

# CryptHOL

Andreas Lochbihler

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## Abstract

CryptHOL provides a framework for formalising cryptographic arguments in Isabelle/HOL. It shallowly embeds a probabilistic functional programming language in higher order logic. The language features monadic sequencing, recursion, random sampling, failures and failure handling, and black-box access to oracles. Oracles are probabilistic functions which maintain hidden state between different invocations. All operators are defined in the new semantic domain of generative probabilistic values, a codatatype. We derive proof rules for the operators and establish a connection with the theory of relational parametricity. Thus, the resulting proofs are trustworthy and comprehensible, and the framework is extensible and widely applicable.

The framework is used in the accompanying AFP entry “Game-based Cryptography in HOL”. There, we show-case our framework by formalizing different game-based proofs from the literature. This formalisation continues the work described in the author’s ESOP 2016 paper [1].

A tutorial in the AFP entry *Game-based cryptography* explains how CryptHOL can be used to formalize game-based cryptography proofs.

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# 1 Miscellaneous library additions

```
theory Misc-CryptHOL imports  
  Probabilistic-While.While-SPMF  
  HOL-Library.Rewrite  
  HOL-Library.Simps-Case-Conv  
  HOL-Library.Type-Length  
  HOL-Eisbach.Eisbach  
  Coinductive.TLList  
  Monad-Normalisation.Monad-Normalisation  
  Monomorphic-Monad.Monomorphic-Monad  
  Applicative-Lifting.Applicative  
begin  
  
hide-const (open) Henstock-Kurzweil-Integration.negligible  
  
declare eq-on-def [simp del]
```

## 1.1 HOL

```
lemma asm-rl-conv:  $(PROP\ P \implies PROP\ P) \equiv Trueprop\ True$   
<proof>
```

```
named-theorems if-distribs Distributivity theorems for If
```

```
lemma if-mono-cong:  $\llbracket b \implies x \leq x'; \neg b \implies y \leq y' \rrbracket \implies If\ b\ x\ y \leq If\ b\ x'\ y'$   
<proof>
```

```
lemma if-cong-then:  $\llbracket b = b'; b' \implies t = t'; e = e' \rrbracket \implies If\ b\ t\ e = If\ b'\ t'\ e'$   
<proof>
```

```
lemma if-False-eq:  $\llbracket b \implies False; e = e' \rrbracket \implies If\ b\ t\ e = e'$   
<proof>
```

```
lemma imp-OO-imp [simp]:  $(\longrightarrow)\ OO\ (\longrightarrow) = (\longrightarrow)$   
<proof>
```

```
lemma inj-on-fun-updD:  $\llbracket inj\text{-}on\ (f(x := y))\ A; x \notin A \rrbracket \implies inj\text{-}on\ f\ A$   
<proof>
```

```
lemma disjoint-notin1:  $\llbracket A \cap B = \{\}; x \in B \rrbracket \implies x \notin A$  <proof>
```

```
lemma Least-le-Least:  
  fixes  $x :: 'a :: wellorder$   
  assumes  $Q\ x$   
  and  $Q: \bigwedge x. Q\ x \implies \exists y \leq x. P\ y$   
  shows  $Least\ P \leq Least\ Q$   
  <proof>
```

```
lemma is-empty-image [simp]:  $Set.is\ empty\ (f\ ` A) = Set.is\ empty\ A$ 
```

$\langle \text{proof} \rangle$

## 1.2 Relations

**inductive** *Imagep* :: ( $'a \Rightarrow 'b \Rightarrow \text{bool}$ )  $\Rightarrow$  ( $'a \Rightarrow \text{bool}$ )  $\Rightarrow$   $'b \Rightarrow \text{bool}$   
**for**  $R\ P$   
**where** *ImagepI*:  $\llbracket P\ x; R\ x\ y \rrbracket \Longrightarrow \text{Imagep}\ R\ P\ y$

**lemma** *r-r-into-tranclp*:  $\llbracket r\ x\ y; r\ y\ z \rrbracket \Longrightarrow r^{\wedge}++\ x\ z$   
 $\langle \text{proof} \rangle$

**lemma** *transp-tranclp-id*:  
**assumes** *transp*  $R$   
**shows** *tranclp*  $R = R$   
 $\langle \text{proof} \rangle$

**lemma** *transp-inv-image*: *transp*  $r \Longrightarrow \text{transp}\ (\lambda x\ y. r\ (f\ x)\ (f\ y))$   
 $\langle \text{proof} \rangle$

**lemma** *Domainp-conversep*: *Domainp*  $R^{-1-1} = \text{Rangep}\ R$   
 $\langle \text{proof} \rangle$

**lemma** *bi-unique-rel-set-bij-betw*:  
**assumes** *unique*: *bi-unique*  $R$   
**and** *rel*: *rel-set*  $R\ A\ B$   
**shows**  $\exists f. \text{bij-betw}\ f\ A\ B \wedge (\forall x \in A. R\ x\ (f\ x))$   
 $\langle \text{proof} \rangle$

**definition** *restrict-relp* :: ( $'a \Rightarrow 'b \Rightarrow \text{bool}$ )  $\Rightarrow$  ( $'a \Rightarrow \text{bool}$ )  $\Rightarrow$  ( $'b \Rightarrow \text{bool}$ )  $\Rightarrow$   $'a \Rightarrow 'b \Rightarrow \text{bool}$   
 $(\langle - \mid (- \otimes -) \rangle [53, 54, 54] 53)$   
**where** *restrict-relp*  $R\ P\ Q = (\lambda x\ y. R\ x\ y \wedge P\ x \wedge Q\ y)$

**lemma** *restrict-relp-apply* [*simp*]:  $(R \mid P \otimes Q)\ x\ y \longleftrightarrow R\ x\ y \wedge P\ x \wedge Q\ y$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-relpI* [*intro?*]:  $\llbracket R\ x\ y; P\ x; Q\ y \rrbracket \Longrightarrow (R \mid P \otimes Q)\ x\ y$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-relpE* [*elim?*, *cases pred*]:  
**assumes**  $(R \mid P \otimes Q)\ x\ y$   
**obtains**  $(\text{restrict-relp})\ R\ x\ y\ P\ x\ Q\ y$   
 $\langle \text{proof} \rangle$

**lemma** *conversep-restrict-relp* [*simp*]:  $(R \mid P \otimes Q)^{-1-1} = R^{-1-1} \mid Q \otimes P$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-relp-restrict-relp* [*simp*]:  $R \mid P \otimes Q \mid P' \otimes Q' = R \mid \text{inf}\ P\ P' \otimes \text{inf}\ Q\ Q'$

$\langle proof \rangle$

**lemma** *restrict-relp-cong*:

$\llbracket P = P'; Q = Q'; \bigwedge x y. \llbracket P x; Q y \rrbracket \implies R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$   
 $\langle proof \rangle$

**lemma** *restrict-relp-cong-simp*:

$\llbracket P = P'; Q = Q'; \bigwedge x y. P x =_{simp} Q y =_{simp} R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$   
 $\langle proof \rangle$

**lemma** *restrict-relp-parametric* [transfer-rule]:

**includes** *lifting-syntax* **shows**

$((A ==> B ==> (=)) ==> (A ==> (=)) ==> (B ==> (=)) ==> A ==> B ==> (=))$  *restrict-relp restrict-relp*  
 $\langle proof \rangle$

**lemma** *restrict-relp-mono*:  $\llbracket R \leq R'; P \leq P'; Q \leq Q' \rrbracket \implies R \upharpoonright P \otimes Q \leq R' \upharpoonright P' \otimes Q'$   
 $\langle proof \rangle$

**lemma** *restrict-relp-mono'*:

$\llbracket (R \upharpoonright P \otimes Q) x y; \llbracket R x y; P x; Q y \rrbracket \implies R' x y \&\&\& P' x \&\&\& Q' y \rrbracket \implies (R' \upharpoonright P' \otimes Q') x y$   
 $\langle proof \rangle$

**lemma** *restrict-relp-DomainpD*:  $Domainp (R \upharpoonright P \otimes Q) x \implies Domainp R x \wedge P x$   
 $\langle proof \rangle$

**lemma** *restrict-relp-True*:  $R \upharpoonright (\lambda-. True) \otimes (\lambda-. True) = R$   
 $\langle proof \rangle$

**lemma** *restrict-relp-False1*:  $R \upharpoonright (\lambda-. False) \otimes Q = bot$   
 $\langle proof \rangle$

**lemma** *restrict-relp-False2*:  $R \upharpoonright P \otimes (\lambda-. False) = bot$   
 $\langle proof \rangle$

**definition** *rel-prod2* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \Rightarrow ('c \times 'b) \Rightarrow bool$   
**where** *rel-prod2*  $R a = (\lambda(c, b). R a b)$

**lemma** *rel-prod2-simps* [simp]:  $rel-prod2 R a (c, b) \longleftrightarrow R a b$   
 $\langle proof \rangle$

**lemma** *restrict-rel-prod*:

$rel-prod (R \upharpoonright I1 \otimes I2) (S \upharpoonright I1' \otimes I2') = rel-prod R S \upharpoonright pred-prod I1 I1' \otimes pred-prod I2 I2'$

$\langle \text{proof} \rangle$

**lemma** *restrict-rel-prod1*:

$\text{rel-prod } (R \upharpoonright I1 \otimes I2) S = \text{rel-prod } R S \upharpoonright \text{pred-prod } I1 (\lambda-. \text{True}) \otimes \text{pred-prod } I2 (\lambda-. \text{True})$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-rel-prod2*:

$\text{rel-prod } R (S \upharpoonright I1 \otimes I2) = \text{rel-prod } R S \upharpoonright \text{pred-prod } (\lambda-. \text{True}) I1 \otimes \text{pred-prod } (\lambda-. \text{True}) I2$   
 $\langle \text{proof} \rangle$

**consts** *relcompp-witness* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow 'a \times 'c \Rightarrow 'b$   
**specification** (*relcompp-witness*)

$\text{relcompp-witness1}: (A \text{ OO } B) (\text{fst } xy) (\text{snd } xy) \Longrightarrow A (\text{fst } xy) (\text{relcompp-witness } A B xy)$   
 $\text{relcompp-witness2}: (A \text{ OO } B) (\text{fst } xy) (\text{snd } xy) \Longrightarrow B (\text{relcompp-witness } A B xy) (\text{snd } xy)$   
 $\langle \text{proof} \rangle$

**lemmas** *relcompp-witness*[*of - - (x, y) for x y, simplified*] = *relcompp-witness1*  
*relcompp-witness2*

**hide-fact** (**open**) *relcompp-witness1* *relcompp-witness2*

**lemma** *relcompp-witness-eq* [*simp*]: *relcompp-witness* (=) (=) (*x*, *x*) = *x*  
 $\langle \text{proof} \rangle$

### 1.3 Pairs

**lemma** *split-apfst* [*simp*]: *case-prod* *h* (*apfst* *f* *xy*) = *case-prod* (*h*  $\circ$  *f*) *xy*  
 $\langle \text{proof} \rangle$

**definition** *corec-prod* ::  $('s \Rightarrow 'a) \Rightarrow ('s \Rightarrow 'b) \Rightarrow 's \Rightarrow 'a \times 'b$   
**where** *corec-prod* *f* *g* =  $(\lambda s. (f s, g s))$

**lemma** *corec-prod-apply*: *corec-prod* *f* *g* *s* = (*f* *s*, *g* *s*)  
 $\langle \text{proof} \rangle$

**lemma** *corec-prod-sel* [*simp*]:

**shows** *fst-corec-prod*: *fst* (*corec-prod* *f* *g* *s*) = *f* *s*  
**and** *snd-corec-prod*: *snd* (*corec-prod* *f* *g* *s*) = *g* *s*  
 $\langle \text{proof} \rangle$

**lemma** *apfst-corec-prod* [*simp*]: *apfst* *h* (*corec-prod* *f* *g* *s*) = *corec-prod* (*h*  $\circ$  *f*) *g* *s*  
 $\langle \text{proof} \rangle$

**lemma** *apsnd-corec-prod* [*simp*]: *apsnd* *h* (*corec-prod* *f* *g* *s*) = *corec-prod* *f* (*h*  $\circ$  *g*) *s*

$\langle proof \rangle$

**lemma** *map-corec-prod* [simp]:  $map\text{-}prod\ f\ g\ (corec\text{-}prod\ h\ k\ s) = corec\text{-}prod\ (f \circ h)\ (g \circ k)\ s$   
 $\langle proof \rangle$

**lemma** *split-corec-prod* [simp]:  $case\text{-}prod\ h\ (corec\text{-}prod\ f\ g\ s) = h\ (f\ s)\ (g\ s)$   
 $\langle proof \rangle$

**lemma** *Pair-fst-Unity*:  $(fst\ x,\ ()) = x$   
 $\langle proof \rangle$

**definition** *rprodl* ::  $('a \times 'b) \times 'c \Rightarrow 'a \times ('b \times 'c)$  **where**  $rprodl = (\lambda((a, b), c). (a, (b, c)))$

**lemma** *rprodl-simps* [simp]:  $rprodl\ ((a, b), c) = (a, (b, c))$   
 $\langle proof \rangle$

**lemma** *rprodl-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**  
 $(rel\text{-}prod\ (rel\text{-}prod\ A\ B)\ C ==> rel\text{-}prod\ A\ (rel\text{-}prod\ B\ C))\ rprodl\ rprodl$   
 $\langle proof \rangle$

**definition** *lprodr* ::  $'a \times ('b \times 'c) \Rightarrow ('a \times 'b) \times 'c$  **where**  $lprodr = (\lambda(a, b, c). ((a, b), c))$

**lemma** *lprodr-simps* [simp]:  $lprodr\ (a, b, c) = ((a, b), c)$   
 $\langle proof \rangle$

**lemma** *lprodr-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**  
 $(rel\text{-}prod\ A\ (rel\text{-}prod\ B\ C) ==> rel\text{-}prod\ (rel\text{-}prod\ A\ B)\ C)\ lprodr\ lprodr$   
 $\langle proof \rangle$

**lemma** *lprodr-inverse* [simp]:  $rprodl\ (lprodr\ x) = x$   
 $\langle proof \rangle$

**lemma** *rprodl-inverse* [simp]:  $lprodr\ (rprodl\ x) = x$   
 $\langle proof \rangle$

**lemma** *pred-prod-mono'* [mono]:  
 $pred\text{-}prod\ A\ B\ xy \longrightarrow pred\text{-}prod\ A'\ B'\ xy$   
**if**  $\bigwedge x. A\ x \longrightarrow A'\ x \ \bigwedge y. B\ y \longrightarrow B'\ y$   
 $\langle proof \rangle$

**fun** *rel-witness-prod* ::  $('a \times 'b) \times ('c \times 'd) \Rightarrow (('a \times 'c) \times ('b \times 'd))$  **where**  
 $rel\text{-}witness\text{-}prod\ ((a, b), (c, d)) = ((a, c), (b, d))$

## 1.4 Sums

**lemma** *isLE*:



**assumes** *isl* *x*  
**obtains** *l* **where**  $x = \text{Inl } l$   
 $\langle \text{proof} \rangle$

**lemma** *Inl-in-Plus* [*simp*]:  $\text{Inl } x \in A <+> B \longleftrightarrow x \in A$   
 $\langle \text{proof} \rangle$

**lemma** *Inr-in-Plus* [*simp*]:  $\text{Inr } x \in A <+> B \longleftrightarrow x \in B$   
 $\langle \text{proof} \rangle$

**lemma** *Inl-eq-map-sum-iff*:  $\text{Inl } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inl } z \wedge x = f \ z)$   
 $\langle \text{proof} \rangle$

**lemma** *Inr-eq-map-sum-iff*:  $\text{Inr } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inr } z \wedge x = g \ z)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-map-sum* [*simp*]:  
 $\llbracket \text{inj-on } f \ A; \text{inj-on } g \ B \rrbracket \implies \text{inj-on } (\text{map-sum } f \ g) \ (A <+> B)$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-map-sum*:  
 $\text{inv-into } (A <+> B) (\text{map-sum } f \ g) \ x = \text{map-sum } (\text{inv-into } A \ f) (\text{inv-into } B \ g) \ x$   
**if**  $x \in f \ 'A <+> g \ 'B$  *inj-on* *f* *A* *inj-on* *g* *B*  
 $\langle \text{proof} \rangle$

**fun** *rsuml* ::  $('a + 'b) + 'c \Rightarrow 'a + ('b + 'c)$  **where**  
 $\text{rsuml } (\text{Inl } (\text{Inl } a)) = \text{Inl } a$   
 $\mid \text{rsuml } (\text{Inl } (\text{Inr } b)) = \text{Inr } (\text{Inl } b)$   
 $\mid \text{rsuml } (\text{Inr } c) = \text{Inr } (\text{Inr } c)$

**fun** *lsumr* ::  $'a + ('b + 'c) \Rightarrow ('a + 'b) + 'c$  **where**  
 $\text{lsumr } (\text{Inl } a) = \text{Inl } (\text{Inl } a)$   
 $\mid \text{lsumr } (\text{Inr } (\text{Inl } b)) = \text{Inl } (\text{Inr } b)$   
 $\mid \text{lsumr } (\text{Inr } (\text{Inr } c)) = \text{Inr } c$

**lemma** *rsuml-lsumr* [*simp*]:  $\text{rsuml } (\text{lsumr } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *lsumr-rsuml* [*simp*]:  $\text{lsumr } (\text{rsuml } x) = x$   
 $\langle \text{proof} \rangle$

## 1.5 Option

**declare** *is-none-bind* [*simp*]

**lemma** *case-option-collapse*:  $\text{case-option } x \ (\lambda -. x) \ y = x$   
 $\langle \text{proof} \rangle$

**lemma** *indicator-single-Some*:  $\text{indicator } \{\text{Some } x\} (\text{Some } y) = \text{indicator } \{x\} y$   
 $\langle \text{proof} \rangle$

### 1.5.1 Predicate and relator

**lemma** *option-pred-mono-strong*:

$\llbracket \text{pred-option } P x; \bigwedge a. \llbracket a \in \text{set-option } x; P a \rrbracket \implies P' a \rrbracket \implies \text{pred-option } P' x$   
 $\langle \text{proof} \rangle$

**lemma** *option-pred-map [simp]*:  $\text{pred-option } P (\text{map-option } f x) = \text{pred-option } (P \circ f) x$   
 $\langle \text{proof} \rangle$

**lemma** *option-pred-o-map [simp]*:  $\text{pred-option } P \circ \text{map-option } f = \text{pred-option } (P \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *option-pred-bind [simp]*:  $\text{pred-option } P (\text{Option.bind } x f) = \text{pred-option } (\text{pred-option } P \circ f) x$   
 $\langle \text{proof} \rangle$

**lemma** *pred-option-conj [simp]*:

$\text{pred-option } (\lambda x. P x \wedge Q x) = (\lambda x. \text{pred-option } P x \wedge \text{pred-option } Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *pred-option-top [simp]*:

$\text{pred-option } (\lambda -. \text{True}) = (\lambda -. \text{True})$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-restrict-relpI [intro?]*:

$\llbracket \text{rel-option } R x y; \text{pred-option } P x; \text{pred-option } Q y \rrbracket \implies \text{rel-option } (R \upharpoonright P \otimes Q) x y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-restrict-relpE [elim?]*:

**assumes**  $\text{rel-option } (R \upharpoonright P \otimes Q) x y$   
**obtains**  $\text{rel-option } R x y \text{ pred-option } P x \text{ pred-option } Q y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-restrict-relp-iff*:

$\text{rel-option } (R \upharpoonright P \otimes Q) x y \iff \text{rel-option } R x y \wedge \text{pred-option } P x \wedge \text{pred-option } Q y$   
 $\langle \text{proof} \rangle$

**lemma** *option-rel-map-restrict-relp*:

**shows** *option-rel-map-restrict-relp1*:

$\text{rel-option } (R \upharpoonright P \otimes Q) (\text{map-option } f x) = \text{rel-option } (R \circ f \upharpoonright P \circ f \otimes Q) x$

**and** *option-rel-map-restrict-relp2*:

$\text{rel-option } (R \upharpoonright P \otimes Q) x (\text{map-option } g y) = \text{rel-option } ((\lambda x. R x \circ g) \upharpoonright P \otimes Q)$

$\circ g) x y$   
 $\langle \text{proof} \rangle$

**fun** *rel-witness-option* :: 'a option  $\times$  'b option  $\Rightarrow$  ('a  $\times$  'b) option **where**  
   *rel-witness-option* (Some x, Some y) = Some (x, y)  
 | *rel-witness-option* (None, None) = None  
 | *rel-witness-option* - = None — Just to make the definition complete

**lemma** *rel-witness-option*:  
**shows** *set-rel-witness-option*:  $\llbracket \text{rel-option } A \ x \ y; (a, b) \in \text{set-option } (\text{rel-witness-option } (x, y)) \rrbracket \Longrightarrow A \ a \ b$   
**and** *map1-rel-witness-option*:  $\text{rel-option } A \ x \ y \Longrightarrow \text{map-option fst } (\text{rel-witness-option } (x, y)) = x$   
**and** *map2-rel-witness-option*:  $\text{rel-option } A \ x \ y \Longrightarrow \text{map-option snd } (\text{rel-witness-option } (x, y)) = y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-witness-option1*:  
**assumes** *rel-option*  $A \ x \ y$   
**shows** *rel-option*  $(\lambda a \ (a', b). a = a' \wedge A \ a' \ b) \ x \ (\text{rel-witness-option } (x, y))$   
 $\langle \text{proof} \rangle$

**lemma** *rel-witness-option2*:  
**assumes** *rel-option*  $A \ x \ y$   
**shows** *rel-option*  $(\lambda(a, b'). b. b = b' \wedge A \ a \ b') \ (\text{rel-witness-option } (x, y)) \ y$   
 $\langle \text{proof} \rangle$

### 1.5.2 Orders on option

**abbreviation** *le-option* :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  bool  
**where** *le-option*  $\equiv$  *ord-option* (=)

**lemma** *le-option-bind-mono*:  
 $\llbracket \text{le-option } x \ y; \bigwedge a. a \in \text{set-option } x \Longrightarrow \text{le-option } (f \ a) \ (g \ a) \rrbracket$   
 $\Longrightarrow \text{le-option } (\text{Option.bind } x \ f) \ (\text{Option.bind } y \ g)$   
 $\langle \text{proof} \rangle$

**lemma** *le-option-refl* [*simp*]: *le-option*  $x \ x$   
 $\langle \text{proof} \rangle$

**lemma** *le-option-conv-option-ord*: *le-option* = *option-ord*  
 $\langle \text{proof} \rangle$

**definition** *pcr-Some* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  'b option  $\Rightarrow$  bool  
**where** *pcr-Some*  $R \ x \ y \longleftrightarrow (\exists z. y = \text{Some } z \wedge R \ x \ z)$

**lemma** *pcr-Some-simps* [*simp*]: *pcr-Some*  $R \ x \ (\text{Some } y) \longleftrightarrow R \ x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *pcr-SomeE* [*cases pred*]:  
 assumes *pcr-Some R x y*  
 obtains (*pcr-Some*) *z* **where** *y = Some z R x z*  
 ⟨*proof*⟩

### 1.5.3 Filter for option

**fun** *filter-option* :: ('a ⇒ bool) ⇒ 'a option ⇒ 'a option  
**where**  
*filter-option P None = None*  
 | *filter-option P (Some x) = (if P x then Some x else None)*

**lemma** *set-filter-option* [*simp*]: *set-option (filter-option P x) = {y ∈ set-option x. P y}*  
 ⟨*proof*⟩

**lemma** *filter-map-option*: *filter-option P (map-option f x) = map-option f (filter-option (P ∘ f) x)*  
 ⟨*proof*⟩

**lemma** *is-none-filter-option* [*simp*]: *Option.is-none (filter-option P x) ⟷ Option.is-none x ∨ ¬ P (the x)*  
 ⟨*proof*⟩

**lemma** *filter-option-eq-Some-iff* [*simp*]: *filter-option P x = Some y ⟷ x = Some y ∧ P y*  
 ⟨*proof*⟩

**lemma** *Some-eq-filter-option-iff* [*simp*]: *Some y = filter-option P x ⟷ x = Some y ∧ P y*  
 ⟨*proof*⟩

**lemma** *filter-conv-bind-option*: *filter-option P x = Option.bind x (λy. if P y then Some y else None)*  
 ⟨*proof*⟩

### 1.5.4 Assert for option

**primrec** *assert-option* :: bool ⇒ unit option **where**  
*assert-option True = Some ()*  
 | *assert-option False = None*

**lemma** *set-assert-option-conv*: *set-option (assert-option b) = (if b then {()} else {})*  
 ⟨*proof*⟩

**lemma** *in-set-assert-option* [*simp*]: *x ∈ set-option (assert-option b) ⟷ b*  
 ⟨*proof*⟩

### 1.5.5 Join on options

**definition** *join-option* :: 'a option option  $\Rightarrow$  'a option  
**where** *join-option*  $x = (\text{case } x \text{ of } \text{Some } y \Rightarrow y \mid \text{None} \Rightarrow \text{None})$

**simps-of-case** *join-simps* [*simp*, *code*]: *join-option-def*

**lemma** *set-join-option* [*simp*]: *set-option* (*join-option*  $x$ ) =  $\bigcup$  (*set-option* ' *set-option*  $x$ )  
*<proof>*

**lemma** *in-set-join-option*:  $x \in \text{set-option } (\text{join-option } (\text{Some } (\text{Some } x)))$   
*<proof>*

**lemma** *map-join-option*: *map-option*  $f$  (*join-option*  $x$ ) = *join-option* (*map-option* (*map-option*  $f$ )  $x$ )  
*<proof>*

**lemma** *bind-conv-join-option*: *Option.bind*  $x f = \text{join-option } (\text{map-option } f x)$   
*<proof>*

**lemma** *join-conv-bind-option*: *join-option*  $x = \text{Option.bind } x \text{ id}$   
*<proof>*

**lemma** *join-option-parametric* [*transfer-rule*]:  
  **includes** *lifting-syntax* **shows**  
  (*rel-option* (*rel-option*  $R$ )  $==>$  *rel-option*  $R$ ) *join-option join-option*  
*<proof>*

**lemma** *join-option-eq-Some* [*simp*]: *join-option*  $x = \text{Some } y \longleftrightarrow x = \text{Some } (\text{Some } y)$   
*<proof>*

**lemma** *Some-eq-join-option* [*simp*]: *Some*  $y = \text{join-option } x \longleftrightarrow x = \text{Some } (\text{Some } y)$   
*<proof>*

**lemma** *join-option-eq-None*: *join-option*  $x = \text{None} \longleftrightarrow x = \text{None} \vee x = \text{Some } \text{None}$   
*<proof>*

**lemma** *None-eq-join-option*: *None* = *join-option*  $x \longleftrightarrow x = \text{None} \vee x = \text{Some } \text{None}$   
*<proof>*

### 1.5.6 Zip on options

**function** *zip-option* :: 'a option  $\Rightarrow$  'b option  $\Rightarrow$  ('a  $\times$  'b) option  
**where**  
  *zip-option* (*Some*  $x$ ) (*Some*  $y$ ) = *Some* ( $x, y$ )

| *zip-option* - *None* = *None*

| *zip-option* *None* - = *None*

⟨*proof*⟩

**termination** ⟨*proof*⟩

**lemma** *zip-option-eq-Some-iff* [*iff*]:

*zip-option* *x y* = *Some* (*a, b*)  $\longleftrightarrow$  *x* = *Some* *a*  $\wedge$  *y* = *Some* *b*

⟨*proof*⟩

**lemma** *set-zip-option* [*simp*]:

*set-option* (*zip-option* *x y*) = *set-option* *x*  $\times$  *set-option* *y*

⟨*proof*⟩

**lemma** *zip-map-option1*: *zip-option* (*map-option* *f x*) *y* = *map-option* (*apfst* *f*)

(*zip-option* *x y*)

⟨*proof*⟩

**lemma** *zip-map-option2*: *zip-option* *x* (*map-option* *g y*) = *map-option* (*apsnd* *g*)

(*zip-option* *x y*)

⟨*proof*⟩

**lemma** *map-zip-option*:

*map-option* (*map-prod* *f g*) (*zip-option* *x y*) = *zip-option* (*map-option* *f x*) (*map-option* *g y*)

⟨*proof*⟩

**lemma** *zip-conv-bind-option*:

*zip-option* *x y* = *Option.bind* *x* ( $\lambda x. \text{Option.bind } y (\lambda y. \text{Some } (x, y))$ )

⟨*proof*⟩

**lemma** *zip-option-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

(*rel-option* *R*  $\implies$  *rel-option* *Q*  $\implies$  *rel-option* (*rel-prod* *R Q*)) *zip-option*

*zip-option*

⟨*proof*⟩

**lemma** *rel-option-eqI* [*simp*]: *rel-option* (=) *x x*

⟨*proof*⟩

### 1.5.7 Binary supremum on 'a option

**primrec** *sup-option* :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  'a option

**where**

*sup-option* *x None* = *x*

| *sup-option* *x* (*Some* *y*) = (*Some* *y*)

**lemma** *sup-option-idem* [*simp*]: *sup-option* *x x* = *x*

⟨*proof*⟩

**lemma** *sup-option-assoc*: *sup-option* (*sup-option* *x y*) *z* = *sup-option* *x* (*sup-option* *y z*)  
 ⟨*proof*⟩

**lemma** *sup-option-left-idem*: *sup-option* *x* (*sup-option* *x y*) = *sup-option* *x y*  
 ⟨*proof*⟩

**lemmas** *sup-option-ai* = *sup-option-assoc* *sup-option-left-idem*

**lemma** *sup-option-None* [*simp*]: *sup-option* *None y* = *y*  
 ⟨*proof*⟩

### 1.5.8 Restriction on 'a option

**primrec** (*transfer*) *enforce-option* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a option  $\Rightarrow$  'a option **where**  
*enforce-option* *P* (*Some x*) = (if *P x* then *Some x* else *None*)  
 | *enforce-option* *P* *None* = *None*

**lemma** *set-enforce-option* [*simp*]: *set-option* (*enforce-option* *P x*) = {*a*  $\in$  *set-option* *x*. *P a*}  
 ⟨*proof*⟩

**lemma** *enforce-map-option*: *enforce-option* *P* (*map-option* *f x*) = *map-option* *f* (*enforce-option* (*P*  $\circ$  *f*) *x*)  
 ⟨*proof*⟩

**lemma** *enforce-bind-option* [*simp*]:  
*enforce-option* *P* (*Option.bind* *x f*) = *Option.bind* *x* (*enforce-option* *P*  $\circ$  *f*)  
 ⟨*proof*⟩

**lemma** *enforce-option-alt-def*:  
*enforce-option* *P x* = *Option.bind* *x* ( $\lambda a.$  *Option.bind* (*assert-option* (*P a*)) ( $\lambda - ::$  *unit*. *Some a*))  
 ⟨*proof*⟩

**lemma** *enforce-option-eq-None-iff* [*simp*]:  
*enforce-option* *P x* = *None*  $\longleftrightarrow$  ( $\forall a.$  *x* = *Some a*  $\longrightarrow$   $\neg$  *P a*)  
 ⟨*proof*⟩

**lemma** *enforce-option-eq-Some-iff* [*simp*]:  
*enforce-option* *P x* = *Some y*  $\longleftrightarrow$  *x* = *Some y*  $\wedge$  *P y*  
 ⟨*proof*⟩

**lemma** *Some-eq-enforce-option-iff* [*simp*]:  
*Some y* = *enforce-option* *P x*  $\longleftrightarrow$  *x* = *Some y*  $\wedge$  *P y*  
 ⟨*proof*⟩

**lemma** *enforce-option-top* [*simp*]: *enforce-option*  $\top$  = *id*  
 ⟨*proof*⟩

**lemma** *enforce-option-K-True* [simp]: *enforce-option* ( $\lambda\cdot$ . *True*)  $x = x$   
 ⟨proof⟩

**lemma** *enforce-option-bot* [simp]: *enforce-option*  $\perp = (\lambda\cdot$ . *None*)  
 ⟨proof⟩

**lemma** *enforce-option-K-False* [simp]: *enforce-option* ( $\lambda\cdot$ . *False*)  $x = \text{None}$   
 ⟨proof⟩

**lemma** *enforce-pred-id-option*: *pred-option*  $P\ x \implies \text{enforce-option } P\ x = x$   
 ⟨proof⟩

### 1.5.9 Maps

**lemma** *map-add-apply*:  $(m1\ ++\ m2)\ x = \text{sup-option } (m1\ x)\ (m2\ x)$   
 ⟨proof⟩

**lemma** *map-le-map-upd2*:  $\llbracket f \subseteq_m g; \bigwedge y'. f\ x = \text{Some } y' \implies y' = y \rrbracket \implies f \subseteq_m g(x \mapsto y)$   
 ⟨proof⟩

**lemma** *eq-None-iff-not-dom*:  $f\ x = \text{None} \longleftrightarrow x \notin \text{dom } f$   
 ⟨proof⟩

**lemma** *card-ran-le-dom*:  $\text{finite } (\text{dom } m) \implies \text{card } (\text{ran } m) \leq \text{card } (\text{dom } m)$   
 ⟨proof⟩

**lemma** *dom-subset-ran-iff*:  
 assumes  $\text{finite } (\text{ran } m)$   
 shows  $\text{dom } m \subseteq \text{ran } m \longleftrightarrow \text{dom } m = \text{ran } m$   
 ⟨proof⟩

We need a polymorphic constant for the empty map such that *transfer-prover* can use a custom transfer rule for *Map.empty*

**definition** *Map-empty* **where** [simp]: *Map-empty*  $\equiv \text{Map.empty}$

**lemma** *map-le-SomeID*:  $\llbracket m \subseteq_m m'; m\ x = \text{Some } y \rrbracket \implies m'\ x = \text{Some } y$   
 ⟨proof⟩

**lemma** *map-le-fun-upd2*:  $\llbracket f \subseteq_m g; x \notin \text{dom } f \rrbracket \implies f \subseteq_m g(x := y)$   
 ⟨proof⟩

**lemma** *map-eqI*:  $\forall x \in \text{dom } m \cup \text{dom } m'. m\ x = m'\ x \implies m = m'$   
 ⟨proof⟩

### 1.6 Countable

**lemma** *countable-lfp*:



**assumes** *step*:  $\bigwedge Y. \text{countable } Y \implies \text{countable } (F \ Y)$   
**and** *cont*: *Order-Continuity.sup-continuous*  $F$   
**shows** *countable* ( $\text{lfp } F$ )  
 $\langle \text{proof} \rangle$

**lemma** *countable-lfp-apply*:  
**assumes** *step*:  $\bigwedge Y \ x. (\bigwedge x. \text{countable } (Y \ x)) \implies \text{countable } (F \ Y \ x)$   
**and** *cont*: *Order-Continuity.sup-continuous*  $F$   
**shows** *countable* ( $\text{lfp } F \ x$ )  
 $\langle \text{proof} \rangle$

## 1.7 Extended naturals

**lemma** *idiff-enat-eq-enat-iff*:  $x - \text{enat } n = \text{enat } m \longleftrightarrow (\exists k. x = \text{enat } k \wedge k - n = m)$   
 $\langle \text{proof} \rangle$

**lemma** *eSuc-SUP*:  $A \neq \{\} \implies e\text{Suc } (\bigsqcup (f \ ' \ A)) = (\bigsqcup_{x \in A}. e\text{Suc } (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-of-enat-1*: *ereal-of-enat*  $1 = \text{ereal } 1$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-real-conv-ennreal-of-enat*: *ennreal* (*real*  $n$ ) = *ennreal-of-enat*  $n$   
 $\langle \text{proof} \rangle$

**lemma** *enat-add-sub-same2*:  $b \neq \infty \implies a + b - b = (a :: \text{enat})$   
 $\langle \text{proof} \rangle$

**lemma** *enat-sub-add*:  $y \leq x \implies x - y + z = x + z - (y :: \text{enat})$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-enat-eq-0-iff* [*simp*]:  $\bigsqcup (f \ ' \ A) = (0 :: \text{enat}) \longleftrightarrow (\forall x \in A. f \ x = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-enat-add-left*:  
**assumes**  $I \neq \{\}$   
**shows**  $(\text{SUP } i \in I. f \ i + c :: \text{enat}) = (\text{SUP } i \in I. f \ i) + c$  (**is** *?lhs* = *?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *SUP-enat-add-right*:  
**assumes**  $I \neq \{\}$   
**shows**  $(\text{SUP } i \in I. c + f \ i :: \text{enat}) = c + (\text{SUP } i \in I. f \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *iadd-SUP-le-iff*:  $n + (\text{SUP } x \in A. f \ x :: \text{enat}) \leq y \longleftrightarrow (\text{if } A = \{\} \text{ then } n \leq y \text{ else } \forall x \in A. n + f \ x \leq y)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-iadd-le-iff*:  $(\text{SUP } x \in A. f\ x :: \text{enat}) + n \leq y \longleftrightarrow (\text{if } A = \{\} \text{ then } n \leq y \text{ else } \forall x \in A. f\ x + n \leq y)$   
 <proof>

## 1.8 Extended non-negative reals

**lemma** *(in finite-measure) nn-integral-indicator-neq-infty*:  
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A\ (f\ x)\ \partial M) \neq \infty$   
 <proof>

**lemma** *(in finite-measure) nn-integral-indicator-neq-top*:  
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A\ (f\ x)\ \partial M) \neq \top$   
 <proof>

**lemma** *nn-integral-indicator-map*:  
**assumes** *[measurable]*:  $f \in \text{measurable } M\ N\ \{x \in \text{space } N. P\ x\} \in \text{sets } N$   
**shows**  $(\int^+ x. \text{indicator } \{x \in \text{space } N. P\ x\}\ (f\ x)\ \partial M) = \text{emeasure } M\ \{x \in \text{space } M. P\ (f\ x)\}$   
 <proof>

## 1.9 BNF material

**lemma** *transp-rel-fun*:  $\llbracket \text{is-equality } Q; \text{transp } R \rrbracket \implies \text{transp } (\text{rel-fun } Q\ R)$   
 <proof>

**lemma** *rel-fun-inf*:  $\text{inf } (\text{rel-fun } Q\ R)\ (\text{rel-fun } Q\ R') = \text{rel-fun } Q\ (\text{inf } R\ R')$   
 <proof>

**lemma** *reflp-fun1*: **includes** *lifting-syntax* **shows**  $\llbracket \text{is-equality } A; \text{reflp } B \rrbracket \implies \text{reflp } (A == => B)$   
 <proof>

**lemma** *type-copy-id'*: *type-definition*  $(\lambda x. x)\ (\lambda x. x)\ \text{UNIV}$   
 <proof>

**lemma** *type-copy-id*: *type-definition*  $\text{id}\ \text{id}\ \text{UNIV}$   
 <proof>

**lemma** *GrpE [cases pred]*:  
**assumes** *BNF-Def.Grp*  $A\ f\ x\ y$   
**obtains**  $(\text{Grp})\ y = f\ x\ x \in A$   
 <proof>

**lemma** *rel-fun-Grp-copy-Abs*:  
**includes** *lifting-syntax*  
**assumes** *type-definition*  $\text{Rep}\ \text{Abs}\ A$   
**shows**  $\text{rel-fun } (\text{BNF-Def.Grp } A\ \text{Abs})\ (\text{BNF-Def.Grp } B\ g) = \text{BNF-Def.Grp } \{f. f - ' A \subseteq B\}\ (\text{Rep } \dashrightarrow g)$   
 <proof>

**lemma** *rel-set-Grp*:

*rel-set* (*BNF-Def.Grp* *A f*) = *BNF-Def.Grp* {*B. B* ⊆ *A*} (*image f*)  
 ⟨*proof*⟩

**lemma** *rel-set-comp-Grp*:

*rel-set* *R* = (*BNF-Def.Grp* {*x. x* ⊆ {(*x, y*). *R x y*} } ((*fst*))<sup>-1-1</sup> *OO* *BNF-Def.Grp* {*x. x* ⊆ {(*x, y*). *R x y*} } ((*snd*))  
 ⟨*proof*⟩

**lemma** *Domainp-Grp*: *Domainp* (*BNF-Def.Grp* *A f*) = (λ*x. x* ∈ *A*)

⟨*proof*⟩

**lemma** *pred-prod-conj* [*simp*]:

**shows** *pred-prod-conj1*:  $\bigwedge P Q R. \text{pred-prod } (\lambda x. P x \wedge Q x) R = (\lambda x. \text{pred-prod } P R x \wedge \text{pred-prod } Q R x)$

**and** *pred-prod-conj2*:  $\bigwedge P Q R. \text{pred-prod } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-prod } P Q x \wedge \text{pred-prod } P R x)$

⟨*proof*⟩

**lemma** *pred-sum-conj* [*simp*]:

**shows** *pred-sum-conj1*:  $\bigwedge P Q R. \text{pred-sum } (\lambda x. P x \wedge Q x) R = (\lambda x. \text{pred-sum } P R x \wedge \text{pred-sum } Q R x)$

**and** *pred-sum-conj2*:  $\bigwedge P Q R. \text{pred-sum } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-sum } P Q x \wedge \text{pred-sum } P R x)$

⟨*proof*⟩

**lemma** *pred-list-conj* [*simp*]: *list-all* (λ*x. P x* ∧ *Q x*) = (λ*x. list-all* *P x* ∧ *list-all* *Q x*)

⟨*proof*⟩

**lemma** *pred-prod-top* [*simp*]:

*pred-prod* (λ-. *True*) (λ-. *True*) = (λ-. *True*)

⟨*proof*⟩

**lemma** *rel-fun-conversep*: **includes** *lifting-syntax* **shows**

(*A* <sup>^</sup>--1 ==> *B* <sup>^</sup>--1) = (*A* ==> *B*) <sup>^</sup>--1

⟨*proof*⟩

**lemma** *left-unique-Grp* [*iff*]:

*left-unique* (*BNF-Def.Grp* *A f*) ↔ *inj-on* *f* *A*

⟨*proof*⟩

**lemma** *right-unique-Grp* [*simp, intro!*]: *right-unique* (*BNF-Def.Grp* *A f*)

⟨*proof*⟩

**lemma** *bi-unique-Grp* [*iff*]:

*bi-unique* (*BNF-Def.Grp* *A f*) ↔ *inj-on* *f* *A*

⟨*proof*⟩

**lemma** *left-total-Grp* [iff]:  
 $\text{left-total } (\text{BNF-Def.Grp } A \ f) \longleftrightarrow A = \text{UNIV}$   
 ⟨proof⟩

**lemma** *right-total-Grp* [iff]:  
 $\text{right-total } (\text{BNF-Def.Grp } A \ f) \longleftrightarrow f \text{ ‘ } A = \text{UNIV}$   
 ⟨proof⟩

**lemma** *bi-total-Grp* [iff]:  
 $\text{bi-total } (\text{BNF-Def.Grp } A \ f) \longleftrightarrow A = \text{UNIV} \wedge \text{surj } f$   
 ⟨proof⟩

**lemma** *left-unique-vimage2p* [simp]:  
 $\llbracket \text{left-unique } P; \text{inj } f \rrbracket \Longrightarrow \text{left-unique } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *right-unique-vimage2p* [simp]:  
 $\llbracket \text{right-unique } P; \text{inj } g \rrbracket \Longrightarrow \text{right-unique } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *bi-unique-vimage2p* [simp]:  
 $\llbracket \text{bi-unique } P; \text{inj } f; \text{inj } g \rrbracket \Longrightarrow \text{bi-unique } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *left-total-vimage2p* [simp]:  
 $\llbracket \text{left-total } P; \text{surj } g \rrbracket \Longrightarrow \text{left-total } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *right-total-vimage2p* [simp]:  
 $\llbracket \text{right-total } P; \text{surj } f \rrbracket \Longrightarrow \text{right-total } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *bi-total-vimage2p* [simp]:  
 $\llbracket \text{bi-total } P; \text{surj } f; \text{surj } g \rrbracket \Longrightarrow \text{bi-total } (\text{BNF-Def.vimage2p } f \ g \ P)$   
 ⟨proof⟩

**lemma** *vimage2p-eq* [simp]:  
 $\text{inj } f \Longrightarrow \text{BNF-Def.vimage2p } f \ f \ (=) = (=)$   
 ⟨proof⟩

**lemma** *vimage2p-conversep*:  $\text{BNF-Def.vimage2p } f \ g \ R^{\hat{\ } - - 1} = (\text{BNF-Def.vimage2p } g \ f \ R)^{\hat{\ } - - 1}$   
 ⟨proof⟩

**lemma** *rel-fun-reft*:  $\llbracket A \leq (=); (=) \leq B \rrbracket \Longrightarrow (=) \leq \text{rel-fun } A \ B$   
 ⟨proof⟩

**lemma** *rel-fun-mono-strong*:  
 $\llbracket \text{rel-fun } A \ B \ f \ g; A' \leq A; \bigwedge x \ y. \llbracket x \in f \text{ ‘ } \{x. \text{Domainp } A' \ x\}; y \in g \text{ ‘ } \{x. \text{Rangep}$

$A' x\}; B x y \parallel \implies B' x y \parallel \implies \text{rel-fun } A' B' f g$   
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-refl-strong*:  
**assumes**  $A \leq (=) \bigwedge x. x \in f^{-1} \{x. \text{Domainp } A x\} \implies B x x$   
**shows**  $\text{rel-fun } A B f f$   
 $\langle \text{proof} \rangle$

**lemma** *Grp-iff*:  $\text{BNF-Def.Grp } B g x y \longleftrightarrow y = g x \wedge x \in B \langle \text{proof} \rangle$

**lemma** *Rangep-Grp*:  $\text{Rangep } (\text{BNF-Def.Grp } A f) = (\lambda x. x \in f^{-1} A)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-Grp*:  
 $\text{rel-fun } (\text{BNF-Def.Grp } \text{UNIV } h)^{-1-1} (\text{BNF-Def.Grp } A g) = \text{BNF-Def.Grp } \{f. f$   
 $^{-1} \text{range } h \subseteq A\} (\text{map-fun } h g)$   
 $\langle \text{proof} \rangle$

## 1.10 Transfer and lifting material

**context includes** *lifting-syntax* **begin**

**lemma** *monotone-parametric* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-total*  $A$   
**shows**  $((A \implies A \implies (=)) \implies (B \implies B \implies (=)) \implies (A \implies B) \implies (=))$  *monotone monotone*  
 $\langle \text{proof} \rangle$

**lemma** *fun-ord-parametric* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-total*  $C$   
**shows**  $((A \implies B \implies (=)) \implies (C \implies A) \implies (C \implies B) \implies (=))$  *fun-ord fun-ord*  
 $\langle \text{proof} \rangle$

**lemma** *Plus-parametric* [*transfer-rule*]:  
 $(\text{rel-set } A \implies \text{rel-set } B \implies \text{rel-set } (\text{rel-sum } A B)) (<+>) (<+>)$   
 $\langle \text{proof} \rangle$

**lemma** *pred-fun-parametric* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-total*  $A$   
**shows**  $((A \implies (=)) \implies (B \implies (=)) \implies (A \implies B) \implies (=))$  *pred-fun pred-fun*  
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-eq-OO*:  $((=) \implies A) \text{ OO } ((=) \implies B) = ((=) \implies A \text{ OO } B)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *Quotient-set-rel-eq*:

**includes** *lifting-syntax*

**assumes** *Quotient R Abs Rep T*

**shows**  $(\text{rel-set } T \implies \text{rel-set } T \implies (=)) (\text{rel-set } R) (=)$

*<proof>*

**lemma** *Domainp-eq*:  $\text{Domainp } (=) = (\lambda\cdot. \text{True})$

*<proof>*

**lemma** *rel-fun-eq-onpI*:  $\text{eq-onp } (\text{pred-fun } P \ Q) \ f \ g \implies \text{rel-fun } (\text{eq-onp } P) (\text{eq-onp } Q) \ f \ g$

*<proof>*

**lemma** *bi-unique-eq-onp*:  $\text{bi-unique } (\text{eq-onp } P)$

*<proof>*

**lemma** *rel-fun-eq-conversep*: **includes** *lifting-syntax* **shows**  $(A^{-1-1} \implies (=)) = (A \implies (=))^{-1-1}$

*<proof>*

**lemma** *rel-fun-comp*:

$\bigwedge f \ g \ h. \text{rel-fun } A \ B \ (f \circ g) \ h = \text{rel-fun } A \ (\lambda x. B \ (f \ x)) \ g \ h$

$\bigwedge f \ g \ h. \text{rel-fun } A \ B \ f \ (g \circ h) = \text{rel-fun } A \ (\lambda x \ y. B \ x \ (g \ y)) \ f \ h$

*<proof>*

**lemma** *rel-fun-map-fun1*:  $\text{rel-fun } (\text{BNF-Def.Grp UNIV } h)^{-1-1} \ A \ f \ g \implies \text{rel-fun } (=) \ A \ (\text{map-fun } h \ \text{id } f) \ g$

*<proof>*

**lemma** *map-fun2-id*:  $\text{map-fun } f \ g \ x = g \circ \text{map-fun } f \ \text{id } x$

*<proof>*

**lemma** *map-fun-id2-in*:  $\text{map-fun } g \ h \ f = \text{map-fun } g \ \text{id } (h \circ f)$

*<proof>*

**lemma** *Domainp-rel-fun-le*:  $\text{Domainp } (\text{rel-fun } A \ B) \leq \text{pred-fun } (\text{Domainp } A) (\text{Domainp } B)$

*<proof>*

**definition** *rel-witness-fun* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'd)$

$\times ('c \Rightarrow 'e) \Rightarrow ('b \Rightarrow 'd \times 'e)$  **where**

$\text{rel-witness-fun } A \ A' = (\lambda(f, g) \ b. (f \ (\text{THE } a. A \ a \ b), g \ (\text{THE } c. A' \ b \ c)))$

**lemma**

**assumes** *fg*:  $\text{rel-fun } (A \ \text{OO } A') \ B \ f \ g$

**and** *A*: *left-unique A right-total A*

**and** *A'*: *right-unique A' left-total A'*

**shows** *rel-witness-fun1*:  $\text{rel-fun } A \ (\lambda x \ (x', y). x = x' \wedge B \ x' \ y) \ f \ (\text{rel-witness-fun } A \ A' \ f \ g)$

$A \ A' (f, g))$   
**and** *rel-witness-fun2*: *rel-fun*  $A' (\lambda(x, y') \ y. \ y = y' \wedge B \ x \ y') \ (rel-witness-fun$   
 $A \ A' (f, g)) \ g$   
 $\langle proof \rangle$

**lemma** *rel-witness-fun-eq* [*simp*]: *rel-witness-fun*  $(=) (=) (f, g) = (\lambda x. (f \ x, g \ x))$   
 $\langle proof \rangle$

## 1.11 Arithmetic

**lemma** *abs-diff-triangle-ineq2*:  $|a - b| :: - :: ordered-ab-group-add-abs| \leq |a - c|$   
 $+ |c - b|$   
 $\langle proof \rangle$

**lemma** (**in** *ordered-ab-semigroup-add*) *add-left-mono-trans*:  
 $\llbracket x \leq a + b; b \leq c \rrbracket \implies x \leq a + c$   
 $\langle proof \rangle$

**lemma** *of-nat-le-one-cancel-iff* [*simp*]:  
**fixes**  $n :: nat$  **shows**  $real \ n \leq 1 \longleftrightarrow n \leq 1$   
 $\langle proof \rangle$

**lemma** (**in** *linordered-semidom*) *mult-right-le*:  $c \leq 1 \implies 0 \leq a \implies c * a \leq a$   
 $\langle proof \rangle$

## 1.12 Chain-complete partial orders and partial-function

**lemma** *fun-ordD*: *fun-ord*  $ord \ f \ g \implies ord \ (f \ x) \ (g \ x)$   
 $\langle proof \rangle$

**lemma** *parallel-fixp-induct-strong*:  
**assumes** *ccpo1*: *class.ccpo* *luba* *orda* (*mk-less* *orda*)  
**and** *ccpo2*: *class.ccpo* *lubb* *ordb* (*mk-less* *ordb*)  
**and** *adm*: *ccpo.admissible* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*)  $(\lambda x. \ P \ (fst \ x)$   
 $(snd \ x))$   
**and** *f*: *monotone* *orda* *orda* *f*  
**and** *g*: *monotone* *ordb* *ordb* *g*  
**and** *bot*:  $P \ (luba \ \{\}) \ (lubb \ \{\})$   
**and** *step*:  $\bigwedge x \ y. \ \llbracket orda \ x \ (ccpo.fixp \ luba \ orda \ f); ordb \ y \ (ccpo.fixp \ lubb \ ordb \ g); P$   
 $x \ y \rrbracket \implies P \ (f \ x) \ (g \ y)$   
**shows**  $P \ (ccpo.fixp \ luba \ orda \ f) \ (ccpo.fixp \ lubb \ ordb \ g)$   
 $\langle proof \rangle$

**lemma** *parallel-fixp-induct-strong-uc*:  
**assumes** *a*: *partial-function-definitions* *orda* *luba*  
**and** *b*: *partial-function-definitions* *ordb* *lubb*  
**and** *F*:  $\bigwedge x. \ monotone \ (fun-ord \ orda) \ orda \ (\lambda f. \ U1 \ (F \ (C1 \ f)) \ x)$   
**and** *G*:  $\bigwedge y. \ monotone \ (fun-ord \ ordb) \ ordb \ (\lambda g. \ U2 \ (G \ (C2 \ g)) \ y)$   
**and** *eq1*:  $f \equiv C1 \ (ccpo.fixp \ (fun-lub \ luba) \ (fun-ord \ orda) \ (\lambda f. \ U1 \ (F \ (C1 \ f))))$   
**and** *eq2*:  $g \equiv C2 \ (ccpo.fixp \ (fun-lub \ lubb) \ (fun-ord \ ordb) \ (\lambda g. \ U2 \ (G \ (C2 \ g))))$

**and** *inverse*:  $\bigwedge f. U1 (C1 f) = f$   
**and** *inverse2*:  $\bigwedge g. U2 (C2 g) = g$   
**and** *adm*: *ccpo.admissible* (*prod-lub* (*fun-lub luba*) (*fun-lub lubb*)) (*rel-prod* (*fun-ord* *orda*) (*fun-ord ordb*)) ( $\lambda x. P (fst x) (snd x)$ )  
**and** *bot*:  $P (\lambda-. luba \{\}) (\lambda-. lubb \{\})$   
**and** *step*:  $\bigwedge f' g'. \llbracket \bigwedge x. orda (U1 f' x) (U1 f x); \bigwedge y. ordb (U2 g' y) (U2 g y); P (U1 f') (U2 g') \rrbracket \implies P (U1 (F f')) (U2 (G g'))$   
**shows**  $P (U1 f) (U2 g)$   
 $\langle proof \rangle$

**lemmas** *parallel-fixp-induct-strong-1-1* = *parallel-fixp-induct-strong-uc*[  
*of* - - -  $\lambda x. x - \lambda x. x \lambda x. x - \lambda x. x$ ,  
*OF* - - - - - *refl refl*]

**lemmas** *parallel-fixp-induct-strong-2-2* = *parallel-fixp-induct-strong-uc*[  
*of* - - - - *case-prod - curry case-prod - curry*,  
**where**  $P = \lambda f g. P (curry f) (curry g)$ ,  
*unfolded case-prod-curry curry-case-prod curry-K*,  
*OF* - - - - - *refl refl*,  
*split-format* (*complete*), *unfolded prod.case*]  
**for**  $P$

**lemma** *fixp-induct-option'*: — Stronger induction rule  
**fixes**  $F :: 'c \Rightarrow 'c$  **and**  
 $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ option}$  **and**  
 $C :: ('b \Rightarrow 'a \text{ option}) \Rightarrow 'c$  **and**  
 $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$   
**assumes** *mono*:  $\bigwedge x. \text{mono-option } (\lambda f. U (F (C f)) x)$   
**assumes** *eq*:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub } (\text{flat-lub None})) (\text{fun-ord option-ord}) (\lambda f. U (F (C f))))$   
**assumes** *inverse2*:  $\bigwedge f. U (C f) = f$   
**assumes** *step*:  $\bigwedge g x y. \llbracket \bigwedge x y. U g x = \text{Some } y \implies P x y; U (F g) x = \text{Some } y; \bigwedge x. \text{option-ord } (U g x) (U f x) \rrbracket \implies P x y$   
**assumes** *defined*:  $U f x = \text{Some } y$   
**shows**  $P x y$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot-fun-least* [*simp*]:  $(\lambda-. bot :: 'a :: \text{order-bot}) \leq x$   
 $\langle proof \rangle$

**lemma** *fun-ord-conv-rel-fun*: *fun-ord* = *rel-fun* (=)  
 $\langle proof \rangle$

**inductive** *finite-chains* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**for** *ord*  
**where** *finite-chainsI*:  $(\bigwedge Y. \text{Complete-Partial-Order.chain } ord Y \implies \text{finite } Y) \implies \text{finite-chains } ord$



**lemma** *finite-chainsD*:  $\llbracket \text{finite-chains } \text{ord}; \text{Complete-Partial-Order.chain } \text{ord } Y \rrbracket$   
 $\implies \text{finite } Y$   
 $\langle \text{proof} \rangle$

**lemma** *finite-chains-flat-ord* [*simp, intro!*]: *finite-chains* (*flat-ord* *x*)  
 $\langle \text{proof} \rangle$

**lemma** *mcont-finite-chains*:  
**assumes** *finite*: *finite-chains* *ord*  
**and** *mono*: *monotone* *ord* *ord'* *f*  
**and** *ccpo*: *class.ccpo* *lub* *ord* (*mk-less* *ord*)  
**and** *ccpo'*: *class.ccpo* *lub'* *ord'* (*mk-less* *ord'*)  
**shows** *mcont* *lub* *ord* *lub'* *ord'* *f*  
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-curry*: **includes** *lifting-syntax* **shows**  
 $(A \implies B \implies C) f g \longleftrightarrow (\text{rel-prod } A \ B \implies C) (\text{case-prod } f) (\text{case-prod } g)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *Sup-image-mono*:  
**assumes** *ccpo*: *class.ccpo* *luba* *orda* *lessa*  
**and** *mono*: *monotone* *orda*  $(\leq)$  *f*  
**and** *chain*: *Complete-Partial-Order.chain* *orda* *A*  
**and**  $A \neq \{\}$   
**shows** *Sup* (*f* ' *A*)  $\leq$  (*f* (*luba* *A*))  
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *admissible-le-mono*:  
**assumes** *monotone*  $(\leq)$   $(\leq)$  *f*  
**shows** *ccpo.admissible* *Sup*  $(\leq)$   $(\lambda x. x \leq f x)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *fixp-induct-strong2*:  
**assumes** *adm*: *ccpo.admissible* *Sup*  $(\leq)$  *P*  
**and** *mono*: *monotone*  $(\leq)$   $(\leq)$  *f*  
**and** *bot*:  $P (\bigsqcup \{\})$   
**and** *step*:  $\bigwedge x. \llbracket x \leq \text{ccpo-class.fixp } f; x \leq f x; P x \rrbracket \implies P (f x)$   
**shows**  $P (\text{ccpo-class.fixp } f)$   
 $\langle \text{proof} \rangle$

**context** *partial-function-definitions* **begin**

**lemma** *fixp-induct-strong2-uc*:  
**fixes**  $F :: 'c \Rightarrow 'c$   
**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a$   
**and**  $C :: ('b \Rightarrow 'a) \Rightarrow 'c$   
**and**  $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$

**assumes** *mono*:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f))) x$   
**and** *eq*:  $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$   
**and** *inverse*:  $\bigwedge f. U (C f) = f$   
**and** *adm*: *ccpo.admissible* *lub-fun* *le-fun* *P*  
**and** *bot*:  $P (\lambda-. \text{lub } \{\})$   
**and** *step*:  $\bigwedge f'. \llbracket \text{le-fun } (U f') (U f); \text{le-fun } (U f') (U (F f')); P (U f') \rrbracket \implies$   
 $P (U (F f'))$   
**shows**  $P (U f)$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *parallel-fixp-induct-2-4* = *parallel-fixp-induct-uc*[  
*of* - - - *case-prod* - *curry*  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry}$   
 $(\text{curry } (\text{curry } f))$ ,  
**where**  $P = \lambda f g. P (\text{curry } f) (\text{curry } (\text{curry } (\text{curry } g)))$ ,  
*unfolded case-prod-curry* *curry-case-prod* *curry-K*,  
*OF* - - - - *refl* *refl*]  
**for** *P*

**lemma** (**in** *ccpo*) *fixp-greatest*:  
**assumes** *f*: *monotone*  $(\leq) (\leq) f$   
**and** *ge*:  $\bigwedge y. f y \leq y \implies x \leq y$   
**shows**  $x \leq \text{ccpo.fixp } \text{Sup } (\leq) f$   
 $\langle \text{proof} \rangle$

**lemma** *fixp-rolling*:  
**assumes** *class.ccpo* *lub1* *leq1* (*mk-less* *leq1*)  
**and** *class.ccpo* *lub2* *leq2* (*mk-less* *leq2*)  
**and** *f*: *monotone* *leq1* *leq2* *f*  
**and** *g*: *monotone* *leq2* *leq1* *g*  
**shows**  $\text{ccpo.fixp } \text{lub1 } \text{leq1 } (\lambda x. g (f x)) = g (\text{ccpo.fixp } \text{lub2 } \text{leq2 } (\lambda x. f (g x)))$   
 $\langle \text{proof} \rangle$

**lemma** *fixp-lfp-parametric-eq*:  
**includes** *lifting-syntax*  
**assumes** *f*:  $\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)$   
**and** *g*:  $\bigwedge x. \text{lfp.mono-body } (\lambda f. G f x)$   
**and** *param*:  $((A \implies (=)) \implies A \implies (=)) F G$   
**shows**  $(A \implies (=)) (\text{lfp.fixp-fun } F) (\text{lfp.fixp-fun } G)$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-map-option*[*THEN* *option.mono2mono*, *simp*, *cont-intro*]:  
**shows** *monotone-map-option*: *monotone* *option-ord* *option-ord* (*map-option* *f*)  
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-map-option*[*THEN* *option.mcont2mcont*, *simp*, *cont-intro*]:  
**shows** *mcont-map-option*: *mcont* (*flat-lub* *None*) *option-ord* (*flat-lub* *None*) *option-ord* (*map-option* *f*)

$\langle \text{proof} \rangle$

**lemma** *mono2mono-set-option* [THEN lfp.mono2mono]:  
**shows** *monotone-set-option: monotone option-ord* ( $\subseteq$ ) *set-option*  
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-set-option* [THEN lfp.mcont2mcont, cont-intro, simp]:  
**shows** *mcont-set-option: mcont* (*flat-lub* None) *option-ord* Union ( $\subseteq$ ) *set-option*  
 $\langle \text{proof} \rangle$

**lemma** *eadd-gfp-partial-function-mono* [*partial-function-mono*]:  
 $\llbracket \text{monotone } (\text{fun-ord } (\geq)) (\geq) f; \text{monotone } (\text{fun-ord } (\geq)) (\geq) g \rrbracket$   
 $\implies \text{monotone } (\text{fun-ord } (\geq)) (\geq) (\lambda x. f x + g x :: \text{enat})$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-mono* [*partial-function-mono*]:  
 $\text{mono-option } B \implies \text{mono-option } (\lambda f. \text{map-option } g (B f))$   
 $\langle \text{proof} \rangle$

### 1.13 Folding over finite sets

**lemma** (*in comp-fun-commute*) *fold-invariant-remove* [*consumes 1, case-names start step*]:  
**assumes** *fin: finite A*  
**and** *start: I A s*  
**and** *step:  $\bigwedge x s A'. \llbracket x \in A'; I A' s; A' \subseteq A \rrbracket \implies I (A' - \{x\}) (f x s)$*   
**shows**  $I \{\} (\text{Finite-Set.fold } f s A)$   
 $\langle \text{proof} \rangle$

**lemma** (*in comp-fun-commute*) *fold-invariant-insert* [*consumes 1, case-names start step*]:  
**assumes** *fin: finite A*  
**and** *start: I  $\{\}$  s*  
**and** *step:  $\bigwedge x s A'. \llbracket I A' s; x \notin A'; x \in A; A' \subseteq A \rrbracket \implies I (\text{insert } x A') (f x s)$*   
**shows**  $I A (\text{Finite-Set.fold } f s A)$   
 $\langle \text{proof} \rangle$

**lemma** (*in comp-fun-idem*) *fold-set-union*:  
**assumes** *finite A finite B*  
**shows**  $\text{Finite-Set.fold } f z (A \cup B) = \text{Finite-Set.fold } f (\text{Finite-Set.fold } f z A) B$   
 $\langle \text{proof} \rangle$

### 1.14 Parametrisation of transfer rules

$\langle \text{ML} \rangle$

### 1.15 Lists

**lemma** *nth-eq-tII*:  $xs ! n = z \implies (x \# xs) ! \text{Suc } n = z$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-append'*:

$length\ us = length\ vs \implies list\text{-}all2\ P\ (xs\ @\ us)\ (ys\ @\ vs) \longleftrightarrow list\text{-}all2\ P\ xs\ ys \wedge list\text{-}all2\ P\ us\ vs$   
 $\langle proof \rangle$

**definition** *disjointp* :: ('a  $\Rightarrow$  bool) list  $\Rightarrow$  bool

**where** *disjointp* xs = disjoint-family-on ( $\lambda n. \{x. (xs\ !\ n)\ x\}$ ) {0..*length* xs}

**lemma** *disjointpD*:

$\llbracket disjointp\ xs; (xs\ !\ n)\ x; (xs\ !\ m)\ x; n < length\ xs; m < length\ xs \rrbracket \implies n = m$   
 $\langle proof \rangle$

**lemma** *disjointpD'*:

$\llbracket disjointp\ xs; P\ x; Q\ x; xs\ !\ n = P; xs\ !\ m = Q; n < length\ xs; m < length\ xs \rrbracket \implies n = m$   
 $\langle proof \rangle$

**lemma** *wf-strict-prefix*: wf*P* strict-prefix

$\langle proof \rangle$

**lemma** *strict-prefix-setD*:

$strict\text{-}prefix\ xs\ ys \implies set\ xs \subseteq set\ ys$   
 $\langle proof \rangle$

### 1.15.1 List of a given length

**inductive-set** *nlists* :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  'a list set **for** A n

**where** *nlists*:  $\llbracket set\ xs \subseteq A; length\ xs = n \rrbracket \implies xs \in nlists\ A\ n$

**hide-fact** (**open**) *nlists*

**lemma** *nlists-alt-def*:  $nlists\ A\ n = \{xs. set\ xs \subseteq A \wedge length\ xs = n\}$

$\langle proof \rangle$

**lemma** *nlists-empty*:  $nlists\ \{\} \ n = (if\ n = 0\ then\ \{\}\ else\ \{\})$

$\langle proof \rangle$

**lemma** *nlists-empty-gt0* [simp]:  $n > 0 \implies nlists\ \{\} \ n = \{\}$

$\langle proof \rangle$

**lemma** *nlists-0* [simp]:  $nlists\ A\ 0 = \{\}\}$

$\langle proof \rangle$

**lemma** *Cons-in-nlists-Suc* [simp]:  $x \# xs \in nlists\ A\ (Suc\ n) \longleftrightarrow x \in A \wedge xs \in nlists\ A\ n$

$\langle proof \rangle$

**lemma** *Nil-in-nlists* [simp]:  $\llbracket \rrbracket \in nlists\ A\ n \longleftrightarrow n = 0$

$\langle proof \rangle$

**lemma** *Cons-in-nlists-iff*:  $x \# xs \in nlists\ A\ n \longleftrightarrow (\exists n'.\ n = Suc\ n' \wedge x \in A \wedge xs \in nlists\ A\ n')$   
 $\langle proof \rangle$

**lemma** *in-nlists-Suc-iff*:  $xs \in nlists\ A\ (Suc\ n) \longleftrightarrow (\exists x\ xs'.\ xs = x \# xs' \wedge x \in A \wedge xs' \in nlists\ A\ n)$   
 $\langle proof \rangle$

**lemma** *nlists-Suc*:  $nlists\ A\ (Suc\ n) = (\bigcup x \in A. (\#)\ x\ ' nlists\ A\ n)$   
 $\langle proof \rangle$

**lemma** *replicate-in-nlists* [*simp*, *intro*]:  $x \in A \implies replicate\ n\ x \in nlists\ A\ n$   
 $\langle proof \rangle$

**lemma** *nlists-eq-empty-iff* [*simp*]:  $nlists\ A\ n = \{\} \longleftrightarrow n > 0 \wedge A = \{\}$   
 $\langle proof \rangle$

**lemma** *finite-nlists* [*simp*]:  $finite\ A \implies finite\ (nlists\ A\ n)$   
 $\langle proof \rangle$

**lemma** *finite-nlistsD*:  
 assumes *finite* (*nlists* *A* *n*)  
 shows *finite* *A*  $\vee n = 0$   
 $\langle proof \rangle$

**lemma** *finite-nlists-iff*:  $finite\ (nlists\ A\ n) \longleftrightarrow finite\ A \vee n = 0$   
 $\langle proof \rangle$

**lemma** *card-nlists*:  $card\ (nlists\ A\ n) = card\ A\ ^n$   
 $\langle proof \rangle$

**lemma** *in-nlists-UNIV*:  $xs \in nlists\ UNIV\ n \longleftrightarrow length\ xs = n$   
 $\langle proof \rangle$

### 1.15.2 The type of lists of a given length

**typedef** (**overloaded**) (*'a*, *'b* :: *len0*) *nlist* = *nlists* (*UNIV* :: *'a* *set*) (*LENGTH* (*'b*))  
 $\langle proof \rangle$

**setup-lifting** *type-definition-nlist*

## 1.16 Streams and infinite lists

**primrec** *sprefix* :: *'a* *list*  $\Rightarrow$  *'a* *stream*  $\Rightarrow$  *bool* **where**  
*sprefix-Nil*: *sprefix* [] *ys* = *True*  
 | *sprefix-Cons*: *sprefix* (*x* # *xs*) *ys*  $\longleftrightarrow x = shd\ ys \wedge sprefix\ xs\ (stl\ ys)$

**lemma** *sprefix-append*:  $sprefix\ (xs\ @\ ys)\ zs \longleftrightarrow sprefix\ xs\ zs \wedge sprefix\ ys\ (sdrop\ (length\ xs)\ zs)$

$\langle \text{proof} \rangle$

**lemma** *sprefix-stake-same* [simp]: *sprefix (stake n xs) xs*  
 $\langle \text{proof} \rangle$

**lemma** *sprefix-same-imp-eq*:  
  **assumes** *sprefix xs ys sprefix xs' ys*  
  **and** *length xs = length xs'*  
  **shows** *xs = xs'*  
 $\langle \text{proof} \rangle$

**lemma** *sprefix-shift-same* [simp]:  
  *sprefix xs (xs @- ys)*  
 $\langle \text{proof} \rangle$

**lemma** *sprefix-shift* [simp]:  
  *length xs ≤ length ys ⇒ sprefix xs (ys @- zs) ⟷ prefix xs ys*  
 $\langle \text{proof} \rangle$

**lemma** *prefixeq-stake2* [simp]: *prefix xs (stake n ys) ⟷ length xs ≤ n ∧ sprefix xs ys*  
 $\langle \text{proof} \rangle$

**lemma** *tlength-eq-infinity-iff*: *tlength xs = ∞ ⟷ ¬ tfinite xs*  
**including** *tlift.list.lifting*  $\langle \text{proof} \rangle$

## 1.17 Monomorphic monads

**context includes** *lifting-syntax* **begin**  
 $\langle \text{ML} \rangle$

**definition** *bind-option* :: 'm fail ⇒ 'a option ⇒ ('a ⇒ 'm) ⇒ 'm  
**where** *bind-option fail x f* = (*case x of None ⇒ fail | Some x' ⇒ f x'*) **for** *fail*

**simps-of-case** *bind-option-simps* [simp]: *bind-option-def*

**lemma** *bind-option-parametric* [transfer-rule]:  
  (*M ==> rel-option B ==> (B ==> M) ==> M*) *bind-option bind-option*  
 $\langle \text{proof} \rangle$

**lemma** *bind-option-K*:  
   $\bigwedge_{\text{monad.}} (x = \text{None} \implies m = \text{fail}) \implies \text{bind-option fail } x (\lambda \cdot. m) = m$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *bind-option-option* [simp]: *monad.bind-option None = Option.bind*  
 $\langle \text{proof} \rangle$

**context** *monad-fail-hom* **begin**

**lemma** *hom-bind-option*:  $h \text{ (monad.bind-option fail1 } x \text{ f) = monad.bind-option fail2 } x \text{ (h } \circ \text{ f)}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *bind-option-set* [simp]:  $\text{monad.bind-option fail-set} = (\lambda x f. \bigcup (f \text{ ' set-option } x))$   
 $\langle \text{proof} \rangle$

**lemma** *run-bind-option-stateT* [simp]:  
 $\bigwedge \text{more. run-state (monad.bind-option (fail-state fail) } x \text{ f) } s = \text{monad.bind-option fail } x \text{ (}\lambda y. \text{run-state (f } y \text{) } s \text{)}$   
 $\langle \text{proof} \rangle$

**lemma** *run-bind-option-envT* [simp]:  
 $\bigwedge \text{more. run-env (monad.bind-option (fail-env fail) } x \text{ f) } s = \text{monad.bind-option fail } x \text{ (}\lambda y. \text{run-env (f } y \text{) } s \text{)}$   
 $\langle \text{proof} \rangle$

## 1.18 Measures

**declare** *sets-restrict-space-count-space* [measurable-cong]

**lemma** (in *sigma-algebra*) *sets-Collect-countable-Ex1*:  
 $(\bigwedge i :: 'i :: \text{countable. } \{x \in \Omega. P \ i \ x\} \in M) \implies \{x \in \Omega. \exists ! i. P \ i \ x\} \in M$   
 $\langle \text{proof} \rangle$

**lemma** *pred-countable-Ex1* [measurable]:  
 $(\bigwedge i :: - :: \text{countable. Measurable.pred } M \text{ (}\lambda x. P \ i \ x \text{)}) \implies \text{Measurable.pred } M \text{ (}\lambda x. \exists ! i. P \ i \ x \text{)}$   
 $\langle \text{proof} \rangle$

**lemma** *measurable-snd-count-space* [measurable]:  
 $A \subseteq B \implies \text{snd} \in \text{measurable } (M1 \otimes_M \text{count-space } A) \text{ (count-space } B \text{)}$   
 $\langle \text{proof} \rangle$

**lemma** *integrable-scale-measure* [simp]:  
 $\llbracket \text{integrable } M \text{ f; } r < \top \rrbracket \implies \text{integrable (scale-measure } r \text{ } M) \text{ f}$   
**for**  $f :: 'a \Rightarrow 'b :: \{\text{banach, second-countable-topology}\}$   
 $\langle \text{proof} \rangle$

**lemma** *integral-scale-measure*:  
**assumes**  $\text{integrable } M \text{ f } r < \top$   
**shows**  $\text{integral}^L \text{ (scale-measure } r \text{ } M) \text{ f} = \text{enn2real } r * \text{integral}^L M \text{ f}$   
 $\langle \text{proof} \rangle$

## 1.19 Sequence space

**lemma** (in *sequence-space*) *nn-integral-split*:

**assumes**  $f[\text{measurable}]$ :  $f \in \text{borel-measurable } S$

**shows**  $(\int^{+\omega}. f \ \omega \ \partial S) = (\int^{+\omega}. (\int^{+\omega'}. f \ (\text{comb-seq } i \ \omega \ \omega') \ \partial S) \ \partial S)$

$\langle \text{proof} \rangle$

**lemma** (in *sequence-space*) *prob-Collect-split*:

**assumes**  $f[\text{measurable}]$ :  $\{x \in \text{space } S. P \ x\} \in \text{sets } S$

**shows**  $\mathcal{P}(x \text{ in } S. P \ x) = (\int^{+x}. \mathcal{P}(x' \text{ in } S. P \ (\text{comb-seq } i \ x \ x')) \ \partial S)$

$\langle \text{proof} \rangle$

## 1.20 Probability mass functions

**lemma** *measure-map-pmf-conv-distr*:

$\text{measure-pmf} \ (\text{map-pmf } f \ p) = \text{distr} \ (\text{measure-pmf } p) \ (\text{count-space } \text{UNIV}) \ f$

$\langle \text{proof} \rangle$

**abbreviation** *coin-pmf* :: *bool pmf where coin-pmf*  $\equiv$  *pmf-of-set UNIV*

The rule *rel-pmf-bindI* is not complete as a program logic.

