

The Safety of Call Arity

Joachim Breitner
Programming Paradigms Group
Karlsruhe Institute for Technology
breitner@kit.edu

October 13, 2025

We formalize the Call Arity analysis [Bre15a], as implemented in GHC, and prove both functional correctness and, more interestingly, safety (i.e. the transformation does not increase allocation). A highlevel overview of the work can be found in [Bre15b].

We use syntax and the denotational semantics from an earlier work [Bre13], where we formalized Launchbury’s natural semantics for lazy evaluation [Lau93]. The functional correctness of Call Arity is proved with regard to that denotational semantics. The operational properties are shown with regard to a small-step semantics akin to Sestoft’s mark 1 machine [Ses97], which we prove to be equivalent to Launchbury’s semantics.

We use Christian Urban’s Nominal2 package [UK12] to define our terms and make use of Brian Huffman’s HOLCF package for the domain-theoretical aspects of the development [Huf12].

Artifact correspondence table

The following table connects the definitions and theorems from [Bre15b] with their corresponding Isabelle concept in this development.

Concept	corresponds to	in theory
Syntax	nominal-datatype <i>expr</i>	Terms in [Bre13]
Stack	type-synonym <i>stack</i>	SestoftConf
Configuration	type-synonym <i>conf</i>	SestoftConf
Semantics ($\Rightarrow$)	inductive <i>step</i>	Sestoft
Arity	typedef <i>Arity</i>	Arity
Eta-expansion	lift-definition <i>Aeta-expand</i>	ArityEtaExpansion

Lemma 1	theorem <i>Aeta-expand-safe</i>	ArityEtaExpansionSafe
$\mathcal{A}_\alpha(\Gamma, e)$	locale <i>ArityAnalysisHeap</i>	ArityAnalysisSig
$\mathcal{T}_\alpha(e)$	sublocale <i>AbstractTransformBound</i>	ArityTransform
$\mathcal{A}_\alpha(e)$	locale <i>ArityAnalysis</i>	ArityAnalysisSig
Definition 2	locale <i>ArityAnalysisLetSafe</i>	ArityAnalysisSpec
Definition 3	locale <i>ArityAnalysisLetSafeNoCard</i>	ArityAnalysisSpec
Definition 4	inductive <i>a-consistent</i>	ArityConsistent
Definition 5	inductive <i>consistent</i>	ArityTransformSafe
Lemma 2	lemma <i>arity-transform-safe</i>	ArityTransformSafe
Card	type-synonym <i>two</i>	Cardinality-Domain
$\mathcal{C}_\alpha(\Gamma, e)$	locale <i>CardinalityHeap</i>	CardinalityAnalysisSig
$\mathcal{C}_{(\bar{\alpha}, \alpha, \dot{\alpha})}((\Gamma, e, S))$	locale <i>CardinalityPrognosis</i>	CardinalityAnalysisSig
Definition 6	locale <i>CardinalityPrognosisSafe</i>	CardinalityAnalysisSpec
Definition 7 ($\Rightarrow_\#$)	inductive <i>gc-step</i>	SestoftGC
Definition 8	inductive <i>consistent</i>	CardArityTransformSafe
Lemma 3	lemma <i>card-arity-transform-safe</i>	CardArityTransformSafe
Trace trees	typedef <i>'a ttree</i>	TTree
Function <i>s</i>	lift-definition <i>substitute</i>	TTree
$\mathcal{T}_\alpha(e)$	locale <i>TTreeAnalysis</i>	TTreeAnalysisSig
$\mathcal{T}_\alpha(\Gamma, e)$	locale <i>TTreeAnalysisCardinalityHeap</i>	TTreeAnalysisSpec
Definition 9	locale <i>TTreeAnalysisCardinalityHeap</i>	TTreeAnalysisSpec
Lemma 4	sublocale <i>CardinalityPrognosisSafe</i>	TTreeImplCardinalitySafe
Co-Call graphs	typedef <i>CoCalls</i>	CoCallGraph
Function <i>g</i>	lift-definition <i>ccApprox</i>	CoCallGraph-TTree
Function <i>t</i>	lift-definition <i>ccTTree</i>	CoCallGraph-TTree
$\mathcal{G}_\alpha(e)$	locale <i>CoCallAnalysis</i>	CoCallAnalysisSig
$\mathcal{G}_\alpha(\Gamma, e)$	locale <i>CoCallAnalysisHeap</i>	CoCallAnalysisSig
Definition 10	locale <i>CoCallAritySafe</i>	CoCallAnalysisSpec
Lemma 5	sublocale <i>TTreeAnalysisCardinalityHeap</i>	CoCallImplTTreeSafe
Call Arity	nominal-function <i>cCCexp</i>	CoCallAnalysisImpl
Theorem 1	lemma <i>end2end-closed</i>	CallArityEnd2EndSafe

References

- [Bre13] Joachim Breitner, *The correctness of launchbury's natural semantics for lazy evaluation*, Archive of Formal Proofs (2013), <http://isa-afp.org/entries/Launchbury.shtml>, Formal proof development.
- [Bre15a] ———, *Call Arity*, TFP'14, LNCS, vol. 8843, Springer, 2015, pp. 34–50.

- [Bre15b] ———, *Formally proving a compiler transformation safe*, Haskell Symposium, 2015.
- [Huf12] Brian Huffman, *HOLCF '11: A definitional domain theory for verifying functional programs*, Ph.D. thesis, Portland State University, 2012.
- [Lau93] John Launchbury, *A natural semantics for lazy evaluation*, POPL '93, 1993, pp. 144–154.
- [Ses97] Peter Sestoft, *Deriving a lazy abstract machine*, Journal of Functional Programming **7** (1997), 231–264.
- [UK12] Christian Urban and Cezary Kaliszyk, *General bindings and alpha-equivalence in nominal Isabelle*, Logical Methods in Computer Science **8** (2012), no. 2.

Contents

1	Various Utilities	5
1.1	ConstOn	5
1.2	Set-Cpo	6
1.3	Env-Set-Cpo	7
1.4	AList-Utils-HOLCF	8
1.5	List-Interleavings	9
2	Small-step Semantics	10
2.1	SestoftConf	10
2.1.1	Invariants of the semantics	14
2.2	Sestoft	16
2.2.1	Equivariance	17
2.2.2	Invariants	17
2.3	SestoftGC	18
2.4	BalancedTraces	20
2.5	SestoftCorrect	22
3	Arity	23
3.1	Arity	23
3.2	AEnv	26
3.3	Arity-Nominal	26
3.4	ArityStack	27
4	Eta-Expansion	27
4.1	EtaExpansion	27
4.2	EtaExpansionSafe	28
4.3	TransformTools	29
4.4	ArityEtaExpansion	31

4.5	ArityEtaExpansionSafe	32
5	Arity Analysis	32
5.1	ArityAnalysisSig	32
5.2	ArityAnalysisAbinds	33
5.2.1	Lifting arity analysis to recursive groups	33
5.3	ArityAnalysisSpec	35
5.4	TrivialArityAnal	36
5.5	ArityAnalysisStack	37
5.6	ArityAnalysisFix	38
5.7	ArityAnalysisFixProps	40
6	Arity Transformation	41
6.1	AbstractTransform	41
6.2	ArityTransform	43
7	Arity Analysis Safety (without Cardinality)	44
7.1	ArityConsistent	44
7.2	ArityTransformSafe	46
8	Cardinality Analysis	48
8.1	Cardinality-Domain	48
8.2	CardinalityAnalysisSig	49
8.3	CardinalityAnalysisSpec	50
8.4	NoCardinalityAnalysis	51
8.5	CardArityTransformSafe	53
9	Trace Trees	55
9.1	TTree	55
9.1.1	Prefix-closed sets of lists	55
9.1.2	The type of infinite labeled trees	56
9.1.3	Deconstructors	56
9.1.4	Trees as set of paths	56
9.1.5	The carrier of a tree	57
9.1.6	Repeatable trees	57
9.1.7	Simple trees	57
9.1.8	Intersection of two trees	59
9.1.9	Disjoint union of trees	59
9.1.10	Merging of trees	60
9.1.11	Removing elements from a tree	62
9.1.12	Multiple variables, each called at most once	63
9.1.13	Substituting trees for every node	64
9.2	TTree-HOLCF	68

10 Trace Tree Cardinality Analysis	72
10.1 AnalBinds	72
10.2 TTreeAnalysisSig	74
10.3 Cardinality-Domain-Lists	74
10.4 TTreeAnalysisSpec	76
10.5 TTreeImplCardinality	77
10.6 TTreeImplCardinalitySafe	77
11 Co-Call Graphs	79
11.1 CoCallGraph	79
11.2 CoCallGraph-Nominal	87
12 Co-Call Cardinality Analysis	88
12.1 CoCallAnalysisSig	88
12.2 CoCallAnalysisBinds	88
12.3 CoCallAritySig	90
12.4 CoCallAnalysisSpec	91
12.5 CoCallFix	91
12.5.1 The non-recursive case	94
12.5.2 Combining the cases	96
12.6 CoCallGraph-TTree	96
12.7 CoCallImplTTree	102
12.8 CoCallImplTTreeSafe	102
13 CoCall Cardinality Implementation	104
13.1 CoCallAnalysisImpl	104
13.2 CoCallImplSafe	108
14 End-to-end Saftey Results and Example	110
14.1 CallArityEnd2End	110
14.2 CallArityEnd2EndSafe	110
15 Functional Correctness of the Arity Analysis	111
15.1 ArityAnalysisCorrDenotational	111

1 Various Utilities

1.1 ConstOn

```
theory ConstOn
imports Main
begin
```

```
definition const-on :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  bool
```

where $\text{const-on } f \ S \ x = (\forall \ y \in S . f \ y = x)$

lemma const-onI[intro] : $(\bigwedge y. y \in S \implies f \ y = x) \implies \text{const-on } f \ S \ x$
 $\langle \text{proof} \rangle$

lemma const-onD[dest] : $\text{const-on } f \ S \ x \implies y \in S \implies f \ y = x$
 $\langle \text{proof} \rangle$

lemma $\text{const-on-insert[simp]}$: $\text{const-on } f \ (\text{insert } x \ S) \ y \longleftrightarrow \text{const-on } f \ S \ y \wedge f \ x = y$
 $\langle \text{proof} \rangle$

lemma $\text{const-on-union[simp]}$: $\text{const-on } f \ (S \cup S') \ y \longleftrightarrow \text{const-on } f \ S \ y \wedge \text{const-on } f \ S' \ y$
 $\langle \text{proof} \rangle$

lemma $\text{const-on-subset[elim]}$: $\text{const-on } f \ S \ y \implies S' \subseteq S \implies \text{const-on } f \ S' \ y$
 $\langle \text{proof} \rangle$

end

1.2 Set-Cpo

theory Set-Cpo
imports HOLCF
begin

default-sort type

instantiation $\text{set} :: (\text{type}) \ \text{below}$
begin
 definition below-set **where** $(\sqsubseteq) = (\subseteq)$
 instance $\langle \text{proof} \rangle$
end

instance $\text{set} :: (\text{type}) \ \text{po}$
 $\langle \text{proof} \rangle$

lemma is-lub-set :
 $S <<| \bigcup S$
 $\langle \text{proof} \rangle$

lemma lub-set : $\text{lub } S = \bigcup S$
 $\langle \text{proof} \rangle$

instance $\text{set} :: (\text{type}) \ \text{cpo}$
 $\langle \text{proof} \rangle$

```

lemma minimal-set:  $\{\} \subseteq S$ 
   $\langle proof \rangle$ 

instance set :: (type) pcpo
   $\langle proof \rangle$ 

lemma set-contI:
  assumes  $\bigwedge Y. chain\ Y \implies f\ (\bigsqcup\ i. Y\ i) = \bigcup\ (f\ ` range\ Y)$ 
  shows cont f
   $\langle proof \rangle$ 

lemma set-set-contI:
  assumes  $\bigwedge S. f\ (\bigcup S) = \bigcup\ (f\ ` S)$ 
  shows cont f
   $\langle proof \rangle$ 

lemma adm-subseteq[simp]:
  assumes cont f
  shows adm  $(\lambda a. f\ a \subseteq S)$ 
   $\langle proof \rangle$ 

lemma adm-Ball[simp]: adm  $(\lambda S. \forall x \in S. P\ x)$ 
   $\langle proof \rangle$ 

lemma finite-subset-chain:
  fixes Y :: nat  $\Rightarrow$  'a set
  assumes chain Y
  assumes  $S \subseteq \bigcup (Y\ ` UNIV)$ 
  assumes finite S
  shows  $\exists i. S \subseteq Y\ i$ 
   $\langle proof \rangle$ 

lemma diff-cont[THEN cont-compose, simp, cont2cont]:
  fixes S' :: 'a set
  shows cont  $(\lambda S. S - S')$ 
   $\langle proof \rangle$ 

end

```

1.3 Env-Set-Cpo

```

theory Env-Set-Cpo
imports Launchbury.Env Set-Cpo
begin

```

```

lemma cont-edom[THEN cont-compose, simp, cont2cont]:
  cont  $(\lambda f. edom\ f)$ 
   $\langle proof \rangle$ 

```


1.5 List-Interleavings

theory *List-Interleavings*

imports *Main*

begin

inductive *interleave'* :: 'a list $\Rightarrow$ 'a list $\Rightarrow$ 'a list $\Rightarrow$ bool

where [*simp*]: *interleave'* [] [] []
 | *interleave'* *xs* *ys* *zs* $\Rightarrow$ *interleave'* (*x#xs*) *ys* (*x#zs*)
 | *interleave'* *xs* *ys* *zs* $\Rightarrow$ *interleave'* *xs* (*x#ys*) (*x#zs*)

definition *interleave* :: 'a list $\Rightarrow$ 'a list $\Rightarrow$ 'a list set (**infixr** $\langle \otimes \rangle$ 64)

where *xs* $\otimes$ *ys* = *Collect* (*interleave'* *xs* *ys*)

lemma *elim-interleave'*[*pred-set-conv*]: *interleave'* *xs* *ys* *zs* $\longleftrightarrow$ *zs* $\in$ *xs* $\otimes$ *ys* *<proof>*

lemmas *interleave-intros*[*intro?*] = *interleave'.intros*[*to-set*]

lemmas *interleave-intros*(1)[*simp*]

lemmas *interleave-induct*[*consumes* 1, *induct set*: *interleave*, *case-names* *Nil left right*] = *interleave'.induct*[*to-set*]

lemmas *interleave-cases*[*consumes* 1, *cases set*: *interleave*] = *interleave'.cases*[*to-set*]

lemmas *interleave-simps* = *interleave'.simps*[*to-set*]

inductive-cases *interleave-ConsE*[*elim*]: (*x#xs*) $\in$ *ys* $\otimes$ *zs*

inductive-cases *interleave-ConsConsE*[*elim*]: *xs* $\in$ *y#ys* $\otimes$ *z#zs*

inductive-cases *interleave-ConsE2*[*elim*]: *xs* $\in$ *x#ys* $\otimes$ *zs*

inductive-cases *interleave-ConsE3*[*elim*]: *xs* $\in$ *ys* $\otimes$ *x#zs*

lemma *interleave-comm*: *xs* $\in$ *ys* $\otimes$ *zs* $\Rightarrow$ *xs* $\in$ *zs* $\otimes$ *ys*
<proof>

lemma *interleave-Nil1*[*simp*]: [] $\otimes$ *xs* = {*xs*}
<proof>

lemma *interleave-Nil2*[*simp*]: *xs* $\otimes$ [] = {*xs*}
<proof>

lemma *interleave-nil-simp*[*simp*]: [] $\in$ *xs* $\otimes$ *ys* $\longleftrightarrow$ *xs* = [] $\wedge$ *ys* = []
<proof>

lemma *append-interleave*: *xs* @ *ys* $\in$ *xs* $\otimes$ *ys*
<proof>

lemma *interleave-assoc1*: *a* $\in$ *xs* $\otimes$ *ys* $\Rightarrow$ *b* $\in$ *a* $\otimes$ *zs* $\Rightarrow$ $\exists$ *c*. *c* $\in$ *ys* $\otimes$ *zs* $\wedge$ *b* $\in$ *xs* $\otimes$ *c*
<proof>

lemma *interleave-assoc2*: *a* $\in$ *ys* $\otimes$ *zs* $\Rightarrow$ *b* $\in$ *xs* $\otimes$ *a* $\Rightarrow$ $\exists$ *c*. *c* $\in$ *xs* $\otimes$ *ys* $\wedge$ *b* $\in$ *c* $\otimes$ *zs*
<proof>

lemma *interleave-set*: *zs* $\in$ *xs* $\otimes$ *ys* $\Rightarrow$ *set* *zs* = *set* *xs* $\cup$ *set* *ys*

$\langle \text{proof} \rangle$

lemma *interleave-tl*: $xs \in ys \otimes zs \implies tl\ xs \in tl\ ys \otimes zs \vee tl\ xs \in ys \otimes (tl\ zs)$
 $\langle \text{proof} \rangle$

lemma *interleave-butlast*: $xs \in ys \otimes zs \implies butlast\ xs \in butlast\ ys \otimes zs \vee butlast\ xs \in ys \otimes (butlast\ zs)$
 $\langle \text{proof} \rangle$

lemma *interleave-take*: $zs \in xs \otimes ys \implies \exists\ n_1\ n_2. n = n_1 + n_2 \wedge take\ n\ zs \in take\ n_1\ xs \otimes take\ n_2\ ys$
 $\langle \text{proof} \rangle$

lemma *filter-interleave*: $xs \in ys \otimes zs \implies filter\ P\ xs \in filter\ P\ ys \otimes filter\ P\ zs$
 $\langle \text{proof} \rangle$

lemma *interleave-filtered*: $xs \in interleave\ (filter\ P\ xs)\ (filter\ (\lambda x'. \neg P\ x')\ xs)$
 $\langle \text{proof} \rangle$

function *foo* **where**

$foo\ []\ [] = undefined$
 $| foo\ xs\ [] = undefined$
 $| foo\ []\ ys = undefined$
 $| foo\ (x\#xs)\ (y\#ys) = undefined\ (foo\ xs\ (y\#ys))\ (foo\ (x\#xs)\ ys)$
 $\langle \text{proof} \rangle$

termination $\langle \text{proof} \rangle$

lemmas *list-induct2''* = *foo.induct*[*case-names NilNil ConsNil NilCons ConsCons*]

lemma *interleave-filter*:

assumes $xs \in filter\ P\ ys \otimes filter\ P\ zs$
obtains xs' **where** $xs' \in ys \otimes zs$ **and** $xs = filter\ P\ xs'$
 $\langle \text{proof} \rangle$

end

2 Small-step Semantics

2.1 SestoftConf

theory *SestoftConf*

imports *Launchbury.Terms Launchbury.Substitution*

begin

datatype *stack-elem* = *Alts exp exp* | *Arg var* | *Upd var* | *Dummy var*

instantiation *stack-elem* :: *pt*

begin
definition $\pi \cdot x = (\text{case } x \text{ of } (\text{Alts } e1 \ e2) \Rightarrow \text{Alts } (\pi \cdot e1) (\pi \cdot e2) \mid (\text{Arg } v) \Rightarrow \text{Arg } (\pi \cdot v) \mid (\text{Upd } v) \Rightarrow \text{Upd } (\pi \cdot v) \mid (\text{Dummy } v) \Rightarrow \text{Dummy } (\pi \cdot v))$
instance
 $\langle \text{proof} \rangle$
end

lemma $\text{Alts-eqvt[eqvt]}: \pi \cdot (\text{Alts } e1 \ e2) = \text{Alts } (\pi \cdot e1) (\pi \cdot e2)$
and $\text{Arg-eqvt[eqvt]}: \pi \cdot (\text{Arg } v) = \text{Arg } (\pi \cdot v)$
and $\text{Upd-eqvt[eqvt]}: \pi \cdot (\text{Upd } v) = \text{Upd } (\pi \cdot v)$
and $\text{Dummy-eqvt[eqvt]}: \pi \cdot (\text{Dummy } v) = \text{Dummy } (\pi \cdot v)$
 $\langle \text{proof} \rangle$

lemma $\text{supp-Alts[simp]}: \text{supp } (\text{Alts } e1 \ e2) = \text{supp } e1 \cup \text{supp } e2 \ \langle \text{proof} \rangle$
lemma $\text{supp-Arg[simp]}: \text{supp } (\text{Arg } v) = \text{supp } v \ \langle \text{proof} \rangle$
lemma $\text{supp-Upd[simp]}: \text{supp } (\text{Upd } v) = \text{supp } v \ \langle \text{proof} \rangle$
lemma $\text{supp-Dummy[simp]}: \text{supp } (\text{Dummy } v) = \text{supp } v \ \langle \text{proof} \rangle$
lemma $\text{fresh-Alts[simp]}: a \# \text{Alts } e1 \ e2 = (a \# e1 \wedge a \# e2) \ \langle \text{proof} \rangle$
lemma $\text{fresh-star-Alts[simp]}: a \#* \text{Alts } e1 \ e2 = (a \#* e1 \wedge a \#* e2) \ \langle \text{proof} \rangle$
lemma $\text{fresh-Arg[simp]}: a \# \text{Arg } v = a \# v \ \langle \text{proof} \rangle$
lemma $\text{fresh-Upd[simp]}: a \# \text{Upd } v = a \# v \ \langle \text{proof} \rangle$
lemma $\text{fresh-Dummy[simp]}: a \# \text{Dummy } v = a \# v \ \langle \text{proof} \rangle$
lemma $\text{fv-Alts[simp]}: \text{fv } (\text{Alts } e1 \ e2) = \text{fv } e1 \cup \text{fv } e2 \ \langle \text{proof} \rangle$
lemma $\text{fv-Arg[simp]}: \text{fv } (\text{Arg } v) = \text{fv } v \ \langle \text{proof} \rangle$
lemma $\text{fv-Upd[simp]}: \text{fv } (\text{Upd } v) = \text{fv } v \ \langle \text{proof} \rangle$
lemma $\text{fv-Dummy[simp]}: \text{fv } (\text{Dummy } v) = \text{fv } v \ \langle \text{proof} \rangle$

