteq S \land aff\text{-}dim \ C = int \ d\}$ if finite A and $d \leq card$ (Basis::'n set) for d :: nat**proof** (rule bij-betw-same-card) have hyper1: hyperplane-cell A (rel-interior f) \land rel-interior $f \subseteq S$ \wedge aff-dim (rel-interior f) = $d \wedge$ closure (rel-interior f) = f **if** f face-of S aff-dim f = d for f proof – have 1: closure(rel-interior f) = fproof have closure(rel-interior f) = closure fby $(meson \ convex-closure-rel-interior \ face-of-imp-convex \ that(1))$ also have $\ldots = f$ by (meson assms(1) closure-closed face-of-polyhedron-polyhedron polyhedron-imp-closed that(1))finally show ?thesis . qed then have 2: aff-dim (rel-interior f) = d by (metis closure-aff-dim that (2)) have $f \neq \{\}$ using aff-dim-negative-iff [of f] by (simp add: that(2)) obtain J0 where $J0 \subseteq H$ and $J0: f = \bigcap (fa - le - 0, H) \cap (\bigcap h \in J0, \{x, y\})$ $fa h \cdot x = 0\})$ **proof** (cases f = S) case True have $S = \bigcap (fa\text{-}le\text{-}\theta ' H)$ using Seq fa by (auto simp: fa-le-0-def) then show ?thesis using True that by blast next case False

have fexp: $f = \bigcap \{S \cap \{x, fa \ h \cdot x = 0\} \mid h, h \in H \land f \subseteq S \cap \{x, fa \ h \cdot x = 0\}$ $x = 0\}\}$ proof (rule face-of-polyhedron-explicit) show $S = affine hull S \cap \bigcap H$ **by** (*simp add: Seq hull-subset inf.absorb2*) **qed** (auto simp: False $\langle f \neq \{\} \rangle \langle f \text{ face-of } S \rangle \langle f \text{ inite } H \rangle H \text{ sub } fa$) show ?thesis proof have $*: \Lambda x h$. $[x \in f; h \in H] \Longrightarrow fa h \cdot x \leq 0$ using Seq fa face-of-imp-subset (f face-of S) by fastforce show $f = \bigcap (fa\text{-}le\text{-}\theta \, 'H) \cap (\bigcap h \in \{h \in H, f \subseteq S \cap \{x, fa h \cdot x = f \in H\})$ 0}. {x. fa $h \cdot x = 0$ }) $(\mathbf{is} f = ?I)$ proof show $f \subset ?I$ using $\langle f \text{ face-of } S \rangle$ fa face-of-imp-subset by (force simp: * fa-le-0-def) show $?I \subseteq f$ apply (subst (2) fexp) **apply** (*clarsimp simp*: * *fa-le-0-def*) by (metis Inter-iff Seq fa mem-Collect-eq) qed qed blast qed define H' where $H' = (\lambda h. \{x. -(fa h) \cdot x \leq 0\})$ ' Hhave $\exists J$. finite $J \land J \subseteq H \cup H' \land f = affine \ hull \ f \cap \bigcap J$ **proof** (*intro* exI conjI) let $?J = H \cup image (\lambda h. \{x. -(fa h) \cdot x \leq 0\}) J0$ **show** finite (?J::'n set set) using $\langle J0 \subseteq H \rangle$ $\langle finite H \rangle$ finite-subset by fastforce show $?J \subseteq H \cup H'$ using $\langle J0 \subseteq H \rangle$ by (auto simp: H'-def) have $f = \bigcap ?J$ proof show $f \subseteq \bigcap ?J$ unfolding J0 by (auto simp: fa') have $\bigwedge x j$. $[j \in J0; \forall h \in H. x \in h; \forall j \in J0. 0 \le fa j \cdot x] \Longrightarrow fa j \cdot x = 0$ by (metis $\langle J0 \subseteq H \rangle$ fa in-mono inf.absorb2 inf.orderE mem-Collect-eq) then show $\bigcap ?J \subseteq f$ **unfolding** J0 by (auto simp: fa') qed then show $f = affine \ hull \ f \cap \bigcap ?J$ **by** (*simp add: Int-absorb1 hull-subset*) qed then have **: $\exists n J$. finite $J \land card J = n \land J \subseteq H \cup H' \land f = affine$ hull $f \cap \bigcap J$ by blast obtain J nJ where J: finite J card $J = nJ J \subseteq H \cup H'$ and feq: f = affinehull $f \cap \bigcap J$ and minJ: $\bigwedge m J'$. [finite J'; m < nJ; card J' = m; $J' \subseteq H \cup H'$] $\Longrightarrow f$ \neq affine hull $f \cap \bigcap J'$ using exists-least-iff [THEN iffD1, OF **] by metis have $FF: f \subset (affine \ hull \ f \cap \bigcap J')$ if $J' \subset J$ for J'proof – have $f \neq affine \ hull \ f \cap \bigcap J'$ using minJ by (metis J finite-subset psubset-card-mono psubset-imp-subset psubset-subset-trans that) then show ?thesis by (metis Int-subset-iff Inter-Un-distrib feq hull-subset inf-sup-ord(2)) psubsetI sup.absorb4 that) qed have $\exists a. \{x. a \cdot x \leq 0\} = h \land (h \in H \land a = fa h \lor (\exists h'. h' \in H \land a = fa h \lor (\exists h'. h' \in H \land a))$ -(fa h')))if $h \in J$ for hproof have $h \in H \cup H'$ using $\langle J \subseteq H \cup H' \rangle$ that by blast then show ?thesis proof show ?thesis if $h \in H$ using that fa by blast \mathbf{next} assume $h \in H'$ then obtain h' where $h' \in H$ $h = \{x. \ 0 \leq fa \ h' \cdot x\}$ by (auto simp: H'-def) then show ?thesis by (force simp: intro!: exI[where x=-(fa h')])qed qed then obtain ga where ga-h: $\bigwedge h$. $h \in J \implies h = \{x, ga \ h \cdot x \leq 0\}$ and ga-fa: $\Lambda h. h \in J \Longrightarrow h \in H \land ga h = fa h \lor (\exists h'. h' \in H \land ga h)$ = -(fa h'))by *metis* have 3: hyperplane-cell A (rel-interior f) proof have D: rel-interior $f = \{x \in f, \forall h \in J, ga h \cdot x < 0\}$ **proof** (rule rel-interior-polyhedron-explicit $[OF \langle finite J \rangle feq]$) show $ga h \neq 0 \land h = \{x. ga h \cdot x \leq 0\}$ if $h \in J$ for husing that fa ga-fa ga-h by force qed (auto simp: FF) have $H: h \in H \land ga h = fa h$ if $h \in J$ for hproof obtain z where z: $z \in rel$ -interior f using $1 \langle f \neq \{\} \rangle$ by force then have $z \in f \land z \in S$ using $D \langle f \text{ face-of } S \rangle$ face-of-imp-subset by blast then show ?thesis

