

# Concurrent HOL

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## Abstract

This is a simple framework for expressing linear-time properties. It supports the usual programming constructs (including interleaving parallel composition), equational and inequational reasoning about these, compositional assume/guarantee specifications and refinement, and the mixing of specifications and programs, all shallowly embedded in Isabelle/HOL.

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# 1 Introduction

This is a simple framework for expressing linear-time properties. It supports the usual programming constructs (including interleaving parallel composition), equational and inequational reasoning about these, compositional assume/guarantee specifications and refinement, and the mixing of specifications and programs, all shallowly embedded in Isabelle/HOL. The closest extent works to ours are by [Xu and He \(1991, 1994\)](#) and [Dingel \(1996, 2000, 2002\)](#). It is heavily influenced by [Lampert \(1994\)](#).

## 1.1 Road map

Rather than begin with *a priori* “laws of programming” we take finite and infinite sequences as models of system executions (§16). Also, as transforming realistic concurrent systems while preserving total correctness is too difficult to be usable, we adopt Lampert’s approach to separating liveness and safety properties ([Abadi and Lampert 1991](#)) and do most of our work on safety properties.

The safety model consists of a series of closures (§5) over the powerset lattice of finite, non-empty, terminated “Aczel” sequences (§2), where each transition is ascribed to an agent. The termination marker supports sequential composition. The model of system executions is built similarly.

**The *spec* lattice.** Firstly and fundamentally we close under prefixes (§7.1), which captures precisely the safety properties (i.e., we identify a safety property with the set of sequences that satisfies it). We also close under stuttering ala Lampert (§8.1) to support refinement and the “laws of programming” (§13.3.1). All properties we consider therefore need to be stuttering invariant which is a mild constraint. We call the set of sets closed in this way the *spec* lattice (§8.2); we can interpret its points as propositions as it is a Heyting algebra. Its chief novelty is that it supports a logical presentation of assume/guarantee reasoning due to Abadi and Plotkin (§13.5.2) where parallel composition (§9.5) is simple (infinitary) conjunction ala [Lampert \(1994\)](#).

This lattice is satisfactory as a logic but deficient as a programming language; see [Zwiers \(1989\)](#) for an extended discussion on this point, and a solution for synchronous message passing. In brief, parallel composition-as-conjunction and the monad laws (§8.8) fail to meet expectations. We therefore look for a stronger closure condition.

**The *prog* lattice.** We take the view that a concurrent process is a parallel composition of sequential processes where the parallel composition itself yields a sequential process. Abadi and Plotkin’s constrains-at-most (§9.1) closure adds interference to the ends of traces – sufficient to support their circular composition principle (§9.2) – but not their beginnings. Our interference closure (§9.3) makes this symmetric, ensuring that parallel composition conforms to expectations: the monad laws hold as do many of the “laws of programming” (§13.3.1). We define the *prog* type (§13.1) to be the interference-closed specifications. We reason about programs in *prog* using propositions in *spec* via a pair of morphisms that form a Galois connection (§13.2).

**Refinement.** Abadi and Plotkin’s approach does not support refinement in our setting. We therefore adopt a “next step” implication (§10) and develop a logical account of compositional program refinement (§12). Refinement here is trace inclusion (i.e., the preservation of all safety properties).

**Relational assume/guarantee.** The definition of relational assume/guarantee in this setting is pleasantly intuitive (§12.2). Its key strength is that program phrases can be abstracted to relational assume/guarantee quadruples that can then be used as program phrases (§13.5). This generalises Morgan’s specification statement to a concurrent setting.

**State spaces.** As is traditional with shallow embeddings in HOL, we defer state space and value considerations using polymorphism. We develop a mechanism that partially encapsulates local state (§15).

**Miscellany.** Along the way we assemble some facts about Heyting algebras (§7), and sometimes construct our closures (§5) from Galois connections (§6). We explore the impact of using safety properties and this mix of finite and infinite sequences on TLA (§16).

## 2 Terminated Aczel sequences

We model a *behavior* of a system as a non-empty finite or infinite sequence of the form  $s_0 - a_1 \rightarrow s_1 - a_2 \rightarrow \dots (\rightarrow v)$ ? where  $s_i$  is a state,  $a_i$  an agent and  $v$  a return value for finite sequences (see §16). A *trace* is a finite sequence  $s_0 - a_1 \rightarrow s_1 - a_2 \rightarrow \dots - a_n \rightarrow s_n \rightarrow v$  for  $n \geq 0$  with optional return value  $v$  (see §8). States, agents and return values are of arbitrary type.

### 2.1 Traces

$\langle ML \rangle$

```
datatype (aset: 'a, sset: 's, vset: 'v) t =
  T (init: 's) (rest: ('a × 's) list) (term: 'v option)
```

**for**

```
map: map
pred: pred
rel: rel
```

```
declare trace.t.map-id0[simp]
declare trace.t.map-id0[unfolded id-def, simp]
declare trace.t.map-sel[simp]
declare trace.t.set-map[simp]
declare trace.t.map-comp[unfolded o-def, simp]
declare trace.t.set[simp del]
```

```
instance trace.t :: (countable, countable, countable) countable  $\langle$ proof $\rangle$ 
```

```
lemma split-all[no-atp]: — imitate the setup for 'a × 'b without the automation
  shows (∧x. PROP P x) ≡ (∧s xs v. PROP P (trace.T s xs v))
 $\langle$ proof $\rangle$ 
```

```
lemma split-All[no-atp]:
  shows (∀x. P x) ↔ (∀s xs v. P (trace.T s xs v)) (is ?lhs ↔ ?rhs)
 $\langle$ proof $\rangle$ 
```

```
lemma split-Ex[no-atp]:
  shows (∃x. P x) ↔ (∃s xs v. P (trace.T s xs v)) (is ?lhs ↔ ?rhs)
 $\langle$ proof $\rangle$ 
```

### 2.2 Combinators on traces

```
definition final' :: 's ⇒ ('a × 's) list ⇒ 's where
  final' s xs = last (s # map snd xs)
```

```
abbreviation (input) final :: ('a, 's, 'v) trace.t ⇒ 's where
  final σ ≡ trace.final' (trace.init σ) (trace.rest σ)
```

```
definition continue :: ('a, 's, 'v) trace.t ⇒ ('a × 's) list × 'v option ⇒ ('a, 's, 'v) trace.t (infixl <@-s> 64)
where
  σ @-s xsv = (case trace.term σ of None ⇒ trace.T (trace.init σ) (trace.rest σ @ fst xsv) (snd xsv) | Some v
  ⇒ σ)
```

```
definition tl :: ('a, 's, 'v) trace.t → ('a, 's, 'v) trace.t where
  tl σ = (case trace.rest σ of [] ⇒ None | x # xs ⇒ Some (trace.T (snd x) xs (trace.term σ)))
```

```
definition dropn :: nat ⇒ ('a, 's, 'v) trace.t → ('a, 's, 'v) trace.t where
  dropn = (˜) trace.tl
```

**definition**  $take :: nat \Rightarrow ('a, 's, 'v) trace.t \Rightarrow ('a, 's, 'v) trace.t$  **where**

$take\ i\ \sigma = (if\ i \leq length\ (trace.rest\ \sigma)\ then\ trace.T\ (trace.init\ \sigma)\ (List.take\ i\ (trace.rest\ \sigma))\ None\ else\ \sigma)$

**type-synonym**  $('a, 's) transitions = ('a \times 's \times 's) list$

**primrec**  $transitions' :: 's \Rightarrow ('a \times 's) list \Rightarrow ('a, 's) trace.transitions$  **where**

$transitions'\ s\ [] = []$

$| transitions'\ s\ (x \# xs) = (fst\ x,\ s,\ snd\ x) \# transitions'\ (snd\ x)\ xs$

**abbreviation**  $(input) transitions :: ('a, 's, 'v) trace.t \Rightarrow ('a, 's) trace.transitions$  **where**

$transitions\ \sigma \equiv trace.transitions'\ (trace.init\ \sigma)\ (trace.rest\ \sigma)$

$\langle ML \rangle$

**lemma**  $simps[simp]$ :

**shows**  $trace.final'\ s\ [] = s$

**and**  $trace.final'\ s\ (x \# xs) = trace.final'\ (snd\ x)\ xs$

**and**  $trace.final'\ s\ (xs @ ys) = trace.final'\ (trace.final'\ s\ xs)\ ys$

**and**  $idle: snd\ 'set\ xs \subseteq \{s\} \implies trace.final'\ s\ xs = s$

**and**  $snd\ 'set\ xs \subseteq \{s\} \implies trace.final'\ s\ (xs @ ys) = trace.final'\ s\ ys$

**and**  $snd\ 'set\ ys \subseteq \{trace.final'\ s\ xs\} \implies trace.final'\ s\ (xs @ ys) = trace.final'\ s\ xs$

$\langle proof \rangle$

**lemma**  $map$ :

**shows**  $trace.final'\ (sf\ s)\ (map\ (map-prod\ af\ sf)\ xs) = sf\ (trace.final'\ s\ xs)$

$\langle proof \rangle$

**lemma**  $replicate$ :

**shows**  $trace.final'\ s\ (replicate\ i\ as) = (if\ i = 0\ then\ s\ else\ snd\ as)$

$\langle proof \rangle$

**lemma**  $map-idle$ :

**assumes**  $(\lambda x. sf\ (snd\ x))\ 'set\ xs \subseteq \{sf\ s\}$

**shows**  $sf\ (trace.final'\ s\ xs) = sf\ s$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $simps[simp]$ :

**shows**  $trace.tl\ (trace.T\ s\ []\ v) = None$

**and**  $trace.tl\ (trace.T\ s\ (x \# xs)\ v) = Some\ (trace.T\ (snd\ x)\ xs\ v)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $dropn-alt-def$ :

**shows**  $trace.dropn\ i\ \sigma$

$= (case\ drop\ i\ ((undefined,\ trace.init\ \sigma) \# trace.rest\ \sigma)\ of$

$[] \Rightarrow None$

$| x \# xs \Rightarrow Some\ (trace.T\ (snd\ x)\ xs\ (trace.term\ \sigma)))$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $simps[simp]$ :

**shows**  $0: trace.dropn\ 0 = Some$

**and**  $Suc: trace.dropn\ (Suc\ i)\ \sigma = Option.bind\ (trace.tl\ \sigma)\ (trace.dropn\ i)$

**and**  $dropn: Option.bind\ (trace.dropn\ i\ \sigma)\ (trace.dropn\ j) = trace.dropn\ (i + j)\ \sigma$

*<proof>*

**lemma** *Suc-right*:

**shows**  $\text{trace.dropn } (Suc\ i)\ \sigma = \text{Option.bind } (\text{trace.dropn } i\ \sigma)\ \text{trace.tl}$

*<proof>*

**lemma** *eq-none-length-conv*:

**shows**  $\text{trace.dropn } i\ \sigma = \text{None} \longleftrightarrow \text{length } (\text{trace.rest } \sigma) < i$

*<proof>*

**lemma** *eq-some-length-conv*:

**shows**  $(\exists \sigma'. \text{trace.dropn } i\ \sigma = \text{Some } \sigma') \longleftrightarrow i \leq \text{length } (\text{trace.rest } \sigma)$

*<proof>*

**lemma** *eq-some-lengthD*:

**assumes**  $\text{trace.dropn } i\ \sigma = \text{Some } \sigma'$

**shows**  $i \leq \text{length } (\text{trace.rest } \sigma)$

*<proof>*

*<ML>*

**lemma** *sel*:

**shows**  $\text{trace.init } (\text{trace.take } i\ \sigma) = \text{trace.init } \sigma$

**and**  $\text{trace.rest } (\text{trace.take } i\ \sigma) = \text{List.take } i\ (\text{trace.rest } \sigma)$

**and**  $\text{trace.term } (\text{trace.take } i\ \sigma) = (\text{if } i \leq \text{length } (\text{trace.rest } \sigma)\ \text{then } \text{None}\ \text{else } \text{trace.term } \sigma)$

*<proof>*

**lemma** *0*:

**shows**  $\text{trace.take } 0\ \sigma = \text{trace.T } (\text{trace.init } \sigma)\ []\ \text{None}$

*<proof>*

**lemma** *Nil*:

**shows**  $\text{trace.take } i\ (\text{trace.T } s\ []\ \text{None}) = \text{trace.T } s\ []\ \text{None}$

*<proof>*

**lemmas** *simps[simp]* =

*trace.take.sel*

*trace.take.0*

*trace.take.Nil*

**lemma** *map*:

**shows**  $\text{trace.take } i\ (\text{trace.map } af\ sf\ vf\ \sigma) = \text{trace.map } af\ sf\ vf\ (\text{trace.take } i\ \sigma)$

*<proof>*

**lemma** *append*:

**shows**  $\text{trace.take } i\ (\text{trace.T } s\ (xs\ @\ ys)\ v) = \text{trace.T } s\ (\text{List.take } i\ (xs\ @\ ys))\ (\text{if } \text{length } (xs\ @\ ys) < i\ \text{then } v\ \text{else } \text{None})$

*<proof>*

**lemma** *take*:

**shows**  $\text{trace.take } i\ (\text{trace.take } j\ \sigma) = \text{trace.take } (\min\ i\ j)\ \sigma$

*<proof>*

**lemma** *continue*:

**shows**  $\text{trace.take } i\ (\sigma\ @_{-S}\ xsv)$

$= \text{trace.take } i\ \sigma\ @_{-S}\ (\text{List.take } (i - \text{length } (\text{trace.rest } \sigma))\ (\text{fst } xsv)),$

$\text{if } i \leq \text{length } (\text{trace.rest } \sigma) + \text{length } (\text{fst } xsv)\ \text{then } \text{None}\ \text{else } \text{snd } xsv)$

*<proof>*

**lemma** *all-iff*:

**shows**  $\text{trace.take } i \ \sigma = \sigma \longleftrightarrow (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{length } (\text{trace.rest } \sigma) \mid \text{Some } - \Rightarrow \text{Suc } (\text{length } (\text{trace.rest } \sigma))) \leq i$  (**is** *?thesis1*)

**and**  $\sigma = \text{trace.take } i \ \sigma \longleftrightarrow (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{length } (\text{trace.rest } \sigma) \mid \text{Some } - \Rightarrow \text{Suc } (\text{length } (\text{trace.rest } \sigma))) \leq i$  (**is** *?thesis2*)

*<proof>*

**lemmas**  $\text{all} = \text{iffD2}[\text{OF } \text{trace.take.all-iff}(1)]$

**lemma** *Ex-all*:

**shows**  $\sigma = \text{trace.take } (\text{Suc } (\text{length } (\text{trace.rest } \sigma))) \ \sigma$

*<proof>*

**lemma** *replicate*:

**shows**  $\text{trace.take } i \ (\text{trace.T } s \ (\text{replicate } j \ as) \ v)$   
 $= \text{trace.T } s \ (\text{replicate } (\text{min } i \ j) \ as) \ (\text{if } i \leq j \ \text{then } \text{None} \ \text{else } v)$

*<proof>*

*<ML>*

**lemma** *sel[simp]*:

**shows**  $\text{trace.init } (\sigma \ @_{-S} \ xs) = \text{trace.init } \sigma$   
**and**  $\text{trace.rest } (\sigma \ @_{-S} \ xs) = (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{trace.rest } \sigma \ @ \ \text{fst } xs \mid \text{Some } v \Rightarrow \text{trace.rest } \sigma)$   
**and**  $\text{trace.term } (\sigma \ @_{-S} \ xs) = (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{snd } xs \mid \text{Some } v \Rightarrow \text{trace.term } \sigma)$

*<proof>*

**lemma** *simps[simp]*:

**shows**  $\text{trace.T } s \ xs \ \text{None} \ @_{-S} \ ysv = \text{trace.T } s \ (xs \ @ \ \text{fst } ysv) \ (\text{snd } ysv)$   
**and**  $\text{trace.T } s \ xs \ (\text{Some } v) \ @_{-S} \ ysv = \text{trace.T } s \ xs \ (\text{Some } v)$   
**and**  $\sigma \ @_{-S} \ ([], \ \text{None}) = \sigma$

*<proof>*

**lemma** *Nil*:

**shows**  $\sigma \ @_{-S} \ ([], \ \text{trace.term } \sigma) = \sigma$   
**and**  $\text{trace.T } (\text{trace.init } \sigma) \ [] \ \text{None} \ @_{-S} \ (\text{trace.rest } \sigma, \ \text{trace.term } \sigma) = \sigma$

*<proof>*

**lemma** *map*:

**shows**  $\text{trace.map } af \ sf \ vf \ (\sigma \ @_{-S} \ xs) = \text{trace.map } af \ sf \ vf \ \sigma \ @_{-S} \ \text{map-prod } (\text{map } (\text{map-prod } af \ sf)) \ (\text{map-option } vf) \ xs$

*<proof>*

**lemma** *eq-trace-conv*:

**shows**  $\sigma \ @_{-S} \ xs = \text{trace.T } s \ xs \ v \longleftrightarrow \text{trace.init } \sigma = s \wedge (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{trace.rest } \sigma \ @ \ \text{fst } xs \mid \text{Some } v' \Rightarrow \text{trace.rest } \sigma = xs \wedge v = \text{Some } v')$

**and**  $\text{trace.T } s \ xs \ v = \sigma \ @_{-S} \ xs \longleftrightarrow \text{trace.init } \sigma = s \wedge (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{trace.rest } \sigma \ @ \ \text{fst } xs \mid \text{Some } v' \Rightarrow \text{trace.rest } \sigma = xs \wedge v = \text{Some } v')$

*<proof>*

**lemma** *self-conv*:

**shows**  $(\sigma = \sigma \ @_{-S} \ xs) \longleftrightarrow (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow xs = ([], \ \text{None}) \mid \text{Some } - \Rightarrow \text{True})$   
**and**  $(\sigma \ @_{-S} \ xs = \sigma) \longleftrightarrow (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow xs = ([], \ \text{None}) \mid \text{Some } - \Rightarrow \text{True})$

*<proof>*

**lemma** *same-eq*:

**shows**  $(\sigma \ @_{-S} \ xs = \sigma \ @_{-S} \ ysv) \longleftrightarrow (\text{case } \text{trace.term } \sigma \text{ of } \text{None} \Rightarrow xs = ysv \mid \text{Some } - \Rightarrow \text{True})$

*<proof>*

**lemma** *continue*:

**shows**  $\sigma @-s xsv @-s ysv = \sigma @-s (\text{case } \text{snd } xsv \text{ of } \text{None} \Rightarrow (\text{fst } xsv @ \text{fst } ysv, \text{snd } ysv) \mid \text{Some } - \Rightarrow xsv)$   
*<proof>*

**lemma** *take-drop-id*:

**shows**  $\text{trace.take } i \sigma @-s \text{case-option } ([], \text{None}) (\lambda \sigma'. (\text{trace.rest } \sigma', \text{trace.term } \sigma')) (\text{trace.dropn } i \sigma) = \sigma$   
*<proof>*

*<ML>*

**Prefix ordering instantiation**  $\text{trace.t} :: (\text{type}, \text{type}, \text{type}) \text{ order}$   
**begin**

**definition** *less-eq-t* ::  $(\text{'a}, \text{'s}, \text{'v}) \text{ trace.t relp}$  **where**

$\text{less-eq-t } \sigma_1 \sigma_2 \longleftrightarrow (\exists xsv. \sigma_2 = \sigma_1 @-s xsv)$

**definition** *less-t* ::  $(\text{'a}, \text{'s}, \text{'v}) \text{ trace.t relp}$  **where**

$\text{less-t } \sigma_1 \sigma_2 \longleftrightarrow \sigma_1 \leq \sigma_2 \wedge \sigma_1 \neq \sigma_2$

**instance**

*<proof>*

**end**

**lemma** *less-eqE*[*consumes 1, case-names prefix maximal*]:

**assumes**  $\sigma_1 \leq \sigma_2$

**assumes**  $\llbracket \text{trace.term } \sigma_1 = \text{None}; \text{trace.init } \sigma_1 = \text{trace.init } \sigma_2; \text{prefix } (\text{trace.rest } \sigma_1) (\text{trace.rest } \sigma_2) \rrbracket \Longrightarrow P$

**assumes**  $\bigwedge v. \llbracket \text{trace.term } \sigma_1 = \text{Some } v; \sigma_1 = \sigma_2 \rrbracket \Longrightarrow P$

**shows**  $P$

*<proof>*

**lemmas** *less-eq-extE*[*consumes 1, case-names prefix maximal*]

$= \text{trace.less-eqE}$ [*of trace.T s<sub>1</sub> xs<sub>1</sub> v<sub>1</sub> trace.T s<sub>2</sub> xs<sub>2</sub> v<sub>2</sub>, simplified, simplified conj-explode*]

**for**  $s_1 \ xs_1 \ v_1 \ s_2 \ xs_2 \ v_2$

**lemma** *less-eq-self-continue*:

**shows**  $\sigma \leq \sigma @-s xsv$

*<proof>*

**lemma** *less-eq-same-append-conv*:

**shows**  $\text{trace.T } s \ xs \ v \leq \text{trace.T } s' \ (xs @ ys) \ v' \longleftrightarrow s = s' \wedge (\forall v''. v = \text{Some } v'' \longrightarrow ys = [] \wedge v = v')$

*<proof>*

**lemma** *less-same-append-conv*:

**shows**  $\text{trace.T } s \ xs \ v < \text{trace.T } s' \ (xs @ ys) \ v' \longleftrightarrow s = s' \wedge v = \text{None} \wedge (ys \neq [] \vee (\exists v''. v' = \text{Some } v''))$

*<proof>*

**lemma** *less-eq-Some*[*simp*]:

**shows**  $\text{trace.T } s \ xs \ (\text{Some } v) \leq \sigma \longleftrightarrow \text{trace.init } \sigma = s \wedge \text{trace.rest } \sigma = xs \wedge \text{trace.term } \sigma = \text{Some } v$

*<proof>*

**lemma** *less-eq-None*:

**shows**  $\sigma \leq \text{trace.T } s \ xs \ \text{None} \longleftrightarrow \text{trace.init } \sigma = s \wedge \text{prefix } (\text{trace.rest } \sigma) \ xs \wedge \text{trace.term } \sigma = \text{None}$

**and**  $\text{trace.T } s \ xs \ \text{None} \leq \sigma \longleftrightarrow \text{trace.init } \sigma = s \wedge \text{prefix } xs \ (\text{trace.rest } \sigma)$

*<proof>*

**lemma** *less*:

**shows**  $\text{trace}.T\ s\ xs\ v < \sigma \iff \text{trace}.init\ \sigma = s \wedge (\exists ys. \text{trace}.rest\ \sigma = xs @ ys \wedge (\text{trace}.term\ \sigma = None \longrightarrow ys \neq [])) \wedge v = None$

**and**  $\sigma < \text{trace}.T\ s\ xs\ v \iff \text{trace}.init\ \sigma = s \wedge (\exists ys. xs = \text{trace}.rest\ \sigma @ ys \wedge (v = None \longrightarrow ys \neq [])) \wedge \text{trace}.term\ \sigma = None$

$\langle \text{proof} \rangle$

**lemma** *less-eq-take[iff]*:

**shows**  $\text{trace}.take\ i\ \sigma \leq \sigma$

$\langle \text{proof} \rangle$

**lemma** *less-eq-takeE*:

**assumes**  $\sigma_1 \leq \sigma_2$

**obtains**  $i$  **where**  $\sigma_1 = \text{trace}.take\ i\ \sigma_2$

$\langle \text{proof} \rangle$

**lemma** *less-eq-take-def*:

**shows**  $\sigma_1 \leq \sigma_2 \iff (\exists i. \sigma_1 = \text{trace}.take\ i\ \sigma_2)$

$\langle \text{proof} \rangle$

**lemma** *less-take-less-eq*:

**assumes**  $\sigma < \text{trace}.take\ (Suc\ i)\ \sigma'$

**shows**  $\sigma \leq \text{trace}.take\ i\ \sigma'$

$\langle \text{proof} \rangle$

**lemma** *wfP-less*:

**shows**  $wfP\ ((<) :: (-, -, -)\ \text{trace}.t\ \text{relp})$

$\langle \text{proof} \rangle$

**lemma** *less-eq-same-cases*:

**fixes**  $ys :: (-, -, -)\ \text{trace}.t$

**assumes**  $xs_1 \leq ys$

**assumes**  $xs_2 \leq ys$

**shows**  $xs_1 \leq xs_2 \vee xs_2 \leq xs_1$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *mono*:

**assumes**  $\sigma_1 \leq \sigma_2$

**assumes**  $i \leq j$

**shows**  $\text{trace}.take\ i\ \sigma_1 \leq \text{trace}.take\ j\ \sigma_2$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas**  $\text{map} = \text{trace}.t.\text{map}\text{-comp}[\text{unfolded}\ \text{comp}\text{-def}]$

**lemma** *monotone*:

**shows**  $\text{mono}\ (\text{trace}.map\ af\ sf\ vf)$

$\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[strg] = \text{st}\text{-monotone}[OF\ \text{trace}.map.\text{monotone}]$

**lemmas**  $\text{mono} = \text{monoD}[OF\ \text{trace}.map.\text{monotone}]$

**lemma** *monotone-less*:

**shows**  $\text{monotone}\ (<)\ (<)\ (\text{trace}.map\ af\ sf\ vf)$

$\langle \text{proof} \rangle$

**lemma** *less-eqR*:

**assumes**  $\sigma_1 \leq \text{trace.map af sf vf } \sigma_2$

**obtains**  $\sigma_2'$  **where**  $\sigma_2' \leq \sigma_2$  **and**  $\sigma_1 = \text{trace.map af sf vf } \sigma_2'$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemmas**  $\text{eq} = \text{trace.t.rel-eq}$

**lemmas**  $\text{mono} = \text{trace.t.rel-mono-strong}[\text{of ar sr vr } \sigma_1 \sigma_2 \text{ ar}' \text{ sr}' \text{ vr}']$  **for**  $\text{ar sr vr } \sigma_1 \sigma_2 \text{ ar}' \text{ sr}' \text{ vr}'$

**lemma** *strengthen[strg]*:

**assumes**  $\text{st-ord } F \text{ ar ar}'$

**assumes**  $\text{st-ord } F \text{ sr sr}'$

**assumes**  $\text{st-ord } F \text{ vr vr}'$

**shows**  $\text{st-ord } F (\text{trace.rel ar sr vr } \sigma_1 \sigma_2) (\text{trace.rel ar}' \text{ sr}' \text{ vr}' \sigma_1 \sigma_2)$

$\langle \text{proof} \rangle$

**lemma** *length-rest*:

**assumes**  $\text{trace.rel ar sr vr } \sigma_1 \sigma_2$

**shows**  $\text{length} (\text{trace.rest } \sigma_1)$

$= \text{length} (\text{trace.rest } \sigma_2) \wedge (\forall i < \text{length} (\text{trace.rest } \sigma_1). \text{rel-prod ar sr} (\text{trace.rest } \sigma_1 ! i) (\text{trace.rest } \sigma_2 ! i))$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *rel*:

**assumes**  $\text{trace.rel ar sr vr } \sigma_1 \sigma_2$

**shows**  $\text{trace.rel ar sr vr} (\text{trace.take } i \sigma_1) (\text{trace.take } i \sigma_2)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *prefix-conv*:

**shows**  $\text{prefix} (\text{trace.transitions}' s \text{ xs}) (\text{trace.transitions}' s \text{ ys}) \longleftrightarrow \text{prefix xs ys}$

$\langle \text{proof} \rangle$

**lemma** *monotone*:

**shows**  $\text{monotone prefix prefix} (\text{trace.transitions}' s)$

$\langle \text{proof} \rangle$

**lemma** *append*:

**shows**  $\text{trace.transitions}' s (\text{xs} @ \text{ys}) = \text{trace.transitions}' s \text{ xs} @ \text{trace.transitions}' (\text{trace.final}' s \text{ xs}) \text{ ys}$

$\langle \text{proof} \rangle$

**lemma** *eq-Nil-conv*:

**shows**  $\text{trace.transitions}' s \text{ xs} = [] \longleftrightarrow \text{xs} = []$

**and**  $[] = \text{trace.transitions}' s \text{ xs} \longleftrightarrow \text{xs} = []$

$\langle \text{proof} \rangle$

**lemma** *eq-Cons-conv*:

**shows**  $\text{trace.transitions}' s \text{ xs} = y \# \text{ys} \longleftrightarrow (\exists a s' \text{xs}'. \text{xs} = (a, s') \# \text{xs}' \wedge y = (a, s, s') \wedge \text{ys} = \text{trace.transitions}' s' \text{xs}')$

**and**  $y \# \text{ys} = \text{trace.transitions}' s \text{xs} \longleftrightarrow (\exists a s' \text{xs}'. \text{xs} = (a, s') \# \text{xs}' \wedge y = (a, s, s') \wedge \text{ys} = \text{trace.transitions}' s' \text{xs}')$

$\langle \text{proof} \rangle$

**lemma** *inj-conv*:

**shows**  $\text{trace.transitions}' s xs = \text{trace.transitions}' s ys \longleftrightarrow xs = ys$   
*<proof>*

**lemma** *continue*:

**shows**  $\text{trace.transitions} (\sigma @_{-s} xsv)$   
 $= \text{trace.transitions} \sigma @ (\text{case trace.term } \sigma \text{ of None } \Rightarrow \text{trace.transitions}' (\text{trace.final } \sigma) (\text{fst } xsv) \mid \text{Some } v \Rightarrow$   
 $[])$   
*<proof>*

**lemma** *idle-conv*:

**shows**  $\text{set} (\text{trace.transitions}' s xs) \subseteq \text{UNIV} \times \text{Id} \longleftrightarrow \text{snd } ' \text{ set } xs \subseteq \{s\}$   
*<proof>*

**lemma** *map*:

**shows**  $\text{trace.transitions}' (sf s) (\text{map} (\text{map-prod } af \ sf) xs)$   
 $= \text{map} (\text{map-prod } af (\text{map-prod } sf \ sf)) (\text{trace.transitions}' s xs)$   
*<proof>*

*<ML>*

**lemma** *monotone*:

**shows** *monotone* ( $\leq$ ) *prefix*  $\text{trace.transitions}$   
*<proof>*

**lemmas** *mono* = *monotoneD*[*OF*  $\text{trace.transitions.monotone}$ ]

**lemma** *subseq*:

**assumes**  $\sigma \leq \sigma'$   
**shows** *subseq* ( $\text{trace.transitions} \sigma$ ) ( $\text{trace.transitions} \sigma'$ )  
*<proof>*

*<ML>*

**type-synonym** ( $'a, 's$ ) *steps* = ( $'a \times 's \times 's$ ) *set*

*<ML>*

**definition** *steps'* ::  $'s \Rightarrow ('a \times 's) \text{ list} \Rightarrow ('a, 's) \text{ steps}$  **where**

$\text{steps}' s xs = \text{set} (\text{trace.transitions}' s xs) - \text{UNIV} \times \text{Id}$

**abbreviation** (*input*) *steps* ::  $('a, 's, 'v) \text{ trace.t} \Rightarrow ('a, 's) \text{ steps}$  **where**

$\text{steps} \sigma \equiv \text{trace.steps}' (\text{trace.init } \sigma) (\text{trace.rest } \sigma)$

*<ML>*

**lemma** *simps*[*simp*]:

**shows**  $\text{trace.steps}' s [] = \{\}$   
**and**  $\text{trace.steps}' s ((a, s) \# xs) = \text{trace.steps}' s xs$   
**and**  $s \neq \text{snd } x \Longrightarrow \text{trace.steps}' s (x \# xs) = \text{insert} (\text{fst } x, s, \text{snd } x) (\text{trace.steps}' (\text{snd } x) xs)$   
**and**  $(a, s', s') \notin \text{trace.steps}' s xs$   
**and**  $\text{snd } ' \text{ set } xs \subseteq \{s\} \Longrightarrow \text{trace.steps}' s xs = \{\}$   
**and**  $\text{trace.steps}' s [x] = (\text{if } s = \text{snd } x \text{ then } \{\} \text{ else } \{(\text{fst } x, s, \text{snd } x)\})$   
*<proof>*

**lemma** *Cons-eq-if*:

**shows**  $\text{trace.steps}' s (x \# xs)$   
 $= (\text{if } s = \text{snd } x \text{ then } \text{trace.steps}' s xs \text{ else } \text{insert} (\text{fst } x, s, \text{snd } x) (\text{trace.steps}' (\text{snd } x) xs))$

$\langle \text{proof} \rangle$

**lemma** *stuttering*:

**shows**  $\text{trace.steps}' s xs \subseteq r \cup A \times Id \iff \text{trace.steps}' s xs \subseteq r$

**and**  $\text{trace.steps}' s xs \subseteq A \times Id \cup r \iff \text{trace.steps}' s xs \subseteq r$

$\langle \text{proof} \rangle$

**lemma** *empty-conv[simp]*:

**shows**  $\text{trace.steps}' s xs = \{\} \iff \text{snd } ' \text{ set } xs \subseteq \{s\} \text{ (is ?thesis1)}$

**and**  $\{\} = \text{trace.steps}' s xs \iff \text{snd } ' \text{ set } xs \subseteq \{s\} \text{ (is ?thesis2)}$

$\langle \text{proof} \rangle$

**lemma** *append*:

**shows**  $\text{trace.steps}' s (xs @ ys)$

$= \text{trace.steps}' s xs \cup \text{trace.steps}' (\text{trace.final}' s xs) ys$

$\langle \text{proof} \rangle$

**lemma** *map*:

**shows**  $\text{trace.steps}' (sf s) (\text{map } (\text{map-prod } af sf) xs) = \text{map-prod } af (\text{map-prod } sf sf) ' \text{trace.steps}' s xs - UNIV \times Id$

**and**  $\text{trace.steps}' s (\text{map } (\text{map-prod } af id) xs) = \text{map-prod } af id ' \text{trace.steps}' s xs - UNIV \times Id$

$\langle \text{proof} \rangle$

**lemma** *memberD*:

**assumes**  $(a, s, s') \in \text{trace.steps}' s_0 xs$

**shows**  $(a, s') \in \text{set } xs$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *monotone*:

**shows**  $\text{mono } \text{trace.steps}$

$\langle \text{proof} \rangle$

**lemmas**  $\text{mono} = \text{monoD}[OF \text{trace.steps.monotone}]$

**lemmas**  $\text{strengthen}[strg] = \text{st-monotone}[OF \text{trace.steps.monotone}]$

$\langle ML \rangle$

**lemma** *simps*:

**shows**  $\text{trace.aset } (\text{trace.T } s xs v) = \text{fst } ' \text{set } xs$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *simps*:

**shows**  $\text{trace.sset } (\text{trace.T } s xs v) = \text{insert } s (\text{snd } ' \text{set } xs)$

$\langle \text{proof} \rangle$

**lemma** *dropn-le*:

**assumes**  $\text{trace.dropn } i \sigma = \text{Some } \sigma'$

**shows**  $\text{trace.sset } \sigma' \subseteq \text{trace.sset } \sigma$

$\langle \text{proof} \rangle$

**lemma** *take-le*:

**shows**  $\text{trace.sset } (\text{trace.take } i \sigma) \subseteq \text{trace.sset } \sigma$

$\langle \text{proof} \rangle$

**lemma** *mono*:

**shows** *mono trace.sset*

*<proof>*

*<ML>*

## 2.3 Behaviors

*<ML>*

**datatype** (*aset*: 'a, *sset*: 's, *vset*: 'v) *t* =  
  *B* (*init*: 's) (*rest*: ('a × 's, 'v) *tlist*)

**for**

*map*: *map*

**definition** *term* :: ('a, 's, 'v) *behavior.t* ⇒ 'v *option* **where**

*term* ω = (if *tfinite* (*behavior.rest* ω) then *Some* (*terminal* (*behavior.rest* ω)) else *None*)

**declare** *behavior.t.map-id0*[*simp*]

**declare** *behavior.t.map-id0*[*unfolded id-def, simp*]

**declare** *behavior.t.map-sel*[*simp*]

**declare** *behavior.t.set-map*[*simp*]

**declare** *behavior.t.map-comp*[*unfolded o-def, simp*]

**declare** *behavior.t.set*[*simp del*]

**lemma** *split-all*[*no-atp*]: — imitate the setup for 'a × 'b without the automation

**shows** (∧*x*. *PROP P x*) ≡ (∧*s xs*. *PROP P (behavior.B s xs)*)

*<proof>*

**lemma** *split-All*[*no-atp*]:

**shows** (∀*x*. *P x*) ↔ (∀*s xs*. *P (behavior.B s xs)*) (**is** ?*lhs* ↔ ?*rhs*)

*<proof>*

**lemma** *split-Ex*[*no-atp*]:

**shows** (∃*x*. *P x*) ↔ (∃*s xs*. *P (behavior.B s xs)*) (**is** ?*lhs* ↔ ?*rhs*)

*<proof>*

## 2.4 Combinators on behaviors

**definition** *continue* :: ('a, 's, 'v) *trace.t* ⇒ ('a × 's, 'v) *tlist* ⇒ ('a, 's, 'v) *behavior.t* (**infix** <@-*B*> 64) **where**

σ @-*B* *xs* = *behavior.B* (*trace.init* σ) (*tshift2* (*trace.rest* σ, *trace.term* σ) *xs*)

**definition** *tl* :: ('a, 's, 'v) *behavior.t* → ('a, 's, 'v) *behavior.t* **where**

*tl* ω = (case *behavior.rest* ω of *TNil v* ⇒ *None* | *TCons x xs* ⇒ *Some (behavior.B (snd x) xs)*)

**definition** *dropn* :: nat ⇒ ('a, 's, 'v) *behavior.t* → ('a, 's, 'v) *behavior.t* **where**

*dropn* = (∧) *behavior.tl*

**definition** *take* :: nat ⇒ ('a, 's, 'v) *behavior.t* ⇒ ('a, 's, 'v) *trace.t* **where**

*take i* ω = *uncurry* (*trace.T* (*behavior.init* ω)) (*ttake i* (*behavior.rest* ω))

*<ML>*

**lemma** *simps*:

**shows** *trace.T s xs None* @-*B* *ys* = *behavior.B s (tshift xs ys)*

**and** *trace.T s xs (Some v)* @-*B* *ys* = *behavior.B s (tshift xs (TNil v))*

**and** *trace.T s (x # xs) w* @-*B* *ys* = *behavior.B s (TCons x (tshift2 (xs, w) ys))*

*<proof>*

**lemma** *sel[simp]*:

**shows** *init*:  $\text{behavior.init } (\sigma @_{-B} xs) = \text{trace.init } \sigma$

**and** *rest*:  $\text{behavior.rest } (\sigma @_{-B} xs) = \text{tshift2 } (\text{trace.rest } \sigma, \text{trace.term } \sigma) xs$

*<proof>*

**lemma** *term-None*:

**assumes**  $\text{trace.term } \sigma = \text{None}$

**shows**  $\sigma @_{-B} xs = \text{behavior.B } (\text{trace.init } \sigma) (\text{tshift } (\text{trace.rest } \sigma) xs)$

*<proof>*

**lemma** *term-Some*:

**assumes**  $\text{trace.term } \sigma = \text{Some } v$

**shows**  $\sigma @_{-B} xs = \text{behavior.B } (\text{trace.init } \sigma) (\text{tshift } (\text{trace.rest } \sigma) (\text{TNil } v))$

*<proof>*

**lemma** *tshift2*:

**shows**  $\sigma @_{-B} \text{tshift2 } xsv ys = (\sigma @_{-S} xsv) @_{-B} ys$

*<proof>*

*<ML>*

**lemma** *TNil*:

**shows**  $\text{behavior.tl } (\text{behavior.B } s (\text{TNil } v)) = \text{None}$

*<proof>*

**lemma** *TCons*:

**shows**  $\text{behavior.tl } (\text{behavior.B } s (\text{TCons } x xs)) = \text{Some } (\text{behavior.B } (\text{snd } x) xs)$

*<proof>*

**lemma** *eq-None-conv*:

**shows**  $\text{behavior.tl } \omega = \text{None} \iff \text{is-TNil } (\text{behavior.rest } \omega)$

*<proof>*

**lemma** *continue-Cons*:

**shows**  $\text{behavior.tl } (\text{trace.T } s (x \# xs) v @_{-B} ys) = \text{Some } (\text{trace.T } (\text{snd } x) xs v @_{-B} ys)$

*<proof>*

**lemmas** *simps[simp]* =

*behavior.tl.TNil*

*behavior.tl.TCons*

*behavior.tl.eq-None-conv*

*behavior.tl.continue-Cons*

**lemma** *tfiniteD*:

**assumes**  $\text{behavior.tl } \omega = \text{Some } \omega'$

**shows**  $\text{tfinite } (\text{behavior.rest } \omega') \iff \text{tfinite } (\text{behavior.rest } \omega)$

*<proof>*

*<ML>*

**lemma** *dropn-alt-def*:

**shows**  $\text{behavior.dropn } i \omega$

$= (\text{case } \text{tdropn } i (\text{TCons } (\text{undefined}, \text{behavior.init } \omega) (\text{behavior.rest } \omega)) \text{ of}$

$\text{TNil} - \Rightarrow \text{None}$

$| \text{TCons } x xs \Rightarrow \text{Some } (\text{behavior.B } (\text{snd } x) xs))$

*<proof>*

$\langle ML \rangle$

**lemma** *simps[simp]*:

**shows**  $0$ :  $\text{behavior.dropn } 0 \ \omega = \text{Some } \omega$

**and** *TNil*:  $\text{behavior.dropn } i \ (\text{behavior.B } s \ (\text{TNil } v)) = (\text{case } i \text{ of } 0 \Rightarrow \text{Some } (\text{behavior.B } s \ (\text{TNil } v)) \mid - \Rightarrow \text{None})$

$\langle \text{proof} \rangle$

**lemma** *TCons*:

**shows**  $\text{behavior.dropn } i \ (\text{behavior.B } s \ (\text{TCons } x \ xs))$

$= (\text{case } i \text{ of } 0 \Rightarrow \text{Some } (\text{behavior.B } s \ (\text{TCons } x \ xs)) \mid \text{Suc } j \Rightarrow \text{behavior.dropn } j \ (\text{behavior.B } (\text{snd } x) \ xs))$

$\langle \text{proof} \rangle$

**lemma** *Suc*:

**shows**  $\text{behavior.dropn } (\text{Suc } i) \ \omega = \text{Option.bind } (\text{behavior.tl } \omega) \ (\text{behavior.dropn } i)$

$\langle \text{proof} \rangle$

**lemma** *bind-tl-commute*:

**shows**  $\text{behavior.tl } \omega \gg= \text{behavior.dropn } i = \text{behavior.dropn } i \ \omega \gg= \text{behavior.tl}$

$\langle \text{proof} \rangle$

**lemma** *Suc-right*:

**shows**  $\text{behavior.dropn } (\text{Suc } i) \ \omega = \text{Option.bind } (\text{behavior.dropn } i \ \omega) \ \text{behavior.tl}$

$\langle \text{proof} \rangle$

**lemma** *dropn*:

**shows**  $\text{Option.bind } (\text{behavior.dropn } i \ \omega) \ (\text{behavior.dropn } j) = \text{behavior.dropn } (i + j) \ \omega$

$\langle \text{proof} \rangle$

**lemma** *add*:

**shows**  $\text{behavior.dropn } (i + j) = (\lambda \omega. \text{Option.bind } (\text{behavior.dropn } i \ \omega) \ (\text{behavior.dropn } j))$

$\langle \text{proof} \rangle$

**lemma** *tfiniteD*:

**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$

**shows**  $t\text{finite } (\text{behavior.rest } \omega') \longleftrightarrow t\text{finite } (\text{behavior.rest } \omega)$

$\langle \text{proof} \rangle$

**lemma** *shorterD*:

**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$

**assumes**  $j \leq i$

**shows**  $\exists \omega''. \text{behavior.dropn } j \ \omega = \text{Some } \omega''$

$\langle \text{proof} \rangle$

**lemma** *eq-None-tlength-conv*:

**shows**  $\text{behavior.dropn } i \ \omega = \text{None} \longleftrightarrow t\text{length } (\text{behavior.rest } \omega) < \text{enat } i$

$\langle \text{proof} \rangle$

**lemma** *eq-Some-tlength-conv*:

**shows**  $(\exists \omega'. \text{behavior.dropn } i \ \omega = \text{Some } \omega') \longleftrightarrow \text{enat } i \leq t\text{length } (\text{behavior.rest } \omega)$

$\langle \text{proof} \rangle$

**lemma** *eq-Some-tlengthD*:

**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$

**shows**  $\text{enat } i \leq t\text{length } (\text{behavior.rest } \omega)$

$\langle \text{proof} \rangle$

**lemma** *tlength-eq-SomeD*:

**assumes**  $enat\ i \leq tlength\ (behavior.rest\ \omega)$   
**shows**  $\exists \omega'.\ behavior.dropn\ i\ \omega = Some\ \omega'$   
 $\langle proof \rangle$

**lemma** *eq-Some-tdropnD*:  
**assumes**  $behavior.dropn\ i\ \omega = Some\ \omega'$   
**shows**  $tdropn\ i\ (behavior.rest\ \omega) = behavior.rest\ \omega'$   
 $\langle proof \rangle$

**lemma** *continue-shorter*:  
**assumes**  $i \leq length\ (trace.rest\ \sigma)$   
**shows**  $behavior.dropn\ i\ (\sigma\ @-B\ xs) = Option.bind\ (trace.dropn\ i\ \sigma)\ (\lambda \sigma'.\ Some\ (\sigma'\ @-B\ xs))$   
 $\langle proof \rangle$

**lemma** *continue-Some*:  
**assumes**  $length\ (trace.rest\ \sigma) < i$   
**assumes**  $trace.term\ \sigma = Some\ v$   
**shows**  $behavior.dropn\ i\ (\sigma\ @-B\ xs) = None$   
 $\langle proof \rangle$

**lemma** *continue-None*:  
**assumes**  $length\ (trace.rest\ \sigma) < i$   
**assumes**  $trace.term\ \sigma = None$   
**shows**  $behavior.dropn\ i\ (\sigma\ @-B\ xs)$   
 $= (case\ tdropn\ (i - Suc\ (length\ (trace.rest\ \sigma)))\ xs\ of$   
 $\quad TNil - \Rightarrow None$   
 $\quad | TCons\ y\ ys \Rightarrow Some\ (behavior.B\ (snd\ y)\ ys))$   
 $\langle proof \rangle$

**lemma** *continue*:  
**shows**  $behavior.dropn\ i\ (\sigma\ @-B\ xs)$   
 $= (if\ i \leq length\ (trace.rest\ \sigma)$   
 $\quad then\ Option.bind\ (trace.dropn\ i\ \sigma)\ (\lambda \sigma'.\ Some\ (\sigma'\ @-B\ xs))$   
 $\quad else\ if\ trace.term\ \sigma = None$   
 $\quad then\ case\ tdropn\ (i - Suc\ (length\ (trace.rest\ \sigma)))\ xs\ of$   
 $\quad \quad TNil - \Rightarrow None$   
 $\quad \quad | TCons\ y\ ys \Rightarrow Some\ (behavior.B\ (snd\ y)\ ys)$   
 $\quad else\ None)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *take*:  
**shows**  $trace.take\ i\ (behavior.take\ j\ \omega) = behavior.take\ (min\ i\ j)\ \omega$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *simps[simp]*:  
**shows**  $0: behavior.take\ 0\ \omega = trace.T\ (behavior.init\ \omega)\ []\ None$   
**and**  $Suc-TNil: behavior.take\ (Suc\ i)\ (behavior.B\ s\ (TNil\ v)) = trace.T\ s\ []\ (Some\ v)$   
 $\langle proof \rangle$

**lemma** *sel[simp]*:  
**shows**  $trace.init\ (behavior.take\ i\ \omega) = behavior.init\ \omega$   
**and**  $trace.rest\ (behavior.take\ i\ \omega) = fst\ (ttake\ i\ (behavior.rest\ \omega))$   
**and**  $trace.term\ (behavior.take\ i\ \omega) = snd\ (ttake\ i\ (behavior.rest\ \omega))$   
 $\langle proof \rangle$

**lemma** *monotone*:

**shows**  $\text{mono } (\lambda i. \text{behavior.take } i \ \omega)$

$\langle \text{proof} \rangle$

**lemmas**  $\text{mono} = \text{monoD}[\text{OF } \text{behavior.take.monotone}]$

**lemma** *map*:

**shows**  $\text{behavior.take } i \ (\text{behavior.map } af \ sf \ vf \ \omega) = \text{trace.map } af \ sf \ vf \ (\text{behavior.take } i \ \omega)$

$\langle \text{proof} \rangle$

**lemma** *continue*:

**shows**  $\text{behavior.take } i \ (\sigma \ @_{-B} \ \omega) = \text{trace.take } i \ \sigma \ @_{-S} \ \text{ttake } (i - \text{length } (\text{trace.rest } \sigma)) \ \omega$

$\langle \text{proof} \rangle$

**lemma** *all-continue*:

**assumes**  $\text{tlength } (\text{behavior.rest } \omega) < \text{enat } i$

**shows**  $\text{behavior.take } i \ \omega \ @_{-S} \ xsv = \text{behavior.take } i \ \omega$

$\langle \text{proof} \rangle$

**lemma** *continue-same*:

**shows**  $\text{behavior.take } i \ (\text{behavior.take } i \ \omega \ @_{-B} \ xsv) = \text{behavior.take } i \ \omega$

$\langle \text{proof} \rangle$

**lemma** *trePLICATE*:

**shows**  $\text{behavior.take } i \ (\text{behavior.B } s \ (\text{trePLICATE } j \ as \ v))$

$= \text{trace.T } s \ (\text{List.replicate } (\text{min } i \ j) \ as) \ (\text{if } j < i \ \text{then } \text{Some } v \ \text{else } \text{None})$

$\langle \text{proof} \rangle$

**lemma** *trepeat*:

**shows**  $\text{behavior.take } i \ (\text{behavior.B } s \ (\text{trepeat } as)) = \text{trace.T } s \ (\text{List.replicate } i \ as) \ \text{None}$

$\langle \text{proof} \rangle$

**lemma** *tshift*:

**shows**  $\text{behavior.take } i \ (\text{behavior.B } s \ (\text{tshift } xs \ ys)) = \text{trace.take } i \ (\text{trace.T } s \ xs \ \text{None}) \ @_{-S} \ \text{ttake } (i - \text{length } xs)$

$\langle \text{proof} \rangle$

**lemma** *length*:

**shows**  $\text{length } (\text{trace.rest } (\text{behavior.take } j \ \omega))$

$= (\text{case } \text{tlength } (\text{behavior.rest } \omega) \ \text{of } \text{enat } i \Rightarrow \text{min } i \ j \mid \infty \Rightarrow j)$

$\langle \text{proof} \rangle$

**lemma** *add*:

**shows**  $\text{behavior.take } (i + j) \ \omega$

$= \text{behavior.take } i \ \omega \ @_{-S} \ (\text{case } \text{behavior.dropn } i \ \omega \ \text{of } \text{Some } \omega' \Rightarrow \text{ttake } j \ (\text{behavior.rest } \omega'))$

$\langle \text{proof} \rangle$

**lemma** *term-Some-conv*:

**shows**  $\text{trace.term } (\text{behavior.take } j \ \omega) = \text{Some } v$

$\iff (\text{tlength } (\text{behavior.rest } \omega) < \text{enat } j \wedge \text{Some } v = \text{behavior.term } \omega)$

$\langle \text{proof} \rangle$

**lemma** *dropn*:

**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$

**shows**  $\text{behavior.take } j \ \omega' = \text{the } (\text{trace.dropn } i \ (\text{behavior.take } (i + j) \ \omega))$

$\langle \text{proof} \rangle$

**lemma** *continue-id*:

**assumes**  $\text{tlength } (\text{behavior.rest } \omega) < \text{enat } i$

**shows**  $\text{behavior.take } i \ \omega @_{-B} \text{xs} = \omega$

$\langle \text{proof} \rangle$

**lemma** *flat*:

**assumes**  $\text{tlength } (\text{behavior.rest } \omega) < \text{enat } i$

**assumes**  $i \leq j$

**shows**  $\text{behavior.take } i \ \omega = \text{behavior.take } j \ \omega$

$\langle \text{proof} \rangle$

**lemma** *eqI*:

**assumes**  $\bigwedge i. \text{behavior.take } i \ \omega_1 = \text{behavior.take } i \ \omega_2$

**shows**  $\omega_1 = \omega_2$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *take-drop-shorter*:

**assumes**  $i \leq j$

**shows**  $\text{behavior.take } i \ \omega @_{-S} \text{apfst } (\text{drop } i) (\text{take } j (\text{behavior.rest } \omega)) = \text{behavior.take } j \ \omega$

$\langle \text{proof} \rangle$

**lemma** *take-drop-id*:

**shows**  $\text{behavior.take } i \ \omega @_{-B} \text{behavior.rest } (\text{the } (\text{behavior.dropn } i \ \omega)) = \omega$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *simps*:

**shows**  $\text{behavior.aset } (\text{behavior.B } s \ \text{xs}) = \text{fst } ' \ \text{tset } \ \text{xs}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *simps*:

**shows**  $\text{behavior.sset } (\text{behavior.B } s \ \text{xs}) = \text{insert } s \ (\text{snd } ' \ \text{tset } \ \text{xs})$

$\langle \text{proof} \rangle$

**lemma** *dropn-le*:

**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$

**shows**  $\text{behavior.sset } \omega' \subseteq \text{behavior.sset } \omega$

$\langle \text{proof} \rangle$

**lemma** *take-le*:

**shows**  $\text{trace.sset } (\text{behavior.take } i \ \omega) \subseteq \text{behavior.sset } \omega$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *take*:

**shows**  $\text{trace.dropn } i (\text{behavior.take } j \ \omega)$

$= (\text{if } i \leq j \text{ then } \text{Option.bind } (\text{behavior.dropn } i \ \omega) \ (\lambda \omega'. \text{Some } (\text{behavior.take } (j - i) \ \omega'))$   
 $\text{else None})$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

### 3 Point-free notation

Typically we define predicates as functions of a state. The following provide a somewhat comfortable point-free imitation of Isabelle/HOL's operators.

**type-synonym**  $'s \text{ pred} = 's \Rightarrow \text{bool}$

**abbreviation** (*input*)

$\text{pred-}K :: 'b \Rightarrow 'a \Rightarrow 'b \langle \langle - \rangle \rangle$  **where**  
 $\langle f \rangle \equiv \lambda s. f$

**abbreviation** (*input*)

$\text{pred-not} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \langle \langle \neg \rightarrow [40] 40 \rangle \rangle$  **where**  
 $\neg a \equiv \lambda s. \neg a s$

**abbreviation** (*input*)

$\text{pred-conj} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred} \langle \langle \wedge \rangle 35 \rangle$  **where**  
 $a \wedge b \equiv \lambda s. a s \wedge b s$

**abbreviation** (*input*)

$\text{pred-disj} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred} \langle \langle \vee \rangle 30 \rangle$  **where**  
 $a \vee b \equiv \lambda s. a s \vee b s$

**abbreviation** (*input*)

$\text{pred-implies} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred} \langle \langle \longrightarrow \rangle 25 \rangle$  **where**  
 $a \longrightarrow b \equiv \lambda s. a s \longrightarrow b s$

**abbreviation** (*input*)

$\text{pred-iff} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred} \langle \langle \longleftrightarrow \rangle 25 \rangle$  **where**  
 $a \longleftrightarrow b \equiv \lambda s. a s \longleftrightarrow b s$

**abbreviation** (*input*)

$\text{pred-eq} :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle \Rightarrow \rangle 40 \rangle$  **where**  
 $a = b \equiv \lambda s. a s = b s$

**abbreviation** (*input*)

$\text{pred-neq} :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle \neq \rangle 40 \rangle$  **where**  
 $a \neq b \equiv \lambda s. a s \neq b s$

**abbreviation** (*input*)

$\text{pred-If} :: 'a \text{ pred} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \langle \langle \text{If } (-) / \text{Then } (-) / \text{Else } (-) \rangle [0, 0, 10] 10 \rangle$   
**where**  $\text{If } P \text{ Then } x \text{ Else } y \equiv \lambda s. \text{if } P s \text{ then } x s \text{ else } y s$

**abbreviation** (*input*)

$\text{pred-less} :: ('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle < \rangle 40 \rangle$  **where**  
 $a < b \equiv \lambda s. a s < b s$

**abbreviation** (*input*)

$\text{pred-less-eq} :: ('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle \leq \rangle 40 \rangle$  **where**  
 $a \leq b \equiv \lambda s. a s \leq b s$

**abbreviation** (*input*)

$\text{pred-greater} :: ('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle > \rangle 40 \rangle$  **where**  
 $a > b \equiv \lambda s. a s > b s$

**abbreviation** (*input*)

$\text{pred-greater-eq} :: ('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ pred} \langle \langle \geq \rangle 40 \rangle$  **where**  
 $a \geq b \equiv \lambda s. a s \geq b s$

**abbreviation** (*input*)

*pred-plus* :: ('a ⇒ 'b::plus) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b (**infixl** ⟨+⟩ 65) **where**

$a + b \equiv \lambda s. a\ s + b\ s$

**abbreviation** (*input*)

*pred-minus* :: ('a ⇒ 'b::minus) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b (**infixl** ⟨-⟩ 65) **where**

$a - b \equiv \lambda s. a\ s - b\ s$

**abbreviation** (*input*)

*pred-times* :: ('a ⇒ 'b::times) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b (**infixl** ⟨\*⟩ 65) **where**

$a * b \equiv \lambda s. a\ s * b\ s$

**abbreviation** (*input*)

*pred-all* :: ('b ⇒ 'a pred) ⇒ 'a pred (**binder** ⟨∀⟩ 10) **where**

$\forall x. P\ x \equiv \lambda s. \forall x. P\ x\ s$

**abbreviation** (*input*)

*pred-ex* :: ('b ⇒ 'a pred) ⇒ 'a pred (**binder** ⟨∃⟩ 10) **where**

$\exists x. P\ x \equiv \lambda s. \exists x. P\ x\ s$

**abbreviation** (*input*)

*pred-app* :: ('a ⇒ 'b ⇒ 'c) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'c (**infixl** ⟨\$⟩ 100) **where**

$f\ \$\ g \equiv \lambda s. f\ s\ (g\ s)$

**abbreviation** (*input*)

*pred-app'* :: ('b ⇒ 'a ⇒ 'c) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'c (**infixl** ⟨\$\$⟩ 100) **where**

$f\ \$\$ g \equiv \lambda s. f\ (g\ s)\ s$

**abbreviation** (*input*)

*pred-member* :: ('a ⇒ 'b) ⇒ ('a ⇒ 'b set) ⇒ 'a pred (**infix** ⟨∈⟩ 40) **where**

$a \in b \equiv \lambda s. a\ s \in b\ s$

**abbreviation** (*input*)

*pred-subseteq* :: ('a ⇒ 'b set) ⇒ ('a ⇒ 'b set) ⇒ 'a pred (**infix** ⟨⊆⟩ 50) **where**

$A \subseteq B \equiv \lambda s. A\ s \subseteq B\ s$

**abbreviation** (*input*)

*pred-union* :: ('a ⇒ 'b set) ⇒ ('a ⇒ 'b set) ⇒ 'a ⇒ 'b set (**infixl** ⟨∪⟩ 65) **where**

$a \cup b \equiv \lambda s. a\ s \cup b\ s$

**abbreviation** (*input*)

*pred-inter* :: ('a ⇒ 'b set) ⇒ ('a ⇒ 'b set) ⇒ 'a ⇒ 'b set (**infixl** ⟨∩⟩ 65) **where**

$a \cap b \equiv \lambda s. a\ s \cap b\ s$

**abbreviation** (*input*)

*pred-conjoin* :: 'a pred list ⇒ 'a pred **where**

*pred-conjoin* xs ≡ foldr (∧) xs ⟨True⟩

**abbreviation** (*input*)

*pred-disjoin* :: 'a pred list ⇒ 'a pred **where**

*pred-disjoin* xs ≡ foldr (∨) xs ⟨False⟩

**abbreviation** (*input*)

*pred-min* :: ('a ⇒ 'b::ord) ⇒ ('a ⇒ 'b) ⇒ 'a ⇒ 'b **where**

*pred-min* x y ≡ λs. min (x s) (y s)

**abbreviation** (*input*)

$\text{pred-max} :: ('a \Rightarrow 'b::\text{ord}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$  **where**  
 $\text{pred-max } x \ y \equiv \lambda s. \text{max } (x \ s) \ (y \ s)$

**abbreviation** (*input*)

$\text{NULL} :: ('a \Rightarrow 'b \ \text{option}) \Rightarrow 'a \ \text{pred}$  **where**  
 $\text{NULL } a \equiv \lambda s. a \ s = \text{None}$

**abbreviation** (*input*)

$\text{EMPTY} :: ('a \Rightarrow 'b \ \text{set}) \Rightarrow 'a \ \text{pred}$  **where**  
 $\text{EMPTY } a \equiv \lambda s. a \ s = \{\}$

**abbreviation** (*input*)

$\text{LIST-NULL} :: ('a \Rightarrow 'b \ \text{list}) \Rightarrow 'a \ \text{pred}$  **where**  
 $\text{LIST-NULL } a \equiv \lambda s. a \ s = []$

**abbreviation** (*input*)

$\text{SIZE} :: ('a \Rightarrow 'b::\text{size}) \Rightarrow 'a \Rightarrow \text{nat}$  **where**  
 $\text{SIZE } a \equiv \lambda s. \text{size } (a \ s)$

**abbreviation** (*input*)

$\text{SET} :: ('a \Rightarrow 'b \ \text{list}) \Rightarrow 'a \Rightarrow 'b \ \text{set}$  **where**  
 $\text{SET } a \equiv \lambda s. \text{set } (a \ s)$

**abbreviation** (*input*)

$\text{pred-singleton} :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \ \text{set}$  **where**  
 $\text{pred-singleton } x \equiv \lambda s. \{x \ s\}$

**abbreviation** (*input*)

$\text{pred-list-nth} :: ('a \Rightarrow 'b \ \text{list}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow 'a \Rightarrow 'b$  (**infixl**  $\langle ! \rangle$  150) **where**  
 $xs \ ! \ i \equiv \lambda s. xs \ s \ ! \ i \ s$

**abbreviation** (*input*)

$\text{pred-list-append} :: ('a \Rightarrow 'b \ \text{list}) \Rightarrow ('a \Rightarrow 'b \ \text{list}) \Rightarrow 'a \Rightarrow 'b \ \text{list}$  (**infixr**  $\langle @ \rangle$  65) **where**  
 $xs \ @ \ ys \equiv \lambda s. xs \ s \ @ \ ys \ s$

**abbreviation** (*input*)

$\text{FST} :: 'a \ \text{pred} \Rightarrow ('a \times 'b) \ \text{pred}$  **where**  
 $\text{FST } P \equiv \lambda s. P \ (\text{fst } s)$

**abbreviation** (*input*)

$\text{SND} :: 'b \ \text{pred} \Rightarrow ('a \times 'b) \ \text{pred}$  **where**  
 $\text{SND } P \equiv \lambda s. P \ (\text{snd } s)$

**abbreviation** (*input*)

$\text{pred-pair} :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \times 'c$  (**infixr**  $\langle \otimes \rangle$  60) **where**  
 $a \ \otimes \ b \equiv \lambda s. (a \ s, b \ s)$

## 4 More lattice

**lemma** (**in** *semilattice-sup*) *sup-iff-le*:

**shows**  $x \sqcup y = y \iff x \leq y$

**and**  $y \sqcup x = y \iff x \leq y$

$\langle \text{proof} \rangle$

**lemma** (**in** *semilattice-inf*) *inf-iff-le*:

**shows**  $x \sqcap y = x \longleftrightarrow x \leq y$   
**and**  $y \sqcap x = x \longleftrightarrow x \leq y$   
 ⟨proof⟩

**lemma** *if-sup-distr*:

**fixes**  $t e :: \text{semilattice-sup}$   
**shows** *if-sup-distrL*:  $(\text{if } b \text{ then } t_1 \sqcup t_2 \text{ else } e) = (\text{if } b \text{ then } t_1 \text{ else } e) \sqcup (\text{if } b \text{ then } t_2 \text{ else } e)$   
**and** *if-sup-distrR*:  $(\text{if } b \text{ then } t \text{ else } e_1 \sqcup e_2) = (\text{if } b \text{ then } t \text{ else } e_1) \sqcup (\text{if } b \text{ then } t \text{ else } e_2)$   
 ⟨proof⟩

**lemma** *INF-bot*:

**assumes**  $F i = (\perp :: \text{complete-lattice})$   
**assumes**  $i \in X$   
**shows**  $(\prod_{i \in X}. F i) = \perp$   
 ⟨proof⟩

**lemma** *mcont-fun-app-const[cont-intro]*:

**shows**  $mcont \text{ Sup } (\leq) \text{ Sup } (\leq) (\lambda f. f c)$   
 ⟨proof⟩

**declare** *mcont-applyI[cont-intro]*

**lemma** *INF-rename-bij*:

**assumes** *bij-betw*  $\pi X Y$   
**shows**  $(\prod_{y \in Y}. F Y y) = (\prod_{x \in X}. F (\pi ' X) (\pi x))$   
 ⟨proof⟩

**lemma** *Inf-rename-surj*:

**assumes** *surj*  $\pi$   
**shows**  $(\prod_{x}. F x) = (\prod_{x}. F (\pi x))$   
 ⟨proof⟩

**lemma** *INF-unwind-index*:

**fixes**  $A :: \text{complete-lattice}$   
**assumes**  $i \in I$   
**shows**  $(\prod_{x \in I}. A x) = A i \sqcap (\prod_{x \in I - \{i\}}. A x)$   
 ⟨proof⟩

**lemma** *Sup-fst*:

**shows**  $(\bigsqcup_{x \in X}. P (fst x)) = (\bigsqcup_{x \in fst ' X}. P x)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *assms-cong*: — simplify assumptions only

**assumes**  $x = x'$   
**shows**  $x \leq y \longleftrightarrow x' \leq y$   
 ⟨proof⟩

**lemma** *concl-cong*: — simplify conclusions only

**assumes**  $y = y'$   
**shows**  $x \leq y \longleftrightarrow x \leq y'$   
 ⟨proof⟩

**lemma** *subgoal*: — cut for lattice logics

**fixes**  $P :: \text{semilattice-inf}$   
**assumes**  $P \leq Q$   
**assumes**  $P \sqcap Q \leq R$

**shows**  $P \leq R$

$\langle proof \rangle$

$\langle ML \rangle$

**Logical rules ala HOL lemmas**  $SupI = Sup-upper$

**lemmas**  $rev-SUPI = SUP-upper2[of\ x\ A\ b\ f\ for\ x\ A\ b\ f]$

**lemmas**  $SUPI = rev-SUPI[rotated]$

**lemmas**  $SUPE = SUP-least[where\ u=z\ for\ z]$

**lemmas**  $SupE = Sup-least$

**lemmas**  $INFI = INF-greatest$

**lemmas**  $InfI = Inf-greatest$

**lemmas**  $infI = semilattice-inf-class.le-infI$

**lemma**  $InfE$ :

**fixes**  $R:::complete-lattice$

**assumes**  $P\ x \leq R$

**shows**  $(\bigcap x. P\ x) \leq R$

$\langle proof \rangle$

**lemma**  $INFE$ :

**fixes**  $R::'a::complete-lattice$

**assumes**  $P\ x \leq R$

**assumes**  $x \in A$

**shows**  $\bigcap (P\ 'A) \leq R$

$\langle proof \rangle$

**lemmas**  $rev-INFE = INFE[rotated]$

**lemma**  $Inf-inf-distrib$ :

**fixes**  $P:::complete-lattice$

**shows**  $(\bigcap x. P\ x \cap Q\ x) = (\bigcap x. P\ x) \cap (\bigcap x. Q\ x)$

$\langle proof \rangle$

**lemma**  $Sup-sup-distrib$ :

**fixes**  $P:::complete-lattice$

**shows**  $(\bigcup x. P\ x \cup Q\ x) = (\bigcup x. P\ x) \cup (\bigcup x. Q\ x)$

$\langle proof \rangle$

**lemma**  $Inf-inf$ :

**fixes**  $Q :: -:complete-lattice$

**shows**  $(\bigcap x. P\ x \cap Q) = (\bigcap x. P\ x) \cap Q$

$\langle proof \rangle$

**lemma**  $inf-Inf$ :

**fixes**  $P :: -:complete-lattice$

**shows**  $(\bigcap x. P \cap Q\ x) = P \cap (\bigcap x. Q\ x)$

$\langle proof \rangle$

**lemma**  $SUP-sup$ :

**fixes**  $Q :: -:complete-lattice$

**assumes**  $X \neq \{\}$

**shows**  $(\bigcup x \in X. P\ x \cup Q) = (\bigcup x \in X. P\ x) \cup Q$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma**  $sup-SUP$ :

**fixes**  $P :: \text{::complete-lattice}$   
**assumes**  $X \neq \{\}$   
**shows**  $(\bigsqcup x \in X. P \sqcup Q x) = P \sqcup (\bigsqcup x \in X. Q x)$   
 $\langle \text{proof} \rangle$

## 4.1 Boolean lattices and implication

**lemma**

**shows**  $\text{minus-Not}[simp]: - \text{Not} = id$   
**and**  $\text{minus-id}[simp]: - id = \text{Not}$   
 $\langle \text{proof} \rangle$

**definition**  $\text{boolean-implication} :: 'a::\text{boolean-algebra} \Rightarrow 'a \Rightarrow 'a$  (**infixr**  $\langle \longrightarrow_B \rangle$  60) **where**  
 $x \longrightarrow_B y = -x \sqcup y$

**definition**  $\text{boolean-eq} :: 'a::\text{boolean-algebra} \Rightarrow 'a \Rightarrow 'a$  (**infixr**  $\langle \longleftrightarrow_B \rangle$  60) **where**  
 $x \longleftrightarrow_B y = x \longrightarrow_B y \sqcap y \longrightarrow_B x$

$\langle ML \rangle$

**lemma**  $\text{bool-alt-def}[simp]:$

**shows**  $P \longrightarrow_B Q = (P \longrightarrow Q)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{pred--alt-def}[simp]:$

**shows**  $(P \longrightarrow_B Q) x = (P x \longrightarrow_B Q x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{set-alt-def}:$

**shows**  $P \longrightarrow_B Q = \{x. x \in P \longrightarrow x \in Q\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{member}:$

**shows**  $x \in P \longrightarrow_B Q \longleftrightarrow x \in P \longrightarrow x \in Q$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{setI} = \text{iffD2}[\text{OF } \text{boolean-implication.member, rule-format}]$

**lemma**  $\text{simps}[simp]:$

**shows**  
 $\top \longrightarrow_B P = P$   
 $\perp \longrightarrow_B P = \top$   
 $P \longrightarrow_B \top = \top$   
 $P \longrightarrow_B P = \top$   
 $P \longrightarrow_B \perp = -P$   
 $P \longrightarrow_B -P = -P$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Inf-simps}[simp]:$  — Miniscoping: pushing in universal quantifiers.

**shows**  
 $\bigwedge P (Q::\text{::complete-boolean-algebra}). (\bigcap x. P x \longrightarrow_B Q) = ((\bigsqcup x. P x) \longrightarrow_B Q)$   
 $\bigwedge P (Q::\text{::complete-boolean-algebra}). (\bigcap x \in X. P x \longrightarrow_B Q) = ((\bigsqcup x \in X. P x) \longrightarrow_B Q)$   
 $\bigwedge P (Q::\Rightarrow\text{::complete-boolean-algebra}). (\bigcap x. P \longrightarrow_B Q x) = (P \longrightarrow_B (\bigcap x. Q x))$   
 $\bigwedge P (Q::\Rightarrow\text{::complete-boolean-algebra}). (\bigcap x \in X. P \longrightarrow_B Q x) = (P \longrightarrow_B (\bigcap x \in X. Q x))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mono}:$

**assumes**  $x' \leq x$

**assumes**  $y \leq y'$   
**shows**  $x \longrightarrow_B y \leq x' \longrightarrow_B y'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen*[*strg*]:  
**assumes** *st-ord*  $(\neg F) X X'$   
**assumes** *st-ord*  $F Y Y'$   
**shows** *st-ord*  $F (X \longrightarrow_B Y) (X' \longrightarrow_B Y')$   
 $\langle \text{proof} \rangle$

**lemma** *eq-conv*:  
**shows**  $(P = Q) \longleftrightarrow (P \longrightarrow_B Q) \sqcap (Q \longrightarrow_B P) = \top$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-imp*[*simp*]:  
**shows**  $\neg(P \longrightarrow_B Q) = P \sqcap \neg Q$   
 $\langle \text{proof} \rangle$

**lemma** *cases-simp*[*simp*]:  
**shows**  $(P \longrightarrow_B Q) \sqcap (\neg P \longrightarrow_B Q) = Q$   
 $\langle \text{proof} \rangle$

**lemma** *conv-sup*:  
**shows**  $(P \longrightarrow_B Q) = \neg P \sqcup Q$   
 $\langle \text{proof} \rangle$

**lemma** *infL*:  
**shows**  $P \sqcap Q \longrightarrow_B R = P \longrightarrow_B Q \longrightarrow_B R$   
 $\langle \text{proof} \rangle$

**lemmas** *uncurry* = *boolean-implication.infL*[*symmetric*]

**lemma** *shunt1*:  
**shows**  $x \sqcap y \leq z \longleftrightarrow x \leq y \longrightarrow_B z$   
 $\langle \text{proof} \rangle$

**lemma** *shunt2*:  
**shows**  $x \sqcap y \leq z \longleftrightarrow y \leq x \longrightarrow_B z$   
 $\langle \text{proof} \rangle$

**lemma** *mp*:  
**assumes**  $x \sqcap y \leq z$   
**shows**  $x \leq y \longrightarrow_B z$   
 $\langle \text{proof} \rangle$

**lemma** *imp-trivialI*:  
**assumes**  $P \sqcap \neg R \leq \neg Q$   
**shows**  $P \leq Q \longrightarrow_B R$   
 $\langle \text{proof} \rangle$

**lemma** *shunt-top*:  
**shows**  $P \longrightarrow_B Q = \top \longleftrightarrow P \leq Q$   
 $\langle \text{proof} \rangle$

**lemma** *detachment*:  
**shows**  $x \sqcap (x \longrightarrow_B y) = x \sqcap y$  (**is** *?thesis1*)  
**and**  $(x \longrightarrow_B y) \sqcap x = x \sqcap y$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *discharge*:

**assumes**  $x' \leq x$

**shows**  $x' \sqcap (x \longrightarrow_B y) = x' \sqcap y$  (**is** *?thesis1*)

**and**  $(x \longrightarrow_B y) \sqcap x' = y \sqcap x'$  (**is** *?thesis2*)

*<proof>*

**lemma** *trans*:

**shows**  $(x \longrightarrow_B y) \sqcap (y \longrightarrow_B z) \leq (x \longrightarrow_B z)$

*<proof>*

*<ML>*

## 4.2 Compactness and algebraicity

Fundamental lattice concepts drawn from Davey and Priestley (2002).

**context** *complete-lattice*

**begin**

**definition** *compact-points* :: 'a set **where** — Davey and Priestley (2002, Definition 7.15(ii))

$compact\_points = \{x. \forall S. x \leq \bigsqcup S \longrightarrow (\exists T \subseteq S. \text{finite } T \wedge x \leq \bigsqcup T)\}$

**lemmas** *compact-pointsI* = *subsetD[OF equalityD2[OF compact-points-def], simplified, rule-format]*

**lemmas** *compact-pointsD* = *subsetD[OF equalityD1[OF compact-points-def], simplified, rule-format]*

**lemma** *compact-point-bot*:

**shows**  $\perp \in compact\_points$

*<proof>*

**lemma** *compact-points-sup*: — Davey and Priestley (2002, Lemma 7.16)

**assumes**  $x \in compact\_points$

**assumes**  $y \in compact\_points$

**shows**  $x \sqcup y \in compact\_points$

*<proof>*

**lemma** *compact-points-Sup*: — Davey and Priestley (2002, Lemma 7.16)

**assumes**  $X \subseteq compact\_points$

**assumes** *finite*  $X$

**shows**  $\bigsqcup X \in compact\_points$

*<proof>*

**lemma** *compact-points-are-ccpo-compact*: — converse should hold

**assumes**  $x \in compact\_points$

**shows** *ccpo.compact* *Sup* ( $\leq$ )  $x$

*<proof>*

**definition** *directed* :: 'a set  $\Rightarrow$  bool **where** — Davey and Priestley (2002, Definition 7.7)

$directed\ X \iff X \neq \{\} \wedge (\forall x \in X. \forall y \in X. \exists z \in X. x \leq z \wedge y \leq z)$

**lemmas** *directedI* = *iffD2[OF directed-def, simplified conj-explode, rule-format]*

**lemmas** *directedD* = *iffD1[OF directed-def]*

**lemma** *directed-empty*:

**assumes** *directed*  $X$

**shows**  $X \neq \{\}$

*<proof>*

**lemma** *chain-directed*:

**assumes** *Complete-Partial-Order.chain* ( $\leq$ )  $Y$   
**assumes**  $Y \neq \{\}$   
**shows** *directed*  $Y$   
 $\langle$ *proof* $\rangle$

**lemma** *directed-alt-def*:

**shows** *directed*  $X \iff (\forall Y \subseteq X. \text{finite } Y \implies (\exists x \in X. \forall y \in Y. y \leq x))$  (**is**  $?lhs \iff ?rhs$ )  
 $\langle$ *proof* $\rangle$

**lemma** *compact-points-alt-def*: — [Davey and Priestley \(2002, Definition 7.15\(i\)\)](#) (finite points)

**shows** *compact-points* =  $\{x :: 'a. \forall D. \text{directed } D \wedge x \leq \bigsqcup D \implies (\exists d \in D. x \leq d)\}$  (**is**  $?lhs = ?rhs$ )  
 $\langle$ *proof* $\rangle$

**lemmas** *compact-points-directedD*

= *subsetD[OF equalityD1[OF compact-points-alt-def], simplified, rule-format, simplified conj-explode, rotated -1]*

**end**

**class** *algebraic-lattice* = *complete-lattice* + — [Davey and Priestley \(2002, Definition 7.18\)](#)

**assumes** *algebraic*:  $(x :: 'a) = \bigsqcup (\{Y. Y \leq x\} \cap \text{compact-points})$

**begin**

**lemma** *le-compact*:

**shows**  $x \leq y \iff (\forall z \in \text{compact-points}. z \leq x \implies z \leq y)$   
 $\langle$ *proof* $\rangle$

**end**

**lemma** (**in** *ccpo*) *compact-alt-def*:

**shows** *ccpo.compact*  $\text{Sup } (\leq) x \iff (\forall Y. Y \neq \{\} \wedge \text{Complete-Partial-Order.chain } (\leq) Y \wedge x \leq \text{Sup } Y \implies (\exists y \in Y. x \leq y))$   
 $\langle$ *proof* $\rangle$

**lemma** *compact-points-eq-finite-sets*: — [Davey and Priestley \(2002, Examples 7.17\)](#)

**shows** *compact-points* = *Collect finite* (**is**  $?lhs = ?rhs$ )  
 $\langle$ *proof* $\rangle$

**instance** *set* :: (*type*) *algebraic-lattice*

$\langle$ *proof* $\rangle$

**context** *semilattice-sup*

**begin**

**definition** *sup-irreducible-on* ::  $'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$  **where** — [Davey and Priestley \(2002, Definition 2.42\)](#)

*sup-irreducible-on*  $A x \iff (\forall y \in A. \forall z \in A. x = y \sqcup z \implies x = y \vee x = z)$

**abbreviation** *sup-irreducible* ::  $'a \Rightarrow \text{bool}$  **where**

*sup-irreducible*  $\equiv \text{sup-irreducible-on UNIV}$

**lemma** *sup-irreducible-onI*:

**assumes**  $\bigwedge y z. \llbracket y \in A; z \in A; x = y \sqcup z \rrbracket \implies x = y \vee x = z$   
**shows** *sup-irreducible-on*  $A x$   
 $\langle$ *proof* $\rangle$

**lemma** *sup-irreducible-onD*:

**assumes** *sup-irreducible-on*  $A x$   
**assumes**  $x = y \sqcup z$

**assumes**  $y \in A$   
**assumes**  $z \in A$   
**shows**  $x = y \vee x = z$   
 ⟨proof⟩

**lemma** *sup-irreducible-on-less*: — Davey and Priestley (2002, Definition 2.42 (alt))  
**shows** *sup-irreducible-on*  $A$   $x \longleftrightarrow (\forall y \in A. \forall z \in A. y < x \wedge z < x \longrightarrow y \sqcup z < x)$   
 ⟨proof⟩

**end**

**lemma** *sup-irreducible-bot*:  
**assumes**  $\perp \in A$   
**shows** *sup-irreducible-on*  $A$   $(\perp :: \text{bounded-semilattice-sup-bot})$   
 ⟨proof⟩

**lemma** *sup-irreducible-le-conv*:  
**fixes**  $x :: \text{distrib-lattice}$   
**assumes** *sup-irreducible*  $x$   
**shows**  $x \leq y \sqcup z \longleftrightarrow x \leq y \vee x \leq z$   
 ⟨proof⟩

**lemma** *set-sup-irreducible*:  
**shows** *sup-irreducible*  $X \longleftrightarrow (X = \{\} \vee (\exists y. X = \{y\}))$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)  
 ⟨proof⟩

**definition** *Sup-irreducible-on* ::  $'a :: \text{complete-lattice set} \Rightarrow 'a \Rightarrow \text{bool}$  **where** — Davey and Priestley (2002, Definition 10.26)  
 $\text{Sup-irreducible-on } A \ x \longleftrightarrow (\forall S \subseteq A. x = \bigsqcup S \longrightarrow x \in S)$

**abbreviation** *Sup-irreducible* ::  $'a :: \text{complete-lattice} \Rightarrow \text{bool}$  **where**  
 $\text{Sup-irreducible} \equiv \text{Sup-irreducible-on UNIV}$

**definition** *Sup-prime-on* ::  $'a :: \text{complete-lattice set} \Rightarrow 'a \Rightarrow \text{bool}$  **where** — Davey and Priestley (2002, Definition 10.26)  
 $\text{Sup-prime-on } A \ x \longleftrightarrow (\forall S \subseteq A. x \leq \bigsqcup S \longrightarrow (\exists s \in S. x \leq s))$

**abbreviation** *Sup-prime* ::  $'a :: \text{complete-lattice} \Rightarrow \text{bool}$  **where**  
 $\text{Sup-prime} \equiv \text{Sup-prime-on UNIV}$

**lemma** *Sup-irreducible-onI*:  
**assumes**  $\bigwedge S. \llbracket S \subseteq A; x = \bigsqcup S \rrbracket \Longrightarrow x \in S$   
**shows** *Sup-irreducible-on*  $A$   $x$   
 ⟨proof⟩

**lemma** *Sup-irreducible-onD*:  
**assumes**  $x = \bigsqcup S$   
**assumes**  $S \subseteq A$   
**assumes** *Sup-irreducible-on*  $A$   $x$   
**shows**  $x \in S$   
 ⟨proof⟩

**lemma** *Sup-prime-onI*:  
**assumes**  $\bigwedge S. \llbracket S \subseteq A; x \leq \bigsqcup S \rrbracket \Longrightarrow \exists s \in S. x \leq s$   
**shows** *Sup-prime-on*  $A$   $x$   
 ⟨proof⟩

**lemma** *Sup-prime-onE*:

**assumes** *Sup-prime-on*  $A$   $x$   
**assumes**  $x \leq \bigsqcup S$   
**assumes**  $S \subseteq A$   
**obtains**  $s$  **where**  $s \in S$  **and**  $x \leq s$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-prime-on-conv*:  
**assumes** *Sup-prime-on*  $A$   $x$   
**assumes**  $S \subseteq A$   
**shows**  $x \leq \bigsqcup S \longleftrightarrow (\exists s \in S. x \leq s)$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-prime-not-bot*:  
**assumes** *Sup-prime-on*  $A$   $x$   
**shows**  $x \neq \perp$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-prime-on-imp-Sup-irreducible-on*: — the converse holds in Heyting algebras  
**assumes** *Sup-prime-on*  $A$   $x$   
**shows** *Sup-irreducible-on*  $A$   $x$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-irreducible-on-imp-sup-irreducible-on*:  
**assumes** *Sup-irreducible-on*  $A$   $x$   
**assumes**  $x \in A$   
**shows** *sup-irreducible-on*  $A$   $x$   
 $\langle$ *proof* $\rangle$

**lemma** *Sup-prime-is-compact*:  
**assumes** *Sup-prime*  $x$   
**shows**  $x \in$  *compact-points*  
 $\langle$ *proof* $\rangle$

## 5 Closure operators

Our semantic spaces are modelled as lattices arising from the fixed points of various closure operators. We attempt to reduce our proof obligations by defining a locale for Kuratowski's closure axioms, where we do not require strictness (i.e., it need not be the case that the closure maps  $\perp$  to  $\perp$ ). Davey and Priestley (2002, §2.33) term these *topped intersection structures*; see also Pfaltz and Šlapal (2013) for additional useful results.

**locale** *closure* =  
*ordering* ( $\leq$ ) ( $<$ ) — We use a partial order as a preorder does not ensure that the closure is idempotent  
**for** *less-eq* ::  $'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\langle \leq \rangle$  50)  
**and** *less* ::  $'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\langle < \rangle$  50)  
+ **fixes** *cl* ::  $'a \Rightarrow 'a$   
**assumes** *cl*:  $x \leq cl\ y \longleftrightarrow cl\ x \leq cl\ y$  — All-in-one non-strict Kuratowski axiom  
**begin**

**definition** *closed* ::  $'a$  **set where** — These pre fixed points form a complete lattice ala Tarski/Knaster  
*closed* =  $\{x. cl\ x \leq x\}$

**lemma** *closed-clI*:  
**assumes** *cl*  $x \leq x$   
**shows**  $x \in$  *closed*  
 $\langle$ *proof* $\rangle$

**lemma** *expansive*:  
**shows**  $x \leq cl\ x$

*<proof>*

**lemma** *idempotent[simp]*:

**shows**  $cl (cl x) = cl x$

**and**  $cl \circ cl = cl$

*<proof>*

**lemma** *monotone-cl*:

**shows**  $monotone (\leq) (\leq) cl$

*<proof>*

**lemmas** *strengthen-cl[strg] = st-monotone[OF monotone-cl]*

**lemmas** *mono-cl[trans] = monotoneD[OF monotone-cl]*

**lemma** *least*:

**assumes**  $x \leq y$

**assumes**  $y \in closed$

**shows**  $cl x \leq y$

*<proof>*

**lemma** *least-conv*:

**assumes**  $y \in closed$

**shows**  $cl x \leq y \longleftrightarrow x \leq y$

*<proof>*

**lemma** *closed[iff]*:

**shows**  $cl x \in closed$

*<proof>*

**lemma** *le-closedE*:

**assumes**  $x \leq cl y$

**assumes**  $y \in closed$

**shows**  $x \leq y$

*<proof>*

**lemma** *closed-conv*: — Typically used to manifest the closure using *subst*

**assumes**  $X \in closed$

**shows**  $X = cl X$

*<proof>*

**end**

**lemma** (*in ordering*) *closure-axioms-alt-def*: — Equivalence with the Kuratowski closure axioms

**shows**  $closure-axioms (\leq) cl \longleftrightarrow (\forall x. x \leq cl x) \wedge monotone (\leq) (\leq) cl \wedge (\forall x. cl (cl x) = cl x)$

*<proof>*

**lemma** (*in ordering*) *closureI*:

**assumes**  $\bigwedge x. x \leq cl x$

**assumes**  $monotone (\leq) (\leq) cl$

**assumes**  $\bigwedge x. cl (cl x) = cl x$

**shows**  $closure (\leq) (<) cl$

*<proof>*

**lemma** *closure-inf-closure*:

**fixes**  $cl_1 :: 'a::semilattice-inf \Rightarrow 'a$

**assumes**  $closure-axioms (\leq) cl_1$

**assumes**  $closure-axioms (\leq) cl_2$

**shows**  $closure-axioms (\leq) (\lambda X. cl_1 X \sqcap cl_2 X)$

$\langle proof \rangle$

## 5.1 Complete lattices and algebraic closures

**locale** *closure-complete-lattice* =  
 *complete-lattice*  $\sqcap$   $\sqcup$  ( $\sqcap$ ) ( $\leq$ ) ( $<$ ) ( $\sqcup$ )  $\perp$   $\top$   
+ *closure* ( $\leq$ ) ( $<$ ) *cl*  
 **for** *less-ega* :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool* (**infix**  $\langle \leq \rangle$  50)  
 **and** *lessa* (**infix**  $\langle < \rangle$  50)  
 **and** *infa* (**infixl**  $\langle \sqcap \rangle$  70)  
 **and** *supa* (**infixl**  $\langle \sqcup \rangle$  65)  
 **and** *bota* ( $\langle \perp \rangle$ )  
 **and** *topa* ( $\langle \top \rangle$ )  
 **and** *Inf* ( $\langle \sqcap \rangle$ )  
 **and** *Sup* ( $\langle \sqcup \rangle$ )  
 **and** *cl* :: '*a*  $\Rightarrow$  '*a*

**begin**

**lemma** *cl-bot-least*:  
 **shows** *cl*  $\perp \leq$  *cl* *X*  
 $\langle proof \rangle$

**lemma** *cl-Inf-closed*:  
 **shows** *cl* *x* =  $\sqcap \{y \in \text{closed}. x \leq y\}$   
 $\langle proof \rangle$

**lemma** *cl-top*:  
 **shows** *cl*  $\top = \top$   
 $\langle proof \rangle$

**lemma** *closed-top[iff]*:  
 **shows**  $\top \in \text{closed}$   
 $\langle proof \rangle$

**lemma** *Sup-cl-le*:  
 **shows**  $\sqcup (cl \text{ ' } X) \leq cl (\sqcup X)$   
 $\langle proof \rangle$

**lemma** *sup-cl-le*:  
 **shows** *cl* *x*  $\sqcup$  *cl* *y*  $\leq$  *cl* (*x*  $\sqcup$  *y*)  
 $\langle proof \rangle$

**lemma** *cl-Inf-le*:  
 **shows** *cl* ( $\sqcap X$ )  $\leq$   $\sqcap (cl \text{ ' } X)$   
 $\langle proof \rangle$

**lemma** *cl-inf-le*:  
 **shows** *cl* (*x*  $\sqcap$  *y*)  $\leq$  *cl* *x*  $\sqcap$  *cl* *y*  
 $\langle proof \rangle$

**lemma** *closed-Inf*:  
 **assumes**  $X \subseteq \text{closed}$   
 **shows**  $\sqcap X \in \text{closed}$   
 $\langle proof \rangle$

**lemmas** *closed-Inf'[intro]* = *closed-Inf[OF subsetI]*

**lemma** *closed-inf[intro]*:

**assumes**  $P \in \text{closed}$   
**assumes**  $Q \in \text{closed}$   
**shows**  $P \sqcap Q \in \text{closed}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{mono2mono}[\text{cont-intro}, \text{partial-function-mono}] = \text{monotone2monotone}[\text{OF monotone-cl}, \text{simplified}]$

**definition**  $\text{dense} :: 'a \text{ set where}$   
 $\text{dense} = \{x. \text{cl } x = \top\}$

**lemma**  $\text{dense-top}:$   
**shows**  $\top \in \text{dense}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dense-Sup}:$   
**assumes**  $X \subseteq \text{dense}$   
**assumes**  $X \neq \{\}$   
**shows**  $\bigsqcup X \in \text{dense}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dense-sup}:$   
**assumes**  $P \in \text{dense}$   
**assumes**  $Q \in \text{dense}$   
**shows**  $P \sqcup Q \in \text{dense}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dense-le}:$   
**assumes**  $P \in \text{dense}$   
**assumes**  $P \leq Q$   
**shows**  $Q \in \text{dense}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dense-inf-closed}:$   
**shows**  $\text{dense} \cap \text{closed} = \{\top\}$   
 $\langle \text{proof} \rangle$

**end**

**locale**  $\text{closure-complete-lattice-class} =$   
 $\text{closure-complete-lattice } (\leq) (<) (\sqcap) (\sqcup) \perp :: - :: \text{complete-lattice } \top \text{ Inf Sup}$

Traditionally closures for logical purposes are taken to be “algebraic”, aka “consequence operators” (Davey and Priestley 2002, Definition 7.12), where *compactness* does the work of the finite/singleton sets.

**locale**  $\text{closure-complete-lattice-algebraic} = \text{— Davey and Priestley (2002, Definition 7.12)}$   
 $\text{closure-complete-lattice}$

**+ assumes**  $\text{algebraic-le}: \text{cl } x \leq \bigsqcup (\text{cl } ' (\{y. y \leq x\} \cap \text{compact-points}))$  — The converse is given by monotonicity  
**begin**

**lemma**  $\text{algebraic}:$   
**shows**  $\text{cl } x = \bigsqcup (\text{cl } ' (\{y. y \leq x\} \cap \text{compact-points}))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cont-cl}:$  — Equivalent to *algebraic-le* Davey and Priestley (2002, Theorem 7.14)  
**shows**  $\text{cont } \bigsqcup (\leq) \bigsqcup (\leq) \text{cl}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mcont-cl}:$   
**shows**  $\text{mcont } \bigsqcup (\leq) \bigsqcup (\leq) \text{cl}$

*<proof>*

**lemma** *mcont2mcont-cl[cont-intro]*:

**assumes** *mcont luba orda*  $\sqcup (\leq) P$

**shows** *mcont luba orda*  $\sqcup (\leq) (\lambda x. cl (P x))$

*<proof>*

**end**

**locale** *closure-complete-lattice-algebraic-class* =

*closure-complete-lattice-algebraic*  $(\leq) (<) (\sqcap) (\sqcup) \perp :: - :: complete-lattice \top Inf Sup$

Our closures often satisfy the stronger condition of *distributivity* (see Scott (1980, §2)).

**locale** *closure-complete-lattice-distributive* =

*closure-complete-lattice*

+ **assumes** *cl-Sup-le*:  $cl (\sqcup X) \leq \sqcup (cl ' X) \sqcup cl \perp$

**begin**

**lemma** *cl-Sup*:

**shows**  $cl (\sqcup X) = \sqcup (cl ' X) \sqcup cl \perp$

*<proof>*

**lemma** *cl-Sup-not-empty*:

**assumes**  $X \neq \{\}$

**shows**  $cl (\sqcup X) = \sqcup (cl ' X)$

*<proof>*

**lemma** *cl-sup*:

**shows**  $cl (X \sqcup Y) = cl X \sqcup cl Y$

*<proof>*

**lemma** *closed-sup[intro]*:

**assumes**  $P \in closed$

**assumes**  $Q \in closed$

**shows**  $P \sqcup Q \in closed$

*<proof>*

**lemma** *closed-Sup*: — Alexandrov: [https://en.wikipedia.org/wiki/Alexandrov\\_topology](https://en.wikipedia.org/wiki/Alexandrov_topology)

**assumes**  $X \subseteq closed$

**shows**  $\sqcup X \sqcup cl \perp \in closed$

*<proof>*

**lemmas** *closed-Sup'[intro]* = *closed-Sup[OF subsetI]*

**lemma** *cont-cl*:

**shows**  $cont \sqcup (\leq) \sqcup (\leq) cl$

*<proof>*

**lemma** *mcont-cl*:

**shows**  $mcont \sqcup (\leq) \sqcup (\leq) cl$

*<proof>*

**lemma** *mcont2mcont-cl[cont-intro]*:

**assumes** *mcont luba orda*  $\sqcup (\leq) F$

**shows** *mcont luba orda*  $\sqcup (\leq) (\lambda x. cl (F x))$

*<proof>*

**lemma** *closure-sup-irreducible-on*: — converse requires the closure to be T0

**assumes** *sup-irreducible-on closed* (*cl x*)  
**shows** *sup-irreducible-on closed* *x*  
⟨*proof*⟩

**end**

**locale** *closure-complete-lattice-distributive-class* =  
*closure-complete-lattice-distributive* ( $\leq$ ) ( $<$ ) ( $\sqcap$ ) ( $\sqcup$ )  $\perp$  :: - :: *complete-lattice*  $\top$  *Inf Sup*

**locale** *closure-complete-distrib-lattice-distributive-class* =  
*closure-complete-lattice-distributive* ( $\leq$ ) ( $<$ ) ( $\sqcap$ ) ( $\sqcup$ )  $\perp$  :: - :: *complete-distrib-lattice*  $\top$  *Inf Sup*  
**begin**

The lattice arising from the closed elements for a distributive closure is completely distributive, i.e., *Inf* and *Sup* distribute. See Davey and Priestley (2002, Section 10.23).

**lemma** *closed-complete-distrib-lattice-axiomI'*:  
**assumes**  $\forall A \in A. \forall x \in A. x \in \text{closed}$   
**shows**  $(\sqcap X \in A. \sqcup X \sqcup \text{cl } \perp)$   
 $\leq \sqcup (\text{Inf } \{f \text{ ' } A \mid f. (\forall X \subseteq \text{closed}. f X \in \text{closed}) \wedge (\forall Y \in A. f Y \in Y)\}) \sqcup \text{cl } \perp$   
⟨*proof*⟩

**lemma** *closed-complete-distrib-lattice-axiomI[intro]*:  
**assumes**  $\forall A \in A. \forall x \in A. x \in \text{closed}$   
**shows**  $(\sqcap X \in A. \sqcup X \sqcup \text{cl } \perp)$   
 $\leq \sqcup (\text{Inf } \{B. (\exists f. (\forall x. (\forall x \in x. x \in \text{closed}) \longrightarrow f x \in \text{closed}))$   
 $\wedge B = f \text{ ' } A \wedge (\forall Y \in A. f Y \in Y)) \wedge (\forall x \in B. x \in \text{closed})\})$   
 $\sqcup \text{cl } \perp$   
⟨*proof*⟩

**lemma** *closed-strict-complete-distrib-lattice-axiomI[intro]*:  
**assumes**  $\text{cl } \perp = \perp$   
**assumes**  $\forall A \in A. \forall x \in A. x \in \text{closed}$   
**shows**  $(\sqcap X \in A. \sqcup X)$   
 $\leq \sqcup (\text{Inf } \{x. (\exists f. (\forall x. (\forall x \in x. x \in \text{closed}) \longrightarrow f x \in \text{closed}))$   
 $\wedge x = f \text{ ' } A \wedge (\forall Y \in A. f Y \in Y)) \wedge (\forall x \in x. x \in \text{closed})\})$   
⟨*proof*⟩

**end**

## 5.2 Closures over powersets

**locale** *closure-powerset* =  
*closure-complete-lattice-class* *cl* **for** *cl* :: 'a set  $\Rightarrow$  'a set  
**begin**

**lemmas** *expansive'* = *subsetD[OF expansive]*

**lemma** *closedI[intro]*:  
**assumes**  $\bigwedge x. x \in \text{cl } X \implies x \in X$   
**shows**  $X \in \text{closed}$   
⟨*proof*⟩

**lemma** *closedE*:  
**assumes**  $x \in \text{cl } Y$   
**assumes**  $Y \in \text{closed}$   
**shows**  $x \in Y$   
⟨*proof*⟩

**lemma** *cl-mono*:

**assumes**  $x \in cl\ X$

**assumes**  $X \subseteq Y$

**shows**  $x \in cl\ Y$

*<proof>*

**lemma** *cl-bind-le*:

**shows**  $X \gg= cl \circ f \leq cl (X \gg= f)$

*<proof>*

**lemma** *pointwise-distributive-iff*:

**shows**  $(\forall X. cl (\bigcup X) = \bigcup (cl \text{ ' } X) \cup cl \{\}) \longleftrightarrow (\forall X. cl X = (\bigcup_{x \in X}. cl \{x\}) \cup cl \{\})$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)

*<proof>*

**lemma** *Sup-prime-on-singleton*:

**shows** *Sup-prime-on closed*  $(cl \{x\})$

*<proof>*

**end**

**locale** *closure-powerset-algebraic* =

*closure-powerset*

+ *closure-complete-lattice-algebraic-class*

**locale** *closure-powerset-distributive* =

*closure-powerset*

+ *closure-complete-distrib-lattice-distributive-class*

**begin**

**lemmas** *distributive* = *pointwise-distributive-iff*[*THEN iffD1, rule-format, OF cl-Sup*]

**lemma** *algebraic-axiom*: — Davey and Priestley (2002, Theorem 7.14)

**shows**  $cl\ x \subseteq \bigcup (cl \text{ ' } (\{y. y \subseteq x\} \cap local.compact-points))$

*<proof>*

**lemma** *cl-insert*:

**shows**  $cl (insert\ x\ X) = cl \{x\} \cup cl\ X$

*<proof>*

**lemma** *cl-UNION*:

**shows**  $cl (\bigcup_{i \in I}. f\ i) = (\bigcup_{i \in I}. cl (f\ i)) \cup cl \{\}$

*<proof>*

**lemma** *closed-UNION*:

**assumes**  $\bigwedge i. i \in I \implies f\ i \in closed$

**shows**  $(\bigcup_{i \in I}. f\ i) \cup cl \{\} \in closed$

*<proof>*

**lemma** *sort-of-inverse*: — Pfaltz and Šlapal (2013, Proposition 2.5)

**assumes**  $y \in cl\ X - cl \{\}$

**shows**  $\exists x \in X. y \in cl \{x\}$

*<proof>*

**lemma** *cl-diff-le*:

**shows**  $cl\ x - cl\ y \subseteq cl (x - y)$

*<proof>*

**lemma** *cl-bind*:

**shows**  $cl (X \gg f) = (X \gg cl \circ f) \cup cl \{\}$   
*<proof>*

**lemma** *sup-irreducible-on-singleton*:

**shows** *sup-irreducible-on closed* ( $cl \{a\}$ )  
*<proof>*

**end**

### 5.3 Matroids and antimatroids

The *exchange* axiom characterises *matroids* (see, for instance, §6.1), while the *anti-exchange* axiom characterises *antimatroids* (see e.g. §7.1).

References:

- Pfaltz and Šlapal (2013) provide an overview of these concepts
- <https://en.wikipedia.org/wiki/Antimatroid>

**definition** *anti-exchange* :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool **where**

*anti-exchange*  $cl \iff (\forall X x y. x \neq y \wedge y \in cl (insert x X) - cl X \longrightarrow x \notin cl (insert y X) - cl X)$

**definition** *exchange* :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool **where**

*exchange*  $cl \iff (\forall X x y. y \in cl (insert x X) - cl X \longrightarrow x \in cl (insert y X) - cl X)$

**lemmas** *anti-exchangeI* = *iffD2[OF anti-exchange-def, rule-format]*

**lemmas** *exchangeI* = *iffD2[OF exchange-def, rule-format]*

**lemma** *anti-exchangeD*:

**assumes**  $y \in cl (insert x X) - cl X$   
**assumes**  $x \neq y$   
**assumes** *anti-exchange*  $cl$   
**shows**  $x \notin cl (insert y X) - cl X$   
*<proof>*

**lemma** *exchange-Image*: — Some matroids arise from equivalence relations. Note  $sym r \wedge trans r \longrightarrow Refl r$

**shows** *exchange* (*Image*  $r$ )  $\iff sym r \wedge trans r$   
*<proof>*

**locale** *closure-powerset-distributive-exchange* =

*closure-powerset-distributive*  
+ **assumes** *exchange*: *exchange*  $cl$   
**begin**

**lemma** *exchange-exchange*:

**assumes**  $x \in cl \{y\}$   
**assumes**  $x \notin cl \{\}$   
**shows**  $y \in cl \{x\}$   
*<proof>*

**lemma** *exchange-closed-inter*:

**assumes**  $Q \in closed$   
**shows**  $cl P \cap Q = cl (P \cap Q)$  (**is** *?lhs = ?rhs*)  
**and**  $Q \cap cl P = cl (P \cap Q)$  (**is** *?thesis1*)  
*<proof>*

**lemma** *exchange-both-closed-inter*:

**assumes**  $P \in \text{closed}$   
**assumes**  $Q \in \text{closed}$   
**shows**  $cl (P \cap Q) = P \cap Q$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *anti-exchange-Image*: — when  $r$  is asymmetric on distinct points

**shows** *anti-exchange* (*Image*  $r$ )  $\longleftrightarrow (\forall x y. x \neq y \wedge (x, y) \in r \longrightarrow (y, x) \notin r)$   
 $\langle \text{proof} \rangle$

**locale** *closure-powerset-distributive-anti-exchange* =  
*closure-powerset-distributive*  
+ **assumes** *anti-exchange*: *anti-exchange*  $cl$

## 5.4 Composition

Conditions under which composing two closures yields a closure. See also Pfaltz and Šlapal (2013).

**lemma** *closure-comp*:

**assumes** *closure lesseqa lessa*  $cl_1$   
**assumes** *closure lesseqa lessa*  $cl_2$   
**assumes**  $\bigwedge X. cl_1 (cl_2 X) = cl_2 (cl_1 X)$   
**shows** *closure lesseqa lessa* ( $\lambda X. cl_1 (cl_2 X)$ )  
 $\langle \text{proof} \rangle$

**lemma** *closure-complete-lattice-comp*:

**assumes** *closure-complete-lattice Infa Supa infa lesseqa lessa supa bota topa*  $cl_1$   
**assumes** *closure-complete-lattice Infa Supa infa lesseqa lessa supa bota topa*  $cl_2$   
**assumes**  $\bigwedge X. cl_1 (cl_2 X) = cl_2 (cl_1 X)$   
**shows** *closure-complete-lattice Infa Supa infa lesseqa lessa supa bota topa* ( $\lambda X. cl_1 (cl_2 X)$ )  
 $\langle \text{proof} \rangle$

**lemma** *closure-powerset-comp*:

**assumes** *closure-powerset*  $cl_1$   
**assumes** *closure-powerset*  $cl_2$   
**assumes**  $\bigwedge X. cl_1 (cl_2 X) = cl_2 (cl_1 X)$   
**shows** *closure-powerset* ( $\lambda X. cl_1 (cl_2 X)$ )  
 $\langle \text{proof} \rangle$

**lemma** *closure-powerset-distributive-comp*:

**assumes** *closure-powerset-distributive*  $cl_1$   
**assumes** *closure-powerset-distributive*  $cl_2$   
**assumes**  $\bigwedge X. cl_1 (cl_2 X) = cl_2 (cl_1 X)$   
**shows** *closure-powerset-distributive* ( $\lambda X. cl_1 (cl_2 X)$ )  
 $\langle \text{proof} \rangle$

## 5.5 Path independence

Pfaltz and Šlapal (2013, Prop 1.1): “an expansive operator is a closure operator iff it is path independent.”

References:

- \$AFP/Stable\_Matching/Choice\_Functions.thy

**context** *semilattice-sup*

**begin**

**definition** *path-independent* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow$  *bool* **where**

*path-independent*  $f \iff (\forall x y. f (x \sqcup y) = f (f x \sqcup f y))$

**lemma** *cl-path-independent*:

**shows** *closure*  $(\leq) (<) cl \iff \text{path-independent } cl \wedge (\forall x. x \leq cl x)$  (**is** *?lhs*  $\iff$  *?rhs*)  
*<proof>*

**end**

## 5.6 Some closures

**interpretation** *id-cl: closure-powerset-distributive id*  
*<proof>*

### 5.6.1 Reflexive, symmetric and transitive closures

The reflexive closure *reflcl* is very well behaved. Note the new bottom is *Id*. The reflexive transitive closure *rtrancl* and transitive closure *trancl* are clearly not distributive.

*rtrancl* is neither matroidal nor antimatroidal.

**interpretation** *reflcl-cl: closure-powerset-distributive-exchange reflcl*  
*<proof>*

**interpretation** *symcl-cl: closure-powerset-distributive-exchange*  $\lambda X. X \cup X^{-1}$   
*<proof>*

**interpretation** *trancl-cl: closure-powerset trancl*  
*<proof>*

**interpretation** *rtrancl-cl: closure-powerset rtrancl*  
*<proof>*

**lemma** *rtrancl-closed-Id*:  
**shows**  $Id \in \text{rtrancl-cl.closed}$   
*<proof>*

**lemma** *rtrancl-closed-reflcl-closed*:  
**shows**  $\text{rtrancl-cl.closed} \subseteq \text{reflcl-cl.closed}$   
*<proof>*

### 5.6.2 Relation image

**lemma** *idempotent-Image*:  
**assumes**  $r \subseteq Y \times Y$   
**assumes** *refl-on*  $Y r$   
**assumes** *trans*  $r$   
**assumes**  $X \subseteq Y$   
**shows**  $r \text{ `` } r \text{ `` } X = r \text{ `` } X$   
*<proof>*

**lemmas** *distributive-Image = Image-eq-UN*

**lemma** *closure-powerset-distributive-ImageI*:  
**assumes**  $cl = \text{Image } r$   
**assumes** *refl*  $r$   
**assumes** *trans*  $r$   
**shows** *closure-powerset-distributive*  $cl$   
*<proof>*

**lemma** *closure-powerset-distributive-exchange-ImageI*:

**assumes**  $cl = Image\ r$   
**assumes**  $equiv\ UNIV\ r$  — symmetric, transitive and universal domain  
**shows**  $closure-powerset-distributive-exchange\ cl$   
 $\langle proof \rangle$

**interpretation**  $Image-rtrancl$ :  $closure-powerset-distributive\ Image\ (r^*)$   
 $\langle proof \rangle$

### 5.6.3 Kleene closure

We define Kleene closure in the traditional way with respect to some axioms that our various lattices satisfy. As trace models are not going to validate  $x \cdot \perp = \perp$  (Kozen 1994, Axiom 13), we cannot reuse existing developments of Kleene Algebra (and Concurrent Kleene Algebra (Hoare, Möller, Struth, and Wehrman 2011)). In general it is not distributive.