instance $\text{stack-elem} :: \text{fs}$
 $\langle \text{proof} \rangle$

type-synonym $\text{stack} = \text{stack-elem list}$

fun $\text{ap} :: \text{stack} \Rightarrow \text{var set}$ **where**
 $\text{ap } [] = \{\}$
 $\mid \text{ap } (\text{Alts } e1 \ e2 \ \# S) = \text{ap } S$
 $\mid \text{ap } (\text{Arg } x \ \# S) = \text{insert } x (\text{ap } S)$
 $\mid \text{ap } (\text{Upd } x \ \# S) = \text{ap } S$
 $\mid \text{ap } (\text{Dummy } x \ \# S) = \text{ap } S$
fun $\text{upds} :: \text{stack} \Rightarrow \text{var set}$ **where**
 $\text{upds } [] = \{\}$
 $\mid \text{upds } (\text{Alts } e1 \ e2 \ \# S) = \text{upds } S$
 $\mid \text{upds } (\text{Upd } x \ \# S) = \text{insert } x (\text{upds } S)$
 $\mid \text{upds } (\text{Arg } x \ \# S) = \text{upds } S$
 $\mid \text{upds } (\text{Dummy } x \ \# S) = \text{upds } S$
fun $\text{dummies} :: \text{stack} \Rightarrow \text{var set}$ **where**
 $\text{dummies } [] = \{\}$
 $\mid \text{dummies } (\text{Alts } e1 \ e2 \ \# S) = \text{dummies } S$
 $\mid \text{dummies } (\text{Upd } x \ \# S) = \text{dummies } S$
 $\mid \text{dummies } (\text{Arg } x \ \# S) = \text{dummies } S$

```

| dummies (Dummy x # S) = insert x (dummies S)
fun flattn :: stack  $\Rightarrow$  var list where
  flattn [] = []
| flattn (Alts e1 e2 # S) = fv-list e1 @ fv-list e2 @ flattn S
| flattn (Upd x # S) = x # flattn S
| flattn (Arg x # S) = x # flattn S
| flattn (Dummy x # S) = x # flattn S
fun upds-list :: stack  $\Rightarrow$  var list where
  upds-list [] = []
| upds-list (Alts e1 e2 # S) = upds-list S
| upds-list (Upd x # S) = x # upds-list S
| upds-list (Arg x # S) = upds-list S
| upds-list (Dummy x # S) = upds-list S

lemma set-upds-list[simp]:
  set (upds-list S) = upds S
  <proof>

lemma ups-fv-subset: upds S  $\subseteq$  fv S
  <proof>
lemma fresh-distinct-ups: atom ' V #* S  $\implies$  V  $\cap$  upds S = {}
  <proof>
lemma ap-fv-subset: ap S  $\subseteq$  fv S
  <proof>
lemma dummies-fv-subset: dummies S  $\subseteq$  fv S
  <proof>

lemma fresh-flattn[simp]: atom (a::var) # flattn S  $\longleftrightarrow$  atom a # S
  <proof>
lemma fresh-star-flattn[simp]: atom ' (as:: var set) #* flattn S  $\longleftrightarrow$  atom ' as #* S
  <proof>
lemma fresh-upds-list[simp]: atom a # S  $\implies$  atom (a::var) # upds-list S
  <proof>
lemma fresh-star-upds-list[simp]: atom ' (as:: var set) #* S  $\implies$  atom ' (as:: var set) #* upds-list S
  <proof>

lemma upds-append[simp]: upds (S@S') = upds S  $\cup$  upds S'
  <proof>
lemma upds-map-Dummy[simp]: upds (map Dummy l) = {}
  <proof>

lemma upds-list-append[simp]: upds-list (S@S') = upds-list S @ upds-list S'
  <proof>
lemma upds-list-map-Dummy[simp]: upds-list (map Dummy l) = []
  <proof>

lemma dummies-append[simp]: dummies (S@S') = dummies S  $\cup$  dummies S'
  <proof>

```

lemma *dummies-map-Dummy*[simp]: *dummies* (map *Dummy* *l*) = set *l*
 ⟨proof⟩

lemma *map-Dummy-inj*[simp]: map *Dummy* *l* = map *Dummy* *l'* $\longleftrightarrow$ *l* = *l'*
 ⟨proof⟩

type-synonym *conf* = (*heap* $\times$ *exp* $\times$ *stack*)

inductive *boring-step* **where**
isVal *e* $\implies$ *boring-step* (Γ , *e*, *Upd* *x* # *S*)

fun *restr-stack* :: var set $\Rightarrow$ stack $\Rightarrow$ stack
where *restr-stack* *V* [] = []
 | *restr-stack* *V* (*Alts* *e1* *e2* # *S*) = *Alts* *e1* *e2* # *restr-stack* *V* *S*
 | *restr-stack* *V* (*Arg* *x* # *S*) = *Arg* *x* # *restr-stack* *V* *S*
 | *restr-stack* *V* (*Upd* *x* # *S*) = (if *x* $\in$ *V* then *Upd* *x* # *restr-stack* *V* *S* else *restr-stack* *V* *S*)
 | *restr-stack* *V* (*Dummy* *x* # *S*) = *Dummy* *x* # *restr-stack* *V* *S*

lemma *restr-stack-cong*:
 ($\bigwedge x. x \in \text{upds } S \implies x \in V \longleftrightarrow x \in V'$) $\implies$ *restr-stack* *V* *S* = *restr-stack* *V'* *S*
 ⟨proof⟩

lemma *upds-restr-stack*[simp]: *upds* (*restr-stack* *V* *S*) = *upds* *S* $\cap$ *V*
 ⟨proof⟩

lemma *fresh-star-restrict-stack*[intro]:
a #* *S* $\implies$ *a* #* *restr-stack* *V* *S*
 ⟨proof⟩

lemma *restr-stack-restr-stack*[simp]:
restr-stack *V* (*restr-stack* *V'* *S*) = *restr-stack* (*V* $\cap$ *V'*) *S*
 ⟨proof⟩

lemma *Upd-eq-restr-stackD*:
assumes *Upd* *x* # *S* = *restr-stack* *V* *S'*
shows *x* $\in$ *V*
 ⟨proof⟩

lemma *Upd-eq-restr-stackD2*:
assumes *restr-stack* *V* *S'* = *Upd* *x* # *S*
shows *x* $\in$ *V*
 ⟨proof⟩

lemma *restr-stack-noop*[simp]:
restr-stack *V* *S* = *S* $\longleftrightarrow$ *upds* *S* $\subseteq$ *V*
 ⟨proof⟩

2.1.1 Invariants of the semantics

inductive *invariant* :: ($'a \Rightarrow 'a \Rightarrow \text{bool}$) $\Rightarrow$ ($'a \Rightarrow \text{bool}$) $\Rightarrow \text{bool}$
where ($\bigwedge x y. \text{rel } x y \Rightarrow I x \Rightarrow I y \Rightarrow \text{invariant rel } I$)

lemmas *invariant.intros*[*case-names step*]

lemma *invariantE*:
 $\text{invariant rel } I \Rightarrow \text{rel } x y \Rightarrow I x \Rightarrow I y$ *<proof>*

lemma *invariant-starE*:
 $\text{rtrancp rel } x y \Rightarrow \text{invariant rel } I \Rightarrow I x \Rightarrow I y$
<proof>

lemma *invariant-True*:
 $\text{invariant rel } (\lambda -. \text{True})$
<proof>

lemma *invariant-conj*:
 $\text{invariant rel } I1 \Rightarrow \text{invariant rel } I2 \Rightarrow \text{invariant rel } (\lambda x. I1 x \wedge I2 x)$
<proof>

lemma *rtrancp-invariant-induct*[*consumes 3, case-names base step*]:
assumes $r^{**} a b$
assumes $\text{invariant } r \ I$
assumes $I a$
assumes $P a$
assumes ($\bigwedge y z. r^{**} a y \Rightarrow r y z \Rightarrow I y \Rightarrow I z \Rightarrow P y \Rightarrow P z$)
shows $P b$
<proof>

fun *closed* :: $\text{conf} \Rightarrow \text{bool}$
where $\text{closed } (\Gamma, e, S) \longleftrightarrow \text{fv } (\Gamma, e, S) \subseteq \text{domA } \Gamma \cup \text{upds } S$

fun *heap-upds-ok* **where** $\text{heap-upds-ok } (\Gamma, S) \longleftrightarrow \text{domA } \Gamma \cap \text{upds } S = \{\} \wedge \text{distinct } (\text{upds-list } S)$

abbreviation *heap-upds-ok-conf* :: $\text{conf} \Rightarrow \text{bool}$
where $\text{heap-upds-ok-conf } c \equiv \text{heap-upds-ok } (\text{fst } c, \text{snd } (\text{snd } c))$

lemma *heap-upds-okE*: $\text{heap-upds-ok } (\Gamma, S) \Rightarrow x \in \text{domA } \Gamma \Rightarrow x \notin \text{upds } S$
<proof>

lemma *heap-upds-ok-Nil*[*simp*]: $\text{heap-upds-ok } (\Gamma, [])$ *<proof>*

lemma *heap-upds-ok-app1*: $\text{heap-upds-ok } (\Gamma, S) \Rightarrow \text{heap-upds-ok } (\Gamma, \text{Arg } x \# S)$ *<proof>*

lemma *heap-upds-ok-app2*: $\text{heap-upds-ok } (\Gamma, \text{Arg } x \# S) \Rightarrow \text{heap-upds-ok } (\Gamma, S)$ *<proof>*

lemma *heap-upds-ok-alts1*: $\text{heap-upds-ok } (\Gamma, S) \Rightarrow \text{heap-upds-ok } (\Gamma, \text{Alts } e1 \ e2 \# S)$ *<proof>*

lemma *heap-upds-ok-alts2*: $\text{heap-upds-ok } (\Gamma, \text{Alts } e1 \ e2 \# S) \Rightarrow \text{heap-upds-ok } (\Gamma, S)$ *<proof>*

lemma *heap-upds-ok-append:*

assumes $\text{dom}A \Delta \cap \text{upds } S = \{\}$

assumes *heap-upds-ok* (Γ, S)

shows *heap-upds-ok* $(\Delta @ \Gamma, S)$

<proof>

lemma *heap-upds-ok-let:*

assumes *atom* ' $\text{dom}A \Delta \#^* S$

assumes *heap-upds-ok* (Γ, S)

shows *heap-upds-ok* $(\Delta @ \Gamma, S)$

<proof>

lemma *heap-upds-ok-to-stack:*

$x \in \text{dom}A \Gamma \implies \text{heap-upds-ok } (\Gamma, S) \implies \text{heap-upds-ok } (\text{delete } x \Gamma, \text{Upd } x \# S)$

<proof>

lemma *heap-upds-ok-to-stack':*

map-of $\Gamma x = \text{Some } e \implies \text{heap-upds-ok } (\Gamma, S) \implies \text{heap-upds-ok } (\text{delete } x \Gamma, \text{Upd } x \# S)$

<proof>

lemma *heap-upds-ok-delete:*

heap-upds-ok $(\Gamma, S) \implies \text{heap-upds-ok } (\text{delete } x \Gamma, S)$

<proof>

lemma *heap-upds-ok-restrictA:*

heap-upds-ok $(\Gamma, S) \implies \text{heap-upds-ok } (\text{restrictA } V \Gamma, S)$

<proof>

lemma *heap-upds-ok-restr-stack:*

heap-upds-ok $(\Gamma, S) \implies \text{heap-upds-ok } (\Gamma, \text{restr-stack } V S)$

<proof>

lemma *heap-upds-ok-to-heap:*

heap-upds-ok $(\Gamma, \text{Upd } x \# S) \implies \text{heap-upds-ok } ((x, e) \# \Gamma, S)$

<proof>

lemma *heap-upds-ok-reorder:*

$x \in \text{dom}A \Gamma \implies \text{heap-upds-ok } (\Gamma, S) \implies \text{heap-upds-ok } ((x, e) \# \text{delete } x \Gamma, S)$

<proof>

lemma *heap-upds-ok-upd:*

heap-upds-ok $(\Gamma, \text{Upd } x \# S) \implies x \notin \text{dom}A \Gamma \wedge x \notin \text{upds } S$

<proof>

lemmas *heap-upds-ok-intros[intro] =*

heap-upds-ok-to-heap heap-upds-ok-to-stack heap-upds-ok-to-stack' heap-upds-ok-reorder

heap-upds-ok-app1 heap-upds-ok-app2 heap-upds-ok-alts1 heap-upds-ok-alts2 heap-upds-ok-delete

```

heap-upds-ok-restrictA heap-upds-ok-restr-stack
heap-upds-ok-let
lemmas heap-upds-ok.simps[simp del]

```

end

2.2 Sestoft

```

theory Sestoft
imports SestoftConf
begin

```

```

inductive step :: conf  $\Rightarrow$  conf  $\Rightarrow$  bool (infix  $\langle \Rightarrow \rangle$  50) where
  app1: ( $\Gamma$ , App e x, S)  $\Rightarrow$  ( $\Gamma$ , e, Arg x # S)
| app2: ( $\Gamma$ , Lam [y]. e, Arg x # S)  $\Rightarrow$  ( $\Gamma$ , e[y ::= x], S)
| var1: map-of  $\Gamma$  x = Some e  $\Longrightarrow$  ( $\Gamma$ , Var x, S)  $\Rightarrow$  (delete x  $\Gamma$ , e, Upd x # S)
| var2:  $x \notin \text{domA } \Gamma \Longrightarrow \text{isVal } e \Longrightarrow$  ( $\Gamma$ , e, Upd x # S)  $\Rightarrow$  ((x,e)#  $\Gamma$ , e, S)
| let1: atom ' domA  $\Delta \#* \Gamma \Longrightarrow \text{atom ' domA } \Delta \#* S$ 
       $\Longrightarrow$  ( $\Gamma$ , Let  $\Delta$  e, S)  $\Rightarrow$  ( $\Delta @ \Gamma$ , e, S)
| if1: ( $\Gamma$ , scrut ? e1 : e2, S)  $\Rightarrow$  ( $\Gamma$ , scrut, Alts e1 e2 # S)
| if2: ( $\Gamma$ , Bool b, Alts e1 e2 # S)  $\Rightarrow$  ( $\Gamma$ , if b then e1 else e2, S)

```

```

abbreviation steps (infix  $\langle \Rightarrow^* \rangle$  50) where steps  $\equiv$  step**

```

lemma SmartLet-stepI:

```

  atom ' domA  $\Delta \#* \Gamma \Longrightarrow \text{atom ' domA } \Delta \#* S \Longrightarrow$  ( $\Gamma$ , SmartLet  $\Delta$  e, S)  $\Rightarrow^*$  ( $\Delta @ \Gamma$ , e, S)
 $\langle \text{proof} \rangle$ 

```

lemma lambda-var: map-of Γ x = Some e $\Longrightarrow$ isVal e $\Longrightarrow$ (Γ , Var x, S) $\Rightarrow^*$ ((x,e) # delete x Γ , e, S)

$\langle \text{proof} \rangle$

lemma let1-closed:

```

  assumes closed ( $\Gamma$ , Let  $\Delta$  e, S)
  assumes domA  $\Delta \cap \text{domA } \Gamma = \{\}$ 
  assumes domA  $\Delta \cap \text{upds } S = \{\}$ 
  shows ( $\Gamma$ , Let  $\Delta$  e, S)  $\Rightarrow$  ( $\Delta @ \Gamma$ , e, S)
 $\langle \text{proof} \rangle$ 

```

An induction rule that skips the annoying case of a lambda taken off the heap

lemma step-invariant-induction[consumes 4, case-names app1 app2 thunk lamvar var2 let1 if1 if2 refl trans]:

```

  assumes c  $\Rightarrow^*$  c'
  assumes  $\neg$  boring-step c'
  assumes invariant ( $\Rightarrow$ ) I
  assumes I c

```

assumes app_1 : $\bigwedge \Gamma \ e \ x \ S . I(\Gamma, App \ e \ x, S) \implies P(\Gamma, App \ e \ x, S) \ (\Gamma, e, Arg \ x \# S)$
assumes app_2 : $\bigwedge \Gamma \ y \ e \ x \ S . I(\Gamma, Lam \ [y]. \ e, Arg \ x \# S) \implies P(\Gamma, Lam \ [y]. \ e, Arg \ x \# S)$
 $(\Gamma, e[y ::= x], S)$
assumes $thunk$: $\bigwedge \Gamma \ x \ e \ S . map\text{-}of \ \Gamma \ x = Some \ e \implies \neg isVal \ e \implies I(\Gamma, Var \ x, S) \implies$
 $P(\Gamma, Var \ x, S) \ (delete \ x \ \Gamma, e, Upd \ x \# S)$
assumes $lamvar$: $\bigwedge \Gamma \ x \ e \ S . map\text{-}of \ \Gamma \ x = Some \ e \implies isVal \ e \implies I(\Gamma, Var \ x, S) \implies P$
 $(\Gamma, Var \ x, S) \ ((x,e) \# delete \ x \ \Gamma, e, S)$
assumes var_2 : $\bigwedge \Gamma \ x \ e \ S . x \notin domA \ \Gamma \implies isVal \ e \implies I(\Gamma, e, Upd \ x \# S) \implies P(\Gamma, e,$
 $Upd \ x \# S) \ ((x,e) \# \Gamma, e, S)$
assumes let_1 : $\bigwedge \Delta \ \Gamma \ e \ S . atom \ ' \ domA \ \Delta \ \#* \ \Gamma \implies atom \ ' \ domA \ \Delta \ \#* \ S \implies I(\Gamma, Let \ \Delta$
 $e, S) \implies P(\Gamma, Let \ \Delta \ e, S) \ (\Delta @ \Gamma, e, S)$
assumes if_1 : $\bigwedge \Gamma \ scrut \ e1 \ e2 \ S . I(\Gamma, scrut \ ? \ e1 : e2, S) \implies P(\Gamma, scrut \ ? \ e1 : e2, S) \ (\Gamma,$
 $scrut, Alts \ e1 \ e2 \# S)$
assumes if_2 : $\bigwedge \Gamma \ b \ e1 \ e2 \ S . I(\Gamma, Bool \ b, Alts \ e1 \ e2 \# S) \implies P(\Gamma, Bool \ b, Alts \ e1 \ e2 \#$
 $S) \ (\Gamma, if \ b \ then \ e1 \ else \ e2, S)$
assumes $refl$: $\bigwedge c . P \ c \ c$
assumes $trans[trans]$: $\bigwedge c \ c' \ c'' . c \Rightarrow^* c' \implies c' \Rightarrow^* c'' \implies P \ c \ c' \implies P \ c' \ c'' \implies P \ c \ c''$
shows $P \ c \ c'$
 $\langle proof \rangle$

lemma $step\text{-}induction[consumes \ 2, case\text{-}names \ app_1 \ app_2 \ thunk \ lamvar \ var_2 \ let_1 \ if_1 \ if_2 \ refl \ trans]$:

assumes $c \Rightarrow^* c'$
assumes $\neg boring\text{-}step \ c'$
assumes app_1 : $\bigwedge \Gamma \ e \ x \ S . P(\Gamma, App \ e \ x, S) \ (\Gamma, e, Arg \ x \# S)$
assumes app_2 : $\bigwedge \Gamma \ y \ e \ x \ S . P(\Gamma, Lam \ [y]. \ e, Arg \ x \# S) \ (\Gamma, e[y ::= x], S)$
assumes $thunk$: $\bigwedge \Gamma \ x \ e \ S . map\text{-}of \ \Gamma \ x = Some \ e \implies \neg isVal \ e \implies P(\Gamma, Var \ x, S) \ (delete$
 $x \ \Gamma, e, Upd \ x \# S)$
assumes $lamvar$: $\bigwedge \Gamma \ x \ e \ S . map\text{-}of \ \Gamma \ x = Some \ e \implies isVal \ e \implies P(\Gamma, Var \ x, S) \ ((x,e)$
 $\# delete \ x \ \Gamma, e, S)$
assumes var_2 : $\bigwedge \Gamma \ x \ e \ S . x \notin domA \ \Gamma \implies isVal \ e \implies P(\Gamma, e, Upd \ x \# S) \ ((x,e) \# \Gamma, e,$
 $S)$
assumes let_1 : $\bigwedge \Delta \ \Gamma \ e \ S . atom \ ' \ domA \ \Delta \ \#* \ \Gamma \implies atom \ ' \ domA \ \Delta \ \#* \ S \implies P(\Gamma, Let \ \Delta$
 $e, S) \ (\Delta @ \Gamma, e, S)$
assumes if_1 : $\bigwedge \Gamma \ scrut \ e1 \ e2 \ S . P(\Gamma, scrut \ ? \ e1 : e2, S) \ (\Gamma, scrut, Alts \ e1 \ e2 \# S)$
assumes if_2 : $\bigwedge \Gamma \ b \ e1 \ e2 \ S . P(\Gamma, Bool \ b, Alts \ e1 \ e2 \# S) \ (\Gamma, if \ b \ then \ e1 \ else \ e2, S)$
assumes $refl$: $\bigwedge c . P \ c \ c$
assumes $trans[trans]$: $\bigwedge c \ c' \ c'' . c \Rightarrow^* c' \implies c' \Rightarrow^* c'' \implies P \ c \ c' \implies P \ c' \ c'' \implies P \ c \ c''$
shows $P \ c \ c'$
 $\langle proof \rangle$