**notepad begin**

$\langle \text{proof} \rangle$

**end**

**lemma** *pred-rel-pmf*:

$\llbracket \text{pred-pmf } P \ p; \text{rel-pmf } R \ p \ q \rrbracket \implies \text{pred-pmf} \ (\text{Imagep } R \ P) \ q$

$\langle \text{proof} \rangle$

**lemma** *pmf-rel-mono'*:  $\llbracket \text{rel-pmf } P \ x \ y; P \leq Q \rrbracket \implies \text{rel-pmf } Q \ x \ y$

$\langle \text{proof} \rangle$

**lemma** *rel-pmf-eqI [simp]*:  $\text{rel-pmf} \ (=) \ x \ x$

$\langle \text{proof} \rangle$

**lemma** *rel-pmf-bind-reflI*:

$(\bigwedge x. x \in \text{set-pmf } p \implies \text{rel-pmf } R \ (f \ x) \ (g \ x))$

$\implies \text{rel-pmf } R \ (\text{bind-pmf } p \ f) \ (\text{bind-pmf } p \ g)$

$\langle \text{proof} \rangle$

**lemma** *pmf-pred-mono-strong*:

$\llbracket \text{pred-pmf } P \ p; \bigwedge a. \llbracket a \in \text{set-pmf } p; P \ a \rrbracket \implies P' \ a \rrbracket \implies \text{pred-pmf } P' \ p$

$\langle \text{proof} \rangle$

**lemma** *rel-pmf-restrict-relpI [intro?]*:

$\llbracket \text{rel-pmf } R \ x \ y; \text{pred-pmf } P \ x; \text{pred-pmf } Q \ y \rrbracket \implies \text{rel-pmf} \ (R \upharpoonright P \otimes Q) \ x \ y$

$\langle \text{proof} \rangle$

**lemma** *rel-pmf-restrict-relpE [elim?]*:

**assumes**  $\text{rel-pmf} \ (R \upharpoonright P \otimes Q) \ x \ y$



**obtains**  $rel\text{-}pmf\ R\ x\ y\ pred\text{-}pmf\ P\ x\ pred\text{-}pmf\ Q\ y$   
 $\langle proof \rangle$

**lemma**  $rel\text{-}pmf\text{-}restrict\text{-}rel\text{-}iff$ :  
 $rel\text{-}pmf\ (R \upharpoonright P \otimes Q)\ x\ y \longleftrightarrow rel\text{-}pmf\ R\ x\ y \wedge pred\text{-}pmf\ P\ x \wedge pred\text{-}pmf\ Q\ y$   
 $\langle proof \rangle$

**lemma**  $rel\text{-}pmf\text{-}OO\text{-}trans$  [trans]:  
 $\llbracket rel\text{-}pmf\ R\ p\ q; rel\text{-}pmf\ S\ q\ r \rrbracket \Longrightarrow rel\text{-}pmf\ (R\ OO\ S)\ p\ r$   
 $\langle proof \rangle$

**lemma**  $pmf\text{-}pred\text{-}map$  [simp]:  $pred\text{-}pmf\ P\ (map\text{-}pmf\ f\ p) = pred\text{-}pmf\ (P \circ f)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}bind$  [simp]:  $pred\text{-}pmf\ P\ (bind\text{-}pmf\ p\ f) = pred\text{-}pmf\ (pred\text{-}pmf\ P \circ f)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}return$  [simp]:  $pred\text{-}pmf\ P\ (return\text{-}pmf\ x) = P\ x$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}of\text{-}set$  [simp]:  $\llbracket finite\ A; A \neq \{\} \rrbracket \Longrightarrow pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}set\ A) = Ball\ A\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}of\text{-}multiset$  [simp]:  $M \neq \{\#\} \Longrightarrow pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}multiset\ M) = Ball\ (set\text{-}mset\ M)\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}cond$  [simp]:  
 $set\text{-}pmf\ p \cap A \neq \{\} \Longrightarrow pred\text{-}pmf\ P\ (cond\text{-}pmf\ p\ A) = pred\text{-}pmf\ (\lambda x. x \in A \longrightarrow P\ x)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}pair$  [simp]:  
 $pred\text{-}pmf\ P\ (pair\text{-}pmf\ p\ q) = pred\text{-}pmf\ (\lambda x. pred\text{-}pmf\ (P \circ Pair\ x)\ q)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}join$  [simp]:  $pred\text{-}pmf\ P\ (join\text{-}pmf\ p) = pred\text{-}pmf\ (pred\text{-}pmf\ P)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}bernoulli$  [simp]:  $\llbracket 0 < p; p < 1 \rrbracket \Longrightarrow pred\text{-}pmf\ P\ (bernoulli\text{-}pmf\ p) = All\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}geometric$  [simp]:  $\llbracket 0 < p; p < 1 \rrbracket \Longrightarrow pred\text{-}pmf\ P\ (geometric\text{-}pmf\ p) = All\ P$   
 $\langle proof \rangle$

**lemma** *pred-pmf-poisson* [simp]:  $0 < \text{rate} \implies \text{pred-pmf } P \text{ (poisson-pmf rate)} =$   
*All P*  
 ⟨proof⟩

**lemma** *pmf-rel-map-restrict-relp*:  
**shows** *pmf-rel-map-restrict-relp1*:  $\text{rel-pmf } (R \upharpoonright P \otimes Q) (\text{map-pmf } f \text{ } p) = \text{rel-pmf}$   
 $(R \circ f \upharpoonright P \circ f \otimes Q) \text{ } p$   
**and** *pmf-rel-map-restrict-relp2*:  $\text{rel-pmf } (R \upharpoonright P \otimes Q) \text{ } p (\text{map-pmf } g \text{ } q) = \text{rel-pmf}$   
 $((\lambda x. R \text{ } x \circ g) \upharpoonright P \otimes Q \circ g) \text{ } p \text{ } q$   
 ⟨proof⟩

**lemma** *pred-pmf-conj* [simp]:  $\text{pred-pmf } (\lambda x. P \text{ } x \wedge Q \text{ } x) = (\lambda x. \text{pred-pmf } P \text{ } x \wedge$   
 $\text{pred-pmf } Q \text{ } x)$   
 ⟨proof⟩

**lemma** *pred-pmf-top* [simp]:  
 $\text{pred-pmf } (\lambda -. \text{True}) = (\lambda -. \text{True})$   
 ⟨proof⟩

**lemma** *rel-pmf-of-setI*:  
**assumes** *A*:  $A \neq \{\}$  *finite A*  
**and** *B*:  $B \neq \{\}$  *finite B*  
**and** *card*:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R \text{ } x$   
 $y\}$   
**shows**  $\text{rel-pmf } R \text{ (pmf-of-set } A) \text{ (pmf-of-set } B)$   
 ⟨proof⟩

**consts** *rel-witness-pmf* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ pmf} \times 'b \text{ pmf} \Rightarrow ('a \times 'b) \text{ pmf}$   
**specification** (*rel-witness-pmf*)  
*set-rel-witness-pmf'*:  $\text{rel-pmf } A \text{ (fst } xy) \text{ (snd } xy) \implies \text{set-pmf } (\text{rel-witness-pmf } A$   
 $xy) \subseteq \{(a, b). A \text{ } a \text{ } b\}$   
*map1-rel-witness-pmf'*:  $\text{rel-pmf } A \text{ (fst } xy) \text{ (snd } xy) \implies \text{map-pmf fst } (\text{rel-witness-pmf}$   
 $A \text{ } xy) = \text{fst } xy$   
*map2-rel-witness-pmf'*:  $\text{rel-pmf } A \text{ (fst } xy) \text{ (snd } xy) \implies \text{map-pmf snd } (\text{rel-witness-pmf}$   
 $A \text{ } xy) = \text{snd } xy$   
 ⟨proof⟩

**lemmas**  $\text{set-rel-witness-pmf} = \text{set-rel-witness-pmf}'[\text{of - } (x, y) \text{ for } x \text{ } y, \text{ simplified}]$   
**lemmas**  $\text{map1-rel-witness-pmf} = \text{map1-rel-witness-pmf}'[\text{of - } (x, y) \text{ for } x \text{ } y, \text{ sim-}$   
 $\text{plified}]$   
**lemmas**  $\text{map2-rel-witness-pmf} = \text{map2-rel-witness-pmf}'[\text{of - } (x, y) \text{ for } x \text{ } y, \text{ sim-}$   
 $\text{plified}]$   
**lemmas**  $\text{rel-witness-pmf} = \text{set-rel-witness-pmf map1-rel-witness-pmf map2-rel-witness-pmf}$

**lemma** *rel-witness-pmf1*:  
**assumes** *rel-pmf A p q*  
**shows**  $\text{rel-pmf } (\lambda a \text{ } (a', b). a = a' \wedge A \text{ } a' \text{ } b) \text{ } p \text{ } (\text{rel-witness-pmf } A \text{ } (p, q))$   
 ⟨proof⟩

**lemma** *rel-witness-pmf2*:  
**assumes** *rel-pmf*  $A$   $p$   $q$   
**shows** *rel-pmf*  $(\lambda(a, b'). b. b = b' \wedge A \ a \ b')$  (*rel-witness-pmf*  $A$   $(p, q)$ )  $q$   
 $\langle proof \rangle$

**lemma** *cond-pmf-of-set*:  
**assumes** *fin*: *finite*  $A$  **and** *nonempty*:  $A \cap B \neq \{\}$   
**shows** *cond-pmf* (*pmf-of-set*  $A$ )  $B = \text{pmf-of-set } (A \cap B)$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

**lemma** *pair-pmf-of-set*:  
**assumes**  $A$ : *finite*  $A$   $A \neq \{\}$   
**and**  $B$ : *finite*  $B$   $B \neq \{\}$   
**shows** *pair-pmf* (*pmf-of-set*  $A$ ) (*pmf-of-set*  $B$ ) = *pmf-of-set*  $(A \times B)$   
 $\langle proof \rangle$

**lemma** *emeasure-cond-pmf*:  
**fixes**  $p$   $A$   
**defines**  $q \equiv \text{cond-pmf } p \ A$   
**assumes** *set-pmf*  $p \cap A \neq \{\}$   
**shows** *emeasure* (*measure-pmf*  $q$ )  $B = \text{emeasure } (\text{measure-pmf } p) (A \cap B) /$   
*emeasure* (*measure-pmf*  $p$ )  $A$   
 $\langle proof \rangle$

**lemma** *measure-cond-pmf*:  
 $\text{measure } (\text{measure-pmf } (\text{cond-pmf } p \ A)) \ B = \text{measure } (\text{measure-pmf } p) (A \cap B)$   
 $/ \text{measure } (\text{measure-pmf } p) \ A$   
**if** *set-pmf*  $p \cap A \neq \{\}$   
 $\langle proof \rangle$

**lemma** *emeasure-measure-pmf-zero-iff*: *emeasure* (*measure-pmf*  $p$ )  $s = 0 \longleftrightarrow \text{set-pmf}$   
 $p \cap s = \{\}$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

## 1.21 Subprobability mass functions

**lemma** *ord-spmf-return-spmf1*: *ord-spmf*  $R$  (*return-spmf*  $x$ )  $p \longleftrightarrow \text{lossless-spmf } p$   
 $\wedge (\forall y \in \text{set-spmf } p. R \ x \ y)$   
 $\langle proof \rangle$

**lemma** *ord-spmf-conv*:  
 $\text{ord-spmf } R = \text{rel-spmf } R \ OO \ \text{ord-spmf } (=)$   
 $\langle proof \rangle$

**lemma** *ord-spmf-expand*:  
 $\text{NO-MATCH } (=) \ R \implies \text{ord-spmf } R = \text{rel-spmf } R \ OO \ \text{ord-spmf } (=)$   
 $\langle proof \rangle$

**lemma** *ord-spmf-eqD-measure*: *ord-spmf*  $(=)$   $p \ q \implies \text{measure } (\text{measure-spmf } p)$

$A \leq \text{measure } (\text{measure-spmf } q) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-measureD*:  
**assumes** *ord-spmf*  $R \ p \ q$   
**shows**  $\text{measure } (\text{measure-spmf } p) \ A \leq \text{measure } (\text{measure-spmf } q) \ \{y. \exists x \in A. R \ x \ y\}$   
**(is ?lhs  $\leq$  ?rhs)**  
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-bind-pmfI1*:  
 $(\bigwedge x. x \in \text{set-pmf } p \implies \text{ord-spmf } R \ (f \ x) \ q) \implies \text{ord-spmf } R \ (\text{bind-pmf } p \ f) \ q$   
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-bind-spmfI1*:  
 $(\bigwedge x. x \in \text{set-spmf } p \implies \text{ord-spmf } R \ (f \ x) \ q) \implies \text{ord-spmf } R \ (\text{bind-spmf } p \ f) \ q$   
 $\langle \text{proof} \rangle$

**lemma** *spmf-of-set-empty*:  $\text{spmf-of-set } \{\} = \text{return-pmf } \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-of-setI*:  
**assumes** *card*:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R \ x \ y\}$   
**and** *eq*:  $(\text{finite } A \wedge A \neq \{\}) \longleftrightarrow (\text{finite } B \wedge B \neq \{\})$   
**shows**  $\text{rel-spmf } R \ (\text{spmf-of-set } A) \ (\text{spmf-of-set } B)$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{map-bind-spmf} = \text{map-spmf-bind-spmf}$

**lemma** *nn-integral-measure-spmf-conv-measure-pmf*:  
**assumes** [*measurable*]:  $f \in \text{borel-measurable } (\text{count-space } \text{UNIV})$   
**shows**  $\text{nn-integral } (\text{measure-spmf } p) \ f = \text{nn-integral } (\text{restrict-space } (\text{measure-pmf } p) \ (\text{range } \text{Some})) \ (f \circ \text{the})$   
 $\langle \text{proof} \rangle$

**lemma** *nn-integral-spmf-neq-infinity*:  $(\int^+ x. \text{spmf } p \ x \ \partial \text{count-space } \text{UNIV}) \neq \infty$   
 $\langle \text{proof} \rangle$

**lemma** *return-pmf-bind-option*:  
 $\text{return-pmf } (\text{Option.bind } x \ f) = \text{bind-spmf } (\text{return-pmf } x) \ (\text{return-pmf } \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-pos-distr*:  $\text{rel-spmf } A \ \text{OO} \ \text{rel-spmf } B \leq \text{rel-spmf } (A \ \text{OO} \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-OO-trans* [*trans*]:  
 $\llbracket \text{rel-spmf } R \ p \ q; \text{rel-spmf } S \ q \ r \rrbracket \implies \text{rel-spmf } (R \ \text{OO} \ S) \ p \ r$   
 $\langle \text{proof} \rangle$

**lemma** *map-spmf-eq-map-spmf-iff*:  $\text{map-spmf } f \text{ } p = \text{map-spmf } g \text{ } q \longleftrightarrow \text{rel-spmf } (\lambda x y. f \text{ } x = g \text{ } y) \text{ } p \text{ } q$   
 $\langle \text{proof} \rangle$

**lemma** *map-spmf-eq-map-spmfI*:  $\text{rel-spmf } (\lambda x y. f \text{ } x = g \text{ } y) \text{ } p \text{ } q \implies \text{map-spmf } f \text{ } p = \text{map-spmf } g \text{ } q$   
 $\langle \text{proof} \rangle$

**lemma** *spmf-rel-mono-strong*:  
 $\llbracket \text{rel-spmf } A \text{ } f \text{ } g; \bigwedge x y. \llbracket x \in \text{set-spmf } f; y \in \text{set-spmf } g; A \text{ } x \text{ } y \rrbracket \implies B \text{ } x \text{ } y \rrbracket \implies \text{rel-spmf } B \text{ } f \text{ } g$   
 $\langle \text{proof} \rangle$

**lemma** *set-spmf-eq-empty*:  $\text{set-spmf } p = \{\} \longleftrightarrow p = \text{return-pmf } \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *measure-pair-spmf-times*:  
 $\text{measure } (\text{measure-spmf } (\text{pair-spmf } p \text{ } q)) \text{ } (A \times B) = \text{measure } (\text{measure-spmf } p) \text{ } A * \text{measure } (\text{measure-spmf } q) \text{ } B$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-spmfD-set-spmf-nonempty*:  $\text{lossless-spmf } p \implies \text{set-spmf } p \neq \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *set-spmf-return-pmf*:  $\text{set-spmf } (\text{return-pmf } x) = \text{set-option } x$   
 $\langle \text{proof} \rangle$

**lemma** *bind-spmf-pmf-assoc*:  $\text{bind-spmf } (\text{bind-pmf } p \text{ } f) \text{ } g = \text{bind-pmf } p \text{ } (\lambda x. \text{bind-spmf } (f \text{ } x) \text{ } g)$   
 $\langle \text{proof} \rangle$

**lemma** *bind-spmf-of-set*:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{bind-spmf } (\text{spmof-of-set } A) \text{ } f = \text{bind-pmf } (\text{pmf-of-set } A) \text{ } f$   
 $\langle \text{proof} \rangle$

**lemma** *bind-spmf-map-pmf*:  
 $\text{bind-spmf } (\text{map-pmf } f \text{ } p) \text{ } g = \text{bind-pmf } p \text{ } (\lambda x. \text{bind-spmf } (\text{return-pmf } (f \text{ } x)) \text{ } g)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-eqI [simp]*:  $\text{rel-spmf } (=) \text{ } x \text{ } x$   
 $\langle \text{proof} \rangle$

**lemma** *set-spmf-map-pmf*:  $\text{set-spmf } (\text{map-pmf } f \text{ } p) = (\bigcup x \in \text{set-pmf } p. \text{set-option } (f \text{ } x))$   
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-return-spmf [simp]*:  $\text{ord-spmf } (=) \text{ } (\text{return-spmf } x) \text{ } p \longleftrightarrow p =$

*return-spmf*  $x$   
 $\langle \text{proof} \rangle$

**declare**

*set-bind-spmf* [*simp*]  
*set-spmf-return-pmf* [*simp*]

**lemma** *bind-spmf-pmf-commute*:

$\text{bind-spmf } p \ (\lambda x. \text{bind-pmf } q \ (f \ x)) = \text{bind-pmf } q \ (\lambda y. \text{bind-spmf } p \ (\lambda x. f \ x \ y))$   
 $\langle \text{proof} \rangle$

**lemma** *return-pmf-map-option-conv-bind*:

$\text{return-pmf } (\text{map-option } f \ x) = \text{bind-spmf } (\text{return-pmf } x) \ (\text{return-spmf } \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-return-pmf-iff* [*simp*]:  $\text{lossless-spmf } (\text{return-pmf } x) \longleftrightarrow x \neq \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-map-pmf*:  $\text{lossless-spmf } (\text{map-pmf } f \ p) \longleftrightarrow (\forall x \in \text{set-pmf } p. f \ x \neq \text{None})$   
 $\langle \text{proof} \rangle$

**lemma** *bind-pmf-spmf-assoc*:

$g \ \text{None} = \text{return-pmf } \text{None}$   
 $\implies \text{bind-pmf } (\text{bind-spmf } p \ f) \ g = \text{bind-spmf } p \ (\lambda x. \text{bind-pmf } (f \ x) \ g)$   
 $\langle \text{proof} \rangle$

**abbreviation** *pred-spmf* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \ \text{spm}f \Rightarrow \text{bool}$

**where**  $\text{pred-spmf } P \equiv \text{pred-pmf } (\text{pred-option } P)$

**lemma** *pred-spmf-def*:  $\text{pred-spmf } P \ p \longleftrightarrow (\forall x \in \text{set-spmf } p. P \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *spm-f-pred-mono-strong*:

$\llbracket \text{pred-spmf } P \ p; \bigwedge a. \llbracket a \in \text{set-spmf } p; P \ a \rrbracket \implies P' \ a \rrbracket \implies \text{pred-spmf } P' \ p$   
 $\langle \text{proof} \rangle$

**lemma** *spm-f-Domainp-rel*:  $\text{Domainp } (\text{rel-spmf } R) = \text{pred-spmf } (\text{Domainp } R)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-restrict-relpI* [*intro?*]:

$\llbracket \text{rel-spmf } R \ p \ q; \text{pred-spmf } P \ p; \text{pred-spmf } Q \ q \rrbracket \implies \text{rel-spmf } (R \restriction P \otimes Q) \ p \ q$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-restrict-relpE* [*elim?*]:

**assumes**  $\text{rel-spmf } (R \restriction P \otimes Q) \ x \ y$   
**obtains**  $\text{rel-spmf } R \ x \ y \ \text{pred-spmf } P \ x \ \text{pred-spmf } Q \ y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-restrict-relp-iff*:

$$\text{rel-spmf } (R \upharpoonright P \otimes Q) x y \longleftrightarrow \text{rel-spmf } R x y \wedge \text{pred-spmf } P x \wedge \text{pred-spmf } Q y$$

*<proof>*

**lemma** *spmf-pred-map*:  $\text{pred-spmf } P (\text{map-spmf } f p) = \text{pred-spmf } (P \circ f) p$

*<proof>*

**lemma** *pred-spmf-bind [simp]*:  $\text{pred-spmf } P (\text{bind-spmf } p f) = \text{pred-spmf } (\text{pred-spmf } P \circ f) p$

*<proof>*

**lemma** *pred-spmf-return*:  $\text{pred-spmf } P (\text{return-spmf } x) = P x$

*<proof>*

**lemma** *pred-spmf-return-pmf-None*:  $\text{pred-spmf } P (\text{return-pmf } \text{None})$

*<proof>*

**lemma** *pred-spmf-spmf-of-pmf [simp]*:  $\text{pred-spmf } P (\text{spmf-of-pmf } p) = \text{pred-pmf } P p$

*<proof>*

**lemma** *pred-spmf-of-set [simp]*:  $\text{pred-spmf } P (\text{spmf-of-set } A) = (\text{finite } A \longrightarrow \text{Ball } A P)$

*<proof>*

**lemma** *pred-spmf-assert-spmf [simp]*:  $\text{pred-spmf } P (\text{assert-spmf } b) = (b \longrightarrow P ())$

*<proof>*

**lemma** *pred-spmf-pair [simp]*:

$$\text{pred-spmf } P (\text{pair-spmf } p q) = \text{pred-spmf } (\lambda x. \text{pred-spmf } (P \circ \text{Pair } x) q) p$$

*<proof>*

**lemma** *set-spmf-try [simp]*:

$$\text{set-spmf } (\text{try-spmf } p q) = \text{set-spmf } p \cup (\text{if lossless-spmf } p \text{ then } \{\} \text{ else set-spmf } q)$$

*<proof>*

**lemma** *try-spmf-bind-out1*:

$$(\bigwedge x. \text{lossless-spmf } (f x)) \implies \text{bind-spmf } (\text{TRY } p \text{ ELSE } q) f = \text{TRY } (\text{bind-spmf } p f) \text{ ELSE } (\text{bind-spmf } q f)$$

*<proof>*

**lemma** *pred-spmf-try [simp]*:

$$\text{pred-spmf } P (\text{try-spmf } p q) = (\text{pred-spmf } P p \wedge (\neg \text{lossless-spmf } p \longrightarrow \text{pred-spmf } P q))$$

*<proof>*

**lemma** *pred-spmf-cond [simp]*:

$$\text{pred-spmf } P (\text{cond-spmf } p A) = \text{pred-spmf } (\lambda x. x \in A \longrightarrow P x) p$$

$\langle \text{proof} \rangle$

**lemma** *spmf-rel-map-restrict-relp*:

**shows** *spmf-rel-map-restrict-relp1*:  $\text{rel-spmf } (R \upharpoonright P \otimes Q) (\text{map-spmf } f \ p) = \text{rel-spmf } (R \circ f \upharpoonright P \circ f \otimes Q) \ p$

**and** *spmf-rel-map-restrict-relp2*:  $\text{rel-spmf } (R \upharpoonright P \otimes Q) \ p (\text{map-spmf } g \ q) = \text{rel-spmf } ((\lambda x. R \ x \circ g) \upharpoonright P \otimes Q \circ g) \ p \ q$

$\langle \text{proof} \rangle$

**lemma** *pred-spmf-conj*:  $\text{pred-spmf } (\lambda x. P \ x \wedge Q \ x) = (\lambda x. \text{pred-spmf } P \ x \wedge \text{pred-spmf } Q \ x)$

$\langle \text{proof} \rangle$

**lemma** *spmf-of-pmf-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

$(\text{rel-pmf } A ==> \text{rel-spmf } A) \text{ smpf-of-pmf smpf-of-pmf}$

$\langle \text{proof} \rangle$

**lemma** *mono2mono-return-pmf*[*THEN smpf.mono2mono, simp, cont-intro*]:

**shows** *monotone-return-pmf*:  $\text{monotone option-ord } (\text{ord-spmf } (=)) \text{ return-pmf}$

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont-return-pmf*[*THEN smpf.mcont2mcont, simp, cont-intro*]:

**shows** *mcont-return-pmf*:  $\text{mcont } (\text{flat-lub None}) \text{ option-ord lub-spmf } (\text{ord-spmf } (=)) \text{ return-pmf}$

$\langle \text{proof} \rangle$

**lemma** *pred-spmf-top*:

$\text{pred-spmf } (\lambda -. \text{True}) = (\lambda -. \text{True})$

$\langle \text{proof} \rangle$

**lemma** *rel-spmf-restrict-relpI'* [*intro?*]:

$\llbracket \text{rel-spmf } (\lambda x \ y. P \ x \longrightarrow Q \ y \longrightarrow R \ x \ y) \ p \ q; \text{pred-spmf } P \ p; \text{pred-spmf } Q \ q \rrbracket \implies \text{rel-spmf } (R \upharpoonright P \otimes Q) \ p \ q$

$\langle \text{proof} \rangle$

**lemma** *set-spmf-map-pmf-MATCH* [*simp*]:

**assumes** *NO-MATCH* (*map-option* *g*) *f*

**shows**  $\text{set-spmf } (\text{map-pmf } f \ p) = (\bigcup x \in \text{set-pmf } p. \text{set-option } (f \ x))$

$\langle \text{proof} \rangle$

**lemma** *rel-spmf-bindI'*:

$\llbracket \text{rel-spmf } A \ p \ q; \bigwedge x \ y. \llbracket A \ x \ y; x \in \text{set-spmf } p; y \in \text{set-spmf } q \rrbracket \implies \text{rel-spmf } B \ (f \ x) \ (g \ y) \rrbracket$

$\implies \text{rel-spmf } B \ (p \ggg f) \ (q \ggg g)$

$\langle \text{proof} \rangle$

**definition** *rel-witness-spmf* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ smpf} \times 'b \text{ smpf} \Rightarrow ('a \times 'b) \text{ smpf}$  **where**



$rel\text{-}witness\text{-}spmf\ A = map\text{-}pmf\ rel\text{-}witness\text{-}option \circ rel\text{-}witness\text{-}pmf\ (rel\text{-}option\ A)$

**lemma** *assumes*  $rel\text{-}spmf\ A\ p\ q$

**shows**  $rel\text{-}witness\text{-}spmf1: rel\text{-}spmf\ (\lambda a\ (a', b). a = a' \wedge A\ a'\ b)\ p\ (rel\text{-}witness\text{-}spmf\ A\ (p, q))$

**and**  $rel\text{-}witness\text{-}spmf2: rel\text{-}spmf\ (\lambda(a, b'). b. b = b' \wedge A\ a\ b')\ (rel\text{-}witness\text{-}spmf\ A\ (p, q))\ q$

$\langle proof \rangle$

**lemma**  $weight\text{-}assert\text{-}spmf\ [simp]: weight\text{-}spmf\ (assert\text{-}spmf\ b) = indicator\ \{True\}\ b$

$\langle proof \rangle$

**definition**  $enforce\text{-}spmf :: ('a \Rightarrow bool) \Rightarrow 'a\ smpf \Rightarrow 'a\ smpf$  **where**

$enforce\text{-}spmf\ P = map\text{-}pmf\ (enforce\text{-}option\ P)$

**lemma**  $enforce\text{-}spmf\text{-}parametric\ [transfer\text{-}rule]: includes\ lifting\text{-}syntax\ shows$

$((A ==> (=)) ==> rel\text{-}spmf\ A ==> rel\text{-}spmf\ A)\ enforce\text{-}spmf\ enforce\text{-}spmf$

$\langle proof \rangle$

**lemma**  $enforce\text{-}return\text{-}spmf\ [simp]:$

$enforce\text{-}spmf\ P\ (return\text{-}spmf\ x) = (if\ P\ x\ then\ return\text{-}spmf\ x\ else\ return\text{-}pmf\ None)$

$\langle proof \rangle$

**lemma**  $enforce\text{-}return\text{-}pmf\text{-}None\ [simp]:$

$enforce\text{-}spmf\ P\ (return\text{-}pmf\ None) = return\text{-}pmf\ None$

$\langle proof \rangle$

**lemma**  $enforce\text{-}map\text{-}spmf:$

$enforce\text{-}spmf\ P\ (map\text{-}spmf\ f\ p) = map\text{-}spmf\ f\ (enforce\text{-}spmf\ (P \circ f)\ p)$

$\langle proof \rangle$

**lemma**  $enforce\text{-}bind\text{-}spmf\ [simp]:$

$enforce\text{-}spmf\ P\ (bind\text{-}spmf\ p\ f) = bind\text{-}spmf\ p\ (enforce\text{-}spmf\ P \circ f)$

$\langle proof \rangle$

**lemma**  $set\text{-}enforce\text{-}spmf\ [simp]: set\text{-}spmf\ (enforce\text{-}spmf\ P\ p) = \{a \in set\text{-}spmf\ p.$

$P\ a\}$

$\langle proof \rangle$

**lemma**  $enforce\text{-}spmf\text{-}alt\text{-}def:$

$enforce\text{-}spmf\ P\ p = bind\text{-}spmf\ p\ (\lambda a. bind\text{-}spmf\ (assert\text{-}spmf\ (P\ a))\ (\lambda\text{-} :: unit. return\text{-}spmf\ a))$

$\langle proof \rangle$

**lemma**  $bind\text{-}enforce\text{-}spmf\ [simp]:$

$bind\text{-}spmf\ (enforce\text{-}spmf\ P\ p)\ f = bind\text{-}spmf\ p\ (\lambda x. if\ P\ x\ then\ f\ x\ else\ return\text{-}pmf\ None)$

$\langle \text{proof} \rangle$

**lemma** *weight-enforce-spmf*:

$\text{weight-spmf } (\text{enforce-spmf } P \ p) = \text{weight-spmf } p - \text{measure } (\text{measure-spmf } p)$   
 $\{x. \neg P \ x\} \text{ (is ?lhs = ?rhs)}$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-enforce-spmf [simp]*:

$\text{lossless-spmf } (\text{enforce-spmf } P \ p) \longleftrightarrow \text{lossless-spmf } p \wedge \text{set-spmf } p \subseteq \{x. P \ x\}$   
 $\langle \text{proof} \rangle$

**lemma** *enforce-spmf-top [simp]*:  $\text{enforce-spmf } \top = \text{id}$

$\langle \text{proof} \rangle$

**lemma** *enforce-spmf-K-True [simp]*:  $\text{enforce-spmf } (\lambda-. \text{True}) \ p = p$

$\langle \text{proof} \rangle$

**lemma** *enforce-spmf-bot [simp]*:  $\text{enforce-spmf } \perp = (\lambda-. \text{return-pmf None})$

$\langle \text{proof} \rangle$

**lemma** *enforce-spmf-K-False [simp]*:  $\text{enforce-spmf } (\lambda-. \text{False}) \ p = \text{return-pmf None}$

$\langle \text{proof} \rangle$

**lemma** *enforce-pred-id-spmf*:  $\text{enforce-spmf } P \ p = p \text{ if } \text{pred-spmf } P \ p$

$\langle \text{proof} \rangle$

**lemma** *map-the-spmf-of-pmf [simp]*:  $\text{map-pmf the } (\text{spmof-of-pmf } p) = p$

$\langle \text{proof} \rangle$

**lemma** *bind-bind-conv-pair-spmf*:

$\text{bind-spmf } p \ (\lambda x. \text{bind-spmf } q \ (f \ x)) = \text{bind-spmf } (\text{pair-spmf } p \ q) \ (\lambda(x, y). f \ x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *cond-spmf-spmf-of-set*:

$\text{cond-spmf } (\text{spmof-of-set } A) \ B = \text{spmof-of-set } (A \cap B) \text{ if finite } A$   
 $\langle \text{proof} \rangle$

**lemma** *pair-spmf-of-set*:

$\text{pair-spmf } (\text{spmof-of-set } A) \ (\text{spmof-of-set } B) = \text{spmof-of-set } (A \times B)$   
 $\langle \text{proof} \rangle$

**lemma** *emeasure-cond-spmf*:

$\text{emeasure } (\text{measure-spmf } (\text{cond-spmf } p \ A)) \ B = \text{emeasure } (\text{measure-spmf } p) \ (A \cap B) / \text{emeasure } (\text{measure-spmf } p) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *measure-cond-spmf*:

$\text{measure } (\text{measure-spmf } (\text{cond-spmf } p \ A)) \ B = \text{measure } (\text{measure-spmf } p) \ (A \cap B) / \text{measure } (\text{measure-spmf } p) \ A$

$\langle \text{proof} \rangle$

**lemma** *lossless-cond-spmf [simp]: lossless-spmf (cond-spmf p A)  $\longleftrightarrow$  set-spmf p  $\cap$  A  $\neq$   $\{\}$*   
 $\langle \text{proof} \rangle$

**lemma** *measure-spmf-eq-density: measure-spmf p = density (count-space UNIV) (spmf p)*  
 $\langle \text{proof} \rangle$

**lemma** *integral-measure-spmf:*  
**fixes**  $f :: 'a \Rightarrow 'b :: \{\text{banach}, \text{second-countable-topology}\}$   
**assumes**  $A$ : *finite A*  
**shows**  $(\bigwedge a. a \in \text{set-spmf } M \implies f\ a \neq 0 \implies a \in A) \implies (\text{LINT } x | \text{measure-spmf } M. f\ x) = (\sum_{a \in A. \text{spmf } M\ a *_{\mathbb{R}} f\ a)$   
 $\langle \text{proof} \rangle$

**lemma** *image-set-spmf-eq:*  
 $f \text{ ' set-spmf } p = g \text{ ' set-spmf } q$  **if** *ASSUMPTION (map-spmf f p = map-spmf g q)*  
 $\langle \text{proof} \rangle$

**lemma** *map-spmf-const: map-spmf ( $\lambda \cdot. x$ ) p = scale-spmf (weight-spmf p) (return-spmf x)*  
 $\langle \text{proof} \rangle$

**lemma** *cond-return-pmf [simp]: cond-pmf (return-pmf x) A = return-pmf x if  $x \in A$*   
 $\langle \text{proof} \rangle$

**lemma** *cond-return-spmf [simp]: cond-spmf (return-spmf x) A = (if  $x \in A$  then return-spmf x else return-pmf None)*  
 $\langle \text{proof} \rangle$

**lemma** *measure-range-Some-eq-weight:*  
 $\text{measure } (\text{measure-pmf } p) (\text{range } \text{Some}) = \text{weight-spmf } p$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-spmf-eq-return-pmf-None [simp]:*  
 $\text{restrict-spmf } p\ A = \text{return-pmf } \text{None} \longleftrightarrow \text{set-spmf } p \cap A = \{\}$   
 $\langle \text{proof} \rangle$

**definition** *mk-lossless :: 'a spmf  $\Rightarrow$  'a spmf where*  
 $\text{mk-lossless } p = \text{scale-spmf } (\text{inverse } (\text{weight-spmf } p))\ p$

**lemma** *mk-lossless-idem [simp]: mk-lossless (mk-lossless p) = mk-lossless p*  
 $\langle \text{proof} \rangle$

**lemma** *mk-lossless-return* [simp]: *mk-lossless* (return-pmf *x*) = return-pmf *x*  
 ⟨proof⟩

**lemma** *mk-lossless-map* [simp]: *mk-lossless* (map-spmf *f* *p*) = map-spmf *f* (*mk-lossless* *p*)  
 ⟨proof⟩

**lemma** *spmfmk-lossless* [simp]: *spmfmk-lossless* (*mk-lossless* *p*) *x* = *spmfmk-lossless* *p* *x* / *weight-spmf* *p*  
 ⟨proof⟩

**lemma** *set-spmfmk-lossless* [simp]: *set-spmfmk-lossless* (*mk-lossless* *p*) = *set-spmfmk-lossless* *p*  
 ⟨proof⟩

**lemma** *mk-lossless-lossless* [simp]: *lossless-spmfmk-lossless* *p*  $\implies$  *mk-lossless* *p* = *p*  
 ⟨proof⟩

**lemma** *mk-lossless-eq-return-pmf-None* [simp]: *mk-lossless* *p* = return-pmf None  $\iff$  *p* = return-pmf None  
 ⟨proof⟩

**lemma** *return-pmf-None-eq-mk-lossless* [simp]: return-pmf None = *mk-lossless* *p*  $\iff$  *p* = return-pmf None  
 ⟨proof⟩

**lemma** *mk-lossless-spmfmk-of-set* [simp]: *mk-lossless* (spmfmk-of-set *A*) = *spmfmk-of-set* *A*  
 ⟨proof⟩

**lemma** *weight-mk-lossless*: *weight-spmfmk-lossless* (*mk-lossless* *p*) = (if *p* = return-pmf None then 0 else 1)  
 ⟨proof⟩

**lemma** *mk-lossless-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**  
 (*rel-spmfmk* *A*  $\implies$  *rel-spmfmk* *A*) *mk-lossless* *mk-lossless*  
 ⟨proof⟩

**lemma** *rel-spmfmk-mk-losslessI*:  
*rel-spmfmk* *A* *p* *q*  $\implies$  *rel-spmfmk* *A* (*mk-lossless* *p*) (*mk-lossless* *q*)  
 ⟨proof⟩

**lemma** *rel-spmfmk-restrict-spmfmkI*:  
*rel-spmfmk* ( $\lambda x y. (x \in A \wedge y \in B \wedge R x y) \vee x \notin A \wedge y \notin B$ ) *p* *q*  
 $\implies$  *rel-spmfmk* *R* (*restrict-spmfmk* *p* *A*) (*restrict-spmfmk* *q* *B*)  
 ⟨proof⟩

**lemma** *cond-spmfmk-alt*: *cond-spmfmk* *p* *A* = *mk-lossless* (*restrict-spmfmk* *p* *A*)  
 ⟨proof⟩

**lemma** *cond-spmf-bind*:

*cond-spmf (bind-spmf p f) A = mk-lossless (p  $\gg$  ( $\lambda x. f x \mid A$ ))*

*<proof>*

**lemma** *cond-spmf-UNIV [simp]*: *cond-spmf p UNIV = mk-lossless p*

*<proof>*

**lemma** *cond-pmf-singleton*:

*cond-pmf p A = return-pmf x if set-pmf p  $\cap$  A = {x}*

*<proof>*

**definition** *cond-spmf-fst* :: (*'a*  $\times$  *'b*) *spmf*  $\Rightarrow$  *'a*  $\Rightarrow$  *'b* *spmf* **where**

*cond-spmf-fst p a = map-spmf snd (cond-spmf p ({a}  $\times$  UNIV))*

**lemma** *cond-spmf-fst-return-spmf [simp]*:

*cond-spmf-fst (return-spmf (x, y)) x = return-spmf y*

*<proof>*

**lemma** *cond-spmf-fst-map-Pair [simp]*: *cond-spmf-fst (map-spmf (Pair x) p) x = mk-lossless p*

*<proof>*

**lemma** *cond-spmf-fst-map-Pair' [simp]*: *cond-spmf-fst (map-spmf ( $\lambda y. (x, f y)$ ) p) x = map-spmf f (mk-lossless p)*

*<proof>*

**lemma** *cond-spmf-fst-eq-return-None [simp]*: *cond-spmf-fst p x = return-pmf None*

$\longleftrightarrow x \notin \text{fst } \text{' set-spmf p}$

*<proof>*

**lemma** *cond-spmf-fst-map-Pair1*:

*cond-spmf-fst (map-spmf ( $\lambda x. (f x, g x)$ ) p) (f x) = return-spmf (g (inv-into (set-spmf p) f (f x)))*

**if** *x  $\in$  set-spmf p inj-on f (set-spmf p)*

*<proof>*

**lemma** *lossless-cond-spmf-fst [simp]*: *lossless-spmf (cond-spmf-fst p x)  $\longleftrightarrow x \in \text{fst ' set-spmf p}$*

*<proof>*

**lemma** *cond-spmf-fst-inverse*:

*bind-spmf (map-spmf fst p) ( $\lambda x. \text{map-spmf (Pair x) (cond-spmf-fst p x)}$ ) = p*

**(is ?lhs = ?rhs)**

*<proof>*

### 1.21.1 Embedding of 'a option into 'a spmf

This theoretically follows from the embedding between - *Monomorphic-Monad.id* into - *prob* and the isomorphism between (-, - *prob*) *optionT* and - *spmf*, but we would only get the monomorphic version via this connection. So we do it directly.

**lemma** *bind-option-spmf-monad* [simp]: *monad.bind-option (return-pmf None) x = bind-spmf (return-pmf x)*  
 <proof>

**locale** *option-to-spmf* **begin**

We have to get the embedding into the lifting package such that we can use the parametrisation of transfer rules.

**definition** *the-pmf* :: 'a pmf  $\Rightarrow$  'a **where** *the-pmf* p = (*THE* x. p = *return-pmf* x)

**lemma** *the-pmf-return* [simp]: *the-pmf (return-pmf x) = x*  
 <proof>

**lemma** *type-definition-option-spmf*: *type-definition return-pmf the-pmf {x.  $\exists y ::$  'a option. x = return-pmf y}*  
 <proof>

**context** **begin**

**private setup-lifting** *type-definition-option-spmf*

**abbreviation** *cr-spmf-option* **where** *cr-spmf-option*  $\equiv$  *cr-option*

**abbreviation** *pcr-spmf-option* **where** *pcr-spmf-option*  $\equiv$  *pcr-option*

**lemmas** *Quotient-spmf-option = Quotient-option*

**and** *cr-spmf-option-def = cr-option-def*

**and** *pcr-spmf-option-bi-unique = option.bi-unique*

**and** *Domainp-pcr-spmf-option = option.domain*

**and** *Domainp-pcr-spmf-option-eq = option.domain-eq*

**and** *Domainp-pcr-spmf-option-par = option.domain-par*

**and** *Domainp-pcr-spmf-option-left-total = option.domain-par-left-total*

**and** *pcr-spmf-option-left-unique = option.left-unique*

**and** *pcr-spmf-option-cr-eq = option.pcr-cr-eq*

**and** *pcr-spmf-option-return-pmf-transfer = option.rep-transfer*

**and** *pcr-spmf-option-right-total = option.right-total*

**and** *pcr-spmf-option-right-unique = option.right-unique*

**and** *pcr-spmf-option-def = pcr-option-def*

**bundle** *spmf-option-lifting* = [[*Lifting.lifting-restore-internal Misc-CryptHOL.option.lifting*]]  
**end**

**context** **includes** *lifting-syntax* **begin**

**lemma** *return-option-spmf-transfer* [*transfer-parametric return-spmf-parametric, transfer-rule*]:

$((=) \implies \text{cr-spmf-option}) \text{ return-spmf Some}$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-spmf-transfer* [*transfer-parametric map-spmf-parametric, transfer-rule*]:  
 $((=) \implies (=)) \implies \text{cr-spmf-option} \implies \text{cr-spmf-option}) \text{ map-spmf map-option}$   
 $\langle \text{proof} \rangle$

**lemma** *fail-option-spmf-transfer* [*transfer-parametric return-spmf-None-parametric, transfer-rule*]:  
 $\text{cr-spmf-option (return-pmf None) None}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-option-spmf-transfer* [*transfer-parametric bind-spmf-parametric, transfer-rule*]:  
 $(\text{cr-spmf-option} \implies ((=) \implies \text{cr-spmf-option}) \implies \text{cr-spmf-option})$   
 $\text{bind-spmf Option.bind}$   
 $\langle \text{proof} \rangle$

**lemma** *set-option-spmf-transfer* [*transfer-parametric set-spmf-parametric, transfer-rule*]:  
 $(\text{cr-spmf-option} \implies \text{rel-set } (=)) \text{ set-spmf set-option}$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-spmf-transfer* [*transfer-parametric rel-spmf-parametric, transfer-rule*]:  
 $((=) \implies (=) \implies (=)) \implies \text{cr-spmf-option} \implies \text{cr-spmf-option}$   
 $\implies (=) \text{ rel-spmf rel-option}$   
 $\langle \text{proof} \rangle$

**end**

**end**

**locale** *option-le-spmf* **begin**

Embedding where only successful computations in the option monad are related to Dirac spmf.

**definition** *cr-option-le-spmf* ::  $'a \text{ option} \Rightarrow 'a \text{ spmf} \Rightarrow \text{bool}$   
**where**  $\text{cr-option-le-spmf } x \text{ } p \longleftrightarrow \text{ord-spmf } (=) (\text{return-pmf } x) \text{ } p$

**context** *includes lifting-syntax* **begin**

**lemma** *return-option-le-spmf-transfer* [*transfer-rule*]:  
 $((=) \implies \text{cr-option-le-spmf}) (\lambda x. x) \text{ return-pmf}$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-le-spmf-transfer* [*transfer-rule*]:  
 $((=) \implies (=)) \implies \text{cr-option-le-spmf} \implies \text{cr-option-le-spmf}) \text{ map-option}$

*map-spmf*  
 $\langle \text{proof} \rangle$

**lemma** *bind-option-le-spmf-transfer* [*transfer-rule*]:  
 $(\text{cr-option-le-spmf} ==> ((=) ==> \text{cr-option-le-spmf}) ==> \text{cr-option-le-spmf})$   
*Option.bind bind-spmf*  
 $\langle \text{proof} \rangle$

**end**

**end**

**interpretation** *rel-spmf-characterisation*  $\langle \text{proof} \rangle$

**lemma** *if-distrib-bind-spmf1* [*if-distrib*]:  
 $\text{bind-spmf } (if\ b\ then\ x\ else\ y)\ f = (if\ b\ then\ \text{bind-spmf } x\ f\ else\ \text{bind-spmf } y\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *if-distrib-bind-spmf2* [*if-distrib*]:  
 $\text{bind-spmf } x\ (\lambda y. if\ b\ then\ f\ y\ else\ g\ y) = (if\ b\ then\ \text{bind-spmf } x\ f\ else\ \text{bind-spmf } x\ g)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-if-distrib* [*if-distrib*]:  
 $\text{rel-spmf } R\ (if\ b\ then\ x\ else\ y)\ (if\ b\ then\ x'\ else\ y') \longleftrightarrow$   
 $(b \longrightarrow \text{rel-spmf } R\ x\ x') \wedge (\neg b \longrightarrow \text{rel-spmf } R\ y\ y')$   
 $\langle \text{proof} \rangle$

**lemma** *if-distrib-map-spmf* [*if-distrib*]:  
 $\text{map-spmf } f\ (if\ b\ then\ p\ else\ q) = (if\ b\ then\ \text{map-spmf } f\ p\ else\ \text{map-spmf } f\ q)$   
 $\langle \text{proof} \rangle$

**lemma** *if-distrib-restrict-spmf1* [*if-distrib*]:  
 $\text{restrict-spmf } (if\ b\ then\ p\ else\ q)\ A = (if\ b\ then\ \text{restrict-spmf } p\ A\ else\ \text{restrict-spmf } q\ A)$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Set-Applicative* **imports**  
*Applicative-Lifting.Applicative-Set*  
**begin**

## 1.22 Applicative instance for 'a set

**lemma** *ap-set-conv-bind*:  $\text{ap-set } f\ x = \text{Set.bind } f\ (\lambda f. \text{Set.bind } x\ (\lambda x. \{f\ x\}))$   
 $\langle \text{proof} \rangle$

**context** **includes** *applicative-syntax* **begin**



**lemma** *in-ap-setI*:  $\llbracket f' \in f; x' \in x \rrbracket \implies f' x' \in f \diamond x$   
 $\langle proof \rangle$

**lemma** *in-ap-setE* [*elim!*]:  
 $\llbracket x \in f \diamond y; \bigwedge f' y'. \llbracket x = f' y'; f' \in f; y' \in y \rrbracket \implies thesis \rrbracket \implies thesis$   
 $\langle proof \rangle$

**lemma** *in-ap-pure-set* [*iff*]:  $x \in \{f\} \diamond y \longleftrightarrow (\exists y' \in y. x = f y')$   
 $\langle proof \rangle$

**end**

**end**

**theory** *SPMF-Applicative* **imports**  
*Applicative-Lifting.Applicative-PMF*  
*Set-Applicative*  
*HOL-Probability.SPMF*  
**begin**

**declare** *eq-on-def* [*simp del*]

### 1.23 Applicative instance for *'a* *spmf*

**abbreviation** (*input*) *pure-spmf* :: *'a*  $\Rightarrow$  *'a* *spmf*  
**where** *pure-spmf*  $\equiv$  *return-spmf*

**definition** *ap-spmf* :: (*'a*  $\Rightarrow$  *'b*) *spmf*  $\Rightarrow$  *'a* *spmf*  $\Rightarrow$  *'b* *spmf*  
**where** *ap-spmf* *f* *x* = *map-spmf* ( $\lambda(f, x). f x$ ) (*pair-spmf* *f* *x*)

**lemma** *ap-spmf-conv-bind*: *ap-spmf* *f* *x* = *bind-spmf* *f* ( $\lambda f. \text{bind-spmf } x (\lambda x. \text{return-spmf } (f x))$ )  
 $\langle proof \rangle$

**adhoc-overloading** *Applicative.ap*  $\equiv$  *ap-spmf*

**context** **includes** *applicative-syntax* **begin**

**lemma** *ap-spmf-id*: *pure-spmf* ( $\lambda x. x$ )  $\diamond x = x$   
 $\langle proof \rangle$

**lemma** *ap-spmf-comp*: *pure-spmf* ( $\circ$ )  $\diamond u \diamond v \diamond w = u \diamond (v \diamond w)$   
 $\langle proof \rangle$

**lemma** *ap-spmf-homo*: *pure-spmf* *f*  $\diamond \text{pure-spmf } x = \text{pure-spmf } (f x)$   
 $\langle proof \rangle$

**lemma** *ap-spmf-interchange*:  $u \diamond \text{pure-spmf } x = \text{pure-spmf } (\lambda f. f x) \diamond u$   
 $\langle proof \rangle$

**lemma** *ap-spmf-C*: *return-spmf*  $(\lambda f\ x\ y. f\ y\ x) \diamond f \diamond x \diamond y = f \diamond y \diamond x$   
 $\langle proof \rangle$

**applicative** *spmf* (*C*)  
**for**

*pure*: *pure-spmf*  
  *ap*: *ap-spmf*  
 $\langle proof \rangle$

**lemma** *set-ap-spmf* [*simp*]: *set-spmf*  $(p \diamond q) = set-spmf\ p \diamond set-spmf\ q$   
 $\langle proof \rangle$

**lemma** *bind-ap-spmf*: *bind-spmf*  $(p \diamond x)\ f = bind-spmf\ p\ (\lambda p. x \gg= (\lambda x. f\ (p\ x)))$   
 $\langle proof \rangle$

**lemma** *bind-pmf-ap-return-spmf* [*simp*]: *bind-pmf*  $(ap-spmf\ (return-spmf\ f)\ p)\ g = bind-pmf\ p\ (g \circ map-option\ f)$   
 $\langle proof \rangle$

**lemma** *map-spmf-conv-ap* [*applicative-unfold*]: *map-spmf*  $f\ p = return-spmf\ f \diamond p$   
 $\langle proof \rangle$

**end**

**end**

## 1.24 Exclusive or on lists

**theory** *List-Bits* **imports** *Misc-CryptHOL* **begin**

**definition** *xor* ::  $'a \Rightarrow 'a \Rightarrow 'a :: \{uminus, inf, sup\}$  (**infixr**  $\langle \oplus \rangle$  67)  
**where**  $x \oplus y = inf\ (sup\ x\ y)\ (-\ (inf\ x\ y))$

**lemma** *xor-bool-def* [*iff*]: **fixes**  $x\ y :: bool$  **shows**  $x \oplus y \longleftrightarrow x \neq y$   
 $\langle proof \rangle$

**lemma** *xor-commute*:  
  **fixes**  $x\ y :: 'a :: \{semilattice-sup, semilattice-inf, uminus\}$   
  **shows**  $x \oplus y = y \oplus x$   
 $\langle proof \rangle$

**lemma** *xor-assoc*:  
  **fixes**  $x\ y :: 'a :: boolean-algebra$   
  **shows**  $(x \oplus y) \oplus z = x \oplus (y \oplus z)$   
 $\langle proof \rangle$

**lemma** *xor-left-commute*:  
  **fixes**  $x\ y :: 'a :: boolean-algebra$   
  **shows**  $x \oplus (y \oplus z) = y \oplus (x \oplus z)$

$\langle proof \rangle$

**lemma**  $[simp]$ :  
**fixes**  $x :: 'a :: boolean-algebra$   
**shows**  $xor-bot: x \oplus bot = x$   
**and**  $bot-xor: bot \oplus x = x$   
**and**  $xor-top: x \oplus top = \neg x$   
**and**  $top-xor: top \oplus x = \neg x$   
 $\langle proof \rangle$

**lemma**  $xor-inverse [simp]$ :  
**fixes**  $x :: 'a :: boolean-algebra$   
**shows**  $x \oplus x = bot$   
 $\langle proof \rangle$

**lemma**  $xor-left-inverse [simp]$ :  
**fixes**  $x :: 'a :: boolean-algebra$   
**shows**  $x \oplus x \oplus y = y$   
 $\langle proof \rangle$

**lemmas**  $xor-ac = xor-assoc xor-commute xor-left-commute$

**definition**  $xor-list :: 'a :: \{uminus, inf, sup\} list \Rightarrow 'a list \Rightarrow 'a list$  (**infixr**  $\langle [\oplus] \rangle$  67)  
**where**  $xor-list\ xs\ ys = map\ (case-prod\ (\oplus))\ (zip\ xs\ ys)$

**lemma**  $xor-list-unfold$ :  
 $xs\ [\oplus]\ ys = (case\ xs\ of\ [] \Rightarrow [] \mid x \# xs' \Rightarrow (case\ ys\ of\ [] \Rightarrow [] \mid y \# ys' \Rightarrow x \oplus y \# xs' [\oplus] ys'))$   
 $\langle proof \rangle$

**lemma**  $xor-list-commute$ : **fixes**  $xs\ ys :: 'a :: \{semilattice-sup, semilattice-inf, uminus\} list$   
**shows**  $xs\ [\oplus]\ ys = ys\ [\oplus]\ xs$   
 $\langle proof \rangle$

**lemma**  $xor-list-assoc [simp]$ :  
**fixes**  $xs\ ys :: 'a :: boolean-algebra list$   
**shows**  $(xs\ [\oplus]\ ys)\ [\oplus]\ zs = xs\ [\oplus]\ (ys\ [\oplus]\ zs)$   
 $\langle proof \rangle$

**lemma**  $xor-list-left-commute$ :  
**fixes**  $xs\ ys\ zs :: 'a :: boolean-algebra list$   
**shows**  $xs\ [\oplus]\ (ys\ [\oplus]\ zs) = ys\ [\oplus]\ (xs\ [\oplus]\ zs)$   
 $\langle proof \rangle$

**lemmas**  $xor-list-ac = xor-list-assoc xor-list-commute xor-list-left-commute$

```

lemma xor-list-inverse [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows xs [⊕] xs = replicate (length xs) bot
  ⟨proof⟩

lemma xor-replicate-bot-right [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows [ length xs ≤ n; x = bot ] ⇒ xs [⊕] replicate n x = xs
  ⟨proof⟩

lemma xor-replicate-bot-left [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows [ length xs ≤ n; x = bot ] ⇒ replicate n x [⊕] xs = xs
  ⟨proof⟩

lemma xor-list-left-inverse [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows length ys ≤ length xs ⇒ xs [⊕] (xs [⊕] ys) = ys
  ⟨proof⟩

lemma length-xor-list [simp]: length (xor-list xs ys) = min (length xs) (length ys)
  ⟨proof⟩

lemma inj-on-xor-list-nlists [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows n ≤ length xs ⇒ inj-on (xor-list xs) (nlists UNIV n)
  ⟨proof⟩

lemma one-time-pad:
  fixes xs :: - :: boolean-algebra list
  shows length xs ≥ n ⇒ map-spmf (xor-list xs) (spm-of-set (nlists UNIV n))
    = spm-of-set (nlists UNIV n)
  ⟨proof⟩

end
theory Environment-Function imports
  Applicative-Lifting.Applicative-Environment
begin

```

## 1.25 The environment functor

```

type-synonym ('i, 'a) envir = 'i ⇒ 'a

lemma const-apply [simp]: const x i = x
  ⟨proof⟩

context includes applicative-syntax begin

lemma ap-envir-apply [simp]: (f ◊ x) i = f i (x i)

```

$\langle \text{proof} \rangle$

**definition**  $\text{all-envir} :: ('i, \text{bool}) \text{envir} \Rightarrow \text{bool}$   
**where**  $\text{all-envir } p \longleftrightarrow (\forall x. p \ x)$

