

# Complex Bounded Operators\*

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## Abstract

We present a formalization of bounded operators on complex vector spaces. Our formalization contains material on complex vector spaces (normed spaces, Banach spaces, Hilbert spaces) that complements and goes beyond the developments of real vectors spaces in the Isabelle/HOL standard library. We define the type of bounded operators between complex vector spaces (*cblinfun*) and develop the theory of unitaries, projectors, extension of bounded linear functions (BLT theorem), adjoints, Loewner order, closed subspaces and more. For the finite-dimensional case, we provide code generation support by identifying finite-dimensional operators with matrices as formalized in the *Jordan\_Normal\_Form* AFP entry.

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Theories whose names end with  $0$  are complex analogues of the similarly named theories concerning real vector spaces in the Isabelle/HOL standard library. They are kept in sync with their real counterparts. The theories without  $0$  contain material that goes beyond the material in the Isabelle/HOL standard library. This separation allows to keep the material in sync more easily when the Isabelle/HOL standard library is updated.

## 1 *Extra-Pretty-Code-Examples* – Setup for nicer output of *value*

```

theory Extra-Pretty-Code-Examples
  imports
    HOL-Examples.Sqrt
    Real-Impl.Real-Impl
    HOL-Library.Code-Target-Numeral
    Jordan-Normal-Form.Matrix-Impl
begin

```

Some setup that makes the output of the *value* command more readable if matrices and complex numbers are involved.

It is not recommended to import this theory in theories that get included in actual developments (because of the changes to the code generation setup).

It is meant for inclusion in example theories only.

```

lemma two-sqrt-irrat[simp]:  $2 \in \text{sqrt-irrat}$ 
  using sqrt-prime-irrational[OF two-is-prime-nat]
  unfolding Rats-def sqrt-irrat-def image-def apply auto
proof –
  fix  $p :: \text{rat}$ 
  assume  $p * p = 2$ 
  hence  $f1: (\text{Ratreal } p)^2 = \text{real } 2$ 

```

by (metis Ratreal-def of-nat-numeral of-rat-numeral-eq power2-eq-square real-times-code)  
 have  $\forall r. \text{ if } 0 \leq r \text{ then } \text{sqrt } (r^2) = r \text{ else } r + \text{sqrt } (r^2) = 0$   
 by simp  
 hence f2:  $\text{Ratreal } p + \text{sqrt } ((\text{Ratreal } p)^2) = 0$   
 using f1 by (metis Ratreal-def Rats-def ‹sqrt (real 2)  $\notin \mathbb{Q}$ › range-eqI)  
 have f3:  $\text{sqrt } (\text{real } 2) + -1 * \text{sqrt } ((\text{Ratreal } p)^2) \leq 0$   
 using f1 by fastforce  
 have f4:  $0 \leq \text{sqrt } (\text{real } 2) + -1 * \text{sqrt } ((\text{Ratreal } p)^2)$   
 using f1 by force  
 have f5:  $(-1 * \text{sqrt } (\text{real } 2) = \text{real-of-rat } p) = (\text{sqrt } (\text{real } 2) + \text{real-of-rat } p = 0)$   
 by linarith  
 have  $\forall x0. - (x0::\text{real}) = -1 * x0$   
 by auto  
 hence  $\text{sqrt } (\text{real } 2) + \text{real-of-rat } p \neq 0$   
 using f5 by (metis (no-types) Rats-def Rats-minus-iff ‹sqrt (real 2)  $\notin \mathbb{Q}$ › range-eqI)  
 thus False  
 using f4 f3 f2 by simp  
 qed

**lemma** *complex-number-code-post*[code-post]:  
 shows *Complex*  $a \ 0 = \text{complex-of-real } a$   
 and *complex-of-real*  $0 = 0$   
 and *complex-of-real*  $1 = 1$   
 and *complex-of-real*  $(a/b) = \text{complex-of-real } a / \text{complex-of-real } b$   
 and *complex-of-real*  $(\text{numeral } n) = \text{numeral } n$   
 and *complex-of-real*  $(-r) = - \text{complex-of-real } r$   
 using *complex-eq-cancel-iff2* by auto

**lemma** *real-number-code-post*[code-post]:  
 shows *real-of*  $(\text{Abs-mini-alg } (p, 0, b)) = \text{real-of-rat } p$   
 and *real-of*  $(\text{Abs-mini-alg } (p, q, 2)) = \text{real-of-rat } p + \text{real-of-rat } q * \text{sqrt } 2$   
 and *sqrt*  $0 = 0$   
 and *sqrt*  $(\text{real } 0) = 0$   
 and  $x * (0::\text{real}) = 0$   
 and  $(0::\text{real}) * x = 0$   
 and  $(0::\text{real}) + x = x$   
 and  $x + (0::\text{real}) = x$   
 and  $(1::\text{real}) * x = x$   
 and  $x * (1::\text{real}) = x$   
 by (auto simp add: eq-onp-same-args real-of.abs-eq)

**translations**  $x \leftarrow \text{CONST } I\text{Array } x$

end

## 2 *Extra-General* – General missing things

**theory** *Extra-General*

**imports**

*HOL-Library.Cardinality*  
*HOL-Analysis.Elementary-Topology*  
*HOL-Analysis.Uniform-Limit*  
*HOL-Library.Set-Algebras*  
*HOL-Types-To-Sets.Types-To-Sets*  
*HOL-Library.Complex-Order*  
*HOL-Analysis.Infinite-Sum*  
*HOL-Cardinals.Cardinals*  
*HOL-Library.Complemented-Lattices*  
*HOL-Analysis.Abstract-Topological-Spaces*

**begin**

### 2.1 Misc

**lemma** *reals-zero-comparable*:

**fixes**  $x::\text{complex}$

**assumes**  $x \in \mathbb{R}$

**shows**  $x \leq 0 \vee x \geq 0$

**using** *assms* **unfolding** *complex-is-real-iff-compare0* **by** *assumption*

**lemma** *unique-choice*:  $\forall x. \exists! y. Q\ x\ y \implies \exists! f. \forall x. Q\ x\ (f\ x)$

**apply** (*auto intro! choice ext*) **by** *metis*

**lemma** *image-set-plus*:

**assumes**  $\langle \text{linear } U \rangle$

**shows**  $\langle U\ ` (A + B) = U\ ` A + U\ ` B \rangle$

**unfolding** *image-def set-plus-def*

**using** *assms* **by** (*force simp linear-add*)

**consts** *heterogenous-identity* ::  $\langle 'a \Rightarrow 'b \rangle$

**overloading** *heterogenous-identity-id*  $\equiv$  *heterogenous-identity* ::  $\langle 'a \Rightarrow 'a \rangle$  **begin**

**definition** *heterogenous-identity-def*[*simp*]:  $\langle \text{heterogenous-identity-id} = \text{id} \rangle$

**end**

**lemma** *L2-set-mono2*:

**assumes** *a1*: *finite* *L* **and** *a2*:  $K \leq L$

**shows**  $L2\text{-set } f\ K \leq L2\text{-set } f\ L$

**proof**–

**have**  $(\sum i \in K. (f\ i)^2) \leq (\sum i \in L. (f\ i)^2)$

**apply** (*rule sum-mono2*)

**using** *assms* **by** *auto*

**hence**  $\text{sqrt } (\sum i \in K. (f\ i)^2) \leq \text{sqrt } (\sum i \in L. (f\ i)^2)$

**by** (*rule real-sqrt-le-mono*)

```

thus ?thesis
  unfolding L2-set-def.
qed

lemma Sup-real-close:
  fixes e :: real
  assumes 0 < e
    and S: bdd-above S S ≠ {}
  shows ∃ x ∈ S. Sup S - e < x
proof -
  have ⟨Sup (ereal ‘ S) ≠ ∞⟩
    by (metis assms(2) bdd-above-def ereal-less-eq(3) less-SUP-iff less-ereal.simps(4)
not-le)
  moreover have ⟨Sup (ereal ‘ S) ≠ -∞⟩
    by (simp add: SUP-eq-iff assms(3))
  ultimately have Sup-bdd: ⟨|Sup (ereal ‘ S)| ≠ ∞⟩
    by auto
  then have ⟨∃ x' ∈ ereal ‘ S. Sup (ereal ‘ S) - ereal e < x'⟩
    apply (rule-tac Sup-ereal-close)
    using assms by auto
  then obtain x where ⟨x ∈ S⟩ and Sup-x: ⟨Sup (ereal ‘ S) - ereal e < ereal x⟩
    by auto
  have ⟨Sup (ereal ‘ S) = ereal (Sup S)⟩
    using Sup-bdd by (rule ereal-Sup[symmetric])
  with Sup-x have ⟨ereal (Sup S - e) < ereal x⟩
    by auto
  then have ⟨Sup S - e < x⟩
    by auto
  with ⟨x ∈ S⟩ show ?thesis
    by auto
qed

```

Improved version of *internalize-sort*: It is not necessary to specify the sort of the type variable.

```

attribute-setup internalize-sort' = ⟨let
fun find-tvar thm v = let
  val tvs = Term.add-tvars (Thm.prop-of thm) []
  val tv = case find-first (fn (n,sort) => n=v) tvs of
    SOME tv => tv | NONE => raise THM (Type variable ^
string-of-indexname v ^ not found, 0, [thm])
in
  TVar tv
end

fun internalize-sort-attr (tvar:indexname) =
  Thm.rule-attribute [] (fn context => fn thm =>
    (snd (Internalize-Sort.internalize-sort (Thm.ctyp-of (Context.proof-of context)
(find-tvar thm tvar)) thm)));
in

```

```

  Scan.lift Args.var >> internalize-sort-attr
end
internalize a sort

```

```

lemma card-prod-omega:  $\langle X *_{\mathbb{C}} \text{natLeq} =_o X \rangle$  if  $\langle \text{Cinfinite } X \rangle$ 
by (simp add: Cinfinite-Cnotzero cprod-infinite1 'natLeq-Card-order natLeq-cinfinite
natLeq-ordLeq-cinfinite that)

```

```

lemma countable-leq-natLeq:  $\langle |X| \leq_o \text{natLeq} \rangle$  if  $\langle \text{countable } X \rangle$ 
using subset-range-from-nat-into[OF that]
by (meson card-of-nat ordIso-iff-ordLeq ordLeq-transitive surj-imp-ordLeq)

```

```

lemma set-Times-plus-distrib:  $\langle (A \times B) + (C \times D) = (A + C) \times (B + D) \rangle$ 
by (auto simp: Sigma-def set-plus-def)

```

```

definition (in order)  $\langle \text{is-Sup } X \ s \longleftrightarrow (\forall x \in X. x \leq s) \wedge (\forall y. (\forall x \in X. x \leq y) \longrightarrow s \leq y) \rangle$ 

```

```

definition (in order)  $\langle \text{has-Sup } X \longleftrightarrow (\exists s. \text{is-Sup } X \ s) \rangle$ 

```

```

lemma (in order) is-SupI:
  assumes  $\langle \bigwedge x. x \in X \implies x \leq s \rangle$ 
  assumes  $\langle \bigwedge y. (\bigwedge x. x \in X \implies x \leq y) \implies s \leq y \rangle$ 
  shows  $\langle \text{is-Sup } X \ s \rangle$ 
  using assms by (auto simp add: is-Sup-def)

```

```

lemma is-Sup-approx-below:
  fixes b ::  $\langle 'a::\text{linordered-ab-group-add} \rangle$ 
  assumes  $\langle \text{is-Sup } A \ b \rangle$ 
  assumes  $\langle \varepsilon > 0 \rangle$ 
  shows  $\langle \exists x \in A. b - \varepsilon \leq x \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg (\exists x \in A. b - \varepsilon \leq x) \rangle$ 
  then have  $\langle b - \varepsilon \geq x \rangle$  if  $\langle x \in A \rangle$  for x
    using that by auto
  with assms
  have  $\langle b \leq b - \varepsilon \rangle$ 
    by (simp add: is-Sup-def)
  with  $\langle \varepsilon > 0 \rangle$ 
  show False
    by simp
qed

```

## 2.2 Not singleton

```

class not-singleton =
  assumes not-singleton-card:  $\exists x \ y. x \neq y$ 

```

```

lemma not-singleton-existence[simp]:
   $\langle \exists x::('a::\text{not-singleton}). x \neq t \rangle$ 

```

```

using not-singleton-card[where ?'a = 'a] by (metis (full-types))

lemma not-not-singleton-zero:
  ⟨x = 0⟩ if ⟨¬ class.not-singleton TYPE('a)⟩ for x :: ⟨'a::zero⟩
  using that unfolding class.not-singleton-def by auto

lemma UNIV-not-singleton[simp]: (UNIV::not-singleton set) ≠ {x}
  using not-singleton-existence[of x] by blast

lemma UNIV-not-singleton-converse:
  assumes ∧x::'a. UNIV ≠ {x}
  shows ∃x::'a. ∃y. x ≠ y
  using assms
  by fastforce

subclass (in card2) not-singleton
  apply standard using two-le-card
  by (meson card-2-iff' obtain-subset-with-card-n)

subclass (in perfect-space) not-singleton
  apply intro-classes
  by (metis (mono-tags) Collect-cong Collect-mem-eq UNIV-I local.UNIV-not-singleton
    local.not-open-singleton local.open-subopen)

lemma class-not-singletonI-monoid-add:
  assumes (UNIV::'a set) ≠ {0}
  shows class.not-singleton TYPE('a::monoid-add)
proof intro-classes
  let ?univ = UNIV :: 'a set
  from assms obtain x::'a where x ≠ 0
  by auto
  thus ∃x y :: 'a. x ≠ y
  by auto
qed

lemma not-singleton-vs-CARD-1:
  assumes ⟨¬ class.not-singleton TYPE('a)⟩
  shows ⟨class.CARD-1 TYPE('a)⟩
  using assms unfolding class.not-singleton-def class.CARD-1-def
  by (metis (full-types) One-nat-def UNIV-I card.empty card.insert empty-iff equal-
    ityI finite.intros(1) insert-iff subsetI)

```

### 2.3 CARD-1

context CARD-1 begin

```

lemma everything-the-same[simp]: (x::'a)=y
  by (metis (full-types) UNIV-I card-1-singletonE empty-iff insert-iff local.CARD-1)

```

**lemma** *CARD-1-UNIV*:  $UNIV = \{x::'a\}$   
**by** (*metis (full-types) UNIV-I card-1-singletonE local.CARD-1 singletonD*)

**lemma** *CARD-1-ext*:  $x (a::'a) = y b \implies x = y$   
**proof** (*rule ext*)  
**show**  $x t = y t$   
**if**  $x a = y b$   
**for**  $t :: 'a$   
**using** *that* **apply** (*subst (asm) everything-the-same[where x=a]*)  
**apply** (*subst (asm) everything-the-same[where x=b]*)  
**by** *simp*  
**qed**  
**end**

**instance** *unit* :: *CARD-1*  
**apply** *standard* **by** *auto*

**instance** *prod* :: (*CARD-1*, *CARD-1*) *CARD-1*  
**apply** *intro-classes*  
**by** (*simp add: CARD-1*)

**instance** *fun* :: (*CARD-1*, *CARD-1*) *CARD-1*  
**apply** *intro-classes*  
**by** (*auto simp add: card-fun CARD-1*)

**lemma** *enum-CARD-1*:  $(Enum.enum :: 'a::\{CARD-1,enum\} list) = [a]$   
**proof** –  
**let**  $?enum = Enum.enum :: 'a::\{CARD-1,enum\} list$   
**have**  $length\ ?enum = 1$   
**apply** (*subst card-UNIV-length-enum[symmetric]*)  
**by** (*rule CARD-1*)  
**then obtain**  $b$  **where**  $?enum = [b]$   
**apply** *atomize-elim*  
**apply** (*cases ?enum, auto*)  
**by** (*metis length-0-conv length-Cons nat.inject*)  
**thus**  $?enum = [a]$   
**by** (*subst everything-the-same[of - b], simp*)  
**qed**

**lemma** *card-not-singleton*:  $\langle CARD('a::not-singleton) \neq 1 \rangle$   
**by** (*simp add: card-1-singleton-iff*)

## 2.4 Topology

**lemma** *cauchy-filter-metricI*:  
**fixes**  $F :: 'a::metric-space filter$   
**assumes**  $\bigwedge e. e > 0 \implies \exists P. eventually\ P\ F \wedge (\forall x\ y. P\ x \wedge P\ y \longrightarrow dist\ x\ y <$

$e$ )  
**shows** *cauchy-filter*  $F$   
**proof** (*unfold cauchy-filter-def le-filter-def, auto*)  
**fix**  $P :: 'a \times 'a \Rightarrow \text{bool}$   
**assume** *eventually*  $P$  *uniformity*  
**then obtain**  $e$  **where**  $e: e > 0$  **and**  $P: \text{dist } x \ y < e \implies P \ (x, y)$  **for**  $x \ y$   
**unfolding** *eventually-uniformity-metric* **by** *auto*  
  
**obtain**  $P'$  **where**  $\text{ev}P'$ : *eventually*  $P' \ F$  **and**  $P'\text{-dist}: P' \ x \wedge P' \ y \implies \text{dist } x \ y < e$  **for**  $x \ y$   
**apply** *atomize-elim* **using** *assms*  $e$  **by** *auto*  
  
**from**  $\text{ev}P' \ P'\text{-dist} \ P$   
**show** *eventually*  $P \ (F \times_F F)$   
**unfolding** *eventually-uniformity-metric eventually-prod-filter eventually-filtermap*  
**by** *metis*  
**qed**

**lemma** *cauchy-filter-metric-filtermapI*:  
**fixes**  $F :: 'a \text{ filter}$  **and**  $f :: 'a \Rightarrow 'b :: \text{metric-space}$   
**assumes**  $\bigwedge e. e > 0 \implies \exists P. \text{eventually } P \ F \wedge (\forall x \ y. P \ x \wedge P \ y \longrightarrow \text{dist } (f \ x) \ (f \ y) < e)$   
**shows** *cauchy-filter* (*filtermap*  $f \ F$ )  
**proof** (*rule cauchy-filter-metricI*)  
**fix**  $e :: \text{real}$  **assume**  $e: e > 0$   
**with** *assms* **obtain**  $P$  **where**  $\text{ev}P$ : *eventually*  $P \ F$  **and**  $\text{dist}: P \ x \wedge P \ y \implies \text{dist } (f \ x) \ (f \ y) < e$  **for**  $x \ y$   
**by** *atomize-elim auto*  
**define**  $P'$  **where**  $P' \ y = (\exists x. P \ x \wedge y = f \ x)$  **for**  $y$   
**have** *eventually*  $P' \ (\text{filtermap } f \ F)$   
**unfolding** *eventually-filtermap*  $P'\text{-def}$   
**using**  $\text{ev}P$   
**by** (*smt eventually-mono*)  
**moreover have**  $P' \ x \wedge P' \ y \longrightarrow \text{dist } x \ y < e$  **for**  $x \ y$   
**unfolding**  $P'\text{-def}$  **using** *dist* **by** *metis*  
**ultimately show**  $\exists P. \text{eventually } P \ (\text{filtermap } f \ F) \wedge (\forall x \ y. P \ x \wedge P \ y \longrightarrow \text{dist } x \ y < e)$   
**by** *auto*  
**qed**

**lemma** *tendsto-add-const-iff*:  
— This is a generalization of *Limits.tendsto-add-const-iff*, the only difference is that the sort here is more general.  
 $((\lambda x. c + f \ x :: 'a :: \text{topological-group-add}) \longrightarrow c + d) \ F \longleftrightarrow (f \longrightarrow d) \ F$   
**using** *tendsto-add* [*OF tendsto-const* [*of*  $c$ ], *of*  $f \ d$ ]  
**and** *tendsto-add* [*OF tendsto-const* [*of*  $-c$ ], *of*  $\lambda x. c + f \ x \ c + d$ ] **by** *auto*

**lemma** *finite-subsets-at-top-minus*:

**assumes**  $A \subseteq B$   
**shows**  $\text{finite-subsets-at-top } (B - A) \leq \text{filtermap } (\lambda F. F - A) (\text{finite-subsets-at-top } B)$   
**proof** (*rule filter-leI*)  
**fix**  $P$  **assume**  $\text{eventually } P (\text{filtermap } (\lambda F. F - A) (\text{finite-subsets-at-top } B))$   
**then obtain**  $X$  **where**  $\text{finite } X$  **and**  $X \subseteq B$   
**and**  $P: \text{finite } Y \wedge X \subseteq Y \wedge Y \subseteq B \longrightarrow P (Y - A)$  **for**  $Y$   
**unfolding**  $\text{eventually-filtermap eventually-finite-subsets-at-top}$  **by** *auto*  
  
**hence**  $\text{finite } (X - A)$  **and**  $X - A \subseteq B - A$   
**by** *auto*  
**moreover have**  $\text{finite } Y \wedge X - A \subseteq Y \wedge Y \subseteq B - A \longrightarrow P Y$  **for**  $Y$   
**using**  $P[\text{where } Y = Y \cup X] \langle \text{finite } X \rangle \langle X \subseteq B \rangle$   
**by** (*metis Diff-subset Int-Diff Un-Diff finite-Un inf.orderE le-sup-iff sup.orderE sup-ge2*)  
**ultimately show**  $\text{eventually } P (\text{finite-subsets-at-top } (B - A))$   
**unfolding**  $\text{eventually-finite-subsets-at-top}$  **by** *meson*  
**qed**

**lemma** *finite-subsets-at-top-inter:*

**assumes**  $A \subseteq B$   
**shows**  $\text{filtermap } (\lambda F. F \cap A) (\text{finite-subsets-at-top } B) = \text{finite-subsets-at-top } A$   
**proof** (*subst filter-eq-iff, intro allI iffI*)  
**fix**  $P :: 'a \text{ set} \Rightarrow \text{bool}$   
**assume**  $\text{eventually } P (\text{finite-subsets-at-top } A)$   
**then show**  $\text{eventually } P (\text{filtermap } (\lambda F. F \cap A) (\text{finite-subsets-at-top } B))$   
**unfolding**  $\text{eventually-filtermap}$   
**unfolding**  $\text{eventually-finite-subsets-at-top}$   
**by** (*metis Int-subset-iff assms finite-Int inf-le2 subset-trans*)  
**next**  
**fix**  $P :: 'a \text{ set} \Rightarrow \text{bool}$   
**assume**  $\text{eventually } P (\text{filtermap } (\lambda F. F \cap A) (\text{finite-subsets-at-top } B))$   
**then obtain**  $X$  **where**  $\langle \text{finite } X \rangle \langle X \subseteq B \rangle$  **and**  $P: \langle \text{finite } Y \implies X \subseteq Y \implies Y \subseteq B \implies P (Y \cap A) \rangle$  **for**  $Y$   
**unfolding**  $\text{eventually-filtermap eventually-finite-subsets-at-top}$  **by** *metis*  
**have**  $*$ :  $\langle \text{finite } Y \implies X \cap A \subseteq Y \implies Y \subseteq A \implies P Y \rangle$  **for**  $Y$   
**using**  $P[\text{where } Y = \langle Y \cup (B - A) \rangle]$   
**apply** (*subgoal-tac*  $\langle (Y \cup (B - A)) \cap A = Y \rangle$ )  
**apply** (*smt (verit, best) Int-Un-distrib2 Int-Un-eq(4) P Un-subset-iff*  $\langle X \subseteq B \rangle$   
 $\langle \text{finite } X \rangle$  *assms finite-UnI inf.orderE sup-ge2*)  
**by** *auto*  
**show**  $\text{eventually } P (\text{finite-subsets-at-top } A)$   
**unfolding**  $\text{eventually-finite-subsets-at-top}$   
**apply** (*rule exI[of -  $\langle X \cap A \rangle$ ]*)  
**by** (*auto simp:  $\langle \text{finite } X \rangle$  intro!: \**)  
**qed**

**lemma** *tendsto-principal-singleton:*

**shows**  $(f \longrightarrow f x) (\text{principal } \{x\})$

**unfolding** *tendsto-def eventually-principal* **by** *simp*

**lemma** *complete-singleton*:

*complete*  $\{s::'a::\text{uniform-space}\}$

**proof** –

**have**  $F \leq \text{principal } \{s\} \implies$

$F \neq \text{bot} \implies \text{cauchy-filter } F \implies F \leq \text{nhds } s$  **for**  $F$

**by** (*metis eventually-nhds eventually-principal le-filter-def singletonD*)

**thus** *?thesis*

**unfolding** *complete-uniform*

**by** *simp*

**qed**

**lemma** *on-closure-eqI*:

**fixes**  $f\ g :: \langle 'a::\text{topological-space} \Rightarrow 'b::t2\text{-space} \rangle$

**assumes** *eq*:  $\langle \bigwedge x. x \in S \implies f\ x = g\ x \rangle$

**assumes** *xS*:  $\langle x \in \text{closure } S \rangle$

**assumes** *cont*:  $\langle \text{continuous-on } \text{UNIV } f \rangle \langle \text{continuous-on } \text{UNIV } g \rangle$

**shows**  $\langle f\ x = g\ x \rangle$

**proof** –

**define**  $X$  **where**  $\langle X = \{x. f\ x = g\ x\} \rangle$

**have**  $\langle \text{closed } X \rangle$

**using** *cont* **by** (*simp add: X-def closed-Collect-eq*)

**moreover** **have**  $\langle S \subseteq X \rangle$

**by** (*simp add: X-def eq subsetI*)

**ultimately** **have**  $\langle \text{closure } S \subseteq X \rangle$

**using** *closure-minimal* **by** *blast*

**with** *xS* **have**  $\langle x \in X \rangle$

**by** *auto*

**then** **show** *?thesis*

**using** *X-def* **by** *blast*

**qed**

**lemma** *on-closure-leI*:

**fixes**  $f\ g :: \langle 'a::\text{topological-space} \Rightarrow 'b::\text{linorder-topology} \rangle$

**assumes** *eq*:  $\langle \bigwedge x. x \in S \implies f\ x \leq g\ x \rangle$

**assumes** *xS*:  $\langle x \in \text{closure } S \rangle$

**assumes** *cont*:  $\langle \text{continuous-on } \text{UNIV } f \rangle \langle \text{continuous-on } \text{UNIV } g \rangle$

**shows**  $\langle f\ x \leq g\ x \rangle$

**proof** –

**define**  $X$  **where**  $\langle X = \{x. f\ x \leq g\ x\} \rangle$

**have**  $\langle \text{closed } X \rangle$

**using** *cont* **by** (*simp add: X-def closed-Collect-le*)

**moreover** **have**  $\langle S \subseteq X \rangle$

**by** (*simp add: X-def eq subsetI*)

**ultimately** **have**  $\langle \text{closure } S \subseteq X \rangle$

**using** *closure-minimal* **by** *blast*

**with** *xS* **have**  $\langle x \in X \rangle$

**by** *auto*

```

then show ?thesis
  using X-def by blast
qed

```

```

lemma tendsto-compose-at-within:
  assumes f: (f ⟶ y) F and g: (g ⟶ z) (at y within S)
  and fg: eventually (λw. f w = y ⟶ g y = z) F
  and fS: ⟨∀F w in F. f w ∈ S⟩
  shows ((g ∘ f) ⟶ z) F
proof (cases ⟨g y = z⟩)
  case False
  then have 1: (∀F a in F. f a ≠ y)
    using fg by force
  have 2: (g ⟶ z) (filtermap f F) ∨ ¬ (∀F a in F. f a ≠ y)
    by (smt (verit, best) eventually-elim2 f fS filterlim-at filterlim-def g tend-
sto-mono)
  show ?thesis
    using 1 2 tendsto-compose-filtermap by blast
next
  case True
  have *: ?thesis if ⟨(g ⟶ z) (filtermap f F)⟩
    using that by (simp add: tendsto-compose-filtermap)
  from g
  have ⟨(g ⟶ g y) (inf (nhds y) (principal (S - {y})))⟩
    by (simp add: True at-within-def)
  then have g': ⟨(g ⟶ g y) (inf (nhds y) (principal S))⟩
    using True g tendsto-at-iff-tendsto-nhds-within by blast
  from f have ⟨filterlim f (nhds y) F⟩
    by -
  then have f': ⟨filterlim f (inf (nhds y) (principal S)) F⟩
    using fS
    by (simp add: filterlim-inf filterlim-principal)
  from f' g' show ?thesis
    by (simp add: * True filterlim-compose filterlim-filtermap)
qed

```

## 2.5 Sums

```

lemma sum-single:
  assumes finite A
  assumes ∧j. j ≠ i ⟹ j ∈ A ⟹ f j = 0
  shows sum f A = (if i ∈ A then f i else 0)
  apply (subst sum.mono-neutral-cong-right[where S=⟨A ∩ {i}⟩ and h=f])
  using assms by auto

```

```

lemma has-sum-comm-additive-general:
  — This is a strengthening of has-sum-comm-additive-general.
  fixes f :: ⟨'b :: {comm-monoid-add, topological-space} ⇒ 'c :: {comm-monoid-add, topological-space}⟩

```

**assumes** *f-sum*:  $\langle \bigwedge F. \text{finite } F \implies F \subseteq S \implies \text{sum } (f \circ g) F = f (\text{sum } g F) \rangle$   
 — Not using *additive* because it would add sort constraint *ab-group-add*  
**assumes** *inS*:  $\langle \bigwedge F. \text{finite } F \implies \text{sum } g F \in T \rangle$   
**assumes** *cont*:  $\langle (f \longrightarrow f x) \text{ (at } x \text{ within } T) \rangle$   
 — For *t2-space* and  $T = \text{UNIV}$ , this is equivalent to *isCont*  $f x$  by *isCont-def*.  
**assumes** *infsun*:  $\langle (g \text{ has-sum } x) S \rangle$   
**shows**  $\langle ((f \circ g) \text{ has-sum } (f x)) S \rangle$   
**proof** —  
**have**  $\langle (\text{sum } g \longrightarrow x) (\text{finite-subsets-at-top } S) \rangle$   
**using** *infsun has-sum-def* **by** *blast*  
**then have**  $\langle ((f \circ \text{sum } g) \longrightarrow f x) (\text{finite-subsets-at-top } S) \rangle$   
**apply** (*rule tendsto-compose-at-within*[**where**  $S=T$ ])  
**using** *assms* **by** *auto*  
**then have**  $\langle (\text{sum } (f \circ g) \longrightarrow f x) (\text{finite-subsets-at-top } S) \rangle$   
**apply** (*rule tendsto-cong*[*THEN iffD1, rotated*])  
**using** *f-sum* **by** *fastforce*  
**then show**  $\langle ((f \circ g) \text{ has-sum } (f x)) S \rangle$   
**using** *has-sum-def* **by** *blast*  
**qed**

**lemma** *summable-on-comm-additive-general*:

— This is a strengthening of *summable-on-comm-additive-general*.  
**fixes**  $g :: \langle 'a \Rightarrow 'b :: \{\text{comm-monoid-add, topological-space}\} \rangle$  **and**  $f :: \langle 'b \Rightarrow 'c :: \{\text{comm-monoid-add, topological-space}\} \rangle$   
**assumes**  $\langle \bigwedge F. \text{finite } F \implies F \subseteq S \implies \text{sum } (f \circ g) F = f (\text{sum } g F) \rangle$   
 — Not using *additive* because it would add sort constraint *ab-group-add*  
**assumes** *inS*:  $\langle \bigwedge F. \text{finite } F \implies \text{sum } g F \in T \rangle$   
**assumes** *cont*:  $\langle \bigwedge x. (g \text{ has-sum } x) S \implies (f \longrightarrow f x) \text{ (at } x \text{ within } T) \rangle$   
 — For *t2-space* and  $T = \text{UNIV}$ , this is equivalent to *isCont*  $f x$  by *isCont-def*.  
**assumes**  $\langle g \text{ summable-on } S \rangle$   
**shows**  $\langle (f \circ g) \text{ summable-on } S \rangle$   
**by** (*meson assms summable-on-def has-sum-comm-additive-general has-sum-def infsun-tendsto*)

**lemma** *has-sum-metric*:

**fixes**  $l :: \langle 'a :: \{\text{metric-space, comm-monoid-add}\} \rangle$   
**shows**  $\langle (f \text{ has-sum } l) A \longleftrightarrow (\forall e. e > 0 \longrightarrow (\exists X. \text{finite } X \wedge X \subseteq A \wedge (\forall Y. \text{finite } Y \wedge X \subseteq Y \wedge Y \subseteq A \longrightarrow \text{dist } (\text{sum } f Y) l < e))) \rangle$   
**unfolding** *has-sum-def*  
**apply** (*subst tendsto-iff*)  
**unfolding** *eventually-finite-subsets-at-top*  
**by** *simp*

**lemma** *summable-on-product-finite-left*:

**fixes**  $f :: \langle 'a \times 'b \Rightarrow 'c :: \{\text{topological-comm-monoid-add}\} \rangle$   
**assumes** *sum*:  $\langle \bigwedge x. x \in X \implies (\lambda y. f(x, y)) \text{ summable-on } Y \rangle$   
**assumes**  $\langle \text{finite } X \rangle$   
**shows**  $\langle f \text{ summable-on } (X \times Y) \rangle$   
**using**  $\langle \text{finite } X \rangle$  *subset-refl*[*of*  $X$ ]

```

proof (induction rule: finite-subset-induct')
  case empty
  then show ?case
    by simp
next
  case (insert x F)
  have *: ⟨bij-betw (Pair x) Y ({x} × Y)⟩
    apply (rule bij-betwI')
    by auto
  from sum[of x]
  have ⟨f summable-on {x} × Y⟩
    apply (rule summable-on-reindex-bij-betw[THEN iffD1, rotated])
    by (simp-all add: * insert.hyps(2))
  then have ⟨f summable-on {x} × Y ∪ F × Y⟩
    apply (rule summable-on-Un-disjoint)
    using insert by auto
  then show ?case
    by (metis Sigma-Un-distrib1 insert-is-Un)
qed

lemma summable-on-product-finite-right:
  fixes f :: ⟨'a × 'b ⇒ 'c::{topological-comm-monoid-add}⟩
  assumes sum: ⟨ $\bigwedge y. y \in Y \implies (\lambda x. f(x,y))$  summable-on X⟩
  assumes ⟨finite Y⟩
  shows ⟨f summable-on (X × Y)⟩
proof –
  have ⟨ $(\lambda(y,x). f(x,y))$  summable-on (Y × X)⟩
    apply (rule summable-on-product-finite-left)
    using assms by auto
  then show ?thesis
    apply (subst summable-on-reindex-bij-betw[where g=prod.swap and A=⟨Y × X⟩,
symmetric])
    apply (simp add: bij-betw-def product-swap)
    by (metis (mono-tags, lifting) case-prod-unfold prod.swap-def summable-on-cong)
qed

```

## 2.6 Complex numbers

```

lemma cmod-Re:
  assumes x ≥ 0
  shows cmod x = Re x
  using assms unfolding less-eq-complex-def cmod-def
  by auto

lemma abs-complex-real[simp]: abs x ∈ ℝ for x :: complex
  by (simp add: abs-complex-def)

lemma Im-abs[simp]: Im (abs x) = 0
  using abs-complex-real complex-is-Real-iff by blast

```

```

lemma cnj-x-x: cnj x * x = (abs x)2
proof (cases x)
  show cnj x * x = |x|2
  if x = Complex x1 x2
  for x1 :: real
  and x2 :: real
  using that
  by (auto simp: complex-cnj complex-mult abs-complex-def
      complex-norm power2-eq-square complex-of-real-def)
qed

lemma cnj-x-x-geq0[simp]: ⟨cnj x * x ≥ 0⟩
by (simp add: less-eq-complex-def)

lemma complex-of-real-leq-1-iff[iff]: ⟨complex-of-real x ≤ 1 ⟷ x ≤ 1⟩
by (simp add: less-eq-complex-def)

lemma x-cnj-x: ⟨x * cnj x = (abs x)2⟩
by (metis cnj-x-x mult.commute)

lemma has-sum-mono-neutral-complex:
  fixes f :: 'a ⇒ complex
  assumes ⟨(f has-sum a) A⟩ and ⟨(g has-sum b) B⟩
  assumes ⟨⋀x. x ∈ A ∩ B ⟹ f x ≤ g x⟩
  assumes ⟨⋀x. x ∈ A - B ⟹ f x ≤ 0⟩
  assumes ⟨⋀x. x ∈ B - A ⟹ g x ≥ 0⟩
  shows a ≤ b
proof -
  have ⟨((λx. Re (f x)) has-sum Re a) A⟩
  using assms(1) has-sum-Re has-sum-cong by blast
  moreover have ⟨((λx. Re (g x)) has-sum Re b) B⟩
  using assms(2) has-sum-Re has-sum-cong by blast
  ultimately have Re: ⟨Re a ≤ Re b⟩
  apply (rule has-sum-mono-neutral)
  using assms(3-5) by (simp-all add: less-eq-complex-def)
  have ⟨((λx. Im (f x)) has-sum Im a) A⟩
  using assms(1) has-sum-Im has-sum-cong by blast
  then have ⟨((λx. Im (f x)) has-sum Im a) (A ∩ B)⟩
  apply (rule has-sum-cong-neutral[THEN iffD1, rotated -1])
  using assms(3-5) by (auto simp add: less-eq-complex-def)
  moreover have ⟨((λx. Im (g x)) has-sum Im b) B⟩
  using assms(2) has-sum-Im has-sum-cong by blast
  then have ⟨((λx. Im (f x)) has-sum Im b) (A ∩ B)⟩
  apply (rule has-sum-cong-neutral[THEN iffD1, rotated -1])
  using assms(3-5) by (auto simp add: less-eq-complex-def)
  ultimately have Im: ⟨Im a = Im b⟩
  by (rule has-sum-unique)

```

```

    from Re Im show ?thesis
    using less-eq-complex-def by blast
qed

lemma Re-mono:  $x \leq y \implies \text{Re } x \leq \text{Re } y$ 
  unfolding less-eq-complex-def by simp

lemma comp-Im-same:  $x \leq y \implies \text{Im } x = \text{Im } y$ 
  unfolding less-eq-complex-def by simp

lemma Re-strict-mono:  $x < y \implies \text{Re } x < \text{Re } y$ 
  unfolding less-complex-def by simp

lemma complex-of-real-cmod:  $\langle \text{complex-of-real } (cmod\ x) = abs\ x \rangle$ 
  by (simp add: abs-complex-def)

lemma less-eq-complexI:  $\text{Re } x \leq \text{Re } y \implies \text{Im } x = \text{Im } y \implies x \leq y$  unfolding
  less-eq-complex-def
  by simp
lemma less-complexI:  $\text{Re } x < \text{Re } y \implies \text{Im } x = \text{Im } y \implies x < y$  unfolding less-complex-def
  by simp

lemma sums-le-complex:
  fixes f g :: nat  $\Rightarrow$  complex
  assumes  $\langle \bigwedge n. f\ n \leq g\ n \rangle$ 
  assumes  $\langle f\ \text{sums}\ s \rangle$ 
  assumes  $\langle g\ \text{sums}\ t \rangle$ 
  shows  $\langle s \leq t \rangle$ 
proof -
  have  $\langle \text{Re } (f\ n) \leq \text{Re } (g\ n) \rangle$  for n
    by (simp add: Re-mono assms(1))
  moreover have  $\langle (\lambda n. \text{Re } (f\ n))\ \text{sums}\ \text{Re } s \rangle$ 
    using assms(2) sums-Re by auto
  moreover have  $\langle (\lambda n. \text{Re } (g\ n))\ \text{sums}\ \text{Re } t \rangle$ 
    using assms(3) sums-Re by auto
  ultimately have re:  $\langle \text{Re } s \leq \text{Re } t \rangle$ 
    by (rule sums-le)
  have  $\langle \text{Im } (f\ n) = \text{Im } (g\ n) \rangle$  for n
    by (simp add: assms(1) comp-Im-same)
  moreover have  $\langle (\lambda n. \text{Im } (f\ n))\ \text{sums}\ \text{Im } s \rangle$ 
    using assms(2) sums-Im by auto
  moreover have  $\langle (\lambda n. \text{Im } (g\ n))\ \text{sums}\ \text{Im } t \rangle$ 
    using assms(3) sums-Im by auto
  ultimately have im:  $\langle \text{Im } s = \text{Im } t \rangle$ 
    using sums-unique2 by auto
  from re im show  $\langle s \leq t \rangle$ 
    using less-eq-complexI by auto
qed

```

## 2.7 List indices and enum

**fun** *index-of* **where**

*index-of*  $x \ [] = (0::nat)$   
| *index-of*  $x \ (y\#ys) = (if\ x=y\ then\ 0\ else\ (index-of\ x\ ys + 1))$

**definition** *enum-idx*  $(x::'a::enum) = index-of\ x\ (enum-class.enum :: 'a\ list)$

**lemma** *index-of-length*: *index-of*  $x\ y \leq length\ y$

**apply** (*induction*  $y$ ) **by** *auto*

**lemma** *index-of-correct*:

**assumes**  $x \in set\ y$   
**shows**  $y ! index-of\ x\ y = x$   
**using** *assms* **apply** (*induction*  $y$  *arbitrary*:  $x$ )  
**by** *auto*

**lemma** *enum-idx-correct*:

*Enum.enum* ! *enum-idx*  $i = i$

**proof**–

**have**  $i \in set\ enum-class.enum$   
**using** *UNIV-enum* **by** *blast*  
**thus** *?thesis*  
**unfolding** *enum-idx-def*  
**using** *index-of-correct* **by** *metis*

**qed**

**lemma** *index-of-bound*:

**assumes**  $y \neq []$  **and**  $x \in set\ y$   
**shows** *index-of*  $x\ y < length\ y$   
**using** *assms* **proof**(*induction*  $y$  *arbitrary*:  $x$ )  
**case** *Nil*  
**thus** *?case* **by** *auto*

**next**

**case** (*Cons*  $a\ y$ )  
**show** *?case*  
**proof**(*cases*  $a = x$ )  
**case** *True*  
**thus** *?thesis* **by** *auto*

**next**

**case** *False*  
**moreover** **have**  $a \neq x \implies index-of\ x\ y < length\ y$   
**using** *Cons.IH* *Cons.prem*(2) **by** *fastforce*  
**ultimately show** *?thesis* **by** *auto*

**qed**

**qed**

**lemma** *enum-idx-bound[simp]*: *enum-idx*  $x < CARD('a)$  **for**  $x :: 'a::enum$

**proof**–

**have**  $p1$ : *False*

```

    if (Enum.enum :: 'a list) = []
  proof-
    have (UNIV::'a set) = set ([]::'a list)
      using that UNIV-enum by metis
    also have ... = {}
      by blast
    finally have (UNIV::'a set) = {}.
    thus ?thesis by simp
  qed
  have p2: x ∈ set (Enum.enum :: 'a list)
    using UNIV-enum by auto
  moreover have (enum-class.enum::'a list) ≠ []
    using p2 by auto
  ultimately show ?thesis
    unfolding enum-idx-def card-UNIV-length-enum
    using index-of-bound [where x = x and y = (Enum.enum :: 'a list)]
    by auto
  qed

lemma index-of-nth:
  assumes distinct xs
  assumes i < length xs
  shows index-of (xs ! i) xs = i
  using assms
  by (metis gr-implies-not-zero index-of-bound index-of-correct length-0-conv nth-eq-iff-index-eq
nth-mem)

lemma enum-idx-enum:
  assumes ⟨i < CARD('a::enum)⟩
  shows ⟨enum-idx (enum-class.enum ! i :: 'a) = i⟩
  unfolding enum-idx-def apply (rule index-of-nth)
  using assms by (simp-all add: card-UNIV-length-enum enum-distinct)

```

## 2.8 Filtering lists/sets

```

lemma map-filter-map: List.map-filter f (map g l) = List.map-filter (f o g) l
  by (induction l) (simp-all split: option.split)

lemma map-filter-Some [simp]: List.map-filter (λx. Some (f x)) l = map f l
  by (simp add: List.map-filter-def)

lemma filter-Un: Set.filter f (x ∪ y) = Set.filter f x ∪ Set.filter f y
  by auto

lemma Set-filter-unchanged: Set.filter P X = X if ∧x. x∈X ⇒ P x for P and
X :: 'z set
  using that by auto

```

## 2.9 Maps

**definition**  $\text{inj-map } \pi = (\forall x y. \pi x = \pi y \wedge \pi x \neq \text{None} \longrightarrow x = y)$

**definition**  $\text{inv-map } \pi = (\lambda y. \text{if } \text{Some } y \in \text{range } \pi \text{ then } \text{Some } (\text{inv } \pi (\text{Some } y)) \text{ else } \text{None})$

**lemma**  $\text{inj-map-total[simp]}: \text{inj-map } (\text{Some } o \pi) = \text{inj } \pi$   
**unfolding**  $\text{inj-map-def inj-def}$  **by**  $\text{simp}$

**lemma**  $\text{inj-map-Some[simp]}: \text{inj-map } \text{Some}$   
**by**  $(\text{simp add: inj-map-def})$

**lemma**  $\text{inv-map-total}:$   
**assumes**  $\text{surj } \pi$   
**shows**  $\text{inv-map } (\text{Some } o \pi) = \text{Some } o \text{ inv } \pi$

**proof**–

**have**  $(\text{if } \text{Some } y \in \text{range } (\lambda x. \text{Some } (\pi x))$   
 $\text{then } \text{Some } (\text{SOME } x. \text{Some } (\pi x) = \text{Some } y)$   
 $\text{else } \text{None}) =$   
 $\text{Some } (\text{SOME } b. \pi b = y)$   
**if**  $\text{surj } \pi$   
**for**  $y$   
**using**  $\text{that}$  **by**  $\text{auto}$   
**hence**  $\text{surj } \pi \implies$   
 $(\lambda y. \text{if } \text{Some } y \in \text{range } (\lambda x. \text{Some } (\pi x))$   
 $\text{then } \text{Some } (\text{SOME } x. \text{Some } (\pi x) = \text{Some } y) \text{ else } \text{None}) =$   
 $(\lambda x. \text{Some } (\text{SOME } xa. \pi xa = x))$   
**by**  $(\text{rule ext})$   
**thus**  $?thesis$   
**unfolding**  $\text{inv-map-def o-def inv-def}$   
**using**  $\text{assms}$  **by**  $\text{linarith}$

**qed**

**lemma**  $\text{inj-map-map-comp[simp]}:$   
**assumes**  $a1: \text{inj-map } f$  **and**  $a2: \text{inj-map } g$   
**shows**  $\text{inj-map } (f \circ_m g)$   
**using**  $a1 a2$   
**unfolding**  $\text{inj-map-def}$   
**by**  $(\text{metis } (\text{mono-tags, lifting}) \text{ map-comp-def option.case-eq-if option.expand})$

**lemma**  $\text{inj-map-inv-map[simp]}: \text{inj-map } (\text{inv-map } \pi)$

**proof**  $(\text{unfold inj-map-def, rule allI, rule allI, rule impI, erule conjE})$

**fix**  $x y$   
**assume**  $\text{same: inv-map } \pi x = \text{inv-map } \pi y$   
**and**  $\text{pix-not-None: inv-map } \pi x \neq \text{None}$   
**have**  $x\text{-pi: Some } x \in \text{range } \pi$   
**using**  $\text{pix-not-None}$  **unfolding**  $\text{inv-map-def}$  **apply**  $\text{auto}$   
**by**  $(\text{meson option.distinct}(1))$   
**have**  $y\text{-pi: Some } y \in \text{range } \pi$

```

    using pix-not-None unfolding same unfolding inv-map-def apply auto
    by (meson option.distinct(1))
  have inv-map  $\pi$   $x = \text{Some } (\text{Hilbert-Choice.inv } \pi (\text{Some } x))$ 
    unfolding inv-map-def using x-pi by simp
  moreover have inv-map  $\pi$   $y = \text{Some } (\text{Hilbert-Choice.inv } \pi (\text{Some } y))$ 
    unfolding inv-map-def using y-pi by simp
  ultimately have Hilbert-Choice.inv  $\pi (\text{Some } x) = \text{Hilbert-Choice.inv } \pi (\text{Some } y)$ 
  y)
    using same by simp
  thus  $x = y$ 
    by (meson inv-into-injective option.inject x-pi y-pi)
qed

```

## 2.10 Lattices

unbundle *lattice-syntax*

The following lemma is identical to *Complete-Lattices.uminus-Inf* except for the more general sort.

```

lemma uminus-Inf:  $-(\sqcap A) = \sqcup (\text{uminus } 'A)$  for  $A :: \langle 'a :: \text{complete-orthocomplemented-lattice set} \rangle$ 
proof (rule order.antisym)
  show  $-\sqcap A \leq \sqcup (\text{uminus } 'A)$ 
    by (rule compl-le-swap2, rule Inf-greatest, rule compl-le-swap2, rule Sup-upper)
  simp
  show  $\sqcup (\text{uminus } 'A) \leq -\sqcap A$ 
    by (rule Sup-least, rule compl-le-swap1, rule Inf-lower) auto
qed

```

The following lemma is identical to *Complete-Lattices.uminus-INF* except for the more general sort.

```

lemma uminus-INF:  $-(\text{INF } x \in A. B\ x) = (\text{SUP } x \in A. - B\ x)$  for  $B :: \langle 'a \Rightarrow 'b :: \text{complete-orthocomplemented-lattice} \rangle$ 
  by (simp add: uminus-Inf image-image)

```

The following lemma is identical to *Complete-Lattices.uminus-Sup* except for the more general sort.

```

lemma uminus-Sup:  $-(\sqcup A) = \sqcap (\text{uminus } 'A)$  for  $A :: \langle 'a :: \text{complete-orthocomplemented-lattice set} \rangle$ 
  by (metis (no-types, lifting) uminus-INF image-cong image-ident ortho-involution)

```

The following lemma is identical to *Complete-Lattices.uminus-SUP* except for the more general sort.

```

lemma uminus-SUP:  $-(\text{SUP } x \in A. B\ x) = (\text{INF } x \in A. - B\ x)$  for  $B :: \langle 'a \Rightarrow 'b :: \text{complete-orthocomplemented-lattice} \rangle$ 
  by (simp add: uminus-Sup image-image)

```

**lemma** *has-sumI-metric*:

**fixes**  $l :: \langle 'a :: \{metric\text{-}space, comm\text{-}monoid\text{-}add\} \rangle$   
**assumes**  $\langle \bigwedge e. e > 0 \implies \exists X. finite\ X \wedge X \subseteq A \wedge (\forall Y. finite\ Y \wedge X \subseteq Y \wedge Y \subseteq A \longrightarrow dist\ (sum\ f\ Y)\ l < e) \rangle$   
**shows**  $\langle (f\ has\text{-}sum\ l)\ A \rangle$   
**unfolding** *has-sum-metric* **using** *assms* **by** *simp*

**lemma** *limitin-pullback-topology*:  
 $\langle limitin\ (pullback\text{-}topology\ A\ g\ T)\ f\ l\ F \longleftrightarrow l \in A \wedge (\forall_F x\ in\ F. f\ x \in A) \wedge limitin\ T\ (g\ o\ f)\ (g\ l)\ F \rangle$   
**apply** (*simp* *add*: *topspace-pullback-topology limitin-def openin-pullback-topology imp-ex flip*: *ex-simps*(1))  
**apply** *rule*  
**apply** *simp*  
**apply** *safe*  
**using** *eventually-mono* **apply** *fastforce*  
**apply** (*simp* *add*: *eventually-conj-iff*)  
**by** (*simp* *add*: *eventually-conj-iff*)

**lemma** *tendsto-coordinatewise*:  $\langle f \longrightarrow l \rangle F \longleftrightarrow (\forall x. ((\lambda i. f\ i\ x) \longrightarrow l\ x)\ F)$   
**proof** (*intro iffI allI*)  
**assume** *asm*:  $\langle f \longrightarrow l \rangle F$   
**then show**  $\langle (\lambda i. f\ i\ x) \longrightarrow l\ x \rangle F$  **for**  $x$   
**apply** (*rule continuous-on-tendsto-compose*[**where**  $s = UNIV$ , *rotated*])  
**by** *auto*  
**next**  
**assume** *asm*:  $\langle \forall x. ((\lambda i. f\ i\ x) \longrightarrow l\ x)\ F \rangle$   
**show**  $\langle f \longrightarrow l \rangle F$   
**proof** (*unfold tendsto-def, intro allI impI*)  
**fix**  $S$  **assume**  $\langle open\ S \rangle$  **and**  $\langle l \in S \rangle$   
**from** *product-topology-open-contains-basis*[*OF*  $\langle open\ S \rangle$  [*unfolded open-fun-def*]  
 $\langle l \in S \rangle$ ]  
**obtain**  $U$  **where**  $lU: \langle l \in Pi\ UNIV\ U \rangle$  **and**  $openU: \langle \bigwedge x. open\ (U\ x) \rangle$  **and**  
 $finiteD: \langle finite\ \{x. U\ x \neq UNIV\} \rangle$  **and**  $US: \langle Pi\ UNIV\ U \subseteq S \rangle$   
**by** (*auto simp add*: *PiE-UNIV-domain*)

**define**  $D$  **where**  $\langle D = \{x. U\ x \neq UNIV\} \rangle$   
**with** *finiteD* **have** *finiteD*:  $\langle finite\ D \rangle$   
**by** *simp*  
**have**  $PiUNIV: \langle t \in Pi\ UNIV\ U \longleftrightarrow (\forall x \in D. t\ x \in U\ x) \rangle$  **for**  $t$   
**using** *D-def* **by** *blast*

**have**  $f\text{-}Ui: \langle \forall_F i\ in\ F. f\ i\ x \in U\ x \rangle$  **for**  $x$   
**using** *asm*[*rule-format*, *of x*] *openU*[*of x*]  
**using**  $lU$  *topological-tendstoD* **by** *fastforce*

**have**  $\langle \forall_F x\ in\ F. \forall i \in D. f\ x\ i \in U\ i \rangle$   
**using** *finiteD*  
**proof** *induction*  
**case** *empty*

```

    then show ?case
      by simp
  next
    case (insert x F)
    with f-Ui show ?case
      by (simp add: eventually-conj-iff)
  qed

  then show  $\langle \forall_F x \text{ in } F. f x \in S \rangle$ 
    using US by (simp add: PiUNIV eventually-mono in-mono)
  qed
qed

```

```

lemma limitin-closure-of:
  assumes limit:  $\langle \text{limitin } T f c F \rangle$ 
  assumes in-S:  $\langle \forall_F x \text{ in } F. f x \in S \rangle$ 
  assumes nontrivial:  $\langle \neg \text{trivial-limit } F \rangle$ 
  shows  $\langle c \in T \text{ closure-of } S \rangle$ 
proof (intro in-closure-of[THEN iffD2] conjI impI allI)
  from limit show  $\langle c \in \text{topspace } T \rangle$ 
    by (simp add: limitin-topspace)
  fix U
  assume  $\langle c \in U \wedge \text{openin } T U \rangle$ 
  with limit have  $\langle \forall_F x \text{ in } F. f x \in U \rangle$ 
    by (simp add: limitin-def)
  with in-S have  $\langle \forall_F x \text{ in } F. f x \in U \wedge f x \in S \rangle$ 
    by (simp add: eventually-frequently-simps)
  with nontrivial
  show  $\langle \exists y. y \in S \wedge y \in U \rangle$ 
    using eventually-happens' by blast
qed

```

## 2.11 Separating sets

**definition** *separating-set* ::  $\langle ('a \Rightarrow 'b) \Rightarrow \text{bool} \rangle \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**  
 $\langle \text{separating-set } P S \longleftrightarrow (\forall f g. P f \longrightarrow P g \longrightarrow (\forall x \in S. f x = g x) \longrightarrow f = g) \rangle$

**lemma** *separating-set-mono*:  $\langle S \subseteq T \Longrightarrow \text{separating-set } P S \Longrightarrow \text{separating-set } P T \rangle$

**unfolding** *separating-set-def* **by** fast

**lemma** *separating-set-UNIV*[simp]:  $\langle \text{separating-set } P \text{ UNIV} \rangle$   
**by** (auto intro!: ext simp: separating-set-def)

**lemma** *eq-from-separatingI*:  
 assumes  $\langle \text{separating-set } P S \rangle$   
 assumes  $\langle P f \rangle$  and  $\langle P g \rangle$   
 assumes  $\langle \bigwedge x. x \in S \Longrightarrow f x = g x \rangle$   
 shows  $\langle f = g \rangle$

```

using assms by (simp add: separating-set-def)

lemma eq-from-separatingI1:
  — When using this as a rule, best instantiate  $x$  explicitly.
  assumes ‹separating-set  $P$   $S$ ›
  assumes ‹ $P$   $f$ › and ‹ $P$   $g$ ›
  assumes ‹ $\bigwedge x. x \in S \implies f\ x = g\ x$ ›
  shows ‹ $f\ x = g\ x$ ›
  using assms eq-from-separatingI by blast

lemma eq-from-separatingI2:
  assumes ‹separating-set  $P$   $((\lambda(x,y). h\ x\ y) \text{ ` } (S \times T))$ ›
  assumes ‹ $P$   $f$ › and ‹ $P$   $g$ ›
  assumes ‹ $\bigwedge x\ y. x \in S \implies y \in T \implies f\ (h\ x\ y) = g\ (h\ x\ y)$ ›
  shows ‹ $f = g$ ›
  apply (rule eq-from-separatingI[OF assms(1)])
  using assms(2–4) by auto

lemma eq-from-separatingI2x:
  — When using this as a rule, best instantiate  $x$  explicitly.
  assumes ‹separating-set  $P$   $((\lambda(x,y). h\ x\ y) \text{ ` } (S \times T))$ ›
  assumes ‹ $P$   $f$ › and ‹ $P$   $g$ ›
  assumes ‹ $\bigwedge x\ y. x \in S \implies y \in T \implies f\ (h\ x\ y) = g\ (h\ x\ y)$ ›
  shows ‹ $f\ x = g\ x$ ›
  using assms eq-from-separatingI2 by blast

lemma separating-setI:
  assumes ‹ $\bigwedge f\ g. P\ f \implies P\ g \implies (\bigwedge x. x \in S \implies f\ x = g\ x) \implies f = g$ ›
  shows ‹separating-set  $P$   $S$ ›
  by (simp add: assms separating-set-def)

end

```

### 3 *Extra-Vector-Spaces* – Additional facts about vector spaces

```

theory Extra-Vector-Spaces
  imports
    HOL-Analysis.Inner-Product
    HOL-Analysis.Euclidean-Space
    HOL-Library.Indicator-Function
    HOL-Analysis.Topology-Euclidean-Space
    HOL-Analysis.Line-Segment
    HOL-Analysis.Bounded-Linear-Function
    Extra-General
begin

```

### 3.1 Euclidean spaces

```

typedef 'a euclidean-space = UNIV :: ('a  $\Rightarrow$  real) set ..
setup-lifting type-definition-euclidean-space

instantiation euclidean-space :: (type) real-vector begin
lift-definition plus-euclidean-space ::
  'a euclidean-space  $\Rightarrow$  'a euclidean-space  $\Rightarrow$  'a euclidean-space
  is  $\lambda f g x. f x + g x$  .
lift-definition zero-euclidean-space :: 'a euclidean-space is  $\lambda -. 0$  .
lift-definition uminus-euclidean-space ::
  'a euclidean-space  $\Rightarrow$  'a euclidean-space
  is  $\lambda f x. - f x$  .
lift-definition minus-euclidean-space ::
  'a euclidean-space  $\Rightarrow$  'a euclidean-space  $\Rightarrow$  'a euclidean-space
  is  $\lambda f g x. f x - g x$  .
lift-definition scaleR-euclidean-space ::
  real  $\Rightarrow$  'a euclidean-space  $\Rightarrow$  'a euclidean-space
  is  $\lambda c f x. c * f x$  .
instance
  apply intro-classes
  by (transfer; auto intro: distrib-left distrib-right)+
end

instantiation euclidean-space :: (finite) real-inner begin
lift-definition inner-euclidean-space :: 'a euclidean-space  $\Rightarrow$  'a euclidean-space  $\Rightarrow$ 
  real
  is  $\lambda f g. \sum_{x \in UNIV}. f x * g x :: real$  .
definition norm-euclidean-space (x::'a euclidean-space) = sqrt (inner x x)
definition dist-euclidean-space (x::'a euclidean-space) y = norm (x - y)
definition sgn x = x /R norm x for x::'a euclidean-space
definition uniformity = (INF e $\in$ {0<..}. principal {(x::'a euclidean-space, y). dist
  x y < e})
definition open U = ( $\forall x \in U. \forall_F (x'::'a euclidean-space, y)$  in uniformity.  $x' = x$ 
 $\longrightarrow y \in U$ )
instance
proof intro-classes
  fix x :: 'a euclidean-space
  and y :: 'a euclidean-space
  and z :: 'a euclidean-space
  show dist (x::'a euclidean-space) y = norm (x - y)
  and sgn (x::'a euclidean-space) = x /R norm x
  and uniformity = (INF e $\in$ {0<..}. principal {(x, y). dist (x::'a euclidean-space)
  y < e})
  and open U = ( $\forall x \in U. \forall_F (x', y)$  in uniformity. (x'::'a euclidean-space) = x
 $\longrightarrow y \in U$ )
  and norm x = sqrt (inner x x) for U
unfolding dist-euclidean-space-def norm-euclidean-space-def sgn-euclidean-space-def
  uniformity-euclidean-space-def open-euclidean-space-def
by simp-all

```

```

show inner x y = inner y x
  apply transfer
  by (simp add: mult.commute)
show inner (x + y) z = inner x z + inner y z
proof transfer
  fix x y z :: 'a ⇒ real
  have (∑ i ∈ UNIV. (x i + y i) * z i) = (∑ i ∈ UNIV. x i * z i + y i * z i)
    by (simp add: distrib-left mult.commute)
  thus (∑ i ∈ UNIV. (x i + y i) * z i) = (∑ j ∈ UNIV. x j * z j) + (∑ k ∈ UNIV.
y k * z k)
    by (subst sum.distrib[symmetric])
qed

show inner (r *R x) y = r * (inner x y) for r
proof transfer
  fix r and x y :: 'a ⇒ real
  have (∑ i ∈ UNIV. r * x i * y i) = (∑ i ∈ UNIV. r * (x i * y i))
    by (simp add: mult.assoc)
  thus (∑ i ∈ UNIV. r * x i * y i) = r * (∑ j ∈ UNIV. x j * y j)
    by (subst sum-distrib-left)
qed
show 0 ≤ inner x x
  apply transfer
  by (simp add: sum-nonneg)
show (inner x x = 0) = (x = 0)
proof (transfer, rule)
  fix f :: 'a ⇒ real
  assume (∑ i ∈ UNIV. f i * f i) = 0
  hence f x * f x = 0 for x
  apply (rule-tac sum-nonneg-eq-0-iff[THEN iffD1, rule-format, where A=UNIV
and x=x])
  by auto
  thus f = (λ-. 0)
  by auto
qed auto
qed
end

instantiation euclidean-space :: (finite) euclidean-space begin
lift-definition euclidean-space-basis-vector :: 'a ⇒ 'a euclidean-space is
  λx. indicator {x} .
definition Basis-euclidean-space == (euclidean-space-basis-vector ‘ UNIV)
instance
proof intro-classes
  fix u :: 'a euclidean-space
  and v :: 'a euclidean-space
  show (Basis :: 'a euclidean-space set) ≠ {}
    unfolding Basis-euclidean-space-def by simp

```

```

show finite (Basis::'a euclidean-space set)
  unfolding Basis-euclidean-space-def by simp
show inner u v = (if u = v then 1 else 0)
  if u ∈ Basis and v ∈ Basis
  using that unfolding Basis-euclidean-space-def
  apply transfer apply auto
  by (auto simp: indicator-def)
show (∀ v ∈ Basis. inner u v = 0) = (u = 0)
  unfolding Basis-euclidean-space-def
  apply transfer
  by auto
qed
end

```

### 3.2 Misc

```

lemma closure-bounded-linear-image-subset-eq:
  assumes f: bounded-linear f
  shows closure (f ` closure S) = closure (f ` S)
  by (meson closed-closure closure-bounded-linear-image-subset closure-minimal
  closure-mono closure-subset f image-mono subset-antisym)

```

```

lemma not-singleton-real-normed-is-perfect-space[simp]: ⟨class.perfect-space (open
:: 'a::{not-singleton,real-normed-vector} set ⇒ bool)⟩
  apply standard
  by (metis UNIV-not-singleton clopen closed-singleton empty-not-insert)

```

```

lemma infsum-bounded-linear:
  assumes ⟨bounded-linear h⟩
  assumes ⟨f summable-on A⟩
  shows ⟨infsum (λx. h (f x)) A = h (infsum f A)⟩
  by (auto intro!: infsum-bounded-linear-strong assms summable-on-bounded-linear[where
h=h])

```

```

lemma abs-summable-on-bounded-linear:

```

```

  fixes h f A
  assumes ⟨bounded-linear h⟩
  assumes ⟨f abs-summable-on A⟩
  shows ⟨(h o f) abs-summable-on A⟩

```

```

proof -

```

```

  have bound: ⟨norm (h (f x)) ≤ onorm h * norm (f x)⟩ for x
  apply (rule onorm)
  by (simp add: assms(1))

```

```

from assms(2) have ⟨(λx. onorm h *R f x) abs-summable-on A⟩
  by (auto intro!: summable-on-cmult-right)
then have ⟨(λx. h (f x)) abs-summable-on A⟩
  apply (rule abs-summable-on-comparison-test)
  using bound by (auto simp: assms(1) onorm-pos-le)

```

```

then show ?thesis
  by auto
qed

```

```

lemma norm-plus-leq-norm-prod:  $\langle \text{norm } (a + b) \leq \text{sqrt } 2 * \text{norm } (a, b) \rangle$ 
proof -
  have  $\langle (\text{norm } (a + b))^2 \leq (\text{norm } a + \text{norm } b)^2 \rangle$ 
    using norm-triangle-ineq by auto
  also have  $\langle \dots \leq 2 * ((\text{norm } a)^2 + (\text{norm } b)^2) \rangle$ 
    by (smt (verit, best) power2-sum sum-squares-bound)
  also have  $\langle \dots \leq (\text{sqrt } 2 * \text{norm } (a, b))^2 \rangle$ 
    by (auto simp: power-mult-distrib norm-prod-def simp del: power-mono-iff)
  finally show ?thesis
    by auto
qed

```

```

lemma ex-norm1:
  assumes  $\langle (UNIV::'a::\text{real-normed-vector set}) \neq \{0\} \rangle$ 
  shows  $\langle \exists x::'a. \text{norm } x = 1 \rangle$ 
proof -
  have  $\langle \exists x::'a. x \neq 0 \rangle$ 
    using assms by fastforce
  then obtain  $x::'a$  where  $\langle x \neq 0 \rangle$ 
    by blast
  hence  $\langle \text{norm } x \neq 0 \rangle$ 
    by simp
  hence  $\langle (\text{norm } x) / (\text{norm } x) = 1 \rangle$ 
    by simp
  moreover have  $\langle (\text{norm } x) / (\text{norm } x) = \text{norm } (x /_R (\text{norm } x)) \rangle$ 
    by simp
  ultimately have  $\langle \text{norm } (x /_R (\text{norm } x)) = 1 \rangle$ 
    by simp
  thus ?thesis
    by blast
qed

```

```

lemma bdd-above-norm-f:
  assumes bounded-linear f
  shows  $\langle \text{bdd-above } \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \rangle$ 
proof -
  have  $\langle \exists M. \forall x. \text{norm } x = 1 \longrightarrow \text{norm } (f x) \leq M \rangle$ 
    using assms
    by (metis bounded-linear.axioms(2) bounded-linear-axioms-def)
  thus ?thesis by auto
qed

```

```

lemma any-norm-exists:
  assumes  $\langle n \geq 0 \rangle$ 
  shows  $\langle \exists \psi::'a::\{\text{real-normed-vector}, \text{not-singleton}\}. \text{norm } \psi = n \rangle$ 

```

```

proof –
  obtain  $\psi :: 'a$  where  $\langle \psi \neq 0 \rangle$ 
    using not-singleton-card
    by force
  then have  $\langle \text{norm } (n *_{\mathbb{R}} \text{sgn } \psi) = n \rangle$ 
    using assms by (auto simp: sgn-div-norm abs-mult)
  then show ?thesis
    by blast
qed

```

```

lemma abs-summable-on-scaleR-left [intro]:
  fixes  $c :: \langle 'a :: \text{real-normed-vector} \rangle$ 
  assumes  $c \neq 0 \implies f \text{ abs-summable-on } A$ 
  shows  $(\lambda x. f x *_{\mathbb{R}} c) \text{ abs-summable-on } A$ 
  apply (cases  $\langle c = 0 \rangle$ )
  apply simp
  by (auto intro!: summable-on-cmult-left assms simp flip: real-norm-def)

```

```

lemma abs-summable-on-scaleR-right [intro]:
  fixes  $f :: \langle 'a \Rightarrow 'b :: \text{real-normed-vector} \rangle$ 
  assumes  $c \neq 0 \implies f \text{ abs-summable-on } A$ 
  shows  $(\lambda x. c *_{\mathbb{R}} f x) \text{ abs-summable-on } A$ 
  apply (cases  $\langle c = 0 \rangle$ )
  apply simp
  by (auto intro!: summable-on-cmult-right assms)

```

```

lemma ex-norm1-not-singleton:
  shows  $\langle \exists x :: 'a :: \{\text{real-normed-vector}, \text{not-singleton}\}. \text{norm } x = 1 \rangle$ 
  apply (rule ex-norm1)
  by simp

```

**end**

## 4 *Extra-Ordered-Fields* – Additional facts about ordered fields

```

theory Extra-Ordered-Fields
  imports Complex-Main HOL-Library.Complex-Order
begin

```

### 4.1 Ordered Fields

In this section we introduce some type classes for ordered rings/fields/etc. that are weakenings of existing classes. Most theorems in this section are copies of the eponymous theorems from Isabelle/HOL, except that they are now proven requiring weaker type classes (usually the need for a total order is removed).

Since the lemmas are identical to the originals except for weaker type constraints, we use the same names as for the original lemmas. (In fact, the new lemmas could replace the original ones in Isabelle/HOL with at most minor incompatibilities.

## 4.2 Missing from Rings.thy

The existing class *abs-if* requires  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ . However, if  $(<)$  is not a total order, this condition is too strong when  $a$  is incomparable with  $0$ . (Namely, it requires the absolute value to be the identity on such elements. E.g., the absolute value for complex numbers does not satisfy this.) The following class *partial-abs-if* is analogous to *abs-if* but does not require anything if  $a$  is incomparable with  $0$ .

```

class partial-abs-if = minus + uminus + ord + zero + abs +
  assumes abs-neg:  $a \leq 0 \implies \text{abs } a = -a$ 
  assumes abs-pos:  $a \geq 0 \implies \text{abs } a = a$ 

class ordered-ring-strict = ring + ordered-semiring-strict
  + ordered-ab-group-add + partial-abs-if
  — missing class analogous to linordered-ring-strict without requiring a total order
begin

subclass ordered-ring ..

lemma mult-strict-left-mono-neg:  $b < a \implies c < 0 \implies c * a < c * b$ 
  using mult-strict-left-mono [of  $b \ a \ - \ c$ ] by simp

lemma mult-strict-right-mono-neg:  $b < a \implies c < 0 \implies a * c < b * c$ 
  using mult-strict-right-mono [of  $b \ a \ - \ c$ ] by simp

lemma mult-neg-neg:  $a < 0 \implies b < 0 \implies 0 < a * b$ 
  using mult-strict-right-mono-neg [of  $a \ 0 \ b$ ] by simp

end

lemmas mult-sign-intros =
  mult-nonneg-nonneg mult-nonneg-nonpos
  mult-nonpos-nonneg mult-nonpos-nonpos
  mult-pos-pos mult-pos-neg
  mult-neg-pos mult-neg-neg

```

## 4.3 Ordered fields

```

class ordered-field = field + order + ordered-comm-semiring-strict + ordered-ab-group-add
  + partial-abs-if
  — missing class analogous to linordered-field without requiring a total order
begin

```

**lemma** *frac-less-eq*:

$y \neq 0 \implies z \neq 0 \implies x / y < w / z \iff (x * z - w * y) / (y * z) < 0$   
**by** (*subst less-iff-diff-less-0*) (*simp add: diff-frac-eq*)

**lemma** *frac-le-eq*:

$y \neq 0 \implies z \neq 0 \implies x / y \leq w / z \iff (x * z - w * y) / (y * z) \leq 0$   
**by** (*subst le-iff-diff-le-0*) (*simp add: diff-frac-eq*)

**lemmas** *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

**lemmas** (*in -*) *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

Simplify expressions equated with 1

**lemma** *zero-eq-1-divide-iff* [*simp*]:  $0 = 1 / a \iff a = 0$   
**by** (*cases a = 0*) (*auto simp: field-simps*)

**lemma** *one-divide-eq-0-iff* [*simp*]:  $1 / a = 0 \iff a = 0$   
**using** *zero-eq-1-divide-iff* [*of a*] **by** *simp*

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

Simplify quotients that are compared with the value 1.

Conditional Simplification Rules: No Case Splits

**lemma** *eq-divide-eq-1* [*simp*]:  
 $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$   
**by** (*auto simp add: eq-divide-eq*)

**lemma** *divide-eq-eq-1* [*simp*]:  
 $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$   
**by** (*auto simp add: divide-eq-eq*)

**end**

The following type class intends to capture some important properties that are common both to the real and the complex numbers. The purpose is to be able to state and prove lemmas that apply both to the real and the complex numbers without needing to state the lemma twice.

**class** *nice-ordered-field* = *ordered-field* + *zero-less-one* + *idom-abs-sgn* +  
**assumes** *positive-imp-inverse-positive*:  $0 < a \implies 0 < \text{inverse } a$   
**and** *inverse-le-imp-le*:  $\text{inverse } a \leq \text{inverse } b \implies 0 < a \implies b \leq a$   
**and** *dense-le*:  $(\bigwedge x. x < y \implies x \leq z) \implies y \leq z$   
**and** *nn-comparable*:  $0 \leq a \implies 0 \leq b \implies a \leq b \vee b \leq a$   
**and** *abs-nn*:  $|x| \geq 0$

**begin**

**subclass** (*in linordered-field*) *nice-ordered-field*

**proof**

```

show  $|a| = -a$ 
  if  $a \leq 0$ 
  for  $a :: 'a$ 
  using that
  by simp
show  $|a| = a$ 
  if  $0 \leq a$ 
  for  $a :: 'a$ 
  using that
  by simp
show  $0 < \text{inverse } a$ 
  if  $0 < a$ 
  for  $a :: 'a$ 
  using that
  by simp
show  $b \leq a$ 
  if  $\text{inverse } a \leq \text{inverse } b$ 
  and  $0 < a$ 
  for  $a :: 'a$ 
  and  $b$ 
  using that
  using local.inverse-le-imp-le by blast
show  $y \leq z$ 
  if  $\bigwedge x :: 'a. x < y \implies x \leq z$ 
  for  $y$ 
  and  $z$ 
  using that
  using local.dense-le by blast
show  $a \leq b \vee b \leq a$ 
  if  $0 \leq a$ 
  and  $0 \leq b$ 
  for  $a :: 'a$ 
  and  $b$ 
  using that
  by auto
show  $0 \leq |x|$ 
  for  $x :: 'a$ 
  by simp
qed

lemma comparable:
  assumes  $h1: a \leq c \vee a \geq c$ 
  and  $h2: b \leq c \vee b \geq c$ 
  shows  $a \leq b \vee b \leq a$ 
proof-
  have  $a \leq b$ 
  if  $t1: \neg b \leq a$  and  $t2: a \leq c$  and  $t3: b \leq c$ 
proof-
  have  $0 \leq c - a$ 

```

by (simp add: t2)  
 moreover have  $0 \leq c-b$   
 by (simp add: t3)  
 ultimately have  $c-a \leq c-b \vee c-a \geq c-b$  by (rule nn-comparable)  
 hence  $-a \leq -b \vee -a \geq -b$   
 using local.add-le-imp-le-right local.uminus-add-conv-diff by presburger  
 thus ?thesis  
 by (simp add: t1)  
 qed  
 moreover have  $a \leq b$   
 if t1:  $\neg b \leq a$  and t2:  $c \leq a$  and t3:  $b \leq c$   
 proof-  
 have  $b \leq a$   
 using local.dual-order.trans t2 t3 by blast  
 thus ?thesis  
 using t1 by auto  
 qed  
 moreover have  $a \leq b$   
 if t1:  $\neg b \leq a$  and t2:  $c \leq a$  and t3:  $c \leq b$   
 proof-  
 have  $0 \leq a-c$   
 by (simp add: t2)  
 moreover have  $0 \leq b-c$   
 by (simp add: t3)  
 ultimately have  $a-c \leq b-c \vee a-c \geq b-c$  by (rule nn-comparable)  
 hence  $a \leq b \vee a \geq b$   
 by (simp add: local.le-diff-eq)  
 thus ?thesis  
 by (simp add: t1)  
 qed  
 ultimately show ?thesis using assms by auto  
 qed

**lemma** negative-imp-inverse-negative:

$a < 0 \implies \text{inverse } a < 0$

by (insert positive-imp-inverse-positive [of  $-a$ ],

simp add: nonzero-inverse-minus-eq less-imp-not-eq)

**lemma** inverse-positive-imp-positive:

assumes inv-gt-0:  $0 < \text{inverse } a$  and nz:  $a \neq 0$

shows  $0 < a$

proof -

have  $0 < \text{inverse } (\text{inverse } a)$

using inv-gt-0 by (rule positive-imp-inverse-positive)

thus  $0 < a$

using nz by (simp add: nonzero-inverse-inverse-eq)

qed

**lemma** inverse-negative-imp-negative:

**assumes** *inv-less-0*:  $\text{inverse } a < 0$  **and** *nz*:  $a \neq 0$   
**shows**  $a < 0$   
**proof** –  
   **have**  $\text{inverse } (\text{inverse } a) < 0$   
     **using** *inv-less-0* **by** (rule *negative-imp-inverse-negative*)  
   **thus**  $a < 0$  **using** *nz* **by** (simp add: *nonzero-inverse-inverse-eq*)  
**qed**

**lemma** *linordered-field-no-lb*:

$\forall x. \exists y. y < x$   
**proof**  
   **fix**  $x::'a$   
   **have**  $m1: -(1::'a) < 0$  **by** *simp*  
   **from** *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
   **have**  $(-1) + x < x$  **by** *simp*  
   **thus**  $\exists y. y < x$  **by** *blast*  
**qed**

**lemma** *linordered-field-no-ub*:

$\forall x. \exists y. y > x$   
**proof**  
   **fix**  $x::'a$   
   **have**  $m1: (1::'a) > 0$  **by** *simp*  
   **from** *add-strict-right-mono*[*OF*  $m1$ , **where**  $c=x$ ]  
   **have**  $1 + x > x$  **by** *simp*  
   **thus**  $\exists y. y > x$  **by** *blast*  
**qed**

**lemma** *less-imp-inverse-less*:

**assumes** *less*:  $a < b$  **and** *apos*:  $0 < a$   
**shows**  $\text{inverse } b < \text{inverse } a$   
**using** *assms* **by** (metis *local.dual-order.strict-iff-order*  
    $\text{local.inverse-inverse-eq}$   $\text{local.inverse-le-imp-le}$   $\text{local.positive-imp-inverse-positive}$ )

**lemma** *inverse-less-imp-less*:

$\text{inverse } a < \text{inverse } b \implies 0 < a \implies b < a$   
**using**  $\text{local.inverse-le-imp-le}$   $\text{local.order.strict-iff-order}$  **by** *blast*

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less* [*simp*]:

$0 < a \implies 0 < b \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$   
**by** (blast intro: *less-imp-inverse-less* dest: *inverse-less-imp-less*)

**lemma** *le-imp-inverse-le*:

$a \leq b \implies 0 < a \implies \text{inverse } b \leq \text{inverse } a$   
**by** (force simp add: *le-less less-imp-inverse-less*)

**lemma** *inverse-le-iff-le* [*simp*]:

$0 < a \implies 0 < b \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$

**by** (*blast intro: le-imp-inverse-le dest: inverse-le-imp-le*)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:

*inverse a ≤ inverse b ⇒ b < 0 ⇒ b ≤ a*

**by** (*metis local.inverse-le-imp-le local.inverse-minus-eq local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *inverse-less-imp-less-neg*:

*inverse a < inverse b ⇒ b < 0 ⇒ b < a*

**using** *local.dual-order.strict-iff-order local.inverse-le-imp-le-neg* **by** *blast*

**lemma** *inverse-less-iff-less-neg* [*simp*]:

*a < 0 ⇒ b < 0 ⇒ inverse a < inverse b ⇔ b < a*

**by** (*metis local.antisym-conv2 local.inverse-less-imp-less-neg local.negative-imp-inverse-negative local.nonzero-inverse-inverse-eq local.order.strict-implies-order*)

**lemma** *le-imp-inverse-le-neg*:

*a ≤ b ⇒ b < 0 ⇒ inverse b ≤ inverse a*

**by** (*force simp add: le-less less-imp-inverse-less-neg*)

**lemma** *inverse-le-iff-le-neg* [*simp*]:

*a < 0 ⇒ b < 0 ⇒ inverse a ≤ inverse b ⇔ b ≤ a*

**by** (*blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg*)

**lemma** *one-less-inverse*:

*0 < a ⇒ a < 1 ⇒ 1 < inverse a*

**using** *less-imp-inverse-less* [*of a 1, unfolded inverse-1*] .

**lemma** *one-le-inverse*:

*0 < a ⇒ a ≤ 1 ⇒ 1 ≤ inverse a*

**using** *le-imp-inverse-le* [*of a 1, unfolded inverse-1*] .

**lemma** *pos-le-divide-eq* [*field-simps*]:

**assumes** *0 < c*

**shows** *a ≤ b / c ⇔ a \* c ≤ b*

**using** *assms* **by** (*metis local.divide-eq-imp local.divide-inverse-commute local.dual-order.order-iff-strict local.dual-order.strict-iff-order local.mult-right-mono local.mult-strict-left-mono local.nonzero-divide-eq-eq local.order.strict-implies-order local.positive-imp-inverse-positive*)

**lemma** *pos-less-divide-eq* [*field-simps*]:

**assumes** *0 < c*

**shows** *a < b / c ⇔ a \* c < b*

**using** *assms local.dual-order.strict-iff-order local.nonzero-divide-eq-eq local.pos-le-divide-eq* **by** *auto*

**lemma** *neg-less-divide-eq* [*field-simps*]:

**assumes**  $c < 0$   
**shows**  $a < b / c \longleftrightarrow b < a * c$   
**by** (*metis* *assms* *local.minus-divide-divide* *local.mult-minus-right* *local.neg-0-less-iff-less* *local.neg-less-iff-less* *local.pos-less-divide-eq*)

**lemma** *neg-le-divide-eq* [*field-simps*]:  
**assumes**  $c < 0$   
**shows**  $a \leq b / c \longleftrightarrow b \leq a * c$   
**by** (*metis* *assms* *local.dual-order.order-iff-strict* *local.dual-order.strict-iff-order* *local.neg-less-divide-eq* *local.nonzero-divide-eq-eq*)

**lemma** *pos-divide-le-eq* [*field-simps*]:  
**assumes**  $0 < c$   
**shows**  $b / c \leq a \longleftrightarrow b \leq a * c$   
**by** (*metis* *assms* *local.dual-order.strict-iff-order* *local.nonzero-eq-divide-eq* *local.pos-le-divide-eq*)

**lemma** *pos-divide-less-eq* [*field-simps*]:  
**assumes**  $0 < c$   
**shows**  $b / c < a \longleftrightarrow b < a * c$   
**by** (*metis* *assms* *local.minus-divide-left* *local.mult-minus-left* *local.neg-less-iff-less* *local.pos-less-divide-eq*)

**lemma** *neg-divide-le-eq* [*field-simps*]:  
**assumes**  $c < 0$   
**shows**  $b / c \leq a \longleftrightarrow a * c \leq b$   
**by** (*metis* *assms* *local.minus-divide-left* *local.mult-minus-left* *local.neg-le-divide-eq* *local.neg-le-iff-le*)

**lemma** *neg-divide-less-eq* [*field-simps*]:  
**assumes**  $c < 0$   
**shows**  $b / c < a \longleftrightarrow a * c < b$   
**using** *assms* *local.dual-order.strict-iff-order* *local.neg-divide-le-eq* **by** *auto*

The following *field-simps* rules are necessary, as minus is always moved atop of division but we want to get rid of division.

**lemma** *pos-le-minus-divide-eq* [*field-simps*]:  $0 < c \implies a \leq - (b / c) \longleftrightarrow a * c \leq - b$   
**unfolding** *minus-divide-left* **by** (*rule* *pos-le-divide-eq*)

**lemma** *neg-le-minus-divide-eq* [*field-simps*]:  $c < 0 \implies a \leq - (b / c) \longleftrightarrow - b \leq a * c$   
**unfolding** *minus-divide-left* **by** (*rule* *neg-le-divide-eq*)

**lemma** *pos-less-minus-divide-eq* [*field-simps*]:  $0 < c \implies a < - (b / c) \longleftrightarrow a * c < - b$   
**unfolding** *minus-divide-left* **by** (*rule* *pos-less-divide-eq*)

**lemma** *neg-less-minus-divide-eq* [*field-simps*]:  $c < 0 \implies a < - (b / c) \longleftrightarrow - b < a * c$

$< a * c$   
**unfolding** *minus-divide-left* **by** (rule *neg-less-divide-eq*)

**lemma** *pos-minus-divide-less-eq* [*field-simps*]:  $0 < c \implies -(b / c) < a \longleftrightarrow -b < a * c$   
**unfolding** *minus-divide-left* **by** (rule *pos-divide-less-eq*)

**lemma** *neg-minus-divide-less-eq* [*field-simps*]:  $c < 0 \implies -(b / c) < a \longleftrightarrow a * c < -b$   
**unfolding** *minus-divide-left* **by** (rule *neg-divide-less-eq*)

**lemma** *pos-minus-divide-le-eq* [*field-simps*]:  $0 < c \implies -(b / c) \leq a \longleftrightarrow -b \leq a * c$   
**unfolding** *minus-divide-left* **by** (rule *pos-divide-le-eq*)

**lemma** *neg-minus-divide-le-eq* [*field-simps*]:  $c < 0 \implies -(b / c) \leq a \longleftrightarrow a * c \leq -b$   
**unfolding** *minus-divide-left* **by** (rule *neg-divide-le-eq*)

**lemma** *frac-less-eq*:  
 $y \neq 0 \implies z \neq 0 \implies x / y < w / z \longleftrightarrow (x * z - w * y) / (y * z) < 0$   
**by** (*subst less-iff-diff-less-0*) (*simp add: diff-frac-eq*)

**lemma** *frac-le-eq*:  
 $y \neq 0 \implies z \neq 0 \implies x / y \leq w / z \longleftrightarrow (x * z - w * y) / (y * z) \leq 0$   
**by** (*subst le-iff-diff-le-0*) (*simp add: diff-frac-eq*)

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

**lemma** *divide-pos-pos*[*simp*]:  
 $0 < x \implies 0 < y \implies 0 < x / y$   
**by**(*simp add:field-simps*)

**lemma** *divide-nonneg-pos*:  
 $0 \leq x \implies 0 < y \implies 0 \leq x / y$   
**by**(*simp add:field-simps*)

**lemma** *divide-neg-pos*:  
 $x < 0 \implies 0 < y \implies x / y < 0$   
**by**(*simp add:field-simps*)

**lemma** *divide-nonpos-pos*:  
 $x \leq 0 \implies 0 < y \implies x / y \leq 0$   
**by**(*simp add:field-simps*)

**lemma** *divide-pos-neg*:  
 $0 < x \implies y < 0 \implies x / y < 0$   
**by**(*simp add:field-simps*)

**lemma** *divide-nonneg-neg*:  
 $0 \leq x \implies y < 0 \implies x / y \leq 0$   
**by** (*simp add:field-simps*)

**lemma** *divide-neg-neg*:  
 $x < 0 \implies y < 0 \implies 0 < x / y$   
**by** (*simp add:field-simps*)

**lemma** *divide-nonpos-neg*:  
 $x \leq 0 \implies y < 0 \implies 0 \leq x / y$   
**by** (*simp add:field-simps*)

**lemma** *divide-strict-right-mono*:  
 $a < b \implies 0 < c \implies a / c < b / c$   
**by** (*simp add: less-imp-not-eq2 divide-inverse mult-strict-right-mono positive-imp-inverse-positive*)

**lemma** *divide-strict-right-mono-neg*:  
 $b < a \implies c < 0 \implies a / c < b / c$   
**by** (*simp add: local.neg-less-divide-eq*)

The last premise ensures that  $a$  and  $b$  have the same sign

**lemma** *divide-strict-left-mono*:  
 $b < a \implies 0 < c \implies 0 < a*b \implies c / a < c / b$   
**by** (*metis local.divide-neg-pos local.dual-order.strict-iff-order local.frac-less-eq local.less-iff-diff-less-0 local.mult-not-zero local.mult-strict-left-mono*)

**lemma** *divide-left-mono*:  
 $b \leq a \implies 0 \leq c \implies 0 < a*b \implies c / a \leq c / b$   
**using** *local.divide-cancel-left local.divide-strict-left-mono local.dual-order.order-iff-strict*  
**by** *auto*

**lemma** *divide-strict-left-mono-neg*:  
 $a < b \implies c < 0 \implies 0 < a*b \implies c / a < c / b$   
**by** (*metis local.divide-strict-left-mono local.minus-divide-left local.neg-0-less-iff-less local.neg-less-iff-less mult-commute*)

**lemma** *mult-imp-div-pos-le*:  $0 < y \implies x \leq z * y \implies x / y \leq z$   
**by** (*subst pos-divide-le-eq, assumption+*)

**lemma** *mult-imp-le-div-pos*:  $0 < y \implies z * y \leq x \implies z \leq x / y$   
**by** (*simp add:field-simps*)

**lemma** *mult-imp-div-pos-less*:  $0 < y \implies x < z * y \implies x / y < z$   
**by** (*simp add:field-simps*)

**lemma** *mult-imp-less-div-pos*:  $0 < y \implies z * y < x \implies z < x / y$

```

by(simp add:field-simps)

lemma frac-le:  $0 \leq x \implies x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$ 
  using local.mult-imp-div-pos-le local.mult-imp-le-div-pos local.mult-mono by auto

lemma frac-less:  $0 \leq x \implies x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$ 
proof-
  assume a1:  $w \leq z$ 
  assume a2:  $0 < w$ 
  assume a3:  $0 \leq x$ 
  assume a4:  $x < y$ 
  have f5:  $a = 0 \vee (b = c / a) = (b * a = c)$ 
    for a b c::'a
  by (meson local.nonzero-eq-divide-eq)
  have f6:  $0 < z$ 
    using a2 a1 less-le-trans by blast
  have  $z \neq 0$ 
    using a2 a1 by (meson local.leD)
  moreover have  $x / z \neq y / w$ 
    using a1 a2 a3 a4 local.frac-eq-eq local.mult-less-le-imp-less by fastforce
  ultimately have  $x / z \neq y / w$ 
    using f5 by (metis (no-types))
  thus ?thesis
    using a4 a3 a2 a1 by (meson local.frac-le local.order.not-eq-order-implies-strict
      local.order.strict-implies-order)
qed

lemma frac-less2:  $0 < x \implies x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$ 
  by (metis local.antisym-conv2 local.divide-cancel-left local.dual-order.strict-implies-order
    local.frac-le local.frac-less)

lemma less-half-sum:  $a < b \implies a < (a+b) / (1+1)$ 
  by (metis local.add-pos-pos local.add-strict-left-mono local.mult-imp-less-div-pos
    local.semiring-normalization-rules(4) local.zero-less-one mult-commute)

lemma gt-half-sum:  $a < b \implies (a+b)/(1+1) < b$ 
  by (metis local.add-pos-pos local.add-strict-left-mono local.mult-imp-div-pos-less
    local.semiring-normalization-rules(24) local.semiring-normalization-rules(4) local.zero-less-one
    mult-commute)

subclass unbounded-dense-order
proof
  fix x y :: 'a
  have less-add-one:  $a < a + 1$  for a::'a by auto
  from less-add-one show  $\exists y. x < y$ 
    by blast

  from less-add-one have  $x + (-1) < (x + 1) + (-1)$ 

```

```

    by (rule add-strict-right-mono)
  hence  $x - 1 < x + 1 - 1$  by simp
  hence  $x - 1 < x$  by (simp add: algebra-simps)
  thus  $\exists y. y < x$  ..
  show  $x < y \implies \exists z > x. z < y$  by (blast intro!: less-half-sum gt-half-sum)
qed

```

**lemma** *dense-le-bounded*:

```

  fixes  $x\ y\ z :: 'a$ 
  assumes  $x < y$ 
  and *:  $\bigwedge w. \llbracket x < w ; w < y \rrbracket \implies w \leq z$ 
  shows  $y \leq z$ 
proof (rule dense-le)
  fix  $w$  assume  $w < y$ 
  from dense[OF  $\langle x < y \rangle$ ] obtain  $u$  where  $x < u < y$  by safe
  have  $u \leq w \vee w \leq u$ 
  using  $\langle u < y \rangle \langle w < y \rangle$  comparable local.order.strict-implies-order by blast
  thus  $w \leq z$ 
  using *  $\langle u < y \rangle \langle w < y \rangle \langle x < u \rangle$  local.dual-order.trans local.order.strict-trans2
by blast
qed

```

**subclass** *field-abs-sgn* ..

**lemma** *nonzero-abs-inverse*:

```

 $a \neq 0 \implies |\text{inverse } a| = \text{inverse } |a|$ 
by (rule abs-inverse)

```

**lemma** *nonzero-abs-divide*:

```

 $b \neq 0 \implies |a / b| = |a| / |b|$ 
by (rule abs-divide)

```

**lemma** *field-le-epsilon*:

```

  assumes  $e: \bigwedge e. 0 < e \implies x \leq y + e$ 
  shows  $x \leq y$ 
proof (rule dense-le)
  fix  $t$  assume  $t < x$ 
  hence  $0 < x - t$  by (simp add: less-diff-eq)
  from  $e$  [OF this] have  $x + 0 \leq x + (y - t)$  by (simp add: algebra-simps)
  hence  $0 \leq y - t$  by (simp only: add-le-cancel-left)
  thus  $t \leq y$  by (simp add: algebra-simps)
qed

```

**lemma** *inverse-positive-iff-positive* [simp]:

```

 $(0 < \text{inverse } a) = (0 < a)$ 
using local.positive-imp-inverse-positive by fastforce

```

**lemma** *inverse-negative-iff-negative* [simp]:  
 $(\text{inverse } a < 0) = (a < 0)$   
**using** *local.negative-imp-inverse-negative* **by** *fastforce*

**lemma** *inverse-nonnegative-iff-nonnegative* [simp]:  
 $0 \leq \text{inverse } a \iff 0 \leq a$   
**by** (*simp add: local.dual-order.order-iff-strict*)

**lemma** *inverse-nonpositive-iff-nonpositive* [simp]:  
 $\text{inverse } a \leq 0 \iff a \leq 0$   
**using** *local.inverse-nonnegative-iff-nonnegative local.neg-0-le-iff-le* **by** *fastforce*

**lemma** *one-less-inverse-iff*:  $1 < \text{inverse } x \iff 0 < x \wedge x < 1$   
**using** *less-trans[of 1 x 0 for x]*  
**by** (*metis local.dual-order.strict-trans local.inverse-1 local.inverse-less-imp-less local.inverse-positive-iff-positive local.one-less-inverse local.zero-less-one*)

**lemma** *one-le-inverse-iff*:  $1 \leq \text{inverse } x \iff 0 < x \wedge x \leq 1$   
**by** (*metis local.dual-order.strict-trans1 local.inverse-1 local.inverse-le-imp-le local.inverse-positive-iff-positive local.one-le-inverse local.zero-less-one*)

**lemma** *inverse-less-1-iff*:  $\text{inverse } x < 1 \iff x \leq 0 \vee 1 < x$   
**proof** (*rule*)  
**assume** *invx1: inverse x < 1*  
**have**  $\text{inverse } x \leq 0 \vee \text{inverse } x \geq 0$   
**using** *comparable invx1 local.order.strict-implies-order local.zero-less-one* **by** *blast*  
**then consider** (*leq0*)  $\text{inverse } x \leq 0$  | (*pos*)  $\text{inverse } x > 0$  | (*zero*)  $\text{inverse } x = 0$   
**using** *local.antisym-conv1* **by** *blast*  
**thus**  $x \leq 0 \vee 1 < x$   
**by** (*metis invx1 local.eq-refl local.inverse-1 inverse-less-imp-less inverse-nonpositive-iff-nonpositive inverse-positive-iff-positive*)

**next**  
**assume**  $x \leq 0 \vee 1 < x$   
**then consider** (*neg*)  $x \leq 0$  | (*g1*)  $1 < x$  **by** *auto*  
**thus**  $\text{inverse } x < 1$   
**by** (*metis local.dual-order.not-eq-order-implies-strict local.dual-order.strict-trans local.inverse-1 local.inverse-negative-iff-negative local.inverse-zero local.less-imp-inverse-less local.zero-less-one*)

**qed**

**lemma** *inverse-le-1-iff*:  $\text{inverse } x \leq 1 \iff x \leq 0 \vee 1 \leq x$   
**by** (*metis local.dual-order.order-iff-strict local.inverse-1 local.inverse-le-iff-le local.inverse-less-1-iff local.one-le-inverse-iff*)

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemma** *zero-le-divide-1-iff* [simp]:  
 $0 \leq 1 / a \iff 0 \leq a$   
**using** *local.dual-order.order-iff-strict local.inverse-eq-divide*

*local.inverse-positive-iff-positive* **by** *auto*

**lemma** *zero-less-divide-1-iff* [*simp*]:

$0 < 1 / a \iff 0 < a$

**by** (*simp add: local.dual-order.strict-iff-order*)

**lemma** *divide-le-0-1-iff* [*simp*]:

$1 / a \leq 0 \iff a \leq 0$

**by** (*smt local.abs-0 local.abs-1 local.abs-divide local.abs-neg local.abs-nn*

*local.divide-cancel-left local.le-minus-iff local.minus-divide-right local.zero-neq-one*)

**lemma** *divide-less-0-1-iff* [*simp*]:

$1 / a < 0 \iff a < 0$

**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-right-mono*:

$a \leq b \implies 0 \leq c \implies a/c \leq b/c$

**using** *local.divide-cancel-right local.divide-strict-right-mono local.dual-order.order-iff-strict*

**by** *blast*

**lemma** *divide-right-mono-neg*:  $a \leq b$

$\implies c \leq 0 \implies b / c \leq a / c$

**by** (*metis local.divide-cancel-right local.divide-strict-right-mono-neg local.dual-order.strict-implies-order local.eq-reft local.le-imp-less-or-eq*)

**lemma** *divide-left-mono-neg*:  $a \leq b$

$\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$

**by** (*metis local.divide-left-mono local.minus-divide-left local.neg-0-le-iff-le local.neg-le-iff-le mult-commute*)

**lemma** *divide-nonneg-nonneg* [*simp*]:

$0 \leq x \implies 0 \leq y \implies 0 \leq x / y$

**using** *local.divide-eq-0-iff local.divide-nonneg-pos local.dual-order.order-iff-strict*

**by** *blast*

**lemma** *divide-nonpos-nonpos*:

$x \leq 0 \implies y \leq 0 \implies 0 \leq x / y$

**using** *local.divide-nonpos-neg local.dual-order.order-iff-strict* **by** *auto*

**lemma** *divide-nonneg-nonpos*:

$0 \leq x \implies y \leq 0 \implies x / y \leq 0$

**by** (*metis local.divide-eq-0-iff local.divide-nonneg-neg local.dual-order.order-iff-strict*)

**lemma** *divide-nonpos-nonneg*:

$x \leq 0 \implies 0 \leq y \implies x / y \leq 0$

**using** *local.divide-nonpos-pos local.dual-order.order-iff-strict* **by** *auto*

Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp*]:

$0 < a \implies (1 \leq b/a) = (a \leq b)$   
**by** (*simp add: local.pos-le-divide-eq*)

**lemma** *le-divide-eq-1-neg* [*simp*]:  
 $a < 0 \implies (1 \leq b/a) = (b \leq a)$   
**by** (*metis local.le-divide-eq-1-pos local.minus-divide-divide local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *divide-le-eq-1-pos* [*simp*]:  
 $0 < a \implies (b/a \leq 1) = (b \leq a)$   
**using** *local.pos-divide-le-eq* **by** *auto*

**lemma** *divide-le-eq-1-neg* [*simp*]:  
 $a < 0 \implies (b/a \leq 1) = (a \leq b)$   
**by** (*metis local.divide-le-eq-1-pos local.minus-divide-divide local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *less-divide-eq-1-pos* [*simp*]:  
 $0 < a \implies (1 < b/a) = (a < b)$   
**by** (*simp add: local.dual-order.strict-iff-order*)

**lemma** *less-divide-eq-1-neg* [*simp*]:  
 $a < 0 \implies (1 < b/a) = (b < a)$   
**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-less-eq-1-pos* [*simp*]:  
 $0 < a \implies (b/a < 1) = (b < a)$   
**using** *local.divide-le-eq-1-pos local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-less-eq-1-neg* [*simp*]:  
 $a < 0 \implies b/a < 1 \iff a < b$   
**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *abs-div-pos*:  $0 < y \implies$   
 $|x| / y = |x / y|$   
**by** (*simp add: local.abs-pos*)

**lemma** *zero-le-divide-abs-iff* [*simp*]:  $(0 \leq a / |b|) = (0 \leq a \mid b = 0)$   
**proof**  
**assume** *assm*:  $0 \leq a / |b|$   
**have** *absb*:  $\text{abs } b \geq 0$  **by** (*fact abs-nn*)  
**thus**  $0 \leq a \vee b = 0$   
**using** *absb assm local.abs-eq-0-iff local.mult-nonneg-nonneg* **by** *fastforce*  
**next**  
**assume**  $0 \leq a \vee b = 0$   
**then consider**  $(a) \ 0 \leq a \mid (b) \ b = 0$  **by** *atomize-elim auto*  
**thus**  $0 \leq a / |b|$   
**by** (*metis local.abs-eq-0-iff local.abs-nn local.divide-eq-0-iff local.divide-nonneg-nonneg*)  
**qed**

**lemma** *divide-le-0-abs-iff* [simp]:  $(a / |b| \leq 0) = (a \leq 0 \mid b = 0)$   
**by** (metis local.minus-divide-left local.neg-0-le-iff-le local.zero-le-divide-abs-iff)

For creating values between  $u$  and  $v$ .

**lemma** *scaling-mono*:  
**assumes**  $u \leq v$  **and**  $0 \leq r$  **and**  $r \leq s$   
**shows**  $u + r * (v - u) / s \leq v$   
**proof** –  
**have**  $r/s \leq 1$  **using** *assms*  
**by** (metis local.divide-le-eq-1-pos local.division-ring-divide-zero  
local.dual-order.order-iff-strict local.dual-order.trans local.zero-less-one)  
**hence**  $(r/s) * (v - u) \leq 1 * (v - u)$   
**using** *assms*(1) local.diff-ge-0-iff-ge local.mult-right-mono **by** *blast*  
**thus** ?thesis  
**by** (simp add: field-simps)  
**qed**  
**end**

**code-identifier**

**code-module** *Ordered-Fields*  $\rightarrow$  (SML) *Arith* **and** (OCaml) *Arith* **and** (Haskell) *Arith*

#### 4.4 Ordering on complex numbers

**instantiation** *complex* :: nice-ordered-field **begin**  
**instance**  
**proof** *intro-classes*  
**note** *defs* = *less-eq-complex-def less-complex-def abs-complex-def*  
**fix**  $x\ y\ z\ a\ b\ c :: \text{complex}$   
**show**  $a \leq 0 \implies |a| = -a$  **unfolding** *defs*  
**by** (simp add: cmod-eq-Re complex-is-Real-iff)  
**show**  $0 \leq a \implies |a| = a$   
**unfolding** *defs*  
**by** (metis abs-of-nonneg cmod-eq-Re comp-apply complex.exhaust-sel complex-of-real-def  
zero-complex.simps(1) zero-complex.simps(2))  
**show**  $a < b \implies 0 < c \implies c * a < c * b$  **unfolding** *defs* **by** *auto*  
**show**  $0 < (1::\text{complex})$  **unfolding** *defs* **by** *simp*  
**show**  $0 < a \implies 0 < \text{inverse } a$  **unfolding** *defs* **by** *auto*  
**define**  $ra\ ia\ rb\ ib\ rc\ ic$  **where**  $ra = \text{Re } a\ ia = \text{Im } a\ rb = \text{Re } b\ ib = \text{Im } b\ rc =$   
 $\text{Re } c\ ic = \text{Im } c$   
**note**  $ri = \text{this}[\text{symmetric}]$   
**hence**  $a = \text{Complex } ra\ ia\ b = \text{Complex } rb\ ib\ c = \text{Complex } rc\ ic$  **by** *auto*  
**note**  $ri = \text{this } ri$   
**have**  $rb \leq ra$   
**if**  $1 / ra \leq (\text{if } rb = 0 \text{ then } 0 \text{ else } 1 / rb)$

```

    and  $ia = 0$  and  $0 < ra$  and  $ib = 0$ 
  proof(cases  $rb = 0$ )
    case True
      thus ?thesis
        using that(3) by auto
    next
      case False
      thus ?thesis
        by (smt nice-ordered-field-class.frac-less2 that(1) that(3))
    qed
  thus  $inverse\ a \leq inverse\ b \implies 0 < a \implies b \leq a$  unfolding defs ri
    by (auto simp: power2-eq-square)
  show  $(\bigwedge a. a < b \implies a \leq c) \implies b \leq c$  unfolding defs ri
    by (metis complex.sel(1) complex.sel(2) dense less-le-not-le
      nice-ordered-field-class.linordered-field-no-lb not-le-imp-less)
  show  $0 \leq a \implies 0 \leq b \implies a \leq b \vee b \leq a$  unfolding defs by auto
  show  $0 \leq |x|$  unfolding defs by auto
qed
end

```

```

lemma complex-of-real-mono:
   $x \leq y \implies complex-of-real\ x \leq complex-of-real\ y$ 
  unfolding less-eq-complex-def by auto

```

```

lemma complex-of-real-mono-iff[simp]:
   $complex-of-real\ x \leq complex-of-real\ y \longleftrightarrow x \leq y$ 
  unfolding less-eq-complex-def by auto

```

```

lemma complex-of-real-strict-mono-iff[simp]:
   $complex-of-real\ x < complex-of-real\ y \longleftrightarrow x < y$ 
  unfolding less-complex-def by auto

```

```

lemma complex-of-real-nn-iff[simp]:
   $0 \leq complex-of-real\ y \longleftrightarrow 0 \leq y$ 
  unfolding less-eq-complex-def by auto

```

```

lemma complex-of-real-pos-iff[simp]:
   $0 < complex-of-real\ y \longleftrightarrow 0 < y$ 
  unfolding less-complex-def by auto

```

end

## 5 *Extra-Operator-Norm* – Additional facts bout the operator norm

```

theory Extra-Operator-Norm
  imports HOL-Analysis.Operator-Norm
    Extra-General

```

**begin**

This theorem complements *HOL–Analysis.Operator-Norm* additional useful facts about operator norms.

**lemma** *onorm-sphere*:

```

fixes  $f :: 'a::\{\text{real-normed-vector}, \text{not-singleton}\} \Rightarrow 'b::\text{real-normed-vector}$ 
assumes  $a1: \text{bounded-linear } f$ 
shows  $\langle \text{onorm } f = \text{Sup } \{ \text{norm } (f\ x) \mid x. \text{norm } x = 1 \} \rangle$ 
proof(cases  $\langle f = (\lambda \cdot. 0) \rangle$ )
  case True
    have  $\langle (\text{UNIV}::'a \text{ set}) \neq \{0\} \rangle$ 
      by simp
    hence  $\langle \exists x::'a. \text{norm } x = 1 \rangle$ 
      using ex-norm1
      by blast
    have  $\langle \text{norm } (f\ x) = 0 \rangle$ 
      for  $x$ 
      by (simp add: True)
    hence  $\langle \{ \text{norm } (f\ x) \mid x. \text{norm } x = 1 \} = \{0\} \rangle$ 
      using  $\langle \exists x. \text{norm } x = 1 \rangle$  by auto
    hence  $v1: \langle \text{Sup } \{ \text{norm } (f\ x) \mid x. \text{norm } x = 1 \} = 0 \rangle$ 
      by simp
    have  $\langle \text{onorm } f = 0 \rangle$ 
      by (simp add: True onorm-eq-0)
    thus ?thesis using  $v1$  by simp
  next
    case False
    have  $\langle y \in \{ \text{norm } (f\ x) \mid x. \text{norm } x = 1 \} \cup \{0\} \rangle$ 
      if  $y \in \{ \text{norm } (f\ x) \mid x. \text{True} \}$ 
      for  $y$ 
    proof(cases  $\langle y = 0 \rangle$ )
      case True
      thus ?thesis
      by simp
    next
      case False
      have  $\langle \exists x. y = \text{norm } (f\ x) / \text{norm } x \rangle$ 
        using  $\langle y \in \{ \text{norm } (f\ x) / \text{norm } x \mid x. \text{True} \} \rangle$  by auto
      then obtain  $x$  where  $\langle y = \text{norm } (f\ x) / \text{norm } x \rangle$ 
        by blast
      hence  $\langle y = |(1/\text{norm } x)| * \text{norm } (f\ x) \rangle$ 
        by simp
      hence  $\langle y = \text{norm } ((1/\text{norm } x) *_R f\ x) \rangle$ 
        by simp
      hence  $\langle y = \text{norm } (f ((1/\text{norm } x) *_R x)) \rangle$ 
        apply (subst linear-cmul[of f])
        by (simp-all add: assms bounded-linear.linear)

```

```

moreover have  $\langle \text{norm } ((1/\text{norm } x) *_{\mathbb{R}} x) = 1 \rangle$ 
  using  $\text{False } \langle y = \text{norm } (f x) / \text{norm } x \rangle$  by auto
ultimately have  $\langle y \in \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \rangle$ 
  by blast
thus ?thesis by blast
qed
moreover have  $y \in \{\text{norm } (f x) / \text{norm } x \mid x. \text{True}\}$ 
  if  $\langle y \in \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \cup \{0\} \rangle$ 
  for  $y$ 
proof(cases  $\langle y = 0 \rangle$ )
  case True
  thus ?thesis
  by auto
next
  case False
  hence  $\langle y \notin \{0\} \rangle$ 
  by simp
  hence  $\langle y \in \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \rangle$ 
  using that by auto
  hence  $\langle \exists x. \text{norm } x = 1 \wedge y = \text{norm } (f x) \rangle$ 
  by auto
  then obtain  $x$  where  $\langle \text{norm } x = 1 \rangle$  and  $\langle y = \text{norm } (f x) \rangle$ 
  by auto
  have  $\langle y = \text{norm } (f x) / \text{norm } x \rangle$  using  $\langle \text{norm } x = 1 \rangle$   $\langle y = \text{norm } (f x) \rangle$ 
  by simp
  thus ?thesis
  by auto
qed
ultimately have  $\langle \{\text{norm } (f x) / \text{norm } x \mid x. \text{True}\} = \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \cup \{0\} \rangle$ 
  by blast
  hence  $\langle \text{Sup } \{\text{norm } (f x) / \text{norm } x \mid x. \text{True}\} = \text{Sup } (\{\text{norm } (f x) \mid x. \text{norm } x = 1\} \cup \{0\}) \rangle$ 
  by simp
moreover have  $\langle \text{Sup } \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \geq 0 \rangle$ 
proof–
  have  $\langle \exists x::'a. \text{norm } x = 1 \rangle$ 
  by (metis (full-types) False assms linear-simps(3) norm-sgn)
  then obtain  $x::'a$  where  $\langle \text{norm } x = 1 \rangle$ 
  by blast
  have  $\langle \text{norm } (f x) \geq 0 \rangle$ 
  by simp
  hence  $\langle \exists x::'a. \text{norm } x = 1 \wedge \text{norm } (f x) \geq 0 \rangle$ 
  using  $\langle \text{norm } x = 1 \rangle$  by blast
  hence  $\langle \exists y \in \{\text{norm } (f x) \mid x. \text{norm } x = 1\}. y \geq 0 \rangle$ 
  by blast
  then obtain  $y::\text{real}$  where  $\langle y \in \{\text{norm } (f x) \mid x. \text{norm } x = 1\} \rangle$ 
  and  $\langle y \geq 0 \rangle$ 
  by auto

```

```

have ⟨{norm (f x) | x. norm x = 1} ≠ {}⟩
  using ⟨y ∈ {norm (f x) | x. norm x = 1}⟩ by blast
moreover have ⟨bdd-above {norm (f x) | x. norm x = 1}⟩
  using bdd-above-norm-f
  by (metis (mono-tags, lifting) a1)
ultimately have ⟨y ≤ Sup {norm (f x) | x. norm x = 1}⟩
  using ⟨y ∈ {norm (f x) | x. norm x = 1}⟩
  by (simp add: cSup-upper)
thus ?thesis using ⟨y ≥ 0⟩ by simp
qed
moreover have ⟨Sup ({norm (f x) | x. norm x = 1} ∪ {0}) = Sup {norm (f x)
| x. norm x = 1}⟩
proof-
  have ⟨{norm (f x) | x. norm x = 1} ≠ {}⟩
    by (simp add: assms(1) ex-norm1)
  moreover have ⟨bdd-above {norm (f x) | x. norm x = 1}⟩
    using a1 bdd-above-norm-f by force
  have ⟨{0::real} ≠ {}⟩
    by simp
  moreover have ⟨bdd-above {0::real}⟩
    by simp
  ultimately have ⟨Sup ({norm (f x) | x. norm x = 1} ∪ {0::real})⟩
    = max (Sup {norm (f x) | x. norm x = 1}) (Sup {0::real})
    by (metis (lifting) ⟨0 ≤ Sup {norm (f x) | x. norm x = 1}⟩ ⟨bdd-above {0}⟩
    ⟨bdd-above {norm (f x) | x. norm x = 1}⟩ ⟨{0} ≠ {}⟩ ⟨{norm (f x) | x. norm x =
    1} ≠ {}⟩ cSup-singleton cSup-union-distrib max.absorb-iff1 sup.absorb-iff1)
  moreover have ⟨Sup {0::real} = (0::real)⟩
    by simp
  moreover have ⟨Sup {norm (f x) | x. norm x = 1} ≥ 0⟩
    by (simp add: ⟨0 ≤ Sup {norm (f x) | x. norm x = 1}⟩)
  ultimately show ?thesis
    by simp
qed
moreover have ⟨Sup ({norm (f x) | x. norm x = 1} ∪ {0})
  = max (Sup {norm (f x) | x. norm x = 1}) (Sup {0})⟩
  using calculation(2) calculation(3) by auto
ultimately have w1: Sup {norm (f x) / norm x | x. True} = Sup {norm (f x)
| x. norm x = 1}
  by simp

have ⟨(SUP x. norm (f x) / (norm x)) = Sup {norm (f x) / norm x | x. True}⟩
  by (simp add: full-SetCompr-eq)
also have ⟨... = Sup {norm (f x) | x. norm x = 1}⟩
  using w1 by auto
ultimately have ⟨(SUP x. norm (f x) / (norm x)) = Sup {norm (f x) | x. norm
x = 1}⟩
  by linarith
thus ?thesis unfolding onorm-def by blast
qed

```

```

lemma onormI:
  assumes  $\bigwedge x. \text{norm } (f x) \leq b * \text{norm } x$ 
    and  $x \neq 0$  and  $\text{norm } (f x) = b * \text{norm } x$ 
  shows  $\text{onorm } f = b$ 
  apply (unfold onorm-def, rule cSup-eq-maximum)
  apply (smt (verit) UNIV-I assms(2) assms(3) image-iff nonzero-mult-div-cancel-right
    norm-eq-zero)
  by (smt (verit, del-insts) assms(1) assms(2) divide-nonneg-nonpos norm-ge-zero
    norm-le-zero-iff pos-divide-le-eq rangeE zero-le-mult-iff)

end

```

## 6 Complex-Vector-Spaces0 – Vector Spaces and Algebras over the Complex Numbers

```

theory Complex-Vector-Spaces0
  imports HOL.Real-Vector-Spaces HOL.Topological-Spaces HOL.Vector-Spaces
    Complex-Main
    HOL-Library.Complex-Order
    HOL-Analysis.Product-Vector
begin

```

### 6.1 Complex vector spaces

```

class scaleC = scaleR +
  fixes scaleC :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr ' *_C ' 75)
  assumes scaleR-scaleC: scaleR r = scaleC (complex-of-real r)
begin

abbreviation divideC :: 'a  $\Rightarrow$  complex  $\Rightarrow$  'a (infixl ' /_C ' 70)
  where x /_C c  $\equiv$  inverse c *_C x

end

class complex-vector = scaleC + ab-group-add +
  assumes scaleC-add-right:  $a *_C (x + y) = (a *_C x) + (a *_C y)$ 
    and scaleC-add-left:  $(a + b) *_C x = (a *_C x) + (b *_C x)$ 
    and scaleC-scaleC[simp]:  $a *_C (b *_C x) = (a * b) *_C x$ 
    and scaleC-one[simp]:  $1 *_C x = x$ 

subclass (in complex-vector) real-vector
  by (standard, simp-all add: scaleR-scaleC scaleC-add-right scaleC-add-left)

class complex-algebra = complex-vector + ring +
  assumes mult-scaleC-left[simp]:  $a *_C x * y = a *_C (x * y)$ 
    and mult-scaleC-right[simp]:  $x * a *_C y = a *_C (x * y)$ 

```

```

subclass (in complex-algebra) real-algebra
  by (standard, simp-all add: scaleR-scaleC)

class complex-algebra-1 = complex-algebra + ring-1

subclass (in complex-algebra-1) real-algebra-1 ..

class complex-div-algebra = complex-algebra-1 + division-ring

subclass (in complex-div-algebra) real-div-algebra ..

class complex-field = complex-div-algebra + field

subclass (in complex-field) real-field ..

instantiation complex :: complex-field
begin

definition complex-scaleC-def [simp]: scaleC a x = a * x

instance
proof intro-classes
  fix r :: real and a b x y :: complex
  show ((*R) r::complex  $\Rightarrow$  -) = (*C) (complex-of-real r)
    by (auto simp add: scaleR-conv-of-real)
  show a *C (x + y) = a *C x + a *C y
    by (simp add: ring-class.ring-distrib(1))
  show (a + b) *C x = a *C x + b *C x
    by (simp add: algebra-simps)
  show a *C b *C x = (a * b) *C x
    by simp
  show 1 *C x = x
    by simp
  show a *C (x::complex) * y = a *C (x * y)
    by simp
  show (x::complex) * a *C y = a *C (x * y)
    by simp
qed

end

locale clinear = Vector-Spaces.linear scaleC:: $\Rightarrow$  $\Rightarrow$ 'a::complex-vector scaleC:: $\Rightarrow$  $\Rightarrow$ 'b::complex-vector
begin

```

```

sublocale real: linear
  — Gives access to all lemmas from Real-Vector-Spaces.linear using prefix real.
  apply standard
  by (auto simp add: add scale scaleR-scaleC)

lemmas scaleC = scale

end

global-interpretation complex-vector: vector-space scaleC :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a
:: complex-vector
  rewrites Vector-Spaces.linear (*C) (*C) = clinear
    and Vector-Spaces.linear (*) (*C) = clinear
  defines cdependent-raw-def: cdependent = complex-vector.dependent
    and crepresentation-raw-def: crepresentation = complex-vector.representation
    and csubspace-raw-def: csubspace = complex-vector.subspace
    and cspan-raw-def: cspan = complex-vector.span
    and cextend-basis-raw-def: cextend-basis = complex-vector.extend-basis
    and cdim-raw-def: cdim = complex-vector.dim
proof unfold-locales
  show Vector-Spaces.linear (*C) (*C) = clinear Vector-Spaces.linear (*) (*C) =
clinear
    by (force simp: clinear-def complex-scaleC-def[abs-def]) +
qed (use scaleC-add-right scaleC-add-left in auto)

abbreviation cindependent x  $\equiv$   $\neg$  cdependent x

global-interpretation complex-vector: vector-space-pair scaleC ::  $\Rightarrow$   $\Rightarrow$  'a :: complex-vector
scaleC ::  $\Rightarrow$   $\Rightarrow$  'b :: complex-vector
  rewrites Vector-Spaces.linear (*C) (*C) = clinear
    and Vector-Spaces.linear (*) (*C) = clinear
  defines cconstruct-raw-def: cconstruct = complex-vector.construct
proof unfold-locales
  show Vector-Spaces.linear (*) (*C) = clinear
    unfolding clinear-def complex-scaleC-def by auto
qed (auto simp: clinear-def)

lemma clinear-compose: clinear f  $\implies$  clinear g  $\implies$  clinear (g  $\circ$  f)
  unfolding clinear-def by (rule Vector-Spaces.linear-compose)

Recover original theorem names

lemmas scaleC-left-commute = complex-vector.scale-left-commute
lemmas scaleC-zero-left = complex-vector.scale-zero-left

```

**lemmas** *scaleC-minus-left* = *complex-vector.scale-minus-left*  
**lemmas** *scaleC-diff-left* = *complex-vector.scale-left-diff-distrib*  
**lemmas** *scaleC-sum-left* = *complex-vector.scale-sum-left*  
**lemmas** *scaleC-zero-right* = *complex-vector.scale-zero-right*  
**lemmas** *scaleC-minus-right* = *complex-vector.scale-minus-right*  
**lemmas** *scaleC-diff-right* = *complex-vector.scale-right-diff-distrib*  
**lemmas** *scaleC-sum-right* = *complex-vector.scale-sum-right*  
**lemmas** *scaleC-eq-0-iff* = *complex-vector.scale-eq-0-iff*  
**lemmas** *scaleC-left-imp-eq* = *complex-vector.scale-left-imp-eq*  
**lemmas** *scaleC-right-imp-eq* = *complex-vector.scale-right-imp-eq*  
**lemmas** *scaleC-cancel-left* = *complex-vector.scale-cancel-left*  
**lemmas** *scaleC-cancel-right* = *complex-vector.scale-cancel-right*

**lemma** *divideC-field-simps*[*field-simps*]:  
 $c \neq 0 \implies a = b /_C c \iff c *_C a = b$   
 $c \neq 0 \implies b /_C c = a \iff b = c *_C a$   
 $c \neq 0 \implies a + b /_C c = (c *_C a + b) /_C c$   
 $c \neq 0 \implies a /_C c + b = (a + c *_C b) /_C c$   
 $c \neq 0 \implies a - b /_C c = (c *_C a - b) /_C c$   
 $c \neq 0 \implies a /_C c - b = (a - c *_C b) /_C c$   
 $c \neq 0 \implies -(a /_C c) + b = (-a + c *_C b) /_C c$   
 $c \neq 0 \implies -(a /_C c) - b = (-a - c *_C b) /_C c$   
**for**  $a\ b :: 'a :: \text{complex-vector}$   
**by** (*auto simp add: scaleC-add-right scaleC-add-left scaleC-diff-right scaleC-diff-left*)

Legacy names – omitted

**lemmas** *clinear-injective-0* = *linear-inj-iff-eq-0*  
**and** *clinear-injective-on-subspace-0* = *linear-inj-on-iff-eq-0*  
**and** *clinear-cmul* = *linear-scale*  
**and** *clinear-scaleC* = *linear-scale-self*  
**and** *csubspace-mul* = *subspace-scale*  
**and** *cspan-linear-image* = *linear-span-image*  
**and** *cspan-0* = *span-zero*  
**and** *cspan-mul* = *span-scale*  
**and** *injective-scaleC* = *injective-scale*

**lemma** *scaleC-minus1-left* [*simp*]:  $\text{scaleC } (-1) \ x = - \ x$   
**for**  $x :: 'a :: \text{complex-vector}$   
**using** *scaleC-minus-left* [*of 1 x*] **by** *simp*

**lemma** *scaleC-2*:  
**fixes**  $x :: 'a :: \text{complex-vector}$   
**shows**  $\text{scaleC } 2 \ x = x + x$   
**unfolding** *one-add-one* [*symmetric*] *scaleC-add-left* **by** *simp*

**lemma** *scaleC-half-double* [*simp*]:  
**fixes**  $a :: 'a :: \text{complex-vector}$   
**shows**  $(1 / 2) *_C (a + a) = a$   
**proof** –

**have**  $\bigwedge r. r *_{\mathcal{C}} (a + a) = (r * 2) *_{\mathcal{C}} a$   
**by** (*metis scaleC-2 scaleC-scaleC*)  
**thus** *?thesis*  
**by** *simp*  
**qed**

**lemma** *clinear-scale-complex*:  
**fixes**  $c::\text{complex}$  **shows**  $\text{clinear } f \implies f (c * b) = c * f b$   
**using** *complex-vector.linear-scale* **by** *fastforce*

**interpretation** *scaleC-left: additive*  $(\lambda a. \text{scaleC } a \ x :: 'a::\text{complex-vector})$   
**by** *standard (rule scaleC-add-left)*

**interpretation** *scaleC-right: additive*  $(\lambda x. \text{scaleC } a \ x :: 'a::\text{complex-vector})$   
**by** *standard (rule scaleC-add-right)*

**lemma** *nonzero-inverse-scaleC-distrib*:  
 $a \neq 0 \implies x \neq 0 \implies \text{inverse } (\text{scaleC } a \ x) = \text{scaleC } (\text{inverse } a) (\text{inverse } x)$   
**for**  $x :: 'a::\text{complex-div-algebra}$   
**by** (*rule inverse-unique*) *simp*

**lemma** *inverse-scaleC-distrib*:  $\text{inverse } (\text{scaleC } a \ x) = \text{scaleC } (\text{inverse } a) (\text{inverse } x)$   
**for**  $x :: 'a::\{\text{complex-div-algebra}, \text{division-ring}\}$   
**by** (*metis inverse-zero nonzero-inverse-scaleC-distrib complex-vector.scale-eq-0-iff*)

**lemma** *complex-add-divide-simps*[*vector-add-divide-simps*]:  
 $v + (b / z) *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_{\mathcal{C}} v + b *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $a *_{\mathcal{C}} v + (b / z) *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } a *_{\mathcal{C}} v \text{ else } ((a * z) *_{\mathcal{C}} v + b *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $(a / z) *_{\mathcal{C}} v + w = (\text{if } z = 0 \text{ then } w \text{ else } (a *_{\mathcal{C}} v + z *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $(a / z) *_{\mathcal{C}} v + b *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } b *_{\mathcal{C}} w \text{ else } (a *_{\mathcal{C}} v + (b * z) *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $v - (b / z) *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_{\mathcal{C}} v - b *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $a *_{\mathcal{C}} v - (b / z) *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } a *_{\mathcal{C}} v \text{ else } ((a * z) *_{\mathcal{C}} v - b *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $(a / z) *_{\mathcal{C}} v - w = (\text{if } z = 0 \text{ then } -w \text{ else } (a *_{\mathcal{C}} v - z *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
 $(a / z) *_{\mathcal{C}} v - b *_{\mathcal{C}} w = (\text{if } z = 0 \text{ then } -b *_{\mathcal{C}} w \text{ else } (a *_{\mathcal{C}} v - (b * z) *_{\mathcal{C}} w) /_{\mathcal{C}} z)$   
**for**  $v :: 'a :: \text{complex-vector}$   
**by** (*simp-all add: divide-inverse-commute scaleC-add-right scaleC-diff-right*)

**lemma** *ceq-vector-fraction-iff* [*vector-add-divide-simps*]:  
**fixes**  $x :: 'a :: \text{complex-vector}$

**shows**  $(x = (u / v) *_C a) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } v *_C x = u *_C a)$   
**by** *auto (metis (no-types) divide-eq-1-iff divide-inverse-commute scaleC-one scaleC-scaleC)*

**lemma** *cvector-fraction-eq-iff* [*vector-add-divide-simps*]:  
**fixes**  $x :: 'a :: \text{complex-vector}$   
**shows**  $((u / v) *_C a = x) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } u *_C a = v *_C x)$   
**by** *(metis ceq-vector-fraction-iff)*

**lemma** *complex-vector-affinity-eq*:  
**fixes**  $x :: 'a :: \text{complex-vector}$   
**assumes**  $m0: m \neq 0$   
**shows**  $m *_C x + c = y \longleftrightarrow x = \text{inverse } m *_C y - (\text{inverse } m *_C c)$   
**(is ?lhs  $\longleftrightarrow$  ?rhs)**

**proof**  
**assume** *?lhs*  
**hence**  $m *_C x = y - c$  **by** *(simp add: field-simps)*  
**hence**  $\text{inverse } m *_C (m *_C x) = \text{inverse } m *_C (y - c)$  **by** *simp*  
**thus**  $x = \text{inverse } m *_C y - (\text{inverse } m *_C c)$   
**using** *m0*  
**by** *(simp add: complex-vector.scale-right-diff-distrib)*

**next**  
**assume** *?rhs*  
**with** *m0* **show**  $m *_C x + c = y$   
**by** *(simp add: complex-vector.scale-right-diff-distrib)*

**qed**

**lemma** *complex-vector-eq-affinity*:  $m \neq 0 \implies y = m *_C x + c \longleftrightarrow \text{inverse } m *_C y - (\text{inverse } m *_C c) = x$   
**for**  $x :: 'a :: \text{complex-vector}$   
**using** *complex-vector-affinity-eq* [**where**  $m=m$  **and**  $x=x$  **and**  $y=y$  **and**  $c=c$ ]  
**by** *metis*

**lemma** *scaleC-eq-iff* [*simp*]:  $b + u *_C a = a + u *_C b \longleftrightarrow a = b \vee u = 1$   
**for**  $a :: 'a :: \text{complex-vector}$

**proof** (*cases u = 1*)  
**case** *True*  
**thus** *?thesis* **by** *auto*

**next**  
**case** *False*  
**have**  $a = b$  **if**  $b + u *_C a = a + u *_C b$   
**proof** –  
**from** *that* **have**  $(u - 1) *_C a = (u - 1) *_C b$   
**by** *(simp add: algebra-simps)*  
**with** *False* **show** *?thesis*  
**by** *auto*

**qed**  
**thus** *?thesis* **by** *auto*  
**qed**

**lemma** *scaleC-collapse* [simp]:  $(1 - u) *_{\mathbb{C}} a + u *_{\mathbb{C}} a = a$   
**for**  $a :: 'a::\text{complex-vector}$   
**by** (simp add: algebra-simps)

## 6.2 Embedding of the Complex Numbers into any *complex-algebra-1*: *of-complex*

**definition** *of-complex* ::  $\text{complex} \Rightarrow 'a::\text{complex-algebra-1}$   
**where** *of-complex*  $c = \text{scaleC } c \ 1$

**lemma** *scaleC-conv-of-complex*:  $\text{scaleC } r \ x = \text{of-complex } r * x$   
**by** (simp add: of-complex-def)

**lemma** *of-complex-0* [simp]:  $\text{of-complex } 0 = 0$   
**by** (simp add: of-complex-def)

**lemma** *of-complex-1* [simp]:  $\text{of-complex } 1 = 1$   
**by** (simp add: of-complex-def)

**lemma** *of-complex-add* [simp]:  $\text{of-complex } (x + y) = \text{of-complex } x + \text{of-complex } y$   
**by** (simp add: of-complex-def scaleC-add-left)

**lemma** *of-complex-minus* [simp]:  $\text{of-complex } (-x) = - \text{of-complex } x$   
**by** (simp add: of-complex-def)

**lemma** *of-complex-diff* [simp]:  $\text{of-complex } (x - y) = \text{of-complex } x - \text{of-complex } y$   
**by** (simp add: of-complex-def scaleC-diff-left)

**lemma** *of-complex-mult* [simp]:  $\text{of-complex } (x * y) = \text{of-complex } x * \text{of-complex } y$   
**by** (simp add: of-complex-def mult.commute)

**lemma** *of-complex-sum* [simp]:  $\text{of-complex } (\text{sum } f \ s) = (\sum x \in s. \text{of-complex } (f \ x))$   
**by** (induct  $s$  rule: infinite-finite-induct) auto

**lemma** *of-complex-prod* [simp]:  $\text{of-complex } (\text{prod } f \ s) = (\prod x \in s. \text{of-complex } (f \ x))$   
**by** (induct  $s$  rule: infinite-finite-induct) auto

**lemma** *nonzero-of-complex-inverse*:  
 $x \neq 0 \implies \text{of-complex } (\text{inverse } x) = \text{inverse } (\text{of-complex } x :: 'a::\text{complex-div-algebra})$   
**by** (simp add: of-complex-def nonzero-inverse-scaleC-distrib)

**lemma** *of-complex-inverse* [simp]:  
 $\text{of-complex } (\text{inverse } x) = \text{inverse } (\text{of-complex } x :: 'a::\{\text{complex-div-algebra}, \text{division-ring}\})$   
**by** (simp add: of-complex-def inverse-scaleC-distrib)

**lemma** *nonzero-of-complex-divide*:  
 $y \neq 0 \implies \text{of-complex } (x / y) = (\text{of-complex } x / \text{of-complex } y :: 'a::\text{complex-field})$   
**by** (simp add: divide-inverse nonzero-of-complex-inverse)

**lemma** *of-complex-divide* [simp]:  
 $\text{of-complex } (x / y) = (\text{of-complex } x / \text{of-complex } y :: 'a :: \text{complex-div-algebra})$   
**by** (*simp add: divide-inverse*)

**lemma** *of-complex-power* [simp]:  
 $\text{of-complex } (x ^ n) = (\text{of-complex } x :: 'a :: \{\text{complex-algebra-1}\}) ^ n$   
**by** (*induct n simp-all*)

**lemma** *of-complex-power-int* [simp]:  
 $\text{of-complex } (\text{power-int } x \ n) = \text{power-int } (\text{of-complex } x :: 'a :: \{\text{complex-div-algebra}, \text{division-ring}\})$   
 $n$   
**by** (*auto simp: power-int-def*)

**lemma** *of-complex-eq-iff* [simp]:  $\text{of-complex } x = \text{of-complex } y \longleftrightarrow x = y$   
**by** (*simp add: of-complex-def*)

**lemma** *inj-of-complex*: *inj of-complex*  
**by** (*auto intro: injI*)

**lemmas** *of-complex-eq-0-iff* [simp] = *of-complex-eq-iff* [*of - 0, simplified*]  
**lemmas** *of-complex-eq-1-iff* [simp] = *of-complex-eq-iff* [*of - 1, simplified*]

**lemma** *minus-of-complex-eq-of-complex-iff* [simp]:  $-\text{of-complex } x = \text{of-complex } y$   
 $\longleftrightarrow -x = y$   
**using** *of-complex-eq-iff* [*of -x y*] **by** (*simp only: of-complex-minus*)

**lemma** *of-complex-eq-minus-of-complex-iff* [simp]:  $\text{of-complex } x = -\text{of-complex } y$   
 $\longleftrightarrow x = -y$   
**using** *of-complex-eq-iff* [*of x -y*] **by** (*simp only: of-complex-minus*)

**lemma** *of-complex-eq-id* [simp]:  $\text{of-complex } = (\text{id} :: \text{complex} \Rightarrow \text{complex})$   
**by** (*rule ext*) (*simp add: of-complex-def*)

Collapse nested embeddings.

**lemma** *of-complex-of-nat-eq* [simp]:  $\text{of-complex } (\text{of-nat } n) = \text{of-nat } n$   
**by** (*induct n auto*)

**lemma** *of-complex-of-int-eq* [simp]:  $\text{of-complex } (\text{of-int } z) = \text{of-int } z$   
**by** (*cases z rule: int-diff-cases*) *simp*

**lemma** *of-complex-numeral* [simp]:  $\text{of-complex } (\text{numeral } w) = \text{numeral } w$   
**using** *of-complex-of-int-eq* [*of numeral w*] **by** *simp*

**lemma** *of-complex-neg-numeral* [simp]:  $\text{of-complex } (- \text{numeral } w) = - \text{numeral } w$   
**using** *of-complex-of-int-eq* [*of - numeral w*] **by** *simp*

**lemma** *numeral-power-int-eq-of-complex-cancel-iff* [simp]:  
 $\text{power-int } (\text{numeral } x) \ n = (\text{of-complex } y :: 'a :: \{\text{complex-div-algebra}, \text{divi-}$

```

sion-ring})  $\longleftrightarrow$ 
  power-int (numeral x) n = y
proof -
  have power-int (numeral x) n = (of-complex (power-int (numeral x) n) :: 'a)
    by simp
  also have ... = of-complex y  $\longleftrightarrow$  power-int (numeral x) n = y
    by (subst of-complex-eq-iff) auto
  finally show ?thesis .
qed

lemma of-complex-eq-numeral-power-int-cancel-iff [simp]:
  (of-complex y :: 'a :: {complex-div-algebra, division-ring}) = power-int (numeral
x) n  $\longleftrightarrow$ 
  y = power-int (numeral x) n
  by (subst (1 2) eq-commute) simp

lemma of-complex-eq-of-complex-power-int-cancel-iff [simp]:
  power-int (of-complex b :: 'a :: {complex-div-algebra, division-ring}) w = of-complex
x  $\longleftrightarrow$ 
  power-int b w = x
  by (metis of-complex-power-int of-complex-eq-iff)

lemma of-complex-in-Ints-iff [simp]: of-complex x  $\in \mathbb{Z} \longleftrightarrow x \in \mathbb{Z}$ 
proof safe
  fix x assume (of-complex x :: 'a)  $\in \mathbb{Z}$ 
  then obtain n where (of-complex x :: 'a) = of-int n
    by (auto simp: Ints-def)
  also have of-int n = of-complex (of-int n)
    by simp
  finally have x = of-int n
    by (subst (asm) of-complex-eq-iff)
  thus x  $\in \mathbb{Z}$ 
    by auto
qed (auto simp: Ints-def)

lemma Ints-of-complex [intro]: x  $\in \mathbb{Z} \implies$  of-complex x  $\in \mathbb{Z}$ 
  by simp

Every complex algebra has characteristic zero.

lemma fraction-scaleC-times [simp]:
  fixes a :: 'a::complex-algebra-1
  shows (numeral u / numeral v) *C (numeral w * a) = (numeral u * numeral w
/ numeral v) *C a
  by (metis (no-types, lifting) of-complex-numeral scaleC-conv-of-complex scaleC-scaleC
times-divide-eq-left)

lemma inverse-scaleC-times [simp]:
  fixes a :: 'a::complex-algebra-1
  shows (1 / numeral v) *C (numeral w * a) = (numeral w / numeral v) *C a

```

**by** (*metis divide-inverse-commute inverse-eq-divide of-complex-numeral scaleC-conv-of-complex scaleC-scaleC*)

**lemma** *scaleC-times* [*simp*]:  
**fixes**  $a :: 'a::\text{complex-algebra-1}$   
**shows**  $(\text{numeral } u) *_C (\text{numeral } w * a) = (\text{numeral } u * \text{numeral } w) *_C a$   
**by** (*simp add: scaleC-conv-of-complex*)

### 6.3 The Set of Real Numbers

**definition** *Complexs* ::  $'a::\text{complex-algebra-1}$  *set*  $(\mathbb{C})$   
**where**  $\mathbb{C} = \text{range of-complex}$

**lemma** *Complexs-of-complex* [*simp*]: *of-complex*  $r \in \mathbb{C}$   
**by** (*simp add: Complexs-def*)

**lemma** *Complexs-of-int* [*simp*]: *of-int*  $z \in \mathbb{C}$   
**by** (*subst of-complex-of-int-eq [symmetric], rule Complexs-of-complex*)

**lemma** *Complexs-of-nat* [*simp*]: *of-nat*  $n \in \mathbb{C}$   
**by** (*subst of-complex-of-nat-eq [symmetric], rule Complexs-of-complex*)

**lemma** *Complexs-numeral* [*simp*]: *numeral*  $w \in \mathbb{C}$   
**by** (*subst of-complex-numeral [symmetric], rule Complexs-of-complex*)

**lemma** *Complexs-0* [*simp*]:  $0 \in \mathbb{C}$  **and** *Complexs-1* [*simp*]:  $1 \in \mathbb{C}$   
**by** (*simp-all add: Complexs-def*)

**lemma** *Complexs-add* [*simp*]:  $a \in \mathbb{C} \implies b \in \mathbb{C} \implies a + b \in \mathbb{C}$   
**apply** (*auto simp add: Complexs-def*)  
**by** (*metis of-complex-add range-eqI*)

**lemma** *Complexs-minus* [*simp*]:  $a \in \mathbb{C} \implies -a \in \mathbb{C}$   
**by** (*auto simp: Complexs-def*)

**lemma** *Complexs-minus-iff* [*simp*]:  $-a \in \mathbb{C} \longleftrightarrow a \in \mathbb{C}$   
**using** *Complexs-minus* **by** *fastforce*

**lemma** *Complexs-diff* [*simp*]:  $a \in \mathbb{C} \implies b \in \mathbb{C} \implies a - b \in \mathbb{C}$   
**by** (*metis Complexs-add Complexs-minus-iff add-uminus-conv-diff*)

**lemma** *Complexs-mult* [*simp*]:  $a \in \mathbb{C} \implies b \in \mathbb{C} \implies a * b \in \mathbb{C}$   
**apply** (*auto simp add: Complexs-def*)  
**by** (*metis of-complex-mult rangeI*)

**lemma** *nonzero-Complexs-inverse*:  $a \in \mathbb{C} \implies a \neq 0 \implies \text{inverse } a \in \mathbb{C}$   
**for**  $a :: 'a::\text{complex-div-algebra}$   
**apply** (*auto simp add: Complexs-def*)  
**by** (*metis of-complex-inverse range-eqI*)

**lemma** *Complexs-inverse*:  $a \in \mathbb{C} \implies \text{inverse } a \in \mathbb{C}$   
**for**  $a :: 'a::\{\text{complex-div-algebra}, \text{division-ring}\}$   
**using** *nonzero-Complexs-inverse* **by** *fastforce*

**lemma** *Complexs-inverse-iff* [*simp*]:  $\text{inverse } x \in \mathbb{C} \longleftrightarrow x \in \mathbb{C}$   
**for**  $x :: 'a::\{\text{complex-div-algebra}, \text{division-ring}\}$   
**by** (*metis Complexs-inverse inverse-inverse-eq*)

**lemma** *nonzero-Complexs-divide*:  $a \in \mathbb{C} \implies b \in \mathbb{C} \implies b \neq 0 \implies a / b \in \mathbb{C}$   
**for**  $a \ b :: 'a::\text{complex-field}$   
**by** (*simp add: divide-inverse*)

**lemma** *Complexs-divide* [*simp*]:  $a \in \mathbb{C} \implies b \in \mathbb{C} \implies a / b \in \mathbb{C}$   
**for**  $a \ b :: 'a::\{\text{complex-field}, \text{field}\}$   
**using** *nonzero-Complexs-divide* **by** *fastforce*

**lemma** *Complexs-power* [*simp*]:  $a \in \mathbb{C} \implies a ^ n \in \mathbb{C}$   
**for**  $a :: 'a::\text{complex-algebra-1}$   
**apply** (*auto simp add: Complexs-def*)  
**by** (*metis range-eqI of-complex-power[symmetric]*)

**lemma** *Complexs-cases* [*cases set: Complexs*]:  
**assumes**  $q \in \mathbb{C}$   
**obtains** (*of-complex*)  $c$  **where**  $q = \text{of-complex } c$   
**unfolding** *Complexs-def*  
**proof** –  
**from**  $\langle q \in \mathbb{C} \rangle$  **have**  $q \in \text{range of-complex}$  **unfolding** *Complexs-def* .  
**then obtain**  $c$  **where**  $q = \text{of-complex } c$  ..  
**then show thesis** ..  
**qed**

**lemma** *sum-in-Complexs* [*intro,simp*]:  $(\bigwedge i. i \in s \implies f \ i \in \mathbb{C}) \implies \text{sum } f \ s \in \mathbb{C}$   
**proof** (*induct s rule: infinite-finite-induct*)  
**case** *infinite*  
**then show** ?*case* **by** (*metis Complexs-0 sum.infinite*)  
**qed** *simp-all*

**lemma** *prod-in-Complexs* [*intro,simp*]:  $(\bigwedge i. i \in s \implies f \ i \in \mathbb{C}) \implies \text{prod } f \ s \in \mathbb{C}$   
**proof** (*induct s rule: infinite-finite-induct*)  
**case** *infinite*  
**then show** ?*case* **by** (*metis Complexs-1 prod.infinite*)  
**qed** *simp-all*

**lemma** *Complexs-induct* [*case-names of-complex, induct set: Complexs*]:  
 $q \in \mathbb{C} \implies (\bigwedge r. P \ (\text{of-complex } r)) \implies P \ q$   
**by** (*rule Complexs-cases*) *auto*

## 6.4 Ordered complex vector spaces

```

class ordered-complex-vector = complex-vector + ordered-ab-group-add +
  assumes scaleC-left-mono:  $x \leq y \implies 0 \leq a \implies a *_C x \leq a *_C y$ 
    and scaleC-right-mono:  $a \leq b \implies 0 \leq x \implies a *_C x \leq b *_C x$ 
begin

subclass (in ordered-complex-vector) ordered-real-vector
  apply standard
  by (auto simp add: less-eq-complex-def scaleC-left-mono scaleC-right-mono scaleR-scaleC)

lemma scaleC-mono:
   $a \leq b \implies x \leq y \implies 0 \leq b \implies 0 \leq x \implies a *_C x \leq b *_C y$ 
  by (meson order-trans scaleC-left-mono scaleC-right-mono)

lemma scaleC-mono':
   $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c \implies a *_C c \leq b *_C d$ 
  by (rule scaleC-mono) (auto intro: order.trans)

lemma pos-le-divideC-eq [field-simps]:
   $a \leq b /_C c \iff c *_C a \leq b$  (is  $?P \iff ?Q$ ) if  $0 < c$ 
proof
  assume ?P
  with scaleC-left-mono that have  $c *_C a \leq c *_C (b /_C c)$ 
    using preorder-class.less-imp-le by blast
  with that show ?Q
    by auto
next
  assume ?Q
  with scaleC-left-mono that have  $c *_C a /_C c \leq b /_C c$ 
    using less-complex-def less-eq-complex-def by fastforce
  with that show ?P
    by auto
qed

lemma pos-less-divideC-eq [field-simps]:
   $a < b /_C c \iff c *_C a < b$  if  $c > 0$ 
  using that pos-le-divideC-eq [of  $c a b$ ]
  by (auto simp add: le-less)

lemma pos-divideC-le-eq [field-simps]:
   $b /_C c \leq a \iff b \leq c *_C a$  if  $c > 0$ 
  using that pos-le-divideC-eq [of inverse  $c b a$ ]
  less-complex-def by auto

lemma pos-divideC-less-eq [field-simps]:
   $b /_C c < a \iff b < c *_C a$  if  $c > 0$ 
  using that pos-less-divideC-eq [of inverse  $c b a$ ]
  by (simp add: local.less-le-not-le local.pos-divideC-le-eq local.pos-le-divideC-eq)

```

**lemma** *pos-le-minus-divideC-eq* [*field-simps*]:  
 $a \leq - (b /_C c) \longleftrightarrow c *_C a \leq - b$  **if**  $c > 0$   
**using** *that*  
**by** (*metis* *local.ab-left-minus* *local.add.inverse-unique* *local.add.right-inverse* *local.add-minus-cancel* *local.le-minus-iff* *local.pos-divideC-le-eq* *local.scaleC-add-right* *local.scaleC-one* *local.scaleC-scaleC*)

**lemma** *pos-less-minus-divideC-eq* [*field-simps*]:  
 $a < - (b /_C c) \longleftrightarrow c *_C a < - b$  **if**  $c > 0$   
**using** *that*  
**by** (*metis* *le-less* *less-le-not-le* *pos-divideC-le-eq* *pos-divideC-less-eq* *pos-le-minus-divideC-eq*)

**lemma** *pos-minus-divideC-le-eq* [*field-simps*]:  
 $-(b /_C c) \leq a \longleftrightarrow -b \leq c *_C a$  **if**  $c > 0$   
**using** *that*  
**by** (*metis* *local.add-minus-cancel* *local.left-minus* *local.pos-divideC-le-eq* *local.scaleC-add-right*)

**lemma** *pos-minus-divideC-less-eq* [*field-simps*]:  
 $-(b /_C c) < a \longleftrightarrow -b < c *_C a$  **if**  $c > 0$   
**using** *that* **by** (*simp* *add: less-le-not-le* *pos-le-minus-divideC-eq* *pos-minus-divideC-le-eq*)

**lemma** *scaleC-image-atLeastAtMost*:  $c > 0 \implies \text{scaleC } c \text{ ` } \{x..y\} = \{c *_C x..c *_C y\}$   
**apply** (*auto intro!*: *scaleC-left-mono* *simp: image-iff Bex-def*)  
**by** (*meson* *order.eq-iff* *local.order.refl* *pos-divideC-le-eq* *pos-le-divideC-eq*)

**end**

**lemma** *neg-le-divideC-eq* [*field-simps*]:  
 $a \leq b /_C c \longleftrightarrow b \leq c *_C a$  **(is ?P  $\longleftrightarrow$  ?Q)** **if**  $c < 0$   
**for**  $a \ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that* *pos-le-divideC-eq* [*of*  $- c \ a \ - b$ ]  
**by** (*simp* *add: less-complex-def*)

**lemma** *neg-less-divideC-eq* [*field-simps*]:  
 $a < b /_C c \longleftrightarrow b < c *_C a$  **if**  $c < 0$   
**for**  $a \ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that* *neg-le-divideC-eq* [*of*  $c \ a \ b$ ]  
**by** (*smt* (*verit*, *ccfv-SIG*) *neg-le-divideC-eq antisym-conv2* *complex-vector.scale-minus-right* *dual-order.strict-implies-order* *le-less-trans* *neg-le-iff-le* *scaleC-scaleC*)

**lemma** *neg-divideC-le-eq* [*field-simps*]:  
 $b /_C c \leq a \longleftrightarrow c *_C a \leq b$  **if**  $c < 0$   
**for**  $a \ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that* *pos-divideC-le-eq* [*of*  $- c \ - b \ a$ ]  
**by** (*simp* *add: less-complex-def*)

**lemma** *neg-divideC-less-eq* [*field-simps*]:  
 $b /_C c < a \longleftrightarrow c *_C a < b$  **if**  $c < 0$

**for**  $a\ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that neg-divideC-le-eq [of c b a]*  
**by** (*meson neg-le-divideC-eq less-le-not-le*)

**lemma** *neg-le-minus-divideC-eq [field-simps]:*  
 $a \leq - (b /_C c) \longleftrightarrow - b \leq c *_C a$  **if**  $c < 0$   
**for**  $a\ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that pos-le-minus-divideC-eq [of - c a - b]*  
**by** (*metis neg-le-divideC-eq complex-vector.scale-minus-right*)

**lemma** *neg-less-minus-divideC-eq [field-simps]:*  
 $a < - (b /_C c) \longleftrightarrow - b < c *_C a$  **if**  $c < 0$   
**for**  $a\ b :: 'a :: \text{ordered-complex-vector}$   
**proof** -  
**have**  $*: - b = c *_C a \longleftrightarrow b = - (c *_C a)$   
**by** (*metis add.inverse-inverse*)  
**from** *that neg-le-minus-divideC-eq [of c a b]*  
**show** *?thesis* **by** (*auto simp add: le-less \**)  
**qed**

**lemma** *neg-minus-divideC-le-eq [field-simps]:*  
 $-(b /_C c) \leq a \longleftrightarrow c *_C a \leq - b$  **if**  $c < 0$   
**for**  $a\ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that pos-minus-divideC-le-eq [of - c - b a]*  
**by** (*metis Complex-Vector-Spaces0.neg-divideC-le-eq complex-vector.scale-minus-right*)

**lemma** *neg-minus-divideC-less-eq [field-simps]:*  
 $-(b /_C c) < a \longleftrightarrow c *_C a < - b$  **if**  $c < 0$   
**for**  $a\ b :: 'a :: \text{ordered-complex-vector}$   
**using** *that* **by** (*simp add: less-le-not-le neg-le-minus-divideC-eq neg-minus-divideC-le-eq*)

**lemma** *divideC-field-splits-simps-1 [field-split-simps]:*  
 $a = b /_C c \longleftrightarrow (\text{if } c = 0 \text{ then } a = 0 \text{ else } c *_C a = b)$   
 $b /_C c = a \longleftrightarrow (\text{if } c = 0 \text{ then } a = 0 \text{ else } b = c *_C a)$   
 $a + b /_C c = (\text{if } c = 0 \text{ then } a \text{ else } (c *_C a + b) /_C c)$   
 $a /_C c + b = (\text{if } c = 0 \text{ then } b \text{ else } (a + c *_C b) /_C c)$   
 $a - b /_C c = (\text{if } c = 0 \text{ then } a \text{ else } (c *_C a - b) /_C c)$   
 $a /_C c - b = (\text{if } c = 0 \text{ then } - b \text{ else } (a - c *_C b) /_C c)$   
 $-(a /_C c) + b = (\text{if } c = 0 \text{ then } b \text{ else } (- a + c *_C b) /_C c)$   
 $-(a /_C c) - b = (\text{if } c = 0 \text{ then } - b \text{ else } (- a - c *_C b) /_C c)$   
**for**  $a\ b :: 'a :: \text{complex-vector}$   
**by** (*auto simp add: field-simps*)

**lemma** *divideC-field-splits-simps-2 [field-split-simps]:*  
 $0 < c \implies a \leq b /_C c \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a \leq b \text{ else if } c < 0 \text{ then } b \leq c *_C a \text{ else } a \leq 0)$   
 $0 < c \implies a < b /_C c \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a < b \text{ else if } c < 0 \text{ then } b < c *_C a \text{ else } a < 0)$   
 $0 < c \implies b /_C c \leq a \longleftrightarrow (\text{if } c > 0 \text{ then } b \leq c *_C a \text{ else if } c < 0 \text{ then } c *_C a$

$\leq b$  else  $a \geq 0$ )  
 $0 < c \implies b /_C c < a \iff (\text{if } c > 0 \text{ then } b < c *_C a \text{ else if } c < 0 \text{ then } c *_C a < b \text{ else } a > 0)$   
 $0 < c \implies a \leq -(b /_C c) \iff (\text{if } c > 0 \text{ then } c *_C a \leq -b \text{ else if } c < 0 \text{ then } -b \leq c *_C a \text{ else } a \leq 0)$   
 $0 < c \implies a < -(b /_C c) \iff (\text{if } c > 0 \text{ then } c *_C a < -b \text{ else if } c < 0 \text{ then } -b < c *_C a \text{ else } a < 0)$   
 $0 < c \implies -(b /_C c) \leq a \iff (\text{if } c > 0 \text{ then } -b \leq c *_C a \text{ else if } c < 0 \text{ then } c *_C a \leq -b \text{ else } a \geq 0)$   
 $0 < c \implies -(b /_C c) < a \iff (\text{if } c > 0 \text{ then } -b < c *_C a \text{ else if } c < 0 \text{ then } c *_C a < -b \text{ else } a > 0)$   
**for**  $a \ b :: 'a :: \text{ordered-complex-vector}$   
**by** (*clarsimp intro! field-simps*)+

**lemma** *scaleC-nonneg-nonneg*:  $0 \leq a \implies 0 \leq x \implies 0 \leq a *_C x$   
**for**  $x :: 'a :: \text{ordered-complex-vector}$   
**using** *scaleC-left-mono [of 0 x a]* **by** *simp*

**lemma** *scaleC-nonneg-nonpos*:  $0 \leq a \implies x \leq 0 \implies a *_C x \leq 0$   
**for**  $x :: 'a :: \text{ordered-complex-vector}$   
**using** *scaleC-left-mono [of x 0 a]* **by** *simp*

**lemma** *scaleC-nonpos-nonneg*:  $a \leq 0 \implies 0 \leq x \implies a *_C x \leq 0$   
**for**  $x :: 'a :: \text{ordered-complex-vector}$   
**using** *scaleC-right-mono [of a 0 x]* **by** *simp*

**lemma** *split-scaleC-neg-le*:  $(0 \leq a \wedge x \leq 0) \vee (a \leq 0 \wedge 0 \leq x) \implies a *_C x \leq 0$   
**for**  $x :: 'a :: \text{ordered-complex-vector}$   
**by** (*auto simp: scaleC-nonneg-nonpos scaleC-nonpos-nonneg*)

**lemma** *cle-add-iff1*:  $a *_C e + c \leq b *_C e + d \iff (a - b) *_C e + c \leq d$   
**for**  $c \ d \ e :: 'a :: \text{ordered-complex-vector}$   
**by** (*simp add: algebra-simps*)

**lemma** *cle-add-iff2*:  $a *_C e + c \leq b *_C e + d \iff c \leq (b - a) *_C e + d$   
**for**  $c \ d \ e :: 'a :: \text{ordered-complex-vector}$   
**by** (*simp add: algebra-simps*)

**lemma** *scaleC-left-mono-neg*:  $b \leq a \implies c \leq 0 \implies c *_C a \leq c *_C b$   
**for**  $a \ b :: 'a :: \text{ordered-complex-vector}$   
**by** (*drule scaleC-left-mono [of - - - c], simp-all add: less-eq-complex-def*)

**lemma** *scaleC-right-mono-neg*:  $b \leq a \implies c \leq 0 \implies a *_C c \leq b *_C c$   
**for**  $c :: 'a :: \text{ordered-complex-vector}$   
**by** (*drule scaleC-right-mono [of - - - c], simp-all*)

**lemma** *scaleC-nonpos-nonpos*:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a *_C b$   
**for**  $b :: 'a :: \text{ordered-complex-vector}$   
**using** *scaleC-right-mono-neg [of a 0 b]* **by** *simp*

```

lemma split-scaleC-pos-le:  $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a *_C b$ 
  for  $b :: 'a::\text{ordered-complex-vector}$ 
  by (auto simp: scaleC-nonneg-nonneg scaleC-nonpos-nonpos)

lemma zero-le-scaleC-iff:
  fixes  $b :: 'a::\text{ordered-complex-vector}$ 
  assumes  $a \in \mathbb{R}$ 
  shows  $0 \leq a *_C b \longleftrightarrow 0 < a \wedge 0 \leq b \vee a < 0 \wedge b \leq 0 \vee a = 0$ 
    (is ?lhs = ?rhs)
proof (cases a = 0)
  case True
    then show ?thesis by simp
next
  case False
    show ?thesis
    proof
      assume ?lhs
      from  $\langle a \neq 0 \rangle$  consider  $a > 0 \mid a < 0$ 
      by (metis assms complex-is-Real-iff less-complex-def less-eq-complex-def not-le
order.not-eq-order-implies-strict that(1) zero-complex.sel(2))
      then show ?rhs
      proof cases
        case 1
          with  $\langle ?lhs \rangle$  have  $\text{inverse } a *_C 0 \leq \text{inverse } a *_C (a *_C b)$ 
          by (metis complex-vector.scale-zero-right ordered-complex-vector-class.pos-le-divideC-eq)
          with 1 show ?thesis
          by simp
        case 2
          with  $\langle ?lhs \rangle$  have  $-\text{inverse } a *_C 0 \leq -\text{inverse } a *_C (a *_C b)$ 
          by (metis Complex-Vector-Spaces0.neg-le-minus-divideC-eq complex-vector.scale-zero-right
neg-le-0-iff-le scaleC-left.minus)
          with 2 show ?thesis
          by simp
      qed
    next
      assume ?rhs
      then show ?lhs
      using less-imp-le split-scaleC-pos-le by auto
    qed
  qed

lemma scaleC-le-0-iff:
   $a *_C b \leq 0 \longleftrightarrow 0 < a \wedge b \leq 0 \vee a < 0 \wedge 0 \leq b \vee a = 0$ 
  if  $a \in \mathbb{R}$ 
  for  $b :: 'a::\text{ordered-complex-vector}$ 
  apply (insert zero-le-scaleC-iff [of -a b])
  using less-complex-def that by force

```

**lemma** *scaleC-le-cancel-left*:  $c *_C a \leq c *_C b \iff (0 < c \implies a \leq b) \wedge (c < 0 \implies b \leq a)$   
**if**  $c \in \mathbb{R}$   
**for**  $b :: 'a::\text{ordered-complex-vector}$   
**by** (*smt* (*verit*, *ccfv-threshold*) *Complex-Vector-Spaces0.neg-divideC-le-eq complex-vector.scale-cancel-left complex-vector.scale-zero-right dual-order.eq-iff dual-order.trans ordered-complex-vector-class.pos-le-divideC-eq that zero-le-scaleC-iff*)

**lemma** *scaleC-le-cancel-left-pos*:  $0 < c \implies c *_C a \leq c *_C b \iff a \leq b$   
**for**  $b :: 'a::\text{ordered-complex-vector}$   
**by** (*simp add: complex-is-Real-iff less-complex-def scaleC-le-cancel-left*)

**lemma** *scaleC-le-cancel-left-neg*:  $c < 0 \implies c *_C a \leq c *_C b \iff b \leq a$   
**for**  $b :: 'a::\text{ordered-complex-vector}$   
**by** (*simp add: complex-is-Real-iff less-complex-def scaleC-le-cancel-left*)

**lemma** *scaleC-left-le-one-le*:  $0 \leq x \implies a \leq 1 \implies a *_C x \leq x$   
**for**  $x :: 'a::\text{ordered-complex-vector}$  **and**  $a :: \text{complex}$   
**using** *scaleC-right-mono[of a 1 x]* **by** *simp*

## 6.5 Complex normed vector spaces

**class** *complex-normed-vector* = *complex-vector* + *sgn-div-norm* + *dist-norm* + *uniformity-dist* + *open-uniformity* + *real-normed-vector* +  
**assumes** *norm-scaleC* [*simp*]:  $\text{norm} (\text{scaleC } a \ x) = \text{cmod } a * \text{norm } x$   
**begin**

**end**

**class** *complex-normed-algebra* = *complex-algebra* + *complex-normed-vector* + *real-normed-algebra*

**class** *complex-normed-algebra-1* = *complex-algebra-1* + *complex-normed-algebra* + *real-normed-algebra-1*

**lemma** (**in** *complex-normed-algebra-1*) *scaleC-power* [*simp*]:  $(\text{scaleC } x \ y) ^ n = \text{scaleC } (x ^ n) (y ^ n)$   
**by** (*induct n*) (*simp-all add: mult-ac*)

**class** *complex-normed-div-algebra* = *complex-div-algebra* + *complex-normed-vector* + *real-normed-div-algebra*

**class** *complex-normed-field* = *complex-field* + *complex-normed-div-algebra*

**subclass** (in *complex-normed-field*) *real-normed-field* ..

**instance** *complex-normed-div-algebra* < *complex-normed-algebra-1* ..

**context** *complex-normed-vector* **begin**

**end**

**lemma** *dist-scaleC* [simp]:  $\text{dist } (x *_C a) (y *_C a) = |x - y| * \text{norm } a$   
**for**  $a :: 'a :: \text{complex-normed-vector}$   
**by** (metis *dist-scaleR scaleR-scaleC*)

**lemma** *norm-of-complex* [simp]:  $\text{norm } (\text{of-complex } c :: 'a :: \text{complex-normed-algebra-1})$   
 $= \text{cmod } c$   
**by** (simp add: *of-complex-def*)

**lemma** *norm-of-complex-add1* [simp]:  $\text{norm } (\text{of-complex } x + 1 :: 'a :: \text{complex-normed-div-algebra})$   
 $= \text{cmod } (x + 1)$   
**by** (metis *norm-of-complex of-complex-1 of-complex-add*)

**lemma** *norm-of-complex-addn* [simp]:  
 $\text{norm } (\text{of-complex } x + \text{numeral } b :: 'a :: \text{complex-normed-div-algebra}) = \text{cmod } (x$   
 $+ \text{numeral } b)$   
**by** (metis *norm-of-complex of-complex-add of-complex-numeral*)

**lemma** *norm-of-complex-diff* [simp]:  
 $\text{norm } (\text{of-complex } b - \text{of-complex } a :: 'a :: \text{complex-normed-algebra-1}) \leq \text{cmod } (b$   
 $- a)$   
**by** (metis *norm-of-complex of-complex-diff order-refl*)

## 6.6 Metric spaces

Every normed vector space is a metric space.