2.2.1 Equivariance

lemma $step\text{-}eqvt[eqvt]$: $step \ x \ y \implies step \ (\pi \cdot x) \ (\pi \cdot y)$
 $\langle proof \rangle$

2.2.2 Invariants

lemma $closed\text{-}invariant$:

invariant step closed
 <proof>

lemma *heap-upds-ok-invariant*:
invariant step heap-upds-ok-conf
 <proof>

end

2.3 SestoftGC

theory *SestoftGC*
imports *Sestoft*
begin

inductive *gc-step* :: *conf* $\Rightarrow$ *conf* $\Rightarrow$ *bool* (**infix** $\langle \Rightarrow_G \rangle$ 50) **where**
normal: $c \Rightarrow c' \Longrightarrow c \Rightarrow_G c'$
 | *dropUpd*: $(\Gamma, e, \text{Upd } x \# S) \Rightarrow_G (\Gamma, e, S @ [\text{Dummy } x])$

lemmas *gc-step-intros*[*intro*] =
normal[*OF step.intros*(1)] *normal*[*OF step.intros*(2)] *normal*[*OF step.intros*(3)]
normal[*OF step.intros*(4)] *normal*[*OF step.intros*(5)] *dropUpd*

abbreviation *gc-steps* (**infix** $\langle \Rightarrow_G^* \rangle$ 50) **where** *gc-steps* $\equiv$ *gc-step***
lemmas *converse-rtranclp-into-rtranclp*[*of gc-step, OF - r-into-rtranclp, trans*]

lemma *var-onceI*:
assumes *map-of* $\Gamma \ x = \text{Some } e$
shows $(\Gamma, \text{Var } x, S) \Rightarrow_G^* (\text{delete } x \ \Gamma, e, S @ [\text{Dummy } x])$
 <proof>

lemma *normal-trans*: $c \Rightarrow^* c' \Longrightarrow c \Rightarrow_G^* c'$
 <proof>

fun *to-gc-conf* :: *var list* $\Rightarrow$ *conf* $\Rightarrow$ *conf*
where *to-gc-conf* $r \ (\Gamma, e, S) = (\text{restrictA } (- \text{ set } r) \ \Gamma, e, \text{restr-stack } (- \text{ set } r) \ S @ (\text{map } \text{Dummy } (\text{rev } r)))$

lemma *restr-stack-map-Dummy*[*simp*]: *restr-stack* $V \ (\text{map } \text{Dummy } l) = \text{map } \text{Dummy } l$
 <proof>

lemma *restr-stack-append*[*simp*]: *restr-stack* $V \ (l @ l') = \text{restr-stack } V \ l @ \text{restr-stack } V \ l'$
 <proof>

lemma *to-gc-conf-append*[*simp*]:
to-gc-conf $(r @ r') \ c = \text{to-gc-conf } r \ (\text{to-gc-conf } r' \ c)$

$\langle \text{proof} \rangle$

lemma *to-gc-conf-eqE*[*elim!*]:

assumes *to-gc-conf* $r\ c = (\Gamma, e, S)$

obtains $\Gamma' S'$ **where** $c = (\Gamma', e, S')$ **and** $\Gamma = \text{restrictA } (-\ \text{set } r)\ \Gamma'$ **and** $S = \text{restr-stack } (-\ \text{set } r)\ S' @ \text{map Dummy } (\text{rev } r)$

$\langle \text{proof} \rangle$

fun *safe-hd* :: $'a\ \text{list} \Rightarrow 'a\ \text{option}$

where *safe-hd* $(x\#-)$ = *Some* x
| *safe-hd* $[]$ = *None*

lemma *safe-hd-None*[*simp*]: *safe-hd* $xs = \text{None} \longleftrightarrow xs = []$

$\langle \text{proof} \rangle$

abbreviation *r-ok* :: $\text{var list} \Rightarrow \text{conf} \Rightarrow \text{bool}$

where *r-ok* $r\ c \equiv \text{set } r \subseteq \text{domA } (\text{fst } c) \cup \text{upds } (\text{snd } (\text{snd } c))$

lemma *subset-bound-invariant*:

invariant step $(r\text{-ok } r)$

$\langle \text{proof} \rangle$

lemma *safe-hd-restr-stack*[*simp*]:

Some $a = \text{safe-hd } (\text{restr-stack } V\ (a\ \# S)) \longleftrightarrow \text{restr-stack } V\ (a\ \# S) = a\ \# \text{restr-stack } V\ S$

$\langle \text{proof} \rangle$

lemma *sestoftUnGCStack*:

assumes *heap-upds-ok* (Γ, S)

obtains $\Gamma' S'$ **where**

$(\Gamma, e, S) \Rightarrow^* (\Gamma', e, S')$

to-gc-conf $r\ (\Gamma, e, S) = \text{to-gc-conf } r\ (\Gamma', e, S')$

$\neg \text{isVal } e \vee \text{safe-hd } S' = \text{safe-hd } (\text{restr-stack } (-\ \text{set } r)\ S')$

$\langle \text{proof} \rangle$

lemma *perm-exI-trivial*:

$P\ x\ x \Longrightarrow \exists\ \pi. P\ (\pi \cdot x)\ x$

$\langle \text{proof} \rangle$

lemma *upds-list-restr-stack*[*simp*]:

upds-list $(\text{restr-stack } V\ S) = \text{filter } (\lambda\ x. x \in V)\ (\text{upds-list } S)$

$\langle \text{proof} \rangle$

lemma *heap-upd-ok-to-gc-conf*:

heap-upds-ok $(\Gamma, S) \Longrightarrow \text{to-gc-conf } r\ (\Gamma, e, S) = (\Gamma'', e'', S'') \Longrightarrow \text{heap-upds-ok } (\Gamma'', S'')$

$\langle \text{proof} \rangle$

lemma *delete-restrictA-conv*:

delete $x\ \Gamma = \text{restrictA } (-\{x\})\ \Gamma$

<proof>

lemma *sestoftUnGCstep*:

assumes *to-gc-conf* $r\ c \Rightarrow_G d$

assumes *heap-upds-ok-conf* c

assumes *closed* c

and *r-ok* $r\ c$

shows $\exists\ r'\ c'.\ c \Rightarrow^* c' \wedge d = \text{to-gc-conf } r'\ c' \wedge \text{r-ok } r'\ c'$

<proof>

lemma *sestoftUnGC*:

assumes $(\text{to-gc-conf } r\ c) \Rightarrow_G^* d$ **and** *heap-upds-ok-conf* c **and** *closed* c **and** *r-ok* $r\ c$

shows $\exists\ r'\ c'.\ c \Rightarrow^* c' \wedge d = \text{to-gc-conf } r'\ c' \wedge \text{r-ok } r'\ c'$

<proof>

lemma *dummies-unchanged-invariant*:

invariant step $(\lambda\ (\Gamma, e, S) . \text{dummies } S = V)$ (**is invariant** - ?I)

<proof>

lemma *sestoftUnGC'*:

assumes $([], e, []) \Rightarrow_G^* (\Gamma, e', \text{map Dummy } r)$

assumes *isVal* e'

assumes *fv* $e = (\{\}::\text{var set})$

shows $\exists\ \Gamma''.\ ([], e, []) \Rightarrow^* (\Gamma'', e', []) \wedge \Gamma = \text{restrictA } (-\ \text{set } r)\ \Gamma'' \wedge \text{set } r \subseteq \text{domA } \Gamma''$

<proof>

end

2.4 BalancedTraces

theory *BalancedTraces*

imports *Main*

begin

locale *traces* =

fixes *step* :: $'c \Rightarrow 'c \Rightarrow \text{bool}$ (**infix** $\langle \Rightarrow \rangle$ 50)

begin

abbreviation *steps* (**infix** $\langle \Rightarrow^* \rangle$ 50) **where** $\text{steps} \equiv \text{step}^{**}$

inductive *trace* :: $'c \Rightarrow 'c\ \text{list} \Rightarrow 'c \Rightarrow \text{bool}$ **where**

trace-nil[*iff*]: *trace final [] final*

| *trace-cons*[*intro*]: *trace conf' T final $\implies \text{conf} \Rightarrow \text{conf}' \implies \text{trace conf (conf'\#T) final}$*

inductive-cases *trace-consE*: *trace conf (conf'\#T) final*

lemma *trace-induct-final*[*consumes 1, case-names trace-nil trace-cons*]:

$trace\ x1\ x2\ final \implies P\ final \sqcap final \implies (\bigwedge conf' T\ conf. trace\ conf' T\ final \implies P\ conf' T\ final \implies conf \Rightarrow conf' \implies P\ conf\ (conf' \# T)\ final) \implies P\ x1\ x2\ final$
 $\langle proof \rangle$

lemma *build-trace*:

$c \Rightarrow^* c' \implies \exists T. trace\ c\ T\ c'$
 $\langle proof \rangle$

lemma *destruct-trace*: $trace\ c\ T\ c' \implies c \Rightarrow^* c'$
 $\langle proof \rangle$

lemma *traceWhile*:

assumes $trace\ c_1\ T\ c_4$
assumes $P\ c_1$
assumes $\neg P\ c_4$
obtains $T_1\ c_2\ c_3\ T_2$
where $T = T_1 @ c_3 \# T_2$ **and** $trace\ c_1\ T_1\ c_2$ **and** $\forall x \in set\ T_1. P\ x$ **and** $P\ c_2$ **and** $c_2 \Rightarrow c_3$ **and** $\neg P\ c_3$ **and** $trace\ c_3\ T_2\ c_4$
 $\langle proof \rangle$

lemma *traces-list-all*:

$trace\ c\ T\ c' \implies P\ c' \implies (\bigwedge c\ c'. c \Rightarrow c' \implies P\ c' \implies P\ c) \implies (\forall x \in set\ T. P\ x) \wedge P\ c$
 $\langle proof \rangle$

lemma *trace-nil[simp]*: $trace\ c \sqcap c' \longleftrightarrow c = c'$
 $\langle proof \rangle$

end

definition *extends* :: $'a\ list \Rightarrow 'a\ list \Rightarrow bool$ (**infix** $\langle \lesssim \rangle$ 50) **where**
 $S \lesssim S' = (\exists S''. S' = S'' @ S)$

lemma *extends-refl[simp]*: $S \lesssim S \langle proof \rangle$

lemma *extends-cons[simp]*: $S \lesssim x \# S \langle proof \rangle$

lemma *extends-append[simp]*: $S \lesssim L @ S \langle proof \rangle$

lemma *extends-not-cons[simp]*: $\neg (x \# S) \lesssim S \langle proof \rangle$

lemma *extends-trans[trans]*: $S \lesssim S' \implies S' \lesssim S'' \implies S \lesssim S'' \langle proof \rangle$

locale *balance-trace* = *traces* +

fixes $stack :: 'a \Rightarrow 's\ list$
assumes *one-step-only*: $c \Rightarrow c' \implies (stack\ c) = (stack\ c') \vee (\exists x. stack\ c' = x \# stack\ c) \vee (\exists x. stack\ c = x \# stack\ c')$
begin

inductive *bal* :: $'a \Rightarrow 'a\ list \Rightarrow 'a \Rightarrow bool$ **where**

balI[intro]: $trace\ c\ T\ c' \implies \forall c' \in set\ T. stack\ c \lesssim stack\ c' \implies stack\ c' = stack\ c \implies bal\ c\ T\ c'$

inductive-cases *balE*: $bal\ c\ T\ c'$

lemma *bal-nil[simp]*: $\text{bal } c \ [] \ c' \longleftrightarrow c = c'$
 $\langle \text{proof} \rangle$

lemma *bal-stackD*: $\text{bal } c \ T \ c' \implies \text{stack } c' = \text{stack } c \ \langle \text{proof} \rangle$

lemma *stack-passes-lower-bound*:
assumes $c_3 \Rightarrow c_4$
assumes $\text{stack } c_2 \lesssim \text{stack } c_3$
assumes $\neg \text{stack } c_2 \lesssim \text{stack } c_4$
shows $\text{stack } c_3 = \text{stack } c_2$ **and** $\text{stack } c_4 = \text{tl } (\text{stack } c_2)$
 $\langle \text{proof} \rangle$

lemma *bal-consE*:
assumes $\text{bal } c_1 \ (c_2 \# T) \ c_5$
and $c_2: \text{stack } c_2 = s \# \text{stack } c_1$
obtains $T_1 \ c_3 \ c_4 \ T_2$
where $T = T_1 \ @ \ c_4 \ # \ T_2$ **and** $\text{bal } c_2 \ T_1 \ c_3$ **and** $c_3 \Rightarrow c_4 \ \text{bal } c_4 \ T_2 \ c_5$
 $\langle \text{proof} \rangle$

end

end

2.5 SestoftCorrect

theory *SestoftCorrect*
imports *BalancedTraces Launchbury.Launchbury Sestoft*
begin

lemma *lemma-2*:
assumes $\Gamma : e \Downarrow_L \Delta : z$
and $\text{fv } (\Gamma, e, S) \subseteq \text{set } L \cup \text{dom } A \ \Gamma$
shows $(\Gamma, e, S) \Rightarrow^* (\Delta, z, S)$
 $\langle \text{proof} \rangle$

type-synonym *trace* = *conf list*

fun *stack* :: *conf* $\Rightarrow$ *stack* **where** *stack* $(\Gamma, e, S) = S$

interpretation *traces step* $\langle \text{proof} \rangle$

abbreviation *trace-syn* $(\hookleftarrow \Rightarrow^* _ \rightarrow [50, 50, 50] \ 50)$ **where** *trace-syn* $\equiv$ *trace*

lemma *conf-trace-induct-final* [*consumes 1, case-names trace-nil trace-cons*]:

$(\Gamma, e, S) \Rightarrow^*_T \text{final} \implies (\bigwedge \Gamma e S. \text{final} = (\Gamma, e, S) \implies P \Gamma e S \sqcup (\Gamma, e, S)) \implies (\bigwedge \Gamma e S T$
 $\Gamma' e' S'. (\Gamma', e', S') \Rightarrow^*_T \text{final} \implies P \Gamma' e' S' T \text{final} \implies (\Gamma, e, S) \Rightarrow (\Gamma', e', S') \implies P \Gamma e S$
 $((\Gamma', e', S') \# T) \text{final}) \implies P \Gamma e S T \text{final}$
 $\langle \text{proof} \rangle$

interpretation *balance-trace step stack*
 $\langle \text{proof} \rangle$

abbreviation *bal-syn* $(\langle - \Rightarrow^{b*} - \rangle [50, 50, 50] \ 50)$ **where** *bal-syn* $\equiv \text{bal}$

lemma *isVal-stops*:
assumes *isVal* e
assumes $(\Gamma, e, S) \Rightarrow^{b*}_T (\Delta, z, S)$
shows $T = []$
 $\langle \text{proof} \rangle$

lemma *Ball-subst[simp]*:
 $(\forall p \in \text{set } (\Gamma[y::h=x]). f \ p) \longleftrightarrow (\forall p \in \text{set } \Gamma. \text{case } p \text{ of } (z, e) \Rightarrow f \ (z, e[y::=x]))$
 $\langle \text{proof} \rangle$

lemma *lemma-3*:
assumes $(\Gamma, e, S) \Rightarrow^{b*}_T (\Delta, z, S)$
assumes *isVal* z
shows $\Gamma : e \Downarrow_{\text{upds-list}} S \ \Delta : z$
 $\langle \text{proof} \rangle$

lemma *dummy-stack-extended*:
 $\text{set } S \subseteq \text{Dummy} \text{ ' UNIV} \implies x \notin \text{Dummy} \text{ ' UNIV} \implies (S \lesssim x \# S') \longleftrightarrow S \lesssim S'$
 $\langle \text{proof} \rangle$

lemma^[simp]: *Arg* $x \notin \text{range Dummy}$ *Upd* $x \notin \text{range Dummy}$ *Alts* $e_1 \ e_2 \notin \text{range Dummy}$
 $\langle \text{proof} \rangle$

lemma *dummy-stack-balanced*:
assumes $\text{set } S \subseteq \text{Dummy} \text{ ' UNIV}$
assumes $(\Gamma, e, S) \Rightarrow^* (\Delta, z, S)$
obtains T **where** $(\Gamma, e, S) \Rightarrow^{b*}_T (\Delta, z, S)$
 $\langle \text{proof} \rangle$

end

3 Arity

3.1 Arity

theory *Arity*
imports *Launchbury.HOLCF-Join-Classes*

```

begin

typedef Arity = UNIV :: nat set
  morphisms Rep-Arity to-Arity  $\langle proof \rangle$ 

setup-lifting type-definition-Arity

instantiation Arity :: po
begin
lift-definition below-Arity :: Arity  $\Rightarrow$  Arity  $\Rightarrow$  bool is  $\lambda x y . y \leq x$   $\langle proof \rangle$ 

instance
 $\langle proof \rangle$ 
end

instance Arity :: chfin
 $\langle proof \rangle$ 

instance Arity :: cpo  $\langle proof \rangle$ 

lift-definition inc-Arity :: Arity  $\Rightarrow$  Arity is Suc  $\langle proof \rangle$ 
lift-definition pred-Arity :: Arity  $\Rightarrow$  Arity is  $(\lambda x . x - 1)$   $\langle proof \rangle$ 

lemma inc-Arity-cont[simp]: cont inc-Arity
 $\langle proof \rangle$ 

lemma pred-Arity-cont[simp]: cont pred-Arity
 $\langle proof \rangle$ 

definition inc :: Arity  $\rightarrow$  Arity where
  inc =  $(\Lambda x . inc-Arity\ x)$ 

definition pred :: Arity  $\rightarrow$  Arity where
  pred =  $(\Lambda x . pred-Arity\ x)$ 

lemma inc-inj[simp]: inc·n = inc·n'  $\longleftrightarrow$  n = n'
 $\langle proof \rangle$ 

lemma pred-inc[simp]: pred·(inc·n) = n
 $\langle proof \rangle$ 

lemma inc-below-inc[simp]: inc·a  $\sqsubseteq$  inc·b  $\longleftrightarrow$  a  $\sqsubseteq$  b
 $\langle proof \rangle$ 

lemma inc-below-below-pred[elim]:
  inc·a  $\sqsubseteq$  b  $\implies$  a  $\sqsubseteq$  pred · b
 $\langle proof \rangle$ 

```

lemma *Rep-Arity-inc[simp]*: $\text{Rep-Arity } (\text{inc} \cdot a') = \text{Suc } (\text{Rep-Arity } a')$
 $\langle \text{proof} \rangle$

instantiation *Arity* :: *zero*
begin
lift-definition *zero-Arity* :: *Arity* **is** *0* $\langle \text{proof} \rangle$
instance $\langle \text{proof} \rangle$
end

instantiation *Arity* :: *one*
begin
lift-definition *one-Arity* :: *Arity* **is** *1* $\langle \text{proof} \rangle$
instance $\langle \text{proof} \rangle$
end

lemma *one-is-inc-zero*: $1 = \text{inc} \cdot 0$
 $\langle \text{proof} \rangle$

lemma *inc-not-0[simp]*: $\text{inc} \cdot n = 0 \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

lemma *pred-0[simp]*: $\text{pred} \cdot 0 = 0$
 $\langle \text{proof} \rangle$

lemma *Arity-ind*: $P \ 0 \implies (\bigwedge n. P \ n \implies P \ (\text{inc} \cdot n)) \implies P \ n$
 $\langle \text{proof} \rangle$

lemma *Arity-total*:
fixes $x \ y :: \text{Arity}$
shows $x \sqsubseteq y \vee y \sqsubseteq x$
 $\langle \text{proof} \rangle$

instance *Arity* :: *Finite-Join-cpo*
 $\langle \text{proof} \rangle$

lemma *Arity-zero-top[simp]*: $(x :: \text{Arity}) \sqsubseteq 0$
 $\langle \text{proof} \rangle$

lemma *Arity-above-top[simp]*: $0 \sqsubseteq (a :: \text{Arity}) \longleftrightarrow a = 0$
 $\langle \text{proof} \rangle$

lemma *Arity-zero-join[simp]*: $(x :: \text{Arity}) \sqcup 0 = 0$
 $\langle \text{proof} \rangle$

lemma *Arity-zero-join2[simp]*: $0 \sqcup (x :: \text{Arity}) = 0$
 $\langle \text{proof} \rangle$

lemma *Arity-up-zero-join[simp]*: $(x :: \text{Arity}_\perp) \sqcup \text{up} \cdot 0 = \text{up} \cdot 0$
 $\langle \text{proof} \rangle$

lemma *Arity-up-zero-join2[simp]*: $up \cdot 0 \sqcup (x :: Arity_{\perp}) = up \cdot 0$
 $\langle proof \rangle$

lemma *up-zero-top[simp]*: $x \sqsubseteq up \cdot (0 :: Arity)$
 $\langle proof \rangle$

lemma *Arity-above-up-top[simp]*: $up \cdot 0 \sqsubseteq (a :: Arity_{\perp}) \longleftrightarrow a = up \cdot 0$
 $\langle proof \rangle$

lemma *Arity-exhaust*: $(y = 0 \implies P) \implies (\bigwedge x. y = inc \cdot x \implies P) \implies P$
 $\langle proof \rangle$

end

3.2 AEnv

theory *AEnv*
imports *Arity Launchbury.Vars Launchbury.Env*
begin

type-synonym *AEnv* = $var \Rightarrow Arity_{\perp}$

end

3.3 Arity-Nominal

theory *Arity-Nominal*
imports *Arity Launchbury.Nominal-HOLCF*
begin

lemma *join-eqvt[eqvt]*: $\pi \cdot (x \sqcup (y :: 'a :: \{Finite-Join-cpo, cont-pt\})) = (\pi \cdot x) \sqcup (\pi \cdot y)$
 $\langle proof \rangle$

instantiation *Arity* :: *pure*

begin

definition $p \cdot (a :: Arity) = a$

instance

$\langle proof \rangle$

end

instance *Arity* :: *cont-pt* $\langle proof \rangle$

instance *Arity* :: *pure-cont-pt* $\langle proof \rangle$

end

3.4 ArityStack

```
theory ArityStack
imports Arity SestoftConf
begin

fun Astack :: stack  $\Rightarrow$  Arity
  where Astack [] = 0
        | Astack (Arg x # S) = inc.(Astack S)
        | Astack (Alts e1 e2 # S) = 0
        | Astack (Upd x # S) = 0
        | Astack (Dummy x # S) = 0

lemma Astack-restr-stack-below:
  Astack (restr-stack V S)  $\sqsubseteq$  Astack S
  <proof>

lemma Astack-map-Dummy[simp]:
  Astack (map Dummy l) = 0
  <proof>

lemma Astack-append-map-Dummy[simp]:
  Astack S' = 0  $\implies$  Astack (S @ S') = Astack S
  <proof>

end
```