**lemma**  $\text{all-envirI} \ [Pure.intro!, \text{intro!}]: (\bigwedge x. p \ x) \Longrightarrow \text{all-envir } p$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{all-envirE} \ [Pure.elim \ 2, \text{elim}]: \text{all-envir } p \Longrightarrow (p \ x \Longrightarrow \text{thesis}) \Longrightarrow \text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{all-envirD}: \text{all-envir } p \Longrightarrow p \ x$   
 $\langle \text{proof} \rangle$

**definition**  $\text{pred-envir} :: ('a \Rightarrow \text{bool}) \Rightarrow ('i, 'a) \text{envir} \Rightarrow \text{bool}$   
**where**  $\text{pred-envir } p \ f = \text{all-envir } (\text{const } p \diamond f)$

**lemma**  $\text{pred-envir-conv}: \text{pred-envir } p \ f \longleftrightarrow (\forall x. p \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-envirI} \ [Pure.intro!, \text{intro!}]: (\bigwedge x. p \ (f \ x)) \Longrightarrow \text{pred-envir } p \ f$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-envirD}: \text{pred-envir } p \ f \Longrightarrow p \ (f \ x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-envirE} \ [Pure.elim \ 2, \text{elim}]: \text{pred-envir } p \ f \Longrightarrow (p \ (f \ x) \Longrightarrow \text{thesis}) \Longrightarrow \text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-envir-mono}: \llbracket \text{pred-envir } p \ f; \bigwedge x. p \ (f \ x) \Longrightarrow q \ (g \ x) \rrbracket \Longrightarrow \text{pred-envir } q \ g$   
 $\langle \text{proof} \rangle$

**definition**  $\text{rel-envir} :: ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('i, 'a) \text{envir} \Rightarrow ('i, 'b) \text{envir} \Rightarrow \text{bool}$   
**where**  $\text{rel-envir } p \ f \ g \longleftrightarrow \text{all-envir } (\text{const } p \diamond f \diamond g)$

**lemma**  $\text{rel-envir-conv}: \text{rel-envir } p \ f \ g \longleftrightarrow (\forall x. p \ (f \ x) \ (g \ x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-envir-conv-rel-fun}: \text{rel-envir} = \text{rel-fun } (=)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-envirI} \ [Pure.intro!, \text{intro!}]: (\bigwedge x. p \ (f \ x) \ (g \ x)) \Longrightarrow \text{rel-envir } p \ f \ g$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-envirD}: \text{rel-envir } p \ f \ g \Longrightarrow p \ (f \ x) \ (g \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-envirE* [*Pure.elim 2, elim*]: *rel-envir p f g*  $\implies$  (*p (f x) (g x)*  $\implies$  *thesis*)  
 $\implies$  *thesis*  
 <proof>

**lemma** *rel-envir-mono*:  $\llbracket \text{rel-envir } p \text{ f g}; \bigwedge x. p \text{ (f x) (g x)} \implies q \text{ (f' x) (g' x)} \rrbracket \implies$   
*rel-envir q f' g'*  
 <proof>

**lemma** *rel-envir-mono1*:  $\llbracket \text{pred-envir } p \text{ f}; \bigwedge x. p \text{ (f x)} \implies q \text{ (f' x) (g' x)} \rrbracket \implies$   
*rel-envir q f' g'*  
 <proof>

**lemma** *pred-envir-mono2*:  $\llbracket \text{rel-envir } p \text{ f g}; \bigwedge x. p \text{ (f x) (g x)} \implies q \text{ (f' x)} \rrbracket \implies$   
*pred-envir q f'*  
 <proof>

**end**

**end**

**theory** *Partial-Function-Set* **imports** *Main* **begin**

## 1.26 Setup for partial-function for sets

**lemma** (**in** *complete-lattice*) *lattice-partial-function-definition*:  
*partial-function-definitions* ( $\leq$ ) *Sup*  
 <proof>

**interpretation** *set*: *partial-function-definitions* ( $\subseteq$ ) *Union*  
 <proof>

**lemma** *fun-lub-Sup*: *fun-lub Sup* = (*Sup* :: -  $\Rightarrow$  - :: *complete-lattice*)  
 <proof>

**lemma** *set-admissible*: *set.admissible* ( $\lambda f :: 'a \Rightarrow 'b \text{ set}. \forall x y. y \in f x \longrightarrow P x y$ )  
 <proof>

**abbreviation** *mono-set*  $\equiv$  *monotone* (*fun-ord* ( $\subseteq$ )) ( $\subseteq$ )

**lemma** *fixp-induct-set-scott*:

**fixes** *F* :: '*c*  $\Rightarrow$  '*c*

**and** *U* :: '*c*  $\Rightarrow$  '*b*  $\Rightarrow$  '*a* *set*

**and** *C* :: ('*b*  $\Rightarrow$  '*a* *set*)  $\Rightarrow$  '*c*

**and** *P* :: '*b*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool*

**and** *x* **and** *y*

**assumes** *mono*:  $\bigwedge x. \text{mono-set } (\lambda f. U \text{ (F (C f)) } x)$

**and** *eq*: *f*  $\equiv$  *C* (*ccpo.fixp* (*fun-lub Sup*) (*fun-ord* ( $\leq$ )) ( $\lambda f. U \text{ (F (C f))}$ ))

**and** *inverse2*:  $\bigwedge f. U (C f) = f$   
**and** *step*:  $\bigwedge f x y. \llbracket \bigwedge x y. y \in U f x \implies P x y; y \in U (F f) x \rrbracket \implies P x y$   
**and** *enforce-variable-ordering*:  $x = x$   
**and** *elem*:  $y \in U f x$   
**shows**  $P x y$   
 $\langle \text{proof} \rangle$

**lemma** *fixp-Sup-le*:  
**defines**  $le \equiv ((\leq) :: - :: \text{complete-lattice} \Rightarrow -)$   
**shows**  $\text{ccpo.fixp Sup } le = \text{ccpo-class.fixp}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-ord-le*:  $\text{fun-ord } (\leq) = (\leq)$   
 $\langle \text{proof} \rangle$

**lemma** *fixp-induct-set*:  
**fixes**  $F :: 'c \Rightarrow 'c$   
**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$   
**and**  $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$   
**and**  $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$   
**and**  $x$  **and**  $y$   
**assumes** *mono*:  $\bigwedge x. \text{mono-set } (\lambda f. U (F (C f)) x)$   
**and** *eq*:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub Sup}) (\text{fun-ord } (\leq))) (\lambda f. U (F (C f)))$   
**and** *inverse2*:  $\bigwedge f. U (C f) = f$   
  
**and** *step*:  $\bigwedge f' x y. \llbracket \bigwedge x. U f' x = U f' x; y \in U (F (C (\text{inf } (U f) (\lambda x. \{y. P x y\})))) x \rrbracket \implies P x y$   
— *partial\_function* requires a quantifier over  $f'$ , so let's have a fake one  
**and** *elem*:  $y \in U f x$   
**shows**  $P x y$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** [*partial-function-mono*]:  
**shows** *insert-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. \text{insert } x (A f))$   
**and** *UNION-mono*:  $\llbracket \text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f) \rrbracket \implies \text{mono-set } (\lambda f. \bigcup_{y \in B} f. C y f)$   
**and** *set-bind-mono*:  $\llbracket \text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f) \rrbracket \implies \text{mono-set } (\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$   
**and** *Un-mono*:  $\llbracket \text{mono-set } A; \text{mono-set } B \rrbracket \implies \text{mono-set } (\lambda f. A f \cup B f)$   
**and** *Int-mono*:  $\llbracket \text{mono-set } A; \text{mono-set } B \rrbracket \implies \text{mono-set } (\lambda f. A f \cap B f)$   
**and** *Diff-mono1*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. A f - X)$   
**and** *image-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. g \text{ ` } A f)$   
**and** *vimage-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. g \text{ -` } A f)$   
 $\langle \text{proof} \rangle$

**partial-function** (*set*) *test* ::  $'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool} \Rightarrow \text{int set}$

**where**

*test xs i j = insert 4 (test [] 0 j ∪ test [] 1 True ∩ test [] 2 False - {5} ∪ uminus  
‘ test [undefined] 0 True ∪ uminus - ‘ test [] 1 False)*

**interpretation** *coset: partial-function-definitions* ( $\supseteq$ ) *Inter*  
 $\langle \text{proof} \rangle$

**lemma** *fun-lub-Inf: fun-lub Inf = (Inf :: -  $\Rightarrow$  - :: complete-lattice)*  
 $\langle \text{proof} \rangle$

**lemma** *fun-ord-ge: fun-ord ( $\geq$ ) = ( $\geq$ )*  
 $\langle \text{proof} \rangle$

**lemma** *coset-admissible: coset.admissible ( $\lambda f :: 'a \Rightarrow 'b \text{ set. } \forall x y. P x y \longrightarrow y \in f x$ )*  
 $\langle \text{proof} \rangle$

**abbreviation** *mono-coset*  $\equiv$  *monotone* (*fun-ord* ( $\supseteq$ )) ( $\supseteq$ )

**lemma** *gfp-eq-fixp:*  
**fixes** *f* :: *'a* :: *complete-lattice*  $\Rightarrow$  *'a*  
**assumes** *f: monotone* ( $\geq$ ) ( $\geq$ ) *f*  
**shows** *gfp f = ccpo.fixp Inf* ( $\geq$ ) *f*  
 $\langle \text{proof} \rangle$

**lemma** *fixp-coinduct-set:*  
**fixes** *F* :: *'c*  $\Rightarrow$  *'c*  
**and** *U* :: *'c*  $\Rightarrow$  *'b*  $\Rightarrow$  *'a set*  
**and** *C* :: (*'b*  $\Rightarrow$  *'a set*)  $\Rightarrow$  *'c*  
**and** *P* :: *'b*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*  
**and** *x* **and** *y*  
**assumes** *mono:  $\bigwedge x. \text{mono-coset } (\lambda f. U (F (C f))) x$*   
**and** *eq: f  $\equiv$  C (ccpo.fixp (fun-lub Inter) (fun-ord ( $\geq$ )) ( $\lambda f. U (F (C f))))$*   
**and** *inverse2:  $\bigwedge f. U (C f) = f$*   
  
**and** *step:  $\bigwedge f' x y. [\bigwedge x. U f' x = U f' x; \neg P x y] \Longrightarrow y \in U (F (C (\sup (\lambda x. \{y. \neg P x y\}) (U f)))) x$*   
— *partial\_function* requires a quantifier over *f'*, so let's have a fake one  
**and** *elem: y  $\notin U f x$*   
**shows** *P x y*  
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**abbreviation** *mono-set'*  $\equiv$  *monotone* (*fun-ord* ( $\supseteq$ )) ( $\supseteq$ )

**lemma** [*partial-function-mono*]:  
**shows** *insert-mono': mono-set' A  $\Longrightarrow$  mono-set' ( $\lambda f. \text{insert } x (A f)$ )*  
**and** *UNION-mono':  $[\text{mono-set' } B; \bigwedge y. \text{mono-set' } (\lambda f. C y f)] \Longrightarrow \text{mono-set'}$*



$(\lambda f. \bigcup_{y \in B} f. C y f)$   
**and** *set-bind-mono'*:  $\llbracket \text{mono-set}' B; \bigwedge y. \text{mono-set}' (\lambda f. C y f) \rrbracket \implies \text{mono-set}'$   
 $(\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$   
**and** *Un-mono'*:  $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cup B f)$   
**and** *Int-mono'*:  $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cap B f)$   
 $\langle \text{proof} \rangle$

**context begin**

**private partial-function** (*coset*) *test2* ::  $\text{nat} \Rightarrow \text{nat set}$

**where** *test2*  $x = \text{insert } x (\text{test2 } (\text{Suc } x))$

**private lemma** *test2-coinduct*:

**assumes**  $P x y$

**and** \*:  $\bigwedge x y. P x y \implies y = x \vee (P (\text{Suc } x) y \vee y \in \text{test2 } (\text{Suc } x))$

**shows**  $y \in \text{test2 } x$

$\langle \text{proof} \rangle$

**end**

**end**

## 2 Negligibility

**theory** *Negligible* **imports**

*Complex-Main*

*Landau-Symbols.Landau-More*

**begin**

**named-theorems** *negligible-intros*

**definition** *negligible* ::  $(\text{nat} \Rightarrow \text{real}) \Rightarrow \text{bool}$

**where** *negligible*  $f \longleftrightarrow (\forall c > 0. f \in o(\lambda x. \text{inverse } (x \text{ powr } c)))$

**lemma** *negligibleI* [*intro?*]:

$(\bigwedge c. c > 0 \implies f \in o(\lambda x. \text{inverse } (x \text{ powr } c))) \implies \text{negligible } f$

$\langle \text{proof} \rangle$

**lemma** *negligibleD*:

$\llbracket \text{negligible } f; c > 0 \rrbracket \implies f \in o(\lambda x. \text{inverse } (x \text{ powr } c))$

$\langle \text{proof} \rangle$

**lemma** *negligibleD-real*:

**assumes** *negligible*  $f$

**shows**  $f \in o(\lambda x. \text{inverse } (x \text{ powr } c))$

$\langle \text{proof} \rangle$

**lemma** *negligible-mono*:  $\llbracket \text{negligible } g; f \in O(g) \rrbracket \implies \text{negligible } f$

$\langle \text{proof} \rangle$

**lemma** *negligible-le*:  $\llbracket \text{negligible } g; \bigwedge \eta. |f \ \eta| \leq g \ \eta \rrbracket \implies \text{negligible } f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-K0* [*negligible-intros, simp, intro!*]: *negligible* ( $\lambda\_. 0$ )  
 $\langle \text{proof} \rangle$

**lemma** *negligible-0* [*negligible-intros, simp, intro!*]: *negligible* 0  
 $\langle \text{proof} \rangle$

**lemma** *negligible-const-iff* [*simp*]: *negligible* ( $\lambda\_. c :: \text{real}$ )  $\longleftrightarrow c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *not-negligible-1*:  $\neg \text{negligible } (\lambda\_. 1 :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-plus* [*negligible-intros*]:  
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda\eta. f \ \eta + g \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-uminus* [*simp*]: *negligible* ( $\lambda\eta. - f \ \eta$ )  $\longleftrightarrow \text{negligible } f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-uminusI* [*negligible-intros*]: *negligible*  $f \implies \text{negligible } (\lambda\eta. - f \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-minus* [*negligible-intros*]:  
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda\eta. f \ \eta - g \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-cmult*: *negligible* ( $\lambda\eta. c * f \ \eta$ )  $\longleftrightarrow \text{negligible } f \vee c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-cmultI* [*negligible-intros*]:  
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda\eta. c * f \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-multc*: *negligible* ( $\lambda\eta. f \ \eta * c$ )  $\longleftrightarrow \text{negligible } f \vee c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-multcI* [*negligible-intros*]:  
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda\eta. f \ \eta * c)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-times* [*negligible-intros*]:  
**assumes**  $f$ : *negligible*  $f$   
**and**  $g$ : *negligible*  $g$   
**shows** *negligible* ( $\lambda\eta. f \ \eta * g \ \eta :: \text{real}$ )  
 $\langle \text{proof} \rangle$

**lemma** *negligible-power* [*negligible-intros*]:  
 assumes *negligible* *f*  
 and  $n > 0$   
 shows *negligible*  $(\lambda\eta. f \ \eta \ ^{\wedge} n :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powr* [*negligible-intros*]:  
 assumes *f*: *negligible* *f*  
 and *p*:  $p > 0$   
 shows *negligible*  $(\lambda x. |f \ x| \ \text{powr} \ p :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-abs* [*simp*]: *negligible*  $(\lambda x. |f \ x|) \longleftrightarrow \text{negligible} \ f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-absI* [*negligible-intros*]: *negligible* *f*  $\implies \text{negligible} \ (\lambda x. |f \ x|)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powrI* [*negligible-intros*]:  
 assumes  $0 \leq k \ k < 1$   
 shows *negligible*  $(\lambda x. k \ \text{powr} \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powerI* [*negligible-intros*]:  
 fixes *k* :: *real*  
 assumes  $|k| < 1$   
 shows *negligible*  $(\lambda n. k \ ^{\wedge} n)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-inverse-powerI* [*negligible-intros*]:  $|k| > 1 \implies \text{negligible} \ (\lambda\eta. 1 / k \ ^{\wedge} \eta)$   
 $\langle \text{proof} \rangle$

**inductive** *polynomial* ::  $(\text{nat} \Rightarrow \text{real}) \Rightarrow \text{bool}$   
 for *f*  
 where  $f \in O(\lambda x. x \ \text{powr} \ n) \implies \text{polynomial} \ f$

**lemma** *negligible-times-poly*:  
 assumes *f*: *negligible* *f*  
 and *g*:  $g \in O(\lambda x. x \ \text{powr} \ n)$   
 shows *negligible*  $(\lambda x. f \ x * g \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-poly-times*:  
 $\llbracket f \in O(\lambda x. x \ \text{powr} \ n); \text{negligible} \ g \rrbracket \implies \text{negligible} \ (\lambda x. f \ x * g \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-times-polynomial* [*negligible-intros*]:  
 $\llbracket \text{negligible} \ f; \text{polynomial} \ g \rrbracket \implies \text{negligible} \ (\lambda x. f \ x * g \ x)$

$\langle \text{proof} \rangle$

**lemma** *negligible-polynomial-times* [*negligible-intros*]:

$\llbracket \text{polynomial } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f\ x * g\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-divide-poly1*:

$\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } (\lambda \eta. 1 / g\ \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f\ \eta) / g\ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-divide-polynomial1* [*negligible-intros*]:

$\llbracket \text{polynomial } f; \text{negligible } (\lambda \eta. 1 / g\ \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f\ \eta) / g\ \eta)$   
 $\langle \text{proof} \rangle$

**end**

### 3 The resumption-error monad

**theory** *Resumption*

**imports**

*Misc-CryptHOL*

*Partial-Function-Set*

**begin**

**codatatype** (*results: 'a, outputs: 'out, 'in*) *resumption*

= *Done* (*result: 'a option*)

| *Pause* (*output: 'out*) (*resume: 'in  $\Rightarrow$  ('a, 'out, 'in) resumption*)

**where**

*resume* (*Done a*) = ( $\lambda \text{inp. Done None}$ )

**code-datatype** *Done Pause*

**primcorec** *bind-resumption* ::

(*'a, 'out, 'in*) *resumption*

$\Rightarrow$  (*'a  $\Rightarrow$  ('b, 'out, 'in) resumption*)  $\Rightarrow$  (*'b, 'out, 'in*) *resumption*

**where**

$\llbracket \text{is-Done } x; \text{result } x \neq \text{None} \longrightarrow \text{is-Done } (f\ (\text{the } (\text{result } x))) \rrbracket \implies \text{is-Done } (\text{bind-resumption } x\ f)$

| *result* (*bind-resumption x f*) = *result x  $\gg$  result  $\circ$  f*

| *output* (*bind-resumption x f*) = (*if is-Done x then output (f (the (result x))) else output x*)

| *resume* (*bind-resumption x f*) = ( $\lambda \text{inp. if is-Done } x \text{ then resume } (f\ (\text{the } (\text{result } x)))\ \text{inp else bind-resumption } (\text{resume } x\ \text{inp})\ f$ )

**declare** *bind-resumption.sel* [*simp del*]

**adhoc-overloading** *Monad-Syntax.bind*  $\equiv$  *bind-resumption*

**lemma** *is-Done-bind-resumption* [simp]:  
 $is\_Done\ (x \ggg f) \longleftrightarrow is\_Done\ x \wedge (result\ x \neq None \longrightarrow is\_Done\ (f\ (the\ (result\ x))))$   
 <proof>

**lemma** *result-bind-resumption* [simp]:  
 $is\_Done\ (x \ggg f) \implies result\ (x \ggg f) = result\ x \ggg result \circ f$   
 <proof>

**lemma** *output-bind-resumption* [simp]:  
 $\neg is\_Done\ (x \ggg f) \implies output\ (x \ggg f) = (if\ is\_Done\ x\ then\ output\ (f\ (the\ (result\ x)))\ else\ output\ x)$   
 <proof>

**lemma** *resume-bind-resumption* [simp]:  
 $\neg is\_Done\ (x \ggg f) \implies$   
 $resume\ (x \ggg f) =$   
 $(if\ is\_Done\ x\ then\ resume\ (f\ (the\ (result\ x)))$   
 $else\ (\lambda inp.\ resume\ x\ inp \ggg f))$   
 <proof>

**definition** *DONE* :: 'a  $\Rightarrow$  ('a, 'out, 'in) resumption  
**where** *DONE* = *Done*  $\circ$  *Some*

**definition** *ABORT* :: ('a, 'out, 'in) resumption  
**where** *ABORT* = *Done* *None*

**lemma** [simp]:  
**shows** *is-Done-DONE*:  $is\_Done\ (DONE\ a)$   
**and** *is-Done-ABORT*:  $is\_Done\ ABORT$   
**and** *result-DONE*:  $result\ (DONE\ a) = Some\ a$   
**and** *result-ABORT*:  $result\ ABORT = None$   
**and** *DONE-inject*:  $DONE\ a = DONE\ b \longleftrightarrow a = b$   
**and** *DONE-neq-ABORT*:  $DONE\ a \neq ABORT$   
**and** *ABORT-neq-DONE*:  $ABORT \neq DONE\ a$   
**and** *ABORT-eq-Done*:  $\bigwedge a.\ ABORT = Done\ a \longleftrightarrow a = None$   
**and** *Done-eq-ABORT*:  $\bigwedge a.\ Done\ a = ABORT \longleftrightarrow a = None$   
**and** *DONE-eq-Done*:  $\bigwedge b.\ DONE\ a = Done\ b \longleftrightarrow b = Some\ a$   
**and** *Done-eq-DONE*:  $\bigwedge b.\ Done\ b = DONE\ a \longleftrightarrow b = Some\ a$   
**and** *DONE-neq-Pause*:  $DONE\ a \neq Pause\ out\ c$   
**and** *Pause-neq-DONE*:  $Pause\ out\ c \neq DONE\ a$   
**and** *ABORT-neq-Pause*:  $ABORT \neq Pause\ out\ c$   
**and** *Pause-neq-ABORT*:  $Pause\ out\ c \neq ABORT$   
 <proof>

**lemma** *resume-ABORT* [simp]:  
 $resume\ (Done\ r) = (\lambda inp.\ ABORT)$   
 <proof>

**declare** *resumption.sel*( $\beta$ )[*simp del*]

**lemma** *results-DONE* [*simp*]: *results* (*DONE*  $x$ ) =  $\{x\}$   
 $\langle \text{proof} \rangle$

**lemma** *results-ABORT* [*simp*]: *results* *ABORT* =  $\{\}$   
 $\langle \text{proof} \rangle$

**lemma** *outputs-ABORT* [*simp*]: *outputs* *ABORT* =  $\{\}$   
 $\langle \text{proof} \rangle$

**lemma** *outputs-DONE* [*simp*]: *outputs* (*DONE*  $x$ ) =  $\{\}$   
 $\langle \text{proof} \rangle$

**lemma** *is-Done-cases* [*cases pred*]:  
**assumes** *is-Done*  $r$   
**obtains** (*DONE*)  $x$  **where**  $r = \text{DONE } x \mid (\text{ABORT}) \ r = \text{ABORT}$   
 $\langle \text{proof} \rangle$

**lemma** *not-is-Done-conv-Pause*:  $\neg \text{is-Done } r \longleftrightarrow (\exists \text{ out } c. \ r = \text{Pause out } c)$   
 $\langle \text{proof} \rangle$

**lemma** *Done-bind* [*code*]:  
 $\text{Done } a \ggg f = (\text{case } a \text{ of } \text{None} \Rightarrow \text{Done None} \mid \text{Some } a \Rightarrow f \ a)$   
 $\langle \text{proof} \rangle$

**lemma** *DONE-bind* [*simp*]:  
 $\text{DONE } a \ggg f = f \ a$   
 $\langle \text{proof} \rangle$

**lemma** *bind-resumption-Pause* [*simp, code*]: **fixes** *cont* **shows**  
 $\text{Pause out } cont \ggg f$   
 $= \text{Pause out } (\lambda \text{ inp. } cont \text{ inp} \ggg f)$   
 $\langle \text{proof} \rangle$

**lemma** *bind-DONE* [*simp*]:  
 $x \ggg \text{DONE} = x$   
 $\langle \text{proof} \rangle$

**lemma** *bind-bind-resumption*:  
**fixes**  $r :: ('a, 'in, 'out) \text{ resumption}$   
**shows**  $(r \ggg f) \ggg g = \text{do } \{ x \leftarrow r; f \ x \ggg g \}$   
 $\langle \text{proof} \rangle$

**lemmas** *resumption-monad* = *DONE-bind bind-DONE bind-bind-resumption*

**lemma** *ABORT-bind* [*simp*]: *ABORT*  $\ggg f = \text{ABORT}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-resumption-is-Done*: *is-Done*  $f \implies f \ggg g = (\text{if result } f = \text{None then } \text{ABORT else } g \text{ (the (result } f)))$

$\langle \text{proof} \rangle$

**lemma** *bind-resumption-eq-Done-iff* [simp]:

$f \ggg g = \text{Done } x \iff (\exists y. f = \text{DONE } y \wedge g y = \text{Done } x) \vee f = \text{ABORT} \wedge x = \text{None}$

$\langle \text{proof} \rangle$

**lemma** *bind-resumption-cong*:

**assumes**  $x = y$

**and**  $\bigwedge z. z \in \text{results } y \implies f z = g z$

**shows**  $x \ggg f = y \ggg g$

$\langle \text{proof} \rangle$

**lemma** *results-bind-resumption*:

$\text{results (bind-resumption } x f) = (\bigcup a \in \text{results } x. \text{results (f } a))$

(**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *outputs-bind-resumption* [simp]:

$\text{outputs (bind-resumption } r f) = \text{outputs } r \cup (\bigcup x \in \text{results } r. \text{outputs (f } x))$

(**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**primrec** *ensure* ::  $\text{bool} \Rightarrow (\text{unit}, 'out, 'in) \text{ resumption}$

**where**

*ensure* *True* = *DONE* ()

| *ensure* *False* = *ABORT*

**lemma** *is-Done-map-resumption* [simp]:

$\text{is-Done (map-resumption } f1 f2 r) \iff \text{is-Done } r$

$\langle \text{proof} \rangle$

**lemma** *result-map-resumption* [simp]:

$\text{is-Done } r \implies \text{result (map-resumption } f1 f2 r) = \text{map-option } f1 (\text{result } r)$

$\langle \text{proof} \rangle$

**lemma** *output-map-resumption* [simp]:

$\neg \text{is-Done } r \implies \text{output (map-resumption } f1 f2 r) = f2 (\text{output } r)$

$\langle \text{proof} \rangle$

**lemma** *resume-map-resumption* [simp]:

$\neg \text{is-Done } r$

$\implies \text{resume (map-resumption } f1 f2 r) = \text{map-resumption } f1 f2 \circ \text{resume } r$

$\langle \text{proof} \rangle$

**lemma** *rel-resumption-is-DoneD*:  $\text{rel-resumption } A B r1 r2 \implies \text{is-Done } r1 \iff \text{is-Done } r2$

$\langle \text{proof} \rangle$

**lemma** *rel-resumption-resultD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{is-Done } r1 \rrbracket \implies \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-resultD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{is-Done } r2 \rrbracket \implies \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-outputD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r1 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-outputD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r2 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-resumeD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r1 \rrbracket$   
 $\implies \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-resumeD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r2 \rrbracket$   
 $\implies \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$   
 $\langle \text{proof} \rangle$

**lemma** *rel-resumption-coinduct*

[consumes 1, case-names Done Pause,  
case-conclusion Done is-Done result,  
case-conclusion Pause output resume,  
coinduct pred: rel-resumption]:  
**assumes**  $X: X \ r1 \ r2$   
**and**  $\text{Done}: \bigwedge r1 \ r2. X \ r1 \ r2 \implies (\text{is-Done } r1 \longleftrightarrow \text{is-Done } r2) \wedge (\text{is-Done } r1 \longrightarrow \text{is-Done } r2 \longrightarrow \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2))$   
**and**  $\text{Pause}: \bigwedge r1 \ r2. \llbracket X \ r1 \ r2; \neg \text{is-Done } r1; \neg \text{is-Done } r2 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2) \wedge (\forall \text{inp}. X \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp}))$   
**shows**  $\text{rel-resumption } A \ B \ r1 \ r2$   
 $\langle \text{proof} \rangle$

### 3.1 Setup for partial-function

**context includes** *lifting-syntax* **begin**

**coinductive** *resumption-ord* :: ('a, 'out, 'in) *resumption*  $\Rightarrow$  ('a, 'out, 'in) *resumption*  $\Rightarrow$  bool

**where**

$\text{Done-Done}: \text{flat-ord } \text{None } a \ a' \implies \text{resumption-ord } (\text{Done } a) \ (\text{Done } a')$



| *Done-Pause*: *resumption-ord ABORT* (*Pause out c*)  
 | *Pause-Pause*:  $((=) == => \text{resumption-ord})\ c\ c' \implies \text{resumption-ord}\ (\text{Pause out } c)\ (\text{Pause out } c')$

**inductive-simps** *resumption-ord-simps* [simp]:  
*resumption-ord* (*Pause out c*) *r*  
*resumption-ord* *r* (*Done a*)

**lemma** *resumption-ord-is-DoneD*:  
 $\llbracket \text{resumption-ord } r\ r'; \text{is-Done } r' \rrbracket \implies \text{is-Done } r$   
 <proof>

**lemma** *resumption-ord-resultD*:  
 $\llbracket \text{resumption-ord } r\ r'; \text{is-Done } r' \rrbracket \implies \text{flat-ord None}\ (\text{result } r)\ (\text{result } r')$   
 <proof>

**lemma** *resumption-ord-outputD*:  
 $\llbracket \text{resumption-ord } r\ r'; \neg \text{is-Done } r \rrbracket \implies \text{output } r = \text{output } r'$   
 <proof>

**lemma** *resumption-ord-resumeD*:  
 $\llbracket \text{resumption-ord } r\ r'; \neg \text{is-Done } r \rrbracket \implies ((=) == => \text{resumption-ord})\ (\text{resume } r)\ (\text{resume } r')$   
 <proof>

**lemma** *resumption-ord-abort*:  
 $\llbracket \text{resumption-ord } r\ r'; \text{is-Done } r; \neg \text{is-Done } r' \rrbracket \implies \text{result } r = \text{None}$   
 <proof>

**lemma** *resumption-ord-coinduct* [consumes 1, case-names *Done Abort Pause*, case-conclusion *Pause output resume*, coinduct pred: *resumption-ord*]:  
**assumes**  $X\ r\ r'$   
**and** *Done*:  $\bigwedge r\ r'. \llbracket X\ r\ r'; \text{is-Done } r' \rrbracket \implies \text{is-Done } r \wedge \text{flat-ord None}\ (\text{result } r)\ (\text{result } r')$   
**and** *Abort*:  $\bigwedge r\ r'. \llbracket X\ r\ r'; \neg \text{is-Done } r'; \text{is-Done } r \rrbracket \implies \text{result } r = \text{None}$   
**and** *Pause*:  $\bigwedge r\ r'. \llbracket X\ r\ r'; \neg \text{is-Done } r; \neg \text{is-Done } r' \rrbracket \implies \text{output } r = \text{output } r' \wedge ((=) == => (\lambda r\ r'. X\ r\ r' \vee \text{resumption-ord } r\ r'))$   
 (*resume r*) (*resume r'*)  
**shows** *resumption-ord*  $r\ r'$   
 <proof>

**end**

**lemma** *resumption-ord-ABORT* [intro!, simp]: *resumption-ord ABORT* *r*  
 <proof>

**lemma** *resumption-ord-ABORT2* [simp]: *resumption-ord*  $r\ \text{ABORT} \longleftrightarrow r = \text{ABORT}$   
 <proof>

**lemma** *resumption-ord-DONE1* [simp]: *resumption-ord* (*DONE* *x*) *r*  $\longleftrightarrow$  *r* = *DONE* *x*  
 <proof>

**lemma** *resumption-ord-refl*: *resumption-ord* *r* *r*  
 <proof>

**lemma** *resumption-ord-antisym*:  
 $\llbracket \text{resumption-ord } r \ r'; \text{resumption-ord } r' \ r \rrbracket$   
 $\implies r = r'$   
 <proof>

**lemma** *resumption-ord-trans*:  
 $\llbracket \text{resumption-ord } r \ r'; \text{resumption-ord } r' \ r'' \rrbracket$   
 $\implies \text{resumption-ord } r \ r''$   
 <proof>

**primcorec** *resumption-lub* :: ('a, 'out, 'in) *resumption set*  $\Rightarrow$  ('a, 'out, 'in) *re-*  
*sumption*

**where**

$\forall r \in R. \text{is-Done } r \implies \text{is-Done } (\text{resumption-lub } R)$   
 $| \text{result } (\text{resumption-lub } R) = \text{flat-lub None } (\text{result } ' R)$   
 $| \text{output } (\text{resumption-lub } R) = (\text{THE } \text{out}. \text{out} \in \text{output } ' (R \cap \{r. \neg \text{is-Done } r\}))$   
 $| \text{resume } (\text{resumption-lub } R) = (\lambda \text{inp}. \text{resumption-lub } ((\lambda c. c \text{ inp}) ' \text{resume } ' (R \cap \{r. \neg \text{is-Done } r\})))$

**lemma** *is-Done-resumption-lub* [simp]:  
 $\text{is-Done } (\text{resumption-lub } R) \longleftrightarrow (\forall r \in R. \text{is-Done } r)$   
 <proof>

**lemma** *result-resumption-lub* [simp]:  
 $\forall r \in R. \text{is-Done } r \implies \text{result } (\text{resumption-lub } R) = \text{flat-lub None } (\text{result } ' R)$   
 <proof>

**lemma** *output-resumption-lub* [simp]:  
 $\exists r \in R. \neg \text{is-Done } r \implies \text{output } (\text{resumption-lub } R) = (\text{THE } \text{out}. \text{out} \in \text{output } ' (R \cap \{r. \neg \text{is-Done } r\}))$   
 <proof>

**lemma** *resume-resumption-lub* [simp]:  
 $\exists r \in R. \neg \text{is-Done } r$   
 $\implies \text{resume } (\text{resumption-lub } R) \text{ inp} =$   
 $\text{resumption-lub } ((\lambda c. c \text{ inp}) ' \text{resume } ' (R \cap \{r. \neg \text{is-Done } r\}))$   
 <proof>

**lemma** *resumption-lub-empty*: *resumption-lub* {} = *ABORT*  
 <proof>

**context**

```

fixes R state inp R'
defines R'-def:  $R' \equiv (\lambda c. c \text{ inp}) \text{ ' resume ' } (R \cap \{r. \neg \text{is-Done } r\})$ 
assumes chain: Complete-Partial-Order.chain resumption-ord R
begin

lemma resumption-ord-chain-resume: Complete-Partial-Order.chain resumption-ord
R'
<proof>

end

lemma resumption-partial-function-definition:
partial-function-definitions resumption-ord resumption-lub
<proof>

interpretation resumption:
partial-function-definitions resumption-ord resumption-lub
rewrites resumption-lub  $\{ \} = (\text{ABORT} :: ('a, 'b, 'c) \text{ resumption})$ 
<proof>

<ML>

abbreviation mono-resumption  $\equiv \text{monotone } (\text{fun-ord } \text{resumption-ord}) \text{ resump-}$ 
tion-ord

lemma mono-resumption-resume:
assumes mono-resumption B
shows mono-resumption  $(\lambda f. \text{resume } (B f) \text{ inp})$ 
<proof>

lemma bind-resumption-mono [partial-function-mono]:
assumes mf: mono-resumption B
and mg:  $\bigwedge y. \text{mono-resumption } (C y)$ 
shows mono-resumption  $(\lambda f. \text{do } \{ y \leftarrow B f; C y f \})$ 
<proof>

lemma fixes f F
defines  $F \equiv \lambda \text{results } r. \text{case } r \text{ of } \text{resumption.Done } x \Rightarrow \text{set-option } x \mid \text{resump-}$ 
tion.Pause out } c \Rightarrow \bigcup \text{input. results } (c \text{ input})
shows results-conv-fixp:  $\text{results} \equiv \text{ccpo.fixp } (\text{fun-lub } \text{Union}) (\text{fun-ord } (\subseteq)) F$  (is
-  $\equiv ?\text{fixp}$ )
and results-mono:  $\bigwedge x. \text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) (\lambda f. F f x)$  (is PROP ?mono)
<proof>

lemma mcont-case-resumption:
fixes f g
defines  $h \equiv \lambda r. \text{if is-Done } r \text{ then } f (\text{result } r) \text{ else } g (\text{output } r) (\text{resume } r) r$ 
assumes mcont1: mcont  $(\text{flat-lub } \text{None}) \text{ option-ord lub ord } f$ 
and mcont2:  $\bigwedge \text{out. mcont } (\text{fun-lub } \text{resumption-lub}) (\text{fun-ord } \text{resumption-ord}) \text{ lub}$ 

```

$\text{ord } (\lambda c. g \text{ out } c \text{ (Pause out } c))$   
**and**  $\text{ccpo: class.ccpo lub ord (mk-less ord)}$   
**and**  $\text{bot: } \bigwedge x. \text{ord } (f \text{ None}) x$   
**shows**  $\text{mcont resumption-lub resumption-ord lub ord } (\lambda r. \text{case } r \text{ of Done } x \Rightarrow f x$   
 $| \text{Pause out } c \Rightarrow g \text{ out } c r)$   
 $(\text{is mcont ?lub ?ord - - ?f})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mcont2mcont-results[THEN mcont2mcont, cont-intro, simp]}:$   
**shows**  $\text{mcont-results: mcont resumption-lub resumption-ord Union } (\subseteq) \text{ results}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mono2mono-results[THEN lfp.mono2mono, cont-intro, simp]}:$   
**shows**  $\text{monotone-results: monotone resumption-ord } (\subseteq) \text{ results}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fixes } f F$   
**defines**  $F \equiv \lambda \text{outputs } xs. \text{case } xs \text{ of resumption.Done } x \Rightarrow \{ \} | \text{resumption.Pause}$   
 $\text{out } c \Rightarrow \text{insert out } (\bigcup \text{input. outputs } (c \text{ input}))$   
**shows**  $\text{outputs-conv-fixp: outputs } \equiv \text{ccpo.fixp (fun-lub Union) (fun-ord } (\subseteq)) F (\text{is}$   
 $- \equiv \text{?fixp})$   
**and**  $\text{outputs-mono: } \bigwedge x. \text{monotone (fun-ord } (\subseteq)) (\subseteq) (\lambda f. F f x) (\text{is PROP ?mono})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mcont2mcont-outputs[THEN lfp.mcont2mcont, cont-intro, simp]}:$   
**shows**  $\text{mcont-outputs: mcont resumption-lub resumption-ord Union } (\subseteq) \text{ outputs}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mono2mono-outputs[THEN lfp.mono2mono, cont-intro, simp]}:$   
**shows**  $\text{monotone-outputs: monotone resumption-ord } (\subseteq) \text{ outputs}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred-resumption-antimono:}$   
**assumes**  $r: \text{pred-resumption } A C r'$   
**and**  $\text{le: resumption-ord } r r'$   
**shows**  $\text{pred-resumption } A C r$   
 $\langle \text{proof} \rangle$

### 3.2 Setup for lifting and transfer

**declare**  $\text{resumption.rel-eq [id-simps, relator-eq]}$   
**declare**  $\text{resumption.rel-mono [relator-mono]}$

**lemma**  $\text{rel-resumption-OO [relator-distr]}:$   
 $\text{rel-resumption } A B \text{ OO rel-resumption } C D = \text{rel-resumption } (A \text{ OO } C) (B \text{ OO } D)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{left-total-rel-resumption [transfer-rule]}:$

$\llbracket \text{left-total } R1; \text{left-total } R2 \rrbracket \implies \text{left-total } (\text{rel-resumption } R1 \ R2)$   
 $\langle \text{proof} \rangle$

**lemma** *left-unique-rel-resumption* [transfer-rule]:  
 $\llbracket \text{left-unique } R1; \text{left-unique } R2 \rrbracket \implies \text{left-unique } (\text{rel-resumption } R1 \ R2)$   
 $\langle \text{proof} \rangle$

**lemma** *right-total-rel-resumption* [transfer-rule]:  
 $\llbracket \text{right-total } R1; \text{right-total } R2 \rrbracket \implies \text{right-total } (\text{rel-resumption } R1 \ R2)$   
 $\langle \text{proof} \rangle$

**lemma** *right-unique-rel-resumption* [transfer-rule]:  
 $\llbracket \text{right-unique } R1; \text{right-unique } R2 \rrbracket \implies \text{right-unique } (\text{rel-resumption } R1 \ R2)$   
 $\langle \text{proof} \rangle$

**lemma** *bi-total-rel-resumption* [transfer-rule]:  
 $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (\text{rel-resumption } A \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *bi-unique-rel-resumption* [transfer-rule]:  
 $\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (\text{rel-resumption } A \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *Quotient-resumption* [quot-map]:  
 $\llbracket \text{Quotient } R1 \text{ Abs1 Rep1 } T1; \text{Quotient } R2 \text{ Abs2 Rep2 } T2 \rrbracket$   
 $\implies \text{Quotient } (\text{rel-resumption } R1 \ R2) (\text{map-resumption Abs1 Abs2}) (\text{map-resumption Rep1 Rep2}) (\text{rel-resumption } T1 \ T2)$   
 $\langle \text{proof} \rangle$

end

## 4 Generative probabilistic values

**theory** *Generat* imports

*Misc-CryptHOL*

**begin**

### 4.1 Single-step generative

**datatype** (*generat-pures*: 'a, *generat-outs*: 'b, *generat-contrs*: 'c) *generat*  
 $= \text{Pure } (\text{result}: 'a)$   
 $| \text{IO } (\text{output}: 'b) (\text{continuation}: 'c)$

**datatype-compat** *generat*

**lemma** *IO-code-cong*:  $\text{out} = \text{out}' \implies \text{IO out } c = \text{IO out}' c$   $\langle \text{proof} \rangle$   
 $\langle \text{ML} \rangle$

**lemma** *is-Pure-map-generat* [simp]:  $\text{is-Pure } (\text{map-generat } f \ g \ h \ x) = \text{is-Pure } x$

$\langle \text{proof} \rangle$

**lemma** *result-map-generat* [simp]:  $\text{is-Pure } x \implies \text{result } (\text{map-generat } f \ g \ h \ x) = f$   
 $(\text{result } x)$   
 $\langle \text{proof} \rangle$

**lemma** *output-map-generat* [simp]:  $\neg \text{is-Pure } x \implies \text{output } (\text{map-generat } f \ g \ h \ x)$   
 $= g \ (\text{output } x)$   
 $\langle \text{proof} \rangle$

**lemma** *continuation-map-generat* [simp]:  $\neg \text{is-Pure } x \implies \text{continuation } (\text{map-generat}$   
 $f \ g \ h \ x) = h \ (\text{continuation } x)$   
 $\langle \text{proof} \rangle$

**lemma** [simp]:  
  **shows** *map-generat-eq-Pure*:  
     $\text{map-generat } f \ g \ h \ \text{generat} = \text{Pure } x \longleftrightarrow (\exists x'. \text{generat} = \text{Pure } x' \wedge x = f \ x')$   
  **and** *Pure-eq-map-generat*:  
     $\text{Pure } x = \text{map-generat } f \ g \ h \ \text{generat} \longleftrightarrow (\exists x'. \text{generat} = \text{Pure } x' \wedge x = f \ x')$   
 $\langle \text{proof} \rangle$

**lemma** [simp]:  
  **shows** *map-generat-eq-IO*:  
     $\text{map-generat } f \ g \ h \ \text{generat} = \text{IO out } c \longleftrightarrow (\exists \text{out}' \ c'. \text{generat} = \text{IO out}' \ c' \wedge \text{out}$   
     $= g \ \text{out}' \wedge c = h \ c')$   
  **and** *IO-eq-map-generat*:  
     $\text{IO out } c = \text{map-generat } f \ g \ h \ \text{generat} \longleftrightarrow (\exists \text{out}' \ c'. \text{generat} = \text{IO out}' \ c' \wedge \text{out}$   
     $= g \ \text{out}' \wedge c = h \ c')$   
 $\langle \text{proof} \rangle$

**lemma** *is-PureE* [cases pred]:  
  **assumes** *is-Pure generat*  
  **obtains**  $(\text{Pure}) \ x$  **where**  $\text{generat} = \text{Pure } x$   
 $\langle \text{proof} \rangle$

**lemma** *not-is-PureE*:  
  **assumes**  $\neg \text{is-Pure generat}$   
  **obtains**  $(\text{IO}) \ \text{out } c$  **where**  $\text{generat} = \text{IO out } c$   
 $\langle \text{proof} \rangle$

**lemma** *rel-generatI*:  
   $\llbracket \text{is-Pure } x \longleftrightarrow \text{is-Pure } y; \llbracket \text{is-Pure } x; \text{is-Pure } y \rrbracket \implies A \ (\text{result } x) \ (\text{result } y);$   
   $\llbracket \neg \text{is-Pure } x; \neg \text{is-Pure } y \rrbracket \implies \text{Out } (\text{output } x) \ (\text{output } y) \wedge R \ (\text{continuation}$   
   $x) \ (\text{continuation } y) \rrbracket$   
   $\implies \text{rel-generat } A \ \text{Out } R \ x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-generatD'*:

$rel-generat\ A\ Out\ R\ x\ y$   
 $\implies (is-Pure\ x \longleftrightarrow is-Pure\ y) \wedge$   
 $(is-Pure\ x \longrightarrow is-Pure\ y \longrightarrow A\ (result\ x)\ (result\ y)) \wedge$   
 $(\neg is-Pure\ x \longrightarrow \neg is-Pure\ y \longrightarrow Out\ (output\ x)\ (output\ y) \wedge R\ (continuation\ x)\ (continuation\ y))$   
 $\langle proof \rangle$

**lemma** *rel-generatD*:

**assumes**  $rel-generat\ A\ Out\ R\ x\ y$   
**shows**  $rel-generat-is-PureD$ :  $is-Pure\ x \longleftrightarrow is-Pure\ y$   
**and**  $rel-generat-resultD$ :  $is-Pure\ x \vee is-Pure\ y \implies A\ (result\ x)\ (result\ y)$   
**and**  $rel-generat-outputD$ :  $\neg is-Pure\ x \vee \neg is-Pure\ y \implies Out\ (output\ x)\ (output\ y)$   
**and**  $rel-generat-continuationD$ :  $\neg is-Pure\ x \vee \neg is-Pure\ y \implies R\ (continuation\ x)\ (continuation\ y)$   
 $\langle proof \rangle$

**lemma** *rel-generat-mono*:

$\llbracket rel-generat\ A\ B\ C\ x\ y; \bigwedge x\ y. A\ x\ y \implies A'\ x\ y; \bigwedge x\ y. B\ x\ y \implies B'\ x\ y; \bigwedge x\ y. C\ x\ y \implies C'\ x\ y \rrbracket$   
 $\implies rel-generat\ A'\ B'\ C'\ x\ y$   
 $\langle proof \rangle$

**lemma** *rel-generat-mono'* [*mono*]:

$\llbracket \bigwedge x\ y. A\ x\ y \longrightarrow A'\ x\ y; \bigwedge x\ y. B\ x\ y \longrightarrow B'\ x\ y; \bigwedge x\ y. C\ x\ y \longrightarrow C'\ x\ y \rrbracket$   
 $\implies rel-generat\ A\ B\ C\ x\ y \longrightarrow rel-generat\ A'\ B'\ C'\ x\ y$   
 $\langle proof \rangle$

**lemma** *rel-generat-same*:

$rel-generat\ A\ B\ C\ r\ r \longleftrightarrow$   
 $(\forall x \in generat-pures\ r. A\ x\ x) \wedge$   
 $(\forall out \in generat-outs\ r. B\ out\ out) \wedge$   
 $(\forall c \in generat-contrs\ r. C\ c\ c)$   
 $\langle proof \rangle$

**lemma** *rel-generat-reflI*:

$\llbracket \bigwedge y. y \in generat-pures \implies A\ y\ y;$   
 $\bigwedge out. out \in generat-outs \implies B\ out\ out;$   
 $\bigwedge cont. cont \in generat-contrs \implies C\ cont\ cont \rrbracket$   
 $\implies rel-generat\ A\ B\ C\ x\ x$   
 $\langle proof \rangle$

**lemma** *reflp-rel-generat* [*simp*]:  $reflp\ (rel-generat\ A\ B\ C) \longleftrightarrow reflp\ A \wedge reflp\ B \wedge reflp\ C$   
 $\langle proof \rangle$

**lemma** *transp-rel-generatI*:

**assumes**  $transp\ A\ transp\ B\ transp\ C$   
**shows**  $transp\ (rel-generat\ A\ B\ C)$

$\langle \text{proof} \rangle$

**lemma** *rel-generat-inf*:

$\text{inf } (\text{rel-generat } A \ B \ C) \ (\text{rel-generat } A' \ B' \ C') = \text{rel-generat } (\text{inf } A \ A') \ (\text{inf } B \ B') \ (\text{inf } C \ C')$   
(is ?lhs = ?rhs)  
 $\langle \text{proof} \rangle$

**lemma** *rel-generat-Pure1*:  $\text{rel-generat } A \ B \ C \ (\text{Pure } x) = (\lambda r. \exists y. r = \text{Pure } y \wedge A \ x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-generat-IO1*:  $\text{rel-generat } A \ B \ C \ (\text{IO out } c) = (\lambda r. \exists \text{out}' \ c'. r = \text{IO out}' \ c' \wedge B \ \text{out} \ \text{out}' \wedge C \ c \ c')$   
 $\langle \text{proof} \rangle$

**lemma** *not-is-Pure-conv*:  $\neg \text{is-Pure } r \longleftrightarrow (\exists \text{out } c. r = \text{IO out } c)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-generat-outs [simp]*:  $\text{finite } (\text{generat-outs generat})$   
 $\langle \text{proof} \rangle$

**lemma** *countable-generat-outs [simp]*:  $\text{countable } (\text{generat-outs generat})$   
 $\langle \text{proof} \rangle$

**lemma** *case-map-generat*:  
 $\text{case-generat pure io } (\text{map-generat } a \ b \ d \ r) =$   
 $\text{case-generat } (\text{pure } \circ a) \ (\lambda \text{out}. \text{io } (b \ \text{out}) \circ d) \ r$   
 $\langle \text{proof} \rangle$

**lemma** *continuation-in-generat-contrs*:  
 $\neg \text{is-Pure } r \implies \text{continuation } r \in \text{generat-contrs } r$   
 $\langle \text{proof} \rangle$

**fun** *dest-IO* :: ('a, 'out, 'c) generat  $\Rightarrow$  ('out  $\times$  'c) option

**where**

$\text{dest-IO } (\text{Pure } -) = \text{None}$   
 $| \text{dest-IO } (\text{IO out } c) = \text{Some } (\text{out}, c)$

**lemma** *dest-IO-eq-Some-iff [simp]*:  $\text{dest-IO generat} = \text{Some } (\text{out}, c) \longleftrightarrow \text{generat} = \text{IO out } c$   
 $\langle \text{proof} \rangle$

**lemma** *dest-IO-eq-None-iff [simp]*:  $\text{dest-IO generat} = \text{None} \longleftrightarrow \text{is-Pure generat}$   
 $\langle \text{proof} \rangle$

**lemma** *dest-IO-comp-Pure [simp]*:  $\text{dest-IO} \circ \text{Pure} = (\lambda -. \text{None})$   
 $\langle \text{proof} \rangle$



**lemma** *dom-dest-IO*:  $\text{dom dest-IO} = \{x. \neg \text{is-Pure } x\}$

*<proof>*

**definition** *generat-lub* ::  $('a \text{ set} \Rightarrow 'b) \Rightarrow ('out \text{ set} \Rightarrow 'out') \Rightarrow ('cont \text{ set} \Rightarrow 'cont')$

$\Rightarrow ('a, 'out, 'cont) \text{ generat set} \Rightarrow ('b, 'out', 'cont') \text{ generat}$

**where**

*generat-lub* *lub1* *lub2* *lub3* *A* =  
 (if  $\exists x \in A. \text{is-Pure } x$  then *Pure* (*lub1* (*result* ' (*A*  $\cap$  {*f. is-Pure f*})))  
 else *IO* (*lub2* (*output* ' (*A*  $\cap$  {*f.  $\neg$  is-Pure f*}))) (*lub3* (*continuation* ' (*A*  $\cap$  {*f.  $\neg$  is-Pure f*}))))))

**lemma** *is-Pure-generat-lub* [*simp*]:

$\text{is-Pure} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) \longleftrightarrow (\exists x \in A. \text{is-Pure } x)$

*<proof>*

**lemma** *result-generat-lub* [*simp*]:

$\exists x \in A. \text{is-Pure } x \implies \text{result} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub1} (\text{result} ' (A \cap \{f. \text{is-Pure } f\}))$

*<proof>*

**lemma** *output-generat-lub*:

$\forall x \in A. \neg \text{is-Pure } x \implies \text{output} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub2} (\text{output} ' (A \cap \{f. \neg \text{is-Pure } f\}))$

*<proof>*

**lemma** *continuation-generat-lub*:

$\forall x \in A. \neg \text{is-Pure } x \implies \text{continuation} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub3} (\text{continuation} ' (A \cap \{f. \neg \text{is-Pure } f\}))$

*<proof>*

**lemma** *generat-lub-map* [*simp*]:

*generat-lub* *lub1* *lub2* *lub3* (*map-generat* *f* *g* *h* ' *A*) = *generat-lub* (*lub1*  $\circ$  ( $\cdot$ ) *f*)  
 (*lub2*  $\circ$  ( $\cdot$ ) *g*) (*lub3*  $\circ$  ( $\cdot$ ) *h*) *A*

*<proof>*

**lemma** *map-generat-lub* [*simp*]:

*map-generat* *f* *g* *h* (*generat-lub* *lub1* *lub2* *lub3* *A*) = *generat-lub* (*f*  $\circ$  *lub1*) (*g*  $\circ$  *lub2*) (*h*  $\circ$  *lub3*) *A*

*<proof>*

**abbreviation** *generat-lub'* ::  $('cont \text{ set} \Rightarrow 'cont') \Rightarrow ('a, 'out, 'cont) \text{ generat set} \Rightarrow ('a, 'out, 'cont') \text{ generat}$

**where** *generat-lub'*  $\equiv$  *generat-lub* ( $\lambda A. \text{THE } x. x \in A$ ) ( $\lambda A. \text{THE } x. x \in A$ )

**fun** *rel-witness-generat* ::  $('a, 'c, 'e) \text{ generat} \times ('b, 'd, 'f) \text{ generat} \Rightarrow ('a \times 'b, 'c$

$\times 'd, 'e \times 'f)$  generat **where**  
 $rel\text{-}witness\text{-}generat\ (Pure\ x, Pure\ y) = Pure\ (x, y)$   
 $| rel\text{-}witness\text{-}generat\ (IO\ out\ c, IO\ out'\ c') = IO\ (out, out')\ (c, c')$

**lemma** *rel-witness-generat*:

**assumes** *rel-generat*  $A\ C\ R\ x\ y$   
**shows** *pures-rel-witness-generat*:  $generat\text{-}pures\ (rel\text{-}witness\text{-}generat\ (x, y)) \subseteq \{(a, b). A\ a\ b\}$   
**and** *outs-rel-witness-generat*:  $generat\text{-}outs\ (rel\text{-}witness\text{-}generat\ (x, y)) \subseteq \{(c, d). C\ c\ d\}$   
**and** *conts-rel-witness-generat*:  $generat\text{-}conts\ (rel\text{-}witness\text{-}generat\ (x, y)) \subseteq \{(e, f). R\ e\ f\}$   
**and** *map1-rel-witness-generat*:  $map\text{-}generat\ fst\ fst\ fst\ (rel\text{-}witness\text{-}generat\ (x, y)) = x$   
**and** *map2-rel-witness-generat*:  $map\text{-}generat\ snd\ snd\ snd\ (rel\text{-}witness\text{-}generat\ (x, y)) = y$   
 $\langle proof \rangle$

**lemmas** *set-rel-witness-generat* = *pures-rel-witness-generat* *outs-rel-witness-generat* *conts-rel-witness-generat*

**lemma** *rel-witness-generat1*:

**assumes** *rel-generat*  $A\ C\ R\ x\ y$   
**shows** *rel-generat*  $(\lambda a\ (a', b). a = a' \wedge A\ a'\ b) (\lambda c\ (c', d). c = c' \wedge C\ c'\ d) (\lambda r\ (r', s). r = r' \wedge R\ r'\ s)\ x\ (rel\text{-}witness\text{-}generat\ (x, y))$   
 $\langle proof \rangle$

**lemma** *rel-witness-generat2*:

**assumes** *rel-generat*  $A\ C\ R\ x\ y$   
**shows** *rel-generat*  $(\lambda(a, b'). b. b = b' \wedge A\ a\ b') (\lambda(c, d'). d. d = d' \wedge C\ c\ d') (\lambda(r, s'). s. s = s' \wedge R\ r\ s')\ (rel\text{-}witness\text{-}generat\ (x, y))\ y$   
 $\langle proof \rangle$

**end**

**theory** *Generative-Probabilistic-Value* **imports**

*Resumption*

*Generat*

*HOL-Types-To-Sets.Types-To-Sets*

**begin**

**hide-const** (**open**) *Done*

## 4.2 Type definition

**context** **notes**  $[[bnf\text{-}internals]]$  **begin**

**codatatype**  $(results'\text{-}gpv: 'a, outs'\text{-}gpv: 'out, 'in)\ gpv$

=  $GPV$  (*the-gpv*: ('a, 'out, 'in  $\Rightarrow$  ('a, 'out, 'in) *gpv*) *generat spmf*)

**end**

**declare** *gpv.rel-eq* [*relator-eq*]

Reactive values are like generative, except that they take an input first.

**type-synonym** ('a, 'out, 'in) *rpv* = 'in  $\Rightarrow$  ('a, 'out, 'in) *gpv*

$\langle ML \rangle$

**typ** ('a, 'out, 'in) *rpv*

Effectively, ('a, 'out, 'in) *gpv* and ('a, 'out, 'in) *rpv* are mutually recursive.