using qa-fa [OF that] by (smt (verit, del-insts) D InterE Seq fa inner-minus-left mem-Collect-eq that z) qed then obtain K where $K \subseteq H$ and $K: f = \bigcap (fa - le - \theta \, H) \cap (\bigcap h \in K, \{x, fa h \cdot x = \theta\})$ using $J0 \langle J0 \subseteq H \rangle$ by blast have E: rel-interior $f = \{x. (\forall h \in H. fa h \cdot x \leq 0) \land (\forall h \in K. fa h \cdot x)\}$ = 0 \land $(\forall h \in J. ga h \cdot x < 0)$ **unfolding** D **by** (*simp add: K fa-le-0-def*) have relif: rel-interior $f \neq \{\}$ using $1 \langle f \neq \{\} \rangle$ by force with E have disjnt J Kusing *H* disjnt-iff by fastforce **define** *IFJK* where *IFJK* $\equiv \lambda h$. *if* $h \in J$ *then* $\{x. fa \ h \cdot x < 0\}$ else if $h \in K$ then $\{x. fa h \cdot x = 0\}$ else if rel-interior $f \subseteq \{x. fa \ h \cdot x = 0\}$ then $\{x. fa h \cdot x = 0\}$ else {x. fa $h \cdot x < 0$ } have relint-f: rel-interior $f = \bigcap (IFJK \ H)$ proof have A: False if $x: x \in rel-interior f$ and $y: y \in rel-interior f$ and $less 0: fa h \cdot y < 0$ and fa0: fa $h \cdot x = 0$ and $h \in H h \notin J h \notin K$ for x h yproof obtain ε where $x \in f \varepsilon > 0$ and ε : Λt . $[dist x t \leq \varepsilon; t \in affine hull f]] \implies t \in f$ using x by (force simp: mem-rel-interior-cball) then have $y \neq x$ using $fa\theta$ less θ by force define x' where $x' \equiv x + (\varepsilon / norm(y - x)) *_R (x - y)$ have $x \in affine \ hull \ f \land y \in affine \ hull \ f$ by (metis $\langle x \in f \rangle$ hull-inc mem-rel-interior-chall y) moreover have dist $x x' \leq \varepsilon$ using $\langle 0 < \varepsilon \rangle \langle y \neq x \rangle$ by (simp add: x'-def divide-simps dist-norm *norm-minus-commute*) ultimately have $x' \in f$ by (simp add: ε mem-affine-3-minus x'-def) have $x' \in S$ using $\langle f \text{ face-of } S \rangle \langle x' \in f \rangle$ face-of-imp-subset by auto then have $x' \in h$ using Seq that(5) by blast then have $x' \in \{x, fa \ h \cdot x \leq 0\}$ using fa that(5) by blast moreover have $\varepsilon / norm (y - x) * -(fa h \cdot y) > 0$ **using** $\langle 0 < \varepsilon \rangle \langle y \neq x \rangle$ less 0 by (simp add: field-split-simps) ultimately show ?thesis by (simp add: x'-def fa0 inner-diff-right inner-right-distrib) qed

show rel-interior $f \subseteq \bigcap (IFJK \, H)$ unfolding IFJK-def by (smt (verit, ccfv-SIG) A E H INT-I in-mono *mem-Collect-eq subsetI*) **show** \bigcap (*IFJK* ' *H*) \subseteq *rel-interior f* using $\langle K \subseteq H \rangle \langle disjnt \ J \ K \rangle$ **apply** (clarsimp simp add: ball-Un E H disjnt-iff IFJK-def) **apply** (*smt* (*verit*, *del-insts*) *IntI Int-Collect subsetD*) done \mathbf{qed} obtain z where zrelf: $z \in rel-interior f$ using relif by blast moreover have $H: z \in IFJK h \Longrightarrow (x \in IFJK h) = (hyperplane-side (fa h, 0) z =$ hyperplane-side (fa h, 0) x for h xusing zrelf by (auto simp: IFJK-def hyperplane-side-def sgn-if split: *if-split-asm*) then have $z \in \bigcap (IFJK' H) \Longrightarrow (x \in \bigcap (IFJK' H)) = hyperplane-equiv$ A z x for xunfolding A-def Inter-iff hyperplane-equiv-def ball-simps using H by blastthen have $x \in rel-interior f \leftrightarrow hyperplane-equiv A z x$ for x using relint-f zrelf by presburger ultimately show *?thesis* by (metis equality I hyperplane-cell mem-Collect-eq subset-iff) \mathbf{qed} have 4: rel-interior $f \subseteq S$ by (meson face-of-imp-subset order-trans rel-interior-subset that(1))show ?thesis using 1 2 3 4 by blast \mathbf{qed} have hyper2: (closure c face-of $S \wedge aff$ -dim (closure c) = d) \wedge rel-interior $(closure \ c) = c$ if c: hyperplane-cell A c and $c \subseteq S$ aff-dim c = d for c **proof** (*intro conjI*) obtain J where $J \subseteq H$ and J: $c = (\bigcap h \in J, \{x, (fa h) \cdot x < 0\}) \cap (\bigcap h$ $\in (H - J). \{x. (fa h) \cdot x = 0\})$ proof **obtain** z where z: $c = \{y, \forall x \in H. sgn (fa x \cdot y) = sgn (fa x \cdot z)\}$ using c by (force simp: hyperplane-cell A-def hyperplane-equiv-def hyperplane-side-def) show thesis proof let $?J = \{h \in H. \ sgn(fa \ h \cdot z) = -1\}$ have 1: fa $h \cdot x < 0$ if $\forall h \in H$. sgn (fa $h \cdot x$) = sgn (fa $h \cdot z$) and $h \in H$ and sgn (fa $h \cdot z$) z) = -1 for x husing that by (metis sgn-1-neg) have 2: sgn (fa $h \cdot z$) = -1 if $\forall h \in H$. sgn (fa $h \cdot x$) = sgn (fa $h \cdot z$) and $h \in H$ and fa $h \cdot x \neq 0$

