

# Decreasing-Diagrams

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## Abstract

This theory contains a formalization of decreasing diagrams showing that any locally decreasing abstract rewrite system is confluent. We consider the valley (van Oostrom, TCS 1994) and the conversion version (van Oostrom, RTA 2008) and closely follow the original proofs. As an application we prove Newman’s lemma.

A description of this formalization is available in [3].

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## 1 Decreasing Diagrams

```
theory Decreasing-Diagrams imports HOL-Library.Multiset Abstract-Rewriting.Abstract-Rewriting  
begin
```

### 1.1 Valley Version

This section follows [1].

#### 1.1.1 Appendix

interaction of multisets with sets

```
definition diff :: 'a multiset  $\Rightarrow$  'a set  $\Rightarrow$  'a multiset  
where diff M S = filter-mset ( $\lambda x. x \notin S$ ) M
```

**definition** *intersect* :: 'a multiset  $\Rightarrow$  'a set  $\Rightarrow$  'a multiset

where *intersect*  $M\ S = \text{filter-mset } (\lambda x. x \in S)\ M$

**notation**

*diff* (infixl -s 800) and

*intersect* (infixl  $\cap$ s 800)

**lemma** *count-diff* [simp]:

$\text{count } (M -s A)\ a = \text{count } M\ a * \text{of-bool } (a \notin A)$   
*<proof>*

**lemma** *set-mset-diff* [simp]:

$\text{set-mset } (M -s A) = \text{set-mset } M - A$   
*<proof>*

**lemma** *diff-eq-singleton-imp*:

$M -s A = \{\#a\# \} \implies a \in (\text{set-mset } M - A)$   
*<proof>*

**lemma** *count-intersect* [simp]:

$\text{count } (M \cap s A)\ a = \text{count } M\ a * \text{of-bool } (a \in A)$   
*<proof>*

**lemma** *set-mset-intersect* [simp]:

$\text{set-mset } (M \cap s A) = \text{set-mset } M \cap A$   
*<proof>*

**lemma** *diff-from-empty*:  $\{\#\} -s S = \{\#\}$  *<proof>*

**lemma** *diff-empty*:  $M -s \{\#\} = M$  *<proof>*

**lemma** *submultiset-implies-subset*: **assumes**  $M \subseteq\# N$  **shows**  $\text{set-mset } M \subseteq \text{set-mset } N$

*<proof>*

**lemma** *subset-implies-remove-empty*: **assumes**  $\text{set-mset } M \subseteq S$  **shows**  $M -s S = \{\#\}$

*<proof>*

**lemma** *remove-empty-implies-subset*: **assumes**  $M -s S = \{\#\}$  **shows**  $\text{set-mset } M \subseteq S$  *<proof>*

**lemma** *lemmaA-3-8*:  $(M + N) -s S = (M -s S) + (N -s S)$  *<proof>*

**lemma** *lemmaA-3-9*:  $(M -s S) -s T = M -s (S \cup T)$  *<proof>*

**lemma** *lemmaA-3-10*:  $M = (M \cap s S) + (M -s S)$  *<proof>*

**lemma** *lemmaA-3-11*:  $(M -s T) \cap s S = (M \cap s S) -s T$  *<proof>*

## 1.1.2 Multisets

Definition 2.5(1)

**definition**  $ds :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$   
**where**  $ds\ r\ S = \{y . \exists x \in S. (y,x) \in r\}$

**definition**  $dm :: 'a\ rel \Rightarrow 'a\ multiset \Rightarrow 'a\ set$   
**where**  $dm\ r\ M = ds\ r\ (set\ mset\ M)$

**definition**  $dl :: 'a\ rel \Rightarrow 'a\ list \Rightarrow 'a\ set$   
**where**  $dl\ r\ \sigma = ds\ r\ (set\ \sigma)$

**notation**

$ds$  (**infixl**  $\downarrow_s$  900) **and**  
 $dm$  (**infixl**  $\downarrow_m$  900) **and**  
 $dl$  (**infixl**  $\downarrow_l$  900)

missing but useful

**lemma**  $ds\ ds\ subseteq\ ds$ : **assumes**  $t: trans\ r$  **shows**  $ds\ r\ (ds\ r\ S) \subseteq ds\ r\ S$   $\langle proof \rangle$

from PhD thesis of van Oostrom

**lemma**  $ds\ monotone$ : **assumes**  $S \subseteq T$  **shows**  $ds\ r\ S \subseteq ds\ r\ T$   $\langle proof \rangle$

**lemma**  $subset\ imp\ ds\ subset$ : **assumes**  $trans\ r$  **and**  $S \subseteq ds\ r\ T$  **shows**  $ds\ r\ S \subseteq ds\ r\ T$   
 $\langle proof \rangle$

Definition 2.5(2)

strict order (mult) is used from Multiset.thy

**definition**  $mult\ eq :: 'a\ rel \Rightarrow 'a\ multiset\ rel$  **where**  
 $mult\ eq\ r = (mult1\ r)^*$

**definition**  $mul :: 'a\ rel \Rightarrow 'a\ multiset\ rel$  **where**  
 $mul\ r = \{(M,N). \exists I\ J\ K. M = I + K \wedge N = I + J \wedge set\ mset\ K \subseteq dm\ r\ J \wedge J \neq \{\#\}\}$

**definition**  $mul\ eq :: 'a\ rel \Rightarrow 'a\ multiset\ rel$  **where**  
 $mul\ eq\ r = \{(M,N). \exists I\ J\ K. M = I + K \wedge N = I + J \wedge set\ mset\ K \subseteq dm\ r\ J\}$

**lemma**  $in\ mul\ eqI$ :

**assumes**  $M = I + K\ N = I + J\ set\ mset\ K \subseteq r\ \downarrow_m\ J$   
**shows**  $(M, N) \in mul\ eq\ r$   
 $\langle proof \rangle$

**lemma**  $downset\ intro$ :

**assumes**  $\forall k \in set\ mset\ K. \exists j \in set\ mset\ J. (k,j) \in r$  **shows**  $set\ mset\ K \subseteq dm\ r\ J$   
 $\langle proof \rangle$

**lemma** *downset-elim*:

**assumes** *set-mset*  $K \subseteq dm\ r\ J$  **shows**  $\forall k \in set\text{-}mset\ K. \exists j \in set\text{-}mset\ J. (k,j) \in r$   
*<proof>*

to closure-free representation

**lemma** *mult-eq-implies-one-or-zero-step*:

**assumes** *trans*  $r$  **and**  $(M,N) \in mult\text{-}eq\ r$  **shows**  $\exists I\ J\ K. N = I + J \wedge M = I + K \wedge set\text{-}mset\ K \subseteq dm\ r\ J$   
*<proof>*

from closure-free representation

**lemma** *one-step-implies-mult-eq*: **assumes** *trans*  $r$  **and** *set-mset*  $K \subseteq dm\ r\ J$  **shows**  $(I+K, I+J) \in mult\text{-}eq\ r$   
*<proof>*