**locale**  $weak-kleene =$   
**fixes**  $unit :: 'a::complete-lattice (\varepsilon)$   
**fixes**  $comp :: 'a \Rightarrow 'a \Rightarrow 'a$  (**infixl**  $\langle \cdot \rangle$  60)  
**assumes**  $comp-assoc: (x \cdot y) \cdot z = x \cdot (y \cdot z)$   
**assumes**  $weak-comp-unitL: \varepsilon \leq x \Longrightarrow \varepsilon \cdot x = x$   
**assumes**  $comp-unitR: x \cdot \varepsilon = x$   
**assumes**  $comp-supL: (x \sqcup y) \cdot z = (x \cdot z) \sqcup (y \cdot z)$   
**assumes**  $comp-supR: x \cdot (y \sqcup z) = (x \cdot y) \sqcup (x \cdot z)$   
**assumes**  $mcont-compL: mcont\ Sup\ (\leq)\ Sup\ (\leq)\ (\lambda x. x \cdot y)$   
**assumes**  $mcont-compR: mcont\ Sup\ (\leq)\ Sup\ (\leq)\ (\lambda y. x \cdot y)$   
**assumes**  $comp-botL: \perp \cdot x = \perp$   
**begin**

**lemma**  $mcont2mcont-comp$ :  
**assumes**  $mcont\ Supa\ orda\ Sup\ (\leq)\ f$   
**assumes**  $mcont\ Supa\ orda\ Sup\ (\leq)\ g$   
**shows**  $mcont\ Supa\ orda\ Sup\ (\leq)\ (\lambda x. f\ x \cdot g\ x)$   
 $\langle proof \rangle$

**lemma**  $mono2mono-comp$ :  
**assumes**  $monotone\ orda\ (\leq)\ f$   
**assumes**  $monotone\ orda\ (\leq)\ g$   
**shows**  $monotone\ orda\ (\leq)\ (\lambda x. f\ x \cdot g\ x)$   
 $\langle proof \rangle$

**context**  
**notes**  $mcont2mcont-comp$ [ $cont-intro$ ]  
**notes**  $mono2mono-comp$ [ $cont-intro$ ,  $partial-function-mono$ ]  
**notes**  $st-monotone$ [ $OF\ mcont-mono$ [ $OF\ mcont-compL$ ],  $strg$ ]  
**notes**  $st-monotone$ [ $OF\ mcont-mono$ [ $OF\ mcont-compR$ ],  $strg$ ]  
**begin**

**context**  
**notes** [[ $function-internals$ ]] — Exposes the induction rules we need  
**begin**

**partial-function** ( $lfp$ )  $star :: 'a \Rightarrow 'a$  **where**  
 $star\ x = (x \cdot star\ x) \sqcup \varepsilon$

**partial-function** ( $lfp$ )  $rev-star :: 'a \Rightarrow 'a$  **where**  
 $rev-star\ x = (rev-star\ x \cdot x) \sqcup \varepsilon$

**end**

**lemmas** *parallel-star-induct-1-1* =  
*parallel-fixp-induct-1-1*[*OF*  
*complete-lattice-partial-function-definitions* *complete-lattice-partial-function-definitions*  
*star.mono* *star.mono* *star-def* *star-def*]

**lemma** *star-bot*:  
**shows**  $star \perp = \varepsilon$   
 $\langle proof \rangle$

**lemma** *epsilon-star-le*:  
**shows**  $\varepsilon \leq star P$   
 $\langle proof \rangle$

**lemma** *monotone-star*:  
**shows** *mono* *star*  
 $\langle proof \rangle$

**lemma** *expansive-star*:  
**shows**  $x \leq star x$   
 $\langle proof \rangle$

**lemma** *star-comp-star*:  
**shows**  $star x \cdot star x = star x$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

**lemma** *idempotent-star*:  
**shows**  $star (star x) = star x$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

**interpretation** *star*: *closure-complete-lattice-class* *star*  
 $\langle proof \rangle$

**lemma** *star-epsilon*:  
**shows**  $star \varepsilon = \varepsilon$   
 $\langle proof \rangle$

**lemma** *epsilon-rev-star-le*:  
**shows**  $\varepsilon \leq rev-star P$   
 $\langle proof \rangle$

**lemma** *rev-star-comp-rev-star*:  
**shows**  $rev-star x \cdot rev-star x = rev-star x$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

**lemma** *star-rev-star*:  
**shows**  $star = rev-star$  (**is** *?lhs* = *?rhs*)  
 $\langle proof \rangle$

**lemmas** *star-fixp-rev-induct* = *rev-star.fixp-induct*[*folded* *star-rev-star*]

**interpretation** *rev-star*: *closure-complete-lattice-class* *rev-star*  
 $\langle proof \rangle$

**lemma** *rev-star-bot*:  
**shows**  $rev-star \perp = \varepsilon$   
 $\langle proof \rangle$

**lemma** *rev-star-epsilon*:

**shows** *rev-star*  $\varepsilon = \varepsilon$   
*<proof>*

**lemmas** *star-unfoldL* = *star.simps*

**lemma** *star-unfoldR*:

**shows**  $\text{star } x = (\text{star } x \cdot x) \sqcup \varepsilon$   
*<proof>*

**lemmas** *rev-star-unfoldR* = *rev-star.simps*

**lemma** *rev-star-unfoldL*:

**shows**  $\text{rev-star } x = (x \cdot \text{rev-star } x) \sqcup \varepsilon$   
*<proof>*

**lemma** *fold-starL*:

**shows**  $x \cdot \text{star } x \leq \text{star } x$   
*<proof>*

**lemma** *fold-starR*:

**shows**  $\text{star } x \cdot x \leq \text{star } x$   
*<proof>*

**lemma** *fold-rev-starL*:

**shows**  $x \cdot \text{rev-star } x \leq \text{rev-star } x$   
*<proof>*

**lemma** *fold-rev-starR*:

**shows**  $\text{rev-star } x \cdot x \leq \text{rev-star } x$   
*<proof>*

**declare** *star.strengthen-cl*[*strg*] *rev-star.strengthen-cl*[*strg*]

**end**

**end**

**locale** *kleene* = *weak-kleene* +

**assumes** *comp-unitL*:  $\varepsilon \cdot x = x$  — satisfied by (*'a*, *'s*, *'v*) *prog* but not (*'a*, *'s*, *'v*) *spec*

## 6 Galois connections

Here we collect some classical results for Galois connections. These are drawn from [Backhouse \(2000\)](#); [Davey and Priestley \(2002\)](#); [Melton, Schmidt, and Strecker \(1985\)](#); [Müller-Olm \(1997\)](#) amongst others. The canonical reference is likely [Gierz, Hofmann, Keimel, Lawson, Mislove, and Scott \(2003\)](#).

Our focus is on constructing closures (§5) conveniently; we are less interested in the fixed-point story. Many of these results hold for preorders; we simply work with partial orders (via the *ordering* locale). Similarly *conditionally complete lattices* are often sufficient, but for convenience we just assume (unconditional) completeness.

**locale** *galois* =

*orda*: *ordering less-ega lessa*  
+ *ordb*: *ordering less-eqb lessb*  
**for** *less-ega* (**infix**  $\langle \leq_a \rangle$  50)  
**and** *lessa* (**infix**  $\langle <_a \rangle$  50)  
**and** *less-eqb* (**infix**  $\langle \leq_b \rangle$  50)  
**and** *lessb* (**infix**  $\langle <_b \rangle$  50)  
+ **fixes** *lower* :: *'a*  $\Rightarrow$  *'b*  
**fixes** *upper* :: *'b*  $\Rightarrow$  *'a*

**assumes** *galois*:  $\text{lower } x \leq_b y \longleftrightarrow x \leq_a \text{upper } y$

**begin**

**lemma** *monotone-lower*:

**shows** *monotone*  $(\leq_a) (\leq_b)$  *lower*

$\langle$ *proof* $\rangle$

**lemma** *monotone-upper*:

**shows** *monotone*  $(\leq_b) (\leq_a)$  *upper*

$\langle$ *proof* $\rangle$

**lemmas** *strengthen-lower* $[strg] = st\text{-monotone}[OF \text{monotone-lower}]$

**lemmas** *strengthen-upper* $[strg] = st\text{-monotone}[OF \text{monotone-upper}]$

**lemma** *upper-lower-expansive*:

**shows**  $x \leq_a \text{upper } (\text{lower } x)$

$\langle$ *proof* $\rangle$

**lemma** *lower-upper-contractive*:

**shows**  $\text{lower } (\text{upper } x) \leq_b x$

$\langle$ *proof* $\rangle$

**lemma** *comp-galois*: — Backhouse (2000, Lemma 19). Observe that the roles of upper and lower have swapped.

**fixes** *less-egc* ::  $'c \Rightarrow 'c \Rightarrow \text{bool}$  (**infix**  $\langle \leq_c \rangle$  50)

**fixes** *lessc* ::  $'c \Rightarrow 'c \Rightarrow \text{bool}$  (**infix**  $\langle <_c \rangle$  50)

**fixes** *h* ::  $'a \Rightarrow 'c$

**fixes** *k* ::  $'b \Rightarrow 'c$

**assumes** *partial-preordering*  $(\leq_c)$

**assumes** *monotone*  $(\leq_a) (\leq_c)$  *h*

**assumes** *monotone*  $(\leq_b) (\leq_c)$  *k*

**shows**  $(\forall x. h (\text{upper } x) \leq_c k x) \longleftrightarrow (\forall x. h x \leq_c k (\text{lower } x))$

$\langle$ *proof* $\rangle$

**lemma** *lower-upper-le-iff*: — Backhouse (2000, Lemma 23)

**assumes**  $\forall x y. \text{lower}' x \leq_b y \longleftrightarrow x \leq_a \text{upper}' y$

**shows**  $(\forall x. \text{lower}' x \leq_b \text{lower } x) \longleftrightarrow (\forall y. \text{upper } y \leq_a \text{upper}' y)$

$\langle$ *proof* $\rangle$

**lemma** *lower-upper-unique*: — Backhouse (2000, Lemma 24)

**assumes**  $\forall x y. \text{lower}' x \leq_b y \longleftrightarrow x \leq_a \text{upper}' y$

**shows**  $\text{lower}' = \text{lower} \longleftrightarrow \text{upper}' = \text{upper}$

$\langle$ *proof* $\rangle$

**lemma** *upper-lower-idem*:

**shows**  $\text{upper } (\text{lower } (\text{upper } (\text{lower } x))) = \text{upper } (\text{lower } x)$

$\langle$ *proof* $\rangle$

**lemma** *lower-upper-idem*:

**shows**  $\text{lower } (\text{upper } (\text{lower } (\text{upper } x))) = \text{lower } (\text{upper } x)$

$\langle$ *proof* $\rangle$

**lemma** *lower-upper-lower*: — Melton et al. (1985, Proposition 1.2(2))

**shows**  $\text{lower} \circ \text{upper} \circ \text{lower} = \text{lower}$

**and**  $\text{lower } (\text{upper } (\text{lower } x)) = \text{lower } x$

$\langle$ *proof* $\rangle$

**lemma** *upper-lower-upper*: — Melton et al. (1985, Proposition 1.2(2))

**shows**  $\text{upper} \circ \text{lower} \circ \text{upper} = \text{upper}$

**and**  $upper (lower (upper x)) = upper x$   
 $\langle proof \rangle$

**definition**  $cl :: 'a \Rightarrow 'a$  **where** — The opposite composition yields a kernel operator  
 $cl x = upper (lower x)$

**lemma** *cl-axiom*:

**shows**  $(x \leq_a cl y) = (cl x \leq_a cl y)$   
 $\langle proof \rangle$

**sublocale** *closure*  $(\leq_a) (<_a) cl$  — incorporates definitions and lemmas into this namespace  
 $\langle proof \rangle$

**lemma** *cl-upper*:

**shows**  $cl (upper P) = upper P$   
 $\langle proof \rangle$

**lemma** *closed-upper*:

**shows**  $upper P \in closed$   
 $\langle proof \rangle$

**lemma** *inj-lower-iff-surj-upper*:

**shows**  $inj lower \longleftrightarrow surj upper$   
 $\langle proof \rangle$

**lemma** *inj-lower-iff-upper-lower-id*:

**shows**  $inj lower \longleftrightarrow upper \circ lower = id$   
 $\langle proof \rangle$

**lemma** *upper-inj-iff-surj-lower*:

**shows**  $inj upper \longleftrightarrow surj lower$   
 $\langle proof \rangle$

**lemma** *inj-upper-iff-lower-upper-id*:

**shows**  $inj upper \longleftrightarrow lower \circ upper = id$   
 $\langle proof \rangle$

**lemma** *lower-downset-upper*: — Davey and Priestley (2002, Lemma 7.32): inverse image of lower on a downset is the downset of upper

**shows**  $lower - ' \{a. a \leq_b y\} = \{a. a \leq_a upper y\}$   
 $\langle proof \rangle$

**lemma** *lower-downset*: — Davey and Priestley (2002, Lemma 7.32); equivalent to the Galois axiom

**shows**  $\exists! x. lower - ' \{a. a \leq_b y\} = \{a. a \leq_a x\}$   
 $\langle proof \rangle$

**end**

$\langle ML \rangle$

**lemma** *axioms-alt*:

**fixes** *less-eqa* (**infix**  $\langle \leq_a \rangle$  50)

**fixes** *less-eqb* (**infix**  $\langle \leq_b \rangle$  50)

**fixes** *lower* ::  $'a \Rightarrow 'b$

**fixes** *upper* ::  $'b \Rightarrow 'a$

**assumes** *oa*: ordering *less-eqa* *lessa*

**assumes** *ob*: ordering *less-eqb* *lessb*

**assumes** *ul*:  $\forall x. x \leq_a upper (lower x)$

**assumes** *lu*:  $\forall x. \text{lower } (\text{upper } x) \leq_b x$   
**assumes** *ml*: *monotone*  $(\leq_a) (\leq_b)$  *lower*  
**assumes** *mu*: *monotone*  $(\leq_b) (\leq_a)$  *upper*  
**shows**  $\text{lower } x \leq_b y \iff x \leq_a \text{upper } y$   
 <proof>

**lemma** *compose*:

**fixes** *lower*<sub>1</sub> :: 'b  $\Rightarrow$  'c  
**fixes** *lower*<sub>2</sub> :: 'a  $\Rightarrow$  'b  
**fixes** *less-ega* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool  
**assumes** *galois less-egb lessb less-egc lessc lower*<sub>1</sub> *upper*<sub>1</sub>  
**assumes** *galois less-ega lessa less-egb lessb lower*<sub>2</sub> *upper*<sub>2</sub>  
**shows** *galois less-ega lessa less-egc lessc* (*lower*<sub>1</sub>  $\circ$  *lower*<sub>2</sub>) (*upper*<sub>2</sub>  $\circ$  *upper*<sub>1</sub>)  
 <proof>

**locale** *complete-lattice* =

*cla*: *complete-lattice* *Inf*<sub>a</sub> *Sup*<sub>a</sub>  $(\sqcap_a) (\leq_a) (<_a) (\sqcup_a) \perp_a \top_a$   
 + *clb*: *complete-lattice* *Inf*<sub>b</sub> *Sup*<sub>b</sub>  $(\sqcap_b) (\leq_b) (<_b) (\sqcup_b) \perp_b \top_b$   
 + *galois*  $(\leq_a) (<_a) (\leq_b) (<_b)$  *lower upper*  
**for** *less-ega* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (**infix**  $\langle \leq_a \rangle$  50)  
**and** *lessa* (**infix**  $\langle <_a \rangle$  50)  
**and** *infa* (**infixl**  $\langle \sqcap_a \rangle$  70)  
**and** *supa* (**infixl**  $\langle \sqcup_a \rangle$  65)  
**and** *bota*  $\langle \perp_a \rangle$   
**and** *topa*  $\langle \top_a \rangle$   
**and** *Inf*<sub>a</sub> *Sup*<sub>a</sub>  
**and** *less-egb* :: 'b  $\Rightarrow$  'b  $\Rightarrow$  bool (**infix**  $\langle \leq_b \rangle$  50)  
**and** *lessb* (**infix**  $\langle <_b \rangle$  50)  
**and** *infb* (**infixl**  $\langle \sqcap_b \rangle$  70)  
**and** *supb* (**infixl**  $\langle \sqcup_b \rangle$  65)  
**and** *botb*  $\langle \perp_b \rangle$   
**and** *topb*  $\langle \top_b \rangle$   
**and** *Inf*<sub>b</sub> *Sup*<sub>b</sub>  
**and** *lower* :: 'a  $\Rightarrow$  'b  
**and** *upper* :: 'b  $\Rightarrow$  'a  
**begin**

**lemma** *lower-bot*:

**shows**  $\text{lower } \perp_a = \perp_b$   
 <proof>

**lemmas** *mono2mono-lower*[*cont-intro, partial-function-mono*] = *monotone2monotone*[*OF monotone-lower, simplified*]

**lemma** *lower-Sup*: — Melton et al. (1985, Proposition 1.2(6)): *lower* is always a distributive operation

**shows**  $\text{lower } (\text{Sup}_a X) = \text{Sup}_b (\text{lower } ` X)$  (**is** ?lhs = ?rhs)  
 <proof>

**lemma** *lower-SUP*:

**shows**  $\text{lower } (\text{Sup}_a (f ` X)) = \text{Sup}_b ((\lambda x. \text{lower } (f x)) ` X)$   
 <proof>

**lemma** *lower-sup*:

**shows**  $\text{lower } (X \sqcup_a Y) = \text{lower } X \sqcup_b \text{lower } Y$   
 <proof>

**lemma** *lower-Inf-le*:

**shows**  $\text{lower } (\text{Inf}_a X) \leq_b \text{Inf}_b (\text{lower } ` X)$

$\langle \text{proof} \rangle$

**lemma** *lower-INF-le*:

**shows**  $\text{lower } (\text{Inf}_a (f \text{ ' } X)) \leq_b \text{Inf}_b ((\lambda x. \text{lower } (f x)) \text{ ' } X)$

$\langle \text{proof} \rangle$

**lemma** *lower-inf-le*:

**shows**  $\text{lower } (x \sqcap_a y) \leq_b \text{lower } x \sqcap_b \text{lower } y$

$\langle \text{proof} \rangle$

**lemma** *mcont-lower*: — [Backhouse \(2000\)](#): fixed point theory based on Galois connections is less general than using countable chains

**shows**  $\text{mcont } \text{Sup}_a (\leq_a) \text{Sup}_b (\leq_b) \text{lower}$

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont-lower[cont-intro]*:

**assumes**  $\text{mcont } \text{luba } \text{orda } \text{Sup}_a (\leq_a) P$

**shows**  $\text{mcont } \text{luba } \text{orda } \text{Sup}_b (\leq_b) (\lambda x. \text{lower } (P x))$

$\langle \text{proof} \rangle$

**lemma** *upper-top*:

**shows**  $\text{upper } \top_b = \top_a$

$\langle \text{proof} \rangle$

**lemma** *Sup-upper-le*:

**shows**  $\text{Sup}_a (\text{upper } \text{ ' } X) \leq_a \text{upper } (\text{Sup}_b X)$

$\langle \text{proof} \rangle$

**lemma** *sup-upper-le*:

**shows**  $\text{upper } x \sqcup_a \text{upper } y \leq_a \text{upper } (x \sqcup_b y)$

$\langle \text{proof} \rangle$

**lemma** *upper-Inf*: — [Melton et al. \(1985, Proposition 1.2\(6\)\)](#)

**shows**  $\text{upper } (\text{Inf}_b X) = \text{Inf}_a (\text{upper } \text{ ' } X)$  (is ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *upper-INF*:

**shows**  $\text{upper } (\text{Inf}_b (f \text{ ' } X)) = \text{Inf}_a ((\lambda x. \text{upper } (f x)) \text{ ' } X)$

$\langle \text{proof} \rangle$

**lemma** *upper-inf*:

**shows**  $\text{upper } (X \sqcap_b Y) = \text{upper } X \sqcap_a \text{upper } Y$

$\langle \text{proof} \rangle$

In a complete lattice *lower* is determined by *upper* and vice-versa.

**lemma** *lower-Inf-upper*:

**shows**  $\text{lower } X = \text{Inf}_b \{Y. X \leq_a \text{upper } Y\}$

$\langle \text{proof} \rangle$

**lemma** *upper-Sup-lower*:

**shows**  $\text{upper } X = \text{Sup}_a \{Y. \text{lower } Y \leq_b X\}$

$\langle \text{proof} \rangle$

**lemma** *upper-downwards-closure-lower*: — [Melton et al. \(1985, Lemma 2.1\)](#)

**shows**  $\text{upper } x = \text{Sup}_a (\text{lower } - \text{ ' } \{y. y \leq_b x\})$

$\langle \text{proof} \rangle$

**sublocale** *closure-complete-lattice*  $(\leq_a) (<_a) (\sqcap_a) (\sqcup_a) \perp_a \top_a \text{Inf}_a \text{Sup}_a \text{cl}$

*<proof>*

**end**

**locale** *complete-lattice-distributive* =  
  *galois.complete-lattice*

+ **assumes** *upper-Sup-le*:  $\text{upper } (\text{Sup}_b X) \leq_a \text{Sup}_a (\text{upper } ' X)$  — Stronger than Scott continuity, which only asks for this for chain or directed  $X$ .

**begin**

**lemma** *upper-Sup*:

**shows**  $\text{upper } (\text{Sup}_b X) = \text{Sup}_a (\text{upper } ' X)$

*<proof>*

**lemma** *upper-bot*:

**shows**  $\text{upper } \perp_b = \perp_a$

*<proof>*

**lemma** *upper-sup*:

**shows**  $\text{upper } (x \sqcup_b y) = \text{upper } x \sqcup_a \text{upper } y$

*<proof>*

**lemmas** *mono2mono-upper*[*cont-intro, partial-function-mono*] = *monotone2monotone*[*OF monotone-upper, simplified*]

**lemma** *mcont-upper*:

**shows**  $\text{mcont } \text{Sup}_b (\leq_b) \text{Sup}_a (\leq_a) \text{upper}$

*<proof>*

**lemma** *mcont2mcont-upper*[*cont-intro*]:

**assumes** *mcont luba orda*  $\text{Sup}_b (\leq_b) P$

**shows**  $\text{mcont luba orda } \text{Sup}_a (\leq_a) (\lambda x. \text{upper } (P x))$

*<proof>*

**sublocale** *closure-complete-lattice-distributive*  $(\leq_a) (<_a) (\sqcap_a) (\sqcup_a) \perp_a \top_a \text{Inf}_a \text{Sup}_a \text{cl}$

*<proof>*

**lemma** *cl-bot*:

**shows**  $\text{cl } \perp_a = \perp_a$

*<proof>*

**lemma** *closed-bot*[*iff*]:

**shows**  $\perp_a \in \text{closed}$

*<proof>*

**end**

**locale** *complete-lattice-class* =

*galois.complete-lattice*

$(\leq) (<) (\sqcap) (\sqcup) \perp :: - :: \text{complete-lattice } \top \text{Inf Sup}$

$(\leq) (<) (\sqcap) (\sqcup) \perp :: - :: \text{complete-lattice } \top \text{Inf Sup}$

**begin**

**sublocale** *closure-complete-lattice-class* *cl* *<proof>*

**end**

**locale** *complete-lattice-distributive-class* =

```

galois.complete-lattice-distributive
  ( $\leq$ ) ( $<$ ) ( $\sqcap$ ) ( $\sqcup$ )  $\perp$  :: - :: complete-lattice  $\top$  Inf Sup
  ( $\leq$ ) ( $<$ ) ( $\sqcap$ ) ( $\sqcup$ )  $\perp$  :: - :: complete-lattice  $\top$  Inf Sup
begin

sublocale galois.complete-lattice-class <proof>
sublocale closure-complete-lattice-distributive-class cl <proof>

end

lemma existence-lower-preserves-Sup: — Hoare and He (1987, p8 of Oxford TR PRG-44) amongst others
  fixes lower :: - :: complete-lattice  $\Rightarrow$  - :: complete-lattice
  assumes mono lower
  shows ( $\forall x y. lower\ x \leq y \longleftrightarrow x \leq \sqcup \{Y. lower\ Y \leq y\}$ )  $\longleftrightarrow$  ( $\forall X. lower (\sqcup X) \leq \sqcup (lower\ 'X)$ ) (is ?lhs
 $\longleftrightarrow$  ?rhs)
  <proof>

lemma lower-preserves-SupI:
  assumes mono lower
  assumes  $\bigwedge X. lower (\sqcup X) \leq \sqcup (lower\ 'X)$ 
  assumes  $\bigwedge x. upper\ x = \sqcup \{X. lower\ X \leq x\}$ 
  shows galois.complete-lattice-class lower upper
  <proof>

lemma existence-upper-preserves-Inf:
  fixes upper :: - :: complete-lattice  $\Rightarrow$  - :: complete-lattice
  assumes mono upper
  shows ( $\forall x y. \sqcap \{Y. x \leq upper\ Y\} \leq y \longleftrightarrow x \leq upper\ y$ )  $\longleftrightarrow$  ( $\forall X. \sqcap (upper\ 'X) \leq upper (\sqcap X)$ ) (is ?lhs
 $\longleftrightarrow$  ?rhs)
  <proof>

lemma upper-preserves-InfI:
  assumes mono upper
  assumes  $\bigwedge X. \sqcap (upper\ 'X) \leq upper (\sqcap X)$ 
  assumes  $\bigwedge x. lower\ x = \sqcap \{X. x \leq upper\ X\}$ 
  shows galois.complete-lattice-class lower upper
  <proof>

locale powerset =
  galois.complete-lattice-class lower upper
  for lower :: 'a set  $\Rightarrow$  'b set
  and upper :: 'b set  $\Rightarrow$  'a set
begin

lemma lower-insert:
  shows lower (insert x X) = lower {x}  $\cup$  lower X
  <proof>

lemma lower-distributive:
  shows lower X = ( $\bigcup_{x \in X} lower\ \{x\}$ )
  <proof>

sublocale closure-powerset cl <proof>

end

locale powerset-distributive =
  galois.powerset

```

+ *galois.complete-lattice-distributive-class*

**begin**

**lemma** *upper-insert*:

**shows**  $upper (insert\ x\ X) = upper\ \{x\} \cup upper\ X$   
*<proof>*

**lemma** *cl-distributive-axiom*:

**shows**  $cl (\bigcup X) \subseteq \bigcup (cl\ 'X)$   
*<proof>*

**sublocale** *closure-powerset-distributive cl*

*<proof>*

**end**

Müller-Olm (1997, Theorems 3.3.1, 3.3.2): relation image forms a Galois connection. See also Davey and Priestley (2002, Exercise 7.18).

**definition**  $lower_R :: ('a \times 'b)\ set \Rightarrow 'a\ set \Rightarrow 'b\ set$  **where**  
 $lower_R\ R\ A = R\ \text{``}\ A$

**definition**  $upper_R :: ('a \times 'b)\ set \Rightarrow 'b\ set \Rightarrow 'a\ set$  **where**  
 $upper_R\ R\ B = \{a. \forall b. (a, b) \in R \longrightarrow b \in B\}$

**interpretation** *relation*:  $galois.powerset\ galois.lower_R\ R\ galois.upper_R\ R$   
*<proof>*

**context** *galois.powerset*

**begin**

**lemma** *relations-galois*:

**defines**  $R \equiv \{(a, b). b \in lower\ \{a\}\}$   
**shows**  $lower = galois.lower_R\ R$   
**and**  $upper = galois.upper_R\ R$   
*<proof>*

**end**

*<ML>*

## 6.1 Some Galois connections

*<ML>*

**locale** *complete-lattice-class-monomorphic*

= *galois.complete-lattice-class upper lower*

**for**  $upper :: 'a::complete-lattice \Rightarrow 'a$  **and**  $lower :: 'a \Rightarrow 'a$  — Avoid *'a itself* parameters

**interpretation** *conj-imp*:  $galois.complete-lattice-class (\Pi)\ x (\longrightarrow_B)\ x$  **for**  $x :: -::boolean-algebra$  — Classic example

*<proof>*

There are very well-behaved Galois connections arising from the image (and inverse image) of sets under a function; stuttering is one instance (§8.1).

**locale** *image-vimage* =

**fixes**  $f :: 'a \Rightarrow 'b$

**begin**

**definition** *lower* :: 'a set  $\Rightarrow$  'b set **where**  
*lower*  $X = f \text{ ` } X$

**definition** *upper* :: 'b set  $\Rightarrow$  'a set **where**  
*upper*  $X = f \text{ - ` } X$

**lemma** *upper-empty*[*iff*]:  
**shows** *upper*  $\{\} = \{\}$   
(*proof*)

**sublocale** *galois.powerset-distributive lower upper*  
(*proof*)

**abbreviation** *equivalent* :: 'a relp **where**  
*equivalent*  $x \ y \equiv f \ x = f \ y$

**lemma** *equiv*:  
**shows** *Equiv-Relations.equivp equivalent*  
(*proof*)

**lemma** *equiv-cl-singleton*:  
**assumes** *equivalent*  $x \ y$   
**shows** *cl*  $\{x\} = cl \ \{y\}$   
(*proof*)

**lemma** *cl-alt-def*:  
**shows** *cl*  $X = \{(x, y). \text{ equivalent } x \ y\} \text{ `` } X$   
(*proof*)

**sublocale** *closure-powerset-distributive-exchange cl*  
(*proof*)

**lemma** *closed-in*:  
**assumes**  $x \in P$   
**assumes** *equivalent*  $x \ y$   
**assumes**  $P: P \in \text{closed}$   
**shows**  $y \in P$   
(*proof*)

**lemma** *clE*:  
**assumes**  $x \in cl \ P$   
**obtains**  $y$  **where** *equivalent*  $y \ x$  **and**  $y \in P$   
(*proof*)

**lemma** *clI*[*intro*]:  
**assumes**  $x \in P$   
**assumes** *equivalent*  $x \ y$   
**shows**  $y \in cl \ P$   
(*proof*)

**lemma** *closed-diff*[*intro*]:  
**assumes**  $X \in \text{closed}$   
**assumes**  $Y \in \text{closed}$   
**shows**  $X - Y \in \text{closed}$   
(*proof*)

**lemma** *closed-uminus*[*intro*]:  
**assumes**  $X \in \text{closed}$

**shows**  $-X \in \text{closed}$   
 $\langle \text{proof} \rangle$

**end**

**locale** *image-vimage-monomorphic*  
 $= \text{galois.image-vimage } f$   
**for**  $f :: 'a \Rightarrow 'a$  — Avoid *'a* itself parameters

**locale** *image-vimage-idempotent*  
 $= \text{galois.image-vimage-monomorphic} +$   
**assumes** *f-idempotent*:  $\bigwedge x. f (f x) = f x$   
**begin**

**lemma** *f-idempotent-comp*:  
**shows**  $f \circ f = f$   
 $\langle \text{proof} \rangle$

**lemma** *idemI*:  
**assumes**  $f x \in P$   
**shows**  $x \in \text{cl } P$   
 $\langle \text{proof} \rangle$

**lemma** *f-cl*:  
**shows**  $f x \in \text{cl } P \longleftrightarrow x \in \text{cl } P$   
 $\langle \text{proof} \rangle$

**lemma** *f-closed*:  
**assumes**  $P \in \text{closed}$   
**shows**  $f x \in P \longleftrightarrow x \in P$   
 $\langle \text{proof} \rangle$

**lemmas** *f-closedI* = *iffD1[OF f-closed]*

**end**

$\langle ML \rangle$

## 7 Heyting algebras

Our (complete) lattices are Heyting algebras. The following development is oriented towards using the derived Heyting implication in a logical fashion. As there are no standard classes for semi-(complete-)lattices we simply work with complete lattices.

References:

- [Esakia, Bezhanishvili, Holliday, and Evseev \(2019\)](#) – fundamental theory
- [van Dalen \(2004, Lemma 5.2.1\)](#) – some equivalences
- <https://en.wikipedia.org/wiki/Pseudocomplement> – properties

**class** *heyting-algebra* = *complete-lattice* +  
**assumes** *inf-Sup-distrib1*:  $\bigwedge Y :: 'a \text{ set}. \bigwedge x :: 'a. x \sqcap (\bigsqcup Y) = (\bigsqcup_{y \in Y} x \sqcap y)$   
**begin**

**definition** *heyting* ::  $'a \Rightarrow 'a \Rightarrow 'a$  (**infixr**  $\langle \longrightarrow_H \rangle$  53) **where**  
 $x \longrightarrow_H y = \bigsqcup \{z. x \sqcap z \leq y\}$

**lemma** *heyting*: — The Galois property for  $(\sqcap)$  and  $\longrightarrow_H$   
**shows**  $z \leq x \longrightarrow_H y \longleftrightarrow z \sqcap x \leq y$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)  
 $\langle$ *proof* $\rangle$

**end**

$\langle$ *ML* $\rangle$

**context** *heyting-algebra*

**begin**

**lemma** *commute*:

**shows**  $x \sqcap z \leq y \longleftrightarrow z \leq (x \longrightarrow_H y)$   
 $\langle$ *proof* $\rangle$

**lemmas** *uncurry* = *iffD1*[*OF heyting*]

**lemmas** *curry* = *iffD2*[*OF heyting*]

**lemma** *curry-conv*:

**shows**  $(x \sqcap y \longrightarrow_H z) = (x \longrightarrow_H y \longrightarrow_H z)$   
 $\langle$ *proof* $\rangle$

**lemma** *swap*:

**shows**  $P \longrightarrow_H Q \longrightarrow_H R = Q \longrightarrow_H P \longrightarrow_H R$   
 $\langle$ *proof* $\rangle$

**lemma** *absorb*:

**shows**  $y \sqcap (x \longrightarrow_H y) = y$   
**and**  $(x \longrightarrow_H y) \sqcap y = y$   
 $\langle$ *proof* $\rangle$

**lemma** *detachment*:

**shows**  $x \sqcap (x \longrightarrow_H y) = x \sqcap y$  (**is** *?thesis1*)  
**and**  $(x \longrightarrow_H y) \sqcap x = x \sqcap y$  (**is** *?thesis2*)  
 $\langle$ *proof* $\rangle$

**lemma** *discharge*:

**assumes**  $x' \leq x$   
**shows**  $x' \sqcap (x \longrightarrow_H y) = x' \sqcap y$  (**is** *?thesis1*)  
**and**  $(x \longrightarrow_H y) \sqcap x' = y \sqcap x'$  (**is** *?thesis2*)  
 $\langle$ *proof* $\rangle$

**lemma** *trans*:

**shows**  $(x \longrightarrow_H y) \sqcap (y \longrightarrow_H z) \leq x \longrightarrow_H z$   
 $\langle$ *proof* $\rangle$

**lemma** *rev-trans*:

**shows**  $(y \longrightarrow_H z) \sqcap (x \longrightarrow_H y) \leq x \longrightarrow_H z$   
 $\langle$ *proof* $\rangle$

**lemma** *discard*:

**shows**  $Q \leq P \longrightarrow_H Q$   
 $\langle$ *proof* $\rangle$

**lemma** *infR*:

**shows**  $x \longrightarrow_H y \sqcap z = (x \longrightarrow_H y) \sqcap (x \longrightarrow_H z)$   
 $\langle$ *proof* $\rangle$

**lemma** *mono*:

**assumes**  $x' \leq x$

**assumes**  $y \leq y'$

**shows**  $x \longrightarrow_H y \leq x' \longrightarrow_H y'$

$\langle$ *proof* $\rangle$

**lemma** *strengthen[stg]*:

**assumes** *st-ord*  $(\neg F) X X'$

**assumes** *st-ord*  $F Y Y'$

**shows** *st-ord*  $F (X \longrightarrow_H Y) (X' \longrightarrow_H Y')$

$\langle$ *proof* $\rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes** *monotone orda*  $(\geq) F$

**assumes** *monotone orda*  $(\leq) G$

**shows** *monotone orda*  $(\leq) (\lambda x. F x \longrightarrow_H G x)$

$\langle$ *proof* $\rangle$

**lemma** *mp*:

**assumes**  $x \leq y \longrightarrow_H z$

**assumes**  $x \leq y$

**shows**  $x \leq z$

$\langle$ *proof* $\rangle$

**lemma** *botL*:

**shows**  $\perp \longrightarrow_H x = \top$

$\langle$ *proof* $\rangle$

**lemma** *top-conv*:

**shows**  $x \longrightarrow_H y = \top \longleftrightarrow x \leq y$

$\langle$ *proof* $\rangle$

**lemma** *refl[simp]*:

**shows**  $x \longrightarrow_H x = \top$

$\langle$ *proof* $\rangle$

**lemma** *topL[simp]*:

**shows**  $\top \longrightarrow_H x = x$

$\langle$ *proof* $\rangle$

**lemma** *topR[simp]*:

**shows**  $x \longrightarrow_H \top = \top$

$\langle$ *proof* $\rangle$

**lemma** *K[simp]*:

**shows**  $x \longrightarrow_H (y \longrightarrow_H x) = \top$

$\langle$ *proof* $\rangle$

**subclass** *distrib-lattice*

$\langle$ *proof* $\rangle$

**lemma** *supL*:

**shows**  $(x \sqcup y) \longrightarrow_H z = (x \longrightarrow_H z) \sqcap (y \longrightarrow_H z)$

$\langle$ *proof* $\rangle$

**subclass** (in *complete-distrib-lattice*) *heyting-algebra*  $\langle$ *proof* $\rangle$

**lemma** *inf-Sup-distrib*:

**shows**  $x \sqcap \bigsqcup Y = (\bigsqcup y \in Y. x \sqcap y)$   
**and**  $\bigsqcup Y \sqcap x = (\bigsqcup y \in Y. x \sqcap y)$   
 $\langle \text{proof} \rangle$

**lemma** *inf-SUP-distrib*:

**shows**  $x \sqcap (\bigsqcup i \in I. Y i) = (\bigsqcup i \in I. x \sqcap Y i)$   
**and**  $(\bigsqcup i \in I. Y i) \sqcap x = (\bigsqcup i \in I. Y i \sqcap x)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *eq-boolean-implication*: — the implications coincide in *boolean-algebras*

**fixes**  $x :: \text{-}::\text{boolean-algebra}$   
**shows**  $x \longrightarrow_H y = x \longrightarrow_B y$   
 $\langle \text{proof} \rangle$

**lemmas** *simp-thms* =

*heyting.botL*  
*heyting.topL*  
*heyting.topR*  
*heyting.refl*

**lemma** *Sup-prime-Sup-irreducible-iff*:

**fixes**  $x :: \text{-}::\text{heyting-algebra}$   
**shows** *Sup-prime*  $x \longleftrightarrow$  *Sup-irreducible*  $x$   
 $\langle \text{proof} \rangle$

**Logical rules ala HOL** **lemma** *bspec*:

**fixes**  $P :: - \Rightarrow (\text{-}::\text{heyting-algebra})$   
**shows**  $x \in X \Longrightarrow (\prod x \in X. P x \longrightarrow_H Q x) \sqcap P x \leq Q x$  (**is**  $?X \Longrightarrow ?thesis1$ )  
**and**  $x \in X \Longrightarrow P x \sqcap (\prod x \in X. P x \longrightarrow_H Q x) \leq Q x$  (**is**  $- \Longrightarrow ?thesis2$ )  
**and**  $(\prod x. P x \longrightarrow_H Q x) \sqcap P x \leq Q x$  (**is**  $?thesis3$ )  
**and**  $P x \sqcap (\prod x. P x \longrightarrow_H Q x) \leq Q x$  (**is**  $?thesis4$ )  
 $\langle \text{proof} \rangle$

**lemma** *INFL*:

**fixes**  $Q :: \text{-}::\text{heyting-algebra}$   
**shows**  $(\prod x \in X. P x \longrightarrow_H Q) = (\bigsqcup x \in X. P x) \longrightarrow_H Q$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemmas** *SUPL* = *heyting.INFL[symmetric]*

**lemma** *INFR*:

**fixes**  $P :: \text{-}::\text{heyting-algebra}$   
**shows**  $(\prod x \in X. P \longrightarrow_H Q x) = (P \longrightarrow_H (\prod x \in X. Q x))$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemmas** *Inf-simps* = — "Miniscoping: pushing in universal quantifiers."

*Inf-inf*  
*inf-Inf*  
*INF-inf-const1*  
*INF-inf-const2*  
*heyting.INFL*  
*heyting.INFR*

**lemma** *SUPL-le*:

**fixes**  $Q :: \text{-}::\text{heyting-algebra}$   
**shows**  $(\bigsqcup x \in X. P x \longrightarrow_H Q) \leq (\prod x \in X. P x) \longrightarrow_H Q$

$\langle proof \rangle$

**lemma** *SUPR-le*:

**fixes**  $P :: \text{-}::\text{heyting-algebra}$

**shows**  $(\bigsqcup x \in X. P \longrightarrow_H Q x) \leq P \longrightarrow_H (\bigsqcup x \in X. Q x)$

$\langle proof \rangle$

**lemma** *SUP-inf*:

**fixes**  $Q :: \text{-}::\text{heyting-algebra}$

**shows**  $(\bigsqcup x \in X. P x \sqcap Q) = (\bigsqcup x \in X. P x) \sqcap Q$

$\langle proof \rangle$

**lemma** *inf-SUP*:

**fixes**  $P :: \text{-}::\text{heyting-algebra}$

**shows**  $(\bigsqcup x \in X. P \sqcap Q x) = P \sqcap (\bigsqcup x \in X. Q x)$

$\langle proof \rangle$

**lemmas** *Sup-simps* = — "Miniscoping: pushing in universal quantifiers."

*sup-SUP*

*SUP-sup*

*heyting.inf-SUP*

*heyting.SUP-inf*

**lemma** *mcont2mcont-inf[cont-intro]*:

**fixes**  $F :: \text{-} \Rightarrow 'a::\text{heyting-algebra}$

**fixes**  $G :: \text{-} \Rightarrow 'a::\text{heyting-algebra}$

**assumes** *mcont luba orda Sup*  $(\leq) F$

**assumes** *mcont luba orda Sup*  $(\leq) G$

**shows** *mcont luba orda Sup*  $(\leq) (\lambda x. F x \sqcap G x)$

$\langle proof \rangle$

**lemma** *closure-imp-distrib-le*: — [Abadi and Plotkin \(1993, Lemma 3.3\)](#), generalized

**fixes**  $P Q :: \text{-}::\text{heyting-algebra}$

**assumes** *cl: closure-axioms*  $(\leq) cl$

**assumes** *cl-inf*:  $\bigwedge x y. cl x \sqcap cl y \leq cl (x \sqcap y)$

**shows**  $P \longrightarrow_H Q \leq cl P \longrightarrow_H cl Q$

$\langle proof \rangle$

$\langle ML \rangle$

**Pseudocomplements** **definition** *pseudocomplement*  $:: 'a::\text{heyting-algebra} \Rightarrow 'a (\neg_H \rightarrow [75] 75)$  **where**

$\neg_H x = x \longrightarrow_H \perp$

**lemma** *pseudocomplementI*:

**shows**  $x \leq \neg_H y \longleftrightarrow x \sqcap y \leq \perp$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *monotone*:

**shows** *antimono pseudocomplement*

$\langle proof \rangle$

**lemmas** *strengthen[strg]* = *st-monotone[OF pseudocomplement.monotone]*

**lemmas** *mono* = *monotoneD[OF pseudocomplement.monotone]*

**lemmas** *mono2mono[cont-intro, partial-function-mono]*

= *monotone2monotone[OF pseudocomplement.monotone, simplified, of orda P for orda P]*

**lemma** *eq-boolean-negation*: — the negations coincide in *boolean-algebras*

**fixes**  $x :: - :: \{ \text{boolean-algebra}, \text{heyting-algebra} \}$

**shows**  $\neg_H x = -x$

$\langle \text{proof} \rangle$

**lemma** *heyting*:

**shows**  $x \longrightarrow_H \neg_H x = \neg_H x$

$\langle \text{proof} \rangle$

**lemma** *Inf*:

**shows**  $x \sqcap \neg_H x = \perp$

**and**  $\neg_H x \sqcap x = \perp$

$\langle \text{proof} \rangle$

**lemma** *double-le*:

**shows**  $x \leq \neg_H \neg_H x$

$\langle \text{proof} \rangle$

**interpretation** *double*: *closure-complete-lattice-class pseudocomplement*  $\circ$  *pseudocomplement*

$\langle \text{proof} \rangle$

**lemma** *triple*:

**shows**  $\neg_H \neg_H \neg_H x = \neg_H x$

$\langle \text{proof} \rangle$

**lemma** *contrapos-le*:

**shows**  $x \longrightarrow_H y \leq \neg_H y \longrightarrow_H \neg_H x$

$\langle \text{proof} \rangle$

**lemma** *sup-inf*: — half of de Morgan

**shows**  $\neg_H(x \sqcup y) = \neg_H x \sqcap \neg_H y$

$\langle \text{proof} \rangle$

**lemma** *inf-sup-weak*: — the weakened other half of de Morgan

**shows**  $\neg_H(x \sqcap y) = \neg_H \neg_H(\neg_H x \sqcup \neg_H y)$

$\langle \text{proof} \rangle$

**lemma** *fix-triv*:

**assumes**  $x = \neg_H x$

**shows**  $x = y$

$\langle \text{proof} \rangle$

**lemma** *double-top*:

**shows**  $\neg_H \neg_H(x \sqcup \neg_H x) = \top$

$\langle \text{proof} \rangle$

**lemma** *Inf-inf*:

**fixes**  $P :: - \Rightarrow (- :: \text{heyting-algebra})$

**shows**  $(\sqcap x. P x) \sqcap \neg_H P x = \perp$

$\langle \text{proof} \rangle$

**lemma** *SUP-le*: — half of de Morgan

**fixes**  $P :: - \Rightarrow (- :: \text{heyting-algebra})$

**shows**  $(\sqcup x \in X. P x) \leq \neg_H(\sqcap x \in X. \neg_H P x)$

$\langle \text{proof} \rangle$

**lemma** *SUP-INF-le*:

**fixes**  $P :: - \Rightarrow (- :: \text{heyting-algebra})$

**shows**  $(\bigsqcup x \in X. \neg_H P x) \leq \neg_H (\prod x \in X. P x)$   
*<proof>*

**lemma** *SUP*:

**fixes**  $P :: - \Rightarrow (-::\text{heyting-algebra})$   
**shows**  $\neg_H (\bigsqcup x \in X. P x) = (\prod x \in X. \neg_H P x)$   
*<proof>*

*<ML>*

## 7.1 Downwards closure of preorders (downsets)

A *downset* (also *lower set* and *order ideal*) is a subset of a preorder that is closed under the order relation. (An *ideal* is a downset that is *directed*.) Some results require antisymmetry (a partial order).

References:

- Vickers (1989), early chapters.
- [https://en.wikipedia.org/wiki/Alexandrov\\_topology](https://en.wikipedia.org/wiki/Alexandrov_topology)
- Abadi and Plotkin (1991, §3)

*<ML>*

**definition** *cl* :: 'a::preorder set  $\Rightarrow$  'a set **where**

$$cl P = \{x \mid x y. y \in P \wedge x \leq y\}$$

*<ML>*

**interpretation** *downwards: closure-powerset-distributive downwards.cl* — On preorders

*<proof>*

**interpretation** *downwards: closure-powerset-distributive-anti-exchange (downwards.cl:::order set  $\Rightarrow$  -)*

— On partial orders; see Pfaltz and Šlapal (2013)

*<proof>*

*<ML>*

**lemma** *cl-empty*:

**shows**  $downwards.cl \{\} = \{\}$   
*<proof>*

**lemma** *closed-empty[iff]*:

**shows**  $\{\} \in downwards.closed$   
*<proof>*

**lemma** *clI[intro]*:

**assumes**  $y \in P$   
**assumes**  $x \leq y$   
**shows**  $x \in downwards.cl P$   
*<proof>*

**lemma** *clE*:

**assumes**  $x \in downwards.cl P$   
**obtains**  $y$  **where**  $y \in P$  **and**  $x \leq y$   
*<proof>*

**lemma** *closed-in*:

**assumes**  $x \in P$   
**assumes**  $y \leq x$   
**assumes**  $P \in \text{downwards.closed}$   
**shows**  $y \in P$   
 ⟨*proof*⟩

**lemma** *order-embedding*: — On preorders; see Davey and Priestley (2002, §1.35)

**fixes**  $x :: \text{preorder}$   
**shows**  $\text{downwards.cl } \{x\} \subseteq \text{downwards.cl } \{y\} \longleftrightarrow x \leq y$   
 ⟨*proof*⟩

The lattice of downsets of a set  $X$  is always a *heyting-algebra*.

References:

- Ono (2019, §7.5); uses upsets, points to Stone (1938) as the origin
- Esakia et al. (2019, §2.2)
- [https://en.wikipedia.org/wiki/Intuitionistic\\_logic#Heyting\\_algebra\\_semantics](https://en.wikipedia.org/wiki/Intuitionistic_logic#Heyting_algebra_semantics)

**definition** *imp* :: 'a::preorder set  $\Rightarrow$  'a set  $\Rightarrow$  'a set **where**  
 $\text{imp } P Q = \{\sigma. \forall \sigma' \leq \sigma. \sigma' \in P \longrightarrow \sigma' \in Q\}$

**lemma** *imp-refl*:  
**shows**  $\text{downwards.imp } P P = \text{UNIV}$   
 ⟨*proof*⟩

**lemma** *imp-contained*:  
**assumes**  $P \subseteq Q$   
**shows**  $\text{downwards.imp } P Q = \text{UNIV}$   
 ⟨*proof*⟩

**lemma** *heyting-imp*:  
**assumes**  $P \in \text{downwards.closed}$   
**shows**  $P \subseteq \text{downwards.imp } Q R \longleftrightarrow P \cap Q \subseteq R$   
 ⟨*proof*⟩

**lemma** *imp-mp'*:  
**assumes**  $\sigma \in \text{downwards.imp } P Q$   
**assumes**  $\sigma \in P$   
**shows**  $\sigma \in Q$   
 ⟨*proof*⟩

**lemma** *imp-mp*:  
**shows**  $P \cap \text{downwards.imp } P Q \subseteq Q$   
**and**  $\text{downwards.imp } P Q \cap P \subseteq Q$   
 ⟨*proof*⟩

**lemma** *imp-contains*:  
**assumes**  $X \subseteq Q$   
**assumes**  $X \in \text{downwards.closed}$   
**shows**  $X \subseteq \text{downwards.imp } P Q$   
 ⟨*proof*⟩

**lemma** *imp-downwards*:  
**assumes**  $y \in \text{downwards.imp } P Q$   
**assumes**  $x \leq y$   
**shows**  $x \in \text{downwards.imp } P Q$   
 ⟨*proof*⟩

**lemma** *closed-imp*:

**shows**  $\text{downwards.imp } P \ Q \in \text{downwards.closed}$

*<proof>*

The set  $\text{downwards.imp } P \ Q$  is the greatest downset contained in the Boolean implication  $P \longrightarrow_B Q$ , i.e.,  $\text{downwards.imp}$  is the *kernel* of  $(\longrightarrow_B)$  (Zwiers 1989). Note that “kernel” is a choice or interior function.

**lemma** *imp-boolean-implication-subseteq*:

**shows**  $\text{downwards.imp } P \ Q \subseteq P \longrightarrow_B Q$

*<proof>*

**lemma** *downwards-closed-imp-greatest*:

**assumes**  $R \subseteq P \longrightarrow_B Q$

**assumes**  $R \in \text{downwards.closed}$

**shows**  $R \subseteq \text{downwards.imp } P \ Q$

*<proof>*

**definition** *kernel* ::  $'a::\text{order set} \Rightarrow 'a \text{ set}$  **where**

$\text{kernel } X = \bigsqcup \{Q \in \text{downwards.closed}. Q \subseteq X\}$

**lemma** *kernel-def2*:

**shows**  $\text{downwards.kernel } X = \{\sigma. \forall \sigma' \leq \sigma. \sigma' \in X\}$  (**is** ?lhs = ?rhs)

*<proof>*

**lemma** *kernel-contractive*:

**shows**  $\text{downwards.kernel } X \subseteq X$

*<proof>*

**lemma** *kernel-idempotent*:

**shows**  $\text{downwards.kernel } (\text{downwards.kernel } X) = \text{downwards.kernel } X$

*<proof>*

**lemma** *kernel-monotone*:

**shows** *mono*  $\text{downwards.kernel}$

*<proof>*

**lemma** *closed-kernel-conv*:

**shows**  $X \in \text{downwards.closed} \longleftrightarrow \text{downwards.kernel } X = X$

*<proof>*

**lemma** *closed-kernel*:

**shows**  $\text{downwards.kernel } X \in \text{downwards.closed}$

*<proof>*

**lemma** *kernel-cl*:

**shows**  $\text{downwards.kernel } (\text{downwards.cl } X) = \text{downwards.cl } X$

*<proof>*

**lemma** *cl-kernel*:

**shows**  $\text{downwards.cl } (\text{downwards.kernel } X) = \text{downwards.kernel } X$

*<proof>*

**lemma** *kernel-boolean-implication*:

**fixes**  $P :: \text{--::order}$

**shows**  $\text{downwards.kernel } (P \longrightarrow_B Q) = \text{downwards.imp } P \ Q$

*<proof>*

*<ML>*

## 8 Safety logic

Following Abadi and Lamport (1995); Abadi and Plotkin (1991, 1993) (see also Abadi and Merz (1996, §5.5)), we work in the complete lattice of stuttering-closed safety properties (i.e., stuttering-closed downsets) and use this for logical purposes. We avoid many syntactic issues via a shallow embedding into HOL.

### 8.1 Stuttering

We define *stuttering equivalence* ala Lamport (1994). This allows any agent to repeat any state at any time. We define a normalisation function ( $\natural$ ) on  $(\iota a, \iota s, \iota v)$  *trace.t* and extract the (matroidal) closure over sets of these from the Galois connection *galois.image-vimage*.

$\langle ML \rangle$

**primrec** *natural'* ::  $\iota s \Rightarrow (\iota a \times \iota s)$  *list*  $\Rightarrow (\iota a \times \iota s)$  *list* **where**

*natural'*  $s$  [] = []

| *natural'*  $s$  ( $x \# xs$ ) = (if *snd*  $x = s$  then *natural'*  $s$   $xs$  else  $x \# \textit{natural}' (snd\ x)\ xs$ )

$\langle ML \rangle$

**lemma** *natural'[simp]*:

**shows** *trace.final'*  $s$  (*trace.natural'*  $s$   $xs$ ) = *trace.final'*  $s$   $xs$

$\langle proof \rangle$

**lemma** *natural'-cong*:

**assumes**  $s = s'$

**assumes** *trace.natural'*  $s$   $xs = \textit{trace.natural}' s\ xs'$

**shows** *trace.final'*  $s$   $xs = \textit{trace.final}' s'\ xs'$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *natural'*:

**shows** *trace.natural'*  $s$  (*trace.natural'*  $s$   $xs$ ) = *trace.natural'*  $s$   $xs$

$\langle proof \rangle$

**lemma** *length*:

**shows** *length* (*trace.natural'*  $s$   $xs$ )  $\leq$  *length*  $xs$

$\langle proof \rangle$

**lemma** *subseq*:

**shows** *subseq* (*trace.natural'*  $s$   $xs$ )  $xs$

$\langle proof \rangle$

**lemma** *remdups-adj*:

**shows**  $s \# \textit{map\ snd}\ (\textit{trace.natural}' s\ xs) = \textit{remdups-adj}\ (s \# \textit{map\ snd}\ xs)$

$\langle proof \rangle$

**lemma** *append*:

**shows** *trace.natural'*  $s$  ( $xs @ ys$ ) = *trace.natural'*  $s$   $xs @ \textit{trace.natural}' (trace.final'\ s\ xs)\ ys$

$\langle proof \rangle$

**lemma** *eq-Nil-conv*:

**shows** *trace.natural'*  $s$   $xs = [] \iff \textit{snd}\ 'set\ xs \subseteq \{s\}$

**and**  $[] = \textit{trace.natural}' s\ xs \iff \textit{snd}\ 'set\ xs \subseteq \{s\}$

$\langle proof \rangle$

**lemma** *eq-Cons-conv*:

**shows**  $\text{trace.natural}' s xs = y \# ys$   
 $\longleftrightarrow (\exists xs' ys'. xs = xs' @ y \# ys' \wedge \text{snd } ' \text{ set } xs' \subseteq \{s\} \wedge \text{snd } y \neq s \wedge \text{trace.natural}' (\text{snd } y) ys' = ys)$  (**is**  $?lhs$   
 $\longleftrightarrow ?rhs$ )  
**and**  $y \# ys = \text{trace.natural}' s xs$   
 $\longleftrightarrow (\exists xs' ys'. xs = xs' @ y \# ys' \wedge \text{snd } ' \text{ set } xs' \subseteq \{s\} \wedge \text{snd } y \neq s \wedge \text{trace.natural}' (\text{snd } y) ys' = ys)$  (**is**  
 $?thesis1$ )  
 $\langle \text{proof} \rangle$

**lemma** *eq-append-conv*:

**shows**  $\text{trace.natural}' s xs = ys @ zs$   
 $\longleftrightarrow (\exists ys' zs'. xs = ys' @ zs' \wedge \text{trace.natural}' s ys' = ys \wedge \text{trace.natural}' (\text{trace.final}' s ys) zs' = zs)$  (**is**  $?lhs$   
 $= ?rhs$ )  
**and**  $ys @ zs = \text{trace.natural}' s xs$   
 $\longleftrightarrow (\exists ys' zs'. xs = ys' @ zs' \wedge \text{trace.natural}' s ys' = ys \wedge \text{trace.natural}' (\text{trace.final}' s ys) zs' = zs)$  (**is**  
 $?thesis1$ )  
 $\langle \text{proof} \rangle$

**lemma** *replicate*:

**shows**  $\text{trace.natural}' s (\text{replicate } i \text{ as}) = (\text{if } \text{snd } \text{as} = s \vee i = 0 \text{ then } [] \text{ else } [\text{as}])$   
 $\langle \text{proof} \rangle$

**lemma** *map-natural'*:

**shows**  $\text{trace.natural}' (sf \ s) (\text{map } (\text{map-prod } af \ sf) (\text{trace.natural}' s \ xs))$   
 $= \text{trace.natural}' (sf \ s) (\text{map } (\text{map-prod } af \ sf) \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *map-inj-on-sf*:

**assumes**  $\text{inj-on } sf \ (\text{insert } s \ (\text{snd } ' \ \text{set } xs))$   
**shows**  $\text{trace.natural}' (sf \ s) (\text{map } (\text{map-prod } af \ sf) \ xs) = \text{map } (\text{map-prod } af \ sf) (\text{trace.natural}' s \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *amap-noop*:

**assumes**  $\text{trace.natural}' s \ xs = \text{map } (\text{map-prod } af \ id) \ zs$   
**shows**  $\text{trace.natural}' s \ zs = zs$   
 $\langle \text{proof} \rangle$

**lemma** *take*:

**shows**  $\exists j \leq \text{length } xs. \text{take } i \ (\text{trace.natural}' s \ xs) = \text{trace.natural}' s \ (\text{take } j \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *idle-prefix*:

**assumes**  $\text{snd } ' \ \text{set } xs \subseteq \{s\}$   
**shows**  $\text{trace.natural}' s \ (xs @ ys) = \text{trace.natural}' s \ ys$   
 $\langle \text{proof} \rangle$

**lemma** *prefixE*:

**assumes**  $\text{trace.natural}' s \ ys = \text{trace.natural}' s \ (xs @ xsrest)$   
**obtains**  $xs' \ xs'rest$  **where**  $\text{trace.natural}' s \ xs = \text{trace.natural}' s \ xs'$  **and**  $ys = xs' @ xs'rest$   
 $\langle \text{proof} \rangle$

**lemma** *aset-conv*:

**shows**  $a \in \text{trace.aset } (\text{trace.T } s \ (\text{trace.natural}' s \ xs) \ v)$   
 $\longleftrightarrow (\exists s' s''. (a, s', s'') \in \text{set } (\text{trace.transitions}' s \ xs) \wedge s' \neq s'')$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**definition**  $\text{natural} :: ('a, 's, 'v) \text{trace.t} \Rightarrow ('a, 's, 'v) \text{trace.t} \ (\langle \natural \rangle)$  **where**

$\Downarrow \sigma = \text{trace}.T (\text{trace}.init \sigma) (\text{trace}.natural' (\text{trace}.init \sigma) (\text{trace}.rest \sigma)) (\text{trace}.term \sigma)$

$\langle ML \rangle$

**lemma** *sel[simp]*:

**shows**  $\text{trace}.init (\Downarrow \sigma) = \text{trace}.init \sigma$   
**and**  $\text{trace}.rest (\Downarrow \sigma) = \text{trace}.natural' (\text{trace}.init \sigma) (\text{trace}.rest \sigma)$   
**and**  $\text{trace}.term (\Downarrow \sigma) = \text{trace}.term \sigma$

$\langle proof \rangle$

**lemma** *simps*:

**shows**  $\Downarrow (\text{trace}.T s [] v) = \text{trace}.T s [] v$   
**and**  $\Downarrow (\text{trace}.T s ((a, s) \# xs) v) = \Downarrow (\text{trace}.T s xs v)$   
**and**  $\Downarrow (\text{trace}.T s (\text{trace}.natural' s xs) v) = \Downarrow (\text{trace}.T s xs v)$

$\langle proof \rangle$

**lemma** *idempotent[simp]*:

**shows**  $\Downarrow (\Downarrow \sigma) = \Downarrow \sigma$

$\langle proof \rangle$

**lemma** *idle*:

**assumes**  $snd \text{ ' set } xs \subseteq \{s\}$   
**shows**  $\Downarrow (\text{trace}.T s xs v) = \text{trace}.T s [] v$

$\langle proof \rangle$

**lemma** *trace-conv*:

**shows**  $\Downarrow (\text{trace}.T s xs v) = \Downarrow \sigma \longleftrightarrow \text{trace}.init \sigma = s \wedge \text{trace}.natural' s xs = \text{trace}.natural' s (\text{trace}.rest \sigma) \wedge \text{trace}.term \sigma = v$   
**and**  $\Downarrow \sigma = \Downarrow (\text{trace}.T s xs v) \longleftrightarrow \text{trace}.init \sigma = s \wedge \text{trace}.natural' s xs = \text{trace}.natural' s (\text{trace}.rest \sigma) \wedge \text{trace}.term \sigma = v$

$\langle proof \rangle$

**lemma** *map-natural*:

**shows**  $\Downarrow (\text{trace}.map \text{ af } sf \text{ vf } (\Downarrow \sigma)) = \Downarrow (\text{trace}.map \text{ af } sf \text{ vf } \sigma)$

$\langle proof \rangle$

**lemma** *continue*:

**shows**  $\Downarrow (\sigma @_{-S} xs v) = \Downarrow \sigma @_{-S} (\text{trace}.natural' (\text{trace}.final \sigma) (\text{fst } xs v), \text{snd } xs v)$

$\langle proof \rangle$

**lemma** *replicate*:

**shows**  $\Downarrow (\text{trace}.T s (\text{replicate } i \text{ as}) v)$   
 $= (\text{trace}.T s (\text{if } snd \text{ as} = s \vee i = 0 \text{ then } [] \text{ else } [\text{as}]) v)$

$\langle proof \rangle$

**lemma** *monotone*:

**shows**  $\text{mono } \Downarrow$

$\langle proof \rangle$

**lemmas** *strengthen[strg] = st-monotone[OF trace.natural.monotone]*

**lemmas** *mono = monotoneD[OF trace.natural.monotone]*

**lemmas** *mono2mono[cont-intro, partial-function-mono]*

$= \text{monotone2monotone}[OF \text{ trace}.natural.monotone, \text{simplified}, \text{of } \text{orda } P \text{ for } \text{orda } P]$

**lemma** *less-egE*:

**assumes**  $t \leq u$

**assumes**  $\Downarrow u' = \Downarrow u$

**obtains**  $t'$  **where**  $\Downarrow t = \Downarrow t'$  **and**  $t' \leq u'$

$\langle proof \rangle$

**lemma** *less-eq-natural*:

**assumes**  $\sigma_1 \leq \natural\sigma_2$

**shows**  $\natural\sigma_1 = \sigma_1$

$\langle proof \rangle$

**lemma** *map-le*:

**assumes**  $\natural\sigma_1 \leq \natural\sigma_2$

**shows**  $\natural(\text{trace.map af sf vf } \sigma_1) \leq \natural(\text{trace.map af sf vf } \sigma_2)$

$\langle proof \rangle$

**lemma** *map-inj-on-sf*:

**assumes** *inj-on sf (trace.sset  $\sigma$ )*

**shows**  $\natural(\text{trace.map af sf vf } \sigma) = \text{trace.map af sf vf } (\natural\sigma)$

$\langle proof \rangle$

**lemma** *take*:

**shows**  $\exists j. \natural(\text{trace.take } i \sigma) = \text{trace.take } j (\natural\sigma)$

$\langle proof \rangle$

**lemma** *take-natural*:

**shows**  $\natural(\text{trace.take } i (\natural\sigma)) = \text{trace.take } i (\natural\sigma)$

$\langle proof \rangle$

**lemma** *takeE*:

**shows**  $\llbracket \sigma_1 = \natural(\text{trace.take } i \sigma_2); \bigwedge j. \llbracket \sigma_1 = \text{trace.take } j (\natural\sigma_2) \rrbracket \implies \text{thesis} \rrbracket \implies \text{thesis}$

**and**  $\llbracket \natural(\text{trace.take } i \sigma_2) = \sigma_1; \bigwedge j. \llbracket \sigma_1 = \text{trace.take } j (\natural\sigma_2) \rrbracket \implies \text{thesis} \rrbracket \implies \text{thesis}$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *natural-conv*:

**shows**  $a \in \text{trace.aset } (\natural\sigma) \iff (\exists s s'. (a, s, s') \in \text{trace.steps } \sigma)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *natural'[simp]*:

**shows**  $\text{trace.sset } (\text{trace.T } s_0 (\text{trace.natural}' s_0 xs) v) = \text{trace.sset } (\text{trace.T } s_0 xs v)$

$\langle proof \rangle$

**lemma** *natural[simp]*:

**shows**  $\text{trace.sset } (\natural\sigma) = \text{trace.sset } \sigma$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *natural[simp]*:

**shows**  $\text{trace.vset } (\natural\sigma) = \text{trace.vset } \sigma$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *natural*:

**shows**  $\exists j \leq \text{Suc } (\text{length } (\text{trace.rest } \sigma)). \text{trace.take } i (\natural\sigma) = \natural(\text{trace.take } j \sigma)$

$\langle proof \rangle$

**lemma** *naturalE*:

**shows**  $\llbracket \sigma_1 = \text{trace.take } i \ (\natural\sigma_2); \bigwedge j. \llbracket j \leq \text{Suc } (\text{length } (\text{trace.rest } \sigma_2)); \sigma_1 = \natural(\text{trace.take } j \ \sigma_2) \rrbracket \implies \text{thesis} \rrbracket \implies \text{thesis}$

**and**  $\llbracket \text{trace.take } i \ (\natural\sigma_2) = \sigma_1; \bigwedge j. \llbracket j \leq \text{Suc } (\text{length } (\text{trace.rest } \sigma_2)); \natural(\text{trace.take } j \ \sigma_2) = \sigma_1 \rrbracket \implies \text{thesis} \rrbracket \implies \text{thesis}$

*<proof>*

*<ML>*

**lemma** *steps'-alt-def*:

**shows**  $\text{trace.steps}' \ s \ xs = \text{set } (\text{trace.transitions}' \ s \ (\text{trace.natural}' \ s \ xs))$

*<proof>*

*<ML>*

**lemma** *natural'*:

**shows**  $\text{trace.steps}' \ s \ (\text{trace.natural}' \ s \ xs) = \text{trace.steps}' \ s \ xs$

*<proof>*

**lemma** *asetD*:

**assumes**  $\text{trace.steps } \sigma \subseteq r$

**shows**  $\forall a. a \in \text{trace.aset } (\natural\sigma) \longrightarrow a \in \text{fst } ' r$

*<proof>*

**lemma** *range-initE*:

**assumes**  $\text{trace.steps}' \ s_0 \ xs \subseteq \text{range } af \times \text{range } sf \times \text{range } sf$

**assumes**  $(a, s, s') \in \text{trace.steps}' \ s_0 \ xs$

**obtains**  $s_0'$  **where**  $s_0 = sf \ s_0'$

*<proof>*

**lemma** *map-range-conv*:

**shows**  $\text{trace.steps}' \ (sf \ s) \ xs \subseteq \text{range } af \times \text{range } sf \times \text{range } sf$

$\longleftrightarrow (\exists xs'. \text{trace.natural}' \ (sf \ s) \ xs = \text{map } (\text{map-prod } af \ sf) \ xs') \ (\text{is } ?lhs \longleftrightarrow ?rhs)$

*<proof>*

**lemma** *step-conv*:

**shows**  $\text{trace.steps}' \ s \ xs = \{x\}$

$\longleftrightarrow \text{fst } (\text{snd } x) = s \wedge \text{fst } (\text{snd } x) \neq \text{snd } (\text{snd } x)$

$\wedge (\exists ys \ zs. \text{snd } ' \text{set } ys \subseteq \{s\} \wedge \text{snd } ' \text{set } zs \subseteq \{\text{snd } (\text{snd } x)\})$

$\wedge xs = ys \ @ \ [(\text{fst } x, \text{snd } (\text{snd } x))] \ @ \ zs \ (\text{is } ?lhs \longleftrightarrow ?rhs)$

*<proof>*

*<ML>*

**interpretation** *stuttering*: *galois.image-vimage-idempotent*  $\natural$

*<proof>*

**abbreviation** *stuttering-equiv-syn* ::  $('a, 's, 'v) \text{ trace.t} \Rightarrow ('a, 's, 'v) \text{ trace.t} \Rightarrow \text{bool}$  (**infix**  $\langle \simeq_S \rangle$  50) **where**

$\sigma_1 \simeq_S \sigma_2 \equiv \text{trace.stuttering.equivalent } \sigma_1 \ \sigma_2$

*<ML>*

**lemma** *cl*:

**shows**  $\text{trace.stuttering.cl } (\text{downwards.cl } P) = \text{downwards.cl } (\text{trace.stuttering.cl } P) \ (\text{is } ?lhs = ?rhs)$

*<proof>*

**lemma** *closed*:

**assumes**  $P \in \text{downwards.closed}$

**shows**  $\text{trace.stuttering.cl } P \in \text{downwards.closed}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *downwards-imp*: — Abadi and Plotkin (1993, p13)

**assumes**  $P \in \text{trace.stuttering.closed}$

**assumes**  $Q \in \text{trace.stuttering.closed}$

**shows**  $\text{downwards.imp } P Q \in \text{trace.stuttering.closed}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *simps*:

**shows**  $\text{snd } \text{'set } xs \subseteq \{s\} \implies \text{trace.T } s (xs @ ys) v \simeq_S \text{trace.T } s ys v$

**and**  $\text{snd } \text{'set } ys \subseteq \{\text{trace.final}' s xs\} \implies \text{trace.T } s (xs @ ys) v \simeq_S \text{trace.T } s xs v$

**and**  $\text{snd } \text{'set } xs \subseteq \{\text{snd } x\} \implies \text{trace.T } s (x \# xs @ ys) v \simeq_S \text{trace.T } s (x \# ys) v$

$\langle \text{proof} \rangle$

**lemma** *append-cong*:

**assumes**  $s = s'$

**assumes**  $\text{trace.natural}' s xs = \text{trace.natural}' s xs'$

**assumes**  $\text{trace.natural}' (\text{trace.final}' s xs) ys = \text{trace.natural}' (\text{trace.final}' s xs) ys'$

**assumes**  $v = v'$

**shows**  $\text{trace.T } s (xs @ ys) v \simeq_S \text{trace.T } s' (xs' @ ys') v'$

$\langle \text{proof} \rangle$

**lemma** *E*:

**assumes**  $\text{trace.T } s xs v \simeq_S \text{trace.T } s' xs' v'$

**obtains**  $\text{trace.natural}' s xs = \text{trace.natural}' s' xs'$  **and**  $s = s'$  **and**  $v = v'$

$\langle \text{proof} \rangle$

**lemma** *append-conv*:

**shows**  $\text{trace.T } s (xs @ ys) v \simeq_S \sigma$

$\iff (\exists xs' ys'. \sigma = \text{trace.T } s (xs' @ ys') v \wedge \text{trace.natural}' s xs = \text{trace.natural}' s xs' \wedge \text{trace.natural}' (\text{trace.final}' s xs) ys = \text{trace.natural}' (\text{trace.final}' s xs) ys') \text{ (is ?thesis1)}$

**and**  $\sigma \simeq_S \text{trace.T } s (xs @ ys) v$

$\iff (\exists xs' ys'. \sigma = \text{trace.T } s (xs' @ ys') v \wedge \text{trace.natural}' s xs = \text{trace.natural}' s xs' \wedge \text{trace.natural}' (\text{trace.final}' s xs) ys = \text{trace.natural}' (\text{trace.final}' s xs) ys') \text{ (is ?thesis2)}$

$\langle \text{proof} \rangle$

**lemma** *map*:

**assumes**  $\sigma_1 \simeq_S \sigma_2$

**shows**  $\text{trace.map af sf vf } \sigma_1 \simeq_S \text{trace.map af sf vf } \sigma_2$

$\langle \text{proof} \rangle$

**lemma** *steps*:

**assumes**  $\sigma_1 \simeq_S \sigma_2$

**shows**  $\text{trace.steps } \sigma_1 = \text{trace.steps } \sigma_2$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 8.2 The ('a, 's, 'v) spec lattice

Our workhorse lattice consists of all sets of traces that are downwards and stuttering closed. This combined closure is neither matroidal nor antimatroidal (§5.3).

We define the lattice as a type and instantiate the relevant type classes. In the following read  $P \leq Q$  ( $P \subseteq Q$  in

the powerset model) as “Q follows from P” or “P entails Q”.

$\langle ML \rangle$

**definition**  $cl :: ('a, 's, 'v) \text{ trace.t set} \Rightarrow ('a, 's, 'v) \text{ trace.t set}$  **where**  
 $cl P = \text{downwards.cl } (\text{trace.stuttering.cl } P)$

$\langle ML \rangle$

**interpretation**  $\text{spec: closure-powerset-distributive raw.spec.cl}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $\text{empty[simp]}$ :  
**shows**  $\text{raw.spec.cl } \{\} = \{\}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $I$ :

**assumes**  $P \in \text{downwards.closed}$   
**assumes**  $P \in \text{trace.stuttering.closed}$   
**shows**  $P \in \text{raw.spec.closed}$

$\langle \text{proof} \rangle$

**lemma**  $\text{empty[intro]}$ :

**shows**  $\{\} \in \text{raw.spec.closed}$

$\langle \text{proof} \rangle$

**lemma**  $\text{downwards-closed}$ :

**assumes**  $P \in \text{raw.spec.closed}$   
**shows**  $P \in \text{downwards.closed}$

$\langle \text{proof} \rangle$

**lemma**  $\text{stuttering-closed}$ :

**assumes**  $P \in \text{raw.spec.closed}$   
**shows**  $P \in \text{trace.stuttering.closed}$

$\langle \text{proof} \rangle$

**lemma**  $\text{downwards-imp}$ :

**assumes**  $P \in \text{raw.spec.closed}$   
**assumes**  $Q \in \text{raw.spec.closed}$   
**shows**  $\text{downwards.imp } P Q \in \text{raw.spec.closed}$

$\langle \text{proof} \rangle$

**lemma**  $\text{heyting-downwards-imp}$ :

**assumes**  $P \in \text{raw.spec.closed}$   
**shows**  $P \subseteq \text{downwards.imp } Q R \iff P \cap Q \subseteq R$

$\langle \text{proof} \rangle$

**lemma**  $\text{takeE}$ :

**assumes**  $\sigma \in P$   
**assumes**  $P \in \text{raw.spec.closed}$   
**shows**  $\text{trace.take } i \sigma \in P$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

```

typedef ('a, 's, 'v) spec = raw.spec.closed :: ('a, 's, 'v) trace.t set set
morphisms unMkS MkS
⟨proof⟩

```

```

setup-lifting type-definition-spec

```

```

instantiation spec :: (type, type, type) complete-distrib-lattice
begin

```

```

lift-definition bot-spec :: ('a, 's, 'v) spec is empty ⟨proof⟩
lift-definition top-spec :: ('a, 's, 'v) spec is UNIV ⟨proof⟩
lift-definition sup-spec :: ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec is sup ⟨proof⟩
lift-definition inf-spec :: ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec is inf ⟨proof⟩
lift-definition less-eq-spec :: ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec ⇒ bool is less-eq ⟨proof⟩
lift-definition less-spec :: ('a, 's, 'v) spec ⇒ ('a, 's, 'v) spec ⇒ bool is less ⟨proof⟩
lift-definition Inf-spec :: ('a, 's, 'v) spec set ⇒ ('a, 's, 'v) spec is Inf ⟨proof⟩
lift-definition Sup-spec :: ('a, 's, 'v) spec set ⇒ ('a, 's, 'v) spec is λX. Sup X ⊔ raw.spec.cl {} ⟨proof⟩

```

```

instance
⟨proof⟩

```

```

end

```

```

declare

```

```

  SUPE[where 'a=(('a, 's, 'v) spec, intro!)]
  SupE[where 'a=(('a, 's, 'v) spec, intro!)]
  Sup-le-iff[where 'a=(('a, 's, 'v) spec, simp)]
  SupI[where 'a=(('a, 's, 'v) spec, intro)]
  SUPI[where 'a=(('a, 's, 'v) spec, intro)]
  rev-SUPI[where 'a=(('a, 's, 'v) spec, intro?)]
  INFE[where 'a=(('a, 's, 'v) spec, intro)]

```

Observations about this type:

- it is not a BNF (datatype) as it uses the powerset
- it fails to be T0 or sober due to the lack of limit points (completeness) in ('a, 's, 'v) trace.t
  - also stuttering closure precludes T0
- the *complete-distrib-lattice* instance shows that arbitrary/infinitary *Sups* and *Infs* distribute
  - in other words: safety properties are closed under arbitrary intersections and unions
  - in other words: Alexandrov
- conclude: the lack of limit points makes this model easier to work in and adds expressivity
  - see §24 for further discussion

```

⟨ML⟩

```

```

lemmas antisym = antisym[where 'a=(('a, 's, 'v) spec)]
lemmas eq-iff = order.eq-iff[where 'a=(('a, 's, 'v) spec)]

```

```

⟨ML⟩

```

### 8.3 Irreducible elements

The irreducible elements of  $(\prime a, \prime s, \prime v)$  *trace.t* are the closures of singletons.

$\langle ML \rangle$

**definition** *singleton*  $:: (\prime a, \prime s, \prime v)$  *trace.t*  $\Rightarrow (\prime a, \prime s, \prime v)$  *trace.t set* **where**  
*singleton*  $\sigma = \text{raw.spec.cl } \{\sigma\}$

**lemma** *singleton-le-conv*:

**shows**  $\text{raw.singleton } \sigma_1 \leq \text{raw.singleton } \sigma_2 \iff \Downarrow \sigma_1 \leq \Downarrow \sigma_2$  (**is** *?lhs*  $\iff$  *?rhs*)  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lift-definition** *singleton*  $:: (\prime a, \prime s, \prime v)$  *trace.t*  $\Rightarrow (\prime a, \prime s, \prime v)$  *spec*  $(\langle \Downarrow - \rangle)$  **is** *raw.singleton*  
 $\langle \text{proof} \rangle$

**abbreviation** *singleton-trace-syn*  $:: \prime s \Rightarrow (\prime a \times \prime s)$  *list*  $\Rightarrow \prime v$  *option*  $\Rightarrow (\prime a, \prime s, \prime v)$  *spec*  $(\langle \Downarrow -, -, - \rangle)$  **where**  
 $\langle s, xs, v \rangle \equiv \langle \text{trace.T } s \ xs \ v \rangle$

$\langle ML \rangle$

**lemma** *Sup-prime*:

**shows** *Sup-prime*  $\langle \sigma \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *nchotomy*:

**shows**  $\exists X \in \text{raw.spec.closed. } x = \bigsqcup (\text{spec.singleton } \prime X)$   
 $\langle \text{proof} \rangle$

**lemmas** *exhaust* = *bexE[OF spec.singleton.nchotomy]*

**lemma** *collapse[simp]*:

**shows**  $\bigsqcup (\text{spec.singleton } \prime \{\sigma. \langle \sigma \rangle \leq P\}) = P$   
 $\langle \text{proof} \rangle$

**lemmas** *not-bot* = *Sup-prime-not-bot[OF spec.singleton.Sup-prime]* — Non-triviality

$\langle ML \rangle$

**lemma** *singleton-le-ext-conv*:

**shows**  $P \leq Q \iff (\forall \sigma. \langle \sigma \rangle \leq P \longrightarrow \langle \sigma \rangle \leq Q)$  (**is** *?lhs*  $\iff$  *?rhs*)  
 $\langle \text{proof} \rangle$

**lemmas** *singleton-le-conv* = *raw.singleton-le-conv[transferred]*

**lemmas** *singleton-le-extI* = *iffD2[OF spec.singleton-le-ext-conv, rule-format]*

**lemma** *singleton-eq-conv[simp]*:

**shows**  $\langle \sigma \rangle = \langle \sigma' \rangle \iff \sigma \simeq_S \sigma'$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-cong*:

**assumes**  $\sigma \simeq_S \sigma'$   
**shows**  $\langle \sigma \rangle = \langle \sigma' \rangle$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**named-theorems** *le-conv* < *simplification rules for*  $\langle \sigma \rangle \leq \text{const} \dots$  >

**lemmas** *antisym* = *antisym*[*OF spec.singleton-le-extI spec.singleton-le-extI*]

**lemmas** *top* = *spec.singleton.collapse*[*of*  $\top$ , *simplified, symmetric*]

**lemma** *monotone*:

**shows** *mono spec.singleton*

<*proof*>

**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF spec.singleton.monotone*]

**lemmas** *mono* = *monoD*[*OF spec.singleton.monotone*]

**lemmas** *mono2mono*[*cont-intro, partial-function-mono*]  
= *monotone2monotone*[*OF spec.singleton.monotone, simplified*]

**lemma** *simps*[*simp*]:

**shows**  $\langle \natural\sigma \rangle = \langle \sigma \rangle$

**and**  $\langle s, xs, v \rangle \leq \langle s, \text{trace.natural}' s xs, v \rangle$

**and** *snd* ' *set*  $xs \subseteq \{s\} \implies \langle s, xs @ ys, v \rangle = \langle s, ys, v \rangle$

**and** *snd* ' *set*  $ys \subseteq \{\text{trace.final}' s xs\} \implies \langle s, xs @ ys, v \rangle = \langle s, xs, v \rangle$

**and** *snd* ' *set*  $xs \subseteq \{\text{snd } x\} \implies \langle s, x \# xs @ ys, v \rangle = \langle s, x \# ys, v \rangle$

**and**  $\langle s, (a, s) \# xs, v \rangle = \langle s, xs, v \rangle$

<*proof*>

**lemma** *Cons*: — self-applies, not usable by *simp*

**assumes** *snd* ' *set*  $as \subseteq \{s'\}$

**shows**  $\langle s, (a, s') \# as, v \rangle = \langle s, [(a, s')], v \rangle$

<*proof*>

**lemmas** *Sup-irreducible* = *iffD1*[*OF heyting.Sup-prime-Sup-irreducible-iff spec.singleton.Sup-prime*]

**lemmas** *sup-irreducible* = *Sup-irreducible-on-imp-sup-irreducible-on*[*OF spec.singleton.Sup-irreducible, simplified*]

**lemmas** *Sup-leE*[*elim*] = *Sup-prime-onE*[*OF spec.singleton.Sup-prime, simplified*]

**lemmas** *sup-le-conv*[*simp*] = *sup-irreducible-le-conv*[*OF spec.singleton.sup-irreducible*]

**lemmas** *Sup-le-conv*[*simp*] = *Sup-prime-on-conv*[*OF spec.singleton.Sup-prime, simplified*]

**lemmas** *compact-point* = *Sup-prime-is-compact*[*OF spec.singleton.Sup-prime*]

**lemmas** *compact*[*cont-intro*] = *compact-points-are-ccpo-compact*[*OF spec.singleton.compact-point*]

**lemma** *Inf*:

**shows**  $\bigcap (\text{spec.singleton } ' X) = \bigsqcup (\text{spec.singleton } ' \{\sigma. \forall \sigma_1 \in X. \sigma \leq \natural\sigma_1\})$

<*proof*>

**lemmas** *inf* = *spec.singleton.Inf*[**where**  $X = \{\sigma_1, \sigma_2\}$ , *simplified*] **for**  $\sigma_1 \sigma_2$

**lemma** *less-eq-Some*[*simp*]:

**shows**  $\langle s, xs, \text{Some } v \rangle \leq \langle \sigma \rangle$

$\longleftrightarrow \text{trace.term } \sigma = \text{Some } v \wedge \text{trace.init } \sigma = s \wedge \text{trace.natural}' s (\text{trace.rest } \sigma) = \text{trace.natural}' s xs$

<*proof*>

**lemma** *less-eq-None*:

**shows** [*iff*]:  $\langle s, xs, \text{None} \rangle \leq \langle s, xs, v' \rangle$

<*proof*>

**lemma** *map-cong*:

**assumes**  $\bigwedge a. a \in \text{trace.aset } (\natural\sigma') \implies af a = af' a$

**assumes**  $\bigwedge x. x \in \text{trace.sset } (\natural\sigma') \implies sf x = sf' x$

**assumes**  $\bigwedge v. v \in \text{trace.vset } (\natural\sigma') \implies vf v = vf' v$

**assumes**  $\natural\sigma = \natural\sigma'$

**shows**  $\langle \text{trace.map } af sf vf \sigma \rangle = \langle \text{trace.map } af' sf' vf' \sigma' \rangle$

$\langle \text{proof} \rangle$

**lemma** *map-le*:

**assumes**  $\langle \sigma \rangle \leq \langle \sigma' \rangle$

**shows**  $\langle \text{trace.map af sf vf } \sigma \rangle \leq \langle \text{trace.map af sf vf } \sigma' \rangle$

$\langle \text{proof} \rangle$

**lemma** *takeI*:

**assumes**  $\langle \sigma \rangle \leq P$

**shows**  $\langle \text{trace.take } i \sigma \rangle \leq P$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemmas** *assms-cong* = *order.assms-cong*[**where**  $'a=( 'a, 's, 'v) \text{ spec}$ ]

**lemmas** *concl-cong* = *order.concl-cong*[**where**  $'a=( 'a, 's, 'v) \text{ spec}$ ]

**declare** *spec.singleton.transfer*[*transfer-rule del*]

$\langle \text{ML} \rangle$

## 8.4 Maps

Lift *trace.map* to the  $( 'a, 's, 'v) \text{ spec}$  lattice via image and inverse image.

Note that the image may yield a set that is not stuttering closed (i.e., we need to close the obvious model-level definition of *spec.map* under stuttering) as arbitrary *sf* may introduce stuttering not present in *P*. In contrast the inverse image preserves stuttering. These issues are elided here through the use of *spec.singleton*.

$\langle \text{ML} \rangle$

**definition** *map* ::  $( 'a \Rightarrow 'b) \Rightarrow ( 's \Rightarrow 't) \Rightarrow ( 'v \Rightarrow 'w) \Rightarrow ( 'a, 's, 'v) \text{ spec} \Rightarrow ( 'b, 't, 'w) \text{ spec}$  **where**  
 $\text{map af sf vf } P = \bigsqcup (\text{spec.singleton } ' \text{ trace.map af sf vf } - \{ \sigma. \langle \sigma \rangle \leq P \})$

**definition** *invmap* ::  $( 'a \Rightarrow 'b) \Rightarrow ( 's \Rightarrow 't) \Rightarrow ( 'v \Rightarrow 'w) \Rightarrow ( 'b, 't, 'w) \text{ spec} \Rightarrow ( 'a, 's, 'v) \text{ spec}$  **where**  
 $\text{invmap af sf vf } P = \bigsqcup (\text{spec.singleton } ' \text{ trace.map af sf vf } - \{ \sigma. \langle \sigma \rangle \leq P \})$

**abbreviation** *amap* ::  $( 'a \Rightarrow 'b) \Rightarrow ( 'a, 's, 'v) \text{ spec} \Rightarrow ( 'b, 's, 'v) \text{ spec}$  **where**

$\text{amap af} \equiv \text{spec.map af id id}$

**abbreviation** *ainvmap* ::  $( 'a \Rightarrow 'b) \Rightarrow ( 'b, 's, 'v) \text{ spec} \Rightarrow ( 'a, 's, 'v) \text{ spec}$  **where**

$\text{ainvmap af} \equiv \text{spec.invmap af id id}$

**abbreviation** *smap* ::  $( 's \Rightarrow 't) \Rightarrow ( 'a, 's, 'v) \text{ spec} \Rightarrow ( 'a, 't, 'v) \text{ spec}$  **where**

$\text{smap sf} \equiv \text{spec.map id sf id}$

**abbreviation** *sinvmap* ::  $( 's \Rightarrow 't) \Rightarrow ( 'a, 't, 'v) \text{ spec} \Rightarrow ( 'a, 's, 'v) \text{ spec}$  **where**

$\text{sinvmap sf} \equiv \text{spec.invmap id sf id}$

**abbreviation** *vmap* ::  $( 'v \Rightarrow 'w) \Rightarrow ( 'a, 's, 'v) \text{ spec} \Rightarrow ( 'a, 's, 'w) \text{ spec}$  **where** — aka *liftM*

$\text{vmap vf} \equiv \text{spec.map id id vf}$

**abbreviation** *vinvmap* ::  $( 'v \Rightarrow 'w) \Rightarrow ( 'a, 's, 'w) \text{ spec} \Rightarrow ( 'a, 's, 'v) \text{ spec}$  **where**

$\text{vinvmap vf} \equiv \text{spec.invmap id id vf}$

**interpretation** *map-invmap*: *galois.complete-lattice-distributive-class*

*spec.map af sf vf*

*spec.invmap af sf vf* **for** *af sf vf*

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *map-le-conv*[*spec.singleton.le-conv*]:

**shows**  $\langle \sigma \rangle \leq \text{spec.map af sf vf } P \iff (\exists \sigma'. \langle \sigma' \rangle \leq P \wedge \langle \sigma \rangle \leq \langle \text{trace.map af sf vf } \sigma' \rangle)$

*<proof>*

**lemma** *invmap-le-conv[spec.singleton.le-conv]:*

**shows**  $\langle \sigma \rangle \leq \text{spec.invmap } af \text{ } sf \text{ } vf \text{ } P \iff \langle \text{trace.map } af \text{ } sf \text{ } vf \text{ } \sigma \rangle \leq P$

*<proof>*

*<ML>*

**lemmas** *bot = spec.map-invmap.lower-bot*

**lemmas** *monotone = spec.map-invmap.monotone-lower*

**lemmas** *mono = monotoneD[OF spec.map.monotone]*

**lemmas** *Sup = spec.map-invmap.lower-Sup*

**lemmas** *sup = spec.map-invmap.lower-sup*

**lemmas** *Inf-le = spec.map-invmap.lower-Inf-le* — Converse does not hold

**lemmas** *inf-le = spec.map-invmap.lower-inf-le* — Converse does not hold

**lemmas** *invmap-le = spec.map-invmap.lower-upper-contractive*

**lemma** *singleton:*

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } \langle \sigma \rangle = \langle \text{trace.map } af \text{ } sf \text{ } vf \text{ } \sigma \rangle$

*<proof>*

**lemma** *top:*

**assumes** *surj af*

**assumes** *surj sf*

**assumes** *surj vf*

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } \top = \top$

*<proof>*

**lemma** *id:*

**shows**  $\text{spec.map } id \text{ } id \text{ } id \text{ } P = P$

**and**  $\text{spec.map } (\lambda x. x) (\lambda x. x) (\lambda x. x) \text{ } P = P$

*<proof>*

**lemma** *comp:*

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \circ \text{spec.map } ag \text{ } sg \text{ } vg = \text{spec.map } (af \circ ag) (sf \circ sg) (vf \circ vg)$  (**is** *?lhs = ?rhs*)

**and**  $\text{spec.map } af \text{ } sf \text{ } vf (\text{spec.map } ag \text{ } sg \text{ } vg \text{ } P) = \text{spec.map } (\lambda a. af (ag a)) (\lambda s. sf (sg s)) (\lambda v. vf (vg v)) \text{ } P$  (**is** *?thesis1*)

*<proof>*

**lemmas** *map = spec.map.comp*

**lemma** *inf-distr:*

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } P \sqcap Q = \text{spec.map } af \text{ } sf \text{ } vf (P \sqcap \text{spec.invmap } af \text{ } sf \text{ } vf \text{ } Q)$  (**is** *?lhs = ?rhs*)

**and**  $Q \sqcap \text{spec.map } af \text{ } sf \text{ } vf \text{ } P = \text{spec.map } af \text{ } sf \text{ } vf (\text{spec.invmap } af \text{ } sf \text{ } vf \text{ } Q \sqcap P)$  (**is** *?thesis1*)

*<proof>*

*<ML>*

**lemma** *comp:*

**shows**  $\text{spec.smap } sf \circ \text{spec.smap } sg = \text{spec.smap } (sf \circ sg)$

**and**  $\text{spec.smap } sf (\text{spec.smap } sg \text{ } P) = \text{spec.smap } (\lambda s. sf (sg s)) \text{ } P$

*<proof>*

*<ML>*

**lemmas** *bot* = *spec.map-invmap.upper-bot*

**lemmas** *top* = *spec.map-invmap.upper-top*

**lemmas** *monotone* = *spec.map-invmap.monotone-upper*

**lemmas** *mono* = *monotoneD[OF spec.invmap.monotone]*

**lemmas** *Sup* = *spec.map-invmap.upper-Sup*

**lemmas** *sup* = *spec.map-invmap.upper-sup*

**lemmas** *Inf* = *spec.map-invmap.upper-Inf*

**lemmas** *inf* = *spec.map-invmap.upper-inf*

**lemma** *singleton*:

**shows** *spec.invmap af sf vf*  $\langle\sigma\rangle = \bigsqcup (\text{spec.singleton } \{ \sigma'. \langle\text{trace.map af sf vf } \sigma'\rangle \leq \langle\sigma\rangle \})$   
*<proof>*

**lemma** *id*:

**shows** *spec.invmap id id id*  $P = P$   
**and** *spec.invmap*  $(\lambda x. x) (\lambda x. x) (\lambda x. x) P = P$   
*<proof>*

**lemma** *comp*:

**shows** *spec.invmap af sf vf*  $(\text{spec.invmap ag sg vg } P) = \text{spec.invmap } (\lambda x. \text{ag } (\text{af } x)) (\lambda s. \text{sg } (\text{sf } s)) (\lambda v. \text{vg } (\text{vf } v)) P$  (**is** *?lhs*  $P = ?rhs$   $P$ )  
**and** *spec.invmap af sf vf*  $\circ \text{spec.invmap ag sg vg} = \text{spec.invmap } (\text{ag} \circ \text{af}) (\text{sg} \circ \text{sf}) (\text{vg} \circ \text{vf})$  (**is** *?thesis1*)  
*<proof>*

**lemmas** *invmap* = *spec.invmap.comp*

**lemma** *invmap-inf-distr-le*:

**fixes** *af* ::  $'a \Rightarrow 'b$   
**fixes** *sf* ::  $'s \Rightarrow 't$   
**fixes** *vf* ::  $'v \Rightarrow 'w$   
**shows** *spec.invmap af sf vf*  $P \sqcap Q \leq \text{spec.invmap af sf vf } (P \sqcap \text{spec.map af sf vf } Q)$   
**and**  $Q \sqcap \text{spec.invmap af sf vf } P \leq \text{spec.invmap af sf vf } (\text{spec.map af sf vf } Q \sqcap P)$   
*<proof>*

*<ML>*

**lemma** *invmap-le*: — *af = id* in *spec.invmap*

**shows** *spec.amap af*  $(\text{spec.invmap id sf vf } P) \leq \text{spec.invmap id sf vf } (\text{spec.amap af } P)$   
*<proof>*

**lemma** *surj-invmap*: — *af = id* in *spec.invmap*

**fixes**  $P :: ('a, 't, 'w) \text{ spec}$   
**fixes** *af* ::  $'a \Rightarrow 'b$   
**fixes** *sf* ::  $'s \Rightarrow 't$   
**fixes** *vf* ::  $'v \Rightarrow 'w$   
**assumes** *surj af*  
**shows** *spec.amap af*  $(\text{spec.invmap id sf vf } P) = \text{spec.invmap id sf vf } (\text{spec.amap af } P)$  (**is** *?lhs = ?rhs*)  
*<proof>*

*<ML>*

## 8.5 The idle process

As observed by [Abadi and Plotkin \(1991\)](#), many laws require the processes involved to accept all initial states (see, for instance, §8.8). We call the minimal such process *spec.idle*. It is also the lower bound on specification by transition relation (§8.10).

⟨ML⟩

**definition** *idle* :: ('a, 's, 'v) spec **where**  
*idle* = (⊔ s. ⟨s, [], None⟩)

**named-theorems** *idle-le* < rules for <spec.idle ≤ const ...> >

⟨ML⟩

**lemma** *idle-le-conv*[*spec.singleton.le-conv*]:  
**shows** ⟨σ⟩ ≤ *spec.idle* ↔ *trace.steps* σ = {} ∧ *trace.term* σ = None  
 ⟨proof⟩

⟨ML⟩

**lemma** *minimal-le*:  
**shows** ⟨s, [], None⟩ ≤ *spec.idle*  
 ⟨proof⟩

**lemma** *map-le*[*spec.idle-le*]:  
**assumes** *spec.idle* ≤ P  
**assumes** *surj sf*  
**shows** *spec.idle* ≤ *spec.map af sf vf P*  
 ⟨proof⟩

**lemma** *invmap-le*:  
**assumes** *spec.idle* ≤ P  
**shows** *spec.idle* ≤ *spec.invmap af sf vf P*  
 ⟨proof⟩

⟨ML⟩

**lemma** *cl-alt-def*:  
**shows** *spec.map-invmap.cl - - - af sf vf P*  
 = ⊔ {⟨σ⟩ | σ σ'. ⟨σ'⟩ ≤ P ∧ ⟨*trace.map af sf vf* σ⟩ ≤ ⟨*trace.map af sf vf* σ'⟩} (**is** ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *cl-le-conv*[*spec.singleton.le-conv*]:  
**shows** ⟨σ⟩ ≤ *spec.map-invmap.cl - - - af sf vf P* ↔ ⟨*trace.map af sf vf* σ⟩ ≤ *spec.map af sf vf P*  
 ⟨proof⟩

⟨ML⟩

## 8.6 Actions

Our primitive actions are arbitrary relations on the state, labelled by the agent performing the state transition and a value to return.

For refinement purposes we need *idle* ≤ *action a F*; see §12.1.1.

⟨ML⟩

**definition** *action* :: ('v × 'a × 's × 's) set ⇒ ('a, 's, 'v) spec **where**  
*action F* = (⊔ (v, a, s, s') ∈ F. ⟨s, [(a, s')], Some v⟩) ⊔ *spec.idle*

**definition**  $guard :: ('s \Rightarrow bool) \Rightarrow ('a, 's, unit) \text{ spec where}$   
 $guard\ g = \text{spec.action } (\{\} \times UNIV \times \text{Diag } g)$

**definition**  $return :: 'v \Rightarrow ('a, 's, 'v) \text{ spec where}$   
 $return\ v = \text{spec.action } (\{v\} \times UNIV \times Id)$

**abbreviation**  $(input) \text{ read} :: ('s \Rightarrow 'v \text{ option}) \Rightarrow ('a, 's, 'v) \text{ spec where}$   
 $read\ f \equiv \text{spec.action } \{(v, a, s, s) \mid a\ s\ v.\ f\ s = \text{Some } v\}$

**abbreviation**  $(input) \text{ write} :: 'a \Rightarrow ('s \Rightarrow 's) \Rightarrow ('a, 's, unit) \text{ spec where}$   
 $write\ a\ f \equiv \text{spec.action } \{((, a, s, f\ s) \mid s.\ \text{True})\}$

**lemma**  $action-le[case-names\ idle\ step]:$

**assumes**  $\text{spec.idle} \leq P$

**assumes**  $\bigwedge v\ a\ s\ s'. (v, a, s, s') \in F \implies \langle s, [(a, s'), \text{Some } v] \rangle \leq P$

**shows**  $\text{spec.action } F \leq P$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $action-le[\text{spec.idle-le}]:$

**shows**  $\text{spec.idle} \leq \text{spec.action } F$

$\langle proof \rangle$

**lemma**  $guard-le[\text{spec.idle-le}]:$

**shows**  $\text{spec.idle} \leq \text{spec.guard } g$

$\langle proof \rangle$

**lemma**  $return-le[\text{spec.idle-le}]:$

**shows**  $\text{spec.idle} \leq \text{spec.return } v$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $action-le:$

**fixes**  $F :: ('v \times 'a \times 's \times 's) \text{ set}$

**shows**  $\text{spec.map } af\ sf\ vf\ (\text{spec.action } F) \leq \text{spec.action } (\text{map-prod } vf\ (\text{map-prod } af\ (\text{map-prod } sf\ sf)))\ 'F$

$\langle proof \rangle$

**lemma**  $action:$

**fixes**  $F :: ('v \times 'a \times 's \times 's) \text{ set}$

**shows**  $\text{spec.map } af\ sf\ vf\ (\text{spec.action } F) \sqcup \text{spec.idle}$

$= \text{spec.action } (\text{map-prod } vf\ (\text{map-prod } af\ (\text{map-prod } sf\ sf)))\ 'F$  (**is** ?lhs = ?rhs)

$\langle proof \rangle$

**lemma**  $surj-sf-action:$

**assumes**  $surj\ sf$

**shows**  $\text{spec.map } af\ sf\ vf\ (\text{spec.action } F) = \text{spec.action } (\text{map-prod } vf\ (\text{map-prod } af\ (\text{map-prod } sf\ sf)))\ 'F$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $empty:$

**shows**  $\text{spec.action } \{\} = \text{spec.idle}$

$\langle proof \rangle$

**lemma**  $idleI:$

**assumes**  $snd \text{ ' set } xs \subseteq \{s\}$   
**shows**  $\langle s, xs, None \rangle \leq spec.action F$   
 $\langle proof \rangle$

**lemma stepI:**  
**assumes**  $(v, a, s, s') \in F$   
**assumes**  $\forall v''. w = Some v'' \longrightarrow v'' = v$   
**shows**  $\langle s, [(a, s')], w \rangle \leq spec.action F$   
 $\langle proof \rangle$

**lemma stutterI:**  
**assumes**  $(v, a, s, s) \in F$   
**shows**  $\langle s, [], Some v \rangle \leq spec.action F$   
 $\langle proof \rangle$

**lemma stutter-stepI:**  
**assumes**  $(v, a, s, s) \in F$   
**shows**  $\langle s, [(b, s)], Some v \rangle \leq spec.action F$   
 $\langle proof \rangle$

**lemma stutter-stepsI:**  
**assumes**  $(v, a, s, s) \in F$   
**assumes**  $snd \text{ ' set } xs \subseteq \{s\}$   
**shows**  $\langle s, xs, Some v \rangle \leq spec.action F$   
 $\langle proof \rangle$

**lemma monotone:**  
**shows**  $mono spec.action$   
 $\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF spec.action.monotone]$   
**lemmas**  $mono = monotoneD[OF spec.action.monotone]$   
**lemmas**  $mono2mono[cont-intro, partial-function-mono]$   
 $= monotone2monotone[OF spec.action.monotone, simplified]$

**lemma Sup:**  
**shows**  $spec.action (\bigcup X) = (\bigsqcup_{F \in X. spec.action F}) \sqcup spec.idle$   
 $\langle proof \rangle$

**lemma**  
**shows**  $SUP: spec.action (\bigcup_{x \in X. F x}) = (\bigsqcup_{x \in X. spec.action (F x)}) \sqcup spec.idle$   
**and**  $SUP-not-empty: X \neq \{\} \implies spec.action (\bigcup_{x \in X. F x}) = (\bigsqcup_{x \in X. spec.action (F x)})$   
 $\langle proof \rangle$

**lemma sup:**  
**shows**  $spec.action (F \cup G) = spec.action F \sqcup spec.action G$   
 $\langle proof \rangle$

**lemma Inf-le:**  
**shows**  $spec.action (\bigcap Fs) \leq \prod (spec.action \text{ ' } Fs)$   
 $\langle proof \rangle$

**lemma inf-le:**  
**shows**  $spec.action (F \cap G) \leq spec.action F \sqcap spec.action G$   
 $\langle proof \rangle$

**lemma stutter-agents-le:**

**assumes**  $\llbracket A \neq \{\}; r \neq \{\} \rrbracket \implies B \neq \{\}$   
**assumes**  $r \subseteq Id$   
**shows**  $spec.action (\{v\} \times A \times r) \leq spec.action (\{v\} \times B \times r)$   
 $\langle proof \rangle$

**lemma** *read-agents*:

**assumes**  $A \neq \{\}$   
**assumes**  $B \neq \{\}$   
**assumes**  $r \subseteq Id$   
**shows**  $spec.action (\{v\} \times A \times r) = spec.action (\{v\} \times B \times r)$   
 $\langle proof \rangle$

**lemma** *invmap-le*: — A typical refinement

**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'v \Rightarrow 'w$   
**shows**  $spec.action (map-prod vf (map-prod af (map-prod sf sf))) - ' F \leq spec.invmap af sf vf (spec.action F)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *action-le-conv*:

**shows**  $\langle \sigma \rangle \leq spec.action F$   
 $\longleftrightarrow (trace.steps \sigma = \{\} \wedge case-option True (\lambda v. \exists a. (v, a, trace.init \sigma, trace.init \sigma) \in F) (trace.term \sigma))$   
 $\vee (\exists x \in F. trace.steps \sigma = \{snd x\} \wedge case-option True ((=) (fst x)) (trace.term \sigma)) (is ?lhs \longleftrightarrow ?rhs)$   
 $\langle proof \rangle$

**lemma** *action-Some-leE*:

**assumes**  $\langle \sigma \rangle \leq spec.action F$   
**assumes**  $trace.term \sigma = Some v$   
**obtains**  $x$   
**where**  $x \in F$   
**and**  $trace.init \sigma = fst (snd (snd x))$   
**and**  $trace.final \sigma = snd (snd (snd x))$   
**and**  $trace.steps \sigma \subseteq \{snd x\}$   
**and**  $v = fst x$   
 $\langle proof \rangle$

**lemma** *action-not-idle-leE*:

**assumes**  $\langle \sigma \rangle \leq spec.action F$   
**assumes**  $\not\vdash \sigma \neq trace.T (trace.init \sigma) \square None$   
**obtains**  $x$   
**where**  $x \in F$   
**and**  $trace.init \sigma = fst (snd (snd x))$   
**and**  $trace.final \sigma = snd (snd (snd x))$   
**and**  $trace.steps \sigma \subseteq \{snd x\}$   
**and**  $case-option True ((=) (fst x)) (trace.term \sigma)$   
 $\langle proof \rangle$

**lemma** *action-not-idle-le-splitE*:

**assumes**  $\langle \sigma \rangle \leq spec.action F$   
**assumes**  $\not\vdash \sigma \neq trace.T (trace.init \sigma) \square None$   
**obtains**  $(return) v a$   
**where**  $(v, a, trace.init \sigma, trace.init \sigma) \in F$   
**and**  $trace.steps \sigma = \{\}$   
**and**  $trace.term \sigma = Some v$   
 $| (step) v a ys zs$   
**where**  $(v, a, trace.init \sigma, trace.final \sigma) \in F$

**and**  $trace.init\ \sigma \neq trace.final\ \sigma$   
**and**  $snd\ 'set\ ys \subseteq \{trace.init\ \sigma\}$   
**and**  $snd\ 'set\ zs \subseteq \{trace.final\ \sigma\}$   
**and**  $trace.rest\ \sigma = ys\ @\ [(a,\ trace.final\ \sigma)]\ @\ zs$   
**and**  $case-option\ True\ ((=)\ v)\ (trace.term\ \sigma)$

$\langle proof \rangle$

**lemma**  $guard-le-conv[spec.singleton.le-conv]$ :

**shows**  $\langle \sigma \rangle \leq spec.guard\ g \longleftrightarrow trace.steps\ \sigma = \{\} \wedge (case-option\ True\ \langle g\ (trace.init\ \sigma) \rangle\ (trace.term\ \sigma))$

$\langle proof \rangle$

**lemma**  $return-le-conv[spec.singleton.le-conv]$ :

**shows**  $\langle \sigma \rangle \leq spec.return\ v$   
 $\longleftrightarrow trace.steps\ \sigma = \{\} \wedge (case-option\ True\ ((=)\ v)\ (trace.term\ \sigma))$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $mono-stronger$ :

**assumes**  $\bigwedge v\ a\ s\ s'. \llbracket (v,\ a,\ s,\ s') \in F; s \neq s' \rrbracket \implies (v,\ a,\ s,\ s') \in F'$

**assumes**  $\bigwedge v\ a\ s. (v,\ a,\ s,\ s) \in F \implies \exists a'. (v,\ a',\ s,\ s) \in F'$

**shows**  $spec.action\ F \leq spec.action\ F'$

$\langle proof \rangle$

**lemma**  $cong$ :

**assumes**  $\bigwedge v\ a\ s\ s'. s \neq s' \implies (v,\ a,\ s,\ s') \in F \longleftrightarrow (v,\ a,\ s,\ s') \in F'$

**assumes**  $\bigwedge v\ a\ s. (v,\ a,\ s,\ s) \in F \implies \exists a'. (v,\ a',\ s,\ s) \in F'$

**assumes**  $\bigwedge v\ a\ s. (v,\ a,\ s,\ s) \in F' \implies \exists a'. (v,\ a',\ s,\ s) \in F$

**shows**  $spec.action\ F = spec.action\ F'$

$\langle proof \rangle$

**lemma**  $le-actionD$ :

**assumes**  $spec.action\ F \leq spec.action\ F'$

**shows**  $\llbracket (v,\ a,\ s,\ s') \in F; s \neq s' \rrbracket \implies (v,\ a,\ s,\ s') \in F'$

**and**  $(v,\ a,\ s,\ s) \in F \implies \exists a'. (v,\ a',\ s,\ s) \in F'$

$\langle proof \rangle$

**lemma**  $eq-action-conv$ :

**shows**  $spec.action\ F = spec.action\ F'$

$\longleftrightarrow (\forall v\ a\ s\ s'. s \neq s' \longrightarrow (v,\ a,\ s,\ s') \in F \longleftrightarrow (v,\ a,\ s,\ s') \in F')$

$\wedge (\forall v\ a\ s. (v,\ a,\ s,\ s) \in F \longrightarrow (\exists a'. (v,\ a',\ s,\ s) \in F'))$

$\wedge (\forall v\ a\ s. (v,\ a,\ s,\ s) \in F' \longrightarrow (\exists a'. (v,\ a',\ s,\ s) \in F))$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $return-alt-def$ :

**assumes**  $A \neq \{\}$

**shows**  $spec.return\ v = spec.action\ (\{v\} \times A \times Id)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $cong$ :

**assumes**  $\bigwedge v\ a\ s\ s'. (v,\ a,\ s,\ s') \in F \implies s' = s$

**assumes**  $\bigwedge v\ s. v \in fst\ 'F \implies \exists a. (v,\ a,\ s,\ s) \in F$

**shows**  $spec.action\ F = \bigsqcup (spec.return\ 'fst\ 'F) \sqcup spec.idle$

$\langle proof \rangle$

**lemma** *action-le*:

**assumes**  $Id \subseteq snd \text{ ' } snd \text{ ' } F$

**shows**  $spec.return () \leq spec.action F$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *alt-def*:

**assumes**  $A \neq \{\}$

**shows**  $spec.guard g = spec.action (\{\}) \times A \times Diag g$

$\langle proof \rangle$

**lemma** *bot*:

**shows**  $spec.guard \perp = spec.idle$

**and**  $spec.guard \langle False \rangle = spec.idle$

$\langle proof \rangle$

**lemma** *top*:

**shows**  $spec.guard \top = spec.return ()$

**and**  $spec.guard \langle True \rangle = spec.return ()$

$\langle proof \rangle$

**lemma** *monotone*:

**shows**  $mono spec.guard$

$\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF spec.guard.monotone]$

**lemmas**  $mono = monotoneD[OF spec.guard.monotone]$

**lemmas**  $mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF spec.guard.monotone, simplified]$

**lemma** *Sup*:

**shows**  $spec.guard (\bigsqcup X) = \bigsqcup (spec.guard \text{ ' } X) \sqcup spec.idle$

$\langle proof \rangle$

**lemma** *sup*:

**shows**  $spec.guard (g \sqcup h) = spec.guard g \sqcup spec.guard h$

$\langle proof \rangle$

**lemma** *return-le*:

**shows**  $spec.guard g \leq spec.return ()$

$\langle proof \rangle$

**lemma** *guard-less*: — Non-triviality

**assumes**  $g < g'$

**shows**  $spec.guard g < spec.guard g'$

$\langle proof \rangle$

**lemma** *cong*:

**assumes**  $\bigwedge v a s s'. (v, a, s, s') \in F \implies s' = s$

**shows**  $spec.action F = spec.guard (\lambda s. s \in fst \text{ ' } snd \text{ ' } snd \text{ ' } F) \text{ (is ?lhs = ?rhs)}$

$\langle proof \rangle$

**lemma** *action-le*:

**assumes**  $Diag g \subseteq snd \text{ ' } snd \text{ ' } F$

**shows**  $spec.guard g \leq spec.action F$

$\langle proof \rangle$

⟨ML⟩

## 8.7 Operations on return values

For various purposes, including defining a history-respecting sequential composition (bind, see §8.8), we use a Galois pair of operations that saturate or eradicate return values.