**lemma** *eq-GPV-iff*:  $f = GPV\ g \longleftrightarrow \text{the-gpv}\ f = g$

$\langle proof \rangle$

**declare** *gpv.set*[*simp del*]

**declare** *gpv.set-map*[*simp*]

**lemma** *rel-gpv-def'*:

$\text{rel-gpv}\ A\ B\ \text{gpv}\ \text{gpv}' \longleftrightarrow$

$(\exists\ \text{gpv}'' . (\forall\ (x, y) \in \text{results}'\text{-gpv}\ \text{gpv}'' . A\ x\ y) \wedge (\forall\ (x, y) \in \text{outs}'\text{-gpv}\ \text{gpv}'' . B\ x\ y))$

$\wedge$

$\text{map-gpv}\ \text{fst}\ \text{fst}\ \text{gpv}'' = \text{gpv} \wedge \text{map-gpv}\ \text{snd}\ \text{snd}\ \text{gpv}'' = \text{gpv}'$

$\langle proof \rangle$

**definition** *results'-rpv* :: ('a, 'out, 'in) *rpv*  $\Rightarrow$  'a *set*

**where** *results'-rpv* *rpv* = *range* *rpv*  $\gg=$  *results'-gpv*

**definition** *outs'-rpv* :: ('a, 'out, 'in) *rpv*  $\Rightarrow$  'out *set*

**where** *outs'-rpv* *rpv* = *range* *rpv*  $\gg=$  *outs'-gpv*

**abbreviation** *rel-rpv*

:: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('out  $\Rightarrow$  'out'  $\Rightarrow$  bool)

$\Rightarrow$  ('in  $\Rightarrow$  ('a, 'out, 'in) *gpv*)  $\Rightarrow$  ('in  $\Rightarrow$  ('b, 'out', 'in) *gpv*)  $\Rightarrow$  bool

**where** *rel-rpv* *A* *B*  $\equiv$  *rel-fun* (=) (*rel-gpv* *A* *B*)

**lemma** *in-results'-rpv* [*iff*]:  $x \in \text{results}'\text{-rpv}\ \text{rpv} \longleftrightarrow (\exists\ \text{input} . x \in \text{results}'\text{-gpv}\ (\text{rpv}\ \text{input}))$

$\langle proof \rangle$

**lemma** *in-outs'-rpv* [*iff*]:  $\text{out} \in \text{outs}'\text{-rpv}\ \text{rpv} \longleftrightarrow (\exists\ \text{input} . \text{out} \in \text{outs}'\text{-gpv}\ (\text{rpv}\ \text{input}))$

$\langle proof \rangle$

**lemma** *results'-GPV* [*simp*]:

*results'-gpv* (*GPV* *r*) =

(*set-spmf* *r*  $\gg=$  *generat-pures*)  $\cup$

((*set-spmf* *r*  $\gg=$  *generat-contrs*)  $\gg=$  *results'-rpv*)

$\langle \text{proof} \rangle$

**lemma** *outs'-GPV* [*simp*]:  
 $\text{outs}'\text{-gpv } (GPV\ r) =$   
 $(\text{set-spmf } r \gg \text{generat-outs}) \cup$   
 $((\text{set-spmf } r \gg \text{generat-contrs}) \gg \text{outs}'\text{-rpv})$   
 $\langle \text{proof} \rangle$

**lemma** *outs'-gpv-unfold*:  
 $\text{outs}'\text{-gpv } r =$   
 $(\text{set-spmf } (\text{the-gpv } r) \gg \text{generat-outs}) \cup$   
 $((\text{set-spmf } (\text{the-gpv } r) \gg \text{generat-contrs}) \gg \text{outs}'\text{-rpv})$   
 $\langle \text{proof} \rangle$

**lemma** *outs'-gpv-induct* [*consumes 1, case-names Out Cont, induct set: outs'-gpv*]:  
**assumes**  $x: x \in \text{outs}'\text{-gpv } \text{gpv}$   
**and** *Out*:  $\bigwedge \text{generat } \text{gpv}. \llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-outs } \text{generat} \rrbracket \implies P\ \text{gpv}$   
**and** *Cont*:  $\bigwedge \text{generat } \text{gpv } c\ \text{input}.$   
 $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{outs}'\text{-gpv } (c\ \text{input}); P\ (c\ \text{input}) \rrbracket \implies P\ \text{gpv}$   
**shows**  $P\ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *outs'-gpv-cases* [*consumes 1, case-names Out Cont, cases set: outs'-gpv*]:  
**assumes**  $x \in \text{outs}'\text{-gpv } \text{gpv}$   
**obtains** (*Out*)  $\text{generat}$  **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})\ x \in \text{generat-outs } \text{generat}$   
 $\mid$  (*Cont*)  $\text{generat } c\ \text{input}$  **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})\ c \in \text{generat-contrs } \text{generat}\ x \in \text{outs}'\text{-gpv } (c\ \text{input})$   
 $\langle \text{proof} \rangle$

**lemma** *outs'-gpvI* [*intro?*]:  
**shows** *outs'-gpv-Out*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-outs } \text{generat} \rrbracket \implies x \in \text{outs}'\text{-gpv } \text{gpv}$   
**and** *outs'-gpv-Cont*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{outs}'\text{-gpv } (c\ \text{input}) \rrbracket \implies x \in \text{outs}'\text{-gpv } \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *results'-gpv-induct* [*consumes 1, case-names Pure Cont, induct set: results'-gpv*]:  
**assumes**  $x: x \in \text{results}'\text{-gpv } \text{gpv}$   
**and** *Pure*:  $\bigwedge \text{generat } \text{gpv}. \llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-pures } \text{generat} \rrbracket \implies P\ \text{gpv}$   
**and** *Cont*:  $\bigwedge \text{generat } \text{gpv } c\ \text{input}.$   
 $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{results}'\text{-gpv } (c\ \text{input}); P\ (c\ \text{input}) \rrbracket \implies P\ \text{gpv}$   
**shows**  $P\ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *results'-gpv-cases* [consumes 1, case-names Pure Cont, cases set: results'-gpv]:  
**assumes**  $x \in \text{results}'\text{-gpv } \text{gpv}$   
**obtains** (Pure) *generat* **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$   $x \in \text{generat-pures } \text{generat}$   
| (Cont) *generat c input* **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$   $c \in \text{generat-contrs } \text{generat}$   $x \in \text{results}'\text{-gpv } (c \text{ input})$   
⟨proof⟩

**lemma** *results'-gpvI* [intro?]:  
**shows** *results'-gpv-Pure*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-pures } \text{generat} \rrbracket \implies x \in \text{results}'\text{-gpv } \text{gpv}$   
**and** *results'-gpv-Cont*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{results}'\text{-gpv } (c \text{ input}) \rrbracket \implies x \in \text{results}'\text{-gpv } \text{gpv}$   
⟨proof⟩

**lemma** *left-unique-rel-gpv* [transfer-rule]:  
 $\llbracket \text{left-unique } A; \text{left-unique } B \rrbracket \implies \text{left-unique } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**lemma** *right-unique-rel-gpv* [transfer-rule]:  
 $\llbracket \text{right-unique } A; \text{right-unique } B \rrbracket \implies \text{right-unique } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**lemma** *bi-unique-rel-gpv* [transfer-rule]:  
 $\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**lemma** *left-total-rel-gpv* [transfer-rule]:  
 $\llbracket \text{left-total } A; \text{left-total } B \rrbracket \implies \text{left-total } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**lemma** *right-total-rel-gpv* [transfer-rule]:  
 $\llbracket \text{right-total } A; \text{right-total } B \rrbracket \implies \text{right-total } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**lemma** *bi-total-rel-gpv* [transfer-rule]:  $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (\text{rel-gpv } A \ B)$   
⟨proof⟩

**declare** *gpv.map-transfer* [transfer-rule]

**lemma** *if-distrib-map-gpv* [if-distrib]:  
 $\text{map-gpv } f \ g \ (\text{if } b \text{ then } \text{gpv} \text{ else } \text{gpv}') = (\text{if } b \text{ then } \text{map-gpv } f \ g \ \text{gpv} \text{ else } \text{map-gpv } f \ g \ \text{gpv}')$   
⟨proof⟩

**lemma** *gpv-pred-mono-strong*:  
 $\llbracket \text{pred-gpv } P \ Q \ x; \bigwedge a. \llbracket a \in \text{results}'\text{-gpv } x; P \ a \rrbracket \implies P' \ a; \bigwedge b. \llbracket b \in \text{outs}'\text{-gpv } x; Q \ b \rrbracket \implies P' \ b$

$x; Q \ b \ ] \Longrightarrow Q' \ b \ ] \Longrightarrow \text{pred-gpv } P' \ Q' \ x$   
 $\langle \text{proof} \rangle$

**lemma** *pred-gpv-top* [simp]:  
 $\text{pred-gpv } (\lambda\cdot. \text{True}) (\lambda\cdot. \text{True}) = (\lambda\cdot. \text{True})$   
 $\langle \text{proof} \rangle$

**lemma** *pred-gpv-conj* [simp]:  
**shows** *pred-gpv-conj1*:  $\bigwedge P \ Q \ R. \text{pred-gpv } (\lambda x. P \ x \wedge Q \ x) \ R = (\lambda x. \text{pred-gpv } P \ R \ x \wedge \text{pred-gpv } Q \ R \ x)$   
**and** *pred-gpv-conj2*:  $\bigwedge P \ Q \ R. \text{pred-gpv } P \ (\lambda x. Q \ x \wedge R \ x) = (\lambda x. \text{pred-gpv } P \ Q \ x \wedge \text{pred-gpv } P \ R \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-restrict-relp1I* [intro?]:  
 $\llbracket \text{rel-gpv } R \ R' \ x \ y; \text{pred-gpv } P \ P' \ x; \text{pred-gpv } Q \ Q' \ y \rrbracket \Longrightarrow \text{rel-gpv } (R \upharpoonright P \otimes Q) (R' \upharpoonright P' \otimes Q') \ x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-restrict-relpE* [elim?]:  
**assumes**  $\text{rel-gpv } (R \upharpoonright P \otimes Q) (R' \upharpoonright P' \otimes Q') \ x \ y$   
**obtains**  $\text{rel-gpv } R \ R' \ x \ y \text{pred-gpv } P \ P' \ x \text{pred-gpv } Q \ Q' \ y$   
 $\langle \text{proof} \rangle$

**lemma** *gpv-pred-map* [simp]:  $\text{pred-gpv } P \ Q \ (\text{map-gpv } f \ g \ \text{gpv}) = \text{pred-gpv } (P \circ f) (Q \circ g) \ \text{gpv}$   
 $\langle \text{proof} \rangle$

### 4.3 Generalised mapper and relator

**context includes** *lifting-syntax* **begin**

**primcorec** *map-gpv'* ::  $('a \Rightarrow 'b) \Rightarrow ('out \Rightarrow 'out') \Rightarrow ('ret' \Rightarrow 'ret) \Rightarrow ('a, 'out, 'ret) \ \text{gpv} \Rightarrow ('b, 'out', 'ret') \ \text{gpv}$

**where**

$\text{map-gpv}' \ f \ g \ h \ \text{gpv} =$   
 $\text{GPV } (\text{map-spmf } (\text{map-generat } f \ g \ ((\circ) (\text{map-gpv}' \ f \ g \ h))) (\text{map-spmf } (\text{map-generat } id \ id \ (\text{map-fun } h \ id)) (\text{the-gpv } \text{gpv})))$

**declare** *map-gpv'.sel* [simp del]

**lemma** *map-gpv'-sel* [simp]:  
 $\text{the-gpv } (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = \text{map-spmf } (\text{map-generat } f \ g \ (h \dashrightarrow \text{map-gpv}' \ f \ g \ h)) (\text{the-gpv } \text{gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-GPV* [simp]:  
 $\text{map-gpv}' \ f \ g \ h \ (\text{GPV } p) = \text{GPV } (\text{map-spmf } (\text{map-generat } f \ g \ (h \dashrightarrow \text{map-gpv}' \ f \ g \ h)) \ p)$

$\langle \text{proof} \rangle$

**lemma** *map-gpv'-id*:  $\text{map-gpv}' \text{ id id id} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-comp*:  $\text{map-gpv}' f g h (\text{map-gpv}' f' g' h' \text{ gpv}) = \text{map-gpv}' (f \circ f') (g \circ g') (h' \circ h) \text{ gpv}$   
 $\langle \text{proof} \rangle$

**functor** *gpv*:  $\text{map-gpv}' \langle \text{proof} \rangle$

**lemma** *map-gpv-conv-map-gpv'*:  $\text{map-gpv} f g = \text{map-gpv}' f g \text{ id}$   
 $\langle \text{proof} \rangle$

**coinductive** *rel-gpv''* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('out \Rightarrow 'out' \Rightarrow \text{bool}) \Rightarrow ('ret \Rightarrow 'ret' \Rightarrow \text{bool}) \Rightarrow ('a, 'out, 'ret) \text{ gpv} \Rightarrow ('b, 'out', 'ret') \text{ gpv} \Rightarrow \text{bool}$   
**for**  $A C R$   
**where**  
 $\text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) (\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}')$   
 $\implies \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$

**lemma** *rel-gpv''-coinduct* [*consumes 1*, *case-names rel-gpv''*, *coinduct pred: rel-gpv''*]:  
 $\llbracket X \text{ gpv } \text{gpv}';$   
 $\bigwedge \text{gpv } \text{gpv}'. X \text{ gpv } \text{gpv}'$   
 $\implies \text{rel-spmf } (\text{rel-generat } A C (R \implies (\lambda \text{gpv } \text{gpv}'. X \text{ gpv } \text{gpv}' \vee \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}')))$   
 $(\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}') \rrbracket$   
 $\implies \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''D*:  
 $\text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$   
 $\implies \text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) (\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}')$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''-GPV* [*simp*]:  
 $\text{rel-gpv}'' A C R (\text{GPV } p) (\text{GPV } q) \longleftrightarrow$   
 $\text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) p q$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-conv-rel-gpv''*:  $\text{rel-gpv} A C = \text{rel-gpv}'' A C (=)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''-eq* :  
 $\text{rel-gpv}'' (=) (=) (=) (=)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''-mono*:

**assumes**  $A \leq A' \ C \leq C' \ R' \leq R$

**shows**  $\text{rel-gpv}'' \ A \ C \ R \leq \text{rel-gpv}'' \ A' \ C' \ R'$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-conversep*:  $\text{rel-gpv}'' \ A^{-1-1} \ C^{-1-1} \ R^{-1-1} = (\text{rel-gpv}'' \ A \ C \ R)^{-1-1}$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-pos-distr*:

$\text{rel-gpv}'' \ A \ C \ R \ OO \ \text{rel-gpv}'' \ A' \ C' \ R' \leq \text{rel-gpv}'' \ (A \ OO \ A') \ (C \ OO \ C') \ (R \ OO \ R')$

$\langle \text{proof} \rangle$

**lemma** *left-unique-rel-gpv''*:

$\llbracket \text{left-unique } A; \text{left-unique } C; \text{left-total } R \rrbracket \implies \text{left-unique } (\text{rel-gpv}'' \ A \ C \ R)$

$\langle \text{proof} \rangle$

**lemma** *right-unique-rel-gpv''*:

$\llbracket \text{right-unique } A; \text{right-unique } C; \text{right-total } R \rrbracket \implies \text{right-unique } (\text{rel-gpv}'' \ A \ C \ R)$

$\langle \text{proof} \rangle$

**lemma** *bi-unique-rel-gpv''* [transfer-rule]:

$\llbracket \text{bi-unique } A; \text{bi-unique } C; \text{bi-total } R \rrbracket \implies \text{bi-unique } (\text{rel-gpv}'' \ A \ C \ R)$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-map-gpv1*:

$\text{rel-gpv}'' \ A \ C \ R \ (\text{map-gpv } f \ g \ \text{gpv}) \ \text{gpv}' = \text{rel-gpv}'' \ (\lambda a. \ A \ (f \ a)) \ (\lambda c. \ C \ (g \ c)) \ R$

$\text{gpv} \ \text{gpv}' \ (\text{is } ?\text{lhs} = ?\text{rhs})$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-map-gpv2*:

$\text{rel-gpv}'' \ A \ C \ R \ \text{gpv} \ (\text{map-gpv } f \ g \ \text{gpv}') = \text{rel-gpv}'' \ (\lambda a \ b. \ A \ a \ (f \ b)) \ (\lambda c \ d. \ C \ c \ (g \ d)) \ R \ \text{gpv} \ \text{gpv}'$

$\langle \text{proof} \rangle$

**lemmas** *rel-gpv''-map-gpv* = *rel-gpv''-map-gpv1* [abs-def] *rel-gpv''-map-gpv2*

**lemma** *rel-gpv''-map-gpv'* [simp]:

**shows**  $\bigwedge f \ g \ h \ \text{gpv}. \ \text{NO-MATCH } id \ f \vee \text{NO-MATCH } id \ g$

$\implies \text{rel-gpv}'' \ A \ C \ R \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = \text{rel-gpv}'' \ (\lambda a. \ A \ (f \ a)) \ (\lambda c. \ C \ (g \ c))$

$R \ (\text{map-gpv}' \ id \ id \ h \ \text{gpv})$

**and**  $\bigwedge f \ g \ h \ \text{gpv} \ \text{gpv}'. \ \text{NO-MATCH } id \ f \vee \text{NO-MATCH } id \ g$

$\implies \text{rel-gpv}'' \ A \ C \ R \ \text{gpv} \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}') = \text{rel-gpv}'' \ (\lambda a \ b. \ A \ a \ (f \ b)) \ (\lambda c \ d. \ C \ c \ (g \ d)) \ R \ \text{gpv} \ (\text{map-gpv}' \ id \ id \ h \ \text{gpv}')$

$\langle \text{proof} \rangle$

**lemmas** *rel-gpv-map-gpv'* = *rel-gpv''-map-gpv'* [where  $R=(=)$ , folded *rel-gpv-conv-rel-gpv'*]



**definition**  $rel\text{-}witness\text{-}gpv :: ('a \Rightarrow 'd \Rightarrow bool) \Rightarrow ('b \Rightarrow 'e \Rightarrow bool) \Rightarrow ('c \Rightarrow 'g \Rightarrow bool) \Rightarrow ('g \Rightarrow 'f \Rightarrow bool) \Rightarrow ('a, 'b, 'c) \text{ } gpv \times ('d, 'e, 'f) \text{ } gpv \Rightarrow ('a \times 'd, 'b \times 'e, 'g) \text{ } gpv$  **where**

$rel\text{-}witness\text{-}gpv \ A \ C \ R \ R' = corec\text{-}gpv \ ($   
 $\quad map\text{-}spmf \ (map\text{-}generat \ id \ id \ (\lambda(rpv, rpv'). (Inr \circ rel\text{-}witness\text{-}fun \ R \ R' \ (rpv,$   
 $\quad rpv')))) \circ rel\text{-}witness\text{-}generat) \circ$   
 $\quad rel\text{-}witness\text{-}spmf \ (rel\text{-}generat \ A \ C \ (rel\text{-}fun \ (R \ OO \ R') \ (rel\text{-}gpv'' \ A \ C \ (R \ OO$   
 $\quad R')))) \circ map\text{-}prod \ the\text{-}gpv \ the\text{-}gpv)$

**lemma**  $rel\text{-}witness\text{-}gpv\text{-}sel \ [simp]:$

$the\text{-}gpv \ (rel\text{-}witness\text{-}gpv \ A \ C \ R \ R' \ (gpv, gpv')) =$   
 $\quad map\text{-}spmf \ (map\text{-}generat \ id \ id \ (\lambda(rpv, rpv'). (rel\text{-}witness\text{-}gpv \ A \ C \ R \ R' \circ$   
 $\quad rel\text{-}witness\text{-}fun \ R \ R' \ (rpv, rpv')))) \circ rel\text{-}witness\text{-}generat)$   
 $\quad (rel\text{-}witness\text{-}spmf \ (rel\text{-}generat \ A \ C \ (rel\text{-}fun \ (R \ OO \ R') \ (rel\text{-}gpv'' \ A \ C \ (R \ OO$   
 $\quad R')))) \ (the\text{-}gpv \ gpv, the\text{-}gpv \ gpv'))$   
 $\langle proof \rangle$

**lemma** **assumes**  $rel\text{-}gpv'' \ A \ C \ (R \ OO \ R') \ gpv \ gpv'$

**and**  $R$ :  $left\text{-}unique \ R \ right\text{-}total \ R$

**and**  $R'$ :  $right\text{-}unique \ R' \ left\text{-}total \ R'$

**shows**  $rel\text{-}witness\text{-}gpv1$ :  $rel\text{-}gpv'' \ (\lambda a \ (a', b). a = a' \wedge A \ a' \ b) \ (\lambda c \ (c', d). c = c' \wedge C \ c' \ d) \ R \ gpv \ (rel\text{-}witness\text{-}gpv \ A \ C \ R \ R' \ (gpv, gpv'))$  (**is**  $?thesis1$ )

**and**  $rel\text{-}witness\text{-}gpv2$ :  $rel\text{-}gpv'' \ (\lambda(a, b') \ b. b = b' \wedge A \ a \ b') \ (\lambda(c, d') \ d. d = d' \wedge C \ c \ d') \ R' \ (rel\text{-}witness\text{-}gpv \ A \ C \ R \ R' \ (gpv, gpv')) \ gpv'$  (**is**  $?thesis2$ )  
 $\langle proof \rangle$

**lemma**  $rel\text{-}gpv''\text{-}neg\text{-}distr$ :

**assumes**  $R$ :  $left\text{-}unique \ R \ right\text{-}total \ R$

**and**  $R'$ :  $right\text{-}unique \ R' \ left\text{-}total \ R'$

**shows**  $rel\text{-}gpv'' \ (A \ OO \ A') \ (C \ OO \ C') \ (R \ OO \ R') \leq rel\text{-}gpv'' \ A \ C \ R \ OO \ rel\text{-}gpv'' \ A' \ C' \ R'$   
 $\langle proof \rangle$

**lemma**  $rel\text{-}gpv''\text{-}mono'$  [ $mono$ ]:

**assumes**  $\bigwedge x \ y. A \ x \ y \longrightarrow A' \ x \ y$

**and**  $\bigwedge x \ y. C \ x \ y \longrightarrow C' \ x \ y$

**and**  $\bigwedge x \ y. R' \ x \ y \longrightarrow R \ x \ y$

**shows**  $rel\text{-}gpv'' \ A \ C \ R \ gpv \ gpv' \longrightarrow rel\text{-}gpv'' \ A' \ C' \ R' \ gpv \ gpv'$

$\langle proof \rangle$

**lemma**  $left\text{-}total\text{-}rel\text{-}gpv'$ :

$\llbracket left\text{-}total \ A; left\text{-}total \ C; left\text{-}unique \ R; right\text{-}total \ R \rrbracket \Longrightarrow left\text{-}total \ (rel\text{-}gpv'' \ A \ C \ R)$   
 $\langle proof \rangle$

**lemma**  $right\text{-}total\text{-}rel\text{-}gpv'$ :

$\llbracket right\text{-}total \ A; right\text{-}total \ C; right\text{-}unique \ R; left\text{-}total \ R \rrbracket \Longrightarrow right\text{-}total \ (rel\text{-}gpv'' \ A \ C \ R)$

$\langle \text{proof} \rangle$

**lemma** *bi-total-rel-gpv'* [transfer-rule]:

$\llbracket \text{bi-total } A; \text{bi-total } C; \text{bi-unique } R; \text{bi-total } R \rrbracket \implies \text{bi-total } (\text{rel-gpv}'' A \ C \ R)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-conversep-grp-grp*:

$\text{rel-fun } (\text{conversep } (\text{BNF-Def.Grp } \text{UNIV } f)) (\text{BNF-Def.Grp } B \ g) = \text{BNF-Def.Grp}$   
 $\{x. (x \circ f) \text{ ' } \text{UNIV} \subseteq B\} (\text{map-fun } f \ g)$   
 $\langle \text{proof} \rangle$

**lemma** *Quotient-gpv*:

**assumes** *Q1: Quotient* *R1 Abs1 Rep1 T1*  
**and** *Q2: Quotient* *R2 Abs2 Rep2 T2*  
**and** *Q3: Quotient* *R3 Abs3 Rep3 T3*  
**shows** *Quotient*  $(\text{rel-gpv}'' R1 \ R2 \ R3) (\text{map-gpv}' \text{ Abs1 } \text{ Abs2 } \text{ Rep3}) (\text{map-gpv}'$   
*Rep1 Rep2 Abs3) (rel-gpv'' T1 T2 T3)*  
**(is** *Quotient ?R ?abs ?rep ?T)*  
 $\langle \text{proof} \rangle$

**lemma** *the-gpv-parametric'*:

$(\text{rel-gpv}'' A \ C \ R \implies \text{rel-spmf } (\text{rel-generat } A \ C \ (R \implies \text{rel-gpv}'' A \ C \ R)))$   
*the-gpv the-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *GPV-parametric'*:

$(\text{rel-spmf } (\text{rel-generat } A \ C \ (R \implies \text{rel-gpv}'' A \ C \ R)) \implies \text{rel-gpv}'' A \ C \ R)$   
*GPV GPV*  
 $\langle \text{proof} \rangle$

**lemma** *corec-gpv-parametric'*:

$((S \implies \text{rel-spmf } (\text{rel-generat } A \ C \ (R \implies \text{rel-sum } (\text{rel-gpv}'' A \ C \ R) \ S)))$   
 $\implies S \implies \text{rel-gpv}'' A \ C \ R)$   
*corec-gpv corec-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-parametric* [transfer-rule]:

$((A \implies A') \implies (C \implies C') \implies (R' \implies R) \implies \text{rel-gpv}''$   
 $A \ C \ R \implies \text{rel-gpv}'' A' \ C' \ R') \text{ map-gpv}' \text{ map-gpv}'$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv-parametric'*:  $((A \implies A') \implies (C \implies C') \implies \text{rel-gpv}''$

$A \ C \ R \implies \text{rel-gpv}'' A' \ C' \ R) \text{ map-gpv } \text{map-gpv}$   
 $\langle \text{proof} \rangle$

**end**

#### 4.4 Simple, derived operations

**primcorec** *Done* :: 'a  $\Rightarrow$  ('a, 'out, 'in) gpv  
**where** *the-gpv* (*Done* a) = *return-spmf* (*Pure* a)

**primcorec** *Pause* :: 'out  $\Rightarrow$  ('in  $\Rightarrow$  ('a, 'out, 'in) gpv)  $\Rightarrow$  ('a, 'out, 'in) gpv  
**where** *the-gpv* (*Pause* out c) = *return-spmf* (*IO* out c)

**primcorec** *lift-spmf* :: 'a spmf  $\Rightarrow$  ('a, 'out, 'in) gpv  
**where** *the-gpv* (*lift-spmf* p) = *map-spmf* *Pure* p

**definition** *Fail* :: ('a, 'out, 'in) gpv  
**where** *Fail* = *GPV* (*return-pmf* *None*)

**definition** *React* :: ('in  $\Rightarrow$  'out  $\times$  ('a, 'out, 'in) rpv)  $\Rightarrow$  ('a, 'out, 'in) rpv  
**where** *React* f *input* = *case-prod* *Pause* (f *input*)

**definition** *rFail* :: ('a, 'out, 'in) rpv  
**where** *rFail* = ( $\lambda$ -. *Fail*)

**lemma** *Done-inject* [*simp*]: *Done* x = *Done* y  $\longleftrightarrow$  x = y  
 $\langle$ *proof* $\rangle$

**lemma** *Pause-inject* [*simp*]: *Pause* out c = *Pause* out' c'  $\longleftrightarrow$  out = out'  $\wedge$  c = c'  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]:  
**shows** *Done-neq-Pause*: *Done* x  $\neq$  *Pause* out c  
**and** *Pause-neq-Done*: *Pause* out c  $\neq$  *Done* x  
 $\langle$ *proof* $\rangle$

**lemma** *outs'-gpv-Done* [*simp*]: *outs'-gpv* (*Done* x) = {}  
 $\langle$ *proof* $\rangle$

**lemma** *results'-gpv-Done* [*simp*]: *results'-gpv* (*Done* x) = {x}  
 $\langle$ *proof* $\rangle$

**lemma** *pred-gpv-Done* [*simp*]: *pred-gpv* P Q (*Done* x) = P x  
 $\langle$ *proof* $\rangle$

**lemma** *outs'-gpv-Pause* [*simp*]: *outs'-gpv* (*Pause* out c) = *insert* out ( $\bigcup$  *input*.  
*outs'-gpv* (c *input*))  
 $\langle$ *proof* $\rangle$

**lemma** *results'-gpv-Pause* [*simp*]: *results'-gpv* (*Pause* out rpv) = *results'-rpv* rpv  
 $\langle$ *proof* $\rangle$

**lemma** *pred-gpv-Pause* [*simp*]: *pred-gpv* P Q (*Pause* x c) = (Q x  $\wedge$  *All* (*pred-gpv* P Q  $\circ$  c))  
 $\langle$ *proof* $\rangle$

**lemma** *lift-spmf-return* [simp]: *lift-spmf (return-spmf x) = Done x*  
 ⟨proof⟩

**lemma** *lift-spmf-None* [simp]: *lift-spmf (return-pmf None) = Fail*  
 ⟨proof⟩

**lemma** *the-gpv-lift-spmf* [simp]: *the-gpv (lift-spmf r) = map-spmf Pure r*  
 ⟨proof⟩

**lemma** *outs'-gpv-lift-spmf* [simp]: *outs'-gpv (lift-spmf p) = {}*  
 ⟨proof⟩

**lemma** *results'-gpv-lift-spmf* [simp]: *results'-gpv (lift-spmf p) = set-spmf p*  
 ⟨proof⟩

**lemma** *pred-gpv-lift-spmf* [simp]: *pred-gpv P Q (lift-spmf p) = pred-spmf P p*  
 ⟨proof⟩

**lemma** *lift-spmf-inject* [simp]: *lift-spmf p = lift-spmf q  $\longleftrightarrow$  p = q*  
 ⟨proof⟩

**lemma** *map-lift-spmf*: *map-gpv f g (lift-spmf p) = lift-spmf (map-spmf f p)*  
 ⟨proof⟩

**lemma** *lift-map-spmf*: *lift-spmf (map-spmf f p) = map-gpv f id (lift-spmf p)*  
 ⟨proof⟩

**lemma** [simp]:  
 shows *Fail-neq-Pause*: *Fail  $\neq$  Pause out c*  
 and *Pause-neq-Fail*: *Pause out c  $\neq$  Fail*  
 and *Fail-neq-Done*: *Fail  $\neq$  Done x*  
 and *Done-neq-Fail*: *Done x  $\neq$  Fail*  
 ⟨proof⟩

Add *unit* closure to circumvent SML value restriction

**definition** *Fail'* :: *unit  $\Rightarrow$  ('a, 'out, 'in) gpv*  
**where** [code del]: *Fail' - = Fail*

**lemma** *Fail-code* [code-unfold]: *Fail = Fail' ()*  
 ⟨proof⟩

**lemma** *Fail'-code* [code]:  
*Fail' x = GPV (return-pmf None)*  
 ⟨proof⟩

**lemma** *Fail-sel* [simp]:  
*the-gpv Fail = return-pmf None*  
 ⟨proof⟩

**lemma** *Fail-eq-GPV-iff* [simp]:  $\text{Fail} = \text{GPV } f \longleftrightarrow f = \text{return-pmf None}$   
 <proof>

**lemma** *outs'-gpv-Fail* [simp]:  $\text{outs}'\text{-gpv Fail} = \{\}$   
 <proof>

**lemma** *results'-gpv-Fail* [simp]:  $\text{results}'\text{-gpv Fail} = \{\}$   
 <proof>

**lemma** *pred-gpv-Fail* [simp]:  $\text{pred-gpv } P \ Q \ \text{Fail}$   
 <proof>

**lemma** *React-inject* [iff]:  $\text{React } f = \text{React } f' \longleftrightarrow f = f'$   
 <proof>

**lemma** *React-apply* [simp]:  $f \text{ input} = (\text{out}, c) \implies \text{React } f \text{ input} = \text{Pause out } c$   
 <proof>

**lemma** *rFail-apply* [simp]:  $r\text{Fail input} = \text{Fail}$   
 <proof>

**lemma** [simp]:  
 shows *rFail-neq-React*:  $r\text{Fail} \neq \text{React } f$   
 and *React-neq-rFail*:  $\text{React } f \neq r\text{Fail}$   
 <proof>

**lemma** *rel-gpv-FailI* [simp]:  $\text{rel-gpv } A \ C \ \text{Fail } \text{Fail}$   
 <proof>

**lemma** *rel-gpv-Done* [iff]:  $\text{rel-gpv } A \ C \ (\text{Done } x) \ (\text{Done } y) \longleftrightarrow A \ x \ y$   
 <proof>

**lemma** *rel-gpv''-Done* [iff]:  $\text{rel-gpv}'' \ A \ C \ R \ (\text{Done } x) \ (\text{Done } y) \longleftrightarrow A \ x \ y$   
 <proof>

**lemma** *rel-gpv-Pause* [iff]:  
 $\text{rel-gpv } A \ C \ (\text{Pause out } c) \ (\text{Pause out}' \ c') \longleftrightarrow C \ \text{out out}' \wedge (\forall x. \text{rel-gpv } A \ C \ (c \ x) \ (c' \ x))$   
 <proof>

**lemma** *rel-gpv''-Pause* [iff]:  
 $\text{rel-gpv}'' \ A \ C \ R \ (\text{Pause out } c) \ (\text{Pause out}' \ c') \longleftrightarrow C \ \text{out out}' \wedge (\forall x \ x'. R \ x \ x' \longrightarrow \text{rel-gpv}'' \ A \ C \ R \ (c \ x) \ (c' \ x'))$   
 <proof>

**lemma** *rel-gpv-lift-spmf* [iff]:  $\text{rel-gpv } A \ C \ (\text{lift-spmf } p) \ (\text{lift-spmf } q) \longleftrightarrow \text{rel-spmf } A \ p \ q$   
 <proof>

**lemma** *rel-gpv''-lift-spmf* [iff]:  
 $rel-gpv'' A C R (lift-spmf p) (lift-spmf q) \longleftrightarrow rel-spmf A p q$   
 <proof>

**context includes** *lifting-syntax* **begin**

**lemmas** *Fail-parametric* [transfer-rule] = *rel-gpv-FailI*

**lemma** *Fail-parametric'* [simp]:  $rel-gpv'' A C R Fail Fail$   
 <proof>

**lemma** *Done-parametric* [transfer-rule]:  $(A ==> rel-gpv A C) Done Done$   
 <proof>

**lemma** *Done-parametric'*:  $(A ==> rel-gpv'' A C R) Done Done$   
 <proof>

**lemma** *Pause-parametric* [transfer-rule]:  
 $(C ==> ((=) ==> rel-gpv A C) ==> rel-gpv A C) Pause Pause$   
 <proof>

**lemma** *Pause-parametric'*:  
 $(C ==> (R ==> rel-gpv'' A C R) ==> rel-gpv'' A C R) Pause Pause$   
 <proof>

**lemma** *lift-spmf-parametric* [transfer-rule]:  
 $(rel-spmf A ==> rel-gpv A C) lift-spmf lift-spmf$   
 <proof>

**lemma** *lift-spmf-parametric'*:  
 $(rel-spmf A ==> rel-gpv'' A C R) lift-spmf lift-spmf$   
 <proof>  
**end**

**lemma** *map-gpv-Done* [simp]:  $map-gpv f g (Done x) = Done (f x)$   
 <proof>

**lemma** *map-gpv'-Done* [simp]:  $map-gpv' f g h (Done x) = Done (f x)$   
 <proof>

**lemma** *map-gpv-Pause* [simp]:  $map-gpv f g (Pause x c) = Pause (g x) (map-gpv f g \circ c)$   
 <proof>

**lemma** *map-gpv'-Pause* [simp]:  $map-gpv' f g h (Pause x c) = Pause (g x) (map-gpv' f g h \circ c \circ h)$   
 <proof>

**lemma** *map-gpv-Fail* [simp]:  $map-gpv f g Fail = Fail$

$\langle \text{proof} \rangle$

**lemma** *map-gpv'-Fail* [simp]: *map-gpv' f g h Fail = Fail*  
 $\langle \text{proof} \rangle$

## 4.5 Monad structure

**primcorec** *bind-gpv* :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'out, 'in) gpv)  $\Rightarrow$  ('b, 'out, 'in) gpv

**where**

*the-gpv* (*bind-gpv* *r f*) =  
*map-spmf* (*map-generat* *id id* (( $\circ$ ) (*case-sum id* ( $\lambda r$ . *bind-gpv r f*))))  
(*the-gpv* *r*  $\gg$  *case-generat*  
( $\lambda x$ . *map-spmf* (*map-generat id id* (( $\circ$ ) *Inl*)) (*the-gpv* (*f x*)))  
( $\lambda \text{out } c$ . *return-spmf* (*IO out* ( $\lambda \text{input}$ . *Inr* (*c input*))))))

**declare** *bind-gpv.sel* [simp del]

**adhoc-overloading** *Monad-Syntax.bind*  $\equiv$  *bind-gpv*

**lemma** *bind-gpv-unfold* [code]:

*r*  $\gg$  *f* = *GPV* (  
*do* {  
*generat*  $\leftarrow$  *the-gpv r*;  
*case generat of Pure x*  $\Rightarrow$  *the-gpv (f x)*  
| *IO out c*  $\Rightarrow$  *return-spmf (IO out* ( $\lambda \text{input}$ . *c input*  $\gg$  *f*))  
})  
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv-code-cong*: *f* = *f'*  $\Longrightarrow$  *bind-gpv f g* = *bind-gpv f' g*  $\langle \text{proof} \rangle$   
 $\langle \text{ML} \rangle$

**lemma** *bind-gpv-sel*:

*the-gpv* (*r*  $\gg$  *f*) =  
*do* {  
*generat*  $\leftarrow$  *the-gpv r*;  
*case generat of Pure x*  $\Rightarrow$  *the-gpv (f x)*  
| *IO out c*  $\Rightarrow$  *return-spmf (IO out* ( $\lambda \text{input}$ . *bind-gpv (c input) f*))  
}  
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv-sel'* [simp]:

*the-gpv* (*r*  $\gg$  *f*) =  
*do* {  
*generat*  $\leftarrow$  *the-gpv r*;  
*if is-Pure generat then the-gpv (f (result generat))*  
*else return-spmf (IO (output generat) (* $\lambda \text{input}$ . *bind-gpv (continuation generat input) f*))

}  
 <proof>

**lemma** *Done-bind-gpv* [simp]:  $\text{Done } a \ggg f = f a$   
 <proof>

**lemma** *bind-gpv-Done* [simp]:  $f \ggg \text{Done} = f$   
 <proof>

**lemma** *if-distrib-bind-gpv2* [if-distrib]:  
 $\text{bind-gpv } \text{gpv } (\lambda y. \text{if } b \text{ then } f y \text{ else } g y) = (\text{if } b \text{ then } \text{bind-gpv } \text{gpv } f \text{ else } \text{bind-gpv } \text{gpv } g)$   
 <proof>

**lemma** *lift-spmf-bind*:  $\text{lift-spmf } r \ggg f = \text{GPV } (r \ggg \text{the-gpv } \circ f)$   
 <proof>

**lemma** *the-gpv-bind-gpv-lift-spmf* [simp]:  
 $\text{the-gpv } (\text{bind-gpv } (\text{lift-spmf } p) f) = \text{bind-spmf } p (\text{the-gpv } \circ f)$   
 <proof>

**lemma** *lift-spmf-bind-spmf*:  $\text{lift-spmf } (p \ggg f) = \text{lift-spmf } p \ggg (\lambda x. \text{lift-spmf } (f x))$   
 <proof>

**lemma** *lift-bind-spmf*:  $\text{lift-spmf } (\text{bind-spmf } p f) = \text{bind-gpv } (\text{lift-spmf } p) (\text{lift-spmf } \circ f)$   
 <proof>

**lemma** *GPV-bind*:  
 $\text{GPV } f \ggg g =$   
 $\text{GPV } (f \ggg (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{the-gpv } (g x) \mid \text{IO out } c \Rightarrow \text{return-spmf } (\text{IO out } (\lambda \text{input. } c \text{ input } \ggg g))))$   
 <proof>

**lemma** *GPV-bind'*:  
 $\text{GPV } f \ggg g = \text{GPV } (f \ggg (\lambda \text{generat. if is-Pure generat then the-gpv } (g (\text{result generat})) \text{ else return-spmf } (\text{IO } (\text{output generat}) (\lambda \text{input. continuation generat input } \ggg g))))$   
 <proof>

**lemma** *bind-gpv-assoc*:  
**fixes**  $f :: ('a, 'out, 'in) \text{gpv}$   
**shows**  $(f \ggg g) \ggg h = f \ggg (\lambda x. g x \ggg h)$   
 <proof>

**lemma** *map-gpv-bind-gpv*:  $\text{map-gpv } f g (\text{bind-gpv } \text{gpv } h) = \text{bind-gpv } (\text{map-gpv } \text{id } g \text{ gpv}) (\lambda x. \text{map-gpv } f g (h x))$   
 <proof>



**lemma** *map-gpv-id-bind-gpv*:  $\text{map-gpv } f \text{ id } (\text{bind-gpv } \text{gpv } g) = \text{bind-gpv } \text{gpv } (\text{map-gpv } f \text{ id } \circ g)$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv-conv-bind*:  
 $\text{map-gpv } f (\lambda x. x) x = \text{bind-gpv } x (\lambda x. \text{Done } (f x))$   
 $\langle \text{proof} \rangle$

**lemma** *bind-map-gpv*:  $\text{bind-gpv } (\text{map-gpv } f \text{ id } \text{gpv}) g = \text{bind-gpv } \text{gpv } (g \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *outs-bind-gpv*:  
 $\text{outs}'\text{-gpv } (\text{bind-gpv } x f) = \text{outs}'\text{-gpv } x \cup (\bigcup x \in \text{results}'\text{-gpv } x. \text{outs}'\text{-gpv } (f x))$   
 $(\text{is } ?\text{lhs} = ?\text{rhs})$   
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv-Fail [simp]*:  $\text{Fail} \ggg f = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv-eq-Fail*:  
 $\text{bind-gpv } \text{gpv } f = \text{Fail} \longleftrightarrow (\forall x \in \text{set-spmf } (\text{the-gpv } \text{gpv}). \text{is-Pure } x) \wedge (\forall x \in \text{results}'\text{-gpv } \text{gpv}. f x = \text{Fail})$   
 $(\text{is } ?\text{lhs} = ?\text{rhs})$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *bind-gpv-parametric [transfer-rule]*:  
 $(\text{rel-gpv } A \ C \ ==\Rightarrow (A \ ==\Rightarrow \text{rel-gpv } B \ C) \ ==\Rightarrow \text{rel-gpv } B \ C) \text{ bind-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv-parametric'*:  
 $(\text{rel-gpv}'' A \ C \ R \ ==\Rightarrow (A \ ==\Rightarrow \text{rel-gpv}'' B \ C \ R) \ ==\Rightarrow \text{rel-gpv}'' B \ C \ R)$   
 $\text{bind-gpv bind-gpv}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *monad-gpv [locale-witness]*:  $\text{monad Done bind-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *monad-fail-gpv [locale-witness]*:  $\text{monad-fail Done bind-gpv Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-bindI*:  
 $\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}'; \bigwedge x y. A \ x \ y \implies \text{rel-gpv } B \ C \ (f x) \ (g y) \rrbracket$   
 $\implies \text{rel-gpv } B \ C \ (\text{bind-gpv } \text{gpv } f) (\text{bind-gpv } \text{gpv}' g)$

$\langle proof \rangle$

**lemma** *bind-gpv-cong*:

$\llbracket gpv = gpv'; \bigwedge x. x \in results'-gpv\ gpv' \implies f\ x = g\ x \rrbracket \implies bind-gpv\ gpv\ f = bind-gpv\ gpv'\ g$   
 $\langle proof \rangle$

**definition** *bind-rpv* :: (*'a*, *'in*, *'out*) *rpv*  $\Rightarrow$  (*'a*  $\Rightarrow$  (*'b*, *'in*, *'out*) *gpv*)  $\Rightarrow$  (*'b*, *'in*, *'out*) *rpv*

**where** *bind-rpv* *rpv* *f* = (*λinput*. *bind-gpv* (*rpv* *input*) *f*)

**lemma** *bind-rpv-apply* [*simp*]: *bind-rpv* *rpv* *f* *input* = *bind-gpv* (*rpv* *input*) *f*

$\langle proof \rangle$

**adhoc-overloading** *Monad-Syntax*.*bind*  $\equiv$  *bind-rpv*

**lemma** *bind-rpv-code-cong*: *rpv* = *rpv'*  $\implies bind-rpv\ rpv\ f = bind-rpv\ rpv'\ f$   $\langle proof \rangle$   
 $\langle ML \rangle$

**lemma** *bind-rpv-rDone* [*simp*]: *bind-rpv* *rpv* *Done* = *rpv*

$\langle proof \rangle$

**lemma** *bind-gpv-Pause* [*simp*]: *bind-gpv* (*Pause* *out* *rpv*) *f* = *Pause* *out* (*bind-rpv* *rpv* *f*)

$\langle proof \rangle$

**lemma** *bind-rpv-React* [*simp*]: *bind-rpv* (*React* *f*) *g* = *React* (*apsnd* (*λrpv*. *bind-rpv* *rpv* *g*)  $\circ$  *f*)

$\langle proof \rangle$

**lemma** *bind-rpv-assoc*: *bind-rpv* (*bind-rpv* *rpv* *f*) *g* = *bind-rpv* *rpv* ((*λgpv*. *bind-gpv* *gpv* *g*)  $\circ$  *f*)

$\langle proof \rangle$

**lemma** *bind-rpv-Done* [*simp*]: *bind-rpv* *Done* *f* = *f*

$\langle proof \rangle$

**lemma** *results'-rpv-Done* [*simp*]: *results'-rpv* *Done* = *UNIV*

$\langle proof \rangle$

## 4.6 Embedding *'a* *spmf* as a monad

**lemma** *neg-fun-distr3*:

**includes** *lifting-syntax*

**assumes** 1: *left-unique* *R* *right-total* *R*

**assumes** 2: *right-unique* *S* *left-total* *S*

**shows** (*R* *OO* *R'*  $\implies$  *S* *OO* *S'*)  $\leq$  ((*R*  $\implies$  *S*) *OO* (*R'*  $\implies$  *S'*))

$\langle proof \rangle$

**locale** *spmf-to-gpv* **begin**

The lifting package cannot handle free term variables in the merging of transfer rules, so for the embedding we define a specialised relator *rel-gpv'* which acts only on the returned values.

**definition** *rel-gpv'* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'out, 'in) *gpv*  $\Rightarrow$  ('b, 'out, 'in) *gpv*  $\Rightarrow$  bool

**where** *rel-gpv'* A = *rel-gpv* A (=)

**lemma** *rel-gpv'-eq* [*relator-eq*]: *rel-gpv'* (=) = (=)  
 $\langle proof \rangle$

**lemma** *rel-gpv'-mono* [*relator-mono*]:  $A \leq B \implies \text{rel-gpv}' A \leq \text{rel-gpv}' B$   
 $\langle proof \rangle$

**lemma** *rel-gpv'-distr* [*relator-distr*]: *rel-gpv'* A OO *rel-gpv'* B = *rel-gpv'* (A OO B)  
 $\langle proof \rangle$

**lemma** *left-unique-rel-gpv'* [*transfer-rule*]: *left-unique* A  $\implies$  *left-unique* (*rel-gpv'* A)  
 $\langle proof \rangle$

**lemma** *right-unique-rel-gpv'* [*transfer-rule*]: *right-unique* A  $\implies$  *right-unique* (*rel-gpv'* A)  
 $\langle proof \rangle$

**lemma** *bi-unique-rel-gpv'* [*transfer-rule*]: *bi-unique* A  $\implies$  *bi-unique* (*rel-gpv'* A)  
 $\langle proof \rangle$

**lemma** *left-total-rel-gpv'* [*transfer-rule*]: *left-total* A  $\implies$  *left-total* (*rel-gpv'* A)  
 $\langle proof \rangle$

**lemma** *right-total-rel-gpv'* [*transfer-rule*]: *right-total* A  $\implies$  *right-total* (*rel-gpv'* A)  
 $\langle proof \rangle$

**lemma** *bi-total-rel-gpv'* [*transfer-rule*]: *bi-total* A  $\implies$  *bi-total* (*rel-gpv'* A)  
 $\langle proof \rangle$

We cannot use *setup-lifting* because ('a, 'out, 'in) *gpv* contains type variables which do not appear in 'a *spmf*.

**definition** *cr-spmf-gpv* :: 'a *spmf*  $\Rightarrow$  ('a, 'out, 'in) *gpv*  $\Rightarrow$  bool  
**where** *cr-spmf-gpv* p *gpv*  $\longleftrightarrow$  *gpv* = *lift-spmf* p

**definition** *spmf-of-gpv* :: ('a, 'out, 'in) *gpv*  $\Rightarrow$  'a *spmf*  
**where** *spmf-of-gpv* *gpv* = (THE p. *gpv* = *lift-spmf* p)

**lemma** *spmf-of-gpv-lift-spmf* [*simp*]: *spmf-of-gpv* (*lift-spmf* p) = p  
 $\langle proof \rangle$

**lemma** *rel-spmf-setD2*:

$\llbracket \text{rel-spmf } A \text{ } p \text{ } q; y \in \text{set-spmf } q \rrbracket \implies \exists x \in \text{set-spmf } p. A \text{ } x \text{ } y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-lift-spmf1*:  $\text{rel-gpv } A \text{ } B \text{ } (\text{lift-spmf } p) \text{ } gpv \longleftrightarrow (\exists q. gpv = \text{lift-spmf } q \wedge \text{rel-spmf } A \text{ } p \text{ } q)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-lift-spmf2*:  $\text{rel-gpv } A \text{ } B \text{ } gpv \text{ } (\text{lift-spmf } q) \longleftrightarrow (\exists p. gpv = \text{lift-spmf } p \wedge \text{rel-spmf } A \text{ } p \text{ } q)$   
 $\langle \text{proof} \rangle$

**definition** *pcr-spmf-gpv* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ } \text{spmf} \Rightarrow ('b, 'out, 'in) \text{ } gpv \Rightarrow \text{bool}$

**where**  $\text{pcr-spmf-gpv } A = \text{cr-spmf-gpv } OO \text{ rel-gpv } A \text{ } (=)$

**lemma** *pcr-cr-eq-spmf-gpv*:  $\text{pcr-spmf-gpv } (=) = \text{cr-spmf-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *left-unique-cr-spmf-gpv*:  $\text{left-unique cr-spmf-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *left-unique-pcr-spmf-gpv* [*transfer-rule*]:  
 $\text{left-unique } A \implies \text{left-unique } (\text{pcr-spmf-gpv } A)$   
 $\langle \text{proof} \rangle$

**lemma** *right-unique-cr-spmf-gpv*:  $\text{right-unique cr-spmf-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *right-unique-pcr-spmf-gpv* [*transfer-rule*]:  
 $\text{right-unique } A \implies \text{right-unique } (\text{pcr-spmf-gpv } A)$   
 $\langle \text{proof} \rangle$

**lemma** *bi-unique-cr-spmf-gpv*:  $\text{bi-unique cr-spmf-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *bi-unique-pcr-spmf-gpv* [*transfer-rule*]:  $\text{bi-unique } A \implies \text{bi-unique } (\text{pcr-spmf-gpv } A)$   
 $\langle \text{proof} \rangle$

**lemma** *left-total-cr-spmf-gpv*:  $\text{left-total cr-spmf-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *left-total-pcr-spmf-gpv* [*transfer-rule*]:  $\text{left-total } A \implies \text{left-total } (\text{pcr-spmf-gpv } A)$   
 $\langle \text{proof} \rangle$

**context** *includes lifting-syntax* **begin**

**lemma** *return-spmf-gpv-transfer'*:

$((=) \implies \text{cr-spmf-gpv}) \text{ return-spmf Done}$   
 $\langle \text{proof} \rangle$

**lemma** *return-spmf-gpv-transfer* [transfer-rule]:

$(A \implies \text{pcr-spmf-gpv } A) \text{ return-spmf Done}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-spmf-gpv-transfer'*:

$(\text{cr-spmf-gpv} \implies ((=) \implies \text{cr-spmf-gpv}) \implies \text{cr-spmf-gpv}) \text{ bind-spmf}$   
 $\text{bind-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-spmf-gpv-transfer* [transfer-rule]:

$(\text{pcr-spmf-gpv } A \implies (A \implies \text{pcr-spmf-gpv } B) \implies \text{pcr-spmf-gpv } B)$   
 $\text{bind-spmf bind-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *lift-spmf-gpv-transfer'*:

$((=) \implies \text{cr-spmf-gpv}) (\lambda x. x) \text{ lift-spmf}$   
 $\langle \text{proof} \rangle$

**lemma** *lift-spmf-gpv-transfer* [transfer-rule]:

$(\text{rel-spmf } A \implies \text{pcr-spmf-gpv } A) (\lambda x. x) \text{ lift-spmf}$   
 $\langle \text{proof} \rangle$

**lemma** *fail-spmf-gpv-transfer'*:  $\text{cr-spmf-gpv (return-pmf None) Fail}$

$\langle \text{proof} \rangle$

**lemma** *fail-spmf-gpv-transfer* [transfer-rule]:  $\text{pcr-spmf-gpv } A \text{ (return-pmf None)}$

$\text{Fail}$

$\langle \text{proof} \rangle$

**lemma** *map-spmf-gpv-transfer'*:

$((=) \implies R \implies \text{cr-spmf-gpv} \implies \text{cr-spmf-gpv}) (\lambda f g. \text{map-spmf } f)$   
 $\text{map-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *map-spmf-gpv-transfer* [transfer-rule]:

$((A \implies B) \implies R \implies \text{pcr-spmf-gpv } A \implies \text{pcr-spmf-gpv } B) (\lambda f g.$   
 $\text{map-spmf } f) \text{ map-gpv}$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 4.7 Embedding 'a option as a monad

**locale** *option-to-gpv* **begin**

**interpretation** *option-to-spmf*  $\langle \text{proof} \rangle$

**interpretation** *spmf-to-gpv*  $\langle \text{proof} \rangle$

**definition** *cr-option-gpv* :: 'a option  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool

**where** *cr-option-gpv*  $x$  gpv  $\longleftrightarrow$  gpv = (lift-spmf  $\circ$  return-pmf)  $x$

**lemma** *cr-option-gpv-conv-OO*:

*cr-option-gpv* = *cr-spmf-option*<sup>-1-1</sup> OO *cr-spmf-gpv*  
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

These transfer rules should follow from merging the transfer rules, but this has not yet been implemented.

**lemma** *return-option-gpv-transfer* [transfer-rule]:

((=)  $\implies$  *cr-option-gpv*) Some Done  
 $\langle \text{proof} \rangle$

**lemma** *bind-option-gpv-transfer* [transfer-rule]:

(*cr-option-gpv*  $\implies$  ((=)  $\implies$  *cr-option-gpv*)  $\implies$  *cr-option-gpv*) Option.bind bind-gpv  
 $\langle \text{proof} \rangle$

**lemma** *fail-option-gpv-transfer* [transfer-rule]: *cr-option-gpv* None Fail

$\langle \text{proof} \rangle$

**lemma** *map-option-gpv-transfer* [transfer-rule]:

((=)  $\implies$   $R \implies$  *cr-option-gpv*  $\implies$  *cr-option-gpv*) ( $\lambda f g. \text{map-option } f$ ) map-gpv  
 $\langle \text{proof} \rangle$

**end**

**end**

**locale** *option-le-gpv* **begin**

**interpretation** *option-le-spmf*  $\langle \text{proof} \rangle$

**interpretation** *spmf-to-gpv*  $\langle \text{proof} \rangle$

**definition** *cr-option-le-gpv* :: 'a option  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool

**where** *cr-option-le-gpv*  $x$  gpv  $\longleftrightarrow$  gpv = (lift-spmf  $\circ$  return-pmf)  $x \vee x = \text{None}$

**context includes** *lifting-syntax* **begin**

**lemma** *return-option-le-gpv-transfer* [transfer-rule]:

$((=) == => \text{cr-option-le-gpv}) \text{ Some Done}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-option-gpv-transfer* [transfer-rule]:  
 $(\text{cr-option-le-gpv} == => ((=) == => \text{cr-option-le-gpv}) == => \text{cr-option-le-gpv})$   
 $\text{Option.bind bind-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *fail-option-gpv-transfer* [transfer-rule]:  
 $\text{cr-option-le-gpv None Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-gpv-transfer* [transfer-rule]:  
 $((=(=) == => (=)) == => \text{cr-option-le-gpv} == => \text{cr-option-le-gpv}) \text{ map-option}$   
 $(\lambda f. \text{map-gpv } f \text{ id})$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 4.8 Embedding resumptions

**primcorec** *lift-resumption* ::  $('a, 'out, 'in) \text{ resumption} \Rightarrow ('a, 'out, 'in) \text{ gpv}$

**where**

$\text{the-gpv } (\text{lift-resumption } r) =$   
 $(\text{case } r \text{ of } \text{resumption.Done None} \Rightarrow \text{return-pmf None}$   
 $\mid \text{resumption.Done (Some } x') \Rightarrow \text{return-spmf (Pure } x')$   
 $\mid \text{resumption.Pause out c} \Rightarrow \text{map-spmf (map-generat id id ((\circ) lift-resumption))}$   
 $(\text{return-spmf (IO out c)}))$

**lemma** *the-gpv-lift-resumption*:  
 $\text{the-gpv } (\text{lift-resumption } r) =$   
 $(\text{if is-Done } r \text{ then if Option.is-none (resumption.result } r) \text{ then return-pmf None}$   
 $\text{else return-spmf (Pure (the (resumption.result } r)))}$   
 $\text{else return-spmf (IO (resumption.output } r) (\text{lift-resumption} \circ \text{resume } r))})$   
 $\langle \text{proof} \rangle$

**declare** *lift-resumption.simps* [simp del]

**lemma** *lift-resumption-Done* [code]:  
 $\text{lift-resumption (resumption.Done } x) = (\text{case } x \text{ of None} \Rightarrow \text{Fail} \mid \text{Some } x' \Rightarrow \text{Done } x')$   
 $\langle \text{proof} \rangle$

**lemma** *lift-resumption-DONE* [simp]:  
 $\text{lift-resumption (DONE } x) = \text{Done } x$   
 $\langle \text{proof} \rangle$

**lemma** *lift-resumption-ABORT* [simp]:

*lift-resumption ABORT = Fail*

*<proof>*

**lemma** *lift-resumption-Pause* [simp, code]:

*lift-resumption (resumption.Pause out c) = Pause out (lift-resumption  $\circ$  c)*

*<proof>*

**lemma** *lift-resumption-Done-Some* [simp]: *lift-resumption (resumption.Done (Some x)) = Done x*

*<proof>*

**lemma** *results'-gpv-lift-resumption* [simp]:

*results'-gpv (lift-resumption r) = results r (is ?lhs = ?rhs)*

*<proof>*

**lemma** *outs'-gpv-lift-resumption* [simp]:

*outs'-gpv (lift-resumption r) = outputs r (is ?lhs = ?rhs)*

*<proof>*

**lemma** *pred-gpv-lift-resumption* [simp]:

$\bigwedge A. \text{pred-gpv } A \ C \ (\text{lift-resumption } r) = \text{pred-resumption } A \ C \ r$

*<proof>*

**lemma** *lift-resumption-bind*: *lift-resumption (r  $\gg$  f) = lift-resumption r  $\gg$  lift-resumption  $\circ$  f*

*<proof>*

## 4.9 Assertions

**definition** *assert-gpv* :: *bool  $\Rightarrow$  (unit, 'out, 'in) gpv*

**where** *assert-gpv b = (if b then Done () else Fail)*

**lemma** *assert-gpv-simps* [simp]:

*assert-gpv True = Done ()*

*assert-gpv False = Fail*

*<proof>*

**lemma** [simp]:

**shows** *assert-gpv-eq-Done*: *assert-gpv b = Done x  $\longleftrightarrow$  b*

**and** *Done-eq-assert-gpv*: *Done x = assert-gpv b  $\longleftrightarrow$  b*

**and** *Pause-neq-assert-gpv*: *Pause out rpv  $\neq$  assert-gpv b*

**and** *assert-gpv-neq-Pause*: *assert-gpv b  $\neq$  Pause out rpv*

**and** *assert-gpv-eq-Fail*: *assert-gpv b = Fail  $\longleftrightarrow$   $\neg$  b*

**and** *Fail-eq-assert-gpv*: *Fail = assert-gpv b  $\longleftrightarrow$   $\neg$  b*

*<proof>*

**lemma** *assert-gpv-inject* [simp]: *assert-gpv b = assert-gpv b'  $\longleftrightarrow$  b = b'*

*<proof>*



**lemma** *assert-gpv-sel* [simp]:

$the\_gpv (assert\_gpv b) = map\_spmf \text{ Pure } (assert\_spmf b)$   
 $\langle proof \rangle$

**lemma** *the-gpv-bind-assert* [simp]:

$the\_gpv (bind\_gpv (assert\_gpv b) f) =$   
 $bind\_spmf (assert\_spmf b) (the\_gpv \circ f)$   
 $\langle proof \rangle$

**lemma** *pred-gpv-assert* [simp]:  $pred\_gpv P Q (assert\_gpv b) = (b \longrightarrow P ())$

$\langle proof \rangle$

**primcorec** *try-gpv* ::  $('a, 'call, 'ret) \text{ gpv} \Rightarrow ('a, 'call, 'ret) \text{ gpv} \Rightarrow ('a, 'call, 'ret) \text{ gpv}$   
 $(\langle TRY - ELSE \rightarrow [0,60] \ 59 \rangle)$

**where**

$the\_gpv (TRY \text{ gpv } ELSE \text{ gpv}') =$   
 $map\_spmf (map\_generat \text{ id id } (\lambda c \text{ input. case } c \text{ input of Inl } \text{ gpv} \Rightarrow \text{try-gpv } \text{ gpv}$   
 $\text{gpv}' \mid \text{Inr } \text{ gpv}' \Rightarrow \text{gpv}'))$   
 $(try\_spmf (map\_spmf (map\_generat \text{ id id } (map\_fun \text{ id Inl})) (the\_gpv \text{ gpv}))$   
 $(map\_spmf (map\_generat \text{ id id } (map\_fun \text{ id Inr})) (the\_gpv \text{ gpv}'))))$

**lemma** *try-gpv-sel*:

$the\_gpv (TRY \text{ gpv } ELSE \text{ gpv}') =$   
 $TRY \text{ map\_spmf (map\_generat id id } (\lambda c \text{ input. TRY } c \text{ input } ELSE \text{ gpv}')) (the\_gpv$   
 $\text{gpv}) \text{ ELSE } the\_gpv \text{ gpv}'$   
 $\langle proof \rangle$

**lemma** *try-gpv-Done* [simp]:  $TRY \text{ Done } x \text{ ELSE } \text{ gpv}' = \text{Done } x$

$\langle proof \rangle$

**lemma** *try-gpv-Fail* [simp]:  $TRY \text{ Fail } \text{ gpv}' = \text{gpv}'$

$\langle proof \rangle$

**lemma** *try-gpv-Pause* [simp]:  $TRY \text{ Pause out } c \text{ ELSE } \text{ gpv}' = \text{Pause out } (\lambda \text{ input. TRY } c \text{ input } ELSE \text{ gpv}')$

$\langle proof \rangle$

**lemma** *try-gpv-Fail2* [simp]:  $TRY \text{ gpv } ELSE \text{ Fail} = \text{gpv}$

$\langle proof \rangle$

**lemma** *lift-try-spmf*:  $lift\_spmf (TRY \text{ p } ELSE \text{ q}) = TRY \text{ lift\_spmf p } ELSE \text{ lift\_spmf q}$

$\langle proof \rangle$

**lemma** *try-assert-gpv*:  $TRY \text{ assert-gpv } b \text{ ELSE } \text{ gpv}' = (\text{if } b \text{ then Done } () \text{ else } \text{ gpv}')$

$\langle proof \rangle$

**context** *includes lifting-syntax* **begin**

**lemma** *try-gpv-parametric* [transfer-rule]:

(*rel-gpv* *A C*  $\implies$  *rel-gpv* *A C*  $\implies$  *rel-gpv* *A C*) *try-gpv try-gpv*  
 <proof>

**lemma** *try-gpv-parametric'*:

(*rel-gpv''* *A C R*  $\implies$  *rel-gpv''* *A C R*  $\implies$  *rel-gpv''* *A C R*) *try-gpv try-gpv*  
 <proof>

**end**

**lemma** *map-try-gpv*: *map-gpv* *f g* (*TRY* *gpv ELSE gpv'*) = *TRY* *map-gpv* *f g gpv*  
*ELSE* *map-gpv* *f g gpv'*

<proof>

**lemma** *map'-try-gpv*: *map-gpv'* *f g h* (*TRY* *gpv ELSE gpv'*) = *TRY* *map-gpv'* *f g*  
*h gpv ELSE map-gpv'* *f g h gpv'*

<proof>

**lemma** *try-bind-assert-gpv*:

*TRY* (*assert-gpv* *b*  $\gg$  *f*) *ELSE* *gpv* = (*if* *b* *then* *TRY* (*f* ()) *ELSE* *gpv else gpv*)  
 <proof>

#### 4.10 Order for ('a, 'out, 'in) gpv

**coinductive** *ord-gpv* :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool

**where**

*ord-spmf* (*rel-generat* (=) (=) (*rel-fun* (=) *ord-gpv*)) *f g*  $\implies$  *ord-gpv* (*GPV* *f*)  
 (*GPV* *g*)

**inductive-simps** *ord-gpv-simps* [simp]:

*ord-gpv* (*GPV* *f*) (*GPV* *g*)

**lemma** *ord-gpv-coinduct* [consumes 1, case-names *ord-gpv*, coinduct *pred*: *ord-gpv*]:

**assumes** *X f g*

**and step**:  $\bigwedge f g. X f g \implies \text{ord-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) X)) (\text{the-gpv } f)$   
 (*the-gpv* *g*)

**shows** *ord-gpv* *f g*

<proof>

**lemma** *ord-gpv-the-gpvD*:

*ord-gpv* *f g*  $\implies \text{ord-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) \text{ord-gpv})) (\text{the-gpv } f)$   
 (*the-gpv* *g*)

<proof>

**lemma** *reflp-equality*: *reflp* (=)

<proof>

**lemma** *ord-gpv-refl* [simp]: *ord-gpv* *f f*

<proof>

**lemma** *reflp-ord-gpv*: *reflp ord-gpv*

*<proof>*

**lemma** *ord-gpv-trans*:

**assumes** *ord-gpv f g ord-gpv g h*

**shows** *ord-gpv f h*

*<proof>*

**lemma** *ord-gpv-compp*: *(ord-gpv OO ord-gpv) = ord-gpv*

*<proof>*

**lemma** *transp-ord-gpv [simp]*: *transp ord-gpv*

*<proof>*

**lemma** *ord-gpv-antisym*:

$\llbracket \text{ord-gpv } f \text{ } g; \text{ ord-gpv } g \text{ } f \rrbracket \implies f = g$

*<proof>*

**lemma** *RFail-least [simp]*: *ord-gpv Fail f*

*<proof>*

## 4.11 Bounds on interaction

**context**

**fixes** *consider* :: *'out  $\Rightarrow$  bool*

**notes** *monotone-SUP*[*partial-function-mono*] [*[function-internals]*]

**begin**

*<ML>*

**partial-function** (*lfp-strong*) *interaction-bound* :: *('a, 'out, 'in) gpv  $\Rightarrow$  enat*

**where**

*interaction-bound gpv =*

*(SUP generat  $\in$  set-spmf (the-gpv gpv). case generat of Pure -  $\Rightarrow$  0*

*| IO out c  $\Rightarrow$  if consider out then eSuc (SUP input. interaction-bound (c input))*

*else (SUP input. interaction-bound (c input)))*

**lemma** *interaction-bound-fixp-induct* [*case-names adm bottom step*]:

$\llbracket \text{ccpo.admissible (fun-lub Sup) (fun-ord } (\leq)) \text{ } P;$

$P (\lambda-. 0);$

$\wedge \text{interaction-bound'}. \llbracket P \text{ interaction-bound'};$

$\wedge \text{gpv. interaction-bound' gpv} \leq \text{interaction-bound gpv};$

$\wedge \text{gpv. interaction-bound' gpv} \leq (\text{SUP generat} \in \text{set-spmf (the-gpv gpv). case generat of Pure - } \Rightarrow 0$

*generat of Pure -  $\Rightarrow$  0*

*| IO out c  $\Rightarrow$  if consider out then eSuc (SUP input. interaction-bound' (c input)) else (SUP input. interaction-bound' (c input)))*

$\rrbracket$

$\implies P (\lambda \text{gpv. } \sqcup \text{generat} \in \text{set-spmf (the-gpv gpv). case generat of Pure } x \Rightarrow 0$

$$\begin{aligned} & \quad | \text{IO out } c \Rightarrow \text{if consider out then } e\text{Suc } (\bigsqcup \text{input. interaction-bound}' (c \\ \text{input})) \text{ else } (\bigsqcup \text{input. interaction-bound}' (c \text{ input})) \bigsqcup \\ & \Rightarrow P \text{ interaction-bound} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO:*

$$\begin{aligned} & \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \\ & \Rightarrow (\text{if consider out then } e\text{Suc } (\text{interaction-bound } (c \text{ input})) \text{ else } \text{interaction-bound} \\ & (c \text{ input})) \leq \text{interaction-bound } \text{gpv} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO-consider:*

$$\begin{aligned} & \llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{consider out} \rrbracket \\ & \Rightarrow e\text{Suc } (\text{interaction-bound } (c \text{ input})) \leq \text{interaction-bound } \text{gpv} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO-ignore:*

$$\begin{aligned} & \llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \neg \text{consider out} \rrbracket \\ & \Rightarrow \text{interaction-bound } (c \text{ input}) \leq \text{interaction-bound } \text{gpv} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-Done [simp]:*  $\text{interaction-bound } (\text{Done } x) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-Fail [simp]:*  $\text{interaction-bound } \text{Fail} = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-Pause [simp]:*

$$\begin{aligned} & \text{interaction-bound } (\text{Pause out } c) = \\ & (\text{if consider out then } e\text{Suc } (\text{SUP input. interaction-bound } (c \text{ input})) \text{ else } (\text{SUP} \\ & \text{input. interaction-bound } (c \text{ input}))) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-lift-spmf [simp]:*  $\text{interaction-bound } (\text{lift-spmf } p) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-assert-gpv [simp]:*  $\text{interaction-bound } (\text{assert-gpv } b) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-bind-step:*

$$\begin{aligned} & \text{assumes IH: } \bigwedge p. \text{interaction-bound}' (p \ggg f) \leq \text{interaction-bound } p + (\bigsqcup x \in \text{results}'\text{-gpv} \\ & p. \text{interaction-bound}' (f x)) \\ & \text{and unfold: } \bigwedge \text{gpv. interaction-bound}' \text{ gpv} \leq (\bigsqcup \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}). \\ & \text{case generat of Pure } x \Rightarrow 0 \\ & \quad | \text{IO out } c \Rightarrow \text{if consider out then } e\text{Suc } (\bigsqcup \text{input. interaction-bound}' (c \\ & \text{input})) \text{ else } \bigsqcup \text{input. interaction-bound}' (c \text{ input})) \\ & \text{shows } (\bigsqcup \text{generat} \in \text{set-spmf } (\text{the-gpv } (p \ggg f))). \\ & \quad \text{case generat of Pure } x \Rightarrow 0 \\ & \quad | \text{IO out } c \Rightarrow \end{aligned}$$

$$\begin{aligned}
& \text{if consider out then } eSuc (\sqcup \text{input. interaction-bound'} (c \text{ input})) \\
& \text{else } \sqcup \text{input. interaction-bound'} (c \text{ input}) \\
\leq & \text{interaction-bound } p + \\
& (\sqcup x \in \text{results'-gpv } p. \\
& \quad \sqcup \text{generat} \in \text{set-spmf } (\text{the-gpv } (f \ x)). \\
& \quad \text{case generat of Pure } x \Rightarrow 0 \\
& \quad | IO \text{ out } c \Rightarrow \\
& \quad \quad \text{if consider out then } eSuc (\sqcup \text{input. interaction-bound'} (c \text{ input})) \\
& \quad \quad \text{else } \sqcup \text{input. interaction-bound'} (c \text{ input})) \\
& (\text{is } (SUP \text{ generat}' \in ?\text{bind. } ?g \text{ generat}') \leq ?p + ?f) \\
\langle \text{proof} \rangle
\end{aligned}$$

**lemma** *interaction-bound-bind*:

**defines** *ib1*  $\equiv$  *interaction-bound*  
**shows** *interaction-bound* ( $p \ggg f$ )  $\leq$  *ib1*  $p + (SUP \ x \in \text{results'-gpv } p. \text{interaction-bound } (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-bind-lift-spmf* [*simp*]:

$\text{interaction-bound } (\text{lift-spmf } p \ggg f) = (SUP \ x \in \text{set-spmf } p. \text{interaction-bound } (f \ x))$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *interaction-bound-map-gpv'*:

**assumes** *surj h*  
**shows** *interaction-bound consider* ( $\text{map-gpv}' f \ g \ h \ \text{gpv}$ )  $=$  *interaction-bound* ( $\text{consider} \circ g$ )  $\text{gpv}$   
 $\langle \text{proof} \rangle$

**abbreviation** *interaction-any-bound*  $:: ('a, 'out, 'in) \text{ gpv} \Rightarrow \text{enat}$

**where** *interaction-any-bound*  $\equiv$  *interaction-bound* ( $\lambda \cdot \text{True}$ )

**lemma** *interaction-any-bound-coinduct* [*consumes 1, case-names interaction-bound*]:

**assumes**  $X: X \text{ gpv } n$   
**and**  $*$ :  $\bigwedge \text{gpv } n \text{ out } c \text{ input. } \llbracket X \text{ gpv } n; IO \text{ out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \rrbracket$   
 $\implies \exists n'. (X (c \text{ input}) \ n' \vee \text{interaction-any-bound } (c \text{ input}) \leq n') \wedge eSuc \ n' \leq$   
 $n$   
**shows** *interaction-any-bound*  $\text{gpv} \leq n$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *interaction-bound-parametric'*:

**assumes** [*transfer-rule*]: *bi-total R*  
**shows**  $((C == => (=)) == => \text{rel-gpv'' } A \ C \ R == => (=)) \text{ interaction-bound}$   
 $\text{interaction-bound}$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-parametric* [transfer-rule]:  
 $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv } A \ C \text{ ===> } (=)) \text{ interaction-bound interaction-bound}$   
 <proof>  
**end**

There is no nice *interaction-bound* equation for  $(\gg=)$ , as it computes an exact bound, but we only need an upper bound. As *enat* is hard to work with (and  $\infty$  does not constrain a gpv in any way), we work with *nat*.

