

# Earley

Martin Rau

December 9, 2023

## Abstract

In 1968 Earley [1] introduced his parsing algorithm capable of parsing all context-free grammars in cubic space and time. This entry contains a formalization of an executable Earley parser. We base our development on Jones' [2] extensive paper proof of Earley's recognizer and the formalization of context-free grammars and derivations of Obua [4] [3]. We implement and prove correct a functional recognizer modeling Earley's original imperative implementation and extend it with the necessary data structures to enable the construction of parse trees following the work of Scott [5]. We then develop a functional algorithm that builds a single parse tree and prove its correctness. Finally, we generalize this approach to an algorithm for a complete parse forest and prove soundness.

## Contents

<b>1</b>	<b>Slightly adjusted content from AFP/LocalLexing</b>	<b>2</b>
<b>2</b>	<b>Adjusted content from AFP/LocalLexing</b>	<b>5</b>
<b>3</b>	<b>Adjusted content from AFP/LocalLexing</b>	<b>6</b>
<b>4</b>	<b>Additional derivation lemmas</b>	<b>8</b>
<b>5</b>	<b>Slices</b>	<b>9</b>
<b>6</b>	<b>Earley recognizer</b>	<b>10</b>
6.1	Earley items . . . . .	10
6.2	Well-formedness . . . . .	11
6.3	Soundness . . . . .	12
6.4	Completeness . . . . .	13
6.5	Correctness . . . . .	13
6.6	Finiteness . . . . .	13

<b>7</b>	<b>Earley recognizer</b>	<b>14</b>
7.1	Earley fixpoint . . . . .	14
7.2	Monotonicity and Absorption . . . . .	15
7.3	Soundness . . . . .	17
7.4	Completeness . . . . .	18
7.5	Correctness . . . . .	19
<b>8</b>	<b>Earley recognizer</b>	<b>19</b>
8.1	List auxiliaries . . . . .	19
8.2	Definitions . . . . .	20
8.3	Bin lemmas . . . . .	23
8.4	Well-formed bins . . . . .	26
8.5	Soundness . . . . .	31
8.6	Completeness . . . . .	33
8.7	Correctness . . . . .	35
<b>9</b>	<b>Earley parser</b>	<b>36</b>
9.1	Pointer lemmas . . . . .	36
9.2	Common Definitions . . . . .	38
9.3	foldl lemmas . . . . .	40
9.4	Parse tree . . . . .	41
9.5	those, map, map option lemmas . . . . .	44
9.6	Parse trees . . . . .	45
<b>10</b>	<b>Epsilon productions</b>	<b>49</b>
<b>11</b>	<b>Example 1: Addition</b>	<b>49</b>
<b>12</b>	<b>Example 2: Cyclic reduction pointers</b>	<b>50</b>
	<b>theory</b> <i>Limit</i>	
	<b>imports</b> <i>Main</i>	
	<b>begin</b>	

## 1 Slightly adjusted content from AFP/LocalLexing

```
fun funpower :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  nat  $\Rightarrow$  ('a  $\Rightarrow$  'a) where
  funpower f 0 x = x
| funpower f (Suc n) x = f (funpower f n x)
```

```
definition natUnion :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  'a set where
  natUnion f =  $\bigcup$  { f n | n. True }
```

```
definition limit :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  limit f x = natUnion ( $\lambda$  n. funpower f n x)
```

**definition** *setmonotone* :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool **where**  
*setmonotone* f = ( $\forall X. X \subseteq f X$ )

**lemma** *subset-setmonotone*: *setmonotone* f  $\Longrightarrow X \subseteq f X$   
 <proof>

**lemma** *funpower-id* [*simp*]: *funpower* id n = id  
 <proof>

**lemma** *limit-id* [*simp*]: *limit* id = id  
 <proof>

**definition** *chain* :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  bool  
**where**  
*chain* C = ( $\forall i. C i \subseteq C (i + 1)$ )

**definition** *continuous* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool  
**where**  
*continuous* f = ( $\forall C. \text{chain } C \longrightarrow (\text{chain } (f \circ C) \wedge f (\text{natUnion } C) = \text{natUnion } (f \circ C))$ )

**lemma** *natUnion-upperbound*:  
 ( $\bigwedge n. f n \subseteq G$ )  $\Longrightarrow (\text{natUnion } f) \subseteq G$   
 <proof>

**lemma** *funpower-upperbound*:  
 ( $\bigwedge I. I \subseteq G \Longrightarrow f I \subseteq G$ )  $\Longrightarrow I \subseteq G \Longrightarrow \text{funpower } f n I \subseteq G$   
 <proof>

**lemma** *limit-upperbound*:  
 ( $\bigwedge I. I \subseteq G \Longrightarrow f I \subseteq G$ )  $\Longrightarrow I \subseteq G \Longrightarrow \text{limit } f I \subseteq G$   
 <proof>

**lemma** *elem-limit-simp*:  $x \in \text{limit } f X = (\exists n. x \in \text{funpower } f n X)$   
 <proof>

**definition** *pointwise* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool **where**  
*pointwise* f = ( $\forall X. f X = \bigcup \{ f \{x\} \mid x. x \in X \}$ )

**lemma** *natUnion-elem*:  $x \in f n \Longrightarrow x \in \text{natUnion } f$   
 <proof>

**lemma** *limit-elem*:  $x \in \text{funpower } f n X \Longrightarrow x \in \text{limit } f X$   
 <proof>

**definition** *pointbase* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  'a set  $\Rightarrow$  'b set **where**  
*pointbase* F I =  $\bigcup \{ F X \mid X. \text{finite } X \wedge X \subseteq I \}$

**definition** *pointbased* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool **where**

$pointbased\ f = (\exists\ F. f = pointbase\ F)$

**lemma** *chain-implies-mono*:  $chain\ C \implies mono\ C$   
*<proof>*

**lemma** *setmonotone-implies-chain-funpower*:  
**assumes** *setmonotone*:  $setmonotone\ f$   
**shows**  $chain\ (\lambda\ n. funpower\ f\ n\ I)$   
*<proof>*

**lemma** *natUnion-subset*:  $(\bigwedge\ n. \exists\ m. f\ n \subseteq g\ m) \implies natUnion\ f \subseteq natUnion\ g$   
*<proof>*

**lemma** *natUnion-eq*[*case-names Subset Superset*]:  
 $(\bigwedge\ n. \exists\ m. f\ n \subseteq g\ m) \implies (\bigwedge\ n. \exists\ m. g\ n \subseteq f\ m) \implies natUnion\ f = natUnion\ g$   
*<proof>*

**lemma** *natUnion-shift*[*symmetric*]:  
**assumes** *chain*:  $chain\ C$   
**shows**  $natUnion\ C = natUnion\ (\lambda\ n. C\ (n + m))$   
*<proof>*

**definition** *regular* ::  $('a\ set \Rightarrow 'a\ set) \Rightarrow bool$   
**where**  
 $regular\ f = (setmonotone\ f \wedge continuous\ f)$

**lemma** *regular-fixpoint*:  
**assumes** *regular*:  $regular\ f$   
**shows**  $f\ (limit\ f\ I) = limit\ f\ I$   
*<proof>*

**lemma** *fix-is-fix-of-limit*:  
**assumes** *fixpoint*:  $f\ I = I$   
**shows**  $limit\ f\ I = I$   
*<proof>*

**lemma** *limit-is-idempotent*:  $regular\ f \implies limit\ f\ (limit\ f\ I) = limit\ f\ I$   
*<proof>*

**definition** *mk-regular1* ::  $('b \Rightarrow 'a \Rightarrow bool) \Rightarrow ('b \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a\ set \Rightarrow 'a\ set$   
**where**  
 $mk-regular1\ P\ F\ I = I \cup \{ F\ q\ x \mid q\ x. x \in I \wedge P\ q\ x \}$

**definition** *mk-regular2* ::  $('b \Rightarrow 'a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('b \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a\ set \Rightarrow 'a\ set$   
**where**  
 $mk-regular2\ P\ F\ I = I \cup \{ F\ q\ x\ y \mid q\ x\ y. x \in I \wedge y \in I \wedge P\ q\ x\ y \}$

**end**

```

theory CFG
  imports Main
begin

```

## 2 Adjusted content from AFP/LocalLexing

```

type-synonym 'a rule = 'a × 'a list

```

```

type-synonym 'a rules = 'a rule list

```

```

type-synonym 'a sentence = 'a list

```

```

datatype 'a cfg =
  CFG (ℳ : 'a list) (ℑ : 'a list) (ℛ : 'a rules) (℄ : 'a)

```

```

definition disjunct-symbols :: 'a cfg ⇒ bool where
  disjunct-symbols ℒ ≡ set (ℳ ℒ) ∩ set (ℑ ℒ) = {}

```

```

definition valid-startsymbol :: 'a cfg ⇒ bool where
  valid-startsymbol ℒ ≡ ℄ ℒ ∈ set (ℳ ℒ)

```

```

definition valid-rules :: 'a cfg ⇒ bool where
  valid-rules ℒ ≡ ∀ (N, α) ∈ set (ℛ ℒ). N ∈ set (ℳ ℒ) ∧ (∀ s ∈ set α. s ∈ set (ℳ ℒ) ∪ set (ℑ ℒ))

```

```

definition distinct-rules :: 'a cfg ⇒ bool where
  distinct-rules ℒ ≡ distinct (ℛ ℒ)

```

```

definition wf-ℒ :: 'a cfg ⇒ bool where
  wf-ℒ ℒ ≡ disjunct-symbols ℒ ∧ valid-startsymbol ℒ ∧ valid-rules ℒ ∧ distinct-rules ℒ

```

```

lemmas wf-ℒ-defs = wf-ℒ-def valid-rules-def valid-startsymbol-def disjunct-symbols-def
  distinct-rules-def

```

```

definition is-terminal :: 'a cfg ⇒ 'a ⇒ bool where
  is-terminal ℒ x ≡ x ∈ set (ℑ ℒ)

```

```

definition is-nonterminal :: 'a cfg ⇒ 'a ⇒ bool where
  is-nonterminal ℒ x ≡ x ∈ set (ℳ ℒ)

```

```

definition is-symbol :: 'a cfg ⇒ 'a ⇒ bool where
  is-symbol ℒ x ≡ is-terminal ℒ x ∨ is-nonterminal ℒ x

```

```

definition wf-sentence :: 'a cfg ⇒ 'a sentence ⇒ bool where
  wf-sentence ℒ ω ≡ ∀ x ∈ set ω. is-symbol ℒ x

```

```

lemma is-nonterminal-startsymbol:
  wf-ℒ ℒ ⇒ is-nonterminal ℒ (℄ ℒ)

```

*<proof>*

**definition** *is-word* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**  
*is-word*  $\mathcal{G}$   $\omega \equiv \forall x \in \text{set } \omega. \text{is-terminal } \mathcal{G} x$

**definition** *derives1* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**  
*derives1*  $\mathcal{G} u v \equiv \exists x y N \alpha.$   
 $u = x @ [N] @ y \wedge$   
 $v = x @ \alpha @ y \wedge$   
 $(N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G})$

**definition** *derivations1* :: 'a cfg  $\Rightarrow$  ('a sentence  $\times$  'a sentence) set **where**  
*derivations1*  $\mathcal{G} \equiv \{ (u,v) \mid u v. \text{derives1 } \mathcal{G} u v \}$

**definition** *derivations* :: 'a cfg  $\Rightarrow$  ('a sentence  $\times$  'a sentence) set **where**  
*derivations*  $\mathcal{G} \equiv (\text{derivations1 } \mathcal{G})^*$

**definition** *derives* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**  
*derives*  $\mathcal{G} u v \equiv ((u, v) \in \text{derivations } \mathcal{G})$

**end**

**theory** *Derivations*

**imports**

*CFG*

**begin**

### 3 Adjusted content from AFP/LocalLexing

**type-synonym** 'a derivation = (nat  $\times$  'a rule) list

**lemma** *is-word-empty*: *is-word*  $\mathcal{G} []$  *<proof>*

**lemma** *derives1-implies-derives[simp]*:  
*derives1*  $\mathcal{G} a b \Longrightarrow \text{derives } \mathcal{G} a b$   
*<proof>*

**lemma** *derives-trans*:  
*derives*  $\mathcal{G} a b \Longrightarrow \text{derives } \mathcal{G} b c \Longrightarrow \text{derives } \mathcal{G} a c$   
*<proof>*

**lemma** *derives1-eq-derivations1*:  
*derives1*  $\mathcal{G} x y = ((x, y) \in \text{derivations1 } \mathcal{G})$   
*<proof>*

**lemma** *derives-induct[consumes 1, case-names Base Step]*:  
**assumes** *derives*: *derives*  $\mathcal{G} a b$   
**assumes** *Pa*:  $P a$   
**assumes** *induct*:  $\bigwedge y z. \text{derives } \mathcal{G} a y \Longrightarrow \text{derives1 } \mathcal{G} y z \Longrightarrow P y \Longrightarrow P z$   
**shows**  $P b$

*<proof>*

**definition** *Derives1* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a rule  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**

$Derives1 \mathcal{G} u i r v \equiv \exists x y N \alpha.$   
 $u = x @ [N] @ y \wedge$   
 $v = x @ \alpha @ y \wedge$   
 $(N, \alpha) \in set (\mathfrak{R} \mathcal{G}) \wedge r = (N, \alpha) \wedge i = length x$

**lemma** *Derives1-split*:

$Derives1 \mathcal{G} u i r v \Longrightarrow \exists x y. u = x @ [fst r] @ y \wedge v = x @ (snd r) @ y \wedge$   
 $length x = i$   
*<proof>*

**lemma** *Derives1-implies-derives1*:  $Derives1 \mathcal{G} u i r v \Longrightarrow derives1 \mathcal{G} u v$   
*<proof>*

**lemma** *derives1-implies-Derives1*:  $derives1 \mathcal{G} u v \Longrightarrow \exists i r. Derives1 \mathcal{G} u i r v$   
*<proof>*

**fun** *Derivation* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a derivation  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**

$Derivation - a [] b = (a = b)$   
 $| Derivation \mathcal{G} a (d \# D) b = (\exists x. Derives1 \mathcal{G} a (fst d) (snd d) x \wedge Derivation \mathcal{G} x D b)$

**lemma** *Derivation-implies-derives*:  $Derivation \mathcal{G} a D b \Longrightarrow derives \mathcal{G} a b$   
*<proof>*

**lemma** *Derivation-Derives1*:  $Derivation \mathcal{G} a S y \Longrightarrow Derives1 \mathcal{G} y i r z \Longrightarrow$   
 $Derivation \mathcal{G} a (S @ [(i, r)]) z$   
*<proof>*

**lemma** *derives-implies-Derivation*:  $derives \mathcal{G} a b \Longrightarrow \exists D. Derivation \mathcal{G} a D b$   
*<proof>*

**lemma** *rule-nonterminal-type[simp]*:  $wf\text{-}\mathcal{G} \mathcal{G} \Longrightarrow (N, \alpha) \in set (\mathfrak{R} \mathcal{G}) \Longrightarrow is\text{-nonterminal} \mathcal{G} N$   
*<proof>*

**lemma** *Derives1-rule [elim]*:  $Derives1 \mathcal{G} a i r b \Longrightarrow r \in set (\mathfrak{R} \mathcal{G})$   
*<proof>*

**lemma** *is-terminal-nonterminal*:  $wf\text{-}\mathcal{G} \mathcal{G} \Longrightarrow is\text{-terminal} \mathcal{G} x \Longrightarrow is\text{-nonterminal} \mathcal{G} x \Longrightarrow False$   
*<proof>*

**lemma** *is-word-is-terminal*:  $i < length u \Longrightarrow is\text{-word} \mathcal{G} u \Longrightarrow is\text{-terminal} \mathcal{G} (u ! i)$

*<proof>*

**lemma** *Derivation-append*:  $\text{Derivation } \mathcal{G} \ a \ (D@E) \ c = (\exists \ b. \text{Derivation } \mathcal{G} \ a \ D \ b \wedge \text{Derivation } \mathcal{G} \ b \ E \ c)$   
*<proof>*

**lemma** *Derivation-implies-append*:  
 $\text{Derivation } \mathcal{G} \ a \ D \ b \implies \text{Derivation } \mathcal{G} \ b \ E \ c \implies \text{Derivation } \mathcal{G} \ a \ (D@E) \ c$   
*<proof>*

## 4 Additional derivation lemmas

**lemma** *Derives1-prepend*:  
**assumes**  $\text{Derives1 } \mathcal{G} \ u \ i \ r \ v$   
**shows**  $\text{Derives1 } \mathcal{G} \ (w@u) \ (i + \text{length } w) \ r \ (w@v)$   
*<proof>*

**lemma** *Derivation-prepend*:  
 $\text{Derivation } \mathcal{G} \ b \ D \ b' \implies \text{Derivation } \mathcal{G} \ (a@b) \ (\text{map } (\lambda(i, r). \ (i + \text{length } a, r)) \ D) \ (a@b')$   
*<proof>*

**lemma** *Derives1-append*:  
**assumes**  $\text{Derives1 } \mathcal{G} \ u \ i \ r \ v$   
**shows**  $\text{Derives1 } \mathcal{G} \ (u@w) \ i \ r \ (v@w)$   
*<proof>*

**lemma** *Derivation-append'*:  
 $\text{Derivation } \mathcal{G} \ a \ D \ a' \implies \text{Derivation } \mathcal{G} \ (a@b) \ D \ (a'@b)$   
*<proof>*

**lemma** *Derivation-append-rewrite*:  
**assumes**  $\text{Derivation } \mathcal{G} \ a \ D \ (b @ c @ d) \ \text{Derivation } \mathcal{G} \ c \ E \ c'$   
**shows**  $\exists F. \ \text{Derivation } \mathcal{G} \ a \ F \ (b @ c' @ d)$   
*<proof>*

**lemma** *derives1-if-valid-rule*:  
 $(N, \alpha) \in \text{set } (\mathfrak{A} \ \mathcal{G}) \implies \text{derives1 } \mathcal{G} \ [N] \ \alpha$   
*<proof>*

