Impossibility of the Dissection of a Cube

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Abstract

This entry formalizes Littlewood's argument [2], demonstrating that a 3-dimensional cube cannot be dissected into a finite collection of smaller cubes, each of a different size. The formalization addresses theorem #82, "Dissection of Cubes (J.E. Littlewood's 'elegant' proof)" from Freek Wiedijk's "100 Mathematical Theorems" list [1], and is based upon a prior formalization in Lean [3].

Contents

theory *Cube-Dissection*
imports *Complex-Main HOL−Library.Disjoint-Sets HOL−Library.Infinite-Set*
begin

Proof that a cube can't be dissected into a finite number of subcubes of different size This formalization is heavily inspired by the Lean proof of the same fact, [3]. One goal of this project, is that by restricting to cubes of dimension 3 the logic will be easier to follow

1 Basic definitions

1.1 point and cube definitions

record *point* = *px*:: *real py*::*real pz*::*real*
record *cube* = *point*:: *point width*::*real*
datatype *axis* = *x* | *y* | *z*
abbreviation *coordinate* ≡ *case-axis px py pz*

abbreviation *is-valid* :: *cube* ⇒ *bool* **where** *is-valid c* ≡ (*width c* > *0*)

Min value of cube along given axis

hide-const (**open**) *min*
abbreviation *min* :: *axis* ⇒ *cube* ⇒ *real* **where** *min ax c* ≡ *coordinate ax* (*point c*)

Max value (supremum) along given axis

hide-const (**open**) *max*
abbreviation *max* :: *axis* ⇒ *cube* ⇒ *real* **where** *max ax c* ≡ *min ax c* + *width c*

Sides of a cube. Half-open intervals, so that a dissection both is a cover, and consists of disjoint cubes

abbreviation *side* :: *axis* ⇒ *cube* ⇒ *real set* **where**
side ax c ≡ {*min ax c* ..< *max ax c*}

Sets of points generated from cubes

definition *to-set* :: *cube* ⇒ *point set* **where**
to-set c = {*p*. *px p* ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* ∈ *side z c*}
definition *bot* :: *cube* ⇒ *point set* **where**
bot c = {*p*. *px p* ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* = *min z c*}
definition *top* :: *cube* ⇒ *point set* **where**
top c = {*p*. *px p* ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* = *max z c*}

Moves a cube its width down (so top face to bottom face)

definition *shift-down* :: *cube* ⇒ *cube* **where**
shift-down c = *c* (| *point* := *point c* (| *pz* := *min z c* − *width c* |) |)

1.2 Calculations with sets from cubes

A bunch of statements we need about how cubes can be compared by *side*

lemma *top-shift-down-eq-bot*: *top* (*shift-down c*) = *bot c*
⟨*proof*⟩

Sets not empty

lemma *non-empty*: *is-valid c* ⟹ *to-set c* ≠ {}
⟨*proof*⟩
lemma *top-non-empty*: *is-valid c* ⟹ *top c* ≠ {}
⟨*proof*⟩

min of a cube is in corresponding *side*

lemma *min-in-side*: *is-valid c* ⟹ *min ax c* ∈ *side ax c*
⟨*proof*⟩

lemma *min-ne-max*: *is-valid c* ⟹ *min ax c* ≠ *max ax c*
⟨*proof*⟩
lemma *min-lt-max*: *is-valid c* ⟹ *min ax c* < *max ax c*
⟨*proof*⟩

lemma *bot-subset*: *bot c* ⊆ *to-set c*
⟨*proof*⟩

1.2.1 Point membership

Points in a cube's set, by looking at membership of *side*

lemma *in-set-by-side*: *p* ∈ *to-set c* ⟷
px p ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* ∈ *side z c*
⟨*proof*⟩
lemma *in-set-by-side-2*: (|*px*=*x0*, *py*=*y0*, *pz*=*z0*|) ∈ *to-set c* ⟷
x0 ∈ *side x c* ∧ *y0* ∈ *side y c* ∧ *z0* ∈ *side z c*
⟨*proof*⟩