## 6.7 Class instances for complex numbers

**instantiation** *complex* :: *complex-normed-field*  
**begin**

**instance**  
**apply** *intro-classes*  
**by** (simp add: *norm-mult*)

**end**

**declare** *uniformity-Abort*[**where** 'a=complex, code]

**lemma** *dist-of-complex* [simp]: *dist* (of-complex *x* :: 'a) (of-complex *y*) = *dist* *x* *y*  
**for** *a* :: 'a::complex-normed-div-algebra  
**by** (metis *dist-norm norm-of-complex of-complex-diff*)

**declare** [[code abort: open :: complex set  $\Rightarrow$  bool]]

**lemma** *closed-complex-atMost*:  $\langle \text{closed } \{..a::\text{complex}\} \rangle$   
**proof** –  
**have**  $\langle \{..a\} = \text{Im} - ' \{ \text{Im } a \} \cap \text{Re} - ' \{ ..\text{Re } a \} \rangle$   
**by** (auto simp: *less-eq-complex-def*)  
**also have**  $\langle \text{closed } \dots \rangle$   
**by** (auto intro!: *closed-Int closed-vimage continuous-on-Im continuous-on-Re*)  
**finally show** ?thesis  
**by** –  
**qed**

**lemma** *closed-complex-atLeast*:  $\langle \text{closed } \{a::\text{complex}..\} \rangle$   
**proof** –  
**have**  $\langle \{a..\} = \text{Im} - ' \{ \text{Im } a \} \cap \text{Re} - ' \{ \text{Re } a..\} \rangle$   
**by** (auto simp: *less-eq-complex-def*)  
**also have**  $\langle \text{closed } \dots \rangle$   
**by** (auto intro!: *closed-Int closed-vimage continuous-on-Im continuous-on-Re*)  
**finally show** ?thesis  
**by** –  
**qed**

**lemma** *closed-complex-atLeastAtMost*:  $\langle \text{closed } \{a::\text{complex} .. b\} \rangle$   
**proof** (cases  $\langle \text{Im } a = \text{Im } b \rangle$ )  
**case** *True*  
**have**  $\langle \{a..b\} = \text{Im} - ' \{ \text{Im } a \} \cap \text{Re} - ' \{ \text{Re } a..\text{Re } b \} \rangle$   
**by** (auto simp add: *less-eq-complex-def* intro!: *True*)  
**also have**  $\langle \text{closed } \dots \rangle$   
**by** (auto intro!: *closed-Int closed-vimage continuous-on-Im continuous-on-Re*)  
**finally show** ?thesis  
**by** –  
**next**  
**case** *False*  
**then have** \*:  $\langle \{a..b\} = \{\} \rangle$   
**using** *less-eq-complex-def* **by** auto  
**show** ?thesis  
**by** (simp add: \*)  
**qed**

## 6.8 Sign function

**lemma** *sgn-scaleC*:  $\text{sgn} (\text{scaleC } r \ x) = \text{scaleC } (\text{sgn } r) (\text{sgn } x)$   
**for**  $x :: 'a::\text{complex-normed-vector}$   
**by** (*simp add: scaleR-scaleC sgn-div-norm ac-simps*)

**lemma** *sgn-of-complex*:  $\text{sgn} (\text{of-complex } r :: 'a::\text{complex-normed-algebra-1}) = \text{of-complex} (\text{sgn } r)$   
**unfolding** *of-complex-def* **by** (*simp only: sgn-scaleC sgn-one*)

**lemma** *complex-sgn-eq*:  $\text{sgn } x = x / |x|$   
**for**  $x :: \text{complex}$   
**by** (*simp add: abs-complex-def scaleR-scaleC sgn-div-norm divide-inverse*)

**lemma** *czero-le-sgn-iff* [*simp*]:  $0 \leq \text{sgn } x \longleftrightarrow 0 \leq x$   
**for**  $x :: \text{complex}$   
**using** *cmod-eq-Re divide-eq-0-iff less-eq-complex-def* **by** *auto*

**lemma** *csgn-le-0-iff* [*simp*]:  $\text{sgn } x \leq 0 \longleftrightarrow x \leq 0$   
**for**  $x :: \text{complex}$   
**by** (*smt (verit, best) czero-le-sgn-iff Im-sgn Re-sgn divide-eq-0-iff dual-order.eq-iff less-eq-complex-def sgn-zero-iff zero-complex.sel(1) zero-complex.sel(2)*)

## 6.9 Bounded Linear and Bilinear Operators

**lemma** *clinearI*: *clinear*  $f$   
**if**  $\bigwedge b1 \ b2. f (b1 + b2) = f \ b1 + f \ b2$   
 $\bigwedge r \ b. f (r *_C b) = r *_C f \ b$   
**using** *that*  
**by** *unfold-locales (auto simp: algebra-simps)*

**lemma** *clinear-iff*:  
 $\text{clinear } f \longleftrightarrow (\forall x \ y. f (x + y) = f \ x + f \ y) \wedge (\forall c \ x. f (c *_C x) = c *_C f \ x)$   
*(is clinear*  $f \longleftrightarrow ?rhs$ *)*

**proof**

**assume** *clinear*  $f$   
**then interpret**  $f$ : *clinear*  $f$  .  
**show** *?rhs*  
**by** (*simp add: f.add f.scale complex-vector.linear-scale f.clinear-axioms*)

**next**

**assume** *?rhs*  
**then show** *clinear*  $f$  **by** (*intro clinearI*) *auto*

**qed**

**lemmas** *clinear-scaleC-left* = *complex-vector.linear-scale-left*  
**lemmas** *clinear-imp-scaleC* = *complex-vector.linear-imp-scale*

**corollary** *complex-clinearD*:

**fixes**  $f :: \text{complex} \Rightarrow \text{complex}$   
**assumes** *clinear*  $f$  **obtains**  $c$  **where**  $f = (*) \ c$

```

by (rule clinear-imp-scaleC [OF assms]) (force simp: scaleC-conv-of-complex)

lemma clinear-times-of-complex: clinear ( $\lambda x. a * \text{of-complex } x$ )
  by (auto intro!: clinearI simp: distrib-left)
    (metis mult-scaleC-right scaleC-conv-of-complex)

locale bounded-clinear = clinear f for f :: 'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector
+
  assumes bounded:  $\exists K. \forall x. \text{norm } (f x) \leq \text{norm } x * K$ 
begin

sublocale real: bounded-linear
  — Gives access to all lemmas from bounded-linear using prefix real.
  apply standard
  by (auto simp add: add scaleR-scaleC scale bounded)

lemmas pos-bounded = real.pos-bounded

lemmas nonneg-bounded = real.nonneg-bounded

lemma clinear: clinear f
  by (fact local.clinear-axioms)

end

lemma bounded-clinear-intro:
  assumes  $\bigwedge x y. f (x + y) = f x + f y$ 
  and  $\bigwedge r x. f (\text{scaleC } r x) = \text{scaleC } r (f x)$ 
  and  $\bigwedge x. \text{norm } (f x) \leq \text{norm } x * K$ 
  shows bounded-clinear f
  by standard (blast intro: assms)+

locale bounded-cbilinear =
  fixes prod :: 'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector  $\Rightarrow$  'c::complex-normed-vector
  (infixl <*> 70)
  assumes add-left:  $\text{prod } (a + a') b = \text{prod } a b + \text{prod } a' b$ 
  and add-right:  $\text{prod } a (b + b') = \text{prod } a b + \text{prod } a b'$ 
  and scaleC-left:  $\text{prod } (\text{scaleC } r a) b = \text{scaleC } r (\text{prod } a b)$ 
  and scaleC-right:  $\text{prod } a (\text{scaleC } r b) = \text{scaleC } r (\text{prod } a b)$ 
  and bounded:  $\exists K. \forall a b. \text{norm } (\text{prod } a b) \leq \text{norm } a * \text{norm } b * K$ 
begin

sublocale real: bounded-bilinear
  — Gives access to all lemmas from bounded-bilinear using prefix real.
  apply standard
  by (auto simp add: add-left add-right scaleR-scaleC scaleC-left scaleC-right bounded)

```

```

lemmas pos-bounded = real.pos-bounded
lemmas nonneg-bounded = real.nonneg-bounded
lemmas additive-right = real.additive-right
lemmas additive-left = real.additive-left
lemmas zero-left = real.zero-left
lemmas zero-right = real.zero-right
lemmas minus-left = real.minus-left
lemmas minus-right = real.minus-right
lemmas diff-left = real.diff-left
lemmas diff-right = real.diff-right
lemmas sum-left = real.sum-left
lemmas sum-right = real.sum-right
lemmas prod-diff-prod = real.prod-diff-prod

lemma bounded-clinear-left: bounded-clinear ( $\lambda a. a ** b$ )
proof –
  obtain  $K$  where  $\bigwedge a b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$ 
    using pos-bounded by blast
  then show ?thesis
    by (rule-tac  $K = \text{norm } b * K$  in bounded-clinear-intro) (auto simp: algebra-simps
scaleC-left add-left)
qed

lemma bounded-clinear-right: bounded-clinear ( $\lambda b. a ** b$ )
proof –
  obtain  $K$  where  $\bigwedge a b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$ 
    using pos-bounded by blast
  then show ?thesis
    by (rule-tac  $K = \text{norm } a * K$  in bounded-clinear-intro) (auto simp: algebra-simps
scaleC-right add-right)
qed

lemma flip: bounded-cbilinear ( $\lambda x y. y ** x$ )
proof
  show  $\exists K. \forall a b. \text{norm } (b ** a) \leq \text{norm } a * \text{norm } b * K$ 
    by (metis bounded mult.commute)
qed (simp-all add: add-right add-left scaleC-right scaleC-left)

lemma comp1:
  assumes bounded-clinear  $g$ 
  shows bounded-cbilinear ( $\lambda x. (**) (g x)$ )
proof
  interpret  $g$ : bounded-clinear  $g$  by fact
  show  $\bigwedge a a' b. g (a + a') ** b = g a ** b + g a' ** b$ 
     $\bigwedge a b b'. g a ** (b + b') = g a ** b + g a ** b'$ 
     $\bigwedge r a b. g (r *_C a) ** b = r *_C (g a ** b)$ 
     $\bigwedge a r b. g a ** (r *_C b) = r *_C (g a ** b)$ 
    by (auto simp: g.add add-left add-right g.scaleC scaleC-left scaleC-right)

```

```

have bounded-bilinear ( $\lambda a\ b.\ g\ a\ **\ b$ )
  using g.real.bounded-linear by (rule real.comp1)
then show  $\exists K.\ \forall a\ b.\ \text{norm}\ (g\ a\ **\ b) \leq \text{norm}\ a * \text{norm}\ b * K$ 
  by (rule bounded-bilinear.bounded)
qed

lemma comp: bounded-clinear f  $\implies$  bounded-clinear g  $\implies$  bounded-cbilinear ( $\lambda x\ y.\ f\ x\ **\ g\ y$ )
  by (rule bounded-cbilinear.flip[OF bounded-cbilinear.comp1[OF bounded-cbilinear.flip[OF comp1]]])

end

lemma bounded-clinear-ident[simp]: bounded-clinear ( $\lambda x.\ x$ )
  by standard (auto intro!: exI[of - 1])

lemma bounded-clinear-zero[simp]: bounded-clinear ( $\lambda x.\ 0$ )
  by standard (auto intro!: exI[of - 1])

lemma bounded-clinear-add:
  assumes bounded-clinear f
  and bounded-clinear g
  shows bounded-clinear ( $\lambda x.\ f\ x + g\ x$ )
proof -
  interpret f: bounded-clinear f by fact
  interpret g: bounded-clinear g by fact
  show ?thesis
  proof
    from f.bounded obtain Kf where Kf: norm (f x)  $\leq$  norm x * Kf for x
    by blast
    from g.bounded obtain Kg where Kg: norm (g x)  $\leq$  norm x * Kg for x
    by blast
    show  $\exists K.\ \forall x.\ \text{norm}\ (f\ x + g\ x) \leq \text{norm}\ x * K$ 
    using add-mono[OF Kf Kg]
    by (intro exI[of - Kf + Kg]) (auto simp: field-simps intro: norm-triangle-ineq order-trans)
  qed (simp-all add: f.add g.add f.scaleC g.scaleC scaleC-add-right)
qed

lemma bounded-clinear-minus:
  assumes bounded-clinear f
  shows bounded-clinear ( $\lambda x.\ -\ f\ x$ )
proof -
  interpret f: bounded-clinear f by fact
  show ?thesis
  by unfold-locale (simp-all add: f.add f.scaleC f.bounded)
qed

lemma bounded-clinear-sub: bounded-clinear f  $\implies$  bounded-clinear g  $\implies$  bounded-clinear

```

```

( $\lambda x. f\ x - g\ x$ )
  using bounded-clinear-add[of f  $\lambda x. -\ g\ x$ ] bounded-clinear-minus[of g]
  by (auto simp: algebra-simps)

lemma bounded-clinear-sum:
  fixes f :: 'i  $\Rightarrow$  'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector
  shows ( $\bigwedge i. i \in I \implies \text{bounded-clinear } (f\ i) \implies \text{bounded-clinear } (\lambda x. \sum_{i \in I. f\ i\ x}$ )
  i x)
  by (induct I rule: infinite-finite-induct) (auto intro!: bounded-clinear-add)

lemma bounded-clinear-compose:
  assumes bounded-clinear f
  and bounded-clinear g
  shows bounded-clinear ( $\lambda x. f\ (g\ x)$ )
proof
  interpret f: bounded-clinear f by fact
  interpret g: bounded-clinear g by fact
  show f (g (x + y)) = f (g x) + f (g y) for x y
    by (simp only: f.add g.add)
  show f (g (scaleC r x)) = scaleC r (f (g x)) for r x
    by (simp only: f.scaleC g.scaleC)
  from f.pos-bounded obtain Kf where f:  $\bigwedge x. \text{norm } (f\ x) \leq \text{norm } x * Kf$  and
  Kf:  $0 < Kf$ 
  by blast
  from g.pos-bounded obtain Kg where g:  $\bigwedge x. \text{norm } (g\ x) \leq \text{norm } x * Kg$ 
  by blast
  show  $\exists K. \forall x. \text{norm } (f\ (g\ x)) \leq \text{norm } x * K$ 
proof (intro exI allI)
  fix x
  have  $\text{norm } (f\ (g\ x)) \leq \text{norm } (g\ x) * Kf$ 
  using f .
  also have  $\dots \leq (\text{norm } x * Kg) * Kf$ 
  using g Kf [THEN order-less-imp-le] by (rule mult-right-mono)
  also have  $(\text{norm } x * Kg) * Kf = \text{norm } x * (Kg * Kf)$ 
  by (rule mult.assoc)
  finally show  $\text{norm } (f\ (g\ x)) \leq \text{norm } x * (Kg * Kf)$  .
qed
qed

lemma bounded-cbilinear-mult: bounded-cbilinear ((* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a::complex-normed-algebra)
proof (rule bounded-cbilinear.intro)
  show  $\exists K. \forall a\ b::'a. \text{norm } (a * b) \leq \text{norm } a * \text{norm } b * K$ 
  by (rule-tac x=1 in exI) (simp add: norm-mult-ineq)
qed (auto simp: algebra-simps)

lemma bounded-clinear-mult-left: bounded-clinear ( $\lambda x::'a::\text{complex-normed-algebra.}$ 
x * y)
  using bounded-cbilinear-mult
  by (rule bounded-cbilinear.bounded-clinear-left)

```

```

lemma bounded-clinear-mult-right: bounded-clinear ( $\lambda y :: 'a :: \text{complex-normed-algebra}.$ 
 $x * y$ )
  using bounded-cbilinear-mult
  by (rule bounded-cbilinear.bounded-clinear-right)

lemmas bounded-clinear-mult-const =
  bounded-clinear-mult-left [THEN bounded-clinear-compose]

lemmas bounded-clinear-const-mult =
  bounded-clinear-mult-right [THEN bounded-clinear-compose]

lemma bounded-clinear-divide: bounded-clinear ( $\lambda x. x / y$ )
  for  $y :: 'a :: \text{complex-normed-field}$ 
  unfolding divide-inverse by (rule bounded-clinear-mult-left)

lemma bounded-cbilinear-scaleC: bounded-cbilinear scaleC
proof (rule bounded-cbilinear.intro)
  obtain  $K$  where  $K$ :  $\langle \forall a (b :: 'a). \text{norm } b \leq \text{norm } b * K \rangle$ 
  using less-eq-real-def by auto
  show  $\exists K. \forall a (b :: 'a). \text{norm } (a *_C b) \leq \text{norm } a * \text{norm } b * K$ 
  apply (rule exI[where  $x=K$ ]) using  $K$ 
  by (metis norm-scaleC)
qed (auto simp: algebra-simps)

lemma bounded-clinear-scaleC-left: bounded-clinear ( $\lambda c. \text{scaleC } c \ x$ )
  using bounded-cbilinear-scaleC
  by (rule bounded-cbilinear.bounded-clinear-left)

lemma bounded-clinear-scaleC-right: bounded-clinear ( $\lambda x. \text{scaleC } c \ x$ )
  using bounded-cbilinear-scaleC
  by (rule bounded-cbilinear.bounded-clinear-right)

lemmas bounded-clinear-scaleC-const =
  bounded-clinear-scaleC-left[THEN bounded-clinear-compose]

lemmas bounded-clinear-const-scaleC =
  bounded-clinear-scaleC-right[THEN bounded-clinear-compose]

lemma bounded-clinear-of-complex: bounded-clinear ( $\lambda r. \text{of-complex } r$ )
  unfolding of-complex-def by (rule bounded-clinear-scaleC-left)

lemma complex-bounded-clinear: bounded-clinear  $f \longleftrightarrow (\exists c :: \text{complex}. f = (\lambda x. x$ 
 $* c))$ 
  for  $f :: \text{complex} \Rightarrow \text{complex}$ 
proof –
  {
    fix  $x$ 
    assume bounded-clinear  $f$ 

```

```

    then interpret bounded-clinear f .
    from scaleC[of x 1] have f x = x * f 1
      by simp
  }
  then show ?thesis
    by (auto intro: exI[of - f 1] bounded-clinear-mult-left)
qed

```

### 6.9.1 Limits of Sequences

### 6.10 Cauchy sequences

**lemma** *cCauchy-iff2*:  $\text{Cauchy } X \longleftrightarrow (\forall j. (\exists M. \forall m \geq M. \forall n \geq M. \text{cmod } (X m - X n) < \text{inverse } (\text{real } (\text{Suc } j))))$   
 by (simp only: metric-Cauchy-iff2 dist-complex-def)

### 6.11 The set of complex numbers is a complete metric space

Proof that Cauchy sequences converge based on the one from <http://pirate.shu.edu/~wachsmut/ira/numseq/proofs/cauconv.html>

If sequence  $X$  is Cauchy, then its limit is the lub of  $\{r. \exists N. \forall n \geq N. r < X n\}$

**lemma** *complex-increasing-LIMSEQ*:  
 fixes  $f :: \text{nat} \Rightarrow \text{complex}$   
 assumes *inc*:  $\bigwedge n. f n \leq f (\text{Suc } n)$   
 and *bdd*:  $\bigwedge n. f n \leq l$   
 and *en*:  $\bigwedge e. 0 < e \implies \exists n. l \leq f n + e$   
 shows  $f \longrightarrow l$   
**proof** –  
 have  $\langle \lambda n. \text{Re } (f n) \rangle \longrightarrow \text{Re } l$   
 apply (rule increasing-LIMSEQ)  
 using *assms* apply (auto simp: less-eq-complex-def less-complex-def)  
 by (metis Im-complex-of-real Re-complex-of-real)  
 moreover have  $\langle \text{Im } (f n) \rangle = \text{Im } l$  for  $n$   
 using *bdd* by (auto simp: less-eq-complex-def)  
 then have  $\langle \lambda n. \text{Im } (f n) \rangle \longrightarrow \text{Im } l$   
 by auto  
 ultimately show  $\langle f \rangle \longrightarrow l$   
 by (simp add: tendsto-complex-iff)  
**qed**

**lemma** *complex-Cauchy-convergent*:  
 fixes  $X :: \text{nat} \Rightarrow \text{complex}$   
 assumes *X*:  $\text{Cauchy } X$   
 shows *convergent*  $X$   
 using *assms* by (rule Cauchy-convergent)

**instance** *complex* :: *complete-space*

```

    by intro-classes (rule complex-Cauchy-convergent)

class cbanach = complex-normed-vector + complete-space

subclass (in cbanach) banach ..

instance complex :: banach ..

end

```

## 7 Complex-Vector-Spaces – Complex Vector Spaces

```

theory Complex-Vector-Spaces
  imports
    HOL-Analysis.Elementary-Topology
    HOL-Analysis.Operator-Norm
    HOL-Analysis.Elementary-Normed-Spaces
    HOL-Library.Set-Algebras
    HOL-Analysis.Starlike
    HOL-Types-To-Sets.Types-To-Sets
    HOL-Library.Complemented-Lattices
    HOL-Library.Function-Algebras

    Extra-Vector-Spaces
    Extra-Ordered-Fields
    Extra-Operator-Norm
    Extra-General

    Complex-Vector-Spaces0
begin

bundle norm-syntax begin
notation norm (⟨||-||⟩)
end

unbundle lattice-syntax

```

### 7.1 Misc

```

lemma (in vector-space) span-image-scale':
  — Strengthening of vector-space.span-image-scale without the condition finite S
  assumes nz:  $\bigwedge x. x \in S \implies c \cdot x \neq 0$ 
  shows span (( $\lambda x. c \cdot x$ ) ` S) = span S
proof

```

```

  have ⟨((λx. c x * s x) ' S) ⊆ span S⟩
    by (metis (mono-tags, lifting) image-subsetI in-mono local.span-superset local.subspace-scale local.subspace-span)
  then show ⟨span ((λx. c x * s x) ' S) ⊆ span S⟩
    by (simp add: local.span-minimal)
next
  have ⟨x ∈ span ((λx. c x * s x) ' S)⟩ if ⟨x ∈ S⟩ for x
  proof -
    have ⟨x = inverse (c x) * s c x * s x⟩
      by (simp add: nz that)
    moreover have ⟨c x * s x ∈ (λx. c x * s x) ' S⟩
      using that by blast
    ultimately show ?thesis
      by (metis local.span-base local.span-scale)
  qed
  then show ⟨span S ⊆ span ((λx. c x * s x) ' S)⟩
    by (simp add: local.span-minimal subsetI)
qed

lemma (in scaleC) scaleC-real: assumes r ∈ ℝ shows r *C x = Re r *R x
  unfolding scaleR-scaleC using assms by simp

lemma of-complex-of-real-eq [simp]: of-complex (of-real n) = of-real n
  unfolding of-complex-def of-real-def unfolding scaleR-scaleC by simp

lemma Complexs-of-real [simp]: of-real r ∈ ℂ
  unfolding Complexs-def of-real-def of-complex-def
  apply (subst scaleR-scaleC) by simp

lemma Reals-in-Complexs: ℝ ⊆ ℂ
  unfolding Reals-def by auto

lemma (in bounded-clinear) bounded-linear: bounded-linear f
  by standard

lemma clinear-times: clinear (λx. c * x)
  for c :: 'a::complex-algebra
  by (auto simp: clinearI distrib-left)

lemma (in clinear) linear: ⟨linear f⟩
  by standard

lemma bounded-clinearI:
  assumes ⟨∧ b1 b2. f (b1 + b2) = f b1 + f b2⟩
  assumes ⟨∧ r b. f (r *C b) = r *C f b⟩
  assumes ⟨∧ x. norm (f x) ≤ norm x * K⟩
  shows bounded-clinear f
  using assms by (auto intro!: exI bounded-clinear.intro clinearI simp: bounded-clinear-axioms-def)

```

```

lemma bounded-clinear-id[simp]: ⟨bounded-clinear id⟩
  by (simp add: id-def)

lemma bounded-clinear-0[simp]: ⟨bounded-clinear 0⟩
  by (auto intro!: bounded-clinearI[where K=0])

definition cbilinear :: ⟨'a::complex-vector ⇒ 'b::complex-vector ⇒ 'c::complex-vector⟩
  ⇒ bool
  where ⟨cbilinear = (λ f. (∀ y. clinear (λ x. f x y)) ∧ (∀ x. clinear (λ y. f x y))
  )⟩

lemma cbilinear-add-left:
  assumes ⟨cbilinear f⟩
  shows ⟨f (a + b) c = f a c + f b c⟩
  by (smt (verit, del-insts) assms cbilinear-def complex-vector.linear-add)

lemma cbilinear-add-right:
  assumes ⟨cbilinear f⟩
  shows ⟨f a (b + c) = f a b + f a c⟩
  by (smt (verit, del-insts) assms cbilinear-def complex-vector.linear-add)

lemma cbilinear-times:
  fixes g' :: ⟨'a::complex-vector ⇒ complex⟩ and g :: ⟨'b::complex-vector ⇒ com-
plex⟩
  assumes ⟨∧ x y. h x y = (g' x)*(g y)⟩ and ⟨clinear g⟩ and ⟨clinear g'⟩
  shows ⟨cbilinear h⟩
proof –
  have w1: h (b1 + b2) y = h b1 y + h b2 y
  for b1 :: 'a
  and b2 :: 'a
  and y
  proof –
  have ⟨h (b1 + b2) y = g' (b1 + b2) * g y⟩
  using ⟨∧ x y. h x y = (g' x)*(g y)⟩
  by auto
  also have ⟨... = (g' b1 + g' b2) * g y⟩
  using ⟨clinear g'⟩
  unfolding clinear-def
  by (simp add: assms(3) complex-vector.linear-add)
  also have ⟨... = g' b1 * g y + g' b2 * g y⟩
  by (simp add: ring-class.ring-distrib(2))
  also have ⟨... = h b1 y + h b2 y⟩
  using assms(1) by auto
  finally show ?thesis by blast
qed
have w2: h (r *C b) y = r *C h b y
for r :: complex
and b :: 'a
and y

```

```

proof-
  have ⟨ $h (r *_C b) y = g' (r *_C b) * g y$ ⟩
    by (simp add: assms(1))
  also have ⟨ $\dots = r *_C (g' b * g y)$ ⟩
    by (simp add: assms(3) complex-vector.linear-scale)
  also have ⟨ $\dots = r *_C (h b y)$ ⟩
    by (simp add: assms(1))
  finally show ?thesis by blast
qed
have clinear (λx. h x y)
  for y :: 'b
  unfolding clinear-def
  by (meson clinearI clinear-def w1 w2)
hence t2: ∀ y. clinear (λx. h x y)
  by simp
have v1: h x (b1 + b2) = h x b1 + h x b2
  for b1 :: 'b
  and b2 :: 'b
  and x
proof-
  have ⟨ $h x (b1 + b2) = g' x * g (b1 + b2)$ ⟩
    using ⟨ $\bigwedge x y. h x y = (g' x) * (g y)$ ⟩
    by auto
  also have ⟨ $\dots = g' x * (g b1 + g b2)$ ⟩
    using ⟨clinear g'⟩
    unfolding clinear-def
    by (simp add: assms(2) complex-vector.linear-add)
  also have ⟨ $\dots = g' x * g b1 + g' x * g b2$ ⟩
    by (simp add: ring-class.ring-distrib(1))
  also have ⟨ $\dots = h x b1 + h x b2$ ⟩
    using assms(1) by auto
  finally show ?thesis by blast
qed

have v2: h x (r *_C b) = r *_C h x b
  for r :: complex
  and b :: 'b
  and x
proof-
  have ⟨ $h x (r *_C b) = g' x * g (r *_C b)$ ⟩
    by (simp add: assms(1))
  also have ⟨ $\dots = r *_C (g' x * g b)$ ⟩
    by (simp add: assms(2) complex-vector.linear-scale)
  also have ⟨ $\dots = r *_C (h x b)$ ⟩
    by (simp add: assms(1))
  finally show ?thesis by blast
qed
have Vector-Spaces.linear (*_C) (*_C) (h x)
  for x :: 'a

```

```

    using v1 v2
    by (meson clinearI clinear-def)
  hence t1:  $\forall x. \text{clinear } (h\ x)$ 
    unfolding clinear-def
    by simp
  show ?thesis
    unfolding cbilinear-def
    by (simp add: t1 t2)
qed

```

```

lemma csubspace-is-subspace:  $\text{csubspace } A \implies \text{subspace } A$ 
  apply (rule subspaceI)
  by (auto simp: complex-vector.subspace-def scaleR-scaleC)

```

```

lemma span-subset-cspan:  $\text{span } A \subseteq \text{cspan } A$ 
  unfolding span-def complex-vector.span-def
  by (simp add: csubspace-is-subspace hull-antimono)

```

```

lemma cindependent-implies-independent:
  assumes cindependent ( $S::'a::\text{complex-vector set}$ )
  shows independent  $S$ 
  using assms unfolding dependent-def complex-vector.dependent-def
  using span-subset-cspan by blast

```

```

lemma cspan-singleton:  $\text{cspan } \{x\} = \{\alpha *_C x \mid \alpha. \text{True}\}$ 
proof -
  have  $\langle \text{cspan } \{x\} = \{y. y \in \text{cspan } \{x\}\} \rangle$ 
    by auto
  also have  $\langle \dots = \{\alpha *_C x \mid \alpha. \text{True}\} \rangle$ 
    apply (subst complex-vector.span-breakdown-eq)
    by auto
  finally show ?thesis
    by -
qed

```

```

lemma cspan-as-span:
   $\text{cspan } (B::'a::\text{complex-vector set}) = \text{span } (B \cup \text{scaleC } i \text{ ` } B)$ 
proof (intro set-eqI iffI)
  let ?cspan = complex-vector.cspan
  let ?rspan = real-vector.span
  fix  $\psi$ 
  assume cspan:  $\psi \in ?\text{cspan } B$ 
  have  $\exists B' r. \text{finite } B' \wedge B' \subseteq B \wedge \psi = (\sum_{b \in B'} r\ b *_C b)$ 
    using complex-vector.span-explicit[of B] cspan
    by auto
  then obtain  $B' r$  where  $\text{finite } B' \text{ and } B' \subseteq B \text{ and } \psi\text{-explicit: } \psi = (\sum_{b \in B'} r\ b *_C b)$ 

```

```

    by atomize-elim
  define R where R = B ∪ scaleC i ‘ B

  have x2: (case x of (b, i) ⇒ if i
    then Im (r b) *R i *C b
    else Re (r b) *R b) ∈ span (B ∪ (*C) i ‘ B)
  if x ∈ B' × (UNIV::bool set)
  for x :: 'a × bool
  using that ⟨B' ⊆ B⟩ by (auto simp add: real-vector.span-base real-vector.span-scale
subset-iff)
  have x1: ψ = (∑ x∈B'. ∑ i∈UNIV. if i then Im (r x) *R i *C x else Re (r x)
*R x)
  if ∧b. r b *C b = Re (r b) *R b + Im (r b) *R i *C b
  using that by (simp add: UNIV-bool ψ-explicit)
  moreover have r b *C b = Re (r b) *R b + Im (r b) *R i *C b for b
  using complex-eq scaleC-add-left scaleC-scaleC scaleR-scaleC
  by (metis (no-types, lifting) complex-of-real-i i-complex-of-real)
  ultimately have ψ = (∑ (b,i)∈(B'×UNIV). if i then Im (r b) *R (i *C b) else
Re (r b) *R b)
  by (simp add: sum.cartesian-product)
  also have ... ∈ ?rspan R
  unfolding R-def
  using x2
  by (rule real-vector.span-sum)
  finally show ψ ∈ ?rspan R by -
next
let ?cspan = complex-vector.span
let ?rspan = real-vector.span
define R where R = B ∪ scaleC i ‘ B
fix ψ
assume rspan: ψ ∈ ?rspan R
have subspace {a. a ∈ cspan B}
  by (rule real-vector.subspaceI, auto simp add: complex-vector.span-zero
complex-vector.span-add-eq2 complex-vector.span-scale scaleR-scaleC)
moreover have x ∈ cspan B
  if x ∈ R
  for x :: 'a
  using that R-def complex-vector.span-base complex-vector.span-scale by fast-
force
ultimately show ψ ∈ ?cspan B
  using real-vector.span-induct rspan by blast
qed

```

```

lemma isomorphic-equal-cdim:
  assumes lin-f: ⟨clinear f⟩
  assumes inj-f: ⟨inj-on f (cspan S)⟩
  assumes im-S: ⟨f ‘ S = T⟩
  shows ⟨cdim S = cdim T⟩

```

**proof** –  
**obtain**  $SB$  **where**  $SB\text{-span}$ :  $cspan\ SB = cspan\ S$  **and**  $indep\text{-}SB$ :  $\langle cindependent\ SB \rangle$   
**by** (*metis complex-vector.basis-exists complex-vector.span-mono complex-vector.span-span subset-antisym*)  
**with**  $lin\text{-}f\ inj\text{-}f$  **have**  $indep\text{-}fSB$ :  $\langle cindependent\ (f\ ' SB) \rangle$   
**apply** (*rule-tac complex-vector.linear-independent-injective-image*)  
**by** *auto*  
**from**  $lin\text{-}f$  **have**  $\langle cspan\ (f\ ' SB) = f\ ' cspan\ SB \rangle$   
**by** (*meson complex-vector.linear-span-image*)  
**also from**  $SB\text{-span}\ lin\text{-}f$  **have**  $\langle \dots = cspan\ T \rangle$   
**by** (*metis complex-vector.linear-span-image im-S*)  
**finally have**  $\langle cdim\ T = card\ (f\ ' SB) \rangle$   
**using**  $indep\text{-}fSB\ complex\text{-}vector.dim\text{-}eq\text{-}card$  **by** *blast*  
**also have**  $\langle \dots = card\ SB \rangle$   
**apply** (*rule card-image*) **using**  $inj\text{-}f$   
**by** (*metis SB-span complex-vector.linear-inj-on-span-iff-independent-image indep-fSB lin-f*)  
**also have**  $\langle \dots = cdim\ S \rangle$   
**using**  $indep\text{-}SB\ SB\text{-span}$   
**by** (*metis complex-vector.dim-eq-card*)  
**finally show**  $?thesis$  **by** *simp*  
**qed**

**lemma** *cindependent-inter-scaleC-cindependent*:  
**assumes**  $a1$ :  $cindependent\ (B::'a::complex\text{-}vector\ set)$  **and**  $a3$ :  $c \neq 1$   
**shows**  $B \cap (*_C)\ c\ ' B = \{\}$   
**proof** (*rule classical, cases  $\langle c = 0 \rangle$* )  
**case** *True*  
**then show**  $?thesis$   
**using**  $a1$  **by** (*auto simp add: complex-vector.dependent-zero*)  
**next**  
**case** *False*  
**assume**  $\neg(B \cap (*_C)\ c\ ' B = \{\})$   
**hence**  $B \cap (*_C)\ c\ ' B \neq \{\}$   
**by** *blast*  
**then obtain**  $x$  **where**  $u1$ :  $x \in B \cap (*_C)\ c\ ' B$   
**by** *blast*  
**then obtain**  $b$  **where**  $u2$ :  $x = b$  **and**  $u3$ :  $b \in B$   
**by** *blast*  
**then obtain**  $b'$  **where**  $u2'$ :  $x = c *_C\ b'$  **and**  $u3'$ :  $b' \in B$   
**using**  $u1$   
**by** *blast*  
**have**  $g1$ :  $b = c *_C\ b'$   
**using**  $u2$  **and**  $u2'$  **by** *simp*  
**hence**  $b \in complex\text{-}vector.span\ \{b'\}$   
**using** *False*  
**by** (*simp add: complex-vector.span-base complex-vector.span-scale*)

```

hence  $b = b'$ 
  by (metis u3' a1 complex-vector.dependent-def complex-vector.span-base
    complex-vector.span-scale insertE insert-Diff u2 u2' u3)
hence  $b' = c *_C b'$ 
  using g1 by blast
thus ?thesis
  by (metis a1 a3 complex-vector.dependent-zero complex-vector.scale-right-imp-eq
    mult-cancel-right2 scaleC-scaleC u3')
qed

lemma real-independent-from-complex-independent:
  assumes cindependent (B::'a::complex-vector set)
  defines  $B' == ((*_C) i \text{ ` } B)$ 
  shows independent (B  $\cup$  B')
proof (rule notI)
  assume <dependent (B  $\cup$  B')>
  then obtain T f0 x where [simp]: <finite T> and <T  $\subseteq$  B  $\cup$  B'> and f0-sum:
    <( $\sum_{v \in T}. f0 \ v *_R v$ ) = 0>
    and x: <x  $\in$  T> and f0-x: <f0 x  $\neq$  0>
    by (auto simp: real-vector.dependent-explicit)
  define f T1 T2 T' f' x' where <f v = (if v  $\in$  T then f0 v else 0)>
    and <T1 = T  $\cap$  B> and <T2 = scaleC (-i) ` (T  $\cap$  B')>
    and <T' = T1  $\cup$  T2> and <f' v = f v + i * f (i *_C v)>
    and <x' = (if x  $\in$  T1 then x else -i *_C x)> for v
  have <B  $\cap$  B' = {}>
    by (simp add: assms cindependent-inter-scaleC-cindependent)
  have <T'  $\subseteq$  B>
    by (auto simp: T'-def T1-def T2-def B'-def)
  have [simp]: <finite T'> <finite T1> <finite T2>
    by (auto simp add: T'-def T1-def T2-def)
  have f-sum: <( $\sum_{v \in T}. f \ v *_R v$ ) = 0>
    unfolding f-def using f0-sum by auto
  have f-x: <f x  $\neq$  0>
    using f0-x x by (auto simp: f-def)
  have f'-sum: <( $\sum_{v \in T'}. f' \ v *_C v$ ) = 0>
  proof -
    have <( $\sum_{v \in T'}. f' \ v *_C v$ ) = ( $\sum_{v \in T'}. \text{complex-of-real } (f \ v) *_C v$ ) + ( $\sum_{v \in T'}. (i * \text{complex-of-real } (f \ (i *_C v))) *_C v$ )>
      by (auto simp: f'-def sum.distrib scaleC-add-left)
    also have <( $\sum_{v \in T'}. \text{complex-of-real } (f \ v) *_C v$ ) = ( $\sum_{v \in T1}. f \ v *_R v$ )> (is <-
      = ?left>)
      apply (auto simp: T'-def scaleR-scaleC intro!: sum.mono-neutral-cong-right)
      using T'-def T1-def <T'  $\subseteq$  B> f-def by auto
    also have <( $\sum_{v \in T'}. (i * \text{complex-of-real } (f \ (i *_C v))) *_C v$ ) = ( $\sum_{v \in T2}. (i * \text{complex-of-real } (f \ (i *_C v))) *_C v$ )> (is <- = ?right>)
      apply (auto simp: T'-def intro!: sum.mono-neutral-cong-right)
      by (smt (z3) B'-def IntE IntI T1-def T2-def <f  $\equiv$   $\lambda v. \text{if } v \in T \text{ then } f0 \ v \text{ else } 0$ > add.inverse-inverse complex-vector.vector-space-axioms i-squared imageI
        mult-minus-left vector-space.vector-space-assms(3) vector-space.vector-space-assms(4))
  qed

```

```

also have ⟨?right = (∑ v∈T∩B'. f v *R v)⟩ (is ⟨- = ?right⟩)
  apply (rule sum.reindex-cong[symmetric, where l=⟨scale C i⟩])
    apply (auto simp: T2-def image-image scaleR-scaleC)
    using inj-on-def by fastforce
also have ⟨?left + ?right = (∑ v∈T. f v *R v)⟩
  apply (subst sum.union-disjoint[symmetric])
    using ⟨B ∩ B' = {}⟩ ⟨T ⊆ B ∪ B'⟩ apply (auto simp: T1-def)
    by (metis Int-Un-distrib Un-Int-eq(4) sup.absorb-iff1)
also have ⟨... = 0⟩
  by (rule f-sum)
finally show ?thesis
  by -
qed

have x': ⟨x' ∈ T'⟩
  using ⟨T ⊆ B ∪ B'⟩ x by (auto simp: x'-def T'-def T1-def T2-def)

have f'-x': ⟨f' x' ≠ 0⟩
  using Complex-eq Complex-eq-0 f'-def f-x x'-def by auto

from ⟨finite T'⟩ ⟨T' ⊆ B⟩ f'-sum x' f'-x'
have ⟨cdependent B⟩
  using complex-vector.independent-explicit-module by blast
with assms show False
  by auto
qed

lemma crepresentation-from-representation:
  assumes a1: cindependent B and a2: b ∈ B and a3: finite B
  shows crepresentation B ψ b = (representation (B ∪ (*C) i ' B) ψ b)
    + i *C (representation (B ∪ (*C) i ' B) ψ (i *C b))
proof (cases ψ ∈ cspan B)
  define B' where B' = B ∪ (*C) i ' B
  case True
  define r where r v = real-vector.representation B' ψ v for v
  define r' where r' v = real-vector.representation B' ψ (i *C v) for v
  define f where f v = r v + i *C r' v for v
  define g where g v = crepresentation B ψ v for v
  have (∑ v | g v ≠ 0. g v *C v) = ψ
    unfolding g-def
    using Collect-cong Collect-mono-iff DiffD1 DiffD2 True a1
      complex-vector.finite-representation
      complex-vector.sum-nonzero-representation-eq sum.mono-neutral-cong-left
    by fastforce
  moreover have finite {v. g v ≠ 0}
    unfolding g-def
    by (simp add: complex-vector.finite-representation)
  moreover have v ∈ B
    if g v ≠ 0 for v

```

```

    using that unfolding g-def
    by (simp add: complex-vector.representation-ne-zero)
ultimately have rep1:  $(\sum v \in B. g \ v \ *_C \ v) = \psi$ 
    unfolding g-def
    using a3 True a1 complex-vector.sum-representation-eq by blast
have l0':  $\text{inj } ((*_C) \ i :: 'a \Rightarrow 'a)$ 
    unfolding inj-def
    by simp
have l0:  $\text{inj } ((*_C) \ (- \ i) :: 'a \Rightarrow 'a)$ 
    unfolding inj-def
    by simp
have l1:  $(*_C) \ (- \ i) \ ' \ B \cap B = \{\}$ 
    using cindependent-inter-scaleC-cindependent[where B=B and c = - i]
    by (metis Int-commute a1 add.inverse-inverse complex-i-not-one i-squared
mult-cancel-left1
    neg-equal-0-iff-equal)
have l2:  $B \cap (*_C) \ i \ ' \ B = \{\}$ 
    by (simp add: a1 cindependent-inter-scaleC-cindependent)
have rr1:  $r \ (i \ *_C \ v) = r' \ v$  for  $v$ 
    unfolding r-def r'-def
    by simp
have k1: independent B'
    unfolding B'-def using a1 real-independent-from-complex-independent by simp
have  $\psi \in \text{span } B'$ 
    using B'-def True cspan-as-span by blast
have  $v \in B'$ 
    if  $r \ v \neq 0$ 
    for  $v$ 
    unfolding r-def
    using r-def real-vector.representation-ne-zero that by auto
have finite B'
    unfolding B'-def using a3
    by simp
have  $(\sum v \in B'. r \ v \ *_R \ v) = \psi$ 
    unfolding r-def
    using True Real-Vector-Spaces.real-vector.sum-representation-eq[where B =
B' and basis = B']
    and  $v = \psi$ 
    by (smt Real-Vector-Spaces.dependent-raw-def  $\langle \psi \in \text{Real-Vector-Spaces.span } B' \rangle$ 
 $\langle \text{finite } B' \rangle$ 
    equalityD2 k1)
have d1:  $(\sum v \in B. r \ (i \ *_C \ v) \ *_R \ (i \ *_C \ v)) = (\sum v \in (*_C) \ i \ ' \ B. r \ v \ *_R \ v)$ 
    using l0'
    by (metis (mono-tags, lifting) inj-eq inj-on-def sum.reindex-cong)
have  $(\sum v \in B. (r \ v + i \ * \ (r' \ v)) \ *_C \ v) = (\sum v \in B. r \ v \ *_C \ v + (i \ * \ r' \ v) \ *_C \ v)$ 
    by (meson scaleC-left.add)
also have  $\dots = (\sum v \in B. r \ v \ *_C \ v) + (\sum v \in B. (i \ * \ r' \ v) \ *_C \ v)$ 
    using sum.distrib by fastforce
also have  $\dots = (\sum v \in B. r \ v \ *_C \ v) + (\sum v \in B. i \ *_C \ (r' \ v \ *_C \ v))$ 

```

```

    by auto
  also have ... = (∑ v∈B. r v *R v) + (∑ v∈B. i *C (r (i *C v) *R v))
    unfolding r'-def r-def
    by (metis (mono-tags, lifting) scaleR-scaleC sum.cong)
  also have ... = (∑ v∈B. r v *R v) + (∑ v∈B. r (i *C v) *R (i *C v))
    by (metis (no-types, lifting) complex-vector.scale-left-commute scaleR-scaleC)
  also have ... = (∑ v∈B. r v *R v) + (∑ v∈(*C) i ' B. r v *R v)
    using d1
    by simp
  also have ... = ψ
    using l2 ⟨(∑ v∈B'. r v *R v) = ψ⟩
    unfolding B'-def
    by (simp add: a3 sum.union-disjoint)
  finally have (∑ v∈B. f v *C v) = ψ unfolding r'-def r-def f-def by simp
  hence 0 = (∑ v∈B. f v *C v) - (∑ v∈B. crepresentation B ψ v *C v)
    using repl
    unfolding g-def
    by simp
  also have ... = (∑ v∈B. f v *C v - crepresentation B ψ v *C v)
    by (simp add: sum-subtractf)
  also have ... = (∑ v∈B. (f v - crepresentation B ψ v) *C v)
    by (metis scaleC-left.diff)
  finally have 0 = (∑ v∈B. (f v - crepresentation B ψ v) *C v).
  hence (∑ v∈B. (f v - crepresentation B ψ v) *C v) = 0
    by simp
  hence f b - crepresentation B ψ b = 0
    using a1 a2 a3 complex-vector.independentD[where s = B and t = B
      and u = λv. f v - crepresentation B ψ v and v = b]
    order-refl by smt
  hence crepresentation B ψ b = f b
    by simp
  thus ?thesis unfolding f-def r-def r'-def B'-def by auto
next
  define B' where B' = B ∪ (*C) i ' B
  case False
  have b2: ψ ∉ real-vector.span B'
    unfolding B'-def
    using False cspan-as-span by auto
  have ψ ∉ complex-vector.span B
    using False by blast
  have crepresentation B ψ b = 0
    unfolding complex-vector.representation-def
    by (simp add: False)
  moreover have real-vector.representation B' ψ b = 0
    unfolding real-vector.representation-def
    by (simp add: b2)
  moreover have real-vector.representation B' ψ ((*C) i b) = 0
    unfolding real-vector.representation-def
    by (simp add: b2)

```

ultimately show *?thesis* unfolding  $B'$ -def by simp  
qed

lemma *CARD-1-vec-0[simp]*:  $\langle (\psi :: - :: \{\text{complex-vector}, \text{CARD-1}\}) = 0 \rangle$   
by auto

lemma *scaleC-cindependent*:

assumes  $a1$ : *cindependent*  $(B :: 'a :: \text{complex-vector set})$  and  $a3$ :  $c \neq 0$   
shows *cindependent*  $((*_C) \ c \ ' \ B)$

proof –

have  $u \ y = 0$

if  $g1$ :  $y \in S$  and  $g2$ :  $(\sum x \in S. u \ x *_C \ x) = 0$  and  $g3$ : *finite*  $S$  and  $g4$ :  $S \subseteq (*_C)$   
 $c \ ' \ B$

for  $u \ y \ S$

proof –

define  $v$  where  $v \ x = u \ (c *_C \ x)$  for  $x$

obtain  $S'$  where  $S' \subseteq B$  and  $S-S'$ :  $S = (*_C) \ c \ ' \ S'$

by (*meson*  $g4$  *subset-imageE*)

have *inj*  $((*_C) \ c :: 'a \Rightarrow -)$

unfolding *inj-def*

using  $a3$  by auto

hence *finite*  $S'$

using  $S-S'$  *finite-imageD*  $g3$  *inj-on-subset* by blast

have  $t \in (*_C) \ (\text{inverse } c) \ ' \ S$

if  $t \in S'$  for  $t$

proof –

have  $c *_C \ t \in S$

using  $\langle S = (*_C) \ c \ ' \ S' \rangle$  that by blast

hence  $(\text{inverse } c) *_C \ (c *_C \ t) \in (*_C) \ (\text{inverse } c) \ ' \ S$

by blast

moreover have  $(\text{inverse } c) *_C \ (c *_C \ t) = t$

by (*simp add*:  $a3$ )

ultimately show *?thesis* by simp

qed

moreover have  $t \in S'$

if  $t \in (*_C) \ (\text{inverse } c) \ ' \ S$  for  $t$

proof –

obtain  $t'$  where  $t = (\text{inverse } c) *_C \ t'$  and  $t' \in S$

using  $\langle t \in (*_C) \ (\text{inverse } c) \ ' \ S \rangle$  by auto

have  $c *_C \ t = c *_C \ ((\text{inverse } c) *_C \ t')$

using  $\langle t = (\text{inverse } c) *_C \ t' \rangle$  by simp

also have  $\dots = (c * (\text{inverse } c)) *_C \ t'$

by simp

also have  $\dots = t'$

by (*simp add*:  $a3$ )

finally have  $c *_C \ t = t'$ .

thus *?thesis* using  $\langle t' \in S \rangle$

using  $\langle S = (*_C) \ c \ ' \ S' \rangle$  *a3 complex-vector.scale-left-imp-eq* by blast  
 qed  
 ultimately have  $S' = (*_C) \ (inverse \ c) \ ' \ S$   
 by blast  
 hence  $inverse \ c *_C \ y \in S'$   
 using *that(1)* by blast  
 have  $t: inj \ (((*_C) \ c)::'a \Rightarrow -)$   
 using *a3 complex-vector.injective-scale*[**where**  $c = c$ ]  
 by blast  
 have  $0 = (\sum x \in (*_C) \ c \ ' \ S'. \ u \ x *_C \ x)$   
 using  $\langle S = (*_C) \ c \ ' \ S' \rangle$  *that(2)* by auto  
 also have  $\dots = (\sum x \in S'. \ v \ x *_C \ (c *_C \ x))$   
 unfolding *v-def*  
 using *t Groups-Big.comm-monoid-add-class.sum.reindex*[**where**  $h = ((*_C) \ c)$ ]  
 and  $A = S'$   
 and  $g = \lambda x. \ u \ x *_C \ x$ ] *inj-on-subset* by auto  
 also have  $\dots = c *_C \ (\sum x \in S'. \ v \ x *_C \ x)$   
 by (*metis (mono-tags, lifting) complex-vector.scale-left-commute scaleC-right.sum*  
*sum.cong*)  
 finally have  $0 = c *_C \ (\sum x \in S'. \ v \ x *_C \ x)$ .  
 hence  $(\sum x \in S'. \ v \ x *_C \ x) = 0$   
 using *a3* by auto  
 hence  $v \ (inverse \ c *_C \ y) = 0$   
 using  $\langle inverse \ c *_C \ y \in S' \rangle$   $\langle finite \ S' \rangle$   $\langle S' \subseteq B \rangle$  *a1*  
*complex-vector.independentD*  
 by blast  
 thus  $u \ y = 0$   
 unfolding *v-def*  
 by (*simp add: a3*)  
 qed  
 thus ?thesis  
 using *complex-vector.dependent-explicit*  
 by (*simp add: complex-vector.dependent-explicit*)  
 qed

**lemma** *cspan-eqI*:  
 assumes  $\langle \bigwedge a. \ a \in A \implies a \in cspan \ B \rangle$   
 assumes  $\langle \bigwedge b. \ b \in B \implies b \in cspan \ A \rangle$   
 shows  $\langle cspan \ A = cspan \ B \rangle$   
 apply (*rule complex-vector.span-subspace[rotated]*)  
 apply (*rule complex-vector.span-minimal*)  
 using *assms* by auto

**lemma** (**in** *bounded-cbilinear*) *bounded-bilinear*[*simp*]: *bounded-bilinear prod*  
 by *standard*

**lemma** *norm-scaleC-sgn*[*simp*]:  $\langle complex-of-real \ (norm \ \psi) *_C \ sgn \ \psi = \psi \rangle$  **for**  $\psi$   
 $:: 'a::complex-normed-vector$   
 apply (*cases*  $\langle \psi = 0 \rangle$ )

```

by (auto simp: sgn-div-norm scaleR-scaleC)

lemma scaleC-of-complex[simp]:  $\langle \text{scaleC } x \ (\text{of-complex } y) = \text{of-complex } (x * y) \rangle$ 
  unfolding of-complex-def using scaleC-scaleC by blast

lemma bounded-clinear-inv:
  assumes [simp]:  $\langle \text{bounded-clinear } f \rangle$ 
  assumes b:  $\langle b > 0 \rangle$ 
  assumes bound:  $\langle \bigwedge x. \text{norm } (f x) \geq b * \text{norm } x \rangle$ 
  assumes  $\langle \text{surj } f \rangle$ 
  shows  $\langle \text{bounded-clinear } (\text{inv } f) \rangle$ 
proof (rule bounded-clinear-intro)
  fix x y :: 'b and r :: complex
  define x' y' where  $\langle x' = \text{inv } f x \rangle$  and  $\langle y' = \text{inv } f y \rangle$ 
  have [simp]:  $\langle \text{clinear } f \rangle$ 
    by (simp add: bounded-clinear.clinear)
  have [simp]:  $\langle \text{inj } f \rangle$ 
  proof (rule injI)
    fix x y assume  $\langle f x = f y \rangle$ 
    then have  $\langle \text{norm } (f (x - y)) = 0 \rangle$ 
      by (simp add: complex-vector.linear-diff)
    with bound b have  $\langle \text{norm } (x - y) = 0 \rangle$ 
      by (metis linorder-not-le mult-le-0-iff nle-le norm-ge-zero)
    then show  $\langle x = y \rangle$ 
      by simp
  qed
qed

from  $\langle \text{surj } f \rangle$ 
have [simp]:  $\langle x = f x' \rangle \ \langle y = f y' \rangle$ 
  by (simp-all add: surj-f-inv-f x'-def y'-def)
show  $\text{inv } f (x + y) = \text{inv } f x + \text{inv } f y$ 
  by (simp flip: complex-vector.linear-add)
show  $\text{inv } f (r *_{\mathbb{C}} x) = r *_{\mathbb{C}} \text{inv } f x$ 
  by (simp flip: clinear.scaleC)
from bound have  $b * \text{norm } (\text{inv } f x) \leq \text{norm } x$ 
  by (simp flip: clinear.scaleC)
with b show  $\text{norm } (\text{inv } f x) \leq \text{norm } x * \text{inverse } b$ 
  by (smt (verit, ccfv-threshold) left-inverse mult.commute mult-cancel-right1
    mult-le-cancel-left-pos vector-space-over-itself.scale-scale)
qed

lemma range-is-csubspace[simp]:
  assumes a1:  $\text{clinear } f$ 
  shows  $\text{csubspace } (\text{range } f)$ 
  using assms complex-vector.linear-subspace-image complex-vector.subspace-UNIV
  by blast

lemma csubspace-is-convex[simp]:
  assumes a1:  $\text{csubspace } M$ 

```

**shows** *convex M*  
**proof** –  
**have**  $\langle \forall x \in M. \forall y \in M. \forall u. \forall v. u *_C x + v *_C y \in M \rangle$   
**using** *a1*  
**by** (*simp add: complex-vector.subspace-def*)  
**hence**  $\langle \forall x \in M. \forall y \in M. \forall u :: \text{real}. \forall v :: \text{real}. u *_R x + v *_R y \in M \rangle$   
**by** (*simp add: scaleR-scaleC*)  
**hence**  $\langle \forall x \in M. \forall y \in M. \forall u \geq 0. \forall v \geq 0. u + v = 1 \longrightarrow u *_R x + v *_R y \in M \rangle$   
**by** *blast*  
**thus** *?thesis* **using** *convex-def* **by** *blast*  
**qed**

**lemma** *kernel-is-csubspace*[*simp*]:  
**assumes** *a1*: *clinear f*  
**shows** *csubspace (f - ' {0})*  
**by** (*simp add: assms complex-vector.linear-subspace-vimage*)

**lemma** *bounded-cbilinear-0*[*simp*]:  $\langle \text{bounded-cbilinear } (\lambda -. 0) \rangle$   
**by** (*auto intro!: bounded-cbilinear.intro exI[where x=0]*)  
**lemma** *bounded-cbilinear-0'*[*simp*]:  $\langle \text{bounded-cbilinear } 0 \rangle$   
**by** (*auto intro!: bounded-cbilinear.intro exI[where x=0]*)

**lemma** *bounded-cbilinear-apply-bounded-clinear*:  $\langle \text{bounded-clinear } (f x) \rangle$  **if**  $\langle \text{bounded-cbilinear } f \rangle$   
**proof** –  
**interpret** *f*: *bounded-cbilinear f*  
**by** (*fact that*)  
**from** *f.bounded* **obtain** *K* **where**  $\langle \text{norm } (f a b) \leq \text{norm } a * \text{norm } b * K \rangle$  **for** *a*  
*b*  
**by** *auto*  
**then show** *?thesis*  
**by** (*auto intro!: bounded-clinearI[where K=⟨norm x \* K⟩*  
*simp add: f.add-right f.scaleC-right mult.commute mult.left-commute*)  
**qed**

**lemma** *clinear-scaleR*[*simp*]:  $\langle \text{clinear } (\text{scaleR } x) \rangle$   
**by** (*simp add: complex-vector.linear-scale-self scaleR-scaleC*)

**lemma** *abs-summable-on-scaleC-left* [*intro*]:  
**fixes** *c* ::  $\langle 'a :: \text{complex-normed-vector} \rangle$   
**assumes**  $c \neq 0 \implies f \text{ abs-summable-on } A$   
**shows**  $(\lambda x. f x *_C c) \text{ abs-summable-on } A$   
**apply** (*cases ⟨c = 0⟩*)  
**apply** *simp*  
**by** (*auto intro!: summable-on-cmult-left assms simp: norm-scaleC*)

**lemma** *abs-summable-on-scaleC-right* [*intro*]:  
**fixes** *f* ::  $\langle 'a \Rightarrow 'b :: \text{complex-normed-vector} \rangle$

```

assumes  $c \neq 0 \implies f \text{ abs-summable-on } A$ 
shows  $(\lambda x. c *_C f x) \text{ abs-summable-on } A$ 
apply (cases  $\langle c = 0 \rangle$ )
apply simp
by (auto intro!: summable-on-cmult-right assms simp: norm-scaleC)

lemma clinear-of-complex[iff]:  $\langle \text{clinear of-complex} \rangle$ 
by (simp add: clinearI)

lemma infsum-of-bool-scaleC:  $\langle (\sum_{x \in X} \text{of-bool } (x=y) *_C f x) = \text{of-bool } (y \in X) *_C f y \rangle$  for  $f :: \langle - \Rightarrow -::\text{complex-vector} \rangle$ 
apply (cases  $\langle y \in X \rangle$ )
apply (subst infsum-cong-neutral[where  $T = \langle \{y\} \rangle$  and  $g=f$ ])
apply auto[4]
apply (subst infsum-cong-neutral[where  $T = \langle \{\} \rangle$  and  $g=f$ ])
by auto

lemma has-sum-bounded-clinear:
assumes bounded-clinear  $h$  and  $(f \text{ has-sum } S) A$ 
shows  $((\lambda x. h (f x)) \text{ has-sum } h S) A$ 
apply (rule has-sum-bounded-linear[where  $h=h$ ])
by (auto intro!: bounded-clinear.bounded-linear assms)

lemma scaleC-scaleR-commute:  $\langle a *_C b *_R x = b *_R a *_C x \rangle$  for  $x :: \langle -::\text{complex-normed-vector} \rangle$ 
by (simp add: scaleR-scaleC scaleC-left-commute)

lemma csubspace-has-basis:
assumes  $\langle \text{csubspace } S \rangle$ 
shows  $\langle \exists B. \text{cindependent } B \wedge \text{cspan } B = S \rangle$ 
proof –
obtain  $B$  where  $\langle \text{cindependent } B \rangle$  and  $\langle \text{cspan } B = S \rangle$ 
by (rule complex-vector.maximal-independent-subset[where  $V=S$ ])
(use assms complex-vector.span-subspace in blast)
then show ?thesis
by auto
qed

lemma inj-scaleC:
fixes  $A :: \langle 'a::\text{complex-vector set} \rangle$ 
assumes  $\langle c \neq 0 \rangle$ 
shows  $\langle \text{inj-on } (\text{scaleC } c) A \rangle$ 
by (meson assms inj-onI scaleC-left-imp-eq)

```

## 7.2 Antilinear maps and friends

```

locale antilinear = additive  $f$  for  $f :: 'a::\text{complex-vector} \Rightarrow 'b::\text{complex-vector} +$ 
assumes scaleC:  $f (\text{scaleC } r x) = \text{cnj } r *_C f x$ 

```

```

sublocale antilinear  $\subseteq$  linear

```

```

proof (rule linearI)
  show  $f(b1 + b2) = f b1 + f b2$ 
    for  $b1 :: 'a$ 
      and  $b2 :: 'a$ 
    by (simp add: add)
  show  $f(r *_R b) = r *_R f b$ 
    for  $r :: \text{real}$ 
      and  $b :: 'a$ 
    unfolding scaleR-scaleC by (subst scaleC, simp)
qed

```

```

lemma antilinear-imp-scaleC:
  fixes  $D :: \text{complex} \Rightarrow 'a::\text{complex-vector}$ 
  assumes antilinear D
  obtains  $d$  where  $D = (\lambda x. \text{cnj } x *_C d)$ 
proof -
  interpret clinear D o cnj
  apply standard apply auto
  apply (simp add: additive.add assms antilinear.axioms(1))
  using assms antilinear.scaleC by fastforce
  obtain  $d$  where  $D o \text{cnj} = (\lambda x. x *_C d)$ 
  using clinear-axioms complex-vector.linear-imp-scale by blast
  then have  $\langle D = (\lambda x. \text{cnj } x *_C d) \rangle$ 
  by (metis comp-apply complex-cnj-cnj)
  then show ?thesis
  by (rule that)
qed

```

```

corollary complex-antilinearD:
  fixes  $f :: \text{complex} \Rightarrow \text{complex}$ 
  assumes antilinear f obtains  $c$  where  $f = (\lambda x. c * \text{cnj } x)$ 
  by (rule antilinear-imp-scaleC [OF assms]) (force simp: scaleC-conv-of-complex)

```

```

lemma antilinearI:
  assumes  $\bigwedge x y. f(x + y) = f x + f y$ 
  and  $\bigwedge c x. f(c *_C x) = \text{cnj } c *_C f x$ 
  shows antilinear f
  by standard (rule assms)+

```

```

lemma antilinear-o-antilinear: antilinear f  $\implies$  antilinear g  $\implies$  clinear (g o f)
  apply (rule clinearI)
  apply (simp add: additive.add antilinear-def)
  by (simp add: antilinear.scaleC)

```

```

lemma clinear-o-antilinear: antilinear f  $\implies$  clinear g  $\implies$  antilinear (g o f)
  apply (rule antilinearI)
  apply (simp add: additive.add complex-vector.linear-add antilinear-def)
  by (simp add: complex-vector.linear-scale antilinear.scaleC)

```

**lemma** *antilinear-o-clinear*:  $clinear\ f \implies antilinear\ g \implies antilinear\ (g\ o\ f)$   
**apply** (rule *antilinearI*)  
**apply** (simp add: additive.add complex-vector.linear-add antilinear-def)  
**by** (simp add: complex-vector.linear-scale antilinear.scaleC)

**locale** *bounded-antilinear* = *antilinear f* **for**  $f :: 'a::complex-normed-vector \Rightarrow 'b::complex-normed-vector +$   
**assumes** *bounded*:  $\exists K. \forall x. norm\ (f\ x) \leq norm\ x * K$

**lemma** *bounded-antilinearI*:  
**assumes**  $\langle \bigwedge b1\ b2. f\ (b1 + b2) = f\ b1 + f\ b2 \rangle$   
**assumes**  $\langle \bigwedge r\ b. f\ (r *_C\ b) = cnj\ r *_C\ f\ b \rangle$   
**assumes**  $\langle \forall x. norm\ (f\ x) \leq norm\ x * K \rangle$   
**shows** *bounded-antilinear f*  
**using** *assms* **by** (auto intro!: exI *bounded-antilinear.intro antilinearI simp: bounded-antilinear-axioms-def*)

**sublocale** *bounded-antilinear*  $\subseteq$  *real: bounded-linear*  
— Gives access to all lemmas from *Real-Vector-Spaces.linear* using prefix *real*.  
**apply** *standard* **by** (fact *bounded*)

**lemma** (in *bounded-antilinear*) *bounded-linear*: *bounded-linear f*  
**by** (fact *real.bounded-linear*)

**lemma** (in *bounded-antilinear*) *antilinear*: *antilinear f*  
**by** (fact *antilinear-axioms*)

**lemma** *bounded-antilinear-intro*:  
**assumes**  $\bigwedge x\ y. f\ (x + y) = f\ x + f\ y$   
**and**  $\bigwedge r\ x. f\ (scaleC\ r\ x) = scaleC\ (cnj\ r)\ (f\ x)$   
**and**  $\bigwedge x. norm\ (f\ x) \leq norm\ x * K$   
**shows** *bounded-antilinear f*  
**by** *standard* (blast intro: *assms*)+

**lemma** *bounded-antilinear-0*[*simp*]:  $\langle bounded-antilinear\ (\lambda-. 0) \rangle$   
**by** (auto intro!: *bounded-antilinearI* [where  $K=0$ ])

**lemma** *bounded-antilinear-0'*[*simp*]:  $\langle bounded-antilinear\ 0 \rangle$   
**by** (auto intro!: *bounded-antilinearI* [where  $K=0$ ])

**lemma** *cnj-bounded-antilinear*[*simp*]: *bounded-antilinear cnj*  
**apply** (rule *bounded-antilinear-intro* [where  $K = 1$ ])  
**by** *auto*

**lemma** *bounded-antilinear-o-bounded-antilinear*:  
**assumes** *bounded-antilinear f*  
**and** *bounded-antilinear g*  
**shows** *bounded-clinear*  $(\lambda x. f\ (g\ x))$   
**proof**  
**interpret** *f*: *bounded-antilinear f* **by** *fact*

```

interpret g: bounded-antilinear g by fact
fix b1 b2 b r
show f (g (b1 + b2)) = f (g b1) + f (g b2)
  by (simp add: f.add g.add)
show f (g (r *C b)) = r *C f (g b)
  by (simp add: f.scaleC g.scaleC)
have bounded-linear (λx. f (g x))
  using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
then show ∃ K. ∀ x. norm (f (g x)) ≤ norm x * K
  by (rule bounded-linear.bounded)
qed

```

```

lemma bounded-antilinear-o-bounded-antilinear':
  assumes bounded-antilinear f
    and bounded-antilinear g
  shows bounded-clinear (g o f)
  using assms by (simp add: o-def bounded-antilinear-o-bounded-antilinear)

```

```

lemma bounded-antilinear-o-bounded-clinear:
  assumes bounded-antilinear f
    and bounded-clinear g
  shows bounded-antilinear (λx. f (g x))
proof
  interpret f: bounded-antilinear f by fact
  interpret g: bounded-clinear g by fact
  show f (g (x + y)) = f (g x) + f (g y) for x y
    by (simp only: f.add g.add)
  show f (g (scaleC r x)) = scaleC (cnj r) (f (g x)) for r x
    by (simp add: f.scaleC g.scaleC)
  have bounded-linear (λx. f (g x))
    using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
  then show ∃ K. ∀ x. norm (f (g x)) ≤ norm x * K
    by (rule bounded-linear.bounded)
qed

```

```

lemma bounded-antilinear-o-bounded-clinear':
  assumes bounded-clinear f
    and bounded-antilinear g
  shows bounded-antilinear (g o f)
  using assms by (simp add: o-def bounded-antilinear-o-bounded-clinear)

```

```

lemma bounded-clinear-o-bounded-antilinear:
  assumes bounded-clinear f
    and bounded-antilinear g
  shows bounded-antilinear (λx. f (g x))
proof
  interpret f: bounded-clinear f by fact
  interpret g: bounded-antilinear g by fact
  show f (g (x + y)) = f (g x) + f (g y) for x y

```

```

    by (simp only: f.add g.add)
  show f (g (scaleC r x)) = scaleC (cnj r) (f (g x)) for r x
    using f.scaleC g.scaleC by fastforce
  have bounded-linear (λx. f (g x))
    using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
  then show ∃ K. ∀ x. norm (f (g x)) ≤ norm x * K
    by (rule bounded-linear.bounded)
qed

```

```

lemma bounded-clinear-o-bounded-antilinear':
  assumes bounded-antilinear f
    and bounded-clinear g
  shows bounded-antilinear (g o f)
  using assms by (simp add: o-def bounded-clinear-o-bounded-antilinear)

```

```

lemma bij-clinear-imp-inv-clinear: clinear (inv f)
  if a1: clinear f and a2: bij f
proof
  fix b1 b2 r b
  show inv f (b1 + b2) = inv f b1 + inv f b2
    by (simp add: a1 a2 bij-is-inj bij-is-surj complex-vector.linear-add inv-f-eq
surj-f-inv-f)
  show inv f (r *C b) = r *C inv f b
    using that
    by (smt bij-inv-eq-iff clinear-def complex-vector.linear-scale)
qed

```

```

locale bounded-sesquilinear =
  fixes
    prod :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector ⇒ 'c::complex-normed-vector
    (infixl <***> 70)
  assumes add-left: prod (a + a') b = prod a b + prod a' b
    and add-right: prod a (b + b') = prod a b + prod a b'
    and scaleC-left: prod (r *C a) b = (cnj r) *C (prod a b)
    and scaleC-right: prod a (r *C b) = r *C (prod a b)
    and bounded: ∃ K. ∀ a b. norm (prod a b) ≤ norm a * norm b * K

```

```

sublocale bounded-sesquilinear ⊆ real: bounded-bilinear
  — Gives access to all lemmas from Real-Vector-Spaces.linear using prefix real.
  apply standard
  by (auto simp: add-left add-right scaleC-left scaleC-right bounded scaleR-scaleC)

```

```

lemma (in bounded-sesquilinear) bounded-bilinear[simp]: bounded-bilinear prod
  by intro-locales

```

```

lemma (in bounded-sesquilinear) bounded-antilinear-left: bounded-antilinear (λa.
  prod a b)
  apply standard

```

```

    apply (auto simp add: scaleC-left add-left)
  by (metis ab-semigroup-mult-class.mult-ac(1) bounded)

lemma (in bounded-sesquilinear) bounded-clinear-right: bounded-clinear ( $\lambda b. \text{prod } a \ b$ )
  apply standard
  apply (auto simp add: scaleC-right add-right)
  by (metis bounded mult.assoc mult.left-commute)

lemma (in bounded-sesquilinear) comp1:
  assumes  $\langle \text{bounded-clinear } g \rangle$ 
  shows  $\langle \text{bounded-sesquilinear } (\lambda x. \text{prod } (g \ x)) \rangle$ 
proof
  interpret bounded-clinear g by fact
  fix a a' b b' r
  show  $\text{prod } (g \ (a + a')) \ b = \text{prod } (g \ a) \ b + \text{prod } (g \ a') \ b$ 
    by (simp add: add add-left)
  show  $\text{prod } (g \ a) \ (b + b') = \text{prod } (g \ a) \ b + \text{prod } (g \ a) \ b'$ 
    by (simp add: add add-right)
  show  $\text{prod } (g \ (r *_C a)) \ b = \text{cnj } r *_C \text{prod } (g \ a) \ b$ 
    by (simp add: scaleC scaleC-left)
  show  $\text{prod } (g \ a) \ (r *_C b) = r *_C \text{prod } (g \ a) \ b$ 
    by (simp add: scaleC-right)
  interpret bi: bounded-bilinear  $\langle (\lambda x. \text{prod } (g \ x)) \rangle$ 
  by (simp add: bounded-linear real.comp1)
  show  $\exists K. \forall a \ b. \text{norm } (\text{prod } (g \ a) \ b) \leq \text{norm } a * \text{norm } b * K$ 
    using bi.bounded by blast
qed

lemma (in bounded-sesquilinear) comp2:
  assumes  $\langle \text{bounded-clinear } g \rangle$ 
  shows  $\langle \text{bounded-sesquilinear } (\lambda x \ y. \text{prod } x \ (g \ y)) \rangle$ 
proof
  interpret bounded-clinear g by fact
  fix a a' b b' r
  show  $\text{prod } (a + a') \ (g \ b) = \text{prod } a \ (g \ b) + \text{prod } a' \ (g \ b)$ 
    by (simp add: add add-left)
  show  $\text{prod } a \ (g \ (b + b')) = \text{prod } a \ (g \ b) + \text{prod } a \ (g \ b')$ 
    by (simp add: add add-right)
  show  $\text{prod } (r *_C a) \ (g \ b) = \text{cnj } r *_C \text{prod } a \ (g \ b)$ 
    by (simp add: scaleC scaleC-left)
  show  $\text{prod } a \ (g \ (r *_C b)) = r *_C \text{prod } a \ (g \ b)$ 
    by (simp add: scaleC scaleC-right)
  interpret bi: bounded-bilinear  $\langle (\lambda x \ y. \text{prod } x \ (g \ y)) \rangle$ 
  apply (rule bounded-bilinear.flip)
  using - bounded-linear apply (rule bounded-bilinear.comp1)
  using bounded-bilinear by (rule bounded-bilinear.flip)
  show  $\exists K. \forall a \ b. \text{norm } (\text{prod } a \ (g \ b)) \leq \text{norm } a * \text{norm } b * K$ 
    using bi.bounded by blast

```

qed

**lemma** (in *bounded-sesquilinear*) *comp*: *bounded-clinear*  $f \implies$  *bounded-clinear*  $g \implies$  *bounded-sesquilinear*  $(\lambda x y. \text{prod } (f x) (g y))$   
 using *comp1* *bounded-sesquilinear.comp2* **by** *auto*

**lemma** *bounded-clinear-const-scaleR*:  
 fixes  $c :: \text{real}$   
 assumes  $\langle \text{bounded-clinear } f \rangle$   
 shows  $\langle \text{bounded-clinear } (\lambda x. c *_R f x) \rangle$   
**proof** –  
 have  $\langle \text{bounded-clinear } (\lambda x. (\text{complex-of-real } c) *_C f x) \rangle$   
 by (*simp add: assms bounded-clinear-const-scaleC*)  
 thus ?thesis  
 by (*simp add: scaleR-scaleC*)  
 qed

**lemma** *bounded-linear-bounded-clinear*:  
 $\langle \text{bounded-linear } A \implies \forall c x. A (c *_C x) = c *_C A x \implies \text{bounded-clinear } A \rangle$   
**apply** *standard*  
**by** (*simp-all add: linear-simps bounded-linear.bounded*)

**lemma** *comp-bounded-clinear*:  
 fixes  $A :: \langle 'b :: \text{complex-normed-vector} \Rightarrow 'c :: \text{complex-normed-vector} \rangle$   
 and  $B :: \langle 'a :: \text{complex-normed-vector} \Rightarrow 'b \rangle$   
 assumes  $\langle \text{bounded-clinear } A \rangle$  **and**  $\langle \text{bounded-clinear } B \rangle$   
 shows  $\langle \text{bounded-clinear } (A \circ B) \rangle$   
**by** (*metis clinear-compose assms(1) assms(2) bounded-clinear-axioms-def bounded-clinear-compose bounded-clinear-def o-def*)

**lemma** *bounded-sesquilinear-add*:  
 $\langle \text{bounded-sesquilinear } (\lambda x y. A x y + B x y) \rangle$  **if**  $\langle \text{bounded-sesquilinear } A \rangle$  **and**  $\langle \text{bounded-sesquilinear } B \rangle$   
**proof**  
 fix  $a a' :: 'a$  **and**  $b b' :: 'b$  **and**  $r :: \text{complex}$   
 show  $A (a + a') b + B (a + a') b = (A a b + B a b) + (A a' b + B a' b)$   
 by (*simp add: bounded-sesquilinear.add-left that(1) that(2)*)  
 show  $\langle A a (b + b') + B a (b + b') = (A a b + B a b) + (A a b' + B a b') \rangle$   
 by (*simp add: bounded-sesquilinear.add-right that(1) that(2)*)  
 show  $\langle A (r *_C a) b + B (r *_C a) b = \text{cnj } r *_C (A a b + B a b) \rangle$   
 by (*simp add: bounded-sesquilinear.scaleC-left scaleC-add-right that(1) that(2)*)  
 show  $\langle A a (r *_C b) + B a (r *_C b) = r *_C (A a b + B a b) \rangle$   
 by (*simp add: bounded-sesquilinear.scaleC-right scaleC-add-right that(1) that(2)*)  
 show  $\langle \exists K. \forall a b. \text{norm } (A a b + B a b) \leq \text{norm } a * \text{norm } b * K \rangle$   
**proof** –  
 have  $\langle \exists KA. \forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA \rangle$   
 by (*simp add: bounded-sesquilinear.bounded that(1)*)  
 then **obtain**  $KA$  **where**  $\langle \forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA \rangle$

```

    by blast
  have ⟨ $\exists KB. \forall a b. \text{norm } (B a b) \leq \text{norm } a * \text{norm } b * KB$ ⟩
    by (simp add: bounded-sesquilinear.bounded that(2))
  then obtain KB where ⟨ $\forall a b. \text{norm } (B a b) \leq \text{norm } a * \text{norm } b * KB$ ⟩
    by blast
  have ⟨ $\text{norm } (A a b + B a b) \leq \text{norm } a * \text{norm } b * (KA + KB)$ ⟩
    for a b
  proof-
    have ⟨ $\text{norm } (A a b + B a b) \leq \text{norm } (A a b) + \text{norm } (B a b)$ ⟩
      using norm-triangle-ineq by blast
    also have ⟨ $\dots \leq \text{norm } a * \text{norm } b * KA + \text{norm } a * \text{norm } b * KB$ ⟩
      using ⟨ $\forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ ⟩
        ⟨ $\forall a b. \text{norm } (B a b) \leq \text{norm } a * \text{norm } b * KB$ ⟩
      using add-mono by blast
    also have ⟨ $\dots = \text{norm } a * \text{norm } b * (KA + KB)$ ⟩
      by (simp add: mult.commute ring-class.ring-distrib(2))
    finally show ?thesis
      by blast
  qed
  thus ?thesis by blast
qed
qed

```

**lemma** *bounded-sesquilinear-uminus*:

```

  ⟨bounded-sesquilinear ( $\lambda x y. - A x y$ )⟩ if ⟨bounded-sesquilinear A⟩
proof
  fix a a' :: 'a and b b' :: 'b and r :: complex
  show  $- A (a + a') b = (- A a b) + (- A a' b)$ 
    by (simp add: bounded-sesquilinear.add-left that)
  show ⟨ $- A a (b + b') = (- A a b) + (- A a b')$ ⟩
    by (simp add: bounded-sesquilinear.add-right that)
  show ⟨ $- A (r *_C a) b = \text{cnj } r *_C (- A a b)$ ⟩
    by (simp add: bounded-sesquilinear.scaleC-left that)
  show ⟨ $- A a (r *_C b) = r *_C (- A a b)$ ⟩
    by (simp add: bounded-sesquilinear.scaleC-right that)
  show ⟨ $\exists K. \forall a b. \text{norm } (- A a b) \leq \text{norm } a * \text{norm } b * K$ ⟩
  proof-
    have ⟨ $\exists KA. \forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ ⟩
      by (simp add: bounded-sesquilinear.bounded that(1))
    then obtain KA where ⟨ $\forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ ⟩
      by blast
    have ⟨ $\text{norm } (- A a b) \leq \text{norm } a * \text{norm } b * KA$ ⟩
      for a b
      by (simp add: ⟨ $\forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ ⟩)
    thus ?thesis by blast
  qed
qed

```

**lemma** *bounded-sesquilinear-diff*:

⟨bounded-sesquilinear (λ x y. A x y - B x y)⟩ if ⟨bounded-sesquilinear A⟩ and  
 ⟨bounded-sesquilinear B⟩

**proof** -

have ⟨bounded-sesquilinear (λ x y. - B x y)⟩  
 using that(2) by (rule bounded-sesquilinear-uminus)  
 then have ⟨bounded-sesquilinear (λ x y. A x y + (- B x y))⟩  
 using that(1) by (rule bounded-sesquilinear-add[rotated])  
 then show ?thesis  
 by auto

**qed**

**lemmas** isCont-scaleC [simp] =

bounded-bilinear.isCont [OF bounded-cbilinear-scaleC [THEN bounded-cbilinear.bounded-bilinear]]

**lemma** bounded-sesquilinear-0[simp]: ⟨bounded-sesquilinear (λ- -.0)⟩

by (auto intro!: bounded-sesquilinear.intro exI[where x=0])

**lemma** bounded-sesquilinear-0'[simp]: ⟨bounded-sesquilinear 0⟩

by (auto intro!: bounded-sesquilinear.intro exI[where x=0])

**lemma** bounded-sesquilinear-apply-bounded-clinear: ⟨bounded-clinear (f x)⟩ if ⟨bounded-sesquilinear f⟩

**proof** -

interpret f: bounded-sesquilinear f

by (fact that)

from f.bounded obtain K where ⟨norm (f a b) ≤ norm a \* norm b \* K⟩ for a  
 b

by auto

then show ?thesis

by (auto intro!: bounded-clinearI[where K=⟨norm x \* K⟩]

simp add: f.add-right f.scaleC-right mult.commute mult.left-commute)

**qed**

**lemma** antilinear-diff:

assumes ⟨antilinear f⟩ and ⟨antilinear g⟩

shows ⟨antilinear (λx. f x - g x)⟩

apply (rule antilinearI)

apply (metis add-diff-add additive.add antilinear-def assms(1,2))

by (simp add: antilinear.scaleC assms(1,2) scaleC-right.diff)

### 7.3 Misc 2

**lemma** summable-on-scaleC-left [intro]:

fixes c :: ⟨'a :: complex-normed-vector⟩

assumes  $c \neq 0 \implies f$  summable-on A

shows (λx. f x \*<sub>C</sub> c) summable-on A

apply (cases ⟨c ≠ 0⟩)

apply (subst asm-rl[of ⟨(λx. f x \*<sub>C</sub> c) = (λy. y \*<sub>C</sub> c) o f⟩], simp add: o-def)

apply (rule summable-on-comm-additive)

```

using assms by (auto simp add: scaleC-left.additive-axioms)

lemma summable-on-scaleC-right [intro]:
  fixes f :: ⟨'a ⇒ 'b :: complex-normed-vector⟩
  assumes c ≠ 0 ⇒ f summable-on A
  shows (λx. c *C f x) summable-on A
  apply (cases ⟨c ≠ 0⟩)
  apply (subst asm-rl[of ⟨(λx. c *C f x) = (λy. c *C y) o f⟩], simp add: o-def)
  apply (rule summable-on-comm-additive)
  using assms by (auto simp add: scaleC-right.additive-axioms)

lemma infsum-scaleC-left:
  fixes c :: ⟨'a :: complex-normed-vector⟩
  assumes c ≠ 0 ⇒ f summable-on A
  shows infsum (λx. f x *C c) A = infsum f A *C c
  apply (cases ⟨c ≠ 0⟩)
  apply (subst asm-rl[of ⟨(λx. f x *C c) = (λy. y *C c) o f⟩], simp add: o-def)
  apply (rule infsum-comm-additive)
  using assms by (auto simp add: scaleC-left.additive-axioms)

lemma infsum-scaleC-right:
  fixes f :: ⟨'a ⇒ 'b :: complex-normed-vector⟩
  shows infsum (λx. c *C f x) A = c *C infsum f A
proof -
  consider (summable) ⟨f summable-on A⟩ | (c0) ⟨c = 0⟩ | (not-summable) ⟨¬ f
summable-on A⟩ ⟨c ≠ 0⟩
  by auto
  then show ?thesis
proof cases
  case summable
  then show ?thesis
  apply (subst asm-rl[of ⟨(λx. c *C f x) = (λy. c *C y) o f⟩], simp add: o-def)
  apply (rule infsum-comm-additive)
  using summable by (auto simp add: scaleC-right.additive-axioms)
next
  case c0
  then show ?thesis by auto
next
  case not-summable
  have ⟨¬ (λx. c *C f x) summable-on A⟩
  proof (rule notI)
    assume ⟨(λx. c *C f x) summable-on A⟩
    then have ⟨(λx. inverse c *C c *C f x) summable-on A⟩
      using summable-on-scaleC-right by blast
    then have ⟨f summable-on A⟩
      using not-summable by auto
    with not-summable show False
    by simp
  qed
qed

```

```

    then show ?thesis
    by (simp add: infsum-not-exists not-summable(1))
qed
qed

```

```

lemma has-sum-scaleC-right:
  fixes f :: ⟨'a ⇒ 'b :: complex-normed-vector⟩
  assumes ⟨f has-sum s⟩ A
  shows ⟨(λx. c *C f x) has-sum c *C s⟩ A
  apply (rule has-sum-bounded-clinear[where h=⟨(*C) c⟩])
  using bounded-clinear-scaleC-right assms by auto

```

```

lemmas sums-of-complex = bounded-linear.sums [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]
lemmas summable-of-complex = bounded-linear.summable [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]
lemmas suminf-of-complex = bounded-linear.suminf [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]

```

```

lemmas sums-scaleC-left = bounded-linear.sums[OF bounded-clinear-scaleC-left[THEN
bounded-clinear.bounded-linear]]
lemmas summable-scaleC-left = bounded-linear.summable[OF bounded-clinear-scaleC-left[THEN
bounded-clinear.bounded-linear]]
lemmas suminf-scaleC-left = bounded-linear.suminf[OF bounded-clinear-scaleC-left[THEN
bounded-clinear.bounded-linear]]

```

```

lemmas sums-scaleC-right = bounded-linear.sums[OF bounded-clinear-scaleC-right[THEN
bounded-clinear.bounded-linear]]
lemmas summable-scaleC-right = bounded-linear.summable[OF bounded-clinear-scaleC-right[THEN
bounded-clinear.bounded-linear]]
lemmas suminf-scaleC-right = bounded-linear.suminf[OF bounded-clinear-scaleC-right[THEN
bounded-clinear.bounded-linear]]

```

```

lemma closed-scaleC:
  fixes S::⟨'a::complex-normed-vector set⟩ and a :: complex
  assumes ⟨closed S⟩
  shows ⟨closed ((*C) a ‘ S)⟩
proof (cases ⟨a = 0⟩)
  case True
  then show ?thesis
    apply (cases ⟨S = {}⟩)
    by (auto simp: image-constant)
next
  case False
  then have ⟨(*C) a ‘ S = (*C) (inverse a) – ‘ S⟩
    by (auto simp add: rev-image-eqI)
  moreover have ⟨closed ((*C) (inverse a) – ‘ S)⟩
    by (simp add: assms continuous-closed-vimage)

```

```

ultimately show ?thesis
  by simp
qed

lemma closure-scaleC:
  fixes S::'a::complex-normed-vector set
  shows ⟨closure ((*_C) a ' S) = (*_C) a ' closure S⟩
proof
  have ⟨closed (closure S)⟩
    by simp
  show closure ((*_C) a ' S) ⊆ (*_C) a ' closure S
    by (simp add: closed-scaleC closure-minimal closure-subset image-mono)

  have x ∈ closure ((*_C) a ' S)
    if x ∈ (*_C) a ' closure S
    for x :: 'a
  proof-
    obtain t where ⟨x = ((*_C) a) t⟩ and ⟨t ∈ closure S⟩
      using ⟨x ∈ (*_C) a ' closure S⟩ by auto
    have ⟨∃ s. (∀ n. s n ∈ S) ∧ s ⟶ t⟩
      using ⟨t ∈ closure S⟩ Elementary-Topology.closure-sequential
      by blast
    then obtain s where ⟨∀ n. s n ∈ S⟩ and ⟨s ⟶ t⟩
      by blast
    have ⟨(∀ n. scaleC a (s n) ∈ ((*_C) a ' S))⟩
      using ⟨∀ n. s n ∈ S⟩ by blast
    moreover have ⟨(λ n. scaleC a (s n)) ⟶ x⟩
    proof-
      have ⟨isCont (scaleC a) t⟩
        by simp
      thus ?thesis
        using ⟨s ⟶ t⟩ ⟨x = ((*_C) a) t⟩
        by (simp add: isCont-tendsto-compose)
    qed
  qed
  ultimately show ?thesis using Elementary-Topology.closure-sequential
    by metis
qed

thus (*_C) a ' closure S ⊆ closure ((*_C) a ' S) by blast
qed

```

```

lemma onorm-scalarC:
  fixes f :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector
  assumes a1: ⟨bounded-clinear f⟩
  shows ⟨onorm (λ x. r *_C (f x)) = (cmod r) * onorm f⟩
proof-
  have ⟨(norm (f x)) / norm x ≤ onorm f⟩
    for x
    using a1
    by (simp add: bounded-clinear.bounded-linear le-onorm)

```

```

hence t2:  $\langle \text{bdd-above } \{( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} \rangle$ 
  by fastforce
have  $\langle \text{continuous-on UNIV } ( (*) w ) \rangle$ 
  for w::real
  by simp
hence  $\langle \text{isCont } ( ( (*) ( \text{cmod } r ) ) ) x \rangle$ 
  for x
  by simp
hence t3:  $\langle \text{continuous } ( \text{at-left } ( \text{Sup } \{ ( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} ) ) ( (*) ( \text{cmod } r ) ) \rangle$ 
  using Elementary-Topology.continuous-at-imp-continuous-within
  by blast
have  $\langle \{ ( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} \neq \{ \} \rangle$ 
  by blast
moreover have  $\langle \text{mono } ( (*) ( \text{cmod } r ) ) \rangle$ 
  by (simp add: monoI ordered-comm-semiring-class.comm-mult-left-mono)
ultimately have  $\langle \text{Sup } \{ ( (*) ( \text{cmod } r ) ) ( ( \text{norm } (f x)) / \text{norm } x ) \mid x. \text{ True} \}$ 
   $= ( (*) ( \text{cmod } r ) ) ( \text{Sup } \{ ( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} ) \rangle$ 
  using t2 t3
  by (simp add: continuous-at-Sup-mono full-SetCompr-eq image-image)
hence  $\langle \text{Sup } \{ ( \text{cmod } r ) * ( ( \text{norm } (f x)) / \text{norm } x ) \mid x. \text{ True} \}$ 
   $= ( \text{cmod } r ) * ( \text{Sup } \{ ( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} ) \rangle$ 
  by blast
moreover have  $\langle \text{Sup } \{ ( \text{cmod } r ) * ( ( \text{norm } (f x)) / \text{norm } x ) \mid x. \text{ True} \}$ 
   $= ( \text{SUP } x. \text{cmod } r * \text{norm } (f x) / \text{norm } x ) \rangle$ 
  by (simp add: full-SetCompr-eq)
moreover have  $\langle ( \text{Sup } \{ ( \text{norm } (f x)) / \text{norm } x \mid x. \text{ True} \} )$ 
   $= ( \text{SUP } x. \text{norm } (f x) / \text{norm } x ) \rangle$ 
  by (simp add: full-SetCompr-eq)
ultimately have t1:  $( \text{SUP } x. \text{cmod } r * \text{norm } (f x) / \text{norm } x )$ 
   $= \text{cmod } r * ( \text{SUP } x. \text{norm } (f x) / \text{norm } x )$ 
  by simp
have  $\langle \text{onorm } ( \lambda x. r *_C (f x) ) = ( \text{SUP } x. \text{norm } ( \lambda t. r *_C (f t) ) x ) / \text{norm } x \rangle$ 
  by (simp add: onorm-def)
hence  $\langle \text{onorm } ( \lambda x. r *_C (f x) ) = ( \text{SUP } x. ( \text{cmod } r ) * ( \text{norm } (f x) ) / \text{norm } x ) \rangle$ 
  by simp
also have  $\langle \dots = ( \text{cmod } r ) * ( \text{SUP } x. ( \text{norm } (f x) ) / \text{norm } x ) \rangle$ 
  using t1.
finally show ?thesis
  by (simp add: onorm-def)
qed

```

```

lemma onorm-scaleC-left-lemma:
  fixes f :: 'a::complex-normed-vector
  assumes r: bounded-clinear r
  shows  $\text{onorm } ( \lambda x. r x *_C f ) \leq \text{onorm } r * \text{norm } f$ 
proof (rule onorm-bound)
  fix x
  have  $\text{norm } ( r x *_C f ) = \text{norm } ( r x ) * \text{norm } f$ 

```

by simp  
 also have ...  $\leq$  onorm  $r * \text{norm } x * \text{norm } f$   
 by (simp add: bounded-clinear.bounded-linear mult.commute mult-left-mono onorm r)  
 finally show  $\text{norm } (r x *_C f) \leq \text{onorm } r * \text{norm } f * \text{norm } x$   
 by (simp add: ac-simps)  
 show  $0 \leq \text{onorm } r * \text{norm } f$   
 by (simp add: bounded-clinear.bounded-linear onorm-pos-le r)  
 qed

lemma onorm-scaleC-left:

fixes  $f :: 'a::\text{complex-normed-vector}$   
 assumes  $f$ : bounded-clinear  $r$   
 shows  $\text{onorm } (\lambda x. r x *_C f) = \text{onorm } r * \text{norm } f$   
 proof (cases  $f = 0$ )  
 assume  $f \neq 0$   
 show ?thesis  
 proof (rule order-antisym)  
 show  $\text{onorm } (\lambda x. r x *_C f) \leq \text{onorm } r * \text{norm } f$   
 using  $f$  by (rule onorm-scaleC-left-lemma)  
 next  
 have  $bl1$ : bounded-clinear  $(\lambda x. r x *_C f)$   
 by (metis bounded-clinear-scaleC-const  $f$ )  
 have  $x1$ : bounded-clinear  $(\lambda x. r x * \text{norm } f)$   
 by (metis bounded-clinear-mult-const  $f$ )  
  
 have  $\text{onorm } r \leq \text{onorm } (\lambda x. r x * \text{complex-of-real } (\text{norm } f)) / \text{norm } f$   
 if  $\text{onorm } r \leq \text{onorm } (\lambda x. r x * \text{complex-of-real } (\text{norm } f)) * \text{cmod } (1 / \text{complex-of-real } (\text{norm } f))$   
 and  $f \neq 0$   
 using that  
 by (smt (verit) divide-inverse mult-1 norm-divide norm-ge-zero norm-of-real of-real-1 of-real-eq-iff of-real-mult)  
 hence  $\text{onorm } r \leq \text{onorm } (\lambda x. r x * \text{norm } f) * \text{inverse } (\text{norm } f)$   
 using  $\langle f \neq 0 \rangle$  onorm-scaleC-left-lemma[OF  $x1$ , of  $\text{inverse } (\text{norm } f)$ ]  
 by (simp add: inverse-eq-divide)  
 also have  $\text{onorm } (\lambda x. r x * \text{norm } f) \leq \text{onorm } (\lambda x. r x *_C f)$   
 proof (rule onorm-bound)  
 have bounded-linear  $(\lambda x. r x *_C f)$   
 using  $bl1$  bounded-clinear.bounded-linear by auto  
 thus  $0 \leq \text{onorm } (\lambda x. r x *_C f)$   
 by (rule Operator-Norm.onorm-pos-le)  
 show  $\text{cmod } (r x * \text{complex-of-real } (\text{norm } f)) \leq \text{onorm } (\lambda x. r x *_C f) * \text{norm } x$   
 for  $x :: 'b$   
 by (smt (verit)  $\langle$ bounded-linear  $(\lambda x. r x *_C f)\rangle$  norm-ge-zero norm-mult norm-of-real norm-scaleC onorm)  
 qed  
 finally show  $\text{onorm } r * \text{norm } f \leq \text{onorm } (\lambda x. r x *_C f)$

```

    using ⟨f ≠ 0⟩
    by (simp add: inverse-eq-divide pos-le-divide-eq mult.commute)
  qed
qed (simp add: onorm-zero)

lemma compact-scaleC:
  fixes s :: 'a::complex-normed-vector set
  assumes compact s
  shows compact (scaleC c ' s)
  by (auto intro!: compact-continuous-image assms continuous-at-imp-continuous-on)

```

## 7.4 Finite dimension and canonical basis

```

lemma vector-finitely-spanned:
  assumes ⟨z ∈ cspan T⟩
  shows ⟨∃ S. finite S ∧ S ⊆ T ∧ z ∈ cspan S⟩
proof-
  have ⟨∃ S r. finite S ∧ S ⊆ T ∧ z = (∑ a∈S. r a *C a)⟩
    using complex-vector.span-explicit[where b = T]
    assms by auto
  then obtain S r where ⟨finite S⟩ and ⟨S ⊆ T⟩ and ⟨z = (∑ a∈S. r a *C a)⟩
    by blast
  thus ?thesis
    by (meson complex-vector.span-scale complex-vector.span-sum complex-vector.span-superset
subset-iff)
qed

```

```

setup ⟨Sign.add-const-constraint (Complex-Vector-Spaces0.cindependent, SOME
typ ⟨'a set ⇒ bool⟩)⟩
setup ⟨Sign.add-const-constraint (const-name ⟨cdependent⟩, SOME typ ⟨'a set
⇒ bool⟩)⟩
setup ⟨Sign.add-const-constraint (const-name ⟨cspan⟩, SOME typ ⟨'a set ⇒ 'a
set⟩)⟩

```

```

class cfinite-dim = complex-vector +
  assumes cfinitely-spanned: ∃ S::'a set. finite S ∧ cspan S = UNIV

```

```

class basis-enum = complex-vector +
  fixes canonical-basis :: ⟨'a list⟩
  and canonical-basis-length :: ⟨'a itself ⇒ nat⟩
  assumes distinct-canonical-basis[simp]:
    distinct canonical-basis
  and is-cindependent-set[simp]:
    cindependent (set canonical-basis)
  and is-generator-set[simp]:
    cspan (set canonical-basis) = UNIV
  and canonical-basis-length:
    ⟨canonical-basis-length TYPE('a) = length canonical-basis⟩

```

```

setup ⟨Sign.add-const-constraint (Complex-Vector-Spaces0.cindependent, SOME
  typ ⟨'a::complex-vector set ⇒ bool⟩)⟩
setup ⟨Sign.add-const-constraint (const-name ⟨cdependent⟩, SOME typ ⟨'a::complex-vector
  set ⇒ bool⟩)⟩
setup ⟨Sign.add-const-constraint (const-name ⟨cspan⟩, SOME typ ⟨'a::complex-vector
  set ⇒ 'a set⟩)⟩

```

```

instantiation complex :: basis-enum begin
definition canonical-basis = [1::complex]
definition ⟨canonical-basis-length (-::complex itself) = 1⟩
instance
proof
  show distinct (canonical-basis::complex list)
    by (simp add: canonical-basis-complex-def)
  show cindependent (set (canonical-basis::complex list))
    unfolding canonical-basis-complex-def
    by auto
  show cspan (set (canonical-basis::complex list)) = UNIV
    unfolding canonical-basis-complex-def
    apply (auto simp add: cspan-raw-def vector-space-over-itself.span-Basis)
    by (metis complex-scaleC-def complex-vector.span-base complex-vector.span-scale
cspan-raw-def insertI1 mult.right-neutral)
  show ⟨canonical-basis-length TYPE(complex) = length (canonical-basis :: complex
list)⟩
    by (simp add: canonical-basis-length-complex-def canonical-basis-complex-def)
qed
end

```

```

lemma cdim-UNIV-basis-enum[simp]: ⟨cdim (UNIV::'a::basis-enum set) = length
(canonical-basis::'a list)⟩
apply (subst is-generator-set[symmetric])
apply (subst complex-vector.dim-span-eq-card-independent)
apply (rule is-cindependent-set)
using distinct-canonical-basis distinct-card by blast

```

```

lemma finite-basis: ∃ basis::'a::cfinite-dim set. finite basis ∧ cindependent basis ∧
cspan basis = UNIV
proof –
  from cfinitely-spanned
  obtain S :: ⟨'a set⟩ where ⟨finite S⟩ and ⟨cspan S = UNIV⟩
    by auto
  from complex-vector.maximal-independent-subset
  obtain B :: ⟨'a set⟩ where ⟨B ⊆ S⟩ and ⟨cindependent B⟩ and ⟨S ⊆ cspan B⟩
    by metis
  moreover have ⟨finite B⟩
    using ⟨B ⊆ S⟩ ⟨finite S⟩
    by (meson finite-subset)
  moreover have ⟨cspan B = UNIV⟩

```

```

    using ⟨cspan S = UNIV⟩ ⟨S ⊆ cspan B⟩
    by (metis complex-vector.span-eq top-greatest)
    ultimately show ?thesis
    by auto
qed

```

```

instance basis-enum ⊆ cfinite-dim
  apply intro-classes
  apply (rule exI[of - ⟨set canonical-basis⟩])
  using is-cindependent-set is-generator-set by auto

```

```

lemma cindependent-cfinite-dim-finite:
  assumes ⟨cindependent (S::'a::cfinite-dim set)⟩
  shows ⟨finite S⟩
  by (metis asms cfinately-spanned complex-vector.independent-span-bound top-greatest)

```

```

lemma cfinite-dim-finite-subspace-basis:
  assumes ⟨csubspace X⟩
  shows ∃ basis::'a::cfinite-dim set. finite basis ∧ cindependent basis ∧ cspan basis = X
  by (meson asms cindependent-cfinite-dim-finite complex-vector.basis-exists complex-vector.span-subspace)

```

The following auxiliary lemma (*finite-span-complete-aux*) shows more or less the same as *finite-span-representation-bounded*, *finite-span-complete* below (see there for an intuition about the mathematical content of the lemmas). However, there is one difference: Here we additionally assume here that there is a bijection rep/abs between a finite type *'basis* and the set *B*. This is needed to be able to use results about euclidean spaces that are formulated w.r.t. the type class *finite*

Since we anyway assume that *B* is finite, this added assumption does not make the lemma weaker. However, we cannot derive the existence of *'basis* inside the proof (HOL does not support such reasoning). Therefore we have the type *'basis* as an explicit assumption and remove it using *internalize-sort* after the proof.

```

lemma finite-span-complete-aux:
  fixes b :: 'b::real-normed-vector and B :: 'b set
  and rep :: 'basis::finite ⇒ 'b and abs :: 'b ⇒ 'basis
  assumes t: type-definition rep abs B
  and t1: finite B and t2: b∈B and t3: independent B
  shows ∃ D>0. ∀ ψ. norm (representation B ψ b) ≤ norm ψ * D
  and complete (span B)
proof -
  define repr where repr = real-vector.representation B
  define repr' where repr' ψ = Abs-euclidean-space (repr ψ o rep) for ψ
  define comb where comb l = (∑ b∈B. l b *R b) for l
  define comb' where comb' l = comb (Rep-euclidean-space l o abs) for l

```

```

have comb-cong: comb x = comb y if  $\bigwedge z. z \in B \implies x z = y z$  for x y
  unfolding comb-def using that by auto
have comb-repr[simp]: comb (repr  $\psi$ ) =  $\psi$  if  $\psi \in \text{real-vector.span } B$  for  $\psi$ 
  using  $\langle \text{comb} \equiv \lambda l. \sum_{b \in B. l b *_{\mathbb{R}} b} \rangle$  local.repr-def real-vector.sum-representation-eq
t1 t3 that
  by fastforce

have w5:  $(\sum b \mid (b \in B \longrightarrow x b \neq 0) \wedge b \in B. x b *_{\mathbb{R}} b) =$ 
   $(\sum_{b \in B. x b *_{\mathbb{R}} b})$  for x
  using  $\langle \text{finite } B \rangle$ 
  by (smt DiffD1 DiffD2 mem-Collect-eq real-vector.scale-eq-0-iff subset-eq sum.mono-neutral-left)
have representation B  $(\sum_{b \in B. x b *_{\mathbb{R}} b) = (\lambda b. \text{if } b \in B \text{ then } x b \text{ else } 0)$ 
  for x
proof (rule real-vector.representation-eqI)
  show independent B
    by (simp add: t3)
  show  $(\sum_{b \in B. x b *_{\mathbb{R}} b) \in \text{span } B$ 
    by (meson real-vector.span-scale real-vector.span-sum real-vector.span-superset
subset-iff)
  show  $b \in B$ 
    if (if  $b \in B$  then  $x b$  else 0)  $\neq 0$ 
    for b :: 'b
    using that
    by meson
  show finite  $\{b. (\text{if } b \in B \text{ then } x b \text{ else } 0) \neq 0\}$ 
    using t1 by auto
  show  $(\sum b \mid (\text{if } b \in B \text{ then } x b \text{ else } 0) \neq 0. (\text{if } b \in B \text{ then } x b \text{ else } 0) *_{\mathbb{R}} b) =$ 
 $(\sum_{b \in B. x b *_{\mathbb{R}} b})$ 
    using w5
    by simp
qed
hence repr-comb[simp]: repr (comb x) =  $(\lambda b. \text{if } b \in B \text{ then } x b \text{ else } 0)$  for x
  unfolding repr-def comb-def.
have repr-bad[simp]: repr  $\psi = (\lambda -. 0)$  if  $\psi \notin \text{real-vector.span } B$  for  $\psi$ 
  unfolding repr-def using that
  by (simp add: real-vector.representation-def)
have [simp]: repr'  $\psi = 0$  if  $\psi \notin \text{real-vector.span } B$  for  $\psi$ 
  unfolding repr'-def repr-bad[OF that]
  apply transfer
  by auto
have comb'-repr'[simp]: comb' (repr'  $\psi$ ) =  $\psi$ 
  if  $\psi \in \text{real-vector.span } B$  for  $\psi$ 
proof -
  have x1: (repr  $\psi \circ \text{rep} \circ \text{abs}$ ) z = repr  $\psi$  z
    if  $z \in B$ 
    for z
    unfolding o-def
    using t that type-definition.Abs-inverse by fastforce
  have comb' (repr'  $\psi$ ) = comb ((repr  $\psi \circ \text{rep}$ )  $\circ \text{abs}$ )

```

**unfolding** *comb'-def repr'-def*  
**by** (*subst Abs-euclidean-space-inverse; simp*)  
**also have**  $\dots = \text{comb } (\text{repr } \psi)$   
**using** *x1 comb-cong* **by** *blast*  
**also have**  $\dots = \psi$   
**using** *that* **by** *simp*  
**finally show** *?thesis* **by**  $-$   
**qed**

**have**  $t1: \text{Abs-euclidean-space } (\text{Rep-euclidean-space } t) = t$   
**if**  $\bigwedge x. \text{rep } x \in B$   
**for**  $t :: 'a \text{ euclidean-space}$   
**apply** (*subst Rep-euclidean-space-inverse*)  
**by** *simp*  
**have** *Abs-euclidean-space*  
 $(\lambda y. \text{if } \text{rep } y \in B$   
 $\quad \text{then } \text{Rep-euclidean-space } x \ y$   
 $\quad \text{else } 0) = x$   
**for**  $x$   
**using** *type-definition.Rep[OF t]* **apply** *simp*  
**using** *t1* **by** *blast*  
**hence** *Abs-euclidean-space*  
 $(\lambda y. \text{if } \text{rep } y \in B$   
 $\quad \text{then } \text{Rep-euclidean-space } x \ (\text{abs } (\text{rep } y))$   
 $\quad \text{else } 0) = x$   
**for**  $x$   
**apply** (*subst type-definition.Rep-inverse[OF t]*)  
**by** *simp*  
**hence**  $\text{repr}'\text{-comb}'[\text{simp}]: \text{repr}' (\text{comb}' x) = x$  **for**  $x$   
**unfolding** *comb'-def repr'-def o-def*  
**by** *simp*  
**have** *sphere: compact (sphere 0 d :: 'basis euclidean-space set)* **for**  $d$   
**using** *compact-sphere* **by** *blast*  
**have** *complete (UNIV :: 'basis euclidean-space set)*  
**by** (*simp add: complete-UNIV*)

**have**  $(\sum b \in B. (\text{Rep-euclidean-space } (x + y) \circ \text{abs}) \ b *_R b) = (\sum b \in B. (\text{Rep-euclidean-space } x \circ \text{abs}) \ b *_R b) + (\sum b \in B. (\text{Rep-euclidean-space } y \circ \text{abs}) \ b *_R b)$   
**for**  $x :: 'basis \text{ euclidean-space}$   
**and**  $y :: 'basis \text{ euclidean-space}$   
**apply** (*transfer fixing: abs*)  
**by** (*simp add: scaleR-add-left sum.distrib*)  
**moreover have**  $(\sum b \in B. (\text{Rep-euclidean-space } (c *_R x) \circ \text{abs}) \ b *_R b) = c *_R (\sum b \in B. (\text{Rep-euclidean-space } x \circ \text{abs}) \ b *_R b)$   
**for**  $c :: \text{real}$   
**and**  $x :: 'basis \text{ euclidean-space}$   
**apply** (*transfer fixing: abs*)  
**by** (*simp add: real-vector.scale-sum-right*)

ultimately have  $\text{blin-comb}'$ : bounded-linear  $\text{comb}'$   
 unfolding  $\text{comb-def comb}'\text{-def}$   
 by (rule bounded-linearI')  
 hence continuous-on  $X \text{ comb}'$  for  $X$   
 by (simp add: linear-continuous-on)  
 hence compact ( $\text{comb}' \text{ sphere } 0 \ d$ ) for  $d$   
 using sphere  
 by (rule compact-continuous-image)  
 hence compact-norm- $\text{comb}'$ : compact ( $\text{norm } \text{comb}' \text{ sphere } 0 \ 1$ )  
 using compact-continuous-image continuous-on-norm-id by blast  
 have not0:  $0 \notin \text{norm } \text{comb}' \text{ sphere } 0 \ 1$   
 proof (rule ccontr, simp)  
 assume  $0 \in \text{norm } \text{comb}' \text{ sphere } 0 \ 1$   
 then obtain  $x$  where nc0:  $\text{norm } (\text{comb}' \ x) = 0$  and  $x: x \in \text{sphere } 0 \ 1$   
 by auto  
 hence  $\text{comb}' \ x = 0$   
 by simp  
 hence  $\text{repr}' (\text{comb}' \ x) = 0$   
 unfolding  $\text{repr}'\text{-def o-def repr-def}$  apply simp  
 by (smt  $\text{repr}'\text{-comb}' \text{ blin-comb}' \text{ dist-0-norm linear-simps}(3) \text{ mem-sphere norm-zero } x$ )  
 hence  $x = 0$   
 by auto  
 with  $x$  show False  
 by simp  
 qed  
  
 have closed ( $\text{norm } \text{comb}' \text{ sphere } 0 \ 1$ )  
 using compact-imp-closed compact-norm- $\text{comb}'$  by blast  
 moreover have  $0 \notin \text{norm } \text{comb}' \text{ sphere } 0 \ 1$   
 by (simp add: not0)  
 ultimately have  $\exists d > 0. \forall x \in \text{norm } \text{comb}' \text{ sphere } 0 \ 1. d \leq \text{dist } 0 \ x$   
 by (meson separate-point-closed)  
  
 then obtain  $d$  where  $d: x \in \text{norm } \text{comb}' \text{ sphere } 0 \ 1 \implies d \leq \text{dist } 0 \ x$   
 and  $d > 0$  for  $x$   
 by metis  
 define  $D$  where  $D = 1/d$   
 hence  $D > 0$   
 using  $\langle d > 0 \rangle$  unfolding  $D\text{-def}$  by auto  
 have  $x \geq d$   
 if  $x \in \text{norm } \text{comb}' \text{ sphere } 0 \ 1$   
 for  $x$   
 using  $d$  that  
 apply auto  
 by fastforce  
 hence  $*$ :  $\text{norm } (\text{comb}' \ x) \geq d$  if  $\text{norm } x = 1$  for  $x$   
 using that by auto  
 have norm- $\text{comb}'$ :  $\text{norm } (\text{comb}' \ x) \geq d * \text{norm } x$  for  $x$

```

proof (cases x=0)
  show  $d * \text{norm } x \leq \text{norm } (\text{comb}' x)$ 
    if  $x = 0$ 
      using that
      by simp
  show  $d * \text{norm } x \leq \text{norm } (\text{comb}' x)$ 
    if  $x \neq 0$ 
      using that
      using  $*[\text{of } (1/\text{norm } x) *_R x]$ 
      unfolding linear-simps(5)[OF blin-comb]
      apply auto
      by (simp add: le-divide-eq)
qed

have *:  $\text{norm } (\text{repr}' \psi) \leq \text{norm } \psi * D$  for  $\psi$ 
proof (cases  $\psi \in \text{real-vector.span } B$ )
  show  $\text{norm } (\text{repr}' \psi) \leq \text{norm } \psi * D$ 
    if  $\psi \in \text{span } B$ 
      using that unfolding D-def
      using  $\text{norm-comb}'[\text{of repr}' \psi] \langle d > 0 \rangle$ 
      by (simp-all add: linordered-field-class.mult-imp-le-div-pos mult.commute)

  show  $\text{norm } (\text{repr}' \psi) \leq \text{norm } \psi * D$ 
    if  $\psi \notin \text{span } B$ 
      using that  $\langle 0 < D \rangle$  by auto
qed

hence  $\text{norm } (\text{Rep-euclidean-space } (\text{repr}' \psi) (\text{abs } b)) \leq \text{norm } \psi * D$  for  $\psi$ 
proof –
  have  $(\text{Rep-euclidean-space } (\text{repr}' \psi) (\text{abs } b)) = \text{repr}' \psi \cdot \text{euclidean-space-basis-vector}$ 
     $(\text{abs } b)$ 
    apply (transfer fixing: abs b)
    by auto
  also have  $|\dots| \leq \text{norm } (\text{repr}' \psi)$ 
    apply (rule Basis-le-norm)
    unfolding Basis-euclidean-space-def by simp
  also have  $\dots \leq \text{norm } \psi * D$ 
    using * by auto
  finally show ?thesis by simp
qed

hence  $\text{norm } (\text{repr } \psi b) \leq \text{norm } \psi * D$  for  $\psi$ 
  unfolding repr'-def
  by (smt  $\langle \text{comb}' \equiv \lambda l. \text{comb } (\text{Rep-euclidean-space } l \circ \text{abs}) \rangle$ 
     $\langle \text{repr}' \equiv \lambda \psi. \text{Abs-euclidean-space } (\text{repr } \psi \circ \text{rep}) \rangle$  comb'-repr' comp-apply
    norm-le-zero-iff
    repr-bad repr-comb)
  thus  $\exists D > 0. \forall \psi. \text{norm } (\text{repr } \psi b) \leq \text{norm } \psi * D$ 
    using  $\langle D > 0 \rangle$  by auto
  from  $\langle d > 0 \rangle$ 

```

```

have complete-comb': complete (comb' ' UNIV)
proof (rule complete-isometric-image)
  show subspace (UNIV::'basis euclidean-space set)
    by simp
  show bounded-linear comb'
    by (simp add: blin-comb')
  show  $\forall x \in \text{UNIV}. d * \text{norm } x \leq \text{norm } (\text{comb}' x)$ 
    by (simp add: norm-comb')
  show complete (UNIV::'basis euclidean-space set)
    by (simp add: ⟨complete UNIV⟩)
qed

have range-comb': comb' ' UNIV = real-vector.span B
proof (auto simp: image-def)
  show comb' x ∈ real-vector.span B for x
    by (metis comb'-def comb-cong comb-repr local.repr-def repr-bad repr-comb
real-vector.representation-zero real-vector.span-zero)
next
  fix  $\psi$  assume  $\psi \in \text{real-vector.span } B$ 
  then obtain f where f: comb f =  $\psi$ 
  apply atomize-elim
  unfolding span-finite[OF ⟨finite B⟩] comb-def
  by auto
  define f' where f' b = (if b ∈ B then f b else 0) for b :: 'b
  have f': comb f' =  $\psi$ 
  unfolding f[symmetric]
  apply (rule comb-cong)
  unfolding f'-def by simp
  define x :: 'basis euclidean-space where x = Abs-euclidean-space (f' o rep)
  have  $\psi = \text{comb}' x$ 
  by (metis (no-types, lifting) ⟨ $\psi \in \text{span } B$ ⟩ ⟨repr'  $\equiv \lambda \psi. \text{Abs-euclidean-space} (\text{repr } \psi \circ \text{rep})$ ⟩
comb'-repr' f' fun.map-cong repr-comb t type-definition.Rep-range x-def)
  thus  $\exists x. \psi = \text{comb}' x$ 
  by auto
qed

from range-comb' complete-comb'
show complete (real-vector.span B)
  by simp
qed

lemma finite-span-complete[simp]:
  fixes A :: 'a::real-normed-vector set
  assumes finite A
  shows complete (span A)

The span of a finite set is complete.

proof (cases A ≠ {} ∧ A ≠ {0})

```

```

case True
obtain B where
  BT: real-vector.span B = real-vector.span A
  and independent B
  and finite B
  by (meson True assms finite-subset real-vector.maximal-independent-subset
real-vector.span-eq
      real-vector.span-superset subset-trans)

have B ≠ {}
apply (rule ccontr, simp)
using BT True
by (metis real-vector.span-superset real-vector.span-empty subset-singletonD)

{

  assume  $\exists (Rep :: 'basisT \Rightarrow 'a) Abs. type-definition Rep Abs B$ 
  then obtain rep :: 'basisT  $\Rightarrow$  'a and abs :: 'a  $\Rightarrow$  'basisT where t: type-definition
rep abs B
  by auto
  have basisT-finite: class.finite TYPE('basisT)
  apply intro-classes
  using  $\langle finite\ B \rangle\ t$ 
  by (metis (mono-tags, opaque-lifting) ex-new-if-finite finite-imageI image-eqI
type-definition-def)
  note finite-span-complete-aux(2)[internalize-sort 'basis::finite]
  note this[OF basisT-finite t]
}
note this[cancel-type-definition, OF  $\langle B \neq \{\} \rangle \langle finite\ B \rangle - \langle independent\ B \rangle$ ]
hence complete (real-vector.span B)
using  $\langle B \neq \{\} \rangle$  by auto
thus complete (real-vector.span A)
unfolding BT by simp
next
case False
thus ?thesis
using complete-singleton by auto
qed

```

**lemma** *finite-span-representation-bounded*:

```

fixes B :: 'a::real-normed-vector set
assumes finite B and independent B
shows  $\exists D > 0. \forall \psi\ b. abs\ (representation\ B\ \psi\ b) \leq norm\ \psi * D$ 

```

Assume  $B$  is a finite linear independent set of vectors (in a real normed vector space). Let  $\alpha_b^\psi$  be the coefficients of  $\psi$  expressed as a linear combination over  $B$ . Then  $\alpha$  is uniformly cblinfun (i.e.,  $|\alpha_b^\psi| \leq D \|\psi\|$  for some  $D$  independent of  $\psi, b$ ).

(This also holds when  $b$  is not in the span of  $B$  because of the way *real-vector.representation* is defined in this corner case.)

**proof** (cases  $B \neq \{\}$ )  
**case** *True*

```

define repr where repr = real-vector.representation B
{

  assume  $\exists (Rep :: 'basisT \Rightarrow 'a)$  Abs. type-definition Rep Abs B
  then obtain rep :: 'basisT  $\Rightarrow$  'a and abs :: 'a  $\Rightarrow$  'basisT where t: type-definition
  rep abs B
    by auto

  have basisT-finite: class.finite TYPE('basisT)
    apply intro-classes
    using  $\langle$ finite B $\rangle$  t
    by (metis (mono-tags, opaque-lifting) ex-new-if-finite finite-imageI image-eqI
  type-definition-def)

  note finite-span-complete-aux(1)[internalize-sort 'basis::finite]

  note this[OF basisT-finite t]
}

note this[cancel-type-definition, OF True  $\langle$ finite B $\rangle$  -  $\langle$ independent B $\rangle$ ]

hence d2: $\exists D. \forall \psi. D > 0 \wedge \text{norm}(\text{repr } \psi \ b) \leq \text{norm } \psi * D$  if  $\langle b \in B \rangle$  for  $b$ 
  by (simp add: repr-def that True)
have d1: ( $\bigwedge b. b \in B \implies$ 
   $\exists D. \forall \psi. 0 < D \wedge \text{norm}(\text{repr } \psi \ b) \leq \text{norm } \psi * D) \implies$ 
   $\exists D. \forall b \ \psi. b \in B \longrightarrow$ 
   $0 < D \ b \wedge \text{norm}(\text{repr } \psi \ b) \leq \text{norm } \psi * D \ b$ 
  apply (rule choice) by auto
then obtain D where D:  $D \ b > 0 \wedge \text{norm}(\text{repr } \psi \ b) \leq \text{norm } \psi * D \ b$  if  $b \in B$ 
for  $b \ \psi$ 
  apply atomize-elim
  using d2 by blast

hence Dpos:  $D \ b > 0$  and Dbound:  $\text{norm}(\text{repr } \psi \ b) \leq \text{norm } \psi * D \ b$ 
  if  $b \in B$  for  $b \ \psi$ 
  using that by auto
define Dall where Dall = Max (D`B)
have Dall > 0
  unfolding Dall-def using  $\langle$ finite B $\rangle$   $\langle B \neq \{\} \rangle$  Dpos
  by (metis (mono-tags, lifting) Max-in finite-imageI image-iff image-is-empty)
have Dall  $\geq D \ b$  if  $b \in B$  for  $b$ 
  unfolding Dall-def using  $\langle$ finite B $\rangle$  that by auto

```

```

with Dbound
have norm (repr  $\psi$   $b$ )  $\leq$  norm  $\psi$  *  $D$  if  $b \in B$  for  $b$   $\psi$ 
  using that
  by (smt mult-left-mono norm-not-less-zero)
moreover have norm (repr  $\psi$   $b$ )  $\leq$  norm  $\psi$  *  $D$  if  $b \notin B$  for  $b$   $\psi$ 
  unfolding repr-def using real-vector.representation-ne-zero True
  by (metis calculation empty-subsetI less-le-trans local.repr-def norm-ge-zero
norm-zero not-less
subsetI subset-antisym)
ultimately show  $\exists D > 0. \forall \psi b. \text{abs } (\text{repr } \psi \ b) \leq \text{norm } \psi * D$ 
  using  $\langle D > 0 \rangle$  real-norm-def by metis
next
  case False
  thus ?thesis
  unfolding repr-def using real-vector.representation-ne-zero[of B]
  using nice-ordered-field-class.linordered-field-no-ub by fastforce
qed

hide-fact finite-span-complete-aux

```

```

lemma finite-cspan-complete[simp]:
  fixes  $B :: 'a::\text{complex-normed-vector set}$ 
  assumes finite B
  shows complete (cspan B)
  by (simp add: assms cspan-as-span)

```

```

lemma finite-span-closed[simp]:
  fixes  $B :: 'a::\text{real-normed-vector set}$ 
  assumes finite B
  shows closed (real-vector.span B)
  by (simp add: assms complete-imp-closed)

```

```

lemma finite-cspan-closed[simp]:
  fixes  $S :: \langle 'a::\text{complex-normed-vector set} \rangle$ 
  assumes  $a1: \langle \text{finite } S \rangle$ 
  shows  $\langle \text{closed } (\text{cspan } S) \rangle$ 
  by (simp add: assms complete-imp-closed)

```

```

lemma closure-finite-cspan:
  fixes  $T :: \langle 'a::\text{complex-normed-vector set} \rangle$ 
  assumes  $\langle \text{finite } T \rangle$ 
  shows  $\langle \text{closure } (\text{cspan } T) = \text{cspan } T \rangle$ 
  by (simp add: assms)

```

```

lemma finite-cspan-crepresentation-bounded:
  fixes  $B :: 'a::\text{complex-normed-vector set}$ 

```

```

assumes a1: finite B and a2: cindependent B
shows  $\exists D > 0. \forall \psi \ b. \text{cmod}(\text{crepresentation } B \ \psi \ b) \leq \text{norm } \psi * D$ 
proof –
  define  $B'$  where  $B' = (B \cup \text{scaleC } i \ ' \ B)$ 
  have independent-B': independent B'
    using  $B'$ -def  $\langle \text{cindependent } B \rangle$ 
    by (simp add: real-independent-from-complex-independent a1)
  have finite B'
    unfolding  $B'$ -def using  $\langle \text{finite } B \rangle$  by simp
  obtain  $D'$  where  $D' > 0$  and  $D'$ : norm (real-vector.representation B' ψ b) ≤
norm ψ * D'
    for  $\psi \ b$ 
    apply atomize-elim
    using independent-B'  $\langle \text{finite } B' \rangle$ 
    by (simp add: finite-span-representation-bounded)

  define  $D$  where  $D = 2 * D'$ 
  from  $\langle D' > 0 \rangle$  have  $\langle D > 0 \rangle$ 
    unfolding  $D$ -def by simp
  have norm (crepresentation B ψ b) ≤ norm ψ * D for  $\psi \ b$ 
  proof (cases b ∈ B)
    case True
      have  $d3$ : norm i = 1
        by simp

      have norm (i *C complex-of-real (real-vector.representation B' ψ (i *C b)))
         $= \text{norm } i * \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b)))$ 
        using norm-scaleC by blast
      also have  $\dots = \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b)))$ 
        using  $d3$  by simp
      finally have  $d2$ : norm (i *C complex-of-real (real-vector.representation B' ψ (i *C b)))
         $= \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b))).$ 
      have norm (crepresentation B ψ b)
         $= \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ b))$ 
         $+ i *_{\text{C}} \text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b)))$ 
        by (simp add: B'-def True a1 a2 crepresentation-from-representation)
      also have  $\dots \leq \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ b))$ 
         $+ \text{norm}(i *_{\text{C}} \text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b)))$ 
        using norm-triangle-ineq by blast
      also have  $\dots = \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ b))$ 
         $+ \text{norm}(\text{complex-of-real}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b)))$ 
        using  $d2$  by simp
      also have  $\dots = \text{norm}(\text{real-vector.representation } B' \ \psi \ b)$ 
         $+ \text{norm}(\text{real-vector.representation } B' \ \psi \ (i *_{\text{C}} b))$ 
        by simp
      also have  $\dots \leq \text{norm } \psi * D' + \text{norm } \psi * D'$ 

```

```

    by (rule add-mono; rule D')
  also have ... ≤ norm ψ * D
    unfolding D-def by linarith
  finally show ?thesis
    by auto
next
case False
hence crepresentation B ψ b = 0
  using complex-vector.representation-ne-zero by blast
thus ?thesis
  by (smt ⟨0 < D⟩ norm-ge-zero norm-zero split-mult-pos-le)
qed
with ⟨D > 0⟩
show ?thesis
  by auto
qed

lemma bounded-clinear-finite-dim[simp]:
  fixes f :: ⟨'a::cfinite-dim, complex-normed-vector⟩ ⇒ 'b::complex-normed-vector⟩
  assumes ⟨clinear f⟩
  shows ⟨bounded-clinear f⟩
proof -
  include norm-syntax
  obtain basis :: ⟨'a set⟩ where b1: complex-vector.span basis = UNIV
    and b2: cindependent basis
    and b3: finite basis
    using finite-basis by auto
  have ∃ C>0. ∀ ψ b. cmod (crepresentation basis ψ b) ≤ ||ψ|| * C
    using finite-cspan-crepresentation-bounded[where B = basis] b2 b3 by blast
  then obtain C where s1: cmod (crepresentation basis ψ b) ≤ ||ψ|| * C
    and s2: C > 0
  for ψ b by blast
  define M where M = C * (∑ a∈basis. ||f a||)
  have ||f x|| ≤ ||x|| * M
    for x
  proof -
    define r where r b = crepresentation basis x b for b
    have x-span: x ∈ complex-vector.span basis
      by (simp add: b1)
    have f0: v ∈ basis
      if r v ≠ 0 for v
      using complex-vector.representation-ne-zero r-def that by auto
    have w: {a | a. r a ≠ 0} ⊆ basis
      using f0 by blast
    hence f1: finite {a | a. r a ≠ 0}
      using b3 rev-finite-subset by auto
    have f2: (∑ a | r a ≠ 0. r a *C a) = x
      unfolding r-def
      using b2 complex-vector.sum-nonzero-representation-eq x-span

```

```

      Collect-cong by fastforce
have g1: (∑ a∈basis. crepresentation basis x a *C a) = x
  by (simp add: b2 b3 complex-vector.sum-representation-eq x-span)
have f3: (∑ a∈basis. r a *C a) = x
  unfolding r-def
  by (simp add: g1)
hence f x = f (∑ a∈basis. r a *C a)
  by simp
also have ... = (∑ a∈basis. r a *C f a)
  by (smt (verit, ccfv-SIG) assms complex-vector.linear-scale complex-vector.linear-sum
sum.cong)
finally have f x = (∑ a∈basis. r a *C f a).
hence ‖f x‖ = ‖(∑ a∈basis. r a *C f a)‖
  by simp
also have ... ≤ (∑ a∈basis. ‖r a *C f a‖)
  by (simp add: sum-norm-le)
also have ... ≤ (∑ a∈basis. ‖r a‖ * ‖f a‖)
  by simp
also have ... ≤ (∑ a∈basis. ‖x‖ * C * ‖f a‖)
  using sum-mono s1 unfolding r-def
  by (simp add: sum-mono mult-right-mono)
also have ... ≤ ‖x‖ * C * (∑ a∈basis. ‖f a‖)
  using sum-distrib-left
  by (smt sum.cong)
also have ... = ‖x‖ * M
  unfolding M-def
  by linarith
finally show ?thesis .
qed
thus ?thesis
  using assms bounded-clinear-def bounded-clinear-axioms-def by blast
qed

```

**lemma** *summable-on-scaleR-left-converse*:

— This result has nothing to do with the bounded operator library but it uses *finite-span-closed* so it is proven here.

```

fixes f :: ⟨'b ⇒ real⟩
and c :: ⟨'a :: real-normed-vector⟩
assumes ⟨c ≠ 0⟩
assumes ⟨(λx. f x *R c) summable-on A⟩
shows ⟨f summable-on A⟩

```

**proof** —

```

define fromR toR T where ⟨fromR x = x *R c⟩ and ⟨toR = inv fromR⟩ and
⟨T = range fromR⟩ for x :: real
have ⟨additive fromR⟩
  by (simp add: fromR-def additive.intro scaleR-left-distrib)
have ⟨inj fromR⟩
  by (simp add: fromR-def ⟨c ≠ 0⟩ inj-def)
have toR-fromR: ⟨toR (fromR x) = x⟩ for x

```

```

    by (simp add: ⟨inj fromR⟩ toR-def)
  have fromR-toR: ⟨fromR (toR x) = x⟩ if ⟨x ∈ T⟩ for x
    by (metis T-def f-inv-into-f that toR-def)

  have 1: ⟨sum (toR ∘ (fromR ∘ f)) F = toR (sum (fromR ∘ f) F)⟩ if ⟨finite F⟩
for F
  by (simp add: o-def additive.sum[OF ⟨additive fromR⟩, symmetric] toR-fromR)
  have 2: ⟨sum (fromR ∘ f) F ∈ T⟩ if ⟨finite F⟩ for F
    by (simp add: o-def additive.sum[OF ⟨additive fromR⟩, symmetric] T-def)
  have 3: ⟨(toR ⟶ toR x) (at x within T)⟩ for x
  proof (cases ⟨x ∈ T⟩)
    case True
      have ⟨dist (toR y) (toR x) < e⟩ if ⟨y ∈ T⟩ ⟨e > 0⟩ ⟨dist y x < e * norm c⟩ for
e y
    proof -
      obtain x' y' where x: ⟨x = fromR x'⟩ and y: ⟨y = fromR y'⟩
        using T-def True ⟨y ∈ T⟩ by blast
      have ⟨dist (toR y) (toR x) = dist (fromR (toR y)) (fromR (toR x)) / norm
c⟩
        by (auto simp: dist-real-def fromR-def ⟨c ≠ 0⟩)
      also have ⟨... = dist y x / norm c⟩
        using ⟨x ∈ T⟩ ⟨y ∈ T⟩ by (simp add: fromR-toR)
      also have ⟨... < e⟩
        using ⟨dist y x < e * norm c⟩
        by (simp add: divide-less-eq that(2))
      finally show ?thesis
        by (simp add: x y toR-fromR)
    qed
  then show ?thesis
    apply (auto simp: tendsto-iff at-within-def eventually-inf-principal eventu-
ally-nhds-metric)
    by (metis assms(1) div-0 divide-less-eq zero-less-norm-iff)
  next
  case False
    have ⟨T = span {c}⟩
      by (simp add: T-def fromR-def span-singleton)
    then have ⟨closed T⟩
      by simp
    with False have ⟨x ∉ closure T⟩
      by simp
    then have ⟨(at x within T) = bot⟩
      by (rule not-in-closure-trivial-limitI)
    then show ?thesis
      by simp
  qed
  have 4: ⟨(fromR ∘ f) summable-on A⟩
    by (simp add: assms(2) fromR-def summable-on-cong)

  have ⟨(toR ∘ (fromR ∘ f)) summable-on A⟩

```

```

    using 1 2 3 4
    by (rule summable-on-comm-additive-general[where T=T])
    with toR-fromR
    show ?thesis
    by (auto simp: o-def)
qed

```

**lemma** *infsum-scaleR-left*:

— This result has nothing to do with the bounded operator library but it uses *finite-span-closed* so it is proven here.

It is a strengthening of *infsum-scaleR-left*.

```

    fixes c :: 'a :: real-normed-vector
    shows infsum (λx. f x *R c) A = infsum f A *R c
proof (cases ⟨f summable-on A⟩)
  case True
  then show ?thesis
    apply (subst asm-rl[of ⟨(λx. f x *R c) = (λy. y *R c) o f⟩], simp add: o-def)
    apply (rule infsum-comm-additive)
    using True by (auto simp add: scaleR-left.additive-axioms)
next
  case False
  then have ⟨¬ (λx. f x *R c) summable-on A⟩ if ⟨c ≠ 0⟩
    using summable-on-scaleR-left-converse[where A=A and f=f and c=c]
    using that by auto
  with False show ?thesis
    apply (cases ⟨c = 0⟩)
    by (auto simp add: infsum-not-exists)
qed

```

**lemma** *infsum-of-real*:

```

    shows ⟨(∑∞x∈A. of-real (f x) :: 'b::{real-normed-vector, real-algebra-1}) =
of-real (∑∞x∈A. f x)⟩

```

— This result has nothing to do with the bounded operator library but it uses *finite-span-closed* so it is proven here.

```

    unfolding of-real-def
    by (rule infsum-scaleR-left)

```

**definition** *cfinite-dim*  $S \longleftrightarrow (\exists B. \text{finite } B \wedge S \subseteq \text{cspan } B)$

**lemma** *cspan-finite-dim*[intro]:  $\langle \text{cfinite-dim } (\text{cspan } B) \rangle$  if  $\langle \text{finite } B \rangle$   
 using *cfinite-dim-def* that by auto

**lemma** *cfinite-dim-subspace-has-basis*:

```

    assumes ⟨cfinite-dim S⟩ and ⟨csubspace S⟩
    shows ⟨∃ B. finite B ∧ cindependent B ∧ cspan B = S⟩

```

**proof** —

```

    obtain B where ⟨cindependent B⟩ and ⟨cspan B = S⟩
    by (rule complex-vector.maximal-independent-subset[where V=S])

```

```

      (use <csubspace S> complex-vector.span-subspace in blast)
    from <cfinite-dim S>
    obtain C where <finite C> and <S ⊆ cspan C>
      using cfinite-dim-def by auto
    from <cspan B = S> and <S ⊆ cspan C>
    have <B ⊆ cspan C>
      using complex-vector.span-superset by force
    from <finite C> <cindependent B> this
    have <finite B>
      by (rule complex-vector.independent-span-bound[THEN conjunct1])
    from this and <cindependent B> and <cspan B = S>
    show ?thesis
      by auto
qed

```

## 7.5 Closed subspaces

```

lemma csubspace-INF[simp]: ( $\bigwedge x. x \in A \implies \text{csubspace } x \implies \text{csubspace } (\bigcap A)$ )
  by (simp add: complex-vector.subspace-Inter)

```

```

locale closed-csubspace =
  fixes A::('a::{complex-vector, topological-space}) set
  assumes subspace: csubspace A
  assumes closed: closed A

```

```

declare closed-csubspace.subspace[simp]

```

```

lemma closure-is-csubspace[simp]:
  fixes A::('a::complex-normed-vector) set
  assumes <csubspace A>
  shows <csubspace (closure A)>
proof-
  have  $x \in \text{closure } A \implies y \in \text{closure } A \implies x+y \in \text{closure } A$  for  $x y$ 
  proof-
    assume < $x \in (\text{closure } A)$ >
    then obtain  $xx$  where  $\langle \forall n::\text{nat}. xx\ n \in A \rangle$  and  $\langle xx \longrightarrow x \rangle$ 
      using closure-sequential by blast
    assume < $y \in (\text{closure } A)$ >
    then obtain  $yy$  where  $\langle \forall n::\text{nat}. yy\ n \in A \rangle$  and  $\langle yy \longrightarrow y \rangle$ 
      using closure-sequential by blast
    have  $\langle \forall n::\text{nat}. (xx\ n) + (yy\ n) \in A \rangle$ 
      using  $\langle \forall n. xx\ n \in A \rangle \langle \forall n. yy\ n \in A \rangle$  assms complex-vector.subspace-def
      by (simp add: complex-vector.subspace-def)
    hence  $\langle (\lambda n. (xx\ n) + (yy\ n)) \longrightarrow x + y \rangle$  using  $\langle xx \longrightarrow x \rangle \langle yy \longrightarrow y \rangle$ 
  proof-
    by (simp add: tendsto-add)
  thus ?thesis using  $\langle \forall n::\text{nat}. (xx\ n) + (yy\ n) \in A \rangle$ 
    by (meson closure-sequential)
qed

```

**moreover have**  $x \in (\text{closure } A) \implies c *_C x \in (\text{closure } A)$  **for**  $x \ c$   
**proof** –  
    **assume**  $\langle x \in (\text{closure } A) \rangle$   
    **then obtain**  $xx$  **where**  $\langle \forall n :: \text{nat}. xx\ n \in A \rangle$  **and**  $\langle xx \longrightarrow x \rangle$   
    **using** *closure-sequential* **by** *blast*  
    **have**  $\langle \forall n :: \text{nat}. c *_C (xx\ n) \in A \rangle$   
    **using**  $\langle \forall n. xx\ n \in A \rangle$  *assms complex-vector.subspace-def*  
    **by** (*simp add: complex-vector.subspace-def*)  
    **have**  $\langle \text{isCont } (\lambda t. c *_C t) \ x \rangle$   
    **using** *bounded-clinear.bounded-linear bounded-clinear-scaleC-right linear-continuous-at*  
**by** *auto*  
    **hence**  $\langle (\lambda n. c *_C (xx\ n)) \longrightarrow c *_C x \rangle$  **using**  $\langle xx \longrightarrow x \rangle$   
    **by** (*simp add: isCont-tendsto-compose*)  
    **thus** *?thesis* **using**  $\langle \forall n :: \text{nat}. c *_C (xx\ n) \in A \rangle$   
    **by** (*meson closure-sequential*)  
**qed**  
**moreover have**  $0 \in (\text{closure } A)$   
    **using** *assms closure-subset complex-vector.subspace-def*  
    **by** (*metis in-mono*)  
**ultimately show** *?thesis*  
    **by** (*simp add: complex-vector.subspaceI*)  
**qed**

**lemma** *csubspace-set-plus*:  
    **assumes**  $\langle \text{csubspace } A \rangle$  **and**  $\langle \text{csubspace } B \rangle$   
    **shows**  $\langle \text{csubspace } (A + B) \rangle$   
**proof** –  
    **define**  $C$  **where**  $\langle C = \{\psi + \varphi \mid \psi \varphi. \psi \in A \wedge \varphi \in B\} \rangle$   
    **have**  $x \in C \implies y \in C \implies x + y \in C$  **for**  $x \ y$   
    **using**  $C\text{-def}$  *assms(1) assms(2) complex-vector.subspace-add complex-vector.subspace-sums*  
**by** *blast*  
    **moreover have**  $c *_C x \in C$  **if**  $\langle x \in C \rangle$  **for**  $x \ c$   
    **proof** –  
    **have** *csubspace C*  
    **by** (*simp add: C-def assms(1) assms(2) complex-vector.subspace-sums*)  
    **then show** *?thesis*  
    **using** *that* **by** (*simp add: complex-vector.subspace-def*)  
**qed**  
    **moreover have**  $0 \in C$   
    **using**  $\langle C = \{\psi + \varphi \mid \psi \varphi. \psi \in A \wedge \varphi \in B\} \rangle$  *add.inverse-neutral add-uminus-conv-diff*  
*assms(1) assms(2) diff-0 mem-Collect-eq*  
    *add.right-inverse*  
    **by** (*metis (mono-tags, lifting) complex-vector.subspace-0*)  
    **ultimately show** *?thesis*  
    **unfolding**  $C\text{-def}$  *complex-vector.subspace-def*  
    **by** (*smt mem-Collect-eq set-plus-elim set-plus-intro*)  
**qed**

**lemma** *closed-csubspace-0[simp]*:

```

closed-csubspace ( $\{0\} :: ('a :: \{complex-vector, t1-space\}) set$ )
proof-
  have  $\langle csubspace \{0\} \rangle$ 
    using add.right-neutral complex-vector.subspace-def scaleC-right.zero
    by blast
  moreover have closed ( $\{0\} :: 'a set$ )
    by simp
  ultimately show ?thesis
    by (simp add: closed-csubspace-def)
qed

lemma closed-csubspace-UNIV[simp]: closed-csubspace (UNIV :: ( $'a :: \{complex-vector, topological-space\}$ )
set)
proof-
  have  $\langle csubspace UNIV \rangle$ 
    by simp
  moreover have  $\langle closed UNIV \rangle$ 
    by simp
  ultimately show ?thesis
    unfolding closed-csubspace-def by auto
qed

lemma closed-csubspace-inter[simp]:
  assumes closed-csubspace A and closed-csubspace B
  shows closed-csubspace ( $A \cap B$ )
proof-
  obtain C where  $\langle C = A \cap B \rangle$  by blast
  have  $\langle csubspace C \rangle$ 
  proof-
    have  $x \in C \implies y \in C \implies x + y \in C$  for  $x y$ 
    by (metis IntD1 IntD2 IntI  $\langle C = A \cap B \rangle$  assms(1) assms(2) complex-vector.subspace-def
closed-csubspace-def)
    moreover have  $x \in C \implies c *_C x \in C$  for  $x c$ 
    by (metis IntD1 IntD2 IntI  $\langle C = A \cap B \rangle$  assms(1) assms(2) complex-vector.subspace-def
closed-csubspace-def)
    moreover have  $0 \in C$ 
    using  $\langle C = A \cap B \rangle$  assms(1) assms(2) complex-vector.subspace-def closed-csubspace-def
  by fastforce
  ultimately show ?thesis
    by (simp add: complex-vector.subspace-def)
  qed
  moreover have  $\langle closed C \rangle$ 
    using  $\langle C = A \cap B \rangle$ 
    by (simp add: assms(1) assms(2) closed-Int closed-csubspace.closed)
  ultimately show ?thesis
    using  $\langle C = A \cap B \rangle$ 
    by (simp add: closed-csubspace-def)
qed

```

```

lemma closed-csubspace-INF[simp]:
  assumes a1:  $\forall A \in \mathcal{A}. \text{closed-csubspace } A$ 
  shows closed-csubspace  $(\bigcap \mathcal{A})$ 
proof –
  have  $\langle \text{csubspace } (\bigcap \mathcal{A}) \rangle$ 
    by (simp add: assms closed-csubspace.subspace complex-vector.subspace-Inter)
  moreover have  $\langle \text{closed } (\bigcap \mathcal{A}) \rangle$ 
    by (simp add: assms closed-Inter closed-csubspace.closed)
  ultimately show ?thesis
    by (simp add: closed-csubspace.intro)
qed

typedef (overloaded) ('a::{complex-vector, topological-space})
  ccsubspace =  $\langle \{S :: 'a \text{ set}. \text{closed-csubspace } S\} \rangle$ 
  morphisms space-as-set Abs-ccsubspace
  using Complex-Vector-Spaces.closed-csubspace-UNIV by blast

setup-lifting type-definition-ccsubspace

lemma csubspace-space-as-set[simp]:  $\langle \text{csubspace } (\text{space-as-set } S) \rangle$ 
  by (metis closed-csubspace-def mem-Collect-eq space-as-set)

lemma closed-space-as-set[simp]:  $\langle \text{closed } (\text{space-as-set } S) \rangle$ 
  apply transfer by (simp add: closed-csubspace.closed)

lemma zero-space-as-set[simp]:  $\langle 0 \in \text{space-as-set } A \rangle$ 
  by (simp add: complex-vector.subspace-0)

instantiation ccsubspace :: (complex-normed-vector) scaleC begin
lift-definition scaleC-ccsubspace :: complex  $\Rightarrow$  'a ccsubspace  $\Rightarrow$  'a ccsubspace is
   $\lambda c S. (*_C) c \text{ ' } S$ 
proof
  show csubspace  $((*_C) c \text{ ' } S)$  if closed-csubspace S for c :: complex and S :: 'a set
  using that
  by (simp add: complex-vector.linear-subspace-image)
  show closed  $((*_C) c \text{ ' } S)$  if closed-csubspace S for c :: complex and S :: 'a set
  using that
  by (simp add: closed-scaleC closed-csubspace.closed)
qed

lift-definition scaleR-ccsubspace :: real  $\Rightarrow$  'a ccsubspace  $\Rightarrow$  'a ccsubspace is
   $\lambda c S. (*_R) c \text{ ' } S$ 
proof
  show csubspace  $((*_R) r \text{ ' } S)$ 
  if closed-csubspace S
  for r :: real
  and S :: 'a set

```

```

    using that using bounded-clinear-def bounded-clinear-scaleC-right scaleR-scaleC
    by (simp add: scaleR-scaleC complex-vector.linear-subspace-image)
show closed ((*_R) r ' S)
  if closed-csubspace S
  for r :: real
  and S :: 'a set
  using that
  by (simp add: closed-scaling closed-csubspace.closed)
qed

instance
proof
  show ((*_R) r::'a csubspace  $\Rightarrow$  -) = (*_C) (complex-of-real r) for r :: real
  by (simp add: scaleR-scaleC scaleC-csubspace-def scaleR-csubspace-def)
qed
end

instantiation csubspace :: ({complex-vector,t1-space}) bot begin
lift-definition bot-csubspace :: 'a csubspace is {0}
  by simp
instance..
end

lemma zero-cblinfun-image[simp]: 0 *_C S = bot for S :: - csubspace
proof transfer
  have (0::'b)  $\in$  ( $\lambda x. 0$ ) ' S
  if closed-csubspace S
  for S::'b set
  using that unfolding closed-csubspace-def
  by (simp add: complex-vector.linear-subspace-image complex-vector.module-hom-zero
    complex-vector.subspace-0)
  thus (*_C) 0 ' S = {0::'b}
  if closed-csubspace (S::'b set)
  for S :: 'b set
  using that
  by (auto intro !: exI [of - 0])
qed

lemma csubspace-scaleC-invariant:
  fixes a S
  assumes <a  $\neq$  0> and <csubspace S>
  shows <(*_C) a ' S = S>
proof -
  have <x  $\in$  (*_C) a ' S  $\implies$  x  $\in$  S>
  for x
  using assms(2) complex-vector.subspace-scale by blast
  moreover have <x  $\in$  S  $\implies$  x  $\in$  (*_C) a ' S>
  for x
  proof -

```

```

assume  $x \in S$ 
hence  $\exists c \text{ aa. } (c / a) *_C \text{ aa} \in S \wedge c *_C \text{ aa} = x$ 
  using assms(2) complex-vector.subspace-def scaleC-one by metis
hence  $\exists \text{aa. aa} \in S \wedge a *_C \text{aa} = x$ 
  using assms(1) by auto
thus ?thesis
  by (meson image-iff)
qed
ultimately show ?thesis by blast
qed

```

```

lemma ccsubspace-scaleC-invariant[simp]:  $a \neq 0 \implies a *_C S = S$  for  $S :: \text{-cc-subspace}$ 
  apply transfer
  by (simp add: closed-csubspace.subspace ccsubspace-scaleC-invariant)

```

```

instantiation ccsubspace :: ( $\{\text{complex-vector, topological-space}\}$ ) top
begin
lift-definition top-ccsubspace ::  $\langle 'a \text{ ccsubspace} \rangle$  is  $\langle \text{UNIV} \rangle$ 
  by simp

```

```

instance ..
end

```

```

lemma space-as-set-bot[simp]:  $\langle \text{space-as-set bot} = \{0\} \rangle$ 
  by (rule bot-ccsubspace.rep-eq)

```

```

lemma ccsubspace-top-not-bot[simp]:
   $(\text{top} :: 'a :: \{\text{complex-vector, t1-space, not-singleton}\} \text{ ccsubspace}) \neq \text{bot}$ 
  by (metis UNIV-not-singleton bot-ccsubspace.rep-eq top-ccsubspace.rep-eq)

```

```

lemma ccsubspace-bot-not-top[simp]:
   $(\text{bot} :: 'a :: \{\text{complex-vector, t1-space, not-singleton}\} \text{ ccsubspace}) \neq \text{top}$ 
  using ccsubspace-top-not-bot by metis

```

```

instantiation ccsubspace :: ( $\{\text{complex-vector, topological-space}\}$ ) Inf
begin
lift-definition Inf-ccsubspace:: $\langle 'a \text{ ccsubspace set} \Rightarrow 'a \text{ ccsubspace} \rangle$ 
  is  $\langle \lambda S. \bigcap S \rangle$ 
proof

```

```

  fix  $S :: 'a \text{ set set}$ 
  assume closed: closed-csubspace x if  $\langle x \in S \rangle$  for  $x$ 
  show ccsubspace  $(\bigcap S :: 'a \text{ set})$ 
    by (simp add: closed closed-csubspace.subspace)
  show closed  $(\bigcap S :: 'a \text{ set})$ 
    by (simp add: closed closed-csubspace.closed)

```

qed

instance ..  
end

**lift-definition** *ccspan* :: 'a::complex-normed-vector set  $\Rightarrow$  'a ccspace  
 is  $\lambda G. \text{closure } (\text{cspan } G)$   
**proof** (rule *closed-ccspace.intro*)  
 fix *S* :: 'a set  
 show *ccspace* (*closure* (*cspan S*))  
 by (simp add: *closure-is-ccspace*)  
 show *closed* (*closure* (*cspan S*))  
 by simp  
 qed

**lemma** *ccspan-superset*:  
 $\langle A \subseteq \text{space-as-set } (\text{ccspan } A) \rangle$   
 for *A* :: 'a::complex-normed-vector set  
 apply *transfer*  
 by (meson *closure-subset complex-vector.span-superset subset-trans*)

**lemma** *ccspan-superset'*:  $\langle x \in X \implies x \in \text{space-as-set } (\text{ccspan } X) \rangle$   
 using *ccspan-superset* by auto

**lemma** *ccspan-canonical-basis*[simp]: *ccspan* (*set canonical-basis*) = *top*  
 using *ccspan.rep-eq space-as-set-inject top-ccspace.rep-eq*  
*closure-UNIV is-generator-set*  
 by *metis*

**lemma** *ccspan-Inf-def*:  $\langle \text{ccspan } A = \text{Inf } \{S. A \subseteq \text{space-as-set } S\} \rangle$   
 for *A*::('a::cbanach) set  
**proof** –  
 have  $\langle x \in \text{space-as-set } (\text{ccspan } A) \implies x \in \text{space-as-set } (\text{Inf } \{S. A \subseteq \text{space-as-set } S\}) \rangle$   
 for *x*::'a  
**proof** –  
 assume  $\langle x \in \text{space-as-set } (\text{ccspan } A) \rangle$   
 hence  $x \in \text{closure } (\text{cspan } A)$   
 by (simp add: *ccspan.rep-eq*)  
 hence  $\langle x \in \text{closure } (\text{complex-vector.span } A) \rangle$   
 unfolding *ccspan-def*  
 by simp  
 hence  $\langle \exists y::\text{nat} \Rightarrow 'a. (\forall n. y\ n \in (\text{complex-vector.span } A)) \wedge y \longrightarrow x \rangle$   
 by (simp add: *closure-sequential*)  
 then obtain *y* where  $\langle \forall n. y\ n \in (\text{complex-vector.span } A) \rangle$  and  $\langle y \longrightarrow x \rangle$   
 by *blast*  
 have  $\langle y\ n \in \bigcap \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-ccspace } S\} \rangle$   
 for *n*  
 using  $\langle \forall n. y\ n \in (\text{complex-vector.span } A) \rangle$

```

    by auto

  have ⟨closed-csubspace S ⟹ closed S⟩
  for S::'a set
  by (simp add: closed-csubspace.closed)
  hence ⟨closed ( ⋂ {S. (complex-vector.span A) ⊆ S ∧ closed-csubspace S} )⟩
  by simp
  hence ⟨x ∈ ⋂ {S. (complex-vector.span A) ⊆ S ∧ closed-csubspace S}⟩ using
  ⟨y ⟶ x⟩
  using ⟨⋀ n. y n ∈ ⋂ {S. complex-vector.span A ⊆ S ∧ closed-csubspace S}⟩
  closed-sequentially
  by blast
  moreover have ⟨{S. A ⊆ S ∧ closed-csubspace S} ⊆ {S. (complex-vector.span
  A) ⊆ S ∧ closed-csubspace S}⟩
  using Collect-mono-iff
  by (simp add: Collect-mono-iff closed-csubspace.subspace complex-vector.span-minimal)
  ultimately have ⟨x ∈ ⋂ {S. A ⊆ S ∧ closed-csubspace S}⟩
  by blast
  moreover have (x::'a) ∈ ⋂ {x. A ⊆ x ∧ closed-csubspace x}
  if (x::'a) ∈ ⋂ {S. A ⊆ S ∧ closed-csubspace S}
  for x :: 'a
  and A :: 'a set
  using that
  by simp
  ultimately show ⟨x ∈ space-as-set (Inf {S. A ⊆ space-as-set S})⟩
  apply transfer.
qed
moreover have ⟨x ∈ space-as-set (Inf {S. A ⊆ space-as-set S})⟩
  ⟹ x ∈ space-as-set (ccspan A)
  for x::'a
proof-
  assume ⟨x ∈ space-as-set (Inf {S. A ⊆ space-as-set S})⟩
  hence ⟨x ∈ ⋂ {S. A ⊆ S ∧ closed-csubspace S}⟩
  apply transfer
  by blast
  moreover have ⟨{S. (complex-vector.span A) ⊆ S ∧ closed-csubspace S} ⊆
  {S. A ⊆ S ∧ closed-csubspace S}⟩
  using Collect-mono-iff complex-vector.span-superset by fastforce
  ultimately have ⟨x ∈ ⋂ {S. (complex-vector.span A) ⊆ S ∧ closed-csubspace
  S}⟩
  by blast
  thus ⟨x ∈ space-as-set (ccspan A)⟩
  by (metis (no-types, lifting) Inter-iff space-as-set closure-subset mem-Collect-eq
  ccspan.rep-eq)
qed
ultimately have ⟨space-as-set (ccspan A) = space-as-set (Inf {S. A ⊆ space-as-set
  S})⟩
  by blast
  thus ?thesis

```

**using** *space-as-set-inject* **by** *auto*  
**qed**

**lemma** *cspan-singleton-scaleC[simp]*:  $(a::\text{complex}) \neq 0 \implies \text{cspan } \{ a *_C \psi \} = \text{cspan } \{ \psi \}$   
**for**  $\psi::'a::\text{complex-vector}$   
**by** (*smt complex-vector.dependent-single complex-vector.independent-insert complex-vector.scale-eq-0-iff complex-vector.span-base complex-vector.span-redundant complex-vector.span-scale doubleton-eq-iff insert-absorb insert-absorb2 insert-commute singletonI*)

**lemma** *closure-is-closed-csubspace[simp]*:  
**fixes**  $S::\langle 'a::\text{complex-normed-vector set} \rangle$   
**assumes**  $\langle \text{csubspace } S \rangle$   
**shows**  $\langle \text{closed-csubspace } (\text{closure } S) \rangle$   
**using** *assms closed-csubspace.intro closure-is-csubspace by blast*

**lemma** *ccspan-singleton-scaleC[simp]*:  $(a::\text{complex}) \neq 0 \implies \text{ccspan } \{ a *_C \psi \} = \text{ccspan } \{ \psi \}$   
**apply** *transfer by simp*

**lemma** *clinear-continuous-at*:  
**assumes**  $\langle \text{bounded-clinear } f \rangle$   
**shows**  $\langle \text{isCont } f \ x \rangle$   
**by** (*simp add: assms bounded-clinear.bounded-linear linear-continuous-at*)

**lemma** *clinear-continuous-within*:  
**assumes**  $\langle \text{bounded-clinear } f \rangle$   
**shows**  $\langle \text{continuous } (\text{at } x \text{ within } s) \ f \rangle$   
**by** (*simp add: assms bounded-clinear.bounded-linear linear-continuous-within*)

**lemma** *antilinear-continuous-at*:  
**assumes**  $\langle \text{bounded-antilinear } f \rangle$   
**shows**  $\langle \text{isCont } f \ x \rangle$   
**by** (*simp add: assms bounded-antilinear.bounded-linear linear-continuous-at*)

**lemma** *antilinear-continuous-within*:  
**assumes**  $\langle \text{bounded-antilinear } f \rangle$   
**shows**  $\langle \text{continuous } (\text{at } x \text{ within } s) \ f \rangle$   
**by** (*simp add: assms bounded-antilinear.bounded-linear linear-continuous-within*)

**lemma** *bounded-clinear-eq-on-closure*:  
**fixes**  $A \ B :: 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{complex-normed-vector}$   
**assumes**  $\langle \text{bounded-clinear } A \rangle$  **and**  $\langle \text{bounded-clinear } B \rangle$  **and**  
 $\text{eq: } \langle \bigwedge x. x \in G \implies A \ x = B \ x \rangle$  **and**  $t: \langle t \in \text{closure } (\text{cspan } G) \rangle$   
**shows**  $\langle A \ t = B \ t \rangle$

**proof** –  
**have** *eq'*:  $\langle A \ t = B \ t \rangle$  **if**  $\langle t \in \text{cspan } G \rangle$  **for**  $t$

```

    using - - that eq apply (rule complex-vector.linear-eq-on)
    by (auto simp: assms bounded-clinear.clinear)
  have  $\langle A \ t - B \ t = 0 \rangle$ 
    using - - t apply (rule continuous-constant-on-closure)
    by (auto simp add: eq' assms(1) assms(2) clinear-continuous-at continuous-at-imp-continuous-on)
  then show ?thesis
    by auto
qed

instantiation ccspace :: ({complex-vector, topological-space}) order
begin
lift-definition less-eq-ccspace ::  $\langle 'a \ cspace \Rightarrow 'a \ cspace \Rightarrow bool \rangle$ 
  is  $\langle (\subseteq) \rangle$ .
declare less-eq-ccspace-def[code del]
lift-definition less-ccspace ::  $\langle 'a \ cspace \Rightarrow 'a \ cspace \Rightarrow bool \rangle$ 
  is  $\langle (\subset) \rangle$ .
declare less-ccspace-def[code del]
instance
proof
  fix x y z :: 'a cspace
  show  $(x < y) = (x \leq y \wedge \neg y \leq x)$ 
    by (simp add: less-eq-ccspace.rep-eq less-le-not-le less-ccspace.rep-eq)
  show  $x \leq x$ 
    by (simp add: less-eq-ccspace.rep-eq)
  show  $x \leq z$  if  $x \leq y$  and  $y \leq z$ 
    using that less-eq-ccspace.rep-eq by auto
  show  $x = y$  if  $x \leq y$  and  $y \leq x$ 
    using that by (simp add: space-as-set-inject less-eq-ccspace.rep-eq)
qed
end

lemma ccspace-leI:
  assumes  $\langle M \subseteq space-as-set \ S \rangle$ 
  shows  $\langle cspace \ M \leq S \rangle$ 
  using assms apply transfer
  by (simp add: closed-ccspace.closed closure-minimal complex-vector.span-minimal)

lemma ccspace-mono:
  assumes  $\langle A \subseteq B \rangle$ 
  shows  $\langle cspace \ A \leq cspace \ B \rangle$ 
  apply (transfer fixing: A B)
  by (simp add: assms closure-mono complex-vector.span-mono)

lemma ccspace-leI:
  assumes  $t1: space-as-set \ A \subseteq space-as-set \ B$ 
  shows  $A \leq B$ 
  using t1 apply transfer by -

```

**lemma** *ccspan-of-empty[simp]*:  $ccspan \ \{\} = bot$

**proof** *transfer*

**show**  $closure \ (cspan \ \{\}) = \{0::'a\}$

**by** *simp*

**qed**

**instantiation** *ccsubspace* :: ( $\{complex-vector, topological-space\}$ ) *inf* **begin**

**lift-definition** *inf-ccsubspace* ::  $'a \ ccsubspace \Rightarrow 'a \ ccsubspace \Rightarrow 'a \ ccsubspace$

**is**  $(\cap)$  **by** *simp*

**instance** .. **end**

**lemma** *space-as-set-inf[simp]*:  $space-as-set \ (A \sqcap B) = space-as-set \ A \cap space-as-set \ B$

**by** (*rule inf-ccsubspace.rep-eq*)

**instantiation** *ccsubspace* :: ( $\{complex-vector, topological-space\}$ ) *order-top* **begin**

**instance**

**proof**

**show**  $a \leq \top$

**for**  $a :: 'a \ ccsubspace$

**apply** *transfer*

**by** *simp*

**qed**

**end**

**instantiation** *ccsubspace* :: ( $\{complex-vector, t1-space\}$ ) *order-bot* **begin**

**instance**

**proof**

**show**  $(\perp::'a \ ccsubspace) \leq a$

**for**  $a :: 'a \ ccsubspace$

**apply** *transfer*

**apply** *auto*

**using** *closed-csubspace.subspace complex-vector.subspace-0* **by** *blast*

**qed**

**end**

**instantiation** *ccsubspace* :: ( $\{complex-vector, topological-space\}$ ) *semilattice-inf* **begin**

**instance**

**proof**

**fix**  $x \ y \ z :: \langle 'a \ ccsubspace \rangle$

**show**  $x \sqcap y \leq x$

**apply** *transfer* **by** *simp*

**show**  $x \sqcap y \leq y$

**apply** *transfer* **by** *simp*

**show**  $x \leq y \sqcap z$  **if**  $x \leq y$  **and**  $x \leq z$

using *that* apply transfer by simp  
 qed  
 end

**instantiation** *ccsubspace* :: (*{complex-vector,t1-space}*) zero **begin**  
**definition** *zero-ccsubspace* :: '*a ccsubspace* **where** [*simp*]: *zero-ccsubspace* = *bot*  
**lemma** *zero-ccsubspace-transfer*[*transfer-rule*]:  $\langle \text{pcr-ccsubspace } (=) \{0\} \ 0 \rangle$   
 unfolding *zero-ccsubspace-def* by *transfer-prover*  
**instance** ..  
 end

**lemma** *ccspan-0*[*simp*]:  $\langle \text{ccspan } \{0\} = 0 \rangle$   
 apply *transfer*  
 by *simp*

**definition**  $\langle \text{rel-ccsubspace } R \ x \ y = \text{rel-set } R \ (\text{space-as-set } x) \ (\text{space-as-set } y) \rangle$

**lemma** *left-unique-rel-ccsubspace*[*transfer-rule*]:  $\langle \text{left-unique } (\text{rel-ccsubspace } R) \rangle$  **if**  
 $\langle \text{left-unique } R \rangle$   
**proof** (*rule left-uniqueI*)  
 fix *S T* :: '*a ccsubspace*' **and** *U*  
 assume *assms*:  $\langle \text{rel-ccsubspace } R \ S \ U \rangle \langle \text{rel-ccsubspace } R \ T \ U \rangle$   
 have  $\langle \text{space-as-set } S = \text{space-as-set } T \rangle$   
 apply (*rule left-uniqueD*)  
 using *that* apply (*rule left-unique-rel-set*)  
 using *assms* unfolding *rel-ccsubspace-def* by *auto*  
 then show  $\langle S = T \rangle$   
 by (*simp add: space-as-set-inject*)  
 qed

**lemma** *right-unique-rel-ccsubspace*[*transfer-rule*]:  $\langle \text{right-unique } (\text{rel-ccsubspace } R) \rangle$   
**if**  $\langle \text{right-unique } R \rangle$   
 by (*metis rel-ccsubspace-def right-unique-def right-unique-rel-set space-as-set-inject that*)

**lemma** *bi-unique-rel-ccsubspace*[*transfer-rule*]:  $\langle \text{bi-unique } (\text{rel-ccsubspace } R) \rangle$  **if**  $\langle \text{bi-unique } R \rangle$   
 by (*metis (no-types, lifting) bi-unique-def bi-unique-rel-set rel-ccsubspace-def space-as-set-inject that*)

**lemma** *converse-rel-ccsubspace*:  $\langle \text{conversep } (\text{rel-ccsubspace } R) = \text{rel-ccsubspace } (\text{conversep } R) \rangle$   
 by (*auto simp: rel-ccsubspace-def [abs-def]*)

**lemma** *space-as-set-top*[*simp*]:  $\langle \text{space-as-set top} = \text{UNIV} \rangle$   
 by (*rule top-ccsubspace.rep-eq*)

```

lemma ccsubspace-eqI:
  assumes  $\langle \bigwedge x. x \in \text{space-as-set } S \longleftrightarrow x \in \text{space-as-set } T \rangle$ 
  shows  $\langle S = T \rangle$ 
  by (metis Abs-ccsubspace-cases Abs-ccsubspace-inverse antisym assms subsetI)

lemma ccspan-remove-0:  $\langle \text{ccspan } (A - \{0\}) = \text{ccspan } A \rangle$ 
  apply transfer
  by auto

lemma sgn-in-spaceD:  $\langle \psi \in \text{space-as-set } A \rangle$  if  $\langle \text{sgn } \psi \in \text{space-as-set } A \rangle$  and  $\langle \psi \neq 0 \rangle$ 
  for  $\psi :: \langle - :: \text{complex-normed-vector} \rangle$ 
  using that
  apply (transfer fixing:  $\psi$ )
  by (metis closed-csubspace.subspace complex-vector.subspace-scale divideC-field-simps(1) scaleR-eq-0-iff scaleR-scaleC sgn-div-norm sgn-zero-iff)

lemma sgn-in-spaceI:  $\langle \text{sgn } \psi \in \text{space-as-set } A \rangle$  if  $\langle \psi \in \text{space-as-set } A \rangle$ 
  for  $\psi :: \langle - :: \text{complex-normed-vector} \rangle$ 
  using that by (auto simp: sgn-div-norm scaleR-scaleC complex-vector.subspace-scale)

lemma ccsubspace-leI-unit:
  fixes  $A B :: \langle - :: \text{complex-normed-vector ccsubspace} \rangle$ 
  assumes  $\bigwedge \psi. \text{norm } \psi = 1 \implies \psi \in \text{space-as-set } A \implies \psi \in \text{space-as-set } B$ 
  shows  $A \leq B$ 
proof (rule ccsubspace-leI, rule subsetI)
  fix  $\psi$  assume  $\psi A: \langle \psi \in \text{space-as-set } A \rangle$ 
  show  $\langle \psi \in \text{space-as-set } B \rangle$ 
  apply (cases  $\langle \psi = 0 \rangle$ )
  apply simp
  using assms[of  $\langle \text{sgn } \psi \rangle$ ]  $\psi A$  sgn-in-spaceD sgn-in-spaceI
  by (auto simp: norm-sgn)
qed

lemma kernel-is-closed-csubspace[simp]:
  assumes a1: bounded-clinear f
  shows closed-csubspace ( $f - \{0\}$ )
proof–
  have  $\langle \text{csubspace } (f - \{0\}) \rangle$ 
  using assms bounded-clinear.clinear complex-vector.linear-subspace-vimage complex-vector.subspace-single-0 by blast
  have  $L \in \{x. f\ x = 0\}$ 
  if  $r \longrightarrow L$  and  $\forall n. r\ n \in \{x. f\ x = 0\}$ 
  for r and L
proof–
  have d1:  $\langle \forall n. f\ (r\ n) = 0 \rangle$ 
  using that(2) by auto
  have  $\langle (\lambda n. f\ (r\ n)) \longrightarrow f\ L \rangle$ 
  using assms clinear-continuous-at continuous-within-tendsto-compose' that(1)

```

```

    by fastforce
  hence  $\langle \lambda n. 0 \rangle \longrightarrow f L$ 
    using d1 by simp
  hence  $\langle f L = 0 \rangle$ 
    using limI by fastforce
  thus ?thesis by blast
qed
then have s3:  $\langle \text{closed } (f - \{0\}) \rangle$ 
  using closed-sequential-limits by force
with  $\langle \text{csubspace } (f - \{0\}) \rangle$ 
show ?thesis
  using closed-csubspace.intro by blast
qed

lemma ccspan-closure[simp]:  $\langle \text{ccspan } (\text{closure } X) = \text{ccspan } X \rangle$ 
  by (simp add: basic-trans-rules(24) ccspan.rep-eq ccspan-leqI ccspan-mono closure-mono closure-subset complex-vector.span-superset)

lemma ccspan-finite:  $\langle \text{space-as-set } (\text{ccspan } X) = \text{cspan } X \rangle$  if  $\langle \text{finite } X \rangle$ 
  by (simp add: ccspan.rep-eq that)

lemma ccspan-UNIV[simp]:  $\langle \text{ccspan } \text{UNIV} = \top \rangle$ 
  by (simp add: ccspan.abs-eq top-ccsubspace-def)

lemma infsum-in-closed-csubspaceI:
  assumes  $\langle \bigwedge x. x \in X \implies f x \in A \rangle$ 
  assumes  $\langle \text{closed-csubspace } A \rangle$ 
  shows  $\langle \text{infsum } f X \in A \rangle$ 
proof (cases  $\langle f \text{ summable-on } X \rangle$ )
case True
  then have lim:  $\langle \text{sum } f \longrightarrow \text{infsum } f X \rangle$  (finite-subsets-at-top X)
    by (simp add: infsum-tendsto)
  have sumA:  $\langle \text{sum } f F \in A \rangle$  if  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq X \rangle$  for F
    apply (rule complex-vector.subspace-sum)
    using that assms by auto
  from lim show  $\langle \text{infsum } f X \in A \rangle$ 
    apply (rule Lim-in-closed-set[rotated -1])
    using assms sumA by (auto intro!: closed-csubspace.closed eventually-finite-subsets-at-top-weakI)
next
case False
  then show ?thesis
    using assms by (auto intro!: closed-csubspace.closed complex-vector.subspace-0
    simp add: infsum-not-exists)
qed

lemma closed-csubspace-space-as-set[simp]:  $\langle \text{closed-csubspace } (\text{space-as-set } X) \rangle$ 
  using space-as-set by simp

lift-definition finite-dim-ccsubspace ::  $\langle 'a :: \text{complex-normed-vector } \text{ccsubspace} \Rightarrow$ 

```

*bool* is *cfinite-dim*.