**lemma** *derives-if-valid-rule*:  
 $(N, \alpha) \in \text{set } (\mathfrak{A} \ \mathcal{G}) \implies \text{derives } \mathcal{G} \ [N] \ \alpha$   
*<proof>*

**lemma** *Derivation-from-empty*:  
 $\text{Derivation } \mathcal{G} \ [] \ D \ a \implies a = []$   
*<proof>*

**lemma** *Derivation-concat-split*:



$Derivation\ \mathcal{G}\ (a@b)\ D\ c \implies \exists E\ F\ a'\ b'.\ Derivation\ \mathcal{G}\ a\ E\ a' \wedge Derivation\ \mathcal{G}\ b\ F\ b' \wedge$   
 $c = a' @ b' \wedge length\ E \leq length\ D \wedge length\ F \leq length\ D$   
 <proof>

**lemma** *Derivation-@1*:

**assumes**  $Derivation\ \mathcal{G}\ [\mathfrak{S}\ \mathcal{G}]\ D\ \omega\ is-word\ \mathcal{G}\ \omega\ wf-\mathcal{G}\ \mathcal{G}$   
**shows**  $\exists \alpha\ E.\ Derivation\ \mathcal{G}\ \alpha\ E\ \omega \wedge (\mathfrak{S}\ \mathcal{G}, \alpha) \in set\ (\mathfrak{R}\ \mathcal{G})$   
 <proof>

**end**

**theory** *Earley*

**imports**

*Derivations*

**begin**

## 5 Slices

**fun** *slice* ::  $nat \Rightarrow nat \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**

$slice\ -\ -\ [] = []$   
 $| slice\ -\ 0\ (x\#\!xs) = []$   
 $| slice\ 0\ (Suc\ b)\ (x\#\!xs) = x\ \#\ slice\ 0\ b\ xs$   
 $| slice\ (Suc\ a)\ (Suc\ b)\ (x\#\!xs) = slice\ a\ b\ xs$

**lemma** *slice-drop-take*:

$slice\ a\ b\ xs = drop\ a\ (take\ b\ xs)$   
 <proof>

**lemma** *slice-append-aux*:

$Suc\ b \leq c \implies slice\ (Suc\ b)\ c\ (x\ \#\ xs) = slice\ b\ (c-1)\ xs$   
 <proof>

**lemma** *slice-concat*:

$a \leq b \implies b \leq c \implies slice\ a\ b\ xs\ @\ slice\ b\ c\ xs = slice\ a\ c\ xs$   
 <proof>

**lemma** *slice-concat-Ex*:

$a \leq c \implies slice\ a\ c\ xs = ys\ @\ zs \implies \exists b.\ ys = slice\ a\ b\ xs \wedge zs = slice\ b\ c\ xs \wedge$   
 $a \leq b \wedge b \leq c$   
 <proof>

**lemma** *slice-nth*:

$a < length\ xs \implies slice\ a\ (a+1)\ xs = [xs!a]$   
 <proof>

**lemma** *slice-append-nth*:

$a \leq b \implies b < length\ xs \implies slice\ a\ b\ xs\ @\ [xs!b] = slice\ a\ (b+1)\ xs$   
 <proof>

**lemma** *slice-empty*:  
 $b \leq a \implies \text{slice } a \ b \ xs = []$   
 ⟨proof⟩

**lemma** *slice-id[simp]*:  
 $\text{slice } 0 \ (\text{length } xs) \ xs = xs$   
 ⟨proof⟩

**lemma** *slice-singleton*:  
 $b \leq \text{length } xs \implies [x] = \text{slice } a \ b \ xs \implies b = a + 1$   
 ⟨proof⟩

## 6 Earley recognizer

### 6.1 Earley items

**definition** *rule-head* :: 'a rule  $\Rightarrow$  'a **where**  
*rule-head*  $\equiv$  *fst*

**definition** *rule-body* :: 'a rule  $\Rightarrow$  'a list **where**  
*rule-body*  $\equiv$  *snd*

**datatype** 'a item =  
*Item* (*item-rule*: 'a rule) (*item-dot* : nat) (*item-origin* : nat) (*item-end* : nat)

**definition** *item-rule-head* :: 'a item  $\Rightarrow$  'a **where**  
*item-rule-head*  $x \equiv$  *rule-head* (*item-rule*  $x$ )

**definition** *item-rule-body* :: 'a item  $\Rightarrow$  'a sentence **where**  
*item-rule-body*  $x \equiv$  *rule-body* (*item-rule*  $x$ )

**definition** *item- $\alpha$*  :: 'a item  $\Rightarrow$  'a sentence **where**  
*item- $\alpha$*   $x \equiv$  *take* (*item-dot*  $x$ ) (*item-rule-body*  $x$ )

**definition** *item- $\beta$*  :: 'a item  $\Rightarrow$  'a sentence **where**  
*item- $\beta$*   $x \equiv$  *drop* (*item-dot*  $x$ ) (*item-rule-body*  $x$ )

**definition** *is-complete* :: 'a item  $\Rightarrow$  bool **where**  
*is-complete*  $x \equiv$  *item-dot*  $x \geq$  *length* (*item-rule-body*  $x$ )

**definition** *next-symbol* :: 'a item  $\Rightarrow$  'a option **where**  
*next-symbol*  $x \equiv$  if *is-complete*  $x$  then *None* else *Some* (*item-rule-body*  $x$  ! *item-dot*  $x$ )

**lemmas** *item-defs* = *item-rule-head-def* *item-rule-body-def* *item- $\alpha$ -def* *item- $\beta$ -def*  
*rule-head-def* *rule-body-def*

**definition** *is-finished* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**  
*is-finished*  $\mathcal{G} \ \omega \ x \equiv$

*item-rule-head*  $x = \mathfrak{S} \mathcal{G} \wedge$   
*item-origin*  $x = 0 \wedge$   
*item-end*  $x = \text{length } \omega \wedge$   
*is-complete*  $x$

**definition** *recognizing*  $:: 'a \text{ item set} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow \text{bool}$  **where**  
*recognizing*  $I \mathcal{G} \omega \equiv \exists x \in I. \text{is-finished } \mathcal{G} \omega x$

**inductive-set** *Earley*  $:: 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set}$

**for**  $\mathcal{G} :: 'a \text{ cfg}$  **and**  $\omega :: 'a \text{ sentence}$  **where**

*Init*:  $r \in \text{set } (\mathfrak{R} \mathcal{G}) \Longrightarrow \text{fst } r = \mathfrak{S} \mathcal{G} \Longrightarrow$

*Item*  $r \ 0 \ 0 \ 0 \in \text{Earley } \mathcal{G} \ \omega$

| *Scan*:  $x = \text{Item } r \ b \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

$\omega!j = a \Longrightarrow j < \text{length } \omega \Longrightarrow \text{next-symbol } x = \text{Some } a \Longrightarrow$

*Item*  $r \ (b + 1) \ i \ (j + 1) \in \text{Earley } \mathcal{G} \ \omega$

| *Predict*:  $x = \text{Item } r \ b \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

$r' \in \text{set } (\mathfrak{R} \mathcal{G}) \Longrightarrow \text{next-symbol } x = \text{Some } (\text{rule-head } r') \Longrightarrow$

*Item*  $r' \ 0 \ j \ j \in \text{Earley } \mathcal{G} \ \omega$

| *Complete*:  $x = \text{Item } r_x \ b_x \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow y = \text{Item } r_y \ b_y \ j \ k \Longrightarrow$   
 $y \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

*is-complete*  $y \Longrightarrow \text{next-symbol } x = \text{Some } (\text{item-rule-head } y) \Longrightarrow$

*Item*  $r_x \ (b_x + 1) \ i \ k \in \text{Earley } \mathcal{G} \ \omega$

## 6.2 Well-formedness

**definition** *wf-item*  $:: 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item} \Rightarrow \text{bool}$  **where**

*wf-item*  $\mathcal{G} \ \omega \ x \equiv$

*item-rule*  $x \in \text{set } (\mathfrak{R} \mathcal{G}) \wedge$

*item-dot*  $x \leq \text{length } (\text{item-rule-body } x) \wedge$

*item-origin*  $x \leq \text{item-end } x \wedge$

*item-end*  $x \leq \text{length } \omega$

**lemma** *wf-Init*:

**assumes**  $r \in \text{set } (\mathfrak{R} \mathcal{G}) \ \text{fst } r = \mathfrak{S} \mathcal{G}$

**shows** *wf-item*  $\mathcal{G} \ \omega \ (\text{Item } r \ 0 \ 0 \ 0)$

*<proof>*

**lemma** *wf-Scan*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \omega!j = a \ j < \text{length } \omega \ \text{next-symbol } x = \text{Some } a$

**shows** *wf-item*  $\mathcal{G} \ \omega \ (\text{Item } r \ (b + 1) \ i \ (j+1))$

*<proof>*

**lemma** *wf-Predict*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ r' \in \text{set } (\mathfrak{R} \mathcal{G}) \ \text{next-symbol } x = \text{Some } (\text{rule-head } r')$

**shows** *wf-item*  $\mathcal{G} \ \omega \ (\text{Item } r' \ 0 \ j \ j)$

*<proof>*

**lemma** *wf-Complete*:

**assumes**  $x = \text{Item } r_x \ b_x \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ y = \text{Item } r_y \ b_y \ j \ k \ \text{wf-item } \mathcal{G} \ \omega \ y$   
**assumes** *is-complete*  $y \ \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$   
**shows**  $\text{wf-item } \mathcal{G} \ \omega \ (\text{Item } r_x \ (b_x + 1) \ i \ k)$   
*<proof>*

**lemma** *wf-Earley*:

**assumes**  $x \in \text{Earley } \mathcal{G} \ \omega$   
**shows**  $\text{wf-item } \mathcal{G} \ \omega \ x$   
*<proof>*

### 6.3 Soundness

**definition** *sound-item* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**

*sound-item*  $\mathcal{G} \ \omega \ x \equiv \text{derives } \mathcal{G} \ [\text{item-rule-head } x] \ (\text{slice } (\text{item-origin } x) \ (\text{item-end } x) \ \omega \ @ \ \text{item-}\beta \ x)$

**lemma** *sound-Init*:

**assumes**  $r \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{fst } r = \mathfrak{S} \ \mathcal{G}$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r \ 0 \ 0 \ 0)$   
*<proof>*

**lemma** *sound-Scan*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $\omega!j = a \ j < \text{length } \omega \ \text{next-symbol } x = \text{Some } a$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r \ (b+1) \ i \ (j+1))$   
*<proof>*

**lemma** *sound-Predict*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $r' \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{next-symbol } x = \text{Some } (\text{rule-head } r')$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r' \ 0 \ j \ j)$   
*<proof>*

**lemma** *sound-Complete*:

**assumes**  $x = \text{Item } r_x \ b_x \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $y = \text{Item } r_y \ b_y \ j \ k \ \text{wf-item } \mathcal{G} \ \omega \ y \ \text{sound-item } \mathcal{G} \ \omega \ y$   
**assumes** *is-complete*  $y \ \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r_x \ (b_x + 1) \ i \ k)$   
*<proof>*

**lemma** *sound-Earley*:

**assumes**  $x \in \text{Earley } \mathcal{G} \ \omega \ \text{wf-item } \mathcal{G} \ \omega \ x$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ x$   
*<proof>*

**theorem** *soundness-Earley*:

**assumes** *recognizing*  $(\text{Earley } \mathcal{G} \ \omega) \ \mathcal{G} \ \omega$   
**shows**  $\text{derives } \mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$

*<proof>*

## 6.4 Completeness

**definition** *partially-completed* :: *nat*  $\Rightarrow$  *'a cfg*  $\Rightarrow$  *'a sentence*  $\Rightarrow$  *'a item set*  $\Rightarrow$  (*'a derivation*  $\Rightarrow$  *bool*)  $\Rightarrow$  *bool* **where**

*partially-completed* *k* *G*  $\omega$  *E* *P*  $\equiv \forall r b i' i j x a D.$   
 $i \leq j \wedge j \leq k \wedge k \leq \text{length } \omega \wedge$   
 $x = \text{Item } r b i' i \wedge x \in E \wedge \text{next-symbol } x = \text{Some } a \wedge$   
 $\text{Derivation } \mathcal{G} [a] D (\text{slice } i j \omega) \wedge P D \longrightarrow$   
 $\text{Item } r (b+1) i' j \in E$

**lemma** *partially-completed-upto*:

**assumes**  $j \leq k \wedge k \leq \text{length } \omega$   
**assumes**  $x = \text{Item } (N, \alpha) d i j x \in I \forall x \in I. \text{wf-item } \mathcal{G} \omega x$   
**assumes**  $\text{Derivation } \mathcal{G} (\text{item-}\beta x) D (\text{slice } j k \omega)$   
**assumes** *partially-completed* *k* *G*  $\omega$  *I* ( $\lambda D'. \text{length } D' \leq \text{length } D$ )  
**shows**  $\text{Item } (N, \alpha) (\text{length } \alpha) i k \in I$   
*<proof>*

**lemma** *partially-completed-Earley-k*:

**assumes** *wf-G* *G*  
**shows** *partially-completed* *k* *G*  $\omega$  (*Earley* *G*  $\omega$ ) ( $\lambda-. \text{True}$ )  
*<proof>*

**lemma** *partially-completed-Earley*:

*wf-G* *G*  $\implies$  *partially-completed* ( $\text{length } \omega$ ) *G*  $\omega$  (*Earley* *G*  $\omega$ ) ( $\lambda-. \text{True}$ )  
*<proof>*

**theorem** *completeness-Earley*:

**assumes** *derives* *G* [ $\mathfrak{S}$  *G*]  $\omega$  *is-word* *G*  $\omega$  *wf-G* *G*  
**shows** *recognizing* (*Earley* *G*  $\omega$ ) *G*  $\omega$   
*<proof>*

## 6.5 Correctness

**theorem** *correctness-Earley*:

**assumes** *wf-G* *G* *is-word* *G*  $\omega$   
**shows** *recognizing* (*Earley* *G*  $\omega$ ) *G*  $\omega \iff$  *derives* *G* [ $\mathfrak{S}$  *G*]  $\omega$   
*<proof>*

## 6.6 Finiteness

**lemma** *finiteness-empty*:

*set* ( $\mathfrak{R}$  *G*) =  $\{\}$   $\implies$  *finite*  $\{ x \mid x. \text{wf-item } \mathcal{G} \omega x \}$   
*<proof>*

**fun** *item-intro* :: *'a rule*  $\times$  *nat*  $\times$  *nat*  $\times$  *nat*  $\Rightarrow$  *'a item* **where**

*item-intro* (*rule*, *dot*, *origin*, *ends*) = *Item* *rule* *dot* *origin* *ends*

**lemma** *finiteness-nonempty*:  
**assumes**  $set (\mathfrak{R} \mathcal{G}) \neq \{\}$   
**shows**  $finite \{ x \mid x. wf\text{-item } \mathcal{G} \ \omega \ x \}$   
 $\langle proof \rangle$

**lemma** *finiteness-UNIV-wf-item*:  
 $finite \{ x \mid x. wf\text{-item } \mathcal{G} \ \omega \ x \}$   
 $\langle proof \rangle$

**theorem** *finiteness-Earley*:  
 $finite (Earley \ \mathcal{G} \ \omega)$   
 $\langle proof \rangle$

**end**  
**theory** *Earley-Fixpoint*  
**imports**  
*Earley*  
*Limit*  
**begin**

## 7 Earley recognizer

### 7.1 Earley fixpoint

**definition** *init-item* :: 'a rule  $\Rightarrow$  nat  $\Rightarrow$  'a item **where**  
 $init\text{-item } r \ k \equiv Item \ r \ 0 \ k \ k$

**definition** *inc-item* :: 'a item  $\Rightarrow$  nat  $\Rightarrow$  'a item **where**  
 $inc\text{-item } x \ k \equiv Item \ (item\text{-rule } x) \ (item\text{-dot } x + 1) \ (item\text{-origin } x) \ k$

**definition** *bin* :: 'a item set  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**  
 $bin \ I \ k \equiv \{ x . x \in I \wedge item\text{-end } x = k \}$

**definition** *Init<sub>F</sub>* :: 'a cfg  $\Rightarrow$  'a item set **where**  
 $Init_F \ \mathcal{G} \equiv \{ init\text{-item } r \ 0 \mid r. r \in set (\mathfrak{R} \ \mathcal{G}) \wedge fst \ r = (\mathfrak{S} \ \mathcal{G}) \}$

**definition** *Scan<sub>F</sub>* :: nat  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item set  $\Rightarrow$  'a item set **where**  
 $Scan_F \ k \ \omega \ I \equiv \{ inc\text{-item } x \ (k+1) \mid x \ a. \$   
 $x \in bin \ I \ k \wedge$   
 $\omega!k = a \wedge$   
 $k < length \ \omega \wedge$   
 $next\text{-symbol } x = Some \ a \}$

**definition** *Predict<sub>F</sub>* :: nat  $\Rightarrow$  'a cfg  $\Rightarrow$  'a item set  $\Rightarrow$  'a item set **where**  
 $Predict_F \ k \ \mathcal{G} \ I \equiv \{ init\text{-item } r \ k \mid r \ x. \$   
 $r \in set (\mathfrak{R} \ \mathcal{G}) \wedge$   
 $x \in bin \ I \ k \wedge$   
 $next\text{-symbol } x = Some \ (rule\text{-head } r) \}$

**definition**  $Complete_F :: nat \Rightarrow 'a \text{ item set} \Rightarrow 'a \text{ item set}$  **where**

$Complete_F k I \equiv \{ \text{inc-item } x k \mid x y. \\ x \in \text{bin } I (\text{item-origin } y) \wedge \\ y \in \text{bin } I k \wedge \\ \text{is-complete } y \wedge \\ \text{next-symbol } x = \text{Some } (\text{item-rule-head } y) \}$

**definition**  $Earley_F\text{-bin-step} :: nat \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set} \Rightarrow 'a \text{ item set}$  **where**

$Earley_F\text{-bin-step } k \mathcal{G} \omega I \equiv I \cup \text{Scan}_F k \omega I \cup \text{Complete}_F k I \cup \text{Predict}_F k \mathcal{G} I$