4 Eta-Expansion

4.1 EtaExpansion

```
theory EtaExpansion
imports Launchbury.Terms Launchbury.Substitution
begin

definition fresh-var :: exp  $\Rightarrow$  var where
  fresh-var e = (SOME v. v  $\notin$  fv e)

lemma fresh-var-not-free:
  fresh-var e  $\notin$  fv e
  <proof>

lemma fresh-var-fresh[simp]:
  atom (fresh-var e)  $\#$  e
  <proof>

lemma fresh-var-subst[simp]:
```

$e[\text{fresh-var } e ::= x] = e$
 $\langle \text{proof} \rangle$

fun *eta-expand* :: *nat* $\Rightarrow$ *exp* $\Rightarrow$ *exp* **where**
 eta-expand 0 *e* = *e*
 | *eta-expand* (Suc *n*) *e* = (Lam [fresh-var *e*]. *eta-expand* *n* (App *e* (fresh-var *e*)))

lemma *eta-expand-eqvt*[eqvt]:
 $\pi \cdot (\text{eta-expand } n \ e) = \text{eta-expand } (\pi \cdot n) \ (\pi \cdot e)$
 $\langle \text{proof} \rangle$

lemma *fresh-eta-expand*[simp]: $a \# \text{eta-expand } n \ e \longleftrightarrow a \# e$
 $\langle \text{proof} \rangle$

lemma *subst-eta-expand*: $(\text{eta-expand } n \ e)[x ::= y] = \text{eta-expand } n \ (e[x ::= y])$
 $\langle \text{proof} \rangle$

lemma *isLam-eta-expand*:
 $\text{isLam } e \implies \text{isLam } (\text{eta-expand } n \ e) \text{ and } n > 0 \implies \text{isLam } (\text{eta-expand } n \ e)$
 $\langle \text{proof} \rangle$

lemma *isVal-eta-expand*:
 $\text{isVal } e \implies \text{isVal } (\text{eta-expand } n \ e) \text{ and } n > 0 \implies \text{isVal } (\text{eta-expand } n \ e)$
 $\langle \text{proof} \rangle$

end

4.2 EtaExpansionSafe

theory *EtaExpansionSafe*
imports *EtaExpansion Sestoft*
begin

theorem *eta-expansion-safe*:
 assumes *set* $T \subseteq \text{range } \text{Arg}$
 shows $(\Gamma, \text{eta-expand } (\text{length } T) \ e, T@S) \Rightarrow^* (\Gamma, e, T@S)$
 $\langle \text{proof} \rangle$

fun *arg-prefix* :: *stack* $\Rightarrow$ *nat* **where**
 arg-prefix [] = 0
 | *arg-prefix* (Arg *x* # *S*) = Suc (*arg-prefix* *S*)
 | *arg-prefix* (Alts *e1* *e2* # *S*) = 0
 | *arg-prefix* (Upd *x* # *S*) = 0
 | *arg-prefix* (Dummy *x* # *S*) = 0

theorem *eta-expansion-safe'*:
 assumes $n \leq \text{arg-prefix } S$
 shows $(\Gamma, \text{eta-expand } n \ e, S) \Rightarrow^* (\Gamma, e, S)$

$\langle proof \rangle$

end

4.3 TransformTools

theory *TransformTools*

imports *Launchbury.Nominal–HOLCF Launchbury.Terms Launchbury.Substitution Launchbury.Env*
begin

default-sort *type*

fun *lift-transform* :: (*'a*::*cont-pt* $\Rightarrow$ *exp* $\Rightarrow$ *exp*) $\Rightarrow$ (*'a*_⊥ $\Rightarrow$ *exp* $\Rightarrow$ *exp*)
 where *lift-transform* *t* *Ibottom* *e* = *e*
 | *lift-transform* *t* (*Iup* *a*) *e* = *t a e*

lemma *lift-transform-simps*[*simp*]:

lift-transform *t* ⊥ *e* = *e*
 lift-transform *t* (*up*·*a*) *e* = *t a e*
 $\langle proof \rangle$

lemma *lift-transform-eqv*[*eqvt*]: $\pi \cdot \text{lift-transform } t \ a \ e = \text{lift-transform } (\pi \cdot t) \ (\pi \cdot a) \ (\pi \cdot e)$
 $\langle proof \rangle$

lemma *lift-transform-fun-cong*[*fundef-cong*]:

 ($\bigwedge a. t1 \ a \ e1 = t2 \ a \ e1$) $\Longrightarrow a1 = a2 \Longrightarrow e1 = e2 \Longrightarrow \text{lift-transform } t1 \ a1 \ e1 = \text{lift-transform } t2 \ a2 \ e2$
 $\langle proof \rangle$

lemma *subst-lift-transform*:

assumes $\bigwedge a. (t \ a \ e)[x ::= y] = t \ a \ (e[x ::= y])$
 shows $(\text{lift-transform } t \ a \ e)[x ::= y] = \text{lift-transform } t \ a \ (e[x ::= y])$
 $\langle proof \rangle$

definition

map-transform :: (*'a*::*cont-pt* $\Rightarrow$ *exp* $\Rightarrow$ *exp*) $\Rightarrow$ (*var* $\Rightarrow$ *'a*_⊥) $\Rightarrow$ *heap* $\Rightarrow$ *heap*
 where *map-transform* *t* *ae* = *map-ran* ($\lambda x \ e. \text{lift-transform } t \ (ae \ x) \ e$)

lemma *map-transform-eqv*[*eqvt*]: $\pi \cdot \text{map-transform } t \ ae = \text{map-transform } (\pi \cdot t) \ (\pi \cdot ae)$
 $\langle proof \rangle$

lemma *domA-map-transform*[*simp*]: $\text{domA } (\text{map-transform } t \ ae \ \Gamma) = \text{domA } \Gamma$
 $\langle proof \rangle$

lemma *length-map-transform*[*simp*]: $\text{length } (\text{map-transform } t \ ae \ xs) = \text{length } xs$
 $\langle proof \rangle$

lemma *map-transform-delete*:

$\text{map-transform } t \text{ ae } (\text{delete } x \ \Gamma) = \text{delete } x \ (\text{map-transform } t \text{ ae } \Gamma)$
 $\langle \text{proof} \rangle$

lemma *map-transform-restrA*:

$\text{map-transform } t \text{ ae } (\text{restrictA } S \ \Gamma) = \text{restrictA } S \ (\text{map-transform } t \text{ ae } \Gamma)$
 $\langle \text{proof} \rangle$

lemma *delete-map-transform-env-delete*:

$\text{delete } x \ (\text{map-transform } t \ (\text{env-delete } x \text{ ae}) \ \Gamma) = \text{delete } x \ (\text{map-transform } t \text{ ae } \Gamma)$
 $\langle \text{proof} \rangle$

lemma *map-transform-Nil[simp]*:

$\text{map-transform } t \text{ ae } [] = []$
 $\langle \text{proof} \rangle$

lemma *map-transform-Cons*:

$\text{map-transform } t \text{ ae } ((x,e)\# \Gamma) = (x, \text{lift-transform } t \ (\text{ae } x) \ e) \# \ (\text{map-transform } t \text{ ae } \Gamma)$
 $\langle \text{proof} \rangle$

lemma *map-transform-append*:

$\text{map-transform } t \text{ ae } (\Delta @ \Gamma) = \text{map-transform } t \text{ ae } \Delta @ \text{map-transform } t \text{ ae } \Gamma$
 $\langle \text{proof} \rangle$

lemma *map-transform-fundef-cong[fundef-cong]*:

$(\bigwedge x \ e \ a. (x,e) \in \text{set } m1 \implies t1 \ a \ e = t2 \ a \ e) \implies ae1 = ae2 \implies m1 = m2 \implies \text{map-transform } t1 \ ae1 \ m1 = \text{map-transform } t2 \ ae2 \ m2$
 $\langle \text{proof} \rangle$

lemma *map-transform-cong*:

$(\bigwedge x. x \in \text{domA } m1 \implies ae \ x = ae' \ x) \implies m1 = m2 \implies \text{map-transform } t \text{ ae } m1 = \text{map-transform } t \text{ ae}' \ m2$
 $\langle \text{proof} \rangle$

lemma *map-of-map-transform*: $\text{map-of } (\text{map-transform } t \text{ ae } \Gamma) \ x = \text{map-option } (\text{lift-transform } t \ (\text{ae } x)) \ (\text{map-of } \Gamma \ x)$
 $\langle \text{proof} \rangle$

lemma *supp-map-transform-step*:

assumes $\bigwedge x \ e \ a. (x, e) \in \text{set } \Gamma \implies \text{supp } (t \ a \ e) \subseteq \text{supp } e$
shows $\text{supp } (\text{map-transform } t \text{ ae } \Gamma) \subseteq \text{supp } \Gamma$
 $\langle \text{proof} \rangle$

lemma *subst-map-transform*:

assumes $\bigwedge x' \ e \ a. (x',e) : \text{set } \Gamma \implies (t \ a \ e)[x ::= y] = t \ a \ (e[x ::= y])$
shows $(\text{map-transform } t \text{ ae } \Gamma)[x ::= h=y] = \text{map-transform } t \text{ ae } (\Gamma[x ::= h=y])$
 $\langle \text{proof} \rangle$

locale *supp-bounded-transform* =

fixes $\text{trans} :: 'a :: \text{cont-pt} \Rightarrow \text{exp} \Rightarrow \text{exp}$

```

    assumes supp-trans:  $\text{supp } (\text{trans } a \ e) \subseteq \text{supp } e$ 
begin
  lemma supp-lift-transform:  $\text{supp } (\text{lift-transform trans } a \ e) \subseteq \text{supp } e$ 
    <proof>

  lemma supp-map-transform:  $\text{supp } (\text{map-transform trans } a \ e \ \Gamma) \subseteq \text{supp } \Gamma$ 
    <proof>

  lemma fresh-transform[intro]:  $a \# e \implies a \# \text{trans } n \ e$ 
    <proof>

  lemma fresh-star-transform[intro]:  $a \#* e \implies a \#* \text{trans } n \ e$ 
    <proof>

  lemma fresh-map-transform[intro]:  $a \# \Gamma \implies a \# \text{map-transform trans } a \ e \ \Gamma$ 
    <proof>

  lemma fresh-star-map-transform[intro]:  $a \#* \Gamma \implies a \#* \text{map-transform trans } a \ e \ \Gamma$ 
    <proof>
end

end

```

4.4 ArityEtaExpansion

```

theory ArityEtaExpansion
imports EtaExpansion Arity-Nominal TransformTools
begin

lift-definition Aeta-expand ::  $\text{Arity} \Rightarrow \text{exp} \Rightarrow \text{exp}$  is eta-expand <proof>

lemma Aeta-expand-eqt[eqvt]:  $\pi \cdot \text{Aeta-expand } a \ e = \text{Aeta-expand } (\pi \cdot a) \ (\pi \cdot e)$ 
  <proof>

lemma Aeta-expand-0[simp]:  $\text{Aeta-expand } 0 \ e = e$ 
  <proof>

lemma Aeta-expand-inc[simp]:  $\text{Aeta-expand } (\text{inc} \cdot n) \ e = (\text{Lam } [\text{fresh-var } e]. \text{Aeta-expand } n \ (\text{App } e \ (\text{fresh-var } e)))$ 
  <proof>

lemma subst-Aeta-expand:
   $(\text{Aeta-expand } n \ e)[x::=y] = \text{Aeta-expand } n \ e[x::=y]$ 
  <proof>

lemma isLam-Aeta-expand:  $\text{isLam } e \implies \text{isLam } (\text{Aeta-expand } a \ e)$ 
  <proof>

```

lemma *isVal-Aeta-expand*: $\text{isVal } e \implies \text{isVal } (\text{Aeta-expand } a \ e)$
 $\langle \text{proof} \rangle$

lemma *Aeta-expand-fresh[simp]*: $a \# \text{Aeta-expand } n \ e = a \# e \ \langle \text{proof} \rangle$

lemma *Aeta-expand-fresh-star[simp]*: $a \#* \text{Aeta-expand } n \ e = a \#* e \ \langle \text{proof} \rangle$

interpretation *supp-bounded-transform Aeta-expand*
 $\langle \text{proof} \rangle$

end

4.5 ArityEtaExpansionSafe

theory *ArityEtaExpansionSafe*

imports *EtaExpansionSafe ArityStack ArityEtaExpansion*

begin

lemma *Aeta-expand-safe*:

assumes $\text{Astack } S \sqsubseteq a$

shows $(\Gamma, \text{Aeta-expand } a \ e, S) \Rightarrow^* (\Gamma, e, S)$

$\langle \text{proof} \rangle$

end

5 Arity Analysis

5.1 ArityAnalysisSig

theory *ArityAnalysisSig*

imports *Launchbury.Terms AEnv Arity-Nominal Launchbury.Nominal-HOLCF Launchbury.Substitution*

begin

locale *ArityAnalysis* =

fixes $\text{Aexp} :: \text{exp} \Rightarrow \text{Arity} \rightarrow \text{AEnv}$

begin

abbreviation $\text{Aexp-syn } (\langle \mathcal{A} \cdot \rangle)$ **where** $\mathcal{A}_a \ e \equiv \text{Aexp } e \cdot a$

abbreviation $\text{Aexp-bot-syn } (\langle \mathcal{A}^\perp \cdot \rangle)$

where $\mathcal{A}^\perp_a \ e \equiv \text{fup} \cdot (\text{Aexp } e) \cdot a$

end

locale *ArityAnalysisHeap* =

fixes $\text{Aheap} :: \text{heap} \Rightarrow \text{exp} \Rightarrow \text{Arity} \rightarrow \text{AEnv}$

locale *EdomArityAnalysis* = *ArityAnalysis* +

assumes $\text{Aexp-edom} : \text{edom } (\mathcal{A}_a \ e) \subseteq \text{fv } e$

begin

lemma *fup-Aexp-edom*: $\text{edom } (\mathcal{A}^\perp_a e) \subseteq \text{fv } e$
 $\langle \text{proof} \rangle$

lemma *Aexp-fresh-bot[simp]*: **assumes** $\text{atom } v \nmid e$ **shows** $\mathcal{A}_a e v = \perp$
 $\langle \text{proof} \rangle$

end

locale *ArityAnalysisHeapEqvt* = *ArityAnalysisHeap* +
assumes *Aheap-eqvt[eqvt]*: $\pi \cdot \text{Aheap} = \text{Aheap}$

end

5.2 ArityAnalysisAbinds

theory *ArityAnalysisAbinds*
imports *ArityAnalysisSig*
begin

context *ArityAnalysis*
begin

5.2.1 Lifting arity analysis to recursive groups

definition *ABind* :: $\text{var} \Rightarrow \text{exp} \Rightarrow (\text{AEnv} \rightarrow \text{AEnv})$
where $\text{ABind } v e = (\lambda ae. \text{fup}(\text{Aexp } e) \cdot (ae \ v))$

lemma *ABind-eq[simp]*: $\text{ABind } v e \cdot ae = \mathcal{A}^\perp_{ae \ v} e$
 $\langle \text{proof} \rangle$

fun *ABinds* :: $\text{heap} \Rightarrow (\text{AEnv} \rightarrow \text{AEnv})$
where $\text{ABinds } [] = \perp$
 $\quad | \text{ABinds } ((v,e)\#bnds) = \text{ABind } v e \sqcup \text{ABinds } (\text{delete } v \ bnds)$

lemma *ABinds-strict[simp]*: $\text{ABinds } \Gamma \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *Abinds-reorder1*: $\text{map-of } \Gamma \ v = \text{Some } e \implies \text{ABinds } \Gamma = \text{ABind } v e \sqcup \text{ABinds } (\text{delete } v \ \Gamma)$
 $\langle \text{proof} \rangle$

lemma *ABind-below-ABinds*: $\text{map-of } \Gamma \ v = \text{Some } e \implies \text{ABind } v e \sqsubseteq \text{ABinds } \Gamma$
 $\langle \text{proof} \rangle$

lemma *Abinds-reorder*: $\text{map-of } \Gamma = \text{map-of } \Delta \implies \text{ABinds } \Gamma = \text{ABinds } \Delta$
 $\langle \text{proof} \rangle$

lemma *Abinds-env-cong*: $(\bigwedge x. x \in \text{dom} A \ \Delta \implies ae \ x = ae' \ x) \implies ABinds \ \Delta \cdot ae = ABinds \ \Delta \cdot ae'$
 $\langle proof \rangle$

lemma *Abinds-env-restr-cong*: $ae \ f|' \ \text{dom} A \ \Delta = ae' \ f|' \ \text{dom} A \ \Delta \implies ABinds \ \Delta \cdot ae = ABinds \ \Delta \cdot ae'$
 $\langle proof \rangle$

lemma *ABinds-env-restr[simp]*: $ABinds \ \Delta \cdot (ae \ f|' \ \text{dom} A \ \Delta) = ABinds \ \Delta \cdot ae$
 $\langle proof \rangle$

lemma *Abinds-join-fresh*: $ae' \ ' \ (\text{dom} A \ \Delta) \subseteq \{\perp\} \implies ABinds \ \Delta \cdot (ae \sqcup ae') = (ABinds \ \Delta \cdot ae)$
 $\langle proof \rangle$

lemma *ABinds-delete-bot*: $ae \ x = \perp \implies ABinds \ (\text{delete } x \ \Gamma) \cdot ae = ABinds \ \Gamma \cdot ae$
 $\langle proof \rangle$

lemma *ABinds-restr-fresh*:
assumes $atom \ ' \ S \ \sharp^* \ \Gamma$
shows $ABinds \ \Gamma \cdot ae \ f|' \ (- \ S) = ABinds \ \Gamma \cdot (ae \ f|' \ (- \ S)) \ f|' \ (- \ S)$
 $\langle proof \rangle$

lemma *ABinds-restr*:
assumes $\text{dom} A \ \Gamma \subseteq S$
shows $ABinds \ \Gamma \cdot ae \ f|' \ S = ABinds \ \Gamma \cdot (ae \ f|' \ S) \ f|' \ S$
 $\langle proof \rangle$

lemma *ABinds-restr-subst*:
assumes $\bigwedge x' \ e \ a. (x', e) \in \text{set } \Gamma \implies Aexp \ e[x::=y] \cdot a \ f|' \ S = Aexp \ e \cdot a \ f|' \ S$
assumes $x \notin S$
assumes $y \notin S$
assumes $\text{dom} A \ \Gamma \subseteq S$
shows $ABinds \ \Gamma[x::h=y] \cdot ae \ f|' \ S = ABinds \ \Gamma \cdot (ae \ f|' \ S) \ f|' \ S$
 $\langle proof \rangle$

lemma *Abinds-append-disjoint*: $\text{dom} A \ \Delta \cap \text{dom} A \ \Gamma = \{\} \implies ABinds \ (\Delta \ @ \ \Gamma) \cdot ae = ABinds \ \Delta \cdot ae \sqcup ABinds \ \Gamma \cdot ae$
 $\langle proof \rangle$

lemma *ABinds-restr-subset*: $S \subseteq S' \implies ABinds \ (\text{restrict} A \ S \ \Gamma) \cdot ae \sqsubseteq ABinds \ (\text{restrict} A \ S' \ \Gamma) \cdot ae$
 $\langle proof \rangle$

lemma *ABinds-restrict-edom*: $ABinds \ (\text{restrict} A \ (\text{edom } ae) \ \Gamma) \cdot ae = ABinds \ \Gamma \cdot ae$
 $\langle proof \rangle$

lemma *ABinds-restrict-below*: $ABinds \ (\text{restrict} A \ S \ \Gamma) \cdot ae \sqsubseteq ABinds \ \Gamma \cdot ae$
 $\langle proof \rangle$

lemma *ABinds-delete-below*: $ABinds\ (delete\ x\ \Gamma) \cdot ae \sqsubseteq ABinds\ \Gamma \cdot ae$
 ⟨proof⟩
end

lemma *ABind-eqvt[eqvt]*: $\pi \cdot (ArityAnalysis.ABind\ Aexp\ v\ e) = ArityAnalysis.ABind\ (\pi \cdot Aexp)\ (\pi \cdot v)\ (\pi \cdot e)$
 ⟨proof⟩