⟨ML⟩

**definition** *none* :: ('a, 's, 'v) spec ⇒ ('a, 's, 'w) spec **where**  
*none* P =  $\bigsqcup \{ \langle s, xs, None \rangle \mid s \text{ xs } v. \langle s, xs, v \rangle \leq P \}$

**definition** *all* :: ('a, 's, 'v) spec ⇒ ('a, 's, 'w) spec **where**  
*all* P =  $\bigsqcup \{ \langle s, xs, v \rangle \mid s \text{ xs } v. \langle s, xs, None \rangle \leq P \}$

⟨ML⟩

**interpretation** *term*: *galois.complete-lattice-distributive-class spec.term.none spec.term.all*  
⟨proof⟩

⟨ML⟩

**lemma** *none-le-conv*[*spec.singleton.le-conv*]:

**shows**  $\langle \sigma \rangle \leq \text{spec.term.none } P \iff \text{trace.term } \sigma = \text{None} \wedge \langle \text{trace.init } \sigma, \text{trace.rest } \sigma, \text{None} \rangle \leq P$  (**is** ?lhs  $\iff$  ?rhs)  
⟨proof⟩

**lemma** *all-le-conv*[*spec.singleton.le-conv*]:

**shows**  $\langle \sigma \rangle \leq \text{spec.term.all } P \iff (\exists w. \langle \text{trace.init } \sigma, \text{trace.rest } \sigma, w \rangle \leq P)$  (**is** ?lhs  $\iff$  ?rhs)  
⟨proof⟩

⟨ML⟩

**lemma** *singleton*:

**shows**  $\text{spec.term.none } \langle \sigma \rangle = \langle \text{trace.init } \sigma, \text{trace.rest } \sigma, \text{None} \rangle$   
⟨proof⟩

**lemmas** *bot*[*simp*] = *spec.term.lower-bot*

**lemmas** *monotone* = *spec.term.monotone-lower*

**lemmas** *mono* = *monotoneD*[*OF spec.term.none.monotone*]

**lemmas** *Sup* = *spec.term.lower-Sup*

**lemmas** *sup* = *spec.term.lower-sup*

**lemmas** *Inf-le* = *spec.term.lower-Inf-le*

**lemma** *Inf-not-empty*:

**assumes**  $X \neq \{\}$   
**shows**  $\text{spec.term.none } (\bigsqcap X) = (\bigsqcap x \in X. \text{spec.term.none } x)$   
⟨proof⟩

**lemma** *inf*:

**shows**  $\text{spec.term.none } (P \sqcap Q) = \text{spec.term.none } P \sqcap \text{spec.term.none } Q$   
**and**  $\text{spec.term.none } (Q \sqcap P) = \text{spec.term.none } Q \sqcap \text{spec.term.none } P$   
⟨proof⟩

**lemma** *inf-unit*:

**fixes**  $P Q :: (-, -, \text{unit}) \text{spec}$   
**shows**  $\text{spec.term.none } (P \sqcap Q) = \text{spec.term.none } P \sqcap Q$  (**is** *?thesis1*  $P Q$ )  
**and**  $\text{spec.term.none } (P \sqcap Q) = P \sqcap \text{spec.term.none } Q$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *idempotent[simp]*:  
**shows**  $\text{spec.term.none } (\text{spec.term.none } P) = \text{spec.term.none } P$   
 $\langle \text{proof} \rangle$

**lemma** *contractive[iff]*:  
**shows**  $\text{spec.term.none } P \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *map-gen*:  
**fixes**  $vf :: 'v \Rightarrow 'w$   
**fixes**  $vf' :: 'a \Rightarrow 'b$  — arbitrary type  
**shows**  $\text{spec.term.none } (\text{spec.map } af \text{ sf } vf \ P) = \text{spec.map } af \text{ sf } vf' (\text{spec.term.none } P)$  (**is** *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemmas**  $\text{map} = \text{spec.term.none.map-gen}[\text{where } vf'=id]$  — *simp-friendly*

**lemma** *invmap-gen*:  
**fixes**  $vf :: 'v \Rightarrow 'w$   
**fixes**  $vf' :: 'a \Rightarrow 'b$  — arbitrary type  
**shows**  $\text{spec.term.none } (\text{spec.invmap } af \text{ sf } vf \ P) = \text{spec.invmap } af \text{ sf } vf' (\text{spec.term.none } P)$  (**is** *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemmas**  $\text{invmap} = \text{spec.term.none.invmap-gen}[\text{where } vf'=id]$  — *simp-friendly*

**lemma** *idle*:  
**shows**  $\text{spec.term.none } \text{spec.idle} = \text{spec.idle}$   
 $\langle \text{proof} \rangle$

**lemma** *return*:  
**shows**  $\text{spec.term.none } (\text{spec.return } v) = \text{spec.idle}$   
 $\langle \text{proof} \rangle$

**lemma** *guard*:  
**shows**  $\text{spec.term.none } (\text{spec.guard } g) = \text{spec.idle}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *none-all-le*:  
**shows**  $\text{spec.term.none } P \leq \text{spec.term.all } P$   
 $\langle \text{proof} \rangle$

**lemma** *none-all[simp]*:  
**shows**  $\text{spec.term.none } (\text{spec.term.all } P) = \text{spec.term.none } P$   
 $\langle \text{proof} \rangle$

**lemma** *all-none[simp]*:  
**shows**  $\text{spec.term.all } (\text{spec.term.none } P) = \text{spec.term.all } P$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas**  $\text{bot}[\text{simp}] = \text{spec.term.upper-bot}$

**lemmas** *top* = *spec.term.upper-top*

**lemmas** *monotone* = *spec.term.monotone-upper*

**lemmas** *mono* = *monotoneD[OF spec.term.all.monotone]*

**lemma** *expansive*:

**shows**  $P \leq \text{spec.term.all } P$

*<proof>*

**lemmas** *Sup* = *spec.term.upper-Sup*

**lemmas** *sup* = *spec.term.upper-sup*

**lemmas** *Inf* = *spec.term.upper-Inf*

**lemmas** *inf* = *spec.term.upper-inf*

**lemmas** *singleton* = *spec.term.all-def[where P= $\langle\sigma\rangle$ ]* **for**  $\sigma$

**lemma** *monomorphic*:

**shows** *spec.term.cl* - = *spec.term.all*

*<proof>*

**lemma** *closed-conv*:

**assumes**  $P \in \text{spec.term.closed}$  -

**shows**  $P = \text{spec.term.all } P$

*<proof>*

**lemma** *closed[iff]*:

**shows**  $\text{spec.term.all } P \in \text{spec.term.closed}$  -

*<proof>*

**lemma** *idempotent[simp]*:

**shows**  $\text{spec.term.all } (\text{spec.term.all } P) = \text{spec.term.all } P$

*<proof>*

**lemma** *map*: — *vf* = *id* on the RHS

**fixes**  $vf :: 'v \Rightarrow 'w$

**shows**  $\text{spec.term.all } (\text{spec.map af sf vf } P) = \text{spec.map af sf id } (\text{spec.term.all } P)$  (**is** *?lhs* = *?rhs*)

*<proof>*

**lemma** *invmap*: — *vf* = *id* on the RHS

**fixes**  $vf :: 'v \Rightarrow 'w$

**shows**  $\text{spec.term.all } (\text{spec.invmap af sf vf } P) = \text{spec.invmap af sf id } (\text{spec.term.all } P)$  (**is** *?lhs* = *?rhs*)

*<proof>*

**lemma** *vmap-unit-absorb*:

**shows**  $\text{spec.vmap } \langle()\rangle (\text{spec.term.all } P) = \text{spec.term.all } P$  (**is** *?lhs* = *?rhs*)

*<proof>*

**lemma** *vmap-unit*:

**shows**  $\text{spec.vmap } \langle()\rangle (\text{spec.term.all } P) = \text{spec.term.all } (\text{spec.vmap } \langle()\rangle P)$

*<proof>*

**lemma** *idle*:

**shows**  $\text{spec.term.all spec.idle} = (\bigsqcup v. \text{spec.return } v)$  (**is** *?lhs* = *?rhs*)

*<proof>*

**lemma** *action*:

**fixes**  $F :: ('v \times 'a \times 's \times 's)$  set  
**shows**  $\text{spec.term.all} (\text{spec.action } F) = \text{spec.action} (UNIV \times \text{snd } 'F) \sqcup (\bigsqcup v. \text{spec.return } v)$  (**is** ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *return*:  
**shows**  $\text{spec.term.all} (\text{spec.return } v) = (\bigsqcup v. \text{spec.return } v)$   
 ⟨proof⟩

**lemma** *guard*:  
**shows**  $\text{spec.term.all} (\text{spec.guard } g) = (\bigsqcup v. \text{spec.return } v)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *none-le-conv[spec.idle-le]*:  
**shows**  $\text{spec.idle} \leq \text{spec.term.none } P \longleftrightarrow \text{spec.idle} \leq P$   
 ⟨proof⟩

**lemma** *all-le-conv[spec.idle-le]*:  
**shows**  $\text{spec.idle} \leq \text{spec.term.all } P \longleftrightarrow \text{spec.idle} \leq P$   
 ⟨proof⟩

⟨ML⟩

**lemma** *return-unit*:  
**shows**  $\text{spec.return } () \in \text{spec.term.closed}$  -  
 ⟨proof⟩

**lemma** *none-inf*:  
**fixes**  $P :: ('a, 's, 'v)$  spec  
**fixes**  $Q :: ('a, 's, 'w)$  spec  
**assumes**  $P \in \text{spec.term.closed}$  -  
**shows**  $P \sqcap \text{spec.term.none } Q = \text{spec.term.none} (\text{spec.term.none } P \sqcap Q)$  (**is** ?lhs = ?rhs)  
**and**  $\text{spec.term.none } Q \sqcap P = \text{spec.term.none} (Q \sqcap \text{spec.term.none } P)$  (**is** ?thesis1)  
 ⟨proof⟩

**lemma** *none-inf-monomorphic*:  
**fixes**  $P :: ('a, 's, 'v)$  spec  
**fixes**  $Q :: ('a, 's, 'v)$  spec  
**assumes**  $P \in \text{spec.term.closed}$  -  
**shows**  $P \sqcap \text{spec.term.none } Q = \text{spec.term.none} (P \sqcap Q)$  (**is** ?thesis1)  
**and**  $\text{spec.term.none } Q \sqcap P = \text{spec.term.none} (Q \sqcap P)$  (**is** ?thesis2)  
 ⟨proof⟩

**lemma** *singleton-le-extI*:  
**assumes**  $Q \in \text{spec.term.closed}$  -  
**assumes**  $\bigwedge s xs. \langle s, xs, \text{None} \rangle \leq P \implies \langle s, xs, \text{None} \rangle \leq Q$   
**shows**  $P \leq Q$   
 ⟨proof⟩

⟨ML⟩

## 8.8 Bind

We define monadic *bind* in terms of bi-strict *continue*. The latter supports left and right residuals (see, amongst many others, Hoare and He (1987); Hoare, He, and Sanders (1987b); Pratt (1990)), whereas *bind* encodes the non-retractability of observable actions, i.e.,  $\text{spec.term.none } f \leq f \ggg g$ , which defeats a general right residual.

It is tempting to write this in a more direct style (using *case-option*) but the set comprehension syntax is not

friendly to strengthen/monotonicity facts.

$\langle ML \rangle$

**definition** *continue* ::  $(\prime a, \prime s, \prime v) \text{ spec} \Rightarrow (\prime v \Rightarrow (\prime a, \prime s, \prime w) \text{ spec}) \Rightarrow (\prime a, \prime s, \prime w) \text{ spec}$  **where**  
*continue*  $f g =$   
 $\sqcup \{ \langle \text{trace.init } \sigma_f, \text{trace.rest } \sigma_f @ \text{trace.rest } \sigma_g, \text{trace.term } \sigma_g \rangle$   
 $|\sigma_f \sigma_g v. \langle \sigma_f \rangle \leq f \wedge \text{trace.init } \sigma_g = \text{trace.final } \sigma_f \wedge \text{trace.term } \sigma_f = \text{Some } v \wedge \langle \sigma_g \rangle \leq g v \}$

**definition** *bind* ::  $(\prime a, \prime s, \prime v) \text{ spec} \Rightarrow (\prime v \Rightarrow (\prime a, \prime s, \prime w) \text{ spec}) \Rightarrow (\prime a, \prime s, \prime w) \text{ spec}$  **where**  
*bind*  $f g = \text{spec.term.none } f \sqcup \text{spec.continue } f g$

**adhoc-overloading**

*Monad-Syntax.bind*  $\equiv \text{spec.bind}$

$\langle ML \rangle$

**lemma** *continue-le-conv*:

**shows**  $\langle \sigma \rangle \leq \text{spec.continue } f g$   
 $\longleftrightarrow (\exists xs ys v w. \langle \text{trace.init } \sigma, xs, \text{Some } v \rangle \leq f$   
 $\wedge \langle \text{trace.final}' (\text{trace.init } \sigma) xs, ys, w \rangle \leq g v$   
 $\wedge \sigma \leq \text{trace.T } (\text{trace.init } \sigma) (xs @ ys) w)$  **(is ?lhs  $\longleftrightarrow$  ?rhs)**

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *mono*:

**assumes**  $f \leq f'$   
**assumes**  $\bigwedge v. g v \leq g' v$   
**shows**  $\text{spec.continue } f g \leq \text{spec.continue } f' g'$

$\langle \text{proof} \rangle$

**lemma** *strengthen[stg]*:

**assumes** *st-ord*  $F f f'$   
**assumes**  $\bigwedge x. \text{st-ord } F (g x) (g' x)$   
**shows** *st-ord*  $F (\text{spec.continue } f g) (\text{spec.continue } f' g')$

$\langle \text{proof} \rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes** *monotone orda*  $(\leq) f$   
**assumes**  $\bigwedge x. \text{monotone orda } (\leq) (\lambda y. g y x)$   
**shows** *monotone orda*  $(\leq) (\lambda x. \text{spec.continue } (f x) (g x))$

$\langle \text{proof} \rangle$

**definition** *resL* ::  $(\prime v \Rightarrow (\prime a, \prime s, \prime w) \text{ spec}) \Rightarrow (\prime a, \prime s, \prime w) \text{ spec} \Rightarrow (\prime a, \prime s, \prime v) \text{ spec}$  **where**  
*resL*  $g P = \sqcup \{ f. \text{spec.continue } f g \leq P \}$

**definition** *resR* ::  $(\prime a, \prime s, \prime v) \text{ spec} \Rightarrow (\prime a, \prime s, \prime w) \text{ spec} \Rightarrow (\prime v \Rightarrow (\prime a, \prime s, \prime w) \text{ spec})$  **where**  
*resR*  $f P = \sqcup \{ g. \text{spec.continue } f g \leq P \}$

**interpretation** *L*: *galois.complete-lattice-class*  $\lambda f. \text{spec.continue } f g \text{spec.continue.resL } g$  **for**  $g$

$\langle \text{proof} \rangle$

**interpretation** *R*: *galois.complete-lattice-class*  $\lambda g. \text{spec.continue } f g \text{spec.continue.resR } f$

**for**  $f :: (\prime a, \prime s, \prime v) \text{ spec}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bind-le-conv*:

**shows**  $\langle \sigma \rangle \leq \text{spec.bind } f \ g \iff \langle \sigma \rangle \leq \text{spec.term.none } f \vee \langle \sigma \rangle \leq \text{spec.continue } f \ g$   
 $\langle \text{proof} \rangle$

**lemma** *bind-le[consumes 1]*:

**assumes**  $\langle \sigma \rangle \leq f \ggg g$

**obtains**

(incomplete)  $\langle \sigma \rangle \leq \text{spec.term.none } f$

| (continue)  $\sigma_f \ \sigma_g \ v_f$

**where**  $\langle \sigma_f \rangle \leq f$  **and**  $\text{trace.final } \sigma_f = \text{trace.init } \sigma_g$  **and**  $\text{trace.term } \sigma_f = \text{Some } v_f$

**and**  $\langle \sigma_g \rangle \leq g \ v_f$  **and**  $\not\vdash \sigma_g \neq \text{trace.T } (\text{trace.init } \sigma_g) \ \square \ \text{None}$

**and**  $\sigma = \text{trace.T } (\text{trace.init } \sigma_f) \ (\text{trace.rest } \sigma_f \ @ \ \text{trace.rest } \sigma_g) \ (\text{trace.term } \sigma_g)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bind-le[case-names incomplete continue]*:

**assumes**  $\text{spec.term.none } f \leq P$

**assumes**  $\bigwedge \sigma_f \ \sigma_g \ v. \llbracket \langle \sigma_f \rangle \leq f; \text{trace.init } \sigma_g = \text{trace.final } \sigma_f; \text{trace.term } \sigma_f = \text{Some } v; \langle \sigma_g \rangle \leq g \ v;$

$\not\vdash \sigma_g \neq \text{trace.T } (\text{trace.init } \sigma_g) \ \square \ \text{None} \rrbracket$

$\implies \langle \text{trace.init } \sigma_f, \text{trace.rest } \sigma_f \ @ \ \text{trace.rest } \sigma_g, \text{trace.term } \sigma_g \rangle \leq P$

**shows**  $f \ggg g \leq P$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**definition** *resL* ::  $(v \Rightarrow (a, s, w) \text{ spec}) \Rightarrow (a, s, w) \text{ spec} \Rightarrow (a, s, v) \text{ spec}$  **where**

$\text{resL } g \ P = \bigsqcup \{f. f \ggg g \leq P\}$

**lemma** *incompleteI*:

**assumes**  $\langle s, xs, \text{None} \rangle \leq f$

**shows**  $\langle s, xs, \text{None} \rangle \leq f \ggg g$

$\langle \text{proof} \rangle$

**lemma** *continueI*:

**assumes**  $f: \langle s, xs, \text{Some } v \rangle \leq f$

**assumes**  $g: \langle \text{trace.final}' \ s \ xs, ys, w \rangle \leq g \ v$

**shows**  $\langle s, xs \ @ \ ys, w \rangle \leq f \ggg g$

$\langle \text{proof} \rangle$

**lemma** *singletonL*:

**shows**  $\langle \sigma \rangle \ggg g$

$= \text{spec.term.none } \langle \sigma \rangle$

$\sqcup \bigsqcup \{ \langle \text{trace.init } \sigma, \text{trace.rest } \sigma \ @ \ \text{trace.rest } \sigma_g, \text{trace.term } \sigma_g \rangle \mid \sigma_g.$

$\text{trace.final } \sigma = \text{trace.init } \sigma_g \wedge (\exists v. \text{trace.term } \sigma = \text{Some } v \wedge \langle \sigma_g \rangle \leq g \ v) \}$  (**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $f \leq f'$

**assumes**  $\bigwedge v. g \ v \leq g' \ v$

**shows**  $\text{spec.bind } f \ g \leq \text{spec.bind } f' \ g'$

$\langle \text{proof} \rangle$

**lemma** *strengthen[strg]*:

**assumes**  $\text{st-ord } F \ f \ f'$

**assumes**  $\bigwedge x. \text{st-ord } F \ (g \ x) \ (g' \ x)$

**shows**  $\text{st-ord } F \ (\text{spec.bind } f \ g) \ (\text{spec.bind } f' \ g')$

*<proof>*

**lemma** *mono2mono*[*cont-intro, partial-function-mono*]:

**assumes** *monotone orda* ( $\leq$ ) *f*

**assumes**  $\bigwedge x. \text{monotone orda } (\leq) (\lambda y. g y x)$

**shows** *monotone orda* ( $\leq$ ) ( $\lambda x. \text{spec.bind } (f x) (g x)$ )

*<proof>*

**interpretation** *L*: *galois.complete-lattice-class*  $\lambda f. f \ggg g \text{ spec.bind.resL } g$  **for** *g*

*<proof>*

**lemmas** *SUPL* = *spec.bind.L.lower-SUP*

**lemmas** *SupL* = *spec.bind.L.lower-Sup*

**lemmas** *supL* = *spec.bind.L.lower-sup*[*of f<sub>1</sub> f<sub>2</sub> g*] **for** *f<sub>1</sub> f<sub>2</sub> g*

**lemmas** *INFL-le* = *spec.bind.L.lower-INF-le*

**lemmas** *InfL-le* = *spec.bind.L.lower-Inf-le*

**lemmas** *infL-le* = *spec.bind.L.lower-inf-le*[*of f<sub>1</sub> f<sub>2</sub> g*] **for** *f<sub>1</sub> f<sub>2</sub> g*

**lemma** *SUPR*:

**shows** *spec.bind f* ( $\lambda v. \bigsqcup_{x \in X}. g x v$ ) = ( $\bigsqcup_{x \in X}. f \ggg g x$ )  $\sqcup$  ( $f \ggg \perp$ ) (**is** *?thesis1*) — *Sup* over (*'a, 's, 'v*) *spec*

**and** *spec.bind f* ( $\bigsqcup_{x \in X}. g x$ ) = ( $\bigsqcup_{x \in X}. f \ggg g x$ )  $\sqcup$  ( $f \ggg \perp$ ) (**is** *?thesis2*) — *Sup* over functions

*<proof>*

**lemma** *SUPR-not-empty*:

**assumes**  $X \neq \{\}$

**shows** *spec.bind f* ( $\lambda v. \bigsqcup_{x \in X}. g x v$ ) = ( $\bigsqcup_{x \in X}. f \ggg g x$ )

*<proof>*

**lemmas** *supR* = *spec.bind.SUPR-not-empty*[**where**  $g=id$  **and**  $X=\{g_1, g_2\}$  **for**  $g_1 g_2$ , *simplified*]

**lemma** *InfR-le*:

**shows** *spec.bind f* ( $\lambda v. \prod_{x \in X}. g x v$ )  $\leq$  ( $\prod_{x \in X}. f \ggg g x$ )

*<proof>*

**lemma** *infR-le*:

**shows** *spec.bind f* ( $g_1 \sqcap g_2$ )  $\leq$  ( $f \ggg g_1$ )  $\sqcap$  ( $f \ggg g_2$ )

**and** *spec.bind f* ( $\lambda v. g_1 v \sqcap g_2 v$ )  $\leq$  ( $f \ggg g_1$ )  $\sqcap$  ( $f \ggg g_2$ )

*<proof>*

**lemma** *Inf-le*:

**shows** *spec.bind* ( $\prod_{x \in X}. f x$ ) ( $\lambda v. (\prod_{x \in X}. g x v)$ )  $\leq$  ( $\prod_{x \in X}. \text{spec.bind } (f x) (g x)$ )

*<proof>*

**lemma** *inf-le*:

**shows** *spec.bind* ( $f_1 \sqcap f_2$ ) ( $\lambda v. g_1 v \sqcap g_2 v$ )  $\leq$  *spec.bind f<sub>1</sub> g<sub>1</sub>*  $\sqcap$  *spec.bind f<sub>2</sub> g<sub>2</sub>*

*<proof>*

**lemma** *mcont2mcont*[*cont-intro*]:

**assumes** *mcont luba orda Sup* ( $\leq$ ) *f*

**assumes**  $\bigwedge v. \text{mcont luba orda Sup } (\leq) (\lambda x. g x v)$

**shows** *mcont luba orda Sup* ( $\leq$ ) ( $\lambda x. \text{spec.bind } (f x) (g x)$ )

*<proof>*

**lemmas** *botL[simp]* = *spec.bind.L.lower-bot*

**lemma** *botR*:

**shows**  $f \gg \perp = \text{spec.term.none } f$   
 $\langle \text{proof} \rangle$

**lemma** *eq-bot-conv*:

**shows**  $\text{spec.bind } f g = \perp \longleftrightarrow f = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *idleL[simp]*:

**shows**  $\text{spec.idle} \gg g = \text{spec.idle}$   
 $\langle \text{proof} \rangle$

**lemma** *idleR*:

**shows**  $f \gg \text{spec.idle} = f \gg \perp$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemmas** *ifL = if-distrib*[**where**  $f = \lambda f. \text{spec.bind } f g$  **for**  $g$ ]

$\langle ML \rangle$

**lemma** *bind-le-conv[spec.idle-le]*:

**shows**  $\text{spec.idle} \leq f \gg g \longleftrightarrow \text{spec.idle} \leq f$  (**is**  $?lhs \longleftrightarrow ?rhs$ )  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bindL-le[iff]*:

**shows**  $\text{spec.term.none } f \leq f \gg g$   
 $\langle \text{proof} \rangle$

**lemma** *bind*:

**shows**  $\text{spec.term.none } (f \gg g) = f \gg (\lambda v. \text{spec.term.none } (g v))$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bind*:

**shows**  $\text{spec.term.all } (f \gg g) = \text{spec.term.all } f \sqcup (f \gg (\lambda v. \text{spec.term.all } (g v)))$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**The monad laws for**  $(\gg)$ .  $\langle ML \rangle$

**lemma** *bind*:

**fixes**  $f :: (-, -, -) \text{ spec}$   
**shows**  $f \gg g \gg h = f \gg (\lambda v. g v \gg h)$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemmas** *assoc = spec.bind.bind*

**lemma** *returnL-le*:

**shows**  $g v \leq \text{spec.return } v \gg g$  (**is**  $?lhs \leq ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemma** *returnL*:

**assumes**  $\text{spec.idle} \leq g v$   
**shows**  $\text{spec.return } v \gg g = g v$   
 $\langle \text{proof} \rangle$

**lemma** *returnR[simp]*:

**shows**  $f \gg \text{spec.return} = f$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

**lemma** *return*: — Does not require  $\text{spec.idle} \leq g \ v$

**fixes**  $f :: ('a, 's, 'v) \text{spec}$   
**fixes**  $g :: 'v \Rightarrow ('a, 's, 'w) \text{spec}$   
**shows**  $f \gg (\lambda v. \text{spec.return } x \gg g \ v) = f \gg (\lambda v. g \ v \ x)$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

*<ML>*

**lemma** *noneL[simp]*:

**shows**  $\text{spec.term.none } f \gg g = \text{spec.term.none } f$   
*<proof>*

*<ML>*

**lemma** *bind-le*: — Converse does not hold: it may be that no final states of  $f$  satisfy  $g$

**fixes**  $f :: ('a, 's, 'v) \text{spec}$   
**fixes**  $g :: 'v \Rightarrow ('a, 's, 'w) \text{spec}$   
**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'w \Rightarrow 'x$   
**shows**  $\text{spec.map } af \ sf \ vf \ (f \gg g) \leq \text{spec.map } af \ sf \ id \ f \gg (\lambda v. \text{spec.map } af \ sf \ vf \ (g \ v))$   
*<proof>*

**lemma** *bind-inj-sf*:

**fixes**  $f :: ('a, 's, 'x) \text{spec}$   
**fixes**  $g :: 'x \Rightarrow ('a, 's, 'v) \text{spec}$   
**assumes** *inj sf*  
**shows**  $\text{spec.map } af \ sf \ vf \ (f \gg g) = \text{spec.map } af \ sf \ id \ f \gg (\lambda v. \text{spec.map } af \ sf \ vf \ (g \ v))$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

*<ML>*

**lemma** *eq-return*: — generalizes *spec.bind.returnR*

**shows**  $\text{spec.vmap } vf \ P = P \gg \text{spec.return} \circ vf$  (**is**  $?thesis1$ )  
**and**  $\text{spec.vmap } vf \ P = P \gg (\lambda v. \text{spec.return} \ (vf \ v))$  (**is**  $?lhs = ?rhs$ ) — useful for flip/symmetric  
*<proof>*

**lemma** *unitL*: — monomorphise ignored return values

**shows**  $f \gg g = \text{spec.vmap } \langle () \rangle \ f \gg g$   
*<proof>*

*<ML>*

**lemma** *bind*:

**fixes**  $f :: ('b, 't, 'v) \text{spec}$   
**fixes**  $g :: 'v \Rightarrow ('b, 't, 'x) \text{spec}$   
**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'w \Rightarrow 'x$   
**shows**  $\text{spec.invmap } af \ sf \ vf \ (f \gg g) = \text{spec.invmap } af \ sf \ id \ f \gg (\lambda v. \text{spec.invmap } af \ sf \ vf \ (g \ v))$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

**lemma** *split-invmap*:

**shows**  $\text{spec.invmap } af \text{ } sf \text{ } vf \text{ } P = \text{spec.invmap } af \text{ } sf \text{ } id \text{ } P \gg (\lambda v. \bigsqcup v' \in vf - \{v\}. \text{spec.return } v')$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

*<ML>*

**lemma** *return-const*:

**assumes**  $V \neq \{\}$

**assumes**  $W \neq \{\}$

**shows**  $\text{spec.action } (V \times F) = \text{spec.action } (W \times F) \gg (\bigsqcup v \in V. \text{spec.return } v)$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

*<ML>*

**lemma** *bind-all-return*:

**assumes**  $f \in \text{spec.term.closed}$  -

**shows**  $f \gg (\bigsqcup \text{range } \text{spec.return}) = \text{spec.term.all } f$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

*<ML>*

## 8.9 Kleene star

We instantiate the generic Kleene locale with monomorphic  $\text{spec.return } ()$ . The polymorphic  $(\bigsqcup v. \text{spec.return } v)$  fails the *comp-unitR* axiom ( $\varepsilon \leq x \implies x \cdot \varepsilon = x$ ).

*<ML>*

**interpretation** *kleene*: *weak-kleene*  $\text{spec.return } () \lambda x y. \text{spec.bind } x \langle y \rangle$

*<proof>*

*<ML>*

**lemmas**  $\text{star-le}[\text{spec.idle-le}] = \text{order.trans}[OF \text{spec.idle.return-le } \text{spec.kleene.epsilon-star-le}]$

**lemmas**  $\text{rev-star-le}[\text{spec.idle-le}] = \text{spec.idle.kleene.star-le}[\text{unfolded } \text{spec.kleene.star-rev-star}]$

*<ML>*

**lemmas**  $\text{star-le} = \text{spec.kleene.epsilon-star-le}$

**lemmas**  $\text{rev-star-le} = \text{spec.return.kleene.star-le}[\text{unfolded } \text{spec.kleene.star-rev-star}]$

*<ML>*

**lemma** *star-idle*:

**shows**  $\text{spec.kleene.star } \text{spec.idle} = \text{spec.return } ()$   
*<proof>*

**lemmas**  $\text{rev-star-idle} = \text{spec.kleene.star-idle}[\text{unfolded } \text{spec.kleene.star-rev-star}]$

*<ML>*

**lemma** *star-closed-le*:

**fixes**  $P :: (-, -, \text{unit}) \text{spec}$

**assumes**  $P \in \text{spec.term.closed}$  -

**shows**  $\text{spec.term.all } (\text{spec.kleene.star } P) \leq \text{spec.kleene.star } P$  (**is**  $- \leq ?rhs$ )  
*<proof>*

$\langle ML \rangle$

**lemma** *star*:

**assumes**  $P \in \text{spec.term.closed}$  -

**shows**  $\text{spec.kleene.star } P \in \text{spec.term.closed}$  -

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 8.10 Transition relations

Using  $\text{spec.kleene.star}$  we can specify the transitions each agent is allowed to perform. These constraints ( $\sqcap$ )  $\text{spec.rel } r$ ) distribute through all program constructs (for suitable  $r$ ).

Observations:

- the Galois connection between  $\text{spec.rel}$  and  $\text{spec.steps}$  is much easier to show in the powerset model
  - see [van Staden \(2015, Footnote 2\)](#)
- most useful facts about  $\text{spec.steps}$  depend on the model

$\langle ML \rangle$

**definition**  $\text{act} :: ('a, 's) \text{ steps} \Rightarrow ('a, 's, \text{unit}) \text{ spec}$  **where** — lift above  $\text{spec.return}$  to ease some proofs

$\text{act } r = \text{spec.action } (\{\{\}\} \times (r \cup \text{UNIV} \times \text{Id}))$

**abbreviation**  $\text{monomorphic} :: ('a, 's) \text{ steps} \Rightarrow ('a, 's, \text{unit}) \text{ spec}$  **where**

$\text{monomorphic } r \equiv \text{spec.kleene.star } (\text{spec.rel.act } r)$

**lemma** *act-alt-def*:

**shows**  $\text{spec.rel.act } r = \text{spec.action } (\{\{\}\} \times r) \sqcup \text{spec.return } ()$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**definition**  $\text{rel} :: ('a, 's) \text{ steps} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

$\text{rel } r = \text{spec.term.all } (\text{spec.rel.monomorphic } r)$

**definition**  $\text{steps} :: ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's) \text{ steps}$  **where**

$\text{steps } P = \bigcap \{r. P \leq \text{spec.rel } r\}$

$\langle ML \rangle$

**lemma** *monotone*:

**shows**  $\text{mono } \text{spec.rel.act}$

$\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[strg] = \text{st-monotone}[OF \text{spec.rel.act.monotone}]$

**lemmas**  $\text{mono} = \text{monotoneD}[OF \text{spec.rel.act.monotone}]$

**lemma** *empty*:

**shows**  $\text{spec.rel.act } \{\} = \text{spec.return } ()$

$\langle \text{proof} \rangle$

**lemma** *UNIV*:

**shows**  $\text{spec.rel.act } \text{UNIV} = \text{spec.action } (\{\{\}\} \times \text{UNIV})$

$\langle \text{proof} \rangle$

**lemma** *sup*:

**shows**  $\text{spec.rel.act } (r \cup s) = \text{spec.rel.act } r \sqcup \text{spec.rel.act } s$   
*<proof>*

**lemma** *stutter*:

**shows**  $\text{spec.rel.act } (UNIV \times Id) = \text{spec.return } ()$   
*<proof>*

*<ML>*

**lemma** *act-mono*:

**shows**  $\text{spec.term.all } (\text{spec.rel.act } r) = \text{spec.rel.act } r$   
*<proof>*

*<ML>*

**lemma** *rel*:

**shows**  $\text{spec.term.all } (\text{spec.rel } r) = \text{spec.rel } r$   
*<proof>*

*<ML>*

**lemma** *act*:

**shows**  $\text{spec.rel.act } r \in \text{spec.term.closed}$  -  
*<proof>*

*<ML>*

**lemma** *rel*:

**shows**  $\text{spec.rel } r \in \text{spec.term.closed}$  -  
*<proof>*

*<ML>*

**lemma** *inf-none-rel*: — polymorphic constants

**shows**  $\text{spec.term.none } (\text{spec.rel } r :: ('a, 's, 'w) \text{ spec}) \sqcap \text{spec.term.none } P$   
 $= \text{spec.rel } r \sqcap (\text{spec.term.none } P :: ('a, 's, 'v) \text{ spec})$  (**is** *?thesis1*)  
**and**  $\text{spec.term.none } P \sqcap \text{spec.term.none } (\text{spec.rel } r :: ('a, 's, 'w) \text{ spec})$   
 $= \text{spec.term.none } P \sqcap (\text{spec.rel } r :: ('a, 's, 'v) \text{ spec})$  (**is** *?thesis2*)  
*<proof>*

**lemma** *inf-rel*:

**shows**  $\text{spec.term.none } P \sqcap \text{spec.rel } r = \text{spec.term.none } (P \sqcap \text{spec.rel } r)$  (**is** *?thesis1*)  
**and**  $\text{spec.rel } r \sqcap \text{spec.term.none } P = \text{spec.term.none } (\text{spec.rel } r \sqcap P)$  (**is** *?thesis2*)  
*<proof>*

*<ML>*

**lemma** *act-le*:

**shows**  $\text{spec.return } () \leq \text{spec.rel.act } r$   
*<proof>*

*<ML>*

**lemma** *rel-le*:

**shows**  $\text{spec.return } v \leq \text{spec.rel } r$   
*<proof>*

**lemma** *Sup-rel-le*:

**shows**  $\sqcup \text{range spec.return} \leq \text{spec.rel } r$   
*<proof>*

*<ML>*

**lemmas**  $\text{act-le}[\text{spec.idle-le}] = \text{order.trans}[OF \text{spec.idle.return-le spec.return.rel.act-le}]$

*<ML>*

**lemmas**  $\text{rel-le}[\text{spec.idle-le}] = \text{order.trans}[OF \text{spec.idle.return-le spec.return.rel-le}]$

*<ML>*

**lemma** *le-conv[spec.singleton.le-conv]*:

**shows**  $\langle \sigma \rangle \leq \text{spec.rel.act } r \longleftrightarrow \text{trace.steps } \sigma = \{ \} \vee (\exists x \in r. \text{trace.steps } \sigma = \{ x \})$   
*<proof>*

*<ML>*

**lemma** *le-steps*:

**assumes**  $\text{trace.steps } \sigma \subseteq r$   
**shows**  $\langle \sigma \rangle \leq \text{spec.rel.monomorphic } r$   
*<proof>*

*<ML>*

**lemmas**  $\text{mono-le} = \text{spec.kleene.expansive-star}$

*<ML>*

**lemma** *alt-def*:

**shows**  $\text{spec.rel.monomorphic } r = \sqcup (\text{spec.singleton } ' \{ \sigma. \text{trace.steps } \sigma \subseteq r \})$  (**is** *?lhs = ?rhs*)  
*<proof>*

*<ML>*

**lemma** *monomorphic-le-conv[spec.singleton.le-conv]*:

**shows**  $\langle \sigma \rangle \leq \text{spec.rel.monomorphic } r \longleftrightarrow \text{trace.steps } \sigma \subseteq r$   
*<proof>*

*<ML>*

**lemma** *rel-le-conv[spec.singleton.le-conv]*:

**shows**  $\langle \sigma \rangle \leq \text{spec.rel } r \longleftrightarrow \text{trace.steps } \sigma \subseteq r$   
*<proof>*

*<ML>*

**interpretation** *rel*: *galois.complete-lattice-class spec.steps spec.rel*

*<proof>*

**lemma** *rel-alt-def*:

**shows**  $\text{spec.rel } r = \sqcup (\text{spec.singleton } ' \{ \sigma. \text{trace.steps } \sigma \subseteq r \})$   
*<proof>*

*<ML>*

**lemma** *unit-rel*:

**shows**  $\text{spec.vmap } \langle () \rangle (\text{spec.rel } r) = \text{spec.rel } r$   
*<proof>*

*<ML>*

**lemma** *monomorphic-conv*: — if the return type is *unit*

**shows**  $\text{spec.rel } r = \text{spec.rel.monomorphic } r$   
*<proof>*

**lemma** *monomorphic-act-le*: — *unit* return type

**shows**  $\text{spec.rel.act } r \leq \text{spec.rel } r$   
*<proof>*

**lemma** *empty*:

**shows**  $\text{spec.rel } \{\} = (\bigsqcup v. \text{spec.return } v)$   
*<proof>*

**lemmas**  $UNIV = \text{spec.rel.upper-top}$

**lemmas**  $top = \text{spec.rel.UNIV}$

**lemmas**  $INF = \text{spec.rel.upper-INF}$

**lemmas**  $Inf = \text{spec.rel.upper-Inf}$

**lemmas**  $inf = \text{spec.rel.upper-inf}$

**lemmas**  $Sup-le = \text{spec.rel.Sup-upper-le}$

**lemmas**  $sup-le = \text{spec.rel.sup-upper-le}$  — Converse does not hold: the RHS allows interleaving of  $r$  and  $s$  steps

**lemma** *reflcl*:

**shows**  $\text{spec.rel } (r \cup A \times Id) = \text{spec.rel } r$   
**and**  $\text{spec.rel } (A \times Id \cup r) = \text{spec.rel } r$   
*<proof>*

**lemma** *minus-Id*:

**shows**  $\text{spec.rel } (r - A \times Id) = \text{spec.rel } r$   
*<proof>*

**lemma** *Id*:

**shows**  $\text{spec.rel } (A \times Id) = (\bigsqcup v. \text{spec.return } v)$   
*<proof>*

**lemmas**  $monotone = \text{spec.rel.monotone-upper}$

**lemmas**  $mono = \text{monotoneD}[OF \text{spec.rel.monotone}, \text{of } r \ r' \text{ for } r \ r']$

**lemma** *mono-reflcl*:

**assumes**  $r \subseteq s \cup UNIV \times Id$   
**shows**  $\text{spec.rel } r \leq \text{spec.rel } s$   
*<proof>*

**lemma** *unfoldL*:

**shows**  $\text{spec.rel } r = \text{spec.rel.act } r \gg \text{spec.rel } r$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

**lemma** *foldR*: — arbitrary interstitial return type

**shows**  $\text{spec.rel } r \gg \text{spec.rel.act } r = \text{spec.rel } r$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

**lemma** *wind-bind*: — arbitrary interstitial return type

**shows**  $\text{spec.rel } r \gg \text{spec.rel } r = \text{spec.rel } r$  (**is**  $?lhs = ?rhs$ )  
 ⟨proof⟩

**lemma** *wind-bind-leading*: — arbitrary interstitial return type  
**assumes**  $r' \subseteq r$   
**shows**  $\text{spec.rel } r' \gg \text{spec.rel } r = \text{spec.rel } r$  (**is**  $?lhs = ?rhs$ )  
 ⟨proof⟩

**lemma** *wind-bind-trailing*: — arbitrary interstitial return type  
**assumes**  $r' \subseteq r$   
**shows**  $\text{spec.rel } r \gg \text{spec.rel } r' = \text{spec.rel } r$  (**is**  $?lhs = ?rhs$ )  
 ⟨proof⟩

Interstitial unit, for unfolding

**lemmas**  $\text{unwind-bind} = \text{spec.rel.wind-bind}[\text{where } 'd = \text{unit}, \text{symmetric}]$   
**lemmas**  $\text{unwind-bind-leading} = \text{spec.rel.wind-bind-leading}[\text{where } 'd = \text{unit}, \text{symmetric}]$   
**lemmas**  $\text{unwind-bind-trailing} = \text{spec.rel.wind-bind-trailing}[\text{where } 'd = \text{unit}, \text{symmetric}]$

⟨ML⟩

**lemma** *rel*:  
**shows**  $\text{spec.invmap } af \ sf \ vf \ (\text{spec.rel } r) = \text{spec.rel } (\text{map-prod } af \ (\text{map-prod } sf \ sf) - ' (r \cup \text{UNIV} \times \text{Id}))$   
 ⟨proof⟩

**lemma** *range*:  
**shows**  $\text{spec.invmap } af \ sf \ vf \ P = \text{spec.invmap } af \ sf \ vf \ (P \sqcap \text{spec.rel } (\text{range } af \times \text{range } sf \times \text{range } sf))$   
 ⟨proof⟩

⟨ML⟩

**lemma** *inf-rel*:  
**shows**  $\text{spec.map } af \ sf \ vf \ P \sqcap \text{spec.rel } r$   
 $= \text{spec.map } af \ sf \ vf \ (P \sqcap \text{spec.rel } (\text{map-prod } af \ (\text{map-prod } sf \ sf) - ' (r \cup \text{UNIV} \times \text{Id})))$   
**and**  $\text{spec.rel } r \sqcap \text{spec.map } af \ sf \ vf \ P$   
 $= \text{spec.map } af \ sf \ vf \ (\text{spec.rel } (\text{map-prod } af \ (\text{map-prod } sf \ sf) - ' (r \cup \text{UNIV} \times \text{Id})) \sqcap P)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *rel-le*:  
**fixes**  $F :: ('v \times 'a \times 's \times 's)$  set  
**fixes**  $r :: ('a, 's)$  steps  
**assumes**  $\bigwedge v \ a \ s \ s'. (v, a, s, s') \in F \implies (a, s, s') \in r \vee s = s'$   
**shows**  $\text{spec.action } F \leq \text{spec.rel } r$   
 ⟨proof⟩

⟨ML⟩

**lemma** *star-le*:  
**assumes**  $S \leq \text{spec.rel } r$   
**shows**  $\text{spec.kleene.star } S \leq \text{spec.rel } r$   
 ⟨proof⟩

⟨ML⟩

**lemma** *relL-le*:  
**shows**  $g \ x \leq \text{spec.rel } r \gg g$   
 ⟨proof⟩

**lemma** *relR-le*:

**shows**  $f \leq f \ggg \text{spec.rel } r$   
*<proof>*

**lemma** *inf-rel*:

**shows**  $(f \ggg g) \sqcap \text{spec.rel } r = (\text{spec.rel } r \sqcap f) \ggg (\lambda x. \text{spec.rel } r \sqcap g x)$  (**is** *?thesis1*)  
**and**  $\text{spec.rel } r \sqcap (f \ggg g) = (\text{spec.rel } r \sqcap f) \ggg (\lambda x. \text{spec.rel } r \sqcap g x)$  (**is** *?lhs = ?rhs*)  
*<proof>*

**lemma** *inf-rel-distr-le*:

**shows**  $(f \sqcap \text{spec.rel } r) \ggg (\lambda v. g_1 v \sqcap g_2) \leq (f \ggg g_1) \sqcap (\text{spec.rel } r \ggg (\lambda :: \text{unit}. g_2))$   
*<proof>*

*<ML>*

**lemma** *inf-rel*:

**shows**  $\langle \sigma \rangle \sqcap \text{spec.rel } r = \bigsqcup (\text{spec.singleton } ' \{ \sigma'. \sigma' \leq \sigma \wedge \text{trace.steps } \sigma' \subseteq r \})$  (**is** *?lhs = ?rhs*)  
**and**  $\text{spec.rel } r \sqcap \langle \sigma \rangle = \bigsqcup (\text{spec.singleton } ' \{ \sigma'. \sigma' \leq \sigma \wedge \text{trace.steps } \sigma' \subseteq r \})$  (**is** *?thesis2*)  
*<proof>*

*<ML>*

**lemma** *inf-rel*:

**fixes**  $F :: ('v \times 'a \times 's \times 's)$  *set*  
**fixes**  $r :: ('a, 's)$  *steps*  
**assumes**  $\bigwedge a. \text{refl } (r \text{ `` } \{a\})$   
**shows**  $\text{spec.action } F \sqcap \text{spec.rel } r = \text{spec.action } (F \cap \text{UNIV} \times r)$  (**is** *?lhs = ?rhs*)  
**and**  $\text{spec.rel } r \sqcap \text{spec.action } F = \text{spec.action } (F \cap \text{UNIV} \times r)$  (**is** *?thesis1*)  
*<proof>*

**lemma** *inf-rel-reflcl*:

**shows**  $\text{spec.action } F \sqcap \text{spec.rel } r = \text{spec.action } (F \cap \text{UNIV} \times (r \cup \text{UNIV} \times \text{Id}))$   
**and**  $\text{spec.rel } r \sqcap \text{spec.action } F = \text{spec.action } (F \cap \text{UNIV} \times (r \cup \text{UNIV} \times \text{Id}))$   
*<proof>*

*<ML>*

**lemma** *inf-rel*:

**shows**  $\text{spec.rel } r \sqcap \text{spec.return } v = \text{spec.return } v$   
**and**  $\text{spec.return } v \sqcap \text{spec.rel } r = \text{spec.return } v$   
*<proof>*

*<ML>*

**lemma** *inf-rel*:

**shows**  $\text{spec.kleene.star } P \sqcap \text{spec.rel } r = \text{spec.kleene.star } (P \sqcap \text{spec.rel } r)$  (**is** *?lhs = ?rhs*)  
*<proof>*

*<ML>*

**lemma** *simps[simp]*:

**shows**  $(a, s, s) \notin \text{spec.steps } P$   
*<proof>*

**lemma** *member-conv*:

**shows**  $x \in \text{spec.steps } P \longleftrightarrow (\exists \sigma. \langle \sigma \rangle \leq P \wedge x \in \text{trace.steps } \sigma)$   
*<proof>*

$\langle ML \rangle$

**lemma** *none*:

**shows**  $spec.steps (spec.term.none P) = spec.steps P$

$\langle proof \rangle$

**lemma** *all*:

**shows**  $spec.steps (spec.term.all P) = spec.steps P$

$\langle proof \rangle$

$\langle ML \rangle$

**lemmas**  $bot = spec.rel.lower-bot$

**lemmas**  $monotone = spec.rel.monotone-lower$

**lemmas**  $mono = monotoneD[OF spec.steps.monotone]$

**lemmas**  $Sup = spec.rel.lower-Sup$

**lemmas**  $sup = spec.rel.lower-sup$

**lemmas**  $Inf-le = spec.rel.lower-Inf-le$

**lemmas**  $inf-le = spec.rel.lower-inf-le$

**lemma** *singleton*:

**shows**  $spec.steps \langle \sigma \rangle = trace.steps \sigma$

$\langle proof \rangle$

**lemma** *idle*:

**shows**  $spec.steps spec.idle = \{\}$

$\langle proof \rangle$

**lemma** *action*:

**shows**  $spec.steps (spec.action F) = snd \text{ ' } F - UNIV \times Id$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $spec.steps (spec.return v) = \{\}$

$\langle proof \rangle$

**lemma** *bind-le*: — see  $spec.steps.bind$

**shows**  $spec.steps (f \ggg g) \subseteq spec.steps f \cup (\bigcup v. spec.steps (g v))$

$\langle proof \rangle$

**lemma** *kleene-star*:

**shows**  $spec.steps (spec.kleene.star P) = spec.steps P$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *map*:

**shows**  $spec.steps (spec.map af sf vf P)$

$= map-prod af (map-prod sf sf) \text{ ' } spec.steps P - UNIV \times Id$

$\langle proof \rangle$

**lemma** *invmap-le*:

**shows**  $spec.steps (spec.invmap af sf vf P)$

$\subseteq map-prod af (map-prod sf sf) - \text{ ' } (spec.steps (P \sqcap spec.rel (range af \times range sf \times range sf)) \cup UNIV \times Id) - UNIV \times Id$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *monomorphic*:

**fixes**  $r :: ('a, 's) \text{ steps}$

**shows**  $\text{spec.steps } (\text{spec.rel.monomorphic } r) = r - \text{UNIV} \times \text{Id}$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *rel*:

**fixes**  $r :: ('a, 's) \text{ steps}$

**shows**  $\text{spec.steps } (\text{spec.rel } r) = r - \text{UNIV} \times \text{Id}$

$\langle \text{proof} \rangle$

**lemma** *top*:

**shows**  $\text{spec.steps } \top = \text{UNIV} \times - \text{Id}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 8.11 Sequential assertions

We specify sequential behavior with preconditions and postconditions.

### 8.11.1 Preconditions

$\langle ML \rangle$

**definition**  $\text{pre} :: 's \text{ pred} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

$\text{pre } P = \sqcup (\text{spec.singleton } \{ \sigma. P (\text{trace.init } \sigma) \})$

$\langle ML \rangle$

**lemma**  $\text{pre-le-conv}[\text{spec.singleton.le-conv}]$ :

**shows**  $\langle \sigma \rangle \leq \text{spec.pre } P \longleftrightarrow P (\text{trace.init } \sigma)$

$\langle \text{proof} \rangle$

**lemma** *inf-pre*:

**shows**  $\text{spec.pre } P \sqcap \langle \sigma \rangle = (\text{if } P (\text{trace.init } \sigma) \text{ then } \langle \sigma \rangle \text{ else } \perp)$  (**is**  $?thesis1$ )

**and**  $\langle \sigma \rangle \sqcap \text{spec.pre } P = (\text{if } P (\text{trace.init } \sigma) \text{ then } \langle \sigma \rangle \text{ else } \perp)$  (**is**  $?thesis2$ )

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $\text{pre-le-conv}[\text{spec.idle-le}]$ :

**shows**  $\text{spec.idle} \leq (\text{spec.pre } P :: ('a, 's, 'v) \text{ spec}) \longleftrightarrow P = \top$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *pre*:

**shows**  $\text{spec.term.all } (\text{spec.pre } P) = \text{spec.pre } P$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *pre*:

**shows**  $\text{spec.pre } P \in \text{spec.term.closed}$  -

$\langle proof \rangle$

**lemma** *none-inf-pre*:

**fixes**  $P :: 's \text{ pred}$

**fixes**  $Q :: ('a, 's, 'v) \text{ spec}$

**shows**  $\text{spec.term.none } (Q \sqcap \text{spec.pre } P) = (\text{spec.term.none } Q \sqcap \text{spec.pre } P :: ('a, 's, 'w) \text{ spec})$  (**is** *?lhs = ?rhs*)

**and**  $\text{spec.term.none } (\text{spec.pre } P \sqcap Q) = (\text{spec.pre } P \sqcap \text{spec.term.none } Q :: ('a, 's, 'w) \text{ spec})$  (**is** *?thesis2*)

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot[iff]*:

**shows**  $\text{spec.pre } \langle False \rangle = \perp$

**and**  $\text{spec.pre } \perp = \perp$

$\langle proof \rangle$

**lemma** *top[iff]*:

**shows**  $\text{spec.pre } \langle True \rangle = \top$

**and**  $\text{spec.pre } \top = \top$

$\langle proof \rangle$

**lemma** *top-conv*:

**shows**  $\text{spec.pre } P = (\top :: ('a, 's, 'v) \text{ spec}) \longleftrightarrow P = \top$

$\langle proof \rangle$

**lemma** *K*:

**shows**  $\text{spec.pre } \langle P \rangle = (\text{if } P \text{ then } \top \text{ else } \perp)$

$\langle proof \rangle$

**lemma** *monotone*:

**shows** *mono spec.pre*

$\langle proof \rangle$

**lemmas** *strengthen[strg] = st-monotone[OF spec.pre.monotone]*

**lemmas** *mono = monotoneD[OF spec.pre.monotone]*

**lemma** *SUP*:

**shows**  $\text{spec.pre } (\bigsqcup x \in X. P x) = (\bigsqcup x \in X. \text{spec.pre } (P x))$

$\langle proof \rangle$

**lemma** *Sup*:

**shows**  $\text{spec.pre } (\bigsqcup X) = (\bigsqcup x \in X. \text{spec.pre } x)$

$\langle proof \rangle$

**lemma** *Bex*:

**shows**  $\text{spec.pre } (\lambda s. \exists x \in X. P x s) = (\bigsqcup x \in X. \text{spec.pre } (P x))$

$\langle proof \rangle$

**lemma** *Ex*:

**shows**  $\text{spec.pre } (\lambda s. \exists x. P x s) = (\bigsqcup x. \text{spec.pre } (P x))$

$\langle proof \rangle$

**lemma**

**shows** *disj: spec.pre (P  $\vee$  Q) = spec.pre P  $\sqcup$  spec.pre Q*

**and** *sup: spec.pre (P  $\sqcup$  Q) = spec.pre P  $\sqcup$  spec.pre Q*

$\langle proof \rangle$

**lemma** *INF*:

**shows**  $spec.pre (\prod x \in X. P x) = (\prod x \in X. spec.pre (P x))$   
 <proof>

**lemma** *Inf*:

**shows**  $spec.pre (\prod X) = (\prod x \in X. spec.pre x)$   
 <proof>

**lemma** *Ball*:

**shows**  $spec.pre (\lambda s. \forall x \in X. P x s) = (\prod x \in X. spec.pre (P x))$   
 <proof>

**lemma** *All*:

**shows**  $spec.pre (\lambda s. \forall x. P x s) = (\prod x. spec.pre (P x))$   
 <proof>

**lemma** *inf*:

**shows** *conj*:  $spec.pre (P \wedge Q) = spec.pre P \sqcap spec.pre Q$   
**and**  $spec.pre (P \sqcap Q) = spec.pre P \sqcap spec.pre Q$   
 <proof>

**lemma** *inf-action-le*: — Converse does not hold

**shows**  $spec.pre P \sqcap spec.action F \leq spec.action (UNIV \times UNIV \times Collect P \times UNIV \cap F)$  (**is** ?lhs  $\leq$  ?rhs)  
**and**  $spec.action F \sqcap spec.pre P \leq spec.action (F \cap UNIV \times UNIV \times Collect P \times UNIV)$  (**is** ?thesis2)  
 <proof>

<ML>

**lemma** *pre*:

**shows**  $spec.invmap af sf vf (spec.pre P) = spec.pre (\lambda s. P (sf s))$   
 <proof>

<ML>

**lemma** *inf-pre*:

**shows**  $spec.pre P \sqcap (f \ggg g) = (spec.pre P \sqcap f) \ggg g$  (**is** ?lhs = ?rhs)  
**and**  $(f \ggg g) \sqcap spec.pre P = (f \sqcap spec.pre P) \ggg g$  (**is** ?thesis1)  
 <proof>

<ML>

**lemma** *pre*:

**assumes**  $P s_0$   
**shows**  $spec.steps (spec.pre P :: ('a, 's, 'v) spec) = UNIV \times - Id$   
 <proof>

<ML>

### 8.11.2 Postconditions

Unlike  $spec.pre$   $spec.post$  can be expressed in terms of other constants.

<ML>

**definition**  $act :: ('v \Rightarrow 's pred) \Rightarrow ('v \times 'a \times 's \times 's)$  set **where**  
 $act Q = \{(v, a, s, s') \mid v a s s'. Q v s'\}$

<ML>

**lemma** *simps[simp]*:

**shows**  $\text{spec.post.act } \langle\langle \text{False} \rangle\rangle = \{\}$   
**and**  $\text{spec.post.act } \langle\perp\rangle = \{\}$   
**and**  $\text{spec.post.act } \perp = \{\}$   
**and**  $\text{spec.post.act } \langle\langle \text{True} \rangle\rangle = \text{UNIV}$   
**and**  $\text{spec.post.act } \langle\top\rangle = \text{UNIV}$   
**and**  $\text{spec.post.act } \top = \text{UNIV}$   
**and**  $\text{spec.post.act } (Q \sqcup Q') = \text{spec.post.act } Q \cup \text{spec.post.act } Q'$   
**and**  $\text{spec.post.act } (\bigsqcup X) = (\bigcup x \in X. \text{spec.post.act } x)$   
**and**  $\text{spec.post.act } (\lambda v. \bigsqcup x \in Y. R \ x \ v) = (\bigcup x \in Y. \text{spec.post.act } (R \ x))$   
 $\langle\text{proof}\rangle$

**lemma** *monotone*:  
**shows** *mono spec.post.act*  
 $\langle\text{proof}\rangle$

**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF spec.post.act.monotone*]  
**lemmas** *mono* = *monotoneD*[*OF spec.post.act.monotone*]  
 $\langle\text{ML}\rangle$

**definition** *post* :: ( $'v \Rightarrow 's \text{ pred}$ )  $\Rightarrow$  ( $'a, 's, 'v$ ) *spec* **where**  
 $\text{post } Q = \top \gg= (\lambda :: \text{unit}. \text{spec.action } (\text{spec.post.act } Q))$   
 $\langle\text{ML}\rangle$

**lemma** *post-le-conv*[*spec.singleton.le-conv*]:  
**fixes**  $Q :: 'v \Rightarrow 's \text{ pred}$   
**shows**  $\langle\sigma\rangle \leq \text{spec.post } Q$   
 $\longleftrightarrow (\text{case trace.term } \sigma \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } v \Rightarrow Q \ v \ (\text{trace.final } \sigma)) \text{ (is ?lhs } \longleftrightarrow \text{ ?rhs)}$   
 $\langle\text{proof}\rangle$   
 $\langle\text{ML}\rangle$

**lemma** *post-le*[*spec.idle-le*]:  
**shows**  $\text{spec.idle} \leq \text{spec.post } Q$   
 $\langle\text{proof}\rangle$   
 $\langle\text{ML}\rangle$

**lemma** *post-le*:  
**shows**  $\text{spec.term.none } P \leq \text{spec.post } Q$   
 $\langle\text{proof}\rangle$

**lemma** *post*:  
**shows**  $\text{spec.term.none } (\text{spec.post } Q :: ('a, 's, 'v) \text{ spec})$   
 $= \text{spec.term.none } (\top :: ('a, 's, \text{unit}) \text{ spec})$   
 $\langle\text{proof}\rangle$   
 $\langle\text{ML}\rangle$

**lemma** *post*:  
**shows**  $\text{spec.term.all } (\text{spec.post } Q) = \top$   
 $\langle\text{proof}\rangle$   
 $\langle\text{ML}\rangle$

**lemma** *bot*[*iff*]:  
**shows**  $\text{spec.post } \langle\langle \text{False} \rangle\rangle = \text{spec.term.none } (\top :: (-, -, \text{unit}) \text{ spec})$

**and**  $\text{spec.post } \langle \perp \rangle = \text{spec.term.none } (\top :: (-, -, \text{unit}) \text{ spec})$   
**and**  $\text{spec.post } \perp = \text{spec.term.none } (\top :: (-, -, \text{unit}) \text{ spec})$   
 $\langle \text{proof} \rangle$

**lemma monotone:**  
**shows**  $\text{mono spec.post}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[\text{strg}] = \text{st-monotone}[\text{OF spec.post.monotone}]$   
**lemmas**  $\text{mono} = \text{monotoneD}[\text{OF spec.post.monotone}]$

**lemma SUP-not-empty:**  
**fixes**  $X :: 'a \text{ set}$   
**fixes**  $Q :: 'a \Rightarrow 'v \Rightarrow 's \text{ pred}$   
**assumes**  $X \neq \{\}$   
**shows**  $\text{spec.post } (\lambda v. \bigsqcup_{x \in X}. Q \ x \ v) = (\bigsqcup_{x \in X}. \text{spec.post } (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma disj:**  
**shows**  $\text{spec.post } (Q \sqcup Q') = \text{spec.post } Q \sqcup \text{spec.post } Q'$   
**and**  $\text{spec.post } (\lambda rv. Q \ rv \sqcup Q' \ rv) = \text{spec.post } Q \sqcup \text{spec.post } Q'$   
**and**  $\text{spec.post } (\lambda rv. Q \ rv \vee Q' \ rv) = \text{spec.post } Q \sqcup \text{spec.post } Q'$   
 $\langle \text{proof} \rangle$

**lemma INF:**  
**shows**  $\text{spec.post } (\prod_{x \in X}. Q \ x) = (\prod_{x \in X}. \text{spec.post } (Q \ x))$   
**and**  $\text{spec.post } (\lambda v. \prod_{x \in X}. Q \ x \ v) = (\prod_{x \in X}. \text{spec.post } (Q \ x))$   
**and**  $\text{spec.post } (\lambda v \ s. \prod_{x \in X}. Q \ x \ v \ s) = (\prod_{x \in X}. \text{spec.post } (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma Inf:**  
**shows**  $\text{spec.post } (\prod X) = (\prod_{x \in X}. \text{spec.post } x)$   
 $\langle \text{proof} \rangle$

**lemma Ball:**  
**shows**  $\text{spec.post } (\lambda v \ s. \forall x \in X. Q \ x \ v \ s) = (\prod_{x \in X}. \text{spec.post } (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma All:**  
**shows**  $\text{spec.post } (\lambda v \ s. \forall x. Q \ x \ v \ s) = (\prod x. \text{spec.post } (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma inf:**  
**shows**  $\text{spec.post } (Q \sqcap Q') = \text{spec.post } Q \sqcap \text{spec.post } Q'$   
**and**  $\text{spec.post } (\lambda rv. Q \ rv \sqcap Q' \ rv) = \text{spec.post } Q \sqcap \text{spec.post } Q'$   
**and**  $\text{conj: spec.post } (\lambda rv. Q \ rv \wedge Q' \ rv) = \text{spec.post } Q \sqcap \text{spec.post } Q'$   
 $\langle \text{proof} \rangle$

**lemma top[iff]:**  
**shows**  $\text{spec.post } \langle \langle \text{True} \rangle \rangle = \top$   
**and**  $\text{spec.post } \langle \top \rangle = \top$   
**and**  $\text{spec.post } \top = \top$   
 $\langle \text{proof} \rangle$

**lemma top-conv:**  
**shows**  $\text{spec.post } Q = (\top :: ('a, 's, 'v) \text{ spec}) \longleftrightarrow Q = \top$   
 $\langle \text{proof} \rangle$

**lemma K:**

**shows**  $\text{spec.post } (\lambda - . Q) = (\text{if } Q \text{ then } \top \text{ else } \top \gg= (\lambda :: \text{unit}. \perp))$   
*<proof>*

*<ML>*

**lemma bind-post-pre:**

**shows**  $f \sqcap \text{spec.post } Q \gg= g = f \gg= (\lambda v. g \ v \sqcap \text{spec.pre } (Q \ v))$  (**is** ?lhs = ?rhs)  
**and**  $\text{spec.post } Q \sqcap f \gg= g = f \gg= (\lambda v. \text{spec.pre } (Q \ v) \sqcap g \ v)$  (**is** ?thesis1)  
*<proof>*

*<ML>*

**lemma post:**

**shows**  $\text{spec.invmap } af \ sf \ vf \ (\text{spec.post } Q) = \text{spec.post } (\lambda v \ s. Q \ (vf \ v) \ (sf \ s))$   
*<proof>*

*<ML>*

**lemma post-le-conv:**

**shows**  $\text{spec.action } F \leq \text{spec.post } Q \longleftrightarrow (\forall v \ a \ s \ s'. (v, a, s, s') \in F \longrightarrow Q \ v \ s')$   
*<proof>*

*<ML>*

**lemma post-le:**

**assumes**  $\bigwedge v. g \ v \leq \text{spec.post } Q$   
**shows**  $f \gg= g \leq \text{spec.post } Q$   
*<proof>*

**lemma inf-post:**

**shows**  $(f \gg= g) \sqcap \text{spec.post } Q = f \gg= (\lambda v. g \ v \sqcap \text{spec.post } Q)$  (**is** ?lhs = ?rhs)  
**and**  $\text{spec.post } Q \sqcap (f \gg= g) = f \gg= (\lambda v. \text{spec.post } Q \sqcap g \ v)$  (**is** ?thesis2)  
*<proof>*

**lemma mono-stronger:**

**assumes**  $f: f \leq f' \sqcap \text{spec.post } Q$   
**assumes**  $g: \bigwedge v. g \ v \sqcap \text{spec.pre } (Q \ v) \leq g' \ v$   
**shows**  $\text{spec.bind } f \ g \leq \text{spec.bind } f' \ g'$   
*<proof>*

*<ML>*

### 8.11.3 Strongest postconditions

*<ML>*

**definition strongest**  $:: ('a, 's, 'v) \text{spec} \Rightarrow 'v \Rightarrow 's \text{pred}$  **where**  
 $\text{strongest } P = \bigcap \{Q. P \leq \text{spec.post } Q\}$

**interpretation strongest:** *galois.complete-lattice-class spec.post.strongest spec.post*  
*<proof>*

**lemma strongest-alt-def:**

**shows**  $\text{spec.post.strongest } P = (\lambda v \ s. \exists \sigma. \langle \sigma \rangle \leq P \wedge \text{trace.term } \sigma = \text{Some } v \wedge \text{trace.final } \sigma = s)$  (**is** ?lhs = ?rhs)  
*<proof>*

$\langle ML \rangle$

**lemma** *singleton*:

**shows**  $spec.post.strongest \langle \sigma \rangle$

$= (\lambda v s. case\ trace.term\ \sigma\ of\ None \Rightarrow False \mid Some\ v' \Rightarrow v' = v \wedge trace.final\ \sigma = s)$

$\langle proof \rangle$

**lemmas** *monotone* =  $spec.post.strongest.monotone-lower$

**lemmas** *mono* =  $monoD[OF\ spec.post.strongest.monotone]$

**lemmas** *Sup* =  $spec.post.strongest.lower-Sup$

**lemmas** *sup* =  $spec.post.strongest.lower-sup$

**lemma** *top[iff]*:

**shows**  $spec.post.strongest \top = \top$

$\langle proof \rangle$

**lemma** *action*:

**shows**  $spec.post.strongest (spec.action\ F) = (\lambda v s'. \exists a s. (v, a, s, s') \in F)$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $spec.post.strongest (spec.return\ v) = (\lambda v' s. v' = v)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *none*:

**shows**  $spec.post.strongest (spec.term.none\ P) = \perp$

$\langle proof \rangle$

**lemma** *all*:

**assumes**  $spec.idle \leq P$

**shows**  $spec.post.strongest (spec.term.all\ P) = \top$

$\langle proof \rangle$

**lemma** *closed*:

**assumes**  $spec.idle \leq P$

**assumes**  $P \in spec.term.closed -$

**shows**  $spec.post.strongest\ P = \top$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bind*:

**shows**  $spec.post.strongest (f \ggg g)$

$= spec.post.strongest (\bigsqcup v. spec.pre (spec.post.strongest\ f\ v) \sqcap g\ v) \text{ (is ?lhs = ?rhs)}$

$\langle proof \rangle$

**lemma** *rel*:

**shows**  $spec.post.strongest (spec.rel\ r) = \top$

$\langle proof \rangle$

**lemma** *pre*:

**shows**  $spec.post.strongest (spec.pre\ P) = (\lambda v s'. \exists s. P\ s)$

$\langle proof \rangle$

**lemma** *post*:

**shows**  $spec.post.strongest (spec.post\ Q) = Q$

$\langle proof \rangle$

$\langle ML \rangle$

## 8.12 Initial steps

The initial transition of a process.

$\langle ML \rangle$

**definition** *initial-steps* ::  $(\prime a, \prime s, \prime v)$  *spec*  $\Rightarrow$   $(\prime a, \prime s)$  *steps* **where**  
*initial-steps*  $P = \{(a, s, s'). \langle s, [(a, s')], None \rangle \leq P\}$

$\langle ML \rangle$

**lemma** *steps-le*:

**shows** *spec.initial-steps*  $P \subseteq$  *spec.steps*  $P \cup UNIV \times Id$

$\langle proof \rangle$

**lemma** *galois*:

**shows**  $r \subseteq$  *spec.initial-steps*  $P \wedge$  *spec.idle*  $\leq P \iff$  *spec.action*  $(\{\{\}\} \times r) \gg= \perp \leq P$  (**is** *?lhs*  $\iff$  *?rhs*)

$\langle proof \rangle$

**lemma** *bot*:

**shows** *spec.initial-steps*  $\perp = \{\}$

$\langle proof \rangle$

**lemma** *top*:

**shows** *spec.initial-steps*  $\top = UNIV$

$\langle proof \rangle$

**lemma** *monotone*:

**shows** *mono spec.initial-steps*

$\langle proof \rangle$

**lemmas** *strengthen[strg]* = *st-monotone[OF spec.initial-steps.monotone]*

**lemmas** *mono* = *monotoneD[OF spec.initial-steps.monotone]*

**lemma** *Sup*:

**shows** *spec.initial-steps*  $(\bigsqcup X) = \bigcup (\text{spec.initial-steps } \prime X)$

$\langle proof \rangle$

**lemma** *Inf*:

**shows** *spec.initial-steps*  $(\bigsqcap X) = \bigcap (\text{spec.initial-steps } \prime X)$

$\langle proof \rangle$

**lemma** *idle*:

**shows** *spec.initial-steps spec.idle* =  $UNIV \times Id$

$\langle proof \rangle$

**lemma** *action*:

**shows** *spec.initial-steps (spec.action F)* = *snd*  $\prime F \cup UNIV \times Id$

$\langle proof \rangle$

**lemma** *return*:

**shows** *spec.initial-steps (spec.return v)* =  $UNIV \times Id$

$\langle proof \rangle$

**lemma** *bind*:

**shows**  $spec.initial-steps (f \ggg g)$   
 $= spec.initial-steps f$   
 $\cup spec.initial-steps (\sqcup v. spec.pre (spec.post.strongest (f \sqcap spec.return v) v) \sqcap g v)$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *rel*:

**shows**  $spec.initial-steps (spec.rel r) = r \cup UNIV \times Id$   
 $\langle proof \rangle$

**lemma** *pre*:

**shows**  $spec.initial-steps (spec.pre P) = UNIV \times Pre P$   
 $\langle proof \rangle$

**lemma** *post*:

**shows**  $spec.initial-steps (spec.post Q) = UNIV$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *none*:

**shows**  $spec.initial-steps (spec.term.none P) = spec.initial-steps P$   
 $\langle proof \rangle$

**lemma** *all*:

**shows**  $spec.initial-steps (spec.term.all P) = spec.initial-steps P$   
 $\langle proof \rangle$

$\langle ML \rangle$

### 8.13 Heyting implication

$\langle ML \rangle$

**lemma** *heyting-le-conv*:

**shows**  $\langle \sigma \rangle \leq P \longrightarrow_H Q \iff (\forall \sigma' \leq \sigma. \langle \sigma' \rangle \leq P \longrightarrow \langle \sigma' \rangle \leq Q)$  (**is**  $?lhs \iff ?rhs$ )  
 $\langle proof \rangle$

$\langle ML \rangle$

Connect the generic definition of Heyting implication to a concrete one in the model.

**lift-definition** *heyting* ::  $(\prime a, \prime s, \prime v) spec \Rightarrow (\prime a, \prime s, \prime v) spec \Rightarrow (\prime a, \prime s, \prime v) spec$  **is**

*downwards.imp*

$\langle proof \rangle$

**lemma** *heyting-alt-def*:

**shows**  $(\longrightarrow_H) = (spec.heyting :: \Rightarrow \Rightarrow (\prime a, \prime s, \prime v) spec)$   
 $\langle proof \rangle$

**declare**  $spec.heyting.transfer[transfer-rule del]$

$\langle ML \rangle$

**lemma** *transfer-alt[transfer-rule]*:

**shows**  $rel-fun (pcr-spec (=) (=) (=)) (rel-fun (pcr-spec (=) (=) (=)) (pcr-spec (=) (=) (=))) downwards.imp$   
 $(\longrightarrow_H)$   
 $\langle proof \rangle$

An example due to [Abadi and Merz \(1995, p504\)](#) where the (TLA) model validates a theorem that is not intuitionistically valid. This is “some kind of linearity” and intuitively encodes disjunction elimination.

**lemma** *linearity*:

**fixes**  $Q :: (-, -, -)$  *spec*

**shows**  $((P \longrightarrow_H Q) \longrightarrow_H R) \sqcap ((Q \longrightarrow_H P) \longrightarrow_H R) \leq R$

$\langle$ *proof* $\rangle$

**lemma** *SupR*:

**fixes**  $P :: (-, -, -)$  *spec*

**assumes**  $X \neq \{\}$

**shows**  $P \longrightarrow_H (\bigsqcup_{x \in X}. Q\ x) = (\bigsqcup_{x \in X}. P \longrightarrow_H Q\ x)$  (**is**  $?lhs = ?rhs$ )

$\langle$ *proof* $\rangle$

**lemma** *cont*:

**fixes**  $P :: (-, -, -)$  *spec*

**shows** *cont Sup*  $(\leq)$  *Sup*  $(\leq)$   $((\longrightarrow_H) P)$

$\langle$ *proof* $\rangle$

**lemma** *mcont*:

**fixes**  $P :: (-, -, -)$  *spec*

**shows** *mcont Sup*  $(\leq)$  *Sup*  $(\leq)$   $((\longrightarrow_H) P)$

$\langle$ *proof* $\rangle$

**lemmas** *mcont2mcont*[*cont-intro*] = *mcont2mcont*[*OF spec.heyting.mcont, of luba orda Q P*] **for** *luba orda Q P*

**lemma** *non-triv*:

**shows**  $P \longrightarrow_H \perp \leq P \longleftrightarrow \text{spec.idle} \leq P$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle$ *proof* $\rangle$

**lemma** *post*:

**shows** *spec.post*  $Q \longrightarrow_H \text{spec.post } Q' = \text{spec.post } (\lambda v\ s. Q\ v\ s \longrightarrow Q'\ v\ s)$  (**is**  $?lhs = ?rhs$ )

$\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *heyting*:

**shows** *spec.invmap af sf vf*  $(P \longrightarrow_H Q) = \text{spec.invmap af sf vf } P \longrightarrow_H \text{spec.invmap af sf vf } Q$  (**is**  $?lhs = ?rhs$ )

$\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *heyting-noneL-allR-mono*:

**fixes**  $P :: (-, -, 'v)$  *spec*

**fixes**  $Q :: (-, -, 'v)$  *spec*

**shows** *spec.term.none*  $P \longrightarrow_H Q = P \longrightarrow_H \text{spec.term.all } Q$  (**is**  $?lhs = ?rhs$ )

$\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *heyting*: — polymorphic *spec.term.all*

**fixes**  $P :: (-, -, 'v)$  *spec*

**fixes**  $Q :: (-, -, 'v)$  *spec*

**shows**  $(\text{spec.term.all } (P \longrightarrow_H Q) :: (-, -, 'w)$  *spec*)

= *spec.term.none*  $P \longrightarrow_H \text{spec.term.all } Q$  (**is**  $?lhs = ?rhs$ )

$\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *heyting-le*:

**shows** *spec.term.none*  $(P \longrightarrow_H Q) \leq \text{spec.term.all } P \longrightarrow_H \text{spec.term.none } Q$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *heyting*:

**assumes**  $Q \in \text{spec.term.closed}$  -

**shows**  $P \longrightarrow_H Q \in \text{spec.term.closed}$  -

$\langle proof \rangle$

$\langle ML \rangle$

## 8.14 Miscellaneous algebra

$\langle ML \rangle$

**lemma** *bind*:

**shows**  $\text{spec.steps } f \gg= g$

$= \text{spec.steps } f \cup (\bigcup v. \text{spec.steps } (\text{spec.pre } (\text{spec.post.strongest } f v) \sqcap g v))$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *idle*:

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } \text{spec.idle} = \text{spec.pre } (\lambda s. s \in \text{range } sf) \sqcap \text{spec.idle}$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *return*:

**fixes**  $F :: ('v \times 'a \times 's \times 's)$  set

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } (\text{spec.return } v)$

$= \text{spec.pre } (\lambda s. s \in \text{range } sf) \sqcap \text{spec.return } (vf v)$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *kleene-star-le*:

**fixes**  $P :: ('a, 's, \text{unit})$  spec

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: \text{unit} \Rightarrow \text{unit}$

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } (\text{spec.kleene.star } P) \leq \text{spec.kleene.star } (\text{spec.map } af \text{ } sf \text{ } vf \text{ } P)$  (**is**  $- \leq ?rhs$ )

$\langle proof \rangle$

**lemma** *rel-le*:

**shows**  $\text{spec.map } af \text{ } sf \text{ } vf \text{ } (\text{spec.rel } r) \leq \text{spec.rel } (\text{map-prod } af \text{ } (\text{map-prod } sf \text{ } sf) \text{ } ' r)$

$\langle proof \rangle$

General lemmas for  $\text{spec.map}$  are elusive. We relate it to  $\text{spec.rel}$ ,  $\text{spec.pre}$  and  $\text{spec.post}$  under a somewhat weak constraint. Intuitively we ask that, for distinct representations ( $s_0$  and  $s_0'$ ) of an abstract state ( $sf s_0$  where  $sf s_0' = sf s_0$ ), if agent  $a$  can evolve  $s_0$  to  $s_1$  according to  $r$  ( $(a, s_0, s_1) \in r$ ) then there is an agent  $a'$  where  $af a' = af a$  that can evolve  $s_0'$  to an  $s_1'$  which represents the same abstract state ( $sf s_1' = sf s_1$ ).

All injective  $sf$  satisfy this condition.

**context**

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**begin**

**context**

**fixes**  $r :: ('a, 's)$  steps

**assumes** *step-cong*:  $\forall a s_0 s_1 s_0'. (a, s_0, s_1) \in r \wedge sf s_1 \neq sf s_0 \wedge sf s_0' = sf s_0$

$$\longrightarrow (\exists a' s_1'. af a' = af a \wedge sf s_1' = sf s_1 \wedge (a', s_0', s_1') \in r)$$

**begin**

**private lemma** *map-relE[consumes 1]*:

**fixes**  $xs :: ('b \times 't) \text{ list}$

**assumes**  $trace.steps' s xs \subseteq map\text{-}prod\ af\ (map\text{-}prod\ sf\ sf)\ 'r$

**obtains** (*Idle*)  $snd\ 'set\ xs \subseteq \{s\}$

| (*Step*)  $s' xs'$

**where**  $sf\ s' = s$

**and**  $trace.natural'\ s\ xs = map\ (map\text{-}prod\ af\ sf)\ xs'$

**and**  $trace.steps'\ s'\ xs' \subseteq r$

$\langle proof \rangle$

**lemma** *rel*:

**shows**  $spec.map\ af\ sf\ vf\ (spec.rel\ r)$

$= spec.rel\ (map\text{-}prod\ af\ (map\text{-}prod\ sf\ sf)\ 'r)$

$\sqcap spec.pre\ (\lambda s. s \in range\ sf)$

$\sqcap spec.post\ (\lambda v\ s. v \in range\ vf)\ (\mathbf{is}\ ?lhs = ?rhs)$

$\langle proof \rangle$

**lemma** *pre*:

**fixes**  $P :: 't\ pred$

**shows**  $spec.map\ af\ sf\ vf\ (spec.pre\ (\lambda s. P\ (sf\ s)))$

$= spec.pre\ (\lambda s. P\ s \wedge s \in range\ sf) \sqcap spec.post\ (\lambda v\ s. s \in range\ sf \longrightarrow v \in range\ vf)$

$\sqcap spec.rel\ (range\ af \times range\ sf \times range\ sf)\ (\mathbf{is}\ ?lhs = ?rhs)$

$\langle proof \rangle$

**lemma** *post*:

**fixes**  $Q :: 'w \Rightarrow 't\ pred$

**shows**  $spec.map\ af\ sf\ vf\ (spec.post\ (\lambda v\ s. Q\ (vf\ v)\ (sf\ s)))$

$= spec.pre\ (\lambda s. s \in range\ sf) \sqcap spec.post\ (\lambda v\ s. s \in range\ sf \longrightarrow Q\ v\ s \wedge v \in range\ vf)$

$\sqcap spec.rel\ (range\ af \times range\ sf \times range\ sf)\ (\mathbf{is}\ ?lhs = ?rhs)$

$\langle proof \rangle$

**end**

**end**

$\langle ML \rangle$

**lemma** *idle*:

**shows**  $spec.invmap\ af\ sf\ vf\ spec.idle$

$= spec.term.none\ (spec.rel\ (UNIV \times map\text{-}prod\ sf\ sf - 'Id) :: ('a, 's, unit)\ spec)\ (\mathbf{is}\ ?lhs = ?rhs)$

$\langle proof \rangle$

**lemma** *inf-rel*:

**shows**  $spec.rel\ (map\text{-}prod\ af\ (map\text{-}prod\ sf\ sf) - '(r \cup UNIV \times Id)) \sqcap spec.invmap\ af\ sf\ vf\ P = spec.invmap\ af\ sf\ vf\ (spec.rel\ r \sqcap P)$

**and**  $spec.invmap\ af\ sf\ vf\ P \sqcap spec.rel\ (map\text{-}prod\ af\ (map\text{-}prod\ sf\ sf) - '(r \cup UNIV \times Id)) = spec.invmap\ af\ sf\ vf\ (P \sqcap spec.rel\ r)$

$\langle proof \rangle$

**lemma** *action*: — (\* could restrict the stuttering expansion to *range af* or an arbitrary element of that

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $F :: ('w \times 'b \times 't \times 't)\ set$

**defines**  $F' \equiv map\text{-}prod\ id\ (map\text{-}prod\ af\ (map\text{-}prod\ sf\ sf))$

$$- ' (F \cup \{(v, a', s, s) \mid v a a' s. (v, a, s, s) \in F \wedge \neg \text{surj } af\})$$

**shows**  $\text{spec.invmmap } af \text{ } sf \text{ } vf \text{ } (\text{spec.action } F)$   
 $= \text{spec.rel } (UNIV \times \text{map-prod } sf \text{ } sf \text{ } - ' \text{ } Id)$   
 $\gg= (\lambda :: \text{unit. spec.action } F')$   
 $\gg= (\lambda v. \text{spec.rel } (UNIV \times \text{map-prod } sf \text{ } sf \text{ } - ' \text{ } Id)$   
 $\gg= (\lambda :: \text{unit. } \sqcup v' \in vf \text{ } - ' \{v\}. \text{spec.return } v')$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

**lemma** *return*:

**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'v \Rightarrow 'w$   
**fixes**  $F :: ('w \times 'b \times 't \times 't) \text{ set}$   
**shows**  $\text{spec.invmmap } af \text{ } sf \text{ } vf \text{ } (\text{spec.return } v)$   
 $= \text{spec.rel } (UNIV \times \text{map-prod } sf \text{ } sf \text{ } - ' \text{ } Id) \gg= (\lambda :: \text{unit. } \sqcup v' \in vf \text{ } - ' \{v\}. \text{spec.return } v')$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 9 Constructions in the ( $'a$ , $'s$ , $'v$ ) *spec* lattice

### 9.1 Constrains-at-most

Abadi and Plotkin (1993, §3.1) require that processes to be composed in parallel *constrain at most* (CAM) distinct sets of agents: intuitively each process cannot block other processes from taking steps after any of its transitions. We model this as a closure.

See §9.2 for a discussion of their composition rules.

Observations:

- the sense of the relation  $r$  here is inverted wrt Abadi/Plotkin
- this is a key ingredient in interference closure (§9.3)
- this closure is antimatroidal

$\langle ML \rangle$

**definition**  $cl :: ('a, 's) \text{ steps} \Rightarrow ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

$$cl \ r \ P = P \sqcup \text{spec.term.none } (\text{spec.term.all } P \gg= (\lambda :: \text{unit. spec.rel } r :: (-, -, \text{unit}) \text{ spec}))$$

$\langle ML \rangle$

**lemma** *cl*:

**shows**  $\text{spec.term.none } (\text{spec.cam.cl } r \ P) = \text{spec.cam.cl } r \ (\text{spec.term.none } P)$

$\langle \text{proof} \rangle$

**lemma** *cl-rel-wind*:

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$   
**shows**  $\text{spec.cam.cl } r \ P \gg= \text{spec.term.none } (\text{spec.rel } r :: ('a, 's, 'w) \text{ spec})$   
 $= \text{spec.term.none } (\text{spec.cam.cl } r \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-le*: — Converse does not hold

**shows**  $\text{spec.cam.cl } r \ (\text{spec.term.all } P) \leq \text{spec.term.all } (\text{spec.cam.cl } r \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**interpretation** *cam*: closure-complete-distrib-lattice-distributive-class *spec.cam.cl r* for  $r :: ('a, 's)$  steps  
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot[simp]*:  
shows *spec.cam.cl r*  $\perp = \perp$   
 $\langle proof \rangle$

**lemma** *mono*:  
fixes  $r :: ('a, 's)$  steps  
assumes  $r \subseteq r'$   
assumes  $P \leq P'$   
shows *spec.cam.cl r P*  $\leq$  *spec.cam.cl r' P'*  
 $\langle proof \rangle$

**declare** *spec.cam.strengthen-cl*[*strg del*]

**lemma** *strengthen[strg]*:  
assumes *st-ord F r r'*  
assumes *st-ord F P P'*  
shows *st-ord F (spec.cam.cl r P) (spec.cam.cl r' P')*  
 $\langle proof \rangle$

**lemma** *Sup*:  
shows *spec.cam.cl r* ( $\bigsqcup X$ ) = ( $\bigsqcup P \in X. *spec.cam.cl r P*)  
 $\langle proof \rangle$$

**lemmas** *sup = spec.cam.cl.Sup*[**where**  $X = \{P, Q\}$  for  $P Q$ , *simplified*]

**lemma** *rel-empty*:  
shows *spec.cam.cl*  $\{\}$   $P = P$   
 $\langle proof \rangle$

**lemma** *rel-reflcl*:  
shows *spec.cam.cl* ( $r \cup A \times Id$ )  $P =$  *spec.cam.cl r P*  
and *spec.cam.cl* ( $A \times Id \cup r$ )  $P =$  *spec.cam.cl r P*  
 $\langle proof \rangle$

**lemma** *rel-minus-Id*:  
shows *spec.cam.cl* ( $r - UNIV \times Id$ )  $P =$  *spec.cam.cl r P*  
 $\langle proof \rangle$

**lemma** *Inf*:  
shows *spec.cam.cl r* ( $\prod X$ ) =  $\prod$  (*spec.cam.cl r* '  $X$ ) (**is** ?lhs = ?rhs)  
 $\langle proof \rangle$

**lemmas** *inf = spec.cam.cl.Inf*[**where**  $X = \{P, Q\}$  for  $P Q$ , *simplified*]

**lemma** *idle*:  
shows *spec.cam.cl r spec.idle = spec.term.none* (*spec.rel r* ::  $(-, -, unit)$  *spec*)  
 $\langle proof \rangle$

**lemma** *bind*:  
shows *spec.cam.cl r* ( $f \ggg g$ ) = *spec.cam.cl r f*  $\ggg$  ( $\lambda v.$  *spec.cam.cl r* ( $g v$ ))  
 $\langle proof \rangle$

**lemma** *action*:

**fixes**  $r :: ('a, 's) \text{ steps}$

**fixes**  $F :: ('v \times 'a \times 's \times 's) \text{ set}$

**shows**  $\text{spec.cam.cl } r (\text{spec.action } F)$   
 $= \text{spec.action } F$

$\sqcup \text{spec.term.none } (\text{spec.action } F \gg (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec}))$

$\sqcup \text{spec.term.none } (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec})$

$\langle \text{proof} \rangle$

**lemma** *return*:

**shows**  $\text{spec.cam.cl } r (\text{spec.return } v) = \text{spec.return } v \sqcup \text{spec.term.none } (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec})$

$\langle \text{proof} \rangle$

**lemma** *rel-le*:

**assumes**  $r \subseteq r' \vee r' \subseteq r$

**shows**  $\text{spec.cam.cl } r (\text{spec.rel } r') \leq \text{spec.rel } (r \cup r')$

$\langle \text{proof} \rangle$

**lemma** *rel*:

**assumes**  $r \subseteq r'$

**shows**  $\text{spec.cam.cl } r (\text{spec.rel } r') = \text{spec.rel } r'$

$\langle \text{proof} \rangle$

**lemma** *inf-rel*:

**fixes**  $r :: ('a, 's) \text{ steps}$

**fixes**  $s :: ('a, 's) \text{ steps}$

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$

**shows**  $\text{spec.rel } r \sqcap \text{spec.cam.cl } r' P = \text{spec.cam.cl } (r \cap r') (\text{spec.rel } r \sqcap P)$  (**is** *?thesis1*)

**and**  $\text{spec.cam.cl } r' P \sqcap \text{spec.rel } r = \text{spec.cam.cl } (r \cap r') (\text{spec.rel } r \sqcap P)$  (**is** *?thesis2*)

$\langle \text{proof} \rangle$

**lemma** *bind-return*:

**shows**  $\text{spec.cam.cl } r (f \gg \text{spec.return } v) = \text{spec.cam.cl } r f \gg \text{spec.return } v$

$\langle \text{proof} \rangle$

**lemma** *heyting-le*:

**shows**  $\text{spec.cam.cl } r (P \longrightarrow_H Q) \leq P \longrightarrow_H \text{spec.cam.cl } r Q$

$\langle \text{proof} \rangle$

**lemma** *pre*:

**shows**  $\text{spec.cam.cl } r (\text{spec.pre } P) = \text{spec.pre } P$

$\langle \text{proof} \rangle$

**lemma** *post*:

**shows**  $\text{spec.cam.cl } r (\text{spec.post } Q) = \text{spec.post } Q$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *empty*:

**shows**  $\text{spec.cam.closed } \{\} = UNIV$

$\langle \text{proof} \rangle$

**lemma** *antimonotone*:

**shows** *antimono spec.cam.closed*

$\langle \text{proof} \rangle$

**lemmas** *strengthen*[*strg*] = *st-ord-antimono*[*OF spec.cam.closed.antimonotone*]  
**lemmas** *antimono* = *antimonoD*[*OF spec.cam.closed.antimonotone, of r r' for r r'*]

**lemma** *reflcl*:

**shows** *spec.cam.closed* ( $r \cup A \times Id$ ) = *spec.cam.closed*  $r$   
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *none*:

**assumes**  $P \in \text{spec.cam.closed } r$   
**shows** *spec.term.none*  $P \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *bind*:

**fixes**  $f :: ('a, 's, 'v) \text{ spec}$   
**fixes**  $g :: 'v \Rightarrow ('a, 's, 'w) \text{ spec}$   
**assumes**  $f \in \text{spec.cam.closed } r$   
**assumes**  $\bigwedge x. g \ x \in \text{spec.cam.closed } r$   
**shows**  $f \gg g \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

**lemma** *rel*[*intro*]:

**assumes**  $r \subseteq r'$   
**shows** *spec.rel*  $r' \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

**lemma** *pre*[*intro*]:

**shows** *spec.pre*  $P \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

**lemma** *post*[*intro*]:

**shows** *spec.post*  $Q \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

**lemma** *heyting*[*intro*]:

**assumes**  $Q \in \text{spec.cam.closed } r$   
**shows**  $P \longrightarrow_H Q \in \text{spec.cam.closed } r$   
 $\langle$ *proof* $\rangle$

**lemma** *snoc-conv*:

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$   
**assumes**  $P \in \text{spec.cam.closed } r$   
**assumes**  $(fst \ x, trace.final' \ s \ xs, snd \ x) \in r \cup UNIV \times Id$   
**shows**  $\langle s, xs @ [x], None \rangle \leq P \longleftrightarrow \langle s, xs, None \rangle \leq P$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)  
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *cl*:

**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'v \Rightarrow 'w$   
**fixes**  $r :: ('b, 't) \text{ steps}$   
**fixes**  $P :: ('b, 't, 'w) \text{ spec}$   
**shows** *spec.invmap*  $af \ sf \ vf$  (*spec.cam.cl*  $r \ P$ )

$= \text{spec.cam.cl } (\text{map-prod } af \ (\text{map-prod } sf \ sf) \ - \ (r \cup UNIV \times Id)) \ (\text{spec.invmap } af \ sf \ vf \ P)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-le*:

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $r :: ('a, 's) \text{ steps}$

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$

**shows**  $\text{spec.map } af \ sf \ vf \ (\text{spec.cam.cl } r \ P)$

$\leq \text{spec.cam.cl } (\text{map-prod } af \ (\text{map-prod } sf \ sf) \ 'r) \ (\text{spec.map } af \ sf \ vf \ P)$

$\langle \text{proof} \rangle$

**lemma** *cl-inj-sf*:

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $r :: ('a, 's) \text{ steps}$

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$

**assumes** *inj sf*

**shows**  $\text{spec.map } af \ sf \ vf \ (\text{spec.cam.cl } r \ P)$

$= \text{spec.cam.cl } (\text{map-prod } af \ (\text{map-prod } sf \ sf) \ 'r) \ (\text{spec.map } af \ sf \ vf \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 9.2 Abadi and Plotkin's composition principle

Abadi and Plotkin (1991, 1993) develop a theory of circular reasoning about Heyting implication for safety properties under the mild condition that each is CAM-closed with respect to the other.

$\langle ML \rangle$

**abbreviation** *ap-cam-cl*  $:: 'a \text{ set} \Rightarrow ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

$\text{ap-cam-cl } as \equiv \text{spec.cam.cl } ((-as) \times UNIV)$

**abbreviation** (*input*) *ap-cam-closed*  $:: 'a \text{ set} \Rightarrow ('a, 's, 'v) \text{ spec set}$  **where**

$\text{ap-cam-closed } as \equiv \text{spec.cam.closed } ((-as) \times UNIV)$

**lemma** *composition-principle-1*:

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$

**assumes**  $P \in \text{spec.ap-cam-closed } as$

**assumes**  $P \in \text{spec.term.closed}$  -

**assumes**  $\text{spec.idle} \leq P$

**shows**  $\text{spec.ap-cam-cl } (-as) \ P \longrightarrow_H P \leq P$  (**is** *?lhs*  $\leq$  *?rhs*)

$\langle \text{proof} \rangle$

**lemma** *composition-principle-half*: — Abadi and Plotkin (1993, §3.1(4)) — cleaner than in Abadi and Plotkin (1991, §3.1)

**assumes**  $M_1 \in \text{spec.ap-cam-closed } a_1$

**assumes**  $M_2 \in \text{spec.ap-cam-closed } a_2$

**assumes**  $M_1 \in \text{spec.term.closed}$  -

**assumes**  $\text{spec.idle} \leq M_1$

**assumes**  $a_1 \cap a_2 = \{\}$

**shows**  $(M_1 \longrightarrow_H M_2) \sqcap (M_2 \longrightarrow_H M_1) \leq M_1$

$\langle \text{proof} \rangle$

**theorem** *composition-principle*: — Abadi and Plotkin (1993, §3.1(3))

**assumes**  $M_1 \in \text{spec.ap-cam-closed } a_1$   
**assumes**  $M_2 \in \text{spec.ap-cam-closed } a_2$   
**assumes**  $M_1 \in \text{spec.term.closed -}$   
**assumes**  $M_2 \in \text{spec.term.closed -}$   
**assumes**  $\text{spec.idle} \leq M_1$   
**assumes**  $\text{spec.idle} \leq M_2$   
**assumes**  $a_1 \cap a_2 = \{\}$   
**shows**  $(M_1 \longrightarrow_H M_2) \sqcap (M_2 \longrightarrow_H M_1) \leq M_1 \sqcap M_2$

*<proof>*

An infinitary variant can be established in essentially the same way as *spec.composition-principle-1*.

**lemma** *ag-circular*:

**fixes**  $P_s :: 'a \Rightarrow ('a, 's, 'v) \text{ spec}$   
**assumes** *cam-closed*:  $\bigwedge a. a \in as \implies P_s a \in \text{spec.ap-cam-closed } \{a\}$   
**assumes** *term-closed*:  $\bigwedge a. a \in as \implies P_s a \in \text{spec.term.closed -}$   
**assumes** *idle*:  $\bigwedge a. a \in as \implies \text{spec.idle} \leq P_s a$   
**shows**  $(\prod a \in as. (\prod a' \in as - \{a\}. P_s a')) \longrightarrow_H P_s a \leq (\prod a \in as. P_s a)$  (**is** ?lhs  $\leq$  ?rhs)

*<proof>*

*<ML>*

### 9.3 Interference closure

We add environment interference to the beginnings and ends of behaviors for two reasons:

- it ensures the wellformedness of parallel composition as conjunction (see §9.5)
- it guarantees the monad laws hold (see §13.3.1)
  - *spec.cam.cl* by itself is too weak to justify these

We use this closure to build the program sublattice of the  $('a, 's, 'v) \text{ spec}$  lattice (see §13).

Observations:

- if processes are made out of actions then it is not necessary to apply *spec.cam.cl*

*<ML>*

**definition**  $cl :: ('a, 's) \text{ steps} \Rightarrow ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

$cl \ r \ P = \text{spec.rel } r \gg\gg (\lambda :: \text{unit}. \text{spec.cam.cl } r \ P) \gg\gg (\lambda v. \text{spec.rel } r \gg\gg (\lambda :: \text{unit}. \text{spec.return } v))$

*<ML>*

**interpretation** *interference: closure-complete-distrib-lattice-distributive-class*  $\text{spec.interference.cl } r$   
**for**  $r :: ('a, 's) \text{ steps}$

*<proof>*

*<ML>*

**lemma** *cl*:

**shows**  $\text{spec.term.none } (\text{spec.interference.cl } r \ P) = \text{spec.interference.cl } r \ (\text{spec.term.none } P)$

*<proof>*

*<ML>*

**lemma** *rel-le*:

**assumes**  $P \in \text{spec.interference.closed } r$

**shows**  $\text{spec.term.none } (\text{spec.rel } r) \leq P$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-le*: — Converse does not hold

**shows**  $\text{spec.interference.cl } r (\text{spec.term.all } P) \leq \text{spec.term.all } (\text{spec.interference.cl } r P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl*:

**shows**  $\text{spec.interference.cl } r P \in \text{spec.cam.closed } r$

$\langle \text{proof} \rangle$

**lemma** *closed-subseteq*:

**shows**  $\text{spec.interference.closed } r \subseteq \text{spec.cam.closed } r$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *mono*:

**assumes**  $r \subseteq r'$

**assumes**  $P \leq P'$

**shows**  $\text{spec.interference.cl } r P \leq \text{spec.interference.cl } r' P'$

$\langle \text{proof} \rangle$

**declare**  $\text{spec.interference.strengthen-cl}[\text{strg del}]$

**lemma** *strengthen*[*strg*]:

**assumes**  $\text{st-ord } F r r'$

**assumes**  $\text{st-ord } F P P'$

**shows**  $\text{st-ord } F (\text{spec.interference.cl } r P) (\text{spec.interference.cl } r' P')$

$\langle \text{proof} \rangle$

**lemma** *bot*:

**shows**  $\text{spec.interference.cl } r \perp = \text{spec.term.none } (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec})$

$\langle \text{proof} \rangle$

**lemmas**  $\text{Sup} = \text{spec.interference.cl-Sup}$

**lemmas**  $\text{sup} = \text{spec.interference.cl-sup}$

**lemma** *idle*:

**shows**  $\text{spec.interference.cl } r \text{spec.idle} = \text{spec.term.none } (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec})$

$\langle \text{proof} \rangle$

**lemma** *rel-empty*:

**assumes**  $\text{spec.idle} \leq P$

**shows**  $\text{spec.interference.cl } \{\} P = P$

$\langle \text{proof} \rangle$

**lemma** *rel-reflcl*:

**shows**  $\text{spec.interference.cl } (r \cup A \times \text{Id}) P = \text{spec.interference.cl } r P$

**and**  $\text{spec.interference.cl } (A \times \text{Id} \cup r) P = \text{spec.interference.cl } r P$

$\langle \text{proof} \rangle$

**lemma** *rel-minus-Id*:

**shows**  $\text{spec.interference.cl } (r - \text{UNIV} \times \text{Id}) P = \text{spec.interference.cl } r P$

$\langle \text{proof} \rangle$

**lemma** *inf-rel*:

**shows**  $\text{spec.interference.cl } s \sqcap \text{spec.rel } r = \text{spec.interference.cl } (r \sqcap s) (\text{spec.rel } r \sqcap P)$

**and**  $\text{spec.rel } r \sqcap \text{spec.interference.cl } s \sqcap P = \text{spec.interference.cl } (r \sqcap s) (\text{spec.rel } r \sqcap P)$

$\langle \text{proof} \rangle$

**lemma** *bindL*:

**assumes**  $f \in \text{spec.interference.closed } r$

**shows**  $\text{spec.interference.cl } r (f \ggg g) = f \ggg (\lambda v. \text{spec.interference.cl } r (g v))$

$\langle \text{proof} \rangle$

**lemma** *bindR*:

**assumes**  $\bigwedge v. g v \in \text{spec.interference.closed } r$

**shows**  $\text{spec.interference.cl } r (f \ggg g) = \text{spec.interference.cl } r f \ggg g$  (**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *bind-conv*:

**assumes**  $f \in \text{spec.interference.closed } r$

**assumes**  $\forall x. g x \in \text{spec.interference.closed } r$

**shows**  $\text{spec.interference.cl } r (f \ggg g) = f \ggg g$

$\langle \text{proof} \rangle$

**lemma** *action*:

**shows**  $\text{spec.interference.cl } r (\text{spec.action } F)$

$= \text{spec.rel } r \ggg (\lambda :: \text{unit}. \text{spec.action } F \ggg (\lambda v. \text{spec.rel } r \ggg (\lambda :: \text{unit}. \text{spec.return } v)))$

$\langle \text{proof} \rangle$

**lemma** *return*:

**shows**  $\text{spec.interference.cl } r (\text{spec.return } v) = \text{spec.rel } r \ggg (\lambda :: \text{unit}. \text{spec.return } v)$

$\langle \text{proof} \rangle$

**lemma** *bind-return*:

**shows**  $\text{spec.interference.cl } r (f \gg \text{spec.return } v) = \text{spec.interference.cl } r f \gg \text{spec.return } v$

$\langle \text{proof} \rangle$

**lemma** *rel*: — complicated by polymorphic *spec.rel*

**assumes**  $r \subseteq r' \vee r' \subseteq r$

**shows**  $\text{spec.interference.cl } r (\text{spec.rel } r') = \text{spec.rel } (r \cup r')$  (**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-le[spec.idle-le]*:

**shows**  $\text{spec.idle} \leq \text{spec.interference.cl } r P$

$\langle \text{proof} \rangle$

**lemma** *closed-le[spec.idle-le]*:

**assumes**  $P \in \text{spec.interference.closed } r$

**shows**  $\text{spec.idle} \leq P$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-sf-id*:

**shows**  $\text{spec.map } af \text{ id } vf (\text{spec.interference.cl } r P)$

$= \text{spec.interference.cl } (\text{map-prod af id } 'r) (\text{spec.map af id vf } P)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *cl*:

**fixes**  $as :: 'b \text{ set}$

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $r :: ('b, 't) \text{ steps}$

**fixes**  $P :: ('b, 't, 'w) \text{ spec}$

**shows**  $\text{spec.invmap af sf vf } (\text{spec.interference.cl } r P)$

$= \text{spec.interference.cl } (\text{map-prod af } (\text{map-prod sf sf}) -' (r \cup \text{UNIV} \times \text{Id})) (\text{spec.invmap af sf vf } P)$

⟨proof⟩

⟨ML⟩

**lemma** *antimonotone*:

**shows**  $\text{antimono spec.interference.closed}$

⟨proof⟩

**lemmas**  $\text{strengthen[strg]} = \text{st-ord-antimono[OF spec.interference.closed.antimonotone]}$

**lemmas**  $\text{antimono} = \text{antimonoD[OF spec.interference.closed.antimonotone]}$

**lemma** *Sup'*:

**assumes**  $X \subseteq \text{spec.interference.closed } r$

**shows**  $\bigsqcup X \sqcup \text{spec.term.none } (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec}) \in \text{spec.interference.closed } r$

⟨proof⟩

**lemma** *Sup-not-empty*:

**assumes**  $X \subseteq \text{spec.interference.closed } r$

**assumes**  $X \neq \{\}$

**shows**  $\bigsqcup X \in \text{spec.interference.closed } r$

⟨proof⟩

**lemma** *rel*:

**assumes**  $r' \subseteq r$

**shows**  $\text{spec.rel } r \in \text{spec.interference.closed } r'$

⟨proof⟩

**lemma** *bind-relL*:

**fixes**  $P :: ('a, 's, 'v) \text{ spec}$

**assumes**  $P \in \text{spec.interference.closed } r$

**shows**  $\text{spec.rel } r \gg (\lambda :: \text{unit}. P) = P$

⟨proof⟩

**lemma** *bind-relR*:

**assumes**  $P \in \text{spec.interference.closed } r$

**shows**  $P \gg (\lambda v. \text{spec.rel } r \gg (\lambda :: \text{unit}. Q v)) = P \gg Q$

⟨proof⟩

**lemma** *bind-rel-unitR*:

**assumes**  $P \in \text{spec.interference.closed } r$

**shows**  $P \gg (\text{spec.rel } r :: (-, -, \text{unit}) \text{ spec}) = P$

⟨proof⟩

**lemma** *bind-rel-botR*:

**assumes**  $P \in \text{spec.interference.closed } r$   
**shows**  $P \ggg (\lambda v. \text{spec.rel } r \ggg (\lambda :: \text{unit. } \perp)) = P \ggg \perp$   
 $\langle \text{proof} \rangle$

**lemma** *bind[intro]*:  
**fixes**  $f :: ('a, 's, 'v) \text{ spec}$   
**fixes**  $g :: 'v \Rightarrow ('a, 's, 'w) \text{ spec}$   
**assumes**  $f \in \text{spec.interference.closed } r$   
**assumes**  $\bigwedge x. g \ x \in \text{spec.interference.closed } r$   
**shows**  $(f \ggg g) \in \text{spec.interference.closed } r$   
 $\langle \text{proof} \rangle$

**lemma** *kleene-star*:  
**assumes**  $P \in \text{spec.interference.closed } r$   
**assumes**  $\text{spec.return } () \leq P$   
**shows**  $\text{spec.kleene.star } P \in \text{spec.interference.closed } r$   
 $\langle \text{proof} \rangle$

**lemma** *map-sf-id*:  
**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $vf :: 'v \Rightarrow 'w$   
**assumes**  $P \in \text{spec.interference.closed } r$   
**shows**  $\text{spec.map } af \ id \ vf \ P \in \text{spec.interference.closed } (\text{map-prod } af \ id \ ' r)$   
 $\langle \text{proof} \rangle$

**lemma** *invmap*:  
**fixes**  $af :: 'a \Rightarrow 'b$   
**fixes**  $sf :: 's \Rightarrow 't$   
**fixes**  $vf :: 'v \Rightarrow 'w$   
**assumes**  $P \in \text{spec.interference.closed } r$   
**shows**  $\text{spec.invmap } af \ sf \ vf \ P \in \text{spec.interference.closed } (\text{map-prod } af \ (\text{map-prod } sf \ sf) \ - \ ' r)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *none*:  
**assumes**  $P \in \text{spec.interference.closed } r$   
**shows**  $\text{spec.term.none } P \in \text{spec.interference.closed } r$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

## 9.4 The 'a agent datatype

For compositionality we often wish to designate a specific agent as the environment.

**datatype** *'a agent* = *proc* (*the-agent*: 'a) | *env*  
**type-synonym** *sequential* = *unit agent* — Sequential programs (§13)  
**abbreviation** *self* :: *sequential* **where** *self*  $\equiv$  *proc* ()

**declare** *agent.map-id[simp]*  
**declare** *agent.map-id0[simp]*  
**declare** *agent.map-id0[unfolded id-def, simp]*  
**declare** *agent.map-comp[unfolded comp-def, simp]*

**lemma** *env-not-in-range-proc[iff]*:  
**shows**  $\text{env} \notin \text{range } \text{proc}$   
 $\langle \text{proof} \rangle$

**lemma** *range-proc-conv*[*simp*]:  
  **shows**  $x \in \text{range proc} \longleftrightarrow x \neq \text{env}$   
  ⟨*proof*⟩

**lemma** *inj-proc*[*iff*]:  
  **shows** *inj proc*  
  ⟨*proof*⟩

**lemma** *surj-the-inv-proc*[*iff*]:  
  **shows** *surj (the-inv proc)*  
  ⟨*proof*⟩

**lemma** *the-inv-proc*[*simp*]:  
  **shows** *the-inv proc (proc a) = a*  
  ⟨*proof*⟩

**lemma** *uminus-env-range-proc*[*simp*]:  
  **shows**  $-\{\text{env}\} = \text{range proc}$   
  ⟨*proof*⟩

**lemma** *env-range-proc-UNIV*[*simp*]:  
  **shows** *insert env (range proc) = UNIV*  
  ⟨*proof*⟩

⟨*ML*⟩

**lemma** *not-conv*[*simp*]:  
  **shows**  $a \neq \text{env} \longleftrightarrow a = \text{self}$   
  **and**  $a \neq \text{self} \longleftrightarrow a = \text{env}$   
  ⟨*proof*⟩

**lemma** *range-proc-self*[*simp*]:  
  **shows**  $\text{range proc} = \{\text{self}\}$   
  ⟨*proof*⟩

**lemma** *UNIV*:  
  **shows**  $\text{UNIV} = \{\text{env}, \text{self}\}$   
  ⟨*proof*⟩

**lemma** *rev-UNIV*[*simp*]:  
  **shows**  $\{\text{env}, \text{self}\} = \text{UNIV}$   
  **and**  $\{\text{self}, \text{env}\} = \text{UNIV}$   
  ⟨*proof*⟩

**lemma** *uminus-self-env*[*simp*]:  
  **shows**  $-\{\text{self}\} = \{\text{env}\}$   
  ⟨*proof*⟩

⟨*ML*⟩

**lemma** *eq-conv*:  
  **shows**  $\text{map-agent } f \ x = \text{env} \longleftrightarrow x = \text{env}$   
  **and**  $\text{env} = \text{map-agent } f \ x \longleftrightarrow x = \text{env}$   
  **and**  $\text{map-agent } f \ x = \text{proc } a \longleftrightarrow (\exists a'. x = \text{proc } a' \wedge a = f \ a')$   
  **and**  $\text{proc } a = \text{map-agent } f \ x \longleftrightarrow (\exists a'. x = \text{proc } a' \wedge a = f \ a')$   
  ⟨*proof*⟩

**lemma** *surj*:

**fixes**  $\pi :: 'a \Rightarrow 'b$   
**assumes** *surj*  $\pi$   
**shows** *surj* (*map-agent*  $\pi$ )  
 $\langle$ *proof* $\rangle$

**lemma** *bij*:  
**fixes**  $\pi :: 'a \Rightarrow 'b$   
**assumes** *bij*  $\pi$   
**shows** *bij* (*map-agent*  $\pi$ )  
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**definition** *swap-env-self-fn* :: *sequential*  $\Rightarrow$  *sequential* **where**  
*swap-env-self-fn*  $a = (\text{case } a \text{ of } \text{proc } () \Rightarrow \text{env} \mid \text{env} \Rightarrow \text{self})$

**lemma** *swap-env-self-fn-simps*:  
**shows** *swap-env-self-fn* *self* = *env*  
*swap-env-self-fn* *env* = *self*  
 $\langle$ *proof* $\rangle$

**lemma** *bij-swap-env-self-fn*:  
**shows** *bij* *swap-env-self-fn*  
 $\langle$ *proof* $\rangle$

**lemma** *swap-env-self-fn-vimage-singleton*:  
**shows** *swap-env-self-fn* - ' {*env*} = {*self*}  
**and** *swap-env-self-fn* - ' {*self*} = {*env*}  
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**abbreviation** *swap-env-self* :: (*sequential*, '*s*', '*v*') *spec*  $\Rightarrow$  (*sequential*, '*s*', '*v*') *spec* **where**  
*swap-env-self*  $\equiv \text{spec.} \text{amap } \text{swap-env-self-fn}$

$\langle$ *ML* $\rangle$

## 9.5 Parallel composition

We compose a collection of programs (*sequential*, '*s*', '*v*') *spec* in parallel by mapping these into the (*'a agent*, '*s*', '*v*') *spec* lattice, taking the infimum, and mapping back.