**definition**  $Earley_F\text{-bin} :: nat \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set} \Rightarrow 'a \text{ item set}$  **where**

$Earley_F\text{-bin } k \mathcal{G} \omega I \equiv \text{limit } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega) I$

**fun**  $Earley_F\text{-bins} :: nat \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set}$  **where**

$Earley_F\text{-bins } 0 \mathcal{G} \omega = \text{Earley}_F\text{-bin } 0 \mathcal{G} \omega (\text{Init}_F \mathcal{G}) \\ | \text{Earley}_F\text{-bins } (\text{Suc } n) \mathcal{G} \omega = \text{Earley}_F\text{-bin } (\text{Suc } n) \mathcal{G} \omega (\text{Earley}_F\text{-bins } n \mathcal{G} \omega)$

**definition**  $Earley_F :: 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set}$  **where**

$Earley_F \mathcal{G} \omega \equiv \text{Earley}_F\text{-bins } (\text{length } \omega) \mathcal{G} \omega$

## 7.2 Monotonicity and Absorption

**lemma**  $Earley_F\text{-bin-step-empty}$ :

$Earley_F\text{-bin-step } k \mathcal{G} \omega \{\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma**  $Earley_F\text{-bin-step-setmonotone}$ :

$\text{setmonotone } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega)$   
 $\langle \text{proof} \rangle$

**lemma**  $Earley_F\text{-bin-step-continuous}$ :

$\text{continuous } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega)$   
 $\langle \text{proof} \rangle$

**lemma**  $Earley_F\text{-bin-step-regular}$ :

$\text{regular } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega)$   
 $\langle \text{proof} \rangle$

**lemma**  $Earley_F\text{-bin-idem}$ :

$Earley_F\text{-bin } k \mathcal{G} \omega (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) = \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Scan}_F\text{-bin-absorb}$ :

$\text{Scan}_F k \omega (\text{bin } I k) = \text{Scan}_F k \omega I$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Predict}_F\text{-bin-absorb}$ :

$Predict_F k \mathcal{G} (bin I k) = Predict_F k \mathcal{G} I$   
*<proof>*

**lemma** *Scan<sub>F</sub>-Un:*

$Scan_F k \omega (I \cup J) = Scan_F k \omega I \cup Scan_F k \omega J$   
*<proof>*

**lemma** *Predict<sub>F</sub>-Un:*

$Predict_F k \mathcal{G} (I \cup J) = Predict_F k \mathcal{G} I \cup Predict_F k \mathcal{G} J$   
*<proof>*

**lemma** *Scan<sub>F</sub>-sub-mono:*

$I \subseteq J \implies Scan_F k \omega I \subseteq Scan_F k \omega J$   
*<proof>*

**lemma** *Predict<sub>F</sub>-sub-mono:*

$I \subseteq J \implies Predict_F k \mathcal{G} I \subseteq Predict_F k \mathcal{G} J$   
*<proof>*

**lemma** *Complete<sub>F</sub>-sub-mono:*

$I \subseteq J \implies Complete_F k I \subseteq Complete_F k J$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bin-step-sub-mono:*

$I \subseteq J \implies Earley_F\text{-bin-step } k \mathcal{G} \omega I \subseteq Earley_F\text{-bin-step } k \mathcal{G} \omega J$   
*<proof>*

**lemma** *funpower-sub-mono:*

$I \subseteq J \implies funpower (Earley_F\text{-bin-step } k \mathcal{G} \omega) n I \subseteq funpower (Earley_F\text{-bin-step } k \mathcal{G} \omega) n J$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bin-sub-mono:*

$I \subseteq J \implies Earley_F\text{-bin } k \mathcal{G} \omega I \subseteq Earley_F\text{-bin } k \mathcal{G} \omega J$   
*<proof>*

**lemma** *Scan<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:*

$Scan_F k \omega I \subseteq Earley_F\text{-bin-step } k \mathcal{G} \omega I$   
*<proof>*

**lemma** *Predict<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:*

$Predict_F k \mathcal{G} I \subseteq Earley_F\text{-bin-step } k \mathcal{G} \omega I$   
*<proof>*

**lemma** *Complete<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:*

$Complete_F k I \subseteq Earley_F\text{-bin-step } k \mathcal{G} \omega I$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono:*



*Earley<sub>F</sub>-bin-step*  $k \mathcal{G} \omega I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
(proof)

**lemma** *Scan<sub>F</sub>-Earley<sub>F</sub>-bin-mono*:  
*Scan<sub>F</sub>*  $k \omega I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
(proof)

**lemma** *Predict<sub>F</sub>-Earley<sub>F</sub>-bin-mono*:  
*Predict<sub>F</sub>*  $k \mathcal{G} I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
(proof)

**lemma** *Complete<sub>F</sub>-Earley<sub>F</sub>-bin-mono*:  
*Complete<sub>F</sub>*  $k I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
(proof)

**lemma** *Earley<sub>F</sub>-bin-mono*:  
 $I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
(proof)

**lemma** *Init<sub>F</sub>-sub-Earley<sub>F</sub>-bins*:  
*Init<sub>F</sub>*  $\mathcal{G} \subseteq \text{Earley}_F\text{-bins } n \mathcal{G} \omega$   
(proof)

### 7.3 Soundness

**lemma** *Init<sub>F</sub>-sub-Earley*:  
*Init<sub>F</sub>*  $\mathcal{G} \subseteq \text{Earley } \mathcal{G} \omega$   
(proof)

**lemma** *Scan<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows** *Scan<sub>F</sub>*  $k \omega I \subseteq \text{Earley } \mathcal{G} \omega$   
(proof)

**lemma** *Predict<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows** *Predict<sub>F</sub>*  $k \mathcal{G} I \subseteq \text{Earley } \mathcal{G} \omega$   
(proof)

**lemma** *Complete<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows** *Complete<sub>F</sub>*  $k I \subseteq \text{Earley } \mathcal{G} \omega$   
(proof)

**lemma** *Earley<sub>F</sub>-bin-step-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows** *Earley<sub>F</sub>-bin-step*  $k \mathcal{G} \omega I \subseteq \text{Earley } \mathcal{G} \omega$   
(proof)

**lemma** *Earley<sub>F</sub>-bin-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \ \omega$   
**shows**  $\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I \subseteq \text{Earley } \mathcal{G} \ \omega$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bins-sub-Earley*:  
**shows**  $\text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega \subseteq \text{Earley } \mathcal{G} \ \omega$   
*<proof>*

**lemma** *Earley<sub>F</sub>-sub-Earley*:  
**shows**  $\text{Earley}_F \ \mathcal{G} \ \omega \subseteq \text{Earley } \mathcal{G} \ \omega$   
*<proof>*

**theorem** *soundness-Earley<sub>F</sub>*:  
**assumes** *recognizing*  $(\text{Earley}_F \ \mathcal{G} \ \omega) \ \mathcal{G} \ \omega$   
**shows** *derives*  $\mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$   
*<proof>*

## 7.4 Completeness

**definition** *prev-symbol* :: 'a item  $\Rightarrow$  'a option **where**  
*prev-symbol*  $x \equiv$  if item-dot  $x = 0$  then None else Some (item-rule-body  $x$  !  
(item-dot  $x - 1$ ))

**definition** *base* :: 'a sentence  $\Rightarrow$  'a item set  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**  
*base*  $\omega \ I \ k \equiv \{ x . x \in I \wedge \text{item-end } x = k \wedge k > 0 \wedge \text{prev-symbol } x = \text{Some}$   
 $(\omega!(k-1)) \}$

**lemma** *Earley<sub>F</sub>-bin-sub-Earley<sub>F</sub>-bin*:  
**assumes**  $\text{Init}_F \ \mathcal{G} \subseteq I$   
**assumes**  $\forall k' < k. \text{bin } (\text{Earley } \mathcal{G} \ \omega) \ k' \subseteq I$   
**assumes**  $\text{base } \omega \ (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq I$   
**shows**  $\text{bin } (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{bin } (\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ k$   
*<proof>*

**lemma** *Earley-base-sub-Earley<sub>F</sub>-bin*:  
**assumes**  $\text{Init}_F \ \mathcal{G} \subseteq I$   
**assumes**  $\forall k' < k. \text{bin } (\text{Earley } \mathcal{G} \ \omega) \ k' \subseteq I$   
**assumes**  $\text{base } \omega \ (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq I$   
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *is-word*  $\mathcal{G} \ \omega$   
**shows**  $\text{base } \omega \ (\text{Earley } \mathcal{G} \ \omega) \ (k+1) \subseteq \text{bin } (\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ (k+1)$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bin-k-sub-Earley<sub>F</sub>-bins*:  
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *is-word*  $\mathcal{G} \ \omega \ k \leq n$   
**shows**  $\text{bin } (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega$   
*<proof>*

**lemma** *Earley-sub-Earley<sub>F</sub>*:

**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G}$   $\omega$*   
**shows** *Earley  $\mathcal{G}$   $\omega \subseteq$  Earley<sub>F</sub>  $\mathcal{G}$   $\omega$*   
 ⟨*proof*⟩

**theorem** *completeness-Earley<sub>F</sub>*:  
**assumes** *derives  $\mathcal{G}$  [ $\mathfrak{S}$   $\mathcal{G}$ ]  $\omega$  is-word  $\mathcal{G}$   $\omega$  wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows** *recognizing (Earley<sub>F</sub>  $\mathcal{G}$   $\omega$ )  $\mathcal{G}$   $\omega$*   
 ⟨*proof*⟩

## 7.5 Correctness

**theorem** *Earley-eq-Earley<sub>F</sub>*:  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G}$   $\omega$*   
**shows** *Earley  $\mathcal{G}$   $\omega =$  Earley<sub>F</sub>  $\mathcal{G}$   $\omega$*   
 ⟨*proof*⟩

**theorem** *correctness-Earley<sub>F</sub>*:  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G}$   $\omega$*   
**shows** *recognizing (Earley<sub>F</sub>  $\mathcal{G}$   $\omega$ )  $\mathcal{G}$   $\omega \longleftrightarrow$  derives  $\mathcal{G}$  [ $\mathfrak{S}$   $\mathcal{G}$ ]  $\omega$*   
 ⟨*proof*⟩

**end**

**theory** *Earley-Recognizer*

**imports**

*Earley-Fixpoint*

**begin**

## 8 Earley recognizer

### 8.1 List auxiliaries

**fun** *filter-with-index'* :: *nat  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  ('a  $\times$  nat) list* **where**  
*filter-with-index' - - [] = []*  
 | *filter-with-index' i P (x#xs) = (*  
   *if P x then (x,i) # filter-with-index' (i+1) P xs*  
   *else filter-with-index' (i+1) P xs)*

**definition** *filter-with-index* :: *('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  ('a  $\times$  nat) list* **where**  
*filter-with-index P xs = filter-with-index' 0 P xs*

**lemma** *filter-with-index'-P*:  
*(x, n)  $\in$  set (filter-with-index' i P xs)  $\implies$  P x*  
 ⟨*proof*⟩

**lemma** *filter-with-index-P*:  
*(x, n)  $\in$  set (filter-with-index P xs)  $\implies$  P x*  
 ⟨*proof*⟩

**lemma** *filter-with-index'-cong-filter*:

$map\ fst\ (filter-with-index'\ i\ P\ xs) = filter\ P\ xs$   
 ⟨proof⟩

**lemma** *filter-with-index-cong-filter*:  
 $map\ fst\ (filter-with-index\ P\ xs) = filter\ P\ xs$   
 ⟨proof⟩

**lemma** *size-index-filter-with-index'*:  
 $(x, n) \in set\ (filter-with-index'\ i\ P\ xs) \implies n \geq i$   
 ⟨proof⟩

**lemma** *index-filter-with-index'-lt-length*:  
 $(x, n) \in set\ (filter-with-index'\ i\ P\ xs) \implies n - i < length\ xs$   
 ⟨proof⟩

**lemma** *index-filter-with-index-lt-length*:  
 $(x, n) \in set\ (filter-with-index\ P\ xs) \implies n < length\ xs$   
 ⟨proof⟩

**lemma** *filter-with-index'-nth*:  
 $(x, n) \in set\ (filter-with-index'\ i\ P\ xs) \implies xs\ !\ (n - i) = x$   
 ⟨proof⟩

**lemma** *filter-with-index-nth*:  
 $(x, n) \in set\ (filter-with-index\ P\ xs) \implies xs\ !\ n = x$   
 ⟨proof⟩

**lemma** *filter-with-index-nonempty*:  
 $x \in set\ xs \implies P\ x \implies filter-with-index\ P\ xs \neq []$   
 ⟨proof⟩

**lemma** *filter-with-index'-Ex-first*:  
 $(\exists x\ i\ xs'.\ filter-with-index'\ n\ P\ xs = (x, i)\#xs') \longleftrightarrow (\exists x \in set\ xs.\ P\ x)$   
 ⟨proof⟩

**lemma** *filter-with-index-Ex-first*:  
 $(\exists x\ i\ xs'.\ filter-with-index\ P\ xs = (x, i)\#xs') \longleftrightarrow (\exists x \in set\ xs.\ P\ x)$   
 ⟨proof⟩

## 8.2 Definitions

**datatype** *pointer* =  
 Null  
 | Pre nat — pre  
 | PreRed nat × nat × nat (nat × nat × nat) list — k', pre, red

**datatype** *'a entry* =  
 Entry (item : 'a item) (pointer : pointer)

**type-synonym** 'a bin = 'a entry list  
**type-synonym** 'a bins = 'a bin list

**definition** items :: 'a bin  $\Rightarrow$  'a item list **where**  
 items b  $\equiv$  map item b

**lemma** length-items-eq:  
 $\langle$ length (items b) = length b $\rangle$   
 $\langle$ proof $\rangle$

**definition** pointers :: 'a bin  $\Rightarrow$  pointer list **where**  
 pointers b  $\equiv$  map pointer b

**definition** bins-eq-items :: 'a bins  $\Rightarrow$  'a bins  $\Rightarrow$  bool **where**  
 bins-eq-items bs0 bs1  $\equiv$  map items bs0 = map items bs1

**definition** bins :: 'a bins  $\Rightarrow$  'a item set **where**  
 bins bs  $\equiv$   $\bigcup$  { set (items (bs!k)) | k. k < length bs }

**definition** bin-upto :: 'a bin  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**  
 bin-upto b i  $\equiv$  { items b ! j | j. j < i  $\wedge$  j < length (items b) }

**definition** bins-upto :: 'a bins  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**  
 bins-upto bs k i  $\equiv$   $\bigcup$  { set (items (bs ! l)) | l. l < k }  $\cup$  bin-upto (bs ! k) i

**definition** wf-bin-items :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a item list  $\Rightarrow$  bool **where**  
 wf-bin-items  $\mathcal{G}$   $\omega$  k xs  $\equiv$   $\forall x \in$  set xs. wf-item  $\mathcal{G}$   $\omega$  x  $\wedge$  item-end x = k

**definition** wf-bin :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a bin  $\Rightarrow$  bool **where**  
 wf-bin  $\mathcal{G}$   $\omega$  k b  $\equiv$  distinct (items b)  $\wedge$  wf-bin-items  $\mathcal{G}$   $\omega$  k (items b)

**definition** wf-bins :: 'a cfg  $\Rightarrow$  'a list  $\Rightarrow$  'a bins  $\Rightarrow$  bool **where**  
 wf-bins  $\mathcal{G}$   $\omega$  bs  $\equiv$   $\forall k <$  length bs. wf-bin  $\mathcal{G}$   $\omega$  k (bs!k)

**definition** nonempty-derives :: 'a cfg  $\Rightarrow$  bool **where**  
 nonempty-derives  $\mathcal{G}$   $\equiv$   $\forall N$ .  $N \in$  set ( $\mathfrak{N}$   $\mathcal{G}$ )  $\longrightarrow$   $\neg$  derives  $\mathcal{G}$  [N] []

**definition** Init<sub>L</sub> :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a bins **where**  
 Init<sub>L</sub>  $\mathcal{G}$   $\omega$   $\equiv$   
 let rs = filter ( $\lambda r$ . rule-head r =  $\mathfrak{S}$   $\mathcal{G}$ ) ( $\mathfrak{R}$   $\mathcal{G}$ ) in  
 let b0 = map ( $\lambda r$ . (Entry (init-item r 0) Null)) rs in  
 let bs = replicate (length  $\omega$  + 1) ([]) in  
 bs[0 := b0]

**definition** Scan<sub>L</sub> :: nat  $\Rightarrow$  'a sentence  $\Rightarrow$  'a  $\Rightarrow$  'a item  $\Rightarrow$  nat  $\Rightarrow$  'a entry list  
**where**  
 Scan<sub>L</sub> k  $\omega$  a x pre  $\equiv$   
 if  $\omega!$ k = a then  
 let x' = inc-item x (k+1) in

[Entry x' (Pre pre)]  
else []

**definition** Predict<sub>L</sub> :: nat ⇒ 'a cfg ⇒ 'a ⇒ 'a entry list **where**

Predict<sub>L</sub> k G X ≡  
let rs = filter (λr. rule-head r = X) (R G) in  
map (λr. (Entry (init-item r k) Null)) rs

**definition** Complete<sub>L</sub> :: nat ⇒ 'a item ⇒ 'a bins ⇒ nat ⇒ 'a entry list **where**

Complete<sub>L</sub> k y bs red ≡  
let orig = bs ! (item-origin y) in  
let is = filter-with-index (λx. next-symbol x = Some (item-rule-head y)) (items orig) in  
map (λ(x, pre). (Entry (inc-item x k) (PreRed (item-origin y, pre, red) []))) is

**fun** bin-upd :: 'a entry ⇒ 'a bin ⇒ 'a bin **where**

bin-upd e' [] = [e']  
| bin-upd e' (e#es) = (  
case (e', e) of  
(Entry x (PreRed px xs), Entry y (PreRed py ys)) ⇒  
if x = y then Entry x (PreRed py (px#xs@ys)) # es  
else e # bin-upd e' es  
| - ⇒  
if item e' = item e then e # es  
else e # bin-upd e' es)