**lemma** *mult-is-mul*: **assumes** *trans*  $r$  **shows**  $mult\ r = mul\ r$  *<proof>*

**lemma** *mult-eq-is-mul-eq*: **assumes** *trans*  $r$  **shows**  $mult\text{-}eq\ r = mul\text{-}eq\ r$  *<proof>*

**lemma**  $mul\text{-}eq\ r = (mul\ r)^=$  *<proof>*

useful properties on multisets

**lemma** *mul-eq-reflexive*:  $(M,M) \in mul\text{-}eq\ r$  *<proof>*

**lemma** *mul-eq-trans*: **assumes** *trans*  $r$  **and**  $(M,N) \in mul\text{-}eq\ r$  **and**  $(N,P) \in mul\text{-}eq\ r$  **shows**  $(M,P) \in mul\text{-}eq\ r$   
*<proof>*

**lemma** *mul-eq-singleton*: **assumes**  $(M, \{\#\alpha\#\}) \in mul\text{-}eq\ r$  **shows**  $M = \{\#\alpha\#\}$   
 $\vee set\text{-}mset\ M \subseteq dm\ r\ \{\#\alpha\#\}$  *<proof>*

**lemma** *mul-and-mul-eq-imp-mul*: **assumes** *trans*  $r$  **and**  $(M,N) \in mul\ r$  **and**  $(N,P) \in mul\text{-}eq\ r$  **shows**  $(M,P) \in mul\ r$   
*<proof>*

**lemma** *mul-eq-and-mul-imp-mul*: **assumes** *trans*  $r$  **and**  $(M,N) \in mul\text{-}eq\ r$  **and**  $(N,P) \in mul\ r$  **shows**  $(M,P) \in mul\ r$   
*<proof>*

**lemma** *wf-mul*: **assumes** *trans*  $r$  **and** *wf*  $r$  **shows** *wf*  $(mul\ r)$   
*<proof>*

**lemma** *remove-is-empty-imp-mul*: **assumes**  $M \text{ --}s\ dm\ r\ \{\#\alpha\#\} = \{\#\}$  **shows**  $(M, \{\#\alpha\#\}) \in mul\ r$  *<proof>*

Lemma 2.6

**lemma** *lemma2-6-1-set*:  $ds\ r\ (S \cup T) = ds\ r\ S \cup ds\ r\ T$   
*<proof>*

**lemma** *lemma2-6-1-list*:  $dl\ r\ (\sigma@_T) = dl\ r\ \sigma \cup dl\ r\ \tau$

*<proof>*

**lemma** *lemma2-6-1-multiset*:  $dm\ r\ (M + N) = dm\ r\ M \cup dm\ r\ N$

*<proof>*

**lemma** *lemma2-6-1-diff*:  $(dm\ r\ M) - ds\ r\ S \subseteq dm\ r\ (M -_s S)$

*<proof>*

missing but useful

**lemma** *dl-monotone*:  $dl\ r\ (\sigma@_T) \subseteq dl\ r\ (\sigma@_{T'}@_T)$  *<proof>*

Lemma 2.6.2

**lemma** *lemma2-6-2-a*: **assumes**  $t: trans\ r$  **and**  $M \subseteq_{\#} N$  **shows**  $(M, N) \in mul\text{-}eq\ r$  *<proof>*

**lemma** *mul-eq-not-equal-imp-elt*:

**assumes**  $(M, N) \in mul\text{-}eq\ r$  **and**  $y \in set\text{-}mset\ M - set\text{-}mset\ N$  **shows**  $\exists z \in set\text{-}mset\ N. (y, z) \in r$  *<proof>*

**lemma** *lemma2-6-2-b*: **assumes**  $trans\ r$  **and**  $(M, N) \in mul\text{-}eq\ r$  **shows**  $dm\ r\ M \subseteq dm\ r\ N$  *<proof>*

Lemma 2.6.3

**lemma** *ds-trans-contrapos*: **assumes**  $t: trans\ r$  **and**  $x \notin ds\ r\ S$  **and**  $(x, y) \in r$  **shows**  $y \notin ds\ r\ S$

*<proof>*

**lemma** *dm-max-elt*: **assumes**  $i: irrefl\ r$  **and**  $t: trans\ r$  **shows**  $x \in dm\ r\ M \implies \exists y \in set\text{-}mset\ (M -_s dm\ r\ M). (x, y) \in r$

*<proof>*

**lemma** *dm-subset*: **assumes**  $i: irrefl\ r$  **and**  $t: trans\ r$  **shows**  $dm\ r\ M \subseteq dm\ r\ (M -_s dm\ r\ M)$

*<proof>*

**lemma** *dm-eq*: **assumes**  $i: irrefl\ r$  **and**  $t: trans\ r$  **shows**  $dm\ r\ M = dm\ r\ (M -_s dm\ r\ M)$

*<proof>*

**lemma** *lemma2-6-3*: **assumes**  $t: trans\ r$  **and**  $i: irrefl\ r$  **and**  $(M, N) \in mul\text{-}eq\ r$  **shows**  $\exists I' J' K'. N = I' + J' \wedge M = I' + K' \wedge J' \cap_{\#} K' = \{\#\} \wedge set\text{-}mset\ K' \subseteq dm\ r\ J'$

*<proof>*

Lemma 2.6.4

**lemma** *lemma2-6-4*: **assumes**  $t: trans\ r$  **and**  $N \neq \{\#\}$  **and**  $set\text{-}mset\ M \subseteq dm\ r\ N$  **shows**  $(M, N) \in mul\ r$  *<proof>*

**lemma** *lemma2-6-5-a*: **assumes**  $t: \text{trans } r$  **and**  $ds \ r \ S \subseteq S$  **and**  $(M, N) \in \text{mul-eq } r$   
**shows**  $(M -s \ S, N -s \ S) \in \text{mul-eq } r$   
 $\langle \text{proof} \rangle$

**lemma** *lemma2-6-5-a'*: **assumes**  $t: \text{trans } r$  **and**  $(M, N) \in \text{mul-eq } r$  **shows**  $(M -s \ ds \ r \ S, N -s \ ds \ r \ S) \in \text{mul-eq } r$   
 $\langle \text{proof} \rangle$

Lemma 2.6.6

**lemma** *lemma2-6-6-a*: **assumes**  $t: \text{trans } r$  **and**  $(M, N) \in \text{mul-eq } r$  **shows**  $(Q + M, Q + N) \in \text{mul-eq } r$   $\langle \text{proof} \rangle$

**lemma** *add-left-one*:

**assumes**  $\exists I \ J \ K. \text{add-mset } q \ N = I + J \wedge \text{add-mset } q \ M = I + K \wedge (J \cap \#K = \{\#\}) \wedge \text{set-mset } K \subseteq dm \ r \ J$   
**shows**  $\exists I2 \ J \ K. N = I2 + J \wedge M = I2 + K \wedge \text{set-mset } K \subseteq dm \ r \ J$   $\langle \text{proof} \rangle$

**lemma** *lemma2-6-6-b-one* :

**assumes**  $\text{trans } r$  **and**  $\text{irrefl } r$  **and**  $(\text{add-mset } q \ M, \text{add-mset } q \ N) \in \text{mul-eq } r$   
**shows**  $(M, N) \in \text{mul-eq } r$   
 $\langle \text{proof} \rangle$

**lemma** *lemma2-6-6-b'* : **assumes**  $\text{trans } r$  **and**  $i: \text{irrefl } r$  **and**  $(Q + M, Q + N) \in \text{mul-eq } r$   
**shows**  $(M, N) \in \text{mul-eq } r$   $\langle \text{proof} \rangle$

**lemma** *lemma2-6-9*: **assumes**  $t: \text{trans } r$  **and**  $(M, N) \in \text{mul } r$  **shows**  $(Q + M, Q + N) \in \text{mul } r$   $\langle \text{proof} \rangle$

Lemma 2.6.7

**lemma** *lemma2-6-7-a*: **assumes**  $t: \text{trans } r$  **and**  $\text{set-mset } Q \subseteq dm \ r \ N - dm \ r \ M$  **and**  $(M, N) \in \text{mul-eq } r$   
**shows**  $(Q + M, N) \in \text{mul-eq } r$   $\langle \text{proof} \rangle$

missing?; similar to lemma\_2.6.2?

