

Category Theory

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Abstract

This article presents a development of Category Theory in Isabelle. A Category is defined using records and locales in Isabelle/HOL. Functors and Natural Transformations are also defined. The main result that has been formalized is that the Yoneda functor is a full and faithful embedding. We also formalize the completeness of many sorted monadic equational logic. Extensive use is made of the HOLZF theory in both cases. For an informal description see [1].

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1 Category

```
theory Category
imports HOL-Library.FuncSet
begin
```

```
record ('o,'m) Category =
  Obj :: 'o set (‹obj› 70)
  Mor :: 'm set (‹mor› 70)
  Dom :: 'm  $\Rightarrow$  'o (‹dom1  $\rightarrow$  [80] 70)
  Cod :: 'm  $\Rightarrow$  'o (‹cod1  $\rightarrow$  [80] 70)
```

$Id :: 'o \Rightarrow 'm \ (\langle id_1 \rightarrow [80] \ 75) \rangle$
 $Comp :: 'm \Rightarrow 'm \Rightarrow 'm \ (\mathbf{infixl} \ \langle ; ; \rangle \ 70)$

definition

$MapsTo :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow 'm \Rightarrow 'o \Rightarrow 'o \Rightarrow \text{bool} \ (\langle \vdash \text{ maps}_1 - \text{ to } \rightarrow [60, 60, 60] \ 65) \rangle \text{ where}$
 $MapsTo \ CC \ f \ X \ Y \equiv f \in Mor \ CC \wedge Dom \ CC \ f = X \wedge Cod \ CC \ f = Y$

definition

$CompDefined :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow 'm \Rightarrow 'm \Rightarrow \text{bool} \ (\mathbf{infixl} \ \langle \approx \rangle \ 65) \rangle \text{ where}$
 $CompDefined \ CC \ f \ g \equiv f \in Mor \ CC \wedge g \in Mor \ CC \wedge Cod \ CC \ f = Dom \ CC \ g$

locale $ExtCategory =$

fixes $C :: ('o, 'm, 'a) \text{ Category-scheme} \ (\mathbf{structure})$
assumes $CdomExt: (Dom \ C) \in \text{extensional} \ (Mor \ C)$
and $CcodExt: (Cod \ C) \in \text{extensional} \ (Mor \ C)$
and $CidExt: (Id \ C) \in \text{extensional} \ (Obj \ C)$
and $CcompExt: (\text{case-prod} \ (Comp \ C)) \in \text{extensional} \ (\{(f, g) \mid f \ g \cdot f \approx \rangle g\})$

locale $Category = ExtCategory +$

assumes $Cdom : f \in mor \Longrightarrow dom \ f \in obj$
and $Ccod : f \in mor \Longrightarrow cod \ f \in obj$
and $Cidm \ [dest]: X \in obj \Longrightarrow (id \ X) \text{ maps } X \text{ to } X$
and $Cidl : f \in mor \Longrightarrow id \ (dom \ f) \ ; ; f = f$
and $Cidr : f \in mor \Longrightarrow f \ ; ; id \ (cod \ f) = f$
and $Cassoc : \llbracket f \approx \rangle g \ ; ; g \approx \rangle h \rrbracket \Longrightarrow (f \ ; ; g) \ ; ; h = f \ ; ; (g \ ; ; h)$
and $Ccompt : \llbracket f \text{ maps } X \text{ to } Y \ ; ; g \text{ maps } Y \text{ to } Z \rrbracket \Longrightarrow (f \ ; ; g) \text{ maps } X \text{ to } Z$

definition

$MakeCat :: ('o, 'm, 'a) \text{ Category-scheme} \Rightarrow ('o, 'm, 'a) \text{ Category-scheme} \text{ where}$
 $MakeCat \ C \equiv \langle$
 $Obj = Obj \ C \ ,$
 $Mor = Mor \ C \ ,$
 $Dom = restrict \ (Dom \ C) \ (Mor \ C) \ ,$
 $Cod = restrict \ (Cod \ C) \ (Mor \ C) \ ,$
 $Id = restrict \ (Id \ C) \ (Obj \ C) \ ,$
 $Comp = \lambda f \ g \cdot (restrict \ (\text{case-prod} \ (Comp \ C)) \ (\{(f, g) \mid f \ g \cdot f \approx \rangle_C g\}))$
 $(f, g),$
 $\dots = Category.more \ C$
 $\rangle$

lemma $MakeCatMapsTo: f \text{ maps}_C \ X \text{ to } Y \Longrightarrow f \text{ maps}_{MakeCat \ C} \ X \text{ to } Y$
 $\langle proof \rangle$

lemma $MakeCatComp: f \approx \rangle_C g \Longrightarrow f \ ; ;_{MakeCat \ C} g = f \ ; ;_C g$
 $\langle proof \rangle$

lemma $MakeCatId: X \in obj_C \Longrightarrow id_C \ X = id_{MakeCat \ C} \ X$

$\langle proof \rangle$

lemma *MakeCatObj*: $obj_{MakeCat\ C} = obj_C$
 $\langle proof \rangle$

lemma *MakeCatMor*: $mor_{MakeCat\ C} = mor_C$
 $\langle proof \rangle$

lemma *MakeCatDom*: $f \in mor_C \implies dom_C f = dom_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCod*: $f \in mor_C \implies cod_C f = cod_{MakeCat\ C} f$
 $\langle proof \rangle$

lemma *MakeCatCompDef*: $f \approx_{> MakeCat\ C} g = f \approx_{> C} g$
 $\langle proof \rangle$

lemma *MakeCatComp2*: $f \approx_{> MakeCat\ C} g \implies f ;;_{MakeCat\ C} g = f ;;_C g$
 $\langle proof \rangle$

lemma *ExtCategoryMakeCat*: $ExtCategory\ (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MakeCat*: $Category\text{-}axioms\ C \implies Category\ (MakeCat\ C)$
 $\langle proof \rangle$

lemma *MapsToE[elim]*: $\llbracket f\ maps_C\ X\ to\ Y\ ;\ \llbracket f \in mor_C\ ;\ dom_C f = X\ ;\ cod_C f = Y \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *MapsToI[intro]*: $\llbracket f \in mor_C\ ;\ dom_C f = X\ ;\ cod_C f = Y \rrbracket \implies f\ maps_C\ X\ to\ Y$
 $\langle proof \rangle$

lemma *CompDefinedE[elim]*: $\llbracket f \approx_{> C} g\ ;\ \llbracket f \in mor_C\ ;\ g \in mor_C\ ;\ cod_C f = dom_C g \rrbracket \implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *CompDefinedI[intro]*: $\llbracket f \in mor_C\ ;\ g \in mor_C\ ;\ cod_C f = dom_C g \rrbracket \implies f \approx_{> C} g$
 $\langle proof \rangle$

lemma (**in** *Category*) *MapsToCompI*: **assumes** $f \approx_{> g}$ **shows** $(f ;; g)\ maps\ (dom\ f)\ to\ (cod\ g)$
 $\langle proof \rangle$

lemma *MapsToCompDef*:

assumes $f \text{ maps}_C X \text{ to } Y$ **and** $g \text{ maps}_C Y \text{ to } Z$
shows $f \approx_{>_C} g$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *MapsToMorDomCod*:
assumes $f \approx_{>} g$
shows $f ;; g \in \text{mor}$ **and** $\text{dom } (f ;; g) = \text{dom } f$ **and** $\text{cod } (f ;; g) = \text{cod } g$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *MapsToObj*:
assumes $f \text{ maps } X \text{ to } Y$
shows $X \in \text{obj}$ **and** $Y \in \text{obj}$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *IdInj*:
assumes $X \in \text{obj}$ **and** $Y \in \text{obj}$ **and** $\text{id } X = \text{id } Y$
shows $X = Y$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *CompDefComp*:
assumes $f \approx_{>} g$ **and** $g \approx_{>} h$
shows $f \approx_{>} (g ;; h)$ **and** $(f ;; g) \approx_{>} h$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *CatIdInMor*: $X \in \text{obj} \implies \text{id } X \in \text{mor}$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *MapsToId*: **assumes** $X \in \text{obj}$ **shows** $\text{id } X \approx_{>} \text{id } X$
 $\langle \text{proof} \rangle$

lemmas (**in** *Category*) *Simps = Cdom Ccod Cidm Cidl Cidr MapsToCompI IdInj MapsToId*

lemma (**in** *Category*) *LeftRightInvUniq*:
assumes $0: h \approx_{>} f$ **and** $z: f \approx_{>} g$
assumes $1: f ;; g = \text{id } (\text{dom } f)$
and $2: h ;; f = \text{id } (\text{cod } f)$
shows $h = g$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *CatIdDomCod*:
assumes $X \in \text{obj}$
shows $\text{dom } (\text{id } X) = X$ **and** $\text{cod } (\text{id } X) = X$
 $\langle \text{proof} \rangle$

lemma (**in** *Category*) *CatIdCompId*:
assumes $X \in \text{obj}$
shows $\text{id } X ;; \text{id } X = \text{id } X$
 $\langle \text{proof} \rangle$

lemma (in *Category*) *CatIdUniqR*:
assumes *iota*: ι maps X to X
and *rid*: $\forall f . f \approx_{>} \iota \longrightarrow f ; ; \iota = f$
shows $id\ X = \iota$
 $\langle proof \rangle$

definition
 $inverse\text{-}rel :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow 'm \Rightarrow bool\ (\langle cinv_1 \rightarrow 60 \rangle)$
where
 $inverse\text{-}rel\ C\ f\ g \equiv (f \approx_{>}_C g) \wedge (f ; ;_C g) = (id_C (dom_C f)) \wedge (g ; ;_C f) = (id_C (cod_C f))$

definition
 $isomorphism :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow bool\ (\langle ciso_1 \rightarrow [70] \rangle)$ **where**
 $isomorphism\ C\ f \equiv \exists\ g . inverse\text{-}rel\ C\ f\ g$

lemma (in *Category*) *Inverse-relI*: $\llbracket f \approx_{>} g ; f ; ; g = id\ (dom\ f) ; g ; ; f = id\ (cod\ f) \rrbracket \Longrightarrow (cinv\ f\ g)$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relE[elim]*: $\llbracket cinv\ f\ g ; \llbracket f \approx_{>} g ; f ; ; g = id\ (dom\ f) ; g ; ; f = id\ (cod\ f) \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

lemma (in *Category*) *Inverse-relSym*:
assumes $cinv\ f\ g$
shows $cinv\ g\ f$
 $\langle proof \rangle$

lemma (in *Category*) *InverseUnique*:
assumes $1: cinv\ f\ g$
and $2: cinv\ f\ h$
shows $g = h$
 $\langle proof \rangle$

lemma (in *Category*) *InvId*: **assumes** $X \in obj$ **shows** $(cinv\ (id\ X)\ (id\ X))$
 $\langle proof \rangle$

definition
 $inverse :: ('o, 'm, 'a)\ Category\text{-}scheme \Rightarrow 'm \Rightarrow 'm \Rightarrow bool\ (\langle Cinv_1 \rightarrow [70] \rangle)$ **where**
 $inverse\ C\ f \equiv THE\ g . inverse\text{-}rel\ C\ f\ g$

lemma (in *Category*) *inv2Inv*:
assumes $cinv\ f\ g$
shows $ciso\ f$ **and** $Cinv\ f = g$
 $\langle proof \rangle$

lemma (in *Category*) *iso2Inv*:

assumes *ciso f*

shows *cinv f (Cinv f)*

$\langle proof \rangle$

lemma (in *Category*) *InvInv*:

assumes *ciso f*

shows *ciso (Cinv f) and (Cinv (Cinv f)) = f*

$\langle proof \rangle$

lemma (in *Category*) *InvIsMor*: *(cinv f g) $\implies$ (f $\in$ mor $\wedge$ g $\in$ mor)*

$\langle proof \rangle$

lemma (in *Category*) *IsoIsMor*: *ciso f $\implies$ f $\in$ mor*

$\langle proof \rangle$

lemma (in *Category*) *InvDomCod*:

assumes *ciso f*

shows *dom (Cinv f) = cod f and cod (Cinv f) = dom f and Cinv f $\in$ mor*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompInv*: *ciso f $\implies$ f $\approx>$ Cinv f*

$\langle proof \rangle$

lemma (in *Category*) *InvCompIso*: *ciso f $\implies$ Cinv f $\approx>$ f*

$\langle proof \rangle$

lemma (in *Category*) *IsoInvId1* : *ciso f $\implies$ (Cinv f) ;; f = (id (cod f))*

$\langle proof \rangle$

lemma (in *Category*) *IsoInvId2* : *ciso f $\implies$ f ;; (Cinv f) = (id (dom f))*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompDef*:

assumes *1: f $\approx>$ g and 2: ciso f and 3: ciso g*

shows *(Cinv g) $\approx>$ (Cinv f)*

$\langle proof \rangle$

lemma (in *Category*) *IsoCompose*:

assumes *1: f $\approx>$ g and 2: ciso f and 3: ciso g*

shows *ciso (f ;; g) and Cinv (f ;; g) = (Cinv g) ;; (Cinv f)*

$\langle proof \rangle$

definition *ObjIso C A B $\equiv \exists k . (k \text{ maps}_C A \text{ to } B) \wedge ciso_C k$*

definition

UnitCategory :: (unit, unit) Category where

UnitCategory = MakeCat []

```

    Obj = {()} ,
    Mor = {()} ,
    Dom = (λf.()) ,
    Cod = (λf.()) ,
    Id = (λf.()) ,
    Comp = (λf g. ())

```

```

    )

```

lemma [simp]: *Category*(*UnitCategory*)

⟨*proof*⟩

definition

OppositeCategory :: ('o,'m,'a) *Category-scheme* ⇒ ('o,'m,'a) *Category-scheme*
 (⟨*Op* → [65] 65) **where**

```

    OppositeCategory C ≡ ()
    Obj = Obj C ,
    Mor = Mor C ,
    Dom = Cod C ,
    Cod = Dom C ,
    Id = Id C ,
    Comp = (λf g. g ;;C f),
    ... = Category.more C

```

```

    )

```

lemma *OpCatOpCat*: *Op* (*Op* *C*) = *C*

⟨*proof*⟩

lemma *OpCatCatAx*: *Category-axioms* *C* ⇒ *Category-axioms* (*Op* *C*)

⟨*proof*⟩

lemma *OpCatCatExt*: *ExtCategory* *C* ⇒ *ExtCategory* (*Op* *C*)