**lemma** *ccspan-finite-dim*[intro]:  $\langle \text{finite-dim-ccsubspace } (\text{ccspan } B) \rangle$  if  $\langle \text{finite } B \rangle$   
 using *ccspan-finite finite-dim-ccsubspace.rep-eq* that **by** *fastforce*

**lemma** *finite-dim-ccsubspace-zero*[iff]:  $\langle \text{finite-dim-ccsubspace } 0 \rangle$

**proof** –

have \*:  $\langle \text{cfinite-dim } (\text{cspan } \{0\}) \rangle$

by *blast*

show ?thesis

apply *transfer*

using \* **by** *simp*

**qed**

**lemma** *finite-dim-ccsubspace-bot*[iff]:  $\langle \text{finite-dim-ccsubspace } \perp \rangle$

using *finite-dim-ccsubspace-zero* **by** *auto*

**lemma** *ccsubspace-contains-unit*:

assumes  $\langle E \neq \perp \rangle$

shows  $\langle \exists h \in \text{space-as-set } E. \text{norm } h = 1 \rangle$

**proof** –

from *assms* have  $\langle \text{space-as-set } E \neq \{0\} \rangle$

by (*metis bot-ccsubspace.rep-eq space-as-set-inject*)

then obtain  $h_0$  where  $\langle h_0 \in \text{space-as-set } E \rangle$  and  $\langle h_0 \neq 0 \rangle$

by *auto*

then have  $\langle \text{sgn } h_0 \in \text{space-as-set } E \rangle$

using *ccsubspace-space-as-set*

by (*auto intro!: complex-vector.subspace-scale*

*simp add: sgn-div-norm scaleR-scaleC*)

moreover from  $\langle h_0 \neq 0 \rangle$  have  $\langle \text{norm } (\text{sgn } h_0) = 1 \rangle$

by (*simp add: norm-sgn*)

ultimately show ?thesis

by *auto*

**qed**

## 7.6 Closed sums

**definition** *closed-sum*::  $\langle 'a::\{\text{semigroup-add}, \text{topological-space}\} \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set} \rangle$  where

$\langle \text{closed-sum } A \ B = \text{closure } (A + B) \rangle$

**notation** *closed-sum* (**infixl**  $\langle +_M \rangle$  65)

**lemma** *closed-sum-comm*:  $\langle A +_M B = B +_M A \rangle$  for  $A \ B :: \text{ab-semigroup-add}$

by (*simp add: add.commute closed-sum-def*)

**lemma** *closed-sum-left-subset*:  $\langle 0 \in B \implies A \subseteq A +_M B \rangle$  for  $A \ B :: \text{monoid-add}$

by (*metis add.right-neutral closed-sum-def closure-subset in-mono set-plus-intro*)

*subsetI*)

**lemma** *closed-sum-right-subset*:  $\langle 0 \in A \implies B \subseteq A +_M B \rangle$  **for**  $A B :: \text{--}::\text{monoid-add}$   
**by** (*metis add.left-neutral closed-sum-def closure-subset set-plus-intro subset-iff*)

**lemma** *finite-cspan-closed-csubspace*:  
**assumes** *finite* ( $S :: 'a :: \text{complex-normed-vector set}$ )  
**shows** *closed-csubspace* (*cspan*  $S$ )  
**by** (*simp add: assms closed-csubspace.intro*)

**lemma** *closed-sum-is-sup*:  
**fixes**  $A B C :: ('a :: \{\text{complex-vector, topological-space}\}) \text{ set}$   
**assumes**  $\langle \text{closed-csubspace } C \rangle$   
**assumes**  $\langle A \subseteq C \rangle$  **and**  $\langle B \subseteq C \rangle$   
**shows**  $\langle (A +_M B) \subseteq C \rangle$   
**proof** –  
**have**  $\langle A + B \subseteq C \rangle$   
**using** *assms unfolding set-plus-def*  
**using** *closed-csubspace.subspace complex-vector.subspace-add* **by** *blast*  
**then show**  $\langle (A +_M B) \subseteq C \rangle$   
**unfolding** *closed-sum-def*  
**using**  $\langle \text{closed-csubspace } C \rangle$   
**by** (*simp add: closed-csubspace.closed closure-minimal*)  
**qed**

**lemma** *closed-subspace-closed-sum*:  
**fixes**  $A B :: ('a :: \text{complex-normed-vector}) \text{ set}$   
**assumes**  $a1: \langle \text{csubspace } A \rangle$  **and**  $a2: \langle \text{csubspace } B \rangle$   
**shows**  $\langle \text{closed-csubspace } (A +_M B) \rangle$   
**using**  $a1 a2$  *closed-sum-def*  
**by** (*metis closure-is-closed-csubspace csubspace-set-plus*)

**lemma** *closed-sum-assoc*:  
**fixes**  $A B C :: 'a :: \text{real-normed-vector set}$   
**shows**  $\langle A +_M (B +_M C) = (A +_M B) +_M C \rangle$   
**proof** –  
**have**  $\langle A + \text{closure } B \subseteq \text{closure } (A + B) \rangle$  **for**  $A B :: 'a \text{ set}$   
**by** (*meson closure-subset closure-sum dual-order.trans order-refl set-plus-mono2*)  
**then have**  $\langle A +_M (B +_M C) = \text{closure } (A + (B + C)) \rangle$   
**unfolding** *closed-sum-def*  
**by** (*meson antisym-conv closed-closure closure-minimal closure-mono closure-subset equalityD1 set-plus-mono2*)  
**moreover**  
**have**  $\langle \text{closure } A + B \subseteq \text{closure } (A + B) \rangle$  **for**  $A B :: 'a \text{ set}$   
**by** (*meson closure-subset closure-sum dual-order.trans order-refl set-plus-mono2*)  
**then have**  $\langle (A +_M B) +_M C = \text{closure } ((A + B) + C) \rangle$   
**unfolding** *closed-sum-def*  
**by** (*meson closed-closure closure-minimal closure-mono closure-subset eq-iff*)

```

set-plus-mono2)
  ultimately show ?thesis
    by (simp add: ab-semigroup-add-class.add-ac(1))
qed

```

```

lemma closed-sum-zero-left[simp]:
  fixes A :: ⟨'a::{monoid-add, topological-space}⟩ set
  shows ⟨{0} +M A = closure A⟩
  unfolding closed-sum-def
  by (metis add.left-neutral set-zero)

```

```

lemma closed-sum-zero-right[simp]:
  fixes A :: ⟨'a::{monoid-add, topological-space}⟩ set
  shows ⟨A +M {0} = closure A⟩
  unfolding closed-sum-def
  by (metis add.right-neutral set-zero)

```

```

lemma closed-sum-closure-right[simp]:
  fixes A B :: ⟨'a::real-normed-vector set⟩
  shows ⟨A +M closure B = A +M B⟩
  by (metis closed-sum-assoc closed-sum-def closed-sum-zero-right closure-closure)

```

```

lemma closed-sum-closure-left[simp]:
  fixes A B :: ⟨'a::real-normed-vector set⟩
  shows ⟨closure A +M B = A +M B⟩
  by (simp add: closed-sum-comm)

```

```

lemma closed-sum-mono-left:
  assumes ⟨A ⊆ B⟩
  shows ⟨A +M C ⊆ B +M C⟩
  by (simp add: asms closed-sum-def closure-mono set-plus-mono2)

```

```

lemma closed-sum-mono-right:
  assumes ⟨A ⊆ B⟩
  shows ⟨C +M A ⊆ C +M B⟩
  by (simp add: asms closed-sum-def closure-mono set-plus-mono2)

```

**instantiation** *ccsubspace* :: (complex-normed-vector) sup **begin**

**lift-definition** *sup-ccsubspace* :: 'a *ccsubspace*  $\Rightarrow$  'a *ccsubspace*  $\Rightarrow$  'a *ccsubspace*

— Note that  $A + B$  would not be a closed subspace, we need the closure. See, e.g., <https://math.stackexchange.com/a/1786792/403528>.

is  $\lambda A B::'a \text{ set}. A +_M B$

by (simp add: closed-subspace-closed-sum)

**instance** ..

**end**

```

lemma closed-sum-cspan[simp]:
  shows ⟨cspan X +M cspan Y = closure (cspan (X ∪ Y))⟩

```

**by** (*smt* (*verit*, *best*) *Collect-cong closed-sum-def complex-vector.span-Un set-plus-def*)

**lemma** *closure-image-closed-sum*:

**assumes**  $\langle \text{bounded-linear } U \rangle$   
**shows**  $\langle \text{closure } (U \text{ ' } (A +_M B)) = \text{closure } (U \text{ ' } A) +_M \text{closure } (U \text{ ' } B) \rangle$   
**proof** –  
**have**  $\langle \text{closure } (U \text{ ' } (A +_M B)) = \text{closure } (U \text{ ' } \text{closure } (\text{closure } A + \text{closure } B)) \rangle$   
**unfolding** *closed-sum-def*  
**by** (*smt* (*verit*, *best*) *closed-closure closure-minimal closure-mono closure-subset closure-sum set-plus-mono2 subset-antisym*)  
**also have**  $\langle \dots = \text{closure } (U \text{ ' } (\text{closure } A + \text{closure } B)) \rangle$   
**using** *assms closure-bounded-linear-image-subset-eq* **by** *blast*  
**also have**  $\langle \dots = \text{closure } (U \text{ ' } \text{closure } A + U \text{ ' } \text{closure } B) \rangle$   
**apply** (*subst image-set-plus*)  
**by** (*simp-all add: assms bounded-linear.linear*)  
**also have**  $\langle \dots = \text{closure } (\text{closure } (U \text{ ' } A) + \text{closure } (U \text{ ' } B)) \rangle$   
**by** (*smt* (*verit*, *ccfv-SIG*) *assms closed-closure closure-bounded-linear-image-subset closure-bounded-linear-image-subset-eq closure-minimal closure-mono closure-sum dual-order.eq-iff set-plus-mono2*)  
**also have**  $\langle \dots = \text{closure } (U \text{ ' } A) +_M \text{closure } (U \text{ ' } B) \rangle$   
**using** *closed-sum-def* **by** *blast*  
**finally show** *?thesis*  
**by** –  
**qed**

**lemma** *ccspan-union*:  $\text{ccspan } A \sqcup \text{ccspan } B = \text{ccspan } (A \cup B)$   
**apply** *transfer* **by** *simp*

**instantiation** *ccsubspace* :: (*complex-normed-vector*) *Sup*

**begin**

**lift-definition** *Sup-ccsubspace*:: $\langle 'a \text{ ccsubspace set} \Rightarrow 'a \text{ ccsubspace} \rangle$

**is**  $\langle \lambda S. \text{closure } (\text{complex-vector.span } (\text{Union } S)) \rangle$

**proof**

**show** *csubspace* (*closure* (*complex-vector.span* ( $\bigcup S :: 'a \text{ set}$ )))  
**if**  $\bigwedge x :: 'a \text{ set}. x \in S \implies \text{closed-csubspace } x$   
**for**  $S :: 'a \text{ set set}$   
**using** *that*  
**by** (*simp add: closure-is-closed-csubspace*)  
**show** *closed* (*closure* (*complex-vector.span* ( $\bigcup S :: 'a \text{ set}$ )))  
**if**  $\bigwedge x. (x :: 'a \text{ set}) \in S \implies \text{closed-csubspace } x$   
**for**  $S :: 'a \text{ set set}$   
**using** *that*  
**by** *simp*

**qed**

**instance..**

**end**

```

instance ccspace :: ({complex-normed-vector}) semilattice-sup
proof
  fix x y z :: 'a ccspace
  show  $\langle x \leq \sup x y \rangle$ 
    apply transfer
    by (simp add: closed-cspace-def closed-sum-left-subset complex-vector.subspace-0)

  show  $y \leq \sup x y$ 
    apply transfer
    by (simp add: closed-cspace-def closed-sum-right-subset complex-vector.subspace-0)

  show  $\sup x y \leq z$  if  $x \leq z$  and  $y \leq z$ 
    using that apply transfer
    apply (rule closed-sum-is-sup) by auto
qed

instance ccspace :: (complex-normed-vector) complete-lattice
proof
  show  $\text{Inf } A \leq x$  if  $x \in A$ 
    for x :: 'a ccspace and A :: 'a ccspace set
    using that
    apply transfer
    by auto

  have b1:  $z \subseteq \bigcap A$ 
    if Ball A closed-cspace and
      closed-cspace z and
       $(\bigwedge x. \text{closed-cspace } x \implies x \in A \implies z \subseteq x)$ 
    for z::'a set and A
    using that
    by auto
  show  $z \leq \text{Inf } A$ 
    if  $\bigwedge x::'a \text{ ccspace}. x \in A \implies z \leq x$ 
    for A :: 'a ccspace set
      and z :: 'a ccspace
    using that
    apply transfer
    using b1 by blast

  show  $x \leq \text{Sup } A$ 
    if  $x \in A$ 
    for x :: 'a ccspace
      and A :: 'a ccspace set
    using that
    apply transfer
    by (meson Union-upper closure-subset complex-vector.span-superset dual-order.trans)

```

```

show  $Sup\ A \leq z$ 
  if  $\bigwedge x :: 'a\ ccspace. x \in A \implies x \leq z$ 
  for  $A :: 'a\ ccspace\ set$ 
    and  $z :: 'a\ ccspace$ 
  using that apply transfer
proof -
  fix  $A :: 'a\ set\ set$  and  $z :: 'a\ set$ 
  assume  $A\text{-closed}$ :  $Ball\ A\ closed\ cspace$ 
  assume  $closed\ cspace\ z$ 
  assume  $in\text{-}z$ :  $\bigwedge x. closed\ cspace\ x \implies x \in A \implies x \subseteq z$ 
  from  $A\text{-closed}\ in\text{-}z$ 
  have  $\langle V \subseteq z \rangle$  if  $\langle V \in A \rangle$  for  $V$ 
    by (simp add: that)
  then have  $\langle \bigcup A \subseteq z \rangle$ 
    by (simp add: Sup-le-iff)
  with  $\langle closed\ cspace\ z \rangle$ 
  show  $closure\ (cspan\ (\bigcup A)) \subseteq z$ 
    by (simp add: closed-cspace-def closure-minimal complex-vector.span-def
subset-hull)
  qed

show  $Inf\ \{\} = (top :: 'a\ ccspace)$ 
  using  $\langle \bigwedge z\ A. (\bigwedge x. x \in A \implies z \leq x) \implies z \leq Inf\ A \rangle\ top.extremum-uniqueI$ 
by auto

show  $Sup\ \{\} = (bot :: 'a\ ccspace)$ 
  using  $\langle \bigwedge z\ A. (\bigwedge x. x \in A \implies x \leq z) \implies Sup\ A \leq z \rangle\ bot.extremum-uniqueI$ 
by auto
qed

instantiation ccspace :: (complex-normed-vector) comm-monoid-add begin
definition plus-ccspace :: 'a ccspace  $\Rightarrow$  -  $\Rightarrow$  -
  where [simp]: plus-ccspace = sup
instance
proof
  fix  $a\ b\ c :: \langle 'a\ ccspace \rangle$ 
  show  $a + b + c = a + (b + c)$ 
    using sup.assoc by auto
  show  $a + b = b + a$ 
    by (simp add: sup.commute)
  show  $0 + a = a$ 
    by (simp add: zero-ccspace-def)
qed
end

lemma SUP-ccspan:  $\langle (SUP\ x \in X. ccspan\ (S\ x)) = ccspan\ (\bigcup_{x \in X} S\ x) \rangle$ 
proof (rule SUP-eqI)
  show  $\langle ccspan\ (S\ x) \leq ccspan\ (\bigcup_{x \in X} S\ x) \rangle$  if  $\langle x \in X \rangle$  for  $x$ 
    apply (rule ccspan-mono)

```

using that by auto  
 show  $\langle \text{ccspan} (\bigcup x \in X. S x) \leq y \rangle$  if  $\langle \bigwedge x. x \in X \implies \text{ccspan} (S x) \leq y \rangle$  for  $y$   
 apply (intro ccspan-leqI UN-least)  
 using that ccspan-superset by (auto simp: less-eq-ccsubspace.rep-eq)  
 qed

lemma ccsubspace-plus-sup:  $y \leq x \implies z \leq x \implies y + z \leq x$   
 for  $x y z :: 'a :: \text{complex-normed-vector ccsubspace}$   
 unfolding plus-ccsubspace-def by auto

lemma ccsubspace-Sup-empty:  $\text{Sup } \{\} = (0 :: - \text{ccsubspace})$   
 unfolding zero-ccsubspace-def by auto

lemma ccsubspace-add-right-incr[simp]:  $a \leq a + c$  for  $a :: - \text{ccsubspace}$   
 by (simp add: add-increasing2)

lemma ccsubspace-add-left-incr[simp]:  $a \leq c + a$  for  $a :: - \text{ccsubspace}$   
 by (simp add: add-increasing)

lemma sum-bot-ccsubspace[simp]:  $\langle (\sum x \in X. \perp) = (\perp :: - \text{ccsubspace}) \rangle$   
 by (simp flip: zero-ccsubspace-def)

## 7.7 Conjugate space

typedef 'a conjugate-space = UNIV :: 'a set  
 morphisms from-conjugate-space to-conjugate-space ..  
 setup-lifting type-definition-conjugate-space

instantiation conjugate-space :: (complex-vector) complex-vector begin  
 lift-definition scaleC-conjugate-space ::  $\langle \text{complex} \Rightarrow 'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space} \rangle$  is  $\langle \lambda c x. \text{cnj } c *_{\mathbb{C}} x \rangle$ .  
 lift-definition scaleR-conjugate-space ::  $\langle \text{real} \Rightarrow 'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space} \rangle$  is  $\langle \lambda r x. r *_{\mathbb{R}} x \rangle$ .  
 lift-definition plus-conjugate-space ::  $'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space}$  is  $(+)$ .  
 lift-definition uminus-conjugate-space ::  $'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space}$  is  $\langle \lambda x. -x \rangle$ .  
 lift-definition zero-conjugate-space ::  $'a \text{ conjugate-space}$  is  $0$ .  
 lift-definition minus-conjugate-space ::  $'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space}$  is  $(-)$ .  
 instance  
 apply (intro-classes; transfer)  
 by (simp-all add: scaleR-scaleC scaleC-add-right scaleC-left.add)  
 end

instantiation conjugate-space :: (complex-normed-vector) complex-normed-vector  
 begin  
 lift-definition sgn-conjugate-space ::  $'a \text{ conjugate-space} \Rightarrow 'a \text{ conjugate-space}$  is  
 sgn.

```

lift-definition norm-conjugate-space :: 'a conjugate-space  $\Rightarrow$  real is norm.
lift-definition dist-conjugate-space :: 'a conjugate-space  $\Rightarrow$  'a conjugate-space  $\Rightarrow$ 
real is dist.
lift-definition uniformity-conjugate-space :: ('a conjugate-space  $\times$  'a conjugate-space)
filter is uniformity.
lift-definition open-conjugate-space :: 'a conjugate-space set  $\Rightarrow$  bool is open.
instance
  apply (intro-classes; transfer)
  by (simp-all add: dist-norm sgn-div-norm open-uniformity uniformity-dist norm-triangle-ineq)
end

instantiation conjugate-space :: (cbanach) cbanach begin
instance
  apply intro-classes
  unfolding Cauchy-def convergent-def LIMSEQ-def apply transfer
  using Cauchy-convergent unfolding Cauchy-def convergent-def LIMSEQ-def by
metis
end

lemma bounded-antilinear-to-conjugate-space[simp]:  $\langle$ bounded-antilinear to-conjugate-space $\rangle$ 
  by (rule bounded-antilinear-intro[where  $K=1$ ]; transfer; auto)

lemma bounded-antilinear-from-conjugate-space[simp]:  $\langle$ bounded-antilinear from-conjugate-space $\rangle$ 
  by (rule bounded-antilinear-intro[where  $K=1$ ]; transfer; auto)

lemma antilinear-to-conjugate-space[simp]:  $\langle$ antilinear to-conjugate-space $\rangle$ 
  by (rule antilinearI; transfer, auto)

lemma antilinear-from-conjugate-space[simp]:  $\langle$ antilinear from-conjugate-space $\rangle$ 
  by (rule antilinearI; transfer, auto)

lemma cspan-to-conjugate-space[simp]: cspan (to-conjugate-space 'X) = to-conjugate-space
' cspan X
  unfolding complex-vector.span-def complex-vector.subspace-def hull-def
  apply transfer
  apply simp
  by (metis (no-types, opaque-lifting) complex-cnj-cnj)

lemma surj-to-conjugate-space[simp]: surj to-conjugate-space
  by (meson surj-def to-conjugate-space-cases)

lemmas has-derivative-scaleC[simp, derivative-intros] =
bounded-bilinear.FDERIV[OF bounded-cbilinear-scaleC[THEN bounded-cbilinear.bounded-bilinear]]

lemma norm-to-conjugate-space[simp]:  $\langle$ norm (to-conjugate-space x) = norm x $\rangle$ 
  by (fact norm-conjugate-space.abs-eq)

lemma norm-from-conjugate-space[simp]:  $\langle$ norm (from-conjugate-space x) = norm
x $\rangle$ 

```

```

    by (simp add: norm-conjugate-space.rep-eq)

lemma closure-to-conjugate-space:  $\langle \text{closure } (to\text{-conjugate-space } 'X) = to\text{-conjugate-space } ' \text{closure } X \rangle$ 
proof -
  have 1:  $\langle to\text{-conjugate-space } ' \text{closure } X \subseteq \text{closure } (to\text{-conjugate-space } 'X) \rangle$ 
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  have  $\langle \dots = to\text{-conjugate-space } ' \text{from-conjugate-space } ' \text{closure } (to\text{-conjugate-space } 'X) \rangle$ 
    by (simp add: from-conjugate-space-inverse image-image)
  also have  $\langle \dots \subseteq to\text{-conjugate-space } ' \text{closure } (from\text{-conjugate-space } ' to\text{-conjugate-space } 'X) \rangle$ 
    apply (rule image-mono)
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  also have  $\langle \dots = to\text{-conjugate-space } ' \text{closure } X \rangle$ 
    by (simp add: to-conjugate-space-inverse image-image)
  finally show ?thesis
    using 1 by simp
qed

lemma closure-from-conjugate-space:  $\langle \text{closure } (from\text{-conjugate-space } 'X) = from\text{-conjugate-space } ' \text{closure } X \rangle$ 
proof -
  have 1:  $\langle from\text{-conjugate-space } ' \text{closure } X \subseteq \text{closure } (from\text{-conjugate-space } 'X) \rangle$ 
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  have  $\langle \dots = from\text{-conjugate-space } ' to\text{-conjugate-space } ' \text{closure } (from\text{-conjugate-space } 'X) \rangle$ 
    by (simp add: to-conjugate-space-inverse image-image)
  also have  $\langle \dots \subseteq from\text{-conjugate-space } ' \text{closure } (to\text{-conjugate-space } ' from\text{-conjugate-space } 'X) \rangle$ 
    apply (rule image-mono)
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  also have  $\langle \dots = from\text{-conjugate-space } ' \text{closure } X \rangle$ 
    by (simp add: from-conjugate-space-inverse image-image)
  finally show ?thesis
    using 1 by simp
qed

lemma bounded-antilinear-eq-on:
  fixes A B :: 'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector
  assumes  $\langle \text{bounded-antilinear } A \rangle$  and  $\langle \text{bounded-antilinear } B \rangle$  and
  eq:  $\langle \bigwedge x. x \in G \implies A x = B x \rangle$  and t:  $\langle t \in \text{closure } (cspan G) \rangle$ 
  shows  $\langle A t = B t \rangle$ 
proof -
  let ?A =  $\langle \lambda x. A (from\text{-conjugate-space } x) \rangle$  and ?B =  $\langle \lambda x. B (from\text{-conjugate-space } x) \rangle$ 

```

$x\rangle$   
**and**  $?G = \langle \text{to-conjugate-space } G \rangle$  **and**  $?t = \langle \text{to-conjugate-space } t \rangle$   
**have**  $\langle \text{bounded-clinear } ?A \rangle$  **and**  $\langle \text{bounded-clinear } ?B \rangle$   
**by** (auto intro!: bounded-antilinear-o-bounded-antilinear[OF  $\langle \text{bounded-antilinear } A \rangle$ ]  
 $\text{bounded-antilinear-o-bounded-antilinear[OF } \langle \text{bounded-antilinear } B \rangle$ ])  
**moreover from** eq **have**  $\langle \bigwedge x. x \in ?G \implies ?A\ x = ?B\ x \rangle$   
**by** (metis image-iff iso-tuple-UNIV-I to-conjugate-space-inverse)  
**moreover from** t **have**  $\langle ?t \in \text{closure } (\text{cspan } ?G) \rangle$   
**by** (metis bounded-antilinear.bounded-linear bounded-antilinear-to-conjugate-space  
closure-bounded-linear-image-subset cspan-to-conjugate-space imageI subsetD)  
**ultimately have**  $\langle ?A\ ?t = ?B\ ?t \rangle$   
**by** (rule bounded-clinear-eq-on-closure)  
**then show**  $\langle A\ t = B\ t \rangle$   
**by** (simp add: to-conjugate-space-inverse)  
**qed**

**lemma** to-conjugate-space-0[simp]:  $\langle \text{to-conjugate-space } 0 = 0 \rangle$   
**by** (simp add: zero-conjugate-space.abs-eq)

**lemma** from-conjugate-space-0[simp]:  $\langle \text{from-conjugate-space } 0 = 0 \rangle$   
**using** zero-conjugate-space.rep-eq **by** blast

**lemma** antilinear-eq-0-on-span:  
**assumes**  $\langle \text{antilinear } f \rangle$   
**and**  $\langle \bigwedge x. x \in b \implies f\ x = 0 \rangle$   
**and**  $\langle x \in \text{cspan } b \rangle$   
**shows**  $\langle f\ x = 0 \rangle$   
**proof** –  
**from** assms(1)  
**have**  $\langle \text{clinear } (\lambda x. \text{to-conjugate-space } (f\ x)) \rangle$   
**apply** (rule antilinear-o-antilinear[unfolded o-def])  
**by** simp  
**then have**  $\langle \text{to-conjugate-space } (f\ x) = 0 \rangle$   
**apply** (rule complex-vector.linear-eq-0-on-span)  
**using** assms **by** auto  
**then have**  $\langle \text{from-conjugate-space } (\text{to-conjugate-space } (f\ x)) = 0 \rangle$   
**by** simp  
**then show** ?thesis  
**by** (simp add: to-conjugate-space-inverse)  
**qed**

## 7.8 Separating sets

**lemma** separating-set-bounded-clinear-dense:  
**assumes**  $\langle \text{ccspan } S = \top \rangle$   
**shows**  $\langle \text{separating-set bounded-clinear } S \rangle$   
**unfolding** separating-set-def  
**apply** (intro allI impI ext, rule bounded-clinear-eq-on-closure[where  $G=S$ ])

by (use assms ccspan.rep-eq top-ccsubspace.rep-eq in force)+

**lemma** *separating-set-bounded-cbilinear-nested*:

assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e :: \text{complex-normed-vector}) \Rightarrow -) ((\lambda(x, y). h \ x \ y) \text{ ' } (UNIV \times UNIV)) \rangle$

assumes  $\langle \text{bounded-cbilinear } h \rangle$

assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e) \Rightarrow -) A \rangle$

assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e) \Rightarrow -) B \rangle$

shows  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e) \Rightarrow -) ((\lambda(x, y). h \ x \ y) \text{ ' } (A \times B)) \rangle$

**proof** (rule separating-setI)

fix  $f \ g :: 'a \Rightarrow 'e$

assume  $[simp]: \langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$

have  $[simp]: \langle \text{bounded-clinear } (\lambda x. f \ (h \ x \ y)) \rangle$  **for**  $y$

  apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } f \rangle$ ])

  using assms(2) **by** (rule bounded-cbilinear.bounded-clinear-left)

have  $[simp]: \langle \text{bounded-clinear } (\lambda x. g \ (h \ x \ y)) \rangle$  **for**  $y$

  apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } g \rangle$ ])

  using assms(2) **by** (rule bounded-cbilinear.bounded-clinear-left)

have  $[simp]: \langle \text{bounded-clinear } (\lambda y. f \ (h \ x \ y)) \rangle$  **for**  $x$

  apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } f \rangle$ ])

  using assms(2) **by** (rule bounded-cbilinear.bounded-clinear-right)

have  $[simp]: \langle \text{bounded-clinear } (\lambda y. g \ (h \ x \ y)) \rangle$  **for**  $x$

  apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } g \rangle$ ])

  using assms(2) **by** (rule bounded-cbilinear.bounded-clinear-right)

assume  $\langle z \in (\lambda(x, y). h \ x \ y) \text{ ' } (A \times B) \implies f \ z = g \ z \rangle$  **for**  $z$

**then have**  $\langle f \ (h \ x \ y) = g \ (h \ x \ y) \rangle$  **if**  $\langle x \in A \rangle$  **and**  $\langle y \in B \rangle$  **for**  $x \ y$

**using that by auto**

**then have**  $\langle (\lambda x. f \ (h \ x \ y)) = (\lambda x. g \ (h \ x \ y)) \rangle$  **if**  $\langle y \in B \rangle$  **for**  $y$

**by** (intro eq-from-separatingI[OF assms(3)]) (use that in auto)

**then have**  $\langle (\lambda y. f \ (h \ x \ y)) = (\lambda y. g \ (h \ x \ y)) \rangle$  **for**  $x$

**apply** (intro eq-from-separatingI[OF assms(4)])

**subgoal by simp**

**subgoal by simp**

**subgoal by meson**

**done**

**then have**  $\langle f \ (h \ x \ y) = g \ (h \ x \ y) \rangle$  **for**  $x \ y$

**by meson**

**with**  $\langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$

**show**  $\langle f = g \rangle$

**by** (rule eq-from-separatingI2[**where**  $f=f$  **and**  $g=g$  **and**  $P=\text{bounded-clinear}$  **and**  $S=UNIV$  **and**  $T=UNIV$ , rotated 1])

  (fact assms(1))

**qed**

**lemma** *separating-set-bounded-clinear-antilinear*:

assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e :: \text{complex-normed-vector con-}$

$\text{jugate-space} \Rightarrow -) A$   
**shows**  $\langle \text{separating-set } (\text{bounded-antilinear} :: (- \Rightarrow 'e) \Rightarrow -) A \rangle$   
**proof** (rule separating-setI)  
 fix  $f g :: \langle 'a \Rightarrow 'e \rangle$   
 assume  $\langle \text{bounded-antilinear } f \rangle$   
 then have  $\text{lin-}f: \langle \text{bounded-clinear } (\text{to-conjugate-space } o f) \rangle$   
 by (simp add: bounded-antilinear-o-bounded-antilinear')  
 assume  $\langle \text{bounded-antilinear } g \rangle$   
 then have  $\text{lin-}g: \langle \text{bounded-clinear } (\text{to-conjugate-space } o g) \rangle$   
 by (simp add: bounded-antilinear-o-bounded-antilinear')  
 assume  $\langle f x = g x \rangle$  if  $\langle x \in A \rangle$  for  $x$   
 then have  $\langle (\text{to-conjugate-space } o f) x = (\text{to-conjugate-space } o g) x \rangle$  if  $\langle x \in A \rangle$   
 for  $x$   
 by (simp add: that)  
 with  $\text{lin-}f \text{ lin-}g$   
 have  $\langle \text{to-conjugate-space } o f = \text{to-conjugate-space } o g \rangle$   
 by (rule eq-from-separatingI[OF assms])  
 then show  $\langle f = g \rangle$   
 by (metis UNIV-I fun.inj-map-strong to-conjugate-space-inverse)  
 qed

**lemma separating-set-bounded-sesquilinear-nested:**  
 assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e :: \text{complex-normed-vector}) \Rightarrow -) ((\lambda(x, y). h x y) ' (UNIV \times UNIV)) \rangle$   
 assumes  $\langle \text{bounded-sesquilinear } h \rangle$   
 assumes  $\text{sep-A}: \langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e \text{ conjugate-space}) \Rightarrow -) A \rangle$   
 assumes  $\text{sep-B}: \langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e) \Rightarrow -) B \rangle$   
 shows  $\langle \text{separating-set } (\text{bounded-clinear} :: (- \Rightarrow 'e) \Rightarrow -) ((\lambda(x, y). h x y) ' (A \times B)) \rangle$   
**proof** (rule separating-setI)  
 fix  $f g :: \langle 'a \Rightarrow 'e \rangle$   
 assume [simp]:  $\langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$   
 have [simp]:  $\langle \text{bounded-antilinear } (\lambda x. f (h x y)) \rangle$  for  $y$   
 apply (rule bounded-clinear-o-bounded-antilinear[OF  $\langle \text{bounded-clinear } f \rangle$ ])  
 using assms(2) by (rule bounded-sesquilinear.bounded-antilinear-left)  
 have [simp]:  $\langle \text{bounded-antilinear } (\lambda x. g (h x y)) \rangle$  for  $y$   
 apply (rule bounded-clinear-o-bounded-antilinear[OF  $\langle \text{bounded-clinear } g \rangle$ ])  
 using assms(2) by (rule bounded-sesquilinear.bounded-antilinear-left)  
 have [simp]:  $\langle \text{bounded-clinear } (\lambda y. f (h x y)) \rangle$  for  $x$   
 apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } f \rangle$ ])  
 using assms(2) by (rule bounded-sesquilinear.bounded-clinear-right)  
 have [simp]:  $\langle \text{bounded-clinear } (\lambda y. g (h x y)) \rangle$  for  $x$   
 apply (rule bounded-clinear-compose[OF  $\langle \text{bounded-clinear } g \rangle$ ])  
 using assms(2) by (rule bounded-sesquilinear.bounded-clinear-right)  
  
 from  $\text{sep-A}$  have  $\text{sep-A}': \langle \text{separating-set } (\text{bounded-antilinear} :: (- \Rightarrow 'e) \Rightarrow -) A \rangle$   
 by (rule separating-set-bounded-clinear-antilinear)

```

assume  $\langle z \in (\lambda(x, y). h\ x\ y) \text{ ' } (A \times B) \implies f\ z = g\ z \rangle$  for  $z$ 
then have  $\langle f\ (h\ x\ y) = g\ (h\ x\ y) \rangle$  if  $\langle x \in A \rangle$  and  $\langle y \in B \rangle$  for  $x\ y$ 
  using that by auto
then have  $\langle (\lambda x. f\ (h\ x\ y)) = (\lambda x. g\ (h\ x\ y)) \rangle$  if  $\langle y \in B \rangle$  for  $y$ 
  by (intro eq-from-separatingI[OF sep-A']) (use that in auto)
then have  $\langle (\lambda y. f\ (h\ x\ y)) = (\lambda y. g\ (h\ x\ y)) \rangle$  for  $x$ 
  apply (intro eq-from-separatingI[OF sep-B])
  subgoal by simp
  subgoal by simp
  subgoal by meson
  done
then have  $\langle f\ (h\ x\ y) = g\ (h\ x\ y) \rangle$  for  $x\ y$ 
  by meson
with  $\langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$ 
show  $\langle f = g \rangle$ 
  by (rule eq-from-separatingI2[where f=f and g=g and P=bounded-clinear
and S=UNIV and T=UNIV, rotated 1])
  (fact assms(1))
qed

```

```

lemma separating-set-clinear-cspan:
  assumes  $\langle \text{cspan } S = \text{UNIV} \rangle$ 
  shows  $\langle \text{separating-set clinear } S \rangle$ 
  using assms
  by (auto intro: complex-vector.linear-eq-on simp: separating-set-def)

```

## 7.9 Product is a Complex Vector Space

```

instantiation prod :: (complex-vector, complex-vector) complex-vector
begin

```

```

definition scaleC-prod-def:
   $\text{scaleC } r\ A = (\text{scaleC } r\ (\text{fst } A), \text{scaleC } r\ (\text{snd } A))$ 

```

```

lemma fst-scaleC [simp]:  $\text{fst } (\text{scaleC } r\ A) = \text{scaleC } r\ (\text{fst } A)$ 
  unfolding scaleC-prod-def by simp

```

```

lemma snd-scaleC [simp]:  $\text{snd } (\text{scaleC } r\ A) = \text{scaleC } r\ (\text{snd } A)$ 
  unfolding scaleC-prod-def by simp

```

```

proposition scaleC-Pair [simp]:  $\text{scaleC } r\ (a, b) = (\text{scaleC } r\ a, \text{scaleC } r\ b)$ 
  unfolding scaleC-prod-def by simp

```

```

instance

```

```

proof

```

```

  fix  $a\ b :: \text{complex}$  and  $x\ y :: 'a \times 'b$ 
  show  $\text{scaleC } a\ (x + y) = \text{scaleC } a\ x + \text{scaleC } a\ y$ 
  by (simp add: scaleC-add-right scaleC-prod-def)

```

```

show scaleC (a + b) x = scaleC a x + scaleC b x
  by (simp add: Complex-Vector-Spaces.scaleC-prod-def scaleC-left.add)
show scaleC a (scaleC b x) = scaleC (a * b) x
  by (simp add: prod-eq-iff)
show scaleC 1 x = x
  by (simp add: prod-eq-iff)
show ⟨(scaleR :: - ⇒ - ⇒ 'a*'b) r = (*C) (complex-of-real r)⟩ for r
  by (auto intro!: ext simp: scaleR-scaleC scaleC-prod-def scaleR-prod-def)
qed

end

lemma module-prod-scale-eq-scaleC: module-prod.scale (*C) (*C) = scaleC
  apply (rule ext) apply (rule ext)
  apply (subst module-prod.scale-def)
  subgoal by unfold-locales
  by (simp add: scaleC-prod-def)

interpretation complex-vector?: vector-space-prod scaleC :: ⇒ ⇒ 'a::complex-vector
scaleC :: ⇒ ⇒ 'b::complex-vector
  rewrites scale = ((*C) :: ⇒ ⇒ ('a × 'b))
  and module.dependent (*C) = cdependent
  and module.representation (*C) = crepresentation
  and module.subspace (*C) = csubspace
  and module.span (*C) = cspan
  and vector-space.extend-basis (*C) = cextend-basis
  and vector-space.dim (*C) = cdim
  and Vector-Spaces.linear (*C) (*C) = clinear
  subgoal by unfold-locales
  subgoal by (fact module-prod-scale-eq-scaleC)
  unfolding cdependent-raw-def crepresentation-raw-def csubspace-raw-def cspan-raw-def
    cextend-basis-raw-def cdim-raw-def clinear-def
  by (rule refl)+

instance prod :: (complex-normed-vector, complex-normed-vector) complex-normed-vector

proof
  fix c :: complex and x y :: 'a × 'b
  show norm (c *C x) = cmod c * norm x
    unfolding norm-prod-def
    apply (simp add: power-mult-distrib)
    apply (simp add: distrib-left [symmetric])
    by (simp add: real-sqrt-mult)
qed

lemma cspan-Times: ⟨cspan (S × T) = cspan S × cspan T⟩ if ⟨0 ∈ S⟩ and ⟨0 ∈ T⟩
proof

```

```

have ⟨fst ‘ cspan (S × T) ⊆ cspan S⟩
  apply (subst complex-vector.linear-span-image[symmetric])
  using that complex-vector.module-hom-fst by auto
moreover have ⟨snd ‘ cspan (S × T) ⊆ cspan T⟩
  apply (subst complex-vector.linear-span-image[symmetric])
  using that complex-vector.module-hom-snd by auto
ultimately show ⟨cspan (S × T) ⊆ cspan S × cspan T⟩
  by auto

show ⟨cspan S × cspan T ⊆ cspan (S × T)⟩
proof
  fix x assume assm: ⟨x ∈ cspan S × cspan T⟩
  then have ⟨fst x ∈ cspan S⟩
    by auto
  then obtain t1 r1 where fst-x: ⟨fst x = (∑ a∈t1. r1 a *C a)⟩ and [simp]:
    ⟨finite t1⟩ and ⟨t1 ⊆ S⟩
    by (auto simp add: complex-vector.span-explicit)
  from assm
  have ⟨snd x ∈ cspan T⟩
    by auto
  then obtain t2 r2 where snd-x: ⟨snd x = (∑ a∈t2. r2 a *C a)⟩ and [simp]:
    ⟨finite t2⟩ and ⟨t2 ⊆ T⟩
    by (auto simp add: complex-vector.span-explicit)
  define t :: ⟨('a+'b) set⟩ and r :: ⟨('a+'b) ⇒ complex⟩ and f :: ⟨('a+'b) ⇒
    ('a×'b)⟩
    where ⟨t = t1 <+> t2⟩
    and ⟨r a = (case a of Inl a1 ⇒ r1 a1 | Inr a2 ⇒ r2 a2)⟩
    and ⟨f a = (case a of Inl a1 ⇒ (a1,0) | Inr a2 ⇒ (0,a2))⟩
  for a
  have ⟨finite t⟩
    by (simp add: t-def)
  moreover have ⟨f ‘ t ⊆ S × T⟩
    using ⟨t1 ⊆ S⟩ ⟨t2 ⊆ T⟩ that
    by (auto simp: f-def t-def)
  moreover have ⟨(fst x, snd x) = (∑ a∈t. r a *C f a)⟩
    apply (simp only: fst-x snd-x)
    by (auto simp: t-def sum.Plus r-def f-def sum-prod)
  ultimately show ⟨x ∈ cspan (S × T)⟩
    apply auto
    by (smt (verit, best) complex-vector.span-scale complex-vector.span-sum com-
      plex-vector.span-superset image-subset-iff subset-iff)
  qed
qed

lemma onorm-case-prod-plus: ⟨onorm (case-prod plus :: - ⇒ 'a::{\real-normed-vector,
  not-singleton}) = sqrt 2⟩
proof -
  obtain x :: 'a where ⟨x ≠ 0⟩
  apply atomize-elim by auto

```

```

show ?thesis
  apply (rule onormI[where x= $\langle x, x \rangle$ ])
  using norm-plus-leq-norm-prod apply force
  using  $\langle x \neq 0 \rangle$ 
  by (auto simp add: zero-prod-def norm-prod-def real-sqrt-mult
      simp flip: scaleR-2)
qed

```

## 7.10 Copying existing theorems into sublocales

```

context bounded-clinear begin
interpretation bounded-linear f by (rule bounded-linear)
lemmas continuous = real.continuous
lemmas uniform-limit = real.uniform-limit
lemmas Cauchy = real.Cauchy
end

```

```

context bounded-antilinear begin
interpretation bounded-linear f by (rule bounded-linear)
lemmas continuous = real.continuous
lemmas uniform-limit = real.uniform-limit
end

```

```

context bounded-cbilinear begin
interpretation bounded-bilinear prod by simp
lemmas tendsto = real.tendsto
lemmas isCont = real.isCont
lemmas scaleR-right = real.scaleR-right
lemmas scaleR-left = real.scaleR-left
end

```

```

context bounded-sesquilinear begin
interpretation bounded-bilinear prod by simp
lemmas tendsto = real.tendsto
lemmas isCont = real.isCont
lemmas scaleR-right = real.scaleR-right
lemmas scaleR-left = real.scaleR-left
end

```

```

lemmas tendsto-scaleC [tendsto-intros] =
  bounded-cbilinear.tendsto [OF bounded-cbilinear-scaleC]

```

```

unbundle no lattice-syntax

```

```

end

```

## 8 *Complex-Inner-Product0* – Inner Product Spaces and Gradient Derivative

```

theory Complex-Inner-Product0
  imports
    Complex-Main Complex-Vector-Spaces
    HOL-Analysis.Inner-Product
    Complex-Bounded-Operators.Extra-Ordered-Fields
begin

8.1 Complex inner product spaces

Temporarily relax type constraints for open, uniformity, dist, and norm.

setup  $\langle \text{Sign.add-const-constraint}$ 
   $(\text{const-name } \langle \text{open} \rangle, \text{SOME } \text{typ } \langle 'a::\text{open set} \Rightarrow \text{bool} \rangle) \rangle$ 

setup  $\langle \text{Sign.add-const-constraint}$ 
   $(\text{const-name } \langle \text{dist} \rangle, \text{SOME } \text{typ } \langle 'a::\text{dist} \Rightarrow 'a \Rightarrow \text{real} \rangle) \rangle$ 

setup  $\langle \text{Sign.add-const-constraint}$ 
   $(\text{const-name } \langle \text{uniformity} \rangle, \text{SOME } \text{typ } \langle ('a::\text{uniformity} \times 'a) \text{ filter} \rangle) \rangle$ 

setup  $\langle \text{Sign.add-const-constraint}$ 
   $(\text{const-name } \langle \text{norm} \rangle, \text{SOME } \text{typ } \langle 'a::\text{norm} \Rightarrow \text{real} \rangle) \rangle$ 

class complex-inner = complex-vector + sgn-div-norm + dist-norm + uniformity-dist + open-uniformity +
  fixes cinner ::  $'a \Rightarrow 'a \Rightarrow \text{complex}$ 
  assumes cinner-commute:  $\text{cinner } x \ y = \text{cnj } (\text{cinner } y \ x)$ 
    and cinner-add-left:  $\text{cinner } (x + y) \ z = \text{cinner } x \ z + \text{cinner } y \ z$ 
    and cinner-scaleC-left [simp]:  $\text{cinner } (\text{scaleC } r \ x) \ y = (\text{cnj } r) * (\text{cinner } x \ y)$ 
    and cinner-ge-zero [simp]:  $0 \leq \text{cinner } x \ x$ 
    and cinner-eq-zero-iff [simp]:  $\text{cinner } x \ x = 0 \longleftrightarrow x = 0$ 
    and norm-eq-sqrt-cinner:  $\text{norm } x = \text{sqrt } (\text{cmod } (\text{cinner } x \ x))$ 
begin

lemma cinner-zero-left [simp]:  $\text{cinner } 0 \ x = 0$ 
  using cinner-add-left [of  $0 \ 0 \ x$ ] by simp

lemma cinner-minus-left [simp]:  $\text{cinner } (- \ x) \ y = - \ \text{cinner } x \ y$ 
  using cinner-add-left [of  $x - x \ y$ ]
  by (simp add: group-add-class.add-eq-0-iff)

lemma cinner-diff-left:  $\text{cinner } (x - y) \ z = \text{cinner } x \ z - \text{cinner } y \ z$ 
  using cinner-add-left [of  $x - y \ z$ ] by simp

lemma cinner-sum-left:  $\text{cinner } (\sum_{x \in A} f \ x) \ y = (\sum_{x \in A} \text{cinner } (f \ x) \ y)$ 
  by (cases finite A, induct set: finite, simp-all add: cinner-add-left)

```

**lemma** *call-zero-iff* [*simp*]:  $(\forall u. \text{cinner } x \ u = 0) \longleftrightarrow (x = 0)$   
**by** *auto* (*use cinner-eq-zero-iff in blast*)

Transfer distributivity rules to right argument.

**lemma** *cinner-add-right*:  $\text{cinner } x \ (y + z) = \text{cinner } x \ y + \text{cinner } x \ z$   
**using** *cinner-add-left* [*of y z x*]  
**by** (*metis complex-cnj-add local.cinner-commute*)

**lemma** *cinner-scaleC-right* [*simp*]:  $\text{cinner } x \ (\text{scaleC } r \ y) = r * (\text{cinner } x \ y)$   
**using** *cinner-scaleC-left* [*of r y x*]  
**by** (*metis complex-cnj-cnj complex-cnj-mult local.cinner-commute*)

**lemma** *cinner-zero-right* [*simp*]:  $\text{cinner } x \ 0 = 0$   
**using** *cinner-zero-left* [*of x*]  
**by** (*metis (mono-tags, opaque-lifting) complex-cnj-zero local.cinner-commute*)

**lemma** *cinner-minus-right* [*simp*]:  $\text{cinner } x \ (-y) = - \text{cinner } x \ y$   
**using** *cinner-minus-left* [*of y x*]  
**by** (*metis complex-cnj-minus local.cinner-commute*)

**lemma** *cinner-diff-right*:  $\text{cinner } x \ (y - z) = \text{cinner } x \ y - \text{cinner } x \ z$   
**using** *cinner-diff-left* [*of y z x*]  
**by** (*metis complex-cnj-diff local.cinner-commute*)

**lemma** *cinner-sum-right*:  $\text{cinner } x \ (\sum y \in A. f \ y) = (\sum y \in A. \text{cinner } x \ (f \ y))$

**proof** (*subst cinner-commute*)

**have**  $(\sum y \in A. \text{cinner } (f \ y) \ x) = (\sum y \in A. \text{cinner } (f \ y) \ x)$   
**by** *blast*

**hence**  $\text{cnj } (\sum y \in A. \text{cinner } (f \ y) \ x) = \text{cnj } (\sum y \in A. (\text{cinner } (f \ y) \ x))$   
**by** *simp*

**hence**  $\text{cnj } (\text{cinner } (\text{sum } f \ A) \ x) = (\sum y \in A. \text{cnj } (\text{cinner } (f \ y) \ x))$   
**by** (*simp add: cinner-sum-left*)

**thus**  $\text{cnj } (\text{cinner } (\text{sum } f \ A) \ x) = (\sum y \in A. (\text{cinner } x \ (f \ y)))$   
**by** (*subst (2) cinner-commute*)

**qed**

**lemmas** *cinner-add* [*algebra-simps*] = *cinner-add-left cinner-add-right*

**lemmas** *cinner-diff* [*algebra-simps*] = *cinner-diff-left cinner-diff-right*

**lemmas** *cinner-scaleC* = *cinner-scaleC-left cinner-scaleC-right*

**lemma** *cinner-gt-zero-iff* [*simp*]:  $0 < \text{cinner } x \ x \longleftrightarrow x \neq 0$

**by** (*smt (verit) less-irrefl local.cinner-eq-zero-iff local.cinner-ge-zero order.not-eq-order-implies-strict*)

**lemma** *power2-norm-eq-cinner*:

**shows**  $(\text{complex-of-real } (\text{norm } x))^2 = (\text{cinner } x \ x)$   
**by** (*smt (verit, del-insts) Im-complex-of-real Re-complex-of-real cinner-gt-zero-iff*  
*cinner-zero-right cmod-def complex-eq-0 complex-eq-iff less-complex-def local.norm-eq-sqrt-cinner*  
*of-real-power real-sqrt-abs real-sqrt-pow2-iff zero-complex.sel(1)*)

**lemma** *power2-norm-eq-cinner'*:  
**shows**  $(\text{norm } x)^2 = \text{Re } (\text{cinner } x \ x)$   
**by** (*metis Re-complex-of-real of-real-power power2-norm-eq-cinner*)

Identities involving real multiplication and division.

**lemma** *cinner-mult-left*:  $\text{cinner } (\text{of-complex } m * a) \ b = \text{cnj } m * (\text{cinner } a \ b)$   
**by** (*simp add: of-complex-def*)

**lemma** *cinner-mult-right*:  $\text{cinner } a \ (\text{of-complex } m * b) = m * (\text{cinner } a \ b)$   
**by** (*metis complex-inner-class.cinner-scaleC-right scaleC-conv-of-complex*)

**lemma** *cinner-mult-left'*:  $\text{cinner } (a * \text{of-complex } m) \ b = \text{cnj } m * (\text{cinner } a \ b)$   
**by** (*metis cinner-mult-left mult.right-neutral mult-scaleC-right scaleC-conv-of-complex*)

**lemma** *cinner-mult-right'*:  $\text{cinner } a \ (b * \text{of-complex } m) = (\text{cinner } a \ b) * m$   
**by** (*simp add: complex-inner-class.cinner-scaleC-right of-complex-def*)

**lemma** *Cauchy-Schwarz-ineq*:  
 $(\text{cinner } x \ y) * (\text{cinner } y \ x) \leq \text{cinner } x \ x * \text{cinner } y \ y$   
**proof** (*cases*)  
**assume**  $y = 0$   
**thus** *?thesis* **by** *simp*  
**next**  
**assume**  $y \neq 0$   
**have** [*simp*]:  $\text{cnj } (\text{cinner } y \ y) = \text{cinner } y \ y$  **for**  $y$   
**by** (*metis cinner-commute*)  
**define**  $r$  **where**  $r = \text{cnj } (\text{cinner } x \ y) / \text{cinner } y \ y$   
**have**  $0 \leq \text{cinner } (x - \text{scaleC } r \ y) \ (x - \text{scaleC } r \ y)$   
**by** (*rule cinner-ge-zero*)  
**also have**  $\dots = \text{cinner } x \ x - r * \text{cinner } x \ y - \text{cnj } r * \text{cinner } y \ x + r * \text{cnj } r * \text{cinner } y \ y$   
**unfolding** *cinner-diff-left cinner-diff-right cinner-scaleC-left cinner-scaleC-right*  
**by** (*smt (z3) cancel-comm-monoid-add-class.diff-cancel cancel-comm-monoid-add-class.diff-zero*  
*complex-cnj-divide group-add-class.diff-add-cancel local.cinner-commute local.cinner-eq-zero-iff*  
*local.cinner-scaleC-left mult.assoc mult.commute mult-eq-0-iff nonzero-eq-divide-eq*  
*r-def y*)  
**also have**  $\dots = \text{cinner } x \ x - \text{cinner } x \ y * \text{cnj } r$   
**unfolding** *r-def* **by** *auto*  
**also have**  $\dots = \text{cinner } x \ x - \text{cinner } x \ y * \text{cnj } (\text{cinner } x \ y) / \text{cinner } y \ y$   
**unfolding** *r-def*  
**by** (*metis complex-cnj-divide local.cinner-commute mult.commute times-divide-eq-left*)  
**finally have**  $0 \leq \text{cinner } x \ x - \text{cinner } x \ y * \text{cnj } (\text{cinner } x \ y) / \text{cinner } y \ y$ .

hence  $\text{cinner } x \ y * \text{cnj } (\text{cinner } x \ y) / \text{cinner } y \ y \leq \text{cinner } x \ x$   
 by (simp add: le-diff-eq)  
 thus  $\text{cinner } x \ y * \text{cinner } y \ x \leq \text{cinner } x \ x * \text{cinner } y \ y$   
 by (metis cinner-gt-zero-iff local.cinner-commute nice-ordered-field-class.pos-divide-le-eq  
 y)  
 qed

**lemma** *Cauchy-Schwarz-ineq2*:  
 shows  $\text{norm } (\text{cinner } x \ y) \leq \text{norm } x * \text{norm } y$   
**proof** (rule power2-le-imp-le)  
 have  $(\text{norm } (\text{cinner } x \ y))^2 = \text{Re } (\text{cinner } x \ y * \text{cinner } y \ x)$   
 by (metis (full-types) Re-complex-of-real complex-norm-square local.cinner-commute)  
 also have  $\dots \leq \text{Re } (\text{cinner } x \ x * \text{cinner } y \ y)$   
 using *Cauchy-Schwarz-ineq* by (rule Re-mono)  
 also have  $\dots = \text{Re } (\text{complex-of-real } ((\text{norm } x)^2) * \text{complex-of-real } ((\text{norm } y)^2))$   
 by (simp add: power2-norm-eq-cinner)  
 also have  $\dots = (\text{norm } x * \text{norm } y)^2$   
 by (simp add: power-mult-distrib)  
 finally show  $(\text{cmod } (\text{cinner } x \ y))^2 \leq (\text{norm } x * \text{norm } y)^2$  .  
 show  $0 \leq \text{norm } x * \text{norm } y$   
 by (simp add: local.norm-eq-sqrt-cinner)  
 qed

**subclass** *complex-normed-vector*  
**proof**  
 fix  $a :: \text{complex}$  and  $r :: \text{real}$  and  $x \ y :: 'a$   
 show  $\text{norm } x = 0 \longleftrightarrow x = 0$   
 unfolding *norm-eq-sqrt-cinner* by simp  
 show  $\text{norm } (x + y) \leq \text{norm } x + \text{norm } y$   
**proof** (rule power2-le-imp-le)  
 have  $\text{Re } (\text{cinner } x \ y) \leq \text{cmod } (\text{cinner } x \ y)$   
 if  $\bigwedge x. \text{Re } x \leq \text{cmod } x$  and  
 $\bigwedge x \ y. x \leq y \implies \text{complex-of-real } x \leq \text{complex-of-real } y$   
 using *that* by simp  
 hence  $a1: 2 * \text{Re } (\text{cinner } x \ y) \leq 2 * \text{cmod } (\text{cinner } x \ y)$   
 if  $\bigwedge x. \text{Re } x \leq \text{cmod } x$  and  
 $\bigwedge x \ y. x \leq y \implies \text{complex-of-real } x \leq \text{complex-of-real } y$   
 using *that* by simp  
 have  $\text{cinner } x \ y + \text{cinner } y \ x = \text{complex-of-real } (2 * \text{Re } (\text{cinner } x \ y))$   
 by (metis complex-add-cn timer.cinner-commute)  
 also have  $\dots \leq \text{complex-of-real } (2 * \text{cmod } (\text{cinner } x \ y))$   
 using *complex-Re-le-cmod* *complex-of-real-mono* a1  
 by blast  
 also have  $\dots = 2 * \text{abs } (\text{cinner } x \ y)$

```

    unfolding abs-complex-def by simp
    also have ... ≤ 2 * complex-of-real (norm x) * complex-of-real (norm y)
    using Cauchy-Schwarz-ineq2 unfolding abs-complex-def less-eq-complex-def
  by auto
    finally have xyx: cinner x y + cinner y x ≤ complex-of-real (2 * norm x *
norm y)
    by auto
    have complex-of-real ((norm (x + y))2) = cinner (x+y) (x+y)
    by (simp add: power2-norm-eq-cinner)
    also have ... = cinner x x + cinner x y + cinner y x + cinner y y
    by (simp add: cinner-add)
    also have ... = complex-of-real ((norm x)2) + complex-of-real ((norm y)2) +
cinner x y + cinner y x
    by (simp add: power2-norm-eq-cinner)
    also have ... ≤ complex-of-real ((norm x)2) + complex-of-real ((norm y)2) +
complex-of-real (2 * norm x * norm y)
    using xyx by auto
    also have ... = complex-of-real ((norm x + norm y)2)
    unfolding power2-sum by auto
    finally show (norm (x + y))2 ≤ (norm x + norm y)2
    using complex-of-real-mono-iff by blast
    show 0 ≤ norm x + norm y
    unfolding norm-eq-sqrt-cinner by simp
  qed
show norm-scaleC: norm (a *C x) = cmod a * norm x for a
proof (rule power2-eq-imp-eq)
  show (norm (a *C x))2 = (cmod a * norm x)2
  by (simp-all add: norm-eq-sqrt-cinner norm-mult power2-eq-square)
  show 0 ≤ norm (a *C x)
  by (simp-all add: norm-eq-sqrt-cinner)
  show 0 ≤ cmod a * norm x
  by (simp-all add: norm-eq-sqrt-cinner)
qed
show norm (r *R x) = |r| * norm x
  unfolding scaleR-scaleC norm-scaleC by auto
qed
end

```

```

lemma csquare-continuous:
  fixes e :: real
  shows e > 0 ⟹ ∃ d. 0 < d ∧ (∀ y. cmod (y - x) < d ⟹ cmod (y * y - x *
x) < e)
  using isCont-power[OF continuous-ident, of x, unfolded isCont-def LIM-eq, rule-format,
of e 2]
  by (force simp add: power2-eq-square)

```

**lemma** *cnorm-le*:  $\text{norm } x \leq \text{norm } y \longleftrightarrow \text{cinner } x \ x \leq \text{cinner } y \ y$   
**by** (*smt (verit) complex-of-real-mono-iff norm-eq-sqrt-cinner norm-ge-zero of-real-power power2-norm-eq-cinner real-sqrt-le-mono real-sqrt-pow2*)

**lemma** *cnorm-lt*:  $\text{norm } x < \text{norm } y \longleftrightarrow \text{cinner } x \ x < \text{cinner } y \ y$   
**by** (*meson cnorm-le less-le-not-le*)

**lemma** *cnorm-eq*:  $\text{norm } x = \text{norm } y \longleftrightarrow \text{cinner } x \ x = \text{cinner } y \ y$   
**by** (*metis norm-eq-sqrt-cinner power2-norm-eq-cinner*)

**lemma** *cnorm-eq-1*:  $\text{norm } x = 1 \longleftrightarrow \text{cinner } x \ x = 1$   
**by** (*metis cinner-ge-zero cmod-Re norm-eq-sqrt-cinner norm-one of-real-1 of-real-power power2-norm-eq-cinner power2-norm-eq-cinner' real-sqrt-eq-iff real-sqrt-one*)

**lemma** *cinner-divide-left*:  
**fixes**  $a :: 'a :: \{\text{complex-inner}, \text{complex-div-algebra}\}$   
**shows**  $\text{cinner } a \ (b / \text{of-complex } m) = (\text{cinner } a \ b) / \text{cnj } m$   
**by** (*metis cinner-mult-left' complex-cnj-inverse divide-inverse mult.commute of-complex-inverse*)

**lemma** *cinner-divide-right*:  
**fixes**  $a :: 'a :: \{\text{complex-inner}, \text{complex-div-algebra}\}$   
**shows**  $\text{cinner } a \ (b / \text{of-complex } m) = (\text{cinner } a \ b) / m$   
**by** (*metis cinner-mult-right' divide-inverse of-complex-inverse*)

Re-enable constraints for *open*, *uniformity*, *dist*, and *norm*.

**setup**  $\langle \text{Sign.add-const-constraint}$   
 $(\text{const-name } \langle \text{open} \rangle, \text{SOME } \text{typ } \langle 'a :: \text{topological-space set} \Rightarrow \text{bool} \rangle) \rangle$

**setup**  $\langle \text{Sign.add-const-constraint}$   
 $(\text{const-name } \langle \text{uniformity} \rangle, \text{SOME } \text{typ } \langle ('a :: \text{uniform-space} \times 'a) \text{ filter} \rangle) \rangle$

**setup**  $\langle \text{Sign.add-const-constraint}$   
 $(\text{const-name } \langle \text{dist} \rangle, \text{SOME } \text{typ } \langle 'a :: \text{metric-space} \Rightarrow 'a \Rightarrow \text{real} \rangle) \rangle$

**setup**  $\langle \text{Sign.add-const-constraint}$   
 $(\text{const-name } \langle \text{norm} \rangle, \text{SOME } \text{typ } \langle 'a :: \text{real-normed-vector} \Rightarrow \text{real} \rangle) \rangle$

**lemma** *bounded-sesquilinear-cinner*:  
 $\text{bounded-sesquilinear } (\text{cinner} :: 'a :: \text{complex-inner} \Rightarrow 'a \Rightarrow \text{complex})$   
**proof**

**fix**  $x \ y \ z :: 'a$  **and**  $r :: \text{complex}$   
**show**  $\text{cinner } (x + y) \ z = \text{cinner } x \ z + \text{cinner } y \ z$   
**by** (*rule cinner-add-left*)  
**show**  $\text{cinner } x \ (y + z) = \text{cinner } x \ y + \text{cinner } x \ z$   
**by** (*rule cinner-add-right*)  
**show**  $\text{cinner } (\text{scaleC } r \ x) \ y = \text{scaleC } (\text{cnj } r) (\text{cinner } x \ y)$   
**unfolding** *complex-scaleC-def* **by** (*rule cinner-scaleC-left*)

```

show cinner x (scaleC r y) = scaleC r (cinner x y)
  unfolding complex-scaleC-def by (rule cinner-scaleC-right)
have  $\forall x y :: 'a. \text{norm } (\text{cinner } x \ y) \leq \text{norm } x * \text{norm } y * 1$ 
  by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)
thus  $\exists K. \forall x y :: 'a. \text{norm } (\text{cinner } x \ y) \leq \text{norm } x * \text{norm } y * K$ 
  by metis
qed

```

```

lemmas tendsto-cinner [tendsto-intros] =
  bounded-bilinear.tendsto [OF bounded-sesquilinear-cinner [THEN bounded-sesquilinear.bounded-bilinear]]

```

```

lemmas isCont-cinner [simp] =
  bounded-bilinear.isCont [OF bounded-sesquilinear-cinner [THEN bounded-sesquilinear.bounded-bilinear]]

```

```

lemmas has-derivative-cinner [derivative-intros] =
  bounded-bilinear.FDERIV [OF bounded-sesquilinear-cinner [THEN bounded-sesquilinear.bounded-bilinear]]

```

```

lemmas bounded-antilinear-cinner-left =
  bounded-sesquilinear.bounded-antilinear-left [OF bounded-sesquilinear-cinner]

```

```

lemmas bounded-clinear-cinner-right =
  bounded-sesquilinear.bounded-clinear-right [OF bounded-sesquilinear-cinner]

```

```

lemmas bounded-antilinear-cinner-left-comp = bounded-antilinear-cinner-left [THEN
  bounded-antilinear-o-bounded-clinear]

```

```

lemmas bounded-clinear-cinner-right-comp = bounded-clinear-cinner-right [THEN
  bounded-clinear-compose]

```

```

lemmas has-derivative-cinner-right [derivative-intros] =
  bounded-linear.has-derivative [OF bounded-clinear-cinner-right [THEN bounded-clinear.bounded-linear]]

```

```

lemmas has-derivative-cinner-left [derivative-intros] =
  bounded-linear.has-derivative [OF bounded-antilinear-cinner-left [THEN bounded-antilinear.bounded-linear]]

```

```

lemma differentiable-cinner [simp]:
  f differentiable (at x within s)  $\implies$  g differentiable at x within s  $\implies$  ( $\lambda x. \text{cinner}$ 
  (f x) (g x)) differentiable at x within s
  unfolding differentiable-def by (blast intro: has-derivative-cinner)

```

## 8.2 Class instances

```

instantiation complex :: complex-inner
begin

```

```

definition cinner-complex-def [simp]: cinner x y = cnj x * y

```

```

instance
proof

```

```

fix x y z r :: complex
show cinner x y = cnj (cinner y x)
  unfolding cinner-complex-def by auto
show cinner (x + y) z = cinner x z + cinner y z
  unfolding cinner-complex-def
  by (simp add: ring-class.ring-distrib(2))
show cinner (scaleC r x) y = cnj r * cinner x y
  unfolding cinner-complex-def complex-scaleC-def by simp
show 0 ≤ cinner x x
  by simp
show cinner x x = 0 ⟷ x = 0
  unfolding cinner-complex-def by simp
have cmod (Complex x1 x2) = sqrt (cmod (cinner (Complex x1 x2) (Complex
x1 x2)))
  for x1 x2
  unfolding cinner-complex-def complex-cnj complex-mult complex-norm
  by (simp add: power2-eq-square)
thus norm x = sqrt (cmod (cinner x x))
  by (cases x, hypsubst-thin)
qed

end

```

```

lemma
  shows complex-inner-1-left[simp]: cinner 1 x = x
  and complex-inner-1-right[simp]: cinner x 1 = cnj x
  by simp-all

```

```

lemma cdot-square-norm: cinner x x = complex-of-real ((norm x)2)
  by (metis Im-complex-of-real Re-complex-of-real cinner-ge-zero complex-eq-iff less-eq-complex-def
power2-norm-eq-cinner' zero-complex.simps(2))

```

```

lemma cnorm-eq-square: norm x = a ⟷ 0 ≤ a ∧ cinner x x = complex-of-real
(a2)
  by (metis cdot-square-norm norm-ge-zero of-real-eq-iff power2-eq-iff-nonneg)

```

```

lemma cnorm-le-square: norm x ≤ a ⟷ 0 ≤ a ∧ cinner x x ≤ complex-of-real
(a2)
  by (smt (verit) cdot-square-norm complex-of-real-mono-iff norm-ge-zero power2-le-imp-le)

```

```

lemma cnorm-ge-square: norm x ≥ a ⟷ a ≤ 0 ∨ cinner x x ≥ complex-of-real
(a2)
  by (smt (verit, best) antisym-conv cnorm-eq-square cnorm-le-square complex-of-real-nn-iff
nn-comparable zero-le-power2)

```

```

lemma norm-lt-square: norm x < a ⟷ 0 < a ∧ cinner x x < complex-of-real
(a2)

```

**by** (*meson cnorm-ge-square cnorm-le-square less-le-not-le*)

**lemma** *norm-gt-square*:  $\text{norm } x > a \iff a < 0 \vee \text{cinner } x \ x > \text{complex-of-real } (a^2)$

**by** (*smt (verit, ccfv-SIG) cdot-square-norm complex-of-real-strict-mono-iff norm-ge-zero power2-eq-imp-eq power-mono*)

Dot product in terms of the norm rather than conversely.

**lemmas** *cinner-simps = cinner-add-left cinner-add-right cinner-diff-right cinner-diff-left cinner-scaleC-left cinner-scaleC-right*

**lemma** *cdot-norm*:  $\text{cinner } x \ y = ((\text{norm } (x+y))^2 - (\text{norm } (x-y))^2 - i * (\text{norm } (x + i *_C y))^2 + i * (\text{norm } (x - i *_C y))^2) / 4$

**unfolding** *power2-norm-eq-cinner*

**by** (*simp add: power2-norm-eq-cinner cinner-add-left cinner-add-right cinner-diff-left cinner-diff-right ring-distrib*)

**lemma** *of-complex-inner-1* [*simp*]:

*cinner (of-complex x) (1 :: 'a :: {complex-inner, complex-normed-algebra-1}) = cnj x*

**by** (*metis Complex-Inner-Product0.complex-inner-1-right cinner-complex-def cinner-mult-left complex-cnj-one norm-one of-complex-def power2-norm-eq-cinner scaleC-conv-of-complex*)

**lemma** *summable-of-complex-iff*:

*summable ( $\lambda x. \text{of-complex } (f x) :: 'a :: \{\text{complex-normed-algebra-1}, \text{complex-inner}\}$ )  $\iff$  summable  $f$*

**proof**

**assume** \*: *summable ( $\lambda x. \text{of-complex } (f x) :: 'a$ )*

**have** *bounded-clinear (cinner (1::'a))*

**by** (*rule bounded-clinear-cinner-right*)

**then interpret** *bounded-linear  $\lambda x::'a. \text{cinner } 1 \ x$*

**by** (*rule bounded-clinear.bounded-linear*)

**from** *summable [OF \*]* **show** *summable  $f$*

**apply** (*subst (asm) cinner-commute*) **by** *simp*

**next**

**assume** *sum: summable  $f$*

**thus** *summable ( $\lambda x. \text{of-complex } (f x) :: 'a$ )*

**by** (*rule summable-of-complex*)

**qed**

### 8.3 Gradient derivative

**definition**

*cgderiv* :: [*'a::complex-inner  $\Rightarrow$  complex, 'a, 'a  $\Rightarrow$  bool*

*( $\langle (cGDERIV (-)/ (-)/ :> (-)) \rangle [1000, 1000, 60] 60$ )*

**where**

*cGDERIV  $f \ x :> D \iff FDERIV \ f \ x :> \text{cinner } D$*

**lemma** *cgderiv-deriv [simp]*:  $cGDERIV\ f\ x\ :\>\ D \longleftrightarrow DERIV\ f\ x\ :\>\ cnj\ D$   
**by** (*simp only: cgderiv-def has-field-derivative-def cinner-complex-def [THEN ext]*)

**lemma** *cGDERIV-DERIV-compose*:  
**assumes**  $cGDERIV\ f\ x\ :\>\ df$  **and**  $DERIV\ g\ (f\ x)\ :\>\ cnj\ dg$   
**shows**  $cGDERIV\ (\lambda x. g\ (f\ x))\ x\ :\>\ scaleC\ dg\ df$   
**proof** (*insert assms*)  
**show**  $cGDERIV\ (\lambda x. g\ (f\ x))\ x\ :\>\ dg\ *_C\ df$   
**if**  $cGDERIV\ f\ x\ :\>\ df$   
**and** ( $g\ has-field-derivative\ cnj\ dg$ ) (*at* ( $f\ x$ ))  
**unfolding** *cgderiv-def has-field-derivative-def cinner-scaleC-left complex-cnj-cnj*  
**using** *that*  
**by** (*simp add: cgderiv-def has-derivative-compose has-field-derivative-imp-has-derivative*)

**qed**

**lemma** *cGDERIV-subst*:  $\llbracket cGDERIV\ f\ x\ :\>\ df; df = d \rrbracket \Longrightarrow cGDERIV\ f\ x\ :\>\ d$   
**by** *simp*

**lemma** *cGDERIV-const*:  $cGDERIV\ (\lambda x. k)\ x\ :\>\ 0$   
**unfolding** *cgderiv-def cinner-zero-left [THEN ext]* **by** (*rule has-derivative-const*)

**lemma** *cGDERIV-add*:  
 $\llbracket cGDERIV\ f\ x\ :\>\ df; cGDERIV\ g\ x\ :\>\ dg \rrbracket$   
 $\Longrightarrow cGDERIV\ (\lambda x. f\ x + g\ x)\ x\ :\>\ df + dg$   
**unfolding** *cgderiv-def cinner-add-left [THEN ext]* **by** (*rule has-derivative-add*)

**lemma** *cGDERIV-minus*:  
 $cGDERIV\ f\ x\ :\>\ df \Longrightarrow cGDERIV\ (\lambda x. -\ f\ x)\ x\ :\>\ -\ df$   
**unfolding** *cgderiv-def cinner-minus-left [THEN ext]* **by** (*rule has-derivative-minus*)

**lemma** *cGDERIV-diff*:  
 $\llbracket cGDERIV\ f\ x\ :\>\ df; cGDERIV\ g\ x\ :\>\ dg \rrbracket$   
 $\Longrightarrow cGDERIV\ (\lambda x. f\ x - g\ x)\ x\ :\>\ df - dg$   
**unfolding** *cgderiv-def cinner-diff-left* **by** (*rule has-derivative-diff*)

**lemma** *cGDERIV-scaleC*:  
 $\llbracket DERIV\ f\ x\ :\>\ df; cGDERIV\ g\ x\ :\>\ dg \rrbracket$   
 $\Longrightarrow cGDERIV\ (\lambda x. scaleC\ (f\ x)\ (g\ x))\ x$   
 $\quad :\>\ (scaleC\ (cnj\ (f\ x))\ dg + scaleC\ (cnj\ df)\ (cnj\ (g\ x)))$   
**unfolding** *cgderiv-def has-field-derivative-def cinner-add-left cinner-scaleC-left*  
**apply** (*rule has-derivative-subst*)  
**apply** (*erule (1) has-derivative-scaleC*)  
**by** (*simp add: ac-simps*)

```

lemma GDERIV-mult:
   $\llbracket cGDERIV\ f\ x\ :\>\ df;\ cGDERIV\ g\ x\ :\>\ dg \rrbracket$ 
   $\implies cGDERIV\ (\lambda x. f\ x * g\ x)\ x\ :\>\ cnj\ (f\ x) *_C\ dg + cnj\ (g\ x) *_C\ df$ 
unfolding cgderiv-def
apply (rule has-derivative-subst)
apply (erule (1) has-derivative-mult)
apply (rule ext)
by (simp add: cinner-add ac-simps)

lemma cGDERIV-inverse:
   $\llbracket cGDERIV\ f\ x\ :\>\ df;\ f\ x \neq 0 \rrbracket$ 
   $\implies cGDERIV\ (\lambda x. inverse\ (f\ x))\ x\ :\>\ -\ cnj\ ((inverse\ (f\ x))^2) *_C\ df$ 
by (metis DERIV-inverse cGDERIV-DERIV-compose complex-cnj-cnj complex-cnj-minus numerals(2))

lemma has-derivative-norm[derivative-intros]:
  fixes x :: 'a::complex-inner
  assumes x  $\neq 0$ 
  shows (norm has-derivative ( $\lambda h. Re\ (cinner\ (sgn\ x)\ h)$ )) (at x)
  thm has-derivative-norm
proof -
  have Re-pos:  $0 < Re\ (cinner\ x\ x)$ 
  using assms
  by (metis Re-strict-mono cinner-gt-zero-iff zero-complex.simps(1))
  have Re-plus-Re:  $Re\ (cinner\ x\ y) + Re\ (cinner\ y\ x) = 2 * Re\ (cinner\ x\ y)$ 
  for x y :: 'a
  by (metis cinner-commute cnj.simps(1) mult-2-right semiring-normalization-rules(7))
  have norm:  $norm\ x = \sqrt{Re\ (cinner\ x\ x)}$  for x :: 'a
  apply (subst norm-eq-sqrt-cinner, subst cmod-Re)
  using cinner-ge-zero by auto
  have v2:  $((\lambda x. \sqrt{Re\ (cinner\ x\ x)})\ has-derivative\ (\lambda xa. (Re\ (cinner\ x\ xa) + Re\ (cinner\ xa\ x)) * (inverse\ (\sqrt{Re\ (cinner\ x\ x)}) / 2)))\ (at\ x)$ 
  by (rule derivative-eq-intros | simp add: Re-pos) +
  have v1:  $((\lambda x. \sqrt{Re\ (cinner\ x\ x)})\ has-derivative\ (\lambda y. Re\ (cinner\ x\ y) / \sqrt{Re\ (cinner\ x\ x)}))\ (at\ x)$ 
  if  $((\lambda x. \sqrt{Re\ (cinner\ x\ x)})\ has-derivative\ (\lambda xa. Re\ (cinner\ x\ xa) * inverse\ (\sqrt{Re\ (cinner\ x\ x)})))\ (at\ x)$ 
  using that apply (subst divide-real-def)
  by simp
  have  $\langle norm\ has-derivative\ (\lambda y. Re\ (cinner\ x\ y) / norm\ x) \rangle\ (at\ x)$ 
  using v2
  apply (auto simp: Re-plus-Re norm [abs-def])
  using v1 by blast
  then show ?thesis

```

```

    by (auto simp: power2-eq-square sgn-div-norm scaleR-scaleC)
qed

```

```

bundle cinner-syntax
begin
notation cinner (infix  $\langle \cdot_C \rangle$  70)
end

end

```

## 9 Complex-Inner-Product – Complex Inner Product Spaces

```

theory Complex-Inner-Product
imports
  Complex-Inner-Product0
begin

```

### 9.1 Complex inner product spaces

```

unbundle cinner-syntax

```

```

lemma cinner-real: cinner x x  $\in \mathbb{R}$ 
  by (simp add: cdot-square-norm)

```

```

lemmas cinner-commute' [simp] = cinner-commute[symmetric]

```

```

lemma (in complex-inner) cinner-eq-flip:  $\langle (cinner\ x\ y = cinner\ z\ w) \longleftrightarrow (cinner\ y\ x = cinner\ w\ z) \rangle$ 
  by (metis cinner-commute)

```

```

lemma Im-cinner-x-x[simp]: Im (x  $\cdot_C$  x) = 0
  using comp-Im-same[OF cinner-ge-zero] by simp

```

```

lemma of-complex-inner-1' [simp]:
  cinner (1 :: 'a :: {complex-inner, complex-normed-algebra-1}) (of-complex x) =
  x
  by (metis cinner-commute complex-cnj-cnj of-complex-inner-1)

```

```

class hilbert-space = complex-inner + complete-space
begin
subclass cbanach by standard
end

```

```

instantiation complex :: hilbert-space begin

```

instance ..  
end

## 9.2 Misc facts

**lemma** *cinner-scaleR-left* [simp]:  $\text{cinner} (\text{scaleR } r \ x) \ y = \text{of-real } r * (\text{cinner } x \ y)$   
by (simp add: scaleR-scaleC)

**lemma** *cinner-scaleR-right* [simp]:  $\text{cinner } x (\text{scaleR } r \ y) = \text{of-real } r * (\text{cinner } x \ y)$   
by (simp add: scaleR-scaleC)

This is a useful rule for establishing the equality of vectors

**lemma** *cinner-extensionality*:  
assumes  $\langle \bigwedge \gamma. \gamma \cdot_C \psi = \gamma \cdot_C \varphi \rangle$   
shows  $\langle \psi = \varphi \rangle$   
by (metis assms cinner-eq-zero-iff cinner-simps(3) right-minus-eq)

**lemma** *polar-identity*:  
includes norm-syntax  
shows  $\langle \|x + y\|^2 = \|x\|^2 + \|y\|^2 + 2 * \text{Re } (x \cdot_C y) \rangle$   
— Shown in the proof of Corollary 1.5 in [1]  
**proof** –  
have  $\langle (x \cdot_C y) + (y \cdot_C x) = (x \cdot_C y) + \text{conj } (x \cdot_C y) \rangle$   
by simp  
hence  $\langle (x \cdot_C y) + (y \cdot_C x) = 2 * \text{Re } (x \cdot_C y) \rangle$   
using complex-add-conj by presburger  
have  $\langle \|x + y\|^2 = (x + y) \cdot_C (x + y) \rangle$   
by (simp add: cdot-square-norm)  
hence  $\langle \|x + y\|^2 = (x \cdot_C x) + (x \cdot_C y) + (y \cdot_C x) + (y \cdot_C y) \rangle$   
by (simp add: cinner-add-left cinner-add-right)  
thus ?thesis using  $\langle (x \cdot_C y) + (y \cdot_C x) = 2 * \text{Re } (x \cdot_C y) \rangle$   
by (smt (verit, ccfv-SIG) Re-complex-of-real plus-complex.simps(1) power2-norm-eq-cinner)  
qed

**lemma** *polar-identity-minus*:  
includes norm-syntax  
shows  $\langle \|x - y\|^2 = \|x\|^2 + \|y\|^2 - 2 * \text{Re } (x \cdot_C y) \rangle$   
**proof** –  
have  $\langle \|x + (-y)\|^2 = \|x\|^2 + \|-y\|^2 + 2 * \text{Re } (x \cdot_C -y) \rangle$   
using polar-identity by blast  
hence  $\langle \|x - y\|^2 = \|x\|^2 + \|y\|^2 - 2 * \text{Re } (x \cdot_C y) \rangle$   
by simp  
thus ?thesis  
by blast  
qed

**proposition** *parallelogram-law*:  
includes norm-syntax  
fixes  $x \ y :: 'a::\text{complex-inner}$

**shows**  $\langle \|x+y\|^2 + \|x-y\|^2 = 2*(\|x\|^2 + \|y\|^2) \rangle$   
 — Shown in the proof of Theorem 2.3 in [1]  
**by** (*simp add: polar-identity-minus polar-identity*)

**theorem** *pythagorean-theorem*:

**includes** *norm-syntax*  
**shows**  $\langle (x \cdot_C y) = 0 \implies \|x + y\|^2 = \|x\|^2 + \|y\|^2 \rangle$   
 — Shown in the proof of Theorem 2.2 in [1]  
**by** (*simp add: polar-identity*)

**lemma** *pythagorean-theorem-sum*:

**assumes**  $q1: \bigwedge a \ a'. a \in t \implies a' \in t \implies a \neq a' \implies f \ a \cdot_C f \ a' = 0$   
**and**  $q2: \text{finite } t$   
**shows**  $(\text{norm } (\sum_{a \in t} f \ a))^2 = (\sum_{a \in t} (\text{norm } (f \ a))^2)$   
**proof** (*insert q1, use q2 in induction*)  
**case** *empty*  
**show** *?case*  
**by** *auto*  
**next**  
**case** (*insert x F*)  
**have**  $r1: f \ x \cdot_C f \ a = 0$   
**if**  $a \in F$   
**for**  $a$   
**using** *that insert.hyps(2) insert.prem by auto*  
**have**  $\text{sum } f \ F = (\sum_{a \in F} f \ a)$   
**by** *simp*  
**hence**  $s4: f \ x \cdot_C \text{sum } f \ F = f \ x \cdot_C (\sum_{a \in F} f \ a)$   
**by** *simp*  
**also have**  $s3: \dots = (\sum_{a \in F} f \ x \cdot_C f \ a)$   
**using** *cinner-sum-right by auto*  
**also have**  $s2: \dots = (\sum_{a \in F} 0)$   
**using**  $r1$   
**by** *simp*  
**also have**  $s1: \dots = 0$   
**by** *simp*  
**finally have**  $xF\text{-ortho}: f \ x \cdot_C \text{sum } f \ F = 0$   
**using**  $s2 \ s3$  **by** *auto*  
**have**  $(\text{norm } (\text{sum } f \ (\text{insert } x \ F)))^2 = (\text{norm } (f \ x + \text{sum } f \ F))^2$   
**by** (*simp add: insert.hyps(1) insert.hyps(2)*)  
**also have**  $\dots = (\text{norm } (f \ x))^2 + (\text{norm } (\text{sum } f \ F))^2$   
**using**  $xF\text{-ortho}$  **by** (*rule pythagorean-theorem*)  
**also have**  $\dots = (\text{norm } (f \ x))^2 + (\sum_{a \in F} (\text{norm } (f \ a))^2)$   
**apply** (*subst insert.IH*) **using** *insert.prem by auto*  
**also have**  $\dots = (\sum_{a \in \text{insert } x \ F} (\text{norm } (f \ a))^2)$   
**by** (*simp add: insert.hyps(1) insert.hyps(2)*)  
**finally show** *?case*  
**by** *simp*  
**qed**

```

lemma Cauchy-cinner-Cauchy:
  fixes  $x\ y :: \langle \text{nat} \Rightarrow 'a :: \text{complex-inner} \rangle$ 
  assumes  $a1: \langle \text{Cauchy } x \rangle$  and  $a2: \langle \text{Cauchy } y \rangle$ 
  shows  $\langle \text{Cauchy } (\lambda\ n.\ x\ n \cdot_C y\ n) \rangle$ 
proof –
  have  $\langle \text{bounded } (\text{range } x) \rangle$ 
    using  $a1$ 
    by (simp add: Elementary-Metric-Spaces.cauchy-imp-bounded)
  hence  $b1: \langle \exists M. \forall n. \text{norm } (x\ n) < M \rangle$ 
    by (meson bounded-pos-less rangeI)
  have  $\langle \text{bounded } (\text{range } y) \rangle$ 
    using  $a2$ 
    by (simp add: Elementary-Metric-Spaces.cauchy-imp-bounded)
  hence  $b2: \langle \exists M. \forall n. \text{norm } (y\ n) < M \rangle$ 
    by (meson bounded-pos-less rangeI)
  have  $\langle \exists M. \forall n. \text{norm } (x\ n) < M \wedge \text{norm } (y\ n) < M \rangle$ 
    using  $b1\ b2$ 
    by (metis dual-order.strict-trans linorder-neqE-linordered-idom)
  then obtain  $M$  where  $M1: \langle \bigwedge n. \text{norm } (x\ n) < M \rangle$  and  $M2: \langle \bigwedge n. \text{norm } (y\ n) < M \rangle$ 
  by blast
  have  $M3: \langle M > 0 \rangle$ 
    by (smt M2 norm-not-less-zero)
  have  $\langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm } ((\lambda\ i.\ x\ i \cdot_C y\ i)\ n - (\lambda\ i.\ x\ i \cdot_C y\ i)\ m) < e \rangle$ 
    if  $e > 0$  for  $e$ 
  proof –
    have  $\langle e / (2 * M) > 0 \rangle$ 
      using  $M3$ 
      by (simp add: that)
    hence  $\langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm } (x\ n - x\ m) < e / (2 * M) \rangle$ 
      using  $a1$ 
      by (simp add: Cauchy-iff)
    then obtain  $N1$  where  $N1\text{-def}: \langle \bigwedge n\ m. n \geq N1 \implies m \geq N1 \implies \text{norm } (x\ n - x\ m) < e / (2 * M) \rangle$ 
      by blast
    have  $x1: \langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm } (y\ n - y\ m) < e / (2 * M) \rangle$ 
      using  $a2\ \langle e / (2 * M) > 0 \rangle$ 
      by (simp add: Cauchy-iff)
    obtain  $N2$  where  $N2\text{-def}: \langle \bigwedge n\ m. n \geq N2 \implies m \geq N2 \implies \text{norm } (y\ n - y\ m) < e / (2 * M) \rangle$ 
      using  $x1$ 
      by blast
    define  $N$  where  $N\text{-def}: \langle N = N1 + N2 \rangle$ 
    hence  $\langle N \geq N1 \rangle$ 
      by auto
    have  $\langle N \geq N2 \rangle$ 

```

```

    using N-def
    by auto
  have  $\langle \text{norm } (x\ n \cdot_C y\ n - x\ m \cdot_C y\ m) < e \rangle$ 
    if  $\langle n \geq N \rangle$  and  $\langle m \geq N \rangle$ 
    for  $n\ m$ 
  proof -
    have  $\langle x\ n \cdot_C y\ n - x\ m \cdot_C y\ m = (x\ n \cdot_C y\ n - x\ m \cdot_C y\ n) + (x\ m \cdot_C y\ n - x\ m \cdot_C y\ m) \rangle$ 
      by simp
    hence  $y1: \langle \text{norm } (x\ n \cdot_C y\ n - x\ m \cdot_C y\ m) \leq \text{norm } (x\ n \cdot_C y\ n - x\ m \cdot_C y\ n) + \text{norm } (x\ m \cdot_C y\ n - x\ m \cdot_C y\ m) \rangle$ 
      by (metis norm-triangle-ineq)

    have  $\langle x\ n \cdot_C y\ n - x\ m \cdot_C y\ n = (x\ n - x\ m) \cdot_C y\ n \rangle$ 
      by (simp add: cinner-diff-left)
    hence  $\langle \text{norm } (x\ n \cdot_C y\ n - x\ m \cdot_C y\ n) = \text{norm } ((x\ n - x\ m) \cdot_C y\ n) \rangle$ 
      by simp
    moreover have  $\langle \text{norm } ((x\ n - x\ m) \cdot_C y\ n) \leq \text{norm } (x\ n - x\ m) * \text{norm } (y\ n) \rangle$ 
      using complex-inner-class.Cauchy-Schwarz-ineq2 by blast
    moreover have  $\langle \text{norm } (y\ n) < M \rangle$ 
      by (simp add: M2)
    moreover have  $\langle \text{norm } (x\ n - x\ m) < e/(2*M) \rangle$ 
      using  $\langle N \leq m \rangle \langle N \leq n \rangle \langle N1 \leq N \rangle$  N1-def by auto
    ultimately have  $\langle \text{norm } ((x\ n \cdot_C y\ n) - (x\ m \cdot_C y\ n)) < (e/(2*M)) * M \rangle$ 
      by (smt mult-strict-mono norm-ge-zero)
    moreover have  $\langle (e/(2*M)) * M = e/2 \rangle$ 
      using  $\langle M > 0 \rangle$  by simp
    ultimately have  $\langle \text{norm } ((x\ n \cdot_C y\ n) - (x\ m \cdot_C y\ n)) < e/2 \rangle$ 
      by simp
    hence  $y2: \langle \text{norm } (x\ n \cdot_C y\ n - x\ m \cdot_C y\ n) < e/2 \rangle$ 
      by blast
    have  $\langle x\ m \cdot_C y\ n - x\ m \cdot_C y\ m = x\ m \cdot_C (y\ n - y\ m) \rangle$ 
      by (simp add: cinner-diff-right)
    hence  $\langle \text{norm } ((x\ m \cdot_C y\ n) - (x\ m \cdot_C y\ m)) = \text{norm } (x\ m \cdot_C (y\ n - y\ m)) \rangle$ 
      by simp
    moreover have  $\langle \text{norm } (x\ m \cdot_C (y\ n - y\ m)) \leq \text{norm } (x\ m) * \text{norm } (y\ n - y\ m) \rangle$ 
      by (meson complex-inner-class.Cauchy-Schwarz-ineq2)
    moreover have  $\langle \text{norm } (x\ m) < M \rangle$ 
      by (simp add: M1)
    moreover have  $\langle \text{norm } (y\ n - y\ m) < e/(2*M) \rangle$ 
      using  $\langle N \leq m \rangle \langle N \leq n \rangle \langle N2 \leq N \rangle$  N2-def by auto
    ultimately have  $\langle \text{norm } ((x\ m \cdot_C y\ n) - (x\ m \cdot_C y\ m)) < M * (e/(2*M)) \rangle$ 
      by (smt mult-strict-mono norm-ge-zero)
    moreover have  $\langle M * (e/(2*M)) = e/2 \rangle$ 
      using  $\langle M > 0 \rangle$  by simp
    ultimately have  $\langle \text{norm } ((x\ m \cdot_C y\ n) - (x\ m \cdot_C y\ m)) < e/2 \rangle$ 

```

```

    by simp
  hence y3: ⟨norm ((x m •C y n) - (x m •C y m)) < e/2⟩
    by blast
  show ⟨norm ( (x n •C y n) - (x m •C y m) ) < e⟩
    using y1 y2 y3 by simp
qed
thus ?thesis by blast
qed
thus ?thesis
  by (simp add: CauchyI)
qed

```

```

lemma cinner-sup-norm: ⟨norm ψ = (SUP φ. cmod (cinner φ ψ) / norm φ)⟩
proof (rule sym, rule cSup-eq-maximum)
  have ⟨norm ψ = cmod (cinner ψ ψ) / norm ψ⟩
    by (metis norm-eq-sqrt-cinner norm-ge-zero real-div-sqrt)
  then show ⟨norm ψ ∈ range (λφ. cmod (cinner φ ψ) / norm φ)⟩
    by blast
next
  fix n assume ⟨n ∈ range (λφ. cmod (cinner φ ψ) / norm φ)⟩
  then obtain φ where nφ: ⟨n = cmod (cinner φ ψ) / norm φ⟩
    by auto
  show ⟨n ≤ norm ψ⟩
    unfolding nφ
    by (simp add: Cauchy-Schwarz-ineq2 mult.commute divide-le-eq)
qed

```

```

lemma cinner-sup-onorm:
  fixes A :: ⟨'a::{real-normed-vector,not-singleton} ⇒ 'b::complex-inner⟩
  assumes ⟨bounded-linear A⟩
  shows ⟨onorm A = (SUP (ψ,φ). cmod (cinner ψ (A φ)) / (norm ψ * norm φ))⟩
proof (unfold onorm-def, rule cSup-eq-cSup)
  show ⟨bdd-above (range (λx. norm (A x) / norm x))⟩
    by (meson assms bdd-aboveI2 le-onorm)
next
  fix a
  assume ⟨a ∈ range (λφ. norm (A φ) / norm φ)⟩
  then obtain φ where ⟨a = norm (A φ) / norm φ⟩
    by auto
  then have ⟨a ≤ cmod (cinner (A φ) (A φ)) / (norm (A φ) * norm φ)⟩
    apply auto
    by (smt (verit) divide-divide-eq-left norm-eq-sqrt-cinner norm-imp-pos-and-ge
      real-div-sqrt)
  then show ⟨∃ b∈range (λ(ψ, φ). cmod (cinner ψ (A φ)) / (norm ψ * norm φ)).
    a ≤ b⟩
    by force
next
  fix b

```

```

assume  $\langle b \in \text{range } (\lambda(\psi, \varphi). \text{ cmod } (\psi \cdot_C A \varphi) / (\text{norm } \psi * \text{norm } \varphi)) \rangle$ 
then obtain  $\psi \varphi$  where  $b: \langle b = \text{ cmod } (\psi \cdot_C A \varphi) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
  by auto
then have  $\langle b \leq \text{norm } (A \varphi) / \text{norm } \varphi \rangle$ 
  using Cauchy-Schwarz-ineq2 [of  $\psi A \varphi$ ]
  apply (simp add: divide-simps split: if-split-asm)
  by (metis mult-le-cancel-left-pos mult.left-commute zero-less-norm-iff)
then show  $\langle \exists a \in \text{range } (\lambda x. \text{norm } (A x) / \text{norm } x). b \leq a \rangle$ 
  by auto
qed

```

**lemma** *sum-cinner*:

```

fixes  $f :: 'a \Rightarrow 'b::\text{complex-inner}$ 
shows  $\text{cinner } (\text{sum } f A) (\text{sum } g B) = (\sum i \in A. \sum j \in B. \text{cinner } (f i) (g j))$ 
by (simp add: cinner-sum-right cinner-sum-left) (rule sum.swap)

```

**lemma** *Cauchy-cinner-product-summable*:

```

fixes  $a b :: \text{nat} \Rightarrow 'a::\text{complex-inner}$ 
shows  $\langle (\lambda(x, y). \text{cinner } (a x) (b y)) \text{ summable-on } UNIV \longleftrightarrow (\lambda(x, y). \text{cinner } (a y) (b (x - y))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 
proof -
  have  $\text{img}: \langle (\lambda(k::\text{nat}, i). (i, k - i)) ' \{(k, i). i \leq k\} = UNIV \rangle$ 
  apply (auto simp: image-def)
  by (metis add.commute add-diff-cancel-right' diff-le-self)
  have  $\text{inj}: \langle \text{inj-on } (\lambda(k::\text{nat}, i). (i, k - i)) \{(k, i). i \leq k\} \rangle$ 
  by (smt (verit, del-insts) Pair-inject case-prodE case-prod-conv eq-diff-iff inj-onI mem-Collect-eq)

```

```

  have  $\langle (\lambda(x, y). \text{cinner } (a x) (b y)) \text{ summable-on } UNIV \longleftrightarrow (\lambda(k, l). \text{cinner } (a k) (b l)) \text{ summable-on } (\lambda(k, i). (i, k - i)) ' \{(k, i). i \leq k\} \rangle$ 
  by (simp only: img)
  also have  $\langle \dots \longleftrightarrow ((\lambda(k, l). \text{cinner } (a k) (b l)) \circ (\lambda(k, i). (i, k - i))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 
  using inj by (rule summable-on-reindex)
  also have  $\langle \dots \longleftrightarrow (\lambda(x, y). \text{cinner } (a y) (b (x - y))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 
  by (simp add: o-def case-prod-unfold)
  finally show ?thesis
  by -
qed

```

**instantiation** *prod* :: (*complex-inner*, *complex-inner*) *complex-inner*  
**begin**

**definition** *cinner-prod-def*:

```

cinner  $x y = \text{cinner } (\text{fst } x) (\text{fst } y) + \text{cinner } (\text{snd } x) (\text{snd } y)$ 

```

**instance**

```

proof
  fix  $r :: \text{complex}$ 
  fix  $x\ y\ z :: 'a::\text{complex-inner} \times 'b::\text{complex-inner}$ 
  show  $\text{cinner } x\ y = \text{cnj } (\text{cinner } y\ x)$ 
    unfolding  $\text{cinner-prod-def}$ 
    by  $\text{simp}$ 
  show  $\text{cinner } (x + y)\ z = \text{cinner } x\ z + \text{cinner } y\ z$ 
    unfolding  $\text{cinner-prod-def}$ 
    by  $(\text{simp add: cinner-add-left})$ 
  show  $\text{cinner } (\text{scaleC } r\ x)\ y = \text{cnj } r * \text{cinner } x\ y$ 
    unfolding  $\text{cinner-prod-def}$ 
    by  $(\text{simp add: distrib-left})$ 
  show  $0 \leq \text{cinner } x\ x$ 
    unfolding  $\text{cinner-prod-def}$ 
    by  $(\text{intro add-nonneg-nonneg cinner-ge-zero})$ 
  show  $\text{cinner } x\ x = 0 \longleftrightarrow x = 0$ 
    unfolding  $\text{cinner-prod-def prod-eq-iff}$ 
    by  $(\text{metis antisym cinner-eq-zero-iff cinner-ge-zero fst-zero le-add-same-cancel2}$ 
 $\text{snd-zero verit-sum-simplify})$ 
  show  $\text{norm } x = \text{sqrt } (\text{cmod } (\text{cinner } x\ x))$ 
    unfolding  $\text{norm-prod-def cinner-prod-def}$ 
    apply  $(\text{simp add: norm-prod-def cinner-prod-def})$ 
    by  $(\text{metis (no-types, lifting) Complex-Inner-Product.cinner-prod-def Re-complex-of-real}$ 
 $\langle 0 \leq x \cdot_C x \rangle \text{ cmod-Re of-real-add of-real-power power2-norm-eq-cinner})$ 
qed

```

**end**

```

lemma  $\text{sgn-cinner[simp]: } \langle \text{sgn } \psi \cdot_C \psi = \text{norm } \psi \rangle$ 
  apply  $(\text{cases } \langle \psi = 0 \rangle)$ 
  apply  $(\text{auto simp: sgn-div-norm})$ 
  by  $(\text{metis (no-types, lifting) cdot-square-norm cinner-ge-zero cmod-Re divide-inverse}$ 
 $\text{mult.commute norm-eq-sqrt-cinner norm-ge-zero of-real-inverse of-real-mult power2-norm-eq-cinner'}$ 
 $\text{real-div-sqrt})$ 

```

**instance**  $\text{prod} :: (\text{chilbert-space}, \text{chilbert-space}) \text{ chilbert-space..}$

### 9.3 Orthogonality

**definition**  $\text{orthogonal-complement } S = \{x \mid x. \forall y \in S. \text{cinner } x\ y = 0\}$

```

lemma  $\text{orthogonal-complement-orthoI:}$ 
 $\langle x \in \text{orthogonal-complement } M \implies y \in M \implies x \cdot_C y = 0 \rangle$ 
  unfolding  $\text{orthogonal-complement-def}$  by  $\text{auto}$ 

```

```

lemma  $\text{orthogonal-complement-orthoI':}$ 
 $\langle x \in M \implies y \in \text{orthogonal-complement } M \implies x \cdot_C y = 0 \rangle$ 
  by  $(\text{metis cinner-commute' complex-cnj-zero orthogonal-complement-orthoI})$ 

```

```

lemma orthogonal-complementI:
   $\langle (\bigwedge x. x \in M \implies y \cdot_C x = 0) \implies y \in \text{orthogonal-complement } M \rangle$ 
  unfolding orthogonal-complement-def
  by simp

abbreviation is-orthogonal:: $\langle 'a::\text{complex-inner} \Rightarrow 'a \Rightarrow \text{bool} \rangle$  where
   $\langle \text{is-orthogonal } x \ y \equiv x \cdot_C y = 0 \rangle$ 

bundle orthogonal-syntax
begin
notation is-orthogonal (infixl  $\langle \perp \rangle$  69)
end

lemma is-orthogonal-sym: is-orthogonal  $\psi$   $\varphi = \text{is-orthogonal } \varphi \ \psi$ 
  by (metis cinner-commute' complex-cn timer-zero)

lemma is-orthogonal-sgn-right[simp]:  $\langle \text{is-orthogonal } e \ (\text{sgn } f) \longleftrightarrow \text{is-orthogonal } e \ f \rangle$ 
proof (cases  $\langle f = 0 \rangle$ )
  case True
  then show ?thesis
    by simp
next
  case False
  have  $\langle \text{cinner } e \ (\text{sgn } f) = \text{cinner } e \ f / \text{norm } f \rangle$ 
    by (simp add: sgn-div-norm divide-inverse scaleR-scaleC)
  moreover have  $\langle \text{norm } f \neq 0 \rangle$ 
    by (simp add: False)
  ultimately show ?thesis
    by force
qed

lemma is-orthogonal-sgn-left[simp]:  $\langle \text{is-orthogonal } (\text{sgn } e) \ f \longleftrightarrow \text{is-orthogonal } e \ f \rangle$ 
by (simp add: is-orthogonal-sym)

lemma orthogonal-complement-closed-subspace[simp]:
  closed-csubspace (orthogonal-complement A)
  for A ::  $\langle 'a::\text{complex-inner} \rangle \text{ set}$ 
proof (intro closed-csubspace.intro complex-vector.subspaceI)
  fix x y and c
  show  $\langle 0 \in \text{orthogonal-complement } A \rangle$ 
    by (rule orthogonal-complementI, simp)
  show  $\langle x + y \in \text{orthogonal-complement } A \rangle$ 
    if  $\langle x \in \text{orthogonal-complement } A \rangle$  and  $\langle y \in \text{orthogonal-complement } A \rangle$ 
    using that by (auto intro!: orthogonal-complementI dest!: orthogonal-complement-orthoI
      simp add: cinner-add-left)
  show  $\langle c *_C x \in \text{orthogonal-complement } A \rangle$  if  $\langle x \in \text{orthogonal-complement } A \rangle$ 
    using that by (auto intro!: orthogonal-complementI dest!: orthogonal-complement-orthoI)

```

```

show closed (orthogonal-complement A)
proof (auto simp add: closed-sequential-limits, rename-tac an a)
  fix an a
  assume ortho:  $\langle \forall n::nat. an\ n \in \text{orthogonal-complement } A \rangle$ 
  assume lim:  $\langle an \longrightarrow a \rangle$ 

  have  $\langle \forall y \in A. \forall n. \text{is-orthogonal } y\ (an\ n) \rangle$ 
    using orthogonal-complement-orthoI'
    by (simp add: orthogonal-complement-orthoI' ortho)
  moreover have  $\langle \text{isCont } (\lambda x. y \cdot_C x)\ a \rangle$  for y
    using bounded-clinear-cinner-right clinear-continuous-at
    by (simp add: clinear-continuous-at bounded-clinear-cinner-right)
  ultimately have  $\langle (\lambda n. (\lambda v. y \cdot_C v)\ (an\ n)) \longrightarrow (\lambda v. y \cdot_C v)\ a \rangle$  for y
    using isCont-tendsto-compose
    by (simp add: isCont-tendsto-compose lim)
  hence  $\langle \forall y \in A. (\lambda n. y \cdot_C an\ n) \longrightarrow y \cdot_C a \rangle$ 
    by simp
  hence  $\langle \forall y \in A. (\lambda n. 0) \longrightarrow y \cdot_C a \rangle$ 
    using  $\langle \forall y \in A. \forall n. \text{is-orthogonal } y\ (an\ n) \rangle$ 
    by fastforce
  hence  $\langle \forall y \in A. \text{is-orthogonal } y\ a \rangle$ 
    using limI by fastforce
  then show  $\langle a \in \text{orthogonal-complement } A \rangle$ 
    by (simp add: orthogonal-complementI is-orthogonal-sym)
qed
qed

lemma orthogonal-complement-zero-intersection:
  assumes  $0 \in M$ 
  shows  $\langle M \cap (\text{orthogonal-complement } M) = \{0\} \rangle$ 
proof -
  have  $x=0$  if  $x \in M$  and  $x \in \text{orthogonal-complement } M$  for x
  proof -
    from that have is-orthogonal x x
    unfolding orthogonal-complement-def by auto
    thus  $x=0$ 
    by auto
  qed
qed
with asms show ?thesis
  unfolding orthogonal-complement-def by auto
qed

lemma is-orthogonal-closure-cspan:
  assumes  $\bigwedge x\ y. x \in X \implies y \in Y \implies \text{is-orthogonal } x\ y$ 
  assumes  $\langle x \in \text{closure } (\text{cspan } X) \rangle \langle y \in \text{closure } (\text{cspan } Y) \rangle$ 
  shows is-orthogonal x y
proof -
  have *:  $\langle \text{cinner } x\ y = 0 \rangle$  if  $\langle y \in Y \rangle$  for y

```

```

    using bounded-antilinear-cinner-left apply (rule bounded-antilinear-eq-on[where
G=X])
    using assms that by auto
    show ⟨cinner x y = 0⟩
    using bounded-clinear-cinner-right apply (rule bounded-clinear-eq-on-closure[where
G=Y])
    using * assms by auto
qed

```

```

instantiation ccspace :: (complex-inner) uminus
begin
lift-definition uminus-ccspace::⟨'a ccspace ⇒ 'a ccspace⟩
  is ⟨orthogonal-complement⟩
  by simp

```

```

instance ..
end

```

```

lemma orthocomplement-top[simp]: ⟨- top = (bot :: 'a::complex-inner ccspace)⟩
  — For 'a of sort hilbert-space, this is covered by orthocomplemented-lattice-class.compl-top-eq
  already. But here we give it a wider sort.
  apply transfer
  by (metis Int-UNIV-left UNIV-I orthogonal-complement-zero-intersection)

```

```

instantiation ccspace :: (complex-inner) minus begin
lift-definition minus-ccspace :: 'a ccspace ⇒ 'a ccspace ⇒ 'a ccspace
  is λA B. A ∩ (orthogonal-complement B)
  by simp
instance..
end

```

```

definition is-ortho-set :: 'a::complex-inner set ⇒ bool where
  — Orthogonal set
  ⟨is-ortho-set S ⟷ (∀ x∈S. ∀ y∈S. x ≠ y ⟶ (x •C y) = 0) ∧ 0 ∉ S⟩

```

```

definition is-onb :: 'a::complex-inner set ⇒ bool where
  — Orthonormal basis
  ⟨is-onb E ⟷ is-ortho-set E ∧ (∀ b∈E. norm b = 1) ∧ cspan E = top⟩

```

```

lemma is-ortho-set-empty[simp]: is-ortho-set {}
  unfolding is-ortho-set-def by auto

```

```

lemma is-ortho-set-antimono: ⟨A ⊆ B ⟹ is-ortho-set B ⟹ is-ortho-set A⟩
  unfolding is-ortho-set-def by auto

```

```

lemma orthogonal-complement-of-closure:
  fixes A ::('a::complex-inner) set
  shows orthogonal-complement A = orthogonal-complement (closure A)

```

```

proof–
  have  $s1: \langle is\text{-}orthogonal\ y\ x \rangle$ 
  if  $a1: x \in (orthogonal\text{-}complement\ A)$ 
    and  $a2: \langle y \in closure\ A \rangle$ 
  for  $x\ y$ 
proof–
  have  $\langle \forall\ y \in A. is\text{-}orthogonal\ y\ x \rangle$ 
    by (simp add: a1 orthogonal-complement-orthoI')
  then obtain  $yy$  where  $\langle \forall\ n. yy\ n \in A \rangle$  and  $\langle yy \longrightarrow y \rangle$ 
    using  $a2\ closure\text{-}sequential$  by blast
  have  $\langle isCont\ (\lambda\ t. t \cdot_C x)\ y \rangle$ 
    by simp
  hence  $\langle (\lambda\ n. yy\ n \cdot_C x) \longrightarrow y \cdot_C x \rangle$ 
    using  $\langle yy \longrightarrow y \rangle\ isCont\text{-}tendsto\text{-}compose$ 
    by fastforce
  hence  $\langle (\lambda\ n. 0) \longrightarrow y \cdot_C x \rangle$ 
    using  $\langle \forall\ y \in A. is\text{-}orthogonal\ y\ x \rangle\ \langle \forall\ n. yy\ n \in A \rangle$  by simp
  thus ?thesis
    using limI by force
qed
hence  $x \in orthogonal\text{-}complement\ (closure\ A)$ 
  if  $a1: x \in (orthogonal\text{-}complement\ A)$ 
  for  $x$ 
  using that
  by (meson orthogonal-complementI is-orthogonal-sym)
moreover have  $\langle x \in (orthogonal\text{-}complement\ A) \rangle$ 
  if  $x \in (orthogonal\text{-}complement\ (closure\ A))$ 
  for  $x$ 
  using that
  by (meson closure-subset orthogonal-complement-orthoI orthogonal-complementI subset-eq)
  ultimately show ?thesis by blast
qed

```

```

lemma is-orthogonal-closure:
  assumes  $\langle \bigwedge s. s \in S \implies is\text{-}orthogonal\ a\ s \rangle$ 
  assumes  $\langle x \in closure\ S \rangle$ 
  shows  $\langle is\text{-}orthogonal\ a\ x \rangle$ 
  by (metis assms(1) assms(2) orthogonal-complementI orthogonal-complement-of-closure orthogonal-complement-orthoI)

```

```

lemma is-orthogonal-cspan:
  assumes  $a1: \bigwedge s. s \in S \implies is\text{-}orthogonal\ a\ s$  and  $a3: x \in cspan\ S$ 
  shows  $is\text{-}orthogonal\ a\ x$ 
proof–
  have  $\exists\ t\ r. finite\ t \wedge t \subseteq S \wedge (\sum a \in t. r\ a \cdot_C a) = x$ 
    using complex-vector.span-explicit

```

```

    by (smt a3 mem-Collect-eq)
  then obtain t r where b1: finite t and b2:  $t \subseteq S$  and b3:  $(\sum_{a \in t}. r \ a \ *_C \ a)$ 
= x
  by blast
  have x1: is-orthogonal a i
  if i ∈ t for i
  using b2 a1 that by blast
  have a ·C x = a ·C ( $\sum_{i \in t}. r \ i \ *_C \ i$ )
  by (simp add: b3)
  also have ... = ( $\sum_{i \in t}. r \ i \ *_C \ (a \cdot_C i)$ )
  by (simp add: cinner-sum-right)
  also have ... = 0
  using x1 by simp
  finally show ?thesis.
qed

```

```

lemma ccspan-leg-ortho-ccspan:
  assumes  $\bigwedge s \ t. s \in S \implies t \in T \implies \text{is-orthogonal } s \ t$ 
  shows  $\text{ccspan } S \leq - (\text{ccspan } T)$ 
  using assms apply transfer
  by (smt (verit, ccfv-threshold) is-orthogonal-closure is-orthogonal-cspan is-orthogonal-sym
  orthogonal-complementI subsetI)

```

```

lemma double-orthogonal-complement-increasing[simp]:
  shows  $M \subseteq \text{orthogonal-complement } (\text{orthogonal-complement } M)$ 
proof (rule subsetI)
  fix x assume s1:  $x \in M$ 
  have  $\langle \forall y \in (\text{orthogonal-complement } M). \text{is-orthogonal } x \ y \rangle$ 
  using s1 orthogonal-complement-orthoI' by auto
  hence  $\langle x \in \text{orthogonal-complement } (\text{orthogonal-complement } M) \rangle$ 
  by (simp add: orthogonal-complement-def)
  then show  $x \in \text{orthogonal-complement } (\text{orthogonal-complement } M)$ 
  by blast
qed

```

```

lemma orthonormal-basis-of-cspan:
  fixes S::'a::complex-inner set
  assumes finite S
  shows  $\exists A. \text{is-ortho-set } A \wedge (\forall x \in A. \text{norm } x = 1) \wedge \text{cspan } A = \text{cspan } S \wedge \text{finite } A$ 
proof (use assms in induction)
  case empty
  show ?case
    apply (rule exI[of - {}])
    by auto
  next
  case (insert s S)
  from insert.IH

```

```

    obtain A where orthoA: is-ortho-set A and normA:  $\bigwedge x. x \in A \implies \text{norm } x = 1$ 
  and spanA:  $\text{cspan } A = \text{cspan } S$  and finiteA: finite A
    by auto
  show ?case
  proof (cases  $\langle s \in \text{cspan } S \rangle$ )
    case True
    then have  $\langle \text{cspan } (\text{insert } s \ S) = \text{cspan } S \rangle$ 
      by (simp add: complex-vector.span-redundant)
    with orthoA normA spanA finiteA
    show ?thesis
      by auto
  next
    case False
    obtain a where a-ortho:  $\langle \bigwedge x. x \in A \implies \text{is-orthogonal } x \ a \rangle$  and sa-span:  $\langle s -$ 
    a  $\in \text{cspan } A \rangle$ 
    proof (atomize-elim, use  $\langle \text{finite } A \rangle$   $\langle \text{is-ortho-set } A \rangle$  in induction)
      case empty
      then show ?case
        by auto
    next
      case (insert x A)
      then obtain a where orthoA:  $\langle \bigwedge x. x \in A \implies \text{is-orthogonal } x \ a \rangle$  and sa:  $\langle s -$ 
      a  $\in \text{cspan } A \rangle$ 
      by (meson is-ortho-set-antimono subset-insertI)
      define a' where  $\langle a' = a - \text{cinner } x \ a *_{\mathbb{C}} \text{inverse } (\text{cinner } x \ x) *_{\mathbb{C}} x \rangle$ 
      have  $\langle \text{is-orthogonal } x \ a' \rangle$ 
        unfolding a'-def cinner-diff-right cinner-scaleC-right
        apply (cases  $\langle \text{cinner } x \ x = 0 \rangle$ )
        by auto
      have orthoA:  $\langle \text{is-orthogonal } y \ a' \rangle$  if  $\langle y \in A \rangle$  for y
        unfolding a'-def cinner-diff-right cinner-scaleC-right
        apply auto by (metis insert.premis insertCI is-ortho-set-def mult-not-zero
orthoA that)
      have  $\langle s - a' \in \text{cspan } (\text{insert } x \ A) \rangle$ 
        unfolding a'-def apply auto
      by (metis (no-types, lifting) complex-vector.span-breakdown-eq diff-add-cancel
diff-diff-add sa)
      with  $\langle \text{is-orthogonal } x \ a' \rangle$  orthoA
      show ?case
        apply (rule-tac exI[of - a'])
        by auto
    qed

  from False sa-span
  have  $\langle a \neq 0 \rangle$ 
  unfolding spanA by auto
  define a' where  $\langle a' = \text{inverse } (\text{norm } a) *_{\mathbb{C}} a \rangle$ 
  with  $\langle a \neq 0 \rangle$  have  $\langle \text{norm } a' = 1 \rangle$ 
    by (simp add: norm-inverse)

```

```

have a: ⟨a = norm a *C a'⟩
  by (simp add: ⟨a ≠ 0⟩ a'-def)

from sa-span spanA
have a'-span: ⟨a' ∈ cspan (insert s S)⟩
  unfolding a'-def
  by (metis complex-vector.eq-span-insert-eq complex-vector.span-scale com-
plex-vector.span-superset in-mono insertI1)
from sa-span
have s-span: ⟨s ∈ cspan (insert a' A)⟩
  apply (subst (asm) a)
  using complex-vector.span-breakdown-eq by blast

from ⟨a ≠ 0⟩ a-ortho orthoA
have ortho: is-ortho-set (insert a' A)
  unfolding is-ortho-set-def a'-def
  apply auto
  by (meson is-orthogonal-sym)

have span: ⟨cspan (insert a' A) = cspan (insert s S)⟩
  using a'-span s-span spanA apply auto
  apply (metis (full-types) complex-vector.span-breakdown-eq complex-vector.span-redundant
insert-commute s-span)
  by (metis (full-types) complex-vector.span-breakdown-eq complex-vector.span-redundant
insert-commute s-span)

show ?thesis
  apply (rule exI[of - ⟨insert a' A⟩])
  by (simp add: ortho ⟨norm a' = 1⟩ normA finiteA span)
qed
qed

lemma is-ortho-set-cindependent:
  assumes is-ortho-set A
  shows cindependent A
proof -
  have u v = 0
  if b1: finite t and b2: t ⊆ A and b3: (∑ v∈t. u v *C v) = 0 and b4: v ∈ t
  for t u v
proof -
  have is-orthogonal v v' if c1: v'∈t-⟨v⟩ for v'
  by (metis DiffE assms b2 b4 insertI1 is-ortho-set-antimono is-ortho-set-def
that)
  hence sum0: (∑ v'∈t-⟨v⟩. u v' * (v •C v')) = 0
  by simp
  have v •C (∑ v'∈t. u v' *C v) = (∑ v'∈t. u v' * (v •C v'))
  using b1
  by (metis (mono-tags, lifting) cinner-scaleC-right cinner-sum-right sum.cong)
  also have ... = u v * (v •C v) + (∑ v'∈t-⟨v⟩. u v' * (v •C v'))

```

```

    by (meson b1 b4 sum.remove)
  also have ... = u v * (v •C v)
    using sum0 by simp
  finally have v •C (∑ v' ∈ t. u v' •C v') = u v * (v •C v)
    by blast
  hence u v * (v •C v) = 0 using b3 by simp
  moreover have (v •C v) ≠ 0
    using assms is-ortho-set-def b2 b4 by auto
  ultimately show u v = 0 by simp
qed
thus ?thesis using complex-vector.independent-explicit-module
  by (smt cdependent-raw-def)
qed

```

**lemma** *onb-expansion-finite*:

```

  includes norm-syntax
  fixes T::'a::{complex-inner,cfinite-dim} set
  assumes a1: ⟨cspan T = UNIV⟩ and a3: ⟨is-ortho-set T⟩
    and a4: ⟨∧ t. t ∈ T ⇒ ||t|| = 1⟩
  shows ⟨x = (∑ t ∈ T. (t •C x) •C t)⟩
proof -
  have ⟨finite T⟩
    apply (rule cindependent-cfinite-dim-finite)
    by (simp add: a3 is-ortho-set-cindependent)
  have ⟨closure (complex-vector.span T) = complex-vector.span T⟩
    by (simp add: a1)
  have ⟨{∑ a ∈ t. r a •C a | t r. finite t ∧ t ⊆ T} = {∑ a ∈ T. r a •C a | r. True}⟩
    apply auto
    apply (rule-tac x = ⟨λ a. if a ∈ t then r a else 0⟩ in exI)
    apply (simp add: ⟨finite T⟩ sum.mono-neutral-cong-right)
    using ⟨finite T⟩ by blast

  have f1: ∀ A. {a. ∃ Aa f. (a::'a) = (∑ a ∈ Aa. f a •C a) ∧ finite Aa ∧ Aa ⊆ A}
    = cspan A
    by (simp add: complex-vector.span-explicit)
  have f2: ∀ a. (∃ f. a = (∑ a ∈ T. f a •C a)) ∨ (∀ A. (∀ f. a ≠ (∑ a ∈ A. f a •C a))
    ∨ infinite A ∨ ¬ A ⊆ T)
    using ⟨{∑ a ∈ t. r a •C a | t r. finite t ∧ t ⊆ T} = {∑ a ∈ T. r a •C a | r. True}⟩
  by auto
  have f3: ∀ A a. (∃ Aa f. (a::'a) = (∑ a ∈ Aa. f a •C a) ∧ finite Aa ∧ Aa ⊆ A) ∨
    a ∉ cspan A
    using f1 by blast
  have cspan T = UNIV
    by (metis (full-types, lifting) ⟨complex-vector.span T = UNIV⟩)
  hence ⟨∃ r. x = (∑ a ∈ T. r a •C a)⟩
    using f3 f2 by blast
  then obtain r where ⟨x = (∑ a ∈ T. r a •C a)⟩
    by blast

```

```

have ⟨ $r \ a = a \cdot_C x$ ⟩ if ⟨ $a \in T$ ⟩ for  $a$ 
proof-
  have ⟨ $\text{norm } a = 1$ ⟩
    using  $a4$ 
    by (simp add: ⟨ $a \in T$ ⟩)
  moreover have ⟨ $\text{norm } a = \text{sqrt } (\text{norm } (a \cdot_C a))$ ⟩
    using  $\text{norm-eq-sqrt-cinner}$  by auto
  ultimately have ⟨ $\text{sqrt } (\text{norm } (a \cdot_C a)) = 1$ ⟩
    by simp
  hence ⟨ $\text{norm } (a \cdot_C a) = 1$ ⟩
    using  $\text{real-sqrt-eq-1-iff}$  by blast
  moreover have ⟨ $(a \cdot_C a) \in \mathbb{R}$ ⟩
    by (simp add:  $\text{cinner-real}$ )
  moreover have ⟨ $(a \cdot_C a) \geq 0$ ⟩
    using  $\text{cinner-ge-zero}$  by blast
  ultimately have  $w1$ : ⟨ $(a \cdot_C a) = 1$ ⟩
    using ⟨ $\|a\| = 1$ ⟩  $\text{cnorm-eq-1}$  by blast
  have ⟨ $r \ t * (a \cdot_C t) = 0$ ⟩ if ⟨ $t \in T - \{a\}$ ⟩ for  $t$ 
    by (metis  $\text{DiffD1}$   $\text{DiffD2}$  ⟨ $a \in T$ ⟩  $a3$   $\text{is-ortho-set-def}$   $\text{mult-eq-0-iff}$   $\text{singletonI}$ 
    that)
  hence  $s1$ : ⟨ $(\sum t \in T - \{a\}. r \ t * (a \cdot_C t)) = 0$ ⟩
    by (simp add: ⟨ $\bigwedge t. t \in T - \{a\} \implies r \ t * (a \cdot_C t) = 0$ ⟩)
  have ⟨ $(a \cdot_C x) = a \cdot_C (\sum t \in T. r \ t *_{\text{C}} t)$ ⟩
    using ⟨ $x = (\sum a \in T. r \ a *_{\text{C}} a)$ ⟩
    by simp
  also have ⟨ $\dots = (\sum t \in T. a \cdot_C (r \ t *_{\text{C}} t))$ ⟩
    using  $\text{cinner-sum-right}$  by blast
  also have ⟨ $\dots = (\sum t \in T. r \ t * (a \cdot_C t))$ ⟩
    by simp
  also have ⟨ $\dots = r \ a * (a \cdot_C a) + (\sum t \in T - \{a\}. r \ t * (a \cdot_C t))$ ⟩
    using ⟨ $a \in T$ ⟩
    by (meson  $\text{finite } T$   $\text{sum.remove}$ )
  also have ⟨ $\dots = r \ a * (a \cdot_C a)$ ⟩
    using  $s1$ 
    by simp
  also have ⟨ $\dots = r \ a$ ⟩
    by (simp add:  $w1$ )
  finally show ?thesis by auto
qed
thus ?thesis
  using ⟨ $x = (\sum a \in T. r \ a *_{\text{C}} a)$ ⟩
  by fastforce
qed

lemma  $\text{is-ortho-set-singleton}[simp]$ : ⟨ $\text{is-ortho-set } \{x\} \longleftrightarrow x \neq 0$ ⟩
  by (simp add:  $\text{is-ortho-set-def}$ )

lemma  $\text{orthogonal-complement-antimono}[simp]$ :

```

```

fixes A B :: ⟨('a::complex-inner) set⟩
assumes A ⊇ B
shows ⟨orthogonal-complement A ⊆ orthogonal-complement B⟩
by (meson assms orthogonal-complementI orthogonal-complement-orthoI' subsetD
subsetI)

lemma orthogonal-complement-UNIV[simp]:
  orthogonal-complement UNIV = {0}
by (metis Int-UNIV-left complex-vector.subspace-UNIV complex-vector.subspace-def
orthogonal-complement-zero-intersection)

lemma orthogonal-complement-zero[simp]:
  orthogonal-complement {0} = UNIV
unfolding orthogonal-complement-def by auto

lemma mem-ortho-ccspanI:
  assumes ⟨⋀y. y ∈ S ⟹ is-orthogonal x y⟩
  shows ⟨x ∈ space-as-set (− ccspan S)⟩
proof −
  have ⟨x ∈ space-as-set (ccspan {x})⟩
    using ccspan-superset by blast
  also have ⟨... ⊆ space-as-set (− ccspan S)⟩
    apply (simp add: flip: less-eq-ccsubspace.rep-eq)
    apply (rule ccspan-leq-ortho-ccspan)
    using assms by auto
  finally show ?thesis
    by −
qed

lemma cfinit-dim-subspace-has-onb:
  assumes ⟨cfinit-dim S⟩ and ⟨csubspace S⟩
  shows ⟨∃ B. finite B ∧ is-ortho-set B ∧ cspan B = S ∧ (∀ x∈B. norm x = 1)⟩
proof −
  from assms
  obtain C where ⟨finite C⟩ and ⟨cindependent C⟩ and ⟨cspan C = S⟩
    using cfinit-dim-subspace-has-basis by blast
  obtain B where ⟨finite B⟩ and ⟨is-ortho-set B⟩ and ⟨cspan B = cspan C⟩
    and norm: ⟨x ∈ B ⟹ norm x = 1⟩ for x
    using orthonormal-basis-of-cspan[OF ⟨finite C⟩]
    by blast
  with ⟨cspan C = S⟩ have ⟨cspan B = S⟩
    by simp
  with ⟨finite B⟩ and ⟨is-ortho-set B⟩ and norm
  show ?thesis
    by blast
qed

```

## 9.4 Projections

**lemma** *smallest-norm-exists*:

— Theorem 2.5 in [1] (inside the proof)

**includes** *norm-syntax*

**fixes**  $M :: \langle 'a::\text{hilbert-space set} \rangle$

**assumes**  $q1: \langle \text{convex } M \rangle$  **and**  $q2: \langle \text{closed } M \rangle$  **and**  $q3: \langle M \neq \{\} \rangle$

**shows**  $\langle \exists k. \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) k \rangle$

**proof** –

**define**  $d$  **where**  $\langle d = \text{Inf } \{ \|x\|^2 \mid x. x \in M \} \rangle$

**have**  $w4: \langle \{ \|x\|^2 \mid x. x \in M \} \neq \{\} \rangle$

**by** (*simp add: assms(3)*)

**have**  $\langle \forall x. \|x\|^2 \geq 0 \rangle$

**by** *simp*

**hence**  $\text{bdd-below1}: \langle \text{bdd-below } \{ \|x\|^2 \mid x. x \in M \} \rangle$

**by** *fastforce*

**have**  $\langle d \leq \|x\|^2 \rangle$  **if**  $a1: x \in M$  **for**  $x$

**proof** –

**have**  $\forall v. (\exists w. \text{Re } (v \cdot_C v) = \|w\|^2 \wedge w \in M) \vee v \notin M$

**by** (*metis (no-types) power2-norm-eq-cinner'*)

**hence**  $\text{Re } (x \cdot_C x) \in \{\|v\|^2 \mid v. v \in M\}$

**using**  $a1$  **by** *blast*

**thus** *?thesis*

**unfolding**  $d\text{-def}$

**by** (*metis (lifting) bdd-below1 cInf-lower power2-norm-eq-cinner'*)

**qed**

**have**  $\langle \forall \varepsilon > 0. \exists t \in \{ \|x\|^2 \mid x. x \in M \}. t < d + \varepsilon \rangle$

**unfolding**  $d\text{-def}$

**using**  $w4$  *bdd-below1*

**by** (*meson cInf-lessD less-add-same-cancel1*)

**hence**  $\langle \forall \varepsilon > 0. \exists x \in M. \|x\|^2 < d + \varepsilon \rangle$

**by** *auto*

**hence**  $\langle \forall \varepsilon > 0. \exists x \in M. \|x\|^2 < d + \varepsilon \rangle$

**by** (*simp add: \(\bigwedge x. x \in M \implies d \leq \|x\|^2\)*)

**hence**  $w1: \langle \forall n::\text{nat}. \exists x \in M. \|x\|^2 < d + 1/(n+1) \rangle$  **by** *auto*

**then obtain**  $r::\langle \text{nat} \Rightarrow 'a \rangle$  **where**  $w2: \langle \forall n. r\ n \in M \wedge \|r\ n\|^2 < d + 1/(n+1) \rangle$

**by** *metis*

**have**  $w3: \langle \forall n. r\ n \in M \rangle$

**by** (*simp add: w2*)

**have**  $\langle \forall n. \|r\ n\|^2 < d + 1/(n+1) \rangle$

**by** (*simp add: w2*)

**have**  $w5: \langle \| (r\ n) - (r\ m) \|^2 < 2*(1/(n+1) + 1/(m+1)) \rangle$

**for**  $m\ n$

**proof** –

**have**  $w6: \langle \| r\ n \|^2 < d + 1/(n+1) \rangle$

**by** (*metis w2 of-nat-1 of-nat-add*)

**have**  $\langle \| r\ m \|^2 < d + 1/(m+1) \rangle$

```

    by (metis w2 of-nat-1 of-nat-add)
  have ⟨(r n) ∈ M⟩
    by (simp add: ⟨∀ n. r n ∈ M⟩)
  moreover have ⟨(r m) ∈ M⟩
    by (simp add: ⟨∀ n. r n ∈ M⟩)
  ultimately have ⟨(1/2) *R (r n) + (1/2) *R (r m) ∈ M⟩
    using ⟨convex M⟩
    by (simp add: convexD)
  hence ⟨|| (1/2) *R (r n) + (1/2) *R (r m) ||2 ≥ d⟩
    by (simp add: ⟨⋀ x. x ∈ M ⟹ d ≤ ||x||2⟩)
  have ⟨|| (1/2) *R (r n) - (1/2) *R (r m) ||2
    = (1/2)*( || r n ||2 + || r m ||2 ) - || (1/2) *R (r n) + (1/2) *R
(r m) ||2⟩
    by (smt (z3) div-by-1 field-sum-of-halves nonzero-mult-div-cancel-left parallelo-
gram-law polar-identity power2-norm-eq-cinner' scaleR-collapse times-divide-eq-left)
  also have ⟨...
    < (1/2)*( d + 1/(n+1) + || r m ||2 ) - || (1/2) *R (r n) + (1/2)
*_R (r m) ||2⟩
    using ⟨|| r n ||2 < d + 1 / real (n + 1)⟩ by auto
  also have ⟨...
    < (1/2)*( d + 1/(n+1) + d + 1/(m+1) ) - || (1/2) *R (r n) +
(1/2) *_R (r m) ||2⟩
    using ⟨|| r m ||2 < d + 1 / real (m + 1)⟩ by auto
  also have ⟨...
    ≤ (1/2)*( d + 1/(n+1) + d + 1/(m+1) ) - d⟩
    by (simp add: ⟨d ≤ ||(1 / 2) *R r n + (1 / 2) *R r m||2⟩)
  also have ⟨...
    ≤ (1/2)*( 1/(n+1) + 1/(m+1) + 2*d ) - d⟩
    by simp
  also have ⟨...
    ≤ (1/2)*( 1/(n+1) + 1/(m+1) ) + (1/2)*(2*d) - d⟩
    by (simp add: distrib-left)
  also have ⟨...
    ≤ (1/2)*( 1/(n+1) + 1/(m+1) ) + d - d⟩
    by simp
  also have ⟨...
    ≤ (1/2)*( 1/(n+1) + 1/(m+1) )⟩
    by simp
  finally have ⟨ ||(1 / 2) *R r n - (1 / 2) *R r m||2 < 1 / 2 * (1 / real (n +
1) + 1 / real (m + 1)) ⟩
    by blast
  hence ⟨ ||(1 / 2) *R (r n - r m) ||2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
    by (simp add: real-vector.scale-right-diff-distrib)
  hence ⟨ ((1 / 2)*|| (r n - r m) ||)2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
    by simp
  hence ⟨ (1 / 2)2*(|| (r n - r m) ||)2 < (1 / 2) * (1 / real (n + 1) + 1 /
real (m + 1)) ⟩

```

```

    by (metis power-mult-distrib)
  hence ⟨ (1 / 4) * (|| (r n - r m) ||)2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
    by (simp add: power-divide)
  hence ⟨ || (r n - r m) ||2 < 2 * (1 / real (n + 1) + 1 / real (m + 1)) ⟩
    by simp
  thus ?thesis
    by (metis of-nat-1 of-nat-add)
qed
hence ∃ N. ∀ n m. n ≥ N ∧ m ≥ N ⟶ || (r n) - (r m) ||2 < ε2
  if ε > 0
  for ε
proof-
  obtain N::nat where ⟨1/(N + 1) < ε2/4⟩
    using LIMSEQ-ignore-initial-segment[OF lim-inverse-n', where k=1]
  by (metis Suc-eq-plus1 ⟨0 < ε⟩ nat-approx-posE zero-less-divide-iff zero-less-numeral
    zero-less-power )
  hence ⟨4/(N + 1) < ε2⟩
    by simp
  have 2*(1/(n+1) + 1/(m+1)) < ε2
    if f1: n ≥ N and f2: m ≥ N
    for m n::nat
  proof-
    have ⟨1/(n+1) ≤ 1/(N+1)⟩
      by (simp add: f1 linordered-field-class.frac-le)
    moreover have ⟨1/(m+1) ≤ 1/(N+1)⟩
      by (simp add: f2 linordered-field-class.frac-le)
    ultimately have ⟨2*(1/(n+1) + 1/(m+1)) ≤ 4/(N+1)⟩
      by simp
    thus ?thesis using ⟨4/(N + 1) < ε2⟩
      by linarith
  qed
  hence || (r n) - (r m) ||2 < ε2
    if y1: n ≥ N and y2: m ≥ N
    for m n::nat
    using that
    by (smt ⟨∧ n m. ||r n - r m||2 < 2 * (1 / (real n + 1) + 1 / (real m + 1))⟩
of-nat-1 of-nat-add)
  thus ?thesis
    by blast
qed
hence ⟨∀ ε > 0. ∃ N::nat. ∀ n m::nat. n ≥ N ∧ m ≥ N ⟶ || (r n) - (r m)
||2 < ε2⟩
  by blast
hence ⟨∀ ε > 0. ∃ N::nat. ∀ n m::nat. n ≥ N ∧ m ≥ N ⟶ || (r n) - (r m)
|| < ε⟩
  by (meson less-eq-real-def power-less-imp-less-base)
hence ⟨Cauchy r⟩
  using CauchyI by fastforce

```

```

then obtain  $k$  where  $\langle r \longrightarrow k \rangle$ 
  using convergent-eq-Cauchy by auto
have  $\langle k \in M \rangle$  using  $\langle \text{closed } M \rangle$ 
  using  $\langle \forall n. r\ n \in M \rangle \langle r \longrightarrow k \rangle$  closed-sequentially by auto
have  $\langle (\lambda n. \| r\ n \|^2) \longrightarrow \| k \|^2 \rangle$ 
  by (simp add:  $\langle r \longrightarrow k \rangle$  tendsto-norm tendsto-power)
moreover have  $\langle (\lambda n. \| r\ n \|^2) \longrightarrow d \rangle$ 
proof -
  have  $\langle \| r\ n \|^2 - d < 1/(n+1) \rangle$  for  $n :: \text{nat}$ 
    using  $\langle \bigwedge x. x \in M \implies d \leq \|x\|^2 \rangle \langle \forall n. r\ n \in M \wedge \|r\ n\|^2 < d + 1 / (\text{real } n + 1) \rangle$  of-nat-1 of-nat-add
    by smt
  moreover have  $\langle (\lambda n. 1 / \text{real } (n + 1)) \longrightarrow 0 \rangle$ 
    using LIMSEQ-ignore-initial-segment[OF lim-inverse-n', where  $k=1$ ] by blast
  ultimately have  $\langle (\lambda n. \| r\ n \|^2 - d) \longrightarrow 0 \rangle$ 
    by (simp add: LIMSEQ-norm-0)
  hence  $\langle (\lambda n. \| r\ n \|^2 - d) \longrightarrow 0 \rangle$ 
    by (simp add: tendsto-rabs-zero-iff)
  moreover have  $\langle (\lambda n. d) \longrightarrow d \rangle$ 
    by simp
  ultimately have  $\langle (\lambda n. (\| r\ n \|^2 - d) + d) \longrightarrow 0 + d \rangle$ 
    using tendsto-add by fastforce
  thus ?thesis by simp
qed
ultimately have  $\langle d = \| k \|^2 \rangle$ 
  using LIMSEQ-unique by auto
hence  $\langle t \in M \implies \| k \|^2 \leq \| t \|^2 \rangle$  for  $t$ 
  using  $\langle \bigwedge x. x \in M \implies d \leq \|x\|^2 \rangle$  by auto
hence  $q1$ :  $\langle \exists k. \text{is-arg-min } (\lambda x. \|x\|^2) (\lambda t. t \in M) k \rangle$ 
  using  $\langle k \in M \rangle$ 
    is-arg-min-def  $\langle d = \|k\|^2 \rangle$ 
  by smt
thus  $\langle \exists k. \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) k \rangle$ 
  by (smt is-arg-min-def norm-ge-zero power2-eq-square power2-le-imp-le)
qed

```

**lemma** *smallest-norm-unique*:

— Theorem 2.5 in [1] (inside the proof)

**includes** *norm-syntax*

**fixes**  $M :: \langle 'a :: \text{complex-inner set} \rangle$

**assumes**  $q1$ :  $\langle \text{convex } M \rangle$

**assumes**  $r$ :  $\langle \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) r \rangle$

**assumes**  $s$ :  $\langle \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) s \rangle$

**shows**  $\langle r = s \rangle$

**proof** —

**have**  $\langle r \in M \rangle$

**using**  $\langle \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) r \rangle$

```

    by (simp add: is-arg-min-def)
  moreover have  $\langle s \in M \rangle$ 
    using  $\langle \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) s \rangle$ 
    by (simp add: is-arg-min-def)
  ultimately have  $\langle ((1/2) *_{\mathbb{R}} r + (1/2) *_{\mathbb{R}} s) \in M \rangle$  using  $\langle \text{convex } M \rangle$ 
    by (simp add: convexD)
  hence  $\langle \|r\| \leq \| (1/2) *_{\mathbb{R}} r + (1/2) *_{\mathbb{R}} s \| \rangle$ 
    by (metis is-arg-min-linorder r)
  hence u2:  $\langle \|r\|^2 \leq \| (1/2) *_{\mathbb{R}} r + (1/2) *_{\mathbb{R}} s \|^2 \rangle$ 
    using norm-ge-zero power-mono by blast

  have  $\langle \|r\| \leq \|s\| \rangle$ 
    using r s is-arg-min-def
    by (metis is-arg-min-linorder)
  moreover have  $\langle \|s\| \leq \|r\| \rangle$ 
    using r s is-arg-min-def
    by (metis is-arg-min-linorder)
  ultimately have u3:  $\langle \|r\| = \|s\| \rangle$  by simp

  have  $\langle \| (1/2) *_{\mathbb{R}} r - (1/2) *_{\mathbb{R}} s \|^2 \leq 0 \rangle$ 
    using u2 u3 parallelogram-law
    by (smt (verit, ccfv-SIG) polar-identity-minus power2-norm-eq-cinner' scaleR-add-right
scaleR-half-double)
  hence  $\langle \| (1/2) *_{\mathbb{R}} r - (1/2) *_{\mathbb{R}} s \|^2 = 0 \rangle$ 
    by simp
  hence  $\langle \| (1/2) *_{\mathbb{R}} r - (1/2) *_{\mathbb{R}} s \| = 0 \rangle$ 
    by auto
  hence  $\langle (1/2) *_{\mathbb{R}} r - (1/2) *_{\mathbb{R}} s = 0 \rangle$ 
    using norm-eq-zero by blast
  thus ?thesis by simp
qed

theorem smallest-dist-exists:
  — Theorem 2.5 in [1]
  fixes M:: $\langle \text{'a::chilbert-space set'} \rangle$  and h
  assumes a1:  $\langle \text{convex } M \rangle$  and a2:  $\langle \text{closed } M \rangle$  and a3:  $\langle M \neq \{\} \rangle$ 
  shows  $\langle \exists k. \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$ 
proof —
  have *:  $\text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) (k+h) \longleftrightarrow \text{is-arg-min } (\lambda x. \text{norm } x) (\lambda x. x \in (\lambda x. x-h) \text{ ' } M) k$  for k
    unfolding dist-norm is-arg-min-def apply auto using add-implies-diff by blast
  have  $\langle \exists k. \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) (k+h) \rangle$ 
    apply (subst *)
    apply (rule smallest-norm-exists)
    using assms by (auto simp: closed-translation-subtract)
  then show  $\langle \exists k. \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$ 
    by metis
qed

```

**theorem** *smallest-dist-unique*:

— Theorem 2.5 in [1]

**fixes**  $M::\langle 'a::\text{complex-inner set} \rangle$  **and**  $h$

**assumes**  $a1::\langle \text{convex } M \rangle$

**assumes**  $\langle \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) r \rangle$

**assumes**  $\langle \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) s \rangle$

**shows**  $\langle r = s \rangle$

**proof** –

**have**  $*$ :  $\text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \longleftrightarrow \text{is-arg-min } (\lambda x. \text{norm } x) (\lambda x. x \in (\lambda x. x - h) {}^{\perp} M) (k - h)$  **for**  $k$

**unfolding**  $\text{dist-norm is-arg-min-def}$  **by** *auto*

**have**  $\langle r - h = s - h \rangle$

**using**  $- \text{assms}(2,3)[\text{unfolded } *]$  **apply**  $(\text{rule smallest-norm-unique})$

**by**  $(\text{simp add: } a1)$

**thus**  $\langle r = s \rangle$

**by** *auto*

**qed**

— Theorem 2.6 in [1]

**theorem** *smallest-dist-is-ortho*:

**fixes**  $M::\langle 'a::\text{complex-inner set} \rangle$  **and**  $h k::'a$

**assumes**  $b1::\langle \text{closed-csubspace } M \rangle$

**shows**  $\langle (\text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k) \longleftrightarrow$

$h - k \in \text{orthogonal-complement } M \wedge k \in M \rangle$

**proof** –

**include** *norm-syntax*

**have**  $\langle \text{csubspace } M \rangle$

**using**  $\langle \text{closed-csubspace } M \rangle$  **unfolding**  $\text{closed-csubspace-def}$  **by** *blast*

**have**  $r1::\langle 2 * \text{Re } ((h - k) \cdot_C f) \leq \|f\|^2 \rangle$

**if**  $f \in M$  **and**  $\langle k \in M \rangle$  **and**  $\langle \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$

**for**  $f$

**proof** –

**have**  $\langle k + f \in M \rangle$

**using**  $\langle \text{csubspace } M \rangle$

**by**  $(\text{simp add: complex-vector.subspace-add that})$

**have**  $\forall f A a b. \neg \text{is-arg-min } f (\lambda x. x \in A) (a::'a) \vee (f a::\text{real}) \leq f b \vee b \notin A$

**by**  $(\text{metis (no-types) is-arg-min-linorder})$

**hence**  $\text{dist } k h \leq \text{dist } (f + k) h$

**by**  $(\text{metis } \langle \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle \langle k + f \in M \rangle \text{add.commute})$

**hence**  $\langle \text{dist } h k \leq \text{dist } h (k + f) \rangle$

**by**  $(\text{simp add: add.commute dist-commute})$

**hence**  $\langle \|h - k\| \leq \|h - (k + f)\| \rangle$

**by**  $(\text{simp add: dist-norm})$

**hence**  $\langle \|h - k\|^2 \leq \|h - (k + f)\|^2 \rangle$

**by**  $(\text{simp add: power-mono})$

**also have**  $\langle \dots \leq \|(h - k) - f\|^2 \rangle$

**by**  $(\text{simp add: diff-diff-add})$

**also have**  $\langle \dots \leq \|(h - k)\|^2 + \|f\|^2 - 2 * \text{Re } ((h - k) \cdot_C f) \rangle$

```

    by (simp add: polar-identity-minus)
    finally have ⟨|| (h - k) ||^2 ≤ || (h - k) ||^2 + || f ||^2 - 2 * Re ((h - k)
·_C f)⟩
    by simp
    thus ?thesis by simp
qed

have q4: ⟨∀ c > 0. 2 * Re ((h - k) ·_C f) ≤ c⟩
  if ⟨∀ c > 0. 2 * Re ((h - k) ·_C f) ≤ c * ||f||^2⟩
  for f
proof (cases ⟨|| f ||^2 > 0⟩)
  case True
  hence ⟨∀ c > 0. 2 * Re (((h - k) ·_C f)) ≤ (c/|| f ||^2)*|| f ||^2⟩
    using that linordered-field-class.divide-pos-pos by blast
  thus ?thesis
    using True by auto
next
  case False
  hence ⟨|| f ||^2 = 0⟩
    by simp
  thus ?thesis
    by auto
qed
have q3: ⟨∀ c::real. c > 0 ⟶ 2 * Re (((h - k) ·_C f)) ≤ 0⟩
  if a3: ⟨∀ f. f ∈ M ⟶ (∀ c > 0. 2 * Re ((h - k) ·_C f) ≤ c * ||f||^2)⟩
  and a2: f ∈ M
  and a1: is-arg-min (λ x. dist x h) (λ x. x ∈ M) k
  for f
proof-
  have ⟨∀ c > 0. 2 * Re (((h - k) ·_C f)) ≤ c*|| f ||^2⟩
    by (simp add: that)
  thus ?thesis
    using q4 by smt
qed
have w2: h - k ∈ orthogonal-complement M ∧ k ∈ M
  if a1: is-arg-min (λ x. dist x h) (λ x. x ∈ M) k
proof-
  have ⟨k ∈ M⟩
    using is-arg-min-def that by fastforce
  hence ⟨∀ f. f ∈ M ⟶ 2 * Re (((h - k) ·_C f)) ≤ || f ||^2⟩
    using r1
    by (simp add: that)
  have ⟨∀ f. f ∈ M ⟶
    (∀ c::real. 2 * Re ((h - k) ·_C (c *_R f)) ≤ || c *_R f ||^2)⟩
    using assms scaleR-scaleC complex-vector.subspace-def ⟨csubspace M⟩
    by (metis ⟨∀ f. f ∈ M ⟶ 2 * Re ((h - k) ·_C f) ≤ ||f||^2⟩)
  hence ⟨∀ f. f ∈ M ⟶
    (∀ c::real. c * (2 * Re (((h - k) ·_C f))) ≤ || c *_R f ||^2)⟩
    by (metis Re-complex-of-real cinner-scaleC-right complex-add-cnj complex-cnj-complex-of-real

```

$\text{complex-cnj-mult of-real-mult scaleR-scaleC semiring-normalization-rules}(34))$   
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c * (2 * \text{Re } (((h - k) \cdot_C f))) \leq |c|^2 * \|f\|^2) \rangle$   
**by** (*simp add: power-mult-distrib*)  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c * (2 * \text{Re } (((h - k) \cdot_C f))) \leq c^2 * \|f\|^2) \rangle$   
**by** *auto*  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow c * (2 * \text{Re } (((h - k) \cdot_C f))) \leq c^2 * \|f\|^2) \rangle$   
**by** *simp*  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow c * (2 * \text{Re } (((h - k) \cdot_C f))) \leq c * (c * \|f\|^2)) \rangle$   
**by** (*simp add: power2-eq-square*)  
**hence**  $q4: \langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) \leq c * \|f\|^2) \rangle$   
**by** *simp*  
**have**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) \leq 0) \rangle$   
**using** *q3*  
**by** (*simp add: q4 that*)  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow (2 * \text{Re } (((h - k) \cdot_C (-1 *_{\mathbb{R}} f))) \leq 0) \rangle$   
**using** *assms scaleR-scaleC complex-vector.subspace-def*  
**by** (*metis csubspace M*)  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow -(2 * \text{Re } (((h - k) \cdot_C f))) \leq 0) \rangle$   
**by** *simp*  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) \geq 0) \rangle$   
**by** *simp*  
**hence**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) = 0) \rangle$   
**using**  $\langle \forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) \leq 0) \rangle$   
**by** *fastforce*  
  
**have**  $\langle \forall f. f \in M \longrightarrow$   
 $((1::\text{real}) > 0 \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) = 0) \rangle$   
**using**  $\langle \forall f. f \in M \longrightarrow (\forall c>0. 2 * \text{Re } (((h - k) \cdot_C f)) = 0) \rangle$  **by** *blast*  
**hence**  $\langle \forall f. f \in M \longrightarrow 2 * \text{Re } (((h - k) \cdot_C f)) = 0 \rangle$   
**by** *simp*  
**hence**  $\langle \forall f. f \in M \longrightarrow \text{Re } (((h - k) \cdot_C f)) = 0 \rangle$   
**by** *simp*  
**have**  $\langle \forall f. f \in M \longrightarrow \text{Re } ((h - k) \cdot_C ((\text{Complex } 0 \ (-1)) *_{\mathbb{C}} f)) = 0 \rangle$   
**using** *assms complex-vector.subspace-def csubspace M*  
**by** (*metis csubspace M*)  
**hence**  $\langle \forall f. f \in M \longrightarrow \text{Re } ((h - k) \cdot_C f) = 0 \rangle$   
**hence**  $\langle \forall f. f \in M \longrightarrow \text{Re } ((\text{Complex } 0 \ (-1)) *_{\mathbb{C}} ((h - k) \cdot_C f)) = 0 \rangle$   
**by** *simp*  
**hence**  $\langle \forall f. f \in M \longrightarrow \text{Im } (((h - k) \cdot_C f)) = 0 \rangle$

```

    using Complex-eq-neg-1 Re-i-times cinner-scaleC-right complex-of-real-def by
    auto

    have ⟨∀ f. f ∈ M ⟶ (((h - k) •C f)) = 0⟩
      using complex-eq-iff
      by (simp add: ⟨∀ f. f ∈ M ⟶ Im ((h - k) •C f) = 0⟩ ⟨∀ f. f ∈ M ⟶ Re
        ((h - k) •C f) = 0⟩)
    hence ⟨h - k ∈ orthogonal-complement M ∧ k ∈ M⟩
      by (simp add: ⟨k ∈ M⟩ orthogonal-complementI)
    have ⟨∀ c. c •R f ∈ M⟩
      if f ∈ M
      for f
      using that scaleR-scaleC ⟨csubspace M⟩ complex-vector.subspace-def
      by (simp add: complex-vector.subspace-def scaleR-scaleC)
    have ⟨((h - k) •C f) = 0⟩
      if f ∈ M
      for f
    using ⟨h - k ∈ orthogonal-complement M ∧ k ∈ M⟩ orthogonal-complement-orthoI
    that by auto
    hence ⟨h - k ∈ orthogonal-complement M⟩
      by (simp add: orthogonal-complement-def)
    thus ?thesis
      using ⟨k ∈ M⟩ by auto
  qed

  have q1: ⟨dist h k ≤ dist h f⟩
    if f ∈ M and ⟨h - k ∈ orthogonal-complement M ∧ k ∈ M⟩
    for f
  proof-
    have ⟨(h - k) •C (k - f) = 0⟩
      by (metis (no-types, lifting) that
        cinner-diff-right diff-0-right orthogonal-complement-orthoI that)
    have ⟨|| h - f ||2 = || (h - k) + (k - f) ||2⟩
      by simp
    also have ⟨... = || h - k ||2 + || k - f ||2⟩
      using ⟨((h - k) •C (k - f)) = 0⟩ pythagorean-theorem by blast
    also have ⟨... ≥ || h - k ||2⟩
      by simp
    finally have ⟨|| h - k ||2 ≤ || h - f ||2⟩
      by blast
    hence ⟨|| h - k || ≤ || h - f ||⟩
      using norm-ge-zero power2-le-imp-le by blast
    thus ?thesis
      by (simp add: dist-norm)
  qed

  have w1: is-arg-min (λ x. dist x h) (λ x. x ∈ M) k
    if h - k ∈ orthogonal-complement M ∧ k ∈ M
  proof-

```

```

have ⟨ $h - k \in \text{orthogonal-complement } M$ ⟩
  using that by blast
have ⟨ $k \in M$ ⟩ using ⟨ $h - k \in \text{orthogonal-complement } M \wedge k \in M$ ⟩
  by blast
thus ?thesis
  by (metis (no-types, lifting) dist-commute is-arg-min-linorder q1 that)
qed
show ?thesis
  using w1 w2 by blast
qed

```

```

corollary orthog-proj-exists:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes ⟨closed-csubspace  $M$ ⟩
  shows ⟨ $\exists k. h - k \in \text{orthogonal-complement } M \wedge k \in M$ ⟩
proof -
  from ⟨closed-csubspace  $M$ ⟩
  have ⟨ $M \neq \{\}$ ⟩
    using closed-csubspace.subspace complex-vector.subspace-0 by blast
  have ⟨closed  $M$ ⟩
    using ⟨closed-csubspace  $M$ ⟩
    by (simp add: closed-csubspace.closed)
  have ⟨convex  $M$ ⟩
    using ⟨closed-csubspace  $M$ ⟩
    by (simp)
  have ⟨ $\exists k. \text{is-arg-min } (\lambda x. \text{dist } x \ h) (\lambda x. x \in M) \ k$ ⟩
    by (simp add: smallest-dist-exists ⟨closed  $M$ ⟩ ⟨convex  $M$ ⟩ ⟨ $M \neq \{\}$ ⟩)
  thus ?thesis
    by (simp add: asms smallest-dist-is-ortho)
qed

```

```

corollary orthog-proj-unique:
  fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
  assumes ⟨closed-csubspace  $M$ ⟩
  assumes ⟨ $h - r \in \text{orthogonal-complement } M \wedge r \in M$ ⟩
  assumes ⟨ $h - s \in \text{orthogonal-complement } M \wedge s \in M$ ⟩
  shows ⟨ $r = s$ ⟩
  using - asms(2,3) unfolding smallest-dist-is-ortho[OF asms(1), symmetric]
  apply (rule smallest-dist-unique)
  using asms(1) by (simp)

```

**definition** *is-projection-on*:: $\langle ('a \Rightarrow 'a) \Rightarrow ('a::\text{metric-space}) \text{ set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{is-projection-on } \pi \ M \iff (\forall h. \text{is-arg-min } (\lambda x. \text{dist } x \ h) (\lambda x. x \in M) (\pi \ h)) \rangle$

**lemma** *is-projection-on-iff-orthog*:  
 $\langle \text{closed-csubspace } M \implies \text{is-projection-on } \pi \ M \iff (\forall h. h - \pi \ h \in \text{orthogonal-complement } M \wedge \pi \ h \in M) \rangle$   
**by** (simp add: is-projection-on-def smallest-dist-is-ortho)

```

lemma is-projection-on-exists:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$  and  $\langle \text{closed } M \rangle$  and  $\langle M \neq \{\} \rangle$ 
  shows  $\exists \pi. \text{is-projection-on } \pi \ M$ 
  unfolding is-projection-on-def apply (rule choice)
  using smallest-dist-exists[OF assms] by auto

lemma is-projection-on-unique:
  fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$ 
  assumes is-projection-on  $\pi_1 \ M$ 
  assumes is-projection-on  $\pi_2 \ M$ 
  shows  $\pi_1 = \pi_2$ 
  using smallest-dist-unique[OF assms(1)] using assms(2,3)
  unfolding is-projection-on-def by blast

definition projection ::  $\langle 'a::\text{metric-space set} \Rightarrow ('a \Rightarrow 'a) \rangle$  where
   $\langle \text{projection } M = (\text{SOME } \pi. \text{is-projection-on } \pi \ M) \rangle$ 

lemma projection-is-projection-on:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$  and  $\langle \text{closed } M \rangle$  and  $\langle M \neq \{\} \rangle$ 
  shows is-projection-on (projection  $M$ )  $M$ 
  by (metis assms(1) assms(2) assms(3) is-projection-on-exists projection-def someI)

lemma projection-is-projection-on'[simp]:
  — Common special case of  $\llbracket \text{convex } ?M; \text{closed } ?M; ?M \neq \{\} \rrbracket \implies \text{is-projection-on}$ 
  (projection  $?M$ )  $?M$ 
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{closed-csubspace } M \rangle$ 
  shows is-projection-on (projection  $M$ )  $M$ 
  apply (rule projection-is-projection-on)
  apply (auto simp add: assms closed-csubspace.closed)
  using assms closed-csubspace.subspace complex-vector.subspace-0 by blast

lemma projection-orthogonal:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes closed-csubspace  $M$  and  $\langle m \in M \rangle$ 
  shows  $\langle \text{is-orthogonal } (h - \text{projection } M \ h) \ m \rangle$ 
  by (metis assms(1) assms(2) closed-csubspace.closed closed-csubspace.subspace
csubspace-is-convex empty-iff is-projection-on-iff-orthog orthogonal-complement-orthoI
projection-is-projection-on)

lemma is-projection-on-in-image:
  assumes is-projection-on  $\pi \ M$ 
  shows  $\pi \ h \in M$ 
  using assms
  by (simp add: is-arg-min-def is-projection-on-def)

```

```

lemma is-projection-on-image:
  assumes is-projection-on  $\pi$   $M$ 
  shows  $\text{range } \pi = M$ 
  using assms
  apply (auto simp: is-projection-on-in-image)
  by (smt (verit, ccfv-threshold) dist-pos-lt dist-self is-arg-min-def is-projection-on-def rangeI)

lemma projection-in-image[simp]:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$  and  $\langle \text{closed } M \rangle$  and  $\langle M \neq \{\} \rangle$ 
  shows  $\langle \text{projection } M \ h \in M \rangle$ 
  by (simp add: assms(1) assms(2) assms(3) is-projection-on-in-image projection-is-projection-on)

lemma projection-image[simp]:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$  and  $\langle \text{closed } M \rangle$  and  $\langle M \neq \{\} \rangle$ 
  shows  $\langle \text{range } (\text{projection } M) = M \rangle$ 
  by (simp add: assms(1) assms(2) assms(3) is-projection-on-image projection-is-projection-on)

lemma projection-eqI':
  fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
  assumes  $\langle \text{convex } M \rangle$ 
  assumes  $\langle \text{is-projection-on } f \ M \rangle$ 
  shows  $\langle \text{projection } M = f \rangle$ 
  by (metis assms(1) assms(2) is-projection-on-unique projection-def someI-ex)

lemma is-projection-on-eqI:
  fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
  assumes  $a1: \langle \text{closed-csubspace } M \rangle$  and  $a2: \langle h - x \in \text{orthogonal-complement } M \rangle$ 
and  $a3: \langle x \in M \rangle$ 
  and  $a4: \langle \text{is-projection-on } \pi \ M \rangle$ 
  shows  $\langle \pi \ h = x \rangle$ 
  by (meson a1 a2 a3 a4 closed-csubspace.subspace csubspace-is-convex is-projection-on-def smallest-dist-is-ortho smallest-dist-unique)

lemma projection-eqI:
  fixes  $M :: \langle 'a::\text{hilbert-space} \rangle \text{ set} \rangle$ 
  assumes  $\langle \text{closed-csubspace } M \rangle$  and  $\langle h - x \in \text{orthogonal-complement } M \rangle$  and
 $\langle x \in M \rangle$ 
  shows  $\langle \text{projection } M \ h = x \rangle$ 
  by (metis assms(1) assms(2) assms(3) is-projection-on-iff-orthog orthog-proj-exists projection-def is-projection-on-eqI tft-some)

lemma is-projection-on-fixes-image:
  fixes  $M :: \langle 'a::\text{metric-space set} \rangle$ 
  assumes  $a1: \text{is-projection-on } \pi \ M$  and  $a3: x \in M$ 
  shows  $\pi \ x = x$ 

```

by (metis a1 a3 dist-pos-lt dist-self is-arg-min-def is-projection-on-def)

**lemma** *projection-fixes-image*:  
 fixes  $M :: \langle 'a::\text{hilbert-space} \rangle \text{ set} \rangle$   
 assumes *closed-csubspace*  $M$  and  $x \in M$   
 shows *projection*  $M$   $x = x$   
 using *is-projection-on-fixes-image*  
 — Theorem 2.7 in [1]  
 by (simp add: asms complex-vector.subspace-0 projection-eqI)

**lemma** *is-projection-on-closed*:  
 assumes *cont-f*:  $\langle \bigwedge x. x \in \text{closure } M \implies \text{isCont } f \ x \rangle$   
 assumes  $\langle \text{is-projection-on } f \ M \rangle$   
 shows  $\langle \text{closed } M \rangle$   
**proof** —  
 have  $\langle x \in M \rangle$  if  $\langle s \longrightarrow x \rangle$  and  $\langle \text{range } s \subseteq M \rangle$  for  $s$   $x$   
**proof** —  
 from  $\langle \text{is-projection-on } f \ M \rangle$   $\langle \text{range } s \subseteq M \rangle$   
 have  $\langle s = (f \circ s) \rangle$   
 by (simp add: comp-def is-projection-on-fixes-image range-subsetD)  
 also from *cont-f*  $\langle s \longrightarrow x \rangle$   
 have  $\langle (f \circ s) \longrightarrow f \ x \rangle$   
 apply (rule continuous-imp-tendsto)  
 using  $\langle s \longrightarrow x \rangle$   $\langle \text{range } s \subseteq M \rangle$   
 by (meson closure-sequential range-subsetD)  
 finally have  $\langle x = f \ x \rangle$   
 using  $\langle s \longrightarrow x \rangle$   
 by (simp add: LIMSEQ-unique)  
 then have  $\langle x \in \text{range } f \rangle$   
 by simp  
 with  $\langle \text{is-projection-on } f \ M \rangle$  show  $\langle x \in M \rangle$   
 by (simp add: is-projection-on-image)  
**qed**  
 then show ?thesis  
 by (metis closed-sequential-limits image-subset-iff)  
**qed**

**proposition** *is-projection-on-reduces-norm*:  
 includes *norm-syntax*  
 fixes  $M :: \langle 'a::\text{complex-inner} \rangle \text{ set} \rangle$   
 assumes  $\langle \text{is-projection-on } \pi \ M \rangle$  and  $\langle \text{closed-csubspace } M \rangle$   
 shows  $\langle \| \pi \ h \| \leq \| h \| \rangle$   
**proof** —  
 have  $\langle h - \pi \ h \in \text{orthogonal-complement } M \rangle$   
 using asms *is-projection-on-iff-orthog* by blast  
 hence  $\langle \forall k \in M. \text{is-orthogonal } (h - \pi \ h) \ k \rangle$   
 using *orthogonal-complement-orthoI* by blast  
 also have  $\langle \pi \ h \in M \rangle$   
 using  $\langle \text{is-projection-on } \pi \ M \rangle$

```

    by (simp add: is-projection-on-in-image)
  ultimately have ⟨is-orthogonal (h - π h) (π h)⟩
    by auto
  hence ⟨|| π h ||2 + || h - π h ||2 = || h ||2⟩
    using pythagorean-theorem by fastforce
  hence ⟨|| π h ||2 ≤ || h ||2⟩
    by (smt zero-le-power2)
  thus ?thesis
    using norm-ge-zero power2-le-imp-le by blast
qed

```

**proposition** *projection-reduces-norm:*

```

  includes norm-syntax
  fixes M :: ⟨'a::hilbert-space set⟩
  assumes a1: closed-csubspace M
  shows ⟨|| projection M h || ≤ || h ||⟩
  using assms is-projection-on-iff-orthog orthog-proj-exists is-projection-on-reduces-norm
  projection-eqI by blast

```

— Theorem 2.7 (version) in [1]

**theorem** *is-projection-on-bounded-clinear:*

```

  fixes M :: ⟨'a::complex-inner set⟩
  assumes a1: is-projection-on π M and a2: closed-csubspace M
  shows bounded-clinear π

```

**proof**

```

  have b1: ⟨csubspace (orthogonal-complement M)⟩
    by (simp add: a2)
  have f1: ∀ a. a - π a ∈ orthogonal-complement M ∧ π a ∈ M
    using a1 a2 is-projection-on-iff-orthog by blast
  hence c *C x - c *C π x ∈ orthogonal-complement M
    for c x
    by (metis (no-types) b1
        add-diff-cancel-right' complex-vector.subspace-def diff-add-cancel scaleC-add-right)
  thus r1: ⟨π (c *C x) = c *C (π x)⟩ for x c
    using f1 by (meson a2 a1 closed-csubspace.subspace
        complex-vector.subspace-def is-projection-on-eqI)
  show r2: ⟨π (x + y) = (π x) + (π y)⟩
    for x y

```

**proof**—

```

  have ∀ A. ¬ closed-csubspace (A::'a set) ∨ csubspace A
    by (metis closed-csubspace.subspace)
  hence csubspace M
    using a2 by auto
  hence ⟨π (x + y) - (π x) + (π y)⟩ ∈ M
    by (simp add: complex-vector.subspace-add complex-vector.subspace-diff f1)
  have ⟨closed-csubspace (orthogonal-complement M)⟩
    using a2
    by simp
  have f1: ∀ a b. (b::'a) + (a - b) = a

```

```

    by (metis add.commute diff-add-cancel)
  have f2:  $\forall a b. (b::'a) - b = a - a$ 
    by auto
  hence f3:  $\forall a. a - a \in \text{orthogonal-complement } M$ 
    by (simp add: complex-vector.subspace-0)
  have  $\forall a b. (a \in \text{orthogonal-complement } M \vee a + b \notin \text{orthogonal-complement } M) \vee b \notin \text{orthogonal-complement } M$ 
    using add-diff-cancel-right' b1 complex-vector.subspace-diff
    by metis
  hence  $\forall a b c. (a \in \text{orthogonal-complement } M \vee c - (b + a) \notin \text{orthogonal-complement } M) \vee c - b \notin \text{orthogonal-complement } M$ 
    using f1 by (metis diff-diff-add)
  hence f4:  $\forall a b f. (f a - b \in \text{orthogonal-complement } M \vee a - b \notin \text{orthogonal-complement } M) \vee \neg \text{is-projection-on } f M$ 
    using f1
    by (metis a2 is-projection-on-iff-orthog)
  have f5:  $\forall a b c d. (d::'a) - (c + (b - a)) = d + (a - (b + c))$ 
    by auto
  have  $x - \pi x \in \text{orthogonal-complement } M$ 
    using a1 a2 is-projection-on-iff-orthog by blast
  hence q1:  $\langle \pi (x + y) - ((\pi x) + (\pi y)) \rangle \in \text{orthogonal-complement } M$ 
    using f5 f4 f3 by (metis csubspace (orthogonal-complement M) is-projection-on  $\pi M$  add-diff-eq complex-vector.subspace-diff diff-diff-add diff-diff-eq2)
  hence  $\langle \pi (x + y) - ((\pi x) + (\pi y)) \rangle \in M \cap (\text{orthogonal-complement } M)$ 
    by (simp add:  $\langle \pi (x + y) - (\pi x + \pi y) \rangle \in M$ )
  moreover have  $\langle M \cap (\text{orthogonal-complement } M) \rangle = \{0\}$ 
    by (simp add: csubspace M complex-vector.subspace-0 orthogonal-complement-zero-intersection)
  ultimately have  $\langle \pi (x + y) - ((\pi x) + (\pi y)) \rangle = 0$ 
    by auto
  thus ?thesis by simp
qed
from is-projection-on-reduces-norm
show t1:  $\langle \exists K. \forall x. \text{norm } (\pi x) \leq \text{norm } x * K \rangle$ 
  by (metis a1 a2 mult.left-neutral ordered-field-class.sign-simps(5))
qed

theorem projection-bounded-clinear:
  fixes M ::  $\langle 'a::\text{hilbert-space} \rangle \text{ set}$ 
  assumes a1: closed-csubspace M
  shows cbounded-clinear (projection M)
  — Theorem 2.7 in [1]
  using assms is-projection-on-iff-orthog orthog-proj-exists is-projection-on-bounded-clinear
  projection-eqI by blast

proposition is-projection-on-idem:

```

```

fixes  $M :: \langle 'a::\text{complex-inner} \rangle \text{ set}$ 
assumes  $\text{is-projection-on } \pi \ M$ 
shows  $\pi (\pi \ x) = \pi \ x$ 
using  $\text{is-projection-on-fixes-image is-projection-on-in-image assms by blast}$ 

```

**proposition** *projection-idem:*

```

fixes  $M :: 'a::\text{hilbert-space} \text{ set}$ 
assumes  $a1: \text{closed-csubspace } M$ 
shows  $\text{projection } M (\text{projection } M \ x) = \text{projection } M \ x$ 
by ( $\text{metis assms closed-csubspace.closed closed-csubspace.subspace complex-vector.subspace-0}$ 
 $\text{csubspace-is-convex equals0D projection-fixes-image projection-in-image}$ )

```

**proposition** *is-projection-on-kernel-is-orthogonal-complement:*

```

fixes  $M :: \langle 'a::\text{complex-inner} \rangle \text{ set}$ 
assumes  $a1: \text{is-projection-on } \pi \ M$  and  $a2: \text{closed-csubspace } M$ 
shows  $\pi - \{0\} = \text{orthogonal-complement } M$ 
proof –
  have  $x \in (\pi - \{0\})$ 
    if  $x \in \text{orthogonal-complement } M$ 
    for  $x$ 
    by ( $\text{smt (verit, ccfv-SIG) a1 a2 closed-csubspace-def complex-vector.subspace-def}$ 
 $\text{complex-vector.subspace-diff is-projection-on-eqI orthogonal-complement-closed-subspace}$ 
 $\text{that vimage-singleton-eq}$ )
  moreover have  $x \in \text{orthogonal-complement } M$ 
    if  $s1: x \in \pi - \{0\}$  for  $x$ 
    by ( $\text{metis a1 a2 diff-zero is-projection-on-iff-orthog that vimage-singleton-eq}$ )
  ultimately show  $?thesis$ 
    by blast
qed

```

— Theorem 2.7 in [1]

**proposition** *projection-kernel-is-orthogonal-complement:*

```

fixes  $M :: \langle 'a::\text{hilbert-space} \rangle \text{ set}$ 
assumes  $\text{closed-csubspace } M$ 
shows  $(\text{projection } M) - \{0\} = (\text{orthogonal-complement } M)$ 
by ( $\text{metis assms closed-csubspace-def complex-vector.subspace-def csubspace-is-convex}$ 
 $\text{insert-absorb insert-not-empty is-projection-on-kernel-is-orthogonal-complement pro-}$ 
 $\text{jection-is-projection-on}$ )

```

**lemma** *is-projection-on-id-minus:*

```

fixes  $M :: \langle 'a::\text{complex-inner} \rangle \text{ set}$ 
assumes  $\text{is-proj: is-projection-on } \pi \ M$ 
and  $cc: \text{closed-csubspace } M$ 
shows  $\text{is-projection-on } (\text{id} - \pi) (\text{orthogonal-complement } M)$ 
using  $\text{is-proj apply (simp add: cc is-projection-on-iff-orthog)}$ 
using  $\text{double-orthogonal-complement-increasing by blast}$ 

```

Exercise 2 (section 2, chapter I) in [1]

```

lemma projection-on-orthogonal-complement[simp]:
  fixes  $M :: 'a::\text{hilbert-space set}$ 
  assumes  $a1: \text{closed-csubspace } M$ 
  shows  $\text{projection } (\text{orthogonal-complement } M) = \text{id} - \text{projection } M$ 
  apply (auto intro!: ext)
  by (smt (verit, ccfv-SIG) add-diff-cancel-left' assms closed-csubspace.closed closed-csubspace.subspace
    complex-vector.subspace-0 csubspace-is-convex diff-add-cancel double-orthogonal-complement-increasing
    insert-absorb insert-not-empty is-projection-on-iff-orthog orthogonal-complement-closed-subspace
    projection-eqI projection-is-projection-on subset-eq)

lemma is-projection-on-zero:
  is-projection-on  $(\lambda-. 0) \{0\}$ 
  by (simp add: is-projection-on-def is-arg-min-def)

lemma projection-zero[simp]:
   $\text{projection } \{0\} = (\lambda-. 0)$ 
  using is-projection-on-zero
  by (metis (full-types) is-projection-on-in-image projection-def singletonD someI-ex)

lemma is-projection-on-rank1:
  fixes  $t :: \langle 'a::\text{complex-inner} \rangle$ 
  shows  $\langle \text{is-projection-on } (\lambda x. ((t \cdot_C x) / (t \cdot_C t)) *_C t) \text{ (cspan } \{t\}) \rangle$ 
proof (cases  $\langle t = 0 \rangle$ )
  case True
  then show ?thesis
    by (simp add: is-projection-on-zero)
next
  case False
  define  $P$  where  $\langle P \ x = ((t \cdot_C x) / (t \cdot_C t)) *_C t \rangle$  for  $x$ 
  define  $t'$  where  $\langle t' = t /_C \text{norm } t \rangle$ 
  with False have  $\langle \text{norm } t' = 1 \rangle$ 
  by (simp add: norm-inverse)
  have  $P\text{-def}'$ :  $\langle P \ x = \text{cinner } t' \ x *_C t' \rangle$  for  $x$ 
  unfolding  $P\text{-def } t'\text{-def}$  apply auto
  by (metis divide-divide-eq-left divide-inverse mult.commute power2-eq-square
    power2-norm-eq-cinner)
  have  $\text{spant}'$ :  $\langle \text{cspan } \{t\} = \text{cspan } \{t'\} \rangle$ 
  by (simp add: False  $t'\text{-def}$ )
  have  $cc$ :  $\langle \text{closed-csubspace } (\text{cspan } \{t\}) \rangle$ 
  by (auto intro!: finite-cspan-closed closed-csubspace.intro)
  have  $\text{ortho}$ :  $\langle h - P \ h \in \text{orthogonal-complement } (\text{cspan } \{t\}) \rangle$  for  $h$ 
  unfolding  $\text{orthogonal-complement-def } P\text{-def}' \text{ spant}'$  apply auto
  by (smt (verit, ccfv-threshold)  $\langle \text{norm } t' = 1 \rangle$  add-cancel-right-left cinner-add-right
    cinner-commute' cinner-scaleC-right cnorm-eq-1 complex-vector.span-breakdown-eq
    complex-vector.span-empty diff-add-cancel mult-cancel-left1 singletonD)
  have  $\text{inspan}$ :  $\langle P \ h \in \text{cspan } \{t\} \rangle$  for  $h$ 
  unfolding  $P\text{-def}' \text{ spant}'$ 
  by (simp add: complex-vector.span-base complex-vector.span-scale)
  show  $\langle \text{is-projection-on } P \text{ (cspan } \{t\}) \rangle$ 

```

```

    apply (subst is-projection-on-iff-orthog)
    using cc ortho inspan by auto
qed

```

```

lemma projection-rank1:
  fixes t x :: ⟨'a::complex-inner⟩
  shows ⟨projection (cspan {t}) x = ((t •C x) / (t •C t)) •C t⟩
  apply (rule fun-cong, rule projection-eqI', simp)
  by (rule is-projection-on-rank1)

```

## 9.5 More orthogonal complement

The following lemmas logically fit into the "orthogonality" section but depend on projections for their proofs.