**fun** bin-upds :: 'a entry list ⇒ 'a bin ⇒ 'a bin **where**

bin-upds [] b = b  
| bin-upds (e#es) b = bin-upds es (bin-upd e b)

**definition** bins-upd :: 'a bins ⇒ nat ⇒ 'a entry list ⇒ 'a bins **where**

bins-upd bs k es ≡ bs[k := bin-upds es (bs!k)]

**partial-function** (tailrec) Earley<sub>L</sub>-bin' :: nat ⇒ 'a cfg ⇒ 'a sentence ⇒ 'a bins ⇒ nat ⇒ 'a bins **where**

Earley<sub>L</sub>-bin' k G ω bs i = (  
if i ≥ length (items (bs ! k)) then bs  
else  
let x = items (bs!k) ! i in  
let bs' =  
case next-symbol x of  
Some a ⇒  
if is-terminal G a then  
if k < length ω then bins-upd bs (k+1) (Scan<sub>L</sub> k ω a x i)  
else bs  
else bins-upd bs k (Predict<sub>L</sub> k G a)  
| None ⇒ bins-upd bs k (Complete<sub>L</sub> k x bs i)  
in Earley<sub>L</sub>-bin' k G ω bs' (i+1))

**declare** *Earley<sub>L</sub>-bin'*.simps[code]

**definition** *Earley<sub>L</sub>-bin* :: nat ⇒ 'a cfg ⇒ 'a sentence ⇒ 'a bins ⇒ 'a bins **where**  
  *Earley<sub>L</sub>-bin* k  $\mathcal{G}$   $\omega$  bs ≡ *Earley<sub>L</sub>-bin'* k  $\mathcal{G}$   $\omega$  bs 0

**fun** *Earley<sub>L</sub>-bins* :: nat ⇒ 'a cfg ⇒ 'a sentence ⇒ 'a bins **where**  
  *Earley<sub>L</sub>-bins* 0  $\mathcal{G}$   $\omega$  = *Earley<sub>L</sub>-bin* 0  $\mathcal{G}$   $\omega$  (*Init<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  
| *Earley<sub>L</sub>-bins* (Suc n)  $\mathcal{G}$   $\omega$  = *Earley<sub>L</sub>-bin* (Suc n)  $\mathcal{G}$   $\omega$  (*Earley<sub>L</sub>-bins* n  $\mathcal{G}$   $\omega$ )

**definition** *Earley<sub>L</sub>* :: 'a cfg ⇒ 'a sentence ⇒ 'a bins **where**  
  *Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$  ≡ *Earley<sub>L</sub>-bins* (length  $\omega$ )  $\mathcal{G}$   $\omega$

### 8.3 Bin lemmas

**lemma** *length-bins-upd*[simp]:  
  length (bins-upd bs k es) = length bs  
  ⟨proof⟩

**lemma** *length-bin-upd*:  
  length (bin-upd e b) ≥ length b  
  ⟨proof⟩

**lemma** *length-bin-upds*:  
  length (bin-upds es b) ≥ length b  
  ⟨proof⟩

**lemma** *length-nth-bin-bins-upd*:  
  length (bins-upd bs k es ! n) ≥ length (bs ! n)  
  ⟨proof⟩

**lemma** *nth-idem-bins-upd*:  
  k ≠ n ⇒ bins-upd bs k es ! n = bs ! n  
  ⟨proof⟩

**lemma** *items-nth-idem-bin-upd*:  
  n < length b ⇒ items (bin-upd e b) ! n = items b ! n  
  ⟨proof⟩

**lemma** *items-nth-idem-bin-upds*:  
  n < length b ⇒ items (bin-upds es b) ! n = items b ! n  
  ⟨proof⟩

**lemma** *items-nth-idem-bins-upd*:  
  n < length (bs ! k) ⇒ items (bins-upd bs k es ! k) ! n = items (bs ! k) ! n  
  ⟨proof⟩

**lemma** *bin-upto-eq-set-items*:  
  i ≥ length b ⇒ bin-upto b i = set (items b)  
  ⟨proof⟩

**lemma** *bins-upto-empty*:

$$\text{bins-upto } bs \ 0 \ 0 = \{\}$$

*<proof>*

**lemma** *set-items-bin-upd*:

$$\text{set } (\text{items } (\text{bin-upd } e \ b)) = \text{set } (\text{items } b) \cup \{\text{item } e\}$$

*<proof>*

**lemma** *set-items-bin-upds*:

$$\text{set } (\text{items } (\text{bin-upds } es \ b)) = \text{set } (\text{items } b) \cup \text{set } (\text{items } es)$$

*<proof>*

**lemma** *bins-bins-upd*:

**assumes**  $k < \text{length } bs$

**shows**  $\text{bins } (\text{bins-upd } bs \ k \ es) = \text{bins } bs \cup \text{set } (\text{items } es)$

*<proof>*

**lemma** *kth-bin-sub-bins*:

$$k < \text{length } bs \implies \text{set } (\text{items } (bs \ ! \ k)) \subseteq \text{bins } bs$$

*<proof>*

**lemma** *bin-upto-Cons-0*:

$$\text{bin-upto } (e\#\text{es}) \ 0 = \{\}$$

*<proof>*

**lemma** *bin-upto-Cons*:

**assumes**  $0 < n$

**shows**  $\text{bin-upto } (e\#\text{es}) \ n = \{\text{item } e\} \cup \text{bin-upto } es \ (n-1)$

*<proof>*

**lemma** *bin-upto-nth-idem-bin-upd*:

$$n < \text{length } b \implies \text{bin-upto } (\text{bin-upd } e \ b) \ n = \text{bin-upto } b \ n$$

*<proof>*

**lemma** *bin-upto-nth-idem-bin-upds*:

$$n < \text{length } b \implies \text{bin-upto } (\text{bin-upds } es \ b) \ n = \text{bin-upto } b \ n$$

*<proof>*

**lemma** *bins-upto-kth-nth-idem*:

**assumes**  $l < \text{length } bs \ k \leq l \ n < \text{length } (bs \ ! \ k)$

**shows**  $\text{bins-upto } (\text{bins-upd } bs \ l \ es) \ k \ n = \text{bins-upto } bs \ k \ n$

*<proof>*

**lemma** *bins-upto-sub-bins*:

$$k < \text{length } bs \implies \text{bins-upto } bs \ k \ n \subseteq \text{bins } bs$$

*<proof>*

**lemma** *bins-upto-Suc-Un*:



$n < \text{length } (bs ! k) \implies \text{bins-upto } bs \ k \ (n+1) = \text{bins-upto } bs \ k \ n \cup \{ \text{items } (bs ! k) ! n \}$   
 ⟨proof⟩

**lemma** *bins-bin-exists*:

$x \in \text{bins } bs \implies \exists k < \text{length } bs. x \in \text{set } (\text{items } (bs ! k))$   
 ⟨proof⟩

**lemma** *distinct-bin-upd*:

$\text{distinct } (\text{items } b) \implies \text{distinct } (\text{items } (\text{bin-upd } e \ b))$   
 ⟨proof⟩

**lemma** *wf-bins-kth-bin*:

$\text{wf-bins } \mathcal{G} \ \omega \ bs \implies k < \text{length } bs \implies x \in \text{set } (\text{items } (bs ! k)) \implies \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k$   
 ⟨proof⟩

**lemma** *wf-bin-bin-upd*:

**assumes**  $\text{wf-bin } \mathcal{G} \ \omega \ k \ b \ \text{wf-item } \mathcal{G} \ \omega \ (\text{item } e) \wedge \text{item-end } (\text{item } e) = k$   
**shows**  $\text{wf-bin } \mathcal{G} \ \omega \ k \ (\text{bin-upd } e \ b)$   
 ⟨proof⟩

**lemma** *wf-bin-bin-upds*:

**assumes**  $\text{wf-bin } \mathcal{G} \ \omega \ k \ b \ \text{distinct } (\text{items } es)$   
**assumes**  $\forall x \in \text{set } (\text{items } es). \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k$   
**shows**  $\text{wf-bin } \mathcal{G} \ \omega \ k \ (\text{bin-upds } es \ b)$   
 ⟨proof⟩

**lemma** *wf-bins-bins-upd*:

**assumes**  $\text{wf-bins } \mathcal{G} \ \omega \ bs \ \text{distinct } (\text{items } es)$   
**assumes**  $\forall x \in \text{set } (\text{items } es). \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k$   
**shows**  $\text{wf-bins } \mathcal{G} \ \omega \ (\text{bins-upd } bs \ k \ es)$   
 ⟨proof⟩

**lemma** *wf-bins-impl-wf-items*:

$\text{wf-bins } \mathcal{G} \ \omega \ bs \implies \forall x \in (\text{bins } bs). \text{wf-item } \mathcal{G} \ \omega \ x$   
 ⟨proof⟩

**lemma** *bin-upds-eq-items*:

$\text{set } (\text{items } es) \subseteq \text{set } (\text{items } b) \implies \text{set } (\text{items } (\text{bin-upds } es \ b)) = \text{set } (\text{items } b)$   
 ⟨proof⟩

**lemma** *bin-eq-items-bin-upd*:

$\text{item } e \in \text{set } (\text{items } b) \implies \text{items } (\text{bin-upd } e \ b) = \text{items } b$   
 ⟨proof⟩

**lemma** *bin-eq-items-bin-upds*:

**assumes**  $\text{set } (\text{items } es) \subseteq \text{set } (\text{items } b)$   
**shows**  $\text{items } (\text{bin-upds } es \ b) = \text{items } b$

*<proof>*

**lemma** *bins-eq-items-bins-upd*:

**assumes**  $set\ (items\ es) \subseteq set\ (items\ (bs!k))$

**shows**  $bins-eq-items\ (bins-upd\ bs\ k\ es)\ bs$

*<proof>*

**lemma** *bins-eq-items-imp-eq-bins*:

$bins-eq-items\ bs\ bs' \implies bins\ bs = bins\ bs'$

*<proof>*

**lemma** *bin-eq-items-dist-bin-upd-bin*:

**assumes**  $items\ a = items\ b$

**shows**  $items\ (bin-upd\ e\ a) = items\ (bin-upd\ e\ b)$

*<proof>*

**lemma** *bin-eq-items-dist-bin-upds-bin*:

**assumes**  $items\ a = items\ b$

**shows**  $items\ (bin-upds\ es\ a) = items\ (bin-upds\ es\ b)$

*<proof>*

**lemma** *bin-eq-items-dist-bin-upd-entry*:

**assumes**  $item\ e = item\ e'$

**shows**  $items\ (bin-upd\ e\ b) = items\ (bin-upd\ e'\ b)$

*<proof>*

**lemma** *bin-eq-items-dist-bin-upds-entries*:

**assumes**  $items\ es = items\ es'$

**shows**  $items\ (bin-upds\ es\ b) = items\ (bin-upds\ es'\ b)$

*<proof>*

**lemma** *bins-eq-items-dist-bins-upd*:

**assumes**  $bins-eq-items\ as\ bs\ items\ aes = items\ bes\ k < length\ as$

**shows**  $bins-eq-items\ (bins-upd\ as\ k\ aes)\ (bins-upd\ bs\ k\ bes)$

*<proof>*

## 8.4 Well-formed bins

**lemma** *distinct-Scan<sub>L</sub>*:

$distinct\ (items\ (Scan_L\ k\ \omega\ a\ x\ pre))$

*<proof>*

**lemma** *distinct-Predict<sub>L</sub>*:

$wf\mathcal{G}\ \mathcal{G} \implies distinct\ (items\ (Predict_L\ k\ \mathcal{G}\ X))$

*<proof>*

**lemma** *inj-on-inc-item*:

$\forall x \in A. item-end\ x = l \implies inj-on\ (\lambda x. inc-item\ x\ k)\ A$

*<proof>*

**lemma** *distinct-Complete<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs* *item-origin*  $y < \text{length } bs$

**shows** *distinct* (*items* (*Complete<sub>L</sub>*  $k$   $y$  *bs red*))

*<proof>*

**lemma** *wf-bins-Scan<sub>L</sub>'*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs*  $k < \text{length } bs$   $x \in \text{set } (\text{items } (bs ! k))$

**assumes**  $k < \text{length } \omega$  *next-symbol*  $x \neq \text{None}$   $y = \text{inc-item } x (k+1)$

**shows** *wf-item*  $\mathcal{G} \omega$   $y \wedge \text{item-end } y = k+1$

*<proof>*

**lemma** *wf-bins-Scan<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs*  $k < \text{length } bs$   $x \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } \omega$   
*next-symbol*  $x \neq \text{None}$

**shows**  $\forall y \in \text{set } (\text{items } (\text{Scan}_L k \omega a x \text{pre}))$ . *wf-item*  $\mathcal{G} \omega$   $y \wedge \text{item-end } y = (k+1)$

*<proof>*

**lemma** *wf-bins-Predict<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs*  $k < \text{length } bs$   $k \leq \text{length } \omega$  *wf-G*  $\mathcal{G}$

**shows**  $\forall y \in \text{set } (\text{items } (\text{Predict}_L k \mathcal{G} X))$ . *wf-item*  $\mathcal{G} \omega$   $y \wedge \text{item-end } y = k$

*<proof>*

**lemma** *wf-item-inc-item*:

**assumes** *wf-item*  $\mathcal{G} \omega$   $x$  *next-symbol*  $x = \text{Some } a$  *item-origin*  $x \leq k$   $k \leq \text{length } \omega$

**shows** *wf-item*  $\mathcal{G} \omega$  (*inc-item*  $x$   $k$ )  $\wedge \text{item-end } (\text{inc-item } x$   $k$ ) =  $k$

*<proof>*

**lemma** *wf-bins-Complete<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs*  $k < \text{length } bs$   $y \in \text{set } (\text{items } (bs ! k))$

**shows**  $\forall x \in \text{set } (\text{items } (\text{Complete}_L k y \text{bs red}))$ . *wf-item*  $\mathcal{G} \omega$   $x \wedge \text{item-end } x = k$

*<proof>*

**lemma** *Ex-wf-bins*:

$\exists n$  *bs*  $\omega$   $\mathcal{G}$ .  $n \leq \text{length } \omega \wedge \text{length } bs = \text{Suc } (\text{length } \omega) \wedge \text{wf-G } \mathcal{G} \wedge \text{wf-bins } \mathcal{G} \omega$   
*bs*

*<proof>*

**definition** *wf-earley-input* ::  $(\text{nat} \times 'a \text{ cfg} \times 'a \text{ sentence} \times 'a \text{ bins})$  **set** **where**

*wf-earley-input* = {

$(k, \mathcal{G}, \omega, \text{bs}) \mid k \mathcal{G} \omega \text{bs}$ .

$k \leq \text{length } \omega \wedge$

$\text{length } \text{bs} = \text{length } \omega + 1 \wedge$

*wf-G*  $\mathcal{G} \wedge$

*wf-bins*  $\mathcal{G} \omega \text{bs}$

}

**typedef** 'a wf-bins = wf-earley-input::(nat × 'a cfg × 'a sentence × 'a bins) set  
**morphisms** from-wf-bins to-wf-bins  
 ⟨proof⟩

**lemma** wf-earley-input-elim:

**assumes** (k, G, ω, bs) ∈ wf-earley-input  
**shows** k ≤ length ω ∧ k < length bs ∧ length bs = length ω + 1 ∧ wf-G G ∧  
 wf-bins G ω bs  
 ⟨proof⟩

**lemma** wf-earley-input-intro:

**assumes** k ≤ length ω length bs = length ω + 1 wf-G G wf-bins G ω bs  
**shows** (k, G, ω, bs) ∈ wf-earley-input  
 ⟨proof⟩

**lemma** wf-earley-input-Complete<sub>L</sub>:

**assumes** (k, G, ω, bs) ∈ wf-earley-input ¬ length (items (bs ! k)) ≤ i  
**assumes** x = items (bs ! k) ! i next-symbol x = None  
**shows** (k, G, ω, bins-upd bs k (Complete<sub>L</sub> k x bs red)) ∈ wf-earley-input  
 ⟨proof⟩

**lemma** wf-earley-input-Scan<sub>L</sub>:

**assumes** (k, G, ω, bs) ∈ wf-earley-input ¬ length (items (bs ! k)) ≤ i  
**assumes** x = items (bs ! k) ! i next-symbol x = Some a  
**assumes** is-terminal G a k < length ω  
**shows** (k, G, ω, bins-upd bs (k+1) (Scan<sub>L</sub> k ω a x pre)) ∈ wf-earley-input  
 ⟨proof⟩

**lemma** wf-earley-input-Predict<sub>L</sub>:

**assumes** (k, G, ω, bs) ∈ wf-earley-input ¬ length (items (bs ! k)) ≤ i  
**assumes** x = items (bs ! k) ! i next-symbol x = Some a ¬ is-terminal G a  
**shows** (k, G, ω, bins-upd bs k (Predict<sub>L</sub> k G a)) ∈ wf-earley-input  
 ⟨proof⟩

**fun** earley-measure :: nat × 'a cfg × 'a sentence × 'a bins ⇒ nat ⇒ nat **where**  
 earley-measure (k, G, ω, bs) i = card { x | x. wf-item G ω x ∧ item-end x = k }  
 - i

**lemma** Earley<sub>L</sub>-bin'-simps[simp]:

i ≥ length (items (bs ! k)) ⇒ Earley<sub>L</sub>-bin' k G ω bs i = bs  
 ¬ i ≥ length (items (bs ! k)) ⇒ x = items (bs!k) ! i ⇒ next-symbol x = None  
 ⇒  
 Earley<sub>L</sub>-bin' k G ω bs i = Earley<sub>L</sub>-bin' k G ω (bins-upd bs k (Complete<sub>L</sub> k x bs  
 i)) (i+1)  
 ¬ i ≥ length (items (bs ! k)) ⇒ x = items (bs!k) ! i ⇒ next-symbol x = Some  
 a ⇒  
 is-terminal G a ⇒ k < length ω ⇒ Earley<sub>L</sub>-bin' k G ω bs i = Earley<sub>L</sub>-bin'  
 k G ω (bins-upd bs (k+1) (Scan<sub>L</sub> k ω a x i)) (i+1)  
 ¬ i ≥ length (items (bs ! k)) ⇒ x = items (bs!k) ! i ⇒ next-symbol x = Some