lemma *in-bot-by-side*: *p* ∈ *bot c* ⟷
px p ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* = *min z c*
⟨*proof*⟩
lemma *in-bot-by-side-2*: (|*px*=*x0*, *py*=*y0*, *pz*=*z0*|) ∈ *bot c* ⟷
x0 ∈ *side x c* ∧ *y0* ∈ *side y c* ∧ *z0* = *min z c*
⟨*proof*⟩

lemma *in-top-by-side*: *p* ∈ *top c* ⟷
px p ∈ *side x c* ∧ *py p* ∈ *side y c* ∧ *pz p* = *max z c*
⟨*proof*⟩
lemma *in-top-by-side-2*: (|*px*=*x0*, *py*=*y0*, *pz*=*z0*|) ∈ *top c* ⟷
x0 ∈ *side x c* ∧ *y0* ∈ *side y c* ∧ *z0* = *max z c*
⟨*proof*⟩

lemma *all-point-iff*: (∀ *p*. *P p*) ⟷ (∀ *x1 y1 z1*. *P* (|*px* = *x1*, *py* = *y1*, *pz* = *z1*|))

⟨*proof*⟩

Intersection by *side*

lemma *set-intersect-by-side*: *to-set c1* ∩ *to-set c2* ≠ {} ⟷
side x c1 ∩ *side x c2* ≠ {} ∧ *side y c1* ∩ *side y c2* ≠ {} ∧ *side z c1* ∩ *side z c2* ≠ {}
⟨*proof*⟩

lemma *bot-intersect-by-side*: *bot c1* ∩ *bot c2* ≠ {}
⟷ *side x c1* ∩ *side x c2* ≠ {} ∧ *side y c1* ∩ *side y c2* ≠ {} ∧ *min z c1* = *min z c2*
⟨*proof*⟩

lemma *bot-top-intersect-by-side*: *bot c1* ∩ *top c2* ≠ {}
⟷ *side x c1* ∩ *side x c2* ≠ {} ∧ *side y c1* ∩ *side y c2* ≠ {} ∧ *min z c1* = *max z c2*
⟨*proof*⟩

1.2.2 Cubes subset of each other, by *side*

lemma *set-subset-by-side*: *to-set c1* ⊆ *to-set c2* ⟷
side x c1 ⊆ *side x c2* ∧ *side y c1* ⊆ *side y c2* ∧ *side z c1* ⊆ *side z c2*
⟨*proof*⟩
lemma *set-eq-by-side*: *to-set c1* = *to-set c2* ⟷
side x c1 = *side x c2* ∧ *side y c1* = *side y c2* ∧ *side z c1* = *side z c2*
⟨*proof*⟩

lemma *bot-eq-by-side*: *is-valid c1* ⟹ *bot c1* = *bot c2* ⟷
side x c1 = *side x c2* ∧ *side y c1* = *side y c2* ∧ *min z c1* = *min z c2*
⟨*proof*⟩

lemma *bot-top-subset-by-side*: *is-valid c1* ⟹ *bot c1* ⊆ *top c2* ⟷
side x c1 ⊆ *side x c2* ∧ *side y c1* ⊆ *side y c2* ∧ *min z c1* = *max z c2*
⟨*proof*⟩
lemma *bot-top-eq-by-side*: *is-valid c1* ⟹ *bot c1* = *top c2* ⟷
side x c1 = *side x c2* ∧ *side y c1* = *side y c2* ∧ *min z c1* = *max z c2*
⟨*proof*⟩

lemma *width-eq-if-side-eq*: ⟦*is-valid c1*; *side ax c1* = *side ax c2*⟧ ⟹ *width c1* = *width c2*
⟨*proof*⟩

to-set is injective

lemma *to-set-inj*:
assumes *is-valid c1 to-set c1* = *to-set c2*
shows *c1* = *c2*
⟨*proof*⟩

Cube-Dissection.bot is also injective

lemma *bot-inj*: **assumes** *is-valid c1 bot c1 = bot c2* **shows** *c1 = c2*
⟨*proof*⟩