**lemma** *lemma2-6-8*: **assumes**  $t: \text{trans } r$  **and**  $S \subseteq T$  **shows**  $(M -s \ T, M -s \ S) \in \text{mul-eq } r$   $\langle \text{proof} \rangle$

### 1.1.3 Lexicographic maximum measure

Def 3.1: lexicographic maximum measure

**fun** *lexmax* :: 'a rel  $\Rightarrow$  'a list  $\Rightarrow$  'a multiset **where**  
 $\text{lexmax } r \ [] = \{\#\}$   
 $|\ \text{lexmax } r \ (\alpha \#\sigma) = \{\#\alpha\#\} + (\text{lexmax } r \ \sigma -s \ ds \ r \ \{\alpha\})$

**notation**

*lexmax* (-|-| [1000] 1000)

**lemma** *lexmax-singleton*:  $r|[\alpha]| = \{\#\alpha\# \}$  *<proof>*

Lemma 3.2

Lemma 3.2(1)

**lemma** *lemma3-2-1*: **assumes**  $t$ : *trans*  $r$  **shows**  $r \downarrow m r|\sigma| = r \downarrow l \sigma$  *<proof>*

Lemma 3.2(2)

**lemma** *lemma3-2-2*:  $r|\sigma@tau| = r|\sigma| + (r|tau| -s r \downarrow l \sigma)$  *<proof>*

Definition 3.3

**definition**  $D :: 'a \text{ rel} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  **where**  
 $D r \tau \sigma \sigma' \tau' = ((r|\sigma@tau'|, r|tau| + r|\sigma|) \in \text{mul-eq } r$   
 $\wedge (r|tau@sigma'|, r|tau| + r|\sigma|) \in \text{mul-eq } r)$

**lemma** *D-eq*: **assumes** *trans*  $r$  **and** *irrefl*  $r$  **and**  $D r \tau \sigma \sigma' \tau'$   
**shows**  $(r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$  **and**  $(r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r$   
*<proof>*

**lemma** *D-inv*: **assumes** *trans*  $r$  **and** *irrefl*  $r$  **and**  $(r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$   
**and**  $(r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r$

**shows**  $D r \tau \sigma \sigma' \tau'$   
*<proof>*

**lemma** *D*: **assumes** *trans*  $r$  **and** *irrefl*  $r$   
**shows**  $D r \tau \sigma \sigma' \tau' = ((r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$   
 $\wedge (r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r)$   
*<proof>*

**lemma** *mirror-D*: **assumes** *trans*  $r$  **and** *irrefl*  $r$  **and**  $D r \tau \sigma \sigma' \tau'$  **shows**  $D r \sigma$   
 $\tau \tau' \sigma'$   
*<proof>*

Proposition 3.4

**definition**  $LD-1' :: 'a \text{ rel} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$   
**where**  $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 =$   
 $(\text{set } \sigma 1 \subseteq ds r \{\beta\} \wedge \text{length } \sigma 2 \leq 1 \wedge \text{set } \sigma 2 \subseteq \{\alpha\} \wedge \text{set } \sigma 3 \subseteq ds r \{\alpha, \beta\})$

**definition**  $LD' :: 'a \text{ rel} \Rightarrow 'a \Rightarrow 'a$   
 $\Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$   
**where**  $LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3 = (LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \wedge LD-1' r \alpha$   
 $\beta \tau 1 \tau 2 \tau 3)$

auxiliary properties on multisets

**lemma** *lexmax-le-multiset*: **assumes**  $t$ : *trans*  $r$  **shows**  $r|\sigma| \subseteq\# mset \sigma$  *<proof>*

**lemma split:** assumes  $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3$  shows  $\sigma 2 = [] \vee \sigma 2 = [\alpha]$   
 ⟨proof⟩

**lemma proposition3-4-step:** assumes  $trans r$  and  $irrefl r$  and  $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3$   
 shows  $(r|\sigma 1 @ \sigma 2 @ \sigma 3| -s (dm r \{\#\beta\#\}), r|[\alpha]|) \in mul\text{-}eq r$  ⟨proof⟩

**lemma proposition3-4:**  
 assumes  $t: trans r$  and  $i: irrefl r$  and  $ld: LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3$   
 shows  $D r [\beta] [\alpha] (\sigma 1 @ \sigma 2 @ \sigma 3) (\tau 1 @ \tau 2 @ \tau 3)$   
 ⟨proof⟩

**lemma lexmax-decompose:** assumes  $\alpha \in \# r|\sigma|$  shows  $\exists \sigma 1 \sigma 3. (\sigma = \sigma 1 @ [\alpha] @ \sigma 3 \wedge \alpha \notin dl r \sigma 1)$   
 ⟨proof⟩

**lemma lexmax-elt:** assumes  $trans r$  and  $x \in (set \sigma)$  and  $x \notin set\text{-}mset r|\sigma|$   
 shows  $\exists y. (x, y) \in r \wedge y \in set\text{-}mset r|\sigma|$  ⟨proof⟩

**lemma lexmax-set:** assumes  $trans r$  and  $set\text{-}mset r|\sigma| \subseteq r \downarrow_s S$  shows  $set \sigma \subseteq r \downarrow_s S$  ⟨proof⟩

**lemma drop-left-mult-eq:**  
 assumes  $trans r$  and  $irrefl r$  and  $(N+M, M) \in mul\text{-}eq r$  shows  $N = \{\#\}$  ⟨proof⟩

generalized to lists

**lemma proposition3-4-inv-lists:**  
 assumes  $t: trans r$  and  $i: irrefl r$  and  $k: (r|\sigma| -s r \downarrow_l \beta, \{\#\alpha\#\}) \in mul\text{-}eq r$  (is  $(?M, -) \in -$ )  
 shows  $\exists \sigma 1 \sigma 2 \sigma 3. ((\sigma = \sigma 1 @ \sigma 2 @ \sigma 3) \wedge set \sigma 1 \subseteq dl r \beta \wedge length \sigma 2 \leq 1 \wedge set \sigma 2 \subseteq \{\alpha\}) \wedge set \sigma 3 \subseteq dl r (\alpha \# \beta)$  ⟨proof⟩

**lemma proposition3-4-inv-step:**  
 assumes  $t: trans r$  and  $i: irrefl r$  and  $k: (r|\sigma| -s r \downarrow_l [\beta], \{\#\alpha\#\}) \in mul\text{-}eq r$  (is  $(?M, -) \in -$ )  
 shows  $\exists \sigma 1 \sigma 2 \sigma 3. ((\sigma = \sigma 1 @ \sigma 2 @ \sigma 3) \wedge LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3)$   
 ⟨proof⟩