for x hproof have $\llbracket 0 < fa \ h \cdot x; \ 0 < fa \ h \cdot z \rrbracket \Longrightarrow$ False using that fa by (smt (verit, del-insts) Inter-iff Seq $\langle c \subseteq S \rangle$ *mem-Collect-eq subset-iff* z) then show ?thesis by (metis that sqn-if sqn-zero-iff) qed have 3: $sgn (fa h \cdot x) = sgn (fa h \cdot z)$ if $h \in H$ and $\forall h. h \in H \land sgn (fa h \cdot z) = -1 \longrightarrow fa h \cdot x < 0$ and $\forall h \in H - \{h \in H. sgn (fa h \cdot z) = -1\}$. fa $h \cdot x = 0$ for x husing that 2 by (metis (mono-tags, lifting) Diff-iff mem-Collect-eq sgn-neg) show $c = (\bigcap h \in \mathcal{I}. \{x. fa h \cdot x < 0\}) \cap (\bigcap h \in H - \mathcal{I}. \{x. fa h \cdot x = 0\})$ θ unfolding z by (auto intro: 1 2 3) qed auto qed have finite J using $\langle J \subseteq H \rangle$ (finite H) finite-subset by blast **show** closure c face-of Sproof – have cc: closure c = closure $(\bigcap h \in J. \{x. fa h \cdot x < 0\}) \cap closure$ $(\bigcap h \in H$ $-J. \{x. fa h \cdot x = 0\}$ unfolding J**proof** (*rule closure-Int-convex*) show convex $(\bigcap h \in J. \{x. fa h \cdot x < 0\})$ by (simp add: convex-INT convex-halfspace-lt) show convex $(\bigcap h \in H - J. \{x. fa h \cdot x = 0\})$ **by** (*simp add: convex-INT convex-hyperplane*) have o1: open $(\bigcap h \in J. \{x. fa h \cdot x < 0\})$ by (metis open-INT[OF $\langle finite J \rangle$] open-halfspace-lt) have o2: open in (top-of-set (affine hull ($\bigcap h \in H - J$. {x. fa $h \cdot x =$ 0}))) ($\bigcap h \in H - J. \{x. fa h \cdot x = 0\}$) proof have affine $(\bigcap h \in H - J. \{n. fa h \cdot n = 0\})$ using affine-hyperplane by auto then show ?thesis by (metis (no-types) affine-hull-eq openin-subtopology-self) qed show rel-interior $(\bigcap h \in J. \{x. fa h \cdot x < 0\}) \cap rel-interior (\bigcap h \in H - I)$ J. {x. fa $h \cdot x = 0$ } \neq {} by (metis nonempty-hyperplane-cell c rel-interior-open o1 rel-interior-openin o2 Jqed have clo-im-J: closure ' $((\lambda h. \{x. fa h \cdot x < 0\})$ 'J) = $(\lambda h. \{x. fa h \cdot x$ ≤ 0) 'J

using $\langle J \subseteq H \rangle$ **by** (force simp: image-comp fa)

have cleq: closure $(\bigcap h \in H - J, \{x, fa h \cdot x = 0\}) = (\bigcap h \in H - J, \{x, fa h \in H - J, \{x, fa h \in H - J\})$ $h \cdot x = 0\})$ **by** (*intro closure-closed*) (*blast intro: closed-hyperplane*) have **: $(\bigcap h \in J. \{x. fa h \cdot x \leq 0\}) \cap (\bigcap h \in H - J. \{x. fa h \cdot x = 0\})$ face-of Sif $(\bigcap h \in J. \{x. fa h \cdot x < 0\}) \neq \{\}$ **proof** (cases J=H) case True have $[simp]: (\bigcap x \in H. \{xa. fa \ x \cdot xa \leq 0\}) = \bigcap H$ using fa by auto show ?thesis using (polyhedron S) by (simp add: Seq True polyhedron-imp-convex face-of-refl) \mathbf{next} case False have **: $(\bigcap h \in J. \{n. fa h \cdot n < 0\}) \cap (\bigcap h \in H - J. \{x. fa h \cdot x = 0\})$ _ $(\bigcap h \in H - J. S \cap \{x. fa h \cdot x = 0\})$ (is ?L = ?R) proof show $?L \subseteq ?R$ by clarsimp (smt (verit) DiffI InterI Seq fa mem-Collect-eq) show $?R \subseteq ?L$ using False Seq $\langle J \subseteq H \rangle$ fa by blast qed show ?thesis unfolding ** **proof** (*rule face-of-Inter*) show $(\lambda h. S \cap \{x. fa h \cdot x = 0\})$ ' $(H - J) \neq \{\}$ using False $\langle J \subseteq H \rangle$ by blast **show** T face-of Sif $T: T \in (\lambda h. S \cap \{x. fa h \cdot x = 0\})$ '(H - J) for T proof **obtain** h where h: $T = S \cap \{x. \text{ fa } h \cdot x = 0\}$ and $h \in H h \notin J$ using T by *auto* have $S \cap \{x. fa h \cdot x = 0\}$ face-of S **proof** (rule face-of-Int-supporting-hyperplane-le) show convex S**by** (*simp add: assms*(1) *polyhedron-imp-convex*) show fa $h \cdot x \leq 0$ if $x \in S$ for x using that Seq fa $\langle h \in H \rangle$ by auto qed then show ?thesis using h by blast qed qed qed have *: $\bigwedge S. S \in (\lambda h. \{x. fa h \cdot x < 0\})$ ' $J \Longrightarrow convex S \land open S$ using convex-halfspace-lt open-halfspace-lt by fastforce show ?thesis

unfolding cc **apply** (*simp add*: * *closure-Inter-convex-open*) **by** (*metis* ** *cleq clo-im-J image-image*) qed **show** aff-dim (closure c) = int d**by** (*simp add: that*) **show** rel-interior (closure c) = cby (metis (finite A) c convex-rel-interior-closure hyperplane-cell-convex *hyperplane-cell-relative-interior*) qed have rel-interior ' {f. f face-of $S \land aff$ -dim f = int d} $= \{C. hyperplane-cell A \ C \land C \subseteq S \land aff-dim \ C = int \ d\}$ using hyper1 hyper2 by fastforce then show bij-betw (rel-interior) {f. f face-of $S \land aff$ -dim f = int d} {C. hyperplane-cell $A \ C \land C \subseteq S \land$ aff-dim C = int d**unfolding** *bij-betw-def inj-on-def* **by** (*metis* (*mono-tags*) *hyper1 mem-Collect-eq*) qed show ?thesis **by** (simp add: Euler-characteristic $\langle finite A \rangle$) ged also have $\ldots = 0$ proof – have A: hyperplane-cellcomplex A(-h) if $h \in H$ for h**proof** (*rule hyperplane-cellcomplex-mono* [*OF hyperplane-cell-cellcomplex*]) have $-h = \{x. fa h \cdot x = 0\} \lor -h = \{x. fa h \cdot x < 0\} \lor -h = \{x. 0 < 0\} \lor +h = \{x.$ fa $h \cdot x$ by (*smt* (*verit*, *ccfv-SIG*) Collect-cong Collect-neg-eq fa that) then show hyperplane-cell $\{(fa \ h, 0)\} \ (-h)$ by (simp add: hyperplane-cell-singleton fa that) show $\{(fa \ h, \theta)\} \subseteq A$ by (simp add: A-def that) qed then have Λh . $h \in H \implies hyperplane-cellcomplex A h$ using hyperplane-cellcomplex-Compl by fastforce then have hyperplane-cellcomplex A S**by** (*simp add: Seq hyperplane-cellcomplex-Inter*) then have D: Euler-characteristic A (UNIV:: 'n set) = Euler-characteristic $A(\cap H)$ + Euler-characteristic $A(-\cap H)$ using Euler-characteristic-cellcomplex-Un by (metis Compl-partition Diff-cancel Diff-eq Seq (finite A) disjnt-def hyperplane-cellcomplex-Compl) have Euler-characteristic A $UNIV = Euler-characteristic \{\} (UNIV::'n set)$ **by** (simp add: Euler-characteristic-invariant $\langle finite A \rangle$) then have E: Euler-characteristic A $UNIV = (-1) \uparrow (DIM('n))$ **by** (*simp add: Euler-characteristic-cell*) have DD: Euler-characteristic $A (\cap (uminus 'J)) = (-1) \cap DIM('n)$ if $J \neq \{\} \ J \subseteq H$ for Jproof -