2 Cubing

We in this section introduce a dissection C of the unit cube

The cube we show there is no dissection of

definition *unit-cube* **where** *unit-cube* = (| *point*=(|*px*=*0*, *py*=*0*, *pz*=*0*|), *width*=*1*|)

lemma *min-unit-cube-0*: *min ax unit-cube = 0*
⟨*proof*⟩

lemma *unit-cube-valid*[*simp*]: *is-valid unit-cube*
⟨*proof*⟩

What we want to show doesn't exist. C is a set of cubes which satisfy:

1. All cubes are valid (width > 0)
2. All cubes a disjoint
3. The union of the cubes in C equal *unit-cube* (hence, all cubes are contained in *unit-cube*)
4. All cubes in C have different width
5. There are at least two cubes in C
6. There are a finite number of cubes in C

definition *is-dissection* :: *cube set* ⇒ *bool* **where**
is-dissection C ⟷
(∀ *c* ∈ *C*. *is-valid c*)
∧ *disjoint* (*image to-set C*)
∧ ⋃(*image to-set C*) = *to-set unit-cube*
∧ *inj-on width C* — All cubes are of different size
∧ *card C* ≥ *2* — At least two cubes
∧ *finite C*

From now on, C is some fixed dissection of *unit-cube*, and 'dissection' refers to this fact

context fixes *C* **assumes** *dissection*: *is-dissection C*
begin

2.1 Properties of *is-dissection*

lemma *valid-if-dissection*[*simp*]: $c \in C \Longrightarrow$ *is-valid c*
⟨*proof*⟩

lemma *side-unit-cube*:
side ax unit-cube = {*0..<1*}
⟨*proof*⟩

lemma *subset-unit-cube-if-dissection*: $c \in C \Longrightarrow$ *to-set c* ⊆ *to-set unit-cube*
⟨*proof*⟩

lemma *subset-unit-cube-by-side*:
$c \in C \Longrightarrow$ *side ax c* ⊆ {*0..<1*}
⟨*proof*⟩

lemma *eq-iff-intersect*: ⟦*c1* ∈ *C*; *c2* ∈ *C*⟧ ⟹ *c1* = *c2* ⟷ *to-set c1* ∩ *to-set c2* ≠ {}
⟨*proof*⟩

Whenever we have a point in *unit-cube*, there exists a (unique) cube in C containing that point

lemma *obtain-cube*: *p* ∈ *to-set unit-cube* ⟹ ∃ *c* ∈ *C*. *p* ∈ *to-set c*
⟨*proof*⟩

If the top of c doesn't touch the top of *unit-cube*, then top of c must be covered by bottoms of cubes in C

lemma *top-cover-by-bot*:
assumes *c* ∈ *C max z c* < *1*
shows *top c* ⊆ ⋃(*image bot C*)
⟨*proof*⟩

3 Hole

A hole h is a special kind of cube, where any cube whose bottom 'touches' the top of v must in fact have its bottom contained in the top of v. If $h \in C$, then this happens because all the other cubes surrounding h go up taller, forming a hole on top of v. Note that we don't require that $h \in C$, but this is only so we can prove that *unit-cube* shifted down by 1 is a hole - all other holes will in fact lie in C. The concept of a hole is inspired by the 'Valley' definition from [3]

3.1 Definitions

definition *is-hole* :: *cube* ⇒ *bool* **where**
is-hole h ⟷
is-valid h
∧ *top h* ⊆ ⋃(*image bot C*)

$\wedge$ ($\forall$ $c \in C$. $bot\ c \cap top\ h \neq \{\} \longrightarrow bot\ c \subseteq top\ h$)

— v could be a cube in C (and most often is), but any other cube must be different width. Also, this assumption is not actually needed (as it follows from v, $c \in C$), but without it we have to do a special-case proof for the bottom of the *unit-cube*

$\wedge$ ($\forall$ $c \in C$. $c \neq h \longrightarrow width\ c \neq width\ h$)