⟨*proof*⟩

lemma *OpCatCat*: *Category* *C* ⇒ *Category* (*Op* *C*)

⟨*proof*⟩

lemma *MapsToOp*: *f* maps_{*C*} *X* to *Y* ⇒ *f* maps_{*Op* *C*} *Y* to *X*

⟨*proof*⟩

lemma *MapsToOpOp*: *f* maps_{*Op* *C*} *X* to *Y* ⇒ *f* maps_{*C*} *Y* to *X*

⟨*proof*⟩

lemma *CompDefOp*: *f* ≈_{*C*} *g* ⇒ *g* ≈_{*Op* *C*} *f*

⟨*proof*⟩

end

2 Universe

```

theory Universe
imports HOL-ZF.MainZF
begin

```

```

locale Universe =
  fixes U :: ZF (structure)
  assumes Uempty : Elem Empty U
  and Usubset : Elem u U  $\implies$  subset u U
  and Usingle : Elem u U  $\implies$  Elem (Singleton u) U
  and Upow : Elem u U  $\implies$  Elem (Power u) U
  and Uim :  $\llbracket$ Elem I U ; Elem u (Fun I U)  $\rrbracket \implies$  Elem (Sum (Range u)) U
  and Unat : Elem Nat U

```

```

lemma ElemLambdaFun :  $(\bigwedge x. \text{Elem } x \text{ } u \implies \text{Elem } (f \text{ } x) \text{ } U) \implies \text{Elem } (\text{Lambda } u \text{ } f) \text{ } (Fun \text{ } u \text{ } U)$ 
<proof>

```

```

lemma RangeRepl: Range (Lambda A f) = Repl A f
<proof>

```

```

lemma (in Universe) Utrans:  $\llbracket \text{Elem } a \text{ } U ; \text{Elem } b \text{ } a \rrbracket \implies \text{Elem } b \text{ } U$ 
<proof>

```

```

lemma ReplId: Repl A id = A
<proof>

```

```

lemma (in Universe) UniverseSum : Elem u U  $\implies$  Elem (Sum u) U
<proof>

```

```

lemma (in Universe) UniverseUnion:
  assumes Elem u U and Elem v U
  shows Elem (union u v) U
<proof>

```

```

lemma UPairSingleton: Upair u v = union (Singleton u) (Singleton v)
<proof>

```

```

lemma (in Universe) UniverseUPair:  $\llbracket \text{Elem } u \text{ } U ; \text{Elem } v \text{ } U \rrbracket \implies \text{Elem } (\text{Upair } u \text{ } v) \text{ } U$ 
<proof>

```

```

lemma (in Universe) UniversePair:  $\llbracket \text{Elem } u \text{ } U ; \text{Elem } v \text{ } U \rrbracket \implies \text{Elem } (\text{Opair } u \text{ } v) \text{ } U$ 
<proof>

```

```

lemma (in Universe)  $\llbracket \text{Elem } u \text{ } U ; \text{Elem } v \text{ } U \rrbracket \implies \text{Elem } (\text{Sum } (\text{Repl } u \text{ } (\%x .$ 

```

Singleton (Opair x v)))) U
<proof>

lemma *SumRepl*: *Sum (Repl A (Singleton o f)) = Repl A f*
<proof>

lemma (**in** *Universe*) *UniverseProd*:
assumes *Elem u U* **and** *Elem v U*
shows *Elem (CartProd u v) U*
<proof>

lemma (**in** *Universe*) *UniverseSubset*: $\llbracket \text{subset } u \ v ; \text{Elem } v \ U \rrbracket \implies \text{Elem } u \ U$
<proof>

definition
Product :: $ZF \Rightarrow ZF$ **where**
Product U = Sep (Fun U (Sum U)) (%f . ($\forall \ u \ . \ \text{Elem } u \ U \longrightarrow \text{Elem } (\text{app } f \ u)$
u))

lemma *SepSubset*: *subset (Sep A p) A*
<proof>

lemma *SubsetSmall*:
assumes *subset A' A* **and** *subset A B* **shows** *subset A' B*
<proof>

lemma *SubsetTrans*:
assumes (*subset a b*) **and** (*subset b c*)
shows (*subset a c*)
<proof>

lemma *SubsetSepTrans*: *subset A B $\implies$ subset (Sep A p) B*
<proof>

lemma *ProductSubset*: *subset (Product u) (Power (CartProd u (Sum u)))*
<proof>

lemma (**in** *Universe*) *UniverseProduct*: *Elem u U $\implies$ Elem (Product u) U*
<proof>

lemma *ZFImageRangeExplode*: $x \in \text{range explode} \implies f \text{ ' } x \in \text{range explode}$
<proof>

definition *subsetFn X Y* $\equiv \lambda \ x \ . \ (\text{if } x \in Y \text{ then } x \text{ else } \text{SOME } y \ . \ y \in Y)$

lemma *subsetFn*: $\llbracket Y \neq \{\} ; Y \subseteq X \rrbracket \implies (\text{subsetFn } X \ Y) \text{ ' } X = Y$
<proof>

lemma *ZFSubsetRangeExplode*: $\llbracket X \in \text{range explode} ; Y \subseteq X \rrbracket \implies Y \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionRangeExplode*:
assumes $\bigwedge x . x \in A \implies f x \in \text{range explode}$ **and** $A \in \text{range explode}$
shows $(\bigcup x \in A . f x) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionNatInRangeExplode*: $(\bigwedge (n :: \text{nat}) . f n \in \text{range explode}) \implies (\bigcup n . f n) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFProdFnInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies f '(A \times B) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *ZFUnionInRangeExplode*: $\llbracket A \in \text{range explode} ; B \in \text{range explode} \rrbracket \implies A \cup B \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *SingletonInRangeExplode*: $\{x\} \in \text{range explode}$
 $\langle \text{proof} \rangle$

definition *ZFTriple* :: $[ZF, ZF, ZF] \Rightarrow ZF$ **where**

$ZFTriple a b c = \text{Opair} (\text{Opair} a b) c$

definition *ZFTFst* = $\text{Fst} \circ \text{Fst}$

definition *ZFTSnd* = $\text{Snd} \circ \text{Fst}$

definition *ZFTThd* = Snd

lemma *ZFTFst*: $ZFTFst (ZFTriple a b c) = a$
 $\langle \text{proof} \rangle$

lemma *ZFTSnd*: $ZFTSnd (ZFTriple a b c) = b$
 $\langle \text{proof} \rangle$

lemma *ZFTThd*: $ZFTThd (ZFTriple a b c) = c$
 $\langle \text{proof} \rangle$

lemma *ZFTriple*: $ZFTriple a b c = ZFTriple a' b' c' \implies (a = a' \wedge b = b' \wedge c = c')$
 $\langle \text{proof} \rangle$

lemma *ZFSucZero*: $\text{Nat2nat} (\text{SucNat Empty}) = 1$
 $\langle \text{proof} \rangle$

lemma *ZFZero*: $\text{Nat2nat Empty} = 0$
 $\langle \text{proof} \rangle$

lemma *ZFSucNeg0*: $\text{Elem } x \text{ Nat} \implies \text{Nat2nat} (\text{SucNat } x) \neq 0$

$\langle proof \rangle$

end

3 Monadic Equational Theory

theory *MonadicEquationalTheory*
imports *Category Universe*
begin

record (t, f) *Signature* =
 BaseTypes :: t set $(\langle Ty \rangle)$
 BaseFunctions :: f set $(\langle Fn \rangle)$
 SigDom :: $f \Rightarrow t$ $(\langle sDom \rangle)$
 SigCod :: $f \Rightarrow t$ $(\langle sCod \rangle)$

locale *Signature* =
 fixes $S :: (t, f)$ *Signature* (**structure**)
 assumes *Domt*: $f \in Fn \implies sDom f \in Ty$
 and *Codt*: $f \in Fn \implies sCod f \in Ty$

definition *funsignature-abbrev* $(\langle - \in Sig - : - \rightarrow - \rangle)$ **where**
 $f \in Sig S : A \rightarrow B \equiv f \in (BaseFunctions S) \wedge A \in (BaseTypes S) \wedge B \in (BaseTypes S) \wedge$
 $(SigDom S f) = A \wedge (SigCod S f) = B \wedge Signature S$

lemma *funsignature-abbrevE*[*elim*]:
 $\llbracket f \in Sig S : A \rightarrow B ; \llbracket f \in (BaseFunctions S) ; A \in (BaseTypes S) ; B \in (BaseTypes S) \rrbracket ;$
 $(SigDom S f) = A ; (SigCod S f) = B ; Signature S \rrbracket \implies R$
 $\implies R$
 $\langle proof \rangle$

datatype (t, f) *Expression* = *ExprVar* $(\langle Vx \rangle)$ | *ExprApp* $f (t, f)$ *Expression* $(\langle - E@ - \rangle)$
datatype (t, f) *Language* = *Type* $t (\langle \vdash - Type \rangle)$ | *Term* $t (t, f)$ *Expression* t
 $(\langle Vx : - \vdash - : - \rangle)$ |
 Equation $t (t, f)$ *Expression* (t, f) *Expression* $t (\langle Vx : - \vdash - \equiv - : - \rangle)$

inductive

WellDefined :: (t, f) *Signature* $\Rightarrow (t, f)$ *Language* $\Rightarrow bool (\langle Sig \triangleright - \rangle)$ **where**
 WellDefinedTy: $A \in BaseTypes S \implies Sig S \triangleright \vdash A Type$
 | *WellDefinedVar*: $Sig S \triangleright \vdash A Type \implies Sig S \triangleright (Vx : A \vdash Vx : A)$
 | *WellDefinedFn*: $\llbracket Sig S \triangleright (Vx : A \vdash e : B) ; f \in Sig S : B \rightarrow C \rrbracket \implies Sig S \triangleright (Vx : A \vdash (f E@ e) : C)$
 | *WellDefinedEq*: $\llbracket Sig S \triangleright (Vx : A \vdash e1 : B) ; Sig S \triangleright (Vx : A \vdash e2 : B) \rrbracket \implies Sig S \triangleright (Vx : A \vdash e1 \equiv e2 : B)$

```

lemmas WellDefined.intros [intro]
inductive-cases WellDefinedTyE [elim!]: Sig S ▷ ⊢ A Type
inductive-cases WellDefinedVarE [elim!]: Sig S ▷ (Vx : A ⊢ Vx : A)
inductive-cases WellDefinedFnE [elim!]: Sig S ▷ (Vx : A ⊢ (f E@ e) : C)
inductive-cases WellDefinedEqE [elim!]: Sig S ▷ (Vx : A ⊢ e1 ≡ e2 : B)

lemma SigId: Sig S ▷ (Vx : A ⊢ Vx : B) ⇒ A = B
⟨proof⟩

lemma SigTyId: Sig S ▷ (Vx : A ⊢ Vx : A) ⇒ A ∈ BaseTypes S
⟨proof⟩

lemma (in Signature) SigTy: ∧ B . Sig S ▷ (Vx : A ⊢ e : B) ⇒ (A ∈ BaseTypes
S ∧ B ∈ BaseTypes S)
⟨proof⟩

datatype ('o,'m) IType = IObj 'o | IMor 'm | IBool bool

record ('t,'f,'o,'m) Interpretation =
  ISignature :: ('t,'f) Signature (⟨iS1⟩)
  ICategory :: ('o,'m) Category (⟨iC1⟩)
  ITypes :: 't ⇒ 'o (⟨Ty[-]1⟩)
  IFunctions :: 'f ⇒ 'm (⟨Fn[-]1⟩)

locale Interpretation =
  fixes I :: ('t,'f,'o,'m) Interpretation (structure)
  assumes ICat: Category iC
  and ISig: Signature iS
  and It : A ∈ BaseTypes iS ⇒ Ty[A] ∈ Obj iC
  and If : (f ∈ Sig iS : A → B) ⇒ Fn[f] mapsiC Ty[A] to Ty[B]

inductive Interp (⟨L[-]1 → -⟩) where
  InterpTy: Sig iSI ▷ ⊢ A Type ⇒
    L⊢ A Type⊥I → (IObj Ty[A]⊥I)
  | InterpVar: L⊢ A Type⊥I → (IObj c) ⇒
    L⊢ Vx : A ⊢ Vx : A⊥I → (IMor (Id iCI c))
  | InterpFn: Sig iSI ▷ Vx : A ⊢ e : B ;
    f ∈ Sig iSI : B → C ;
    L⊢ Vx : A ⊢ e : B⊥I → (IMor g)⊥ ⇒
    L⊢ Vx : A ⊢ (f E@ e) : C⊥I → (IMor (g ::ICategory I Fn[f]))
  | InterpEq: L⊢ Vx : A ⊢ e1 : B⊥I → (IMor g1) ;
    L⊢ Vx : A ⊢ e2 : B⊥I → (IMor g2)⊥ ⇒
    L⊢ Vx : A ⊢ e1 ≡ e2 : B⊥I → (IBool (g1 = g2))

lemmas Interp.intros [intro]
inductive-cases InterpTyE [elim!]: L⊢ A Type⊥I → i
inductive-cases InterpVarE [elim!]: L⊢ Vx : A ⊢ Vx : A⊥I → i
inductive-cases InterpFnE [elim!]: L⊢ Vx : A ⊢ (f E@ e) : C⊥I → i

```

inductive-cases *InterpEqE* [elim!]: $L[Vx : A \vdash e1 \equiv e2 : B]_I \rightarrow i$

lemma (in *Interpretation*) *InterpEqEq*[intro]:

$\llbracket L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g) ; L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g) \rrbracket \implies L[Vx : A \vdash e1 \equiv e2 : B] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

lemma (in *Interpretation*) *InterpExprWellDefined*:

$L[Vx : A \vdash e : B] \rightarrow i \implies Sig\ iS \triangleright Vx : A \vdash e : B$
 $\langle proof \rangle$

lemma (in *Interpretation*) *WellDefined*: $L[\varphi] \rightarrow i \implies Sig\ iS \triangleright \varphi$

$\langle proof \rangle$

lemma (in *Interpretation*) *Bool*: $L[\varphi] \rightarrow (IBool\ i) \implies \exists\ A\ B\ e\ d . \varphi = (Vx : A \vdash e \equiv d : B)$

$\langle proof \rangle$

lemma (in *Interpretation*) *FunctionalExpr*:

$\bigwedge i\ j\ A\ B. \llbracket L[Vx : A \vdash e : B] \rightarrow i ; L[Vx : A \vdash e : B] \rightarrow j \rrbracket \implies i = j$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Functional*: $\llbracket L[\varphi] \rightarrow i1 ; L[\varphi] \rightarrow i2 \rrbracket \implies i1 = i2$