**lemma proposition3-4-inv:**  
 assumes  $t: trans r$  and  $i: irrefl r$  and  $D r [\beta] [\alpha] \sigma \tau$   
 shows  $\exists \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3. (\sigma = \sigma 1 @ \sigma 2 @ \sigma 3 \wedge \tau = \tau 1 @ \tau 2 @ \tau 3 \wedge LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3)$   
 ⟨proof⟩

Lemma 3.5

**lemma lemma3-5-1:**



**assumes**  $t$ : *trans*  $r$  and *irrefl*  $r$  and  $D r \tau \sigma \sigma' \tau'$  and  $D r v \sigma' \sigma'' v'$   
**shows**  $(\text{lexmax } r (\tau @ v @ \sigma'), \text{lexmax } r (\tau @ v) + \text{lexmax } r \sigma) \in \text{mul-eq } r$  *<proof>*

**lemma claim1**: **assumes**  $t$ : *trans*  $r$  and  $D r \tau \sigma \sigma' \tau'$   
**shows**  $(r|\sigma@v| + ((r|v'| -s r \downarrow l (\sigma@v')) \cap s r \downarrow l \tau), r|\sigma| + r|\tau|) \in \text{mul-eq } r$  (**is**  $(?F+?H, ?G) \in -$ )  
*<proof>*

**lemma step3**: **assumes**  $t$ : *trans*  $r$  and  $D r \tau \sigma \sigma' \tau'$   
**shows**  $r \downarrow l (\sigma@v) \supseteq (r \downarrow m (r|\sigma'| + r|\tau|))$  *<proof>*

**lemma claim2**: **assumes**  $t$ : *trans*  $r$  and  $D r \tau \sigma \sigma' \tau'$   
**shows**  $((r|v'| -s r \downarrow l (\sigma@v')) -s r \downarrow l \tau, (r|v'| -s r \downarrow l \sigma') -s r \downarrow l \tau) \in \text{mul-eq } r$   
**(is**  $(?L, ?R) \in -$ )  
*<proof>*

**lemma lemma3-5-2**: **assumes** *trans*  $r$  and *irrefl*  $r$  and  $D r \tau \sigma \sigma' \tau'$  and  $D r v \sigma' \sigma'' v'$   
**shows**  $(r|(\sigma @ \tau' @ v')|, r|\sigma| + r|(\tau@v)|) \in \text{mul-eq } r$   
*<proof>*

**lemma lemma3-5**: **assumes** *trans*  $r$  and *irrefl*  $r$  and  $D r \tau \sigma \sigma' \tau'$  and  $D r v \sigma' \sigma'' v'$   
**shows**  $D r (\tau@v) \sigma \sigma'' (\tau'@v')$   
*<proof>*

**lemma step2**: **assumes** *trans*  $r$  and  $\tau \neq []$  **shows**  $(M \cap s dl r \tau, \text{lexmax } r \tau) \in \text{mul } r$  *<proof>*

Lemma 3.6

**lemma lemma3-6**: **assumes**  $t$ : *trans*  $r$  and  $ne$ :  $\tau \neq []$  and  $D$ :  $D r \tau \sigma \sigma' \tau'$   
**shows**  $(r|\sigma'| + r|v|, r|\sigma| + r|\tau@v|) \in \text{mul } r$  (**is**  $(?L, ?R) \in -$ ) *<proof>*

**lemma lemma3-6-v**: **assumes** *trans*  $r$  and *irrefl*  $r$  and  $\sigma \neq []$  and  $D r \tau \sigma \sigma' \tau'$   
**shows**  $(r|\tau'| + r|v|, r|\tau| + r|\sigma@v|) \in \text{mul } r$   
*<proof>*

### 1.1.4 Labeled Rewriting

Theorem 3.7

**type-synonym**  $(a, b)$  *lars* =  $(a \times b \times a)$  *set*

**type-synonym**  $(a, b)$  *seq* =  $(a \times (b \times a))$  *list*

**inductive-set** *seq* ::  $(a, b)$  *lars*  $\Rightarrow$   $(a, b)$  *seq set* **for** *ars*

**where**  $(a, []) \in \text{seq } ars$

$| (a, \alpha, b) \in ars \Rightarrow (b, ss) \in \text{seq } ars \Rightarrow (a, (\alpha, b) \# ss) \in \text{seq } ars$

**definition** *lst* ::  $(a, b)$  *seq*  $\Rightarrow$   $a$

**where** *lst* *ss* = (if *snd* *ss* = [] then *fst* *ss* else *snd* (*last* (*snd* *ss*)))

results on seqs

**lemma** *seq-tail1*: **assumes**  $seq: (s, x\#xs) \in seq\ lars$

**shows**  $(snd\ x, xs) \in seq\ lars$  **and**  $(s, fst\ x, snd\ x) \in lars$  **and**  $lst\ (s, x\#xs) = lst\ (snd\ x, xs)$

*<proof>*

**lemma** *seq-chop*: **assumes**  $(s, ss@ts) \in seq\ ars$  **shows**  $(s, ss) \in seq\ ars$   $(lst\ (s, ss), ts) \in seq\ ars$  *<proof>*

**lemma** *seq-concat-helper*:

**assumes**  $(s, ls) \in seq\ ars$  **and**  $ss2 \in seq\ ars$  **and**  $lst\ (s, ls) = fst\ ss2$

**shows**  $(s, ls@snd\ ss2) \in seq\ ars \wedge (lst\ (s, ls@snd\ ss2) = lst\ ss2)$

*<proof>*

**lemma** *seq-concat*:

**assumes**  $ss1 \in seq\ ars$  **and**  $ss2 \in seq\ ars$  **and**  $lst\ ss1 = fst\ ss2$

**shows**  $(fst\ ss1, snd\ ss1@snd\ ss2) \in seq\ ars$  **and**  $(lst\ (fst\ ss1, snd\ ss1@snd\ ss2) = lst\ ss2)$

*<proof>*

diagrams

**definition** *diagram* ::  $('a, 'b)\ lars \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$

**where** *diagram*  $ars\ d = (let\ (\tau, \sigma, \sigma', \tau') = d\ in\ \{\sigma, \tau, \sigma', \tau'\} \subseteq seq\ ars \wedge$   
 $fst\ \sigma = fst\ \tau \wedge lst\ \sigma = fst\ \tau' \wedge lst\ \tau = fst\ \sigma' \wedge lst\ \sigma' = lst\ \tau')$

**definition** *labels* ::  $('a, 'b)\ seq \Rightarrow 'b\ list$

**where** *labels*  $ss = map\ fst\ (snd\ ss)$

**definition** *D2* ::  $'b\ rel \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$

**where** *D2*  $r\ d = (let\ (\tau, \sigma, \sigma', \tau') = d\ in\ D\ r\ (labels\ \tau)\ (labels\ \sigma)\ (labels\ \sigma')\ (labels\ \tau'))$

**lemma** *lemma3-5-d*: **assumes** *diagram*  $ars\ (\tau, \sigma, \sigma', \tau')$  **and** *diagram*  $ars\ (v, \sigma', \sigma'', v')$   
**shows** *diagram*  $ars\ ((fst\ \tau, snd\ \tau@snd\ v), \sigma, \sigma'', (fst\ \tau'), snd\ \tau'@snd\ v')$  *<proof>*