**definition** *toConcurrent-fn* :: '*a*'  $\Rightarrow$  '*a*'  $\Rightarrow$  *sequential* **where**  
*toConcurrent-fn* =  $(\lambda a a'. \text{if } a' = a \text{ then } \text{self} \text{ else } \text{env})$

**definition** *toSequential-fn* :: '*a agent*'  $\Rightarrow$  *sequential* **where**  
*toSequential-fn* = *map-agent*  $\langle$ () $\rangle$

**lemma** *toSequential-fn-alt-def*:  
**shows** *toSequential-fn* =  $(\lambda x. \text{case } x \text{ of } \text{proc } x \Rightarrow \text{self} \mid \text{env} \Rightarrow \text{env})$   
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**abbreviation** *toConcurrent* :: '*a*'  $\Rightarrow$  (*sequential*, '*s*', '*v*') *spec*  $\Rightarrow$  (*'a agent*, '*s*', '*v*') *spec* **where**  
*toConcurrent*  $a \equiv \text{spec.} \text{ainvmap } (\text{toConcurrent-fn } (\text{proc } a))$

**abbreviation** *toSequential* :: (*'a agent*, '*s*', '*v*') *spec*  $\Rightarrow$  (*sequential*, '*s*', '*v*') *spec* **where**  
*toSequential*  $\equiv \text{spec.} \text{amap } \text{toSequential-fn}$

**definition**  $Parallel :: 'a \text{ set} \Rightarrow ('a \Rightarrow (sequential, 's, unit) \text{ spec}) \Rightarrow (sequential, 's, unit) \text{ spec}$  **where**

$Parallel \text{ as } Ps = \text{spec.toSequential} (\text{spec.rel} (\text{insert env} (\text{proc } 'as) \times UNIV) \sqcap (\prod_{a \in as} \text{spec.toConcurrent } a (Ps \ a)))$

**definition**  $parallel :: (sequential, 's, unit) \text{ spec} \Rightarrow (sequential, 's, unit) \text{ spec} \Rightarrow (sequential, 's, unit) \text{ spec}$  **where**

$parallel \ P \ Q = \text{spec.Parallel } UNIV (\lambda a::\text{bool}. \text{if } a \text{ then } P \text{ else } Q)$

**adhoc-overloading**

$Parallel \equiv \text{spec.Parallel}$

**adhoc-overloading**

$parallel \equiv \text{spec.parallel}$

**lemma**  $parallel\text{-alt-def}$ :

**shows**  $\text{spec.parallel } P \ Q = \text{spec.toSequential} (\text{spec.toConcurrent } \text{True } P \sqcap \text{spec.toConcurrent } \text{False } Q)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $\text{simps}[simp]$ :

**shows**  $\text{toConcurrent-fn} (\text{proc } a) (\text{proc } a) = \text{self}$   
**and**  $\text{toConcurrent-fn} (\text{proc } a) \text{ env} = \text{env}$   
**and**  $\text{toConcurrent-fn } a' \ a'' = \text{self} \iff a'' = a'$   
**and**  $\text{self} = \text{toConcurrent-fn } a' \ a'' \iff a'' = a'$   
**and**  $\text{toConcurrent-fn } a' \ a'' = \text{env} \iff a'' \neq a'$   
**and**  $\text{env} = \text{toConcurrent-fn } a' \ a'' \iff a'' \neq a'$   
**and**  $\text{toConcurrent-fn} (\text{proc } a) (\text{map-agent } \langle a \rangle \ x) = \text{map-agent } \langle () \rangle \ x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{inj-map-agent}$ :

**assumes**  $\text{inj-on } f (\text{insert } x (\text{set-agent } a))$   
**shows**  $\text{toConcurrent-fn} (\text{proc } (f \ x)) (\text{map-agent } f \ a) = \text{toConcurrent-fn} (\text{proc } x) \ a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{inv-into-map-agent}$ :

**fixes**  $f :: 'a \Rightarrow 'b$   
**fixes**  $a :: 'b \ \text{agent}$   
**fixes**  $x :: 'a$   
**assumes**  $\text{inj-on } f \ \text{as}$   
**assumes**  $x \in \text{as}$   
**assumes**  $a \in \text{insert env } ((\lambda x. \text{proc } (f \ x)) \ 'as)$   
**shows**  $\text{toConcurrent-fn} (\text{proc } x) (\text{map-agent } (\text{inv-into } \text{as } f) \ a) = \text{toConcurrent-fn} (\text{proc } (f \ x)) \ a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{vimage-sequential}[simp]$ :

**shows**  $\text{toConcurrent-fn} (\text{proc } a) - \{ \text{self} \} = \{ \text{proc } a \}$   
**and**  $\text{toConcurrent-fn} (\text{proc } a) - \{ \text{env} \} = -\{ \text{proc } a \}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma**  $\text{simps}[simp]$ :

**shows**  $\text{toSequential-fn } \text{env} = \text{env}$   
**and**  $\text{toSequential-fn} (\text{proc } x) = \text{self}$   
**and**  $\text{toSequential-fn} (\text{map-agent } f \ a) = \text{toSequential-fn } a$   
**and**  $\text{trace.map } \text{toSequential-fn } \text{id } \text{id } \sigma = \sigma$   
**and**  $\text{trace.map } \text{toSequential-fn } (\lambda x. \ x) (\lambda x. \ x) \sigma = \sigma$   
**and**  $(\lambda x. \text{if } x = \text{self} \text{ then } \text{self} \text{ else } \text{env}) = \text{id}$

$\langle proof \rangle$

**lemma** *eq-conv*:

**shows**  $toSequential\text{-}fn\ x = env \longleftrightarrow x = env$

**and**  $toSequential\text{-}fn\ x = self \longleftrightarrow (\exists a. x = proc\ a)$

$\langle proof \rangle$

**lemma** *surj*:

**shows**  $surj\ toSequential\text{-}fn$

$\langle proof \rangle$

**lemma** *image[simp]*:

**assumes**  $as \neq \{\}$

**shows**  $toSequential\text{-}fn\ \text{'proc'}\ as = \{self\}$

$\langle proof \rangle$

**lemma** *vimage-sequential[simp]*:

**shows**  $toSequential\text{-}fn\ \text{'env'} = \{env\}$

**and**  $toSequential\text{-}fn\ \text{'self'} = range\ proc$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *toSequential-fn-eq-toConcurrent-fn-conv*:

**shows**  $toSequential\text{-}fn\ a = toConcurrent\text{-}fn\ a'\ a'' \longleftrightarrow (case\ a\ of\ env \Rightarrow a'' \neq a' \mid proc\ - \Rightarrow a'' = a')$

**and**  $toConcurrent\text{-}fn\ a'\ a'' = toSequential\text{-}fn\ a \longleftrightarrow (case\ a\ of\ env \Rightarrow a'' \neq a' \mid proc\ - \Rightarrow a'' = a')$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *interference*:

**shows**  $spec.toSequential\ (spec.rel\ (\{env\} \times r)) = spec.rel\ (\{env\} \times r)$

$\langle proof \rangle$

**lemma** *interference-inf-toConcurrent*:

**fixes**  $a :: 'a$

**fixes**  $P :: (sequential, 's, 'v)\ spec$

**shows**  $spec.toSequential\ (spec.rel\ (\{env, proc\ a\} \times UNIV) \sqcap spec.toConcurrent\ a\ P) = P\ (\mathbf{is}\ ?lhs = ?rhs)$

**and**  $spec.toSequential\ (spec.toConcurrent\ a\ P \sqcap spec.rel\ (\{env, proc\ a\} \times UNIV)) = P\ (\mathbf{is}\ ?thesis1)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *interference*:

**shows**  $spec.toConcurrent\ a\ (spec.rel\ (\{env\} \times UNIV)) = spec.rel\ ((-\ \{proc\ a\}) \times UNIV)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *Parallel-le[spec.idle-le]*:

**assumes**  $\bigwedge a. a \in as \implies spec.idle \leq Ps\ a$

**shows**  $spec.idle \leq spec.Parallel\ as\ Ps$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *cong*:

**assumes**  $as = as'$

**assumes**  $\bigwedge a. a \in as' \implies Ps\ a = Ps'\ a$   
**shows**  $spec.Parallel\ as\ Ps = spec.Parallel\ as'\ Ps'$   
 $\langle proof \rangle$

**lemma** *no-agents*:  
**shows**  $spec.Parallel\ \{\}\ Ps = spec.rel\ (\{env\} \times UNIV)$   
 $\langle proof \rangle$

**lemma** *singleton-agents*:  
**shows**  $spec.Parallel\ \{a\}\ Ps = Ps\ a$   
 $\langle proof \rangle$

**lemma** *bot*:  
**assumes**  $Ps\ a = \perp$   
**assumes**  $a \in as$   
**shows**  $spec.Parallel\ as\ Ps = \perp$   
 $\langle proof \rangle$

**lemma** *top*:  
**shows**  $spec.Parallel\ as\ \top = (if\ as = \{\}\ then\ spec.rel\ (\{env\} \times UNIV)\ else\ \top)$   
 $\langle proof \rangle$

**lemma** *mono*:  
**assumes**  $\bigwedge a. a \in as \implies Ps\ a \leq Ps'\ a$   
**shows**  $spec.Parallel\ as\ Ps \leq spec.Parallel\ as\ Ps'$   
 $\langle proof \rangle$

**lemma** *strengthen[stg]*:  
**assumes**  $\bigwedge a. a \in as \implies st\text{-}ord\ F\ (Ps\ a)\ (Ps'\ a)$   
**shows**  $st\text{-}ord\ F\ (spec.Parallel\ as\ Ps)\ (spec.Parallel\ as\ Ps')$   
 $\langle proof \rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:  
**fixes**  $P_s :: 'a \Rightarrow 'b \Rightarrow (sequential, 's, unit)\ spec$   
**assumes**  $\bigwedge a. a \in as \implies monotone\ orda\ (\leq)\ (Ps\ a)$   
**shows**  $monotone\ orda\ (\leq)\ (\lambda x :: 'b. spec.Parallel\ as\ (\lambda a. Ps\ a\ x))$   
 $\langle proof \rangle$

**lemma** *invmap*:  $—\ af = id$  in  $spec.invmap$   
**shows**  $spec.invmap\ id\ sf\ vf\ (spec.Parallel\ UNIV\ Ps) = spec.Parallel\ UNIV\ (spec.invmap\ id\ sf\ vf \circ Ps)$   
 $\langle proof \rangle$

**lemma** *discard-interference*:  
**assumes**  $\bigwedge a. a \in bs \implies Ps\ a = spec.rel\ (\{env\} \times UNIV)$   
**shows**  $spec.Parallel\ as\ Ps = spec.Parallel\ (as - bs)\ Ps$   
 $\langle proof \rangle$

**lemma** *rename-UNIV-aux*:  
**fixes**  $f :: 'a \Rightarrow 'b$   
**assumes**  $inj\text{-}on\ f\ as$   
**shows**  $spec.toSequential\ (spec.rel\ (insert\ env\ (proc\ 'as) \times UNIV))$   
 $\quad \sqcap\ (\bigsqcap\ a \in as. spec.toConcurrent\ a\ (Ps\ a))$   
 $= spec.toSequential\ (spec.rel\ (insert\ env\ (proc\ 'f\ 'as) \times UNIV))$   
 $\quad \sqcap\ (\bigsqcap\ a \in as. spec.toConcurrent\ (f\ a)\ (Ps\ a))\ (is\ ?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma** *rename-UNIV*: — expand the set of agents to *UNIV*

**fixes**  $f :: 'a \Rightarrow 'b$

**assumes** *inj-on f as*

**shows** *spec.Parallel as Ps*

= *spec.Parallel (UNIV :: 'b set)*

( $\lambda b. \text{if } b \in f \text{ 'as then } Ps \text{ (inv-into as f b) else spec.rel (\{env\} \times UNIV)$ )

(**is** *?lhs = spec.Parallel - ?f*)

*<proof>*

**lemma** *rename*:

**fixes**  $\pi :: 'a \Rightarrow 'b$

**fixes**  $P_s :: 'b \Rightarrow (\text{sequential}, 's, \text{unit}) \text{ spec}$

**assumes** *bij-betw  $\pi$  as bs*

**shows** *spec.Parallel as (Ps  $\circ$   $\pi$ ) = spec.Parallel bs Ps*

*<proof>*

**lemma** *rename-cong*:

**fixes**  $\pi :: 'a \Rightarrow 'b$

**fixes**  $P_s :: 'a \Rightarrow (-, -, -) \text{ spec}$

**fixes**  $P_s' :: 'b \Rightarrow (-, -, -) \text{ spec}$

**assumes** *bij-betw  $\pi$  as bs*

**assumes**  $\bigwedge a. a \in as \implies P_s a = P_s' (\pi a)$

**shows** *spec.Parallel as Ps = spec.Parallel bs Ps'*

*<proof>*

**lemma** *inf-pre*:

**assumes**  $as \neq \{\}$

**shows** *spec.Parallel as Ps  $\sqcap$  spec.pre P = ( $\|i \in as. P_s i \sqcap \text{spec.pre P}$ ) (**is** *?thesis1*)*

**and** *spec.pre P  $\sqcap$  spec.Parallel as Ps = ( $\|i \in as. \text{spec.pre P} \sqcap P_s i$ ) (**is** *?thesis2*)*

*<proof>*

**lemma** *inf-post*:

**assumes**  $as \neq \{\}$

**shows** *spec.Parallel as Ps  $\sqcap$  spec.post Q = spec.Parallel as ( $\lambda i. P_s i \sqcap \text{spec.post Q}$ ) (**is** *?thesis1*)*

**and** *spec.post Q  $\sqcap$  spec.Parallel as Ps = spec.Parallel as ( $\lambda i. \text{spec.post Q} \sqcap P_s i$ ) (**is** *?thesis2*)*

*<proof>*

**lemma** *unwind*:

— All other processes begin with interference

**assumes**  $b: \bigwedge b. b \in as - \{a\} \implies \text{spec.rel (\{env\} \times UNIV) \ggg (\lambda :: \text{unit}. P_s b) \leq P_s b}$

**assumes**  $a: f \ggg g \leq P_s a$  — The selected process starts with *f*

**assumes**  $a \in as$

**shows**  $f \ggg (\lambda v. \text{spec.Parallel as (Ps(a := g v))}) \leq \text{spec.Parallel as Ps}$

*<proof>*

**lemma** *inf-rel*:

**fixes**  $as :: 'a \text{ set}$

**fixes**  $r :: 's \text{ rel}$

**shows** *spec.rel (\{env\}  $\times$  UNIV  $\cup$  \{self\}  $\times$  r)  $\sqcap$  spec.Parallel as Ps*

= *spec.Parallel as ( $\lambda a. \text{spec.rel (\{env\} \times UNIV \cup \{self\} \times r) \sqcap P_s a$ ) (**is** *?lhs = ?rhs*)*

**and** *spec.Parallel as Ps  $\sqcap$  spec.rel (\{env\}  $\times$  UNIV  $\cup$  \{self\}  $\times$  r)*

= *spec.Parallel as ( $\lambda a. P_s a \sqcap \text{spec.rel (\{env\} \times UNIV \cup \{self\} \times r)$ ) (**is** *?thesis1*)*

*<proof>*

**lemma** *flatten*:

**fixes**  $as :: 'a \text{ set}$

**fixes**  $a :: 'a$

**fixes**  $bs :: 'b \text{ set}$

**fixes**  $P_s :: 'a \Rightarrow (\text{sequential}, 's, \text{unit}) \text{spec}$   
**fixes**  $P_{s'} :: 'b \Rightarrow (\text{sequential}, 's, \text{unit}) \text{spec}$   
**assumes**  $P_s a = \text{spec.Parallel } bs \ P_{s'}$   
**assumes**  $a \in as$   
**shows**  $\text{spec.Parallel } as \ P_s = \text{spec.Parallel } ((as - \{a\}) <+> bs) \ (\text{case-sum } P_s \ P_{s'}) \ (\text{is } ?lhs = ?rhs)$   
 <proof>

<ML>

**lemma** *Parallel-some-agents:*

**assumes**  $\bigwedge a. a \in bs \implies P_s a = \text{spec.term.none } (P_{s'} a)$   
**assumes**  $as \cap bs \neq \{\}$   
**shows**  $\text{spec.Parallel } as \ P_s = \text{spec.term.none } (\|a \in as. \text{if } a \in as \cap bs \text{ then } P_{s'} a \text{ else } P_s a)$   
 <proof>

**lemma** *Parallel-not-empty:*

**assumes**  $as \neq \{\}$   
**shows**  $\text{spec.term.none } (\text{Parallel } as \ P_s) = \text{Parallel } as \ (\text{spec.term.none } \circ P_s)$   
 <proof>

**lemma** *parallel:*

**shows**  $\text{spec.term.none } (P \parallel Q) = \text{spec.term.none } P \parallel \text{spec.term.none } Q$   
 <proof>

**lemma**

**shows** *parallelL:*  $\text{spec.term.none } P \parallel Q = \text{spec.term.none } (P \parallel Q)$   
**and** *parallelR:*  $P \parallel \text{spec.term.none } Q = \text{spec.term.none } (P \parallel Q)$   
 <proof>

<ML>

**lemma** *Parallel:*

**shows**  $\text{spec.term.all } (\text{spec.Parallel } as \ P_s) = \text{spec.Parallel } as \ (\text{spec.term.all } \circ P_s)$   
 <proof>

<ML>

**lemma** *parallel-le:*

**assumes**  $\text{spec.idle} \leq P$   
**assumes**  $\text{spec.idle} \leq Q$   
**shows**  $\text{spec.idle} \leq P \parallel Q$   
 <proof>

<ML>

**lemma** *parallel: — af = id in spec.invmap*

**shows**  $\text{spec.invmap } id \ sf \ vf \ (\text{spec.parallel } P \ Q)$   
 $= \text{spec.parallel } (\text{spec.invmap } id \ sf \ vf \ P) \ (\text{spec.invmap } id \ sf \ vf \ Q)$   
 <proof>

<ML>

**lemma** *bot:*

**shows** *botL:*  $\text{spec.parallel } \perp \ P = \perp$   
**and** *botR:*  $\text{spec.parallel } P \ \perp = \perp$   
 <proof>

**lemma** *commute:*

**shows**  $\text{spec.parallel } P \ Q = \text{spec.parallel } Q \ P$   
 $\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $P \leq P'$   
**assumes**  $Q \leq Q'$   
**shows**  $\text{spec.parallel } P \ Q \leq \text{spec.parallel } P' \ Q'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen[stg]*:

**assumes**  $\text{st-ord } F \ P \ P'$   
**assumes**  $\text{st-ord } F \ Q \ Q'$   
**shows**  $\text{st-ord } F \ (\text{spec.parallel } P \ Q) \ (\text{spec.parallel } P' \ Q')$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes**  $\text{monotone orda } (\leq) \ F$   
**assumes**  $\text{monotone orda } (\leq) \ G$   
**shows**  $\text{monotone orda } (\leq) \ (\lambda f. \text{spec.parallel } (F \ f) \ (G \ f))$   
 $\langle \text{proof} \rangle$

**lemma** *Sup*:

**fixes**  $P_s :: (\text{sequential}, 's, \text{unit}) \text{ spec set}$   
**shows**  $\text{SupL: } \bigsqcup P_s \parallel Q = (\bigsqcup P \in P_s. P \parallel Q)$   
**and**  $\text{SupR: } Q \parallel \bigsqcup P_s = (\bigsqcup P \in P_s. Q \parallel P)$   
 $\langle \text{proof} \rangle$

**lemma** *sup*:

**fixes**  $P :: (\text{sequential}, 's, \text{unit}) \text{ spec}$   
**shows**  $\text{supL: } (P \sqcup Q) \parallel R = (P \parallel R) \sqcup (Q \parallel R)$   
**and**  $\text{supR: } P \parallel (Q \sqcup R) = (P \parallel Q) \sqcup (P \parallel R)$   
 $\langle \text{proof} \rangle$

We can residuate ( $\parallel$ ) but not *prog.parallel* (see §13.3) as the latter is not strict. Intuitively any realistic modelling of parallel composition will be non-strict as the divergence of one process should not block the progress of others, and incorporating such interference may preclude the implementation of a specification via this residuation.

References:

- [Hayes \(2016, Law 23\)](#): residuate parallel
- [van Staden \(2015, Lemma 6\)](#) who cites [Armstrong, Gomes, and Struth \(2014\)](#)

**definition**  $\text{res} :: (\text{sequential}, 's, \text{unit}) \text{ spec} \Rightarrow (\text{sequential}, 's, \text{unit}) \text{ spec} \Rightarrow (\text{sequential}, 's, \text{unit}) \text{ spec}$  **where**  
 $\text{res } S \ i = \bigsqcup \{P. P \parallel i \leq S\}$

**interpretation**  $\text{res}$ : *galois.complete-lattice-class*  $\lambda S. \text{spec.parallel } S \ i \ \lambda S. \text{spec.parallel.res } S \ i$  **for**  $i$  — [Hayes \(2016, Law 23 \(rely refinement\)\)](#)

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:

**assumes**  $\text{mcont luba orda Sup } (\leq) \ P$   
**assumes**  $\text{mcont luba orda Sup } (\leq) \ Q$   
**shows**  $\text{mcont luba orda Sup } (\leq) \ (\lambda x. \text{spec.parallel } (P \ x) \ (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *inf-rel*:

**shows**  $\text{spec.rel } (\{\text{env}\} \times \text{UNIV} \cup \{\text{self}\} \times r) \sqcap (P \parallel Q)$   
 $= (\text{spec.rel } (\{\text{env}\} \times \text{UNIV} \cup \{\text{self}\} \times r) \sqcap P) \parallel (\text{spec.rel } (\{\text{env}\} \times \text{UNIV} \cup \{\text{self}\} \times r) \sqcap Q)$

**and**  $(P \parallel Q) \sqcap \text{spec.rel } (\{env\} \times UNIV \cup \{self\} \times r)$   
 $= (\text{spec.rel } (\{env\} \times UNIV \cup \{self\} \times r) \sqcap P) \parallel (\text{spec.rel } (\{env\} \times UNIV \cup \{self\} \times r) \sqcap Q)$   
 $\langle \text{proof} \rangle$

**lemma** *assoc*:

**shows**  $\text{spec.parallel } P (\text{spec.parallel } Q R) = \text{spec.parallel } (\text{spec.parallel } P Q) R$  (**is** *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *bind-botR*:

**shows**  $\text{spec.parallel } (P \gg \perp) Q = \text{spec.parallel } P Q \gg \perp$   
**and**  $\text{spec.parallel } P (Q \gg \perp) = \text{spec.parallel } P Q \gg \perp$   
 $\langle \text{proof} \rangle$

**lemma** *interference*:

**shows** *interferenceL*:  $\text{spec.rel } (\{env\} \times UNIV) \parallel c = c$   
**and** *interferenceR*:  $c \parallel \text{spec.rel } (\{env\} \times UNIV) = c$   
 $\langle \text{proof} \rangle$

**lemma** *unwindL*:

**assumes**  $\text{spec.rel } (\{env\} \times UNIV) \gg (\lambda::\text{unit. } Q) \leq Q$  — All other processes begin with interference  
**assumes**  $f \gg g \leq P$  — The selected process starts with action  $f$   
**shows**  $f \gg (\lambda v. g v \parallel Q) \leq P \parallel Q$   
 $\langle \text{proof} \rangle$

**lemma** *unwindR*:

**assumes**  $\text{spec.rel } (\{env\} \times UNIV) \gg (\lambda::\text{unit. } P) \leq P$  — All other processes begin with interference  
**assumes**  $f \gg g \leq Q$  — The selected process starts with action  $f$   
**shows**  $f \gg (\lambda v. P \parallel g v) \leq P \parallel Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *toConcurrent-gen*:

**fixes**  $P :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $a :: 'a$   
**assumes**  $P: P \in \text{spec.interference.closed } (\{env\} \times r)$   
**shows**  $\text{spec.toConcurrent } a P \in \text{spec.interference.closed } ((-\{\text{proc } a\}) \times r)$   
 $\langle \text{proof} \rangle$

**lemma** *toConcurrent*:

**fixes**  $P :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $a :: 'a$   
**assumes**  $P: P \in \text{spec.interference.closed } (\{env\} \times r)$   
**shows**  $\text{spec.toConcurrent } a P \in \text{spec.interference.closed } (\{env\} \times r)$   
 $\langle \text{proof} \rangle$

**lemma** *toSequential*:

**fixes**  $P :: ('a \text{ agent}, 's, 'v) \text{ spec}$   
**assumes**  $P \in \text{spec.interference.closed } (\{env\} \times r)$   
**shows**  $\text{spec.toSequential } P \in \text{spec.interference.closed } (\{env\} \times r)$   
 $\langle \text{proof} \rangle$

**lemma** *Parallel*:

**assumes**  $\bigwedge a. P_s a \in \text{spec.interference.closed } (\{env\} \times UNIV)$   
**shows**  $\text{spec.Parallel } a_s P_s \in \text{spec.interference.closed } (\{env\} \times UNIV)$   
 $\langle \text{proof} \rangle$

**lemma** *parallel*:

**assumes**  $P \in \text{spec.interference.closed } (\{env\} \times UNIV)$   
**assumes**  $Q \in \text{spec.interference.closed } (\{env\} \times UNIV)$   
**shows**  $P \parallel Q \in \text{spec.interference.closed } (\{env\} \times UNIV)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

## 9.6 Specification Inhabitation

Given that  $\perp$  satisfies any specification  $S$ , we may wish to show that a specific trace  $\sigma$  is allowed by  $S$ .

The strategy is to compute the allowed transitions from a given initial state and possibly a return value. We almost always discard the closures we've added for various kinds of compositionality.

References:

- Similar to how [van Staden \(2014, §3.3\)](#) models a small-step operational semantics.
  - i.e., we can (semantically) define something like an LTS, which is compositional wrt parallel
  - a bit like a resumption or a residual
- Similar to [Hoare, He, and Sampaio \(2000\)](#)

TODO:

- often want transitive variants of these rules
- automate: only stop when there's a scheduling decision to be made

**definition**  $\text{inhabits} :: ('a, 's, 'w) \text{ spec} \Rightarrow 's \Rightarrow ('a \times 's) \text{ list} \Rightarrow ('a, 's, 'w) \text{ spec} \Rightarrow \text{bool}$  ( $\langle - / - -, - \rightarrow / - \rangle$  [50, 0, 0, 50] 50) **where**

$S -s, xs \rightarrow T \longleftrightarrow \langle s, xs, \text{Some } () \rangle \gg T \leq S$

$\langle ML \rangle$

**lemma** *incomplete*:

**assumes**  $S -s, xs \rightarrow S'$

**shows**  $\langle s, xs, \text{None} \rangle \leq S$

$\langle \text{proof} \rangle$

**lemma** *complete*:

**assumes**  $S -s, xs \rightarrow \text{spec.return } v$

**shows**  $\langle s, xs, \text{Some } v \rangle \leq S$

$\langle \text{proof} \rangle$

**lemmas**  $I = \text{inhabits.complete inhabits.incomplete}$

**lemma** *mono*:

**assumes**  $S \leq S'$

**assumes**  $T' \leq T$

**assumes**  $S -s, xs \rightarrow T$

**shows**  $S' -s, xs \rightarrow T'$

$\langle \text{proof} \rangle$

**lemma** *strengthen[stg]*:

**assumes**  $\text{st-ord } F S S'$

**assumes**  $\text{st-ord } (\neg F) T T'$

**shows**  $\text{st } F (\longrightarrow) (S -s, xs \rightarrow T) (S' -s, xs \rightarrow T')$

$\langle \text{proof} \rangle$

**lemma** *pre*:

**assumes**  $S -s, xs' \rightarrow T$

**assumes**  $T' \leq T$

**assumes**  $xs = xs'$

**shows**  $S -s, xs \rightarrow T'$

$\langle$ *proof* $\rangle$

**lemma** *tau*:

**assumes**  $spec.idle \leq S$

**shows**  $S -s, [] \rightarrow S$

$\langle$ *proof* $\rangle$

**lemma** *trans*:

**assumes**  $R -s, xs \rightarrow S$

**assumes**  $S -trace.final' s xs, ys \rightarrow T$

**shows**  $R -s, xs @ ys \rightarrow T$

$\langle$ *proof* $\rangle$

**lemma** *Sup*:

**assumes**  $P -s, xs \rightarrow P'$

**assumes**  $P \in X$

**shows**  $\bigsqcup X -s, xs \rightarrow P'$

$\langle$ *proof* $\rangle$

**lemma** *supL*:

**assumes**  $P -s, xs \rightarrow P'$

**shows**  $P \sqcup Q -s, xs \rightarrow P'$

$\langle$ *proof* $\rangle$

**lemma** *supR*:

**assumes**  $Q -s, xs \rightarrow Q'$

**shows**  $P \sqcup Q -s, xs \rightarrow Q'$

$\langle$ *proof* $\rangle$

**lemma** *inf*:

**assumes**  $P -s, xs \rightarrow P'$

**assumes**  $Q -s, xs \rightarrow Q'$

**shows**  $P \sqcap Q -s, xs \rightarrow P' \sqcap Q'$

$\langle$ *proof* $\rangle$

**lemma** *infL*:

**assumes**  $P -s, xs \rightarrow R$

**assumes**  $Q -s, xs \rightarrow R$

**shows**  $P \sqcap Q -s, xs \rightarrow R$

$\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** *bind*:

**assumes**  $f -s, xs \rightarrow f'$

**shows**  $f \ggg g -s, xs \rightarrow f' \ggg g$

$\langle$ *proof* $\rangle$

**lemmas**  $bind' = inhabits.trans[OF inhabits.spec.bind]$

**lemma** *parallelL*:

**assumes**  $P -s, xs \rightarrow P'$

**assumes**  $spec.rel (\{env\} \times UNIV) \ggg (\lambda::unit. Q) \leq Q$

**shows**  $P \parallel Q -s, xs \rightarrow P' \parallel Q$   
 $\langle proof \rangle$

**lemma parallelR:**

**assumes**  $Q -s, xs \rightarrow Q'$

**assumes**  $spec.rel (\{env\} \times UNIV) \gg= (\lambda::unit. P) \leq P$

**shows**  $P \parallel Q -s, xs \rightarrow P \parallel Q'$

$\langle proof \rangle$

**lemmas parallelL' = inhabits.trans[OF inhabits.spec.parallelL]**

**lemmas parallelR' = inhabits.trans[OF inhabits.spec.parallelR]**

$\langle ML \rangle$

**lemma step:**

**assumes**  $(v, a, s, s') \in F$

**shows**  $spec.action F -s, [(a, s')] \rightarrow spec.return v$

$\langle proof \rangle$

**lemma stutter:**

**assumes**  $(v, a, s, s) \in F$

**shows**  $spec.action F -s, [] \rightarrow spec.return v$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma map:**

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**assumes**  $P -s, xs \rightarrow spec.return v$

**shows**  $spec.map af sf vf P -sf s, map (map-prod af sf) xs \rightarrow spec.return (vf v)$

$\langle proof \rangle$

**lemma invmap:**

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**assumes**  $P -sf s, map (map-prod af sf) xs \rightarrow P'$

**shows**  $spec.invmap af sf vf P -s, xs \rightarrow spec.invmap af sf vf P'$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma step:**

**assumes**  $P -s, xs \rightarrow P'$

**shows**  $spec.term.none P -s, xs \rightarrow spec.term.none P'$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma step:**

**assumes**  $P -s, xs \rightarrow P'$

**shows**  $spec.term.all P -s, xs \rightarrow spec.term.all P'$

$\langle proof \rangle$

**lemma term:**

**assumes**  $spec.idle \leq P$

**shows**  $\text{spec.term.all } P -s, [] \rightarrow \text{spec.return } v$   
*<proof>*

*<ML>*

**lemma step:**

**assumes**  $P -s, xs \rightarrow P'$

**shows**  $\text{spec.kleene.star } P -s, xs \rightarrow P' \gg \text{spec.kleene.star } P$   
*<proof>*

**lemma term:**

**shows**  $\text{spec.kleene.star } P -s, [] \rightarrow \text{spec.return } ()$   
*<proof>*

*<ML>*

**lemma rel:**

**assumes**  $\text{trace.steps}' s xs \subseteq r$

**shows**  $\text{spec.rel } r -s, xs \rightarrow \text{spec.rel } r$   
*<proof>*

**lemma rel-term:**

**assumes**  $\text{trace.steps}' s xs \subseteq r$

**shows**  $\text{spec.rel } r -s, xs \rightarrow \text{spec.return } v$   
*<proof>*

**lemma step:**

**assumes**  $(a, s, s') \in r$

**shows**  $\text{spec.rel } r -s, [(a, s')] \rightarrow \text{spec.rel } r$   
*<proof>*

**lemma term:**

**shows**  $\text{spec.rel } r -s, [] \rightarrow \text{spec.return } v$   
*<proof>*

*<ML>*

## 10 “Next step” implication ala Abadi and Merz (and Lamport)

As was apparently well-known in the mid-1990s (see, e.g., [Xu, Cau, and Collette \(1994, §4\)](#) and the references therein), Heyting implication is inadequate for a general refinement story. (We show it is strong enough for a relational assume/guarantee program logic; see §9.2, §12.2 and §13.5.2. In our setting it fails to generalize (at least) because the composition theorem for Heyting implication (§9.2) is not termination sensitive.)

We therefore follow [Abadi and Lamport \(1995\)](#) by developing a stronger implication  $P \longrightarrow_+ Q$  with the intuitive semantics that the consequent  $Q$  holds for at least one step beyond the antecedent  $P$ . This is some kind of step indexing.

Here we sketch the relevant parts of [Abadi and Merz \(1995, 1996\)](#), the latter of which has a fuller story, including a formal account of the logical core of TLA and the (implicit) observation that infinitary parallel composition poses no problem for safety properties (see the comments under Theorem 5.2 and §5.5). [Abadi and Lamport \(1995\)](#); [Cau and Collette \(1996\)](#); [Xu et al. \(1994\)](#) provide further background; [Jonsson and Tsay \(1996, Appendix B\)](#) provide a more syntactic account.

Observations:

- The hypothesis  $P$  is always a safety property here
- TLA does not label transitions or have termination markers
- Abadi/Cau/Collette/Lamport/Merz/Xu/... avoid naming this operator

Further references:

- [Maier \(2001\)](#)

**definition** *next-imp* :: 'a::preorder set  $\Rightarrow$  'a set  $\Rightarrow$  'a set **where** — [Abadi and Merz \(1995, §2\)](#)  
*next-imp* P Q =  $\{\sigma. \forall \sigma' \leq \sigma. (\forall \sigma'' < \sigma'. \sigma'' \in P) \longrightarrow \sigma' \in Q\}$

$\langle ML \rangle$

**lemma** *downwards-closed*:

**assumes** P  $\in$  *downwards.closed*

**shows** *next-imp* P Q  $\in$  *downwards.closed*

$\langle proof \rangle$

**lemma** *mono*:

**assumes**  $x' \leq x$

**assumes**  $y \leq y'$

**shows** *next-imp* x y  $\leq$  *next-imp* x' y'

$\langle proof \rangle$

**lemma** *strengthen[stg]*:

**assumes** *st-ord* ( $\neg$  F) X X'

**assumes** *st-ord* F Y Y'

**shows** *st-ord* F (*next-imp* X Y) (*next-imp* X' Y')

$\langle proof \rangle$

**lemma** *minimal*:

**assumes** *trace.T* s xs v  $\in$  *next-imp* P Q

**shows** *trace.T* s [] None  $\in$  Q

$\langle proof \rangle$

**lemma** *alt-def*: — This definition coincides with [Cau and Collette \(1996\)](#), [Abadi and Lamport \(1995, §3.5.3\)](#)

**assumes** P  $\in$  *downwards.closed*

**shows** *next-imp* P Q

=  $\{\sigma. \text{trace.T } (\text{trace.init } \sigma) [] \text{None} \in Q$

$\wedge (\forall i. \text{trace.take } i \sigma \in P \longrightarrow \text{trace.take } (\text{Suc } i) \sigma \in Q)\}$  (**is** ?lhs = ?rhs)

$\langle proof \rangle$

[Abadi and Lamport \(1995, §3.5.3\)](#) assert but do not prove the following connection with Heyting implication. [Abadi and Merz \(1995\)](#) do. See also [Abadi and Merz \(1996, §5.3 and §5.5\)](#).

**lemma** *Abadi-Merz-Prop-1-subseteq*: — First half of [Abadi and Merz \(1995, Proposition 1\)](#)

**fixes** P :: 'a::preorder set

**assumes** P  $\in$  *downwards.closed*

**assumes** wf: wfP (( $<$ ) :: 'a relp)

**shows** *next-imp* P Q  $\subseteq$  *downwards.imp* (*downwards.imp* Q P) Q (**is** ?lhs  $\subseteq$  ?rhs)

$\langle proof \rangle$

The converse holds if either Q is a safety property or the order is partial.

**lemma** *Abadi-Merz-Prop1*: — [Abadi and Merz \(1995, Proposition 1\)](#) and [Abadi and Merz \(1996, Proposition 5.2\)](#)

**fixes** P :: 'a::preorder set

**assumes** P  $\in$  *downwards.closed*

**assumes** Q  $\in$  *downwards.closed*

**assumes** wf: wfP (( $<$ ) :: 'a relp)

**shows** *next-imp* P Q = *downwards.imp* (*downwards.imp* Q P) Q (**is** ?lhs = ?rhs)

$\langle proof \rangle$

**lemma** *Abadi-Lamport-Lemma6*: — [Abadi and Lamport \(1995, Lemma 6\)](#) (no proof given there)

**fixes** P :: 'a::order set

**assumes**  $P \in \text{downwards.closed}$   
**assumes**  $\text{wf}: \text{wfP} ((<) :: 'a \text{ relp})$   
**shows**  $\text{next-imp } P \ Q = \text{downwards.imp } (\text{downwards.imp } Q \ P) \ Q$  (**is**  $?lhs = ?rhs$ )  
 $\langle \text{proof} \rangle$

**lemmas**  $\text{downwards-imp} = \text{next-imp.Abadi-Lamport-Lemma6}[\text{OF} - \text{trace.wfP-less}]$

**lemma** *boolean-implication-le*:  
**assumes**  $P \in \text{downwards.closed}$   
**shows**  $\text{next-imp } P \ Q \subseteq P \longrightarrow_B Q$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lift-definition**  $\text{next-imp} :: ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec}$  (**infixr**  $\langle \longrightarrow_+ \rangle$  61) **is**  
 $\text{Next-Imp.next-imp}$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *heyting*: — fundamental  
**shows**  $P \longrightarrow_+ Q = (Q \longrightarrow_H P) \longrightarrow_H Q$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *next-imp-le-conv*:  
**fixes**  $P :: ('a, 's, 'v) \text{ spec}$   
**shows**  $\langle \sigma \rangle \leq P \longrightarrow_+ Q \longleftrightarrow (\forall \sigma' \leq \sigma. (\forall \sigma'' < \sigma'. \langle \sigma'' \rangle \leq P) \longrightarrow \langle \sigma' \rangle \leq Q)$  (**is**  $?lhs \longleftrightarrow ?rhs$ )  
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *mono*:  
**assumes**  $x' \leq x$   
**assumes**  $y \leq y'$   
**shows**  $x \longrightarrow_+ y \leq x' \longrightarrow_+ y'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen[stg]*:  
**assumes**  $\text{st-ord } (\neg F) \ X \ X'$   
**assumes**  $\text{st-ord } F \ Y \ Y'$   
**shows**  $\text{st-ord } F \ (X \longrightarrow_+ Y) \ (X' \longrightarrow_+ Y')$   
 $\langle \text{proof} \rangle$

**lemma** *idempotentR*:  
**shows**  $P \longrightarrow_+ (P \longrightarrow_+ Q) = P \longrightarrow_+ Q$   
 $\langle \text{proof} \rangle$

**lemma** *contains*:  
**assumes**  $X \leq Q$   
**shows**  $X \leq P \longrightarrow_+ Q$   
 $\langle \text{proof} \rangle$

**interpretation** *closure*: *closure-complete-lattice-class*  $(\longrightarrow_+)$   $P$  **for**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *InfR*:

**shows**  $x \longrightarrow_+ \sqcap X = \sqcap ((\longrightarrow_+) x \text{ ' } X)$   
 ⟨proof⟩

**lemma** *SupR-not-empty*:

**fixes**  $P :: (-, -, -)$  *spec*

**assumes**  $X \neq \{\}$

**shows**  $P \longrightarrow_+ (\sqcup x \in X. Q x) = (\sqcup x \in X. P \longrightarrow_+ Q x)$  (**is** *?lhs = ?rhs*)

⟨proof⟩

**lemma** *cont*:

**shows**  $\text{cont } \text{Sup} (\leq) \text{Sup} (\leq) ((\longrightarrow_+) P)$

⟨proof⟩

**lemma** *mcont*:

**shows**  $\text{mcont } \text{Sup} (\leq) \text{Sup} (\leq) ((\longrightarrow_+) P)$

⟨proof⟩

**lemmas**  $\text{mcont2mcont}[\text{cont-intro}] = \text{mcont2mcont}[\text{OF spec.next-imp.mcont, of luba orda } Q P]$  **for** *luba orda } Q P*

**lemma** *botL*:

**assumes**  $\text{spec.idle} \leq P$

**shows**  $\perp \longrightarrow_+ P = \top$

⟨proof⟩

**lemma** *topL[simp]*:

**shows**  $\top \longrightarrow_+ P = P$

⟨proof⟩

**lemmas**  $\text{topR[simp]} = \text{spec.next-imp.closure.cl-top}$

**lemma** *refl*:

**shows**  $P \longrightarrow_+ P \leq P$

⟨proof⟩

**lemma** *heyting-le*:

**shows**  $P \longrightarrow_+ Q \leq P \longrightarrow_H Q$

⟨proof⟩

**lemma** *discharge*:

**shows**  $P \sqcap (P \sqcap Q \longrightarrow_+ R) = P \sqcap (Q \longrightarrow_+ R)$  (**is** *?thesis1 P Q*)

**and**  $(P \sqcap Q \longrightarrow_+ R) \sqcap P = P \sqcap (Q \longrightarrow_+ R)$  (**is** *?thesis2*)

**and**  $Q \sqcap (P \sqcap Q \longrightarrow_+ R) = Q \sqcap (P \longrightarrow_+ R)$  (**is** *?thesis3*)

**and**  $(P \sqcap Q \longrightarrow_+ R) \sqcap Q = Q \sqcap (P \longrightarrow_+ R)$  (**is** *?thesis4*)

⟨proof⟩

**lemma** *detachment*:

**shows**  $x \sqcap (x \longrightarrow_+ y) \leq y$

**and**  $(x \longrightarrow_+ y) \sqcap x \leq y$

⟨proof⟩

**lemma** *infR*:

**shows**  $P \longrightarrow_+ Q \sqcap R = (P \longrightarrow_+ Q) \sqcap (P \longrightarrow_+ R)$

⟨proof⟩

**lemma** *supL-le*:

**shows**  $x \sqcup y \longrightarrow_+ z \leq (x \longrightarrow_+ z) \sqcup (y \longrightarrow_+ z)$

⟨proof⟩

**lemma** *heytingL*:

**shows**  $(P \longrightarrow_H Q) \sqcap (Q \longrightarrow_+ R) \leq P \longrightarrow_+ R$   
 $\langle \text{proof} \rangle$

**lemma** *heytingR*:

**shows**  $(P \longrightarrow_+ Q) \sqcap (Q \longrightarrow_H R) \leq P \longrightarrow_+ R$   
 $\langle \text{proof} \rangle$

**lemma** *heytingL-distrib*:

**shows**  $P \longrightarrow_H (Q \longrightarrow_+ R) = (P \sqcap Q) \longrightarrow_+ (P \longrightarrow_H R)$   
 $\langle \text{proof} \rangle$

**lemma** *trans*:

**shows**  $(P \longrightarrow_+ Q) \sqcap (Q \longrightarrow_+ R) \leq P \longrightarrow_+ R$   
 $\langle \text{proof} \rangle$

**lemma** *rev-trans*:

**shows**  $(Q \longrightarrow_+ R) \sqcap (P \longrightarrow_+ Q) \leq P \longrightarrow_+ R$   
 $\langle \text{proof} \rangle$

**lemma**

**assumes**  $x' \leq x$   
**shows** *discharge-leL*:  $x' \sqcap (x \longrightarrow_+ y) = x' \sqcap y$  (**is** *?thesis1*)  
**and** *discharge-leR*:  $(x \longrightarrow_+ y) \sqcap x' = y \sqcap x'$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *invmap*:

**shows**  $\text{spec.invmap } af \text{ sf vf } (P \longrightarrow_+ Q) = \text{spec.invmap } af \text{ sf vf } P \longrightarrow_+ \text{spec.invmap } af \text{ sf vf } Q$   
 $\langle \text{proof} \rangle$

**lemma** *Abadi-Lamport-Lemma7*:

**assumes**  $Q \sqcap R \leq P$   
**shows**  $P \longrightarrow_+ Q \leq R \longrightarrow_+ Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *next-imp*:

**shows**  $\text{spec.term.none } (P \longrightarrow_+ Q) \leq \text{spec.term.all } P \longrightarrow_+ \text{spec.term.none } Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *next-imp*:

**shows**  $\text{spec.term.all } (P \longrightarrow_+ Q) = \text{spec.term.all } P \longrightarrow_+ \text{spec.term.all } Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *next-imp*:

**assumes**  $Q \in \text{spec.term.closed}$  -  
**shows**  $P \longrightarrow_+ Q \in \text{spec.term.closed}$  -  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *next-imp-eq-heyting*:

**assumes**  $\text{spec.idle} \leq R$

**shows**  $Q \sqcap \text{spec.pre } P \longrightarrow_+ R = \text{spec.pre } P \longrightarrow_H (Q \longrightarrow_+ R)$  (**is** *?lhs = ?rhs*)  
**and**  $\text{spec.pre } P \sqcap Q \longrightarrow_+ R = \text{spec.pre } P \longrightarrow_H (Q \longrightarrow_+ R)$  (**is** *?thesis1*)  
 <proof>

<ML>

## 10.1 Compositionality ala Abadi and Merz (and Lamport)

The main theorem for this implication (Abadi and Merz (1995, Theorem 4) and Abadi and Merz (1996, Corollary 5.1)) shows how to do assumption/commitment proofs for TLA considered as an algebraic logic. See also Cau and Collette (1996).

<ML>

**lemma** *Abadi-Lamport-Lemma5:*

**shows**  $(\prod_{i \in I}. P \ i \longrightarrow_+ Q \ i) \leq (\prod_{i \in I}. P \ i) \longrightarrow_+ (\prod_{i \in I}. Q \ i)$   
 <proof>

**lemma** *Abadi-Merz-Prop2-1:*

**shows**  $(P \longrightarrow_+ Q) \sqcap (P \longrightarrow_+ (Q \longrightarrow_H R)) \leq P \longrightarrow_+ R$   
 <proof>

**lemma** *Abadi-Merz-Theorem3-5:*

**shows**  $P \longrightarrow_H (Q \longrightarrow_H R) \leq (R \longrightarrow_+ Q) \longrightarrow_H (P \longrightarrow_+ Q)$   
 <proof>

**theorem** *Abadi-Merz-Theorem4:*

**shows**  $(A \sqcap (\prod_{i \in I}. C \ s \ i) \longrightarrow_H (\prod_{i \in I}. A \ s \ i))$   
 $\sqcap (A \longrightarrow_+ ((\prod_{i \in I}. C \ s \ i) \longrightarrow_H C))$   
 $\sqcap (\prod_{i \in I}. A \ s \ i \longrightarrow_+ C \ s \ i)$   
 $\leq A \longrightarrow_+ C$  (**is** *?lhs ≤ ?rhs*)  
 <proof>

<ML>

## 11 Stability

The essence of rely/guarantee reasoning is that sequential assertions must be *stable* with respect to interfering transitions as expressed in a *rely* relation. Formally an assertion  $P$  is stable if it becomes no less true for each transition in the rely  $r$ . This is essentially monotonicity, or that the extension of  $P$  is  $r$ -closed.

References:

- Vafeiadis (2008, §3.1.3) has a def for stability in terms of separation logic

**definition** *stable* :: 'a rel  $\Rightarrow$  'a pred  $\Rightarrow$  bool **where**

*stable*  $r$   $P = \text{monotone } (\lambda x \ y. (x, y) \in r) (\leq) P$

<ML>

**named-theorems** *intro stability intro rules*

**lemma** *singleton-conv:*

**shows** *stable*  $\{(s, s')\} P \iff (P \ s \longrightarrow P \ s')$   
 <proof>

**lemma** *closed:*

**shows** *stable*  $r$   $P \iff r$  “ *Collect*  $P \subseteq \text{Collect } P$  ”  
 <proof>

**lemma** *rtrancl-conv*:

**shows**  $stable (r^*) = stable r$   
 $\langle proof \rangle$

**lemma** *reflcl-conv*:

**shows**  $stable (r^-) = stable r$   
 $\langle proof \rangle$

**lemma** *empty[stable.intro]*:

**shows**  $stable \{ \} P$   
 $\langle proof \rangle$

**lemma** [*stable.intro*]:

**shows**  $Id: stable Id P$   
**and**  $Id\text{-fst}: \bigwedge P. stable (Id \times_R A) (\lambda s. P (fst s))$   
**and**  $Id\text{-fst-fst-snd}: \bigwedge P. stable (Id \times_R Id \times_R A) (\lambda s. P (fst s) (fst (snd s)))$   
 $\langle proof \rangle$

**lemma** *UNIV*:

**shows**  $stable UNIV P \longleftrightarrow (\exists c. P = \langle c \rangle)$   
 $\langle proof \rangle$

**lemma** *antimono-rel*:

**shows**  $antimono (\lambda r. stable r P)$   
 $\langle proof \rangle$

**lemmas** *strengthen-rel[strg] = st-ord-antimono[OF stable.antimono-rel, unfolded le-bool-def]*

**lemma** *infI*:

**assumes**  $stable r P$   
**shows**  $infI1: stable (r \cap s) P$   
**and**  $infI2: stable (s \cap r) P$   
 $\langle proof \rangle$

**lemma** *UNION-conv*:

**shows**  $stable (\bigcup_{x \in X} r x) P \longleftrightarrow (\forall x \in X. stable (r x) P)$   
 $\langle proof \rangle$

**lemmas** *UNIONI[stable.intro] = iffD2[OF stable.UNION-conv, rule-format]*

**lemma** *Union-conv*:

**shows**  $stable (\bigcup X) P \longleftrightarrow (\forall x \in X. stable x P)$   
 $\langle proof \rangle$

**lemma** *union-conv*:

**shows**  $stable (r \cup s) P \longleftrightarrow stable r P \wedge stable s P$   
 $\langle proof \rangle$

**lemmas** *UnionI[stable.intro] = iffD2[OF stable.Union-conv, rule-format]*

**lemmas** *unionI[stable.intro] = iffD2[OF stable.union-conv, rule-format, unfolded conj-explode]*

**Properties of stable with respect to the predicate** **lemma** *const[stable.intro]*:

**shows**  $stable r \langle c \rangle$   
**and**  $stable r \perp$   
**and**  $stable r \top$   
 $\langle proof \rangle$

**lemma** *conjI*[*stable.intro*]:

**assumes** *stable r P*  
**assumes** *stable r Q*  
**shows** *stable r (P ∧ Q)*

*<proof>*

**lemma** *disjI*[*stable.intro*]:

**assumes** *stable r P*  
**assumes** *stable r Q*  
**shows** *stable r (P ∨ Q)*

*<proof>*

**lemma** *implies-constI*[*stable.intro*]:

**assumes**  $P \implies \text{stable } r \ Q$   
**shows** *stable r (λs. P → Q s)*

*<proof>*

**lemma** *allI*[*stable.intro*]:

**assumes**  $\bigwedge x. \text{stable } r \ (P \ x)$   
**shows** *stable r (∀x. P x)*

*<proof>*

**lemma** *ballI*[*stable.intro*]:

**assumes**  $\bigwedge x. x \in X \implies \text{stable } r \ (P \ x)$   
**shows** *stable r (λs. ∀x∈X. P x s)*

*<proof>*

**lemma** *stable-relprod-fstI*[*stable.intro*]:

**assumes** *stable r P*  
**shows** *stable (r ×<sub>R</sub> s) (λs. P (fst s))*

*<proof>*

**lemma** *stable-relprod-sndI*[*stable.intro*]:

**assumes** *stable s P*  
**shows** *stable (r ×<sub>R</sub> s) (λs. P (snd s))*

*<proof>*

**lemma** *local-only*: — for predicates that are insensitive to the shared state

**assumes**  $\bigwedge ls \ s' . P \ (ls, \ s) \longleftrightarrow P \ (ls, \ s')$   
**shows** *stable (Id ×<sub>R</sub> UNIV) P*

*<proof>*

**lemma** *Id-on-proj*:

**assumes**  $\bigwedge v. \text{stable } Id_f \ (\lambda s. P \ v \ s)$   
**shows** *stable Id<sub>f</sub> (λs. P (f s) s)*

*<proof>*

**lemma** *if-const-conv*:

**shows** *stable r (if c then P else Q) ↔ stable r (λs. c → P s) ∧ stable r (λs. ¬c → Q s)*

*<proof>*

**lemma** *ifI*[*stable.intro*]:

**assumes** *stable r (λs. c s → P s)*  
**assumes** *stable r (λs. ¬c s → Q s)*  
**shows** *stable r (λs. if c s then P s else Q s)*

*<proof>*

**lemma** *ifI2*[*stable.intro*]:

**assumes**  $stable\ r\ (\lambda s. c\ s \longrightarrow P\ s\ s)$   
**assumes**  $stable\ r\ (\lambda s. \neg c\ s \longrightarrow Q\ s\ s)$   
**shows**  $stable\ r\ (\lambda s. (if\ c\ s\ then\ P\ s\ else\ Q\ s)\ s)$   
 $\langle proof \rangle$

**lemma**  $case-optionI[stable.intro]$ :  
**assumes**  $stable\ r\ (\lambda s. opt\ s = None \longrightarrow none\ s)$   
**assumes**  $\bigwedge v. stable\ r\ (\lambda s. opt\ s = Some\ v \longrightarrow some\ v\ s)$   
**shows**  $stable\ r\ (\lambda s. case\ opt\ s\ of\ None \Rightarrow none\ s \mid Some\ v \Rightarrow some\ v\ s)$   
 $\langle proof \rangle$

**lemma**  $case-optionI2[stable.intro]$ :  
**assumes**  $opt = None \Longrightarrow stable\ r\ none$   
**assumes**  $\bigwedge v. opt = Some\ v \Longrightarrow stable\ r\ (some\ v)$   
**shows**  $stable\ r\ (case\ opt\ of\ None \Rightarrow none \mid Some\ v \Rightarrow some\ v)$   
 $\langle proof \rangle$

In practice the following rules are often too weak

**lemma**  $impliesI$ :  
**assumes**  $stable\ r\ (\neg P)$   
**assumes**  $stable\ r\ Q$   
**shows**  $stable\ r\ (P \longrightarrow Q)$   
 $\langle proof \rangle$

**lemma**  $exI$ :  
**assumes**  $\bigwedge x. stable\ r\ (P\ x)$   
**shows**  $stable\ r\ (\exists x. P\ x)$   
 $\langle proof \rangle$

**lemma**  $bexI$ :  
**assumes**  $\bigwedge x. x \in X \Longrightarrow stable\ r\ (P\ x)$   
**shows**  $stable\ r\ (\lambda s. \exists x \in X. P\ x\ s)$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 12 Refinement

We develop a refinement story for the  $(\prime a, \prime s, \prime v)$  *spec* lattice.

References:

- Vafeiadis (2008) (RGsep, program logic) and Liang, Feng, and Fu (2014) (RGsim, refinement)
- Armstrong et al. (2014)
- van Staden (2015)

**definition**  $refinement :: \prime s\ pred \Rightarrow (\prime a, \prime s, \prime v)\ spec \Rightarrow (\prime a, \prime s, \prime v)\ spec \Rightarrow (\prime v \Rightarrow \prime s\ pred) \Rightarrow (\prime a, \prime s, \prime v)\ spec$  ( $\langle \{\!\{-\}\!\rangle, - \Vdash -, \{\!\{-\}\!\rangle [0,0,0,0] 100$ ) **where**  
 $\{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\} = spec.pre\ P \sqcap A \longrightarrow_+ G \sqcap spec.post\ Q$

An intuitive gloss on the proposition  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$  is: assuming the precondition  $P$  holds and all steps conform to the process  $A$ , then  $c$  is a refinement of  $G$  and satisfies the postcondition  $Q$ .

Observations:

- We use  $next-imp$  here;  $(\longrightarrow_H)$  is (only) enough for an assume/guarantee program logic (see §12.2)
- $A$  is arbitrary but is intended to constrain only *env* steps

– similarly termination can depend on  $A$ : a parallel composition can only terminate if all of its constituent processes terminate

- As  $P \longrightarrow_+ Q$  implies  $idle \leq Q$ , in practice  $idle \leq G$
- see §13.4.1 for some introduction rules

$\langle ML \rangle$

**lemma  $E$ :**

**assumes**  $c \leq \{P\}$ ,  $A \Vdash G$ ,  $\{Q\}$   
**obtains**  $c \leq spec.pre\ P \sqcap A \longrightarrow_+ G$   
**and**  $c \leq spec.pre\ P \sqcap A \longrightarrow_+ spec.post\ Q$

$\langle proof \rangle$

**lemma  $pre-post-cong$ :**

**assumes**  $P = P'$   
**assumes**  $Q = Q'$   
**shows**  $\{P\}$ ,  $A \Vdash G$ ,  $\{Q\} = \{P'\}$ ,  $A \Vdash G$ ,  $\{Q'\}$

$\langle proof \rangle$

**lemma  $top$ :**

**shows**  $\{P\}$ ,  $A \Vdash \top$ ,  $\{\top\} = \top$   
**and**  $\{P\}$ ,  $A \Vdash \top$ ,  $\{\langle \top \rangle\} = \top$   
**and**  $\{P\}$ ,  $A \Vdash \top$ ,  $\{\lambda -. True\} = \top$

$\langle proof \rangle$

**lemma  $mcont2mcont[cont-intro]$ :**

**assumes**  $mcont\ luba\ orda\ Sup\ (\leq)\ G$   
**shows**  $mcont\ luba\ orda\ Sup\ (\leq)\ (\lambda x. \{P\}, A \Vdash G\ x, \{Q\})$

$\langle proof \rangle$

**lemma  $mono$ :**

**assumes**  $P' \leq P$   
**assumes**  $A' \leq A$   
**assumes**  $G \leq G'$   
**assumes**  $Q \leq Q'$   
**shows**  $\{P\}$ ,  $A \Vdash G$ ,  $\{Q\} \leq \{P'\}$ ,  $A' \Vdash G'$ ,  $\{Q'\}$

$\langle proof \rangle$

**lemma  $strengthen[strg]$ :**

**assumes**  $st-ord\ (\neg F)\ P\ P'$   
**assumes**  $st-ord\ (\neg F)\ A\ A'$   
**assumes**  $st-ord\ F\ G\ G'$   
**assumes**  $st-ord\ F\ Q\ Q'$   
**shows**  $st-ord\ F\ (\{P\}, A \Vdash G, \{Q\})\ (\{P'\}, A' \Vdash G', \{Q'\})$

$\langle proof \rangle$

**lemma  $mono-stronger$ :**

**assumes**  $P' \leq P$   
**assumes**  $spec.pre\ P' \sqcap A' \leq A$   
**assumes**  $spec.pre\ P' \sqcap G \leq A' \longrightarrow_+ G'$   
**assumes**  $Q \leq Q'$   
**assumes**  $spec.idle \leq G'$   
**shows**  $\{P\}$ ,  $A \Vdash G$ ,  $\{Q\} \leq \{P'\}$ ,  $A' \Vdash G'$ ,  $\{Q'\}$

$\langle proof \rangle$

**lemmas  $pre-ag = order.trans[OF - refinement.mono[OF order.refl - - order.refl], of c]$  for  $c$**

**lemmas** *pre-a* = *refinement.pre-ag*[*OF* - - *order.refl*]

**lemmas** *pre-g* = *refinement.pre-ag*[*OF* - *order.refl*]

**lemma** *pre*:

**assumes**  $c \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$

**assumes**  $\bigwedge s. P' s \implies P s$

**assumes**  $A' \leq A$

**assumes**  $G \leq G'$

**assumes**  $\bigwedge s v. Q s v \implies Q' s v$

**shows**  $c \leq \{\!|P'|\!\}, A' \Vdash G', \{\!|Q'|\!\}$

*<proof>*

**lemmas** *pre-pre-post* = *refinement.pre*[*OF* - - *order.refl* *order.refl*, *of c*] **for** *c*

**lemma** *pre-imp*:

**assumes**  $\bigwedge s. P s \implies P' s$

**assumes**  $c \leq \{\!|P'|\!\}, A \Vdash G, \{\!|Q|\!\}$

**shows**  $c \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$

*<proof>*

**lemmas** *pre-pre* = *refinement.pre-imp*[*rotated*]

**lemma** *post-imp*:

**assumes**  $\bigwedge v s. Q v s \implies R v s$

**assumes**  $c \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$

**shows**  $c \leq \{\!|P|\!\}, A \Vdash G, \{\!|R|\!\}$

*<proof>*

**lemmas** *pre-post* = *refinement.post-imp*[*rotated*]

**lemmas** *strengthen-post* = *refinement.pre-post*

**lemma** *pre-inf-assume*:

**shows**  $\{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\} = \{\!|P|\!\}, A \sqcap \text{spec.pre } P \Vdash G, \{\!|Q|\!\}$

*<proof>*

**lemma** *pre-assume-absorb*:

**assumes**  $A \leq \text{spec.pre } P$

**shows**  $\{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\} = \{\!|\top|\!\}, A \Vdash G, \{\!|Q|\!\}$

*<proof>*

**lemmas** *sup* = *sup-least*[**where**  $x = \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$ ] **for**  $A \ G \ P \ Q$

**lemma**

**shows** *supRL*:  $c \leq \{\!|P|\!\}, A \Vdash G_1, \{\!|Q|\!\} \implies c \leq \{\!|P|\!\}, A \Vdash G_1 \sqcup G_2, \{\!|Q|\!\}$

**and** *supRR*:  $c \leq \{\!|P|\!\}, A \Vdash G_2, \{\!|Q|\!\} \implies c \leq \{\!|P|\!\}, A \Vdash G_1 \sqcup G_2, \{\!|Q|\!\}$

*<proof>*

**lemma** *infR-conv*:

**shows**  $\{\!|P|\!\}, A \Vdash G_1 \sqcap G_2, \{\!|Q_1 \sqcap Q_2|\!\} = \{\!|P|\!\}, A \Vdash G_1, \{\!|Q_1|\!\} \sqcap \{\!|P|\!\}, A \Vdash G_2, \{\!|Q_2|\!\}$

*<proof>*

**lemma** *inf-le*:

**shows**  $X \sqcap \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\} \leq \{\!|P|\!\}, X \sqcap A \Vdash X \sqcap G, \{\!|Q|\!\}$

*<proof>*

**lemma** *heyting-le*:

**shows**  $\{\!|P|\!\}, A \sqcap B \Vdash B \longrightarrow_H G, \{\!|Q|\!\} \leq B \longrightarrow_H \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$

*<proof>*

**lemma** *heyting-pre*:

**assumes**  $\text{spec.idle} \leq G$

**shows**  $\text{spec.pre } P \longrightarrow_H \{\!\{P'\}\!\}, A \Vdash G, \{\!\{Q\}\!\} = \{\!\{P \wedge P'\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

*<proof>*

**lemma** *sort-of-refl*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**shows**  $c \leq \{\!\{P\}\!\}, A \Vdash c, \{\!\{Q\}\!\}$

*<proof>*

**lemma** *gen-asm-base*:

**assumes**  $P \implies c \leq \{\!\{P' \wedge P''\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**assumes**  $\text{spec.idle} \leq G$

**shows**  $c \leq \{\!\{P' \wedge \langle P \rangle \wedge P''\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

*<proof>*

**lemmas** *gen-asm =*

*refinement.gen-asm-base*[**where**  $P' = \langle \text{True} \rangle$  **and**  $P'' = \langle \text{True} \rangle$ , *simplified*]

*refinement.gen-asm-base*[**where**  $P' = \langle \text{True} \rangle$ , *simplified*]

*refinement.gen-asm-base*[**where**  $P'' = \langle \text{True} \rangle$ , *simplified*]

*refinement.gen-asm-base*

**lemma** *post-conj*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**assumes**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q'\}\!\}$

**shows**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{\lambda rv. Q \text{ rv} \wedge Q' \text{ rv}\}\!\}$

*<proof>*

**lemma** *conj-lift*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**assumes**  $c \leq \{\!\{P'\}\!\}, A \Vdash G, \{\!\{Q'\}\!\}$

**shows**  $c \leq \{\!\{P \wedge P'\}\!\}, A \Vdash G, \{\!\{\lambda rv. Q \text{ rv} \wedge Q' \text{ rv}\}\!\}$

*<proof>*

**lemma** *drop-imp*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**shows**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{\lambda rv. Q' \text{ rv} \longrightarrow Q \text{ rv}\}\!\}$

*<proof>*

**lemma** *prop*:

**shows**  $c \leq \{\!\{\langle P \rangle\}\!\}, A \Vdash c, \{\!\{\lambda v. \langle P \rangle\}\!\}$

*<proof>*

**lemma** *name-pre-state*:

**assumes**  $\bigwedge s. P \ s \implies c \leq \{\!\{(\text{=} s)\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$

**assumes**  $\text{spec.idle} \leq G$

**shows**  $c \leq \{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}$  (**is**  $?lhs \leq ?rhs$ )

*<proof>*

*<ML>*

## 12.1 Geenral rules for the ('a, 's, 'v) spec lattice

*<ML>*

**lemma** *refinement*:

**shows**  $\text{spec.term.all } (\{\!\{P\}\!\}, A \Vdash G, \{\!\{Q\}\!\}) = \{\!\{P\}\!\}, \text{spec.term.all } A \Vdash \text{spec.term.all } G, \{\!\{\top\}\!\}$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma refinement-le:**

**shows**  $spec.term.none (\{P\}, A \Vdash G, \{Q\}) \leq \{P\}, spec.term.all A \Vdash spec.term.all G, \{\perp\}$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma refinement:**

**fixes**  $af :: 'a \Rightarrow 'b$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $A :: ('b, 't, 'w) spec$

**fixes**  $G :: ('b, 't, 'w) spec$

**fixes**  $P :: 't pred$

**fixes**  $Q :: 'w \Rightarrow 't pred$

**shows**  $spec.invmap af sf vf (\{P\}, A \Vdash G, \{Q\})$

$= (\{\lambda s. P (sf s)\}, spec.invmap af sf vf A \Vdash spec.invmap af sf vf G, \{\lambda v s. Q (vf v) (sf s)\})$

$\langle proof \rangle$

$\langle ML \rangle$

### 12.1.1 Actions

Actions are anchored at the start of a trace, and therefore must be an initial step of the assume  $A$ . However by the semantics of  $(\longrightarrow_+)$  we may only know that that initial state of the trace is acceptable to  $A$  when showing that  $F$ -steps are  $F'$ -steps (the second assumption). This hypothesis is vacuous when  $idle \leq A$ .

$\langle ML \rangle$

**lemma action:**

**fixes**  $F :: ('v \times 'a \times 's \times 's) set$

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F; (a, s, s') \in spec.initial-steps A \rrbracket \Longrightarrow Q v s'$

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F; (a, s, s) \in spec.initial-steps A \rrbracket \Longrightarrow (v, a, s, s') \in F'$

**shows**  $spec.action F \leq \{P\}, A \Vdash spec.action F', \{Q\}$

$\langle proof \rangle$

**lemma return:**

**shows**  $spec.return v \leq \{Q v\}, A \Vdash spec.return v, \{Q\}$

$\langle proof \rangle$

**lemma action-rel:**

**fixes**  $F :: ('v \times 'a \times 's \times 's) set$

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F; (a, s, s') \in spec.initial-steps A \rrbracket \Longrightarrow Q v s'$

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F; (a, s, s) \in spec.initial-steps A; s \neq s' \rrbracket \Longrightarrow (a, s, s') \in r$

**shows**  $spec.action F \leq \{P\}, A \Vdash spec.rel r, \{Q\}$

$\langle proof \rangle$

$\langle ML \rangle$

### 12.1.2 Bind

Consider showing  $f \ggg g \leq f' \ggg g'$  under the assume  $A$  and pre/post conditions  $P/Q$ .

The tricky part is to residuate the assume  $A$  wrt the process  $f$  for use in the refinement proof of  $g$ .

- we want to preserve as much of the structure of  $A$  as possible

- intuition: we want all the ways a trace can continue in  $A$  having started with a terminating trace in  $f$
- intuitively a right residual for ( $\gg$ ) should do the job
  - however unlike [Hoare and He \(1987\)](#) we have no chance of a right residual for ( $\gg$ ) as we use traces (they use relations)
    - \* i.e., if it is not the case that  $f \gg \perp \leq A$  then there is no continuation  $h$  such that  $f \gg h \leq A$ .
    - \* also such a residual  $h$  has arbitrary behavior starting from states that  $f$  cannot reach
      - i.e., for traces  $\neg\sigma \leq f \langle\sigma\rangle \gg h \leq A$  need not hold
      - and the user-provided assertions may be too weak to correct for this
- in practice the termination information in the assume  $A$  is not useful

We therefore define an ad hoc residual that does the trick.

See [Emerson \(1983, §4\)](#) for some related concerns.

$\langle ML \rangle$

**definition**  $res :: ('a, 's, 'v) spec \Rightarrow ('a, 's, 'w) spec \Rightarrow 'v \Rightarrow ('a, 's, 'w) spec$  **where**  
 $res\ f\ A\ v = \bigsqcup \{ \langle trace.final'\ s\ xs,\ ys,\ w \rangle \mid s\ xs\ ys\ w.\ \langle s,\ xs,\ Some\ v \rangle \leq f \wedge \langle s,\ xs\ @\ ys,\ None \rangle \leq A \}$

$\langle ML \rangle$

**lemma**  $res\text{-}le\text{-}conv[spec.singleton.le\text{-}conv]$ :

**shows**  $\langle\sigma\rangle \leq refinement.spec.bind.res\ f\ A\ v$

$\longleftrightarrow (\exists\ s\ xs.\ \langle s,\ xs,\ Some\ v \rangle \leq f$   
 $\wedge trace.init\ \sigma = trace.final'\ s\ xs$   
 $\wedge \langle s,\ xs\ @\ trace.rest\ \sigma,\ None \rangle \leq A)$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $resL$ :

**shows**  $refinement.spec.bind.res\ (spec.term.none\ f)\ A\ v = \perp$

$\langle proof \rangle$

**lemma**  $resR$ :

**shows**  $refinement.spec.bind.res\ f\ (spec.term.none\ A)\ v = refinement.spec.bind.res\ f\ A\ v$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $resR\text{-}mono$ :

**shows**  $refinement.spec.bind.res\ f\ (spec.term.all\ A)\ v = refinement.spec.bind.res\ f\ A\ v$

$\langle proof \rangle$

**lemma**  $res$ :

**shows**  $spec.term.all\ (refinement.spec.bind.res\ f\ A\ v) = refinement.spec.bind.res\ f\ A\ v$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $res$ :

**shows**  $refinement.spec.bind.res\ f\ A\ v \in spec.term.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot*:

**shows** *botL*:  $\text{refinement.spec.bind.res } \perp = \perp$

**and** *botR*:  $\text{refinement.spec.bind.res } f \perp = \perp$

$\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $f \leq f'$

**assumes**  $A \leq A'$

**shows**  $\text{refinement.spec.bind.res } f A v \leq \text{refinement.spec.bind.res } f' A' v$

$\langle \text{proof} \rangle$

**lemma** *strengthen[stg]*:

**assumes** *st-ord*  $F f f'$

**assumes** *st-ord*  $F A A'$

**shows** *st-ord*  $F (\text{refinement.spec.bind.res } f A v) (\text{refinement.spec.bind.res } f' A' v)$

$\langle \text{proof} \rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes** *monotone orda*  $(\leq) f$

**assumes** *monotone orda*  $(\leq) A$

**shows** *monotone orda*  $(\leq) (\lambda x. \text{refinement.spec.bind.res } (f x) (A x) v)$

$\langle \text{proof} \rangle$

**lemma** *SupL*:

**shows**  $\text{refinement.spec.bind.res } (\bigsqcup X) A v = (\bigsqcup x \in X. \text{refinement.spec.bind.res } x A v)$

$\langle \text{proof} \rangle$

**lemma** *SupR*:

**shows**  $\text{refinement.spec.bind.res } f (\bigsqcup X) v = (\bigsqcup x \in X. \text{refinement.spec.bind.res } f x v)$

$\langle \text{proof} \rangle$

**lemma** *InfL-le*:

**shows**  $\text{refinement.spec.bind.res } (\bigsqcap X) A v \leq (\bigsqcap x \in X. \text{refinement.spec.bind.res } x A v)$

$\langle \text{proof} \rangle$

**lemma** *InfR-le*:

**shows**  $\text{refinement.spec.bind.res } f (\bigsqcap X) v \leq (\bigsqcap x \in X. \text{refinement.spec.bind.res } f x v)$

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:

**assumes** *mcont luba orda Sup*  $(\leq) f$

**assumes** *mcont luba orda Sup*  $(\leq) A$

**shows** *mcont luba orda Sup*  $(\leq) (\lambda x. \text{refinement.spec.bind.res } (f x) (A x) v)$

$\langle \text{proof} \rangle$

**lemma** *returnL*:

**assumes** *spec.idle*  $\leq A$

**shows**  $\text{refinement.spec.bind.res } (\text{spec.return } v) A v = \text{spec.term.all } A$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

**lemma** *rel-le*:

**assumes**  $r \subseteq r'$

**shows**  $\text{refinement.spec.bind.res } f (\text{spec.rel } r) v \leq \text{spec.rel } r'$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *res-le*: — we can always discard the extra structure

**shows**  $spec.steps (refinement.spec.bind.res f A v) \subseteq spec.steps A$   
 ⟨proof⟩

⟨ML⟩

A refinement rule for ( $\gg$ ). The function  $vf$  abstracts interstitial monadic return values.

⟨ML⟩

**lemma** *bind-abstract*:

**fixes**  $f :: ('a, 's, 'v) spec$

**fixes**  $f' :: ('a, 's, 'v') spec$

**fixes**  $g :: 'v \Rightarrow ('a, 's, 'w) spec$

**fixes**  $g' :: 'v' \Rightarrow ('a, 's, 'w) spec$

**fixes**  $vf :: 'v \Rightarrow 'v'$

**assumes**  $g: \bigwedge v. g v \leq \{\!| Q' (vf v) \!\}, refinement.spec.bind.res (spec.pre P \sqcap spec.term.all A \sqcap f') A (vf v) \Vdash g' (vf v), \{\!| Q \!\}$

**assumes**  $f: f \leq \{\!| P \!\}, spec.term.all A \Vdash spec.vinvmmap vf f', \{\!| \lambda v. Q' (vf v) \!\}$

**shows**  $f \gg g \leq \{\!| P \!\}, A \Vdash f' \gg g', \{\!| Q \!\}$

⟨proof⟩

**lemmas**  $bind = refinement.spec.bind-abstract[\mathbf{where} \text{ } vf=id, \text{ simplified } spec.invmmap.id, \text{ simplified}]$

### 12.1.3 Interference

**lemma** *rel-mono*:

**assumes**  $r \subseteq r'$

**assumes**  $stable (snd \text{ ' } (spec.steps A \sqcap r)) P$

**shows**  $spec.rel r \leq \{\!| P \!\}, A \Vdash spec.rel r', \{\!| \lambda -: unit. P \!\}$

⟨proof⟩

⟨ML⟩

### 12.1.4 Parallel

Our refinement rule for *Parallel* does not constrain the constituent processes in any way, unlike Abadi and Plotkin's proposed rule (see §9.2).

⟨ML⟩

**definition** — roughly the *Parallel* construction with roles reversed

$env-hyp :: ('a \Rightarrow 's \text{ pred}) \Rightarrow (sequential, 's, unit) spec \Rightarrow 'a \text{ set} \Rightarrow ('a \Rightarrow (sequential, 's, unit) spec) \Rightarrow 'a \Rightarrow (sequential, 's, unit) spec$

**where**

$env-hyp P A as Ps a =$

$spec.pre (\sqcap (P \text{ ' } as))$

$\sqcap spec.amap (toConcurrent-fn (proc a))$

$(spec.rel ((\{\!| env \!\} \cup proc \text{ ' } as) \times UNIV))$

$\sqcap (\sqcap i \in as. spec.toConcurrent i (Ps i))$

$\sqcap spec.ainvmmap toSequential-fn A)$

⟨ML⟩

**lemma** *mono*:

**assumes**  $\bigwedge a. a \in as \Longrightarrow P a \leq P' a$

**assumes**  $A \leq A'$

**assumes**  $\bigwedge a. a \in as \Longrightarrow Ps a \leq Ps' a$

**shows**  $refinement.spec.env-hyp P A as Ps a \leq refinement.spec.env-hyp P' A' as Ps' a$

⟨proof⟩

**lemma** *strengthen*[*strg*]:

**assumes**  $\bigwedge a. a \in as \implies st\text{-ord } F (P a) (P' a)$

**assumes**  $st\text{-ord } F A A'$

**assumes**  $\bigwedge a. a \in as \implies st\text{-ord } F (Ps a) (Ps' a)$

**shows**  $st\text{-ord } F (refinement.spec.env\text{-hyp } P A as Ps a) (refinement.spec.env\text{-hyp } P' A' as Ps' a)$

*<proof>*

*<ML>*

**lemma** *Parallel*:

**fixes**  $A :: (sequential, 's, unit) spec$

**fixes**  $Q :: 'a \Rightarrow 's \Rightarrow bool$

**fixes**  $Ps :: 'a \Rightarrow (sequential, 's, unit) spec$

**fixes**  $Ps' :: 'a \Rightarrow (sequential, 's, unit) spec$

**assumes**  $\bigwedge a. a \in as \implies Ps a \leq \{\!\{P a\}\!\}, refinement.spec.env\text{-hyp } P A as Ps' a \Vdash Ps' a, \{\!\{\lambda v. Q a\}\!\}$

**shows**  $spec.Parallel as Ps \leq \{\!\{\bigwedge a \in as. P a\}\!\}, A \Vdash spec.Parallel as Ps', \{\!\{\lambda v. \bigwedge a \in as. Q a\}\!\}$

*<proof>*

*<ML>*

## 12.2 A relational assume/guarantee program logic for the *(sequential, 's, 'v) spec* lattice

Here we develop an assume/guarantee story based on abstracting processes (represented as safety properties) to binary relations.

Observations:

- this can be seen as a reconstruction of the algebraic account given by [van Staden \(2015\)](#) in our setting
- we show Heyting implication suffices for relations (see *ag.refinement*)
  - the processes' agent type is required to be *sequential*
- we use predicates and not relations for pre/post assertions
  - we can use the metalanguage to do some relational reasoning; see, for example, *ag.name-pre-state*
- *Id* is the smallest significant assume and guarantee relation here; processes can always stutter any state

*<ML>*

**abbreviation** *(input) assm*  $:: 's rel \Rightarrow (sequential, 's, 'v) spec$  **where**

$assm A \equiv spec.rel (\{env\} \times A \cup \{self\} \times UNIV)$

**abbreviation** *(input) guar*  $:: 's rel \Rightarrow (sequential, 's, 'v) spec$  **where**

$guar G \equiv spec.rel (\{env\} \times UNIV \cup \{self\} \times G)$

*<ML>*

**definition** *ag*  $:: 's pred \Rightarrow 's rel \Rightarrow 's rel \Rightarrow ('v \Rightarrow 's pred) \Rightarrow (sequential, 's, 'v) spec (\langle \{\!\{-\}\!\}, - / \vdash -, \{\!\{-\}\!\} \rangle [0,0,0,0]$

*100) where*

$\{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\} = spec.pre P \sqcap ag.assm A \longrightarrow_H ag.guar G \sqcap spec.post Q$

*<ML>*

**lemma** *ag*: — Note  $af = id$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**fixes**  $A :: 't rel$

**fixes**  $G :: 't rel$

**fixes**  $P :: 't \text{ pred}$   
**fixes**  $Q :: 'w \Rightarrow 't \text{ pred}$   
**shows**  $\text{spec.invmap id sf vf } (\{P\}, A \vdash G, \{Q\}) = \{\lambda s. P (sf s)\}, \text{inv-image } (A^=) sf \vdash \text{inv-image } (G^=) sf, \{\lambda v$   
 $s. Q (vf v) (sf s)\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma refinement:**

**shows**  $\{P\}, A \vdash G, \{Q\} = \{P\}, \text{ag.assm } A \vdash \text{ag.guar } G, \{Q\}$   
 $\langle \text{proof} \rangle$

**lemma E:**

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$   
**obtains**  $c \leq \text{spec.pre } P \sqcap \text{ag.assm } A \longrightarrow_H \text{ag.guar } G$   
**and**  $c \leq \text{spec.pre } P \sqcap \text{ag.assm } A \longrightarrow_H \text{spec.post } Q$   
 $\langle \text{proof} \rangle$

**lemma pre-post-cong:**

**assumes**  $P = P'$   
**assumes**  $Q = Q'$   
**shows**  $\{P\}, A \vdash G, \{Q\} = \{P'\}, A \vdash G, \{Q'\}$   
 $\langle \text{proof} \rangle$

**lemma pre-bot:**

**shows**  $\{\perp\}, A \vdash G, \{Q\} = \top$   
**and**  $\{\langle \perp \rangle\}, A \vdash G, \{Q\} = \top$   
**and**  $\{\langle \text{False} \rangle\}, A \vdash G, \{Q\} = \top$   
 $\langle \text{proof} \rangle$

**lemma post-top:**

**shows**  $\{P\}, A \vdash UNIV, \{\top\} = \top$   
**and**  $\{P\}, A \vdash UNIV, \{\langle \top \rangle\} = \top$   
**and**  $\{P\}, A \vdash UNIV, \{\lambda -. \text{True}\} = \top$   
 $\langle \text{proof} \rangle$

**lemma mono:**

**assumes**  $P' \leq P$   
**assumes**  $A' \leq A$   
**assumes**  $G \leq G'$   
**assumes**  $Q \leq Q'$   
**shows**  $\{P\}, A \vdash G, \{Q\} \leq \{P'\}, A' \vdash G', \{Q'\}$   
 $\langle \text{proof} \rangle$

**lemma strengthen[strg]:**

**assumes**  $\text{st-ord } (\neg F) P P'$   
**assumes**  $\text{st-ord } (\neg F) A A'$   
**assumes**  $\text{st-ord } F G G'$   
**assumes**  $\text{st-ord } F Q Q'$   
**shows**  $\text{st-ord } F (\{P\}, A \vdash G, \{Q\}) (\{P'\}, A' \vdash G', \{Q'\})$   
 $\langle \text{proof} \rangle$

**lemma strengthen-pre:**

**assumes**  $\text{st-ord } (\neg F) P P'$   
**shows**  $\text{st-ord } F (\{P\}, A \vdash G, \{Q\}) (\{P'\}, A' \vdash G', \{Q'\})$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{pre-ag} = \text{order.trans}[OF - \text{ag.mono}[OF \text{ order.refl} - - \text{order.refl}], \text{of } c]$  **for**  $c$

**lemmas** *pre-a* = *ag.pre-ag*[*OF* - - *order.refl*]

**lemmas** *pre-g* = *ag.pre-ag*[*OF* - - *order.refl*]

**lemma** *pre*:

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$

**assumes**  $\bigwedge s. P' s \implies P s$

**assumes**  $A' \subseteq A$

**assumes**  $G \subseteq G'$

**assumes**  $\bigwedge v s. Q v s \implies Q' v s$

**shows**  $c \leq \{P'\}, A' \vdash G', \{Q'\}$

*<proof>*

**lemmas** *pre-pre-post* = *ag.pre*[*OF* - - *order.refl order.refl*, of *c*] **for** *c*

**lemma** *pre-imp*:

**assumes**  $\bigwedge s. P s \implies P' s$

**assumes**  $c \leq \{P'\}, A \vdash G, \{Q\}$

**shows**  $c \leq \{P\}, A \vdash G, \{Q\}$

*<proof>*

**lemmas** *pre-pre* = *ag.pre-imp*[*rotated*]

**lemma** *post-imp*:

**assumes**  $\bigwedge v s. Q v s \implies Q' v s$

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$

**shows**  $c \leq \{P\}, A \vdash G, \{Q'\}$

*<proof>*

**lemmas** *pre-post* = *ag.post-imp*[*rotated*]

**lemmas** *strengthen-post* = *ag.pre-post*

**lemmas** *reflcl-ag* = *spec.invmap.ag*[**where** *sf=id* **and** *vf=id*, *simplified spec.invmap.id*, *simplified*]

**lemma**

**shows** *reflcl-a*:  $\{P\}, A \vdash G, \{Q\} = \{P\}, A^= \vdash G, \{Q\}$

**and** *reflcl-g*:  $\{P\}, A \vdash G, \{Q\} = \{P\}, A \vdash G^=, \{Q\}$

*<proof>*

**lemma** *gen-asm-base*:

**assumes**  $P \implies c \leq \{P' \wedge P''\}, A \vdash G, \{Q\}$

**shows**  $c \leq \{P' \wedge \langle P \rangle \wedge P''\}, A \vdash G, \{Q\}$

*<proof>*

**lemmas** *gen-asm* =

*ag.gen-asm-base*[**where**  $P'=\langle True \rangle$  **and**  $P''=\langle True \rangle$ , *simplified*]

*ag.gen-asm-base*[**where**  $P'=\langle True \rangle$ , *simplified*]

*ag.gen-asm-base*[**where**  $P''=\langle True \rangle$ , *simplified*]

*ag.gen-asm-base*

**lemma** *post-conj*:

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$

**assumes**  $c \leq \{P\}, A \vdash G, \{Q'\}$

**shows**  $c \leq \{P\}, A \vdash G, \{\lambda v. Q v \wedge Q' v\}$

*<proof>*

**lemma** *pre-disj*:

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$

**assumes**  $c \leq \{P'\}, A \vdash G, \{Q\}$

**shows**  $c \leq \{\!\{P \vee P'\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
 $\langle proof \rangle$

**lemma** *drop-imp*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
**shows**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\lambda v. Q' v \longrightarrow Q v\}\!\}$   
 $\langle proof \rangle$

**lemma** *prop*:

**shows**  $c \leq \{\!\{\langle P \rangle\}\!\}, A \vdash UNIV, \{\!\{\lambda v. \langle P \rangle\}\!\}$   
 $\langle proof \rangle$

**lemma** *name-pre-state*:

**assumes**  $\bigwedge s. P s \implies c \leq \{\!\{ (= ) s \}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
**shows**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
 $\langle proof \rangle$

**lemma** *conj-lift*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
**assumes**  $c \leq \{\!\{P'\}\!\}, A \vdash G, \{\!\{Q'\}\!\}$   
**shows**  $c \leq \{\!\{P \wedge P'\}\!\}, A \vdash G, \{\!\{\lambda v. Q v \wedge Q' v\}\!\}$   
 $\langle proof \rangle$

**lemma** *disj-lift*:

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
**assumes**  $c \leq \{\!\{P'\}\!\}, A \vdash G, \{\!\{Q'\}\!\}$   
**shows**  $c \leq \{\!\{P \vee P'\}\!\}, A \vdash G, \{\!\{\lambda v. Q v \vee Q' v\}\!\}$   
 $\langle proof \rangle$

**lemma** *all-lift*:

**assumes**  $\bigwedge x. c \leq \{\!\{P x\}\!\}, A \vdash G, \{\!\{Q x\}\!\}$   
**shows**  $c \leq \{\!\{\forall x. P x\}\!\}, A \vdash G, \{\!\{\lambda v. \forall x. Q x v\}\!\}$   
 $\langle proof \rangle$

**lemma** *interference-le*:

**shows**  $spec.rel (\{env\} \times UNIV) \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\top\}\!\}$   
**and**  $spec.rel (\{env\} \times UNIV) \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\lambda -. \top\}\!\}$   
**and**  $spec.rel (\{env\} \times UNIV) \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\lambda -. True\}\!\}$   
 $\langle proof \rangle$

**lemma** *assm-heyting*:

**fixes**  $Q :: 'v \Rightarrow 's \text{ pred}$   
**shows**  $ag.assm r \longrightarrow_H \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\} = \{\!\{P\}\!\}, A \cap r \vdash G, \{\!\{Q\}\!\}$   
 $\langle proof \rangle$

**lemma** *augment-a*: — instantiate  $A'$

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$   
**shows**  $c \leq \{\!\{P\}\!\}, A \cap A' \vdash G, \{\!\{Q\}\!\}$   
 $\langle proof \rangle$

**lemma** *augment-post*: — instantiate  $Q$

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\lambda v. Q' v \wedge Q v\}\!\}$   
**shows**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q'\}\!\}$   
 $\langle proof \rangle$

**lemma** *augment-post-imp*: — instantiate  $Q$

**assumes**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\lambda v. (Q v \longrightarrow Q' v) \wedge Q v\}\!\}$   
**shows**  $c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q'\}\!\}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *ag-le*:

**shows** *spec.term.none* ( $\{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}) \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{\perp\}\!\}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemmas** *none-inteference* =

*order.trans*[*OF spec.term.none.mono*,

*OF ag.interference-le(1) ag.pre-post*[**where**  $Q'=Q$  **for**  $Q$ , *OF spec.term.none.ag-le, simplified*]]

$\langle \text{ML} \rangle$

**lemma** *bind*:

**assumes**  $g: \bigwedge v. g v \leq \{\!\{Q' v\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

**assumes**  $f: f \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q'\}\!\}$

**shows**  $f \ggg g \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

$\langle \text{proof} \rangle$

**lemma** *action*:

**fixes**  $F :: ('v \times \text{sequential} \times 's \times 's)$  *set*

**assumes**  $Q: \bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F \rrbracket \implies Q v s'$

**assumes**  $G: \bigwedge v s s'. \llbracket P s; (v, \text{self}, s, s') \in F; s \neq s' \rrbracket \implies (s, s') \in G$

**shows** *spec.action*  $F \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

$\langle \text{proof} \rangle$

**lemma** *return*:

**shows** *spec.return*  $v \leq \{\!\{Q v\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

$\langle \text{proof} \rangle$

**lemma** *Parallel-assm*:

**shows** *refinement.spec.env-hyp*  $P (ag.assm A) as (ag.guar \circ G) a \leq ag.assm (A \cup \bigcup (G \text{ ' } (as - \{a\})))$

$\langle \text{proof} \rangle$

**lemma** *Parallel-guar*:

**shows** *spec.Parallel*  $as (ag.guar \circ G) = ag.guar (\bigcup a \in as. G a)$

$\langle \text{proof} \rangle$

**lemma** *Parallel*:

**fixes**  $A :: 's \text{ rel}$

**fixes**  $G :: 'a \Rightarrow 's \text{ rel}$

**fixes**  $Q :: 'a \Rightarrow 's \Rightarrow \text{bool}$

**fixes**  $P_s :: 'a \Rightarrow (\text{sequential}, 's, \text{unit}) \text{ spec}$

**assumes** *proc-ag*:  $\bigwedge a. a \in as \implies P_s a \leq \{\!\{P a\}\!\}, A \cup (\bigcup a' \in as - \{a\}. G a') \vdash G a, \{\!\{\lambda v. Q a\}\!\}$

**shows** *spec.Parallel*  $as P_s \leq \{\!\{\bigwedge a \in as. P a\}\!\}, A \vdash \bigcup a \in as. G a, \{\!\{\lambda rv. \bigwedge a \in as. Q a\}\!\}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

### 12.2.1 Stability rules

$\langle \text{ML} \rangle$

**lemma** *stable-pre-post*:

**fixes**  $S :: ('a, 's, 'v) \text{ spec}$

**assumes**  $\text{stable } (snd \text{ ' } r) P$   
**assumes**  $\text{spec.steps } S \subseteq r$   
**shows**  $S \leq \text{spec.pre } P \longrightarrow_H \text{spec.post } \langle P \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *pre-post-stable*:

**fixes**  $P :: 's \Rightarrow \text{bool}$   
**assumes**  $\text{stable } (snd \text{ ' } r) P$   
**shows**  $\text{spec.rel } r \leq \text{spec.pre } P \longrightarrow_H \text{spec.post } \langle P \rangle$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *stable-lift*:

**assumes**  $\text{stable } (A \cup G) P'$  — anything stable over  $A \cup G$  is invariant  
**shows**  $\{\{P \wedge P'\}\}, A \vdash G, \{\{\lambda v. P' \longrightarrow Q v\}\} \leq \{\{P \wedge P'\}\}, A \vdash G, \{\{\lambda v. Q v \wedge P'\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *stable-augment-base*:

**assumes**  $c \leq \{\{P \wedge P'\}\}, A \vdash G, \{\{\lambda v. P' \longrightarrow Q v\}\}$   
**assumes**  $\text{stable } (A \cup G) P'$  — anything stable over  $A \cup G$  is invariant  
**shows**  $c \leq \{\{P \wedge P'\}\}, A \vdash G, \{\{\lambda v. Q v \wedge P'\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *stable-augment*:

**assumes**  $c \leq \{\{P'\}\}, A \vdash G, \{\{Q'\}\}$   
**assumes**  $\bigwedge v s. \llbracket P s; Q' v s \rrbracket \Longrightarrow Q v s$   
**assumes**  $\text{stable } (A \cup G) P$   
**shows**  $c \leq \{\{P' \wedge P\}\}, A \vdash G, \{\{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *stable-augment-post*:

**assumes**  $c \leq \{\{P'\}\}, A \vdash G, \{\{Q'\}\}$  — resolve before application  
**assumes**  $\bigwedge v. \text{stable } (A \cup G) (Q' v \longrightarrow Q v)$   
**shows**  $c \leq \{\{(\forall v. Q' v \longrightarrow Q v) \wedge P'\}\}, A \vdash G, \{\{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *stable-augment-frame*: — anything stable over  $A \cup G$  is invariant

**assumes**  $c \leq \{\{P\}\}, A \vdash G, \{\{Q\}\}$   
**assumes**  $\text{stable } (A \cup G) P'$   
**shows**  $c \leq \{\{P \wedge P'\}\}, A \vdash G, \{\{\lambda v. Q v \wedge P'\}\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *stable-interference*:

**assumes**  $\text{stable } (A \cap r) P$   
**shows**  $\text{spec.rel } (\{\{env\}\} \times r) \leq \{\{P\}\}, A \vdash G, \{\{\langle P \rangle\}\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *closed-ag*:

**shows**  $\{\{P\}\}, A \vdash G, \{\{Q\}\} \in \text{spec.cam.closed } (\{\{env\}\} \times r)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-ag-le*:

**assumes**  $P$ : *stable* ( $A \cap r$ )  $P$

**assumes**  $Q$ :  $\bigwedge v. \textit{stable} (A \cap r) (Q v)$

**shows** *spec.interference.cl* ( $\{\textit{env}\} \times r$ ) ( $\{\!|P|\!\}$ ,  $A \vdash G$ ,  $\{\!|Q|\!\}$ )  $\leq$   $\{\!|P|\!\}$ ,  $A \vdash G$ ,  $\{\!|Q|\!\}$

$\langle \textit{proof} \rangle$

**lemma** *closed-ag*:

**assumes**  $P$ : *stable* ( $A \cap r$ )  $P$

**assumes**  $Q$ :  $\bigwedge v. \textit{stable} (A \cap r) (Q v)$

**shows**  $\{\!|P|\!\}$ ,  $A \vdash G$ ,  $\{\!|Q|\!\} \in \textit{spec.interference.closed} (\{\textit{env}\} \times r)$

$\langle \textit{proof} \rangle$

$\langle \textit{ML} \rangle$

## 13 A programming language

The  $(\textit{'a}, \textit{'s}, \textit{'v})$  *spec* lattice of §8.2 is adequate for logic but is deficient as a programming language. In particular we wish to interpret the parallel composition as intersection (§9.5) which requires processes to contain enough interference opportunities. Similarly we want the customary “laws of programming” (Hoare, Hayes, He, Morgan, Roscoe, Sanders, Sørensen, Spivey, and Sufrin 1987a) to hold without side conditions.

These points are discussed at some length by Zwiers (1989, §3.2) and also Foster, Baxter, Cavalcanti, Woodcock, and Zeyda (2020, Lemma 6.7).

Our  $(\textit{'v}, \textit{'s})$  *prog* lattice (§13.1) therefore handles the common case of the familiar constructs for sequential programming, and we lean on our  $(\textit{'a}, \textit{'s}, \textit{'v})$  *spec* lattice for other constructions such as interleaving parallel composition (§9.5) and local state (§15). It allows arbitrary interference by the environment before and after every program action.

### 13.1 The $(\textit{'s}, \textit{'v})$ *prog* lattice

According to Müller-Olm (1997, §2.1),  $(\textit{'s}, \textit{'v})$  *prog* is a *sub-lattice* of  $(\textit{'a}, \textit{'s}, \textit{'v})$  *spec* as the corresponding ( $\sqcap$ ) and ( $\sqcup$ ) operations coincide. However it is not a *complete* sub-lattice as *Sup* in  $(\textit{'s}, \textit{'v})$  *prog* needs to account for the higher bottom of that lattice.

**typedef**  $(\textit{'s}, \textit{'v})$  *prog* = *spec.interference.closed* ( $\{\textit{env}\} \times \textit{UNIV}$ ) ::  $(\textit{sequential}, \textit{'s}, \textit{'v})$  *spec set*

**morphisms** *p2s Abs-t*

$\langle \textit{proof} \rangle$

**hide-const** (**open**) *p2s*

**setup-lifting** *type-definition-prog*

**instantiation** *prog* ::  $(\textit{type}, \textit{type})$  *complete-distrib-lattice*

**begin**

**lift-definition** *bot-prog* ::  $(\textit{'s}, \textit{'v})$  *prog* **is** *spec.interference.cl* ( $\{\textit{env}\} \times \textit{UNIV}$ )  $\perp$   $\langle \textit{proof} \rangle$

**lift-definition** *top-prog* ::  $(\textit{'s}, \textit{'v})$  *prog* **is**  $\top$   $\langle \textit{proof} \rangle$

**lift-definition** *sup-prog* ::  $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog* **is** *sup*  $\langle \textit{proof} \rangle$

**lift-definition** *inf-prog* ::  $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog* **is** *inf*  $\langle \textit{proof} \rangle$

**lift-definition** *less-eq-prog* ::  $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$  *bool* **is** *less-eq*  $\langle \textit{proof} \rangle$

**lift-definition** *less-prog* ::  $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog*  $\Rightarrow$  *bool* **is** *less*  $\langle \textit{proof} \rangle$

**lift-definition** *Inf-prog* ::  $(\textit{'s}, \textit{'v})$  *prog set*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog* **is** *Inf*  $\langle \textit{proof} \rangle$

**lift-definition** *Sup-prog* ::  $(\textit{'s}, \textit{'v})$  *prog set*  $\Rightarrow$   $(\textit{'s}, \textit{'v})$  *prog* **is**  $\lambda X. \textit{Sup} X \sqcup \textit{spec.interference.cl} (\{\textit{env}\} \times \textit{UNIV})$   
 $\perp$   $\langle \textit{proof} \rangle$

**instance**

$\langle \textit{proof} \rangle$

end

### 13.2 Morphisms to and from the (*sequential, 's, 'v*) spec lattice

We can readily convert a (*'s, 'v*) prog into a (*'a agent, 's, 'v*) spec. More interestingly, on (*'s, 'v*) prog we have a Galois connection that embeds specifications into programs. (This connection is termed a *Galois insertion* by Melton et al. (1985) as we also have *prog.s2p.p2s*; Cousot says “Galois retraction”.)

See also §13.4.2 and §13.5.1.

⟨ML⟩

**lemmas** *p2s[iff] = prog.p2s*

⟨ML⟩

**lemmas** *p2s = spec.interference.closed-conv[OF spec.interference.closed.p2s, symmetric, of P for P]*

⟨ML⟩

**lemmas** *p2s-le[spec.idle-le]*

*= spec.interference.le-closedE[OF spec.idle.interference.cl-le spec.interference.closed.p2s, of P for P]*

**lemmas** *p2s-minimal[iff] = order.trans[OF spec.idle.minimal-le spec.idle.p2s-le]*

⟨ML⟩

**lemma** *p2s-leI:*

**assumes** *prog.p2s c ≤ prog.p2s d*

**shows** *c ≤ d*

⟨proof⟩

⟨ML⟩

**named-theorems** *simps ⟨simp rules for const⟨p2s⟩⟩*

**lemmas** *bot = bot-prog.rep-eq*

**lemmas** *top = top-prog.rep-eq*

**lemmas** *inf = inf-prog.rep-eq*

**lemmas** *sup = sup-prog.rep-eq*

**lemmas** *Inf = Inf-prog.rep-eq*

**lemmas** *Sup = Sup-prog.rep-eq*

**lemma** *Sup-not-empty:*

**assumes** *X ≠ {}*

**shows** *prog.p2s (⊔ X) = ⊔ (prog.p2s ` X)*

⟨proof⟩

**lemma** *SUP-not-empty:*

**assumes** *X ≠ {}*

**shows** *prog.p2s (⊔ x∈X. f x) = (⊔ x∈X. prog.p2s (f x))*

⟨proof⟩

**lemma** *monotone:*

**shows** *mono prog.p2s*

⟨proof⟩

**lemmas** *strengthen[strg] = st-monotone[OF prog.p2s.monotone]*

**lemmas** *mono = monotoneD[OF prog.p2s.monotone]*

**lemmas** *mono2mono*[*cont-intro*, *partial-function-mono*] = *monotone2monotone*[*OF prog.p2s.monotone*, *simplified*, *of orda P for orda P*]

**lemma** *mcont*: — Morally *galois.complete-lattice.mcont-lower*  
**shows** *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) *prog.p2s*  
 ⟨*proof*⟩

**lemmas** *mcont2mcont*[*cont-intro*] = *mcont2mcont*[*OF prog.p2s.mcont*, *of luba orda P for luba orda P*]

**lemmas** *Let-distrib* = *Let-distrib*[**where** *f=prog.p2s*]

**lemmas** [*prog.p2s.simps*] =  
*prog.p2s.bot*  
*prog.p2s.top*  
*prog.p2s.inf*  
*prog.p2s.sup*  
*prog.p2s.Inf*  
*prog.p2s.Sup-not-empty*  
*spec.interference.cl.p2s*  
*prog.p2s.Let-distrib*

**lemma** *interference-wind-bind*:  
**shows** *spec.rel* ( $\{\text{env}\} \times \text{UNIV}$ )  $\gg=$  ( $\lambda::\text{unit. prog.p2s } P$ ) = *prog.p2s P*  
 ⟨*proof*⟩

⟨*ML*⟩

**definition** *s2p* :: (*sequential*, '*s*', '*v*') *spec*  $\Rightarrow$  ('*s*', '*v*') *prog where* — Morally the upper of a Galois connection  
*s2p P* =  $\bigsqcup \{c. \text{prog.p2s } c \leq P\}$

⟨*ML*⟩

**lemma** *bottom*:  
**shows** *prog.s2p*  $\perp$  =  $\perp$   
 ⟨*proof*⟩

**lemma** *top*:  
**shows** *prog.s2p*  $\top$  =  $\top$   
 ⟨*proof*⟩

**lemma** *monotone*:  
**shows** *mono prog.s2p*  
 ⟨*proof*⟩

**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF prog.s2p.monotone*]

**lemmas** *mono* = *monotoneD*[*OF prog.s2p.monotone*]

**lemmas** *mono2mono*[*cont-intro*, *partial-function-mono*] = *monotone2monotone*[*OF prog.s2p.monotone*, *simplified*]

**lemma** *p2s*:  
**shows** *prog.s2p* (*prog.p2s P*) = *P*  
 ⟨*proof*⟩

**lemma** *Sup-le*:  
**shows**  $\bigsqcup (\text{prog.s2p } 'X) \leq \text{prog.s2p } (\bigsqcup X)$   
 ⟨*proof*⟩

**lemma** *sup-le*:

**shows**  $prog.s2p\ x \sqcup prog.s2p\ y \leq prog.s2p\ (x \sqcup y)$   
 $\langle proof \rangle$

**lemma** *Inf*:

**shows**  $prog.s2p\ (\sqcap X) = \sqcap (prog.s2p\ ' X)$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *inf*:

**shows**  $prog.s2p\ (x \sqcap y) = prog.s2p\ x \sqcap prog.s2p\ y$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *galois*: — the Galois connection

**shows**  $prog.p2s\ c \leq S$   
 $\iff c \leq prog.s2p\ S \wedge spec.term.none\ (spec.rel\ (\{env\} \times UNIV) :: (-, -, unit)\ spec) \leq S$  (**is**  $?lhs \iff ?rhs$ )  
 $\langle proof \rangle$

**lemma** *le*:

**shows**  $prog.p2s\ (prog.s2p\ S) \leq spec.interference.cl\ (\{env\} \times UNIV)\ S$   
 $\langle proof \rangle$

**lemma** *insertion*:

**fixes**  $S :: (sequential, 's, 'v)\ spec$   
**assumes**  $S \in spec.interference.closed\ (\{env\} \times UNIV)$   
**shows**  $prog.p2s\ (prog.s2p\ S) = S$   
 $\langle proof \rangle$

$\langle ML \rangle$

### 13.3 Programming language constructs

We lift the combinators directly from the  $(\prime a, \prime s, \prime v)$  *spec* lattice (§8), but need to interference-close primitive actions. Control flow is expressed via HOL's *if-then-else* construct and other case combinators where the scrutinee is a pure value. This means that the atomicity of a process is completely determined by occurrences of *prog.action*.