$\langle proof \rangle$

lemma (in *Interpretation*) *MorphismsPreserved*:

$\bigwedge B\ i . L[Vx : A \vdash e : B] \rightarrow i \implies \exists\ g . i = (IMor\ g) \wedge (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$
 $\langle proof \rangle$

lemma (in *Interpretation*) *Expr2Mor*: $L[Vx : A \vdash e : B] \rightarrow (IMor\ g) \implies (g\ maps_{iC}\ Ty[A]\ to\ Ty[B])$

$\langle proof \rangle$

lemma (in *Interpretation*) *WellDefinedExprInterp*: $\bigwedge B . (Sig\ iS \triangleright Vx : A \vdash e : B) \implies (\exists\ i . L[Vx : A \vdash e : B] \rightarrow i)$

$\langle proof \rangle$

lemma (in *Interpretation*) *Sig2Mor*: **assumes** $(Sig\ iS \triangleright Vx : A \vdash e : B)$ **shows** $\exists\ g . L[Vx : A \vdash e : B] \rightarrow (IMor\ g)$

$\langle proof \rangle$

record ($'t, 'f$) *Axioms* =

$aAxioms :: ('t, 'f)\ Language\ set$

$aSignature :: ('t, 'f)\ Signature\ (\langle aS1 \rangle)$

locale *Axioms* =

fixes $Ax :: ('t, 'f)\ Axioms\ (structure)$

assumes $AxT: (aAxioms\ Ax) \subseteq \{(Vx : A \vdash e1 \equiv e2 : B) \mid A\ B\ e1\ e2 . Sig\}$

$(aSignature\ Ax) \triangleright (Vx : A \vdash e1 \equiv e2 : B)\}$
assumes $AxSig: Signature\ (aSignature\ Ax)$

primrec $Subst :: ('t, 'f) Expression \Rightarrow ('t, 'f) Expression \Rightarrow ('t, 'f) Expression \rightarrow (sub$
 $- in \rightarrow [81, 81] 81) \text{ where}$
 $(sub\ e\ in\ Vx) = e \mid sub\ e\ in\ (f\ E@ d) = (f\ E@ (sub\ e\ in\ d))$

lemma $SubstXinE: (sub\ Vx\ in\ e) = e$
 $\langle proof \rangle$

lemma $SubstAssoc: sub\ a\ in\ (sub\ b\ in\ c) = sub\ (sub\ a\ in\ b)\ in\ c$
 $\langle proof \rangle$

lemma $SubstWellDefined: \bigwedge C . \llbracket Sig\ S \triangleright (Vx : A \vdash e : B); Sig\ S \triangleright (Vx : B \vdash d$
 $: C) \rrbracket$
 $\implies Sig\ S \triangleright (Vx : A \vdash (sub\ e\ in\ d) : C)$
 $\langle proof \rangle$

inductive-set $(in\ Axioms)\ Theory \text{ where}$

$Ax: A \in (aAxioms\ Ax) \implies A \in Theory$
 $\mid Refl: Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e : B) \implies (Vx : A \vdash e \equiv e : B) \in Theory$
 $\mid Symm: (Vx : A \vdash e1 \equiv e2 : B) \in Theory \implies (Vx : A \vdash e2 \equiv e1 : B) \in Theory$
 $\mid Trans: \llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; (Vx : A \vdash e2 \equiv e3 : B) \in Theory \rrbracket$
 $\implies$
 $(Vx : A \vdash e1 \equiv e3 : B) \in Theory$
 $\mid Congr: \llbracket (Vx : A \vdash e1 \equiv e2 : B) \in Theory ; f \in Sig\ (aSignature\ Ax) : B \rightarrow C \rrbracket$
 $\implies$
 $(Vx : A \vdash (f\ E@ e1) \equiv (f\ E@ e2) : C) \in Theory$
 $\mid Subst: \llbracket Sig\ (aSignature\ Ax) \triangleright (Vx : A \vdash e1 : B) ; (Vx : B \vdash e2 \equiv e3 : C) \in$
 $Theory \rrbracket \implies$
 $(Vx : A \vdash (sub\ e1\ in\ e2) \equiv (sub\ e1\ in\ e3) : C) \in Theory$

lemma $(in\ Axioms)\ Equiv2WellDefined: \varphi \in Theory \implies Sig\ aS \triangleright \varphi$
 $\langle proof \rangle$

lemma $(in\ Axioms)\ Subst':$
 $\bigwedge C . \llbracket Sig\ aS \triangleright Vx : B \vdash d : C ; (Vx : A \vdash e1 \equiv e2 : B) \in Theory \rrbracket \implies$
 $(Vx : A \vdash (sub\ e1\ in\ d) \equiv (sub\ e2\ in\ d) : C) \in Theory$
 $\langle proof \rangle$

locale $Model = Interpretation\ I + Axioms\ Ax$
for $I :: ('t, 'f, 'o, 'm)\ Interpretation\ (\text{structure})$
and $Ax :: ('t, 'f)\ Axioms +$
assumes $AxSound: \varphi \in (aAxioms\ Ax) \implies L[\varphi] \rightarrow (IBool\ True)$
and $Seq[simp]: (aSignature\ Ax) = iS$

lemma $(in\ Interpretation)\ Equiv:$
assumes $L[Vx : A \vdash e1 \equiv e2 : B] \rightarrow (IBool\ True)$

shows $\exists g . (L[Vx : A \vdash e1 : B] \rightarrow (IMor\ g)) \wedge (L[Vx : A \vdash e2 : B] \rightarrow (IMor\ g))$
 $\langle proof \rangle$

lemma (in *Interpretation*) *SubstComp*: $\bigwedge h\ C . \llbracket (L[Vx : A \vdash e : B] \rightarrow (IMor\ h)) \rrbracket \implies$
 $(L[Vx : A \vdash (sub\ e\ in\ d) : C] \rightarrow (IMor\ (g\ ;;_{iC}\ h)))$
 $\langle proof \rangle$

lemma (in *Model*) *Sound*: $\varphi \in Theory \implies L[\varphi] \rightarrow (IBool\ True)$
 $\langle proof \rangle$

record (*t, f*) *TermEquivClT* =
TDomain :: *t*
TExprSet :: (*t, f*) *Expression set*
TCodomain :: *t*

locale *ZFAxioms* = *Ax* : *Axioms Ax* **for** *Ax* :: (ZF, ZF) *Axioms* (**structure**) +
assumes *fnzf*: *BaseFunctions* (*aSignature Ax*) $\in$ *range explode*

lemma [*simp*]: *ZFAxioms T* $\implies$ *Axioms T* $\langle proof \rangle$

primrec *Expr2ZF* :: (ZF, ZF) *Expression* $\Rightarrow$ ZF **where**
Expr2ZFX: *Expr2ZF* *Vx* = *ZFTriple* (*nat2Nat* 0) (*nat2Nat* 0) *Empty*
 $|$ *Expr2ZFfe*: *Expr2ZF* (*f E@ e*) = *ZFTriple* (*SucNat* (*ZFTTFst* (*Expr2ZF e*)))
 $(nat2Nat\ 1)$
 $(Opair\ f\ (Expr2ZF\ e))$

definition *ZF2Expr* :: ZF $\Rightarrow$ (ZF, ZF) *Expression* **where**
ZF2Expr = *inv Expr2ZF*

definition *ZFDepth* = *Nat2nat* o *ZFTTFst*

definition *ZFType* = *Nat2nat* o *ZFTSnd*

definition *ZFData* = *ZFTThd*

lemma *Expr2ZFType0*: *ZFType* (*Expr2ZF e*) = 0 $\implies e = Vx$
 $\langle proof \rangle$

lemma *ZFDepthInNat*: *Elem* (*ZFTTFst* (*Expr2ZF e*)) *Nat*
 $\langle proof \rangle$

lemma *Expr2ZFType1*: *ZFType* (*Expr2ZF e*) = 1 $\implies$
 $\exists f\ e' . e = (f\ E@ e') \wedge (Suc\ (ZFDepth\ (Expr2ZF\ e'))) = (ZFDepth\ (Expr2ZF\ e))$
 $\langle proof \rangle$

lemma *Expr2ZFDepth0*: *ZFDepth* (*Expr2ZF e*) = 0 $\implies$ *ZFType* (*Expr2ZF e*) = 0

$\langle \text{proof} \rangle$

lemma *Expr2ZFDepthSuc*: $\text{ZFDepth} (\text{Expr2ZF } e) = \text{Suc } n \implies \text{ZFType} (\text{Expr2ZF } e) = 1$
 $\langle \text{proof} \rangle$

lemma *Expr2Data*: $\text{ZFData} (\text{Expr2ZF } (f \text{ E@ } e)) = \text{Opair } f (\text{Expr2ZF } e)$
 $\langle \text{proof} \rangle$

lemma *Expr2ZFinj*: inj Expr2ZF
 $\langle \text{proof} \rangle$

definition *TermEquivClGen* $T A e B \equiv \{e' . (Vx : A \vdash e' \equiv e : B) \in \text{Axioms.Theory } T\}$

definition *TermEquivCl'* $T A e B \equiv (\mid \text{TDomain} = A , \text{TEquivSet} = \text{TermEquivClGen } T A e B , \text{TCodomain} = B \mid)$

definition *m2ZF* :: $(\text{ZF}, \text{ZF}) \text{ TermEquivClT} \Rightarrow \text{ZF}$ **where**
 $\text{m2ZF } t \equiv \text{ZFTriple} (\text{TDomain } t) (\text{implode} (\text{Expr2ZF } '(\text{TEquivSet } t))) (\text{TCodomain } t)$

definition *ZF2m* :: $(\text{ZF}, \text{ZF}) \text{ Axioms} \Rightarrow \text{ZF} \Rightarrow (\text{ZF}, \text{ZF}) \text{ TermEquivClT}$ **where**
 $\text{ZF2m } T \equiv \text{inv-into} \{ \text{TermEquivCl'} T A e B \mid A e B . \text{True} \} \text{ m2ZF}$

lemma *TDomain*: $\text{TDomain} (\text{TermEquivCl'} T A e B) = A$ $\langle \text{proof} \rangle$

lemma *TCodomain*: $\text{TCodomain} (\text{TermEquivCl'} T A e B) = B$ $\langle \text{proof} \rangle$

primrec *WellFormedToSet* :: $(\text{ZF}, \text{ZF}) \text{ Signature} \Rightarrow \text{nat} \Rightarrow (\text{ZF}, \text{ZF}) \text{ Expression set}$ **where**

$\text{WFS0: WellFormedToSet } S 0 = \{Vx\}$
 $\mid \text{WFSS: WellFormedToSet } S (\text{Suc } n) = (\text{WellFormedToSet } S n) \cup$
 $\{ f \text{ E@ } e \mid f e . f \in \text{BaseFunctions } S \wedge e \in (\text{WellFormedToSet } S n) \}$

lemma *WellFormedToSetInRangeExplode*: $\text{ZFAxioms } T \implies (\text{Expr2ZF } '(\text{WellFormedToSet } aS_T n)) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *WellDefinedToWellFormedSet*: $\bigwedge B . (\text{Sig } S \triangleright (Vx : A \vdash e : B)) \implies \exists n. e \in \text{WellFormedToSet } S n$
 $\langle \text{proof} \rangle$

lemma *TermSetInSet*: $\text{ZFAxioms } T \implies \text{Expr2ZF } '(\text{TermEquivClGen } T A e B) \in \text{range explode}$
 $\langle \text{proof} \rangle$

lemma *m2ZFinj-on*: $\text{ZFAxioms } T \implies \text{inj-on m2ZF } \{ \text{TermEquivCl'} T A e B \mid A e B . \text{True} \}$
 $\langle \text{proof} \rangle$

lemma $ZF2m$: $ZFAxioms\ T \implies ZF2m\ T\ (m2ZF\ (TermEquivCl'\ T\ A\ e\ B)) =$
 $(TermEquivCl'\ T\ A\ e\ B)$
 $\langle proof \rangle$

definition $TermEquivCl\ (\lhd[-,-,-]\rhd)$ **where** $[A,e,B]_T \equiv m2ZF\ (TermEquivCl'\ T\ A\ e\ B)$

definition $CLDomain\ T \equiv TDomain\ o\ ZF2m\ T$

definition $CLCodomain\ T \equiv TCodomain\ o\ ZF2m\ T$

definition $CanonicalComp\ T\ f\ g \equiv$
 $THE\ h\ .\ \exists\ e\ e'\ .\ h = [CLDomain\ T\ f, sub\ e\ in\ e', CLCodomain\ T\ g]_T \wedge$
 $f = [CLDomain\ T\ f, e, CLCodomain\ T\ f]_T \wedge g = [CLDomain\ T\ g, e', CLCodomain\ T\ g]_T$

lemma $CLDomain$: $ZFAxioms\ T \implies CLDomain\ T\ [A,e,B]_T = A\ \langle proof \rangle$

lemma $CLCodomain$: $ZFAxioms\ T \implies CLCodomain\ T\ [A,e,B]_T = B\ \langle proof \rangle$

lemma $Equiv2Cl$: **assumes** $Axioms\ T$ **and** $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$ **shows** $[A,e,B]_T = [A,d,B]_T$
 $\langle proof \rangle$

lemma $Cl2Equiv$:

assumes axt : $ZFAxioms\ T$ **and** sa : $Sig\ aS_T \triangleright (Vx : A \vdash e : B)$ **and** cl : $[A,e,B]_T = [A,d,B]_T$
shows $(Vx : A \vdash e \equiv d : B) \in Axioms.Theory\ T$
 $\langle proof \rangle$

lemma $CanonicalCompWellDefined$:

assumes $zaxt$: $ZFAxioms\ T$ **and** $Sig\ aS_T \triangleright (Vx : A \vdash d : B)$ **and** $Sig\ aS_T \triangleright (Vx : B \vdash d' : C)$
shows $CanonicalComp\ T\ [A,d,B]_T\ [B,d',C]_T = [A,sub\ d\ in\ d',C]_T$
 $\langle proof \rangle$

definition $CanonicalCat'\ T \equiv ()$

$Obj = BaseType\ (aS_T),$
 $Mor = \{[A,e,B]_T \mid A\ e\ B\ .\ Sig\ aS_T \triangleright (Vx : A \vdash e : B)\},$
 $Dom = CLDomain\ T,$
 $Cod = CLCodomain\ T,$
 $Id = (\lambda\ A\ .\ [A,Vx,A]_T),$
 $Comp = CanonicalComp\ T$
 $\rangle$