Corollary 2.8 in [1]

```

theorem double-orthogonal-complement-id[simp]:
  fixes M :: ⟨'a::hilbert-space set⟩
  assumes a1: closed-csubspace M
  shows orthogonal-complement (orthogonal-complement M) = M
proof-
  have b2: x ∈ (id - projection M) - ' {0}
    if c1: x ∈ M for x
    by (simp add: asms projection-fixes-image that)

  have b3: ⟨x ∈ M⟩
    if c1: ⟨x ∈ (id - projection M) - ' {0}⟩ for x
    by (metis asms closed-csubspace.closed closed-csubspace.subspace complex-vector.subspace-0
      csubspace-is-convex eq-id-iff equals0D fun-diff-def projection-in-image right-minus-eq
      that vimage-singleton-eq)
  have ⟨x ∈ M ⟷ x ∈ (id - projection M) - ' {0}⟩ for x
    using b2 b3 by blast
  hence b4: ⟨( id - (projection M) ) - ' {0} = M⟩
    by blast
  have b1: orthogonal-complement (orthogonal-complement M)
    = (projection (orthogonal-complement M)) - ' {0}
    by (simp add: a1 projection-kernel-is-orthogonal-complement del: projection-on-orthogonal-complement)
  also have ⟨... = ( id - (projection M) ) - ' {0}⟩
    by (simp add: a1)
  also have ⟨... = M⟩
    by (simp add: b4)
  finally show ?thesis by blast
qed

```

```

lemma orthogonal-complement-antimono-iff[simp]:
  fixes A B :: ⟨'a::hilbert-space set⟩
  assumes ⟨closed-csubspace A⟩ and ⟨closed-csubspace B⟩
  shows ⟨orthogonal-complement A ⊆ orthogonal-complement B ⟷ A ⊇ B⟩
proof (rule iffI)

```

```

show  $\langle \text{orthogonal-complement } A \subseteq \text{orthogonal-complement } B \rangle$  if  $\langle A \supseteq B \rangle$ 
  using that by auto

assume  $\langle \text{orthogonal-complement } A \subseteq \text{orthogonal-complement } B \rangle$ 
then have  $\langle \text{orthogonal-complement } (\text{orthogonal-complement } A) \supseteq \text{orthogonal-complement } (\text{orthogonal-complement } B) \rangle$ 
  by simp
then show  $\langle A \supseteq B \rangle$ 
  using assms by auto
qed

lemma de-morgan-orthogonal-complement-plus:
  fixes  $A\ B::('a::\text{complex-inner})\ \text{set}$ 
  assumes  $\langle 0 \in A \rangle$  and  $\langle 0 \in B \rangle$ 
  shows  $\langle \text{orthogonal-complement } (A +_M B) = \text{orthogonal-complement } A \cap \text{orthogonal-complement } B \rangle$ 
proof –
  have  $x \in (\text{orthogonal-complement } A) \cap (\text{orthogonal-complement } B)$ 
    if  $x \in \text{orthogonal-complement } (A +_M B)$  for  $x$ 
  proof –
    have  $\langle \text{orthogonal-complement } (A +_M B) = \text{orthogonal-complement } (A + B) \rangle$ 
    unfolding closed-sum-def by (subst orthogonal-complement-of-closure[symmetric], simp)
    hence  $\langle x \in \text{orthogonal-complement } (A + B) \rangle$ 
    using that by blast
    hence  $t1: \langle \forall z \in (A + B). (z \cdot_C x) = 0 \rangle$ 
    by (simp add: orthogonal-complement-orthoI')
    have  $\langle A \subseteq A + B \rangle$ 
    using subset-iff add.commute set-zero-plus2  $\langle 0 \in B \rangle$ 
    by fastforce
    hence  $\langle \forall z \in A. (z \cdot_C x) = 0 \rangle$ 
    using  $t1$  by auto
    hence  $w1: \langle x \in (\text{orthogonal-complement } A) \rangle$ 
    by (smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def)
    have  $\langle B \subseteq A + B \rangle$ 
    using  $\langle 0 \in A \rangle$  subset-iff set-zero-plus2 by blast
    hence  $\langle \forall z \in B. (z \cdot_C x) = 0 \rangle$ 
    using  $t1$  by auto
    hence  $\langle x \in (\text{orthogonal-complement } B) \rangle$ 
    by (smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def)
    thus ?thesis
    using  $w1$  by auto
  qed

moreover have  $x \in (\text{orthogonal-complement } (A +_M B))$ 
  if  $v1: x \in (\text{orthogonal-complement } A) \cap (\text{orthogonal-complement } B)$ 
  for  $x$ 
proof –
  have  $\langle x \in (\text{orthogonal-complement } A) \rangle$ 
  using  $v1$ 

```

by *blast*  
 hence  $\langle \forall y \in A. (y \cdot_C x) = 0 \rangle$   
 by (*simp add: orthogonal-complement-orthoI*)  
 have  $\langle x \in (\text{orthogonal-complement } B) \rangle$   
 using *v1*  
 by *blast*  
 hence  $\langle \forall y \in B. (y \cdot_C x) = 0 \rangle$   
 by (*simp add: orthogonal-complement-orthoI*)  
 have  $\langle \forall a \in A. \forall b \in B. (a+b) \cdot_C x = 0 \rangle$   
 by (*simp add:  $\langle \forall y \in A. y \cdot_C x = 0 \rangle \langle \forall y \in B. (y \cdot_C x) = 0 \rangle$  cinner-add-left*)  
 hence  $\langle \forall y \in (A + B). y \cdot_C x = 0 \rangle$   
 using *set-plus-elim* by *force*  
 hence  $\langle x \in (\text{orthogonal-complement } (A + B)) \rangle$   
 by (*smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def*)  
 moreover have  $\langle (\text{orthogonal-complement } (A + B)) = (\text{orthogonal-complement } (A +_M B)) \rangle$   
 unfolding *closed-sum-def* by (*subst orthogonal-complement-of-closure[symmetric], simp*)  
 ultimately have  $\langle x \in (\text{orthogonal-complement } (A +_M B)) \rangle$   
 by *blast*  
 thus ?thesis  
 by *blast*  
 qed  
 ultimately show ?thesis by *blast*  
 qed

**lemma** *de-morgan-orthogonal-complement-inter:*

fixes  $A B :: 'a :: \text{chilbert-space set}$   
 assumes  $a1: \langle \text{closed-csubspace } A \rangle$  and  $a2: \langle \text{closed-csubspace } B \rangle$   
 shows  $\langle \text{orthogonal-complement } (A \cap B) = \text{orthogonal-complement } A +_M \text{orthogonal-complement } B \rangle$   
 proof—  
 have  $\langle \text{orthogonal-complement } A +_M \text{orthogonal-complement } B$   
    $= \text{orthogonal-complement } (\text{orthogonal-complement } (\text{orthogonal-complement } A$   
    $+_M \text{orthogonal-complement } B)) \rangle$   
 by (*simp add: closed-subspace-closed-sum*)  
 also have  $\langle \dots = \text{orthogonal-complement } (\text{orthogonal-complement } (\text{orthogonal-complement } A) \cap \text{orthogonal-complement } (\text{orthogonal-complement } B)) \rangle$   
 by (*simp add: de-morgan-orthogonal-complement-plus orthogonal-complementI*)  
 also have  $\langle \dots = \text{orthogonal-complement } (A \cap B) \rangle$   
 by (*simp add: a1 a2*)  
 finally show ?thesis  
 by *simp*  
 qed

**lemma** *orthogonal-complement-of-cspan:*  $\langle \text{orthogonal-complement } A = \text{orthogonal-complement } (\text{cspan } A) \rangle$

by (*metis (no-types, opaque-lifting) closed-csubspace.subspace complex-vector.span-minimal complex-vector.span-superset double-orthogonal-complement-increasing orthogonal-complement-antimono*)

*orthogonal-complement-closed-subspace subset-antisym*)

**lemma** *orthogonal-complement-orthogonal-complement-closure-cspan:*

$\langle \text{orthogonal-complement } (\text{orthogonal-complement } S) = \text{closure } (\text{cspan } S) \rangle$  **for**  $S$   
 $:: \langle 'a :: \text{chilbert-space set} \rangle$

**proof** –

**have**  $\langle \text{orthogonal-complement } (\text{orthogonal-complement } S) = \text{orthogonal-complement } (\text{orthogonal-complement } (\text{closure } (\text{cspan } S))) \rangle$

**by** (*simp flip: orthogonal-complement-of-closure orthogonal-complement-of-cspan*)

**also have**  $\langle \dots = \text{closure } (\text{cspan } S) \rangle$

**by** *simp*

**finally show**  $\langle \text{orthogonal-complement } (\text{orthogonal-complement } S) = \text{closure } (\text{cspan } S) \rangle$

**by** –

**qed**

**instance** *ccsubspace*  $:: (\text{chilbert-space}) \text{ complete-orthomodular-lattice}$

**proof**

**fix**  $X Y :: \langle 'a \text{ ccsubspace} \rangle$

**show**  $\inf X (- X) = \text{bot}$

**apply** *transfer*

**by** (*simp add: closed-csubspace-def complex-vector.subspace-0 orthogonal-complement-zero-intersection*)

**have**  $\langle t \in M +_M \text{orthogonal-complement } M \rangle$

**if**  $\langle \text{closed-csubspace } M \rangle$  **for**  $t :: 'a$  **and**  $M$

**by** (*metis (no-types, lifting) UNIV-I closed-csubspace.subspace complex-vector.subspace-def de-morgan-orthogonal-complement-inter double-orthogonal-complement-id ortho-normal-complement-closed-subspace orthogonal-complement-zero orthogonal-complement-zero-intersection that*)

**hence**  $b1: \langle M +_M \text{orthogonal-complement } M = \text{UNIV} \rangle$

**if**  $\langle \text{closed-csubspace } M \rangle$  **for**  $M :: \langle 'a \text{ set} \rangle$

**using** *that* **by** *blast*

**show**  $\sup X (- X) = \text{top}$

**apply** *transfer*

**using**  $b1$  **by** *auto*

**show**  $- (- X) = X$

**apply** *transfer* **by** *simp*

**show**  $- Y \leq - X$

**if**  $X \leq Y$

**using** *that* **apply** *transfer* **by** *simp*

**have**  $c1: M +_M \text{orthogonal-complement } M \cap N \subseteq N$

**if**  $\text{closed-csubspace } M$  **and**  $\text{closed-csubspace } N$  **and**  $M \subseteq N$

**for**  $M N :: 'a \text{ set}$

**using** *that*

**by** (*simp add: closed-sum-is-sup*)

```

have c2:  $\langle u \in M +_M (\text{orthogonal-complement } M \cap N) \rangle$ 
  if a1: closed-csubspace  $M$  and a2: closed-csubspace  $N$  and a3:  $M \subseteq N$  and
x1:  $\langle u \in N \rangle$ 
  for  $M :: 'a \text{ set}$  and  $N :: 'a \text{ set}$  and  $u$ 
  proof -
    have d4:  $\langle (\text{projection } M) \ u \in M \rangle$ 
    by (metis a1 closed-csubspace-def csubspace-is-convex equals0D orthog-proj-exists
projection-in-image)
    hence d2:  $\langle (\text{projection } M) \ u \in N \rangle$ 
    using a3 by auto
    have d1:  $\langle \text{csubspace } N \rangle$ 
    by (simp add: a2)
    have  $\langle u - (\text{projection } M) \ u \in \text{orthogonal-complement } M \rangle$ 
    by (simp add: a1 orthogonal-complementI projection-orthogonal)
    moreover have  $\langle u - (\text{projection } M) \ u \in N \rangle$ 
    by (simp add: d1 d2 complex-vector.subspace-diff x1)
    ultimately have d3:  $\langle u - (\text{projection } M) \ u \in ((\text{orthogonal-complement } M) \cap N) \rangle$ 
    by simp
    hence  $\langle \exists \ v \in ((\text{orthogonal-complement } M) \cap N). \ u = (\text{projection } M) \ u + v \rangle$ 
    by (metis d3 diff-add-cancel ordered-field-class.sign-simps(2))
    then obtain  $v$  where  $\langle v \in ((\text{orthogonal-complement } M) \cap N) \rangle$  and  $\langle u =$ 
(projection  $M$ )  $u + v \rangle$ 
    by blast
    hence  $\langle u \in M + ((\text{orthogonal-complement } M) \cap N) \rangle$ 
    by (metis d4 set-plus-intro)
    thus ?thesis
    unfolding closed-sum-def
    using closure-subset by blast
  qed

have c3:  $N \subseteq M +_M ((\text{orthogonal-complement } M) \cap N)$ 
  if closed-csubspace  $M$  and closed-csubspace  $N$  and  $M \subseteq N$ 
  for  $M \ N :: 'a \text{ set}$ 
  using c2 that by auto

show  $\sup X \ (\inf (- \ X) \ Y) = Y$ 
  if  $X \leq Y$ 
  using that apply transfer
  using c1 c3
  by (simp add: subset-antisym)

show  $X - Y = \inf X \ (- \ Y)$ 
  apply transfer by simp
qed

```

## 9.6 Orthogonal spaces

**definition**  $\langle \text{orthogonal-spaces } S \ T \longleftrightarrow (\forall x \in \text{space-as-set } S. \ \forall y \in \text{space-as-set } T. \text{ is-orthogonal } x \ y) \rangle$

**lemma** *orthogonal-spaces-leq-compl*:  $\langle \text{orthogonal-spaces } S \ T \longleftrightarrow S \leq -T \rangle$   
**unfolding** *orthogonal-spaces-def* **apply** *transfer*  
**by** (*auto simp: orthogonal-complement-def*)

**lemma** *orthogonal-spaces-bot-right*[*simp*]:  $\langle \text{orthogonal-spaces } S \ \text{bot} \rangle$   
**by** (*simp add: orthogonal-spaces-def*)

**lemma** *orthogonal-spaces-bot-left*[*simp*]:  $\langle \text{orthogonal-spaces } \text{bot } S \rangle$   
**by** (*simp add: orthogonal-spaces-def*)

**lemma** *orthogonal-spaces-sym*:  $\langle \text{orthogonal-spaces } S \ T \implies \text{orthogonal-spaces } T \ S \rangle$   
**unfolding** *orthogonal-spaces-def*  
**using** *is-orthogonal-sym* **by** *blast*

**lemma** *orthogonal-sup*:  $\langle \text{orthogonal-spaces } S \ T1 \implies \text{orthogonal-spaces } S \ T2 \implies \text{orthogonal-spaces } S \ (\text{sup } T1 \ T2) \rangle$   
**apply** (*rule orthogonal-spaces-sym*)  
**apply** (*simp add: orthogonal-spaces-leq-compl*)  
**using** *orthogonal-spaces-leq-compl orthogonal-spaces-sym* **by** *blast*

**lemma** *orthogonal-sum*:  
**assumes**  $\langle \text{finite } F \rangle$  **and**  $\langle \bigwedge x. x \in F \implies \text{orthogonal-spaces } S \ (T \ x) \rangle$   
**shows**  $\langle \text{orthogonal-spaces } S \ (\text{sum } T \ F) \rangle$   
**using** *assms*  
**apply** *induction*  
**by** (*auto intro!: orthogonal-sup*)

**lemma** *orthogonal-spaces-ccspan*:  $\langle (\forall x \in S. \ \forall y \in T. \text{ is-orthogonal } x \ y) \longleftrightarrow \text{orthogonal-spaces } (\text{ccspan } S) \ (\text{ccspan } T) \rangle$   
**by** (*meson ccspan-leq-ortho-ccspan ccspan-superset orthogonal-spaces-def orthogonal-spaces-leq-compl subset-iff*)

**lemma** *orthogonal-spaces-SUP-left*:  
**assumes**  $\langle \bigwedge x. x \in X \implies \text{orthogonal-spaces } (A \ x) \ B \rangle$   
**shows**  $\langle \text{orthogonal-spaces } (\text{SUP } x \in X. \ A \ x) \ B \rangle$   
**by** (*meson SUP-least assms orthogonal-spaces-leq-compl*)

**lemma** *orthogonal-spaces-SUP-right*:  
**assumes**  $\langle \bigwedge x. x \in X \implies \text{orthogonal-spaces } A \ (B \ x) \rangle$   
**shows**  $\langle \text{orthogonal-spaces } A \ (\text{SUP } x \in X. \ B \ x) \rangle$   
**by** (*meson assms orthogonal-spaces-SUP-left orthogonal-spaces-sym*)

## 9.7 Orthonormal bases

**lemma** *ortho-basis-exists*:

```

fixes  $S :: \langle 'a::\text{hilbert-space set} \rangle$ 
assumes  $\langle \text{is-ortho-set } S \rangle$ 
shows  $\langle \exists B. B \supseteq S \wedge \text{is-ortho-set } B \wedge \text{closure } (cspan\ B) = UNIV \rangle$ 
proof -
  define on where  $\langle on\ B \longleftrightarrow B \supseteq S \wedge \text{is-ortho-set } B \rangle$  for  $B :: \langle 'a\ \text{set} \rangle$ 
  have  $\langle \exists B \in Collect\ on. \forall B' \in Collect\ on. B \subseteq B' \longrightarrow B' = B \rangle$ 
  proof (rule subset-Zorn-nonempty; simp)
    show  $\langle \exists S. on\ S \rangle$ 
    apply (rule exI[of - S])
    using assms on-def by fastforce
  next
    fix  $C :: \langle 'a\ \text{set set} \rangle$ 
    assume  $\langle C \neq \{\} \rangle$ 
    assume  $\langle subset.chain\ (Collect\ on)\ C \rangle$ 
    then have  $C\text{-on: } \langle B \in C \implies on\ B \rangle$  and  $C\text{-order: } \langle B \in C \implies B' \in C \implies B \subseteq B' \vee B' \subseteq B \rangle$  for  $B\ B'$ 
    by (auto simp: subset.chain-def)
    have  $\langle \text{is-orthogonal } x\ y \rangle$  if  $\langle x \in \bigcup C \rangle \langle y \in \bigcup C \rangle \langle x \neq y \rangle$  for  $x\ y$ 
    by (smt (verit) UnionE C-order C-on on-def is-ortho-set-def subsetD that(1) that(2) that(3))
    moreover have  $\langle 0 \notin \bigcup C \rangle$ 
    by (meson UnionE C-on is-ortho-set-def on-def)
    moreover have  $\langle \bigcup C \supseteq S \rangle$ 
    using C-on  $\langle C \neq \{\} \rangle$  on-def by blast
    ultimately show  $\langle on\ (\bigcup C) \rangle$ 
    unfolding on-def is-ortho-set-def by simp
  qed
  then obtain  $B$  where  $\langle on\ B \rangle$  and  $B\text{-max: } \langle B' \supseteq B \implies on\ B' \implies B=B' \rangle$  for  $B'$ 
  by auto
  have  $\langle \psi = 0 \rangle$  if  $\psi\text{ortho: } \langle \forall b \in B. \text{is-orthogonal } \psi\ b \rangle$  for  $\psi :: 'a$ 
  proof (rule ccontr)
    assume  $\langle \psi \neq 0 \rangle$ 
    define  $\varphi\ B'$  where  $\langle \varphi = \psi \ /_R\ norm\ \psi \rangle$  and  $\langle B' = B \cup \{\varphi\} \rangle$ 
    have [simp]:  $\langle norm\ \varphi = 1 \rangle$ 
    using  $\langle \psi \neq 0 \rangle$  by (auto simp:  $\varphi$ -def)
    have  $\varphi\text{ortho: } \langle \text{is-orthogonal } \varphi\ b \rangle$  if  $\langle b \in B \rangle$  for  $b$ 
    using  $\psi\text{ortho}$  that  $\varphi$ -def by auto
    have  $orthoB': \langle \text{is-orthogonal } x\ y \rangle$  if  $\langle x \in B' \rangle \langle y \in B' \rangle \langle x \neq y \rangle$  for  $x\ y$ 
    using that  $\langle on\ B \rangle \varphi\text{ortho}$   $\varphi\text{ortho}$  [THEN is-orthogonal-sym [THEN iffD1]]
    by (auto simp: B'-def on-def is-ortho-set-def)
    have  $B'0: \langle 0 \notin B' \rangle$ 
    using B'-def  $\langle norm\ \varphi = 1 \rangle \langle on\ B \rangle$  is-ortho-set-def on-def by fastforce
    have  $\langle S \subseteq B' \rangle$ 
    using B'-def  $\langle on\ B \rangle$  on-def by auto
    from  $orthoB'\ B'0\ \langle S \subseteq B' \rangle$  have  $\langle on\ B' \rangle$ 
    by (simp add: on-def is-ortho-set-def)
    with B-max have  $\langle B = B' \rangle$ 
    by (metis B'-def Un-upper1)

```

```

then have  $\langle \varphi \in B \rangle$ 
  using  $B'\text{-def}$  by blast
then have  $\langle \text{is-orthogonal } \varphi \ \varphi \rangle$ 
  using  $\varphi\text{ortho}$  by blast
then show False
  using  $B'0 \ \langle B = B' \rangle \ \langle \varphi \in B \rangle$  by fastforce
qed
then have  $\langle \text{orthogonal-complement } B = \{0\} \rangle$ 
  by (auto simp: orthogonal-complement-def)
then have  $\langle UNIV = \text{orthogonal-complement } (\text{orthogonal-complement } B) \rangle$ 
  by simp
also have  $\langle \dots = \text{orthogonal-complement } (\text{orthogonal-complement } (\text{closure } (\text{cspan } B))) \rangle$ 
  by (metis (mono-tags, opaque-lifting)  $\langle \text{orthogonal-complement } B = \{0\} \rangle$  cin-
ner-zero-left complex-vector.span-superset empty-iff insert-iff orthogonal-complementI
orthogonal-complement-antimono orthogonal-complement-of-closure subsetI subset-antisym)
also have  $\langle \dots = \text{closure } (\text{cspan } B) \rangle$ 
  apply (rule double-orthogonal-complement-id)
  by simp
finally have  $\langle \text{closure } (\text{cspan } B) = UNIV \rangle$ 
  by simp
with  $\langle \text{on } B \rangle$  show ?thesis
  by (auto simp: on-def)
qed

lemma orthonormal-basis-exists:
  fixes  $S :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{is-ortho-set } S \rangle$  and  $\langle \bigwedge x. x \in S \implies \text{norm } x = 1 \rangle$ 
  shows  $\langle \exists B. B \supseteq S \wedge \text{is-onb } B \rangle$ 
proof -
  from  $\langle \text{is-ortho-set } S \rangle$ 
  obtain  $B$  where  $\langle \text{is-ortho-set } B \rangle$  and  $\langle B \supseteq S \rangle$  and  $\langle \text{closure } (\text{cspan } B) = UNIV \rangle$ 
  using ortho-basis-exists by blast
  define  $B'$  where  $\langle B' = (\lambda x. x /_R \text{norm } x) \text{ ` } B \rangle$ 
  have  $\langle S = (\lambda x. x /_R \text{norm } x) \text{ ` } S \rangle$ 
    by (simp add: assms(2))
  then have  $\langle B' \supseteq S \rangle$ 
    using  $B'\text{-def}$   $\langle S \subseteq B \rangle$  by blast
  moreover
  have  $\langle \text{ccspan } B' = \text{top} \rangle$ 
    apply (transfer fixing:  $B'$ )
    apply (simp add:  $B'\text{-def}$  scaleR-scaleC)
    apply (subst complex-vector.span-image-scale')
    using  $\langle \text{is-ortho-set } B \rangle \ \langle \text{closure } (\text{cspan } B) = UNIV \rangle$  is-ortho-set-def
    by auto
  moreover have  $\langle \text{is-ortho-set } B' \rangle$ 
    using  $\langle \text{is-ortho-set } B \rangle$  by (auto simp:  $B'\text{-def}$  is-ortho-set-def)
  moreover have  $\langle \forall b \in B'. \text{norm } b = 1 \rangle$ 
    using  $\langle \text{is-ortho-set } B \rangle$  apply (auto simp:  $B'\text{-def}$  is-ortho-set-def)

```

```

    by (metis field-class.field-inverse norm-eq-zero)
  ultimately show ?thesis
    by (auto simp: is-onb-def)
qed

```

**definition** *some-chilbert-basis* ::  $\langle 'a::\text{chilbert-space set} \rangle$  **where**  
 $\langle \text{some-chilbert-basis} = (\text{SOME } B::'a \text{ set. is-onb } B) \rangle$

**lemma** *is-onb-some-chilbert-basis*[simp]:  $\langle \text{is-onb } (\text{some-chilbert-basis} :: 'a::\text{chilbert-space set}) \rangle$   
**using** *orthonormal-basis-exists*[OF *is-ortho-set-empty*]  
**by** (auto simp add: *some-chilbert-basis-def* intro: *someI2*)

**lemma** *is-ortho-set-some-chilbert-basis*[simp]:  $\langle \text{is-ortho-set } \text{some-chilbert-basis} \rangle$   
**using** *is-onb-def is-onb-some-chilbert-basis* **by** *blast*

**lemma** *is-normal-some-chilbert-basis*:  $\langle \bigwedge x. x \in \text{some-chilbert-basis} \implies \text{norm } x = 1 \rangle$   
**using** *is-onb-def is-onb-some-chilbert-basis* **by** *blast*

**lemma** *ccspan-some-chilbert-basis*[simp]:  $\langle \text{ccspan } \text{some-chilbert-basis} = \text{top} \rangle$   
**using** *is-onb-def is-onb-some-chilbert-basis* **by** *blast*

**lemma** *span-some-chilbert-basis*[simp]:  $\langle \text{closure } (\text{cspan } \text{some-chilbert-basis}) = \text{UNIV} \rangle$   
**by** (metis *ccspan.rep-eq ccspan-some-chilbert-basis top-ccsubspace.rep-eq*)

**lemma** *cindependent-some-chilbert-basis*[simp]:  $\langle \text{cindependent } \text{some-chilbert-basis} \rangle$   
**using** *is-ortho-set-cindependent is-ortho-set-some-chilbert-basis* **by** *blast*

**lemma** *finite-some-chilbert-basis*[simp]:  $\langle \text{finite } (\text{some-chilbert-basis} :: 'a :: \{\text{chilbert-space, cfinite-dim}\} \text{ set}) \rangle$   
**apply** (rule *cindependent-cfinite-dim-finite*)  
**by** *simp*

**lemma** *some-chilbert-basis-nonempty*:  $\langle (\text{some-chilbert-basis} :: 'a::\{\text{chilbert-space, not-singleton}\} \text{ set}) \neq \{\} \rangle$

**proof** (rule *ccontr, simp*)  
**define** *B* ::  $\langle 'a \text{ set} \rangle$  **where**  $\langle B = \text{some-chilbert-basis} \rangle$   
**assume** [simp]:  $\langle B = \{\} \rangle$   
**have**  $\langle \text{UNIV} = \text{closure } (\text{cspan } B) \rangle$   
**using** *B-def span-some-chilbert-basis* **by** *blast*  
**also have**  $\langle \dots = \{0\} \rangle$   
**by** *simp*  
**also have**  $\langle \dots \neq \text{UNIV} \rangle$   
**using** *Extra-General.UNIV-not-singleton* **by** *blast*  
**finally show** *False*  
**by** *simp*  
**qed**

```

lemma basis-projections-reconstruct-has-sum:
  assumes  $\langle \text{is-ortho-set } B \rangle$  and  $\text{norm}B: \langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  and  $\psi B: \langle \psi$ 
 $\in \text{space-as-set } (\text{ccspan } B) \rangle$ 
  shows  $\langle ((\lambda b. (b \cdot_C \psi) *_C b) \text{ has-sum } \psi) B \rangle$ 
proof (rule has-sumI-metric)
  fix  $e :: \text{real}$  assume  $\langle e > 0 \rangle$ 
  define  $e2$  where  $\langle e2 = e/2 \rangle$ 
  have  $[\text{simp}]: \langle e2 > 0 \rangle$ 
  by (simp add:  $\langle 0 < e \rangle$   $e2\text{-def}$ )
  define  $bb$  where  $\langle bb \ \varphi \ b = (b \cdot_C \varphi) *_C b \rangle$  for  $\varphi$  and  $b :: 'a$ 
  have  $\text{linear-}bb: \langle \text{clinear } (\lambda \varphi. bb \ \varphi \ b) \rangle$  for  $b$ 
  by (simp add:  $bb\text{-def}$   $\text{cinner-add-right}$   $\text{clinear-iff}$   $\text{scaleC-left.add}$ )
  from  $\psi B$  obtain  $\varphi$  where  $\text{dist } \varphi \ \psi < e2$  and  $\varphi B: \langle \varphi \in \text{cspan } B \rangle$ 
  apply atomize-elim apply (simp add:  $\text{ccspan.rep-eq}$   $\text{closure-approachable}$ )
  using  $\langle 0 < e2 \rangle$  by blast
  from  $\varphi B$  obtain  $F$  where  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq B \rangle$  and  $\varphi F: \langle \varphi \in \text{cspan } F \rangle$ 
  by (meson vector-finitely-spanned)
  have  $\langle \text{dist } (\text{sum } (bb \ \psi) \ G) \ \psi < e \rangle$ 
  if  $\langle \text{finite } G \rangle$  and  $\langle F \subseteq G \rangle$  and  $\langle G \subseteq B \rangle$  for  $G$ 
proof –
  have  $\text{sum } \varphi: \langle \text{sum } (bb \ \varphi) \ G = \varphi \rangle$ 
proof –
  from  $\varphi F \ \langle F \subseteq G \rangle$  have  $\varphi G: \langle \varphi \in \text{cspan } G \rangle$ 
  using complex-vector.span-mono by blast
  then obtain  $f$  where  $\varphi \text{sum}: \langle \varphi = (\sum b \in G. f \ b *_C b) \rangle$ 
  using complex-vector.span-finite [OF  $\langle \text{finite } G \rangle$ ]
  by auto
  have  $\langle \text{sum } (bb \ \varphi) \ G = (\sum c \in G. \sum b \in G. bb \ (f \ b *_C b) \ c) \rangle$ 
  apply (simp add:  $\varphi \text{sum}$ )
  apply (rule sum.cong, simp)
  apply (rule complex-vector.linear-sum [where  $f = \langle \lambda x. bb \ x \ - \rangle$ ])
  by (rule linear-bb)
  also have  $\langle \dots = (\sum (c,b) \in G \times G. bb \ (f \ b *_C b) \ c) \rangle$ 
  by (simp add:  $\text{sum.cartesian-product}$ )
  also have  $\langle \dots = (\sum b \in G. f \ b *_C b) \rangle$ 
  apply (rule sym)
  apply (rule sum.reindex-bij-witness-not-neutral
    [where  $j = \langle \lambda b. (b,b) \rangle$  and  $i = \text{fst}$  and  $T' = \langle G \times G - (\lambda b. (b,b)) \text{ ' } G \rangle$  and
 $S' = \langle \{\} \rangle$ ])
  using  $\langle \text{finite } G \rangle$  apply (auto simp:  $bb\text{-def}$ )
  apply (metis (no-types, lifting)  $\text{assms}(1)$   $\text{imageI}$   $\text{is-ortho-set-antimono}$   $\text{is-ortho-set-def}$   $\text{that}(3)$ )
  using  $\text{norm}B \ \langle G \subseteq B \rangle$  cnorm-eq-1 by blast
  also have  $\langle \dots = \varphi \rangle$ 
  by (simp flip:  $\varphi \text{sum}$ )
  finally show ?thesis
  by –
qed

```

```

have ⟨dist (sum (bb φ) G) (sum (bb ψ) G) < e2⟩
proof -
  define γ where ⟨γ = φ - ψ⟩
  have ⟨(dist (sum (bb φ) G) (sum (bb ψ) G))2 = (norm (sum (bb γ) G))2⟩
    by (simp add: dist-norm γ-def complex-vector.linear-diff[OF linear-bb]
sum-subtractf)
  also have ⟨... = (norm (sum (bb γ) G))2 + (norm (γ - sum (bb γ) G))2 -
(norm (γ - sum (bb γ) G))2⟩
    by simp
  also have ⟨... = (norm (sum (bb γ) G + (γ - sum (bb γ) G)))2 - (norm
(γ - sum (bb γ) G))2⟩
  proof -
    have ⟨(∑ b∈G. bb γ b ·C bb γ c) = bb γ c ·C γ⟩ if ⟨c ∈ G⟩ for c
    apply (subst sum-single[where i=c])
    using that apply (auto intro!: ⟨finite G⟩ simp: bb-def)
  apply (metis ⟨G ⊆ B⟩ ⟨is-ortho-set B⟩ is-ortho-set-antimono is-ortho-set-def)
    using ⟨G ⊆ B⟩ normB cnorm-eq-1 by blast
  then have ⟨is-orthogonal (sum (bb γ) G) (γ - sum (bb γ) G)⟩
    by (simp add: cinner-sum-left cinner-diff-right cinner-sum-right)
  then show ?thesis
    apply (rule-tac arg-cong[where f=⟨λx. x - -⟩])
    by (rule pythagorean-theorem[symmetric])
qed
also have ⟨... = (norm γ)2 - (norm (γ - sum (bb γ) G))2⟩
  by simp
also have ⟨... ≤ (norm γ)2⟩
  by simp
also have ⟨... = (dist φ ψ)2⟩
  by (simp add: γ-def dist-norm)
also have ⟨... < e22⟩
  using distφψ apply (rule power-strict-mono)
  by auto
finally show ?thesis
  by (smt (verit) ⟨0 < e2⟩ power-mono)
qed
with sumφ distφψ show ⟨dist (sum (bb ψ) G) ψ < e⟩
  apply (rule-tac dist-triangle-lt[where z=φ])
  by (simp add: e2-def dist-commute)
qed
with ⟨finite F⟩ and ⟨F ⊆ B⟩
show ⟨∃ F. finite F ∧
      F ⊆ B ∧ (∀ G. finite G ∧ F ⊆ G ∧ G ⊆ B ⟶ dist (sum (bb ψ) G) ψ
< e)⟩
  by (auto intro!: exI[of - F])
qed

lemma basis-projections-reconstruct:
  assumes ⟨is-ortho-set B⟩ and ⟨∧ b. b∈B ⟹ norm b = 1⟩ and ⟨ψ ∈ space-as-set
(ccspan B)⟩

```

**shows**  $\langle (\sum_{\infty} b \in B. (b \cdot_C \psi) *_C b) = \psi \rangle$   
**using** *assms basis-projections-reconstruct-has-sum infsumI* **by** *blast*

**lemma** *basis-projections-reconstruct-summable*:  
**assumes**  $\langle \text{is-ortho-set } B \rangle$  **and**  $\langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  **and**  $\langle \psi \in \text{space-as-set } (\text{ccspan } B) \rangle$   
**shows**  $\langle (\lambda b. (b \cdot_C \psi) *_C b) \text{ summable-on } B \rangle$   
**by** (*simp add: assms basis-projections-reconstruct basis-projections-reconstruct-has-sum summable-iff-has-sum-infsum*)

**lemma** *parseval-identity-has-sum*:  
**assumes**  $\langle \text{is-ortho-set } B \rangle$  **and**  $\text{norm}B: \langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  **and**  $\langle \psi \in \text{space-as-set } (\text{ccspan } B) \rangle$   
**shows**  $\langle ((\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ has-sum } (\text{norm } \psi)^2) B \rangle$   
**proof** –  
**have** \*:  $\langle (\lambda v. (\text{norm } v)^2) (\sum b \in F. (b \cdot_C \psi) *_C b) = (\sum b \in F. (\text{norm } (b \cdot_C \psi))^2) \rangle$   
**if**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq B \rangle$  **for**  $F$   
**apply** (*subst pythagorean-theorem-sum*)  
**using**  $\langle \text{is-ortho-set } B \rangle$   $\text{norm}B$  **that**  
**apply** (*auto intro!: sum.cong[OF refl] simp: is-ortho-set-def*)  
**by** *blast*

**from** *assms* **have**  $\langle ((\lambda b. (b \cdot_C \psi) *_C b) \text{ has-sum } \psi) B \rangle$   
**by** (*simp add: basis-projections-reconstruct-has-sum*)  
**then have**  $\langle ((\lambda F. \sum b \in F. (b \cdot_C \psi) *_C b) \longrightarrow \psi) (\text{finite-subsets-at-top } B) \rangle$   
**by** (*simp add: has-sum-def*)  
**then have**  $\langle ((\lambda F. (\lambda v. (\text{norm } v)^2) (\sum b \in F. (b \cdot_C \psi) *_C b)) \longrightarrow (\text{norm } \psi)^2) (\text{finite-subsets-at-top } B) \rangle$   
**apply** (*rule isCont-tendsto-compose[rotated]*)  
**by** *simp*  
**then have**  $\langle ((\lambda F. (\sum b \in F. (\text{norm } (b \cdot_C \psi))^2)) \longrightarrow (\text{norm } \psi)^2) (\text{finite-subsets-at-top } B) \rangle$   
**apply** (*rule tendsto-cong[THEN iffD2, rotated]*)  
**apply** (*rule eventually-finite-subsets-at-top-weakI*)  
**by** (*simp add: \**)  
**then show**  $\langle ((\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ has-sum } (\text{norm } \psi)^2) B \rangle$   
**by** (*simp add: has-sum-def*)

**qed**

**lemma** *parseval-identity-summable*:  
**assumes**  $\langle \text{is-ortho-set } B \rangle$  **and**  $\langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  **and**  $\langle \psi \in \text{space-as-set } (\text{ccspan } B) \rangle$   
**shows**  $\langle (\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ summable-on } B \rangle$   
**using** *parseval-identity-has-sum[OF assms]* *has-sum-imp-summable* **by** *blast*

**lemma** *parseval-identity*:  
**assumes**  $\langle \text{is-ortho-set } B \rangle$  **and**  $\langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  **and**  $\langle \psi \in \text{space-as-set } (\text{ccspan } B) \rangle$   
**shows**  $\langle (\sum_{\infty} b \in B. (\text{norm } (b \cdot_C \psi))^2) = (\text{norm } \psi)^2 \rangle$

using *parseval-identity-has-sum*[*OF assms*]  
 using *infsumI* by *blast*

## 9.8 Riesz-representation theorem

**lemma** *orthogonal-complement-kernel-functional*:

fixes  $f :: \langle 'a::\text{complex-inner} \Rightarrow \text{complex} \rangle$   
 assumes  $\langle \text{bounded-clinear } f \rangle$   
 shows  $\langle \exists x. \text{orthogonal-complement } (f - \cdot \{0\}) = \text{cspan } \{x\} \rangle$   
**proof** (cases  $\langle \text{orthogonal-complement } (f - \cdot \{0\}) = \{0\} \rangle$ )  
 case *True*  
 then show ?thesis  
 apply (rule-tac  $x=0$  in *exI*) by *auto*  
next  
 case *False*  
 then obtain  $x$  where  $x\text{ortho}: \langle x \in \text{orthogonal-complement } (f - \cdot \{0\}) \rangle$  and  
 $x\text{non0}: \langle x \neq 0 \rangle$   
 using *complex-vector.subspace-def* by *fastforce*  
  
 from  $x\text{non0}$   $x\text{ortho}$   
 have  $r1: \langle f\ x \neq 0 \rangle$   
 by (metis *cinner-eq-zero-iff orthogonal-complement-orthoI vimage-singleton-eq*)  
  
 have  $\langle \exists k. y = k *_C x \rangle$  if  $\langle y \in \text{orthogonal-complement } (f - \cdot \{0\}) \rangle$  for  $y$   
**proof** (cases  $\langle y = 0 \rangle$ )  
 case *True*  
 then show ?thesis by *auto*  
next  
 case *False*  
 with *that*  
 have  $\langle f\ y \neq 0 \rangle$   
 by (metis *cinner-eq-zero-iff orthogonal-complement-orthoI vimage-singleton-eq*)  
 then obtain  $k$  where  $k\text{-def}: \langle f\ x = k *_C f\ y \rangle$   
 by (metis *add.inverse-inverse minus-divide-eq-eq*)  
 with *assms* have  $\langle f\ x = f\ (k *_C y) \rangle$   
 by (simp add: *bounded-clinear.axioms*(1) *clinear.scaleC*)  
 hence  $\langle f\ x - f\ (k *_C y) = 0 \rangle$   
 by *simp*  
 with *assms* have  $s1: \langle f\ (x - k *_C y) = 0 \rangle$   
 by (simp add: *bounded-clinear.axioms*(1) *complex-vector.linear-diff*)  
 from *that* have  $\langle k *_C y \in \text{orthogonal-complement } (f - \cdot \{0\}) \rangle$   
 by (simp add: *complex-vector.subspace-scale*)  
 with  $x\text{ortho}$  have  $s2: \langle x - (k *_C y) \in \text{orthogonal-complement } (f - \cdot \{0\}) \rangle$   
 by (simp add: *complex-vector.subspace-diff*)  
 have  $s3: \langle (x - (k *_C y)) \in f - \cdot \{0\} \rangle$   
 using  $s1$  by *simp*  
 moreover have  $\langle (f - \cdot \{0\}) \cap (\text{orthogonal-complement } (f - \cdot \{0\})) = \{0\} \rangle$   
 by (meson *assms closed-csubspace-def complex-vector.subspace-def kernel-is-closed-csubspace*  
*orthogonal-complement-zero-intersection*)

```

ultimately have  $\langle x - (k *_C y) = 0 \rangle$ 
  using s2 by blast
thus ?thesis
  by (metis ceq-vector-fraction-iff eq-iff-diff-eq-0 k-def r1 scaleC-scaleC)
qed
then have  $\langle \text{orthogonal-complement } (f - \cdot \{0\}) \subseteq \text{cspan } \{x\} \rangle$ 
  using complex-vector.span-superset complex-vector.subspace-scale by blast

moreover from xortho have  $\langle \text{orthogonal-complement } (f - \cdot \{0\}) \supseteq \text{cspan } \{x\} \rangle$ 
  by (simp add: complex-vector.span-minimal)

ultimately show ?thesis
  by auto
qed

lemma riesz-representation-existence:
  — Theorem 3.4 in [1]
  fixes f ::  $\langle 'a::\text{hilbert-space} \Rightarrow \text{complex} \rangle$ 
  assumes a1:  $\langle \text{bounded-clinear } f \rangle$ 
  shows  $\langle \exists t. \forall x. f\ x = t \cdot_C x \rangle$ 
proof (cases  $\langle \forall x. f\ x = 0 \rangle$ )
  case True
  thus ?thesis
    by (metis cinner-zero-left)
next
  case False
  obtain t where spant:  $\langle \text{orthogonal-complement } (f - \cdot \{0\}) = \text{cspan } \{t\} \rangle$ 
    using orthogonal-complement-kernel-functional
    using assms by blast
  have  $\langle \text{projection } (\text{orthogonal-complement } (f - \cdot \{0\}))\ x = ((t \cdot_C x) / (t \cdot_C t)) *_C$ 
t  $\rangle$  for x
    apply (subst spant) by (rule projection-rank1)
  hence  $\langle f\ (\text{projection } (\text{orthogonal-complement } (f - \cdot \{0\}))\ x) = (((t \cdot_C x) / (t \cdot_C$ 
t)  $\rangle * (f\ t) \rangle$  for x
    using a1 unfolding bounded-clinear-def
    by (simp add: complex-vector.linear-scale)
  hence l2:  $\langle f\ (\text{projection } (\text{orthogonal-complement } (f - \cdot \{0\}))\ x) = ((\text{cnj } (f\ t) / (t$ 
 $\cdot_C t)) *_C t) \cdot_C x \rangle$  for x
    using complex-cnj-divide by force
  have  $\langle f\ (\text{projection } (f - \cdot \{0\})\ x) = 0 \rangle$  for x
    by (metis (no-types, lifting) assms bounded-clinear-def closed-csubspace.closed
complex-vector.linear-subspace-vimage complex-vector.subspace-0 complex-vector.subspace-single-0
csubspace-is-convex insert-absorb insert-not-empty kernel-is-closed-csubspace
projection-in-image vimage-singleton-eq)
  hence  $\bigwedge a\ b. f\ (\text{projection } (f - \cdot \{0\})\ a + b) = 0 + f\ b$ 
    using additive.add assms
    by (simp add: bounded-clinear-def complex-vector.linear-add)
  hence  $\bigwedge a. 0 + f\ (\text{projection } (\text{orthogonal-complement } (f - \cdot \{0\}))\ a) = f\ a$ 
    apply (simp add: assms)

```

by (metis add.commute diff-add-cancel)  
 hence  $\langle f\ x = ((cnj\ (f\ t))/(t\ \cdot_C\ t))\ *_C\ t)\ \cdot_C\ x \rangle$  for  $x$   
 by (simp add: l2)  
 thus ?thesis  
 by blast  
 qed

**lemma** riesz-representation-unique:

— Theorem 3.4 in [1]  
 fixes  $f :: 'a :: complex-inner \Rightarrow complex$   
 assumes  $\langle \bigwedge x. f\ x = (t\ \cdot_C\ x) \rangle$   
 assumes  $\langle \bigwedge x. f\ x = (u\ \cdot_C\ x) \rangle$   
 shows  $\langle t = u \rangle$   
 by (metis add-diff-cancel-left' assms(1) assms(2) cinner-diff-left cinner-gt-zero-iff  
 diff-add-cancel diff-zero)

## 9.9 Adjoints

**definition**  $\langle is-cadjoint\ F\ G \longleftrightarrow (\forall x\ y. (F\ x\ \cdot_C\ y) = (x\ \cdot_C\ G\ y)) \rangle$

**lemma** is-adjoint-sym:

$\langle is-cadjoint\ F\ G \Longrightarrow is-cadjoint\ G\ F \rangle$   
 unfolding is-cadjoint-def apply auto  
 by (metis cinner-commute)

**definition**  $\langle cadjoint\ G = (SOME\ F. is-cadjoint\ F\ G) \rangle$   
 for  $G :: 'b :: complex-inner \Rightarrow 'a :: complex-inner$

**lemma** cadjoint-exists:

fixes  $G :: 'b :: hilbert-space \Rightarrow 'a :: complex-inner$   
 assumes [simp]:  $\langle bounded-clinear\ G \rangle$   
 shows  $\langle \exists F. is-cadjoint\ F\ G \rangle$

**proof** —

include norm-syntax  
 have [simp]:  $\langle clinear\ G \rangle$   
 using assms unfolding bounded-clinear-def by blast

**define**  $g :: 'a \Rightarrow 'b \Rightarrow complex$

**where**  $\langle g\ x\ y = (x\ \cdot_C\ G\ y) \rangle$  for  $x\ y$

**have**  $\langle bounded-clinear\ (g\ x) \rangle$  for  $x$

**proof** —

**have**  $\langle g\ x\ (a + b) = g\ x\ a + g\ x\ b \rangle$  for  $a\ b$

**unfolding** g-def

**using** additive.add cinner-add-right clinear-def

**by** (simp add: cinner-add-right complex-vector.linear-add)

**moreover have**  $\langle g\ x\ (k *_C\ a) = k *_C\ (g\ x\ a) \rangle$

**for**  $a\ k$

**unfolding** g-def

**by** (simp add: complex-vector.linear-scale)

**ultimately have**  $\langle clinear\ (g\ x) \rangle$

```

    by (simp add: clinearI)
  moreover
  have  $\langle \exists M. \forall y. \| G y \| \leq \| y \| * M \rangle$ 
    using  $\langle \text{bounded-clinear } G \rangle$ 
    unfolding bounded-clinear-def bounded-clinear-axioms-def by blast
  then have  $\langle \exists M. \forall y. \| g x y \| \leq \| y \| * M \rangle$ 
    using g-def
    by (simp add: bounded-clinear.bounded bounded-clinear-cinner-right-comp)
  ultimately show ?thesis unfolding bounded-linear-def
    using bounded-clinear.intro
    using bounded-clinear-axioms-def by blast
qed
hence  $\langle \forall x. \exists t. \forall y. g x y = (t \cdot_C y) \rangle$ 
  using riesz-representation-existence by blast
then obtain F where  $\langle \forall x. \forall y. g x y = (F x \cdot_C y) \rangle$ 
  by metis
then have  $\langle \text{is-cadjoint } F G \rangle$ 
  unfolding is-cadjoint-def g-def by simp
thus ?thesis
  by auto
qed

lemma cadjoint-is-cadjoint[simp]:
  fixes G :: 'b::hilbert-space  $\Rightarrow$  'a::complex-inner
  assumes [simp]:  $\langle \text{bounded-clinear } G \rangle$ 
  shows  $\langle \text{is-cadjoint } (\text{cadjoint } G) G \rangle$ 
  by (metis assms cadjoint-def cadjoint-exists someI-ex)

lemma is-cadjoint-unique:
  assumes  $\langle \text{is-cadjoint } F1 G \rangle$ 
  assumes  $\langle \text{is-cadjoint } F2 G \rangle$ 
  shows  $\langle F1 = F2 \rangle$ 
  by (metis (full-types) assms(1) assms(2) ext is-cadjoint-def riesz-representation-unique)

lemma cadjoint-univ-prop:
  fixes G :: 'b::hilbert-space  $\Rightarrow$  'a::complex-inner
  assumes a1:  $\langle \text{bounded-clinear } G \rangle$ 
  shows  $\langle \text{cadjoint } G x \cdot_C y = x \cdot_C G y \rangle$ 
  using assms cadjoint-is-cadjoint is-cadjoint-def by blast

lemma cadjoint-univ-prop':
  fixes G :: 'b::hilbert-space  $\Rightarrow$  'a::complex-inner
  assumes a1:  $\langle \text{bounded-clinear } G \rangle$ 
  shows  $\langle x \cdot_C \text{cadjoint } G y = G x \cdot_C y \rangle$ 
  by (metis cadjoint-univ-prop assms cinner-commute')

notation cadjoint ( $\langle \cdot^\dagger \rangle$  [99] 100)

lemma cadjoint-eqI:

```

```

fixes  $G :: \langle 'b :: \text{complex-inner} \Rightarrow 'a :: \text{complex-inner} \rangle$ 
and  $F :: \langle 'a \Rightarrow 'b \rangle$ 
assumes  $\langle \bigwedge x y. (F x \cdot_C y) = (x \cdot_C G y) \rangle$ 
shows  $\langle G^\dagger = F \rangle$ 
by (metis assms adjoint-def is-adjoint-def is-adjoint-unique someI-ex)

lemma adjoint-bounded-clinear:
fixes  $A :: 'a :: \text{chilbert-space} \Rightarrow 'b :: \text{complex-inner}$ 
assumes  $a1 :: \text{bounded-clinear } A$ 
shows  $\langle \text{bounded-clinear } (A^\dagger) \rangle$ 
proof
include norm-syntax
have  $b1 :: \langle ((A^\dagger) x \cdot_C y) = (x \cdot_C A y) \rangle$  for  $x y$ 
using adjoint-univ-prop a1 by auto
have  $\langle \text{is-orthogonal } ((A^\dagger) (x1 + x2) - ((A^\dagger) x1 + (A^\dagger) x2)) y \rangle$  for  $x1 x2 y$ 
by (simp add: b1 cinner-diff-left cinner-add-left)
hence  $b2 :: \langle (A^\dagger) (x1 + x2) - ((A^\dagger) x1 + (A^\dagger) x2) = 0 \rangle$  for  $x1 x2$ 
using cinner-eq-zero-iff by blast
thus  $z1 :: \langle (A^\dagger) (x1 + x2) = (A^\dagger) x1 + (A^\dagger) x2 \rangle$  for  $x1 x2$ 
by (simp add: b2 eq-iff-diff-eq-0)

have  $f1 :: \langle \text{is-orthogonal } ((A^\dagger) (r *_C x) - (r *_C (A^\dagger) x)) y \rangle$  for  $r x y$ 
by (simp add: b1 cinner-diff-left)
thus  $z2 :: \langle (A^\dagger) (r *_C x) = r *_C (A^\dagger) x \rangle$  for  $r x$ 
using cinner-eq-zero-iff eq-iff-diff-eq-0 by blast
have  $\langle \| (A^\dagger) x \|^2 = ((A^\dagger) x \cdot_C (A^\dagger) x) \rangle$  for  $x$ 
by (metis cnorm-eq-square)
moreover have  $\langle \| (A^\dagger) x \|^2 \geq 0 \rangle$  for  $x$ 
by simp
ultimately have  $\langle \| (A^\dagger) x \|^2 = | ((A^\dagger) x \cdot_C (A^\dagger) x) | \rangle$  for  $x$ 
by (metis abs-pos cinner-ge-zero)
hence  $\langle \| (A^\dagger) x \|^2 = | (x \cdot_C A ((A^\dagger) x)) | \rangle$  for  $x$ 
by (simp add: b1)
moreover have  $\langle |(x \cdot_C A ((A^\dagger) x))| \leq \|x\| * \|A ((A^\dagger) x)\| \rangle$  for  $x$ 
by (simp add: abs-complex-def complex-inner-class.Cauchy-Schwarz-ineq2 less-eq-complex-def)
ultimately have  $b5 :: \langle \| (A^\dagger) x \|^2 \leq \|x\| * \|A ((A^\dagger) x)\| \rangle$  for  $x$ 
by (metis complex-of-real-mono-iff)
have  $\langle \exists M. M \geq 0 \wedge (\forall x. \|A ((A^\dagger) x)\| \leq M * \| (A^\dagger) x \|) \rangle$ 
using a1
by (metis (mono-tags, opaque-lifting) bounded-clinear.bounded linear mult-nonneg-nonpos
mult-zero-right norm-ge-zero order.trans semiring-normalization-rules(7))
then obtain  $M$  where  $q1 :: \langle M \geq 0 \rangle$  and  $q2 :: \langle \forall x. \|A ((A^\dagger) x)\| \leq M * \| (A^\dagger) x \| \rangle$ 
by blast
have  $\langle \forall x :: 'b. \|x\| \geq 0 \rangle$ 
by simp
hence  $b6 :: \langle \|x\| * \|A ((A^\dagger) x)\| \leq \|x\| * M * \| (A^\dagger) x \| \rangle$  for  $x$ 
using q2
by (smt ordered-comm-semiring-class.comm-mult-left-mono vector-space-over-itself.scale-scale)

```

```

have z3: ⟨|| (A†) x || ≤ ||x|| * M⟩ for x
proof(cases ⟨|| (A†) x || = 0⟩)
  case True
  thus ?thesis
    by (simp add: ⟨0 ≤ M⟩)
next
case False
have ⟨|| (A†) x || ^ 2 ≤ ||x|| * M * ||(A†) x||⟩
  by (smt b5 b6)
thus ?thesis
  by (smt False mult-right-cancel mult-right-mono norm-ge-zero semiring-normalization-rules(29))
qed
thus ⟨∃ K. ∀ x. ||(A†) x|| ≤ ||x|| * K⟩
  by auto
qed

```

**proposition** *double-cadjoint*:

```

fixes U :: ⟨'a::hilbert-space ⇒ 'b::complex-inner⟩
assumes a1: bounded-clinear U
shows U†† = U
by (metis assms adjoint-def adjoint-is-adjoint is-adjoint-sym is-adjoint-unique
someI-ex)

```

**lemma** *adjoint-id[simp]*: ⟨ $id^{\dagger} = id$ ⟩

```

by (simp add: adjoint-eqI id-def)

```

**lemma** *scaleC-adjoint*:

```

fixes A :: ⟨'a::hilbert-space ⇒ 'b::complex-inner⟩
assumes bounded-clinear A
shows ⟨(λ t. a *C A t)† = (λ s. cnj a *C (A†) s)⟩
proof -
  have b3: ⟨((λ s. (cnj a) *C ((A†) s)) x •C y) = (x •C (λ t. a *C (A t)) y)⟩
    for x y
  by (simp add: assms adjoint-univ-prop)

  have ((λ t. a *C A t)†) b = cnj a *C (A†) b
    for b :: 'b
  proof -
    have bounded-clinear (λ t. a *C A t)
      by (simp add: assms bounded-clinear-const-scaleC)
    thus ?thesis
      by (metis (no-types) adjoint-eqI b3)
  qed
qed
thus ?thesis
  by blast
qed

```

**lemma** *is-projection-on-is-adjoint*:

```

fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
assumes  $a1: \langle \text{is-projection-on } \pi \ M \rangle$  and  $a2: \langle \text{closed-csubspace } M \rangle$ 
shows  $\langle \text{is-cadjoint } \pi \ \pi \rangle$ 
by (smt (verit, ccfv-threshold)  $a1 \ a2 \ \text{cinner-diff-left} \ \text{cinner-eq-flip} \ \text{is-cadjoint-def}$ 
 $\text{is-projection-on-iff-orthog} \ \text{orthogonal-complement-orthoI} \ \text{right-minus-eq}$ )

```

```

lemma is-projection-on-cadjoint:
  fixes  $M :: \langle 'a::\text{complex-inner set} \rangle$ 
  assumes  $\langle \text{is-projection-on } \pi \ M \rangle$  and  $\langle \text{closed-csubspace } M \rangle$ 
  shows  $\langle \pi^\dagger = \pi \rangle$ 
  using assms is-projection-on-is-cadjoint cadjoint-eqI is-cadjoint-def by blast

```

```

lemma projection-cadjoint:
  fixes  $M :: \langle 'a::\text{hilbert-space set} \rangle$ 
  assumes  $\langle \text{closed-csubspace } M \rangle$ 
  shows  $\langle (\text{projection } M)^\dagger = \text{projection } M \rangle$ 
  using is-projection-on-cadjoint assms
  by (metis closed-csubspace.closed closed-csubspace.subspace cspace-is-convex
 $\text{empty-iff} \ \text{orthog-proj-exists} \ \text{projection-is-projection-on}$ )

```

## 9.10 More projections

These lemmas logically belong in the "projections" section above but depend on lemmas developed later.

```

lemma is-projection-on-plus:
  assumes  $\bigwedge x \ y. x \in A \implies y \in B \implies \text{is-orthogonal } x \ y$ 
  assumes  $\langle \text{closed-csubspace } A \rangle$ 
  assumes  $\langle \text{closed-csubspace } B \rangle$ 
  assumes  $\langle \text{is-projection-on } \pi A \ A \rangle$ 
  assumes  $\langle \text{is-projection-on } \pi B \ B \rangle$ 
  shows  $\langle \text{is-projection-on } (\lambda x. \pi A \ x + \pi B \ x) \ (A +_M B) \rangle$ 
proof (rule is-projection-on-iff-orthog[THEN iffD2, rule-format])
  show  $\text{clAB}: \langle \text{closed-csubspace } (A +_M B) \rangle$ 
    by (simp add: assms(2) assms(3) closed-subspace-closed-sum)
  fix  $h$ 
  have  $1: \langle \pi A \ h + \pi B \ h \in A +_M B \rangle$ 
    by (meson clAB assms(2) assms(3) assms(4) assms(5) closed-csubspace-def
 $\text{closed-sum-left-subset} \ \text{closed-sum-right-subset} \ \text{complex-vector.subspace-def} \ \text{in-mono}$ 
 $\text{is-projection-on-in-image}$ )
  have  $\langle \pi A \ (\pi B \ h) = 0 \rangle$ 
    by (smt (verit, del-insts) assms(1) assms(2) assms(4) assms(5) cinner-eq-zero-iff
 $\text{is-cadjoint-def} \ \text{is-projection-on-in-image} \ \text{is-projection-on-is-cadjoint}$ )
  then have  $\langle h - (\pi A \ h + \pi B \ h) = (h - \pi B \ h) - \pi A \ (h - \pi B \ h) \rangle$ 
    by (smt (verit) add.right-neutral add-diff-cancel-left' assms(2) assms(4) closed-csubspace.subspace
 $\text{complex-vector.subspace-diff} \ \text{diff-add-eq-diff-diff-swap} \ \text{diff-diff-add} \ \text{is-projection-on-iff-orthog}$ 
 $\text{orthog-proj-unique} \ \text{orthogonal-complement-closed-subspace}$ )
  also have  $\langle \dots \in \text{orthogonal-complement } A \rangle$ 
    using assms(2) assms(4) is-projection-on-iff-orthog by blast

```

```

finally have orthoA:  $\langle h - (\pi A \ h + \pi B \ h) \in \text{orthogonal-complement } A \rangle$ 
by -

have  $\langle \pi B \ (\pi A \ h) = 0 \rangle$ 
by (smt (verit, del-insts) assms(1) assms(3) assms(4) assms(5) cinner-eq-zero-iff
is-cadjoint-def is-projection-on-in-image is-projection-on-is-cadjoint)
then have  $\langle h - (\pi A \ h + \pi B \ h) = (h - \pi A \ h) - \pi B \ (h - \pi A \ h) \rangle$ 
by (smt (verit) add.right-neutral add-diff-cancel assms(3) assms(5) closed-csubspace.subspace
complex-vector.subspace-diff diff-add-eq-diff-diff-swap diff-diff-add is-projection-on-iff-orthog
orthog-proj-unique orthogonal-complement-closed-subspace)
also have  $\langle \dots \in \text{orthogonal-complement } B \rangle$ 
using assms(3) assms(5) is-projection-on-iff-orthog by blast
finally have orthoB:  $\langle h - (\pi A \ h + \pi B \ h) \in \text{orthogonal-complement } B \rangle$ 
by -

from orthoA orthoB
have 2:  $\langle h - (\pi A \ h + \pi B \ h) \in \text{orthogonal-complement } (A +_M B) \rangle$ 
by (metis IntI assms(2) assms(3) closed-csubspace-def complex-vector.subspace-def
de-morgan-orthogonal-complement-plus)

from 1 2 show  $\langle h - (\pi A \ h + \pi B \ h) \in \text{orthogonal-complement } (A +_M B) \wedge \pi A \ h + \pi B \ h \in A +_M B \rangle$ 
by simp
qed

lemma projection-plus:
fixes A B :: 'a::hilbert-space set
assumes  $\bigwedge x \ y. x:A \implies y:B \implies \text{is-orthogonal } x \ y$ 
assumes  $\langle \text{closed-csubspace } A \rangle$ 
assumes  $\langle \text{closed-csubspace } B \rangle$ 
shows  $\langle \text{projection } (A +_M B) = (\lambda x. \text{projection } A \ x + \text{projection } B \ x) \rangle$ 
proof -
have  $\langle \text{is-projection-on } (\lambda x. \text{projection } A \ x + \text{projection } B \ x) \ (A +_M B) \rangle$ 
apply (rule is-projection-on-plus)
using assms by auto
then show ?thesis
by (meson assms(2) assms(3) closed-csubspace.subspace closed-subspace-closed-sum
csubspace-is-convex projection-eqI')
qed

lemma is-projection-on-insert:
assumes ortho:  $\bigwedge s. s \in S \implies \text{is-orthogonal } a \ s$ 
assumes  $\langle \text{is-projection-on } \pi \ (\text{closure } (\text{cspan } S)) \rangle$ 
assumes  $\langle \text{is-projection-on } \pi a \ (\text{cspan } \{a\}) \rangle$ 
shows  $\langle \text{is-projection-on } (\lambda x. \pi a \ x + \pi x) \ (\text{closure } (\text{cspan } (\text{insert } a \ S))) \rangle$ 
proof -
from ortho
have  $\langle x \in \text{cspan } \{a\} \implies y \in \text{closure } (\text{cspan } S) \implies \text{is-orthogonal } x \ y \rangle$  for  $x \ y$ 
using is-orthogonal-cspan is-orthogonal-closure is-orthogonal-sym

```

```

    by (smt (verit, ccfv-threshold) empty-iff insert-iff)
  then have ⟨is-projection-on (λx. π a x + π x) (cspan {a} +M closure (cspan
S))⟩
    apply (rule is-projection-on-plus)
    using assms by (auto simp add: closed-csubspace.intro)
  also have ⟨... = closure (cspan (insert a S))⟩
    using closed-sum-cspan[where X=⟨{a}⟩] by simp
  finally show ?thesis
    by -
qed

```

```

lemma projection-insert:
  fixes a :: ⟨'a::hilbert-space⟩
  assumes a1: ∧s. s ∈ S ⇒ is-orthogonal a s
  shows projection (closure (cspan (insert a S))) u
    = projection (cspan {a}) u + projection (closure (cspan S)) u
  using is-projection-on-insert[where S=S, OF a1]
  by (metis (no-types, lifting) closed-closure closed-csubspace.intro closure-is-csubspace
complex-vector.subspace-span csubspace-is-convex finite.intros(1) finite.intros(2) fi-
nite-cspan-closed-csubspace projection-eqI' projection-is-projection-on')

```

```

lemma projection-insert-finite:
  fixes S :: ⟨'a::hilbert-space set⟩
  assumes a1: ∧s. s ∈ S ⇒ is-orthogonal a s and a2: finite S
  shows projection (cspan (insert a S)) u
    = projection (cspan {a}) u + projection (cspan S) u
  using projection-insert
  by (metis a1 a2 closure-finite-cspan finite.insertI)

```

## 9.11 Canonical basis (onb-enum)

```

setup ⟨Sign.add-const-constraint (const-name ⟨is-ortho-set⟩, SOME typ ⟨'a set
⇒ bool⟩)⟩

```

```

class onb-enum = basis-enum + complex-inner +
  assumes is-orthonormal: is-ortho-set (set canonical-basis)
  and is-normal: ∧x. x ∈ (set canonical-basis) ⇒ norm x = 1

```

```

setup ⟨Sign.add-const-constraint (const-name ⟨is-ortho-set⟩, SOME typ ⟨'a::complex-inner
set ⇒ bool⟩)⟩

```

```

lemma cinner-canonical-basis:
  assumes ⟨i < length (canonical-basis :: 'a::onb-enum list)⟩
  assumes ⟨j < length (canonical-basis :: 'a::onb-enum list)⟩
  shows ⟨cinner (canonical-basis!i :: 'a) (canonical-basis!j) = (if i=j then 1 else
0)⟩
  by (metis assms(1) assms(2) distinct-canonical-basis is-normal is-ortho-set-def
is-orthonormal nth-eq-iff-index-eq nth-mem of-real-1 power2-norm-eq-cinner power-one)

```

```

lemma canonical-basis-is-onb[simp]:  $\langle \text{is-onb } (\text{set canonical-basis} :: 'a::\text{onb-enum set}) \rangle$ 
  by (simp add: is-normal is-onb-def is-orthonormal)

instance onb-enum  $\subseteq$  hilbert-space
proof
  have  $\langle \text{complete } (\text{UNIV} :: 'a \text{ set}) \rangle$ 
    using finite-cspan-complete[where  $B = \langle \text{set canonical-basis} \rangle$ ]
    by simp
  then show convergent X if Cauchy X for X :: nat  $\Rightarrow$  'a
    by (simp add: complete-def convergent-def that)
qed

```

## 9.12 Conjugate space

```

instantiation conjugate-space :: (complex-inner) complex-inner begin
lift-definition cinner-conjugate-space :: 'a conjugate-space  $\Rightarrow$  'a conjugate-space
 $\Rightarrow$  complex is
   $\langle \lambda x y. \text{cinner } y x \rangle$ .
instance
  apply (intro-classes; transfer)
  apply (simp-all add: )
  apply (simp add: cinner-add-right)
  using cinner-ge-zero norm-eq-sqrt-cinner by auto
end

instance conjugate-space :: (hilbert-space) hilbert-space..

```

## 9.13 Misc (ctd.)

```

lemma separating-dense-span:
  assumes  $\langle \bigwedge F G :: 'a::\text{hilbert-space} \Rightarrow 'b::\{\text{complex-normed-vector}, \text{not-singleton}\}.$ 
     $\text{bounded-clinear } F \Longrightarrow \text{bounded-clinear } G \Longrightarrow (\forall x \in S. F x = G x) \Longrightarrow F$ 
     $= G \rangle$ 
  shows  $\langle \text{closure } (\text{cspan } S) = \text{UNIV} \rangle$ 
proof –
  have  $\langle \psi = 0 \rangle$  if  $\langle \psi \in \text{orthogonal-complement } S \rangle$  for  $\psi$ 
  proof –
    obtain  $\varphi :: 'b$  where  $\langle \varphi \neq 0 \rangle$ 
      by fastforce
    have  $\langle (\lambda x. \text{cinner } \psi x *_C \varphi) = (\lambda -. 0) \rangle$ 
      apply (rule assms[rule-format])
      using orthogonal-complement-orthoI that
      by (auto simp add: bounded-clinear-cinner-right bounded-clinear-scaleC-const)
    then have  $\langle \text{cinner } \psi \psi = 0 \rangle$ 
      by (meson  $\langle \varphi \neq 0 \rangle$  scaleC-eq-0-iff)
    then show  $\langle \psi = 0 \rangle$ 
      by auto
  qed

```

```

then have ⟨orthogonal-complement (orthogonal-complement S) = UNIV⟩
  by (metis UNIV-eq-I cinner-zero-right orthogonal-complementI)
then show ⟨closure (cspan S) = UNIV⟩
  by (simp add: orthogonal-complement-orthogonal-complement-closure-cspan)
qed

```

```

lemma antilinear-cinner:
  shows ⟨antilinear (λx. x •C y)⟩
  by (simp add: antilinearI cinner-add-left)

```

```

lemma cinner-extensionality-basis:
  fixes g h :: ⟨'a::complex-inner⟩
  assumes ⟨ccspan B = top⟩
  assumes ⟨⋀x. x ∈ B ⟹ x •C g = x •C h⟩
  shows ⟨g = h⟩
proof (rule cinner-extensionality)
  fix y :: 'a
  have ⟨y ∈ closure (cspan B)⟩
    using assms(1) ccspan.rep-eq by fastforce
  then obtain x where ⟨x ⟶ y⟩ and xB: ⟨x i ∈ cspan B⟩ for i
    using closure-sequential by blast
  have lin: ⟨antilinear (λa. a •C g - a •C h)⟩
    by (intro antilinear-diff antilinear-cinner)
  from lin have ⟨x i •C g - x i •C h = 0⟩ for i
    apply (rule antilinear-eq-0-on-span[of - B])
    using xB assms by auto
  then have ⟨(λi. x i •C g - x i •C h) ⟶ 0⟩ for i
    by simp
  moreover have ⟨(λi. x i •C g - x i •C h) ⟶ y •C g - y •C h⟩
    by (simp add: ⟨x ⟶ y⟩ tendsto-cinner tendsto-diff)
  ultimately have ⟨y •C g - y •C h = 0⟩
    using LIMSEQ-unique by blast
  then show ⟨y •C g = y •C h⟩
    by simp
qed

```

end

## 10 One-Dimensional-Spaces – One dimensional complex vector spaces

```

theory One-Dimensional-Spaces
  imports
    Complex-Inner-Product
    Complex-Bounded-Operators.Extra-Operator-Norm
begin

```

The class *one-dim* applies to one-dimensional vector spaces. Those are ad-

ditionally interpreted as *complex-algebra-1s* via the canonical isomorphism between a one-dimensional vector space and *complex*.

```
class one-dim = onb-enum + one + times + inverse +
  assumes one-dim-canonical-basis[simp]: canonical-basis = [1]
  assumes one-dim-prod-scale1: (a *C 1) * (b *C 1) = (a * b) *C 1
  assumes divide-inverse: x / y = x * inverse y
  assumes one-dim-inverse: inverse (a *C 1) = inverse a *C 1
```

**hide-fact** (**open**) *divide-inverse*

— *divide-inverse* from class *field*, instantiated below, subsumes this fact.

**instance** *complex* :: *one-dim*

**apply** *intro-classes*

**unfolding** *canonical-basis-complex-def is-ortho-set-def*

**by** (*auto simp: divide-complex-def*)

**lemma** *one-cinner-one*[simp]:  $\langle (1::('a::one-dim)) \cdot_C 1 = 1 \rangle$

**proof**—

**include** *norm-syntax*

**have**  $\langle (canonical-basis::'a\ list) = [1::('a::one-dim)] \rangle$

**by** *simp*

**hence**  $\langle \|1::'a::one-dim\| = 1 \rangle$

**by** (*metis is-normal list.set-intros(1)*)

**hence**  $\langle \|1::'a::one-dim\|^2 = 1 \rangle$

**by** *simp*

**moreover have**  $\langle \|(1::('a::one-dim))\|^2 = (1::('a::one-dim)) \cdot_C 1 \rangle$

**by** (*metis cnorm-eq-square*)

**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *one-cinner-a-scaleC-one*[simp]:  $\langle ((1::'a::one-dim) \cdot_C a) *<sub>C</sub> 1 = a \rangle$

**proof**—

**have**  $\langle (canonical-basis::'a\ list) = [1] \rangle$

**by** *simp*

**hence** *r2*:  $\langle a \in complex-vector.span\ (\{1::'a\}) \rangle$

**using** *iso-tuple-UNIV-I empty-set is-generator-set list.simps(15)*

**by** *metis*

**have**  $\langle 1::'a \notin \{\} \rangle$

**by** (*metis equals0D*)

**hence** *r1*:  $\langle \exists s. a = s *<sub>C</sub> 1 \rangle$

**by** (*metis Diff-insert-absorb r2 complex-vector.span-breakdown complex-vector.span-empty eq-iff-diff-eq-0 singleton-iff*)

**then obtain** *s* **where** *s-def*:  $\langle a = s *<sub>C</sub> 1 \rangle$

**by** *blast*

**have**  $\langle (1::'a) \cdot_C a = (1::'a) \cdot_C (s *<sub>C</sub> 1) \rangle$

**using**  $\langle a = s *<sub>C</sub> 1 \rangle$

**by** *simp*

**also have**  $\langle \dots = s * ((1::'a) \cdot_C 1) \rangle$

**by** *simp*

also have  $\langle \dots = s \rangle$   
 using *one-cinner-one* by *auto*  
 finally show *?thesis*  
 by (*simp add: s-def*)  
 qed

**lemma** *one-dim-apply-is-times-def*:  
 $\psi * \varphi = ((1 \cdot_C \psi) * (1 \cdot_C \varphi)) *_C 1$  for  $\psi :: \langle 'a :: \text{one-dim} \rangle$   
 by (*metis one-cinner-a-scaleC-one one-dim-prod-scale1*)

**instance** *one-dim*  $\subseteq$  *complex-algebra-1*

**proof**

fix  $x \ y \ z :: \langle 'a :: \text{one-dim} \rangle$  and  $c :: \text{complex}$   
 show  $(x * y) * z = x * (y * z)$   
 by (*simp add: one-dim-apply-is-times-def* [where *?'a='a*])  
 show  $(x + y) * z = x * z + y * z$   
 by (*metis (no-types, lifting) cinner-simps(2) complex-vector.vector-space-assms(2)*  
*complex-vector.vector-space-assms(3) one-dim-apply-is-times-def*)  
 show  $x * (y + z) = x * y + x * z$   
 by (*metis (mono-tags, lifting) cinner-simps(2) complex-vector.vector-space-assms(2)*  
*distrib-left one-dim-apply-is-times-def*)  
 show  $(c *_C x) * y = c *_C (x * y)$   
 by (*simp add: one-dim-apply-is-times-def* [where *?'a='a*])  
 show  $x * (c *_C y) = c *_C (x * y)$   
 by (*simp add: one-dim-apply-is-times-def* [where *?'a='a*])  
 show  $1 * x = x$   
 by (*metis mult.left-neutral one-cinner-a-scaleC-one one-cinner-one one-dim-apply-is-times-def*)  
 show  $x * 1 = x$   
 by (*simp add: one-dim-apply-is-times-def* [where *?'a = 'a*])  
 show  $(0 :: 'a) \neq 1$   
 by (*metis cinner-eq-zero-iff one-cinner-one zero-neq-one*)  
 qed

**instance** *one-dim*  $\subseteq$  *complex-normed-algebra*

**proof**

fix  $x \ y :: \langle 'a :: \text{one-dim} \rangle$   
 show  $\text{norm } (x * y) \leq \text{norm } x * \text{norm } y$   
 proof—  
 have *r1*:  $\text{cmod } ((1 :: 'a) \cdot_C x) \leq \text{norm } (1 :: 'a) * \text{norm } x$   
 by (*simp add: complex-inner-class.Cauchy-Schwarz-ineq2*)  
 have *r2*:  $\text{cmod } ((1 :: 'a) \cdot_C y) \leq \text{norm } (1 :: 'a) * \text{norm } y$   
 by (*simp add: complex-inner-class.Cauchy-Schwarz-ineq2*)  
  
 have *q1*:  $(1 :: 'a) \cdot_C 1 = 1$   
 by *simp*  
 hence  $(\text{norm } (1 :: 'a))^2 = 1$   
 by (*simp add: cnorm-eq-1 power2-eq-1-iff*)  
 hence  $\text{norm } (1 :: 'a) = 1$   
 by (*smt abs-norm-cancel power2-eq-1-iff*)

```

    hence cmod (((1::'a) •C x) * ((1::'a) •C y)) * norm (1::'a) = cmod (((1::'a)
•C x) * ((1::'a) •C y))
    by simp
    also have ... = cmod (((1::'a) •C x)) * cmod (((1::'a) •C y))
    by (simp add: norm-mult)
    also have ... ≤ norm (1::'a) * norm x * norm (1::'a) * norm y
    by (smt ‹norm 1 = 1› mult.commute mult-cancel-right1 norm-scaleC one-cinner-a-scaleC-one)
    also have ... = norm x * norm y
    by (simp add: ‹norm 1 = 1›)
    finally show ?thesis
    by (simp add: one-dim-apply-is-times-def[where ?'a='a])
qed
qed

```

```

instance one-dim ⊆ complex-normed-algebra-1
proof intro-classes
  show norm (1::'a) = 1
  by (metis complex-inner-1-left norm-eq-sqrt-cinner norm-one one-cinner-one)
qed

```

This is the canonical isomorphism between any two one dimensional spaces. Specifically, if 1 denotes the element of the canonical basis (which is specified by type class *basis-enum*), then *one-dim-iso* is the unique isomorphism that maps 1 to 1.

```

definition one-dim-iso :: 'a::one-dim ⇒ 'b::one-dim
  where one-dim-iso a = of-complex (1 •C a)

```

```

lemma one-dim-iso-idem[simp]: one-dim-iso (one-dim-iso x) = one-dim-iso x
  by (simp add: one-dim-iso-def)

```

```

lemma one-dim-iso-id[simp]: one-dim-iso = id
  unfolding one-dim-iso-def
  by (auto simp add: of-complex-def)

```

```

lemma one-dim-iso-adjoint[simp]: ‹cadjoint one-dim-iso = one-dim-iso›
  apply (rule cadjoint-eqI)
  by (simp add: one-dim-iso-def of-complex-def)

```

```

lemma one-dim-iso-is-of-complex[simp]: one-dim-iso = of-complex
  unfolding one-dim-iso-def by auto

```

```

lemma of-complex-one-dim-iso[simp]: of-complex (one-dim-iso ψ) = one-dim-iso
ψ
  by (metis one-dim-iso-is-of-complex one-dim-iso-idem)

```

```

lemma one-dim-iso-of-complex[simp]: one-dim-iso (of-complex c) = of-complex c
  by (metis one-dim-iso-is-of-complex one-dim-iso-idem)

```

```

lemma one-dim-iso-add[simp]:

```

$\langle \text{one-dim-iso } (a + b) = \text{one-dim-iso } a + \text{one-dim-iso } b \rangle$   
**by** (simp add: cinner-simps(2) one-dim-iso-def)

**lemma** one-dim-iso-minus[simp]:  
 $\langle \text{one-dim-iso } (a - b) = \text{one-dim-iso } a - \text{one-dim-iso } b \rangle$   
**by** (simp add: cinner-simps(3) one-dim-iso-def)

**lemma** one-dim-iso-scaleC[simp]:  $\text{one-dim-iso } (c *_C \psi) = c *_C \text{one-dim-iso } \psi$   
**by** (metis cinner-scaleC-right of-complex-mult one-dim-iso-def scaleC-conv-of-complex)

**lemma** clinear-one-dim-iso[simp]: *clinear one-dim-iso*  
**by** (rule clinearI, auto)

**lemma** bounded-clinear-one-dim-iso[simp]: *bounded-clinear one-dim-iso*  
**proof** (rule bounded-clinear-intro [where  $K = 1$ ], auto)  
**fix**  $x :: \langle 'a :: \text{one-dim} \rangle$   
**show**  $\text{norm } (\text{one-dim-iso } x) \leq \text{norm } x$   
**by** (metis (full-types) norm-of-complex of-complex-def one-cinner-a-scaleC-one  
one-dim-iso-def  
order-refl)

**qed**

**lemma** one-dim-iso-of-one[simp]:  $\text{one-dim-iso } 1 = 1$   
**by** (simp add: one-dim-iso-def)

**lemma** onorm-one-dim-iso[simp]:  $\text{onorm one-dim-iso} = 1$   
**proof** (rule onormI [where  $b = 1$  and  $x = 1$ ])  
**fix**  $x :: \langle 'a :: \text{one-dim} \rangle$   
**have**  $\text{norm } (\text{one-dim-iso } x :: 'b) \leq \text{norm } x$   
**by** (metis eq-iff norm-of-complex of-complex-def one-cinner-a-scaleC-one one-dim-iso-def)  
**thus**  $\text{norm } (\text{one-dim-iso } (x :: 'a) :: 'b) \leq 1 * \text{norm } x$   
**by** auto  
**show**  $(1 :: 'a) \neq 0$   
**by** simp  
**show**  $\text{norm } (\text{one-dim-iso } (1 :: 'a) :: 'b) = 1 * \text{norm } (1 :: 'a)$   
**by** auto

**qed**

**lemma** one-dim-iso-times[simp]:  $\text{one-dim-iso } (\psi * \varphi) = \text{one-dim-iso } \psi * \text{one-dim-iso } \varphi$   
**by** (metis mult.left-neutral mult-scaleC-left of-complex-def one-cinner-a-scaleC-one  
one-dim-iso-def one-dim-iso-scaleC)

**lemma** one-dim-iso-of-zero[simp]:  $\text{one-dim-iso } 0 = 0$   
**by** (simp add: complex-vector.linear-0)

**lemma** one-dim-iso-of-zero':  $\text{one-dim-iso } x = 0 \implies x = 0$   
**by** (metis of-complex-def of-complex-eq-0-iff one-cinner-a-scaleC-one one-dim-iso-def)

**lemma** *one-dim-scaleC-1*[simp]: *one-dim-iso*  $x *_{\mathbb{C}} 1 = x$   
**by** (*simp add: one-dim-iso-def*)

**lemma** *one-dim-clinear-eqI*:  
**assumes**  $(x :: 'a :: \text{one-dim}) \neq 0$  **and** *clinear*  $f$  **and** *clinear*  $g$  **and**  $f\ x = g\ x$   
**shows**  $f = g$   
**proof** (*rule ext*)  
**fix**  $y :: 'a$   
**from**  $\langle f\ x = g\ x \rangle$   
**have**  $\langle \text{one-dim-iso}\ x *_{\mathbb{C}} f\ 1 = \text{one-dim-iso}\ x *_{\mathbb{C}} g\ 1 \rangle$   
**by** (*metis assms(2) assms(3) complex-vector.linear-scale one-dim-scaleC-1*)  
**hence**  $f\ 1 = g\ 1$   
**using** *assms(1) one-dim-iso-of-zero'* **by** *auto*  
**then show**  $f\ y = g\ y$   
**by** (*metis assms(2) assms(3) complex-vector.linear-scale one-dim-scaleC-1*)  
**qed**

**lemma** *one-dim-norm*: *norm*  $x = \text{cmod} (\text{one-dim-iso}\ x)$   
**proof** (*subst one-dim-scaleC-1 [symmetric]*)  
**show** *norm*  $(\text{one-dim-iso}\ x *_{\mathbb{C}} (1 :: 'a)) = \text{cmod} (\text{one-dim-iso}\ x)$   
**by** (*metis norm-of-complex of-complex-def*)  
**qed**

**lemma** *norm-one-dim-iso*[simp]:  $\langle \text{norm} (\text{one-dim-iso}\ x) = \text{norm}\ x \rangle$   
**by** (*metis norm-of-complex of-complex-one-dim-iso one-dim-norm*)

**lemma** *one-dim-onorm*:  
**fixes**  $f :: 'a :: \text{one-dim} \Rightarrow 'b :: \text{complex-normed-vector}$   
**assumes** *clinear*  $f$   
**shows** *onorm*  $f = \text{norm} (f\ 1)$   
**proof** (*rule onormI[where x=1]*)  
**fix**  $x :: 'a$   
**have**  $\text{norm}\ x * \text{norm} (f\ 1) \leq \text{norm} (f\ 1) * \text{norm}\ x$   
**by** *simp*  
**hence**  $\text{norm} (f (\text{one-dim-iso}\ x *_{\mathbb{C}} 1)) \leq \text{norm} (f\ 1) * \text{norm}\ x$   
**by** (*metis (mono-tags, lifting) assms complex-vector.linear-scale norm-scaleC one-dim-norm*)  
**thus**  $\text{norm} (f\ x) \leq \text{norm} (f\ 1) * \text{norm}\ x$   
**by** (*subst one-dim-scaleC-1 [symmetric]*)  
**qed** *auto*

**lemma** *one-dim-onorm'*:  
**fixes**  $f :: 'a :: \text{one-dim} \Rightarrow 'b :: \text{one-dim}$   
**assumes** *clinear*  $f$   
**shows** *onorm*  $f = \text{cmod} (\text{one-dim-iso} (f\ 1))$   
**using** *assms one-dim-norm one-dim-onorm* **by** *fastforce*

**instance** *one-dim*  $\subseteq$  *zero-neq-one* ..

**lemma** *one-dim-iso-inj*: *one-dim-iso*  $x = \text{one-dim-iso } y \implies x = y$   
**by** (*metis one-dim-iso-idem one-dim-scaleC-1*)

**instance** *one-dim*  $\subseteq$  *comm-ring*

**proof** *intro-classes*

**fix**  $x\ y\ z :: 'a$

**show**  $x * y = y * x$

**by** (*metis one-dim-apply-is-times-def ordered-field-class.sign-simps(5)*)

**show**  $(x + y) * z = x * z + y * z$

**by** (*simp add: ring-class.ring-distrib(2)*)

**qed**

**instance** *one-dim*  $\subseteq$  *field*

**proof** *intro-classes*

**fix**  $x\ y\ z :: \langle 'a :: \text{one-dim} \rangle$

**show**  $1 * x = x$

**by** *simp*

**have** (*inverse*  $((1 :: 'a) \cdot_C x) * ((1 :: 'a) \cdot_C x)) *_{\mathbb{C}} (1 :: 'a) = 1$  **if**  $x \neq 0$ )

**by** (*metis left-inverse-of-complex-def one-cinner-a-scaleC-one one-dim-iso-of-zero*)

*one-dim-iso-is-of-complex one-dim-iso-of-one that*

**hence** *inverse*  $((1 :: 'a) \cdot_C x) *_{\mathbb{C}} 1 * ((1 :: 'a) \cdot_C x) *_{\mathbb{C}} 1 = (1 :: 'a)$  **if**  $x \neq 0$

**by** (*metis one-dim-inverse one-dim-prod-scale1 that*)

**hence** *inverse*  $((1 :: 'a) \cdot_C x) *_{\mathbb{C}} 1 * x = 1$  **if**  $x \neq 0$

**using** *one-cinner-a-scaleC-one[of x, symmetric]* **that** **by** *auto*

**thus** *inverse*  $x * x = 1$  **if**  $x \neq 0$

**by** (*simp add: that*)

**show**  $x / y = x * \text{inverse } y$

**by** (*simp add: one-dim-class.divide-inverse*)

**show** *inverse*  $(0 :: 'a) = 0$

**by** (*subst complex-vector.scale-zero-left[symmetric], subst one-dim-inverse, simp*)

**qed**

**instance** *one-dim*  $\subseteq$  *complex-normed-field*

**proof** *intro-classes*

**fix**  $x\ y :: 'a$

**show**  $\text{norm } (x * y) = \text{norm } x * \text{norm } y$

**by** (*metis norm-mult one-dim-iso-times one-dim-norm*)

**qed**

**instance** *one-dim*  $\subseteq$  *chilbert-space..*

**lemma** *ccspan-one-dim[simp]*:  $\langle \text{ccspan } \{x\} = \text{top} \rangle$  **if**  $\langle x \neq 0 \rangle$  **for**  $x :: \langle - :: \text{one-dim} \rangle$

**proof**  $-$

**have**  $\langle y \in \text{cspan } \{x\} \rangle$  **for**  $y$

**using** *that* **by** (*metis complex-vector.span-base complex-vector.span-zero cspan-singleton-scaleC insertI1 one-dim-scaleC-1 scaleC-zero-left*)

```

then show ?thesis
  by (auto intro!: order.antisym ccspace-leI
      simp: top-ccspace.rep-eq ccspan.rep-eq)
qed

lemma one-dim-ccspace-all-or-nothing:  $\langle A = \text{bot} \vee A = \text{top} \rangle$  for  $A :: \langle \cdot :: \text{one-dim ccspace} \rangle$ 
proof (rule Meson.disj-comm, rule disjCI)
  assume  $\langle A \neq \text{bot} \rangle$ 
  then obtain  $\psi$  where  $\langle \psi \in \text{space-as-set } A \rangle$  and  $\langle \psi \neq 0 \rangle$ 
  by (metis ccspace-eqI singleton-iff space-as-set-bot zero-space-as-set)
  then have  $\langle A \geq \text{ccspan } \{\psi\} \rangle$  (is  $\langle \cdot \geq \dots \rangle$ )
  by (metis bot.extremum ccspan-leqI insert-absorb insert-mono)
  also have  $\langle \dots = \text{ccspan } \{\text{one-dim-iso } \psi *_{\mathbb{C}} 1\} \rangle$ 
  by auto
  also have  $\langle \dots = \text{ccspan } \{1\} \rangle$ 
  apply (rule ccspan-singleton-scaleC)
  using  $\langle \psi \neq 0 \rangle$  one-dim-iso-of-zero' by auto
  also have  $\langle \dots = \text{top} \rangle$ 
  by auto
  finally show  $\langle A = \text{top} \rangle$ 
  by (simp add: top.extremum-uniqueI)
qed

lemma scaleC-1-right[simp]:  $\langle \text{scaleC } x (1 :: 'a :: \text{one-dim}) = \text{of-complex } x \rangle$ 
  unfolding of-complex-def by simp

lemma canonical-basis-length-one-dim[simp]:  $\langle \text{canonical-basis-length TYPE } ('a :: \text{one-dim}) = 1 \rangle$ 
  by (simp add: canonical-basis-length)

end

```

## 11 Complex-Euclidean-Space0 – Finite-Dimensional Inner Product Spaces

```

theory Complex-Euclidean-Space0
  imports
    HOL-Analysis.L2-Norm
    Complex-Inner-Product
    HOL-Analysis.Product-Vector
    HOL-Library.Rewrite
begin

```

### 11.1 Type class of Euclidean spaces

```

class ceuclidean-space = complex-inner +
  fixes CBasis :: 'a set

```

```

assumes nonempty-CBasis [simp]:  $CBasis \neq \{\}$ 
assumes finite-CBasis [simp]: finite CBasis
assumes cinner-CBasis:
   $\llbracket u \in CBasis; v \in CBasis \rrbracket \implies cinner\ u\ v = (if\ u = v\ then\ 1\ else\ 0)$ 
assumes ceclidean-all-zero-iff:
   $(\forall u \in CBasis. cinner\ x\ u = 0) \longleftrightarrow (x = 0)$ 

syntax -type-cdimension :: type  $\Rightarrow$  nat  $\langle (1CDIM/(1'(-))) \rangle$ 
syntax-consts -type-cdimension  $\equiv$  card
translations  $CDIM('a) \rightarrow CONST\ card\ (CONST\ CBasis :: 'a\ set)$ 
typed-print-translation  $\langle$ 
   $[(const\_syntax\ \langle card \rangle,$ 
     $fn\ ctxt \Rightarrow fn\ - \Rightarrow fn\ [Const\ (const\_syntax\ \langle CBasis \rangle,$ 
       $Type\ (type\_name\ \langle set \rangle,$ 
       $[T])]] \Rightarrow$ 
     $Syntax.const\ \mathbf{syntax\_const}\ \langle -type-cdimension \rangle\ \$\ Syntax-Phases.term-of-typ$ 
     $ctxt\ T)]$ 
 $\rangle$ 

lemma (in ceclidean-space) norm-CBasis[simp]:  $u \in CBasis \implies norm\ u = 1$ 
unfolding norm-eq-sqrt-cinner by (simp add: cinner-CBasis)

lemma (in ceclidean-space) cinner-same-CBasis[simp]:  $u \in CBasis \implies cinner\ u$ 
 $u = 1$ 
by (simp add: cinner-CBasis)

lemma (in ceclidean-space) cinner-not-same-CBasis:  $u \in CBasis \implies v \in CBasis$ 
 $\implies u \neq v \implies cinner\ u\ v = 0$ 
by (simp add: cinner-CBasis)

lemma (in ceclidean-space) sgn-CBasis:  $u \in CBasis \implies sgn\ u = u$ 
unfolding sgn-div-norm by (simp add: scaleR-one)

lemma (in ceclidean-space) CBasis-zero [simp]:  $0 \notin CBasis$ 
proof
  assume  $0 \in CBasis$  thus False
  using cinner-CBasis [of 0 0] by simp
qed

lemma (in ceclidean-space) nonzero-CBasis:  $u \in CBasis \implies u \neq 0$ 
by clarsimp

lemma (in ceclidean-space) SOME-CBasis:  $(SOME\ i. i \in CBasis) \in CBasis$ 
by (metis ex-in-conv nonempty-CBasis someI-ex)

lemma norm-some-CBasis [simp]:  $norm\ (SOME\ i. i \in CBasis) = 1$ 
by (simp add: SOME-CBasis)

lemma (in ceclidean-space) cinner-sum-left-CBasis[simp]:
   $b \in CBasis \implies cinner\ (\sum_{i \in CBasis} f\ i\ *_C\ i)\ b = cnj\ (f\ b)$ 

```

by (simp add: cinner-sum-left cinner-CBasis if-distrib comm-monoid-add-class.sum.If-cases)

**lemma** (in ceuclidean-space) ceuclidean-eqI:  
 assumes  $b: \bigwedge b. b \in CBasis \implies cinner\ x\ b = cinner\ y\ b$  **shows**  $x = y$   
**proof** –  
 from  $b$  have  $\forall b \in CBasis. cinner\ (x - y)\ b = 0$   
 by (simp add: cinner-diff-left)  
 then show  $x = y$   
 by (simp add: ceuclidean-all-zero-iff)  
**qed**

**lemma** (in ceuclidean-space) ceuclidean-eq-iff:  
 $x = y \longleftrightarrow (\forall b \in CBasis. cinner\ x\ b = cinner\ y\ b)$   
**by** (auto intro: ceuclidean-eqI)

**lemma** (in ceuclidean-space) ceuclidean-representation-sum:  
 $(\sum i \in CBasis. f\ i\ *_C\ i) = b \longleftrightarrow (\forall i \in CBasis. f\ i = cnj\ (cinner\ b\ i))$   
**apply** (subst ceuclidean-eq-iff)  
**apply** simp **by** (metis complex-cnj-cnj cinner-commute)

**lemma** (in ceuclidean-space) ceuclidean-representation-sum':  
 $b = (\sum i \in CBasis. f\ i\ *_C\ i) \longleftrightarrow (\forall i \in CBasis. f\ i = cinner\ i\ b)$   
**apply** (auto simp add: ceuclidean-representation-sum[symmetric])  
**apply** (metis ceuclidean-representation-sum cinner-commute)  
**by** (metis local.ceuclidean-representation-sum local.cinner-commute)

**lemma** (in ceuclidean-space) ceuclidean-representation:  $(\sum b \in CBasis. cinner\ b\ x\ *_C\ b) = x$   
**unfolding** ceuclidean-representation-sum  
**using** local.cinner-commute **by** blast

**lemma** (in ceuclidean-space) ceuclidean-cinner:  $cinner\ x\ y = (\sum b \in CBasis. cinner\ x\ b\ *_C\ cnj\ (cinner\ y\ b))$   
**apply** (subst (1 2) ceuclidean-representation [symmetric])  
**apply** (simp add: cinner-sum-right cinner-CBasis ac-simps)  
**by** (metis local.cinner-commute mult.commute)

**lemma** (in ceuclidean-space) choice-CBasis-iff:  
**fixes**  $P :: 'a \Rightarrow complex \Rightarrow bool$   
**shows**  $(\forall i \in CBasis. \exists x. P\ i\ x) \longleftrightarrow (\exists x. \forall i \in CBasis. P\ i\ (cinner\ x\ i))$   
**unfolding** bchoice-iff  
**proof** safe  
**fix**  $f$  **assume**  $\forall i \in CBasis. P\ i\ (f\ i)$   
**then show**  $\exists x. \forall i \in CBasis. P\ i\ (cinner\ x\ i)$   
**by** (auto intro!: exI[of -  $\sum i \in CBasis. cnj\ (f\ i)\ *_C\ i$ ])  
**qed** auto

**lemma** (in *ceulidean-space*) *bchoice-CBasis-iff*:  
**fixes**  $P :: 'a \Rightarrow \text{complex} \Rightarrow \text{bool}$   
**shows**  $(\forall i \in \text{CBasis}. \exists x \in A. P \ i \ x) \longleftrightarrow (\exists x. \forall i \in \text{CBasis}. \text{cinner } x \ i \in A \wedge P \ i \ (\text{cinner } x \ i))$   
**by** (*simp add: choice-CBasis-iff Bex-def*)

**lemma** (in *ceulidean-space*) *ceulidean-representation-sum-fun*:  
 $(\lambda x. \sum b \in \text{CBasis}. \text{cinner } b \ (f \ x) *_C b) = f$   
**apply** (*rule ext*)  
**apply** (*simp add: ceulidean-representation-sum*)  
**by** (*meson local.cinner-commute*)

**lemma** *eulidean-isCont*:  
**assumes**  $\bigwedge b. b \in \text{CBasis} \implies \text{isCont } (\lambda x. (\text{cinner } b \ (f \ x)) *_C b) \ x$   
**shows** *isCont*  $f \ x$   
**apply** (*subst ceulidean-representation-sum-fun [symmetric]*)  
**apply** (*rule isCont-sum*)  
**by** (*blast intro: assms*)

**lemma** *CDIM-positive [simp]*:  $0 < \text{CDIM}('a::\text{ceulidean-space})$   
**by** (*simp add: card-gt-0-iff*)

**lemma** *CDIM-ge-Suc0 [simp]*:  $\text{Suc } 0 \leq \text{card } \text{CBasis}$   
**by** (*meson CDIM-positive Suc-leI*)

**lemma** *sum-cinner-CBasis-scaleC [simp]*:  
**fixes**  $f :: 'a::\text{ceulidean-space} \Rightarrow 'b::\text{complex-vector}$   
**assumes**  $b \in \text{CBasis}$  **shows**  $(\sum i \in \text{CBasis}. (\text{cinner } i \ b) *_C f \ i) = f \ b$   
**by** (*simp add: comm-monoid-add-class.sum.remove [OF finite-CBasis assms]*  
*assms cinner-not-same-CBasis comm-monoid-add-class.sum.neutral*)

**lemma** *sum-cinner-CBasis-eq [simp]*:  
**assumes**  $b \in \text{CBasis}$  **shows**  $(\sum i \in \text{CBasis}. (\text{cinner } i \ b) *_C f \ i) = f \ b$   
**by** (*simp add: comm-monoid-add-class.sum.remove [OF finite-CBasis assms]*  
*assms cinner-not-same-CBasis comm-monoid-add-class.sum.neutral*)

**lemma** *sum-if-cinner [simp]*:  
**assumes**  $i \in \text{CBasis} \ j \in \text{CBasis}$   
**shows**  $\text{cinner } (\sum k \in \text{CBasis}. \text{if } k = i \text{ then } f \ i *_C i \text{ else } g \ k *_C k) \ j = (\text{if } j=i \text{ then } \text{cnj } (f \ j) \text{ else } \text{cnj } (g \ j))$   
**proof** (*cases i=j*)  
**case** *True*  
**with** *assms* **show** *?thesis*  
**by** (*auto simp: cinner-sum-left if-distrib [of  $\lambda x. \text{cinner } x \ j$ ] cinner-CBasis cong: if-cong*)  
**next**  
**case** *False*

```

have (∑ k ∈ CBasis. cinner (if k = i then f i *C i else g k *C k) j) =
  (∑ k ∈ CBasis. if k = j then cnj (g k) else 0)
  apply (rule sum.cong)
  using False assms by (auto simp: cinner-CBasis)
also have ... = cnj (g j)
  using assms by auto
finally show ?thesis
  using False by (auto simp: cinner-sum-left)
qed

```

```

lemma norm-le-componentwise:
  (∧ b. b ∈ CBasis ⇒ cmod (cinner x b) ≤ cmod (cinner y b)) ⇒ norm x ≤ norm y
  apply (auto simp: cnorm-le ceclidean-cinner [of x x] ceclidean-cinner [of y y]
    power2-eq-square intro!: sum-mono)
  by (smt (verit, best) mult.commute sum.cong)

```

```

lemma CBasis-le-norm: b ∈ CBasis ⇒ cmod (cinner x b) ≤ norm x
  by (rule order-trans [OF Cauchy-Schwarz-ineq2]) simp

```

```

lemma norm-bound-CBasis-le: b ∈ CBasis ⇒ norm x ≤ e ⇒ cmod (inner x b)
  ≤ e
  by (metis inner-commute mult.left-neutral norm-CBasis norm-of-real order-trans
    real-inner-class.Cauchy-Schwarz-ineq2)

```

```

lemma norm-bound-CBasis-lt: b ∈ CBasis ⇒ norm x < e ⇒ cmod (inner x b)
  < e
  by (metis inner-commute le-less-trans mult.left-neutral norm-CBasis norm-of-real
    real-inner-class.Cauchy-Schwarz-ineq2)

```

```

lemma cnorm-le-l1: norm x ≤ (∑ b ∈ CBasis. cmod (cinner x b))
  apply (subst ceclidean-representation [of x, symmetric])
  apply (rule order-trans [OF norm-sum])
  apply (auto intro!: sum-mono)
  by (metis cinner-commute complex-inner-1-left complex-inner-class.Cauchy-Schwarz-ineq2
    mult.commute mult.left-neutral norm-one)

```

## 11.2 Class instances

### 11.2.1 Type *complex*

```

instantiation complex :: ceclidean-space
begin

```

```

definition
  [simp]: CBasis = {1 :: complex}

```

```

instance
  by standard auto

```

**end**

**lemma** *CDIM-complex*[simp]:  $CDIM(\text{complex}) = 1$   
**by** *simp*

### 11.2.2 Type $'a \times 'b$

**lemma** *cinner-Pair* [simp]:  $\text{cinner } (a, b) \ (c, d) = \text{cinner } a \ c + \text{cinner } b \ d$   
**unfolding** *cinner-prod-def* **by** *simp*

**lemma** *cinner-Pair-0*:  $\text{cinner } x \ (0, b) = \text{cinner } (\text{snd } x) \ b$   $\text{cinner } x \ (a, 0) = \text{cinner } (\text{fst } x) \ a$   
**by**  $(\text{cases } x, \text{simp})+$

**instantiation** *prod* ::  $(\text{euclidean-space}, \text{euclidean-space}) \ \text{euclidean-space}$   
**begin**

**definition**

$CBasis = (\lambda u. (u, 0)) \text{ ` } CBasis \cup (\lambda v. (0, v)) \text{ ` } CBasis$

**lemma** *sum-CBasis-prod-eq*:

**fixes**  $f :: ('a * 'b) \Rightarrow ('a * 'b)$

**shows**  $\text{sum } f \ CBasis = \text{sum } (\lambda i. f \ (i, 0)) \ CBasis + \text{sum } (\lambda i. f \ (0, i)) \ CBasis$

**proof** –

**have** *inj-on*  $(\lambda u. (u :: 'a, 0 :: 'b)) \ CBasis$  *inj-on*  $(\lambda u. (0 :: 'a, u :: 'b)) \ CBasis$

**by**  $(\text{auto intro! : inj-onI Pair-inject})$

**thus** *?thesis*

**unfolding** *CBasis-prod-def*

**by**  $(\text{subst sum.union-disjoint}) \ (\text{auto simp: CBasis-prod-def sum.reindex})$

**qed**

**instance** *proof*

**show**  $(CBasis :: ('a \times 'b) \ \text{set}) \neq \{\}$

**unfolding** *CBasis-prod-def* **by** *simp*

**next**

**show** *finite*  $(CBasis :: ('a \times 'b) \ \text{set})$

**unfolding** *CBasis-prod-def* **by** *simp*

**next**

**fix**  $u \ v :: 'a \times 'b$

**assume**  $u \in CBasis$  **and**  $v \in CBasis$

**thus**  $\text{cinner } u \ v = (\text{if } u = v \text{ then } 1 \text{ else } 0)$

**unfolding** *CBasis-prod-def cinner-prod-def*

**by**  $(\text{auto simp add: cinner-CBasis split: if-split-asm})$

**next**

**fix**  $x :: 'a \times 'b$

**show**  $(\forall u \in CBasis. \text{cinner } x \ u = 0) \longleftrightarrow x = 0$

**unfolding** *CBasis-prod-def ball-Un ball-simps*

**by**  $(\text{simp add: cinner-prod-def prod-eq-iff euclidean-all-zero-iff})$

**qed**

```

lemma CDIM-prod[simp]:  $CDIM('a \times 'b) = CDIM('a) + CDIM('b)$ 
  unfolding CBasis-prod-def
  by (subst card-Un-disjoint) (auto intro!: card-image arg-cong2[where  $f=(+)$ ]
inj-onI)

end

```

### 11.3 Locale instances

```

lemma finite-dimensional-vector-space-euclidean:
  finite-dimensional-vector-space (*C) CBasis
proof unfold-locales
  show finite (CBasis::'a set) by (metis finite-CBasis)
  show complex-vector.independent (CBasis::'a set)
    unfolding complex-vector.dependent-def cdependent-raw-def[symmetric]
    apply (subst complex-vector.span-finite)
    apply simp
    apply clarify
    apply (drule-tac f=cinner a in arg-cong)
    by (simp add: cinner-CBasis cinner-sum-right eq-commute)
  show module.span (*C) CBasis = UNIV
    unfolding complex-vector.span-finite [OF finite-CBasis] cspan-raw-def[symmetric]
    by (auto intro!: ceclidean-representation[symmetric])
qed

```

```

interpretation ceuel: finite-dimensional-vector-space scaleC :: complex => 'a =>
'a::ceclidean-space CBasis
  rewrites module.dependent (*C) = cdependent
    and module.representation (*C) = crepresentation
    and module.subspace (*C) = csubspace
    and module.span (*C) = cspan
    and vector-space.extend-basis (*C) = cextend-basis
    and vector-space.dim (*C) = cdim
    and Vector-Spaces.linear (*C) (*C) = clinear
    and Vector-Spaces.linear (*) (*C) = clinear
    and finite-dimensional-vector-space.dimension CBasis = CDIM('a)

  by (auto simp add: cdependent-raw-def crepresentation-raw-def
csubspace-raw-def cspan-raw-def cextend-basis-raw-def cdim-raw-def clinear-def
complex-scaleC-def[abs-def]
finite-dimensional-vector-space.dimension-def
intro!: finite-dimensional-vector-space.dimension-def
finite-dimensional-vector-space-euclidean)

```

```

interpretation ceuel: finite-dimensional-vector-space-pair-1
  scaleC::complex=>'a::ceclidean-space=>'a CBasis
  scaleC::complex=>'b::complex-vector => 'b
  by unfold-locales

```

```

interpretation ceucl?: finite-dimensional-vector-space-prod scaleC scaleC CBasis
CBasis
  rewrites Basis-pair = CBasis
  and module-prod.scale (*C) (*C) = (scaleC:: $\Rightarrow$  $\Rightarrow$ ('a  $\times$  'b))
proof –
  show finite-dimensional-vector-space-prod (*C) (*C) CBasis CBasis
  by unfold-locales
  interpret finite-dimensional-vector-space-prod (*C) (*C) CBasis::'a set CBasis::'b set
  by fact
  show Basis-pair = CBasis
  unfolding Basis-pair-def CBasis-prod-def by auto
  show module-prod.scale (*C) (*C) = scaleC
  by (fact module-prod-scale-eq-scaleC)
qed

end

```

## 12 Complex-Bounded-Linear-Function0 – Bounded Linear Function

```

theory Complex-Bounded-Linear-Function0
  imports
    HOL-Analysis.Bounded-Linear-Function
    Complex-Inner-Product
    Complex-Euclidean-Space0
begin

unbundle cinner-syntax

lemma conorm-componentwise:
  assumes bounded-clinear f
  shows  $\text{onorm } f \leq (\sum i \in \text{CBasis}. \text{norm } (f \ i))$ 
proof –
  {
    fix i::'a
    assume  $i \in \text{CBasis}$ 
    hence  $\text{onorm } (\lambda x. (i \cdot_C x) *_C f \ i) \leq \text{onorm } (\lambda x. (i \cdot_C x)) * \text{norm } (f \ i)$ 
    by (auto intro!: onorm-scaleC-left-lemma bounded-clinear-cinner-right)
    also have  $\dots \leq \text{norm } i * \text{norm } (f \ i)$ 
    apply (rule mult-right-mono)
    apply (simp add: complex-inner-class.Cauchy-Schwarz-ineq2 onorm-bound)
    by simp
    finally have  $\text{onorm } (\lambda x. (i \cdot_C x) *_C f \ i) \leq \text{norm } (f \ i)$  using  $\langle i \in \text{CBasis} \rangle$ 
    by simp
  } hence  $\text{onorm } (\lambda x. \sum i \in \text{CBasis}. (i \cdot_C x) *_C f \ i) \leq (\sum i \in \text{CBasis}. \text{norm } (f \ i))$ 
  by (auto intro!: order-trans[OF onorm-sum-le] bounded-clinear-scaleC-const)

```

$\text{sum-mono } \text{bounded-clinear-cinner-right } \text{bounded-clinear.bounded-linear})$   
**also have**  $(\lambda x. \sum_{i \in \text{CBasis.}} (i \cdot_C x) *_C f i) = (\lambda x. f (\sum_{i \in \text{CBasis.}} (i \cdot_C x) *_C i))$   
**by** (*simp add: clinear.scaleC linear-sum bounded-clinear.clinear clinear.linear assms*)  
**also have**  $\dots = f$   
**by** (*simp add: ceuclidean-representation*)  
**finally show** *?thesis* .  
**qed**

**lemmas** *conorm-componentwise-le = order-trans[OF conorm-componentwise]*

## 12.1 Intro rules for *bounded-linear*

**lemma** *onorm-cinner-left*:  
**assumes** *bounded-linear r*  
**shows**  $\text{onorm } (\lambda x. r x \cdot_C f) \leq \text{onorm } r * \text{norm } f$   
**proof** (*rule onorm-bound*)  
**fix**  $x$   
**have**  $\text{norm } (r x \cdot_C f) \leq \text{norm } (r x) * \text{norm } f$   
**by** (*simp add: Cauchy-Schwarz-ineq2*)  
**also have**  $\dots \leq \text{onorm } r * \text{norm } x * \text{norm } f$   
**by** (*simp add: assms mult.commute mult-left-mono onorm*)  
**finally show**  $\text{norm } (r x \cdot_C f) \leq \text{onorm } r * \text{norm } f * \text{norm } x$   
**by** (*simp add: ac-simps*)  
**qed** (*intro mult-nonneg-nonneg norm-ge-zero onorm-pos-le assms*)

**lemma** *onorm-cinner-right*:  
**assumes** *bounded-linear r*  
**shows**  $\text{onorm } (\lambda x. f \cdot_C r x) \leq \text{norm } f * \text{onorm } r$   
**proof** (*rule onorm-bound*)  
**fix**  $x$   
**have**  $\text{norm } (f \cdot_C r x) \leq \text{norm } f * \text{norm } (r x)$   
**by** (*simp add: Cauchy-Schwarz-ineq2*)  
**also have**  $\dots \leq \text{onorm } r * \text{norm } x * \text{norm } f$   
**by** (*simp add: assms mult.commute mult-left-mono onorm*)  
**finally show**  $\text{norm } (f \cdot_C r x) \leq \text{norm } f * \text{onorm } r * \text{norm } x$   
**by** (*simp add: ac-simps*)  
**qed** (*intro mult-nonneg-nonneg norm-ge-zero onorm-pos-le assms*)

**lemmas** [*bounded-linear-intros*] =  
*bounded-clinear-zero*  
*bounded-clinear-add*  
*bounded-clinear-const-mult*  
*bounded-clinear-mult-const*  
*bounded-clinear-scaleC-const*  
*bounded-clinear-const-scaleC*  
*bounded-clinear-const-scaleR*  
*bounded-clinear-ident*

*bounded-clinear-sum*

*bounded-clinear-sub*

*bounded-antilinear-cinner-left-comp*

*bounded-clinear-cinner-right-comp*

## 12.2 declaration of derivative/continuous/tendsto introduction rules for bounded linear functions

**attribute-setup** *bounded-clinear* =

```
⟨let val bounded-linear = Attrib.attribute context (the-single @{attributes [bounded-linear]})
in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-linear (thm RS @{thm bounded-clinear.bounded-linear})
  )
o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (* Not present in Bounded-Linear-Function *)
    (@{thm bounded-clinear-compose}, named-theorems ⟨bounded-linear-intros⟩),
    (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def]}, named-the-
orems ⟨bounded-linear-intros⟩)
  ]
  )))
end⟩
```

**attribute-setup** *bounded-antilinear* =

```
⟨let val bounded-linear = Attrib.attribute context (the-single @{attributes [bounded-linear]})
in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-linear (thm RS @{thm bounded-antilinear.bounded-linear})
  )
o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (* Not present in Bounded-Linear-Function *)
    (@{thm bounded-antilinear-o-bounded-clinear[unfolded o-def]}, named-the-
orems ⟨bounded-linear-intros⟩),
    (@{thm bounded-antilinear-o-bounded-antilinear[unfolded o-def]}, named-the-
orems ⟨bounded-linear-intros⟩)
  ]
  )))
end⟩
```

**attribute-setup** *bounded-cbilinear* =

```
⟨let val bounded-bilinear = Attrib.attribute context (the-single @{attributes [bounded-bilinear]})
in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-bilinear (thm RS @{thm bounded-cbilinear.bounded-bilinear})
  )
o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (* Not present in Bounded-Linear-Function *)
    (@{thm bounded-cbilinear-o-bounded-clinear[unfolded o-def]}, named-the-
orems ⟨bounded-linear-intros⟩),
    (@{thm bounded-cbilinear-o-bounded-antilinear[unfolded o-def]}, named-the-
orems ⟨bounded-linear-intros⟩)
  ]
  )))
end⟩
```

```

fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
[
  (@{thm bounded-clinear-compose[OF bounded-cbilinear.bounded-clinear-left]},
   named-theorems ⟨bounded-linear-intros⟩),
  (@{thm bounded-clinear-compose[OF bounded-cbilinear.bounded-clinear-right]},
   named-theorems ⟨bounded-linear-intros⟩),
  (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-cbilinear.bounded-clinear-left]},
   named-theorems ⟨bounded-linear-intros⟩),
  (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-cbilinear.bounded-clinear-right]},
   named-theorems ⟨bounded-linear-intros⟩)
]])
end

```

```

attribute-setup bounded-sesquilinear =
  ⟨let val bounded-bilinear = Attrib.attribute context (the-single @{attributes [bounded-bilinear]})
  in
    Scan.succeed (Thm.declaration-attribute (fn thm =>
      Thm.attribute-declaration bounded-bilinear (thm RS @{thm bounded-sesquilinear.bounded-bilinear}))
  o
    fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
    [
      (@{thm bounded-antilinear-o-bounded-clinear[unfolded o-def, OF bounded-sesquilinear.bounded-antilinear-
        named-theorems ⟨bounded-linear-intros⟩),
      (@{thm bounded-clinear-compose[OF bounded-sesquilinear.bounded-clinear-right]},
        named-theorems ⟨bounded-linear-intros⟩),
      (@{thm bounded-antilinear-o-bounded-antilinear[unfolded o-def, OF bounded-sesquilinear.bounded-antiline
        named-theorems ⟨bounded-linear-intros⟩),
      (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-sesquilinear.bounded-clinear-rig
        named-theorems ⟨bounded-linear-intros⟩)
    ]])
  end

```

### 12.3 Type of complex bounded linear functions

```

typedef (overloaded) ('a, 'b) cblinfun (⟨(- ⇒CL /-)⟩ [22, 21] 21) =
  {f::'a::complex-normed-vector⇒'b::complex-normed-vector. bounded-clinear f}
morphisms cblinfun-apply CBlinfun
by (blast intro: bounded-linear-intros)

```

```

declare [[coercion
  cblinfun-apply :: ('a::complex-normed-vector ⇒CL 'b::complex-normed-vector)
⇒ 'a ⇒ 'b]]

```

```

lemma bounded-clinear-cblinfun-apply[bounded-linear-intros]:
  bounded-clinear g ⇒ bounded-clinear (λx. cblinfun-apply f (g x))
by (metis cblinfun-apply mem-Collect-eq bounded-clinear-compose)

```

```

setup-lifting type-definition-cblinfun

```

**lemma** *cblinfun-eqI*:  $(\bigwedge i. \text{cblinfun-apply } x \ i = \text{cblinfun-apply } y \ i) \implies x = y$   
**by** *transfer auto*

**lemma** *bounded-clinear-CBlinfun-apply*:  $\text{bounded-clinear } f \implies \text{cblinfun-apply } (CBlinfun \ f) = f$   
**by** *(auto simp: CBlinfun-inverse)*

## 12.4 Type class instantiations

**instantiation** *cblinfun* ::  $(\text{complex-normed-vector}, \text{complex-normed-vector}) \text{ complex-normed-vector}$   
**begin**

**lift-definition** *norm-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow \text{real}$  **is** *onorm* .

**lift-definition** *minus-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$   
**is**  $\lambda f \ g \ x. f \ x - g \ x$   
**by** *(rule bounded-clinear-sub)*

**definition** *dist-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b \Rightarrow \text{real}$   
**where** *dist-cblinfun* *a b* = *norm* (*a* - *b*)

**definition** *[code del]*:  
*(uniformity ::  $((a \Rightarrow_{CL} b) \times (a \Rightarrow_{CL} b)) \text{ filter} = (INF \ e \in \{0 <.. \}. \text{principal } \{(x, y). \text{dist } x \ y < e\})$ )*

**definition** *open-cblinfun* ::  $(a \Rightarrow_{CL} b) \text{ set} \Rightarrow \text{bool}$   
**where** *[code del]*: *open-cblinfun* *S* =  $(\forall x \in S. \forall_F (x', y) \text{ in } \text{uniformity}. x' = x \longrightarrow y \in S)$

**lift-definition** *uminus-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$  **is**  $\lambda f \ x. - f \ x$   
**by** *(rule bounded-clinear-minus)*

**lift-definition** *zero-cblinfun* ::  $'a \Rightarrow_{CL} 'b$  **is**  $\lambda x. 0$   
**by** *(rule bounded-clinear-zero)*

**lift-definition** *plus-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$   
**is**  $\lambda f \ g \ x. f \ x + g \ x$   
**by** *(metis bounded-clinear-add)*

**lift-definition** *scaleC-cblinfun* ::  $\text{complex} \Rightarrow 'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$  **is**  $\lambda r \ f \ x. r *_{CL} f \ x$   
**by** *(metis bounded-clinear-compose bounded-clinear-scaleC-right)*

**lift-definition** *scaleR-cblinfun* ::  $\text{real} \Rightarrow 'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$  **is**  $\lambda r \ f \ x. r *_R f \ x$   
**by** *(rule bounded-clinear-const-scaleR)*

**definition** *sgn-cblinfun* ::  $'a \Rightarrow_{CL} 'b \Rightarrow 'a \Rightarrow_{CL} 'b$   
**where** *sgn-cblinfun* *x* = *scaleC* (*inverse* (*norm* *x*)) *x*

```

instance
proof
  fix a b c :: 'a  $\Rightarrow_{CL}$  'b and r q :: real and s t :: complex

  show <a + b + c = a + (b + c)>
    apply transfer by auto
  show <0 + a = a>
    apply transfer by auto
  show <a + b = b + a>
    apply transfer by auto
  show <- a + a = 0>
    apply transfer by auto
  show <a - b = a + - b>
    apply transfer by auto
  show scaleR-scaleC: <((*_R) r::('a  $\Rightarrow_{CL}$  'b)  $\Rightarrow$  -) = (*_C) (complex-of-real r)> for
r
    apply (rule ext, transfer fixing: r) by (simp add: scaleR-scaleC)
  show <s *_C (b + c) = s *_C b + s *_C c>
    apply transfer by (simp add: scaleC-add-right)
  show <(s + t) *_C a = s *_C a + t *_C a>
    apply transfer by (simp add: scaleC-left.add)
  show <s *_C t *_C a = (s * t) *_C a>
    apply transfer by auto
  show <1 *_C a = a>
    apply transfer by auto
  show <dist a b = norm (a - b)>
    unfolding dist-cblinfun-def by simp
  show <sgn a = (inverse (norm a)) *_R a>
    unfolding sgn-cblinfun-def unfolding scaleR-scaleC by auto
  show <uniformity = (INF e $\in$ {0<..}. principal {(x, y). dist (x::('a  $\Rightarrow_{CL}$  'b)) y
< e})>
    by (simp add: uniformity-cblinfun-def)
  show <open U = ( $\forall x \in U. \forall_F (x', y)$  in uniformity. (x'::('a  $\Rightarrow_{CL}$  'b)) = x  $\longrightarrow$  y
 $\in U$ )> for U
    by (simp add: open-cblinfun-def)
  show <(norm a = 0) = (a = 0)>
    apply transfer using bounded-clinear.bounded-linear onorm-eq-0 by blast
  show <norm (a + b)  $\leq$  norm a + norm b>
    apply transfer by (simp add: bounded-clinear.bounded-linear onorm-triangle)
  show <norm (s *_C a) = cmod s * norm a>
    apply transfer using onorm-scalarC by blast
  show <norm (r *_R a) = |r| * norm a>
    apply transfer using bounded-clinear.bounded-linear onorm-scaleR by blast
  show <r *_R (a + b) = r *_R a + r *_R b>
    apply transfer by (simp add: scaleR-add-right)
  show <(r + q) *_R a = r *_R a + q *_R a>
    apply transfer by (simp add: scaleR-add-left)
  show <r *_R q *_R a = (r * q) *_R a>

```

```

    apply transfer by auto
  show <1 *R a = a>
    apply transfer by auto
qed

end

declare uniformity-Abort[where 'a=('a :: complex-normed-vector)  $\Rightarrow_{CL}$  ('b :: complex-normed-vector), code]

lemma norm-cblinfun-eqI:
  assumes  $n \leq \text{norm } (\text{cblinfun-apply } f \ x) / \text{norm } x$ 
  assumes  $\bigwedge x. \text{norm } (\text{cblinfun-apply } f \ x) \leq n * \text{norm } x$ 
  assumes  $0 \leq n$ 
  shows  $\text{norm } f = n$ 
  by (auto simp: norm-cblinfun-def
    intro!: antisym onorm-bound assms order-trans[OF - le-onorm] bounded-clinear.bounded-linear
    bounded-linear-intros)

lemma norm-cblinfun:  $\text{norm } (\text{cblinfun-apply } f \ x) \leq \text{norm } f * \text{norm } x$ 
  apply transfer by (simp add: bounded-clinear.bounded-linear onorm)

lemma norm-cblinfun-bound:  $0 \leq b \implies (\bigwedge x. \text{norm } (\text{cblinfun-apply } f \ x) \leq b * \text{norm } x) \implies \text{norm } f \leq b$ 
  by transfer (rule onorm-bound)

lemma bounded-cbilinear-cblinfun-apply[bounded-cbilinear]: bounded-cbilinear cblinfun-apply
proof
  fix  $f \ g :: 'a \Rightarrow_{CL} 'b$  and  $a \ b :: 'a$  and  $r :: \text{complex}$ 
  show  $(f + g) \ a = f \ a + g \ a$   $(r *_{\mathbb{C}} f) \ a = r *_{\mathbb{C}} f \ a$ 
    by (transfer, simp)+
  interpret bounded-clinear  $f$  for  $f :: 'a \Rightarrow_{CL} 'b$ 
    by (auto intro!: bounded-linear-intros)
  show  $f \ (a + b) = f \ a + f \ b$   $f \ (r *_{\mathbb{C}} a) = r *_{\mathbb{C}} f \ a$ 
    by (simp-all add: add scaleC)
  show  $\exists K. \forall a \ b. \text{norm } (\text{cblinfun-apply } a \ b) \leq \text{norm } a * \text{norm } b * K$ 
    by (auto intro!: exI[where  $x=1$ ] norm-cblinfun)
qed

interpretation cblinfun: bounded-cbilinear cblinfun-apply
  by (rule bounded-cbilinear-cblinfun-apply)

lemmas bounded-clinear-apply-cblinfun[intro, simp] = cblinfun.bounded-clinear-left

declare cblinfun.zero-left [simp] cblinfun.zero-right [simp]

context bounded-cbilinear

```

```

begin

named-theorems cbilinear-simps

lemmas [cbilinear-simps] =
  add-left
  add-right
  diff-left
  diff-right
  minus-left
  minus-right
  scaleC-left
  scaleC-right
  zero-left
  zero-right
  sum-left
  sum-right

end

instance cblinfun :: (complex-normed-vector, cbanach) cbanach

proof
  fix X::nat  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b
  assume Cauchy X
  {
    fix x::'a
    {
      fix x::'a
      assume norm x  $\leq$  1
      have Cauchy ( $\lambda n. X\ n\ x$ )
      proof (rule CauchyI)
        fix e::real
        assume 0 < e
        from CauchyD[OF ( $\langle Cauchy\ X \rangle\ \langle 0 < e \rangle$ )] obtain M
          where M:  $\bigwedge m\ n. m \geq M \implies n \geq M \implies norm\ (X\ m - X\ n) < e$ 
          by auto
        show  $\exists M. \forall m \geq M. \forall n \geq M. norm\ (X\ m\ x - X\ n\ x) < e$ 
        proof (safe intro!: exI[where x=M])
          fix m n
          assume le: M  $\leq m$  M  $\leq n$ 
          have norm (X m x - X n x) = norm ((X m - X n) x)
            by (simp add: cblinfun.cbilinear-simps)
          also have ...  $\leq norm\ (X\ m - X\ n) * norm\ x$ 
            by (rule norm-cblinfun)
          also have ...  $\leq norm\ (X\ m - X\ n) * 1$ 
            using  $\langle norm\ x \leq 1 \rangle$  norm-ge-zero by (rule mult-left-mono)
          also have ... = norm (X m - X n) by simp
        qed
      qed
    }
  }

```

```

    also have ... < e using le by fact
    finally show norm (X m x - X n x) < e .
  qed
  qed
  hence convergent (λn. X n x)
    by (metis Cauchy-convergent-iff)
} note convergent-norm1 = this
define y where y = x /R norm x
have y: norm y ≤ 1 and xy: x = norm x *R y
  by (simp-all add: y-def inverse-eq-divide)
have convergent (λn. norm x *R X n y)
  by (intro bounded-bilinear.convergent[OF bounded-bilinear-scaleR] conver-
gent-const
  convergent-norm1 y)
also have (λn. norm x *R X n y) = (λn. X n x)
  by (metis cblinfun.scaleC-right scaleR-scaleC xy)
finally have convergent (λn. X n x) .
}
then obtain v where v: ⋀x. (λn. X n x) ⟶ v x
  unfolding convergent-def
  by metis

have Cauchy (λn. norm (X n))
proof (rule CauchyI)
  fix e::real
  assume e > 0
  from CauchyD[OF ‹Cauchy X› ‹0 < e›] obtain M
    where M: ⋀m n. m ≥ M ⟹ n ≥ M ⟹ norm (X m - X n) < e
  by auto
  show ∃ M. ∀ m ≥ M. ∀ n ≥ M. norm (norm (X m) - norm (X n)) < e
  proof (safe intro!: exI[where x=M])
    fix m n assume mn: m ≥ M n ≥ M
    have norm (norm (X m) - norm (X n)) ≤ norm (X m - X n)
      by (metis norm-triangle-ineq3 real-norm-def)
    also have ... < e using mn by fact
    finally show norm (norm (X m) - norm (X n)) < e .
  qed
  qed
then obtain K where K: (λn. norm (X n)) ⟶ K
  unfolding Cauchy-convergent-iff convergent-def
  by metis

have bounded-clinear v
proof
  fix x y and r::complex
  from tendsto-add[OF v[of x] v[of y]] v[of x + y, unfolded cblinfun.cbilinear-simps]
    tendsto-scaleC[OF tendsto-const[of r] v[of x]] v[of r *C x, unfolded cblin-
fun.cbilinear-simps]
  show v (x + y) = v x + v y v (r *C x) = r *C v x

```

```

    by (metis (poly-guards-query) LIMSEQ-unique)+
  show  $\exists K. \forall x. \text{norm } (v x) \leq \text{norm } x * K$ 
  proof (safe intro!: exI[where x=K])
    fix x
    have  $\text{norm } (v x) \leq K * \text{norm } x$ 
    apply (rule tendsto-le[OF - tendsto-mult[OF K tendsto-const] tendsto-norm[OF
v]])
      by (auto simp: norm-cblinfun)
    thus  $\text{norm } (v x) \leq \text{norm } x * K$ 
    by (simp add: ac-simps)
  qed
qed
hence Bv:  $\bigwedge x. (\lambda n. X n x) \longrightarrow \text{CBlinfun } v x$ 
  by (auto simp: bounded-clinear-CBlinfun-apply v)

have  $X \longrightarrow \text{CBlinfun } v$ 
proof (rule LIMSEQ-I)
  fix r::real assume  $r > 0$ 
  define r' where  $r' = r / 2$ 
  have  $0 < r' r' < r$  using  $\langle r > 0 \rangle$  by (simp-all add: r'-def)
  from CauchyD[OF  $\langle \text{Cauchy } X \rangle \langle r' > 0 \rangle$ ]
  obtain M where M:  $\bigwedge m n. m \geq M \implies n \geq M \implies \text{norm } (X m - X n) < r'$ 
  by metis
  show  $\exists no. \forall n \geq no. \text{norm } (X n - \text{CBlinfun } v) < r$ 
  proof (safe intro!: exI[where x=M])
    fix n assume  $n: M \leq n$ 
    have  $\text{norm } (X n - \text{CBlinfun } v) \leq r'$ 
    proof (rule norm-cblinfun-bound)
      fix x
      have eventually  $(\lambda m. m \geq M)$  sequentially
      by (metis eventually-ge-at-top)
      hence ev-le: eventually  $(\lambda m. \text{norm } (X n x - X m x) \leq r' * \text{norm } x)$ 
      sequentially
    proof eventually-elim
      case (elim m)
      have  $\text{norm } (X n x - X m x) = \text{norm } ((X n - X m) x)$ 
      by (simp add: cblinfun.cbilinear-simps)
      also have  $\dots \leq \text{norm } ((X n - X m)) * \text{norm } x$ 
      by (rule norm-cblinfun)
      also have  $\dots \leq r' * \text{norm } x$ 
      using M[OF n elim] by (simp add: mult-right-mono)
      finally show ?case .
    qed
  qed
  have tendsto-v:  $(\lambda m. \text{norm } (X n x - X m x)) \longrightarrow \text{norm } (X n x -$ 
CBlinfun v x)
  by (auto intro!: tendsto-intros Bv)
  show  $\text{norm } ((X n - \text{CBlinfun } v) x) \leq r' * \text{norm } x$ 
  by (auto intro!: tendsto-upperbound tendsto-v ev-le simp: cblinfun.cbilinear-simps)
qed (simp add:  $\langle 0 < r' \rangle$  less-imp-le)

```

```

      thus norm (X n - CBlinfun v) < r
      by (metis ‹r' < r› le-less-trans)
    qed
  qed
  thus convergent X
  by (rule convergentI)
qed

```

## 12.5 On Euclidean Space

```

lemma norm-cblinfun-ceuclidean-le:
  fixes a::'a::ceuclidean-space  $\Rightarrow_{CL}$  'b::complex-normed-vector
  shows norm a  $\leq$  sum ( $\lambda x$ . norm (a x)) CBasis
  apply (rule norm-cblinfun-bound)
  apply (simp add: sum-nonneg)
  apply (subst ceuclidean-representation[symmetric, where 'a='a])
  apply (simp only: cblinfun.cbilinear-simps sum-distrib-right)
  apply (rule order.trans[OF norm-sum sum-mono])
  apply (simp add: abs-mult mult-right-mono ac-simps CBasis-le-norm)
  by (metis complex-inner-class.Cauchy-Schwarz-ineq2 mult commute mult.left-neutral
    mult-right-mono norm-CBasis norm-ge-zero)

```

```

lemma ctendsto-componentwise1:
  fixes a::'a::ceuclidean-space  $\Rightarrow_{CL}$  'b::complex-normed-vector
  and b::'c  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b
  assumes ( $\bigwedge j. j \in CBasis \implies ((\lambda n. b\ n\ j) \longrightarrow a\ j)\ F$ )
  shows (b  $\longrightarrow$  a) F
proof -
  have  $\bigwedge j. j \in CBasis \implies Zfun\ (\lambda x. norm\ (b\ x\ j - a\ j))\ F$ 
  using assms unfolding tendsto-Zfun-iff Zfun-norm-iff .
  hence  $Zfun\ (\lambda x. \sum_{j \in CBasis}. norm\ (b\ x\ j - a\ j))\ F$ 
  by (auto intro!: Zfun-sum)
  thus ?thesis
  unfolding tendsto-Zfun-iff
  by (rule Zfun-le)
  (auto intro!: order-trans[OF norm-cblinfun-ceuclidean-le] simp: cblinfun.cbilinear-simps)
qed

```

### lift-definition

```

cblinfun-of-matrix::('b::ceuclidean-space  $\Rightarrow$  'a::ceuclidean-space  $\Rightarrow$  complex)  $\Rightarrow$  'a
 $\Rightarrow_{CL}$  'b
is  $\lambda a\ x. \sum_{i \in CBasis}. \sum_{j \in CBasis}. ((j \cdot_C x) * a\ i\ j) *_C i$ 
by (intro bounded-linear-intros)

```

### lemma cblinfun-of-matrix-works:

```

  fixes f::'a::ceuclidean-space  $\Rightarrow_{CL}$  'b::ceuclidean-space
  shows cblinfun-of-matrix ( $\lambda i\ j. i \cdot_C (f\ j)$ ) = f
proof (transfer, rule, rule ceuclidean-eqI)
  fix f::'a  $\Rightarrow$  'b and x::'a and b::'b assume bounded-clinear f and b: b  $\in$  CBasis

```

**then interpret** *bounded-clinear f* **by** *simp*  
**have**  $(\sum_{j \in CBasis}. \sum_{i \in CBasis}. (i \cdot_C x * (j \cdot_C f i)) *_C j) \cdot_C b$   
 $= (\sum_{j \in CBasis}. \text{if } j = b \text{ then } (\sum_{i \in CBasis}. (x \cdot_C i * (f i \cdot_C j))) \text{ else } 0)$   
**using** *b*  
**apply** (*simp add: cinner-sum-left cinner-CBasis if-distrib cong: if-cong*)  
**by** (*simp add: sum.swap*)  
**also have**  $\dots = (\sum_{i \in CBasis}. ((x \cdot_C i) * (f i \cdot_C b)))$   
**using** *b* **by** (*simp*)  
**also have**  $\dots = f x \cdot_C b$   
**proof** –  
**have**  $\langle (\sum_{i \in CBasis}. (x \cdot_C i) * (f i \cdot_C b)) = (\sum_{i \in CBasis}. (i \cdot_C x) *_C f i) \cdot_C b \rangle$   
**by** (*auto simp: cinner-sum-left*)  
**also have**  $\langle \dots = f x \cdot_C b \rangle$   
**by** (*simp add: ceuclidean-representation sum[symmetric] scale[symmetric]*)  
**finally show** *?thesis* **by** –  
**qed**  
**finally show**  $(\sum_{j \in CBasis}. \sum_{i \in CBasis}. (i \cdot_C x * (j \cdot_C f i)) *_C j) \cdot_C b = f x \cdot_C b$ .  
**qed**

**lemma** *cblinfun-of-matrix-apply*:

*cblinfun-of-matrix a x*  $= (\sum_{i \in CBasis}. \sum_{j \in CBasis}. ((j \cdot_C x) * a i j) *_C i)$   
**apply** *transfer* **by** *simp*

**lemma** *cblinfun-of-matrix-minus*: *cblinfun-of-matrix x* – *cblinfun-of-matrix y* = *cblinfun-of-matrix (x – y)*

**by** *transfer (auto simp: algebra-simps sum-subtractf)*

**lemma** *norm-cblinfun-of-matrix*:

*norm (cblinfun-of-matrix a)*  $\leq (\sum_{i \in CBasis}. \sum_{j \in CBasis}. \text{cmod } (a i j))$   
**apply** (*rule norm-cblinfun-bound*)  
**apply** (*simp add: sum-nonneg*)  
**apply** (*simp only: cblinfun-of-matrix-apply sum-distrib-right*)  
**apply** (*rule order-trans[OF norm-sum sum-mono]*)  
**apply** (*rule order-trans[OF norm-sum sum-mono]*)  
**apply** (*simp add: abs-mult mult-right-mono ac-simps Basis-le-norm*)  
**by** (*metis complex-inner-class.Cauchy-Schwarz-ineq2 complex-scaleC-def mult.left-neutral mult-right-mono norm-CBasis norm-ge-zero norm-scaleC*)

**lemma** *tendsto-cblinfun-of-matrix*:

**assumes**  $\bigwedge i j. i \in CBasis \implies j \in CBasis \implies ((\lambda n. b n i j) \longrightarrow a i j) F$   
**shows**  $((\lambda n. \text{cblinfun-of-matrix } (b n)) \longrightarrow \text{cblinfun-of-matrix } a) F$   
**proof** –  
**have**  $\bigwedge i j. i \in CBasis \implies j \in CBasis \implies Zfun (\lambda x. \text{norm } (b x i j - a i j)) F$   
**using** *assms unfolding tendsto-Zfun-iff Zfun-norm-iff* .  
**hence**  $Zfun (\lambda x. (\sum_{i \in CBasis}. \sum_{j \in CBasis}. \text{cmod } (b x i j - a i j))) F$   
**by** (*auto intro!: Zfun-sum*)

**thus** *?thesis*  
**unfolding** *tendsto-Zfun-iff cblinfun-of-matrix-minus*  
**by** (rule *Zfun-le*) (auto intro!: order-trans[OF *norm-cblinfun-of-matrix*])  
**qed**

**lemma** *ctendsto-componentwise*:  
**fixes** *a::'a::ceclidean-space  $\Rightarrow_{CL}$  'b::ceclidean-space*  
**and** *b::'c  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b*  
**shows**  $(\bigwedge i j. i \in CBasis \implies j \in CBasis \implies ((\lambda n. b\ n\ j \cdot_C i) \longrightarrow a\ j \cdot_C i)$   
 $F) \implies (b \longrightarrow a)\ F$   
**apply** (*subst cblinfun-of-matrix-works[of a, symmetric]*)  
**apply** (*subst cblinfun-of-matrix-works[of b x for x, symmetric, abs-def]*)  
**apply** (*rule tendsto-cblinfun-of-matrix*)  
**apply** (*subst (1) cinner-commute, subst (2) cinner-commute*)  
**by** (*metis lim-cnjl*)

**lemma**  
*continuous-cblinfun-componentwiseI*:  
**fixes** *f::'b::t2-space  $\Rightarrow$  'a::ceclidean-space  $\Rightarrow_{CL}$  'c::ceclidean-space*  
**assumes**  $\bigwedge i j. i \in CBasis \implies j \in CBasis \implies \text{continuous } F\ (\lambda x. (f\ x)\ j \cdot_C i)$   
**shows** *continuous F f*  
**using** *assms by (auto simp: continuous-def intro!: ctendsto-componentwise)*

**lemma**  
*continuous-cblinfun-componentwiseI1*:  
**fixes** *f::'b::t2-space  $\Rightarrow$  'a::ceclidean-space  $\Rightarrow_{CL}$  'c::complex-normed-vector*  
**assumes**  $\bigwedge i. i \in CBasis \implies \text{continuous } F\ (\lambda x. f\ x\ i)$   
**shows** *continuous F f*  
**using** *assms by (auto simp: continuous-def intro!: ctendsto-componentwise1)*

**lemma**  
*continuous-on-cblinfun-componentwise*:  
**fixes** *f::'d::t2-space  $\Rightarrow$  'e::ceclidean-space  $\Rightarrow_{CL}$  'f::complex-normed-vector*  
**assumes**  $\bigwedge i. i \in CBasis \implies \text{continuous-on } s\ (\lambda x. f\ x\ i)$   
**shows** *continuous-on s f*  
**using** *assms*  
**by** (*auto intro!: continuous-at-imp-continuous-on intro!: ctendsto-componentwise1*  
*simp: continuous-on-eq-continuous-within continuous-def*)

**lemma** *bounded-antilinear-cblinfun-matrix*: *bounded-antilinear*  $(\lambda x. (x::\Rightarrow_{CL} -)\ j \cdot_C i)$   
**by** (*auto intro!: bounded-linear-intros*)

**lemma** *continuous-cblinfun-matrix*:  
**fixes** *f::'b::t2-space  $\Rightarrow$  'a::complex-normed-vector  $\Rightarrow_{CL}$  'c::complex-inner*  
**assumes** *continuous F f*  
**shows** *continuous F*  $(\lambda x. (f\ x)\ j \cdot_C i)$   
**by** (*rule bounded-antilinear.continuous[OF bounded-antilinear-cblinfun-matrix assms]*)

**lemma** *continuous-on-cblinfun-matrix*:  
**fixes**  $f :: 'a :: t2\text{-space} \Rightarrow 'b :: \text{complex-normed-vector} \Rightarrow_{CL} 'c :: \text{complex-inner}$   
**assumes** *continuous-on*  $S\ f$   
**shows** *continuous-on*  $S\ (\lambda x. (f\ x)\ j \cdot_C i)$   
**using** *assms*  
**by** (*auto simp: continuous-on-eq-continuous-within continuous-cblinfun-matrix*)

**lemma** *continuous-on-cblinfun-of-matrix*[*continuous-intros*]:  
**assumes**  $\bigwedge i\ j. i \in CBasis \implies j \in CBasis \implies \text{continuous-on } S\ (\lambda s. g\ s\ i\ j)$   
**shows** *continuous-on*  $S\ (\lambda s. \text{cblinfun-of-matrix } (g\ s))$   
**using** *assms*  
**by** (*auto simp: continuous-on intro!: tendsto-cblinfun-of-matrix*)

**lemma** *cblinfun-euclidean-eqI*:  $(\bigwedge i. i \in CBasis \implies \text{cblinfun-apply } x\ i = \text{cblinfun-apply } y\ i) \implies x = y$   
**apply** (*auto intro!: cblinfun-eqI*)  
**apply** (*subst (2) ceuclidean-representation[symmetric, where 'a='a]*)  
**apply** (*subst (1) ceuclidean-representation[symmetric, where 'a='a]*)  
**by** (*simp add: cblinfun.cbilinear-simps*)

**lemma** *CBlinfun-eq-matrix*:  $\text{bounded-clinear } f \implies CBlinfun\ f = \text{cblinfun-of-matrix } (\lambda i\ j. i \cdot_C f\ j)$   
**apply** (*intro cblinfun-euclidean-eqI*)  
**by** (*auto simp: cblinfun-of-matrix-apply bounded-clinear-CBlinfun-apply cinner-CBasis if-distrib if-distribR sum.delta' ceuclidean-representation cong: if-cong*)

## 12.6 concrete bounded linear functions

**lemma** *transfer-bounded-cbilinear-bounded-clinearI*:  
**assumes**  $g = (\lambda i\ x. (\text{cblinfun-apply } (f\ i)\ x))$   
**shows** *bounded-cbilinear*  $g = \text{bounded-clinear } f$   
**proof**  
**assume** *bounded-cbilinear*  $g$   
**then interpret** *bounded-cbilinear*  $f$  **by** (*simp add: assms*)  
**show** *bounded-clinear*  $f$   
**proof** (*unfold-locales, safe intro!: cblinfun-eqI*)  
**fix**  $i$   
**show**  $f\ (x + y)\ i = (f\ x + f\ y)\ i\ f\ (r *_C x)\ i = (r *_C f\ x)\ i$  **for**  $r\ x\ y$   
**by** (*auto intro!: cblinfun-eqI simp: cblinfun.cbilinear-simps*)

**from** - *nonneg-bounded* **show**  $\exists K. \forall x. \text{norm } (f x) \leq \text{norm } x * K$   
**by** (*rule ex-reg*) (*auto intro!*: *onorm-bound simp: norm-cblinfun.rep-eq ac-simps*)  
**qed**  
**qed** (*auto simp: assms intro!*: *cblinfun.comp*)

**lemma** *transfer-bounded-cbilinear-bounded-clinear*[*transfer-rule*]:  
 (*rel-fun* (*rel-fun* (=) (*pcr-cblinfun* (=) (=))) (=)) *bounded-cbilinear bounded-clinear*  
**by** (*auto simp: pcr-cblinfun-def cr-cblinfun-def rel-fun-def OO-def*  
*intro!*: *transfer-bounded-cbilinear-bounded-clinearI*)

**lemma** *transfer-bounded-sesquilinear-bounded-antilinearI*:

**assumes**  $g = (\lambda i x. (\text{cblinfun-apply } (f i) x))$   
**shows** *bounded-sesquilinear*  $g = \text{bounded-antilinear } f$   
**proof**  
**assume** *bounded-sesquilinear*  $g$   
**then interpret** *bounded-sesquilinear*  $f$  **by** (*simp add: assms*)  
**show** *bounded-antilinear*  $f$   
**proof** (*unfold-locales, safe intro!*: *cblinfun-eqI*)  
**fix**  $i$   
**show**  $f (x + y) i = (f x + f y) i$  **if**  $(r *_C x) i = (\text{cnj } r *_C f x) i$  **for**  $r x y$   
**by** (*auto intro!*: *cblinfun-eqI simp: cblinfun.scaleC-left scaleC-left add-left*  
*cblinfun.add-left*)  
**from** - *real.nonneg-bounded* **show**  $\exists K. \forall x. \text{norm } (f x) \leq \text{norm } x * K$   
**by** (*rule ex-reg*) (*auto intro!*: *onorm-bound simp: norm-cblinfun.rep-eq ac-simps*)  
**qed**  
**next**

**assume** *bounded-antilinear*  $f$   
**then obtain**  $K$  **where**  $K: \langle \text{norm } (f x) \leq \text{norm } x * K \rangle$  **for**  $x$   
**using** *bounded-antilinear.bounded* **by** *blast*  
**have**  $\langle \text{norm } (\text{cblinfun-apply } (f a) b) \leq \text{norm } (f a) * \text{norm } b \rangle$  **for**  $a b$   
**by** (*simp add: norm-cblinfun*)  
**also have**  $\langle \dots a b \leq \text{norm } a * \text{norm } b * K \rangle$  **for**  $a b$   
**by** (*smt (verit, best) K mult.assoc mult.commute mult-mono' norm-ge-zero*)  
**finally have**  $\langle \text{norm } (\text{cblinfun-apply } (f a) b) \leq \text{norm } a * \text{norm } b * K \rangle$  **for**  $a b$   
**by** *simp*  
**show** *bounded-sesquilinear*  $g$   
**using**  $\langle \text{bounded-antilinear } f \rangle$   
**apply** (*auto intro!*: *bounded-sesquilinear.intro simp: assms cblinfun.add-left*  
*cblinfun.add-right*  
*linear-simps bounded-antilinear.bounded-linear antilinear.scaleC bounded-antilinear.antilinear*  
*cblinfun.scaleC-left cblinfun.scaleC-right*)  
**using**  $*$  **by** *blast*  
**qed**

**lemma** *transfer-bounded-sesquilinear-bounded-antilinear*[*transfer-rule*]:  
 (*rel-fun* (*rel-fun* (=) (*pcr-cblinfun* (=) (=))) (=)) *bounded-sesquilinear bounded-antilinear*  
**by** (*auto simp: pcr-cblinfun-def cr-cblinfun-def rel-fun-def OO-def*  
*intro!*: *transfer-bounded-sesquilinear-bounded-antilinearI*)

```

context bounded-cbilinear
begin

lift-definition prod-left::'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'c is ( $\lambda b\ a.$  prod a b)
  by (rule bounded-clinear-left)
declare prod-left.rep-eq[simp]

lemma bounded-clinear-prod-left[bounded-clinear]: bounded-clinear prod-left
  by transfer (rule flip)

lift-definition prod-right::'a  $\Rightarrow$  'b  $\Rightarrow_{CL}$  'c is ( $\lambda a\ b.$  prod a b)
  by (rule bounded-clinear-right)
declare prod-right.rep-eq[simp]

lemma bounded-clinear-prod-right[bounded-clinear]: bounded-clinear prod-right
  by transfer (rule bounded-cbilinear-axioms)

end

lift-definition id-cblinfun::'a::complex-normed-vector  $\Rightarrow_{CL}$  'a is  $\lambda x.$  x
  by (rule bounded-clinear-ident)

lemmas cblinfun-id-cblinfun-apply[simp] = id-cblinfun.rep-eq

lemma norm-cblinfun-id[simp]:
  norm (id-cblinfun::'a::{complex-normed-vector, not-singleton}  $\Rightarrow_{CL}$  'a) = 1
  apply transfer
  apply (rule onorm-id[internalize-sort' 'a])
  apply standard[1]
  by simp

lemma norm-cblinfun-id-le:
  norm (id-cblinfun::'a::complex-normed-vector  $\Rightarrow_{CL}$  'a)  $\leq 1$ 
  by transfer (auto simp: onorm-id-le)

lift-definition cblinfun-compose::
  'a::complex-normed-vector  $\Rightarrow_{CL}$  'b::complex-normed-vector  $\Rightarrow$ 
  'c::complex-normed-vector  $\Rightarrow_{CL}$  'a  $\Rightarrow$ 

```

```

'c  $\Rightarrow_{CL}$  'b (infixl <math>o_{CL}</math> 67) is (o)

parametric comp-transfer
unfolding o-def
by (rule bounded-clinear-compose)

lemma cblinfun-apply-cblinfun-compose[simp]: (a  $o_{CL}$  b) c = a (b c)
by (simp add: cblinfun-compose.rep-eq)

lemma norm-cblinfun-compose:
  norm (f  $o_{CL}$  g)  $\leq$  norm f * norm g
apply transfer
by (auto intro!: onorm-compose simp: bounded-clinear.bounded-linear)

lemma bounded-cbilinear-cblinfun-compose[bounded-cbilinear]: bounded-cbilinear ( $o_{CL}$ )
by unfold-locales
  (auto intro!: cblinfun-eqI exI[where x=1] simp: cblinfun.cbilinear-simps norm-cblinfun-compose)

lemma cblinfun-compose-zero[simp]:
  blinfun-compose 0 = ( $\lambda$ -. 0)
  blinfun-compose x 0 = 0
by (auto simp: blinfun.bilinear-simps intro!: blinfun-eqI)

lemma cblinfun-bij2:
  fixes f::'a  $\Rightarrow_{CL}$  'a::euclidean-space
  assumes f  $o_{CL}$  g = id-cblinfun
  shows bij (cblinfun-apply g)
proof (rule bijI)
  show inj g
    using assms
  by (metis cblinfun-id-cblinfun-apply cblinfun-compose.rep-eq injI inj-on-imageI2)
  then show surj g
    using bounded-clinear-def cblinfun.bounded-clinear-right ceucl.linear-inj-imp-surj
by blast
qed

lemma cblinfun-bij1:
  fixes f::'a  $\Rightarrow_{CL}$  'a::euclidean-space
  assumes f  $o_{CL}$  g = id-cblinfun
  shows bij (cblinfun-apply f)
proof (rule bijI)
  show surj (cblinfun-apply f)
    by (metis assms cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply surjI)
  then show inj (cblinfun-apply f)
    using bounded-clinear-def cblinfun.bounded-clinear-right ceucl.linear-surjective-imp-injective
by blast
qed

lift-definition cblinfun-cinner-right::'a::complex-inner  $\Rightarrow$  'a  $\Rightarrow_{CL}$  complex is ( $\cdot_C$ )

```

**by** (rule bounded-clinear-cinner-right)  
**declare** cblinfun-cinner-right.rep-eq[simp]  
  
**lemma** bounded-antilinear-cblinfun-cinner-right[bounded-antilinear]: bounded-antilinear  
cblinfun-cinner-right  
**apply** transfer **by** (simp add: bounded-sesquilinear-cinner)

**lift-definition** cblinfun-scaleC-right::complex  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a::complex-normed-vector  
**is** (\*<sub>C</sub>)  
**by** (rule bounded-clinear-scaleC-right)  
**declare** cblinfun-scaleC-right.rep-eq[simp]

**lemma** bounded-clinear-cblinfun-scaleC-right[bounded-clinear]: bounded-clinear cblin-  
fun-scaleC-right  
**by** transfer (rule bounded-cbilinear-scaleC)

**lift-definition** cblinfun-scaleC-left::'a::complex-normed-vector  $\Rightarrow$  complex  $\Rightarrow_{CL}$  'a  
**is**  $\lambda x y. y *_{\mathcal{C}} x$   
**by** (rule bounded-clinear-scaleC-left)  
**lemmas** [simp] = cblinfun-scaleC-left.rep-eq

**lemma** bounded-clinear-cblinfun-scaleC-left[bounded-clinear]: bounded-clinear cblin-  
fun-scaleC-left  
**by** transfer (rule bounded-cbilinear.flip[OF bounded-cbilinear-scaleC])

**lift-definition** cblinfun-mult-right::'a  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a::complex-normed-algebra **is** (\*)  
**by** (rule bounded-clinear-mult-right)  
**declare** cblinfun-mult-right.rep-eq[simp]

**lemma** bounded-clinear-cblinfun-mult-right[bounded-clinear]: bounded-clinear cblin-  
fun-mult-right  
**by** transfer (rule bounded-cbilinear-mult)

**lift-definition** cblinfun-mult-left::'a::complex-normed-algebra  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a **is**  $\lambda x$   
 $y. y * x$   
**by** (rule bounded-clinear-mult-left)  
**lemmas** [simp] = cblinfun-mult-left.rep-eq

**lemma** bounded-clinear-cblinfun-mult-left[bounded-clinear]: bounded-clinear cblin-  
fun-mult-left  
**by** transfer (rule bounded-cbilinear.flip[OF bounded-cbilinear-mult])

**lemmas** bounded-clinear-function-uniform-limit-intros[uniform-limit-intros] =

`bounded-clinear.uniform-limit[OF bounded-clinear-apply-cblinfun]`  
`bounded-clinear.uniform-limit[OF bounded-clinear-cblinfun-apply]`  
`bounded-antilinear.uniform-limit[OF bounded-antilinear-cblinfun-matrix]`

## 12.7 The strong operator topology on continuous linear operators

Let  $'a$  and  $'b$  be two normed real vector spaces. Then the space of linear continuous operators from  $'a$  to  $'b$  has a canonical norm, and therefore a canonical corresponding topology (the type classes instantiation are given in `Complex_Bounded_Linear_Function0.thy`).

However, there is another topology on this space, the strong operator topology, where  $T_n$  tends to  $T$  iff, for all  $x$  in  $'a$ , then  $T_n x$  tends to  $T x$ . This is precisely the product topology where the target space is endowed with the norm topology. It is especially useful when  $'b$  is the set of real numbers, since then this topology is compact.

We can not implement it using type classes as there is already a topology, but at least we can define it as a topology.

Note that there is yet another (common and useful) topology on operator spaces, the weak operator topology, defined analogously using the product topology, but where the target space is given the weak-\* topology, i.e., the pullback of the weak topology on the bidual of the space under the canonical embedding of a space into its bidual. We do not define it there, although it could also be defined analogously.