$\langle ML \rangle$

**lift-definition**  $bind :: (\prime s, \prime v)\ prog \Rightarrow (\prime v \Rightarrow (\prime s, \prime w)\ prog) \Rightarrow (\prime s, \prime w)\ prog$  **is**  
 $spec.bind\ \langle proof \rangle$

**ad hoc-overloading**

$Monad-Syntax.bind \equiv prog.bind$

**lift-definition**  $action :: (\prime v \times \prime s \times \prime s)\ set \Rightarrow (\prime s, \prime v)\ prog$  **is**

$\lambda F. spec.interference.cl\ (\{env\} \times UNIV)\ (spec.action\ (map-prod\ id\ (Pair\ self)\ ' F))\ \langle proof \rangle$

**abbreviation**  $(input)\ det-action :: (\prime s \Rightarrow (\prime v \times \prime s)) \Rightarrow (\prime s, \prime v)\ prog$  **where**

$det-action\ f \equiv prog.action\ \{(v, s, s').\ (v, s') = f\ s\}$

**definition**  $return :: \prime v \Rightarrow (\prime s, \prime v)\ prog$  **where**

$return\ v = prog.action\ (\{v\} \times Id)$

**definition**  $guard :: \prime s\ pred \Rightarrow (\prime s, unit)\ prog$  **where**

$guard\ g \equiv prog.action\ (\{()\} \times Diag\ g)$

**abbreviation**  $(input)\ read :: (\prime s \Rightarrow \prime v) \Rightarrow (\prime s, \prime v)\ prog$  **where**

$read\ F \equiv prog.action\ \{(F\ s, s, s) \mid s.\ True\}$

**abbreviation** *(input)*  $write :: ('s \Rightarrow 's) \Rightarrow ('s, unit) prog$  **where**  
 $write F \equiv prog.action \{(\(), s, F s) \mid s. True\}$

**lift-definition**  $Parallel :: 'a set \Rightarrow ('a \Rightarrow ('s, unit) prog) \Rightarrow ('s, unit) prog$  **is** *spec.Parallel*  
 $\langle proof \rangle$

**lift-definition**  $parallel :: ('s, unit) prog \Rightarrow ('s, unit) prog \Rightarrow ('s, unit) prog$  **is** *spec.parallel*  
 $\langle proof \rangle$

**lift-definition**  $vmap :: ('v \Rightarrow 'w) \Rightarrow ('s, 'v) prog \Rightarrow ('s, 'w) prog$  **is** *spec.vmap*  
 $\langle proof \rangle$

**adhoc-overloading**  
 $Parallel \equiv prog.Parallel$

**adhoc-overloading**  
 $parallel \equiv prog.parallel$

**lemma** *return-alt-def*:  
**shows**  $prog.return v = prog.read \langle v \rangle$   
 $\langle proof \rangle$

**lemma** *parallel-alt-def*:  
**shows**  $prog.parallel P Q = prog.Parallel UNIV (\lambda a::bool. if a then P else Q)$   
 $\langle proof \rangle$

**lift-definition**  $rel :: 's rel \Rightarrow ('s, 'v) prog$  **is**  $\lambda r. spec.rel (\{env\} \times UNIV \cup \{self\} \times r)$   
 $\langle proof \rangle$

**lift-definition**  $steps :: ('s, 'v) prog \Rightarrow 's rel$  **is**  $\lambda P. spec.steps P \text{ “ } \{self\} \langle proof \rangle$

**lift-definition**  $invmap :: ('s \Rightarrow 't) \Rightarrow ('v \Rightarrow 'w) \Rightarrow ('t, 'w) prog \Rightarrow ('s, 'v) prog$  **is**  
 $spec.invmap id$   
 $\langle proof \rangle$

**abbreviation**  $sinvmap :: ('s \Rightarrow 't) \Rightarrow ('t, 'v) prog \Rightarrow ('s, 'v) prog$  **where**  
 $sinvmap sf \equiv prog.invmap sf id$

**abbreviation**  $vinvmap :: ('v \Rightarrow 'w) \Rightarrow ('s, 'w) prog \Rightarrow ('s, 'v) prog$  **where**  
 $vinvmap vf \equiv prog.invmap id vf$

**declare**  $prog.bind-def[code del]$   
**declare**  $prog.action-def[code del]$   
**declare**  $prog.return-def[code del]$   
**declare**  $prog.Parallel-def[code del]$   
**declare**  $prog.parallel-def[code del]$   
**declare**  $prog.vmap-def[code del]$   
**declare**  $prog.rel-def[code del]$   
**declare**  $prog.steps-def[code del]$   
**declare**  $prog.invmap-def[code del]$

### 13.3.1 Laws of programming

$\langle ML \rangle$

**lemma** *bind[prog.p2s.simps]*:  
**shows**  $prog.p2s (f \ggg g) = prog.p2s f \ggg (\lambda x. prog.p2s (g x))$   
 $\langle proof \rangle$

**lemmas**  $action = prog.action.rep-eq$

**lemma** *return*:

**shows**  $prog.p2s (prog.return v) = spec.interference.cl (\{env\} \times UNIV) (spec.return v)$   
 $\langle proof \rangle$

**lemma** *guard*:

**shows**  $prog.p2s (prog.guard g) = spec.interference.cl (\{env\} \times UNIV) (spec.guard g)$   
 $\langle proof \rangle$

**lemmas**  $Parallel[prog.p2s.simps] = prog.Parallel.rep-eq[simplified, of as Ps \text{ for } as Ps, unfolded comp-def]$

**lemmas**  $parallel[prog.p2s.simps] = prog.parallel.rep-eq$

**lemmas**  $invmap[prog.p2s.simps] = prog.invmap.rep-eq$

**lemmas**  $rel[prog.p2s.simps] = prog.rel.rep-eq$

$\langle ML \rangle$

**lemma** *transfer[transfer-rule]*:

**shows**  $rel-fun (=) cr-prog (\lambda v. spec.interference.cl (\{env\} \times UNIV) (spec.return v)) prog.return$   
 $\langle proof \rangle$

**lemma** *cong*:

**fixes**  $F :: ('v \times 's \times 's) \text{ set}$

**assumes**  $\bigwedge v s s'. (v, s, s') \in F \implies s' = s$

**assumes**  $\bigwedge v s s' s''. v \in fst ' F \implies (v, s, s) \in F$

**shows**  $prog.action F = (\bigsqcup (v, s, s') \in F. prog.return v)$   
 $\langle proof \rangle$

**lemma** *rel-le*:

**shows**  $prog.return v \leq prog.rel r$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *empty*:

**shows**  $prog.action \{\} = \perp$   
 $\langle proof \rangle$

**lemma** *monotone*:

**shows**  $mono (prog.action :: - \Rightarrow ('s, 'v) prog)$   
 $\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF prog.action.monotone]$

**lemmas**  $mono = monotoneD[OF prog.action.monotone]$

**lemmas**  $mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF prog.action.monotone, simplified]$

**lemma** *Sup*:

**shows**  $prog.action (\bigsqcup Fs) = (\bigsqcup F \in Fs. prog.action F)$   
 $\langle proof \rangle$

**lemmas**  $sup = prog.action.Sup[\text{where } Fs = \{F, G\} \text{ for } F G, simplified]$

**lemma** *Inf-le*:

**shows**  $prog.action (\bigcap Fs) \leq (\bigcap F \in Fs. prog.action F)$   
 $\langle proof \rangle$

**lemma** *inf-le*:

**shows**  $\text{prog.action } (F \sqcap G) \leq \text{prog.action } F \sqcap \text{prog.action } G$   
 ⟨proof⟩

**lemma** *invmap-le*: — a strict refinement

**shows**  $\text{prog.p2s } (\text{prog.action } (\text{map-prod id } (\text{map-prod sf sf}) - ' F))$   
 $\leq \text{spec.invmap sf } (\text{prog.p2s } (\text{prog.action } F))$   
 ⟨proof⟩

**lemma** *return-const*:

**fixes**  $F :: 's \text{ rel}$   
**fixes**  $V :: 'v \text{ set}$   
**fixes**  $W :: 'w \text{ set}$   
**assumes**  $V \neq \{\}$   
**assumes**  $W \neq \{\}$   
**shows**  $\text{prog.action } (V \times F) = \text{prog.action } (W \times F) \gg (\bigsqcup_{v \in V}. \text{prog.return } v)$   
 ⟨proof⟩

**lemma** *rel-le*:

**assumes**  $\bigwedge v s s'. (v, s, s') \in F \implies (s, s') \in r \vee s = s'$   
**shows**  $\text{prog.action } F \leq \text{prog.rel } r$   
 ⟨proof⟩

**lemma** *invmap-le*:

**shows**  $\text{prog.action } (\text{map-prod vf } (\text{map-prod sf sf}) - ' F) \leq \text{prog.invmap sf vf } (\text{prog.action } F)$   
 ⟨proof⟩

**lemma** *action-le*:

**shows**  $\text{spec.action } (\text{map-prod id } (\text{Pair self}) - ' F) \leq \text{prog.p2s } (\text{prog.action } F)$   
 ⟨proof⟩

⟨ML⟩

**lemmas** *if-distrL* = *if-distrib*[**where**  $f = \lambda x. x \gg g$  **for**  $g :: - \Rightarrow (-, -) \text{ prog}$ ]

**lemma** *mono*:

**assumes**  $f \leq f'$   
**assumes**  $\bigwedge x. g x \leq g' x$   
**shows**  $\text{prog.bind } f g \leq \text{prog.bind } f' g'$   
 ⟨proof⟩

**lemma** *strengthen*[*strg*]:

**assumes**  $\text{st-ord } F f f'$   
**assumes**  $\bigwedge x. \text{st-ord } F (g x) (g' x)$   
**shows**  $\text{st-ord } F (\text{prog.bind } f g) (\text{prog.bind } f' g')$   
 ⟨proof⟩

**lemma** *mono2mono*[*cont-intro*, *partial-function-mono*]:

**assumes**  $\text{monotone orda } (\leq) f$   
**assumes**  $\bigwedge x. \text{monotone orda } (\leq) (\lambda y. g y x)$   
**shows**  $\text{monotone orda } (\leq) (\lambda x. \text{prog.bind } (f x) (g x))$   
 ⟨proof⟩

The monad laws hold unconditionally in the  $(', 'v)$  *prog* lattice.

**lemma** *bind*:

**shows**  $f \gg g \gg h = \text{prog.bind } f (\lambda x. g x \gg h)$   
 ⟨proof⟩

**lemma** *return*:

**shows**  $\text{returnL}: (\gg) (\text{prog.return } v) = (\lambda g :: 'v \Rightarrow ('s, 'w) \text{ prog. } g \ v) \text{ (is ?thesis1)}$   
**and**  $\text{returnR}: f \gg \text{prog.return} = f \text{ (is ?thesis2)}$   
 $\langle \text{proof} \rangle$

**lemma** *botL*:  
**shows**  $\text{prog.bind } \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *botR-le*:  
**shows**  $\text{prog.bind } f \ \langle \perp \rangle \leq f \text{ (is ?thesis1)}$   
**and**  $\text{prog.bind } f \ \perp \leq f \text{ (is ?thesis2)}$   
 $\langle \text{proof} \rangle$

**lemma**  
**fixes**  $f :: (-, -) \text{ prog}$   
**fixes**  $f_1 :: (-, -) \text{ prog}$   
**shows**  $\text{supL}: (f_1 \sqcup f_2) \gg g = (f_1 \gg g) \sqcup (f_2 \gg g)$   
**and**  $\text{supR}: f \gg (\lambda x. g_1 \ x \sqcup g_2 \ x) = (f \gg g_1) \sqcup (f \gg g_2)$   
 $\langle \text{proof} \rangle$

**lemma** *SUPL*:  
**fixes**  $X :: - \text{ set}$   
**fixes**  $f :: - \Rightarrow (-, -) \text{ prog}$   
**shows**  $(\bigsqcup_{x \in X}. f \ x) \gg g = (\bigsqcup_{x \in X}. f \ x \gg g)$   
 $\langle \text{proof} \rangle$

**lemma** *SUPR*:  
**fixes**  $X :: - \text{ set}$   
**fixes**  $f :: (-, -) \text{ prog}$   
**shows**  $f \gg (\lambda v. \bigsqcup_{x \in X}. g \ x \ v) = (\bigsqcup_{x \in X}. f \ \gg g \ x) \sqcup (f \ \gg \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *SupR*:  
**fixes**  $X :: - \text{ set}$   
**fixes**  $f :: (-, -) \text{ prog}$   
**shows**  $f \gg (\bigsqcup X) = (\bigsqcup_{x \in X}. f \ \gg x) \sqcup (f \ \gg \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *SUPR-not-empty*:  
**fixes**  $f :: (-, -) \text{ prog}$   
**assumes**  $X \neq \{\}$   
**shows**  $f \gg (\lambda v. \bigsqcup_{x \in X}. g \ x \ v) = (\bigsqcup_{x \in X}. f \ \gg g \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:  
**assumes**  $\text{mcont } \text{luba } \text{orda } \text{Sup } (\leq) \ f$   
**assumes**  $\bigwedge v. \text{mcont } \text{luba } \text{orda } \text{Sup } (\leq) \ (\lambda x. g \ x \ v)$   
**shows**  $\text{mcont } \text{luba } \text{orda } \text{Sup } (\leq) \ (\lambda x. \text{prog.bind } (f \ x) \ (g \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *inf-rel*:  
**shows**  $\text{prog.rel } r \ \sqcap \ (f \ \gg g) = \text{prog.rel } r \ \sqcap \ f \ \gg (\lambda x. \text{prog.rel } r \ \sqcap \ g \ x)$   
**and**  $(f \ \gg g) \ \sqcap \ \text{prog.rel } r = \text{prog.rel } r \ \sqcap \ f \ \gg (\lambda x. \text{prog.rel } r \ \sqcap \ g \ x)$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bot*:

**shows**  $\text{prog.guard } \perp = \perp$   
**and**  $\text{prog.guard } \langle \text{False} \rangle = \perp$   
 $\langle \text{proof} \rangle$

**lemma top:**

**shows**  $\text{prog.guard } (\top :: 'a \text{ pred}) = \text{prog.return } ()$  (**is** *?thesis1*)  
**and**  $\text{prog.guard } (\langle \text{True} \rangle :: 'a \text{ pred}) = \text{prog.return } ()$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma return-le:**

**shows**  $\text{prog.guard } g \leq \text{prog.return } ()$   
 $\langle \text{proof} \rangle$

**lemma monotone:**

**shows**  $\text{mono } (\text{prog.guard} :: 's \text{ pred} \Rightarrow -)$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[strg] = \text{st-monotone}[OF \text{ prog.guard.monotone}]$

**lemmas**  $\text{mono} = \text{monotoneD}[OF \text{ prog.guard.monotone}]$

**lemmas**  $\text{mono2mono}[\text{cont-intro}, \text{partial-function-mono}] = \text{monotone2monotone}[OF \text{ prog.guard.monotone}, \text{simplified}]$

**lemma less:** — Non-triviality

**assumes**  $g < g'$   
**shows**  $\text{prog.guard } g < \text{prog.guard } g'$   
 $\langle \text{proof} \rangle$

**lemma if:**

**shows**  $(\text{if } b \text{ then } t \text{ else } e) = (\text{prog.guard } \langle b \rangle \gg t) \sqcup (\text{prog.guard } \langle \neg b \rangle \gg e)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma bot:**

**assumes**  $\bigwedge a. a \in bs \implies Ps a = \perp$   
**assumes**  $as \cap bs \neq \{\}$   
**shows**  $\text{prog.Parallel } as Ps = \text{prog.Parallel } (as - bs) Ps \gg \perp$   
 $\langle \text{proof} \rangle$

**lemma mono:**

**assumes**  $\bigwedge a. a \in as \implies Ps a \leq Ps' a$   
**shows**  $\text{prog.Parallel } as Ps \leq \text{prog.Parallel } as Ps'$   
 $\langle \text{proof} \rangle$

**lemma strengthen-Parallel[*strg*]:**

**assumes**  $\bigwedge a. a \in as \implies \text{st-ord } F (Ps a) (Ps' a)$   
**shows**  $\text{st-ord } F (\text{prog.Parallel } as Ps) (\text{prog.Parallel } as Ps')$   
 $\langle \text{proof} \rangle$

**lemma mono2mono[*cont-intro*, *partial-function-mono*]:**

**assumes**  $\bigwedge a. a \in as \implies \text{monotone } \text{orda } (\leq) (F a)$   
**shows**  $\text{monotone } \text{orda } (\leq) (\lambda f. \text{prog.Parallel } as (\lambda a. F a f))$   
 $\langle \text{proof} \rangle$

**lemma cong:**

**assumes**  $as = as'$   
**assumes**  $\bigwedge a. a \in as' \implies Ps a = Ps' a$   
**shows**  $\text{prog.Parallel } as Ps = \text{prog.Parallel } as' Ps'$

$\langle \text{proof} \rangle$

**lemma** *no-agents*:

**shows**  $\text{prog.Parallel } \{\} \ Ps = \text{prog.return } ()$

$\langle \text{proof} \rangle$

**lemma** *singleton-agents*:

**shows**  $\text{prog.Parallel } \{a\} \ Ps = Ps \ a$

$\langle \text{proof} \rangle$

**lemma** *rename-UNIV*:

**assumes** *inj-on f as*

**shows**  $\text{prog.Parallel } as \ Ps$

$= \text{prog.Parallel } UNIV \ (\lambda b. \text{if } b \in f \text{ ' } as \text{ then } Ps \ (\text{inv-into } as \ f \ b) \text{ else } \text{prog.return } ())$

$\langle \text{proof} \rangle$

**lemmas** *rename = spec.Parallel.rename[transferred]*

**lemma** *return*:

**assumes**  $\bigwedge a. a \in bs \implies Ps \ a = \text{prog.return } ()$

**shows**  $\text{prog.Parallel } as \ Ps = \text{prog.Parallel } (as - bs) \ Ps$

$\langle \text{proof} \rangle$

**lemma** *unwind*:

**assumes**  $a: f \ggg g \leq Ps \ a$  — The selected process starts with action *f*

**assumes**  $a \in as$

**shows**  $f \ggg (\lambda v. \text{prog.Parallel } as \ (Ps(a:=g \ v))) \leq \text{prog.Parallel } as \ Ps$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas** *commute = spec.parallel.commute[transferred]*

**lemmas** *assoc = spec.parallel.assoc[transferred]*

**lemmas** *mono = spec.parallel.mono[transferred]*

**lemma** *strengthen[strg]*:

**assumes** *st-ord F P P'*

**assumes** *st-ord F Q Q'*

**shows** *st-ord F (prog.parallel P Q) (prog.parallel P' Q')*

$\langle \text{proof} \rangle$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes** *monotone orda ( $\leq$ ) F*

**assumes** *monotone orda ( $\leq$ ) G*

**shows** *monotone orda ( $\leq$ ) ( $\lambda f. \text{prog.parallel } (F \ f) \ (G \ f)$ )*

$\langle \text{proof} \rangle$

**lemma** *bot*:

**shows** *botL: prog.parallel  $\perp$  P = P  $\ggg$   $\perp$  (is ?thesis1)*

**and** *botR: prog.parallel P  $\perp$  = P  $\ggg$   $\perp$  (is ?thesis2)*

$\langle \text{proof} \rangle$

**lemma** *return*:

**shows** *returnL: prog.return ()  $\parallel$  P = P (is ?thesis1)*

**and** *returnR: P  $\parallel$  prog.return () = P (is ?thesis2)*

$\langle \text{proof} \rangle$

**lemma** *Sup-not-empty*:

**fixes**  $X :: (-, \text{unit}) \text{ prog set}$   
**assumes**  $X \neq \{\}$   
**shows** *SupL-not-empty*:  $\sqcup X \parallel Q = (\sqcup P \in X. P \parallel Q)$  (**is** *?thesis1*  $Q$ )  
**and** *SupR-not-empty*:  $P \parallel \sqcup X = (\sqcup Q \in X. P \parallel Q)$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *sup*:  
**fixes**  $P :: (-, \text{unit}) \text{ prog}$   
**shows** *supL*:  $P \sqcup Q \parallel R = (P \parallel R) \sqcup (Q \parallel R)$   
**and** *supR*:  $P \parallel Q \sqcup R = (P \parallel Q) \sqcup (P \parallel R)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:  
**assumes** *mcont luba orda Sup*  $(\leq) P$   
**assumes** *mcont luba orda Sup*  $(\leq) Q$   
**shows** *mcont luba orda Sup*  $(\leq) (\lambda x. \text{prog.parallel } (P \ x) \ (Q \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *unwindL*:  
**fixes**  $f :: ('s, 'v) \text{ prog}$   
**assumes**  $a: f \ggg g \leq P$  — The selected process starts with action  $f$   
**shows**  $f \ggg (\lambda v. g \ v \parallel Q) \leq P \parallel Q$   
 $\langle \text{proof} \rangle$

**lemma** *unwindR*:  
**fixes**  $f :: ('s, 'v) \text{ prog}$   
**assumes**  $a: f \ggg g \leq Q$  — The selected process starts with action  $f$   
**shows**  $f \ggg (\lambda v. P \parallel g \ v) \leq P \parallel Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *parallel-le*:  
**fixes**  $P :: (-, -) \text{ prog}$   
**shows**  $P \ggg Q \leq P \parallel Q$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bot*:  
**shows**  $\text{prog.invmap } sf \ vf \ \perp = (\text{prog.rel } (\text{map-prod } sf \ sf \ -' \text{Id}) :: (-, \text{unit}) \text{ prog}) \ggg \perp$   
 $\langle \text{proof} \rangle$

**lemma** *id*:  
**shows**  $\text{prog.invmap } id \ id \ P = P$   
**and**  $\text{prog.invmap } (\lambda x. x) \ (\lambda x. x) \ P = P$   
 $\langle \text{proof} \rangle$

**lemma** *comp*:  
**shows**  $\text{prog.invmap } sf \ vf \ (\text{prog.invmap } sg \ vg \ P) = \text{prog.invmap } (\lambda s. sg \ (sf \ s)) \ (\lambda s. vg \ (vf \ s)) \ P$  (**is** *?thesis1*  $P$ )  
**and**  $\text{prog.invmap } sf \ vf \ \circ \ \text{prog.invmap } sg \ vg = \text{prog.invmap } (sg \ \circ \ sf) \ (vg \ \circ \ vf)$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *monotone*:  
**shows** *mono*  $(\text{prog.invmap } sf \ vf)$   
 $\langle \text{proof} \rangle$

**lemmas** *strengthen[strg]* = *st-monotone[OF prog.invmap.monotone]*

**lemmas** *mono* = *monotoneD*[*OF prog.invmap.monotone*]

**lemma** *mono2mono*[*cont-intro, partial-function-mono*]:  
  **assumes** *monotone orda* ( $\leq$ ) *t*  
  **shows** *monotone orda* ( $\leq$ ) ( $\lambda x. \text{prog.invmap } sf \text{ } vf \text{ } (t \ x)$ )  
<proof>

**lemma** *Sup*:  
  **fixes** *sf* :: 's  $\Rightarrow$  't  
  **fixes** *vf* :: 'v  $\Rightarrow$  'w  
  **shows** *prog.invmap sf vf* ( $\sqcup X$ ) =  $\sqcup$ (*prog.invmap sf vf* ' *X*)  $\sqcup$  *prog.invmap sf vf*  $\perp$   
<proof>

**lemma** *Sup-not-empty*:  
  **assumes** *X*  $\neq$  {}  
  **shows** *prog.invmap sf vf* ( $\sqcup X$ ) =  $\sqcup$ (*prog.invmap sf vf* ' *X*)  
<proof>

**lemma** *mcont*:  
  **shows** *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) (*prog.invmap sf vf*)  
<proof>

**lemmas** *mcont2mcont*[*cont-intro*] = *mcont2mcont*[*OF prog.invmap.mcont, of luba orda P for luba orda P*]

**lemma** *bind*:  
  **shows** *prog.invmap sf vf* (*f*  $\gg$  *g*) = *prog.sinvmap sf f*  $\gg$  ( $\lambda v. \text{prog.invmap } sf \text{ } vf \text{ } (g \ v)$ )  
<proof>

**lemma** *parallel*:  
  **shows** *prog.invmap sf vf* (*P*  $\parallel$  *Q*) = *prog.invmap sf vf P*  $\parallel$  *prog.invmap sf vf Q*  
<proof>

**lemma** *invmap-image-vimage-commute*:  
  **shows** *map-prod id* (*map-prod id sf*) - ' *map-prod id* (*Pair self*) ' *F*  
  = *map-prod id* (*Pair self*) ' *map-prod id sf* - ' *F*  
<proof>

**lemma** *action*:  
  **shows** *prog.invmap sf vf* (*prog.action F*)  
  = *prog.rel* (*map-prod sf sf* - ' *Id*)  
   $\gg$  ( $\lambda :: \text{unit. prog.action } (\text{map-prod id } (\text{map-prod sf sf}) - ' F)$ )  
   $\gg$  ( $\lambda v. \text{prog.rel } (\text{map-prod sf sf} - ' \text{Id})$ )  
   $\gg$  ( $\lambda :: \text{unit. } \sqcup v' \in vf - ' \{v\}. \text{prog.return } v'$ )  
<proof>

<ML>

**lemma** *bot*:  
  **shows** *prog.vmap vf*  $\perp$  =  $\perp$   
<proof>

**lemma** *unitL*:  
  **shows** *f*  $\gg$  *g* = *prog.vmap* <()> *f*  $\gg$  *g*  
<proof>

**lemma** *eq-return*:  
  **shows** *prog.vmap vf P* = *P*  $\gg$  *prog.return*  $\circ$  *vf* (**is** ?thesis1)  
  **and** *prog.vmap vf P* = *P*  $\gg$  ( $\lambda v. \text{prog.return } (vf \ v)$ ) (**is** ?thesis2)

$\langle proof \rangle$

**lemma** *action*:

**shows**  $prog.vmap\ vf\ (prog.action\ F) = prog.action\ (map\ prod\ vf\ id\ 'F)$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $prog.vmap\ vf\ (prog.return\ v) = prog.return\ (vf\ v)$

$\langle proof \rangle$

$\langle ML \rangle$

**interpretation** *kleene*:  $kleene\ prog.return\ ()\ \lambda x\ y.\ prog.bind\ x\ \langle y \rangle$

$\langle proof \rangle$

**interpretation** *rel*:  $galois.complete.lattice.class\ prog.steps\ prog.rel$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *empty*:

**shows**  $prog.rel\ \{\} = \bigsqcup\ range\ prog.return$

$\langle proof \rangle$

**lemmas** *monotone* =  $prog.rel.monotone-upper$

**lemmas** *strengthen*[*strg*] =  $st-monotone[OF\ prog.rel.monotone]$

**lemmas** *mono* =  $monotoneD[OF\ prog.rel.monotone]$

**lemmas** *Inf* =  $prog.rel.upper-Inf$

**lemmas** *inf* =  $prog.rel.upper-inf$

**lemma** *reflcl*:

**shows**  $prog.rel\ (r \cup Id) = (prog.rel\ r :: ('s, 'v)\ prog)\ (\mathbf{is}\ ?thesis1)$

**and**  $prog.rel\ (Id \cup r) = (prog.rel\ r :: ('s, 'v)\ prog)\ (\mathbf{is}\ ?thesis2)$

$\langle proof \rangle$

**lemma** *minus-Id*:

**shows**  $prog.rel\ (r - Id) = prog.rel\ r$

$\langle proof \rangle$

**lemma** *Id*:

**shows**  $prog.rel\ Id = \bigsqcup\ range\ prog.return$

$\langle proof \rangle$

**lemma** *unfoldL*:

**fixes**  $r :: 's\ rel$

**assumes**  $Id \subseteq r$

**shows**  $prog.rel\ r = prog.action\ (\{\()\} \times r) \gg prog.rel\ r$

$\langle proof \rangle$

**lemma** *wind-bind*: — arbitrary interstitial return type

**shows**  $prog.rel\ r \gg prog.rel\ r = prog.rel\ r$

$\langle proof \rangle$

**lemma** *wind-bind-leading*: — arbitrary interstitial return type

**assumes**  $r' \subseteq r$

**shows**  $prog.rel\ r' \gg prog.rel\ r = prog.rel\ r$

$\langle proof \rangle$

**lemma** *wind-bind-trailing*: — arbitrary interstitial return type

**assumes**  $r' \subseteq r$

**shows**  $\text{prog.rel } r \gg \text{prog.rel } r' = \text{prog.rel } r$  (**is**  $?lhs = ?rhs$ )

*<proof>*

Interstitial unit, for unfolding

**lemmas** *unwind-bind* =  $\text{prog.rel.wind-bind}$ [**where**  $'c=\text{unit}$ , *symmetric*]

**lemmas** *unwind-bind-leading* =  $\text{prog.rel.wind-bind-leading}$ [**where**  $'c=\text{unit}$ , *symmetric*]

**lemmas** *unwind-bind-trailing* =  $\text{prog.rel.wind-bind-trailing}$ [**where**  $'c=\text{unit}$ , *symmetric*]

**lemma** *mono-conv*:

**shows**  $\text{prog.rel } r = \text{prog.kleene.star } (\text{prog.action } (\{\()\} \times r^=)$  (**is**  $?lhs = ?rhs$ )

*<proof>*

*<ML>*

**lemma** *inf-rel*:

**assumes** *refl*  $r$

**shows**  $\text{prog.action } F \sqcap \text{prog.rel } r = \text{prog.action } (F \cap \text{UNIV} \times r)$  (**is**  $?thesis1$ )

**and**  $\text{prog.rel } r \sqcap \text{prog.action } F = \text{prog.action } (F \cap \text{UNIV} \times r)$  (**is**  $?thesis2$ )

*<proof>*

**lemma** *inf-rel-reflcl*:

**shows**  $\text{prog.action } F \sqcap \text{prog.rel } r = \text{prog.action } (F \cap \text{UNIV} \times r^=)$

**and**  $\text{prog.rel } r \sqcap \text{prog.action } F = \text{prog.action } (F \cap \text{UNIV} \times r^=)$

*<proof>*

*<ML>*

**lemma** *not-bot*:

**shows**  $\text{prog.return } v \neq (\perp :: ('s, 'v) \text{ prog})$

*<proof>*

*<ML>*

**lemma** *return*:

**shows**  $\text{prog.invmap } sf \ vf \ (\text{prog.return } v)$

$= \text{prog.rel } (\text{map-prod } sf \ sf \ -' \ \text{Id}) \gg (\lambda :: \text{unit}. \sqcup v' \in vf \ -' \ \{v\}. \text{prog.return } v')$

*<proof>*

**lemma** *split-invmap*:

**fixes**  $P :: ('s, 'v) \text{ prog}$

**shows**  $\text{prog.invmap } sf \ vf \ P = \text{prog.sinvmap } sf \ P \gg (\lambda v. \sqcup v' \in vf \ -' \ \{v\}. \text{prog.return } v')$

*<proof>*

*<ML>*

## 13.4 Refinement for $('s, 'v) \text{ prog}$

We specialize the rules of §12.1 to the  $('s, 'v) \text{ prog}$  lattice. Observe that, as preconditions, postconditions and assumes are not interference closed, we apply the *prog.p2s* morphism and work in the more capacious (*sequential*,  $'s, 'v$ ) *spec* lattice. This syntactic noise could be elided with another definition.

### 13.4.1 Introduction rules

Refinement is a way of showing inequalities and equalities between programs.

*<ML>*

**lemma** *leI*:

**assumes**  $\text{prog.p2s } c \leq \{\langle \text{True} \rangle\}, \top \Vdash \text{prog.p2s } d, \{\lambda\cdot. \langle \text{True} \rangle\}$   
**shows**  $c \leq d$   
*<proof>*

**lemma** *eqI*:

**assumes**  $\text{prog.p2s } c \leq \{\langle \text{True} \rangle\}, \top \Vdash \text{prog.p2s } d, \{\lambda\cdot. \langle \text{True} \rangle\}$   
**assumes**  $\text{prog.p2s } d \leq \{\langle \text{True} \rangle\}, \top \Vdash \text{prog.p2s } c, \{\lambda\cdot. \langle \text{True} \rangle\}$   
**shows**  $c = d$   
*<proof>*

*<ML>*

### 13.4.2 Galois considerations

Refinement quadruples  $\{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$  denote points in the (*'s, 'v*) *prog* lattice provided  $G$  is suitably interference closed.

*<ML>*

**lemma** *galois*:

**assumes**  $\text{spec.term.none } (\text{spec.rel } (\{\text{env}\} \times \text{UNIV}) :: (-, -, \text{unit}) \text{spec}) \leq G$   
**shows**  $\text{prog.p2s } c \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\} \iff c \leq \text{prog.s2p } (\{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\})$   
*<proof>*

**lemmas** *s2p-refinement = iffD1[OF refinement.prog.galois, rotated]*

**lemma** *p2s-s2p*:

**assumes**  $\text{spec.term.none } (\text{spec.rel } (\{\text{env}\} \times \text{UNIV}) :: (-, -, \text{unit}) \text{spec}) \leq G$   
**shows**  $\text{prog.p2s } (\text{prog.s2p } (\{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\})) \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$   
*<proof>*

*<ML>*

### 13.4.3 Rules

*<ML>*

**lemma** *bot[iff]*:

**shows**  $\text{prog.p2s } \perp \leq \{\!|P|\!\}, A \Vdash \text{prog.p2s } c', \{\!|Q|\!\}$   
*<proof>*

**lemma** *sup-conv*:

**shows**  $\text{prog.p2s } (c_1 \sqcup c_2) \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$   
 $\iff \text{prog.p2s } c_1 \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\} \wedge \text{prog.p2s } c_2 \leq \{\!|P|\!\}, A \Vdash G, \{\!|Q|\!\}$   
*<proof>*

**lemmas** *sup = iffD2[OF refinement.prog.sup-conv, unfolded conj-explode]*

**lemma** *if*:

**assumes**  $i \implies \text{prog.p2s } t \leq \{\!|P|\!\}, A \Vdash \text{prog.p2s } t', \{\!|Q|\!\}$   
**assumes**  $\neg i \implies \text{prog.p2s } e \leq \{\!|P'|\!\}, A \Vdash \text{prog.p2s } e', \{\!|Q|\!\}$   
**shows**  $\text{prog.p2s } (\text{if } i \text{ then } t \text{ else } e) \leq \{\!|\text{if } i \text{ then } P \text{ else } P'|\!\}, A \Vdash \text{prog.p2s } (\text{if } i \text{ then } t' \text{ else } e'), \{\!|Q|\!\}$   
*<proof>*

**lemmas** *if' = refinement.prog.if[where P=P and P'=P, simplified] for P*

**lemma** *case-option*:

**assumes**  $opt = None \implies prog.p2s\ none \leq \{P_n\}, A \Vdash prog.p2s\ none', \{Q\}$   
**assumes**  $\bigwedge v. opt = Some\ v \implies prog.p2s\ (some\ v) \leq \{P_s\ v\}, A \Vdash prog.p2s\ (some'\ v), \{Q\}$   
**shows**  $prog.p2s\ (case-option\ none\ some\ opt) \leq \{case\ opt\ of\ None \Rightarrow P_n \mid Some\ v \Rightarrow P_s\ v\}, A \Vdash prog.p2s\ (case-option\ none'\ some'\ opt), \{Q\}$   
 <proof>

**lemma case-sum:**

**assumes**  $\bigwedge v. x = Inl\ v \implies prog.p2s\ (left\ v) \leq \{P_l\ v\}, A \Vdash prog.p2s\ (left'\ v), \{Q\}$   
**assumes**  $\bigwedge v. x = Inr\ v \implies prog.p2s\ (right\ v) \leq \{P_r\ v\}, A \Vdash prog.p2s\ (right'\ v), \{Q\}$   
**shows**  $prog.p2s\ (case-sum\ left\ right\ x) \leq \{case-sum\ P_l\ P_r\ x\}, A \Vdash prog.p2s\ (case-sum\ left'\ right'\ x), \{Q\}$   
 <proof>

**lemma case-list:**

**assumes**  $x = [] \implies prog.p2s\ nil \leq \{P_n\}, A \Vdash prog.p2s\ nil', \{Q\}$   
**assumes**  $\bigwedge v\ vs. x = v \# vs \implies prog.p2s\ (cons\ v\ vs) \leq \{P_c\ v\ vs\}, A \Vdash prog.p2s\ (cons'\ v\ vs), \{Q\}$   
**shows**  $prog.p2s\ (case-list\ nil\ cons\ x) \leq \{case-list\ P_n\ P_c\ x\}, A \Vdash prog.p2s\ (case-list\ nil'\ cons'\ x), \{Q\}$   
 <proof>

**lemma action:**

**fixes**  $F :: ('v \times 's \times 's)\ set$   
**assumes**  $\bigwedge v\ s\ s'. \llbracket P\ s; (v, s, s') \in F; (self, s, s') \in spec.steps\ A \vee s = s' \rrbracket \implies Q\ v\ s'$   
**assumes**  $\bigwedge v\ s\ s'. \llbracket P\ s; (v, s, s') \in F \rrbracket \implies (v, s, s') \in F'$   
**assumes**  $sP: stable\ (spec.steps\ A\ \{\{env\}\})\ P$   
**assumes**  $\bigwedge v\ s\ s'. \llbracket P\ s; (v, s, s') \in F \rrbracket \implies stable\ (spec.steps\ A\ \{\{env\}\})\ (Q\ v)$   
**shows**  $prog.p2s\ (prog.action\ F) \leq \{P\}, A \Vdash prog.p2s\ (prog.action\ F'), \{Q\}$   
 <proof>

**lemma return:**

**assumes**  $sQ: stable\ (spec.steps\ A\ \{\{env\}\})\ (Q\ v)$   
**shows**  $prog.p2s\ (prog.return\ v) \leq \{Q\ v\}, A \Vdash prog.p2s\ (prog.return\ v), \{Q\}$   
 <proof>

**lemma invmap-return:**

**assumes**  $sQ: stable\ (spec.steps\ A\ \{\{env\}\})\ (Q\ v)$   
**assumes**  $vf\ v = v'$   
**shows**  $prog.p2s\ (prog.return\ v) \leq \{Q\ v\}, A \Vdash prog.p2s\ (prog.invmap\ sf\ vf\ (prog.return\ v')), \{Q\}$   
 <proof>

**lemma bind-abstract:**

**fixes**  $f :: ('s, 'v)\ prog$   
**fixes**  $f' :: ('s, 'v')\ prog$   
**fixes**  $g :: 'v \Rightarrow ('s, 'w)\ prog$   
**fixes**  $g' :: 'v' \Rightarrow ('s, 'w)\ prog$   
**fixes**  $vf :: 'v \Rightarrow 'v'$   
**assumes**  $\bigwedge v. prog.p2s\ (g\ v) \leq \{Q'\ (vf\ v)\}, refinement.spec.bind.res\ (spec.pre\ P \sqcap spec.term.all\ A \sqcap prog.p2s\ f')\ A\ (vf\ v) \Vdash prog.p2s\ (g'\ (vf\ v)), \{Q\}$   
**assumes**  $prog.p2s\ f \leq \{P\}, spec.term.all\ A \Vdash spec.vinvmmap\ vf\ (prog.p2s\ f'), \{\lambda v. Q'\ (vf\ v)\}$   
**shows**  $prog.p2s\ (f \ggg g) \leq \{P\}, A \Vdash prog.p2s\ (f' \ggg g'), \{Q\}$   
 <proof>

**lemma bind:**

**assumes**  $\bigwedge v. prog.p2s\ (g\ v) \leq \{Q'\ v\}, refinement.spec.bind.res\ (spec.pre\ P \sqcap spec.term.all\ A \sqcap prog.p2s\ f')\ A\ v \Vdash prog.p2s\ (g'\ v), \{Q\}$   
**assumes**  $prog.p2s\ f \leq \{P\}, spec.term.all\ A \Vdash prog.p2s\ f', \{Q'\}$   
**shows**  $prog.p2s\ (f \ggg g) \leq \{P\}, A \Vdash prog.p2s\ (f' \ggg g'), \{Q\}$   
 <proof>

**lemmas rev-bind = refinement.prog.bind[rotated]**

**lemma** *Parallel*:

**fixes**  $A :: (\text{sequential}, 's, \text{unit}) \text{ spec}$

**fixes**  $Q :: 'a \Rightarrow 's \text{ pred}$

**fixes**  $P_s :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$

**fixes**  $P_s' :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$

**assumes**  $\bigwedge a. a \in as \implies \text{prog.p2s } (P_s a) \leq \{\!\{P a\}\!\}, \text{refinement.spec.env-hyp } P A as (\text{prog.p2s } \circ P_s') a \Vdash \text{prog.p2s } (P_s' a), \{\!\{\lambda rv. Q a\}\!\}$

**shows**  $\text{prog.p2s } (\text{prog.Parallel } as P_s) \leq \{\!\{\bigwedge a \in as. P a\}\!\}, A \Vdash \text{prog.p2s } (\text{prog.Parallel } as P_s'), \{\!\{\lambda rv. \bigwedge a \in as. Q a\}\!\}$

$\langle \text{proof} \rangle$

**lemma** *parallel*:

**assumes**  $\text{prog.p2s } c_1 \leq \{\!\{P_1\}\!\}, \text{refinement.spec.env-hyp } (\lambda a. \text{if } a \text{ then } P_1 \text{ else } P_2) A \text{ UNIV } (\lambda a. \text{if } a \text{ then } \text{prog.p2s } c_1' \text{ else } \text{prog.p2s } c_2') \text{ True } \Vdash \text{prog.p2s } c_1', \{\!\{Q_1\}\!\}$

**assumes**  $\text{prog.p2s } c_2 \leq \{\!\{P_2\}\!\}, \text{refinement.spec.env-hyp } (\lambda a. \text{if } a \text{ then } P_1 \text{ else } P_2) A \text{ UNIV } (\lambda a. \text{if } a \text{ then } \text{prog.p2s } c_1' \text{ else } \text{prog.p2s } c_2') \text{ False } \Vdash \text{prog.p2s } c_2', \{\!\{Q_2\}\!\}$

**shows**  $\text{prog.p2s } (\text{prog.parallel } c_1 c_2) \leq \{\!\{P_1 \wedge P_2\}\!\}, A \Vdash \text{prog.p2s } (\text{prog.parallel } c_1' c_2'), \{\!\{\lambda v. Q_1 v \wedge Q_2 v\}\!\}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

### 13.5 A relational assume/guarantee program logic for the $(s, v)$ prog lattice

Similarly we specialize the assume/guarantee program logic of §12.2 to  $(s, v)$  prog.

References:

- de Roever, de Boer, Hannemann, Hooman, Lakhnech, Poel, and Zwiers (2001); Xu, de Roever, and He (1997)
- Prensa Nieto (2003, §7)
- Vafeiadis (2008, §3)

#### 13.5.1 Galois considerations

For suitably stable  $P, Q, \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$  is interference closed and hence denotes a point in  $(s, v)$  prog. In other words we can replace programs with their specifications.

$\langle ML \rangle$

**lemma** *galois*:

**shows**  $\text{prog.p2s } c \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\} \iff c \leq \text{prog.s2p } (\{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\})$

$\langle \text{proof} \rangle$

**lemmas**  $s2p\text{-ag} = \text{iffD1}[OF \text{ ag.prog.galois}]$

**lemma** *p2s-s2p-ag*:

**shows**  $\text{prog.p2s } (\text{prog.s2p } (\{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\})) \leq \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

$\langle \text{proof} \rangle$

**lemma** *p2s-s2p-ag-stable*:

**assumes** *stable*  $A P$

**assumes**  $\bigwedge v. \text{stable } A (Q v)$

**shows**  $\text{prog.p2s } (\text{prog.s2p } (\{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\})) = \{\!\{P\}\!\}, A \vdash G, \{\!\{Q\}\!\}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bot[iff]*:

**shows**  $\text{prog.p2s } \perp \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

*<ML>*

**lemma** *sup-conv*:

**shows**  $\text{prog.p2s } (c_1 \sqcup c_2) \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\} \longleftrightarrow \text{prog.p2s } c_1 \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\} \wedge \text{prog.p2s } c_2 \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemmas** *sup = iffD2[OF ag.prog.sup-conv, unfolded conj-explode]*

**lemma** *bind*: — Assumptions in weakest-pre order

**assumes**  $\bigwedge v. \text{prog.p2s } (g \ v) \leq \{\!\{Q' \ v\}\!\}, A \vdash G, \{\!\{Q}\!\}$   
**assumes**  $\text{prog.p2s } f \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q'}\!\}$   
**shows**  $\text{prog.p2s } (f \ggg g) \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemma** *action*: — Conclusion is insufficiently instantiated for use

**fixes**  $F :: ('v \times 's \times 's) \text{ set}$   
**assumes**  $Q: \bigwedge v \ s \ s'. \llbracket P \ s; (v, s, s') \in F \rrbracket \Longrightarrow Q \ v \ s'$   
**assumes**  $G: \bigwedge v \ s \ s'. \llbracket P \ s; s \neq s'; (v, s, s') \in F \rrbracket \Longrightarrow (s, s') \in G$   
**assumes**  $sP: \text{stable } A \ P$   
**assumes**  $sQ: \bigwedge s \ s' \ v. \llbracket P \ s; (v, s, s') \in F \rrbracket \Longrightarrow \text{stable } A \ (Q \ v)$   
**shows**  $\text{prog.p2s } (\text{prog.action } F) \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemma** *guard*:

**assumes**  $\bigwedge s. \llbracket P \ s; g \ s \rrbracket \Longrightarrow Q \ () \ s$   
**assumes**  $\text{stable } A \ P$   
**assumes**  $\text{stable } A \ (Q \ ())$   
**shows**  $\text{prog.p2s } (\text{prog.guard } g) \leq \{\!\{P}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemma** *Parallel*:

**assumes**  $\bigwedge a. a \in as \Longrightarrow \text{prog.p2s } (Ps \ a) \leq \{\!\{P \ a\}\!\}, A \cup (\bigcup a' \in as - \{a\}. G \ a') \vdash G \ a, \{\!\{\lambda v. Q \ a\}\!\}$   
**shows**  $\text{prog.p2s } (\text{prog.Parallel } as \ Ps) \leq \{\!\{\prod a \in as. P \ a\}\!\}, A \vdash \bigcup a \in as. G \ a, \{\!\{\lambda v. \prod a \in as. Q \ a\}\!\}$   
*<proof>*

**lemma** *parallel*:

**assumes**  $\text{prog.p2s } c_1 \leq \{\!\{P_1}\!\}, A \cup G_2 \vdash G_1, \{\!\{Q_1}\!\}$   
**assumes**  $\text{prog.p2s } c_2 \leq \{\!\{P_2}\!\}, A \cup G_1 \vdash G_2, \{\!\{Q_2}\!\}$   
**shows**  $\text{prog.p2s } (\text{prog.parallel } c_1 \ c_2) \leq \{\!\{P_1 \wedge P_2}\!\}, A \vdash G_1 \cup G_2, \{\!\{\lambda v. Q_1 \ v \wedge Q_2 \ v\}\!\}$   
*<proof>*

**lemma** *return*:

**assumes**  $sQ: \text{stable } A \ (Q \ v)$   
**shows**  $\text{prog.p2s } (\text{prog.return } v) \leq \{\!\{Q \ v\}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemma** *if*:

**assumes**  $b \Longrightarrow \text{prog.p2s } c_1 \leq \{\!\{P_1}\!\}, A \vdash G, \{\!\{Q}\!\}$   
**assumes**  $\neg b \Longrightarrow \text{prog.p2s } c_2 \leq \{\!\{P_2}\!\}, A \vdash G, \{\!\{Q}\!\}$   
**shows**  $\text{prog.p2s } (\text{if } b \text{ then } c_1 \text{ else } c_2) \leq \{\!\{\text{if } b \text{ then } P_1 \text{ else } P_2\}\!\}, A \vdash G, \{\!\{Q}\!\}$   
*<proof>*

**lemma** *case-option*:

**assumes**  $x = \text{None} \implies \text{prog.p2s } \text{none} \leq \{\{P_n\}, A \vdash G, \{Q\}\}$   
**assumes**  $\bigwedge v. x = \text{Some } v \implies \text{prog.p2s } (\text{some } v) \leq \{\{P_s v\}, A \vdash G, \{Q\}\}$   
**shows**  $\text{prog.p2s } (\text{case-option none some } x) \leq \{\{\text{case } x \text{ of None} \Rightarrow P_n \mid \text{Some } v \Rightarrow P_s v\}, A \vdash G, \{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma case-sum:**

**assumes**  $\bigwedge v. x = \text{Inl } v \implies \text{prog.p2s } (\text{left } v) \leq \{\{P_l v\}, A \vdash G, \{Q\}\}$   
**assumes**  $\bigwedge v. x = \text{Inr } v \implies \text{prog.p2s } (\text{right } v) \leq \{\{P_r v\}, A \vdash G, \{Q\}\}$   
**shows**  $\text{prog.p2s } (\text{case-sum left right } x) \leq \{\{\text{case-sum } P_l P_r x\}, A \vdash G, \{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma case-list:**

**assumes**  $x = [] \implies \text{prog.p2s } \text{nil} \leq \{\{P_n\}, A \vdash G, \{Q\}\}$   
**assumes**  $\bigwedge v \text{ vs. } x = v \# \text{ vs} \implies \text{prog.p2s } (\text{cons } v \text{ vs}) \leq \{\{P_c v \text{ vs}\}, A \vdash G, \{Q\}\}$   
**shows**  $\text{prog.p2s } (\text{case-list nil cons } x) \leq \{\{\text{case-list } P_n P_c x\}, A \vdash G, \{Q\}\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

### 13.5.2 A proof of the parallel rule using Abadi and Plotkin's composition principle

Here we show that the key rule for *Parallel* (*ag.spec.Parallel*) can be established using the *spec.ag-circular* rule (§9.2).

The following proof is complicated by the need to discard a lot of contextual information.

**notepad**

**begin**

$\langle \text{proof} \rangle$

**end**

### 13.6 Specification inhabitation

$\langle ML \rangle$

**lemma Sup:**

**assumes**  $\text{prog.p2s } P -s, xs \rightarrow P'$   
**assumes**  $P \in X$   
**shows**  $\text{prog.p2s } (\bigsqcup X) -s, xs \rightarrow P'$   
 $\langle \text{proof} \rangle$

**lemma supL:**

**assumes**  $\text{prog.p2s } P -s, xs \rightarrow P'$   
**shows**  $\text{prog.p2s } (P \sqcup Q) -s, xs \rightarrow P'$   
 $\langle \text{proof} \rangle$

**lemma supR:**

**assumes**  $\text{prog.p2s } Q -s, xs \rightarrow Q'$   
**shows**  $\text{prog.p2s } (P \sqcup Q) -s, xs \rightarrow Q'$   
 $\langle \text{proof} \rangle$

**lemma bind:**

**assumes**  $\text{prog.p2s } f -s, xs \rightarrow \text{prog.p2s } f'$   
**shows**  $\text{prog.p2s } (f \gg g) -s, xs \rightarrow \text{prog.p2s } (f' \gg g)$   
 $\langle \text{proof} \rangle$

**lemma return:**

**shows**  $\text{prog.p2s } (\text{prog.return } v) -s, [] \rightarrow \text{spec.return } v$

$\langle proof \rangle$

**lemma** *action-step*:

**fixes**  $F :: ('v \times 's \times 's) \text{ set}$

**assumes**  $(v, s, s') \in F$

**shows**  $prog.p2s (prog.action F) -s, [(self, s')] \rightarrow prog.p2s (prog.return v)$

$\langle proof \rangle$

**lemma** *action-stutter*:

**fixes**  $F :: ('v \times 's \times 's) \text{ set}$

**assumes**  $(v, s, s) \in F$

**shows**  $prog.p2s (prog.action F) -s, [] \rightarrow prog.p2s (prog.return v)$

$\langle proof \rangle$

**lemma** *parallelL*:

**assumes**  $prog.p2s P -s, xs \rightarrow prog.p2s P'$

**shows**  $prog.p2s (P \parallel Q) -s, xs \rightarrow prog.p2s (P' \parallel Q)$

$\langle proof \rangle$

**lemma** *parallelR*:

**assumes**  $prog.p2s Q -s, xs \rightarrow prog.p2s Q'$

**shows**  $prog.p2s (P \parallel Q) -s, xs \rightarrow prog.p2s (P \parallel Q')$

$\langle proof \rangle$

$\langle ML \rangle$

## 14 More combinators

Extra combinators:

- *prog.select* shows how we can handle arbitrary choice
- *prog.while* combinator expresses all tail-recursive computations. Its condition is a pure value.

$\langle ML \rangle$

**definition** *select* ::  $'v \text{ set} \Rightarrow ('s, 'v) \text{ prog where}$

$select X = (\bigsqcup_{x \in X}. prog.return x)$

**context**

**notes**  $[[function-internals]]$

**begin**

**partial-function** (*lfp*) *while* ::  $('k \Rightarrow ('s, 'k + 'v) \text{ prog}) \Rightarrow 'k \Rightarrow ('s, 'v) \text{ prog where}$

$while c k = c k \gg (\lambda rv. case rv of Inl k' \Rightarrow while c k' \mid Inr v \Rightarrow prog.return v)$

**end**

**abbreviation** *loop* ::  $('s, unit) \text{ prog} \Rightarrow ('s, 'w) \text{ prog where}$

$loop P \equiv prog.while (\lambda(). P \gg prog.return (Inl ())) ()$

**abbreviation** *guardM* ::  $bool \Rightarrow ('s, unit) \text{ prog where}$

$guardM b \equiv if b then \perp else prog.return ()$

**abbreviation** *unlessM* ::  $bool \Rightarrow ('s, unit) \text{ prog} \Rightarrow ('s, unit) \text{ prog where}$

$unlessM b c \equiv if b then prog.return () else c$

**abbreviation** *whenM* ::  $bool \Rightarrow ('s, unit) \text{ prog} \Rightarrow ('s, unit) \text{ prog where}$

$whenM\ b\ c \equiv if\ b\ then\ c\ else\ prog.return\ ()$

**definition**  $app :: ('a \Rightarrow ('s, unit)\ prog) \Rightarrow 'a\ list \Rightarrow ('s, unit)\ prog$  **where** — Haskell's  $mapM$ -  
 $app\ f\ xs = foldr\ (\lambda x\ m.\ f\ x \gg m)\ xs\ (prog.return\ ())$

**definition**  $set-app :: ('a \Rightarrow ('s, unit)\ prog) \Rightarrow 'a\ set \Rightarrow ('s, unit)\ prog$  **where**  
 $set-app\ f =$   
 $prog.while\ (\lambda X.\ if\ X = \{\} then\ prog.return\ (Inr\ ())$   
 $else\ prog.select\ X \gg (\lambda x.\ f\ x \gg prog.return\ (Inl\ (X - \{x\})))$

**primrec**  $foldM :: ('b \Rightarrow 'a \Rightarrow ('s, 'b)\ prog) \Rightarrow 'b \Rightarrow 'a\ list \Rightarrow ('s, 'b)\ prog$  **where**  
 $foldM\ f\ b\ [] = prog.return\ b$   
 $| foldM\ f\ b\ (x \# xs) = do\ \{$   
 $\quad b' \leftarrow f\ b\ x;$   
 $\quad foldM\ f\ b'\ xs$   
 $\}$

**primrec**  $fold-mapM :: ('a \Rightarrow ('s, 'b)\ prog) \Rightarrow 'a\ list \Rightarrow ('s, 'b\ list)\ prog$  **where**  
 $fold-mapM\ f\ [] = prog.return\ []$   
 $| fold-mapM\ f\ (x \# xs) = do\ \{$   
 $\quad y \leftarrow f\ x;$   
 $\quad ys \leftarrow fold-mapM\ f\ xs;$   
 $\quad prog.return\ (y \# ys)$   
 $\}$

$\langle ML \rangle$

**lemma** *empty*:  
**shows**  $prog.select\ \{\} = \perp$   
 $\langle proof \rangle$

**lemma** *singleton*:  
**shows**  $prog.select\ \{x\} = prog.return\ x$   
 $\langle proof \rangle$

**lemma** *monotone*:  
**shows**  $mono\ prog.select$   
 $\langle proof \rangle$

**lemmas**  $strengthen[stg] = st-monotone[OF\ prog.select.monotone]$   
**lemmas**  $mono = monotoneD[OF\ prog.select.monotone, of\ P\ Q\ for\ P\ Q]$   
**lemmas**  $mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF\ prog.select.monotone, simpli-$   
 $fied, of\ orda\ P\ for\ orda\ P]$

**lemma** *Sup*:  
**shows**  $prog.select\ (\bigcup X) = (\bigsqcup_{x \in X} prog.select\ x)$   
 $\langle proof \rangle$

**lemma** *mcont*:  
**shows**  $mcont\ \bigcup\ (\subseteq)\ Sup\ (\leq)\ prog.select$   
 $\langle proof \rangle$

**lemmas**  $mcont2mcont[cont-intro] = mcont2mcont[OF\ prog.select.mcont, of\ supa\ orda\ P\ for\ supa\ orda\ P]$   
 $\langle ML \rangle$

**lemma** *select-le*:  
**assumes**  $x \in X$

**shows**  $\text{prog.return } x \leq \text{prog.select } X$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *selectL*:

**shows**  $\text{prog.select } X \ggg g = (\bigsqcup x \in X. g \ x)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bot*:

**shows**  $\text{prog.while } \perp = \perp$

$\langle \text{proof} \rangle$

**lemma** *monotone*: — could hope to prove this with a *strengthen* rule for *lfp.fixp-fun*

**shows**  $\text{mono } (\lambda P. \text{prog.while } P \ s)$

$\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[\text{strg}] = \text{st-monotone}[\text{OF } \text{prog.while.monotone}]$

**lemmas**  $\text{mono}' = \text{monotoneD}[\text{OF } \text{prog.while.monotone}, \text{ of } P \ Q \ \mathbf{for} \ P \ Q]$  — compare with *prog.while.mono*

**lemmas**  $\text{mono2mono}[\text{cont-intro}, \text{ partial-function-mono}] = \text{monotone2monotone}[\text{OF } \text{prog.while.monotone}, \text{ simplified}, \text{ of } \text{orda } P \ \mathbf{for} \ \text{orda } P]$

**lemma** *Sup-le*:

**shows**  $(\bigsqcup P \in X. \text{prog.while } P \ s) \leq \text{prog.while } (\bigsqcup X) \ s$

$\langle \text{proof} \rangle$

**lemma** *Inf-le*:

**shows**  $\text{prog.while } (\bigsqcap X) \ s \leq (\bigsqcap P \in X. \text{prog.while } P \ s)$

$\langle \text{proof} \rangle$

**lemma** *True-skip-eq-bot*:

**shows**  $\text{prog.while } \langle \text{prog.return } (\text{Inl } x) \rangle \ s = \perp$

$\langle \text{proof} \rangle$

**lemma** *Inr-eq-return*:

**shows**  $\text{prog.while } \langle \text{prog.return } (\text{Inr } v) \rangle \ s = \text{prog.return } v$

$\langle \text{proof} \rangle$

**lemma** *kleene-star*:

**shows**  $\text{prog.kleene.star } P$

$= \text{prog.while } (\lambda-. (P \ggg \text{prog.return } (\text{Inl } ())) \sqcup \text{prog.return } (\text{Inr } ())) \ () \ (\mathbf{is} \ ?lhs = ?rhs)$

$\langle \text{proof} \rangle$

**lemma** *invmap-le*:

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**shows**  $\text{prog.while } (\lambda k. \text{prog.invmap } sf \ (\text{map-sum } id \ vf) \ (c \ k)) \ k$

$\leq \text{prog.invmap } sf \ vf \ (\text{prog.while } c \ k) \ (\mathbf{is} \ ?lhs \ \text{prog.while } k \leq ?rhs \ k)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bindL*:

**fixes**  $P :: ('s, \text{unit}) \text{ prog}$

**fixes**  $Q :: ('s, 'w) \text{ prog}$

**shows**  $\text{prog.loop } P \ggg Q = \text{prog.loop } P \ (\mathbf{is} \ ?lhs = ?rhs)$

$\langle \text{proof} \rangle$

**lemma** *parallel-le*:

**shows**  $\text{prog.loop } P \leq \text{lfp } (\lambda R. P \parallel R)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *append*:

**shows**  $\text{prog.foldM } f \ b \ (xs \ @ \ ys) = \text{prog.foldM } f \ b \ xs \ggg (\lambda b'. \text{prog.foldM } f \ b' \ ys)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *foldM-alt-def*:

**shows**  $\text{prog.foldM } f \ b \ xs = \text{foldr } (\lambda x \ m. \text{prog.bind } m \ (\lambda b. f \ b \ x)) \ (\text{rev } xs) \ (\text{prog.return } b)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bot*:

**shows**  $\text{prog.fold-mapM } \perp = (\lambda xs. \text{case } xs \ \text{of } [] \Rightarrow \text{prog.return } [] \mid - \Rightarrow \perp)$

$\langle \text{proof} \rangle$

**lemma** *append*:

**shows**  $\text{prog.fold-mapM } f \ (xs \ @ \ ys)$

$= \text{prog.fold-mapM } f \ xs \ggg (\lambda xs. \text{prog.fold-mapM } f \ ys \ggg (\lambda ys. \text{prog.return } (xs \ @ \ ys)))$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *bot*:

**shows**  $\text{prog.app } \perp = (\lambda xs. \text{case } xs \ \text{of } [] \Rightarrow \text{prog.return } () \mid - \Rightarrow \perp)$

**and**  $\text{prog.app } (\lambda -. \perp) = (\lambda xs. \text{case } xs \ \text{of } [] \Rightarrow \text{prog.return } () \mid - \Rightarrow \perp)$

$\langle \text{proof} \rangle$

**lemma** *Nil*:

**shows**  $\text{prog.app } f \ [] = \text{prog.return } ()$

$\langle \text{proof} \rangle$

**lemma** *Cons*:

**shows**  $\text{prog.app } f \ (x \ # \ xs) = f \ x \gg \text{prog.app } f \ xs$

$\langle \text{proof} \rangle$

**lemmas** *simps* =

*prog.app.bot*

*prog.app.Nil*

*prog.app.Cons*

**lemma** *append*:

**shows**  $\text{prog.app } f \ (xs \ @ \ ys) = \text{prog.app } f \ xs \gg \text{prog.app } f \ ys$

$\langle \text{proof} \rangle$

**lemma** *monotone*:

**shows**  $\text{mono } (\lambda f. \text{prog.app } f \ xs)$

$\langle \text{proof} \rangle$

**lemmas** *strengthen[stg]* = *st-monotone[OF prog.app.monotone]*

**lemmas** *mono* = *monotoneD*[*OF prog.app.monotone*]

**lemmas** *mono2mono*[*cont-intro, partial-function-mono*] = *monotone2monotone*[*OF prog.app.monotone, simplified, of orda P for orda P*]

**lemma** *Sup-le*:

**shows**  $(\bigsqcup f \in X. \text{prog.app } f \text{ } xs) \leq \text{prog.app } (\bigsqcup X) \text{ } xs$   
*<proof>*

*<ML>*

**lemma** *app*:

**fixes** *sf* :: 's  $\Rightarrow$  't  
**fixes** *vf* :: 'v  $\Rightarrow$  unit  
**shows**  $\text{prog.invmap } sf \text{ } vf \text{ } (\text{prog.app } f \text{ } xs)$   
 $= \text{prog.app } (\lambda x. \text{prog.sinvmap } sf \text{ } (f \text{ } x)) \text{ } xs \gg \text{prog.invmap } sf \text{ } vf \text{ } (\text{prog.return } ())$   
*<proof>*

*<ML>*

**lemma** *app-le*:

**fixes** *sf* :: 's  $\Rightarrow$  't  
**fixes** *vf* :: 'v  $\Rightarrow$  unit  
**shows**  $\text{prog.app } (\lambda x. \text{prog.sinvmap } sf \text{ } (f \text{ } x)) \text{ } xs \leq \text{prog.sinvmap } sf \text{ } (\text{prog.app } f \text{ } xs)$   
*<proof>*

*<ML>*

**lemma** *bot*:

**shows**  $X \neq \{\}$   $\implies \text{prog.set-app } \perp \text{ } X = \perp$   
**and**  $X \neq \{\}$   $\implies \text{prog.set-app } (\lambda \cdot. \perp) \text{ } X = \perp$   
*<proof>*

**lemma** *empty*:

**shows**  $\text{prog.set-app } f \text{ } \{\} = \text{prog.return } ()$   
*<proof>*

**lemma** *not-empty*:

**assumes**  $X \neq \{\}$   
**shows**  $\text{prog.set-app } f \text{ } X = \text{prog.select } X \gg (\lambda x. f \text{ } x \gg \text{prog.set-app } f \text{ } (X - \{x\}))$   
*<proof>*

**lemmas** *simps* =

*prog.set-app.bot*  
*prog.set-app.empty*  
*prog.set-app.not-empty*

*<ML>*

**lemma** *set-app-le*:

**assumes**  $X = \text{set } xs$   
**assumes** *distinct xs*  
**shows**  $\text{prog.app } f \text{ } xs \leq \text{prog.set-app } f \text{ } X$   
*<proof>*

*<ML>*

**lemma** *set-app-alt-def*:

**assumes** *finite X*

**shows**  $\text{prog.set-app } f X = (\bigsqcup xs \in \{ys. \text{set } ys = X \wedge \text{distinct } ys\}. \text{prog.app } f xs)$  (**is**  $?lhs = ?rhs$ )  
 <proof>

<ML>

**lemma** *select-sp*:

**assumes**  $\bigwedge s x. \llbracket P s; x \in X \rrbracket \implies Q x s$   
**assumes**  $\bigwedge v. \text{stable } A (P \wedge Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.select } X) \leq \llbracket P \rrbracket, A \vdash G, \llbracket \lambda v. P \wedge Q v \rrbracket$   
 <proof>

**lemma** *while*:

**fixes**  $c :: 'k \Rightarrow ('s, 'k + 'v) \text{ prog}$   
**assumes**  $c: \bigwedge k. \text{prog.p2s } (c k) \leq \llbracket P k \rrbracket, A \vdash G, \llbracket \text{case-sum } I Q \rrbracket$   
**assumes**  $IP: \bigwedge s v. I v s \implies P v s$   
**assumes**  $sQ: \bigwedge v. \text{stable } A (Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.while } c k) \leq \llbracket I k \rrbracket, A \vdash G, \llbracket Q \rrbracket$   
 <proof>

**lemma** *app*:

**fixes**  $xs :: 'a \text{ list}$   
**fixes**  $f :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$   
**fixes**  $P :: 'a \text{ list} \Rightarrow 's \text{ pred}$   
**assumes**  $\bigwedge x ys zs. xs = ys @ x \# zs \implies \text{prog.p2s } (f x) \leq \llbracket P ys \rrbracket, A \vdash G, \llbracket \lambda -. P (ys @ [x]) \rrbracket$   
**assumes**  $\bigwedge ys. \text{prefix } ys xs \implies \text{stable } A (P ys)$   
**shows**  $\text{prog.p2s } (\text{prog.app } f xs) \leq \llbracket P [] \rrbracket, A \vdash G, \llbracket \lambda -. P xs \rrbracket$   
 <proof>

**lemma** *app-set*:

**fixes**  $X :: 'a \text{ set}$   
**fixes**  $f :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$   
**fixes**  $P :: 'a \text{ set} \Rightarrow 's \text{ pred}$   
**assumes**  $\bigwedge Y x. \llbracket Y \subseteq X; x \in X - Y \rrbracket \implies \text{prog.p2s } (f x) \leq \llbracket P Y \rrbracket, A \vdash G, \llbracket \lambda -. P (\text{insert } x Y) \rrbracket$   
**assumes**  $\bigwedge Y. Y \subseteq X \implies \text{Stability.stable } A (P Y)$   
**shows**  $\text{prog.p2s } (\text{prog.set-app } f X) \leq \llbracket P \{\} \rrbracket, A \vdash G, \llbracket \lambda -. P X \rrbracket$   
 <proof>

**lemma** *foldM*:

**fixes**  $xs :: 'a \text{ list}$   
**fixes**  $f :: 'b \Rightarrow 'a \Rightarrow ('s, 'b) \text{ prog}$   
**fixes**  $I :: 'b \Rightarrow 'a \Rightarrow 's \text{ pred}$   
**fixes**  $P :: 'b \Rightarrow 's \text{ pred}$   
**assumes**  $f: \bigwedge b x. x \in \text{set } xs \implies \text{prog.p2s } (f b x) \leq \llbracket I b x \rrbracket, A \vdash G, \llbracket P \rrbracket$   
**assumes**  $P: \bigwedge b x s. \llbracket P b s; x \in \text{set } xs \rrbracket \implies I b x s$   
**assumes**  $sP: \bigwedge b. \text{stable } A (P b)$   
**shows**  $\text{prog.p2s } (\text{prog.foldM } f b xs) \leq \llbracket P b \rrbracket, A \vdash G, \llbracket P \rrbracket$   
 <proof>

<ML>

<proof><proof><proof>

## 15 Structural local state

### 15.1 *spec.local*

We develop a few combinators for structural local state. The goal is to encapsulate a local state of type  $'ls$  in a process  $('a \text{ agent}, 'ls \times 's, 'v) \text{ spec}$ . Applying  $\text{spec.smap snd}$  yields a process of type  $('a \text{ agent}, 's, 'v) \text{ spec}$ . We also constrain environment steps to not affect  $'ls$ , yielding a plausible data refinement rule (see §15.6.1).

**abbreviation** (*input*)  $localize1 :: ('b \Rightarrow 's \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'ls \times 's \Rightarrow 'a$  **where**  
 $localize1 f b s \equiv f b (snd s)$

$\langle ML \rangle$

**definition**  $qrm :: ('a \text{ agent}, 'ls \times 's)$  *steps* **where** — cf *ag.assm*  
 $qrm = range \text{ proc} \times UNIV \cup \{env\} \times (Id \times_R UNIV)$

**abbreviation** (*input*)  $interference \equiv spec.rel \text{ spec.local.qrm}$

$\langle ML \rangle$

**definition**  $local :: ('a \text{ agent}, 'ls \times 's, 'v)$  *spec*  $\Rightarrow ('a \text{ agent}, 's, 'v)$  *spec* **where**  
 $local P = spec.smap \text{ snd} (spec.local.interference \sqcap P)$

$\langle ML \rangle$

**lemma** *local-le-conv*:

**shows**  $\langle \sigma \rangle \leq spec.local P$   
 $\longleftrightarrow (\exists \sigma'. \langle \sigma' \rangle \leq P$   
 $\quad \wedge trace.steps \sigma' \subseteq spec.local.qrm$   
 $\quad \wedge \langle \sigma \rangle \leq \langle trace.map \text{ id } \text{ snd } \text{ id } \sigma' \rangle)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local-le[spec.idle-le]*: — Converse does not hold

**assumes**  $spec.idle \leq P$   
**shows**  $spec.idle \leq spec.local P$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *refl*:

**shows**  $refl (spec.local.qrm \text{ “ } \{a\})$

$\langle proof \rangle$

**lemma** *member*:

**shows**  $(\text{proc } a, s, s') \in spec.local.qrm$   
**and**  $(env, s, s') \in spec.local.qrm \longleftrightarrow fst s = fst s'$

$\langle proof \rangle$

**lemma** *inter*:

**shows**  $UNIV \times Id \cap spec.local.qrm = UNIV \times Id$   
**and**  $spec.local.qrm \cap UNIV \times Id = UNIV \times Id$   
**and**  $spec.local.qrm \cap \{self\} \times Id = \{self\} \times Id$   
**and**  $spec.local.qrm \cap \{env\} \times UNIV = \{env\} \times (Id \times_R UNIV)$   
**and**  $spec.local.qrm \cap \{env\} \times (UNIV \times_R Id) = \{env\} \times Id$   
**and**  $spec.local.qrm \cap A \times (Id \times_R r) = A \times (Id \times_R r)$

$\langle proof \rangle$

**lemmas** *simps[simp]* =

$spec.local.qrm.refl$   
 $spec.local.qrm.member$   
 $spec.local.qrm.inter$

$\langle ML \rangle$

**lemma** *smap-snd*:

**shows**  $\text{spec.smap snd spec.local.interference} = \top$   
*<proof>*

*<ML>*

**lemma** *inf-interference*:

**shows**  $\text{spec.local } P = \text{spec.local } (P \sqcap \text{spec.local.interference})$   
*<proof>*

**lemma** *bot*:

**shows**  $\text{spec.local } \perp = \perp$   
*<proof>*

**lemma** *top*:

**shows**  $\text{spec.local } \top = \top$   
*<proof>*

**lemma** *monotone*:

**shows** *mono spec.local*  
*<proof>*

**lemmas** *strengthen[strg] = st-monotone[OF spec.local.monotone]*

**lemmas** *mono = monotoneD[OF spec.local.monotone]*

**lemmas** *mono2mono[cont-intro, partial-function-mono]*  
*= monotone2monotone[OF spec.local.monotone, simplified, of orda P for orda P]*

**lemma** *Sup*:

**shows**  $\text{spec.local } (\bigsqcup X) = (\bigsqcup_{x \in X} \text{spec.local } x)$   
*<proof>*

**lemmas** *sup = spec.local.Sup[where X={X, Y} for X Y, simplified]*

**lemma** *mcont2mcont[cont-intro]*:

**assumes** *mcont luba orda Sup ( $\leq$ ) P*  
**shows** *mcont luba orda Sup ( $\leq$ ) ( $\lambda x. \text{spec.local } (P x)$ )*  
*<proof>*

**lemma** *idle*:

**shows**  $\text{spec.local spec.idle} = \text{spec.idle}$   
*<proof>*

**lemma** *action*:

**fixes**  $F :: ('v \times 'a \text{ agent} \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**shows**  $\text{spec.local } (\text{spec.action } F)$   
 $= \text{spec.action } (\text{map-prod id } (\text{map-prod id } (\text{map-prod snd snd})) \text{ '}$   
 $(F \cap \text{UNIV} \times \text{spec.local.qrm}))$   
*<proof>*

**lemma** *return*:

**shows**  $\text{spec.local } (\text{spec.return } v) = \text{spec.return } v$   
*<proof>*

**lemma** *bind-le*: — Converse does not hold

**shows**  $\text{spec.local } (f \ggg g) \leq \text{spec.local } f \ggg (\lambda v. \text{spec.local } (g v))$   
*<proof>*

**lemma** *interference*:

**shows**  $spec.local (spec.rel (\{env\} \times UNIV)) = spec.rel (\{env\} \times UNIV)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local-le*:

**shows**  $spec.map id sf vf (spec.local P) \leq spec.local (spec.map id (map-prod id sf) vf P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local*:

**shows**  $spec.vmap vf (spec.local P) = spec.local (spec.vmap vf P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *smap-snd*:

**fixes**  $P :: ('a, 'ls \times 't, 'w) spec$

**fixes**  $sf :: 's \Rightarrow 't$

**fixes**  $vf :: 'v \Rightarrow 'w$

**shows**  $spec.invmap id sf vf (spec.smap snd P)$

$= spec.smap snd (spec.invmap id (map-prod id sf) vf P)$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *local*:

**fixes**  $P :: ('a agent, 'ls \times 't, 'v) spec$

**fixes**  $sf :: 's \Rightarrow 't$

**shows**  $spec.invmap id sf vf (spec.local P) = spec.local (spec.invmap id (map-prod id sf) vf P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local*:

**shows**  $spec.term.none (spec.local P) = spec.local (spec.term.none P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local*:

**shows**  $spec.term.all (spec.local P) = spec.local (spec.term.all P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local*:

**assumes**  $P \in spec.term.closed -$

**shows**  $spec.local P \in spec.term.closed -$

$\langle proof \rangle$

$\langle ML \rangle$

## 15.2 Local state transformations

We want to reorder, introduce and eliminate actions that affect local state while preserving observable behaviour under *spec.local*.

The closure that arises from *spec.local*, i.e.:

**lemma**

**defines**  $cl \equiv spec.map\text{-}invmap.cl - - id\ snd\ id$

**assumes**  $spec.local.interference \sqcap P$

$\leq cl (spec.local.interference \sqcap Q)$

**shows**  $spec.local P \leq spec.local Q$

$\langle proof \rangle$

expresses all transformations, but does not decompose over ( $\gg$ ); in other words we do not have  $cl\ f \gg (\lambda v. cl\ (g\ v)) \leq cl\ (f \gg g)$  as the local states that  $cl\ f$  terminates with may not satisfy  $g$ . (Observe that we do not expect the converse to hold as then all local states would need to be preserved.)

We therefore define a closure that preserves the observable state and the initial and optionally final (if terminating) local states via a projection:

$\langle ML \rangle$

**definition**  $prj :: bool \Rightarrow ('a, 'ls \times 's, 'v)\ trace.t \Rightarrow ('a, 's, 'v)\ trace.t \times 'ls \times 'ls\ option$  **where**

$prj\ T\ \sigma = (\text{h}(trace.map\ id\ snd\ id\ \sigma),$

$\text{fst}\ (trace.init\ \sigma),$

$\text{if}\ T\ \text{then}\ map\ option\ (\text{fst}\ (trace.final\ \sigma))\ (trace.term\ \sigma)\ \text{else}\ None)$

$\langle ML \rangle$

**lemma** *natural*:

**shows**  $seq\text{-}ctxt.prj\ T\ (\text{h}\sigma) = seq\text{-}ctxt.prj\ T\ \sigma$

$\langle proof \rangle$

**lemma** *idle*:

**shows**  $seq\text{-}ctxt.prj\ T\ (trace.T\ s\ []\ None) = (trace.T\ (snd\ s)\ []\ None, \text{fst}\ s, None)$

$\langle proof \rangle$

**lemmas**  $simps[simp] =$

$seq\text{-}ctxt.prj.natural$

$\langle ML \rangle$

**interpretation**  $seq\text{-}ctxt: galois.image\text{-}vimage\ seq\text{-}ctxt.prj\ T\ \text{for}\ T\ \langle proof \rangle$

$\langle ML \rangle$

**lemma** *partial-sel-equivE*:

**assumes**  $seq\text{-}ctxt.equivalent\ T\ \sigma_1\ \sigma_2$

**obtains**  $trace.init\ \sigma_1 = trace.init\ \sigma_2$

**and**  $trace.term\ \sigma_1 = trace.term\ \sigma_2$

**and**  $\llbracket T; \exists v. trace.term\ \sigma_1 = Some\ v \rrbracket \implies trace.final\ \sigma_1 = trace.final\ \sigma_2$

$\langle proof \rangle$

**lemma** *downwards-existsE*:

**assumes**  $\sigma_1' \leq \sigma_1$

**assumes**  $seq\text{-}ctxt.equivalent\ T\ \sigma_1\ \sigma_2$

**obtains**  $\sigma_2'$

**where**  $\sigma_2' \leq \sigma_2$

**and**  $seq\text{-}ctxt.equivalent\ T\ \sigma_1'\ \sigma_2'$

$\langle proof \rangle$

**lemma** *downwards-existsE2*:

**assumes**  $\sigma_1' \leq \sigma_1$

**assumes**  $seq\text{-}ctxt.equivalent\ T\ \sigma_1'\ \sigma_2'$

**obtains**  $\sigma_2$

**where**  $\sigma_2' \leq \sigma_2$

**and** *seq-ctxt.equivalent*  $T \sigma_1 \sigma_2$

*<proof>*

**lemma** *map-sf-eq-id*:

**assumes** *seq-ctxt.equivalent*  $\text{True} \sigma_1 \sigma_2$

**shows** *seq-ctxt.equivalent*  $\text{True} (\text{trace.map af id vf } \sigma_1) (\text{trace.map af id vf } \sigma_2)$

*<proof>*

**lemma** *mono*:

**assumes**  $T \implies T'$

**assumes** *seq-ctxt.equivalent*  $T' \sigma_1 \sigma_2$

**shows** *seq-ctxt.equivalent*  $T \sigma_1 \sigma_2$

*<proof>*

**lemma** *append*:

**assumes** *seq-ctxt.equivalent*  $\text{True} (\text{trace.T } s \text{ xs } (\text{Some } v)) (\text{trace.T } s' \text{ xs}' v')$

**assumes** *seq-ctxt.equivalent*  $T (\text{trace.T } (\text{trace.final}' s \text{ xs}) \text{ ys } w) (\text{trace.T } t' \text{ ys}' w')$

**shows** *seq-ctxt.equivalent*  $T (\text{trace.T } s \text{ (xs @ ys)} w) (\text{trace.T } s' \text{ (xs}' @ \text{ys}') } w')$

*<proof>*

*<ML>*

**definition**  $cl :: \text{bool} \Rightarrow ('a, 'ls \times 's, 'v) \text{ spec} \Rightarrow ('a, 'ls \times 's, 'v) \text{ spec}$  **where**

$cl \ T \ P = \bigsqcup (\text{spec.singleton } \{ \sigma_1. \exists \sigma_2. \langle \sigma_2 \rangle \leq P \wedge \text{seq-ctxt.equivalent } T \ \sigma_1 \ \sigma_2 \})$

*<ML>*

**lemma** *cl-le-conv[spec.singleton.le-conv]*:

**shows**  $\langle \sigma \rangle \leq \text{spec.seq-ctxt.cl } T \ P \longleftrightarrow (\exists \sigma'. \langle \sigma' \rangle \leq P \wedge \text{seq-ctxt.equivalent } T \ \sigma \ \sigma')$  (**is** ?lhs  $\longleftrightarrow$  ?rhs)

*<proof>*

*<ML>*

**interpretation** *seq-ctxt*: *closure-complete-distrib-lattice-distributive-class* *spec.seq-ctxt.cl*  $T$  **for**  $F$

*<proof>*

*<ML>*

**lemma** *cl-le-conv[spec.idle-le]*:

**shows**  $\text{spec.idle} \leq \text{spec.seq-ctxt.cl } T \ P \longleftrightarrow \text{spec.idle} \leq P$  (**is** ?lhs  $\longleftrightarrow$  ?rhs)

*<proof>*

*<ML>*

**lemma** *bot[simp]*:

**shows** *spec.seq-ctxt.cl*  $T \ \perp = \perp$

*<proof>*

**lemma** *mono*:

**assumes**  $T' \implies T$

**assumes**  $P \leq P'$

**shows** *spec.seq-ctxt.cl*  $T \ P \leq \text{spec.seq-ctxt.cl } T' \ P'$

*<proof>*

**lemma** *strengthen[strg]*:

**assumes** *st-ord*  $(\neg F) \ T \ T'$

**assumes** *st-ord*  $F \ P \ P'$

**shows** *st-ord*  $F \ (\text{spec.seq-ctxt.cl } T \ P) \ (\text{spec.seq-ctxt.cl } T' \ P')$

$\langle proof \rangle$

**lemma** *Sup*:

**shows**  $spec.seq-ctxt.cl\ T\ (\bigsqcup X) = \bigsqcup (spec.seq-ctxt.cl\ T\ 'X)$

$\langle proof \rangle$

**lemmas**  $sup = spec.seq-ctxt.cl.Sup[\text{where } X=\{P, Q\} \text{ for } P\ Q, \text{ simplified}]$

**lemma** *singleton*:

**shows**  $spec.seq-ctxt.cl\ T\ \langle \sigma \rangle = \bigsqcup (spec.singleton\ ' \{\sigma'.\ seq-ctxt.equivalent\ T\ \sigma\ \sigma'\})$  (is ?lhs = ?rhs)

$\langle proof \rangle$

**lemma** *idle*: — not *simp* friendly

**shows**  $spec.seq-ctxt.cl\ T\ (spec.idle :: ('a, 'ls \times 's, 'v)\ spec)$

$= spec.term.none\ (spec.rel\ (UNIV \times (UNIV \times_R Id)) :: ('a, 'ls \times 's, 'w)\ spec)$  (is ?lhs = ?rhs)

$\langle proof \rangle$

**lemma** *invmap-le*:

**shows**  $spec.seq-ctxt.cl\ True\ (spec.invmap\ af\ id\ vf\ P) \leq spec.invmap\ af\ id\ vf\ (spec.seq-ctxt.cl\ True\ P)$

$\langle proof \rangle$

**lemma** *map-le*:

**shows**  $spec.map\ af\ id\ vf\ (spec.seq-ctxt.cl\ True\ P) \leq spec.seq-ctxt.cl\ True\ (spec.map\ af\ id\ vf\ P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *cl*:

**shows**  $spec.term.none\ (spec.seq-ctxt.cl\ T\ P) = spec.seq-ctxt.cl\ T\ (spec.term.none\ P)$

$\langle proof \rangle$

**lemma** *cl-True-False*:

**shows**  $spec.seq-ctxt.cl\ True\ (spec.term.none\ f) = spec.seq-ctxt.cl\ False\ (spec.term.none\ f)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *cl-le*:

**shows**  $spec.seq-ctxt.cl\ T\ (spec.term.all\ P) \leq spec.term.all\ (spec.seq-ctxt.cl\ T\ P)$

$\langle proof \rangle$

**lemma** *cl-False*:

**shows**  $spec.seq-ctxt.cl\ False\ (spec.term.all\ P) = spec.term.all\ (spec.seq-ctxt.cl\ False\ P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *cl-le*:

**shows**  $spec.seq-ctxt.cl\ True\ f \ggg (\lambda v. spec.seq-ctxt.cl\ T\ (g\ v)) \leq spec.seq-ctxt.cl\ T\ (f \ggg g)$

$\langle proof \rangle$

**lemma** *clL-le*:

**shows**  $spec.seq-ctxt.cl\ True\ f \ggg g \leq spec.seq-ctxt.cl\ T\ (f \ggg g)$

$\langle proof \rangle$

**lemma** *clR-le*:

**shows**  $f \ggg (\lambda v. spec.seq-ctxt.cl\ T\ (g\ v)) \leq spec.seq-ctxt.cl\ T\ (f \ggg g)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *cl-local-le*: — the RHS is the closure that arises from *spec.local*, ignoring the constraint

**shows** *spec.seq-ctxt.cl*  $T P \leq \text{spec.map-invmap.cl} \text{ - - id snd id } P$

$\langle proof \rangle$

**lemma** *cl-local*:

**shows** *spec.local* (*spec.seq-ctxt.cl*  $T$  (*spec.local.interference*  $\sqcap P$ ))

$= \text{spec.local } P$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *cl-imp-local-le*:

**assumes** *spec.local.interference*  $\sqcap P$

$\leq \text{spec.seq-ctxt.cl } \text{False} (\text{spec.local.interference} \sqcap Q)$

**shows** *spec.local*  $P \leq \text{spec.local } Q$

$\langle proof \rangle$

**lemma** *cl-inf-pre*:

**shows** *spec.pre*  $P \sqcap \text{spec.seq-ctxt.cl } T c = \text{spec.seq-ctxt.cl } T (\text{spec.pre } P \sqcap c)$

$\langle proof \rangle$

**lemma** *cl-pre-le-conv*:

**shows** *spec.seq-ctxt.cl*  $T c \leq \text{spec.pre } P \longleftrightarrow c \leq \text{spec.pre } P$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle proof \rangle$

**lemma** *cl-inf-post*:

**shows** *spec.post*  $Q \sqcap \text{spec.seq-ctxt.cl } \text{True } c = \text{spec.seq-ctxt.cl } \text{True} (\text{spec.post } Q \sqcap c)$

$\langle proof \rangle$

**lemma** *cl-post-le-conv*:

**shows** *spec.seq-ctxt.cl*  $\text{True } c \leq \text{spec.post } Q \longleftrightarrow c \leq \text{spec.post } Q$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

### 15.2.1 Permuting local actions

We can reorder operations on the local state as these are not observable.

Firstly: an initial action  $F$  that does not change the observable state can be swapped with an arbitrary action  $G$ .

$\langle ML \rangle$

**lemma** *cl-action-permuteL-le*:

**fixes**  $F :: ('v \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $G :: 'v \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $G' :: ('v' \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $F' :: 'v' \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

—  $F$  does not change  $'s$ , can be partial

**assumes**  $F: \bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F \rrbracket \implies \text{snd } s' = \text{snd } s$

— The final state and return value are independent of the order of actions.  $F'$  does not change  $'s$ , cannot be partial

**assumes**  $FGG'F': \bigwedge v w a a' s s' t. \llbracket P s; (v, a', s, t) \in F; (w, a, t, s') \in G v \rrbracket$

$\implies \exists v' a'' a''' s'' t'. (v', a'', s, t') \in G' \wedge (w, a''', t', s') \in F' v'$

$\wedge \text{snd } s' = \text{snd } t' \wedge (\text{snd } s \neq \text{snd } t' \longrightarrow a'' = a) \wedge (T \longrightarrow \text{fst } s'' = \text{fst } s') \wedge \text{snd } s'' = \text{snd } t'$

**shows** (*spec.action*  $F \ggg (\lambda v. \text{spec.action } (G v))$ )  $\sqcap \text{spec.pre } P$

$\leq \text{spec.seq-ctxt.cl } T (\text{spec.action } G' \ggg (\lambda v. \text{spec.action } (F' v)))$  (**is**  $- \leq ?rhs$ )

$\langle proof \rangle$

Secondly: an initial action  $G$  that does change the observable state can be swapped with an arbitrary action  $F$  that does not observably change the state.

**lemma** *cl-action-permuteR-le*:

**fixes**  $G :: ('v \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $F :: 'v \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $F' :: ('v' \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $G' :: 'v' \Rightarrow ('w' \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

—  $F$  does not stall if  $G$  makes an observable state change

**assumes**  $G: \bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in G; \text{snd } s' \neq \text{snd } s \rrbracket$

$\implies \exists v' w a'' t s''. (v', a'', s, t) \in F' \wedge (w, a, t, s'') \in G \wedge \text{snd } t = \text{snd } s \wedge \text{snd } s'' = \text{snd } s'$

— The final state and return value are independent of the order of actions

**assumes**  $GFF'G': \bigwedge v w a a' s s' t. \llbracket P s; (v, a, s, t) \in G; (w, a', t, s') \in F v \rrbracket$

$\implies \text{snd } s' = \text{snd } t \wedge (\exists v' a'' a''' s'' t'. (v', a'', s, t') \in F' \wedge (w, a''', t', s'') \in G' v'$

$\wedge \text{snd } t' = \text{snd } s \wedge (T \longrightarrow \text{fst } s'' = \text{fst } s') \wedge \text{snd } s'' = \text{snd } s' \wedge (\text{snd}$

$s'' \neq \text{snd } t' \longrightarrow a''' = a)$

**shows**  $(\text{spec.action } G \gg (\lambda v. \text{spec.action } (F v))) \sqcap \text{spec.pre } P$

$\leq \text{spec.seq-ctxt.cl } T (\text{spec.action } F' \gg (\lambda v. \text{spec.action } (G' v)))$

*<proof>*

**lemma** *cl-action-bind-action-pre-post*:

**fixes**  $F' :: ('v \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $G' :: 'v \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $Q :: 'w \Rightarrow ('ls \times 's) \text{ pred}$

**assumes**  $\bigwedge v w a a' s s' s''. \llbracket P s; (v, a, s, s') \in F; (w, a', s', s'') \in G v \rrbracket \implies Q w s''$

**shows**  $\text{spec.pre } P \sqcap \text{spec.seq-ctxt.cl } \text{True} (\text{spec.action } F' \gg (\lambda v. \text{spec.action } (G' v))) \leq \text{spec.post } Q$

*<proof>*

**lemma** *cl-rev-kleene-star-action-permute-le*:

**fixes**  $F G :: (\text{unit} \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

—  $F$  does not stall if  $G$  changes the observable state

**assumes**  $G: \bigwedge a s s'. \llbracket ((, a, s, s') \in G; \text{snd } s' \neq \text{snd } s \rrbracket$

$\implies \exists w a'' t s''. ((, a'', s, t) \in F \wedge ((, a, t, s'') \in G \wedge \text{snd } t = \text{snd } s \wedge \text{snd } s'' = \text{snd } s'$

— The final state is independent of order of actions,  $F$  does not change  $'s$ , can be partial

**assumes**  $GFFG: \bigwedge a a' s s' t. \llbracket ((, a, s, t) \in G; ((, a', t, s') \in F \rrbracket$

$\implies \text{snd } s' = \text{snd } t \wedge (\exists a'' a''' t'. ((, a'', s, t') \in F \wedge ((, a''', t', s') \in G$

$\wedge \text{snd } t' = \text{snd } s \wedge (\text{snd } s' \neq \text{snd } t' \longrightarrow a''' = a)$

**shows**  $\text{spec.kleene.rev-star } (\text{spec.action } G) \gg (\lambda::\text{unit. spec.action } F)$

$\leq \text{spec.seq-ctxt.cl } \text{True} (\text{spec.action } F \gg \text{spec.kleene.rev-star } (\text{spec.action } G)) \text{ (is ?lhs spec.kleene.rev-star } \leq$

*?rhs)*

*<proof>*

**lemma** *cl-local-action-interference-permute-le*: — local actions permute with interference

**fixes**  $F :: (\text{unit} \times 'a \text{ agent} \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**fixes**  $r :: 's \text{ rel}$

—  $F$  does not block

**assumes**  $\bigwedge s ls. \exists v a ls'. (v, a, (ls, s), (ls', s)) \in F$

—  $F$  is insensitive to and does not modify the shared state

**assumes**  $\bigwedge v a s s' s'' ls ls'. (v, a, (ls, s), (ls', s')) \in F$

$\implies s' = s \wedge (v, a, (ls, s''), (ls', s'')) \in F$

**shows**  $\text{spec.rel } (A \times (\text{Id} \times_R r)) \gg (\lambda::\text{unit. spec.action } F)$

$\leq \text{spec.seq-ctxt.cl } \text{True} (\text{spec.action } F \gg \text{spec.rel } (A \times (\text{Id} \times_R r)))$

*<proof>*

**lemma** *cl-action-mumble-trailing-le*:

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F \rrbracket$

$\implies \exists a' ls'. (v, a', s, (ls', \text{snd } s')) \in F'$

$\wedge (\text{snd } s' \neq \text{snd } s \longrightarrow a' = a) \wedge (T \longrightarrow ls' = \text{fst } s')$

**shows**  $\text{spec.action } F \sqcap \text{spec.pre } P \leq \text{spec.seq-ctxt.cl } T \text{ (spec.action } F')$   
 ⟨proof⟩

**lemma** *cl-action-mumbleL-le*:

**assumes**  $\bigwedge w a s s'. \llbracket P s; (w, a, s, s') \in G \rrbracket$   
 $\implies \exists v a' a'' t s''. (v, a', s, t) \in F' \wedge (w, a'', t, s') \in G' v$   
 $\quad \wedge \text{snd } t = \text{snd } s \wedge (T \longrightarrow \text{fst } s'' = \text{fst } s')$   
 $\quad \wedge \text{snd } s'' = \text{snd } s' \wedge (\text{snd } s'' \neq \text{snd } t \longrightarrow a'' = a)$

**shows**  $\text{spec.action } G \sqcap \text{spec.pre } P \leq \text{spec.seq-ctxt.cl } T \text{ (spec.action } F' \ggg (\lambda v. \text{spec.action } (G' v)))$   
 ⟨proof⟩

**lemma** *cl-action-mumbleR-le*:

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in G \rrbracket$   
 $\implies \exists w a' a'' t. (w, a', s, t) \in G' \wedge (v, a'', t, s') \in F' w$   
 $\quad \wedge \text{snd } t = \text{snd } s' \wedge (\text{snd } t \neq \text{snd } s \longrightarrow a' = a)$

**shows**  $\text{spec.action } G \sqcap \text{spec.pre } P \leq \text{spec.seq-ctxt.cl } T \text{ (spec.action } G' \ggg (\lambda v. \text{spec.action } (F' v)))$   
 ⟨proof⟩

**lemma** *cl-action-mumble-expandL-le*:

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F \rrbracket \implies \text{snd } s' = \text{snd } s$   
**assumes**  $\bigwedge v w a a' s s' s''. \llbracket P s; (v, a, s, s') \in F; (w, a', s', s'') \in G v \rrbracket$   
 $\implies \exists s'''. (w, a', s, s''') \in G' \wedge \text{snd } s''' = \text{snd } s'' \wedge (T \longrightarrow \text{fst } s''' = \text{fst } s'')$

**shows**  $(\text{spec.action } F \ggg (\lambda v. \text{spec.action } (G v))) \sqcap \text{spec.pre } P \leq \text{spec.seq-ctxt.cl } T \text{ (spec.action } G')$   
 ⟨proof⟩

**lemma** *cl-action-mumble-expandR-le*:

**assumes**  $\bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in G; \text{snd } s' \neq \text{snd } s \rrbracket \implies \exists v' s''. (v', a, s, s'') \in G' \wedge \text{snd } s'' = \text{snd } s'$   
**assumes**  $\bigwedge v w a a' s s' t. \llbracket P s; (v, a, s, t) \in G; (w, a', t, s') \in F v \rrbracket$   
 $\implies \text{snd } s' = \text{snd } t \wedge (\exists a'' s''. (w, a'', s, s'') \in G' \wedge \text{snd } s'' = \text{snd } s' \wedge (T \longrightarrow \text{fst } s'' = \text{fst } s') \wedge$   
 $(\text{snd } s'' \neq \text{snd } s \longrightarrow a'' = a))$

**shows**  $(\text{spec.action } G \ggg (\lambda v. \text{spec.action } (F v))) \sqcap \text{spec.pre } P \leq \text{spec.seq-ctxt.cl } T \text{ (spec.action } G')$   
 ⟨proof⟩

⟨ML⟩

**lemma** *init-write-interference-permute-le*:

**fixes**  $P :: ('a \text{ agent}, 'ls \times 's, 'v) \text{ spec}$   
**shows**  $\text{spec.local } (\text{spec.rel } (\{\text{env}\} \times \text{UNIV}) \ggg (\lambda :: \text{unit}. \text{spec.write } (\text{proc } a) (\text{map-prod } \langle \text{ls} \rangle \text{ id} \ggg P))$   
 $\leq \text{spec.local } (\text{spec.write } (\text{proc } a) (\text{map-prod } \langle \text{ls} \rangle \text{ id} \ggg (\text{spec.rel } (\{\text{env}\} \times \text{UNIV}) \ggg (\lambda :: \text{unit}. P)))$

⟨proof⟩

**lemma** *init-write-interference2-permute-le*:

**fixes**  $P :: ('a \text{ agent}, 'ls \times 's, 'v) \text{ spec}$   
**shows**  $\text{spec.local } (\text{spec.rel } (A \times (\text{Id} \times_R r)) \ggg (\lambda :: \text{unit}. \text{spec.write } (\text{proc } a) (\text{map-prod } \langle \text{ls} \rangle \text{ id} \ggg P))$   
 $\leq \text{spec.local } (\text{spec.write } (\text{proc } a) (\text{map-prod } \langle \text{ls} \rangle \text{ id} \ggg (\text{spec.rel } (A \times (\text{Id} \times_R r)) \ggg (\lambda :: \text{unit}. P)))$

⟨proof⟩

**lemma** *trailing-local-act*:

**fixes**  $F :: 'v \Rightarrow ('w \times 'a \text{ agent} \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**shows**  $\text{spec.local } (P \ggg (\lambda v. \text{spec.action } (F v)))$

$= \text{spec.local } (P \ggg (\lambda v. \text{spec.action } \{(w, a, (ls, s), (ls, s')) \mid w a ls s ls' s'. (w, a, (ls, s), (ls', s')) \in F v \wedge (a$   
 $= \text{env} \longrightarrow ls' = ls)\})) \text{ (is ?lhs = ?rhs)}$

⟨proof⟩

⟨ML⟩

### 15.3 *spec.localize*

We can transform a process into one with the same observable behavior that ignores a local state. For compositionality we allow the *env* steps to change the local state but not the *self* steps.

$\langle ML \rangle$

**definition** *localize* :: 'ls rel  $\Rightarrow$  ('a agent, 's, 'v) spec  $\Rightarrow$  ('a agent, 'ls  $\times$  's, 'v) spec **where**  
*localize* r P = spec.rel ({env}  $\times$  (r  $\times_R$  UNIV)  $\cup$  range proc  $\times$  (Id  $\times_R$  UNIV))  $\sqcap$  spec.sinvmap snd P

$\langle ML \rangle$

**lemma** *localize-le*:

**assumes** spec.idle  $\leq$  P

**shows** spec.idle  $\leq$  spec.localize r P

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *localize*:

**shows** spec.term.none (spec.localize r P) = spec.localize r (spec.term.none P)

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *localize*:

**shows** spec.term.all (spec.localize r P) = spec.localize r (spec.term.all P)

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *localize*:

**assumes** P  $\in$  spec.term.closed -

**shows** spec.localize r P  $\in$  spec.term.closed -

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *singleton*:

**fixes**  $\sigma$  :: ('a agent, 's, 'v) trace.t

**shows** spec.localize Id  $\langle \sigma \rangle$  = ( $\bigsqcup$  ls::'ls.  $\langle trace.map id (Pair ls) id \sigma \rangle$ ) (is ?lhs = ?rhs)

$\langle proof \rangle$

**lemma** *bot*:

**shows** spec.localize r  $\perp$  =  $\perp$

$\langle proof \rangle$

**lemma** *top*:

**shows** spec.localize r  $\top$  = spec.rel ({env}  $\times$  (r  $\times_R$  UNIV)  $\cup$  range proc  $\times$  (Id  $\times_R$  UNIV))

$\langle proof \rangle$

**lemma** *Sup*:

**shows** spec.localize r ( $\bigsqcup$  X) = ( $\bigsqcup$  x $\in$ X. spec.localize r x)

$\langle proof \rangle$

**lemmas** sup = spec.localize.Sup[**where** X={X, Y} **for** X Y, *simplified*]

**lemma** *mono*:

**assumes** r  $\subseteq$  r'

**assumes**  $P \leq P'$   
**shows**  $\text{spec.localize } r \ P \leq \text{spec.localize } r' \ P'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen*[*strg*]:  
**assumes**  $\text{st-ord } F \ r \ r'$   
**assumes**  $\text{st-ord } F \ P \ P'$   
**shows**  $\text{st-ord } F \ (\text{spec.localize } r \ P) \ (\text{spec.localize } r' \ P')$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono*[*cont-intro, partial-function-mono*]:  
**assumes**  $\text{monotone } \text{orda } (\leq) \ r$   
**assumes**  $\text{monotone } \text{orda } (\leq) \ P$   
**shows**  $\text{monotone } \text{orda } (\leq) \ (\lambda x. \text{spec.localize } (r \ x) \ (P \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont*[*cont-intro*]:  
**assumes**  $\text{mcont } \text{luba } \text{orda } \text{Sup } (\leq) \ P$   
**shows**  $\text{mcont } \text{luba } \text{orda } \text{Sup } (\leq) \ (\lambda x. \text{spec.localize } r \ (P \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *bind*:  
**shows**  $\text{spec.localize } r \ (f \ggg g) = \text{spec.localize } r \ f \ggg (\lambda v. \text{spec.localize } r \ (g \ v))$   
 $\langle \text{proof} \rangle$

**lemma** *action*:  
**fixes**  $F :: ('v \times 'a \ \text{agent} \times 's \times 's) \ \text{set}$   
**shows**  $\text{spec.localize } r \ (\text{spec.action } F)$   
 $= \text{spec.rel } (\{\text{env}\} \times (r \times_R \text{Id}))$   
 $\ggg (\lambda :: \text{unit}. \text{spec.action } ((\text{map-prod id } (\text{map-prod id } (\text{map-prod snd } \text{snd})) - ' F)$   
 $\quad \cap \text{UNIV} \times (\{\text{env}\} \times (r \times_R \text{UNIV}) \cup \text{range proc} \times (\text{Id} \times_R \text{UNIV}) \cup \text{UNIV} \times \text{Id}))$   
 $\ggg (\lambda v. \text{spec.rel } (\{\text{env}\} \times (r \times_R \text{Id})) \ggg (\lambda :: \text{unit}. \text{spec.return } v)))$   
 $\langle \text{proof} \rangle$

**lemma** *return*:  
**shows**  $(\text{spec.localize } r \ (\text{spec.return } v) :: ('a \ \text{agent}, 'ls \times 's, 'v) \ \text{spec})$   
 $= \text{spec.rel } (\{\text{env}\} \times (r \times_R \text{Id})) \ggg (\lambda :: \text{unit}. \text{spec.return } v)$   
 $\langle \text{proof} \rangle$

**lemma** *rel*:  
**shows**  $\text{spec.localize } r \ (\text{spec.rel } s)$   
 $= \text{spec.rel } ((\{\text{env}\} \times (r \times_R \text{UNIV}) \cup \text{range proc} \times (\text{Id} \times_R \text{UNIV}))$   
 $\quad \cap \text{map-prod id } (\text{map-prod snd } \text{snd}) - ' (s \cup \text{UNIV} \times \text{Id}))$   
 $\langle \text{proof} \rangle$

**lemma** *rel-le*:  
**shows**  $\text{spec.localize } \text{Id } P \leq \text{spec.rel } (\text{UNIV} \times (\text{Id} \times_R \text{UNIV}))$   
 $\langle \text{proof} \rangle$

**lemma** *parallel*:  
**shows**  $\text{spec.localize } \text{UNIV } (P \parallel Q) = \text{spec.localize } \text{UNIV } P \parallel \text{spec.localize } \text{UNIV } Q$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *localize-le*:  
**assumes**  $\text{Id} \subseteq r$   
**shows**  $\text{spec.action } (\text{map-prod id } (\text{map-prod id } (\text{map-prod snd } \text{snd})) - ' F \cap \text{UNIV} \times \text{UNIV} \times (\text{Id} \times_R \text{UNIV}))$

$\leq \text{spec.localize } r \text{ (spec.action } F)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma localize:**

**assumes**  $P \in \text{spec.interference.closed } (\{\text{env}\} \times \text{UNIV})$

**shows**  $\text{spec.localize UNIV } P \in \text{spec.interference.closed } (\{\text{env}\} \times \text{UNIV})$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma localize:**

**assumes**  $\text{Id} \subseteq r$

**shows**  $\text{spec.local } (\text{spec.localize } r P) = P$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma smap-sndL:**

**assumes**  $\text{UNIV} \times (\text{Id} \times_R \text{UNIV}) \subseteq r$

**shows**  $\text{spec.smap snd } f \ggg g = \text{spec.smap snd } (f \ggg (\lambda v. \text{spec.rel } r \sqcap \text{spec.sinvmap snd } (g v)))$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

**lemma smap-sndR:**

**assumes**  $\text{UNIV} \times (\text{Id} \times_R \text{UNIV}) \subseteq r$

**shows**  $f \ggg (\lambda v. \text{spec.smap snd } (g v)) = \text{spec.smap snd } (\text{spec.rel } r \sqcap \text{spec.sinvmap snd } f \ggg g)$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

**lemma localL:**

**shows**  $\text{spec.local } f \ggg g = \text{spec.local } (f \ggg (\lambda v. \text{spec.localize Id } (g v)))$

$\langle \text{proof} \rangle$

**lemma localR:**

**shows**  $f \ggg (\lambda v. \text{spec.local } (g v)) = \text{spec.local } (\text{spec.localize Id } f \ggg g)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma cl-le:**

**shows**  $\text{spec.local } (\text{spec.cam.cl } (\{\text{env}\} \times (s \times_R r)) P) \leq \text{spec.cam.cl } (\{\text{env}\} \times r) (\text{spec.local } P)$

$\langle \text{proof} \rangle$

**lemma cl:**

**assumes**  $\text{Id} \subseteq r_l$

**shows**  $\text{spec.local } (\text{spec.cam.cl } (\{\text{env}\} \times (r_l \times_R r)) P)$

$= \text{spec.cam.cl } (\{\text{env}\} \times r) (\text{spec.local } P)$  (**is**  $?lhs = ?rhs$ )

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma local:**

**assumes**  $\text{Id} \subseteq s$

**assumes**  $P \in \text{spec.cam.closed } (\{\text{env}\} \times (s \times_R r))$

**shows**  $\text{spec.local } P \in \text{spec.cam.closed } (\{\text{env}\} \times r)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-le*:

**shows**  $\text{spec.local } (\text{spec.interference.cl } (\{\text{env}\} \times (s \times_R r)) P)$   
 $\leq \text{spec.interference.cl } (\{\text{env}\} \times r) (\text{spec.local } P)$

$\langle \text{proof} \rangle$

**lemma** *cl*:

**assumes**  $Id \subseteq s$

**shows**  $\text{spec.local } (\text{spec.interference.cl } (\{\text{env}\} \times (s \times_R r)) P)$   
 $= \text{spec.interference.cl } (\{\text{env}\} \times r) (\text{spec.local } P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local*:

**assumes**  $P \in \text{spec.interference.closed } (\{\text{env}\} \times (Id \times_R r))$

**shows**  $\text{spec.local } P \in \text{spec.interference.closed } (\{\text{env}\} \times r)$

$\langle \text{proof} \rangle$

**lemma** *local-UNIV*:

**assumes**  $P \in \text{spec.interference.closed } (\{\text{env}\} \times UNIV)$

**shows**  $\text{spec.local } P \in \text{spec.interference.closed } (\{\text{env}\} \times UNIV)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 15.4 *spec.local\_init*

$\langle ML \rangle$

**definition** *local-init* ::  $'a \Rightarrow 'ls \Rightarrow ('a \text{ agent}, 'ls \times 's, 'v) \text{ spec} \Rightarrow ('a \text{ agent}, 's, 'v) \text{ spec}$  **where**

$\text{local-init } a \text{ } ls \text{ } P = \text{spec.local } (\text{spec.write } (\text{proc } a) (\text{map-prod } \langle ls \rangle \text{ id}) \gg P)$

$\langle ML \rangle$

**lemma** *local-init-le-conv*:

**shows**  $\langle \sigma \rangle \leq \text{spec.local-init } a \text{ } ls \text{ } P$

$\longleftrightarrow \langle \sigma \rangle \leq \text{spec.idle} \vee (\exists \sigma'. \langle \sigma' \rangle \leq P$

$\wedge \text{trace.steps } \sigma' \subseteq \text{spec.local.qrm}$

$\wedge \langle \sigma \rangle \leq \langle \text{trace.map id snd id } \sigma' \rangle$

$\wedge \text{fst } (\text{trace.init } \sigma') = ls) \text{ (is ?lhs } \longleftrightarrow \text{ ?rhs)}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init-le[spec.idle-le]*:

**shows**  $\text{spec.idle} \leq \text{spec.local-init } a \text{ } ls \text{ } P$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *Sup*:

**shows**  $\text{spec.local-init } a \text{ } ls \text{ } (\bigsqcup X) = (\bigsqcup x \in X. \text{spec.local-init } a \text{ } ls \text{ } x) \sqcup \text{spec.idle}$

$\langle \text{proof} \rangle$

**lemma** *Sup-not-empty*:

**assumes**  $X \neq \{\}$

**shows**  $\text{spec.local-init } a \text{ } ls \text{ } (\bigsqcup X) = (\bigsqcup x \in X. \text{spec.local-init } a \text{ } ls \text{ } x)$

*<proof>*

**lemmas** *sup* = *spec.local-init.Sup-not-empty*[**where**  $X=\{X, Y\}$  **for**  $X Y$ , *simplified*]

**lemma** *bot*:

**shows** *spec.local-init a ls*  $\perp$  = *spec.idle*

*<proof>*

**lemma** *top*:

**shows** *spec.local-init a ls*  $\top$  = ( $\top :: ('a \text{ agent}, 's, 'v) \text{ spec}$ )

*<proof>*

**lemma** *monotone*:

**shows** *mono (spec.local-init a ls :: ('a agent, 'ls  $\times$  's, 'v) spec  $\Rightarrow$  -)*

*<proof>*

**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF spec.local-init.monotone*]

**lemmas** *mono* = *monotoneD*[*OF spec.local-init.monotone*]

**lemma** *mono2mono*[*cont-intro, partial-function-mono*]:

**assumes** *monotone orda* ( $\leq$ )  $P$

**shows** *monotone orda* ( $\leq$ ) ( $\lambda x. \text{spec.local-init a ls } (P x)$ )

*<proof>*

**lemma** *mcont2mcont*[*cont-intro*]:

**assumes** *mcont luba orda Sup* ( $\leq$ )  $P$

**shows** *mcont luba orda Sup* ( $\leq$ ) ( $\lambda x. \text{spec.local-init a ls } (P x)$ )

*<proof>*

**lemma** *action*:

**fixes**  $F :: ('v \times 'a \text{ agent} \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$

**shows** *spec.local-init a ls (spec.action F)*

= *spec.action*  $\{(v, a, s, s') \mid v \text{ a } ls' s s'. (v, a, (ls, s), (ls', s')) \in F \wedge (a = \text{env} \longrightarrow ls' = ls)\}$  (**is** *?lhs = ?rhs*)

*<proof>*

**lemma** *return*:

**shows** *spec.local-init a ls (spec.return v)* = *spec.return v*

*<proof>*

**lemma** *localize-le*:

**assumes** *spec.idle*  $\leq P$

**shows** *spec.local-init a ls (spec.localize r P)*  $\leq P$

*<proof>*

**lemma** *localize*:

**assumes** *spec.idle*  $\leq P$

**assumes**  $Id \subseteq r$

**shows** *spec.local-init a ls (spec.localize r P)* =  $P$  (**is** *?lhs = ?rhs*)

*<proof>*

**lemma** *inf-interference*:

**shows** *spec.local-init a ls P* = *spec.local-init a ls (P  $\sqcap$  spec.local.interference)*

*<proof>*

**lemma** *eq-local*:

**assumes** *spec.idle*  $\leq P$

**shows**  $(\bigsqcup ls. \text{spec.local-init a ls } P)$  = *spec.local P*

*<proof>*

**lemma** *ag-le*:

**shows**  $\text{spec.local-init } a \text{ } ls \ (\{\!|P|\!\}, \text{Id} \times_R A \vdash \text{UNIV} \times_R G, \{\!\lambda v s. Q \ v \ (\text{snd } s)\!\})$   
 $\leq \{\!\lambda s. P \ (ls, s)\!\}, A \vdash G, \{\!|Q|\!\}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-initL*:

**shows**  $\text{spec.local-init } a \text{ } ls \ f \ggg g = \text{spec.local-init } a \text{ } ls \ (f \ggg (\lambda v. \text{spec.localize } \text{Id} \ (g \ v)))$

$\langle \text{proof} \rangle$

**lemma** *local-initR*:

**shows**  $f \ggg (\lambda v. \text{spec.local-init } a \text{ } ls \ (g \ v)) = \text{spec.local-init } a \text{ } ls \ (\text{spec.localize } \text{Id} \ f \ggg g)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**fixes**  $P :: ('a \ \text{agent}, 'ls \times 't, 'v) \ \text{spec}$

**fixes**  $sf :: 's \Rightarrow 't$

**shows**  $\text{spec.sinvmap } sf \ (\text{spec.local-init } a \text{ } ls \ P)$

$= \text{spec.local-init } a \text{ } ls \ (\text{spec.rel} \ (\text{UNIV} \times (\text{Id} \times_R \text{map-prod } sf \ sf - ' \text{Id}))$

$\ggg (\lambda :: \text{unit}. \text{spec.sinvmap} \ (\text{map-prod } \text{id } sf) \ P)) \ (\text{is } ?lhs = ?rhs)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**shows**  $\text{spec.vmap } vf \ (\text{spec.local-init } a \text{ } ls \ P) = \text{spec.local-init } a \text{ } ls \ (\text{spec.vmap } vf \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**shows**  $\text{spec.vinvmap } vf \ (\text{spec.local-init } a \text{ } ls \ P) = \text{spec.local-init } a \text{ } ls \ (\text{spec.vinvmap } vf \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**shows**  $\text{spec.term.none} \ (\text{spec.local-init } a \text{ } ls \ P) = \text{spec.local-init } a \text{ } ls \ (\text{spec.term.none} \ P)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**shows**  $\text{spec.term.all} \ (\text{spec.local-init } a \text{ } ls \ P)$

$= \text{spec.local-init } a \text{ } ls \ (\text{spec.term.all} \ P) \sqcup \sqcup \text{range } \text{spec.return}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-init*:

**assumes**  $P \in \text{spec.interference.closed} \ (\{\text{env}\} \times (\text{Id} \times_R r))$

**shows**  $\text{spec.local-init } a \text{ } ls \ P \in \text{spec.interference.closed} \ (\{\text{env}\} \times r)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

## 15.5 Hoist to ('s, 'v) prog

$\langle ML \rangle$

**lift-definition**  $local :: ('ls \times 's, 'v) prog \Rightarrow ('s, 'v) prog$  **is**  $spec.local$   
 $\langle proof \rangle$

**definition**  $local-init :: 'ls \Rightarrow ('ls \times 's, 'v) prog \Rightarrow ('s, 'v) prog$  **where**  
 $local-init\ ls\ P = prog.local\ (prog.write\ (map-prod\ \langle ls \rangle\ id) \gg P)$   
— equivalent to lifting  $spec.local-init$ ; see  $prog.p2s.local-init$

**lift-definition**  $localize :: ('s, 'v) prog \Rightarrow ('ls \times 's, 'v) prog$  **is**  $spec.localize\ UNIV$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemmas**  $local[prog.p2s.simps] = prog.local.rep-eq$

**lemma**  $local-init[prog.p2s.simps]$ :  
**shows**  $prog.p2s\ (prog.local-init\ ls\ P) = spec.local-init\ ()\ ls\ (prog.p2s\ P)$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $Sup$ :  
**shows**  $prog.local\ (\bigsqcup X) = (\bigsqcup_{x \in X} prog.local\ x)$   
 $\langle proof \rangle$

**lemmas**  $sup = prog.local.Sup$  [**where**  $X = \{X, Y\}$  **for**  $X\ Y$ , *simplified*]

**lemma**  $bot$ :  
**shows**  $prog.local\ \perp = \perp$   
 $\langle proof \rangle$

**lemma**  $top$ :  
**shows**  $prog.local\ \top = \top$   
 $\langle proof \rangle$

**lemma**  $monotone$ :  
**shows**  $mono\ prog.local$   
 $\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF\ prog.local.monotone]$   
**lemmas**  $mono = monotoneD[OF\ prog.local.monotone]$   
**lemmas**  $mono2mono[cont-intro, partial-function-mono]$   
 $= monotone2monotone[OF\ prog.local.monotone, simplified, of\ orda\ P\ \mathbf{for}\ orda\ P]$

**lemma**  $mcont2mcont[cont-intro]$ :  
**assumes**  $mcont\ luba\ orda\ Sup\ (\leq)\ P$   
**shows**  $mcont\ luba\ orda\ Sup\ (\leq)\ (\lambda x. prog.local\ (P\ x))$   
 $\langle proof \rangle$

**lemma**  $bind-botR$ :  
**shows**  $prog.local\ (P \gg \perp) = prog.local\ P \gg \perp$   
 $\langle proof \rangle$

**lemma** *action*:

**shows**  $prog.local (prog.action F) = prog.action (map-prod id (map-prod snd snd) ' F)$   
*<proof>*

**lemma** *return*:

**shows**  $prog.local (prog.return v) = prog.return v$   
*<proof>*

*<ML>*

**lemma** *transfer[transfer-rule]*:

**shows**  $rel-fun (=) (rel-fun cr-prog cr-prog) (spec.local-init ()) prog.local-init$   
*<proof>*

**lemma** *Sup*:

**shows**  $prog.local-init ls (\sqcup X) = (\sqcup x \in X. prog.local-init ls x)$   
*<proof>*

**lemmas**  $sup = prog.local-init.Sup$  [where  $X = \{X, Y\}$  for  $X Y$ , *simplified*]

**lemma** *bot[simp]*:

**shows**  $prog.local-init ls \perp = \perp$   
*<proof>*

**lemma** *top*:

**shows**  $prog.local-init ls \top = \top$   
*<proof>*

**lemma** *monotone*:

**shows**  $mono (prog.local-init ls)$   
*<proof>*

**lemmas**  $strengthen[strg] = st-monotone[OF prog.local-init.monotone]$

**lemmas**  $mono = monotoneD[OF prog.local-init.monotone]$

**lemma** *mono2mono[cont-intro, partial-function-mono]*:

**assumes**  $monotone orda (\leq) P$   
**shows**  $monotone orda (\leq) (\lambda x. prog.local-init ls (P x))$   
*<proof>*

**lemma** *mcont2mcont[cont-intro]*:

**assumes**  $mcont luba orda Sup (\leq) P$   
**shows**  $mcont luba orda Sup (\leq) (\lambda x. prog.local-init ls (P x))$   
*<proof>*

**lemma** *bind-botR*:

**shows**  $prog.local-init ls (P \gg= \perp) = prog.local-init ls P \gg= \perp$   
*<proof>*

**lemma** *return*:

**shows**  $prog.local-init ls (prog.return v) = prog.return v$  (**is** *?lhs = ?rhs*)  
*<proof>*

**lemma** *eq-local*:

**shows**  $(\sqcup ls. prog.local-init ls P) = prog.local P$   
*<proof>*

*<ML>*

**lemma** *localize-alt-def*:

**shows**  $\text{prog.localize } P = \text{prog.rel } (Id \times_R UNIV) \sqcap \text{prog.sinvmap } \text{snd } P$   
*<proof>*

*<ML>*

**lemma** *Sup*:

**shows**  $\text{prog.localize } (\bigsqcup X) = (\bigsqcup x \in X. \text{prog.localize } x)$   
*<proof>*

**lemmas**  $\text{sup} = \text{prog.localize.Sup}[\text{where } X = \{X, Y\} \text{ for } X Y, \text{simplified}]$

**lemma** *bot*:

**shows**  $\text{prog.localize } \perp = \perp$   
*<proof>*

**lemma** *top*:

**shows**  $\text{prog.localize } \top = \text{prog.rel } (Id \times_R UNIV)$   
*<proof>*

**lemma** *monotone*:

**shows** *mono prog.localize*  
*<proof>*

**lemmas**  $\text{strengthen}[strg] = \text{st-monotone}[OF \text{prog.localize.monotone}]$

**lemmas**  $\text{mono} = \text{monotoneD}[OF \text{prog.localize.monotone}]$

**lemmas**  $\text{mono2mono}[\text{cont-intro}, \text{partial-function-mono}]$   
 $= \text{monotone2monotone}[OF \text{prog.localize.monotone}, \text{simplified}, \text{of } \text{orda } P \text{ for } \text{orda } P]$

**lemma** *mcont2mcont*[*cont-intro*]:

**assumes** *mcont luba orda Sup* ( $\leq$ ) *P*  
**shows** *mcont luba orda Sup* ( $\leq$ )  $(\lambda x. \text{prog.localize } (P x))$   
*<proof>*

**lemmas**  $\text{p2s}[\text{prog.p2s.simps}] = \text{prog.localize.rep-eq}$

**lemma** *bind*:

**shows**  $\text{prog.localize } (f \ggg g) = \text{prog.localize } f \ggg (\lambda v. \text{prog.localize } (g v))$   
*<proof>*

**lemma** *parallel*:

**shows**  $\text{prog.localize } (P \parallel Q) = \text{prog.localize } P \parallel \text{prog.localize } Q$   
*<proof>*

**lemma** *rel*:

**fixes**  $r :: 's \text{ rel}$   
**shows**  $\text{prog.localize } (\text{prog.rel } r) = \text{prog.rel } (Id \times_R r)$   
*<proof>*

**lemma** *action*:

**shows**  $\text{prog.localize } (\text{prog.action } F)$   
 $= \text{prog.action } (\text{map-prod } id (\text{map-prod } \text{snd } \text{snd}) - ' F \cap UNIV \times (Id \times_R UNIV))$   
*<proof>*

*<ML>*

**lemma** *localize*:

**fixes**  $P :: ('s, 'v) \text{ prog}$   
**shows**  $\text{prog.local} (\text{prog.localize } P :: ('ls \times 's, 'v) \text{ prog}) = P$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

## 15.6 Refinement rules

$\langle ML \rangle$

We use *localizeA* to hoist assumes similarly to *spec.localize*.