**lemma** *lemma3-5-d-v*: **assumes** *diagram*  $ars\ (\tau, \sigma, \sigma', \tau')$  **and** *diagram*  $ars\ (\tau', v, v', \tau'')$   
**shows** *diagram*  $ars\ (\tau, (fst\ \sigma, snd\ \sigma@snd\ v), (fst\ \sigma', snd\ \sigma'@snd\ v'), \tau'')$  *<proof>*

**lemma** *lemma3-5'*: **assumes** *trans*  $r$  **and** *irrefl*  $r$  **and** *D2*  $r\ (\tau, \sigma, \sigma', \tau')$  **and** *D2*  $r\ (v, \sigma', \sigma'', v')$

**shows** *D2*  $r\ ((fst\ \tau, snd\ \tau@snd\ v), \sigma, \sigma'', (fst\ \tau'), snd\ \tau'@snd\ v')$

*<proof>*

**lemma** *lemma3-5'-v*: **assumes** *trans*  $r$  **and** *irrefl*  $r$  **and** *D2*  $r\ (\tau, \sigma, \sigma', \tau')$  **and** *D2*  $r\ (\tau', v, v', \tau'')$

**shows** *D2*  $r\ (\tau, (fst\ \sigma, snd\ \sigma@snd\ v), (fst\ \sigma', snd\ \sigma'@snd\ v'), \tau'')$  *<proof>*

**lemma trivial-diagram:** assumes  $\sigma \in \text{seq ars}$  shows diagram ars  $(\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$   
 ⟨proof⟩

**lemma trivial-D2:** assumes  $\sigma \in \text{seq ars}$  shows  $D2\ r\ (\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$   
 ⟨proof⟩

**definition DD :: ('a,'b) lars  $\Rightarrow$  'b rel  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\times$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  bool**  
 where  $DD\ \text{ars}\ r\ d = (\text{diagram ars } d \wedge D2\ r\ d)$

**lemma lemma3-5-DD:** assumes trans r and irrefl r and  $DD\ \text{ars}\ r\ (\tau, \sigma, \sigma', \tau')$  and  $DD\ \text{ars}\ r\ (v, \sigma', \sigma'', v')$   
 shows  $DD\ \text{ars}\ r\ ((\text{fst } \tau, \text{snd } \tau @ \text{snd } v), \sigma, \sigma'', (\text{fst } \tau'), \text{snd } \tau' @ \text{snd } v')$   
 ⟨proof⟩

**lemma lemma3-5-DD-v:** assumes trans r and irrefl r and  $DD\ \text{ars}\ r\ (\tau, \sigma, \sigma', \tau')$  and  $DD\ \text{ars}\ r\ (\tau', v, v', \tau')$   
 shows  $DD\ \text{ars}\ r\ (\tau, (\text{fst } \sigma, \text{snd } \sigma @ \text{snd } v), (\text{fst } \sigma', \text{snd } \sigma' @ \text{snd } v'), \tau')$   
 ⟨proof⟩

**lemma trivial-DD:** assumes  $\sigma \in \text{seq ars}$  shows  $DD\ \text{ars}\ r\ (\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$   
 ⟨proof⟩

**lemma mirror-DD:** assumes trans r and irrefl r and  $DD\ \text{ars}\ r\ (\tau, \sigma, \sigma', \tau')$  shows  $DD\ \text{ars}\ r\ (\sigma, \tau, \tau', \sigma')$   
 ⟨proof⟩

well-foundedness of rel r

**definition measure :: 'b rel  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  'b multiset**  
 where  $\text{measure}\ r\ P = r|\text{labels } (\text{fst } P)| + r|\text{labels } (\text{snd } P)|$

**definition pex :: 'b rel  $\Rightarrow$  (('a,'b) seq  $\times$  ('a,'b) seq) rel**  
 where  $\text{pex}\ r = \{(P1, P2). (\text{measure}\ r\ P1, \text{measure}\ r\ P2) \in \text{mul}\ r\}$

**lemma wfi:** assumes  $\text{relr} = \text{pex}\ r$  and  $\neg \text{wf } (\text{relr})$  shows  $\neg \text{wf } (\text{mul}\ r)$  ⟨proof⟩

**lemma wf:** assumes trans r and  $\text{wf}\ r$  shows  $\text{wf } (\text{pex}\ r)$  ⟨proof⟩

main result

**definition peak :: ('a,'b) lars  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  bool**  
 where  $\text{peak ars } p = (\text{let } (\tau, \sigma) = p \text{ in } \{\tau, \sigma\} \subseteq \text{seq ars} \wedge \text{fst } \tau = \text{fst } \sigma)$

**definition local-peak :: ('a,'b) lars  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  bool**  
 where  $\text{local-peak ars } p = (\text{let } (\tau, \sigma) = p \text{ in } \text{peak ars } p \wedge \text{length } (\text{snd } \tau) = 1 \wedge \text{length } (\text{snd } \sigma) = 1)$

proof of Theorem 3.7

**lemma** *LD-imp-D*: **assumes** *trans r and wf r and*  $\forall P. (\text{local-peak ars } P \longrightarrow (\exists \sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau')))$

**and** *peak ars P shows*  $(\exists \sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau'))$  *<proof>*

CR with unlabeled

**definition** *unlabel*  $:: ('a, 'b) \text{ lars} \Rightarrow 'a \text{ rel}$

**where** *unlabel ars*  $= \{(a, c). \exists b. (a, b, c) \in \text{ars}\}$

**lemma** *step-imp-seq*: **assumes**  $(a, b) \in (\text{unlabel ars})$

**shows**  $\exists ss \in \text{seq ars}. fst ss = a \wedge lst ss = b$  *<proof>*

**lemma** *steps-imp-seq*: **assumes**  $(a, b) \in (\text{unlabel ars})^*$

**shows**  $\exists ss \in \text{seq ars}. fst ss = a \wedge lst ss = b$  *<proof>*

**lemma** *step-imp-unlabeled-step*: **assumes**  $(a, b, c) \in \text{ars}$  **shows**  $(a, c) \in (\text{unlabel ars})$

*<proof>*

**lemma** *seq-imp-steps*:

**assumes**  $ss \in \text{seq ars}$  **and**  $fst ss = a$  **and**  $lst ss = b$  **shows**  $(a, b) \in (\text{unlabel ars})^*$

*<proof>*

**lemma** *seq-vs-steps*: **shows**  $(a, b) \in (\text{unlabel ars})^* = (\exists ss. fst ss = a \wedge lst ss = b \wedge ss \in \text{seq ars})$

*<proof>*

**lemma** *D-imp-CR*: **assumes**  $\forall P. (\text{peak ars } P \longrightarrow (\exists \sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau')))$  **shows** *CR (unlabel ars)* *<proof>*

**definition** *LD*  $:: 'b \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow \text{bool}$

**where** *LD L ars*  $= (\exists (r::('b \text{ rel})) (lrs::('a, 'b) \text{ lars}). (\text{ars} = \text{unlabel lrs}) \wedge \text{trans } r \wedge \text{wf } r \wedge (\forall P. (\text{local-peak lrs } P \longrightarrow (\exists \sigma' \tau'. (DD \text{ lrs } r (fst P, snd P, \sigma', \tau'))))))$

