

Proving a data flow analysis algorithm for computing dominators

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Abstract

This entry formalises a fast iterative algorithm for computing dominators [1]. It gives a specification of computing dominators on a control flow graph where each node refers to its reverse post order number. A semilattice of reversed-ordered list which represents dominators is built and a Kildall's algorithm on the semilattice is defined for computing dominators. Finally the soundness and completeness of the algorithm are proved w.r.t. the specification.

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1 The specification of computing dominators

```
theory Cfg
imports Main
begin
```

The specification of computing dominators is defined. For fast data flow analysis presented by CHK [1], a directed graph with explicit node list and

sets of initial nodes is defined. Each node refers to its rPO (reverse PostOrder) number w.r.t a DFST, and related properties as assumptions are handled using a locale.

type-synonym $'a$ *digraph* = $('a \times 'a)$ *set*

record $'a$ *graph-rec* =

$g\text{-}V :: 'a$ *list*
 $g\text{-}V0 :: 'a$ *set*
 $g\text{-}E :: 'a$ *digraph*

$tail :: 'a \times 'a \Rightarrow 'a$
 $head :: 'a \times 'a \Rightarrow 'a$

definition *wf-cfg* :: $'a$ *graph-rec* \Rightarrow *bool* **where**

$wf\text{-}cfg\ G \equiv g\text{-}V0\ G \subseteq set(g\text{-}V\ G)$

type-synonym *node* = *nat*

locale *cfg-doms* =

— Nodes are rPO numbers

fixes $G :: nat$ *graph-rec* (**structure**)

— General properties

assumes *wf-cfg*: $wf\text{-}cfg\ G$

assumes *tail[simp]*: $e = (u,v) \Longrightarrow tail\ G\ e = u$

assumes *head[simp]*: $e = (u,v) \Longrightarrow head\ G\ e = v$

assumes *tail-in-verts[simp]*: $e \in g\text{-}E\ G \Longrightarrow tail\ G\ e \in set(g\text{-}V\ G)$

assumes *head-in-verts[simp]*: $e \in g\text{-}E\ G \Longrightarrow head\ G\ e \in set(g\text{-}V\ G)$

— Properties of a cfg where nodes are rPO numbers

assumes *entry0*: $g\text{-}V0\ G = \{0\}$

assumes *dfst*: $\forall v \in set(g\text{-}V\ G) - \{0\}. \exists prev. (prev, v) \in g\text{-}E\ G \wedge prev < v$

assumes *reachable*: $\forall v \in set(g\text{-}V\ G). v \in (g\text{-}E\ G)^* \text{ `` } \{0\}$

assumes *verts*: $g\text{-}V\ G = [0 ..< (length(g\text{-}V\ G))]$

— It is required that the entry node has an immediate successor which is not itself; Otherwise, no need to compute dominators It is required for proving the lemma: "wf_dom start (unstables r step start)".

assumes *succ-of-entry0*: $\exists s. (0,s) \in g\text{-}E\ G \wedge s \neq 0$

begin

inductive *path-entry* :: nat *digraph* \Rightarrow nat *list* \Rightarrow nat \Rightarrow *bool* **for** E **where**

path-entry0: $path\text{-}entry\ E\ []\ 0$

| *path-entry-prepend*: $[(u,v) \in E; path\text{-}entry\ E\ l\ u] \Longrightarrow path\text{-}entry\ E\ (u\#\ l)\ v$

lemma *path-entry0-empty-conv*: $path\text{-}entry\ E\ []\ v \longleftrightarrow v = 0$

by (*auto intro: path-entry0 elim: path-entry.cases*)

inductive-cases *path-entry-uncons*: $\text{path-entry } E (u\#l) w$
inductive-simps *path-entry-cons-conv*: $\text{path-entry } E (u\#l) w$

lemma *single-path-entry*: $\text{path-entry } E [p] w \implies p = 0$
by (*simp add: path-entry-cons-conv path-entry0-empty-conv*)

lemma *path-entry-append*:
 $\llbracket \text{path-entry } E l v; (v,w) \in E \rrbracket \implies \text{path-entry } E (v\#l) w$
by (*rule path-entry-prepend*)

lemma *entry-rtrancl-is-path*:
assumes $(0,v) \in E^*$
obtains p **where** $\text{path-entry } E p v$
using *assms*
by *induct (auto intro:path-entry0 path-entry-prepend)*

lemma *path-entry-is-trancl*:
assumes $\text{path-entry } E l v$
and $l \neq []$
shows $(0,v) \in E^+$
using *assms*
apply *induct*
apply *auto []*
apply (*case-tac l*)
apply (*auto simp add:path-entry0-empty-conv*)
done

lemma *tail-is-vert*: $(u,v) \in g\text{-}E G \implies u \in \text{set } (g\text{-}V G)$
by (*auto dest: tail-in-verts[of (u,v)]*)

lemma *head-is-vert*: $(u,v) \in g\text{-}E G \implies v \in \text{set } (g\text{-}V G)$
by (*auto dest: head-in-verts[of (u,v)]*)

lemma *tail-is-vert2*: $(u,v) \in (g\text{-}E G)^+ \implies u \in \text{set } (g\text{-}V G)$
by (*induct rule:trancl.induct(auto dest: tail-in-verts)*)

lemma *head-is-vert2*: $(u,v) \in (g\text{-}E G)^+ \implies v \in \text{set } (g\text{-}V G)$
by (*induct rule:trancl.induct(auto dest: head-in-verts)*)

lemma *verts-set*: $\text{set } (g\text{-}V G) = \{0 \dots < \text{length } (g\text{-}V G)\}$
proof–
from *verts* **have** $\text{set } (g\text{-}V G) = \text{set } [0 \dots < (\text{length } (g\text{-}V G))]$ **by** *simp*
also **have** $\text{set } [0 \dots < (\text{length } (g\text{-}V G))] = \{0 \dots < (\text{length } (g\text{-}V G))\}$ **by** *simp*
ultimately show *?thesis* **by** *auto*
qed

lemma *fin-verts*: *finite* ($\text{set } (g\text{-}V G)$)
by (*auto*)

lemma *zero-in-verts*: $0 \in \text{set } (g-V G)$
using *wf-cfg entry0* **by** (*unfold wf-cfg-def*) *auto*

lemma *verts-not-empty*: $g-V G \neq []$
using *zero-in-verts* **by** *auto*

lemma *len-verts-gt0*: $\text{length } (g-V G) > 0$
by (*simp add:verts-not-empty*)

lemma *len-verts-gt1*: $\text{length } (g-V G) > 1$
proof–
from *succ-of-entry0* **obtain** s **where** $s \in \text{set } (g-V G)$ **and** $s \neq 0$ **using**
head-is-vert **by** *auto*
with *zero-in-verts* **have** $\{0, s\} \subseteq \text{set } (g-V G)$ **and** $c: \text{card } \{0, s\} > 1$ **by** *auto*
then have $\text{card } \{0, s\} \leq \text{card } (\text{set } (g-V G))$ **by** (*auto simp add:card-mono*)
with c **have** $\text{card } (\text{set } (g-V G)) > 1$ **by** *simp*
then show *?thesis* **using** *card-length*[*of g-V G*] **by** *auto*
qed

lemma *verts-ge-Suc0* : $\neg [0..<\text{length } (g-V G)] = [0]$
proof
assume $[0..<\text{length } (g-V G)] = [0]$
then have $\text{length } [0..<\text{length } (g-V G)] = 1$ **by** *simp*
with *len-verts-gt1* **show** *False* **by** *auto*
qed

lemma *distinct-verts1*: *distinct* $[0..<\text{length } (g-V G)]$
by *simp*

lemma *distinct-verts2*: *distinct* $(g-V G)$
by (*insert distinct-verts1 verts*) *simp*

lemma *single-entry*: *is-singleton* $(g-V0 G)$
by (*simp add:entry0*)

lemma *entry-is-0*: *the-elem* $(g-V0 G) = 0$
by (*simp add: entry0*)

lemma *wf-digraph*: *cfg-doms* G **by** *intro-locales*

lemma *path-entry-prepend-conv*: $\text{path-entry } (g-E G) p n \implies p \neq [] \implies \exists v.$
 $\text{path-entry } (g-E G) (\text{tl } p) v \wedge (v, n) \in (g-E G)$
proof (*induct rule:path-entry.induct*)
case *path-entry0* **then show** *?case* **by** *auto*
next
case (*path-entry-prepend u v l*)
then show *?case* **by** *auto*
qed

lemma *path-verts*: $\text{path-entry } (g-E \ G) \ p \ n \implies n \in \text{set } (g-V \ G)$
proof (*cases* $p = []$)
 case *True*
 assume $\text{path-entry } (g-E \ G) \ p \ n$ **and** $p = []$
 then show *?thesis* **by** (*simp add: path-entry0-empty-conv zero-in-verts*)
next
 case *False*
 assume $\text{path-entry } (g-E \ G) \ p \ n$ **and** $p \neq []$
 then have $(0,n) \in (g-E \ G)^+$ **by** (*auto simp add: path-entry-is-trancl*)
 then show *?thesis* **using** *head-is-vert2* **by** *simp*
qed

lemma *path-in-verts*:
 assumes $\text{path-entry } (g-E \ G) \ l \ v$
 shows $\text{set } l \subseteq \text{set } (g-V \ G)$
 using *assms*
proof (*induct rule: path-entry.induct*)
 case *path-entry0* **then show** *?case* **by** *auto*
next
 case (*path-entry-prepend* $u \ v \ l$)
 then show *?case* **using** *path-verts* **by** *auto*
qed

lemma *any-node-exits-path*:
 assumes $v \in \text{set } (g-V \ G)$
 shows $\exists p. \text{path-entry } (g-E \ G) \ p \ v$
 using *assms*
proof (*cases* $v = 0$)
 assume $v \in \text{set } (g-V \ G)$ **and** $v = 0$
 have $\text{path-entry } (g-E \ G) \ [] \ 0$ **by** (*auto simp add: path-entry0*)
 then show *?thesis* **using** $\langle v = 0 \rangle$ **by** *auto*
next
 assume $v \in \text{set } (g-V \ G)$ **and** $v \neq 0$
 with *reachable* **have** $v \in (g-E \ G)^* \ \{\!\{0\}\}$ **by** *auto*
 then have $(0,v) \in (g-E \ G)^*$ **by** (*simp add: Image-iff*)
 then show *?thesis* **by** (*auto intro: entry-rtrancl-is-path*)
qed

lemma *entry0-path*: $\text{path-entry } (g-E \ G) \ [] \ 0$
 by (*auto simp add: path-entry.path-entry0*)

definition *reachable* :: $\text{node} \Rightarrow \text{bool}$
 where $\text{reachable } v \equiv v \in (g-E \ G)^* \ \{\!\{0\}\}$

lemma *path-entry-reachable*:
 assumes $\text{path-entry } (g-E \ G) \ p \ n$
 shows *reachable* n
 using *assms reachable*

by (fastforce intro:path-verts simp add:reachable-def)

lemma nin-nodes-reachable: $n \notin \text{set } (g-V \ G) \implies \neg \text{reachable } n$

proof(rule ccontr)

assume $n \notin \text{set } (g-V \ G)$ and $nn: \neg \neg \text{reachable } n$

from $\langle n \notin \text{set } (g-V \ G) \rangle$ have $n \neq 0$ using verts-set len-verts-gt0 entry0 by auto

from nn have reachable n by auto

then have $n \in (g-E \ G)^*$ “ $\{0\}$ ” by (simp add: reachable-def)

then have $(0, n) \in (g-E \ G)^*$ by (auto simp add:Image-def)

with $\langle n \neq 0 \rangle$ have $\exists n'. (0, n') \in (g-E \ G)^* \wedge (n', n) \in (g-E \ G)$ by (auto intro:rtranclE)