**definition**  $\text{localizeA} :: (\text{sequential}, 's, 'v) \text{ spec} \Rightarrow (\text{sequential}, 'ls \times 's, 'v) \text{ spec}$  **where**  
 $\text{localizeA } P = \text{spec.local.interference} \sqcap \text{spec.sinvmap } \text{snd } P$

$\langle ML \rangle$

**lemma** *bot*:

**shows**  $\text{spec.localizeA } \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *top*:

**shows**  $\text{spec.localizeA } \top = \text{spec.local.interference}$   
 $\langle \text{proof} \rangle$

**lemma** *ag-assm*:

**shows**  $\text{spec.localizeA} (\text{ag.assm } A) = \text{ag.assm} (Id \times_R A)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *localI*: — Introduce local state

**fixes**  $A :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $c :: (\text{sequential}, 'ls \times 's, 'v) \text{ spec}$   
**fixes**  $c' :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $P :: 's \text{ pred}$   
**fixes**  $Q :: 'v \Rightarrow 's \text{ pred}$   
**assumes**  $c \leq \{\!\! \{ \lambda s. P (\text{snd } s) \}\!\! \}$ ,  $\text{spec.localizeA } A \Vdash \text{spec.sinvmap } \text{snd } c'$ ,  $\{\!\! \{ \lambda v s. Q v (\text{snd } s) \}\!\! \}$   
**shows**  $\text{spec.local } c \leq \{\!\! \{ P \}\!\! \}$ ,  $A \Vdash c'$ ,  $\{\!\! \{ Q \}\!\! \}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *local-seq-ctxt-cl*:

**fixes**  $A :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $P :: 's \text{ pred}$   
**fixes**  $Q :: 'v \Rightarrow 's \text{ pred}$   
**fixes**  $c :: (\text{sequential}, 'ls \times 's, 'v) \text{ spec}$   
**fixes**  $c' :: (\text{sequential}, 'ls \times 's, 'v) \text{ spec}$   
**assumes**  $\text{spec.local.interference} \sqcap c$   
 $\leq \{\!\! \{ \lambda s. P (\text{snd } s) \}\!\! \}$ ,  $\text{spec.localizeA } A \Vdash \text{spec.seq-ctxt.cl } False (\text{spec.local.interference} \sqcap c')$ ,  $\{\!\! \{ \lambda v s. Q v (\text{snd } s) \}\!\! \}$   
**shows**  $\text{spec.local } c \leq \{\!\! \{ P \}\!\! \}$ ,  $A \Vdash \text{spec.local } c'$ ,  $\{\!\! \{ Q \}\!\! \}$   
 $\langle \text{proof} \rangle$

**lemma** *cl-bind*:

**fixes**  $f :: ('a \text{ agent}, 'ls \times 's, 'v) \text{ spec}$   
**fixes**  $g :: 'v \Rightarrow ('a \text{ agent}, 'ls \times 's, 'w) \text{ spec}$   
**assumes**  $g: \bigwedge v. g v \leq \{\!\! \{ Q' v \}\!\! \}$ ,  $\text{refinement.spec.bind.res} (\text{spec.pre } P \sqcap \text{spec.term.all } A \sqcap \text{spec.seq-ctxt.cl } True f')$   
 $A v \Vdash \text{spec.seq-ctxt.cl } T (g' v)$ ,  $\{\!\! \{ Q \}\!\! \}$

**assumes**  $f: f \leq \{\!\{P\}\!\}$ ,  $\text{spec.term.all } A \Vdash \text{spec.seq-ctxt.cl True } f', \{\!\{Q'\}\!\}$   
**shows**  $f \ggg g \leq \{\!\{P\}\!\}$ ,  $A \Vdash \text{spec.seq-ctxt.cl } T (f' \ggg g'), \{\!\{Q\}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *cl-action-permuteL*:

**fixes**  $F :: ('v \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $G :: 'v \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $G' :: ('v' \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $F' :: 'v' \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $Q :: 'w \Rightarrow ('ls \times 's) \text{ pred}$   
**assumes**  $F: \bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in F \rrbracket \Longrightarrow \text{snd } s' = \text{snd } s$   
**assumes**  $FGG'F': \bigwedge v w a a' s s' t. \llbracket P s; (v, a', s, t) \in F; (w, a, t, s') \in G v \rrbracket$   
 $\Longrightarrow \exists v' a'' a''' t'. (v', a'', s, t') \in G' \wedge (w, a''', t', s') \in F' v'$   
 $\wedge \text{snd } s' = \text{snd } t' \wedge (\text{snd } s \neq \text{snd } t' \longrightarrow a'' = a)$   
**assumes**  $Q: \bigwedge v w a a' s s' s''. \llbracket P s; (v, a, s, s') \in G'; (w, a', s', s'') \in F' v \rrbracket \Longrightarrow Q w s''$   
**shows**  $\text{spec.action } F \ggg (\lambda v. \text{spec.action } (G v)) \leq \{\!\{P\}\!\}$ ,  $A \Vdash \text{spec.seq-ctxt.cl } T (\text{spec.action } G' \ggg (\lambda v. \text{spec.action } (F' v))), \{\!\{Q\}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *cl-action-permuteR*:

**fixes**  $G :: ('v \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $F :: 'v \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $F' :: ('v' \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**fixes**  $G' :: 'v' \Rightarrow ('w \times 'a \times ('ls \times 's) \times ('ls \times 's)) \text{ set}$   
**assumes**  $G: \bigwedge v a s s'. \llbracket P s; (v, a, s, s') \in G; \text{snd } s' \neq \text{snd } s \rrbracket$   
 $\Longrightarrow \exists v' w a'' t s''. (v', a'', s, t) \in F' \wedge (w, a, t, s'') \in G' v' \wedge \text{snd } t = \text{snd } s \wedge \text{snd } s'' = \text{snd } s'$   
**assumes**  $GFF'G': \bigwedge v w a a' s s' t. \llbracket P s; (v, a, s, t) \in G; (w, a', t, s') \in F v \rrbracket$   
 $\Longrightarrow \text{snd } s' = \text{snd } t \wedge (\exists v' a'' a''' t'. (v', a'', s, t') \in F' \wedge (w, a''', t', s') \in G' v'$   
 $\wedge \text{snd } t' = \text{snd } s \wedge (\text{snd } s' \neq \text{snd } t' \longrightarrow a''' = a))$   
**assumes**  $Q: \bigwedge v w a a' s s' s''. \llbracket P s; (v, a, s, s') \in F'; (w, a', s', s'') \in G' v \rrbracket \Longrightarrow Q w s''$   
**shows**  $\text{spec.action } G \ggg (\lambda v. \text{spec.action } (F v)) \leq \{\!\{P\}\!\}$ ,  $A \Vdash \text{spec.seq-ctxt.cl } T (\text{spec.action } F' \ggg (\lambda v. \text{spec.action } (G' v))), \{\!\{Q\}\!\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *localI*: — Introduce local state

**fixes**  $A :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $c :: ('ls \times 's, 'v) \text{ prog}$   
**fixes**  $c' :: (\text{sequential}, 's, 'v) \text{ spec}$   
**fixes**  $P :: 's \text{ pred}$   
**fixes**  $Q :: 'v \Rightarrow 's \text{ pred}$   
**assumes**  $\text{prog.p2s } c \leq \{\!\{\lambda s. P (\text{snd } s)\}\!\}$ ,  $\text{spec.localizeA } A \Vdash \text{spec.sinvmap snd } c', \{\!\{\lambda v s. Q v (\text{snd } s)\}\!\}$   
**shows**  $\text{prog.p2s } (\text{prog.local } c) \leq \{\!\{P\}\!\}$ ,  $A \Vdash c', \{\!\{Q\}\!\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

### 15.6.1 Data refinement

In this setting a (concrete) specification  $c$  is a *data refinement* of (abstract) specification  $c'$  if:

- the observable state changes coincide
- concrete local states are mapped to abstract local states by  $sf$  which then coincide

Observations:

- pre/post are in terms of the concrete local states

- $sf$  can be used to lift these to the abstract local states
- we do not require  $c$  or  $c'$  to disallow the environment from changing the local state
- essentially a Skolemization of Lamport’s existentials (Lamport 1994, §8)

References:

- de Roever and Engelhardt (1998, Chapter 14 “Refinement Methods due to Abadi and Lamport and to Lynch”)
- in general  $c$  will need to be augmented with auxiliary variables

$\langle ML \rangle$

**lemma data:**

**fixes**  $A :: (sequential, 's, 'v) spec$

**fixes**  $c :: (sequential, 'cls \times 's, 'v) spec$

**fixes**  $c' :: (sequential, 'als \times 's, 'v) spec$

**fixes**  $sf :: 'cls \Rightarrow 'als$

**assumes**  $c \leq \{\!\{ \lambda s. P (snd s) \}\!\}, spec.localizeA A \Vdash spec.sinvmap (map-prod sf id) c', \{\!\{ \lambda v s. Q v (snd s) \}\!\}$

**shows**  $spec.local c \leq \{\!\{ P \}\!\}, A \Vdash spec.local c', \{\!\{ Q \}\!\}$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma data:**

**fixes**  $A :: (sequential, 's, 'v) spec$

**fixes**  $c :: ('cls \times 's, 'v) prog$

**fixes**  $c' :: ('als \times 's, 'v) prog$

**fixes**  $sf :: 'cls \Rightarrow 'als$

**assumes**  $prog.p2s c \leq \{\!\{ \lambda s. P (snd s) \}\!\}, spec.localizeA A \Vdash spec.sinvmap (map-prod sf id) (prog.p2s c'), \{\!\{ \lambda v s. Q v (snd s) \}\!\}$

**shows**  $prog.p2s (prog.local c) \leq \{\!\{ P \}\!\}, A \Vdash prog.p2s (prog.local c'), \{\!\{ Q \}\!\}$

$\langle proof \rangle$

$\langle ML \rangle$

## 15.7 Assume/guarantee

$\langle ML \rangle$

**lemma local:**

**fixes**  $A G :: 's rel$

**fixes**  $P :: 's pred$

**fixes**  $Q :: 'v \Rightarrow 's pred$

**fixes**  $c :: (sequential, 'ls \times 's, 'v) spec$

**assumes**  $c \leq \{\!\{ \lambda s. P (snd s) \}\!\}, Id \times_R A \vdash UNIV \times_R G, \{\!\{ \lambda v s. Q v (snd s) \}\!\}$

**shows**  $spec.local c \leq \{\!\{ P \}\!\}, A \vdash G, \{\!\{ Q \}\!\}$

$\langle proof \rangle$

**lemma localize-lift:**

**fixes**  $A G :: 's rel$

**fixes**  $P :: 's \Rightarrow bool$

**fixes**  $Q :: 'v \Rightarrow 's \Rightarrow bool$

**fixes**  $c :: (sequential, 's, 'v) spec$

**notes**  $inf.bounded-iff[simp del]$

**assumes**  $c: c \leq \{\!\{ P \}\!\}, A \vdash G, \{\!\{ Q \}\!\}$

**shows**  $spec.localize UNIV c \leq \{\!\{ \lambda s. P (snd s) \}\!\}, UNIV \times_R A \vdash Id \times_R G, \{\!\{ \lambda v s: 'ls \times 's. Q v (snd s) \}\!\}$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *local*:

**fixes**  $A\ G :: 's\ rel$

**fixes**  $P :: 's\ pred$

**fixes**  $Q :: 'v \Rightarrow 's\ pred$

**fixes**  $c :: ('ls \times 's, 'v)\ prog$

**assumes**  $prog.p2s\ c \leq \{\lambda s. P\ (snd\ s)\}, Id \times_R A \vdash UNIV \times_R G, \{\lambda v\ s. Q\ v\ (snd\ s)\}$

**shows**  $prog.p2s\ (prog.local\ c) \leq \{\!|P|\!\}, A \vdash G, \{\!|Q|\!\}$

$\langle proof \rangle$

**lemma** *localize-lift*:

**fixes**  $A\ G :: 's\ rel$

**fixes**  $P :: 's \Rightarrow bool$

**fixes**  $Q :: 'v \Rightarrow 's \Rightarrow bool$

**fixes**  $c :: ('s, 'v)\ prog$

**assumes**  $prog.p2s\ c \leq \{\!|P|\!\}, A \vdash G, \{\!|Q|\!\}$

**shows**  $prog.p2s\ (prog.localize\ c) \leq \{\lambda s. P\ (snd\ s)\}, UNIV \times_R A \vdash Id \times_R G, \{\lambda v\ s. Q\ v\ (snd\ s)\}$

$\langle proof \rangle$

$\langle ML \rangle$

## 15.8 Specification inhabitation

$\langle ML \rangle$

**lemma** *localize*:

**assumes**  $P\ -s, xs \rightarrow P'$

**assumes**  $Id \subseteq r$

**shows**  $spec.localize\ r\ P\ -(ls, s), map\ (map-prod\ id\ (Pair\ ls))\ xs \rightarrow spec.localize\ r\ P'$

$\langle proof \rangle$

**lemma** *local*:

**assumes**  $P\ -(ls, s), xs \rightarrow spec.return\ v$

**assumes**  $trace.steps'\ (ls, s)\ xs \subseteq spec.local.qrm$

**shows**  $spec.local\ P\ -s, map\ (map-prod\ id\ snd)\ xs \rightarrow spec.return\ v$

$\langle proof \rangle$

**lemma** *local-init*:

**assumes**  $P\ -(ls, s), xs \rightarrow P'$

**assumes**  $trace.steps'\ (ls, s)\ xs \subseteq spec.local.qrm$

**shows**  $spec.local-init\ a\ ls\ P\ -s, map\ (map-prod\ id\ snd)\ xs \rightarrow spec.local-init\ a\ (fst\ (trace.final'\ (ls, s)\ xs))\ P'$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *localize*:

**assumes**  $prog.p2s\ P\ -s, xs \rightarrow prog.p2s\ P'$

**shows**  $prog.p2s\ (prog.localize\ P)\ -(ls, s), map\ (map-prod\ id\ (Pair\ ls))\ xs \rightarrow prog.p2s\ (prog.localize\ P')$

$\langle proof \rangle$

**lemma** *local*:

**assumes**  $prog.p2s\ P\ -(ls, s), xs \rightarrow spec.return\ v$

**assumes**  $trace.steps'\ (ls, s)\ xs \subseteq spec.local.qrm$

**shows**  $prog.p2s\ (prog.local\ P)\ -s, map\ (map-prod\ id\ snd)\ xs \rightarrow spec.return\ v$

$\langle proof \rangle$

**lemma** *local-init*:

**assumes**  $prog.p2s\ P \ - (ls, s),\ xs \rightarrow prog.p2s\ P'$

**assumes**  $trace.steps'\ (ls, s)\ xs \subseteq spec.local.qrm$

**shows**  $prog.p2s\ (prog.local-init\ ls\ P) \ -s,\ map\ (map\ -prod\ id\ snd)\ xs \rightarrow prog.p2s\ (prog.local-init\ (fst\ (trace.final'\ (ls, s)\ xs))\ P')$

*<proof>*

*<ML>*

## 16 A Temporal Logic of Safety (TLS)

We model systems with finite and infinite sequences of states, closed under stuttering following Lamport (1994). This theory relates the safety logic of §8 to the powerset (quotiented by stuttering) representing properties of these sequences (see §16.6). Most of this story is standard but the addition of finite sequences does have some impact.

References:

- historical motivations for future-time linear temporal logic (LTL): Manna and Pnueli (1991); Owicki and Lamport (1982).
- a discussion on the merits of proving liveness: <https://cs.nyu.edu/acsys/beyond-safety/liveness.htm>

Observations:

- Lamport (and Abadi et al) treat infinite stuttering as termination
  - Lamport (2000, p189): “we can represent a terminating execution of any system by an infinite behavior that ends with a sequence of nothing but stuttering steps. We have no need of finite behaviors (finite sequences of states), so we consider only infinite ones.”
  - this conflates divergence with termination
  - we separate those concepts here so we can support sequential composition
- the traditional account of liveness properties breaks down (see §24)

### 16.1 Stuttering

An infinitary version of *trace.natural'*.

Observations:

- we need to normalize the agent labels for sequences that infinitely stutter

Source materials:

- \$ISABELLE\_HOME/src/HOL/Corec\_Examples/LFilter.thy.
- \$AFP/Coinductive/Coinductive\_List.thy
- \$AFP/Coinductive/TLList.thy
- \$AFP/TLA/Sequence.thy.

**definition** *trailing* ::  $'c \Rightarrow ('a, 'b)\ tllist \Rightarrow ('c, 'b)\ tllist$  **where**

*trailing*  $s\ xs = (if\ tfinite\ xs\ then\ TNil\ (terminal\ xs)\ else\ trepeat\ s)$

**corecursive** *collapse* ::  $'s \Rightarrow ('a \times 's, 'v)\ tllist \Rightarrow ('a \times 's, 'v)\ tllist$  **where**

*collapse*  $s\ xs = (if\ snd\ 'tset\ xs \subseteq \{s\}\ then\ trailing\ (undefined, s)\ xs$

else if  $\text{snd } (\text{thd } xs) = s$  then  $\text{collapse } s$  ( $\text{ttl } xs$ )  
 else  $\text{TCons } (\text{thd } xs)$  ( $\text{collapse } (\text{snd } (\text{thd } xs))$  ( $\text{ttl } xs$ ))

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *trailing*:

**shows**  $\text{tmap } sf \text{ vf } (\text{trailing } s \text{ xs}) = \text{trailing } (sf \ s) \ (\text{tmap } sf \ \text{vf } \text{xs})$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *trailing*:

**shows**  $\text{tlength } (\text{trailing } s \text{ xs}) \leq \text{tlength } \text{xs}$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *simps[simp]*:

**shows** *TNil*:  $\text{trailing } s \ (\text{TNil } b) = \text{TNil } b$

**and** *TCons*:  $\text{trailing } s \ (\text{TCons } x \text{ xs}) = \text{trailing } s \ \text{xs}$

**and** *ttl*:  $\text{ttl } (\text{trailing } s \ \text{xs}) = \text{trailing } s \ \text{xs}$

**and** *idempotent*:  $\text{trailing } s \ (\text{trailing } s \ \text{xs}) = \text{trailing } s \ \text{xs}$

**and** *tset-finite*:  $\text{tset } (\text{trailing } s \ \text{xs}) = (\text{if } \text{tfinite } \text{xs} \ \text{then } \{\} \ \text{else } \{s\})$

**and** *trepeat*:  $\text{trailing } s \ (\text{trepeat } s) = \text{trepeat } s$

$\langle \text{proof} \rangle$

**lemma** *eq-TNil-conv*:

**shows**  $\text{trailing } s \ \text{xs} = \text{TNil } b \iff \text{tfinite } \text{xs} \wedge \text{terminal } \text{xs} = b$

**and**  $\text{TNil } b = \text{trailing } s \ \text{xs} \iff \text{tfinite } \text{xs} \wedge \text{terminal } \text{xs} = b$

**and** *is-TNil* ( $\text{trailing } s \ \text{xs}$ )  $\iff \text{tfinite } \text{xs}$

$\langle \text{proof} \rangle$

**lemma** *eq-TCons-conv*:

**shows**  $\text{trailing } s \ \text{xs} = \text{TCons } y \ \text{ys} \iff \neg \text{tfinite } \text{xs} \wedge \text{TCons } y \ \text{ys} = \text{trepeat } s$

**and**  $\text{TCons } y \ \text{ys} = \text{trailing } s \ \text{xs} \iff \neg \text{tfinite } \text{xs} \wedge \text{TCons } y \ \text{ys} = \text{trepeat } s$

$\langle \text{proof} \rangle$

**lemma** *tmap*:

**shows**  $\text{trailing } s \ (\text{tmap } sf \ \text{vf } \text{xs}) = \text{tmap } id \ \text{vf } (\text{trailing } s \ \text{xs})$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *unique*:

**assumes**  $\bigwedge s \ \text{xs}. f \ s \ \text{xs} = (\text{if } \text{snd } \text{'tset } \text{xs} \subseteq \{s\} \ \text{then } \text{trailing } (\text{undefined}, s) \ \text{xs}$

else if  $\text{snd } (\text{thd } \text{xs}) = s$  then  $f \ s \ (\text{ttl } \text{xs})$

else  $\text{TCons } (\text{thd } \text{xs}) \ (f \ (\text{snd } (\text{thd } \text{xs})) \ (\text{ttl } \text{xs}))$ )

**shows**  $f = \text{collapse}$

$\langle \text{proof} \rangle$

**lemma** *collapse*:

**shows**  $\text{collapse } s \ (\text{collapse } s \ \text{xs}) = \text{collapse } s \ \text{xs}$

$\langle \text{proof} \rangle$

**lemma** *simps[simp]*:

**shows** *TNil*:  $\text{collapse } s \ (\text{TNil } b) = \text{TNil } b$

**and** *TCons*:  $\text{collapse } s \ (\text{TCons } x \ \text{xs}) = (\text{if } \text{snd } x = s \ \text{then } \text{collapse } s \ \text{xs} \ \text{else } \text{TCons } x \ (\text{collapse } (\text{snd } x) \ \text{xs}))$

**and trailing:**  $\text{collapse } s \text{ (trailing (undefined, } s) \text{ } xs) = \text{trailing (undefined, } s) \text{ } xs$   
 ⟨proof⟩

**lemma tshift-stuttering:**

**assumes**  $\text{snd ' set } xs \subseteq \{s\}$

**shows**  $\text{collapse } s \text{ (tshift } xs \text{ } ys) = \text{collapse } s \text{ } ys$

⟨proof⟩

**lemma infinite-trailing:**

**assumes**  $\neg \text{tfinite } xs$

**assumes**  $\text{snd ' tset } xs \subseteq \{s'\}$

**shows**  $\text{collapse } s \text{ } xs = (\text{if } s = s' \text{ then } \text{trepeat (undefined, } s') \text{ else } \text{TCons (thd } xs) \text{ (trepeat (undefined, } s')))$

⟨proof⟩

**lemma eq-TNil-conv:**

**shows**  $\text{collapse } s \text{ } xs = \text{TNil } b \longleftrightarrow \text{tfinite } xs \wedge \text{snd ' tset } xs \subseteq \{s\} \wedge \text{terminal } xs = b$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

**and**  $\text{TNil } b = \text{collapse } s \text{ } xs \longleftrightarrow \text{tfinite } xs \wedge \text{snd ' tset } xs \subseteq \{s\} \wedge \text{terminal } xs = b$  (**is**  $?thesis1$ )

⟨proof⟩

**lemma is-TNil-conv:**

**shows**  $\text{is-TNil (collapse } s \text{ } xs) \longleftrightarrow \text{tfinite } xs \wedge \text{snd ' tset } xs \subseteq \{s\}$  (**is**  $?thesis2$ )

⟨proof⟩

**lemma eq-TConsE:**

**assumes**  $\text{collapse } s \text{ } xs = \text{TCons } y \text{ } ys$

**obtains**

$(\text{trailing-stuttering}) \neg \text{tfinite } xs$

**and**  $\text{snd ' tset } xs = \{s\}$

**and**  $\text{TCons } y \text{ } ys = \text{trepeat (undefined, } s)$

|  $(\text{step}) \text{ us } \text{ys}' \text{ where } xs = \text{tshift us (TCons } y \text{ } \text{ys}'$

**and**  $\text{snd ' set } us \subseteq \{s\}$

**and**  $\text{snd } y \neq s$

**and**  $\text{collapse (snd } y) \text{ } \text{ys}' = \text{ys}$

⟨proof⟩

**lemma eq-TCons-conv:**

**shows**  $\text{collapse } s \text{ } xs = \text{TCons } y \text{ } ys$

$\longleftrightarrow (\neg \text{tfinite } xs \wedge \text{snd ' tset } xs = \{s\} \wedge \text{TCons } y \text{ } ys = \text{trepeat (undefined, } s))$

$\vee (\exists xs' \text{ } \text{ys}'. xs = \text{tshift } xs' \text{ (TCons } y \text{ } \text{ys}') \wedge \text{snd ' set } xs' \subseteq \{s\} \wedge \text{snd } y \neq s \wedge \text{collapse (snd } y) \text{ } \text{ys}' = \text{ys})$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

**and**  $\text{TCons } y \text{ } ys = \text{collapse } s \text{ } xs$

$\longleftrightarrow (\neg \text{tfinite } xs \wedge \text{snd ' tset } xs = \{s\} \wedge \text{TCons } y \text{ } ys = \text{trepeat (undefined, } s))$

$\vee (\exists xs' \text{ } \text{ys}'. xs = \text{tshift } xs' \text{ (TCons } y \text{ } \text{ys}') \wedge \text{snd ' set } xs' \subseteq \{s\} \wedge \text{snd } y \neq s \wedge \text{collapse (snd } y) \text{ } \text{ys}' = \text{ys})$  (**is**  $?thesis1$ )

⟨proof⟩

**lemma tfinite:**

**shows**  $\text{tfinite (collapse } s \text{ } xs) \longleftrightarrow \text{tfinite } xs$  (**is**  $?lhs \longleftrightarrow ?rhs$ )

⟨proof⟩

**lemma tfinite-conv:**

**assumes**  $\text{collapse } s \text{ } xs = \text{collapse } s' \text{ } \text{xs}'$

**shows**  $\text{tfinite } xs \longleftrightarrow \text{tfinite } \text{xs}'$

⟨proof⟩

**lemma terminal:**

**shows**  $\text{terminal (collapse } s \text{ } xs) = \text{terminal } xs$

⟨proof⟩

**lemma** *tlength*:

**shows**  $tlength (collapse\ s\ xs) \leq tlength\ xs$   
*<proof>*

**lemma** *tset-memberD*:

**assumes**  $(a, s') \in tset (collapse\ s\ xs)$   
**shows**  $s' \in snd\ 'tset\ xs$   
*<proof>*

**lemma** *tset-memberD2*:

**assumes**  $(a, s') \in tset\ xs$   
**shows**  $s = s' \vee s' \in snd\ 'tset (collapse\ s\ xs)$   
*<proof>*

**lemma** *tshift*:

**shows**  $collapse\ s (tshift\ xs\ ys) = tshift (trace.natural'\ s\ xs) (collapse (trace.final'\ s\ xs)\ ys)$   
*<proof>*

**lemma** *trepeat*:

**shows**  $collapse\ s (trepeat (a, s)) = trepeat (undefined, s)$   
*<proof>*

**lemma** *eq-trepeat-conv*:

**shows**  $trepeat (undefined, s) = collapse\ s\ xs \longleftrightarrow \neg tfinite\ xs \wedge snd\ 'tset\ xs = \{s\}$  (**is** *?thesis1*)  
**and**  $collapse\ s\ xs = trepeat (undefined, s) \longleftrightarrow \neg tfinite\ xs \wedge snd\ 'tset\ xs = \{s\}$  (**is** *?thesis2*)  
*<proof>*

**lemma** *trePLICATE*:

**shows**  $collapse\ s (trePLICATE\ i (a, s)\ v) = TNil\ v$   
*<proof>*

**lemma** *eq-tshift-conv*:

**shows**  $collapse\ s\ xs = tshift\ ys\ zs$   
 $\longleftrightarrow (\exists xs'\ xs''\ ys'. tshift\ xs'\ xs'' = xs \wedge trace.natural'\ s\ xs' @ ys' = ys$   
 $\wedge ((\neg tfinite\ xs'' \wedge snd\ 'tset\ xs'' = \{trace.final'\ s\ xs'\}) \wedge tshift\ ys'\ zs = trepeat (undefined, trace.final'\ s$   
 $xs'))$   
 $\vee (ys' = [] \wedge collapse (trace.final'\ s\ xs')\ xs'' = zs))$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)  
**and**  $tshift\ ys\ zs = collapse\ s\ xs$   
 $\longleftrightarrow (\exists xs'\ xs''\ ys'. tshift\ xs'\ xs'' = xs \wedge trace.natural'\ s\ xs' @ ys' = ys$   
 $\wedge ((\neg tfinite\ xs'' \wedge snd\ 'tset\ xs'' = \{trace.final'\ s\ xs'\}) \wedge tshift\ ys'\ zs = trepeat (undefined, trace.final'\ s$   
 $xs'))$   
 $\vee (ys' = [] \wedge collapse (trace.final'\ s\ xs')\ xs'' = zs))$  (**is** *?thesis1*)  
*<proof>*

**lemma** *eq-collapse-ttake-dropn-conv*:

**shows**  $collapse\ s\ xs = collapse\ s\ ys$   
 $\longleftrightarrow (\exists j. trace.natural'\ s (fst (ttake\ i\ xs)) = trace.natural'\ s (fst (ttake\ j\ ys))$   
 $\wedge snd (ttake\ i\ xs) = snd (ttake\ j\ ys)$   
 $\wedge collapse (trace.final'\ s (fst (ttake\ i\ xs))) (tdropn\ i\ xs)$   
 $= collapse (trace.final'\ s (fst (ttake\ j\ ys))) (tdropn\ j\ ys))$  (**is** *?lhs*  $\longleftrightarrow$   $(\exists j. ?rhs\ i\ j\ s\ xs\ ys)$ )  
*<proof>*

**lemmas** *eq-collapse-ttake-dropnE* = *exE*[*OF* *iffD1*[*OF* *collapse.eq-collapse-ttake-dropn-conv*]]

**lemma** *tshift-tdropn*:

**assumes**  $trace.natural'\ s (fst (ttake\ i\ xs)) = trace.natural'\ s\ ys$   
**shows**  $collapse\ s (tshift\ ys (tdropn\ i\ xs)) = collapse\ s\ xs$

$\langle proof \rangle$

**lemma** *map-collapse*:

**shows**  $collapse\ (sf\ s)\ (tmap\ (map\text{-}prod\ af\ sf)\ vf\ (collapse\ s\ xs))$   
 $=\ collapse\ (sf\ s)\ (tmap\ (map\text{-}prod\ af\ sf)\ vf\ xs)\ (is\ ?lhs\ s\ xs = ?rhs\ s\ xs)$

$\langle proof \rangle$

$\langle ML \rangle$

**definition** *natural*  $:: ('a, 's, 'v)\ behavior.t \Rightarrow ('a, 's, 'v)\ behavior.t\ (\Downarrow_T)$  **where**

$\Downarrow_T \omega = behavior.B\ (behavior.init\ \omega)\ (collapse\ (behavior.init\ \omega)\ (behavior.rest\ \omega))$

$\langle ML \rangle$

**lemma** *collapse[simp]*:

**shows**  $behavior.sset\ (behavior.B\ s\ (collapse\ s\ xs)) = behavior.sset\ (behavior.B\ s\ xs)$

$\langle proof \rangle$

**lemma** *natural[simp]*:

**shows**  $behavior.sset\ (\Downarrow_T \omega) = behavior.sset\ \omega$

$\langle proof \rangle$

**lemma** *continue*:

**shows**  $behavior.sset\ (\sigma @_{-B} xs) = trace.sset\ \sigma \cup (case\ trace.term\ \sigma\ of\ None \Rightarrow snd\ 'tset\ xs\ | Some\ - \Rightarrow \{\})$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *sel[simp]*:

**shows**  $behavior.init\ (\Downarrow_T \omega) = behavior.init\ \omega$

**and**  $behavior.rest\ (\Downarrow_T \omega) = collapse\ (behavior.init\ \omega)\ (behavior.rest\ \omega)$

$\langle proof \rangle$

**lemma** *TNil*:

**shows**  $\Downarrow_T (behavior.B\ s\ (TNil\ v)) = behavior.B\ s\ (TNil\ v)$

$\langle proof \rangle$

**lemma** *tfinite*:

**shows**  $tfinite\ (behavior.rest\ (\Downarrow_T \omega)) \longleftrightarrow tfinite\ (behavior.rest\ \omega)$

$\langle proof \rangle$

**lemma** *continue*:

**shows**  $\Downarrow_T (\sigma @_{-B} xs) = \Downarrow_T \sigma @_{-B} (collapse\ (trace.final\ \sigma)\ xs)$

$\langle proof \rangle$

**lemma** *tshift*:

**shows**  $\Downarrow_T (behavior.B\ s\ (tshift\ as\ xs)) = behavior.B\ s\ (collapse\ s\ (tshift\ as\ xs))$

$\langle proof \rangle$

**lemma** *trepeat*:

**shows**  $\Downarrow_T (behavior.B\ s\ (trepeat\ (a, s))) = behavior.B\ s\ (trepeat\ (undefined, s))$

$\langle proof \rangle$

**lemma** *trePLICATE*:

**shows**  $\Downarrow_T (behavior.B\ s\ (trePLICATE\ i\ (a, s)\ v)) = behavior.B\ s\ (TNil\ v)$

$\langle proof \rangle$

**lemma** *map-natural*:

**shows**  $\Downarrow_T(\text{behavior.map af sf vf } (\Downarrow_T \omega)) = \Downarrow_T(\text{behavior.map af sf vf } \omega)$   
*<proof>*

**lemma idle:**

**assumes**  $\text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}$

**shows**  $\Downarrow_T \omega = \text{behavior.B } (\text{behavior.init } \omega) (\text{trailing } (\text{undefined}, \text{behavior.init } \omega) (\text{behavior.rest } \omega))$   
*<proof>*

*<ML>*

**interpretation stuttering:** *galois.image-vimage-idempotent*  $\Downarrow_T$

*<proof>*

*<ML>*

**abbreviation**  $\text{syn} :: ('a, 's, 'v) \text{behavior.t} \Rightarrow ('a, 's, 'v) \text{behavior.t} \Rightarrow \text{bool}$  (**infix**  $\langle \simeq_T \rangle$  50) **where**  
 $\omega_1 \simeq_T \omega_2 \equiv \text{behavior.stuttering.equivalent } \omega_1 \omega_2$

**lemma map:**

**assumes**  $\omega_1 \simeq_T \omega_2$

**shows**  $\text{behavior.map af sf vf } \omega_1 \simeq_T \text{behavior.map af sf vf } \omega_2$   
*<proof>*

**lemma takeE:**

**assumes**  $\omega_1 \simeq_T \omega_2$

**obtains**  $j$  **where**  $\text{behavior.take } i \omega_1 \simeq_S \text{behavior.take } j \omega_2$   
*<proof>*

**lemma idle-dropn:**

**assumes**  $\text{behavior.dropn } i \omega = \text{Some } \omega'$

**assumes**  $\text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}$

**shows**  $\omega \simeq_T \omega'$   
*<proof>*

*<ML>*

**lemma takeE:**

**fixes**  $\sigma :: ('a, 's, 'v) \text{trace.t}$

**assumes**  $\text{behavior.take } i \omega \simeq_S \sigma$

**obtains**  $\omega' j$  **where**  $\omega \simeq_T \omega'$  **and**  $\sigma = \text{behavior.take } j \omega'$   
*<proof>*

**lemmas**  $\text{rev-takeE} = \text{trace.stuttering.equiv.behavior.takeE}[OF \text{sym}]$

*<ML>*

**lemma takeE:**

**fixes**  $\omega :: ('a, 's, 'v) \text{behavior.t}$

**obtains**  $j$  **where**  $\Downarrow(\text{behavior.take } i \omega) = \text{behavior.take } j (\Downarrow_T \omega)$   
*<proof>*

*<ML>*

## 16.2 The $( 'a, 's, 'v )$ tls lattice

This is our version of Lamport's TLA lattice which we treat in a "semantic" way similarly to [Abadi and Merz \(1996\)](#).

Observations:

- there is a somewhat natural partial ordering on the *tls* lattice induced by the connection with the *spec* lattice (see §16.6 and §24) which we do not use

**typedef** (*'a*, *'s*, *'v*) *tls* = *behavior.stuttering.closed* :: (*'a*, *'s*, *'v*) *behavior.t set set*  
**morphisms** *unTLS TLS*  
*<proof>*

**setup-lifting** *type-definition-tls*

**instantiation** *tls* :: (*type, type, type*) *complete-boolean-algebra*  
**begin**

**lift-definition** *bot-tls* :: (*'a*, *'s*, *'v*) *tls* **is** *empty* *<proof>*  
**lift-definition** *top-tls* :: (*'a*, *'s*, *'v*) *tls* **is** *UNIV* *<proof>*  
**lift-definition** *sup-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is** *sup* *<proof>*  
**lift-definition** *inf-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is** *inf* *<proof>*  
**lift-definition** *less-eq-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  *bool* **is** *less-eq* *<proof>*  
**lift-definition** *less-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  *bool* **is** *less* *<proof>*  
**lift-definition** *Inf-tls* :: (*'a*, *'s*, *'v*) *tls set*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is** *Inf* *<proof>*  
**lift-definition** *Sup-tls* :: (*'a*, *'s*, *'v*) *tls set*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is**  $\lambda X. \text{Sup } X \sqcup \text{behavior.stuttering.cl } \{\}$  *<proof>*  
**lift-definition** *minus-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is** *minus* *<proof>*  
**lift-definition** *uminus-tls* :: (*'a*, *'s*, *'v*) *tls*  $\Rightarrow$  (*'a*, *'s*, *'v*) *tls* **is** *uminus* *<proof>*

**instance**  
*<proof>*

**end**

**declare**

*SUPE*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro!*]  
*SupE*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro!*]  
*Sup-le-iff*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *simp*]  
*SupI*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro*]  
*SUPI*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro*]  
*rev-SUPI*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro?*]  
*INFE*[**where** *'a*=(*'a*, *'s*, *'v*) *tls*, *intro*]

*<ML>*

**lemma** *boolean-implication-transfer*[*transfer-rule*]:

**shows** *rel-fun* (*pcr-tls* (=) (=) (=)) (*rel-fun* (*pcr-tls* (=) (=) (=)) (*pcr-tls* (=) (=) (=))) ( $\longrightarrow_B$ ) ( $\longrightarrow_B$ )  
*<proof>*

**lemma** *bot-not-top*:

**shows**  $\perp \neq (\top :: ('a, 's, 'v) \text{tls})$   
*<proof>*

*<ML>*

### 16.3 Irreducible elements

*<ML>*

**definition** *singleton* :: (*'a*, *'s*, *'v*) *behavior.t*  $\Rightarrow$  (*'a*, *'s*, *'v*) *behavior.t set* **where**  
*singleton*  $\omega = \text{behavior.stuttering.cl } \{\omega\}$

**lemma** *singleton-le-conv*:

**shows** *raw.singleton*  $\sigma_1 \leq \text{raw.singleton } \sigma_2 \iff \Downarrow_T \sigma_1 = \Downarrow_T \sigma_2$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lift-definition**  $\text{singleton} :: ('a, 's, 'v) \text{behavior}.t \Rightarrow ('a, 's, 'v) \text{tls} (\langle \langle - \rangle_T \rangle [0])$  **is**  $\text{raw.singleton}$

$\langle \text{proof} \rangle$

**abbreviation**  $\text{singleton-behavior-syn} :: 's \Rightarrow ('a \times 's, 'v) \text{tllist} \Rightarrow ('a, 's, 'v) \text{tls} (\langle \langle -, - \rangle_T \rangle [0, 0])$  **where**

$\langle s, xs \rangle_T \equiv \langle \text{behavior}.B\ s\ xs \rangle_T$

$\langle ML \rangle$

**lemma**  $\text{Sup-prime}$ :

**shows**  $\text{Sup-prime} \langle \omega \rangle_T$

$\langle \text{proof} \rangle$

**lemma**  $\text{nchotomy}$ :

**shows**  $\exists X \in \text{behavior.stuttering.closed}. x = \bigsqcup (\text{tls.singleton} \text{ ` } X)$

$\langle \text{proof} \rangle$

**lemmas**  $\text{exhaust} = \text{bexE}[OF\ \text{tls.singleton.nchotomy}]$

**lemma**  $\text{collapse[simp]}$ :

**shows**  $\bigsqcup (\text{tls.singleton} \text{ ` } \{\omega. \langle \omega \rangle_T \leq P\}) = P$

$\langle \text{proof} \rangle$

**lemmas**  $\text{not-bot} = \text{Sup-prime-not-bot}[OF\ \text{tls.singleton.Sup-prime}]$  — Non-triviality

$\langle ML \rangle$

**lemma**  $\text{singleton-le-ext-conv}$ :

**shows**  $P \leq Q \iff (\forall \omega. \langle \omega \rangle_T \leq P \longrightarrow \langle \omega \rangle_T \leq Q)$  (**is**  $?lhs \iff ?rhs$ )

$\langle \text{proof} \rangle$

**lemmas**  $\text{singleton-le-conv} = \text{raw.singleton-le-conv}[transferred]$

**lemmas**  $\text{singleton-le-extI} = \text{iffD2}[OF\ \text{tls.singleton-le-ext-conv}, \text{rule-format}]$

**lemma**  $\text{singleton-eq-conv[simp]}$ :

**shows**  $\langle \omega \rangle_T = \langle \omega' \rangle_T \iff \omega \simeq_T \omega'$

$\langle \text{proof} \rangle$

**lemma**  $\text{singleton-cong}$ :

**assumes**  $\omega \simeq_T \omega'$

**shows**  $\langle \omega \rangle_T = \langle \omega' \rangle_T$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**named-theorems**  $\text{le-conv} \text{ ` } \text{simplification rules for } \langle \langle \sigma \rangle_T \leq \text{const} \dots \rangle$

**lemma**  $\text{boolean-implication-le-conv}[tls.singleton.le-conv]$ :

**shows**  $\langle \sigma \rangle_T \leq P \longrightarrow_B Q \iff (\langle \sigma \rangle_T \leq P \longrightarrow \langle \sigma \rangle_T \leq Q)$

$\langle \text{proof} \rangle$

**lemmas**  $\text{antisym} = \text{antisym}[OF\ \text{tls.singleton-le-extI}\ \text{tls.singleton-le-extI}]$

**lemmas**  $\text{top} = \text{tls.singleton.collapse}[of\ \top, \text{simplified}, \text{symmetric}]$

**lemma** *simps*[*simp*]:

**shows**  $\langle \mathbb{1}_T \omega \rangle_T = \langle \omega \rangle_T$

**and**  $\langle s, xs \rangle_T \leq \langle s, \text{collapse } s \text{ } xs \rangle_T$

**and**  $\text{snd } \text{'set } ys \subseteq \{s\} \implies \langle s, \text{tshift } ys \text{ } xs \rangle_T = \langle s, xs \rangle_T$

**and**  $\langle s, TCons (a, s) \text{ } xs \rangle_T = \langle s, xs \rangle_T$

*<proof>*

**lemmas** *Sup-irreducible* = *iffD1*[*OF heyting.Sup-prime-Sup-irreducible-iff tls.singleton.Sup-prime*]

**lemmas** *sup-irreducible* = *Sup-irreducible-on-imp-sup-irreducible-on*[*OF tls.singleton.Sup-irreducible, simplified*]

**lemmas** *Sup-leE*[*elim*] = *Sup-prime-onE*[*OF tls.singleton.Sup-prime, simplified*]

**lemmas** *sup-le-conv*[*simp*] = *sup-irreducible-le-conv*[*OF tls.singleton.sup-irreducible*]

**lemmas** *Sup-le-conv*[*simp*] = *Sup-prime-on-conv*[*OF tls.singleton.Sup-prime, simplified*]

**lemmas** *compact-point* = *Sup-prime-is-compact*[*OF tls.singleton.Sup-prime*]

**lemmas** *compact*[*cont-intro*] = *compact-points-are-ccpo-compact*[*OF tls.singleton.compact-point*]

*<ML>*

## 16.4 The idle process

The idle process contains no transitions and does not terminate.

*<ML>*

**definition** *idle* :: ('a, 's, 'v) *behavior.t set where*

*idle* = ( $\bigcup s. \text{raw.singleton (behavior.B } s \text{ (trepeat (undefined, s)))}$ )

**lemma** *idle-alt-def*:

**shows**  $\text{raw.idle} = \{\omega. \neg \text{tfinite (behavior.rest } \omega) \wedge \text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}\}$  (**is** ?*lhs* = ?*rhs*)

*<proof>*

*<ML>*

**lemma** *not-tfinite*:

**assumes**  $\omega \in \text{raw.idle}$

**shows**  $\neg \text{tfinite (behavior.rest } \omega)$

*<proof>*

*<ML>*

**lemma** *idle*[*iff*]:

**shows**  $\text{raw.idle} \in \text{behavior.stuttering.closed}$

*<proof>*

*<ML>*

**lift-definition** *idle* :: ('a, 's, 'v) *tls is raw.idle* *<proof>*

**lemma** *idle-alt-def*:

**shows**  $\text{tls.idle} = (\bigsqcup s. \langle s, \text{trepeat (undefined, s)} \rangle_T)$

*<proof>*

*<ML>*

**lemma** *idle-le-conv*[*tls.singleton.le-conv*]:

**shows**  $\langle \omega \rangle_T \leq \text{tls.idle} \iff \neg \text{tfinite (behavior.rest } \omega) \wedge \text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}$

*<proof>*

*<ML>*

**lemma** *minimal-le*:

**shows**  $\langle s, \text{repeat } (\text{undefined}, s) \rangle_T \leq \text{tls.idle}$   
*<proof>*

*<ML>*

## 16.5 Temporal Logic for ('a, 's, 'v) tls

The following is a straightforward shallow embedding of the now-traditional anchored semantics of LTL [Manna and Pnueli \(1988\)](#).

References:

- [\\$AFP/TLA/Liveness.thy](#)
- [\\$ISABELLE\\_HOME/src/HOL/TLA/TLA.thy](#)
- [https://en.wikipedia.org/wiki/Linear\\_temporal\\_logic](https://en.wikipedia.org/wiki/Linear_temporal_logic)
- [Kröger and Merz \(2008\)](#)
- [Warford, Vega, and Staley \(2020\)](#)

Observations:

- as we lack next/X/⊙ (due to stuttering closure) we do not have induction for  $\mathcal{U}$  (until)
- [Lamport \(1994\)](#) omitted the LTL “until” operator from TLA as he considered it too hard to use
- As [De Giacomo and Vardi \(2013\)](#) observe, things get non-standard on finite traces
  - see §24 for an example
  - [Maier \(2004\)](#) provides an alternative account

*<ML>*

**definition** *state-prop* :: ('a, 's, 'v) behavior.t set **where**  
*state-prop*  $P = \{\omega. P (\text{behavior.init } \omega)\}$

**definition**

*until* :: ('a, 's, 'v) behavior.t set  $\Rightarrow$  ('a, 's, 'v) behavior.t set  $\Rightarrow$  ('a, 's, 'v) behavior.t set

**where**

*until*  $P Q = \{\omega. \exists i. \exists \omega' \in Q. \text{behavior.dropn } i \omega = \text{Some } \omega' \wedge (\forall j < i. \text{the } (\text{behavior.dropn } j \omega) \in P)\}$

**definition**

*eventually* :: ('a, 's, 'v) behavior.t set  $\Rightarrow$  ('a, 's, 'v) behavior.t set

**where**

*eventually*  $P = \text{raw.until UNIV } P$

**definition**

*always* :: ('a, 's, 'v) behavior.t set  $\Rightarrow$  ('a, 's, 'v) behavior.t set

**where**

*always*  $P = \neg \text{raw.eventually } (\neg P)$

**abbreviation** (*input*) *unless*  $P Q \equiv \text{raw.until } P Q \cup \text{raw.always } P$

**definition** *terminated* :: ('a, 's, 'v) behavior.t set **where**

*terminated*  $= \{\omega. \text{tfinite } (\text{behavior.rest } \omega) \wedge \text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}\}$

**lemma** *untilI*:

**assumes** *behavior.dropn*  $i \omega = \text{Some } \omega'$

**assumes**  $\omega' \in Q$   
**assumes**  $\bigwedge j. j < i \implies \text{the } (\text{behavior.dropn } j \ \omega) \in P$   
**shows**  $\omega \in \text{raw.until } P \ Q$   
 <proof>

**lemma eventually-alt-def:**  
**shows**  $\text{raw.eventually } P = \{\omega . \exists \omega' \in P. \exists i. \text{behavior.dropn } i \ \omega = \text{Some } \omega'\}$   
 <proof>

**lemma always-alt-def:**  
**shows**  $\text{raw.always } P = \{\omega . \forall i \ \omega'. \text{behavior.dropn } i \ \omega = \text{Some } \omega' \implies \omega' \in P\}$   
 <proof>

**lemma alwaysI:**  
**assumes**  $\bigwedge i \ \omega'. \text{behavior.dropn } i \ \omega = \text{Some } \omega' \implies \omega' \in P$   
**shows**  $\omega \in \text{raw.always } P$   
 <proof>

**lemma alwaysD:**  
**assumes**  $\omega \in \text{raw.always } P$   
**assumes**  $\text{behavior.dropn } i \ \omega = \text{Some } \omega'$   
**shows**  $\omega' \in P$   
 <proof>

<ML>

**lemma monotone:**  
**shows**  $\text{mono raw.state-prop}$   
 <proof>

**lemma\_simps:**  
**shows**  
 $\text{raw.state-prop } \langle \text{False} \rangle = \{\}$   
 $\text{raw.state-prop } \perp = \{\}$   
 $\text{raw.state-prop } \langle \text{True} \rangle = \text{UNIV}$   
 $\text{raw.state-prop } \top = \text{UNIV}$   
 $-\ \text{raw.state-prop } P = \text{raw.state-prop } (- P)$   
 $\text{raw.state-prop } P \cup \text{raw.state-prop } Q = \text{raw.state-prop } (P \sqcup Q)$   
 $\text{raw.state-prop } P \cap \text{raw.state-prop } Q = \text{raw.state-prop } (P \sqcap Q)$   
 $(\text{raw.state-prop } P \longrightarrow_B \text{raw.state-prop } Q) = \text{raw.state-prop } (P \longrightarrow_B Q)$   
 <proof>

**lemma Inf:**  
**shows**  $\text{raw.state-prop } (\bigcap X) = \bigcap (\text{raw.state-prop } ` X)$   
 <proof>

**lemma Sup:**  
**shows**  $\text{raw.state-prop } (\bigcup X) = \bigcup (\text{raw.state-prop } ` X)$   
 <proof>

<ML>

**lemma inf-always-le:**  
**fixes**  $P :: ('a, 's, 'v) \text{behavior.t set}$   
**assumes**  $P \in \text{behavior.stuttering.closed}$   
**shows**  $\text{raw.terminated } \cap P \subseteq \text{raw.always } P$   
 <proof>

$\langle ML \rangle$

**lemma** *base*:

**shows**  $\omega \in Q \implies \omega \in \text{raw.until } P \ Q$

**and**  $Q \subseteq \text{raw.until } P \ Q$

$\langle \text{proof} \rangle$

**lemma** *step*:

**assumes**  $\omega \in P$

**assumes**  $\text{behavior.tl } \omega = \text{Some } \omega'$

**assumes**  $\omega' \in \text{raw.until } P \ Q$

**shows**  $\omega \in \text{raw.until } P \ Q$

$\langle \text{proof} \rangle$

**lemmas** *intro*[*intro*] =

*raw.until.base*

*raw.until.step*

**lemma** *induct*[*case-names base step, consumes 1, induct set: raw.until*]:

**assumes**  $\omega \in \text{raw.until } P \ Q$

**assumes** *base*:  $\bigwedge \omega. \omega \in Q \implies R \ \omega$

**assumes** *step*:  $\bigwedge \omega \ \omega'. [\omega \in P; \text{behavior.tl } \omega = \text{Some } \omega'; \omega' \in \text{raw.until } P \ Q; R \ \omega'] \implies R \ \omega$

**shows**  $R \ \omega$

$\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $P \subseteq P'$

**assumes**  $Q \subseteq Q'$

**shows**  $\text{raw.until } P \ Q \subseteq \text{raw.until } P' \ Q'$

$\langle \text{proof} \rangle$

**lemma** *botL*:

**shows**  $\text{raw.until } \{\} \ Q = Q$

$\langle \text{proof} \rangle$

**lemma** *botR*:

**shows**  $\text{raw.until } P \ \{\} = \{\}$

$\langle \text{proof} \rangle$

**lemma** *untilR*:

**shows**  $\text{raw.until } P \ (\text{raw.until } P \ Q) = \text{raw.until } P \ Q$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

**lemma** *InfL-not-empty*:

**assumes**  $X \neq \{\}$

**shows**  $\text{raw.until } (\bigcap X) \ Q = (\bigcap_{x \in X}. \text{raw.until } x \ Q)$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

**lemma** *SupR*:

**shows**  $\text{raw.until } P \ (\bigcup X) = \bigcup (\text{raw.until } P \ ` X)$

$\langle \text{proof} \rangle$

**lemma** *weakenL*:

**shows**  $\text{raw.until } UNIV \ P = \text{raw.until } (- \ P) \ P$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

**lemma** *implication-ordering-le*: — Warford et al. (2020, (16))

**shows**  $\text{raw.until } P \ Q \cap \text{raw.until } (- \ Q) \ R \subseteq \text{raw.until } P \ R$

$\langle proof \rangle$

**lemma** *infR-ordering-le*: — Warford et al. (2020, (18))

**shows**  $raw.until\ P\ (Q \cap R) \subseteq raw.until\ (raw.until\ P\ Q)\ R$  (**is**  $?lhs \subseteq ?rhs$ )

$\langle proof \rangle$

**lemma** *untilL*:

**shows**  $raw.until\ (raw.until\ P\ Q)\ Q \subseteq raw.until\ P\ Q$  (**is**  $?lhs \subseteq ?rhs$ )

$\langle proof \rangle$

**lemma** *alwaysR-le*:

**shows**  $raw.until\ P\ (raw.always\ Q) \subseteq raw.always\ (raw.until\ P\ Q)$  (**is**  $?lhs \subseteq ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *neg*:

**shows**  $\neg (raw.until\ P\ Q \cup raw.always\ P) = raw.until\ (\neg Q)\ (\neg P \cap \neg Q)$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *terminated*:

**shows**  $raw.eventually\ raw.terminated = \{\omega. tfinite\ (behavior.rest\ \omega)\}$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *state-prop[intro]*:

**shows**  $raw.state-prop\ P \in behavior.stuttering.closed$

$\langle proof \rangle$

**lemma** *terminated[intro]*:

**shows**  $raw.terminated \in behavior.stuttering.closed$

$\langle proof \rangle$

**lemma** *until[intro]*:

**assumes**  $P \in behavior.stuttering.closed$

**assumes**  $Q \in behavior.stuttering.closed$

**shows**  $raw.until\ P\ Q \in behavior.stuttering.closed$

$\langle proof \rangle$

**lemma** *eventually[intro]*:

**assumes**  $P \in behavior.stuttering.closed$

**shows**  $raw.eventually\ P \in behavior.stuttering.closed$

$\langle proof \rangle$

**lemma** *always[intro]*:

**assumes**  $P \in behavior.stuttering.closed$

**shows**  $raw.always\ P \in behavior.stuttering.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**definition** *valid* ::  $(\prime a, \prime s, \prime v)\ tls \Rightarrow bool$  **where**

$valid\ P \longleftrightarrow P = \top$

**lift-definition** *state-prop* ::  $\prime s\ pred \Rightarrow (\prime a, \prime s, \prime v)\ tls$  **is**  $raw.state-prop$   $\langle proof \rangle$

**lift-definition** *terminated* :: ( $'a, 's, 'v$ ) *tls* **is** *raw.terminated*  $\langle proof \rangle$   
**lift-definition** *until* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **is** *raw.until*  $\langle proof \rangle$

**definition** *eventually* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*eventually*  $P = \text{tls.until } \top P$

**definition** *always* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*always*  $P = \neg \text{tls.eventually } (\neg P)$

**definition** *release* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*release*  $P Q = \neg(\text{tls.until } (\neg P) (\neg Q))$

**definition** *unless* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*unless*  $P Q = \text{tls.until } P Q \sqcup \text{tls.always } P$

**abbreviation** (*input*) *always-imp-syn* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*always-imp-syn*  $P Q \equiv \text{tls.always } (P \longrightarrow_B Q)$

**abbreviation** (*input*) *leads-to* :: ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls*  $\Rightarrow$  ( $'a, 's, 'v$ ) *tls* **where**  
*leads-to*  $P Q \equiv \text{tls.always-imp-syn } P (\text{tls.eventually } Q)$

**open-bundle** *syntax*

**begin**

**notation** *tls.valid* ( $\langle \models \rightarrow [30] 30 \rangle$ )  
**notation** *tls.state-prop* ( $\langle \langle - \rangle \rangle [0] \rangle$ )  
**notation** *tls.until* (**infix**  $\langle \mathcal{U} \rangle 85$ )  
**notation** *tls.eventually* ( $\langle \diamond \rightarrow [87] 87 \rangle$ )  
**notation** *tls.always* ( $\langle \square \rightarrow [87] 87 \rangle$ )  
**notation** *tls.release* (**infixr**  $\langle \mathcal{R} \rangle 85$ )  
**notation** *tls.unless* (**infixr**  $\langle \mathcal{W} \rangle 85$ )  
**notation** *tls.always-imp-syn* (**infixr**  $\langle \longrightarrow_{\square} \rangle 75$ )  
**notation** *tls.leads-to* (**infixr**  $\langle \rightsquigarrow \rangle 75$ )

**end**

**bundle** *no-syntax*

**begin**

**no-notation** *tls.valid* ( $\langle \models \rightarrow [30] 30 \rangle$ )  
**no-notation** *tls.state-prop* ( $\langle \langle - \rangle \rangle [0] \rangle$ )  
**no-notation** *tls.until* (**infixr**  $\langle \mathcal{U} \rangle 85$ )  
**no-notation** *tls.eventually* ( $\langle \diamond \rightarrow [87] 87 \rangle$ )  
**no-notation** *tls.always* ( $\langle \square \rightarrow [87] 87 \rangle$ )  
**no-notation** *tls.release* (**infixr**  $\langle \mathcal{R} \rangle 85$ )  
**no-notation** *tls.unless* (**infixr**  $\langle \mathcal{W} \rangle 85$ )  
**no-notation** *tls.always-imp-syn* (**infixr**  $\langle \longrightarrow_{\square} \rangle 75$ )  
**no-notation** *tls.leads-to* (**infixr**  $\langle \rightsquigarrow \rangle 75$ )

**end**

**lemma** *validI*:

**assumes**  $\top \leq P$

**shows**  $\models P$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *trans[trans]*:

**assumes**  $\models P$

**assumes**  $P \leq Q$

**shows**  $\models Q$

$\langle proof \rangle$

**lemma** *mp*:

**assumes**  $\models P \longrightarrow_B Q$

**assumes**  $\models P$

**shows**  $\models Q$

$\langle proof \rangle$

**lemmas** *rev-mp* = *tls.valid.mp[rotated]*

$\langle ML \rangle$

**lemma** *uminus-le-conv[tls.singleton.le-conv]*:

**shows**  $\langle \omega \rangle_T \leq -P \longleftrightarrow \neg \langle \omega \rangle_T \leq P$

$\langle proof \rangle$

**lemma** *state-prop-le-conv[tls.singleton.le-conv]*:

**shows**  $\langle \omega \rangle_T \leq \text{tls.state-prop } P \longleftrightarrow P \text{ (behavior.init } \omega)$

$\langle proof \rangle$

**lemma** *terminated-le-conv[tls.singleton.le-conv]*:

**shows**  $\langle \omega \rangle_T \leq \text{tls.terminated} \longleftrightarrow \text{tfinite (behavior.rest } \omega) \wedge \text{behavior.sset } \omega \subseteq \{\text{behavior.init } \omega\}$

$\langle proof \rangle$

**lemma** *until-le-conv[tls.singleton.le-conv]*:

**fixes**  $P :: ('a, 's, 'v) \text{tls}$

**shows**  $\langle \omega \rangle_T \leq P \mathcal{U} Q \longleftrightarrow (\exists i \omega'. \text{behavior.dropn } i \omega = \text{Some } \omega'$

$\wedge \langle \omega' \rangle_T \leq Q$

$\wedge (\forall j < i. \langle \text{the (behavior.dropn } j \omega) \rangle_T \leq P)) \text{ (is ?lhs } \longleftrightarrow \text{ ?rhs)}$

$\langle proof \rangle$

**lemma** *eventually-le-conv[tls.singleton.le-conv]*:

**shows**  $\langle \omega \rangle_T \leq \diamond P \longleftrightarrow (\exists i \omega'. \text{behavior.dropn } i \omega = \text{Some } \omega' \wedge \langle \omega' \rangle_T \leq P)$

$\langle proof \rangle$

**lemma** *always-le-conv[tls.singleton.le-conv]*:

**shows**  $\langle \omega \rangle_T \leq \text{tls.always } P \longleftrightarrow (\forall i \omega'. \text{behavior.dropn } i \omega = \text{Some } \omega' \longrightarrow \langle \omega' \rangle_T \leq P)$

$\langle proof \rangle$

$\langle ML \rangle$

**interpretation** *until*: *closure-complete-lattice-distributive-class* *tls.until* *P* **for** *P*

$\langle proof \rangle$

$\langle ML \rangle$

**lemmas** *botL* = *raw.until.botL[transferred]*

**lemmas** *botR* = *raw.until.botR[transferred]*

**lemmas** *topR* = *tls.until.cl-top*

**lemmas** *expansiveR* = *tls.until.expansive[of P Q for P Q]*

**lemmas** *weakenL* = *raw.until.weakenL[transferred]*

**lemmas** *mono* = *raw.until.mono[transferred]*

**lemma** *strengthen[strg]*:

**assumes** *st-ord*  $F P P'$

**assumes** *st-ord*  $F Q Q'$

**shows**  $st\text{-ord } F (P \mathcal{U} Q) (P' \mathcal{U} Q')$   
 $\langle proof \rangle$

**lemma**  $SupL\text{-le}$ :

**shows**  $(\bigsqcup_{x \in X}. x \mathcal{U} R) \leq (\bigsqcup X) \mathcal{U} R$   
 $\langle proof \rangle$

**lemma**  $supL\text{-le}$ :

**shows**  $P \mathcal{U} R \sqcup Q \mathcal{U} R \leq (P \sqcup Q) \mathcal{U} R$   
 $\langle proof \rangle$

**lemma**  $SupR$ :

**shows**  $P \mathcal{U} (\bigsqcup X) = \bigsqcup ((\mathcal{U} P) ' X)$   
 $\langle proof \rangle$

**lemmas**  $supR = tls.until.cl\text{-sup}$

**lemmas**  $InfL\text{-not-empty} = raw.until.InfL\text{-not-empty}[transferred]$

**lemmas**  $infL = tls.until.InfL\text{-not-empty}[\mathbf{where } X = \{P, Q\} \mathbf{for } P Q, \text{ simplified, of } P Q R \mathbf{for } P Q R]$

**lemmas**  $InfR\text{-le} = tls.until.cl\text{-Inf-le}$

**lemmas**  $infR\text{-le} = tls.until.cl\text{-inf-le}[of P Q R \mathbf{for } P Q R]$

**lemma**  $implication\text{-ordering-le}$ : — Warford et al. (2020, (16))

**shows**  $P \mathcal{U} Q \sqcap (-Q) \mathcal{U} R \leq P \mathcal{U} R$   
 $\langle proof \rangle$

**lemma**  $supL\text{-ordering-le}$ : — Warford et al. (2020, (17))

**shows**  $P \mathcal{U} (Q \mathcal{U} R) \leq (P \sqcup Q) \mathcal{U} R$  (**is**  $?lhs \leq ?rhs$ )  
 $\langle proof \rangle$

**lemma**  $infR\text{-ordering-le}$ : — Warford et al. (2020, (18))

**shows**  $P \mathcal{U} (Q \sqcap R) \leq (P \mathcal{U} Q) \mathcal{U} R$   
 $\langle proof \rangle$

**lemma**  $boolean\text{-implication-distrib-le}$ : — Warford et al. (2020, (19))

**shows**  $(P \longrightarrow_B Q) \mathcal{U} R \leq (P \mathcal{U} R) \longrightarrow_B (Q \mathcal{U} R)$   
 $\langle proof \rangle$

**lemma**  $excluded\text{-middleR}$ : — Warford et al. (2020, (23))

**shows**  $\models P \mathcal{U} Q \sqcup P \mathcal{U} (-Q)$   
 $\langle proof \rangle$

**lemmas**  $untilR = tls.until.idempotent(1)[of P Q \mathbf{for } P Q]$

**lemma**  $untilL$ :

**shows**  $(P \mathcal{U} Q) \mathcal{U} Q = P \mathcal{U} Q$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma**  $absorb$ :

**shows**  $P \mathcal{U} P = P$   
 $\langle proof \rangle$

**lemma**  $absorb\text{-supL}$ : — Warford et al. (2020, (23))

**shows**  $P \sqcup P \mathcal{U} Q = P \sqcup Q$   
 $\langle proof \rangle$

**lemma**  $absorb\text{-supR}$ : — Warford et al. (2020, (23))

**shows**  $Q \sqcup P \mathcal{U} Q = P \mathcal{U} Q$

*<proof>*

**lemma** *eventually-le*:

**shows**  $P \mathcal{U} Q \leq \diamond Q$

*<proof>*

**lemma** *absorb-eventually*:

**shows** *inf-eventually-absorbR*:  $P \mathcal{U} Q \sqcap \diamond Q = P \mathcal{U} Q$  — Warford et al. (2020, (39))

**and** *sup-eventually-absorbR*:  $P \mathcal{U} Q \sqcup \diamond Q = \diamond Q$  — Warford et al. (2020, (40))

**and** *eventually-absorbR*:  $P \mathcal{U} \diamond Q = \diamond Q$  — Warford et al. (2020, (41))

*<proof>*

**lemma** *sup-le*: — Warford et al. (2020, (28))

**shows**  $P \mathcal{U} Q \leq P \sqcup Q$

*<proof>*

**lemma** *ordering*: — Warford et al. (2020, (251))

**shows**  $(-P) \mathcal{U} Q \sqcup (-Q) \mathcal{U} P = \diamond(P \sqcup Q)$  (is ?lhs = ?rhs)

*<proof>*

**lemmas** *simps* =

*tls.until.expansiveR*

*tls.until.botL*

*tls.until.botR*

*tls.until.absorb*

*tls.until.absorb-supL*

*tls.until.absorb-supR*

*tls.until.untilL*

*tls.until.untilR*

*<ML>*

**interpretation** *eventually*: *closure-complete-lattice-distributive-class* *tls.eventually*

*<proof>*

**lemma** *eventually-alt-def*:

**shows**  $\diamond P = (-P) \mathcal{U} P$

*<proof>*

*<ML>*

**lemma** *transfer*[*transfer-rule*]:

**shows** *rel-fun* (*pcr-tls* (=) (=) (=)) (*pcr-tls* (=) (=) (=)) *raw.eventually* *tls.eventually*

*<proof>*

**lemma** *bot*:

**shows**  $\diamond \perp = \perp$

*<proof>*

**lemma** *bot-conv*:

**shows**  $\diamond P = \perp \iff P = \perp$

*<proof>*

**lemmas** *top* = *tls.eventually.cl-top*

**lemmas** *monotone* = *tls.eventually.monotone-cl*

**lemmas** *mono* = *tls.eventually.mono-cl*

**lemmas**  $Sup = tls.eventually.cl-Sup[simplified\ tls.eventually.bot, simplified]$

**lemmas**  $sup = tls.eventually.Sup[where\ X=\{P, Q\}\ for\ P\ Q, simplified]$

**lemmas**  $Inf-le = tls.eventually.cl-Inf-le$

**lemmas**  $inf-le = tls.eventually.cl-inf-le$

**lemma** *neg*:

**shows**  $-\diamond P = \square(-P)$

$\langle proof \rangle$

**lemma** *boolean-implication-le*:

**shows**  $\diamond P \longrightarrow_B \diamond Q \leq \diamond(P \longrightarrow_B Q)$

$\langle proof \rangle$

**lemmas** *simps* =

*tls.eventually.bot*

*tls.eventually.top*

*tls.eventually.expansive*

*tls.eventually-def[symmetric]*

**lemma** *terminated*:

**shows**  $\diamond tls.terminated = \bigsqcup (tls.singleton\ \{\omega.\ tfinite\ (behavior.rest\ \omega)\})$

$\langle proof \rangle$

**lemma** *always-imp-le*:

**shows**  $P \longrightarrow_{\square} Q \leq \diamond P \longrightarrow_B \diamond Q$

$\langle proof \rangle$

**lemma** *until*:

**shows**  $\diamond(P\ \mathcal{U}\ Q) = \diamond Q$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *always-alt-def*:

**shows**  $\square P = P\ \mathcal{W}\ \perp$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *transfer[transfer-rule]*:

**shows**  $rel\ fun\ (pcr-tls\ (=)\ (=)\ (=))\ (pcr-tls\ (=)\ (=)\ (=))\ raw.always\ tls.always$

$\langle proof \rangle$

*tls.always* is an interior operator

**lemma** *idempotent[simp]*:

**shows**  $\square\square P = \square P$

$\langle proof \rangle$

**lemma** *contractive*:

**shows**  $\square P \leq P$

$\langle proof \rangle$

**lemma** *monotone[iff]*:

**shows** *mono* *tls.always*

$\langle proof \rangle$

**lemmas** *strengthen[strg]* = *st-monotone[OF* *tls.always.monotone]*

**lemmas**  $\text{mono}[\text{trans}] = \text{monoD}[\text{OF } \text{tls.always.monotone}]$

**lemma** *bot*:

**shows**  $\Box \perp = \perp$

$\langle \text{proof} \rangle$

**lemma** *top*:

**shows**  $\Box \top = \top$

$\langle \text{proof} \rangle$

**lemma** *top-conv*:

**shows**  $\Box P = \top \longleftrightarrow P = \top$

$\langle \text{proof} \rangle$

**lemma** *Sup-le*:

**shows**  $\bigsqcup (\text{tls.always } ' X) \leq \Box (\bigsqcup X)$

$\langle \text{proof} \rangle$

**lemma** *sup-le*:

**shows**  $\Box P \sqcup \Box Q \leq \Box (P \sqcup Q)$

$\langle \text{proof} \rangle$

**lemma** *Inf*:

**shows**  $\Box (\bigsqcap X) = \bigsqcap (\text{tls.always } ' X)$

$\langle \text{proof} \rangle$

**lemma** *inf*:

**shows**  $\Box (P \sqcap Q) = \Box P \sqcap \Box Q$

$\langle \text{proof} \rangle$

**lemma** *neg*:

**shows**  $\neg \Box P = \Diamond (\neg P)$

$\langle \text{proof} \rangle$

**lemma** *always-necessitation*:

**assumes**  $\models P$

**shows**  $\models \Box P$

$\langle \text{proof} \rangle$

**lemma** *valid-conv*:

**shows**  $\models \Box P \longleftrightarrow \models P$

$\langle \text{proof} \rangle$

**lemma** *always-imp-le*:

**shows**  $P \longrightarrow_{\Box} Q \leq \Box P \longrightarrow_B \Box Q$

$\langle \text{proof} \rangle$

**lemma** *eventually-le*:

**shows**  $\Box P \leq \Diamond P$

$\langle \text{proof} \rangle$

**lemma** *not-until-le*: — Warford et al. (2020, (81))

**shows**  $\Box P \leq \neg (Q \mathcal{U} (\neg P))$

$\langle \text{proof} \rangle$

**lemmas** *simps* =

*tls.always.bot*

*tls.always.top*

*tls.always.contractive*  
*tls.always-alt-def[symmetric]*

$\langle ML \rangle$

**lemma** *until-alwaysR-le*: — Warford et al. (2020, (140))

**shows**  $P \mathcal{U} \Box Q \leq \Box(P \mathcal{U} Q)$

$\langle proof \rangle$

**lemma** *until-alwaysR*: — Warford et al. (2020, (141))

**shows**  $P \mathcal{U} \Box P = \Box P$

$\langle proof \rangle$

**lemma** *eventually-always-always-eventually-le*: — Warford et al. (2020, (145))

**shows**  $\Diamond \Box P \leq \Box \Diamond P$

$\langle proof \rangle$

**lemma** *always-inf-eventually-eventually-le*:

**shows**  $\Box P \sqcap \Diamond Q \leq \Diamond(P \sqcap Q)$

$\langle proof \rangle$

**lemma** *always-always-imp*: — Kröger and Merz (2008, §2.2: T33 frame)

**shows**  $\models \Box P \longrightarrow_B \Box Q \longrightarrow_B \Box(P \sqcap Q)$

$\langle proof \rangle$

**lemma** *always-eventually-imp*: — Kröger and Merz (2008, §2.2: T34 frame)

**shows**  $\models \Box P \longrightarrow_B \Diamond Q \longrightarrow_B \Diamond(P \sqcap Q)$

$\langle proof \rangle$

**lemma** *always-imp-always-generalization*: — Kröger and Merz (2008, §2.2: T35)

**shows**  $\Box P \longrightarrow_{\Box} Q \leq \Box P \longrightarrow_B \Box Q$

$\langle proof \rangle$

**lemma** *always-imp-eventually-generalization*: — Kröger and Merz (2008, §2.2: T36)

**shows**  $P \longrightarrow_{\Box} \Diamond Q \leq \Diamond P \longrightarrow_B \Diamond Q$

$\langle proof \rangle$

The following show that there is no point nesting *tls.always* and *tls.eventually* more than two deep.

**lemma** *always-eventually-always-absorption*: — Kröger and Merz (2008, §2.2: T37)

**shows**  $\Diamond \Box \Diamond P = \Box \Diamond P$

$\langle proof \rangle$

**lemma** *eventually-always-eventually-absorption*: — Kröger and Merz (2008, §2.2: T38)

**shows**  $\Box \Diamond \Box P = \Diamond \Box P$

$\langle proof \rangle$

**lemma** *always-imp-always-eventually-le*: — Warford et al. (2020, (157))

**shows**  $P \longrightarrow_{\Box} Q \leq \Box \Diamond P \longrightarrow_B \Box \Diamond Q$

$\langle proof \rangle$

**lemma** *always-imp-eventually-always-le*: — Warford et al. (2020, (158))

**shows**  $P \longrightarrow_{\Box} Q \leq \Diamond \Box P \longrightarrow_B \Diamond \Box Q$

$\langle proof \rangle$

**lemma** *always-eventually-inf-le*:

**shows**  $\Box \Diamond(P \sqcap Q) \leq \Box \Diamond P \sqcap \Box \Diamond Q$  — Warford et al. (2020, (159))

$\langle proof \rangle$

**lemma** *eventually-always-sup-le*:

**shows**  $\diamond \square P \sqcap \diamond \square Q \leq \diamond \square (P \sqcup Q)$  — Warford et al. (2020, (160))  
*<proof>*

**lemma** *always-eventually-sup*: — Warford et al. (2020, (161))

**fixes**  $P :: ('a, 's, 'v) \text{ tls}$   
**shows**  $\square \diamond (P \sqcup Q) = \square \diamond P \sqcup \square \diamond Q$  (**is** *?lhs = ?rhs*)  
*<proof>*

**lemma** *eventually-always-inf*: — Warford et al. (2020, (162))

**shows**  $\diamond \square (P \sqcap Q) = \diamond \square P \sqcap \diamond \square Q$   
*<proof>*

**lemma** *eventual-latching*: — Warford et al. (2020, (163))

**shows**  $\diamond \square (P \longrightarrow_B \square Q) = \diamond \square (-P) \sqcup \diamond \square Q$  (**is** *?lhs = ?rhs*)  
*<proof>*

*<ML>*

**lemma** *transfer[transfer-rule]*:

**shows**  $\text{rel-fun } (pcr\text{-tls } (=) (=) (=)) \text{ (rel-fun } (pcr\text{-tls } (=) (=) (=)) \text{ (pcr-tls } (=) (=) (=)))$   
 $(\lambda P Q. \text{raw.until } P Q \cup \text{raw.always } P)$   
 $\text{tls.unless}$   
*<proof>*

**lemma** *neg*: — Warford et al. (2020, (170))

**shows**  $\neg(P \mathcal{W} Q) = (\neg Q) \mathcal{U} (\neg P \sqcap \neg Q)$   
*<proof>*

**lemma** *alwaysR-le*: — Warford et al. (2020, (177))

**shows**  $P \mathcal{W} \square Q \leq \square (P \mathcal{W} Q)$   
*<proof>*

**lemma** *sup-le*: — Warford et al. (2020, (206))

**shows**  $P \mathcal{W} Q \leq P \sqcup Q$   
*<proof>*

**lemma** *ordering*: — Warford et al. (2020, (252))

**shows**  $\models (-P) \mathcal{W} Q \sqcup (-Q) \mathcal{W} P$   
*<proof>*

*<ML>*

**lemma** *eq-unless-inf-eventually*:

**shows**  $P \mathcal{U} Q = (P \mathcal{W} Q) \sqcap \diamond Q$   
*<proof>*

**lemma** *always-strengthen-le*: — Warford et al. (2020, (83))

**shows**  $\square P \sqcap (Q \mathcal{U} R) \leq (P \sqcap Q) \mathcal{U} (P \sqcap R)$   
*<proof>*

**lemma** *until-weakI*:

**shows**  $\square P \sqcap \diamond Q \leq P \mathcal{U} Q$  (**is** *?lhs ≤ ?rhs*) — Warford et al. (2020, (84))  
*<proof>*

**lemma** *always-impL*: — Warford et al. (2020, (86))

**shows**  $P \longrightarrow_{\square} P' \sqcap P \mathcal{U} Q \leq P' \mathcal{U} Q$  (**is** *?thesis1*)  
**and**  $P \mathcal{U} Q \sqcap P \longrightarrow_{\square} P' \leq P' \mathcal{U} Q$  (**is** *?thesis2*)

$\langle \text{proof} \rangle$

**lemma** *always-impR*: — Warford et al. (2020, (85))  
  **shows**  $Q \longrightarrow_{\square} Q' \sqcap P \mathcal{U} Q \leq P \mathcal{U} Q'$  (is *?thesis1*)  
  **and**  $P \mathcal{U} Q \sqcap Q \longrightarrow_{\square} Q' \leq P \mathcal{U} Q'$  (is *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *neg*: — Warford et al. (2020, (173))  
  **shows**  $-(P \mathcal{U} Q) = (-Q) \mathcal{W} (-P \sqcap -Q)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas** *monotone* = *raw.state-prop.monotone*[*transferred*]  
**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF tls.state-prop.monotone*]  
**lemmas** *mono* = *monoD*[*OF tls.state-prop.monotone*]

**lemma** *Sup*:  
  **shows**  $\text{tls.state-prop } (\bigsqcup X) = \bigsqcup (\text{tls.state-prop } ` X)$   
 $\langle \text{proof} \rangle$

**lemma** *Inf*:  
  **shows**  $\text{tls.state-prop } (\bigsqcap X) = \bigsqcap (\text{tls.state-prop } ` X)$   
 $\langle \text{proof} \rangle$

**lemmas** *simps* = *raw.state-prop.simps*[*transferred*]

$\langle ML \rangle$

**lemma** *not-bot*:  
  **shows**  $\text{tls.terminated} \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *not-top*:  
  **shows**  $\text{tls.terminated} \neq \top$   
 $\langle \text{proof} \rangle$

**lemma** *inf-always*:  
  **shows**  $\text{tls.terminated} \sqcap \square P = \text{tls.terminated} \sqcap P$   
 $\langle \text{proof} \rangle$

**lemma** *always-le-conv*:  
  **shows**  $\text{tls.terminated} \leq \square P \longleftrightarrow \text{tls.terminated} \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *inf-eventually*:  
  **shows**  $\text{tls.terminated} \sqcap \diamond P = \text{tls.terminated} \sqcap P$  (is *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *eventually-le-conv*:  
  **shows**  $\text{tls.terminated} \leq \text{tls.eventually } P \longleftrightarrow \text{tls.terminated} \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *eq-always-terminated*:  
  **shows**  $\text{tls.terminated} = \square \text{tls.terminated}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

### 16.5.1 Leads-to and leads-to-via

So-called *response* properties are of the form  $P \longrightarrow_{\square} \diamond Q$  (pronounced “ $P$  leads to  $Q$ ”, written  $P \rightsquigarrow Q$ ) (Manna and Pnueli 1991). This connective is similar to the “ensures” modality of Chandy and Misra (1989, §3.4.4).

Jackson (1998) used the more general “ $P$  leads to  $Q$  via  $I$ ” form  $P \longrightarrow_{\square} I \mathcal{U} Q$  to establish liveness properties in a sequential setting.

**lemma** *leads-to-refl*:

**shows**  $\models P \rightsquigarrow P$

*<proof>*

**lemma** *leads-to-mono*:

**assumes**  $P' \leq P$

**assumes**  $Q \leq Q'$

**shows**  $P \rightsquigarrow Q \leq P' \rightsquigarrow Q'$

*<proof>*

**lemma** *leads-to-supL*:

**shows**  $(P \rightsquigarrow R) \sqcap (Q \rightsquigarrow R) \leq (P \sqcup Q) \rightsquigarrow R$

*<proof>*

**lemma** *always-imp-leads-to*:

**shows**  $P \longrightarrow_{\square} Q \leq P \rightsquigarrow Q$

*<proof>*

**lemma** *leads-to-eventually*:

**shows**  $\diamond P \sqcap (P \rightsquigarrow Q) \leq \diamond Q$

*<proof>*

**lemma** *leads-to-leads-to-via*:

**shows**  $P \longrightarrow_{\square} Q \mathcal{U} R \leq P \rightsquigarrow R$

*<proof>*

**lemma** *leads-to-trans*:

**shows**  $P \rightsquigarrow Q \sqcap Q \rightsquigarrow R \leq P \rightsquigarrow R$  (is ?lhs  $\leq$  ?rhs)

*<proof>*

**lemma** *leads-to-via-weakenR*:

**shows**  $Q \longrightarrow_{\square} Q' \sqcap P \longrightarrow_{\square} I \mathcal{U} Q \leq P \longrightarrow_{\square} I \mathcal{U} Q'$

*<proof>*

**lemma** *leads-to-via-supL*: — useful for case distinctions

**shows**  $P \longrightarrow_{\square} I \mathcal{U} Q \sqcap P' \longrightarrow_{\square} I' \mathcal{U} Q \leq P \sqcup P' \longrightarrow_{\square} (I \sqcup I') \mathcal{U} Q$

*<proof>*

**lemma** *leads-to-via-trans*:

**shows**  $(P \longrightarrow_{\square} I \mathcal{U} Q) \sqcap (Q \longrightarrow_{\square} I' \mathcal{U} R) \leq P \longrightarrow_{\square} (I \sqcup I') \mathcal{U} R$  (is ?lhs  $\leq$  ?rhs)

*<proof>*

**lemma** *leads-to-via-disj*: — more like a chaining rule

**shows**  $(P \longrightarrow_{\square} I \mathcal{U} Q) \sqcap (Q \longrightarrow_{\square} I' \mathcal{U} R) \leq (P \sqcup Q) \longrightarrow_{\square} (I \sqcup I') \mathcal{U} R$

*<proof>*

### 16.5.2 Fairness

A few renderings of weak fairness. van Glabbeek and Höfner (2019) call this “response to insistence” as a generalisation of weak fairness.

**definition** *weakly-fair* ::  $(\prime a, \prime s, \prime v) \text{ tls} \Rightarrow (\prime a, \prime s, \prime v) \text{ tls} \Rightarrow (\prime a, \prime s, \prime v) \text{ tls}$  **where**

*weakly-fair enabled taken* =  $\Box \text{enabled} \longrightarrow_{\Box} \Diamond \text{taken}$

**lemma** *weakly-fair-def2*:

**shows**  $\text{tls.weakly-fair enabled taken} = \Box(\neg(\Box(\text{enabled} \sqcap \neg \text{taken})))$   
 ⟨proof⟩

**lemma** *weakly-fair-def3*:

**shows**  $\text{tls.weakly-fair enabled taken} = \Diamond \Box \text{enabled} \longrightarrow_B \Box \Diamond \text{taken}$   
 ⟨proof⟩

**lemma** *weakly-fair-def4*:

**shows**  $\text{tls.weakly-fair enabled taken} = \Box \Diamond(\text{enabled} \longrightarrow_B \text{taken})$   
 ⟨proof⟩

⟨ML⟩

**lemma** *mono*:

**assumes**  $P' \leq P$   
**assumes**  $Q \leq Q'$   
**shows**  $\text{tls.weakly-fair } P \ Q \leq \text{tls.weakly-fair } P' \ Q'$   
 ⟨proof⟩

**lemma** *strengthen[stg]*:

**assumes**  $\text{st-ord } (\neg F) \ P \ P'$   
**assumes**  $\text{st-ord } F \ Q \ Q'$   
**shows**  $\text{st-ord } F \ (\text{tls.weakly-fair } P \ Q) \ (\text{tls.weakly-fair } P' \ Q')$   
 ⟨proof⟩

**lemma** *weakly-fair-triv*:

**shows**  $\Box \Diamond(\neg \text{enabled}) \leq \text{tls.weakly-fair enabled taken}$   
 ⟨proof⟩

**lemma** *mp*:

**shows**  $\text{tls.weakly-fair enabled taken} \sqcap \Box \text{enabled} \leq \Diamond \text{taken}$   
 ⟨proof⟩

⟨ML⟩

**lemma** *weakly-fair*:

**shows**  $\Box(\text{tls.weakly-fair enabled taken}) = \text{tls.weakly-fair enabled taken}$   
 ⟨proof⟩

⟨ML⟩

**lemma** *weakly-fair*:

**shows**  $\Diamond(\text{tls.weakly-fair enabled taken}) = \text{tls.weakly-fair enabled taken}$   
 ⟨proof⟩

⟨ML⟩

Similarly for strong fairness. [van Glabbeek and Höfner \(2019\)](#) call this "response to persistence" as a generalisation of strong fairness.

**definition** *strongly-fair* ::  $(\text{'a}, \text{'s}, \text{'v}) \text{tls} \Rightarrow (\text{'a}, \text{'s}, \text{'v}) \text{tls} \Rightarrow (\text{'a}, \text{'s}, \text{'v}) \text{tls}$  **where**

*strongly-fair enabled taken* =  $\Box \Diamond \text{enabled} \longrightarrow_{\Box} \Diamond \text{taken}$

**lemma** *strongly-fair-def2*:

**shows**  $\text{tls.strongly-fair enabled taken} = \Box(\neg(\Diamond \text{enabled} \sqcap \neg \text{taken}))$   
 ⟨proof⟩

**lemma** *strongly-fair-def3*:

**shows**  $tls.\text{strongly-fair enabled taken} = \Box \Diamond \text{enabled} \longrightarrow_B \Box \Diamond \text{taken}$   
*<proof>*

*<ML>*

**lemma** *mono*:

**assumes**  $P' \leq P$   
**assumes**  $Q \leq Q'$   
**shows**  $tls.\text{strongly-fair } P \ Q \leq tls.\text{strongly-fair } P' \ Q'$   
*<proof>*

**lemma** *strengthen[strg]*:

**assumes**  $st\text{-ord } (\neg F) \ P \ P'$   
**assumes**  $st\text{-ord } F \ Q \ Q'$   
**shows**  $st\text{-ord } F \ (tls.\text{strongly-fair } P \ Q) \ (tls.\text{strongly-fair } P' \ Q')$   
*<proof>*

**lemma** *supL*: — does not hold for *tls.weakly-fair*

**shows**  $tls.\text{strongly-fair } (\text{enabled1} \sqcup \text{enabled2}) \ \text{taken}$   
 $= (tls.\text{strongly-fair } \text{enabled1} \ \text{taken} \sqcap tls.\text{strongly-fair } \text{enabled2} \ \text{taken})$   
*<proof>*

**lemma** *weakly-fair-le*:

**shows**  $tls.\text{strongly-fair enabled taken} \leq tls.\text{weakly-fair enabled taken}$   
*<proof>*

**lemma** *always-enabled-weakly-fair-strongly-fair*:

**shows**  $\Box \text{enabled} \leq tls.\text{weakly-fair enabled taken} \longleftrightarrow_B tls.\text{strongly-fair enabled taken}$   
*<proof>*

*<ML>*

**lemma** *strongly-fair*:

**shows**  $\Box (tls.\text{strongly-fair enabled taken}) = tls.\text{strongly-fair enabled taken}$   
*<proof>*

*<ML>*

**lemma** *strongly-fair*:

**shows**  $\Diamond (tls.\text{strongly-fair enabled taken}) = tls.\text{strongly-fair enabled taken}$   
*<proof>*

*<ML>*

## 16.6 Safety Properties

We now carve the safety properties out of the (*'a*, *'s*, *'v*) *tls* lattice.

References:

- [Alpern and Schneider \(1985\)](#); [Alpern, Demers, and Schneider \(1986\)](#); [Schneider \(1987, §2\)](#)
  - observes that Lamport’s earlier definitions do not work without stuttering
  - provides the now standard definition that works with and without stuttering
- [Abadi and Lamport \(1991, §2.2\)](#): topological definitions and intuitions
- [Sistla \(1994, §2.2\)](#)

We go a different way: we establish a Galois connection with  $(\prime a, \prime s, \prime v)$  *spec*.

Observations:

- our safety closure for  $(\prime a, \prime s, \prime v)$  *tls* introduces infinite sequences to stand for the prefixes in  $(\prime a, \prime s, \prime v)$  *spec*
  - i.e., the non-termination of trace  $\sigma$  ( $\text{trace.term } \sigma = \text{None}$ ) is represented by a behavior ending with  $\text{trace.final } \sigma$  infinitely stuttered
  - [Abadi and Lamport \(1991, §2.1\)](#) consider these behaviors to represent terminating processes

$\langle ML \rangle$

**definition** *to-spec* ::  $(\prime a, \prime s, \prime v)$  *behavior.t set*  $\Rightarrow$   $(\prime a, \prime s, \prime v)$  *trace.t set* **where**  
*to-spec*  $T = \{\text{behavior.take } i \ \omega \mid \omega \ i. \ \omega \in T\}$

**definition** *from-spec* ::  $(\prime a, \prime s, \prime v)$  *trace.t set*  $\Rightarrow$   $(\prime a, \prime s, \prime v)$  *behavior.t set* **where**  
*from-spec*  $S = \{\omega . \forall i. \text{behavior.take } i \ \omega \in S\}$

**interpretation** *safety*: *galois.powerset raw.to-spec raw.from-spec*  
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *empty*:

**shows** *raw.from-spec*  $\{\} = \{\}$

$\langle \text{proof} \rangle$

**lemma** *singleton*:

**shows** *raw.from-spec* (*Safety-Logic.raw.singleton*  $\sigma$ )

$= \bigcup (\text{raw.singleton } \omega . \forall i. \text{behavior.take } i \ \omega \in \text{Safety-Logic.raw.singleton } \sigma)$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

**lemma** *sup*:

**assumes**  $P \in \text{raw.spec.closed}$

**assumes**  $Q \in \text{raw.spec.closed}$

**shows** *raw.from-spec*  $(P \cup Q) = \text{raw.from-spec } P \cup \text{raw.from-spec } Q$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *singleton*:

**shows** *raw.to-spec* (*TLS.raw.singleton*  $\omega$ )

$= (\bigcup i. \text{Safety-Logic.raw.singleton } (\text{behavior.take } i \ \omega))$  (**is** *?lhs = ?rhs*)

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl-altI*:

**assumes**  $\bigwedge i. \exists \omega' \in P. \text{behavior.take } i \ \omega = \text{behavior.take } i \ \omega'$

**shows**  $\omega \in \text{raw.safety.cl } P$

$\langle \text{proof} \rangle$

**lemma** *cl-altE*:

**assumes**  $\omega \in \text{raw.safety.cl } P$

**obtains**  $\omega'$  **where**  $\omega' \in P$  **and**  $\text{behavior.take } i \ \omega = \text{behavior.take } i \ \omega'$

$\langle \text{proof} \rangle$

**lemma** *cl-alt-def*: — [Alpern et al. \(1986\)](#): the classical definition:  $\omega$  belongs to the safety closure of  $P$  if every prefix of  $\omega$  can be extended to a behavior in  $P$

**shows**  $raw.safety.cl\ P = \{\omega. \forall i. \exists \beta. behavior.take\ i\ \omega\ @_{-B}\ \beta \in P\}$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *closed-alt-def*: — If  $\omega$  is not in  $P$  then some prefix of  $\omega$  has irretrievably gone wrong  
**shows**  $raw.safety.closed = \{P. \forall \omega. \omega \notin P \longrightarrow (\exists i. \forall \beta. behavior.take\ i\ \omega\ @_{-B}\ \beta \notin P)\}$   
 $\langle proof \rangle$

**lemma** *closed-alt-def2*: — Contraposition gives the customary prefix-closure definition  
**shows**  $raw.safety.closed = \{P. \forall \omega. (\forall i. \exists \beta. behavior.take\ i\ \omega\ @_{-B}\ \beta \in P) \longrightarrow \omega \in P\}$   
 $\langle proof \rangle$

**lemma** *closedI2*:  
**assumes**  $\bigwedge \omega. (\bigwedge i. \exists \beta. behavior.take\ i\ \omega\ @_{-B}\ \beta \in P) \implies \omega \in P$   
**shows**  $P \in raw.safety.closed$   
 $\langle proof \rangle$

**lemma** *closedE2*:  
**assumes**  $P \in raw.safety.closed$   
**assumes**  $\bigwedge i. \omega \notin P \implies \exists \beta. behavior.take\ i\ \omega\ @_{-B}\ \beta \in P$   
**shows**  $\omega \in P$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *state-prop*:  
**shows**  $raw.safety.cl\ (raw.state-prop\ P) = raw.state-prop\ P$   
 $\langle proof \rangle$

**lemma** *terminated-iff*:  
**assumes**  $\omega \in raw.terminated$   
**shows**  $\omega \in raw.safety.cl\ P \longleftrightarrow \omega \in P$  (**is**  $?lhs \longleftrightarrow ?rhs$ )  
 $\langle proof \rangle$

**lemma** *terminated*:  
**shows**  $raw.safety.cl\ raw.terminated = raw.idle \cup raw.terminated$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *le-terminated-bot*:  
**assumes**  $P \in behavior.stuttering.closed$   
**assumes**  $raw.safety.cl\ P \subseteq raw.terminated$   
**shows**  $P = \{\}$   
 $\langle proof \rangle$

**lemma** *always-le*:  
**shows**  $raw.safety.cl\ (raw.always\ P) \subseteq raw.always\ (raw.safety.cl\ P)$   
 $\langle proof \rangle$

**lemma** *eventually*:  
**assumes**  $P \neq \perp$   
**shows**  $raw.safety.cl\ (raw.eventually\ P)$   
 $= \neg raw.eventually\ raw.terminated \cup raw.eventually\ P$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *always-eventually*:  
**assumes**  $P \in raw.safety.closed$   
**assumes**  $\forall i. \exists j \geq i. \exists \beta. behavior.take\ j\ \omega\ @_{-B}\ \beta \in P$

**shows**  $\omega \in P$

$\langle proof \rangle$

**lemma** *sup*:

**assumes**  $P \in raw.safety.closed$

**assumes**  $Q \in raw.safety.closed$

**shows**  $P \cup Q \in raw.safety.closed$

$\langle proof \rangle$

**lemma** *unless*: — Sistla (1994, §3.1) – minimality is irrelevant

**assumes**  $P \in raw.safety.closed$

**assumes**  $Q \in raw.safety.closed$

**shows**  $raw.unless P Q \in raw.safety.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *to-spec*:

**shows**  $range\ raw.to-spec \subseteq downwards.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *to-spec*:

**shows**  $raw.to-spec \text{ ' } behavior.stuttering.closed \subseteq trace.stuttering.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *to-spec*:

**shows**  $raw.to-spec \text{ ' } behavior.stuttering.closed \subseteq raw.spec.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *from-spec*:

**shows**  $raw.from-spec \text{ ' } trace.stuttering.closed$

$\subseteq (behavior.stuttering.closed :: ('a, 's, 'v) behavior.t\ set\ set)$

$\langle proof \rangle$

**lemma** *safety-cl*:

**assumes**  $P \in behavior.stuttering.closed$

**shows**  $raw.safety.cl P \in behavior.stuttering.closed$

$\langle proof \rangle$

$\langle ML \rangle$

**lift-definition** *to-spec* ::  $('a, 's, 'v) tls \Rightarrow ('a, 's, 'v) spec$  **is** *raw.to-spec*

$\langle proof \rangle$

**lift-definition** *from-spec* ::  $('a, 's, 'v) spec \Rightarrow ('a, 's, 'v) tls$  **is** *raw.from-spec*

$\langle proof \rangle$

**interpretation** *safety*: *galois.complete-lattice-class* *tls.to-spec* *tls.from-spec*

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *singleton*:

**notes** *spec.singleton.transfer*[*transfer-rule*]

**shows** *tls.from-spec* (*spec.singleton*  $\sigma$ )

=  $\sqcup$  (*tls.singleton* '  $\{\omega . \forall i. \text{behavior.take } i \omega \in \text{Safety-Logic.raw.singleton } \sigma\}$ )

*<proof>*

**lemmas** *bot* = *raw.from-spec.empty*[*transferred*]

**lemma** *sup*:

**shows** *tls.from-spec* ( $P \sqcup Q$ ) = *tls.from-spec*  $P \sqcup$  *tls.from-spec*  $Q$

*<proof>*

**lemmas** *Inf* = *tls.safety.upper-Inf*

**lemmas** *inf* = *tls.safety.upper-inf*

*<ML>*

**lemma** *singleton*:

**notes** *spec.singleton.transfer*[*transfer-rule*]

**shows** *tls.to-spec* (*tls.singleton*  $\omega$ ) = ( $\sqcup$   $i. \text{spec.singleton } (\text{behavior.take } i \omega)$ )

*<proof>*

**lemmas** *bot* = *tls.safety.lower-bot*

**lemmas** *Sup* = *tls.safety.lower-Sup*

**lemmas** *sup* = *tls.safety.lower-sup*

*<ML>*

**lemma** *transfer*[*transfer-rule*]:

**shows** *rel-fun* (*pcr-tls* (=) (=) (=)) (*pcr-tls* (=) (=) (=)) *raw.safety.cl* *tls.safety.cl*

*<proof>*

**lemma** *bot*[*iff*]:

**shows** *tls.safety.cl*  $\perp$  =  $\perp$

*<proof>*

**lemma** *sup*:

**shows** *tls.safety.cl* ( $P \sqcup Q$ ) = *tls.safety.cl*  $P \sqcup$  *tls.safety.cl*  $Q$

*<proof>*

**lemmas** *state-prop* = *raw.safety.cl.state-prop*[*transferred*]

**lemmas** *always-le* = *raw.safety.cl.always-le*[*transferred*]

**lemma** *eventually*: — all the infinite traces and any finite ones that satisfy  $\diamond P$

**assumes**  $P \neq \perp$

**shows** *tls.safety.cl* ( $\diamond P$ ) =  $\neg \diamond$  *tls.terminated*  $\sqcup$   $\diamond P$

*<proof>*

**lemma** *terminated-iff*:

**assumes**  $\langle \omega \rangle_T \leq$  *tls.terminated*

**shows**  $\langle \omega \rangle_T \leq$  *tls.safety.cl*  $P \longleftrightarrow \langle \omega \rangle_T \leq P$  (**is** *?lhs*  $\longleftrightarrow$  *?rhs*)

*<proof>*

**lemma** *terminated*:

**shows** *tls.safety.cl* *tls.terminated* = *tls.idle*  $\sqcup$  *tls.terminated*

*<proof>*

**lemma** *not-terminated*:

**shows**  $tls.safety.cl (-\ tls.terminated) = -\ tls.terminated$  (**is**  $?lhs = ?rhs$ )  
*<proof>*

**lemma** *le-terminated-conv*:

**shows**  $tls.safety.cl P \leq tls.terminated \longleftrightarrow P = \perp$  (**is**  $?lhs \longleftrightarrow ?rhs$ )  
*<proof>*

*<ML>*

**lemma** *transfer[transfer-rule]*:

**shows**  $rel\text{-}set (pcr\text{-}tls (=) (=) (=))$   
 $(behavior.stuttering.closed \cap raw.safety.closed)$   
 $tls.safety.closed$  (**is**  $rel\text{-}set - ?lhs ?rhs$ )  
*<proof>*

**lemma** *bot*:

**shows**  $\perp \in tls.safety.closed$   
*<proof>*

**lemma** *sup*:

**assumes**  $P \in tls.safety.closed$   
**assumes**  $Q \in tls.safety.closed$   
**shows**  $P \sqcup Q \in tls.safety.closed$   
*<proof>*

**lemmas**  $inf = tls.safety.closed\text{-}inf$

**lemma** *boolean-implication*:

**assumes**  $-P \in tls.safety.closed$   
**assumes**  $Q \in tls.safety.closed$   
**shows**  $P \longrightarrow_B Q \in tls.safety.closed$   
*<proof>*

**lemma** *state-prop*:

**shows**  $tls.state\text{-}prop P \in tls.safety.closed$   
*<proof>*

**lemma** *not-terminated*:

**shows**  $-\ tls.terminated \in tls.safety.closed$   
*<proof>*

**lemma** *unless*:

**assumes**  $P \in tls.safety.closed$   
**assumes**  $Q \in tls.safety.closed$   
**shows**  $tls.unless P Q \in tls.safety.closed$   
*<proof>*

**lemma** *always*:

**assumes**  $P \in tls.safety.closed$   
**shows**  $tls.always P \in tls.safety.closed$   
*<proof>*

*<ML>*

**lemma** *until-unless-le*:

**assumes**  $P \in tls.safety.closed$   
**assumes**  $Q \in tls.safety.closed$

**shows**  $tls.safety.cl (tls.until P Q) \leq tls.unless P Q$

*<proof>*

*<ML>*

**lemma** *to-spec-le-conv*[*tls.singleton.le-conv*]:

**notes** *spec.singleton.transfer*[*transfer-rule*]

**shows**  $\langle \sigma \rangle \leq tls.to-spec P \longleftrightarrow (\exists \omega i. \langle \omega \rangle_T \leq P \wedge \sigma = behavior.take\ i\ \omega)$

*<proof>*

**lemma** *from-spec-le-conv*[*tls.singleton.le-conv*]:

**notes** *spec.singleton.transfer*[*transfer-rule*]

**shows**  $\langle \omega \rangle_T \leq tls.from-spec P \longleftrightarrow (\forall i. \langle behavior.take\ i\ \omega \rangle \leq P)$

*<proof>*

**lemma** *safety-cl-le-conv*[*tls.singleton.le-conv*]:

**shows**  $\langle \omega \rangle_T \leq tls.safety.cl P \longleftrightarrow (\forall i. \exists \omega'. \langle \omega' \rangle_T \leq P \wedge behavior.take\ i\ \omega = behavior.take\ i\ \omega')$

*<proof>*

*<ML>*

## 16.7 Maps

*<ML>*

**definition** *map* ::  $('a \Rightarrow 'b) \Rightarrow ('s \Rightarrow 't) \Rightarrow ('v \Rightarrow 'w) \Rightarrow ('a, 's, 'v)\ tls \Rightarrow ('b, 't, 'w)\ tls$  **where**

$map\ af\ sf\ vf\ P = \bigsqcup (tls.singleton\ ' behavior.map\ af\ sf\ vf\ \{ \sigma. \langle \sigma \rangle_T \leq P \})$

**definition** *invmap* ::  $('a \Rightarrow 'b) \Rightarrow ('s \Rightarrow 't) \Rightarrow ('v \Rightarrow 'w) \Rightarrow ('b, 't, 'w)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**

$invmap\ af\ sf\ vf\ P = \bigsqcup (tls.singleton\ ' behavior.map\ af\ sf\ vf\ -\ \{ \sigma. \langle \sigma \rangle_T \leq P \})$

**abbreviation** *amap* ::  $('a \Rightarrow 'b) \Rightarrow ('a, 's, 'v)\ tls \Rightarrow ('b, 's, 'v)\ tls$  **where**

$amap\ af \equiv tls.map\ af\ id\ id$

**abbreviation** *ainvmap* ::  $('a \Rightarrow 'b) \Rightarrow ('b, 's, 'v)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**

$ainvmap\ af \equiv tls.invmap\ af\ id\ id$

**abbreviation** *smap* ::  $('s \Rightarrow 't) \Rightarrow ('a, 's, 'v)\ tls \Rightarrow ('a, 't, 'v)\ tls$  **where**

$smap\ sf \equiv tls.map\ id\ sf\ id$

**abbreviation** *sinvmap* ::  $('s \Rightarrow 't) \Rightarrow ('a, 't, 'v)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**

$sinvmap\ sf \equiv tls.invmap\ id\ sf\ id$

**abbreviation** *vmap* ::  $('v \Rightarrow 'w) \Rightarrow ('a, 's, 'v)\ tls \Rightarrow ('a, 's, 'w)\ tls$  **where** — aka *liftM*

$vmap\ vf \equiv tls.map\ id\ id\ vf$

**abbreviation** *vinvmap* ::  $('v \Rightarrow 'w) \Rightarrow ('a, 's, 'w)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**

$vinvmap\ vf \equiv tls.invmap\ id\ id\ vf$

**interpretation** *map-invmap*: *galois.complete-lattice-distributive-class*

$tls.map\ af\ sf\ vf$

$tls.invmap\ af\ sf\ vf$  **for**  $af\ sf\ vf$

*<proof>*

*<ML>*

**lemma** *map-le-conv*[*tls.singleton.le-conv*]:

**shows**  $\langle \omega \rangle_T \leq tls.map\ af\ sf\ vf\ P \longleftrightarrow (\exists \omega'. \langle \omega' \rangle_T \leq P \wedge \langle \omega \rangle_T \leq \langle behavior.map\ af\ sf\ vf\ \omega' \rangle_T)$

*<proof>*

**lemma** *invmap-le-conv*[*tls.singleton.le-conv*]:

**shows**  $\langle \omega \rangle_T \leq tls.invmap\ af\ sf\ vf\ P \longleftrightarrow \langle behavior.map\ af\ sf\ vf\ \omega \rangle_T \leq P$

*<proof>*

$\langle ML \rangle$

**lemmas** *bot* = *tls.map-invmap.lower-bot*

**lemmas** *monotone* = *tls.map-invmap.monotone-lower*

**lemmas** *mono* = *monotoneD[OF tls.map.monotone]*

**lemmas** *Sup* = *tls.map-invmap.lower-Sup*

**lemmas** *sup* = *tls.map-invmap.lower-sup*

**lemmas** *Inf-le* = *tls.map-invmap.lower-Inf-le* — Converse does not hold

**lemmas** *inf-le* = *tls.map-invmap.lower-inf-le* — Converse does not hold

**lemmas** *invmap-le* = *tls.map-invmap.lower-upper-contractive*

**lemma** *singleton*:

**shows** *tls.map af sf vf*  $\langle \omega \rangle_T = \langle \text{behavior.map af sf vf } \omega \rangle_T$

$\langle \text{proof} \rangle$

**lemma** *top*:

**assumes** *surj af*

**assumes** *surj sf*

**assumes** *surj vf*

**shows** *tls.map af sf vf*  $\top = \top$

$\langle \text{proof} \rangle$

**lemma** *id*:

**shows** *tls.map id id id*  $P = P$

**and** *tls.map*  $(\lambda x. x) (\lambda x. x) (\lambda x. x) P = P$

$\langle \text{proof} \rangle$

**lemma** *comp*:

**shows** *tls.map af sf vf*  $\circ$  *tls.map ag sg vg* = *tls.map*  $(af \circ ag) (sf \circ sg) (vf \circ vg)$  (**is** *?lhs = ?rhs*)

**and** *tls.map af sf vf*  $(\text{tls.map ag sg vg } P) = \text{tls.map } (\lambda a. af (ag a)) (\lambda s. sf (sg s)) (\lambda v. vf (vg v)) P$  (**is** *?thesis1*)

$\langle \text{proof} \rangle$

**lemmas** *map* = *tls.map.comp*

$\langle ML \rangle$

**lemmas** *bot* = *tls.map-invmap.upper-bot*

**lemmas** *top* = *tls.map-invmap.upper-top*

**lemmas** *monotone* = *tls.map-invmap.monotone-upper*

**lemmas** *mono* = *monotoneD[OF tls.invmap.monotone]*

**lemmas** *Sup* = *tls.map-invmap.upper-Sup*

**lemmas** *sup* = *tls.map-invmap.upper-sup*

**lemmas** *Inf* = *tls.map-invmap.upper-Inf*

**lemmas** *inf* = *tls.map-invmap.upper-inf*

**lemma** *singleton*:

**shows** *tls.invmap af sf vf*  $\langle \omega \rangle_T = \bigsqcup (\text{tls.singleton } \{ \omega' . \langle \text{behavior.map af sf vf } \omega' \rangle_T \leq \langle \omega \rangle_T \})$

$\langle \text{proof} \rangle$

**lemma** *id*:

**shows**  $tls.invmap\ id\ id\ id\ P = P$   
**and**  $tls.invmap\ (\lambda x. x)\ (\lambda x. x)\ (\lambda x. x)\ P = P$   
 $\langle proof \rangle$

**lemma** *comp*:

**shows**  $tls.invmap\ af\ sf\ vf\ (tls.invmap\ ag\ sg\ vg\ P) = tls.invmap\ (\lambda x. ag\ (af\ x))\ (\lambda s. sg\ (sf\ s))\ (\lambda v. vg\ (vf\ v))\ P$   
**(is ?lhs P = ?rhs P)**  
**and**  $tls.invmap\ af\ sf\ vf\ \circ\ tls.invmap\ ag\ sg\ vg = tls.invmap\ (ag\ \circ\ af)\ (sg\ \circ\ sf)\ (vg\ \circ\ vf)$  **(is ?thesis1)**  
 $\langle proof \rangle$

**lemmas**  $invmap = tls.invmap.comp$

$\langle ML \rangle$

**lemma** *map*:

**shows**  $tls.to-spec\ (tls.map\ af\ sf\ vf\ P) = spec.map\ af\ sf\ vf\ (tls.to-spec\ P)$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 16.8 Abadi’s axioms for TLA

The axioms for “propositional” TLA due to [Abadi \(1990\)](#) hold in this model. These are complete for *tls.always* and *tls.eventually*.