define B where $B \equiv (\lambda h. (fa h, 0::real))$ 'J then have $B \subseteq A$ **by** (simp add: A-def image-mono that) have $\exists x. y = -x$ if $y \in \bigcap$ (uminus 'H) for y:: n — Weirdly, the assumption is not used **by** (*metis add.inverse-inverse*) **moreover have** $-x \in \bigcap$ (uminus 'H) $\longleftrightarrow x \in$ interior S for x proof have 1: interior $S = \{x \in S, \forall h \in H, fa h \cdot x < 0\}$ using rel-interior-polyhedron-explicit [OF $\langle finite H \rangle$ - fa] by (metis (no-types, lifting) inf-top-left Hsub Seq (affine hull S = UNIV) *rel-interior-interior*) have $2: \bigwedge x y$. $[y \in H; \forall h \in H. fa h \cdot x < 0; -x \in y] \Longrightarrow False$ by (*smt* (*verit*, *best*) fa inner-minus-right mem-Collect-eq) show ?thesis apply (simp add: 1) by (smt (verit) 2 * fa Inter-iff Seq inner-minus-right mem-Collect-eq) \mathbf{qed} ultimately have INT-Compl-H: \bigcap (uminus 'H) = uminus 'interior S by blast obtain z where z: $z \in \bigcap$ (uminus 'J) using $\langle J \subseteq H \rangle \langle \bigcap (uminus ` H) = uminus ` interior S \rangle$ intS by fastforce have \bigcap (uminus 'J) = Collect (hyperplane-equiv B z) (is ?L = ?R) proof show $?L \subseteq ?R$ using $fa \langle J \subseteq H \rangle z$ by (fastforce simp: hyperplane-equiv-def hyperplane-side-def B-def set-eq-iff) show $?R \subset ?L$ using $z \langle J \subseteq H \rangle$ apply (clarsimp simp add: hyperplane-equiv-def hyperplane-side-def B-def) by (metis fa in-mono mem-Collect-eq sqn-le-0-iff) qed then have hyper-B: hyperplane-cell $B (\bigcap (uminus 'J))$ **by** (*metis hyperplane-cell*) have Euler-characteristic $A (\cap (uminus 'J)) = Euler-characteristic B (\cap$ (uminus (J))**proof** (rule Euler-characteristic-invariant $[OF \langle finite A \rangle]$) show finite B **using** $\langle B \subseteq A \rangle$ $\langle finite A \rangle$ finite-subset by blast **show** hyperplane-cellcomplex $A (\bigcap (uminus 'J))$ by (meson $\langle B \subseteq A \rangle$ hyper-B hyperplane-cell-cellcomplex hyperplane-cellcomplex-mono) show hyperplane-cellcomplex $B (\bigcap (uminus 'J))$ **by** (*simp add: hyper-B hyperplane-cell-cellcomplex*) qed also have $\ldots = (-1) \cap nat (aff-dim (\bigcap (uminus 'J)))$ using Euler-characteristic-cell hyper-B by blast also have $\ldots = (-1) \cap DIM(n)$ proof –

have affine hull \bigcap (uminus 'H) = UNIV \mathbf{by} (simp add: INT-Compl-H affine-hull-nonempty-interior intS inte*rior-negations*) then have affine hull \bigcap (uminus 'J) = UNIV by (metis Inf-superset-mono hull-mono subset-UNIV subset-antisym subset-image-iff that(2)with aff-dim-eq-full show ?thesis by (metis nat-int) qed finally show ?thesis . qed have EE: $(\Sigma T \mid T \subseteq uminus ` H \land T \neq \{\}$. $(-1) \land (card T + 1) * Eu$ ler-characteristic $A(\cap \mathcal{T})$ $= (\sum \mathcal{T} \mid \mathcal{T} \subseteq uminus `H \land \mathcal{T} \neq \{\}. (-1) \cap (card \ \mathcal{T} + 1) * (-1) \cap$ DIM('n)) by (intro sum.cong [OF refl]) (fastforce simp: subset-image-iff intro!: DD) also have $\ldots = (-1) \cap DIM(n)$ proof have A: $(\sum y = 1..card H. \sum t \in \{x \in \{T, T \subseteq uminus ` H \land T \neq \{\}\}.$ card x = y. (-1) (card t + 1) $= (\sum \mathcal{T} \in \{\mathcal{T}, \mathcal{T} \subseteq uminus \ H \land \mathcal{T} \neq \{\}\}, (-1) \ (card \ \mathcal{T} + 1))$ proof (rule sum.group) have $\bigwedge C$. $[C \subseteq uminus ` H; C \neq \{\}] \Longrightarrow Suc \ 0 \leq card \ C \land card \ C \leq$ card Hby (meson $\langle finite H \rangle$ card-eq-0-iff finite-surj le-zero-eq not-less-eq-eq surj-card-le) then show card ' { \mathcal{T} . $\mathcal{T} \subseteq$ uminus ' $H \land \mathcal{T} \neq$ {}} \subseteq {1..card H} by force **qed** (auto simp: $\langle finite H \rangle$) have $(\sum n = Suc \ 0..card \ H. - (int \ (card \ \{x. \ x \subseteq uninus \ `H \land x \neq \{\} \land$ card x = n} (-1) (n)= $(\sum n = Suc \ 0..card \ H. \ (-1) \ \widehat{} (Suc \ n) * (card \ H \ choose \ n))$ **proof** (rule sum.cong [OF refl]) fix nassume $n \in \{Suc \ 0..card \ H\}$ then have $\{\mathcal{T}, \mathcal{T} \subseteq uminus \ H \land \mathcal{T} \neq \{\} \land card \ \mathcal{T} = n\} = \{\mathcal{T}, \mathcal{T} \subseteq \mathcal{T}\}$ uminus ' $H \wedge card \mathcal{T} = n$ } by *auto* then have $card{\mathcal{T}, \mathcal{T} \subseteq uminus ` H \land \mathcal{T} \neq {} \land card \mathcal{T} = n} = card$ (uminus ' H) choose n **by** (simp add: $\langle finite H \rangle$ n-subsets) also have $\ldots = card \ H \ choose \ n$ by (metis card-image double-complement inj-on-inverseI) finally **show** - (int (card { \mathcal{T} . $\mathcal{T} \subseteq$ uminus ' $H \land \mathcal{T} \neq$ {} \land card $\mathcal{T} = n$ }) * (-1) $(n) = (-1) (Suc \ n * int \ (card \ H \ choose \ n))$ by simp qed

also have ... = $-(\sum k = Suc \ 0..card \ H. (-1) \ \hat{k} * (card \ H \ choose \ k))$ **by** (simp add: sum-negf) **also have** ... = $1 - (\sum k = 0..card \ H. (-1) \ \hat{k} * (card \ H \ choose \ k))$

using atLeastSucAtMost-greaterThanAtMost by (simp add: sum.head [of 0]) also have ... = 1 - 0 ^ card H

using binomial-ring [of -1 1::int card H] by (simp add: mult.commute atLeast0AtMost)

also have $\ldots = 1$

using Seq (finite H) $\langle S \neq UNIV \rangle$ card-0-eq by auto

finally have C: $(\sum n = Suc \ 0 \dots card \ H \dots - (int \ (card \ \{x. \ x \subseteq uminus \ H \land x \neq \{\} \land card \ x = n\}) * (-1) \ \hat{} n)) = (1::int)$.