then obtain n' where $(0, n') \in (g-E \ G)^*$ and $(n', n) \in (g-E \ G)$ by auto

then have $n \in \text{set } (g-V \ G)$ using head-is-vert by auto

with $\langle n \notin \text{set } (g-V \ G) \rangle$ show False

by auto

qed

lemma reachable-path-entry: $\text{reachable } n \implies \exists p. \text{path-entry } (g-E \ G) \ p \ n$

proof–

assume reachable n

then have $(0, n) \in (g-E \ G)^*$ by (auto simp add:reachable-def Image-iff)

then have $0 = n \vee 0 \neq n \wedge (0, n) \in (g-E \ G)^+$ by (auto simp add: rtrancl-eq-or-trancl)

then show ?thesis

proof

assume $0 = n$

have path-entry $(g-E \ G) \ [] \ 0$ by (simp add:path-entry0)

with $\langle 0 = n \rangle$ show ?thesis by auto

next

assume $0 \neq n \wedge (0, n) \in (g-E \ G)^+$

then have $(0, n) \in (g-E \ G)^+$ by (auto simp add:rtranclpD)

then have $n \in \text{set } (g-V \ G)$ by (simp add:head-is-vert2)

then show ?thesis by (rule any-node-exits-path)

qed

qed

lemma path-entry-unconc:

assumes path-entry $(g-E \ G) \ (la@lb) \ w$

obtains v where path-entry $(g-E \ G) \ lb \ v$

using assms

apply (induct $la@lb \ w$ arbitrary:la lb rule: path-entry.induct)

apply (fastforce intro:path-entry.intros)

by (auto intro:path-entry.intros iff add: Cons-eq-append-conv)

lemma path-entry-append-conv:

path-entry $(g-E \ G) \ (v\#l) \ w \longleftrightarrow (\text{path-entry } (g-E \ G) \ l \ v \wedge (v, w) \in (g-E \ G))$

proof

assume path-entry $(g-E \ G) \ (v\#l) \ w$

then show path-entry $(g-E \ G) \ l \ v \wedge (v, w) \in g-E \ G$

by (auto simp add:path-entry-cons-conv)
 next
 assume path-entry (g-E G) l v \wedge (v, w) \in g-E G
 then show path-entry (g-E G) (v # l) w by (fastforce intro: path-entry-append)
 qed

lemma takeWhileNot-path-entry:

assumes path-entry E p x
 and v \in set p
 and takeWhile ((\neq) v) (rev p) = c
 shows path-entry E (rev c) v
 using assms
 proof (induct rule: path-entry.induct)
 case path-entry0
 then show ?case by auto
 next
 case (path-entry-prepend u va l)
 then show ?case
 proof (cases v \in set l)
 case True note v-in = this
 then have takeWhile ((\neq) v) (rev (u # l)) = takeWhile ((\neq) v) (rev l) by auto
 with path-entry-prepend.premis(2) have takeWhile ((\neq) v) (rev l) = c by simp
 with v-in show ?thesis using path-entry-prepend.hyps(3) by auto
 next
 case False note v-nin = this
 with path-entry-prepend.premis(1) have v-u: v = u by auto
 then have take-eq: takeWhile ((\neq) v) (rev (u # l)) = takeWhile ((\neq) v) ((rev l) @ [u]) by auto
 from v-nin have $\bigwedge x. x \in$ set (rev l) \implies ((\neq) v) x by auto
 then have takeWhile ((\neq) v) ((rev l) @ [u]) = (rev l) @ takeWhile ((\neq) v) [u]
 by (rule takeWhile-append2) simp
 with v-u take-eq have takeWhile ((\neq) v) (rev (u # l)) = (rev l) by simp
 then show ?thesis using path-entry-prepend.premis(2) path-entry-prepend.hyps(2) v-u by auto
 qed
 qed

lemma path-entry-last: path-entry (g-E G) p n \implies p \neq [] \implies last p = 0

apply (induct rule: path-entry.induct)
 apply simp
 apply (simp add: path-entry-cons-conv neq-Nil-conv)
 apply (auto simp add:path-entry0-empty-conv)
 done

lemma path-entry-loop:

assumes n-path: path-entry (g-E G) p n
 and n: n \in set p
 shows $\exists p'. path-entry (g-E G) p' n \wedge n \notin set p'$
 using assms

proof –
let $?c = \text{takeWhile } ((\neq) n) (\text{rev } (p))$
have $\forall z \in \text{set } ?c. z \neq n$ **by** $(\text{auto dest: set-takeWhileD})$
then have $n \notin \text{set } (\text{rev } ?c)$ **by auto**

from $n\text{-path}$ **obtain** v **where** $\text{path-entry } (g\text{-E } G) (p) v$ **using** $\text{path-entry-prepend-conv}$
by auto
with n **have** $\text{path-entry } (g\text{-E } G) (\text{rev } ?c) n$ **by** $(\text{auto intro:takeWhileNot-path-entry})$

with $n\text{-nin}$ **show** $?thesis$ **by fastforce**
qed

lemma $\text{path-entry-hd-edge}$:
assumes $\text{path-entry } (g\text{-E } G) pa p$
and $pa \neq []$
shows $(\text{hd } pa, p) \in (g\text{-E } G)$
using assms
by $(\text{induct rule: path-entry.induct}) \text{ auto}$

lemma path-entry-edge :
assumes $pa \neq []$
and $\text{path-entry } (g\text{-E } G) pa p$
shows $\exists u \in \text{set } pa. (\text{path-entry } (g\text{-E } G) (\text{rev } (\text{takeWhile } ((\neq) u) (\text{rev } pa))) u) \wedge$
 $(u, p) \in (g\text{-E } G)$
using assms

proof–
from assms **have** $1: \text{path-entry } (g\text{-E } G) (\text{rev } (\text{takeWhile } ((\neq) (\text{hd } pa)) (\text{rev } pa)))$
 $(\text{hd } pa)$ **by** $(\text{auto intro:takeWhileNot-path-entry})$
from assms **have** $2: (\text{hd } pa, p) \in (g\text{-E } G)$ **by** $(\text{auto intro: path-entry-hd-edge})$
have $\text{hd } pa \in \text{set } pa$ **using** $\text{assms}(1)$ **by auto**
with $1\ 2$ **show** $?thesis$ **by auto**
qed

definition $\text{is-tail} :: \text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
where $\text{is-tail } v e = (v = \text{tail } G e)$

definition $\text{is-head} :: \text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
where $\text{is-head } v e = (v = \text{head } G e)$

definition $\text{succs} :: \text{node} \Rightarrow \text{node set}$
where $\text{succs } v = (g\text{-E } G) \text{ `` } \{v\}$

lemma succ-in-verts : $s \in \text{succs } n \implies \{s, n\} \subseteq \text{set } (g\text{-V } G)$
by $(\text{simp add:succs-def tail-is-vert head-is-vert})$

lemma succ0-not-nil : $\text{succs } 0 \neq \{\}$
using succ-of-entry0 **by** $(\text{auto simp add:succs-def})$

definition $\text{prevs} :: \text{node} \Rightarrow \text{node set}$ **where**

$prevs\ v = (converse\ (g-E\ G))\ \{\!\!\{v\}\!\!\}$

lemma $v \in succs\ u \longleftrightarrow u \in prevs\ v$
by $(auto\ simp\ add:succs-def\ prevs-def)$

lemma $succ-edge: \forall v \in succs\ n. (n, v) \in g-E\ G$
by $(auto\ simp\ add:succs-def)$

lemma $prev-edge: u \in set\ (g-V\ G) \implies \forall v \in prevs\ u. (v, u) \in g-E\ G$
by $(auto\ simp\ add:prevs-def)$

lemma $succ-in-G: \forall v \in succs\ n. v \in set\ (g-V\ G)$
by $(auto\ simp\ add:succs-def\ dest:head-in-verts)$

lemma $succ-is-subset-of-verts: \forall v \in set\ (g-V\ G). succs\ v \subseteq set(g-V\ G)$
by $(insert\ succ-in-G)\ auto$

lemma $fin-succs: \forall v \in set\ (g-V\ G). finite\ (succs\ v)$
by $(insert\ succ-is-subset-of-verts)\ (auto\ intro:rev-finite-subset)$

lemma $fin-succs': v < length\ (g-V\ G) \implies finite\ (succs\ v)$
by $(subgoal-tac\ v \in set\ (g-V\ G))$
 $(auto\ simp\ add: fin-succs\ verts-set)$

lemma $succ-range: \forall v \in succs\ n. v < length\ (g-V\ G)$
by $(insert\ succ-in-G\ verts-set)\ auto$

lemma $path-entry-gt:$

assumes $\forall p. path-entry\ E\ p\ n \longrightarrow d \in set\ p$
and $\forall p. path-entry\ E\ p\ n' \longrightarrow n \in set\ p$
shows $\forall p. path-entry\ E\ p\ n' \longrightarrow d \in set\ p$
using $assms$

proof $(intro\ strip)$

fix p

let $?npath = takeWhile\ ((\neq)\ n)\ (rev\ p)$

have $sub: set\ ?npath \subseteq set\ p$ **apply** $(induct\ p)$ **by** $(auto\ dest:set-takeWhileD)$

assume $ass: path-entry\ E\ p\ n'$

with $assms(2)$ **have** $n-in-p: n \in set\ p$ **by** $auto$

then **have** $n \in set\ (rev\ p)$ **by** $auto$

with ass **have** $path-entry\ E\ (rev\ ?npath)\ n$

using $takeWhileNot-path-entry$ **by** $auto$

with $assms(1)$ **have** $d \in set\ ?npath$ **by** $fastforce$

with sub **show** $d \in set\ p$ **by** $auto$

qed

definition $dominate :: nat \Rightarrow nat \Rightarrow bool$

where $dominate\ n1\ n2 \equiv$

$\forall pa. path-entry\ (g-E\ G)\ pa\ n2 \longrightarrow$

$$(n1 \in \text{set } pa \vee n1 = n2)$$

definition *strict-dominate*:: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

strict-dominate $n1$ $n2 \equiv$
 $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n2 \longrightarrow$
 $(n1 \in \text{set } pa \wedge n1 \neq n2)$

lemma *any-dominate-unreachable*: $\neg \text{reachable } n \implies \text{dominate } d \ n$

proof(*unfold reachable-def dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \{\{0\}\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain p **where** $p: \text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume *reachable* n

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \vee d = n$ **by** *auto*

qed

lemma *any-sdominate-unreachable*: $\neg \text{reachable } n \implies \text{strict-dominate } d \ n$

proof(*unfold reachable-def strict-dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \{\{0\}\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain p **where** $p: \text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume *reachable* n

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \wedge d \neq n$ **by** *auto*

qed

```

lemma dom-reachable: reachable n  $\implies$  dominate d n  $\implies$  reachable d
proof –
  assume reach-n: reachable n
    and dom-n: dominate d n
  from reach-n have  $\exists p$ . path-entry (g-E G) p n by (rule reachable-path-entry)
  then obtain p where p: path-entry (g-E G) p n by auto

  show reachable d
  proof (cases d  $\neq$  n)
    case True
      with dom-n p have d-in: d  $\in$  set p by (auto simp add:dominate-def)
      let ?pa = takeWhile (( $\neq$ ) d) (rev p)
      from d-in p have path-entry (g-E G) (rev ?pa) d using takeWhileNot-path-entry
by auto
      then show ?thesis using path-entry-reachable by auto
    next
      case False
      with reach-n show ?thesis by auto
  qed
qed

```

```

lemma dominate-refl: dominate n n
  by (simp add:dominate-def)