Observations:

- Abadi says that the temporal system is D aka S4.3Dum; see [Goldblatt \(1992, §8\)](#)
  - the only interesting axiom here is 5: the discrete-time Dummett axiom
- “propositional” means that actions are treated separately; we omit this part as we don’t have actions ala TLA

$\langle ML \rangle$

**lemma** *Ax1*:

**shows**  $\models \Box(P \longrightarrow_B Q) \longrightarrow_B \Box P \longrightarrow_B \Box Q$   
 $\langle proof \rangle$

**lemma** *Ax2*:

**shows**  $\models \Box P \longrightarrow_B P$   
 $\langle proof \rangle$

**lemma** *Ax3*:

**shows**  $\models \Box P \longrightarrow_B \Box \Box P$   
 $\langle proof \rangle$

**lemma** *Ax4*:

— “a classical way to express that time is linear – that any two instants in the future are ordered” [Warford et al. \(2020, \(254\) Lemmon formula\)](#)

**shows**  $\models \Box(\Box P \longrightarrow_B Q) \sqcup \Box(\Box Q \longrightarrow_B P)$   
 $\langle proof \rangle$

**lemma** *Ax5*:

— “expresses the discreteness of time” See also [Warford et al. \(2020, §4.1 “the Dummett formula”\)](#): for them “next” encodes discreteness

**fixes**  $P :: ('a, 's, 'v)\ tls$   
**shows**  $\models \Box(\Box(P \longrightarrow_B \Box P) \longrightarrow_B P) \longrightarrow_B \Diamond \Box P \longrightarrow_B P$  **(is  $\models ?goal$ )**

$\langle proof \rangle$

**lemma** *Ax6*:

**assumes**  $\models P$

**shows**  $\models \Box P$

$\langle proof \rangle$

**lemma** *Ax8*:

**assumes**  $\models P$

**assumes**  $\models P \longrightarrow_B Q$

**shows**  $\models Q$

$\langle proof \rangle$

$\langle ML \rangle$

## 16.9 Tweak syntax

**unbundle** *tls.no-syntax*

**no-notation** *tls.singleton* ( $\langle \langle - \rangle_T \rangle$ )

$\langle ML \rangle$

**bundle** *extra-syntax*

**begin**

**notation** *tls.singleton* ( $\langle \langle - \rangle_T \rangle [0]$ )

**notation** *tls.from-spec* ( $\langle \langle - \rangle \rangle [0]$ )

**end**

$\langle ML \rangle$

## 17 Atomic sections

By restricting the environment to stuttering steps we can consider arbitrary processes to be atomic, i.e., free of interference.

$\langle ML \rangle$

**definition** *atomic* ::  $'a \Rightarrow ('a, 's, 'v) \text{ spec} \Rightarrow ('a, 's, 'v) \text{ spec}$  **where**

*atomic a P* =  $P \sqcap \text{spec.rel} (\{a\} \times \text{UNIV})$

$\langle ML \rangle$

**lemma** *atomic-le-conv[spec.idle-le]*:

**shows**  $\text{spec.idle} \leq \text{spec.atomic } a \ P \longleftrightarrow \text{spec.idle} \leq P$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *atomic*:

**shows**  $\text{spec.term.none} (\text{spec.atomic } a \ P) = \text{spec.atomic } a \ (\text{spec.term.none } P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *atomic*:

**shows**  $\text{spec.term.all} (\text{spec.atomic } a \ P) = \text{spec.atomic } a \ (\text{spec.term.all } P)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot[simp]*:

**shows**  $spec.atomic\ a\ \perp = \perp$

$\langle proof \rangle$

**lemma** *top[simp]*:

**shows**  $spec.atomic\ a\ \top = spec.rel\ (\{a\} \times UNIV)$

$\langle proof \rangle$

**lemma** *contractive*:

**shows**  $spec.atomic\ a\ P \leq P$

$\langle proof \rangle$

**lemma** *idempotent[simp]*:

**shows**  $spec.atomic\ a\ (spec.atomic\ a\ P) = spec.atomic\ a\ P$

$\langle proof \rangle$

**lemma** *monotone*:

**shows**  $mono\ (spec.atomic\ a)$

$\langle proof \rangle$

**lemmas** *strengthen[strg] = st-monotone[OF spec.atomic.monotone]*

**lemmas** *mono = monotoneD[OF spec.atomic.monotone]*

**lemmas** *mono2mono[cont-intro, partial-function-mono]*

$= monotone2monotone[OF spec.atomic.monotone, simplified, of\ orda\ P\ \mathbf{for}\ orda\ P]$

**lemma** *Sup*:

**shows**  $spec.atomic\ a\ (\bigsqcup X) = \bigsqcup (spec.atomic\ a\ 'X)$

$\langle proof \rangle$

**lemmas** *sup = spec.atomic.Sup[where X={P, Q} for P Q, simplified]*

**lemma** *mcont2mcont[cont-intro]*:

**assumes**  $mcont\ luba\ orda\ Sup\ (\leq)\ P$

**shows**  $mcont\ luba\ orda\ Sup\ (\leq)\ (\lambda x. spec.atomic\ a\ (P\ x))$

$\langle proof \rangle$

**lemma** *Inf-not-empty*:

**assumes**  $X \neq \{\}$

**shows**  $spec.atomic\ a\ (\bigsqcap X) = \bigsqcap (spec.atomic\ a\ 'X)$

$\langle proof \rangle$

**lemmas** *inf = spec.atomic.Inf-not-empty[where X={P, Q} for P Q, simplified]*

**lemma** *idle*:

**shows**  $spec.atomic\ a\ spec.idle = spec.idle$

$\langle proof \rangle$

**lemma** *action*:

**shows**  $spec.atomic\ a\ (spec.action\ F) = spec.action\ (F \cap UNIV \times (\{a\} \times UNIV \cup UNIV \times Id))$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $spec.atomic\ a\ (spec.return\ v) = spec.return\ v$

$\langle proof \rangle$

**lemma** *bind*:

**shows**  $\text{spec.atomic } a (f \ggg g) = \text{spec.atomic } a f \ggg (\lambda v. \text{spec.atomic } a (g v))$   
 $\langle \text{proof} \rangle$

**lemma** *map-le*:

**fixes**  $af :: 'a \Rightarrow 'b$

**shows**  $\text{spec.map } af \text{ sf vf } (\text{spec.atomic } a P) \leq \text{spec.atomic } (af a) (\text{spec.map } af \text{ sf vf } P)$   
 $\langle \text{proof} \rangle$

**lemma** *invmap*:

**fixes**  $af :: 'a \Rightarrow 'b$

**shows**  $\text{spec.atomic } a (\text{spec.invmap } af \text{ sf vf } P) \leq \text{spec.invmap } af \text{ sf vf } (\text{spec.atomic } (af a) P)$   
 $\langle \text{proof} \rangle$

**lemma** *rel*:

**shows**  $\text{spec.atomic } a (\text{spec.rel } r) = \text{spec.rel } (r \cap \{a\} \times UNIV)$   
 $\langle \text{proof} \rangle$

**lemma** *interference*:

**shows**  $\text{spec.atomic } (\text{proc } a) (\text{spec.rel } (\{env\} \times UNIV)) = \text{spec.rel } \{\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl*:

**shows**  $\text{spec.atomic } (\text{proc } a) (\text{spec.cam.cl } (\{env\} \times UNIV) P) = \text{spec.atomic } (\text{proc } a) P$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *cl*:

**shows**  $\text{spec.atomic } (\text{proc } a) (\text{spec.interference.cl } (\{env\} \times UNIV) P) = \text{spec.return } () \ggg \text{spec.atomic } (\text{proc } a) P$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lift-definition** *atomic* ::  $('s, 'v) \text{ prog} \Rightarrow ('s, 'v) \text{ prog}$  **is**

$\lambda P. \text{spec.interference.cl } (\{env\} \times UNIV) (\text{spec.atomic self } P) \langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bot[simp]*:

**shows**  $\text{prog.atomic } \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *contractive*:

**shows**  $\text{prog.atomic } P \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *idempotent[simp]*:

**shows**  $\text{prog.atomic } (\text{prog.atomic } P) = \text{prog.atomic } P$   
 $\langle \text{proof} \rangle$

**lemma** *monotone*:

**shows**  $\text{mono prog.atomic}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{strengthen}[strg] = \text{st-monotone}[OF \text{prog.atomic.monotone}]$

**lemmas** *mono* = *monotoneD*[*OF prog.atomic.monotone*]

**lemmas** *mono2mono*[*cont-intro, partial-function-mono*] = *monotone2monotone*[*OF prog.atomic.monotone, simplified, of orda P for orda P*]

**lemma** *Sup*:

**shows** *prog.atomic* ( $\sqcup X$ ) =  $\sqcup$ (*prog.atomic* ‘ *X*)  
<proof>

**lemmas** *sup* = *prog.atomic.Sup*[**where** *X*={*P, Q*} **for** *P Q, simplified*]

**lemma** *mcont*:

**shows** *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) *prog.atomic*  
<proof>

**lemmas** *mcont2mcont*[*cont-intro*] = *mcont2mcont*[*OF prog.atomic.mcont, of luba orda P for luba orda P*]

**lemma** *Inf-le*:

**shows** *prog.atomic* ( $\sqcap X$ )  $\leq$   $\sqcap$ (*prog.atomic* ‘ *X*)  
<proof>

**lemmas** *inf-le* = *prog.atomic.Inf-le*[**where** *X*={*P, Q*} **for** *P Q, simplified*]

**lemma** *action*:

**shows** *prog.atomic* (*prog.action F*) = *prog.action F*  
<proof>

**lemma** *return*:

**shows** *prog.atomic* (*prog.return v*) = *prog.return v*  
<proof>

**lemma** *bind-le*:

**shows** *prog.atomic* (*f*  $\gg=$  *g*)  $\leq$  *prog.atomic* *f*  $\gg=$  ( $\lambda v.$  *prog.atomic* (*g v*))  
<proof>

<ML>

**lemmas** *atomic* = *prog.atomic.rep-eq*

<ML>

## 17.1 Inhabitation

<ML>

**lemma** *atomic*:

**assumes** *P*  $-s, xs \rightarrow P'$   
**assumes** *trace.steps'* *s xs*  $\subseteq \{a\} \times UNIV$   
**shows** *spec.atomic a P*  $-s, xs \rightarrow spec.atomic a P'$   
<proof>

**lemma** *atomic-term*:

**assumes** *P*  $-s, xs \rightarrow spec.return v$   
**assumes** *trace.steps'* *s xs*  $\subseteq \{a\} \times UNIV$   
**shows** *spec.atomic a P*  $-s, xs \rightarrow spec.return v$   
<proof>

**lemma** *atomic-diverge*:

**assumes** *P*  $-s, xs \rightarrow \perp$

**assumes**  $trace.steps' s xs \subseteq \{a\} \times UNIV$   
**shows**  $spec.atomic a P -s, xs \rightarrow \perp$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *atomic-term*:

**assumes**  $prog.p2s P -s, xs \rightarrow spec.return v$   
**assumes**  $trace.steps' s xs \subseteq \{self\} \times UNIV$   
**shows**  $prog.p2s (prog.atomic P) -s, xs \rightarrow spec.return v$   
 $\langle proof \rangle$

**lemma** *atomic-diverge*:

**assumes**  $prog.p2s P -s, xs \rightarrow \perp$   
**assumes**  $trace.steps' s xs \subseteq \{self\} \times UNIV$   
**shows**  $prog.p2s (prog.atomic P) -s, xs \rightarrow \perp$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 17.2 Assume/guarantee

$\langle ML \rangle$

**lemma** *atomic*:

**assumes**  $prog.p2s c \leq \{P\}, Id \vdash G, \{Q\}$   
**assumes**  $P: stable A P$   
**assumes**  $Q: \bigwedge v. stable A (Q v)$   
**shows**  $prog.p2s (prog.atomic c) \leq \{P\}, A \vdash G, \{Q\}$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 18 Exceptions

A sketch of how we might handle exceptions in this framework.

$\langle ML \rangle$

**type-synonym**  $(s, x, v) \text{ exn} = (s, x + v) \text{ prog}$

**definition**  $action :: (v \times s \times s) \text{ set} \Rightarrow (s, x, v) \text{ raw.exn}$  **where**  
 $action = prog.action \circ image (map-prod Inr id)$

**definition**  $return :: v \Rightarrow (s, x, v) \text{ raw.exn}$  **where**  
 $return = prog.return \circ Inr$

**definition**  $throw :: x \Rightarrow (s, x, v) \text{ raw.exn}$  **where**  
 $throw = prog.return \circ Inl$

**definition**  $catch :: (s, x, v) \text{ raw.exn} \Rightarrow (x \Rightarrow (s, x, v) \text{ raw.exn}) \Rightarrow (s, x, v) \text{ raw.exn}$  **where**  
 $catch f handler = f \gg= case-sum handler raw.return$

**definition**  $bind :: (s, x, v) \text{ raw.exn} \Rightarrow (v \Rightarrow (s, x, v) \text{ raw.exn}) \Rightarrow (s, x, v) \text{ raw.exn}$  **where**  
 $bind f g = f \gg= case-sum raw.throw g$

**definition**  $parallel :: (s, x, unit) \text{ raw.exn} \Rightarrow (s, x, unit) \text{ raw.exn} \Rightarrow (s, x, unit) \text{ raw.exn}$  **where**  
 $parallel P Q = (P \gg= case-sum \perp prog.return \parallel Q \gg= case-sum \perp prog.return) \gg= raw.return$

$\langle ML \rangle$

**lemma** *bind*:

**shows**  $raw.bind (raw.bind f g) h = raw.bind f (\lambda x. raw.bind (g x) h)$

$\langle proof \rangle$

**lemma** *return*:

**shows** *returnL*:  $raw.bind (raw.return v) = (\lambda g. g v)$

**and** *returnR*:  $raw.bind f raw.return = f$

$\langle proof \rangle$

**lemma** *throwL*:

**shows**  $raw.bind (raw.throw x) = (\lambda g. raw.throw x)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *catch*:

**shows**  $raw.catch (raw.catch f handler_1) handler_2 = raw.catch f (\lambda x. raw.catch (handler_1 x) handler_2)$

$\langle proof \rangle$

**lemma** *returnL*:

**shows**  $raw.catch (raw.return v) = (\lambda handler. raw.return v)$

$\langle proof \rangle$

**lemma** *throw*:

**shows** *throwL*:  $raw.catch (raw.throw x) = (\lambda g. g x)$

**and** *throwR*:  $raw.catch f raw.throw = f$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *commute*:

**shows**  $raw.parallel P Q = raw.parallel Q P$

$\langle proof \rangle$

**lemma** *assoc*:

**shows**  $raw.parallel P (raw.parallel Q R) = raw.parallel (raw.parallel P Q) R$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $raw.parallel (raw.return ()) P = raw.catch P (\lambda x. \perp)$  (**is** *?thesis1*)

**and**  $raw.parallel P (raw.return ()) = raw.catch P (\lambda x. \perp)$  (**is** *?thesis2*)

$\langle proof \rangle$

**lemma** *throw*:

**shows**  $raw.parallel (raw.throw x) P = raw.bind (raw.catch P (\lambda x. \perp)) (\lambda x. \perp)$  (**is** *?thesis1*)

**and**  $raw.parallel P (raw.throw x) = raw.bind (raw.catch P (\lambda x. \perp)) (\lambda x. \perp)$  (**is** *?thesis2*)

$\langle proof \rangle$

$\langle ML \rangle$

**typedef** (*'s*, *'x*, *'v*) *exn* = *UNIV* :: (*'s*, *'x*, *'v*) *raw.exn set*

$\langle proof \rangle$

**setup-lifting** *type-definition-exn*

**instantiation** *exn* :: (type, type, type) complete-distrib-lattice

**begin**

**lift-definition** *bot-exn* :: ('s, 'x, 'v) *exn* **is**  $\perp$  <proof>

**lift-definition** *top-exn* :: ('s, 'x, 'v) *exn* **is**  $\top$  <proof>

**lift-definition** *sup-exn* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *sup* <proof>

**lift-definition** *inf-exn* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *inf* <proof>

**lift-definition** *less-eq-exn* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn*  $\Rightarrow$  bool **is** *less-eq* <proof>

**lift-definition** *less-exn* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('s, 'x, 'v) *exn*  $\Rightarrow$  bool **is** *less* <proof>

**lift-definition** *Inf-exn* :: ('s, 'x, 'v) *exn* set  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *Inf* <proof>

**lift-definition** *Sup-exn* :: ('s, 'x, 'v) *exn* set  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *Sup* <proof>

**instance** <proof>

**end**

<ML>

**lift-definition** *action* :: ('v  $\times$  's  $\times$  's) set  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *raw.action* <proof>

**lift-definition** *return* :: 'v  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *raw.return* <proof>

**lift-definition** *throw* :: 'x  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *raw.throw* <proof>

**lift-definition** *catch* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('x  $\Rightarrow$  ('s, 'x, 'v) *exn*)  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *raw.catch* <proof>

**lift-definition** *bind* :: ('s, 'x, 'v) *exn*  $\Rightarrow$  ('v  $\Rightarrow$  ('s, 'x, 'v) *exn*)  $\Rightarrow$  ('s, 'x, 'v) *exn* **is** *raw.bind* <proof>

**lift-definition** *parallel* :: ('s, 'x, unit) *exn*  $\Rightarrow$  ('s, 'x, unit) *exn*  $\Rightarrow$  ('s, 'x, unit) *exn* **is** *raw.parallel* <proof>

**adhoc-overloading**

*Monad-Syntax.bind*  $\equiv$  *exn.bind*

**adhoc-overloading**

*parallel*  $\equiv$  *exn.parallel*

<ML>

**lemma** *bind*:

**shows**  $f \ggg g \ggg h = \text{exn.bind } f (\lambda x. g x \ggg h)$

<proof>

**lemma** *return*:

**shows** *returnL*: ( $\ggg$ ) (*exn.return* v) = ( $\lambda g. g v$ ) (**is** ?thesis1)

**and** *returnR*:  $f \ggg \text{exn.return} = f$  (**is** ?thesis2)

<proof>

**lemma** *throwL*:

**shows** ( $\ggg$ ) (*exn.throw* x) = ( $\lambda g. \text{exn.throw } x$ )

<proof>

<ML>

**lemma** *catch*:

**shows** *exn.catch* (*exn.catch* f *handler*<sub>1</sub>) *handler*<sub>2</sub> = *exn.catch* f ( $\lambda x. \text{exn.catch} (\text{handler}_1 x) \text{handler}_2$ )

<proof>

**lemma** *returnL*:

**shows** *exn.catch* (*exn.return* v) = ( $\lambda \text{handler}. \text{exn.return } v$ )

<proof>

**lemma** *throw*:

**shows** *throwL*: *exn.catch* (*exn.throw* x) = ( $\lambda g. g x$ )

**and** *throwR*: *exn.catch* f *exn.throw* = f

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *commute*:

**shows**  $exn.parallel\ P\ Q = exn.parallel\ Q\ P$

$\langle proof \rangle$

**lemma** *assoc*:

**shows**  $exn.parallel\ P\ (exn.parallel\ Q\ R) = exn.parallel\ (exn.parallel\ P\ Q)\ R$

$\langle proof \rangle$

**lemma** *return*:

**shows**  $returnL: exn.return\ () \parallel P = exn.catch\ P\ \perp$

**and**  $returnR: P \parallel exn.return\ () = exn.catch\ P\ \perp$

$\langle proof \rangle$

**lemma** *throw*:

**shows**  $throwL: exn.throw\ x \parallel P = exn.catch\ P\ \perp \ggg \perp$

**and**  $throwR: P \parallel exn.throw\ x = exn.catch\ P\ \perp \ggg \perp$

$\langle proof \rangle$

$\langle ML \rangle$

## 19 Assume/Guarantee rule sets

The rules in *ConcurrentHOL.Refinement* are deficient in various ways:

- redundant stability requirements
- interleaving of program decomposition with stability goals
- insufficiently instantiated

The following are some experimental rules aimed at practical assume/guarantee reasoning.

### 19.1 Implicit stabilisation

We can define a relation  $ceilr\ P$  to be the largest (weakest assumption) for which  $P$  is stable. This always yields a preorder (i.e., it is reflexive and transitive). Later we use this to inline stability side conditions into assume/guarantee rules (§19.1.1).

This relation is not very pleasant to work with: it is not monotonic and does not have many useful algebraic properties. However it suffices to defer the checking of assumes (see §19.1.1).

This is a cognate of the *strongest guarantee* used by de Roever et al. (2001, Definition 8.31) in their completeness proof for the rely-guarantee method.

**definition**  $ceilr :: 'a\ pred \Rightarrow 'a\ rel$  **where**

$ceilr\ P = \bigsqcup \{r.\ stable\ r\ P\}$

**lemma** *ceilr-alt-def*:

**shows**  $ceilr\ P = \{(s, s'). P\ s \longrightarrow P\ s'\}$

$\langle proof \rangle$

**lemma**  $ceilrE[elim]$ :

**assumes**  $(x, y) \in ceilr\ P$

**assumes**  $P\ x$

**shows**  $P\ y$

$\langle proof \rangle$

$\langle ML \rangle$

**named-theorems** *simps*  $\langle$ simp rules for **const**  $\langle$ ceilr $\rangle\rangle$

**lemma** *bot*[*ceilr.simps*]:  
  **shows** *ceilr*  $\perp = UNIV$   
 $\langle$ proof $\rangle$

**lemma** *top*[*ceilr.simps*]:  
  **shows** *ceilr*  $\top = UNIV$   
 $\langle$ proof $\rangle$

**lemma** *const*[*ceilr.simps*]:  
  **shows** *ceilr*  $\langle c \rangle = UNIV$   
  **and** *ceilr*  $(P \wedge \langle c \rangle) = (\text{if } c \text{ then } \textit{ceilr } P \text{ else } UNIV)$   
  **and** *ceilr*  $(\langle c \rangle \wedge P) = (\text{if } c \text{ then } \textit{ceilr } P \text{ else } UNIV)$   
  **and** *ceilr*  $(P \wedge \langle c \rangle \wedge P') = (\text{if } c \text{ then } \textit{ceilr } (P \wedge P') \text{ else } UNIV)$   
 $\langle$ proof $\rangle$

**lemma** *Id-le*:  
  **shows** *Id*  $\subseteq$  *ceilr* *P*  
 $\langle$ proof $\rangle$

**lemmas** *refl*[*iff*] = *ceilr.Id-le*[*folded refl-alt-def*]

**lemma** *trans*[*iff*]:  
  **shows** *trans* (*ceilr* *P*)  
 $\langle$ proof $\rangle$

**lemma** *stable*[*stable.intro*]:  
  **shows** *stable* (*ceilr* *P*) *P*  
 $\langle$ proof $\rangle$

**lemma** *largest*[*stable.intro*]:  
  **assumes** *stable* *r* *P*  
  **shows** *r*  $\subseteq$  *ceilr* *P*  
 $\langle$ proof $\rangle$

**lemma** *disj-subseteq*: — Converse does not hold  
  **shows** *ceilr*  $(P \vee Q) \subseteq$  *ceilr* *P*  $\cup$  *ceilr* *Q*  
 $\langle$ proof $\rangle$

**lemma** *Ex-subseteq*: — Converse does not hold  
  **shows** *ceilr*  $(\exists x. P x) \subseteq (\bigcup x. \textit{ceilr } (P x))$   
 $\langle$ proof $\rangle$

**lemma** *conj-subseteq*: — Converse does not hold  
  **shows** *ceilr* *P*  $\cap$  *ceilr* *Q*  $\subseteq$  *ceilr*  $(P \wedge Q)$   
 $\langle$ proof $\rangle$

**lemma** *All-subseteq*: — Converse does not hold  
  **shows**  $(\bigcap x. \textit{ceilr } (P x)) \subseteq$  *ceilr*  $(\forall x. P x)$   
 $\langle$ proof $\rangle$

**lemma** *const-implies*[*ceilr.simps*]:  
  **shows** *ceilr*  $(\langle P \rangle \longrightarrow Q) = (\text{if } P \text{ then } \textit{ceilr } Q \text{ else } UNIV)$   
 $\langle$ proof $\rangle$

**lemma** *Id-proj-on*:

**shows**  $(\bigcap c. \text{ceilr } (\langle c \rangle = f)) = \text{Id}_f$

**and**  $(\bigcap c. \text{ceilr } (f = \langle c \rangle)) = \text{Id}_f$

*<proof>*

*<ML>*

**lemma** *Inter-ceilr*:

**shows** *stable*  $(\bigcap v. \text{ceilr } (Q v)) (Q v)$

*<proof>*

*<ML>*

We can internalize the stability conditions; see §19.1.1 for further discussion.

*<ML>*

**lemma** *p2s-s2p-ag-ceilr*:

**shows** *prog.p2s*  $(\text{prog.s2p } (\{P\}, \text{ceilr } P \cap (\bigcap v. \text{ceilr } (Q v)) \vdash G, \{Q\}))$

$= \{P\}, \text{ceilr } P \cap (\bigcap v. \text{ceilr } (Q v)) \vdash G, \{Q\}$

*<proof>*

*<ML>*

### 19.1.1 Assume/guarantee rules using implicit stability

We use *ceilr* to incorporate stability side conditions directly into the assume/guarantee rules. In other words, instead of working with arbitrary relations, we work with the largest (most general) *assume* that makes the relevant predicates *stable*.

In practice this allows us to defer all stability obligations to the end of a proof, which may be in any convenient context (typically a function). This approach could be considered a semantic version of how [Zakowski, Cachera, Demange, Petri, Pichardie, Jagannathan, and Vitek \(2019\)](#) split sequential and assume/guarantee reasoning. See [Vafeiadis \(2008, §4\)](#) for a discussion on when to check stability.

We defer the *guarantee* proofs by incorporating them into preconditions. This also allows control flow context to be accumulated.

These are backchaining (“weakest precondition”) rules: the guarantee and post condition need to be instantiated and the rules instantiate assume and pre condition schematics.

Note that the rule for  $(\gg=)$  duplicates stability goals.

See §22 for an example of using these rules.

*<ML>*

**named-theorems** *intro* *<safe backchaining intro rules>*

**lemma** *init*:

**assumes**  $c \leq \{P\}, A \vdash G, \{Q\}$

**assumes**  $\bigwedge s. P' s \implies P s$

**assumes**  $A' \subseteq A$  — these rules use *ceilr* which always yields a reflexive relation (*ceilr.refl*)

**shows**  $c \leq \{P'\}, A' \vdash G, \{Q\}$

*<proof>*

**lemmas** *mono = ag.mono*

**lemmas** *gen-asm = ag.gen-asm*

**lemmas** *pre = ag.pre*

**lemmas** *pre-pre = ag.pre-pre*

**lemmas** *pre-post = ag.pre-post*

**lemmas**  $pre-ag = ag.pre-ag$

**lemmas**  $pre-a = ag.pre-a$

**lemmas**  $pre-g = ag.pre-g$

**lemmas**  $post-imp = ag.post-imp$

**lemmas**  $conj-lift = ag.conj-lift$

**lemmas**  $disj-lift = ag.disj-lift$

**lemmas**  $all-lift = ag.all-lift$

**lemmas**  $augment-a = ag.augment-a$

**lemmas**  $augment-post = ag.augment-post$

**lemmas**  $augment-post-imp = ag.augment-post-imp$

**lemmas**  $stable-augment-base = ag.stable-augment-base$

**lemmas**  $stable-augment = ag.stable-augment$

**lemmas**  $stable-augment-post = ag.stable-augment-post$

**lemmas**  $stable-augment-frame = ag.stable-augment-frame$

**lemma**  $bind[iag.intro]$ :

**assumes**  $\bigwedge v. prog.p2s (g v) \leq \{\!\{Q' v\}\!\}, A_2 v \vdash G, \{\!\{Q\}\!\}$

**assumes**  $prog.p2s f \leq \{\!\{P\}\!\}, A_1 \vdash G, \{\!\{Q'\}\!\}$

**shows**  $prog.p2s (f \ggg g) \leq \{\!\{P\}\!\}, A_1 \cap (\bigcap v. A_2 v) \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemmas**  $rev-bind = iag.bind[rotated]$

**lemma**  $read[iag.intro]$ :

**shows**  $prog.p2s (prog.read F) \leq \{\!\{\lambda s. Q (F s) s\}\!\}, ceilr (\lambda s. Q (F s) s) \cap (\bigcap s. ceilr (Q (F s))) \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemma**  $return[iag.intro]$ :

**shows**  $prog.p2s (prog.return v) \leq \{\!\{Q v\}\!\}, ceilr (Q v) \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemma**  $write[iag.intro]$ : — this is where *guarantee* obligations arise

**shows**  $prog.p2s (prog.write F)$

$\leq \{\!\{\lambda s. Q () (F s) \wedge (s, F s) \in G\}\!\}, ceilr (\lambda s. Q () (F s) \wedge (s, F s) \in G) \cap ceilr (Q ()) \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemma**  $parallel$ : — not in the *iag* format; instantiate the first two assumptions

**assumes**  $prog.p2s c_1 \leq \{\!\{P_1\}\!\}, A_1 \vdash G_1, \{\!\{Q_1\}\!\}$

**assumes**  $prog.p2s c_2 \leq \{\!\{P_2\}\!\}, A_2 \vdash G_2, \{\!\{Q_2\}\!\}$

**assumes**  $\bigwedge s. \llbracket Q_1 () s; Q_2 () s \rrbracket \implies Q () s$

**assumes**  $G_2 \subseteq A_1$

**assumes**  $G_1 \subseteq A_2$

**assumes**  $G_1 \cup G_2 \subseteq G$

**shows**  $prog.p2s (prog.parallel c_1 c_2) \leq \{\!\{P_1 \wedge P_2\}\!\}, A_1 \cap A_2 \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemmas**  $local = ag.local$  — not in the *iag* format

**lemma**  $if[iag.intro]$ :

**assumes**  $b \implies prog.p2s c_1 \leq \{\!\{P_1\}\!\}, A_1 \vdash G, \{\!\{Q\}\!\}$

**assumes**  $\neg b \implies prog.p2s c_2 \leq \{\!\{P_2\}\!\}, A_2 \vdash G, \{\!\{Q\}\!\}$

**shows**  $prog.p2s (if b then c_1 else c_2) \leq \{\!\{if b then P_1 else P_2\}\!\}, A_1 \cap A_2 \vdash G, \{\!\{Q\}\!\}$

$\langle proof \rangle$

**lemma** *case-option*[*iag.intro*]:

**assumes**  $x = \text{None} \implies \text{prog.p2s } \text{none} \leq \{\!\{P_n}\!\}, A_n \vdash G, \{\!\{Q}\!\}$   
**assumes**  $\bigwedge v. x = \text{Some } v \implies \text{prog.p2s } (\text{some } v) \leq \{\!\{P_s v}\!\}, A_s v \vdash G, \{\!\{Q}\!\}$   
**shows**  $\text{prog.p2s } (\text{case-option none some } x) \leq \{\!\{\text{case } x \text{ of None} \Rightarrow P_n \mid \text{Some } v \Rightarrow P_s v\}\!\}, \text{case-option } A_n A_s x \vdash G, \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *case-sum*[*iag.intro*]:

**assumes**  $\bigwedge v. x = \text{Inl } v \implies \text{prog.p2s } (\text{left } v) \leq \{\!\{P_l v}\!\}, A_l v \vdash G, \{\!\{Q}\!\}$   
**assumes**  $\bigwedge v. x = \text{Inr } v \implies \text{prog.p2s } (\text{right } v) \leq \{\!\{P_r v}\!\}, A_r v \vdash G, \{\!\{Q}\!\}$   
**shows**  $\text{prog.p2s } (\text{case-sum left right } x) \leq \{\!\{\text{case-sum } P_l P_r x\}\!\}, \text{case-sum } A_l A_r x \vdash G, \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *case-list*[*iag.intro*]:

**assumes**  $x = [] \implies \text{prog.p2s } \text{nil} \leq \{\!\{P_n}\!\}, A_n \vdash G, \{\!\{Q}\!\}$   
**assumes**  $\bigwedge v \text{ vs}. x = v \# \text{ vs} \implies \text{prog.p2s } (\text{cons } v \text{ vs}) \leq \{\!\{P_c v \text{ vs}\}\!\}, A_c v \text{ vs} \vdash G, \{\!\{Q}\!\}$   
**shows**  $\text{prog.p2s } (\text{case-list nil cons } x) \leq \{\!\{\text{case-list } P_n P_c x\}\!\}, \text{case-list } A_n A_c x \vdash G, \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *while*:

**fixes**  $c :: 'k \Rightarrow ('s, 'k + 'v) \text{ prog}$   
**assumes**  $c: \bigwedge k. \text{prog.p2s } (c k) \leq \{\!\{P k}\!\}, A \vdash G, \{\!\{\text{case-sum } I Q\}\!\}$   
**shows**  $\text{prog.p2s } (\text{prog.while } c k) \leq \{\!\{(\forall v s. I v s \longrightarrow P v s) \wedge I k\}\!\}, A \cap (\bigcap v. \text{ceilr } (Q v)) \vdash G, \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{whenM} = \text{iag.if}[\text{where } c_1=c \text{ and } A_1=A \text{ and } P_1=P, \text{OF} - \text{iag.return}[\text{where } v=()]] \text{ for } A c P$

$\langle \text{ML} \rangle$

## 19.2 Refinement with relational assumes

Two sets of refinement rules:

- relational assumes
- relational assumes and *prog.sinvmap* (inverse state abstraction)

$\langle \text{ML} \rangle$

**lemma** *bind*:

**assumes**  $\bigwedge v. \text{prog.p2s } (g v) \leq \{\!\{Q' v}\!\}, \text{ag.assm } A \Vdash \text{prog.p2s } (g' v), \{\!\{Q}\!\}$   
**assumes**  $\text{prog.p2s } f \leq \{\!\{P}\!\}, \text{ag.assm } A \Vdash \text{prog.p2s } f', \{\!\{Q'\}\!\}$   
**shows**  $\text{prog.p2s } (f \ggg g) \leq \{\!\{P}\!\}, \text{ag.assm } A \Vdash \text{prog.p2s } (f' \ggg g'), \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{rev-bind} = \text{rar.prog.bind}[\text{rotated}]$

**lemma** *action*:

**fixes**  $F :: ('v \times 's \times 's) \text{ set}$   
**fixes**  $F' :: ('v \times 's \times 's) \text{ set}$   
**assumes**  $Q: \bigwedge v s s'. \llbracket P s; (v, s, s') \in F \rrbracket \implies Q v s'$   
**assumes**  $F': \bigwedge v s s'. \llbracket P s; (v, s, s') \in F \rrbracket \implies (v, s, s') \in F'$   
**assumes**  $sP: \text{stable } A P$   
**assumes**  $sQ: \bigwedge v s s'. \llbracket P s; (v, s, s') \in F \rrbracket \implies \text{stable } A (Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.action } F) \leq \{\!\{P}\!\}, \text{ag.assm } A \Vdash \text{prog.p2s } (\text{prog.action } F'), \{\!\{Q}\!\}$   
 $\langle \text{proof} \rangle$

**lemma** *return*:

**assumes**  $sQ$ : *stable*  $A$  ( $Q$   $v$ )  
**shows**  $\text{prog.p2s}$  ( $\text{prog.return}$   $v$ )  $\leq \{\{Q\} v\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $\text{prog.return}$   $v$ ),  $\{\{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-refinement*:

**assumes**  $c_1$ :  $\text{prog.p2s}$   $c_1 \leq \{\{P_1\}\}$ ,  $\text{ag.assm}$  ( $A \cup G_2$ )  $\Vdash \text{prog.p2s}$  ( $c_1' \sqcap \text{prog.rel}$   $G_1$ ),  $\{\{Q_1\}\}$   
**assumes**  $c_2$ :  $\text{prog.p2s}$   $c_2 \leq \{\{P_2\}\}$ ,  $\text{ag.assm}$  ( $A \cup G_1$ )  $\Vdash \text{prog.p2s}$  ( $c_2' \sqcap \text{prog.rel}$   $G_2$ ),  $\{\{Q_2\}\}$   
**shows**  $\text{prog.p2s}$  ( $c_1 \parallel c_2$ )  $\leq \{\{P_1 \wedge P_2\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $c_1' \sqcap \text{prog.rel}$   $G_1 \parallel c_2' \sqcap \text{prog.rel}$   $G_2$ ),  $\{\{\lambda v. Q_1 v \wedge Q_2 v\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *parallel*:

**assumes**  $\text{prog.p2s}$   $c_1 \leq \{\{P_1\}\}$ ,  $\text{ag.assm}$  ( $A \cup G_2$ )  $\Vdash \text{prog.p2s}$   $c_1'$ ,  $\{\{Q_1\}\}$   
**assumes**  $\text{prog.p2s}$   $c_1 \leq \{\{P_1\}\}$ ,  $A \cup G_2 \vdash G_1$ ,  $\{\{\top\}\}$   
**assumes**  $\text{prog.p2s}$   $c_2 \leq \{\{P_2\}\}$ ,  $\text{ag.assm}$  ( $A \cup G_1$ )  $\Vdash \text{prog.p2s}$   $c_2'$ ,  $\{\{Q_2\}\}$   
**assumes**  $\text{prog.p2s}$   $c_2 \leq \{\{P_2\}\}$ ,  $A \cup G_1 \vdash G_2$ ,  $\{\{\top\}\}$   
**shows**  $\text{prog.p2s}$  ( $c_1 \parallel c_2$ )  $\leq \{\{P_1 \wedge P_2\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $c_1' \parallel c_2'$ ),  $\{\{\lambda v. Q_1 v \wedge Q_2 v\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *while*:

**fixes**  $c :: 'k \Rightarrow ('s, 'k + 'v)$  *prog*  
**fixes**  $c' :: 'k \Rightarrow ('s, 'k + 'v)$  *prog*  
**assumes**  $c$ :  $\bigwedge k. \text{prog.p2s}$  ( $c$   $k$ )  $\leq \{\{P$   $k\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $c'$   $k$ ),  $\{\{\text{case-sum } I$   $Q\}\}$   
**assumes**  $IP$ :  $\bigwedge s v. I$   $v$   $s \implies P$   $v$   $s$   
**assumes**  $sQ$ :  $\bigwedge v. \text{stable}$   $A$  ( $Q$   $v$ )  
**shows**  $\text{prog.p2s}$  ( $\text{prog.while}$   $c$   $k$ )  $\leq \{\{I$   $k\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $\text{prog.while}$   $c'$   $k$ ),  $\{\{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *app*:

**fixes**  $xs :: 'a$  *list*  
**fixes**  $f :: 'a \Rightarrow ('s, \text{unit})$  *prog*  
**fixes**  $P :: 'a$  *list*  $\Rightarrow 's$  *pred*  
**assumes**  $\bigwedge x$   $ys$   $zs. xs = ys$  @  $x$  #  $zs \implies \text{prog.p2s}$  ( $f$   $x$ )  $\leq \{\{P$   $ys\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $f'$   $x$ ),  $\{\{\lambda-. P$  ( $ys$  @  $x$ )\}\}  
**assumes**  $\bigwedge ys. \text{prefix}$   $ys$   $xs \implies \text{stable}$   $A$  ( $P$   $ys$ )  
**shows**  $\text{prog.p2s}$  ( $\text{prog.app}$   $f$   $xs$ )  $\leq \{\{P$   $\square\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{prog.p2s}$  ( $\text{prog.app}$   $f'$   $xs$ ),  $\{\{\lambda-. P$   $xs\}\}$   
 $\langle \text{proof} \rangle$

**lemmas** *if = refinement.prog.if*[**where**  $A = \text{ag.assm } A$  **for**  $A$ ]

**lemmas** *case-option = refinement.prog.case-option*[**where**  $A = \text{ag.assm } A$  **for**  $A$ ]

$\langle ML \rangle$

**abbreviation** (*input*)  $\text{absfn}$   $sf$   $c \equiv \text{prog.p2s}$  ( $\text{prog.sinvmap}$   $sf$   $c$ )

**lemma** *bind*:

**assumes**  $\bigwedge v. \text{prog.p2s}$  ( $g$   $v$ )  $\leq \{\{Q'$   $v\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{rair.prog.absfn}$   $sf$  ( $g'$   $v$ ),  $\{\{Q\}\}$   
**assumes**  $\text{prog.p2s}$   $f \leq \{\{P\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{rair.prog.absfn}$   $sf$   $f'$ ,  $\{\{Q'\}\}$   
**shows**  $\text{prog.p2s}$  ( $f \ggg g$ )  $\leq \{\{P\}\}$ ,  $\text{ag.assm}$   $A \Vdash \text{rair.prog.absfn}$   $sf$  ( $f' \ggg g'$ ),  $\{\{Q\}\}$   
 $\langle \text{proof} \rangle$

**lemmas** *rev-bind = rair.prog.bind*[*rotated*]

**lemma** *action*:

**fixes**  $F :: ('v \times 's \times 's)$  *set*  
**fixes**  $F' :: ('v \times 't \times 't)$  *set*  
**fixes**  $sf :: 's \Rightarrow 't$   
**assumes**  $Q$ :  $\bigwedge v s s'. \llbracket P$   $s; (v, s, s') \in F \rrbracket \implies Q$   $v$   $s'$

**assumes**  $F'$ :  $\bigwedge v s s'. \llbracket P s; (v, s, s') \in F \rrbracket \implies (v, sf s, sf s') \in F'$   
**assumes**  $sP$ : *stable*  $A P$   
**assumes**  $sQ$ :  $\bigwedge v s s'. \llbracket P s; (v, s, s') \in F \rrbracket \implies \text{stable } A (Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.action } F) \leq \llbracket P \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{prog.action } F'), \llbracket Q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma return:**

**assumes**  $sQ$ : *stable*  $A (Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.return } v) \leq \llbracket Q v \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{prog.return } v), \llbracket Q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma parallel:**

**fixes**  $sf :: 's \Rightarrow 't$   
**assumes**  $\text{prog.p2s } c_1 \leq \llbracket P_1 \rrbracket, \text{ag.assm } (A \cup G_2) \Vdash \text{rair.prog.absfn } sf c_1', \llbracket Q_1 \rrbracket$   
**assumes**  $\text{prog.p2s } c_1 \leq \llbracket P_1 \rrbracket, A \cup G_2 \vdash G_1, \llbracket \top \rrbracket$   
**assumes**  $\text{prog.p2s } c_2 \leq \llbracket P_2 \rrbracket, \text{ag.assm } (A \cup G_1) \Vdash \text{rair.prog.absfn } sf c_2', \llbracket Q_2 \rrbracket$   
**assumes**  $\text{prog.p2s } c_2 \leq \llbracket P_2 \rrbracket, A \cup G_1 \vdash G_2, \llbracket \top \rrbracket$   
**shows**  $\text{prog.p2s } (c_1 \parallel c_2) \leq \llbracket P_1 \wedge P_2 \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (c_1' \parallel c_2'), \llbracket \lambda v. Q_1 v \wedge Q_2 v \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma while:**

**fixes**  $c :: 'k \Rightarrow ('s, 'k + 'v) \text{ prog}$   
**fixes**  $c' :: 'k \Rightarrow ('t, 'k + 'v) \text{ prog}$   
**fixes**  $sf :: 's \Rightarrow 't$   
**assumes**  $c$ :  $\bigwedge k. \text{prog.p2s } (c k) \leq \llbracket P k \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (c' k), \llbracket \text{case-sum } I Q \rrbracket$   
**assumes**  $IP$ :  $\bigwedge s v. I v s \implies P v s$   
**assumes**  $sQ$ :  $\bigwedge v. \text{stable } A (Q v)$   
**shows**  $\text{prog.p2s } (\text{prog.while } c k) \leq \llbracket I k \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{prog.while } c' k), \llbracket Q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma app:**

**fixes**  $xs :: 'a \text{ list}$   
**fixes**  $f :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$   
**fixes**  $P :: 'a \text{ list} \Rightarrow 's \text{ pred}$   
**assumes**  $\bigwedge x ys zs. xs = ys @ x \# zs \implies \text{prog.p2s } (f x) \leq \llbracket P ys \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (f' x), \llbracket \lambda -. P (ys @ [x]) \rrbracket$   
**assumes**  $\bigwedge ys. \text{prefix } ys xs \implies \text{stable } A (P ys)$   
**shows**  $\text{prog.p2s } (\text{prog.app } f xs) \leq \llbracket P [] \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{prog.app } f' xs), \llbracket \lambda -. P xs \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma if:**

**assumes**  $i \implies \text{prog.p2s } t \leq \llbracket P \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf t', \llbracket Q \rrbracket$   
**assumes**  $\neg i \implies \text{prog.p2s } e \leq \llbracket P' \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf e', \llbracket Q \rrbracket$   
**shows**  $\text{prog.p2s } (\text{if } i \text{ then } t \text{ else } e) \leq \llbracket \text{if } i \text{ then } P \text{ else } P' \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{if } i \text{ then } t' \text{ else } e'), \llbracket Q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma case-option:**

**assumes**  $\text{opt} = \text{None} \implies \text{prog.p2s } \text{none} \leq \llbracket P_n \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf \text{none}', \llbracket Q \rrbracket$   
**assumes**  $\bigwedge v. \text{opt} = \text{Some } v \implies \text{prog.p2s } (\text{some } v) \leq \llbracket P_s v \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{some}' v), \llbracket Q \rrbracket$   
**shows**  $\text{prog.p2s } (\text{case-option } \text{none } \text{some } \text{opt}) \leq \llbracket \text{case } \text{opt} \text{ of } \text{None} \Rightarrow P_n \mid \text{Some } v \Rightarrow P_s v \rrbracket, \text{ag.assm } A \Vdash \text{rair.prog.absfn } sf (\text{case-option } \text{none}' \text{some}' \text{opt}), \llbracket Q \rrbracket$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

## 20 Wickerson, Dodds and Parkinson: explicit stabilisation

Notes on [Wickerson, Dodds, and Parkinson \(2010\)](#) (all references here are to the technical report):

- motivation: techniques for eliding redundant stability conditions
  - the standard rules check the interstitial assertion in  $c ; d$  twice
- they claim in §7 to supersede the “mid stability” of [Vafeiadis \(2008, §4.1\)](#) (wssa, sswa)
- Appendix D:
  - not a complete set of rules
  - ATOMR-S does not self-compose: consider  $c ; d$  – the interstitial assertion is either a floor or ceiling
    - \* every step therefore requires a use of weakening/monotonicity

The basis of their approach is to make assertions a function of a relation (a *rely*). By considering a set of relations, a single rely-guarantee specification can satisfy several call sites. Separately they tweak the RGSep rules of [Vafeiadis \(2008\)](#).

The definitions are formally motivated as follows (§3):

Our operators can also be defined using Dijkstra’s predicate transformer semantics:  $\lfloor p \rfloor R$  is the weakest precondition of  $R^*$  given postcondition  $p$ , while  $\lceil p \rceil R$  is the strongest postcondition of  $R^*$  given precondition  $p$ .

The following adapts their definitions and proofs to our setting.

$\langle ML \rangle$

**definition** *floor* ::  $'a \text{ rel} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred}$  **where** — An interior operator, or a closure in the dual lattice  
 $\text{floor } r \ P \ s \longleftrightarrow (\forall s'. (s, s') \in r^* \longrightarrow P \ s')$

**definition** *ceiling* ::  $'a \text{ rel} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ pred}$  **where** — A closure operator  
 $\text{ceiling } r \ P \ s \longleftrightarrow (\exists s'. (s', s) \in r^* \wedge P \ s')$

$\langle ML \rangle$

**lemma** *empty-rel[simp]*:  
**shows**  $\text{wdp.floor } \{\} \ P = P$   
 $\langle \text{proof} \rangle$

**lemma** *reflcl*:  
**shows**  $\text{wdp.floor } (r^=) = \text{wdp.floor } r$   
 $\langle \text{proof} \rangle$

**lemma** *const*:  
**shows**  $\text{wdp.floor } r \ \langle c \rangle = \langle c \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *contractive*:  
**shows**  $\text{wdp.floor } r \ P \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *idempotent*:  
**shows**  $\text{wdp.floor } r \ (\text{wdp.floor } r \ P) = \text{wdp.floor } r \ P$   
 $\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $r' \subseteq r$   
**assumes**  $P \leq P'$   
**shows**  $\text{wdp.floor } r P \leq \text{wdp.floor } r' P'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen*[*strg*]:  
**assumes** *st-ord*  $(\neg F) r r'$   
**assumes** *st-ord*  $F P P'$   
**shows** *st-ord*  $F (\text{wdp.floor } r P) (\text{wdp.floor } r' P')$   
 $\langle \text{proof} \rangle$

**lemma** *weakest*:  
**assumes**  $Q \leq P$   
**assumes** *stable*  $r Q$   
**shows**  $Q \leq \text{wdp.floor } r P$   
 $\langle \text{proof} \rangle$

**lemma** *Chernoff*:  
**assumes**  $P \leq Q$   
**shows**  $(\text{wdp.floor } r P \wedge Q) \leq \text{wdp.floor } r Q$   
 $\langle \text{proof} \rangle$

**lemma** *floor1*:  
**assumes**  $r \subseteq r'$   
**shows**  $\text{wdp.floor } r' (\text{wdp.floor } r P) = \text{wdp.floor } r' P$   
 $\langle \text{proof} \rangle$

**lemma** *floor2*:  
**assumes**  $r \subseteq r'$   
**shows**  $\text{wdp.floor } r (\text{wdp.floor } r' P) = \text{wdp.floor } r' P$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**interpretation** *ceiling*: *closure-complete-lattice-distributive-class*  $\text{wdp.ceiling } r$  **for**  $r$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *empty-rel*[*simp*]:  
**shows**  $\text{wdp.ceiling } \{\} P = P$   
 $\langle \text{proof} \rangle$

**lemma** *reflcl*:  
**shows**  $\text{wdp.ceiling } (r^=) = \text{wdp.ceiling } r$   
 $\langle \text{proof} \rangle$

**lemma** *const*:  
**shows**  $\text{wdp.ceiling } r \langle c \rangle = \langle c \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mono*:  
**assumes**  $r \subseteq r'$   
**assumes**  $P \leq P'$   
**shows**  $\text{wdp.ceiling } r P \leq \text{wdp.ceiling } r' P'$   
 $\langle \text{proof} \rangle$

**lemma** *strengthen*[*strg*]:

**assumes**  $st\text{-ord } F r r'$   
**assumes**  $st\text{-ord } F P P'$   
**shows**  $st\text{-ord } F (wdp.\text{ceiling } r P) (wdp.\text{ceiling } r' P)$   
 <proof>

**lemma strongest:**  
**assumes**  $P \leq Q$   
**assumes**  $stable r Q$   
**shows**  $wdp.\text{ceiling } r P \leq Q$   
 <proof>

**lemma ceiling1:**  
**assumes**  $r \subseteq r'$   
**shows**  $wdp.\text{ceiling } r' (wdp.\text{ceiling } r P) = wdp.\text{ceiling } r' P$   
 <proof>

**lemma ceiling2:**  
**assumes**  $r \subseteq r'$   
**shows**  $wdp.\text{ceiling } r (wdp.\text{ceiling } r' P) = wdp.\text{ceiling } r' P$   
 <proof>

<ML>

**lemma floor:**  
**shows**  $stable r (wdp.\text{floor } r P)$   
 <proof>

**lemma ceiling:**  
**shows**  $stable r (wdp.\text{ceiling } r P)$   
 <proof>

**lemma floor-conv:**  
**assumes**  $stable r P$   
**shows**  $P = wdp.\text{floor } r P$   
 <proof>

**lemma ceiling-conv:**  
**assumes**  $stable r P$   
**shows**  $P = wdp.\text{ceiling } r P$   
 <proof>

<ML>

**lemma floor-alt-def:** — Wickerson et al. (2010, §3)  
**shows**  $wdp.\text{floor } r P = \bigsqcup \{Q. Q \leq P \wedge stable r Q\}$   
 <proof>

**lemma ceiling-alt-def:** — Wickerson et al. (2010, §3)  
**shows**  $wdp.\text{ceiling } r P = \bigsqcap \{Q. P \leq Q \wedge stable r Q\}$   
 <proof>

**lemma duality-floor-ceiling:**  
**shows**  $wdp.\text{ceiling } r (\neg P) = (\neg wdp.\text{floor } (r^{-1}) P)$   
 <proof>

**lemma ceiling-floor:**  
**assumes**  $r \subseteq r'$   
**shows**  $wdp.\text{ceiling } r (wdp.\text{floor } r' P) = wdp.\text{floor } r' P$

$\langle proof \rangle$

**lemma** *floor-ceiling*:

**assumes**  $r \subseteq r'$

**shows**  $wdp.floor\ r\ (wdp.ceiling\ r'\ P) = wdp.ceiling\ r'\ P$

$\langle proof \rangle$

**lemma** *floor-ceilr*:

**shows**  $wdp.floor\ (ceilr\ P)\ P = P$

$\langle proof \rangle$

**lemma** *ceiling-ceilr*:

**shows**  $wdp.ceiling\ (ceilr\ P)\ P = P$

$\langle proof \rangle$

$\langle ML \rangle$

## 20.1 Assume/Guarantee rules

### §3.2 traditional assume/guarantee rules $\langle ML \rangle$

**lemma** *action*: — arbitrary  $A$

**fixes**  $F :: ('v \times 's \times 's)\ set$

**assumes**  $Q: \bigwedge v\ s\ s'. \llbracket P\ s; (v, s, s') \in F \rrbracket \implies Q\ v\ s'$

**assumes**  $G: \bigwedge v\ s\ s'. \llbracket P\ s; s \neq s'; (v, s, s') \in F \rrbracket \implies (s, s') \in G$

**shows**  $prog.p2s\ (prog.action\ F) \leq \{\!| wdp.floor\ A\ P |\!\}, A \vdash G, \{\!| \lambda v. wdp.ceiling\ A\ (Q\ v) |\!\}$

$\langle proof \rangle$

**lemmas**  $mono = ag.mono$

**lemmas**  $bind = ag.prog.bind$

etc. — the other rules are stock

$\langle ML \rangle$

**§4, Appendix C parametric specifications** **definition**  $pag :: ('s\ rel \implies 's\ pred) \implies 's\ rel\ set \implies 's\ rel \implies ('s\ rel \implies 'v \implies 's\ pred) \implies (sequential, 's, 'v)\ spec\ (\langle \{-\}, -/ \vdash_P -, \{-\} \rangle [0,0,0,0]\ 100)$  **where**

$\{\!| P |\!\}, As \vdash_P G, \{\!| Q |\!\} = (\bigcap A \in As. \{\!| P\ A |\!\}, A \vdash G, \{\!| Q\ A |\!\})$

$\langle ML \rangle$

**lemma** *empty*:

**shows**  $\{\!| P |\!\}, \{\} \vdash_P G, \{\!| Q |\!\} = \top$

$\langle proof \rangle$

**lemma** *singleton*:

**shows**  $\{\!| P |\!\}, \{A\} \vdash_P G, \{\!| Q |\!\} = \{\!| P\ A |\!\}, A \vdash G, \{\!| Q\ A |\!\}$

$\langle proof \rangle$

**lemma** *mono*: — strengthening of the WEAKEN rule in Figure 4, needed for the example

**assumes**  $\bigwedge A. A \in As' \implies P' A \leq P A$

**assumes**  $As' \leq As$

**assumes**  $G \leq G'$

**assumes**  $\bigwedge A. A \in As' \implies Q A \leq Q' A$

**shows**  $\{\!| P |\!\}, As \vdash_P G, \{\!| Q |\!\} \leq \{\!| P' |\!\}, As' \vdash_P G', \{\!| Q' |\!\}$

$\langle proof \rangle$

**lemma** *action*: — allow assertions to depend on assume  $A$ , needed for the example

**fixes**  $F :: ('v \times 's \times 's)\ set$

**assumes**  $Q: \bigwedge A v s s'. \llbracket A \in As; P A s; (v, s, s') \in F \rrbracket \implies Q A v s'$   
**assumes**  $G: \bigwedge A v s s'. \llbracket A \in As; P A s; s \neq s'; (v, s, s') \in F \rrbracket \implies (s, s') \in G$   
**shows**  $\text{prog.p2s } (\text{prog.action } F) \leq \{\lambda A. \text{wdp.floor } A (P A)\}, As \vdash_P G, \{\lambda A v. \text{wdp.ceiling } A (Q A v)\}$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{sup} = \text{ag.prog.sup}$

**lemma** *bind*:

**assumes**  $\bigwedge v. \text{prog.p2s } (g v) \leq \{\lambda A. Q' A v\}, As \vdash_P G, \{Q\}$   
**assumes**  $\text{prog.p2s } f \leq \{P\}, As \vdash_P G, \{Q'\}$   
**shows**  $\text{prog.p2s } (f \gg g) \leq \{P\}, As \vdash_P G, \{Q\}$   
 $\langle \text{proof} \rangle$

**lemma** *parallel*:

**assumes**  $\text{prog.p2s } c_1 \leq \{P_1\}, (\cup) G_2 ' A \vdash_P G_1, \{Q_1\}$   
**assumes**  $\text{prog.p2s } c_2 \leq \{P_2\}, (\cup) G_1 ' A \vdash_P G_2, \{Q_2\}$   
**shows**  $\text{prog.p2s } (\text{prog.parallel } c_1 c_2)$   
 $\leq \{\lambda R. P_1 (R \cup G_2) \wedge P_2 (R \cup G_1)\}, A \vdash_P G_1 \cup G_2, \{\lambda R v. Q_1 (R \cup G_2) v \wedge Q_2 (R \cup G_1) v\}$   
 $\langle \text{proof} \rangle$

etc. – the other rules follow similarly

$\langle ML \rangle$

## 20.2 Examples

There is not always a single (traditional) most general assume/guarantee specification (§2.1).

**type-synonym**  $\text{state} = \text{int} - \text{just } x$

**abbreviation** (*input*)  $\text{incr} \equiv \text{prog.write } ((+) 1) - \text{atomic increment}$

**abbreviation** (*input*)  $\text{increases} :: \text{int rel where increases} \equiv \{(x, x'). x \leq x'\}$

**lemma** *ag-incr1*: — the precondition is stable as the rely is very strong

**shows**  $\text{prog.p2s } \text{incr} \leq \{ (= ) c \}, \{ \} \vdash \text{increases}, \{ ( (= ) (c + 1) ) \}$   
 $\langle \text{proof} \rangle$

**lemma** *ag-incr2*: — note the weaker precondition due to the larger assume

**shows**  $\text{prog.p2s } \text{incr} \leq \{ (\leq) c \}, \text{increases} \vdash \text{increases}, \{ ( (\leq) (c + 1) ) \}$   
 $\langle \text{proof} \rangle$

**lemma** *ag-incr1-par-incr1*:

**shows**  $\text{prog.p2s } (\text{incr} \parallel \text{incr}) \leq \{ \lambda x. c \leq x \}, \text{increases} \vdash \text{increases}, \{ \lambda x. c + 1 \leq x \}$   
 $\langle \text{proof} \rangle$

Using explicit stabilisation we can squash the two specifications for *incr* into a single one (§4).

**lemma** — postcondition cannot be simplified for arbitrary  $A$

**shows**  $\text{prog.p2s } \text{incr} \leq \{ \text{wdp.ceiling } A ((=) c) \}, A \vdash \text{increases}, \{ \langle \text{wdp.ceiling } A (\lambda s. \text{wdp.ceiling } A ((=) c) (s - 1)) \rangle \}$   
 $\langle \text{proof} \rangle$

**abbreviation** (*input*)  $\text{comm-xpp} :: \text{int rel set where}$

$\text{comm-xpp} \equiv \{ A. \forall p s. \text{wdp.ceiling } A p (s - 1) = \text{wdp.ceiling } A (\lambda s. p (s - 1)) s \}$

**lemma** *pag-incr*: — postcondition can be simplified wrt *comm-xpp*

**shows**  $\text{prog.p2s } \text{incr} \leq \{ \lambda A. \text{wdp.ceiling } A ((=) c) \}, \text{comm-xpp} \vdash_P \text{increases}, \{ \lambda A. \langle \text{wdp.ceiling } A ((=) (c + 1)) \rangle \}$   
 $\langle \text{proof} \rangle$

**lemma**

**shows**  $\text{prog.p2s } \text{incr} \leq \{ (= ) c \}, \{ \} \vdash \text{increases}, \{ ( (= ) (c + 1) ) \}$   
 $\langle \text{proof} \rangle$

**lemma**

**shows**  $prog.p2s\ incr \leq \{\!(\leq) c\!\}$ ,  $increases \vdash increases$ ,  $\{\!(\leq) (c + 1)\!\}$   
 $\langle proof \rangle$

## 21 Example: inhabitation

The following is a simple example of showing that a specification is inhabited.

**lemma**

**shows**  $\langle 0::nat, [(self, 1), (self, 2)], Some () \rangle$   
 $\leq prog.p2s (prog.while \langle prog.write ((+) 1) \gg (prog.return (Inl ()) \sqcup prog.return (Inr ())) \rangle ())$   
 $\langle proof \rangle$   
 $\langle proof \rangle$

## 22 Example: findP

We demonstrate assume/guarantee reasoning by showing the safety of *findP*, a classic exercise in concurrency verification. It has been treated by at least:

- Karp and Miller (1969, Example 5.1)
- Rosen (1976, §3)
- Owicki and Gries (1976, §4 Example 2)
- Jones (1983, §2.4)
- Xu et al. (1994, §3.1)
- Brookes (1996, p161) (no proof)
- de Roeвер et al. (2001, Examples 3.57 and 8.26) (atomic guarded commands)
- Dingel (2002, §6.2) (refinement)
- Prensa Nieto (2003, §10) (mechanized, arbitrary number of threads)
- Apt, de Boer, and Olderog (2009, §7.4, §8.6)
- Hayes and Jones (2017, §4) (refinement)

We take the task to be of finding the first element of a given array *A* that satisfies a given predicate *pred*, if it exists, or yielding *length A* if it does not. This search is performed with two threads: one searching the even indices and the other the odd. There is the possibility of a thread terminating early if it notices that the other thread has found a better candidate than it could.

We generalise previous treatments by allowing the predicate to be specified modularly and to be a function of the state. It is required to be pure, i.e., it cannot change the observable/shared state, though it could have its own local state.

Our search loops are defined recursively; one could just as easily use *prog.while*. We use a list and not an array for simplicity – at this level of abstraction there is no difference – and a mix of variables, where the monadic ones are purely local and the state-based are shared between the threads. The lens allows the array to be a value or reside in the (observable/shared) state.

**type-synonym**  $'s\ state = (nat \times nat) \times 's$

**abbreviation**  $foundE :: nat \implies 's\ state$  **where**  $foundE \equiv fst_L ;_L fst_L$

**abbreviation**  $foundO :: nat \implies 's\ state$  **where**  $foundO \equiv snd_L ;_L fst_L$

**context**

**fixes**  $pred :: 'a \Rightarrow ('s, bool) prog$

**fixes**  $predPre :: 's pred$

**fixes**  $predP :: 'a \Rightarrow 's\ pred$   
**fixes**  $A :: 's\ rel$   
**fixes**  $array :: 'a\ list \Longrightarrow 's$   
— A guarantee of  $Id$  indicates that  $pred\ a$  is observationally pure.  
**assumes**  $iag\text{-}pred: \bigwedge a. prog.p2s\ (pred\ a) \leq \{\{predPre \wedge \langle a \rangle \in SET\ get\_array\}\}, A^= \cap Id_{get\_array} \cap ceilr\ predPre$   
 $\cap Id_{predP\ a} \vdash Id, \{\{\lambda rv. \langle rv \rangle = predP\ a\}\}$   
**begin**

**abbreviation**  $array' :: 'a\ list \Longrightarrow 's\ state$  **where**  $array' \equiv array ;_L\ snd_L$

**partial-function** (lfp)  $findP\text{-}loop\text{-}evens :: nat \Rightarrow ('s\ state, unit)\ prog$  **where**

$findP\text{-}loop\text{-}evens\ i =$   
do {  $fO \leftarrow prog.read\ get_{foundO}$   
;  $prog.whenM\ (i < fO)$   
( do {  $v \leftarrow prog.read\ (\lambda s. get_{array'}\ s\ !\ i)$   
;  $b \leftarrow prog.localize\ (pred\ v)$   
; if  $b$  then  $prog.write\ (\lambda s. put_{foundE}\ s\ i)$  else  $findP\text{-}loop\text{-}evens\ (i + 2)$   
})  
}

**partial-function** (lfp)  $findP\text{-}loop\text{-}odds :: nat \Rightarrow ('s\ state, unit)\ prog$  **where**

$findP\text{-}loop\text{-}odds\ i =$   
do {  $fE \leftarrow prog.read\ get_{foundE}$   
;  $prog.whenM\ (i < fE)$   
( do {  $v \leftarrow prog.read\ (\lambda s. get_{array'}\ s\ !\ i)$   
;  $b \leftarrow prog.localize\ (pred\ v)$   
; if  $b$  then  $prog.write\ (\lambda s. put_{foundO}\ s\ i)$  else  $findP\text{-}loop\text{-}odds\ (i + 2)$   
})  
}

**definition**  $findP :: ('s, nat)\ prog$  **where**

$findP = prog.local\ ($   
do {  $N \leftarrow prog.read\ (SIZE\ get_{array'})$   
;  $prog.write\ (\lambda s. put_{foundE}\ s\ N)$   
;  $prog.write\ (\lambda s. put_{foundO}\ s\ N)$   
;  $(findP\text{-}loop\text{-}evens\ 0 \parallel findP\text{-}loop\text{-}odds\ 1)$   
;  $fE \leftarrow prog.read\ (get_{foundE})$   
;  $fO \leftarrow prog.read\ (get_{foundO})$   
;  $prog.return\ (min\ fE\ fO)$   
})

**Relies and guarantees** **abbreviation**  $(input)\ A' :: 's\ rel$  **where**  $A' \equiv A^= \cap ceilr\ predPre \cap (\bigcap a. Id_{predP\ a})$

**definition**  $AE :: 's\ state\ rel$  **where**

$AE = UNIV \times_R A' \cap Id_{get\_array'} \cap Id_{get_{foundE}} \cap \leq_{get_{foundO}}$

**definition**  $GE :: 's\ state\ rel$  **where**

$GE = Id_{snd} \cap Id_{get_{foundO}} \cap \leq_{get_{foundE}}$

**definition**  $AO :: 's\ state\ rel$  **where**

$AO = UNIV \times_R A' \cap Id_{get\_array'} \cap Id_{get_{foundO}} \cap \leq_{get_{foundE}}$

**definition**  $GO :: 's\ state\ rel$  **where**

$GO = Id_{snd} \cap Id_{get_{foundE}} \cap \leq_{get_{foundO}}$

**lemma**  $AG\text{-}refl\text{-}trans:$

**shows**

$refl\ AE$

$refl\ AO$   
 $trans\ A \implies trans\ AE$   
 $trans\ A \implies trans\ AO$   
 $refl\ GE$   
 $refl\ GO$   
 $trans\ GE$   
 $trans\ GO$   
 $\langle proof \rangle$

**lemma** *AG-containment:*

**shows**  $GO \subseteq AE$   
**and**  $GE \subseteq AO$

$\langle proof \rangle$

**lemma** *G-containment:*

**shows**  $GE \cup GO \subseteq UNIV \times_R Id$

$\langle proof \rangle$

**Safety proofs lemma** *ag-findP-loop-evens:*

**shows**  $prog.p2s\ (findP\text{-loop-evens}\ i)$

$\leq \{\{ \langle even\ i \rangle \wedge (\lambda s. predPre\ (snd\ s)) \wedge get_{foundE} = SIZE\ get_{array'} \wedge get_{foundO} \leq SIZE\ get_{array'} \}\}, AE \vdash$   
 $GE,$

$\{\{ \lambda -. (get_{foundE} < SIZE\ get_{array'} \longrightarrow localize1\ predP\ \$\$ get_{array'}\ !\ get_{foundE})$   
 $\wedge (\forall j. \langle i \leq j \wedge even\ j \rangle \wedge \langle j \rangle < pred\text{-min}\ get_{foundE}\ get_{foundO} \longrightarrow \neg localize1\ predP\ \$\$ get_{array'})$

$\!\{ \langle j \rangle \}\}$

$\langle proof \rangle$

**lemma** *ag-findP-loop-odds:*

**shows**  $prog.p2s\ (findP\text{-loop-odds}\ i)$

$\leq \{\{ \langle odd\ i \rangle \wedge (\lambda s. predPre\ (snd\ s)) \wedge get_{foundO} = SIZE\ get_{array'} \wedge get_{foundE} \leq SIZE\ get_{array'} \}\}, AO \vdash GO,$

$\{\{ \lambda -. (get_{foundO} < SIZE\ get_{array'} \longrightarrow localize1\ predP\ \$\$ get_{array'}\ !\ get_{foundO})$

$\wedge (\forall j. \langle i \leq j \wedge odd\ j \rangle \wedge \langle j \rangle < pred\text{-min}\ get_{foundE}\ get_{foundO} \longrightarrow \neg localize1\ predP\ \$\$ get_{array'})$

$\!\{ \langle j \rangle \}\}$

$\langle proof \rangle$

**theorem** *ag-findP:*

**shows**  $prog.p2s\ findP$

$\leq \{\{ predPre \}\}, A' \cap Id_{get_{array}}$

$\vdash Id, \{\{ \lambda v\ s. v = (LEAST\ i. i < SIZE\ get_{array}\ s \longrightarrow predP\ (get_{array}\ s\ !\ i)\ s) \}\}$

$\langle proof \rangle$

**end**

We conclude by showing how we can instantiate the above with a *coprime* predicate.

$\langle ML \rangle$

**type-synonym**  $'s\ state = (nat \times nat) \times 's$

**abbreviation**  $x :: nat \implies 's\ gcd.state$  **where**  $x \equiv fst_L ;_L fst_L$

**abbreviation**  $y :: nat \implies 's\ gcd.state$  **where**  $y \equiv snd_L ;_L fst_L$

**definition**  $seq :: nat \Rightarrow nat \Rightarrow ('s, nat)\ prog$  **where**

$seq\ a\ b =$

$prog.local\ ($

$do\ \{ prog.write\ (\lambda s. put_{gcd.x}\ s\ a)$

$;\ prog.write\ (\lambda s. put_{gcd.y}\ s\ b)$

$;\ prog.while\ (\lambda -.$

$do\ \{ xv \leftarrow prog.read\ get_{gcd.x}$

```

; yv ← prog.read get_gcd.y
; if xv = yv
  then prog.return (Inr ())
  else (do { (if xv < yv
              then prog.write (λs. put_gcd.y s (yv - xv))
              else prog.write (λs. put_gcd.x s (xv - yv)))
          ; prog.return (Inl ()) })
    }) ()
; prog.read get_gcd.x
})

```

⟨ML⟩

**lemma seq:**

**shows**  $prog.p2s (gcd.seq a b) \leq \{\langle True \rangle\}, UNIV \vdash Id, \{\lambda v. \langle v = gcd a b \rangle\}$   
 ⟨proof⟩

⟨ML⟩

**definition findP-coprime** ::  $(nat \times nat \text{ list}, nat) \text{ prog where}$

$findP\text{-coprime} = findP (\lambda a. prog.read get_{fst_L} \ggg gcd.seq a \ggg (\lambda c. prog.return (c = 1))) snd_L$

**lemma ag-findP-coprime':**

**shows**  $prog.p2s findP\text{-coprime} \leq \{\langle True \rangle\}, Id \vdash Id, \{\lambda rv s. rv = (LEAST i. i < length (get_{snd_L} s) \longrightarrow coprime (get_{fst_L} s) (get_{snd_L} s ! i))\}$   
 ⟨proof⟩

**lemma ag-findP-coprime:** — Shuffle the parameter to the precondition, exploiting purity.

**shows**  $prog.p2s findP\text{-coprime} \leq \{\langle a \rangle = get_{fst_L}\}, Id \vdash Id, \{\lambda rv s. rv = (LEAST i. i < length (get_{snd_L} s) \longrightarrow coprime a (get_{snd_L} s ! i))\}$   
 ⟨proof⟩

## 23 Example: data refinement (search)

We show a very simple example of data refinement: implementing sets with functional queues for breadth-first search (BFS). The objective here is to transfer a simple correctness property proven on the abstract level to the concrete level.

Observations:

- there is no concurrency in the BFS: this is just about data refinement
  - however arbitrary interference is allowed
- the abstract level does not require the implementation of the pending set to make progress
- the concrete level does not require a representation invariant
- depth optimality is not shown

References:

- queue ADT: \$ISABELLE\_HOME/src/Doc/Codegen/Introduction.thy
- BFS verification:
  - J. C. Filliâtre [http://toccata.lri.fr/gallery/vstte12\\_bfs.en.html](http://toccata.lri.fr/gallery/vstte12_bfs.en.html)
  - \$AFP/Refine\_Monadic/examples/Breadth\_First\_Search.thy

– our model is quite different

$\langle ML \rangle$

```
record ('a, 's) interface =
  init :: ('s, unit) prog
  enq  :: 'a ⇒ ('s, unit) prog
  deq  :: ('s, 'a option) prog
```

**type-synonym** 'a abstract = 'a set

```
definition abstract :: ('a, 'a pending.abstract × 's) pending.interface where
  abstract =
    (| pending.interface.init = prog.write (map-prod ⟨{⟩⟩ id)
      , pending.interface.enq  = λx. prog.write (map-prod (insert x) id)
      , pending.interface.deq  = prog.action (⟨{None, s, s} | s. fst s = {⟩⟩
                                             ∪ ⟨{(Some x, (insert x X, s), (X, s)) | X s x. True}⟩)
    )
```

**type-synonym** 'a concrete = 'a list × 'a list — a queue

```
fun cdeq-update :: 'a pending.concrete × 's ⇒ 'a option × 'a pending.concrete × 's where
  cdeq-update (([], []), s) = (None, (([], []), s))
| cdeq-update ((xs, []), s) = cdeq-update (([], rev xs), s)
| cdeq-update ((xs, y # ys), s) = (Some y, ((xs, ys), s))
```

```
definition concrete :: ('a, 'a pending.concrete × 's) pending.interface where
  concrete =
    (| pending.interface.init = prog.write (map-prod ⟨([], [])⟩ id)
      , pending.interface.enq  = λx. prog.write (map-prod (map-prod ((#) x) id) id)
      , pending.interface.deq  = prog.det-action pending.cdeq-update
    )
```

**abbreviation** absfn' :: 'a pending.concrete ⇒ 'a list **where** — queue as a list  
 absfn' s ≡ snd s @ rev (fst s)

```
definition absfn :: 'a pending.concrete ⇒ 'a pending.abstract where
  absfn s = set (pending.absfn' s)
```

$\langle ML \rangle$

**lemma** *init*:

```
fixes Q :: unit ⇒ 'a pending.abstract × 's ⇒ bool
fixes A :: 's rel
assumes stable (Id ×R A) (Q ())
shows prog.p2s (pending.init pending.abstract) ≤ {λs. Q () (⟨{⟩, snd s)⟩}, Id ×R A ⊢ UNIV ×R Id, {Q}
<proof>
```

**lemma** *enq*:

```
fixes x :: 'a
fixes Q :: unit ⇒ 'a pending.abstract × 's ⇒ bool
fixes A :: 's rel
assumes stable (Id ×R A) (Q ())
shows prog.p2s (pending.enq pending.abstract x) ≤ {λs. Q () (insert x (fst s), snd s)⟩}, Id ×R A ⊢ UNIV ×R
Id, {Q}
<proof>
```

**lemma** *deq*:

**fixes**  $Q :: 'a \text{ option} \Rightarrow 'a \text{ pending.abstract} \times 's \Rightarrow \text{bool}$   
**fixes**  $A :: 's \text{ rel}$   
**assumes**  $\bigwedge v. \text{stable} (Id \times_R A) (Q v)$   
**shows**  $\text{prog.p2s} (\text{pending.deq pending.abstract}) \leq \{\!\{ \lambda s. \text{if } \text{fst } s = \{\} \text{ then } Q \text{ None } s \text{ else } (\forall x X. \text{fst } s = \text{insert } x X \longrightarrow Q (\text{Some } x) (X, \text{snd } s)) \}\!\}, Id \times_R A \vdash UNIV \times_R Id, \{\!\{ Q \}\!\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**record**  $('a, 's) \text{ interface} =$   
 $\text{init} :: ('s, \text{unit}) \text{ prog}$   
 $\text{ins} :: 'a \Rightarrow ('s, \text{unit}) \text{ prog}$   
 $\text{mem} :: 'a \Rightarrow ('s, \text{bool}) \text{ prog}$

**type-synonym**  $'a \text{ abstract} = 'a \text{ list}$  — model finite sets

**definition**  $\text{abstract} :: ('a, 's \times 'a \text{ set.abstract} \times 't) \text{ set.interface}$  **where**  
 $\text{abstract} =$

$\{\!\{ \text{set.interface.init} = \text{prog.write} (\text{map-prod } id (\text{map-prod } \langle [] \rangle id))$   
 $, \text{set.interface.ins} = \lambda x. \text{prog.write} (\text{map-prod } id (\text{map-prod } (\langle \# \rangle x) id))$   
 $, \text{set.interface.mem} = \lambda x. \text{prog.read} (\lambda s. x \in \text{set} (\text{fst} (\text{snd } s)))$   
 $\}\!\}$

$\langle ML \rangle$

**lemma**  $\text{init}$ :

**fixes**  $Q :: \text{unit} \Rightarrow 's \times 'a \text{ set.abstract} \times 't \Rightarrow \text{bool}$   
**fixes**  $A :: 's \text{ rel}$   
**assumes**  $\text{stable} (A \times_R Id \times_R B) (Q ())$   
**shows**  $\text{prog.p2s} (\text{set.init set.abstract}) \leq \{\!\{ \lambda s. Q () (\text{fst } s, [], \text{snd} (\text{snd } s)) \}\!\}, A \times_R Id \times_R B \vdash Id \times_R UNIV \times_R Id, \{\!\{ Q \}\!\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ins}$ :

**fixes**  $x :: 'a$   
**fixes**  $Q :: \text{unit} \Rightarrow 's \times 'a \text{ set.abstract} \times 't \Rightarrow \text{bool}$   
**fixes**  $A :: 's \text{ rel}$   
**assumes**  $\text{stable} (A \times_R Id \times_R B) (Q ())$   
**shows**  $\text{prog.p2s} (\text{set.ins set.abstract } x) \leq \{\!\{ \lambda s. Q () (\text{fst } s, x \# \text{fst} (\text{snd } s), \text{snd} (\text{snd } s)) \}\!\}, A \times_R Id \times_R B \vdash Id \times_R UNIV \times_R Id, \{\!\{ Q \}\!\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{mem}$ :

**fixes**  $Q :: \text{bool} \Rightarrow 's \times 'a \text{ set.abstract} \times 't \Rightarrow \text{bool}$   
**assumes**  $\bigwedge v. \text{stable} (A \times_R Id \times_R B) (Q v)$   
**shows**  $\text{prog.p2s} (\text{set.mem set.abstract } x) \leq \{\!\{ \lambda s. Q (x \in \text{set} (\text{fst} (\text{snd } s))) s \}\!\}, A \times_R Id \times_R B \vdash Id \times_R UNIV \times_R Id, \{\!\{ Q \}\!\}$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**context**

**fixes**  $\text{pending} :: ('a, 'p \times 'a \text{ set.abstract} \times 's) \text{ pending.interface}$   
**fixes**  $f :: 'a \Rightarrow 'a \text{ list}$   
**begin**

**definition**  $\text{loop} :: 'a \text{ pred} \Rightarrow ('p \times 'a \text{ set.abstract} \times 's, 'a \text{ option}) \text{ prog}$  **where**  
 $\text{loop } p =$

```

prog.while (λ-.
  do { aopt ← pending.deq pending
    ; case aopt of
      None ⇒ prog.return (Inr None)
    | Some x ⇒
      if p x
      then prog.return (Inr (Some x))
      else do { prog.app (λy. do { b ← set.mem set.abstract y;
                                prog.unlessM b (do { set.ins set.abstract y
                                                    ; pending.enq pending y }) })
                (f x)
              ; prog.return (Inl ())
            }
    }
  }) ()

```

**definition**  $main :: 'a \text{ pred} \Rightarrow 'a \Rightarrow ('p \times 'a \text{ set.abstract} \times 's, 'a \text{ option}) \text{ prog}$  **where**

```

main p x =
  do {
    set.init set.abstract
    ; pending.init pending
    ; set.ins set.abstract x
    ; pending.enq pending x
    ; loop p
  }

```

**definition**  $search :: 'a \text{ pred} \Rightarrow 'a \Rightarrow ('s, 'a \text{ option}) \text{ prog}$  **where**

```

search p x = prog.local (prog.local (main p x))

```

**end**

**abbreviation** (input)  $aloop \equiv loop \text{ pending.abstract}$

**abbreviation** (input)  $amain \equiv main \text{ pending.abstract}$

**abbreviation** (input)  $asearch \equiv search \text{ pending.abstract}$

**abbreviation** (input)  $bfs \equiv search \text{ pending.concrete}$

**lemma**

**shows**  $pending-g: UNIV \times_R Id \subseteq UNIV \times_R UNIV \times_R Id$

**and**  $set-g: Id \times_R UNIV \times_R Id \subseteq UNIV \times_R UNIV \times_R Id$

$\langle proof \rangle$

**context**

**fixes**  $f :: 'a \Rightarrow 'a \text{ list}$

**fixes**  $P :: 'a \text{ pred}$

**fixes**  $x_0 :: 'a$

**begin**

**abbreviation** (input)  $step :: 'a \text{ rel}$  **where**

```

step ≡ {(x, y). y ∈ set (f x)}

```

**abbreviation** (input)  $path :: 'a \text{ rel}$  **where**

```

path ≡ step*

```

**definition**  $aloop-invP :: 'a \text{ pending.abstract} \Rightarrow 'a \text{ set.abstract} \Rightarrow \text{bool}$  **where**

```

aloop-invP q v ←→
  q ⊆ set v
  ∧ set v ⊆ path “ {x_0}
  ∧ set v ∩ Collect P ⊆ q
  ∧ x_0 ∈ set v

```

**definition**  $vclosureP :: 'a \Rightarrow 'a \text{ pending.abstract} \Rightarrow 'a \text{ set.abstract} \Rightarrow \text{bool}$  **where**  
 $vclosureP\ x\ q\ v \longleftrightarrow (x \in \text{set } v - q \longrightarrow \text{step} \text{ `` } \{x\} \subseteq \text{set } v)$

**definition**  $\text{search-post}P :: 'a \text{ option} \Rightarrow \text{bool}$  **where**  
 $\text{search-post}P\ rv = (\text{case } rv \text{ of}$   
 $\quad \text{None} \Rightarrow \text{finite } (\text{path} \text{ `` } \{x_0\}) \wedge (\text{path} \text{ `` } \{x_0\} \cap \text{Collect } P = \{\})$   
 $\quad | \text{Some } y \Rightarrow (x_0, y) \in \text{path} \wedge P\ y)$

**abbreviation**  $\text{alooop-inv } s \equiv \text{alooop-inv}P\ (\text{fst } s)\ (\text{fst } (\text{snd } s))$

**abbreviation**  $vclosure\ x\ s \equiv vclosureP\ x\ (\text{fst } s)\ (\text{fst } (\text{snd } s))$

**abbreviation**  $\text{search-post } rv \equiv \langle \text{search-post}P\ rv \rangle$

**lemma**  $vclosureP\text{-closed}$ :

**assumes**  $\text{set } v \subseteq \text{path} \text{ `` } \{x_0\}$

**assumes**  $\forall y. vclosureP\ y\ \{\} \ v$

**assumes**  $x_0 \in \text{set } v$

**shows**  $\text{path} \text{ `` } \{x_0\} = \text{set } v$

$\langle \text{proof} \rangle$

**lemma**  $vclosureP\text{-app}$ :

**assumes**  $\forall y. x \neq y \longrightarrow \text{local.vclosure}P\ y\ q\ v$

**assumes**  $\text{set } (f\ x) \subseteq \text{set } v$

**shows**  $vclosureP\ y\ q\ v$

$\langle \text{proof} \rangle$

**lemma**  $vclosureP\text{-init}$ :

**shows**  $vclosureP\ x\ \{x_0\}\ [x_0]$

$\langle \text{proof} \rangle$

**lemma**  $vclosureP\text{-step}$ :

**assumes**  $\forall z. x \neq z \longrightarrow vclosureP\ z\ q\ v$

**assumes**  $x \neq z$

**shows**  $vclosureP\ z\ (\text{insert } y\ q)\ (y \# v)$

$\langle \text{proof} \rangle$

**lemma**  $vclosureP\text{-dequeue}$ :

**assumes**  $\forall z. vclosureP\ z\ (\text{insert } x\ q)\ v$

**assumes**  $x \neq z$

**shows**  $vclosureP\ z\ q\ v$

$\langle \text{proof} \rangle$

**lemma**  $\text{alooop-inv}PD$ :

**assumes**  $\text{alooop-inv}P\ q\ v$

**assumes**  $x \in q$

**shows**  $(x_0, x) \in \text{path}$

$\langle \text{proof} \rangle$

**lemma**  $\text{alooop-inv}P\text{-init}$ :

**shows**  $\text{alooop-inv}P\ \{x_0\}\ [x_0]$

$\langle \text{proof} \rangle$

**lemma**  $\text{alooop-inv}P\text{-step}$ :

**assumes**  $\text{alooop-inv}P\ q\ v$

**assumes**  $(x_0, x) \in \text{path}$

**assumes**  $y \in \text{set } (f\ x) - \text{set } v$

**shows**  $\text{alooop-inv}P\ (\text{insert } y\ q)\ (y \# v)$

$\langle \text{proof} \rangle$

**lemma** *aloop-invP-dequeue*:  
**assumes** *aloop-invP* (*insert x q*) *v*  
**assumes**  $\neg P x$   
**shows** *aloop-invP* *q v*  
 $\langle proof \rangle$

**lemma** *search-postcond-None*:  
**assumes** *aloop-invP*  $\{\}$  *v*  
**assumes**  $\forall y. vclosureP y \{\}$  *v*  
**shows** *search-postP* *None*  
 $\langle proof \rangle$

**lemma** *search-postcond-Some*:  
**assumes** *aloop-invP* *q v*  
**assumes**  $x \in q$   
**assumes**  $P x$   
**shows** *search-postP* (*Some x*)  
 $\langle proof \rangle$

**lemmas** *stable-simps* =  
*prod.sel*  
*split-def*  
*sum.simps*

**lemma** *ag-aloop*:  
**shows** *prog.p2s* (*aloop f P*)  $\leq \{\{ aloop\text{-}inv \wedge (\forall x. vclosure x) \}, Id \times_R Id \times_R UNIV \vdash UNIV \times_R UNIV \times_R Id, \{\{ search\text{-}post \}\}$   
 $\langle proof \rangle$

**lemma** *ag-amain*:  
**shows** *prog.p2s* (*amain f P x<sub>0</sub>*)  $\leq \{\{ True \}, Id \times_R Id \times_R UNIV \vdash UNIV \times_R UNIV \times_R Id, \{\{ search\text{-}post \}\}$   
 $\langle proof \rangle$

**lemma** *ag-asearch*:  
**shows** *prog.p2s* (*asearch f P x<sub>0</sub> :: ('s, 'a option) prog*)  $\leq \{\{ True \}, UNIV \vdash Id, \{\{ search\text{-}post \}\}$   
 $\langle proof \rangle$

**Refinement abbreviation**  $A \equiv ag.assm (Id \times_R Id \times_R UNIV)$   
**abbreviation** *absfn c*  $\equiv prog.sinvmap (map\text{-}prod pending.absfn id) c$   
**abbreviation** *p2s-absfn c*  $\equiv prog.p2s (absfn c)$

— visited set: reflexive

**lemma** *ref-set-init*:  
**shows** *prog.p2s* (*set.init set.abstract*)  $\leq \{\{ \lambda s. True \}, A \Vdash p2s\text{-}absfn (set.init set.abstract), \{\{ \lambda v s. True \}\}$   
 $\langle proof \rangle$

**lemma** *ref-set-ins*:  
**shows** *prog.p2s* (*set.ins set.abstract x*)  $\leq \{\{ \lambda s. True \}, A \Vdash p2s\text{-}absfn (set.ins set.abstract x), \{\{ \lambda v s. True \}\}$   
 $\langle proof \rangle$

**lemma** *ref-set-mem*:  
**shows** *prog.p2s* (*set.mem set.abstract x*)  $\leq \{\{ \lambda s. True \}, A \Vdash p2s\text{-}absfn (set.mem set.abstract x), \{\{ \lambda v s. True \}\}$   
 $\langle proof \rangle$

**lemma** *ref-queue-init*:  
**shows** *prog.p2s* (*pending.init pending.concrete*)  $\leq \{\{ \lambda s. True \}, A \Vdash p2s\text{-}absfn (pending.init pending.abstract), \{\{ \lambda v s. True \}\}$   
 $\langle proof \rangle$

**lemma** *ref-queue-enq*:

**shows**  $\text{prog.p2s } (\text{pending.enq pending.concrete } x) \leq \{\!\{ \lambda s. \text{True} \}\!\}$ ,  $A \Vdash \text{p2s-absfn } (\text{pending.enq pending.abstract } x)$ ,  $\{\!\{ \lambda v s. \text{True} \}\!\}$   
*<proof>*

**lemma** *ref-queue-deq*:

**shows**  $\text{prog.p2s } (\text{pending.deq pending.concrete}) \leq \{\!\{ \lambda s. \text{True} \}\!\}$ ,  $A \Vdash \text{p2s-absfn } (\text{pending.deq pending.abstract})$ ,  $\{\!\{ \lambda v s. \text{True} \}\!\}$   
*<proof>*

**lemma** *ref-bfs-loop*:

**shows**  $\text{prog.p2s } (\text{loop pending.concrete } f P) \leq \{\!\{ \lambda s. \text{True} \}\!\}$ ,  $A \Vdash \text{p2s-absfn } (\text{loop pending.abstract } f P)$ ,  $\{\!\{ \lambda v s. \text{True} \}\!\}$   
*<proof>*

**lemma** *ref-bfs-main*:

**shows**  $\text{prog.p2s } (\text{main pending.concrete } f P x) \leq \{\!\{ \langle \text{True} \rangle \}\!\}$ ,  $A \Vdash \text{p2s-absfn } (\text{amain } f P x)$ ,  $\{\!\{ \lambda v s. \text{True} \}\!\}$   
*<proof>*

**theorem** *ref-bfs*:

**shows**  $\text{bfs } f P x \leq \text{asearch } f P x$   
*<proof>*

**theorem** *bfs-post-le*:

**shows**  $\text{prog.p2s } (\text{bfs } f P x_0) \leq \text{spec.post } (\text{search-post})$   
*<proof>*

**end**

## 24 Observations about safety closure

We demonstrate that *Sup* does not distribute in  $(\prime a, \prime s, \prime v)$  *tls* as it does in  $(\prime a, \prime s, \prime v)$  *spec*: specifically a *Sup* of a set of safety properties in the former need not be a safety property, whereas in the latter it is (see §8.2).

**corec**  $\text{bnats} :: \text{nat} \Rightarrow (\prime a \times \text{nat}, \prime v) \text{tlist}$  **where**  
 $\text{bnats } i = \text{TCons } (\text{undefined}, i) (\text{bnats } (\text{Suc } i))$

**definition**  $\text{bnat} :: (\prime a, \text{nat}, \prime v) \text{behavior.t}$  **where**  
 $\text{bnat} = \text{behavior.B } 0 (\text{bnats } 1)$

**definition**  $\text{tnats} :: \text{nat} \Rightarrow \text{nat} \Rightarrow (\prime a \times \text{nat}) \text{list}$  **where**  
 $\text{tnats } i j = \text{map } (\text{Pair } \text{undefined}) (\text{upt } i j)$

**definition**  $\text{tnat} :: \text{nat} \Rightarrow (\prime a, \text{nat}, \prime v) \text{trace.t}$  **where**  
 $\text{tnat } i = \text{trace.T } 0 (\text{tnats } (\text{Suc } 0) (\text{Suc } i)) \text{None}$

**lemma** *tnat-simps[simp]*:

**shows**  $\text{tnats } i i = []$   
**and**  $\text{trace.init } (\text{tnat } i) = 0$   
**and**  $\text{trace.rest } (\text{tnat } i) = \text{tnats } 1 (\text{Suc } i)$   
**and**  $\text{length } (\text{tnats } i j) = j - i$   
*<proof>*

**lemma** *take-tnats*:

**shows**  $\text{take } i (\text{tnats } j k) = \text{tnats } j (\text{min } (i + j) k)$   
*<proof>*

**lemma** *take-tnat*:

**shows**  $trace.take\ i\ (tnat\ j) = tnat\ (min\ i\ j)$   
 $\langle proof \rangle$

**lemma** *mono-tnat*:  
**shows** *mono tnat*  
 $\langle proof \rangle$

**lemma** *final'-tnats*:  
**shows**  $trace.final'\ i\ (tnats\ j\ k) = (if\ j < k\ then\ k - 1\ else\ i)$   
 $\langle proof \rangle$

**lemma** *sset-tnat*:  
**shows**  $trace.sset\ (tnat\ i) = \{j. j \leq i\}$   
 $\langle proof \rangle$

**lemma** *natural'-tnats*:  
**shows**  $trace.natural'\ i\ (tnats\ (Suc\ i)\ (Suc\ j)) = tnats\ (Suc\ i)\ (Suc\ j)$   
 $\langle proof \rangle$

**lemma** *natural-tnat*:  
**shows**  $\Downarrow(tnat\ i) = tnat\ i$   
 $\langle proof \rangle$

**lemma** *ttake-bnats*:  
**shows**  $ttake\ i\ (bnats\ j) = (tnats\ j\ (i + j), None)$   
 $\langle proof \rangle$

**lemma** *take-bnat-tnat*:  
**shows**  $behavior.take\ i\ bnat = tnat\ i$   
 $\langle proof \rangle$

**unbundle** *tls.extra-syntax*

**definition** *bnat-approx* ::  $(unit, nat, unit)\ spec\ set$  **where**  
 $bnat-approx = \{\Downarrow(behavior.take\ i\ bnat) \mid i. True\}$

**lemma** *bnat-approx-alt-def*:  
**shows**  $bnat-approx = \{\Downarrow(tnat\ i) \mid i. True\}$   
 $\langle proof \rangle$

**lemma** *not-tls-from-spec-Sup-distrib*: — *tls.from-spec* is not *Sup*-distributive  
**shows**  $\neg tls.from-spec\ (\bigsqcup\ bnat-approx) \leq \bigsqcup (tls.from-spec\ ' bnat-approx)$  (**is**  $\neg ?lhs \leq ?rhs$ )  
 $\langle proof \rangle$

**definition** *bnat'* ::  $(unit, nat, unit)\ tls\ set$  **where**  
 $bnat' = tls.from-spec\ ' bnat-approx$

**lemma** *not-tls-safety-cl-Sup-distrib*: — *tls.safety.cl* is not *Sup*-distributive  
**shows**  $\neg tls.safety.cl\ (\bigsqcup\ bnat') \leq \bigsqcup (tls.safety.cl\ ' bnat')$   
 $\langle proof \rangle$

**definition** *cl-bnat'* ::  $(unit, nat, unit)\ tls\ set$  **where**  
 $cl-bnat' = tls.safety.cl\ ' bnat'$

**lemma** *not-tls-safety-closed-Sup*:  
**shows**  $cl-bnat' \subseteq tls.safety.closed$   
**and**  $\bigsqcup\ cl-bnat' \notin tls.safety.closed$   
 $\langle proof \rangle$

**Negation does not preserve *tls.safety.closed* notepad**  
**begin**

$\langle proof \rangle$

**end**

## 24.1 Liveness

Famously arbitrary properties on infinite sequences can be decomposed into *safety* and *liveness* properties. The latter have been identified with the topologically dense sets.

References:

- [Alpern and Schneider \(1985\)](#); [Schneider \(1987\)](#): topological account
- [Kindler \(1994\)](#): overview
- [Lynch \(1996, §8.5.3\)](#)
- [Manolios and Trefler \(2003\)](#): lattice-theoretic account
- [Maier \(2004\)](#): an intuitionistic semantics for LTL (including next/X/⊙) over finite and infinite sequences

$\langle ML \rangle$

**lemma *dense-alt-def***: — [Lynch \(1996, §8.5.3 Liveness Property\)](#)

**shows**  $(raw.safety.dense :: ('a, 's, 'v) behavior.t set set)$   
 $= \{P. \forall \sigma. \exists xsv. \sigma @_{-B} xsv \in P\}$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

$\langle ML \rangle$

**definition *live*** ::  $('a, 's, 'v) tls set$  **where**

$live = tls.safety.dense$

$\langle ML \rangle$

**lemma *not-bot***:

**shows**  $\perp \notin tls.live$

$\langle proof \rangle$

**lemma *top***:

**shows**  $\top \in tls.live$

$\langle proof \rangle$

**lemma *live-le***:

**assumes**  $P \in tls.live$

**assumes**  $P \leq Q$

**shows**  $Q \in tls.live$

$\langle proof \rangle$

**lemma *inf-safety-eq-top***: — [Lynch \(1996, Theorem 8.8\)](#)

**shows**  $tls.live \sqcap tls.safety.closed = \{\top\}$

$\langle proof \rangle$

**lemma *terminating***:

**shows**  $tls.eventually\ tls.terminated \in tls.live$

$\langle proof \rangle$

However this definition of liveness does not endorse traditional *response* properties.

**corec** *alternating* ::  $bool \Rightarrow ('a \times bool, 'b) \text{ tllist}$  **where**  
*alternating*  $b = TCons (undefined, b) (alternating (\neg b))$

**abbreviation** (*input*)  $A\ b \equiv behavior.B\ b (tls.live.alternating (\neg b))$

**lemma** *dropn-alternating*:

**shows**  $behavior.dropn\ i\ (tls.live.A\ b) = Some\ (tls.live.A\ (if\ even\ i\ then\ b\ else\ \neg b))$   
<proof>

**notepad**

**begin**

<proof>

**end**

<ML>

**The famous decomposition definition** *Safe* ::  $('a, 's, 'v)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**  
*Safe*  $P = tls.safety.cl\ P$

**definition** *Live* ::  $('a, 's, 'v)\ tls \Rightarrow ('a, 's, 'v)\ tls$  **where**  
*Live*  $P = P \sqcup \neg tls.safety.cl\ P$

**lemma** *decomp*:

**shows**  $P = tls.Safe\ P \sqcap tls.Live\ P$   
<proof>

<ML>

**lemma** *Safe*:

**shows**  $tls.Safe\ P \in tls.safety.closed$   
<proof>

<ML>

**lemma** *Live*:

**shows**  $tls.Live\ P \in tls.live$   
<proof>

<ML>

## 24.2 A Haskell-like *Ix* class

We allow arbitrary indexing schemes for user-facing arrays via the *Ix* class, which essentially represents a bijection between a subset of an arbitrary type and an initial segment of the naturals.

Source materials:

- Haskell 2010 report: <https://www.haskell.org/onlinereport/haskell2010/haskellch19.html>
- GHC implementation: <https://hackage.haskell.org/package/base-4.16.0.0/docs/src/GHC.Ix.html>
- Haskell pure arrays (just for colour): <https://www.haskell.org/onlinereport/haskell2010/haskellch14.html>
- SML 2D arrays: <https://smlfamily.github.io/Basis/array2.html>

Observations:

- follow Haskell convention here: include the bounds

- could alternatively use an array of one-dimensional arrays but those are not necessarily rectangular
- we can't use *enum* as that requires the whole type to be enumerable

Limitations:

- the basic design assumes laziness; we don't ever want to build the list of indices
  - can be improved either by tweaking the code generator setup or changing the constants here
- array indices typically have partial predecessor and successor operations and are totally ordered on their domain
- no guarantee the *interval* is correct (does not prevent off-by-one errors in instances)

**class** *Ix* =

**fixes** *interval* :: 'a × 'a ⇒ 'a list

**fixes** *index* :: 'a × 'a ⇒ 'a ⇒ nat

**assumes** *index*:  $i \in \text{set } (\text{interval } b) \implies \text{interval } b ! \text{index } b \ i = i$

**assumes** *interval*:  $\text{map } (\text{index } b) (\text{interval } b) = [0..<\text{length } (\text{interval } b)]$

**lemma** *index-length*:

**assumes**  $i \in \text{set } (\text{interval } b)$

**shows**  $\text{index } b \ i < \text{length } (\text{interval } b)$

⟨*proof*⟩

**lemma** *distinct-interval*:

**shows** *distinct* (*interval* *b*)

⟨*proof*⟩

**lemma** *inj-on-index*:

**shows** *inj-on* (*index* *b*) (*set* (*interval* *b*))

⟨*proof*⟩

**lemma** *index-eq-conv*:

**assumes**  $i \in \text{set } (\text{interval } b)$

**assumes**  $j \in \text{set } (\text{interval } b)$

**shows**  $\text{index } b \ i = \text{index } b \ j \longleftrightarrow i = j$

⟨*proof*⟩

**lemma** *index-inv-into*:

**assumes**  $i < \text{length } (\text{interval } b)$

**shows** *inv-into* (*set* (*interval* *b*)) (*index* *b*)  $i \in \text{set } (\text{interval } b)$

⟨*proof*⟩

**lemma** *linear-order-on*:

**shows** *linear-order-on* (*set* (*interval* *b*))  $\{(i, j). \{i, j\} \subseteq \text{set } (\text{interval } b) \wedge \text{index } b \ i \leq \text{index } b \ j\}$

⟨*proof*⟩

**lemma** *interval-map*:

**shows**  $\text{map } (\lambda i. f (\text{interval } b ! i)) [0..<\text{length } (\text{interval } b)] = \text{map } f (\text{interval } b)$

⟨*proof*⟩

**lemma** *index-forE*:

**assumes**  $i < \text{length } (\text{interval } b)$

**obtains** *j* **where**  $j \in \text{set } (\text{interval } b)$  **and**  $\text{index } b \ j = i$

⟨*proof*⟩

**instantiation** *unit* :: *Ix*

**begin**

**definition** *interval-unit* =  $(\lambda(x::unit, y::unit). [()])$

**definition** *index-unit* =  $(\lambda(x::unit, y::unit) -::unit. 0::nat)$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *nat* :: *Ix*

**begin**

**definition** *interval-nat* =  $(\lambda(l, u::nat). [l..<Suc\ u])$  — bounds are inclusive

**definition** *index-nat* =  $(\lambda(l, u::nat) i::nat. i - l)$

**lemma** *upt-minus*:

**shows**  $map\ (\lambda i. i - l)\ [l..<u] = [0..<u - l]$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *int* :: *Ix*

**begin**

**definition** *interval-int* =  $(\lambda(l, u::int). [l..u])$  — bounds are inclusive

**definition** *index-int* =  $(\lambda(l, u::int) i::int. nat\ (i - l))$

**lemma** *upto-minus*:

**shows**  $map\ (\lambda i. nat\ (i - l))\ [l..u] = [0..<nat\ (u - l + 1)]$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**type-synonym**  $(i, j)\ two\ dim = (i \times j) \times (i \times j)$

**instantiation** *prod* ::  $(Ix, Ix)\ Ix$

**begin**

**definition** *interval-prod* =  $(\lambda((l, l'), (u, u')). List.product\ (interval\ (l, u))\ (interval\ (l', u')))$

**definition** *index-prod* =  $(\lambda((l, l'), (u, u'))\ (i, i'). index\ (l, u)\ i * length\ (interval\ (l', u')) + index\ (l', u')\ i')$

**abbreviation**  $(input)\ fst\ bounds :: ('a \times 'b) \times ('a \times 'b) \Rightarrow ('a \times 'a)$  **where**

$fst\ bounds\ b \equiv (fst\ (fst\ b), fst\ (snd\ b))$

**abbreviation**  $(input)\ snd\ bounds :: ('a \times 'b) \times ('a \times 'b) \Rightarrow ('b \times 'b)$  **where**

$snd\ bounds\ b \equiv (snd\ (fst\ b), snd\ (snd\ b))$

**lemma** *inj-on-index-prod*:

**shows**  $inj\ on\ (index\ ((l, l'), (u, u')))\ (set\ (interval\ ((l, l'), (u, u'))))$   
 $\langle proof \rangle$

**instance**

$\langle proof \rangle$

**end**

$\langle ML \rangle$

**lemma** *interval-conv*:

**shows**  $(x, y) \in \text{set } (\text{interval } b) \longleftrightarrow x \in \text{set } (\text{interval } (\text{fst-bounds } b)) \wedge y \in \text{set } (\text{interval } (\text{snd-bounds } b))$   
*<proof>*

$\langle ML \rangle$

**type-synonym** *'i square* = (*'i, 'i*) *two-dim*

**definition** *square* :: *'i::Ix* *Ix.square*  $\Rightarrow$  *bool* **where**

*square* =  $(\lambda((l, l'), (u, u')). \text{Ix.interval } (l, u) = \text{Ix.interval } (l', u'))$

$\langle ML \rangle$

**lemma** *conv*:

**assumes** *Ix.square* *b*  
**shows**  $i \in \text{set } (\text{Ix.interval } (\text{fst-bounds } b)) \longleftrightarrow i \in \text{set } (\text{Ix.interval } (\text{snd-bounds } b))$   
*<proof>*

$\langle ML \rangle$

**hide-const** (**open**) *interval index*

## 25 A polymorphic heap

We model a heap as a partial map from opaque addresses to structured objects.

- we use this extra structure to handle buffered writes (see §27)
- allocation is non-deterministic and partial
- supports explicit deallocation

Limitations:

- does not support polymorphic sum types such as *'a + 'b* and *'a option* or products or lists
- the class of representable types is too small to represent processes

Source materials:

- `$ISABELLE_HOME/src/HOL/Imperative_HOL/Heap.thy`
  - that model of heaps includes a *lim* field to support deterministic allocation
  - it uses distinct heaps for arrays and references

$\langle ML \rangle$

**type-synonym** *addr* = *nat* — untyped heap addresses

**datatype** *rep* — the concrete representation of heap values  
= *Addr nat heap.addr* — metadata paired with an address  
| *Val nat*

**datatype** *write* = *Write heap.addr nat heap.rep*

**type-synonym**  $t = \text{heap.addr} \rightarrow \text{heap.rep list}$  — partial map from addresses to structured encoded values

**abbreviation**  $\text{empty} :: \text{heap.t}$  **where**

$\text{empty} \equiv \text{Map.empty}$

**primrec**  $\text{apply-write} :: \text{heap.write} \Rightarrow \text{heap.t} \Rightarrow \text{heap.t}$  **where**

$\text{apply-write} (\text{heap.Write addr } i \ x) \ s = s(\text{addr} \mapsto (\text{the } (s \ \text{addr}))[i := x])$

**class**  $\text{rep} =$  — the class of representable types

**assumes**  $\text{ex-inj}: \exists \text{to-heap-rep} :: 'a \Rightarrow \text{heap.rep}. \text{inj to-heap-rep}$

$\langle \text{ML} \rangle$

**lemma**  $\text{countable-classI}[\text{intro}]$ :

**shows**  $\text{OFCLASS}('a::\text{countable}, \text{heap.rep-class})$

$\langle \text{proof} \rangle$

**definition**  $\text{to} :: 'a::\text{heap.rep} \Rightarrow \text{heap.rep}$  **where**

$\text{to} = (\text{SOME } f. \text{inj } f)$

**definition**  $\text{from} :: \text{heap.rep} \Rightarrow 'a::\text{heap.rep}$  **where**

$\text{from} = \text{inv } (\text{heap.rep.to} :: 'a \Rightarrow \text{heap.rep})$

**lemmas**  $\text{inj-to}[\text{simp}] = \text{someI-ex}[\text{OF } \text{heap.ex-inj}, \text{folded } \text{heap.rep.to-def}]$

**lemma**  $\text{inj-on-to}[\text{simp}, \text{intro}]: \text{inj-on } \text{heap.rep.to } S$

$\langle \text{proof} \rangle$

**lemma**  $\text{surj-from}[\text{simp}]: \text{surj } \text{heap.rep.from}$

$\langle \text{proof} \rangle$

**lemma**  $\text{to-split}[\text{simp}]: \text{heap.rep.to } x = \text{heap.rep.to } y \iff x = y$

$\langle \text{proof} \rangle$

**lemma**  $\text{from-to}[\text{simp}]$ :

**shows**  $\text{heap.rep.from } (\text{heap.rep.to } x) = x$

$\langle \text{proof} \rangle$

**instance**  $\text{unit} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{bool} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{nat} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{int} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{char} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{String.literal} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

**instance**  $\text{typerep} :: \text{heap.rep}$   $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

User-facing heap types typically carry more information than an (untyped) address, such as (phantom) typing and a representation invariant that guarantees the soundness of the encoding (for the given value at the given

address only). We abstract over that here and provide some generic operations.