have $(\sum T | T \subseteq uminus `H \land T \neq \{\}. (-1) \cap (card T + 1)) = (1::int)$ unfolding A [symmetric] by (simp add: C) then show ?thesis by (simp flip: sum-distrib-right power-Suc) qed

finally have $(\sum \mathcal{T} | \mathcal{T} \subseteq uminus ` H \land \mathcal{T} \neq \{\}. (-1) \cap (card \mathcal{T} + 1) * Euler-characteristic A (\cap \mathcal{T}))$

 $= (-1) \cap DIM('n)$.

then have Euler-characteristic $A (\bigcup (uminus `H)) = (-1) ^ (DIM('n))$ using Euler-characteristic-inclusion-exclusion $[OF \land finite A \land]$ by (smt (verit) A Collect-cong $\langle finite H \land finite-imageI image-iff sum.cong)$ then show ?thesis using D E by (simp add: uminus-Inf Seq) qed

finally show ?thesis .

qed

1.6 Euler-Poincare relation for special (n - 1)-dimensional polytope

lemma Euler-Poincare-lemma: **fixes** *p* ::: '*n*::*euclidean-space* set assumes $DIM(n) \ge 2$ polytope $p \ i \in Basis$ and affp: affine hull $p = \{x. \ x \cdot i\}$ = 1shows $(\sum d = 0..DIM(n) - 1.(-1) \cap d * int (card {f. f face-of } p \land aff-dim f$ = int d)) = 1 proof have aff-dim p = aff-dim $\{x. i \cdot x = 1\}$ by (metis (no-types, lifting) Collect-cong aff-dim-affine-hull affp inner-commute) also have $\dots = int (DIM('n) - 1)$ using aff-dim-hyperplane [of i 1] $\langle i \in Basis \rangle$ by fastforce finally have AP: aff-dim p = int (DIM('n) - 1). show ?thesis **proof** (cases $p = \{\}$) case True with AP show ?thesis by simp \mathbf{next}

case False define S where $S \equiv conic$ hull p have 1: (conic hull f) \cap {x. $x \cdot i = 1$ } = f if $f \subseteq$ {x. $x \cdot i = 1$ } for f using that by (smt (verit, ccfv-threshold) affp conic-hull-Int-affine-hull hull-hull in*ner-zero-left mem-Collect-eq*) **obtain** K where finite K and K: p = convex hull K by $(meson \ assms(2) \ polytope-def)$ then have convex-cone hull K = conic hull (convex hull K) using False convex-cone-hull-separate-nonempty by auto then have polyhedron S using polyhedron-convex-cone-hull **by** (simp add: S-def <polytope p> polyhedron-conic-hull-polytope) then have convex S**by** (*simp add: polyhedron-imp-convex*) then have conic Sby (simp add: S-def conic-conic-hull) then have $\theta \in S$ by (simp add: False S-def) have $S \neq UNIV$ proof assume S = UNIVthen have conic hull $p \cap \{x. x \cdot i = 1\} = p$ **by** (*metis 1 affp hull-subset*) then have bounded $\{x. \ x \cdot i = 1\}$ using S-def $\langle S = UNIV \rangle$ assms(2) polytope-imp-bounded by auto then obtain B where B > 0 and B: $\Lambda x. x \in \{x. x \cdot i = 1\} \Longrightarrow norm x \leq B$ using bounded-normE by blast define x where $x \equiv (\sum b \in Basis. (if b=i then 1 else B+1) *_R b)$ obtain j where $j: j \in Basis j \neq i$ using $\langle DIM(n) \geq 2 \rangle$ by (metis DIM-complex DIM-ge-Suc0 card-2-iff' card-le-Suc0-iff-eq euclidean-space-class.finite-Basis le-antisym) have $B+1 \leq |x \cdot j|$ using j by (simp add: x-def) also have $\ldots < norm x$ using Basis-le-norm j by blast finally have norm x > Bby simp moreover have $x \cdot i = 1$ by (simp add: x-def $\langle i \in Basis \rangle$) ultimately show *False* using B by force qed have $S \neq \{\}$ **by** (metis False S-def empty-subsetI equalityI hull-subset) have $\bigwedge c x$. $\llbracket 0 < c; x \in p; x \neq 0 \rrbracket \Longrightarrow 0 < (c *_R x) \cdot i$ by (metis (mono-tags) Int-Collect Int-iff affp hull-inc inner-commute in*ner-scaleR-right mult.right-neutral*)