**definition** *cstrong-operator-topology*:: $( 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} )$   
*topology*

**where** *cstrong-operator-topology* = *pullback-topology UNIV cblinfun-apply euclidean*

**lemma** *cstrong-operator-topology-topspace*:

*topspace cstrong-operator-topology* = *UNIV*

**unfolding** *cstrong-operator-topology-def topspace-pullback-topology topspace-euclidean*  
**by** *auto*

**lemma** *cstrong-operator-topology-basis*:

**fixes**  $f::('a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector})$  **and**  $U::'i \Rightarrow 'b$  **set** **and**  $x::'i \Rightarrow 'a$

**assumes**  $\text{finite } I \wedge i. i \in I \implies \text{open } (U i)$

**shows**  $\text{openin } \text{cstrong-operator-topology } \{f. \forall i \in I. \text{cblinfun-apply } f (x i) \in U i\}$

**proof** –

**have**  $\text{open } \{g::('a \Rightarrow 'b). \forall i \in I. g (x i) \in U i\}$

**by** (*rule product-topology-basis'[OF assms]*)

**moreover** **have**  $\{f. \forall i \in I. \text{cblinfun-apply } f (x i) \in U i\}$

$= \text{cblinfun-apply} - \{g::('a \Rightarrow 'b). \forall i \in I. g (x i) \in U i\} \cap \text{UNIV}$

**by** *auto*

**ultimately show** *?thesis*

```

    unfolding cstrong-operator-topology-def by (subst openin-pullback-topology)
  auto
qed

lemma cstrong-operator-topology-continuous-evaluation:
  continuous-map cstrong-operator-topology euclidean ( $\lambda f. \text{cblinfun-apply } f \ x$ )
proof –
  have continuous-map cstrong-operator-topology euclidean ( $(\lambda f. f \ x) \circ \text{cblinfun-apply}$ )
    unfolding cstrong-operator-topology-def apply (rule continuous-map-pullback)
    using continuous-on-product-coordinates by fastforce
  then show ?thesis unfolding comp-def by simp
qed

lemma continuous-on-cstrong-operator-topo-iff-coordinatewise:
  continuous-map T cstrong-operator-topology f
   $\longleftrightarrow (\forall x. \text{continuous-map } T \text{ euclidean } (\lambda y. \text{cblinfun-apply } (f \ y) \ x))$ 
proof (auto)
  fix x::'b
  assume continuous-map T cstrong-operator-topology f
  with continuous-map-compose[OF this cstrong-operator-topology-continuous-evaluation]
  have continuous-map T euclidean ( $(\lambda z. \text{cblinfun-apply } z \ x) \circ f$ )
    by simp
  then show continuous-map T euclidean ( $\lambda y. \text{cblinfun-apply } (f \ y) \ x$ )
    unfolding comp-def by auto
next
  assume  $\ast: \forall x. \text{continuous-map } T \text{ euclidean } (\lambda y. \text{cblinfun-apply } (f \ y) \ x)$ 
  have  $\bigwedge i. \text{continuous-map } T \text{ euclidean } (\lambda x. \text{cblinfun-apply } (f \ x) \ i)$ 
    using  $\ast$  unfolding comp-def by auto
  then have continuous-map T euclidean (cblinfun-apply o f)
    unfolding o-def
    by (metis (no-types) continuous-map-componentwise-UNIV euclidean-product-topology)
  show continuous-map T cstrong-operator-topology f
    unfolding cstrong-operator-topology-def
    apply (rule continuous-map-pullback')
    by (auto simp add:  $\langle \text{continuous-map } T \text{ euclidean } (\text{cblinfun-apply } \circ f) \rangle$ )
qed

lemma cstrong-operator-topology-weaker-than-euclidean:
  continuous-map euclidean cstrong-operator-topology ( $\lambda f. f$ )
  apply (subst continuous-on-cstrong-operator-topo-iff-coordinatewise)
  by (auto simp add: linear-continuous-on continuous-at-imp-continuous-on linear-continuous-at
    bounded-clinear.bounded-linear)
end

```

## 13 Complex-Bounded-Linear-Function – Complex bounded linear functions (bounded operators)

**theory** *Complex-Bounded-Linear-Function*

**imports**

*HOL-Types-To-Sets.Types-To-Sets*

*HOL-Library.Function-Algebras*

*Banach-Steinhaus.Banach-Steinhaus*

*Wlog.Wlog*

*Complex-Inner-Product*

*One-Dimensional-Spaces*

*Complex-Bounded-Linear-Function0*

**begin**

**unbundle** *lattice-syntax*

### 13.1 Misc basic facts and declarations

**notation** *cblinfun-apply* (**infixr**  $\langle *_V \rangle$  70)

**lemma** *id-cblinfun-apply[simp]*:  $id\text{-}cblinfun\ *_V\ \psi = \psi$   
**by** *simp*

**lemma** *apply-id-cblinfun[simp]*:  $\langle (*_V)\ id\text{-}cblinfun = id \rangle$   
**by** *auto*

**lemma** *isCont-cblinfun-apply[simp]*:  $isCont\ ((*_V)\ A)\ \psi$   
**by** *transfer (simp add: clinear-continuous-at)*

**declare** *cblinfun.scaleC-left[simp]*

**lemma** *cblinfun-apply-clinear[simp]*:  $\langle clinear\ (cblinfun\text{-}apply\ A) \rangle$   
**using** *bounded-clinear.axioms(1) cblinfun-apply* **by** *blast*

**lemma** *cblinfun-cinner-eqI*:

**fixes**  $A\ B :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL}\ 'a \rangle$

**assumes**  $\langle \bigwedge \psi. \text{norm}\ \psi = 1 \implies \text{cinner}\ \psi\ (A *_V\ \psi) = \text{cinner}\ \psi\ (B *_V\ \psi) \rangle$

**shows**  $\langle A = B \rangle$

**proof** –

**define**  $C$  **where**  $\langle C = A - B \rangle$

**have**  $C0[simp]$ :  $\langle \text{cinner}\ \psi\ (C\ \psi) = 0 \rangle$  **for**  $\psi$

**apply** (*cases*  $\langle \psi = 0 \rangle$ )

**using** *assms[of*  $\langle \text{sgn}\ \psi \rangle$ ]

**by** (*simp-all add: C-def norm-sgn sgn-div-norm cblinfun.scaleR-right assms*

*cblinfun.diff-left cinner-diff-right*)

**{** **fix**  $f\ g\ \alpha$

**have**  $\langle 0 = \text{cinner}\ (f + \alpha *_C\ g)\ (C *_V\ (f + \alpha *_C\ g)) \rangle$

**by** (*simp add: cinner-diff-right minus-cblinfun.rep-eq*)

```

    also have ⟨... = α *C cinner f (C g) + cnj α *C cinner g (C f)⟩
    by (smt (z3) C0 add commute add.right-neutral cblinfun.add-right cblin-
fun.scaleC-right cblinfun.cinner-right.rep-eq cinner-add-left cinner-scaleC-left com-
plex-scaleC-def)
    finally have ⟨α *C cinner f (C g) = - cnj α *C cinner g (C f)⟩
    by (simp add: eq-neg-iff-add-eq-0)
  }
  then have ⟨cinner f (C g) = 0⟩ for f g
  by (metis complex-cnj-i complex-cnj-one complex-vector.scale-cancel-right com-
plex-vector.scale-left-imp-eq equation-minus-iff i-squared mult-eq-0-iff one-neg-neg-one)
  then have ⟨C g = 0⟩ for g
  using cinner-eq-zero-iff by blast
  then have ⟨C = 0⟩
  by (simp add: cblinfun-eqI)
  then show ⟨A = B⟩
  using C-def by auto
qed

```

```

lemma id-cblinfun-not-0[simp]: ⟨(id-cblinfun :: 'a::{complex-normed-vector, not-singleton}
⇒CL -) ≠ 0⟩
  by (metis (full-types) Extra-General.UNIV-not-singleton cblinfun.zero-left cblin-
fun-id-cblinfun-apply ex-norm1 norm-zero one-neg-zero)

```

```

lemma cblinfun-norm-geqI:
  assumes ⟨norm (f *V x) / norm x ≥ K⟩
  shows ⟨norm f ≥ K⟩
  using assms by transfer (smt (z3) bounded-clinear.bounded-linear le-onorm)

```

```

declare scaleC-conv-of-complex[simp]

```

```

lemma cblinfun-eq-0-on-span:
  fixes S::⟨'a::complex-normed-vector set⟩
  assumes x ∈ cspan S
  and ⋀s. s ∈ S ⇒ F *V s = 0
  shows ⟨F *V x = 0⟩
  using bounded-clinear.axioms(1) cblinfun-apply assms complex-vector.linear-eq-0-on-span
  by blast

```

```

lemma cblinfun-eq-on-span:
  fixes S::⟨'a::complex-normed-vector set⟩
  assumes x ∈ cspan S
  and ⋀s. s ∈ S ⇒ F *V s = G *V s
  shows ⟨F *V x = G *V x⟩
  using bounded-clinear.axioms(1) cblinfun-apply assms complex-vector.linear-eq-on-span
  by blast

```

```

lemma cblinfun-eq-0-on-UNIV-span:
  fixes basis::⟨'a::complex-normed-vector set⟩

```

```

assumes  $cspan\ basis = UNIV$ 
and  $\bigwedge s. s \in basis \implies F *_{\mathcal{V}} s = 0$ 
shows  $\langle F = 0 \rangle$ 
by (metis cblinfun-eq-0-on-span UNIV-I assms cblinfun.zero-left cblinfun-eqI)

lemma cblinfun-eq-on-UNIV-span:
fixes  $basis::'a::complex-normed-vector\ set$  and  $\varphi::'a \Rightarrow 'b::complex-normed-vector$ 
assumes  $cspan\ basis = UNIV$ 
and  $\bigwedge s. s \in basis \implies F *_{\mathcal{V}} s = G *_{\mathcal{V}} s$ 
shows  $\langle F = G \rangle$ 
by (metis (no-types) assms cblinfun-eqI cblinfun-eq-on-span iso-tuple-UNIV-I)

lemma cblinfun-eq-on-canonical-basis:
fixes  $f\ g::'a::\{basis-enum, complex-normed-vector\} \Rightarrow_{CL} 'b::complex-normed-vector$ 
defines  $basis == set\ (canonical-basis::'a\ list)$ 
assumes  $\bigwedge u. u \in basis \implies f *_{\mathcal{V}} u = g *_{\mathcal{V}} u$ 
shows  $f = g$ 
using assms cblinfun-eq-on-UNIV-span is-generator-set by blast

lemma cblinfun-eq-0-on-canonical-basis:
fixes  $f::'a::\{basis-enum, complex-normed-vector\} \Rightarrow_{CL} 'b::complex-normed-vector$ 
defines  $basis == set\ (canonical-basis::'a\ list)$ 
assumes  $\bigwedge u. u \in basis \implies f *_{\mathcal{V}} u = 0$ 
shows  $f = 0$ 
by (simp add: assms cblinfun-eq-on-canonical-basis)

lemma cinner-canonical-basis-eq-0:
defines  $basisA == set\ (canonical-basis::'a::onb-enum\ list)$ 
and  $basisB == set\ (canonical-basis::'b::onb-enum\ list)$ 
assumes  $\bigwedge u\ v. u \in basisA \implies v \in basisB \implies is-orthogonal\ v\ (F *_{\mathcal{V}} u)$ 
shows  $F = 0$ 
proof–
  have  $F *_{\mathcal{V}} u = 0$ 
  if  $u \in basisA$  for  $u$ 
  proof–
    have  $\bigwedge v. v \in basisB \implies is-orthogonal\ v\ (F *_{\mathcal{V}} u)$ 
    by (simp add: assms(3) that)
    moreover have  $(\bigwedge v. v \in basisB \implies is-orthogonal\ v\ x) \implies x = 0$ 
    for  $x$ 
    proof–
      assume  $r1: \bigwedge v. v \in basisB \implies is-orthogonal\ v\ x$ 
      have  $is-orthogonal\ v\ x$  for  $v$ 
      proof–
        have  $cspan\ basisB = UNIV$ 
        using basisB-def is-generator-set by auto
        hence  $v \in cspan\ basisB$ 
        by (smt iso-tuple-UNIV-I)
        hence  $\exists t\ s. v = (\sum a \in t. s\ a *_{\mathcal{C}} a) \wedge finite\ t \wedge t \subseteq basisB$ 
        using complex-vector.span-explicit

```

```

      by (smt mem-Collect-eq)
    then obtain  $t$   $s$  where  $b1: v = (\sum a \in t. s \ a \ *_C \ a)$  and  $b2: \text{finite } t$  and
 $b3: t \subseteq \text{basis}B$ 
      by blast
    have  $v \cdot_C x = (\sum a \in t. s \ a \ *_C \ a) \cdot_C x$ 
      by (simp add: b1)
    also have  $\dots = (\sum a \in t. (s \ a \ *_C \ a) \cdot_C x)$ 
      using cinner-sum-left by blast
    also have  $\dots = (\sum a \in t. \text{cnj } (s \ a) \ * \ (a \cdot_C x))$ 
      by auto
    also have  $\dots = 0$ 
      using b3 r1 subsetD by force
    finally show ?thesis by simp
  qed
  thus ?thesis
    by (simp add:  $\langle \bigwedge v. (v \cdot_C x) = 0 \rangle$  cinner-extensionality)
  qed
  ultimately show ?thesis by simp
  qed
  thus ?thesis
    using basisA-def cblinfun-eq-0-on-canonical-basis by auto
  qed

```

**lemma** *cinner-canonical-basis-eq*:

```

  defines basisA == set (canonical-basis::'a::onb-enum list)
    and basisB == set (canonical-basis::'b::onb-enum list)
  assumes  $\bigwedge u \ v. u \in \text{basis}A \implies v \in \text{basis}B \implies v \cdot_C (F \ *_V \ u) = v \cdot_C (G \ *_V \ u)$ 
  shows  $F = G$ 
  proof-
    define  $H$  where  $H = F - G$ 
    have  $\bigwedge u \ v. u \in \text{basis}A \implies v \in \text{basis}B \implies \text{is-orthogonal } v \ (H \ *_V \ u)$ 
      unfolding H-def
      by (simp add: assms(3) cinner-diff-right minus-cblinfun.rep-eq)
    hence  $H = 0$ 
      by (simp add: basisA-def basisB-def cinner-canonical-basis-eq-0)
    thus ?thesis unfolding H-def by simp
  qed

```

**lemma** *cinner-canonical-basis-eq'*:

```

  defines basisA == set (canonical-basis::'a::onb-enum list)
    and basisB == set (canonical-basis::'b::onb-enum list)
  assumes  $\bigwedge u \ v. u \in \text{basis}A \implies v \in \text{basis}B \implies (F \ *_V \ u) \cdot_C v = (G \ *_V \ u) \cdot_C v$ 
  shows  $F = G$ 
  using cinner-canonical-basis-eq assms
  by (metis cinner-commute')

```

**lemma** *not-not-singleton-cblinfun-zero*:

```

 $\langle x = 0 \rangle$  if  $\langle \neg \text{class.not-singleton TYPE('a)} \rangle$  for  $x :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$ 

```

```

apply (rule cblinfun-eqI)
apply (subst not-not-singleton-zero[OF that])
by simp

lemma cblinfun-norm-approx-witness:
  fixes A :: ⟨'a::{not-singleton,complex-normed-vector} ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε > 0⟩
  shows ⟨∃ ψ. norm (A *V ψ) ≥ norm A - ε ∧ norm ψ = 1⟩
proof (transfer fixing: ε)
  fix A :: ⟨'a ⇒ 'b⟩ assume [simp]: ⟨bounded-clinear A⟩
  have ⟨∃ y ∈ {norm (A x) | x. norm x = 1}. y > ⌊ {norm (A x) | x. norm x = 1}
    - ε⟩
    apply (rule Sup-real-close)
    using assms by (auto simp: ex-norm1 bounded-clinear.bounded-linear bdd-above-norm-f)
  also have ⟨⌊ {norm (A x) | x. norm x = 1} = onorm A⟩
    by (simp add: bounded-clinear.bounded-linear onorm-sphere)
  finally
  show ⟨∃ ψ. onorm A - ε ≤ norm (A ψ) ∧ norm ψ = 1⟩
    by force
qed

lemma cblinfun-norm-approx-witness-mult:
  fixes A :: ⟨'a::{not-singleton,complex-normed-vector} ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε < 1⟩
  shows ⟨∃ ψ. norm (A *V ψ) ≥ norm A * ε ∧ norm ψ = 1⟩
proof (cases ⟨norm A = 0⟩)
  case True
    then show ?thesis
      by auto (simp add: ex-norm1)
  next
  case False
    then have ⟨(1 - ε) * norm A > 0⟩
      using assms by fastforce
    then obtain ψ where geq: ⟨norm (A *V ψ) ≥ norm A - ((1 - ε) * norm A)⟩
    and ⟨norm ψ = 1⟩
      using cblinfun-norm-approx-witness by blast
    have ⟨norm A * ε = norm A - (1 - ε) * norm A⟩
      by (simp add: mult.commute right-diff-distrib)
    also have ⟨... ≤ norm (A *V ψ)⟩
      by (rule geq)
    finally show ?thesis
      using ⟨norm ψ = 1⟩ by auto
qed

lemma cblinfun-norm-approx-witness':
  fixes A :: ⟨'a::complex-normed-vector ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε > 0⟩
  shows ⟨∃ ψ. norm (A *V ψ) / norm ψ ≥ norm A - ε⟩

```

```

proof (cases (class.not-singleton TYPE('a)))
  case True
    obtain  $\psi$  where  $\langle \text{norm } (A *_V \psi) \geq \text{norm } A - \varepsilon \rangle$  and  $\langle \text{norm } \psi = 1 \rangle$ 
    apply atomize-elim
    using complex-normed-vector-axioms True assms
    by (rule cblinfun-norm-approx-witness[internalize-sort' 'a])
    then have  $\langle \text{norm } (A *_V \psi) / \text{norm } \psi \geq \text{norm } A - \varepsilon \rangle$ 
    by simp
    then show ?thesis
    by auto
  next
    case False
    show ?thesis
    apply (subst not-not-singleton-cblinfun-zero[OF False])
    apply simp
    apply (subst not-not-singleton-cblinfun-zero[OF False])
    using  $\langle \varepsilon > 0 \rangle$  by simp
qed

lemma cblinfun-to-CARD-1-0[simp]:  $\langle (A :: - \Rightarrow_{CL} \text{--}::\text{CARD-1}) = 0 \rangle$ 
by (simp add: cblinfun-eqI)

lemma cblinfun-from-CARD-1-0[simp]:  $\langle (A :: \text{--}::\text{CARD-1} \Rightarrow_{CL} -) = 0 \rangle$ 
using cblinfun-eq-on-UNIV-span by force

lemma cblinfun-cspan-UNIV:
  fixes basis ::  $\langle 'a :: \{ \text{complex-normed-vector}, \text{cfinite-dim} \} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$ 
  set
    and basisA ::  $\langle 'a \text{ set} \rangle$  and basisB ::  $\langle 'b \text{ set} \rangle$ 
    assumes  $\langle \text{cspan basisA} = \text{UNIV} \rangle$  and  $\langle \text{cspan basisB} = \text{UNIV} \rangle$ 
    assumes basis:  $\langle \bigwedge a \ b. a \in \text{basisA} \implies b \in \text{basisB} \implies \exists F \in \text{basis}. \forall a' \in \text{basisA}. F *_V$ 
     $a' = (\text{if } a' = a \text{ then } b \text{ else } 0) \rangle$ 
    shows  $\langle \text{cspan basis} = \text{UNIV} \rangle$ 
proof –
  obtain basisA' where  $\langle \text{basisA}' \subseteq \text{basisA} \rangle$  and  $\langle \text{cindependent basisA}' \rangle$  and  $\langle \text{cspan basisA}' = \text{UNIV} \rangle$ 
  by (metis assms(1) complex-vector.maximal-independent-subset complex-vector.span-eq
top-greatest)
  then have [simp]:  $\langle \text{finite basisA}' \rangle$ 
  by (simp add: cindependent-cfinite-dim-finite)
  have basis':  $\langle \bigwedge a \ b. a \in \text{basisA}' \implies b \in \text{basisB} \implies \exists F \in \text{basis}. \forall a' \in \text{basisA}'. F *_V$ 
   $a' = (\text{if } a' = a \text{ then } b \text{ else } 0) \rangle$ 
  using basis  $\langle \text{basisA}' \subseteq \text{basisA} \rangle$  by fastforce

obtain F where F:  $\langle F \ a \ b \in \text{basis} \wedge F \ a \ b *_V \ a' = (\text{if } a' = a \text{ then } b \text{ else } 0) \rangle$ 
if  $\langle a \in \text{basisA}' \rangle \langle b \in \text{basisB} \rangle \langle a' \in \text{basisA}' \rangle$  for  $a \ b \ a'$ 
apply atomize-elim apply (intro choice allI)
using basis' by metis

```

```

then have F-apply:  $\langle F \ a \ b \ *_V \ a' = (if \ a'=a \ then \ b \ else \ 0) \rangle$ 
  if  $\langle a \in basisA' \rangle \langle b \in basisB \rangle \langle a' \in basisA' \rangle$  for  $a \ b \ a'$ 
  using that by auto
have F-basis:  $\langle F \ a \ b \in basis \rangle$ 
  if  $\langle a \in basisA' \rangle \langle b \in basisB \rangle$  for  $a \ b$ 
  using that F by auto
have b-span:  $\langle \exists G \in cspan \ \{F \ a \ b \mid b. \ b \in basisB\}. \ \forall a' \in basisA'. \ G \ *_V \ a' = (if \ a'=a \ then \ b \ else \ 0) \rangle$  if  $\langle a \in basisA' \rangle$  for  $a \ b$ 
proof –
  from  $\langle cspan \ basisB = UNIV \rangle$ 
  obtain  $r \ t$  where  $\langle finite \ t \rangle$  and  $\langle t \subseteq basisB \rangle$  and b-lincom:  $\langle b = (\sum a \in t. \ r \ a \ *_C \ a) \rangle$ 
  unfolding complex-vector.span-alt by atomize-elim blast
  define  $G$  where  $\langle G = (\sum i \in t. \ r \ i \ *_C \ F \ a \ i) \rangle$ 
  have  $\langle G \in cspan \ \{F \ a \ b \mid b. \ b \in basisB\} \rangle$ 
  using  $\langle finite \ t \rangle \langle t \subseteq basisB \rangle$  unfolding G-def
  by (smt (verit) complex-vector.span-scale complex-vector.span-sum complex-vector.span-superset mem-Collect-eq subsetD)
  moreover have  $\langle G \ *_V \ a' = (if \ a'=a \ then \ b \ else \ 0) \rangle$  if  $\langle a' \in basisA' \rangle$  for  $a'$ 
  using  $\langle t \subseteq basisB \rangle \langle a \in basisA' \rangle \langle a' \in basisA' \rangle$ 
  by (auto simp: b-lincom G-def cblinfun.sum-left F-apply intro!: sum.neutral sum.cong)
  ultimately show ?thesis
  by blast
qed

have a-span:  $\langle cspan \ (\bigcup a \in basisA'. \ cspan \ \{F \ a \ b \mid b. \ b \in basisB\}) = UNIV \rangle$ 
proof (intro equalityI subset-UNIV subsetI, rename-tac H)
  fix  $H$ 
  obtain  $G$  where  $G$ :  $\langle G \ a \ b \in cspan \ \{F \ a \ b \mid b. \ b \in basisB\} \wedge G \ a \ b \ *_V \ a' = (if \ a'=a \ then \ b \ else \ 0) \rangle$ 
  if  $\langle a \in basisA' \rangle$  and  $\langle a' \in basisA' \rangle$  for  $a \ b \ a'$ 
  apply atomize-elim apply (intro choice allI)
  using b-span by blast
  then have G-cspan:  $\langle G \ a \ b \in cspan \ \{F \ a \ b \mid b. \ b \in basisB\} \rangle$  if  $\langle a \in basisA' \rangle$  for  $a \ b$ 
  using that by auto
  from  $G$  have  $G$ :  $\langle G \ a \ b \ *_V \ a' = (if \ a'=a \ then \ b \ else \ 0) \rangle$  if  $\langle a \in basisA' \rangle$  and  $\langle a' \in basisA' \rangle$  for  $a \ b \ a'$ 
  using that by auto
  define  $H'$  where  $\langle H' = (\sum a \in basisA'. \ G \ a \ (H \ *_V \ a)) \rangle$ 
  have  $\langle H' \in cspan \ (\bigcup a \in basisA'. \ cspan \ \{F \ a \ b \mid b. \ b \in basisB\}) \rangle$ 
  unfolding H'-def using G-cspan
  by (smt (verit, del-insts) UN-iff complex-vector.span-clauses(1) complex-vector.span-sum)
  moreover have  $\langle H' = H \rangle$ 
  using  $\langle cspan \ basisA' = UNIV \rangle$ 
  by (auto simp: H'-def cblinfun-eq-on-UNIV-span cblinfun.sum-left G)
  ultimately show  $\langle H \in cspan \ (\bigcup a \in basisA'. \ cspan \ \{F \ a \ b \mid b. \ b \in basisB\}) \rangle$ 
  by simp

```

qed

moreover have  $\langle \text{cspan basis} \supseteq \text{cspan} (\bigcup_{a \in \text{basisA}'} \text{cspan} \{F a \mid b. b \in \text{basisB}\}) \rangle$   
 by (smt (verit) *F-basis UN-subset-iff complex-vector.span-base complex-vector.span-minimal*  
*complex-vector.subspace-span mem-Collect-eq subsetI*)

ultimately show  $\langle \text{cspan basis} = \text{UNIV} \rangle$   
 by auto

qed

instance cblinfun :: ( $\langle \{ \text{cfinite-dim}, \text{complex-normed-vector} \} \rangle$ ,  $\langle \{ \text{cfinite-dim}, \text{complex-normed-vector} \} \rangle$ )  
*cfinite-dim*

proof intro-classes

obtain basisA ::  $\langle 'a \text{ set} \rangle$  where [simp]:  $\langle \text{cspan basisA} = \text{UNIV} \rangle \langle \text{cindependent basisA} \rangle \langle \text{finite basisA} \rangle$   
 using finite-basis by blast

obtain basisB ::  $\langle 'b \text{ set} \rangle$  where [simp]:  $\langle \text{cspan basisB} = \text{UNIV} \rangle \langle \text{cindependent basisB} \rangle \langle \text{finite basisB} \rangle$   
 using finite-basis by blast

define f where  $\langle f a b = \text{cconstruct basisA} (\lambda x. \text{if } x=a \text{ then } b \text{ else } 0) \rangle$  for  $a :: 'a$   
 and  $b :: 'b$

have f-a:  $\langle f a b a = b \rangle$  if  $\langle a : \text{basisA} \rangle$  for  $a b$   
 by (simp add: complex-vector.construct-basis f-def that)

have f-not-a:  $\langle f a b c = 0 \rangle$  if  $\langle a : \text{basisA} \rangle$  and  $\langle c : \text{basisA} \rangle$  and  $\langle a \neq c \rangle$  for  $a b c$   
 using that by (simp add: complex-vector.construct-basis f-def)

define F where  $\langle F a b = \text{CBlinfun} (f a b) \rangle$  for  $a b$

have  $\langle \text{clinear} (f a b) \rangle$  for  $a b$   
 by (auto intro: complex-vector.linear-construct simp: f-def)

then have  $\langle \text{bounded-clinear} (f a b) \rangle$  for  $a b$   
 by auto

then have F-apply:  $\langle \text{cblinfun-apply} (F a b) = f a b \rangle$  for  $a b$   
 by (simp add: F-def bounded-clinear-CBlinfun-apply)

define basis where  $\langle \text{basis} = \{F a \mid a b. a \in \text{basisA} \wedge b \in \text{basisB}\} \rangle$

have  $\bigwedge a b. [\![a \in \text{basisA}; b \in \text{basisB}]\!] \implies \exists F \in \text{basis}. \forall a' \in \text{basisA}. F *_V a' = (\text{if } a' = a \text{ then } b \text{ else } 0)$   
 by (smt (verit, del-Insts) F-apply basis-def f-a f-not-a mem-Collect-eq)

then have  $\langle \text{cspan basis} = \text{UNIV} \rangle$   
 by (metis  $\langle \text{cspan basisA} = \text{UNIV} \rangle \langle \text{cspan basisB} = \text{UNIV} \rangle \text{cblinfun-cspan-UNIV}$ )

moreover have  $\langle \text{finite basis} \rangle$   
 unfolding basis-def by (auto intro: finite-image-set2)

ultimately show  $\langle \exists S :: ('a \Rightarrow_{CL} 'b) \text{ set. finite } S \wedge \text{cspan } S = \text{UNIV} \rangle$   
 by auto

qed

lemma norm-cblinfun-bound-dense:  
 assumes  $\langle 0 \leq b \rangle$   
 assumes  $S: \langle \text{closure } S = \text{UNIV} \rangle$

```

    assumes bound:  $\langle \bigwedge x. x \in S \implies \text{norm } (\text{cblinfun-apply } f \ x) \leq b * \text{norm } x \rangle$ 
    shows  $\langle \text{norm } f \leq b \rangle$ 
  proof -
    have 1:  $\langle \text{continuous-on UNIV } (\lambda a. \text{norm } (f *_{\mathcal{V}} a)) \rangle$ 
      by (simp add: continuous-on-eq-continuous-within)
    have 2:  $\langle \text{continuous-on UNIV } (\lambda a. b * \text{norm } a) \rangle$ 
      using continuous-on-mult-left continuous-on-norm-id by blast
    have  $\langle \text{norm } (\text{cblinfun-apply } f \ x) \leq b * \text{norm } x \rangle$  for  $x$ 
      by (metis (mono-tags, lifting) UNIV-I S bound 1 2 on-closure-leI)
    then show  $\langle \text{norm } f \leq b \rangle$ 
      using  $\langle 0 \leq b \rangle$  norm-cblinfun-bound by blast
  qed

lemma infsum-cblinfun-apply:
  assumes  $\langle g \text{ summable-on } S \rangle$ 
  shows  $\langle \text{infsum } (\lambda x. A *_{\mathcal{V}} g \ x) \ S = A *_{\mathcal{V}} (\text{infsum } g \ S) \rangle$ 
  using infsum-bounded-linear[unfolded o-def] assms cblinfun.real.bounded-linear-right
  by blast

lemma has-sum-cblinfun-apply:
  assumes  $\langle (g \text{ has-sum } x) \ S \rangle$ 
  shows  $\langle ((\lambda x. A *_{\mathcal{V}} g \ x) \text{ has-sum } (A *_{\mathcal{V}} x)) \ S \rangle$ 
  using assms has-sum-bounded-linear[unfolded o-def] using cblinfun.real.bounded-linear-right
  by blast

lemma abs-summable-on-cblinfun-apply:
  assumes  $\langle g \text{ abs-summable-on } S \rangle$ 
  shows  $\langle (\lambda x. A *_{\mathcal{V}} g \ x) \text{ abs-summable-on } S \rangle$ 
  using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-right] assms
  by (rule abs-summable-on-bounded-linear[unfolded o-def])

lemma summable-on-cblinfun-apply:
  assumes  $\langle g \text{ summable-on } S \rangle$ 
  shows  $\langle (\lambda x. A *_{\mathcal{V}} g \ x) \text{ summable-on } S \rangle$ 
  using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-right] assms
  by (rule summable-on-bounded-linear[unfolded o-def])

lemma summable-on-cblinfun-apply-left:
  assumes  $\langle A \text{ summable-on } S \rangle$ 
  shows  $\langle (\lambda x. A \ x *_{\mathcal{V}} g) \text{ summable-on } S \rangle$ 
  using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-left] assms
  by (rule summable-on-bounded-linear[unfolded o-def])

lemma abs-summable-on-cblinfun-apply-left:
  assumes  $\langle A \text{ abs-summable-on } S \rangle$ 
  shows  $\langle (\lambda x. A \ x *_{\mathcal{V}} g) \text{ abs-summable-on } S \rangle$ 
  using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-left] assms
  by (rule abs-summable-on-bounded-linear[unfolded o-def])
lemma infsum-cblinfun-apply-left:

```

**assumes**  $\langle A \text{ summable-on } S \rangle$   
**shows**  $\langle \text{infsum } (\lambda x. A \ x \ *_V \ g) \ S = (\text{infsum } A \ S) \ *_V \ g \rangle$   
**apply** (rule *infsum-bounded-linear*[*unfolded o-def*, of  $\langle \lambda A. \text{cblinfun-apply } A \ g \rangle$ ])  
**using** *assms*  
**by** (auto simp add: *bounded-clinear.bounded-linear bounded-cbilinear-cblinfun-apply*)  
**lemma** *has-sum-cblinfun-apply-left*:  
**assumes**  $\langle A \text{ has-sum } x \rangle S$   
**shows**  $\langle (\lambda x. A \ x \ *_V \ g) \text{ has-sum } (x \ *_V \ g) \rangle S$   
**apply** (rule *has-sum-bounded-linear*[*unfolded o-def*, of  $\langle \lambda A. \text{cblinfun-apply } A \ g \rangle$ ])  
**using** *assms* **by** (auto simp add: *bounded-clinear.bounded-linear cblinfun.bounded-clinear-left*)

The next eight lemmas logically belong in *Complex-Bounded-Operators.Complex-Inner-Product* but the proofs use facts from this theory.

**lemma** *has-sum-cinner-left*:  
**assumes**  $\langle f \text{ has-sum } x \rangle I$   
**shows**  $\langle (\lambda i. \text{cinner } a \ (f \ i)) \text{ has-sum } \text{cinner } a \ x \rangle I$   
**by** (metis *assms cblinfun-cinner-right.rep-eq has-sum-cblinfun-apply*)

**lemma** *summable-on-cinner-left*:  
**assumes**  $\langle f \text{ summable-on } I \rangle$   
**shows**  $\langle (\lambda i. \text{cinner } a \ (f \ i)) \text{ summable-on } I \rangle$   
**by** (metis *assms has-sum-cinner-left summable-on-def*)

**lemma** *infsum-cinner-left*:  
**assumes**  $\langle \varphi \text{ summable-on } I \rangle$   
**shows**  $\langle \text{cinner } \psi \ (\sum_{\infty i \in I. \varphi \ i}) = (\sum_{\infty i \in I. \text{cinner } \psi \ (\varphi \ i)) \rangle$   
**by** (metis *assms has-sum-cinner-left has-sum-infsum infsumI*)

**lemma** *has-sum-cinner-right*:  
**assumes**  $\langle f \text{ has-sum } x \rangle I$   
**shows**  $\langle (\lambda i. f \ i \ \cdot_C \ a) \text{ has-sum } (x \ \cdot_C \ a) \rangle I$   
**using** *assms has-sum-bounded-linear*[*unfolded o-def*] *bounded-antilinear.bounded-linear*  
*bounded-antilinear-cinner-left* **by** blast

**lemma** *summable-on-cinner-right*:  
**assumes**  $\langle f \text{ summable-on } I \rangle$   
**shows**  $\langle (\lambda i. f \ i \ \cdot_C \ a) \text{ summable-on } I \rangle$   
**by** (metis *assms has-sum-cinner-right summable-on-def*)

**lemma** *infsum-cinner-right*:  
**assumes**  $\langle \varphi \text{ summable-on } I \rangle$   
**shows**  $\langle (\sum_{\infty i \in I. \varphi \ i}) \cdot_C \ \psi = (\sum_{\infty i \in I. \varphi \ i \ \cdot_C \ \psi}) \rangle$   
**by** (metis *assms has-sum-cinner-right has-sum-infsum infsumI*)

**lemma** *Cauchy-cinner-product-summable*:  
**assumes** *asum*:  $\langle a \text{ summable-on } UNIV \rangle$   
**assumes** *bsum*:  $\langle b \text{ summable-on } UNIV \rangle$

```

assumes  $\langle \text{finite } X \rangle \langle \text{finite } Y \rangle$ 
assumes  $\text{pos}: \langle \bigwedge x y. x \notin X \implies y \notin Y \implies \text{cinner } (a \ x) \ (b \ y) \geq 0 \rangle$ 
shows  $\text{absum}: \langle (\lambda(x, y). \text{cinner } (a \ x) \ (b \ y)) \text{ summable-on } \text{UNIV} \rangle$ 
proof -
  have  $\langle (\sum (x,y) \in F. \text{norm } (\text{cinner } (a \ x) \ (b \ y))) \leq \text{norm } (\text{cinner } (\text{infsum } a \ (-X))$ 
 $(\text{infsum } b \ (-Y))) + \text{norm } (\text{infsum } a \ (-X)) + \text{norm } (\text{infsum } b \ (-Y)) + 1 \rangle$ 
    if  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq (-X) \times (-Y) \rangle$  for  $F$ 
  proof -
    from  $\text{asum } \langle \text{finite } X \rangle$ 
    have  $\langle a \text{ summable-on } (-X) \rangle$ 
    by (simp add: Compl-eq-Diff-UNIV summable-on-cofin-subset)
    then obtain  $MA$  where  $\langle \text{finite } MA \rangle$  and  $\langle MA \subseteq -X \rangle$ 
    and  $MA: \langle G \supseteq MA \implies G \subseteq -X \implies \text{finite } G \implies \text{norm } (\text{sum } a \ G - \text{infsum}$ 
 $a \ (-X)) \leq 1 \rangle$  for  $G$ 
    apply (simp add: summable-iff-has-sum-infsum has-sum-metric dist-norm)
    by (meson less-eq-real-def zero-less-one)

    from  $\text{bsum } \langle \text{finite } Y \rangle$ 
    have  $\langle b \text{ summable-on } (-Y) \rangle$ 
    by (simp add: Compl-eq-Diff-UNIV summable-on-cofin-subset)
    then obtain  $MB$  where  $\langle \text{finite } MB \rangle$  and  $\langle MB \subseteq -Y \rangle$ 
    and  $MB: \langle G \supseteq MB \implies G \subseteq -Y \implies \text{finite } G \implies \text{norm } (\text{sum } b \ G - \text{infsum}$ 
 $b \ (-Y)) \leq 1 \rangle$  for  $G$ 
    apply (simp add: summable-iff-has-sum-infsum has-sum-metric dist-norm)
    by (meson less-eq-real-def zero-less-one)

  define  $F1 \ F2$  where  $\langle F1 = \text{fst } ' F \cup MA \rangle$  and  $\langle F2 = \text{snd } ' F \cup MB \rangle$ 
  define  $t1 \ t2$  where  $\langle t1 = \text{sum } a \ F1 - \text{infsum } a \ (-X) \rangle$  and  $\langle t2 = \text{sum } b \ F2$ 
 $- \text{infsum } b \ (-Y) \rangle$ 

  have [simp]:  $\langle \text{finite } F1 \rangle \langle \text{finite } F2 \rangle$ 
  using  $F1\text{-def } F2\text{-def } \langle \text{finite } MA \rangle \langle \text{finite } MB \rangle$  that by auto
  have [simp]:  $\langle F1 \subseteq -X \rangle \langle F2 \subseteq -Y \rangle$ 
  using  $\langle F \subseteq (-X) \times (-Y) \rangle \langle MA \subseteq -X \rangle \langle MB \subseteq -Y \rangle$ 
  by (auto simp: F1-def F2-def)
  from  $MA[OF - \langle F1 \subseteq -X \rangle \langle \text{finite } F1 \rangle]$  have  $\langle \text{norm } t1 \leq 1 \rangle$ 
  by (auto simp: t1-def F1-def)
  from  $MB[OF - \langle F2 \subseteq -Y \rangle \langle \text{finite } F2 \rangle]$  have  $\langle \text{norm } t2 \leq 1 \rangle$ 
  by (auto simp: t2-def F2-def)
  have [simp]:  $\langle F \subseteq F1 \times F2 \rangle$ 
  by (force simp: F1-def F2-def image-def)
  have  $\langle (\sum (x,y) \in F. \text{norm } (\text{cinner } (a \ x) \ (b \ y))) \leq (\sum (x,y) \in F1 \times F2. \text{norm}$ 
 $(\text{cinner } (a \ x) \ (b \ y))) \rangle$ 
  by (intro sum-mono2) auto
  also have  $\dots = (\sum x \in F1 \times F2. \text{norm } (a \ (\text{fst } x) \cdot_C b \ (\text{snd } x)))$ 
  by (auto simp: case-prod-beta)
  also have  $\dots = \text{norm } (\sum x \in F1 \times F2. a \ (\text{fst } x) \cdot_C b \ (\text{snd } x))$ 
  proof -
    have  $(\sum x \in F1 \times F2. |a \ (\text{fst } x) \cdot_C b \ (\text{snd } x)|) = |\sum x \in F1 \times F2. a \ (\text{fst } x) \cdot_C$ 

```

```

b (snd x)|
  by (smt (verit, best) pos sum.cong sum-nonneg ComplD SigmaE ⟨F1 ⊆ −
X⟩ ⟨F2 ⊆ − Y⟩ abs-pos prod.sel subset-iff)
  then show ?thesis
    by (smt (verit) abs-complex-def norm-ge-zero norm-of-real o-def of-real-sum
sum.cong sum-norm-le)
  qed
  also from pos have ⟨... = norm (∑ (x,y)∈F1×F2. cinner (a x) (b y))⟩
    by (auto simp: case-prod-beta)
  also have ⟨... = norm (cinner (sum a F1) (sum b F2))⟩
    by (simp add: sum.cartesian-product sum-cinner)
  also have ⟨... = norm (cinner (infsum a (−X) + t1) (infsum b (−Y) + t2))⟩
    by (simp add: t1-def t2-def)
  also have ⟨... ≤ norm (cinner (infsum a (−X)) (infsum b (−Y))) + norm
(infsum a (−X)) * norm t2 + norm t1 * norm (infsum b (−Y)) + norm t1 *
norm t2⟩
    apply (simp add: cinner-add-right cinner-add-left)
    by (smt (verit, del-Insts) complex-inner-class.Cauchy-Schwarz-ineq2 norm-triangle-ineq)
  also from ⟨norm t1 ≤ 1⟩ ⟨norm t2 ≤ 1⟩
  have ⟨... ≤ norm (cinner (infsum a (−X)) (infsum b (−Y))) + norm (infsum
a (−X)) + norm (infsum b (−Y)) + 1⟩
    by (auto intro!: add-mono mult-left-le mult-left-le-one-le mult-le-one)
  finally show ?thesis
    by −
  qed

then have ⟨(λ(x, y). cinner (a x) (b y)) abs-summable-on (−X)×(−Y)⟩
  apply (rule-tac nonneg-bdd-above-summable-on)
  by (auto intro!: bdd-aboveI2 simp: case-prod-unfold)
then have 1: ⟨(λ(x, y). cinner (a x) (b y)) summable-on (−X)×(−Y)⟩
  using abs-summable-summable by blast

from bsum
have ⟨(λy. b y) summable-on (−Y)⟩
  by (simp add: Compl-eq-Diff-UNIV assms(4) summable-on-cofin-subset)
then have ⟨(λy. cinner (a x) (b y)) summable-on (−Y)⟩ for x
  using summable-on-cinner-left by blast
with ⟨finite X⟩ have 2: ⟨(λ(x, y). cinner (a x) (b y)) summable-on X×(−Y)⟩
  by (force intro: summable-on-product-finite-left)

from asum
have ⟨(λx. a x) summable-on (−X)⟩
  by (simp add: Compl-eq-Diff-UNIV assms(3) summable-on-cofin-subset)
then have ⟨(λx. cinner (a x) (b y)) summable-on (−X)⟩ for y
  using summable-on-cinner-right by blast
with ⟨finite Y⟩ have 3: ⟨(λ(x, y). cinner (a x) (b y)) summable-on (−X)×Y⟩
  by (force intro: summable-on-product-finite-right)

have 4: ⟨(λ(x, y). cinner (a x) (b y)) summable-on X×Y⟩

```

```

by (simp add: ⟨finite X⟩ ⟨finite Y⟩)

have §: UNIV = ((-X) × -Y) ∪ (X × -Y) ∪ ((-X) × Y) ∪ (X × Y)
  by auto
show ?thesis
  using 1 2 3 4 by (force simp: § intro!: summable-on-Un-disjoint)
qed

```

A variant of *Series.Cauchy-product-sums* with  $(*)$  replaced by  $(\cdot_C)$ . Differently from *Series.Cauchy-product-sums*, we do not require absolute summability of  $a$  and  $b$  individually but only unconditional summability of  $a$ ,  $b$ , and their product. While on, e.g., reals, unconditional summability is equivalent to absolute summability, in general unconditional summability is a weaker requirement.

Logically belong in *Complex-Bounded-Operators.Complex-Inner-Product* but the proof uses facts from this theory.

**lemma**

```

fixes a b :: nat ⇒ 'a::complex-inner
assumes asum: ⟨a summable-on UNIV⟩
assumes bsum: ⟨b summable-on UNIV⟩
assumes absum: ⟨(λ(x, y). cinner (a x) (b y)) summable-on UNIV⟩

shows Cauchy-cinner-product-infsum: ⟨(∑∞ k. ∑i≤k. cinner (a i) (b (k - i)))
= cinner (∑∞ k. a k) (∑∞ k. b k)⟩
  and Cauchy-cinner-product-infsum-exists: ⟨(λk. ∑i≤k. cinner (a i) (b (k - i))) summable-on UNIV⟩
proof -
  have img: ⟨(λ(k::nat, i). (i, k - i)) ' {(k, i). i ≤ k} = UNIV⟩
    apply (auto simp: image-def)
    by (metis add.commute add-diff-cancel-right' diff-le-self)
  have inj: ⟨inj-on (λ(k::nat, i). (i, k - i)) {(k, i). i ≤ k}⟩
    by (smt (verit, del-insts) Pair-inject case-prodE case-prod-conv eq-diff-iff inj-onI mem-Collect-eq)
  have sigma: ⟨(SIGMA k:UNIV. {i. i ≤ k}) = {(k, i). i ≤ k}⟩
    by auto

  from absum
  have §: ⟨(λ(x, y). cinner (a y) (b (x - y))) summable-on {(k, i). i ≤ k}⟩
    by (rule Cauchy-cinner-product-summable'[THEN iffD1])
  then have ⟨(λk. ∑i i≤k. cinner (a i) (b (k-i))) summable-on UNIV⟩
    by (metis (mono-tags, lifting) sigma summable-on-Sigma-banach summable-on-cong)
  then show ⟨(λk. ∑i≤k. cinner (a i) (b (k - i))) summable-on UNIV⟩
    by (metis (mono-tags, lifting) atMost-def finite-Collect-le-nat infsum-finite summable-on-cong)

  have ⟨cinner (∑∞ k. a k) (∑∞ k. b k) = (∑∞ k. ∑∞ l. cinner (a k) (b l))⟩
    by (smt (verit, best) asum bsum infsum-cinner-left infsum-cinner-right infsum-cong)

```

**also have**  $\langle \dots = (\sum_{\infty(k,l). \text{cinner } (a \ k) \ (b \ l)}) \rangle$   
**by** (*smt* (*verit*) *UNIV-Times-UNIV infsum-Sigma'-banach infsum-cong local.absum*)  
**also have**  $\langle \dots = (\sum_{\infty(k,l) \in (\lambda(k,i). (i, k-i))} \{ (k,i). i \leq k \}. \text{cinner } (a \ k) \ (b \ l)) \rangle$   
**by** (*simp only: img*)  
**also have**  $\langle \dots = \text{infsum } ((\lambda(k,l). a \ k \cdot_C b \ l) \circ (\lambda(k,i). (i, k-i))) \{ (k,i). i \leq k \} \rangle$   
**using inj by** (*rule infsum-reindex*)  
**also have**  $\langle \dots = (\sum_{\infty(k,i) | i \leq k. a \ i \cdot_C b \ (k-i)}) \rangle$   
**by** (*simp add: o-def case-prod-unfold*)  
**also have**  $\langle \dots = (\sum_{\infty k. \sum_{\infty i | i \leq k. a \ i \cdot_C b \ (k-i)}) \rangle$   
**by** (*metis* (*no-types*)  $\S$  *infsum-Sigma'-banach sigma*)  
**also have**  $\langle \dots = (\sum_{\infty k. \sum_{i \leq k. a \ i \cdot_C b \ (k-i)}) \rangle$   
**by** (*simp add: atMost-def*)  
**finally show**  $\langle (\sum_{\infty k. \sum_{i \leq k. a \ i \cdot_C b \ (k-i)}) = (\sum_{\infty k. a \ k} \cdot_C (\sum_{\infty k. b \ k}) \rangle$   
**by** *simp*  
**qed**

**lemma** *CBlinfun-plus:*

**assumes** [*simp*]:  $\langle \text{bounded-clinear } f \rangle \langle \text{bounded-clinear } g \rangle$   
**shows**  $\langle \text{CBlinfun } (f + g) = \text{CBlinfun } f + \text{CBlinfun } g \rangle$   
**by** (*auto intro!: cblinfun-eqI simp: plus-fun-def bounded-clinear-add CBlinfun-inverse cblinfun.add-left*)

**lemma** *CBlinfun-scaleC:*

**assumes**  $\langle \text{bounded-clinear } f \rangle$   
**shows**  $\langle \text{CBlinfun } (\lambda y. c \cdot_C f \ y) = c \cdot_C \text{CBlinfun } f \rangle$   
**by** (*simp add: assms eq-onp-same-args scaleC-cblinfun.abs-eq*)

**lemma** *cinner-sup-norm-cblinfun:*

**fixes**  $A :: \langle 'a::\text{complex-normed-vector, not-singleton} \rangle \Rightarrow_{CL} 'b::\text{complex-inner}$   
**shows**  $\langle \text{norm } A = (\text{SUP } (\psi, \varphi). \text{cmod } (\text{cinner } \psi \ (A \cdot_V \varphi)) / (\text{norm } \psi * \text{norm } \varphi)) \rangle$   
**apply** *transfer*  
**apply** (*rule cinner-sup-onorm*)  
**by** (*simp add: bounded-clinear.bounded-linear*)

**lemma** *norm-cblinfun-Sup:*  $\langle \text{norm } A = (\text{SUP } \psi. \text{norm } (A \cdot_V \psi) / \text{norm } \psi) \rangle$   
**by** (*simp add: norm-cblinfun.rep-eq onorm-def*)

**lemma** *cblinfun-eq-on:*

**fixes**  $A \ B :: 'a::\text{cbanach} \Rightarrow_{CL} 'b::\text{complex-normed-vector}$   
**assumes**  $\bigwedge x. x \in G \implies A \cdot_V x = B \cdot_V x$  **and**  $\langle t \in \text{closure } (\text{cspan } G) \rangle$   
**shows**  $A \cdot_V t = B \cdot_V t$   
**using** *assms*

```

apply transfer
using bounded-clinear-eq-on-closure by blast

lemma cblinfun-eq-gen-eqI:
  fixes  $A\ B :: 'a::\text{cbanach} \Rightarrow_{CL} 'b::\text{complex-normed-vector}$ 
  assumes  $\bigwedge x. x \in G \implies A *_V x = B *_V x$  and  $\langle \text{ccspan } G = \top \rangle$ 
  shows  $A = B$ 
by (metis assms cblinfun-eqI cblinfun-eq-on ccspan.rep-eq iso-tuple-UNIV-I top-ccsubspace.rep-eq)

declare cnj-bounded-antilinear[bounded-antilinear]

lemma Cblinfun-comp-bounded-cbilinear:  $\langle \text{bounded-clinear } (C\text{Blinfun } o\ p) \rangle$  if  $\langle \text{bounded-cbilinear } p \rangle$ 
by (metis bounded-cbilinear.bounded-clinear-prod-right bounded-cbilinear.prod-right-def comp-id map-fun-def that)

lemma Cblinfun-comp-bounded-sesquilinear:  $\langle \text{bounded-antilinear } (C\text{Blinfun } o\ p) \rangle$ 
if  $\langle \text{bounded-sesquilinear } p \rangle$ 
by (metis (mono-tags, opaque-lifting) bounded-clinear-CBlinfun-apply bounded-sesquilinear.bounded-clinear-r
comp-apply that transfer-bounded-sesquilinear-bounded-antilinearI)

declare (in bounded-cbilinear) bounded-cbilinear-axioms[bounded-cbilinear]
declare (in bounded-clinear) bounded-clinear-axioms[bounded-clinear]

lemma norm-cblinfun-bound-both-sides:
  fixes  $a :: \langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-inner} \rangle$ 
  assumes  $\langle b \geq 0 \rangle$ 
  assumes leg:  $\langle \bigwedge \psi\ \varphi. \text{norm } \psi = 1 \implies \text{norm } \varphi = 1 \implies \text{norm } (\psi \cdot_C a\ \varphi) \leq b \rangle$ 
  shows  $\langle \text{norm } a \leq b \rangle$ 
proof –
  wlog not-singleton:  $\langle \text{class.not-singleton } \text{TYPE}('a) \rangle$ 
  apply (subst not-not-singleton-cblinfun-zero)
  by (simp-all add: negation assms)
  have  $\langle \text{norm } a = (\bigsqcup (\psi, \varphi). \text{cmod } (\psi \cdot_C (a *_V \varphi)) / (\text{norm } \psi * \text{norm } \varphi)) \rangle$ 
  apply (rule cinner-sup-norm-cblinfun[internalize-sort' 'a])
  apply (rule complex-normed-vector-axioms)
  by (fact not-singleton)
  also have  $\langle \dots \leq b \rangle$ 
  proof (rule cSUP-least)
  show  $\langle \text{UNIV} \neq \{\} \rangle$ 
  by simp
  fix  $x :: \langle 'b \times 'a \rangle$ 
  obtain  $\psi\ \varphi$  where  $x: \langle x = (\psi, \varphi) \rangle$ 
  by fastforce
  have  $\langle \text{case } x \text{ of } (\psi, \varphi) \Rightarrow \text{cmod } (\psi \cdot_C (a *_V \varphi)) / (\text{norm } \psi * \text{norm } \varphi) = \text{cmod } (\psi \cdot_C a\ \varphi) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
  using  $x$  by force
  also have  $\langle \dots = \text{cmod } (\text{sgn } \psi \cdot_C a\ (\text{sgn } \varphi)) \rangle$ 
  by (simp add: sgn-div-norm cblinfun.scaleR-right divide-inverse-commute)

```

```

norm-inverse norm-mult)
  also have  $\langle \dots \leq b \rangle$ 
  apply (cases  $\langle \psi = 0 \rangle$ , simp add: assms)
  apply (cases  $\langle \varphi = 0 \rangle$ , simp add: assms)
  apply (rule leq)
  by (simp-all add: norm-sgn)
  finally show  $\langle (\text{case } x \text{ of } (\psi, \varphi) \Rightarrow \text{cmod } (\psi \cdot_C (a *_V \varphi)) / (\text{norm } \psi * \text{norm } \varphi)) \leq b \rangle$ 
  by -
qed
finally show ?thesis
  by -
qed

```

```

lemma norm-cblinfun-bound-unit:
  assumes  $\langle b \geq 0 \rangle$ 
  assumes  $\langle \bigwedge \psi. \text{norm } \psi = 1 \implies \text{norm } (a *_V \psi) \leq b \rangle$ 
  shows  $\langle \text{norm } a \leq b \rangle$ 
proof (rule norm-cblinfun-bound)
  from assms show  $\langle b \geq 0 \rangle$  by simp
  fix x
  show  $\langle \text{norm } (a *_V x) \leq b * \text{norm } x \rangle$ 
  proof (cases  $\langle x = 0 \rangle$ )
    case True
    then show ?thesis by simp
  next
    case False
    have  $\langle \text{norm } (a *_V x) = \text{norm } (a *_V (\text{norm } x *_C \text{sgn } x)) \rangle$ 
    by simp
    also have  $\langle \dots = \text{norm } (a *_V \text{sgn } x) * \text{norm } x \rangle$ 
    by (simp add: cblinfun.scaleC-right del: norm-scaleC-sgn)
    also have  $\langle \dots \leq (b * \text{norm } (\text{sgn } x)) * \text{norm } x \rangle$ 
    by (simp add: assms(2) norm-sgn)
    also have  $\langle \dots = b * \text{norm } x \rangle$ 
    by (simp add: norm-sgn)
    finally show ?thesis
    by -
  qed
qed

```

```

lemma cblinfun-eq-from-separatingI:
  fixes a b ::  $\langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \rangle$ 
  assumes  $\langle \text{separating-set } (\text{bounded-clinear} :: ('a \Rightarrow 'b) \Rightarrow \text{bool}) S \rangle$ 
  assumes  $\langle \bigwedge x. x \in S \implies a x = b x \rangle$ 
  shows  $\langle a = b \rangle$ 
  apply (rule cblinfun-eqI, rule fun-cong[where f= $\langle \text{cblinfun-apply } \cdot \rangle$ ])
  using assms(1) apply (rule eq-from-separatingI)
  using assms(2) by (auto intro!: bounded-cbilinear-apply-bounded-clinear cblin-
fun.bounded-cbilinear-axioms simp: )

```

```

lemma cblinfun-eq-from-separatingI2:
  fixes a b :: ⟨'a::complex-normed-vector ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨separating-set (bounded-clinear :: ('a ⇒ 'b) ⇒ bool) ((λ(x,y). h x y) ‘
(S×T))⟩
  assumes ⟨∧x y. x ∈ S ⇒ y ∈ T ⇒ a (h x y) = b (h x y)⟩
  shows ⟨a = b⟩
  apply (rule cblinfun-eqI, rule fun-cong[where f=⟨cblinfun-apply -⟩])
  using assms(1) apply (rule eq-from-separatingI2)
  using assms(2) by (auto intro!: bounded-cbilinear-apply-bounded-clinear cblin-
fun.bounded-cbilinear-axioms simp: )

```

### 13.2 Relationship to real bounded operators ( $- \Rightarrow_L -$ )

**instantiation** *blinfun* :: (real-normed-vector, complex-normed-vector) complex-normed-vector

**begin**

**lift-definition** *scaleC-blinfun* :: ⟨complex ⇒  
('a::real-normed-vector, 'b::complex-normed-vector) *blinfun* ⇒  
('a, 'b) *blinfun*⟩  
**is** ⟨λ c::complex. λ f::'a⇒'b. (λ x. c \*<sub>C</sub> (f x))⟩

**proof**

**fix** c::complex **and** f :: ⟨'a⇒'b⟩ **and** b1::'a **and** b2::'a  
**assume** ⟨bounded-linear f⟩  
**show** ⟨c \*<sub>C</sub> f (b1 + b2) = c \*<sub>C</sub> f b1 + c \*<sub>C</sub> f b2⟩  
**by** (simp add: ⟨bounded-linear f⟩ linear-simps scaleC-add-right)

**fix** c::complex **and** f :: ⟨'a⇒'b⟩ **and** b::'a **and** r::real  
**assume** ⟨bounded-linear f⟩  
**show** ⟨c \*<sub>C</sub> f (r \*<sub>R</sub> b) = r \*<sub>R</sub> (c \*<sub>C</sub> f b)⟩  
**by** (simp add: ⟨bounded-linear f⟩ linear-simps(5) scaleR-scaleC)

**fix** c::complex **and** f :: ⟨'a⇒'b⟩  
**assume** ⟨bounded-linear f⟩

**have** ⟨∃ K. ∀ x. norm (f x) ≤ norm x \* K⟩  
**using** ⟨bounded-linear f⟩  
**by** (simp add: bounded-linear.bounded)  
**then obtain** K **where** ⟨∀ x. norm (f x) ≤ norm x \* K⟩  
**by** blast

**have** ⟨cmod c ≥ 0⟩

**by** simp

**hence** ⟨∀ x. (cmod c) \* norm (f x) ≤ (cmod c) \* norm x \* K⟩  
**using** ⟨∀ x. norm (f x) ≤ norm x \* K⟩

**by** (metis ordered-comm-semiring-class.comm-mult-left-mono vector-space-over-itself.scale-scale)

**moreover have** ⟨norm (c \*<sub>C</sub> f x) = (cmod c) \* norm (f x)⟩

**for** x

**by** simp

**ultimately show** ⟨∃ K. ∀ x. norm (c \*<sub>C</sub> f x) ≤ norm x \* K⟩

**by** (metis ab-semigroup-mult-class.mult-ac(1) mult.commute)

qed

instance

proof

have  $r *_R x = \text{complex-of-real } r *_C x$   
 for  $x :: ('a, 'b) \text{ blinfun}$  and  $r$   
 by transfer (simp add: scaleR-scaleC)  
 thus  $((*_R) r :: 'a \Rightarrow_L 'b \Rightarrow -) = (*_C) (\text{complex-of-real } r)$  for  $r$   
 by auto  
 show  $a *_C (x + y) = a *_C x + a *_C y$   
 for  $a :: \text{complex}$  and  $x y :: 'a \Rightarrow_L 'b$   
 by transfer (simp add: scaleC-add-right)

show  $(a + b) *_C x = a *_C x + b *_C x$   
 for  $a b :: \text{complex}$  and  $x :: 'a \Rightarrow_L 'b$   
 by transfer (simp add: scaleC-add-left)

show  $a *_C b *_C x = (a * b) *_C x$   
 for  $a b :: \text{complex}$  and  $x :: 'a \Rightarrow_L 'b$   
 by transfer simp

have  $\langle 1 *_C f x = f x \rangle$   
 for  $f :: 'a \Rightarrow 'b$  and  $x$   
 by auto  
 thus  $1 *_C x = x$   
 for  $x :: 'a \Rightarrow_L 'b$   
 by (simp add: scaleC-blinfun.rep-eq blinfun-eqI)

have  $\langle \text{onorm } (\lambda x. a *_C f x) = \text{cmod } a * \text{onorm } f \rangle$   
 if  $\langle \text{bounded-linear } f \rangle$   
 for  $f :: 'a \Rightarrow 'b$  and  $a :: \text{complex}$

proof—

have  $\langle \text{cmod } a \geq 0 \rangle$   
 by simp  
 have  $\langle \exists K :: \text{real}. \forall x. (| \text{ereal } ((\text{norm } (f x)) / (\text{norm } x)) |) \leq K \rangle$   
 using  $\langle \text{bounded-linear } f \rangle \text{ le-onorm}$  by fastforce  
 then obtain  $K :: \text{real}$  where  $\langle \forall x. (| \text{ereal } ((\text{norm } (f x)) / (\text{norm } x)) |) \leq K \rangle$   
 by blast  
 hence  $\langle \forall x. (\text{cmod } a) * (| \text{ereal } ((\text{norm } (f x)) / (\text{norm } x)) |) \leq (\text{cmod } a) * K \rangle$   
 using  $\langle \text{cmod } a \geq 0 \rangle$   
 by (metis abs-ereal.simps(1) abs-ereal-pos abs-pos ereal-mult-left-mono  
 times-ereal.simps(1))  
 hence  $\langle \forall x. (| \text{ereal } ((\text{cmod } a) * (\text{norm } (f x)) / (\text{norm } x)) |) \leq (\text{cmod } a) * K \rangle$   
 by simp  
 hence  $\langle \text{bdd-above } \{ \text{ereal } (\text{cmod } a * (\text{norm } (f x)) / (\text{norm } x)) \mid x. \text{True} \} \rangle$   
 by simp  
 moreover have  $\langle \{ \text{ereal } (\text{cmod } a * (\text{norm } (f x)) / (\text{norm } x)) \mid x. \text{True} \} \neq \{ \} \rangle$   
 by auto

**ultimately have**  $p1: \langle (SUP\ x. |ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))|) \leq cmod\ a * K \rangle$   
**using**  $\langle \forall\ x. |ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))| \leq cmod\ a * K \rangle$   
*Sup-least mem-Collect-eq*  
**by** *(simp add: SUP-le-iff)*  
**have**  $p2: \langle \bigwedge i. i \in UNIV \implies 0 \leq ereal\ (cmod\ a * norm\ (f\ i) / norm\ i) \rangle$   
**by** *simp*  
**hence**  $\langle |SUP\ x. ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))| \leq (SUP\ x. |ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))|) \rangle$   
**using**  $\langle bdd-above\ \{ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x)) \mid x. True\} \rangle$   
 $\langle \{ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x)) \mid x. True\} \neq \{\} \rangle$   
**by** *(metis (mono-tags, lifting) SUP-upper2 Sup.SUP-cong UNIV-I p2 abs-ereal-ge0 ereal-le-real)*  
**hence**  $\langle |SUP\ x. ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))| \leq cmod\ a * K \rangle$   
**using**  $\langle (SUP\ x. |ereal\ (cmod\ a * (norm\ (f\ x)) / (norm\ x))|) \leq cmod\ a * K \rangle$   
**by** *simp*  
**hence**  $\langle | (SUP\ i \in UNIV :: 'a\ set. ereal\ ((\lambda\ x. (cmod\ a) * (norm\ (f\ x)) / norm\ x)\ i)) | \neq \infty \rangle$   
**by** *auto*  
**hence**  $w2: \langle (SUP\ i \in UNIV :: 'a\ set. ereal\ ((\lambda\ x. cmod\ a * (norm\ (f\ x)) / norm\ x)\ i))$   
 $= ereal\ (Sup\ ((\lambda\ x. cmod\ a * (norm\ (f\ x)) / norm\ x)\ ` (UNIV :: 'a\ set))) \rangle$   
**by** *(simp add: ereal-SUP)*  
**have**  $\langle (UNIV :: ('a\ set)) \neq \{\} \rangle$   
**by** *simp*  
**moreover have**  $\langle \bigwedge i. i \in (UNIV :: ('a\ set)) \implies (\lambda\ x. (norm\ (f\ x)) / norm\ x :: ereal)\ i \geq 0 \rangle$   
**by** *simp*  
**moreover have**  $\langle cmod\ a \geq 0 \rangle$   
**by** *simp*  
**ultimately have**  $\langle (SUP\ i \in (UNIV :: ('a\ set)). ((cmod\ a) :: ereal) * (\lambda\ x. (norm\ (f\ x)) / norm\ x :: ereal)\ i) = ((cmod\ a) :: ereal) * (SUP\ i \in (UNIV :: ('a\ set)). (\lambda\ x. (norm\ (f\ x)) / norm\ x :: ereal)\ i) \rangle$   
**by** *(simp add: Sup-ereal-mult-left')*  
**hence**  $\langle (SUP\ x. ((cmod\ a) :: ereal) * ((norm\ (f\ x)) / norm\ x :: ereal)) = ((cmod\ a) :: ereal) * (SUP\ x. ((norm\ (f\ x)) / norm\ x :: ereal)) \rangle$   
**by** *simp*  
**hence**  $z1: \langle real-of-ereal\ ((SUP\ x. ((cmod\ a) :: ereal) * ((norm\ (f\ x)) / norm\ x :: ereal))) = real-of-ereal\ (((cmod\ a) :: ereal) * (SUP\ x. ((norm\ (f\ x)) / norm\ x :: ereal))) \rangle$   
**by** *simp*  
**have**  $z2: \langle real-of-ereal\ (SUP\ x. ((cmod\ a) :: ereal) * ((norm\ (f\ x)) / norm\ x :: ereal)) = (SUP\ x. cmod\ a * (norm\ (f\ x) / norm\ x)) \rangle$   
**using**  $w2$   
**by** *auto*

```

    have ‹real-of-ereal ( ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x ::
ereal) ) ) ›
      = (cmod a) * real-of-ereal ( SUP x. ( (norm (f x)) / norm x :: ereal)
)›
    by simp
  moreover have ‹real-of-ereal ( SUP x. ( (norm (f x)) / norm x :: ereal) )
    = ( SUP x. ((norm (f x)) / norm x) )›
  proof-
    have ‹| ( SUP i∈UNIV::'a set. ereal ((λ x. (norm (f x)) / norm x) i)) | ≠
∞›
  proof-
    have ‹∃ K::real. ∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤ K›
      using ‹bounded-linear f› le-onorm by fastforce
    then obtain K::real where ‹∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤
K›
    by blast
    hence ‹bdd-above {ereal ((norm (f x)) / (norm x)) | x. True}›
    by simp
    moreover have ‹{ereal ((norm (f x)) / (norm x)) | x. True} ≠ {}›
    by auto
    ultimately have ‹(SUP x. |ereal ((norm (f x)) / (norm x))|) ≤ K›
    using ‹∀ x. |ereal ((norm (f x)) / (norm x)) | ≤ K›
      Sup-least mem-Collect-eq
    by (simp add: SUP-le-iff)
    hence ‹|SUP x. ereal ((norm (f x)) / (norm x))|
      ≤ (SUP x. |ereal ((norm (f x)) / (norm x))|)›
    using ‹bdd-above {ereal ((norm (f x)) / (norm x)) | x. True}›
      ‹{ereal ((norm (f x)) / (norm x)) | x. True} ≠ {}›
    by (metis (mono-tags, lifting) SUP-upper2 Sup.SUP-cong UNIV-I ‹∧i. i
∈ UNIV ⇒ 0 ≤ ereal (norm (f i) / norm i)› abs-ereal-ge0 ereal-le-real)
    hence ‹|SUP x. ereal ((norm (f x)) / (norm x))| ≤ K›
    using ‹(SUP x. |ereal ((norm (f x)) / (norm x))|) ≤ K›
    by simp
    thus ?thesis
    by auto
  qed
  hence ‹( SUP i∈UNIV::'a set. ereal ((λ x. (norm (f x)) / norm x) i))
    = ereal ( Sup ((λ x. (norm (f x)) / norm x) ‘ (UNIV::'a set) ) )›
    by (simp add: ereal-SUP)
  thus ?thesis
  by simp
qed
have z3: ‹real-of-ereal ( ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x
:: ereal) ) ) ›
  = cmod a * (SUP x. norm (f x) / norm x)›
  by (simp add: ‹real-of-ereal (SUP x. ereal (norm (f x) / norm x)) = (SUP x.
norm (f x) / norm x)›)
  hence w1: ‹(SUP x. cmod a * (norm (f x) / norm x)) =
  cmod a * (SUP x. norm (f x) / norm x)›

```

```

    using z1 z2 by linarith
    have v1: ⟨onorm (λx. a *C f x) = (SUP x. norm (a *C f x) / norm x)⟩
    by (simp add: onorm-def)
    have v2: ⟨(SUP x. norm (a *C f x) / norm x) = (SUP x. ((cmod a) * norm (f
x)) / norm x)⟩
    by simp
    have v3: ⟨(SUP x. ((cmod a) * norm (f x)) / norm x) = (SUP x. (cmod a) *
((norm (f x)) / norm x))⟩
    by simp
    have v4: ⟨(SUP x. (cmod a) * ((norm (f x)) / norm x)) = (cmod a) * (SUP
x. ((norm (f x)) / norm x))⟩
    using w1
    by blast
    show ⟨onorm (λx. a *C f x) = cmod a * onorm f⟩
    using v1 v2 v3 v4
    by (metis (mono-tags, lifting) onorm-def)
qed
thus ⟨norm (a *C x) = cmod a * norm x⟩
for a::complex and x::⟨'a, 'b⟩ blinfun
by transfer blast
qed
end

```

**lemma** *clinear-blinfun-compose-left*: ⟨clinear (λx. blinfun-compose x y)⟩  
 by (auto intro!: clinearI simp: blinfun-eqI scaleC-blinfun.rep-eq bounded-bilinear.add-left  
 bounded-bilinear-blinfun-compose)

**instance** *blinfun* :: (real-normed-vector, cbanach) cbanach..

**lemma** *blinfun-compose-assoc*: (A o<sub>L</sub> B) o<sub>L</sub> C = A o<sub>L</sub> (B o<sub>L</sub> C)  
 by (simp add: blinfun-eqI)

**lift-definition** *blinfun-of-cblinfun*::⟨'a::complex-normed-vector ⇒<sub>C<sub>L</sub></sub> 'b::complex-normed-vector  
 ⇒ 'a ⇒<sub>L</sub> 'b⟩ is id  
 by transfer (simp add: bounded-clinear.bounded-linear)

**lift-definition** *blinfun-cblinfun-eq* ::  
 ⟨'a ⇒<sub>L</sub> 'b ⇒ 'a::complex-normed-vector ⇒<sub>C<sub>L</sub></sub> 'b::complex-normed-vector ⇒ bool⟩  
 is (=) .

**lemma** *blinfun-cblinfun-eq-bi-unique*[transfer-rule]: ⟨bi-unique blinfun-cblinfun-eq⟩  
 unfolding bi-unique-def by transfer auto

**lemma** *blinfun-cblinfun-eq-right-total*[transfer-rule]: ⟨right-total blinfun-cblinfun-eq⟩  
 unfolding right-total-def by transfer (simp add: bounded-clinear.bounded-linear)

**named-theorems** *cblinfun-blinfun-transfer*

**lemma** *cblinfun-blinfun-transfer-0*[*cblinfun-blinfun-transfer*]:  
*blinfun-cblinfun-eq* (*0*::(-,-) *blinfun*) (*0*::(-,-) *cblinfun*)  
**by** *transfer simp*

**lemma** *cblinfun-blinfun-transfer-plus*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*)  
 (+) (+)  
**unfolding** *rel-fun-def* **by** *transfer auto*

**lemma** *cblinfun-blinfun-transfer-minus*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*)  
 (−) (−)  
**unfolding** *rel-fun-def* **by** *transfer auto*

**lemma** *cblinfun-blinfun-transfer-uminus*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*) (*uminus*) (*uminus*)  
**unfolding** *rel-fun-def* **by** *transfer auto*

**definition** *real-complex-eq* *r c*  $\longleftrightarrow$  *complex-of-real* *r* = *c*

**lemma** *bi-unique-real-complex-eq*[*transfer-rule*]:  $\langle$ *bi-unique real-complex-eq* $\rangle$   
**unfolding** *real-complex-eq-def* *bi-unique-def* **by** *auto*

**lemma** *left-total-real-complex-eq*[*transfer-rule*]:  $\langle$ *left-total real-complex-eq* $\rangle$   
**unfolding** *real-complex-eq-def* *left-total-def* **by** *auto*

**lemma** *cblinfun-blinfun-transfer-scaleC*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*real-complex-eq*  $\implies$  *blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*)  
 (*scaleR*) (*scaleC*)  
**unfolding** *rel-fun-def* **by** *transfer (simp add: real-complex-eq-def scaleR-scaleC)*

**lemma** *cblinfun-blinfun-transfer-CBlinfun*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*eq-onp* *bounded-clinear*  $\implies$  *blinfun-cblinfun-eq*) *Blinfun* *CBlinfun*  
**unfolding** *rel-fun-def* *blinfun-cblinfun-eq.rep-eq* *eq-onp-def*  
**by** (*auto simp: CBlinfun-inverse Blinfun-inverse bounded-clinear.bounded-linear*)

**lemma** *cblinfun-blinfun-transfer-norm*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  (=)) *norm* *norm*  
**unfolding** *rel-fun-def* **by** *transfer auto*

**lemma** *cblinfun-blinfun-transfer-dist*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*  $\implies$  (=)) *dist* *dist*

**unfolding** *rel-fun-def dist-norm* **by** *transfer auto*

**lemma** *cblinfun-blinfun-transfer-sgn*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*) *sgn sgn*  
**unfolding** *rel-fun-def sgn-blinfun-def sgn-cblinfun-def* **by** *transfer (auto simp: scaleR-scaleC)*

**lemma** *cblinfun-blinfun-transfer-Cauchy*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** ((( $=$ )  $\implies$  *blinfun-cblinfun-eq*)  $\implies$  ( $=$ )) *Cauchy Cauchy*  
**proof** –  
**note** *cblinfun-blinfun-transfer*[*transfer-rule*]  
**show** *?thesis*  
**unfolding** *Cauchy-def*  
**by** *transfer-prover*  
**qed**

**lemma** *cblinfun-blinfun-transfer-tendsto*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** ((( $=$ )  $\implies$  *blinfun-cblinfun-eq*)  $\implies$  *blinfun-cblinfun-eq*  $\implies$  ( $=$ )  $\implies$  ( $=$ )) *tendsto tendsto*  
**proof** –  
**note** *cblinfun-blinfun-transfer*[*transfer-rule*]  
**show** *?thesis*  
**unfolding** *tendsto-iff*  
**by** *transfer-prover*  
**qed**

**lemma** *cblinfun-blinfun-transfer-compose*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*  $\implies$  *blinfun-cblinfun-eq*)  
(*o<sub>L</sub>*) (*o<sub>CL</sub>*)  
**unfolding** *rel-fun-def* **by** *transfer auto*

**lemma** *cblinfun-blinfun-transfer-apply*[*cblinfun-blinfun-transfer*]:  
**includes** *lifting-syntax*  
**shows** (*blinfun-cblinfun-eq*  $\implies$  ( $=$ )  $\implies$  ( $=$ )) *blinfun-apply cblinfun-apply*  
**unfolding** *rel-fun-def* **by** *transfer auto*

**lemma** *blinfun-of-cblinfun-inj*:  
 $\langle \text{blinfun-of-cblinfun } f = \text{blinfun-of-cblinfun } g \implies f = g \rangle$   
**by** (*metis cblinfun-apply-inject blinfun-of-cblinfun.rep-eq*)

**lemma** *blinfun-of-cblinfun-inv*:  
**assumes**  $\bigwedge c. \bigwedge x. f *_v (c *_C x) = c *_C (f *_v x)$   
**shows**  $\exists g. \text{blinfun-of-cblinfun } g = f$   
**using** *assms*  
**proof** *transfer*

**show**  $\exists g \in \text{Collect bounded-clinear}. \text{id } g = f$   
**if** *bounded-linear*  $f$   
**and**  $\bigwedge c \ x. f (c *_C x) = c *_C f x$   
**for**  $f :: 'a \Rightarrow 'b$   
**using** *that bounded-linear-bounded-clinear* **by** *auto*  
**qed**

**lemma** *blinfun-of-cblinfun-zero*:  
 $\langle \text{blinfun-of-cblinfun } 0 = 0 \rangle$   
**by** *transfer simp*

**lemma** *blinfun-of-cblinfun-uminus*:  
 $\langle \text{blinfun-of-cblinfun } (-f) = -(\text{blinfun-of-cblinfun } f) \rangle$   
**by** *transfer auto*

**lemma** *blinfun-of-cblinfun-minus*:  
 $\langle \text{blinfun-of-cblinfun } (f - g) = \text{blinfun-of-cblinfun } f - \text{blinfun-of-cblinfun } g \rangle$   
**by** *transfer auto*

**lemma** *blinfun-of-cblinfun-scaleC*:  
 $\langle \text{blinfun-of-cblinfun } (c *_C f) = c *_C (\text{blinfun-of-cblinfun } f) \rangle$   
**by** *transfer auto*

**lemma** *blinfun-of-cblinfun-scaleR*:  
 $\langle \text{blinfun-of-cblinfun } (c *_R f) = c *_R (\text{blinfun-of-cblinfun } f) \rangle$   
**by** *transfer auto*

**lemma** *blinfun-of-cblinfun-norm*:  
**fixes**  $f :: \langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
**shows**  $\langle \text{norm } f = \text{norm } (\text{blinfun-of-cblinfun } f) \rangle$   
**by** *transfer auto*

**lemma** *blinfun-of-cblinfun-cblinfun-compose*:  
**fixes**  $f :: \langle 'b :: \text{complex-normed-vector} \Rightarrow_{CL} 'c :: \text{complex-normed-vector} \rangle$   
**and**  $g :: \langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b \rangle$   
**shows**  $\langle \text{blinfun-of-cblinfun } (f \circ_{CL} g) = (\text{blinfun-of-cblinfun } f) \circ_L (\text{blinfun-of-cblinfun } g) \rangle$   
**by** *transfer auto*

### 13.3 Composition

**lemma** *cblinfun-compose-assoc*:  
**shows**  $(A \circ_{CL} B) \circ_{CL} C = A \circ_{CL} (B \circ_{CL} C)$   
**by** (*metis (no-types, lifting) cblinfun-apply-inject fun.map-comp cblinfun-compose.rep-eq*)

**lemma** *cblinfun-compose-zero-right[simp]*:  $U \circ_{CL} 0 = 0$   
**using** *bounded-cbilinear.zero-right bounded-cbilinear-cblinfun-compose* **by** *blast*

**lemma** *cblinfun-compose-zero-left[simp]*:  $0 \circ_{CL} U = 0$

**using** *bounded-cbilinear.zero-left bounded-cbilinear-cblinfun-compose* **by** *blast*

**lemma** *cblinfun-compose-scaleC-left[simp]*:  
**fixes**  $A::'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$   
**and**  $B::'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b$   
**shows**  $\langle (a *_C A) \circ_{CL} B = a *_C (A \circ_{CL} B) \rangle$   
**by** (*simp add: bounded-cbilinear.scaleC-left bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-scaleR-left[simp]*:  
**fixes**  $A::'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$   
**and**  $B::'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b$   
**shows**  $\langle (a *_R A) \circ_{CL} B = a *_R (A \circ_{CL} B) \rangle$   
**by** (*simp add: scaleR-scaleC*)

**lemma** *cblinfun-compose-scaleC-right[simp]*:  
**fixes**  $A::'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$   
**and**  $B::'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b$   
**shows**  $\langle A \circ_{CL} (a *_C B) = a *_C (A \circ_{CL} B) \rangle$   
**by** *transfer (auto intro!: ext bounded-clinear.clinear complex-vector.linear-scale)*

**lemma** *cblinfun-compose-scaleR-right[simp]*:  
**fixes**  $A::'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$   
**and**  $B::'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b$   
**shows**  $\langle A \circ_{CL} (a *_R B) = a *_R (A \circ_{CL} B) \rangle$   
**by** (*simp add: scaleR-scaleC*)

**lemma** *cblinfun-compose-id-right[simp]*:  
**shows**  $U \circ_{CL} \text{id-cblinfun} = U$   
**by** *transfer auto*

**lemma** *cblinfun-compose-id-left[simp]*:  
**shows**  $\text{id-cblinfun} \circ_{CL} U = U$   
**by** *transfer auto*

**lemma** *cblinfun-compose-add-left*:  $\langle (a + b) \circ_{CL} c = (a \circ_{CL} c) + (b \circ_{CL} c) \rangle$   
**by** (*simp add: bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-add-right*:  $\langle a \circ_{CL} (b + c) = (a \circ_{CL} b) + (a \circ_{CL} c) \rangle$   
**by** (*simp add: bounded-cbilinear.add-right bounded-cbilinear-cblinfun-compose*)

**lemma** *cbilinear-cblinfun-compose[simp]*: *cbilinear cblinfun-compose*  
**by** (*auto intro!: clinearI simp add: cbilinear-def bounded-cbilinear.add-left bounded-cbilinear.add-right bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-sum-left*:  $\langle (\sum i \in S. g\ i) \circ_{CL} x = (\sum i \in S. g\ i \circ_{CL} x) \rangle$   
**by** (*induction S rule:infinite-finite-induct*) (*auto simp: cblinfun-compose-add-left*)

**lemma** *cblinfun-compose-sum-right*:  $\langle x \circ_{CL} (\sum i \in S. g\ i) = (\sum i \in S. x \circ_{CL} g\ i) \rangle$   
**by** (*induction S rule:infinite-finite-induct*) (*auto simp: cblinfun-compose-add-right*)

**lemma** *cblinfun-compose-minus-right*:  $\langle a \circ_{CL} (b - c) = (a \circ_{CL} b) - (a \circ_{CL} c) \rangle$

**by** (*simp add: bounded-cbilinear.diff-right bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-minus-left*:  $\langle (a - b) \circ_{CL} c = (a \circ_{CL} c) - (b \circ_{CL} c) \rangle$

**by** (*simp add: bounded-cbilinear.diff-left bounded-cbilinear-cblinfun-compose*)

**lemma** *simp-a-oCL-b*:  $\langle a \circ_{CL} b = c \implies a \circ_{CL} (b \circ_{CL} d) = c \circ_{CL} d \rangle$

— A convenience lemma to transform simplification rules of the form  $a \circ_{CL} b = c$ . E.g., *simp-a-oCL-b*[*OF isometryD, simp*] declares a simp-rule for simplifying  $adj \ x \circ_{CL} (x \circ_{CL} y) = id\text{-}cblinfun \ \circ_{CL} \ y$ .

**by** (*auto simp: cblinfun-compose-assoc*)

**lemma** *simp-a-oCL-b'*:  $\langle a \circ_{CL} b = c \implies a *_V (b *_V d) = c *_V d \rangle$

— A convenience lemma to transform simplification rules of the form  $a \circ_{CL} b = c$ . E.g., *simp-a-oCL-b'*[*OF isometryD, simp*] declares a simp-rule for simplifying  $adj \ x *_V x *_V y = id\text{-}cblinfun \ *_V \ y$ .

**by** *auto*

**lemma** *cblinfun-compose-uminus-left*:  $\langle (- a) \circ_{CL} b = - (a \circ_{CL} b) \rangle$

**by** (*simp add: bounded-cbilinear.minus-left bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-uminus-right*:  $\langle a \circ_{CL} (- b) = - (a \circ_{CL} b) \rangle$

**by** (*simp add: bounded-cbilinear.minus-right bounded-cbilinear-cblinfun-compose*)

**lemma** *bounded-clinear-cblinfun-compose-left*:  $\langle bounded\text{-}clinear \ (\lambda x. x \circ_{CL} y) \rangle$

**by** (*simp add: bounded-cbilinear.bounded-clinear-left bounded-cbilinear-cblinfun-compose*)

**lemma** *bounded-clinear-cblinfun-compose-right*:  $\langle bounded\text{-}clinear \ (\lambda y. x \circ_{CL} y) \rangle$

**by** (*simp add: bounded-cbilinear.bounded-clinear-right bounded-cbilinear-cblinfun-compose*)

**lemma** *clinear-cblinfun-compose-left*:  $\langle clinear \ (\lambda x. x \circ_{CL} y) \rangle$

**by** (*simp add: bounded-cbilinear.bounded-clinear-left bounded-cbilinear-cblinfun-compose bounded-clinear.clinear*)

**lemma** *clinear-cblinfun-compose-right*:  $\langle clinear \ (\lambda y. x \circ_{CL} y) \rangle$

**by** (*simp add: bounded-clinear.clinear bounded-clinear-cblinfun-compose-right*)

**lemma** *additive-cblinfun-compose-left*[*simp*]:  $\langle Modules.additive \ (\lambda x. x \circ_{CL} a) \rangle$

**by** (*simp add: Modules.additive-def cblinfun-compose-add-left*)

**lemma** *additive-cblinfun-compose-right*[*simp*]:  $\langle Modules.additive \ (\lambda x. a \circ_{CL} x) \rangle$

**by** (*simp add: Modules.additive-def cblinfun-compose-add-right*)

**lemma** *isCont-cblinfun-compose-left*:  $\langle isCont \ (\lambda x. x \circ_{CL} a) \ y \rangle$

**apply** (*rule clinear-continuous-at*)

**by** (*rule bounded-clinear-cblinfun-compose-left*)

**lemma** *isCont-cblinfun-compose-right*:  $\langle isCont \ (\lambda x. a \circ_{CL} x) \ y \rangle$

**apply** (*rule clinear-continuous-at*)

**by** (*rule bounded-clinear-cblinfun-compose-right*)

**lemma** *cspan-compose-closed*:

**assumes**  $\langle \bigwedge a \ b. a \in A \implies b \in A \implies a \circ_{CL} b \in A \rangle$

**assumes**  $\langle a \in cspan \ A \rangle$  **and**  $\langle b \in cspan \ A \rangle$

```

shows ⟨a oCL b ∈ cspan A⟩
proof -
  from ⟨a ∈ cspan A⟩
  obtain F f where ⟨finite F⟩ and ⟨F ⊆ A⟩ and a-def: ⟨a = (∑ x∈F. f x *C x)⟩
    by (smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq)
  from ⟨b ∈ cspan A⟩
  obtain G g where ⟨finite G⟩ and ⟨G ⊆ A⟩ and b-def: ⟨b = (∑ x∈G. g x *C
x)⟩
    by (smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq)
  have ⟨a oCL b = (∑ (x,y)∈F×G. (f x *C x) oCL (g y *C y))⟩
    apply (simp add: a-def b-def cblinfun-compose-sum-left)
    by (auto intro!: sum.cong simp add: cblinfun-compose-sum-right scaleC-sum-right
sum.cartesian-product case-prod-beta)
  also have ⟨... = (∑ (x,y)∈F×G. (f x * g y) *C (x oCL y))⟩
    by (metis (no-types, opaque-lifting) cblinfun-compose-scaleC-left cblinfun-compose-scaleC-right
scaleC-scaleC)
  also have ⟨... ∈ cspan A⟩
    using assms ⟨G ⊆ A⟩ ⟨F ⊆ A⟩
    apply (auto intro!: complex-vector.span-sum complex-vector.span-scale
simp: complex-vector.span-clauses)
    using complex-vector.span-clauses(1) by blast
  finally show ?thesis
    by -
qed

```

### 13.4 Adjoint

#### lift-definition

```

adj :: 'a::chilbert-space ⇒CL 'b::complex-inner ⇒ 'b ⇒CL 'a (⟨-⟩ [99] 100)
is cadjoint by (fact cadjoint-bounded-clinear)

```

**definition** selfadjoint :: ⟨('a::chilbert-space ⇒<sub>CL</sub> 'a) ⇒ bool⟩ **where**  
 ⟨selfadjoint a ⟷ a\* = a⟩

**lemma** id-cblinfun-adjoint[simp]: id-cblinfun\* = id-cblinfun  
 by (metis adj.rep-eq apply-id-cblinfun cadjoint-id cblinfun-apply-inject)

**lemma** double-adj[simp]: (A\*)\* = A  
 apply transfer using double-cadjoint by blast

**lemma** adj-cblinfun-compose[simp]:  
 fixes B::⟨'a::chilbert-space ⇒<sub>CL</sub> 'b::chilbert-space⟩  
 and A::⟨'b ⇒<sub>CL</sub> 'c::complex-inner⟩  
 shows (A o<sub>CL</sub> B)\* = (B\*) o<sub>CL</sub> (A\*)

**proof** transfer

```

fix A :: ⟨'b ⇒ 'c⟩ and B :: ⟨'a ⇒ 'b⟩
assume ⟨bounded-clinear A⟩ and ⟨bounded-clinear B⟩
hence ⟨bounded-clinear (A o B)⟩
  by (simp add: comp-bounded-clinear)

```

```

have ⟨((A ∘ B) u •C v) = (u •C (B† ∘ A†) v)⟩
  for u v
  by (metis (no-types, lifting) cadjoint-univ-prop ⟨bounded-clinear A⟩ ⟨bounded-clinear
B⟩ cinner-commute' comp-def)
thus ⟨(A ∘ B)† = B† ∘ A†⟩
  using ⟨bounded-clinear (A ∘ B)⟩
  by (metis cadjoint-eqI cinner-commute')
qed

```

```

lemma scaleC-adj[simp]: (a •C A)* = (cnj a) •C (A*)
  by transfer (simp add: bounded-clinear.bounded-linear bounded-clinear-def com-
plex-vector.linear-scale scaleC-cadjoint)

```

```

lemma scaleR-adj[simp]: (a •R A)* = a •R (A*)
  by (simp add: scaleR-scaleC)

```

```

lemma adj-plus: ⟨(A + B)* = (A*) + (B*)⟩
proof transfer
  fix A B :: ⟨'b ⇒ 'a⟩
  assume a1: ⟨bounded-clinear A⟩ and a2: ⟨bounded-clinear B⟩
  define F where ⟨F = (λx. (A†) x + (B†) x)⟩
  define G where ⟨G = (λx. A x + B x)⟩
  have ⟨bounded-clinear G⟩
    unfolding G-def
    by (simp add: a1 a2 bounded-clinear-add)
  moreover have ⟨(F u •C v) = (u •C G v)⟩ for u v
    unfolding F-def G-def
    using cadjoint-univ-prop a1 a2 cinner-add-left
    by (simp add: cadjoint-univ-prop cinner-add-left cinner-add-right)
  ultimately have ⟨F = G†⟩
    using cadjoint-eqI by blast
  thus ⟨(λx. A x + B x)† = (λx. (A†) x + (B†) x)⟩
    unfolding F-def G-def
    by auto
qed

```

```

lemma cinner-adj-left:
  fixes G :: 'b::hilbert-space ⇒CL 'a::complex-inner
  shows ⟨(G* •V x) •C y = x •C (G •V y)⟩
  apply transfer using cadjoint-univ-prop by blast

```

```

lemma cinner-adj-right:
  fixes G :: 'b::hilbert-space ⇒CL 'a::complex-inner
  shows ⟨x •C (G* •V y) = (G •V x) •C y⟩
  apply transfer using cadjoint-univ-prop' by blast

```

```

lemma adj-0[simp]: ⟨0* = 0⟩
  by (metis add-cancel-right-left adj-plus)

```

```

lemma selfadjoint-0[simp]:  $\langle \text{selfadjoint } 0 \rangle$ 
  by (simp add: selfadjoint-def)

lemma norm-adj[simp]:  $\langle \text{norm } (A^*) = \text{norm } A \rangle$ 
  for  $A :: \langle 'b :: \text{hilbert-space} \Rightarrow_{CL} 'c :: \text{complex-inner} \rangle$ 
proof (cases  $\langle (\exists x y :: 'b. x \neq y) \wedge (\exists x y :: 'c. x \neq y) \rangle$ )
  case True
  then have c1:  $\langle \text{class.not-singleton TYPE('b)} \rangle$ 
    by intro-classes simp
  from True have c2:  $\langle \text{class.not-singleton TYPE('c)} \rangle$ 
    by intro-classes simp
  have normA:  $\langle \text{norm } A = (\text{SUP } (\psi, \varphi). \text{cmod } (\psi \cdot_C (A *_V \varphi))) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
  apply (rule cinner-sup-norm-cblinfun[internalize-sort  $\langle 'a :: \{ \text{complex-normed-vector}, \text{not-singleton} \} \rangle$ ])
  apply (rule complex-normed-vector-axioms)
  by (rule c1)
  have normAadj:  $\langle \text{norm } (A^*) = (\text{SUP } (\psi, \varphi). \text{cmod } (\psi \cdot_C (A^* *_V \varphi))) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
  apply (rule cinner-sup-norm-cblinfun[internalize-sort  $\langle 'a :: \{ \text{complex-normed-vector}, \text{not-singleton} \} \rangle$ ])
  apply (rule complex-normed-vector-axioms)
  by (rule c2)

  have  $\langle \text{norm } (A^*) = (\text{SUP } (\psi, \varphi). \text{cmod } (\varphi \cdot_C (A *_V \psi))) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
    unfolding normAadj
    apply (subst cinner-adj-right)
    apply (subst cinner-commute)
    apply (subst complex-mod-cnj)
    by rule
  also have  $\langle \dots = \text{Sup } ((\lambda(\psi, \varphi). \text{cmod } (\varphi \cdot_C (A *_V \psi))) / (\text{norm } \psi * \text{norm } \varphi)) \rangle$ 
  ‘prod.swap ‘UNIV’
    by auto
  also have  $\langle \dots = (\text{SUP } (\varphi, \psi). \text{cmod } (\varphi \cdot_C (A *_V \psi))) / (\text{norm } \psi * \text{norm } \varphi) \rangle$ 
    apply (subst image-image)
    by auto
  also have  $\langle \dots = \text{norm } A \rangle$ 
    unfolding normA
    by (simp add: mult.commute)
  finally show ?thesis
    by -
next
case False
  then consider (b)  $\langle \bigwedge x :: 'b. x = 0 \rangle \mid$  (c)  $\langle \bigwedge x :: 'c. x = 0 \rangle$ 
    by auto
  then have  $\langle A = 0 \rangle$ 
    apply (cases; transfer)
    apply (metis (full-types) bounded-clinear-def complex-vector.linear-0)
    by auto
  then show  $\langle \text{norm } (A^*) = \text{norm } A \rangle$ 
    by simp

```

qed

**lemma** *antilinear-adj*[*simp*]:  $\langle \text{antilinear } \text{adj} \rangle$   
**by** (*simp add: adj-plus antilinearI*)

**lemma** *bounded-antilinear-adj*[*bounded-antilinear, simp*]:  $\langle \text{bounded-antilinear } \text{adj} \rangle$   
**by** (*auto intro!: antilinearI exI[of - 1] simp: bounded-antilinear-def bounded-antilinear-axioms-def adj-plus*)

**lemma** *adjoint-eqI*:  
**fixes**  $G :: \langle 'b :: \text{hilbert-space} \Rightarrow_{CL} 'a :: \text{complex-inner} \rangle$   
**and**  $F :: \langle 'a \Rightarrow_{CL} 'b \rangle$   
**assumes**  $\langle \bigwedge x y. ((\text{cblinfun-apply } F) x \cdot_C y) = (x \cdot_C (\text{cblinfun-apply } G) y) \rangle$   
**shows**  $\langle F = G^* \rangle$   
**using** *assms apply transfer using adjoint-eqI by auto*

**lemma** *adj-uminus*:  $\langle (-A)^* = - (A^*) \rangle$   
**by** (*metis scaleR-adj scaleR-minus1-left scaleR-minus1-left*)

**lemma** *cinner-real-selfadjointI*:  
— Prop. II.2.12 in [1]  
**assumes**  $\langle \bigwedge \psi. \psi \cdot_C (A *_V \psi) \in \mathbb{R} \rangle$   
**shows**  $\langle \text{selfadjoint } A \rangle$   
**proof** —  
{ **fix**  $g h :: 'a$   
{  
**fix**  $\alpha :: \text{complex}$   
**have**  $\langle \text{cinner } h (A h) + \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g) +$   
 $(\text{abs } \alpha)^2 *_C \text{cinner } g (A g)$   
 $= \text{cinner } (h + \alpha *_C g) (A *_V (h + \alpha *_C g)) \rangle$  (**is**  $\langle ?\text{sum4} = - \rangle$ )  
**apply** (*auto simp: cinner-add-right cinner-add-left cblinfun.add-right cblin-*  
*fun.scaleC-right ring-class.ring-distrib*)  
**by** (*metis cnj-x-x mult.commute*)  
**also have**  $\langle \dots \in \mathbb{R} \rangle$   
**using** *assms by auto*  
**finally have**  $\langle ?\text{sum4} = \text{cnj } ?\text{sum4} \rangle$   
**using** *Reals-cnj-iff by fastforce*  
**then have**  $\langle \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g)$   
 $= \alpha *_C \text{cinner } (A h) g + \text{cnj } \alpha *_C \text{cinner } (A g) h \rangle$   
**using** *Reals-cnj-iff abs-complex-real assms by force*  
**also have**  $\langle \dots = \alpha *_C \text{cinner } h (A *_V g) + \text{cnj } \alpha *_C \text{cinner } g (A *_V h) \rangle$   
**by** (*simp add: cinner-adj-right*)  
**finally have**  $\langle \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g) = \alpha *_C \text{cinner } h$   
 $h (A *_V g) + \text{cnj } \alpha *_C \text{cinner } g (A *_V h) \rangle$   
**by** —  
}  
**from** *this[where  $\alpha 2 = 1$ ] this[where  $\alpha 2 = i$ ]*  
**have**  $1: \langle \text{cinner } g (A h) + \text{cinner } h (A g) = \text{cinner } h (A *_V g) + \text{cinner } g$

```

(A* *V h)⟩
  and i: ⟨- i * cinner g (A h) + i *C cinner h (A g) = i *C cinner h (A* *V
g) - i *C cinner g (A* *V h)⟩
  by auto
  from arg-cong2[OF 1 arg-cong[OF i, where f=⟨(*) (-i)⟩], where f=plus]
  have ⟨cinner h (A g) = cinner h (A* *V g)⟩
  by (auto simp: ring-class.ring-distrib)
}
then have ⟨A* = A⟩
  apply (rule-tac sym)
  by (simp add: adjoint-eqI cinner-adj-right)
then show selfadjoint A
  by (simp add: selfadjoint-def)
qed

```

```

lemma norm-AAadj[simp]: ⟨norm (A oCL A*) = (norm A)2⟩ for A :: ⟨'a::hilbert-space
⇒CL 'b::{complex-inner}⟩
proof (cases ⟨class.not-singleton TYPE('b)⟩)
  case True
  then have [simp]: ⟨class.not-singleton TYPE('b)⟩
  by -
  have 1: ⟨(norm A)2 * ε ≤ norm (A oCL A*)⟩ if ⟨ε < 1⟩ and ⟨ε ≥ 0⟩ for ε
  proof -
    obtain ψ where ψ: ⟨norm ((A*) *V ψ) ≥ norm (A*) * sqrt ε⟩ and [simp]:
    ⟨norm ψ = 1⟩
    apply atomize-elim
    apply (rule cblinfun-norm-approx-witness-mult[internalize-sort 'a])
    using ⟨ε < 1⟩ by (auto intro: complex-normed-vector-class.complex-normed-vector-axioms)
    have ⟨complex-of-real ((norm A)2 * ε) = (norm (A*) * sqrt ε)2⟩
    by (simp add: ordered-field-class.sign-simps(23) that(2))
    also have ⟨... ≤ (norm ((A* *V ψ)))2⟩
    by (meson ψ complex-of-real-mono mult-nonneg-nonneg norm-ge-zero power-mono
real-sqrt-ge-zero ⟨ε ≥ 0⟩)
    also have ⟨... ≤ cinner (A* *V ψ) (A* *V ψ)⟩
    by (auto simp flip: power2-norm-eq-cinner)
    also have §: ⟨... = cinner ψ ((A oCL A*) *V ψ)⟩
    by (auto simp: cinner-adj-left)
    also have ⟨... ≤ norm (A oCL A*)⟩
    using ⟨norm ψ = 1⟩
    by (smt (verit) Re-complex-of-real § cdot-square-norm cinner-ge-zero cmod-Re
complex-inner-class.Cauchy-Schwarz-ineq2 complex-of-real-mono mult-cancel-left1
mult-cancel-right1 norm-cblinfun)
    finally show ?thesis
    by (auto simp: less-eq-complex-def)
  qed
  then have 1: ⟨(norm A)2 ≤ norm (A oCL A*)⟩
  by (metis field-le-mult-one-interval less-eq-real-def ordered-field-class.sign-simps(5))

```

```

have 2:  $\langle \text{norm } (A \text{ } o_{CL} A^*) \leq (\text{norm } A)^2 \rangle$ 
proof (rule norm-cblinfun-bound)
  show  $\langle 0 \leq (\text{norm } A)^2 \rangle$  by simp
  fix  $\psi$ 
  have  $\langle \text{norm } ((A \text{ } o_{CL} A^*) *_V \psi) = \text{norm } (A *_V A^* *_V \psi) \rangle$ 
    by auto
  also have  $\langle \dots \leq \text{norm } A * \text{norm } (A^* *_V \psi) \rangle$ 
    by (simp add: norm-cblinfun)
  also have  $\langle \dots \leq \text{norm } A * \text{norm } (A^*) * \text{norm } \psi \rangle$ 
    by (metis mult.assoc norm-cblinfun norm-imp-pos-and-ge ordered-comm-semiring-class.comm-mult-left-mo)
  also have  $\langle \dots = (\text{norm } A)^2 * \text{norm } \psi \rangle$ 
    by (simp add: power2-eq-square)
  finally show  $\langle \text{norm } ((A \text{ } o_{CL} A^*) *_V \psi) \leq (\text{norm } A)^2 * \text{norm } \psi \rangle$ 
    by -
qed

from 1 2 show ?thesis by simp
next
case False
then have [simp]:  $\langle \text{class.CARD-1 TYPE('b)} \rangle$ 
  by (rule not-singleton-vs-CARD-1)
have  $\langle A = 0 \rangle$ 
  apply (rule cblinfun-to-CARD-1-0[internalize-sort' 'b])
  by (auto intro: complex-normed-vector-class.complex-normed-vector-axioms)
then show ?thesis
  by auto
qed

lemma sum-adj:  $\langle (\text{sum } a \text{ } F)^* = \text{sum } (\lambda i. (a \text{ } i)^*) \text{ } F \rangle$ 
  by (induction rule:infinite-finite-induct) (auto simp add: adj-plus)

lemma has-sum-adj:
  assumes  $\langle f \text{ has-sum } x \text{ } I \rangle$ 
  shows  $\langle ((\lambda x. \text{adj } (f \text{ } x)) \text{ has-sum adj } x) \text{ } I \rangle$ 

  apply (rule has-sum-comm-additive[where f=adj, unfolded o-def])
  apply (simp add: antilinear.axioms(1))
  apply (metis (no-types, lifting) LIM-eq adj-plus adj-uminus norm-adj uminus-add-conv-diff)
  by (simp add: asms)

lemma adj-minus:  $\langle (A - B)^* = (A^*) - (B^*) \rangle$ 
  by (metis add-implies-diff adj-plus diff-add-cancel)

lemma cinner-selfadjoint-real:  $\langle x \cdot_C (A *_V x) \in \mathbb{R} \rangle$  if  $\langle \text{selfadjoint } A \rangle$ 
  by (metis Reals-cn-j-iff cinner-adj-right cinner-commute' that selfadjoint-def)

lemma adj-inject:  $\langle \text{adj } a = \text{adj } b \longleftrightarrow a = b \rangle$ 
  by (metis (no-types, opaque-lifting) adj-minus eq-iff-diff-eq-0 norm-adj norm-eq-zero)

```

**lemma** *norm-AAadjA[simp]*:  $\langle \text{norm } (A * o_{CL} A) = (\text{norm } A)^2 \rangle$  **for**  $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space} \rangle$

**by** (*metis double-adj norm-AAadj norm-adj*)

**lemma** *cspan-adj-closed*:

**assumes**  $\langle \bigwedge a. a \in A \implies a * \in A \rangle$

**assumes**  $\langle a \in \text{cspan } A \rangle$

**shows**  $\langle a * \in \text{cspan } A \rangle$

**proof** –

**from**  $\langle a \in \text{cspan } A \rangle$

**obtain**  $F f$  **where**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq A \rangle$  **and**  $\langle a = (\sum x \in F. f x *_{CL} x) \rangle$

**by** (*smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq*)

**then have**  $\langle a * = (\sum x \in F. \text{cnj } (f x) *_{CL} x *) \rangle$

**by** (*auto simp: sum-adj*)

**also have**  $\langle \dots \in \text{cspan } A \rangle$

**using** *assms*  $\langle F \subseteq A \rangle$

**by** (*auto intro!: complex-vector.span-sum complex-vector.span-scale simp: complex-vector.span-clauses*)

**finally show** *?thesis*

**by** –

**qed**

**lemma** *cblinfun-norm-is-Sup-cinner*:

– [1], Proposition II.2.13

**fixes**  $A :: \langle 'a :: \{\text{not-singleton, chilbert-space}\} \Rightarrow_{CL} 'a \rangle$

**assumes** *Aselfadj*:  $\langle \text{selfadjoint } A \rangle$

**shows**  $\langle \text{is-Sup } ((\lambda \psi. \text{cmod } (\psi \cdot_C (A *_V \psi))) \text{ ‘ } \{\psi. \text{norm } \psi = 1\}) (\text{norm } A) \rangle$

**proof** (*rule is-SupI*)

**fix**  $b$  **assume**  $\langle b \in (\lambda \psi. \text{cmod } (\psi \cdot_C (A *_V \psi))) \text{ ‘ } \{\psi. \text{norm } \psi = 1\} \rangle$

**then obtain**  $\psi$  **where**  $\langle \text{norm } \psi = 1 \rangle$  **and**  $b \cdot \psi$ :  $\langle b = \text{cmod } (\psi \cdot_C (A *_V \psi)) \rangle$

**by** *blast*

**have**  $\langle b \leq \text{norm } (A \psi) \rangle$

**using**  $b \cdot \psi$   $\langle \text{norm } \psi = 1 \rangle$

**by** (*metis complex-inner-class.Cauchy-Schwarz-ineq2 mult-cancel-right2*)

**also have**  $\langle \dots \leq \text{norm } A \rangle$

**using**  $\langle \text{norm } \psi = 1 \rangle$

**by** (*metis mult-cancel-left2 norm-cblinfun*)

**finally show**  $\langle b \leq \text{norm } A \rangle$

**by** –

**next**

**fix**  $c$  **assume** *asm*:  $\langle (\bigwedge b. b \in (\lambda \psi. \text{cmod } (\psi \cdot_C A \psi))) \text{ ‘ } \{\psi. \text{norm } \psi = 1\} \implies b \leq c \rangle$

**have** *c-upper*:  $\langle \text{cmod } (\psi \cdot_C (A *_V \psi)) \leq c \rangle$  **if**  $\langle \text{norm } \psi = 1 \rangle$  **for**  $\psi$

**using** *that* **using** *asm* [of  $\langle \text{cmod } (\psi \cdot_C (A *_V \psi)) \rangle$ ] **by** *auto*

**have**  $\langle c \geq 0 \rangle$

**by** (*smt (z3) ex-norm1-not-singleton c-upper norm-ge-zero*)

**have**  $\langle \text{Re } (g \cdot_C A h) \leq c \rangle$  **if**  $\langle \text{norm } g = 1 \rangle$  **and**  $\langle \text{norm } h = 1 \rangle$  **for**  $g h$

**proof** –

**have** *c-upper'*:  $\langle \text{cmod } (\psi \cdot_C (A *_V \psi)) \leq c * (\text{norm } \psi)^2 \rangle$  **for**  $\psi$

```

apply (cases  $\langle \psi = 0 \rangle$ , simp)
apply (subst (2) norm-scaleC-sgn[symmetric, of  $\psi$ ])
apply (subst norm-scaleC-sgn[symmetric])
apply (simp only: cinner-scaleC-left cinner-scaleC-right cblinfun.scaleC-right)
using c-upper[of  $\langle \text{sgn } \psi \rangle$ ]
by (simp add: norm-mult norm-sgn power2-eq-square)
from Aselfadj have Aselfadj':  $x \cdot_C (A *_V y) = (A *_V x) \cdot_C y$  for  $x y$ 
using cinner-adj-right[of  $x A y$ ] by (auto simp: selfadjoint-def)
from Aselfadj have Aselfadj'':  $(A *_V x) \cdot_C y = \text{cnj } ((A *_V y) \cdot_C x)$  for  $x y$ 
by (subst cinner-commute, subst Aselfadj') auto

have 1:  $\langle (h + g) \cdot_C A (h + g) = h \cdot_C A h + 2 * \text{Re } (g \cdot_C A h) + g \cdot_C A g \rangle$ 
by (simp add: cblinfun.cbilinear-simps algebra-simps
  Aselfadj' Aselfadj''[of  $h g$ ] complex-add-cn timer: cinner-commute')
from Aselfadj have 2:  $\langle (h - g) \cdot_C A (h - g) = h \cdot_C A h - 2 * \text{Re } (g \cdot_C A$ 
 $h) + g \cdot_C A g \rangle$ 
by (simp add: cblinfun.cbilinear-simps algebra-simps Aselfadj'
  Aselfadj''[of  $h g$ ] complex-add-cn timer: cinner-commute')
have  $\langle 4 * \text{Re } (g \cdot_C A h) = \text{Re } ((h + g) \cdot_C A (h + g)) - \text{Re } ((h - g) \cdot_C A (h$ 
 $- g)) \rangle$ 
by (smt (verit, ccfv-SIG) 1 2 Re-complex-of-real minus-complex.simps(1)
  plus-complex.sel(1))
also have  $\langle \dots \leq c * (\text{norm } (h + g))^2 - \text{Re } ((h - g) \cdot_C A (h - g)) \rangle$ 
using c-upper'[of  $\langle h + g \rangle$ ]
by (smt (verit, best) complex-Re-le-cmod)
also have  $\langle \dots \leq c * (\text{norm } (h + g))^2 + c * (\text{norm } (h - g))^2 \rangle$ 
unfolding diff-conv-add-uminus
by (rule add-left-mono)
  (use c-upper'[of  $\langle h - g \rangle$ ] in smt (verit) abs-Re-le-cmod add-uminus-conv-diff)
also have  $\langle \dots = 2 * c * ((\text{norm } h)^2 + (\text{norm } g)^2) \rangle$ 
by (auto intro!: simp: polar-identity polar-identity-minus ring-distrib)
also have  $\langle \dots \leq 4 * c \rangle$ 
by (simp add:  $\langle \text{norm } h = 1 \rangle \langle \text{norm } g = 1 \rangle$ )
finally show  $\langle \text{Re } (g \cdot_C (A *_V h)) \leq c \rangle$ 
by simp
qed
have *:  $\langle \text{cmod } (g \cdot_C A h) \leq c \rangle$  if  $\langle \text{norm } g = 1 \rangle$  and  $\langle \text{norm } h = 1 \rangle$  for  $g h$ 
proof -
define  $\gamma$  where  $\langle \gamma = (\text{if } g \cdot_C A h = 0 \text{ then } 1 \text{ else } \text{sgn } (g \cdot_C A h)) \rangle$ 
have  $\gamma$ :  $\langle \gamma * \text{cmod } (g \cdot_C A h) = g \cdot_C A h \rangle$ 
by (simp add:  $\gamma$ -def sgn-eq)
have  $\langle \text{norm } \gamma = 1 \rangle$ 
by (simp add:  $\gamma$ -def norm-sgn)
have  $\langle \text{cmod } (g \cdot_C A h) = \text{Re } (\text{complex-of-real } (\text{norm } (g \cdot_C A h))) \rangle$ 
by simp
also have  $\langle \dots = \text{Re } (g \cdot_C (A (h /_C \gamma))) \rangle$ 
using  $\gamma$   $\langle \text{cmod } \gamma = 1 \rangle$ 
by (smt (verit) Groups.mult-ac(2) Groups.mult-ac(3) cblinfun.scaleC-right
  cinner-scaleC-right left-inverse more-arith-simps(6) norm-eq-zero)

```

```

    also have  $\langle \dots \leq c \rangle$ 
      using  $\langle \text{norm } \gamma = 1 \rangle$ 
      by (auto intro!: * simp: that norm-inverse)
    finally show  $\langle \text{cmod } (g \cdot_C (A *_V h)) \leq c \rangle$ 
      by -
  qed
  have  $\langle \text{norm } (A h) \leq c \rangle$  if  $\langle \text{norm } h = 1 \rangle$  for  $h$ 
    by (cases  $\langle A h = 0 \rangle$ )
      (use  $*[OF - \text{that}, \text{of } \langle \text{sgn } (A h) \rangle]$  in  $\langle \text{simp-all add: norm-sgn } \langle 0 \leq c \rangle \rangle$ )
  then show  $\langle \text{norm } A \leq c \rangle$ 
    using  $\langle c \geq 0 \rangle$  by (auto intro!: norm-cblinfun-bound-unit)
qed

lemma cblinfun-norm-approx-witness-cinner:
  fixes  $A :: \langle 'a :: \{\text{not-singleton}, \text{chilbert-space}\} \Rightarrow_{CL} 'a \rangle$ 
  assumes  $\langle \text{selfadjoint } A \rangle$  and  $\langle \varepsilon > 0 \rangle$ 
  shows  $\langle \exists \psi. \text{cmod } (\psi \cdot_C (A *_V \psi)) \geq \text{norm } A - \varepsilon \wedge \text{norm } \psi = 1 \rangle$ 
  using is-Sup-approx-below[OF cblinfun-norm-is-Sup-cinner[OF assms(1)] assms(2)]
  by blast

lemma cblinfun-norm-approx-witness-cinner':
  fixes  $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$ 
  assumes  $\langle \text{selfadjoint } A \rangle$  and  $\langle \varepsilon > 0 \rangle$ 
  shows  $\langle \exists \psi. \text{cmod } (\psi \cdot_C A \psi) / (\text{norm } \psi)^2 \geq \text{norm } A - \varepsilon \rangle$ 
proof (cases  $\langle \text{class.not-singleton TYPE('a)} \rangle$ )
  case True
    obtain  $\psi$  where  $\langle \text{cmod } (\psi \cdot_C A \psi) \geq \text{norm } A - \varepsilon \rangle$  and  $\langle \text{norm } \psi = 1 \rangle$ 
    apply atomize-elim
    using chilbert-space-axioms True assms
    by (rule cblinfun-norm-approx-witness-cinner[internalize-sort' 'a])
    then have  $\langle \text{cmod } (\psi \cdot_C A \psi) / (\text{norm } \psi)^2 \geq \text{norm } A - \varepsilon \rangle$ 
      by simp
    then show ?thesis
      by auto
  next
  case False
    show ?thesis
      apply (subst not-not-singleton-cblinfun-zero[OF False])
      apply simp
      apply (subst not-not-singleton-cblinfun-zero[OF False])
      using  $\langle \varepsilon > 0 \rangle$  by simp
qed

```

### 13.5 Powers of operators

**lift-definition**  $\text{cblinfun-power} :: \langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'a \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow_{CL} 'a \rangle$  is

```

 $\langle \lambda(a :: 'a \Rightarrow_{CL} 'a) n. a \overset{\sim}{\sim} n \rangle$ 
  apply (rename-tac f n, induct-tac n, auto simp: Nat.funpow-code-def)

```

by (simp add: bounded-clinear-compose)

**lemma** cblinfun-power-0[simp]:  $\langle \text{cblinfun-power } A \ 0 = \text{id-cblinfun} \rangle$   
 by transfer auto

**lemma** cblinfun-power-Suc':  $\langle \text{cblinfun-power } A \ (\text{Suc } n) = A \ o_{CL} \ \text{cblinfun-power } A \ n \rangle$   
 by transfer auto

**lemma** cblinfun-power-Suc:  $\langle \text{cblinfun-power } A \ (\text{Suc } n) = \text{cblinfun-power } A \ n \ o_{CL} \ A \rangle$   
 apply (induction n)  
 by (auto simp: cblinfun-power-Suc' simp flip: cblinfun-compose-assoc)

**lemma** cblinfun-power-compose[simp]:  $\langle \text{cblinfun-power } A \ n \ o_{CL} \ \text{cblinfun-power } A \ m = \text{cblinfun-power } A \ (n+m) \rangle$   
 apply (induction n)  
 by (auto simp: cblinfun-power-Suc' cblinfun-compose-assoc)

**lemma** cblinfun-power-scaleC:  $\langle \text{cblinfun-power } (c *_C a) \ n = c^{\wedge} n *_C \text{cblinfun-power } a \ n \rangle$   
 apply (induction n)  
 by (auto simp: cblinfun-power-Suc)

**lemma** cblinfun-power-scaleR:  $\langle \text{cblinfun-power } (c *_R a) \ n = c^{\wedge} n *_R \text{cblinfun-power } a \ n \rangle$   
 apply (induction n)  
 by (auto simp: cblinfun-power-Suc)

**lemma** cblinfun-power-uminus:  $\langle \text{cblinfun-power } (-a) \ n = (-1)^{\wedge} n *_R \text{cblinfun-power } a \ n \rangle$   
 apply (subst asm-rl[of  $\langle -a = (-1) *_R a \rangle$ ])  
 by simp (rule cblinfun-power-scaleR)

**lemma** cblinfun-power-adj:  $\langle (\text{cblinfun-power } S \ n)^* = \text{cblinfun-power } (S^*) \ n \rangle$   
 apply (induction n)  
 apply simp  
 apply (subst cblinfun-power-Suc)  
 apply (subst cblinfun-power-Suc')  
 by auto

### 13.6 Unitaries / isometries

**definition** isometry:: $\langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{complex-inner} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{isometry } U \longleftrightarrow U^* \ o_{CL} \ U = \text{id-cblinfun} \rangle$

**definition** unitary:: $\langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{complex-inner} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{unitary } U \longleftrightarrow (U^* \ o_{CL} \ U = \text{id-cblinfun}) \wedge (U \ o_{CL} \ U^* = \text{id-cblinfun}) \rangle$

**lemma** *unitaryI*:  $\langle \text{unitary } a \rangle$  **if**  $\langle a * o_{CL} a = id\text{-}cblinfun \rangle$  **and**  $\langle a o_{CL} a * = id\text{-}cblinfun \rangle$

**unfolding** *unitary-def* **using** *that* **by** *simp*

**lemma** *unitary-twosided-isometry*:  $\text{unitary } U \longleftrightarrow \text{isometry } U \wedge \text{isometry } (U*)$

**unfolding** *unitary-def isometry-def* **by** *simp*

**lemma** *isometryD[simp]*:  $\text{isometry } U \implies U * o_{CL} U = id\text{-}cblinfun$

**unfolding** *isometry-def* **by** *simp*

**lemma** *unitaryD1*:  $\text{unitary } U \implies U * o_{CL} U = id\text{-}cblinfun$

**unfolding** *unitary-def* **by** *simp*

**lemma** *unitaryD2[simp]*:  $\text{unitary } U \implies U o_{CL} U * = id\text{-}cblinfun$

**unfolding** *unitary-def* **by** *simp*

**lemma** *unitary-isometry[simp]*:  $\text{unitary } U \implies \text{isometry } U$

**unfolding** *unitary-def isometry-def* **by** *simp*

**lemma** *unitary-adj[simp]*:  $\text{unitary } (U*) = \text{unitary } U$

**unfolding** *unitary-def* **by** *auto*

**lemma** *isometry-cblinfun-compose[simp]*:

**assumes** *isometry A* **and** *isometry B*

**shows** *isometry (A o<sub>CL</sub> B)*

**proof**–

**have**  $B * o_{CL} A * o_{CL} (A o_{CL} B) = id\text{-}cblinfun$  **if**  $A * o_{CL} A = id\text{-}cblinfun$  **and**  $B * o_{CL} B = id\text{-}cblinfun$

**using** *that*

**by** (*smt (verit, del-insts) adjoint-eqI cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply*)

**thus** *?thesis*

**using** *assms* **unfolding** *isometry-def* **by** *simp*

**qed**

**lemma** *unitary-cblinfun-compose[simp]*:  $\text{unitary } (A o_{CL} B)$

**if** *unitary A* **and** *unitary B*

**using** *that*

**by** (*smt (z3) adj-cblinfun-compose cblinfun-compose-assoc cblinfun-compose-id-right double-adj isometryD isometry-cblinfun-compose unitary-def unitary-isometry*)

**lemma** *unitary-surj*:

**assumes** *unitary U*

**shows** *surj (cblinfun-apply U)*

**apply** (*rule surjI*[**where**  $f = \langle cblinfun\text{-}apply (U*) \rangle$ ])

**using** *assms* **unfolding** *unitary-def* **apply** *transfer*

**using** *comp-eq-dest-lhs* **by** *force*

```

lemma unitary-id[simp]: unitary id-cblinfun
  by (simp add: unitary-def)

lemma orthogonal-on-basis-is-isometry:
  assumes spanB:  $\langle \text{ccspan } B = \top \rangle$ 
  assumes orthoU:  $\langle \bigwedge b \ c. \ b \in B \implies c \in B \implies \text{cinner } (U *_{\mathcal{V}} b) (U *_{\mathcal{V}} c) = \text{cinner } b \ c \rangle$ 
  shows  $\langle \text{isometry } U \rangle$ 
proof -
  have [simp]:  $\langle b \in \text{closure } (\text{cspan } B) \rangle$  for  $b$ 
    using spanB by transfer simp
  have *:  $\langle \text{cinner } (U *_{\mathcal{V}} U *_{\mathcal{V}} \psi) \ \varphi = \text{cinner } \psi \ \varphi \rangle$  if  $\langle \psi \in B \rangle$  and  $\langle \varphi \in B \rangle$  for  $\psi$ 
     $\varphi$ 
    by (simp add: cinner-adj-left orthoU that(1) that(2))
  have *:  $\langle \text{cinner } (U *_{\mathcal{V}} U *_{\mathcal{V}} \psi) \ \varphi = \text{cinner } \psi \ \varphi \rangle$  if  $\langle \psi \in B \rangle$  for  $\psi$   $\varphi$ 
    apply (rule bounded-clinear-eq-on-closure[where  $t = \varphi$  and  $G = B$ ])
    using bounded-clinear-cinner-right *[OF that]
    by auto
  have  $\langle U *_{\mathcal{V}} U *_{\mathcal{V}} \varphi = \varphi \rangle$  if  $\langle \varphi \in B \rangle$  for  $\varphi$ 
    apply (rule cinner-extensionality)
    apply (subst cinner-eq-flip)
    by (simp add: * that)
  then have  $\langle U *_{\mathcal{O}_{CL}} U = \text{id-cblinfun} \rangle$ 
    by (metis cblinfun-apply-cblinfun-compose cblinfun-eq-gen-eqI cblinfun-id-cblinfun-apply spanB)
  then show  $\langle \text{isometry } U \rangle$ 
    using isometry-def by blast
qed

lemma isometry-preserves-norm:  $\langle \text{isometry } U \implies \text{norm } (U *_{\mathcal{V}} \psi) = \text{norm } \psi \rangle$ 
  by (metis (no-types, lifting) cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply cinner-adj-right cnorm-eq isometryD)

lemma norm-isometry-compose:
  assumes  $\langle \text{isometry } U \rangle$ 
  shows  $\langle \text{norm } (U \circ_{CL} A) = \text{norm } A \rangle$ 
proof -
  have *:  $\langle \text{norm } (U *_{\mathcal{V}} A *_{\mathcal{V}} \psi) = \text{norm } (A *_{\mathcal{V}} \psi) \rangle$  for  $\psi$ 
    by (smt (verit, ccfv-threshold) assms cblinfun-apply-cblinfun-compose cinner-adj-right cnorm-eq id-cblinfun-apply isometryD)

  have  $\langle \text{norm } (U \circ_{CL} A) = (\text{SUP } \psi. \text{norm } (U *_{\mathcal{V}} A *_{\mathcal{V}} \psi) / \text{norm } \psi) \rangle$ 
    unfolding norm-cblinfun-Sup by auto
  also have  $\langle \dots = (\text{SUP } \psi. \text{norm } (A *_{\mathcal{V}} \psi) / \text{norm } \psi) \rangle$ 
    using * by auto
  also have  $\langle \dots = \text{norm } A \rangle$ 
    unfolding norm-cblinfun-Sup by auto
  finally show ?thesis
    by simp

```

qed

**lemma** *norm-isometry*:

**fixes**  $U :: \langle 'a :: \{ \text{chilbert-space, not-singleton} \} \Rightarrow_{CL} 'b :: \text{complex-inner} \rangle$   
**assumes**  $\langle \text{isometry } U \rangle$   
**shows**  $\langle \text{norm } U = 1 \rangle$   
**apply** (*subst asm-rl*[of  $\langle U = U \circ_{CL} \text{id-cblinfun} \rangle$ ], *simp*)  
**apply** (*subst norm-isometry-compose*, *simp add: assms*)  
**by** *simp*

**lemma** *norm-preserving-isometry*:  $\langle \text{isometry } U \rangle$  **if**  $\langle \bigwedge \psi. \text{norm } (U *_V \psi) = \text{norm } \psi \rangle$

**by** (*smt* (*verit*, *ccfv-SIG*) *cblinfun-cinner-eqI cblinfun-id-cblinfun-apply cinner-adj-right cnorm-eq isometry-def simp-a-oCL-b'* that)

**lemma** *norm-isometry-compose'*:  $\langle \text{norm } (A \circ_{CL} U) = \text{norm } A \rangle$  **if**  $\langle \text{isometry } (U *) \rangle$

**by** (*smt* (*verit*) *cblinfun-compose-assoc cblinfun-compose-id-right double-adj isometryD mult-cancel-left2 norm-AadjA norm-cblinfun-compose norm-isometry-compose norm-zero power2-eq-square right-diff-distrib that zero-less-norm-iff*)

**lemma** *unitary-nonzero*[*simp*]:  $\langle \neg \text{unitary } (0 :: 'a :: \{ \text{chilbert-space, not-singleton} \}) \Rightarrow_{CL} - \rangle$

**by** (*simp add: unitary-def*)

**lemma** *isometry-inj*:

**assumes**  $\langle \text{isometry } U \rangle$   
**shows**  $\langle \text{inj-on } U \ X \rangle$   
**apply** (*rule inj-on-inverseI*[**where**  $g = \langle U * \rangle$ ])  
**using** *assms* **by** (*simp flip: cblinfun-apply-cblinfun-compose*)

**lemma** *unitary-inj*:

**assumes**  $\langle \text{unitary } U \rangle$   
**shows**  $\langle \text{inj-on } U \ X \rangle$   
**apply** (*rule isometry-inj*)  
**using** *assms* **by** *simp*

**lemma** *unitary-adj-inv*:  $\langle \text{unitary } U \implies \text{cblinfun-apply } (U *) = \text{inv } (\text{cblinfun-apply } U) \rangle$

**apply** (*rule inj-imp-inv-eq*[*symmetric*])  
**apply** (*simp add: unitary-inj*)  
**unfolding** *unitary-def*  
**by** (*simp flip: cblinfun-apply-cblinfun-compose*)

**lemma** *isometry-cinner-both-sides*:

**assumes**  $\langle \text{isometry } U \rangle$   
**shows**  $\langle \text{cinner } (U \ x) \ (U \ y) = \text{cinner } x \ y \rangle$   
**using** *assms* **by** (*simp add: flip: cinner-adj-right cblinfun-apply-cblinfun-compose*)

**lemma** *isometry-image-is-ortho-set*:

```

assumes  $\langle is-ortho-set\ A \rangle$ 
assumes  $\langle isometry\ U \rangle$ 
shows  $\langle is-ortho-set\ (U\ 'A) \rangle$ 
using assms apply (auto simp add: is-ortho-set-def isometry-cinner-both-sides)
by (metis cinner-eq-zero-iff isometry-cinner-both-sides)

```

### 13.7 Product spaces

```

lift-definition cblinfun-left ::  $\langle 'a::complex-normed-vector \Rightarrow_{CL} ('a \times 'b::complex-normed-vector) \rangle$ 
is  $\langle (\lambda x. (x, 0)) \rangle$ 
by (auto intro!: bounded-clinearI[where K=1])
lift-definition cblinfun-right ::  $\langle 'b::complex-normed-vector \Rightarrow_{CL} ('a::complex-normed-vector \times 'b) \rangle$ 
is  $\langle (\lambda x. (0, x)) \rangle$ 
by (auto intro!: bounded-clinearI[where K=1])

```

```

lemma isometry-cblinfun-left[simp]:  $\langle isometry\ cblinfun-left \rangle$ 
apply (rule orthogonal-on-basis-is-isometry[of some-chilbert-basis])
apply simp
by transfer simp

```

```

lemma isometry-cblinfun-right[simp]:  $\langle isometry\ cblinfun-right \rangle$ 
apply (rule orthogonal-on-basis-is-isometry[of some-chilbert-basis])
apply simp
by transfer simp

```

```

lemma cblinfun-left-right-ortho[simp]:  $\langle cblinfun-left *_{CL} cblinfun-right = 0 \rangle$ 
proof –
have  $\langle x \cdot_C ((cblinfun-left *_{CL} cblinfun-right) *_V y) = 0 \rangle$  for  $x :: 'b$  and  $y :: 'a$ 
apply (simp add: cinner-adj-right)
by transfer auto
then show ?thesis
by (metis cblinfun.zero-left cblinfun-eqI cinner-eq-zero-iff)
qed

```

```

lemma cblinfun-right-left-ortho[simp]:  $\langle cblinfun-right *_{CL} cblinfun-left = 0 \rangle$ 
proof –
have  $\langle x \cdot_C ((cblinfun-right *_{CL} cblinfun-left) *_V y) = 0 \rangle$  for  $x :: 'b$  and  $y :: 'a$ 
apply (simp add: cinner-adj-right)
by transfer auto
then show ?thesis
by (metis cblinfun.zero-left cblinfun-eqI cinner-eq-zero-iff)
qed

```

```

lemma cblinfun-left-apply[simp]:  $\langle cblinfun-left *_V \psi = (\psi, 0) \rangle$ 
by transfer simp

```

```

lemma cblinfun-left-adj-apply[simp]:  $\langle cblinfun-left *_V \psi = fst\ \psi \rangle$ 
apply (cases  $\psi$ )
by (auto intro!: cinner-extensionality[of <- *_V ->] simp: cinner-adj-right)

```

**lemma** *cblinfun-right-apply*[simp]:  $\langle \text{cblinfun-right } *_{\mathcal{V}} \psi = (0, \psi) \rangle$   
**by** *transfer simp*

**lemma** *cblinfun-right-adj-apply*[simp]:  $\langle \text{cblinfun-right} *_{\mathcal{V}} \psi = \text{snd } \psi \rangle$   
**apply** (*cases*  $\psi$ )  
**by** (*auto intro!*: *cinner-extensionality*[of  $\langle - *_{\mathcal{V}} - \rangle$ ] *simp: cinner-adj-right*)

**lift-definition** *ccsubspace-Times* ::  $\langle 'a::\text{complex-normed-vector } \text{ccsubspace} \Rightarrow 'b::\text{complex-normed-vector } \text{ccsubspace} \Rightarrow ('a \times 'b) \text{ ccsubspace} \rangle$  **is**  
 $\langle \lambda S T. S \times T \rangle$

**proof** –  
**fix**  $S :: \langle 'a \text{ set} \rangle$  **and**  $T :: \langle 'b \text{ set} \rangle$   
**assume** [simp]:  $\langle \text{closed-csubspace } S \rangle \langle \text{closed-csubspace } T \rangle$   
**have**  $\langle \text{csubspace } (S \times T) \rangle$   
**by** (*simp add: complex-vector.subspace-Times*)  
**moreover have**  $\langle \text{closed } (S \times T) \rangle$   
**by** (*simp add: closed-Times closed-csubspace.closed*)  
**ultimately show**  $\langle \text{closed-csubspace } (S \times T) \rangle$   
**by** (*rule closed-csubspace.intro*)  
**qed**

**lemma** *ccspan-Times*:  $\langle \text{ccspan } (S \times T) = \text{ccsubspace-Times } (\text{ccspan } S) (\text{ccspan } T) \rangle$  **if**  $\langle 0 \in S \rangle$  **and**  $\langle 0 \in T \rangle$   
**proof** (*transfer fixing: S T*)  
**from that have**  $\langle \text{closure } (\text{cspan } (S \times T)) = \text{closure } (\text{cspan } S \times \text{cspan } T) \rangle$   
**by** (*simp add: cspan-Times*)  
**also have**  $\langle \dots = \text{closure } (\text{cspan } S) \times \text{closure } (\text{cspan } T) \rangle$   
**using** *closure-Times* **by** *blast*  
**finally show**  $\langle \text{closure } (\text{cspan } (S \times T)) = \text{closure } (\text{cspan } S) \times \text{closure } (\text{cspan } T) \rangle$   
**by** –  
**qed**

**lemma** *ccspan-Times-sing1*:  $\langle \text{ccspan } (\{0::'a::\text{complex-normed-vector}\} \times B) = \text{ccsubspace-Times } 0 (\text{ccspan } B) \rangle$   
**proof** (*transfer fixing: B*)  
**have**  $\langle \text{closure } (\text{cspan } (\{0::'a\} \times B)) = \text{closure } (\{0\} \times \text{cspan } B) \rangle$   
**by** (*simp add: complex-vector.span-Times-sing1*)  
**also have**  $\langle \dots = \text{closure } \{0\} \times \text{closure } (\text{cspan } B) \rangle$   
**using** *closure-Times* **by** *blast*  
**also have**  $\langle \dots = \{0\} \times \text{closure } (\text{cspan } B) \rangle$   
**by** *simp*  
**finally show**  $\langle \text{closure } (\text{cspan } (\{0::'a\} \times B)) = \{0\} \times \text{closure } (\text{cspan } B) \rangle$   
**by** –  
**qed**

**lemma** *ccspan-Times-sing2*:  $\langle \text{ccspan } (B \times \{0::'a::\text{complex-normed-vector}\}) = \text{cc-$

*subspace-Times* (*ccspan* *B*) 0›

**proof** (*transfer fixing: B*)

**have** ⟨*closure* (*cspan* ( $B \times \{0::'a\}$ )) = *closure* (*cspan* *B*  $\times \{0\}$ )⟩

**by** (*simp add: complex-vector.span-Times-sing2*)

**also have** ⟨ $\dots = \text{closure } (\text{cspan } B) \times \text{closure } \{0\}$ ⟩

**using** *closure-Times by blast*

**also have** ⟨ $\dots = \text{closure } (\text{cspan } B) \times \{0\}$ ⟩

**by** *simp*

**finally show** ⟨*closure* (*cspan* ( $B \times \{0::'a\}$ )) = *closure* (*cspan* *B*)  $\times \{0\}$ ⟩

**by** –

**qed**

**lemma** *ccsubspace-Times-sup*: ⟨*sup* (*ccsubspace-Times* *A* *B*) (*ccsubspace-Times* *C* *D*) = *ccsubspace-Times* (*sup* *A* *C*) (*sup* *B* *D*)⟩

**proof** *transfer*

**fix** *A C* :: ⟨'a set⟩ **and** *B D* :: ⟨'b set⟩

**have** ⟨ $A \times B +_M C \times D = \text{closure } ((A \times B) + (C \times D))$ ⟩

**using** *closed-sum-def by blast*

**also have** ⟨ $\dots = \text{closure } ((A + C) \times (B + D))$ ⟩

**by** (*simp add: set-Times-plus-distrib*)

**also have** ⟨ $\dots = \text{closure } (A + C) \times \text{closure } (B + D)$ ⟩

**by** (*simp add: closure-Times*)

**also have** ⟨ $\dots = (A +_M C) \times (B +_M D)$ ⟩

**by** (*simp add: closed-sum-def*)

**finally show** ⟨ $A \times B +_M C \times D = (A +_M C) \times (B +_M D)$ ⟩

**by** –

**qed**

**lemma** *ccsubspace-Times-top-top*[*simp*]: ⟨*ccsubspace-Times* *top* *top* = *top*⟩

**by** *transfer simp*

**lemma** *is-ortho-set-prod*:

**assumes** ⟨*is-ortho-set* *B*⟩ ⟨*is-ortho-set* *B'*⟩

**shows** ⟨*is-ortho-set* ( $(B \times \{0\}) \cup (\{0\} \times B')$ )⟩

**using** *assms unfolding is-ortho-set-def*

**apply** (*auto simp: is-onb-def is-ortho-set-def zero-prod-def*)

**by** (*meson is-onb-def is-ortho-set-def*)+

**lemma** *ccsubspace-Times-ccspan*:

**assumes** ⟨*ccspan* *B* = *S*⟩ **and** ⟨*ccspan* *B'* = *S'*⟩

**shows** ⟨*ccspan* ( $(B \times \{0\}) \cup (\{0\} \times B')$ ) = *ccsubspace-Times* *S* *S'*⟩

**by** (*smt (z3) Diff-eq-empty-iff Sigma-cong assms(1) assms(2) ccspan.rep-eq cc-span-0 ccspan-Times-sing1 ccspan-Times-sing2 ccspan-of-empty ccspan-remove-0 ccspan-superset ccspan-union ccsubspace-Times-sup complex-vector.span-insert-0 space-as-set-bot sup-bot-left sup-bot-right*)

**lemma** *is-onb-prod*:

**assumes** ⟨*is-onb* *B*⟩ ⟨*is-onb* *B'*⟩

**shows** ⟨*is-onb* ( $(B \times \{0\}) \cup (\{0\} \times B')$ )⟩

**using** *assms* **by** (*auto intro!*: *is-ortho-set-prod simp add: is-onb-def ccspace-Times-ccspan*)

### 13.8 Images

The following definition defines the image of a closed subspace  $S$  under a bounded operator  $A$ . We do not define that image as the image of  $A$  seen as a function ( $A \text{ ' } S$ ) but as the topological closure of that image. This is because  $A \text{ ' } S$  might in general not be closed.

For example, if  $e_i$  ( $i \in \mathbb{N}$ ) form an orthonormal basis, and  $A$  maps  $e_i$  to  $e_i/i$ , then all  $e_i$  are in  $A \text{ ' } S$ , so the closure of  $A \text{ ' } S$  is the whole space. However,  $\sum_i e_i/i$  is not in  $A \text{ ' } S$  because its preimage would have to be  $\sum_i e_i$  which does not converge. So  $A \text{ ' } S$  does not contain the whole space, hence it is not closed.

**lift-definition** *cblinfun-image* ::  $\langle 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector} \Rightarrow 'a \text{ ccspace} \Rightarrow 'b \text{ ccspace} \rangle$  (**infixr**  $\langle *_S \rangle$  70)  
**is**  $\lambda A S. \text{closure } (A \text{ ' } S)$   
**using** *bounded-clinear-def closed-closure closed-cspace.intro*  
**by** (*simp add: bounded-clinear-def complex-vector.linear-subspace-image closure-is-closed-cspace*)

**lemma** *cblinfun-image-mono*:  
**assumes**  $a1: S \leq T$   
**shows**  $A *_S S \leq A *_S T$   
**using** *a1*  
**by** (*simp add: cblinfun-image.rep-eq closure-mono image-mono less-eq-ccspace.rep-eq*)

**lemma** *cblinfun-image-0*[*simp*]:  
**shows**  $U *_S 0 = 0$   
**thm** *zero-ccspace-def*  
**by** *transfer (simp add: bounded-clinear-def complex-vector.linear-0)*

**lemma** *cblinfun-image-bot*[*simp*]:  $U *_S \text{bot} = \text{bot}$   
**using** *cblinfun-image-0* **by** *auto*

**lemma** *cblinfun-image-sup*[*simp*]:  
**fixes**  $A B :: \langle 'a::\text{hilbert-space ccspace} \rangle$  **and**  $U :: 'a \Rightarrow_{CL} 'b::\text{hilbert-space}$   
**shows**  $\langle U *_S (\text{sup } A B) = \text{sup } (U *_S A) (U *_S B) \rangle$   
**apply** *transfer using bounded-clinear.bounded-linear closure-image-closed-sum*  
**by** *blast*

**lemma** *scaleC-cblinfun-image*[*simp*]:  
**fixes**  $A :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$   
**and**  $S :: \langle 'a \text{ ccspace} \rangle$  **and**  $\alpha :: \text{complex}$   
**shows**  $\langle (\alpha *_C A) *_S S = \alpha *_C (A *_S S) \rangle$

**proof**–

**have**  $\langle \text{closure } ( ( (*_C) \alpha ) \circ (\text{cblinfun-apply } A) ) \text{ ' } \text{space-as-set } S \rangle =$   
 $\langle (*_C) \alpha \text{ ' } (\text{closure } (\text{cblinfun-apply } A \text{ ' } \text{space-as-set } S)) \rangle$   
**by** (*metis closure-scaleC image-comp*)  
**hence**  $\langle (\text{closure } (\text{cblinfun-apply } (\alpha *_C A) \text{ ' } \text{space-as-set } S)) =$

```

  ((*_C) α) ‘ (closure (cblinfun-apply A ‘ space-as-set S))›
  by (metis (mono-tags, lifting) comp-apply image-cong scaleC-cblinfun.rep-eq)
hence ⟨Abs-ccsubspace (closure (cblinfun-apply (α *_C A) ‘ space-as-set S)) =
      α *_C Abs-ccsubspace (closure (cblinfun-apply A ‘ space-as-set S))⟩
  by (metis space-as-set-inverse cblinfun-image.rep-eq scaleC-ccsubspace.rep-eq)
have x1: Abs-ccsubspace (closure ((*_V) (α *_C A) ‘ space-as-set S)) =
      α *_C Abs-ccsubspace (closure ((*_V) A ‘ space-as-set S))
  using ⟨Abs-ccsubspace (closure (cblinfun-apply (α *_C A) ‘ space-as-set S)) =
      α *_C Abs-ccsubspace (closure (cblinfun-apply A ‘ space-as-set S))⟩
  by blast
show ?thesis
  unfolding cblinfun-image-def using x1 by force
qed

```

```

lemma cblinfun-image-id[simp]:
  id-cblinfun *_S ψ = ψ
  by transfer (simp add: closed-csubspace.closed)

```

```

lemma cblinfun-compose-image:
  ⟨(A o_{C_L} B) *_S S = A *_S (B *_S S)⟩
  apply transfer unfolding image-comp[symmetric]
  apply (rule closure-bounded-linear-image-subset-eq[symmetric])
  by (simp add: bounded-clinear.bounded-linear)

```

```

lemmas cblinfun-assoc-left = cblinfun-compose-assoc[symmetric] cblinfun-compose-image[symmetric]
  add.assoc[where ?'a='a::hilbert-space ⇒_{C_L} 'b::hilbert-space, symmetric]
lemmas cblinfun-assoc-right = cblinfun-compose-assoc cblinfun-compose-image
  add.assoc[where ?'a='a::hilbert-space ⇒_{C_L} 'b::hilbert-space]

```

```

lemma cblinfun-image-INF-leq[simp]:
  fixes U :: 'b::complex-normed-vector ⇒_{C_L} 'c::complex-normed-vector
  and V :: 'a ⇒ 'b csubspace
  shows ⟨U *_S (INF i∈X. V i) ≤ (INF i∈X. U *_S (V i))⟩
  apply transfer
  by (simp add: INT-greatest Inter-lower closure-mono image-mono)

```

```

lemma isometry-cblinfun-image-inf-distrib':
  fixes U :: 'a::complex-normed-vector ⇒_{C_L} 'b::cbanach and B C :: 'a csubspace
  shows U *_S (inf B C) ≤ inf (U *_S B) (U *_S C)
proof -
  define V where ⟨V b = (if b then B else C)⟩ for b
  have ⟨U *_S (INF i. V i) ≤ (INF i. U *_S (V i))⟩
    by auto
  then show ?thesis
    unfolding V-def
    by (metis (mono-tags, lifting) INF-UNIV-bool-expand)
qed

```

```

lemma cblinfun-image-eq:

```

```

fixes  $S :: 'a::cbanach\ ccspace$ 
  and  $A\ B :: 'a::cbanach \Rightarrow_{CL} 'b::cbanach$ 
assumes  $\bigwedge x. x \in G \implies A *_V x = B *_V x$  and  $ccspan\ G \geq S$ 
shows  $A *_S S = B *_S S$ 
proof (use assms in transfer)
  fix  $G :: 'a\ set$  and  $A :: 'a \Rightarrow 'b$  and  $B :: 'a \Rightarrow 'b$  and  $S :: 'a\ set$ 
  assume  $a1$ : bounded-clinear  $A$ 
  assume  $a2$ : bounded-clinear  $B$ 
  assume  $a3$ :  $\bigwedge x. x \in G \implies A\ x = B\ x$ 
  assume  $a4$ :  $S \subseteq closure\ (cspan\ G)$ 

  have  $A\ 'closure\ S = B\ 'closure\ S$ 
    by (smt (verit, best) UnCI a1 a2 a3 a4 bounded-clinear-eq-on-closure closure-Un
closure-closure image-cong sup.absorb-iff1)
    then show  $closure\ (A\ 'S) = closure\ (B\ 'S)$ 
      by (metis bounded-clinear.bounded-linear a1 a2 closure-bounded-linear-image-subset-eq)
qed

lemma cblinfun-fixes-range:
  assumes  $A\ o_{CL}\ B = B$  and  $\psi \in space-as-set\ (B *_S top)$ 
  shows  $A *_V \psi = \psi$ 
proof–
  define  $rangeB\ rangeB'$  where  $rangeB = space-as-set\ (B *_S top)$ 
    and  $rangeB' = range\ (cblinfun-apply\ B)$ 
  from assms have  $\psi \in closure\ rangeB'$ 
    by (simp add: cblinfun-image.rep-eq rangeB'-def top-ccspace.rep-eq)

  then obtain  $\psi i$  where  $\psi i\text{-lim}: \psi i \longrightarrow \psi$  and  $\psi i\text{-B}: \psi i\ i \in rangeB'$  for  $i$ 
    using closure-sequential by blast
  have  $A\text{-invariant}: A *_V \psi i\ i = \psi i\ i$ 
    for  $i$ 
  proof–
    from  $\psi i\text{-B}$  obtain  $\varphi$  where  $\varphi: \psi i\ i = B *_V \varphi$ 
      using  $rangeB'\text{-def}$  by blast
    hence  $A *_V \psi i\ i = (A\ o_{CL}\ B) *_V \varphi$ 
      by (simp add: cblinfun-compose.rep-eq)
    also have  $\dots = B *_V \varphi$ 
      by (simp add: assms)
    also have  $\dots = \psi i\ i$ 
      by (simp add: \varphi)
    finally show ?thesis.
  qed
  from  $\psi i\text{-lim}$  have  $(\lambda i. A *_V (\psi i\ i)) \longrightarrow A *_V \psi$ 
    by (rule isCont-tendsto-compose[rotated], simp)
  with  $A\text{-invariant}$  have  $(\lambda i. \psi i\ i) \longrightarrow A *_V \psi$ 
    by auto
  with  $\psi i\text{-lim}$  show  $A *_V \psi = \psi$ 
    using LIMSEQ-unique by blast
qed

```

**lemma** *zero-cblinfun-image[simp]*:  $0 *_S S = (0::\text{ccsubspace})$   
**by** *transfer (simp add: complex-vector.subspace-0 image-constant[where x=0])*

**lemma** *cblinfun-image-INF-eq-general*:  
**fixes**  $V :: 'a \Rightarrow 'b::\text{hilbert-space ccsubspace}$   
**and**  $U :: 'b \Rightarrow_{CL} 'c::\text{hilbert-space}$   
**and**  $Uinv :: 'c \Rightarrow_{CL} 'b$   
**assumes**  $Uinv U Uinv$ :  $Uinv \circ_{CL} U \circ_{CL} Uinv = Uinv$  **and**  $U Uinv U$ :  $U \circ_{CL} Uinv$   
 $\circ_{CL} U = U$   
— Meaning:  $Uinv$  is a Pseudoinverse of  $U$   
**and**  $V$ :  $\bigwedge i. V\ i \leq Uinv *_S top$   
**and**  $\langle X \neq \{\} \rangle$   
**shows**  $U *_S (\text{INF } i \in X. V\ i) = (\text{INF } i \in X. U *_S V\ i)$   
**proof** (*rule antisym*)  
**show**  $U *_S (\text{INF } i \in X. V\ i) \leq (\text{INF } i \in X. U *_S V\ i)$   
**by** (*rule cblinfun-image-INF-leq*)  
**next**  
**define**  $rangeU\ rangeUinv$  **where**  $rangeU = U *_S top$  **and**  $rangeUinv = Uinv *_S top$   
**define**  $INFUV\ INFV$  **where**  $INFUV\text{-def}$ :  $INFUV = (\text{INF } i \in X. U *_S V\ i)$  **and**  
 $INFV\text{-def}$ :  $INFV = (\text{INF } i \in X. V\ i)$   
**from** *assms* **have**  $V\ i \leq rangeUinv$   
**for**  $i$   
**unfolding**  $rangeUinv\text{-def}$  **by** *simp*  
**moreover** **have**  $(Uinv \circ_{CL} U) *_V \psi = \psi$  **if**  $\psi \in \text{space-as-set } rangeUinv$   
**for**  $\psi$   
**using**  $Uinv U Uinv$  *cblinfun-fixes-range rangeUinv-def* **that** **by** *fastforce*  
**ultimately** **have**  $(Uinv \circ_{CL} U) *_V \psi = \psi$  **if**  $\psi \in \text{space-as-set } (V\ i)$   
**for**  $\psi\ i$   
**using** *less-eq-ccsubspace.rep-eq* **that** **by** *blast*  
**hence**  $d1$ :  $(Uinv \circ_{CL} U) *_S (V\ i) = (V\ i)$  **for**  $i$   
**proof** (*transfer fixing: i*)  
**fix**  $V :: 'a \Rightarrow 'b\ \text{set}$   
**and**  $Uinv :: 'c \Rightarrow 'b$   
**and**  $U :: 'b \Rightarrow 'c$   
**assume**  $pred\text{-fun } \top$  *closed-csubspace*  $V$   
**and** *bounded-clinear*  $Uinv$   
**and** *bounded-clinear*  $U$   
**and**  $\bigwedge \psi\ i. \psi \in V\ i \implies (Uinv \circ U)\ \psi = \psi$   
**then** **show**  $\text{closure } ((Uinv \circ U)\ ` V\ i) = V\ i$   
**proof** *auto*  
**fix**  $x$   
**from**  $\langle pred\text{-fun } \top$  *closed-csubspace*  $V \rangle$   
**show**  $x \in V\ i$   
**if**  $x \in \text{closure } (V\ i)$   
**using** *that* **apply** *simp*  
**by** (*metis orthogonal-complement-of-closure closed-csubspace.subspace double-orthogonal-complement-id closure-is-closed-csubspace*)

```

with  $\langle \text{pred-fun} \top \text{ closed-csubspace } V \rangle$ 
show  $x \in \text{closure } (V \ i)$ 
  if  $x \in V \ i$ 
  using that
  using setdist-eq-0-sing-1 setdist-sing-in-set
  by blast
qed
qed
have  $U *_S V \ i \leq \text{range } U$  for  $i$ 
  by (simp add: cblinfun-image-mono rangeU-def)
hence  $\text{INFUV} \leq \text{range } U$ 
  unfolding INFUV-def using  $\langle X \neq \{\} \rangle$ 
  by (metis INF-eq-const INF-lower2)
moreover have  $(U \circ_{CL} \text{Uinv}) *_V \psi = \psi$  if  $\psi \in \text{space-as-set range } U$  for  $\psi$ 
  using UUinvU cblinfun-fixes-range rangeU-def that by fastforce
ultimately have  $x: (U \circ_{CL} \text{Uinv}) *_V \psi = \psi$  if  $\psi \in \text{space-as-set INFUV}$  for  $\psi$ 
  by (simp add: in-mono less-eq-ccsubspace.rep-eq that)

have  $\text{closure } ((U \circ \text{Uinv}) ' \text{INFUV}) = \text{INFUV}$ 
  if closed-csubspace INFUV
  and bounded-clinear U
  and bounded-clinear Uinv
  and  $\bigwedge \psi. \psi \in \text{INFUV} \implies (U \circ \text{Uinv}) \psi = \psi$ 
  for  $\text{INFUV} :: 'c \text{ set}$ 
  using that
proof auto
  fix  $x$ 
  show  $x \in \text{INFUV}$  if  $x \in \text{closure } \text{INFUV}$ 
  using that  $\langle \text{closed-csubspace } \text{INFUV} \rangle$ 
  by (metis orthogonal-complement-of-closure closed-csubspace.subspace double-orthogonal-complement-id closure-is-closed-csubspace)
  show  $x \in \text{closure } \text{INFUV}$ 
  if  $x \in \text{INFUV}$ 
  using that  $\langle \text{closed-csubspace } \text{INFUV} \rangle$ 
  using setdist-eq-0-sing-1 setdist-sing-in-set
  by (simp add: closed-csubspace.closed)
qed
hence  $(U \circ_{CL} \text{Uinv}) *_S \text{INFUV} = \text{INFUV}$ 
  by (metis (mono-tags, opaque-lifting) x cblinfun-image.rep-eq cblinfun-image-id id-cblinfun-apply image-cong space-as-set-inject)
hence  $\text{INFUV} = U *_S \text{Uinv} *_S \text{INFUV}$ 
  by (simp add: cblinfun-compose-image)
also have  $\dots \leq U *_S (\text{INF } i \in X. \text{Uinv} *_S U *_S V \ i)$ 
  unfolding INFUV-def
  by (metis cblinfun-image-mono cblinfun-image-INF-leq)
also have  $\dots = U *_S \text{INFV}$ 
  using d1
  by (metis (no-types, lifting) INFV-def cblinfun-assoc-left(2) image-cong)

```

finally show  $INFUV \leq U *_S INFV$ .  
qed

lemma unitary-range[simp]:  
 assumes unitary  $U$   
 shows  $U *_S top = top$   
 using assms unfolding unitary-def by transfer (metis closure-UNIV comp-apply surj-def)

lemma range-adjoint-isometry:  
 assumes isometry  $U$   
 shows  $U^* *_S top = top$   
proof –  
 from assms have  $top = U^* *_S U *_S top$   
 by (simp add: cblinfun-assoc-left(2))  
 also have  $\dots \leq U^* *_S top$   
 by (simp add: cblinfun-image-mono)  
 finally show ?thesis  
 using top.extremum-unique by blast  
qed

lemma cblinfun-image-INF-eq[simp]:  
 fixes  $V :: 'a \Rightarrow 'b::\text{hilbert-space cccsubspace}$   
 and  $U :: 'b \Rightarrow_{CL} 'c::\text{hilbert-space}$   
 assumes  $\langle isometry\ U \rangle \langle X \neq \{\} \rangle$   
 shows  $U *_S (INF\ i \in X.\ V\ i) = (INF\ i \in X.\ U *_S V\ i)$   
proof –  
 from  $\langle isometry\ U \rangle$  have  $U^* o_{CL} U o_{CL} U^* = U^*$   
 unfolding isometry-def by simp  
 moreover from  $\langle isometry\ U \rangle$  have  $U o_{CL} U^* o_{CL} U = U$   
 unfolding isometry-def  
 by (simp add: cblinfun-compose-assoc)  
 moreover have  $V\ i \leq U^* *_S top$  for  $i$   
 by (simp add: range-adjoint-isometry assms)  
 ultimately show ?thesis  
 using  $\langle X \neq \{\} \rangle$  by (rule cblinfun-image-INF-eq-general)  
qed

lemma isometry-cblinfun-image-inf-distrib[simp]:  
 fixes  $U :: \langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$   
 and  $X\ Y :: 'a\ \text{ccsubspace}$   
 assumes isometry  $U$   
 shows  $U *_S (inf\ X\ Y) = inf\ (U *_S X)\ (U *_S Y)$   
 using cblinfun-image-INF-eq[where  $V = \lambda b. \text{if } b \text{ then } X \text{ else } Y$  and  $U = U$  and  $X = UNIV$ ]  
 unfolding INF-UNIV-bool-expand  
 using assms by auto

lemma cblinfun-image-ccspan:

**shows**  $A *_S \text{ccspan } G = \text{ccspan } ((*_V) A \text{ ' } G)$   
**by** *transfer (simp add: bounded-clinear.bounded-linear bounded-clinear-def closure-bounded-linear-image-subset-eq complex-vector.linear-span-image)*

**lemma** *cblinfun-apply-in-image[simp]*:  $A *_V \psi \in \text{space-as-set } (A *_S \top)$   
**by** *(metis cblinfun-image.rep-eq closure-subset in-mono range-eqI top-ccsubspace.rep-eq)*

**lemma** *cblinfun-plus-image-distr*:  
 $\langle (A + B) *_S S \leq A *_S S \sqcup B *_S S \rangle$   
**by** *transfer (smt (verit, ccfv-threshold) closed-closure closed-sum-def closure-minimal closure-subset image-subset-iff set-plus-intro subset-eq)*

**lemma** *cblinfun-sum-image-distr*:  
 $\langle (\sum_{i \in I}. A \ i) *_S S \leq (\text{SUP } i \in I. A \ i *_S S) \rangle$   
**proof** *(cases (finite I))*  
**case** *True*  
**then show** *?thesis*  
**proof** *induction*  
**case** *empty*  
**then show** *?case*  
**by** *auto*  
**next**  
**case** *(insert x F)*  
**then show** *?case*  
**by** *auto (smt (z3) cblinfun-plus-image-distr inf-sup-aci(6) le-iff-sup)*  
**qed**  
**next**  
**case** *False*  
**then show** *?thesis*  
**by** *auto*  
**qed**

**lemma** *space-as-set-image-commute*:  
**assumes**  $UV: \langle U \circ_{CL} V = \text{id-cblinfun} \rangle$  **and**  $VU: \langle V \circ_{CL} U = \text{id-cblinfun} \rangle$

**shows**  $\langle (*_V) U \text{ ' } \text{space-as-set } T = \text{space-as-set } (U *_S T) \rangle$   
**proof** *–*  
**have**  $\langle \text{space-as-set } (U *_S T) = U \text{ ' } V \text{ ' } \text{space-as-set } (U *_S T) \rangle$   
**by** *(simp add: image-image UV flip: cblinfun-apply-cblinfun-compose)*  
**also have**  $\langle \dots \subseteq U \text{ ' } \text{space-as-set } (V *_S U *_S T) \rangle$   
**by** *(metis cblinfun-image.rep-eq closure-subset image-mono)*  
**also have**  $\langle \dots = U \text{ ' } \text{space-as-set } T \rangle$   
**by** *(simp add: VU cblinfun-assoc-left(2))*  
**finally have**  $1: \langle \text{space-as-set } (U *_S T) \subseteq U \text{ ' } \text{space-as-set } T \rangle$   
**by** *–*  
**have**  $2: \langle U \text{ ' } \text{space-as-set } T \subseteq \text{space-as-set } (U *_S T) \rangle$   
**by** *(simp add: cblinfun-image.rep-eq closure-subset)*  
**from**  $1 \ 2$  **show** *?thesis*

by simp  
qed

**lemma** *right-total-rel-ccsubspace*:

fixes  $R :: \langle 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{complex-normed-vector} \Rightarrow \text{bool} \rangle$   
 assumes  $UV: \langle U \circ_{CL} V = \text{id-cblinfun} \rangle$   
 assumes  $VU: \langle V \circ_{CL} U = \text{id-cblinfun} \rangle$   
 assumes  $R\text{-def}: \langle \bigwedge x y. R x y \longleftrightarrow x = U *_V y \rangle$   
 shows  $\langle \text{right-total } (\text{rel-ccsubspace } R) \rangle$   
**proof** (rule *right-totalI*)  
 fix  $T :: \langle 'b \text{ ccsubspace} \rangle$   
 show  $\langle \exists S. \text{rel-ccsubspace } R S T \rangle$   
 apply (rule *exI*[of -  $\langle U *_S T \rangle$ ])  
 using  $UV VU$  by (auto simp add: *rel-ccsubspace-def*  $R\text{-def}$  *rel-set-def* *simp flip*:  
*space-as-set-image-commute*)  
 qed

**lemma** *left-total-rel-ccsubspace*:

fixes  $R :: \langle 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{complex-normed-vector} \Rightarrow \text{bool} \rangle$   
 assumes  $UV: \langle U \circ_{CL} V = \text{id-cblinfun} \rangle$   
 assumes  $VU: \langle V \circ_{CL} U = \text{id-cblinfun} \rangle$   
 assumes  $R\text{-def}: \langle \bigwedge x y. R x y \longleftrightarrow y = U *_V x \rangle$   
 shows  $\langle \text{left-total } (\text{rel-ccsubspace } R) \rangle$   
**proof** –  
 have  $\langle \text{right-total } (\text{rel-ccsubspace } (\text{conversep } R)) \rangle$   
 apply (rule *right-total-rel-ccsubspace*)  
 using *assms* by auto  
 then show ?thesis  
 by (auto intro!: *right-total-conversep*[*THEN* *iffD1*] *simp*: *converse-rel-ccsubspace*)  
 qed

**lemma** *cblinfun-image-bot-zero*[*simp*]:  $\langle A *_S \text{top} = \text{bot} \longleftrightarrow A = 0 \rangle$

by (metis *Complex-Bounded-Linear-Function.zero-cblinfun-image bot-ccsubspace.rep-eq*  
*cblinfun-apply-in-image cblinfun-eqI empty-iff insert-iff zero-ccsubspace-def*)

**lemma** *surj-isometry-is-unitary*:

— This lemma is a bit stronger than its name suggests: We actually only require that the image of  $U$  is dense.