Subset of C which are on a given hole h

definition *is-on-hole* :: *cube* $\Rightarrow$ *cube* $\Rightarrow$ *bool* **where**
is-on-hole h c $\longleftrightarrow$ *bot c* $\subseteq$ *top h*
definition *filter-on-hole* :: *cube* $\Rightarrow$ *cube set* **where**
filter-on-hole h = *Set.filter* (*is-on-hole h*) *C*

3.2 Properties of a hole

Terminology: 'on hole' means cube c with: *Cube-Dissection.bot c* $\subseteq$ *Cube-Dissection.top h*. 'in hole' means point p with: $p \in$ *Cube-Dissection.top h* h

local.filter-on-hole h $\subseteq$ *C*

lemma *dissection-if-on-hole*[*simp*]: *c* $\in$ *filter-on-hole h* $\Longrightarrow$ *c* $\in$ *C*
⟨*proof*⟩

Holes, and cubes on them, are valid

lemma *valid-if-hole*[*simp*]: *is-hole h* $\Longrightarrow$ *is-valid h*
⟨*proof*⟩
lemma *valid-if-on-hole*[*simp*]: *c* $\in$ *filter-on-hole h* $\Longrightarrow$ *is-valid c*
⟨*proof*⟩

lemma *on-hole-finite*: *is-hole h* $\Longrightarrow$ *finite* (*filter-on-hole h*)
⟨*proof*⟩

lemma *on-hole-if-in-filter-on-hole*: *c* $\in$ *filter-on-hole h* $\Longrightarrow$ *is-on-hole h c*
⟨*proof*⟩

lemma *on-hole-cover*: **assumes** *is-hole h* **shows** *top h* $\subseteq \bigcup$ (*image bot* (*filter-on-hole h*))
⟨*proof*⟩

Whenever we have a point p in the top of a hole h, there exists a (unique) cube $c \in$ *local.filter-on-hole h*, such that $p \in$ *Cube-Dissection.bot c*

lemma *obtain-cube-if-in-hole*: ⟦*is-hole h*; *p* $\in$ *top h*⟧
$\Longrightarrow \exists$ *c* $\in$ *filter-on-hole h* . *p* $\in$ *bot c*
⟨*proof*⟩

lemma *on-hole-inj-on-width*: *is-hole h* $\Longrightarrow$ *inj-on width* (*filter-on-hole h*)
⟨*proof*⟩

3.3 Properties of cubes on a hole

lemma *neq-hole-if-on-hole*: *c* $\in$ *filter-on-hole h* $\Longrightarrow$ *c* $\neq$ *h*

⟨*proof*⟩

lemma *subset-if-on-hole*: $c \in$ *filter-on-hole* $h \Longrightarrow$ *bot* $c \subseteq$ *top* h
⟨*proof*⟩

lemma *side-subset-if-on-hole*: $[\![c \in$ *filter-on-hole* h; $ax \in \{x,y\}]\!] \Longrightarrow$ *side* ax $c \subseteq$ *side* ax h
⟨*proof*⟩

lemma *min-z-eq-max-z-hole-if-on-hole*:
$c \in$ *filter-on-hole* $h \Longrightarrow$ *min* z $c =$ *max* z h
⟨*proof*⟩

lemma *z-eq-if-on-hole*:
$[\![c1 \in$ *filter-on-hole* h; $c2 \in$ *filter-on-hole* $h]\!] \Longrightarrow$ *min* z $c1 =$ *min* z $c2$
⟨*proof*⟩

Do not need to care about z-coordinate

lemma *eq-iff-side-eq-if-on-hole*: $[\![c1 \in$ *filter-on-hole* h; $c2 \in$ *filter-on-hole* $h]\!]$
$\Longrightarrow c1 = c2 \longleftrightarrow$ *side* x $c1 =$ *side* x $c2 \wedge$ *side* y $c1 =$ *side* y $c2$
⟨*proof*⟩