then have doti-qt0: $0 < x \cdot i$ if S: $x \in S$ and $x \neq 0$ for x using that by (auto simp: S-def conic-hull-explicit) have $\bigwedge a$. $\{a\}$ face-of $S \implies a = 0$ using $\langle conic S \rangle$ conic-contains-0 face-of-conic by blast **moreover have** $\{0\}$ face-of S proof – have $\bigwedge a \ b \ u$. $[a \in S; b \in S; a \neq b; u < 1; 0 < u; (1 - u) *_R a + u *_R b]$ $= 0] \Longrightarrow False$ using conic-def euclidean-all-zero-iff inner-left-distrib scaleR-eq-0-iff **by** (*smt* (*verit*, *del-insts*) *doti-gt0* $\langle conic S \rangle \langle i \in Basis \rangle$) then show ?thesis by (auto simp: in-segment face-of-singleton extreme-point-of-def $\langle 0 \in S \rangle$) qed ultimately have face-0: {f. f face-of $S \land (\exists a. f = \{a\})$ } = {{0}} by *auto* have interior $S \neq \{\}$ proof assume interior $S = \{\}$ then obtain a b where $a \neq 0$ and ab: $S \subseteq \{x. a \cdot x = b\}$ **by** (*metis* (*convex* S) *empty-interior-subset-hyperplane*) have $\{x. \ x \cdot i = 1\} \subseteq \{x. \ a \cdot x = b\}$ by (metis S-def ab affine-hyperplane affp hull-inc subset-eq subset-hull) moreover have $\neg \{x. \ x \cdot i = 1\} \subset \{x. \ a \cdot x = b\}$ using aff-dim-hyperplane [of a b] by (metis AP $\langle a \neq 0 \rangle$ aff-dim-eq-full-gen affine-hyperplane affp hull-subset less-le-not-le subset-hull) ultimately have $S \subseteq \{x. \ x \cdot i = 1\}$ using *ab* by *auto* with $\langle S \neq \{\} \rangle$ show *False* using $\langle conic S \rangle$ conic-contains-0 by fastforce qed then have $(\sum d = 0..DIM(n). (-1) \cap d * int (card \{f. f face-of S \land aff-dim \})$ $f = int \ d\}) = 0$ using Euler-polyhedral-cone $\langle S \neq UNIV \rangle$ (conic S) (polyhedron S) by blast then have $1 + (\sum d = 1..DIM('n). (-1) \land d * (card \{f. f face-of S \land aff-dim d) \}$ f = d)) = 0 **by** (*simp add: sum.atLeast-Suc-atMost aff-dim-eq-0 face-0*) **moreover have** $(\sum d = 1..DIM('n). (-1) \cap d * (card \{f. f face-of S \land aff-dim \})$ $= - (\sum d = 0..DIM('n) - 1. (-1) \ \widehat{} \ d * int (card \{f. f face-of p \land aff-dim f = int d\}))$ proof have $(\sum d = 1..DIM(n).(-1) \land d * (card \{f. f face-of S \land aff-dim f = d\}))$ = $(\sum d = Suc \ 0..Suc \ (DIM('n)-1). \ (-1) \ \widehat{} \ d * (card \ \{f. \ f \ face-of \ S \ \land$ aff-dim f = d)) by auto **also have** ... = $-(\sum d = 0..DIM('n) - 1.(-1) \ \hat{} d * card \{f. f face-of S \}$ $\land \textit{ aff-dim } f = 1 + \textit{ int } d\})$ **unfolding** sum.atLeast-Suc-atMost-Suc-shift **by** (simp add: sum-negf)

also have ... = $-(\sum d = 0..DIM(n) - 1.(-1) \ d * card \{f. f face-of p \}$ \land aff-dim f = int d}) proof -{ fix d assume $d \leq DIM(n) - Suc \theta$ have conic-face-p: (conic hull f) face-of S if f face-of p for f**proof** (cases $f = \{\}$) case False have $\{c *_R x \mid c x. \ 0 \le c \land x \in f\} \subseteq \{c *_R x \mid c x. \ 0 \le c \land x \in p\}$ using face-of-imp-subset that by blast moreover have convex $\{c *_R x \mid c x. \ 0 \leq c \land x \in f\}$ by (metis (no-types) cone-hull-expl convex-cone-hull face-of-imp-convex that) moreover have $(\exists c x. ca *_R a = c *_R x \land 0 \leq c \land x \in f) \land (\exists c x. cb *_R b = c$ $*_R x \land 0 \leq c \land x \in f$ **if** $\forall a \in p. \forall b \in p. (\exists x \in f. x \in open-segment a b) \longrightarrow a \in f \land b \in f$ and $0 \leq ca \ a \in p \ 0 \leq cb \ b \in p$ and $0 \leq cx \ x \in f$ and oseg: $cx \ast_R x \in open$ -segment $(ca \ast_R a)$ (cb) $*_R b$ for $ca \ a \ cb \ b \ cx \ x$ proof – have $ai: a \cdot i = 1$ and $bi: b \cdot i = 1$ using affp hull-inc that (3,5) by fastforce+ have $xi: x \cdot i = 1$ using affp that $\langle f | face-of p \rangle$ face-of-imp-subset hull-subset by fastforce show ?thesis **proof** (cases $cx *_R x = 0$) case True then show ?thesis using $\langle \{0\}$ face-of $S \rangle$ face-of $D \langle conic S \rangle$ that by (smt (verit, best) S-def conic-def hull-subset insertCI singletonD subsetD) \mathbf{next} case False then have $cx \neq 0$ $x \neq 0$ by *auto* obtain u where 0 < u u < 1 and u: $cx *_R x = (1 - u) *_R (ca *_R u)$ $a) + u *_{R} (cb *_{R} b)$ using oseg in-segment(2) by metis show ?thesis **proof** (cases x = a) case True then have *ua*: $(cx - (1 - u) * ca) *_R a = (u * cb) *_R b$ using u by (simp add: algebra-simps) then have (cx - (1 - u) * ca) * 1 = u * cb * 1**by** (*metis ai bi inner-scaleR-left*) then have $a=b \lor cb = 0$

using $ua \langle 0 < u \rangle$ by force then show ?thesis by (metis True scale R-zero-left that (2) that (4) that (7)) \mathbf{next} case False show ?thesis **proof** (cases x = b) case True then have ub: $(cx - (u * cb)) *_R b = ((1 - u) * ca) *_R a$ using *u* by (*simp add: algebra-simps*) then have (cx - (u * cb)) * 1 = ((1 - u) * ca) * 1by (metis ai bi inner-scaleR-left) then have $a=b \lor ca = 0$ using $\langle u < 1 \rangle$ ub by auto then show ?thesis using False True that (4) that (7) by auto next case False have $cx > \theta$ using $\langle cx \neq 0 \rangle \langle 0 \leq cx \rangle$ by linarith have *False* if ca = 0proof have cx = u * cbby (metis add-0 bi inner-real-def inner-scaleR-left real-inner-1-right scale-eq-0-iff that u(xi)then show False using $\langle x \neq b \rangle \langle cx \neq 0 \rangle$ that u by force qed with $\langle \theta \leq ca \rangle$ have $ca > \theta$ by *force* **have** aff: $x \in$ affine hull $p \land a \in$ affine hull $p \land b \in$ affine hull pusing affp xi ai bi by blast $\mathbf{show}~? thesis$ **proof** (cases cb=0) case True have $u': cx *_R x = ((1 - u) * ca) *_R a$ using *u* by (*simp add: True*) then have cx = ((1 - u) * ca)**by** (*metis ai inner-scaleR-left mult.right-neutral xi*) then show ?thesis using True $u' \langle cx \neq 0 \rangle \langle ca \geq 0 \rangle \langle x \in f \rangle$ by auto \mathbf{next} case False with $\langle cb \geq \theta \rangle$ have $cb > \theta$ by *linarith* { have False if a=b proof have $*: cx *_R x = ((1 - u) * ca + u * cb) *_R b$ using u that by (simp add: algebra-simps)