```

```

lemma entry0-dominates-all:  $\forall p \in \text{set } (g-V G)$ . dominate 0 p
proof(intro strip)
  fix p
  assume p  $\in$  set (g-V G)
  show dominate 0 p
  proof (cases p = 0)
    case True
      then show ?thesis by (auto simp add:dominate-def)
    next
      case False
      assume p-neq0: p  $\neq$  0
      have  $\forall pa$ . path-entry (g-E G) pa p  $\longrightarrow$  0  $\in$  set pa
      proof (intro strip)
        fix pa
        assume path-p: path-entry (g-E G) pa p
        show 0  $\in$  set pa
        proof (cases pa  $\neq$  [])
          case True note pa-n-nil = this
          with path-p have last-pa: last pa = 0 using path-entry-last by auto
          from pa-n-nil have last pa  $\in$  set pa by simp
          with last-pa show ?thesis by simp
        next
          case False
          with path-p have p = 0 by (simp add:path-entry0-empty-conv)
          with p-neq0 show ?thesis by auto
      qed
    qed
  qed

```

```

    qed
  qed
  then show ?thesis by (auto simp add:dominate-def)
  qed
  qed

```

```

lemma strict-dominate  $i j \implies j \in \text{set } (g-V G) \implies i \neq j$ 
  using any-node-exits-path
  by (auto simp add:strict-dominate-def)

```

```

definition non-strict-dominate::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  where
  non-strict-dominate  $n1 n2 \equiv \exists pa. \text{path-entry } (g-E G) pa n2 \wedge (n1 \notin \text{set } pa)$ 

```

```

lemma any-sdominate-0:  $n \in \text{set } (g-V G) \implies \text{non-strict-dominate } n 0$ 
  apply (simp add:non-strict-dominate-def)
  by (auto intro:path-entry0)

```

```

lemma non-sdominate-succ:  $(i,j) \in g-E G \implies k \neq i \implies \text{non-strict-dominate } k i \implies \text{non-strict-dominate } k j$ 

```

```

proof -
  assume  $i-j: (i,j) \in g-E G$  and  $k\text{-neq-}i: k \neq i$  and  $\text{non-strict-dominate } k i$ 
  then obtain  $pa$  where  $\text{path-entry } (g-E G) pa i$  and  $k\text{-nin-}pa: k \notin \text{set } pa$  by
  (auto simp add:non-strict-dominate-def)
  with  $i-j$  have  $\text{path-entry } (g-E G) (i\#pa) j$  by (auto simp add:path-entry-prepend)
  with  $k\text{-neq-}i$   $k\text{-nin-}pa$  show ?thesis by (auto simp add:non-strict-dominate-def)
  qed

```

```

lemma any-node-non-sdom0:  $\text{non-strict-dominate } k 0$ 
  by (auto intro:entry0-path simp add:non-strict-dominate-def)

```

```

lemma nonstrict-eq:  $\text{non-strict-dominate } i j \implies \neg \text{strict-dominate } i j$ 
  by (auto simp add:non-strict-dominate-def strict-dominate-def)

```

```

lemma dominate-trans:
  assumes  $\text{dominate } n1 n2$ 
    and  $\text{dominate } n2 n3$ 
  shows  $\text{dominate } n1 n3$ 
  using  $\text{assms}$ 
proof(cases  $n1 = n2$ )
  case True
  then show ?thesis using  $\text{assms}(2)$  by auto
next
  case False
  then show  $\text{dominate } n1 n3$ 
  proof (cases  $n1 = n3$ )
  case True
  then show ?thesis by (auto simp add:dominate-def)
  next

```

```

case False
show dominate n1 n3
proof (cases n2 = n3)
  case True
  then show ?thesis using assms(1) by auto
next
  case False
  with  $\langle n1 \neq n2 \rangle \langle n1 \neq n3 \rangle$  show ?thesis
  proof (auto simp add: dominate-def)
    fix pa
    assume  $n1 \neq n2$  and  $n1 \neq n3$  and  $n2 \neq n3$ 
    from  $\langle n1 \neq n2 \rangle$  assms(1) have  $n1-n2-pa: \forall pa. \text{path-entry } (g-E \ G) \ pa \ n2$ 
     $\longrightarrow n1 \in \text{set } pa$ 
    by (auto simp add:dominate-def)
    from  $\langle n2 \neq n3 \rangle$  assms(2) have  $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n3 \longrightarrow n2 \in$ 
set pa
    by (auto simp add:dominate-def)
    with  $n1-n2-pa$  have  $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n3 \longrightarrow n1 \in \text{set } pa$ 
    by (rule path-entry-gt)
    then show  $\bigwedge pa. \text{path-entry } (g-E \ G) \ pa \ n3 \implies n1 \in \text{set } pa$  by auto
  qed
qed
qed
qed

```

lemma *len-takeWhile-lt*: $x \in \text{set } xs \implies \text{length } (\text{takeWhile } ((\neq) \ x) \ xs) < \text{length } xs$
by (*induct xs*) *auto*

lemma *len-takeWhile-comp*:
assumes $n1 \in \text{set } xs$
and $n2 \in \text{set } xs$
and $n1 \neq n2$
shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ xs) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ xs)$
using *assms*
by (*induct xs*) *auto*

lemma *len-takeWhile-comp1*:
assumes $n1 \in \text{set } xs$
and $n2 \in \text{set } xs$
and $n1 \neq n2$
shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ (\text{rev } (x \# \ xs))) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ (\text{rev } (x \# \ xs)))$
using *assms len-takeWhile-comp[of n1 rev xs n2]* **by fastforce**

lemma *len-takeWhile-comp2*:
assumes $n1 \in \text{set } xs$
and $n2 \notin \text{set } xs$
shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ (\text{rev } (x \# \ xs))) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ (\text{rev } (x \# \ xs)))$

```

using assms
proof-
  let ?xs1 = takeWhile (( $\neq$ ) n1) (rev (x # xs))
  let ?xs2 = takeWhile (( $\neq$ ) n2) (rev (x # xs))
  from assms have len1: length (takeWhile (( $\neq$ ) n1) (rev xs)) < length (rev xs)
    using len-takeWhile-lt[of -rev xs] by auto

  from assms(1) have ?xs1 = takeWhile (( $\neq$ ) n1) (rev xs) by auto
  then have len2: length ?xs1 < length (rev xs) using len1 by auto

  from assms(2) have takeWhile (( $\neq$ ) n2) (rev xs @ [x]) = (rev xs) @ takeWhile
  (( $\neq$ ) n2) [x]
    by (fastforce intro:takeWhile-append2)
  then have ?xs2 = (rev xs) @ takeWhile (( $\neq$ ) n2) [x] by simp
  then show ?thesis using len2 by auto
qed

lemma len-compare1:
  assumes n1 = x and n2  $\neq$  x
    shows length (takeWhile (( $\neq$ ) n1) (rev (x # xs)))  $\neq$  length (takeWhile (( $\neq$ )
  n2) (rev (x # xs)))
    using assms
  proof(cases n1  $\in$  set xs  $\wedge$  n2  $\in$  set xs)
    case True
      with assms show ?thesis using len-takeWhile-comp1 by fastforce
    next
      let ?xs1 = takeWhile (( $\neq$ ) n1) (rev (x # xs))
      let ?xs2 = takeWhile (( $\neq$ ) n2) (rev (x # xs))

      case False
      then have n1  $\in$  set xs  $\wedge$  n2  $\notin$  set xs  $\vee$  n1  $\notin$  set xs  $\wedge$  n2  $\in$  set xs  $\vee$  n1  $\notin$  set xs
       $\wedge$  n2  $\notin$  set xs by auto
      then show ?thesis
      proof
        assume n1  $\in$  set xs  $\wedge$  n2  $\notin$  set xs
        then show ?thesis by (fastforce dest: len-takeWhile-comp2)
      next
        assume n1  $\notin$  set xs  $\wedge$  n2  $\in$  set xs  $\vee$  n1  $\notin$  set xs  $\wedge$  n2  $\notin$  set xs
        then show ?thesis
        proof
          assume n1  $\notin$  set xs  $\wedge$  n2  $\in$  set xs
          then have n1: n1  $\notin$  set xs and n2: n2  $\in$  set xs by auto
          have length ?xs2  $\neq$  length ?xs1 using len-takeWhile-comp2[OF n2 n1] by
auto
          then show ?thesis by simp
        next
          assume n1  $\notin$  set xs  $\wedge$  n2  $\notin$  set xs
          then have n1-nin: n1  $\notin$  set xs and n2-nin: n2  $\notin$  set xs by auto
          then have t1: takeWhile (( $\neq$ ) n1) (rev xs @ [x]) = (rev xs) @ takeWhile

```

```

((≠) n1) [x]
  and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @ takeWhile ((≠)
n2) [x]
  by (fastforce intro:takeWhile-append2)+
  with ⟨n1 = x⟩ ⟨n2 ≠ x⟩ have t1': takeWhile ((≠) n1) (rev xs @ [x]) = rev
xs
  and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @
[x] by auto
  then have length (takeWhile ((≠) n2) (rev xs @ [x])) = length ((rev xs) @
[x])
  using arg-cong[of takeWhile ((≠) n2) (rev xs @ [x]) rev xs @ [x] length] by
fastforce
  with t1' show ?thesis by auto
qed
qed
qed

```

lemma *len-compare2*:

```

assumes n1 ∈ set xs
  and n1 ≠ n2
  shows length (takeWhile ((≠) n1) (rev (x # xs))) ≠ length (takeWhile ((≠)
n2) (rev (x # xs)))
  using assms
  apply(case-tac n2 ∈ set xs)
  apply (fastforce dest:len-takeWhile-comp1)
  apply (fastforce dest:len-takeWhile-comp2)
  done

```

lemma *len-takeWhile-set*:

```

assumes length (takeWhile ((≠) n1) xs) > length (takeWhile ((≠) n2) xs)
  and n1 ≠ n2
  and n1 ∈ set xs
  and n2 ∈ set xs
  shows set (takeWhile ((≠) n2) xs) ⊆ set (takeWhile ((≠) n1) xs)
  using assms

```

proof (*induct xs*)

case *Nil* then show ?case by auto

next

```

  case (Cons y ys)
  note ind-hyp = Cons(1)
  note len-n2-lt-n1-y-ys = Cons(2)
  note n1-n-n2 = Cons(3)
  note n1-in-y-ys = Cons(4)
  note n2-in-y-ys = Cons(5)

```

let ?ys1-take = takeWhile ((≠) n1) ys

let ?ys2-take = takeWhile ((≠) n2) ys

show ?case

```

proof(cases  $n1 \in \text{set } ys$ )
  case True note  $n1\text{-in-ys} = \text{this}$ 
  show ?thesis
proof(cases  $n2 \in \text{set } ys$ )
  case True note  $n2\text{-in-ys} = \text{this}$ 
  show ?thesis
proof (cases  $n1 \neq y$ )
  case True note  $n1\text{-neq-y} = \text{this}$ 
  show ?thesis
proof (cases  $n2 \neq y$ )
  case True note  $n2\text{-neq-y} = \text{this}$ 
  from  $\text{len-}n2\text{-lt-}n1\text{-y-ys}$  have  $\text{length } ?ys2\text{-take} < \text{length } ?ys1\text{-take}$ 
  using  $n1\text{-n-}n2$   $n1\text{-in-ys}$   $n2\text{-in-ys}$   $n1\text{-neq-y}$   $n2\text{-neq-y}$  by (induct ys) auto
  from ind-hyp[OF this  $n1\text{-n-}n2$   $n1\text{-in-ys}$   $n2\text{-in-ys}$ ]
  have  $\text{set } (\text{takeWhile } ((\neq) \ n2) \ ys) \subseteq \text{set } (\text{takeWhile } ((\neq) \ n1) \ ys)$  by auto
  then show ?thesis using  $n1\text{-neq-y}$   $n2\text{-neq-y}$  by (induct ys) auto
next
  case False
  with  $n1\text{-n-}n2$  show ?thesis by auto
qed
next
  case False
  with  $n1\text{-n-}n2$  show ?thesis using  $\text{len-}n2\text{-lt-}n1\text{-y-ys}$  by auto
qed
next
  case False
  with  $n2\text{-in-ys}$  have  $n2 = y$  by auto
  then show ?thesis by auto
qed
next
  case False
  with  $n1\text{-in-ys}$  have  $n1 = y$  by auto
  with  $n1\text{-n-}n2$  show ?thesis using  $\text{len-}n2\text{-lt-}n1\text{-y-ys}$  by auto
qed
qed