lemma *ABinds-eqvt[eqvt]*: $\pi \cdot (ArityAnalysis.ABinds\ Aexp\ \Gamma) = ArityAnalysis.ABinds\ (\pi \cdot Aexp)\ (\pi \cdot \Gamma)$
 ⟨proof⟩

lemma *Abinds-cong[fundef-cong]*:

$$\llbracket (\bigwedge e. e \in snd\ 'set\ heap2 \implies aexp1\ e = aexp2\ e) ; heap1 = heap2 \rrbracket$$

$$\implies ArityAnalysis.ABinds\ aexp1\ heap1 = ArityAnalysis.ABinds\ aexp2\ heap2$$
 ⟨proof⟩

context *EdomArityAnalysis*

begin

lemma *fup-Aexp-lookup-fresh*: $atom\ v \nmid e \implies (fup.(Aexp\ e) \cdot a)\ v = \perp$
 ⟨proof⟩

lemma *edom-AnalBinds*: $edom\ (ABinds\ \Gamma \cdot ae) \subseteq fv\ \Gamma$
 ⟨proof⟩

end

end

5.3 ArityAnalysisSpec

theory *ArityAnalysisSpec*

imports *ArityAnalysisAbinds*

begin

locale *SubstArityAnalysis* = *EdomArityAnalysis* +
assumes *Aexp-subst-restr*: $x \notin S \implies y \notin S \implies (Aexp\ e[x::=y] \cdot a)\ f \mid S = (Aexp\ e \cdot a)\ f \mid S$

locale *ArityAnalysisSafe* = *SubstArityAnalysis* +
assumes *Aexp-Var*: $up \cdot n \sqsubseteq (Aexp\ (Var\ x) \cdot n)\ x$
assumes *Aexp-App*: $Aexp\ e \cdot (inc \cdot n) \sqcup esing\ x \cdot (up \cdot 0) \sqsubseteq Aexp\ (App\ e\ x) \cdot n$
assumes *Aexp-Lam*: $env\ delete\ y\ (Aexp\ e \cdot (pred \cdot n)) \sqsubseteq Aexp\ (Lam\ [y].\ e) \cdot n$
assumes *Aexp-IfThenElse*: $Aexp\ scrut \cdot 0 \sqcup Aexp\ e1 \cdot a \sqcup Aexp\ e2 \cdot a \sqsubseteq Aexp\ (scrut\ ?\ e1 : e2) \cdot a$

locale *ArityAnalysisHeapSafe* = *ArityAnalysisSafe* + *ArityAnalysisHeapEqvt* +
assumes *edom-Aheap*: $edom\ (Aheap\ \Gamma\ e \cdot a) \subseteq domA\ \Gamma$

assumes *Aheap-subst*: $x \notin \text{dom} A \ \Gamma \implies y \notin \text{dom} A \ \Gamma \implies \text{Aheap } \Gamma[x::h=y] \ e[x ::= y] = \text{Aheap } \Gamma \ e$

locale *ArityAnalysisLetSafe* = *ArityAnalysisHeapSafe* +
assumes *Aexp-Let*: $\text{ABinds } \Gamma \cdot (\text{Aheap } \Gamma \ e \cdot a) \sqcup \text{Aexp } e \cdot a \sqsubseteq \text{Aheap } \Gamma \ e \cdot a \sqcup \text{Aexp } (\text{Let } \Gamma \ e) \cdot a$

locale *ArityAnalysisLetSafeNoCard* = *ArityAnalysisLetSafe* +
assumes *Aheap-heap3*: $x \in \text{thunks } \Gamma \implies (\text{Aheap } \Gamma \ e \cdot a) \ x = \text{up} \cdot 0$

context *SubstArityAnalysis*

begin

lemma *Aexp-subst-upd*: $(\text{Aexp } e[y::=x] \cdot n) \sqsubseteq (\text{Aexp } e \cdot n)(y := \perp, x := \text{up} \cdot 0)$
 $\langle \text{proof} \rangle$

lemma *Aexp-subst*: $\text{Aexp } (e[y::=x]) \cdot a \sqsubseteq \text{env-delete } y \ ((\text{Aexp } e) \cdot a) \sqcup \text{esing } x \cdot (\text{up} \cdot 0)$
 $\langle \text{proof} \rangle$

end

context *ArityAnalysisSafe*

begin

lemma *Aexp-Var-singleton*: $\text{esing } x \cdot (\text{up} \cdot n) \sqsubseteq \text{Aexp } (\text{Var } x) \cdot n$
 $\langle \text{proof} \rangle$

lemma *fup-Aexp-Var*: $\text{esing } x \cdot n \sqsubseteq \text{fup} \cdot (\text{Aexp } (\text{Var } x)) \cdot n$
 $\langle \text{proof} \rangle$

end

context *ArityAnalysisLetSafe*

begin

lemma *Aheap-nonrec*:
assumes *nonrec* Δ
shows $\text{Aexp } e \cdot a \ f|' \text{ dom} A \ \Delta \sqsubseteq \text{Aheap } \Delta \ e \cdot a$
 $\langle \text{proof} \rangle$

end

end

5.4 TrivialArityAnal

theory *TrivialArityAnal*

imports *ArityAnalysisSpec* *Launchbury.Env–Nominal*

begin

definition *Trivial-Aexp* :: $\text{exp} \Rightarrow \text{Arity} \rightarrow \text{AEnv}$
where $\text{Trivial-Aexp } e = (\Lambda \ n. (\lambda \ x. \text{up} \cdot 0) \ f|' \text{fv } e)$

lemma *Trivial-Aexp-simp*: $\text{Trivial-Aexp } e \cdot n = (\lambda x. \text{up} \cdot 0) f |' \text{fv } e$
 $\langle \text{proof} \rangle$

lemma *edom-Trivial-Aexp[simp]*: $\text{edom } (\text{Trivial-Aexp } e \cdot n) = \text{fv } e$
 $\langle \text{proof} \rangle$

lemma *Trivial-Aexp-eq[iff]*: $\text{Trivial-Aexp } e \cdot n = \text{Trivial-Aexp } e' \cdot n' \longleftrightarrow \text{fv } e = (\text{fv } e' :: \text{var set})$
 $\langle \text{proof} \rangle$

lemma *below-Trivial-Aexp[simp]*: $(ae \sqsubseteq \text{Trivial-Aexp } e \cdot n) \longleftrightarrow \text{edom } ae \subseteq \text{fv } e$
 $\langle \text{proof} \rangle$

interpretation *ArityAnalysis Trivial-Aexp* $\langle \text{proof} \rangle$

interpretation *EdomArityAnalysis Trivial-Aexp*
 $\langle \text{proof} \rangle$

interpretation *ArityAnalysisSafe Trivial-Aexp*
 $\langle \text{proof} \rangle$

definition *Trivial-Aheap* :: $\text{heap} \Rightarrow \text{exp} \Rightarrow \text{Arity} \rightarrow \text{AEnv}$ **where**
 $\text{Trivial-Aheap } \Gamma \ e = (\Lambda \ a. (\lambda x. \text{up} \cdot 0) f |' \text{domA } \Gamma)$

lemma *Trivial-Aheap-eqvt[eqt]*: $\pi \cdot (\text{Trivial-Aheap } \Gamma \ e) = \text{Trivial-Aheap } (\pi \cdot \Gamma) (\pi \cdot e)$
 $\langle \text{proof} \rangle$

lemma *Trivial-Aheap-simp*: $\text{Trivial-Aheap } \Gamma \ e \cdot a = (\lambda x. \text{up} \cdot 0) f |' \text{domA } \Gamma$
 $\langle \text{proof} \rangle$

lemma *Trivial-fup-Aexp-below-fv*: $\text{fup} \cdot (\text{Trivial-Aexp } e) \cdot a \sqsubseteq (\lambda x. \text{up} \cdot 0) f |' \text{fv } e$
 $\langle \text{proof} \rangle$

lemma *Trivial-ABinds-below-fv*: $\text{ABinds } \Gamma \cdot ae \sqsubseteq (\lambda x. \text{up} \cdot 0) f |' \text{fv } \Gamma$
 $\langle \text{proof} \rangle$

interpretation *ArityAnalysisLetSafe Trivial-Aexp Trivial-Aheap*
 $\langle \text{proof} \rangle$

end

5.5 ArityAnalysisStack

theory *ArityAnalysisStack*

imports *SestoftConf ArityAnalysisSig*

begin

context *ArityAnalysis*

```

begin
  fun AEstack :: Arity list  $\Rightarrow$  stack  $\Rightarrow$  AEEnv
    where
      AEstack - [] =  $\perp$ 
      | AEstack (a # as) (Alts e1 e2 # S) = Aexp e1 · a  $\sqcup$  Aexp e2 · a  $\sqcup$  AEstack as S
      | AEstack as (Upd x # S) = esing x · (up · 0)  $\sqcup$  AEstack as S
      | AEstack as (Arg x # S) = esing x · (up · 0)  $\sqcup$  AEstack as S
      | AEstack as (- # S) = AEstack as S
end

context EdomArityAnalysis
begin
  lemma edom-AEstack: edom (AEstack as S)  $\subseteq$  fv S
     $\langle$ proof $\rangle$ 
end

end

```

5.6 ArityAnalysisFix

```

theory ArityAnalysisFix
imports ArityAnalysisSig ArityAnalysisAbinds
begin

context ArityAnalysis
begin

definition Afix :: heap  $\Rightarrow$  (AEEnv  $\rightarrow$  AEEnv)
  where Afix  $\Gamma$  = ( $\Lambda$  ae. ( $\mu$  ae'. ABinds  $\Gamma$  · ae'  $\sqcup$  ae))

lemma Afix-eq: Afix  $\Gamma$  · ae = ( $\mu$  ae'. (ABinds  $\Gamma$  · ae')  $\sqcup$  ae)
   $\langle$ proof $\rangle$ 

lemma Afix-strict[simp]: Afix  $\Gamma$  ·  $\perp$  =  $\perp$ 
   $\langle$ proof $\rangle$ 

lemma Afix-least-below: ABinds  $\Gamma$  · ae'  $\sqsubseteq$  ae'  $\implies$  ae  $\sqsubseteq$  ae'  $\implies$  Afix  $\Gamma$  · ae  $\sqsubseteq$  ae'
   $\langle$ proof $\rangle$ 

lemma Afix-unroll: Afix  $\Gamma$  · ae = ABinds  $\Gamma$  · (Afix  $\Gamma$  · ae)  $\sqcup$  ae
   $\langle$ proof $\rangle$ 

lemma Abinds-below-Afix: ABinds  $\Delta$   $\sqsubseteq$  Afix  $\Delta$ 
   $\langle$ proof $\rangle$ 

lemma Afix-above-arg: ae  $\sqsubseteq$  Afix  $\Gamma$  · ae
   $\langle$ proof $\rangle$ 

lemma Abinds-Afix-below[simp]: ABinds  $\Gamma$  · (Afix  $\Gamma$  · ae)  $\sqsubseteq$  Afix  $\Gamma$  · ae

```

$\langle proof \rangle$

lemma *Afix-reorder*: $map-of\ \Gamma = map-of\ \Delta \implies Afix\ \Gamma = Afix\ \Delta$

$\langle proof \rangle$

lemma *Afix-repeat-singleton*: $(\mu\ xa.\ Afix\ \Gamma.(esing\ x.(n \sqcup xa\ x) \sqcup ae)) = Afix\ \Gamma.(esing\ x.n \sqcup ae)$

$\langle proof \rangle$

lemma *Afix-join-fresh*: $ae' \text{ ' } (domA\ \Delta) \subseteq \{\perp\} \implies Afix\ \Delta.(ae \sqcup ae') = (Afix\ \Delta.ae) \sqcup ae'$

$\langle proof \rangle$

lemma *Afix-restr-fresh*:

assumes $atom\ \text{' } S\ \sharp^* \Gamma$

shows $Afix\ \Gamma.ae\ f|'(-\ S) = Afix\ \Gamma.(ae\ f|'(-\ S))\ f|'(-\ S)$

$\langle proof \rangle$

lemma *Afix-restr*:

assumes $domA\ \Gamma \subseteq S$

shows $Afix\ \Gamma.ae\ f|'S = Afix\ \Gamma.(ae\ f|'S)\ f|'S$

$\langle proof \rangle$

lemma *Afix-restr-subst'*:

assumes $\bigwedge x' e a. (x', e) \in set\ \Gamma \implies Aexp\ e[x::=y].a\ f|'S = Aexp\ e.a\ f|'S$

assumes $x \notin S$

assumes $y \notin S$

assumes $domA\ \Gamma \subseteq S$

shows $Afix\ \Gamma[x::h=y].ae\ f|'S = Afix\ \Gamma.(ae\ f|'S)\ f|'S$

$\langle proof \rangle$

lemma *Afix-subst-approx*:

assumes $\bigwedge v n. v \in domA\ \Gamma \implies Aexp\ (the\ (map-of\ \Gamma\ v))[y::=x].n \sqsubseteq (Aexp\ (the\ (map-of\ \Gamma\ v)).n)(y := \perp, x := up.0)$

assumes $x \notin domA\ \Gamma$

assumes $y \notin domA\ \Gamma$

shows $Afix\ \Gamma[y::h=x].(ae(y := \perp, x := up.0)) \sqsubseteq (Afix\ \Gamma.ae)(y := \perp, x := up.0)$

$\langle proof \rangle$

end

lemma *Afix-eqvt[eqvt]*: $\pi \cdot (ArityAnalysis.Afix\ Aexp\ \Gamma) = ArityAnalysis.Afix\ (\pi \cdot Aexp)\ (\pi \cdot \Gamma)$

$\langle proof \rangle$

lemma *Afix-cong[fundef-cong]*:

$$\llbracket (\bigwedge e. e \in \text{snd } \text{'set heap2} \implies \text{aexp1 } e = \text{aexp2 } e); \text{heap1} = \text{heap2} \rrbracket$$

$$\implies \text{ArityAnalysis.Afix aexp1 heap1} = \text{ArityAnalysis.Afix aexp2 heap2}$$

$$\langle \text{proof} \rangle$$

context *EdomArityAnalysis*
begin

lemma *Afix-edom*: $\text{edom } (\text{Afix } \Gamma \cdot \text{ae}) \subseteq \text{fv } \Gamma \cup \text{edom } \text{ae}$

$$\langle \text{proof} \rangle$$

lemma *ABinds-lookup-fresh*:

$$\text{atom } v \# \Gamma \implies (\text{ABinds } \Gamma \cdot \text{ae}) \ v = \perp$$

$$\langle \text{proof} \rangle$$

lemma *Afix-lookup-fresh*:
assumes $\text{atom } v \# \Gamma$
shows $(\text{Afix } \Gamma \cdot \text{ae}) \ v = \text{ae } v$

$$\langle \text{proof} \rangle$$

lemma *Afix-comp2join-fresh*:

$$\text{atom } \text{'(domA } \Delta) \# \Gamma \implies \text{ABinds } \Delta \cdot (\text{Afix } \Gamma \cdot \text{ae}) = \text{ABinds } \Delta \cdot \text{ae}$$

$$\langle \text{proof} \rangle$$

lemma *Afix-append-fresh*:
assumes $\text{atom } \text{'(domA } \Delta) \# \Gamma$
shows $\text{Afix } (\Delta @ \Gamma) \cdot \text{ae} = \text{Afix } \Gamma \cdot (\text{Afix } \Delta \cdot \text{ae})$

$$\langle \text{proof} \rangle$$

lemma *Afix-e-to-heap*:

$$\text{Afix } (\text{delete } x \ \Gamma) \cdot (\text{fup} \cdot (\text{Aexp } e) \cdot n \sqcup \text{ae}) \subseteq \text{Afix } ((x, e) \# \text{delete } x \ \Gamma) \cdot (\text{esing } x \cdot n \sqcup \text{ae})$$

$$\langle \text{proof} \rangle$$

lemma *Afix-e-to-heap'*:

$$\text{Afix } (\text{delete } x \ \Gamma) \cdot (\text{Aexp } e \cdot n) \subseteq \text{Afix } ((x, e) \# \text{delete } x \ \Gamma) \cdot (\text{esing } x \cdot (\text{up} \cdot n))$$

$$\langle \text{proof} \rangle$$

end

end

5.7 ArityAnalysisFixProps

theory *ArityAnalysisFixProps*
imports *ArityAnalysisFix* *ArityAnalysisSpec*

```

begin

context SubstArityAnalysis
begin

lemma Afix-restr-subst:
  assumes  $x \notin S$ 
  assumes  $y \notin S$ 
  assumes  $\text{dom } A \cap \Gamma \subseteq S$ 
  shows  $\text{Afix } \Gamma[x::h=y].\text{ae } f|' S = \text{Afix } \Gamma.(\text{ae } f|' S) f|' S$ 
  <proof>
end

end

```

6 Arity Transformation

6.1 AbstractTransform

```

theory AbstractTransform
imports Launchbury.Terms TransformTools
begin

locale AbstractAnalProp =
  fixes PropApp ::  $'a \Rightarrow 'a::\text{cont-pt}$ 
  fixes PropLam ::  $'a \Rightarrow 'a$ 
  fixes AnalLet ::  $\text{heap} \Rightarrow \text{exp} \Rightarrow 'a \Rightarrow 'b::\text{cont-pt}$ 
  fixes PropLetBody ::  $'b \Rightarrow 'a$ 
  fixes PropLetHeap ::  $'b \Rightarrow \text{var} \Rightarrow 'a_{\perp}$ 
  fixes PropIfScrut ::  $'a \Rightarrow 'a$ 
  assumes PropApp-eqvt:  $\pi \cdot \text{PropApp} \equiv \text{PropApp}$ 
  assumes PropLam-eqvt:  $\pi \cdot \text{PropLam} \equiv \text{PropLam}$ 
  assumes AnalLet-eqvt:  $\pi \cdot \text{AnalLet} \equiv \text{AnalLet}$ 
  assumes PropLetBody-eqvt:  $\pi \cdot \text{PropLetBody} \equiv \text{PropLetBody}$ 
  assumes PropLetHeap-eqvt:  $\pi \cdot \text{PropLetHeap} \equiv \text{PropLetHeap}$ 
  assumes PropIfScrut-eqvt:  $\pi \cdot \text{PropIfScrut} \equiv \text{PropIfScrut}$ 

locale AbstractAnalPropSubst = AbstractAnalProp +
  assumes AnalLet-subst:  $x \notin \text{dom } A \cap \Gamma \implies y \notin \text{dom } A \cap \Gamma \implies \text{AnalLet } (\Gamma[x::h=y]) (e[x::=y]) a$ 
  =  $\text{AnalLet } \Gamma e a$ 

locale AbstractTransform = AbstractAnalProp +
  constrains AnalLet ::  $\text{heap} \Rightarrow \text{exp} \Rightarrow 'a::\text{pure-cont-pt} \Rightarrow 'b::\text{cont-pt}$ 
  fixes TransVar ::  $'a \Rightarrow \text{var} \Rightarrow \text{exp}$ 
  fixes TransApp ::  $'a \Rightarrow \text{exp} \Rightarrow \text{var} \Rightarrow \text{exp}$ 
  fixes TransLam ::  $'a \Rightarrow \text{var} \Rightarrow \text{exp} \Rightarrow \text{exp}$ 
  fixes TransLet ::  $'b \Rightarrow \text{heap} \Rightarrow \text{exp} \Rightarrow \text{exp}$ 

```

```

assumes TransVar-eqvt:  $\pi \cdot \text{TransVar} = \text{TransVar}$ 
assumes TransApp-eqvt:  $\pi \cdot \text{TransApp} = \text{TransApp}$ 
assumes TransLam-eqvt:  $\pi \cdot \text{TransLam} = \text{TransLam}$ 
assumes TransLet-eqvt:  $\pi \cdot \text{TransLet} = \text{TransLet}$ 
assumes SuppTransLam:  $\text{supp} (\text{TransLam } a \ v \ e) \subseteq \text{supp } e - \text{supp } v$ 
assumes SuppTransLet:  $\text{supp} (\text{TransLet } b \ \Gamma \ e) \subseteq \text{supp } (\Gamma, e) - \text{atom } \text{'domA } \Gamma$ 
begin
  nominal-function transform where
    transform a (App e x) = TransApp a (transform (PropApp a) e) x
  | transform a (Lam [x]. e) = TransLam a x (transform (PropLam a) e)
  | transform a (Var x) = TransVar a x
  | transform a (Let  $\Gamma$  e) = TransLet (AnalLet  $\Gamma$  e a)
    (map-transform transform (PropLetHeap (AnalLet  $\Gamma$  e a))  $\Gamma$ )
    (transform (PropLetBody (AnalLet  $\Gamma$  e a)) e)
  | transform a (Bool b) = (Bool b)
  | transform a (scrut ? e1 : e2) = (transform (PropIfScrut a) scrut ? transform a e1 : transform
a e2)
  <proof>
  nominal-termination <proof>

  lemma supp-transform:  $\text{supp} (\text{transform } a \ e) \subseteq \text{supp } e$ 
  <proof>

  lemma fv-transform:  $\text{fv} (\text{transform } a \ e) \subseteq \text{fv } e$ 
  <proof>

end

locale AbstractTransformSubst = AbstractTransform + AbstractAnalPropSubst +
  assumes TransVar-subst:  $(\text{TransVar } a \ v)[x ::= y] = (\text{TransVar } a \ v[x ::= v = y])$ 
  assumes TransApp-subst:  $(\text{TransApp } a \ e \ v)[x ::= y] = (\text{TransApp } a \ e[x ::= y] \ v[x ::= v = y])$ 
  assumes TransLam-subst:  $\text{atom } v \ \sharp (x, y) \implies (\text{TransLam } a \ v \ e)[x ::= y] = (\text{TransLam } a \ v[x ::= v = y] \ e[x ::= y])$ 
  assumes TransLet-subst:  $\text{atom } \text{'domA } \Gamma \ \sharp^* (x, y) \implies (\text{TransLet } b \ \Gamma \ e)[x ::= y] = (\text{TransLet } b \ \Gamma[x ::= h = y] \ e[x ::= y])$ 
begin
  lemma subst-transform:  $(\text{transform } a \ e)[x ::= y] = \text{transform } a \ e[x ::= y]$ 
  <proof>
end

locale AbstractTransformBound = AbstractAnalProp + supp-bounded-transform +
  constrains PropApp ::  $\text{'a} \Rightarrow \text{'a}::\text{pure-cont-pt}$ 
  constrains PropLetHeap ::  $\text{'b}::\text{cont-pt} \Rightarrow \text{var} \Rightarrow \text{'a}_\perp$ 
  constrains trans ::  $\text{'c}::\text{cont-pt} \Rightarrow \text{exp} \Rightarrow \text{exp}$ 
  fixes PropLetHeapTrans ::  $\text{'b} \Rightarrow \text{var} \Rightarrow \text{'c}_\perp$ 
  assumes PropLetHeapTrans-eqvt:  $\pi \cdot \text{PropLetHeapTrans} = \text{PropLetHeapTrans}$ 
  assumes TransBound-eqvt:  $\pi \cdot \text{trans} = \text{trans}$ 
begin