then have cx = ((1 - u) * ca + u * cb)**by** (*metis xi bi inner-scaleR-left mult.right-neutral*) with $\langle x \neq b \rangle \langle cx \neq 0 \rangle *$ show False by force qed } moreover have $cx *_R x /_R cx = (((1 - u) * ca) *_R a + (cb * u) *_R b)$ $/_R cx$ using *u* by *simp* then have xeq: $x = ((1-u) * ca / cx) *_R a + (cb * u / cx) *_R b$ by (simp add: $\langle cx \neq 0 \rangle$ divide-inverse-commute scaleR-right-distrib) then have proj: 1 = ((1-u) * ca / cx) + (cb * u / cx)using ai bi xi by (simp add: inner-left-distrib) then have eq: cx + ca * u = ca + cb * uusing $\langle cx > 0 \rangle$ by (simp add: field-simps) have $\exists u > 0$. $u < 1 \land x = (1 - u) *_R a + u *_R b$ **proof** (*intro* exI conjI) show $0 < inverse \ cx * u * cb$ by (simp add: $\langle 0 < cb \rangle \langle 0 < cx \rangle \langle 0 < u \rangle$) show inverse cx * u * cb < 1using proj $\langle 0 < ca \rangle \langle 0 < cx \rangle \langle u < 1 \rangle$ by (simp add: divide-simps) $cb) *_{R} b$ using $eq \langle cx \neq 0 \rangle$ by (simp add: xeq field-simps) qed ultimately show ?thesis using that by (metis in-segment(2))qed qed qed \mathbf{qed} qed ultimately show ?thesis using that by (auto simp: S-def conic-hull-explicit face-of-def) ged auto moreover have conic-hyperplane-eq: conic hull $(f \cap \{x, x \cdot i = 1\}) = f$ if f face-of S 0 < aff-dim f for f proof show conic hull $(f \cap \{x. \ x \cdot i = 1\}) \subseteq f$ by (metis $\langle conic S \rangle$ face-of-conic inf-le1 subset-hull that(1)) have $\exists c x' \cdot x = c *_R x' \land 0 \leq c \land x' \in f \land x' \cdot i = 1$ if $x \in f$ for x**proof** (cases x=0) case True obtain y where $y \in f y \neq 0$ by (metis $\langle 0 < aff-dim f \rangle$ aff-dim-sing aff-dim-subset insertCI *linorder-not-le subset-iff*)

then have $y \cdot i > 0$ **using** $\langle f \text{ face-of } S \rangle$ doti-gt0 face-of-imp-subset by blast then have $y /_R (y \cdot i) \in f \land (y /_R (y \cdot i)) \cdot i = 1$ **using** $\langle conic S \rangle \langle f face-of S \rangle \langle y \in f \rangle$ conic-def face-of-conic by fastforce then show ?thesis using True by fastforce \mathbf{next} case False then have $x \cdot i > 0$ using $\langle f \text{ face-of } S \rangle$ doti-gt0 face-of-imp-subset that by blast then have $x /_R (x \cdot i) \in f \land (x /_R (x \cdot i)) \cdot i = 1$ **using** $\langle conic S \rangle \langle f face-of S \rangle \langle x \in f \rangle$ conic-def face-of-conic by fastforce then show ?thesis by (metis $\langle 0 < x \cdot i \rangle$ divideR-right eucl-less-le-not-le) qed then show $f \subseteq conic$ hull $(f \cap \{x, x \cdot i = 1\})$ by (auto simp: conic-hull-explicit) qed have conic-face-S: conic hull f face-of S if f face-of S for fby (metis $\langle conic S \rangle$ face-of-conic hull-same that) have aff-1d: aff-dim (conic hull f) = aff-dim f + 1 (is ?lhs = ?rhs) if *f* face-of *p* and $f \neq \{\}$ for *f* **proof** (*rule order-antisym*) have $?lhs \leq aff-dim(affine hull (insert 0 (affine hull f)))$ **proof** (*intro aff-dim-subset hull-minimal*) **show** $f \subseteq$ affine hull insert 0 (affine hull f) **by** (*metis hull-insert hull-subset insert-subset*) **show** conic (affine hull insert 0 (affine hull f)) by (metis affine-hull-span-0 conic-span hull-inc insert11) \mathbf{qed} also have $\ldots \leq ?rhs$ **by** (*simp add: aff-dim-insert*) finally show ?lhs < ?rhs. have aff-dim f < aff-dim (conic hull f) **proof** (*intro aff-dim-psubset psubsetI*) **show** affine hull $f \subseteq$ affine hull (conic hull f) **by** (simp add: hull-mono hull-subset) have $0 \notin affine \ hull f$ using affp face-of-imp-subset hull-mono that (1) by fastforce **moreover have** $0 \in affine hull (conic hull f)$ by (simp add: $\langle f \neq \{\}\rangle$ hull-inc) **ultimately show** affine hull $f \neq$ affine hull (conic hull f) by auto ged then show $?rhs \leq ?lhs$

by simp

qed

have face-S-imp-face-p: $\bigwedge f$. f face-of $S \implies f \cap \{x. \ x \cdot i = 1\}$ face-of p by (metis 1 S-def affp convex-affine-hull face-of-slice hull-subset) have conic-eq-f: conic hull $f \cap \{x. \ x \cdot i = 1\} = f$ if f face-of p for fby (metis 1 affp face-of-imp-subset hull-subset le-inf-iff that) have dim-f-hyperplane: aff-dim $(f \cap \{x. \ x \cdot i = 1\}) = int d$ if f face-of S aff-dim f = 1 + int d for fproof have conic fusing $\langle conic S \rangle$ face-of-conic that(1) by blast then have $\theta \in f$ using conic-contains-0 that by force moreover have $\neg f \subseteq \{0\}$ using subset-singletonD that (2) by fastforce ultimately obtain y where y: $y \in f \ y \neq 0$ by blast then have $y \cdot i > 0$ using doti-gt0 face-of-imp-subset that (1) by blast have aff-dim (conic hull $(f \cap \{x. \ x \cdot i = 1\})) = aff$ -dim $(f \cap \{x. \ x \cdot i = 1\})$ = 1) + 1 **proof** (*rule aff-1d*) show $f \cap \{x. \ x \cdot i = 1\}$ face-of p by (simp add: face-S-imp-face-p that(1)) have $inverse(y \cdot i) *_R y \in f$ using $\langle 0 < y \cdot i \rangle$ (conic S) conic-mul face-of-conic that (1) y(1) by fastforce moreover have $inverse(y \cdot i) *_R y \in \{x. \ x \cdot i = 1\}$ using $\langle y \cdot i \rangle \to 0$ by (simp add: field-simps) ultimately show $f \cap \{x. \ x \cdot i = 1\} \neq \{\}$ by blast qed then show ?thesis **by** (*simp add: conic-hyperplane-eq that*) qed have card {f. f face-of $S \land aff$ -dim f = 1 + int d} = card {f. f face-of $p \land aff$ -dim f = int d} **proof** (*intro bij-betw-same-card bij-betw-imageI*) show inj-on $(\lambda f. f \cap \{x. x \cdot i = 1\}) \{f. f \text{ face-of } S \land aff\text{-dim } f = 1 + 1\}$ int dby (smt (verit) conic-hyperplane-eq inj-on-def mem-Collect-eq of-nat-less-0-iff) show $(\lambda f. f \cap \{x. x \cdot i = 1\})$ ' $\{f. f \text{ face-of } S \land aff\text{-dim } f = 1 + int d\}$ $= \{f. f \text{ face-of } p \land aff\text{-}dim f = int d\}$ using aff-1d conic-eq-f conic-face-p **by** (fastforce simp: image-iff face-S-imp-face-p dim-f-hyperplane)