Disjointness-lemmas:

lemma *eq-iff-bot-intersect-if-on-hole*:
assumes $c1 \in$ *filter-on-hole* h $c2 \in$ *filter-on-hole* h
shows $c1 = c2 \longleftrightarrow$ *bot* $c1 \cap$ *bot* $c2 \neq \{\}$
⟨*proof*⟩

lemma *eq-iff-side-intersect-if-on-hole*:
$[\![c1 \in$ *filter-on-hole* h; $c2 \in$ *filter-on-hole* $h]\!]$
$\Longrightarrow c1 = c2 \longleftrightarrow$ *side* x $c1 \cap$ *side* x $c2 \neq \{\} \wedge$ *side* y $c1 \cap$ *side* y $c2 \neq \{\}$
⟨*proof*⟩

lemma *width-on-hole-lt-width-hole*:
assumes *is-hole* h $c \in$ *filter-on-hole* h **shows** *width* $c <$ *width* h
⟨*proof*⟩

lemma *strict-subset-if-on-hole*: **assumes** *is-hole* h $c \in$ *filter-on-hole* h
shows *bot* $c \subset$ *top* h
⟨*proof*⟩

lemma *on-hole-non-empty*: *is-hole* $h \Longrightarrow$ *filter-on-hole* $h \neq \{\}$
⟨*proof*⟩

4 Bottom of *unit-cube* is a hole

lemma *bot-unit-cube-cover-by-bot*: *bot unit-cube* $\subseteq \bigcup$(*image bot* C)
⟨*proof*⟩

lemma *eq-if-width-eq-if-subset*:
assumes *width c1 = width c2 to-set c1 ⊆ to-set c2*
shows *to-set c1 = to-set c2*
⟨*proof*⟩

lemma *width-ne-one*:
assumes $c \in C$
shows *width c* $\neq$ *1*
⟨*proof*⟩

Combines the previous lemmas, to show that the bottom of *unit-cube* is a hole

proposition *hole-unit-cube*: *is-hole* (*shift-down unit-cube*)
⟨*proof*⟩

5 Minimum cube on hole is interior

context
fixes *h* **assumes** *hole*: *is-hole h*
begin

For this section, we fix a hole *h*, and define *cmin* to be the smallest cube on this hole. Theorem *hole* refers to this fact. The goal of this section is then to show that *cmin* itself is a hole.

5.1 Definition: Minimum cube on *h*

cmin is the smallest cube on the hole *h*

definition *cmin*:: *cube*
where *cmin* = (*ARG-MIN width c . c* ∈ *filter-on-hole h*)

lemma *arg-min-exist*: ⟦*finite C'*; *C'* ≠ {}⟧ ⟹ (*ARG-MIN width c . c* ∈ *C'*) ∈ *C'*
⟨*proof*⟩

This lemma also shows that *local.cmin* exists

lemma *cmin-on-h*: *cmin* ∈ *filter-on-hole h*
⟨*proof*⟩

lemma *cmin-valid*[*simp*]: *is-valid cmin*
⟨*proof*⟩

lemma *arg-min-minimal*: ⟦*finite C'*; *c* ∈ *C'*⟧ ⟹ *width* (*ARG-MIN width c . c* ∈ *C'*) ≤ *width c*
⟨*proof*⟩

lemma *cmin-minimal*: *c* ∈ *filter-on-hole h* ⟹ *width cmin* ≤ *width c*
⟨*proof*⟩

lemma *cmin-minimal-strict*:
assumes $c \in$ *filter-on-hole* h $c \neq$ *cmin*
shows *width cmin* $<$ *width c*
⟨*proof*⟩

lemma *cmin-max-z-neq-one*: *max z cmin* < 1
⟨*proof*⟩

5.2 Minimum cube on hole is interior

All squares on the boundary of h

definition *is-on-boundary* :: *axis* ⇒ *cube* ⇒ *bool* **where**
is-on-boundary ax c ⟷ *min ax h* $=$ *min ax c* ∨ *max ax h* $=$ *max ax c*

Shows that IF *local.cmin* is on a boundary *ax*, then we find some *ax*-coordinate r, which is further from the boundary than the edge of *local.cmin*, but closer than the edge of any other cube sufficiently close to the boundary.