```

sublocale *AbstractTransform PropApp PropLam AnalLet PropLetBody PropLetHeap PropIf-Scrut*

($\lambda a. \text{Var}$)
 ($\lambda a. \text{App}$)
 ($\lambda a. \text{Terms.Lam}$)
 ($\lambda b \Gamma e. \text{Let } (\text{map-transform trans } (\text{PropLetHeapTrans } b) \Gamma) e$)
 $\langle \text{proof} \rangle$

lemma *isLam-transform[simp]*:
 $\text{isLam } (\text{transform } a \ e) \longleftrightarrow \text{isLam } e$
 $\langle \text{proof} \rangle$

lemma *isVal-transform[simp]*:
 $\text{isVal } (\text{transform } a \ e) \longleftrightarrow \text{isVal } e$
 $\langle \text{proof} \rangle$

end

locale *AbstractTransformBoundSubst = AbstractAnalPropSubst + AbstractTransformBound +*

assumes *TransBound-subst*: $(\text{trans } a \ e)[x::=y] = \text{trans } a \ e[x::=y]$

begin

sublocale *AbstractTransformSubst PropApp PropLam AnalLet PropLetBody PropLetHeap PropIf-Scrut*

($\lambda a. \text{Var}$)
 ($\lambda a. \text{App}$)
 ($\lambda a. \text{Terms.Lam}$)
 ($\lambda b \Gamma e. \text{Let } (\text{map-transform trans } (\text{PropLetHeapTrans } b) \Gamma) e$)
 $\langle \text{proof} \rangle$

end

end

6.2 ArityTransform

theory *ArityTransform*

imports *ArityAnalysisSig AbstractTransform ArityEtaExpansionSafe*

begin

context *ArityAnalysisHeapEqvt*

begin

sublocale *AbstractTransformBound*

$\lambda a. \text{inc} \cdot a$
 $\lambda a. \text{pred} \cdot a$
 $\lambda \Delta e a. (a, \text{Aheap } \Delta \ e \cdot a)$
 fst
 snd
 $\lambda -. 0$

Aeta-expand
snd
 ⟨*proof*⟩

abbreviation *transform-syn* (⟨ $\mathcal{T}_{\cdot}$ ⟩) **where** $\mathcal{T}_a \equiv \text{transform } a$

lemma *transform-simps*:

$\mathcal{T}_a (\text{App } e \ x) = \text{App } (\mathcal{T}_{\text{inc} \cdot a} e) \ x$
 $\mathcal{T}_a (\text{Lam } [x]. e) = \text{Lam } [x]. \mathcal{T}_{\text{pred} \cdot a} e$
 $\mathcal{T}_a (\text{Var } x) = \text{Var } x$
 $\mathcal{T}_a (\text{Let } \Gamma \ e) = \text{Let } (\text{map-transform } Aeta\text{-expand } (Aheap \ \Gamma \ e \cdot a) \ (\text{map-transform } (\lambda a. \mathcal{T}_a) (Aheap \ \Gamma \ e \cdot a) \ \Gamma)) \ (\mathcal{T}_a e)$
 $\mathcal{T}_a (\text{Bool } b) = \text{Bool } b$
 $\mathcal{T}_a (\text{scrut } ? \ e1 : e2) = (\mathcal{T}_0 \text{ scrut } ? \ \mathcal{T}_a \ e1 : \mathcal{T}_a \ e2)$
 ⟨*proof*⟩
end

end

7 Arity Analysis Safety (without Cardinality)

7.1 ArityConsistent

theory *ArityConsistent*

imports *ArityAnalysisSpec* *ArityStack* *ArityAnalysisStack*
begin

context *ArityAnalysisLetSafe*
begin

type-synonym *astate* = (*AEnv* × *Arity* × *Arity list*)

inductive *stack-consistent* :: *Arity list* ⇒ *stack* ⇒ *bool*

where

stack-consistent [] []
 | *Astack* *S* ⊆ *a* ⇒ *stack-consistent* *as* *S* ⇒ *stack-consistent* (*a* # *as*) (*Alts* *e1* *e2* # *S*)
 | *stack-consistent* *as* *S* ⇒ *stack-consistent* *as* (*Upd* *x* # *S*)
 | *stack-consistent* *as* *S* ⇒ *stack-consistent* *as* (*Arg* *x* # *S*)

inductive-simps *stack-consistent-foo*[*simp*]:

stack-consistent [] [] *stack-consistent* (*a* # *as*) (*Alts* *e1* *e2* # *S*) *stack-consistent* *as* (*Upd* *x* # *S*) *stack-consistent* *as* (*Arg* *x* # *S*)

inductive-cases [*elim!*]: *stack-consistent* *as* (*Alts* *e1* *e2* # *S*)

inductive *a-consistent* :: *astate* ⇒ *conf* ⇒ *bool* **where**

a-consistentI:

edom *ae* ⊆ *domA* $\Gamma \cup \text{upds } S$
 ⇒ *Astack* *S* ⊆ *a*

$\implies (ABinds \Gamma \cdot ae \sqcup Aexp \ e \cdot a \sqcup AEstack \ as \ S) \ f|' (domA \ \Gamma \cup upds \ S) \sqsubseteq ae$
 $\implies stack-consistent \ as \ S$
 $\implies a-consistent \ (ae, a, as) \ (\Gamma, e, S)$
inductive-cases $a-consistentE$: $a-consistent \ (ae, a, as) \ (\Gamma, e, S)$

lemma $a-consistent-restrictA$:

assumes $a-consistent \ (ae, a, as) \ (\Gamma, e, S)$
assumes $edom \ ae \subseteq V$
shows $a-consistent \ (ae, a, as) \ (restrictA \ V \ \Gamma, e, S)$
 $\langle proof \rangle$

lemma $a-consistent-edom-subsetD$:

$a-consistent \ (ae, a, as) \ (\Gamma, e, S) \implies edom \ ae \subseteq domA \ \Gamma \cup upds \ S$
 $\langle proof \rangle$

lemma $a-consistent-stackD$:

$a-consistent \ (ae, a, as) \ (\Gamma, e, S) \implies Astack \ S \sqsubseteq a$
 $\langle proof \rangle$

lemma $a-consistent-app_1$:

$a-consistent \ (ae, a, as) \ (\Gamma, App \ e \ x, S) \implies a-consistent \ (ae, inc \cdot a, as) \ (\Gamma, e, Arg \ x \ \# \ S)$
 $\langle proof \rangle$

lemma $a-consistent-app_2$:

assumes $a-consistent \ (ae, a, as) \ (\Gamma, (Lam \ [y]. \ e), Arg \ x \ \# \ S)$
shows $a-consistent \ (ae, (pred \cdot a), as) \ (\Gamma, e[y::=x], S)$
 $\langle proof \rangle$

lemma $a-consistent-thunk-0$:

assumes $a-consistent \ (ae, a, as) \ (\Gamma, Var \ x, S)$
assumes $map-of \ \Gamma \ x = Some \ e$
assumes $ae \ x = up \cdot 0$
shows $a-consistent \ (ae, 0, as) \ (delete \ x \ \Gamma, e, Upd \ x \ \# \ S)$
 $\langle proof \rangle$

lemma $a-consistent-thunk-once$:

assumes $a-consistent \ (ae, a, as) \ (\Gamma, Var \ x, S)$
assumes $map-of \ \Gamma \ x = Some \ e$
assumes $[simp]$: $ae \ x = up \cdot u$
assumes $heap-upds-ok \ (\Gamma, S)$
shows $a-consistent \ (env-delete \ x \ ae, u, as) \ (delete \ x \ \Gamma, e, S)$
 $\langle proof \rangle$

lemma $a-consistent-lamvar$:

assumes $a-consistent \ (ae, a, as) \ (\Gamma, Var \ x, S)$
assumes $map-of \ \Gamma \ x = Some \ e$
assumes $[simp]$: $ae \ x = up \cdot u$
shows $a-consistent \ (ae, u, as) \ ((x,e) \# \ delete \ x \ \Gamma, e, S)$

$\langle proof \rangle$

lemma

assumes $a\text{-consistent } (ae, a, as) (\Gamma, e, Upd\ x \# S)$
shows $a\text{-consistent-var}_2: a\text{-consistent } (ae, a, as) ((x, e) \# \Gamma, e, S)$
and $a\text{-consistent-UpdD}: ae\ x = up \cdot 0a = 0$
 $\langle proof \rangle$

lemma $a\text{-consistent-let}$:

assumes $a\text{-consistent } (ae, a, as) (\Gamma, Let\ \Delta\ e, S)$
assumes $atom\ 'domA\ \Delta\ \#*\ \Gamma$
assumes $atom\ 'domA\ \Delta\ \#*\ S$
assumes $edom\ ae \cap domA\ \Delta = \{\}$
shows $a\text{-consistent } (Aheap\ \Delta\ e \cdot a \sqcup ae, a, as) (\Delta\ @\ \Gamma, e, S)$
 $\langle proof \rangle$

lemma $a\text{-consistent-if}_1$:

assumes $a\text{-consistent } (ae, a, as) (\Gamma, scrut\ ?\ e1 : e2, S)$
shows $a\text{-consistent } (ae, 0, a \# as) (\Gamma, scrut, Alts\ e1\ e2 \# S)$
 $\langle proof \rangle$

lemma $a\text{-consistent-if}_2$:

assumes $a\text{-consistent } (ae, a, a' \# as') (\Gamma, Bool\ b, Alts\ e1\ e2 \# S)$
shows $a\text{-consistent } (ae, a', as') (\Gamma, if\ b\ then\ e1\ else\ e2, S)$
 $\langle proof \rangle$

lemma $a\text{-consistent-alts-on-stack}$:

assumes $a\text{-consistent } (ae, a, as) (\Gamma, Bool\ b, Alts\ e1\ e2 \# S)$
obtains $a' as'$ **where** $as = a' \# as'\ a = 0$
 $\langle proof \rangle$

lemma $closed\text{-}a\text{-consistent}$:

$fv\ e = (\{\} :: var\ set) \implies a\text{-consistent } (\perp, 0, []) ([], e, [])$
 $\langle proof \rangle$

end

end

7.2 ArityTransformSafe

theory $ArityTransformSafe$

imports $ArityTransform\ ArityConsistent\ ArityAnalysisSpec\ ArityEtaExpansionSafe\ Abstract\ Transform\ ConstOn$

begin

locale $CardinalityArityTransformation = ArityAnalysisLetSafeNoCard$

begin

sublocale $AbstractTransformBoundSubst$

```

λ a . inc·a
λ a . pred·a
λ Δ e a . (a, Aheap Δ e·a)
fst
snd
λ -. 0
Aeta-expand
snd
⟨proof⟩

```

abbreviation *ccTransform* **where** *ccTransform* $\equiv$ *transform*

lemma *supp-transform*: *supp* (*transform* a e) $\subseteq$ *supp* e
 ⟨proof⟩

interpretation *supp-bounded-transform transform*
 ⟨proof⟩

```

fun transform-alts :: Arity list  $\Rightarrow$  stack  $\Rightarrow$  stack
where
  transform-alts - [] = []
  | transform-alts (a#as) (Alts e1 e2 # S) = (Alts (ccTransform a e1) (ccTransform a e2))
# transform-alts as S
  | transform-alts as (x # S) = x # transform-alts as S

```

lemma *transform-alts-Nil[simp]*: *transform-alts* [] S = S
 ⟨proof⟩

lemma *Astack-transform-alts[simp]*:
Astack (*transform-alts* as S) = *Astack* S
 ⟨proof⟩

lemma *fresh-star-transform-alts[intro]*: a $\sharp^*$ S $\Longrightarrow$ a $\sharp^*$ *transform-alts* as S
 ⟨proof⟩

```

fun a-transform :: astate  $\Rightarrow$  conf  $\Rightarrow$  conf
where a-transform (ae, a, as) (Γ, e, S) =
  (map-transform Aeta-expand ae (map-transform ccTransform ae Γ),
   ccTransform a e,
   transform-alts as S)

```

```

fun restr-conf :: var set  $\Rightarrow$  conf  $\Rightarrow$  conf
where restr-conf V (Γ, e, S) = (restrictA V Γ, e, restr-stack V S)

```

inductive *consistent* :: astate $\Rightarrow$ conf $\Rightarrow$ bool **where**
consistentI[intro!]:
a-consistent (ae, a, as) (Γ, e, S)
 $\Longrightarrow$ ($\bigwedge$ x. x $\in$ *thunks* Γ $\Longrightarrow$ ae x = up·0)
 $\Longrightarrow$ *consistent* (ae, a, as) (Γ, e, S)

inductive-cases *consistentE*[elim!]: *consistent* (ae, a, as) (Γ, e, S)

```

lemma closed-consistent:
  assumes  $fv\ e = (\{\} :: var\ set)$ 
  shows  $consistent\ (\perp, \theta, [])\ ([], e, [])$ 
   $\langle proof \rangle$ 

lemma arity-transform-safe:
  fixes  $c\ c'$ 
  assumes  $c \Rightarrow^* c'$  and  $\neg boring\_step\ c'$  and  $heap\_ups\_ok\_conf\ c$  and  $consistent\ (ae, a, as)$ 
   $c$ 
  shows  $\exists ae'\ a'\ as'.\ consistent\ (ae', a', as')\ c' \wedge a\_transform\ (ae, a, as)\ c \Rightarrow^* a\_transform\ (ae', a', as')\ c'$ 
   $\langle proof \rangle$ 
end

end

```

8 Cardinality Analysis

8.1 Cardinality-Domain

```

theory Cardinality-Domain
imports Launchbury.HOLCF-Utills
begin

type-synonym  $oneShot = one$ 
abbreviation  $notOneShot :: oneShot$  where  $notOneShot \equiv ONE$ 
abbreviation  $oneShot :: oneShot$  where  $oneShot \equiv \perp$ 

type-synonym  $two = oneShot_{\perp}$ 
abbreviation  $many :: two$  where  $many \equiv up \cdot notOneShot$ 
abbreviation  $once :: two$  where  $once \equiv up \cdot oneShot$ 
abbreviation  $none :: two$  where  $none \equiv \perp$ 

lemma  $many\_max[simp]: a \sqsubseteq many\ \langle proof \rangle$ 

lemma  $two\_conj: c = many \vee c = once \vee c = none\ \langle proof \rangle$ 

lemma  $two\_cases[case-names\ many\ once\ none]:$ 
  obtains  $c = many \mid c = once \mid c = none\ \langle proof \rangle$ 

definition  $two\_pred$  where  $two\_pred = (\Lambda x.\ if\ x \sqsubseteq once\ then\ \perp\ else\ x)$ 

lemma  $two\_pred\_simp: two\_pred \cdot c = (if\ c \sqsubseteq once\ then\ \perp\ else\ c)$ 
   $\langle proof \rangle$ 

lemma  $two\_pred\_simps[simp]:$ 
   $two\_pred \cdot many = many$ 

```

$two_pred \cdot once = none$
 $two_pred \cdot none = none$
 $\langle proof \rangle$

lemma *two-pred-below-arg*: $two_pred \cdot f \sqsubseteq f$
 $\langle proof \rangle$

lemma *two-pred-none*: $two_pred \cdot c = none \longleftrightarrow c \sqsubseteq once$
 $\langle proof \rangle$

definition *record-call* **where** $record_call\ x = (\Lambda\ ce.\ (\lambda\ y.\ if\ x = y\ then\ two_pred \cdot (ce\ y)\ else\ ce\ y))$

lemma *record-call-simp*: $(record_call\ x \cdot f)\ x' = (if\ x = x'\ then\ two_pred \cdot (f\ x')\ else\ f\ x')$
 $\langle proof \rangle$

lemma *record-call[simp]*: $(record_call\ x \cdot f)\ x = two_pred \cdot (f\ x)$
 $\langle proof \rangle$

lemma *record-call-other[simp]*: $x' \neq x \implies (record_call\ x \cdot f)\ x' = f\ x'$
 $\langle proof \rangle$

lemma *record-call-below-arg*: $record_call\ x \cdot f \sqsubseteq f$
 $\langle proof \rangle$

definition *two-add* :: $two \rightarrow two \rightarrow two$
where $two_add = (\Lambda\ x.\ (\lambda\ y.\ if\ x \sqsubseteq \perp\ then\ y\ else\ (if\ y \sqsubseteq \perp\ then\ x\ else\ many)))$

lemma *two-add-simp*: $two_add \cdot x \cdot y = (if\ x \sqsubseteq \perp\ then\ y\ else\ (if\ y \sqsubseteq \perp\ then\ x\ else\ many))$
 $\langle proof \rangle$

lemma *two-pred-two-add-once*: $c \sqsubseteq two_pred \cdot (two_add \cdot once \cdot c)$
 $\langle proof \rangle$

end

8.2 CardinalityAnalysisSig

theory *CardinalityAnalysisSig*
imports *Arity AEnv Cardinality-Domain SestoftConf*
begin

locale *CardinalityPrognosis* =
fixes $prognosis :: AEnv \Rightarrow Arity\ list \Rightarrow Arity \Rightarrow conf \Rightarrow (var \Rightarrow two)$

locale *CardinalityHeap* =
fixes $cHeap :: heap \Rightarrow exp \Rightarrow Arity \rightarrow (var \Rightarrow two)$

end

8.3 CardinalityAnalysisSpec

```

theory CardinalityAnalysisSpec
imports ArityAnalysisSpec CardinalityAnalysisSig ConstOn
begin

locale CardinalityPrognosisEdom = CardinalityPrognosis +
  assumes edom-prognosis:
     $\text{edom} (\text{prognosis } ae \text{ as } a (\Gamma, e, S)) \subseteq \text{fv } \Gamma \cup \text{fv } e \cup \text{fv } S$ 

locale CardinalityPrognosisShape = CardinalityPrognosis +
  assumes prognosis-env-cong:  $ae \text{ f}|^{\text{dom} A} \Gamma = ae' \text{ f}|^{\text{dom} A} \Gamma \implies \text{prognosis } ae \text{ as } u (\Gamma, e, S) = \text{prognosis } ae' \text{ as } u (\Gamma, e, S)$ 
  assumes prognosis-reorder:  $\text{map-of } \Gamma = \text{map-of } \Delta \implies \text{prognosis } ae \text{ as } u (\Gamma, e, S) = \text{prognosis } ae \text{ as } u (\Delta, e, S)$ 
  assumes prognosis-ap:  $\text{const-on} (\text{prognosis } ae \text{ as } a (\Gamma, e, S)) (\text{ap } S) \text{ many}$ 
  assumes prognosis-upd:  $\text{prognosis } ae \text{ as } u (\Gamma, e, S) \sqsubseteq \text{prognosis } ae \text{ as } u (\Gamma, e, \text{Upd } x \# S)$ 
  assumes prognosis-not-called:  $ae \text{ } x = \perp \implies \text{prognosis } ae \text{ as } a (\Gamma, e, S) \sqsubseteq \text{prognosis } ae \text{ as } a (\text{delete } x \Gamma, e, S)$ 
  assumes prognosis-called:  $\text{once} \sqsubseteq \text{prognosis } ae \text{ as } a (\Gamma, \text{Var } x, S) \text{ } x$ 

locale CardinalityPrognosisApp = CardinalityPrognosis +
  assumes prognosis-App:  $\text{prognosis } ae \text{ as } (\text{inc} \cdot a) (\Gamma, e, \text{Arg } x \# S) \sqsubseteq \text{prognosis } ae \text{ as } a (\Gamma, \text{App } e \text{ } x, S)$ 

locale CardinalityPrognosisLam = CardinalityPrognosis +
  assumes prognosis-subst-Lam:  $\text{prognosis } ae \text{ as } (\text{pred} \cdot a) (\Gamma, e[y::=x], S) \sqsubseteq \text{prognosis } ae \text{ as } a (\Gamma, \text{Lam } [y]. e, \text{Arg } x \# S)$ 

locale CardinalityPrognosisVar = CardinalityPrognosis +
  assumes prognosis-Var-lam:  $\text{map-of } \Gamma \text{ } x = \text{Some } e \implies ae \text{ } x = \text{up} \cdot u \implies \text{isVal } e \implies \text{prognosis } ae \text{ as } u (\Gamma, e, S) \sqsubseteq \text{record-call } x \cdot (\text{prognosis } ae \text{ as } a (\Gamma, \text{Var } x, S))$ 
  assumes prognosis-Var-thunk:  $\text{map-of } \Gamma \text{ } x = \text{Some } e \implies ae \text{ } x = \text{up} \cdot u \implies \neg \text{isVal } e \implies \text{prognosis } ae \text{ as } u (\text{delete } x \Gamma, e, \text{Upd } x \# S) \sqsubseteq \text{record-call } x \cdot (\text{prognosis } ae \text{ as } a (\Gamma, \text{Var } x, S))$ 
  assumes prognosis-Var2:  $\text{isVal } e \implies x \notin \text{dom} A \Gamma \implies \text{prognosis } ae \text{ as } 0 ((x, e) \# \Gamma, e, S) \sqsubseteq \text{prognosis } ae \text{ as } 0 (\Gamma, e, \text{Upd } x \# S)$ 

locale CardinalityPrognosisIfThenElse = CardinalityPrognosis +
  assumes prognosis-IfThenElse:  $\text{prognosis } ae \text{ as } (a \# as) \text{ } 0 (\Gamma, \text{scrut}, \text{Alts } e1 \text{ } e2 \# S) \sqsubseteq \text{prognosis } ae \text{ as } a (\Gamma, \text{scrut } ? e1 : e2, S)$ 
  assumes prognosis-Alts:  $\text{prognosis } ae \text{ as } a (\Gamma, \text{if } b \text{ then } e1 \text{ else } e2, S) \sqsubseteq \text{prognosis } ae \text{ as } (a \# as) \text{ } 0 (\Gamma, \text{Bool } b, \text{Alts } e1 \text{ } e2 \# S)$ 

locale CardinalityPrognosisLet = CardinalityPrognosis + CardinalityHeap + ArityAnalysisHeap +
  assumes prognosis-Let:

```

$atom \text{ ' } domA \Delta \#* \Gamma \Longrightarrow atom \text{ ' } domA \Delta \#* S \Longrightarrow edom\ ae \subseteq domA \Gamma \cup upds\ S \Longrightarrow prognosis$
 $(Aheap \Delta e \cdot a \sqcup ae) \text{ as } a (\Delta @ \Gamma, e, S) \sqsubseteq cHeap \Delta e \cdot a \sqcup prognosis\ ae \text{ as } a (\Gamma, Terms.Let \Delta e,$
 $S)$

locale *CardinalityHeapSafe* = *CardinalityHeap* + *ArityAnalysisHeap* +
assumes *Aheap-heap3*: $x \in thunks\ \Gamma \Longrightarrow many \sqsubseteq (cHeap\ \Gamma\ e \cdot a)\ x \Longrightarrow (Aheap\ \Gamma\ e \cdot a)\ x =$
 $up \cdot 0$
assumes *edom-cHeap*: $edom\ (cHeap\ \Delta\ e \cdot a) = edom\ (Aheap\ \Delta\ e \cdot a)$

locale *CardinalityPrognosisSafe* =
CardinalityPrognosisEdom +
CardinalityPrognosisShape +
CardinalityPrognosisApp +
CardinalityPrognosisLam +
CardinalityPrognosisVar +
CardinalityPrognosisLet +
CardinalityPrognosisIfThenElse +
CardinalityHeapSafe +
ArityAnalysisLetSafe

end

8.4 NoCardinalityAnalysis

theory *NoCardinalityAnalysis*
imports *CardinalityAnalysisSpec* *ArityAnalysisStack*
begin

locale *NoCardinalityAnalysis* = *ArityAnalysisLetSafe* +
assumes *Aheap-thunk*: $x \in thunks\ \Gamma \Longrightarrow (Aheap\ \Gamma\ e \cdot a)\ x = up \cdot 0$
begin

definition $a2c :: Arity_{\perp} \rightarrow two$ **where** $a2c = (\lambda a. \text{if } a \sqsubseteq \perp \text{ then } \perp \text{ else } many)$

lemma *a2c-simp*: $a2c \cdot a = (\text{if } a \sqsubseteq \perp \text{ then } \perp \text{ else } many)$
 $\langle proof \rangle$

lemma *a2c-eqvt*[*eqvt*]: $\pi \cdot a2c = a2c$
 $\langle proof \rangle$

definition $ae2ce :: AEnv \Rightarrow (var \Rightarrow two)$ **where** $ae2ce\ ae\ x = a2c \cdot (ae\ x)$

lemma *ae2ce-cont*: $cont\ ae2ce$
 $\langle proof \rangle$

lemmas *cont-compose*[*OF* *ae2ce-cont*, *cont2cont*, *simp*]

lemma *ae2ce-eqvt*[*eqvt*]: $\pi \cdot ae2ce\ ae\ x = ae2ce\ (\pi \cdot ae)\ (\pi \cdot x)$
 $\langle proof \rangle$

lemma *ae2ce-to-env-restr*: $ae2ce\ ae = (\lambda\ -. \ many)\ f|' \ edom\ ae$
 $\langle proof \rangle$

lemma *edom-ae2ce[simp]*: $edom\ (ae2ce\ ae) = edom\ ae$
 $\langle proof \rangle$

definition *cHeap* :: $heap \Rightarrow exp \Rightarrow Arity \rightarrow (var \Rightarrow two)$
where $cHeap\ \Gamma\ e = (\Lambda\ a.\ ae2ce\ (Aheap\ \Gamma\ e\cdot a))$

lemma *cHeap-simp[simp]*: $cHeap\ \Gamma\ e\cdot a = ae2ce\ (Aheap\ \Gamma\ e\cdot a)$
 $\langle proof \rangle$

sublocale *CardinalityHeap* *cHeap* $\langle proof \rangle$

sublocale *CardinalityHeapSafe* *cHeap* *Aheap*
 $\langle proof \rangle$

fun *prognosis* **where**

$prognosis\ ae\ as\ a\ (\Gamma,\ e,\ S) = ((\lambda\ -. \ many)\ f|' \ (edom\ (ABinds\ \Gamma\cdot ae) \cup edom\ (Aexp\ e\cdot a) \cup edom\ (AEstack\ as\ S)))$

lemma *record-all-noop[simp]*:
 $record\ call\ x\cdot ((\lambda\ -. \ many)\ f|' \ S) = (\lambda\ -. \ many)\ f|' \ S$
 $\langle proof \rangle$

lemma *const-on-restr-constI[intro]*:
 $S' \subseteq S \implies const\ on\ ((\lambda\ -. \ x)\ f|' \ S)\ S'\ x$
 $\langle proof \rangle$

lemma *ap-subset-edom-AEstack*: $ap\ S \subseteq edom\ (AEstack\ as\ S)$
 $\langle proof \rangle$

sublocale *CardinalityPrognosis* *prognosis* $\langle proof \rangle$

sublocale *CardinalityPrognosisShape* *prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisApp* *prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisLam* *prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisVar* *prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisIfThenElse* *prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisLet prognosis cHeap Aheap*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisEdom prognosis*
 $\langle proof \rangle$

sublocale *CardinalityPrognosisSafe prognosis cHeap Aheap Aexp* $\langle proof \rangle$
end

end

8.5 CardArityTransformSafe

theory *CardArityTransformSafe*

imports *ArityTransform CardinalityAnalysisSpec AbstractTransform Sestoft SestoftGC ArityEta-ExpansionSafe ArityAnalysisStack ArityConsistent*

begin

context *CardinalityPrognosisSafe*

begin

sublocale *AbstractTransformBoundSubst*

$\lambda a . inc \cdot a$

$\lambda a . pred \cdot a$

$\lambda \Delta e a . (a, Aheap \Delta e \cdot a)$

fst

snd

$\lambda -. 0$

Aeta-expand

snd

$\langle proof \rangle$

abbreviation *ccTransform* **where** *ccTransform* $\equiv$ *transform*

lemma *supp-transform*: $supp (transform a e) \subseteq supp e$

$\langle proof \rangle$

interpretation *supp-bounded-transform transform*

$\langle proof \rangle$

type-synonym *tstate* $= (AEnv \times (var \Rightarrow two) \times Arity \times Arity list \times var list)$

fun *transform-alt*s $:: Arity list \Rightarrow stack \Rightarrow stack$

where

*transform-alt*s - [] = []

| *transform-alt*s (*a* # *as*) (*Alts* *e1 e2* # *S*) = (*Alts* (*ccTransform* *a e1*) (*ccTransform* *a e2*))

*transform-alt*s *as* *S*

| *transform-alt*s *as* (*x* # *S*) = *x* # *transform-alt*s *as* *S*

lemma *transform-alts-Nil[simp]*: $\text{transform-alts } [] S = S$
 ⟨proof⟩

lemma *Astack-transform-alts[simp]*:
 $Astack (\text{transform-alts } as S) = Astack S$
 ⟨proof⟩

lemma *fresh-star-transform-alts[intro]*: $a \#* S \implies a \#* \text{transform-alts } as S$
 ⟨proof⟩

fun *a-transform* :: $astate \Rightarrow conf \Rightarrow conf$
where *a-transform* (*ae*, *a*, *as*) (Γ , *e*, *S*) =
 (*map-transform* *Aeta-expand* *ae* (*map-transform* *ccTransform* *ae* Γ),
ccTransform *a* *e*,
transform-alts *as* *S*)

fun *restr-conf* :: $var\ set \Rightarrow conf \Rightarrow conf$
where *restr-conf* *V* (Γ , *e*, *S*) = (*restrictA* *V* Γ , *e*, *restr-stack* *V* *S*)

fun *add-dummies-conf* :: $var\ list \Rightarrow conf \Rightarrow conf$
where *add-dummies-conf* *l* (Γ , *e*, *S*) = (Γ , *e*, *S* @ *map* *Dummy* (*rev* *l*))

fun *conf-transform* :: $tstate \Rightarrow conf \Rightarrow conf$
where *conf-transform* (*ae*, *ce*, *a*, *as*, *r*) *c* = *add-dummies-conf* *r* ((*a-transform* (*ae*, *a*, *as*)
 (*restr-conf* (*set* *r*) *c*)))

inductive *consistent* :: $tstate \Rightarrow conf \Rightarrow bool$ **where**
consistentI[*intro!*]:
a-consistent (*ae*, *a*, *as*) (*restr-conf* (*set* *r*) (Γ , *e*, *S*))
 $\implies \text{edom } ae = \text{edom } ce$
 $\implies \text{prognosis } ae \text{ as } a (\Gamma, e, S) \sqsubseteq ce$
 $\implies (\bigwedge x. x \in \text{thunks } \Gamma \implies \text{many } \sqsubseteq ce \ x \implies ae \ x = up \cdot 0)$
 $\implies \text{set } r \subseteq (\text{domA } \Gamma \cup \text{upds } S) - \text{edom } ce$
 $\implies \text{consistent } (ae, ce, a, as, r) (\Gamma, e, S)$
inductive-cases *consistentE*[*elim!*]: *consistent* (*ae*, *ce*, *a*, *as*) (Γ , *e*, *S*)

lemma *closed-consistent*:
assumes *fv* *e* = ($\{\}$::*var* *set*)
shows *consistent* ($\perp$, $\perp$, $\emptyset$, $\{\}$, $\{\}$) ($\{\}$, *e*, $\{\}$)
 ⟨proof⟩

lemma *card-arity-transform-safe*:
fixes *c* *c'*
assumes $c \Rightarrow^* c'$ **and** $\neg \text{boring-step } c'$ **and** *heap-upds-ok-conf* *c* **and** *consistent* (*ae*, *ce*, *a*, *as*, *r*)
c
shows $\exists ae' ce' a' as' r'. \text{consistent } (ae', ce', a', as', r') \ c' \wedge \text{conf-transform } (ae', ce', a', as', r') \ c$
 $\Rightarrow_{G^*} \text{conf-transform } (ae', ce', a', as', r') \ c'$
 ⟨proof⟩

end

end

9 Trace Trees

9.1 TTree

theory *TTree*
imports *Main ConstOn List-Interleavings*
begin

9.1.1 Prefix-closed sets of lists

definition *downset* :: 'a list set $\Rightarrow$ bool **where**
 $downset\ xss = (\forall x\ n. x \in xss \longrightarrow take\ n\ x \in xss)$

lemma *downsetE[elim]*:
 $downset\ xss \Longrightarrow xs \in xss \Longrightarrow butlast\ xs \in xss$
(proof)

lemma *downset-appendE[elim]*:
 $downset\ xss \Longrightarrow xs@ys \in xss \Longrightarrow xs \in xss$
(proof)

lemma *downset-hdE[elim]*:
 $downset\ xss \Longrightarrow xs \in xss \Longrightarrow xs \neq [] \Longrightarrow [hd\ xs] \in xss$
(proof)

lemma *downsetI[intro]*:
assumes $\bigwedge xs. xs \in xss \Longrightarrow xs \neq [] \Longrightarrow butlast\ xs \in xss$
shows $downset\ xss$
(proof)

lemma [simp]: $downset\ \{[]\}$ (proof)

lemma *downset-mapI*: $downset\ xss \Longrightarrow downset\ (map\ f\ 'xss)$
(proof)

lemma *downset-filter*:
assumes $downset\ xss$
shows $downset\ (filter\ P\ 'xss)$
(proof)

lemma *downset-set-subset*:
 $downset\ (\{xs. set\ xs \subseteq S\})$
(proof)

9.1.2 The type of infinite labeled trees

typedef 'a ttree = {xss :: 'a list set . [] ∈ xss ∧ downset xss} ⟨proof⟩

setup-lifting type-definition-ttree

9.1.3 Deconstructors

lift-definition possible :: 'a ttree ⇒ 'a ⇒ bool
is λ xss x. ∃ xs. x#xs ∈ xss ⟨proof⟩

lift-definition nxt :: 'a ttree ⇒ 'a ⇒ 'a ttree
is λ xss x. insert [] {xs | xs. x#xs ∈ xss}
 ⟨proof⟩

9.1.4 Trees as set of paths

lift-definition paths :: 'a ttree ⇒ 'a list set **is** (λ x. x) ⟨proof⟩

lemma paths-inj: paths t = paths t' ⇒ t = t' ⟨proof⟩

lemma paths-injs-simps[simp]: paths t = paths t' ⇔ t = t' ⟨proof⟩

lemma paths-Nil[simp]: [] ∈ paths t ⟨proof⟩

lemma paths-not-empty[simp]: (paths t = {}) ⇔ False ⟨proof⟩

lemma paths-Cons-nxt:
 possible t x ⇒ xs ∈ paths (nxt t x) ⇒ (x#xs) ∈ paths t
 ⟨proof⟩

lemma paths-Cons-nxt-iff:
 possible t x ⇒ xs ∈ paths (nxt t x) ⇔ (x#xs) ∈ paths t
 ⟨proof⟩

lemma possible-mono:
 paths t ⊆ paths t' ⇒ possible t x ⇒ possible t' x
 ⟨proof⟩

lemma nxt-mono:
 paths t ⊆ paths t' ⇒ paths (nxt t x) ⊆ paths (nxt t' x)
 ⟨proof⟩

lemma ttree-eqI: (∧ x xs. x#xs ∈ paths t ⇔ x#xs ∈ paths t') ⇒ t = t'
 ⟨proof⟩

lemma paths-nxt[elim]:
assumes xs ∈ paths (nxt t x)
obtains x#xs ∈ paths t | xs = []
 ⟨proof⟩

lemma *Cons-path*: $x \# xs \in \text{paths } t \longleftrightarrow \text{possible } t \ x \wedge xs \in \text{paths } (\text{nxt } t \ x)$
 $\langle \text{proof} \rangle$

lemma *Cons-pathI[intro]*:
assumes $\text{possible } t \ x \longleftrightarrow \text{possible } t' \ x$
assumes $\text{possible } t \ x \implies \text{possible } t' \ x \implies xs \in \text{paths } (\text{nxt } t \ x) \longleftrightarrow xs \in \text{paths } (\text{nxt } t' \ x)$
shows $x \# xs \in \text{paths } t \longleftrightarrow x \# xs \in \text{paths } t'$
 $\langle \text{proof} \rangle$

lemma *paths-nxt-eq*: $xs \in \text{paths } (\text{nxt } t \ x) \longleftrightarrow xs = [] \vee x \# xs \in \text{paths } t$
 $\langle \text{proof} \rangle$

lemma *tree-coinduct*:
assumes $P \ t \ t'$
assumes $\bigwedge t \ t' \ x . P \ t \ t' \implies \text{possible } t \ x \longleftrightarrow \text{possible } t' \ x$
assumes $\bigwedge t \ t' \ x . P \ t \ t' \implies \text{possible } t \ x \implies \text{possible } t' \ x \implies P \ (\text{nxt } t \ x) \ (\text{nxt } t' \ x)$
shows $t = t'$
 $\langle \text{proof} \rangle$

9.1.5 The carrier of a tree

lift-definition *carrier* :: 'a tree $\Rightarrow$ 'a set **is** $\lambda \text{ xss} . \bigcup (\text{set } ' \text{ xss}) \langle \text{proof} \rangle$

lemma *carrier-mono*: $\text{paths } t \subseteq \text{paths } t' \implies \text{carrier } t \subseteq \text{carrier } t' \langle \text{proof} \rangle$

lemma *carrier-possible*:
 $\text{possible } t \ x \implies x \in \text{carrier } t \langle \text{proof} \rangle$

lemma *carrier-possible-subset*:
 $\text{carrier } t \subseteq A \implies \text{possible } t \ x \implies x \in A \langle \text{proof} \rangle$

lemma *carrier-nxt-subset*:
 $\text{carrier } (\text{nxt } t \ x) \subseteq \text{carrier } t$
 $\langle \text{proof} \rangle$

lemma *Union-paths-carrier*: $(\bigcup x \in \text{paths } t . \text{set } x) = \text{carrier } t$
 $\langle \text{proof} \rangle$

9.1.6 Repeatable trees

definition *repeatable* **where** $\text{repeatable } t = (\forall x . \text{possible } t \ x \longrightarrow \text{nxt } t \ x = t)$

lemma *nxt-repeatable[simp]*: $\text{repeatable } t \implies \text{possible } t \ x \implies \text{nxt } t \ x = t$
 $\langle \text{proof} \rangle$

9.1.7 Simple trees

lift-definition *empty* :: 'a tree **is** $\{[]\} \langle \text{proof} \rangle$

lemma *possible-empty[simp]*: *possible empty* $x' \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

lemma *nxt-not-possible[simp]*: $\neg \text{possible } t \ x \implies \text{nxt } t \ x = \text{empty}$
 $\langle \text{proof} \rangle$

lemma *paths-empty[simp]*: *paths empty* $= \{\ [] \}$ $\langle \text{proof} \rangle$

lemma *carrier-empty[simp]*: *carrier empty* $= \{ \}$ $\langle \text{proof} \rangle$

lemma *repeatable-empty[simp]*: *repeatable empty* $\langle \text{proof} \rangle$

lift-definition *single* :: $'a \Rightarrow 'a \text{ tree}$ **is** $\lambda x. \{ [], [x] \}$
 $\langle \text{proof} \rangle$

lemma *possible-single[simp]*: *possible (single x)* $x' \longleftrightarrow x = x'$
 $\langle \text{proof} \rangle$

lemma *nxt-single[simp]*: *nxt (single x)* $x' = \text{empty}$
 $\langle \text{proof} \rangle$

lemma *carrier-single[simp]*: *carrier (single y)* $= \{ y \}$
 $\langle \text{proof} \rangle$

lemma *paths-single[simp]*: *paths (single x)* $= \{ [], [x] \}$
 $\langle \text{proof} \rangle$

lift-definition *many-calls* :: $'a \Rightarrow 'a \text{ tree}$ **is** $\lambda x. \text{range } (\lambda n. \text{replicate } n \ x)$
 $\langle \text{proof} \rangle$

lemma *possible-many-calls[simp]*: *possible (many-calls x)* $x' \longleftrightarrow x = x'$
 $\langle \text{proof} \rangle$

lemma *nxt-many-calls[simp]*: *nxt (many-calls x)* $x' = (\text{if } x' = x \text{ then many-calls } x \text{ else empty})$
 $\langle \text{proof} \rangle$

lemma *repeatable-many-calls*: *repeatable (many-calls x)*
 $\langle \text{proof} \rangle$

lemma *carrier-many-calls[simp]*: *carrier (many-calls x)* $= \{ x \}$ $\langle \text{proof} \rangle$

lift-definition *anything* :: $'a \text{ tree}$ **is** *UNIV*
 $\langle \text{proof} \rangle$

lemma *possible-anything[simp]*: *possible anything* $x' \longleftrightarrow \text{True}$
 $\langle \text{proof} \rangle$

lemma *nxt-anything[simp]*: *nxt anything* $x = \text{anything}$

$\langle \text{proof} \rangle$

lemma *paths-anything[simp]*:
paths anything = UNIV $\langle \text{proof} \rangle$

lemma *carrier-anything[simp]*:
carrier anything = UNIV
 $\langle \text{proof} \rangle$

lift-definition *many-among* :: 'a set $\Rightarrow$ 'a tree **is** $\lambda S. \{xs . \text{set } xs \subseteq S\}$
 $\langle \text{proof} \rangle$

lemma *carrier-many-among[simp]*: *carrier (many-among S) = S*
 $\langle \text{proof} \rangle$