**inductive** *interaction-bounded-by* :: ('out  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  enat  $\Rightarrow$  bool  
**for** *consider gpv n where*  
*interaction-bounded-by*:  $\llbracket \text{interaction-bound consider gpv } \leq n \rrbracket \Rightarrow \text{interaction-bounded-by consider gpv } n$

**lemmas** *interaction-bounded-byI* = *interaction-bounded-by*  
**hide-fact** (**open**) *interaction-bounded-by*

**context includes** *lifting-syntax* **begin**

**lemma** *interaction-bounded-by-parametric* [transfer-rule]:  
 $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv } A \ C \text{ ===> } (=) \text{ ===> } (=)) \text{ interaction-bounded-by interaction-bounded-by}$   
 <proof>

**lemma** *interaction-bounded-by-parametric'*:  
**notes** *interaction-bound-parametric'* [transfer-rule]  
**assumes** [transfer-rule]: *bi-total R*  
**shows**  $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv}'' A \ C \ R \text{ ===> } (=) \text{ ===> } (=)) \text{ interaction-bounded-by interaction-bounded-by}$   
 <proof>  
**end**

**lemma** *interaction-bounded-by-mono*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; n \leq m \rrbracket \Rightarrow \text{interaction-bounded-by consider gpv } m$   
 <proof>

**lemma** *interaction-bounded-by-contD*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; IO \text{ out } c \in \text{set-spmf } (the\text{-gpv } gpv); \text{consider out} \rrbracket$   
 $\Rightarrow n > 0 \wedge \text{interaction-bounded-by consider } (c \text{ input}) (n - 1)$   
 <proof>

**lemma** *interaction-bounded-by-contD-ignore*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; IO \text{ out } c \in \text{set-spmf } (the\text{-gpv } gpv) \rrbracket$   
 $\Rightarrow \text{interaction-bounded-by consider } (c \text{ input}) n$   
 <proof>

**lemma** *interaction-bounded-byI-epred*:

**assumes**  $\bigwedge \text{out } c. \llbracket IO \text{ out } c \in \text{set-spmf } (the\text{-gpv } gpv); \text{consider out} \rrbracket \implies n \neq 0$   
 $\wedge (\forall \text{input}. \text{interaction-bounded-by consider } (c \text{ input}) (n - 1))$   
**and**  $\bigwedge \text{out } c \text{ input}. \llbracket IO \text{ out } c \in \text{set-spmf } (the\text{-gpv } gpv); \neg \text{consider out} \rrbracket \implies$   
 $\text{interaction-bounded-by consider } (c \text{ input}) n$   
**shows**  $\text{interaction-bounded-by consider gpv } n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-IO*:

$\llbracket IO \text{ out } c \in \text{set-spmf } (the\text{-gpv } gpv); \text{interaction-bounded-by consider gpv } n; \text{consider out} \rrbracket$   
 $\implies n \neq 0 \wedge \text{interaction-bounded-by consider } (c \text{ input}) (n - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-0*:  $\text{interaction-bounded-by consider gpv } 0 \longleftrightarrow \text{interaction-bound consider gpv} = 0$   
 $\langle \text{proof} \rangle$

**abbreviation** *interaction-bounded-by'* ::  $(\text{'out} \Rightarrow \text{bool}) \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{gpv} \Rightarrow \text{nat} \Rightarrow \text{bool}$   
**where**  $\text{interaction-bounded-by' consider gpv } n \equiv \text{interaction-bounded-by consider gpv } (enat \ n)$

**named-theorems** *interaction-bound*

**lemmas**  $\text{interaction-bounded-by-start} = \text{interaction-bounded-by-mono}$

**method** *interaction-bound-start* =  $(\text{rule } \text{interaction-bounded-by-start})$

**method** *interaction-bound-step* **uses**  $\text{add simp} =$   
 $((\text{match conclusion in } \text{interaction-bounded-by} \text{ - - -} \Rightarrow \text{fail} \mid - \Rightarrow \langle \text{solves } \langle \text{clarsimp} \text{ simp add: simp} \rangle \rangle) \mid \text{rule add } \text{interaction-bound})$

**method** *interaction-bound-rec* **uses**  $\text{add simp} =$   
 $(\text{interaction-bound-step add: add simp: simp; } (\text{interaction-bound-rec add: add simp: simp})?)$

**method** *interaction-bound* **uses**  $\text{add simp} =$   
 $(\text{interaction-bound-start, interaction-bound-rec add: add simp: simp})$

**lemma** *interaction-bounded-by-Done* [*simp*]:  $\text{interaction-bounded-by consider } (Done \ x) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-DoneI* [*interaction-bound*]:  
 $\text{interaction-bounded-by consider } (Done \ x) \ 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-Fail* [*simp*]:  $\text{interaction-bounded-by consider Fail } n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-FailI* [*interaction-bound*]:  $\text{interaction-bounded-by consider Fail } 0$

$\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-lift-spmf* [simp]: *interaction-bounded-by consider (lift-spmf p) n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-lift-spmfI* [interaction-bound]:  
*interaction-bounded-by consider (lift-spmf p) 0*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-assert-gpv* [simp]: *interaction-bounded-by consider (assert-gpv b) n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-assert-gpvI* [interaction-bound]:  
*interaction-bounded-by consider (assert-gpv b) 0*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-Pause* [simp]:  
*interaction-bounded-by consider (Pause out c) n  $\longleftrightarrow$  (if consider out then  $0 < n \wedge (\forall \text{input. interaction-bounded-by consider (c input) (n - 1))$  else  $(\forall \text{input. interaction-bounded-by consider (c input) n)$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-PauseI* [interaction-bound]:  
*( $\bigwedge \text{input. interaction-bounded-by consider (c input) (n input)$ )  $\implies$  interaction-bounded-by consider (Pause out c) (if consider out then  $1 + (\text{SUP input. } n \text{ input})$  else  $(\text{SUP input. } n \text{ input})$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bindI* [interaction-bound]:  
 *$\llbracket \text{interaction-bounded-by consider gpv } n; \bigwedge x. x \in \text{results}'\text{-gpv gpv} \implies \text{interaction-bounded-by consider (f } x) (m \text{ } x) \rrbracket$   
 $\implies \text{interaction-bounded-by consider (gpv } \ggg f) (n + (\text{SUP } x \in \text{results}'\text{-gpv gpv. } m \text{ } x))$*   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-PauseI* [interaction-bound]:  
*( $\bigwedge \text{input. interaction-bounded-by consider (c input } \ggg f) (n \text{ input})$ )  $\implies$  interaction-bounded-by consider (Pause out c  $\ggg f$ ) (if consider out then  $\text{SUP input. } n \text{ input} + 1$  else  $\text{SUP input. } n \text{ input}$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-lift-spmf* [simp]:  
*interaction-bounded-by consider (lift-spmf p  $\ggg f$ ) n  $\longleftrightarrow$  ( $\forall x \in \text{set-spmf p. interaction-bounded-by consider (f } x) n$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-lift-spmfI* [interaction-bound]:



$(\bigwedge x. x \in \text{set-spmf } p \implies \text{interaction-bounded-by consider } (f x) (n x))$   
 $\implies \text{interaction-bounded-by consider } (\text{lift-spmf } p \ggg f) (\text{SUP } x \in \text{set-spmf } p. n x)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-DoneI* [*interaction-bound*]:  
 $\text{interaction-bounded-by consider } (f x) n \implies \text{interaction-bounded-by consider } (\text{Done } x \ggg f) n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-if* [*interaction-bound*]:  
 $\llbracket b \implies \text{interaction-bounded-by consider } \text{gpv1 } n; \neg b \implies \text{interaction-bounded-by consider } \text{gpv2 } m \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{if } b \text{ then } \text{gpv1} \text{ else } \text{gpv2}) (\text{if } b \text{ then } n \text{ else } m)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-case-bool* [*interaction-bound*]:  
 $\llbracket b \implies \text{interaction-bounded-by consider } t \text{ bt}; \neg b \implies \text{interaction-bounded-by consider } f \text{ bf} \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{case-bool } t f b) (\text{if } b \text{ then } \text{bt} \text{ else } \text{bf})$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-case-sum* [*interaction-bound*]:  
 $\llbracket \bigwedge y. x = \text{Inl } y \implies \text{interaction-bounded-by consider } (l y) (bl y);$   
 $\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by consider } (r y) (br y) \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{case-sum } l r x) (\text{case-sum } bl br x)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-case-prod* [*interaction-bound*]:  
 $(\bigwedge a b. x = (a, b) \implies \text{interaction-bounded-by consider } (f a b) (n a b))$   
 $\implies \text{interaction-bounded-by consider } (\text{case-prod } f x) (\text{case-prod } n x)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-let* [*interaction-bound*]: — This rule unfolds let's  
 $\text{interaction-bounded-by consider } (f t) m \implies \text{interaction-bounded-by consider } (\text{Let } t f) m$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-map-gpv-id* [*interaction-bound*]:  
**assumes** [*interaction-bound*]:  $\text{interaction-bounded-by } P \text{ gpv } n$   
**shows**  $\text{interaction-bounded-by } P (\text{map-gpv } f \text{ id } \text{gpv}) n$   
 $\langle \text{proof} \rangle$

**abbreviation** *interaction-any-bounded-by* ::  $('a, 'out, 'in) \text{ gpv} \Rightarrow \text{enat} \Rightarrow \text{bool}$   
**where**  $\text{interaction-any-bounded-by} \equiv \text{interaction-bounded-by } (\lambda\_. \text{True})$

**lemma** *interaction-any-bounded-by-map-gpv'*:  
**assumes**  $\text{interaction-any-bounded-by } \text{gpv } n$   
**and**  $\text{surj } h$

**shows** *interaction-any-bounded-by* (map-gpv' f g h gpv) n  
 ⟨proof⟩

## 4.12 Typing

### 4.12.1 Interface between gpvs and rpvs / callees

**lemma** *is-empty-parametric* [transfer-rule]: *rel-fun* (*rel-set* A) (=) *Set.is-empty*  
*Set.is-empty*  
 ⟨proof⟩

**typedef** ('call, 'ret) *I* = *UNIV* :: ('call  $\Rightarrow$  'ret set) set ⟨proof⟩

**setup-lifting** *type-definition-I*

**lemma** *outs-I-tparametric*:  
**includes** *lifting-syntax*  
**assumes** [transfer-rule]: *bi-total* A  
**shows** ((A  $\implies$  *rel-set* B)  $\implies$  *rel-set* A) ( $\lambda$ resps. {out. resps out  $\neq$  {}})  
 ( $\lambda$ resps. {out. resps out  $\neq$  {}})  
 ⟨proof⟩

**lift-definition** *outs-I* :: ('call, 'ret) *I*  $\Rightarrow$  'call set **is**  $\lambda$ resps. {out. resps out  $\neq$  {}}  
**parametric** *outs-I-tparametric* ⟨proof⟩

**lift-definition** *responses-I* :: ('call, 'ret) *I*  $\Rightarrow$  'call  $\Rightarrow$  'ret set **is**  $\lambda x. x$  **parametric**  
*id-transfer*[unfolded id-def] ⟨proof⟩

**lift-definition** *rel-I* :: ('call  $\Rightarrow$  'call'  $\Rightarrow$  bool)  $\Rightarrow$  ('ret  $\Rightarrow$  'ret'  $\Rightarrow$  bool)  $\Rightarrow$  ('call,  
 'ret) *I*  $\Rightarrow$  ('call', 'ret') *I*  $\Rightarrow$  bool  
**is**  $\lambda C R \text{ resp1 resp2. rel-set } C \{ \text{out. resp1 out} \neq \{ \} \} \{ \text{out. resp2 out} \neq \{ \} \} \wedge$   
*rel-fun* C (*rel-set* R) resp1 resp2  
 ⟨proof⟩

**lemma** *rel-II* [intro?]:  
 $\llbracket \text{rel-set } C (\text{outs-I } \mathcal{I}1) (\text{outs-I } \mathcal{I}2); \bigwedge x y. C x y \implies \text{rel-set } R (\text{responses-I } \mathcal{I}1$   
 $x) (\text{responses-I } \mathcal{I}2 y) \rrbracket$   
 $\implies \text{rel-I } C R \mathcal{I}1 \mathcal{I}2$   
 ⟨proof⟩

**lemma** *rel-I-eq* [relator-eq]: *rel-I* (=) (=) (=)  
 ⟨proof⟩

**lemma** *rel-I-conversep* [simp]: *rel-I* C<sup>-1-1</sup> R<sup>-1-1</sup> = (*rel-I* C R)<sup>-1-1</sup>  
 ⟨proof⟩

**lemma** *rel-I-conversep1-eq* [simp]: *rel-I* C<sup>-1-1</sup> (=) = (*rel-I* C (=))<sup>-1-1</sup>  
 ⟨proof⟩

**lemma** *rel-I-conversep2-eq* [simp]: *rel-I* (=) R<sup>-1-1</sup> = (*rel-I* (=) R)<sup>-1-1</sup>  
 ⟨proof⟩

**lemma** *responses-I-empty-iff*:  $\text{responses-I } \mathcal{I} \text{ out} = \{\}$   $\longleftrightarrow$   $\text{out} \notin \text{outs-I } \mathcal{I}$   
**including** *I.lifting*  $\langle \text{proof} \rangle$

**lemma** *in-outs-I-iff-responses-I*:  $\text{out} \in \text{outs-I } \mathcal{I} \longleftrightarrow \text{responses-I } \mathcal{I} \text{ out} \neq \{\}$   
 $\langle \text{proof} \rangle$

**lift-definition** *I-full* ::  $(\text{'call}, \text{'ret}) \mathcal{I} \text{ is } \lambda\text{-}. \text{UNIV}$   $\langle \text{proof} \rangle$

**lemma** *I-full-sel* [*simp*]:  
**shows** *outs-I-full*:  $\text{outs-I } \mathcal{I}\text{-full} = \text{UNIV}$   
**and** *responses-I-full*:  $\text{responses-I } \mathcal{I}\text{-full } x = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *outs-I-parametric* [*transfer-rule*]:  $(\text{rel-I } C \ R \Longrightarrow \text{rel-set } C) \text{ outs-I}$   
 $\langle \text{proof} \rangle$

**lemma** *responses-I-parametric* [*transfer-rule*]:  
 $(\text{rel-I } C \ R \Longrightarrow C \Longrightarrow \text{rel-set } R) \text{ responses-I responses-I}$   
 $\langle \text{proof} \rangle$

**end**

**definition** *I-trivial* ::  $(\text{'out}, \text{'in}) \mathcal{I} \Rightarrow \text{bool}$   
**where** *I-trivial*  $\mathcal{I} \longleftrightarrow \text{outs-I } \mathcal{I} = \text{UNIV}$

**lemma** *I-trivialI* [*intro?*]:  $(\bigwedge x. x \in \text{outs-I } \mathcal{I}) \Longrightarrow \text{I-trivial } \mathcal{I}$   
 $\langle \text{proof} \rangle$

**lemma** *I-trivialD*:  $\text{I-trivial } \mathcal{I} \Longrightarrow \text{outs-I } \mathcal{I} = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *I-trivial-I-full* [*simp*]:  $\text{I-trivial } \mathcal{I}\text{-full}$   
 $\langle \text{proof} \rangle$

**lifting-update** *I.lifting*  
**lifting-forget** *I.lifting*

**context includes** *I.lifting* **begin**

**lift-definition** *I-uniform* ::  $\text{'out set} \Rightarrow \text{'in set} \Rightarrow (\text{'out}, \text{'in}) \mathcal{I} \text{ is } \lambda A \ B \ x. \text{if } x \in A \text{ then } B \text{ else } \{\}$   $\langle \text{proof} \rangle$

**lemma** *outs-I-uniform* [*simp*]:  $\text{outs-I } (\text{I-uniform } A \ B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$   
 $\langle \text{proof} \rangle$

**lemma** *responses- $\mathcal{I}$ -uniform* [simp]: *responses- $\mathcal{I}$  ( $\mathcal{I}$ -uniform  $A\ B$ )  $x = (if\ x \in A\ then\ B\ else\ \{\})$*

*<proof>*

**lemma**  *$\mathcal{I}$ -uniform-UNIV* [simp]:  *$\mathcal{I}$ -uniform UNIV UNIV =  $\mathcal{I}$ -full*

*<proof>*

**lift-definition** *map- $\mathcal{I}$*  :: *('out'  $\Rightarrow$  'out')  $\Rightarrow$  ('in'  $\Rightarrow$  'in')  $\Rightarrow$  ('out, 'in)  $\mathcal{I} \Rightarrow$  ('out', 'in')  $\mathcal{I}$*

**is**  *$\lambda f\ g\ resp\ x.\ g\ ' resp\ (f\ x)$*  *<proof>*

**lemma** *outs- $\mathcal{I}$ -map- $\mathcal{I}$*  [simp]:

*outs- $\mathcal{I}$  (map- $\mathcal{I}\ f\ g\ \mathcal{I}) = f\ -' outs- $\mathcal{I}\ \mathcal{I}$$*

*<proof>*

**lemma** *responses- $\mathcal{I}$ -map- $\mathcal{I}$*  [simp]:

*responses- $\mathcal{I}$  (map- $\mathcal{I}\ f\ g\ \mathcal{I})\ x = g\ ' responses- $\mathcal{I}\ \mathcal{I}\ (f\ x)$$*

*<proof>*

**lemma** *map- $\mathcal{I}$ - $\mathcal{I}$ -uniform* [simp]:

*map- $\mathcal{I}\ f\ g\ (\mathcal{I}$ -uniform  $A\ B) = \mathcal{I}$ -uniform  $(f\ -' A)\ (g\ ' B)$*

*<proof>*

**lemma** *map- $\mathcal{I}$ -id* [simp]: *map- $\mathcal{I}\ id\ id\ \mathcal{I} = \mathcal{I}$*

*<proof>*

**lemma** *map- $\mathcal{I}$ -id0*: *map- $\mathcal{I}\ id\ id = id$*

*<proof>*

**lemma** *map- $\mathcal{I}$ -comp* [simp]: *map- $\mathcal{I}\ f\ g\ (map- $\mathcal{I}\ f'\ g'\ \mathcal{I}) = map- $\mathcal{I}\ (f' \circ f)\ (g \circ g')\ \mathcal{I}$$$*

*<proof>*

**lemma** *map- $\mathcal{I}$ -cong*: *map- $\mathcal{I}\ f\ g\ \mathcal{I} = map- $\mathcal{I}\ f'\ g'\ \mathcal{I}'$$*

**if**  *$\mathcal{I} = \mathcal{I}'$  and  $f: f = f'$  and  $\bigwedge x\ y.\ \llbracket x \in outs- $\mathcal{I}\ \mathcal{I}'; y \in responses- $\mathcal{I}\ \mathcal{I}'\ x \rrbracket \implies g\ y = g'\ y$$$*

*<proof>*

**lifting-update**  *$\mathcal{I}$ .lifting*

**lifting-forget**  *$\mathcal{I}$ .lifting*

**end**

**functor** *map- $\mathcal{I}$*  *<proof>*

**lemma**  *$\mathcal{I}$ -eqI*:  *$\llbracket outs- $\mathcal{I}\ \mathcal{I} = outs- $\mathcal{I}\ \mathcal{I}'; \bigwedge x.\ x \in outs- $\mathcal{I}\ \mathcal{I}' \implies responses- $\mathcal{I}\ \mathcal{I}\ x = responses- $\mathcal{I}\ \mathcal{I}'\ x \rrbracket \implies \mathcal{I} = \mathcal{I}'$$$$$$*

**including**  *$\mathcal{I}$ .lifting* *<proof>*

**instantiation**  *$\mathcal{I}$*  :: *(type, type) order* **begin**

**definition**  $less\text{-}eq\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow bool$   
**where**  $le\text{-}\mathcal{I}\text{-}def: less\text{-}eq\text{-}\mathcal{I} \mathcal{I} \mathcal{I}' \longleftrightarrow outs\text{-}\mathcal{I} \mathcal{I} \subseteq outs\text{-}\mathcal{I} \mathcal{I}' \wedge (\forall x \in outs\text{-}\mathcal{I} \mathcal{I}. responses\text{-}\mathcal{I} \mathcal{I}' x \subseteq responses\text{-}\mathcal{I} \mathcal{I} x)$

**definition**  $less\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow bool$   
**where**  $less\text{-}\mathcal{I} = mk\text{-}less (\leq)$

**instance**  
 $\langle proof \rangle$   
**end**

**instantiation**  $\mathcal{I} :: (type, type) order\text{-}bot$  **begin**  
**definition**  $bot\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I}$  **where**  $bot\text{-}\mathcal{I} = \mathcal{I}\text{-}uniform \{\}$   $UNIV$   
**instance**  $\langle proof \rangle$   
**end**

**lemma**  $outs\text{-}\mathcal{I}\text{-}bot [simp]: outs\text{-}\mathcal{I} bot = \{\}$   
 $\langle proof \rangle$

**lemma**  $responses\text{-}\mathcal{I}\text{-}bot [simp]: responses\text{-}\mathcal{I} bot x = \{\}$   
 $\langle proof \rangle$

**lemma**  $outs\text{-}\mathcal{I}\text{-}mono: \mathcal{I} \leq \mathcal{I}' \Longrightarrow outs\text{-}\mathcal{I} \mathcal{I} \subseteq outs\text{-}\mathcal{I} \mathcal{I}'$   
 $\langle proof \rangle$

**lemma**  $responses\text{-}\mathcal{I}\text{-}mono: \llbracket \mathcal{I} \leq \mathcal{I}'; x \in outs\text{-}\mathcal{I} \mathcal{I} \rrbracket \Longrightarrow responses\text{-}\mathcal{I} \mathcal{I}' x \subseteq responses\text{-}\mathcal{I} \mathcal{I} x$   
 $\langle proof \rangle$

**lemma**  $\mathcal{I}\text{-}uniform\text{-}empty [simp]: \mathcal{I}\text{-}uniform \{\} A = bot$   
 $\langle proof \rangle$  **including**  $\mathcal{I}.lifting \langle proof \rangle$

**lemma**  $\mathcal{I}\text{-}uniform\text{-}mono:$   
 $\mathcal{I}\text{-}uniform A B \leq \mathcal{I}\text{-}uniform C D$  **if**  $A \subseteq C$   $D \subseteq B$   $D = \{\} \longrightarrow B = \{\}$   
 $\langle proof \rangle$

**context begin**

**qualified inductive**  $resultsp\text{-}gpv :: ('out, 'in) \mathcal{I} \Rightarrow 'a \Rightarrow ('a, 'out, 'in) gpv \Rightarrow bool$   
**for**  $\Gamma x$

**where**

$Pure: Pure x \in set\text{-}spmf (the\text{-}gpv gpv) \Longrightarrow resultsp\text{-}gpv \Gamma x gpv$   
 $| IO:$   
 $\llbracket IO out c \in set\text{-}spmf (the\text{-}gpv gpv); input \in responses\text{-}\mathcal{I} \Gamma out; resultsp\text{-}gpv \Gamma x (c input) \rrbracket$   
 $\Longrightarrow resultsp\text{-}gpv \Gamma x gpv$

**definition**  $results\text{-}gpv :: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) gpv \Rightarrow 'a set$

**where**  $results\text{-}gpv \Gamma gpv \equiv \{x. results\text{-}gpv \Gamma x gpv\}$

**lemma**  $results\text{-}gpv\text{-}results\text{-}gpv\text{-}eq$   $[pred\text{-}set\text{-}conv]$ :  $results\text{-}gpv \Gamma x gpv \longleftrightarrow x \in results\text{-}gpv \Gamma gpv$   
 $\langle proof \rangle$

**context begin**  
 $\langle ML \rangle$

**lemmas**  $intros$   $[intro?]$   $= results\text{-}gpv.intros[to\text{-}set]$   
**and**  $Pure = Pure[to\text{-}set]$   
**and**  $IO = IO[to\text{-}set]$   
**and**  $induct$   $[consumes\ 1, case\text{-}names\ Pure\ IO, induct\ set: results\text{-}gpv] = results\text{-}gpv.induct[to\text{-}set]$   
**and**  $cases$   $[consumes\ 1, case\text{-}names\ Pure\ IO, cases\ set: results\text{-}gpv] = results\text{-}gpv.cases[to\text{-}set]$   
**and**  $simps = results\text{-}gpv.simps[to\text{-}set]$   
**end**

**inductive-simps**  $results\text{-}gpv\text{-}GPV$   $[to\text{-}set, simp]$ :  $results\text{-}gpv \Gamma x (GPV\ gpv)$

**end**

**lemma**  $results\text{-}gpv\text{-}Done$   $[iff]$ :  $results\text{-}gpv \Gamma (Done\ x) = \{x\}$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}Fail$   $[iff]$ :  $results\text{-}gpv \Gamma Fail = \{\}$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}Pause$   $[simp]$ :  
 $results\text{-}gpv \Gamma (Pause\ out\ c) = (\bigcup input \in responses\text{-}\mathcal{I} \Gamma out. results\text{-}gpv \Gamma (c\ input))$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}lift\text{-}spmf$   $[iff]$ :  $results\text{-}gpv \Gamma (lift\text{-}spmf\ p) = set\text{-}spmf\ p$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}assert\text{-}gpv$   $[simp]$ :  $results\text{-}gpv \Gamma (assert\text{-}gpv\ b) = (if\ b\ then\ \{()\}$   
 $else\ \{\})$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}bind\text{-}gpv$   $[simp]$ :  
 $results\text{-}gpv \Gamma (gpv \gg= f) = (\bigcup x \in results\text{-}gpv \Gamma gpv. results\text{-}gpv \Gamma (f\ x))$   
 $(is\ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma**  $results\text{-}gpv\text{-}\mathcal{I}\text{-full}$ :  $results\text{-}gpv\ \mathcal{I}\text{-full} = results'\text{-}gpv$   
 $\langle proof \rangle$

**lemma**  $results'\text{-}bind\text{-}gpv$   $[simp]$ :  
 $results'\text{-}gpv (bind\text{-}gpv\ gpv\ f) = (\bigcup x \in results'\text{-}gpv\ gpv. results'\text{-}gpv (f\ x))$

$\langle \text{proof} \rangle$

**lemma** *results-gpv-map-gpv-id* [simp]: *results-gpv*  $\mathcal{I}$  (*map-gpv*  $f$  *id* *gpv*) =  $f$  ‘ *results-gpv*  $\mathcal{I}$  *gpv*  $\langle \text{proof} \rangle$

**lemma** *results-gpv-map-gpv-id'* [simp]: *results-gpv*  $\mathcal{I}$  (*map-gpv*  $f$  ( $\lambda x. x$ ) *gpv*) =  $f$  ‘ *results-gpv*  $\mathcal{I}$  *gpv*  $\langle \text{proof} \rangle$

**lemma** *pred-gpv-bind* [simp]: *pred-gpv*  $P$   $Q$  (*bind-gpv* *gpv*  $f$ ) = *pred-gpv* (*pred-gpv*  $P$   $Q \circ f$ )  $Q$  *gpv*  $\langle \text{proof} \rangle$

**lemma** *results'-gpv-bind-option* [simp]:  
*results'-gpv* (*monad.bind-option* *Fail*  $x$   $f$ ) = ( $\bigcup_{y \in \text{set-option } x. \text{results'-gpv } (f y)}$ )  $\langle \text{proof} \rangle$

**lemma** *results'-gpv-map-gpv'*:  
**assumes** *surj*  $h$   
**shows** *results'-gpv* (*map-gpv'*  $f$   $g$   $h$  *gpv*) =  $f$  ‘ *results'-gpv* *gpv* (**is** ?lhs = ?rhs)  $\langle \text{proof} \rangle$

**lemma** *bind-gpv-bind-option-assoc*:  
*bind-gpv* (*monad.bind-option* *Fail*  $x$   $f$ )  $g$  = *monad.bind-option* *Fail*  $x$  ( $\lambda x. \text{bind-gpv}$  ( $f x$ )  $g$ )  $\langle \text{proof} \rangle$

**context begin**

**qualified inductive** *outsp-gpv* :: ( $'out, 'in$ )  $\mathcal{I} \Rightarrow 'out \Rightarrow ('a, 'out, 'in)$  *gpv*  $\Rightarrow \text{bool}$   
**for**  $\mathcal{I}$   $x$  **where**  
 $IO: IO\ x\ c \in \text{set-spmf } (the\text{-gpv } gpv) \Longrightarrow \text{outsp-gpv } \mathcal{I}\ x\ gpv$   
 $| Cont: \llbracket IO\ out\ rpv \in \text{set-spmf } (the\text{-gpv } gpv); input \in \text{responses-}\mathcal{I}\ out; \text{outsp-gpv } \mathcal{I}\ x\ (rpv\ input) \rrbracket$   
 $\Longrightarrow \text{outsp-gpv } \mathcal{I}\ x\ gpv$

**definition** *outs-gpv* :: ( $'out, 'in$ )  $\mathcal{I} \Rightarrow ('a, 'out, 'in)$  *gpv*  $\Rightarrow 'out$  *set*  
**where** *outs-gpv*  $\mathcal{I}$  *gpv*  $\equiv \{x. \text{outsp-gpv } \mathcal{I}\ x\ gpv\}$

**lemma** *outsp-gpv-outs-gpv-eq* [pred-set-conv]: *outsp-gpv*  $\mathcal{I}$   $x$  = ( $\lambda gpv. x \in \text{outs-gpv } \mathcal{I}\ gpv$ )  $\langle \text{proof} \rangle$

**context begin**

$\langle ML \rangle$

**lemmas** *intros* [intro?] = *outsp-gpv.intros*[to-set]  
**and** *IO* = *IO*[to-set]  
**and** *Cont* = *Cont*[to-set]

**and** *induct* [*consumes* 1, *case-names* *IO Cont*, *induct set*: *outs-gpv*] = *outsp-gpv.induct*[*to-set*]  
**and** *cases* [*consumes* 1, *case-names* *IO Cont*, *cases set*: *outs-gpv*] = *outsp-gpv.cases*[*to-set*]  
**and** *simps* = *outsp-gpv.simps*[*to-set*]  
**end**

**inductive-simps** *outs-gpv-GPV* [*to-set*, *simp*]: *outsp-gpv*  $\mathcal{I}$  *x* (*GPV gpv*)

**end**

**lemma** *outs-gpv-Done* [*iff*]: *outs-gpv*  $\mathcal{I}$  (*Done x*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-Fail* [*iff*]: *outs-gpv*  $\mathcal{I}$  *Fail* = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-Pause* [*simp*]:  
*outs-gpv*  $\mathcal{I}$  (*Pause out c*) = *insert out* ( $\bigcup \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}. \text{outs-gpv } \mathcal{I} \ (c \text{ input})$ )  
 ⟨*proof*⟩

**lemma** *outs-gpv-lift-spmf* [*iff*]: *outs-gpv*  $\mathcal{I}$  (*lift-spmf p*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-assert-gpv* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*assert-gpv b*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-bind-gpv* [*simp*]:  
*outs-gpv*  $\mathcal{I}$  (*gpv*  $\ggg$  *f*) = *outs-gpv*  $\mathcal{I}$  *gpv*  $\cup$  ( $\bigcup x \in \text{results-gpv } \mathcal{I} \ \text{gpv}. \text{outs-gpv } \mathcal{I} \ (f \ x)$ )  
 (is ?lhs = ?rhs)  
 ⟨*proof*⟩

**lemma** *outs-gpv- $\mathcal{I}$ -full*: *outs-gpv*  $\mathcal{I}$ -full = *outs'-gpv*  
 ⟨*proof*⟩

**lemma** *outs'-bind-gpv* [*simp*]:  
*outs'-gpv* (*bind-gpv gpv f*) = *outs'-gpv gpv*  $\cup$  ( $\bigcup x \in \text{results'-gpv gpv}. \text{outs'-gpv } (f \ x)$ )  
 ⟨*proof*⟩

**lemma** *outs-gpv-map-gpv-id* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*map-gpv f id gpv*) = *outs-gpv*  $\mathcal{I}$  *gpv*  
 ⟨*proof*⟩

**lemma** *outs-gpv-map-gpv-id'* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*map-gpv f* ( $\lambda x. x$ ) *gpv*) = *outs-gpv*  $\mathcal{I}$  *gpv*  
 ⟨*proof*⟩

**lemma** *outs'-gpv-bind-option* [*simp*]:



$\text{outs}'\text{-gpv } (\text{monad.bind-option Fail } x \text{ } f) = (\bigcup y \in \text{set-option } x. \text{outs}'\text{-gpv } (f \ y))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-gpv}''\text{-Grp}$ : includes *lifting-syntax* **shows**

$\text{rel-gpv}'' ( \text{BNF-Def.Grp } A \text{ } f ) ( \text{BNF-Def.Grp } B \text{ } g ) ( \text{BNF-Def.Grp } \text{UNIV } h )^{-1-1}$   
 $=$   
 $\text{BNF-Def.Grp } \{x. \text{results-gpv } (\mathcal{I}\text{-uniform } \text{UNIV } (\text{range } h)) \ x \subseteq A \wedge \text{outs-gpv}$   
 $(\mathcal{I}\text{-uniform } \text{UNIV } (\text{range } h)) \ x \subseteq B\} (\text{map-gpv}' f \ g \ h)$   
 $(\text{is } ?\text{lhs} = ?\text{rhs})$   
 $\langle \text{proof} \rangle$

**inductive**  $\text{pred-gpv}' :: ('a \Rightarrow \text{bool}) \Rightarrow ('out \Rightarrow \text{bool}) \Rightarrow 'in \text{ set} \Rightarrow ('a, 'out, 'in) \text{ gpv}$   
 $\Rightarrow \text{bool}$  **for**  $P \ Q \ X \ \text{gpv}$  **where**  
 $\text{pred-gpv}' P \ Q \ X \ \text{gpv}$   
**if**  $\bigwedge x. x \in \text{results-gpv } (\mathcal{I}\text{-uniform } \text{UNIV } X) \ \text{gpv} \Longrightarrow P \ x \bigwedge \text{out. out} \in \text{outs-gpv}$   
 $(\mathcal{I}\text{-uniform } \text{UNIV } X) \ \text{gpv} \Longrightarrow Q \ \text{out}$

**lemma**  $\text{pred-gpv-conv-pred-gpv}'$ :  $\text{pred-gpv } P \ Q = \text{pred-gpv}' P \ Q \ \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-gpv}''\text{-map-gpv}'1$ :

$\text{rel-gpv}'' A \ C ( \text{BNF-Def.Grp } \text{UNIV } h )^{-1-1} \ \text{gpv } \text{gpv}' \Longrightarrow \text{rel-gpv}'' A \ C (=)$   
 $(\text{map-gpv}' \ \text{id} \ \text{id } h \ \text{gpv}) \ \text{gpv}'$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-gpv}''\text{-map-gpv}'2$ :

$\text{rel-gpv}'' A \ C (\text{eq-on } (\text{range } h)) \ \text{gpv } \text{gpv}' \Longrightarrow \text{rel-gpv}'' A \ C ( \text{BNF-Def.Grp } \text{UNIV}$   
 $h )^{-1-1} \ \text{gpv } (\text{map-gpv}' \ \text{id} \ \text{id } h \ \text{gpv}')$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $A :: 'a \Rightarrow 'd \Rightarrow \text{bool}$   
**and**  $C :: 'c \Rightarrow 'g \Rightarrow \text{bool}$   
**and**  $R :: 'b \Rightarrow 'e \Rightarrow \text{bool}$

**begin**

**private lemma**  $f11$ :  $\text{Pure } x \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \Longrightarrow$

$\text{Domainp } (\text{rel-generat } A \ C (\text{rel-fun } R (\text{rel-gpv}'' A \ C \ R))) (\text{Pure } x) \Longrightarrow \text{Domainp}$   
 $A \ x$

$\langle \text{proof} \rangle$  **lemma**  $f21$ :  $\text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \Longrightarrow$

$\text{rel-generat } A \ C (\text{rel-fun } R (\text{rel-gpv}'' A \ C \ R)) (\text{IO out } c) \text{ ba} \Longrightarrow \text{Domainp } C \ \text{out}$

$\langle \text{proof} \rangle$  **lemma**  $f12$ :

**assumes**  $\text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv})$

**and**  $\text{input} \in \text{responses-}\mathcal{I} (\mathcal{I}\text{-uniform } \text{UNIV } \{x. \text{Domainp } R \ x\}) \ \text{out}$

**and**  $x \in \text{results-gpv } (\mathcal{I}\text{-uniform } \text{UNIV } \{x. \text{Domainp } R \ x\}) (c \ \text{input})$

**and**  $\text{Domainp } (\text{rel-gpv}'' A \ C \ R) \ \text{gpv}$

**shows**  $\text{Domainp } (\text{rel-gpv}'' A \ C \ R) (c \ \text{input})$

$\langle \text{proof} \rangle$  **lemma**  $f22$ :

**assumes**  $\text{IO out}' \ \text{rpv} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$

**and**  $\text{input} \in \text{responses-}\mathcal{I} \ (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \ \text{out}'$   
**and**  $\text{out} \in \text{outs-gpv} \ (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \ (\text{rpv input})$   
**and**  $\text{Domainp} \ (\text{rel-gpv}'' A \ C \ R) \ \text{gpv}$   
**shows**  $\text{Domainp} \ (\text{rel-gpv}'' A \ C \ R) \ (\text{rpv input})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Domainp-rel-gpv}''\text{-le}$ :  
 $\text{Domainp} \ (\text{rel-gpv}'' A \ C \ R) \leq \text{pred-gpv}' \ (\text{Domainp } A) \ (\text{Domainp } C) \ \{x. \text{Domainp } R \ x\}$   
 $\langle \text{proof} \rangle$

**end**

**lemma**  $\text{map-gpv}'\text{-id12}$ :  $\text{map-gpv}' f \ g \ h \ \text{gpv} = \text{map-gpv}' \ \text{id} \ \text{id} \ h \ (\text{map-gpv} f \ g \ \text{gpv})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-gpv}''\text{-reft}$ :  $\llbracket (=) \leq A; (=) \leq C; R \leq (=) \rrbracket \implies (=) \leq \text{rel-gpv}'' A \ C \ R$   
 $\langle \text{proof} \rangle$

**context**

**fixes**  $A \ A' :: 'a \Rightarrow 'b \Rightarrow \text{bool}$   
**and**  $C \ C' :: 'c \Rightarrow 'd \Rightarrow \text{bool}$   
**and**  $R \ R' :: 'e \Rightarrow 'f \Rightarrow \text{bool}$

**begin**

**private abbreviation**  $\text{foo}$  **where**

$\text{foo} \equiv (\lambda \text{fx fy gpvx gpvy g1 g2}.$   
 $\quad \forall x \ y. \ x \in \text{fx} \ (\mathcal{I}\text{-uniform UNIV } (\text{Collect } (\text{Domainp } R'))) \ \text{gpvx} \longrightarrow$   
 $\quad \quad y \in \text{fy} \ (\mathcal{I}\text{-uniform UNIV } (\text{Collect } (\text{Rangep } R'))) \ \text{gpvy} \longrightarrow \text{g1 } x \ y$   
 $\longrightarrow \text{g2 } x \ y)$

**private lemma**  $\text{f1}$ :  $\text{foo results-gpv results-gpv gpv gpv}' A \ A' \implies$   
 $x \in \text{set-spmf} \ (\text{the-gpv gpv}) \implies y \in \text{set-spmf} \ (\text{the-gpv gpv}') \implies$   
 $a \in \text{generat-contrs } x \implies b \in \text{generat-contrs } y \implies R' \ a' \ \alpha \implies R' \ \beta \ b' \implies$   
 $\text{foo results-gpv results-gpv } (a \ a') \ (b \ b') \ A \ A'$   
 $\langle \text{proof} \rangle$  **lemma**  $\text{f2}$ :  $\text{foo outs-gpv outs-gpv gpv gpv}' C \ C' \implies$   
 $x \in \text{set-spmf} \ (\text{the-gpv gpv}) \implies y \in \text{set-spmf} \ (\text{the-gpv gpv}') \implies$   
 $a \in \text{generat-contrs } x \implies b \in \text{generat-contrs } y \implies R' \ a' \ \alpha \implies R' \ \beta \ b' \implies$   
 $\text{foo outs-gpv outs-gpv } (a \ a') \ (b \ b') \ C \ C'$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rel-gpv}''\text{-mono-strong}$ :

$\llbracket \text{rel-gpv}'' A \ C \ R \ \text{gpv} \ \text{gpv}';$   
 $\quad \bigwedge x \ y. \ \llbracket x \in \text{results-gpv} \ (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R' \ x\}) \ \text{gpv}; y \in$   
 $\text{results-gpv} \ (\mathcal{I}\text{-uniform UNIV } \{x. \text{Rangep } R' \ x\}) \ \text{gpv}'; A \ x \ y \rrbracket \implies A' \ x \ y;$   
 $\quad \bigwedge x \ y. \ \llbracket x \in \text{outs-gpv} \ (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R' \ x\}) \ \text{gpv}; y \in \text{outs-gpv}$   
 $(\mathcal{I}\text{-uniform UNIV } \{x. \text{Rangep } R' \ x\}) \ \text{gpv}'; C \ x \ y \rrbracket \implies C' \ x \ y;$

$R' \leq R$  ]  
 $\implies \text{rel-gpv}'' A' C' R' \text{ gpv gpv}'$   
 <proof>

**end**

**lemma** *rel-gpv''-refl-strong*:

**assumes**  $\bigwedge x. x \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \text{ gpv} \implies A$   
 $x \ x$   
**and**  $\bigwedge x. x \in \text{outs-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \text{ gpv} \implies C \ x \ x$   
**and**  $R \leq (=)$   
**shows**  $\text{rel-gpv}'' A C R \text{ gpv gpv}$   
 <proof>

**lemma** *rel-gpv''-refl-eq-on*:

$\llbracket \bigwedge x. x \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } X) \text{ gpv} \implies A \ x \ x; \bigwedge \text{out}. \text{out} \in \text{outs-gpv}$   
 $(\mathcal{I}\text{-uniform UNIV } X) \text{ gpv} \implies B \ \text{out} \ \text{out} \rrbracket$   
 $\implies \text{rel-gpv}'' A B \ (\text{eq-on } X) \text{ gpv gpv}$   
 <proof>

**lemma** *pred-gpv'-mono' [mono]*:

$\text{pred-gpv}' A C R \text{ gpv} \longrightarrow \text{pred-gpv}' A' C' R \text{ gpv}$   
**if**  $\bigwedge x. A \ x \longrightarrow A' \ x \ \bigwedge x. C \ x \longrightarrow C' \ x$   
 <proof>

#### 4.12.2 Type judgements

**coinductive** *WT-gpv* :: ('out, 'in)  $\mathcal{I} \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow \text{bool}$  ( $\langle((-)/\vdash_g (-)\rangle$ )  
 $\checkmark$ )<sub>[100, 0]</sub> 99)

**for**  $\Gamma$

**where**

$(\bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf gpv} \implies \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma$   
 $\text{out}. \Gamma \vdash_g c \ \text{input} \ \checkmark))$   
 $\implies \Gamma \vdash_g \text{GPV gpv} \ \checkmark$

**lemma** *WT-gpv-coinduct* [consumes 1, case-names WT-gpv, case-conclusion WT-gpv  
 out cont, coinduct pred: WT-gpv]:

**assumes** \*:  $X \text{ gpv}$

**and** *step*:  $\bigwedge \text{gpv out } c.$

$\llbracket X \text{ gpv}; \text{IO out } c \in \text{set-spmf } (\text{the-gpv gpv}) \rrbracket$

$\implies \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma \ \text{out}. X \ (c \ \text{input}) \vee \Gamma \vdash_g c \ \text{input}$

$\checkmark)$

**shows**  $\Gamma \vdash_g \text{gpv} \ \checkmark$

<proof>

**lemma** *WT-gpv-simps*:

$\Gamma \vdash_g \text{GPV gpv} \ \checkmark \iff$

$(\forall \text{out } c. \text{IO out } c \in \text{set-spmf gpv} \longrightarrow \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma$   
 $\text{out}. \Gamma \vdash_g c \ \text{input} \ \checkmark))$

$\langle proof \rangle$

**lemma** *WT-gpvI*:

$(\bigwedge out\ c. IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv) \implies out \in outs\text{-}\mathcal{I}\ \Gamma \wedge (\forall input \in responses\text{-}\mathcal{I}\ \Gamma\ out. \Gamma \vdash_g c\ input\ \checkmark))$   
 $\implies \Gamma \vdash_g gpv\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpvD*:

**assumes**  $\Gamma \vdash_g gpv\ \checkmark$   
**shows** *WT-gpv-OutD*:  $IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv) \implies out \in outs\text{-}\mathcal{I}\ \Gamma$   
**and** *WT-gpv-ContD*:  $\llbracket IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv); input \in responses\text{-}\mathcal{I}\ \Gamma\ out \rrbracket \implies \Gamma \vdash_g c\ input\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-mono*:

**assumes**  $WT: \mathcal{I}1 \vdash_g gpv\ \checkmark$   
**and** *outs*:  $outs\text{-}\mathcal{I}\ \mathcal{I}1 \subseteq outs\text{-}\mathcal{I}\ \mathcal{I}2$   
**and** *responses*:  $\bigwedge x. x \in outs\text{-}\mathcal{I}\ \mathcal{I}1 \implies responses\text{-}\mathcal{I}\ \mathcal{I}2\ x \subseteq responses\text{-}\mathcal{I}\ \mathcal{I}1\ x$   
**shows**  $\mathcal{I}2 \vdash_g gpv\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-Done* [iff]:  $\Gamma \vdash_g Done\ x\ \checkmark$

$\langle proof \rangle$

**lemma** *WT-gpv-Fail* [iff]:  $\Gamma \vdash_g Fail\ \checkmark$

$\langle proof \rangle$

**lemma** *WT-gpv-PauseI*:

$\llbracket out \in outs\text{-}\mathcal{I}\ \Gamma; \bigwedge input. input \in responses\text{-}\mathcal{I}\ \Gamma\ out \rrbracket \implies \Gamma \vdash_g c\ input\ \checkmark$   
 $\implies \Gamma \vdash_g Pause\ out\ c\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-Pause* [iff]:

$\Gamma \vdash_g Pause\ out\ c\ \checkmark \iff out \in outs\text{-}\mathcal{I}\ \Gamma \wedge (\forall input \in responses\text{-}\mathcal{I}\ \Gamma\ out. \Gamma \vdash_g c\ input\ \checkmark)$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindI*:

$\llbracket \Gamma \vdash_g gpv\ \checkmark; \bigwedge x. x \in results\text{-}gpv\ \Gamma\ gpv \rrbracket \implies \Gamma \vdash_g f\ x\ \checkmark$   
 $\implies \Gamma \vdash_g gpv\ \ggg f\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindD2*:

**assumes**  $WT: \Gamma \vdash_g gpv\ \ggg f\ \checkmark$   
**and** *x*:  $x \in results\text{-}gpv\ \Gamma\ gpv$   
**shows**  $\Gamma \vdash_g f\ x\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindD1*:  $\Gamma \vdash_g \text{gpv} \ggg f \checkmark \implies \Gamma \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-bind [simp]*:  $\Gamma \vdash_g \text{gpv} \ggg f \checkmark \longleftrightarrow \Gamma \vdash_g \text{gpv} \checkmark \wedge (\forall x \in \text{results-gpv} \Gamma \text{ gpv}. \Gamma \vdash_g f x \checkmark)$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-full [simp, intro!]*:  $\mathcal{I}\text{-full} \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-lift-spmf [simp, intro!]*:  $\mathcal{I} \vdash_g \text{lift-spmf } p \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-coinduct-bind [consumes 1, case-names WT-gpv, case-conclusion WT-gpv out cont]*:  
**assumes** \*:  $X \text{ gpv}$   
**and** *step*:  $\bigwedge \text{gpv out } c. \llbracket X \text{ gpv}; IO \text{ out } c \in \text{set-spmf } (\text{the-gpv gpv}) \rrbracket$   
 $\implies \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out.}$   
 $\quad X (c \text{ input}) \vee$   
 $\quad \mathcal{I} \vdash_g c \text{ input} \checkmark \vee$   
 $\quad (\exists (\text{gpv}' :: ('b, 'call, 'ret) \text{ gpv}) f. c \text{ input} = \text{gpv}' \ggg f \wedge \mathcal{I} \vdash_g \text{gpv}' \checkmark \wedge$   
 $(\forall x \in \text{results-gpv } \mathcal{I} \text{ gpv}'. X (f x))))$   
**shows**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma**  *$\mathcal{I}$ -trivial-WT-gpvD [simp]*:  $\mathcal{I}\text{-trivial } \mathcal{I} \implies \mathcal{I} \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma**  *$\mathcal{I}$ -trivial-WT-gpvI*:  
**assumes**  $\bigwedge \text{gpv} :: ('a, 'out, 'in) \text{ gpv}. \mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\mathcal{I}\text{-trivial } \mathcal{I}$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv- $\mathcal{I}$ -mono*:  $\llbracket \mathcal{I} \vdash_g \text{gpv} \checkmark; \mathcal{I} \leq \mathcal{I}' \rrbracket \implies \mathcal{I}' \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-mono*:  
**assumes**  $le: \mathcal{I}' \leq \mathcal{I}$  **and**  $WT: \mathcal{I}' \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{results-gpv } \mathcal{I} \text{ gpv} \subseteq \text{results-gpv } \mathcal{I}' \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-outs-gpv*:  
**assumes**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{outs-gpv } \mathcal{I} \text{ gpv} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-map-gpv'*:  $\mathcal{I} \vdash_g \text{map-gpv}' f g h \text{ gpv} \checkmark$  **if**  $\text{map-}\mathcal{I} g h \mathcal{I} \vdash_g \text{gpv} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-map-gpv*:  $\mathcal{I} \vdash_g \text{map-gpv } f \ g \ \text{gpv} \ \checkmark$  **if**  $\text{map-}\mathcal{I} \ g \ \text{id} \ \mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-map-gpv'* [*simp*]:  
 $\text{results-gpv} \ \mathcal{I} \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = f' \ (\text{results-gpv} \ (\text{map-}\mathcal{I} \ g \ h \ \mathcal{I}) \ \text{gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-parametric'*: **includes** *lifting-syntax* **shows**  
 $\text{bi-unique } C \implies (\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies (=)) \ \text{WT-gpv} \ \text{WT-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-map-gpv-id* [*simp*]:  $\mathcal{I} \vdash_g \text{map-gpv } f \ \text{id} \ \text{gpv} \ \checkmark \iff \mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-outs-gpvI*:  
**assumes**  $\text{outs-gpv} \ \mathcal{I} \ \text{gpv} \subseteq \text{outs-}\mathcal{I} \ \mathcal{I}$   
**shows**  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-iff-outs-gpv*:  
 $\mathcal{I} \vdash_g \text{gpv} \ \checkmark \iff \text{outs-gpv} \ \mathcal{I} \ \text{gpv} \subseteq \text{outs-}\mathcal{I} \ \mathcal{I}$   
 $\langle \text{proof} \rangle$

### 4.13 Sub-gpvs

**context begin**

**qualified inductive** *sub-gpvsp* ::  $(\text{'out}, \text{'in}) \ \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \ \text{gpv} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \ \text{gpv} \Rightarrow \text{bool}$

**for**  $\mathcal{I} \ x$

**where**

*base*:

$\llbracket \text{IO out } c \in \text{set-spmf} \ (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}; x = c \ \text{input} \rrbracket$   
 $\implies \text{sub-gpvsp} \ \mathcal{I} \ x \ \text{gpv}$

*cont*:

$\llbracket \text{IO out } c \in \text{set-spmf} \ (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}; \text{sub-gpvsp} \ \mathcal{I} \ x \ (c \ \text{input}) \rrbracket$   
 $\implies \text{sub-gpvsp} \ \mathcal{I} \ x \ \text{gpv}$

**qualified lemma** *sub-gpvsp-base*:

$\llbracket \text{IO out } c \in \text{set-spmf} \ (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \rrbracket$   
 $\implies \text{sub-gpvsp} \ \mathcal{I} \ (c \ \text{input}) \ \text{gpv}$

$\langle \text{proof} \rangle$

**definition** *sub-gpvs* ::  $(\text{'out}, \text{'in}) \ \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \ \text{gpv} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \ \text{gpv} \ \text{set}$   
**where**  $\text{sub-gpvs} \ \mathcal{I} \ \text{gpv} \equiv \{x. \text{sub-gpvsp} \ \mathcal{I} \ x \ \text{gpv}\}$

**lemma** *sub-gpvsp-sub-gpvs-eq* [*pred-set-conv*]:  $\text{sub-gpvsp} \ \mathcal{I} \ x \ \text{gpv} \iff x \in \text{sub-gpvs} \ \mathcal{I} \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**context begin**

$\langle ML \rangle$

**lemmas** *intros* [*intro?*] = *sub-gpvsp.intros*[*to-set*]  
**and** *base* = *sub-gpvsp-base*[*to-set*]  
**and** *cont* = *cont*[*to-set*]  
**and** *induct* [*consumes* 1, *case-names* *Pure IO*, *induct set*: *sub-gpvs*] = *sub-gpvsp.induct*[*to-set*]  
**and** *cases* [*consumes* 1, *case-names* *Pure IO*, *cases set*: *sub-gpvs*] = *sub-gpvsp.cases*[*to-set*]  
**and** *simps* = *sub-gpvsp.simps*[*to-set*]  
**end**  
**end**

**lemma** *WT-sub-gpvsD*:

**assumes**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$  **and**  $\text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv}$

**shows**  $\mathcal{I} \vdash_g \text{gpv}' \checkmark$

$\langle \text{proof} \rangle$

**lemma** *WT-sub-gpvsI*:

$\llbracket \bigwedge_{out \ c. IO \ out \ c \in \text{set-spmf } (the\text{-gpv } \text{gpv}) \implies out \in \text{outs-}\mathcal{I} \ \Gamma;$

$\bigwedge_{\text{gpv}' \in \text{sub-gpvs } \Gamma \ \text{gpv} \implies \Gamma \vdash_g \text{gpv}' \checkmark} \rrbracket$

$\implies \Gamma \vdash_g \text{gpv} \checkmark$

$\langle \text{proof} \rangle$

#### 4.14 Losslessness

A gpv is lossless iff we are guaranteed to get a result after a finite number of interactions that respect the interface. It is colossless if the interactions may go on for ever, but there is no non-termination.

We define both notions of losslessness simultaneously by mimicking what the (co)inductive package would do internally. Thus, we get a constant which is parametrised by the choice of the fixpoint, i.e., for non-recursive gpvs, we can state and prove both versions of losslessness in one go.

**context**

**fixes** *co* :: *bool* **and**  $\mathcal{I} :: ('out, 'in) \ \mathcal{I}$

**and**  $F :: (('a, 'out, 'in) \ \text{gpv} \Rightarrow \text{bool}) \Rightarrow (('a, 'out, 'in) \ \text{gpv} \Rightarrow \text{bool})$

**and** *co'* :: *bool*

**defines**  $F \equiv \lambda \text{gen-lossless-gpv } \text{gpv}. \exists \text{pa}. \text{gpv} = \text{GPV } \text{pa} \wedge$

$\text{lossless-spmf } \text{pa} \wedge (\forall \text{out } c \ \text{input}. IO \ \text{out } c \in \text{set-spmf } \text{pa} \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \longrightarrow \text{gen-lossless-gpv } (c \ \text{input}))$

**and**  $\text{co}' \equiv \text{co}$  — We use a copy of *co* such that we can do case distinctions on *co'* without the simplifier rewriting the *co* in the local abbreviations for the constants.

**begin**

**lemma** *gen-lossless-gpv-mono*: *mono F*

$\langle \text{proof} \rangle$

**definition**  $gen-lossless-gpv :: ('a, 'out, 'in) gpv \Rightarrow bool$   
**where**  $gen-lossless-gpv = (if\ co'\ then\ gfp\ else\ lfp)\ F$

**lemma**  $gen-lossless-gpv-unfold$ :  $gen-lossless-gpv = F\ gen-lossless-gpv$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-True$ :  $co' = True \implies gen-lossless-gpv \equiv gfp\ F$   
**and**  $gen-lossless-gpv-False$ :  $co' = False \implies gen-lossless-gpv \equiv lfp\ F$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-cases$   $[elim?, cases\ pred]$ :  
**assumes**  $gen-lossless-gpv\ gpv$   
**obtains**  $(gen-lossless-gpv)\ p$  **where**  $gpv = GPV\ p\ lossless-spmf\ p$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; input \in responses-I\ I\ out \rrbracket \implies gen-lossless-gpv$   
 $(c\ input)$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpvD$ :  
**assumes**  $gen-lossless-gpv\ gpv$   
**shows**  $gen-lossless-gpv-lossless-spmfD$ :  $lossless-spmf\ (the-gpv\ gpv)$   
**and**  $gen-lossless-gpv-continuationD$ :  
 $\llbracket IO\ out\ c \in set-spmf\ (the-gpv\ gpv); input \in responses-I\ I\ out \rrbracket \implies gen-lossless-gpv$   
 $(c\ input)$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-intros$ :  
 $\llbracket lossless-spmf\ p;$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; input \in responses-I\ I\ out \rrbracket \implies$   
 $gen-lossless-gpv\ (c\ input) \rrbracket$   
 $\implies gen-lossless-gpv\ (GPV\ p)$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpvI$   $[intro?]$ :  
 $\llbracket lossless-spmf\ (the-gpv\ gpv);$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ (the-gpv\ gpv); input \in responses-I\ I\ out \rrbracket$   
 $\implies gen-lossless-gpv\ (c\ input) \rrbracket$   
 $\implies gen-lossless-gpv\ gpv$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-simps$ :  
 $gen-lossless-gpv\ gpv \longleftrightarrow$   
 $(\exists p. gpv = GPV\ p \wedge lossless-spmf\ p \wedge (\forall out\ c\ input.$   
 $IO\ out\ c \in set-spmf\ p \longrightarrow input \in responses-I\ I\ out \longrightarrow gen-lossless-gpv$   
 $(c\ input)))$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-Done$   $[iff]$ :  $gen-lossless-gpv\ (Done\ x)$   
 $\langle proof \rangle$



**lemma** *gen-lossless-gpv-Fail* [iff]:  $\neg \text{gen-lossless-gpv Fail}$   
 <proof>

**lemma** *gen-lossless-gpv-Pause* [simp]:  
 $\text{gen-lossless-gpv (Pause out } c) \longleftrightarrow (\forall \text{ input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out. } \text{gen-lossless-gpv} \\ (c \text{ input}))$   
 <proof>

**lemma** *gen-lossless-gpv-lift-spmf* [iff]:  $\text{gen-lossless-gpv (lift-spmf } p) \longleftrightarrow \text{lossless-spmf } p$   
 <proof>

**end**

**lemma** *gen-lossless-gpv-assert-gpv* [iff]:  $\text{gen-lossless-gpv co } \mathcal{I} (\text{assert-gpv } b) \longleftrightarrow b$   
 <proof>

**abbreviation** *lossless-gpv* ::  $('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow \text{bool}$   
**where** *lossless-gpv*  $\equiv \text{gen-lossless-gpv False}$

**abbreviation** *colossless-gpv* ::  $('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow \text{bool}$   
**where** *colossless-gpv*  $\equiv \text{gen-lossless-gpv True}$

**lemma** *lossless-gpv-induct* [consumes 1, case-names *lossless-gpv*, induct *pred*]:  
**assumes** \*: *lossless-gpv*  $\mathcal{I}$  *gpv*  
**and step**:  $\bigwedge p. \llbracket \text{lossless-spmf } p; \\ \bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{ input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \rrbracket \Longrightarrow \text{lossless-gpv} \\ \mathcal{I} (c \text{ input}); \\ \bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{ input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \rrbracket \Longrightarrow P (c \\ \text{input}) \rrbracket \\ \Longrightarrow P (\text{GPV } p)$   
**shows**  $P \text{ gpv}$   
 <proof>

**lemma** *colossless-gpv-coinduct*  
 [consumes 1, case-names *colossless-gpv*, case-conclusion *colossless-gpv lossless-spmf*  
*continuation*, coinduct *pred*]:  
**assumes** \*:  $X \text{ gpv}$   
**and step**:  $\bigwedge \text{gpv. } X \text{ gpv} \Longrightarrow \text{lossless-spmf (the-gpv gpv)} \wedge (\forall \text{ out } c \text{ input.} \\ \text{IO out } c \in \text{set-spmf (the-gpv gpv)} \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \longrightarrow X (c \\ \text{input}) \vee \text{colossless-gpv } \mathcal{I} (c \text{ input}))$   
**shows**  $\text{colossless-gpv } \mathcal{I} \text{ gpv}$   
 <proof>

**lemmas**  $\text{lossless-gpvI} = \text{gen-lossless-gpvI}[\text{where } co=False]$   
**and**  $\text{lossless-gpvD} = \text{gen-lossless-gpvD}[\text{where } co=False]$   
**and**  $\text{lossless-gpv-lossless-spmfD} = \text{gen-lossless-gpv-lossless-spmfD}[\text{where } co=False]$   
**and**  $\text{lossless-gpv-continuationD} = \text{gen-lossless-gpv-continuationD}[\text{where } co=False]$

**lemmas** *colossless-gpvI* = *gen-lossless-gpvI*[**where** *co*=*True*]  
**and** *colossless-gpvD* = *gen-lossless-gpvD*[**where** *co*=*True*]  
**and** *colossless-gpv-lossless-spmfD* = *gen-lossless-gpv-lossless-spmfD*[**where** *co*=*True*]  
**and** *colossless-gpv-continuationD* = *gen-lossless-gpv-continuationD*[**where** *co*=*True*]

**lemma** *gen-lossless-bind-gpvI*:  
**assumes** *gen-lossless-gpv co I gpv*  $\wedge x. x \in \text{results-gpv } I \text{ gpv} \implies \text{gen-lossless-gpv}$   
*co I (f x)*  
**shows** *gen-lossless-gpv co I (gpv  $\ggg$  f)*  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvI* = *gen-lossless-bind-gpvI*[**where** *co*=*False*]  
**and** *colossless-bind-gpvI* = *gen-lossless-bind-gpvI*[**where** *co*=*True*]

**lemma** *gen-lossless-bind-gpvD1*:  
**assumes** *gen-lossless-gpv co I (gpv  $\ggg$  f)*  
**shows** *gen-lossless-gpv co I gpv*  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvD1* = *gen-lossless-bind-gpvD1*[**where** *co*=*False*]  
**and** *colossless-bind-gpvD1* = *gen-lossless-bind-gpvD1*[**where** *co*=*True*]

**lemma** *gen-lossless-bind-gpvD2*:  
**assumes** *gen-lossless-gpv co I (gpv  $\ggg$  f)*  
**and** *x  $\in$  results-gpv I gpv*  
**shows** *gen-lossless-gpv co I (f x)*  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvD2* = *gen-lossless-bind-gpvD2*[**where** *co*=*False*]  
**and** *colossless-bind-gpvD2* = *gen-lossless-bind-gpvD2*[**where** *co*=*True*]

**lemma** *gen-lossless-bind-gpv [simp]*:  
 $\text{gen-lossless-gpv } co \text{ } I \text{ } (gpv \ggg f) \longleftrightarrow \text{gen-lossless-gpv } co \text{ } I \text{ } gpv \wedge (\forall x \in \text{results-gpv } I \text{ } gpv. \text{gen-lossless-gpv } co \text{ } I \text{ } (f x))$   
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpv* = *gen-lossless-bind-gpv*[**where** *co*=*False*]  
**and** *colossless-bind-gpv* = *gen-lossless-bind-gpv*[**where** *co*=*True*]

**context includes** *lifting-syntax* **begin**

**lemma** *rel-gpv''-lossless-gpvD1*:  
**assumes** *rel: rel-gpv'' A C R gpv gpv'*  
**and** *gpv: lossless-gpv I gpv*  
**and** *[transfer-rule]: rel-I C R I I'*  
**shows** *lossless-gpv I' gpv'*  
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''-lossless-gpvD2*:

$$\llbracket \text{rel-gpv}'' A C R \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$$

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv-lossless-gpvD1*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I} \text{ gpv}; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket \implies \text{lossless-gpv } \mathcal{I}' \text{ gpv}'$$

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv-lossless-gpvD2*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$$

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv''-colossless-gpvD1*:  
**assumes** *rel*:  $\text{rel-gpv}'' A C R \text{ gpv gpv}'$   
**and** *gpv*: *colossless-gpv*  $\mathcal{I} \text{ gpv}$   
**and** [*transfer-rule*]:  $\text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}'$   
**shows** *colossless-gpv*  $\mathcal{I}' \text{ gpv}'$   

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv''-colossless-gpvD2*:  

$$\llbracket \text{rel-gpv}'' A C R \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$$

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv-colossless-gpvD1*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I} \text{ gpv}; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket \implies \text{colossless-gpv } \mathcal{I}' \text{ gpv}'$$

$$\langle \text{proof} \rangle$$

**lemma** *rel-gpv-colossless-gpvD2*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$$

$$\langle \text{proof} \rangle$$

**lemma** *gen-lossless-gpv-parametric'*:  

$$((=) \implies \text{rel-}\mathcal{I} C R \implies \text{rel-gpv}'' A C R \implies (=))$$

$$\text{gen-lossless-gpv gen-lossless-gpv}$$

$$\langle \text{proof} \rangle$$

**lemma** *gen-lossless-gpv-parametric* [*transfer-rule*]:  

$$((=) \implies \text{rel-}\mathcal{I} C (=) \implies \text{rel-gpv } A C \implies (=))$$

$$\text{gen-lossless-gpv gen-lossless-gpv}$$

$$\langle \text{proof} \rangle$$

**end**

**lemma** *gen-lossless-gpv-map-full* [*simp*]:

$gen-lossless-gpv\ b\ \mathcal{I}\text{-full}\ (map-gpv\ f\ g\ gpv) = gen-lossless-gpv\ b\ \mathcal{I}\text{-full}\ gpv$   
 $(is\ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma**  $gen-lossless-gpv-map-id\ [simp]:$   
 $gen-lossless-gpv\ b\ \mathcal{I}\ (map-gpv\ f\ id\ gpv) = gen-lossless-gpv\ b\ \mathcal{I}\ gpv$   
 $\langle proof \rangle$

**lemma**  $results-gpv-try-gpv\ [simp]:$   
 $results-gpv\ \mathcal{I}\ (TRY\ gpv\ ELSE\ gpv') =$   
 $results-gpv\ \mathcal{I}\ gpv \cup (if\ colossless-gpv\ \mathcal{I}\ gpv\ then\ \{\}\ else\ results-gpv\ \mathcal{I}\ gpv')$   
 $(is\ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma**  $results'-gpv-try-gpv\ [simp]:$   
 $results'-gpv\ (TRY\ gpv\ ELSE\ gpv') =$   
 $results'-gpv\ gpv \cup (if\ colossless-gpv\ \mathcal{I}\text{-full}\ gpv\ then\ \{\}\ else\ results'-gpv\ gpv')$   
 $\langle proof \rangle$