The converse is *unitary-surj*

fixes  $U :: \langle 'a::\text{chilbert-space} \Rightarrow_{CL} 'b::\text{chilbert-space} \rangle$   
 assumes  $\langle \text{isometry } U \rangle$   
 assumes  $\langle U *_S \top = \top \rangle$   
 shows  $\langle \text{unitary } U \rangle$   
 by (metis *UNIV-I* *assms*(1) *assms*(2) *cblinfun-assoc-left*(1) *cblinfun-compose-id-right*  
*cblinfun-eqI cblinfun-fixes-range id-cblinfun-apply isometry-def space-as-set-top unitary-def*)

**lemma** *cblinfun-apply-in-image'*:  $A *_V \psi \in \text{space-as-set } (A *_S S)$  if  $\langle \psi \in \text{space-as-set } S \rangle$

by (metis cblinfun-image.rep-eq closure-subset image-subset-iff that)

**lemma** cblinfun-image-ccspan-leqI:

assumes  $\langle \bigwedge v. v \in M \implies A *_V v \in \text{space-as-set } T \rangle$

shows  $\langle A *_S \text{ccspan } M \leq T \rangle$

by (simp add: assms cblinfun-image-ccspan ccspan-leqI image-subsetI)

**lemma** cblinfun-same-on-image:  $\langle A \psi = B \psi \rangle$  if eq:  $\langle A \circ_{CL} C = B \circ_{CL} C \rangle$  and

mem:  $\langle \psi \in \text{space-as-set } (C *_S \top) \rangle$

**proof** –

have  $\langle A \psi = B \psi \rangle$  if  $\langle \psi \in \text{range } C \rangle$  for  $\psi$

by (metis (no-types, lifting) eq cblinfun-apply-cblinfun-compose image-iff that)

moreover have  $\langle \psi \in \text{closure } (\text{range } C) \rangle$

by (metis cblinfun-image.rep-eq mem top-ccsubspace.rep-eq)

ultimately show ?thesis

apply (rule on-closure-eqI)

by (auto simp: continuous-on-eq-continuous-at)

qed

**lemma** lift-cblinfun-comp:

— Utility lemma to lift a lemma of the form  $a \circ_{CL} b = c$  to become a more general rewrite rule.

assumes  $\langle a \circ_{CL} b = c \rangle$

shows  $\langle a \circ_{CL} b = c \rangle$

and  $\langle a \circ_{CL} (b \circ_{CL} d) = c \circ_{CL} d \rangle$

and  $\langle a *_S (b *_S S) = c *_S S \rangle$

and  $\langle a *_V (b *_V x) = c *_V x \rangle$

apply (fact assms)

apply (simp add: assms cblinfun-assoc-left(1))

using assms cblinfun-assoc-left(2) apply force

using assms by force

**lemma** cblinfun-image-def2:  $\langle A *_S S = \text{ccspan } ((*_V) A \text{ ‘ space-as-set } S) \rangle$

apply (simp add: flip: cblinfun-image-ccspan)

by (metis ccspan-leqI ccspan-superset less-eq-ccsubspace.rep-eq order-class.order-eq-iff)

**lemma** unitary-image-onb:

— Logically belongs in an earlier section but the proof uses results from this section.

assumes  $\langle \text{is-onb } A \rangle$

assumes  $\langle \text{unitary } U \rangle$

shows  $\langle \text{is-onb } (U \text{ ‘ } A) \rangle$

using assms

by (auto simp add: is-onb-def isometry-image-is-ortho-set isometry-preserves-norm

simp flip: cblinfun-image-ccspan)

### 13.9 Sandwiches

**lift-definition** *sandwich* ::  $\langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{complex-inner} \rangle \Rightarrow (( 'a \Rightarrow_{CL} 'a) \Rightarrow_{CL} ( 'b \Rightarrow_{CL} 'b))$  is

$\langle \lambda(A :: 'a \Rightarrow_{CL} 'b) B. A \circ_{CL} B \circ_{CL} A^* \rangle$

**proof**

**fix**  $A :: \langle 'a \Rightarrow_{CL} 'b \rangle$  **and**  $B B1 B2 :: \langle 'a \Rightarrow_{CL} 'a \rangle$  **and**  $c :: \text{complex}$

**show**  $\langle A \circ_{CL} (B1 + B2) \circ_{CL} A^* = (A \circ_{CL} B1 \circ_{CL} A^*) + (A \circ_{CL} B2 \circ_{CL} A^*) \rangle$

**by** (*simp add: cblinfun-compose-add-left cblinfun-compose-add-right*)

**show**  $\langle A \circ_{CL} (c *_{CL} B) \circ_{CL} A^* = c *_{CL} (A \circ_{CL} B \circ_{CL} A^*) \rangle$

**by** *auto*

**show**  $\langle \exists K. \forall B. \text{norm} (A \circ_{CL} B \circ_{CL} A^*) \leq \text{norm} B * K \rangle$

**proof** (*rule exI[of -  $\langle \text{norm} A * \text{norm} (A^*) \rangle$ ], rule allI*)

**fix**  $B$

**have**  $\langle \text{norm} (A \circ_{CL} B \circ_{CL} A^*) \leq \text{norm} (A \circ_{CL} B) * \text{norm} (A^*) \rangle$

**using** *norm-cblinfun-compose* **by** *blast*

**also have**  $\langle \dots \leq (\text{norm} A * \text{norm} B) * \text{norm} (A^*) \rangle$

**by** (*simp add: mult-right-mono norm-cblinfun-compose*)

**finally show**  $\langle \text{norm} (A \circ_{CL} B \circ_{CL} A^*) \leq \text{norm} B * (\text{norm} A * \text{norm} (A^*)) \rangle$

**by** (*simp add: mult.assoc vector-space-over-itself.scale-left-commute*)

**qed**

**qed**

**lemma** *sandwich-0[simp]*:  $\langle \text{sandwich } 0 = 0 \rangle$

**by** (*simp add: cblinfun-eqI sandwich.rep-eq*)

**lemma** *sandwich-apply*:  $\langle \text{sandwich } A *_V B = A \circ_{CL} B \circ_{CL} A^* \rangle$

**apply** (*transfer fixing: A B*) **by** *auto*

**lemma** *sandwich-arg-compose*:

**assumes**  $\langle \text{isometry } U \rangle$

**shows**  $\langle \text{sandwich } U x \circ_{CL} \text{sandwich } U y = \text{sandwich } U (x \circ_{CL} y) \rangle$

**apply** (*simp add: sandwich-apply*)

**by** (*metis (no-types, lifting) lift-cblinfun-comp(2) assms cblinfun-compose-id-right isometryD*)

**lemma** *norm-sandwich*:  $\langle \text{norm} (\text{sandwich } A) = (\text{norm } A)^2 \rangle$  **for**  $A :: \langle 'a :: \{ \text{chilbert-space} \} \Rightarrow_{CL} 'b :: \{ \text{complex-inner} \} \rangle$

**proof** –

**have** *main*:  $\langle \text{norm} (\text{sandwich } A) = (\text{norm } A)^2 \rangle$  **for**  $A :: \langle 'c :: \{ \text{chilbert-space}, \text{not-singleton} \} \Rightarrow_{CL} 'd :: \{ \text{complex-inner} \} \rangle$

**proof** (*rule norm-cblinfun-eqI*)

**show**  $\langle (\text{norm } A)^2 \leq \text{norm} (\text{sandwich } A *_V \text{id-cblinfun}) / \text{norm} (\text{id-cblinfun} :: 'c \Rightarrow_{CL} -) \rangle$

**apply** (*auto simp: sandwich-apply*)

**by** –

**fix**  $B$

**have**  $\langle \text{norm} (\text{sandwich } A *_V B) \leq \text{norm} (A \circ_{CL} B) * \text{norm} (A^*) \rangle$

**using** *norm-cblinfun-compose* **by** (*auto simp: sandwich-apply simp del: norm-adj*)

```

    also have  $\langle \dots \leq (\text{norm } A * \text{norm } B) * \text{norm } (A*) \rangle$ 
      by (simp add: mult-right-mono norm-cblinfun-compose)
    also have  $\langle \dots \leq (\text{norm } A)^2 * \text{norm } B \rangle$ 
      by (simp add: power2-eq-square mult.assoc vector-space-over-itself.scale-left-commute)
    finally show  $\langle \text{norm } (\text{sandwich } A *_V B) \leq (\text{norm } A)^2 * \text{norm } B \rangle$ 
      by -
    show  $\langle 0 \leq (\text{norm } A)^2 \rangle$ 
      by auto
  qed

show ?thesis
proof (cases  $\langle \text{class.not-singleton } \text{TYPE}('a) \rangle$ )
  case True
  show ?thesis
    apply (rule main[internalize-sort' 'c2])
    apply standard[1]
    using True by simp
next
  case False
  have  $\langle A = 0 \rangle$ 
    apply (rule cblinfun-from-CARD-1-0[internalize-sort' 'a])
    apply (rule not-singleton-vs-CARD-1)
    apply (rule False)
    by standard
  then show ?thesis
    by simp
qed
qed

lemma sandwich-apply-adj:  $\langle \text{sandwich } A (B*) = (\text{sandwich } A B)* \rangle$ 
  by (simp add: cblinfun-assoc-left(1) sandwich-apply)

lemma sandwich-id[simp]:  $\text{sandwich id-cblinfun} = \text{id-cblinfun}$ 
  apply (rule cblinfun-eqI)
  by (auto simp: sandwich-apply)

lemma sandwich-compose:  $\langle \text{sandwich } (A \circ_{CL} B) = \text{sandwich } A \circ_{CL} \text{sandwich } B \rangle$ 
  by (auto intro!: cblinfun-eqI simp: sandwich-apply)

lemma sandwich-scaleC-left:  $\langle \text{sandwich } (c *_C e) = (c \text{mod } c)^{\wedge} 2 *_C \text{sandwich } e \rangle$ 
  by (auto intro!: cblinfun-eqI simp: sandwich-apply cnj-x-x abs-complex-def)

lemma sandwich-scaleR-left:  $\langle \text{sandwich } (r *_R e) = r^{\wedge} 2 *_R \text{sandwich } e \rangle$ 
  by (simp add: scaleR-scaleC sandwich-scaleC-left flip: of-real-power)

lemma inj-sandwich-isometry:  $\langle \text{inj } (\text{sandwich } U) \rangle$  if [simp]:  $\langle \text{isometry } U \rangle$  for  $U$ 
::  $\langle 'a::\text{hilbert-space} \Rightarrow_{CL} 'b::\text{hilbert-space} \rangle$ 
  apply (rule inj-on-inverseI[where  $g = \langle (*_V) (\text{sandwich } (U*)) \rangle$ ])
  by (auto simp flip: cblinfun-apply-cblinfun-compose sandwich-compose)

```

**lemma** *sandwich-isometry-id*:  $\langle \text{isometry } (U*) \implies \text{sandwich } U \text{ id-cblinfun} = \text{id-cblinfun} \rangle$   
**by** (*simp add: sandwich-apply isometry-def*)

### 13.10 Projectors

**lift-definition** *Proj* ::  $(\text{'a}::\text{chilbert-space}) \text{ ccspace} \Rightarrow \text{'a} \Rightarrow_{CL} \text{'a}$   
**is**  $\langle \text{projection} \rangle$   
**by** (*rule projection-bounded-clinear*)

**lemma** *Proj-range[simp]*:  $\text{Proj } S *_S \text{ top} = S$   
**proof** *transfer*  
**fix**  $S :: \langle \text{'a set} \rangle$  **assume**  $\langle \text{closed-cspace } S \rangle$   
**then have**  $\text{closure } (\text{range } (\text{projection } S)) \subseteq S$   
**by** (*metis closed-cspace.closed closed-cspace.subspace closure-closed complex-vector.subspace-0 cspace-is-convex dual-order.eq-iff insert-absorb insert-not-empty projection-image*)  
**moreover have**  $S \subseteq \text{closure } (\text{range } (\text{projection } S))$   
**using**  $\langle \text{closed-cspace } S \rangle$   
**by** (*metis closed-cspace-def closure-subset cspace-is-convex equals0D projection-image subset-iff*)  
**ultimately show**  $\langle \text{closure } (\text{range } (\text{projection } S)) = S \rangle$   
**by auto**  
**qed**

**lemma** *adj-Proj*:  $\langle (\text{Proj } M)^* = \text{Proj } M \rangle$   
**by transfer** (*simp add: projection-cadjoint*)

**lemma** *Proj-idempotent[simp]*:  $\langle \text{Proj } M \circ_{CL} \text{Proj } M = \text{Proj } M \rangle$   
**proof** –  
**have**  $u1: \langle \text{cblinfun-apply } (\text{Proj } M) \rangle = \text{projection } (\text{space-as-set } M)$   
**by transfer blast**  
**have**  $\langle \text{closed-cspace } (\text{space-as-set } M) \rangle$   
**using space-as-set by auto**  
**hence**  $u2: \langle \text{projection } (\text{space-as-set } M) \rangle \circ \langle \text{projection } (\text{space-as-set } M) \rangle$   
 $= \langle \text{projection } (\text{space-as-set } M) \rangle$   
**using projection-idem by fastforce**  
**have**  $\langle \text{cblinfun-apply } (\text{Proj } M) \rangle \circ \langle \text{cblinfun-apply } (\text{Proj } M) \rangle = \text{cblinfun-apply } (\text{Proj } M)$   
**using u1 u2**  
**by simp**  
**hence**  $\langle \text{cblinfun-apply } ((\text{Proj } M) \circ_{CL} (\text{Proj } M)) \rangle = \text{cblinfun-apply } (\text{Proj } M)$   
**by (simp add: cblinfun-compose.rep-eq)**  
**thus ?thesis using cblinfun-apply-inject**  
**by auto**  
**qed**

**lift-definition** *is-Proj* ::  $\langle \text{'a}::\text{complex-normed-vector} \Rightarrow_{CL} \text{'a} \Rightarrow \text{bool} \rangle$  **is**  
 $\langle \lambda P. \exists M. \text{is-projection-on } P \ M \rangle$ .

```

lemma is-Proj-id[simp]:  $\langle \text{is-Proj id-cblinfun} \rangle$ 
  apply transfer
  by (auto intro!: exI[of - UNIV] simp: is-projection-on-def is-arg-min-def)

lemma Proj-top[simp]:  $\langle \text{Proj } \top = \text{id-cblinfun} \rangle$ 
  by (metis Proj-idempotent Proj-range cblinfun-eqI cblinfun-fixes-range id-cblinfun-apply
iso-tuple-UNIV-I space-as-set-top)

lemma Proj-on-own-range':
  fixes  $P :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'a \rangle$ 
  assumes  $\langle P \circ_{CL} P = P \rangle$  and  $\langle P = P* \rangle$ 
  shows  $\langle \text{Proj } (P *_S \text{top}) = P \rangle$ 
proof –
  define  $M$  where  $M = P *_S \text{top}$ 
  have  $v3: x \in (\lambda x. x - P *_V x) - \{0\}$ 
    if  $x \in \text{range } (\text{cblinfun-apply } P)$ 
    for  $x :: 'a$ 
  proof –
    have  $v3-1: \langle \text{cblinfun-apply } P \circ \text{cblinfun-apply } P = \text{cblinfun-apply } P \rangle$ 
      by (metis  $\langle P \circ_{CL} P = P \rangle$  cblinfun-compose.rep-eq)
    have  $\langle \exists t. P *_V t = x \rangle$ 
      using that by blast
    then obtain  $t$  where  $t\text{-def}: \langle P *_V t = x \rangle$ 
      by blast
    hence  $\langle x - P *_V x = x - P *_V (P *_V t) \rangle$ 
      by simp
    also have  $\langle \dots = x - (P *_V t) \rangle$ 
      using v3-1
      by (metis comp-apply)
    also have  $\langle \dots = 0 \rangle$ 
      by (simp add: t-def)
    finally have  $\langle x - P *_V x = 0 \rangle$ 
      by blast
    thus ?thesis
      by simp
  qed

have  $v1: \text{range } (\text{cblinfun-apply } P) \subseteq (\lambda x. x - \text{cblinfun-apply } P x) - \{0\}$ 
  using v3
  by blast

have  $x \in \text{range } (\text{cblinfun-apply } P)$ 
  if  $x \in (\lambda x. x - P *_V x) - \{0\}$ 
  for  $x :: 'a$ 
proof –
  have  $x1: \langle x - P *_V x = 0 \rangle$ 
    using that by blast
  have  $\langle x = P *_V x \rangle$ 

```

```

    by (simp add: x1 eq-iff-diff-eq-0)
  thus ?thesis
    by blast
qed
hence v2:  $(\lambda x. x - \text{cblinfun-apply } P \ x) - \{0\} \subseteq \text{range } (\text{cblinfun-apply } P)$ 
  by blast
have i1:  $\langle \text{range } (\text{cblinfun-apply } P) = (\lambda x. x - \text{cblinfun-apply } P \ x) - \{0\} \rangle$ 
  using v1 v2
  by (simp add: v1 dual-order.antisym)
have p1:  $\langle \text{closed } \{(0::'a)\} \rangle$ 
  by simp
have p2:  $\langle \text{continuous } (\text{at } x) (\lambda x. x - P *_V x) \rangle$ 
  for x
proof-
  have  $\langle \text{cblinfun-apply } (\text{id-cblinfun} - P) = (\lambda x. x - P *_V x) \rangle$ 
    by (simp add: id-cblinfun.rep-eq minus-cblinfun.rep-eq)
  hence  $\langle \text{bounded-clinear } (\text{cblinfun-apply } (\text{id-cblinfun} - P)) \rangle$ 
    using cblinfun-apply
    by blast
  hence  $\langle \text{continuous } (\text{at } x) (\text{cblinfun-apply } (\text{id-cblinfun} - P)) \rangle$ 
    by (simp add: clinear-continuous-at)
  thus ?thesis
    using  $\langle \text{cblinfun-apply } (\text{id-cblinfun} - P) = (\lambda x. x - P *_V x) \rangle$ 
    by simp
qed

have i2:  $\langle \text{closed } ((\lambda x. x - P *_V x) - \{0\}) \rangle$ 
  using p1 p2
  by (rule Abstract-Topology.continuous-closed-vimage)

have  $\langle \text{closed } (\text{range } (\text{cblinfun-apply } P)) \rangle$ 
  using i1 i2
  by simp
have u2:  $\langle \text{cblinfun-apply } P \ x \in \text{space-as-set } M \rangle$ 
  for x
  by (simp add: M-def  $\langle \text{closed } (\text{range } ((*_V) P)) \rangle$  cblinfun-image.rep-eq top-ccsubspace.rep-eq)

have xy:  $\langle \text{is-orthogonal } (x - P *_V x) \ y \rangle$ 
  if y1:  $\langle y \in \text{space-as-set } M \rangle$ 
  for x y
proof-
  have  $\langle \exists t. y = P *_V t \rangle$ 
    using y1
    by (simp add: M-def  $\langle \text{closed } (\text{range } ((*_V) P)) \rangle$  cblinfun-image.rep-eq image-iff
      top-ccsubspace.rep-eq)
  then obtain t where t-def:  $\langle y = P *_V t \rangle$ 
    by blast
  have  $\langle (x - P *_V x) \cdot_C y = (x - P *_V x) \cdot_C (P *_V t) \rangle$ 
    by (simp add: t-def)

```

```

    also have ⟨... = (P *V (x - P *V x)) •C t⟩
      by (metis ⟨P = P*⟩ cinner-adj-left)
    also have ⟨... = (P *V x - P *V (P *V x)) •C t⟩
      by (simp add: cblinfun.diff-right)
    also have ⟨... = (P *V x - P *V x) •C t⟩
      by (metis assms(1) comp-apply cblinfun-compose.rep-eq)
    also have ⟨... = (0 •C t)⟩
      by simp
    also have ⟨... = 0⟩
      by simp
    finally show ?thesis by blast
qed
hence u1: ⟨x - P *V x ∈ orthogonal-complement (space-as-set M)⟩
  for x
  by (simp add: orthogonal-complementI)
have closed-csubspace (space-as-set M)
  using space-as-set by auto
hence f1: (Proj M) *V a = P *V a for a
  by (simp add: Proj.rep-eq projection-eqI u1 u2)
have (+) ((P - Proj M) *V a) = id for a
  using f1
  by (auto intro!: ext simp add: minus-cblinfun.rep-eq)
hence b - b = cblinfun-apply (P - Proj M) a
  for a b
  by (metis (no-types) add-diff-cancel-right' id-apply)
hence cblinfun-apply (id-cblinfun - (P - Proj M)) a = a
  for a
  by (simp add: minus-cblinfun.rep-eq)
thus ?thesis
  using u1 u2 cblinfun-apply-inject diff-diff-eq2 diff-eq-diff-eq eq-id-iff id-cblinfun.rep-eq
  by (metis (no-types, opaque-lifting) M-def)
qed

lemma Proj-range-closed:
  assumes is-Proj P
  shows closed (range (cblinfun-apply P))
  apply (rule is-projection-on-closed[where f = ⟨cblinfun-apply P⟩])
  using assms is-Proj.rep-eq is-projection-on-image by auto

lemma Proj-is-Proj[simp]:
  fixes M::⟨a::chilbert-space csubspace⟩
  shows ⟨is-Proj (Proj M)⟩
proof -
  have u1: closed-csubspace (space-as-set M)
    using space-as-set by blast
  have v1: h - Proj M *V h
    ∈ orthogonal-complement (space-as-set M) for h
    by (simp add: Proj.rep-eq orthogonal-complementI projection-orthogonal u1)
  have v2: Proj M *V h ∈ space-as-set M for h

```

```

    by (metis Proj.rep-eq mem-Collect-eq orthog-proj-exists projection-eqI space-as-set)
  have u2: is-projection-on ((*V) (Proj M)) (space-as-set M)
    unfolding is-projection-on-def
    by (simp add: smallest-dist-is-ortho u1 v1 v2)
  show ?thesis
    using u1 u2 is-Proj.rep-eq by blast
qed

lemma is-Proj-algebraic:
  fixes P::⟨'a::hilbert-space ⇒CL 'a⟩
  shows ⟨is-Proj P ⟷ P oCL P = P ∧ P = P*⟩
proof
  have P oCL P = P
    if is-Proj P
    using that apply transfer
    using is-projection-on-idem
    by fastforce
  moreover have P = P*
    if is-Proj P
    using that Proj-range-closed[OF that] is-projection-on-cadjoint[where π=P
and M=⟨range P⟩]
    by transfer (metis bounded-clinear.axioms(1) closed-csubspace-UNIV closed-csubspace-def
complex-vector.linear-subspace-image is-projection-on-image)
  ultimately show P oCL P = P ∧ P = P*
    if is-Proj P
    using that
    by blast
  show is-Proj P
    if P oCL P = P ∧ P = P*
    using that Proj-on-own-range' Proj-is-Proj by metis
qed

lemma Proj-on-own-range:
  fixes P :: ⟨'a::hilbert-space ⇒CL 'a⟩
  assumes ⟨is-Proj P⟩
  shows ⟨Proj (P *S top) = P⟩
  using Proj-on-own-range' assms is-Proj-algebraic by blast

lemma Proj-image-leq: (Proj S) *S A ≤ S
  by (metis Proj-range inf-top-left le-inf-iff isometry-cblinfun-image-inf-distrib')

lemma Proj-sandwich:
  fixes A::⟨'a::hilbert-space ⇒CL 'b::hilbert-space⟩
  assumes isometry A
  shows sandwich A *V Proj S = Proj (A *S S)
proof -
  define P where ⟨P = A oCL Proj S oCL (A*)⟩
  have ⟨P oCL P = P⟩
    using assms

```

**unfolding**  $P\text{-def isometry-def}$   
**by** (*metis* (*no-types, lifting*) *Proj-idempotent cblinfun-assoc-left(1) cblinfun-compose-id-left*)  
**moreover have**  $\langle P = P* \rangle$   
**unfolding**  $P\text{-def}$   
**by** (*metis* *adj-Proj adj-cblinfun-compose cblinfun-assoc-left(1) double-adj*)  
**ultimately have**  
 $\langle \exists M. P = \text{Proj } M \wedge \text{space-as-set } M = \text{range } (\text{cblinfun-apply } (A \text{ } o_{CL} (\text{Proj } S) \text{ } o_{CL} (A*))) \rangle$   
**using**  $P\text{-def Proj-on-own-range'}$   
**by** (*metis* *Proj-is-Proj Proj-range-closed cblinfun-image.rep-eq closure-closed top-ccsubspace.rep-eq*)  
**then obtain**  $M$  **where**  $\langle P = \text{Proj } M \rangle$   
**and**  $\langle \text{space-as-set } M = \text{range } (\text{cblinfun-apply } (A \text{ } o_{CL} (\text{Proj } S) \text{ } o_{CL} (A*))) \rangle$   
**by** *blast*  
  
**have**  $f1: A \text{ } o_{CL} \text{Proj } S = P \text{ } o_{CL} A$   
**by** (*simp add: P-def assms cblinfun-compose-assoc*)  
**hence**  $P \text{ } o_{CL} A \text{ } o_{CL} A* = P$   
**using**  $P\text{-def}$  **by** *presburger*  
**hence**  $(P \text{ } o_{CL} A) *_S (c \sqcup A* *_S d) = P *_S (A *_S c \sqcup d)$   
**for**  $c \ d$   
  
**by** (*simp add: cblinfun-assoc-left(2)*)  
**hence**  $P *_S (A *_S \top \sqcup c) = (P \text{ } o_{CL} A) *_S \top$   
**for**  $c$   
**by** (*metis sup-top-left*)  
**hence**  $\langle M = A *_S S \rangle$   
**using**  $f1$   
**by** (*metis*  $\langle P = \text{Proj } M \rangle$  *cblinfun-assoc-left(2) Proj-range sup-top-right*)  
**thus** *?thesis*  
**using**  $\langle P = \text{Proj } M \rangle$   
**unfolding**  $P\text{-def sandwich-apply}$  **by** *blast*  
**qed**

**lemma** *Proj-orthog-ccspan-union:*

**assumes**  $\bigwedge x \ y. x \in X \implies y \in Y \implies \text{is-orthogonal } x \ y$   
**shows**  $\langle \text{Proj } (\text{ccspan } (X \cup Y)) = \text{Proj } (\text{ccspan } X) + \text{Proj } (\text{ccspan } Y) \rangle$   
**proof** –  
**have**  $\langle x \in \text{cspan } X \implies y \in \text{cspan } Y \implies \text{is-orthogonal } x \ y \rangle$  **for**  $x \ y$   
**apply** (*rule is-orthogonal-closure-cspan[where X=X and Y=Y]*)  
**using** *closure-subset assms* **by** *auto*  
**then have**  $\langle x \in \text{closure } (\text{cspan } X) \implies y \in \text{closure } (\text{cspan } Y) \implies \text{is-orthogonal } x \ y \rangle$  **for**  $x \ y$   
**by** (*metis orthogonal-complementI orthogonal-complement-of-closure orthogonal-complement-orthoI'*)  
**then show** *?thesis*  
**apply** (*transfer fixing: X Y*)  
**apply** (*subst projection-plus[symmetric]*)  
**by** *auto*

qed

**abbreviation**  $\text{proj} :: 'a::\text{hilbert-space} \Rightarrow 'a \Rightarrow_{CL} 'a$  **where**  $\text{proj } \psi \equiv \text{Proj } (\text{ccspan } \{\psi\})$

**lemma**  $\text{proj-0}[\text{simp}]$ :  $\langle \text{proj } 0 = 0 \rangle$   
**by** *transfer auto*

**lemma**  $\text{ccsubspace-supI-via-Proj}$ :

**fixes**  $A B C :: 'a::\text{hilbert-space } \text{ccsubspace}$

**assumes**  $a1$ :  $\langle \text{Proj } (- C) *_S A \leq B \rangle$

**shows**  $A \leq B \sqcup C$

**proof** –

**have**  $x2$ :  $\langle x \in \text{space-as-set } B \rangle$

**if**  $x \in \text{closure } ( (\text{projection } (\text{orthogonal-complement } (\text{space-as-set } C))) \text{ ` } \text{space-as-set } A)$  **for**  $x$

**using** *that*

**by**  $(\text{metis } \text{Proj.rep-eq } \text{cblinfun-image.rep-eq } \text{assms } \text{less-eq-ccsubspace.rep-eq } \text{subsetD})$

$\text{uminus-ccsubspace.rep-eq})$

**have**  $q1$ :  $\langle x \in \text{closure } \{ \psi + \varphi \mid \psi \varphi. \psi \in \text{space-as-set } B \wedge \varphi \in \text{space-as-set } C \} \rangle$

**if**  $\langle x \in \text{space-as-set } A \rangle$

**for**  $x$

**proof** –

**have**  $p1$ :  $\langle \text{closed-csubspace } (\text{space-as-set } C) \rangle$

**using**  $\text{space-as-set by auto}$

**hence**  $\langle x = (\text{projection } (\text{space-as-set } C)) x$

$+ (\text{projection } (\text{orthogonal-complement } (\text{space-as-set } C))) x \rangle$

**by** *simp*

**hence**  $\langle x = (\text{projection } (\text{orthogonal-complement } (\text{space-as-set } C))) x$

$+ (\text{projection } (\text{space-as-set } C)) x \rangle$

**by**  $(\text{metis } \text{ordered-field-class.sign-simps}(2))$

**moreover have**  $\langle (\text{projection } (\text{orthogonal-complement } (\text{space-as-set } C))) x \in \text{space-as-set } B \rangle$

**using**  $x2$

**by**  $(\text{meson } \text{closure-subset } \text{image-subset-iff } \text{that})$

**moreover have**  $\langle (\text{projection } (\text{space-as-set } C)) x \in \text{space-as-set } C \rangle$

**by**  $(\text{metis } \text{mem-Collect-eq } \text{orthog-proj-exists } \text{projection-eqI } \text{space-as-set})$

**ultimately show** *?thesis*

**using**  $\text{closure-subset by force}$

qed

**have**  $x1$ :  $\langle x \in (\text{space-as-set } B +_M \text{space-as-set } C) \rangle$

**if**  $x \in \text{space-as-set } A$  **for**  $x$

**proof** –

**have**  $f1$ :  $x \in \text{closure } \{ a + b \mid a b. a \in \text{space-as-set } B \wedge b \in \text{space-as-set } C \}$

**by**  $(\text{simp add: } q1 \text{ that})$

**have**  $\{ a + b \mid a b. a \in \text{space-as-set } B \wedge b \in \text{space-as-set } C \} = \{ a. \exists p. p \in \text{space-as-set } B$

$\wedge (\exists q. q \in \text{space-as-set } C \wedge a = p + q) \}$

```

    by blast
  hence  $x \in \text{closure } \{a. \exists b \in \text{space-as-set } B. \exists c \in \text{space-as-set } C. a = b + c\}$ 
    using f1 by (simp add: Bex-def-raw)
  thus ?thesis
    using that
    unfolding closed-sum-def set-plus-def
    by blast
qed

  hence  $\langle x \in \text{space-as-set } (\text{Abs-ccsubspace } (\text{space-as-set } B +_M \text{space-as-set } C)) \rangle$ 
    if  $x \in \text{space-as-set } A$  for  $x$ 
    using that
    by (metis space-as-set-inverse sup-ccsubspace.rep-eq)
  thus ?thesis
    by (simp add: x1 less-eq-ccsubspace.rep-eq subset-eq sup-ccsubspace.rep-eq)
qed

lemma is-Proj-idempotent:
  assumes is-Proj P
  shows  $P \circ_{CL} P = P$ 
  using assms apply transfer
  using is-projection-on-fixes-image is-projection-on-in-image by fastforce

lemma is-proj-selfadj:
  assumes is-Proj P
  shows  $P^* = P$ 
  using assms
  unfolding is-Proj-def
  by (metis is-Proj-algebraic is-Proj-def)

lemma is-Proj-I:
  assumes  $P \circ_{CL} P = P$  and  $P^* = P$ 
  shows is-Proj P
  using assms is-Proj-algebraic by metis

lemma is-Proj-0[simp]: is-Proj 0
  apply transfer apply (rule exI[of - 0])
  by (simp add: is-projection-on-zero)

lemma is-Proj-complement[simp]:
  fixes  $P :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'a \rangle$ 
  assumes a1: is-Proj P
  shows is-Proj (id-cblinfun - P)
  by (smt (z3) add-diff-cancel-left add-diff-cancel-left' adj-cblinfun-compose adj-plus
    assms bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose diff-add-cancel
    id-cblinfun-adjoint is-Proj-algebraic cblinfun-compose-id-left)

lemma Proj-bot[simp]: Proj bot = 0
  by (metis zero-cblinfun-image Proj-on-own-range' is-Proj-0 is-Proj-algebraic)

```

zero-ccsubspace-def)

**lemma** *Proj-ortho-compl*:

*Proj* (− *X*) = *id-cblinfun* − *Proj X*

**by** (*transfer*, *auto*)

**lemma** *Proj-inj*:

**assumes** *Proj X* = *Proj Y*

**shows** *X* = *Y*

**by** (*metis assms Proj-range*)

**lemma** *norm-Proj-leq1*:  $\langle \text{norm } (\text{Proj } M) \leq 1 \rangle$  **for** *M* ::  $\langle 'a :: \text{hilbert-space ccspace} \rangle$

**by** *transfer* (*metis* (*no-types*, *opaque-lifting*) *mult.left-neutral onorm-bound projection-reduces-norm zero-less-one-class.zero-le-one*)

**lemma** *Proj-orthog-ccspan-insert*:

**assumes**  $\bigwedge y. y \in Y \implies \text{is-orthogonal } x \ y$

**shows**  $\langle \text{Proj } (\text{ccspan } (\text{insert } x \ Y)) = \text{proj } x + \text{Proj } (\text{ccspan } Y) \rangle$

**apply** (*subst asm-rl*[*of*  $\langle \text{insert } x \ Y = \{x\} \cup Y \rangle$ , *simp*])

**apply** (*rule Proj-orthog-ccspan-union*)

**using** *assms* **by** *auto*

**lemma** *Proj-fixes-image*:  $\langle \text{Proj } S *_V \psi = \psi \rangle$  **if**  $\langle \psi \in \text{space-as-set } S \rangle$

**by** (*metis Proj-idempotent Proj-range that cblinfun-fixes-range*)

**lemma** *norm-is-Proj*:  $\langle \text{norm } P \leq 1 \rangle$  **if**  $\langle \text{is-Proj } P \rangle$  **for** *P* ::  $\langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'a \rangle$

**by** (*metis Proj-on-own-range norm-Proj-leq1 that*)

**lemma** *Proj-sup*:  $\langle \text{orthogonal-spaces } S \ T \implies \text{Proj } (\text{sup } S \ T) = \text{Proj } S + \text{Proj } T \rangle$

**unfolding** *orthogonal-spaces-def*

**by** *transfer* (*simp add: projection-plus*)

**lemma** *Proj-sum-spaces*:

**assumes**  $\langle \text{finite } X \rangle$

**assumes**  $\langle \bigwedge x \ y. x \in X \implies y \in X \implies x \neq y \implies \text{orthogonal-spaces } (J \ x) \ (J \ y) \rangle$

**shows**  $\langle \text{Proj } (\sum x \in X. J \ x) = (\sum x \in X. \text{Proj } (J \ x)) \rangle$

**using** *assms*

**proof** *induction*

**case** *empty*

**show** *?case*

**by** *auto*

**next**

**case** (*insert x F*)

**have**  $\langle \text{Proj } (\text{sum } J \ (\text{insert } x \ F)) = \text{Proj } (J \ x \sqcup \text{sum } J \ F) \rangle$

**by** (*simp add: insert*)

**also have**  $\langle \dots = \text{Proj } (J \ x) + \text{Proj } (\text{sum } J \ F) \rangle$

**apply** (*rule Proj-sup*)

```

    apply (rule orthogonal-sum)
    using insert by auto
  also have  $\langle \dots = (\sum_{x \in \text{insert } x} F. \text{Proj } (J \ x)) \rangle$ 
    by (simp add: insert.IH insert.hyps(1) insert.hyps(2) insert.premis)
  finally show ?case
    by -
qed

lemma is-Proj-reduces-norm:
  fixes  $P :: \langle 'a :: \text{complex-inner} \Rightarrow_{CL} 'a \rangle$ 
  assumes  $\langle \text{is-Proj } P \rangle$ 
  shows  $\langle \text{norm } (P *_V \psi) \leq \text{norm } \psi \rangle$ 
  apply (rule is-projection-on-reduces-norm[where  $M = \langle \text{range } P \rangle$ ])
  using assms is-Proj.rep-eq is-projection-on-image by blast (simp add: Proj-range-closed
  assms closed-csubspace.intro)

lemma norm-Proj-apply:  $\langle \text{norm } (Proj \ T *_V \psi) = \text{norm } \psi \iff \psi \in \text{space-as-set } T \rangle$ 
proof (rule iffI)
  show  $\langle \text{norm } (Proj \ T *_V \psi) = \text{norm } \psi \rangle$  if  $\langle \psi \in \text{space-as-set } T \rangle$ 
    by (simp add: Proj-fixes-image that)
  assume  $\text{assm} :: \langle \text{norm } (Proj \ T *_V \psi) = \text{norm } \psi \rangle$ 
  have  $\psi\text{-decomp} :: \langle \psi = Proj \ T \ \psi + Proj \ (-T) \ \psi \rangle$ 
    by (simp add: Proj-ortho-compl cblinfun.real.diff-left)
  have  $\langle (\text{norm } (Proj \ (-T) \ \psi))^2 = (\text{norm } (Proj \ T \ \psi))^2 + (\text{norm } (Proj \ (-T) \ \psi))^2$ 
   $- (\text{norm } (Proj \ T \ \psi))^2 \rangle$ 
    by auto
  also have  $\langle \dots = (\text{norm } (Proj \ T \ \psi + Proj \ (-T) \ \psi))^2 - (\text{norm } (Proj \ T \ \psi))^2 \rangle$ 
    apply (subst pythagorean-theorem)
    apply (metis (no-types, lifting) Proj-idempotent  $\psi\text{-decomp}$  add-cancel-right-left
    adj-Proj cblinfun.real.add-right cblinfun-apply-cblinfun-compose cinner-adj-left cin-
    ner-zero-left)
    by simp
  also with  $\psi\text{-decomp}$  have  $\langle \dots = (\text{norm } \psi)^2 - (\text{norm } (Proj \ T \ \psi))^2 \rangle$ 
    by metis
  also with  $\text{assm}$  have  $\langle \dots = 0 \rangle$ 
    by simp
  finally have  $\langle \text{norm } (Proj \ (-T) \ \psi) = 0 \rangle$ 
    by auto
  with  $\psi\text{-decomp}$  have  $\langle \psi = Proj \ T \ \psi \rangle$ 
    by auto
  then show  $\langle \psi \in \text{space-as-set } T \rangle$ 
    by (metis Proj-range cblinfun-apply-in-image)
qed

lemma norm-Proj-apply-1:  $\langle \text{norm } \psi = 1 \implies \text{norm } (Proj \ T *_V \psi) = 1 \iff \psi \in$ 
 $\text{space-as-set } T \rangle$ 
  using norm-Proj-apply by metis

```

**lemma** *norm-is-Proj-nonzero*:  $\langle \text{norm } P = 1 \rangle$  if  $\langle \text{is-Proj } P \rangle$  and  $\langle P \neq 0 \rangle$  for  $P :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'a \rangle$

**proof** (rule *antisym*)

  show  $\langle \text{norm } P \leq 1 \rangle$

    by (simp add: *norm-is-Proj that(1)*)

  from  $\langle P \neq 0 \rangle$

  have  $\langle \text{range } P \neq 0 \rangle$

  by (metis *cblinfun-eq-0-on-UNIV-span complex-vector.span-UNIV rangeI set-zero singletonD*)

  then obtain  $\psi$  where  $\langle \psi \in \text{range } P \rangle$  and  $\langle \psi \neq 0 \rangle$

  by force

  then have  $\langle P \psi = \psi \rangle$

  using *is-Proj.rep-eq is-projection-on-fixes-image is-projection-on-image that(1)*

by *blast*

  then show  $\langle \text{norm } P \geq 1 \rangle$

  apply (rule-tac *cblinfun-norm-geqI[of - -  $\psi$ ]*)

  using  $\langle \psi \neq 0 \rangle$  by *simp*

qed

**lemma** *Proj-compose-cancelI*:

  assumes  $\langle A *_S \top \leq S \rangle$

  shows  $\langle \text{Proj } S \circ_{CL} A = A \rangle$

  apply (rule *cblinfun-eqI*)

**proof** –

  fix  $x$

  have  $\langle (\text{Proj } S \circ_{CL} A) *_V x = \text{Proj } S *_V (A *_V x) \rangle$

  by *simp*

  also have  $\langle \dots = A *_V x \rangle$

  apply (rule *Proj-fixes-image*)

  using *assms cblinfun-apply-in-image less-eq-ccsubspace.rep-eq* by *blast*

  finally show  $\langle (\text{Proj } S \circ_{CL} A) *_V x = A *_V x \rangle$

  by –

qed

**lemma** *Proj-o-Proj-subspace-right*:

  assumes  $\langle A \geq B \rangle$

  shows  $\langle \text{Proj } A \circ_{CL} \text{Proj } B = \text{Proj } B \rangle$

  by (simp add: *Proj-compose-cancelI assms*)

**lemma** *Proj-o-Proj-subspace-left*:

  assumes  $\langle A \leq B \rangle$

  shows  $\langle \text{Proj } A \circ_{CL} \text{Proj } B = \text{Proj } A \rangle$

  by (metis *Proj-o-Proj-subspace-right adj-Proj adj-cblinfun-compose assms*)

**lemma** *space-as-setI-via-Proj*:

  assumes  $\langle \text{Proj } M *_V x = x \rangle$

  shows  $\langle x \in \text{space-as-set } M \rangle$

  using *assms norm-Proj-apply* by *fastforce*

**lemma** *unitary-image-ortho-compl*:

— Logically, this lemma belongs in an earlier section but its proof uses projectors.

**fixes**  $U :: \langle 'a::\text{chilbert-space} \Rightarrow_{CL} 'b::\text{chilbert-space} \rangle$   
**assumes**  $[simp]: \langle \text{unitary } U \rangle$   
**shows**  $\langle U *_S (- A) = - (U *_S A) \rangle$   
**proof** —  
**have**  $\langle \text{Proj } (U *_S (- A)) = \text{sandwich } U (\text{Proj } (- A)) \rangle$   
**by**  $(simp \text{ add: } \text{Proj-sandwich})$   
**also have**  $\langle \dots = \text{sandwich } U (\text{id-cblinfun} - \text{Proj } A) \rangle$   
**by**  $(simp \text{ add: } \text{Proj-ortho-compl})$   
**also have**  $\langle \dots = \text{id-cblinfun} - \text{sandwich } U (\text{Proj } A) \rangle$   
**by**  $(metis \text{ assms cblinfun.diff-right sandwich-isometry-id unitary-twosided-isometry})$   
**also have**  $\langle \dots = \text{id-cblinfun} - \text{Proj } (U *_S A) \rangle$   
**by**  $(simp \text{ add: } \text{Proj-sandwich})$   
**also have**  $\langle \dots = \text{Proj } (- (U *_S A)) \rangle$   
**by**  $(simp \text{ add: } \text{Proj-ortho-compl})$   
**finally show**  $?thesis$   
**by**  $(simp \text{ add: } \text{Proj-inj})$   
**qed**

**lemma** *Proj-on-image*  $[simp]: \langle \text{Proj } S *_S S = S \rangle$

**by**  $(metis \text{ Proj-idempotent Proj-range cblinfun-compose-image})$

**lemma** *Proj-nearest*:

**assumes**  $\langle x \in \text{space-as-set } S \rangle$   
**shows**  $\langle \text{dist } (\text{Proj } S \ m) \ m \leq \text{dist } x \ m \rangle$   
**proof** —  
**have**  $\langle \text{is-projection-on } (\text{Proj } S) (\text{space-as-set } S) \rangle$   
**by**  $(simp \text{ add: } \text{Proj.rep-eq})$   
**then have**  $\langle \text{is-arg-min } (\lambda x. \text{dist } x \ m) (\lambda x. x \in \text{space-as-set } S) (\text{Proj } S \ m) \rangle$   
**by**  $(simp \text{ add: } \text{is-projection-on-def})$   
**with**  $\text{assms}$  **show**  $?thesis$   
**by**  $(auto \text{ simp: } \text{is-arg-min-def})$   
**qed**

**lemma** *Proj-0-compl*:  $\langle \text{Proj } S \ x = 0 \rangle$  **if**  $\langle x \in \text{space-as-set } (-S) \rangle$

**by**  $(metis \text{ (no-types, lifting) ext Proj-fixes-image Proj-idempotent Proj-ortho-compl})$

*Proj-top UNIV-I*

$\text{cancel-comm-monoid-add-class.diff-cancel cblinfun.real.diff-left cblinfun.real.diff-right}$   
 $\text{cblinfun-apply-cblinfun-compose space-as-set-top that})$

### 13.11 Kernel / eigenspaces

**lift-definition** *kernel*  $:: 'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b::\text{complex-normed-vector}$

$\Rightarrow 'a \text{ csubspace}$

**is**  $\lambda f. f - \{0\}$

**by**  $(metis \text{ kernel-is-closed-csubspace})$

**definition** *eigenspace* :: *complex*  $\Rightarrow$  '*a*::*complex-normed-vector*  $\Rightarrow_{CL}$  '*a*  $\Rightarrow$  '*a* *ccsub-space* **where**

*eigenspace* *a* *A* = *kernel* (*A* - *a* \*<sub>C</sub> *id-cblinfun*)

**lemma** *kernel-scaleC[simp]*: *a* ≠ 0  $\implies$  *kernel* (*a* \*<sub>C</sub> *A*) = *kernel* *A*  
**for** *a* :: *complex* **and** *A* :: (-,-) *cblinfun*  
**apply** *transfer*  
**using** *complex-vector.scale-eq-0-iff* **by** *blast*

**lemma** *kernel-0[simp]*: *kernel* 0 = *top*  
**by** *transfer auto*

**lemma** *kernel-id[simp]*: *kernel id-cblinfun* = 0  
**by** *transfer simp*

**lemma** *eigenspace-scaleC[simp]*:  
**assumes** *a1*: *a* ≠ 0  
**shows** *eigenspace* *b* (*a* \*<sub>C</sub> *A*) = *eigenspace* (*b/a*) *A*  
**proof** -  
**have** *b* \*<sub>C</sub> (*id-cblinfun*::('a, -) *cblinfun*) = *a* \*<sub>C</sub> (*b / a*) \*<sub>C</sub> *id-cblinfun*  
**using** *a1*  
**by** (*metis* *eq-vector-fraction-iff*)  
**hence** *kernel* (*a* \*<sub>C</sub> *A* - *b* \*<sub>C</sub> *id-cblinfun*) = *kernel* (*A* - (*b / a*) \*<sub>C</sub> *id-cblinfun*)  
**using** *a1* **by** (*metis* (*no-types*) *complex-vector.scale-right-diff-distrib* *kernel-scaleC*)  
**thus** ?thesis  
**unfolding** *eigenspace-def*  
**by** *blast*  
**qed**

**lemma** *eigenspace-memberD*:  
**assumes** *x* ∈ *space-as-set* (*eigenspace* *e* *A*)  
**shows** *A* \*<sub>V</sub> *x* = *e* \*<sub>C</sub> *x*  
**using** *assms* **unfolding** *eigenspace-def* **by** *transfer auto*

**lemma** *kernel-memberD*:  
**assumes** *x* ∈ *space-as-set* (*kernel* *A*)  
**shows** *A* \*<sub>V</sub> *x* = 0  
**using** *assms* **by** *transfer auto*

**lemma** *eigenspace-memberI*:  
**assumes** *A* \*<sub>V</sub> *x* = *e* \*<sub>C</sub> *x*  
**shows** *x* ∈ *space-as-set* (*eigenspace* *e* *A*)  
**using** *assms* **unfolding** *eigenspace-def* **by** *transfer auto*

**lemma** *kernel-memberI*:  
**assumes** *A* \*<sub>V</sub> *x* = 0  
**shows** *x* ∈ *space-as-set* (*kernel* *A*)  
**using** *assms* **by** *transfer auto*

```

lemma kernel-Proj[simp]:  $\langle \text{kernel } (\text{Proj } S) = - S \rangle$ 
  apply transfer
  apply auto
  apply (metis diff-0-right is-projection-on-iff-orthog projection-is-projection-on)
  by (simp add: complex-vector.subspace-0 projection-eqI)

lemma orthogonal-projectors-orthogonal-spaces:
  — Logically belongs in section "Projectors".
  fixes  $S T :: \langle 'a::\text{hilbert-space ccspace} \rangle$ 
  shows  $\langle \text{orthogonal-spaces } S T \longleftrightarrow \text{Proj } S \text{ } o_{CL} \text{ Proj } T = 0 \rangle$ 
proof (intro ballI iffI)
  assume  $\langle \text{Proj } S \text{ } o_{CL} \text{ Proj } T = 0 \rangle$ 
  then have  $\langle \text{is-orthogonal } x y \rangle$  if  $\langle x \in \text{space-as-set } S \rangle \langle y \in \text{space-as-set } T \rangle$  for  $x$ 
   $y$ 
    by (metis (no-types, opaque-lifting) Proj-fixes-image adj-Proj cblinfun.zero-left
cblinfun-apply-cblinfun-compose cinner-adj-right cinner-zero-right that(1) that(2))
    then show  $\langle \text{orthogonal-spaces } S T \rangle$ 
    by (simp add: orthogonal-spaces-def)
next
  assume  $\langle \text{orthogonal-spaces } S T \rangle$ 
  then have  $\langle S \leq - T \rangle$ 
    by (simp add: orthogonal-spaces-leq-compl)
  then show  $\langle \text{Proj } S \text{ } o_{CL} \text{ Proj } T = 0 \rangle$ 
    by (metis (no-types, opaque-lifting) Proj-range adj-Proj adj-cblinfun-compose basic-trans-rules(31)
cblinfun.zero-left cblinfun-apply-cblinfun-compose cblinfun-apply-in-image
cblinfun-eqI kernel-Proj kernel-memberD less-eq-ccspace.rep-eq)
qed

lemma cblinfun-compose-Proj-kernel[simp]:  $\langle a \text{ } o_{CL} \text{ Proj } (\text{kernel } a) = 0 \rangle$ 
  apply (rule cblinfun-eqI)
  by simp (metis Proj-range cblinfun-apply-in-image kernel-memberD)

lemma kernel-compl-adj-range:
  shows  $\langle \text{kernel } a = - (a * *_S \text{ top}) \rangle$ 
proof (rule ccspace-eqI)
  fix  $x$ 
  have  $\langle x \in \text{space-as-set } (\text{kernel } a) \longleftrightarrow a x = 0 \rangle$ 
    by transfer simp
  also have  $\langle a x = 0 \longleftrightarrow (\forall y. \text{is-orthogonal } y (a x)) \rangle$ 
    by (metis cinner-gt-zero-iff cinner-zero-right)
  also have  $\langle \dots \longleftrightarrow (\forall y. \text{is-orthogonal } (a * *_V y) x) \rangle$ 
    by (simp add: cinner-adj-left)
  also have  $\langle \dots \longleftrightarrow x \in \text{space-as-set } (- (a * *_S \text{ top})) \rangle$ 
    by transfer (metis (mono-tags, opaque-lifting) UNIV-I image-iff is-orthogonal-sym
orthogonal-complementI orthogonal-complement-of-closure orthogonal-complement-orthoI)
  finally show  $\langle x \in \text{space-as-set } (\text{kernel } a) \longleftrightarrow x \in \text{space-as-set } (- (a * *_S \text{ top})) \rangle$ 
    by —

```

qed

**lemma** *kernel-apply-self*:  $\langle A *_S \text{kernel } A = 0 \rangle$

**proof** *transfer*

fix  $A :: \langle 'b \Rightarrow 'a \rangle$

assume  $\langle \text{bounded-clinear } A \rangle$

then have  $\langle A \ 0 = 0 \rangle$

by (*simp add: bounded-clinear-def complex-vector.linear-0*)

then have  $\langle A \ -' \{0\} = \{0\} \rangle$

by *fastforce*

then show  $\langle \text{closure } (A \ -' \{0\}) = \{0\} \rangle$

by *auto*

qed

**lemma** *leq-kernel-iff*:

shows  $\langle A \leq \text{kernel } B \longleftrightarrow B *_S A = 0 \rangle$

**proof** (*rule iffI*)

assume  $\langle A \leq \text{kernel } B \rangle$

then have  $\langle B *_S A \leq B *_S \text{kernel } B \rangle$

by (*simp add: cblinfun-image-mono*)

also have  $\langle \dots = 0 \rangle$

by (*simp add: kernel-apply-self*)

finally show  $\langle B *_S A = 0 \rangle$

by (*simp add: bot.extremum-unique*)

**next**

assume  $\langle B *_S A = 0 \rangle$

then show  $\langle A \leq \text{kernel } B \rangle$

apply *transfer*

by (*metis closure-subset image-subset-iff-subset-vimage*)

qed

**lemma** *cblinfun-image-kernel*:

assumes  $\langle C *_S A *_S \text{kernel } B \leq \text{kernel } B \rangle$

assumes  $\langle A \ o_{CL} \ C = \text{id-cblinfun} \rangle$

shows  $\langle A *_S \text{kernel } B = \text{kernel } (B \ o_{CL} \ C) \rangle$

**proof** (*rule antisym*)

show  $\langle A *_S \text{kernel } B \leq \text{kernel } (B \ o_{CL} \ C) \rangle$

using *assms(1)* by (*simp add: leq-kernel-iff cblinfun-compose-image*)

show  $\langle \text{kernel } (B \ o_{CL} \ C) \leq A *_S \text{kernel } B \rangle$

**proof** (*insert assms(2), transfer, intro subsetI*)

fix  $A :: \langle 'a \Rightarrow 'b \rangle$  and  $B :: \langle 'a \Rightarrow 'c \rangle$  and  $C :: \langle 'b \Rightarrow 'a \rangle$  and  $x$

assume  $\langle x \in (B \ o_{CL} \ C) -' \{0\} \rangle$

then have  $BCx: \langle B \ (C \ x) = 0 \rangle$

by *simp*

assume  $\langle A \ o_{CL} \ C = (\lambda x. x) \rangle$

then have  $\langle x = A \ (C \ x) \rangle$

apply (*simp add: o-def*)

by *metis*

then show  $\langle x \in \text{closure } (A \ -' B -' \{0\}) \rangle$

```

    using ⟨B (C x) = 0⟩ closure-subset by fastforce
qed
qed

lemma cblinfun-image-kernel-unitary:
  assumes ⟨unitary U⟩
  shows ⟨U *S kernel B = kernel (B oCL U*)⟩
  apply (rule cblinfun-image-kernel)
  using assms by (auto simp flip: cblinfun-compose-image)

lemma kernel-cblinfun-compose:
  assumes ⟨kernel B = 0⟩
  shows ⟨kernel A = kernel (B oCL A)⟩
  using assms apply transfer by auto

lemma eigenspace-0[simp]: ⟨eigenspace 0 A = kernel A⟩
  by (simp add: eigenspace-def)

lemma kernel-isometry: ⟨kernel U = 0⟩ if ⟨isometry U⟩
  by (simp add: kernel-compl-adj-range range-adjoint-isometry that)

lemma cblinfun-image-eigenspace-isometry:
  assumes [simp]: ⟨isometry A⟩ and ⟨c ≠ 0⟩
  shows ⟨A *S eigenspace c B = eigenspace c (sandwich A B)⟩
proof (rule antisym)
  show ⟨A *S eigenspace c B ≤ eigenspace c (sandwich A B)⟩
  proof (unfold cblinfun-image-def2, rule ccspan-leqI, rule subsetI)
    fix x assume ⟨x ∈ (*V) A ‘ space-as-set (eigenspace c B)⟩
    then obtain y where x-def: ⟨x = A y⟩ and ⟨y ∈ space-as-set (eigenspace c B)⟩
    by auto
    then have ⟨B y = c *C y⟩
    by (simp add: eigenspace-memberD)
    then have ⟨sandwich A B x = c *C x⟩
    apply (simp add: sandwich-apply x-def cblinfun-compose-assoc
      flip: cblinfun-apply-cblinfun-compose)
    by (simp add: cblinfun.scaleC-right)
    then show ⟨x ∈ space-as-set (eigenspace c (sandwich A B))⟩
    by (simp add: eigenspace-memberI)
  qed
  show ⟨eigenspace c (sandwich A *V B) ≤ A *S eigenspace c B⟩
  proof (rule ccsubspace-leI-unit)
    fix x
    assume ⟨x ∈ space-as-set (eigenspace c (sandwich A B))⟩
    then have *: ⟨sandwich A B x = c *C x⟩
    by (simp add: eigenspace-memberD)
    then have ⟨c *C x ∈ range A⟩
    apply (simp add: sandwich-apply)

```

```

    by (metis rangeI)
  then have  $\langle (\text{inverse } c * c) *_C x \in \text{range } A \rangle$ 
    apply (simp flip: scaleC-scaleC)
    by (metis (no-types, lifting) cblinfun.scaleC-right rangeE rangeI)
  with  $\langle c \neq 0 \rangle$  have  $\langle x \in \text{range } A \rangle$ 
    by simp
  then obtain  $y$  where  $x\text{-def}: \langle x = A y \rangle$ 
    by auto
  have  $\langle B *_V y = A *_V \text{ sandwich } A B x \rangle$ 
    apply (simp add: sandwich-apply x-def)
    by (metis assms cblinfun-apply-cblinfun-compose id-cblinfun.rep-eq isometryD)
  also have  $\langle \dots = c *_C y \rangle$ 
    apply (simp add: * cblinfun.scaleC-right)
    apply (simp add: x-def)
    by (metis assms(1) cblinfun-apply-cblinfun-compose id-cblinfun-apply isome-
try-def)
  finally have  $\langle y \in \text{space-as-set } (\text{eigenspace } c B) \rangle$ 
    by (simp add: eigenspace-memberI)
  then show  $\langle x \in \text{space-as-set } (A *_S \text{ eigenspace } c B) \rangle$ 
    by (simp add: x-def cblinfun-apply-in-image')
qed
qed

```

```

lemma cblinfun-image-eigenspace-unitary:
  assumes [simp]:  $\langle \text{unitary } A \rangle$ 
  shows  $\langle A *_S \text{ eigenspace } c B = \text{eigenspace } c (\text{sandwich } A B) \rangle$ 
  apply (cases  $\langle c = 0 \rangle$ )
  apply (simp add: sandwich-apply cblinfun-image-kernel-unitary kernel-isometry
cblinfun-compose-assoc
flip: kernel-cblinfun-compose)
  by (simp add: cblinfun-image-eigenspace-isometry)

```

```

lemma kernel-member-iff:  $\langle x \in \text{space-as-set } (\text{kernel } A) \longleftrightarrow A *_V x = 0 \rangle$ 
  using kernel-memberD kernel-memberI by blast

```

```

lemma kernel-square[simp]:  $\langle \text{kernel } (A *_O A) = \text{kernel } A \rangle$ 

```

```

proof (intro cccsubspace-eqI iffI)

```

```

  fix  $x$ 

```

```

  assume  $\langle x \in \text{space-as-set } (\text{kernel } A) \rangle$ 

```

```

  then show  $\langle x \in \text{space-as-set } (\text{kernel } (A *_O A)) \rangle$ 

```

```

    by (simp add: kernel.rep-eq)

```

```

next

```

```

  fix  $x$ 

```

```

  assume  $\langle x \in \text{space-as-set } (\text{kernel } (A *_O A)) \rangle$ 

```

```

  then have  $\langle A *_V A *_V x = 0 \rangle$ 

```

```

    by (simp add: kernel.rep-eq)

```

```

  then have  $\langle (A *_V x) *_C (A *_V x) = 0 \rangle$ 

```

```

    by (metis cinner-adj-right cinner-zero-right)

```

```

  then have  $\langle A *_V x = 0 \rangle$ 

```

by *auto*  
 then show  $\langle x \in \text{space-as-set } (\text{kernel } A) \rangle$   
 by (*simp add: kernel.rep-eq*)  
 qed

**lemma** *eq-on-ccsubspaces-Sup*:  
 fixes  $a \ b :: \langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
 assumes  $\langle \bigwedge i \ h. \ i \in I \implies h \in \text{space-as-set } (X \ i) \implies a \ h = b \ h \rangle$   
 shows  $\langle \bigwedge h. \ h \in \text{space-as-set } (\bigsqcup_{i \in I}. X \ i) \implies a \ h = b \ h \rangle$   
**proof** –  
 from *assms*  
 have  $\langle X \ i \leq \text{kernel } (a - b) \rangle$  if  $\langle i \in I \rangle$  for  $i$   
 using that by (*auto intro!: ccsubspace-leI simp: kernel.rep-eq minus-cblinfun.rep-eq*)  
 then have  $\langle \bigsqcup_{i \in I}. X \ i \leq \text{kernel } (a - b) \rangle$   
 by (*simp add: SUP-least*)  
 then show  $\langle h \in \text{space-as-set } (\bigsqcup_{i \in I}. X \ i) \implies a \ h = b \ h \rangle$  for  $h$   
 using *kernel-memberD less-eq-ccsubspace.rep-eq*  
 by (*metis (no-types, opaque-lifting) cblinfun.diff-left cblinfun.real.diff-right cblinfun.real.zero-left diff-eq-diff-eq double-diff mem-simps(6) subset-refl*)  
 qed

**lemma** *eq-on-ccsubspaces-sup*:  
 fixes  $a \ b :: \langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
 assumes  $\langle \bigwedge h \ i. \ h \in \text{space-as-set } S \implies a \ h = b \ h \rangle$   
 assumes  $\langle \bigwedge h \ i. \ h \in \text{space-as-set } T \implies a \ h = b \ h \rangle$   
 shows  $\langle \bigwedge h. \ h \in \text{space-as-set } (S \sqcup T) \implies a \ h = b \ h \rangle$   
 apply (*rule eq-on-ccsubspaces-Sup[where I = { True, False } and X =  $\langle \lambda i. \text{if } i \text{ then } T \text{ else } S \rangle$* ])  
 using *assms*  
 apply *presburger*  
 by *fastforce*

### 13.12 Partial isometries

**definition** *partial-isometry* where

$\langle \text{partial-isometry } A \longleftrightarrow (\forall h \in \text{space-as-set } (- \text{kernel } A). \text{norm } (A \ h) = \text{norm } h) \rangle$

**lemma** *partial-isometryI*:

assumes  $\langle \bigwedge h. \ h \in \text{space-as-set } (- \text{kernel } A) \implies \text{norm } (A \ h) = \text{norm } h \rangle$   
 shows  $\langle \text{partial-isometry } A \rangle$   
 using *assms partial-isometry-def* by *blast*

**lemma**

fixes  $A :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
 assumes *iso*:  $\langle \bigwedge \psi. \ \psi \in \text{space-as-set } V \implies \text{norm } (A *_{\mathbf{V}} \psi) = \text{norm } \psi \rangle$   
 assumes *zero*:  $\langle \bigwedge \psi. \ \psi \in \text{space-as-set } (- V) \implies A *_{\mathbf{V}} \psi = 0 \rangle$   
 shows *partial-isometryI*:  $\langle \text{partial-isometry } A \rangle$   
 and *partial-isometry-initial*:  $\langle \text{kernel } A = - V \rangle$

**proof** –  
**from** *zero*  
**have**  $\langle - V \leq \text{kernel } A \rangle$   
**by** (*simp add: kernel-memberI less-eq-ccsubspace.rep-eq subsetI*)  
**moreover have**  $\langle \text{kernel } A \leq -V \rangle$   
**by** (*smt (verit, ccfu-threshold) Proj-ortho-compl Proj-range assms(1) cblinfun.diff-left cblinfun.diff-right cblinfun-apply-in-image cblinfun-id-cblinfun-apply cc-subspace-leI kernel-Proj kernel-memberD kernel-memberI norm-eq-zero ortho-involution subsetI zero*)  
**ultimately show** *kerA*:  $\langle \text{kernel } A = -V \rangle$   
**by** *simp*

**show**  $\langle \text{partial-isometry } A \rangle$   
**apply** (*rule partial-isometryI*)  
**by** (*simp add: kerA iso*)  
**qed**

**lemma** *Proj-partial-isometry*[*simp*]:  $\langle \text{partial-isometry } (\text{Proj } S) \rangle$   
**apply** (*rule partial-isometryI*)  
**by** (*simp add: Proj-fixes-image*)

**lemma** *is-Proj-partial-isometry*:  $\langle \text{is-Proj } P \implies \text{partial-isometry } P \rangle$  **for**  $P :: \langle - :: \text{hilbert-space} \Rightarrow_{CL} - \rangle$   
**by** (*metis Proj-on-own-range Proj-partial-isometry*)

**lemma** *isometry-partial-isometry*:  $\langle \text{isometry } P \implies \text{partial-isometry } P \rangle$   
**by** (*simp add: isometry-preserves-norm partial-isometry-def*)

**lemma** *unitary-partial-isometry*:  $\langle \text{unitary } P \implies \text{partial-isometry } P \rangle$   
**using** *isometry-partial-isometry unitary-isometry* **by** *blast*

**lemma** *norm-partial-isometry*:  
**fixes**  $A :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
**assumes**  $\langle \text{partial-isometry } A \rangle$  **and**  $\langle A \neq 0 \rangle$   
**shows**  $\langle \text{norm } A = 1 \rangle$

**proof** –  
**from**  $\langle A \neq 0 \rangle$   
**have**  $\langle - (\text{kernel } A) \neq 0 \rangle$   
**by** (*metis cblinfun-eqI diff-zero id-cblinfun-apply kernel-id kernel-memberD ortho-involution orthog-proj-exists orthogonal-complement-closed-subspace uminus-ccsubspace.rep-eq zero-cblinfun.rep-eq*)  
**then obtain**  $h$  **where**  $\langle h \in \text{space-as-set } (- \text{kernel } A) \rangle$  **and**  $\langle h \neq 0 \rangle$   
**by** (*metis cblinfun-id-cblinfun-apply ccsubspace-eqI closed-csubspace.subspace complex-vector.subspace-0 kernel-id kernel-memberD kernel-memberI orthogonal-complement-closed-subspace uminus-ccsubspace.rep-eq*)  
**with**  $\langle \text{partial-isometry } A \rangle$   
**have**  $\langle \text{norm } (A \ h) = \text{norm } h \rangle$   
**using** *partial-isometry-def* **by** *blast*  
**then have**  $\langle \text{norm } A \geq 1 \rangle$

by (smt (verit)  $\langle h \neq 0 \rangle$  mult-cancel-right1 mult-left-le-one-le norm-cblinfun norm-eq-zero norm-ge-zero)

have  $\langle \text{norm } A \leq 1 \rangle$   
 proof (rule norm-cblinfun-bound)  
 show  $\langle 0 \leq (1::\text{real}) \rangle$   
 by simp  
 fix  $\psi :: 'a$   
 define  $g \ h$  where  $\langle g = \text{Proj } (\text{kernel } A) \ \psi \rangle$  and  $\langle h = \text{Proj } (- \text{kernel } A) \ \psi \rangle$   
 have  $\langle A \ g = 0 \rangle$   
 by (metis Proj-range cblinfun-apply-in-image g-def kernel-memberD)  
 moreover from  $\langle \text{partial-isometry } A \rangle$   
 have  $\langle \text{norm } (A \ h) = \text{norm } h \rangle$   
 by (metis Proj-range cblinfun-apply-in-image h-def partial-isometry-def)  
 ultimately have  $\langle \text{norm } (A \ \psi) = \text{norm } h \rangle$   
 by (simp add: Proj-ortho-compl cblinfun.diff-left cblinfun.diff-right g-def h-def)  
 also have  $\langle \text{norm } h \leq \text{norm } \psi \rangle$   
 by (smt (verit, del-Insts) h-def mult-left-le-one-le norm-Proj-leq1 norm-cblinfun norm-ge-zero)  
 finally show  $\langle \text{norm } (A *_{\text{V}} \psi) \leq 1 * \text{norm } \psi \rangle$   
 by simp  
 qed

from  $\langle \text{norm } A \leq 1 \rangle$  and  $\langle \text{norm } A \geq 1 \rangle$   
 show  $\langle \text{norm } A = 1 \rangle$   
 by auto  
 qed

lemma partial-isometry-adj-a-o-a:  
 assumes  $\langle \text{partial-isometry } a \rangle$   
 shows  $\langle a * o_{CL} \ a = \text{Proj } (- \text{kernel } a) \rangle$   
 proof (rule cblinfun-cinner-eqI)  
 define  $P$  where  $\langle P = \text{Proj } (- \text{kernel } a) \rangle$   
 have  $aP: \langle a \ o_{CL} \ P = a \rangle$   
 by (auto intro!: simp: cblinfun-compose-minus-right P-def Proj-ortho-compl)  
 have  $\text{is-Proj-}P[\text{simp}]: \langle \text{is-Proj } P \rangle$   
 by (simp add: P-def)  
  
 fix  $\psi :: 'a$   
 have  $\langle \psi \cdot_C ((a * o_{CL} \ a) *_{\text{V}} \psi) = a \ \psi \cdot_C a \ \psi \rangle$   
 by (simp add: cinner-adj-right)  
 also have  $\langle \dots = a \ (P \ \psi) \cdot_C a \ (P \ \psi) \rangle$   
 by (metis aP cblinfun-apply-cblinfun-compose)  
 also have  $\langle \dots = P \ \psi \cdot_C P \ \psi \rangle$   
 by (metis P-def Proj-range assms cblinfun-apply-in-image cdot-square-norm partial-isometry-def)  
 also have  $\langle \dots = \psi \cdot_C P \ \psi \rangle$   
 by (simp flip: cinner-adj-right add: is-proj-selfadj is-Proj-idempotent[THEN simp-a-oCL-b])

```

    finally show  $\langle \psi \cdot_C ((a *_{CL} a) *_V \psi) = \psi \cdot_C P \psi \rangle$ 
    by -
qed

lemma partial-isometry-square-proj:  $\langle is-Proj (a *_{CL} a) \rangle$  if  $\langle partial-isometry a \rangle$ 
  by (simp add: partial-isometry-adj-a-o-a that)

lemma partial-isometry-adj[simp]:  $\langle partial-isometry (a *) \rangle$  if  $\langle partial-isometry a \rangle$ 
  for  $a :: \langle 'a :: hilbert-space \Rightarrow_{CL} 'b :: hilbert-space \rangle$ 
proof -
  have ran-ker:  $\langle a *_S top = - kernel (a *) \rangle$ 
  by (simp add: kernel-compl-adj-range)

  have  $\langle norm (a *_V h) = norm h \rangle$  if  $\langle h \in range a \rangle$  for  $h$ 
  proof -
    from that obtain  $x$  where  $h: \langle h = a x \rangle$ 
    by auto
    have  $\langle norm (a *_V h) = norm (a *_V a *_V x) \rangle$ 
    by (simp add: h)
    also have  $\langle \dots = norm (Proj (- kernel a) *_V x) \rangle$ 
    by (simp add:  $\langle partial-isometry a \rangle$  partial-isometry-adj-a-o-a simp-a-oCL-b')
    also have  $\langle \dots = norm (a *_V Proj (- kernel a) *_V x) \rangle$ 
    by (metis Proj-range  $\langle partial-isometry a \rangle$  cblinfun-apply-in-image partial-isometry-def)
    also have  $\langle \dots = norm (a *_V x) \rangle$ 
    by (smt (verit, best) Proj-idempotent  $\langle partial-isometry a \rangle$  adj-Proj cblin-
    fun-apply-cblinfun-compose cinner-adj-right cnorm-eq partial-isometry-adj-a-o-a)
    also have  $\langle \dots = norm h \rangle$ 
    using  $h$  by auto
    finally show ?thesis
    by -
  qed

  then have norm-pres:  $\langle norm (a *_V h) = norm h \rangle$  if  $\langle h \in closure (range a) \rangle$ 
  for  $h$ 
  using that apply (rule on-closure-eqI)
  by assumption (intro continuous-intros)+

  show ?thesis
  apply (rule partial-isometryI)
  by (auto simp: cblinfun-image.rep-eq norm-pres simp flip: ran-ker)
qed

```

### 13.13 Isomorphisms and inverses

**definition**  $iso-cblinfun :: \langle ('a :: complex-normed-vector, 'b :: complex-normed-vector) \Rightarrow bool \rangle$  **where**  
 $\langle iso-cblinfun A = (\exists B. A \circ_{CL} B = id-cblinfun \wedge B \circ_{CL} A = id-cblinfun) \rangle$

**definition**  $\langle invertible-cblinfun A \longleftrightarrow (\exists B. B \circ_{CL} A = id-cblinfun) \rangle$

**definition**  $\text{cblinfun-inv} :: \langle 'a::\text{complex-normed-vector}, 'b::\text{complex-normed-vector} \rangle$   
 $\text{cblinfun} \Rightarrow \langle 'b, 'a \rangle \text{cblinfun} \rangle$  **where**  
 $\langle \text{cblinfun-inv } A = (\text{if invertible-cblinfun } A \text{ then SOME } B. B \circ_{CL} A = \text{id-cblinfun}$   
 $\text{else } 0) \rangle$

**lemma**  $\text{cblinfun-inv-left}$ :  
**assumes**  $\langle \text{invertible-cblinfun } A \rangle$   
**shows**  $\langle \text{cblinfun-inv } A \circ_{CL} A = \text{id-cblinfun} \rangle$   
**apply**  $(\text{simp add: assms cblinfun-inv-def})$   
**apply**  $(\text{rule someI-ex})$   
**using**  $\text{assms}$  **by**  $(\text{simp add: invertible-cblinfun-def})$

**lemma**  $\text{inv-cblinfun-invertible}$ :  $\langle \text{iso-cblinfun } A \implies \text{invertible-cblinfun } A \rangle$   
**by**  $(\text{auto simp: iso-cblinfun-def invertible-cblinfun-def})$

**lemma**  $\text{cblinfun-inv-right}$ :  
**assumes**  $\langle \text{iso-cblinfun } A \rangle$   
**shows**  $\langle A \circ_{CL} \text{cblinfun-inv } A = \text{id-cblinfun} \rangle$   
**proof** –  
**from**  $\text{assms}$   
**obtain**  $B$  **where**  $AB: \langle A \circ_{CL} B = \text{id-cblinfun} \rangle$  **and**  $BA: \langle B \circ_{CL} A = \text{id-cblinfun} \rangle$   
**using**  $\text{iso-cblinfun-def}$  **by**  $\text{blast}$   
**from**  $BA$  **have**  $\langle \text{cblinfun-inv } A \circ_{CL} A = \text{id-cblinfun} \rangle$   
**by**  $(\text{simp add: assms cblinfun-inv-left inv-cblinfun-invertible})$   
**with**  $AB$   $BA$  **have**  $\langle \text{cblinfun-inv } A = B \rangle$   
**by**  $(\text{metis cblinfun-assoc-left(1) cblinfun-compose-id-right})$   
**with**  $AB$  **show**  $\langle A \circ_{CL} \text{cblinfun-inv } A = \text{id-cblinfun} \rangle$   
**by**  $\text{auto}$   
**qed**

**lemma**  $\text{cblinfun-inv-uniq}$ :  
**assumes**  $A \circ_{CL} B = \text{id-cblinfun}$  **and**  $B \circ_{CL} A = \text{id-cblinfun}$   
**shows**  $\text{cblinfun-inv } A = B$   
**using**  $\text{assms}$  **by**  $(\text{metis inv-cblinfun-invertible cblinfun-compose-assoc cblinfun-compose-id-right cblinfun-inv-left iso-cblinfun-def})$

**lemma**  $\text{iso-cblinfun-unitary}$ :  $\langle \text{unitary } A \implies \text{iso-cblinfun } A \rangle$   
**using**  $\text{iso-cblinfun-def unitary-def}$  **by**  $\text{blast}$

**lemma**  $\text{invertible-cblinfun-isometry}$ :  $\langle \text{isometry } A \implies \text{invertible-cblinfun } A \rangle$   
**using**  $\text{invertible-cblinfun-def isometryD}$  **by**  $\text{blast}$

**lemma**  $\text{summable-cblinfun-apply-invertible}$ :  
**assumes**  $\langle \text{invertible-cblinfun } A \rangle$   
**shows**  $\langle (\lambda x. A *_V g x) \text{ summable-on } S \longleftrightarrow g \text{ summable-on } S \rangle$   
**proof**  $(\text{rule iffI})$   
**assume**  $\langle g \text{ summable-on } S \rangle$   
**then show**  $\langle (\lambda x. A *_V g x) \text{ summable-on } S \rangle$

```

    by (rule summable-on-cblinfun-apply)
next
  assume ⟨(λx. A *V g x) summable-on S⟩
  then have ⟨(λx. cblinfun-inv A *V A *V g x) summable-on S⟩
    by (rule summable-on-cblinfun-apply)
  then show ⟨g summable-on S⟩
    by (simp add: cblinfun-inv-left assms flip: cblinfun-apply-cblinfun-compose)
qed

```

```

lemma infsum-cblinfun-apply-invertible:
  assumes ⟨invertible-cblinfun A⟩
  shows ⟨(∑∞ x∈S. A *V g x) = A *V (∑∞ x∈S. g x)⟩
proof (cases ⟨g summable-on S⟩)
  case True
  then show ?thesis
    by (rule infsum-cblinfun-apply)
next
  case False
  then have ⟨¬ (λx. A *V g x) summable-on S⟩
  using assms by (simp add: summable-cblinfun-apply-invertible)
  with False show ?thesis
    by (simp add: infsum-not-exists)
qed

```

### 13.14 One-dimensional spaces

**instantiation** *cblinfun* :: (*one-dim*, *one-dim*) *complex-inner* **begin**

Once we have a theory for the trace, we could instead define the Hilbert-Schmidt inner product and relax the *one-dim*-sort constraint to (*cfinite-dim*, *complex-normed-vector*) or similar

```

definition cinner-cblinfun (A::'a ⇒CL 'b) (B::'a ⇒CL 'b)
  = cnj (one-dim-iso (A *V 1)) * one-dim-iso (B *V 1)
instance
proof intro-classes
  fix A B C :: 'a ⇒CL 'b
  and c c' :: complex
  show (A •C B) = cnj (B •C A)
    unfolding cinner-cblinfun-def by auto
  show (A + B) •C C = (A •C C) + (B •C C)
    by (simp add: cinner-cblinfun-def algebra-simps plus-cblinfun.rep-eq)
  show (c *C A •C B) = cnj c * (A •C B)
    by (simp add: cblinfun.scaleC-left cinner-cblinfun-def)
  show 0 ≤ (A •C A)
    unfolding cinner-cblinfun-def by auto
  have bounded-clinear A ⇒ A 1 = 0 ⇒ A = (λ-. 0)
    for A::'a ⇒ 'b
  proof (rule one-dim-clinear-eqI [where x = 1] , auto)
    show clinear A

```

```

    if bounded-clinear A
      and A 1 = 0
    for A :: 'a  $\Rightarrow$  'b
    using that
    by (simp add: bounded-clinear.clinear)
  show clinear (( $\lambda$ -. 0)::'a  $\Rightarrow$  'b)
    if bounded-clinear A
      and A 1 = 0
    for A :: 'a  $\Rightarrow$  'b
    using that
    by (simp add: complex-vector.module-hom-zero)
qed
hence A *V 1 = 0  $\implies$  A = 0
  by transfer
hence one-dim-iso (A *V 1) = 0  $\implies$  A = 0
  by (metis one-dim-iso-of-zero one-dim-iso-inj)
thus ((A  $\cdot_C$  A) = 0) = (A = 0)
  by (auto simp: cinner-cblinfun-def)

show norm A = sqrt (cmod (A  $\cdot_C$  A))
  unfolding cinner-cblinfun-def
  by transfer (simp add: norm-mult abs-complex-def one-dim-onorm' cnj-x-x
power2-eq-square bounded-clinear.clinear)
qed
end

instantiation cblinfun :: (one-dim, one-dim) one-dim begin
lift-definition one-cblinfun :: 'a  $\Rightarrow_{CL}$  'b is one-dim-iso
  by (rule bounded-clinear-one-dim-iso)
lift-definition times-cblinfun :: 'a  $\Rightarrow_{CL}$  'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b
  is  $\lambda f g. f \circ \text{one-dim-iso} \circ g$ 
  by (simp add: comp-bounded-clinear)
lift-definition inverse-cblinfun :: 'a  $\Rightarrow_{CL}$  'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b is
   $\lambda f. ((*)(\text{one-dim-iso}(\text{inverse}(f\ 1)))) \circ \text{one-dim-iso}$ 
  by (auto intro!: comp-bounded-clinear bounded-clinear-mult-right)
definition divide-cblinfun :: 'a  $\Rightarrow_{CL}$  'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b where
  divide-cblinfun A B = A * inverse B
definition canonical-basis-cblinfun = [1 :: 'a  $\Rightarrow_{CL}$  'b]
definition  $\langle \text{canonical-basis-length-cblinfun } (- :: ('a \Rightarrow_{CL} 'b) \text{ itself}) = (1::\text{nat}) \rangle$ 
instance
proof intro-classes
  let ?basis = canonical-basis :: ('a  $\Rightarrow_{CL}$  'b) list
  fix A B C :: 'a  $\Rightarrow_{CL}$  'b
  and c c' :: complex
  show distinct ?basis
  unfolding canonical-basis-cblinfun-def by simp
  have (1::'a  $\Rightarrow_{CL}$  'b)  $\neq$  (0::'a  $\Rightarrow_{CL}$  'b)
  by (metis cblinfun.zero-left one-cblinfun.rep-eq one-dim-iso-of-one zero-neq-one)
  thus cindependent (set ?basis)

```

```

unfolding canonical-basis-cblinfun-def by simp

have  $A \in \text{cspan } (\text{set } ?\text{basis})$  for  $A$ 
proof -
  define  $c :: \text{complex}$  where  $c = \text{one-dim-iso } (A *_{\mathcal{V}} 1)$ 
  have  $A \ x = \text{one-dim-iso } (A \ 1) *_{\mathcal{C}} \text{one-dim-iso } x$  for  $x$ 
  by (smt (z3) cblinfun.scaleC-right complex-vector.scale-left-commute one-dim-iso-idem
one-dim-scaleC-1)
  hence  $A = \text{one-dim-iso } (A *_{\mathcal{V}} 1) *_{\mathcal{C}} 1$ 
  by transfer metis
  thus  $A \in \text{cspan } (\text{set } ?\text{basis})$ 
  unfolding canonical-basis-cblinfun-def
  by (smt complex-vector.span-base complex-vector.span-scale list.set-intros(1))
qed
thus  $\text{cspan } (\text{set } ?\text{basis}) = \text{UNIV}$  by auto

have  $A = (1 :: 'a \Rightarrow_{\mathcal{CL}} 'b) \implies$ 
   $\text{norm } (1 :: 'a \Rightarrow_{\mathcal{CL}} 'b) = (1 :: \text{real})$ 
  by transfer simp
thus  $A \in \text{set } ?\text{basis} \implies \text{norm } A = 1$ 
  unfolding canonical-basis-cblinfun-def
  by simp

show  $?\text{basis} = [1]$ 
  unfolding canonical-basis-cblinfun-def by simp
show  $c *_{\mathcal{C}} 1 * c' *_{\mathcal{C}} 1 = (c * c') *_{\mathcal{C}} (1 :: 'a \Rightarrow_{\mathcal{CL}} 'b)$ 
  by transfer auto
have  $(1 :: 'a \Rightarrow_{\mathcal{CL}} 'b) = (0 :: 'a \Rightarrow_{\mathcal{CL}} 'b) \implies \text{False}$ 
  by (metis cblinfun.zero-left one-cblinfun.rep-eq one-dim-iso-of-zero' zero-neq-neg-one)
thus is-ortho-set (set ?basis)
  unfolding is-ortho-set-def canonical-basis-cblinfun-def by auto
show  $A \ \text{div} \ B = A * \ \text{inverse} \ B$ 
  by (simp add: divide-cblinfun-def)
show  $\text{inverse } (c *_{\mathcal{C}} 1) = (1 :: 'a \Rightarrow_{\mathcal{CL}} 'b) /_{\mathcal{C}} c$ 
  by transfer (simp add: o-def one-dim-inverse)
show  $\langle \text{canonical-basis-length } \text{TYPE}('a \Rightarrow_{\mathcal{CL}} 'b) = \text{length } (\text{canonical-basis} :: ('a$ 
 $\Rightarrow_{\mathcal{CL}} 'b) \ \text{list}) \rangle$ 
  by (simp add: canonical-basis-length-cblinfun-def canonical-basis-cblinfun-def)
qed
end

lemma id-cblinfun-eq-1[simp]:  $\langle \text{id-cblinfun} = 1 \rangle$ 
  by transfer auto

lemma one-dim-cblinfun-compose-is-times[simp]:
  fixes  $A :: 'a :: \text{one-dim} \Rightarrow_{\mathcal{CL}} 'a$  and  $B :: 'a \Rightarrow_{\mathcal{CL}} 'a$ 
  shows  $A \ o_{\mathcal{CL}} \ B = A * B$ 
  by transfer simp

```

**lemma** *scaleC-one-dim-is-times*:  $\langle r *_C x = \text{one-dim-iso } r * x \rangle$   
**by** *simp*

**lemma** *one-comp-one-cblinfun[simp]*:  $1 \circ_{CL} 1 = 1$   
**apply** *transfer unfolding o-def by simp*

**lemma** *one-cblinfun-adj[simp]*:  $1 * = 1$   
**by** *transfer simp*

**lemma** *scaleC-1-apply[simp]*:  $\langle (x *_C 1) *_V y = x *_C y \rangle$   
**by** (*metis cblinfun.scaleC-left cblinfun-id-cblinfun-apply id-cblinfun-eq-1*)

**lemma** *cblinfun-apply-1-left[simp]*:  $\langle 1 *_V y = y \rangle$   
**by** (*metis cblinfun-id-cblinfun-apply id-cblinfun-eq-1*)

**lemma** *of-complex-cblinfun-apply[simp]*:  $\langle \text{of-complex } x *_V y = \text{one-dim-iso } (x *_C y) \rangle$   
**by** (*metis of-complex-def cblinfun.scaleC-right one-cblinfun.rep-eq scaleC-cblinfun.rep-eq*)

**lemma** *cblinfun-compose-1-left[simp]*:  $\langle 1 \circ_{CL} x = x \rangle$   
**by** *transfer auto*

**lemma** *cblinfun-compose-1-right[simp]*:  $\langle x \circ_{CL} 1 = x \rangle$   
**by** *transfer auto*

**lemma** *one-dim-iso-id-cblinfun*:  $\langle \text{one-dim-iso id-cblinfun} = \text{id-cblinfun} \rangle$   
**by** *simp*

**lemma** *one-dim-iso-id-cblinfun-eq-1*:  $\langle \text{one-dim-iso id-cblinfun} = 1 \rangle$   
**by** *simp*

**lemma** *one-dim-iso-comp-distr[simp]*:  $\langle \text{one-dim-iso } (a \circ_{CL} b) = \text{one-dim-iso } a \circ_{CL} \text{one-dim-iso } b \rangle$   
**by** (*smt (z3) cblinfun-compose-scaleC-left cblinfun-compose-scaleC-right one-cinner-a-scaleC-one one-comp-one-cblinfun one-dim-iso-of-one one-dim-iso-scaleC*)

**lemma** *one-dim-iso-comp-distr-times[simp]*:  $\langle \text{one-dim-iso } (a \circ_{CL} b) = \text{one-dim-iso } a * \text{one-dim-iso } b \rangle$   
**by** (*smt (verit, del-Insts) mult.left-neutral mult-scaleC-left one-cinner-a-scaleC-one one-comp-one-cblinfun one-dim-iso-of-one one-dim-iso-scaleC cblinfun-compose-scaleC-right cblinfun-compose-scaleC-left*)

**lemma** *one-dim-iso-adjoint[simp]*:  $\langle \text{one-dim-iso } (A*) = (\text{one-dim-iso } A)* \rangle$   
**by** (*smt (z3) one-cblinfun-adj one-cinner-a-scaleC-one one-dim-iso-of-one one-dim-iso-scaleC scaleC-adj*)

**lemma** *one-dim-iso-adjoint-complex[simp]*:  $\langle \text{one-dim-iso } (A*) = \text{cnj } (\text{one-dim-iso } A) \rangle$   
**by** (*metis (mono-tags, lifting) one-cblinfun-adj one-dim-iso-idem one-dim-scaleC-1*)

*scaleC-adj*)

**lemma** *one-dim-cblinfun-compose-commute*:  $\langle a \circ_{CL} b = b \circ_{CL} a \rangle$  **for**  $a :: \langle 'a :: \text{one-dim}, 'a \rangle$   
*cblinfun*  
**by** (*simp add: one-dim-iso-inj*)

**lemma** *one-cblinfun-apply-one*[*simp*]:  $\langle 1 *_V 1 = 1 \rangle$   
**by** (*simp add: one-cblinfun.rep-eq*)

**lemma** *one-dim-cblinfun-apply-is-times*:  
**fixes**  $A :: 'a :: \text{one-dim} \Rightarrow_{CL} 'b :: \text{one-dim}$  **and**  $b :: 'a$   
**shows**  $A *_V b = \text{one-dim-iso } A * \text{one-dim-iso } b$   
**apply** (*subst one-dim-scaleC-1 [of A, symmetric]*)  
**apply** (*subst one-dim-scaleC-1 [of b, symmetric]*)  
**apply** (*simp only: cblinfun.scaleC-left cblinfun.scaleC-right*)  
**by** *simp*

**lemma** *is-onb-one-dim*[*simp*]:  $\langle \text{norm } x = 1 \implies \text{is-onb } \{x\} \rangle$  **for**  $x :: \langle - :: \text{one-dim} \rangle$   
**by** (*auto simp: is-onb-def intro!: ccspan-one-dim*)

**lemma** *one-dim-iso-cblinfun-comp*:  $\langle \text{one-dim-iso } (a \circ_{CL} b) = \text{of-complex } (\text{cinner } (a *_V 1) (b *_V 1)) \rangle$   
**for**  $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{one-dim} \rangle$  **and**  $b :: \langle 'c :: \text{one-dim} \Rightarrow_{CL} 'a \rangle$   
**by** (*simp add: cinner-adj-left cinner-cblinfun-def one-dim-iso-def*)

**lemma** *one-dim-iso-cblinfun-apply*[*simp*]:  $\langle \text{one-dim-iso } \psi *_V \varphi = \text{one-dim-iso } (\text{one-dim-iso } \psi *_C \varphi) \rangle$   
**by** (*smt (verit) cblinfun.scaleC-left one-cblinfun.rep-eq one-dim-iso-of-one one-dim-iso-scaleC one-dim-scaleC-1*)

### 13.15 Loewner order

**lift-definition** *heterogenous-cblinfun-id* ::  $\langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} 'b :: \text{complex-normed-vector} \rangle$   
**is**  $\langle \text{if bounded-clinear } (\text{heterogenous-identity} :: 'a :: \text{complex-normed-vector} \Rightarrow 'b :: \text{complex-normed-vector})$   
*then heterogenous-identity else } (\lambda -. 0) \rangle  
**by** *auto**

**lemma** *heterogenous-cblinfun-id-def*'[*simp*]: *heterogenous-cblinfun-id* = *id-cblinfun*  
**by** *transfer auto*

**definition** *heterogenous-same-type-cblinfun* ( $x :: 'a :: \text{chilbert-space}$  *itself*) ( $y :: 'b :: \text{chilbert-space}$  *itself*)  $\longleftrightarrow$   
 $\text{unitary } (\text{heterogenous-cblinfun-id} :: 'a \Rightarrow_{CL} 'b) \wedge \text{unitary } (\text{heterogenous-cblinfun-id} :: 'b \Rightarrow_{CL} 'a)$

**lemma** *heterogenous-same-type-cblinfun*[*simp*]:  $\langle \text{heterogenous-same-type-cblinfun } (x :: 'a :: \text{chilbert-space}$  *itself*) ( $y :: 'a :: \text{chilbert-space}$  *itself*)  $\rangle$   
**unfolding** *heterogenous-same-type-cblinfun-def* **by** *auto*

```

instantiation cblinfun :: (chilbert-space, chilbert-space) ord begin
definition less-eq-cblinfun-def-heterogenous:  $\langle A \leq B \longleftrightarrow$ 
  (if heterogenous-same-type-cblinfun TYPE('a) TYPE('b) then
     $\forall \psi :: 'b. \psi \cdot_C ((B-A) *_V \text{heterogenous-cblinfun-id} *_V \psi) \geq 0$  else  $(A=B)$ ) $\rangle$ 
definition  $\langle (A :: 'a \Rightarrow_{CL} 'b) < B \longleftrightarrow A \leq B \wedge \neg B \leq A \rangle$ 
instance..
end

lemma less-eq-cblinfun-def:  $\langle A \leq B \longleftrightarrow$ 
   $(\forall \psi. \psi \cdot_C (A *_V \psi) \leq \psi \cdot_C (B *_V \psi)) \rangle$ 
unfolding less-eq-cblinfun-def-heterogenous
by (auto simp del: less-eq-complex-def simp: cblinfun.diff-left cinner-diff-right)

instantiation cblinfun :: (chilbert-space, chilbert-space) ordered-complex-vector begin
instance
proof intro-classes
  fix x y z ::  $\langle 'a \Rightarrow_{CL} 'b \rangle$ 
  fix a b :: complex

  define pos where  $\langle \text{pos } X \longleftrightarrow (\forall \psi. \text{cinner } \psi (X *_V \psi) \geq 0) \rangle$  for  $X :: \langle 'b \Rightarrow_{CL} 'b \rangle$ 
  consider (unitary)  $\langle \text{heterogenous-same-type-cblinfun TYPE('a) TYPE('b)} \rangle$ 
   $\langle \bigwedge A B :: 'a \Rightarrow_{CL} 'b. A \leq B = \text{pos } ((B-A) o_{CL} (\text{heterogenous-cblinfun-id} :: 'b \Rightarrow_{CL} 'a)) \rangle$ 
  | (trivial)  $\langle \bigwedge A B :: 'a \Rightarrow_{CL} 'b. A \leq B \longleftrightarrow A = B \rangle$ 
  by atomize-elim (auto simp: pos-def less-eq-cblinfun-def-heterogenous)
  note cases = this

  have [simp]:  $\langle \text{pos } 0 \rangle$ 
  unfolding pos-def by auto

  have pos-nondeg:  $\langle X = 0 \rangle$  if  $\langle \text{pos } X \rangle$  and  $\langle \text{pos } (-X) \rangle$  for  $X$ 
  apply (rule cblinfun-cinner-eqI, simp)
  using that by (metis (no-types, lifting) cblinfun.minus-left cinner-minus-right
    dual-order.antisym equation-minus-iff neg-le-0-iff-le pos-def)

  have pos-add:  $\langle \text{pos } (X+Y) \rangle$  if  $\langle \text{pos } X \rangle$  and  $\langle \text{pos } Y \rangle$  for  $X Y$ 
  by (smt (z3) pos-def cblinfun.diff-left cinner-minus-right cinner-simps(3) diff-ge-0-iff-ge
    diff-minus-eq-add neg-le-0-iff-le order-trans that(1) that(2) uminus-cblinfun.rep-eq)

  have pos-scaleC:  $\langle \text{pos } (a *_C X) \rangle$  if  $\langle a \geq 0 \rangle$  and  $\langle \text{pos } X \rangle$  for  $X a$ 
  using that unfolding pos-def by (auto simp: cblinfun.scaleC-left)

  let ?id =  $\langle \text{heterogenous-cblinfun-id} :: 'b \Rightarrow_{CL} 'a \rangle$ 

  show  $\langle x \leq x \rangle$ 
  apply (cases rule:cases) by auto
  show  $\langle (x < y) \longleftrightarrow (x \leq y \wedge \neg y \leq x) \rangle$ 

```

```

    unfolding less-cblinfun-def by simp
  show  $\langle x \leq z \rangle$  if  $\langle x \leq y \rangle$  and  $\langle y \leq z \rangle$ 
  proof (cases rule:cases)
    case unitary
    define a b ::  $\langle 'b \Rightarrow_{CL} 'b \rangle$  where  $\langle a = (y-x) \text{ } o_{CL} \text{ } \textit{heterogenous-cblinfun-id} \rangle$ 
      and  $\langle b = (z-y) \text{ } o_{CL} \text{ } \textit{heterogenous-cblinfun-id} \rangle$ 
    with unitary that have  $\langle \textit{pos } a \rangle$  and  $\langle \textit{pos } b \rangle$ 
    by auto
    then have  $\langle \textit{pos } (a + b) \rangle$ 
    by (rule pos-add)
    moreover have  $\langle a + b = (z - x) \text{ } o_{CL} \text{ } \textit{heterogenous-cblinfun-id} \rangle$ 
    unfolding a-def b-def
    by (metis (no-types, lifting) bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose
    diff-add-cancel ordered-field-class.sign-simps(2) ordered-field-class.sign-simps(8))
    ultimately show ?thesis
    using unitary by auto
  next
    case trivial
    with that show ?thesis by auto
  qed
  show  $\langle x = y \rangle$  if  $\langle x \leq y \rangle$  and  $\langle y \leq x \rangle$ 
  proof (cases rule:cases)
    case unitary
    then have  $\langle \textit{unitary } ?id \rangle$ 
    by (auto simp: heterogenous-same-type-cblinfun-def)
    define a b ::  $\langle 'b \Rightarrow_{CL} 'b \rangle$  where  $\langle a = (y-x) \text{ } o_{CL} \text{ } ?id \rangle$ 
      and  $\langle b = (x-y) \text{ } o_{CL} \text{ } ?id \rangle$ 
    with unitary that have  $\langle \textit{pos } a \rangle$  and  $\langle \textit{pos } b \rangle$ 
    by auto
    then have  $\langle a = 0 \rangle$ 
    apply (rule-tac pos-nondeg)
    apply (auto simp: a-def b-def)
    by (smt (verit, best) add.commute bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose
    cblinfun-compose-zero-left diff-0 diff-add-cancel group-cancel.rule0 group-cancel.sub1)
    then show ?thesis
    unfolding a-def using  $\langle \textit{unitary } ?id \rangle$ 
    by (metis cblinfun-compose-assoc cblinfun-compose-id-right cblinfun-compose-zero-left
    eq-iff-diff-eq-0 unitaryD2)
  next
    case trivial
    with that show ?thesis by simp
  qed
  show  $\langle x + y \leq x + z \rangle$  if  $\langle y \leq z \rangle$ 
  proof (cases rule:cases)
    case unitary
    with that show ?thesis
    by auto
  next
    case trivial

```

```

    with that show ?thesis
    by auto
qed

show  $\langle a *_C x \leq a *_C y \rangle$  if  $\langle x \leq y \rangle$  and  $\langle 0 \leq a \rangle$ 
proof (cases rule:cases)
  case unitary
  with that pos-scaleC show ?thesis
  by (metis cblinfun-compose-scaleC-left complex-vector.scale-right-diff-distrib)
next
  case trivial
  with that show ?thesis
  by auto
qed

show  $\langle a *_C x \leq b *_C x \rangle$  if  $\langle a \leq b \rangle$  and  $\langle 0 \leq x \rangle$ 
proof (cases rule:cases)
  case unitary
  with that show ?thesis
  by (auto intro!: pos-scaleC simp flip: scaleC-diff-left)
next
  case trivial
  with that show ?thesis
  by auto
qed
qed
end

instance cblinfun :: (chilbert-space, chilbert-space) ordered-comm-monoid-add
by intro-classes

lemma positive-id-cblinfun[simp]:  $\text{id-cblinfun} \geq 0$ 
unfolding less-eq-cblinfun-def using cinner-ge-zero by auto

lemma positive-selfadjointI:  $\langle \text{selfadjoint } A \rangle$  if  $\langle A \geq 0 \rangle$ 
apply (rule cinner-real-selfadjointI)
using that by (auto simp: complex-is-real-iff-compare0 less-eq-cblinfun-def)

lemma cblinfun-leI:
  assumes  $\bigwedge x. \text{norm } x = 1 \implies x \cdot_C (A *_V x) \leq x \cdot_C (B *_V x)$ 
  shows  $\langle A \leq B \rangle$ 
proof (unfold less-eq-cblinfun-def, intro allI, case-tac  $\langle \psi = 0 \rangle$ )
  fix  $\psi :: 'a$  assume  $\langle \psi = 0 \rangle$ 
  then show  $\langle \psi \cdot_C (A *_V \psi) \leq \psi \cdot_C (B *_V \psi) \rangle$ 
  by simp
next
  fix  $\psi :: 'a$  assume  $\langle \psi \neq 0 \rangle$ 
  define  $\varphi$  where  $\langle \varphi = \psi /_R \text{norm } \psi \rangle$ 
  have  $\langle \varphi \cdot_C (A *_V \varphi) \leq \varphi \cdot_C (B *_V \varphi) \rangle$ 

```

```

  apply (rule assms)
  unfolding  $\varphi$ -def
  by (simp add:  $\langle \psi \neq 0 \rangle$ )
with  $\langle \psi \neq 0 \rangle$  show  $\langle \psi \cdot_C (A *_V \psi) \leq \psi \cdot_C (B *_V \psi) \rangle$ 
  unfolding  $\varphi$ -def
  by (smt (verit) cinner-adj-left cinner-scaleR-left cinner-simps(6) complex-of-real-nn-iff
mult-cancel-right1 mult-left-mono norm-eq-zero norm-ge-zero of-real-1 right-inverse
scaleR-scaleC scaleR-scaleR)
qed

```

```

lemma positive-cblinfunI:  $\langle A \geq 0 \rangle$  if  $\langle \bigwedge x. \text{norm } x = 1 \implies \text{cinner } x (A *_V x) \geq 0 \rangle$ 
  apply (rule cblinfun-leI)
  using that by simp

```

```

lemma less-eq-scaled-id-norm:
  assumes  $\langle \text{norm } A \leq c \rangle$  and  $\langle \text{selfadjoint } A \rangle$ 
  shows  $\langle A \leq c *_R \text{id-cblinfun} \rangle$ 
proof -
  have  $\langle x \cdot_C (A *_V x) \leq \text{complex-of-real } c \rangle$  if  $\langle \text{norm } x = 1 \rangle$  for  $x$ 
  proof -
    have  $\langle \text{norm } (x \cdot_C (A *_V x)) \leq \text{norm } (A *_V x) \rangle$ 
      by (metis complex-inner-class.Cauchy-Schwarz-ineq2 mult-cancel-right1 that)
    also have  $\langle \dots \leq \text{norm } A \rangle$ 
      by (metis more-arith-simps(6) norm-cblinfun that)
    also have  $\langle \dots \leq c \rangle$ 
      by (rule assms)
    finally have  $\langle \text{norm } (x \cdot_C (A *_V x)) \leq c \rangle$ 
      by -
    moreover have  $\langle x \cdot_C (A *_V x) \in \mathbb{R} \rangle$ 
      by (metis assms(2) cinner-selfadjoint-real)
    ultimately show ?thesis
      by (smt (verit) Re-complex-of-real Reals-cases complex-of-real-nn-iff less-eq-complex-def
norm-of-real reals-zero-comparable)
  qed
  then show ?thesis
    by (smt (verit) cblinfun.scaleC-left cblinfun-id-cblinfun-apply cblinfun-leI cinner-scaleC-right cnorm-eq-1 mult-cancel-left2 scaleR-scaleC)
qed

```

```

lemma positive-cblinfun-squareI:  $\langle A = B *_L B \implies A \geq 0 \rangle$ 
  apply (rule positive-cblinfunI)
  by (metis cblinfun-apply-cblinfun-compose cinner-adj-right cinner-ge-zero)

```

```

lemma one-dim-loewner-order:  $\langle A \geq B \iff \text{one-dim-iso } A \geq (\text{one-dim-iso } B :: \text{complex}) \rangle$  for  $A \ B :: \langle 'a \Rightarrow_{CL} 'a :: \{\text{hilbert-space, one-dim}\} \rangle$ 
proof -
  have  $A: \langle A = \text{one-dim-iso } A *_C \text{id-cblinfun} \rangle$ 

```

```

    by simp
  have B:  $\langle B = \text{one-dim-iso } B *_C \text{id-cblinfun} \rangle$ 
    by simp
  have  $\langle A \geq B \iff (\forall \psi. \text{cinner } \psi (A \ \psi) \geq \text{cinner } \psi (B \ \psi)) \rangle$ 
    by (simp add: less-eq-cblinfun-def)
  also have  $\langle \dots \iff (\forall \psi :: 'a. \text{one-dim-iso } B * (\psi \cdot_C \psi) \leq \text{one-dim-iso } A * (\psi \cdot_C \psi)) \rangle$ 
    apply (subst A, subst B)
    by (metis (no-types, opaque-lifting) cinner-scaleC-right id-cblinfun-apply scaleC-cblinfun.rep-eq)
  also have  $\langle \dots \iff \text{one-dim-iso } A \geq (\text{one-dim-iso } B :: \text{complex}) \rangle$ 
    by (auto intro!: mult-right-mono elim!: allE[where x=1])
  finally show ?thesis
    by -
qed

```

**lemma** *one-dim-positive*:  $\langle A \geq 0 \iff \text{one-dim-iso } A \geq (0 :: \text{complex}) \rangle$  **for**  $A :: 'a$   
 $\Rightarrow_{CL} 'a :: \{\text{hilbert-space}, \text{one-dim}\}$   
 using *one-dim-loewner-order*[**where**  $B=0$ ] **by** *auto*

**lemma** *op-square-nondegenerate*:  $\langle a = 0 \rangle$  **if**  $\langle a * o_{CL} a = 0 \rangle$   
**proof** (*rule cblinfun-eq-0-on-UNIV-span*[**where**  $\text{basis} = \text{UNIV}$ ]; *simp*)  
 fix  $s$   
 from *that* have  $\langle s \cdot_C ((a * o_{CL} a) *_V s) = 0 \rangle$   
 by *simp*  
 then have  $\langle (a *_V s) \cdot_C (a *_V s) = 0 \rangle$   
 by (*simp add: cinner-adj-right*)  
 then show  $\langle a *_V s = 0 \rangle$   
 by *simp*  
qed

**lemma** *comparable-selfadjoint*:  
 assumes  $\langle a \leq b \rangle$   
 assumes  $\langle \text{selfadjoint } a \rangle$   
 shows  $\langle \text{selfadjoint } b \rangle$   
**by** (*smt* (*verit*, *best*) *assms*(1) *assms*(2) *cinner-selfadjoint-real cinner-real-selfadjointI comparable complex-is-real-iff-compare0 less-eq-cblinfun-def selfadjoint-def*)

**lemma** *comparable-selfadjoint'*:  
 assumes  $\langle a \leq b \rangle$   
 assumes  $\langle \text{selfadjoint } b \rangle$   
 shows  $\langle \text{selfadjoint } a \rangle$   
**by** (*smt* (*verit*, *best*) *assms*(1) *assms*(2) *cinner-selfadjoint-real cinner-real-selfadjointI comparable complex-is-real-iff-compare0 less-eq-cblinfun-def selfadjoint-def*)

**lemma** *is-Proj-leq-id*:  $\langle \text{is-Proj } P \implies P \leq \text{id-cblinfun} \rangle$   
**by** (*metis diff-ge-0-iff-ge is-Proj-algebraic is-Proj-complement positive-cblinfun-squareI*)

**lemma** *Proj-mono*:  $\langle \text{Proj } S \leq \text{Proj } T \iff S \leq T \rangle$   
**proof** (*rule iffI*)

```

assume  $\langle S \leq T \rangle$ 
define  $D$  where  $\langle D = \text{Proj } T - \text{Proj } S \rangle$ 
from  $\langle S \leq T \rangle$  have  $TS\text{-}S[\text{simp}]$ :  $\langle \text{Proj } T \circ_{CL} \text{Proj } S = \text{Proj } S \rangle$ 
  by (smt (verit, ccfv-threshold) Proj-idempotent Proj-range cblinfun-apply-cblinfun-compose
cblinfun-apply-in-image cblinfun-eqI cblinfun-fixes-range less-eq-ccsubspace.rep-eq sub-
set-iff)
  then have  $ST\text{-}S[\text{simp}]$ :  $\langle \text{Proj } S \circ_{CL} \text{Proj } T = \text{Proj } S \rangle$ 
    by (metis adj-Proj adj-cblinfun-compose)
  have  $\langle D * \circ_{CL} D = D \rangle$ 
    by (simp add: D-def cblinfun-compose-minus-left cblinfun-compose-minus-right
adj-minus adj-Proj)
  then have  $\langle D \geq 0 \rangle$ 
    by (metis positive-cblinfun-squareI)
  then show  $\langle \text{Proj } S \leq \text{Proj } T \rangle$ 
    by (simp add: D-def)
next
assume  $PS\text{-}PT$ :  $\langle \text{Proj } S \leq \text{Proj } T \rangle$ 
show  $\langle S \leq T \rangle$ 
proof (rule ccsubspace-leI-unit)
  fix  $\psi$  assume  $\langle \psi \in \text{space-as-set } S \rangle$  and  $[\text{simp}]$ :  $\langle \text{norm } \psi = 1 \rangle$ 
  then have  $\langle 1 = \text{norm } (\text{Proj } S *_V \psi) \rangle$ 
    by (simp add: Proj-fixes-image)
  also from  $PS\text{-}PT$  have  $\langle \dots \leq \text{norm } (\text{Proj } T *_V \psi) \rangle$ 
    by (metis (no-types, lifting) Proj-idempotent adj-Proj cblinfun-apply-cblinfun-compose
cinner-adj-left cnorm-le less-eq-cblinfun-def)
  also have  $\langle \dots \leq 1 \rangle$ 
    by (metis Proj-is-Proj  $\langle \text{norm } \psi = 1 \rangle$  is-Proj-reduces-norm)
  ultimately have  $\langle \text{norm } (\text{Proj } T *_V \psi) = 1 \rangle$ 
    by auto
  then show  $\langle \psi \in \text{space-as-set } T \rangle$ 
    by (simp add: norm-Proj-apply-1)
qed
qed

```

```

lemma has-sum-mono-neutral-cblinfun:
  fixes  $f :: 'a \Rightarrow ('b::\text{hilbert-space} \Rightarrow_{CL} 'b)$ 
  assumes  $\langle f \text{ has-sum } a \rangle A$  and  $\langle g \text{ has-sum } b \rangle B$ 
  assumes  $\langle \bigwedge x. x \in A \cap B \implies f x \leq g x \rangle$ 
  assumes  $\langle \bigwedge x. x \in A - B \implies f x \leq 0 \rangle$ 
  assumes  $\langle \bigwedge x. x \in B - A \implies g x \geq 0 \rangle$ 
  shows  $a \leq b$ 
proof –
  from assms
  have  $\text{sum-hfh}$ :  $\langle ((\lambda x. h \cdot_C f x h) \text{ has-sum } h \cdot_C a h) A \rangle$  for  $h$ 
    by (intro has-sum-cinner-left has-sum-cblinfun-apply-left)
  from assms
  have  $\text{sum-hgh}$ :  $\langle ((\lambda x. h \cdot_C g x h) \text{ has-sum } h \cdot_C b h) B \rangle$  for  $h$ 
    by (intro has-sum-cinner-left has-sum-cblinfun-apply-left)
  from  $\text{sum-hfh}$   $\text{sum-hgh}$ 

```

```

have ⟨h •C a h ≤ h •C b h⟩ for h
  apply (rule has-sum-mono-neutral-complex)
  using assms
  by (auto intro!: simp: less-eq-cblinfun-def)
then show ⟨a ≤ b⟩
  by (simp add: less-eq-cblinfun-def)
qed

```

```

lemma sums-mono-cblinfun:
  fixes f :: nat ⇒ ('b::chilbert-space ⇒CL 'b)
  assumes ⟨f sums a⟩ and g sums b
  assumes ⟨∧n. f n ≤ g n⟩
  shows a ≤ b
proof (rule cblinfun-leI)
  fix h
  from ⟨f sums a⟩
  have sum1: ⟨(λn. h •C (f n *V h)) sums (h •C (a *V h))⟩
    apply (rule bounded-linear.sums[rotated])
    using bounded-clinear.bounded-linear bounded-clinear-cinner-right bounded-linear-compose
cblinfun.real.bounded-linear-left by blast
  from ⟨g sums b⟩
  have sum2: ⟨(λn. h •C (g n *V h)) sums (h •C (b *V h))⟩
    apply (rule bounded-linear.sums[rotated])
    by (metis bounded-linear-compose cblinfun.real.bounded-linear-left cblinfun.real.bounded-linear-right
cblinfun-cinner-right.rep-eq)
  have ⟨h •C (f n *V h) ≤ h •C (g n *V h)⟩ for n
    using assms(3) less-eq-cblinfun-def by auto
  with sum1 sum2
  show ⟨h •C (a *V h) ≤ h •C (b *V h)⟩
    by (rule sums-le-complex[rotated])
qed

```

### 13.16 Embedding vectors to operators

**lift-definition** *vector-to-cblinfun* :: ⟨'a::complex-normed-vector ⇒ 'b::one-dim ⇒<sub>CL</sub> 'a⟩ is

```

⟨λψ φ. one-dim-iso φ *C ψ⟩
by (simp add: bounded-clinear-scaleC-const)

```

```

lemma vector-to-cblinfun-apply[simp]: ⟨vector-to-cblinfun ψ *V φ = one-dim-iso
ψ *C φ⟩
  apply (transfer fixing: ψ φ)
  by simp

```

```

lemma vector-to-cblinfun-cblinfun-compose[simp]:
  A oCL (vector-to-cblinfun ψ) = vector-to-cblinfun (A *V ψ)
  apply transfer
  unfolding comp-def bounded-clinear-def clinear-def Vector-Spaces.linear-def
  module-hom-def module-hom-axioms-def

```

by *simp*

**lemma** *vector-to-cblinfun-add*:  $\langle \text{vector-to-cblinfun } (x + y) = \text{vector-to-cblinfun } x + \text{vector-to-cblinfun } y \rangle$   
 by *transfer* (*simp* *add*: *scaleC-add-right*)

**lemma** *norm-vector-to-cblinfun*[*simp*]:  $\text{norm } (\text{vector-to-cblinfun } x) = \text{norm } x$   
**proof** *transfer*  
 have *bounded-clinear* (*one-dim-iso*::*'a*  $\Rightarrow$  *complex*)  
 by *simp*  
 moreover have *onorm* (*one-dim-iso*::*'a*  $\Rightarrow$  *complex*) \* *norm* *x* = *norm* *x*  
 for *x* :: *'b*  
 by *simp*  
 ultimately show *onorm* ( $\lambda \varphi. \text{one-dim-iso } (\varphi::'a) *_C x$ ) = *norm* *x*  
 for *x* :: *'b*  
 by (*subst* *onorm-scaleC-left*)  
 qed

**lemma** *bounded-clinear-vector-to-cblinfun*[*bounded-clinear*]: *bounded-clinear* *vector-to-cblinfun*  
 apply (*rule* *bounded-clinearI*[**where** *K=1*])  
 apply (*transfer*, *simp* *add*: *scaleC-add-right*)  
 apply (*transfer*, *simp* *add*: *mult.commute*)  
 by *simp*

**lemma** *vector-to-cblinfun-scaleC*[*simp*]:  
 $\text{vector-to-cblinfun } (a *_C \psi) = a *_C \text{vector-to-cblinfun } \psi$  **for** *a*::*complex*  
 by (*intro* *clinear.scaleC* *bounded-clinear.clinear* *bounded-clinear-vector-to-cblinfun*)

**lemma** *vector-to-cblinfun-apply-one-dim*[*simp*]:  
 shows  $\text{vector-to-cblinfun } \varphi *_V \gamma = \text{one-dim-iso } \gamma *_C \varphi$   
 by *transfer* (*rule* *refl*)

**lemma** *vector-to-cblinfun-one-dim-iso*[*simp*]:  $\langle \text{vector-to-cblinfun} = \text{one-dim-iso} \rangle$   
 by (*auto* *intro!*: *ext* *cblinfun-eqI*)

**lemma** *vector-to-cblinfun-adj-apply*[*simp*]:  
 shows  $\text{vector-to-cblinfun } \psi *_V \varphi = \text{of-complex } (\text{cinner } \psi \varphi)$   
 by (*simp* *add*: *cinner-adj-right* *one-dim-iso-def* *one-dim-iso-inj*)

**lemma** *vector-to-cblinfun-comp-one*[*simp*]:  
 $(\text{vector-to-cblinfun } s :: 'a::\text{one-dim} \Rightarrow_{CL} -) \circ_{CL} 1$   
 $= (\text{vector-to-cblinfun } s :: 'b::\text{one-dim} \Rightarrow_{CL} -)$   
 apply (*transfer* *fixing*: *s*)  
 by *fastforce*

**lemma** *vector-to-cblinfun-0*[*simp*]:  $\text{vector-to-cblinfun } 0 = 0$   
 by (*metis* *cblinfun.zero-left* *cblinfun-compose-zero-left* *vector-to-cblinfun-cblinfun-compose*)

**lemma** *image-vector-to-cblinfun*[*simp*]:  $\text{vector-to-cblinfun } x *_S \top = \text{ccspan } \{x\}$

— Not that the general case *vector-to-cblinfun*  $x *_{\mathcal{S}} S$  can be handled by using that  $S = \top$  or  $S = \perp$  by *one-dim-ccsubspace-all-or-nothing*

**proof** *transfer*

**show** *closure* (range ( $\lambda\varphi::'b.$  *one-dim-iso*  $\varphi *_C x$ )) = *closure* (*cspan* { $x$ })  
**for**  $x :: 'a$

**proof** (*rule* *arg-cong* [**where**  $f = \text{closure}$ ])

**have**  $k *_C x \in \text{range } (\lambda\varphi. \text{one-dim-iso } \varphi *_C x)$  **for**  $k$

**by** (*smt* (*z3*) *id-apply one-dim-iso-id one-dim-iso-idem range-eqI*)

**thus** range ( $\lambda\varphi. \text{one-dim-iso } (\varphi::'b) *_C x$ ) = *cspan* { $x$ }

**unfolding** *complex-vector.span-singleton*

**by** *auto*

**qed**

**qed**

**lemma** *vector-to-cblinfun-adj-comp-vector-to-cblinfun[simp]*:

**shows** *vector-to-cblinfun*  $\psi *_C \text{vector-to-cblinfun } \varphi = \text{cinner } \psi \varphi *_C \text{id-cblinfun}$

**proof** —

**have** *one-dim-iso*  $\gamma *_C \text{one-dim-iso } (\text{of-complex } (\psi \cdot_C \varphi)) =$

$(\psi \cdot_C \varphi) *_C \text{one-dim-iso } \gamma$

**for**  $\gamma :: 'c::\text{one-dim}$

**by** (*metis* *complex-vector.scale-left-commute of-complex-def one-dim-iso-of-one one-dim-iso-scaleC one-dim-scaleC-1*)

**hence** *one-dim-iso* ((*vector-to-cblinfun*  $\psi *_C \text{vector-to-cblinfun } \varphi$ )  $*_V \gamma$ )

= *one-dim-iso* ((*cinner*  $\psi \varphi *_C \text{id-cblinfun}$ )  $*_V \gamma$ )

**for**  $\gamma :: 'c::\text{one-dim}$

**by** *simp*

**hence** ((*vector-to-cblinfun*  $\psi *_C \text{vector-to-cblinfun } \varphi$ )  $*_V \gamma$ ) = ((*cinner*  $\psi \varphi *_C \text{id-cblinfun}$ )  $*_V \gamma$ )

**for**  $\gamma :: 'c::\text{one-dim}$

**by** (*rule one-dim-iso-inj*)

**thus** *?thesis*

**using** *cblinfun-eqI* [**where**  $x = \text{vector-to-cblinfun } \psi *_C \text{vector-to-cblinfun } \varphi$

**and**  $y = (\psi \cdot_C \varphi) *_C \text{id-cblinfun}$ ]

**by** *auto*

**qed**

**lemma** *isometry-vector-to-cblinfun[simp]*:

**assumes** *norm*  $x = 1$

**shows** *isometry* (*vector-to-cblinfun*  $x$ )

**using** *assms cnorm-eq-1 isometry-def* **by** *force*

**lemma** *image-vector-to-cblinfun-adj*:

**assumes**  $\langle \psi \notin \text{space-as-set } (- S) \rangle$

**shows**  $\langle (\text{vector-to-cblinfun } \psi) *_S S = \top \rangle$

**proof** —

**from** *assms* **obtain**  $\varphi$  **where**  $\langle \varphi \in \text{space-as-set } S \rangle$  **and**  $\langle \neg \text{is-orthogonal } \psi \varphi \rangle$

**by** (*metis* *orthogonal-complementI uminus-ccsubspace.rep-eq*)

**have**  $\langle ((\text{vector-to-cblinfun } \psi) *_S S :: 'b \text{ ccspace}) \geq (\text{vector-to-cblinfun } \psi) *_S \text{ccspan } \{\varphi\} \rangle$  (**is**  $\langle \cdot \geq \dots \rangle$ )

```

  by (simp add: ⟨ $\varphi \in \text{space-as-set } S$ ⟩ cblinfun-image-mono ccspace-leqI)
also have ⟨ $\dots = \text{ccspan } \{(\text{vector-to-cblinfun } \psi) * *_{\mathcal{V}} \varphi\}$ ⟩
  by (auto simp: cblinfun-image-ccspan)
also have ⟨ $\dots = \text{ccspan } \{\text{of-complex } (\psi \cdot_C \varphi)\}$ ⟩
  by auto
also have ⟨ $\dots > \perp$ ⟩
  by (simp add: ⟨ $\psi \cdot_C \varphi \neq 0$ ⟩ flip: bot.not-eq-extremum )
finally (dual-order.strict-trans1) show ?thesis
  using one-dim-ccsubspace-all-or-nothing bot.not-eq-extremum by auto
qed

```

```

lemma image-vector-to-cblinfun-adj':
  assumes ⟨ $\psi \neq 0$ ⟩
  shows ⟨ $(\text{vector-to-cblinfun } \psi) * *_{\mathcal{S}} \top = \top$ ⟩
  apply (rule image-vector-to-cblinfun-adj)
  using assms by simp

```

```

lemma vector-to-cblinfun-inj: ⟨inj-on (vector-to-cblinfun :: 'a::complex-normed-vector
 $\Rightarrow$  'b::one-dim  $\Rightarrow_{CL}$  -) X)
proof (rule inj-onI)
  fix x y :: 'a
  assume ⟨vector-to-cblinfun x = (vector-to-cblinfun y :: 'b  $\Rightarrow_{CL}$  -)⟩
  then have ⟨vector-to-cblinfun x (1::'b) = vector-to-cblinfun y (1::'b)⟩
    by simp
  then show ⟨x = y⟩
    by simp
qed

```

### 13.17 Rank-1 operators / butterflies

**definition rank1** where  $\langle \text{rank1 } A \longleftrightarrow (\exists \psi. A *_{\mathcal{S}} \top = \text{ccspan } \{\psi\}) \rangle$   
— This is not the usual definition of a rank-1 operator. The usual definition is an operator with 1-dim image. Here we define it as an operator with 0- or 1-dim image. This makes the definition simpler to use. The normal definition of rank-1 operators then corresponds to the non-zero *rank1* operators.

```

definition butterfly (s::'a::complex-normed-vector) (t::'b::chilbert-space)
  = vector-to-cblinfun s oCL (vector-to-cblinfun t :: complex  $\Rightarrow_{CL}$  -)*

```

**abbreviation** selfbutter s  $\equiv$  butterfly s s

```

lemma butterfly-add-left: ⟨butterfly (a + a') b = butterfly a b + butterfly a' b⟩
  by (simp add: butterfly-def vector-to-cblinfun-add cbilinear-add-left bounded-cbilinear.add-left
    bounded-cbilinear-cblinfun-compose)

```

```

lemma butterfly-add-right: ⟨butterfly a (b + b') = butterfly a b + butterfly a b'⟩
  by (simp add: butterfly-def adj-plus vector-to-cblinfun-add cblinfun-compose-add-right)

```

**lemma** *butterfly-def-one-dim*:  $\text{butterfly } s \ t = (\text{vector-to-cblinfun } s :: 'c::\text{one-dim} \Rightarrow_{CL} -)$

$o_{CL} (\text{vector-to-cblinfun } t :: 'c \Rightarrow_{CL} -) *$

(is - = ?rhs) **for**  $s :: 'a::\text{complex-normed-vector}$  **and**  $t :: 'b::\text{hilbert-space}$

**proof** –

let ?isoAC = 1 :: 'c  $\Rightarrow_{CL}$  complex

let ?isoCA = 1 :: complex  $\Rightarrow_{CL}$  'c

let ?vector = vector-to-cblinfun :: -  $\Rightarrow$  ('c  $\Rightarrow_{CL}$  -)

have  $\text{butterfly } s \ t =$

( $?vector \ s \ o_{CL} \ ?isoCA$ )  $o_{CL} \ (?vector \ t \ o_{CL} \ ?isoCA) *$

**unfolding** *butterfly-def vector-to-cblinfun-comp-one* **by** *simp*

also have ... =  $?vector \ s \ o_{CL} \ (?isoCA \ o_{CL} \ ?isoCA *) \ o_{CL} \ (?vector \ t) *$

**by** (*metis* (*no-types*, *lifting*) *cblinfun-compose-assoc adj-cblinfun-compose*)

also have ... = ?rhs

**by** *simp*

**finally show** ?thesis

**by** *simp*

**qed**

**lemma** *butterfly-comp-cblinfun*:  $\text{butterfly } \psi \ \varphi \ o_{CL} \ a = \text{butterfly } \psi \ (a *_{\mathcal{V}} \varphi)$

**unfolding** *butterfly-def*

**by** (*simp add: cblinfun-compose-assoc flip: vector-to-cblinfun-cblinfun-compose*)

**lemma** *cblinfun-comp-butterfly*:  $a \ o_{CL} \ \text{butterfly } \psi \ \varphi = \text{butterfly } (a *_{\mathcal{V}} \psi) \ \varphi$

**unfolding** *butterfly-def*

**by** (*simp add: cblinfun-compose-assoc flip: vector-to-cblinfun-cblinfun-compose*)

**lemma** *butterfly-apply[simp]*:  $\text{butterfly } \psi \ \psi' *_{\mathcal{V}} \varphi = (\psi' \cdot_C \varphi) *_C \psi$

**by** (*simp add: butterfly-def scaleC-cblinfun.rep-eq*)

**lemma** *butterfly-scaleC-left[simp]*:  $\text{butterfly } (c *_C \psi) \ \varphi = c *_C \text{butterfly } \psi \ \varphi$

**unfolding** *butterfly-def vector-to-cblinfun-scaleC scaleC-adj*

**by** (*simp add: cnj-x-x*)

**lemma** *butterfly-scaleC-right[simp]*:  $\text{butterfly } \psi \ (c *_C \varphi) = \text{cnj } c *_C \text{butterfly } \psi \ \varphi$

**unfolding** *butterfly-def vector-to-cblinfun-scaleC scaleC-adj*

**by** (*simp add: cnj-x-x*)

**lemma** *butterfly-scaleR-left[simp]*:  $\text{butterfly } (r *_R \psi) \ \varphi = r *_C \text{butterfly } \psi \ \varphi$

**by** (*simp add: scaleR-scaleC*)

**lemma** *butterfly-scaleR-right[simp]*:  $\text{butterfly } \psi \ (r *_R \varphi) = r *_C \text{butterfly } \psi \ \varphi$

**by** (*simp add: butterfly-scaleC-right scaleR-scaleC*)

**lemma** *butterfly-adjoint[simp]*:  $(\text{butterfly } \psi \ \varphi) * = \text{butterfly } \varphi \ \psi$

**unfolding** *butterfly-def* **by** *auto*

**lemma** *butterfly-comp-butterfly[simp]*:  $\text{butterfly } \psi_1 \ \psi_2 \ o_{CL} \ \text{butterfly } \psi_3 \ \psi_4 = (\psi_2$

·<sub>C</sub> ψ3) \*<sub>C</sub> butterfly ψ1 ψ4  
 by (simp add: butterfly-comp-cblinfun)

**lemma** butterfly-0-left[simp]: butterfly 0 a = 0  
 by (simp add: butterfly-def)

**lemma** butterfly-0-right[simp]: butterfly a 0 = 0  
 by (simp add: butterfly-def)

**lemma** butterfly-is-rank1:  
 assumes ⟨φ ≠ 0⟩  
 shows ⟨butterfly ψ φ \*<sub>S</sub> 1 = ccspan {ψ}⟩  
 using assms by (simp add: butterfly-def cblinfun-compose-image image-vector-to-cblinfun-adj')

**lemma** rank1-is-butterfly:

— The restriction ψ is necessary. Consider, e.g., the space of all finite sequences (with sum-norm), and A' f = (∑ x. f x). Then A' is not a butterfly.

assumes ⟨A \*<sub>S</sub> 1 = ccspan {ψ:::hilbert-space}⟩  
 shows ⟨∃ φ. A = butterfly ψ φ⟩  
**proof** (rule exI[of - ⟨A \*<sub>V</sub> (ψ /<sub>R</sub> (norm ψ)<sup>2</sup>)⟩], rule cblinfun-eqI)  
 fix γ :: 'b  
 from assms have ⟨A \*<sub>V</sub> γ ∈ space-as-set (ccspan {ψ})⟩  
 by (simp flip: assms)  
 then obtain c where c: ⟨A \*<sub>V</sub> γ = c \*<sub>C</sub> ψ⟩  
 apply atomize-elim  
 apply (auto simp: ccspan.rep-eq)  
 by (metis complex-vector.span-breakdown-eq complex-vector.span-empty eq-iff-diff-eq-0 singletonD)  
 have ⟨A \*<sub>V</sub> γ = butterfly ψ (ψ /<sub>R</sub> (norm ψ)<sup>2</sup>) \*<sub>V</sub> (A \*<sub>V</sub> γ)⟩  
 apply (auto simp: c simp flip: scaleC-scaleC)  
 by (metis cinner-eq-zero-iff divideC-field-simps(1) power2-norm-eq-cinner scaleC-left-commute scaleC-zero-right)  
 also have ⟨... = (butterfly ψ (ψ /<sub>R</sub> (norm ψ)<sup>2</sup>) o<sub>CL</sub> A) \*<sub>V</sub> γ⟩  
 by simp  
 also have ⟨... = butterfly ψ (A \*<sub>V</sub> (ψ /<sub>R</sub> (norm ψ)<sup>2</sup>)) \*<sub>V</sub> γ⟩  
 by (simp add: cinner-adj-left)  
 finally show ⟨A \*<sub>V</sub> γ = ...⟩  
 by —  
**qed**

**lemma** rank1-0[simp]: ⟨rank1 0⟩  
 by (metis ccspan-0 kernel-0 kernel-apply-self rank1-def)

**lemma** rank1-iff-butterfly: ⟨rank1 A ⟷ (∃ ψ φ. A = butterfly ψ φ)⟩  
 for A :: ⟨::complex-inner ⇒<sub>CL</sub> ::hilbert-space⟩  
**proof** (rule iffI)  
 assume ⟨rank1 A⟩  
 then obtain ψ where ⟨A \*<sub>S</sub> 1 = ccspan {ψ}⟩  
 using rank1-def by auto

```

then have  $\langle \exists \varphi. A = \text{butterfly } \psi \ \varphi \rangle$ 
  by (rule rank1-is-butterfly)
then show  $\langle \exists \psi \ \varphi. A = \text{butterfly } \psi \ \varphi \rangle$ 
  by auto
next
assume asm:  $\langle \exists \psi \ \varphi. A = \text{butterfly } \psi \ \varphi \rangle$ 
show  $\langle \text{rank1 } A \rangle$ 
proof (cases  $\langle A = 0 \rangle$ )
  case True
  then show ?thesis
    by simp
  next
  case False
  from asm obtain  $\psi \ \varphi$  where A:  $\langle A = \text{butterfly } \psi \ \varphi \rangle$ 
    by auto
  with False have  $\langle \psi \neq 0 \rangle$  and  $\langle \varphi \neq 0 \rangle$ 
    by auto
  then have  $\langle \text{butterfly } \psi \ \varphi *_S \top = \text{ccspan } \{\psi\} \rangle$ 
    by (rule-tac butterfly-is-rank1)
  with A  $\langle \psi \neq 0 \rangle$  show  $\langle \text{rank1 } A \rangle$ 
    by (auto intro!: exI[of -  $\psi$ ] simp: rank1-def)
qed
qed

lemma rank1-butterfly[iff]:  $\langle \text{rank1 } (\text{butterfly } x \ y) \rangle$ 
  apply (cases  $\langle y = 0 \rangle$ )
  by (auto intro: exI[of - 0] simp: rank1-def butterfly-is-rank1)

lemma norm-butterfly:  $\text{norm } (\text{butterfly } \psi \ \varphi) = \text{norm } \psi * \text{norm } \varphi$ 
proof (cases  $\varphi = 0$ )
  case True
  then show ?thesis by simp
next
  case False
  show ?thesis
    unfolding norm-cblinfun.rep-eq
  proof (rule onormI[OF - False])
    fix x
    have  $\text{cmod } (\varphi \cdot_C x) * \text{norm } \psi \leq \text{norm } \psi * \text{norm } \varphi * \text{norm } x$ 
      by (metis ab-semigroup-mult-class.mult-ac(1) complex-inner-class.Cauchy-Schwarz-ineq2
        mult.commute mult-left-mono norm-ge-zero)
    thus  $\text{norm } (\text{butterfly } \psi \ \varphi *_V x) \leq \text{norm } \psi * \text{norm } \varphi * \text{norm } x$ 
      by (simp add: power2-eq-square)

    show  $\text{norm } (\text{butterfly } \psi \ \varphi *_V \varphi) = \text{norm } \psi * \text{norm } \varphi * \text{norm } \varphi$ 
      by (smt (z3) ab-semigroup-mult-class.mult-ac(1) butterfly-apply mult.commute
        norm-eq-sqrt-cinner norm-ge-zero norm-scaleC power2-eq-square real-sqrt-abs real-sqrt-eq-iff)
  qed
qed

```

qed

**lemma** *bounded-sesquilinear-butterfly*[*bounded-sesquilinear*]:  $\langle \text{bounded-sesquilinear } (\lambda(b::'b::\text{chilbert-space}) (a::'a::\text{chilbert-space}). \text{butterfly } a \ b) \rangle$

**proof** *standard*

**fix**  $a \ a' :: 'a$  **and**  $b \ b' :: 'b$  **and**  $r :: \text{complex}$   
**show**  $\langle \text{butterfly } (a + a') \ b = \text{butterfly } a \ b + \text{butterfly } a' \ b \rangle$   
**by** (*rule butterfly-add-left*)  
**show**  $\langle \text{butterfly } a \ (b + b') = \text{butterfly } a \ b + \text{butterfly } a \ b' \rangle$   
**by** (*rule butterfly-add-right*)  
**show**  $\langle \text{butterfly } (r *_C a) \ b = r *_C \text{butterfly } a \ b \rangle$   
**by** *simp*  
**show**  $\langle \text{butterfly } a \ (r *_C b) = \text{cnj } r *_C \text{butterfly } a \ b \rangle$   
**by** *simp*  
**show**  $\langle \exists K. \forall b \ a. \text{norm } (\text{butterfly } a \ b) \leq \text{norm } b * \text{norm } a * K \rangle$   
**apply** (*rule exI[of - 1]*)  
**by** (*simp add: norm-butterfly*)

qed

**lemma** *inj-selfbutter-upto-phase*:

**assumes** *selfbutter*  $x = \text{selfbutter } y$   
**shows**  $\exists c. \text{cmod } c = 1 \wedge x = c *_C y$

**proof** (*cases*  $x = 0$ )

**case** *True*  
**from** *assms* **have**  $y = 0$   
**using** *norm-butterfly*  
**by** (*metis True butterfly-0-left divisors-zero norm-eq-zero*)  
**with** *True* **show** *?thesis*  
**using** *norm-one* **by** *fastforce*

**next**

**case** *False*  
**define**  $c$  **where**  $c = (y *_C x) / (x *_C x)$   
**have**  $(x *_C x) *_C x = \text{selfbutter } x *_V x$   
**by** (*simp add: butterfly-apply*)  
**also have**  $\dots = \text{selfbutter } y *_V x$   
**using** *assms* **by** *simp*  
**also have**  $\dots = (y *_C x) *_C y$   
**by** (*simp add: butterfly-apply*)  
**finally have**  $xcy: x = c *_C y$   
**by** (*simp add: c-def ceq-vector-fraction-iff*)  
**have**  $\text{cmod } c * \text{norm } x = \text{cmod } c * \text{norm } y$   
**using** *assms norm-butterfly*  
**by** (*smt (verit, ccfv-SIG)  $\langle (x *_C x) *_C x = \text{selfbutter } x *_V x \rangle \langle \text{selfbutter } y *_V x = (y *_C x) *_C y \rangle$  cinner-scaleC-right complex-vector.scale-left-commute complex-vector.scale-right-imp-eq mult-cancel-left norm-eq-sqrt-cinner norm-eq-zero scaleC-scaleC xcy*)  
**also have**  $\text{cmod } c * \text{norm } y = \text{norm } (c *_C y)$   
**by** *simp*  
**also have**  $\dots = \text{norm } x$

```

    unfolding xcy[symmetric] by simp
  finally have c: cmod c = 1
    by (simp add: False)
  from c xcy show ?thesis
    by auto
qed

```

```

lemma butterfly-eq-proj:
  assumes norm x = 1
  shows selfbutter x = proj x
proof -
  define B and  $\varphi :: \text{complex} \Rightarrow_{CL} 'a$ 
  where B = selfbutter x and  $\varphi = \text{vector-to-cblinfun } x$ 
  then have B: B =  $\varphi \circ_{CL} \varphi^*$ 
    unfolding butterfly-def by simp
  have  $\varphi \text{adj} \varphi$ :  $\varphi^* \circ_{CL} \varphi = \text{id-cblinfun}$ 
    using  $\varphi$ -def assms isometry-def isometry-vector-to-cblinfun by blast
  have B  $\circ_{CL}$  B =  $\varphi \circ_{CL} (\varphi^* \circ_{CL} \varphi) \circ_{CL} \varphi^*$ 
    by (simp add: B cblinfun-assoc-left(1))
  also have ... = B
    unfolding  $\varphi \text{adj} \varphi$  by (simp add: B)
  finally have idem: B  $\circ_{CL}$  B = B.
  have herm: B = B*
    unfolding B by simp
  from idem herm have BProj: B = Proj (B *S top)
    by (rule Proj-on-own-range'[symmetric])
  have B *S top = ccspan {x}
    by (simp add: B  $\varphi$ -def assms cblinfun-compose-image range-adjoint-isometry)
  with BProj show B = proj x
    by simp
qed

```

```

lemma butterfly-sgn-eq-proj:
  shows selfbutter (sgn x) = proj x
proof (cases  $\langle x = 0 \rangle$ )
  case True
  then show ?thesis
    by simp
next
  case False
  then have  $\langle \text{selfbutter } (\text{sgn } x) = \text{proj } (\text{sgn } x) \rangle$ 
    by (simp add: butterfly-eq-proj norm-sgn)
  also have  $\langle \text{ccspan } \{\text{sgn } x\} = \text{ccspan } \{x\} \rangle$ 
    by (metis ccspan-singleton-scaleC scaleC-eq-0-iff scaleR-scaleC sgn-div-norm
    sgn-zero-iff)
  finally show ?thesis
    by -
qed

```

```

lemma butterfly-is-Proj:
  ⟨norm x = 1 ⟹ is-Proj (selfbutter x)⟩
  by (subst butterfly-eq-proj, simp-all)

lemma cspan-butterfly-UNIV:
  assumes ⟨cspan basisA = UNIV⟩
  assumes ⟨cspan basisB = UNIV⟩
  assumes ⟨is-ortho-set basisB⟩
  assumes ⟨ $\bigwedge b. b \in \text{basisB} \implies \text{norm } b = 1$ ⟩
  shows ⟨cspan {butterfly a b | (a::'a::{complex-normed-vector}) (b::'b::{hilbert-space,cfinite-dim})}.
  a ∈ basisA ∧ b ∈ basisB} = UNIV⟩
proof -
  have F: ⟨ $\exists F \in \{\text{butterfly } a \ b \mid a \ b. a \in \text{basisA} \wedge b \in \text{basisB}\}. \forall b' \in \text{basisB}. F *_{\mathcal{V}}$ 
  b' = (if b' = b then a else 0)⟩
    if ⟨a ∈ basisA⟩ and ⟨b ∈ basisB⟩ for a b
    apply (rule bexI[where x=⟨butterfly a b⟩])
    using assms that by (auto simp: is-ortho-set-def cnorm-eq-1)
  show ?thesis
    apply (rule cblinfun-cspan-UNIV[where basisA=basisB and basisB=basisA])
    using assms apply auto[2]
    using F by (smt (verit, ccfv-SIG) image-iff)
qed

lemma cindependent-butterfly:
  fixes basisA :: ⟨'a::hilbert-space set⟩ and basisB :: ⟨'b::hilbert-space set⟩
  assumes ⟨is-ortho-set basisA⟩ ⟨is-ortho-set basisB⟩
  assumes normA: ⟨ $\bigwedge a. a \in \text{basisA} \implies \text{norm } a = 1$ ⟩ and normB: ⟨ $\bigwedge b. b \in \text{basisB} \implies \text{norm } b = 1$ ⟩
  shows ⟨cindependent {butterfly a b | a b. a ∈ basisA ∧ b ∈ basisB}⟩
proof (unfold complex-vector.independent-explicit-module, intro allI impI, rename-tac
  T f g)
  fix T :: ⟨('b  $\Rightarrow_{CL}$  'a) set⟩ and f :: ⟨'b  $\Rightarrow_{CL}$  'a  $\Rightarrow$  complex⟩ and g :: ⟨'b  $\Rightarrow_{CL}$  'a⟩
  assume ⟨finite T⟩
  assume T-subset: ⟨T ⊆ {butterfly a b | a b. a ∈ basisA ∧ b ∈ basisB}⟩
  define lin where ⟨lin = ( $\sum_{g \in T}. f \ g *_{\mathcal{C}} g$ )⟩
  assume ⟨lin = 0⟩
  assume ⟨g ∈ T⟩

  then obtain a b where g: ⟨g = butterfly a b⟩ and [simp]: ⟨a ∈ basisA⟩ ⟨b ∈
  basisB⟩
    using T-subset by auto

  have *: (vector-to-cblinfun a)* *V f g *C g *V b = 0
    if ⟨g ∈ T - {butterfly a b}⟩ for g
  proof -
    from that
    obtain a' b' where g: ⟨g = butterfly a' b'⟩ and [simp]: ⟨a' ∈ basisA⟩ ⟨b' ∈
    basisB⟩
      using T-subset by auto

```

```

from that have ⟨g ≠ butterfly a b⟩ by auto
with g consider (a) ⟨a≠a'⟩ | (b) ⟨b≠b'⟩
  by auto
then show ⟨(vector-to-cblinfun a)* *V f g *C g *V b = 0⟩
proof cases
  case a
  then show ?thesis
    using ⟨is-ortho-set basisA⟩ unfolding g
    by (auto simp: is-ortho-set-def butterfly-def scaleC-cblinfun.rep-eq)
  next
  case b
  then show ?thesis
    using ⟨is-ortho-set basisB⟩ unfolding g
    by (auto simp: is-ortho-set-def butterfly-def scaleC-cblinfun.rep-eq)
qed
qed

have ⟨0 = (vector-to-cblinfun a)* *V lin *V b⟩
  using ⟨lin = 0⟩ by auto
also have ⟨... = (∑ g∈T. (vector-to-cblinfun a)* *V (f g *C g) *V b)⟩
  unfolding lin-def
  apply (rule complex-vector.linear-sum)
  by (smt (z3) cblinfun.scaleC-left cblinfun.scaleC-right cblinfun.add-right clinearI
plus-cblinfun.rep-eq)
also have ⟨... = (∑ g∈{butterfly a b}. (vector-to-cblinfun a)* *V (f g *C g) *V
b)⟩
  apply (rule sum.mono-neutral-right)
  using ⟨finite T⟩ * ⟨g ∈ T⟩ g by auto
also have ⟨... = (vector-to-cblinfun a)* *V (f g *C g) *V b⟩
  by (simp add: g)
also have ⟨... = f g⟩
  unfolding g
  using normA normB by (auto simp: butterfly-def scaleC-cblinfun.rep-eq cnorm-eq-1)
finally show ⟨f g = 0⟩
  by simp
qed

lemma clinear-eq-butterflyI:
fixes F G :: ⟨('a::{chilbert-space,cfinite-dim}) ⇒CL 'b::complex-inner⟩ ⇒ 'c::complex-vector⟩
assumes clinear F and clinear G
assumes ⟨cspan basisA = UNIV⟩ ⟨cspan basisB = UNIV⟩
assumes ⟨is-ortho-set basisA⟩ ⟨is-ortho-set basisB⟩
assumes ∧ a b. a∈basisA ⇒ b∈basisB ⇒ F (butterfly a b) = G (butterfly a b)
assumes ⟨∧ b. b∈basisB ⇒ norm b = 1⟩
shows F = G
apply (rule complex-vector.linear-eq-on-span[where f=F, THEN ext, rotated 3])
  apply (subst cspan-butterfly-UNIV)
  using assms by auto

```

```

lemma sum-butterfly-is-Proj:
  assumes  $\langle is-ortho-set\ E \rangle$ 
  assumes  $\langle \bigwedge e. e \in E \implies norm\ e = 1 \rangle$ 
  shows  $\langle is-Proj\ (\sum e \in E. butterfly\ e\ e) \rangle$ 
proof (cases  $\langle finite\ E \rangle$ )
  case True
  show ?thesis
  proof (rule is-Proj-I)
    show  $\langle (\sum e \in E. butterfly\ e\ e) * (\sum e \in E. butterfly\ e\ e) \rangle$ 
    by (simp add: sum-adj)
    have ortho:  $\langle f \neq e \implies e \in E \implies f \in E \implies is-orthogonal\ f\ e \rangle$  for  $f\ e$ 
    by (meson assms(1) is-ortho-set-def)
    have unit:  $\langle e \cdot_C e = 1 \rangle$  if  $\langle e \in E \rangle$  for  $e$ 
    using assms(2) cnorm-eq-1 that by blast
    have *:  $\langle (\sum f \in E. (f \cdot_C e) * butterfly\ f\ e) = butterfly\ e\ e \rangle$  if  $\langle e \in E \rangle$  for  $e$ 
    apply (subst sum-single[where i=e])
    by (auto intro!: simp: that ortho unit True)
    show  $\langle (\sum e \in E. butterfly\ e\ e)\ o_{CL}\ (\sum e \in E. butterfly\ e\ e) = (\sum e \in E. butterfly\ e\ e) \rangle$ 
    by (auto simp: * cblinfun-compose-sum-right cblinfun-compose-sum-left)
  qed
next
  case False
  then show ?thesis
  by simp
qed

lemma rank1-compose-left:  $\langle rank1\ (a\ o_{CL}\ b) \rangle$  if  $\langle rank1\ b \rangle$ 
proof –
  from  $\langle rank1\ b \rangle$ 
  obtain  $\psi$  where  $\langle b *_S \top = ccspan\ \{\psi\} \rangle$ 
  using rank1-def by blast
  then have *:  $\langle (a\ o_{CL}\ b) *_S \top = ccspan\ \{a\ \psi\} \rangle$ 
  by (metis cblinfun-assoc-left(2) cblinfun-image-ccspan image-empty image-insert)
  then show  $\langle rank1\ (a\ o_{CL}\ b) \rangle$ 
  using rank1-def by blast
qed

lemma csubspace-of-1dim-space:
  assumes  $\langle S \neq \{0\} \rangle$ 
  assumes  $\langle csubspace\ S \rangle$ 
  assumes  $\langle S \subseteq cspan\ \{\psi\} \rangle$ 
  shows  $\langle S = cspan\ \{\psi\} \rangle$ 
proof –
  from  $\langle S \neq \{0\} \rangle$   $\langle csubspace\ S \rangle$ 
  obtain  $\varphi$  where  $\langle \varphi \in S \rangle$  and  $\langle \varphi \neq 0 \rangle$ 
  using complex-vector.subspace-0 by blast
  then have  $\langle \varphi \in cspan\ \{\psi\} \rangle$ 
  using  $\langle S \subseteq cspan\ \{\psi\} \rangle$  by blast

```

```

with  $\langle \varphi \neq 0 \rangle$  obtain  $c$  where  $\langle \varphi = c *_C \psi \rangle$  and  $\langle c \neq 0 \rangle$ 
by (metis complex-vector.span-breakdown-eq complex-vector.span-empty right-minus-eq
scaleC-eq-0-iff singletonD)
have  $\langle \text{cspan } \{\psi\} = \text{cspan } \{\text{inverse } c *_C \varphi\} \rangle$ 
by (simp add:  $\langle \varphi = c *_C \psi \rangle \langle c \neq 0 \rangle$ )
also have  $\langle \dots \subseteq \text{cspan } \{\varphi\} \rangle$ 
using  $\langle c \neq 0 \rangle$  by auto
also from  $\langle \varphi = c *_C \psi \rangle \langle \varphi \in S \rangle \langle c \neq 0 \rangle$  assms
have  $\langle \dots \subseteq S \rangle$ 
by (metis complex-vector.span-subspace cspan-singleton-scaleC empty-subsetI
insert-Diff insert-mono)
finally have  $\langle \text{cspan } \{\psi\} \subseteq S \rangle$ 
by –
with  $\langle S \subseteq \text{cspan } \{\psi\} \rangle$  show ?thesis
by simp
qed

```

```

lemma subspace-of-1dim-ccspan:
assumes  $\langle S \neq 0 \rangle$ 
assumes  $\langle S \leq \text{ccspan } \{\psi\} \rangle$ 
shows  $\langle S = \text{ccspan } \{\psi\} \rangle$ 
using assms apply transfer
by (simp add: csubspace-of-1dim-space)

```

```

lemma rank1-compose-right:  $\langle \text{rank1 } (a \circ_{CL} b) \rangle$  if  $\langle \text{rank1 } a \rangle$ 
proof –
have  $\langle (a \circ_{CL} b) *_S \top \leq a *_S \top \rangle$ 
by (metis cblinfun-apply-cblinfun-compose cblinfun-apply-in-image cblinfun-image-ccspan-leqI
ccspan-UNIV)
also from  $\langle \text{rank1 } a \rangle$ 
obtain  $\psi$  where  $\langle a *_S \top = \text{ccspan } \{\psi\} \rangle$ 
using rank1-def by blast
finally have  $\langle (a \circ_{CL} b) *_S \top \leq \text{ccspan } \{\psi\} \rangle$ 
by –
show  $\langle \text{rank1 } (a \circ_{CL} b) \rangle$ 
proof (cases  $\langle (a \circ_{CL} b) *_S \top = 0 \rangle$ )
case True
then show ?thesis
by simp
next
case False
with  $\ast$  have  $\langle (a \circ_{CL} b) *_S \top = \text{ccspan } \{\psi\} \rangle$ 
using subspace-of-1dim-ccspan by blast
then show ?thesis
using rank1-def by blast
qed
qed

```

**lemma** *rank1-scaleC*:  $\langle \text{rank1 } (c *_{\mathcal{C}} a) \rangle$  **if**  $\langle \text{rank1 } a \rangle$  **and**  $\langle c \neq 0 \rangle$   
**using** *rank1-compose-left*[*OF*  $\langle \text{rank1 } a \rangle$ , **where**  $a = c *_{\mathcal{C}} \text{id-cblinfun}$ ]  
**using** *that* **by** *force*

**lemma** *rank1-scaleR*[*simp*]:  $\langle \text{rank1 } (c *_{\mathcal{R}} a) \rangle$  **if**  $\langle \text{rank1 } a \rangle$  **and**  $\langle c \neq 0 \rangle$   
**by** (*simp add: rank1-scaleC scaleR-scaleC that(1) that(2)*)

**lemma** *rank1-uminus*:  $\langle \text{rank1 } (-a) \rangle$  **if**  $\langle \text{rank1 } a \rangle$   
**using** *that rank1-scaleC*[**where**  $c = -1$  **and**  $a = a$ ] **by** *simp*

**lemma** *rank1-uminus-iff*[*simp*]:  $\langle \text{rank1 } (-a) \rangle \longleftrightarrow \langle \text{rank1 } a \rangle$   
**using** *rank1-uminus* **by** *force*

**lemma** *rank1-adj*:  $\langle \text{rank1 } (a*) \rangle$  **if**  $\langle \text{rank1 } a \rangle$   
**for**  $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{\mathcal{CL}} 'b :: \text{chilbert-space} \rangle$   
**by** (*metis adj-0 butterfly-adjoint rank1-iff-butterfly that*)

**lemma** *rank1-adj-iff*[*simp*]:  $\langle \text{rank1 } (a*) \rangle \longleftrightarrow \langle \text{rank1 } a \rangle$   
**for**  $a :: \langle 'a :: \text{chilbert-space} \Rightarrow_{\mathcal{CL}} 'b :: \text{chilbert-space} \rangle$   
**by** (*metis double-adj rank1-adj*)

**lemma** *butterflies-sum-id-finite*:  $\langle \text{id-cblinfun} = (\sum x \in B. \text{selfbutter } x) \rangle$  **if**  $\langle \text{is-onb } B \rangle$  **for**  $B :: \langle 'a :: \{ \text{cfinite-dim}, \text{chilbert-space} \} \text{ set} \rangle$   
**proof** (*rule cblinfun-eq-gen-eqI*)  
**from**  $\langle \text{is-onb } B \rangle$  **show**  $\langle \text{ccspan } B = \top \rangle$   
**by** (*simp add: is-onb-def*)  
**from**  $\langle \text{is-onb } B \rangle$  **have**  $\langle \text{cindependent } B \rangle$   
**by** (*simp add: is-onb-def is-ortho-set-cindependent*)  
**then have** [*simp*]:  $\langle \text{finite } B \rangle$   
**using** *cindependent-cfinite-dim-finite* **by** *blast*  
**from**  $\langle \text{is-onb } B \rangle$   
**have** 1:  $\langle j \neq b \implies j \in B \implies \text{is-orthogonal } j \ b \rangle$  **if**  $\langle b \in B \rangle$  **for**  $j \ b$   
**using** *that* **by** (*auto simp add: is-onb-def is-ortho-set-def*)  
**from**  $\langle \text{is-onb } B \rangle$   
**have** 2:  $\langle b \cdot_{\mathcal{C}} b = 1 \rangle$  **if**  $\langle b \in B \rangle$  **for**  $b$   
**using** *that* **by** (*simp add: is-onb-def cnorm-eq-1*)  
**fix**  $b$  **assume**  $\langle b \in B \rangle$   
**then show**  $\langle \text{id-cblinfun} *_{\mathcal{V}} b = (\sum x \in B. \text{selfbutter } x) *_{\mathcal{V}} b \rangle$   
**using** 1 2 **by** (*simp add: cblinfun.sum-left sum-single*[**where**  $i=b$ ])  
**qed**

**lemma** *butterfly-sum-left*:  $\langle \text{butterfly } (\sum i \in M. \psi \ i) \ \varphi = (\sum i \in M. \text{butterfly } (\psi \ i) \ \varphi) \rangle$   
**apply** (*induction M rule:infinite-finite-induct*)  
**by** (*auto simp add: butterfly-add-left*)

**lemma** *butterfly-sum-right*:  $\langle \text{butterfly } \psi \ (\sum i \in M. \varphi \ i) = (\sum i \in M. \text{butterfly } \psi \ (\varphi \ i)) \rangle$   
**apply** (*induction M rule:infinite-finite-induct*)

```

by (auto simp add: butterfly-add-right)

lemma sum-butterfly-leq-id:
  assumes  $\langle is-ortho-set\ E \rangle$ 
  assumes  $\langle \bigwedge e. e \in E \implies norm\ e = 1 \rangle$ 
  shows  $\langle (\sum i \in E. butterfly\ i\ i) \leq id-cblinfun \rangle$ 
proof -
  have  $\langle is-Proj\ (\sum \psi \in E. butterfly\ \psi\ \psi) \rangle$ 
    using assms by (rule sum-butterfly-is-Proj)
  then show ?thesis
    by (auto intro!: is-Proj-leq-id)
qed

lemma sandwich-butterfly:  $\langle sandwich\ a\ (butterfly\ x\ y) = butterfly\ (a\ x)\ (a\ y) \rangle$ 
  by (simp add: sandwich-apply butterfly-comp-cblinfun cblinfun-comp-butterfly)

```

### 13.18 Banach-Steinhaus

```

theorem cbanach-steinhaus:
  fixes  $F :: \langle 'c \Rightarrow 'a :: cbanach \Rightarrow_{CL} 'b :: complex-normed-vector \rangle$ 
  assumes  $\langle \bigwedge x. \exists M. \forall n. norm\ ((F\ n) *_{\mathcal{V}} x) \leq M \rangle$ 
  shows  $\langle \exists M. \forall n. norm\ (F\ n) \leq M \rangle$ 
  using cblinfun-blinfun-transfer[transfer-rule]
  apply fail?
proof (use assms in transfer)
  fix  $F :: \langle 'c \Rightarrow 'a \Rightarrow_L 'b \rangle$  assume  $\langle (\bigwedge x. \exists M. \forall n. norm\ (F\ n *_{\mathcal{V}} x) \leq M) \rangle$ 
  hence  $\langle \bigwedge x. bounded\ (range\ (\lambda n. blinfun-apply\ (F\ n)\ x)) \rangle$ 
    by (metis (no-types, lifting) boundedI rangeE)
  hence  $\langle bounded\ (range\ F) \rangle$ 
    by (simp add: banach-steinhaus)
  thus  $\langle \exists M. \forall n. norm\ (F\ n) \leq M \rangle$ 
    by (simp add: bounded-iff)
qed

```

### 13.19 Riesz-representation theorem

```

theorem riesz-representation-cblinfun-existence:
  — Theorem 3.4 in [1]
  fixes  $f :: \langle 'a :: hilbert-space \Rightarrow_{CL} complex \rangle$ 
  shows  $\langle \exists t. \forall x. f *_{\mathcal{V}} x = (t \cdot_C x) \rangle$ 
  by transfer (rule riesz-representation-existence)

lemma riesz-representation-cblinfun-unique:
  — Theorem 3.4 in [1]
  fixes  $f :: \langle 'a :: complex-inner \Rightarrow_{CL} complex \rangle$ 
  assumes  $\langle \bigwedge x. f *_{\mathcal{V}} x = (t \cdot_C x) \rangle$ 
  assumes  $\langle \bigwedge x. f *_{\mathcal{V}} x = (u \cdot_C x) \rangle$ 
  shows  $\langle t = u \rangle$ 
  using assms by (rule riesz-representation-unique)

```

```

theorem riesz-representation-cblinfun-norm:
  includes norm-syntax
  fixes  $f :: \langle 'a :: \text{hilbert-space} \Rightarrow_{CL} \text{complex} \rangle$ 
  assumes  $\langle \bigwedge x. f *_{\mathcal{V}} x = (t \cdot_C x) \rangle$ 
  shows  $\langle \|f\| = \|t\| \rangle$ 
  using assms
proof transfer
  fix  $f :: \langle 'a \Rightarrow \text{complex} \rangle$  and  $t$ 
  assume  $\langle \text{bounded-clinear } f \rangle$  and  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$ 
  from  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$ 
  have  $\langle (\text{norm } (f x)) / (\text{norm } x) \leq \text{norm } t \rangle$ 
    for  $x$ 
  proof (cases  $\langle \text{norm } x = 0 \rangle$ )
    case True
      thus ?thesis by simp
    next
      case False
        have  $\langle \text{norm } (f x) = \text{norm } ((t \cdot_C x)) \rangle$ 
          using  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$  by simp
        also have  $\langle \text{norm } (t \cdot_C x) \leq \text{norm } t * \text{norm } x \rangle$ 
          by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)
        finally have  $\langle \text{norm } (f x) \leq \text{norm } t * \text{norm } x \rangle$ 
          by blast
        thus ?thesis
          by (metis False linordered-field-class.divide-right-mono nonzero-mult-div-cancel-right norm-ge-zero)
      qed
    moreover have  $\langle (\text{norm } (f t)) / (\text{norm } t) = \text{norm } t \rangle$ 
    proof (cases  $\langle \text{norm } t = 0 \rangle$ )
      case True
        thus ?thesis
          by simp
      next
        case False
          have  $\langle f t = (t \cdot_C t) \rangle$ 
            using  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$  by blast
          also have  $\langle \dots = (\text{norm } t)^2 \rangle$ 
            by (meson cnorm-eq-square)
          also have  $\langle \dots = (\text{norm } t) * (\text{norm } t) \rangle$ 
            by (simp add: power2-eq-square)
          finally have  $\langle f t = (\text{norm } t) * (\text{norm } t) \rangle$ 
            by blast
          thus ?thesis
            by (metis  $\langle f t = t \cdot_C t \rangle$  norm-eq-sqrt-cinner norm-ge-zero real-div-sqrt)
        qed
    ultimately have  $\langle \text{Sup } \{ (\text{norm } (f x)) / (\text{norm } x) \mid x. \text{True} \} = \text{norm } t \rangle$ 
      by (smt cSup-eq-maximum mem-Collect-eq)
    moreover have  $\langle \text{Sup } \{ (\text{norm } (f x)) / (\text{norm } x) \mid x. \text{True} \} = (\text{SUP } x. (\text{norm } (f x)) / (\text{norm } x)) \rangle$ 

```

by (simp add: full-SetCompr-eq)  
 ultimately show  $\langle \text{onorm } f = \text{norm } t \rangle$   
 by (simp add: onorm-def)  
 qed

**definition** *the-riesz-rep* ::  $\langle 'a::\text{hilbert-space} \Rightarrow_{CL} \text{complex} \rangle \Rightarrow 'a$  **where**  
 $\langle \text{the-riesz-rep } f = (\text{SOME } t. \forall x. f *_V x = t *_C x) \rangle$

**lemma** *the-riesz-rep[simp]*:  $\langle \text{the-riesz-rep } f *_C x = f *_V x \rangle$   
**unfolding** *the-riesz-rep-def*  
**apply** (rule someI2-ex)  
**by** (simp-all add: riesz-representation-cblinfun-existence)

**lemma** *the-riesz-rep-unique*:  
**assumes**  $\langle \bigwedge x. f *_V x = t *_C x \rangle$   
**shows**  $\langle t = \text{the-riesz-rep } f \rangle$   
**using** *assms riesz-representation-cblinfun-unique the-riesz-rep* **by** *metis*

**lemma** *the-riesz-rep-scaleC*:  $\langle \text{the-riesz-rep } (c *_C f) = \text{cnj } c *_C \text{the-riesz-rep } f \rangle$   
**apply** (rule *the-riesz-rep-unique[symmetric]*)  
**by** *auto*

**lemma** *the-riesz-rep-add*:  $\langle \text{the-riesz-rep } (f + g) = \text{the-riesz-rep } f + \text{the-riesz-rep } g \rangle$   
**apply** (rule *the-riesz-rep-unique[symmetric]*)  
**by** (*auto simp: cinner-add-left cblinfun.add-left*)

**lemma** *the-riesz-rep-norm[simp]*:  $\langle \text{norm } (\text{the-riesz-rep } f) = \text{norm } f \rangle$   
**apply** (rule *riesz-representation-cblinfun-norm[symmetric]*)  
**by** *simp*

**lemma** *bounded-antilinear-the-riesz-rep[bounded-antilinear]*:  $\langle \text{bounded-antilinear the-riesz-rep} \rangle$   
**by** (*metis (no-types, opaque-lifting) bounded-antilinear-intro dual-order.refl mult.commute mult-1 the-riesz-rep-add the-riesz-rep-norm the-riesz-rep-scaleC*)

**lift-definition** *the-riesz-rep-sesqui* ::  $\langle ('a::\text{complex-normed-vector} \Rightarrow 'b::\text{hilbert-space} \Rightarrow \text{complex}) \Rightarrow ('a \Rightarrow_{CL} 'b) \rangle$  **is**  
 $\langle \lambda p. \text{if bounded-sesquilinear } p \text{ then the-riesz-rep } o \text{ CBlinfun } o p \text{ else } 0 \rangle$   
**by** (*metis (mono-tags, lifting) Cblinfun-comp-bounded-sesquilinear bounded-antilinear-o-bounded-antilinear' bounded-antilinear-the-riesz-rep bounded-clinear-0 fun.map-comp*)

**lemma** *the-riesz-rep-sesqui-apply*:  
**assumes**  $\langle \text{bounded-sesquilinear } p \rangle$   
**shows**  $\langle (\text{the-riesz-rep-sesqui } p *_V x) *_C y = p \ x \ y \rangle$   
**apply** (*transfer fixing: p x y*)  
**by** (*auto simp add: CBlinfun-inverse bounded-sesquilinear-apply-bounded-clinear assms*)

## 13.20 Bidual

**lift-definition** *bidual-embedding* ::  $\langle 'a :: \text{complex-normed-vector} \Rightarrow_{CL} (( 'a \Rightarrow_{CL} \text{complex}) \Rightarrow_{CL} \text{complex}) \rangle$   
**is**  $\langle \lambda x f. f *_{\mathcal{V}} x \rangle$   
**by** (*simp add: cblinfun.flip*)

**lemma** *bidual-embedding-apply*[*simp*]:  $\langle (\text{bidual-embedding} *_{\mathcal{V}} x) *_{\mathcal{V}} f = f *_{\mathcal{V}} x \rangle$   
**by** (*transfer fixing: x f, simp*)

**lemma** *bidual-embedding-isometric*[*simp*]:  $\langle \text{norm} (\text{bidual-embedding} *_{\mathcal{V}} x) = \text{norm } x \rangle$  **for**  $x :: \langle 'a :: \text{complex-inner} \rangle$

**proof** –

**define**  $f :: \langle 'a \Rightarrow_{CL} \text{complex} \rangle$  **where**  $\langle f = \text{CBlinfun } (\lambda y. \text{cinner } x y) \rangle$   
**then have** [*simp*]:  $\langle f *_{\mathcal{V}} y = \text{cinner } x y \rangle$  **for**  $y$   
**by** (*simp add: bounded-clinear-CBlinfun-apply bounded-clinear-cinner-right*)  
**then have** [*simp*]:  $\langle \text{norm } f = \text{norm } x \rangle$   
**apply** (*auto intro!: Cauchy-Schwarz-ineq2 norm-cblinfun-eqI [where x=x] simp: power2-norm-eq-cinner [symmetric]*)  
**by** (*simp add: norm-mult power2-eq-square*)  
**show** ?thesis  
**apply** (*auto intro!: norm-cblinfun-eqI [where x=f]*)  
**apply** (*metis norm-eq-sqrt-cinner norm-imp-pos-and-ge real-div-sqrt*)  
**by** (*metis mult.commute norm-cblinfun*)

**qed**

**lemma** *norm-bidual-embedding*[*simp*]:  $\langle \text{norm} (\text{bidual-embedding} :: 'a :: \{ \text{complex-inner}, \text{not-singleton} \} \Rightarrow_{CL} -) = 1 \rangle$

**proof** –

**obtain**  $x :: 'a$  **where** [*simp*]:  $\langle \text{norm } x = 1 \rangle$   
**by** (*meson UNIV-not-singleton ex-norm1*)  
**show** ?thesis  
**by** (*auto intro!: norm-cblinfun-eqI [where x=x]*)

**qed**

**lemma** *isometry-bidual-embedding*[*simp*]:  $\langle \text{isometry bidual-embedding} \rangle$   
**by** (*simp add: norm-preserving-isometry*)

**lemma** *bidual-embedding-surj*[*simp*]:  $\langle \text{surj} (\text{bidual-embedding} :: 'a :: \text{chilbert-space} \Rightarrow_{CL} -) \rangle$

**proof** –

**have**  $\langle \exists y''. \forall f. (\text{bidual-embedding} *_{\mathcal{V}} y'') *_{\mathcal{V}} f = y *_{\mathcal{V}} f \rangle$   
**for**  $y :: \langle 'a \Rightarrow_{CL} \text{complex} \rangle \Rightarrow_{CL} \text{complex}$   
**proof** –  
**define**  $y'$  **where**  $\langle y' = \text{CBlinfun } (\lambda z. \text{cnj } (y (\text{cblinfun-cinner-right } z))) \rangle$   
**have**  $y'\text{-apply}$ :  $\langle y' z = \text{cnj } (y (\text{cblinfun-cinner-right } z)) \rangle$  **for**  $z$   
**unfolding**  $y'\text{-def}$   
**apply** (*subst CBlinfun-inverse*)  
**by** (*auto intro!: bounded-linear-intros*)  
**obtain**  $y''$  **where**  $\langle y' z = y'' \cdot_C z \rangle$  **for**  $z$

```

    using riesz-representation-cblinfun-existence by blast
  then have  $y'': \langle z \cdot_C y'' = \text{cnj } (y' z) \rangle$  for  $z$ 
    by auto
  have  $\langle (\text{bidual-embedding } *_V y'') *_V f = y *_V f \rangle$  for  $f :: \langle 'a \Rightarrow_{CL} \text{complex} \rangle$ 
  proof -
    obtain  $f'$  where  $f': \langle f z = f' \cdot_C z \rangle$  for  $z$ 
      using riesz-representation-cblinfun-existence by blast
    then have  $f'2: \langle f = \text{cblinfun-cinner-right } f' \rangle$ 
      using cblinfun-apply-inject by force
    show ?thesis
      by (auto simp add:  $f' f'2 y'' y'$ -apply)
  qed
  then show ?thesis
    by blast
qed
then show ?thesis
  by (metis cblinfun-eqI surj-def)
qed

```

### 13.21 Extension of complex bounded operators

**definition** *cblinfun-extension* where

*cblinfun-extension*  $S \ \varphi = (\text{SOME } B. \forall x \in S. B *_V x = \varphi x)$

**definition** *cblinfun-extension-exists* where

*cblinfun-extension-exists*  $S \ \varphi = (\exists B. \forall x \in S. B *_V x = \varphi x)$

**lemma** *cblinfun-extension-existsI*:

assumes  $\bigwedge x. x \in S \implies B *_V x = \varphi x$

shows *cblinfun-extension-exists*  $S \ \varphi$

using *assms cblinfun-extension-exists-def* by blast

**lemma** *cblinfun-extension-exists-finite-dim*:

fixes  $\varphi :: 'a :: \{\text{complex-normed-vector}, \text{cfinite-dim}\} \Rightarrow 'b :: \text{complex-normed-vector}$

assumes *cindependent*  $S$

and  $\text{cspan } S = \text{UNIV}$

shows *cblinfun-extension-exists*  $S \ \varphi$

**proof** –

define  $f :: 'a \Rightarrow 'b$

where  $f = \text{complex-vector.construct } S \ \varphi$

have *clinear*  $f$

by (simp add: *complex-vector.linear-construct assms linear-construct f-def*)

have *bounded-clinear*  $f$

using  $\langle \text{clinear } f \rangle$  *assms* by auto

then obtain  $B :: 'a \Rightarrow_{CL} 'b$

where  $B *_V x = f x$  for  $x$

using *cblinfun-apply-cases* by blast

have  $B *_V x = \varphi x$

if  $c1: x \in S$

```

    for x
  proof-
    have  $B *_{\mathcal{V}} x = f x$ 
      by (simp add:  $\langle \bigwedge x. B *_{\mathcal{V}} x = f x \rangle$ )
    also have  $\dots = \varphi x$ 
      using assms complex-vector.construct-basis f-def that
      by (simp add: complex-vector.construct-basis)
    finally show ?thesis by blast
  qed
  thus ?thesis
    unfolding cblinfun-extension-exists-def
    by blast
qed

lemma cblinfun-extension-apply:
  assumes cblinfun-extension-exists  $S f$ 
  and  $v \in S$ 
  shows  $(\text{cblinfun-extension } S f) *_{\mathcal{V}} v = f v$ 
  by (smt assms cblinfun-extension-def cblinfun-extension-exists-def tft-some)

lemma
  fixes  $f :: \langle 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{cbanach} \rangle$ 
  assumes  $\langle \text{csubspace } S \rangle$ 
  assumes  $\langle \text{closure } S = \text{UNIV} \rangle$ 
  assumes f-add:  $\langle \bigwedge x y. x \in S \implies y \in S \implies f (x + y) = f x + f y \rangle$ 
  assumes f-scale:  $\langle \bigwedge c x y. x \in S \implies f (c *_{\mathcal{C}} x) = c *_{\mathcal{C}} f x \rangle$ 
  assumes bounded:  $\langle \bigwedge x. x \in S \implies \text{norm } (f x) \leq B * \text{norm } x \rangle$ 
  shows cblinfun-extension-exists-bounded-dense:  $\langle \text{cblinfun-extension-exists } S f \rangle$ 
  and cblinfun-extension-norm-bounded-dense:  $\langle B \geq 0 \implies \text{norm } (\text{cblinfun-extension } S f) \leq B \rangle$ 
  proof -
    define  $B'$  where  $\langle B' = (\text{if } B \leq 0 \text{ then } 1 \text{ else } B) \rangle$ 
    then have bounded':  $\langle \bigwedge x. x \in S \implies \text{norm } (f x) \leq B' * \text{norm } x \rangle$ 
      using bounded by (metis mult-1 mult-le-0-iff norm-ge-zero order-trans)
    have  $\langle B' > 0 \rangle$ 
      by (simp add: B'-def)

    have  $\langle \exists xi. (xi \longrightarrow x) \wedge (\forall i. xi i \in S) \rangle$  for  $x$ 
      using assms(2) closure-sequential by blast
    then obtain seq ::  $\langle 'a \Rightarrow \text{nat} \Rightarrow 'a \rangle$  where seq-lim:  $\langle \text{seq } x \longrightarrow x \rangle$  and seq-S:
       $\langle \text{seq } x i \in S \rangle$  for  $x i$ 
      apply (atomize-elim, subst all-conj-distrib[symmetric])
      apply (rule choice)
      by auto
    define  $g$  where  $\langle g x = \lim (\lambda i. f (\text{seq } x i)) \rangle$  for  $x$ 
    have  $\langle \text{Cauchy } (\lambda i. f (\text{seq } x i)) \rangle$  for  $x$ 
    proof (rule CauchyI)
      fix  $e :: \text{real}$  assume  $\langle e > 0 \rangle$ 
      have  $\langle \text{Cauchy } (\text{seq } x) \rangle$ 

```

```

    using LIMSEQ-imp-Cauchy seq-lim by blast
  then obtain M where less-eB:  $\langle \text{norm } (\text{seq } x \ m - \text{seq } x \ n) < e/B' \rangle$  if  $\langle n \geq M \rangle$ 
  and  $\langle m \geq M \rangle$  for  $n \ m$ 
  by atomize-elim (meson CauchyD  $\langle 0 < B' \rangle \langle 0 < e \rangle$  linordered-field-class.divide-pos-pos)
  have  $\langle \text{norm } (f (\text{seq } x \ m) - f (\text{seq } x \ n)) < e \rangle$  if  $\langle n \geq M \rangle$  and  $\langle m \geq M \rangle$  for  $n \ m$ 
proof -
  have  $\langle \text{norm } (f (\text{seq } x \ m) - f (\text{seq } x \ n)) = \text{norm } (f (\text{seq } x \ m - \text{seq } x \ n)) \rangle$ 
  using f-add f-scale seq-S
  by (metis add-diff-cancel assms(1) complex-vector.subspace-diff diff-add-cancel)
  also have  $\langle \dots \leq B' * \text{norm } (\text{seq } x \ m - \text{seq } x \ n) \rangle$ 
  apply (rule bounded')
  by (simp add: assms(1) complex-vector.subspace-diff seq-S)
  also from less-eB have  $\langle \dots < B' * (e/B') \rangle$ 
  by (meson  $\langle 0 < B' \rangle$  linordered-semiring-strict-class.mult-strict-left-mono
that)
  also have  $\langle \dots \leq e \rangle$ 
  using  $\langle 0 < B' \rangle$  by auto
  finally show ?thesis
  by -
qed
then show  $\langle \exists M. \forall m \geq M. \forall n \geq M. \text{norm } (f (\text{seq } x \ m) - f (\text{seq } x \ n)) < e \rangle$ 
  by auto
qed
then have f-seq-lim:  $\langle (\lambda i. f (\text{seq } x \ i)) \longrightarrow g \ x \rangle$  for  $x$ 
  by (simp add: Cauchy-convergent-iff convergent-LIMSEQ-iff g-def)
  have f-xi-lim:  $\langle (\lambda i. f (x \ i)) \longrightarrow g \ x \rangle$  if  $\langle x \ i \longrightarrow x \rangle$  and  $\langle \bigwedge i. x \ i \in S \rangle$  for
   $x \ i \ x$ 
proof -
  from seq-lim that
  have  $\langle (\lambda i. B' * \text{norm } (x \ i - \text{seq } x \ i)) \longrightarrow 0 \rangle$ 
  by (metis (no-types)  $\langle 0 < B' \rangle$  cancel-comm-monoid-add-class.diff-cancel
norm-not-less-zero norm-zero tendsto-diff tendsto-norm-zero-iff tendsto-zero-mult-left-iff)
  then have  $\langle (\lambda i. f (x \ i + (-1) * \text{seq } x \ i)) \longrightarrow 0 \rangle$ 
  apply (rule Lim-null-comparison[rotated])
  using bounded' by (simp add: assms(1) complex-vector.subspace-diff seq-S
that(2))
  then have  $\langle (\lambda i. f (x \ i) - f (\text{seq } x \ i)) \longrightarrow 0 \rangle$ 
  apply (subst (asm) f-add)
  apply (auto simp: that  $\langle \text{csubspace } S \rangle$  complex-vector.subspace-neg seq-S)[2]
  apply (subst (asm) f-scale)
  by (auto simp: that  $\langle \text{csubspace } S \rangle$  complex-vector.subspace-neg seq-S)
  then show  $\langle (\lambda i. f (x \ i)) \longrightarrow g \ x \rangle$ 
  using Lim-transform f-seq-lim by fastforce
qed
have g-add:  $\langle g \ (x + y) = g \ x + g \ y \rangle$  for  $x \ y$ 
proof -
  obtain  $x \ i :: \langle \text{nat} \Rightarrow 'a \rangle$  where  $\langle x \ i \longrightarrow x \rangle$  and  $\langle x \ i \in S \rangle$  for  $i$ 
  using seq-S seq-lim by auto

```

```

obtain  $yi :: \langle nat \Rightarrow 'a \rangle$  where  $\langle yi \longrightarrow y \rangle$  and  $\langle yi\ i \in S \rangle$  for  $i$ 
using seq-S seq-lim by auto
have  $\langle (\lambda i. xi\ i + yi\ i) \longrightarrow x + y \rangle$ 
using  $\langle xi \longrightarrow x \rangle$   $\langle yi \longrightarrow y \rangle$  tendsto-add by blast
then have  $lim1: \langle (\lambda i. f\ (xi\ i + yi\ i)) \longrightarrow g\ (x + y) \rangle$ 
by (simp add:  $\langle \bigwedge i. xi\ i \in S \rangle \langle \bigwedge i. yi\ i \in S \rangle$  assms(1) complex-vector.subspace-add
f-xi-lim)
have  $\langle (\lambda i. f\ (xi\ i + yi\ i)) = (\lambda i. f\ (xi\ i) + f\ (yi\ i)) \rangle$ 
by (simp add:  $\langle \bigwedge i. xi\ i \in S \rangle \langle \bigwedge i. yi\ i \in S \rangle$  f-add)
also have  $\langle \dots \longrightarrow g\ x + g\ y \rangle$ 
by (simp add:  $\langle \bigwedge i. xi\ i \in S \rangle \langle \bigwedge i. yi\ i \in S \rangle \langle xi \longrightarrow x \rangle \langle yi \longrightarrow y \rangle$ 
f-xi-lim tendsto-add)
finally show ?thesis
using lim1 LIMSEQ-unique by blast
qed
have g-scale:  $\langle g\ (c *_{\mathbb{C}} x) = c *_{\mathbb{C}} g\ x \rangle$  for  $c\ x$ 
proof –
obtain  $xi :: \langle nat \Rightarrow 'a \rangle$  where  $\langle xi \longrightarrow x \rangle$  and  $\langle xi\ i \in S \rangle$  for  $i$ 
using seq-S seq-lim by auto
have  $\langle (\lambda i. c *_{\mathbb{C}} xi\ i) \longrightarrow c *_{\mathbb{C}} x \rangle$ 
using  $\langle xi \longrightarrow x \rangle$  bounded-clinear-scaleC-right clinear-continuous-at is-
Cont-tendsto-compose by blast
then have  $lim1: \langle (\lambda i. f\ (c *_{\mathbb{C}} xi\ i)) \longrightarrow g\ (c *_{\mathbb{C}} x) \rangle$ 
by (simp add:  $\langle \bigwedge i. xi\ i \in S \rangle$  assms(1) complex-vector.subspace-scale f-xi-lim)
have  $\langle (\lambda i. f\ (c *_{\mathbb{C}} xi\ i)) = (\lambda i. c *_{\mathbb{C}} f\ (xi\ i)) \rangle$ 
by (simp add:  $\langle \bigwedge i. xi\ i \in S \rangle$  f-scale)
also have  $\langle \dots \longrightarrow c *_{\mathbb{C}} g\ x \rangle$ 
using  $\langle \bigwedge i. xi\ i \in S \rangle \langle xi \longrightarrow x \rangle$  bounded-clinear-scaleC-right clinear-continuous-at
f-xi-lim isCont-tendsto-compose by blast
finally show ?thesis
using lim1 LIMSEQ-unique by blast
qed

have [simp]:  $\langle f\ x = g\ x \rangle$  if  $\langle x \in S \rangle$  for  $x$ 
proof –
have  $\langle (\lambda -. x) \longrightarrow x \rangle$ 
by auto
then have  $\langle (\lambda -. f\ x) \longrightarrow g\ x \rangle$ 
using that by (rule f-xi-lim)
then show  $\langle f\ x = g\ x \rangle$ 
by (simp add: LIMSEQ-const-iff)
qed

have g-bounded:  $\langle norm\ (g\ x) \leq B' * norm\ x \rangle$  for  $x$ 
proof –
obtain  $xi :: \langle nat \Rightarrow 'a \rangle$  where  $\langle xi \longrightarrow x \rangle$  and  $\langle xi\ i \in S \rangle$  for  $i$ 
using seq-S seq-lim by auto
then have  $\langle (\lambda i. f\ (xi\ i)) \longrightarrow g\ x \rangle$ 
using f-xi-lim by presburger

```

```

then have ⟨(λi. norm (f (xi i))) ⟶ norm (g x)⟩
  by (metis tendsto-norm)
moreover have ⟨(λi. B' * norm (xi i)) ⟶ B' * norm x⟩
  by (simp add: ⟨xi ⟶ x⟩ tendsto-mult-left tendsto-norm)
ultimately show ⟨norm (g x) ≤ B' * norm x⟩
  apply (rule lim-mono[rotated])
  using bounded' using ⟨xi - ∈ S⟩ by blast
qed

have ⟨bounded-clinear g⟩
  using g-add g-scale apply (rule bounded-clinearI[where K=B'])
  using g-bounded by (simp add: ordered-field-class.sign-simps(5))
then have [simp]: ⟨CBlinfun g *V x = g x⟩ for x
  by (subst CBlinfun-inverse, auto)

show ⟨cblinfun-extension-exists S f⟩
  apply (rule cblinfun-extension-existsI[where B=⟨CBlinfun g⟩])
  by auto

then have ⟨cblinfun-extension S f *V ψ = CBlinfun g *V ψ⟩ if ⟨ψ ∈ S⟩ for ψ
  by (simp add: cblinfun-extension-apply that)

then have ext-is-g: ⟨(*V) (cblinfun-extension S f) = g⟩
  apply (rule-tac ext)
  apply (rule on-closure-eqI[where S=S])
  using ⟨closure S = UNIV⟩ ⟨bounded-clinear g⟩
  by (auto simp add: continuous-at-imp-continuous-on clinear-continuous-within)

show ⟨norm (cblinfun-extension S f) ≤ B⟩ if ⟨B ≥ 0⟩
proof (cases ⟨B > 0⟩)
case True
  then have ⟨B = B'⟩
    unfolding B'-def
    by auto
  moreover have *: ⟨norm (cblinfun-extension S f) ≤ B'⟩
    by (metis ext-is-g ⟨0 < B'⟩ g-bounded norm-cblinfun-bound order-le-less)
  ultimately show ?thesis
    by simp
next
case False
  with bounded have ⟨f x = 0⟩ if ⟨x ∈ S⟩ for x
    by (smt (verit) mult-nonpos-nonneg norm-ge-zero norm-le-zero-iff that)
  then have ⟨g x = (λ-. 0) x⟩ if ⟨x ∈ S⟩ for x
    using that by simp
  then have ⟨g x = 0⟩ for x
    apply (rule on-closure-eqI[where S=S])
    using ⟨closure S = UNIV⟩ ⟨bounded-clinear g⟩
    by (auto simp add: continuous-at-imp-continuous-on clinear-continuous-within)
  with ext-is-g have ⟨cblinfun-extension S f = 0⟩

```

```

    by (simp add: cblinfun-eqI)
  then show ?thesis
    using that by simp
qed
qed

lemma cblinfun-extension-cong:
  assumes ⟨cspan A = cspan B⟩
  assumes ⟨B ⊆ A⟩
  assumes fg: ⟨ $\bigwedge x. x \in B \implies f x = g x$ ⟩
  assumes ⟨cblinfun-extension-exists A f⟩
  shows ⟨cblinfun-extension A f = cblinfun-extension B g⟩
proof -
  from ⟨cblinfun-extension-exists A f⟩ fg ⟨B ⊆ A⟩
  have ⟨cblinfun-extension-exists B g⟩
    by (metis assms(2) cblinfun-extension-exists-def subset-eq)

  have ⟨ $(\forall x \in A. C *_V x = f x) \longleftrightarrow (\forall x \in B. C *_V x = f x)$ ⟩ for C
    by (smt (verit, ccfv-SIG) assms(1) assms(2) assms(4) cblinfun-eq-on-span
        cblinfun-extension-exists-def complex-vector.span-eq subset-iff)
  also from fg have ⟨ $\dots C \longleftrightarrow (\forall x \in B. C *_V x = g x)$ ⟩ for C
    by auto
  finally show ⟨cblinfun-extension A f = cblinfun-extension B g⟩
    unfolding cblinfun-extension-def
    by auto
qed

lemma
  fixes f :: ⟨'a::complex-inner  $\Rightarrow$  'b::hilbert-space⟩ and S
  assumes ⟨is-ortho-set S⟩ and ⟨closure (cspan S) = UNIV⟩
  assumes ortho-f: ⟨ $\bigwedge x y. x \in S \implies y \in S \implies x \neq y \implies \text{is-orthogonal } (f x) (f y)$ ⟩
  assumes bounded: ⟨ $\bigwedge x. x \in S \implies \text{norm } (f x) \leq B * \text{norm } x$ ⟩
  shows cblinfun-extension-exists-ortho: ⟨cblinfun-extension-exists S f⟩
    and cblinfun-extension-exists-ortho-norm: ⟨ $B \geq 0 \implies \text{norm } (\text{cblinfun-extension } S f) \leq B$ ⟩
proof -
  define g where ⟨g = cconstruct S f⟩
  have ⟨cindependent S⟩
    using assms(1) is-ortho-set-cindependent by blast
  have g-f: ⟨g x = f x⟩ if ⟨x ∈ S⟩ for x
    unfolding g-def using ⟨cindependent S⟩ that by (rule complex-vector.construct-basis)
  have [simp]: ⟨clinear g⟩
    unfolding g-def using ⟨cindependent S⟩ by (rule complex-vector.linear-construct)
  then have g-add: ⟨g (x + y) = g x + g y⟩ if ⟨x ∈ cspan S⟩ and ⟨y ∈ cspan S⟩
    for x y
    using clinear-iff by blast
  from ⟨clinear g⟩ have g-scale: ⟨g (c *_C x) = c *_C g x⟩ if ⟨x ∈ cspan S⟩ for x c
    by (simp add: complex-vector.linear-scale)
  moreover have g-bounded: ⟨norm (g x) ≤ abs B * norm x⟩ if ⟨x ∈ cspan S⟩

```

```

for x
  proof -
    from that obtain t r where x-sum:  $\langle x = (\sum a \in t. r \ a \ *_C \ a) \rangle$  and  $\langle \text{finite } t \rangle$ 
  and  $\langle t \subseteq S \rangle$ 
    unfolding complex-vector.span-explicit by auto
    have  $\langle (\text{norm } (g \ x))^2 = (\text{norm } (\sum a \in t. r \ a \ *_C \ g \ a))^2 \rangle$ 
    by (simp add: x-sum complex-vector.linear-sum clinear.scaleC)
    also have  $\langle \dots = (\text{norm } (\sum a \in t. r \ a \ *_C \ f \ a))^2 \rangle$ 
    by (smt (verit)  $\langle t \subseteq S \rangle$  g-f in-mono sum.cong)
    also have  $\langle \dots = (\sum a \in t. (\text{norm } (r \ a \ *_C \ f \ a))^2) \rangle$ 
    using -  $\langle \text{finite } t \rangle$  apply (rule pythagorean-theorem-sum)
    using  $\langle t \subseteq S \rangle$  ortho-f in-mono by fastforce
    also have  $\langle \dots = (\sum a \in t. (\text{cmod } (r \ a) * \text{norm } (f \ a))^2) \rangle$ 
    by simp
    also have  $\langle \dots \leq (\sum a \in t. (\text{cmod } (r \ a) * B * \text{norm } a)^2) \rangle$ 
    apply (rule sum-mono)
    by (metis  $\langle t \subseteq S \rangle$  assms(4) in-mono mult-left-mono mult-nonneg-nonneg
norm-ge-zero power-mono vector-space-over-itself.scale-scale)
    also have  $\langle \dots = B^2 * (\sum a \in t. (\text{norm } (r \ a \ *_C \ a))^2) \rangle$ 
    by (simp add: sum-distrib-left mult.commute vector-space-over-itself.scale-left-commute
flip: power-mult-distrib)
    also have  $\langle \dots = B^2 * (\text{norm } (\sum a \in t. (r \ a \ *_C \ a)))^2 \rangle$ 
    apply (subst pythagorean-theorem-sum)
    using  $\langle \text{finite } t \rangle$  by auto (meson  $\langle t \subseteq S \rangle$  assms(1) is-ortho-set-def subsetD)
    also have  $\langle \dots = (\text{abs } B * \text{norm } x)^2 \rangle$ 
    by (simp add: power-mult-distrib x-sum)
    finally show  $\langle \text{norm } (g \ x) \leq \text{abs } B * \text{norm } x \rangle$ 
    by auto
  qed

from g-add g-scale g-bounded
have extg-exists:  $\langle \text{cblinfun-extension-exists } (\text{cspan } S) \ g \rangle$ 
  apply (rule-tac cblinfun-extension-exists-bounded-dense[where  $B = \langle \text{abs } B \rangle$ ])
  using  $\langle \text{closure } (\text{cspan } S) = \text{UNIV} \rangle$  by auto

then show  $\langle \text{cblinfun-extension-exists } S \ f \rangle$ 
  by (metis (mono-tags, opaque-lifting) g-f cblinfun-extension-apply cblinfun-extension-existsI
complex-vector.span-base)

have norm-extg:  $\langle \text{norm } (\text{cblinfun-extension } (\text{cspan } S) \ g) \leq B \rangle$  if  $\langle B \geq 0 \rangle$ 
  apply (rule cblinfun-extension-norm-bounded-dense)
  using g-add g-scale g-bounded  $\langle \text{closure } (\text{cspan } S) = \text{UNIV} \rangle$  that by auto

have extg-extf:  $\langle \text{cblinfun-extension } (\text{cspan } S) \ g = \text{cblinfun-extension } S \ f \rangle$ 
  apply (rule cblinfun-extension-cong)
  by (auto simp add: complex-vector.span-base g-f extg-exists)

from norm-extg extg-extf
show  $\langle \text{norm } (\text{cblinfun-extension } S \ f) \leq B \rangle$  if  $\langle B \geq 0 \rangle$ 

```

using that by simp  
qed

**lemma** *cblinfun-extension-exists-proj*:

fixes  $f :: \langle 'a :: \text{complex-normed-vector} \Rightarrow 'b :: \text{cbanach} \rangle$

assumes  $\langle \text{csubspace } S \rangle$

assumes  $ex\text{-}P: \langle \exists P :: 'a \Rightarrow_{CL} 'a. \text{is-Proj } P \wedge \text{range } P = \text{closure } S \rangle$

assumes  $f\text{-add}: \langle \bigwedge x y. x \in S \implies y \in S \implies f(x + y) = f x + f y \rangle$

assumes  $f\text{-scale}: \langle \bigwedge c x y. x \in S \implies f(c *_C x) = c *_C f x \rangle$

assumes  $\text{bounded}: \langle \bigwedge x. x \in S \implies \text{norm } (f x) \leq B * \text{norm } x \rangle$

shows  $\langle \text{cblinfun-extension-exists } S f \rangle$

— We cannot give a statement about the norm. While there is an extension with norm  $B$ , there is no guarantee that *cblinfun-extension*  $S f$  returns that specific extension since the extension is only determined on *ccspan*  $S$ .