**lemma** *sound*: **assumes** *LD L ars* **shows** *CR ars*

*<proof>*

### 1.1.5 Application: Newman's Lemma

**lemma** *measure*:

**assumes** *lab-eq*:  $lrs = \{(a, c, b). c = a \wedge (a, b) \in \text{ars}\}$  **and**  $(s, (\alpha, t) \# ss) \in \text{seq lrs}$  **shows**  $\text{set } (\text{labels } (t, ss)) \subseteq ds ((\text{ars}^+)^{-1}) \{\alpha\}$  *<proof>*

**lemma** *newman*: **assumes** *WCR ars* **and** *SN ars* **shows** *CR ars* *<proof>*

## 1.2 Conversion Version

This section follows [2].

auxiliary results on multisets

**lemma** *mul-eq-add-right*:  $(M, M+P) \in \text{mul-eq } r \langle \text{proof} \rangle$

**lemma** *mul-add-right*: **assumes**  $(M, N) \in \text{mul } r$  **shows**  $(M, N+P) \in \text{mul } r \langle \text{proof} \rangle$

**lemma** *mul-eq-and-ds-imp-ds*:

**assumes**  $t: \text{trans } r$  **and**  $(M, N) \in \text{mul-eq } r$  **and**  $\text{set-mset } N \subseteq \text{ds } r \ S$

**shows**  $\text{set-mset } M \subseteq \text{ds } r \ S \langle \text{proof} \rangle$

**lemma** *lemma2-6-2-set*: **assumes**  $S \subseteq T$  **shows**  $\text{ds } r \ S \subseteq \text{ds } r \ T \langle \text{proof} \rangle$

**lemma** *leq-imp-subseteq*: **assumes**  $M \subseteq\# N$  **shows**  $\text{set-mset } M \subseteq \text{set-mset } N \langle \text{proof} \rangle$

**lemma** *mul-add-mul-eq-imp-mul*: **assumes**  $(M, N) \in \text{mul } r$  **and**  $(P, Q) \in \text{mul-eq } r$  **shows**  $(M+P, N+Q) \in \text{mul } r \langle \text{proof} \rangle$

labeled conversion

**type-synonym**  $('a, 'b) \text{ conv} = ('a \times ((\text{bool} \times 'b \times 'a) \text{ list}))$

**inductive-set**  $\text{conv} :: ('a, 'b) \text{ lars} \Rightarrow ('a, 'b) \text{ conv set for ars}$

**where**  $(a, []) \in \text{conv ars}$

|  $(a, \alpha, b) \in \text{ars} \Longrightarrow (b, \text{ss}) \in \text{conv ars} \Longrightarrow (a, (\text{True}, \alpha, b) \# \text{ss}) \in \text{conv ars}$

|  $(b, \alpha, a) \in \text{ars} \Longrightarrow (b, \text{ss}) \in \text{conv ars} \Longrightarrow (a, (\text{False}, \alpha, b) \# \text{ss}) \in \text{conv ars}$

**definition**  $\text{labels-conv} :: ('a, 'b) \text{ conv} \Rightarrow 'b \text{ list}$

**where**  $\text{labels-conv } c = \text{map } (\lambda q. (\text{fst } (\text{snd } q))) (\text{snd } c)$

**definition**  $\text{measure-conv} :: 'b \text{ rel} \Rightarrow ('a, 'b) \text{ conv} \Rightarrow 'b \text{ multiset}$

**where**  $\text{measure-conv } r \ c = \text{lexmax } r \ (\text{labels-conv } c)$

**fun**  $\text{lst-conv} :: ('a, 'b) \text{ conv} \Rightarrow 'a$

**where**  $\text{lst-conv } (s, []) = s$

|  $\text{lst-conv } (s, (d, \alpha, t) \# \text{ss}) = \text{lst-conv } (t, \text{ss})$

**definition**  $\text{local-diagram1} :: ('a, 'b) \text{ lars} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq}$

$\Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow \text{bool}$

**where**  $\text{local-diagram1 ars } \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 =$

$(\text{local-peak ars } (\beta, \alpha) \wedge \{\sigma 1, \sigma 2, \sigma 3\} \subseteq \text{seq ars} \wedge \text{lst } \beta = \text{fst } \sigma 1 \wedge \text{lst } \sigma 1 = \text{fst } \sigma 2 \wedge \text{lst } \sigma 2 = \text{fst } \sigma 3)$

**definition**  $\text{LDD1} :: ('a, 'b) \text{ lars} \Rightarrow 'b \text{ rel} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq}$

$\Rightarrow ('a, 'b) \text{ seq} \Rightarrow ('a, 'b) \text{ seq} \Rightarrow \text{bool}$

**where**  $\text{LDD1 ars } r \ \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 = (\text{local-diagram1 ars } \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 \wedge$

$\text{LD-1}' r \ (\text{hd } (\text{labels } \beta)) \ (\text{hd } (\text{labels } \alpha)) \ (\text{labels } \sigma 1) \ (\text{labels } \sigma 2) \ (\text{labels } \sigma 3))$

**definition**  $\text{LDD} :: ('a, 'b) \text{ lars} \Rightarrow 'b \text{ rel} \Rightarrow ('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \times$

$(('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \times ('a, 'b) \text{ seq} \Rightarrow \text{bool}$

**where**  $\text{LDD ars } r \ d = (\text{let } (\beta, \alpha, \sigma 1, \sigma 2, \sigma 3, \tau 1, \tau 2, \tau 3) = d \text{ in } \text{LDD1 ars } r \ \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 \wedge \text{LDD1 ars } r \ \alpha \ \beta \ \tau 1 \ \tau 2 \ \tau 3 \wedge \text{lst } \sigma 3 = \text{lst } \tau 3)$

**definition** *local-triangle1* :: ('a,'b) lars  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) conv  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) conv  $\Rightarrow$  bool  
**where** *local-triangle1* ars  $\beta$   $\alpha$   $\sigma 1$   $\sigma 2$   $\sigma 3$  =  
(*local-peak* ars ( $\beta, \alpha$ )  $\wedge$   $\sigma 2 \in$  seq ars  $\wedge$   $\{\sigma 1, \sigma 3\} \subseteq$  conv ars  $\wedge$  lst  $\beta =$  fst  $\sigma 1 \wedge$  lst-conv  $\sigma 1 =$  fst  $\sigma 2 \wedge$  lst  $\sigma 2 =$  fst  $\sigma 3$ )

**definition** *LT1* :: ('a,'b) lars  $\Rightarrow$  'b rel  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) conv  $\Rightarrow$  ('a,'b) seq  $\Rightarrow$  ('a,'b) conv  $\Rightarrow$  bool  
**where** *LT1* ars r  $\beta$   $\alpha$   $\sigma 1$   $\sigma 2$   $\sigma 3$  = (*local-triangle1* ars  $\beta$   $\alpha$   $\sigma 1$   $\sigma 2$   $\sigma 3 \wedge$  LD-1' r (hd (labels  $\beta$ )) (hd (labels  $\alpha$ )) (labels-conv  $\sigma 1$ ) (labels  $\sigma 2$ ) (labels-conv  $\sigma 3$ ))