definition $CanonicalCat\ T \equiv MakeCat\ (CanonicalCat'\ T)$

lemma $CanonicalCat'MapsTo$:

assumes $f\ maps_{CanonicalCat'\ T}\ X\ to\ Y$ **and** zx : $ZFAxioms\ T$
shows $\exists\ ef\ .\ f = [X,ef,Y]_T \wedge Sig\ (aSignature\ T) \triangleright (Vx : X \vdash ef : Y)$
 $\langle proof \rangle$

lemma *CanonicalCatCat'*: $ZFAxioms\ T \implies Category\text{-}axioms\ (CanonicalCat'\ T)$
 $\langle proof \rangle$

lemma *CanonicalCatCat*: $ZFAxioms\ T \implies Category\ (CanonicalCat\ T)$
 $\langle proof \rangle$

definition *CanonicalInterpretation* **where**

CanonicalInterpretation $T \equiv \langle$
 $\quad ISignature = aSignature\ T,$
 $\quad ICategory = CanonicalCat\ T,$
 $\quad ITypes = \lambda\ A.\ A,$
 $\quad IFunctions = \lambda\ f.\ [SigDom\ (aSignature\ T)\ f, f\ E@ \ \forall x, SigCod\ (aSignature\ T)$
 $\quad f]_T$
 $\rangle$

abbreviation *CI* $T \equiv CanonicalInterpretation\ T$

lemma *CIObj*: $Obj\ (CanonicalCat\ T) = BaseType\ (aSignature\ T)$
 $\langle proof \rangle$

lemma *CIMor*: $ZFAxioms\ T \implies [A, e, B]_T \in Mor\ (CanonicalCat\ T) = Sig\ (aSignature\ T) \triangleright (\forall x : A \vdash e : B)$
 $\langle proof \rangle$

lemma *CIDom*: $\llbracket ZFAxioms\ T ; [A, e, B]_T \in Mor(CanonicalCat\ T) \rrbracket \implies Dom\ (CanonicalCat\ T)\ [A, e, B]_T = A$
 $\langle proof \rangle$

lemma *CICod*: $\llbracket ZFAxioms\ T ; [A, e, B]_T \in Mor(CanonicalCat\ T) \rrbracket \implies Cod\ (CanonicalCat\ T)\ [A, e, B]_T = B$
 $\langle proof \rangle$

lemma *CIId*: $\llbracket A \in BaseType\ (aSignature\ T) \rrbracket \implies Id\ (CanonicalCat\ T)\ A = [A, \forall x, A]_T$
 $\langle proof \rangle$

lemma *CIComp*:

assumes $ZFAxioms\ T$ **and** $Sig\ (aSignature\ T) \triangleright (\forall x : A \vdash e : B)$ **and** $Sig\ (aSignature\ T) \triangleright (\forall x : B \vdash d : C)$

shows $[A, e, B]_T \mathrel{::} CanonicalCat\ T\ [B, d, C]_T = [A, sub\ e\ in\ d, C]_T$
 $\langle proof \rangle$

lemma $[simp]$: $ZFAxioms\ T \implies Category\ iC_{CI\ T}$ $\langle proof \rangle$

lemma $[simp]$: $ZFAxioms\ T \implies Signature\ iS_{CI\ T}$ $\langle proof \rangle$

lemma *CIInterpretation*: $ZFAxioms\ T \implies Interpretation\ (CI\ T)$
 $\langle proof \rangle$

lemma *CIInterp2Mor*: $ZFAxioms\ T \implies (\bigwedge B . Sig\ iS_{CI}\ T \triangleright (Vx : A \vdash e : B) \implies L[Vx : A \vdash e : B]_{CI}\ T \rightarrow (IMor\ [A, e, B]_T))$
 $\langle proof \rangle$

lemma *CIModel*: $ZFAxioms\ T \implies Model\ (CI\ T)\ T$
 $\langle proof \rangle$

lemma *CIComplete*: **assumes** $ZFAxioms\ T$ **and** $L[\varphi]_{CI}\ T \rightarrow (IBool\ True)$ **shows** $\varphi \in Axioms.Theory\ T$
 $\langle proof \rangle$

lemma *Complete*:
assumes $ZFAxioms\ T$
and $\bigwedge (I :: (ZF, ZF, ZF, ZF)\ Interpretation) . Model\ I\ T \implies (L[\varphi]_I \rightarrow (IBool\ True))$
shows $\varphi \in Axioms.Theory\ T$
 $\langle proof \rangle$

end

4 Functor

theory *Functors*
imports *Category*
begin

record $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor =$
 $CatDom :: ('o1, 'm1, 'a)\ Category\ scheme$
 $CatCod :: ('o2, 'm2, 'b)\ Category\ scheme$
 $MapM :: 'm1 \Rightarrow 'm2$

abbreviation

$FunctorMorApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'm1 \Rightarrow 'm2$ (**infixr** $\langle \#\# \rangle$ 70) **where**
 $FunctorMorApp\ F\ m \equiv (MapM\ F)\ m$

definition

$MapO :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ **where**
 $MapO\ F\ X \equiv THE\ Y . Y \in Obj(CatCod\ F) \wedge F\ \#\# (Id\ (CatDom\ F)\ X) = Id\ (CatCod\ F)\ Y$

abbreviation

$FunctorObjApp :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme \Rightarrow 'o1 \Rightarrow 'o2$ (**infixr** $\langle @@ \rangle$ 70) **where**
 $FunctorObjApp\ F\ X \equiv (MapO\ F)\ X$

locale *PreFunctor* =

fixes $F :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a)\ Functor\ scheme$ (**structure**)
assumes $FunctorComp: f \approx > CatDom\ F\ g \implies F\ \#\# (f :: CatDom\ F\ g) = (F\ \#\#$

$f) \vdash_{\text{CatCod } F} (F \# \# g)$
and $\text{FunctorId}: X \in \text{obj}_{\text{CatDom } F} \implies \exists Y \in \text{obj}_{\text{CatCod } F} . F \# \#$
 $(\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} Y$
and $\text{CatDom}[\text{simp}]: \text{Category}(\text{CatDom } F)$
and $\text{CatCod}[\text{simp}]: \text{Category}(\text{CatCod } F)$

locale $\text{FunctorM} = \text{PreFunctor} +$
assumes $\text{FunctorCompM}: f \text{ maps }_{\text{CatDom } F} X \text{ to } Y \implies (F \# \# f) \text{ maps }_{\text{CatCod } F}$
 $(F @@@ X) \text{ to } (F @@@ Y)$

locale $\text{FunctorExt} =$
fixes $F :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2, 'a) \text{ Functor-scheme}$ (**structure**)
assumes $\text{FunctorMapExt}: (\text{MapM } F) \in \text{extensional } (\text{Mor } (\text{CatDom } F))$

locale $\text{Functor} = \text{FunctorM} + \text{FunctorExt}$

definition

$\text{MakeFtor} :: ('o1, 'o2, 'm1, 'm2, 'a, 'b, 'r) \text{ Functor-scheme} \Rightarrow ('o1, 'o2, 'm1,$
 $'m2, 'a, 'b, 'r) \text{ Functor-scheme}$ **where**
 $\text{MakeFtor } F \equiv \langle$
 $\text{CatDom} = \text{CatDom } F,$
 $\text{CatCod} = \text{CatCod } F,$
 $\text{MapM} = \text{restrict } (\text{MapM } F) (\text{Mor } (\text{CatDom } F)),$
 $\dots = \text{Functor.more } F$
 $\rangle$

lemma $\text{PreFunctorFunctor}[\text{simp}]: \text{Functor } F \implies \text{PreFunctor } F$
 $\langle \text{proof} \rangle$

lemmas $\text{functor-simps} = \text{PreFunctor.FunctorComp } \text{PreFunctor.FunctorId}$

definition

$\text{functor-abbrev } (\langle \text{Ftor } - : - \longrightarrow - \rangle [81])$ **where**
 $\text{Ftor } F : A \longrightarrow B \equiv (\text{Functor } F) \wedge (\text{CatDom } F = A) \wedge (\text{CatCod } F = B)$

lemma $\text{functor-abbrevE}[\text{elim}]: \llbracket \text{Ftor } F : A \longrightarrow B ; \llbracket (\text{Functor } F) ; (\text{CatDom } F =$
 $A) ; (\text{CatCod } F = B) \rrbracket \implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

definition

$\text{functor-comp-def } (\langle - \approx > ; ; - \rangle [81])$ **where**
 $\text{functor-comp-def } F G \equiv (\text{Functor } F) \wedge (\text{Functor } G) \wedge (\text{CatDom } G = \text{CatCod } F)$

lemma $\text{functor-comp-def}[\text{elim}]: \llbracket F \approx > ; ; G ; \llbracket \text{Functor } F ; \text{Functor } G ; \text{CatDom}$
 $G = \text{CatCod } F \rrbracket \implies R \rrbracket \implies R$
 $\langle \text{proof} \rangle$

lemma (**in** Functor) FunctorMapsTo :

assumes $f \in \text{mor}_{\text{CatDom } F}$
shows $F \#\# f \text{ maps}_{\text{CatCod } F} (F @@@ (\text{dom}_{\text{CatDom } F} f)) \text{ to } (F @@@ (\text{cod}_{\text{CatDom } F} f))$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCodDom*:

assumes $f \in \text{mor}_{\text{CatDom } F}$
shows $\text{dom}_{\text{CatCod } F} (F \#\# f) = F @@@ (\text{dom}_{\text{CatDom } F} f)$ **and** $\text{cod}_{\text{CatCod } F} (F \#\# f) = F @@@ (\text{cod}_{\text{CatDom } F} f)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompPreserved*: $f \in \text{mor}_{\text{CatDom } F} \implies F \#\# f \in \text{mor}_{\text{CatCod } F}$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *FunctorCompDef*:

assumes $f \approx_{\text{CatDom } F} g$ **shows** $(F \#\# f) \approx_{\text{CatCod } F} (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorComp*: $\llbracket F \text{tor } F : A \longrightarrow B ; f \approx_{A} g \rrbracket \implies F \#\# (f ;;_A g) = (F \#\# f) ;;_B (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorCompDef*: $\llbracket F \text{tor } F : A \longrightarrow B ; f \approx_{A} g \rrbracket \implies (F \#\# f) \approx_B (F \#\# g)$
 $\langle \text{proof} \rangle$

lemma *FunctorMapsTo*:

assumes $F \text{tor } F : A \longrightarrow B$ **and** $f \text{ maps}_A X \text{ to } Y$
shows $(F \#\# f) \text{ maps}_B (F @@@ X) \text{ to } (F @@@ Y)$
 $\langle \text{proof} \rangle$

lemma (in *PreFunctor*) *FunctorId2*:

assumes $X \in \text{obj}_{\text{CatDom } F}$
shows $F @@@ X \in \text{obj}_{\text{CatCod } F} \wedge F \#\# (\text{id}_{\text{CatDom } F} X) = \text{id}_{\text{CatCod } F} (F @@@ X)$
 $\langle \text{proof} \rangle$

lemma *FunctorId*:

assumes $F \text{tor } F : C \longrightarrow D$ **and** $X \in \text{Obj } C$
shows $F \#\# (\text{Id } C X) = \text{Id } D (F @@@ X)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *DomFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{dom}_{\text{CatCod } F} (F \#\# f) = F @@@ (\text{dom}_{\text{CatDom } F} f)$
 $\langle \text{proof} \rangle$

lemma (in *Functor*) *CodFunctor*: $f \in \text{mor}_{\text{CatDom } F} \implies \text{cod}_{\text{CatCod } F} (F \#\# f) = F @@@ (\text{cod}_{\text{CatDom } F} f)$

$\langle proof \rangle$

lemma (in *Functor*) *FunctorId3Dom*:

assumes $f \in \text{mor}_{CatDom} F$

shows $F \#\# (id_{CatDom} F (dom_{CatDom} F f)) = id_{CatCod} F (dom_{CatCod} F (F \#\# f))$

$\langle proof \rangle$

lemma (in *Functor*) *FunctorId3Cod*:

assumes $f \in \text{mor}_{CatDom} F$

shows $F \#\# (id_{CatDom} F (cod_{CatDom} F f)) = id_{CatCod} F (cod_{CatCod} F (F \#\# f))$

$\langle proof \rangle$

lemma (in *PreFunctor*) *FmToFo*: $\llbracket X \in \text{obj}_{CatDom} F ; Y \in \text{obj}_{CatCod} F ; F \#\# (id_{CatDom} F X) = id_{CatCod} F Y \rrbracket \implies F @@ X = Y$

$\langle proof \rangle$

lemma *MakeFtorPreFtor*:

assumes *PreFunctor* F **shows** *PreFunctor* (*MakeFtor* F)

$\langle proof \rangle$

lemma *MakeFtorMor*: $f \in \text{mor}_{CatDom} F \implies \text{MakeFtor } F \#\# f = F \#\# f$

$\langle proof \rangle$

lemma *MakeFtorObj*:

assumes *PreFunctor* F **and** $X \in \text{obj}_{CatDom} F$

shows *MakeFtor* $F @@ X = F @@ X$

$\langle proof \rangle$

lemma *MakeFtor*: **assumes** *FunctorM* F **shows** *Functor* (*MakeFtor* F)

$\langle proof \rangle$

definition

IdentityFunctor' :: $(\text{'o}, \text{'m}, \text{'a}) \text{ Category-scheme} \Rightarrow (\text{'o}, \text{'o}, \text{'m}, \text{'m}, \text{'a}, \text{'a}) \text{ Functor} (\text{'FIId''} \rightarrow [70])$ **where**

IdentityFunctor' $C \equiv (\llbracket CatDom = C , CatCod = C , MapM = (\lambda f . f) \rrbracket)$

definition

IdentityFunctor $(\text{'FIId} \rightarrow [70])$ **where**

IdentityFunctor $C \equiv \text{MakeFtor}(\text{IdentityFunctor}' C)$

lemma *IdFtor'PreFunctor*: *Category* $C \implies \text{PreFunctor} (\text{FIId}' C)$