**proof** (cases  $\langle B \geq 0 \rangle$ )

case True

note True[simp]

obtain  $P :: \langle 'a \Rightarrow_{CL} 'a \rangle$  where  $P\text{-proj}: \langle \text{is-Proj } P \rangle$  and  $P\text{-im}: \langle \text{range } P = \text{closure } S \rangle$

using  $ex\text{-}P$  by blast

define  $f' S'$  where  $\langle f' \psi = f(P \psi) \rangle$  and  $\langle S' = S + \text{space-as-set } (\text{kernel } P) \rangle$

for  $\psi$

have  $PS': \langle P *_V x \in S \rangle$  if  $\langle x \in S' \rangle$  for  $x$

**proof** —

obtain  $x1\ x2$  where  $x12: \langle x = x1 + x2 \rangle$  and  $x1: \langle x1 \in S \rangle$  and  $x2: \langle x2 \in \text{space-as-set } (\text{kernel } P) \rangle$

using that  $S'\text{-def } \langle x \in S' \rangle$  set-plus-elim by blast

have  $\langle P *_V x = P *_V x1 \rangle$

using  $x2$  by (simp add:  $x12$  cblinfun.add-right kernel-memberD)

also have  $\langle \dots = x1 \rangle$

by (metis (no-types, opaque-lifting)  $P\text{-im } P\text{-proj}$  cblinfun.apply-cblinfun.compose closure-insert image-iff insertI1 insert-absorb is-Proj-idempotent  $x1$ )

also have  $\langle \dots \in S \rangle$

by (fact  $x1$ )

finally show ?thesis

by —

qed

have  $SS': \langle S \subseteq S' \rangle$

by (metis  $S'\text{-def}$  ordered-field-class.sign-simps(2) set-zero-plus2 zero-space-as-set)

have  $\langle \text{csubspace } S' \rangle$

by (simp add:  $S'\text{-def}$  assms(1) csubspace-set-plus)

moreover have  $\langle \text{closure } S' = \text{UNIV} \rangle$

**proof** auto

fix  $\psi$

have  $\langle \psi = P \psi + (id - P) \psi \rangle$

by simp

also have  $\langle \dots \in \text{closure } S + \text{space-as-set } (\text{kernel } P) \rangle$

```

      by (smt (verit) P-im P-proj calculation cblinfun.real.add-right eq-add-iff
is-Proj-idempotent kernel-memberI rangeI set-plus-intro simp-a-oCL-b')
    also have  $\langle \dots \subseteq \text{closure } (S + \text{space-as-set } (\text{kernel } P)) \rangle$ 
      using closure-subset by blast
    also have  $\langle \dots = \text{closure } (S + \text{space-as-set } (\text{kernel } P)) \rangle$ 
      using closed-sum-closure-left closed-sum-def by blast
    also have  $\langle \dots = \text{closure } S' \rangle$ 
      using S'-def by fastforce
    finally show  $\langle \psi \in \text{closure } S' \rangle$ 
      by -
  qed

moreover have  $\langle f' (x + y) = f' x + f' y \rangle$  if  $\langle x \in S' \rangle$  and  $\langle y \in S' \rangle$  for  $x y$ 
  using that by (auto simp: f'-def cblinfun.add-right f-add PS')
moreover have  $\langle f' (c *_C x) = c *_C f' x \rangle$  if  $\langle x \in S' \rangle$  for  $c x$ 
  using that by (auto simp: f'-def cblinfun.scaleC-right f-scale PS')
moreover
have  $\langle \text{norm } (f' x) \leq (B * \text{norm } P) * \text{norm } x \rangle$  if  $\langle x \in S' \rangle$  for  $x$ 
proof -
  have  $\langle \text{norm } (f' x) \leq B * \text{norm } (P x) \rangle$ 
    by (auto simp: f'-def PS' bounded that)
  also have  $\langle \dots \leq B * \text{norm } P * \text{norm } x \rangle$ 
    by (simp add: mult.assoc mult-left-mono norm-cblinfun)
  finally show ?thesis
    by auto
qed

ultimately have F-ex:  $\langle \text{cblinfun-extension-exists } S' f' \rangle$ 
  by (rule cblinfun-extension-exists-bounded-dense)
define F where  $\langle F = \text{cblinfun-extension } S' f' \rangle$ 
have  $\langle F \psi = f \psi \rangle$  if  $\langle \psi \in S \rangle$  for  $\psi$ 
proof -
  from F-ex that SS' have  $\langle F \psi = f' \psi \rangle$ 
    by (auto simp add: F-def cblinfun-extension-apply)
  also have  $\langle \dots = f (P *_V \psi) \rangle$ 
    by (simp add: f'-def)
  also have  $\langle \dots = f \psi \rangle$ 
    using P-proj P-im
    apply (transfer fixing:  $\psi S f$ )
    by (metis closure-subset in-mono is-projection-on-fixes-image is-projection-on-image
that)
  finally show ?thesis
    by -
qed
then show  $\langle \text{cblinfun-extension-exists } S f \rangle$ 
  using cblinfun-extension-exists-def by blast
next
case False
then have  $\langle S \subseteq \{0\} \rangle$ 

```

```

using bounded by auto (meson norm-ge-zero norm-le-zero-iff order-trans zero-le-mult-iff)
then show ⟨cblinfun-extension-exists S f⟩
  apply (rule-tac cblinfun-extension-existsI[where B=0])
  apply auto
  using bounded by fastforce
qed

```

```

lemma cblinfun-extension-exists-hilbert:
  fixes f :: ⟨'a::hilbert-space ⇒ 'b::cbanach⟩
  assumes ⟨csubspace S⟩
  assumes f-add: ⟨ $\bigwedge x y. x \in S \implies y \in S \implies f (x + y) = f x + f y$ ⟩
  assumes f-scale: ⟨ $\bigwedge c x y. x \in S \implies f (c *_C x) = c *_C f x$ ⟩
  assumes bounded: ⟨ $\bigwedge x. x \in S \implies \text{norm } (f x) \leq B * \text{norm } x$ ⟩
  shows ⟨cblinfun-extension-exists S f⟩

```

— We cannot give a statement about the norm. While there is an extension with norm  $B$ , there is no guarantee that *cblinfun-extension*  $S f$  returns that specific extension since the extension is only determined on *ccspan*  $S$ .

```

proof -
  have ⟨ $\exists P. \text{is-Proj } P \wedge \text{range } ((*_V) P) = \text{closure } S$ ⟩
  apply (rule exI[of - ⟨Proj (ccspan S)⟩])
  apply (rule conjI)
  by simp (metis Proj-is-Proj Proj-range Proj-range-closed assms(1) cblin-
fun-image.rep-eq ccspan.rep-eq closure-closed complex-vector.span-eq-iff space-as-set-top)

```

```

  from assms(1) this assms(2-4)
  show ?thesis
  by (rule cblinfun-extension-exists-proj)
qed

```

```

lemma cblinfun-extension-exists-restrict:
  assumes ⟨ $B \subseteq A$ ⟩
  assumes ⟨ $\bigwedge x. x \in B \implies f x = g x$ ⟩
  assumes ⟨cblinfun-extension-exists A f⟩
  shows ⟨cblinfun-extension-exists B g⟩
  by (metis assms cblinfun-extension-exists-def subset-eq)

```

## 13.22 Bijections between different ONBs

Some of the theorems here logically belong into *Complex-Bounded-Operators.Complex-Inner-Product* but the proof uses some concepts from the present theory.

```

lemma all-ortho-bases-same-card:
  — Follows [1], Proposition 4.14
  fixes E F :: ⟨'a::hilbert-space set⟩
  assumes ⟨is-ortho-set E⟩ ⟨is-ortho-set F⟩ ⟨ccspan E =  $\top$ ⟩ ⟨ccspan F =  $\top$ ⟩
  shows ⟨ $\exists f. \text{bij-betw } f E F$ ⟩

```

```

proof -
  have ⟨ $|F| \leq_o |E|$ ⟩ if ⟨infinite E⟩ and
    ⟨is-ortho-set E⟩ ⟨is-ortho-set F⟩ ⟨ccspan E = top⟩ ⟨ccspan F = top⟩ for E F ::
    ⟨'a set⟩

```

```

proof –
  define  $F'$  where  $\langle F' e = \{f \in F. \neg \text{is-orthogonal } f\ e\} \rangle$  for  $e$ 
  have  $\langle \exists e \in E. \text{cinner } f\ e \neq 0 \rangle$  if  $\langle f \in F \rangle$  for  $f$ 
  proof (rule ccontr, simp)
    assume  $\langle \forall e \in E. \text{is-orthogonal } f\ e \rangle$ 
    then have  $\langle f \in \text{orthogonal-complement } E \rangle$ 
    by (simp add: orthogonal-complementI)
    also have  $\langle \dots = \text{orthogonal-complement } (\text{closure } (\text{cspan } E)) \rangle$ 
    using orthogonal-complement-of-closure orthogonal-complement-of-cspan by
blast
  also have  $\langle \dots = \text{space-as-set } (- \text{ccspan } E) \rangle$ 
  by transfer simp
  also have  $\langle \dots = \text{space-as-set } (- \text{top}) \rangle$ 
  by (simp add:  $\langle \text{ccspan } E = \text{top} \rangle$ )
  also have  $\langle \dots = \{0\} \rangle$ 
  by (auto simp add: top-ccsubspace.rep-eq uminus-ccsubspace.rep-eq)
  finally have  $\langle f = 0 \rangle$ 
  by simp
  with  $\langle f \in F \rangle$   $\langle \text{is-ortho-set } F \rangle$  show False
  by (simp add: is-onb-def is-ortho-set-def)
qed
then have  $F'$ -union:  $\langle F = (\bigcup e \in E. F' e) \rangle$ 
  unfolding  $F'$ -def by auto
have  $\langle \text{countable } (F' e) \rangle$  for  $e$ 
proof –
  have  $\langle (\sum f \in M. (\text{cmod } (\text{cinner } (\text{sgn } f)\ e))^2) \leq (\text{norm } e)^2 \rangle$  if  $\langle \text{finite } M \rangle$  and
 $\langle M \subseteq F \rangle$  for  $M$ 
  proof –
    have [simp]:  $\langle \text{is-ortho-set } M \rangle$ 
    using  $\langle \text{is-ortho-set } F \rangle$  is-ortho-set-antimono that(2) by blast
    have [simp]:  $\langle \text{norm } (\text{sgn } f) = 1 \rangle$  if  $\langle f \in M \rangle$  for  $f$ 
    by (metis  $\langle \text{is-ortho-set } M \rangle$  is-ortho-set-def norm-sgn that)
    have  $\langle (\sum f \in M. (\text{cmod } (\text{cinner } (\text{sgn } f)\ e))^2) = (\sum f \in M. (\text{norm } ((\text{cinner } (\text{sgn } f)\ e) *_C \text{sgn } f))^2) \rangle$ 
    apply (rule sum.cong[OF refl])
    by simp
    also have  $\langle \dots = (\text{norm } (\sum f \in M. ((\text{cinner } (\text{sgn } f)\ e) *_C \text{sgn } f)))^2 \rangle$ 
    apply (rule pythagorean-theorem-sum[symmetric])
    using that by auto (meson  $\langle \text{is-ortho-set } M \rangle$  is-ortho-set-def)
    also have  $\langle \dots = (\text{norm } (\sum f \in M. \text{proj } f\ e))^2 \rangle$ 
    by (metis butterfly-apply butterfly-sgn-eq-proj)
    also have  $\langle \dots = (\text{norm } (\text{Proj } (\text{ccspan } M)\ e))^2 \rangle$ 
    apply (rule arg-cong[where  $f = \lambda x. (\text{norm } x)^2$ ])
    using  $\langle \text{finite } M \rangle$   $\langle \text{is-ortho-set } M \rangle$  apply induction
    by simp (smt (verit, ccfv-threshold) Proj-orthog-ccspan-insert insertCI
is-ortho-set-def plus-cblinfun.rep-eq sum.insert)
    also have  $\langle \dots \leq (\text{norm } (\text{Proj } (\text{ccspan } M))) * \text{norm } e^2 \rangle$ 
    by (auto simp: norm-cblinfun intro!: power-mono)
    also have  $\langle \dots \leq (\text{norm } e)^2 \rangle$ 

```

```

    apply (rule power-mono)
    apply (metis norm-Prod-leq1 mult-left-le-one-le norm-ge-zero)
    by simp
  finally show ?thesis
    by -
qed
then have ⟨(λf. (cmod (cinner (sgn f) e))2) abs-summable-on F⟩
  apply (intro nonneg-bdd-above-summable-on bdd-aboveI)
  by auto
then have ⟨countable {f ∈ F. (cmod (sgn f) •C e))2 ≠ 0}⟩
  by (rule abs-summable-countable)
then have ⟨countable {f ∈ F. ¬ is-orthogonal (sgn f) e}⟩
  by force
then have ⟨countable {f ∈ F. ¬ is-orthogonal f e}⟩
  by force
then show ?thesis
  unfolding F'-def by simp
qed
then have F'-leq: ⟨|F'| ≤o natLeq⟩ for e
  using countable-leq-natLeq by auto

from F'-union have ⟨|F| ≤o |Sigma E F'|⟩ (is ⟨- ≤o ...⟩)
  using card-of-UNION-Sigma by blast
also have ⟨... ≤o |E × (UNIV::nat set)|⟩ (is ⟨- ≤o ...⟩)
  apply (rule card-of-Sigma-mono1)
  using F'-leq
  using card-of-nat ordIso-symmetric ordLeq-ordIso-trans by blast
also have ⟨... =o |E| *c natLeq⟩ (is ⟨ordIso2 - ...⟩)
  by (metis Field-card-of Field-natLeq card-of-ordIso-subst cprod-def)
also have ⟨... =o |E|⟩
  apply (rule card-prod-omega)
  using that by (simp add: cfinite-def)
finally show ⟨|F| ≤o |E|⟩
  by -
qed
then have infinite: ⟨|E| =o |F|⟩ if ⟨finite E⟩ and ⟨finite F⟩
  by (simp add: asms ordIso-iff-ordLeq that(1) that(2))

have ⟨|E| =o |F|⟩ if ⟨finite E⟩ and ⟨is-ortho-set E⟩ ⟨is-ortho-set F⟩ ⟨ccspan E
= top⟩ ⟨ccspan F = top⟩
  for E F :: 'a set⟩
proof -
  have ⟨card E = card F⟩
    using that
  by (metis bij-betw-same-card ccspan.rep-eq closure-finite-cspan complex-vector.bij-if-span-eq-span-bases

    complex-vector.independent-span-bound is-ortho-set-cindependent top-ccsubspace.rep-eq
top-greatest)
  with ⟨finite E⟩ have ⟨finite F⟩

```

by (metis ccspan.rep-eq closure-finite-cspan complex-vector.independent-span-bound  
is-ortho-set-cindependent that(3) that(4) top-ccsubspace.rep-eq top-greatest)  
with  $\langle \text{card } E = \text{card } F \rangle$  that **show** ?thesis  
**apply** (rule-tac finite-card-of-iff-card[THEN iffD2])  
**by** auto  
**qed**

**with** infinite assms **have**  $\langle |E| =_o |F| \rangle$   
**by** (meson ordIso-symmetric)

**then show** ?thesis  
**by** (simp add: card-of-ordIso)  
**qed**

**lemma** all-onbs-same-card:  
**fixes**  $E F :: \langle 'a::\text{chilbert-space set} \rangle$   
**assumes**  $\langle \text{is-onb } E \rangle \langle \text{is-onb } F \rangle$   
**shows**  $\langle \exists f. \text{bij-betw } f \ E \ F \rangle$   
**apply** (rule all-ortho-bases-same-card)  
**using** assms **by** (auto simp: is-onb-def)

**definition** bij-between-bases **where**  $\langle \text{bij-between-bases } E \ F = (\text{SOME } f. \text{bij-betw } f \ E \ F) \rangle$   
**for**  $E \ F :: \langle 'a::\text{chilbert-space set} \rangle$

**lemma** bij-between-bases-bij:  
**fixes**  $E F :: \langle 'a::\text{chilbert-space set} \rangle$   
**assumes**  $\langle \text{is-onb } E \rangle \langle \text{is-onb } F \rangle$   
**shows**  $\langle \text{bij-betw } (\text{bij-between-bases } E \ F) \ E \ F \rangle$   
**using** all-onbs-same-card  
**by** (metis assms(1) assms(2) bij-between-bases-def someI)

**definition** unitary-between **where**  $\langle \text{unitary-between } E \ F = \text{cblinfun-extension } E \ (\text{bij-between-bases } E \ F) \rangle$

**lemma** unitary-between-apply:  
**fixes**  $E F :: \langle 'a::\text{chilbert-space set} \rangle$   
**assumes**  $\langle \text{is-onb } E \rangle \langle \text{is-onb } F \rangle \langle e \in E \rangle$   
**shows**  $\langle \text{unitary-between } E \ F *_{\text{V}} e = \text{bij-between-bases } E \ F \ e \rangle$   
**proof** –  
**from**  $\langle \text{is-onb } E \rangle \langle \text{is-onb } F \rangle$   
**have** EF:  $\langle \text{bij-between-bases } E \ F \ e \in F \rangle$  **if**  $\langle e \in E \rangle$  **for**  $e$   
**by** (meson bij-betwE bij-between-bases-bij that)  
**have** ortho:  $\langle \text{is-orthogonal } (\text{bij-between-bases } E \ F \ x) \ (\text{bij-between-bases } E \ F \ y) \rangle$   
**if**  $\langle x \neq y \rangle$  **and**  $\langle x \in E \rangle$  **and**  $\langle y \in E \rangle$  **for**  $x \ y$   
**by** (smt (verit, del-insts) assms(1) assms(2) bij-betw-iff-bijections bij-between-bases-bij  
is-onb-def is-ortho-set-def that(1) that(2) that(3))  
**have** spanE:  $\langle \text{closure } (\text{cspan } E) = \text{UNIV} \rangle$   
**by** (metis assms(1) ccspan.rep-eq is-onb-def top-ccsubspace.rep-eq)  
**show** ?thesis

```

    unfolding unitary-between-def
    apply (rule cblinfun-extension-apply)
    apply (rule cblinfun-extension-exists-ortho[where B=1])
    using assms EF ortho spanE
    by (auto simp: is-onb-def)
qed

lemma unitary-between-unitary:
  fixes E F :: ⟨'a::hilbert-space set⟩
  assumes ⟨is-onb E⟩ ⟨is-onb F⟩
  shows ⟨unitary (unitary-between E F)⟩
proof -
  have ⟨(unitary-between E F *V b) •C (unitary-between E F *V c) = b •C c⟩ if
    ⟨b ∈ E⟩ and ⟨c ∈ E⟩ for b c
  proof (cases ⟨b = c⟩)
    case True
    from ⟨is-onb E⟩ that have 1: ⟨b •C b = 1⟩
    using cnorm-eq-1 is-onb-def by blast
    from that have ⟨unitary-between E F *V b ∈ F⟩
    by (metis assms(1) assms(2) bij-betw-apply bij-between-bases-bij unitary-between-apply)
    with ⟨is-onb F⟩ have 2: ⟨(unitary-between E F *V b) •C (unitary-between E F
      *V b) = 1⟩
    by (simp add: cnorm-eq-1 is-onb-def)
    from 1 2 True show ?thesis
    by simp
  next
    case False
    from ⟨is-onb E⟩ that have 1: ⟨b •C c = 0⟩
    by (simp add: False is-onb-def is-ortho-set-def)
    from that have inF: ⟨unitary-between E F *V b ∈ F⟩ ⟨unitary-between E F *V
      c ∈ F⟩
    by (metis assms(1) assms(2) bij-betw-apply bij-between-bases-bij unitary-between-apply)+
    have neq: ⟨unitary-between E F *V b ≠ unitary-between E F *V c⟩
    by (metis (no-types, lifting) False assms(1) assms(2) bij-betw-iff-bijections
      bij-between-bases-bij that(1) that(2) unitary-between-apply)
    from inF neq ⟨is-onb F⟩ have 2: ⟨(unitary-between E F *V b) •C (unitary-between
      E F *V c) = 0⟩
    by (simp add: is-onb-def is-ortho-set-def)
    from 1 2 show ?thesis
    by simp
  qed
  then have iso: ⟨isometry (unitary-between E F)⟩
  apply (rule-tac orthogonal-on-basis-is-isometry[where B=E])
  using assms(1) is-onb-def by auto
  have ⟨unitary-between E F *S top = unitary-between E F *S ccspan E⟩
  by (metis assms(1) is-onb-def)
  also have ⟨... ≥ ccspan (unitary-between E F ' E)⟩ (is ⟨- ≥ ...⟩)
  by (simp add: cblinfun-image-ccspan)
  also have ⟨... = ccspan (bij-between-bases E F ' E)⟩

```

```

    by (metis assms(1) assms(2) image-cong unitary-between-apply)
  also have  $\langle \dots = \text{ccspan } F \rangle$ 
    by (metis assms(1) assms(2) bij-betw-imp-surj-on bij-between-bases-bij)
  also have  $\langle \dots = \text{top} \rangle$ 
    using assms(2) is-onb-def by blast
  finally have surj:  $\langle \text{unitary-between } E \ F \ *_S \ \text{top} = \text{top} \rangle$ 
    by (simp add: top.extremum-unique)
  from iso surj show ?thesis
    by (rule surj-isometry-is-unitary)
qed

```

### 13.23 Notation

```

bundle cblinfun-syntax begin
notation cblinfun-compose (infixl  $\langle o_{CL} \rangle$  67)
notation cblinfun-apply (infixr  $\langle *_V \rangle$  70)
notation cblinfun-image (infixr  $\langle *_S \rangle$  70)
notation adj ( $\langle - * \rangle$  [99] 100)
type-notation cblinfun ( $\langle (- \Rightarrow_{CL} /-) \rangle$  [22, 21] 21)
end

```

```

unbundle no cblinfun-syntax and no lattice-syntax

```

```

end

```

## 14 Complex- $L^2$ – Hilbert space of square-summable functions

```

theory Complex-L2
imports
  Complex-Bounded-Linear-Function

  HOL-Analysis.L2-Norm
  HOL-Library.Rewrite
  HOL-Analysis.Infinite-Sum
  HOL-Library.Infinite-Typeclass
begin

```

```

unbundle lattice-syntax and cblinfun-syntax and no blinfun-apply-syntax

```

### 14.1 $l_2$ norm of functions

```

definition  $\langle \text{has-ell2-norm } (x :: \Rightarrow \text{complex}) \longleftrightarrow (\lambda i. (x \ i)^2) \text{ abs-summable-on UNIV} \rangle$ 

```

```

lemma has-ell2-norm-bdd-above:  $\langle \text{has-ell2-norm } x \longleftrightarrow \text{bdd-above } (\text{sum } (\lambda xa. \text{norm } ((x \ xa)^2))) \text{ 'Collect finite'} \rangle$ 

```

```

  by (simp add: has-ell2-norm-def abs-summable-iff-bdd-above)

```

```

lemma has-ell2-norm-L2-set: has-ell2-norm  $x = \text{bdd-above } (L2\text{-set } (\text{norm } o \ x) \text{ 'Collect finite'})$ 
proof (rule iffI)
  have  $\langle \text{mono } \text{sqrt} \rangle$ 
  using monoI real-sqrt-le-mono by blast
  assume  $\langle \text{has-ell2-norm } x \rangle$ 
  then have  $\ast$ :  $\langle \text{bdd-above } (\text{sum } (\lambda x a. \text{norm } ((x \ x a)^2)) \text{ 'Collect finite'}) \rangle$ 
  by (subst (asm) has-ell2-norm-bdd-above)
  have  $\langle \text{bdd-above } ((\lambda F. \text{sqrt } (\text{sum } (\lambda x a. \text{norm } ((x \ x a)^2)) \ F)) \text{ 'Collect finite'}) \rangle$ 
  using bdd-above-image-mono[OF  $\langle \text{mono } \text{sqrt} \rangle \ast$ ]
  by (auto simp: image-image)
  then show  $\langle \text{bdd-above } (L2\text{-set } (\text{norm } o \ x) \text{ 'Collect finite'}) \rangle$ 
  by (auto simp: L2-set-def norm-power)
next
  define  $p2$  where  $\langle p2 \ x = (\text{if } x < 0 \text{ then } 0 \text{ else } x^2) \rangle$  for  $x :: \text{real}$ 
  have  $\langle \text{mono } p2 \rangle$ 
  by (simp add: monoI p2-def)
  have [simp]:  $\langle p2 \ (L2\text{-set } f \ F) = (\sum i \in F. (f \ i)^2) \rangle$  for  $f$  and  $F :: \text{'a set}$ 
  by (smt (verit) L2-set-def L2-set-nonneg p2-def power2-less-0 real-sqrt-pow2 sum.cong sum-nonneg)
  assume  $\ast$ :  $\langle \text{bdd-above } (L2\text{-set } (\text{norm } o \ x) \text{ 'Collect finite'}) \rangle$ 
  have  $\langle \text{bdd-above } (p2 \text{ 'L2-set } (\text{norm } o \ x) \text{ 'Collect finite'}) \rangle$ 
  using bdd-above-image-mono[OF  $\langle \text{mono } p2 \rangle \ast$ ]
  by auto
  then show  $\langle \text{has-ell2-norm } x \rangle$ 
  apply (simp add: image-image has-ell2-norm-def abs-summable-iff-bdd-above)
  by (simp add: norm-power)
qed

definition ell2-norm ::  $\langle \text{'a} \Rightarrow \text{complex} \rangle \Rightarrow \text{real}$  where  $\langle \text{ell2-norm } f = \text{sqrt } (\sum \infty x. \text{norm } (f \ x)^2) \rangle$ 

lemma ell2-norm-SUP:
  assumes  $\langle \text{has-ell2-norm } x \rangle$ 
  shows  $\text{ell2-norm } x = \text{sqrt } (\text{SUP } F \in \{F. \text{finite } F\}. \text{sum } (\lambda i. \text{norm } (x \ i)^2) \ F)$ 
  using assms apply (auto simp add: ell2-norm-def has-ell2-norm-def)
  apply (subst infsum-nonneg-is-SUPREMUM-real)
  by (auto simp: norm-power)

lemma ell2-norm-L2-set:
  assumes has-ell2-norm  $x$ 
  shows  $\text{ell2-norm } x = (\text{SUP } F \in \{F. \text{finite } F\}. L2\text{-set } (\text{norm } o \ x) \ F)$ 
proof –
  have  $\text{sqrt } (\bigsqcup (\text{sum } (\lambda i. (\text{cmod } (x \ i))^2) \text{ 'Collect finite'})) =$ 
   $(\text{SUP } F \in \{F. \text{finite } F\}. \text{sqrt } (\sum i \in F. (\text{cmod } (x \ i))^2))$ 
  proof (subst continuous-at-Sup-mono)
  show mono sqrt
  by (simp add: mono-def)
  show continuous (at-left  $(\bigsqcup (\text{sum } (\lambda i. (\text{cmod } (x \ i))^2) \text{ 'Collect finite'}))$ ) sqrt

```

```

    using continuous-at-split isCont-real-sqrt by blast
  show sum (λi. (cmod (x i))2) ‘ Collect finite ≠ {}
    by auto
  show bdd-above (sum (λi. (cmod (x i))2) ‘ Collect finite)
    using has-ell2-norm-bdd-above[THEN iffD1, OF assms] by (auto simp:
norm-power)
  show  $\sqcup$  (sqrt ‘ sum (λi. (cmod (x i))2) ‘ Collect finite) = (SUP F∈Collect
finite. sqrt (∑ i∈F. (cmod (x i))2))
    by (metis image-image)
  qed
  thus ?thesis
    using assms by (auto simp: ell2-norm-SUP L2-set-def)
qed

lemma has-ell2-norm-finite[simp]: has-ell2-norm (f::'a::finite⇒-)
  unfolding has-ell2-norm-def by simp

lemma ell2-norm-finite:
  ell2-norm (f::'a::finite⇒complex) = sqrt (∑ x∈UNIV. (norm (f x))2)
  by (simp add: ell2-norm-def)

lemma ell2-norm-finite-L2-set: ell2-norm (x::'a::finite⇒complex) = L2-set (norm
o x) UNIV
  by (simp add: ell2-norm-finite L2-set-def)

lemma ell2-norm-square: ⟨(ell2-norm x)2 = (∑ ∞ i. (cmod (x i))2)⟩
  unfolding ell2-norm-def
  apply (subst real-sqrt-pow2)
  by (simp-all add: infsum-nonneg)

lemma ell2-ket:
  fixes a
  defines ⟨f ≡ (λi. of-bool (a = i))⟩
  shows has-ell2-norm-ket: ⟨has-ell2-norm f⟩
    and ell2-norm-ket: ⟨ell2-norm f = 1⟩
  proof -
    have ⟨(λx. (f x)2) abs-summable-on {a}⟩
      apply (rule summable-on-finite) by simp
    then show ⟨has-ell2-norm f⟩
      unfolding has-ell2-norm-def
      apply (rule summable-on-cong-neutral[THEN iffD1, rotated -1])
      unfolding f-def by auto

    have ⟨(∑ ∞ x∈{a}. (f x)2) = 1⟩
      apply (subst infsum-finite)
      by (auto simp: f-def)
    then show ⟨ell2-norm f = 1⟩
      unfolding ell2-norm-def
      apply (subst infsum-cong-neutral[where T=⟨{a}⟩ and g=⟨λx. (cmod (f x))2⟩])

```

```

    by (auto simp: f-def)
qed

lemma ell2-norm-geq0:  $\langle \text{ell2-norm } x \geq 0 \rangle$ 
  by (auto simp: ell2-norm-def intro!: infsum-nonneg)

lemma ell2-norm-point-bound:
  assumes  $\langle \text{has-ell2-norm } x \rangle$ 
  shows  $\langle \text{ell2-norm } x \geq \text{cmod } (x \ i) \rangle$ 
proof -
  have  $\langle (\text{cmod } (x \ i))^2 = \text{norm } ((x \ i)^2) \rangle$ 
    by (simp add: norm-power)
  also have  $\langle \text{norm } ((x \ i)^2) = \text{sum } (\lambda i. (\text{norm } ((x \ i)^2))) \{i\} \rangle$ 
    by auto
  also have  $\langle \dots = \text{infsum } (\lambda i. (\text{norm } ((x \ i)^2))) \{i\} \rangle$ 
    by (rule infsum-finite[symmetric], simp)
  also have  $\langle \dots \leq \text{infsum } (\lambda i. (\text{norm } ((x \ i)^2))) \text{ UNIV} \rangle$ 
    apply (rule infsum-mono-neutral)
    using assms by (auto simp: has-ell2-norm-def)
  also have  $\langle \dots = (\text{ell2-norm } x)^2 \rangle$ 
    by (metis (no-types, lifting) ell2-norm-def ell2-norm-geq0 infsum-cong norm-power
    real-sqrt-eq-iff real-sqrt-unique)
  finally show ?thesis
    using ell2-norm-geq0 power2-le-imp-le by blast
qed

lemma ell2-norm-0:
  assumes  $\text{has-ell2-norm } x$ 
  shows  $\text{ell2-norm } x = 0 \longleftrightarrow x = (\lambda -. 0)$ 
proof
  assume  $u1: x = (\lambda -. 0)$ 
  have  $u2: (\text{SUP } x::'a \text{ set} \in \text{Collect finite. } (0::\text{real})) = 0$ 
    if  $x = (\lambda -. 0)$ 
    by (metis cSUP-const empty-Collect-eq finite.emptyI)
  show  $\text{ell2-norm } x = 0$ 
    unfolding ell2-norm-def
    using u1 u2 by auto
next
  assume  $\text{norm0: ell2-norm } x = 0$ 
  show  $x = (\lambda -. 0)$ 
  proof
    fix  $i$ 
    have  $\langle \text{cmod } (x \ i) \leq \text{ell2-norm } x \rangle$ 
      using assms by (rule ell2-norm-point-bound)
    also have  $\langle \dots = 0 \rangle$ 
      by (fact norm0)
    finally show  $x \ i = 0$  by auto
  qed
qed

```

```

lemma ell2-norm-smult:
  assumes has-ell2-norm x
  shows has-ell2-norm ( $\lambda i. c * x i$ ) and ell2-norm ( $\lambda i. c * x i$ ) = cmod c * ell2-norm x
proof –
  have L2-set-mul: L2-set (cmod  $\circ$  ( $\lambda i. c * x i$ )) F = cmod c * L2-set (cmod  $\circ$  x) F for F
  proof –
    have L2-set (cmod  $\circ$  ( $\lambda i. c * x i$ )) F = L2-set ( $\lambda i. (cmod\ c * (cmod\ o\ x)\ i)$ ) F
      by (metis comp-def norm-mult)
    also have ... = cmod c * L2-set (cmod  $\circ$  x) F
      by (metis norm-ge-zero L2-set-right-distrib)
    finally show ?thesis .
  qed

from assms obtain M where M: M  $\geq$  L2-set (cmod  $\circ$  x) F if finite F for F
  unfolding has-ell2-norm-L2-set bdd-above-def by auto
hence cmod c * M  $\geq$  L2-set (cmod  $\circ$  ( $\lambda i. c * x i$ )) F if finite F for F
  unfolding L2-set-mul
  by (simp add: ordered-comm-semiring-class.comm-mult-left-mono that)
thus has: has-ell2-norm ( $\lambda i. c * x i$ )
  unfolding has-ell2-norm-L2-set bdd-above-def using L2-set-mul[symmetric] by auto
  have ell2-norm ( $\lambda i. c * x i$ ) = (SUP F  $\in$  Collect finite. (L2-set (cmod  $\circ$  ( $\lambda i. c * x i$ )) F))
  by (simp add: ell2-norm-L2-set has)
  also have ... = (SUP F  $\in$  Collect finite. (cmod c * L2-set (cmod  $\circ$  x) F))
    using L2-set-mul by auto
  also have ... = cmod c * ell2-norm x
  proof (subst ell2-norm-L2-set)
    show has-ell2-norm x
      by (simp add: assms)
    show (SUP F  $\in$  Collect finite. cmod c * L2-set (cmod  $\circ$  x) F) = cmod c *  $\bigsqcup$   

    (L2-set (cmod  $\circ$  x) ‘ Collect finite)
    proof (subst continuous-at-Sup-mono [where f =  $\lambda x. cmod\ c * x$ ])
      show mono ((*) (cmod c))
      by (simp add: mono-def ordered-comm-semiring-class.comm-mult-left-mono)
      show continuous (at-left ( $\bigsqcup$  (L2-set (cmod  $\circ$  x) ‘ Collect finite))) ((*) (cmod
c))
    proof (rule continuous-mult)
      show continuous (at-left ( $\bigsqcup$  (L2-set (cmod  $\circ$  x) ‘ Collect finite))) ( $\lambda x. cmod$ 
c)
      by simp
      show continuous (at-left ( $\bigsqcup$  (L2-set (cmod  $\circ$  x) ‘ Collect finite))) ( $\lambda x. x$ )
      by simp
    qed
  show L2-set (cmod  $\circ$  x) ‘ Collect finite  $\neq$  {}

```

```

    by auto
  show bdd-above (L2-set (cmod o x) ‘ Collect finite)
    by (meson assms has-ell2-norm-L2-set)
  show (SUP F ∈ Collect finite. cmod c * L2-set (cmod o x) F) =  $\sqcup$  ((*) (cmod
c) ‘ L2-set (cmod o x) ‘ Collect finite)
    by (metis image-image)
qed
qed
finally show ell2-norm ( $\lambda i. c * x i$ ) = cmod c * ell2-norm x.
qed

```

**lemma** *ell2-norm-triangle*:

```

  assumes has-ell2-norm x and has-ell2-norm y
  shows has-ell2-norm ( $\lambda i. x i + y i$ ) and ell2-norm ( $\lambda i. x i + y i$ )  $\leq$  ell2-norm
x + ell2-norm y
proof –
  have triangle: L2-set (cmod o ( $\lambda i. x i + y i$ )) F  $\leq$  L2-set (cmod o x) F + L2-set
(cmod o y) F
    (is ?lhs  $\leq$  ?rhs)
  if finite F for F
  proof –
    have ?lhs  $\leq$  L2-set ( $\lambda i. (cmod o x) i + (cmod o y) i$ ) F
  proof (rule L2-set-mono)
    show (cmod o ( $\lambda i. x i + y i$ )) i  $\leq$  (cmod o x) i + (cmod o y) i
      if i  $\in$  F
      for i :: 'a
      using that norm-triangle-ineq by auto
    show 0  $\leq$  (cmod o ( $\lambda i. x i + y i$ )) i
      if i  $\in$  F
      for i :: 'a
      using that
      by simp
  qed
  also have ...  $\leq$  ?rhs
    by (rule L2-set-triangle-ineq)
  finally show ?thesis .
qed
obtain Mx My where Mx: Mx  $\geq$  L2-set (cmod o x) F and My: My  $\geq$  L2-set
(cmod o y) F
  if finite F for F
  using assms unfolding has-ell2-norm-L2-set bdd-above-def by auto
hence MxMy: Mx + My  $\geq$  L2-set (cmod o x) F + L2-set (cmod o y) F if finite
F for F
  using that by fastforce
hence bdd-plus: bdd-above (( $\lambda xa. L2-set (cmod o x) xa + L2-set (cmod o y) xa$ )
‘ Collect finite)
  unfolding bdd-above-def by auto
from MxMy have MxMy': Mx + My  $\geq$  L2-set (cmod o ( $\lambda i. x i + y i$ )) F if

```

```

finite F for F
  using triangle that by fastforce
  thus has: has-ell2-norm ( $\lambda i. x\ i + y\ i$ )
  unfolding has-ell2-norm-L2-set bdd-above-def by auto
  have SUP-plus:  $(\text{SUP } x \in A. f\ x + g\ x) \leq (\text{SUP } x \in A. f\ x) + (\text{SUP } x \in A. g\ x)$ 
  if notempty:  $A \neq \{\}$  and bddf: bdd-above ( $f'A$ ) and bddg: bdd-above ( $g'A$ )
  for  $f\ g :: 'a\ \text{set} \Rightarrow \text{real}$  and  $A$ 
  proof-
    have xleq:  $x \leq (\text{SUP } x \in A. f\ x) + (\text{SUP } x \in A. g\ x)$  if  $x: x \in (\lambda x. f\ x + g\ x) \wedge A \neq \{\}$ 
  A for  $x$ 
  proof -
    obtain a where aA:  $a:A$  and ax:  $x = f\ a + g\ a$ 
    using x by blast
    have fa:  $f\ a \leq (\text{SUP } x \in A. f\ x)$ 
    by (simp add: bddf aA cSUP-upper)
    moreover have ga:  $g\ a \leq (\text{SUP } x \in A. g\ x)$ 
    by (simp add: bddg aA cSUP-upper)
    ultimately have fa + ga:  $f\ a + g\ a \leq (\text{SUP } x \in A. f\ x) + (\text{SUP } x \in A. g\ x)$  by simp
    with ax show ?thesis by simp
  qed
  have (xleq)  $(\lambda x. f\ x + g\ x) \wedge A \neq \{\}$ 
  using notempty by auto
  moreover have xleq:  $x \leq (\text{SUP } x \in A. f\ x) + (\text{SUP } x \in A. g\ x)$ 
  if  $x \in (\lambda x. f\ x + g\ x) \wedge A \neq \{\}$ 
  for  $x :: \text{real}$ 
  using that
  by (simp add: xleq)
  ultimately show ?thesis
  by (meson bdd-above-def cSup-le-iff)
  qed
  have a2: bdd-above (L2-set (cmod  $\circ$  x))  $\wedge$  Collect finite
  by (meson assms(1) has-ell2-norm-L2-set)
  have a3: bdd-above (L2-set (cmod  $\circ$  y))  $\wedge$  Collect finite
  by (meson assms(2) has-ell2-norm-L2-set)
  have a1: Collect finite  $\neq \{\}$ 
  by auto
  have a4:  $\bigcup (L2\text{-set } (cmod \circ (\lambda i. x\ i + y\ i))) \wedge$  Collect finite
   $\leq (\text{SUP } xa \in \text{Collect finite. } L2\text{-set } (cmod \circ x)\ xa + L2\text{-set } (cmod \circ y)\ xa)$ 
  by (metis (mono-tags, lifting) a1 bdd-plus cSUP-mono mem-Collect-eq triangle)

  have  $\forall r. \bigcup (L2\text{-set } (cmod \circ (\lambda a. x\ a + y\ a))) \wedge$  Collect finite  $\leq r \vee \neg (\text{SUP } A \in \text{Collect finite. } L2\text{-set } (cmod \circ x)\ A + L2\text{-set } (cmod \circ y)\ A \leq r)$ 
  using a4 by linarith
  hence  $\bigcup (L2\text{-set } (cmod \circ (\lambda i. x\ i + y\ i))) \wedge$  Collect finite
   $\leq \bigcup (L2\text{-set } (cmod \circ x) \wedge \text{Collect finite}) +$ 
   $\bigcup (L2\text{-set } (cmod \circ y) \wedge \text{Collect finite})$ 
  by (metis (no-types) SUP-plus a1 a2 a3)
  hence  $\bigcup (L2\text{-set } (cmod \circ (\lambda i. x\ i + y\ i))) \wedge$  Collect finite  $\leq \text{ell2-norm } x +$ 

```

```

ell2-norm y
  by (simp add: assms(1) assms(2) ell2-norm-L2-set)
thus ell2-norm ( $\lambda i. x\ i + y\ i$ )  $\leq$  ell2-norm x + ell2-norm y
  by (simp add: ell2-norm-L2-set has)
qed

```

```

lemma ell2-norm-uminus:
  assumes has-ell2-norm x
  shows  $\langle \text{has-ell2-norm } (\lambda i. -\ x\ i) \rangle$  and  $\langle \text{ell2-norm } (\lambda i. -\ x\ i) = \text{ell2-norm } x \rangle$ 
  using assms by (auto simp: has-ell2-norm-def ell2-norm-def)

```

## 14.2 The type *ell2* of square-summable functions

```

typedef 'a ell2 =  $\langle \{f :: 'a \Rightarrow \text{complex}. \text{has-ell2-norm } f\} \rangle$ 
  unfolding has-ell2-norm-def by (rule exI[of -  $\lambda \cdot. 0$ ], auto)
setup-lifting type-definition-ell2

instantiation ell2 :: (type) complex-vector begin
lift-definition zero-ell2 :: 'a ell2 is  $\lambda \cdot. 0$  by (auto simp: has-ell2-norm-def)
lift-definition uminus-ell2 :: 'a ell2  $\Rightarrow$  'a ell2 is uminus by (simp add: has-ell2-norm-def)
lift-definition plus-ell2 :: 'a ell2  $\Rightarrow$  'a ell2  $\Rightarrow$  'a ell2 is  $\langle \lambda f\ g\ x. f\ x + g\ x \rangle$ 
  by (rule ell2-norm-triangle)
lift-definition minus-ell2 :: 'a ell2  $\Rightarrow$  'a ell2  $\Rightarrow$  'a ell2 is  $\lambda f\ g\ x. f\ x - g\ x$ 
  apply (subst add-uminus-conv-diff[symmetric])
  apply (rule ell2-norm-triangle)
  by (auto simp add: ell2-norm-uminus)
lift-definition scaleR-ell2 :: real  $\Rightarrow$  'a ell2  $\Rightarrow$  'a ell2 is  $\lambda r\ f\ x. \text{complex-of-real } r$ 
 $\ast f\ x$ 
  by (rule ell2-norm-smult)
lift-definition scaleC-ell2 ::  $\langle \text{complex} \Rightarrow 'a\ ell2 \Rightarrow 'a\ ell2 \rangle$  is  $\langle \lambda c\ f\ x. c \ast f\ x \rangle$ 
  by (rule ell2-norm-smult)

```

instance

proof

```

  fix a b c :: 'a ell2

```

```

  show  $((*_R)\ r :: 'a\ ell2 \Rightarrow -) = (*_C)\ (\text{complex-of-real } r)$  for r

```

```

    apply (rule ext) apply transfer by auto

```

```

  show  $a + b + c = a + (b + c)$ 

```

```

    by (transfer; rule ext; simp)

```

```

  show  $a + b = b + a$ 

```

```

    by (transfer; rule ext; simp)

```

```

  show  $0 + a = a$ 

```

```

    by (transfer; rule ext; simp)

```

```

  show  $- a + a = 0$ 

```

```

    by (transfer; rule ext; simp)

```

```

  show  $a - b = a + - b$ 

```

```

    by (transfer; rule ext; simp)

```

```

  show  $r *_C (a + b) = r *_C a + r *_C b$  for r

```

```

    apply (transfer; rule ext)
    by (simp add: vector-space-over-itself.scale-right-distrib)
  show  $(r + r') *_{\mathcal{C}} a = r *_{\mathcal{C}} a + r' *_{\mathcal{C}} a$  for  $r r'$ 
    apply (transfer; rule ext)
    by (simp add: ring-class.ring-distrib(2))
  show  $r *_{\mathcal{C}} r' *_{\mathcal{C}} a = (r * r') *_{\mathcal{C}} a$  for  $r r'$ 
    by (transfer; rule ext; simp)
  show  $1 *_{\mathcal{C}} a = a$ 
    by (transfer; rule ext; simp)
qed
end

instantiation  $\text{ell2} :: (\text{type}) \text{ complex-normed-vector}$  begin
lift-definition  $\text{norm-ell2} :: 'a \text{ ell2} \Rightarrow \text{real}$  is  $\text{ell2-norm}$  .
declare  $\text{norm-ell2-def}$  [code del]
definition  $\text{dist } x \ y = \text{norm } (x - y)$  for  $x \ y :: 'a \text{ ell2}$ 
definition  $\text{sgn } x = x /_{\mathcal{R}} \text{norm } x$  for  $x :: 'a \text{ ell2}$ 
definition [code del]:  $\text{uniformity} = (\text{INF } e \in \{0 < ..\}. \text{principal } \{(x :: 'a \text{ ell2}, y). \text{norm } (x - y) < e\})$ 
definition [code del]:  $\text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{INF } e \in \{0 < ..\}. \text{principal } \{(x, y). \text{norm } (x - y) < e\}. x' = x \longrightarrow y \in U)$  for  $U :: 'a \text{ ell2 set}$ 
instance
proof
  fix  $a \ b :: 'a \text{ ell2}$ 
  show  $\text{dist } a \ b = \text{norm } (a - b)$ 
    by (simp add: dist-ell2-def)
  show  $\text{sgn } a = a /_{\mathcal{R}} \text{norm } a$ 
    by (simp add: sgn-ell2-def)
  show  $\text{uniformity} = (\text{INF } e \in \{0 < ..\}. \text{principal } \{(x, y). \text{dist } (x :: 'a \text{ ell2}) \ y < e\})$ 
    unfolding dist-ell2-def uniformity-ell2-def by simp
  show  $\text{open } U = (\forall x \in U. \forall_F (x', y) \text{ in } \text{uniformity}. (x' :: 'a \text{ ell2}) = x \longrightarrow y \in U)$ 
for  $U :: 'a \text{ ell2 set}$ 
    unfolding uniformity-ell2-def open-ell2-def by simp-all
  show  $(\text{norm } a = 0) = (a = 0)$ 
    apply transfer by (fact ell2-norm-0)
  show  $\text{norm } (a + b) \leq \text{norm } a + \text{norm } b$ 
    apply transfer by (fact ell2-norm-triangle)
  show  $\text{norm } (r *_{\mathcal{R}} (a :: 'a \text{ ell2})) = |r| * \text{norm } a$  for  $r$ 
    and  $a :: 'a \text{ ell2}$ 
    apply transfer
    by (simp add: ell2-norm-smult(2))
  show  $\text{norm } (r *_{\mathcal{C}} a) = \text{cmod } r * \text{norm } a$  for  $r$ 
    apply transfer
    by (simp add: ell2-norm-smult(2))
qed
end

lemma  $\text{norm-point-bound-ell2}: \text{norm } (\text{Rep-ell2 } x \ i) \leq \text{norm } x$ 
  apply transfer

```

```

by (simp add: ell2-norm-point-bound)

lemma ell2-norm-finite-support:
  assumes ⟨finite S⟩ ⟨ $\bigwedge i. i \notin S \implies \text{Rep-ell2 } x \ i = 0$ ⟩
  shows ⟨norm x = sqrt ((sum (λi. (cmod (Rep-ell2 x i))2)) S)⟩
proof (insert assms(2), transfer fixing: S)
  fix x :: ⟨'a ⇒ complex⟩
  assume zero: ⟨ $\bigwedge i. i \notin S \implies x \ i = 0$ ⟩
  have ⟨ell2-norm x = sqrt (∑i. (cmod (x i))2)⟩
    by (auto simp: ell2-norm-def)
  also have ⟨... = sqrt (∑i ∈ S. (cmod (x i))2)⟩
    apply (subst infsum-cong-neutral[where g=⟨λi. (cmod (x i))2⟩ and S=UNIV
and T=S])
    using zero by auto
  also have ⟨... = sqrt (∑i ∈ S. (cmod (x i))2)⟩
    using ⟨finite S⟩ by simp
  finally show ⟨ell2-norm x = sqrt (∑i ∈ S. (cmod (x i))2)⟩
    by -
qed

instantiation ell2 :: (type) complex-inner begin
lift-definition cinner-ell2 :: ⟨'a ell2 ⇒ 'a ell2 ⇒ complex⟩ is
  ⟨λf g. ∑x. cnj (f x) * g x⟩ .
declare cinner-ell2-def[code del]

instance
proof standard
  fix x y z :: 'a ell2 fix c :: complex
  show cinner x y = cnj (cinner y x)
proof transfer
  fix x y :: 'a ⇒ complex assume has-ell2-norm x and has-ell2-norm y
  have (∑i. cnj (x i) * y i) = (∑i. cnj (cnj (y i) * x i))
    by (metis complex-cnj-cnj complex-cnj-mult mult.commute)
  also have ... = cnj (∑i. cnj (y i) * x i)
    by (metis infsum-cnj)
  finally show (∑i. cnj (x i) * y i) = cnj (∑i. cnj (y i) * x i) .
qed

show cinner (x + y) z = cinner x z + cinner y z
proof transfer
  fix x y z :: 'a ⇒ complex
  assume has-ell2-norm x
  hence cnj-x: (λi. cnj (x i) * cnj (x i)) abs-summable-on UNIV
  by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric]
has-ell2-norm-def power2-eq-square)
  assume has-ell2-norm y
  hence cnj-y: (λi. cnj (y i) * cnj (y i)) abs-summable-on UNIV
  by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric]
has-ell2-norm-def power2-eq-square)

```

```

assume has-ell2-norm z
hence z: ( $\lambda i. z\ i * z\ i$ ) abs-summable-on UNIV
  by (simp add: norm-mult[symmetric] has-ell2-norm-def power2-eq-square)
have cnj-x-z: ( $\lambda i. \text{cnj}\ (x\ i) * z\ i$ ) abs-summable-on UNIV
  using cnj-x z by (rule abs-summable-product)
have cnj-y-z: ( $\lambda i. \text{cnj}\ (y\ i) * z\ i$ ) abs-summable-on UNIV
  using cnj-y z by (rule abs-summable-product)
show  $(\sum_{\infty} i. \text{cnj}\ (x\ i + y\ i) * z\ i) = (\sum_{\infty} i. \text{cnj}\ (x\ i) * z\ i) + (\sum_{\infty} i. \text{cnj}\ (y\ i) * z\ i)$ 
  apply (subst infsum-add [symmetric])
  using cnj-x-z cnj-y-z
  by (auto simp add: summable-on-iff-abs-summable-on-complex distrib-left mult.commute)
qed

show cinner (c *C x) y = cnj c * cinner x y
proof transfer
  fix x y :: 'a  $\Rightarrow$  complex and c :: complex
  assume has-ell2-norm x
  hence cnj-x: ( $\lambda i. \text{cnj}\ (x\ i) * \text{cnj}\ (x\ i)$ ) abs-summable-on UNIV
  by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric] has-ell2-norm-def power2-eq-square)
  assume has-ell2-norm y
  hence y: ( $\lambda i. y\ i * y\ i$ ) abs-summable-on UNIV
  by (simp add: norm-mult[symmetric] has-ell2-norm-def power2-eq-square)
  have cnj-x-y: ( $\lambda i. \text{cnj}\ (x\ i) * y\ i$ ) abs-summable-on UNIV
  using cnj-x y by (rule abs-summable-product)
  thus  $(\sum_{\infty} i. \text{cnj}\ (c * x\ i) * y\ i) = \text{cnj}\ c * (\sum_{\infty} i. \text{cnj}\ (x\ i) * y\ i)$ 
  by (auto simp flip: infsum-cmult-right simp add: abs-summable-summable mult.commute vector-space-over-itself.scale-left-commute)
qed

show  $0 \leq \text{cinner}\ x\ x$ 
proof transfer
  fix x :: 'a  $\Rightarrow$  complex
  assume has-ell2-norm x
  hence ( $\lambda i. \text{cmod}\ (\text{cnj}\ (x\ i) * x\ i)$ ) abs-summable-on UNIV
  by (simp add: norm-mult has-ell2-norm-def power2-eq-square)
  hence ( $\lambda i. \text{cnj}\ (x\ i) * x\ i$ ) abs-summable-on UNIV
  by auto
  hence sum: ( $\lambda i. \text{cnj}\ (x\ i) * x\ i$ ) abs-summable-on UNIV
  unfolding has-ell2-norm-def power2-eq-square.
  have  $0 = (\sum_{\infty} i. 'a. 0)$  by auto
  also have  $\dots \leq (\sum_{\infty} i. \text{cnj}\ (x\ i) * x\ i)$ 
  apply (rule infsum-mono-complex)
  by (auto simp add: abs-summable-summable sum)
  finally show  $0 \leq (\sum_{\infty} i. \text{cnj}\ (x\ i) * x\ i)$  by assumption
qed

```

```

show (cinner x x = 0) = (x = 0)
proof (transfer, auto)
  fix x :: 'a  $\Rightarrow$  complex
  assume has-ell2-norm x
  hence ( $\lambda i::'a$ . cmod (cnj (x i) * x i)) abs-summable-on UNIV
  by (smt (verit, del-insts) complex-mod-mult-cnj has-ell2-norm-def mult.commute
norm-ge-zero norm-power real-norm-def summable-on-cong)
  hence cmod-x2: ( $\lambda i$ . cnj (x i) * x i) abs-summable-on UNIV
  unfolding has-ell2-norm-def power2-eq-square
  by simp
  assume eq0: ( $\sum_{\infty} i$ . cnj (x i) * x i) = 0
  show x = ( $\lambda$ -. 0)
  proof (rule ccontr)
    assume x  $\neq$  ( $\lambda$ -. 0)
    then obtain i where x i  $\neq$  0 by auto
    hence 0 < cnj (x i) * x i
    by (metis le-less cnj-x-x-geq0 complex-cnj-zero-iff vector-space-over-itself.scale-eq-0-iff)
    also have ... = ( $\sum_{\infty} i \in \{i\}$ . cnj (x i) * x i) by auto
    also have ...  $\leq$  ( $\sum_{\infty} i$ . cnj (x i) * x i)
    apply (rule infsum-mono-neutral-complex)
    by (auto simp add: abs-summable-summable cmod-x2)
    also from eq0 have ... = 0 by assumption
    finally show False by simp
  qed
qed

show norm x = sqrt (cmod (cinner x x))
proof transfer
  fix x :: 'a  $\Rightarrow$  complex
  assume x: has-ell2-norm x
  have ( $\lambda i::'a$ . cmod (x i) * cmod (x i)) abs-summable-on UNIV  $\implies$ 
  ( $\lambda i::'a$ . cmod (cnj (x i) * x i)) abs-summable-on UNIV
  by (simp add: norm-mult has-ell2-norm-def power2-eq-square)
  hence sum: ( $\lambda i$ . cnj (x i) * x i) abs-summable-on UNIV
  by (metis (no-types, lifting) complex-mod-mult-cnj has-ell2-norm-def mult.commute
norm-power summable-on-cong x)
  from x have ell2-norm x = sqrt ( $\sum_{\infty} i$ . (cmod (x i))2)
  unfolding ell2-norm-def by simp
  also have ... = sqrt ( $\sum_{\infty} i$ . cmod (cnj (x i) * x i))
  unfolding norm-complex-def power2-eq-square by auto
  also have ... = sqrt (cmod ( $\sum_{\infty} i$ . cnj (x i) * x i))
  by (auto simp: infsum-cmod abs-summable-summable sum)
  finally show ell2-norm x = sqrt (cmod ( $\sum_{\infty} i$ . cnj (x i) * x i)) by assumption
qed
qed
end

instance ell2 :: (type) hilbert-space
proof intro-classes

```

```

fix X :: ⟨nat ⇒ 'a ell2⟩
define x where ⟨x n a = Rep-ell2 (X n) a⟩ for n a
have [simp]: ⟨has-ell2-norm (x n)⟩ for n
  using Rep-ell2 x-def[abs-def] by simp

assume ⟨Cauchy X⟩
moreover have dist (x n a) (x m a) ≤ dist (X n) (X m) for n m a
  by (metis Rep-ell2 x-def dist-norm ell2-norm-point-bound mem-Collect-eq minus-ell2.rep-eq norm-ell2.rep-eq)
ultimately have ⟨Cauchy (λn. x n a)⟩ for a
  by (meson Cauchy-def le-less-trans)
then obtain l where x-lim: ⟨(λn. x n a) ⟶ l a⟩ for a
  apply atomize-elim apply (rule choice)
  by (simp add: convergent-eq-Cauchy)
define L where ⟨L = Abs-ell2 l⟩
define normF where ⟨normF F x = L2-set (cmod ∘ x) F⟩ for F :: ⟨'a set⟩ and
x
  have normF-triangle: ⟨normF F (λa. x a + y a) ≤ normF F x + normF F y⟩ if
  ⟨finite F⟩ for F x y
  proof -
    have ⟨normF F (λa. x a + y a) = L2-set (λa. cmod (x a + y a)) F⟩
      by (metis (mono-tags, lifting) L2-set-cong comp-apply normF-def)
    also have ⟨... ≤ L2-set (λa. cmod (x a) + cmod (y a)) F⟩
      by (meson L2-set-mono norm-ge-zero norm-triangle-ineq)
    also have ⟨... ≤ L2-set (λa. cmod (x a)) F + L2-set (λa. cmod (y a)) F⟩
      by (simp add: L2-set-triangle-ineq)
    also have ⟨... ≤ normF F x + normF F y⟩
      by (smt (verit, best) L2-set-cong normF-def comp-apply)
    finally show ?thesis
      by -
  qed
  have normF-negate: ⟨normF F (λa. - x a) = normF F x⟩ if ⟨finite F⟩ for F x
    unfolding normF-def o-def by simp
  have normF-ell2norm: ⟨normF F x ≤ ell2-norm x⟩ if ⟨finite F⟩ and ⟨has-ell2-norm
x⟩ for F x
    apply (auto intro!: cSUP-upper2[where x=F] simp: that normF-def ell2-norm-L2-set)
    by (meson has-ell2-norm-L2-set that(2))

note Lim-bounded2[rotated, rule-format, trans]

from ⟨Cauchy X⟩
obtain I where cauchyX: ⟨norm (X n - X m) ≤ ε⟩ if ⟨ε>0⟩ ⟨n≥I ε⟩ ⟨m≥I ε⟩
for ε n m
  by (metis Cauchy-def dist-norm less-eq-real-def)
  have normF-xx: ⟨normF F (λa. x n a - x m a) ≤ ε⟩ if ⟨finite F⟩ ⟨ε>0⟩ ⟨n≥I
ε⟩ ⟨m≥I ε⟩ for ε n m F
    apply (subst asm-rl[of ⟨(λa. x n a - x m a) = Rep-ell2 (X n - X m)⟩])
    apply (simp add: x-def minus-ell2.rep-eq)
    using that cauchyX by (metis Rep-ell2 mem-Collect-eq normF-ell2norm norm-ell2.rep-eq

```

```

order-trans)
  have normF-xl-lim:  $\langle (\lambda m. \text{normF } F (\lambda a. x \ m \ a - l \ a)) \longrightarrow 0 \rangle$  if  $\langle \text{finite } F \rangle$ 
for F
proof -
  have  $\langle (\lambda x a. \text{cmod } (x \ x a \ m - l \ m)) \longrightarrow 0 \rangle$  for m
  using x-lim by (simp add: LIM-zero-iff tendsto-norm-zero)
  then have  $\langle (\lambda m. \sum_{i \in F}. ((\text{cmod} \circ (\lambda a. x \ m \ a - l \ a)) \ i)^2) \longrightarrow 0 \rangle$ 
  by (auto intro: tendsto-null-sum)
  then show ?thesis
  unfolding normF-def L2-set-def
  using tendsto-real-sqrt by force
qed
have normF-xl:  $\langle \text{normF } F (\lambda a. x \ n \ a - l \ a) \leq \varepsilon \rangle$ 
  if  $\langle n \geq I \ \varepsilon \rangle$  and  $\langle \varepsilon > 0 \rangle$  and  $\langle \text{finite } F \rangle$  for  $n \in F$ 
proof -
  have  $\langle \text{normF } F (\lambda a. x \ n \ a - l \ a) - \varepsilon \leq \text{normF } F (\lambda a. x \ n \ a - x \ m \ a) +$ 
normF F  $(\lambda a. x \ m \ a - l \ a) - \varepsilon \rangle$  for m
  using normF-triangle[OF  $\langle \text{finite } F \rangle$ , where  $x = \langle (\lambda a. x \ n \ a - x \ m \ a) \rangle$  and
 $y = \langle (\lambda a. x \ m \ a - l \ a) \rangle$ ]
  by auto
  also have  $\langle \dots \ m \leq \text{normF } F (\lambda a. x \ m \ a - l \ a) \rangle$  if  $\langle m \geq I \ \varepsilon \rangle$  for m
  using normF-xx[OF  $\langle \text{finite } F \rangle \ \langle \varepsilon > 0 \rangle \ \langle n \geq I \ \varepsilon \rangle \ \langle m \geq I \ \varepsilon \rangle$ ]
  by auto
  also have  $\langle (\lambda m. \dots \ m) \longrightarrow 0 \rangle$ 
  using  $\langle \text{finite } F \rangle$  by (rule normF-xl-lim)
  finally show ?thesis
  by auto
qed
have  $\langle \text{normF } F \ l \leq 1 + \text{normF } F (x \ (I \ 1)) \rangle$  if [simp]:  $\langle \text{finite } F \rangle$  for F
  using normF-xl[where  $F = F$  and  $\varepsilon = 1$  and  $n = \langle I \ 1 \rangle$ ]
  using normF-triangle[where  $F = F$  and  $x = \langle x \ (I \ 1) \rangle$  and  $y = \langle \lambda a. l \ a - x \ (I \ 1) \ a \rangle$ ]
  using normF-negate[where  $F = F$  and  $x = \langle (\lambda a. x \ (I \ 1) \ a - l \ a) \rangle$ ]
  by auto
also have  $\langle \dots \ F \leq 1 + \text{ell2-norm } (x \ (I \ 1)) \rangle$  if  $\langle \text{finite } F \rangle$  for F
  using normF-ell2norm that by simp
finally have [simp]:  $\langle \text{has-ell2-norm } l \rangle$ 
  unfolding has-ell2-norm-L2-set
  by (auto intro!: bdd-aboveI simp flip: normF-def)
then have  $\langle l = \text{Rep-ell2 } L \rangle$ 
  by (simp add: Abs-ell2-inverse L-def)
have [simp]:  $\langle \text{has-ell2-norm } (\lambda a. x \ n \ a - l \ a) \rangle$  for n
  apply (subst diff-conv-add-uminus)
  apply (rule ell2-norm-triangle)
  by (auto intro!: ell2-norm-uminus)
from normF-xl have ell2norm-xl:  $\langle \text{ell2-norm } (\lambda a. x \ n \ a - l \ a) \leq \varepsilon \rangle$ 
  if  $\langle n \geq I \ \varepsilon \rangle$  and  $\langle \varepsilon > 0 \rangle$  for  $n \in F$ 
  apply (subst ell2-norm-L2-set)
  using that by (auto intro!: cSUP-least simp: normF-def)

```

```

have ⟨norm (X n - L) ≤ ε⟩ if ⟨n ≥ I ε⟩ and ⟨ε > 0⟩ for n ε
  using ell2norm-xl[OF that]
  by (simp add: x-def norm-ell2.rep-eq ⟨l = Rep-ell2 L⟩ minus-ell2.rep-eq)
then have ⟨X ⟶ L⟩
  unfolding tendsto-iff
  apply (auto simp: dist-norm eventually-sequentially)
  by (meson field-lbound-gt-zero le-less-trans)
then show ⟨convergent X⟩
  by (rule convergentI)
qed

```

```

lemma sum-ell2-transfer[transfer-rule]:
  includes lifting-syntax
  shows ⟨(((=) == => pcr-ell2 (=)) == => rel-set (=) == => pcr-ell2 (=))
    (λf X x. sum (λy. f y x) X) sum⟩
proof (intro rel-funI, rename-tac f f' X X')
  fix f and f' :: ⟨'a ⇒ 'b ell2⟩
  assume [transfer-rule]: ⟨((=) == => pcr-ell2 (=)) f f'⟩
  fix X X' :: ⟨'a set⟩
  assume ⟨rel-set (=) X X'⟩
  then have [simp]: ⟨X' = X⟩
    by (simp add: rel-set-eq)
  show ⟨pcr-ell2 (=) (λx. ∑ y∈X. f y x) (sum f' X')⟩
    unfolding ⟨X' = X⟩
  proof (induction X rule: infinite-finite-induct)
    case (infinite X)
    show ?case
      apply (simp add: infinite)
      by transfer-prover
    next
    case empty
    show ?case
      apply (simp add: empty)
      by transfer-prover
    next
    case (insert x F)
    note [transfer-rule] = insert.IH
    show ?case
      apply (simp add: insert)
      by transfer-prover
  qed
qed

```

```

lemma clinear-Rep-ell2[simp]: ⟨cllinear (λψ. Rep-ell2 ψ i)⟩
  by (simp add: clinearI plus-ell2.rep-eq scaleC-ell2.rep-eq)

```

```

lemma Abs-ell2-inverse-finite[simp]: ⟨Rep-ell2 (Abs-ell2 ψ) = ψ⟩ for ψ :: ⟨-::finite
⇒ complex⟩
  by (simp add: Abs-ell2-inverse)

```

### 14.3 Orthogonality

**lemma** *ell2-pointwise-ortho*:

**assumes**  $\langle \bigwedge i. \text{Rep-ell2 } x \ i = 0 \vee \text{Rep-ell2 } y \ i = 0 \rangle$

**shows**  $\langle \text{is-orthogonal } x \ y \rangle$

**using** *assms* **apply** *transfer*

**by** (*simp add: infsum-0*)

### 14.4 Truncated vectors

**lift-definition** *trunc-ell2*::  $\langle 'a \text{ set} \Rightarrow 'a \text{ ell2} \Rightarrow 'a \text{ ell2} \rangle$

**is**  $\langle \lambda S \ x. (\lambda i. (\text{if } i \in S \text{ then } x \ i \text{ else } 0)) \rangle$

**proof** (*rename-tac S x*)

**fix**  $x :: \langle 'a \Rightarrow \text{complex} \rangle$  **and**  $S :: \langle 'a \text{ set} \rangle$

**assume**  $\langle \text{has-ell2-norm } x \rangle$

**then have**  $\langle (\lambda i. (x \ i)^2) \text{ abs-summable-on } \text{UNIV} \rangle$

**unfolding** *has-ell2-norm-def* **by**  $-$

**then have**  $\langle (\lambda i. (x \ i)^2) \text{ abs-summable-on } S \rangle$

**using** *summable-on-subset-banach* **by** *blast*

**then have**  $\langle (\lambda xa. (\text{if } xa \in S \text{ then } x \ xa \text{ else } 0)^2) \text{ abs-summable-on } \text{UNIV} \rangle$

**apply** (*rule summable-on-cong-neutral*[*THEN iffD1, rotated -1*])

**by** *auto*

**then show**  $\langle \text{has-ell2-norm } (\lambda i. \text{if } i \in S \text{ then } x \ i \text{ else } 0) \rangle$

**unfolding** *has-ell2-norm-def* **by**  $-$

**qed**

**lemma** *trunc-ell2-empty[simp]*:  $\langle \text{trunc-ell2 } \{\} \ x = 0 \rangle$

**apply** *transfer* **by** *simp*

**lemma** *trunc-ell2-UNIV[simp]*:  $\langle \text{trunc-ell2 } \text{UNIV} \ \psi = \psi \rangle$

**apply** *transfer* **by** *simp*

**lemma** *norm-id-minus-trunc-ell2*:

$\langle (\text{norm } (x - \text{trunc-ell2 } S \ x))^2 = (\text{norm } x)^2 - (\text{norm } (\text{trunc-ell2 } S \ x))^2 \rangle$

**proof**  $-$

**have**  $\langle \text{Rep-ell2 } (\text{trunc-ell2 } S \ x) \ i = 0 \vee \text{Rep-ell2 } (x - \text{trunc-ell2 } S \ x) \ i = 0 \rangle$

**for**  $i$

**apply** *transfer*

**by** *auto*

**hence**  $\langle ((\text{trunc-ell2 } S \ x) \cdot_C (x - \text{trunc-ell2 } S \ x)) = 0 \rangle$

**using** *ell2-pointwise-ortho* **by** *blast*

**hence**  $\langle (\text{norm } x)^2 = (\text{norm } (\text{trunc-ell2 } S \ x))^2 + (\text{norm } (x - \text{trunc-ell2 } S \ x))^2 \rangle$

**using** *pythagorean-theorem* **by** *fastforce*

**thus** *?thesis* **by** *simp*

**qed**

**lemma** *norm-trunc-ell2-finite*:

$\langle \text{finite } S \implies (\text{norm } (\text{trunc-ell2 } S \ x)) = \text{sqrt } ((\text{sum } (\lambda i. (\text{cmod } (\text{Rep-ell2 } x \ i))^2)) S) \rangle$

**proof** –  
 assume  $\langle \text{finite } S \rangle$   
 moreover have  $\langle \bigwedge i. i \notin S \implies \text{Rep-ell2 } ((\text{trunc-ell2 } S \ x)) \ i = 0 \rangle$   
 by (simp add: trunc-ell2.rep-eq)  
 ultimately have  $\langle (\text{norm } (\text{trunc-ell2 } S \ x)) = \text{sqrt } ((\text{sum } (\lambda i. (\text{cmod } (\text{Rep-ell2 } ((\text{trunc-ell2 } S \ x)) \ i))^2)) \ S) \rangle$   
 using ell2-norm-finite-support  
 by blast  
 moreover have  $\langle \bigwedge i. i \in S \implies \text{Rep-ell2 } ((\text{trunc-ell2 } S \ x)) \ i = \text{Rep-ell2 } x \ i \rangle$   
 by (simp add: trunc-ell2.rep-eq)  
 ultimately show ?thesis by simp  
**qed**

**lemma** *trunc-ell2-lim-at-UNIV*:

$\langle ((\lambda S. \text{trunc-ell2 } S \ \psi) \longrightarrow \psi) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

**proof** –

define *f* where  $\langle f \ i = (\text{cmod } (\text{Rep-ell2 } \psi \ i))^2 \rangle$  for *i*

have *has*:  $\langle \text{has-ell2-norm } (\text{Rep-ell2 } \psi) \rangle$

using *Rep-ell2* by blast

then have *summable*: *f* abs-summable-on UNIV

by (smt (verit, del-Insts) *f-def* has-ell2-norm-def norm-ge-zero norm-power real-norm-def summable-on-cong)

have  $\langle \text{norm } \psi = (\text{ell2-norm } (\text{Rep-ell2 } \psi)) \rangle$

apply transfer by simp

also have  $\langle \dots = \text{sqrt } (\text{infsum } f \ \text{UNIV}) \rangle$

by (simp add: ell2-norm-def *f-def*[symmetric])

finally have *normψ*:  $\langle \text{norm } \psi = \text{sqrt } (\text{infsum } f \ \text{UNIV}) \rangle$

by –

have *norm-trunc*:  $\langle \text{norm } (\text{trunc-ell2 } S \ \psi) = \text{sqrt } (\text{sum } f \ S) \rangle$  if  $\langle \text{finite } S \rangle$  for *S*

using *f-def* that *norm-trunc-ell2-finite* by fastforce

have  $\langle (\text{sum } f \longrightarrow \text{infsum } f \ \text{UNIV}) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

using *f-def*[abs-def] *infsum-tendsto* local.summable by fastforce

then have  $\langle ((\lambda S. \text{sqrt } (\text{sum } f \ S)) \longrightarrow \text{sqrt } (\text{infsum } f \ \text{UNIV})) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

using *tendsto-real-sqrt* by blast

then have  $\langle ((\lambda S. \text{norm } (\text{trunc-ell2 } S \ \psi)) \longrightarrow \text{norm } \psi) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

apply (subst *tendsto-cong*[where *g*= $\langle \lambda S. \text{sqrt } (\text{sum } f \ S) \rangle$ ])

by (auto simp add: eventually-finite-subsets-at-top-weakI *norm-trunc* *normψ*)

then have  $\langle ((\lambda S. (\text{norm } (\text{trunc-ell2 } S \ \psi))^2) \longrightarrow (\text{norm } \psi)^2) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

by (simp add: *tendsto-power*)

then have  $\langle ((\lambda S. (\text{norm } \psi)^2 - (\text{norm } (\text{trunc-ell2 } S \ \psi))^2) \longrightarrow 0) \ (\text{finite-subsets-at-top } \text{UNIV}) \rangle$

apply (rule *tendsto-diff*[where *a*= $\langle (\text{norm } \psi)^2 \rangle$  and *b*= $\langle (\text{norm } \psi)^2 \rangle$ , sim-

```

    plified, rotated])
  by auto
  then have  $\langle ((\lambda S. (\text{norm } (\psi - \text{trunc-ell2 } S \ \psi))^2) \longrightarrow 0) \text{ (finite-subsets-at-top UNIV)} \rangle$ 
  unfolding norm-id-minus-trunc-ell2 by simp
  then have  $\langle ((\lambda S. \text{norm } (\psi - \text{trunc-ell2 } S \ \psi)) \longrightarrow 0) \text{ (finite-subsets-at-top UNIV)} \rangle$ 
  by auto
  then have  $\langle ((\lambda S. \psi - \text{trunc-ell2 } S \ \psi) \longrightarrow 0) \text{ (finite-subsets-at-top UNIV)} \rangle$ 
  by (rule tendsto-norm-zero-cancel)
  then show ?thesis
  apply (rule Lim-transform2[where f= $\lambda \cdot. \psi$ ], rotated])
  by simp
qed

```

```

lemma trunc-ell2-lim-seq:  $\langle ((\lambda n. \text{trunc-ell2 } \{..<n\} \ \psi) \longrightarrow \psi) \rangle$ 
  using trunc-ell2-lim-at-UNIV filterlim-lessThan-at-top
  by (rule filterlim-compose)

```

```

lemma trunc-ell2-norm-mono:  $\langle M \subseteq N \implies \text{norm } (\text{trunc-ell2 } M \ \psi) \leq \text{norm } (\text{trunc-ell2 } N \ \psi) \rangle$ 
proof (rule power2-le-imp-le[rotated], force, transfer)
  fix M N :: 'a set and  $\psi :: 'a \Rightarrow \text{complex}$ 
  assume  $\langle M \subseteq N \rangle$  and  $\langle \text{has-ell2-norm } \psi \rangle$ 
  have  $\langle (\text{ell2-norm } (\lambda i. \text{if } i \in M \text{ then } \psi \ i \text{ else } 0))^2 = (\sum_{i \in M} (\text{cmod } (\psi \ i))^2) \rangle$ 
  unfolding ell2-norm-square
  apply (rule infsum-cong-neutral)
  by auto
  also have  $\langle \dots \leq (\sum_{i \in N} (\text{cmod } (\psi \ i))^2) \rangle$ 
  apply (rule infsum-mono2)
  using  $\langle \text{has-ell2-norm } \psi \rangle$   $\langle M \subseteq N \rangle$ 
  by (auto simp add: ell2-norm-square has-ell2-norm-def simp flip: norm-power
intro: summable-on-subset-banach)
  also have  $\langle \dots = (\text{ell2-norm } (\lambda i. \text{if } i \in N \text{ then } \psi \ i \text{ else } 0))^2 \rangle$ 
  unfolding ell2-norm-square
  apply (rule infsum-cong-neutral)
  by auto
  finally show  $\langle (\text{ell2-norm } (\lambda i. \text{if } i \in M \text{ then } \psi \ i \text{ else } 0))^2 \leq (\text{ell2-norm } (\lambda i. \text{if } i \in N \text{ then } \psi \ i \text{ else } 0))^2 \rangle$ 
  by -
qed

```

```

lemma trunc-ell2-reduces-norm:  $\langle \text{norm } (\text{trunc-ell2 } M \ \psi) \leq \text{norm } \psi \rangle$ 
  by (metis subset-UNIV trunc-ell2-UNIV trunc-ell2-norm-mono)

```

```

lemma trunc-ell2-twice[simp]:  $\langle \text{trunc-ell2 } M \ (\text{trunc-ell2 } N \ \psi) = \text{trunc-ell2 } (M \cap N) \ \psi \rangle$ 
  apply transfer by auto

```

**lemma** *trunc-ell2-union*:  $\langle \text{trunc-ell2 } (M \cup N) \psi = \text{trunc-ell2 } M \psi + \text{trunc-ell2 } N \psi - \text{trunc-ell2 } (M \cap N) \psi \rangle$   
**apply** *transfer* **by** *auto*

**lemma** *trunc-ell2-union-disjoint*:  $\langle M \cap N = \{\} \implies \text{trunc-ell2 } (M \cup N) \psi = \text{trunc-ell2 } M \psi + \text{trunc-ell2 } N \psi \rangle$   
**by** (*simp add: trunc-ell2-union*)

**lemma** *trunc-ell2-union-Diff*:  $\langle M \subseteq N \implies \text{trunc-ell2 } (N - M) \psi = \text{trunc-ell2 } N \psi - \text{trunc-ell2 } M \psi \rangle$   
**using** *trunc-ell2-union-disjoint* [**where**  $M = N - M$  **and**  $N = M$  **and**  $\psi = \psi$ ]  
**by** (*simp add: Un-commute inf.commute le-iff-sup*)

**lemma** *trunc-ell2-add*:  $\langle \text{trunc-ell2 } M (\psi + \varphi) = \text{trunc-ell2 } M \psi + \text{trunc-ell2 } M \varphi \rangle$   
**apply** *transfer* **by** *auto*

**lemma** *trunc-ell2-scaleC*:  $\langle \text{trunc-ell2 } M (c *_C \psi) = c *_C \text{trunc-ell2 } M \psi \rangle$   
**apply** *transfer* **by** *auto*

**lemma** *bounded-clinear-trunc-ell2* [*bounded-clinear*]:  $\langle \text{bounded-clinear } (\text{trunc-ell2 } M) \rangle$   
**by** (*auto intro!: bounded-clinearI* [**where**  $K=1$ ] *trunc-ell2-reduces-norm*  
*simp: trunc-ell2-add trunc-ell2-scaleC*)

**lemma** *trunc-ell2-lim*:  $\langle ((\lambda S. \text{trunc-ell2 } S \psi) \longrightarrow \text{trunc-ell2 } M \psi) \text{ (finite-subsets-at-top } M) \rangle$   
**proof** –  
**have**  $\langle ((\lambda S. \text{trunc-ell2 } S (\text{trunc-ell2 } M \psi)) \longrightarrow \text{trunc-ell2 } M \psi) \text{ (finite-subsets-at-top } UNIV) \rangle$   
**using** *trunc-ell2-lim-at-UNIV* **by** *blast*  
**then have**  $\langle ((\lambda S. \text{trunc-ell2 } (S \cap M) \psi) \longrightarrow \text{trunc-ell2 } M \psi) \text{ (finite-subsets-at-top } UNIV) \rangle$   
**by** *simp*  
**then show**  $\langle ((\lambda S. \text{trunc-ell2 } S \psi) \longrightarrow \text{trunc-ell2 } M \psi) \text{ (finite-subsets-at-top } M) \rangle$   
**unfolding** *filterlim-def*  
**apply** (*subst (asm) filtermap-filtermap* [**where**  $g = \lambda S. S \cap M$ , *symmetric*])  
**apply** (*subst (asm) finite-subsets-at-top-inter* [**where**  $A=M$  **and**  $B=UNIV$ ])  
**by** *auto*  
**qed**

**lemma** *trunc-ell2-lim-general*:  
**assumes** *big*:  $\langle \bigwedge G. \text{finite } G \implies G \subseteq M \implies (\forall_F H \text{ in } F. H \supseteq G) \rangle$   
**assumes** *small*:  $\langle \forall_F H \text{ in } F. H \subseteq M \rangle$   
**shows**  $\langle ((\lambda S. \text{trunc-ell2 } S \psi) \longrightarrow \text{trunc-ell2 } M \psi) F \rangle$   
**proof** (*rule tendstoI*)  
**fix**  $e :: \text{real}$  **assume**  $\langle e > 0 \rangle$   
**from** *trunc-ell2-lim* [*THEN tendsto-iff* [*THEN iffD1*], *rule-format*, *OF*  $\langle e > 0 \rangle$ ,  
**where**  $M=M$  **and**  $\psi=\psi$ ]

```

obtain  $G$  where  $\langle \text{finite } G \rangle$  and  $\langle G \subseteq M \rangle$  and
  close:  $\langle \text{dist } (\text{trunc-ell2 } G \ \psi) \ (\text{trunc-ell2 } M \ \psi) < e \rangle$ 
apply atomize-elim
unfolding eventually-finite-subsets-at-top
by blast
from  $\langle \text{finite } G \rangle$   $\langle G \subseteq M \rangle$  and big
have  $\langle \forall_F H \text{ in } F. H \supseteq G \rangle$ 
by  $-$ 
with small have  $\langle \forall_F H \text{ in } F. H \subseteq M \wedge H \supseteq G \rangle$ 
by (simp add: eventually-conj-iff)
then show  $\langle \forall_F H \text{ in } F. \text{dist } (\text{trunc-ell2 } H \ \psi) \ (\text{trunc-ell2 } M \ \psi) < e \rangle$ 
proof (rule eventually-mono)
  fix  $H$  assume  $\text{GHM}: \langle H \subseteq M \wedge H \supseteq G \rangle$ 
  have  $\langle \text{dist } (\text{trunc-ell2 } H \ \psi) \ (\text{trunc-ell2 } M \ \psi) = \text{norm } (\text{trunc-ell2 } (M-H) \ \psi) \rangle$ 
    by (simp add: GHM dist-ell2-def norm-minus-commute trunc-ell2-union-Diff)
  also have  $\langle \dots \leq \text{norm } (\text{trunc-ell2 } (M-G) \ \psi) \rangle$ 
    by (simp add: Diff-mono GHM trunc-ell2-norm-mono)
  also have  $\langle \dots = \text{dist } (\text{trunc-ell2 } G \ \psi) \ (\text{trunc-ell2 } M \ \psi) \rangle$ 
    by (simp add:  $\langle G \subseteq M \rangle$  dist-ell2-def norm-minus-commute trunc-ell2-union-Diff)
  also have  $\langle \dots < e \rangle$ 
    using close by simp
  finally show  $\langle \text{dist } (\text{trunc-ell2 } H \ \psi) \ (\text{trunc-ell2 } M \ \psi) < e \rangle$ 
    by  $-$ 
qed
qed

lemma norm-ell2-bound-trunc:
  assumes  $\langle \bigwedge M. \text{finite } M \implies \text{norm } (\text{trunc-ell2 } M \ \psi) \leq B \rangle$ 
  shows  $\langle \text{norm } \psi \leq B \rangle$ 
proof  $-$ 
  note trunc-ell2-lim-at-UNIV[of  $\psi$ ]
  then have  $\langle ((\lambda S. \text{norm } (\text{trunc-ell2 } S \ \psi)) \longrightarrow \text{norm } \psi) \ (\text{finite-subsets-at-top UNIV}) \rangle$ 
    using tendsto-norm by auto
  then show  $\langle \text{norm } \psi \leq B \rangle$ 
    apply (rule tendsto-upperbound)
    using assms apply (rule eventually-finite-subsets-at-top-weakI)
    by auto
qed

lemma trunc-ell2-uminus:  $\langle \text{trunc-ell2 } (-M) \ \psi = \psi - \text{trunc-ell2 } M \ \psi \rangle$ 
  by (metis Int-UNIV-left boolean-algebra-class.diff-eq subset-UNIV trunc-ell2-UNIV trunc-ell2-union-Diff)

```

## 14.5 Kets and bras

```

lift-definition ket ::  $\langle 'a \Rightarrow 'a \ \text{ell2} \rangle$  is  $\langle \lambda x y. \text{of-bool } (x=y) \rangle$ 
  by (rule has-ell2-norm-ket)

```

**abbreviation**  $\text{bra} :: 'a \Rightarrow (-, \text{complex}) \text{ cblinfun}$  **where**  $\text{bra } i \equiv \text{vector-to-cblinfun } (\text{ket } i)^*$  **for**  $i$

**instance**  $\text{ell2} :: (\text{type}) \text{ not-singleton}$

**proof** *standard*

**have**  $\text{ket } \text{undefined} \neq (0 :: 'a \text{ ell2})$

**proof** *transfer*

**show**  $\langle (\lambda y. \text{of-bool } ((\text{undefined} :: 'a) = y)) \neq (\lambda -. 0) \rangle$

**by**  $(\text{metis } (\text{mono-tags}) \text{ of-bool-eq}(2) \text{ zero-neq-one})$

**qed**

**thus**  $\langle \exists x y :: 'a \text{ ell2}. x \neq y \rangle$

**by** *blast*

**qed**

**lemma** *cinner-ket-left*:  $\langle \text{ket } i \cdot_C \psi = \text{Rep-ell2 } \psi \ i \rangle$

**apply**  $(\text{transfer } \text{fixing: } i)$

**apply**  $(\text{subst } \text{infsum-cong-neutral}[\text{where } T = \langle \{i\} \rangle])$

**by** *auto*

**lemma** *cinner-ket-right*:  $\langle (\psi \cdot_C \text{ket } i) = \text{cnj } (\text{Rep-ell2 } \psi \ i) \rangle$

**apply**  $(\text{transfer } \text{fixing: } i)$

**apply**  $(\text{subst } \text{infsum-cong-neutral}[\text{where } T = \langle \{i\} \rangle])$

**by** *auto*

**lemma** *bounded-clinear-Rep-ell2*[*simp*, *bounded-clinear*]:  $\langle \text{bounded-clinear } (\lambda \psi. \text{Rep-ell2 } \psi \ x) \rangle$

**apply**  $(\text{subst } \text{asm-rl}[\text{of } \langle (\lambda \psi. \text{Rep-ell2 } \psi \ x) = (\lambda \psi. \text{ket } x \cdot_C \psi) \rangle])$

**apply**  $(\text{auto } \text{simp: cinner-ket-left})$

**by**  $(\text{simp } \text{add: bounded-clinear-cinner-right})$

**lemma** *cinner-ket-eqI*:

**assumes**  $\langle \bigwedge i. \text{ket } i \cdot_C \psi = \text{ket } i \cdot_C \varphi \rangle$

**shows**  $\langle \psi = \varphi \rangle$

**by**  $(\text{metis } \text{Rep-ell2-inject } \text{assms } \text{cinner-ket-left } \text{ext})$

**lemma** *norm-ket*[*simp*]:  $\text{norm } (\text{ket } i) = 1$

**apply** *transfer* **by**  $(\text{rule } \text{ell2-norm-ket})$

**lemma** *cinner-ket-same*[*simp*]:

$\langle (\text{ket } i \cdot_C \text{ket } i) = 1 \rangle$

**proof** –

**have**  $\langle \text{norm } (\text{ket } i) = 1 \rangle$

**by** *simp*

**hence**  $\langle \text{sqrt } (\text{cmod } (\text{ket } i \cdot_C \text{ket } i)) = 1 \rangle$

**by**  $(\text{metis } \text{norm-eq-sqrt-cinner})$

**hence**  $\langle \text{cmod } (\text{ket } i \cdot_C \text{ket } i) = 1 \rangle$

**using** *real-sqrt-eq-1-iff* **by** *blast*

**moreover** **have**  $\langle (\text{ket } i \cdot_C \text{ket } i) = \text{cmod } (\text{ket } i \cdot_C \text{ket } i) \rangle$

```

proof—
  have  $\langle (ket\ i \cdot_C\ ket\ i) \in \mathbb{R} \rangle$ 
    by (simp add: cinner-real)
  thus ?thesis
    by (metis  $\langle norm\ (ket\ i) = 1 \rangle$  cnorm-eq norm-one of-real-1 one-cinner-one)
qed
ultimately show ?thesis by simp
qed

lemma orthogonal-ket[simp]:
   $\langle is\text{-orthogonal}\ (ket\ i)\ (ket\ j) \longleftrightarrow i \neq j \rangle$ 
  by (simp add: cinner-ket-left ket.rep-eq of-bool-def)

lemma cinner-ket:  $\langle (ket\ i \cdot_C\ ket\ j) = of\text{-bool}\ (i=j) \rangle$ 
  by (simp add: cinner-ket-left ket.rep-eq)

lemma ket-injective[simp]:  $\langle ket\ i = ket\ j \longleftrightarrow i = j \rangle$ 
  by (metis cinner-ket one-neq-zero of-bool-def)

lemma inj-ket[simp]:  $\langle inj\text{-on}\ ket\ M \rangle$ 
  by (simp add: inj-on-def)

lemma trunc-ell2-ket-cspan:
   $\langle trunc\text{-ell2}\ S\ x \in cspan\ (range\ ket) \rangle$  if  $\langle finite\ S \rangle$ 
proof (use that in induction)
  case empty
  then show ?case
    by (auto intro: complex-vector.span-zero)
next
  case (insert a F)
  from insert.hyps have  $\langle trunc\text{-ell2}\ (insert\ a\ F)\ x = trunc\text{-ell2}\ F\ x + Rep\text{-ell2}\ x$ 
 $a \cdot_C\ ket\ a \rangle$ 
  apply (transfer fixing: F a)
  by auto
  with insert.IH
  show ?case
    by (simp add: complex-vector.span-add-eq complex-vector.span-base complex-vector.span-scale)
qed

lemma closed-cspan-range-ket[simp]:
   $\langle closure\ (cspan\ (range\ ket)) = UNIV \rangle$ 
proof (intro set-eqI iffI UNIV-I closure-approachable[THEN iffD2] allI impI)
  fix  $\psi :: \langle 'a\ ell2 \rangle$ 
  fix  $e :: real$  assume  $\langle e > 0 \rangle$ 
  have  $\langle ((\lambda S. trunc\text{-ell2}\ S\ \psi) \longrightarrow \psi)\ (finite\text{-subsets-at-top}\ UNIV) \rangle$ 
    by (rule trunc-ell2-lim-at-UNIV)
  then obtain  $F$  where  $\langle finite\ F \rangle$  and  $\langle dist\ (trunc\text{-ell2}\ F\ \psi)\ \psi < e \rangle$ 
  apply (drule-tac tendstoD[OF -  $\langle e > 0 \rangle$ ])
  by (auto dest: simp: eventually-finite-subsets-at-top)

```

```

moreover have  $\langle \text{trunc-ell2 } F \ \psi \in \text{cspan } (\text{range } \text{ket}) \rangle$ 
using  $\langle \text{finite } F \rangle \text{ trunc-ell2-ket-cspan}$  by blast
ultimately show  $\langle \exists \varphi \in \text{cspan } (\text{range } \text{ket}). \text{dist } \varphi \ \psi < e \rangle$ 
by auto
qed

```

```

lemma ccspan-range-ket[simp]:  $\text{ccspan } (\text{range } \text{ket}) = (\text{top}::('a \text{ ell2 } \text{ccsubspace}))$ 
proof–
have  $\langle \text{closure } (\text{complex-vector.span } (\text{range } \text{ket})) = (\text{UNIV}::'a \text{ ell2 } \text{set}) \rangle$ 
using Complex-L2.closed-cspan-range-ket by blast
thus ?thesis
by (simp add: ccspan.abs-eq top-ccsubspace.abs-eq)
qed

```

```

lemma cspan-range-ket-finite[simp]:  $\text{cspan } (\text{range } \text{ket} :: 'a::\text{finite ell2 } \text{set}) = \text{UNIV}$ 
by (metis closed-cspan-range-ket closure-finite-cspan finite-class.finite-UNIV finite-imageI)

```

```

instance ell2 :: (finite) cfinite-dim
proof
define basis ::  $\langle 'a \text{ ell2 } \text{set} \rangle$  where  $\langle \text{basis} = \text{range } \text{ket} \rangle$ 
have  $\langle \text{finite } \text{basis} \rangle$ 
unfolding basis-def by simp
moreover have  $\langle \text{cspan } \text{basis} = \text{UNIV} \rangle$ 
by (simp add: basis-def)
ultimately show  $\langle \exists \text{basis}::'a \text{ ell2 } \text{set}. \text{finite } \text{basis} \wedge \text{cspan } \text{basis} = \text{UNIV} \rangle$ 
by auto
qed

```

```

instantiation ell2 :: (enum) onb-enum begin
definition canonical-basis-ell2 =  $\text{map } \text{ket } \text{Enum.enum}$ 
definition  $\langle \text{canonical-basis-length-ell2 } (- :: 'a \text{ ell2 } \text{itself}) = \text{length } (\text{Enum.enum} :: 'a \text{ list}) \rangle$ 
instance
proof
show distinct (canonical-basis:: $'a \text{ ell2 } \text{list}$ )
proof–
have  $\langle \text{finite } (\text{UNIV}::'a \text{ set}) \rangle$ 
by simp
have  $\langle \text{distinct } (\text{enum-class.enum}::'a \text{ list}) \rangle$ 
using enum-distinct by blast
moreover have  $\langle \text{inj-on } \text{ket } (\text{set } \text{enum-class.enum}) \rangle$ 
by (meson inj-onI ket-injective)
ultimately show ?thesis
unfolding canonical-basis-ell2-def
using distinct-map
by blast
qed

```

```

show is-ortho-set (set (canonical-basis::'a ell2 list))
  apply (auto simp: canonical-basis-ell2-def enum-UNIV)
  by (smt (z3) norm-ket f-inv-into-f is-ortho-set-def orthogonal-ket norm-zero)

show cindependent (set (canonical-basis::'a ell2 list))
  apply (auto simp: canonical-basis-ell2-def enum-UNIV)
  by (smt (verit, best) norm-ket f-inv-into-f is-ortho-set-def is-ortho-set-cindependent
    orthogonal-ket norm-zero)

show cspan (set (canonical-basis::'a ell2 list)) = UNIV
  by (auto simp: canonical-basis-ell2-def enum-UNIV)

show norm (x::'a ell2) = 1
  if (x::'a ell2) ∈ set canonical-basis
  for x :: 'a ell2
  using that unfolding canonical-basis-ell2-def
  by auto

show ⟨canonical-basis-length TYPE('a ell2) = length (canonical-basis :: 'a ell2
list)⟩
  by (simp add: canonical-basis-length-ell2-def canonical-basis-ell2-def)
qed
end

lemma canonical-basis-length-ell2[code-unfold, simp]:
  length (canonical-basis :: 'a::enum ell2 list) = CARD('a)
  unfolding canonical-basis-ell2-def apply simp
  using card-UNIV-length-enum by metis

lemma ket-canonical-basis: ket x = canonical-basis ! enum-idx x
proof–
  have x = (enum-class.enum::'a list) ! enum-idx x
  using enum-idx-correct[where i = x] by simp
  hence p1: ket x = ket ((enum-class.enum::'a list) ! enum-idx x)
  by simp
  have enum-idx x < length (enum-class.enum::'a list)
  using enum-idx-bound[where x = x] card-UNIV-length-enum
  by metis
  hence (map ket (enum-class.enum::'a list)) ! enum-idx x
    = ket ((enum-class.enum::'a list) ! enum-idx x)
  by auto
  thus ?thesis
  unfolding canonical-basis-ell2-def using p1 by auto
qed

lemma clinear-equal-ket:
  fixes f g :: ⟨'a::finite ell2 ⇒ →⟩
  assumes ⟨cllinear f⟩
  assumes ⟨cllinear g⟩

```

```

assumes  $\langle \bigwedge i. f \text{ (ket } i) = g \text{ (ket } i) \rangle$ 
shows  $\langle f = g \rangle$ 
apply (rule ext)
apply (rule complex-vector.linear-eq-on-span[where  $f=f$  and  $g=g$  and  $B=\langle \text{range ket} \rangle$ ])
using assms by auto

```

```

lemma equal-ket:
fixes  $A \ B :: \langle 'a \text{ ell2}, 'b :: \text{complex-normed-vector} \rangle \text{ cblinfun}$ 
assumes  $\langle \bigwedge x. A *_V \text{ ket } x = B *_V \text{ ket } x \rangle$ 
shows  $\langle A = B \rangle$ 
apply (rule cblinfun-eq-gen-eqI[where  $G=\langle \text{range ket} \rangle$ ])
using assms by auto

```

```

lemma antilinear-equal-ket:
fixes  $f \ g :: \langle 'a :: \text{finite ell2} \Rightarrow \rightarrow \rangle$ 
assumes  $\langle \text{antilinear } f \rangle$ 
assumes  $\langle \text{antilinear } g \rangle$ 
assumes  $\langle \bigwedge i. f \text{ (ket } i) = g \text{ (ket } i) \rangle$ 
shows  $\langle f = g \rangle$ 
proof -
have [simp]:  $\langle \text{clinear } (f \circ \text{from-conjugate-space}) \rangle$ 
  apply (rule antilinear-o-antilinear)
  using assms by (simp-all add: antilinear-from-conjugate-space)
have [simp]:  $\langle \text{clinear } (g \circ \text{from-conjugate-space}) \rangle$ 
  apply (rule antilinear-o-antilinear)
  using assms by (simp-all add: antilinear-from-conjugate-space)
have [simp]:  $\langle \text{cspan } (\text{to-conjugate-space } '(\text{range ket} :: 'a \text{ ell2 set})) = \text{UNIV} \rangle$ 
  by simp
have  $f \circ \text{from-conjugate-space} = g \circ \text{from-conjugate-space}$ 
  apply (rule ext)
  apply (rule complex-vector.linear-eq-on-span[where  $f=f \circ \text{from-conjugate-space}$ 
and  $g=g \circ \text{from-conjugate-space}$  and  $B=\langle \text{to-conjugate-space } ' \text{range ket} \rangle$ ])
  apply (simp, simp)
  using assms(3) by (auto simp: to-conjugate-space-inverse)
then show  $f = g$ 
  by (smt (verit) UNIV-I from-conjugate-space-inverse surj-def surj-fun-eq to-conjugate-space-inject)

```

qed

```

lemma cinner-ket-adjointI:
fixes  $F :: 'a \text{ ell2} \Rightarrow_{CL} -$  and  $G :: 'b \text{ ell2} \Rightarrow_{CL} -$ 
assumes  $\bigwedge i \ j. (F *_V \text{ ket } i) \cdot_C \text{ ket } j = \text{ket } i \cdot_C (G *_V \text{ ket } j)$ 
shows  $F = G^*$ 
proof -
from assms
have  $\langle (F *_V x) \cdot_C y = x \cdot_C (G *_V y) \rangle$  if  $\langle x \in \text{range ket} \rangle$  and  $\langle y \in \text{range ket} \rangle$ 
for  $x \ y$ 
  using that by auto

```

```

then have  $\langle (F *_{\mathcal{V}} x) \cdot_C y = x \cdot_C (G *_{\mathcal{V}} y) \rangle$  if  $\langle x \in \text{range } \text{ket} \rangle$  for  $x y$ 
  apply (rule bounded-clinear-eq-on-closure[where  $G = \langle \text{range } \text{ket} \rangle$  and  $t = y$ , rotated 2])
  using that by (auto intro!: bounded-linear-intros)
  then have  $\langle (F *_{\mathcal{V}} x) \cdot_C y = x \cdot_C (G *_{\mathcal{V}} y) \rangle$  for  $x y$ 
  apply (rule bounded-antilinear-eq-on[where  $G = \langle \text{range } \text{ket} \rangle$  and  $t = x$ , rotated 2])
  by (auto intro!: bounded-linear-intros)
  then show ?thesis
  by (rule adjoint-eqI)
qed

```

```

lemma ket-nonzero[simp]:  $\text{ket } i \neq 0$ 
  using norm-ket[of i] by force

```

```

lemma cindependent-ket[simp]:
  cindependent (range (ket::'a $\Rightarrow$ -))
proof-
  define S where  $S = \text{range } (\text{ket}::'a\Rightarrow-)$ 
  have is-ortho-set S
    unfolding S-def is-ortho-set-def by auto
  moreover have  $0 \notin S$ 
    unfolding S-def
    using ket-nonzero
  by (simp add: image-iff)
  ultimately show ?thesis
    using is-ortho-set-cindependent[where  $A = S$ ] unfolding S-def
    by blast
qed

```

```

lemma cdim-UNIV-ell2[simp]:  $\langle \text{cdim } (\text{UNIV}::'a::\text{finite ell2 set}) = \text{CARD}('a) \rangle$ 
  apply (subst cspan-range-ket-finite[symmetric])
  by (metis card-image cindependent-ket complex-vector.dim-span-eq-card-independent inj-ket)

```

```

lemma is-ortho-set-ket[simp]:  $\langle \text{is-ortho-set } (\text{range } \text{ket}) \rangle$ 
  using is-ortho-set-def by fastforce

```

```

lemma bounded-clinear-equal-ket:
  fixes f g :: ' $a$  ell2  $\Rightarrow$  ->
  assumes  $\langle \text{bounded-clinear } f \rangle$ 
  assumes  $\langle \text{bounded-clinear } g \rangle$ 
  assumes  $\langle \bigwedge i. f (\text{ket } i) = g (\text{ket } i) \rangle$ 
  shows  $\langle f = g \rangle$ 
  apply (rule ext)
  apply (rule bounded-clinear-eq-on-closure[of f g  $\langle \text{range } \text{ket} \rangle$ ])
  using assms by auto

```

```

lemma bounded-antilinear-equal-ket:

```

```

fixes  $f\ g :: \langle 'a\ ell2 \Rightarrow - \rangle$ 
assumes  $\langle bounded\text{-}antilinear\ f \rangle$ 
assumes  $\langle bounded\text{-}antilinear\ g \rangle$ 
assumes  $\langle \bigwedge i. f\ (ket\ i) = g\ (ket\ i) \rangle$ 
shows  $\langle f = g \rangle$ 
apply (rule ext)
apply (rule bounded-antilinear-eq-on[ $of\ f\ g\ \langle range\ ket \rangle$ ])
using assms by auto

lemma is-onb-ket[simp]:  $\langle is\text{-}onb\ (range\ ket) \rangle$ 
by (auto simp: is-onb-def)

lemma ell2-sum-ket:  $\langle \psi = (\sum i \in UNIV. Rep\text{-}ell2\ \psi\ i\ *_C\ ket\ i) \rangle$  for  $\psi :: \langle -::finite\ ell2 \rangle$ 
apply transfer apply (rule ext)
apply (subst sum-single)
by auto

lemma trunc-ell2-singleton:  $\langle trunc\text{-}ell2\ \{x\}\ \psi = Rep\text{-}ell2\ \psi\ x\ *_C\ ket\ x \rangle$ 
apply transfer by auto

lemma trunc-ell2-insert:  $\langle trunc\text{-}ell2\ (insert\ x\ M)\ \varphi = Rep\text{-}ell2\ \varphi\ x\ *_C\ ket\ x + trunc\text{-}ell2\ M\ \varphi \rangle$ 
if  $\langle x \notin M \rangle$ 
using trunc-ell2-union-disjoint[where  $M = \{x\}$  and  $N = M$ ]
using that by (auto simp: trunc-ell2-singleton)

lemma trunc-ell2-finite-sum:  $\langle trunc\text{-}ell2\ M\ \psi = (\sum i \in M. Rep\text{-}ell2\ \psi\ i\ *_C\ ket\ i) \rangle$ 
if  $\langle finite\ M \rangle$ 
using that apply induction by (auto simp: trunc-ell2-insert)

lemma is-orthogonal-trunc-ell2:  $\langle is\text{-}orthogonal\ (trunc\text{-}ell2\ M\ \psi)\ (trunc\text{-}ell2\ N\ \varphi) \rangle$ 
if  $\langle M \cap N = \{\} \rangle$ 
proof –
have  $*$ :  $\langle cnj\ (if\ i \in M\ then\ a\ else\ 0) * (if\ i \in N\ then\ b\ else\ 0) = 0 \rangle$  for  $a\ b\ i$ 
using that by auto
show ?thesis
apply (transfer fixing:  $M\ N$ )
by (simp add:  $*$ )
qed

lemma separating-set-ket:  $\langle separating\text{-}set\ bounded\text{-}clinear\ (range\ ket) \rangle$ 
by (simp add: bounded-clinear-equal-ket separating-setI)

```

## 14.6 Butterflies

```

lemma cspan-butterfly-ket:  $\langle cspan\ \{butterfly\ (ket\ i)\ (ket\ j) \mid (i::'b::finite)\ (j::'a::finite).\ True\} = UNIV \rangle$ 
proof –

```

```

have *: ⟨{butterfly (ket i) (ket j) | (i::'b::finite) (j::'a::finite). True} = {butterfly
a b | a b. a ∈ range ket ∧ b ∈ range ket}⟩
  by auto
show ?thesis
  apply (subst *)
  apply (rule cspan-butterfly-UNIV)
  by auto
qed

```

```

lemma cindependent-butterfly-ket: ⟨cindependent {butterfly (ket i) (ket j) | (i::'b)
(j::'a). True}⟩
proof -
  have *: ⟨{butterfly (ket i) (ket j) | (i::'b) (j::'a). True} = {butterfly a b | a b. a ∈
range ket ∧ b ∈ range ket}⟩
    by auto
  show ?thesis
    apply (subst *)
    apply (rule cindependent-butterfly)
    by auto
qed

```

```

lemma clinear-eq-butterfly-ketI:
  fixes F G :: ⟨('a::finite ell2 ⇒CL 'b::finite ell2) ⇒ 'c::complex-vector⟩
  assumes clinear F and clinear G
  assumes ∧i j. F (butterfly (ket i) (ket j)) = G (butterfly (ket i) (ket j))
  shows F = G
  apply (rule complex-vector.linear-eq-on-span[where f=F, THEN ext, rotated 3])
  apply (subst cspan-butterfly-ket)
  using assms by auto

```

```

lemma sum-butterfly-ket[simp]: ⟨(∑ (i::'a::finite)∈UNIV. butterfly (ket i) (ket i))
= id-cblinfun⟩
  apply (rule equal-ket)
  apply (subst complex-vector.linear-sum[where f=⟨λy. y *V ket -⟩])
  apply (auto simp add: scaleC-cblinfun.rep-eq cblinfun.add-left clinearI butter-
fly-def cblinfun.compose-image cinner-ket)
  apply (subst sum.mono-neutral-cong-right[where S=⟨{-}⟩])
  by auto

```

```

lemma ell2-decompose-has-sum: ⟨((λx. Rep-ell2 φ x *C ket x) has-sum φ) UNIV⟩
proof (unfold has-sum-def)
  have *: ⟨trunc-ell2 M φ = (∑ x∈M. Rep-ell2 φ x *C ket x)⟩ if ⟨finite M⟩ for
M
    using that apply induction
    by (auto simp: trunc-ell2-insert)
  show ⟨(sum (λx. Rep-ell2 φ x *C ket x) ⟶ φ) (finite-subsets-at-top UNIV)⟩
    apply (rule Lim-transform-eventually)
    apply (rule trunc-ell2-lim-at-UNIV)
    using * by (rule eventually-finite-subsets-at-top-weakI)

```

qed

**lemma** *ell2-decompose-infsum*:  $\langle \varphi = (\sum_{\infty} x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x) \rangle$   
**by** (*metis ell2-decompose-has-sum infsumI*)

**lemma** *ell2-decompose-summable*:  $\langle (\lambda x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x) \text{ summable-on } UNIV \rangle$   
**using** *ell2-decompose-has-sum summable-on-def* **by** *blast*

**lemma** *Rep-ell2-cblinfun-apply-sum*:  $\langle \text{Rep-ell2 } (A \ *_V \varphi) \ y = (\sum_{\infty} x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x) \ y \rangle$

**proof** –

**have** 1:  $\langle \text{bounded-linear } (\lambda z. \text{Rep-ell2 } (A \ *_V \ z) \ y) \rangle$

**by** (*auto intro!*: *bounded-clinear-compose[unfolded o-def, OF bounded-clinear-Rep-ell2]*  
*cblinfun.bounded-clinear-right bounded-clinear.bounded-linear*)

**have** 2:  $\langle (\lambda x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x) \text{ summable-on } UNIV \rangle$

**by** (*simp add: ell2-decompose-summable*)

**have**  $\langle \text{Rep-ell2 } (A \ *_V \varphi) \ y = \text{Rep-ell2 } (A \ *_V (\sum_{\infty} x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x)) \ y \rangle$

*y*

**by** (*simp flip: ell2-decompose-infsum*)

**also have**  $\langle \dots = (\sum_{\infty} x. \text{Rep-ell2 } (A \ *_V (\text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x)) \ y) \rangle$

**apply** (*subst infsum-bounded-linear[symmetric, where h= $\lambda z. \text{Rep-ell2 } (A \ *_V \ z) \ y$ ]*)

**using** 1 2 **by** (*auto simp: o-def*)

**also have**  $\langle \dots = (\sum_{\infty} x. \text{Rep-ell2 } \varphi \ x \ *_C \text{ ket } x) \ y \rangle$

**by** (*simp add: cblinfun.scaleC-right scaleC-ell2.rep-eq*)

**finally show** *?thesis*

**by** –

qed

## 14.7 One-dimensional spaces

**instantiation** *ell2* :: (*CARD-1*) *one* **begin**

**lift-definition** *one-ell2* :: '*a ell2* is  $\lambda \cdot. 1$  **by** *simp*

**instance..**

**end**

**lemma** *ket-CARD-1-is-1*:  $\langle \text{ket } x = 1 \rangle$  **for** *x* :: '*a::CARD-1*

**apply** *transfer* **by** *simp*

**instantiation** *ell2* :: (*CARD-1*) *times* **begin**

**lift-definition** *times-ell2* :: '*a ell2*  $\Rightarrow$  '*a ell2*  $\Rightarrow$  '*a ell2* **is**  $\lambda a \ b \ x. a \ x \ *_C \ b \ x$

**by** *simp*

**instance..**

**end**

**instantiation** *ell2* :: (*CARD-1*) *divide* **begin**

**lift-definition** *divide-ell2* :: '*a ell2*  $\Rightarrow$  '*a ell2*  $\Rightarrow$  '*a ell2* **is**  $\lambda a \ b \ x. a \ x \ / \ b \ x$

**by** *simp*

**instance..**

**end**

**instantiation** *ell2* :: (*CARD-1*) *inverse* **begin**

**lift-definition** *inverse-ell2* :: '*a ell2*  $\Rightarrow$  '*a ell2* **is**  $\lambda a x. \text{inverse } (a x)$

**by** *simp*

**instance..**

**end**

**instance** *ell2* :: (*{enum, CARD-1}*) *one-dim*

Note: *enum* is not needed logically, but without it this instantiation clashes with *instantiation ell2* :: (*enum*) *onb-enum*

**proof** *intro-classes*

**show** *canonical-basis* = [*1::'a ell2*]

**unfolding** *canonical-basis-ell2-def*

**apply** *transfer*

**by** (*simp add: enum-CARD-1[of undefined]*)

**show**  $a *_C 1 * b *_C 1 = (a * b) *_C (1::'a \text{ ell2})$  **for** *a b*

**apply** (*transfer fixing: a b*) **by** *simp*

**show**  $x / y = x * \text{inverse } y$  **for**  $x y :: 'a \text{ ell2}$

**apply** *transfer*

**by** (*simp add: divide-inverse*)

**show**  $\text{inverse } (c *_C 1) = \text{inverse } c *_C (1::'a \text{ ell2})$  **for**  $c :: \text{complex}$

**apply** *transfer by auto*

**qed**

## 14.8 Explicit bounded operators

**definition** *explicit-cblinfun* ::  $\langle ('a \Rightarrow 'b \Rightarrow \text{complex}) \Rightarrow ('b \text{ ell2}, 'a \text{ ell2}) \text{ cblinfun} \rangle$

**where**

$\langle \text{explicit-cblinfun } M = \text{cblinfun-extension } (\text{range } \text{ket}) (\lambda a. \text{Abs-ell2 } (\lambda j. M j (\text{inv ket } a))) \rangle$

**definition** *explicit-cblinfun-exists* ::  $\langle ('a \Rightarrow 'b \Rightarrow \text{complex}) \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{explicit-cblinfun-exists } M \longleftrightarrow$

$(\forall a. \text{has-ell2-norm } (\lambda j. M j a)) \wedge$

$\text{cblinfun-extension-exists } (\text{range } \text{ket}) (\lambda a. \text{Abs-ell2 } (\lambda j. M j (\text{inv ket } a))) \rangle$

**lemma** *explicit-cblinfun-exists-bounded*:

**assumes**  $\langle \bigwedge S T \psi. \text{finite } S \Longrightarrow \text{finite } T \Longrightarrow (\bigwedge a. a \notin T \Longrightarrow \psi a = 0) \Longrightarrow$

$(\sum b \in S. (\text{cmod } (\sum a \in T. \psi a *_C M b a))^2) \leq B * (\sum a \in T. (\text{cmod } (\psi$

$a))^2) \rangle$

**shows**  $\langle \text{explicit-cblinfun-exists } M \rangle$

**proof** –

**define** *F f* **where**  $\langle F = \text{complex-vector.construct } (\text{range } \text{ket}) f \rangle$

**and**  $\langle f = (\lambda a. \text{Abs-ell2 } (\lambda j. M j (\text{inv ket } a))) \rangle$

**from** *assms* [**where**  $S = \{\}$  **and**  $T = \{\text{undefined}\}$  **and**  $\psi = \lambda x. \text{of-bool } (x = \text{undefined})$ ]

**have**  $\langle B \geq 0 \rangle$

**by** *auto*

```

have has-norm: ⟨has-ell2-norm (λb. M b a)⟩ for a
proof (unfold has-ell2-norm-def, intro nonneg-bdd-above-summable-on bdd-aboveI)
  show ⟨0 ≤ cmod ((M x a)2)⟩ for x
    by simp
  fix B'
  assume ⟨B' ∈ sum (λx. cmod ((M x a)2)) ‘ {F. F ⊆ UNIV ∧ finite F}⟩
  then obtain S where [simp]: ⟨finite S⟩ and B'-def: ⟨B' = (∑ x∈S. cmod ((M
x a)2))⟩
    by blast
  from assms[where S=S and T={a}] and ψ=⟨λx. of-bool (x=a)⟩
  show ⟨B' ≤ B⟩
    by (simp add: norm-power B'-def)
qed
have ⟨clinear F⟩
  by (auto intro!: complex-vector.linear-construct simp: F-def)
have F-B: ⟨norm (F ψ) ≤ (sqrt B) * norm ψ⟩ if ψ-range-ket: ⟨ψ ∈ cspan (range
ket)⟩ for ψ
proof -
  from that
  obtain T' where ⟨finite T'⟩ and ⟨T' ⊆ range ket⟩ and ψT': ⟨ψ ∈ cspan T'⟩
    by (meson vector-finitely-spanned)

  then obtain T where T'-def: ⟨T' = ket ‘ T⟩
    by (meson subset-image-iff)
  have ⟨finite T⟩
    by (metis T'-def ⟨finite T'⟩ finite-image-iff inj-ket inj-on-subset subset-UNIV)
  have ψT: ⟨ψ ∈ cspan (ket ‘ T)⟩
    using T'-def ψT' by blast
  have Rep-ψ: ⟨Rep-ell2 ψ x = 0⟩ if ⟨x ∉ T⟩ for x
    using - - ψT apply (rule complex-vector.linear-eq-0-on-span)
    apply auto
    by (metis ket.rep-eq that of-bool-def)
  have ⟨norm (trunc-ell2 S (F ψ)) ≤ sqrt B * norm ψ⟩ if ⟨finite S⟩ for S
  proof -
    have *: ⟨cconstruct (range ket) f ψ = (∑ x∈T. Rep-ell2 ψ x *C f (ket x))⟩
    proof (rule complex-vector.linear-eq-on[where x=ψ and B=⟨ket ‘ T⟩])
      show ⟨clinear (cconstruct (range ket) f)⟩
        using F-def ⟨clinear F⟩ by blast
      show ⟨clinear (λa. ∑ x∈T. Rep-ell2 a x *C f (ket x))⟩
        by (auto intro!: clinear-compose[unfolded o-def, OF clinear-Rep-ell2]
complex-vector.linear-compose-sum)
      show ⟨ψ ∈ cspan (ket ‘ T)⟩
        by (simp add: ψT)
      have ⟨f b = (∑ x∈T. Rep-ell2 b x *C f (ket x))⟩
        if ⟨b ∈ ket ‘ T⟩ for b
      proof -
        define b' where ⟨b' = inv ket b⟩
        have bb': ⟨b = ket b'⟩
          using b'-def that by force

```

```

    show ?thesis
    apply (subst sum-single[where i=b'])
    using that by (auto simp add: ‹finite T› bb' ket.rep-eq)
  qed
  then show ‹construct (range ket) f b = (∑ x∈T. Rep-ell2 b x *C f (ket
x))›
    if ‹b ∈ ket ‘ T› for b
    apply (subst complex-vector.construct-basis)
    using that by auto
  qed
  have ‹(norm (trunc-ell2 S (F ψ)))2 = (norm (trunc-ell2 S (∑ x∈T. Rep-ell2
ψ x *C f (ket x))))2›
    apply (rule arg-cong[where f=‹λx. (norm (trunc-ell2 - x))2›])
    by (simp add: F-def *)
  also have ‹... = (norm (trunc-ell2 S (∑ x∈T. Rep-ell2 ψ x *C Abs-ell2 (λb.
M b x))))2›
    by (simp add: f-def)
  also have ‹... = (∑ i∈S. (cmod (Rep-ell2 (∑ x∈T. Rep-ell2 ψ x *C Abs-ell2
(λb. M b x)) i))2)›
    by (simp add: that norm-trunc-ell2-finite real-sqrt-pow2 sum-nonneg)
  also have ‹... = (∑ i∈S. (cmod (∑ x∈T. Rep-ell2 ψ x *C Rep-ell2 (Abs-ell2
(λb. M b x)) i))2)›
    by (simp add: complex-vector.linear-sum[OF clinear-Rep-ell2]
        clinear.scaleC[OF clinear-Rep-ell2])
  also have ‹... = (∑ i∈S. (cmod (∑ x∈T. Rep-ell2 ψ x *C M i x))2)›
    using has-norm by (simp add: Abs-ell2-inverse)
  also have ‹... ≤ B * (∑ x∈T. (cmod (Rep-ell2 ψ x))2)›
    using ‹finite S› ‹finite T› Rep-ψ by (rule assms)
  also have ‹... = B * ((norm (trunc-ell2 T ψ))2)›
    by (simp add: ‹finite T› norm-trunc-ell2-finite sum-nonneg)
  also have ‹... ≤ B * (norm ψ)2›
    by (simp add: mult-left-mono ‹B ≥ 0› trunc-ell2-reduces-norm)
  finally show ?thesis
    apply (rule-tac power2-le-imp-le)
    by (simp-all add: ‹0 ≤ B› power-mult-distrib)
  qed
  then show ?thesis
    by (rule norm-ell2-bound-trunc)
  qed
  then have ‹cblinfun-extension-exists (cspan (range ket)) F›
    apply (rule cblinfun-extension-exists-hilbert[rotated -1])
    by (auto intro: ‹cllinear F› complex-vector.linear-add complex-vector.linear-scale)
  then have ex: ‹cblinfun-extension-exists (range ket) f›
    apply (rule cblinfun-extension-exists-restrict[rotated -1])
    by (simp-all add: F-def complex-vector.span-superset complex-vector.construct-basis)
  from ex has-norm
  show ?thesis
    using explicit-cblinfun-exists-def f-def by blast
  qed

```

**lemma** *explicit-cblinfun-exists-finite-dim*[simp]:  $\langle \text{explicit-cblinfun-exists } m \rangle$  **for**  $m :: \text{::finite} \Rightarrow \text{::finite} \Rightarrow -$   
**by** (auto simp: explicit-cblinfun-exists-def cblinfun-extension-exists-finite-dim)

**lemma** *explicit-cblinfun-ket*:  $\langle \text{explicit-cblinfun } M *_V \text{ ket } a = \text{Abs-ell2 } (\lambda b. M b a) \rangle$   
**if**  $\langle \text{explicit-cblinfun-exists } M \rangle$   
**using** *that* **by** (auto simp: explicit-cblinfun-exists-def explicit-cblinfun-def cblinfun-extension-apply)

**lemma** *Rep-ell2-explicit-cblinfun-ket*[simp]:  $\langle \text{Rep-ell2 } (\text{explicit-cblinfun } M *_V \text{ ket } a) b = M b a \rangle$  **if**  $\langle \text{explicit-cblinfun-exists } M \rangle$   
**using** *that* **apply** (simp add: explicit-cblinfun-ket)  
**by** (simp add: Abs-ell2-inverse explicit-cblinfun-exists-def)

**lemma** *bounded-extension-counterexample-1*:  $\langle \exists f. \forall x. f (\text{ket } x) = \text{ket } 0 \rangle$   
— First part of counterexample showing that not every linear function can be extended to a bounded operator.  
**by** auto

**lemma** *bounded-extension-counterexample-2*:  
— Second part of counterexample showing that not every linear function can be extended to a bounded operator.  
**assumes**  $\langle \forall x :: 'a :: \text{infinite}. f (\text{ket } x) = \text{ket } 0 \rangle$   
**shows**  $\langle \neg \text{cblinfun-extension-exists } (\text{range ket}) f \rangle$   
**proof** (rule ccontr, unfold not-not)  
**assume**  $\langle \text{cblinfun-extension-exists } (\text{range ket}) f \rangle$   
**moreover** **define**  $F$  **where**  $\langle F = \text{cblinfun-extension } (\text{range ket}) f \rangle$   
**ultimately** **have**  $F$ :  $\langle F (\text{ket } x) = \text{ket } 0 \rangle$  **for**  $x$   
**by** (simp add: asms cblinfun-extension-apply)  
**have**  $F$ -geq:  $\langle \text{norm } F \geq \text{sqrt } B \rangle$  **for**  $B :: \text{nat}$   
**proof** —  
**obtain**  $S :: \langle 'a \text{ set} \rangle$  **where**  $\text{card-}S$ :  $\langle \text{card } S = B \rangle$  **and**  $\text{fin-}S$ :  $\langle \text{finite } S \rangle$   
**using** arb-finite-subset[of  $\langle \{\} \rangle B]$   
**by** (meson finite.emptyI obtain-subset-with-card-n)  
**define**  $\psi$  **where**  $\langle \psi = (\sum_{i \in S}. \text{ket } i) \rangle$   
**have**  $\langle (\text{norm } \psi)^2 = B \rangle$   
**by** (simp add:  $\psi$ -def pythagorean-theorem-sum card- $S$  fin- $S$ )  
**then** **have**  $\text{norm-}\psi$ :  $\langle \text{norm } \psi = \text{sqrt } B \rangle$   
**by** (smt (verit, best) norm-ge-zero real-sqrt-abs)  
**have**  $\langle F \psi = B *_R \text{ket } 0 \rangle$   
**by** (simp add:  $\psi$ -def cblinfun.sum-right real-vector.sum-constant-scale  $F$  card- $S$ )  
**then** **have**  $\langle \text{norm } (F \psi) = B \rangle$   
**by** simp  
**with**  $\text{norm-}\psi$  **have**  $\langle \text{norm } F \geq B / \text{sqrt } B \rangle$   
**using** norm-cblinfun[of  $F \psi$ ]  
**by** (simp add: divide-le-eq)  
**then** **show** ?thesis  
**by** (simp add: real-div-sqrt)

```

qed
then show False
proof -
  obtain B :: nat where B:  $\langle B > (\text{norm } F)^2 \rangle$ 
  apply atomize-elim
  apply (rule exI[of -  $\langle \text{nat } (\text{ceiling } ((\text{norm } F)^2 + 1)) \rangle$ ])
  by linarith
  with F-geq show False
  by (smt (verit, ccfv-threshold) B sqrt-le-D)
qed
qed

```

## 14.9 Diagonal operators

**definition** *diagonal-operator* **where**  $\langle \text{diagonal-operator } f =$   
 $(\text{if bdd-above } (\text{range } (\lambda x. \text{cmod } (f x))) \text{ then explicit-cblinfun } (\lambda x y. \text{of-bool } (x=y)$   
 $* f x) \text{ else } 0) \rangle$

**lemma** *diagonal-operator-exists*:

```

  assumes  $\langle \text{bdd-above } (\text{range } (\lambda x. \text{cmod } (f x))) \rangle$ 
  shows  $\langle \text{explicit-cblinfun-exists } (\lambda x y. \text{of-bool } (x = y) * f x) \rangle$ 
proof -
  from assms obtain B where B:  $\langle \text{cmod } (f x) \leq B \rangle$  for x
  by (auto simp: bdd-above-def)
  show ?thesis
proof (rule explicit-cblinfun-exists-bounded)
  fix S T ::  $\langle 'a \text{ set} \rangle$  and  $\psi :: \langle 'a \Rightarrow \text{complex} \rangle$ 
  assume [simp]:  $\langle \text{finite } S \rangle \langle \text{finite } T \rangle$ 
  assume  $\langle \psi a = 0 \rangle$  if  $\langle a \notin T \rangle$  for a
  have  $\langle (\sum b \in S. (\text{cmod } (\sum a \in T. \psi a *_{\mathbb{C}} (\text{of-bool } (b = a) * f b)))^2)$ 
     $= (\sum b \in S. (\text{cmod } (\text{of-bool } (b \in T) * \psi b * f b))^2) \rangle$ 
  apply (rule sum.cong[OF refl])
  subgoal for b
    apply (subst sum-single[where i=b])
    by auto
  by -
  also have  $\langle \dots = (\sum b \in S \cap T. (\text{cmod } (\psi b * f b))^2) \rangle$ 
  apply (rule sum.mono-neutral-cong-right)
  by auto
  also have  $\langle \dots \leq (\sum b \in T. (\text{cmod } (\psi b * f b))^2) \rangle$ 
  by (simp add: sum-mono2)
  also have  $\langle \dots \leq (\sum b \in T. B^2 * (\text{cmod } (\psi b))^2) \rangle$ 
  by (rule sum-mono)
    (auto intro!: mult-left-mono power-mono B
      simp: norm-mult power-mult-distrib mult.commute[of B ^ 2])
  also have  $\langle \dots = B^2 * (\sum b \in T. (\text{cmod } (\psi b))^2) \rangle$ 
  by (simp add: vector-space-over-itself.scale-sum-right)
  finally

```

**show**  $\langle (\sum b \in S. (cmod (\sum a \in T. \psi a *_C (of\_bool (b = a) * f b)))^2) \leq B^2 * (\sum a \in T. (cmod (\psi a))^2) \rangle$ .  
**qed**  
**qed**

**lemma** *diagonal-operator-ket*:  
**assumes**  $\langle bdd\_above (range (\lambda x. cmod (f x))) \rangle$   
**shows**  $\langle diagonal\_operator f (ket x) = f x *_C ket x \rangle$   
**proof** –  
**have**  $[simp]: \langle has\_ell2\_norm (\lambda b. of\_bool (b = x) * f b) \rangle$   
**by**  $(auto intro!: finite\_nonzero\_values\_imp\_summable\_on simp: has\_ell2\_norm\_def)$   
**have**  $\langle Abs\_ell2 (\lambda b. of\_bool (b = x) * f b) = f x *_C ket x \rangle$   
**by**  $(rule Rep\_ell2\_inject [THEN iffD1])$   
 $(auto simp: Abs\_ell2\_inverse scaleC\_ell2.rep\_eq ket.rep\_eq)$   
**then show** *?thesis*  
**by**  $(auto intro!: simp: diagonal\_operator\_def assms explicit\_cblinfun\_ket diagonal\_operator\_exists)$   
**qed**

**lemma** *diagonal-operator-invalid*:  
**assumes**  $\langle \neg bdd\_above (range (\lambda x. cmod (f x))) \rangle$   
**shows**  $\langle diagonal\_operator f = 0 \rangle$   
**by**  $(simp add: assms diagonal\_operator\_def)$

**lemma** *diagonal-operator-adj*:  $\langle diagonal\_operator f^* = diagonal\_operator (\lambda x. cnj (f x)) \rangle$   
**by**  $(cases \langle bdd\_above (range (\lambda x. cmod (f x))) \rangle)$   
 $(auto intro!: equal\_ket cinner\_ket\_eqI$   
 $simp: diagonal\_operator\_ket cinner\_adj\_right diagonal\_operator\_invalid)$

**lemma** *diagonal-operator-comp*:  
**assumes**  $\langle bdd\_above (range (\lambda x. cmod (f x))) \rangle$   
**assumes**  $\langle bdd\_above (range (\lambda x. cmod (g x))) \rangle$   
**shows**  $\langle diagonal\_operator f o_{CL} diagonal\_operator g = diagonal\_operator (\lambda x. (f x * g x)) \rangle$   
**proof** –  
**have**  $\langle bdd\_above (range (\lambda x. cmod (f x * g x))) \rangle$   
**proof** –  
**from** *assms(1)* **obtain** *F* **where**  $\langle cmod (f x) \leq F \rangle$  **for** *x*  
**by**  $(auto simp: bdd\_above\_def)$   
**moreover from** *assms(2)* **obtain** *G* **where**  $\langle cmod (g x) \leq G \rangle$  **for** *x*  
**by**  $(auto simp: bdd\_above\_def)$   
**ultimately have**  $\langle cmod (f x * g x) \leq F * G \rangle$  **for** *x*  
**by**  $(smt (verit, del\_insts) mult\_right\_mono norm\_ge\_zero norm\_mult ordered\_comm\_semiring\_class.comm\_mul)$   
**then show** *?thesis*  
**by** *fast*  
**qed**

```

then show ?thesis
by (auto intro!: equal-ket simp: diagonal-operator-ket assms cblinfun.scaleC-right)
qed

```

## 14.10 Classical operators

We call an operator mapping  $\text{ket } x$  to  $\text{ket } (\pi \ x)$  or  $0$  "classical". (The meaning is inspired by the fact that in quantum mechanics, such operators usually correspond to operations with classical interpretation (such as Pauli-X, CNOT, measurement in the computational basis, etc.))

**definition** *classical-operator* ::  $('a \Rightarrow 'b \text{ option}) \Rightarrow 'a \text{ ell2} \Rightarrow_{CL} 'b \text{ ell2}$  **where**  
*classical-operator*  $\pi =$   
 $(\text{let } f = (\lambda t. (\text{case } \pi \ (\text{inv } (\text{ket}::'a \Rightarrow -)) \ t)$   
 $\quad \text{of } \text{None} \Rightarrow (0::'b \text{ ell2})$   
 $\quad \mid \text{Some } i \Rightarrow \text{ket } i))$   
*in*  
*cblinfun-extension* (range (ket::'a  $\Rightarrow$  -))  $f$ )

**definition** *classical-operator-exists*  $\pi \longleftrightarrow$   
*cblinfun-extension-exists* (range ket)  
 $(\lambda t. \text{case } \pi \ (\text{inv } \text{ket } t) \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } i \Rightarrow \text{ket } i)$

**lemma** *classical-operator-existsI*:  
**assumes**  $\bigwedge x. B \ *_{\text{V}} (\text{ket } x) = (\text{case } \pi \ x \ \text{of } \text{Some } i \Rightarrow \text{ket } i \mid \text{None} \Rightarrow 0)$   
**shows** *classical-operator-exists*  $\pi$   
**unfolding** *classical-operator-exists-def*  
**apply** (rule *cblinfun-extension-existsI*[of -  $B$ ])  
**using** *assms*  
**by** (auto simp: inv-f-f[OF inj-ket])

**lemma**  
**assumes** *inj-map*  $\pi$   
**shows** *classical-operator-exists-inj*: *classical-operator-exists*  $\pi$   
**and** *classical-operator-norm-inj*:  $\langle \text{norm } (\text{classical-operator } \pi) \rangle \leq 1$   
**proof** –  
**have**  $\langle \text{is-orthogonal } (\text{case } \pi \ x \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } x' \Rightarrow \text{ket } x')$   
 $\quad (\text{case } \pi \ y \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } y' \Rightarrow \text{ket } y') \rangle$   
**if**  $\langle x \neq y \rangle$  **for**  $x \ y$   
**apply** (cases  $\langle \pi \ x \rangle$ ; cases  $\langle \pi \ y \rangle$ )  
**using** *that assms*  
**by** (auto simp add: *inj-map-def*)  
**then have**  $1: \langle \text{is-orthogonal } (\text{case } \pi \ (\text{inv } \text{ket } x) \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } x' \Rightarrow \text{ket } x')$   
 $\quad (\text{case } \pi \ (\text{inv } \text{ket } y) \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } y' \Rightarrow \text{ket } y') \rangle$   
**if**  $\langle x \in \text{range ket} \rangle$  **and**  $\langle y \in \text{range ket} \rangle$  **and**  $\langle x \neq y \rangle$  **for**  $x \ y$   
**using** *that by auto*

**have**  $\langle \text{norm } (\text{case } \pi \ x \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } x \Rightarrow \text{ket } x) \rangle \leq 1 * \text{norm } (\text{ket } x) \rangle$  **for**

```

x
  apply (cases ⟨ $\pi$   $x$ ⟩) by auto
  then have 2: ⟨ $\text{norm } (\text{case } \pi (\text{inv } \text{ket } x) \text{ of } \text{None} \Rightarrow 0 \mid \text{Some } x \Rightarrow \text{ket } x) \leq 1 * \text{norm } x$ ⟩
    if ⟨ $x \in \text{range } \text{ket}$ ⟩ for  $x$ 
    using that by auto

  show ⟨ $\text{classical-operator-exists } \pi$ ⟩
    unfolding classical-operator-exists-def
    using - - 1 2 apply (rule cblinfun-extension-exists-ortho)
    by simp-all

  show ⟨ $\text{norm } (\text{classical-operator } \pi) \leq 1$ ⟩
    unfolding classical-operator-def Let-def
    using - - 1 2 apply (rule cblinfun-extension-exists-ortho-norm)
    by simp-all
qed

lemma classical-operator-exists-finite[simp]: classical-operator-exists ( $\pi :: \text{finite} \Rightarrow -$ )
  unfolding classical-operator-exists-def
  using cindependent-ket cspan-range-ket-finite
  by (rule cblinfun-extension-exists-finite-dim)

lemma classical-operator-ket:
  assumes classical-operator-exists  $\pi$ 
  shows  $(\text{classical-operator } \pi) *_{\mathcal{V}} (\text{ket } x) = (\text{case } \pi \ x \text{ of } \text{Some } i \Rightarrow \text{ket } i \mid \text{None} \Rightarrow 0)$ 
  unfolding classical-operator-def
  using f-inv-into-f ket-injective rangeI
  by (metis assms cblinfun-extension-apply classical-operator-exists-def)

lemma classical-operator-ket-finite:
   $(\text{classical-operator } \pi) *_{\mathcal{V}} (\text{ket } (x :: 'a :: \text{finite})) = (\text{case } \pi \ x \text{ of } \text{Some } i \Rightarrow \text{ket } i \mid \text{None} \Rightarrow 0)$ 
  by (rule classical-operator-ket, simp)

lemma classical-operator-adjoint[simp]:
  fixes  $\pi :: 'a \Rightarrow 'b \text{ option}$ 
  assumes  $a1: \text{inj-map } \pi$ 
  shows  $(\text{classical-operator } \pi)^* = \text{classical-operator } (\text{inv-map } \pi)$ 
proof -
  define  $F$  where  $F = \text{classical-operator } (\text{inv-map } \pi)$ 
  define  $G$  where  $G = \text{classical-operator } \pi$ 
  have  $(F *_{\mathcal{V}} \text{ket } i) \cdot_{\mathcal{C}} \text{ket } j = \text{ket } i \cdot_{\mathcal{C}} (G *_{\mathcal{V}} \text{ket } j)$  for  $i \ j$ 
  proof -
    have  $w1: (\text{classical-operator } (\text{inv-map } \pi)) *_{\mathcal{V}} (\text{ket } i)$ 
      =  $(\text{case } \text{inv-map } \pi \ i \text{ of } \text{Some } k \Rightarrow \text{ket } k \mid \text{None} \Rightarrow 0)$ 
    by (simp add: classical-operator-ket classical-operator-exists-inj)

```

```

have w2: (classical-operator  $\pi$ ) *V (ket j)
= (case  $\pi$  j of Some k  $\Rightarrow$  ket k | None  $\Rightarrow$  0)
  by (simp add: assms classical-operator-ket classical-operator-exists-inj)
have (F *V ket i) •C ket j = (classical-operator (inv-map  $\pi$ ) *V ket i) •C ket j
  unfolding F-def by blast
also have ... = ((case inv-map  $\pi$  i of Some k  $\Rightarrow$  ket k | None  $\Rightarrow$  0) •C ket j)
  using w1 by simp
also have ... = (ket i •C (case  $\pi$  j of Some k  $\Rightarrow$  ket k | None  $\Rightarrow$  0))
proof(induction inv-map  $\pi$  i)
  case None
  hence pi1: None = inv-map  $\pi$  i.
  show ?case
  proof (induction  $\pi$  j)
    case None
    thus ?case
      using pi1 by auto
  next
    case (Some c)
    have c  $\neq$  i
    proof(rule classical)
      assume  $\neg(c \neq i)$ 
      hence c = i
        by blast
      hence inv-map  $\pi$  c = inv-map  $\pi$  i
        by simp
      hence inv-map  $\pi$  c = None
        by (simp add: pi1)
      moreover have inv-map  $\pi$  c = Some j
        using Some.hyps unfolding inv-map-def
        apply auto
        by (metis a1 f-inv-into-f inj-map-def option.distinct(1) rangeI)
      ultimately show ?thesis by simp
    qed
    thus ?thesis
      by (metis None.hyps Some.hyps cinner-zero-left orthogonal-ket option.simps(4)
        option.simps(5))
  qed
next
  case (Some d)
  hence s1: Some d = inv-map  $\pi$  i.
  show (case inv-map  $\pi$  i of None  $\Rightarrow$  0 | Some a  $\Rightarrow$  ket a) •C ket j
    = ket i •C (case  $\pi$  j of None  $\Rightarrow$  0 | Some a  $\Rightarrow$  ket a)
  proof(induction  $\pi$  j)
    case None
    have d  $\neq$  j
    proof(rule classical)
      assume  $\neg(d \neq j)$ 
      hence d = j

```

```

    by blast
  hence  $\pi d = \pi j$ 
    by simp
  hence  $\pi d = \text{None}$ 
    by (simp add: None.hyps)
  moreover have  $\pi d = \text{Some } i$ 
    using Some.hyps unfolding inv-map-def
    apply auto
    by (metis f-inv-into-f option.distinct(1) option.inject)
  ultimately show ?thesis
    by simp
qed
thus ?case
  by (metis None.hyps Some.hyps cinner-zero-right orthogonal-ket op-
tion.case-eq-if
    option.simps(5))
next
case (Some c)
  hence  $s2: \pi j = \text{Some } c$  by simp
  have  $(\text{ket } d \cdot_C \text{ket } j) = (\text{ket } i \cdot_C \text{ket } c)$ 
  proof (cases  $\pi j = \text{Some } i$ )
    case True
      hence  $ij: \text{Some } j = \text{inv-map } \pi i$ 
        unfolding inv-map-def apply auto
        apply (metis a1 f-inv-into-f inj-map-def option.discI range-eqI)
        by (metis range-eqI)
      have  $i = c$ 
        using True s2 by auto
      moreover have  $j = d$ 
        by (metis option.inject s1 ij)
      ultimately show ?thesis
        by (simp add: cinner-ket-same)
    case False
      moreover have  $\pi d = \text{Some } i$ 
        using s1 unfolding inv-map-def
        by (metis f-inv-into-f option.distinct(1) option.inject)
      ultimately have  $j \neq d$ 
        by auto
      moreover have  $i \neq c$ 
        using False s2 by auto
      ultimately show ?thesis
        by (metis orthogonal-ket)
  qed
  hence (case Some d of None  $\Rightarrow 0$  | Some a  $\Rightarrow \text{ket } a$ )  $\cdot_C \text{ket } j$ 
    =  $\text{ket } i \cdot_C$  (case Some c of None  $\Rightarrow 0$  | Some a  $\Rightarrow \text{ket } a$ )
    by simp
  thus (case inv-map  $\pi i$  of None  $\Rightarrow 0$  | Some a  $\Rightarrow \text{ket } a$ )  $\cdot_C \text{ket } j$ 
    =  $\text{ket } i \cdot_C$  (case  $\pi j$  of None  $\Rightarrow 0$  | Some a  $\Rightarrow \text{ket } a$ )

```

```

      by (simp add: Some.hyps s1)
    qed
  qed
  also have ... = ket i •C (classical-operator π •V ket j)
    by (simp add: w2)
  also have ... = ket i •C (G •V ket j)
    unfolding G-def by blast
  finally show ?thesis .
  qed
  hence G* = F
    using cinner-ket-adjointI
    by auto
  thus ?thesis unfolding G-def F-def .
  qed

lemma
  fixes π::'b ⇒ 'c option and ρ::'a ⇒ 'b option
  assumes classical-operator-exists π
  assumes classical-operator-exists ρ
  shows classical-operator-exists-comp[simp]: classical-operator-exists (π ◦m ρ)
    and classical-operator-mult[simp]: classical-operator π oCL classical-operator ρ
  = classical-operator (π ◦m ρ)
  proof -
    define Cπ Cρ Cπρ where Cπ = classical-operator π and Cρ = classical-operator
    ρ
    and Cπρ = classical-operator (π ◦m ρ)
    have Cπx: Cπ •V (ket x) = (case π x of Some i ⇒ ket i | None ⇒ 0) for x
      unfolding Cπ-def using ⟨classical-operator-exists π⟩ by (rule classical-operator-ket)
    have Cρx: Cρ •V (ket x) = (case ρ x of Some i ⇒ ket i | None ⇒ 0) for x
      unfolding Cρ-def using ⟨classical-operator-exists ρ⟩ by (rule classical-operator-ket)
    have Cπρx': (Cπ oCL Cρ) •V (ket x) = (case (π ◦m ρ) x of Some i ⇒ ket i |
    None ⇒ 0) for x
      apply (simp add: scaleC-cblinfun.rep-eq Cρx)
      apply (cases ρ x)
      by (auto simp: Cπx)
    thus ⟨classical-operator-exists (π ◦m ρ)⟩
      by (rule classical-operator-existsI)
    hence Cπρ •V (ket x) = (case (π ◦m ρ) x of Some i ⇒ ket i | None ⇒ 0) for x
      unfolding Cπρ-def
      by (rule classical-operator-ket)
    with Cπρx' have (Cπ oCL Cρ) •V (ket x) = Cπρ •V (ket x) for x
      by simp
    thus Cπ oCL Cρ = Cπρ
      by (simp add: equal-ket)
  qed

lemma classical-operator-Some[simp]: classical-operator (Some::'a⇒-) = id-cblinfun
  proof -
    have (classical-operator Some) •V (ket i) = id-cblinfun •V (ket i)

```

```

    for i::'a
    apply (subst classical-operator-ket)
    apply (rule classical-operator-exists-inj)
    by auto
  thus ?thesis
    using equal-ket[where A = classical-operator (Some::'a  $\Rightarrow$  - option)
      and B = id-cblinfun::'a ell2  $\Rightarrow_{CL}$  -]
    by blast
qed

lemma isometry-classical-operator[simp]:
  fixes  $\pi$ ::'a  $\Rightarrow$  'b
  assumes a1: inj  $\pi$ 
  shows isometry (classical-operator (Some o  $\pi$ ))
proof -
  have b0: inj-map (Some o  $\pi$ )
    by (simp add: a1)
  have b0': inj-map (inv-map (Some o  $\pi$ ))
    by simp
  have b1: inv-map (Some o  $\pi$ )  $\circ_m$  (Some o  $\pi$ ) = Some
    apply (rule ext) unfolding inv-map-def o-def
    using assms unfolding inj-def inv-def by auto
  have b3: classical-operator (inv-map (Some o  $\pi$ ))  $\circ_{CL}$ 
    classical-operator (Some o  $\pi$ ) = classical-operator (inv-map (Some o  $\pi$ )
 $\circ_m$  (Some o  $\pi$ ))
    by (metis b0 b0' b1 classical-operator-Some classical-operator-exists-inj
      classical-operator-mult)
  show ?thesis
    unfolding isometry-def
    apply (subst classical-operator-adjoint)
    using b0 by (auto simp add: b1 b3)
qed

lemma unitary-classical-operator[simp]:
  fixes  $\pi$ ::'a  $\Rightarrow$  'b
  assumes a1: bij  $\pi$ 
  shows unitary (classical-operator (Some o  $\pi$ ))
proof (unfold unitary-def, rule conjI)
  have inj  $\pi$ 
    using a1 bij-betw-imp-inj-on by auto
  hence isometry (classical-operator (Some o  $\pi$ ))
    by simp
  hence classical-operator (Some o  $\pi$ )*  $\circ_{CL}$  classical-operator (Some o  $\pi$ ) =
    id-cblinfun
    unfolding isometry-def by simp
  thus  $\langle$ classical-operator (Some o  $\pi$ )*  $\circ_{CL}$  classical-operator (Some o  $\pi$ ) = id-cblinfun $\rangle$ 
    by simp
next
  have inj  $\pi$ 

```

```

  by (simp add: assms bij-is-inj)
have comp: Some  $\circ$   $\pi$   $\circ_m$  inv-map (Some  $\circ$   $\pi$ ) = Some
  apply (rule ext)
  unfolding inv-map-def o-def map-comp-def
  unfolding inv-def apply auto
  apply (metis  $\langle inj \ \pi \rangle$  inv-def inv-f-f)
  using bij-def image-iff range-eqI
  by (metis a1)
have classical-operator (Some  $\circ$   $\pi$ )  $o_{CL}$  classical-operator (Some  $\circ$   $\pi$ )*
  = classical-operator (Some  $\circ$   $\pi$ )  $o_{CL}$  classical-operator (inv-map (Some  $\circ$   $\pi$ ))
  by (simp add:  $\langle inj \ \pi \rangle$ )
also have ... = classical-operator ((Some  $\circ$   $\pi$ )  $\circ_m$  (inv-map (Some  $\circ$   $\pi$ )))
  by (simp add:  $\langle inj \ \pi \rangle$  classical-operator-exists-inj)
also have ... = classical-operator (Some::'b $\Rightarrow$ -)
  using comp
  by simp
also have ... = (id-cblinfun:: 'b  $\ell 2 \Rightarrow_{CL}$  -)
  by simp
finally show classical-operator (Some  $\circ$   $\pi$ )  $o_{CL}$  classical-operator (Some  $\circ$   $\pi$ )*
  = id-cblinfun.
qed

```

**unbundle** no lattice-syntax and no cblinfun-syntax

end

## 15 Extra-Jordan-Normal-Form – Additional results for Jordan\_Normal\_Form

```

theory Extra-Jordan-Normal-Form
  imports
    Jordan-Normal-Form.Matrix Jordan-Normal-Form.Schur-Decomposition
begin

```

We define bundles to activate/deactivate the notation from `Jordan_Normal_Form`.