**lemma**  $outs'-gpv-try-gpv\ [simp]:$   
 $outs'-gpv\ (TRY\ gpv\ ELSE\ gpv') =$   
 $outs'-gpv\ gpv \cup (if\ colossless-gpv\ \mathcal{I}\text{-full}\ gpv\ then\ \{\}\ else\ outs'-gpv\ gpv')$   
 $(is\ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma**  $pred-gpv-try\ [simp]:$   
 $pred-gpv\ P\ Q\ (try-gpv\ gpv\ gpv') = (pred-gpv\ P\ Q\ gpv \wedge (\neg\ colossless-gpv\ \mathcal{I}\text{-full}\ gpv \longrightarrow pred-gpv\ P\ Q\ gpv'))$   
 $\langle proof \rangle$

**lemma**  $lossless-WT-gpv-induct\ [consumes\ 2,\ case-names\ lossless-gpv]:$   
**assumes**  $lossless: lossless-gpv\ \mathcal{I}\ gpv$   
**and**  $WT: \mathcal{I} \vdash g\ gpv\ \checkmark$   
**and**  $step: \bigwedge p. \llbracket$   
 $lossless-spmf\ p;$   
 $\bigwedge out\ c. IO\ out\ c \in set-spmf\ p \implies out \in outs\text{-}\mathcal{I}\ \mathcal{I};$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies lossless-gpv\ \mathcal{I}\ (c\ input);$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies \mathcal{I} \vdash g\ c\ input\ \checkmark;$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies P\ (c\ input) \rrbracket$   
 $\implies P\ (GPV\ p)$   
**shows**  $P\ gpv$   
 $\langle proof \rangle$

**lemma**  $lossless-gpv-induct-strong\ [consumes\ 1,\ case-names\ lossless-gpv]:$   
**assumes**  $gpv: lossless-gpv\ \mathcal{I}\ gpv$   
**and**  $step:$

$\wedge p. \llbracket \text{lossless-spmf } p; \\
\wedge \text{gpv. gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}; \\
\wedge \text{gpv. gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies P \text{ gpv} \rrbracket \\
\implies P \text{ (GPV } p) \\
\text{shows } P \text{ gpv} \\
\langle \text{proof} \rangle$

**lemma** *lossless-sub-gpvsI*:  
**assumes** *spmf*: *lossless-spmf* (*the-gpv gpv*)  
**and** *sub*:  $\wedge \text{gpv}'. \text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv} \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}'$   
**shows** *lossless-gpv*  $\mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-sub-gpvsD*:  
**assumes** *lossless-gpv*  $\mathcal{I} \text{ gpv}$   $\text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv}$   
**shows** *lossless-gpv*  $\mathcal{I} \text{ gpv}'$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-WT-gpv-induct-strong* [*consumes 2*, *case-names lossless-gpv*]:  
**assumes** *lossless*: *lossless-gpv*  $\mathcal{I} \text{ gpv}$   
**and** *WT*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and** *step*:  $\wedge p. \llbracket \text{lossless-spmf } p; \\
\wedge \text{out } c. \text{IO out } c \in \text{set-spmf } p \implies \text{out} \in \text{outs-}\mathcal{I} \text{ } \mathcal{I}; \\
\wedge \text{gpv. gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}; \\
\wedge \text{gpv. gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies \mathcal{I} \vdash_g \text{gpv } \checkmark; \\
\wedge \text{gpv. gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies P \text{ gpv} \rrbracket \\
\implies P \text{ (GPV } p) \\
\text{shows } P \text{ gpv} \\
\langle \text{proof} \rangle$

**lemma** *try-gpv-gen-lossless*: — TODO: generalise to arbitrary typings ?  
 $\text{gen-lossless-gpv } b \text{ } \mathcal{I}\text{-full } \text{gpv} \implies (\text{TRY } \text{gpv } \text{ELSE } \text{gpv}') = \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemmas** *try-gpv-lossless* [*simp*] = *try-gpv-gen-lossless*[**where** *b=False*]  
**and** *try-gpv-colossless* [*simp*] = *try-gpv-gen-lossless*[**where** *b=True*]

**lemma** *try-gpv-bind-gen-lossless*: — TODO: generalise to arbitrary typings?  
 $\text{gen-lossless-gpv } b \text{ } \mathcal{I}\text{-full } \text{gpv} \implies \text{TRY } \text{bind-gpv } \text{gpv } f \text{ ELSE } \text{gpv}' = \text{bind-gpv } \text{gpv} \\
(\lambda x. \text{TRY } f \text{ } x \text{ ELSE } \text{gpv}')$   
 $\langle \text{proof} \rangle$

**lemmas** *try-gpv-bind-lossless* = *try-gpv-bind-gen-lossless*[**where** *b=False*]  
**and** *try-gpv-bind-colossless* = *try-gpv-bind-gen-lossless*[**where** *b=True*]

**lemma** *try-gpv-cong*:  
 $\llbracket \text{gpv} = \text{gpv}''; \neg \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv}'' \implies \text{gpv}' = \text{gpv}''' \rrbracket \\
\implies \text{try-gpv } \text{gpv } \text{gpv}' = \text{try-gpv } \text{gpv}'' \text{gpv}''' \\
\langle \text{proof} \rangle$

**context** fixes  $B :: 'b \Rightarrow 'c$  set **and**  $x :: 'a$  **begin**

**primcorec**  $mk\text{-}lossless\text{-}gpv :: ('a, 'b, 'c) \text{ } gpv \Rightarrow ('a, 'b, 'c) \text{ } gpv$  **where**  
 $the\text{-}gpv \text{ } (mk\text{-}lossless\text{-}gpv \text{ } gpv) =$   
 $map\text{-}spmf \text{ } (\lambda generat. \text{ case } generat \text{ of } Pure \text{ } x \Rightarrow Pure \text{ } x$   
 $\quad | IO \text{ } out \text{ } c \Rightarrow IO \text{ } out \text{ } (\lambda input. \text{ if } input \in B \text{ } out \text{ then } mk\text{-}lossless\text{-}gpv \text{ } (c \text{ } input)$   
 $\text{ else } Done \text{ } x))$   
 $(the\text{-}gpv \text{ } gpv)$

**end**

**lemma**  $WT\text{-}gpv\text{-}mk\text{-}lossless\text{-}gpv$ :  
**assumes**  $\mathcal{I} \vdash_g gpv \checkmark$   
**and**  $outs: outs\text{-}\mathcal{I} \mathcal{I}' = outs\text{-}\mathcal{I} \mathcal{I}$   
**shows**  $\mathcal{I}' \vdash_g mk\text{-}lossless\text{-}gpv \text{ } (responses\text{-}\mathcal{I} \mathcal{I}) \text{ } x \text{ } gpv \checkmark$   
 $\langle proof \rangle$

#### 4.15 Sequencing with failure handling included

**definition**  $catch\text{-}gpv :: ('a, 'out, 'in) \text{ } gpv \Rightarrow ('a \text{ option}, 'out, 'in) \text{ } gpv$   
**where**  $catch\text{-}gpv \text{ } gpv = TRY \text{ } map\text{-}gpv \text{ } Some \text{ } id \text{ } gpv \text{ } ELSE \text{ } Done \text{ } None$

**lemma**  $catch\text{-}gpv\text{-}Done$   $[simp]$ :  $catch\text{-}gpv \text{ } (Done \text{ } x) = Done \text{ } (Some \text{ } x)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}Fail$   $[simp]$ :  $catch\text{-}gpv \text{ } Fail = Done \text{ } None$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}Pause$   $[simp]$ :  $catch\text{-}gpv \text{ } (Pause \text{ } out \text{ } rpv) = Pause \text{ } out \text{ } (\lambda input. \text{ catch\text{-}gpv \text{ } (rpv \text{ } input)})$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}lift\text{-}spmf$   $[simp]$ :  $catch\text{-}gpv \text{ } (lift\text{-}spmf \text{ } p) = lift\text{-}spmf \text{ } (spmf\text{-}of\text{-}pmf \text{ } p)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}assert$   $[simp]$ :  $catch\text{-}gpv \text{ } (assert\text{-}gpv \text{ } b) = Done \text{ } (assert\text{-}option \text{ } b)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}sel$   $[simp]$ :  
 $the\text{-}gpv \text{ } (catch\text{-}gpv \text{ } gpv) =$   
 $TRY \text{ } map\text{-}spmf \text{ } (map\text{-}generat \text{ } Some \text{ } id \text{ } (\lambda rpv \text{ } input. \text{ catch\text{-}gpv \text{ } (rpv \text{ } input))))$   
 $(the\text{-}gpv \text{ } gpv)$   
 $ELSE \text{ } return\text{-}spmf \text{ } (Pure \text{ } None)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}bind\text{-}gpv$ :  $catch\text{-}gpv \text{ } (bind\text{-}gpv \text{ } gpv \text{ } f) = bind\text{-}gpv \text{ } (catch\text{-}gpv \text{ } gpv)$   
 $(\lambda x. \text{ case } x \text{ of } None \Rightarrow Done \text{ } None \mid Some \text{ } x' \Rightarrow catch\text{-}gpv \text{ } (f \text{ } x'))$

$\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *catch-gpv-parametric* [*transfer-rule*]:

$(\text{rel-gpv } A \ C \ ==\Rightarrow \text{rel-gpv } (\text{rel-option } A) \ C) \ \text{catch-gpv } \text{catch-gpv}$

$\langle \text{proof} \rangle$

**lemma** *catch-gpv-parametric'*:

**notes** [*transfer-rule*] = *try-gpv-parametric' map-gpv-parametric' Done-parametric'*

**shows**  $(\text{rel-gpv}'' \ A \ C \ R \ ==\Rightarrow \text{rel-gpv}'' \ (\text{rel-option } A) \ C \ R) \ \text{catch-gpv } \text{catch-gpv}$

$\langle \text{proof} \rangle$

**end**

**lemma** *catch-gpv-map'*:  $\text{catch-gpv } (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = \text{map-gpv}' \ (\text{map-option } f) \ g \ h \ (\text{catch-gpv } \text{gpv})$

$\langle \text{proof} \rangle$

**lemma** *catch-gpv-map*:  $\text{catch-gpv } (\text{map-gpv } f \ g \ \text{gpv}) = \text{map-gpv } (\text{map-option } f) \ g \ (\text{catch-gpv } \text{gpv})$

$\langle \text{proof} \rangle$

**lemma** *colossless-gpv-catch-gpv* [*simp*]:  $\text{colossless-gpv } \mathcal{I}\text{-full } (\text{catch-gpv } \text{gpv})$

$\langle \text{proof} \rangle$

**lemma** *colossless-gpv-catch-gpv-conv-map*:

$\text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv} \implies \text{catch-gpv } \text{gpv} = \text{map-gpv } \text{Some } \text{id } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *catch-gpv-catch-gpv* [*simp*]:  $\text{catch-gpv } (\text{catch-gpv } \text{gpv}) = \text{map-gpv } \text{Some } \text{id} \ (\text{catch-gpv } \text{gpv})$

$\langle \text{proof} \rangle$

**lemma** *case-map-resumption*:

$\text{case-resumption } \text{done } \text{pause } (\text{map-resumption } f \ g \ r) =$

$\text{case-resumption } (\text{done} \circ \text{map-option } f) \ (\lambda \text{out } c. \text{pause } (g \ \text{out}) \ (\text{map-resumption } f \ g \circ c)) \ r$

$\langle \text{proof} \rangle$

**lemma** *catch-gpv-lift-resumption* [*simp*]:  $\text{catch-gpv } (\text{lift-resumption } r) = \text{lift-resumption } (\text{map-resumption } \text{Some } \text{id } r)$

$\langle \text{proof} \rangle$

**lemma** *results-gpv-catch-gpv*:

$\text{results-gpv } \mathcal{I} \ (\text{catch-gpv } \text{gpv}) = \text{Some } ' \text{results-gpv } \mathcal{I} \ \text{gpv} \cup (\text{if } \text{colossless-gpv } \mathcal{I} \ \text{gpv} \text{ then } \{\} \text{ else } \{\text{None}\})$

$\langle \text{proof} \rangle$

**lemma** *Some-in-results-gpv-catch-gpv* [*simp*]:

$\text{Some } x \in \text{results-gpv } \mathcal{I} \ (\text{catch-gpv } \text{gpv}) \longleftrightarrow x \in \text{results-gpv } \mathcal{I} \ \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *None-in-results-gpv-catch-gpv* [simp]:

$\text{None} \in \text{results-gpv } \mathcal{I} \text{ (catch-gpv gpv)} \longleftrightarrow \neg \text{colossless-gpv } \mathcal{I} \text{ gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-catch-gpv*:

$\text{results'-gpv (catch-gpv gpv)} = \text{Some } ' \text{results'-gpv gpv} \cup (\text{if colossless-gpv } \mathcal{I}\text{-full gpv then } \{\} \text{ else } \{\text{None}\})$

$\langle \text{proof} \rangle$

**lemma** *Some-in-results'-gpv-catch-gpv* [simp]:

$\text{Some } x \in \text{results'-gpv (catch-gpv gpv)} \longleftrightarrow x \in \text{results'-gpv gpv}$

$\langle \text{proof} \rangle$

**lemma** *None-in-results'-gpv-catch-gpv* [simp]:

$\text{None} \in \text{results'-gpv (catch-gpv gpv)} \longleftrightarrow \neg \text{colossless-gpv } \mathcal{I}\text{-full gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-catch-gpvE*:

**assumes**  $x \in \text{results'-gpv (catch-gpv gpv)}$

**obtains**  $(\text{Some}) \ x'$

**where**  $x = \text{Some } x' \ x' \in \text{results'-gpv gpv}$

$| (\text{colossless}) \ x = \text{None} \ \neg \text{colossless-gpv } \mathcal{I}\text{-full gpv}$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpv-catch-gpv* [simp]:  $\text{outs'-gpv (catch-gpv gpv)} = \text{outs'-gpv gpv}$

$\langle \text{proof} \rangle$

**lemma** *pred-gpv-catch-gpv* [simp]:  $\text{pred-gpv (pred-option } P) \ Q \text{ (catch-gpv gpv)} =$

$\text{pred-gpv } P \ Q \text{ gpv}$

$\langle \text{proof} \rangle$

**abbreviation**  $\text{bind-gpv}' :: ('a, 'call, 'ret) \text{ gpv} \Rightarrow ('a \text{ option} \Rightarrow ('b, 'call, 'ret) \text{ gpv})$

$\Rightarrow ('b, 'call, 'ret) \text{ gpv}$

**where**  $\text{bind-gpv}' \text{ gpv} \equiv \text{bind-gpv (catch-gpv gpv)}$

**lemma** *bind-gpv'-assoc* [simp]:  $\text{bind-gpv}' (\text{bind-gpv}' \text{ gpv } f) \ g = \text{bind-gpv}' \text{ gpv } (\lambda x.$

$\text{bind-gpv}' (f \ x) \ g)$

$\langle \text{proof} \rangle$

**lemma** *bind-gpv'-bind-gpv*:  $\text{bind-gpv}' (\text{bind-gpv gpv } f) \ g = \text{bind-gpv}' \text{ gpv } (\text{case-option}$

$(g \ \text{None}) \ (\lambda y. \text{bind-gpv}' (f \ y) \ g))$

$\langle \text{proof} \rangle$

**lemma** *bind-gpv'-cong*:

$\llbracket \text{gpv} = \text{gpv}' ; \bigwedge x. x \in \text{Some } ' \text{results'-gpv gpv}' \vee (\neg \text{colossless-gpv } \mathcal{I}\text{-full gpv} \wedge x$



$= \text{None}) \implies f\ x = f'\ x \parallel$   
 $\implies \text{bind-gpv}'\ \text{gpv}\ f = \text{bind-gpv}'\ \text{gpv}'\ f'$   
 $\langle \text{proof} \rangle$

**lemma** *bind-gpv'-cong2*:

$\parallel \text{gpv} = \text{gpv}'; \bigwedge x. x \in \text{results}'\text{-gpv}\ \text{gpv}' \implies f\ (\text{Some}\ x) = f'\ (\text{Some}\ x); \neg \text{coloss-}$   
 $\text{less-gpv}\ \mathcal{I}\text{-full}\ \text{gpv} \implies f\ \text{None} = f'\ \text{None} \parallel$   
 $\implies \text{bind-gpv}'\ \text{gpv}\ f = \text{bind-gpv}'\ \text{gpv}'\ f'$   
 $\langle \text{proof} \rangle$

## 4.16 Inlining

**lemma** *gpv-coinduct-bind* [*consumes 1, case-names Eq-gpv*]:

**fixes**  $\text{gpv}\ \text{gpv}' :: ('a, 'call, 'ret)\ \text{gpv}$   
**assumes**  $*$ :  $R\ \text{gpv}\ \text{gpv}'$   
**and** *step*:  $\bigwedge \text{gpv}\ \text{gpv}'. R\ \text{gpv}\ \text{gpv}'$   
 $\implies \text{rel-spmf}\ (\text{rel-generat}\ (=)\ (=)\ (\text{rel-fun}\ (=)\ (\lambda \text{gpv}\ \text{gpv}'. R\ \text{gpv}\ \text{gpv}' \vee \text{gpv} =$   
 $\text{gpv}' \vee$   
 $(\exists \text{gpv2} :: ('b, 'call, 'ret)\ \text{gpv}. \exists \text{gpv2}' :: ('c, 'call, 'ret)\ \text{gpv}. \exists f\ f'. \text{gpv} =$   
 $\text{bind-gpv}\ \text{gpv2}\ f \wedge \text{gpv}' = \text{bind-gpv}\ \text{gpv2}'\ f' \wedge$   
 $\text{rel-gpv}\ (\lambda x\ y. R\ (f\ x)\ (f'\ y))\ (=)\ \text{gpv2}\ \text{gpv2}'))$   
 $(\text{the-gpv}\ \text{gpv})\ (\text{the-gpv}\ \text{gpv}'))$   
**shows**  $\text{gpv} = \text{gpv}'$   
 $\langle \text{proof} \rangle$

Inlining one gpv into another. This may throw out arbitrarily many interactions between the two gpvs if the inlined one does not call its callee. So we define it as the coiteration of a least-fixpoint search operator.

**context**

**fixes**  $\text{callee} :: 's \Rightarrow ('ret \times 's, 'call', 'ret)\ \text{gpv}$   
**notes**  $[[\text{function-internals}]]$   
**begin**

**partial-function** (*spm**f*) *inline1*

$:: ('a, 'call, 'ret)\ \text{gpv} \Rightarrow 's$   
 $\Rightarrow ('a \times 's + 'call' \times ('ret \times 's, 'call', 'ret)\ \text{rpv} \times ('a, 'call, 'ret)\ \text{rpv})\ \text{spm}f$

**where**

$\text{inline1}\ \text{gpv}\ s =$   
 $\text{the-gpv}\ \text{gpv} \gg=$   
 $\text{case-generat}\ (\lambda x. \text{return-spmf}\ (\text{Inl}\ (x, s)))$   
 $(\lambda \text{out}\ \text{rpv}. \text{the-gpv}\ (\text{callee}\ s\ \text{out}) \gg=$   
 $\text{case-generat}\ (\lambda (x, y). \text{inline1}\ (\text{rpv}\ x)\ y)$   
 $(\lambda \text{out}\ \text{rpv}'. \text{return-spmf}\ (\text{Inr}\ (\text{out}, \text{rpv}', \text{rpv}))))$

**lemma** *inline1-unfold*:

$\text{inline1}\ \text{gpv}\ s =$   
 $\text{the-gpv}\ \text{gpv} \gg=$   
 $\text{case-generat}\ (\lambda x. \text{return-spmf}\ (\text{Inl}\ (x, s)))$   
 $(\lambda \text{out}\ \text{rpv}. \text{the-gpv}\ (\text{callee}\ s\ \text{out}) \gg=$

$\text{case-generat } (\lambda(x, y). \text{inline1 } (rpv \ x) \ y)$   
 $(\lambda \text{out } rpv'. \text{return-spmf } (\text{Inr } (\text{out}, rpv', rpv))))$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-fixp-induct* [case-names adm bottom step]:

**assumes**  $\text{ccpo.admissible } (\text{fun-lub } \text{lub-spmf}) (\text{fun-ord } (\text{ord-spmf } (=))) (\lambda \text{inline1}'.$   
 $P (\lambda gpv \ s. \text{inline1}' (gpv, s)))$   
**and**  $P (\lambda -. \text{return-pmf } \text{None})$   
**and**  $\bigwedge \text{inline1}'. P \text{inline1}' \implies P (\lambda gpv \ s. \text{the-gpv } gpv \gg \text{case-generat } (\lambda x.$   
 $\text{return-spmf } (\text{Inl } (x, s))) (\lambda \text{out } rpv. \text{the-gpv } (\text{callee } s \ \text{out}) \gg \text{case-generat } (\lambda(x,$   
 $y). \text{inline1}' (rpv \ x) \ y) (\lambda \text{out } rpv'. \text{return-spmf } (\text{Inr } (\text{out}, rpv', rpv))))$   
**shows**  $P \text{inline1}$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-fixp-induct-strong* [case-names adm bottom step]:

**assumes**  $\text{ccpo.admissible } (\text{fun-lub } \text{lub-spmf}) (\text{fun-ord } (\text{ord-spmf } (=))) (\lambda \text{inline1}'.$   
 $P (\lambda gpv \ s. \text{inline1}' (gpv, s)))$   
**and**  $P (\lambda -. \text{return-pmf } \text{None})$   
**and**  $\bigwedge \text{inline1}'. \llbracket \bigwedge gpv \ s. \text{ord-spmf } (=) (\text{inline1}' \ gpv \ s) (\text{inline1 } gpv \ s); P \text{inline1}'$   
 $\rrbracket$   
 $\implies P (\lambda gpv \ s. \text{the-gpv } gpv \gg \text{case-generat } (\lambda x. \text{return-spmf } (\text{Inl } (x, s))) (\lambda \text{out}$   
 $rpv. \text{the-gpv } (\text{callee } s \ \text{out}) \gg \text{case-generat } (\lambda(x, y). \text{inline1}' (rpv \ x) \ y) (\lambda \text{out } rpv'.$   
 $\text{return-spmf } (\text{Inr } (\text{out}, rpv', rpv))))$   
**shows**  $P \text{inline1}$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-fixp-induct-strong2* [case-names adm bottom step]:

**assumes**  $\text{ccpo.admissible } (\text{fun-lub } \text{lub-spmf}) (\text{fun-ord } (\text{ord-spmf } (=))) (\lambda \text{inline1}'.$   
 $P (\lambda gpv \ s. \text{inline1}' (gpv, s)))$   
**and**  $P (\lambda -. \text{return-pmf } \text{None})$   
**and**  $\bigwedge \text{inline1}'.$   
 $\llbracket \bigwedge gpv \ s. \text{ord-spmf } (=) (\text{inline1}' \ gpv \ s) (\text{inline1 } gpv \ s);$   
 $\bigwedge gpv \ s. \text{ord-spmf } (=) (\text{inline1}' \ gpv \ s) (\text{the-gpv } gpv \gg \text{case-generat } (\lambda x.$   
 $\text{return-spmf } (\text{Inl } (x, s))) (\lambda \text{out } rpv. \text{the-gpv } (\text{callee } s \ \text{out}) \gg \text{case-generat } (\lambda(x,$   
 $y). \text{inline1}' (rpv \ x) \ y) (\lambda \text{out } rpv'. \text{return-spmf } (\text{Inr } (\text{out}, rpv', rpv))))$   
 $P \text{inline1}' \rrbracket$   
 $\implies P (\lambda gpv \ s. \text{the-gpv } gpv \gg \text{case-generat } (\lambda x. \text{return-spmf } (\text{Inl } (x, s))) (\lambda \text{out}$   
 $rpv. \text{the-gpv } (\text{callee } s \ \text{out}) \gg \text{case-generat } (\lambda(x, y). \text{inline1}' (rpv \ x) \ y) (\lambda \text{out } rpv'.$   
 $\text{return-spmf } (\text{Inr } (\text{out}, rpv', rpv))))$   
**shows**  $P \text{inline1}$   
 $\langle \text{proof} \rangle$

Iterate *local.inline1* over all interactions. We'd like to use ( $\gg$ ) before the recursive call, but *primcorec* does not support this. So we emulate ( $\gg$ ) by effectively defining two mutually recursive functions (sum type in the argument) where the second is exactly ( $\gg$ ) specialised to call *inline* in the bind.

**primcorec** *inline-aux*

$:: ('a, 'call, 'ret) \text{gpv} \times 's + ('ret \Rightarrow ('a, 'call, 'ret) \text{gpv}) \times ('ret \times 's, 'call', 'ret')$

$gpv$   
 $\Rightarrow ('a \times 's, 'call', 'ret')\ gpv$   
**where**  
 $\bigwedge state. the-gpv\ (inline-aux\ state) =$   
 $(case\ state\ of\ Inl\ (c, s) \Rightarrow map-spmf\ (\lambda result.$   
 $\quad case\ result\ of\ Inl\ (x, s) \Rightarrow Pure\ (x, s)$   
 $\quad | Inr\ (out, oracle, rpv) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, oracle\ input))))$   
 $(inline1\ c\ s)$   
 $\quad | Inr\ (rpv, c) \Rightarrow$   
 $\quad map-spmf\ (\lambda result.$   
 $\quad \quad case\ result\ of\ Inl\ (Inl\ (x, s)) \Rightarrow Pure\ (x, s)$   
 $\quad \quad | Inl\ (Inr\ (out, oracle, rpv)) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, oracle$   
 $\quad \quad input)))$   
 $\quad \quad | Inr\ (out, c) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, c\ input))))$   
 $\quad (bind-spmf\ (the-gpv\ c)\ (\lambda generat. case\ generat\ of\ Pure\ (x, s') \Rightarrow (map-spmf\ Inl$   
 $\quad (inline1\ (rpv\ x)\ s'))$   
 $\quad \quad | IO\ out\ c \Rightarrow return-spmf\ (Inr\ (out, c)))$   
 $\quad ))$

**declare**  $inline-aux.simps[simp\ del]$

**definition**  $inline :: ('a, 'call, 'ret)\ gpv \Rightarrow 's \Rightarrow ('a \times 's, 'call', 'ret')\ gpv$   
**where**  $inline\ c\ s = inline-aux\ (Inl\ (c, s))$

**lemma**  $inline-aux-Inr$ :  
 $inline-aux\ (Inr\ (rpv, oracl)) = bind-gpv\ oracl\ (\lambda(x, s). inline\ (rpv\ x)\ s)$   
 $\langle proof \rangle$

**lemma**  $inline-sel$ :  
 $the-gpv\ (inline\ c\ s) =$   
 $map-spmf\ (\lambda result. case\ result\ of\ Inl\ xs \Rightarrow Pure\ xs$   
 $\quad | Inr\ (out, oracle, rpv) \Rightarrow IO\ out\ (\lambda input. bind-gpv\ (oracle$   
 $\quad input)\ (\lambda(x, s'). inline\ (rpv\ x)\ s'))\ (inline1\ c\ s)$   
 $\langle proof \rangle$

**lemma**  $inline1-Fail\ [simp]$ :  $inline1\ Fail\ s = return-pmf\ None$   
 $\langle proof \rangle$

**lemma**  $inline-Fail\ [simp]$ :  $inline\ Fail\ s = Fail$   
 $\langle proof \rangle$

**lemma**  $inline1-Done\ [simp]$ :  $inline1\ (Done\ x)\ s = return-spmf\ (Inl\ (x, s))$   
 $\langle proof \rangle$

**lemma**  $inline-Done\ [simp]$ :  $inline\ (Done\ x)\ s = Done\ (x, s)$   
 $\langle proof \rangle$

**lemma**  $inline1-lift-spmf\ [simp]$ :  $inline1\ (lift-spmf\ p)\ s = map-spmf\ (\lambda x. Inl\ (x,$   
 $s))\ p$

$\langle \text{proof} \rangle$

**lemma** *inline-lift-spmf* [simp]: *inline* (*lift-spmf* *p*) *s* = *lift-spmf* (*map-spmf* ( $\lambda x.$   
(*x*, *s*)) *p*)  
 $\langle \text{proof} \rangle$

**lemma** *inline1-Pause*:  
    *inline1* (*Pause out c*) *s* =  
    *the-gpv* (*callee s out*)  $\gg=$  ( $\lambda \text{react. case react of Pure } (x, s') \Rightarrow \text{inline1 } (c \ x) \ s' \mid$   
*IO out' c'  $\Rightarrow$  return-spmf (Inr (out', c', c))*)  
 $\langle \text{proof} \rangle$

**lemma** *inline-Pause* [simp]:  
    *inline* (*Pause out c*) *s* = *callee s out*  $\gg=$  ( $\lambda(x, s'). \text{inline } (c \ x) \ s'$ )  
 $\langle \text{proof} \rangle$

**lemma** *inline1-bind-gpv*:  
    **fixes** *gpv f s*  
    **defines** [simp]: *inline11*  $\equiv$  *inline1* **and** [simp]: *inline12*  $\equiv$  *inline1* **and** [simp]:  
*inline13*  $\equiv$  *inline1*  
    **shows** *inline11* (*bind-gpv gpv f*) *s* = *bind-spmf* (*inline12 gpv s*)  
    ( $\lambda \text{res. case res of Inl } (x, s') \Rightarrow \text{inline13 } (f \ x) \ s' \mid \text{Inr } (out, rpv', rpv) \Rightarrow$   
*return-spmf (Inr (out, rpv', bind-rpv rpv f))*)  
    (**is** ?lhs = ?rhs)  
 $\langle \text{proof} \rangle$

**lemma** *inline-bind-gpv* [simp]:  
    *inline* (*bind-gpv gpv f*) *s* = *bind-gpv* (*inline gpv s*) ( $\lambda(x, s'). \text{inline } (f \ x) \ s'$ )  
 $\langle \text{proof} \rangle$

**end**

**lemma** *set-inline1-lift-spmf1*: *set-spmf* (*inline1* ( $\lambda s \ x. \text{lift-spmf } (p \ s \ x)$ ) *gpv s*)  $\subseteq$   
*range Inl*  
 $\langle \text{proof} \rangle$

**lemma** *in-set-inline1-lift-spmf1*: *y*  $\in$  *set-spmf* (*inline1* ( $\lambda s \ x. \text{lift-spmf } (p \ s \ x)$ )  
*gpv s*)  $\implies \exists r \ s'. y = \text{Inl } (r, s')$   
 $\langle \text{proof} \rangle$

**lemma** *inline-lift-spmf1*:  
    **fixes** *p* **defines** *callee*  $\equiv \lambda s \ c. \text{lift-spmf } (p \ s \ c)$   
    **shows** *inline callee gpv s* = *lift-spmf* (*map-spmf projl* (*inline1 callee gpv s*))  
 $\langle \text{proof} \rangle$

**context** *includes lifting-syntax* **begin**

**lemma** *inline1-parametric'*:  
    ( $(S \implies C \implies \text{rel-gpv'' } (\text{rel-prod } R \ S) \ C' \ R') \implies \text{rel-gpv'' } A \ C \ R$   
 $\implies S$

$$\begin{aligned} & \text{====> rel-spmf (rel-sum (rel-prod A S) (rel-prod C' (rel-prod (R' \text{====>} \\ & \text{rel-gpv'' (rel-prod R S) C' R') (R \text{====>} \text{rel-gpv'' A C R))))} \\ & \text{inline1 inline1} \\ & (\text{is } (- \text{====>} ?R) - -) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *inline1-parametric* [transfer-rule]:  

$$\begin{aligned} & ((S \text{====>} C \text{====>} \text{rel-gpv (rel-prod (=) S) C'}) \text{====>} \text{rel-gpv A C} \text{====>} S \\ & \text{====>} \text{rel-spmf (rel-sum (rel-prod A S) (rel-prod C' (rel-prod (rel-rpv (rel-prod} \\ & (=) S) C') (rel-rpv A C)))))) \\ & \text{inline1 inline1} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *inline-parametric'*:  
**notes** [transfer-rule] = *inline1-parametric' the-gpv-parametric' corec-gpv-parametric'*  
**shows** 
$$\begin{aligned} & ((S \text{====>} C \text{====>} \text{rel-gpv'' (rel-prod R S) C' R'}) \text{====>} \text{rel-gpv'' A} \\ & C R \text{====>} S \text{====>} \text{rel-gpv'' (rel-prod A S) C' R'}) \\ & \text{inline inline} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *inline-parametric* [transfer-rule]:  

$$\begin{aligned} & ((S \text{====>} C \text{====>} \text{rel-gpv (rel-prod (=) S) C'}) \text{====>} \text{rel-gpv A C} \text{====>} S \\ & \text{====>} \text{rel-gpv (rel-prod A S) C'}) \\ & \text{inline inline} \\ & \langle \text{proof} \rangle \\ & \text{end} \end{aligned}$$

Associativity rule for *inline*

**context**  
**fixes** *callee1* :: 's1  $\Rightarrow$  'c1  $\Rightarrow$  ('r1  $\times$  's1, 'c, 'r) gpv  
**and** *callee2* :: 's2  $\Rightarrow$  'c2  $\Rightarrow$  ('r2  $\times$  's2, 'c1, 'r1) gpv  
**begin**

**partial-function** (*spmf*) *inline2* :: ('a, 'c2, 'r2) gpv  $\Rightarrow$  's2  $\Rightarrow$  's1  

$$\Rightarrow ('a \times ('s2 \times 's1) + 'c \times ('r1 \times 's1, 'c, 'r) \text{rpv} \times ('r2 \times 's2, 'c1, 'r1) \text{rpv} \times ('a, 'c2, 'r2) \text{rpv}) \text{spmf}$$

**where**  

$$\begin{aligned} & \text{inline2 gpv s2 s1 =} \\ & \text{bind-spmf (the-gpv gpv)} \\ & (\text{case-generat } (\lambda x. \text{return-spmf (Inl (x, s2, s1))}) \\ & (\lambda \text{out rpv. bind-spmf (inline1 callee1 (callee2 s2 out) s1)} \\ & (\text{case-sum } (\lambda ((r2, s2), s1). \text{inline2 (rpv r2) s2 s1)} \\ & (\lambda (x, \text{rpv''}, \text{rpv'}). \text{return-spmf (Inr (x, \text{rpv''}, \text{rpv'}, \text{rpv})))))) \end{aligned}$$

**lemma** *inline2-fixp-induct* [case-names adm bottom step]:  
**assumes** *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ( $\lambda \text{inline2.}$   
 $P (\lambda \text{gpv s2 s1. inline2 ((gpv, s2), s1)))$   
**and**  $P (\lambda - -. \text{return-pmf None})$   
**and**  $\bigwedge \text{inline2'}. P \text{inline2'} \implies$

$P (\lambda gpv\ s2\ s1.\ bind\text{-}spmf\ (the\text{-}gpv\ gpv)\ (\lambda generat.\ case\ generat\ of$   
 $\quad Pure\ x \Rightarrow return\text{-}spmf\ (Inl\ (x,\ s2,\ s1))$   
 $\quad | IO\ out\ rpv \Rightarrow bind\text{-}spmf\ (inline1\ callee1\ (callee2\ s2\ out)\ s1)\ (\lambda lr.\ case\ lr$   
*of*  
 $\quad Inl\ ((r2,\ s2),\ c) \Rightarrow inline2'\ (rpv\ r2)\ s2\ c$   
 $\quad | Inr\ (x,\ rpv'',\ rpv') \Rightarrow return\text{-}spmf\ (Inr\ (x,\ rpv'',\ rpv',\ rpv))))$   
**shows**  $P\ inline2$   
 $\langle proof \rangle$

**lemma** *inline1-inline-conv-inline2*:  
**fixes**  $gpv' :: ('r2 \times 's2,\ 'c1,\ 'r1)\ gpv$   
**shows**  $inline1\ callee1\ (inline\ callee2\ gpv\ s2)\ s1 =$   
 $map\text{-}spmf\ (map\text{-}sum\ (\lambda(x,\ (s2,\ s1)).\ ((x,\ s2),\ s1))$   
 $\quad (\lambda(x,\ rpv'',\ rpv',\ rpv).\ (x,\ rpv'',\ \lambda r1.\ rpv'\ r1 \gg (\lambda(r2,\ s2).\ inline\ callee2\ (rpv$   
 $\quad r2)\ s2))))$   
 $\quad (inline2\ gpv\ s2\ s1)$   
**(is**  $?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma** *inline1-inline-conv-inline2'*:  
 $inline1\ (\lambda(s2,\ s1)\ c2.\ map\text{-}gpv\ (\lambda((r,\ s2),\ s1).\ (r,\ s2,\ s1))\ id\ (inline\ callee1$   
 $\quad (callee2\ s2\ c2)\ s1))\ gpv\ (s2,\ s1) =$   
 $map\text{-}spmf\ (map\text{-}sum\ id\ (\lambda(x,\ rpv'',\ rpv',\ rpv).\ (x,\ \lambda r.\ bind\text{-}gpv\ (rpv''\ r)$   
 $\quad (\lambda(r1,\ s1).\ map\text{-}gpv\ (\lambda((r2,\ s2),\ s1).\ (r2,\ s2,\ s1))\ id\ (inline\ callee1\ (rpv'$   
 $\quad r1)\ s1)),\ rpv)))$   
 $\quad (inline2\ gpv\ s2\ s1)$   
**(is**  $?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma** *inline-assoc*:  
 $inline\ callee1\ (inline\ callee2\ gpv\ s2)\ s1 =$   
 $map\text{-}gpv\ (\lambda(r,\ s2,\ s1).\ ((r,\ s2),\ s1))\ id\ (inline\ (\lambda(s2,\ s1)\ c2.\ map\text{-}gpv\ (\lambda((r,$   
 $\quad s2),\ s1).\ (r,\ s2,\ s1))\ id\ (inline\ callee1\ (callee2\ s2\ c2)\ s1))\ gpv\ (s2,\ s1))$   
 $\langle proof \rangle$

**end**

**lemma** *set-inline2-lift-spmf1*:  $set\text{-}spmf\ (inline2\ (\lambda s\ x.\ lift\text{-}spmf\ (p\ s\ x))\ callee\ gpv$   
 $\quad s\ s') \subseteq range\ Inl$   
 $\langle proof \rangle$

**lemma** *in-set-inline2-lift-spmf1*:  $y \in set\text{-}spmf\ (inline2\ (\lambda s\ x.\ lift\text{-}spmf\ (p\ s\ x))$   
 $\quad callee\ gpv\ s\ s') \implies \exists r\ s\ s'.\ y = Inl\ (r,\ s,\ s')$   
 $\langle proof \rangle$

**context**

**fixes**  $consider' :: 'call \Rightarrow bool$   
**and**  $consider :: 'call' \Rightarrow bool$   
**and**  $callee :: 's \Rightarrow 'call \Rightarrow ('ret \times 's,\ 'call',\ 'ret')\ gpv$

**notes**  $[[\text{function-internals}]]$   
**begin**

**private partial-function** (*spmf*) *inline1'*  
 $:: ('a, 'call, 'ret) \text{ gpv} \Rightarrow 's$   
 $\Rightarrow ('a \times 's + 'call \times 'call' \times ('ret \times 's, 'call', 'ret') \text{ rpv} \times ('a, 'call, 'ret) \text{ rpv})$   
*spmf*  
**where**  
 $\text{inline1}' \text{ gpv } s =$   
 $\text{the-gpv gpv} \gg=$   
 $\text{case-generat } (\lambda x. \text{return-spmf } (\text{Inl } (x, s)))$   
 $(\lambda \text{out rpv. the-gpv } (\text{callee } s \text{ out}) \gg=$   
 $\text{case-generat } (\lambda(x, y). \text{inline1}' (\text{rpv } x) y)$   
 $(\lambda \text{out}' \text{ rpv}'. \text{return-spmf } (\text{Inr } (\text{out}, \text{out}', \text{rpv}', \text{rpv}))))$

**private lemma** *inline1'-fixp-induct* [case-names adm bottom step]:  
**assumes** *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ( $\lambda \text{inline1}'.$   
 $P (\lambda \text{gpv } s. \text{inline1}' (\text{gpv}, s))$ )  
**and**  $P (\lambda - . \text{return-pmf None})$   
**and**  $\bigwedge \text{inline1}'. P \text{ inline1}' \implies P (\lambda \text{gpv } s. \text{the-gpv gpv} \gg= \text{case-generat } (\lambda x.$   
 $\text{return-spmf } (\text{Inl } (x, s))) (\lambda \text{out rpv. the-gpv } (\text{callee } s \text{ out}) \gg= \text{case-generat } (\lambda(x,$   
 $y). \text{inline1}' (\text{rpv } x) y) (\lambda \text{out}' \text{ rpv}'. \text{return-spmf } (\text{Inr } (\text{out}, \text{out}', \text{rpv}', \text{rpv}))))$   
**shows**  $P \text{ inline1}'$   
 $\langle \text{proof} \rangle$  **lemma** *inline1-conv-inline1'*:  $\text{inline1 callee gpv } s = \text{map-spmf } (\text{map-sum}$   
 $\text{id snd}) (\text{inline1}' \text{ gpv } s)$   
 $\langle \text{proof} \rangle$

**context**  
**fixes**  $q :: \text{enat}$   
**assumes**  $q: \bigwedge s x. \text{consider}' x \implies \text{interaction-bound consider } (\text{callee } s x) \leq q$   
**and**  $\text{ignore}: \bigwedge s x. \neg \text{consider}' x \implies \text{interaction-bound consider } (\text{callee } s x) = 0$   
**begin**

**private lemma** *interaction-bound-inline1'-aux*:  
 $\text{interaction-bound consider}' \text{ gpv} \leq p$   
 $\implies \text{set-spmf } (\text{inline1}' \text{ gpv } s) \subseteq \{\text{Inr } (\text{out}', \text{out}, c', \text{rpv}) \mid \text{out}' \text{ out } c' \text{ rpv.}$   
 $\text{if consider}' \text{ out}'$   
 $\text{then } (\forall \text{input. (if consider out then eSuc } (\text{interaction-bound consider } (c'$   
 $\text{input})) \text{ else interaction-bound consider } (c' \text{ input})) \leq q) \wedge$   
 $(\forall x. \text{eSuc } (\text{interaction-bound consider}' (\text{rpv } x)) \leq p)$   
 $\text{else } \neg \text{consider out} \wedge (\forall \text{input. interaction-bound consider } (c' \text{ input}) = 0) \wedge$   
 $(\forall x. \text{interaction-bound consider}' (\text{rpv } x) \leq p)\}$   
 $\cup \text{range Inl}$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-inline1'*:  
 $\llbracket \text{Inr } (\text{out}', \text{out}, c', \text{rpv}) \in \text{set-spmf } (\text{inline1}' \text{ gpv } s); \text{interaction-bound consider}'$   
 $\text{gpv} \leq p \rrbracket$   
 $\implies \text{if consider}' \text{ out}' \text{ then}$

(if consider out then eSuc (interaction-bound consider (c' input)) else  
 interaction-bound consider (c' input))  $\leq q \wedge$   
 eSuc (interaction-bound consider' (rpv x))  $\leq p$   
 else  $\neg$  consider out  $\wedge$  interaction-bound consider (c' input) = 0  $\wedge$  interac-  
 tion-bound consider' (rpv x)  $\leq p$   
 <proof>

**end**

**lemma** *interaction-bounded-by-inline1*:

$\llbracket \text{Inr } (\text{out}', \text{out}, c', \text{rpv}) \in \text{set-spmf } (\text{inline1}' \text{ gpv } s);$   
 interaction-bounded-by consider' gpv p;  
 $\bigwedge s x. \text{consider}' x \implies \text{interaction-bounded-by consider } (\text{callee } s x) q;$   
 $\bigwedge s x. \neg \text{consider}' x \implies \text{interaction-bounded-by consider } (\text{callee } s x) 0 \rrbracket$   
 $\implies$  if consider' out' then  
 (if consider out then  $q \neq 0 \wedge \text{interaction-bounded-by consider } (c' \text{ input}) (q$   
 $- 1)$  else interaction-bounded-by consider (c' input) q)  $\wedge$   
 $p \neq 0 \wedge \text{interaction-bounded-by consider}' (\text{rpv } x) (p - 1)$   
 else  $\neg$  consider out  $\wedge$  interaction-bounded-by consider (c' input) 0  $\wedge$  interac-  
 tion-bounded-by consider' (rpv x) p  
 <proof>

**declare** *enat-0-iff* [simp]

**lemma** *interaction-bounded-by-inline* [interaction-bound]:

assumes p: interaction-bounded-by consider' gpv p  
 and q:  $\bigwedge s x. \text{consider}' x \implies \text{interaction-bounded-by consider } (\text{callee } s x) q$   
 and ignore:  $\bigwedge s x. \neg \text{consider}' x \implies \text{interaction-bounded-by consider } (\text{callee } s x)$   
 0  
 shows interaction-bounded-by consider (inline callee gpv s) (p \* q)  
 <proof>

**end**

**lemma** *interaction-bounded-by-inline-invariant*:

includes *lifting-syntax*  
 fixes consider' :: 'call  $\Rightarrow$  bool  
 and consider :: 'call'  $\Rightarrow$  bool  
 and callee :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call', 'ret') gpv  
 and gpv :: ('a, 'call, 'ret) gpv  
 assumes p: interaction-bounded-by consider' gpv p  
 and q:  $\bigwedge s x. \llbracket I s; \text{consider}' x \rrbracket \implies \text{interaction-bounded-by consider } (\text{callee } s x)$   
 q  
 and ignore:  $\bigwedge s x. \llbracket I s; \neg \text{consider}' x \rrbracket \implies \text{interaction-bounded-by consider } (\text{callee } s x) 0$   
 and I: I s  
 and invariant:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{results}'\text{-gpv } (\text{callee } s x); I s \rrbracket \implies I s'$   
 shows interaction-bounded-by consider (inline callee gpv s) (p \* q)  
 <proof>



```

context
  fixes  $\mathcal{I} :: ('call, 'ret) \mathcal{I}$ 
  and  $\mathcal{I}' :: ('call', 'ret') \mathcal{I}$ 
  and  $callee :: 's \Rightarrow 'call \Rightarrow ('ret \times 's, 'call', 'ret') \text{ gpv}$ 
  assumes  $results: \bigwedge s x. x \in outs\text{-}\mathcal{I} \ \mathcal{I} \Longrightarrow results\text{-}gpv \ \mathcal{I}' (callee \ s \ x) \subseteq responses\text{-}\mathcal{I}$ 
 $\mathcal{I} \ x \times UNIV$ 
begin

lemma inline1-in-sub-gpvs-callee:
  assumes  $Inr \ (out, callee', rpv') \in set\text{-}spmf \ (inline1 \ callee \ gpv \ s)$ 
  and  $WT: \mathcal{I} \vdash_g gpv \ \checkmark$ 
  shows  $\exists call \in outs\text{-}\mathcal{I} \ \mathcal{I}. \exists s. \forall x \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out. callee' \ x \in sub\text{-}gpvs \ \mathcal{I}'$ 
 $(callee \ s \ call)$ 
 $\langle proof \rangle$ 

lemma inline1-in-sub-gpvs:
  assumes  $Inr \ (out, callee', rpv') \in set\text{-}spmf \ (inline1 \ callee \ gpv \ s)$ 
  and  $(x, s') \in results\text{-}gpv \ \mathcal{I}' (callee' \ input)$ 
  and  $input \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out$ 
  and  $\mathcal{I} \vdash_g gpv \ \checkmark$ 
  shows  $rpv' \ x \in sub\text{-}gpvs \ \mathcal{I} \ gpv$ 
 $\langle proof \rangle$ 

context
  assumes  $WT: \bigwedge x s. x \in outs\text{-}\mathcal{I} \ \mathcal{I} \Longrightarrow \mathcal{I}' \vdash_g callee \ s \ x \ \checkmark$ 
begin

lemma WT-gpv-inline1:
  assumes  $Inr \ (out, rpv, rpv') \in set\text{-}spmf \ (inline1 \ callee \ gpv \ s)$ 
  and  $\mathcal{I} \vdash_g gpv \ \checkmark$ 
  shows  $out \in outs\text{-}\mathcal{I} \ \mathcal{I}'$  (is ?thesis1)
  and  $input \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out \Longrightarrow \mathcal{I}' \vdash_g rpv \ input \ \checkmark$  (is PROP ?thesis2)
  and  $\llbracket input \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out; (x, s') \in results\text{-}gpv \ \mathcal{I}' (rpv \ input) \rrbracket \Longrightarrow \mathcal{I} \vdash_g$ 
 $rpv' \ x \ \checkmark$  (is PROP ?thesis3)
 $\langle proof \rangle$ 

lemma WT-gpv-inline:
  assumes  $\mathcal{I} \vdash_g gpv \ \checkmark$ 
  shows  $\mathcal{I}' \vdash_g inline \ callee \ gpv \ s \ \checkmark$ 
 $\langle proof \rangle$ 

end

context
  fixes  $gpv :: ('a, 'call, 'ret) \text{ gpv}$ 
  assumes  $gpv: lossless\text{-}gpv \ \mathcal{I} \ gpv \ \mathcal{I} \vdash_g gpv \ \checkmark$ 
begin

```

**lemma** *lossless-spmf-inline1*:  
**assumes** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf} \ (\text{the-gpv} \ (\text{callee} \ s \ x))$   
**shows** *lossless-spmf* (*inline1 callee gpv s*)  
 $\langle \text{proof} \rangle$

**lemma** *lossless-gpv-inline1*:  
**assumes** \*: *Inr* (*out*, *rpv*, *rpv'*)  $\in \text{set-spmf} \ (\text{inline1 callee gpv } s)$   
**and** \*\*: *input*  $\in \text{responses-}\mathcal{I} \ \mathcal{I}' \ \text{out}$   
**and** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv} \ \mathcal{I}' \ (\text{callee} \ s \ x)$   
**shows** *lossless-gpv*  $\mathcal{I}' \ (\text{rpv} \ \text{input})$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-results-inline1*:  
**assumes** *Inr* (*out*, *rpv*, *rpv'*)  $\in \text{set-spmf} \ (\text{inline1 callee gpv } s)$   
**and** (*x*, *s'*)  $\in \text{results-gpv} \ \mathcal{I}' \ (\text{rpv} \ \text{input})$   
**and** *input*  $\in \text{responses-}\mathcal{I} \ \mathcal{I}' \ \text{out}$   
**shows** *lossless-gpv*  $\mathcal{I} \ (\text{rpv}' \ x)$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *lossless-inline1* [rotated 2] = *lossless-spmf-inline1 lossless-gpv-inline1 lossless-results-inline1*

**lemma** *lossless-inline* [rotated]:  
**fixes** *gpv* :: ('a, 'call, 'ret) gpv  
**assumes** *gpv*: *lossless-gpv*  $\mathcal{I} \ \text{gpv} \ \mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv} \ \mathcal{I}' \ (\text{callee} \ s \ x)$   
**shows** *lossless-gpv*  $\mathcal{I}' \ (\text{inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**end**

**definition** *id-oracle* :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call, 'ret) gpv  
**where** *id-oracle* *s x* = *Pause x* ( $\lambda x. \text{Done} \ (x, s)$ )

**lemma** *inline1-id-oracle*:  
*inline1 id-oracle gpv s* =  
*map-spmf* ( $\lambda \text{generat. case generat of Pure } x \Rightarrow \text{Inl} \ (x, s) \mid \text{IO out } c \Rightarrow \text{Inr} \ (\text{out}, \lambda x. \text{Done} \ (x, s), c)) \ (\text{the-gpv gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *inline-id-oracle* [simp]: *inline id-oracle gpv s* = *map-gpv* ( $\lambda x. (x, s)$ ) *id gpv*  
 $\langle \text{proof} \rangle$

**locale** *raw-converter-invariant* =  
**fixes**  $\mathcal{I} :: ('call, 'ret) \ \mathcal{I}$   
**and**  $\mathcal{I}' :: ('call', 'ret') \ \mathcal{I}$   
**and** *callee* :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call', 'ret') gpv

**and**  $I :: 's \Rightarrow \text{bool}$   
**assumes**  $\text{results-callee}: \bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \Longrightarrow \text{results-gpv } \mathcal{I}' (\text{callee } s \ x)$   
 $\subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \ x \times \{s. I \ s\}$   
**and**  $\text{WT-callee}: \bigwedge x s. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \Longrightarrow \mathcal{I}' \vdash_g \text{callee } s \ x \ \checkmark$   
**begin**

**context begin**

**private lemma** *aux*:

$\text{set-spmf } (\text{inline1 callee gpv } s) \subseteq \{ \text{Inr } (\text{out}, \text{callee}', \text{rpv}') \mid \text{out callee}' \text{ rpv}'.$   
 $\exists \text{call} \in \text{outs-}\mathcal{I} \ \mathcal{I}. \exists s. I \ s \wedge (\forall x \in \text{responses-}\mathcal{I} \ \mathcal{I}' \text{ out}. \text{callee}' x \in \text{sub-gpvs } \mathcal{I}'$   
 $(\text{callee } s \ \text{call})) \} \cup$   
 $\{ \text{Inl } (x, s') \mid x \ s'. x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s' \}$   
 $(\text{is } ?\text{concl } (\text{inline1 callee}) \ \text{gpv } s \ \text{is} - \subseteq ?\text{rhs1} \cup ?\text{rhs2 gpv})$   
**if**  $\mathcal{I} \vdash_g \text{gpv } \checkmark \ I \ s$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-in-sub-gpvs-callee*:

**assumes**  $\text{Inr } (\text{out}, \text{callee}', \text{rpv}') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\text{WT}: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $s: I \ s$   
**shows**  $\exists \text{call} \in \text{outs-}\mathcal{I} \ \mathcal{I}. \exists s. I \ s \wedge (\forall x \in \text{responses-}\mathcal{I} \ \mathcal{I}' \text{ out}. \text{callee}' x \in \text{sub-gpvs}$   
 $\mathcal{I}' (\text{callee } s \ \text{call}))$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-Inl-results-gpv*:

**assumes**  $\text{Inl } (x, s') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\text{WT}: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $s: I \ s$   
**shows**  $x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *inline1-in-sub-gpvs*:

**assumes**  $\text{Inr } (\text{out}, \text{callee}', \text{rpv}') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $(x, s') \in \text{results-gpv } \mathcal{I}' (\text{callee}' \text{ input})$   
**and**  $\text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \text{ out}$   
**and**  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I \ s$   
**shows**  $\text{rpv}' x \in \text{sub-gpvs } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-inline1*:

**assumes**  $\text{Inr } (\text{out}, \text{rpv}, \text{rpv}') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I \ s$   
**shows**  $\text{out} \in \text{outs-}\mathcal{I} \ \mathcal{I}'$  (**is** *?thesis1*)  
**and**  $\text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \text{ out} \Longrightarrow \mathcal{I}' \vdash_g \text{rpv input } \checkmark$  (**is** *PROP ?thesis2*)  
**and**  $\llbracket \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \text{ out}; (x, s') \in \text{results-gpv } \mathcal{I}' (\text{rpv input}) \rrbracket \Longrightarrow \mathcal{I}$   
 $\vdash_g \text{rpv}' x \ \checkmark \wedge I \ s'$  (**is** *PROP ?thesis3*)

$\langle proof \rangle$

**lemma** *WT-gpv-inline-invar*:  
 assumes  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
 and  $I \ s$   
 shows  $\mathcal{I}' \vdash g \text{ inline callee gpv } s \ \checkmark$   
 $\langle proof \rangle$

**end**

**lemma** *WT-gpv-inline'*:  
 assumes  $\bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{results-gpv } \mathcal{I}' \ (\text{callee } s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \ x \times \text{UNIV}$   
 and  $\bigwedge x \ s. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash g \text{ callee } s \ x \ \checkmark$   
 and  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
 shows  $\mathcal{I}' \vdash g \text{ inline callee gpv } s \ \checkmark$   
 $\langle proof \rangle$

**lemma** *results-gpv-sub-gvps*:  $\text{gpv}' \in \text{sub-gvps } \mathcal{I} \ \text{gpv} \implies \text{results-gpv } \mathcal{I} \ \text{gpv}' \subseteq \text{results-gpv } \mathcal{I} \ \text{gpv}$   
 $\langle proof \rangle$

**lemma** *in-results-gpv-sub-gvps*:  $\llbracket x \in \text{results-gpv } \mathcal{I} \ \text{gpv}'; \text{gpv}' \in \text{sub-gvps } \mathcal{I} \ \text{gpv} \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv}$   
 $\langle proof \rangle$

**context** *raw-converter-invariant* **begin**

**lemma** *results-gpv-inline-aux*:  
 assumes  $(x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{inline-aux callee } y)$   
 shows  $\llbracket y = \text{Inl } (\text{gpv}, s); \mathcal{I} \vdash g \text{ gpv } \checkmark; I \ s \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 and  $\llbracket y = \text{Inr } (\text{rpv}, \text{callee}'); \forall (z, s') \in \text{results-gpv } \mathcal{I}' \ \text{callee}'. \mathcal{I} \vdash g \text{ rpv } z \ \checkmark \wedge I \ s' \rrbracket$   
 $\implies \exists (z, s'') \in \text{results-gpv } \mathcal{I}' \ \text{callee}'. x \in \text{results-gpv } \mathcal{I} \ (\text{rpv } z) \wedge I \ s'' \wedge I \ s'$   
 $\langle proof \rangle$

**lemma** *results-gpv-inline*:  
 $\llbracket (x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{inline callee gpv } s); \mathcal{I} \vdash g \text{ gpv } \checkmark; I \ s \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 $\langle proof \rangle$

**end**

**lemma** *inline-map-gpv*:  
 $\text{inline callee } (\text{map-gpv } f \ g \ \text{gpv}) \ s = \text{map-gpv } (\text{apfst } f) \ \text{id } (\text{inline } (\lambda s \ x. \text{callee } s \ (g \ x)) \ \text{gpv } s)$   
 $\langle proof \rangle$

## 4.17 Running GPVs

**type-synonym** ('call, 'ret, 's) callee = 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's) spmf

**context fixes** callee :: ('call, 'ret, 's) callee **notes** [[function-internals]] **begin**

**partial-function** (spmf) exec-gpv :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's  $\Rightarrow$  ('a  $\times$  's) spmf  
**where**

exec-gpv c s =  
 the-gpv c  $\gg$   
 case-generat ( $\lambda x$ . return-spmf (x, s))  
 ( $\lambda out$  c. callee s out  $\gg$  ( $\lambda(x, y)$ . exec-gpv (c x) y))

**abbreviation** run-gpv :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's  $\Rightarrow$  'a spmf

**where** run-gpv gpv s  $\equiv$  map-spmf fst (exec-gpv gpv s)

**lemma** exec-gpv-fixp-induct [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))  
**and** P ( $\lambda$ - . return-pmf None)  
**and**  $\bigwedge$  exec-gpv. P exec-gpv  $\Rightarrow$   
 P ( $\lambda c$  s. the-gpv c  $\gg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\gg$  ( $\lambda(x, y)$ . exec-gpv (c x) y)))  
**shows** P exec-gpv  
 <proof>

**lemma** exec-gpv-fixp-induct-strong [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))  
**and** P ( $\lambda$ - . return-pmf None)  
**and**  $\bigwedge$  exec-gpv'.  $\llbracket \bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (exec-gpv c s); P exec-gpv'  $\rrbracket$   
 $\Rightarrow$  P ( $\lambda c$  s. the-gpv c  $\gg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\gg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)))  
**shows** P exec-gpv  
 <proof>

**lemma** exec-gpv-fixp-induct-strong2 [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))  
**and** P ( $\lambda$ - . return-pmf None)  
**and**  $\bigwedge$  exec-gpv'.  
 $\llbracket \bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (exec-gpv c s);  
 $\bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (the-gpv c  $\gg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\gg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)));  
 P exec-gpv'  $\rrbracket$   
 $\Rightarrow$  P ( $\lambda c$  s. the-gpv c  $\gg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\gg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)))  
**shows** P exec-gpv  
 <proof>

**end**

**lemma** *exec-gpv-conv-inline1*:

*exec-gpv callee gpv s = map-spmf projl (inline1 (λs c. lift-spmf (callee s c) :: (-, unit, unit) gpv) gpv s)*  
 ⟨proof⟩

**lemma** *exec-gpv-simps*:

*exec-gpv callee gpv s =*  
*the-gpv gpv ≫=*  
*case-generat (λx. return-spmf (x, s))*  
*(λout rpv. callee s out ≫= (λ(x, y). exec-gpv callee (rpv x) y))*  
 ⟨proof⟩

**lemma** *exec-gpv-lift-spmf [simp]*:

*exec-gpv callee (lift-spmf p) s = bind-spmf p (λx. return-spmf (x, s))*  
 ⟨proof⟩

**lemma** *exec-gpv-Done [simp]*: *exec-gpv callee (Done x) s = return-spmf (x, s)*

⟨proof⟩

**lemma** *exec-gpv-Fail [simp]*: *exec-gpv callee Fail s = return-pmf None*

⟨proof⟩

**lemma** *if-distrib-exec-gpv [if-distrib]*:

*exec-gpv callee (if b then x else y) s = (if b then exec-gpv callee x s else exec-gpv callee y s)*  
 ⟨proof⟩

**lemmas** *exec-gpv-fixp-parallel-induct [case-names adm bottom step] =*

*parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono exec-gpv.mono exec-gpv-def exec-gpv-def, unfolded lub-spmf-empty]*

**context includes** *lifting-syntax* **begin**

**lemma** *exec-gpv-parametric'*:

*((S ==> CALL ==> rel-spmf (rel-prod R S)) ==> rel-gpv'' A CALL R*  
*==> S ==> rel-spmf (rel-prod A S))*  
*exec-gpv exec-gpv*  
 ⟨proof⟩

**lemma** *exec-gpv-parametric [transfer-rule]*:

*((S ==> CALL ==> rel-spmf (rel-prod ((=) :: 'ret ⇒ -) S)) ==> rel-gpv*  
*A CALL ==> S ==> rel-spmf (rel-prod A S))*  
*exec-gpv exec-gpv*  
 ⟨proof⟩

**end**

**lemma** *exec-gpv-bind*:  $\text{exec-gpv callee } (c \gg= f) s = \text{exec-gpv callee } c s \gg= (\lambda(x, s') \Rightarrow \text{exec-gpv callee } (f x) s')$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-map-gpv-id*:  
 $\text{exec-gpv oracle } (\text{map-gpv } f \text{ id } \text{gpv}) \sigma = \text{map-spmf } (\text{apfst } f) (\text{exec-gpv oracle gpv } \sigma)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-Pause* [simp]:  
 $\text{exec-gpv callee } (\text{Pause out } f) s = \text{callee } s \text{ out } \gg= (\lambda(x, s'). \text{exec-gpv callee } (f x) s')$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-bind-lift-spmf*:  
 $\text{exec-gpv callee } (\text{bind-gpv } (\text{lift-spmf } p) f) s = \text{bind-spmf } p (\lambda x. \text{exec-gpv callee } (f x) s)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-bind-option* [simp]:  
 $\text{exec-gpv oracle } (\text{monad.bind-option } \text{Fail } x f) s = \text{monad.bind-option } (\text{return-pmf } \text{None}) x (\lambda a. \text{exec-gpv oracle } (f a) s)$   
 $\langle \text{proof} \rangle$

**lemma** *pred-spmf-exec-gpv*:  
— We don't get an equivalence here because states are threaded through in *exec-gpv*.  
 $\llbracket \text{pred-gpv } A \ C \ \text{gpv}; \text{pred-fun } S \ (\text{pred-fun } C \ (\text{pred-spmf } (\text{pred-prod } (\lambda-. \text{True}) S))) \text{callee}; S \ s \rrbracket$   
 $\implies \text{pred-spmf } (\text{pred-prod } A \ S) (\text{exec-gpv callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-inline*:  
**fixes** *callee* :: ('c, 'r, 's) callee  
**and** *gpv* :: 's'  $\Rightarrow$  'c'  $\Rightarrow$  ('r'  $\times$  's', 'c, 'r) gpv  
**shows**  $\text{exec-gpv callee } (\text{inline gpv } c' s') s =$   
 $\text{map-spmf } (\lambda(x, s', s). ((x, s'), s)) (\text{exec-gpv } (\lambda(s', s) y. \text{map-spmf } (\lambda((x, s'), s). (x, s', s)) (\text{exec-gpv callee } (\text{gpv } s' y) s)) c' (s', s))$   
**(is** *?lhs* = *?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-exec-gpv*:  
**assumes** *callee*:  $\bigwedge s x. \text{ord-spmf } (=) (\text{callee1 } s x) (\text{callee2 } s x)$   
**shows**  $\text{ord-spmf } (=) (\text{exec-gpv callee1 gpv } s) (\text{exec-gpv callee2 gpv } s)$   
 $\langle \text{proof} \rangle$

**context** **fixes** *callee* :: ('call, 'ret, 's) callee **notes**  $\llbracket \text{function-internals} \rrbracket$  **begin**

**partial-function** (*spmf*) *execp-resumption* :: ('a, 'call, 'ret) *resumption*  $\Rightarrow$  's  $\Rightarrow$  ('a  $\times$  's) *spmf*

**where**

*execp-resumption* *r s* = (case *r* of *resumption.Done* *x*  $\Rightarrow$  *return-pmf* (*map-option* ( $\lambda a. (a, s)$ ) *x*)  
| *resumption.Pause* *out c*  $\Rightarrow$  *bind-spmf* (*callee s out*) ( $\lambda(input, s'). \text{execp-resumption } (c \text{ input}) s'$ ))

**simps-of-case** *execp-resumption-simps* [*simp*]: *execp-resumption.simps*

**lemma** *execp-resumption-ABORT* [*simp*]: *execp-resumption ABORT s* = *return-pmf None*  
 $\langle \text{proof} \rangle$

**lemma** *execp-resumption-DONE* [*simp*]: *execp-resumption (DONE x) s* = *return-spmf (x, s)*  
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-lift-resumption*: *exec-gpv callee (lift-resumption r) s* = *execp-resumption r s*  
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-execp-resumption* [*THEN* *spmf.mcont2mcont, cont-intro, simp*]:  
**shows** *mcont-execp-resumption*:  
*mcont resumption-lub resumption-ord lub-spmf (ord-spmf (=))* ( $\lambda r. \text{execp-resumption } r s$ )  
 $\langle \text{proof} \rangle$

**lemma** *execp-resumption-bind* [*simp*]:  
*execp-resumption (r  $\gg=$  f) s* = *execp-resumption r s  $\gg=$  ( $\lambda(x, s'). \text{execp-resumption } (f x) s'$ )*  
 $\langle \text{proof} \rangle$

**lemma** *pred-spmf-execp-resumption*:  
 $\bigwedge A. \llbracket \text{pred-resumption } A \ C \ r; \text{pred-fun } S \ (\text{pred-fun } C \ (\text{pred-spmf } (\text{pred-prod } (\lambda-. \text{True}) \ S))) \ \text{callee}; \ S \ s \rrbracket$   
 $\implies \text{pred-spmf } (\text{pred-prod } A \ S) \ (\text{execp-resumption } r \ s)$   
 $\langle \text{proof} \rangle$