$\langle proof \rangle$

lemma *IdFtor'Obj*:

assumes *Category* C **and** $X \in \text{obj}_{CatDom} (\text{FIId}' C)$

shows $(\text{FIId}' C) @@ X = X$

$\langle proof \rangle$

lemma *IdFtor'FtorM*:

assumes *Category C* **shows** *FunctorM (FId' C)*
 $\langle \text{proof} \rangle$

lemma *IdFtorFtor*: *Category C* $\implies$ *Functor (FId C)*
 $\langle \text{proof} \rangle$

definition

ConstFunctor' :: (*'o1','m1','a*) *Category-scheme* $\Rightarrow$
 (*'o2','m2','b*) *Category-scheme* $\Rightarrow$ *'o2* $\Rightarrow$ (*'o1','o2','m1','m2','a','b*)
Functor **where**
 ConstFunctor' A B b $\equiv$ $\langle$
 CatDom = *A* ,
 CatCod = *B* ,
 MapM = ($\lambda f . (Id\ B)\ b$)
 $\rangle$

definition *ConstFunctor A B b* $\equiv$ *MakeFtor(ConstFunctor' A B b)*

lemma *ConstFtor'* :

assumes *Category A Category B b* $\in$ (*Obj B*)
shows *PreFunctor (ConstFunctor' A B b)*
and *FunctorM (ConstFunctor' A B b)*
 $\langle \text{proof} \rangle$

lemma *ConstFtor*:

assumes *Category A Category B b* $\in$ (*Obj B*)
shows *Functor (ConstFunctor A B b)*
 $\langle \text{proof} \rangle$

definition

UnitFunctor :: (*'o','m','a*) *Category-scheme* $\Rightarrow$ (*'o,unit','m,unit','a,unit*) *Functor*
where
 UnitFunctor C $\equiv$ *ConstFunctor C UnitCategory ()*

lemma *UnitFtor*:

assumes *Category C*
shows *Functor (UnitFunctor C)*
 $\langle \text{proof} \rangle$

definition

FunctorComp' :: (*'o1','o2','m1','m2','a1','a2*) *Functor* $\Rightarrow$ (*'o2','o3','m2','m3','b1','b2*)
Functor
 $\Rightarrow$ (*'o1','o3','m1','m3','a1','b2*) *Functor* (**infixl** $\langle ;;; \rangle$ 71) **where**
FunctorComp' F G $\equiv$ $\langle$
 CatDom = *CatDom F* ,
 CatCod = *CatCod G* ,
 MapM = $\lambda f . (MapM\ G)((MapM\ F)\ f)$
 $\rangle$

›

definition *FunctorComp* (**infixl** <::;> 71) **where** *FunctorComp* *F* *G* $\equiv$ *MakeFtor* (*FunctorComp'* *F* *G*)

lemma *FtorCompComp'*:

assumes $f \approx_{> CatDom\ F} g$
and $F \approx_{>::; G}$
shows $G \#\# (F \#\# (f \mathrel{::}_{CatDom\ F} g)) = (G \#\# (F \#\# f)) \mathrel{::}_{CatCod\ G} (G \#\# (F \#\# g))$
 $\langle proof \rangle$

lemma *FtorCompId*:

assumes $a: X \in (Obj\ (CatDom\ F))$
and $F \approx_{>::; G}$
shows $G \#\# (F \#\# (id_{CatDom\ F}\ X)) = id_{CatCod\ G}(G \ @\@ (F \ @\@ X)) \wedge G \ @\@ (F \ @\@ X) \in (Obj\ (CatCod\ G))$
 $\langle proof \rangle$

lemma *FtorCompIdDef*:

assumes $a: X \in (Obj\ (CatDom\ F))$ **and** $b: PreFunctor\ (F \mathrel{::; G})$
and $F \approx_{>::; G}$
shows $(F \mathrel{::; G}) \ @\@ X = (G \ @\@ (F \ @\@ X))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo*:

assumes $f \in mor_{CatDom\ (F \mathrel{::; G})}$ **and** $F \approx_{>::; G}$
shows $(G \#\# (F \#\# f)) \ maps_{CatCod\ G} (G \ @\@ (F \ @\@ (dom_{CatDom\ F}\ f))) \ to (G \ @\@ (F \ @\@ (cod_{CatDom\ F}\ f)))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo2*:

assumes $f \in mor_{CatDom\ (F \mathrel{::; G})}$
and $F \approx_{>::; G}$
and $PreFunctor\ (F \mathrel{::; G})$
shows $((F \mathrel{::; G}) \#\# f) \ maps_{CatCod\ (F \mathrel{::; G})} ((F \mathrel{::; G}) \ @\@ (dom_{CatDom\ (F \mathrel{::; G})}\ f)) \ to ((F \mathrel{::; G}) \ @\@ (cod_{CatDom\ (F \mathrel{::; G})}\ f))$
 $\langle proof \rangle$

lemma *FunctorCompMapsTo3*:

assumes $f \ maps_{CatDom\ (F \mathrel{::; G})} X \ to\ Y$
and $F \approx_{>::; G}$
and $PreFunctor\ (F \mathrel{::; G})$
shows $F \mathrel{::; G} \#\# f \ maps_{CatCod\ (F \mathrel{::; G})} F \mathrel{::; G} \ @\@ X \ to\ F \mathrel{::; G} \ @\@ Y$
 $\langle proof \rangle$

lemma *FtorCompPreFtor*:

```

    assumes  $F \approx > ; ; ; G$ 
    shows  $PreFunctor (F ; ; ; G)$ 
  <proof>

```

```

lemma  $FtorCompM$  :
  assumes  $F \approx > ; ; ; G$ 
  shows  $FunctorM (F ; ; ; G)$ 
  <proof>

```

```

lemma  $FtorComp$ :
  assumes  $F \approx > ; ; ; G$ 
  shows  $Functor (F ; ; ; G)$ 
  <proof>

```

```

lemma (in  $Functor$ )  $FunctorPreservesIso$ :
  assumes  $ciso\ CatDom\ F\ k$ 
  shows  $ciso\ CatCod\ F\ (F\ \#\# \ k)$ 
  <proof>

```

```

declare  $PreFunctor.CatDom[simp]\ PreFunctor.CatCod\ [simp]$ 

```

```

lemma  $FunctorMFunctor[simp]$ :  $Functor\ F \implies FunctorM\ F$ 
  <proof>

```

```

locale  $Equivalence = Functor +$ 
  assumes  $Full$ :  $\llbracket A \in Obj\ (CatDom\ F) ; B \in Obj\ (CatDom\ F) ;$ 
     $h\ maps_{CatCod\ F}\ (F\ @@@\ A)\ to\ (F\ @@@\ B) \rrbracket \implies$ 
     $\exists f . (f\ maps_{CatDom\ F}\ A\ to\ B) \wedge (F\ \#\# \ f = h)$ 
  and  $Faithful$ :  $\llbracket f\ maps_{CatDom\ F}\ A\ to\ B ; g\ maps_{CatDom\ F}\ A\ to\ B ; F\ \#\# \ f =$ 
 $F\ \#\# \ g \rrbracket \implies f = g$ 
  and  $IsoDense$ :  $C \in Obj\ (CatCod\ F) \implies \exists A \in Obj\ (CatDom\ F) . ObjIso$ 
 $(CatCod\ F)\ (F\ @@@\ A)\ C$ 
end

```

5 Natural Transformation

```

theory  $NatTrans$ 
imports  $Functors$ 
begin

```

```

record ( $'o1, 'o2, 'm1, 'm2, 'a, 'b$ )  $NatTrans =$ 
   $NTDom :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor$ 
   $NTCod :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ Functor$ 
   $NatTransMap :: 'o1 \Rightarrow 'm2$ 

```

```

abbreviation

```

```

 $NatTransApp :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow 'o1 \Rightarrow 'm2$  (infixr  $\langle \$\$ \rangle$ 
70) where

```

$NatTransApp \ \eta \ X \equiv (NatTransMap \ \eta) \ X$

definition $NTCatDom \ \eta \equiv CatDom \ (NTDom \ \eta)$

definition $NTCatCod \ \eta \equiv CatCod \ (NTCod \ \eta)$

locale $NatTransExt =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \ (\mathbf{structure})$

assumes $NTExt : NatTransMap \ \eta \in \text{extensional} \ (Obj \ (NTCatDom \ \eta))$

locale $NatTransP =$

fixes $\eta :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \ (\mathbf{structure})$

assumes $NatTransFtor : Functor \ (NTDom \ \eta)$

and $NatTransFtor2 : Functor \ (NTCod \ \eta)$

and $NatTransFtorDom : NTCatDom \ \eta = CatDom \ (NTCod \ \eta)$

and $NatTransFtorCod : NTCatCod \ \eta = CatCod \ (NTDom \ \eta)$

and $NatTransMapsTo : X \in obj \ NTCatDom \ \eta \implies$
 $(\eta \ \$\$ \ X) \ maps_{NTCatCod \ \eta} ((NTDom \ \eta) \ @\@ \ X) \ to \ ((NTCod$

$\eta) \ @\@ \ X)$

and $NatTrans : f \ maps_{NTCatDom \ \eta} X \ to \ Y \implies$

$((NTDom \ \eta) \ \#\# \ f) ;;_{NTCatCod \ \eta} (\eta \ \$\$ \ Y) = (\eta \ \$\$ \ X) ;;_{NTCatCod \ \eta}$

$((NTCod \ \eta) \ \#\# \ f)$

locale $NatTrans = NatTransP + NatTransExt$

lemma $[simp] : NatTrans \ \eta \implies NatTransP \ \eta$

$\langle proof \rangle$

definition $MakeNT :: ('o1, 'o2, 'm1, 'm2, 'a, 'b) \ NatTrans \Rightarrow ('o1, 'o2, 'm1,$
 $'m2, 'a, 'b) \ NatTrans \ \mathbf{where}$

$MakeNT \ \eta \equiv ()$

$NTDom = NTDom \ \eta ,$

$NTCod = NTCod \ \eta ,$

$NatTransMap = restrict \ (NatTransMap \ \eta) \ (Obj \ (NTCatDom \ \eta))$

$()$

definition

$nt\text{-}abbrev \ (\langle NT \ - : - \implies - \rangle [81]) \ \mathbf{where}$

$NT \ f : F \implies G \equiv (NatTrans \ f) \wedge (NTDom \ f = F) \wedge (NTCod \ f = G)$

lemma $nt\text{-}abbrevE[elim] : \llbracket NT \ f : F \implies G \rrbracket ; \llbracket (NatTrans \ f) ; (NTDom \ f = F) ;$
 $(NTCod \ f = G) \rrbracket \implies R \rrbracket \implies R$

$\langle proof \rangle$

lemma $MakeNT : NatTransP \ \eta \implies NatTrans \ (MakeNT \ \eta)$

$\langle proof \rangle$

lemma $MakeNT\text{-}comp : X \in Obj \ (NTCatDom \ f) \implies (MakeNT \ f) \ \$\$ \ X = f \ \$\$ \ X$

$\langle proof \rangle$

lemma *MakeNT-dom*: $NTCatDom\ f = NTCatDom\ (MakeNT\ f)$
 $\langle proof \rangle$

lemma *MakeNT-cod*: $NTCatCod\ f = NTCatCod\ (MakeNT\ f)$
 $\langle proof \rangle$

lemma *MakeNTApp*: $X \in Obj\ (NTCatDom\ (MakeNT\ f)) \implies f\ \$\$ X = (MakeNT\ f)\ \$\$ X$
 $\langle proof \rangle$

lemma *NatTransMapsTo*:
assumes $NT\ \eta : F \implies G$ **and** $X \in Obj\ (CatDom\ F)$
shows $\eta\ \$\$ X\ maps_{CatCod}\ G\ (F\ @\!@ X)\ to\ (G\ @\!@ X)$
 $\langle proof \rangle$

definition
 $NTCompDefined :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans$
 $\implies ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \implies bool\ (\mathbf{infixl}\ \langle \approx \rangle \cdot)$
65) **where**
 $NTCompDefined\ \eta1\ \eta2 \equiv NatTrans\ \eta1 \wedge NatTrans\ \eta2 \wedge NTCatDom\ \eta2 =$
 $NTCatDom\ \eta1 \wedge$
 $NTCatCod\ \eta2 = NTCatCod\ \eta1 \wedge NTCod\ \eta1 = NTDom\ \eta2$

lemma *NTCompDefinedE[elim]*: $\llbracket \eta1 \approx \cdot \eta2 ; \llbracket NatTrans\ \eta1 ; NatTrans\ \eta2 ;$
 $NTCatDom\ \eta2 = NTCatDom\ \eta1 ;$
 $NTCatCod\ \eta2 = NTCatCod\ \eta1 ; NTCod\ \eta1 = NTDom\ \eta2 \rrbracket$
 $\implies R \rrbracket \implies R$
 $\langle proof \rangle$

lemma *NTCompDefinedI*: $\llbracket NatTrans\ \eta1 ; NatTrans\ \eta2 ; NTCatDom\ \eta2 = NT-$
 $CatDom\ \eta1 ;$
 $NTCatCod\ \eta2 = NTCatCod\ \eta1 ; NTCod\ \eta1 = NTDom\ \eta2 \rrbracket$
 $\implies \eta1 \approx \cdot \eta2$
 $\langle proof \rangle$

lemma *NatTransExt0*:
assumes $NTDom\ \eta1 = NTDom\ \eta2$ **and** $NTCod\ \eta1 = NTCod\ \eta2$
and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1) \implies \eta1\ \$\$ X = \eta2\ \$\$ X$
and $NatTransMap\ \eta1 \in extensional\ (Obj\ (NTCatDom\ \eta1))$
and $NatTransMap\ \eta2 \in extensional\ (Obj\ (NTCatDom\ \eta2))$
shows $\eta1 = \eta2$
 $\langle proof \rangle$

lemma *NatTransExt'*:
assumes $NTDom\ \eta1' = NTDom\ \eta2'$ **and** $NTCod\ \eta1' = NTCod\ \eta2'$
and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta1') \implies \eta1'\ \$\$ X = \eta2'\ \$\$ X$
shows $MakeNT\ \eta1' = MakeNT\ \eta2'$
 $\langle proof \rangle$

lemma *NatTransExt*:

assumes *NatTrans* $\eta 1$ **and** *NatTrans* $\eta 2$ **and** $NTDom\ \eta 1 = NTDom\ \eta 2$ **and**
 $NTCod\ \eta 1 = NTCod\ \eta 2$
and $\bigwedge X . X \in Obj\ (NTCatDom\ \eta 1) \implies \eta 1\ \$\$ X = \eta 2\ \$\$ X$
shows $\eta 1 = \eta 2$
 $\langle proof \rangle$