```

lemma *reachable-dom-acyclic*:

```

assumes reachable  $n2$ 
  and dominate  $n1$   $n2$ 
  and dominate  $n2$   $n1$ 
shows  $n1 = n2$ 

```

using *assms*

proof –

```

from assms(1) assms(2) have reachable  $n1$  by (auto intro: dom-reachable)
then have  $\exists pa. \text{path-entry } (g\text{-}E \ G) \ pa \ n1$  by (auto intro: reachable-path-entry)
then obtain  $pa$  where  $pa: \text{path-entry } (g\text{-}E \ G) \ pa \ n1$  by auto

```

let $?n\text{-take-}n1 = \text{takeWhile } ((\neq) \ n1) \ (\text{rev } pa)$

let $?n\text{-take-}n2 = \text{takeWhile } ((\neq) \ n2) \ (\text{rev } pa)$


```

show  $n1 = n2$ 
proof(rule ccontr)
  assume  $n1\text{-neq-}n2$ :  $n1 \neq n2$ 
  then have  $pa\text{-}n1\text{-}n2$ :  $\forall pa. \text{path-entry } (g\text{-}E\ G) \ pa \ n2 \longrightarrow n1 \in \text{set } pa$ 
    and  $pa\text{-}n2\text{-}n1$ :  $\forall pa. \text{path-entry } (g\text{-}E\ G) \ pa \ n1 \longrightarrow n2 \in \text{set } pa$  using
assms(2) assms(3)
  by (auto simp add:dominate-def)
  then have  $n1\text{-}n1\text{-}pa$ :  $\forall pa. \text{path-entry } (g\text{-}E\ G) \ pa \ n1 \longrightarrow n1 \in \text{set } pa$  by (rule
path-entry-gt)
  with  $pa \ pa\text{-}n2\text{-}n1$  have  $n1\text{-in-}pa$ :  $n1 \in \text{set } pa$ 
    and  $n2\text{-in-}pa$ :  $n2 \in \text{set } pa$  by auto
  with  $n1\text{-neq-}n2$  have  $len\text{-neq}$ :  $\text{length } ?n\text{-take-}n1 \neq \text{length } ?n\text{-take-}n2$ 
    by (auto simp add:len-takeWhile-comp)

from  $pa \ n1\text{-in-}pa \ n2\text{-in-}pa$  have  $path1$ :  $\text{path-entry } (g\text{-}E\ G) \ (\text{rev } ?n\text{-take-}n1) \ n1$ 
  and  $path2$ :  $\text{path-entry } (g\text{-}E\ G) \ (\text{rev } ?n\text{-take-}n2) \ n2$ 
  using takeWhileNot-path-entry by auto

have  $n1\text{-not-in}$ :  $n1 \notin \text{set } ?n\text{-take-}n1$  by (auto dest:set-takeWhileD[of - - rev
pa])
have  $n2\text{-not-in}$ :  $n2 \notin \text{set } ?n\text{-take-}n2$  by (auto dest:set-takeWhileD[of - - rev
pa])

show False
proof(cases length ?n-take-}n1 > length ?n-take-}n2)
  case True
  then have  $\text{set } ?n\text{-take-}n2 \subseteq \text{set } ?n\text{-take-}n1$ 
    using  $n1\text{-in-}pa \ n2\text{-in-}pa$  by (auto dest:len-takeWhile-set[of - - rev pa])
  then have  $n1 \notin \text{set } ?n\text{-take-}n2$  using  $n1\text{-not-in}$  by auto
  with  $path2$  show False using  $pa\text{-}n1\text{-}n2$  by auto
  next
  case False
  with  $len\text{-neq}$  have  $\text{length } ?n\text{-take-}n2 > \text{length } ?n\text{-take-}n1$  by auto
  then have  $\text{set } ?n\text{-take-}n1 \subseteq \text{set } ?n\text{-take-}n2$ 
    using  $n1\text{-neq-}n2 \ n2\text{-in-}pa \ n1\text{-in-}pa$  by (auto dest:len-takeWhile-set)
  then have  $n2 \notin \text{set } ?n\text{-take-}n1$  using  $n2\text{-not-in}$  by auto
  with  $path1$  show False using  $pa\text{-}n2\text{-}n1$  by auto
  qed
qed
qed

lemma sdom-dom:  $\text{strict-dominate } n1 \ n2 \Longrightarrow \text{dominate } n1 \ n2$ 
  by (auto simp add:strict-dominate-def dominate-def)

lemma dominate-sdominate:  $\text{dominate } n1 \ n2 \Longrightarrow n1 \neq n2 \Longrightarrow \text{strict-dominate } n1$ 
 $n2$ 
  by (auto simp add:strict-dominate-def dominate-def)

```

lemma *sdom-neq*:
assumes *reachable n2*
and *strict-dominate n1 n2*
shows $n1 \neq n2$
using *assms*
proof –
from *assms(1)* **have** $\exists p. \text{path-entry } (g-E \ G) \ p \ n2$ **by** (*rule reachable-path-entry*)

then obtain *p* **where** *path-entry (g-E G) p n2* **by** *auto*
with *assms(2)* **show** *?thesis* **by** (*auto simp add:strict-dominate-def*)
qed

lemma *reachable-dom-acyclic2*:
assumes *reachable n2*
and *strict-dominate n1 n2*
shows $\neg \text{dominate } n2 \ n1$
using *assms*
proof –
from *assms* **have** *n1-dom-n2: dominate n1 n2* **and** *n1-neq-n2: n1 \neq n2*
by (*auto simp add:sdom-dom sdom-neq*)
with *assms(1)* **have** *dominate n2 n1 \implies n1 = n2* **using** *reachable-dom-acyclic*
by *auto*
with *n1-neq-n2* **show** *?thesis* **by** *auto*
qed

lemma *not-dom-eq-not-sdom*: $\neg \text{dominate } n1 \ n2 \implies \neg \text{strict-dominate } n1 \ n2$
by (*auto simp add:strict-dominate-def dominate-def*)

lemma *reachable-sdom-acyclic*:
assumes *reachable n2*
and *strict-dominate n1 n2*
shows $\neg \text{strict-dominate } n2 \ n1$
using *assms*
apply (*insert reachable-dom-acyclic2[OF assms(1) assms(2)]*)
by (*auto simp add:not-dom-eq-not-sdom*)

lemma *strict-dominate-trans1*:
assumes *strict-dominate n1 n2*
and *dominate n2 n3*
shows *strict-dominate n1 n3*
using *assms*
proof (*cases reachable n2*)
case *True* **note** *reach-n2 = this*
with *assms(1)* **have** *n1-dom-n2: dominate n1 n2* **and** *n1-neq-n2: n1 \neq n2*
by (*auto simp add:sdom-dom sdom-neq*)
with *assms(2)* **have** *n1-dom-n3: dominate n1 n3* **by** (*auto intro: dominate-trans*)
have *n1-neq-n3: n1 \neq n3*
proof (*rule ccontr*)

```

    assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
    with assms(2) have n2-dom-n1: dominate n2 n1 by simp
    with reach-n2 n1-dom-n2 have  $n1 = n2$  by (auto dest:reachable-dom-acyclic)
    with n1-neq-n2 show False by auto
  qed
  with n1-dom-n3 show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False note not-reach-n2 = this
have  $\neg$  reachable n3
proof (rule ccontr)
  assume  $\neg \neg$  reachable n3
  with assms(2) have reachable n2 by (auto intro: dom-reachable)
  with not-reach-n2 show False by auto
qed
then show ?thesis by (auto intro:any-sdominate-unreachable)
qed

```

```

lemma strict-dominate-trans2:
  assumes dominate n1 n2
    and strict-dominate n2 n3
  shows strict-dominate n1 n3
  using assms
proof (cases reachable n3)
case True
  with assms(2) have n2-dom-n3: dominate n2 n3 and n1-neq-n2:  $n2 \neq n3$ 
  by (auto simp add:sdom-dom sdom-neq)
  with assms(1) have n1-dom-n3: dominate n1 n3 by (auto intro: dominate-trans)
  have n1-neq-n3:  $n1 \neq n3$ 
  proof (rule ccontr)
    assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
    with assms(1) have dominate n3 n2 by simp
    with <reachable n3> n2-dom-n3 have  $n2 = n3$  by (auto dest:reachable-dom-acyclic)
    with n1-neq-n2 show False by auto
  qed
  with n1-dom-n3 show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False
  then have  $\neg$  reachable n3 by simp
  then show ?thesis by (auto intro:any-sdominate-unreachable)
qed

```

```

lemma strict-dominate-trans:
  assumes strict-dominate n1 n2
    and strict-dominate n2 n3
  shows strict-dominate n1 n3
  using assms
  apply(subgoal-tac dominate n2 n3)
  apply(rule strict-dominate-trans1)
  apply (auto simp add: strict-dominate-def dominate-def)

```

done

lemma *sdominate-dominate-succs*:
assumes *i-sdom-j*: *strict-dominate i j*
and *j-in-succ-k*: $j \in \text{succs } k$
shows *dominate i k*

proof (*rule ccontr*)
assume *ass*: $\neg \text{dominate } i k$
then obtain *p* **where** *path-k*: *path-entry (g-E G) p k* **and** *i-nin-p*: $i \notin \text{set } p$ **by**
(*auto simp add:dominate-def*)
with *j-in-succ-k i-sdom-j* **have** $i = k \vee i = j$ **by** (*auto intro:path-entry-append simp add:succs-def strict-dominate-def*)

from *j-in-succ-k* **have** *reachable j* **using** *succ-in-verts reachable* **by** (*auto simp add:reachable-def*)
with *i-sdom-j* **have** $i \neq j$ **by** (*auto simp add: sdom-neq*)
with *i* **have** $i = k$ **by** *auto*
then have *dominate i k* **by** (*auto simp add:dominate-refl*)
with *ass* **show** *False* **by** *auto*

qed

end

end

2 More auxiliary lemmas for Lists Sorted wrt <

theory *Sorted-Less2*

imports *Main HOL-Data-Structures.Cmp HOL-Data-Structures.Sorted-Less*
begin

lemma *Cons-sorted-less*: $\text{sorted } (\text{rev } xs) \implies \forall x \in \text{set } xs. x < p \implies \text{sorted } (\text{rev } (p \# xs))$
by (*induct xs*) (*auto simp add:sorted-wrt-append*)

lemma *Cons-sorted-less-nth*: $\forall x < \text{length } xs. xs ! x < p \implies \text{sorted } (\text{rev } xs) \implies \text{sorted } (\text{rev } (p \# xs))$
apply (*subgoal-tac* $\forall x \in \text{set } xs. x < p$)
apply (*fastforce dest:Cons-sorted-less*)
apply (*auto simp add: set-conv-nth*)
done

lemma *distinct-sorted-rev*: $\text{sorted } (\text{rev } xs) \implies \text{distinct } xs$
by (*induct xs*) (*auto simp add:sorted-wrt-append*)

lemma *sorted-le2lt*:
assumes *List.sorted xs*
and *distinct xs*
shows *sorted xs*

```

using assms
proof (induction xs)
  case Nil then show ?case by auto
next
  case (Cons x xs)
  note ind-hyp-xs = Cons(1)
  note sorted-le-x-xs = Cons(2)
  note dist-x-xs = Cons(3)
  from dist-x-xs have x-neq-xs:  $\forall v \in \text{set } xs. x \neq v$ 
    and dist: distinct xs by auto
  from sorted-le-x-xs have sorted-le-xs: List.sorted xs
    and x-le-xs:  $\forall v \in \text{set } xs. v \geq x$  by auto
  from x-neq-xs x-le-xs have x-lt-xs:  $\forall v \in \text{set } xs. v > x$  by fastforce
  from ind-hyp-xs[OF sorted-le-xs dist] have sorted xs by auto
  with x-lt-xs show ?case by auto
qed