$a \implies$   
 $is\text{-terminal } \mathcal{G} a \implies \neg k < length \ \omega \implies Earley_L\text{-bin}' k \ \mathcal{G} \ \omega \ bs \ i = Earley_L\text{-bin}'$   
 $k \ \mathcal{G} \ \omega \ bs \ (i+1)$   
 $\neg i \geq length \ (items \ (bs \ ! \ k)) \implies x = items \ (bs \ ! \ k) \ ! \ i \implies next\text{-symbol } x = Some$   
 $a \implies$   
 $\neg is\text{-terminal } \mathcal{G} a \implies Earley_L\text{-bin}' k \ \mathcal{G} \ \omega \ bs \ i = Earley_L\text{-bin}' k \ \mathcal{G} \ \omega \ (bins\text{-upd}$   
 $bs \ k \ (Predict_L \ k \ \mathcal{G} \ a)) \ (i+1)$   
 $\langle proof \rangle$

**lemma**  $Earley_L\text{-bin}'\text{-induct}[case\text{-names } Base \ Complete_F \ Scan_F \ Pass \ Predict_F]$ :  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley}\text{-input}$   
**assumes**  $base: \bigwedge k \ \mathcal{G} \ \omega \ bs \ i. i \geq length \ (items \ (bs \ ! \ k)) \implies P \ k \ \mathcal{G} \ \omega \ bs \ i$   
**assumes**  $complete: \bigwedge k \ \mathcal{G} \ \omega \ bs \ i \ x. \neg i \geq length \ (items \ (bs \ ! \ k)) \implies x = items$   
 $(bs \ ! \ k) \ ! \ i \implies$   
 $next\text{-symbol } x = None \implies P \ k \ \mathcal{G} \ \omega \ (bins\text{-upd} \ bs \ k \ (Complete_L \ k \ x \ bs \ i))$   
 $(i+1) \implies P \ k \ \mathcal{G} \ \omega \ bs \ i$   
**assumes**  $scan: \bigwedge k \ \mathcal{G} \ \omega \ bs \ i \ x \ a. \neg i \geq length \ (items \ (bs \ ! \ k)) \implies x = items \ (bs$   
 $\ ! \ k) \ ! \ i \implies$   
 $next\text{-symbol } x = Some \ a \implies is\text{-terminal } \mathcal{G} \ a \implies k < length \ \omega \implies$   
 $P \ k \ \mathcal{G} \ \omega \ (bins\text{-upd} \ bs \ (k+1) \ (Scan_L \ k \ \omega \ a \ x \ i)) \ (i+1) \implies P \ k \ \mathcal{G} \ \omega \ bs \ i$   
**assumes**  $pass: \bigwedge k \ \mathcal{G} \ \omega \ bs \ i \ x \ a. \neg i \geq length \ (items \ (bs \ ! \ k)) \implies x = items \ (bs$   
 $\ ! \ k) \ ! \ i \implies$   
 $next\text{-symbol } x = Some \ a \implies is\text{-terminal } \mathcal{G} \ a \implies \neg k < length \ \omega \implies$   
 $P \ k \ \mathcal{G} \ \omega \ bs \ (i+1) \implies P \ k \ \mathcal{G} \ \omega \ bs \ i$   
**assumes**  $predict: \bigwedge k \ \mathcal{G} \ \omega \ bs \ i \ x \ a. \neg i \geq length \ (items \ (bs \ ! \ k)) \implies x = items$   
 $(bs \ ! \ k) \ ! \ i \implies$   
 $next\text{-symbol } x = Some \ a \implies \neg is\text{-terminal } \mathcal{G} \ a \implies$   
 $P \ k \ \mathcal{G} \ \omega \ (bins\text{-upd} \ bs \ k \ (Predict_L \ k \ \mathcal{G} \ a)) \ (i+1) \implies P \ k \ \mathcal{G} \ \omega \ bs \ i$   
**shows**  $P \ k \ \mathcal{G} \ \omega \ bs \ i$   
 $\langle proof \rangle$

**lemma**  $wf\text{-earley}\text{-input}\text{-Earley}_L\text{-bin}'$ :  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley}\text{-input}$   
**shows**  $(k, \mathcal{G}, \omega, Earley_L\text{-bin}' k \ \mathcal{G} \ \omega \ bs \ i) \in wf\text{-earley}\text{-input}$   
 $\langle proof \rangle$

**lemma**  $wf\text{-earley}\text{-input}\text{-Earley}_L\text{-bin}$ :  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley}\text{-input}$   
**shows**  $(k, \mathcal{G}, \omega, Earley_L\text{-bin} \ k \ \mathcal{G} \ \omega \ bs) \in wf\text{-earley}\text{-input}$   
 $\langle proof \rangle$

**lemma**  $length\text{-bins}\text{-Earley}_L\text{-bin}'$ :  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley}\text{-input}$   
**shows**  $length \ (Earley_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i) = length \ bs$   
 $\langle proof \rangle$

**lemma**  $length\text{-nth}\text{-bin}\text{-Earley}_L\text{-bin}'$ :  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley}\text{-input}$   
**shows**  $length \ (items \ (Earley_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l)) \geq length \ (items \ (bs \ ! \ l))$

*<proof>*

**lemma** *wf-bins-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $\text{wf-bins } \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$

*<proof>*

**lemma** *wf-bins-Earley<sub>L</sub>-bin*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $\text{wf-bins } \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin} \ k \ \mathcal{G} \ \omega \ bs)$

*<proof>*

**lemma** *kth-Earley<sub>L</sub>-bin'-bins*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes**  $j < \text{length} \ (\text{items} \ (bs \ ! \ l))$

**shows**  $\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l) \ ! \ j = \text{items} \ (bs \ ! \ l) \ ! \ j$

*<proof>*

**lemma** *nth-bin-sub-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $\text{set} \ (\text{items} \ (bs \ ! \ l)) \subseteq \text{set} \ (\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l))$

*<proof>*

**lemma** *nth-Earley<sub>L</sub>-bin'-eq*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $l < k \implies \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l = bs \ ! \ l$

*<proof>*

**lemma** *set-items-Earley<sub>L</sub>-bin'-eq*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $l < k \implies \text{set} \ (\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l)) = \text{set} \ (\text{items} \ (bs \ ! \ l))$

*<proof>*

**lemma** *bins-upto-k0-Earley<sub>L</sub>-bin'-eq*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**shows**  $\text{bins-upto} \ (\text{Earley}_L\text{-bin} \ k \ \mathcal{G} \ \omega \ bs) \ k \ 0 = \text{bins-upto} \ bs \ k \ 0$

*<proof>*

**lemma** *wf-earley-input-Init<sub>L</sub>*:

**assumes**  $k \leq \text{length} \ \omega \ \text{wf-}\mathcal{G} \ \mathcal{G}$

**shows**  $(k, \mathcal{G}, \omega, \text{Init}_L \ \mathcal{G} \ \omega) \in \text{wf-earley-input}$

*<proof>*

**lemma** *length-bins-Init<sub>L</sub>[simp]*:

$\text{length} \ (\text{Init}_L \ \mathcal{G} \ \omega) = \text{length} \ \omega + 1$

*<proof>*

**lemma** *wf-earley-input-Earley<sub>L</sub>-bins[simp]*:

**assumes**  $k \leq \text{length} \ \omega \ \text{wf-}\mathcal{G} \ \mathcal{G}$

**shows**  $(k, \mathcal{G}, \omega, \text{Earley}_L\text{-bins } k \mathcal{G} \omega) \in \text{wf-earley-input}$   
 ⟨proof⟩

**lemma** *length-Earley<sub>L</sub>-bins[simp]*:  
**assumes**  $k \leq \text{length } \omega$  *wf- $\mathcal{G}$*   $\mathcal{G}$   
**shows**  $\text{length } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega) = \text{length } (\text{Init}_L \mathcal{G} \omega)$   
 ⟨proof⟩

**lemma** *wf-bins-Earley<sub>L</sub>-bins[simp]*:  
**assumes**  $k \leq \text{length } \omega$  *wf- $\mathcal{G}$*   $\mathcal{G}$   
**shows**  $\text{wf-bins } \mathcal{G} \omega (\text{Earley}_L\text{-bins } k \mathcal{G} \omega)$   
 ⟨proof⟩

**lemma** *wf-bins-Earley<sub>L</sub>*:  
*wf- $\mathcal{G}$*   $\mathcal{G} \implies \text{wf-bins } \mathcal{G} \omega (\text{Earley}_L \mathcal{G} \omega)$   
 ⟨proof⟩

## 8.5 Soundness

**lemma** *Init<sub>L</sub>-eq-Init<sub>F</sub>*:  
 $\text{bins } (\text{Init}_L \mathcal{G} \omega) = \text{Init}_F \mathcal{G}$   
 ⟨proof⟩

**lemma** *Scan<sub>L</sub>-sub-Scan<sub>F</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$   $bs \text{ bins } bs \subseteq I$   $x \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$   $k < \text{length } \omega$   
**assumes** *next-symbol*  $x = \text{Some } a$   
**shows**  $\text{set } (\text{items } (\text{Scan}_L k \omega a x \text{ pre})) \subseteq \text{Scan}_F k \omega I$   
 ⟨proof⟩

**lemma** *Predict<sub>L</sub>-sub-Predict<sub>F</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$   $bs \text{ bins } bs \subseteq I$   $x \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$   
**assumes** *next-symbol*  $x = \text{Some } X$   
**shows**  $\text{set } (\text{items } (\text{Predict}_L k \mathcal{G} X)) \subseteq \text{Predict}_F k \mathcal{G} I$   
 ⟨proof⟩

**lemma** *Complete<sub>L</sub>-sub-Complete<sub>F</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$   $bs \text{ bins } bs \subseteq I$   $y \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$   
**assumes** *next-symbol*  $y = \text{None}$   
**shows**  $\text{set } (\text{items } (\text{Complete}_L k y bs \text{ red})) \subseteq \text{Complete}_F k I$   
 ⟨proof⟩

**lemma** *sound-Scan<sub>L</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$   $bs \text{ bins } bs \subseteq I$   $x \in \text{set } (\text{items } (bs!k))$   $k < \text{length } bs$   $k < \text{length } \omega$   
**assumes** *next-symbol*  $x = \text{Some } a$   $\forall x \in I. \text{wf-item } \mathcal{G} \omega x$   $\forall x \in I. \text{sound-item } \mathcal{G} \omega x$   
**shows**  $\forall x \in \text{set } (\text{items } (\text{Scan}_L k \omega a x i)). \text{sound-item } \mathcal{G} \omega x$   
 ⟨proof⟩

**lemma** *sound-Predict<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs bins*  $bs \subseteq I$   $x \in \text{set}(\text{items}(bs!k))$   $k < \text{length } bs$

**assumes** *next-symbol*  $x = \text{Some } X \forall x \in I. \text{wf-item } \mathcal{G} \omega x \forall x \in I. \text{sound-item } \mathcal{G} \omega x$

**shows**  $\forall x \in \text{set}(\text{items}(\text{Predict}_L k \mathcal{G} X)). \text{sound-item } \mathcal{G} \omega x$   
*<proof>*

**lemma** *sound-Complete<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs bins*  $bs \subseteq I$   $y \in \text{set}(\text{items}(bs!k))$   $k < \text{length } bs$

**assumes** *next-symbol*  $y = \text{None} \forall x \in I. \text{wf-item } \mathcal{G} \omega x \forall x \in I. \text{sound-item } \mathcal{G} \omega x$

**shows**  $\forall x \in \text{set}(\text{items}(\text{Complete}_L k y bs i)). \text{sound-item } \mathcal{G} \omega x$   
*<proof>*

**lemma** *sound-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$

**shows**  $\forall x \in \text{bins}(\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i). \text{sound-item } \mathcal{G} \omega x$   
*<proof>*

**lemma** *sound-Earley<sub>L</sub>-bin*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$

**shows**  $\forall x \in \text{bins}(\text{Earley}_L\text{-bin } k \mathcal{G} \omega bs). \text{sound-item } \mathcal{G} \omega x$   
*<proof>*

**lemma** *Earley<sub>L</sub>-bin'-sub-Earley<sub>F</sub>-bin*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes** *bins*  $bs \subseteq I$

**shows**  $\text{bins}(\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i) \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
*<proof>*

**lemma** *Earley<sub>L</sub>-bin-sub-Earley<sub>F</sub>-bin*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes** *bins*  $bs \subseteq I$

**shows**  $\text{bins}(\text{Earley}_L\text{-bin } k \mathcal{G} \omega bs) \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
*<proof>*

**lemma** *Earley<sub>L</sub>-bins-sub-Earley<sub>F</sub>-bins*:

**assumes**  $k \leq \text{length } \omega$  *wf-G*  $\mathcal{G}$

**shows**  $\text{bins}(\text{Earley}_L\text{-bins } k \mathcal{G} \omega) \subseteq \text{Earley}_F\text{-bins } k \mathcal{G} \omega$   
*<proof>*

**lemma** *Earley<sub>L</sub>-sub-Earley<sub>F</sub>*:

*wf-G*  $\mathcal{G} \implies \text{bins}(\text{Earley}_L \mathcal{G} \omega) \subseteq \text{Earley}_F \mathcal{G} \omega$

*<proof>*

**theorem** *soundness-Earley<sub>L</sub>*:



**assumes**  $wf\text{-}\mathcal{G} \ \mathcal{G} \text{ recognizing } (bins \ (Earley_L \ \mathcal{G} \ \omega)) \ \mathcal{G} \ \omega$   
**shows**  $derives \ \mathcal{G} \ [\mathcal{G} \ \mathcal{G}] \ \omega$   
 ⟨proof⟩

## 8.6 Completeness

**lemma** *bin-bins-upto-bins-eq:*

**assumes**  $wf\text{-}bins \ \mathcal{G} \ \omega \ bs \ k < length \ bs \ i \geq length \ (items \ (bs \ ! \ k)) \ l \leq k$   
**shows**  $bin \ (bins\text{-}upto \ bs \ k \ i) \ l = bin \ (bins \ bs) \ l$   
 ⟨proof⟩

**lemma** *impossible-complete-item:*

**assumes**  $wf\text{-}\mathcal{G} \ \mathcal{G} \ wf\text{-}item \ \mathcal{G} \ \omega \ x \ sound\text{-}item \ \mathcal{G} \ \omega \ x$   
**assumes**  $is\text{-}complete \ x \ item\text{-}origin \ x = k \ item\text{-}end \ x = k \ nonempty\text{-}derives \ \mathcal{G}$   
**shows** *False*  
 ⟨proof⟩

**lemma** *Complete<sub>F</sub>-Un-eq-terminal:*

**assumes**  $next\text{-}symbol \ z = \text{Some } a \ is\text{-}terminal \ \mathcal{G} \ a \ \forall x \in I. \ wf\text{-}item \ \mathcal{G} \ \omega \ x \ wf\text{-}item \ \mathcal{G} \ \omega \ z \ wf\text{-}\mathcal{G} \ \mathcal{G}$   
**shows**  $Complete_F \ k \ (I \cup \{z\}) = Complete_F \ k \ I$   
 ⟨proof⟩

**lemma** *Complete<sub>F</sub>-Un-eq-nonterminal:*

**assumes**  $wf\text{-}\mathcal{G} \ \mathcal{G} \ \forall x \in I. \ wf\text{-}item \ \mathcal{G} \ \omega \ x \ \forall x \in I. \ sound\text{-}item \ \mathcal{G} \ \omega \ x$   
**assumes**  $nonempty\text{-}derives \ \mathcal{G} \ wf\text{-}item \ \mathcal{G} \ \omega \ z$   
**assumes**  $item\text{-}end \ z = k \ next\text{-}symbol \ z \neq None$   
**shows**  $Complete_F \ k \ (I \cup \{z\}) = Complete_F \ k \ I$   
 ⟨proof⟩

**lemma** *wf-item-in-kth-bin:*

$wf\text{-}bins \ \mathcal{G} \ \omega \ bs \ \implies x \in bins \ bs \ \implies item\text{-}end \ x = k \ \implies x \in set \ (items \ (bs \ ! \ k))$   
 ⟨proof⟩

**lemma** *Complete<sub>F</sub>-bins-upto-eq-bins:*

**assumes**  $wf\text{-}bins \ \mathcal{G} \ \omega \ bs \ k < length \ bs \ i \geq length \ (items \ (bs \ ! \ k))$   
**shows**  $Complete_F \ k \ (bins\text{-}upto \ bs \ k \ i) = Complete_F \ k \ (bins \ bs)$   
 ⟨proof⟩

**lemma** *Complete<sub>F</sub>-sub-bins-Un-Complete<sub>L</sub>:*

**assumes**  $Complete_F \ k \ I \subseteq bins \ bs \ I \subseteq bins \ bs \ is\text{-}complete \ z \ wf\text{-}bins \ \mathcal{G} \ \omega \ bs \ wf\text{-}item \ \mathcal{G} \ \omega \ z$   
**shows**  $Complete_F \ k \ (I \cup \{z\}) \subseteq bins \ bs \cup set \ (items \ (Complete_L \ k \ z \ bs \ red))$   
 ⟨proof⟩

**lemma** *Complete<sub>L</sub>-eq-item-origin:*

$bs \ ! \ item\text{-}origin \ y = bs' \ ! \ item\text{-}origin \ y \ \implies Complete_L \ k \ y \ bs \ red = Complete_L \ k \ y \ bs' \ red$   
 ⟨proof⟩

**lemma** *kth-bin-bins-upto-empty*:

**assumes**  $wf\text{-bins } \mathcal{G} \ \omega \ bs \ k < \text{length } bs$

**shows**  $\text{bin } (\text{bins-upto } bs \ k \ 0) \ k = \{\}$

*<proof>*

**lemma** *Earley<sub>L</sub>-bin'-mono*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$

**shows**  $\text{bins } bs \subseteq \text{bins } (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$

*<proof>*

**lemma** *Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$

**assumes**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins-upto } bs \ k \ i) \subseteq \text{bins } bs$

**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \ \omega \ x \text{ is-word } \mathcal{G} \ \omega \ \text{nonempty-derives } \mathcal{G}$