definition

$IdNatTrans' :: ('o1, 'o2, 'm1, 'm2, 'a1, 'a2)\ Functor \Rightarrow ('o1, 'o2, 'm1, 'm2, 'a1, 'a2)\ NatTrans$ **where**
 $IdNatTrans' F \equiv \langle$
 $NTDom = F ,$
 $NTCod = F ,$
 $NatTransMap = \lambda X . id_{CatCod\ F}\ (F\ @\@ X)$
 $\rangle$

definition $IdNatTrans\ F \equiv MakeNT(IdNatTrans'\ F)$

lemma *IdNatTrans-map*: $X \in obj_{CatDom\ F} \implies (IdNatTrans\ F)\ \$\$ X = id_{CatCod\ F}\ (F\ @\@ X)$
 $\langle proof \rangle$

lemmas $IdNatTrans-defs = IdNatTrans-def\ IdNatTrans'-def\ MakeNT-def\ IdNatTrans-map\ NTCatCod-def\ NTCatDom-def$

lemma *IdNatTransNatTrans'*: $Functor\ F \implies NatTransP(IdNatTrans'\ F)$
 $\langle proof \rangle$

lemma *IdNatTransNatTrans*: $Functor\ F \implies NatTrans\ (IdNatTrans\ F)$
 $\langle proof \rangle$

definition

$NatTransComp' :: ('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans \Rightarrow$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b)\ NatTrans\ (\mathbf{infixl}\ \langle \cdot 1 \rangle\ 75)$ **where**
 $NatTransComp'\ \eta 1\ \eta 2 = \langle$
 $NTDom = NTDom\ \eta 1 ,$
 $NTCod = NTCod\ \eta 2 ,$
 $NatTransMap = \lambda X . (\eta 1\ \$\$ X) ;;_{NTCatCod\ \eta 1}\ (\eta 2\ \$\$ X)$
 $\rangle$

definition $NatTransComp\ (\mathbf{infixl}\ \langle \cdot \rangle\ 75)$ **where** $\eta 1 \cdot \eta 2 \equiv MakeNT(\eta 1 \cdot 1\ \eta 2)$

lemma *NatTransComp-Comp1*: $\llbracket x \in Obj\ (NTCatDom\ f) ; f \approx_{>\cdot} g \rrbracket \implies (f \cdot g)\ \$\$ x = (f\ \$\$ x) ;;_{NTCatCod\ g}\ (g\ \$\$ x)$
 $\langle proof \rangle$

lemma *NatTransComp-Comp2*: $\llbracket x \in Obj\ (NTCatDom\ f) ; f \approx_{>\cdot} g \rrbracket \implies (f \cdot g)$

$\$ \$ x = (f \$ \$ x) ;;_{NTCatCod} f (g \$ \$ x)$
 $\langle proof \rangle$

lemmas *NatTransComp-defs* = *NatTransComp-def* *NatTransComp'-def* *MakeNT-def*
NatTransComp-Comp1 *NTCatCod-def* *NTCatDom-def*

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NatTrans \ \eta 1$ $\langle proof \rangle$

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NatTrans \ \eta 2$ $\langle proof \rangle$

lemma *NTCatDom*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatDom \ \eta 1 = NTCatDom \ \eta 2$
 $\langle proof \rangle$

lemma *NTCatCod*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatCod \ \eta 1 = NTCatCod \ \eta 2$ $\langle proof \rangle$

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatDom \ (\eta 1 \cdot 1 \ \eta 2) = NTCatDom \ \eta 1$ $\langle proof \rangle$

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatCod \ (\eta 1 \cdot 1 \ \eta 2) = NTCatCod \ \eta 1$ $\langle proof \rangle$

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatDom \ (\eta 1 \cdot \eta 2) = NTCatDom \ \eta 1$ $\langle proof \rangle$

lemma *[simp]*: $\eta 1 \approx_{>\cdot} \eta 2 \implies NTCatCod \ (\eta 1 \cdot \eta 2) = NTCatCod \ \eta 1$ $\langle proof \rangle$

lemma *[simp]*: $NatTrans \ \eta \implies Category(NTCatDom \ \eta)$ $\langle proof \rangle$

lemma *[simp]*: $NatTrans \ \eta \implies Category(NTCatCod \ \eta)$ $\langle proof \rangle$

lemma *DDDC*: **assumes** $NatTrans \ f$ **shows** $CatDom \ (NTDom \ f) = CatDom$
 $(NTCod \ f)$
 $\langle proof \rangle$

lemma *CCCD*: **assumes** $NatTrans \ f$ **shows** $CatCod \ (NTCod \ f) = CatCod \ (NTDom$
 $f)$
 $\langle proof \rangle$

lemma *IdNatTransCompDefDom*: $NatTrans \ f \implies (IdNatTrans \ (NTDom \ f)) \approx_{>\cdot}$
 f
 $\langle proof \rangle$

lemma *IdNatTransCompDefCod*: $NatTrans \ f \implies f \approx_{>\cdot} (IdNatTrans \ (NTCod \ f))$
 $\langle proof \rangle$

lemma *NatTransCompDefCod*:
assumes $NatTrans \ \eta$ **and** f *maps* $NTCatDom \ \eta \ X$ *to* Y
shows $(\eta \$ \$ X) \approx_{>_{NTCatCod \ \eta}} (NTCod \ \eta \ \#\# f)$
 $\langle proof \rangle$

lemma *NatTransCompDefDom*:
assumes $NatTrans \ \eta$ **and** f *maps* $NTCatDom \ \eta \ X$ *to* Y
shows $(NTDom \ \eta \ \#\# f) \approx_{>_{NTCatCod \ \eta}} (\eta \$ \$ Y)$
 $\langle proof \rangle$

lemma *NatTransCompCompDef*:
assumes $\eta 1 \approx_{>\cdot} \eta 2$ **and** $X \in obj_{NTCatDom \ \eta 1}$
shows $(\eta 1 \$ \$ X) \approx_{>_{NTCatCod \ \eta 1}} (\eta 2 \$ \$ X)$
 $\langle proof \rangle$

lemma *NatTransCompNatTrans'*:
assumes $\eta 1 \approx_{>\cdot} \eta 2$

shows $\text{NatTransP } (\eta 1 \cdot 1 \eta 2)$
 $\langle \text{proof} \rangle$

lemma $\text{NatTransCompNatTrans}: \eta 1 \approx_{>\cdot} \eta 2 \implies \text{NatTrans } (\eta 1 \cdot \eta 2)$
 $\langle \text{proof} \rangle$

definition

$\text{CatExp}' :: ('o1, 'm1, 'a) \text{ Category-scheme} \Rightarrow ('o2, 'm2, 'b) \text{ Category-scheme} \Rightarrow$
 $((('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ Functor},$
 $('o1, 'o2, 'm1, 'm2, 'a, 'b) \text{ NatTrans}) \text{ Category} \text{ where}$
 $\text{CatExp}' A B \equiv ()$
 $\text{Category.Obj} = \{F . \text{Ftor } F : A \longrightarrow B\} ,$
 $\text{Category.Mor} = \{\eta . \text{NatTrans } \eta \wedge \text{NTCatDom } \eta = A \wedge \text{NTCatCod } \eta = B\}$
 $,$
 $\text{Category.Dom} = \text{NTDom} ,$
 $\text{Category.Cod} = \text{NTCod} ,$
 $\text{Category.Id} = \text{IdNatTrans} ,$
 $\text{Category.Comp} = \lambda f g. (f \cdot g)$
 $\rangle$

definition $\text{CatExp } A B \equiv \text{MakeCat}(\text{CatExp}' A B)$

lemma IdNatTransMapL :
assumes $\text{NT}: \text{NatTrans } f$
shows $\text{IdNatTrans } (\text{NTDom } f) \cdot f = f$
 $\langle \text{proof} \rangle$

lemma IdNatTransMapR :
assumes $\text{NT}: \text{NatTrans } f$
shows $f \cdot \text{IdNatTrans } (\text{NTCod } f) = f$
 $\langle \text{proof} \rangle$

lemma $\text{NatTransCompDefined}$:
assumes $f \approx_{>\cdot} g$ **and** $g \approx_{>\cdot} h$
shows $(f \cdot g) \approx_{>\cdot} h$ **and** $f \approx_{>\cdot} (g \cdot h)$
 $\langle \text{proof} \rangle$

lemma NatTransCompAssoc :
assumes $f \approx_{>\cdot} g$ **and** $g \approx_{>\cdot} h$
shows $(f \cdot g) \cdot h = f \cdot (g \cdot h)$
 $\langle \text{proof} \rangle$

lemma CatExpCatAx :
assumes $\text{Category } A$ **and** $\text{Category } B$
shows $\text{Category-axioms } (\text{CatExp}' A B)$
 $\langle \text{proof} \rangle$

lemma $\text{CatExpCat}: \llbracket \text{Category } A ; \text{Category } B \rrbracket \implies \text{Category } (\text{CatExp } A B)$
 $\langle \text{proof} \rangle$

lemmas *CatExp-defs* = *CatExp-def* *CatExp'-def* *MakeCat-def*

lemma *CatExpDom*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{dom}_{\text{CatExp } A \ B} f = \text{NTDom } f$
 $\langle \text{proof} \rangle$

lemma *CatExpCod*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{cod}_{\text{CatExp } A \ B} f = \text{NTCod } f$
 $\langle \text{proof} \rangle$

lemma *CatExpId*: $X \in \text{Obj } (\text{CatExp } A \ B) \implies \text{Id } (\text{CatExp } A \ B) \ X = \text{IdNatTrans } X$
 $\langle \text{proof} \rangle$

lemma *CatExpNatTransCompDef*: **assumes** $f \approx_{> \text{CatExp } A \ B} g$ **shows** $f \approx_{> \cdot} g$
 $\langle \text{proof} \rangle$

lemma *CatExpDist*:
assumes $X \in \text{Obj } A$ **and** $f \approx_{> \text{CatExp } A \ B} g$
shows $(f \ ;_{\text{CatExp } A \ B} g) \ \$\$ X = (f \ \$\$ X) \ ;_B (g \ \$\$ X)$
 $\langle \text{proof} \rangle$

lemma *CatExpMorNT*: $f \in \text{Mor } (\text{CatExp } A \ B) \implies \text{NatTrans } f$
 $\langle \text{proof} \rangle$

end

6 The Category of Sets

theory *SetCat*
imports *Functors Universe*
begin

notation *Elem* (**infixl** $\langle | \in | \rangle$ 70)
notation *HOLZF.subset* (**infixl** $\langle | \subseteq | \rangle$ 71)
notation *CartProd* (**infixl** $\langle | \times | \rangle$ 75)

definition
 $\text{ZFfun} :: \text{ZF} \Rightarrow \text{ZF} \Rightarrow (\text{ZF} \Rightarrow \text{ZF}) \Rightarrow \text{ZF}$ **where**
 $\text{ZFfun } d \ r \ f \equiv \text{Opair } (\text{Opair } d \ r) (\text{Lambda } d \ f)$

definition
 $\text{ZFfunDom} :: \text{ZF} \Rightarrow \text{ZF} \ (\langle | \text{dom} | \rightarrow [72] \ 72) \text{ where}$
 $\text{ZFfunDom } f \equiv \text{Fst } (\text{Fst } f)$

definition
 $\text{ZFfunCod} :: \text{ZF} \Rightarrow \text{ZF} \ (\langle | \text{cod} | \rightarrow [72] \ 72) \text{ where}$
 $\text{ZFfunCod } f \equiv \text{Snd } (\text{Fst } f)$

definition

$ZFfunApp :: ZF \Rightarrow ZF \Rightarrow ZF$ (**infixl** $\langle |@| \rangle$ 73) **where**

$ZFfunApp\ f\ x \equiv app\ (Snd\ f)\ x$

definition

$ZFfunComp :: ZF \Rightarrow ZF \Rightarrow ZF$ (**infixl** $\langle |o| \rangle$ 72) **where**

$ZFfunComp\ f\ g \equiv ZFfun\ (\ |dom| f) (\ |cod| g) (\lambda x. g\ |@| (f\ |@| x))$

definition

$isZFfun :: ZF \Rightarrow bool$ **where**

$isZFfun\ drf \equiv let\ f = Snd\ drf\ in$

$isOpair\ drf \wedge isOpair\ (Fst\ drf) \wedge isFun\ f \wedge (f\ |\subseteq| (Domain\ f)\ |\times| (Range\ f))$
 $\wedge (Domain\ f = |dom| drf) \wedge (Range\ f\ |\subseteq| |cod| drf)$

lemma $isZFfunE[elim]$: $\llbracket isZFfun\ f ;$

$\llbracket isOpair\ f ; isOpair\ (Fst\ f) ; isFun\ (Snd\ f) ;$

$((Snd\ f)\ |\subseteq| (Domain\ (Snd\ f))\ |\times| (Range\ (Snd\ f))) ;$

$(Domain\ (Snd\ f) = |dom| f) \wedge (Range\ (Snd\ f)\ |\subseteq| |cod| f) \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$