```

```

lemma sorted-less-sorted-list-of-set: sorted (sorted-list-of-set S)
  by (auto intro:sorted-le2lt)

```

```

lemma distinct-sorted: sorted xs  $\implies$  distinct xs
  by (induct xs) (auto simp add: sorted-wrt-append)

```

```

lemma sorted-less-set-unique:
  assumes sorted xs
    and sorted ys
    and set xs = set ys
  shows xs = ys
  using assms
proof –
  from assms(1) have distinct xs and List.sorted xs by (induct xs) auto
  also from assms(2) have distinct ys and List.sorted ys by (induct ys) auto
  ultimately show xs = ys using assms(3) by (auto intro: sorted-distinct-set-unique)
qed

```

```

lemma sorted-less-rev-set-unique:
  assumes sorted (rev xs)
    and sorted (rev ys)
    and set xs = set ys
  shows xs = ys
  using assms sorted-less-set-unique[of rev xs rev ys] by auto

```

```

lemma sorted-less-set-eq:
  assumes sorted xs
  shows xs = sorted-list-of-set (set xs)
  using assms
  apply(subgoal-tac sorted (sorted-list-of-set (set xs)))
  apply(auto intro: sorted-less-set-unique sorted-le2lt)
  done

```

```

lemma sorted-less-rev-set-eq:
  assumes sorted (rev xs)
    shows sorted-list-of-set (set xs) = rev xs
    using assms sorted-less-set-eq[of rev xs] by auto

```

```

lemma sorted-insert-remove1: sorted w  $\implies$  (insert a (remove1 a w)) = sorted-list-of-set
(insert a (set w))

```

```

proof–
  assume sorted w
  then have (sorted-list-of-set (set w – {a})) = remove1 a w using sorted-less-set-eq
    by (fastforce simp add:sorted-list-of-set-remove)
  hence insert a (remove1 a w) = insert a (sorted-list-of-set (set w – {a})) by
simp
  then show ?thesis by (auto simp add:sorted-list-of-set-insert)
qed

```

end

3 Operations on sorted lists

```

theory Sorted-List-Operations2
imports Sorted-Less2
begin

```

The definition and the `inter_sorted_correct` lemma in this theory are the same as those in `Collections` [2]. except the former is for a descending list while the latter is for an ascending one.

```

fun inter-sorted-rev :: 'a::{linorder} list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  inter-sorted-rev [] l2 = []
| inter-sorted-rev l1 [] = []
| inter-sorted-rev (x1 # l1) (x2 # l2) =
  (if (x1 > x2) then (inter-sorted-rev l1 (x2 # l2)) else
  (if (x1 = x2) then x1 # (inter-sorted-rev l1 l2) else inter-sorted-rev (x1 # l1)
  l2))

```

```

lemma inter-sorted-correct :
  assumes l1-OK: sorted (rev l1)
    assumes l2-OK: sorted (rev l2)
    shows sorted (rev (inter-sorted-rev l1 l2))  $\wedge$  set (inter-sorted-rev l1 l2) = set
l1  $\cap$  set l2
using assms
proof (induct l1 arbitrary: l2)
  case Nil thus ?case by simp
next
  case (Cons x1 l1 l2)
  note x1-l1-props = Cons(2)
  note l2-props = Cons(3)

```

```

from x1-l1-props have l1-props: sorted (rev l1)
      and x1-nin-l1:  $x1 \notin \text{set } l1$ 
      and x1-gt:  $\bigwedge x. x \in \text{set } l1 \implies x1 > x$ 
by (auto simp add: Ball-def sorted-wrt-append)

note ind-hyp-l1 = Cons(1)[OF l1-props]
show ?case
using l2-props
proof (induct l2)
  case Nil with x1-l1-props show ?case by simp
next
  case (Cons x2 l2)
  note x2-l2-props = Cons(2)
  from x2-l2-props have l2-props: sorted (rev l2)
      and x2-nin-l2:  $x2 \notin \text{set } l2$ 
      and x2-gt:  $\bigwedge x. x \in \text{set } l2 \implies x2 > x$ 
by (auto simp add: Ball-def sorted-wrt-append)

  note ind-hyp-l2 = Cons(1)[OF l2-props]
  show ?case
  proof (cases x1 > x2)
    case True note x1-gt-x2 = this
    have  $\text{set } l1 \cap \text{set } (x2 \# l2) = \text{set } (x1 \# l1) \cap \text{set } (x2 \# l2)$ 
      using x1-gt-x2 x1-nin-l1 x2-nin-l2 x1-gt x2-gt
      by fastforce
    then show ?thesis using ind-hyp-l1[OF x2-l2-props] using x1-gt-x2 x1-nin-l1
x2-nin-l2 x1-gt x2-gt
      by (auto simp add: Ball-def sorted-wrt-append)
    next
    case False note x2-ge-x1 = this
    show ?thesis
    proof (cases x1 = x2)
      case True note x1-eq-x2 = this
      then show ?thesis using ind-hyp-l1[OF l2-props]
        using x1-eq-x2 x1-nin-l1 x2-nin-l2 x1-gt x2-gt by (auto simp add: Ball-def
sorted-wrt-append)
      next
      case False note x1-neq-x2 = this
      with x2-ge-x1 have x2-gt-x1 :  $x2 > x1$  by auto
      from ind-hyp-l2 x2-ge-x1 x1-neq-x2 x2-gt x2-nin-l2 x1-gt
      show ?thesis by auto
    qed
  qed
qed
qed

```

lemma *inter-sorted-rev-refl*: *inter-sorted-rev xs xs = xs*
by (*induct xs*) *auto*

```

lemma inter-sorted-correct-col:
  assumes sorted (rev xs)
    and sorted (rev ys)
    shows (inter-sorted-rev xs ys) = rev (sorted-list-of-set (set xs  $\cap$  set ys))
  using assms
proof-
  from assms have 1: sorted (rev (inter-sorted-rev xs ys))
    and 2: set (inter-sorted-rev xs ys) = set xs  $\cap$  set ys using inter-sorted-correct by auto
  have sorted (rev (rev (sorted-list-of-set (set xs  $\cap$  set ys)))) by (simp add:sorted-less-sorted-list-of-set)
  with 1 2 show ?thesis by (auto intro:sorted-less-rev-set-unique)
qed

```

```

lemma cons-set-eq: set (x # xs)  $\cap$  set xs = set xs
  by auto

```

```

lemma inter-sorted-cons: sorted (rev (x # xs))  $\implies$  inter-sorted-rev (x # xs) xs =
xs

```

```

proof-
  assume ass: sorted (rev (x # xs))
  then have sorted-xs: sorted (rev xs) by (auto simp add:sorted-wrt-append)
  with ass have inter-sorted-rev (x # xs) xs = rev (sorted-list-of-set (set (x # xs)
 $\cap$  set xs))
    by (simp add:inter-sorted-correct-col)
  then have inter-sorted-rev (x # xs) xs = rev (rev xs) using sorted-xs by (simp
only:cons-set-eq sorted-less-rev-set-eq)
  then show ?thesis using sorted-xs by auto
qed

```

end

4 A semilattice of reversed-ordered list

```

theory Dom-Semi-List
imports Main Jinja.Semilat Sorted-List-Operations2 Sorted-Less2 Cfg
begin

```

```

type-synonym node = nat

```

```

context cfg-doms
begin

```

```

definition nodes :: nat list
  where nodes  $\equiv$  (g-V G)

```

```

definition nodes-le :: node list  $\Rightarrow$  node list  $\Rightarrow$  bool where
nodes-le xs ys  $\equiv$  (sorted (rev ys)  $\wedge$  sorted (rev xs)  $\wedge$  (set ys)  $\subseteq$  (set xs))  $\vee$  xs = ys

```


definition *nodes-sup* :: *node list* \Rightarrow *node list* \Rightarrow *node list* **where**
nodes-sup = ($\lambda x y.$ *inter-sorted-rev* *x y*)

definition *nodes-semi* :: *node list sl* **where**
nodes-semi \equiv ((*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set* (*nodes*))), *nodes-le*, *nodes-sup*
)

lemma *subset-nodes-inpow*:

assumes *sorted* (*rev xs*)

and *set xs* \subseteq *set nodes*

shows *xs* \in (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set nodes*))

proof –

from *assms*(1) **have** (*sorted-list-of-set* (*set xs*)) = *rev xs* **by** (*auto intro:sorted-less-rev-set-eq*)

then have *rev* (*rev xs*) = *rev* (*sorted-list-of-set* (*set xs*)) **by** *simp*

with *assms*(2) **show** ?*thesis* **by** *auto*

qed

lemma *nil-in-A*: $\square \in$ (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set nodes*))

proof(*simp add: Pow-def image-def*)

have *sorted-list-of-set* $\{\}$ = \square **by** *auto*

then show $\exists x \subseteq$ *set nodes*. *sorted-list-of-set* *x* = \square **by** *blast*

qed

lemma *single-n-in-A*: $p <$ *length nodes* \implies $[p] \in$ (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set nodes*))

proof (*unfold nodes-def*)

let ?*S* = (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set* (*g-V G*)))

assume $p <$ *length* (*g-V G*)

then have $p:$ $\{p\} \in$ *Pow* (*set* (*g-V G*)) **by** (*auto simp add:Pow-def verts-set*)

then have $[p] \in$?*S* **by** (*unfold image-def*) *force*

then show $[p] \in$?*S* **by** *auto*

qed

lemma *inpow-subset-nodes*:

assumes *xs* \in (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set nodes*))

shows *set xs* \subseteq *set nodes*

proof –

from *assms* **obtain** *x* **where** $x \in$ *Pow* (*set nodes*) **and** *xs* = (*rev* \circ *sorted-list-of-set*)
x **by** *auto*

then have *eq*: *set xs* = *set* (*sorted-list-of-set* *x*) **by** *auto*

have $\forall x \in$ *Pow* (*set nodes*). *finite* *x* **by** (*auto intro: rev-finite-subset*)

with *x eq* **show** *set xs* \subseteq *set nodes* **by** *auto*

qed

lemma *inter-in-pow-nodes*:

assumes *xs* \in (*rev* \circ *sorted-list-of-set*) ‘ (*Pow* (*set nodes*))

shows (*rev* \circ *sorted-list-of-set*)(*set xs* \cap *set ys*) \in (*rev* \circ (*sorted-list-of-set*)) ‘
 (*Pow* (*set nodes*))

using *assms*

proof –

let $?res = set\ xs \cap set\ ys$
from *assms* **have** $set\ xs \subseteq set\ nodes$ **using** *inpow-subset-nodes* **by** *auto*
then **have** $?res \subseteq set\ nodes$ **by** *auto*
then **show** *?thesis* **using** *subset-nodes-inpow* **by** *auto*
qed

lemma *nodes-le-order: order nodes-le ((rev o sorted-list-of-set) ‘ (Pow (set nodes)))*