**end**

**inductive** *WT-callee* :: ('call, 'ret)  $\mathcal{I} \Rightarrow ('call \Rightarrow ('ret \times 's) \text{spmf}) \Rightarrow \text{bool}$  ( $\iota(-)$ )  
 $\vdash_c / (-) \checkmark \wr [100, 0] \ 99)$

**for**  $\mathcal{I}$  *callee*

**where**

*WT-callee*:

$\llbracket \bigwedge \text{call } \text{ret } s. \llbracket \text{call} \in \text{outs-}\mathcal{I} \ \mathcal{I}; (\text{ret}, s) \in \text{set-spmf } (\text{callee } \text{call}) \rrbracket \implies \text{ret} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{call} \rrbracket$



$\Rightarrow \mathcal{I} \vdash_c \text{callee} \checkmark$

**lemmas**  $WT\text{-callee}I = WT\text{-callee}$

**hide-fact**  $WT\text{-callee}$

**lemma**  $WT\text{-callee}D$ :  $\llbracket \mathcal{I} \vdash_c \text{callee} \checkmark; (ret, s) \in \text{set-spmf} (\text{callee out}); out \in \text{outs-}\mathcal{I} \rrbracket \Rightarrow ret \in \text{responses-}\mathcal{I} \mathcal{I} out$   
 $\langle \text{proof} \rangle$

**lemma**  $WT\text{-callee-full}$   $[intro!, simp]$ :  $\mathcal{I}\text{-full} \vdash_c \text{callee} \checkmark$   
 $\langle \text{proof} \rangle$

**lemma**  $WT\text{-callee-parametric}$   $[transfer\text{-rule}]$ :

**includes**  $\text{lifting-syntax}$

**assumes**  $[transfer\text{-rule}]$ :  $bi\text{-unique } R$

**shows**  $(rel\text{-}\mathcal{I} \ C \ R \implies (C \implies rel\text{-spm}f \ (rel\text{-prod } R \ S)) \implies (=))$

$WT\text{-callee } WT\text{-callee}$

$\langle \text{proof} \rangle$

**locale**  $\text{callee-invariant-on-base} =$

**fixes**  $\text{callee} :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

**and**  $I :: 's \Rightarrow \text{bool}$

**and**  $\mathcal{I} :: ('a, 'b) \mathcal{I}$

**locale**  $\text{callee-invariant-on} = \text{callee-invariant-on-base } \text{callee } I \ \mathcal{I}$

**for**  $\text{callee} :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

**and**  $I :: 's \Rightarrow \text{bool}$

**and**  $\mathcal{I} :: ('a, 'b) \mathcal{I}$

**+**

**assumes**  $\text{callee-invariant}$ :  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s \ x); I \ s; x \in \text{outs-}\mathcal{I} \rrbracket \Rightarrow I \ s'$

**and**  $WT\text{-callee}$ :  $\bigwedge s. I \ s \Rightarrow \mathcal{I} \vdash_c \text{callee } s \checkmark$

**begin**

**lemma**  $\text{callee-invariant}'$ :  $\llbracket (y, s') \in \text{set-spmf} (\text{callee } s \ x); I \ s; x \in \text{outs-}\mathcal{I} \rrbracket \Rightarrow I \ s' \wedge y \in \text{responses-}\mathcal{I} \mathcal{I} x$

$\langle \text{proof} \rangle$

**lemma**  $\text{exec-gpv-invariant}'$ :

$\llbracket I \ s; \mathcal{I} \vdash_g \text{gpv} \checkmark \rrbracket \Rightarrow \text{set-spmf} (\text{exec-gpv } \text{callee } \text{gpv } s) \subseteq \{(x, s'). I \ s'\}$

$\langle \text{proof} \rangle$

**lemma**  $\text{exec-gpv-invariant}$ :

$\llbracket (x, s') \in \text{set-spmf} (\text{exec-gpv } \text{callee } \text{gpv } s); I \ s; \mathcal{I} \vdash_g \text{gpv} \checkmark \rrbracket \Rightarrow I \ s'$

$\langle \text{proof} \rangle$

**lemma**  $\text{interaction-bounded-by-exec-gpv-count}'$ :

**fixes**  $\text{count}$

**assumes**  $\text{bound}$ :  $\text{interaction-bounded-by consider gpv } n$

**and** *count*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc} \ (\text{count } s)$   
**and** *ignore*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *WT*:  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and** *I*:  $I \ s$   
**shows**  $\text{set-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s) \subseteq \{(x, s'). \text{count } s' \leq n + \text{count } s\}$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-exec-gpv-count*:

**fixes** *count*  
**assumes** *bound*: *interaction-bounded-by consider gpv n*  
**and** *xs'*:  $(x, s') \in \text{set-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$   
**and** *count*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc} \ (\text{count } s)$   
**and** *ignore*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *WT*:  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and** *I*:  $I \ s$   
**shows**  $\text{count } s' \leq n + \text{count } s$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by'-exec-gpv-count*:

**fixes** *count*  
**assumes** *bound*: *interaction-bounded-by' consider gpv n*  
**and** *xs'*:  $(x, s') \in \text{set-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$   
**and** *count*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc} \ (\text{count } s)$   
**and** *ignore*:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *outs*:  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and** *I*:  $I \ s$   
**shows**  $\text{count } s' \leq n + \text{count } s$   
 $\langle \text{proof} \rangle$

**lemma** *pred-spmf-calleeI*:  $\llbracket I \ s; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{pred-spmf} \ (\text{pred-prod} \ (\lambda-. \text{True}) \ I) \ (\text{callee } s \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-exec-gpv*:

**assumes** *gpv*: *lossless-gpv I gpv*  
**and** *callee*:  $\bigwedge s \ \text{out}. \llbracket \text{out} \in \text{outs-}\mathcal{I} \rrbracket; I \ s \implies \text{lossless-spmf} \ (\text{callee } s \ \text{out})$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and** *I*:  $I \ s$   
**shows**  $\text{lossless-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-spmf-exec-gpv-into-results-gpv*:

**assumes** \*:  $(x, s') \in \text{set-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$

**and**  $WT\text{-}gpv : \mathcal{I} \vdash_g gpv \checkmark$   
**and**  $I : I\ s$   
**shows**  $x \in \text{results-gpv } \mathcal{I} \ gpv$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *callee-invariant-on-alt-def*:  
 $\text{callee-invariant-on} = (\lambda \text{callee } I\ \mathcal{I}.$   
 $(\forall s \in \text{Collect } I. \forall x \in \text{outs-}\mathcal{I}\ \mathcal{I}. \forall (y, s') \in \text{set-spmf } (\text{callee } s\ x). I\ s') \wedge$   
 $(\forall s \in \text{Collect } I. \mathcal{I} \vdash_c \text{callee } s\ \checkmark))$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-parametric* [transfer-rule]: **includes** *lifting-syntax*  
**assumes** [transfer-rule]: *bi-unique*  $R$  *bi-total*  $S$   
**shows**  $((S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R\ S)) \text{====>} (S \text{====>} (=))$   
 $\text{====>} \text{rel-}\mathcal{I}\ C\ R \text{====>} (=))$   
 $\text{callee-invariant-on } \text{callee-invariant-on}$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-cong*:  
 $\llbracket I = I'; \text{outs-}\mathcal{I}\ \mathcal{I} = \text{outs-}\mathcal{I}\ \mathcal{I}';$   
 $\bigwedge s\ x. \llbracket I'\ s; x \in \text{outs-}\mathcal{I}\ \mathcal{I}' \rrbracket \implies \text{set-spmf } (\text{callee } s\ x) \subseteq \text{responses-}\mathcal{I}\ \mathcal{I}\ x \times$   
 $\text{Collect } I' \longleftrightarrow \text{set-spmf } (\text{callee}'\ s\ x) \subseteq \text{responses-}\mathcal{I}\ \mathcal{I}'\ x \times \text{Collect } I'$   
 $\implies \text{callee-invariant-on } \text{callee } I\ \mathcal{I} = \text{callee-invariant-on } \text{callee}'\ I'\ \mathcal{I}'$   
 $\langle \text{proof} \rangle$

**abbreviation** *callee-invariant* ::  $('s \Rightarrow 'a \Rightarrow ('b \times 's)\ \text{spmf}) \Rightarrow ('s \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**where** *callee-invariant*  $\text{callee } I \equiv \text{callee-invariant-on } \text{callee } I\ \mathcal{I}\text{-full}$

**interpretation** *oi-True*: *callee-invariant-on*  $\text{callee } \lambda\cdot$ . *True*  $\mathcal{I}\text{-full}$  **for** *callee*  
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-return-spmf* [simp]:  
 $\text{callee-invariant-on } (\lambda s\ x. \text{return-spmf } (f\ s\ x))\ I\ \mathcal{I} \longleftrightarrow (\forall s. \forall x \in \text{outs-}\mathcal{I}\ \mathcal{I}. I\ s$   
 $\longrightarrow I\ (\text{snd } (f\ s\ x)) \wedge \text{fst } (f\ s\ x) \in \text{responses-}\mathcal{I}\ \mathcal{I}\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-return-spmf* [simp]:  
 $\text{callee-invariant } (\lambda s\ x. \text{return-spmf } (f\ s\ x))\ I \longleftrightarrow (\forall s\ x. I\ s \longrightarrow I\ (\text{snd } (f\ s\ x)))$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-restrict-relp*:  
**includes** *lifting-syntax*  
**assumes**  $(S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R\ S))$  *callee1* *callee2*  
**and** *callee-invariant* *callee1* *I1*  
**and** *callee-invariant* *callee2* *I2*  
**shows**  $((S \upharpoonright I1 \otimes I2) \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R\ (S \upharpoonright I1 \otimes I2)))$   
*callee1* *callee2*

$\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-True* [simp]: *callee-invariant-on callee* ( $\lambda\cdot$ . True)  $\mathcal{I} \longleftrightarrow$   
( $\forall s. \mathcal{I} \vdash c \text{ callee } s \checkmark$ )  
 $\langle \text{proof} \rangle$

**lemma** *lossless-exec-gpv*:  
[[ *lossless-gpv*  $\mathcal{I}$  *gpv*;  $\bigwedge s \text{ out}. \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{lossless-spmf } (\text{callee } s \text{ out});$   
 $\mathcal{I} \vdash g \text{ gpv } \checkmark$ ;  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$  ]]  
 $\implies \text{lossless-spmf } (\text{exec-gpv callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-spmf-exec-gpv-into-results'-gpv*:  
assumes \*:  $(x, s') \in \text{set-spmf } (\text{exec-gpv callee gpv } s)$   
shows  $x \in \text{results'-gpv gpv}$   
 $\langle \text{proof} \rangle$

**context** fixes  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  **begin**

**primcorec** *restrict-gpv* ::  $('a, 'out, 'in) \text{ gpv} \Rightarrow ('a, 'out, 'in) \text{ gpv}$   
**where**

*restrict-gpv gpv* = *GPV* (  
  *map-pmf* (*case-option* None (*case-generat* (Some  $\circ$  Pure)  
    ( $\lambda \text{out } c.$  if  $\text{out} \in \text{outs-}\mathcal{I} \mathcal{I}$  then Some (*IO out* ( $\lambda \text{input}.$  if  $\text{input} \in \text{responses-}\mathcal{I}$   
       $\mathcal{I}$  out then *restrict-gpv* (*c input*) else Fail))  
      else None))))  
  (*the-gpv gpv*))

**lemma** *restrict-gpv-Done* [simp]: *restrict-gpv* (Done  $x$ ) = Done  $x$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-Fail* [simp]: *restrict-gpv* Fail = Fail  
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-Pause* [simp]: *restrict-gpv* (Pause out  $c$ ) = (if  $\text{out} \in \text{outs-}\mathcal{I} \mathcal{I}$   
then Pause out ( $\lambda \text{input}.$  if  $\text{input} \in \text{responses-}\mathcal{I} \mathcal{I}$  out then *restrict-gpv* (*c input*)  
else Fail) else Fail)  
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-bind* [simp]: *restrict-gpv* (*bind-gpv gpv f*) = *bind-gpv* (*restrict-gpv gpv*) ( $\lambda x.$  *restrict-gpv* (*f x*))  
 $\langle \text{proof} \rangle$

**lemma** *WT-restrict-gpv* [simp]:  $\mathcal{I} \vdash g \text{ restrict-gpv gpv } \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-restrict-gpv*:  
assumes  $\mathcal{I} \vdash g \text{ gpv } \checkmark$  and *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$

**shows**  $\text{exec-gpv callee } (\text{restrict-gpv gpv}) s = \text{exec-gpv callee gpv } s$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{in-outs}'\text{-restrict-gpvD}$ :  $x \in \text{outs}'\text{-gpv } (\text{restrict-gpv gpv}) \implies x \in \text{outs-}\mathcal{I} \mathcal{I}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{outs}'\text{-restrict-gpv}$ :  $\text{outs}'\text{-gpv } (\text{restrict-gpv gpv}) \subseteq \text{outs-}\mathcal{I} \mathcal{I} \langle \text{proof} \rangle$

**lemma**  $\text{lossless-restrict-gpvI}$ :  $\llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} (\text{restrict-gpv gpv})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lossless-restrict-gpvD}$ :  $\llbracket \text{lossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}); \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{colossless-restrict-gpvD}$ :  
 $\llbracket \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}); \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{colossless-restrict-gpvI}$ :  
 $\llbracket \text{colossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gen-colossless-restrict-gpv}$  [simp]:  
 $\mathcal{I} \vdash_g \text{ gpv } \checkmark \implies \text{gen-lossless-gpv } b \mathcal{I} (\text{restrict-gpv gpv}) \longleftrightarrow \text{gen-lossless-gpv } b \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{interaction-bound-restrict-gpv}$ :  
 $\text{interaction-bound consider } (\text{restrict-gpv gpv}) \leq \text{interaction-bound consider gpv}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{interaction-bounded-by-restrict-gpvI}$  [interaction-bound, simp]:  
 $\text{interaction-bounded-by consider gpv } n \implies \text{interaction-bounded-by consider } (\text{restrict-gpv gpv}) n$   
 $\langle \text{proof} \rangle$

**end**

**lemma**  $\text{restrict-gpv-parametric}'$ :  
**includes**  $\text{lifting-syntax}$   
**notes** [transfer-rule] =  $\text{the-gpv-parametric}' \text{ Fail-parametric}' \text{ corec-gpv-parametric}'$   
**assumes** [transfer-rule]:  $\text{bi-unique } C \text{ bi-unique } R$   
**shows**  $(\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R) \text{ restrict-gpv}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{restrict-gpv-parametric}$  [transfer-rule]: **includes**  $\text{lifting-syntax}$  **shows**

*bi-unique*  $C \implies (\text{rel-}\mathcal{I} \ C (=) \implies \text{rel-gpv} \ A \ C \implies \text{rel-gpv} \ A \ C) \text{ restrict-gpv}$   
*restrict-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *map-restrict-gpv*:  $\text{map-gpv } f \text{ id } (\text{restrict-gpv } \mathcal{I} \text{ gpv}) = \text{restrict-gpv } \mathcal{I} (\text{map-gpv } f \text{ id } \text{gpv})$   
**for**  $\text{gpv} :: ('a, 'out, 'ret) \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** (*in callee-invariant-on*) *exec-gpv-restrict-gpv-invariant*:  
 assumes  $\mathcal{I} \vdash_g \text{gpv} \checkmark$  and  $I \ s$   
 shows  $\text{exec-gpv} \text{ callee } (\text{restrict-gpv } \mathcal{I} \text{ gpv}) \ s = \text{exec-gpv} \text{ callee } \text{gpv} \ s$   
 $\langle \text{proof} \rangle$

**lemma** *in-results-gpv-restrict-gpvD*:  
 assumes  $x \in \text{results-gpv } \mathcal{I} (\text{restrict-gpv } \mathcal{I}' \text{ gpv})$   
 shows  $x \in \text{results-gpv } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-restrict-gpv*:  
 $\text{results-gpv } \mathcal{I} (\text{restrict-gpv } \mathcal{I}' \text{ gpv}) \subseteq \text{results-gpv } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *in-results'-gpv-restrict-gpvD*:  
 $x \in \text{results}'\text{-gpv } (\text{restrict-gpv } \mathcal{I}' \text{ gpv}) \implies x \in \text{results}'\text{-gpv } \text{gpv}$   
 $\langle \text{proof} \rangle$

**primcorec** *enforce- $\mathcal{I}$ -gpv* ::  $('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow ('a, 'out, 'in) \text{ gpv}$   
**where**  
 $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} \text{ gpv} = \text{GPV}$   
 $(\text{map-spmf } (\text{map-generat } \text{id id } ((\circ) (\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I}))))$   
 $(\text{map-spmf } (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{Pure } x \mid \text{IO out rpv} \Rightarrow \text{IO out}$   
 $(\lambda \text{input. if input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out then rpv input else Fail}))$   
 $(\text{enforce-spmf } (\text{pred-generat } \top (\lambda x. x \in \text{outs-}\mathcal{I} \ \mathcal{I}) \top) (\text{the-gpv } \text{gpv}))))$

**lemma** *enforce- $\mathcal{I}$ -gpv-Done [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} (\text{Done } x) = \text{Done } x$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-Fail [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} \text{ Fail} = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-Pause [simp]*:  
 $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} (\text{Pause out rpv}) =$   
 $(\text{if out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \text{ then Pause out } (\lambda \text{input. if input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out then}$   
 $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} (\text{rpv input}) \text{ else Fail}) \text{ else Fail})$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-lift-spmf [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv } \mathcal{I} (\text{lift-spmf } p) = \text{lift-spmf } p$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gvp-bind-gvp* [simp]:  
 $\text{enforce-}\mathcal{I}\text{-gvp } \mathcal{I} (\text{bind-gvp } gvp f) = \text{bind-gvp } (\text{enforce-}\mathcal{I}\text{-gvp } \mathcal{I} gvp) (\text{enforce-}\mathcal{I}\text{-gvp } \mathcal{I} \circ f)$   
 ⟨proof⟩

**lemma** *enforce- $\mathcal{I}$ -gvp-parametric'*:  
**includes** *lifting-syntax*  
**notes** [transfer-rule] = *corec-gvp-parametric' the-gvp-parametric' Fail-parametric'*  
**assumes** [transfer-rule]: *bi-unique C bi-unique R*  
**shows**  $(\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gvp}'' \ A \ C \ R \implies \text{rel-gvp}'' \ A \ C \ R) \text{ enforce-}\mathcal{I}\text{-gvp}$   
 ⟨proof⟩

**lemma** *enforce- $\mathcal{I}$ -gvp-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**  
 $\text{bi-unique } C \implies (\text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gvp } A \ C \implies \text{rel-gvp } A \ C) \text{ enforce-}\mathcal{I}\text{-gvp}$   
 ⟨proof⟩

**lemma** *WT-enforce- $\mathcal{I}$ -gvp* [simp]:  $\mathcal{I} \vdash g \text{ enforce-}\mathcal{I}\text{-gvp } \mathcal{I} gvp \ \checkmark$   
 ⟨proof⟩

**context** *fixes*  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  **begin**

**inductive** *finite-gvp* ::  $('a, 'out, 'in) gvp \Rightarrow \text{bool}$   
**where**

*finite-gvpI*:  
 $(\bigwedge \text{out } c \text{ input. } \llbracket IO \text{ out } c \in \text{set-spmf } (\text{the-gvp } gvp); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \rrbracket \implies \text{finite-gvp } (c \text{ input})) \implies \text{finite-gvp } gvp$

**lemmas** *finite-gvp-induct*[consumes 1, case-names *finite-gvp*, induct *pred*] = *finite-gvp.induct*

**lemma** *finite-gvpD*:  $\llbracket \text{finite-gvp } gvp; IO \text{ out } c \in \text{set-spmf } (\text{the-gvp } gvp); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \rrbracket \implies \text{finite-gvp } (c \text{ input})$   
 ⟨proof⟩

**lemma** *finite-gvp-Fail* [simp]: *finite-gvp Fail*  
 ⟨proof⟩

**lemma** *finite-gvp-Done* [simp]: *finite-gvp (Done x)*  
 ⟨proof⟩

**lemma** *finite-gvp-Pause* [simp]: *finite-gvp (Pause x c)  $\longleftrightarrow (\forall \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ x. \text{finite-gvp } (c \text{ input}))$*   
 ⟨proof⟩

**lemma** *finite-gvp-lift-spmf* [simp]: *finite-gvp (lift-spmf p)*  
 ⟨proof⟩

**lemma** *finite-gpv-bind* [*simp*]:  
 $finite-gpv\ (gpv \gg= f) \longleftrightarrow finite-gpv\ gpv \wedge (\forall x \in results-gpv\ \mathcal{I}\ gpv.\ finite-gpv\ (f\ x))$   
 (is ?lhs = ?rhs)  
 <proof>

**end**

**context includes** *lifting-syntax* **begin**

**lemma** *finite-gpv-rel''D1*:  
 assumes  $rel-gpv''\ A\ C\ R\ gpv\ gpv'$  and  $finite-gpv\ \mathcal{I}\ gpv$  and  $\mathcal{I}: rel-\mathcal{I}\ C\ R\ \mathcal{I}\ \mathcal{I}'$   
 shows  $finite-gpv\ \mathcal{I}'\ gpv'$   
 <proof>

**lemma** *finite-gpv-relD1*:  $\llbracket rel-gpv\ A\ C\ gpv\ gpv';\ finite-gpv\ \mathcal{I}\ gpv;\ rel-\mathcal{I}\ C\ (=)\ \mathcal{I}\ \mathcal{I} \rrbracket \implies finite-gpv\ \mathcal{I}\ gpv'$   
 <proof>

**lemma** *finite-gpv-rel''D2*:  $\llbracket rel-gpv''\ A\ C\ R\ gpv\ gpv';\ finite-gpv\ \mathcal{I}\ gpv';\ rel-\mathcal{I}\ C\ R\ \mathcal{I}'\ \mathcal{I} \rrbracket \implies finite-gpv\ \mathcal{I}'\ gpv$   
 <proof>

**lemma** *finite-gpv-relD2*:  $\llbracket rel-gpv\ A\ C\ gpv\ gpv';\ finite-gpv\ \mathcal{I}\ gpv';\ rel-\mathcal{I}\ C\ (=)\ \mathcal{I}\ \mathcal{I} \rrbracket \implies finite-gpv\ \mathcal{I}\ gpv$   
 <proof>

**lemma** *finite-gpv-parametric'*:  $(rel-\mathcal{I}\ C\ R \implies rel-gpv''\ A\ C\ R \implies (=))$   
 $finite-gpv\ finite-gpv$   
 <proof>

**lemma** *finite-gpv-parametric* [*transfer-rule*]:  $(rel-\mathcal{I}\ C\ (=) \implies rel-gpv\ A\ C \implies (=))$   
 $finite-gpv\ finite-gpv$   
 <proof>

**end**

**lemma** *finite-gpv-map* [*simp*]:  $finite-gpv\ \mathcal{I}\ (map-gpv\ f\ id\ gpv) = finite-gpv\ \mathcal{I}\ gpv$   
 <proof>

**lemma** *finite-gpv-assert* [*simp*]:  $finite-gpv\ \mathcal{I}\ (assert-gpv\ b)$   
 <proof>

**lemma** *finite-gpv-try* [*simp*]:  
 $finite-gpv\ \mathcal{I}\ (TRY\ gpv\ ELSE\ gpv') \longleftrightarrow finite-gpv\ \mathcal{I}\ gpv \wedge (colossless-gpv\ \mathcal{I}\ gpv \vee finite-gpv\ \mathcal{I}\ gpv')$   
 (is ?lhs = -)  
 <proof>



**lemma** *lossless-gpv-conv-finite*:

$lossless-gpv \mathcal{I} \text{ gpv} \longleftrightarrow finite-gpv \mathcal{I} \text{ gpv} \wedge colossless-gpv \mathcal{I} \text{ gpv}$   
 (is ?loss  $\longleftrightarrow$  ?fin  $\wedge$  ?co)  
 $\langle proof \rangle$

**lemma** *colossless-gpv-try [simp]*:

$colossless-gpv \mathcal{I} (TRY \text{ gpv } ELSE \text{ gpv}') \longleftrightarrow colossless-gpv \mathcal{I} \text{ gpv} \vee colossless-gpv \mathcal{I} \text{ gpv}'$   
 (is ?lhs  $\longleftrightarrow$  ?gpv  $\vee$  ?gpv')  
 $\langle proof \rangle$

**lemma** *lossless-gpv-try [simp]*:

$lossless-gpv \mathcal{I} (TRY \text{ gpv } ELSE \text{ gpv}') \longleftrightarrow$   
 $finite-gpv \mathcal{I} \text{ gpv} \wedge (lossless-gpv \mathcal{I} \text{ gpv} \vee lossless-gpv \mathcal{I} \text{ gpv}')$   
 $\langle proof \rangle$

**lemma** *interaction-any-bounded-by-imp-finite*:

**assumes** *interaction-any-bounded-by gpv (enat n)*  
**shows** *finite-gpv  $\mathcal{I}$ -full gpv*  
 $\langle proof \rangle$

**lemma** *finite-restrict-gpvI [simp]*:  $finite-gpv \mathcal{I}' \text{ gpv} \implies finite-gpv \mathcal{I}' (restrict-gpv \mathcal{I} \text{ gpv})$

$\langle proof \rangle$

**lemma** *interaction-bounded-by-exec-gpv-bad-count*:

**fixes** *count and bad and n :: enat and k :: real*  
**assumes** *bound: interaction-bounded-by consider gpv n*  
**and good**:  $\neg bad \ s$   
**and count**:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in set-spmf \ (callee \ s \ x); \text{ consider } x; x \in outs-\mathcal{I} \mathcal{I} \rrbracket \implies count \ s' \leq Suc \ (count \ s)$   
**and ignore**:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in set-spmf \ (callee \ s \ x); \neg \text{ consider } x; x \in outs-\mathcal{I} \mathcal{I} \rrbracket \implies count \ s' \leq count \ s$   
**and bad**:  $\bigwedge s' \ x. \llbracket \neg bad \ s'; count \ s' < n + count \ s; \text{ consider } x; x \in outs-\mathcal{I} \mathcal{I} \rrbracket \implies spmf \ (map-spmf \ (bad \circ snd) \ (callee \ s' \ x)) \ True \leq k$   
**and consider**:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in set-spmf \ (callee \ s \ x); \neg bad \ s; bad \ s'; x \in outs-\mathcal{I} \mathcal{I} \rrbracket \implies \text{ consider } x$   
**and k-nonneg**:  $k \geq 0$   
**and WT-gpv**:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**and WT-callee**:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$   
**shows**  $spmf \ (map-spmf \ (bad \circ snd) \ (exec-gpv \ callee \ gpv \ s)) \ True \leq ennreal \ k * n$   
 $\langle proof \rangle$

**context** *callee-invariant-on begin*

**lemma** *interaction-bounded-by-exec-gpv-bad-count*:

**includes** *lifting-syntax*

**fixes** *count* **and** *bad* **and**  $n :: \text{enat}$   
**assumes** *bound*: *interaction-bounded-by* *consider* *gpv*  $n$   
**and**  $I: I\ s$   
**and** *good*:  $\neg \text{bad}\ s$   
**and** *count*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count}\ s' \leq \text{Suc}(\text{count}\ s)$   
**and** *ignore*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \neg \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count}\ s' \leq \text{count}\ s$   
**and** *bad*:  $\bigwedge s' x. \llbracket I\ s'; \neg \text{bad}\ s'; \text{count}\ s' < n + \text{count}\ s; \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{callee}\ s' x))\ \text{True} \leq k$   
**and** *consider*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \neg \text{bad}\ s; \text{bad}\ s'; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{consider}\ x$   
**and** *k-nonneg*:  $k \geq 0$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{exec-gpv}\ \text{callee}\ \text{gpv}\ s))\ \text{True} \leq \text{ennreal}\ k * n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by'-exec-gpv-bad-count*:

**fixes** *count* **and** *bad* **and**  $n :: \text{nat}$   
**assumes** *bound*: *interaction-bounded-by'* *consider* *gpv*  $n$   
**and**  $I: I\ s$   
**and** *good*:  $\neg \text{bad}\ s$   
**and** *count*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count}\ s' \leq \text{Suc}(\text{count}\ s)$   
**and** *ignore*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \neg \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count}\ s' \leq \text{count}\ s$   
**and** *bad*:  $\bigwedge s' x. \llbracket I\ s'; \neg \text{bad}\ s'; \text{count}\ s' < n + \text{count}\ s; \text{consider}\ x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{callee}\ s' x))\ \text{True} \leq k$   
**and** *consider*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee}\ s\ x); I\ s; \neg \text{bad}\ s; \text{bad}\ s'; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{consider}\ x$   
**and** *k-nonneg*:  $k \geq 0$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{exec-gpv}\ \text{callee}\ \text{gpv}\ s))\ \text{True} \leq k * n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-exec-gpv-bad*:

**assumes** *interaction-any-bounded-by* *gpv*  $n$   
**and**  $I\ s \neg \text{bad}\ s$   
**and** *bad*:  $\bigwedge s\ x. \llbracket I\ s; \neg \text{bad}\ s; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{callee}\ s\ x))\ \text{True} \leq k$   
**and** *k-nonneg*:  $0 \leq k$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{spmf}(\text{map-spmf}(\text{bad} \circ \text{snd})(\text{exec-gpv}\ \text{callee}\ \text{gpv}\ s))\ \text{True} \leq k * n$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 5 Oracle combinators

**theory** *Computational-Model* **imports**

*Generative-Probabilistic-Value*

**begin**

**type-synonym** *security* = *nat*

**type-synonym** *advantage* = *security*  $\Rightarrow$  *real*

**type-synonym** (*' $\sigma$ ', 'call', 'ret'*) *oracle'* = *' $\sigma$ '*  $\Rightarrow$  *'call'*  $\Rightarrow$  (*'ret'  $\times$  ' $\sigma$ '*) *spmf*

**type-synonym** (*' $\sigma$ ', 'call', 'ret'*) *oracle* = *security*  $\Rightarrow$  (*' $\sigma$ ', 'call', 'ret'*) *oracle'*  $\times$  *' $\sigma$ '*

$\langle ML \rangle$

**typ** (*' $\sigma$ ', 'call', 'ret'*) *oracle*

### 5.1 Shared state

**context includes**  *$\mathcal{I}$ .lifting* **and** *lifting-syntax* **begin**

**lift-definition** *plus- $\mathcal{I}$*  :: (*'out', 'ret'*)  *$\mathcal{I}$*   $\Rightarrow$  (*'out', 'ret'*)  *$\mathcal{I}$*   $\Rightarrow$  (*'out' + 'out', 'ret' + 'ret'*)  *$\mathcal{I}$*  (**infix**  $\langle \oplus_{\mathcal{I}} \rangle$  500)

**is**  *$\lambda$ resp1 resp2.  $\lambda$ out. case out of Inl out'  $\Rightarrow$  Inl ' resp1 out' | Inr out'  $\Rightarrow$  Inr ' resp2 out'  $\langle$ proof $\rangle$*

**lemma** *plus- $\mathcal{I}$ -sel* [*simp*]:

**shows** *outs-plus- $\mathcal{I}$ : outs- $\mathcal{I}$  (plus- $\mathcal{I}$  *Il Ir*) = outs- $\mathcal{I}$  *Il*  $\langle + \rangle$  outs- $\mathcal{I}$  *Ir**

**and** *responses-plus- $\mathcal{I}$ -Inl: responses- $\mathcal{I}$  (plus- $\mathcal{I}$  *Il Ir*) (Inl *x*) = Inl ' responses- $\mathcal{I}$  *Il x**

**and** *responses-plus- $\mathcal{I}$ -Inr: responses- $\mathcal{I}$  (plus- $\mathcal{I}$  *Il Ir*) (Inr *y*) = Inr ' responses- $\mathcal{I}$  *Ir y**

$\langle$ proof $\rangle$

**lemma** *vimage-Inl-Plus* [*simp*]: *Inl - ' (A  $\langle + \rangle$  B) = A*

**and** *vimage-Inr-Plus* [*simp*]: *Inr - ' (A  $\langle + \rangle$  B) = B*

$\langle$ proof $\rangle$

**lemma** *vimage-Inl-image-Inr: Inl - ' Inr ' A = {}*

**and** *vimage-Inr-image-Inl: Inr - ' Inl ' A = {}*

$\langle$ proof $\rangle$

**lemma** *plus- $\mathcal{I}$ -parametric* [*transfer-rule*]:

*(rel- $\mathcal{I}$  C R  $\implies$  rel- $\mathcal{I}$  C' R'  $\implies$  rel- $\mathcal{I}$  (rel-sum C C') (rel-sum R R')) plus- $\mathcal{I}$*

$\langle$ proof $\rangle$

**lifting-update**  *$\mathcal{I}$ .lifting*

**lifting-forget**  *$\mathcal{I}$ .lifting*

**lemma**  *$\mathcal{I}$ -trivial-plus- $\mathcal{I}$*  [*simp*]:  *$\mathcal{I}$ -trivial ( $\mathcal{I}_1 \oplus_{\mathcal{I}} \mathcal{I}_2$ )  $\longleftrightarrow$   $\mathcal{I}$ -trivial  $\mathcal{I}_1 \wedge \mathcal{I}$ -trivial  $\mathcal{I}_2$*

$\langle \text{proof} \rangle$

**end**

**lemma** *map- $\mathcal{I}$ -plus- $\mathcal{I}$*  [*simp*]:

$\text{map-}\mathcal{I} \ (\text{map-sum } f1 \ f2) \ (\text{map-sum } g1 \ g2) \ (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) = \text{map-}\mathcal{I} \ f1 \ g1 \ \mathcal{I}1 \oplus_{\mathcal{I}} \text{map-}\mathcal{I} \ f2 \ g2 \ \mathcal{I}2$

$\langle \text{proof} \rangle$

**lemma** *le-plus- $\mathcal{I}$ -iff* [*simp*]:

$\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \leq \mathcal{I}1' \oplus_{\mathcal{I}} \mathcal{I}2' \iff \mathcal{I}1 \leq \mathcal{I}1' \wedge \mathcal{I}2 \leq \mathcal{I}2'$

$\langle \text{proof} \rangle$

**lemma**  *$\mathcal{I}$ -full-le-plus- $\mathcal{I}$* :  $\mathcal{I}$ -full  $\leq$  plus- $\mathcal{I} \ \mathcal{I}1 \ \mathcal{I}2$  **if**  $\mathcal{I}$ -full  $\leq \mathcal{I}1$   $\mathcal{I}$ -full  $\leq \mathcal{I}2$

$\langle \text{proof} \rangle$

**lemma** *plus- $\mathcal{I}$ -mono*: plus- $\mathcal{I} \ \mathcal{I}1 \ \mathcal{I}2 \leq$  plus- $\mathcal{I} \ \mathcal{I}1' \ \mathcal{I}2'$  **if**  $\mathcal{I}1 \leq \mathcal{I}1'$   $\mathcal{I}2 \leq \mathcal{I}2'$

$\langle \text{proof} \rangle$

**context**

**fixes** *left* :: ('s, 'a, 'b) oracle'

**and** *right* :: ('s, 'c, 'd) oracle'

**and** *s* :: 's

**begin**

**primrec** *plus-oracle* :: 'a + 'c  $\Rightarrow$  (('b + 'd)  $\times$  's) spmf

**where**

*plus-oracle* (Inl a) = map-spmf (apfst Inl) (left s a)

| *plus-oracle* (Inr b) = map-spmf (apfst Inr) (right s b)

**lemma** *lossless-plus-oracleI* [*intro*, *simp*]:

$\llbracket \bigwedge a. x = \text{Inl } a \implies \text{lossless-spmf } (\text{left } s \ a);$

$\bigwedge b. x = \text{Inr } b \implies \text{lossless-spmf } (\text{right } s \ b) \rrbracket$

$\implies \text{lossless-spmf } (\text{plus-oracle } x)$

$\langle \text{proof} \rangle$

**lemma** *plus-oracle-split*:

$P \ (\text{plus-oracle } lr) \iff$

$(\forall x. lr = \text{Inl } x \longrightarrow P \ (\text{map-spmf } (\text{apfst } \text{Inl}) \ (\text{left } s \ x))) \wedge$

$(\forall y. lr = \text{Inr } y \longrightarrow P \ (\text{map-spmf } (\text{apfst } \text{Inr}) \ (\text{right } s \ y)))$

$\langle \text{proof} \rangle$

**lemma** *plus-oracle-split-asm*:

$P \ (\text{plus-oracle } lr) \iff$

$\neg ((\exists x. lr = \text{Inl } x \wedge \neg P \ (\text{map-spmf } (\text{apfst } \text{Inl}) \ (\text{left } s \ x))) \vee$

$(\exists y. lr = \text{Inr } y \wedge \neg P \ (\text{map-spmf } (\text{apfst } \text{Inr}) \ (\text{right } s \ y))))$

$\langle \text{proof} \rangle$

**end**

**notation** *plus-oracle* (**infix**  $\langle \oplus_O \rangle$  500)

**context**

**fixes** *left* :: ('s, 'a, 'b) *oracle*'

**and** *right* :: ('s, 'c, 'd) *oracle*'

**begin**

**lemma** *WT-plus-oracleI* [*intro!*]:

$\llbracket \mathcal{I}l \vdash c \text{ left } s \checkmark; \mathcal{I}r \vdash c \text{ right } s \checkmark \rrbracket \implies \mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{ right}) s \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *WT-plus-oracleD1*:

**assumes**  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{ right}) s \checkmark$  (**is**  $?\mathcal{I} \vdash c ?\text{callee } s \checkmark$ )

**shows**  $\mathcal{I}l \vdash c \text{ left } s \checkmark$

$\langle \text{proof} \rangle$

**lemma** *WT-plus-oracleD2*:

**assumes**  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{ right}) s \checkmark$  (**is**  $?\mathcal{I} \vdash c ?\text{callee } s \checkmark$ )

**shows**  $\mathcal{I}r \vdash c \text{ right } s \checkmark$

$\langle \text{proof} \rangle$

**lemma** *WT-plus-oracle-iff* [*simp*]:  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{ right}) s \checkmark \longleftrightarrow \mathcal{I}l \vdash c \text{ left}$

$s \checkmark \wedge \mathcal{I}r \vdash c \text{ right } s \checkmark$

$\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-plus-oracle* [*simp*]:

*callee-invariant-on*  $(\text{left} \oplus_O \text{ right}) I (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r) \longleftrightarrow$

*callee-invariant-on left*  $I \mathcal{I}l \wedge \text{callee-invariant-on right } I \mathcal{I}r$

(**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle \text{proof} \rangle$

**lemma** *callee-invariant-plus-oracle* [*simp*]:

*callee-invariant*  $(\text{left} \oplus_O \text{ right}) I \longleftrightarrow$

*callee-invariant left*  $I \wedge \text{callee-invariant right } I$

(**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle \text{proof} \rangle$

**lemma** *plus-oracle-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

$((S \implies A \implies \text{rel-spmf } (\text{rel-prod } B \ S))$

$\implies (S \implies C \implies \text{rel-spmf } (\text{rel-prod } D \ S))$

$\implies S \implies \text{rel-sum } A \ C \implies \text{rel-spmf } (\text{rel-prod } (\text{rel-sum } B \ D) \ S))$

*plus-oracle plus-oracle*

$\langle \text{proof} \rangle$

**lemma** *rel-spmf-plus-oracle*:

$\llbracket \bigwedge q1' \ q2'. \llbracket q1 = \text{Inl } q1'; q2 = \text{Inl } q2' \rrbracket \implies \text{rel-spmf } (\text{rel-prod } B \ S) (\text{left1 } s1 \ q1') (\text{left2 } s2 \ q2') \rrbracket$

$\bigwedge q1' q2'. \llbracket q1 = \text{Inr } q1'; q2 = \text{Inr } q2' \rrbracket \implies \text{rel-spmf } (\text{rel-prod } D \ S) \ (\text{right1 } s1 \ q1') \ (\text{right2 } s2 \ q2');$   
 $S \ s1 \ s2; \text{rel-sum } A \ C \ q1 \ q2 \rrbracket$   
 $\implies \text{rel-spmf } (\text{rel-prod } (\text{rel-sum } B \ D) \ S) \ ((\text{left1 } \oplus_O \ \text{right1}) \ s1 \ q1) \ ((\text{left2 } \oplus_O \ \text{right2}) \ s2 \ q2)$   
 $\langle \text{proof} \rangle$

**end**

## 5.2 Shared state with aborts

**context**

**fixes**  $\text{left} :: ('s, 'a, 'b \text{ option}) \text{ oracle}'$   
**and**  $\text{right} :: ('s, 'c, 'd \text{ option}) \text{ oracle}'$   
**and**  $s :: 's$

**begin**

**primrec**  $\text{plus-oracle-stop} :: 'a + 'c \Rightarrow (('b + 'd) \text{ option} \times 's) \text{ spmf}$

**where**

$\text{plus-oracle-stop } (\text{Inl } a) = \text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ a)$   
 $\mid \text{plus-oracle-stop } (\text{Inr } b) = \text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ b)$

**lemma**  $\text{lossless-plus-oracle-stopI}$   $[\text{intro}, \text{simp}]$ :

$\llbracket \bigwedge a. x = \text{Inl } a \implies \text{lossless-spmf } (\text{left } s \ a);$   
 $\bigwedge b. x = \text{Inr } b \implies \text{lossless-spmf } (\text{right } s \ b) \rrbracket$   
 $\implies \text{lossless-spmf } (\text{plus-oracle-stop } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{plus-oracle-stop-split}$ :

$P \ (\text{plus-oracle-stop } lr) \longleftrightarrow$   
 $(\forall x. lr = \text{Inl } x \longrightarrow P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ x))) \wedge$   
 $(\forall y. lr = \text{Inr } y \longrightarrow P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ y)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{plus-oracle-stop-split-asm}$ :

$P \ (\text{plus-oracle-stop } lr) \longleftrightarrow$   
 $\neg ((\exists x. lr = \text{Inl } x \wedge \neg P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ x))) \vee$   
 $(\exists y. lr = \text{Inr } y \wedge \neg P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ y))))$   
 $\langle \text{proof} \rangle$

**end**

**notation**  $\text{plus-oracle-stop}$  (**infix**  $\langle \oplus_O^S \rangle$  500)

## 5.3 Disjoint state

**context**

**fixes**  $\text{left} :: ('s1, 'a, 'b) \text{ oracle}'$   
**and**  $\text{right} :: ('s2, 'c, 'd) \text{ oracle}'$

**begin**

**fun** *parallel-oracle* :: ('s1 × 's2, 'a + 'c, 'b + 'd) oracle'  
**where**  
*parallel-oracle* (s1, s2) (Inl a) = map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)  
| *parallel-oracle* (s1, s2) (Inr b) = map-spmf (map-prod Inr (Pair s1)) (right s2 b)

**lemma** *parallel-oracle-def*:  
*parallel-oracle* = (λ(s1, s2). case-sum (λa. map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)) (λb. map-spmf (map-prod Inr (Pair s1)) (right s2 b)))  
⟨proof⟩

**lemma** *lossless-parallel-oracle [simp]*:  
*lossless-spmf* (*parallel-oracle* s1s2 xy) ⟷  
(∀ x. xy = Inl x ⟶ *lossless-spmf* (left (fst s1s2) x)) ∧  
(∀ y. xy = Inr y ⟶ *lossless-spmf* (right (snd s1s2) y))  
⟨proof⟩

**lemma** *parallel-oracle-split*:  
*P* (*parallel-oracle* s1s2 lr) ⟷  
(∀ s1 s2 x. s1s2 = (s1, s2) ⟶ lr = Inl x ⟶ *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∧  
(∀ s1 s2 y. s1s2 = (s1, s2) ⟶ lr = Inr y ⟶ *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y)))  
⟨proof⟩

**lemma** *parallel-oracle-split-asm*:  
*P* (*parallel-oracle* s1s2 lr) ⟷  
¬ ((∃ s1 s2 x. s1s2 = (s1, s2) ∧ lr = Inl x ∧ ¬ *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∨  
(∃ s1 s2 y. s1s2 = (s1, s2) ∧ lr = Inr y ∧ ¬ *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y))))  
⟨proof⟩

**lemma** *WT-parallel-oracle [intro!, simp]*:  
[[ *Il* ⊢<sub>c</sub> left *sl* √; *Ir* ⊢<sub>c</sub> right *sr* √ ]] ⟹ plus-*I* *Il Ir* ⊢<sub>c</sub> *parallel-oracle* (*sl*, *sr*)  
√  
⟨proof⟩

**lemma** *callee-invariant-parallel-oracleI [simp, intro]*:  
**assumes** *callee-invariant-on* left *Il Il* *callee-invariant-on* right *Ir Ir*  
**shows** *callee-invariant-on parallel-oracle* (pred-prod *Il Ir*) (*Il* ⊕<sub>*I*</sub> *Ir*)  
⟨proof⟩

**end**

**lemma** *parallel-oracle-parametric*:  
**includes** *lifting-syntax* **shows**

$((S1 ==> CALL1 ==> \text{rel-spmf } (\text{rel-prod } (=) S1))$   
 $==> (S2 ==> CALL2 ==> \text{rel-spmf } (\text{rel-prod } (=) S2))$   
 $==> \text{rel-prod } S1 S2 ==> \text{rel-sum } CALL1 CALL2 ==> \text{rel-spmf } (\text{rel-prod } (=) (\text{rel-prod } S1 S2)))$   
 $\text{parallel-oracle parallel-oracle}$   
 $\langle \text{proof} \rangle$

## 5.4 Indexed oracles

**definition**  $\text{family-oracle} :: ('i \Rightarrow ('s, 'a, 'b) \text{ oracle}') \Rightarrow ('i \Rightarrow 's, 'i \times 'a, 'b) \text{ oracle}'$   
**where**  $\text{family-oracle } f \ s = (\lambda(i, x). \text{map-spmf } (\lambda(y, s'). (y, s(i := s')))) (f \ i \ (s \ i \ x))$

**lemma**  $\text{family-oracle-apply [simp]}:$   
 $\text{family-oracle } f \ s \ (i, x) = \text{map-spmf } (\text{apsnd } (\text{fun-upd } s \ i)) (f \ i \ (s \ i \ x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{lossless-family-oracle}:$   
 $\text{lossless-spmf } (\text{family-oracle } f \ s \ ix) \longleftrightarrow \text{lossless-spmf } (f \ (\text{fst } ix) \ (s \ (\text{fst } ix))) (\text{snd } ix)$   
 $\langle \text{proof} \rangle$

## 5.5 State extension

**definition**  $\text{extend-state-oracle} :: ('call, 'ret, 's) \text{ callee} \Rightarrow ('call, 'ret, 's' \times 's) \text{ callee}$   
 $(\hookrightarrow [1000] \ 1000)$   
**where**  $\text{extend-state-oracle } \text{callee} = (\lambda(s', s) \ x. \text{map-spmf } (\lambda(y, s). (y, (s', s)))) (\text{callee } s \ x)$

**lemma**  $\text{extend-state-oracle-simps [simp]}:$   
 $\text{extend-state-oracle } \text{callee } (s', s) \ x = \text{map-spmf } (\lambda(y, s). (y, (s', s))) (\text{callee } s \ x)$   
 $\langle \text{proof} \rangle$

**context includes**  $\text{lifting-syntax begin}$

**lemma**  $\text{extend-state-oracle-parametric [transfer-rule]}:$   
 $((S ==> C ==> \text{rel-spmf } (\text{rel-prod } R \ S)) ==> \text{rel-prod } S' S ==> C$   
 $==> \text{rel-spmf } (\text{rel-prod } R \ (\text{rel-prod } S' S)))$   
 $\text{extend-state-oracle extend-state-oracle}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{extend-state-oracle-transfer}:$   
 $((S ==> C ==> \text{rel-spmf } (\text{rel-prod } R \ S))$   
 $==> \text{rel-prod2 } S ==> C ==> \text{rel-spmf } (\text{rel-prod } R \ (\text{rel-prod2 } S)))$   
 $(\lambda \text{oracle. oracle}) \text{ extend-state-oracle}$   
 $\langle \text{proof} \rangle$   
**end**

**lemma**  $\text{callee-invariant-extend-state-oracle-const [simp]}:$   
 $\text{callee-invariant } \dagger \text{oracle } (\lambda(s', s). I \ s')$   
 $\langle \text{proof} \rangle$



**lemma** *callee-invariant-extend-state-oracle-const'*:

*callee-invariant*  $\dagger$  *oracle*  $(\lambda s. I (fst\ s))$   
 $\langle proof \rangle$

**definition** *lift-stop-oracle* :: ('call, 'ret, 's) callee  $\Rightarrow$  ('call, 'ret option, 's) callee  
**where** *lift-stop-oracle* *oracle* *s* *x* = *map-spmf* (*apfst* *Some*) (*oracle* *s* *x*)

**lemma** *lift-stop-oracle-apply* [*simp*]: *lift-stop-oracle* *oracle* *s* *x* = *map-spmf* (*apfst* *Some*) (*oracle* *s* *x*)  
 $\langle proof \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *lift-stop-oracle-transfer*:

$((S ==> C ==> rel\text{-}spm\ f\ (rel\text{-}prod\ R\ S)) ==> (S ==> C ==> rel\text{-}spm\ f\ (rel\text{-}prod\ (pcr\text{-}Some\ R)\ S)))$   
 $(\lambda x. x)\ lift\text{-}stop\text{-}oracle$   
 $\langle proof \rangle$

**end**

**definition** *extend-state-oracle2* :: ('call, 'ret, 's) callee  $\Rightarrow$  ('call, 'ret, 's  $\times$  's') callee  $\langle \cdot \dagger \rangle$  [1000] 1000  
**where** *extend-state-oracle2* *callee* =  $(\lambda(s, s')\ x. map\text{-}spm\ f\ (\lambda(y, s). (y, (s, s'))))\ (callee\ s\ x)$

**lemma** *extend-state-oracle2-simps* [*simp*]:

*extend-state-oracle2* *callee*  $(s, s')$  *x* = *map-spmf*  $(\lambda(y, s). (y, (s, s')))\ (callee\ s\ x)$   
 $\langle proof \rangle$

**lemma** *extend-state-oracle2-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**

$((S ==> C ==> rel\text{-}spm\ f\ (rel\text{-}prod\ R\ S)) ==> rel\text{-}prod\ S\ S' ==> C ==> rel\text{-}spm\ f\ (rel\text{-}prod\ R\ (rel\text{-}prod\ S\ S')))$   
*extend-state-oracle2* *extend-state-oracle2*  
 $\langle proof \rangle$

**lemma** *callee-invariant-extend-state-oracle2-const* [*simp*]:

*callee-invariant* *oracle*  $\dagger$   $(\lambda(s, s'). I\ s')$   
 $\langle proof \rangle$

**lemma** *callee-invariant-extend-state-oracle2-const'*:

*callee-invariant* *oracle*  $\dagger$   $(\lambda s. I\ (snd\ s))$   
 $\langle proof \rangle$

**lemma** *extend-state-oracle2-plus-oracle*:

*extend-state-oracle2* (*plus-oracle* *oracle1* *oracle2*) = *plus-oracle* (*extend-state-oracle2* *oracle1*) (*extend-state-oracle2* *oracle2*)  
 $\langle proof \rangle$

**lemma** *parallel-oracle-conv-plus-oracle*:

$parallel-oracle\ oracle1\ oracle2 = plus-oracle\ (oracle1^\dagger)\ (\dagger oracle2)$   
 $\langle proof \rangle$

**lemma** *map-sum-parallel-oracle*: **includes** *lifting-syntax* **shows**

$(id \dashrightarrow map-sum\ f\ g \dashrightarrow map-spmf\ (map-prod\ (map-sum\ h\ k)\ id))\ (parallel-oracle\ oracle1\ oracle2)$   
 $= parallel-oracle\ ((id \dashrightarrow f \dashrightarrow map-spmf\ (map-prod\ h\ id))\ oracle1)\ ((id \dashrightarrow g \dashrightarrow map-spmf\ (map-prod\ k\ id))\ oracle2)$   
 $\langle proof \rangle$

**lemma** *map-sum-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id \dashrightarrow map-sum\ f\ g \dashrightarrow map-spmf\ (map-prod\ (map-sum\ h\ k)\ id))\ (plus-oracle\ oracle1\ oracle2)$   
 $= plus-oracle\ ((id \dashrightarrow f \dashrightarrow map-spmf\ (map-prod\ h\ id))\ oracle1)\ ((id \dashrightarrow g \dashrightarrow map-spmf\ (map-prod\ k\ id))\ oracle2)$   
 $\langle proof \rangle$

**lemma** *map-rsuml-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id \dashrightarrow rsuml \dashrightarrow (map-spmf\ (map-prod\ lsumr\ id)))\ (oracle1 \oplus_O (oracle2 \oplus_O oracle3)) =$   
 $((oracle1 \oplus_O oracle2) \oplus_O oracle3)$   
 $\langle proof \rangle$

**lemma** *map-lsumr-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id \dashrightarrow lsumr \dashrightarrow (map-spmf\ (map-prod\ rsuml\ id)))\ ((oracle1 \oplus_O oracle2) \oplus_O oracle3) =$   
 $(oracle1 \oplus_O (oracle2 \oplus_O oracle3))$   
 $\langle proof \rangle$

**context** **includes** *lifting-syntax* **begin**

**definition** *lift-state-oracle*

$:: (('s \Rightarrow 'a \Rightarrow (('b \times 't) \times 's)\ spmf) \Rightarrow ('s' \Rightarrow 'a \Rightarrow (('b \times 't) \times 's')\ spmf))$   
 $\Rightarrow ('t \times 's \Rightarrow 'a \Rightarrow ('b \times 't \times 's)\ spmf) \Rightarrow ('t \times 's' \Rightarrow 'a \Rightarrow ('b \times 't \times 's')\ spmf)$  **where**  
 $lift-state-oracle\ F\ oracle =$   
 $(\lambda(t, s')\ a.\ map-spmf\ rprod\ (F\ ((Pair\ t \dashrightarrow id \dashrightarrow map-spmf\ lprod\ oracle)\ s'\ a)))$

**lemma** *lift-state-oracle-simps* [*simp*]:

$lift-state-oracle\ F\ oracle\ (t, s')\ a = map-spmf\ rprod\ (F\ ((Pair\ t \dashrightarrow id \dashrightarrow map-spmf\ lprod\ oracle)\ s'\ a))$   
 $\langle proof \rangle$

**lemma** *lift-state-oracle-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**

$((S \implies A \implies rel-spmf\ (rel-prod\ (rel-prod\ B\ T)\ S)) \implies S' \implies A \implies rel-spmf\ (rel-prod\ (rel-prod\ B\ T)\ S'))$

$\text{====> } (rel\text{-}prod\ T\ S\ \text{====> } A\ \text{====> } rel\text{-}spmf\ (rel\text{-}prod\ B\ (rel\text{-}prod\ T\ S)))$   
 $\text{====> } rel\text{-}prod\ T\ S'\ \text{====> } A\ \text{====> } rel\text{-}spmf\ (rel\text{-}prod\ B\ (rel\text{-}prod\ T\ S'))$   
 $lift\text{-}state\text{-}oracle\ lift\text{-}state\text{-}oracle$   
 $\langle proof \rangle$

**lemma** *lift-state-oracle-extend-state-oracle*:

**includes** *lifting-syntax*  
**assumes**  $\bigwedge B. Transfer.Rel\ (((=)\ \text{====> } (=)\ \text{====> } rel\text{-}spmf\ (rel\text{-}prod\ B\ (=)))$   
 $\text{====> } (=)\ \text{====> } (=)\ \text{====> } rel\text{-}spmf\ (rel\text{-}prod\ B\ (=)))\ G\ F$   
  
**shows**  $lift\text{-}state\text{-}oracle\ F\ (extend\text{-}state\text{-}oracle\ oracle) = extend\text{-}state\text{-}oracle\ (G\ oracle)$   
 $\langle proof \rangle$

**lemma** *lift-state-oracle-compose*:

$lift\text{-}state\text{-}oracle\ F\ (lift\text{-}state\text{-}oracle\ G\ oracle) = lift\text{-}state\text{-}oracle\ (F \circ G)\ oracle$   
 $\langle proof \rangle$

**lemma** *lift-state-oracle-id [simp]*:  $lift\text{-}state\text{-}oracle\ id = id$   
 $\langle proof \rangle$

**lemma** *rprodl-extend-state-oracle*: **includes** *lifting-syntax* **shows**

$(rprodl\ \text{----> } id\ \text{----> } map\text{-}spmf\ (map\text{-}prod\ id\ lprodr))\ (extend\text{-}state\text{-}oracle\ (extend\text{-}state\text{-}oracle\ oracle)) =$   
 $extend\text{-}state\text{-}oracle\ oracle$   
 $\langle proof \rangle$

**end**

## 6 Combining GPVs

### 6.1 Shared state without interrupts

**context**

**fixes**  $left :: 's \Rightarrow 'x1 \Rightarrow ('y1 \times 's, 'call, 'ret)\ gpv$   
**and**  $right :: 's \Rightarrow 'x2 \Rightarrow ('y2 \times 's, 'call, 'ret)\ gpv$   
**begin**

**primrec**  $plus\text{-}intercept :: 's \Rightarrow 'x1 + 'x2 \Rightarrow (('y1 + 'y2) \times 's, 'call, 'ret)\ gpv$   
**where**

$plus\text{-}intercept\ s\ (Inl\ x) = map\text{-}gpv\ (apfst\ Inl)\ id\ (left\ s\ x)$   
 $| plus\text{-}intercept\ s\ (Inr\ x) = map\text{-}gpv\ (apfst\ Inr)\ id\ (right\ s\ x)$

**end**

**lemma** *plus-intercept-parametric [transfer-rule]*:

**includes** *lifting-syntax* **shows**  
 $((S\ \text{====> } X1\ \text{====> } rel\text{-}gpv\ (rel\text{-}prod\ Y1\ S)\ C)$   
 $\text{====> } (S\ \text{====> } X2\ \text{====> } rel\text{-}gpv\ (rel\text{-}prod\ Y2\ S)\ C)$

$\implies S \implies \text{rel-sum } X1 \ X2 \implies \text{rel-gpv } (\text{rel-prod } (\text{rel-sum } Y1 \ Y2) \ S)$   
*C)*  
*plus-intercept plus-intercept*  
*<proof>*

**lemma** *interaction-bounded-by-plus-intercept* [*interaction-bound*]:  
**fixes** *left right*  
**shows**  $\llbracket \bigwedge x'. x = \text{Inl } x' \implies \text{interaction-bounded-by } P \ (\text{left } s \ x') \ (n \ x');$   
 $\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by } P \ (\text{right } s \ y) \ (m \ y) \rrbracket$   
 $\implies \text{interaction-bounded-by } P \ (\text{plus-intercept } \text{left } \text{right } s \ x) \ (\text{case } x \text{ of } \text{Inl } x \Rightarrow n$   
 $x \mid \text{Inr } y \Rightarrow m \ y)$   
*<proof>*

## 6.2 Shared state with interrupts

**context**  
**fixes** *left* ::  $'s \Rightarrow 'x1 \Rightarrow ('y1 \text{ option} \times 's, 'call, 'ret) \text{ gpv}$   
**and** *right* ::  $'s \Rightarrow 'x2 \Rightarrow ('y2 \text{ option} \times 's, 'call, 'ret) \text{ gpv}$   
**begin**

**primrec** *plus-intercept-stop* ::  $'s \Rightarrow 'x1 + 'x2 \Rightarrow ((y1 + y2) \text{ option} \times 's, 'call,$   
 $'ret) \text{ gpv}$

**where**  
 $\text{plus-intercept-stop } s \ (\text{Inl } x) = \text{map-gpv } (\text{apfst } (\text{map-option } \text{Inl})) \ \text{id} \ (\text{left } s \ x)$   
 $\mid \text{plus-intercept-stop } s \ (\text{Inr } x) = \text{map-gpv } (\text{apfst } (\text{map-option } \text{Inr})) \ \text{id} \ (\text{right } s \ x)$

**end**

**lemma** *plus-intercept-stop-parametric* [*transfer-rule*]:  
**includes** *lifting-syntax* **shows**  
 $((S \implies X1 \implies \text{rel-gpv } (\text{rel-prod } (\text{rel-option } Y1) \ S) \ C)$   
 $\implies (S \implies X2 \implies \text{rel-gpv } (\text{rel-prod } (\text{rel-option } Y2) \ S) \ C)$   
 $\implies S \implies \text{rel-sum } X1 \ X2 \implies \text{rel-gpv } (\text{rel-prod } (\text{rel-option } (\text{rel-sum } Y1$   
 $Y2)) \ S) \ C)$   
 $\text{plus-intercept-stop } \text{plus-intercept-stop}$   
*<proof>*

## 6.3 One-sided shifts

**primcorec** (*transfer*) *left-gpv* ::  $('a, 'out, 'in) \text{ gpv} \Rightarrow ('a, 'out + 'out', 'in + 'in') \text{ gpv}$  **where**

$\text{the-gpv } (\text{left-gpv } \text{gpv}) =$   
 $\text{map-spmf } (\text{map-generat } \text{id } \text{Inl } (\lambda \text{rpv } \text{input}. \text{case } \text{input} \text{ of } \text{Inl } \text{input}' \Rightarrow \text{left-gpv}$   
 $(\text{rpv } \text{input}') \mid - \Rightarrow \text{Fail})) \ (\text{the-gpv } \text{gpv})$

**abbreviation** *left-rpv* ::  $('a, 'out, 'in) \text{ rpv} \Rightarrow ('a, 'out + 'out', 'in + 'in') \text{ rpv}$   
**where**

$\text{left-rpv } \text{rpv} \equiv \lambda \text{input}. \text{case } \text{input} \text{ of } \text{Inl } \text{input}' \Rightarrow \text{left-gpv } (\text{rpv } \text{input}') \mid - \Rightarrow \text{Fail}$

**primcorec** (*transfer*) *right-gpv* :: ('a, 'out, 'in) *gpv*  $\Rightarrow$  ('a, 'out' + 'out, 'in' + 'in) *gpv* **where**  
     *the-gpv* (*right-gpv gpv*) =  
     *map-spmf* (*map-generat id Inr* ( $\lambda rpv$  *input*. *case input of Inr input'  $\Rightarrow$  right-gpv*  
     (*rpv input'*) | -  $\Rightarrow$  Fail)) (*the-gpv gpv*)

**abbreviation** *right-rpv* :: ('a, 'out, 'in) *rpv*  $\Rightarrow$  ('a, 'out' + 'out, 'in' + 'in) *rpv* **where**  
     *right-rpv rpv*  $\equiv$   $\lambda$ *input*. *case input of Inr input'  $\Rightarrow$  right-gpv* (*rpv input'*) | -  $\Rightarrow$  Fail

**context**  
     **includes** *lifting-syntax*  
     **notes** [*transfer-rule*] = *corec-gpv-parametric' Fail-parametric' the-gpv-parametric'*  
**begin**

**lemmas** *left-gpv-parametric* = *left-gpv.transfer*

**lemma** *left-gpv-parametric'*:  
     (*rel-gpv'' A C R*  $\implies$  *rel-gpv'' A (rel-sum C C') (rel-sum R R')*) *left-gpv left-gpv*  
     <*proof*>

**lemmas** *right-gpv-parametric* = *right-gpv.transfer*

**lemma** *right-gpv-parametric'*:  
     (*rel-gpv'' A C' R'*  $\implies$  *rel-gpv'' A (rel-sum C C') (rel-sum R R')*) *right-gpv*  
     *right-gpv*  
     <*proof*>

**end**

**lemma** *left-gpv-Done* [*simp*]: *left-gpv* (*Done x*) = *Done x*  
     <*proof*>

**lemma** *right-gpv-Done* [*simp*]: *right-gpv* (*Done x*) = *Done x*  
     <*proof*>

**lemma** *left-gpv-Pause* [*simp*]:  
     *left-gpv* (*Pause x rpv*) = *Pause* (*Inl x*) ( $\lambda$ *input*. *case input of Inl input'  $\Rightarrow$  left-gpv*  
     (*rpv input'*) | -  $\Rightarrow$  Fail)  
     <*proof*>

**lemma** *right-gpv-Pause* [*simp*]:  
     *right-gpv* (*Pause x rpv*) = *Pause* (*Inr x*) ( $\lambda$ *input*. *case input of Inr input'  $\Rightarrow$*   
     *right-gpv* (*rpv input'*) | -  $\Rightarrow$  Fail)  
     <*proof*>

**lemma** *left-gpv-map*: *left-gpv* (*map-gpv f g gpv*) = *map-gpv f* (*map-sum g h*)  
     (*left-gpv gpv*)

$\langle \text{proof} \rangle$

**lemma** *right-gpv-map*:  $\text{right-gpv } (\text{map-gpv } f \ g \ \text{gpv}) = \text{map-gpv } f \ (\text{map-sum } h \ g)$   
(*right-gpv gpv*)  
 $\langle \text{proof} \rangle$

**lemma** *results'-gpv-left-gpv* [*simp*]:  
 $\text{results'-gpv } (\text{left-gpv } \text{gpv} :: ('a, 'out + 'out', 'in + 'in') \ \text{gpv}) = \text{results'-gpv } \text{gpv}$   
(*is ?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *results'-gpv-right-gpv* [*simp*]:  
 $\text{results'-gpv } (\text{right-gpv } \text{gpv} :: ('a, 'out' + 'out, 'in' + 'in) \ \text{gpv}) = \text{results'-gpv } \text{gpv}$   
(*is ?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *left-gpv-Inl-transfer*:  $\text{rel-gpv}'' (=) (\lambda l \ r. \ l = \text{Inl } r) (\lambda l \ r. \ l = \text{Inl } r) (\text{left-gpv } \text{gpv}) \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *right-gpv-Inr-transfer*:  $\text{rel-gpv}'' (=) (\lambda l \ r. \ l = \text{Inr } r) (\lambda l \ r. \ l = \text{Inr } r) (\text{right-gpv } \text{gpv}) \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-plus-oracle-left*:  $\text{exec-gpv } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) (\text{left-gpv } \text{gpv}) \ s = \text{exec-gpv } \text{oracle1 } \text{gpv } s$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-plus-oracle-right*:  $\text{exec-gpv } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) (\text{right-gpv } \text{gpv}) \ s = \text{exec-gpv } \text{oracle2 } \text{gpv } s$   
 $\langle \text{proof} \rangle$

**lemma** *left-gpv-bind-gpv*:  $\text{left-gpv } (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv } (\text{left-gpv } \text{gpv}) (\text{left-gpv } \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-left-gpv*:  
 $\text{inline1 } (\lambda s \ q. \ \text{left-gpv } (\text{callee } s \ q)) \ \text{gpv } s =$   
 $\text{map-spmf } (\text{map-sum } \text{id } (\text{map-prod } \text{Inl } (\text{map-prod } \text{left-rpv } \text{id}))) (\text{inline1 } \text{callee } \text{gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *left-gpv-inline*:  $\text{left-gpv } (\text{inline } \text{callee } \text{gpv } s) = \text{inline } (\lambda s \ q. \ \text{left-gpv } (\text{callee } s \ q)) \ \text{gpv } s$   
 $\langle \text{proof} \rangle$