$\langle proof \rangle$

definition

$SET' :: (ZF, ZF)\ Category$ **where**

$SET' \equiv \langle$

$Category.Obj = \{x . True\} ,$

$Category.Mor = \{f . isZFfun\ f\} ,$

$Category.Dom = ZFfunDom ,$

$Category.Cod = ZFfunCod ,$

$Category.Id = \lambda x. ZFfun\ x\ x\ (\lambda x . x) ,$

$Category.Comp = ZFfunComp$

$\rangle$

definition $SET \equiv MakeCat\ SET'$

lemma $ZFfunDom$: $|dom| (ZFfun\ A\ B\ f) = A$

$\langle proof \rangle$

lemma $ZFfunCod$: $|cod| (ZFfun\ A\ B\ f) = B$

$\langle proof \rangle$

lemma $SETfun$:

assumes $\forall x . x\ |\in| A \longrightarrow (f\ x)\ |\in| B$

shows $isZFfun\ (ZFfun\ A\ B\ f)$

$\langle proof \rangle$

lemma $ZFCartProd$:

assumes $x\ |\in| A\ |\times| B$

shows $Fst\ x\ |\in| A \wedge Snd\ x\ |\in| B \wedge isOpair\ x$

$\langle proof \rangle$

lemma *ZFfunDomainOpair*:
assumes *isFun* *f*
and $x \in |Domain\ f|$
shows $Opair\ x\ (app\ f\ x) \in |f|$
 $\langle proof \rangle$

lemma *ZFFunToLambda*:
assumes 1: *isFun* *f*
and 2: $f \subseteq |Domain\ f| \times |Range\ f|$
shows $f = Lambda\ (Domain\ f)\ (\lambda x. app\ f\ x)$
 $\langle proof \rangle$

lemma *ZFfunApp*:
assumes $x \in |A|$
shows $(ZFfun\ A\ B\ f) \ @\ x = f\ x$
 $\langle proof \rangle$

lemma *ZFfun*:
assumes *isZFfun* *f*
shows $f = ZFfun\ (|dom|\ f)\ (|cod|\ f)\ (\lambda x. f\ @\ x)$
 $\langle proof \rangle$

lemma *ZFfun-ext*:
assumes $\forall x. x \in |A| \longrightarrow f\ x = g\ x$
shows $(ZFfun\ A\ B\ f) = (ZFfun\ A\ B\ g)$
 $\langle proof \rangle$

lemma *ZFfunExt*:
assumes $|dom|\ f = |dom|\ g$ **and** $|cod|\ f = |cod|\ g$ **and** *funf*: *isZFfun* *f* **and** *fung*:
isZFfun *g*
and $\bigwedge x. x \in (|dom|\ f) \implies f\ @\ x = g\ @\ x$
shows $f = g$
 $\langle proof \rangle$

lemma *ZFfunDomAppCod*:
assumes *isZFfun* *f*
and $x \in |dom|\ f$
shows $f\ @\ x \in |cod|\ f$
 $\langle proof \rangle$

lemma *ZFfunComp*:
assumes $\forall x. x \in |A| \longrightarrow f\ x \in |B|$
shows $(ZFfun\ A\ B\ f) \ o\ (ZFfun\ B\ C\ g) = ZFfun\ A\ C\ (g \circ f)$
 $\langle proof \rangle$

lemma *ZFfunCompApp*:
assumes *a*:*isZFfun* *f* **and** *b*:*isZFfun* *g* **and** *c*: $|dom|\ g = |cod|\ f$
shows $f \ o\ g = ZFfun\ (|dom|\ f)\ (|cod|\ g)\ (\lambda x. g\ @\ (f\ @\ x))$

$\langle proof \rangle$

lemma *ZFfunCompAppZFfun*:

assumes *isZFfun f and isZFfun g and* $|dom|g = |cod|f$

shows *isZFfun (f |o| g)*

$\langle proof \rangle$

lemma *ZFfunCompAssoc*:

assumes *a: isZFfun f and b: isZFfun h and c: |cod|g = |dom|h*

and *d: isZFfun g and e: |cod|f = |dom|g*

shows $f |o| g |o| h = f |o| (g |o| h)$

$\langle proof \rangle$

lemma *ZFfunCompAppDomCod*:

assumes *isZFfun f and isZFfun g and* $|dom|g = |cod|f$

shows $|dom| (f |o| g) = |dom| f \wedge |cod| (f |o| g) = |cod| g$

$\langle proof \rangle$

lemma *ZFfunIdLeft*:

assumes *a: isZFfun f* **shows** $(ZFfun (|dom|f) (|dom|f) (\lambda x. x)) |o| f = f$

$\langle proof \rangle$

lemma *ZFfunIdRight*:

assumes *a: isZFfun f* **shows** $f |o| (ZFfun (|cod|f) (|cod|f) (\lambda x. x)) = f$

$\langle proof \rangle$

lemma *SETCategory*: *Category(SET)*

$\langle proof \rangle$

lemma *SETobj*: $X \in Obj(SET)$

$\langle proof \rangle$

lemma *SETcod*: $isZFfun (ZFfun A B f) \implies cod_{SET} ZFfun A B f = B$

$\langle proof \rangle$

lemma *SETmor*: $(isZFfun f) = (f \in mor_{SET})$

$\langle proof \rangle$

lemma *SETdom*: $isZFfun (ZFfun A B f) \implies dom_{SET} ZFfun A B f = A$

$\langle proof \rangle$

lemma *SETId*: **assumes** $x \in X$ **shows** $(Id_{SET} X) |@| x = x$

$\langle proof \rangle$

lemma *SETCompE[elim]*: $\llbracket f \approx_{SET} g ; \llbracket isZFfun f ; isZFfun g ; |cod| f = |dom| g \rrbracket \implies R \rrbracket \implies R$

$\langle proof \rangle$

lemma *SETmapsTo*: $f maps_{SET} X to Y \implies isZFfun f \wedge |dom| f = X \wedge |cod| f$

= Y
 $\langle proof \rangle$

lemma *SETComp*: **assumes** $f \approx_{>SET} g$ **shows** $f \parallel_{SET} g = f \mid o \mid g$
 $\langle proof \rangle$

lemma *SETCompAt*:
assumes $f \approx_{>SET} g$ **and** $x \mid \in \mid dom \mid f$ **shows** $(f \parallel_{SET} g) \mid @ \mid x = g \mid @ \mid (f \mid @ \mid x)$
 $\langle proof \rangle$

lemma *SETZFfun*:
assumes $f \text{ maps}_{SET} X \text{ to } Y$ **shows** $f = ZFfun\ X\ Y\ (\lambda x . f \mid @ \mid x)$
 $\langle proof \rangle$

lemma *SETfunDomAppCod*:
assumes $f \text{ maps}_{SET} X \text{ to } Y$ **and** $x \mid \in \mid X$
shows $f \mid @ \mid x \mid \in \mid Y$
 $\langle proof \rangle$

record $(\prime o, \prime m)$ *LSCategory* = $(\prime o, \prime m)$ *Category* +
 $mor2ZF :: \prime m \Rightarrow ZF\ (\langle m2z1 \rangle [70]\ 70)$

definition
 $ZF2mor\ (\langle z2m1 \rangle [70]\ 70)$ **where**
 $ZF2mor\ C\ f \equiv THE\ m . m \in mor_C \wedge m2z_C\ m = f$

definition
 $HOMCollection\ C\ X\ Y \equiv \{m2z_C\ f \mid f . f \text{ maps}_C\ X \text{ to } Y\}$

definition
 $HomSet\ (\langle Hom1 - \rangle [65, 65]\ 65)$ **where**
 $HomSet\ C\ X\ Y \equiv implode\ (HOMCollection\ C\ X\ Y)$

locale *LSCategory* = *Category* +
assumes $mor2ZFInj: \llbracket x \in mor ; y \in mor ; m2z\ x = m2z\ y \rrbracket \Longrightarrow x = y$
and $HOMSetIsSet: \llbracket X \in obj ; Y \in obj \rrbracket \Longrightarrow HOMCollection\ C\ X\ Y \in range\ explode$
and $m2zExt: mor2ZF\ C \in extensional\ (Mor\ C)$

lemma *[elim]*: $\llbracket LSCategory\ C ;$
 $\llbracket Category\ C ; \llbracket x \in mor_C ; y \in mor_C ; m2z_C\ x = m2z_C\ y \rrbracket \Longrightarrow x = y;$
 $\llbracket X \in obj_C ; Y \in obj_C \rrbracket \Longrightarrow HOMCollection\ C\ X\ Y \in range\ explode \rrbracket \Longrightarrow R \rrbracket \Longrightarrow$
 R
 $\langle proof \rangle$

definition
 $HomFtorMap :: (\prime o, \prime m, \prime a)$ *LSCategory-scheme* $\Rightarrow \prime o \Rightarrow \prime m \Rightarrow ZF\ (\langle Hom1[-, -] \rangle$

[65,65] 65) **where**

$HomFtorMap\ C\ X\ g \equiv ZFfun\ (Hom_C\ X\ (dom_C\ g))\ (Hom_C\ X\ (cod_C\ g))\ (\lambda\ f.\ m2z_C\ ((z2m_C\ f) ;;_C\ g))$

definition

$HomFtor' :: ('o, 'm, 'a)\ LSCategory-scheme \Rightarrow 'o \Rightarrow$

$(('o, ZF, 'm, ZF, \langle mor2ZF :: 'm \Rightarrow ZF, \dots :: 'a \rangle, unit)\ Functor\ (\langle HomP1[-,-] \rangle$

[65] 65) **where**

$HomFtor'\ C\ X \equiv \langle$

$CatDom = C,$

$CatCod = SET,$

$MapM = \lambda\ g.\ Hom_C[X, g]$

$\rangle$

definition $HomFtor\ (\langle Hom1[-,-] \rangle\ [65]\ 65)$ **where** $HomFtor\ C\ X \equiv MakeFtor\ (HomFtor'\ C\ X)$

lemma [simp]: $LSCategory\ C \Longrightarrow Category\ C$

$\langle proof \rangle$

lemma (in $LSCategory$) $m2zz2m$:

assumes f maps X to Y **shows** $(m2z\ f) \mid\in\ (Hom\ X\ Y)$

$\langle proof \rangle$

lemma (in $LSCategory$) $m2zz2mInv$:

assumes $f \in mor$

shows $z2m\ (m2z\ f) = f$

$\langle proof \rangle$

lemma (in $LSCategory$) $z2mm2z$:

assumes $X \in obj$ and $Y \in obj$ and $f \mid\in\ (Hom\ X\ Y)$

shows $z2m\ f$ maps X to $Y \wedge m2z\ (z2m\ f) = f$

$\langle proof \rangle$

lemma $HomFtorMapLemma1$:

assumes $a: LSCategory\ C$ and $b: X \in obj_C$ and $c: f \in mor_C$ and $d: x \mid\in\ (Hom_C\ X\ (dom_C\ f))$

shows $(m2z_C\ ((z2m_C\ x) ;;_C\ f)) \mid\in\ (Hom_C\ X\ (cod_C\ f))$

$\langle proof \rangle$

lemma $HomFtorInMor'$:

assumes $LSCategory\ C$ and $X \in obj_C$ and $f \in mor_C$

shows $Hom_C[X, f] \in mor_{SET'}$

$\langle proof \rangle$

lemma $HomFtorMor'$:

assumes $LSCategory\ C$ and $X \in obj_C$ and $f \in mor_C$

shows $Hom_C[X, f]$ maps $_{SET'}$ $Hom_C\ X\ (dom_C\ f)$ to $Hom_C\ X\ (cod_C\ f)$

$\langle proof \rangle$

lemma *HomFtorMapsTo*:

$\llbracket \text{LSCategory } C ; X \in \text{obj}_C ; f \in \text{mor}_C \rrbracket \implies \text{Hom}_C[X, f] \text{ maps}_{SET} \text{Hom}_C X$
 $(\text{dom}_C f) \text{ to } \text{Hom}_C X (\text{cod}_C f)$
 $\langle \text{proof} \rangle$

lemma *HomFtorMor*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \in \text{mor}_C$
shows $\text{Hom}_C[X, f] \in \text{Mor } SET$ **and** $\text{dom}_{SET} (\text{Hom}_C[X, f]) = \text{Hom}_C X (\text{dom}_C f)$
and $\text{cod}_{SET} (\text{Hom}_C[X, f]) = \text{Hom}_C X (\text{cod}_C f)$
 $\langle \text{proof} \rangle$

lemma *HomFtorCompDef'*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx_{>C} g$
shows $(\text{Hom}_C[X, f]) \approx_{>SET'} (\text{Hom}_C[X, g])$
 $\langle \text{proof} \rangle$

lemma *HomFtorDist'*:

assumes $a: \text{LSCategory } C$ **and** $b: X \in \text{obj}_C$ **and** $c: f \approx_{>C} g$
shows $(\text{Hom}_C[X, f]) ;;_{SET'} (\text{Hom}_C[X, g]) = \text{Hom}_C[X, f ;;_C g]$
 $\langle \text{proof} \rangle$

lemma *HomFtorDist*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $f \approx_{>C} g$
shows $(\text{Hom}_C[X, f]) ;;_{SET} (\text{Hom}_C[X, g]) = \text{Hom}_C[X, f ;;_C g]$
 $\langle \text{proof} \rangle$

lemma *HomFtorId'*:

assumes $a: \text{LSCategory } C$ **and** $b: X \in \text{obj}_C$ **and** $c: Y \in \text{obj}_C$
shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{SET'} (\text{Hom}_C X Y)$
 $\langle \text{proof} \rangle$

lemma *HomFtorId*:

assumes *LSCategory* C **and** $X \in \text{obj}_C$ **and** $Y \in \text{obj}_C$
shows $\text{Hom}_C[X, \text{id}_C Y] = \text{id}_{SET} (\text{Hom}_C X Y)$
 $\langle \text{proof} \rangle$

lemma *HomFtorObj'*:

assumes $a: \text{LSCategory } C$
and $b: \text{PreFunctor } (\text{HomP}_C[X, -])$ **and** $c: X \in \text{obj}_C$ **and** $d: Y \in \text{obj}_C$
shows $(\text{HomP}_C[X, -]) @@ Y = \text{Hom}_C X Y$
 $\langle \text{proof} \rangle$

lemma *HomFtorFtor'*:

assumes $a: \text{LSCategory } C$
and $b: X \in \text{obj}_C$
shows $\text{FunctorM } (\text{HomP}_C[X, -])$
 $\langle \text{proof} \rangle$

lemma *HomFtorFtor*:
assumes *a*: *LSCategory C*
and *b*: $X \in \text{obj}_C$
shows *Functor* ($\text{Hom}_C[X, -]$)
 $\langle \text{proof} \rangle$

lemma *HomFtorObj*:
assumes *LSCategory C*
and $X \in \text{obj}_C$ **and** $Y \in \text{obj}_C$
shows $(\text{Hom}_C[X, -]) \text{@@} Y = \text{Hom}_C X Y$
 $\langle \text{proof} \rangle$

definition
 $\text{HomFtorMapContra} :: ('o, 'm, 'a) \text{LSCategory-scheme} \Rightarrow 'm \Rightarrow 'o \Rightarrow \text{ZF} (\langle \text{HomC1}[-, -] \rangle$
 $[65, 65] \ 65) \text{ where}$
 $\text{HomFtorMapContra } C \ g \ X \equiv \text{ZFfun } (\text{Hom}_C (\text{cod}_C \ g) \ X) (\text{Hom}_C (\text{dom}_C \ g) \ X)$
 $(\lambda f . m2z_C (g ;;_C (z2m_C f)))$

definition
 $\text{HomFtorContra}' :: ('o, 'm, 'a) \text{LSCategory-scheme} \Rightarrow 'o \Rightarrow$
 $(\langle 'o, \text{ZF}, 'm, \text{ZF}, \langle \text{mor2ZF} :: 'm \Rightarrow \text{ZF}, \dots :: 'a \rangle, \text{unit} \rangle \text{Functor } (\langle \text{HomP1}[-, -] \rangle$
 $[65] \ 65) \text{ where}$
 $\text{HomFtorContra}' \ C \ X \equiv \langle$
 $\text{CatDom} = (\text{Op } C),$
 $\text{CatCod} = \text{SET} ,$
 $\text{MapM} = \lambda g . \text{Hom}_C [g, X]$
 $\rangle$

definition $\text{HomFtorContra} (\langle \text{Hom1}[-, -] \rangle [65] \ 65) \text{ where } \text{HomFtorContra } C \ X \equiv$
 $\text{MakeFtor}(\text{HomFtorContra}' \ C \ X)$

lemma *HomContraAt*: $x \in | (\text{Hom}_C (\text{cod}_C \ f) \ X) \implies (\text{Hom}_C [f, X]) \ |@| \ x =$
 $m2z_C (f ;;_C (z2m_C x))$
 $\langle \text{proof} \rangle$

lemma *mor2ZF-Op*: $\text{mor2ZF} (\text{Op } C) = \text{mor2ZF } C$
 $\langle \text{proof} \rangle$

lemma *mor-Op*: $\text{mor}_{\text{Op } C} = \text{mor}_C \langle \text{proof} \rangle$

lemma *obj-Op*: $\text{obj}_{\text{Op } C} = \text{obj}_C \langle \text{proof} \rangle$

lemma *ZF2mor-Op*: $\text{ZF2mor} (\text{Op } C) \ f = \text{ZF2mor } C \ f$
 $\langle \text{proof} \rangle$

lemma *mapsTo-Op*: $f \text{ maps}_{\text{Op } C} Y \text{ to } X = f \text{ maps}_C X \text{ to } Y$
 $\langle \text{proof} \rangle$

lemma *HOMCollection-Op*: $\text{HOMCollection} (\text{Op } C) \ X \ Y = \text{HOMCollection } C \ Y$