proof –

let $?A = (rev \circ sorted\ list\ of\ set) \text{ ‘ } (Pow\ (set\ nodes))$

have $\forall x \in ?A. sorted\ (rev\ x)$ **by** (*auto intro: sorted-less-sorted-list-of-set*)
then **have** $\forall x \in ?A. nodes\ le\ x\ x$ **by** (*auto simp add:nodes-le-def*)

moreover **have** $\forall x \in ?A. \forall y \in ?A. (nodes\ le\ x\ y \wedge nodes\ le\ y\ x \longrightarrow x = y)$

proof (*intro strip*)

fix $x\ y$

assume $x \in ?A$ **and** $y \in ?A$ **and** $nodes\ le\ x\ y \wedge nodes\ le\ y\ x$

then **have** $sorted\ (rev\ x) \wedge sorted\ (rev\ (y::nat\ list)) \wedge set\ x = set\ y \vee x = y$

by (*auto simp add: nodes-le-def intro:subset-antisym sorted-less-sorted-list-of-set*)

then **show** $x = y$ **by** (*auto dest: sorted-less-rev-set-unique*)

qed

moreover **have** $\forall x \in ?A. \forall y \in ?A. \forall z \in ?A. nodes\ le\ x\ y \wedge nodes\ le\ y\ z \longrightarrow nodes\ le\ x\ z$

by (*auto simp add: nodes-le-def*)

ultimately **show** *?thesis* **by** (*unfold order-def lesub-def lesssub-def*) *fastforce*

qed

lemma *nodes-semi-auxi:*

let $A = (rev \circ sorted\ list\ of\ set) \text{ ‘ } (Pow\ (set\ (nodes)))$;

r = nodes-le;

f = ($\lambda x\ y. (inter\ sorted\ rev\ x\ y)$)

in semilat(A, r, f)

proof –

let $?A = (rev \circ sorted\ list\ of\ set) \text{ ‘ } (Pow\ (set\ (nodes)))$

let $?r = nodes\ le$

let $?f = (\lambda x\ y. (inter\ sorted\ rev\ x\ y))$

have *order ?r ?A* **by** (*rule nodes-le-order*)

moreover **have** *closed ?A ?f*

proof (*unfold closed-def, intro strip*)

fix $xs\ ys$ **assume** *xs-in: xs ∈ ?A* **and** *ys-in: ys ∈ ?A*

then **have** *sorted-xs: sorted (rev xs)*

and *sorted-ys: sorted (rev ys)*

by (*auto intro: sorted-less-sorted-list-of-set*)

then have $inter\text{-}xs\text{-}ys: set (?f\ xs\ ys) = set\ xs \cap set\ ys$ **and**
 $sorted\text{-}res: sorted (rev (?f\ xs\ ys))$
using $inter\text{-}sorted\text{-}correct$ **by** $auto$

from $xs\text{-}in$ **have** $set\ xs \subseteq set\ nodes$ **using** $inpow\text{-}subset\text{-}nodes$ **by** $auto$
with $inter\text{-}xs\text{-}ys$ **have** $set (?f\ xs\ ys) \subseteq set\ nodes$ **by** $auto$
with $sorted\text{-}res$ **show** $xs \sqcup_{?f}\ ys \in ?A$ **using** $subset\text{-}nodes\text{-}inpow$ **by** $(auto\ simp\ add:plussub\text{-}def)$
qed

moreover have $(\forall x \in ?A. \forall y \in ?A. x \sqsubseteq_{?r}\ x \sqcup_{?f}\ y) \wedge (\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r}\ x \sqcup_{?f}\ y)$
proof $(rule\ conjI, intro\ strip)$
fix $xs\ ys$
assume $xs\text{-}in: xs \in ?A$ **and** $ys\text{-}in: ys \in ?A$
then have $sorted\text{-}xs: sorted (rev\ xs)$ **and** $sorted\text{-}ys: sorted (rev\ ys)$
by $(auto\ intro: sorted\text{-}less\text{-}sorted\text{-}list\text{-}of\text{-}set)$
then have $set (?f\ xs\ ys) \subseteq set\ xs$ **and** $sorted\text{-}f\text{-}xs\text{-}ys: sorted (rev (?f\ xs\ ys))$
by $(auto\ simp\ add: inter\text{-}sorted\text{-}correct)$
then show $xs \sqsubseteq_{?r}\ xs \sqcup_{?f}\ ys$ **by** $(simp\ add: lesub\text{-}def\ sorted\text{-}xs\ sorted\text{-}ys\ sorted\text{-}f\text{-}xs\text{-}ys\ nodes\text{-}le\text{-}def\ plussub\text{-}def)$
next
show $\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r}\ x \sqcup_{?f}\ y$
proof $(intro\ strip)$
fix $xs\ ys$
assume $xs\text{-}in: xs \in ?A$ **and** $ys\text{-}in: ys \in ?A$
then have $sorted\text{-}xs: sorted (rev\ xs)$ **and** $sorted\text{-}ys: sorted (rev\ ys)$
by $(auto\ intro: sorted\text{-}less\text{-}sorted\text{-}list\text{-}of\text{-}set)$
then have $set (?f\ xs\ ys) \subseteq set\ ys$ **and** $sorted\text{-}f\text{-}xs\text{-}ys: sorted (rev (?f\ xs\ ys))$
by $(auto\ simp\ add: inter\text{-}sorted\text{-}correct)$
then show $ys \sqsubseteq_{?r}\ xs \sqcup_{?f}\ ys$ **by** $(simp\ add: lesub\text{-}def\ sorted\text{-}ys\ sorted\text{-}xs\ sorted\text{-}f\text{-}xs\text{-}ys\ nodes\text{-}le\text{-}def\ plussub\text{-}def)$
qed
qed

moreover have $\forall x \in ?A. \forall y \in ?A. \forall z \in ?A. x \sqsubseteq_{?r}\ z \wedge y \sqsubseteq_{?r}\ z \longrightarrow x \sqcup_{?f}\ y \sqsubseteq_{?r}\ z$
proof $(intro\ strip)$
fix $xs\ ys\ zs$
assume $xin: xs \in ?A$ **and** $yin: ys \in ?A$ **and** $zin: zs \in ?A$ **and** $xs \sqsubseteq_{?r}\ zs \wedge ys \sqsubseteq_{?r}\ zs$
then have $xs\text{-}zs: xs \sqsubseteq_{?r}\ zs$ **and** $ys\text{-}zs: ys \sqsubseteq_{?r}\ zs$ **and** $sorted\text{-}xs: sorted (rev\ xs)$
and $sorted\text{-}ys: sorted (rev\ ys)$ **by** $(auto\ simp\ add: sorted\text{-}less\text{-}sorted\text{-}list\text{-}of\text{-}set)$
then have $inter\text{-}xs\text{-}ys: set (?f\ xs\ ys) = (set\ xs \cap set\ ys)$ **and** $sorted\text{-}f\text{-}xs\text{-}ys: sorted (rev (?f\ xs\ ys))$
by $(auto\ simp\ add: inter\text{-}sorted\text{-}correct)$

from $xs\text{-}zs\ ys\text{-}zs\ sorted\text{-}xs$ **have** $sorted\text{-}zs: sorted (rev\ zs)$
and $set\ zs \subseteq set\ xs$

and $set\ zs \subseteq set\ ys$ **by** (*auto simp add: lesub-def nodes-le-def*)
then have $zs: set\ zs \subseteq set\ xs \cap set\ ys$ **by** *auto*
with *inter-xs-ys sorted-zs sorted-f-xs-ys* **show** $xs \sqcup_{?f} ys \sqsubseteq_{?r} zs$
by (*auto simp add: plussub-def lesub-def sorted-xs sorted-ys sorted-f-xs-ys sorted-zs nodes-le-def*)
qed
ultimately show *?thesis* **by** (*unfold semilat-def*) *simp*
qed

lemma *nodes-semi-is-semilat: semilat (nodes-semi)*
using *nodes-semi-auxi*
by (*auto simp add: nodes-sup-def nodes-semi-def*)

lemma *sorted-rev-subset-len-lt:*
assumes *sorted (rev a)*
and *sorted (rev b)*
and $set\ a \subset set\ b$
shows $length\ a < length\ b$
using *assms*

proof –
from *assms(1) assms(2)* **have** *dist-a: distinct a and dist-b: distinct b* **by** (*auto dest: distinct-sorted-rev*)
from *assms(3)* **have** $card\ (set\ a) < card\ (set\ b)$ **by** (*auto intro: psubset-card-mono*)
with *dist-a dist-b* **show** *?thesis* **by** (*auto simp add: distinct-card*)
qed

lemma *wf-nodes-le-auxi: wf {(y, x). (sorted (rev y) \wedge sorted (rev x) \wedge set y \subset set x) \wedge x \neq y}*
apply(*rule wf-measure [THEN wf-subset]*)
apply(*simp only: measure-def inv-image-def*)
apply *clarify*
apply(*frule sorted-rev-subset-len-lt*)
defer
defer
apply *fastforce*
by (*auto intro: sorted-less-rev-set-unique*)

lemma *wf-nodes-le-auxi2:*
wf {(y, x). sorted (rev y) \wedge sorted (rev x) \wedge set y \subset set x \wedge rev x \neq rev y}
using *wf-nodes-le-auxi* **by** *auto*

lemma *wf-nodes-le: wf {(y, x). nodes-le x y \wedge x \neq y}*
proof –
have *eq-set: {(y, x). (sorted (rev y) \wedge sorted (rev x) \wedge set y \subseteq set x) \wedge x \neq y}*
 $=$
 $\{(y, x). nodes-le\ x\ y \wedge x \neq y\}$ **by** (*unfold nodes-le-def*) *auto*
have $\{(y, x). (sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subset set\ x) \wedge x \neq y\} =$
 $\{(y, x). (sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subseteq set\ x) \wedge x \neq y\}$

```

  by (auto simp add:sorted-less-rev-set-unique)
  from this wf-nodes-le-auxi have wf {(y, x). (sorted (rev y) ∧ sorted (rev x) ∧
set y ⊆ set x) ∧ x ≠ y} by (rule subst)
  with eq-set show ?thesis by (rule subst)
qed

```

```

lemma acc-nodes-le: acc nodes-le
  apply (unfold acc-def lesssub-def lesub-def)
  apply (rule wf-nodes-le)
  done

```

```

lemma acc-nodes-le2: acc (fst (snd nodes-semi))
  apply (unfold nodes-semi-def)
  apply (auto simp add: lesssub-def lesub-def intro: acc-nodes-le)
  done

```

```

lemma nodes-le-refl [iff]: nodes-le s s
  apply (unfold nodes-le-def lesssub-def lesub-def)
  apply (auto)
  done

```

end

end

5 A kildall's algorithm for computing dominators

```

theory Dom-Kildall
imports Dom-Semi-List HOL-Library.While-Combinator Jinja.SemilatAlg
begin

```

A kildall's algorithm for computing dominators. It uses the ideas and the framework of kildall's algorithm implemented in Jinja [3], and modifications are needed to make it work for a fast algorithm for computing dominators

```

type-synonym state-dom = nat list

```

```

primrec propa ::
  's binop ⇒ (nat × 's) list ⇒ 's list ⇒ nat list ⇒ 's list * nat list
where
  propa f [] τs wl = (τs, wl)
| propa f (q'# qs) τs wl = (let (q, τ) = q';
                               u = (τ ⊔f τs!q);
                               wl' = (if u = τs!q then wl
                                       else (insort q (remove1 q wl))))
  in propa f qs (τs[q := u]) wl')

```

```

definition iter ::
  's binop ⇒ 's step-type ⇒ 's list ⇒ nat list ⇒ 's list × nat list