**lemma** *right-gpv-bind-gpv*:  $\text{right-gpv } (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv } (\text{right-gpv } \text{gpv}) (\text{right-gpv } \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-right-gpv*:

*inline1* ( $\lambda s q. \text{right-gpv} (\text{callee } s \ q)) \text{ gpv } s =$   
 $\text{map-spmf} (\text{map-sum id} (\text{map-prod Inr} (\text{map-prod right-rpv id}))) (\text{inline1 callee}$   
 $\text{gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *right-gpv-inline*:  $\text{right-gpv} (\text{inline callee gpv } s) = \text{inline} (\lambda s q. \text{right-gpv}$   
 $(\text{callee } s \ q)) \text{ gpv } s$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-left-gpv*:  $\mathcal{I}1 \vdash_g \text{gpv } \surd \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash_g \text{left-gpv gpv } \surd$   
 $\langle \text{proof} \rangle$

**lemma** *WT-gpv-right-gpv*:  $\mathcal{I}2 \vdash_g \text{gpv } \surd \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash_g \text{right-gpv gpv } \surd$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-left-gpv [simp]*:  $\text{results-gpv} (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) (\text{left-gpv gpv}) = \text{re-}$   
 $\text{sults-gpv } \mathcal{I}1 \text{ gpv}$   
 $(\text{is } ?lhs = ?rhs)$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-right-gpv [simp]*:  $\text{results-gpv} (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) (\text{right-gpv gpv}) = \text{re-}$   
 $\text{sults-gpv } \mathcal{I}2 \text{ gpv}$   
 $(\text{is } ?lhs = ?rhs)$   
 $\langle \text{proof} \rangle$

**lemma** *left-gpv-Fail [simp]*:  $\text{left-gpv Fail} = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *right-gpv-Fail [simp]*:  $\text{right-gpv Fail} = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *rsuml-lsumr-left-gpv-left-gpv:map-gpv' id rsuml lsumr* ( $\text{left-gpv} (\text{left-gpv}$   
 $\text{gpv})) = \text{left-gpv gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *rsuml-lsumr-left-gpv-right-gpv: map-gpv' id rsuml lsumr* ( $\text{left-gpv} (\text{right-gpv}$   
 $\text{gpv})) = \text{right-gpv} (\text{left-gpv gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *rsuml-lsumr-right-gpv: map-gpv' id rsuml lsumr* ( $\text{right-gpv gpv}) = \text{right-gpv}$   
 $(\text{right-gpv gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-map-gpv-swap*:

$\text{map-gpv}' f \ g \ h (\text{map-gpv } f' \text{ id gpv}) = \text{map-gpv} (f \circ f') \text{ id} (\text{map-gpv}' \text{ id } g \ h \text{ gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *lsumr-rsuml-left-gpv*:  $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{left-gpv } \text{gpv}) = \text{left-gpv } (\text{left-gpv } \text{gpv})$   
 ⟨proof⟩

**lemma** *lsumr-rsuml-right-gpv-left-gpv*:  
 $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{right-gpv } (\text{left-gpv } \text{gpv})) = \text{left-gpv } (\text{right-gpv } \text{gpv})$   
 ⟨proof⟩

**lemma** *lsumr-rsuml-right-gpv-right-gpv*:  
 $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{right-gpv } (\text{right-gpv } \text{gpv})) = \text{right-gpv } \text{gpv}$   
 ⟨proof⟩

**lemma** *in-set-spmf-extend-state-oracle [simp]*:  
 $x \in \text{set-spmf } (\text{extend-state-oracle } \text{oracle } s \ y) \longleftrightarrow$   
 $\text{fst } (\text{snd } x) = \text{fst } s \wedge (\text{fst } x, \text{snd } (\text{snd } x)) \in \text{set-spmf } (\text{oracle } (\text{snd } s) \ y)$   
 ⟨proof⟩

**lemma** *extend-state-oracle-plus-oracle*:  
 $\text{extend-state-oracle } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) = \text{plus-oracle } (\text{extend-state-oracle } \text{oracle1}) (\text{extend-state-oracle } \text{oracle2})$   
 ⟨proof⟩

**definition** *stateless-callee* ::  $('a \Rightarrow ('b, 'out, 'in) \text{gpv}) \Rightarrow ('s \Rightarrow 'a \Rightarrow ('b \times 's, 'out, 'in) \text{gpv})$  **where**  
 $\text{stateless-callee } \text{callee } s = \text{map-gpv } (\lambda b. (b, s)) \text{ id } \circ \text{callee}$

**lemma** *stateless-callee-parametric'*:  
**includes** *lifting-syntax* **notes** [*transfer-rule*] = *map-gpv-parametric'* **shows**  
 $((A \implies \text{rel-gpv}'' B \ C \ R) \implies S \implies A \implies (\text{rel-gpv}'' (\text{rel-prod } B \ S) \ C \ R))$   
 $\text{stateless-callee } \text{stateless-callee}$   
 ⟨proof⟩

**lemma** *id-oracle-alt-def*:  $\text{id-oracle} = \text{stateless-callee } (\lambda x. \text{Pause } x \ \text{Done})$   
 ⟨proof⟩

**context**  
**fixes** *left* ::  $'s1 \Rightarrow 'x1 \Rightarrow ('y1 \times 's1, 'call1, 'ret1) \text{gpv}$   
**and** *right* ::  $'s2 \Rightarrow 'x2 \Rightarrow ('y2 \times 's2, 'call2, 'ret2) \text{gpv}$   
**begin**

**fun** *parallel-intercept* ::  $'s1 \times 's2 \Rightarrow 'x1 + 'x2 \Rightarrow (( 'y1 + 'y2) \times ('s1 \times 's2), 'call1 + 'call2, 'ret1 + 'ret2) \text{gpv}$   
**where**  
 $\text{parallel-intercept } (s1, s2) (\text{Inl } a) = \text{left-gpv } (\text{map-gpv } (\text{map-prod } \text{Inl } (\lambda s1'. (s1', s2)))) \text{ id } (\text{left } s1 \ a)$   
 $\mid \text{parallel-intercept } (s1, s2) (\text{Inr } b) = \text{right-gpv } (\text{map-gpv } (\text{map-prod } \text{Inr } (\text{Pair } s1' \ s2)))) \text{ id } (\text{right } s2 \ b)$



$s1)) \text{ id } (\text{right } s2 \text{ } b))$

**end**

**end**

## 6.4 Expectation transformer semantics

**theory** *GPV-Expectation* **imports**

*Computational-Model*

**begin**

**lemma** *le-enn2realI*:  $\llbracket \text{ennreal } x \leq y; y = \top \implies x \leq 0 \rrbracket \implies x \leq \text{enn2real } y$   
 $\langle \text{proof} \rangle$

**lemma** *enn2real-leD*:  $\llbracket \text{enn2real } x < y; x \neq \top \rrbracket \implies x < \text{ennreal } y$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-mult-le-self2I*:  $\llbracket y > 0 \implies x \leq 1 \rrbracket \implies x * y \leq y \text{ for } x \ y :: \text{ennreal}$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-leI*:  $x \leq \text{enn2real } y \implies \text{ennreal } x \leq y$   
 $\langle \text{proof} \rangle$

**lemma** *enn2real-INF*:  $\llbracket A \neq \{\}; \forall x \in A. f \ x < \top \rrbracket \implies \text{enn2real } (\text{INF } x \in A. f \ x)$   
 $= (\text{INF } x \in A. \text{enn2real } (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-times-ennreal1*:  $\text{monotone } (\leq) (\leq) (\lambda x. x * y :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-times-ennreal2*:  $\text{monotone } (\leq) (\leq) (\lambda x. y * x :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-times-ennreal*[*THEN* *lfp.mono2mono2*, *cont-intro*, *simp*]:  
**shows** *monotone-times-ennreal*:  $\text{monotone } (\text{rel-prod } (\leq) (\leq)) (\leq) (\lambda(x, y). x * y :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-times-ennreal1*:  $\text{mcont } \text{Sup } (\leq) \text{Sup } (\leq) (\lambda y. x * y :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-times-ennreal2*:  $\text{mcont } \text{Sup } (\leq) \text{Sup } (\leq) (\lambda y. y * x :: \text{ennreal})$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-times-ennreal* [*cont-intro*, *simp*]:  
 $\llbracket \text{mcont lub ord Sup } (\leq) (\lambda x. f \ x);$   
 $\text{mcont lub ord Sup } (\leq) (\lambda x. g \ x) \rrbracket$   
 $\implies \text{mcont lub ord Sup } (\leq) (\lambda x. f \ x * g \ x :: \text{ennreal})$

$\langle \text{proof} \rangle$

**lemma** *ereal-INF-cmult*:  $0 < c \implies (\text{INF } i \in I. c * f i) = \text{ereal } c * (\text{INF } i \in I. f i)$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-INF-multc*:  $0 < c \implies (\text{INF } i \in I. f i * c) = (\text{INF } i \in I. f i) * \text{ereal } c$   
 $\langle \text{proof} \rangle$

**lemma** *INF-mult-left-ennreal*:  
  **assumes**  $I = \{\}$   $\implies c \neq 0$   
  **and**  $\llbracket c = \top; \exists i \in I. f i > 0 \rrbracket \implies \exists p > 0. \forall i \in I. f i \geq p$   
  **shows**  $c * (\text{INF } i \in I. f i) = (\text{INF } i \in I. c * f i :: \text{ennreal})$   
 $\langle \text{proof} \rangle$  **including** *ennreal.lifting*  
 $\langle \text{proof} \rangle$

**lemma** *pmf-map-spmf-None*:  $\text{pmf } (\text{map-spmf } f p) \text{ None} = \text{pmf } p \text{ None}$   
 $\langle \text{proof} \rangle$

**lemma** *nn-integral-try-spmf*:  
   $\text{nn-integral } (\text{measure-spmf } (\text{try-spmf } p q)) f = \text{nn-integral } (\text{measure-spmf } p) f +$   
   $\text{nn-integral } (\text{measure-spmf } q) f * \text{pmf } p \text{ None}$   
 $\langle \text{proof} \rangle$

**lemma** *INF-UNION*:  $(\text{INF } z \in \bigcup x \in A. B x. f z) = (\text{INF } x \in A. \text{INF } z \in B x. f z)$   
**for**  $f :: - \Rightarrow 'b :: \text{complete-lattice}$   
 $\langle \text{proof} \rangle$

**definition** *nn-integral-spmf* ::  $'a \text{ spmf} \Rightarrow ('a \Rightarrow \text{ennreal}) \Rightarrow \text{ennreal}$  **where**  
   $\text{nn-integral-spmf } p = \text{nn-integral } (\text{measure-spmf } p)$

**lemma** *nn-integral-spmf-parametric* [*transfer-rule*]:  
  **includes** *lifting-syntax*  
  **shows**  $(\text{rel-spmf } A \text{ ===> } (A \text{ ===> } (=)) \text{ ===> } (=)) \text{ nn-integral-spmf nn-integral-spmf}$   
 $\langle \text{proof} \rangle$

**lemma** *weight-spmf-mcont2mcont* [*THEN lfp.mcont2mcont, cont-intro*]:  
  **shows**  $\text{weight-spmf-mcont: mcont } (\text{lub-spmf}) (\text{ord-spmf } (=)) \text{ Sup } (\leq) (\lambda p. \text{ennreal } (\text{weight-spmf } p))$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-nn-integral-spmf* [*THEN lfp.mono2mono, cont-intro*]:  
  **shows**  $\text{monotone-nn-integral-spmf: monotone } (\text{ord-spmf } (=)) (\leq) (\lambda p. \text{integral}^N (\text{measure-spmf } p) f)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-nn-integral-spmf*:  
   $\text{cont lub-spmf } (\text{ord-spmf } (=)) \text{ Sup } (\leq) (\lambda p :: 'a \text{ spmf}. \text{nn-integral } (\text{measure-spmf } p) f)$

*<proof>*

**lemma** *mcont2mcont-nn-integral-spmf* [*THEN lfp.mcont2mcont, cont-intro*]:  
  **shows** *mcont-nn-integral-spmf*:  
    *mcont lub-spmf (ord-spmf (=)) Sup (≤) (λp :: 'a spmf. nn-integral (measure-spmf*  
    *p) f)*  
  *<proof>*

**lemma** *nn-integral-mono2mono*:  
  **assumes**  $\bigwedge x. x \in \text{space } M \implies \text{monotone ord } (\leq) (\lambda f. F f x)$   
  **shows** *monotone ord (≤) (λf. nn-integral M (F f))*  
  *<proof>*

**lemma** *nn-integral-mono-lfp* [*partial-function-mono*]:  
  — *Partial\_Function.mono\_tac* does not like conditional assumptions (more  
  precisely the case splitter)  
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{nn-integral } M (F f))$   
  *<proof>*

**lemma** *INF-mono-lfp* [*partial-function-mono*]:  
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{INF } x \in M. F f x)$   
  *<proof>*

**lemmas** *parallel-fixp-induct-1-2 = parallel-fixp-induct-uc* [  
  *of - - - λx. x - λx. x case-prod - curry,*  
  **where**  $P = \lambda f g. P f (\text{curry } g),$   
  *unfolded case-prod-curry curry-case-prod curry-K,*  
  *OF - - - - - refl refl]*  
  **for**  $P$

**lemma** *monotone-ennreal-add1*: *monotone (≤) (≤) (λx. x + y :: ennreal)*  
  *<proof>*

**lemma** *monotone-ennreal-add2*: *monotone (≤) (≤) (λy. x + y :: ennreal)*  
  *<proof>*

**lemma** *mono2mono-ennreal-add* [*THEN lfp.mono2mono2, cont-intro, simp*]:  
  **shows** *monotone-eadd*: *monotone (rel-prod (≤) (≤)) (≤) (λ(x, y). x + y :: ennreal)*  
  *<proof>*

**lemma** *ennreal-add-partial-function-mono* [*partial-function-mono*]:  
   $\llbracket \text{monotone (fun-ord } (\leq)) (\leq) f; \text{monotone (fun-ord } (\leq)) (\leq) g \rrbracket$   
   $\implies \text{monotone (fun-ord } (\leq)) (\leq) (\lambda x. f x + g x :: \text{ennreal})$   
  *<proof>*

**context**  
  **fixes** *fail :: ennreal*

```

and  $\mathcal{I} :: ('out, 'ret) \mathcal{I}$ 
and  $f :: 'a \Rightarrow ennreal$ 
notes  $[[function-internals]]$ 
begin

partial-function (lfp-strong) expectation-gpv ::  $('a, 'out, 'ret) \text{ gpv} \Rightarrow ennreal$  where
  expectation-gpv gpv =
    ( $\int^+ \text{generat. (case generat of Pure } x \Rightarrow f\ x$ 
       $| IO\ out\ c \Rightarrow INF\ r \in \text{responses-}\mathcal{I}\ \mathcal{I}\ out. \text{ expectation-gpv } (c\ r))$ 
     $\partial\text{measure-spmf (the-gpv gpv)}$ 
     $+ fail * \text{pmf (the-gpv gpv) None}$ )

lemma expectation-gpv-fixp-induct [case-names adm bottom step]:
  assumes lfp.admissible P
  and  $P (\lambda\cdot. 0)$ 
  and  $\bigwedge \text{expectation-gpv}'. [\bigwedge \text{gpv}. \text{ expectation-gpv}'\ \text{gpv} \leq \text{ expectation-gpv gpv}; P$ 
expectation-gpv'  $\implies$ 
     $P (\lambda \text{gpv}. (\int^+ \text{generat. (case generat of Pure } x \Rightarrow f\ x$ 
       $| IO\ out\ c \Rightarrow INF\ r \in \text{responses-}\mathcal{I}\ \mathcal{I}\ out. \text{ expectation-gpv}' (c\ r))$ 
       $\partial\text{measure-spmf (the-gpv gpv)}) + fail$ 
       $* \text{pmf (the-gpv gpv) None})$ 
  shows  $P \text{ expectation-gpv}$ 
  <proof>

lemma expectation-gpv-Done [simp]: expectation-gpv (Done x) = f x
  <proof>

lemma expectation-gpv-Fail [simp]: expectation-gpv Fail = fail
  <proof>

lemma expectation-gpv-lift-spmf [simp]:
  expectation-gpv (lift-spmf p) = ( $\int^+ x. f\ x\ \partial\text{measure-spmf } p$ ) + fail * pmf p None
  <proof>

lemma expectation-gpv-Pause [simp]:
  expectation-gpv (Pause out c) = (INF  $r \in \text{responses-}\mathcal{I}\ \mathcal{I}\ out. \text{ expectation-gpv } (c\ r)$ )
  <proof>

end

context begin
private definition weight-spmf'  $p = \text{weight-spmf } p$ 
lemmas weight-spmf'-parametric = weight-spmf-parametric[folded weight-spmf'-def]
lemma expectation-gpv-parametric':
  includes lifting-syntax notes weight-spmf'-parametric[transfer-rule]
  shows  $((=) \implies \text{rel-}\mathcal{I}\ C\ R \implies (A \implies (=)) \implies \text{rel-gpv'' } A\ C\ R$ 
 $\implies (=)) \text{ expectation-gpv expectation-gpv}$ 
  <proof>
end

```

**lemma** *expectation-gpv-parametric* [*transfer-rule*]:  
**includes** *lifting-syntax*  
**shows**  $((=) \implies \text{rel-}\mathcal{I} \ C \ (=) \implies (A \implies (=)) \implies \text{rel-gpv} \ A \ C \implies (=)) \text{ expectation-gpv expectation-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-cong*:  
**fixes** *fail fail'*  
**assumes** *fail*:  $\text{fail} = \text{fail}'$   
**and**  $\mathcal{I}: \mathcal{I} = \mathcal{I}'$   
**and** *gpv*:  $\text{gpv} = \text{gpv}'$   
**and** *f*:  $\bigwedge x. x \in \text{results-gpv } \mathcal{I}' \ \text{gpv}' \implies f \ x = g \ x$   
**shows**  $\text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv} = \text{expectation-gpv fail}' \ \mathcal{I}' \ g \ \text{gpv}'$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-cong-fail*:  
 $\text{colossless-gpv } \mathcal{I} \ \text{gpv} \implies \text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv} = \text{expectation-gpv fail}' \ \mathcal{I} \ f \ \text{gpv}$   
**for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-mono*:  
**fixes** *fail fail'*  
**assumes** *fail*:  $\text{fail} \leq \text{fail}'$   
**and** *fg*:  $f \leq g$   
**shows**  $\text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv} \leq \text{expectation-gpv fail}' \ \mathcal{I} \ g \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-mono-strong*:  
**fixes** *fail fail'*  
**assumes** *fail*:  $\neg \text{colossless-gpv } \mathcal{I} \ \text{gpv} \implies \text{fail} \leq \text{fail}'$   
**and** *fg*:  $\bigwedge x. x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \implies f \ x \leq g \ x$   
**shows**  $\text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv} \leq \text{expectation-gpv fail}' \ \mathcal{I} \ g \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-bind* [*simp*]:  
**fixes**  $\mathcal{I} \ f \ g \ \text{fail}$   
**defines**  $\text{expectation-gpv1} \equiv \text{expectation-gpv fail } \mathcal{I} \ f$   
**and**  $\text{expectation-gpv2} \equiv \text{expectation-gpv fail } \mathcal{I} \ (\text{expectation-gpv fail } \mathcal{I} \ f \circ g)$   
**shows**  $\text{expectation-gpv1} \ (\text{bind-gpv gpv } g) = \text{expectation-gpv2 gpv} \ (\text{is } ?\text{lhs} = ?\text{rhs})$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-try-gpv* [*simp*]:  
**fixes**  $\text{fail } \mathcal{I} \ f \ \text{gpv}'$   
**defines**  $\text{expectation-gpv1} \equiv \text{expectation-gpv fail } \mathcal{I} \ f$   
**and**  $\text{expectation-gpv2} \equiv \text{expectation-gpv} \ (\text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv}') \ \mathcal{I} \ f$   
**shows**  $\text{expectation-gpv1} \ (\text{try-gpv gpv } \text{gpv}') = \text{expectation-gpv2 gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-restrict-gpv*:

$\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} \text{ } f \text{ (restrict-gpv } \mathcal{I} \text{ gpv)} = \text{expectation-gpv fail } \mathcal{I} \text{ } f \text{ gpv for fail}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-const-le*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} \text{ } (\lambda \cdot. c) \text{ gpv} \leq \max c \text{ fail for fail}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-no-results*:

$\llbracket \text{results-gpv } \mathcal{I} \text{ gpv} = \{\} ; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{expectation-gpv } 0 \text{ } \mathcal{I} \text{ } f \text{ gpv} = 0$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-cmult*:

**fixes** *fail*  
**assumes**  $0 < c$  **and**  $c \neq \top$   
**shows**  $c * \text{expectation-gpv fail } \mathcal{I} \text{ } f \text{ gpv} = \text{expectation-gpv } (c * \text{fail}) \text{ } \mathcal{I} \text{ } (\lambda x. c * f x) \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-le-exec-gpv*:

**assumes** *callee*:  $\bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf } (\text{callee } s \ x)$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and** *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \ \checkmark$   
**shows**  $\text{expectation-gpv } 0 \text{ } \mathcal{I} \text{ } f \text{ gpv} \leq \int^+ (x, s). f x \ \partial \text{measure-spmf } (\text{exec-gpv callee gpv } s)$   
 $\langle \text{proof} \rangle$

**definition** *weight-gpv* ::  $(\text{'out}, \text{'ret}) \ \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'ret}) \text{ gpv} \Rightarrow \text{real}$

**where**  $\text{weight-gpv } \mathcal{I} \text{ gpv} = \text{enn2real } (\text{expectation-gpv } 0 \text{ } \mathcal{I} \text{ } (\lambda \cdot. 1) \text{ gpv})$

**lemma** *weight-gpv-Done* [simp]:  $\text{weight-gpv } \mathcal{I} \text{ (Done } x) = 1$

$\langle \text{proof} \rangle$

**lemma** *weight-gpv-Fail* [simp]:  $\text{weight-gpv } \mathcal{I} \text{ Fail} = 0$

$\langle \text{proof} \rangle$

**lemma** *weight-gpv-lift-spmf* [simp]:  $\text{weight-gpv } \mathcal{I} \text{ (lift-spmf } p) = \text{weight-spmf } p$

$\langle \text{proof} \rangle$

**lemma** *weight-gpv-Pause* [simp]:

$(\bigwedge r. r \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out} \implies \mathcal{I} \vdash_g c \ r \ \checkmark)$   
 $\implies \text{weight-gpv } \mathcal{I} \text{ (Pause out } c) = (\text{if out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \text{ then } \text{INF } r \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out. weight-gpv } \mathcal{I} \text{ (c } r) \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *weight-gpv-nonneg*:  $0 \leq \text{weight-gpv } \mathcal{I} \text{ gpv}$

$\langle \text{proof} \rangle$

**lemma** *weight-gpv-le-1*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{weight-gpv } \mathcal{I} \text{ gpv} \leq 1$   
 $\langle \text{proof} \rangle$

**theorem** *weight-exec-gpv*:  
**assumes** *callee*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf } (\text{callee } s \ x)$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and** *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \ \checkmark$   
**shows**  $\text{weight-gpv } \mathcal{I} \text{ gpv} \leq \text{weight-spmf } (\text{exec-gpv callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** (*in callee-invariant-on*) *weight-exec-gpv*:  
**assumes** *callee*:  $\bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \implies \text{lossless-spmf } (\text{callee } s \ x)$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and** *I*:  $I \ s$   
**shows**  $\text{weight-gpv } \mathcal{I} \text{ gpv} \leq \text{weight-spmf } (\text{exec-gpv callee gpv } s)$   
**including** *lifting-syntax*  
 $\langle \text{proof} \rangle$

## 6.5 Probabilistic termination

**definition** *pgen-lossless-gpv* ::  $\text{ennreal} \Rightarrow ('c, 'r) \ \mathcal{I} \Rightarrow ('a, 'c, 'r) \ \text{gpv} \Rightarrow \text{bool}$   
**where**  $\text{pgen-lossless-gpv fail } \mathcal{I} \ \text{gpv} = (\text{expectation-gpv fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1) \ \text{for fail}$

**abbreviation** *plossless-gpv* ::  $('c, 'r) \ \mathcal{I} \Rightarrow ('a, 'c, 'r) \ \text{gpv} \Rightarrow \text{bool}$   
**where**  $\text{plossless-gpv} \equiv \text{pgen-lossless-gpv } 0$

**abbreviation** *pfinite-gpv* ::  $('c, 'r) \ \mathcal{I} \Rightarrow ('a, 'c, 'r) \ \text{gpv} \Rightarrow \text{bool}$   
**where**  $\text{pfinite-gpv} \equiv \text{pgen-lossless-gpv } 1$

**lemma** *pgen-lossless-gpvI* [*intro?*]:  $\text{expectation-gpv fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1 \implies \text{pgen-lossless-gpv fail } \mathcal{I} \ \text{gpv} \ \text{for fail}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpvD*:  $\text{pgen-lossless-gpv fail } \mathcal{I} \ \text{gpv} \implies \text{expectation-gpv fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1 \ \text{for fail}$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-imp-plossless-gpv*:  
**assumes**  $\text{lossless-gpv } \mathcal{I} \ \text{gpv } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**shows**  $\text{plossless-gpv } \mathcal{I} \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-imp-pfinite-gpv*:  
**assumes**  $\text{finite-gpv } \mathcal{I} \ \text{gpv } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**shows**  $\text{pfinite-gpv } \mathcal{I} \ \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *plossless-gpv-lossless-spmfD*:

**assumes** *lossless*: *plossless-gpv*  $\mathcal{I}$  *gpv*  
**and** *WT*:  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows** *lossless-spmf* (*the-gpv gpv*)  
 $\langle \text{proof} \rangle$

**lemma**

**shows** *plossless-gpv-ContD*:  
 $\llbracket \text{plossless-gpv } \mathcal{I} \text{ gpv}; \text{IO out } c \in \text{set-spmf } (\text{the-gpv gpv}); \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}; \mathcal{I} \vdash_g \text{gpv} \checkmark \rrbracket$   
 $\implies \text{plossless-gpv } \mathcal{I} (c \text{ input})$   
**and** *pfinite-gpv-ContD*:  
 $\llbracket \text{pfinite-gpv } \mathcal{I} \text{ gpv}; \text{IO out } c \in \text{set-spmf } (\text{the-gpv gpv}); \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}; \mathcal{I} \vdash_g \text{gpv} \checkmark \rrbracket$   
 $\implies \text{pfinite-gpv } \mathcal{I} (c \text{ input})$   
 $\langle \text{proof} \rangle$

**lemma** *plossless-iff-colossless-pfinite*:

**assumes** *WT*:  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows** *plossless-gpv*  $\mathcal{I}$  *gpv*  $\longleftrightarrow$  *colossless-gpv*  $\mathcal{I}$  *gpv*  $\wedge$  *pfinite-gpv*  $\mathcal{I}$  *gpv*  
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-Done* [*simp*]: *pgen-lossless-gpv fail*  $\mathcal{I}$  (*Done* *x*) **for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-Fail* [*simp*]: *pgen-lossless-gpv fail*  $\mathcal{I}$  *Fail*  $\longleftrightarrow$  *fail* = 1 **for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-PauseI* [*simp*, *intro!*]:

$\llbracket \text{out} \in \text{outs-}\mathcal{I} \mathcal{I}; \bigwedge r. r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \implies \text{pgen-lossless-gpv fail } \mathcal{I} (c \text{ } r) \rrbracket$   
 $\implies \text{pgen-lossless-gpv fail } \mathcal{I} (\text{Pause out } c)$  **for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-bindI* [*simp*, *intro!*]:

$\llbracket \text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}; \bigwedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv} \implies \text{pgen-lossless-gpv fail } \mathcal{I} (f \text{ } x) \rrbracket$   
 $\implies \text{pgen-lossless-gpv fail } \mathcal{I} (\text{bind-gpv gpv } f)$  **for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-lift-spmf* [*simp*]:

$\text{pgen-lossless-gpv fail } \mathcal{I} (\text{lift-spmf } p) \longleftrightarrow \text{lossless-spmf } p \vee \text{fail} = 1$  **for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-top-pfinite*:

**assumes** *pfinite-gpv*  $\mathcal{I}$  *gpv*  
**shows** *expectation-gpv*  $\top$   $\mathcal{I} (\lambda \cdot \top) \text{ gpv} = \top$   
 $\langle \text{proof} \rangle$

**lemma** *pfinite-INF-le-expectation-gpv*:



**fixes**  $fail \ \mathcal{I} \ gpv \ f$   
**defines**  $c \equiv \min \ (INF \ x \in results-gpv \ \mathcal{I} \ gpv. \ f \ x) \ fail$   
**assumes**  $fin: pfinite-gpv \ \mathcal{I} \ gpv$   
**shows**  $c \leq expectation-gpv \ fail \ \mathcal{I} \ f \ gpv \ (\text{is } ?lhs \leq ?rhs)$   
 $\langle proof \rangle$

**lemma** *plossless-INF-le-expectation-gpv*:  
**fixes**  $fail$   
**assumes**  $plossless-gpv \ \mathcal{I} \ gpv$  **and**  $\mathcal{I} \vdash_g \ gpv \ \checkmark$   
**shows**  $(INF \ x \in results-gpv \ \mathcal{I} \ gpv. \ f \ x) \leq expectation-gpv \ fail \ \mathcal{I} \ f \ gpv \ (\text{is } ?lhs \leq ?rhs)$   
 $\langle proof \rangle$

**lemma** *expectation-gpv-le-inline*:  
**fixes**  $\mathcal{I}'$   
**defines**  $expectation-gpv2 \equiv expectation-gpv \ 0 \ \mathcal{I}'$   
**assumes**  $callee: \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies plossless-gpv \ \mathcal{I}' \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies results-gpv \ \mathcal{I}' \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I}$   
 $x \times UNIV$   
**and**  $WT-gpv: \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $WT-callee: \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash_g \ callee \ s \ x \ \checkmark$   
**shows**  $expectation-gpv \ 0 \ \mathcal{I} \ f \ gpv \leq expectation-gpv2 \ (\lambda(x, s). \ f \ x) \ (inline \ callee \ gpv \ s)$   
 $\langle proof \rangle$

**lemma** *plossless-inline*:  
**assumes**  $lossless: plossless-gpv \ \mathcal{I} \ gpv$   
**and**  $WT: \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $callee: \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies plossless-gpv \ \mathcal{I}' \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies results-gpv \ \mathcal{I}' \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I}$   
 $x \times UNIV$   
**and**  $WT-callee: \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash_g \ callee \ s \ x \ \checkmark$   
**shows**  $plossless-gpv \ \mathcal{I}' \ (inline \ callee \ gpv \ s)$   
 $\langle proof \rangle$

**lemma** *plossless-exec-gpv*:  
**assumes**  $lossless: plossless-gpv \ \mathcal{I} \ gpv$   
**and**  $WT: \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $callee: \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies lossless-spmf \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. x \in outs-\mathcal{I} \ \mathcal{I} \implies set-spmf \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I} \ x \times UNIV$   
**shows**  $lossless-spmf \ (exec-gpv \ callee \ gpv \ s)$   
 $\langle proof \rangle$

**lemma** *expectation-gpv-I-mono*:  
**defines**  $expectation-gpv' \equiv expectation-gpv$   
**assumes**  $le: \mathcal{I} \leq \mathcal{I}'$   
**and**  $WT: \mathcal{I} \vdash_g \ gpv \ \checkmark$

**shows**  $\text{expectation-gpv fail } \mathcal{I} \text{ f gpv} \leq \text{expectation-gpv}' \text{ fail } \mathcal{I}' \text{ f gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-mono*:  
**assumes** \*:  $\text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}$   
**and**  $\text{le: } \mathcal{I} \leq \mathcal{I}'$   
**and**  $\text{WT: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $\text{fail: fail} \leq 1$   
**shows**  $\text{pgen-lossless-gpv fail } \mathcal{I}' \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *plossless-gpv-mono*:  
 $\llbracket \text{plossless-gpv } \mathcal{I} \text{ gpv; } \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pfinite-gpv-mono*:  
 $\llbracket \text{pfinite-gpv } \mathcal{I} \text{ gpv; } \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{pfinite-gpv } \mathcal{I}' \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-parametric'*: **includes** *lifting-syntax* **shows**  
 $((=) \implies \text{rel-}\mathcal{I} \text{ } C \text{ } R \implies \text{rel-gpv'' } A \text{ } C \text{ } R \implies (=)) \text{ pgen-lossless-gpv}$   
 $\text{pgen-lossless-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-parametric*: **includes** *lifting-syntax* **shows**  
 $((=) \implies \text{rel-}\mathcal{I} \text{ } C \text{ } (=) \implies \text{rel-gpv } A \text{ } C \implies (=)) \text{ pgen-lossless-gpv}$   
 $\text{pgen-lossless-gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-gpv-map-gpv-id* [*simp*]:  
 $\text{pgen-lossless-gpv fail } \mathcal{I} \text{ (map-gpv f id gpv)} = \text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**context** *raw-converter-invariant* **begin**

**lemma** *expectation-gpv-le-inline*:  
**defines**  $\text{expectation-gpv2} \equiv \text{expectation-gpv } 0 \text{ } \mathcal{I}'$   
**assumes**  $\text{callee: } \bigwedge s \ x. \llbracket x \in \text{outs-}\mathcal{I} \text{ } \mathcal{I}; I \ s \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ (callee } s \ x)$   
**and**  $\text{WT-gpv: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I: I \ s$   
**shows**  $\text{expectation-gpv } 0 \text{ } \mathcal{I} \text{ f gpv} \leq \text{expectation-gpv2 } (\lambda(x, s). \text{f } x) \text{ (inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *plossless-inline*:  
**assumes**  $\text{lossless: plossless-gpv } \mathcal{I} \text{ gpv}$   
**and**  $\text{WT: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $\text{callee: } \bigwedge s \ x. \llbracket I \ s; x \in \text{outs-}\mathcal{I} \text{ } \mathcal{I} \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ (callee } s \ x)$   
**and**  $I: I \ s$

**shows**  $\text{plossless-gpv } \mathcal{I}' \text{ (inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *expectation-left-gpv [simp]:*  
 $\text{expectation-gpv fail } (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}') f \text{ (left-gpv gpv)} = \text{expectation-gpv fail } \mathcal{I} f \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-right-gpv [simp]:*  
 $\text{expectation-gpv fail } (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}') f \text{ (right-gpv gpv)} = \text{expectation-gpv fail } \mathcal{I}' f \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-left-gpv [simp]:*  $\text{pgen-lossless-gpv fail } (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}') \text{ (left-gpv gpv)}$   
 $= \text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *pgen-lossless-right-gpv [simp]:*  $\text{pgen-lossless-gpv fail } (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}') \text{ (right-gpv gpv)}$   
 $= \text{pgen-lossless-gpv fail } \mathcal{I}' \text{ gpv}$   
 $\langle \text{proof} \rangle$

**lemma** (in *raw-converter-invariant*) *expectation-gpv-le-inline-invariant:*  
**defines**  $\text{expectation-gpv2} \equiv \text{expectation-gpv } 0 \mathcal{I}'$   
**assumes**  $\text{callee: } \bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \mathcal{I}; I s \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ (callee } s x)$   
**and**  $\text{WT-gpv: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I: I s$   
**shows**  $\text{expectation-gpv } 0 \mathcal{I} f \text{ gpv} \leq \text{expectation-gpv2 } (\lambda(x, s). f x) \text{ (inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** (in *raw-converter-invariant*) *plossless-inline-invariant:*  
**assumes**  $\text{lossless: plossless-gpv } \mathcal{I} \text{ gpv}$   
**and**  $\text{WT: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $\text{callee: } \bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \mathcal{I}; I s \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ (callee } s x)$   
**and**  $I: I s$   
**shows**  $\text{plossless-gpv } \mathcal{I}' \text{ (inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**context** *callee-invariant-on* **begin**

**lemma** *raw-converter-invariant:*  $\text{raw-converter-invariant } \mathcal{I} \mathcal{I}' (\lambda s x. \text{lift-spmf (callee } s x)) I$   
 $\langle \text{proof} \rangle$

**lemma** (in *callee-invariant-on*) *plossless-exec-gpv:*  
**assumes**  $\text{lossless: plossless-gpv } \mathcal{I} \text{ gpv}$   
**and**  $\text{WT: } \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $\text{callee: } \bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \mathcal{I}; I s \rrbracket \implies \text{lossless-spmf (callee } s x)$   
**and**  $I: I s$

**shows** *lossless-spmf* (*exec-gpv callee gpv s*)  
 ⟨*proof*⟩

**end**

**lemma** *expectation-gpv-mk-lossless-gpv*:

**fixes**  $\mathcal{I} \ y$   
**defines**  $rhs \equiv expectation-gpv \ 0 \ \mathcal{I} \ (\lambda-. \ y)$   
**assumes**  $WT: \mathcal{I}' \vdash_g gpv \ \checkmark$   
**and**  $outs: outs-\mathcal{I} \ \mathcal{I} = outs-\mathcal{I} \ \mathcal{I}'$   
**shows**  $expectation-gpv \ 0 \ \mathcal{I}' \ (\lambda-. \ y) \ gpv \leq rhs \ (mk-lossless-gpv \ (responses-\mathcal{I} \ \mathcal{I}') \ x \ gpv)$   
 ⟨*proof*⟩

**lemma** *plossless-gpv-mk-lossless-gpv*:

**assumes** *plossless-gpv*  $\mathcal{I} \ gpv$   
**and**  $\mathcal{I} \vdash_g gpv \ \checkmark$   
**and**  $outs-\mathcal{I} \ \mathcal{I} = outs-\mathcal{I} \ \mathcal{I}'$   
**shows**  $plossless-gpv \ \mathcal{I}' \ (mk-lossless-gpv \ (responses-\mathcal{I} \ \mathcal{I}) \ x \ gpv)$   
 ⟨*proof*⟩

**lemma** (**in** *callee-invariant-on*) *exec-gpv-mk-lossless-gpv*:

**assumes**  $\mathcal{I} \vdash_g gpv \ \checkmark$   
**and**  $I \ s$   
**shows**  $exec-gpv \ callee \ (mk-lossless-gpv \ (responses-\mathcal{I} \ \mathcal{I}) \ x \ gpv) \ s = exec-gpv \ callee \ gpv \ s$   
 ⟨*proof*⟩

**lemma** *expectation-gpv-map-gpv'* [*simp*]:

$expectation-gpv \ fail \ \mathcal{I} \ f \ (map-gpv' \ g \ h \ k \ gpv) =$   
 $expectation-gpv \ fail \ (map-\mathcal{I} \ h \ k \ \mathcal{I}) \ (f \circ g) \ gpv$   
 ⟨*proof*⟩

**lemma** *plossless-gpv-map-gpv'* [*simp*]:

$pgen-lossless-gpv \ b \ \mathcal{I} \ (map-gpv' \ f \ g \ h \ gpv) \longleftrightarrow pgen-lossless-gpv \ b \ (map-\mathcal{I} \ g \ h \ \mathcal{I})$   
 $gpv$   
 ⟨*proof*⟩

**end**

**theory** *GPV-Bisim* **imports**

*GPV-Expectation*

**begin**

## 6.6 Bisimulation for oracles

Bisimulation is a consequence of parametricity

**lemma** *exec-gpv-oracle-bisim'*:

**assumes** \*:  $X\ s1\ s2$   
**and** *bisim*:  $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). a = b \wedge X\ s1'\ s2') (oracle1\ s1\ x) (oracle2\ s2\ x)$   
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2'). a = b \wedge X\ s1'\ s2') (exec-gpv\ oracle1\ gpv\ s1) (exec-gpv\ oracle2\ gpv\ s2)$   
 $\langle proof \rangle$

**lemma** *exec-gpv-oracle-bisim*:

**assumes** \*:  $X\ s1\ s2$   
**and** *bisim*:  $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). a = b \wedge X\ s1'\ s2') (oracle1\ s1\ x) (oracle2\ s2\ x)$   
**and** *R*:  $\bigwedge x\ s1'\ s2'. \llbracket X\ s1'\ s2'; (x, s1') \in \text{set-spmf } (exec-gpv\ oracle1\ gpv\ s1); (x, s2') \in \text{set-spmf } (exec-gpv\ oracle2\ gpv\ s2) \rrbracket \implies R\ (x, s1')\ (x, s2')$   
**shows**  $\text{rel-spmf } R\ (exec-gpv\ oracle1\ gpv\ s1) (exec-gpv\ oracle2\ gpv\ s2)$   
 $\langle proof \rangle$

**lemma** *run-gpv-oracle-bisim*:

**assumes**  $X\ s1\ s2$   
**and**  $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). a = b \wedge X\ s1'\ s2') (oracle1\ s1\ x) (oracle2\ s2\ x)$   
**shows**  $\text{run-gpv } oracle1\ gpv\ s1 = \text{run-gpv } oracle2\ gpv\ s2$   
 $\langle proof \rangle$

**context**

**fixes** *joint-oracle* ::  $('s1 \times 's2) \Rightarrow 'a \Rightarrow (('b \times 's1) \times ('b \times 's2))\ \text{spmf}$   
**and** *oracle1* ::  $'s1 \Rightarrow 'a \Rightarrow ('b \times 's1)\ \text{spmf}$   
**and** *bad1* ::  $'s1 \Rightarrow \text{bool}$   
**and** *oracle2* ::  $'s2 \Rightarrow 'a \Rightarrow ('b \times 's2)\ \text{spmf}$   
**and** *bad2* ::  $'s2 \Rightarrow \text{bool}$

**begin**

**partial-function** (*spmf*) *exec-until-bad* ::  $('x, 'a, 'b)\ gpv \Rightarrow 's1 \Rightarrow 's2 \Rightarrow (('x \times 's1) \times ('x \times 's2))\ \text{spmf}$

**where**

$exec-until-bad\ gpv\ s1\ s2 =$   
 $(if\ bad1\ s1 \vee bad2\ s2\ then\ pair-spmf\ (exec-gpv\ oracle1\ gpv\ s1)\ (exec-gpv\ oracle2\ gpv\ s2))$   
 $else\ bind-spmf\ (the-gpv\ gpv)\ (\lambda generat.$   
 $case\ generat\ of\ Pure\ x \Rightarrow return-spmf\ ((x, s1), (x, s2))$   
 $| IO\ out\ f \Rightarrow bind-spmf\ (joint-oracle\ (s1, s2)\ out)\ (\lambda((x, s1'), (y, s2')).$   
 $if\ bad1\ s1' \vee bad2\ s2'\ then\ pair-spmf\ (exec-gpv\ oracle1\ (f\ x)\ s1')\ (exec-gpv\ oracle2\ (f\ y)\ s2'))$   
 $else\ exec-until-bad\ (f\ x)\ s1'\ s2'))$

**lemma** *exec-until-bad-fixp-induct* [*case-names adm bottom step*]:

**assumes** *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord (ord-spmf (=))*) ( $\lambda f. P\ (\lambda gpv\ s1\ s2. f\ ((gpv, s1), s2))$ )  
**and** *P* ( $\lambda - - . return-pmf\ None$ )

**and**  $\bigwedge \text{exec-until-bad}'. P \text{ exec-until-bad}' \implies$   
 $P (\lambda \text{gpv } s1 \ s2. \text{ if bad1 } s1 \vee \text{ bad2 } s2 \text{ then pair-spmf } (\text{exec-gpv oracle1 gpv } s1)$   
 $(\text{exec-gpv oracle2 gpv } s2)$   
 $\text{ else bind-spmf } (\text{the-gpv gpv}) (\lambda \text{generat.}$   
 $\text{ case generat of Pure } x \Rightarrow \text{ return-spmf } ((x, s1), (x, s2))$   
 $| IO \text{ out } f \Rightarrow \text{ bind-spmf } (\text{joint-oracle } (s1, s2) \text{ out}) (\lambda((x, s1'), (y, s2')).$   
 $\text{ if bad1 } s1' \vee \text{ bad2 } s2' \text{ then pair-spmf } (\text{exec-gpv oracle1 } (f \ x) \ s1') (\text{exec-gpv}$   
 $\text{ oracle2 } (f \ y) \ s2'))$   
 $\text{ else exec-until-bad}' (f \ x) \ s1' \ s2'))$   
**shows**  $P \text{ exec-until-bad}$   
 $\langle \text{proof} \rangle$   
**end**

**lemma** *exec-gpv-oracle-bisim-bad-plossless*:

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**and**  $\text{oracle1} :: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \text{ spmf}$   
**and**  $\text{oracle2} :: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \text{ spmf}$   
**assumes** \*: *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and** *bad*:  $\text{bad1 } s1 = \text{bad2 } s2$   
**and** *bisim*:  $\bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2').$   
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2'))$   
 $(\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and** *bad-sticky1*:  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge$   
 $X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and** *bad-sticky2*:  $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge$   
 $X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and** *lossless1*:  $\bigwedge s1 \ x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and** *lossless2*:  $\bigwedge s2 \ x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and** *lossless*: *plossless-gpv*  $\mathcal{I} \ \text{gpv}$   
**and** *WT-oracle1*:  $\bigwedge s1. \mathcal{I} \vdash_c \text{oracle1 } s1 \ \checkmark$   
**and** *WT-oracle2*:  $\bigwedge s2. \mathcal{I} \vdash_c \text{oracle2 } s2 \ \checkmark$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad}$   
 $s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv}$   
 $s2)$   
 $(\text{is rel-spmf } ?R \ ?p \ ?q)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-oracle-bisim-bad'*:

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**and**  $\text{oracle1} :: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \text{ spmf}$   
**and**  $\text{oracle2} :: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \text{ spmf}$   
**assumes** \*: *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and** *bad*:  $\text{bad1 } s1 = \text{bad2 } s2$   
**and** *bisim*:  $\bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2').$   
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2'))$   
 $(\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and** *bad-sticky1*:  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge$

$X\text{-bad } s1 \ s2) \mathcal{I}$   
**and**  $\text{bad-sticky2}: \bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 \ s2) \mathcal{I}$   
**and**  $\text{lossless1}: \bigwedge s1 \ x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and**  $\text{lossless2}: \bigwedge s2 \ x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and**  $\text{lossless}: \text{lossless-gpv } \mathcal{I} \ \text{gpv}$   
**and**  $\text{WT-oracle1}: \bigwedge s1. \mathcal{I} \vdash_c \text{oracle1 } s1 \ \checkmark$   
**and**  $\text{WT-oracle2}: \bigwedge s2. \mathcal{I} \vdash_c \text{oracle2 } s2 \ \checkmark$   
**and**  $\text{WT-gpv}: \mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv } s2)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{exec-gpv-oracle-bisim-bad-invariant}$ :

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$  **and**  $I1 :: 's1 \Rightarrow \text{bool}$   
**and**  $I2 :: 's2 \Rightarrow \text{bool}$   
**and**  $\text{oracle1} :: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \ \text{spmf}$   
**and**  $\text{oracle2} :: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \ \text{spmf}$   
**assumes** \*:  $\text{if } \text{bad2 } s2 \text{ then } X\text{-bad } s1 \ s2 \text{ else } X \ s1 \ s2$   
**and**  $\text{bad}: \text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\text{bisim}: \bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \mathcal{I}; I1 \ s1; I2 \ s2 \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and**  $\text{bad-sticky1}: \bigwedge s2. \llbracket \text{bad2 } s2; I2 \ s2 \rrbracket \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1 \ s2) \mathcal{I}$   
**and**  $\text{bad-sticky2}: \bigwedge s1. \llbracket \text{bad1 } s1; I1 \ s1 \rrbracket \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 \ s2) \mathcal{I}$   
**and**  $\text{lossless1}: \bigwedge s1 \ x. \llbracket \text{bad1 } s1; I1 \ s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and**  $\text{lossless2}: \bigwedge s2 \ x. \llbracket \text{bad2 } s2; I2 \ s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and**  $\text{lossless}: \text{lossless-gpv } \mathcal{I} \ \text{gpv}$   
**and**  $\text{WT-gpv}: \mathcal{I} \vdash_g \text{gpv} \ \checkmark$   
**and**  $I1: \text{callee-invariant-on oracle1 } I1 \ \mathcal{I}$   
**and**  $I2: \text{callee-invariant-on oracle2 } I2 \ \mathcal{I}$   
**and**  $s1: I1 \ s1$   
**and**  $s2: I2 \ s2$   
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv } s2)$   
**including**  $\text{lifting-syntax}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{exec-gpv-oracle-bisim-bad}$ :

**assumes** \*:  $\text{if } \text{bad2 } s2 \text{ then } X\text{-bad } s1 \ s2 \text{ else } X \ s1 \ s2$   
**and**  $\text{bad}: \text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\text{bisim}: \bigwedge s1 \ s2 \ x. X \ s1 \ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$

$s2\ x)$   
**and** *bad-sticky1*:  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1\ s2) \mathcal{I}$   
**and** *bad-sticky2*:  $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1\ s2) \mathcal{I}$   
**and** *lossless1*:  $\bigwedge s1\ x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1\ x)$   
**and** *lossless2*:  $\bigwedge s2\ x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2\ x)$   
**and** *lossless*:  $\text{lossless-gpv } \mathcal{I}\ \text{gpv}$   
**and** *WT-oracle1*:  $\bigwedge s1. \mathcal{I} \vdash c\ \text{oracle1 } s1\ \checkmark$   
**and** *WT-oracle2*:  $\bigwedge s2. \mathcal{I} \vdash c\ \text{oracle2 } s2\ \checkmark$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g\ \text{gpv}\ \checkmark$   
**and** *R*:  $\bigwedge a\ s1\ b\ s2. \llbracket \text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X\ s1\ s2; \text{bad2 } s2 \implies X\text{-bad } s1\ s2 \rrbracket \implies R\ (a, s1)\ (b, s2)$   
**shows**  $\text{rel-spmf } R\ (\text{exec-gpv oracle1 gpv } s1)\ (\text{exec-gpv oracle2 gpv } s2)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-oracle-bisim-bad-full*:

**assumes**  $X\ s1\ s2$   
**and**  $\text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1'). (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\neg \text{bad2 } s2' \longrightarrow a = b \wedge X\ s1'\ s2'))\ (\text{oracle1 } s1\ x)\ (\text{oracle2 } s2\ x)$   
**and** *callee-invariant oracle1 bad1*  
**and** *callee-invariant oracle2 bad2*  
**and**  $\bigwedge s1\ x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1\ x)$   
**and**  $\bigwedge s2\ x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2\ x)$   
**and** *lossless-gpv  $\mathcal{I}$ -full gpv*  
**and** *R*:  $\bigwedge a\ s1\ b\ s2. \llbracket \text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X\ s1\ s2 \rrbracket \implies R\ (a, s1)\ (b, s2)$   
**shows**  $\text{rel-spmf } R\ (\text{exec-gpv oracle1 gpv } s1)\ (\text{exec-gpv oracle2 gpv } s2)$   
 $\langle \text{proof} \rangle$

**lemma** *max-enn2ereal*:  $\max\ (\text{enn2ereal } x)\ (\text{enn2ereal } y) = \text{enn2ereal } (\max\ x\ y)$   
**including** *ennreal.lifting*  $\langle \text{proof} \rangle$

**lemma** *identical-until-bad*:

**assumes** *bad-eq*:  $\text{map-spmf bad } p = \text{map-spmf bad } q$   
**and** *not-bad*:  $\text{measure } (\text{measure-spmf } (\text{map-spmf } (\lambda x. (f\ x, \text{bad } x))\ p))\ (A \times \{\text{False}\}) = \text{measure } (\text{measure-spmf } (\text{map-spmf } (\lambda x. (f\ x, \text{bad } x))\ q))\ (A \times \{\text{False}\})$   
**shows**  $|\text{measure } (\text{measure-spmf } (\text{map-spmf } f\ p))\ A - \text{measure } (\text{measure-spmf } (\text{map-spmf } f\ q))\ A| \leq \text{spmfs } (\text{map-spmf bad } p)\ \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *callee-invariant-on*) *exec-gpv-bind-materialize*:

**fixes**  $f :: 's \Rightarrow 'r\ \text{spmfs}$   
**and**  $g :: 'x \times 's \Rightarrow 'r \Rightarrow 'y\ \text{spmfs}$   
**and**  $s :: 's$   
**defines**  $\text{exec-gpv2} \equiv \text{exec-gpv}$   
**assumes** *cond*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s\ x); I\ s \rrbracket \implies f\ s = f\ s'$   
**and**  $I: I = I\text{-full}$



**shows**  $\text{bind-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s) (\lambda as. \text{bind-spmf } (f \text{ (snd } as)) (g \text{ as})) =$   
 $\text{exec-gpv2 } (\lambda(r, s) x. \text{bind-spmf } (\text{callee } s \text{ } x) (\lambda(y, s'). \text{ if } I \text{ } s' \wedge r = \text{None then}$   
 $\text{map-spmf } (\lambda r. (y, (\text{Some } r, s')))) (f \text{ } s') \text{ else return-spmf } (y, (r, s')))) \text{ gpv } (\text{None},$   
 $s)$   
 $\gg= (\lambda(a, r, s). \text{ case } r \text{ of } \text{None} \Rightarrow \text{bind-spmf } (f \text{ } s) (g \text{ } (a, s)) \mid \text{Some } r' \Rightarrow g \text{ } (a,$   
 $s) \text{ } r')$   
 $(\text{is } ?lhs = ?rhs \text{ is } - = \text{bind-spmf } (\text{exec-gpv2 } ?callee2 \text{ } -) -)$   
 $\langle \text{proof} \rangle$

**primcorec**  $\text{gpv-stop} :: ('a, 'c, 'r) \text{ gpv} \Rightarrow ('a \text{ option}, 'c, 'r \text{ option}) \text{ gpv}$   
**where**  
 $\text{the-gpv } (\text{gpv-stop } \text{gpv}) =$   
 $\text{map-spmf } (\text{map-generat } \text{Some id } (\lambda rpv \text{ input. case input of } \text{None} \Rightarrow \text{Done None}$   
 $\mid \text{Some input}' \Rightarrow \text{gpv-stop } (rpv \text{ input}'))$   
 $(\text{the-gpv } \text{gpv})$

**lemma**  $\text{gpv-stop-Done [simp]: gpv-stop (Done } x) = \text{Done (Some } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-Fail [simp]: gpv-stop Fail = Fail}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-Pause [simp]: gpv-stop (Pause out } rpv) = \text{Pause out } (\lambda \text{input. case}$   
 $\text{input of } \text{None} \Rightarrow \text{Done None} \mid \text{Some input}' \Rightarrow \text{gpv-stop } (rpv \text{ input}'))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-lift-spmf [simp]: gpv-stop (lift-spmf } p) = \text{lift-spmf } (\text{map-spmf}$   
 $\text{Some } p)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-bind [simp]:}$   
 $\text{gpv-stop } (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv } (\text{gpv-stop } \text{gpv}) (\lambda x. \text{ case } x \text{ of } \text{None} \Rightarrow \text{Done}$   
 $\text{None} \mid \text{Some } x' \Rightarrow \text{gpv-stop } (f \text{ } x'))$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma**  $\text{gpv-stop-parametric'}$ :  
**notes**  $[\text{transfer-rule}] = \text{the-gpv-parametric' the-gpv-parametric' Done-parametric'}$   
 $\text{corec-gpv-parametric'}$   
**shows**  $(\text{rel-gpv'' } A \text{ } C \text{ } R ==> \text{rel-gpv'' } (\text{rel-option } A) \text{ } C \text{ } (\text{rel-option } R)) \text{ gpv-stop}$   
 $\text{gpv-stop}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-parametric [transfer-rule]}$ :  
**shows**  $(\text{rel-gpv } A \text{ } C ==> \text{rel-gpv } (\text{rel-option } A) \text{ } C) \text{ gpv-stop gpv-stop}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-transfer}$ :

$(rel-gpv'' A B C ==> rel-gpv'' (pcr-Some A) B (pcr-Some C)) (\lambda x. x) gpv-stop$   
 $\langle proof \rangle$

**end**

**lemma** *gpv-stop-map'* [simp]:

$gpv-stop (map-gpv' f g h gpv) = map-gpv' (map-option f) g (map-option h)$   
 $(gpv-stop gpv)$   
 $\langle proof \rangle$

**lemma** *interaction-bound-gpv-stop* [simp]:

$interaction-bound consider (gpv-stop gpv) = interaction-bound consider gpv$   
 $\langle proof \rangle$

**abbreviation** *exec-gpv-stop* ::  $('s \Rightarrow 'c \Rightarrow ('r option \times 's) spmf) \Rightarrow ('a, 'c, 'r)$   
 $gpv \Rightarrow 's \Rightarrow ('a option \times 's) spmf$

**where** *exec-gpv-stop callee gpv*  $\equiv exec-gpv callee (gpv-stop gpv)$

**abbreviation** *inline-stop* ::  $('s \Rightarrow 'c \Rightarrow ('r option \times 's, 'c', 'r') gpv) \Rightarrow ('a, 'c, 'r)$   
 $gpv \Rightarrow 's \Rightarrow ('a option \times 's, 'c', 'r') gpv$

**where** *inline-stop callee gpv*  $\equiv inline callee (gpv-stop gpv)$

**context**

**fixes** *joint-oracle* ::  $'s1 \Rightarrow 's2 \Rightarrow 'c \Rightarrow (('r option \times 's1) option \times ('r option \times$   
 $'s2) option) pmf$

**and** *callee1* ::  $'s1 \Rightarrow 'c \Rightarrow ('r option \times 's1) spmf$

**notes**  $[[function-internals]]$

**begin**

**partial-function** (*spmf*) *exec-until-stop* ::  $('a option, 'c, 'r) gpv \Rightarrow 's1 \Rightarrow 's2 \Rightarrow$   
 $bool \Rightarrow ('a option \times 's1 \times 's2) spmf$

**where**

$exec-until-stop gpv s1 s2 b =$

$(if b then$

$bind-spmf (the-gpv gpv) (\lambda generat. case generat of$

$Pure x \Rightarrow return-spmf (x, s1, s2)$

$| IO out rpv \Rightarrow bind-pmf (joint-oracle s1 s2 out) (\lambda(a, b).$

$case a of None \Rightarrow return-pmf None$

$| Some (r1, s1') \Rightarrow (case b of None \Rightarrow undefined | Some (r2, s2') \Rightarrow$

$(case (r1, r2) of (None, None) \Rightarrow exec-until-stop (Done None) s1' s2')$

$True$

$| (Some r1', Some r2') \Rightarrow exec-until-stop (rpv r1') s1' s2' True$

$| (None, Some r2') \Rightarrow exec-until-stop (Done None) s1' s2' True$

$| (Some r1', None) \Rightarrow exec-until-stop (rpv r1') s1' s2' False))))$

$else$

$bind-spmf (the-gpv gpv) (\lambda generat. case generat of$

$Pure x \Rightarrow return-spmf (None, s1, s2)$

$| IO out rpv \Rightarrow bind-spmf (callee1 s1 out) (\lambda(r1, s1').$

$case r1 of None \Rightarrow exec-until-stop (Done None) s1' s2 False$

```

    | Some r1'  $\Rightarrow$  exec-until-stop (rpv r1') s1' s2 False)))

end

lemma ord-spmf-exec-gpv-stop:
  fixes callee1 :: ('c, 'r option, 's) callee
  and callee2 :: ('c, 'r option, 's) callee
  and S :: 's  $\Rightarrow$  's  $\Rightarrow$  bool
  and gpv :: ('a, 'c, 'r) gpv
  assumes bisim:
     $\bigwedge s1\ s2\ x. \llbracket S\ s1\ s2; \neg\ stop\ s2 \rrbracket \Longrightarrow$ 
    ord-spmf ( $\lambda(r1, s1') (r2, s2'). le-option\ r2\ r1 \wedge S\ s1'\ s2' \wedge (r2 = None \wedge r1$ 
 $\neq None \longleftrightarrow stop\ s2')$ )
    (callee1 s1 x) (callee2 s2 x)
  and init: S s1 s2
  and go:  $\neg stop\ s2$ 
  and sticking:  $\bigwedge s1\ s2\ x\ y\ s1'. \llbracket (y, s1') \in set-spmf\ (callee1\ s1\ x); S\ s1\ s2; stop$ 
 $s2 \rrbracket \Longrightarrow S\ s1'\ s2$ 
  shows ord-spmf (rel-prod (ord-option  $\top$ )-1-1 S) (exec-gpv-stop callee1 gpv s1)
  (exec-gpv-stop callee2 gpv s2)
  <proof>

end

theory GPV-Applicative imports
  Generative-Probabilistic-Value
  SPMF-Applicative
begin

6.7 Applicative instance for (-, 'out, 'in) gpv

definition ap-gpv :: ('a  $\Rightarrow$  'b, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  ('b, 'out, 'in)
gpv
where ap-gpv f x = bind-gpv f ( $\lambda f'. bind-gpv\ x\ (\lambda x'. Done\ (f'\ x'))$ )

ad hoc overloading Applicative.ap  $\equiv$  ap-gpv

abbreviation (input) pure-gpv :: 'a  $\Rightarrow$  ('a, 'out, 'in) gpv
where pure-gpv  $\equiv Done$ 

context includes applicative-syntax begin

lemma ap-gpv-id: pure-gpv ( $\lambda x. x$ )  $\diamond x = x$ 
<proof>

lemma ap-gpv-comp: pure-gpv ( $\circ$ )  $\diamond u \diamond v \diamond w = u \diamond (v \diamond w)$ 
<proof>

lemma ap-gpv-homo: pure-gpv f  $\diamond pure-gpv\ x = pure-gpv\ (f\ x)$ 
<proof>

```

**lemma** *ap-gpv-interchange*:  $u \diamond \text{pure-gpv } x = \text{pure-gpv } (\lambda f. f \ x) \diamond u$   
 $\langle \text{proof} \rangle$

**applicative** *gpv*  
**for**

*pure*: *pure-gpv*  
*ap*: *ap-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *map-conv-ap-gpv*:  $\text{map-gpv } f \ (\lambda x. x) \ \text{gpv} = \text{pure-gpv } f \diamond \text{gpv}$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-ap*:  
 $\text{exec-gpv } \text{callee } (f \diamond x) \ \sigma =$   
 $\text{exec-gpv } \text{callee } f \ \sigma \gg (\lambda (f', \sigma'). \text{pure-spmf } (\lambda (x', \sigma''). (f' \ x', \sigma'')) \diamond \text{exec-gpv}$   
 $\text{callee } x \ \sigma')$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-ap-pure* [*simp*]:  
 $\text{exec-gpv } \text{callee } (\text{pure-gpv } f \diamond x) \ \sigma = \text{pure-spmf } (\text{apfst } f) \diamond \text{exec-gpv } \text{callee } x \ \sigma$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 7 Cyclic groups

**theory** *Cyclic-Group* **imports**  
*HOL-Algebra.Coset*  
**begin**

**record** *'a cyclic-group* = *'a monoid* +  
*generator* :: *'a* (*'g1*)

**locale** *cyclic-group* = *group* *G*  
**for** *G* :: (*'a*, *'b*) *cyclic-group-scheme* (**structure**)  
 +  
**assumes** *generator-closed* [*intro*, *simp*]: *generator* *G*  $\in$  *carrier* *G*  
**and** *generator*: *carrier* *G*  $\subseteq$  *range* ( $\lambda n :: \text{nat}. \text{generator } G \ [\ ]_G \ n$ )  
**begin**

**lemma** *generatorE* [*elim?*]:  
**assumes**  $x \in \text{carrier } G$   
**obtains**  $n :: \text{nat}$  **where**  $x = \text{generator } G \ [\ ]_G \ n$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-generator*: *inj-on* ( $([\ ]) \ \mathbf{g}$ )  $\{..<\text{order } G\}$

*<proof>*

**lemma** *finite-carrier*: *finite* (*carrier* *G*)

*<proof>*

**lemma** *carrier-conv-generator*: *carrier* *G* = ( $\lambda n. \mathbf{g} \ [\frown] \ n$ ) ‘ {..*order* *G*}

*<proof>*

**lemma** *bij-betw-generator-carrier*:

*bij-betw* ( $\lambda n :: \text{nat}. \mathbf{g} \ [\frown] \ n$ ) {..*order* *G*} (*carrier* *G*)

*<proof>*

**lemma** *order-gt-0*: *order* *G* > 0

*<proof>*

**end**

**lemma** (*in monoid*) *order-in-range-Suc*: *order* *G*  $\in$  *range* *Suc*  $\longleftrightarrow$  *finite* (*carrier* *G*)

*<proof>*

**end**

**theory** *Cyclic-Group-SPMF* **imports**

*HOL-Probability.SPMF Cyclic-Group*

**begin**

**definition** *sample-uniform* :: *nat*  $\Rightarrow$  *nat* *spmf*

**where** *sample-uniform* *n* = *spmf-of-set* {..*n*}

**lemma** *spmf-sample-uniform*: *spmf* (*sample-uniform* *n*) *x* = *indicator* {..*n*} *x* / *n*

*<proof>*

**lemma** *weight-sample-uniform*: *weight-spmf* (*sample-uniform* *n*) = *indicator* (*range* *Suc*) *n*

*<proof>*

**lemma** *weight-sample-uniform-0* [*simp*]: *weight-spmf* (*sample-uniform* 0) = 0

*<proof>*

**lemma** *weight-sample-uniform-gt-0* [*simp*]: 0 < *n*  $\implies$  *weight-spmf* (*sample-uniform* *n*) = 1

*<proof>*

**lemma** *lossless-sample-uniform* [*simp*]: *lossless-spmf* (*sample-uniform* *n*)  $\longleftrightarrow$  0 < *n*

*<proof>*

**lemma** *set-spmf-sample-uniform* [*simp*]:  $0 < n \implies \text{set-spmf } (\text{sample-uniform } n)$   
 $= \{..<n\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *cyclic-group*) *sample-uniform-one-time-pad*:  
**assumes** [*simp*]:  $c \in \text{carrier } G$   
**shows**  
 $\text{map-spmf } (\lambda x. \mathbf{g} [\text{ } ] x \otimes c) (\text{sample-uniform } (\text{order } G)) =$   
 $\text{map-spmf } (\lambda x. \mathbf{g} [\text{ } ] x) (\text{sample-uniform } (\text{order } G))$   
**(is ?lhs = ?rhs)**  
 $\langle \text{proof} \rangle$

**end**  
**theory** *CryptHOL* **imports**  
*GPV-Bisim*  
*GPV-Applicative*  
*Computational-Model*  
*Negligible*  
*Cyclic-Group-SPMF*  
*List-Bits*  
*Environment-Functor*  
**begin**  
  
**end**

## References

- [1] A. Lochbihler. Probabilistic functions and cryptographic oracles in higher order logic. In P. Thiemann, editor, *Programming Languages and Systems (ESOP 2016)*, volume 9632 of *LNCS*, pages 503–531. Springer, 2016.