X
 $\langle proof \rangle$

lemma *Hom-Op*: $Hom_{Op\ C} X\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomFtorContra'*: $HomP_C[-,X] = HomP_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContra*: $Hom_C[-,X] = Hom_{Op\ C}[X,-]$
 $\langle proof \rangle$

lemma *HomFtorContraDom*: $CatDom\ (Hom_C[-,X]) = Op\ C$
 $\langle proof \rangle$

lemma *HomFtorContraCod*: $CatCod\ (Hom_C[-,X]) = SET$
 $\langle proof \rangle$

lemma *LSCategory-Op*: **assumes** *LSCategory* C **shows** *LSCategory* $(Op\ C)$
 $\langle proof \rangle$

lemma *HomFtorContraFtor*:
assumes *LSCategory* C
and $X \in obj_C$
shows $Ftor\ (Hom_C[-,X]) : (Op\ C) \longrightarrow SET$
 $\langle proof \rangle$

lemma *HomFtorOpObj*:
assumes *LSCategory* C
and $X \in obj_C$ **and** $Y \in obj_C$
shows $(Hom_C[-,X])\ @@\ Y = Hom_C\ Y\ X$
 $\langle proof \rangle$

lemma *HomCHomOp*: $Hom_C\ C[g,X] = Hom_{Op\ C}[X,g]$
 $\langle proof \rangle$

lemma *HomFtorContraMapsTo*:
assumes *LSCategory* C **and** $X \in obj_C$ **and** $f \in mor_C$
shows $Hom_C\ C[f,X]\ maps_{SET}\ Hom_C\ (cod_C\ f)\ X\ to\ Hom_C\ (dom_C\ f)\ X$
 $\langle proof \rangle$

lemma *HomFtorContraMor*:
assumes *LSCategory* C **and** $X \in obj_C$ **and** $f \in mor_C$
shows $Hom_C\ C[f,X] \in Mor\ SET$ **and** $dom_{SET}\ (Hom_C\ C[f,X]) = Hom_C\ (cod_C\ f)\ X$
and $cod_{SET}\ (Hom_C\ C[f,X]) = Hom_C\ (dom_C\ f)\ X$
 $\langle proof \rangle$

lemma *HomContraMor*:
assumes *LSCategory C* **and** $f \in \text{Mor } C$
shows $(\text{Hom}_C[-, X]) \#\# f = \text{Hom}_C[f, X]$
 $\langle \text{proof} \rangle$

lemma *HomCHom*:
assumes *LSCategory C* **and** $f \in \text{Mor } C$ **and** $g \in \text{Mor } C$
shows $(\text{Hom}_C C[g, \text{dom}_C f]) \mathrel{::}_{SET} (\text{Hom}_C[\text{dom}_C g, f]) = (\text{Hom}_C[\text{cod}_C g, f]) \mathrel{::}_{SET} (\text{Hom}_C C[g, \text{cod}_C f])$
 $\langle \text{proof} \rangle$

end

7 Yoneda

theory *Yoneda*
imports *NatTrans SetCat*
begin

definition $YFtorNT' C f \equiv \langle NTDom = \text{Hom}_C[-, \text{dom}_C f], NTCod = \text{Hom}_C[-, \text{cod}_C f] \rangle$,

$$NatTransMap = \lambda B . \text{Hom}_C[B, f] \rangle$$

definition $YFtorNT C f \equiv MakeNT (YFtorNT' C f)$

lemmas *YFtorNT-defs* = *YFtorNT'-def YFtorNT-def MakeNT-def*

lemma *YFtorNTCatDom*: $NTCatDom (YFtorNT C f) = Op C$
 $\langle \text{proof} \rangle$

lemma *YFtorNTCatCod*: $NTCatCod (YFtorNT C f) = SET$
 $\langle \text{proof} \rangle$

lemma *YFtorNTApp1*: **assumes** $X \in Obj (NTCatDom (YFtorNT C f))$ **shows**
 $(YFtorNT C f) \$\$ X = \text{Hom}_C[X, f]$
 $\langle \text{proof} \rangle$

definition
 $YFtor' C \equiv \langle$

$$\begin{aligned} & CatDom = C, \\ & CatCod = CatExp (Op C) SET, \\ & MapM = \lambda f . YFtorNT C f \end{aligned}$$

 $\rangle$

definition $YFtor C \equiv MakeFtor(YFtor' C)$

lemmas $YFtor-defs = YFtor'-def\ YFtor-def\ MakeFtor-def$

lemma $YFtorNTNatTrans'$:
assumes $LSCategory\ C$ **and** $f \in Mor\ C$
shows $NatTransP\ (YFtorNT'\ C\ f)$
 $\langle proof \rangle$

lemma $YFtorNTNatTrans$:
assumes $LSCategory\ C$ **and** $f \in Mor\ C$
shows $NatTrans\ (YFtorNT\ C\ f)$
 $\langle proof \rangle$

lemma $YFtorNTMor$:
assumes $LSCategory\ C$ **and** $f \in Mor\ C$
shows $YFtorNT\ C\ f \in Mor\ (CatExp\ (Op\ C)\ SET)$
 $\langle proof \rangle$

lemma $YFtorNtMapsTo$:
assumes $LSCategory\ C$ **and** $f \in Mor\ C$
shows $YFtorNT\ C\ f\ maps_{CatExp\ (Op\ C)\ SET}\ (Hom_C[-,\ dom_C\ f])\ to\ (Hom_C[-,\ cod_C\ f])$
 $\langle proof \rangle$

lemma $YFtorNTCompDef$:
assumes $LSCategory\ C$ **and** $f \approx_{>C} g$
shows $YFtorNT\ C\ f \approx_{>CatExp\ (Op\ C)\ SET}\ YFtorNT\ C\ g$
 $\langle proof \rangle$

lemma $PreSheafCat$: $LSCategory\ C \implies Category\ (CatExp\ (Op\ C)\ SET)$
 $\langle proof \rangle$

lemma $YFtor'Obj1$:
assumes $X \in Obj\ (CatDom\ (YFtor'\ C))$ **and** $LSCategory\ C$
shows $(YFtor'\ C) \#\# (Id\ (CatDom\ (YFtor'\ C))\ X) = Id\ (CatCod\ (YFtor'\ C))\ (Hom_C\ [-,\ X])$
 $\langle proof \rangle$

lemma $YFtorPreFtor$:
assumes $LSCategory\ C$
shows $PreFunctor\ (YFtor'\ C)$
 $\langle proof \rangle$

lemma $YFtor'Obj$:
assumes $X \in Obj\ (CatDom\ (YFtor'\ C))$
and $LSCategory\ C$
shows $(YFtor'\ C) @@ X = Hom_C\ [-,\ X]$
 $\langle proof \rangle$

lemma $YFtorFtor'$:

assumes $LSCategory\ C$
shows $FunctorM\ (YFtor'\ C)$
 $\langle proof \rangle$

lemma $YFtorFtor$: **assumes** $LSCategory\ C$ **shows** $Ftor\ (YFtor\ C) : C \longrightarrow (CatExp\ (Op\ C)\ SET)$
 $\langle proof \rangle$

lemma $YFtorObj$:
assumes $LSCategory\ C$ **and** $X \in Obj\ C$
shows $(YFtor\ C)\ @\@ X = Hom_C\ [-, X]$
 $\langle proof \rangle$

lemma $YFtorObj2$:
assumes $LSCategory\ C$ **and** $X \in Obj\ C$ **and** $Y \in Obj\ C$
shows $((YFtor\ C)\ @\@ Y)\ @\@ X = Hom_C\ X\ Y$
 $\langle proof \rangle$

lemma $YFtorMor$: $\llbracket LSCategory\ C ; f \in Mor\ C \rrbracket \implies (YFtor\ C)\ \#\# f = YFtorNT\ C\ f$
 $\langle proof \rangle$

definition $YMap\ C\ X\ \eta \equiv (\eta\ \$\$ X)\ |\@|\ (m2z_C\ (id_C\ X))$

definition $YMapInv'\ C\ X\ F\ x \equiv \langle$
 $NTDom = ((YFtor\ C)\ @\@ X),$
 $NTCod = F,$
 $NatTransMap = \lambda B . ZFfun\ (Hom_C\ B\ X)\ (F\ @\@ B)\ (\lambda f . (F\ \#\# (z2m_C$
 $f))\ |\@|\ x)$
 $\rangle$

definition $YMapInv\ C\ X\ F\ x \equiv MakeNT\ (YMapInv'\ C\ X\ F\ x)$

lemma $YMapInvApp$:
assumes $X \in Obj\ C$ **and** $B \in Obj\ C$ **and** $LSCategory\ C$
shows $(YMapInv\ C\ X\ F\ x)\ \$\$ B = ZFfun\ (Hom_C\ B\ X)\ (F\ @\@ B)\ (\lambda f . (F\ \#\# (z2m_C\ f))\ |\@|\ x)$
 $\langle proof \rangle$

lemma $YMapImage$:
assumes $LSCategory\ C$ **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $X \in Obj\ C$
and $NT\ \eta : (YFtor\ C\ @\@ X) \implies F$
shows $(YMap\ C\ X\ \eta)\ |\in|\ (F\ @\@ X)$
 $\langle proof \rangle$

lemma $YMapInvNatTransP$:
assumes $LSCategory\ C$ **and** $Ftor\ F : (Op\ C) \longrightarrow SET$ **and** $xobj : X \in Obj\ C$
and $xinF : x\ |\in|\ (F\ @\@ X)$
shows $NatTransP\ (YMapInv'\ C\ X\ F\ x)$

$\langle proof \rangle$

lemma *YMapInvNatTrans*:

assumes *LSCategory* C and $Ftor\ F : (Op\ C) \longrightarrow SET$ and $X \in Obj\ C$ and x
| $\in$ | ($F\ @@ X$)
shows *NatTrans* ($YMapInv\ C\ X\ F\ x$)
 $\langle proof \rangle$

lemma *YMapInvImage*:

assumes *LSCategory* C and $Ftor\ F : (Op\ C) \longrightarrow SET$ and $X \in Obj\ C$
and x | $\in$ | ($F\ @@ X$)
shows *NT* ($YMapInv\ C\ X\ F\ x$) : ($YFtor\ C\ @@ X$) $\Longrightarrow F$
 $\langle proof \rangle$

lemma *YMap1*:

assumes *LSCat*: *LSCategory* C and *Ftor*: $Ftor\ F : (Op\ C) \longrightarrow SET$ and *XObj*:
 $X \in Obj\ C$
and *NT*: *NT* $\eta : (YFtor\ C\ @@ X) \Longrightarrow F$
shows $YMapInv\ C\ X\ F\ (YMap\ C\ X\ \eta) = \eta$
 $\langle proof \rangle$

lemma *YMap2*:

assumes *LSCategory* C and $Ftor\ F : (Op\ C) \longrightarrow SET$ and $X \in Obj\ C$
and x | $\in$ | ($F\ @@ X$)
shows $YMap\ C\ X\ (YMapInv\ C\ X\ F\ x) = x$
 $\langle proof \rangle$

lemma *YFtorNT-YMapInv*:

assumes *LSCategory* C and $f\ maps_C\ X\ to\ Y$
shows $YFtorNT\ C\ f = YMapInv\ C\ X\ (Hom_C[-, Y])\ (m2z_C\ f)$
 $\langle proof \rangle$

lemma *YMapYoneda*:

assumes *LSCategory* C and $f\ maps_C\ X\ to\ Y$
shows $YFtor\ C\ \#\# f = YMapInv\ C\ X\ (YFtor\ C\ @@ Y)\ (m2z_C\ f)$
 $\langle proof \rangle$

lemma *YonedaFull*:

assumes *LSCategory* C and $X \in Obj\ C$ and $Y \in Obj\ C$
and *NT* $\eta : (YFtor\ C\ @@ X) \Longrightarrow (YFtor\ C\ @@ Y)$
shows $YFtor\ C\ \#\# (z2m_C\ (YMap\ C\ X\ \eta)) = \eta$
and $z2m_C\ (YMap\ C\ X\ \eta)\ maps_C\ X\ to\ Y$
 $\langle proof \rangle$

lemma *YonedaFaithful*:

assumes *LSCategory* C and $f\ maps_C\ X\ to\ Y$ and $g\ maps_C\ X\ to\ Y$
and $YFtor\ C\ \#\# f = YFtor\ C\ \#\# g$
shows $f = g$
 $\langle proof \rangle$

```

lemma YonedaEmbedding:
  assumes LSCategory C and  $A \in \text{Obj } C$  and  $B \in \text{Obj } C$  and  $(YFtor\ C) @@ A$ 
  =  $(YFtor\ C) @@ B$ 
  shows  $A = B$ 
 $\langle proof \rangle$ 

end

```

References

- [1] A. Katovsky. Category theory in Isabelle/HOL, 2010. <http://www.srcf.ucam.org/~apk32/Isabelle/Category/Cat.pdf>.