**shows**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins } bs) \subseteq \text{bins } (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$

*<proof>*

**lemma** *Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$

**assumes**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins-upto } bs \ k \ 0) \subseteq \text{bins } bs$

**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \ \omega \ x \text{ is-word } \mathcal{G} \ \omega \ \text{nonempty-derives } \mathcal{G}$

**shows**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins } bs) \subseteq \text{bins } (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ bs)$

*<proof>*

**lemma** *bins-eq-items-Complete<sub>L</sub>*:

**assumes**  $\text{bins-eq-items } as \ bs \ \text{item-origin } x < \text{length } as$

**shows**  $\text{items } (\text{Complete}_L \ k \ x \ as \ i) = \text{items } (\text{Complete}_L \ k \ x \ bs \ i)$

*<proof>*

**lemma** *Earley<sub>L</sub>-bin'-bins-eq*:

**assumes**  $(k, \mathcal{G}, \omega, as) \in wf\text{-earley-input}$

**assumes**  $\text{bins-eq-items } as \ bs \ wf\text{-bins } \mathcal{G} \ \omega \ as$

**shows**  $\text{bins-eq-items } (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ as \ i) \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$

*<proof>*

**lemma** *Earley<sub>L</sub>-bin'-idem*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$

**assumes**  $i \leq j \ \forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \ \omega \ x \ \text{nonempty-derives } \mathcal{G}$

**shows**  $\text{bins } (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i) \ j) = \text{bins } (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$

*<proof>*

**lemma** *Earley<sub>L</sub>-bin-idem*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$

**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \ \omega \ x \ \text{nonempty-derives } \mathcal{G}$

**shows**  $\text{bins } (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ bs)) = \text{bins } (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ bs)$

*<proof>*

**lemma** *funpower-Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins-upto } bs \ k \ 0) \subseteq \text{bins } bs \ \forall x \in \text{bins } bs.$   
*sound-item*  $\mathcal{G} \ \omega \ x$   
**assumes** *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows**  $\text{funpower } (\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega) \ n \ (\text{bins } bs) \subseteq \text{bins } (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ bs)$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bin-sub-Earley<sub>L</sub>-bin*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{bins-upto } bs \ k \ 0) \subseteq \text{bins } bs \ \forall x \in \text{bins } bs.$   
*sound-item*  $\mathcal{G} \ \omega \ x$   
**assumes** *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows**  $\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ (\text{bins } bs) \subseteq \text{bins } (\text{Earley}_L\text{-bin } k \ \mathcal{G} \ \omega \ bs)$   
*<proof>*

**lemma** *Earley<sub>F</sub>-bins-sub-Earley<sub>L</sub>-bins*:  
**assumes**  $k \leq \text{length } \omega$  *wf- $\mathcal{G}$*   $\mathcal{G}$   
**assumes** *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows**  $\text{Earley}_F\text{-bins } k \ \mathcal{G} \ \omega \subseteq \text{bins } (\text{Earley}_L\text{-bins } k \ \mathcal{G} \ \omega)$   
*<proof>*

**lemma** *Earley<sub>F</sub>-sub-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows**  $\text{Earley}_F \ \mathcal{G} \ \omega \subseteq \text{bins } (\text{Earley}_L \ \mathcal{G} \ \omega)$   
*<proof>*

**theorem** *completeness-Earley<sub>L</sub>*:  
**assumes** *derives*  $\mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$  *is-word*  $\mathcal{G} \ \omega$  *wf- $\mathcal{G}$*   $\mathcal{G}$  *nonempty-derives*  $\mathcal{G}$   
**shows** *recognizing*  $(\text{bins } (\text{Earley}_L \ \mathcal{G} \ \omega)) \ \mathcal{G} \ \omega$   
*<proof>*

## 8.7 Correctness

**theorem** *Earley-eq-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows**  $\text{Earley } \mathcal{G} \ \omega = \text{bins } (\text{Earley}_L \ \mathcal{G} \ \omega)$   
*<proof>*

**theorem** *correctness-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *is-word*  $\mathcal{G} \ \omega$  *nonempty-derives*  $\mathcal{G}$   
**shows** *recognizing*  $(\text{bins } (\text{Earley}_L \ \mathcal{G} \ \omega)) \ \mathcal{G} \ \omega \longleftrightarrow \text{derives } \mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$   
*<proof>*

**end**

**theory** *Earley-Parser*  
**imports**

Earley-Recognizer  
HOL-Library.Monad-Syntax  
begin

## 9 Earley parser

### 9.1 Pointer lemmas

**definition** *predicts* :: 'a item  $\Rightarrow$  bool **where**  
*predicts*  $x \equiv$  item-origin  $x =$  item-end  $x \wedge$  item-dot  $x = 0$

**definition** *scans* :: 'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**  
*scans*  $\omega$   $k$   $x$   $y \equiv$   $y =$  inc-item  $x$   $k \wedge$  ( $\exists a.$  next-symbol  $x =$  Some  $a \wedge \omega!(k-1) = a$ )

**definition** *completes* :: nat  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**  
*completes*  $k$   $x$   $y$   $z \equiv$   $y =$  inc-item  $x$   $k \wedge$  is-complete  $z \wedge$  item-origin  $z =$  item-end  $x \wedge$   
( $\exists N.$  next-symbol  $x =$  Some  $N \wedge N =$  item-rule-head  $z$ )

**definition** *sound-null-ptr* :: 'a entry  $\Rightarrow$  bool **where**  
*sound-null-ptr*  $e \equiv$  (pointer  $e =$  Null  $\longrightarrow$  predicts (item  $e$ ))

**definition** *sound-pre-ptr* :: 'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  nat  $\Rightarrow$  'a entry  $\Rightarrow$  bool **where**  
*sound-pre-ptr*  $\omega$   $bs$   $k$   $e \equiv$   $\forall$  pre. pointer  $e =$  Pre pre  $\longrightarrow$   
 $k > 0 \wedge$  pre  $<$  length (bs!( $k-1$ ))  $\wedge$  scans  $\omega$   $k$  (item (bs!( $k-1$ )!pre)) (item  $e$ )

**definition** *sound-prered-ptr* :: 'a bins  $\Rightarrow$  nat  $\Rightarrow$  'a entry  $\Rightarrow$  bool **where**  
*sound-prered-ptr*  $bs$   $k$   $e \equiv$   $\forall$   $p$   $ps$   $k'$  pre red. pointer  $e =$  PreRed  $p$   $ps \wedge$  ( $k',$  pre,  
red)  $\in$  set ( $p\#ps$ )  $\longrightarrow$   
 $k' < k \wedge$  pre  $<$  length (bs! $k'$ )  $\wedge$  red  $<$  length (bs! $k$ )  $\wedge$  completes  $k$  (item  
(bs! $k'$ !pre)) (item  $e$ ) (item (bs! $k$ !red))

**definition** *sound-ptrs* :: 'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  bool **where**  
*sound-ptrs*  $\omega$   $bs \equiv$   $\forall$   $k <$  length  $bs.$   $\forall e \in$  set (bs! $k$ ).  
*sound-null-ptr*  $e \wedge$  *sound-pre-ptr*  $\omega$   $bs$   $k$   $e \wedge$  *sound-prered-ptr*  $bs$   $k$   $e$

**definition** *mono-red-ptr* :: 'a bins  $\Rightarrow$  bool **where**  
*mono-red-ptr*  $bs \equiv$   $\forall$   $k <$  length  $bs.$   $\forall i <$  length (bs! $k$ ).  
 $\forall k'$  pre red  $ps.$  pointer (bs! $k$ ! $i$ ) = PreRed ( $k',$  pre, red)  $ps \longrightarrow$  red  $< i$

**lemma** *nth-item-bin-upd*:  
 $n <$  length  $es \implies$  item (bin-upd  $e$   $es$  !  $n$ ) = item ( $es$ ! $n$ )  
⟨proof⟩

**lemma** *bin-upd-append*:  
item  $e \notin$  set (items  $es$ )  $\implies$  bin-upd  $e$   $es = es$  @ [ $e$ ]  
⟨proof⟩

**lemma** *bin-upd-null-pre*:

*item*  $e \in \text{set } (\text{items } es) \implies \text{pointer } e = \text{Null} \vee \text{pointer } e = \text{Pre } pre \implies \text{bin-upd } e \text{ } es = es$   
 ⟨proof⟩

**lemma** *bin-upd-prered-nop*:

**assumes** *distinct* (*items*  $es$ )  $i < \text{length } es$   
**assumes** *item*  $e = \text{item } (es!i)$  *pointer*  $e = \text{PreRed } p \text{ } ps \not\# p \text{ } ps$ . *pointer*  $(es!i) = \text{PreRed } p \text{ } ps$   
**shows** *bin-upd*  $e \text{ } es = es$   
 ⟨proof⟩

**lemma** *bin-upd-prered-upd*:

**assumes** *distinct* (*items*  $es$ )  $i < \text{length } es$   
**assumes** *item*  $e = \text{item } (es!i)$  *pointer*  $e = \text{PreRed } p \text{ } rs$  *pointer*  $(es!i) = \text{PreRed } p' \text{ } rs'$  *bin-upd*  $e \text{ } es = es'$   
**shows** *pointer*  $(es!i) = \text{PreRed } p' \text{ } (p\#rs@rs')$   $\wedge (\forall j < \text{length } es'. i \neq j \longrightarrow es!j = es!j) \wedge \text{length } (\text{bin-upd } e \text{ } es) = \text{length } es$   
 ⟨proof⟩

**lemma** *sound-ptrs-bin-upd*:

**assumes** *sound-ptrs*  $\omega \text{ } bs \text{ } k < \text{length } bs \text{ } es = bs!k$  *distinct* (*items*  $es$ )  
**assumes** *sound-null-ptr*  $e$  *sound-pre-ptr*  $\omega \text{ } bs \text{ } k \text{ } e$  *sound-prered-ptr*  $bs \text{ } k \text{ } e$   
**shows** *sound-ptrs*  $\omega \text{ } (bs[k := \text{bin-upd } e \text{ } es])$   
 ⟨proof⟩

**lemma** *mono-red-ptr-bin-upd*:

**assumes** *mono-red-ptr*  $bs \text{ } k < \text{length } bs \text{ } es = bs!k$  *distinct* (*items*  $es$ )  
**assumes**  $\forall k' \text{ } pre \text{ } red \text{ } ps$ . *pointer*  $e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ } ps \longrightarrow \text{red} < \text{length } es$   
**shows** *mono-red-ptr*  $(bs[k := \text{bin-upd } e \text{ } es])$   
 ⟨proof⟩

**lemma** *sound-mono-ptrs-bin-upds*:

**assumes** *sound-ptrs*  $\omega \text{ } bs$  *mono-red-ptr*  $bs \text{ } k < \text{length } bs \text{ } b = bs!k$  *distinct* (*items*  $b$ ) *distinct* (*items*  $es$ )  
**assumes**  $\forall e \in \text{set } es$ . *sound-null-ptr*  $e \wedge \text{sound-pre-ptr } \omega \text{ } bs \text{ } k \text{ } e \wedge \text{sound-prered-ptr } bs \text{ } k \text{ } e$   
**assumes**  $\forall e \in \text{set } es$ .  $\forall k' \text{ } pre \text{ } red \text{ } ps$ . *pointer*  $e = \text{PreRed } (k', \text{pre}, \text{red}) \text{ } ps \longrightarrow \text{red} < \text{length } b$   
**shows** *sound-ptrs*  $\omega \text{ } (bs[k := \text{bin-upds } es \text{ } b]) \wedge \text{mono-red-ptr } (bs[k := \text{bin-upds } es \text{ } b])$   
 ⟨proof⟩

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes** *sound-ptrs*  $\omega \text{ } bs \forall x \in \text{bins } bs$ . *sound-item*  $\mathcal{G} \omega \text{ } x$   
**assumes** *mono-red-ptr*  $bs$   
**assumes** *nonempty-derives*  $\mathcal{G} \text{ wf-}\mathcal{G} \mathcal{G}$

**shows** *sound-ptrs*  $\omega$  (*Earley<sub>L</sub>-bin'*  $k$   $\mathcal{G}$   $\omega$   $bs$   $i$ )  $\wedge$  *mono-red-ptr* (*Earley<sub>L</sub>-bin'*  $k$   $\mathcal{G}$   $\omega$   $bs$   $i$ )  
 ⟨*proof*⟩

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bin*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes** *sound-ptrs*  $\omega$   $bs$   $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$   
**assumes** *mono-red-ptr*  $bs$   
**assumes** *nonempty-derives*  $\mathcal{G}$  *wf- $\mathcal{G}$*   $\mathcal{G}$   
**shows** *sound-ptrs*  $\omega$  (*Earley<sub>L</sub>-bin*  $k$   $\mathcal{G}$   $\omega$   $bs$ )  $\wedge$  *mono-red-ptr* (*Earley<sub>L</sub>-bin*  $k$   $\mathcal{G}$   $\omega$   $bs$ )  
 ⟨*proof*⟩

**lemma** *sound-ptrs-Init<sub>L</sub>*:  
*sound-ptrs*  $\omega$  (*Init<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  
 ⟨*proof*⟩

**lemma** *mono-red-ptr-Init<sub>L</sub>*:  
*mono-red-ptr* (*Init<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  
 ⟨*proof*⟩

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bins*:  
**assumes**  $k \leq \text{length } \omega$  *wf- $\mathcal{G}$*   $\mathcal{G}$  *nonempty-derives*  $\mathcal{G}$  *wf- $\mathcal{G}$*   $\mathcal{G}$   
**shows** *sound-ptrs*  $\omega$  (*Earley<sub>L</sub>-bins*  $k$   $\mathcal{G}$   $\omega$ )  $\wedge$  *mono-red-ptr* (*Earley<sub>L</sub>-bins*  $k$   $\mathcal{G}$   $\omega$ )  
 ⟨*proof*⟩

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$*   $\mathcal{G}$  *nonempty-derives*  $\mathcal{G}$   
**shows** *sound-ptrs*  $\omega$  (*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  $\wedge$  *mono-red-ptr* (*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  
 ⟨*proof*⟩

## 9.2 Common Definitions

**datatype** *'a tree* =  
*Leaf* *'a*  
 | *Branch* *'a 'a tree list*

**fun** *yield-tree* :: *'a tree*  $\Rightarrow$  *'a sentence* **where**  
*yield-tree* (*Leaf*  $a$ ) =  $[a]$   
 | *yield-tree* (*Branch* -  $ts$ ) = *concat* (*map* *yield-tree*  $ts$ )

**fun** *root-tree* :: *'a tree*  $\Rightarrow$  *'a* **where**  
*root-tree* (*Leaf*  $a$ ) =  $a$   
 | *root-tree* (*Branch*  $N$  -) =  $N$

**fun** *wf-rule-tree* :: *'a cfg*  $\Rightarrow$  *'a tree*  $\Rightarrow$  *bool* **where**  
*wf-rule-tree* - (*Leaf*  $a$ )  $\longleftrightarrow$  *True*  
 | *wf-rule-tree*  $\mathcal{G}$  (*Branch*  $N$   $ts$ )  $\longleftrightarrow$  (  
 $(\exists r \in \text{set } (\mathfrak{R} \mathcal{G}). N = \text{rule-head } r \wedge \text{map } \text{root-tree } ts = \text{rule-body } r) \wedge$

( $\forall t \in \text{set } ts. \text{wf-rule-tree } \mathcal{G} \ t$ )

**fun** *wf-item-tree* :: 'a cfg  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*wf-item-tree*  $\mathcal{G}$  - (Leaf a)  $\longleftrightarrow$  True  
| *wf-item-tree*  $\mathcal{G}$  x (Branch N ts)  $\longleftrightarrow$  (  
N = item-rule-head x  $\wedge$  map root-tree ts = take (item-dot x) (item-rule-body x)  
 $\wedge$   
( $\forall t \in \text{set } ts. \text{wf-rule-tree } \mathcal{G} \ t$ )

**definition** *wf-ylid-tree* :: 'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*wf-ylid-tree*  $\omega$  x t  $\longleftrightarrow$  yield-tree t = slice (item-origin x) (item-end x)  $\omega$

**datatype** 'a forest =  
FLeaf 'a  
| FBranch 'a 'a forest list list

**fun** *combinations* :: 'a list list  $\Rightarrow$  'a list list **where**  
*combinations* [] = [[]]  
| *combinations* (x#cs) = [ x#cs . x <- xs, cs <- combinations xss ]

**fun** *trees* :: 'a forest  $\Rightarrow$  'a tree list **where**  
*trees* (FLeaf a) = [Leaf a]  
| *trees* (FBranch N fss) = (  
let tss = (map ( $\lambda$ fs. concat (map ( $\lambda$ f. trees f) fs)) fss) in  
map ( $\lambda$ ts. Branch N ts) (combinations tss)  
)

**lemma** *list-comp-flatten*:  
[ f xs . xs <- [ g xs ys . xs <- as, ys <- bs ] ] = [ f (g xs ys) . xs <- as, ys <- bs ]  
⟨proof⟩

**lemma** *list-comp-flatten-Cons*:  
[ x#xs . x <- as, xs <- [ xs @ ys . xs <- bs, ys <- cs ] ] = [ x#xs@ys . x <- as, xs <- bs, ys <- cs ]  
⟨proof⟩

**lemma** *list-comp-flatten-append*:  
[ xs@ys . xs <- [ x#xs . x <- as, xs <- bs ], ys <- cs ] = [ x#xs@ys . x <- as, xs <- bs, ys <- cs ]  
⟨proof⟩

**lemma** *combinations-append*:  
combinations (xss @ yss) = [ xs @ ys . xs <- combinations xss, ys <- combinations yss ]  
⟨proof⟩

**lemma** *trees-append*:  
*trees* (FBranch N (xss @ yss)) = (

$let\ xtss = (map\ (\lambda xs.\ concat\ (map\ (\lambda f.\ trees\ f)\ xs))\ xss)\ in$   
 $let\ ytss = (map\ (\lambda ys.\ concat\ (map\ (\lambda f.\ trees\ f)\ ys))\ yss)\ in$   
 $map\ (\lambda ts.\ Branch\ N\ ts)\ [xs\ @\ ys.\ xs\ <-\ combinations\ xtss,\ ys\ <-\ combinations\ ytss]$   
 ⟨proof⟩