```

where

iter f step τs $w =$
 while $(\lambda(\tau s, w). w \neq [])$
 $(\lambda(\tau s, w). \text{let } p = \text{hd } w$
 in propa f (step p (\tau s!p)) τs $(\text{tl } w))$
 $(\tau s, w)$

definition *unstabes* $:: \text{state-dom ord} \Rightarrow \text{state-dom step-type} \Rightarrow \text{state-dom list} \Rightarrow \text{nat list}$

where

unstabes r step $\tau s = \text{sorted-list-of-set } \{p. p < \text{size } \tau s \wedge \neg \text{stable } r \text{ step } \tau s p\}$

definition *kildall* $:: \text{state-dom ord} \Rightarrow \text{state-dom binop} \Rightarrow \text{state-dom step-type} \Rightarrow \text{state-dom list} \Rightarrow \text{state-dom list}$ **where**

kildall r f step $\tau s = \text{fst}(\text{iter } f \text{ step } \tau s (\text{unstabes } r \text{ step } \tau s))$

lemma *init-worklist-is-sorted*: *sorted (unstabes r step* $\tau s)$

by (*simp add:sorted-less-sorted-list-of-set unstabes-def*)

context *cfg-doms*

begin

definition *transf* $:: \text{node} \Rightarrow \text{state-dom} \Rightarrow \text{state-dom}$ **where**

transf n input $\equiv (n \# \text{input})$

definition *exec* $:: \text{node} \Rightarrow \text{state-dom} \Rightarrow (\text{node} \times \text{state-dom}) \text{ list}$

where *exec n xs* $= \text{map } (\lambda pc. (pc, (\text{transf } n xs))) (\text{rev } (\text{sorted-list-of-set}(\text{succs } n)))$

lemma *transf-res-is-rev*: *sorted (rev ns) $\implies n > \text{hd } ns \implies \text{sorted } (\text{rev } ((\text{transf } n$*

(ns))))

by (*induct ns*) (*auto simp add:transf-def sorted-wrt-append*)

abbreviation *start* $\equiv [] \# (\text{replicate } (\text{length } (g-V G) - 1) ((\text{rev}[0..<\text{length}(g-V G)])))$

definition *dom-kildall* $:: \text{state-dom list} \Rightarrow \text{state-dom list}$

where *dom-kildall* $= \text{kildall } (\text{fst } (\text{snd } \text{nodes-semi})) (\text{snd } (\text{snd } \text{nodes-semi})) \text{ exec}$

definition *dom*: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

dom i j $\equiv (\text{let } \text{res} = (\text{dom-kildall } \text{start}) \text{ !}j \text{ in } i \in (\text{set } \text{res}) \vee i = j)$

lemma *dom-refl*: *dom i i*

by (*unfold dom-def*) *simp*

definition *strict-dom* $:: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

strict-dom i j $\equiv (\text{let } \text{res} = (\text{dom-kildall } \text{start}) \text{ !}j \text{ in } i \in \text{set } \text{res})$

lemma *strict-domI1*: $(\text{dom-kildall } (\square \# (\text{replicate } (\text{length } (g-V G) - 1) ((\text{rev}[0..<\text{length}(g-V G)]))))!j = \text{res} \implies i \in \text{set res} \implies \text{strict-dom } i j$
by (*auto simp add:strict-dom-def*)

lemma *strict-domD*:
 $\text{strict-dom } i j \implies$
 $\text{dom-kildall } ((\square \# (\text{replicate } (\text{length } (g-V G) - 1) ((\text{rev}[0..<\text{length}(g-V G)]))))!j$
 $= a \implies$
 $i \in \text{set } a$
by (*auto simp add:strict-dom-def*)

lemma *sdom-dom*: $\text{strict-dom } i j \implies \text{dom } i j$
by (*unfold strict-dom-def*) (*auto simp add:dom-def*)

lemma *not-sdom-not-dom*: $\neg \text{strict-dom } i j \implies i \neq j \implies \neg \text{dom } i j$
by (*unfold strict-dom-def*) (*auto simp add:dom-def*)

lemma *dom-sdom*: $\text{dom } i j \implies i \neq j \implies \text{strict-dom } i j$
by (*unfold dom-def*) (*auto simp add:dom-def strict-dom-def*)

end

end

6 Properties of the kildall's algorithm on the semi-lattice

theory *Dom-Kildall-Property*
imports *Dom-Kildall Jinja.Listn Jinja.Kildall-1*
begin

lemma *sorted-list-len-lt*: $x \subset y \implies \text{finite } y \implies \text{length } (\text{sorted-list-of-set } x) < \text{length } (\text{sorted-list-of-set } y)$

proof–

let $?x = \text{sorted-list-of-set } x$

let $?y = \text{sorted-list-of-set } y$

assume $x-y$: $x \subset y$ **and** $\text{fin-}y$: $\text{finite } y$

then have $\text{card-}x-y$: $\text{card } x < \text{card } y$ **and** $\text{fin-}x$: $\text{finite } x$

by (*auto simp add:psubset-card-mono finite-subset*)

with $\text{fin-}y$ **have** $\text{length } ?x = \text{card } x$ **and** $\text{length } ?y = \text{card } y$ **by** *auto*

with $\text{card-}x-y$ **show** $?thesis$ **by** *auto*

qed

lemma *wf-sorted-list*:

$wf ((\lambda(x,y). (\text{sorted-list-of-set } x, \text{sorted-list-of-set } y)) \text{ 'finite-psubset})$

```

apply (unfold finite-psubset-def)
apply (rule wf-measure [THEN wf-subset])
apply (simp add: measure-def inv-image-def image-def)
by (auto intro: sorted-list-len-lt)

```

lemma *sorted-list-psub*:

```

sorted w  $\longrightarrow$ 
w  $\neq [] \longrightarrow$ 
(sorted-list-of-set (set (tl w)), w)  $\in$  ( $\lambda(x, y).$  (sorted-list-of-set x, sorted-list-of-set
y)) ‘{(A, B). A  $\subset$  B  $\wedge$  finite B}
proof(intro strip, simp add:image-iff)
assume sorted-w: sorted w and w-n-nil: w  $\neq []$ 
let ?a = set (tl w)
let ?b = set w

```

```

from sorted-w have sorted-tl-w: sorted (tl w) and dist: distinct w by (induct w)
(auto simp add: sorted-wrt-append )
with w-n-nil have a-psub-b: ?a  $\subset$  ?b by (induct w)auto
from sorted-w sorted-tl-w have w = sorted-list-of-set ?b and tl w = sorted-list-of-set
(set (tl w))
by (auto simp add: sorted-less-set-eq)
with a-psub-b show  $\exists a b. a \subset b \wedge \text{finite } b \wedge \text{sorted-list-of-set (set (tl w))} =$ 
sorted-list-of-set a  $\wedge$  w = sorted-list-of-set b
by auto
qed

```

locale *dom-sl* = *cfg-doms* +

```

fixes A and r and f and step and start and n
defines A  $\equiv$  ((rev  $\circ$  sorted-list-of-set) ‘(Pow (set (nodes))))
defines r  $\equiv$  nodes-le
defines f  $\equiv$  nodes-sup
defines n  $\equiv$  (size nodes)
defines step  $\equiv$  exec
defines start  $\equiv$  ([ # (replicate (length (g-V G) - 1) (rev[0.. $n$ ])))::state-dom
list

```

begin

lemma *is-semi*: *semilat*(A,r,f)

by(insert nodes-semi-is-semilat) (auto simp add:nodes-semi-def A-def r-def f-def)

— used by acc_le_listI

lemma *Cons-less-Conss* [simp]:

```

x#xs [Cr] y#ys = (x Cr y  $\wedge$  xs [Cr] ys  $\vee$  x = y  $\wedge$  xs [Cr] ys)
apply (unfold lesssub-def)
apply auto
apply (unfold lesssub-def lesub-def r-def)
apply (simp only: nodes-le-refl)
done

```



```

lemma acc-le-listI [intro!]:
  acc r  $\implies$  acc (Listn.le r)
  apply (unfold acc-def)
  apply (subgoal-tac Wellfounded.wf(UN n. {(ys,xs). size xs = n  $\wedge$  size ys = n  $\wedge$ 
xs <-(Listn.le r) ys}))
  apply (erule wf-subset)
  apply (blast intro: lesssub-lengthD)
  apply (rule wf-UN)
  prefer 2
  apply (rename-tac m n)
  apply (case-tac m=n)
  apply simp
  apply (fast intro!: equals0I dest: not-sym)
  apply (rename-tac n)
  apply (induct-tac n)
  apply (simp add: lesssub-def cong: conj-cong)
  apply (rename-tac k)
  apply (simp add: wf-eq-minimal)
  apply (simp (no-asm) add: length-Suc-conv cong: conj-cong)
  apply clarify
  apply (rename-tac M m)
  apply (case-tac  $\exists x xs. size xs = k \wedge x \# xs \in M$ )
  prefer 2
  apply (erule thin-rl)
  apply (erule thin-rl)
  apply blast
  apply (erule-tac  $x = \{a. \exists xs. size xs = k \wedge a \# xs : M\}$  in allE)
  apply (erule impE)
  apply blast
  apply (thin-tac  $\exists x xs. P x xs$  for P)
  apply clarify
  apply (rename-tac maxA xs)
  apply (erule-tac  $x = \{ys. size ys = size xs \wedge maxA \# ys \in M\}$  in allE)
  apply (erule impE)
  apply blast
  apply clarify
  apply (thin-tac  $m \in M$ )
  apply (thin-tac  $maxA \# xs \in M$ )
  apply (rule beX1)
  prefer 2
  apply assumption
  apply clarify
  apply simp
  apply blast
  done

```

```

lemma wf-listn: wf {(y,x). x  $\sqsubset$  Listn.le r y}
  by(insert acc-nodes-le acc-le-listI r-def) (simp add:acc-def)

```

lemma *wf-listn'*: $wf \{(y,x). x \sqsubseteq_r y\}$
by (*rule wf-listn*)

lemma *wf-listn-termination-rel*:
 $wf \{(y,x). x \sqsubseteq_{Listn.le\ r} y\} <*lex*> (\lambda(x, y). (sorted-list-of-set\ x, sorted-list-of-set\ y)) \text{ 'finite-psubset}$
by (*insert wf-listn wf-sorted-list*) (*fastforce dest:wf-lex-prod*)

lemma *inA-is-sorted*: $xs \in A \implies sorted (rev\ xs)$
by (*auto simp add:A-def sorted-less-sorted-list-of-set*)

lemma *list-nA-lt-reft*: $xs \in nlists\ n\ A \longrightarrow xs \sqsubseteq_r xs$
proof

assume $xs \in nlists\ n\ A$
then have $set\ xs \subseteq A$ **by** (*rule nlistsE-set*)
then have $\forall i < length\ xs. xs!i \in A$ **by** *auto*
then have $\forall i < length\ xs. sorted (rev (xs!i))$ **by** (*simp add:inA-is-sorted*)
then show $xs \sqsubseteq_r xs$ **by** (*unfold Listn.le-def lesub-def*)
(auto simp add:list-all2-conv-all-nth Listn.le-def r-def nodes-le-def)

qed

lemma *nil-inA*: $[] \in A$
apply (*unfold A-def*)
apply (*subgoal-tac {} \in Pow (set nodes)*)
apply (*subgoal-tac [] = (\lambda x. rev (sorted-list-of-set x)) {}*)
apply (*fastforce intro:rev-image-eqI*)
by *auto*

lemma *upt-n-in-pow-nodes*: $\{0..<n\} \in Pow (set nodes)$
by (*auto simp add:n-def nodes-def verts-set*)

lemma *rev-all-inA*: $rev [0..<n] \in A$

proof (*unfold A-def, simp*)