Reactivate the notation locally via "**includes** jnf-syntax" in a lemma statement. (Or sandwich a declaration using that notation between "**unbundle** jnf-syntax ... **unbundle** no jnf-syntax.")

```

open-bundle jnf-syntax
begin
  notation transpose-mat ( $\langle (-^T) \rangle$  [1000])
  notation cscalar-prod (infix  $\langle \cdot c \rangle$  70)
  notation vec-index (infixl  $\langle \$ \rangle$  100)
  notation smult-vec (infixl  $\langle \cdot_v \rangle$  70)
  notation scalar-prod (infix  $\langle \cdot \rangle$  70)
  notation index-mat (infixl  $\langle \$\$ \rangle$  100)
  notation smult-mat (infixl  $\langle \cdot_m \rangle$  70)
  notation mult-mat-vec (infixl  $\langle *_v \rangle$  70)

```

```

notation pow-mat (infixr  $\langle \hat{\ }_m \rangle$  75)
notation append-vec (infixr  $\langle @_v \rangle$  65)
notation append-rows (infixr  $\langle @_r \rangle$  65)
end

```

```

lemma mat-entry-explicit:
  fixes  $M :: 'a::field\ mat$ 
  assumes  $M \in carrier\_mat\ m\ n$  and  $i < m$  and  $j < n$ 
  shows  $vec\_index\ (M *_v\ unit\_vec\ n\ j)\ i = M\ \$\$ (i,j)$ 
  using assms by auto

```

```

lemma mat-adjoint-def':  $mat\_adjoint\ M = transpose\_mat\ (map\_mat\ conjugate\ M)$ 
  apply (rule mat-eq-iff[THEN iffD2])
  apply (auto simp: mat-adjoint-def transpose-mat-def)
  apply (subst mat-of-rows-index)
  by auto

```

```

lemma mat-adjoint-swap:
  fixes  $M :: complex\ mat$ 
  assumes  $M \in carrier\_mat\ nB\ nA$  and  $iA < dim\_row\ M$  and  $iB < dim\_col\ M$ 
  shows  $(mat\_adjoint\ M)\ \$\$ (iB,iA) = cnj\ (M\ \$\$ (iA,iB))$ 
  unfolding transpose-mat-def map-mat-def
  by (simp add: assms(2) assms(3) mat-adjoint-def')

```

```

lemma cscalar-prod-adjoint:
  fixes  $M :: complex\ mat$ 
  assumes  $M \in carrier\_mat\ nB\ nA$ 
    and  $dim\_vec\ v = nA$ 
    and  $dim\_vec\ u = nB$ 
  shows  $v \cdot c\ ((mat\_adjoint\ M) *_v\ u) = (M *_v\ v) \cdot c\ u$ 
  unfolding mat-adjoint-def using assms(1) assms(2,3)[symmetric]
  apply (simp add: scalar-prod-def sum-distrib-left field-simps)
  by (intro sum.swap)

```

```

lemma scaleC-minus1-left-vec:  $-1 \cdot_v v = -\ v$  for  $v :: ring-1\ vec$ 
  unfolding smult-vec-def uminus-vec-def by auto

```

```

lemma square-nneg-complex:
  fixes  $x :: complex$ 
  assumes  $x \in \mathbb{R}$  shows  $x^2 \geq 0$ 
  apply (cases x) using assms unfolding Reals-def less-eq-complex-def by auto

```

```

definition vec-is-zero  $n\ v = (\forall i < n. v\ \$\ i = 0)$ 

```

```

lemma vec-is-zero:  $dim\_vec\ v = n \implies vec\_is\_zero\ n\ v \longleftrightarrow v = 0_v\ n$ 
  unfolding vec-is-zero-def apply auto
  by (metis index-zero-vec(1))

```

```

fun gram-schmidt-sub0
  where gram-schmidt-sub0 n us [] = us
  | gram-schmidt-sub0 n us (w # ws) =
    (let w' = adjuster n w us + w in
     if vec-is-zero n w' then gram-schmidt-sub0 n us ws
     else gram-schmidt-sub0 n (w' # us) ws)

lemma (in cof-vec-space) adjuster-already-in-span:
  assumes w ∈ carrier-vec n
  assumes us-carrier: set us ⊆ carrier-vec n
  assumes corthogonal us
  assumes w ∈ span (set us)
  shows adjuster n w us + w = 0_v n
proof –
  define v U where v = adjuster n w us + w and U = set us
  have span: v ∈ span U
    unfolding v-def U-def
    apply (rule adjust-preserves-span[THEN iffD1])
    using assms corthogonal-distinct by simp-all
  have v-carrier: v ∈ carrier-vec n
    by (simp add: v-def assms corthogonal-distinct)
  have v • c us!i = 0 if i < length us for i
    unfolding v-def
    apply (rule adjust-zero)
    using that assms by simp-all
  hence v • c u = 0 if u ∈ U for u
    by (metis assms(3) U-def corthogonal-distinct distinct-Ex1 that)
  hence ortho: u • c v = 0 if u ∈ U for u
    apply (subst conjugate-zero-iff[symmetric])
    apply (subst conjugate-vec-sprod-comm)
    using that us-carrier v-carrier apply (auto simp: U-def)[2]
    apply (subst conjugate-conjugate-sprod)
    using that us-carrier v-carrier by (auto simp: U-def)
  from span obtain a where v: lincomb a U = v
    apply atomize-elim apply (rule finite-in-span[simplified])
    unfolding U-def using us-carrier by auto
  have v • c v = (∑ u ∈ U. (a u •_v u) • c v)
    apply (subst v[symmetric])
    unfolding lincomb-def
    apply (subst finsum-scalar-prod-sum)
    using U-def span us-carrier by auto
  also have ... = (∑ u ∈ U. a u * (u • c v))
    using U-def assms(1) in-mono us-carrier v-def by fastforce
  also have ... = (∑ u ∈ U. a u * conjugate 0)
    apply (rule sum.cong, simp)
    using span span-closed U-def us-carrier ortho by auto
  also have ... = 0
    by auto
  finally have v • c v = 0

```

by –  
 thus  $v = 0_v n$   
 using *U-def conjugate-square-eq-0-vec span span-closed us-carrier* by blast  
 qed

**lemma** (in *cof-vec-space*) *gram-schmidt-sub0-result*:  
 assumes *gram-schmidt-sub0*  $n$   $us$   $ws = us'$   
 and  $set\ ws \subseteq carrier\_vec\ n$   
 and  $set\ us \subseteq carrier\_vec\ n$   
 and *distinct*  $us$   
 and  $\sim lin\_dep\ (set\ us)$   
 and *corthogonal*  $us$   
 shows  $set\ us' \subseteq carrier\_vec\ n \wedge$   
      $distinct\ us' \wedge$   
      $corthogonal\ us' \wedge$   
      $span\ (set\ (us\ @\ ws)) = span\ (set\ us')$   
 using *assms*  
**proof** (induct  $ws$  arbitrary:  $us\ us'$ )  
 case (Cons  $w\ ws$ )  
 show ?case  
**proof** (cases  $w \in span\ (set\ us)$ )  
 case False  
 let  $?v = adjuster\ n\ w\ us$   
 have  $wW[simp]: set\ (w\ \# ws) \subseteq carrier\_vec\ n$  using Cons by simp  
 hence  $W[simp]: set\ ws \subseteq carrier\_vec\ n$   
     and  $w[simp]: w : carrier\_vec\ n$  by auto  
 have  $U[simp]: set\ us \subseteq carrier\_vec\ n$  using Cons by simp  
 have  $UW: set\ (us@ws) \subseteq carrier\_vec\ n$  by simp  
 have  $wU: set\ (w\ \# us) \subseteq carrier\_vec\ n$  by simp  
 have *dist-U*: *distinct*  $us$  using Cons by simp  
 have  $w-U: w \notin set\ us$  using False using *span-mem* by auto  
 have  $ind-U: \sim lin\_dep\ (set\ us)$   
     using Cons by simp  
 have  $ind-wU: \sim lin\_dep\ (insert\ w\ (set\ us))$   
     apply (subst *lin-dep-iff-in-span[simplified, symmetric]*)  
     using  $w-U\ ind-U\ False$  by auto  
**thm** *lin-dep-iff-in-span[simplified, symmetric]*  
 have *corth*: *corthogonal*  $us$  using Cons by simp  
 have  $?v + w \neq 0_v n$   
     by (simp add: *False adjust-nonzero dist-U*)  
 hence  $\neg vec\_is\_zero\ n\ (?v + w)$   
     by (simp add: *vec-is-zero*)  
 hence  $U'_{def}: gram\_schmidt\_sub0\ n\ ((?v + w)\ \# us)\ ws = us'$   
     using Cons by simp  
 have  $v: ?v : carrier\_vec\ n$  using *dist-U* by auto  
 hence  $vw: ?v + w : carrier\_vec\ n$  by auto  
 hence  $vwU: set\ ((?v + w)\ \# us) \subseteq carrier\_vec\ n$  by auto  
 have  $vsU: ?v : span\ (set\ us)$

```

    apply (rule adjuster-in-span[OF w])
    using Cons by simp-all
  hence vsUW: ?v : span (set (us @ ws))
    using span-is-monotone[of set us set (us@ws)] by auto
  have wsU: w  $\notin$  span (set us)
    using lin-dep-iff-in-span[OF U ind-U w w-U] ind-wU by auto
  hence vwU: ?v + w  $\notin$  span (set us) using adjust-not-in-span[OF w U dist-U]
  by auto

  have span: ?v + w  $\notin$  span (set us)
    apply (subst span-add[symmetric])
    by (simp-all add: False vsU)
  hence vwUS: ?v + w  $\notin$  set us using span-mem by auto

  have vwU: set ((?v + w) # us)  $\subseteq$  carrier-vec n
    using U w vw by simp
  have dist2: distinct (((?v + w) # us))
    using vwUS
    by (simp add: dist-U)

  have orth2: corthogonal ((adjuster n w us + w) # us)
    using adjust-orthogonal[OF U corth w wsU].

  have ind-vwU:  $\sim$  lin-dep (set ((adjuster n w us + w) # us))
    apply simp
    apply (subst lin-dep-iff-in-span[simplified, symmetric])
    by (simp-all add: ind-U vw vwUS span)

  have span-UwW-U': span (set (us @ w # ws)) = span (set us')
    using Cons(1)[OF U'def W vwU dist2 ind-vwU orth2]
    using span-Un[OF vwU wU gram-schmidt-sub-span[OF w U dist-U] W W
refl]
    by simp

  show ?thesis
    apply (intro conjI)
    using Cons(1)[OF U'def W vwU dist2 ind-vwU orth2] span-UwW-U' by
simp-all
  next
  case True

  let ?v = adjuster n w us
  have ?v + w = 0v n
    apply (rule adjuster-already-in-span)
    using True Cons by auto
  hence vec-is-zero n (?v + w)
    by (simp add: vec-is-zero)
  hence U'-def: us' = gram-schmidt-sub0 n us ws
    using Cons by simp

```

```

have span: span (set (us @ w # ws)) = span (set us')
proof -
  have wU-U: span (set (w # us)) = span (set us)
    apply (subst already-in-span[OF - True, simplified])
    using Cons by auto
  have span (set (us @ w # ws)) = span (set (w # us) ∪ set ws)
    by simp
  also have ... = span (set us ∪ set ws)
    apply (rule span-Un) using wU-U Cons by auto
  also have ... = local.span (set us')
    using Cons U'-def by auto
  finally show ?thesis
    by -
qed
moreover have set us' ⊆ carrier-vec n ∧ distinct us' ∧ corthogonal us'
  unfolding U'-def using Cons by simp
ultimately show ?thesis
  by auto
qed
qed simp

```

This is a variant of *gram-schmidt* that does not require the input vectors  $ws$  to be distinct or linearly independent. (In comparison to *gram-schmidt*, our version also returns the result in reversed order.)

**definition** *gram-schmidt0*  $n$   $ws = \text{gram-schmidt-sub0 } n \ \square \ ws$

**lemma** (in *cof-vec-space*) *gram-schmidt0-result*:

```

fixes ws
defines us' ≡ gram-schmidt0 n ws
assumes ws: set ws ⊆ carrier-vec n
shows set us' ⊆ carrier-vec n      (is ?thesis1)
  and distinct us'                (is ?thesis2)
  and corthogonal us'             (is ?thesis3)
  and span (set ws) = span (set us') (is ?thesis4)
proof -
  have carrier-empty: set [] ⊆ carrier-vec n by auto
  have distinct-empty: distinct [] by simp
  have indep-empty: lin-indpt (set [])
    using basis-def subset-li-is-li unit-vecs-basis by auto
  have ortho-empty: corthogonal [] by auto
  note gram-schmidt-sub0-result' = gram-schmidt-sub0-result
    [OF us'-def[symmetric, THEN meta-eq-to-obj-eq, unfolded gram-schmidt0-def]
ws
    carrier-empty distinct-empty indep-empty ortho-empty]
  thus ?thesis1 ?thesis2 ?thesis3 ?thesis4
    by auto
qed

```

**locale** *complex-vec-space* = *cof-vec-space*  $n$  *TYPE*(*complex*) **for**  $n :: nat$

```

lemma gram-schmidt0-corthogonal:
  assumes a1: corthogonal R
    and a2:  $\bigwedge x. x \in \text{set } R \implies \text{dim-vec } x = d$ 
  shows gram-schmidt0 d R = rev R
proof -
  have gram-schmidt-sub0 d U R = rev R @ U
    if corthogonal ((rev U) @ R)
    and  $\bigwedge x. x \in \text{set } U \cup \text{set } R \implies \text{dim-vec } x = d$  for U
  proof (insert that, induction R arbitrary: U)
    case Nil
    show ?case
      by auto
    next
    case (Cons a R)
    have a ∈ set (rev U @ a # R)
      by simp
    moreover have uar: corthogonal (rev U @ a # R)
      by (simp add: Cons.prem1)
    ultimately have  $\langle a \neq 0_v \ d \rangle$ 
      unfolding corthogonal-def
    by (metis conjugate-zero-vec in-set-conv-nth scalar-prod-right-zero zero-carrier-vec)
    then have nonzero-a:  $\neg \text{vec-is-zero } d \ a$ 
      by (simp add: Cons.prem2 vec-is-zero)
    define T where T = rev U @ a # R
    have T ! length (rev U) = a
      unfolding T-def
      by (meson nth-append-length)
    moreover have  $(T ! i \cdot c \ T ! j = 0) = (i \neq j)$  if  $i < \text{length } T$  and  $j < \text{length } T$ 
  for i j
    using uar that
    unfolding corthogonal-def T-def
    by auto
    moreover have  $\text{length } (\text{rev } U) < \text{length } T$ 
      by (simp add: T-def)
    ultimately have  $(T ! (\text{length } (\text{rev } U)) \cdot c \ T ! j = 0) = (\text{length } (\text{rev } U) \neq j)$ 
  if  $j < \text{length } T$  for j
    using that by blast
    hence  $T ! (\text{length } (\text{rev } U)) \cdot c \ T ! j = 0$ 
      if  $j < \text{length } T$  and  $j \neq \text{length } (\text{rev } U)$  for j
    using that by blast
    hence  $a \cdot c \ T ! j = 0$  if  $j < \text{length } (\text{rev } U)$  for j
      using  $\langle T ! \text{length } (\text{rev } U) = a \rangle$  that(1)
       $\langle \text{length } (\text{rev } U) < \text{length } T \rangle$  dual-order.strict-trans by blast
    moreover have  $T ! j = (\text{rev } U) ! j$  if  $j < \text{length } (\text{rev } U)$  for j
      by (smt T-def  $\langle \text{length } (\text{rev } U) < \text{length } T \rangle$  dual-order.strict-trans list-update-append1
        list-update-id nth-list-update-eq that)
    ultimately have  $a \cdot c \ u = 0$  if  $u \in \text{set } (\text{rev } U)$  for u
      by (metis in-set-conv-nth that)

```

```

hence  $a \cdot c \ u = 0$  if  $u \in \text{set } U$  for  $u$ 
  by (simp add: that)
moreover have  $\bigwedge x. x \in \text{set } U \implies \text{dim-vec } x = d$ 
  by (simp add: Cons.prem(2))
ultimately have  $\text{adjuster } d \ a \ U = 0_v \ d$ 
proof(induction U)
  case Nil
  then show ?case by simp
next
  case (Cons u U)
  moreover have  $0 \cdot_v u + 0_v \ d = 0_v \ d$ 
  proof-
    have  $\text{dim-vec } u = d$ 
    by (simp add: calculation(3))
    thus ?thesis
    by auto
  qed
  ultimately show ?case by auto
qed
hence  $\text{adjuster-a: adjuster } d \ a \ U + a = a$ 
  by (simp add: Cons.prem(2) carrier-vecI)
have  $\text{gram-schmidt-sub0 } d \ U \ (a \ \# \ R) = \text{gram-schmidt-sub0 } d \ (a \ \# \ U) \ R$ 
  by (simp add: adjuster-a nonzero-a)
also have  $\dots = \text{rev } (a \ \# \ R) \ @ \ U$ 
  apply (subst Cons.IH)
  using Cons.prem by simp-all
finally show ?case
  by -
qed
from this[where  $U=[]$ ] show ?thesis
  unfolding gram-schmidt0-def using assms by auto
qed

```

**lemma** *adjuster-carrier'*:

```

assumes  $w: (w :: 'a::\text{conjutable-field vec}) : \text{carrier-vec } n$ 
  and  $us: \text{set } (us :: 'a \text{ vec list}) \subseteq \text{carrier-vec } n$ 
shows  $\text{adjuster } n \ w \ us \in \text{carrier-vec } n$ 
by (insert us, induction us, auto)

```

**lemma** *eq-mat-on-vecI*:

```

fixes  $M \ N :: \langle 'a::\text{field mat} \rangle$ 
assumes  $\text{eq: } \langle \bigwedge v. v \in \text{carrier-vec } nA \implies M *_v v = N *_v v \rangle$ 
assumes  $[\text{simp}]: \langle M \in \text{carrier-mat } nB \ nA \rangle \langle N \in \text{carrier-mat } nB \ nA \rangle$ 
shows  $\langle M = N \rangle$ 
proof (rule eq-matI)
  show  $[\text{simp}]: \langle \text{dim-row } M = \text{dim-row } N \rangle \langle \text{dim-col } M = \text{dim-col } N \rangle$ 
    using assms(2) assms(3) by blast+
  fix  $i \ j$ 
  assume  $[\text{simp}]: \langle i < \text{dim-row } N \rangle \langle j < \text{dim-col } N \rangle$ 

```

```

show ⟨M $$ (i, j) = N $$ (i, j)⟩
  thm mat-entry-explicit[where M=M]
  apply (subst mat-entry-explicit[symmetric])
  using assms apply auto[3]
  apply (subst mat-entry-explicit[symmetric])
  using assms apply auto[3]
  apply (subst eq)
  apply auto using assms(3) unit-vec-carrier by blast
qed

lemma list-of-vec-plus:
  fixes v1 v2 :: ⟨complex vec⟩
  assumes ⟨dim-vec v1 = dim-vec v2⟩
  shows ⟨list-of-vec (v1 + v2) = map2 (+) (list-of-vec v1) (list-of-vec v2)⟩
proof -
  have ⟨i < dim-vec v1 ⟹ (list-of-vec (v1 + v2)) ! i = (map2 (+) (list-of-vec
v1) (list-of-vec v2)) ! i⟩
    for i
    by (simp add: assms)
  thus ?thesis
    by (metis assms index-add-vec(2) length-list-of-vec length-map map-fst-zip
nth-equalityI)
qed

lemma list-of-vec-mult:
  fixes v :: ⟨complex vec⟩
  shows ⟨list-of-vec (c ·v v) = map ((* c) (list-of-vec v)⟩
  by (metis (mono-tags, lifting) index-smult-vec(1) index-smult-vec(2) length-list-of-vec
length-map nth-equalityI nth-list-of-vec nth-map)

lemma map-map-vec-cols: ⟨map (map-vec f) (cols m) = cols (map-mat f m)⟩
  by (simp add: cols-def)

lemma map-vec-conjugate: ⟨map-vec conjugate v = conjugate v⟩
  by fastforce

unbundle no jnf-syntax

end

```

## 16 Cblinfun-Matrix – Matrix representation of bounded operators

```

theory Cblinfun-Matrix
  imports
    Complex-L2

    Jordan-Normal-Form.Gram-Schmidt

```

*HOL—Analysis.Starlike*  
*Complex-Bounded-Operators.Extra-Jordan-Normal-Form*  
**begin**

**hide-const** (**open**) *Order.bottom Order.top*  
**hide-type** (**open**) *Finite-Cartesian-Product.vec*  
**hide-const** (**open**) *Finite-Cartesian-Product.mat*  
**hide-fact** (**open**) *Finite-Cartesian-Product.mat-def*  
**hide-const** (**open**) *Finite-Cartesian-Product.vec*  
**hide-fact** (**open**) *Finite-Cartesian-Product.vec-def*  
**hide-const** (**open**) *Finite-Cartesian-Product.row*  
**hide-fact** (**open**) *Finite-Cartesian-Product.row-def*  
**no-notation** *Finite-Cartesian-Product.vec-nth* (**infixl**  $\langle \$ \rangle$  90)

**unbundle** *jnf-syntax*  
**unbundle** *cblinfun-syntax*

## 16.1 Isomorphism between vectors

We define the canonical isomorphism between vectors in some complex vector space  $'a$  and the complex  $n$ -dimensional vectors (where  $n$  is the dimension of  $'a$ ). This is possible if  $'a$ ,  $'b$  are of class *basis-enum* since that class fixes a finite canonical basis. Vector are represented using the *complex vec* type from *Jordan\_Normal\_Form*. (The isomorphism will be called *vec-of-onb-basis* below.)

**definition** *vec-of-basis-enum* ::  $\langle 'a::\text{basis-enum} \Rightarrow \text{complex vec} \rangle$  **where**  
 — Maps  $v$  to a  $'a$  *vec* represented in basis *canonical-basis*  
 $\langle \text{vec-of-basis-enum } v = \text{vec-of-list } (\text{map } (\text{crepresentation } (\text{set canonical-basis}) v) \text{ canonical-basis}) \rangle$

**lemma** *dim-vec-of-basis-enum*[*simp*]:  
 $\langle \text{dim-vec } (\text{vec-of-basis-enum } (v::'a)) = \text{length } (\text{canonical-basis}::'a::\text{basis-enum list}) \rangle$   
**unfolding** *vec-of-basis-enum-def*  
**by** *simp*

**definition** *basis-enum-of-vec* ::  $\langle \text{complex vec} \Rightarrow 'a::\text{basis-enum} \rangle$  **where**  
 $\langle \text{basis-enum-of-vec } v =$   
 (if  $\text{dim-vec } v = \text{length } (\text{canonical-basis}::'a \text{ list})$   
 then  $\text{sum-list } (\text{map2 } (*_C) (\text{list-of-vec } v) (\text{canonical-basis}::'a \text{ list}))$   
 else 0) $\rangle$

**lemma** *vec-of-basis-enum-inverse*[*simp*]:  
**fixes**  $\psi :: 'a::\text{basis-enum}$   
**shows**  $\text{basis-enum-of-vec } (\text{vec-of-basis-enum } \psi) = \psi$   
**unfolding** *vec-of-basis-enum-def basis-enum-of-vec-def*  
**unfolding** *list-vec zip-map1 zip-same-conv-map map-map*  
**apply** (*simp add: o-def*)  
**apply** (*subst sum.distinct-set-conv-list[symmetric], simp*)

```

apply (rule complex-vector.sum-representation-eq)
using is-generator-set by auto

lemma basis-enum-of-vec-inverse[simp]:
  fixes v :: complex vec
  defines n ≡ length (canonical-basis :: 'a::basis-enum list)
  assumes f1: dim-vec v = n
  shows vec-of-basis-enum ((basis-enum-of-vec v)::'a) = v
proof (rule eq-vecI)
  show ⟨dim-vec (vec-of-basis-enum (basis-enum-of-vec v :: 'a)) = dim-vec v⟩
    by (auto simp: vec-of-basis-enum-def f1 n-def)
next
  fix j assume j-v: ⟨j < dim-vec v⟩
  define w where w = list-of-vec v
  define basis where basis = (canonical-basis::'a list)
  have [simp]: length w = n length basis = n ⟨dim-vec v = n⟩ ⟨length (canonical-basis::'a
list) = n⟩
    ⟨j < n⟩
    using j-v by (auto simp: f1 basis-def w-def n-def)
  have [simp]: ⟨cindependent (set basis)⟩ ⟨cspan (set basis) = UNIV⟩
    by (auto simp: basis-def is-cindependent-set is-generator-set)

  have ⟨vec-of-basis-enum ((basis-enum-of-vec v)::'a) $ j
    = map (crepresentation (set basis) (sum-list (map2 (*C) w basis))) basis ! j⟩
    by (auto simp: vec-of-list-index vec-of-basis-enum-def basis-enum-of-vec-def simp
flip: w-def basis-def)
  also have ⟨... = crepresentation (set basis) (sum-list (map2 (*C) w basis))
(basis!j)⟩
    by simp
  also have ⟨... = crepresentation (set basis) (∑ i<n. (w!i) *C (basis!i)) (basis!j)⟩
    by (auto simp: sum-list-sum-nth atLeast0LessThan)
  also have ⟨... = (∑ i<n. (w!i) *C crepresentation (set basis) (basis!i) (basis!j))⟩
    by (auto simp: complex-vector.representation-sum complex-vector.representation-scale)
  also have ⟨... = w!j⟩
    apply (subst sum-single[where i=j])
    apply (auto simp: complex-vector.representation-basis)
  using ⟨j < n⟩ ⟨length basis = n⟩ basis-def distinct-canonical-basis nth-eq-iff-index-eq
by blast
  also have ⟨... = v $ j⟩
    by (simp add: w-def)
  finally show ⟨vec-of-basis-enum (basis-enum-of-vec v :: 'a) $ j = v $ j⟩
    by -
qed

lemma basis-enum-eq-vec-of-basis-enumI:
  fixes a b :: 'a::basis-enum
  assumes vec-of-basis-enum a = vec-of-basis-enum b
  shows a = b
  by (metis assms vec-of-basis-enum-inverse)

```

**lemma** *vec-of-basis-enum-carrier-vec*[simp]:  $\langle \text{vec-of-basis-enum } v \in \text{carrier-vec } (\text{canonical-basis-length } \text{TYPE}('a)) \rangle$  **for**  $v :: \langle 'a :: \text{basis-enum} \rangle$   
**apply** (*simp only: dim-vec-of-basis-enum' carrier-vec-def vec-of-basis-enum-def*)  
**by** (*simp add: canonical-basis-length*)

**lemma** *vec-of-basis-enum-inj*: *inj vec-of-basis-enum*  
**by** (*simp add: basis-enum-eq-vec-of-basis-enumI injI*)

**lemma** *basis-enum-of-vec-inj*: *inj-on (basis-enum-of-vec :: complex vec  $\Rightarrow$  'a)*  
*(carrier-vec (length (canonical-basis :: 'a::{\text{basis-enum}, \text{complex-normed-vector}} list)))*  
**by** (*metis basis-enum-of-vec-inverse carrier-dim-vec inj-on-inverseI*)

## 16.2 Operations on vectors

**lemma** *basis-enum-of-vec-add*:  
**assumes** [*simp*]:  $\langle \text{dim-vec } v1 = \text{length } (\text{canonical-basis} :: 'a :: \text{basis-enum list}) \rangle$   
 $\langle \text{dim-vec } v2 = \text{length } (\text{canonical-basis} :: 'a \text{ list}) \rangle$   
**shows**  $\langle ((\text{basis-enum-of-vec } (v1 + v2)) :: 'a) = \text{basis-enum-of-vec } v1 + \text{basis-enum-of-vec } v2 \rangle$   
**proof** –  
**have**  $\langle \text{length } (\text{list-of-vec } v1) = \text{length } (\text{list-of-vec } v2) \rangle$  **and**  $\langle \text{length } (\text{list-of-vec } v2) = \text{length } (\text{canonical-basis} :: 'a \text{ list}) \rangle$   
**by** *simp-all*  
**then have**  $\langle \text{sum-list } (\text{map2 } (*_C) (\text{map2 } (+) (\text{list-of-vec } v1) (\text{list-of-vec } v2))) (\text{canonical-basis} :: 'a \text{ list}) \rangle$   
 $= \text{sum-list } (\text{map2 } (*_C) (\text{list-of-vec } v1) \text{ canonical-basis}) + \text{sum-list } (\text{map2 } (*_C) (\text{list-of-vec } v2) \text{ canonical-basis}) \rangle$   
**apply** (*induction rule: list-induct3*)  
**by** (*auto simp: scaleC-add-left*)  
**then show** *?thesis*  
**using** *assms* **by** (*auto simp: basis-enum-of-vec-def list-of-vec-plus*)  
**qed**

**lemma** *basis-enum-of-vec-mult*:  
**assumes** [*simp*]:  $\langle \text{dim-vec } v = \text{length } (\text{canonical-basis} :: 'a :: \text{basis-enum list}) \rangle$   
**shows**  $\langle ((\text{basis-enum-of-vec } (c \cdot_v v)) :: 'a) = c *_C \text{ basis-enum-of-vec } v \rangle$   
**proof** –  
**have**  $*$ :  $\langle \text{monoid-add-hom } ((*_C) c :: 'a \Rightarrow -) \rangle$   
**by** (*simp add: monoid-add-hom-def plus-hom.intro scaleC-add-right semigroup-add-hom.intro zero-hom.intro*)  
**show** *?thesis*  
**apply** (*auto simp: basis-enum-of-vec-def list-of-vec-mult map-zip-map monoid-add-hom.hom-sum-list[OF \*]*)  
**by** (*metis case-prod-unfold comp-apply scaleC-scaleC*)  
**qed**

**lemma** *vec-of-basis-enum-add*:

$\langle \text{vec-of-basis-enum } (a + b) = \text{vec-of-basis-enum } a + \text{vec-of-basis-enum } b \rangle$   
**by** (auto simp: vec-of-basis-enum-def complex-vector.representation-add)

**lemma** vec-of-basis-enum-scaleC:  
 $\text{vec-of-basis-enum } (c *_{\mathbb{C}} b) = c \cdot_v (\text{vec-of-basis-enum } b)$   
**by** (auto simp: vec-of-basis-enum-def complex-vector.representation-scale)

**lemma** vec-of-basis-enum-scaleR:  
 $\text{vec-of-basis-enum } (r *_{\mathbb{R}} b) = \text{complex-of-real } r \cdot_v (\text{vec-of-basis-enum } b)$   
**by** (simp add: scaleR-scaleC vec-of-basis-enum-scaleC)

**lemma** vec-of-basis-enum-uminus:  
 $\text{vec-of-basis-enum } (- b2) = - \text{vec-of-basis-enum } b2$   
**unfolding** scaleC-minus1-left[symmetric, of b2]  
**unfolding** scaleC-minus1-left-vec[symmetric]  
**by** (rule vec-of-basis-enum-scaleC)

**lemma** vec-of-basis-enum-minus:  
 $\text{vec-of-basis-enum } (b1 - b2) = \text{vec-of-basis-enum } b1 - \text{vec-of-basis-enum } b2$   
**by** (metis (mono-tags, opaque-lifting) carrier-vec-dim-vec diff-conv-add-uminus  
 diff-zero index-add-vec(2) minus-add-uminus-vec vec-of-basis-enum-add vec-of-basis-enum-uminus)

**lemma** cinner-basis-enum-of-vec:  
**defines**  $n \equiv \text{length } (\text{canonical-basis} :: 'a::\text{onb-enum list})$   
**assumes** [simp]:  $\text{dim-vec } x = n \text{ dim-vec } y = n$   
**shows**  $(\text{basis-enum-of-vec } x :: 'a) \cdot_{\mathbb{C}} \text{basis-enum-of-vec } y = y \cdot_{\mathbb{C}} x$   
**proof** –  
**have**  $\langle (\text{basis-enum-of-vec } x :: 'a) \cdot_{\mathbb{C}} \text{basis-enum-of-vec } y$   
 $= (\sum_{i < n}. x\$i *_{\mathbb{C}} \text{canonical-basis } ! i :: 'a) \cdot_{\mathbb{C}} (\sum_{i < n}. y\$i *_{\mathbb{C}} \text{canonical-basis}$   
 $! i) \rangle$   
**by** (auto simp: basis-enum-of-vec-def sum-list-sum-nth atLeast0LessThan simp  
 flip: n-def)  
**also have**  $\langle \dots = (\sum_{i < n}. \sum_{j < n}. \text{cnj } (x\$i) *_{\mathbb{C}} y\$j *_{\mathbb{C}} ((\text{canonical-basis } ! i ::$   
 $'a) \cdot_{\mathbb{C}} (\text{canonical-basis } ! j))) \rangle$   
**apply** (subst cinner-sum-left)  
**apply** (subst cinner-sum-right)  
**by** (auto simp: mult-ac)  
**also have**  $\langle \dots = (\sum_{i < n}. \sum_{j < n}. \text{cnj } (x\$i) *_{\mathbb{C}} y\$j *_{\mathbb{C}} (\text{if } i=j \text{ then } 1 \text{ else } 0)) \rangle$   
**apply** (rule sum.cong[OF refl])  
**apply** (rule sum.cong[OF refl])  
**by** (auto simp: cinner-canonical-basis n-def)  
**also have**  $\langle \dots = (\sum_{i < n}. \text{cnj } (x\$i) *_{\mathbb{C}} y\$i) \rangle$   
**apply** (rule sum.cong[OF refl])  
**apply** (subst sum-single)  
**by** auto  
**also have**  $\langle \dots = y \cdot_{\mathbb{C}} x \rangle$   
**by** (smt (z3) assms(2) complex-scaleC-def conjugate-complex-def dim-vec-conjugate  
 lessThan-atLeast0 lessThan-iff mult.commute scalar-prod-def sum.cong vec-index-conjugate)  
**finally show** ?thesis

by –  
qed

**lemma** *cscalar-prod-vec-of-basis-enum*: *cscalar-prod* (*vec-of-basis-enum*  $\varphi$ ) (*vec-of-basis-enum*  $\psi$ ) = *cinner*  $\psi$   $\varphi$   
**for**  $\psi :: 'a::\text{onb-enum}$   
**apply** (*subst cinner-basis-enum-of-vec*[*symmetric*, **where** ' $a='a$ ])  
**by** *simp-all*

**definition**  $\langle \text{norm-vec } \psi = \text{sqrt } (\sum i \in \{0 \dots \dim\text{-vec } \psi\}. \text{let } z = \text{vec-index } \psi \ i \text{ in } (\text{Re } z)^2 + (\text{Im } z)^2) \rangle$

**lemma** *norm-vec-of-basis-enum*:  $\langle \text{norm } \psi = \text{norm-vec } (\text{vec-of-basis-enum } \psi) \rangle$  **for**  $\psi :: 'a::\text{onb-enum}$

**proof** –  
**have**  $\text{norm } \psi = \text{sqrt } (\text{cmod } (\sum i = 0 \dots \dim\text{-vec } (\text{vec-of-basis-enum } \psi). \text{vec-of-basis-enum } \psi \ \$ \ i * \text{conjugate } (\text{vec-of-basis-enum } \psi) \ \$ \ i))$   
**unfolding** *norm-eq-sqrt-cinner*[**where** ' $a='a$ ] *cscalar-prod-vec-of-basis-enum*[*symmetric*]  
*scalar-prod-def dim-vec-conjugate*  
**by** *rule*  
**also have**  $\dots = \text{sqrt } (\text{cmod } (\sum x = 0 \dots \dim\text{-vec } (\text{vec-of-basis-enum } \psi). \text{let } z = \text{vec-of-basis-enum } \psi \ \$ \ x \text{ in } (\text{Re } z)^2 + (\text{Im } z)^2))$   
**apply** (*subst sum.cong*, *rule refl*)  
**apply** (*subst vec-index-conjugate*)  
**by** (*auto simp: Let-def complex-mult-cnj*)  
**also have**  $\dots = \text{norm-vec } (\text{vec-of-basis-enum } \psi)$   
**unfolding** *Let-def norm-of-real norm-vec-def*  
**apply** (*subst abs-of-nonneg*)  
**apply** (*rule sum-nonneg*)  
**by** *auto*  
**finally show** *?thesis*  
**by** –  
qed

**lemma** *basis-enum-of-vec-unit-vec*:  
**defines** *basis*  $\equiv$  (*canonical-basis* :: ' $a::\text{basis-enum list}$ )  
**and**  $n \equiv \text{length } (\text{canonical-basis} :: 'a \text{ list})$   
**assumes**  $a3: i < n$   
**shows** *basis-enum-of-vec* (*unit-vec*  $n \ i$ ) = *basis*! $i$   
**proof** –  
**define**  $L::\text{complex list}$  **where**  $L = \text{list-of-vec } (\text{unit-vec } n \ i)$   
**define**  $I::\text{nat list}$  **where**  $I = [0 \dots n]$   
**have**  $\text{length } L = n$   
**by** (*simp add: L-def*)  
**moreover have**  $\text{length } \text{basis} = n$   
**by** (*simp add: basis-def n-def*)  
**ultimately have**  $\text{map2 } (*_C) \ L \ \text{basis} = \text{map } (\lambda j. L!j *_C \ \text{basis}!j) \ I$   
**by** (*simp add: I-def list-eq-iff-nth-eq*)  
**hence**  $\text{sum-list } (\text{map2 } (*_C) \ L \ \text{basis}) = \text{sum-list } (\text{map } (\lambda j. L!j *_C \ \text{basis}!j) \ I)$

```

    by simp
  also have ... = sum (λj. L!j *C basis!j) {0..n-1}
proof-
  have set I = {0..n-1}
  using I-def a3 by auto
  thus ?thesis
  using Groups-List.sum-code[where xs = I and g = (λj. L!j *C basis!j)]
  by (simp add: I-def)
qed
  also have ... = sum (λj. (list-of-vec (unit-vec n i))!j *C basis!j) {0..n-1}
  unfolding L-def by blast
  finally have sum-list (map2 (*C) (list-of-vec (unit-vec n i)) basis)
    = sum (λj. (list-of-vec (unit-vec n i))!j *C basis!j) {0..n-1}
  using L-def by blast
  also have ... = basis ! i
proof-
  have (∑ j = 0..n - 1. list-of-vec (unit-vec n i) ! j *C basis ! j) =
    (∑ j ∈ {0..n - 1}. list-of-vec (unit-vec n i) ! j *C basis ! j)
  by simp
  also have ... = list-of-vec (unit-vec n i) ! i *C basis ! i
    + (∑ j ∈ {0..n - 1} - {i}. list-of-vec (unit-vec n i) ! j *C basis ! j)
proof-
  define a where a j = list-of-vec (unit-vec n i) ! j *C basis ! j for j
  define S where S = {0..n - 1}
  have finite S
  by (simp add: S-def)
  hence (∑ j ∈ insert i S. a j) = a i + (∑ j ∈ S - {i}. a j)
  using Groups-Big.comm-monoid-add-class.sum.insert-remove
  by auto
  moreover have S - {i} = {0..n-1} - {i}
  unfolding S-def
  by blast
  moreover have insert i S = {0..n-1}
  using S-def Suc-diff-1 a3 atLeastAtMost-iff diff-is-0-eq' le-SucE le-numeral-extra(4)
  less-imp-le not-gr-zero
  by fastforce
  ultimately show ?thesis
  using ⟨a ≡ λj. list-of-vec (unit-vec n i) ! j *C basis ! j⟩
  by simp
qed
  also have ... = list-of-vec (unit-vec n i) ! i *C basis ! i
proof-
  have j ∈ {0..n - 1} - {i} ⟹ list-of-vec (unit-vec n i) ! j = 0
  for j
  using a3 atMost-atLeast0 atMost-iff diff-Suc-less index-unit-vec(1) le-less-trans
  list-of-vec-index zero-le by fastforce
  hence j ∈ {0..n - 1} - {i} ⟹ list-of-vec (unit-vec n i) ! j *C basis ! j = 0
  for j
  by auto

```

hence  $(\sum j \in \{0..n-1\} - \{i\}. \text{list-of-vec } (\text{unit-vec } n \ i) ! j *_C \text{basis} ! j) = 0$   
 by (simp add:  $\langle \bigwedge j. j \in \{0..n-1\} - \{i\} \implies \text{list-of-vec } (\text{unit-vec } n \ i) ! j *_C \text{basis} ! j = 0 \rangle$ )  
 thus ?thesis by simp  
 qed  
 also have  $\dots = \text{basis} ! i$   
 by (simp add: a3)  
 finally show ?thesis  
 using  $\langle (\sum j = 0..n-1. \text{list-of-vec } (\text{unit-vec } n \ i) ! j *_C \text{basis} ! j)$   
 $= \text{list-of-vec } (\text{unit-vec } n \ i) ! i *_C \text{basis} ! i + (\sum j \in \{0..n-1\} - \{i\}. \text{list-of-vec } (\text{unit-vec } n \ i) ! j *_C \text{basis} ! j) \rangle$   
 $\langle \text{list-of-vec } (\text{unit-vec } n \ i) ! i *_C \text{basis} ! i + (\sum j \in \{0..n-1\} - \{i\}. \text{list-of-vec } (\text{unit-vec } n \ i) ! j *_C \text{basis} ! j)$   
 $= \text{list-of-vec } (\text{unit-vec } n \ i) ! i *_C \text{basis} ! i \rangle$   
 $\langle \text{list-of-vec } (\text{unit-vec } n \ i) ! i *_C \text{basis} ! i = \text{basis} ! i \rangle$   
 by auto  
 qed  
 finally have  $\text{sum-list } (\text{map2 } (*_C) (\text{list-of-vec } (\text{unit-vec } n \ i)) \text{basis})$   
 $= \text{basis} ! i$   
 by (simp add: assms)  
 hence  $\text{sum-list } (\text{map2 } \text{scaleC } (\text{list-of-vec } (\text{unit-vec } n \ i)) (\text{canonical-basis}::'a \text{ list}))$   
 $= (\text{canonical-basis}::'a \text{ list}) ! i$   
 by (simp add: assms)  
 thus ?thesis  
 unfolding basis-enum-of-vec-def  
 by (simp add: assms)  
 qed

**lemma** *vec-of-basis-enum-ket*:  
 $\text{vec-of-basis-enum } (\text{ket } i) = \text{unit-vec } (\text{CARD}('a)) (\text{enum-idx } i)$   
 for  $i::'a::\text{enum}$   
**proof**—  
 have  $\text{dim-vec } (\text{vec-of-basis-enum } (\text{ket } i))$   
 $= \text{dim-vec } (\text{unit-vec } (\text{CARD}('a)) (\text{enum-idx } i))$   
**proof**—  
 have  $\text{dim-vec } (\text{unit-vec } (\text{CARD}('a)) (\text{enum-idx } i))$   
 $= \text{CARD}('a)$   
 by simp  
**moreover** have  $\text{dim-vec } (\text{vec-of-basis-enum } (\text{ket } i)) = \text{CARD}('a)$   
 unfolding vec-of-basis-enum-def vec-of-basis-enum-def by auto  
 ultimately show ?thesis by simp  
 qed  
**moreover** have  $\text{vec-of-basis-enum } (\text{ket } i) \$ j =$   
 $(\text{unit-vec } (\text{CARD}('a)) (\text{enum-idx } i)) \$ j$   
 if  $j < \text{dim-vec } (\text{vec-of-basis-enum } (\text{ket } i))$   
 for  $j$   
**proof**—  
 have  $j\text{-bound}: j < \text{length } (\text{canonical-basis}::'a \text{ ell2 list})$   
 by (metis dim-vec-of-basis-enum' that)

```

have y1: cindependent (set (canonical-basis::'a ell2 list))
  using is-cindependent-set by blast
have y2: canonical-basis ! j ∈ set (canonical-basis::'a ell2 list)
  using j-bound by auto
have p1: enum-class.enum ! enum-idx i = i
  using enum-idx-correct by blast
moreover have p2: (canonical-basis::'a ell2 list) ! t = ket ((enum-class.enum::'a
list) ! t)
  if t < length (enum-class.enum::'a list)
  for t
  unfolding canonical-basis-ell2-def
  using that by auto
moreover have p3: enum-idx i < length (enum-class.enum::'a list)
proof-
  have set (enum-class.enum::'a list) = UNIV
    using UNIV-enum by blast
  hence i ∈ set (enum-class.enum::'a list)
    by blast
  thus ?thesis
    unfolding enum-idx-def
    by (metis index-of-bound length-greater-0-conv length-pos-if-in-set)
qed
ultimately have p4: (canonical-basis::'a ell2 list) ! (enum-idx i) = ket i
  by auto
have enum-idx i < length (enum-class.enum::'a list)
  using p3
  by auto
moreover have length (enum-class.enum::'a list) = dim-vec (vec-of-basis-enum
(ket i))
  unfolding vec-of-basis-enum-def canonical-basis-ell2-def
  using dim-vec-of-basis-enum'[where v = ket i]
  unfolding canonical-basis-ell2-def by simp
ultimately have enum-i-dim-vec: enum-idx i < dim-vec (unit-vec (CARD('a))
(enum-idx i))
  using ⟨dim-vec (vec-of-basis-enum (ket i)) = dim-vec (unit-vec (CARD('a))
(enum-idx i))⟩ by auto
  hence r1: (unit-vec (CARD('a)) (enum-idx i)) $ j
    = (if enum-idx i = j then 1 else 0)
  using ⟨dim-vec (vec-of-basis-enum (ket i)) = dim-vec (unit-vec (CARD('a))
(enum-idx i))⟩ that by auto
  moreover have vec-of-basis-enum (ket i) $ j = (if enum-idx i = j then 1 else
0)
proof(cases enum-idx i = j)
case True
  have crepresentation (set (canonical-basis::'a ell2 list))
    ((canonical-basis::'a ell2 list) ! j) ((canonical-basis::'a ell2 list) ! j) = 1
  using y1 y2 complex-vector.representation-basis[where
    basis = set (canonical-basis::'a ell2 list)
    and b = (canonical-basis::'a ell2 list) ! j]

```

```

    by smt

  hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! j) $ j = 1
    unfolding vec-of-basis-enum-def
    by (metis j-bound nth-map vec-of-list-index)
  hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i))
    $ enum-idx i = 1
    using True by simp
  hence vec-of-basis-enum (ket i) $ enum-idx i = 1
    using p4
    by simp
  thus ?thesis using True unfolding vec-of-basis-enum-def by auto
next
case False
have crepresentation (set (canonical-basis::'a ell2 list))
  ((canonical-basis::'a ell2 list) ! (enum-idx i)) ((canonical-basis::'a ell2 list)
! j) = 0
  using y1 y2 complex-vector.representation-basis[where
    basis = set (canonical-basis::'a ell2 list)
    and b = (canonical-basis::'a ell2 list) ! j]
  by (metis (mono-tags, opaque-lifting) False enum-i-dim-vec basis-enum-of-vec-inverse
    basis-enum-of-vec-unit-vec canonical-basis-length-ell2 index-unit-vec(3)
j-bound
    list-of-vec-index list-vec nth-map r1 vec-of-basis-enum-def)
  hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i)) $ j = 0
    unfolding vec-of-basis-enum-def by (smt j-bound nth-map vec-of-list-index)
  hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i)) $ j = 0
    by auto
  hence vec-of-basis-enum (ket i) $ j = 0
    using p4
    by simp
  thus ?thesis using False unfolding vec-of-basis-enum-def by simp
qed
ultimately show ?thesis by auto
qed
ultimately show ?thesis
  using Matrix.eq-vecI
  by auto
qed

```

**lemma** *vec-of-basis-enum-zero*:

```

  defines nA  $\equiv$  length (canonical-basis :: 'a::basis-enum list)
  shows vec-of-basis-enum (0::'a) = 0v nA
  by (metis assms carrier-vecI dim-vec-of-basis-enum' minus-cancel-vec right-minus-eq
vec-of-basis-enum-minus)

```

**lemma** (in *complex-vec-space*) *vec-of-basis-enum-cspan*:

```

  fixes X :: 'a::basis-enum set
  assumes length (canonical-basis :: 'a list) = n

```

```

shows vec-of-basis-enum ' cspan X = span (vec-of-basis-enum ' X)
proof -
  have carrier: vec-of-basis-enum ' X ⊆ carrier-vec n
    by (metis assms carrier-vecI dim-vec-of-basis-enum' image-subsetI)
  have lincomb-sum: lincomb c A = vec-of-basis-enum (∑ b∈B. c' b *C b)
    if B-def: B = basis-enum-of-vec ' A and ⟨finite A⟩
    and AX: A ⊆ vec-of-basis-enum ' X and c'-def: ⋀ b. c' b = c (vec-of-basis-enum
b)
    for c c' A and B::'a set
    unfolding B-def using ⟨finite A⟩ AX
  proof induction
    case empty
    then show ?case
      by (simp add: assms vec-of-basis-enum-zero)
    next
      case (insert x F)
      then have IH: lincomb c F = vec-of-basis-enum (∑ b∈basis-enum-of-vec ' F.
c' b *C b)
        (is - = ?sum)
        by simp
      have xx: vec-of-basis-enum (basis-enum-of-vec x :: 'a) = x
        apply (rule basis-enum-of-vec-inverse)
        using assms carrier carrier-vecD insert.prem by auto
      have lincomb c (insert x F) = c x ·v x + lincomb c F
        apply (rule lincomb-insert2)
        using insert.hyps insert.prem carrier by auto
      also have c x ·v x = vec-of-basis-enum (c' (basis-enum-of-vec x) *C (basis-enum-of-vec
x :: 'a))
        by (simp add: xx vec-of-basis-enum-scaleC c'-def)
      also note IH
      also have
        vec-of-basis-enum (c' (basis-enum-of-vec x) *C (basis-enum-of-vec x :: 'a)) +
?sum
        = vec-of-basis-enum (∑ b∈basis-enum-of-vec ' insert x F. c' b *C b)
        apply simp apply (rule sym)
        apply (subst sum.insert)
        using ⟨finite F⟩ ⟨x ∉ F⟩ dim-vec-of-basis-enum' insert.prem
        vec-of-basis-enum-add c'-def by auto
      finally show ?case
        by -
    qed

show ?thesis
proof auto
  fix x assume x ∈ local.span (vec-of-basis-enum ' X)
  then obtain c A where xA: x = lincomb c A and finite A and AX: A ⊆
vec-of-basis-enum ' X
    unfolding span-def by auto
  define B::'a set and c' where B = basis-enum-of-vec ' A

```

```

    and  $c' b = c \text{ (vec-of-basis-enum } b) \text{ for } b::'a$ 
  note  $xA$ 
  also have  $\text{lincomb } c \ A = \text{vec-of-basis-enum } (\sum b \in B. c' b *_C b)$ 
    using  $B\text{-def } \langle \text{finite } A \rangle \ AX \ c'\text{-def by (rule lincomb-sum)}$ 
  also have  $\dots \in \text{vec-of-basis-enum } 'cspan \ X$ 
    unfolding  $\text{complex-vector.span-explicit}$ 
    apply (rule  $\text{imageI}$ ) apply (rule  $\text{CollectI}$ )
    apply (rule  $\text{exI}$ ) apply (rule  $\text{exI}$ )
    using  $\langle \text{finite } A \rangle \ AX \text{ by (auto simp: } B\text{-def)}$ 
  finally show  $x \in \text{vec-of-basis-enum } 'cspan \ X$ 
    by -
next
  fix  $x$  assume  $x \in cspan \ X$ 
  then obtain  $c' B$  where  $x: x = (\sum b \in B. c' b *_C b)$  and  $\text{finite } B$  and  $BX: B$ 
 $\subseteq X$ 
    unfolding  $\text{complex-vector.span-explicit}$  by auto
  define  $A$  and  $c$  where  $A = \text{vec-of-basis-enum } 'B$ 
    and  $c \ b = c' (basis\text{-enum-of-vec } b) \text{ for } b$ 
  have  $\text{vec-of-basis-enum } x = \text{vec-of-basis-enum } (\sum b \in B. c' b *_C b)$ 
    using  $x$  by  $\text{simp}$ 
  also have  $\dots = \text{lincomb } c \ A$ 
    apply (rule  $\text{lincomb-sum[symmetric]}$ )
    unfolding  $A\text{-def } c\text{-def}$  using  $BX \ \langle \text{finite } B \rangle$ 
    by (auto simp:  $\text{image-image}$ )
  also have  $\dots \in \text{span } (\text{vec-of-basis-enum } 'X)$ 
    unfolding  $\text{span-def}$  apply (rule  $\text{CollectI}$ )
    apply (rule  $\text{exI}$ , rule  $\text{exI}$ )
    unfolding  $A\text{-def}$  using  $\langle \text{finite } B \rangle \ BX$  by auto
  finally show  $\text{vec-of-basis-enum } x \in \text{local.span } (\text{vec-of-basis-enum } 'X)$ 
    by -
qed
qed

lemma (in  $\text{complex-vec-space}$ )  $\text{basis-enum-of-vec-span}$ :
  assumes  $\text{length } (\text{canonical-basis } :: 'a \text{ list}) = n$ 
  assumes  $Y \subseteq \text{carrier-vec } n$ 
  shows  $\text{basis-enum-of-vec } 'local.\text{span } Y = cspan (\text{basis-enum-of-vec } 'Y :: 'a::\text{basis-enum set})$ 
proof -
  define  $X::'a \text{ set}$  where  $X = \text{basis-enum-of-vec } 'Y$ 
  then have  $cspan (\text{basis-enum-of-vec } 'Y :: 'a \text{ set}) = \text{basis-enum-of-vec } 'vec\text{-of-basis-enum } 'cspan \ X$ 
    by (simp add:  $\text{image-image}$ )
  also have  $\dots = \text{basis-enum-of-vec } 'local.\text{span } (\text{vec-of-basis-enum } 'X)$ 
    apply (subst  $\text{vec-of-basis-enum-cspan}$ )
    using  $\text{assms}$  by  $\text{simp-all}$ 
  also have  $\text{vec-of-basis-enum } 'X = Y$ 
    unfolding  $X\text{-def}$   $\text{image-image}$ 
    apply (rule  $\text{image-cong[where } g=id \text{ and } M=Y \text{ and } N=Y, \text{ simplified}]$ )

```

```

    using assms(1) assms(2) by auto
  finally show ?thesis
    by simp
qed

```

```

lemma vec-of-basis-enum-canonical-basis:
  assumes  $i < \text{length } (\text{canonical-basis} :: 'a \text{ list})$ 
  shows  $\text{vec-of-basis-enum } (\text{canonical-basis}!i :: 'a)$ 
    =  $\text{unit-vec } (\text{length } (\text{canonical-basis} :: 'a :: \text{basis-enum list})) i$ 
  by (metis assms basis-enum-of-vec-inverse basis-enum-of-vec-unit-vec index-unit-vec(3))

```

```

lemma vec-of-basis-enum-times:
  fixes  $\psi \varphi :: 'a :: \text{one-dim}$ 
  shows  $\text{vec-of-basis-enum } (\psi * \varphi)$ 
    =  $\text{vec-of-list } [\text{vec-index } (\text{vec-of-basis-enum } \psi) \ 0 * \text{vec-index } (\text{vec-of-basis-enum } \varphi) \ 0]$ 
  proof -
    have [simp]:  $\langle \text{crepresentation } \{1\} \ x \ 1 = \text{one-dim-iso } x \rangle$  for  $x :: 'a$ 
      apply (subst one-dim-scaleC-1[where  $x=x$ , symmetric])
      apply (subst complex-vector.representation-scale)
      by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
    show ?thesis
      apply (rule eq-vecI)
      by (auto simp: vec-of-basis-enum-def)
  qed

```

```

lemma vec-of-basis-enum-to-inverse:
  fixes  $\psi :: 'a :: \text{one-dim}$ 
  shows  $\text{vec-of-basis-enum } (\text{inverse } \psi) = \text{vec-of-list } [\text{inverse } (\text{vec-index } (\text{vec-of-basis-enum } \psi) \ 0)]$ 
  proof -
    have [simp]:  $\langle \text{crepresentation } \{1\} \ x \ 1 = \text{one-dim-iso } x \rangle$  for  $x :: 'a$ 
      apply (subst one-dim-scaleC-1[where  $x=x$ , symmetric])
      apply (subst complex-vector.representation-scale)
      by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
    show ?thesis
      apply (rule eq-vecI)
      apply (auto simp: vec-of-basis-enum-def)
      by (metis complex-vector.scale-cancel-right one-dim-inverse one-dim-scaleC-1 zero-neq-one)
  qed

```

```

lemma vec-of-basis-enum-divide:
  fixes  $\psi \varphi :: 'a :: \text{one-dim}$ 
  shows  $\text{vec-of-basis-enum } (\psi / \varphi)$ 
    =  $\text{vec-of-list } [\text{vec-index } (\text{vec-of-basis-enum } \psi) \ 0 / \text{vec-index } (\text{vec-of-basis-enum } \varphi) \ 0]$ 
  by (simp add: divide-inverse vec-of-basis-enum-to-inverse vec-of-basis-enum-times)

```

```

lemma vec-of-basis-enum-1: vec-of-basis-enum (1 :: 'a::one-dim) = vec-of-list [1]
proof –
  have [simp]:  $\langle \text{crepresentation } \{1\} \ x \ 1 = \text{one-dim-iso } x \rangle$  for  $x :: 'a$ 
    apply (subst one-dim-scaleC-1 [where  $x=x$ , symmetric])
    apply (subst complex-vector.representation-scale)
    by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
  show ?thesis
    apply (rule eq-vecI)
    by (auto simp: vec-of-basis-enum-def)
qed

```

```

lemma vec-of-basis-enum-ell2-component:
  fixes  $\psi :: \langle 'a::\text{enum ell2} \rangle$ 
  assumes [simp]:  $\langle i < \text{CARD}('a) \rangle$ 
  shows  $\langle \text{vec-of-basis-enum } \psi \ \$ \ i = \text{Rep-ell2 } \psi \ (\text{Enum.enum } ! \ i) \rangle$ 
proof –
  let ?i =  $\langle \text{Enum.enum } ! \ i \rangle$ 
  have  $\langle \text{Rep-ell2 } \psi \ (\text{Enum.enum } ! \ i) = \text{ket } ?i \cdot_C \psi \rangle$ 
    by (simp add: cinner-ket-left)
  also have  $\langle \dots = \text{vec-of-basis-enum } \psi \cdot_c \text{vec-of-basis-enum } (\text{ket } ?i :: 'a \ \text{ell2}) \rangle$ 
    by (rule cscalar-prod-vec-of-basis-enum [symmetric])
  also have  $\langle \dots = \text{vec-of-basis-enum } \psi \cdot_c \text{unit-vec } (\text{CARD}('a)) \ i \rangle$ 
    by (simp add: vec-of-basis-enum-ket enum-idx-enum)
  also have  $\langle \dots = \text{vec-of-basis-enum } \psi \cdot \text{unit-vec } (\text{CARD}('a)) \ i \rangle$ 
    by (smt (verit, best) assms carrier-vecI conjugate-conjugate-sprod conjugate-id
conjugate-vec-sprod-comm dim-vec-conjugate eq-vecI index-unit-vec(3) scalar-prod-left-unit
vec-index-conjugate)
  also have  $\langle \dots = \text{vec-of-basis-enum } \psi \ \$ \ i \rangle$ 
    by simp
  finally show ?thesis
    by simp
qed

```

```

lemma corthogonal-vec-of-basis-enum:
  fixes  $S :: 'a::\text{onb-enum list}$ 
  shows corthogonal (map vec-of-basis-enum S)  $\longleftrightarrow$  is-ortho-set (set S)  $\wedge$  distinct S
proof auto
  assume assm:  $\langle \text{corthogonal } (\text{map } \text{vec-of-basis-enum } S) \rangle$ 
  then show  $\langle \text{is-ortho-set } (\text{set } S) \rangle$ 
    by (smt (verit, ccfv-SIG) cinner-eq-zero-iff corthogonal-def cscalar-prod-vec-of-basis-enum
in-set-conv-nth is-ortho-set-def length-map nth-map)
  show  $\langle \text{distinct } S \rangle$ 
    using assm corthogonal-distinct distinct-map by blast
next
  assume  $\langle \text{is-ortho-set } (\text{set } S) \rangle$  and  $\langle \text{distinct } S \rangle$ 
  then show  $\langle \text{corthogonal } (\text{map } \text{vec-of-basis-enum } S) \rangle$ 
    by (smt (verit, ccfv-threshold) cinner-eq-zero-iff corthogonalI cscalar-prod-vec-of-basis-enum)

```

*is-ortho-set-def length-map length-map nth-eq-iff-index-eq nth-map nth-map nth-mem*  
*nth-mem)*  
**qed**

### 16.3 Isomorphism between bounded linear functions and matrices

We define the canonical isomorphism between  $'a \Rightarrow_{CL} 'b$  and the complex  $n * m$ -matrices (where  $n, m$  are the dimensions of  $'a, 'b$ , respectively). This is possible if  $'a, 'b$  are of class *basis-enum* since that class fixes a finite canonical basis. Matrices are represented using the *complex mat* type from *Jordan\_Normal\_Form*. (The isomorphism will be called *mat-of-cblinfun* below.)

**definition** *mat-of-cblinfun* ::  $\langle 'a :: \{ \text{basis-enum}, \text{complex-normed-vector} \} \Rightarrow_{CL} 'b :: \{ \text{basis-enum}, \text{complex-normed-vector} \} \Rightarrow \text{complex mat} \rangle$  **where**  
 $\langle \text{mat-of-cblinfun } f =$   
 $\text{mat } (\text{length } (\text{canonical-basis} :: 'b \text{ list})) (\text{length } (\text{canonical-basis} :: 'a \text{ list})) ($   
 $\lambda (i, j). \text{crepresentation } (\text{set } (\text{canonical-basis} :: 'b \text{ list})) (f *_V ((\text{canonical-basis} :: 'a$   
 $\text{list})!j)) ((\text{canonical-basis} :: 'b \text{ list})!i)) \rangle$   
**for**  $f$

**lift-definition** *cblinfun-of-mat* ::  $\langle \text{complex mat} \Rightarrow 'a :: \{ \text{basis-enum}, \text{complex-normed-vector} \} \Rightarrow_{CL} 'b :: \{ \text{basis-enum}, \text{complex-normed-vector} \} \rangle$  **is**  
 $\langle \lambda M. \text{if } M \in \text{carrier-mat } (\text{length } (\text{canonical-basis} :: 'b \text{ list})) (\text{length } (\text{canonical-basis}$   
 $:: 'a \text{ list}))$   
 $\text{then } \lambda v. \text{basis-enum-of-vec } (M *_v \text{vec-of-basis-enum } v)$   
 $\text{else } (\lambda v. 0) \rangle$

**proof** (*intro bounded-clinear-finite-dim clinearI*)  
**fix**  $M :: \text{complex mat}$   
**define**  $m$  **where**  $m = \text{length } (\text{canonical-basis} :: 'b \text{ list})$   
**define**  $n$  **where**  $n = \text{length } (\text{canonical-basis} :: 'a \text{ list})$   
**define**  $f :: \text{complex mat} \Rightarrow 'a \Rightarrow 'b$   
**where**  $f M = (\text{if } M \in \text{carrier-mat } m \ n$   
 $\text{then } \lambda v. \text{basis-enum-of-vec } (M *_v \text{vec-of-basis-enum } (v :: 'a))$   
 $\text{else } (\lambda v. 0))$   
**for**  $M :: \text{complex mat}$

**show** *add*:  $\langle f M (b1 + b2) = f M b1 + f M b2 \rangle$  **for**  $b1 \ b2$   
**apply** (*auto simp: f-def*)  
**by** (*metis (mono-tags, lifting) carrier-matD(1) carrier-vec-dim-vec dim-mult-mat-vec*  
*dim-vec-of-basis-enum' m-def mult-add-distrib-mat-vec n-def basis-enum-of-vec-add*  
*vec-of-basis-enum-add*)

**show** *scale*:  $\langle f M (c *_C b) = c *_C f M b \rangle$  **for**  $c \ b$   
**apply** (*auto simp: f-def*)  
**by** (*metis carrier-matD(1) carrier-vec-dim-vec dim-mult-mat-vec dim-vec-of-basis-enum'*  
*m-def mult-mat-vec n-def basis-enum-of-vec-mult vec-of-basis-enum-scaleC*)  
**qed**

**lemma** *cblinfun-of-mat-invalid*:

**assumes**  $\langle M \notin \text{carrier-mat } (\text{canonical-basis-length } \text{TYPE}('b::\{\text{basis-enum}, \text{complex-normed-vector}\}))$   
 $(\text{canonical-basis-length } \text{TYPE}('a::\{\text{basis-enum}, \text{complex-normed-vector}\})) \rangle$   
**shows**  $\langle (\text{cblinfun-of-mat } M :: 'a \Rightarrow_{CL} 'b) = 0 \rangle$   
**apply** (transfer fixing:  $M$ )  
**using** *assms* **by** (simp add: canonical-basis-length)

**lemma** *dim-row-mat-of-cblinfun[simp]*:  $\langle \text{dim-row } (\text{mat-of-cblinfun } (a::'a::\{\text{basis-enum}, \text{complex-normed-vector}\})$   
 $\Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\})) = \text{canonical-basis-length } \text{TYPE}('b) \rangle$   
**by** (simp add: mat-of-cblinfun-def canonical-basis-length)

**lemma** *dim-col-mat-of-cblinfun[simp]*:  $\langle \text{dim-col } (\text{mat-of-cblinfun } (a::'a::\{\text{basis-enum}, \text{complex-normed-vector}\})$   
 $\Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\})) = \text{canonical-basis-length } \text{TYPE}('a) \rangle$   
**by** (simp add: mat-of-cblinfun-def canonical-basis-length)

**lemma** *mat-of-cblinfun-ell2-carrier[simp]*:  $\langle \text{mat-of-cblinfun } (a::'a::\{\text{basis-enum}, \text{complex-normed-vector}\})$   
 $\Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\}) \in \text{carrier-mat } (\text{canonical-basis-length } \text{TYPE}('b))$   
 $(\text{canonical-basis-length } \text{TYPE}('a)) \rangle$   
**by** (auto intro!: carrier-matI)

**lemma** *basis-enum-of-vec-cblinfun-apply*:

**fixes**  $M :: \text{complex mat}$   
**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a::\{\text{basis-enum}, \text{complex-normed-vector}\})$   
 $\text{list}$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b::\{\text{basis-enum}, \text{complex-normed-vector}\})$   
 $\text{list}$   
**assumes**  $M \in \text{carrier-mat } nB \ nA$  **and**  $\text{dim-vec } x = nA$   
**shows**  $\text{basis-enum-of-vec } (M *_v x) = (\text{cblinfun-of-mat } M :: 'a \Rightarrow_{CL} 'b) *_V \text{basis-enum-of-vec } x$   
**by** (metis *assms* basis-enum-of-vec-inverse cblinfun-of-mat.rep-eq)

**lemma** *mat-of-cblinfun-cblinfun-apply*:

$\langle \text{vec-of-basis-enum } (F *_V u) = \text{mat-of-cblinfun } F *_v \text{vec-of-basis-enum } u \rangle$   
**for**  $F::'a::\{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\}$   
**and**  $u::'a$   
**proof** (rule eq-vecI)  
**show**  $\langle \text{dim-vec } (\text{vec-of-basis-enum } (F *_V u)) = \text{dim-vec } (\text{mat-of-cblinfun } F *_v \text{vec-of-basis-enum } u) \rangle$   
**by** (simp add: dim-vec-of-basis-enum' mat-of-cblinfun-def)  
**next**  
**fix**  $i$   
**define**  $\text{BasisA}$  **where**  $\text{BasisA} = (\text{canonical-basis}::'a \text{ list})$   
**define**  $\text{BasisB}$  **where**  $\text{BasisB} = (\text{canonical-basis}::'b \text{ list})$   
**define**  $nA$  **where**  $nA = \text{length } (\text{canonical-basis} :: 'a \text{ list})$   
**define**  $nB$  **where**  $nB = \text{length } (\text{canonical-basis} :: 'b \text{ list})$   
**assume**  $\langle i < \text{dim-vec } (\text{mat-of-cblinfun } F *_v \text{vec-of-basis-enum } u) \rangle$   
**then have** [simp]:  $\langle i < nB \rangle$   
**by** (simp add: mat-of-cblinfun-def nB-def)

```

define  $v$  where  $\langle v = \text{BasisB} ! i \rangle$ 

have  $\text{dim-row-}F[\text{simp}]$ :  $\langle \text{dim-row} (\text{mat-of-cblinfun } F) = nB \rangle$ 
  by ( $\text{simp add: mat-of-cblinfun-def } nB\text{-def}$ )
have  $[\text{simp}]$ :  $\langle \text{length BasisB} = nB \rangle$ 
  by ( $\text{simp add: } nB\text{-def BasisB-def}$ )
have  $[\text{simp}]$ :  $\langle \text{length BasisA} = nA \rangle$ 
  using  $\text{BasisA-def } nA\text{-def}$  by  $\text{auto}$ 
have  $[\text{simp}]$ :  $\langle \text{cindependent (set BasisA)} \rangle$ 
  using  $\text{BasisA-def is-cindependent-set}$  by  $\text{auto}$ 
have  $[\text{simp}]$ :  $\langle \text{cindependent (set BasisB)} \rangle$ 
  using  $\text{BasisB-def is-cindependent-set}$  by  $\text{blast}$ 
have  $[\text{simp}]$ :  $\langle \text{cspan (set BasisB)} = \text{UNIV} \rangle$ 
  by ( $\text{simp add: BasisB-def is-generator-set}$ )
have  $[\text{simp}]$ :  $\langle \text{cspan (set BasisA)} = \text{UNIV} \rangle$ 
  by ( $\text{simp add: BasisA-def is-generator-set}$ )

have  $\langle (\text{mat-of-cblinfun } F *_{\mathbf{v}} \text{vec-of-basis-enum } u) \$ i =$ 
   $(\sum j = 0..<nA. \text{row} (\text{mat-of-cblinfun } F) i \$ j * \text{crepresentation (set BasisA)}$ 
 $u (\text{vec-of-list BasisA } \$ j)) \rangle$ 
  using  $\text{dim-row-}F$  by ( $\text{auto simp: mult-mat-vec-def vec-of-basis-enum-def scalar-prod-def}$ 
 $\text{simp flip: BasisA-def}$ )
  also have  $\langle \dots = (\sum j = 0..<nA. \text{crepresentation (set BasisB)} (F *_V \text{BasisA } !$ 
 $j) v$ 
   $* \text{crepresentation (set BasisA)} u (\text{BasisA } ! j)) \rangle$ 
  apply ( $\text{rule sum.cong[OF refl]}$ )
  by ( $\text{auto simp: vec-of-list-index mat-of-cblinfun-def scalar-prod-def v-def simp}$ 
 $\text{flip: BasisA-def BasisB-def}$ )
  also have  $\langle \dots = \text{crepresentation (set BasisB)} (F *_V u) v \rangle$  (is  $\langle (\sum j = ..<-. ?lhs$ 
 $v j) = - \rangle$ )
  proof ( $\text{rule complex-vector.representation-eqI[symmetric, THEN fun-cong]}$ )
    show  $\langle \text{cindependent (set BasisB)} \rangle \langle F *_V u \in \text{cspan (set BasisB)} \rangle$ 
    by  $\text{simp-all}$ 
    show  $\text{only-basis: } \langle (\sum j = 0..<nA. ?lhs b j) \neq 0 \implies b \in \text{set BasisB} \rangle$  for  $b$ 
    by ( $\text{metis (mono-tags, lifting) complex-vector.representation-ne-zero mult-not-zero}$ 
 $\text{sum.not-neutral-contains-not-neutral}$ )
    then show  $\langle \text{finite } \{b. (\sum j = 0..<nA. ?lhs b j) \neq 0\} \rangle$ 
    by ( $\text{smt (z3) List.finite-set finite-subset mem-Collect-eq subsetI}$ )
    have  $\langle (\sum b \mid (\sum j = 0..<nA. ?lhs b j) \neq 0. (\sum j = 0..<nA. ?lhs b j) *_C b) =$ 
 $(\sum b \in \text{set BasisB}. (\sum j = 0..<nA. ?lhs b j) *_C b) \rangle$ 
    apply ( $\text{rule sum.mono-neutral-left}$ )
    using  $\text{only-basis}$  by  $\text{auto}$ 
    also have  $\langle \dots = (\sum b \in \text{set BasisB}. (\sum a \in \text{set BasisA}. \text{crepresentation (set}$ 
 $\text{BasisB)} (F *_V a) b * \text{crepresentation (set BasisA)} u a) *_C b) \rangle$ 
    apply ( $\text{subst sum.reindex-bij-betw}[\text{where } h = \langle \text{nth BasisA} \rangle \text{ and } T = \langle \text{set BasisA} \rangle]$ )
    apply ( $\text{metis BasisA-def } \langle \text{length BasisA} = nA \rangle \text{atLeast0LessThan bij-betw-nth}$ 
 $\text{distinct-canonical-basis}$ )
    by  $\text{simp}$ 

```

```

    also have ⟨... = (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C
(∑ b ∈ set BasisB. crepresentation (set BasisB) (F *V a) b *C b))⟩
    apply (simp add: scaleC-sum-left scaleC-sum-right)
    apply (subst sum.swap)
    by (metis (no-types, lifting) mult.commute sum.cong)
    also have ⟨... = (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C (F *V
a))⟩
    apply (subst complex-vector.sum-representation-eq)
    by auto
    also have ⟨... = F *V (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C
a)⟩
    by (simp flip: cblinfun.scaleC-right cblinfun.sum-right)
    also have ⟨... = F *V u⟩
    apply (subst complex-vector.sum-representation-eq)
    by auto
    finally show ⟨(∑ b | (∑ j = 0..<nA. ?lhs b j) ≠ 0. (∑ j = 0..<nA. ?lhs b j)
*C b) = F *V u⟩
    by auto
  qed
  also have ⟨crepresentation (set BasisB) (F *V u) v = vec-of-basis-enum (F *V
u) $ i⟩
  by (auto simp: vec-of-list-index vec-of-basis-enum-def v-def simp flip: BasisB-def)
  finally show ⟨vec-of-basis-enum (F *V u) $ i = (mat-of-cblinfun F *v vec-of-basis-enum
u) $ i⟩
  by simp
qed

```

**lemma** *mat-of-cblinfun-inverse:*

```

  cblinfun-of-mat (mat-of-cblinfun B) = B
  for B :: 'a :: {basis-enum, complex-normed-vector} ⇒CL 'b :: {basis-enum, complex-normed-vector}
  proof (rule cblinfun-eqI)
    fix x :: 'a define y where ⟨y = vec-of-basis-enum x⟩
    then have ⟨cblinfun-of-mat (mat-of-cblinfun B) *V x = ((cblinfun-of-mat (mat-of-cblinfun
B) :: 'a ⇒CL 'b) *V basis-enum-of-vec y)⟩
    by simp
    also have ⟨... = basis-enum-of-vec (mat-of-cblinfun B *v vec-of-basis-enum
(basis-enum-of-vec y :: 'a))⟩
    apply (transfer fixing: B)
    by (simp add: mat-of-cblinfun-def)
    also have ⟨... = basis-enum-of-vec (vec-of-basis-enum (B *V x))⟩
    by (simp add: mat-of-cblinfun-cblinfun-apply y-def)
    also have ⟨... = B *V x⟩
    by simp
    finally show ⟨cblinfun-of-mat (mat-of-cblinfun B) *V x = B *V x⟩
    by -
  qed

```

**lemma** *mat-of-cblinfun-inj: inj mat-of-cblinfun*

by (metis inj-on-def mat-of-cblinfun-inverse)

**lemma** *cblinfun-of-mat-inverse*:  
**fixes**  $M :: \text{complex mat}$   
**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**assumes**  $M \in \text{carrier-mat } nB \ nA$   
**shows**  $\text{mat-of-cblinfun } (\text{cblinfun-of-mat } M :: 'a \Rightarrow_{CL} 'b) = M$   
**by** (*smt* (*verit*) *assms*( $\mathcal{I}$ ) *basis-enum-of-vec-inverse* *carrier-matD*(1) *carrier-vecD* *cblinfun-of-mat.rep-eq* *dim-mult-mat-vec eq-mat-on-vecI* *mat-carrier* *mat-of-cblinfun-def* *mat-of-cblinfun-cblinfun-apply* *nA-def* *nB-def*)

**lemma** *cblinfun-of-mat-inj*: *inj-on* (*cblinfun-of-mat* :: *complex mat*  $\Rightarrow 'a \Rightarrow_{CL} 'b$ )  
(*carrier-mat* (*length* (*canonical-basis* :: *'b* ::  $\{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list}$ ))  
(*length* (*canonical-basis* :: *'a* ::  $\{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list}$ ))))  
**using** *cblinfun-of-mat-inverse*  
**by** (*metis inj-onI*)

**lemma** *cblinfun-eq-mat-of-cblinfunI*:  
**assumes**  $\text{mat-of-cblinfun } a = \text{mat-of-cblinfun } b$   
**shows**  $a = b$   
**by** (*metis assms mat-of-cblinfun-inverse*)

## 16.4 Operations on matrices

**lemma** *cblinfun-of-mat-plus*:  
**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**assumes** [*simp,intro*]:  $M \in \text{carrier-mat } nB \ nA$  **and** [*simp,intro*]:  $N \in \text{carrier-mat } nB \ nA$   
**shows** (*cblinfun-of-mat* ( $M + N$ ) ::  $'a \Rightarrow_{CL} 'b$ ) = ((*cblinfun-of-mat*  $M$  + *cblinfun-of-mat*  $N$ ))  
**proof** –  
**have** [*simp*]:  $\langle \text{vec-of-basis-enum } (v :: 'a) \in \text{carrier-vec } nA \rangle$  **for**  $v$   
**by** (*auto simp add: carrier-dim-vec dim-vec-of-basis-enum' nA-def*)  
**have** [*simp*]:  $\langle \text{dim-row } M = nB \rangle$   $\langle \text{dim-row } N = nB \rangle$   
**using** *carrier-matD*(1) **by** *auto*  
**show** ?thesis  
**apply** (*transfer fixing: M N*)  
**by** (*auto intro!*: *ext simp add: add-mult-distrib-mat-vec nA-def[symmetric]* *nB-def[symmetric]* *add-mult-distrib-mat-vec* [**where**  $nr=nB$  **and**  $nc=nA$ ] *basis-enum-of-vec-add*)  
**qed**

**lemma** *mat-of-cblinfun-zero*:  
 $\text{mat-of-cblinfun } (0 :: ('a::\{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\}))$   
 $= 0_m (\text{length } (\text{canonical-basis} :: 'b \text{ list})) (\text{length } (\text{canonical-basis} :: 'a \text{ list}))$   
**unfolding** *mat-of-cblinfun-def*  
**by** (*auto simp: complex-vector.representation-zero*)

**lemma** *mat-of-cblinfun-plus*:  
 $\text{mat-of-cblinfun } (F + G) = \text{mat-of-cblinfun } F + \text{mat-of-cblinfun } G$   
**for**  $F G::'a::\{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\}$   
**by** (*auto simp add: mat-of-cblinfun-def cblinfun.add-left complex-vector.representation-add*)

**lemma** *mat-of-cblinfun-id*:  
 $\text{mat-of-cblinfun } (\text{id-cblinfun} :: ('a::\{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'a))$   
 $= 1_m (\text{length } (\text{canonical-basis} :: 'a \text{ list}))$   
**apply** (*rule eq-matI*)  
**by** (*auto simp: mat-of-cblinfun-def complex-vector.representation-basis is-cindependent-set nth-eq-iff-index-eq*)

**lemma** *mat-of-cblinfun-1*:  
 $\text{mat-of-cblinfun } (1 :: ('a::\text{one-dim} \Rightarrow_{CL} 'b::\text{one-dim})) = 1_m 1$   
**apply** (*rule eq-matI*)  
**by** (*auto simp: mat-of-cblinfun-def complex-vector.representation-basis nth-eq-iff-index-eq*)

**lemma** *mat-of-cblinfun-uminus*:  
 $\text{mat-of-cblinfun } (- M) = - \text{mat-of-cblinfun } M$   
**for**  $M::'a::\{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'b::\{\text{basis-enum}, \text{complex-normed-vector}\}$   
**proof** –  
**define**  $nA$  **where**  $nA = \text{length } (\text{canonical-basis} :: 'a \text{ list})$   
**define**  $nB$  **where**  $nB = \text{length } (\text{canonical-basis} :: 'b \text{ list})$   
**have**  $M1: \text{mat-of-cblinfun } M \in \text{carrier-mat } nB \ nA$   
**unfolding**  $nB\text{-def } nA\text{-def}$   
**by** (*metis add.right-neutral add-carrier-mat mat-of-cblinfun-plus mat-of-cblinfun-zero*  
 $nA\text{-def } nB\text{-def zero-carrier-mat}$ )  
**have**  $M2: \text{mat-of-cblinfun } (-M) \in \text{carrier-mat } nB \ nA$   
**by** (*metis add-carrier-mat mat-of-cblinfun-plus mat-of-cblinfun-zero diff-0 nA-def*  
 $nB\text{-def } uminus-add-conv-diff \text{ zero-carrier-mat}$ )  
**have**  $\text{mat-of-cblinfun } (M - M) = 0_m \ nB \ nA$   
**unfolding**  $nA\text{-def } nB\text{-def}$   
**by** (*simp add: mat-of-cblinfun-zero*)  
**moreover have**  $\text{mat-of-cblinfun } (M - M) = \text{mat-of-cblinfun } M + \text{mat-of-cblinfun } (-M)$   
**by** (*metis mat-of-cblinfun-plus pth-2*)  
**ultimately have**  $\text{mat-of-cblinfun } M + \text{mat-of-cblinfun } (-M) = 0_m \ nB \ nA$   
**by** *simp*  
**thus** *?thesis*  
**using**  $M1 \ M2$   
**by** (*smt add-uminus-minus-mat assoc-add-mat comm-add-mat left-add-zero-mat*)

*minus-r-inv-mat*  
*uminus-carrier-mat*)

**qed**

**lemma** *mat-of-cblinfun-minus*:

*mat-of-cblinfun* ( $M - N$ ) = *mat-of-cblinfun*  $M$  - *mat-of-cblinfun*  $N$   
**for**  $M :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{CL} 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\}$   
**and**  $N :: 'a \Rightarrow_{CL} 'b$   
**by** (*smt* (*z3*) *add-uminus-minus-mat mat-of-cblinfun-uminus mat-carrier mat-of-cblinfun-def*  
*mat-of-cblinfun-plus pth-2*)

**lemma** *cblinfun-of-mat-uminus*:

**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**assumes**  $M \in \text{carrier-mat } nB \ nA$   
**shows** (*cblinfun-of-mat* ( $-M$ ) ::  $'a \Rightarrow_{CL} 'b$ ) = - *cblinfun-of-mat*  $M$   
**by** (*smt* *assms add.group-axioms add.right-neutral add-minus-cancel add-uminus-minus-mat*  
*cblinfun-of-mat-plus group.inverse-inverse mat-of-cblinfun-inverse mat-of-cblinfun-zero*  
*minus-r-inv-mat uminus-carrier-mat*)

**lemma** *cblinfun-of-mat-minus*:

**fixes**  $M :: \text{complex mat}$   
**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**assumes**  $M \in \text{carrier-mat } nB \ nA$  **and**  $N \in \text{carrier-mat } nB \ nA$   
**shows** (*cblinfun-of-mat* ( $M - N$ ) ::  $'a \Rightarrow_{CL} 'b$ ) = *cblinfun-of-mat*  $M$  - *cblinfun-of-mat*  $N$   
**by** (*metis* *assms add-uminus-minus-mat cblinfun-of-mat-plus cblinfun-of-mat-uminus*  
*pth-2 uminus-carrier-mat*)

**lemma** *cblinfun-of-mat-times*:

**fixes**  $M \ N :: \text{complex mat}$   
**defines**  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**and**  $nC \equiv \text{length } (\text{canonical-basis} :: 'c :: \{\text{basis-enum}, \text{complex-normed-vector}\} \text{ list})$   
**assumes**  $a1: M \in \text{carrier-mat } nC \ nB$  **and**  $a2: N \in \text{carrier-mat } nB \ nA$   
**shows** *cblinfun-of-mat* ( $M * N$ ) = ((*cblinfun-of-mat*  $M$ ) ::  $'b \Rightarrow_{CL} 'c$ )  $\circ_{CL}$  ((*cblinfun-of-mat*  $N$ ) ::  $'a \Rightarrow_{CL} 'b$ )  
**proof** -  
**have**  $b1: ((\text{cblinfun-of-mat } M) :: 'b \Rightarrow_{CL} 'c) \ v = \text{basis-enum-of-vec } (M *_v \text{vec-of-basis-enum } v)$   
**for**  $v$

```

    by (metis assms(4) cblinfun-of-mat.rep-eq nB-def nC-def)
  have b2: ((cblinfun-of-mat N)::'a  $\Rightarrow_{CL}$  'b) v = basis-enum-of-vec (N *v vec-of-basis-enum
v)
    for v
    by (metis assms(5) cblinfun-of-mat.rep-eq nA-def nB-def)
  have b3: ((cblinfun-of-mat (M * N))::'a  $\Rightarrow_{CL}$  'c) v
    = basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)
    for v
    by (metis assms(4) assms(5) cblinfun-of-mat.rep-eq mult-carrier-mat nA-def
nC-def)
  have (basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)::'c)
    = (basis-enum-of-vec (M *v ( vec-of-basis-enum ( (basis-enum-of-vec (N *v
vec-of-basis-enum v))::'b ))))
    for v::'a
  proof -
    have c1: vec-of-basis-enum (basis-enum-of-vec x :: 'b) = x
      if dim-vec x = nB
      for x::complex vec
      using that unfolding nB-def
      by simp
    have c2: vec-of-basis-enum v  $\in$  carrier-vec nA
      by (metis (mono-tags, opaque-lifting) add commute carrier-vec-dim-vec in-
dex-add-vec(2)
index-zero-vec(2) nA-def vec-of-basis-enum-add basis-enum-of-vec-inverse)
    have (M * N) *v vec-of-basis-enum v = M *v (N *v vec-of-basis-enum v)
      using Matrix.assoc-mult-mat-vec a1 a2 c2 by blast
    hence (basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)::'c)
      = (basis-enum-of-vec (M *v (N *v vec-of-basis-enum v))::'c)
      by simp
    also have ... =
      (basis-enum-of-vec (M *v ( vec-of-basis-enum ( (basis-enum-of-vec (N *v
vec-of-basis-enum v))::'b ))))
      using c1 a2 by auto
    finally show ?thesis by simp
  qed
  thus ?thesis using b1 b2 b3
    by (simp add: cblinfun-eqI scaleC-cblinfun.rep-eq)
qed

```

**lemma** *cblinfun-of-mat-adjoint*:

```

  defines nA  $\equiv$  length (canonical-basis :: 'a::onb-enum list)
    and nB  $\equiv$  length (canonical-basis :: 'b::onb-enum list)
  fixes M:: complex mat
  assumes M  $\in$  carrier-mat nB nA
  shows ((cblinfun-of-mat (mat-adjoint M)) :: 'b  $\Rightarrow_{CL}$  'a) = (cblinfun-of-mat M)*
proof (rule adjoint-eqI)
  show (cblinfun-of-mat (mat-adjoint M) *V x)  $\cdot_C$  y = x  $\cdot_C$  (cblinfun-of-mat M
*V y)
    for x::'b and y::'a

```

**proof—**  
**define**  $u$  **where**  $u = \text{vec-of-basis-enum } x$   
**define**  $v$  **where**  $v = \text{vec-of-basis-enum } y$   
**have**  $c1$ :  $\text{vec-of-basis-enum } ((\text{cblinfun-of-mat } (\text{mat-adjoint } M) *_{\mathcal{V}} x)::'a) =$   
 $(\text{mat-adjoint } M) *_{\mathcal{V}} u$   
**unfolding**  $u\text{-def}$   
**by** (*metis* (*mono-tags*, *lifting*) *assms*(3) *cblinfun-of-mat-inverse* *map-carrier-mat*  
*mat-adjoint-def'* *mat-of-cblinfun-cblinfun-apply*  $nA\text{-def}$   $nB\text{-def}$  *transpose-carrier-mat*)  
**have**  $c2$ :  $(\text{vec-of-basis-enum } ((\text{cblinfun-of-mat } M *_{\mathcal{V}} y)::'b))$   
 $= M *_{\mathcal{V}} v$   
**by** (*metis* *assms*(3) *cblinfun-of-mat-inverse* *mat-of-cblinfun-cblinfun-apply*  
 $nA\text{-def}$   $nB\text{-def}$   $v\text{-def}$ )  
**have**  $c3$ :  $\text{dim-vec } v = nA$   
**unfolding**  $v\text{-def}$   $nA\text{-def}$  *vec-of-basis-enum-def*  
**by** (*simp add:*)  
**have**  $c4$ :  $\text{dim-vec } u = nB$   
**unfolding**  $u\text{-def}$   $nB\text{-def}$  *vec-of-basis-enum-def*  
**by** (*simp add:*)  
**have**  $v \cdot c ((\text{mat-adjoint } M) *_{\mathcal{V}} u) = (M *_{\mathcal{V}} v) \cdot c u$   
**using**  $c3$   $c4$  *cscalar-prod-adjoint* *assms*(3) **by** *blast*  
**hence**  $v \cdot c (\text{vec-of-basis-enum } ((\text{cblinfun-of-mat } (\text{mat-adjoint } M) *_{\mathcal{V}} x)::'a))$   
 $= (\text{vec-of-basis-enum } ((\text{cblinfun-of-mat } M *_{\mathcal{V}} y)::'b)) \cdot c u$   
**using**  $c1$   $c2$  **by** *simp*  
**thus**  $(\text{cblinfun-of-mat } (\text{mat-adjoint } M) *_{\mathcal{V}} x) \cdot_{\mathcal{C}} y = x \cdot_{\mathcal{C}} (\text{cblinfun-of-mat } M$   
 $*_{\mathcal{V}} y)$   
**unfolding**  $u\text{-def}$   $v\text{-def}$   
**by** (*simp add:* *cscalar-prod-vec-of-basis-enum*)  
**qed**  
**qed**

**lemma** *mat-of-cblinfun-compose*:

$\text{mat-of-cblinfun } (F \circ_{\mathcal{C}\mathcal{L}} G) = \text{mat-of-cblinfun } F * \text{mat-of-cblinfun } G$   
**for**  $F :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{\mathcal{C}\mathcal{L}} 'c :: \{\text{basis-enum}, \text{complex-normed-vector}\}$   
**and**  $G :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{\mathcal{C}\mathcal{L}} 'b$   
**by** (*smt* (*verit*, *del-insts*) *cblinfun-of-mat-inverse* *mat-carrier* *mat-of-cblinfun-def*  
*mat-of-cblinfun-inverse* *cblinfun-of-mat-times* *mult-carrier-mat*)

**lemma** *mat-of-cblinfun-scaleC*:

$\text{mat-of-cblinfun } ((a :: \text{complex}) *_{\mathcal{C}} F) = a \cdot_m (\text{mat-of-cblinfun } F)$   
**for**  $F :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\} \Rightarrow_{\mathcal{C}\mathcal{L}} 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\}$   
**by** (*auto* *simp add:* *complex-vector.representation-scale* *mat-of-cblinfun-def*)

**lemma** *mat-of-cblinfun-scaleR*:

$\text{mat-of-cblinfun } ((a :: \text{real}) *_{\mathcal{R}} F) = (\text{complex-of-real } a) \cdot_m (\text{mat-of-cblinfun } F)$   
**unfolding** *scaleR-scaleC* **by** (*rule* *mat-of-cblinfun-scaleC*)

**lemma** *mat-of-cblinfun-adj*:

$\text{mat-of-cblinfun } (F^*) = \text{mat-adjoint } (\text{mat-of-cblinfun } F)$   
**for**  $F :: 'a :: \text{onb-enum} \Rightarrow_{\mathcal{C}\mathcal{L}} 'b :: \text{onb-enum}$

by (metis (no-types, lifting) cblinfun-of-mat-inverse map-carrier-mat mat-adjoint-def' mat-carrier cblinfun-of-mat-adjoint mat-of-cblinfun-def mat-of-cblinfun-inverse transpose-carrier-mat)

**lemma** mat-of-cblinfun-vector-to-cblinfun:

mat-of-cblinfun (vector-to-cblinfun  $\psi$ )  
 = mat-of-cols (length (canonical-basis :: 'a list)) [vec-of-basis-enum  $\psi$ ]  
 for  $\psi :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\}$   
 by (auto simp: mat-of-cols-Cons-index-0 mat-of-cblinfun-def vec-of-basis-enum-def vec-of-list-index)

**lemma** mat-of-cblinfun-proj:

fixes  $a :: 'a :: \text{onb-enum}$   
 defines  $d \equiv \text{length (canonical-basis :: 'a list)}$   
 and  $\text{norm2} \equiv (\text{vec-of-basis-enum } a) \cdot c (\text{vec-of-basis-enum } a)$   
 shows  $\text{mat-of-cblinfun (proj } a) =$   
 $1 / \text{norm2} \cdot_m (\text{mat-of-cols } d [\text{vec-of-basis-enum } a]$   
 $\quad * \text{mat-of-rows } d [\text{conjugate (vec-of-basis-enum } a)])$  (is  $\langle - = ?rhs \rangle$ )  
**proof** (cases  $a = 0$ )  
 case False  
 have  $\text{norm2} : \langle \text{norm2} = (\text{norm } a)^2 \rangle$   
 by (simp add: cscalar-prod-vec-of-basis-enum norm2-def cdot-square-norm[symmetric, simplified])  
 have [simp]:  $\langle \text{vec-of-basis-enum } a \in \text{carrier-vec } d \rangle$   
 by (simp add: carrier-vecI d-def)

have  $\langle \text{mat-of-cblinfun (proj } a) = \text{mat-of-cblinfun (proj (} a /_R \text{norm } a)) \rangle$   
 by (metis (mono-tags, opaque-lifting) ccspan-singleton-scaleC complex-vector.scale-eq-0-iff nonzero-imp-inverse-nonzero norm-eq-zero scaleR-scaleC scale-left-imp-eq)  
 also have  $\langle \dots = \text{mat-of-cblinfun (selfbutter (} a /_R \text{norm } a)) \rangle$   
 apply (subst butterfly-eq-proj)  
 by (auto simp add: False)  
 also have  $\langle \dots = 1 / \text{norm2} \cdot_m \text{mat-of-cblinfun (selfbutter } a) \rangle$   
 apply (simp add: mat-of-cblinfun-scaleC norm2)  
 by (simp add: inverse-eq-divide power2-eq-square)  
 also have  $\langle \dots = 1 / \text{norm2} \cdot_m (\text{mat-of-cblinfun (vector-to-cblinfun } a :: \text{complex} \Rightarrow_{CL} 'a) * \text{mat-adjoint (mat-of-cblinfun (vector-to-cblinfun } a :: \text{complex} \Rightarrow_{CL} 'a))) \rangle$   
 by (simp add: butterfly-def mat-of-cblinfun-compose mat-of-cblinfun-adj)  
 also have  $\langle \dots = ?rhs \rangle$   
 by (simp add: mat-of-cblinfun-vector-to-cblinfun mat-adjoint-def flip: d-def)  
 finally show ?thesis  
 by —

next

case True  
 show ?thesis  
 apply (rule eq-matI)  
 by (auto simp: True mat-of-cblinfun-zero vec-of-basis-enum-zero scalar-prod-def mat-of-rows-index)

*simp flip: d-def)*

**qed**

**lemma** *mat-of-cblinfun-ell2-component:*  
**fixes**  $a :: \langle 'a :: \text{enum } \text{ell2} \Rightarrow_{CL} 'b :: \text{enum } \text{ell2} \rangle$   
**assumes**  $[simp]: \langle i < \text{CARD}('b) \rangle \langle j < \text{CARD}('a) \rangle$   
**shows**  $\langle \text{mat-of-cblinfun } a \ \$\$ (i, j) = \text{Rep-ell2 } (a *_V \text{ket } (\text{Enum.enum } ! j))$   
 $(\text{Enum.enum } ! i) \rangle$   
**proof** –  
**let**  $?i = \langle \text{Enum.enum } ! i \rangle$  **and**  $?j = \langle \text{Enum.enum } ! j \rangle$  **and**  $?aj = \langle a *_V \text{ket } (\text{Enum.enum } ! j) \rangle$   
**have**  $\langle \text{Rep-ell2 } ?aj (\text{Enum.enum } ! i) = \text{vec-of-basis-enum } ?aj \$ i \rangle$   
**by**  $(\text{rule } \text{vec-of-basis-enum-ell2-component}[\text{symmetric}], \text{simp})$   
**also have**  $\langle \dots = (\text{mat-of-cblinfun } a *_v \text{vec-of-basis-enum } (\text{ket } (\text{enum-class.enum } ! j) :: 'a \text{ ell2})) \$ i \rangle$   
**by**  $(\text{simp add: mat-of-cblinfun-cblinfun-apply})$   
**also have**  $\langle \dots = (\text{mat-of-cblinfun } a *_v \text{unit-vec } \text{CARD}('a) j) \$ i \rangle$   
**by**  $(\text{simp add: vec-of-basis-enum-ket enum-idx-enum})$   
**also have**  $\langle \dots = \text{mat-of-cblinfun } a \ \$\$ (i, j) \rangle$   
**apply**  $(\text{subst mat-entry-explicit}[\text{where } m = \langle \text{CARD}('b) \rangle])$   
**by**  $(\text{auto intro!: simp: canonical-basis-length})$   
**finally show**  $?thesis$   
**by auto**

**qed**

**lemma** *cblinfun-of-mat-mat:*  
**shows**  $\langle \text{cblinfun-of-mat } (\text{mat } (\text{CARD}('b)) (\text{CARD}('a)) f) = \text{explicit-cblinfun}$   
 $(\lambda(r :: 'b :: \text{enum}). (c :: 'a :: \text{enum}). f (\text{enum-idx } r, \text{enum-idx } c)) \rangle$   
**apply**  $(\text{intro equal-ket basis-enum-eq-vec-of-basis-enumI})$   
**by**  $(\text{auto intro!: eq-vecI simp: enum-idx-correct enum-idx-enum vec-of-basis-enum-ket}$   
 $\text{mat-of-cblinfun-ell2-component canonical-basis-length cblinfun-of-mat-inverse}$   
 $\text{index-row}$   
 $\text{mat-of-cblinfun-cblinfun-apply})$

**lemma** *mat-of-cblinfun-explicit-cblinfun:*  
**fixes**  $f :: \langle 'a :: \text{enum} \Rightarrow 'b :: \text{enum} \Rightarrow \text{complex} \rangle$   
**shows**  $\langle \text{mat-of-cblinfun } (\text{explicit-cblinfun } f) = \text{mat } (\text{CARD}('a)) (\text{CARD}('b))$   
 $(\lambda(r, c). f (\text{Enum.enum } ! r) (\text{Enum.enum } ! c)) \rangle$   
**by**  $(\text{auto intro!: cblinfun-of-mat-inj}[\text{where } 'a = \langle 'b \text{ ell2} \rangle \text{ and } 'b = \langle 'a \text{ ell2} \rangle, \text{ THEN}$   
 $\text{inj-onD}]$   
 $\text{simp: cblinfun-of-mat-mat canonical-basis-length enum-idx-correct mat-of-cblinfun-inverse})$

**lemma** *mat-of-cblinfun-classical-operator:*  
**fixes**  $f :: 'a :: \text{enum} \Rightarrow 'b :: \text{enum option}$   
**shows**  $\text{mat-of-cblinfun } (\text{classical-operator } f) = \text{mat } (\text{CARD}('b)) (\text{CARD}('a))$   
 $(\lambda(r, c). \text{if } f (\text{Enum.enum } ! c) = \text{Some } (\text{Enum.enum } ! r) \text{ then } 1 \text{ else } 0)$   
**proof** –  
**define**  $nA$  **where**  $nA = \text{CARD}('a)$   
**define**  $nB$  **where**  $nB = \text{CARD}('b)$

```

define BasisA where BasisA = (canonical-basis::'a ell2 list)
define BasisB where BasisB = (canonical-basis::'b ell2 list)
have mat-of-cblinfun (classical-operator f) ∈ carrier-mat nB nA
  by (auto simp: canonical-basis-length nA-def nB-def)
moreover have nA = CARD ('a)
  unfolding nA-def
  by (simp add:)
moreover have nB = CARD ('b)
  unfolding nB-def
  by (simp add:)
ultimately have mat-of-cblinfun (classical-operator f) ∈ carrier-mat (CARD('b))
(CARD('a))
  unfolding nA-def nB-def
  by simp
moreover have (mat-of-cblinfun (classical-operator f))$(r,c)
= (mat (CARD('b)) (CARD('a))
  (λ(r,c). if f (Enum.enum!c) = Some (Enum.enum!r) then 1 else 0))$(r,c)
  if a1: r < CARD('b) and a2: c < CARD('a)
  for r c
proof—
have CARD('a) = length (enum-class.enum::'a list)
  using card-UNIV-length-enum[where 'a = 'a] .
hence x1: BasisA!c = ket ((Enum.enum::'a list)!c)
  unfolding BasisA-def using a2 canonical-basis-ell2-def
  nth-map[where n = c and xs = Enum.enum::'a list and f = ket] by metis
have cardb: CARD('b) = length (enum-class.enum::'b list)
  using card-UNIV-length-enum[where 'a = 'b] .
hence x2: BasisB!r = ket ((Enum.enum::'b list)!r)
  unfolding BasisB-def using a1 canonical-basis-ell2-def
  nth-map[where n = r and xs = Enum.enum::'b list and f = ket] by metis
have inj (map (ket::'b ⇒-))
  by (meson injI ket-injective list.inj-map)
hence length (Enum.enum::'b list) = length (map (ket::'b ⇒-) (Enum.enum::'b
list))
  by simp
hence lengthBasisB: CARD('b) = length BasisB
  unfolding BasisB-def canonical-basis-ell2-def using cardb
  by smt
have v1: (mat-of-cblinfun (classical-operator f))$(r,c) = 0
  if c1: f (Enum.enum!c) = None
proof—
have (classical-operator f) *V ket (Enum.enum!c)
  = (case f (Enum.enum!c) of None ⇒ 0 | Some i ⇒ ket i)
  using classical-operator-ket-finite .
also have ... = 0
  using c1 by simp
finally have (classical-operator f) *V ket (Enum.enum!c) = 0 .
hence *: (classical-operator f) *V BasisA!c = 0
  using x1 by simp

```

```

    hence is-orthogonal (BasisB!r) (classical-operator f *V BasisA!c)
      by simp
    thus ?thesis
      unfolding mat-of-cblinfun-def BasisA-def BasisB-def
        by (smt (verit, del-insts) BasisA-def * a1 a2 canonical-basis-length-ell2
complex-vector.representation-zero index-mat(1) old.prod.case)
    qed
    have v2: (mat-of-cblinfun (classical-operator f))$(r,c) = 0
      if c1: f (Enum.enum!c) = Some (Enum.enum!r') and c2: r ≠ r'
        and c3: r' < CARD('b)
      for r'
    proof-
      have x3: BasisB!r' = ket ((Enum.enum::'b list)!r')
        unfolding BasisB-def using cardb c3 canonical-basis-ell2-def
          nth-map[where n = r' and xs = Enum.enum::'b list and f = ket]
        by smt
      have distinct BasisB
        unfolding BasisB-def
        by simp
      moreover have r < length BasisB
        using a1 lengthBasisB by simp
      moreover have r' < length BasisB
        using c3 lengthBasisB by simp
      ultimately have h1: BasisB!r ≠ BasisB!r'
        using nth-eq-iff-index-eq[where xs = BasisB and i = r and j = r'] c2
        by blast
      have (classical-operator f) *V ket (Enum.enum!c)
        = (case f (Enum.enum!c) of None ⇒ 0 | Some i ⇒ ket i)
        using classical-operator-ket-finite .
      also have ... = ket (Enum.enum!r')
        using c1 by simp
      finally have (classical-operator f) *V ket (Enum.enum!c) = ket (Enum.enum!r')
    .

    hence *: (classical-operator f) *V BasisA!c = BasisB!r'
      using x1 x3 by simp
    moreover have is-orthogonal (BasisB!r) (BasisB!r')
      using h1
      using BasisB-def ⟨r < length BasisB⟩ ⟨r' < length BasisB⟩ is-ortho-set-def
is-orthonormal nth-mem
      by metis
    ultimately have is-orthogonal (BasisB!r) (classical-operator f *V BasisA!c)
      by simp
    thus ?thesis
      unfolding mat-of-cblinfun-def BasisA-def BasisB-def
        by (smt (z3) BasisA-def BasisB-def * ⟨r < length BasisB⟩ ⟨r' < length Ba-
sisB⟩ a2 canonical-basis-length-ell2 case-prod-conv complex-vector.representation-basis
h1 index-mat(1) is-cindependent-set nth-mem)
    qed
    have (mat-of-cblinfun (classical-operator f))$(r,c) = 0

```

```

    if b1: f (Enum.enum!c) ≠ Some (Enum.enum!r)
  proof (cases f (Enum.enum!c) = None)
    case True thus ?thesis using v1 by blast
  next
    case False
    hence ∃ R. f (Enum.enum!c) = Some R
    apply (induction f (Enum.enum!c))
    apply simp
    by simp
  then obtain R where R0: f (Enum.enum!c) = Some R
  by blast
  have R ∈ set (Enum.enum::'b list)
  using UNIV-enum by blast
  hence ∃ r'. R = (Enum.enum::'b list)!r' ∧ r' < length (Enum.enum::'b list)
  by (metis in-set-conv-nth)
  then obtain r' where u1: R = (Enum.enum::'b list)!r'
  and u2: r' < length (Enum.enum::'b list)
  by blast
  have R1: f (Enum.enum!c) = Some (Enum.enum!r')
  using R0 u1 by blast
  have Some ((Enum.enum::'b list)!r') ≠ Some ((Enum.enum::'b list)!r)
  proof(rule classical)
    assume ¬(Some ((Enum.enum::'b list)!r') ≠ Some ((Enum.enum::'b list)!r))
    hence Some ((Enum.enum::'b list)!r') = Some ((Enum.enum::'b list)!r)
    by blast
    hence f (Enum.enum!c) = Some ((Enum.enum::'b list)!r)
    using R1 by auto
    thus ?thesis
    using b1 by blast
  qed
  hence ((Enum.enum::'b list)!r') ≠ ((Enum.enum::'b list)!r)
  by simp
  hence r' ≠ r
  by blast
  moreover have r' < CARD('b)
  using u2 cardb by simp
  ultimately show ?thesis using R1 v2[where r' = r'] by blast
qed
moreover have (mat-of-cblinfun (classical-operator f))$(r,c) = 1
  if b1: f (Enum.enum!c) = Some (Enum.enum!r)
proof-
  have CARD('b) = length (enum-class.enum::'b list)
  using card-UNIV-length-enum[where 'a = 'b].
  hence length (map (ket::'b⇒-) enum-class.enum) = CARD('b)
  by simp
  hence w0: map (ket::'b⇒-) enum-class.enum ! r = ket (enum-class.enum !
r)
  by (simp add: a1)
  have CARD('a) = length (enum-class.enum::'a list)

```

```

    using card-UNIV-length-enum[where 'a = 'a].
  hence length (map (ket::'a⇒-) enum-class.enum) = CARD('a)
    by simp
  hence map (ket::'a⇒-) enum-class.enum ! c = ket (enum-class.enum ! c)
    by (simp add: a2)
  hence (classical-operator f) *V (BasisA!c) = (classical-operator f) *V (ket
(Enum.enum!c))
    unfolding BasisA-def canonical-basis-ell2-def by simp
  also have ... = (case f (enum-class.enum ! c) of None ⇒ 0 | Some x ⇒ ket
x)
    by (rule classical-operator-ket-finite)
  also have ... = BasisB!r
    using w0 b1 by (simp add: BasisB-def canonical-basis-ell2-def)
  finally have w1: (classical-operator f) *V (BasisA!c) = BasisB!r
    by simp
  have (mat-of-cblinfun (classical-operator f))$(r,c)
    = (BasisB!r) •C (classical-operator f *V (BasisA!c))
    unfolding BasisB-def BasisA-def mat-of-cblinfun-def
    using ⟨nA = CARD('a)⟩ ⟨nB = CARD('b)⟩ a1 a2 nA-def nB-def apply
auto
  by (metis BasisA-def BasisB-def canonical-basis-length-ell2 cinner-canonical-basis
complex-vector.representation-basis is-cindependent-set nth-mem w1)
  also have ... = (BasisB!r) •C (BasisB!r)
    using w1 by simp
  also have ... = 1
    unfolding BasisB-def
    using ⟨nB = CARD('b)⟩ a1 nB-def
    by (simp add: cinner-canonical-basis)
  finally show ?thesis by blast
qed
ultimately show ?thesis
  by (simp add: a1 a2)
qed
ultimately show ?thesis
  apply (rule-tac eq-matI) by auto
qed

```

**lemma** *mat-of-cblinfun-sandwich:*

```

  fixes a :: (-::onb-enum, -::onb-enum) cblinfun
  shows ⟨mat-of-cblinfun (sandwich a *V b) = (let a' = mat-of-cblinfun a in a' *
mat-of-cblinfun b * mat-adjoint a')⟩
  by (simp add: mat-of-cblinfun-compose sandwich-apply Let-def mat-of-cblinfun-adj)

```

**lemma** *mat-of-cblinfun-one-dim-iso:*

```

  ⟨mat-of-cblinfun (one-dim-iso f :: 'a::one-dim⇒CL 'b::one-dim) = mat-of-rows-list
1 [[one-dim-iso f]]⟩
proof -

```

```

define  $c :: \text{complex}$  where  $\langle c = \text{one-dim-iso } f \rangle$ 
have  $\langle \text{mat-of-cblinfun } (\text{one-dim-iso } f :: 'a \Rightarrow_{CL} 'b) = \text{mat-of-cblinfun } (c *_C 1 :: 'a \Rightarrow_{CL} 'b) \rangle$ 
by (simp add: c-def)
also have  $\langle \dots = \text{smult-mat } c (\text{mat-of-cblinfun } (1 :: 'a \Rightarrow_{CL} 'b)) \rangle$ 
using mat-of-cblinfun-scaleC by blast
also have  $\langle \dots = \text{smult-mat } c (1_m 1) \rangle$ 
by (simp add: mat-of-cblinfun-1)
also have  $\langle \dots = \text{mat-of-rows-list } 1 \ [[c]] \rangle$ 
by (auto simp: mat-of-rows-list-def)
finally show ?thesis
by (simp add: c-def)
qed

```

```

lemma mat-of-cblinfun-times:
  fixes  $F G :: \langle 'a :: \text{one-dim} \Rightarrow_{CL} 'b :: \text{one-dim} \rangle$ 
  shows  $\langle \text{mat-of-cblinfun } (F * G) = \text{mat-of-rows-list } 1 \ [[(\text{one-dim-iso } F) * (\text{one-dim-iso } G)]] \rangle$ 
proof –
  have  $\langle \text{mat-of-cblinfun } (F * G) = \text{mat-of-cblinfun } (\text{one-dim-iso } (\text{one-dim-iso } F * \text{one-dim-iso } G :: \text{complex}) :: 'a \Rightarrow_{CL} 'b) \rangle$ 
  by (metis id-apply mat-of-cblinfun-one-dim-iso one-dim-iso-id one-dim-iso-times)
  also have  $\langle \dots = \text{mat-of-rows-list } 1 \ [[\text{one-dim-iso } (\text{one-dim-iso } F * \text{one-dim-iso } G :: \text{complex})]] \rangle$ 
  using mat-of-cblinfun-one-dim-iso by blast
  also have  $\langle \dots = \text{mat-of-rows-list } 1 \ [[\text{one-dim-iso } F * \text{one-dim-iso } G :: \text{complex}]] \rangle$ 
  by simp
  finally show ?thesis
  by –
qed

```

## 16.5 Operations on subspaces

```

lemma ccspan-gram-schmidt0-invariant:
  defines  $\text{basis-enum} \equiv (\text{basis-enum-of-vec} :: - \Rightarrow 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\})$ 
  defines  $n \equiv \text{length } (\text{canonical-basis} :: 'a \text{ list})$ 
  assumes  $\text{set } ws \subseteq \text{carrier-vec } n$ 
  shows  $\text{ccspan } (\text{set } (\text{map } \text{basis-enum } (\text{gram-schmidt0 } n \ ws))) = \text{ccspan } (\text{set } (\text{map } \text{basis-enum } ws))$ 
proof (transfer fixing: n ws basis-enum)
  interpret complex-vec-space.
  define  $gs$  where  $gs = \text{gram-schmidt0 } n \ ws$ 
  have  $\text{closure } (\text{cspan } (\text{set } (\text{map } \text{basis-enum } gs)))$ 
     $= \text{cspan } (\text{set } (\text{map } \text{basis-enum } gs))$ 
  apply (rule closure-finite-cspan)
  by simp
  also have  $\dots = \text{cspan } (\text{basis-enum } ' \text{ set } gs)$ 
  by simp

```

```

also have ... = basis-enum ' span (set gs)
  unfolding basis-enum-def
  apply (rule basis-enum-of-vec-span[symmetric])
  using n-def apply simp
  by (simp add: assms(3) cof-vec-space.gram-schmidt0-result(1) gs-def)
also have ... = basis-enum ' span (set ws)
  unfolding gs-def
  apply (subst gram-schmidt0-result(4)[where ws=ws, symmetric])
  using assms by auto
also have ... = cspan (basis-enum ' set ws)
  unfolding basis-enum-def
  apply (rule basis-enum-of-vec-span)
  using n-def apply simp
  by (simp add: assms(3))
also have ... = cspan (set (map basis-enum ws))
  by simp
also have ... = closure (cspan (set (map basis-enum ws)))
  apply (rule closure-finite-cspan[symmetric])
  by simp
finally show closure (cspan (set (map basis-enum gs)))
  = closure (cspan (set (map basis-enum ws))).
qed

```

**definition** *is-subspace-of-vec-list*  $n$   $vs$   $ws =$   
 $(let\ ws' = gram-schmidt0\ n\ ws\ in$   
 $\forall v \in set\ vs.\ adjuster\ n\ v\ ws' = -\ v)$

**lemma** *ccspan-leq-using-vec*:  
**fixes**  $A\ B :: \langle 'a :: \{basis-enum, complex-normed-vector\}\ list \rangle$   
**shows**  $\langle (ccspan\ (set\ A) \leq ccspan\ (set\ B)) \longleftrightarrow$   
 $is-subspace-of-vec-list\ (length\ (canonical-basis :: 'a\ list))$   
 $(map\ vec-of-basis-enum\ A)\ (map\ vec-of-basis-enum\ B) \rangle$   
**proof** –  
**define**  $d\ Av\ Bv\ Bo$   
**where**  $d = length\ (canonical-basis :: 'a\ list)$   
**and**  $Av = map\ vec-of-basis-enum\ A$   
**and**  $Bv = map\ vec-of-basis-enum\ B$   
**and**  $Bo = gram-schmidt0\ d\ Bv$   
**interpret** *complex-vec-space*  $d$ .

```

have Av-carrier: set Av  $\subseteq$  carrier-vec d
  unfolding Av-def apply auto
  by (simp add: carrier-vecI d-def dim-vec-of-basis-enum')
have Bv-carrier: set Bv  $\subseteq$  carrier-vec d
  unfolding Bv-def apply auto
  by (simp add: carrier-vecI d-def dim-vec-of-basis-enum')
have Bo-carrier: set Bo  $\subseteq$  carrier-vec d
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(1))

```

```

have orth-Bo: corthogonal Bo
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(3))
have distinct-Bo: distinct Bo
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(2))

have ccspan (set A) ≤ ccspan (set B) ↔ cspan (set A) ⊆ cspan (set B)
  apply (transfer fixing: A B)
  apply (subst closure-finite-cspan, simp)
  by (subst closure-finite-cspan, simp-all)
also have ... ↔ span (set Av) ⊆ span (set Bv)
  apply (simp add: Av-def Bv-def)
  apply (subst vec-of-basis-enum-cspan[symmetric], simp add: d-def)
  apply (subst vec-of-basis-enum-cspan[symmetric], simp add: d-def)
  by (metis inj-image-subset-iff inj-on-def vec-of-basis-enum-inverse)
also have ... ↔ span (set Av) ⊆ span (set Bo)
  unfolding Bo-def Av-def Bv-def
  apply (subst gram-schmidt0-result(4)[symmetric])
  by (simp-all add: carrier-dim-vec d-def dim-vec-of-basis-enum' image-subset-iff)
also have ... ↔ (∀ v ∈ set Av. adjuster d v Bo = - v)
proof (intro iffI ballI)
  fix v assume v ∈ set Av and span (set Av) ⊆ span (set Bo)
  then have v ∈ span (set Bo)
    using Av-carrier span-mem by auto
  have adjuster d v Bo + v = 0_v d
    apply (rule adjuster-already-in-span)
    using Av-carrier ⟨v ∈ set Av⟩ Bo-carrier orth-Bo
    ⟨v ∈ span (set Bo)⟩ by simp-all
  then show adjuster d v Bo = - v
    using Av-carrier Bo-carrier ⟨v ∈ set Av⟩
    by (metis (no-types, lifting) add.inv-equality adjuster-carrier' local.vec-neg
subsetD)
next
  fix v
  assume adj-minusv: ∀ v ∈ set Av. adjuster d v Bo = - v
  have *: adjuster d v Bo ∈ span (set Bo) if v ∈ set Av for v
    apply (rule adjuster-in-span)
    using Bo-carrier that Av-carrier distinct-Bo by auto
  have v ∈ span (set Bo) if v ∈ set Av for v
    using *[OF that] adj-minusv[rule-format, OF that]
    apply auto
    by (metis (no-types, lifting) Av-carrier Bo-carrier adjust-nonzero distinct-Bo
subsetD that uminus-l-inv-vec)
  then show span (set Av) ⊆ span (set Bo)
    apply auto
    by (meson Bo-carrier in-mono span-subsetI subsetI)
qed
also have ... = is-subspace-of-vec-list d Av Bv

```



```

    then have  $0 \neq (s /_R \text{norm } s) \cdot_C (s /_R \text{norm } s)$ 
      by simp
    also have  $\langle (s /_R \text{norm } s) \cdot_C (s /_R \text{norm } s) = (s /_R \text{norm } s) \cdot_C (s' /_R \text{norm } s') \rangle$ 
      by (simp add: same)
    also have  $\langle (s /_R \text{norm } s) \cdot_C (s' /_R \text{norm } s') = (s \cdot_C s') / (\text{norm } s * \text{norm } s') \rangle$ 
      by (simp add: scaleR-scaleC divide-inverse-commute)
    also from  $\langle s' \in \text{set } S \rangle \langle s \neq s' \rangle$  have  $\dots = 0$ 
      using Cons.premis unfolding is-ortho-set-def by simp
    finally show False
      by simp
  qed
then show ?case
  using IH by simp
qed

have norm-Snorm:  $\text{norm } s = 1$  if  $s \in \text{set } S$  norm for  $s$ 
  using that ortho unfolding Snorm-def is-ortho-set-def apply auto
  by (metis left-inverse norm-eq-zero)

have ortho-Snorm: is-ortho-set (set Snorm)
  unfolding is-ortho-set-def
proof (intro conjI ballI impI)
  fix  $x \ y$ 
  show  $0 \notin \text{set } S$  norm
    using norm-Snorm[of 0] by auto
  assume  $x \in \text{set } S$  norm and  $y \in \text{set } S$  norm and  $x \neq y$ 
  from  $\langle x \in \text{set } S \rangle$ 
  obtain  $x'$  where  $x = x' /_R \text{norm } x'$  and  $x': x' \in \text{set } S$ 
    unfolding Snorm-def by auto
  from  $\langle y \in \text{set } S \rangle$ 
  obtain  $y'$  where  $y = y' /_R \text{norm } y'$  and  $y': y' \in \text{set } S$ 
    unfolding Snorm-def by auto
  from  $\langle x \neq y \rangle$   $x \ y$  have  $\langle x' \neq y' \rangle$  by auto
  with  $x' \ y'$  ortho have  $\text{cinner } x' \ y' = 0$ 
    unfolding is-ortho-set-def by auto
  then show  $\text{cinner } x \ y = 0$ 
    unfolding  $x \ y$  scaleR-scaleC by auto
qed

have inj-butter: inj-on selfbutter (set Snorm)
proof (rule inj-onI)
  fix  $x \ y$ 
  assume  $x \in \text{set } S$  norm and  $y \in \text{set } S$  norm
  assume selfbutter  $x = \text{selfbutter } y$ 
  then obtain  $c$  where  $xcy: x = c *_C y$  and  $c \text{ mod } c = 1$ 
    using inj-selfbutter-upto-phase by auto
  have  $0 \neq c \text{ mod } (\text{cinner } x \ x)$ 

```

```

    using ⟨ $x \in \text{set } S_{\text{norm}}$ ⟩  $\text{norm-}S_{\text{norm}}$ 
    by force
  also have  $\text{cmod } (\text{cinner } x \ x) = \text{cmod } (c * (x \cdot_C y))$ 
    apply (subst (2)  $xcy$ ) by simp
  also have  $\dots = \text{cmod } (x \cdot_C y)$ 
    using ⟨ $\text{cmod } c = 1$ ⟩ by (simp add:  $\text{norm-mult}$ )
  finally have  $(x \cdot_C y) \neq 0$ 
    by simp
  then show  $x = y$ 
    using  $\text{ortho-}S_{\text{norm}}$  ⟨ $x \in \text{set } S_{\text{norm}}$ ⟩ ⟨ $y \in \text{set } S_{\text{norm}}$ ⟩
    unfolding  $\text{is-ortho-set-def}$  by auto
qed

from ⟨ $\text{distinct } S_{\text{norm}}$ ⟩  $\text{inj-butter}$ 
have  $\text{distinct}'$ :  $\text{distinct } (\text{map selfbutter } S_{\text{norm}})$ 
  unfolding  $\text{distinct-map}$  by simp

have  $\text{Span-}S_{\text{norm}}$ :  $\text{ccspan } (\text{set } S_{\text{norm}}) = \text{ccspan } (\text{set } S)$ 
  apply (transfer fixing:  $S_{\text{norm}} \ S$ )
  apply (simp add:  $\text{scaleR-scaleC } S_{\text{norm-def}}$ )
  apply (subst  $\text{complex-vector.span-image-scale}$ )
  using  $\text{is-ortho-set-def ortho}$  by fastforce+

have  $\text{mk-projector-orthog } d \ (\text{map vec-of-basis-enum } S)$ 
  =  $\text{mat-of-cblinfun } (\text{sum-list } (\text{map selfbutter } S_{\text{norm}}))$ 
  unfolding  $S_{\text{norm-def}}$ 
proof (induction  $S$ )
  case Nil
  show ?case
    by (simp add:  $d\text{-def mat-of-cblinfun-zero}$ )
  next
  case (Cons  $a \ S$ )
  define  $\text{sumS}$  where  $\text{sumS} = \text{sum-list } (\text{map selfbutter } (\text{map } (\lambda s. s /_R \text{norm } s) S))$ 
  with Cons have  $\text{IH}$ :  $\text{mk-projector-orthog } d \ (\text{map vec-of-basis-enum } S)$ 
    =  $\text{mat-of-cblinfun } \text{sumS}$ 
  by simp

  define  $\text{factor}$  where  $\text{factor} = \text{inverse } ((\text{complex-of-real } (\text{norm } a))^2)$ 
  have  $\text{factor}'$ :  $\text{factor} = 1 / (\text{vec-of-basis-enum } a \cdot_C \text{vec-of-basis-enum } a)$ 
    unfolding  $\text{factor-def cscalar-prod-vec-of-basis-enum}$ 
    by (simp add:  $\text{inverse-eq-divide power2-norm-eq-cinner}$ )

  have  $\text{mk-projector-orthog } d \ (\text{map vec-of-basis-enum } (a \# S))$ 
    =  $\text{factor} \cdot_m (\text{mat-of-cols } d \ [\text{vec-of-basis-enum } a]$ 
      *  $\text{mat-of-rows } d \ [\text{conjugate } (\text{vec-of-basis-enum } a)])$ 
      +  $\text{mat-of-cblinfun } \text{sumS}$ 
  apply (cases  $S$ )
  apply (auto simp add:  $\text{factor}' \ \text{sumS-def } d\text{-def mat-of-cblinfun-zero}$ )[1]

```

```

    by (auto simp add: IH[symmetric] factor' d-def)

  also have ... = factor ·m (mat-of-cols d [vec-of-basis-enum a] *
    mat-adjoint (mat-of-cols d [vec-of-basis-enum a])) + mat-of-cblinfun sumS
  apply (rule arg-cong[where f=λx. - ·m (- * x) + -])
  apply (rule mat-eq-iff[THEN iffD2])
  apply (auto simp add: mat-adjoint-def)
  apply (subst mat-of-rows-index) apply auto
  apply (subst mat-of-rows-index) apply auto
  apply (subst mat-of-cols-index) apply auto
  by (simp add: assms(1) dim-vec-of-basis-enum')
  also have ... = mat-of-cblinfun (selfbutter (a /R norm a)) + mat-of-cblinfun
sumS
    apply (simp add: butterfly-scaleR-left butterfly-scaleR-right power-inverse
mat-of-cblinfun-scaleR factor-def)
    apply (simp add: butterfly-def mat-of-cblinfun-compose
mat-of-cblinfun-adj mat-of-cblinfun-vector-to-cblinfun d-def)
  by (simp add: mat-of-cblinfun-compose mat-of-cblinfun-adj mat-of-cblinfun-vector-to-cblinfun
mat-of-cblinfun-scaleC power2-eq-square)
  finally show ?case
    by (simp add: mat-of-cblinfun-plus sumS-def)
qed
also have ... = mat-of-cblinfun (∑ s∈set Snorm. selfbutter s)
  by (metis distinct' distinct-map sum.distinct-set-conv-list)
also have ... = mat-of-cblinfun (∑ s∈set Snorm. proj s)
  apply (rule arg-cong[where f=mat-of-cblinfun])
  apply (rule sum.cong[OF refl])
  apply (rule butterfly-eq-proj)
  using norm-Snorm by simp
also have ... = mat-of-cblinfun (Proj (ccspan (set Snorm)))
  apply (rule arg-cong[where f=mat-of-cblinfun])
  using ortho-Snorm ‹distinct Snorm› apply (induction Snorm)
  apply auto
  apply (subst Proj-orthog-ccspan-insert[where Y=‹set -›])
  by (auto simp: is-ortho-set-def)
also have ... = mat-of-cblinfun (Proj (ccspan (set S)))
  unfolding Span-Snorm by simp
  finally show ?thesis
    by -
qed

definition ‹mk-projector d vs = mk-projector-orthog d (gram-schmidt0 d vs)›

lemma mat-of-cblinfun-Proj-ccspan:
  fixes S :: ‹'a::onb-enum list›
  shows ‹mat-of-cblinfun (Proj (ccspan (set S))) = mk-projector (length (canonical-basis
:: 'a list)) (map vec-of-basis-enum S)›
proof -
  define d gs

```

```

    where d = length (canonical-basis :: 'a list)
    and gs = gram-schmidt0 d (map vec-of-basis-enum S)
  interpret complex-vec-space d.
  have gs-dim:  $x \in \text{set } gs \implies \text{dim-vec } x = d$  for x
  by (smt carrier-vecD carrier-vec-dim-vec d-def dim-vec-of-basis-enum' ex-map-conv
gram-schmidt0-result(1) gs-def subset-code(1))
  have ortho-gs: is-ortho-set (set (map basis-enum-of-vec gs :: 'a list))
  apply (subst corthogonal-vec-of-basis-enum[THEN iffD1], auto)
  by (smt carrier-dim-vec cof-vec-space.gram-schmidt0-result(1) d-def dim-vec-of-basis-enum'
gram-schmidt0-result(3) gs-def imageE map-idI map-map o-apply set-map subset-code(1)
basis-enum-of-vec-inverse)
  have distinct-gs: distinct (map basis-enum-of-vec gs :: 'a list)
  by (metis (mono-tags, opaque-lifting) carrier-vec-dim-vec cof-vec-space.gram-schmidt0-result(2)
d-def dim-vec-of-basis-enum' distinct-map gs-def gs-dim image-iff inj-on-inverseI
set-map subsetI basis-enum-of-vec-inverse)

  have mk-projector-orthog d gs
    = mk-projector-orthog d (map vec-of-basis-enum (map basis-enum-of-vec gs ::
'a list))
  apply simp
  apply (subst map-cong[where ys=gs and g=id], simp)
  using gs-dim by (auto intro!: vec-of-basis-enum-inverse simp: d-def)
  also have ... = mat-of-cblinfun (Proj (ccspan (set (map basis-enum-of-vec gs ::
'a list))))
  unfolding d-def
  apply (subst mk-projector-orthog-correct)
  using ortho-gs distinct-gs by auto
  also have ... = mat-of-cblinfun (Proj (ccspan (set S)))
  apply (rule arg-cong[where f= $\lambda x.$  mat-of-cblinfun (Proj x)])
  unfolding gs-def d-def
  apply (subst ccspan-gram-schmidt0-invariant)
  by (auto simp add: carrier-vecI dim-vec-of-basis-enum')
  finally show ?thesis
  by (simp add: d-def gs-def mk-projector-def)
qed

unbundle no jnf-syntax and no cblinfun-syntax

end

```

## 17 Cblinfun-Code – Support for code generation

This theory provides support for code generation involving on complex vector spaces and bounded operators (e.g., types *cblinfun* and *ell2*). To fully support code generation, in addition to importing this theory, one need to activate support for code generation (import theory *Jordan-Normal-Form.Matrix-Impl*) and for real and complex numbers (import theory *Real-Impl.Real-Impl* for support of reals of the form  $a + b * \text{sqrt } c$  or *Algebraic-Numbers.Real-Factorization*

(much slower) for support of algebraic reals; support of complex numbers comes "for free").

The builtin support for real and complex numbers (in *Complex-Main*) is not sufficient because it does not support the computation of square-roots which are used in the setup below.

It is also recommended to import *HOL-Library.Code-Target-Numeral* for faster support of nats and integers.

```
theory Cblinfun-Code
imports
  Cblinfun-Matrix Containers.Set-Impl Jordan-Normal-Form.Matrix-Kernel
begin

no-notation Lattice.meet (infixl  $\langle \sqcap_1 \rangle$  70)
no-notation Lattice.join (infixl  $\langle \sqcup_1 \rangle$  65)
hide-const (open) Coset.kernel
hide-const (open) Matrix-Kernel.kernel
hide-const (open) Order.bottom Order.top

unbundle lattice-syntax
unbundle jnf-syntax
unbundle cblinfun-syntax
```

## 17.1 Code equations for cblinfun operators

In this subsection, we define the code for all operations involving only operators (no combinations of operators/vectors/subspaces)

The following lemma registers cblinfun as an abstract datatype with constructor *cblinfun-of-mat*. That means that in generated code, all cblinfun operators will be represented as *cblinfun-of-mat X* where X is a matrix. In code equations for operations involving operators (e.g., +), we can then write the equation directly in terms of matrices by writing, e.g., *mat-of-cblinfun (A + B)* in the lhs, and in the rhs we define the matrix that corresponds to the sum of A,B. In the rhs, we can access the matrices corresponding to A,B by writing *mat-of-cblinfun B*. (See, e.g., lemma *mat-of-cblinfun-plus*.)

See [2] for more information on [code abstype].

```
declare mat-of-cblinfun-inverse [code abstype]
```

```
declare mat-of-cblinfun-plus[code]
  — Code equation for addition of cblinfuns
```

```
declare mat-of-cblinfun-id[code]
  — Code equation for computing the identity operator
```

```
declare mat-of-cblinfun-1[code]
  — Code equation for computing the one-dimensional identity
```

**declare** *mat-of-cblinfun-zero*[*code*]  
— Code equation for computing the zero operator

**declare** *mat-of-cblinfun-uminus*[*code*]  
— Code equation for computing the unary minus on cblinfun's

**declare** *mat-of-cblinfun-minus*[*code*]  
— Code equation for computing the difference of cblinfun's

**declare** *mat-of-cblinfun-classical-operator*[*code*]  
— Code equation for computing the "classical operator"

**declare** *mat-of-cblinfun-explicit-cblinfun*[*code*]  
— Code equation for computing the *explicit-cblinfun*

**declare** *mat-of-cblinfun-compose*[*code*]  
— Code equation for computing the composition/product of cblinfun's

**declare** *mat-of-cblinfun-scaleC*[*code*]  
— Code equation for multiplication with complex scalar

**declare** *mat-of-cblinfun-scaleR*[*code*]  
— Code equation for multiplication with real scalar

**declare** *mat-of-cblinfun-adj*[*code*]  
— Code equation for computing the adj

This instantiation defines a code equation for equality tests for cblinfun.

**instantiation** *cblinfun* :: (*onb-enum*, *onb-enum*) *equal* **begin**  
**definition** [*code*]: *equal-cblinfun* *M N*  $\longleftrightarrow$  *mat-of-cblinfun* *M* = *mat-of-cblinfun* *N*  
  
**for** *M N* :: '*a*  $\Rightarrow_{CL}$  'b  
**instance**  
**apply** *intro-classes*  
**unfolding** *equal-cblinfun-def*  
**using** *mat-of-cblinfun-inj injD* **by** *fastforce*  
**end**

## 17.2 Vectors

In this section, we define code for operations on vectors. As with operators above, we do this by using an isomorphism between finite vectors (i.e., types *T* of sort *complex-vector*) and the type *complex vec* from *Jordan\_Normal\_Form*. We have developed such an isomorphism in theory *Cblinfun-Matrix* for any type *T* of sort *onb-enum* (i.e., any type with a

finite canonical orthonormal basis) as was done above for bounded operators. Unfortunately, we cannot declare code equations for a type class, code equations must be related to a specific type constructor. So we give code definition only for vectors of type *'a ell2* (where *'a* must be of sort *enum* to make make sure that *'a ell2* is finite dimensional).

The isomorphism between *'a ell2* is given by the constants *ell2-of-vec* and *vec-of-ell2* which are copies of the more general *basis-enum-of-vec* and *vec-of-basis-enum* but with a more restricted type to be usable in our code equations.

**definition** *ell2-of-vec* :: *complex vec*  $\Rightarrow$  *'a::enum ell2* **where** *ell2-of-vec* = *basis-enum-of-vec*

**definition** *vec-of-ell2* :: *'a::enum ell2*  $\Rightarrow$  *complex vec* **where** *vec-of-ell2* = *vec-of-basis-enum*

The following theorem registers the isomorphism *ell2-of-vec/vec-of-ell2* for code generation. From now on, code for operations on *- ell2* can be expressed by declarations such as *vec-of-ell2 (f a b) = g (vec-of-ell2 a) (vec-of-ell2 b)* if the operation *f* on *- ell2* corresponds to the operation *g* on *complex vec*.

**lemma** *vec-of-ell2-inverse* [*code abstype*]:

*ell2-of-vec (vec-of-ell2 B) = B*

**unfolding** *ell2-of-vec-def vec-of-ell2-def*

**by** (*rule vec-of-basis-enum-inverse*)

This instantiation defines a code equation for equality tests for *ell2*.

**instantiation** *ell2* :: (*enum*) *equal* **begin**

**definition** [*code*]: *equal-ell2 M N*  $\longleftrightarrow$  *vec-of-ell2 M = vec-of-ell2 N*

**for** *M N* :: *'a::enum ell2*

**instance**

**apply** *intro-classes*

**unfolding** *equal-ell2-def*

**by** (*metis vec-of-ell2-inverse*)

**end**

**lemma** *vec-of-ell2-carrier-vec*[*simp*]:  $\langle \text{vec-of-ell2 } v \in \text{carrier-vec CARD('a)} \rangle$  **for** *v* :: *'a::enum ell2*

**using** *vec-of-basis-enum-carrier-vec*[*of v*]

**apply** (*simp only: canonical-basis-length canonical-basis-length-ell2*)

**by** (*simp add: vec-of-ell2-def*)

**lemma** *vec-of-ell2-zero*[*code*]:

— Code equation for computing the zero vector

*vec-of-ell2 (0::'a::enum ell2) = zero-vec (CARD('a))*

**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-zero*)

**lemma** *vec-of-ell2-ket*[*code*]:

— Code equation for computing a standard basis vector

*vec-of-ell2 (ket i) = unit-vec (CARD('a)) (enum-idx i)*

**for** *i::'a::enum*

**using** *vec-of-ell2-def vec-of-basis-enum-ket* **by** *metis*

**lemma** *vec-of-ell2-scaleC*[code]:

— Code equation for multiplying a vector with a complex scalar

*vec-of-ell2* (*scaleC* *a* *ψ*) = *smult-vec* *a* (*vec-of-ell2* *ψ*)

**for** *ψ* :: 'a::enum *ell2*

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-scaleC*)

**lemma** *vec-of-ell2-scaleR*[code]:

— Code equation for multiplying a vector with a real scalar

*vec-of-ell2* (*scaleR* *a* *ψ*) = *smult-vec* (*complex-of-real* *a*) (*vec-of-ell2* *ψ*)

**for** *ψ* :: 'a::enum *ell2*

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-scaleR*)

**lemma** *ell2-of-vec-plus*[code]:

— Code equation for adding vectors

*vec-of-ell2* (*x* + *y*) = (*vec-of-ell2* *x*) + (*vec-of-ell2* *y*) **for** *x y* :: 'a::enum *ell2*

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-add*)

**lemma** *ell2-of-vec-minus*[code]:

— Code equation for subtracting vectors

*vec-of-ell2* (*x* − *y*) = (*vec-of-ell2* *x*) − (*vec-of-ell2* *y*) **for** *x y* :: 'a::enum *ell2*

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-minus*)

**lemma** *ell2-of-vec-uminus*[code]:

— Code equation for negating a vector

*vec-of-ell2* (− *y*) = − (*vec-of-ell2* *y*) **for** *y* :: 'a::enum *ell2*

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-uminus*)

**lemma** *cinner-ell2-code* [code]: *cinner* *ψ* *φ* = *cscalar-prod* (*vec-of-ell2* *φ*) (*vec-of-ell2* *ψ*)

— Code equation for the inner product of vectors

**by** (*simp* *add*: *cscalar-prod-vec-of-basis-enum* *vec-of-ell2-def*)

**lemma** *norm-ell2-code* [code]:

— Code equation for the norm of a vector

*norm* *ψ* = *norm-vec* (*vec-of-ell2* *ψ*)

**by** (*simp* *add*: *norm-vec-of-basis-enum* *vec-of-ell2-def*)

**lemma** *times-ell2-code*[code]:

— Code equation for the product in the algebra of one-dimensional vectors

**fixes** *ψ φ* :: 'a::{*CARD-1*,enum} *ell2*

**shows** *vec-of-ell2* (*ψ* \* *φ*)

= *vec-of-list* [*vec-index* (*vec-of-ell2* *ψ*) 0 \* *vec-index* (*vec-of-ell2* *φ*) 0]

**by** (*simp* *add*: *vec-of-ell2-def* *vec-of-basis-enum-times*)

**lemma** *divide-ell2-code*[code]:

— Code equation for the product in the algebra of one-dimensional vectors

**fixes** *ψ φ* :: 'a::{*CARD-1*,enum} *ell2*

**shows** *vec-of-ell2* (*ψ* / *φ*)

$= \text{vec-of-list } [\text{vec-index } (\text{vec-of-ell2 } \psi) \ 0 \ / \ \text{vec-index } (\text{vec-of-ell2 } \varphi) \ 0]$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-divide*)

**lemma** *inverse-ell2-code*[code]:

— Code equation for the product in the algebra of one-dimensional vectors

**fixes**  $\psi :: 'a :: \{CARD-1, enum\} \ \text{ell2}$

**shows** *vec-of-ell2* (*inverse*  $\psi$ )

$= \text{vec-of-list } [\text{inverse } (\text{vec-index } (\text{vec-of-ell2 } \psi) \ 0)]$

**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-to-inverse*)

**lemma** *one-ell2-code*[code]:

— Code equation for the unit in the algebra of one-dimensional vectors

*vec-of-ell2* ( $1 :: 'a :: \{CARD-1, enum\} \ \text{ell2}$ )  $= \text{vec-of-list } [1]$

**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-1*)

### 17.3 Vector/Matrix

We proceed to give code equations for operations involving both operators (*cblinfun*) and vectors. As explained above, we have to restrict the equations to vectors of type  $'a \ \text{ell2}$  even though the theory is available for any type of class *onb-enum*. As a consequence, we run into an additional technicality now. For example, to define a code equation for applying an operator to a vector, we might try to give the following lemma:

**lemma** *cblinfun-apply-ell2*[code]: *vec-of-ell2* ( $M \ *_V \ x$ )  $= (\text{mult-mat-vec } (\text{mat-of-cblinfun } M) \ (\text{vec-of-ell2 } x))$  **by** (*simp add: mat-of-cblinfun-cblinfun-apply vec-of-ell2-def*)

Unfortunately, this does not work, Isabelle produces the warning "Projection as head in equation", most likely due to the fact that the type of  $(*_V)$  in the equation is less general than the type of  $(*_V)$  (it is restricted to *ell2*). We overcome this problem by defining a constant *cblinfun-apply-ell2* which is equal to  $(*_V)$  but has a more restricted type. We then instruct the code generation to replace occurrences of  $(*_V)$  by *cblinfun-apply-ell2* (where possible), and we add code generation for *cblinfun-apply-ell2* instead of  $(*_V)$ .

**definition** *cblinfun-apply-ell2*  $:: 'a \ \text{ell2} \Rightarrow_{CL} 'b \ \text{ell2} \Rightarrow 'a \ \text{ell2} \Rightarrow 'b \ \text{ell2}$

**where** [*code del*, *code-abbrev*]: *cblinfun-apply-ell2*  $= (*_V)$

— *code-abbrev* instructs the code generation to replace the rhs  $(*_V)$  by the lhs *cblinfun-apply-ell2* before starting the actual code generation.

**lemma** *cblinfun-apply-ell2*[code]:

— Code equation for *cblinfun-apply-ell2*, i.e., for applying an operator to an *ell2* vector

*vec-of-ell2* (*cblinfun-apply-ell2*  $M \ x$ )  $= (\text{mult-mat-vec } (\text{mat-of-cblinfun } M) \ (\text{vec-of-ell2 } x))$

**by** (*simp add: cblinfun-apply-ell2-def mat-of-cblinfun-cblinfun-apply vec-of-ell2-def*)

For the constant *vector-to-cblinfun* (canonical isomorphism from vectors to operators), we have the same problem and define a constant *vector-to-cblinfun-code*

with more restricted type

**definition** *vector-to-cblinfun-code* :: 'a ell2  $\Rightarrow$  'b::one-dim  $\Rightarrow_{CL}$  'a ell2 **where**  
 [code del,code-abbrev]: *vector-to-cblinfun-code* = *vector-to-cblinfun*  
 — *code-abbrev* instructs the code generation to replace the rhs *vector-to-cblinfun* by the lhs *vector-to-cblinfun-code* before starting the actual code generation.

**lemma** *vector-to-cblinfun-code*[code]:  
 — Code equation for translating a vector into an operation (single-column matrix)  
 $\text{mat-of-cblinfun } (\text{vector-to-cblinfun-code } \psi) = \text{mat-of-cols } (\text{CARD('a)}) [\text{vec-of-ell2 } \psi]$   
**for**  $\psi :: 'a :: \text{enum ell2}$   
**by** (*simp add: mat-of-cblinfun-vector-to-cblinfun vec-of-ell2-def vector-to-cblinfun-code-def*)

**definition** *butterfly-code* :: 'a ell2  $\Rightarrow$  'b ell2  $\Rightarrow$  'b ell2  $\Rightarrow_{CL}$  'a ell2

**where** [code del, code-abbrev]: *butterfly-code* = *butterfly*

**lemma** *butterfly-code*[code]:  $\langle \text{mat-of-cblinfun } (\text{butterfly-code } s \ t) = \text{mat-of-cols } (\text{CARD('a)}) [\text{vec-of-ell2 } s] * \text{mat-of-rows } (\text{CARD('b)}) [\text{map-vec } \text{cnj } (\text{vec-of-ell2 } t)] \rangle$   
**for**  $s :: 'a :: \text{enum ell2}$  **and**  $t :: 'b :: \text{enum ell2}$   
**by** (*simp add: butterfly-code-def butterfly-def vector-to-cblinfun-code mat-of-cblinfun-compose mat-of-cblinfun-adj mat-adjoint-def map-map-vec-cols flip: vector-to-cblinfun-code-def map-vec-conjugate[abs-def]*)

## 17.4 Subspaces

In this section, we define code equations for handling subspaces, i.e., values of type 'a *ccsubspace*. We choose to computationally represent a subspace by a list of vectors that span the subspace. That is, if *vecs* are vectors (type *complex vec*), *SPAN vecs* is defined to be their span. Then the code generation can simply represent all subspaces in this form, and we need to define the operations on subspaces in terms of list of vectors (e.g., the closed union of two subspaces would be computed as the concatenation of the two lists, to give one of the simplest examples).

To support this, *SPAN* is declared as a "code-datatype". (Not as an abstract datatype like *cblinfun-of-mat/mat-of-cblinfun* because that would require *SPAN* to be injective.)

Then all code equations for different operations need to be formulated as functions of values of the form *SPAN x*. (E.g., *SPAN x* + *SPAN y* = *SPAN (...)*.)

**definition** [code del]: *SPAN x* = (*let n = length (canonical-basis :: 'a::onb-enum list)* in

*ccspan (basis-enum-of-vec ' Set.filter ( $\lambda v. \text{dim-vec } v = n$ ) (set x)) :: 'a ccsubspace*)

— The *SPAN* of vectors *x*, as a *ccsubspace*. We filter out vectors of the wrong dimension because *SPAN* needs to have well-defined behavior even in cases that would not actually occur in an execution.

**code-datatype** *SPAN*

We first declare code equations for *Proj*, i.e., for turning a subspace into a projector. This means, we would need a code equation of the form *mat-of-cblinfun* (*Proj* (*SPAN S*)) = .... However, this equation is not accepted by the code generation for reasons we do not understand. But if we define an auxiliary constant *mat-of-cblinfun-Proj-code* that stands for *mat-of-cblinfun* (*Proj -*), define a code equation for *mat-of-cblinfun-Proj-code*, and then define a code equation for *mat-of-cblinfun* (*Proj S*) in terms of *mat-of-cblinfun-Proj-code*, Isabelle accepts the code equations.

**definition** *mat-of-cblinfun-Proj-code* *S* = *mat-of-cblinfun* (*Proj S*)

**declare** *mat-of-cblinfun-Proj-code-def*[*symmetric, code*]

**lemma** *mat-of-cblinfun-Proj-code-code*[*code*]:

— Code equation for computing a projector onto a set *S* of vectors. We first make the vectors *S* into an orthonormal basis using the Gram-Schmidt procedure and then compute the projector as the sum of the "butterflies"  $x * x^*$  of the vectors  $x \in S$  (done by *mk-projector*).

*mat-of-cblinfun-Proj-code* (*SPAN S* :: '*a*::onb-enum ccspace) =  
(let *d* = length (canonical-basis :: '*a* list) in *mk-projector d* (filter ( $\lambda v. \text{dim-vec } v = d$ ) *S*))

**proof** —

**have** \*: *map-option vec-of-basis-enum* (if *dim-vec x* = length (canonical-basis :: '*a* list) then *Some* (basis-enum-of-vec *x* :: '*a*) else *None*)

= (if *dim-vec x* = length (canonical-basis :: '*a* list) then *Some x* else *None*)

**for** *x*

**by** *auto*

**show** ?*thesis*

**unfolding** *SPAN-def mat-of-cblinfun-Proj-code-def*

**using** *mat-of-cblinfun-Proj-ccspan*[**where** *S* =

*map basis-enum-of-vec* (filter ( $\lambda v. \text{dim-vec } v = (\text{length } (\text{canonical-basis} :: '*a* \text{list}))$ ) *S*) :: '*a* list]

**apply** (*simp only: Let-def map-filter-map-filter filter-set image-set map-map-filter o-def*)

**unfolding** \*

**by** (*simp add: map-filter-map-filter[symmetric]*)

**qed**

**lemma** *top-ccspace-code*[*code*]:

— Code equation for  $\top$ , the subspace containing everything. *Top* is represented as the span of the standard basis vectors.

(*top*::'*a* ccspace) =

(let *n* = length (canonical-basis :: '*a*::onb-enum list) in *SPAN* (*unit-vecs n*))

**unfolding** *SPAN-def*

**apply** (*simp only: index-unit-vec Let-def map-filter-map-filter filter-set image-set map-map-filter*

*map-filter-map o-def unit-vecs-def*)

**apply** (*simp add: basis-enum-of-vec-unit-vec*)

**apply** (*subst nth-image*)

**by** *auto*

**lemma** *bot-as-span*[code]:

— Code equation for  $\perp$ , the subspace containing everything. Top is represented as the span of the standard basis vectors.

(*bot::'a::onb-enum ccspace*) = *SPAN* []

**unfolding** *SPAN-def* **by** (*auto simp*.)

**lemma** *sup-spans*[code]:

— Code equation for the join (lub) of two subspaces (union of the generating lists)

*SPAN A*  $\sqcup$  *SPAN B* = *SPAN* (*A* @ *B*)

**by** (*simp add: SPAN-def ccspace-union image-Un filter-Un Let-def del: Set.filter-eq*)

We do not need an equation for (+) because (+) is defined in terms of ( $\sqcup$ ) (for *ccspace*), thus the code generation automatically computes (+) in terms of the code for ( $\sqcup$ )

**definition** [*code del, code-abbrev*]: *Span-code* (*S::'a::enum ell2 set*) = (*ccspan S*)

— A copy of *ccspan* with restricted type. For analogous reasons as *cblin-fun-apply-ell2*, see there for explanations

**lemma** *span-Set-Monad*[code]: *Span-code* (*Set-Monad l*) = (*SPAN* (*map vec-of-ell2 l*))

— Code equation for the span of a finite set. (*Set-Monad* is a datatype constructor that represents sets as lists in the computation.)

**apply** (*simp add: Span-code-def SPAN-def Let-def flip: ell2-of-vec-def*)

**apply** (*rule arg-cong [of - - ccspace]*)

**apply** (*auto simp add: vec-of-ell2-inverse*)

**apply** (*metis (mono-tags, lifting) carrier-vecD imageI mem-Collect-eq vec-of-ell2-carrier-vec vec-of-ell2-inverse*)

**done**

This instantiation defines a code equation for equality tests for *ccspace*. The actual code for equality tests is given below (lemma *equal-ccspace-code*).

**instantiation** *ccspace* :: (*onb-enum*) **equal** **begin**

**definition** [*code del*]: *equal-ccspace* (*A::'a ccspace*) *B* = (*A=B*)

**instance** **apply** *intro-classes* **unfolding** *equal-ccspace-def* **by** *simp*

**end**

**lemma** *leq-ccspace-code*[code]:

— Code equation for deciding inclusion of one space in another. Uses the constant *is-subspace-of-vec-list* which implements the actual computation by checking for each generator of A whether it is in the span of B (by orthogonal projection onto an orthonormal basis of B which is computed using Gram-Schmidt).

*SPAN A*  $\leq$  (*SPAN B* :: '*a::onb-enum ccspace*)

$\longleftrightarrow$  (*let d* = *length* (*canonical-basis* :: '*a list*) *in*

*is-subspace-of-vec-list d*

(*filter* ( $\lambda v. \text{dim-vec } v = d$ ) *A*)

(*filter* ( $\lambda v. \text{dim-vec } v = d$ ) *B*))

**proof** –

```

define  $d$   $A'$   $B'$  where  $d = \text{length } (\text{canonical-basis} :: 'a \text{ list})$ 
  and  $A' = \text{filter } (\lambda v. \text{dim-vec } v = d) A$ 
  and  $B' = \text{filter } (\lambda v. \text{dim-vec } v = d) B$ 

```

**show** *?thesis*

```

unfolding  $\text{SPAN-def}$   $d\text{-def}[\text{symmetric}]$   $\text{filter-set}$   $\text{Let-def}$ 
   $A'\text{-def}[\text{symmetric}]$   $B'\text{-def}[\text{symmetric}]$   $\text{image-set}$ 
apply ( $\text{subst ccspace-leq-using-vec}$ )
unfolding  $d\text{-def}[\text{symmetric}]$   $\text{map-map}$   $o\text{-def}$ 
apply ( $\text{subst map-cong}[\text{where } xs=A', \text{ OF refl}]$ )
apply ( $\text{rule basis-enum-of-vec-inverse}$ )
apply ( $\text{simp add: } A'\text{-def } d\text{-def}$ )
apply ( $\text{subst map-cong}[\text{where } xs=B', \text{ OF refl}]$ )
apply ( $\text{rule basis-enum-of-vec-inverse}$ )
by ( $\text{simp-all add: } B'\text{-def } d\text{-def}$ )

```

**qed**

**lemma** *equal-ccsubspace-code*[code]:

— Code equation for equality test. By checking mutual inclusion (for which we have code by the preceding code equation).

```

 $\text{HOL.equal } (A::\text{ccsubspace}) B = (A \leq B \wedge B \leq A)$ 
unfolding equal-ccsubspace-def by auto

```

**lemma** *cblinfun-image-code*[code]:

— Code equation for applying an operator  $A$  to a subspace. Simply by multiplying each generator with  $A$

```

 $A *_S \text{SPAN } S = (\text{let } d = \text{length } (\text{canonical-basis} :: 'a \text{ list}) \text{ in}$ 
   $\text{SPAN } (\text{map } (\text{mult-mat-vec } (\text{mat-of-cblinfun } A))$ 
     $(\text{filter } (\lambda v. \text{dim-vec } v = d) S)))$ 
for  $A::'a::\text{onb-enum} \Rightarrow_{CL} 'b::\text{onb-enum}$ 

```

**proof** –

```

define  $dA$   $dB$   $S'$ 
  where  $dA = \text{length } (\text{canonical-basis} :: 'a \text{ list})$ 
  and  $dB = \text{length } (\text{canonical-basis} :: 'b \text{ list})$ 
  and  $S' = \text{filter } (\lambda v. \text{dim-vec } v = dA) S$ 

```

**have**  $\text{cblinfun-image } A (\text{SPAN } S) = A *_S \text{ccspan } (\text{set } (\text{map } \text{basis-enum-of-vec } S'))$

```

unfolding  $\text{SPAN-def}$   $dA\text{-def}[\text{symmetric}]$   $\text{Let-def}$   $S'\text{-def}$   $\text{filter-set}$ 
by simp

```

**also have**  $\dots = \text{ccspan } ((\lambda x. \text{basis-enum-of-vec } (\text{mat-of-cblinfun } A *_v \text{vec-of-basis-enum } (\text{basis-enum-of-vec } x :: 'a))) \text{ 'set } S')$

```

apply ( $\text{subst cblinfun-image-ccspan-using-vec}$ )
by ( $\text{simp add: image-image}$ )

```

**also have**  $\dots = \text{ccspan } ((\lambda x. \text{basis-enum-of-vec } (\text{mat-of-cblinfun } A *_v x)) \text{ 'set } S')$

```

apply ( $\text{subst image-cong}[\text{OF refl}]$ )

```

```

    apply (subst basis-enum-of-vec-inverse)
  by (auto simp add: S'-def dA-def)
also have ... = SPAN (map (mult-mat-vec (mat-of-cblinfun A)) S')
  unfolding SPAN-def dB-def[symmetric] Let-def filter-set
  apply (subst filter-True)
  by (simp-all add: dB-def mat-of-cblinfun-def image-image)

finally show ?thesis
  unfolding dA-def[symmetric] S'-def[symmetric] Let-def
  by simp
qed

```

**definition** [code del, code-abbrev]: range-cblinfun-code  $A = A *_S \text{top}$

— A new constant for the special case of applying an operator to the subspace  $\top$  (i.e., for computing the range of the operator). We do this to be able to give more specialized code for this specific situation. (The generic code for  $(*_S)$  would work but is less efficient because it involves repeated matrix multiplications. *code-abbrev* makes sure occurrences of  $A *_S \top$  are replaced before starting the actual code generation.

**lemma** range-cblinfun-code[code]:

— Code equation for computing the range of an operator  $A$ . Returns the columns of the matrix representation of  $A$ .

```

  fixes A :: 'a::onb-enum  $\Rightarrow_{CL}$  'b::onb-enum
  shows range-cblinfun-code A = SPAN (cols (mat-of-cblinfun A))
proof —
  define dA dB
  where dA = length (canonical-basis :: 'a list)
    and dB = length (canonical-basis :: 'b list)
  have carrier-A: mat-of-cblinfun A  $\in$  carrier-mat dB dA
    unfolding mat-of-cblinfun-def dA-def dB-def by simp

```

```

  have range-cblinfun-code A = A *_S SPAN (unit-vecs dA)

```

```

    unfolding range-cblinfun-code-def

```

```

    by (metis dA-def top-ccsubspace-code)

```

```

  also have ... = SPAN (map ( $\lambda i$ . mat-of-cblinfun A *_v unit-vec dA i) [0.. $dA$ ])

```

```

    unfolding cblinfun-image-code dA-def[symmetric] Let-def

```

```

    apply (subst filter-True)

```

```

    apply (meson carrier-vecD subset-code(1) unit-vecs-carrier)

```

```

    by (simp add: unit-vecs-def o-def)

```

```

  also have ... = SPAN (map ( $\lambda x$ . mat-of-cblinfun A *_v col (1_m dA) x) [0.. $dA$ ])

```

```

    apply (subst map-cong[OF refl])

```

```

    by auto

```

```

  also have ... = SPAN (map (col (mat-of-cblinfun A * 1_m dA)) [0.. $dA$ ])

```

```

    apply (subst map-cong[OF refl])

```

```

    apply (subst col-mult2[symmetric])

```

```

    apply (rule carrier-A)

```

```

    by auto

```

```

  also have ... = SPAN (cols (mat-of-cblinfun A))

```

```

    unfolding cols-def dA-def[symmetric]
    apply (subst right-mult-one-mat[OF carrier-A])
    using carrier-A by blast
  finally show ?thesis
    by -
qed

```

**lemma** *uminus-Span-code*[code]:  $- X = \text{range-cblinfun-code } (\text{id-cblinfun} - \text{Proj } X)$   
 — Code equation for the orthogonal complement of a subspace  $X$ . Computed as the range of one minus the projector on  $X$

```

    unfolding range-cblinfun-code-def
    by (metis Proj-ortho-compl Proj-range)

```

**lemma** *kernel-code*[code]:  
 — Computes the kernel of an operator  $A$ . This is implemented using the existing functions for transforming a matrix into row echelon form (*gauss-jordan-single*) and for computing a basis of the kernel of such a matrix (*find-base-vectors*)

```

    kernel A = SPAN (find-base-vectors (gauss-jordan-single (mat-of-cblinfun A)))
    for A::('a::onb-enum,'b::onb-enum) cblinfun
  proof -
    define dA dB Am Ag base
    where dA = length (canonical-basis :: 'a list)
    and dB = length (canonical-basis :: 'b list)
    and Am = mat-of-cblinfun A
    and Ag = gauss-jordan-single Am
    and base = find-base-vectors Ag

```

**interpret** *complex-vec-space* dA.

**have** *Am-carrier*:  $Am \in \text{carrier-mat } dB \ dA$   
 unfolding *Am-def* *mat-of-cblinfun-def* *dA-def* *dB-def* by *simp*

**have** *row-echelon*: *row-echelon-form* Ag  
 unfolding *Ag-def*  
 using *Am-carrier refl* by (rule *gauss-jordan-single*)

**have** *Ag-carrier*:  $Ag \in \text{carrier-mat } dB \ dA$   
 unfolding *Ag-def*  
 using *Am-carrier refl* by (rule *gauss-jordan-single(2)*)

**have** *base-carrier*:  $\text{set } base \subseteq \text{carrier-vec } dA$   
 unfolding *base-def*  
 using *find-base-vectors(1)*[OF *row-echelon Ag-carrier*]  
 using *Ag-carrier mat-kernel-def* by *blast*

**interpret** *k*: *kernel* dB dA Ag  
 apply *standard* using *Ag-carrier* by *simp*

```

have basis-base: kernel.basis dA Ag (set base)
  using row-echelon Ag-carrier unfolding base-def
  by (rule find-base-vectors(3))

have space-as-set (SPAN base)
  = space-as-set (ccspan (basis-enum-of-vec ' set base :: 'a set))
  unfolding SPAN-def dA-def[symmetric] Let-def filter-set
  apply (subst filter-True)
  using base-carrier by auto

also have ... = cspan (basis-enum-of-vec ' set base)
  apply transfer apply (subst closure-finite-cspan)
  by simp-all

also have ... = basis-enum-of-vec ' span (set base)
  apply (subst basis-enum-of-vec-span)
  using base-carrier dA-def by auto

also have ... = basis-enum-of-vec ' mat-kernel Ag
  using basis-base k.Ker.basis-def k.span-same by auto

also have ... = basis-enum-of-vec ' {v ∈ carrier-vec dA. Ag *v v = 0v dB}
  apply (rule arg-cong[where f=λx. basis-enum-of-vec ' x])
  unfolding mat-kernel-def using Ag-carrier
  by simp

also have ... = basis-enum-of-vec ' {v ∈ carrier-vec dA. Am *v v = 0v dB}
  using gauss-jordan-single(1)[OF Am-carrier Ag-def[symmetric]]
  by auto

also have ... = {w. A *V w = 0}
proof -
  have basis-enum-of-vec ' {v ∈ carrier-vec dA. Am *v v = 0v dB}
    = basis-enum-of-vec ' {v ∈ carrier-vec dA. A *V basis-enum-of-vec v = 0}
  apply (rule arg-cong[where f=λt. basis-enum-of-vec ' t])
  apply (rule Collect-cong)
  apply (simp add: Am-def)
  by (metis Am-carrier Am-def carrier-matD(2) carrier-vecD dB-def mat-carrier

      mat-of-cblinfun-def mat-of-cblinfun-cblinfun-apply vec-of-basis-enum-inverse

      basis-enum-of-vec-inverse vec-of-basis-enum-zero)
  also have ... = {w ∈ basis-enum-of-vec ' carrier-vec dA. A *V w = 0}
  apply (subst Compr-image-eq[symmetric])
  by simp
  also have ... = {w. A *V w = 0}
  apply auto

```

by (metis (no-types, lifting) Am-carrier Am-def carrier-matD(2) carrier-vec-dim-vec  
dim-vec-of-basis-enum' image-iff mat-carrier mat-of-cblinfun-def vec-of-basis-enum-inverse)  
**finally show** ?thesis  
by –  
**qed**

**also have** ... = space-as-set (kernel A)  
**apply transfer by auto**

**finally have** SPAN base = kernel A  
by (simp add: space-as-set-inject)  
**then show** ?thesis  
by (simp add: base-def Ag-def Am-def)  
**qed**

**lemma** inf-ccsubspace-code[code]:

— Code equation for intersection of subspaces. Reduced to orthogonal complement and sum of subspaces for which we already have code equations.  
 $(A::'a::\text{onb-enum ccspace}) \sqcap B = - (- A \sqcup - B)$   
**by** (subst ortho-involution[symmetric], subst compl-inf, simp)

**lemma** Sup-ccsubspace-code[code]:

— Supremum (sum) of a set of subspaces. Implemented by repeated pairwise sum.  
 $\text{Sup } (\text{Set-Monad } l :: 'a::\text{onb-enum ccspace set}) = \text{fold sup } l \text{ bot}$   
**unfolding** Set-Monad-def  
**by** (simp add: Sup-set-fold)

**lemma** Inf-ccsubspace-code[code]:

— Infimum (intersection) of a set of subspaces. Implemented by the orthogonal complement of the supremum.  
 $\text{Inf } (\text{Set-Monad } l :: 'a::\text{onb-enum ccspace set})$   
 $= - \text{Sup } (\text{Set-Monad } (\text{map uminus } l))$   
**unfolding** Set-Monad-def  
**apply** (induction l)  
**by auto**

## 17.5 Miscellanea

This is a hack to circumvent a bug in the code generation. The automatically generated code for the class *uniformity* has a type that is different from what the generated code later assumes, leading to compilation errors (in ML at least) in any expression involving `- ell2` (even if the constant *uniformity* is not actually used).

The fragment below circumvents this by forcing Isabelle to use the right type. (The logically useless fragment `"let x = ((=)::'a $\Rightarrow$  $\Rightarrow$ -)"` achieves this.)

**lemma** uniformity-ell2-code[code]:  $(\text{uniformity} :: ('a \text{ ell2 } * -) \text{ filter}) = \text{Filter.abstract-filter}$

```
(%-
  Code.abort STR "no uniformity" (%-
    let x = ((=)::'a⇒⇒-) in uniformity))
by simp
```

Code equation for *UNIV*. It is now implemented via type class *enum* (which provides a list of all values).

```
declare enum-class.UNIV-enum[code]
```

Setup for code generation involving sets of *ell2/ccsubspace*. This configures to use lists for representing sets in code.

```
derive (eq) ceq ccsubspace
derive (no) ccompare ccsubspace
derive (monad) set-impl ccsubspace
derive (eq) ceq ell2
derive (no) ccompare ell2
derive (monad) set-impl ell2
```

```
unbundle no lattice-syntax and no jnf-syntax and no cblinfun-syntax
```

```
end
```

## 18 Cblinfun-Code-Examples – Examples and test cases for code generation

```
theory Cblinfun-Code-Examples
  imports
    Complex-Bounded-Operators.Extra-Pretty-Code-Examples
    Jordan-Normal-Form.Matrix-Impl
    HOL-Library.Code-Target-Numeral
    Cblinfun-Code
begin
```

```
hide-const (open) Order.bottom Order.top
no-notation Lattice.join (infixl <⊔l> 65)
no-notation Lattice.meet (infixl <⊓l> 70)
```

```
unbundle lattice-syntax
unbundle cblinfun-syntax
```

## 19 Examples

### 19.1 Operators

```
value id-cblinfun :: bool ell2 ⇒CL bool ell2
```

```
value 1 :: unit ell2 ⇒CL unit ell2
```

```

value id-cblinfun + id-cblinfun :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value 0 :: (bool ell2  $\Rightarrow_{CL}$  Enum.finite-3 ell2)

value - id-cblinfun :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value id-cblinfun - id-cblinfun :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value classical-operator ( $\lambda b.$  Some ( $\neg$  b))

value  $\langle \textit{explicit-cblinfun} (\lambda x y :: \textit{bool. of-bool} (x \wedge y)) \rangle$ 

value id-cblinfun = (0 :: bool ell2  $\Rightarrow_{CL}$  bool ell2)

value 2 *R id-cblinfun :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value imaginary-unit *C id-cblinfun :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value id-cblinfun oCL 0 :: bool ell2  $\Rightarrow_{CL}$  bool ell2

value id-cblinfun* :: bool ell2  $\Rightarrow_{CL}$  bool ell2

```

## 19.2 Vectors

```

value 0 :: bool ell2

value 1 :: unit ell2

value ket False

value 2 *C ket False

value 2 *R ket False

value ket True + ket False

value ket True - ket True

value ket True = ket True

value - ket True

value cinner (ket True) (ket True)

value norm (ket True)

value ket () * ket ()

```

**value**  $1 :: \text{unit ell2}$

**value**  $(1::\text{unit ell2}) * (1::\text{unit ell2})$

### 19.3 Vector/Matrix

**value**  $\text{id-cblinfun} *_V \text{ket True}$

**value**  $\langle \text{vector-to-cblinfun} (\text{ket True}) :: \text{unit ell2} \Rightarrow_{CL} \rightarrow \rangle$

### 19.4 Subspaces

**value**  $\text{ccspan} \{\text{ket False}\}$

**value**  $\text{Proj} (\text{ccspan} \{\text{ket False}\})$

**value**  $\text{top} :: \text{bool ell2 ccspace}$

**value**  $\text{bot} :: \text{bool ell2 ccspace}$

**value**  $0 :: \text{bool ell2 ccspace}$

**value**  $\text{ccspan} \{\text{ket False}\} \sqcup \text{ccspan} \{\text{ket True}\}$

**value**  $\text{ccspan} \{\text{ket False}\} + \text{ccspan} \{\text{ket True}\}$

**value**  $\text{ccspan} \{\text{ket False}\} \sqcap \text{ccspan} \{\text{ket True}\}$

**value**  $\text{id-cblinfun} *_S \text{ccspan} \{\text{ket False}\}$

**value**  $\text{id-cblinfun} *_S (\text{top} :: \text{bool ell2 ccspace})$

**value**  $- \text{ccspan} \{\text{ket False}\}$

**value**  $\text{ccspan} \{\text{ket False}, \text{ket True}\} = \text{top}$

**value**  $\text{ccspan} \{\text{ket False}\} \leq \text{ccspan} \{\text{ket True}\}$

**value**  $\text{cblinfun-image id-cblinfun} (\text{ccspan} \{\text{ket True}\})$

**value**  $\text{kernel id-cblinfun} :: \text{bool ell2 ccspace}$

**value**  $\text{eigenspace } 1 \text{ id-cblinfun} :: \text{bool ell2 ccspace}$

**value**  $\text{Inf} \{\text{ccspan} \{\text{ket False}\}, \text{top}\}$

**value**  $\text{Sup} \{\text{ccspan} \{\text{ket False}\}, \text{top}\}$

**end**

## References

- [1] J. B. Conway. *A course in functional analysis*, volume 96. Springer Science & Business Media, 2013.
- [2] F. Haftmann. Code generation from Isabelle/HOL theories. <https://isabelle.in.tum.de/website-Isabelle2019/dist/Isabelle2019/doc/codegen.pdf>, 2019.