Notes:

- intuitively *addr-of* should be surjective but we do not enforce this
- we use sets here but these are not very flexible: all refs must have the same type
  - this means some intuitive facts involving *UNIV* cannot be stated

```
class addr-of =
  fixes addr-of :: 'a ⇒ heap.addr
  fixes rep-val-inv :: 'a ⇒ heap.rep list pred
```

**definition** *obj-at* :: heap.rep list pred ⇒ heap.addr ⇒ heap.t pred **where**  
*obj-at* *P r s* = (case *s r* of None ⇒ False | Some *v* ⇒ *P v*)

**abbreviation** (*input*) *present* :: 'a::heap.addr-of ⇒ heap.t pred **where**  
*present* *r* ≡ heap.obj-at ⟨True⟩ (heap.addr-of *r*)

**abbreviation** (*input*) *rep-inv* :: 'a::heap.addr-of ⇒ heap.t pred **where**  
*rep-inv* *r* ≡ heap.obj-at (heap.rep-val-inv *r*) (heap.addr-of *r*)

**abbreviation** (*input*) *rep-inv-set* :: 'a::heap.addr-of ⇒ heap.t set **where**  
*rep-inv-set* *r* ≡ Collect (heap.rep-inv *r*)

— allows arbitrary transitions provided the *rep-inv* of *r* is respected

**abbreviation** (*input*) *rep-inv-rel* :: 'a::heap.addr-of ⇒ heap.t rel **where**  
*rep-inv-rel* *r* ≡ heap.rep-inv-set *r* × heap.rep-inv-set *r*

— totality models the idea that all dereferences are “valid” but only some are reasonable

**definition** *get* :: 'a::heap.addr-of ⇒ heap.t ⇒ 'v::heap.rep list **where**  
*get* *r s* = map heap.rep.from (the (s (heap.addr-of *r*)))

**definition** *set* :: 'a::heap.addr-of ⇒ 'v::heap.rep list ⇒ heap.t ⇒ heap.t **where**  
*set* *r v s* = s(heap.addr-of *r* ↦ map heap.rep.to *v*)

**definition** *dealloc* :: 'a::heap.addr-of ⇒ heap.t ⇒ heap.t **where**  
*dealloc* *r s* = s |' {heap.addr-of *r*}

— allows no changes to *rs*, asserts the *rep-inv* of *rs* is valid, arbitrary changes to *−rs*

**definition** *Id-on* :: 'a::heap.addr-of set ⇒ heap.t rel (⟨heap.Id.⟩) **where**  
*heap.Id<sub>rs</sub>* = (∩ *r* ∈ *rs*. heap.rep-inv-rel *r* ∩ Id<sub>λs. s (heap.addr-of *r*)</sub>)

— allows arbitrary changes to *rs* provided the *rep-inv* of *rs* is respected. requires addresses in *−heap.addr-of* ‘*rs*’ to be unchanged

**definition** *modifies* :: 'a::heap.addr-of set ⇒ heap.t rel (⟨heap.modifies.⟩) **where**  
*heap.modifies<sub>rs</sub>* = (∩ *r* ∈ *rs*. heap.rep-inv-rel *r*) ∩ {(*s*, *s'*). ∀ *r* ∈ *−heap.addr-of* ‘*rs*’. *s r* = *s' r*}

⟨ML⟩

**lemma** *cong*:

**assumes** *s (heap.addr-of *r*)* = *s' (heap.addr-of *r'*)*  
**shows** *heap.get *r s** = *heap.get *r' s'**

⟨proof⟩

**lemma** *Id-on-proj-cong*:

**assumes** (*s*, *s'*) ∈ *heap.Id<sub>{r}</sub>*  
**shows** *heap.get *r s** = *heap.get *r s'**

$\langle \text{proof} \rangle$

**lemma** *fun-upd*:

**shows**  $\text{heap.get } r \ (\text{fun-upd } s \ a \ (\text{Some } w))$   
 $= \ (\text{if } \text{heap.addr-of } r = a \ \text{then } \text{map } \text{heap.rep.from } w \ \text{else } \text{heap.get } r \ s)$

$\langle \text{proof} \rangle$

**lemma** *set-eq*:

**shows**  $\text{heap.get } r \ (\text{heap.set } r \ v \ s) = v$

$\langle \text{proof} \rangle$

**lemma** *set-neq*:

**assumes**  $\text{heap.addr-of } r \neq \text{heap.addr-of } r'$   
**shows**  $\text{heap.get } r \ (\text{heap.set } r' \ v \ s) = \text{heap.get } r \ s$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *cong*:

**assumes**  $\text{heap.addr-of } r = \text{heap.addr-of } r'$   
**assumes**  $v = v'$   
**assumes**  $\bigwedge r'. r' \neq \text{heap.addr-of } r \implies s \ r' = s' \ r'$   
**shows**  $\text{heap.set } r \ v \ s = \text{heap.set } r' \ v' \ s'$

$\langle \text{proof} \rangle$

**lemma** *empty*:

**shows**  $\text{heap.set } r \ v \ (\text{heap.empty}) = [\text{heap.addr-of } r \mapsto \text{map } \text{heap.rep.to } v]$

$\langle \text{proof} \rangle$

**lemma** *fun-upd*:

**shows**  $\text{heap.set } r \ v \ (\text{fun-upd } s \ a \ w) = (\text{fun-upd } s \ a \ w)(\text{heap.addr-of } r \mapsto \text{map } \text{heap.rep.to } v)$

$\langle \text{proof} \rangle$

**lemma** *same*:

**shows**  $\text{heap.set } r \ v \ (\text{heap.set } r \ w \ s) = \text{heap.set } r \ v \ s$

$\langle \text{proof} \rangle$

**lemma** *twist*:

**assumes**  $\text{heap.addr-of } r \neq \text{heap.addr-of } r'$   
**shows**  $\text{heap.set } r \ v \ (\text{heap.set } r' \ w \ s) = \text{heap.set } r' \ w \ (\text{heap.set } r \ v \ s)$

$\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *cong[cong]*:

**fixes**  $P :: \text{heap.rep list pred}$   
**assumes**  $\bigwedge v. s \ r = \text{Some } v \implies P \ v = P' \ v$   
**assumes**  $r = r'$   
**assumes**  $s \ r = s' \ r'$   
**shows**  $\text{heap.obj-at } P \ r \ s \longleftrightarrow \text{heap.obj-at } P' \ r' \ s'$

$\langle \text{proof} \rangle$

**lemma** *split*:

**shows**  $Q \ (\text{heap.obj-at } P \ r \ s) \longleftrightarrow (s \ r = \text{None} \longrightarrow Q \ \text{False}) \wedge (\forall v. s \ r = \text{Some } v \longrightarrow Q \ (P \ v))$

$\langle \text{proof} \rangle$

**lemma** *split-asm*:

**shows**  $Q \ (\text{heap.obj-at } P \ r \ s) \longleftrightarrow \neg ((s \ r = \text{None} \wedge \neg Q \ \text{False}) \vee (\exists v. s \ r = \text{Some } v \wedge \neg Q \ (P \ v)))$

$\langle \text{proof} \rangle$

**lemmas** *splits* = *heap.obj-at.split heap.obj-at.split-asm*

**lemma** *empty*:

**shows**  $\neg \text{heap.obj-at } P \ r \ \text{heap.empty}$

$\langle \text{proof} \rangle$

**lemma** *set*:

**shows**  $\text{heap.obj-at } P \ r \ (\text{heap.set } r' \ v \ s)$

$\longleftrightarrow (r = \text{heap.addr-of } r' \wedge P \ (\text{map } \text{heap.rep.to } v)) \vee (r \neq \text{heap.addr-of } r' \wedge \text{heap.obj-at } P \ r \ s)$

$\langle \text{proof} \rangle$

**lemma** *fun-upd*:

**shows**  $\text{heap.obj-at } P \ r \ (\text{fun-upd } s \ a \ (\text{Some } w)) = (\text{if } r = a \ \text{then } P \ w \ \text{else } \text{heap.obj-at } P \ r \ s)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas** *simps* = — objective: reduce manifest heaps

*heap.get.set-eq*

*heap.get.fun-upd*

*heap.set.empty*

*heap.set.same*

*heap.set.fun-upd*

*heap.obj-at.empty*

*heap.obj-at.fun-upd*

$\langle ML \rangle$

**lemma** *empty[simp]*:

**shows**  $\text{heap.Id}_{\{\}} = \text{UNIV}$

$\langle \text{proof} \rangle$

**lemma** *sup*:

**shows**  $\text{heap.Id}_{X \cup Y} = \text{heap.Id}_X \cap \text{heap.Id}_Y$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *empty[simp]*:

**shows**  $\text{heap.modifies}_{\{\}} = \text{Id}$

$\langle \text{proof} \rangle$

**lemma** *rep-inv-rel-le*:

**shows**  $\text{heap.modifies}_{rs} \subseteq (\bigcap r \in rs. \text{heap.rep-inv-rel } r)$

$\langle \text{proof} \rangle$

**lemma** *rep-inv*:

**assumes**  $(s, s') \in \text{heap.modifies}_{\{a\}}$

**shows**  $\text{heap.rep-inv } a \ s$

**and**  $\text{heap.rep-inv } a \ s'$

$\langle \text{proof} \rangle$

**lemma** *Id-conv*:

**shows**  $(s, s) \in \text{heap.modifies}_{rs} \longleftrightarrow (\forall r \in rs. (s, s) \in \text{heap.rep-inv-rel } r)$

$\langle \text{proof} \rangle$

**lemma** *eqI*:

**assumes**  $(s, s') \in \text{heap.modifies}_{rs}$

**assumes**  $\bigwedge r. \llbracket r \in rs; \text{heap.rep-inv } r \ s; \text{heap.rep-inv } r \ s' \rrbracket \implies s (\text{heap.addr-of } r) = s' (\text{heap.addr-of } r)$

**shows**  $s = s'$

*<proof>*

*<ML>*

**lemma** *Id-on-frame-cong*:

**assumes**  $\bigwedge s \ s'. (\bigwedge r. r \in rs \implies \text{heap.rep-inv } r \ s \wedge \text{heap.rep-inv } r \ s' \wedge s (\text{heap.addr-of } r) = s' (\text{heap.addr-of } r))$   
 $\implies P \ s \longleftrightarrow P' \ s'$

**shows**  $\text{stable heap.Id}_{rs} \ P \longleftrightarrow \text{stable heap.Id}_{rs} \ P'$

*<proof>*

**lemma** *Id-on-frameI*:

**assumes**  $\bigwedge s \ s'. (\bigwedge r. r \in rs \implies \text{heap.rep-inv } r \ s \wedge \text{heap.rep-inv } r \ s' \wedge s (\text{heap.addr-of } r) = s' (\text{heap.addr-of } r))$   
 $\implies P \ s \longleftrightarrow P \ s'$

**shows**  $\text{stable heap.Id}_{rs} \ P$

*<proof>*

**lemma** *Id-on-rep-invI[stable.intro]*:

**assumes**  $r \in rs$

**shows**  $\text{stable heap.Id}_{rs} (\text{heap.rep-inv } r)$

*<proof>*

*<ML>*

## 25.1 References

**datatype**  $'a \ \text{ref} = \text{Ref} (\text{addr-of}: \text{heap.addr})$

**instantiation**  $\text{ref} :: (\text{heap.rep}) \ \text{heap.addr-of}$

**begin**

**definition**  $\text{addr-of-ref} :: 'a \ \text{ref} \Rightarrow \text{heap.addr}$  **where**

$\text{addr-of-ref} = \text{ref.addr-of}$

**definition**  $\text{rep-val-inv-ref} :: 'a \ \text{ref} \Rightarrow \text{heap.rep list pred}$  **where**

$\text{rep-val-inv-ref } r \ vs \longleftrightarrow (\text{case } vs \ \text{of } [v] \Rightarrow \text{heap.rep.to } (\text{heap.rep.from } v :: 'a) = v \mid \_ \Rightarrow \text{False})$

**instance** *<proof>*

**end**

**instance**  $\text{ref} :: (\text{heap.rep}) \ \text{heap.rep}$

*<proof>*

*<ML>*

**definition**  $\text{get} :: 'a::\text{heap.rep} \ \text{ref} \Rightarrow \text{heap.t} \Rightarrow 'a$  **where**

$\text{get } r \ s = \text{hd } (\text{heap.get } r \ s)$

**definition**  $\text{set} :: 'a::\text{heap.rep} \ \text{ref} \Rightarrow 'a \Rightarrow \text{heap.t} \Rightarrow \text{heap.t}$  **where**

$\text{set } r \ v \ s = \text{heap.set } r \ [v] \ s$

**definition**  $\text{alloc} :: 'a \Rightarrow \text{heap.t} \Rightarrow ('a::\text{heap.rep} \ \text{ref} \times \text{heap.t}) \ \text{set}$  **where**

$\text{alloc } v \ s = \{(r, \text{Ref.set } r \ v \ s) \mid r. \neg \text{heap.present } r \ s\}$

**lemma** *addr-of*:

**shows**  $\text{heap.addr-of } (\text{Ref } r) = r$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *fun-upd*:

**shows**  $\text{Ref.get } r (\text{fun-upd } s \ a \ (\text{Some } [w]))$   
 $= (\text{if } \text{heap.addr-of } r = a \ \text{then } \text{heap.rep.from } w \ \text{else } \text{Ref.get } r \ s)$   
 $\langle \text{proof} \rangle$

**lemma** *set-eq*:

**shows**  $\text{Ref.get } r (\text{Ref.set } r \ v \ s) = v$   
 $\langle \text{proof} \rangle$

**lemma** *set-neq*:

**fixes**  $r :: 'a::\text{heap.rep } \text{ref}$   
**fixes**  $r' :: 'b::\text{heap.rep } \text{ref}$   
**assumes**  $\text{addr-of } r \neq \text{addr-of } r'$   
**shows**  $\text{Ref.get } r (\text{Ref.set } r' \ v \ s) = \text{Ref.get } r \ s$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *empty*:

**shows**  $\text{Ref.set } r \ v \ (\text{heap.empty}) = [\text{heap.addr-of } r \mapsto [\text{heap.rep.to } v]]$   
 $\langle \text{proof} \rangle$

**lemma** *fun-upd*:

**shows**  $\text{Ref.set } r \ v \ (\text{fun-upd } s \ a \ w) = (\text{fun-upd } s \ a \ w)(\text{heap.addr-of } r \mapsto [\text{heap.rep.to } v])$   
 $\langle \text{proof} \rangle$

**lemma** *same*:

**shows**  $\text{Ref.set } r \ v \ (\text{Ref.set } r \ w \ s) = \text{Ref.set } r \ v \ s$   
 $\langle \text{proof} \rangle$

**lemma** *obj-at-conv*:

**fixes**  $a :: \text{heap.addr}$   
**fixes**  $r :: 'a::\text{heap.rep } \text{ref}$   
**fixes**  $v :: 'a$   
**fixes**  $P :: \text{heap.rep } \text{list } \text{pred}$   
**shows**  $\text{heap.obj-at } P \ a \ (\text{Ref.set } r \ v \ s) \longleftrightarrow (a = \text{heap.addr-of } r \ \wedge \ P \ [\text{heap.rep.to } v])$   
 $\vee (a \neq \text{heap.addr-of } r \ \wedge \ \text{heap.obj-at } P \ a \ s)$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemmas** *simps*[*simp*] =

*Ref.addr-of*  
*Ref.get.set-eq*  
*Ref.get.set-neq*  
*Ref.get.fun-upd*  
*Ref.set.same*  
*Ref.set.empty*  
*Ref.set.fun-upd*  
*Ref.set.obj-at-conv*

$\langle \text{ML} \rangle$

## 25.2 Arrays

### 25.2.1 Code generation constants: one-dimensional arrays

We ask that targets of the code generator provide implementations of one-dimensional arrays and the associated operations.

Notes:

- user-facing arrays make use of  $Ix$
- due to the lack of bounds there is no  $rep\text{-}val\text{-}inv$

**datatype**  $'a\ one\text{-}dim\text{-}array = Array\ (addr\text{-}of:\ heap.addr)$

**instantiation**  $one\text{-}dim\text{-}array :: (type)\ heap.addr\text{-}of$   
**begin**

**definition**  $addr\text{-}of\text{-}one\text{-}dim\text{-}array :: 'a\ one\text{-}dim\text{-}array \Rightarrow heap.addr$  **where**  
 $addr\text{-}of\text{-}one\text{-}dim\text{-}array = addr\text{-}of$

**definition**  $rep\text{-}val\text{-}inv\text{-}one\text{-}dim\text{-}array :: 'a\ one\text{-}dim\text{-}array \Rightarrow heap.rep\ list\ pred$  **where**  
 $[simp]: rep\text{-}val\text{-}inv\text{-}one\text{-}dim\text{-}array\ a\ vs \longleftrightarrow True$

**instance**  $\langle proof \rangle$

**end**

$\langle ML \rangle$

**definition**  $get :: 'a::heap.rep\ one\text{-}dim\text{-}array \Rightarrow nat \Rightarrow heap.t \Rightarrow 'a$  **where**  
 $get\ a\ i\ s = heap.get\ a\ s\ !\ i$

**definition**  $set :: 'a::heap.rep\ one\text{-}dim\text{-}array \Rightarrow nat \Rightarrow 'a \Rightarrow heap.t \Rightarrow heap.t$  **where**  
 $set\ a\ i\ v\ s = heap.set\ a\ ((heap.get\ a\ s)[i:=v])\ s$

**definition**  $alloc :: 'a\ list \Rightarrow heap.t \Rightarrow ('a::heap.rep\ one\text{-}dim\text{-}array \times heap.t)\ set$  **where**  
 $alloc\ av\ s = \{(a, heap.set\ a\ av\ s) \mid a. \neg heap.present\ a\ s\}$

**definition**  $list\text{-}for :: 'a::heap.rep\ one\text{-}dim\text{-}array \Rightarrow heap.t \Rightarrow 'a\ list$  **where**  
 $list\text{-}for\ a = heap.get\ a$

$\langle ML \rangle$

**lemma**  $weak\text{-}cong:$

**assumes**  $i = i'$   
**assumes**  $a = a'$   
**assumes**  $s\ (heap.addr\text{-}of\ a) = s'\ (heap.addr\text{-}of\ a')$   
**shows**  $ODArray.get\ a\ i\ s = ODArray.get\ a'\ i'\ s'$

$\langle proof \rangle$

**lemma**  $weak\text{-}Id\text{-}on\text{-}proj\text{-}cong:$

**assumes**  $i = i'$   
**assumes**  $a = a'$   
**assumes**  $(s, s') \in heap.Id_{\{a'\}}$   
**shows**  $ODArray.get\ a\ i\ s = ODArray.get\ a'\ i'\ s'$

$\langle proof \rangle$

**lemma**  $set\text{-}eq:$

**assumes**  $i < length\ (the\ (s\ (heap.addr\text{-}of\ a)))$

**shows**  $ODArray.get\ a\ i\ (ODArray.set\ a\ i\ v\ s) = v$   
 $\langle proof \rangle$

**lemma** *set-neq*:

**assumes**  $i \neq j$

**shows**  $ODArray.get\ a\ i\ (ODArray.set\ a\ j\ v\ s) = ODArray.get\ a\ i\ s$

$\langle proof \rangle$

$\langle ML \rangle$

## 25.2.2 User-facing arrays

**datatype**  $( 'i, 'a) array = Array\ (bounds:\ ('i \times 'i))\ (arr:\ 'a\ one-dim-array)$

**hide-const** **(open)**  $bounds\ arr$

**instantiation**  $array :: (Ix, heap.rep)\ heap.addr-of$

**begin**

**definition**  $addr-of-array :: ('a, 'b)\ array \Rightarrow heap.addr$  **where**

$addr-of-array = addr-of \circ array.arr$

**definition**  $rep-val-inv-array :: ('a, 'b)\ array \Rightarrow heap.rep\ list\ pred$  **where**

$rep-val-inv-array\ a\ vs \longleftrightarrow$

$length\ vs = length\ (Ix.interval\ (array.bounds\ a))$

$\wedge (\forall v \in set\ vs.\ heap.rep.to\ (heap.rep.from\ v :: 'b) = v)$

**instance**  $\langle proof \rangle$

**end**

**instance**  $array :: (countable, type)\ heap.rep$

$\langle proof \rangle$

$\langle ML \rangle$

**abbreviation**  $(input)\ square :: ('i::Ix \times 'i, 'a)\ array \Rightarrow bool$  **where**

$square\ a \equiv Ix.square\ (array.bounds\ a)$

**abbreviation**  $(input)\ index :: ('i::Ix, 'a)\ array \Rightarrow 'i \Rightarrow nat$  **where**

$index\ a \equiv Ix.index\ (array.bounds\ a)$

**abbreviation**  $(input)\ interval :: ('i::Ix, 'a)\ array \Rightarrow 'i\ list$  **where**

$interval\ a \equiv Ix.interval\ (array.bounds\ a)$

**definition**  $get :: ('i::Ix, 'a::heap.rep)\ array \Rightarrow 'i \Rightarrow heap.t \Rightarrow 'a$  **where**

$get\ a\ i = ODArray.get\ (array.arr\ a)\ (Array.index\ a\ i)$

**definition**  $set :: ('i::Ix, 'a::heap.rep)\ array \Rightarrow 'i \Rightarrow 'a \Rightarrow heap.t \Rightarrow heap.t$  **where**

$set\ a\ i\ v = ODArray.set\ (array.arr\ a)\ (Array.index\ a\ i)\ v$

**definition**  $list-for :: ('i::Ix, 'a::heap.rep)\ array \Rightarrow heap.t \Rightarrow 'a\ list$  **where**

$list-for\ a = ODArray.list-for\ (array.arr\ a)$

— can coerce any indexing regime into any other provided the contents fit

**definition**  $coerce :: ('i::Ix, 'a::heap.rep)\ array \Rightarrow ('j \times 'j) \Rightarrow ('j::Ix, 'a::heap.rep)\ array\ option$  **where**

$coerce\ a\ b = (if\ length\ (Array.interval\ a) = length\ (Ix.interval\ b)$

$then\ Some\ (Array\ b\ (array.arr\ a))$

else None)

**definition** *Id-on* :: ('i::Ix, 'a::heap.rep) array  $\Rightarrow$  'i set  $\Rightarrow$  heap.t rel ( $\langle$ Array.Id $\rangle$ ,  $\cdot$ ) **where**  
Array.Id $_{a, is}$  = heap.rep-inv-rel a  $\cap$  {(s, s').  $\forall i \in is$ . Array.get a i s = Array.get a i s'}

**definition** *modifies* :: ('i::Ix, 'a::heap.rep) array  $\Rightarrow$  'i set  $\Rightarrow$  heap.t rel ( $\langle$ Array.modifies $\rangle$ ,  $\cdot$ ) **where**  
Array.modifies $_{a, is}$   
= heap.modifies $_{\{a\}}$   $\cap$  {(s, s').  $\forall i \in set$  (Array.interval a) - is. Array.get a i s = Array.get a i s'}

**lemma** *simps[simp]*:

**shows** heap.addr-of (array.arr a) = heap.addr-of a

**and** heap.addr-of  $\circ$  array.arr = heap.addr-of

$\langle$ proof $\rangle$

$\langle$ ML $\rangle$

**lemma** *set-eq*:

**assumes** heap.rep-inv a s

**assumes** i  $\in$  set (Array.interval a)

**shows** Array.get a i (Array.set a i v s) = v

$\langle$ proof $\rangle$

**lemma** *set-neq*:

**assumes** i  $\in$  set (Array.interval a)

**assumes** j  $\in$  set (Array.interval a)

**assumes** i  $\neq$  j

**shows** Array.get a j (Array.set a i v s) = Array.get a j s

$\langle$ proof $\rangle$

**lemma** *Id-on-proj-cong*:

**assumes** a = a'

**assumes** i = i'

**assumes** (s, s')  $\in$  Array.Id $_{a', \{i'\}}$

**assumes** i'  $\in$  set (Array.interval a)

**shows** Array.get a i s = Array.get a' i' s'

$\langle$ proof $\rangle$

**lemma** *weak-cong*:

**assumes** a = a'

**assumes** i = i'

**assumes** s (heap.addr-of a) = s' (heap.addr-of a')

**shows** Array.get a i s = Array.get a' i' s'

$\langle$ proof $\rangle$

**lemma** *weak-Id-on-proj-cong*:

**assumes** i = i'

**assumes** a = a'

**assumes** (s, s')  $\in$  heap.Id $_{\{a'\}}$

**shows** Array.get a i s = Array.get a' i' s'

$\langle$ proof $\rangle$

**lemma** *ext*:

**assumes** heap.rep-inv a s

**assumes** heap.rep-inv a s'

**assumes**  $\forall i \in set$  (Ix-class.interval (array.bounds a)). Array.get a i s = Array.get a i s'

**shows** s (heap.addr-of a) = s' (heap.addr-of a)

$\langle$ proof $\rangle$

$\langle ML \rangle$

**lemma** *cong-deref*:

**assumes**  $a = a'$

**assumes**  $i = i'$

**assumes**  $v = v'$

**assumes**  $s\ r = s'\ r'$

**assumes**  $r = r'$

**shows**  $\text{Array.set } a\ i\ v\ s\ r = \text{Array.set } a'\ i'\ v'\ s'\ r'$

$\langle \text{proof} \rangle$

**lemma** *same*:

**shows**  $\text{Array.set } a\ i\ v\ (\text{Array.set } a\ i\ v'\ s) = \text{Array.set } a\ i\ v\ s$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *ex-bij-betw*:

**fixes**  $a :: ('i::Ix, 'a::\text{heap.rep})\ \text{array}$

**fixes**  $b :: 'j::Ix \times 'j$

**assumes**  $\text{Array.coerce } a\ b = \text{Some } a'$

**obtains**  $f$  **where**  $\text{map } f\ (\text{Array.interval } a) = \text{Ix.interval } b$

$\langle \text{proof} \rangle$

**lemma** *ex-bij-betw2*:

**fixes**  $a :: ('i::Ix, 'a::\text{heap.rep})\ \text{array}$

**fixes**  $b :: 'j::Ix \times 'j$

**assumes**  $\text{Array.coerce } a\ b = \text{Some } a'$

**obtains**  $f$  **where**  $\text{map } f\ (\text{Ix.interval } b) = \text{Array.interval } a$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *set*:

**assumes**  $\text{heap.rep-inv } a\ s$

**shows**  $\text{heap.rep-inv } a\ (\text{Array.set } a\ i\ v\ s)$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *heap-modifies-le*:

**shows**  $\text{Array.modifies}_{a, is} \subseteq \text{heap.modifies}_{\{a\}}$

$\langle \text{proof} \rangle$

**lemma** *heap-rep-inv-rel-le*:

**shows**  $\text{Array.modifies}_{a, is} \subseteq \text{heap.rep-inv-rel } a$

$\langle \text{proof} \rangle$

**lemma** *empty*:

**shows**  $\text{Array.modifies}_{a, \{\}} = \text{Id} \cap \text{heap.rep-inv-rel } a$  (**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *mono*:

**assumes**  $is \subseteq js$

**shows**  $\text{Array.modifies}_{a, is} \subseteq \text{Array.modifies}_{a, js}$

$\langle \text{proof} \rangle$

**lemma** *INTER*:

**shows**  $Array.modifies_a \cap_{x \in X}. f_x = (\bigcap_{x \in X}. Array.modifies_{a, f_x}) \cap heap.modifies_{\{a\}}$   
*<proof>*

**lemma** *Inter*:

**shows**  $Array.modifies_a \cap X = (\bigcap_{x \in X}. Array.modifies_{a, x}) \cap heap.modifies_{\{a\}}$   
*<proof>*

**lemma** *inter*:

**shows**  $Array.modifies_{a, is} \cap Array.modifies_{a, js} = Array.modifies_{a, is \cap js}$   
*<proof>*

**lemma** *UNION-subseteq*:

**shows**  $(\bigcup_{x \in X}. Array.modifies_{a, I_x}) \subseteq Array.modifies_{a, (\bigcup_{x \in X}. I_x)}$   
*<proof>*

**lemma** *union-subseteq*:

**shows**  $Array.modifies_{a, is} \cup Array.modifies_{a, js} \subseteq Array.modifies_{a, is \cup js}$   
*<proof>*

**lemma** *Diag-subseteq*:

**assumes**  $\bigwedge s. P\ s \implies heap.rep-inv\ a\ s$   
**shows**  $Diag\ P \subseteq Array.modifies_{a, is}$   
*<proof>*

**lemma** *get*:

**assumes**  $(s, s') \in Array.modifies_{a, is}$   
**assumes**  $i \in set\ (Array.interval\ a) - is$   
**shows**  $Array.get\ a\ i\ s' = Array.get\ a\ i\ s$   
*<proof>*

**lemma** *set*:

**assumes**  $heap.rep-inv\ a\ s$   
**shows**  $(s, Array.set\ a\ i\ v\ s) \in heap.modifies_{\{a\}}$   
*<proof>*

**lemma** *Array-set*:

**assumes**  $heap.rep-inv\ a\ s$   
**assumes**  $i \in set\ (Array.interval\ a) \cap is$   
**shows**  $(s, Array.set\ a\ i\ v\ s) \in Array.modifies_{a, is}$   
*<proof>*

**lemma** *Array-set-conv*:

**assumes**  $i \in set\ (Array.interval\ a) \cap is$   
**shows**  $(s, Array.set\ a\ i\ v\ s) \in Array.modifies_{a, is} \iff heap.rep-inv\ a\ s$  (**is** ?lhs  $\iff$  ?rhs)  
*<proof>*

*<ML>*

**lemmas** *simps'* =

*Array.rep-inv.set*  
*Array.get.set-eq*

*<ML>*

**lemma** *Id-on-le*:

**shows**  $heap.Id_{\{a\}} \subseteq Array.Id_{a, is}$   
*<proof>*

$\langle ML \rangle$

**lemma** *empty*:

**shows**  $Array.Id_a, \{\} = heap.rep-inv-rel\ a$

$\langle proof \rangle$

**lemma** *mono*:

**assumes**  $is \subseteq js$

**shows**  $Array.Id_a, js \subseteq Array.Id_a, is$

$\langle proof \rangle$

**lemma** *insert*:

**shows**  $Array.Id_a, insert\ i\ is = Array.Id_a, \{i\} \cap Array.Id_a, is$

$\langle proof \rangle$

**lemma** *union*:

**shows**  $Array.Id_a, is \cup js = Array.Id_a, is \cap Array.Id_a, js$

$\langle proof \rangle$

**lemma** *rep-inv-rel*:

**shows**  $Array.Id_a, is \subseteq heap.rep-inv-rel\ a$

$\langle proof \rangle$

**lemma** *eq-heap-Id-on*:

**assumes**  $set\ (Array.interval\ a) \subseteq is$

**shows**  $Array.Id_a, is = heap.Id_{\{a\}}$

$\langle proof \rangle$

$\langle ML \rangle$

### 25.2.3 Stability

$\langle ML \rangle$

**lemma** *get[stable.intro]*:

**assumes**  $a \in as$

**shows**  $stable\ heap.Id_{as}\ (\lambda s. P\ (Array.get\ a\ i\ s))$

$\langle proof \rangle$

**lemma** *get-chain*: — difficult to apply

**assumes**  $\bigwedge v. stable\ heap.Id_{as}\ (P\ v)$

**assumes**  $a \in as$

**shows**  $stable\ heap.Id_{as}\ (\lambda s. P\ (Array.get\ a\ i\ s)\ s)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *get[stable.intro]*:

**assumes**  $i \in is$

**shows**  $stable\ Array.Id_{a, is}\ (\lambda s. P\ (Array.get\ a\ i\ s))$

$\langle proof \rangle$

**lemma** *get-chain*: — difficult to apply

**assumes**  $\bigwedge v. stable\ Array.Id_{a, is}\ (P\ v)$

**assumes**  $i \in is$

**shows**  $stable\ Array.Id_{a, is}\ (\lambda s. P\ (Array.get\ a\ i\ s)\ s)$

$\langle proof \rangle$



**definition**  $update :: 'a \text{ ref} \Rightarrow 'a::\text{heap.rep} \Rightarrow \text{unit imp } (\langle - := - \rangle 62)$  **where**  
 $update \ r \ v = \text{prog.write } (\text{Ref.set } r \ v)$

$\langle ML \rangle$

**definition**  $new :: ('i \times 'i) \Rightarrow 'a \Rightarrow ('i::Ix, 'a::\text{heap.rep}) \text{ array imp } \textbf{where}$   
 $new \ b \ v = \text{prog.action } \{(\text{Array } b \ a, \ s, \ s') \mid a \ s \ s'. (a, \ s') \in \text{ODArray.alloc } (\text{replicate } (\text{length } (Ix.\text{interval } b)) \ v) \ s\}$

**definition**  $make :: ('i \times 'i) \Rightarrow ('i \Rightarrow 'a) \Rightarrow ('i::Ix, 'a::\text{heap.rep}) \text{ array imp } \textbf{where}$   
 $make \ b \ f = \text{prog.action } \{(\text{Array } b \ a, \ s, \ s') \mid a \ s \ s'. (a, \ s') \in \text{ODArray.alloc } (\text{map } f \ (Ix.\text{interval } b)) \ s\}$

— Approximately Haskell’s `listArray`: “Construct an array from a pair of bounds and a list of values in index order.”

**definition**  $of\text{-list} :: ('i \times 'i) \Rightarrow 'a \text{ list} \Rightarrow ('i::Ix, 'a::\text{heap.rep}) \text{ array imp } \textbf{where}$   
 $of\text{-list} \ b \ xs = \text{prog.action } \{(\text{Array } b \ a, \ s, \ s') \mid a \ s \ s'. \text{length } (Ix.\text{interval } b) \leq \text{length } xs \wedge (a, \ s') \in \text{ODArray.alloc } xs \ s\}$

**definition**  $nth :: ('i::Ix, 'a::\text{heap.rep}) \text{ array} \Rightarrow 'i \Rightarrow 'a \text{ imp } \textbf{where}$   
 $nth \ a \ i = \text{prog.read } (\lambda s. \text{Array.get } a \ i \ s)$

**definition**  $upd :: ('i::Ix, 'a::\text{heap.rep}) \text{ array} \Rightarrow 'i \Rightarrow 'a \Rightarrow \text{unit imp } \textbf{where}$   
 $upd \ a \ i \ v = \text{prog.write } (\text{Array.set } a \ i \ v)$

— derived operations; observe the lack of atomicity

**definition**  $freeze :: ('i::Ix, 'a::\text{heap.rep}) \text{ array} \Rightarrow 'a \text{ list imp } \textbf{where}$   
 $freeze \ a = \text{prog.fold-mapM } (\text{prog.Array.nth } a) \ (\text{Array.interval } a)$

**definition**  $swap :: ('i::Ix, 'a::\text{heap.rep}) \text{ array} \Rightarrow 'i \Rightarrow 'i \Rightarrow \text{unit imp}$   
**where**

```

swap a i j =
do {
  x ← prog.Array.nth a i;
  y ← prog.Array.nth a j;
  prog.Array.upd a i y;
  prog.Array.upd a j x;
  prog.return ()
}

```

**declare**  $\text{prog.raise-def}[code \ del]$   
**declare**  $\text{prog.Ref.ref-def}[code \ del]$   
**declare**  $\text{prog.Ref.lookup-def}[code \ del]$   
**declare**  $\text{prog.Ref.update-def}[code \ del]$   
**declare**  $\text{prog.Array.new-def}[code \ del]$   
**declare**  $\text{prog.Array.make-def}[code \ del]$   
**declare**  $\text{prog.Array.of-list-def}[code \ del]$   
**declare**  $\text{prog.Array.nth-def}[code \ del]$   
**declare**  $\text{prog.Array.upd-def}[code \ del]$   
**declare**  $\text{prog.Array.freeze-def}[code \ del]$

**Operations on two-dimensional arrays** **definition**  $\text{fst-app-chaotic} :: ('a::Ix, 'b::Ix) \text{ two-dim} \Rightarrow ('a \Rightarrow ('s, \text{unit}) \text{ prog}) \Rightarrow ('s, \text{unit}) \text{ prog } \textbf{where}$

$\text{fst-app-chaotic } b \ f = \text{prog.set-app } f \ (\text{set } (Ix.\text{interval } (\text{fst-bounds } b)))$

**definition**  $\text{fst-app} :: ('a::Ix, 'b::Ix) \text{ two-dim} \Rightarrow ('a \Rightarrow ('s, \text{unit}) \text{ prog}) \Rightarrow ('s, \text{unit}) \text{ prog } \textbf{where}$   
 $\text{fst-app } b \ f = \text{prog.app } f \ (Ix.\text{interval } (\text{fst-bounds } b))$

**lemma** *fst-app-fst-app-chaotic-le*:

**shows** *prog.Array.fst-app b f ≤ prog.Array.fst-app-chaotic b f*  
⟨*proof*⟩

⟨*ML*⟩

**lemmas** *fst-app-chaotic =*

*ag.prog.app-set*[**where** *X=set (Ix.interval (fst-bounds b))* **for** *b*, *folded prog.Array.fst-app-chaotic-def*]

**lemmas** *fst-app =*

*ag.prog.app*[**where** *xs=Ix.interval (fst-bounds b)* **for** *b*, *folded prog.Array.fst-app-def*]

⟨*ML*⟩

## 26.1 Code generator setup

### 26.1.1 Haskell

**code-printing code-module** *Heap*  $\rightarrow$  (*Haskell*)

```
<
-- Sequentially-consistent primitives
-- Arrays:
-- https://hackage.haskell.org/package/array-0.5.4.0/docs/Data-Array-IO.html
-- https://hackage.haskell.org/package/array-0.5.4.0/docs/src/Data.Array.Base.html
module Heap (
  Prog
  , Ref, newIORef, readIORef, writeIORef
  , Array, newArray, newListArray, newFunArray, readArray, writeArray
  , parallel
  ) where

import Control.Concurrent (forkIO)
import qualified Control.Concurrent.MVar as MVar
import qualified Data.Array.IO as Array
import Data.IORef (IORef, newIORef, readIORef, atomicWriteIORef)
import Data.List (genericLength)

type Prog a b = IO b
type Array a = Array.IOArray Integer a
type Ref a = Data.IORef.IORef a

writeIORef :: IORef a -> a -> IO ()
writeIORef = atomicWriteIORef -- could use the strict variant

newArray :: Integer -> a -> IO (Array a)
newArray k = Array.newArray (0, k - 1)

newFunArray :: Integer -> (Integer -> a) -> IO (Array a)
newFunArray k f = Array.newListArray (0, k - 1) (map f [0..k-1])

newListArray :: Integer -> [a] -> IO (Array a)
newListArray k xs = Array.newListArray (0, k) xs

readArray :: Array a -> Integer -> IO a
readArray = Array.readArray

writeArray :: Array a -> Integer -> a -> IO ()
writeArray = Array.writeArray -- probably should be the WMM atomic op

{-
```

```

-- 'forkIO' is reputedly cheap, but other papers imply the use of worker threads, perhaps for other reasons
-- note we don't want forkFinally as we don't model exceptions
parallel' :: IO a -> IO b -> IO (a, b)
parallel' p q = do
  mvar <- MVar.newEmptyMVar
  forkIO (p >>= MVar.putMVar mvar) -- note putMVar is lazy
  b <- q
  a <- MVar.takeMVar mvar
  return (a, b)
-}

```

```

parallel :: IO () -> IO () -> IO ()
parallel p q = do
  mvar <- MVar.newEmptyMVar
  forkIO (p >> MVar.putMVar mvar ()) -- note putMVar is lazy
  b <- q
  a <- MVar.takeMVar mvar
  return ()
>

```

**code-reserved** (*Haskell*) *Ix*

**code-printing type-constructor** *prog*  $\rightarrow$  (*Haskell*) *Heap.Prog* - -

**code-monad** *prog.bind Haskell*

**code-printing constant** *prog.return*  $\rightarrow$  (*Haskell*) *return*

**code-printing constant** *prog.raise*  $\rightarrow$  (*Haskell*) *error*

**code-printing constant** *prog.parallel*  $\rightarrow$  (*Haskell*) *Heap.parallel*

Intermediate operation avoids invariance problem in *Scala* (similar to value restriction)

$\langle ML \rangle$

**definition** *ref'* **where**

[*code del*]: *ref'* = *prog.Ref.ref*

**lemma** [*code*]:

*prog.Ref.ref x* = *Ref.ref' x*

$\langle proof \rangle$

$\langle ML \rangle$

**code-printing type-constructor** *ref*  $\rightarrow$  (*Haskell*) *Heap.Ref* -

**code-printing constant** *Ref*  $\rightarrow$  (*Haskell*) *error* / bare *Ref*

**code-printing constant** *Ref.ref'*  $\rightarrow$  (*Haskell*) *Heap.newIORef*

**code-printing constant** *prog.Ref.lookup*  $\rightarrow$  (*Haskell*) *Heap.readIORef*

**code-printing constant** *prog.Ref.update*  $\rightarrow$  (*Haskell*) *Heap.writeIORef*

**code-printing constant** *HOL.equal* :: '*a* *ref*  $\Rightarrow$  '*a* *ref*  $\Rightarrow$  *bool*  $\rightarrow$  (*Haskell*) **infix 4** ==

**code-printing class-instance** *ref* :: *HOL.equal*  $\rightarrow$  (*Haskell*) -

The target language only has to provide one-dimensional arrays indexed by *integer*.

$\langle ML \rangle$

**definition** *new'* :: *integer*  $\Rightarrow$  '*a*  $\Rightarrow$  '*a* :: *heap.rep one-dim-array imp* **where**

*new' k v* = *prog.action*  $\{(a, s, s') \mid a \ s \ s'. (a, s') \in ODArry.alloc (replicate (nat-of-integer k) v) s\}$

**declare** *prog.Array.new'*-*def*[*code del*]

**lemma** *new-new'*[*code*]:

**shows** *prog.Array.new b v* = *prog.Array.new'* (*of-nat (length (Ix.interval b))*) *v*  $\gg$  *prog.return*  $\circ$  *Array b*

*<proof>*

**definition**  $make' :: integer \Rightarrow (integer \Rightarrow 'a) \Rightarrow 'a :: heap.rep\ one-dim-array\ imp\ \mathbf{where}$   
 $make'\ k\ f = prog.action\ \{(a, s, s') \mid a\ s\ s'.\ (a, s') \in OArray.alloc\ (map\ (f \circ of-nat)\ [0..<nat-of-integer\ k])\ s\}$

**declare**  $prog.Array.make'-def[code\ del]$

**lemma**  $make-make'[code]:$

**shows**  $prog.Array.make\ b\ f$   
 $= prog.Array.make'\ (of-nat\ (length\ (Ix.interval\ b)))\ (\lambda i. f\ (Ix.interval\ b\ !\ nat-of-integer\ i))$   
 $\ggg prog.return \circ Array\ b$

*<proof>*

**definition**  $of-list' :: integer \Rightarrow 'a\ list \Rightarrow 'a :: heap.rep\ one-dim-array\ imp\ \mathbf{where}$

$of-list'\ k\ xs = prog.action\ \{(a, s, s') \mid a\ s\ s'.\ nat-of-integer\ k \leq length\ xs \wedge (a, s') \in OArray.alloc\ xs\ s\}$

**declare**  $prog.Array.of-list'-def[code\ del]$

**lemma**  $of-list-of-list'[code]:$

**shows**  $prog.Array.of-list\ b\ xs$   
 $= prog.Array.of-list'\ (of-nat\ (length\ (Ix.interval\ b)))\ xs \ggg prog.return \circ Array\ b$

*<proof>*

**definition**  $nth' :: 'a :: heap.rep\ one-dim-array \Rightarrow integer \Rightarrow 'a\ imp\ \mathbf{where}$

$nth'\ a\ i = prog.read\ (OArray.get\ a\ (nat-of-integer\ i))$

**declare**  $prog.Array.nth'-def[code\ del]$

**lemma**  $nth-nth'[code]:$

**shows**  $prog.Array.nth\ a\ i = prog.Array.nth'\ (array.arr\ a)\ (of-nat\ (Array.index\ a\ i))$

*<proof>*

**definition**  $upd' :: 'a :: heap.rep\ one-dim-array \Rightarrow integer \Rightarrow 'a :: heap.rep \Rightarrow unit\ imp\ \mathbf{where}$

$upd'\ a\ i\ v = prog.write\ (OArray.set\ a\ (nat-of-integer\ i)\ v)$

**declare**  $prog.Array.upd'-def[code\ del]$

**lemma**  $upd-upd'[code]:$

**shows**  $prog.Array.upd\ a\ i\ v = prog.Array.upd'\ (array.arr\ a)\ (of-nat\ (Array.index\ a\ i))\ v$

*<proof>*

*<ML>*

**code-printing type-constructor**  $one-dim-array \rightarrow (Haskell)\ Heap.Array/ -$

**code-printing constant**  $one-dim-array.Array \rightarrow (Haskell)\ error/ bare\ Array$

**code-printing constant**  $prog.Array.new' \rightarrow (Haskell)\ Heap.newArray$

**code-printing constant**  $prog.Array.make' \rightarrow (Haskell)\ Heap.newFunArray$

**code-printing constant**  $prog.Array.of-list' \rightarrow (Haskell)\ Heap.newListArray$

**code-printing constant**  $prog.Array.nth' \rightarrow (Haskell)\ Heap.readArray$

**code-printing constant**  $prog.Array.upd' \rightarrow (Haskell)\ Heap.writeArray$

**code-printing constant**  $HOL.equal :: ('i, 'a)\ array \Rightarrow ('i, 'a)\ array \Rightarrow bool \rightarrow (Haskell)\ \mathbf{infix\ 4\ ==}$

**code-printing class-instance**  $array :: HOL.equal \rightarrow (Haskell)\ -$

## 26.2 Value-returning parallel

**definition**  $parallelP' :: 'a :: heap.rep\ imp \Rightarrow 'b :: heap.rep\ imp \Rightarrow ('a \times 'b)\ imp\ \mathbf{where}$

$parallelP'\ P_1\ P_2 = do\ \{$   
 $r_1 \leftarrow prog.Ref.ref\ undefined$

```

; r2 ← prog.Ref.ref undefined
; ((P1 ≧≧ prog.Ref.update r1) || (P2 ≧≧ prog.Ref.update r2))
; v1 ← prog.Ref.lookup r1
; v2 ← prog.Ref.lookup r2
; prog.return (v1, v2)
}

```

## 27 Total store order (TSO)

The total store order (TSO) memory model (Owens, Sarkar, and Sewell (2009); valid on multicore x86) can be modelled as a closure as demonstrated by Jagadeesan, Petri, and Riely (2012, p182). Essentially this is done by incorporating a write buffer into each thread’s local state and adding buffer draining opportunities before and after every command. The only subtlety is that the all threads involved in a parallel composition need to start and end with empty write buffers (see §27).

We configure the code generator in §27.3.

Comparison with Jagadeesan et al. (2012):

- We ignore mumbling-related issues and it doesn’t make any difference
  - in our model we commit writes one at a time; mumbling allows several to be committed at once (p182) which we model as an uninterrupted sequence of individual writes
  - if we allowed *commit-writes* to commit multiple writes in a single step then *tso-closure* would not be idempotent
- their semantics is for terminating computations only; ours is for safety only
- their language is deterministic, ours is non-deterministic
- They do not provide many general laws for TSO
- Their claims that the semantics allows them to prove things (§5) is not substantiated

**type-synonym** *write-buffer* = *heap.write list*

**definition** *apply-writes* :: *write-buffer* ⇒ *heap.t* ⇒ *heap.t* **where**  
*apply-writes ws* = *fold* ( $\lambda w. (\circ) (\text{heap.apply-write } w)$ ) *ws id*

**lemma** *apply-write-present*:  
**assumes** *heap.present r s*  
**shows** *heap.present r (heap.apply-write w s)*  
⟨*proof*⟩

**lemma** *apply-writes-present*:  
**assumes** *heap.present r s*  
**shows** *heap.present r (apply-writes wb s)*  
⟨*proof*⟩

⟨*ML*⟩

**type-synonym** *'v tso* = *write-buffer* ⇒ (*heap.t*, *'v × write-buffer*) *prog*

**definition** *bind* :: *'a raw.tso* ⇒ (*'a* ⇒ *'b raw.tso*) ⇒ *'b raw.tso* **where**  
*bind f g* = ( $\lambda wb. f wb \gg \text{uncurry } g$ )

**ad hoc-overloading**

*Monad-Syntax.bind* ⇒ *raw.bind*

**definition** *prim-return* :: *'a* ⇒ *'a raw.tso* **where**

$\text{prim-return } v = (\lambda wb. \text{prog.return } (v, wb))$

$\langle ML \rangle$

**lemma** *mono*:

**assumes**  $f \leq f'$

**assumes**  $\bigwedge x. g\ x \leq g'\ x$

**shows**  $\text{raw.bind } f\ g \leq \text{raw.bind } f'\ g'$

$\langle \text{proof} \rangle$

**lemma** *strengthen*[*strg*]:

**assumes** *st-ord*  $F\ f\ f'$

**assumes**  $\bigwedge x. \text{st-ord } F\ (g\ x)\ (g'\ x)$

**shows** *st-ord*  $F\ (\text{raw.bind } f\ g)\ (\text{raw.bind } f'\ g')$

$\langle \text{proof} \rangle$

**lemma** *mono2mono*[*cont-intro*, *partial-function-mono*]:

**assumes** *monotone orda*  $(\leq)\ F$

**assumes**  $\bigwedge x. \text{monotone orda } (\leq)\ (\lambda y. G\ y\ x)$

**shows** *monotone orda*  $(\leq)\ (\lambda f. \text{raw.bind } (F\ f)\ (G\ f))$

$\langle \text{proof} \rangle$

**lemma** *botL*:

**shows**  $\text{raw.bind } \perp\ g = \perp$

$\langle \text{proof} \rangle$

**lemma** *bind*:

**fixes**  $f :: - \text{raw.tso}$

**shows**  $f \ggg g \ggg h = f \ggg (\lambda x. g\ x \ggg h)$

$\langle \text{proof} \rangle$

**lemma** *prim-return*:

**shows** *prim-returnL*:  $\text{raw.bind } (\text{raw.prim-return } v) = (\lambda g. g\ v)$

**and** *prim-returnR*:  $f \ggg \text{raw.prim-return} = f$

$\langle \text{proof} \rangle$

**lemma** *supL*:

**fixes**  $g :: - \Rightarrow - \text{raw.tso}$

**shows**  $f_1 \sqcup f_2 \ggg g = (f_1 \ggg g) \sqcup (f_2 \ggg g)$

$\langle \text{proof} \rangle$

**lemma** *supR*:

**fixes**  $f :: - \text{raw.tso}$

**shows**  $f \ggg (\lambda v. g_1\ v \sqcup g_2\ v) = (f \ggg g_1) \sqcup (f \ggg g_2)$

$\langle \text{proof} \rangle$

**lemma** *SUPL*:

**fixes**  $X :: - \text{set}$

**fixes**  $f :: - \Rightarrow - \text{raw.tso}$

**shows**  $(\bigsqcup x \in X. f\ x) \ggg g = (\bigsqcup x \in X. f\ x \ggg g)$

$\langle \text{proof} \rangle$

**lemma** *SUPR*:

**fixes**  $X :: - \text{set}$

**fixes**  $f :: - \text{raw.tso}$

**shows**  $f \ggg (\lambda v. \bigsqcup x \in X. g\ x\ v) = (\bigsqcup x \in X. f \ggg g\ x) \sqcup (f \ggg \perp)$

$\langle \text{proof} \rangle$

**lemma** *SUPR-not-empty*:

**fixes**  $f :: - \text{raw.tso}$

**assumes**  $X \neq \{\}$

**shows**  $f \gg (\lambda v. \bigsqcup_{x \in X}. g \ x \ v) = (\bigsqcup_{x \in X}. f \gg g \ x)$

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:

**assumes**  $mcont \ luba \ orda \ Sup \ (\leq) \ f$

**assumes**  $\bigwedge v. mcont \ luba \ orda \ Sup \ (\leq) \ (\lambda x. g \ x \ v)$

**shows**  $mcont \ luba \ orda \ Sup \ (\leq) \ (\lambda x. \text{raw.bind} \ (f \ x) \ (g \ x))$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**interpretation** *kleene*:  $kleene \ \text{raw.prim-return} \ () \ \lambda x \ y. \ \text{raw.bind} \ x \ \langle y \rangle$

$\langle \text{proof} \rangle$

**primrec** *commit-write* ::  $unit \ \text{raw.tso} \ \mathbf{where}$

$\text{commit-write} \ [] = \text{prog.return} \ ((), \ [])$

|  $\text{commit-write} \ (w \ \# \ wb) = \text{prog.action} \ \{(((), \ wb), \ h, \ \text{heap.apply-write} \ w \ h) \ |h. \ \text{True}\}$

**definition** *commit-writes* ::  $unit \ \text{raw.tso} \ \mathbf{where}$

$\text{commit-writes} = \text{raw.kleene.star} \ \text{raw.commit-write}$

$\langle ML \rangle$

**definition** *cl* ::  $'v \ \text{raw.tso} \Rightarrow 'v \ \text{raw.tso} \ \mathbf{where}$

$cl \ P = \text{raw.commit-writes} \gg P \gg (\lambda v. \text{raw.commit-writes} \gg \text{raw.prim-return} \ v)$

$\langle ML \rangle$

**definition** *action* ::  $(\text{write-buffer} \Rightarrow ('v \times \text{write-buffer} \times \text{heap.t} \times \text{heap.t}) \ \text{set}) \Rightarrow 'v \ \text{raw.tso} \ \mathbf{where}$

$\text{action} \ F = \text{raw.tso.cl} \ (\lambda wb. \ \text{prog.action} \ \{((v, \ wb \ @ \ ws), \ ss') \ |v \ ss' \ ws. \ (v, \ ws, \ ss') \in F \ wb\})$

**definition** *return* ::  $'v \Rightarrow 'v \ \text{raw.tso} \ \mathbf{where}$

$\text{return} \ v = \text{raw.action} \ \langle \{v\} \times \{\} \times Id \rangle$

**definition** *guard* ::  $(\text{write-buffer} \Rightarrow \text{heap.t} \ \text{pred}) \Rightarrow unit \ \text{raw.tso} \ \mathbf{where}$

$\text{guard} \ g = \text{raw.action} \ (\lambda wb. \ \{\} \times \{\} \times \text{Diag} \ (g \ wb))$

**definition** *MFENCE* ::  $unit \ \text{raw.tso} \ \mathbf{where}$

$MFENCE = \text{raw.guard} \ (\lambda wb \ s. \ wb = [])$

**definition** *vmap* ::  $('v \Rightarrow 'w) \Rightarrow 'v \ \text{raw.tso} \Rightarrow 'w \ \text{raw.tso} \ \mathbf{where}$

$vmap \ vf \ P = (\lambda wb. \ \text{prog.vmap} \ (\text{map-prod} \ vf \ id) \ (P \ wb))$

— Parallel composition

**definition** *t2p* ::  $'v \ \text{raw.tso} \Rightarrow (\text{heap.t}, 'v) \ \text{prog} \ \mathbf{where}$

$t2p \ P = P \ [] \gg (\lambda(v, \ wb). \ \text{raw.MFENCE} \ wb \gg \text{prog.return} \ v)$

— Jagadeesan et al. (2012, p184 rule PAR-CMD): perform MFENCE before fork

**definition** *parallel* ::  $unit \ \text{raw.tso} \Rightarrow unit \ \text{raw.tso} \Rightarrow unit \ \text{raw.tso} \ \mathbf{where}$

$\text{parallel} \ P \ Q = \text{raw.MFENCE} \gg \langle (\text{raw.t2p} \ P \ || \ \text{raw.t2p} \ Q) \gg \text{prog.return} \ ((), \ []) \rangle$

**lemma** *return-alt-def*:

**shows**  $\text{raw.return} = (\lambda v. \ \text{raw.tso.cl} \ (\text{raw.prim-return} \ v))$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *return-le*:

**shows**  $raw.prim-return () \leq raw.commit-writes$

$\langle proof \rangle$

**lemma** *return-le'*:

**shows**  $prog.return ((), wb) \leq raw.commit-writes wb$

$\langle proof \rangle$

**lemma** *commit-writes*:

**shows**  $raw.commit-writes \ggg raw.commit-writes = raw.commit-writes$

$\langle proof \rangle$

**lemma** *Nil*:

**shows**  $raw.commit-writes [] = prog.return ((), [])$  (**is**  $?lhs = ?rhs$ )

$\langle proof \rangle$

**lemma** *Cons*:

**shows**  $raw.commit-writes (w \# wb)$

$= (raw.commit-write [w] \ggg raw.commit-writes wb) \sqcup raw.prim-return () (w \# wb)$

$\langle proof \rangle$

**lemma** *Cons-le*:

**shows**  $raw.commit-write [w] \ggg raw.commit-writes wb \leq raw.commit-writes (w \# wb)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *prim-return-Nil-le*:

**shows**  $\langle s, [], Some ((), wb) \rangle \leq prog.p2s (raw.prim-return () wb)$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *noop-le*:

**shows**  $\langle s, [], Some ((), wb) \rangle \leq prog.p2s (raw.commit-writes wb)$

$\langle proof \rangle$

**lemma** *wb-suffix*:

**assumes**  $\langle s, xs, Some ((), wb^{\wedge}) \rangle \leq prog.p2s (raw.commit-writes wb)$

**shows**  $suffix wb' wb$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bind-commit-writes-absorbL*:

**fixes**  $P :: 'v raw.tso$

**shows**  $raw.commit-writes \ggg raw.tso.cl P = raw.tso.cl P$

$\langle proof \rangle$

**lemma** *bind-commit-writes-absorb-unitR*:

**fixes**  $P :: unit raw.tso$

**shows**  $raw.tso.cl P \ggg raw.commit-writes = raw.tso.cl P$

$\langle proof \rangle$

**lemma** *bind-commit-writes-absorbR*:

**fixes**  $P :: 'v raw.tso$

**shows**  $\text{raw.tso.cl } P \ggg (\lambda v. \text{raw.commit-writes} \gg \text{raw.prim-return } v) = \text{raw.tso.cl } P$   
 $\langle \text{proof} \rangle$

**lemma** *bot*:

**shows**  $\text{raw.tso.cl } \perp = \text{raw.commit-writes} \ggg \perp$   
 $\langle \text{proof} \rangle$

**lemma** *prim-return*:

**shows**  $\text{raw.tso.cl } (\text{raw.prim-return } v) = \text{raw.commit-writes} \gg \text{raw.prim-return } v$   
 $\langle \text{proof} \rangle$

**lemma** *Nil*:

**shows**  $\text{raw.tso.cl } P [] = P [] \ggg (\lambda v. \text{raw.commit-writes } (\text{snd } v) \ggg (\lambda w. \text{prog.return } (\text{fst } v, \text{snd } w)))$   
 $\langle \text{proof} \rangle$

**lemma** *commit*:

**fixes**  $wb :: \text{write-buffer}$   
**shows**  $\text{raw.commit-write } [w] \gg f \text{ } wb \leq \text{raw.tso.cl } f (w \# wb)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**interpretation** *tso*: *closure-complete-distrib-lattice-distributive-class*  $\text{raw.tso.cl}$

$\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *bind*:

**fixes**  $f :: 'v \text{ raw.tso}$   
**assumes**  $f \in \text{raw.tso.closed}$   
**shows**  $\text{raw.tso.cl } (f \ggg g) = f \ggg (\lambda v. \text{raw.tso.cl } (g \ v))$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *commit-writes-absorbL*:

**assumes**  $f \in \text{raw.tso.closed}$   
**shows**  $\text{raw.commit-writes} \gg f = f$   
 $\langle \text{proof} \rangle$

**lemma** *commit-writes-absorb-unitR*:

**assumes**  $f \in \text{raw.tso.closed}$   
**shows**  $f \gg \text{raw.commit-writes} = f$   
 $\langle \text{proof} \rangle$

**lemma** *returnL*:

**assumes**  $g \ v \in \text{raw.tso.closed}$   
**shows**  $\text{raw.return } v \ggg g = g \ v$   
 $\langle \text{proof} \rangle$

**lemma** *returnR*:

**assumes**  $f \in \text{raw.tso.closed}$   
**shows**  $f \ggg \text{raw.return} = f$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *commit-writes*:

**shows**  $raw.commit-writes \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $bind[intro]$ :  
**fixes**  $f :: 'v \text{ raw.tso}$   
**fixes**  $g :: 'v \Rightarrow 'w \text{ raw.tso}$   
**assumes**  $f \in raw.tso.closed$   
**assumes**  $\bigwedge x. g \ x \in raw.tso.closed$   
**shows**  $f \ggg g \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $action[intro]$ :  
**shows**  $raw.action \ F \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $guard[intro]$ :  
**shows**  $raw.guard \ g \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $MFENCE[intro]$ :  
**shows**  $raw.MFENCE \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $parallel[intro]$ :  
**assumes**  $P \in raw.tso.closed$   
**assumes**  $Q \in raw.tso.closed$   
**shows**  $raw.parallel \ P \ Q \in raw.tso.closed$   
 $\langle proof \rangle$

**lemma**  $vmap[intro]$ :  
**assumes**  $P \in raw.tso.closed$   
**shows**  $raw.vmap \ v f \ P \in raw.tso.closed$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $bot$ :  
**shows**  $raw.action \ \perp = raw.tso.cl \ \perp$   
 $\langle proof \rangle$

**lemma**  $monotone$ :  
**shows**  $mono \ raw.action$   
 $\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF \ raw.action.monotone]$   
**lemmas**  $mono = monotoneD[OF \ raw.action.monotone]$

**lemma**  $Sup$ :  
**shows**  $raw.action \ (\bigsqcup \ Fs) = \bigsqcup (raw.action \ ' \ Fs) \sqcup raw.tso.cl \ \perp$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma**  $sup$ :  
**shows**  $raw.action \ (F \sqcup G) = raw.action \ F \sqcup raw.action \ G$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $return-le$ :

**shows**  $raw.guard\ g \leq raw.return\ ()$   
 $\langle proof \rangle$

**lemma** *monotone*:

**shows**  $mono\ (raw.guard\ ::\ (write-buffer \Rightarrow heap.t\ pred) \Rightarrow -)$   
 $\langle proof \rangle$

**lemmas**  $strengthen[strg] = st-monotone[OF\ raw.guard.monotone]$

**lemmas**  $mono = monotoneD[OF\ raw.guard.monotone]$

**lemma** *less*: — Non-triviality; essentially replay *prog.guard.less*

**assumes**  $g < g'$   
**shows**  $raw.guard\ g < raw.guard\ g'$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *MFENCE-alt-def*:

**shows**  $raw.MFENCE = raw.commit-writes \gg (\lambda wb. prog.action\ (\{(),\ wb\} \times Diag\ (wb = [])))$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *Nil*:

**shows**  $raw.MFENCE\ [] = prog.return\ ((),\ [])$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *MFENCE*:

**shows**  $prog.p2s\ (raw.MFENCE\ wb) \leq \{P\}, A \Vdash prog.p2s\ (raw.MFENCE\ wb), \{\lambda v\ s. snd\ v = []\}$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *MFENCEL*:

**shows**  $raw.MFENCE\ wb \gg g = raw.MFENCE\ wb \gg g\ ((),\ [])$  (**is** *?lhs = ?rhs*)  
 $\langle proof \rangle$

**lemma** *MFENCE-return*:

**shows**  $raw.MFENCE\ wb \gg prog.return\ ((),\ []) = raw.MFENCE\ wb$   
 $\langle proof \rangle$

**lemma** *MFENCE-MFENCE*:

**shows**  $raw.MFENCE \gg raw.MFENCE = raw.MFENCE$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *bot*:

**shows**  $raw.t2p\ \perp = \perp$   
 $\langle proof \rangle$

**lemma** *cl-bot*:

**shows**  $raw.t2p\ (raw.tso.cl\ \perp) = \perp$   
 $\langle proof \rangle$

**lemma** *monotone*:

**shows** *mono raw.t2p*

*<proof>*

**lemmas** *strengthen[strg] = st-monotone[OF raw.t2p.monotone]*

**lemmas** *mono = monotoneD[OF raw.t2p.monotone]*

**lemmas** *mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF raw.t2p.monotone, simplified]*

**lemma** *Sup:*

**shows** *raw.t2p ( $\sqcup X$ ) =  $\sqcup$ (raw.t2p ‘ X)*

*<proof>*

**lemma** *sup:*

**shows** *raw.t2p (P  $\sqcup$  Q) = raw.t2p P  $\sqcup$  raw.t2p Q*

*<proof>*

**lemma** *mcont2mcont[cont-intro]:*

**fixes** *P :: -  $\Rightarrow$  - raw.tso*

**assumes** *mcont luba orda Sup ( $\leq$ ) F*

**shows** *mcont luba orda Sup ( $\leq$ ) ( $\lambda x$ . raw.t2p (F x))*

*<proof>*

**lemma** *return:*

**shows** *raw.t2p (raw.return v) = prog.return v*

*<proof>*

**lemma** *MFENCE-bind:*

**shows** *raw.t2p (raw.MFENCE  $\gg$  P) = raw.t2p (P ())*

*<proof>*

**lemma** *bind-return-unit:*

**shows** *raw.t2p ( $\lambda wb$ . prog.bind P ( $\lambda ::$ unit. prog.return ((), []))) = P*

*<proof>*

*<ML>*

**lemma** *commute: — Jagadeesan et al. (2012, §5 (3))*

**shows** *raw.parallel P Q = raw.parallel Q P*

*<proof>*

**lemma** *assoc: — Jagadeesan et al. (2012, §5 (4))*

**shows** *raw.parallel P (raw.parallel Q R) = raw.parallel (raw.parallel P Q) R*

*<proof>*

**lemma** *mono:*

**assumes** *P  $\leq$  P'*

**assumes** *Q  $\leq$  Q'*

**shows** *raw.parallel P Q  $\leq$  raw.parallel P' Q'*

*<proof>*

**lemma** *botL:*

**shows** *raw.parallel (raw.tso.cl  $\perp$ ) f = raw.MFENCE  $\gg$  f  $\gg$  raw.MFENCE  $\gg$  raw.tso.cl  $\perp$*

*<proof>*

**lemma** *returnL:*

**shows** *raw.parallel (raw.return ()) P = raw.MFENCE  $\gg$  ( $\lambda$ -. P)  $\gg$  ( $\lambda$ -. raw.MFENCE)*

*<proof>*

**lemma** *SupL-not-empty:*

**assumes**  $\forall x \in X. x \in \text{raw.tso.closed}$   
**assumes**  $Q \in \text{raw.tso.closed}$   
**assumes**  $X \neq \{\}$   
**shows**  $\text{raw.parallel} (\bigsqcup X \sqcup \text{raw.tso.cl } \perp) Q = (\bigsqcup P \in X. \text{raw.parallel } P Q) \sqcup \text{raw.tso.cl } \perp$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**typedef**  $'v \text{ tso} = \text{raw.tso.closed} :: 'v \text{ raw.tso set}$   
**morphisms**  $t2p' \text{ Abs-tso}$   
 $\langle \text{proof} \rangle$

**setup-lifting**  $\text{type-definition-tso}$

**instantiation**  $\text{tso} :: (\text{type}) \text{ complete-distrib-lattice}$   
**begin**

**lift-definition**  $\text{bot-tso} :: 'v \text{ tso is raw.tso.cl } \perp \langle \text{proof} \rangle$   
**lift-definition**  $\text{top-tso} :: 'v \text{ tso is } \top \langle \text{proof} \rangle$   
**lift-definition**  $\text{sup-tso} :: 'v \text{ tso} \Rightarrow 'v \text{ tso} \Rightarrow 'v \text{ tso is sup} \langle \text{proof} \rangle$   
**lift-definition**  $\text{inf-tso} :: 'v \text{ tso} \Rightarrow 'v \text{ tso} \Rightarrow 'v \text{ tso is inf} \langle \text{proof} \rangle$   
**lift-definition**  $\text{less-eq-tso} :: 'v \text{ tso} \Rightarrow 'v \text{ tso} \Rightarrow \text{bool is less-eq} \langle \text{proof} \rangle$   
**lift-definition**  $\text{less-tso} :: 'v \text{ tso} \Rightarrow 'v \text{ tso} \Rightarrow \text{bool is less} \langle \text{proof} \rangle$   
**lift-definition**  $\text{Inf-tso} :: 'v \text{ tso set} \Rightarrow 'v \text{ tso is Inf} \langle \text{proof} \rangle$   
**lift-definition**  $\text{Sup-tso} :: 'v \text{ tso set} \Rightarrow 'v \text{ tso is } \lambda X. \text{Sup } X \sqcup \text{raw.tso.cl } \perp \langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

$\langle ML \rangle$

**lift-definition**  $\text{bind} :: 'v \text{ tso} \Rightarrow ('v \Rightarrow 'w \text{ tso}) \Rightarrow 'w \text{ tso is raw.bind} \langle \text{proof} \rangle$   
**lift-definition**  $\text{action} :: (\text{write-buffer} \Rightarrow ('v \times \text{write-buffer} \times \text{heap.t} \times \text{heap.t}) \text{ set}) \Rightarrow 'v \text{ tso is raw.action} \langle \text{proof} \rangle$   
**lift-definition**  $\text{MFENCE} :: \text{unit tso is raw.MFENCE} \langle \text{proof} \rangle$   
**lift-definition**  $\text{parallel} :: \text{unit tso} \Rightarrow \text{unit tso} \Rightarrow \text{unit tso is raw.parallel} \langle \text{proof} \rangle$   
**lift-definition**  $\text{vmap} :: ('v \Rightarrow 'w) \Rightarrow 'v \text{ tso} \Rightarrow 'w \text{ tso is raw.vmap} \langle \text{proof} \rangle$

**lift-definition**  $\text{t2p} :: 'v \text{ tso} \Rightarrow (\text{heap.t}, 'v) \text{ prog is raw.t2p} \langle \text{proof} \rangle$

**adhoc-overloading**

$\text{Monad-Syntax.bind} \Rightarrow \text{tso.bind}$

**adhoc-overloading**

$\text{parallel} \Rightarrow \text{tso.parallel}$

**definition**  $\text{return} :: 'v \Rightarrow 'v \text{ tso where}$

$\text{return } v = \text{tso.action} \langle \{v\} \times \{\square\} \times \text{Id} \rangle$

**definition**  $\text{guard} :: (\text{write-buffer} \Rightarrow \text{heap.t pred}) \Rightarrow \text{unit tso where}$

$\text{guard } g = \text{tso.action} (\lambda \text{wb}. \langle \{\} \rangle \times \langle \{\} \rangle \times \text{Diag } (g \text{ wb}))$

**abbreviation**  $(\text{input}) \text{read} :: (\text{heap.t} \Rightarrow 'v) \Rightarrow 'v \text{ tso where}$

$\text{read } f \equiv \text{tso.action} (\lambda \text{wb}. \langle (f (\text{apply-writes } \text{wb } s), \square, s, s) \mid s. \text{True} \rangle)$

**abbreviation**  $(\text{input}) \text{write} :: (\text{heap.t} \Rightarrow \text{heap.write}) \Rightarrow \text{unit tso where}$

$\text{write } f \equiv \text{tso.action} \langle \langle \langle \{\} \rangle, [f s], s, s \rangle \mid s. \text{True} \rangle$

**lemma**  $\text{return-alt-def}$ :

**shows**  $tso.return\ v = tso.read\ \langle v \rangle$   
 $\langle proof \rangle$

**declare**  $tso.bind-def[code\ del]$   
**declare**  $tso.action-def[code\ del]$   
**declare**  $tso.return-def[code\ del]$   
**declare**  $tso.MFENCE-def[code\ del]$   
**declare**  $tso.parallel-def[code\ del]$   
**declare**  $tso.vmap-def[code\ del]$

$\langle ML \rangle$

**lemma**  $transfer[transfer-rule]$ :  
**shows**  $rel-fun\ (=)\ cr-tso\ raw.return\ tso.return$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $empty$ :  
**shows**  $bot: tso.action\ \perp = \perp$   
**and**  $tso.action\ (\lambda-. \{\}) = \perp$   
 $\langle proof \rangle$

**lemmas**  $monotone = raw.action.monotone[transferred]$   
**lemmas**  $strengthen[strg] = st-monotone[OF\ tso.action.monotone]$   
**lemmas**  $mono = monotoneD[OF\ tso.action.monotone]$   
**lemmas**  $mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF\ tso.action.monotone, simplified]$

**lemma**  $Sup$ :  
**shows**  $tso.action\ (\bigsqcup\ Fs) = \bigsqcup\ (tso.action\ \text{'}\ Fs)$   
 $\langle proof \rangle$

**lemmas**  $sup = tso.action.Sup[where\ Fs=\{F, G\}\ for\ F\ G, simplified]$

$\langle ML \rangle$

**lemmas**  $if-distrL = if-distrib[where\ f=\lambda f. tso.bind\ f\ g\ for\ g]$  — Jagadeesan et al. (2012, §5 (5))

**lemmas**  $mono = raw.bind.mono[transferred]$

**lemma**  $strengthen[strg]$ :  
**assumes**  $st-ord\ F\ f\ f'$   
**assumes**  $\bigwedge x. st-ord\ F\ (g\ x)\ (g'\ x)$   
**shows**  $st-ord\ F\ (tso.bind\ f\ g)\ (tso.bind\ f'\ g')$   
 $\langle proof \rangle$

**lemmas**  $mono2mono[cont-intro, partial-function-mono] = raw.bind.mono2mono[transferred]$

**lemma**  $bind$ : — Jagadeesan et al. (2012, §5 (2))  
**shows**  $f \ggg g \ggg h = tso.bind\ f\ (\lambda x. g\ x \ggg h)$   
 $\langle proof \rangle$

**lemma**  $return$ : — Jagadeesan et al. (2012, §5 (1))  
**shows**  $returnL: tso.return\ v \ggg g = g\ v$   
**and**  $returnR: f \ggg tso.return = f$   
 $\langle proof \rangle$

**lemma** *botL*:

**shows**  $tso.bind \perp g = \perp$

$\langle proof \rangle$

**lemma** *botR-le*:

**shows**  $tso.bind f \langle \perp \rangle \leq f$  (**is** *?thesis1*)

**and**  $tso.bind f \perp \leq f$  (**is** *?thesis2*)

$\langle proof \rangle$

**lemma**

**fixes**  $f :: - tso$

**fixes**  $f_1 :: - tso$

**shows** *supL*:  $(f_1 \sqcup f_2) \ggg g = (f_1 \ggg g) \sqcup (f_2 \ggg g)$

**and** *supR*:  $f \ggg (\lambda x. g_1 x \sqcup g_2 x) = (f \ggg g_1) \sqcup (f \ggg g_2)$

$\langle proof \rangle$

**lemma** *SUPL*:

**fixes**  $X :: - set$

**fixes**  $f :: - \Rightarrow - tso$

**shows**  $(\bigsqcup_{x \in X}. f x) \ggg g = (\bigsqcup_{x \in X}. f x \ggg g)$

$\langle proof \rangle$

**lemma** *SUPR*:

**fixes**  $X :: - set$

**fixes**  $f :: - tso$

**shows**  $f \ggg (\lambda v. \bigsqcup_{x \in X}. g x v) = (\bigsqcup_{x \in X}. f \ggg g x) \sqcup (f \ggg \perp)$

$\langle proof \rangle$

**lemma** *SupR*:

**fixes**  $X :: - set$

**fixes**  $f :: - tso$

**shows**  $f \gg (\bigsqcup X) = (\bigsqcup_{x \in X}. f \gg x) \sqcup (f \gg \perp)$

$\langle proof \rangle$

**lemma** *SUPR-not-empty*:

**fixes**  $f :: - tso$

**assumes**  $X \neq \{\}$

**shows**  $f \ggg (\lambda v. \bigsqcup_{x \in X}. g x v) = (\bigsqcup_{x \in X}. f \ggg g x)$

$\langle proof \rangle$

**lemma** *mcont2mcont[cont-intro]*:

**assumes** *mcont luba orda Sup* ( $\leq$ )  $f$

**assumes**  $\bigwedge v. mcont luba orda Sup$  ( $\leq$ )  $(\lambda x. g x v)$

**shows** *mcont luba orda Sup* ( $\leq$ )  $(\lambda x. tso.bind (f x) (g x))$

$\langle proof \rangle$

$\langle ML \rangle$

**lemma** *transfer[transfer-rule]*:

**shows** *rel-fun* ( $=$ ) *cr-tso raw.guard tso.guard*

$\langle proof \rangle$

**lemma** *bot*:

**shows**  $tso.guard \perp = \perp$

**and**  $tso.guard (\lambda - . False) = \perp$

$\langle proof \rangle$

**lemma** *top*:

**shows**  $tso.guard \top = tso.return ()$  (**is** ?thesis1)  
**and**  $tso.guard (\lambda-. \top) = tso.return ()$  (**is** ?thesis2)  
**and**  $tso.guard (\lambda-. True) = tso.return ()$  (**is** ?thesis3)  
⟨proof⟩

**lemma** *return-le*:  
**shows**  $tso.guard g \leq tso.return ()$   
⟨proof⟩

**lemma** *monotone*:  
**shows**  $mono\ tso.guard$   
⟨proof⟩

**lemmas**  $strengthen[stg] = st-monotone[OF\ tso.guard.monotone]$

**lemmas**  $mono = monotoneD[OF\ tso.guard.monotone]$

**lemmas**  $mono2mono[cont-intro, partial-function-mono] = monotone2monotone[OF\ tso.guard.monotone, simplified]$

**lemma** *less*: — Non-triviality  
**assumes**  $g < g'$   
**shows**  $tso.guard\ g < tso.guard\ g'$   
⟨proof⟩

⟨ML⟩

**lemma** *commute*: — Jagadeesan et al. (2012, §5 (3))  
**shows**  $tso.parallel\ P\ Q = tso.parallel\ Q\ P$   
⟨proof⟩

**lemma** *assoc*: — Jagadeesan et al. (2012, §5 (4))  
**shows**  $tso.parallel\ P\ (tso.parallel\ Q\ R) = tso.parallel\ (tso.parallel\ P\ Q)\ R$   
⟨proof⟩

**lemmas**  $mono = raw.parallel.mono[transferred]$

**lemma** *strengthen[stg]*:  
**assumes**  $st-ord\ F\ P\ P'$   
**assumes**  $st-ord\ F\ Q\ Q'$   
**shows**  $st-ord\ F\ (tso.parallel\ P\ Q)\ (tso.parallel\ P'\ Q')$   
⟨proof⟩

**lemma** *mono2mono[cont-intro, partial-function-mono]*:  
**assumes**  $monotone\ orda (\leq)\ F$   
**assumes**  $monotone\ orda (\leq)\ G$   
**shows**  $monotone\ orda (\leq)\ (\lambda f. tso.parallel\ (F\ f)\ (G\ f))$   
⟨proof⟩

**lemma** *bot*:  
**shows**  $parallel-botL: tso.parallel\ \perp\ f = tso.MFENCE \gg f \gg tso.MFENCE \gg \perp$  (**is** ?thesis1)  
**and**  $parallel-botR: tso.parallel\ f\ \perp = tso.MFENCE \gg f \gg tso.MFENCE \gg \perp$  (**is** ?thesis2)  
⟨proof⟩

**lemma** *return*: — Jagadeesan et al. (2012, unnumbered)  
**shows**  $returnL: tso.return () \parallel P = tso.MFENCE \gg P \gg tso.MFENCE$  (**is** ?thesis1)  
**and**  $returnR: P \parallel tso.return () = tso.MFENCE \gg P \gg tso.MFENCE$  (**is** ?thesis2)  
⟨proof⟩

**lemma** *Sup-not-empty*:

**fixes**  $X :: \text{unit tso set}$   
**assumes**  $X \neq \{\}$   
**shows** *SupL-not-empty*:  $\sqcup X \parallel Q = (\sqcup P \in X. P \parallel Q)$  (**is** *?thesis1*  $Q$ )  
**and** *SupR-not-empty*:  $P \parallel \sqcup X = (\sqcup Q \in X. P \parallel Q)$  (**is** *?thesis2*)  
 $\langle \text{proof} \rangle$

**lemma** *sup*:  
**fixes**  $P :: \text{unit tso}$   
**shows** *supL*:  $P \sqcup Q \parallel R = (P \parallel R) \sqcup (Q \parallel R)$   
**and** *supR*:  $P \parallel Q \sqcup R = (P \parallel Q) \sqcup (P \parallel R)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont[cont-intro]*:  
**assumes** *mcont luba orda Sup*  $(\leq) P$   
**assumes** *mcont luba orda Sup*  $(\leq) Q$   
**shows** *mcont luba orda Sup*  $(\leq) (\lambda x. \text{tso.parallel } (P \ x) \ (Q \ x))$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemmas** *MFENCE-MFENCE* = *raw.bind.MFENCE-MFENCE[transferred]*

$\langle ML \rangle$

**lemma** *monotone*:  
**shows** *mono*  $(\lambda t. \text{t2p}' \ t \ wb)$   
 $\langle \text{proof} \rangle$

**lemmas** *strengthen[strg]* = *st-monotone[OF tso.t2p'.monotone]*  
**lemmas** *mono* = *monotoneD[OF tso.t2p'.monotone]*

**lemmas** *action* = *tso.action.rep-eq*

**lemma** *return*:  
**shows**  $\text{t2p}' \ (\text{tso.return } v) = \text{raw.return } v$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**Combinators**  $\langle ML \rangle$

**abbreviation** *guardM* ::  $\text{bool} \Rightarrow \text{unit tso}$  **where**  
*guardM*  $b \equiv \text{if } b \text{ then } \perp \text{ else } \text{tso.return } ()$

**abbreviation** *unlessM* ::  $\text{bool} \Rightarrow \text{unit tso} \Rightarrow \text{unit tso}$  **where**  
*unlessM*  $b \ c \equiv \text{if } b \text{ then } \text{tso.return } () \text{ else } c$

**abbreviation** *whenM* ::  $\text{bool} \Rightarrow \text{unit tso} \Rightarrow \text{unit tso}$  **where**  
*whenM*  $b \ c \equiv \text{if } b \text{ then } c \text{ else } \text{tso.return } ()$

**definition** *app* ::  $(\text{'a} \Rightarrow \text{unit tso}) \Rightarrow \text{'a list} \Rightarrow \text{unit tso}$  **where** — Haskell's *mapM*-  
*app*  $f \ xs = \text{foldr } (\lambda x \ m. f \ x \gg m) \ xs \ (\text{tso.return } ())$

**primrec** *fold-mapM* ::  $(\text{'a} \Rightarrow \text{'b tso}) \Rightarrow \text{'a list} \Rightarrow \text{'b list tso}$  **where**  
*fold-mapM*  $f \ [] = \text{tso.return } []$   
 $| \text{fold-mapM } f \ (x \# \ xs) = \text{do } \{$   
 $\quad y \leftarrow f \ x;$   
 $\quad ys \leftarrow \text{fold-mapM } f \ \ xs;$   
 $\quad \text{tso.return } (y \# \ ys)$

}

— Jagadeesan et al. (2012, §5 (6) is *tso.while.simps*)

**partial-function** (*lfp*) *while* :: ('k ⇒ ('k + 'v) tso) ⇒ 'k ⇒ 'v tso **where**  
*while* c k = c k ≫ (λrv. case rv of Inl k' ⇒ while c k' | Inr v ⇒ tso.return v)

**abbreviation** (*input*) *while'* :: ((unit + 'v) tso) ⇒ 'v tso **where**  
*while'* c ≡ tso.while ⟨c⟩ ()

**definition** *raise* :: String.literal ⇒ 'v tso **where**  
*raise* s = ⊥

**definition** *assert* :: bool ⇒ unit tso **where**  
*assert* P = (if P then tso.return () else tso.raise STR "assert")

**declare** *tso.raise-def*[code del]

⟨ML⟩

**lemma** *bot*:

**shows** *tso.fold-mapM* ⊥ = (λxs. case xs of [] ⇒ tso.return [] | - ⇒ ⊥)  
⟨proof⟩

**lemma** *append*:

**shows** *tso.fold-mapM* f (xs @ ys) = *tso.fold-mapM* f xs ≫ (λxs. *tso.fold-mapM* f ys ≫ (λys. tso.return (xs @ ys)))  
⟨proof⟩

⟨ML⟩

**lemma** *bot*:

**shows** *tso.app* ⊥ = (λxs. case xs of [] ⇒ tso.return () | - ⇒ ⊥)  
**and** *tso.app* (λ-. ⊥) = (λxs. case xs of [] ⇒ tso.return () | - ⇒ ⊥)  
⟨proof⟩

**lemma** *Nil*:

**shows** *tso.app* f [] = tso.return ()  
⟨proof⟩

**lemma** *Cons*:

**shows** *tso.app* f (x # xs) = f x ≫ *tso.app* f xs  
⟨proof⟩

**lemmas** *simps* =

*tso.app.bot*  
*tso.app.Nil*  
*tso.app.Cons*

**lemma** *append*:

**shows** *tso.app* f (xs @ ys) = *tso.app* f xs ≫ *tso.app* f ys  
⟨proof⟩

**lemma** *monotone*:

**shows** *mono* (λf. *tso.app* f xs)  
⟨proof⟩

**lemmas** *strengthen*[strg] = *st-monotone*[OF *tso.app.monotone*]

**lemmas** *mono* = *monotoneD*[OF *tso.app.monotone*]

**lemmas** *mono2mono*[*cont-intro, partial-function-mono*] = *monotone2monotone*[*OF tso.app.monotone, simplified, of orda P for orda P*]

**lemma** *Sup-le*:

**shows**  $(\bigsqcup f \in X. \text{tso.app } f \text{ } xs) \leq \text{tso.app } (\bigsqcup X) \text{ } xs$   
 ⟨*proof*⟩

⟨*ML*⟩

## 27.1 References

Observe that allocation is global in this model. We allow the memory location to have an arbitrary value and enqueue the initialising write in the TSO buffer.

⟨*ML*⟩

**definition** *ref* ::  $'a::\text{heap.rep} \Rightarrow 'a \text{ ref } \text{tso}$  **where**

$\text{ref } v = \text{tso.action } (\lambda wb. \{(r, [\text{heap.Write } (\text{ref.addr-of } r) \ 0 \ (\text{heap.rep.to } v)], s, s') \mid r \ s \ s' \ v'. (r, s') \in \text{Ref.alloc } v' \ s\})$

**definition** *lookup* ::  $'a::\text{heap.rep}$  *ref*  $\Rightarrow 'a \text{ tso}$  (⟨!-⟩ 61) **where**

$\text{lookup } r = \text{tso.read } (\text{Ref.get } r)$

**definition** *update* ::  $'a \text{ ref} \Rightarrow 'a::\text{heap.rep} \Rightarrow \text{unit } \text{tso}$  (⟨- := -⟩ 62) **where**

$\text{update } r \ v = \text{tso.write } \langle \text{heap.Write } (\text{ref.addr-of } r) \ 0 \ (\text{heap.rep.to } v) \rangle$

**declare** *tso.Ref.ref-def*[*code del*]

**declare** *tso.Ref.lookup-def*[*code del*]

**declare** *tso.Ref.update-def*[*code del*]

⟨*ML*⟩

## 27.2 Inhabitation

In order to obtain compositional rules we need to make the write buffer explicit.

⟨*ML*⟩

**definition** *t2s* :: *write-buffer*  $\Rightarrow 'v \text{ tso} \Rightarrow (\text{sequential}, \text{heap.t}, 'v \times \text{write-buffer}) \text{ spec}$  **where**

$t2s \ wb \ P = \text{prog.p2s } (\text{tso.t2p}' \ P \ wb)$

⟨*ML*⟩

**lemma** *t2s-commit*:

**assumes**  $\langle \text{heap.apply-write } w \ s, \ xs, \ v \rangle \leq \text{tso.t2s } wb \ f$   
**shows**  $\langle s, (\text{self}, \text{heap.apply-write } w \ s) \ \# \ xs, \ v \rangle \leq \text{tso.t2s } (w \ \# \ wb) \ f$   
 ⟨*proof*⟩

⟨*ML*⟩

**lemma** *t2s-le*:

**shows**  $\text{spec.idle} \leq \text{tso.t2s } wb \ P$   
 ⟨*proof*⟩

⟨*ML*⟩

**lemmas** *minimal*[*iff*] = *order.trans*[*OF spec.idle.minimal-le spec.idle.tso.t2s-le*]

⟨*ML*⟩

**lemma** *t2s-le*:

**shows**  $\text{spec.rel } (\{env\} \times UNIV) \ggg (\lambda::unit. \text{tso.t2s } wb \ P) \leq \text{tso.t2s } wb \ P$   
*<proof>*

*<ML>*

**lemma** *t2p[prog.p2s.simps]*:

**shows**  $\text{prog.p2s } (\text{tso.t2p } P)$   
 $= \text{tso.t2s } [] \ P \ggg (\lambda vwb. \text{prog.p2s } (\text{raw.MFENCE } (\text{snd } vwb) \gg \text{prog.return } (\text{fst } vwb)))$   
*<proof>*

*<ML>*

**lemma** *bind*:

**shows**  $\text{tso.t2s } wb \ (f \ggg g) = \text{tso.t2s } wb \ f \ggg (\lambda x. \text{tso.t2s } (\text{snd } x) \ (g \ (\text{fst } x)))$   
*<proof>*

**lemma** *parallel*:

**shows**  $\text{tso.t2s } [] \ (P \parallel Q) = \text{prog.p2s } ((\text{tso.t2p } P \parallel \text{tso.t2p } Q) \gg \text{prog.return } ((), []))$   
*<proof>*

**lemma** *return*:

**shows**  $\text{tso.t2s } [] \ (\text{tso.return } v) = \text{prog.p2s } (\text{prog.return } (v, []))$   
*<proof>*

*<ML>*

**Inhabitation rules.** *<ML>*

**lemma** *bind*:

**assumes**  $\text{tso.t2s } wb \ f \ -s, \ xs \rightarrow \text{tso.t2s } wb' \ f'$   
**shows**  $\text{tso.t2s } wb \ (f \ggg g) \ -s, \ xs \rightarrow \text{tso.t2s } wb' \ (f' \ggg g)$   
*<proof>*

**lemma** *commit*:

**shows**  $\text{tso.t2s } (w \ \# \ wb) \ f \ -s, \ [(self, \text{heap.apply-write } w \ s)] \rightarrow \text{tso.t2s } wb \ f$   
*<proof>*

*<ML>*

**lemma** *ref*:

**fixes**  $r :: 'a::\text{heap.rep } ref$   
**fixes**  $s :: \text{heap.t}$   
**fixes**  $v :: 'a$   
**fixes**  $v' :: 'a$   
**assumes**  $\neg \text{heap.present } r \ s$   
**shows**  $\text{tso.t2s } wb \ (\text{tso.Ref.ref } v)$   
 $\ -s, \ [(self, \text{Ref.set } r \ v' \ s)] \rightarrow$   
 $\ \text{tso.t2s } (wb \ @ \ [\text{heap.Write } (\text{ref.addr-of } r) \ 0 \ (\text{heap.rep.to } v)]) \ (\text{tso.return } r) \ (\text{is } ?lhs \ -s, \ ?step \rightarrow \ ?rhs)$   
*<proof>*

**lemma** *lookup*:

**fixes**  $r :: 'a::\text{heap.rep } ref$   
**shows**  $\text{tso.t2s } wb \ (!r) \ -s, \ [] \rightarrow \text{tso.t2s } wb \ (\text{tso.return } (\text{Ref.get } r \ (\text{apply-writes } wb \ s)))$   
*<proof>*

**lemma** *update*:

```

fixes  $r :: 'a::\text{heap.rep } \text{ref}$ 
shows  $\text{tso.t2s } \text{wb } (r := v)$ 
   $-s, [] \rightarrow$ 
   $\text{tso.t2s } (\text{wb } @ [\text{heap.Write } (\text{ref.addr-of } r) 0 (\text{heap.rep.to } v)]) (\text{tso.return } ())$ 
<proof>
<ML>

```

```

lemmas  $\text{bind}' = \text{inhabits.trans}[OF \text{inhabits.tso.bind}]$ 
lemmas  $\text{commit}' = \text{inhabits.trans}[OF \text{inhabits.tso.commit}]$ 
<ML>

```

### 27.3 Code generator setup for TSO

The following is only sound if the generated code runs on a machine with a TSO memory model such as:

- x86
- x86 code running on macOS under Rosetta 2 (ask Google)

Notes:

- Haskell: GHC exposes unfenced operations for references and some kinds of arrays
  - GHC has a zoo of arrays; for now we use the general but inefficient boxed array type
- SML: Poly/ML appears to have committed to release/acquire (see email with subject “Git master update: ARM64, PIE and new bootstrap process”)
  - on x86 this is TSO
- Scala: beyond the scope of this work

TODO:

- support a CAS-like operation
  - Haskell: <https://stackoverflow.com/questions/10102881/haskell-how-does-atomicmodifyio-ref-work>

#### 27.3.1 Haskell

Adaption layer

**code-printing code-module**  $\text{TSOHeap} \rightarrow (\text{Haskell})$

```

<
module TSOHeap (
  TSO
  , IORef, newIORef, readIORef, writeIORef
  , Array, newArray, newListArray, newFunArray, lengthArray, readArray, writeArray
  , parallel
) where

import Control.Concurrent (forkIO)
import qualified Control.Concurrent.MVar as MVar
import qualified Data.Array.IO as Array -- FIXME boxed, contemplate the menagerie of other arrays; perhaps
type families might help here
import Data.IORef (IORef, newIORef, readIORef, writeIORef)
import Data.List (genericLength)

```

```

type TSO a = IO a
type Array a = Array.IOArray Integer a
type Ref a = Data.IORef.IORef a

writeIORef :: IORef a -> a -> IO ()
writeIORef = writeIORef -- FIXME strict variant?

newArray :: Integer -> a -> IO (Array a)
newArray k = Array.newArray (0, k - 1)

newListArray :: [a] -> IO (Array a)
newListArray xs = Array.newListArray (0, genericLength xs - 1) xs

newFunArray :: Integer -> (Integer -> a) -> IO (Array a)
newFunArray k f = Array.newListArray (0, k - 1) (map f [0..k-1])

lengthArray :: Array a -> IO Integer
lengthArray a = Array.getBounds a >>= return . (\(-, l) -> l + 1)

readArray :: Array a -> Integer -> IO a
readArray = Array.readArray

writeArray :: Array a -> Integer -> a -> IO ()
writeArray = Array.writeArray

-- note we don't want forkFinally as we don't model exceptions
parallel :: IO () -> IO () -> IO ()
parallel p q = do
  mvar <- MVar.newEmptyMVar
  forkIO (p >> MVar.putMVar mvar ()) -- FIXME putMVar is lazy
  b <- q
  a <- MVar.takeMVar mvar
  return ()

```

**code-reserved** (*Haskell*) *TSOHeap*

Monad

**code-printing type-constructor** *tso*  $\rightarrow$  (*Haskell*) *TSOHeap.TSO* -

**code-monad** *tso.bind Haskell*

**code-printing constant** *tso.return*  $\rightarrow$  (*Haskell*) *return*

**code-printing constant** *tso.raise*  $\rightarrow$  (*Haskell*) *error*

**code-printing constant** *tso.parallel*  $\rightarrow$  (*Haskell*) *TSOHeap.parallel*

Intermediate operation avoids invariance problem in *Scala* (similar to value restriction)

$\langle ML \rangle$

**definition** *ref'* **where**

[*code del*]: *ref'* = *tso.Ref.ref*

**lemma** [*code*]:

*tso.Ref.ref* *x* = *tso.Ref.ref'* *x*

$\langle proof \rangle$

$\langle ML \rangle$

Haskell

**code-printing type-constructor**  $ref \rightarrow (Haskell) \text{ TSOHeap.Ref}$  -  
**code-printing constant**  $Ref \rightarrow (Haskell) \text{ error/ bare Ref}$   
**code-printing constant**  $tso.Ref.ref' \rightarrow (Haskell) \text{ TSOHeap.newIORef}$   
**code-printing constant**  $tso.Ref.lookup \rightarrow (Haskell) \text{ TSOHeap.readIORef}$   
**code-printing constant**  $tso.Ref.update \rightarrow (Haskell) \text{ TSOHeap.writeIORef}$   
**code-printing constant**  $HOL.equal :: 'a \text{ ref} \Rightarrow 'a \text{ ref} \Rightarrow \text{bool} \rightarrow (Haskell) \text{ infix } 4 ==$   
**code-printing class-instance**  $ref :: HOL.equal \rightarrow (Haskell) -$

## 27.4 A TSO litmus test

The classic TSO litmus test Owens et al. (2009, §1): write buffering allows both threads to read zero, which is impossible under sequential consistency.

**definition**  $iwp2-3-a :: (nat \times nat) \text{ tso where}$

```

iwp2-3-a = do {
  x ← tso.Ref.ref 0
; y ← tso.Ref.ref 0
; xvr ← tso.Ref.ref 0
; yvr ← tso.Ref.ref 0
; ( ( do { x := 1 ; yv ← !y ; yvr := yv } )
  || ( do { y := 1 ; xv ← !x ; xvr := xv } ) )
; xv <- !xvr
; yv <- !yvr
; tso.return (xv, yv)
}

```

**code-thms**  $iwp2-3-a$

**export-code**  $iwp2-3-a$  in  $Haskell$

**schematic-goal**  $iwp2-3-a$ : — “Can terminate with both threads reading 0”

**shows**  $\langle \text{heap.empty, ?xs, Some } (0, 0) \rangle \leq \text{prog.p2s } (tso.t2p \text{ } iwp2-3-a)$

$\langle \text{proof} \rangle$

**thm**  $iwp2-3-a[\text{simplified apply-writes-def, simplified}]$

## 28 Floyd-Warshall all-pairs shortest paths

The Floyd-Warshall algorithm computes the lengths of the shortest paths between all pairs of nodes by updating an adjacency (square) matrix that represents the edge weights. Our goal here is to present it at a very abstract level to exhibit the data dependencies.

Source materials:

- [https://en.wikipedia.org/wiki/Floyd%E2%80%93Warshall\\_algorithm](https://en.wikipedia.org/wiki/Floyd%E2%80%93Warshall_algorithm)
- \$AFP/Floyd\_Warshall/Floyd\_Warshall.thy
  - a proof by refinement yielding a thorough correctness result including negative weights but not the absence of edges
- Dingel (2002, §6.2)
  - Overly parallelised, which is not practically useful but does reveal the data dependencies
  - the refinement is pretty much the same as the direct partial correctness proof here
  - the equivalent to  $fw\text{-update}$  is a single expression

We are not very ambitious here. This theory:

- does not track the actual shortest paths here but it is easy to add another array to do so
- ignores numeric concerns
- assumes the graph is complete

A further step would be to refine the parallel program to the classic three-loop presentation.

**definition** *fw-update* :: ('i::Ix × 'i, nat) array ⇒ 'i × 'i ⇒ 'i ⇒ unit imp **where**

```
fw-update = (λa (i, j) k. do {
  ij ← prog.Array.nth a (i, j);
  ik ← prog.Array.nth a (i, k);
  kj ← prog.Array.nth a (k, j);
  prog.whenM (ik + kj < ij) (prog.Array.upd a (i, j) (ik + kj))
})
```

— top-level specification: we can process the nodes in an arbitrary order

**definition** *fw-chaotic* :: ('i::Ix × 'i, nat) array ⇒ unit imp **where**

```
fw-chaotic a =
  (let b = array.bounds a in
  prog.Array.fst-app-chaotic b (λk. ||(i, j)∈set (Ix.interval b). fw-update a (i, j) k))
```

— executable version

**definition** *fw* :: ('i::Ix × 'i, nat) array ⇒ unit imp **where**

```
fw a =
  (let b = array.bounds a in
  prog.Array.fst-app b (λk. ||(i, j)∈set (Ix.interval b). fw-update a (i, j) k))
```

**lemma** *fw-fw-chaotic-le*: — the executable program refines the specification

**shows** *fw a ≤ fw-chaotic a*

⟨proof⟩

**Safety proof type-synonym** 'i matrix = 'i × 'i ⇒ nat

— The weight of the given path

**fun** *path-weight* :: 'i matrix ⇒ 'i × 'i ⇒ 'i list ⇒ nat **where**

```
path-weight m ij [] = m ij
| path-weight m ij (k # xs) = m (fst ij, k) + path-weight m (k, snd ij) xs
```

— The set of acyclic paths from *i* to *j* using the nodes *ks*

**definition** *paths* :: 'i × 'i ⇒ 'i set ⇒ 'i list set **where**

```
paths ij ks = {p. set p ⊆ ks ∧ fst ij ∉ set p ∧ snd ij ∉ set p ∧ distinct p}
```

— The minimum weight of a path from *i* to *j* using the nodes *ks*. See \$AFP/Floyd\_Warshall/Floyd\_Warshall.thy for proof that these are minimal amongst all paths.

**definition** *min-path-weight* :: 'i matrix ⇒ 'i × 'i ⇒ 'i set ⇒ nat **where**

```
min-path-weight m ij ks = Min (path-weight m ij ` paths ij ks)
```

**context**

**fixes** *a* :: ('i::Ix × 'i, nat) array

**fixes** *m* :: 'i matrix

**begin**

**definition** *fw-p-inv* :: 'i × 'i ⇒ 'i set ⇒ heap.t pred **where** — process invariant

```
fw-p-inv ij ks = (heap.rep-inv a ∧ Array.get a ij = ⟨min-path-weight m ij ks⟩)
```

**definition** *fw-inv* :: 'i set ⇒ heap.t pred **where** — loop invariant

```
fw-inv ks = (∀ ij. ⟨ij∈set (Array.interval a)⟩ ⟶ fw-p-inv ij ks)
```

**definition** *fw-pre* :: *heap.t pred* **where** — overall precondition  
*fw-pre* = ( $\langle \text{Array.square } a \rangle \wedge \text{heap.rep-inv } a$   
 $\wedge (\forall ij. \langle ij \in \text{set } (\text{Array.interval } a) \rangle \longrightarrow \text{Array.get } a \text{ } ij = \langle m \text{ } ij \rangle)$ )

**definition** *fw-post* :: *unit*  $\Rightarrow$  *heap.t pred* **where** — overall postcondition  
*fw-post* = *fw-inv* (*set* (*ix.interval* (*fst.bounds* (*array.bounds* *a*))))

**end**

$\langle ML \rangle$

**lemma** *I*:

**assumes** *set*  $p \subseteq ks$   
**assumes**  $i \notin \text{set } p$   
**assumes**  $j \notin \text{set } p$   
**assumes** *distinct* *p*  
**shows**  $p \in \text{paths } (i, j) \text{ } ks$   
 $\langle \text{proof} \rangle$

**lemma** *Nil*:

**shows**  $\square \in \text{paths } ij \text{ } ks$   
 $\langle \text{proof} \rangle$

**lemma** *empty*:

**shows**  $\text{paths } ij \text{ } \{\} = \{\square\}$   
 $\langle \text{proof} \rangle$

**lemma** *not-empty*:

**shows**  $\text{paths } ij \text{ } ks \neq \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *monotone*:

**shows** *mono* (*paths* *ij*)  
 $\langle \text{proof} \rangle$

**lemmas** *mono* = *monoD*[*OF paths.monotone*]

**lemmas** *strengthen*[*strg*] = *st-monotone*[*OF paths.monotone*]

**lemma** *finite*:

**assumes** *finite* *ks*  
**shows** *finite* (*paths* *ij* *ks*)  
 $\langle \text{proof} \rangle$

**lemma** *unused*:

**assumes**  $p \in \text{paths } ij \text{ } (\text{insert } k \text{ } ks)$   
**assumes**  $k \notin \text{set } p$   
**shows**  $p \in \text{paths } ij \text{ } ks$   
 $\langle \text{proof} \rangle$

**lemma** *decompE*:

**assumes**  $p \in \text{paths } (i, j) \text{ } (\text{insert } k \text{ } ks)$   
**assumes**  $k \in \text{set } p$   
**obtains** *r* *s*  
**where**  $p = r @ k \# s$   
**and**  $r \in \text{paths } (i, k) \text{ } ks$  **and**  $s \in \text{paths } (k, j) \text{ } ks$   
**and** *distinct* ( $r @ s$ ) **and**  $i \notin \text{set } (r @ k \# s)$  **and**  $j \notin \text{set } (r @ k \# s)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**lemma** *append*:

**shows**  $path\text{-}weight\ m\ ij\ (xs\ @\ y\ \#\ ys) = path\text{-}weight\ m\ (fst\ ij,\ y)\ xs + path\text{-}weight\ m\ (y,\ snd\ ij)\ ys$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemmas**  $min\text{-}path\text{-}weightI = trans[OF\ min\text{-}path\text{-}weight\text{-}def\ Min\text{-}eqI]$

$\langle ML \rangle$

**lemma** *fw-update*:

**assumes**  $m: min\text{-}path\text{-}weight\ m\ (i,\ k)\ ks + min\text{-}path\text{-}weight\ m\ (k,\ j)\ ks < min\text{-}path\text{-}weight\ m\ (i,\ j)\ ks$   
**assumes** *finite ks*  
**shows**  $min\text{-}path\text{-}weight\ m\ (i,\ j)\ (insert\ k\ ks)$   
 $= min\text{-}path\text{-}weight\ m\ (i,\ k)\ ks + min\text{-}path\text{-}weight\ m\ (k,\ j)\ ks$  (**is**  $?lhs = ?rhs$ )  
 $\langle proof \rangle$

**lemma** *return*:

**assumes**  $m: \neg(min\text{-}path\text{-}weight\ m\ (i,\ k)\ ks + min\text{-}path\text{-}weight\ m\ (k,\ j)\ ks < min\text{-}path\text{-}weight\ m\ (i,\ j)\ ks)$   
**assumes** *finite ks*  
**shows**  $min\text{-}path\text{-}weight\ m\ (i,\ j)\ (insert\ k\ ks) = min\text{-}path\text{-}weight\ m\ (i,\ j)\ ks$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *Id-on-fw-inv*:

**shows**  $stable\ heap.Id_{\{a\}}\ (fw\text{-}inv\ a\ m\ ys)$   
 $\langle proof \rangle$

**lemma** *Id-on-fw-p-inv*:

**shows**  $stable\ heap.Id_{\{a\}}\ (fw\text{-}p\text{-}inv\ a\ m\ ij\ ks)$   
 $\langle proof \rangle$

**lemma** *modifies-fw-p-inv*:

**assumes**  $ij \in set\ (Array.\text{interval}\ a) - is$   
**shows**  $stable\ Array.\text{modifies}_{a,\ is}\ (fw\text{-}p\text{-}inv\ a\ m\ ij\ ks)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma** *fw-p-inv-cong*:

**assumes**  $a = a'$   
**assumes**  $m = m'$   
**assumes**  $ij = ij'$   
**assumes**  $ks = ks'$   
**assumes**  $s\ (heap.\text{addr-of}\ a) = s'\ (heap.\text{addr-of}\ a')$   
**shows**  $fw\text{-}p\text{-}inv\ a\ m\ ij\ ks\ s = fw\text{-}p\text{-}inv\ a'\ m'\ ij'\ ks'\ s'$   
 $\langle proof \rangle$

**lemma** *fw-p-invD*:

**assumes**  $fw\text{-}p\text{-}inv\ a\ m\ ij\ ks\ s$   
**shows**  $heap.\text{rep-inv}\ a\ s$   
**and**  $Array.\text{get}\ a\ ij\ s = min\text{-}path\text{-}weight\ m\ ij\ ks$   
 $\langle proof \rangle$

**lemma** *fw-p-inv-fw-update*:

**assumes** *finite ks*  
**assumes**  $ij \in \text{set } (\text{Array.interval } a)$   
**assumes**  $\text{fw-p-inv } a \ m \ ij \ ks \ s$   
**assumes**  $\text{min-path-weight } m \ (\text{fst } ij, k) \ ks + \text{min-path-weight } m \ (k, \text{snd } ij) \ ks < \text{min-path-weight } m \ ij \ ks$   
**shows**  $\text{fw-p-inv } a \ m \ ij \ (\text{insert } k \ ks) \ (\text{Array.set } a \ ij \ (\text{min-path-weight } m \ (\text{fst } ij, k) \ ks + \text{min-path-weight } m \ (k, \text{snd } ij) \ ks) \ s)$   
 <proof>

**lemma** *fw-p-inv-return:*

**assumes** *finite ks*  
**assumes**  $\text{fw-p-inv } a \ m \ ij \ ks \ s$   
**assumes**  $\neg(\text{min-path-weight } m \ (\text{fst } ij, k) \ ks + \text{min-path-weight } m \ (k, \text{snd } ij) \ ks < \text{min-path-weight } m \ ij \ ks)$   
**shows**  $\text{fw-p-inv } a \ m \ ij \ (\text{insert } k \ ks) \ s$   
 <proof>

<ML>

Dingel (2000, p109) key intuition: when processing index  $k$ , neither  $a[i, k]$  and  $a[k, j]$  change.

- his argument is bogus: it is enough to observe that shortest paths never get shorter by adding edges
- he unnecessarily assumes that  $\delta(i, i) = 0$  for all  $i$

**lemma** *fw-update:*

**assumes**  $\text{insert } k \ ks \subseteq \text{set } (\text{Ix.interval } (\text{fst-bounds } (\text{array.bounds } a)))$   
**assumes**  $\text{Array.square } a$   
**assumes**  $ij: ij \in \text{set } (\text{Array.interval } a)$   
**defines**  $\bigwedge ij. G \ ij \equiv \text{Array.modifies}_a, \{ij \mid \text{unit. } k \notin \{\text{fst } ij, \text{snd } ij\}\}$   
**defines**  $A \equiv \text{heap.Id}_{\{a\}} \cup \bigcup (G \ ' \ (\text{set } (\text{Array.interval } a) - \{ij\}))$   
**shows**  $\text{prog.p2s } (\text{fw-update } a \ ij \ k)$   
 $\leq \{\text{fw-p-inv } a \ m \ ij \ ks \wedge \text{fw-p-inv } a \ m \ (\text{fst } ij, k) \ ks \wedge \text{fw-p-inv } a \ m \ (k, \text{snd } ij) \ ks\}, A$   
 $\vdash G \ ij, \{\lambda-. \text{fw-p-inv } a \ m \ ij \ (\text{insert } k \ ks)\}$

<proof>

**lemma** *fw-chaotic:*

**fixes**  $a :: ('i::Ix \times 'i, \text{nat}) \text{ array}$   
**fixes**  $m :: 'i \text{ matrix}$   
**shows**  $\text{prog.p2s } (\text{fw-chaotic } a) \leq \{\text{fw-pre } a \ m\}, \text{heap.Id}_{\{a\}} \vdash \text{heap.modifies}_{\{a\}}, \{\text{fw-post } a \ m\}$

<proof>

<ML>

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