let $?f = \lambda x. rev (sorted-list-of-set\ x)$
have $rev [0..<n] = ?f \{0..<n\}$ **by** *auto*
with *upt-n-in-pow-nodes* **show** $rev [0..<n] \in ?f \text{ 'Pow (set nodes)}$
by (*fastforce intro: image-eqI*)

qed

lemma *len-start-is-n*: $length\ start = n$

by (*insert len-verts-gt0*) (*auto simp add:start-def n-def nodes-def dest:Suc-pred*)

lemma *len-start-is-len-verts*: $length\ start = length (g-V\ G)$

using *len-verts-gt0* **by** (*simp add:start-def*)

lemma *start-len-gt-0*: $length\ start > 0$

by (*insert len-verts-gt0*) (*simp add:start-def*)

lemma *start-subset-A*: *set start* \subseteq *A*
by (*auto simp add:nil-inA rev-all-inA start-def*)

lemma *start-in-A* : *start* \in (*nlists n A*)
by (*insert start-subset-A len-start-is-n*)(*fastforce intro:nlistsI*)

lemma *sorted-start-nth*: $i < n \implies \text{sorted } (\text{rev } (\text{start}!i))$
apply(*subgoal-tac start!i* \in *A*)
apply (*fastforce dest:inA-is-sorted*)
by (*auto simp add:start-subset-A len-start-is-n*)

lemma *start-nth0-empty*: *start!0* = []
by (*simp add:start-def*)

lemma *start-nth-lt0-all*: $\forall p \in \{1..< \text{length } \text{start}\}. \text{start}!p = (\text{rev } [0..<n])$
by (*auto simp add:start-def*)

lemma *in-nodes-lt-n*: $x \in \text{set } (g\text{-}V\ G) \implies x < n$
by (*simp add:n-def nodes-def verts-set*)

lemma *start-nth0-unstable-axi*: $\neg [0] \sqsubseteq_r (\text{rev } [0..<n])$
by (*insert len-verts-gt1 verts-ge-Suc0*)
(*auto simp add:r-def lesssub-def lesub-def nodes-le-def n-def nodes-def*)

lemma *start-nth0-unstable*: $\neg \text{stable } r \text{ step } \text{start } 0$
proof(*rule notI, auto simp add: start-nth0-empty stable-def step-def exec-def transf-def*)

assume *ass*: $\forall x \in \text{set } (\text{sorted-list-of-set } (\text{succs } 0)). [0] \sqsubseteq_r \text{start}!x$
from *succ-of-entry0* **obtain** *s* **where** $s \in \text{succs } 0$ **and** $s \neq 0 \wedge s \in \text{set } (g\text{-}V\ G)$
using *head-is-vert*
by (*auto simp add:succs-def*)
then have $s \in \text{set } (\text{sorted-list-of-set } (\text{succs } 0))$
and $\text{start}!s = (\text{rev } [0..<n])$ **using** *fin-succs verts-set len-verts-gt0* **by** (*auto simp add:start-def*)
then show *False* **using** *ass start-nth0-unstable-axi* **by** *auto*
qed

lemma *start-nth-unstable*:
assumes $p \in \{1 ..< \text{length } (g\text{-}V\ G)\}$
and $\text{succs } p \neq \{\}$
shows $\neg \text{stable } r \text{ step } \text{start } p$
proof (*rule notI, unfold stable-def*)
let *?step-p* = *step p (start ! p)*
let *?rev-all* = *rev[0..<length(g-V G)]*
assume *sta*: $\forall (q, \tau) \in \text{set } ?\text{step-p}. \tau \sqsubseteq_r \text{start}!q$

from *assms(1)* **have** *n-sorted*: $\neg \text{sorted } (\text{rev } (p \# ?\text{rev-all}))$
and $p:p \in \text{set } (g\text{-}V\ G)$ **and** $\text{start}!p = ?\text{rev-all}$ **using** *verts-set* **by**
(*auto simp add:n-def nodes-def start-def sorted-wrt-append*)

with *sta* **have** *step-p*: $\forall (q, \tau) \in \text{set } ?\text{step-p. sorted } (\text{rev } (p \# ?\text{rev-all})) \vee (p \# ?\text{rev-all} = \text{start!}q)$
by (*auto simp add:step-def exec-def transf-def lesssub-def lesub-def r-def nodes-le-def*)

from *assms(2) fin-succs p* **obtain** *a b* **where** *a-b*: $(a, b) \in \text{set } ?\text{step-p}$ **by** (*auto simp add:step-def exec-def transf-def*)
with *step-p* **have** $\text{sorted } (\text{rev } (p \# ?\text{rev-all})) \vee (p \# ?\text{rev-all} = \text{start!}a)$ **by** *auto*
with *n-sorted* **have** *eq-p-cons*: $(p \# ?\text{rev-all} = \text{start!}a)$ **by** *auto*

from *p* **have** $\forall (q, \tau) \in \text{set } ?\text{step-p. } q < n$ **using** *succ-in-G fin-succs verts-set n-def nodes-def* **by** (*auto simp add:step-def exec-def*)
with *a-b* **have** $a < n$ **using** *len-start-is-n* **by** *auto*
then **have** $\text{sorted } (\text{rev } (\text{start!}a))$ **using** *sorted-start-nth* **by** *auto*
with *eq-p-cons n-sorted* **show** *False* **by** *auto*

qed

lemma *start-unstable-cond*:
assumes *succs p* $\neq \{\}$
and $p < \text{length } (g-V G)$
shows $\neg \text{stable } r \text{ step } \text{start } p$
using *assms start-nth0-unstable start-nth-unstable*
by(*cases p = 0*) *auto*

lemma *unstable-start*: $\text{unstabes } r \text{ step } \text{start} = \text{sorted-list-of-set } (\{p. \text{succs } p \neq \{\} \wedge p < \text{length } \text{start}\})$
using *len-start-is-len-verts start-unstable-cond*
by (*subgoal-tac* $\{p. p < \text{length } \text{start} \wedge \neg \text{stable } r \text{ step } \text{start } p\} = \{p. \text{succs } p \neq \{\} \wedge p < \text{length } \text{start}\}$)
(auto simp add: unstabes-def stable-def step-def exec-def)

end

declare *sorted-list-of-set-insert-remove*[*simp del*]

context *dom-sl*
begin

lemma (**in** *dom-sl*) *decomp-propa*: $\bigwedge ss w. (\forall (q,t) \in \text{set } qs. q < \text{size } ss \wedge t \in A) \implies \text{sorted } w \implies \text{set } ss \subseteq A \implies \text{propa } f qs ss w = (\text{merges } f qs ss, (\text{sorted-list-of-set } (\{q. \exists t. (q,t) \in \text{set } qs \wedge t \sqcup_f (ss!q) \neq ss!q\} \cup \text{set } w)))$
lemma (**in** *Semilat*) *list-update-le-listI* [*rule-format*]:
 $\text{set } xs \subseteq A \longrightarrow \text{set } ys \subseteq A \longrightarrow xs \sqsubseteq_r ys \longrightarrow p < \text{size } xs \longrightarrow x \sqsubseteq_r ys!p \longrightarrow x \in A \longrightarrow xs[p := x \sqcup_f xs!p] \sqsubseteq_r ys$

7 Soundness and completeness

```

theory Dom-Kildall-Correct
imports Dom-Kildall-Property
begin

context dom-sl
begin

lemma entry-dominate-dom:
  assumes  $i \in \text{set } (g-V \ G)$ 
    and dominate i 0
  shows dom i 0
  using assms
proof-
  from assms(1) entry0-dominates-all have dominate 0 i by auto
  with assms(2) reachable have  $i = 0$  using reachable-dom-acyclic by (auto simp
add:reachable-def)
  then show ?thesis using dom-refl by auto
qed

lemma path-entry-dom:
  fixes  $pa \ i \ d$ 
  assumes path-entry (g-E G) pa i
    and dom d i
  shows  $d \in \text{set } pa \vee d = i$ 
  using assms
proof(induct rule:path-entry.induct)
  case path-entry0
  then show ?case using zero-dom-zero by auto
next
  case (path-entry-prepend u v l)
  note  $u-v = \text{path-entry-prepend.hyps}(1)$ 
  note  $ind = \text{path-entry-prepend.hyps}(3)$ 
  note  $d-v = \text{path-entry-prepend.premis}$ 
  show ?case
  proof(cases d  $\neq$  v)
  case True note  $d-n-v = \text{this}$ 
  from  $u-v$  have  $v \in \text{succs } u$  by (simp add:succs-def)
  with  $d-v \ d-n-v$  have  $\text{dom } d \ u$  by (auto intro:adom-succs)
  with  $ind$  have  $d \in \text{set } l \vee d = u$  by auto
  then show ?thesis by auto
  next
  case False
  then show ?thesis by auto
qed
qed

```

— soundness

lemma *dom-sound*: $\text{dom } i j \implies \text{dominate } i j$
by (*fastforce simp add: dominate-def dest:path-entry-dom*)

lemma *sdom-sound*: $\text{strict-dom } i j \implies j \in \text{set } (g-V G) \implies \text{strict-dominate } i j$

proof –

assume *sdom*: $\text{strict-dom } i j$ **and** $j \in \text{set } (g-V G)$
then have *i-n-j*: $i \neq j$ **by** (*rule sdom-async*)
from *sdom* **have** $\text{dom } i j$ **using** *sdom-dom* **by** *auto*
then have *domi*: $\text{dominate } i j$ **by** (*rule dom-sound*)
with *i-n-j* **show** *?thesis* **by** (*fastforce dest: dominate-sdominate*)
qed

— completeness

lemma *dom-complete-axi*: $i < \text{length } \text{start} \implies (\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}' \implies \text{non-strict-dominate } k i$

proof–

assume *i-lt*: $i < \text{length } \text{start}$ **and** *dom-kil*: $(\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}'$
then have *dom-iter*: $(\text{fst } (\text{iter } f \text{ step } \text{start } (\text{unstables } r \text{ step } \text{start})))!i = \text{ss}'$ **and**
k-nin: $k \notin \text{set } \text{ss}'$
using *nodes-semi-def r-def f-def step-def dom-kildall-def kildall-def* **by** *auto*
then obtain *s w* **where** *iter*: $\text{iter } f \text{ step } \text{start } (\text{unstables } r \text{ step } \text{start}) = (s, w)$
by *fastforce*
with *dom-iter* **have** $s!i = \text{ss}'$ **by** *auto*
with *iter-dom-invariant-complete iter k-nin i-lt len-start-is-n*
show *?thesis* **by** *auto*
qed

lemma *notsdom-notsdominate*: $\neg \text{strict-dom } i j \implies j < \text{length } \text{start} \implies \text{non-strict-dominate } i j$

proof–

assume *i-not-sdom-j*: $\neg \text{strict-dom } i j$ **and** *j-lt*: $j < \text{length } \text{start}$
then obtain *res* **where** *j-res*: $\text{dom-kildall } \text{start} ! j = \text{res}$ **by** (*auto simp add: strict-dom-def*)
then have $\text{strict-dom } i j = (i \in \text{set } \text{res})$ **by** (*auto simp add: strict-dom-def start-def n-def nodes-def*)
with *i-not-sdom-j* **have** *i-nin*: $i \notin \text{set } \text{res}$ **by** *auto*
with *j-res j-lt* **show** $\text{non-strict-dominate } i j$ **using** *dom-complete-axi* **by** *fastforce*
qed

lemma *notsdom-notsdominate'*: $\neg \text{strict-dom } i j \implies j < \text{length } \text{start} \implies \neg \text{strict-dominate } i j$

using *notsdom-notsdominate nonstrict-eq* **by** *auto*

lemma *dom-complete*: $\text{strict-dominate } i j \implies j < \text{size } \text{start} \implies \text{strict-dom } i j$
by (*insert notsdom-notsdominate'*) (*auto intro: contrapos-nn nonstrict-eq*)

end

end

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