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qed
}
then show ?thesis
by force
qed
finally show ?thesis.
qed
ultimately show ?thesis
by auto
qed
qed
```

corollary *Euler-poincare-special*: **fixes** p :: 'n::euclidean-space set assumes $2 \leq DIM(n)$ polytope $p \ i \in Basis$ and affp: affine hull $p = \{x, x \cdot i\}$ = 0shows $(\sum d = 0..DIM(n) - 1.(-1) \ \hat{d} * card \{f. f \text{ face-of } p \land aff\text{-dim } f = 0..DIM(n) \}$ $d\}) = 1$ proof – { fix d have eq: image((+) i) ' {f. f face-of p} \cap image((+) i) ' {f. aff-dim f = int d} = image((+) i) ' {f. f face-of p} \cap {f. aff-dim f = int d} **by** (*auto simp: aff-dim-translation-eq*) have card {f. f face-of $p \land aff$ -dim f = int d} = card (image((+) i) ' {f. f face-of $p \land aff$ -dim f = int d}) **by** (*simp add: inj-on-image card-image*) also have $\ldots = card (image((+) i) ` \{f. f face-of p\} \cap \{f. aff-dim f = int d\})$ **by** (*simp add: Collect-conj-eq image-Int inj-on-image eq*) also have $\ldots = card \{ f. f \text{ face-of } (+) i ` p \land aff\text{-}dim f = int d \}$ **by** (simp add: Collect-conj-eq faces-of-translation) finally have card $\{f, f \text{ face-of } p \land aff\text{-}dim f = int d\} = card \{f, f \text{ face-of } (+)$ $i \, `p \wedge aff$ -dim f = int d. } then have $(\sum d = 0..DIM('n) - 1.(-1) \land d * card \{f. f \text{ face-of } p \land aff\text{-}dim f = d\})$ $= (\sum_{i=1}^{n} d = 0..DIM(in) - 1.(-1) \cap d * card \{f. f \text{ face-of}(+) i \in p \land aff-dim)$ $f = int \ d\})$ by simp also have $\ldots = 1$ proof (rule Euler-Poincare-lemma) have $\bigwedge x$. $[i \in Basis; x \cdot i = 1] \implies \exists y, y \cdot i = 0 \land x = y + i$ by (metis add-cancel-left-left eq-diff-eq inner-diff-left inner-same-Basis) then show affine hull (+) $i \cdot p = \{x. \ x \cdot i = 1\}$ using $\langle i \in Basis \rangle$ unfolding affine-hull-translation affp by (auto simp: algebra-simps) **qed** (use assms polytope-translation-eq **in** auto) finally show ?thesis .

qed

1.7 Now Euler-Poincare for a general full-dimensional polytope

theorem Euler-Poincare-full: **fixes** *p* ::: '*n*::*euclidean-space* set assumes polytope p aff-dim p = DIM(n)shows $(\sum d = 0..DIM(n). (-1) \land d * (card \{f. f face-of p \land aff-dim f = d\}))$ = 1proof – define augm:: $n \Rightarrow n \times real$ where $augm \equiv \lambda x. (x, \theta)$ define S where $S \equiv augm ' p$ obtain i::'n where $i: i \in Basis$ by (meson SOME-Basis) have bounded-linear augm by (auto simp: augm-def bounded-linearI') then have polytope S unfolding S-def using polytope-linear-image (polytope p) bounded-linear.linear by blast have face-pS: $\bigwedge F$. F face-of $p \longleftrightarrow$ augm 'F face-of S using S-def \langle bounded-linear augm \rangle augm-def bounded-linear.linear face-of-linear-image inj-on-def by blast have aff-dim-eq[simp]: aff-dim (augm 'F) = aff-dim F for F using (bounded-linear augm) aff-dim-injective-linear-image bounded-linear linear unfolding augm-def inj-on-def by blast have *: {F. F face-of $S \land aff$ -dim F = int d} = (image augm) ' {F. F face-of p \land aff-dim F = int d(is ?lhs = ?rhs) for d proof have $\bigwedge G$. $\llbracket G \text{ face-of } S; \text{ aff-dim } G = int d \rrbracket$ $\implies \exists F. F \text{ face-of } p \land aff\text{-dim } F = int \ d \land G = augm \ 'F$ by (metis face-pS S-def aff-dim-eq face-of-imp-subset subset-image E) **then show** $?lhs \subseteq ?rhs$ **by** (*auto simp: image-iff*) $\mathbf{qed} \ (auto \ simp: \ image-iff \ face-pS)$ have ceqc: card $\{f. f \text{ face-of } S \land aff\text{-}dim f = int d\} = card \{f. f \text{ face-of } p \land$ aff-dim f = int d for d unfolding * **by** (rule card-image) (auto simp: inj-on-def augm-def) have $(\sum d = 0..DIM('n \times real) - 1. (-1) \land d * int (card {f. f face-of S \land })$ $aff-dim f = int d\}) = 1$ **proof** (*rule Euler-poincare-special*) show $2 \leq DIM('n \times real)$ by *auto* have $snd\theta$: $(a, b) \in affine hull S \implies b = 0$ for a busing S-def (bounded-linear augm) affine-hull-linear-image augm-def by blast **moreover have** $\bigwedge a. (a, 0) \in affine hull S$ using S-def <bounded-linear augm> aff-dim-eq-full affine-hull-linear-image assms(2) augm-def by blast ultimately show affine hull $S = \{x. x \cdot (0::'n, 1::real) = 0\}$

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by auto
qed (auto simp: <polytope S> Basis-prod-def)
then show ?thesis
by (simp add: ceqc)
qed
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In particular, the Euler relation in 3 dimensions

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corollary Euler-relation:
  fixes p ::: 'n::euclidean-space set
 assumes polytope p aff-dim p = 3 DIM(n) = 3
 shows (card {v. v face-of p \land aff-dim v = 0} + card {f. f face-of p \land aff-dim f
= 2) - card {e. e face-of p \land aff-dim e = 1} = 2
proof –
 have \bigwedge x. [x \text{ face-of } p; \text{ aff-dim } x = 3]] \implies x = p
   using assms by (metis face-of-aff-dim-lt less-irrefl polytope-imp-convex)
 then have 3: \{f. f \text{ face-of } p \land aff\text{-}dim f = 3\} = \{p\}
   using assms by (auto simp: face-of-refl polytope-imp-convex)
 have (\sum d = 0..3. (-1) \land d * int (card \{f. f face-of p \land aff-dim f = int d\})) =
1
   using Euler-Poincare-full [of p] assms by simp
  then show ?thesis
   by (simp add: sum.atLeast0-atMost-Suc-shift numeral-3-eq-3 3)
qed
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end

References

- I. Lakatos. Proofs and Refutations: The Logic of Mathematical Discovery. 1976.
- [2] J. Lawrence. A short proof of Euler's relation for convex polytopes. Canadian Mathematical Bulletin, 40(4):471–474, 1997.