**lemma** *trees-append-singleton*:

$trees\ (FBranch\ N\ (xss\ @\ [ys])) = ($   
 $let\ xtss = (map\ (\lambda xs.\ concat\ (map\ (\lambda f.\ trees\ f)\ xs))\ xss)\ in$   
 $let\ ytss = [concat\ (map\ trees\ ys)]\ in$   
 $map\ (\lambda ts.\ Branch\ N\ ts)\ [xs\ @\ ys.\ xs\ <-\ combinations\ xtss,\ ys\ <-\ combinations\ ytss]$   
 ⟨proof⟩

**lemma** *trees-append-single-singleton*:

$trees\ (FBranch\ N\ (xss\ @\ [[y]])) = ($   
 $let\ xtss = (map\ (\lambda xs.\ concat\ (map\ (\lambda f.\ trees\ f)\ xs))\ xss)\ in$   
 $map\ (\lambda ts.\ Branch\ N\ ts)\ [xs\ @\ ys.\ xs\ <-\ combinations\ xtss,\ ys\ <-\ [ [t] . t\ <-\ trees\ y ] ])$   
 ⟨proof⟩

### 9.3 foldl lemmas

**lemma** *foldl-add-nth*:

$k < length\ xs \implies foldl\ (+)\ z\ (map\ length\ (take\ k\ xs)) + length\ (xs!k) = foldl\ (+)\ z\ (map\ length\ (take\ (k+1)\ xs))$   
 ⟨proof⟩

**lemma** *foldl-acc-mono*:

$a \leq b \implies foldl\ (+)\ a\ xs \leq foldl\ (+)\ b\ xs$  **for**  $a :: nat$   
 ⟨proof⟩

**lemma** *foldl-ge-z-nth*:

$j < length\ xs \implies z + length\ (xs!j) \leq foldl\ (+)\ z\ (map\ length\ (take\ (j+1)\ xs))$   
 ⟨proof⟩

**lemma** *foldl-add-nth-ge*:

$i \leq j \implies j < length\ xs \implies foldl\ (+)\ z\ (map\ length\ (take\ i\ xs)) + length\ (xs!j) \leq foldl\ (+)\ z\ (map\ length\ (take\ (j+1)\ xs))$   
 ⟨proof⟩

**lemma** *foldl-ge-acc*:

$foldl\ (+)\ z\ (map\ length\ xs) \geq z$   
 ⟨proof⟩

**lemma** *foldl-take-mono*:

$i \leq j \implies foldl\ (+)\ z\ (map\ length\ (take\ i\ xs)) \leq foldl\ (+)\ z\ (map\ length\ (take\ j\ xs))$   
 ⟨proof⟩



## 9.4 Parse tree

**partial-function** (*option*) *build-tree'* :: 'a bins  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  'a tree option **where**

```

build-tree' bs  $\omega$  k i = (
  let e = bs!k!i in (
    case pointer e of
      Null  $\Rightarrow$  Some (Branch (item-rule-head (item e)) []) — start building sub-tree
    | Pre pre  $\Rightarrow$  ( — add sub-tree starting from terminal
      do {
        t  $\leftarrow$  build-tree' bs  $\omega$  (k-1) pre;
        case t of
          Branch N ts  $\Rightarrow$  Some (Branch N (ts @ [Leaf ( $\omega$ !(k-1))]))
        | -  $\Rightarrow$  undefined — impossible case
      })
    | PreRed (k', pre, red) -  $\Rightarrow$  ( — add sub-tree starting from non-terminal
      do {
        t  $\leftarrow$  build-tree' bs  $\omega$  k' pre;
        case t of
          Branch N ts  $\Rightarrow$ 
            do {
              t  $\leftarrow$  build-tree' bs  $\omega$  k red;
              Some (Branch N (ts @ [t]))
            }
          | -  $\Rightarrow$  undefined — impossible case
        })
      })
  ))

```

**declare** *build-tree'.simps* [code]

**definition** *build-tree* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  'a tree option **where**

```

build-tree  $\mathcal{G}$   $\omega$  bs = (
  let k = length bs - 1 in (
    case filter-with-index ( $\lambda$ x. is-finished  $\mathcal{G}$   $\omega$  x) (items (bs!k)) of
      []  $\Rightarrow$  None
    | (-, i)#-  $\Rightarrow$  build-tree' bs  $\omega$  k i
  ))

```

**lemma** *build-tree'-simps[simp]*:

```

e = bs!k!i  $\Longrightarrow$  pointer e = Null  $\Longrightarrow$  build-tree' bs  $\omega$  k i = Some (Branch
(item-rule-head (item e)) [])
e = bs!k!i  $\Longrightarrow$  pointer e = Pre pre  $\Longrightarrow$  build-tree' bs  $\omega$  (k-1) pre = None  $\Longrightarrow$ 
build-tree' bs  $\omega$  k i = None
e = bs!k!i  $\Longrightarrow$  pointer e = Pre pre  $\Longrightarrow$  build-tree' bs  $\omega$  (k-1) pre = Some (Branch
N ts)  $\Longrightarrow$ 
build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [Leaf ( $\omega$ !(k-1))]))
e = bs!k!i  $\Longrightarrow$  pointer e = Pre pre  $\Longrightarrow$  build-tree' bs  $\omega$  (k-1) pre = Some (Leaf
a)  $\Longrightarrow$ 
build-tree' bs  $\omega$  k i = undefined
e = bs!k!i  $\Longrightarrow$  pointer e = PreRed (k', pre, red) reds  $\Longrightarrow$  build-tree' bs  $\omega$  k' pre

```

= *None*  $\implies$   
   *build-tree'* *bs*  $\omega$  *k* *i* = *None*  
   *e* = *bs!**k!**i*  $\implies$  pointer *e* = *PreRed* (*k'*, *pre*, *red*) *reds*  $\implies$  *build-tree'* *bs*  $\omega$  *k'* *pre*  
 = *Some* (*Branch* *N* *ts*)  $\implies$   
   *build-tree'* *bs*  $\omega$  *k* *red* = *None*  $\implies$  *build-tree'* *bs*  $\omega$  *k* *i* = *None*  
   *e* = *bs!**k!**i*  $\implies$  pointer *e* = *PreRed* (*k'*, *pre*, *red*) *reds*  $\implies$  *build-tree'* *bs*  $\omega$  *k'* *pre*  
 = *Some* (*Leaf* *a*)  $\implies$   
   *build-tree'* *bs*  $\omega$  *k* *i* = *undefined*  
   *e* = *bs!**k!**i*  $\implies$  pointer *e* = *PreRed* (*k'*, *pre*, *red*) *reds*  $\implies$  *build-tree'* *bs*  $\omega$  *k'* *pre*  
 = *Some* (*Branch* *N* *ts*)  $\implies$   
   *build-tree'* *bs*  $\omega$  *k* *red* = *Some* *t*  $\implies$   
   *build-tree'* *bs*  $\omega$  *k* *i* = *Some* (*Branch* *N* (*ts* @ [*t*]))  
 <proof>

**definition** *wf-tree-input* :: ('a *bins*  $\times$  'a *sentence*  $\times$  *nat*  $\times$  *nat*) *set* **where**

*wf-tree-input* = {  
   (*bs*,  $\omega$ , *k*, *i*) | *bs*  $\omega$  *k* *i*.  
   *sound-ptrs*  $\omega$  *bs*  $\wedge$   
   *mono-red-ptr* *bs*  $\wedge$   
   *k* < *length* *bs*  $\wedge$   
   *i* < *length* (*bs!**k*)  
 }

**fun** *build-tree'-measure* :: ('a *bins*  $\times$  'a *sentence*  $\times$  *nat*  $\times$  *nat*)  $\Rightarrow$  *nat* **where**  
*build-tree'-measure* (*bs*,  $\omega$ , *k*, *i*) = *foldl* (+) 0 (*map* *length* (*take* *k* *bs*)) + *i*

**lemma** *wf-tree-input-pre*:

**assumes** (*bs*,  $\omega$ , *k*, *i*)  $\in$  *wf-tree-input*  
**assumes** *e* = *bs!**k!**i* pointer *e* = *Pre* *pre*  
**shows** (*bs*,  $\omega$ , (*k*-1), *pre*)  $\in$  *wf-tree-input*  
 <proof>

**lemma** *wf-tree-input-prered-pre*:

**assumes** (*bs*,  $\omega$ , *k*, *i*)  $\in$  *wf-tree-input*  
**assumes** *e* = *bs!**k!**i* pointer *e* = *PreRed* (*k'*, *pre*, *red*) *ps*  
**shows** (*bs*,  $\omega$ , *k'*, *pre*)  $\in$  *wf-tree-input*  
 <proof>

**lemma** *wf-tree-input-prered-red*:

**assumes** (*bs*,  $\omega$ , *k*, *i*)  $\in$  *wf-tree-input*  
**assumes** *e* = *bs!**k!**i* pointer *e* = *PreRed* (*k'*, *pre*, *red*) *ps*  
**shows** (*bs*,  $\omega$ , *k*, *red*)  $\in$  *wf-tree-input*  
 <proof>

**lemma** *build-tree'-induct*:

**assumes** (*bs*,  $\omega$ , *k*, *i*)  $\in$  *wf-tree-input*  
**assumes**  $\wedge$  *bs*  $\omega$  *k* *i*.  
 ( $\wedge$  *e* *pre*. *e* = *bs!**k!**i*  $\implies$  pointer *e* = *Pre* *pre*  $\implies$  *P* *bs*  $\omega$  (*k*-1) *pre*)  $\implies$   
 ( $\wedge$  *e* *k'* *pre* *red* *ps*. *e* = *bs!**k!**i*  $\implies$  pointer *e* = *PreRed* (*k'*, *pre*, *red*) *ps*  $\implies$  *P* *bs*

$\omega k' pre) \implies$   
 $(\bigwedge e k' pre red ps. e = bs!k!i \implies pointer e = PreRed (k', pre, red) ps \implies P bs$   
 $\omega k red) \implies$   
 $P bs \omega k i$   
**shows**  $P bs \omega k i$   
 $\langle proof \rangle$

**lemma** *build-tree'-termination*:  
**assumes**  $(bs, \omega, k, i) \in wf-tree-input$   
**shows**  $\exists N ts. build-tree' bs \omega k i = Some (Branch N ts)$   
 $\langle proof \rangle$

**lemma** *wf-item-tree-build-tree'*:  
**assumes**  $(bs, \omega, k, i) \in wf-tree-input$   
**assumes**  $wf-bins \mathcal{G} \omega bs$   
**assumes**  $k < length bs \ i < length (bs!k)$   
**assumes**  $build-tree' bs \omega k i = Some t$   
**shows**  $wf-item-tree \mathcal{G} (item (bs!k!i)) t$   
 $\langle proof \rangle$

**lemma** *wf-yield-tree-build-tree'*:  
**assumes**  $(bs, \omega, k, i) \in wf-tree-input$   
**assumes**  $wf-bins \mathcal{G} \omega bs$   
**assumes**  $k < length bs \ i < length (bs!k) \ k \leq length \omega$   
**assumes**  $build-tree' bs \omega k i = Some t$   
**shows**  $wf-yield-tree \omega (item (bs!k!i)) t$   
 $\langle proof \rangle$

**theorem** *wf-rule-root-yield-tree-build-forest*:  
**assumes**  $wf-bins \mathcal{G} \omega bs \ sound-ptrs \omega bs \ mono-red-ptr bs \ length bs = length \omega +$   
 $1$   
**assumes**  $build-tree \mathcal{G} \omega bs = Some t$   
**shows**  $wf-rule-tree \mathcal{G} t \wedge root-tree t = \mathfrak{S} \mathcal{G} \wedge yield-tree t = \omega$   
 $\langle proof \rangle$

**corollary** *wf-rule-root-yield-tree-build-tree-Earley<sub>L</sub>*:  
**assumes**  $wf-\mathcal{G} \mathcal{G} \ nonempty-derives \mathcal{G}$   
**assumes**  $build-tree \mathcal{G} \omega (Earley_L \mathcal{G} \omega) = Some t$   
**shows**  $wf-rule-tree \mathcal{G} t \wedge root-tree t = \mathfrak{S} \mathcal{G} \wedge yield-tree t = \omega$   
 $\langle proof \rangle$

**theorem** *correctness-build-tree-Earley<sub>L</sub>*:  
**assumes**  $wf-\mathcal{G} \mathcal{G} \ is-word \mathcal{G} \omega \ nonempty-derives \mathcal{G}$   
**shows**  $(\exists t. build-tree \mathcal{G} \omega (Earley_L \mathcal{G} \omega) = Some t) \iff derives \mathcal{G} [\mathfrak{S} \mathcal{G}] \omega \ (is$   
 $?L \iff ?R)$   
 $\langle proof \rangle$

## 9.5 those, map, map option lemmas

**lemma** *those-map-exists*:

*Some ys = those (map f xs)  $\implies$   $y \in \text{set } ys \implies \exists x. x \in \text{set } xs \wedge \text{Some } y \in \text{set } (\text{map } f \text{ } xs)$*   
 $\langle \text{proof} \rangle$

**lemma** *those-Some*:

$(\forall x \in \text{set } xs. \exists a. x = \text{Some } a) \longleftrightarrow (\exists ys. \text{those } xs = \text{Some } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *those-Some-P*:

**assumes**  $\forall x \in \text{set } xs. \exists ys. x = \text{Some } ys \wedge (\forall y \in \text{set } ys. P \ y)$   
**shows**  $\exists yss. \text{those } xs = \text{Some } yss \wedge (\forall ys \in \text{set } yss. \forall y \in \text{set } ys. P \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *map-Some-P*:

**assumes**  $z \in \text{set } (\text{map } f \text{ } xs)$   
**assumes**  $\forall x \in \text{set } xs. \exists ys. f \ x = \text{Some } ys \wedge (\forall y \in \text{set } ys. P \ y)$   
**shows**  $\exists ys. z = \text{Some } ys \wedge (\forall y \in \text{set } ys. P \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *those-map-FBranch-only*:

**assumes**  $g = (\lambda f. \text{case } f \text{ of } FBranch \ N \ fss \Rightarrow \text{Some } (FBranch \ N \ (fss \ @ \ [[FLeaf \ (\omega!(k-1))]]) \mid - \Rightarrow None))$   
**assumes**  $\text{Some } fs = \text{those } (\text{map } g \ \text{pres}) \ f \in \text{set } fs$   
**assumes**  $\forall f \in \text{set } \text{pres}. \exists N \ fss. f = FBranch \ N \ fss$   
**shows**  $\exists f\text{-pre } N \ fss. f = FBranch \ N \ (fss \ @ \ [[FLeaf \ (\omega!(k-1))]]) \wedge f\text{-pre} = FBranch \ N \ fss \wedge f\text{-pre} \in \text{set } \text{pres}$   
 $\langle \text{proof} \rangle$

**lemma** *those-map-Some-concat-exists*:

**assumes**  $y \in \text{set } (\text{concat } ys)$   
**assumes**  $\text{Some } ys = \text{those } (\text{map } f \text{ } xs)$   
**shows**  $\exists ys \ x. \text{Some } ys = f \ x \wedge y \in \text{set } ys \wedge x \in \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-concat-those-map-exists*:

**assumes**  $\text{Some } fs = \text{map-option } \text{concat } (\text{those } (\text{map } F \text{ } xs))$   
**assumes**  $f \in \text{set } fs$   
**shows**  $\exists fss \ fs'. \text{Some } fss = \text{those } (\text{map } F \text{ } xs) \wedge fs' \in \text{set } fss \wedge f \in \text{set } fs'$   
 $\langle \text{proof} \rangle$

**lemma** *[partial-function-mono]*:

*monotone option.le-fun option-ord*  
 $(\lambda f. \text{map-option } \text{concat } (\text{those } (\text{map } (\lambda((k', \text{pre}), \text{reds}).$   
 $f \ (((((r, s), k'), \text{pre}), \{\text{pre}\}) \gg=$   
 $(\lambda \text{pres}. \text{those } (\text{map } (\lambda \text{red}. f \ (((((r, s), t), \text{red}), b \cup \{\text{red}\})) \text{reds}) \gg=$   
 $(\lambda \text{rss}. \text{those } (\text{map } (\lambda f. \text{case } f \text{ of } FBranch \ N \ fss \Rightarrow \text{Some } (FBranch \ N \ (fss$   
 $@ \ [\text{concat } \text{rss}]) \mid - \Rightarrow None) \ \text{pres}))))))$

xs)))  
 ⟨proof⟩

## 9.6 Parse trees

**fun** *insert-group* :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a ⇒ ('k × 'v list) list ⇒ ('k × 'v list) list **where**  
   *insert-group* K V a [] = [(K a, [V a])]  
 | *insert-group* K V a ((k, vs)#xs) = (  
   if K a = k then (k, V a # vs) # xs  
   else (k, vs) # *insert-group* K V a xs  
 )

**fun** *group-by* :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a list ⇒ ('k × 'v list) list **where**  
   *group-by* K V [] = []  
 | *group-by* K V (x#xs) = *insert-group* K V x (*group-by* K V xs)

**lemma** *insert-group-cases*:

**assumes** (k, vs) ∈ set (*insert-group* K V a xs)  
**shows** (k = K a ∧ vs = [V a]) ∨ (k, vs) ∈ set xs ∨ (∃(k', vs') ∈ set xs. k' = k ∧ k = K a ∧ vs = V a # vs')  
 ⟨proof⟩

**lemma** *group-by-exists-kv*:

(k, vs) ∈ set (*group-by* K V xs) ⇒ ∃x ∈ set xs. k = K x ∧ (∃v ∈ set vs. v = V x)  
 ⟨proof⟩

**lemma** *group-by-forall-v-exists-k*:

(k, vs) ∈ set (*group-by* K V xs) ⇒ v ∈ set vs ⇒ ∃x ∈ set xs. k = K x ∧ v = V x  
 ⟨proof⟩