**definition** *LT* :: ('a,'b) lars  $\Rightarrow$  'b rel  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\times$  ('a,'b) conv  $\times$  ('a,'b) seq  $\times$  ('a,'b) conv  $\times$  ('a,'b) conv  $\times$  ('a,'b) seq  $\times$  ('a,'b) conv  $\Rightarrow$  bool  
**where** *LT* ars r t = (let ( $\beta, \alpha, \sigma 1, \sigma 2, \sigma 3, \tau 1, \tau 2, \tau 3$ ) = t in *LT1* ars r  $\beta$   $\alpha$   $\sigma 1$   $\sigma 2$   $\sigma 3 \wedge$  *LT1* ars r  $\alpha$   $\beta$   $\tau 1$   $\tau 2$   $\tau 3 \wedge$  lst-conv  $\sigma 3 =$  lst-conv  $\tau 3$ )

**lemma** *conv-tail1*: **assumes** conv: (s,(d, $\alpha$ ,t)#xs)  $\in$  conv ars  
**shows** (t,xs)  $\in$  conv ars **and** d  $\Longrightarrow$  (s, $\alpha$ ,t)  $\in$  ars **and**  $\neg$ d  $\Longrightarrow$  (t, $\alpha$ ,s)  $\in$  ars **and** lst-conv (s,(d, $\alpha$ ,t)#xs) = lst-conv (t,xs)  $\langle$ proof $\rangle$

**lemma** *conv-chop*: **assumes** (s,ss1@ss2)  $\in$  conv ars **shows** (s,ss1)  $\in$  conv ars (lst-conv (s,ss1),ss2)  $\in$  conv ars  $\langle$ proof $\rangle$

**lemma** *conv-concat-helper*:  
**assumes** (s,ls)  $\in$  conv ars **and** ss2  $\in$  conv ars **and** lst-conv (s,ls) = fst ss2  
**shows** (s,ls@snd ss2)  $\in$  conv ars  $\wedge$  (lst-conv (s,ls@snd ss2) = lst-conv ss2)  $\langle$ proof $\rangle$

**lemma** *conv-concat*:  
**assumes** ss1  $\in$  conv ars **and** ss2  $\in$  conv ars **and** lst-conv ss1 = fst ss2  
**shows** (fst ss1,snd ss1@snd ss2)  $\in$  conv ars **and** (lst-conv (fst ss1,snd ss1@snd ss2) = lst-conv ss2)  $\langle$ proof $\rangle$

**lemma** *conv-concat-labels*:  
**assumes** ss1  $\in$  conv ars **and** ss2  $\in$  conv ars **and** set (labels-conv ss1)  $\subseteq$  S **and** set (labels-conv ss2)  $\subseteq$  T  
**shows** set (labels-conv (fst ss1,snd ss1@snd ss2))  $\subseteq$  S  $\cup$  T  $\langle$ proof $\rangle$

**lemma** *seq-decompose*:  
**assumes**  $\sigma \in$  seq ars **and** labels  $\sigma = \sigma 1' @ \sigma 2'$   
**shows**  $\exists \sigma 1 \sigma 2. (\{\sigma 1, \sigma 2\} \subseteq$  seq ars  $\wedge \sigma =$  (fst  $\sigma 1, \text{snd } \sigma 1 @ \text{snd } \sigma 2) \wedge$  lst  $\sigma 1 =$  fst  $\sigma 2 \wedge$  lst  $\sigma 2 =$  lst  $\sigma \wedge$  labels  $\sigma 1 = \sigma 1' \wedge$  labels  $\sigma 2 = \sigma 2')$   $\langle$ proof $\rangle$

**lemma** *seq-imp-conv*:  
**assumes** (s,ss)  $\in$  seq ars  
**shows** (s,map ( $\lambda$ step. (True,step)) ss)  $\in$  conv ars  $\wedge$

$lst\text{-conv } (s, \text{map } (\lambda \text{step.}(True, \text{step})) \text{ ss}) = lst (s, \text{ss}) \wedge$   
 $labels (s, \text{ss}) = labels\text{-conv } (s, \text{map } (\lambda \text{step.}(True, \text{step})) \text{ ss})$   
 <proof>

**fun** *conv-mirror* :: ('a,'b) conv  $\Rightarrow$  ('a,'b) conv  
**where** *conv-mirror*  $\sigma = (\text{let } (s, \text{ss}) = \sigma \text{ in case ss of}$   
 $\quad \square \Rightarrow (s, \text{ss})$   
 $\quad | x \# xs \Rightarrow \text{let } (d, \alpha, t) = x \text{ in}$   
 $\quad \quad (\text{fst } (\text{conv-mirror } (t, xs)), \text{snd } (\text{conv-mirror } (t, xs)) @ [(\neg d, \alpha, s)]))$

**lemma** *conv-mirror*: **assumes**  $\sigma \in \text{conv ars}$   
**shows** *conv-mirror*  $\sigma \in \text{conv ars} \wedge$   
 $\text{set } (labels\text{-conv } (\text{conv-mirror } \sigma)) = \text{set } (labels\text{-conv } \sigma) \wedge$   
 $\text{fst } \sigma = \text{fst } (\text{conv-mirror } \sigma) \wedge$   
 $lst\text{-conv } \sigma = \text{fst } (\text{conv-mirror } \sigma)$  <proof>

**lemma** *DD-subset-helper*:  
**assumes**  $t:\text{trans } r$  **and**  $(r|\tau @ \sigma', r|\tau + r|\sigma) \in \text{mul-eq } r$  **and**  $\text{set-mset } (r|\tau + r|\sigma) \subseteq ds \ r \ S$   
**shows**  $\text{set-mset } r|\sigma' \subseteq ds \ r \ S$  <proof>

**lemma** *DD-subset-ds*:  
**assumes**  $t:\text{trans } r$  **and** *DD*:  $DD \text{ ars } r (\tau, \sigma, \sigma', \tau')$  **and**  $\text{set-mset } (\text{measure } r (\tau, \sigma)) \subseteq ds \ r \ S$  **shows**  $\text{set-mset } (\text{measure } r (\sigma', \tau')) \subseteq ds \ r \ S$  <proof>

**lemma** *conv-imp-valley*:  
**assumes**  $t:\text{trans } r$   
**and** *IH*:  $!!y . ((y, (s, [\alpha\text{-step}] @ \varrho\text{-step}), (s, [\beta\text{-step}] @ \nu\text{-step}))) \in \text{pex } r \Longrightarrow \text{peak ars } y$   
 $\Longrightarrow \exists \sigma' \tau'. DD \text{ ars } r (\text{fst } y, \text{snd } y, \sigma', \tau')$  (**is**  $!!y . ((y, ?P) \in - \Longrightarrow - \Longrightarrow -)$ )  
**and**  $\delta 1 \in \text{conv ars}$   
**and**  $\text{set-mset } (\text{measure-conv } r \ \delta 1) \subseteq dm \ r \ M$   
**and**  $(M, \{\#\text{fst } \alpha\text{-step}, \text{fst } \beta\text{-step}\}) \in \text{mul-eq } r$   
**shows**  $\exists \sigma \tau. (\{\sigma, \tau\} \subseteq \text{seq ars} \wedge \text{fst } \sigma = \text{fst } \delta 1 \wedge \text{fst } \tau = \text{fst } \delta 1 \wedge \text{fst } \sigma = \text{fst } \tau \wedge \text{set-mset } (\text{measure } r (\sigma, \tau)) \subseteq dm \ r \ M)$  <proof>