lemma *cmin-on-boundary*:
assumes *is-on-boundary ax cmin* $ax \in \{x, y\}$
shows $\exists r$.
$r \in$ (*side ax h* − (*side ax cmin*)) ∧
(∀ $c \in$ *filter-on-hole h* . $c \neq$ *cmin* ⟶ *side ax cmin* ∩ *side ax c* $\neq \{\}$ ⟶ $r \in$ *side ax c*)
⟨*proof*⟩

Using the previous lemma, we show that *local.cmin* being on the boundary leads to a contradiction

lemma *cmin-not-on-boundary-by-axis*:
assumes $ax \in \{x, y\}$
shows ¬*is-on-boundary ax cmin*
⟨*proof*⟩

Previous result, written as inequalities instead

proposition *cmin-not-on-boundary*:
min x h $<$ *min x cmin* ∧ *max x cmin* $<$ *max x h*
∧ *min y h* $<$ *min y cmin* ∧ *max y cmin* $<$ *max y h*
⟨*proof*⟩

6 Minimum cube of hole induces hole on top

The main result of this proof - the minimum cube on a hole is itself a hole!

proposition *hole-cmin*:
shows *is-hole cmin*
⟨*proof*⟩

The main purpose of the previous result: From the proposition, *hole-cmin* when given the hole h induce another hole h' (i.e., *local.cmin*), which is in C and is strictly smaller.

lemma *recursive-step*: $\exists\, h'.\ h' \in C \wedge$ *is-hole* $h' \wedge$ *width* $h' <$ *width* h
⟨*proof*⟩

Here we end the context in which h is some fixed hole (and hence also the specific *local.cmin*)

end

7 The main result

We combine the previous lemmas inductively as follows: 0: Start with the bottom of *unit-cube*, which we showed is a hole. n: For each hole, take the minimum cube on this hole, which is then a new hole, strictly smaller, and in C. Hence, C is infinite.

definition *next-hole*:: *cube* ⇒ *cube* **where**
next-hole h = (*SOME* h' . $h' \in C \wedge$ *is-hole* $h' \wedge$ *width* $h' <$ *width* h)

lemma *next-hole-exist*: *is-hole* h
⟹ *next-hole* $h \in C \wedge$ *is-hole* (*next-hole* h) $\wedge$ *width* (*next-hole* h) $<$ *width* h
⟨*proof*⟩

For following proof, we want the image of *nth-hole* to be contained in C, hence we start at *1* (= *Suc 0*). *nth-hole* is a function from $\mathbb{N}$ to C

definition *nth-hole* :: *nat* ⇒ *cube* **where**
nth-hole n = (*next-hole* ^^ *Suc* n) (*shift-down unit-cube*)

Each cube in the image of *local.nth-hole* is a hole

lemma *nth-hole-is-hole*: *is-hole* (*nth-hole* n)
⟨*proof*⟩

uminus is *uminus*, and *strict-mono* means strictly increasing (not strictly monotonous, as the name might suggest)

lemma *nth-hole-strict-decreasing*: *strict-mono* (*uminus* ∘ *width* ∘ *nth-hole*)
⟨*proof*⟩

local.nth-hole is injective

lemma *nth-hole-inj* : *inj nth-hole*
⟨*proof*⟩

The image (range) of *local.nth-hole* is contained in C

lemma *nth-hole-in*: *nth-hole* $n \in C$
⟨*proof*⟩

Same as previous lemma, but written with a quantifier

lemma *nth-hole-in-forall*: $\forall\, n$. *nth-hole* $n \in C$
⟨*proof*⟩

The assumption made in this context (*is-dissection* C) leads to *False* (since *local.nth-hole* generates an infinite subset of C)

theorem *false-if-dissection*: *False*
⟨*proof*⟩
end — Here we end the *is-dissection C* context

Main result (spelling out the definition of *is-dissection*).

theorem *dissection-does-not-exist*:
∄ *C*. (∀ *c* ∈ *C*. *is-valid c*)
∧ *disjoint* (*image to-set C*)
∧ ⋃(*image to-set C*) = *to-set unit-cube*
∧ *inj-on width C*
∧ *card C* ≥ *2*
∧ *finite C*
⟨*proof*⟩
end

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