**partial-function** (*option*) *build-trees'* :: 'a bins ⇒ 'a sentence ⇒ nat ⇒ nat ⇒ nat set ⇒ 'a forest list *option* **where**

*build-trees'* bs ω k i I = (  
   let e = bs!k!i in (  
   case pointer e of  
     Null ⇒ Some ([FBranch (item-rule-head (item e)) []]) — start building sub-trees  
   | Pre pre ⇒ ( — add sub-trees starting from terminal  
     do {  
       pres ← *build-trees'* bs ω (k-1) pre {pre};  
       those (map (λf.  
         case f of  
           FBranch N fss ⇒ Some (FBranch N (fss @ [[FLeaf (ω!(k-1))]]))  
           | - ⇒ None — impossible case  
       ) pres)  
     })

```

| PreRed p ps ⇒ ( — add sub-trees starting from non-terminal
  let ps' = filter (λ(k', pre, red). red ∉ I) (p#ps) in
  let gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps' in
  map-option concat (those (map (λ((k', pre), reds).
    do {
      pres ← build-trees' bs ω k' pre {pre};
      rss ← those (map (λred. build-trees' bs ω k red (I ∪ {red}))) reds);
      those (map (λf.
        case f of
          FBranch N fss ⇒ Some (FBranch N (fss @ [concat rss]))
          | - ⇒ None — impossible case
        ) pres)
      }
    ) gs))
  )
))

```

**declare** *build-trees'.simps* [code]

**definition** *build-trees* :: 'a cfg ⇒ 'a sentence ⇒ 'a bins ⇒ 'a forest list option  
**where**

```

build-trees G ω bs = (
  let k = length bs - 1 in
  let finished = filter-with-index (λx. is-finished G ω x) (items (bs!k)) in
  map-option concat (those (map (λ(-, i). build-trees' bs ω k i {i}) finished))
)

```

**lemma** *build-forest'-simps*[simp]:

```

e = bs!k!i ⇒ pointer e = Null ⇒ build-trees' bs ω k i I = Some ([FBranch
(item-rule-head (item e)) []])
e = bs!k!i ⇒ pointer e = Pre pre ⇒ build-trees' bs ω (k-1) pre {pre} = None
⇒ build-trees' bs ω k i I = None
e = bs!k!i ⇒ pointer e = Pre pre ⇒ build-trees' bs ω (k-1) pre {pre} = Some
pres ⇒
  build-trees' bs ω k i I = those (map (λf. case f of FBranch N fss ⇒ Some
(FBranch N (fss @ [[FLeaf (ω!(k-1))]])) | - ⇒ None) pres)
⟨proof⟩

```

**definition** *wf-trees-input* :: ('a bins × 'a sentence × nat × nat × nat set) set  
**where**

```

wf-trees-input = {
  (bs, ω, k, i, I) | bs ω k i I.
  sound-ptrs ω bs ∧
  k < length bs ∧
  i < length (bs!k) ∧
  I ⊆ {0..<length (bs!k)} ∧
  i ∈ I
}

```

**fun** *build-forest'-measure* :: ('a bins × 'a sentence × nat × nat × nat set) ⇒ nat  
**where**  
*build-forest'-measure* (bs, ω, k, i, I) = foldl (+) 0 (map length (take (k+1) bs))  
– card I

**lemma** *wf-trees-input-pre*:  
**assumes** (bs, ω, k, i, I) ∈ *wf-trees-input*  
**assumes** e = bs!k!i pointer e = Pre pre  
**shows** (bs, ω, (k-1), pre, {pre}) ∈ *wf-trees-input*  
⟨proof⟩

**lemma** *wf-trees-input-prered-pre*:  
**assumes** (bs, ω, k, i, I) ∈ *wf-trees-input*  
**assumes** e = bs!k!i pointer e = PreRed p ps  
**assumes** ps' = filter (λ(k', pre, red). red ∉ I) (p#ps)  
**assumes** gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps'  
**assumes** ((k', pre), reds) ∈ set gs  
**shows** (bs, ω, k', pre, {pre}) ∈ *wf-trees-input*  
⟨proof⟩

**lemma** *wf-trees-input-prered-red*:  
**assumes** (bs, ω, k, i, I) ∈ *wf-trees-input*  
**assumes** e = bs!k!i pointer e = PreRed p ps  
**assumes** ps' = filter (λ(k', pre, red). red ∉ I) (p#ps)  
**assumes** gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps'  
**assumes** ((k', pre), reds) ∈ set gs red ∈ set reds  
**shows** (bs, ω, k, red, I ∪ {red}) ∈ *wf-trees-input*  
⟨proof⟩

**lemma** *build-trees'-induct*:  
**assumes** (bs, ω, k, i, I) ∈ *wf-trees-input*  
**assumes** ∧ bs ω k i I.  
(∧ e pre. e = bs!k!i ⇒ pointer e = Pre pre ⇒ P bs ω (k-1) pre {pre}) ⇒  
(∧ e p ps ps' gs k' pre reds. e = bs!k!i ⇒ pointer e = PreRed p ps ⇒  
ps' = filter (λ(k', pre, red). red ∉ I) (p#ps) ⇒  
gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps' ⇒  
((k', pre), reds) ∈ set gs ⇒ P bs ω k' pre {pre}) ⇒  
(∧ e p ps ps' gs k' pre red reds reds'. e = bs!k!i ⇒ pointer e = PreRed p ps  
⇒  
ps' = filter (λ(k', pre, red). red ∉ I) (p#ps) ⇒  
gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red). red) ps' ⇒  
((k', pre), reds) ∈ set gs ⇒ red ∈ set reds ⇒ P bs ω k red (I ∪ {red})) ⇒  
P bs ω k i I  
**shows** P bs ω k i I  
⟨proof⟩

**lemma** *build-trees'-termination*:  
**assumes** (bs, ω, k, i, I) ∈ *wf-trees-input*  
**shows** ∃ fs. *build-trees'* bs ω k i I = Some fs ∧ (∀ f ∈ set fs. ∃ N fss. f = FBranch

$N fss$ )  
(proof)

**lemma** *wf-item-tree-build-trees'*:  
assumes  $(bs, \omega, k, i, I) \in wf-trees-input$   
assumes  $wf-bins \mathcal{G} \omega bs$   
assumes  $k < length\ bs \ i < length\ (bs!k)$   
assumes  $build-trees' bs \ \omega \ k \ i \ I = Some\ fs$   
assumes  $f \in set\ fs$   
assumes  $t \in set\ (trees\ f)$   
shows  $wf-item-tree \ \mathcal{G} \ (item\ (bs!k!i)) \ t$   
(proof)

**lemma** *wf-ylid-tree-build-trees'*:  
assumes  $(bs, \omega, k, i, I) \in wf-trees-input$   
assumes  $wf-bins \mathcal{G} \omega bs$   
assumes  $k < length\ bs \ i < length\ (bs!k) \ k \leq length\ \omega$   
assumes  $build-trees' bs \ \omega \ k \ i \ I = Some\ fs$   
assumes  $f \in set\ fs$   
assumes  $t \in set\ (trees\ f)$   
shows  $wf-ylid-tree \ \omega \ (item\ (bs!k!i)) \ t$   
(proof)

**theorem** *wf-rule-root-ylid-tree-build-trees*:  
assumes  $wf-bins \mathcal{G} \omega bs \ sound-ptrs \ \omega \ bs \ length\ bs = length\ \omega + 1$   
assumes  $build-trees \ \mathcal{G} \ \omega \ bs = Some\ fs \ f \in set\ fs \ t \in set\ (trees\ f)$   
shows  $wf-rule-tree \ \mathcal{G} \ t \wedge root-tree \ t = \mathfrak{S} \ \mathcal{G} \wedge yield-tree \ t = \omega$   
(proof)

**corollary** *wf-rule-root-ylid-tree-build-trees-Earley<sub>L</sub>*:  
assumes  $wf-\mathcal{G} \ \mathcal{G} \ nonempty-derives \ \mathcal{G}$   
assumes  $build-trees \ \mathcal{G} \ \omega \ (Earley_L \ \mathcal{G} \ \omega) = Some\ fs \ f \in set\ fs \ t \in set\ (trees\ f)$   
shows  $wf-rule-tree \ \mathcal{G} \ t \wedge root-tree \ t = \mathfrak{S} \ \mathcal{G} \wedge yield-tree \ t = \omega$   
(proof)

**theorem** *soundness-build-trees-Earley<sub>L</sub>*:  
assumes  $wf-\mathcal{G} \ \mathcal{G} \ is-word \ \mathcal{G} \ \omega \ nonempty-derives \ \mathcal{G}$   
assumes  $build-trees \ \mathcal{G} \ \omega \ (Earley_L \ \mathcal{G} \ \omega) = Some\ fs \ f \in set\ fs \ t \in set\ (trees\ f)$   
shows  $derives \ \mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$   
(proof)

**theorem** *termination-build-tree-Earley<sub>L</sub>*:  
assumes  $wf-\mathcal{G} \ \mathcal{G} \ nonempty-derives \ \mathcal{G} \ derives \ \mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega$   
shows  $\exists fs. build-trees \ \mathcal{G} \ \omega \ (Earley_L \ \mathcal{G} \ \omega) = Some\ fs$   
(proof)

end

**theory** *Examples*  
imports *Earley-Parser*



begin

## 10 Epsilon productions

**definition**  $\varepsilon$ -free :: 'a cfg  $\Rightarrow$  bool **where**  
 $\varepsilon$ -free  $\mathcal{G} \longleftrightarrow (\forall r \in \text{set } (\mathfrak{R} \mathcal{G}). \text{rule-body } r \neq [])$

**lemma**  $\varepsilon$ -free-impl-non-empty-sentence-deriv:  
 $\varepsilon$ -free  $\mathcal{G} \Longrightarrow a \neq [] \Longrightarrow \neg \text{Derivation } \mathcal{G} \ a \ D \ []$   
(proof)

**lemma**  $\varepsilon$ -free-impl-non-empty-deriv:  
 $\varepsilon$ -free  $\mathcal{G} \Longrightarrow \forall N \in \text{set } (\mathfrak{N} \mathcal{G}). \neg \text{derives } \mathcal{G} \ [N] \ []$   
(proof)

**lemma** nonempty-deriv-impl- $\varepsilon$ -free:  
**assumes**  $\forall N \in \text{set } (\mathfrak{N} \mathcal{G}). \neg \text{derives } \mathcal{G} \ [N] \ [] \ \forall (N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G}). N \in \text{set } (\mathfrak{N} \mathcal{G})$   
**shows**  $\varepsilon$ -free  $\mathcal{G}$   
(proof)

**lemma** nonempty-deriv-iff- $\varepsilon$ -free:  
**assumes**  $\forall (N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G}). N \in \text{set } (\mathfrak{N} \mathcal{G})$   
**shows**  $(\forall N \in \text{set } (\mathfrak{N} \mathcal{G}). \neg \text{derives } \mathcal{G} \ [N] \ []) \longleftrightarrow \varepsilon$ -free  $\mathcal{G}$   
(proof)

## 11 Example 1: Addition

**datatype**  $t1 = x \mid \text{plus}$   
**datatype**  $n1 = S$   
**datatype**  $s1 = \text{Terminal } t1 \mid \text{Nonterminal } n1$

**definition** nonterminals1 ::  $s1$  list **where**  
nonterminals1 = [Nonterminal S]

**definition** terminals1 ::  $s1$  list **where**  
terminals1 = [Terminal x, Terminal plus]

**definition** rules1 ::  $s1$  rule list **where**  
rules1 = [  
  (Nonterminal S, [Terminal x]),  
  (Nonterminal S, [Nonterminal S, Terminal plus, Nonterminal S])  
]

**definition** start-symbol1 ::  $s1$  **where**  
start-symbol1 = Nonterminal S

**definition** cfg1 ::  $s1$  cfg **where**

*cfg1 = CFG nonterminals1 terminals1 rules1 start-symbol1*

**definition** *inp1 :: s1 list where*

*inp1 = [Terminal x, Terminal plus, Terminal x, Terminal plus, Terminal x]*

**lemmas** *cfg1-defs = cfg1-def nonterminals1-def terminals1-def rules1-def start-symbol1-def*

**lemma** *wf-G1:*

*wf-G cfg1*

*<proof>*

**lemma** *is-word-inp1:*

*is-word cfg1 inp1*

*<proof>*

**lemma** *nonempty-derives1:*

*nonempty-derives cfg1*

*<proof>*

**lemma** *correctness1:*

*recognizing (bins (Earley<sub>L</sub> cfg1 inp1)) cfg1 inp1  $\longleftrightarrow$  derives cfg1 [S cfg1] inp1*

*<proof>*

**lemma** *wf-tree1:*

**assumes** *build-tree cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some t*

**shows** *wf-rule-tree cfg1 t  $\wedge$  root-tree t = S cfg1  $\wedge$  yield-tree t = inp1*

*<proof>*

**lemma** *correctness-tree1:*

*( $\exists t$ . build-tree cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some t)  $\longleftrightarrow$  derives cfg1 [S cfg1] inp1*

*<proof>*

**lemma** *wf-trees1:*

**assumes** *build-trees cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some fs f  $\in$  set fs t  $\in$  set (trees f)*

**shows** *wf-rule-tree cfg1 t  $\wedge$  root-tree t = S cfg1  $\wedge$  yield-tree t = inp1*

*<proof>*

**lemma** *soundness-trees1:*

**assumes** *build-trees cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some fs f  $\in$  set fs t  $\in$  set (trees f)*

**shows** *derives cfg1 [S cfg1] inp1*

*<proof>*

## 12 Example 2: Cyclic reduction pointers

**datatype** *t2 = x*

**datatype** *n2 = A | B*

**datatype**  $s2 = \text{Terminal } t2 \mid \text{Nonterminal } n2$

**definition**  $\text{nonterminals2} :: s2 \text{ list where}$   
 $\text{nonterminals2} = [\text{Nonterminal } A, \text{Nonterminal } B]$

**definition**  $\text{terminals2} :: s2 \text{ list where}$   
 $\text{terminals2} = [\text{Terminal } x]$

**definition**  $\text{rules2} :: s2 \text{ rule list where}$   
 $\text{rules2} = [$   
     $(\text{Nonterminal } B, [\text{Nonterminal } A]),$   
     $(\text{Nonterminal } A, [\text{Nonterminal } B]),$   
     $(\text{Nonterminal } A, [\text{Terminal } x])$   
 $]$

**definition**  $\text{start-symbol2} :: s2 \text{ where}$   
 $\text{start-symbol2} = \text{Nonterminal } A$

**definition**  $\text{cfg2} :: s2 \text{ cfg where}$   
 $\text{cfg2} = \text{CFG nonterminals2 terminals2 rules2 start-symbol2}$

**definition**  $\text{inp2} :: s2 \text{ list where}$   
 $\text{inp2} = [\text{Terminal } x]$

**lemmas**  $\text{cfg2-defs} = \text{cfg2-def nonterminals2-def terminals2-def rules2-def start-symbol2-def}$

**lemma**  $\text{wf-}\mathcal{G}2:$   
 $\text{wf-}\mathcal{G} \text{ cfg2}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{is-word-inp2}:$   
 $\text{is-word } \text{cfg2 } \text{inp2}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{nonempty-derives2}:$   
 $\text{nonempty-derives } \text{cfg2}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{correctness2}:$   
 $\text{recognizing } (\text{bins } (\text{Earley}_L \text{ cfg2 } \text{inp2})) \text{ cfg2 } \text{inp2} \longleftrightarrow \text{derives } \text{cfg2 } [\mathfrak{S} \text{ cfg2}] \text{inp2}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{wf-tree2}:$   
**assumes**  $\text{build-tree } \text{cfg2 } \text{inp2 } (\text{Earley}_L \text{ cfg2 } \text{inp2}) = \text{Some } t$   
**shows**  $\text{wf-rule-tree } \text{cfg2 } t \wedge \text{root-tree } t = \mathfrak{S} \text{ cfg2} \wedge \text{yield-tree } t = \text{inp2}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{correctness-tree2}:$   
 $(\exists t. \text{build-tree } \text{cfg2 } \text{inp2 } (\text{Earley}_L \text{ cfg2 } \text{inp2}) = \text{Some } t) \longleftrightarrow \text{derives } \text{cfg2 } [\mathfrak{S}$

$cfg2] inp2$   
 $\langle proof \rangle$

**lemma** *wf-trees2*:

**assumes** *build-trees*  $cfg2\ inp2$  (*Earley<sub>L</sub>*  $cfg2\ inp2$ ) = *Some*  $fs\ f \in set\ fs\ t \in set$   
(*trees*  $f$ )

**shows** *wf-rule-tree*  $cfg2\ t \wedge root-tree\ t = \mathfrak{S}\ cfg2 \wedge yield-tree\ t = inp2$   
 $\langle proof \rangle$

**lemma** *soundness-trees2*:

**assumes** *build-trees*  $cfg2\ inp2$  (*Earley<sub>L</sub>*  $cfg2\ inp2$ ) = *Some*  $fs\ f \in set\ fs\ t \in set$   
(*trees*  $f$ )

**shows** *derives*  $cfg2\ [\mathfrak{S}\ cfg2] inp2$   
 $\langle proof \rangle$

**end**

## References

- [1] J. Earley. An efficient context-free parsing algorithm. *Commun. ACM*, 13(2):94102, 1970.
- [2] C. B. Jones. Formal development of correct algorithms: An example based on earley’s recogniser. In *Proceedings of ACM Conference on Proving Assertions about Programs*, page 150169, New York, NY, USA, 1972. Association for Computing Machinery.
- [3] S. Obua. Local lexing. *Archive of Formal Proofs*, 2017. <https://isa-afp.org/entries/LocalLexing.html>, Formal proof development.
- [4] S. Obua, P. Scott, and J. Fleuriot. Local lexing, 2017.
- [5] E. Scott. Sppf-style parsing from earley recognisers. *Electronic Notes in Theoretical Computer Science*, 203(2):53–67, 2008. Proceedings of the Seventh Workshop on Language Descriptions, Tools, and Applications (LDTA 2007).