**lemma** *labels-multiset*: **assumes**  $\text{length } (labels \ \sigma) \leq 1$  **and**  $\text{set } (labels \ \sigma) \subseteq \{\alpha\}$   
**shows**  $(r|labels \ \sigma, \{\#\alpha\}) \in \text{mul-eq } r$  <proof>

**lemma** *decreasing-imp-local-decreasing*:  
**assumes**  $t:\text{trans } r$  **and**  $i:\text{irrefl } r$  **and** *DD*:  $DD \text{ ars } r (\tau, \sigma, \sigma', \tau')$  **and**  $\text{set } (labels \ \tau) \subseteq ds \ r \ \{\beta\}$   
**and**  $\text{length } (labels \ \sigma) \leq 1$  **and**  $\text{set } (labels \ \sigma) \subseteq \{\alpha\}$   
**shows**  $\exists \sigma 1 \ \sigma 2 \ \sigma 3. (\sigma' = (\text{fst } \sigma 1, \text{snd } \sigma 1 @ \text{snd } \sigma 2 @ \text{snd } \sigma 3) \wedge \text{fst } \sigma 1 = \text{fst } \sigma 2 \wedge \text{fst } \sigma 2 = \text{fst } \sigma 3 \wedge \text{fst } \sigma 3 = \text{fst } \sigma'$   
 $\quad \wedge LD\text{-}1' \ r \ \beta \ \alpha \ (labels \ \sigma 1) \ (labels \ \sigma 2) \ (labels \ \sigma 3))$   
 $\text{set } (labels \ \tau') \subseteq ds \ r \ (\{\alpha, \beta\})$   
 <proof>

**lemma** *local-decreasing-extended-imp-decreasing*:

**assumes** *LT1* *ars* *r* (*s*,[ $\beta$ -step]) (*s*,[ $\alpha$ -step])  $\gamma_1 \gamma_2 \gamma_3$   
**and** *t*:*trans* *r* **and** *i*:*irrefl* *r*  
**and** *IH*:!!*y* . ((*y*,((*s*,[ $\beta$ -step]@*v*-step),(*s*,[ $\alpha$ -step]@ $\rho$ -step)))  $\in$  *pex* *r*  $\implies$  *peak* *ars* *y*  
 $\implies \exists \sigma' \tau'$ . *DD* *ars* *r* (*fst* *y*, *snd* *y*,  $\sigma'$ ,  $\tau'$ ) (**is** !!*y*. ((*y*,?P)  $\in$  -  $\implies$  -  $\implies$  -))  
**shows**  $\exists \sigma_1 \sigma_2 \sigma_3' \gamma_1'''$ . ( $\{\sigma_1, \sigma_2, \sigma_3', \gamma_1'''\}$   $\subseteq$  *seq* *ars*  $\wedge$   
*set* (*labels*  $\sigma_1$ )  $\subseteq$  *ds* *r* {*fst*  $\beta$ -step}  $\wedge$  *length* (*labels*  $\sigma_2$ )  $\leq 1$   $\wedge$  *set* (*labels*  $\sigma_2$ )  $\subseteq$   
{*fst*  $\alpha$ -step}  $\wedge$  *set* (*labels*  $\sigma_3'$ )  $\subseteq$  *ds* *r* {*fst*  $\alpha$ -step, *fst*  $\beta$ -step}  $\wedge$   
*set* (*labels*  $\gamma_1'''$ )  $\subseteq$  *ds* *r* {*fst*  $\alpha$ -step, *fst*  $\beta$ -step}  $\wedge$   
*snd*  $\beta$ -step = *fst*  $\sigma_1$   $\wedge$  *lst*  $\sigma_1$  = *fst*  $\sigma_2$   $\wedge$  *lst*  $\sigma_2$  = *fst*  $\sigma_3'$   $\wedge$  *lst*  $\sigma_3'$  = *lst*  $\gamma_1'''$   
 $\wedge$  *fst*  $\gamma_1'''$  = *fst*  $\gamma_3$   
 $\langle$ *proof* $\rangle$

**lemma** *LDD-imp-DD*:

**assumes** *t*:*trans* *r* **and** *i*:*irrefl* *r* **and** *LDD* *ars* *r* ( $\tau, \sigma, \sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3$ )  
**shows**  $\exists \sigma' \tau'$ . *DD* *ars* *r* ( $\tau, \sigma, \sigma', \tau'$ )  $\langle$ *proof* $\rangle$

**lemma** *LT-imp-DD*:

**assumes** *t*:*trans* *r*  
**and** *i*:*irrefl* *r*  
**and** *IH*:!!*y* . ((*y*,((*s*,[ $\beta$ -step]@*v*-step),(*s*,[ $\alpha$ -step]@ $\rho$ -step)))  $\in$  *pex* *r*  $\implies$  *peak* *ars* *y*  
 $\implies \exists \sigma' \tau'$ . *DD* *ars* *r* (*fst* *y*, *snd* *y*,  $\sigma'$ ,  $\tau'$ ) (**is** !!*y*. ((*y*,?P)  $\in$  -  $\implies$  -  $\implies$  -))  
**and** *LT*: *LT* *ars* *r* ((*s*,[ $\beta$ -step]),(*s*,[ $\alpha$ -step]), $\gamma_1, \gamma_2, \gamma_3, \delta_1, \delta_2, \delta_3$ )  
**shows**  $\exists \kappa \mu$ . *DD* *ars* *r* ((*s*,[ $\beta$ -step]),(*s*,[ $\alpha$ -step]), $\kappa, \mu$ )  
 $\langle$ *proof* $\rangle$

**lemma** *LT-imp-D*: **assumes** *t*:*trans* *r* **and** *wf* *r* **and**  $\forall p$ . (*local-peak* *ars* *p*  $\longrightarrow$  ( $\exists$   
 $\gamma_1 \gamma_2 \gamma_3 \delta_1 \delta_2 \delta_3$ . *LT* *ars* *r* (*fst* *p*, *snd* *p*,  $\gamma_1, \gamma_2, \gamma_3, \delta_1, \delta_2, \delta_3$ )))  
**and** *peak* *ars* *P* **shows** ( $\exists \sigma' \tau'$ . *DD* *ars* *r* (*fst* *P*, *snd* *P*,  $\sigma', \tau'$ ))  $\langle$ *proof* $\rangle$

**definition** *LD-conv* :: '*b* *set*  $\implies$  '*a* *rel*  $\implies$  *bool*

**where** *LD-conv* *L* *ars* = ( $\exists$  (*r*:: ('*b* *rel*)) (*lrs*:: ('*a*, '*b*) *lars*). (*ars* = *unlabel* *lrs*)  $\wedge$   
*trans* *r*  $\wedge$  *wf* *r*  $\wedge$  ( $\forall p$ . (*local-peak* *lrs* *p*  $\longrightarrow$  ( $\exists \gamma_1 \gamma_2 \gamma_3 \delta_1 \delta_2 \delta_3$ . *LT* *lrs* *r* (*fst*  
*p*, *snd* *p*,  $\gamma_1, \gamma_2, \gamma_3, \delta_1, \delta_2, \delta_3$ ))))))

**lemma** *sound-conv*: **assumes** *LD-conv* *L* *ars* **shows** *CR* *ars*  
 $\langle$ *proof* $\rangle$

**hide-const** (**open**) *D*

**hide-const** (**open**) *seq*

**hide-const** (**open**) *measure*

**hide-fact** (**open**) *split*

**end**



## References

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