9.1.8 Intersection of two trees

lift-definition *intersect* :: 'a tree $\Rightarrow$ 'a tree $\Rightarrow$ 'a tree (**infixl** $\langle \cap \rangle$ 80)
is $(\cap)$
 $\langle \text{proof} \rangle$

lemma *paths-intersect[simp]*: *paths (t $\cap$ t') = paths t $\cap$ paths t'*
 $\langle \text{proof} \rangle$

lemma *carrier-intersect*: *carrier (t $\cap$ t') $\subseteq$ carrier t $\cap$ carrier t'*
 $\langle \text{proof} \rangle$

9.1.9 Disjoint union of trees

lift-definition *either* :: 'a tree $\Rightarrow$ 'a tree $\Rightarrow$ 'a tree (**infixl** $\langle \oplus \rangle$ 80)
is $(\cup)$
 $\langle \text{proof} \rangle$

lemma *either-empty1[simp]*: *empty $\oplus$ t = t*
 $\langle \text{proof} \rangle$

lemma *either-empty2[simp]*: *t $\oplus$ empty = t*
 $\langle \text{proof} \rangle$

lemma *either-sym[simp]*: *t $\oplus$ t2 = t2 $\oplus$ t*
 $\langle \text{proof} \rangle$

lemma *either-idem[simp]*: *t $\oplus$ t = t*
 $\langle \text{proof} \rangle$

lemma *possible-either[simp]*: *possible (t $\oplus$ t') x $\longleftrightarrow$ possible t x $\vee$ possible t' x*
 $\langle \text{proof} \rangle$

lemma *nxt-either[simp]*: *nxt (t $\oplus$ t') x = nxt t x $\oplus$ nxt t' x*
 $\langle \text{proof} \rangle$

lemma *paths-either[simp]*: *paths (t $\oplus$ t') = paths t $\cup$ paths t'*
 $\langle \text{proof} \rangle$

lemma *carrier-either*[simp]:
 $\text{carrier } (t \oplus \oplus t') = \text{carrier } t \cup \text{carrier } t'$
 $\langle \text{proof} \rangle$

lemma *either-contains-arg1*: $\text{paths } t \subseteq \text{paths } (t \oplus \oplus t')$
 $\langle \text{proof} \rangle$

lemma *either-contains-arg2*: $\text{paths } t' \subseteq \text{paths } (t \oplus \oplus t')$
 $\langle \text{proof} \rangle$

lift-definition *Either* :: 'a tree set $\Rightarrow$ 'a tree **is** $\lambda S. \text{insert } [] (\bigcup S)$
 $\langle \text{proof} \rangle$

lemma *paths-Either*: $\text{paths } (\text{Either } ts) = \text{insert } [] (\bigcup (\text{paths } ' ts))$
 $\langle \text{proof} \rangle$

9.1.10 Merging of trees

lemma *ex-ex-eq-hint*: $(\exists x. (\exists xs \ ys. x = f \ xs \ ys \wedge P \ xs \ ys) \wedge Q \ x) \longleftrightarrow (\exists xs \ ys. Q \ (f \ xs \ ys) \wedge P \ xs \ ys)$
 $\langle \text{proof} \rangle$

lift-definition *both* :: 'a tree $\Rightarrow$ 'a tree $\Rightarrow$ 'a tree (**infixl** $\langle \otimes \otimes \rangle$ 86)
is $\lambda xss \ yss . \bigcup \{xs \ \otimes \ ys \mid xs \ ys. xs \in xss \wedge ys \in yss\}$
 $\langle \text{proof} \rangle$

lemma *both-assoc*[simp]: $t \otimes \otimes (t' \otimes \otimes t'') = (t \otimes \otimes t') \otimes \otimes t''$
 $\langle \text{proof} \rangle$

lemma *both-comm*: $t \otimes \otimes t' = t' \otimes \otimes t$
 $\langle \text{proof} \rangle$

lemma *both-empty1*[simp]: $\text{empty} \otimes \otimes t = t$
 $\langle \text{proof} \rangle$

lemma *both-empty2*[simp]: $t \otimes \otimes \text{empty} = t$
 $\langle \text{proof} \rangle$

lemma *paths-both*: $xs \in \text{paths } (t \otimes \otimes t') \longleftrightarrow (\exists \ ys \in \text{paths } t. \exists \ zs \in \text{paths } t'. xs \in ys \otimes \otimes zs)$
 $\langle \text{proof} \rangle$

lemma *both-contains-arg1*: $\text{paths } t \subseteq \text{paths } (t \otimes \otimes t')$
 $\langle \text{proof} \rangle$

lemma *both-contains-arg2*: $\text{paths } t' \subseteq \text{paths } (t \otimes \otimes t')$
 $\langle \text{proof} \rangle$

lemma *both-mono1*:

$paths\ t \subseteq paths\ t' \implies paths\ (t \otimes t'') \subseteq paths\ (t' \otimes t'')$
 $\langle proof \rangle$

lemma *both-mono2*:

$paths\ t \subseteq paths\ t' \implies paths\ (t'' \otimes t) \subseteq paths\ (t'' \otimes t')$
 $\langle proof \rangle$

lemma *possible-both[simp]*: $possible\ (t \otimes t')\ x \longleftrightarrow possible\ t\ x \vee possible\ t'\ x$
 $\langle proof \rangle$

lemma *nxt-both*:

$nxt\ (t' \otimes t)\ x = (if\ possible\ t'\ x \wedge possible\ t\ x\ then\ nxt\ t'\ x \otimes t \oplus \oplus t' \otimes nxt\ t\ x\ else$
 $if\ possible\ t'\ x\ then\ nxt\ t'\ x \otimes t\ else$
 $if\ possible\ t\ x\ then\ t' \otimes nxt\ t\ x\ else$
 $empty)$

$\langle proof \rangle$

lemma *Cons-both*:

$x \# xs \in paths\ (t' \otimes t) \longleftrightarrow (if\ possible\ t'\ x \wedge possible\ t\ x\ then\ xs \in paths\ (nxt\ t'\ x \otimes t)$
 $\vee xs \in paths\ (t' \otimes nxt\ t\ x)\ else$
 $if\ possible\ t'\ x\ then\ xs \in paths\ (nxt\ t'\ x \otimes t)\ else$
 $if\ possible\ t\ x\ then\ xs \in paths\ (t' \otimes nxt\ t\ x)\ else$
 $False)$

$\langle proof \rangle$

lemma *Cons-both-possible-leftE*: $possible\ t\ x \implies xs \in paths\ (nxt\ t\ x \otimes t') \implies x \# xs \in paths\ (t \otimes t')$
 $\langle proof \rangle$

lemma *Cons-both-possible-rightE*: $possible\ t'\ x \implies xs \in paths\ (t \otimes nxt\ t'\ x) \implies x \# xs \in paths\ (t \otimes t')$
 $\langle proof \rangle$

lemma *either-both-distr[simp]*:

$t' \otimes t \oplus \oplus t' \otimes t'' = t' \otimes (t \oplus \oplus t'')$
 $\langle proof \rangle$

lemma *either-both-distr2[simp]*:

$t' \otimes t \oplus \oplus t'' \otimes t = (t' \oplus \oplus t'') \otimes t$
 $\langle proof \rangle$

lemma *nxt-both-repeatable[simp]*:

assumes $[simp]$: *repeatable* t'
assumes $[simp]$: *possible* $t'\ x$
shows $nxt\ (t' \otimes t)\ x = t' \otimes (t \oplus \oplus nxt\ t\ x)$
 $\langle proof \rangle$

lemma *nxt-both-many-calls[simp]*: $nxt\ (many-calls\ x \otimes t)\ x = many-calls\ x \otimes (t \oplus \oplus nxt\ t\ x)$
 $\langle proof \rangle$

lemma *repeatable-both-self*[simp]:
assumes [simp]: *repeatable t*
shows $t \otimes t = t$
 $\langle \text{proof} \rangle$

lemma *repeatable-both-both*[simp]:
assumes *repeatable t*
shows $t \otimes t' \otimes t = t \otimes t'$
 $\langle \text{proof} \rangle$

lemma *repeatable-both-both2*[simp]:
assumes *repeatable t*
shows $t' \otimes t \otimes t = t' \otimes t$
 $\langle \text{proof} \rangle$

lemma *repeatable-both-nxt*:
assumes *repeatable t*
assumes *possible t' x*
assumes $t' \otimes t = t'$
shows $\text{nxt } t' x \otimes t = \text{nxt } t' x$
 $\langle \text{proof} \rangle$

lemma *repeatable-both-both-nxt*:
assumes $t' \otimes t = t'$
shows $t' \otimes t'' \otimes t = t' \otimes t''$
 $\langle \text{proof} \rangle$

lemma *carrier-both*[simp]:
 $\text{carrier } (t \otimes t') = \text{carrier } t \cup \text{carrier } t'$
 $\langle \text{proof} \rangle$

9.1.11 Removing elements from a tree

lift-definition *without* :: $'a \Rightarrow 'a \text{ tree} \Rightarrow 'a \text{ tree}$
is $\lambda x \text{ xss}. \text{filter } (\lambda x'. x' \neq x) \text{ `xss}$
 $\langle \text{proof} \rangle$

lemma *paths-withoutI*:
assumes $xs \in \text{paths } t$
assumes $x \notin \text{set } xs$
shows $xs \in \text{paths } (\text{without } x t)$
 $\langle \text{proof} \rangle$

lemma *carrier-without*[simp]: $\text{carrier } (\text{without } x t) = \text{carrier } t - \{x\}$
 $\langle \text{proof} \rangle$

lift-definition *tree-restr* :: 'a set $\Rightarrow$ 'a ttree $\Rightarrow$ 'a ttree **is** λS xss. filter ($\lambda x'$. $x' \in S$) ' xss
 <proof>

lemma *filter-paths-conv-free-restr*:
 filter ($\lambda x'$. $x' \in S$) ' paths t = paths (tree-restr S t) <proof>

lemma *filter-paths-conv-free-restr2*:
 filter ($\lambda x'$. $x' \notin S$) ' paths t = paths (tree-restr (- S) t) <proof>

lemma *filter-paths-conv-free-without*:
 filter ($\lambda x'$. $x' \neq y$) ' paths t = paths (without y t) <proof>

lemma *tree-restr-is-empty*: carrier t $\cap S = \{\}$ $\Longrightarrow$ tree-restr S t = empty
 <proof>

lemma *tree-restr-noop*: carrier t $\subseteq S \Longrightarrow$ tree-restr S t = t
 <proof>

lemma *tree-restr-tree-restr[simp]*:
 tree-restr S (tree-restr S' t) = tree-restr (S' $\cap$ S) t
 <proof>

lemma *tree-restr-both*:
 tree-restr S (t $\otimes \otimes$ t') = tree-restr S t $\otimes \otimes$ tree-restr S t'
 <proof>

lemma *tree-restr-nxt-subset*: $x \in S \Longrightarrow$ paths (tree-restr S (nxt t x)) $\subseteq$ paths (nxt (tree-restr S t) x)
 <proof>

lemma *tree-restr-nxt-subset2*: $x \notin S \Longrightarrow$ paths (tree-restr S (nxt t x)) $\subseteq$ paths (tree-restr S t)
 <proof>

lemma *tree-restr-possible*: $x \in S \Longrightarrow$ possible t x $\Longrightarrow$ possible (tree-restr S t) x
 <proof>

lemma *tree-restr-possible2*: possible (tree-restr S t') x $\Longrightarrow$ $x \in S$
 <proof>

lemma *carrier-tree-restr[simp]*:
 carrier (tree-restr S t) = S $\cap$ carrier t
 <proof>

9.1.12 Multiple variables, each called at most once

lift-definition *singles* :: 'a set $\Rightarrow$ 'a ttree **is** λS . {xs. $\forall x \in S$. length (filter ($\lambda x'$. $x' = x$) xs) ≤ 1 }
 <proof>

lemma *possible-singles[simp]*: *possible (singles S) x*
 ⟨proof⟩

lemma *length-filter-mono[intro]*:
 assumes $(\bigwedge x. P\ x \implies Q\ x)$
 shows $\text{length } (\text{filter } P\ xs) \leq \text{length } (\text{filter } Q\ xs)$
 ⟨proof⟩

lemma *nxt-singles[simp]*: $\text{nxt } (\text{singles } S)\ x' = (\text{if } x' \in S \text{ then without } x' (\text{singles } S) \text{ else singles } S)$
 ⟨proof⟩

lemma *carrier-singles[simp]*:
 carrier (singles S) = UNIV
 ⟨proof⟩

lemma *singles-mono*:
 $S \subseteq S' \implies \text{paths } (\text{singles } S') \subseteq \text{paths } (\text{singles } S)$
 ⟨proof⟩

lemma *paths-many-calls-subset*:
 $\text{paths } t \subseteq \text{paths } (\text{many-calls } x \otimes \otimes \text{ without } x\ t)$
 ⟨proof⟩

9.1.13 Substituting trees for every node

definition *f-nxt* :: $('a \Rightarrow 'a\ \text{tree}) \Rightarrow 'a\ \text{set} \Rightarrow 'a \Rightarrow ('a \Rightarrow 'a\ \text{tree})$
 where $f\text{-nxt } f\ T\ x = (\text{if } x \in T \text{ then } f(x := \text{empty}) \text{ else } f)$

fun *substitute'* :: $('a \Rightarrow 'a\ \text{tree}) \Rightarrow 'a\ \text{set} \Rightarrow 'a\ \text{tree} \Rightarrow 'a\ \text{list} \Rightarrow \text{bool}$ **where**
 substitute'-Nil: $\text{substitute}'\ f\ T\ t\ [] \longleftrightarrow \text{True}$
 | substitute'-Cons: $\text{substitute}'\ f\ T\ t\ (x \# xs) \longleftrightarrow$
 $\text{possible } t\ x \wedge \text{substitute}'\ (f\text{-nxt } f\ T\ x)\ T\ (\text{nxt } t\ x \otimes \otimes f\ x)\ xs$

lemma *f-nxt-mono1*: $(\bigwedge x. \text{paths } (f\ x) \subseteq \text{paths } (f'\ x)) \implies \text{paths } (f\text{-nxt } f\ T\ x\ x') \subseteq \text{paths } (f\text{-nxt } f'\ T\ x\ x')$
 ⟨proof⟩

lemma *f-nxt-empty-set[simp]*: $f\text{-nxt } f\ \{\} x = f$ ⟨proof⟩

lemma *downset-substitute*: $\text{downset } (\text{Collect } (\text{substitute}'\ f\ T\ t))$
 ⟨proof⟩

lift-definition *substitute* :: $('a \Rightarrow 'a\ \text{tree}) \Rightarrow 'a\ \text{set} \Rightarrow 'a\ \text{tree} \Rightarrow 'a\ \text{tree}$
 is $\lambda f\ T\ t. \text{Collect } (\text{substitute}'\ f\ T\ t)$
 ⟨proof⟩

lemma *elim-substitute'*[pred-set-conv]: *substitute' f T t xs* $\longleftrightarrow$ *xs* $\in$ *paths (substitute f T t)*
 <proof>

lemmas *substitute-induct*[case-names Nil Cons] = *substitute'.induct*
lemmas *substitute-simps*[simp] = *substitute'.simps*[unfolded *elim-substitute'*]

lemma *substitute-mono2*:
 assumes *paths t* $\subseteq$ *paths t'*
 shows *paths (substitute f T t)* $\subseteq$ *paths (substitute f T t')*
 <proof>

lemma *substitute-mono1*:
 assumes $\bigwedge x. \text{paths } (f\ x) \subseteq \text{paths } (f'\ x)$
 shows *paths (substitute f T t)* $\subseteq$ *paths (substitute f' T t)*
 <proof>

lemma *substitute-monoT*:
 assumes $T \subseteq T'$
 shows *paths (substitute f T' t)* $\subseteq$ *paths (substitute f T t)*
 <proof>

lemma *substitute-contains-arg*: *paths t* $\subseteq$ *paths (substitute f T t)*
 <proof>

lemma *possible-substitute*[simp]: *possible (substitute f T t) x* $\longleftrightarrow$ *possible t x*
 <proof>

lemma *nxt-substitute*[simp]: *possible t x* $\implies$ *nxt (substitute f T t) x* = *substitute (f-nxt f T x)*
T (nxt t x $\otimes \otimes$ f x)
 <proof>

lemma *substitute-either*: *substitute f T (t $\oplus \oplus$ t')* = *substitute f T t $\oplus \oplus$ substitute f T t'*
 <proof>

lemma *f-nxt-T-delete*:
 assumes *f x* = *empty*
 shows *f-nxt f (T - {x}) x'* = *f-nxt f T x'*
 <proof>

lemma *f-nxt-empty*[simp]:
 assumes *f x* = *empty*
 shows *f-nxt f T x' x* = *empty*
 <proof>

lemma *f-nxt-empty'[simp]*:
assumes $f\ x = \text{empty}$
shows $f\text{-nxt}\ f\ T\ x = f$
 $\langle \text{proof} \rangle$

lemma *substitute-T-delete*:
assumes $f\ x = \text{empty}$
shows $\text{substitute}\ f\ (T - \{x\})\ t = \text{substitute}\ f\ T\ t$
 $\langle \text{proof} \rangle$

lemma *substitute-only-empty*:
assumes $\text{const-on}\ f\ (\text{carrier}\ t)\ \text{empty}$
shows $\text{substitute}\ f\ T\ t = t$
 $\langle \text{proof} \rangle$

lemma *substitute-only-empty-both*: $\text{const-on}\ f\ (\text{carrier}\ t')\ \text{empty} \implies \text{substitute}\ f\ T\ (t \otimes t') = \text{substitute}\ f\ T\ t \otimes t'$
 $\langle \text{proof} \rangle$

lemma *f-nxt-upd-empty[simp]*:
 $f\text{-nxt}\ (f(x' := \text{empty}))\ T\ x = (f\text{-nxt}\ f\ T\ x)(x' := \text{empty})$
 $\langle \text{proof} \rangle$

lemma *repeatable-f-nxt-upd[simp]*:
 $\text{repeatable}\ (f\ x) \implies \text{repeatable}\ (f\text{-nxt}\ f\ T\ x'\ x)$
 $\langle \text{proof} \rangle$

lemma *substitute-remove-anyways-aux*:
assumes $\text{repeatable}\ (f\ x)$
assumes $xs \in \text{paths}\ (\text{substitute}\ f\ T\ t)$
assumes $t \otimes f\ x = t$
shows $xs \in \text{paths}\ (\text{substitute}\ (f(x := \text{empty}))\ T\ t)$
 $\langle \text{proof} \rangle$

lemma *substitute-remove-anyways*:
assumes $\text{repeatable}\ t$
assumes $f\ x = t$
shows $\text{substitute}\ f\ T\ (t \otimes t') = \text{substitute}\ (f(x := \text{empty}))\ T\ (t \otimes t')$
 $\langle \text{proof} \rangle$

lemma *carrier-f-nxt*: $\text{carrier}\ (f\text{-nxt}\ f\ T\ x\ x') \subseteq \text{carrier}\ (f\ x')$
 $\langle \text{proof} \rangle$

lemma *f-nxt-cong*: $f\ x' = f'\ x' \implies f\text{-nxt}\ f\ T\ x\ x' = f\text{-nxt}\ f'\ T\ x\ x'$
 $\langle \text{proof} \rangle$

lemma *substitute-cong'*:

assumes $xs \in \text{paths } (\text{substitute } f \ T \ t)$
assumes $\bigwedge x \ n. x \in A \implies \text{carrier } (f \ x) \subseteq A$
assumes $\text{carrier } t \subseteq A$
assumes $\bigwedge x. x \in A \implies f \ x = f' \ x$
shows $xs \in \text{paths } (\text{substitute } f' \ T \ t)$
<proof>

lemma *substitute-cong-induct*:

assumes $\bigwedge x. x \in A \implies \text{carrier } (f \ x) \subseteq A$
assumes $\text{carrier } t \subseteq A$
assumes $\bigwedge x. x \in A \implies f \ x = f' \ x$
shows $\text{substitute } f \ T \ t = \text{substitute } f' \ T \ t$
<proof>

lemma *carrier-substitute-aux*:

assumes $xs \in \text{paths } (\text{substitute } f \ T \ t)$
assumes $\text{carrier } t \subseteq A$
assumes $\bigwedge x. x \in A \implies \text{carrier } (f \ x) \subseteq A$
shows $\text{set } xs \subseteq A$
<proof>

lemma *carrier-substitute-below*:

assumes $\bigwedge x. x \in A \implies \text{carrier } (f \ x) \subseteq A$
assumes $\text{carrier } t \subseteq A$
shows $\text{carrier } (\text{substitute } f \ T \ t) \subseteq A$
<proof>

lemma *f-nxt-eq-empty-iff*:

$f\text{-nxt } f \ T \ x \ x' = \text{empty} \longleftrightarrow f \ x' = \text{empty} \vee (x' = x \wedge x \in T)$
<proof>

lemma *substitute-T-cong'*:

assumes $xs \in \text{paths } (\text{substitute } f \ T \ t)$
assumes $\bigwedge x. (x \in T \longleftrightarrow x \in T') \vee f \ x = \text{empty}$
shows $xs \in \text{paths } (\text{substitute } f \ T' \ t)$
<proof>

lemma *substitute-cong-T*:

assumes $\bigwedge x. (x \in T \longleftrightarrow x \in T') \vee f \ x = \text{empty}$
shows $\text{substitute } f \ T = \text{substitute } f \ T'$
<proof>

lemma *carrier-substitute1*: $\text{carrier } t \subseteq \text{carrier } (\text{substitute } f \ T \ t)$

<proof>

lemma *substitute-cong*:

assumes $\bigwedge x. x \in \text{carrier } (\text{substitute } f \ T \ t) \implies f \ x = f' \ x$
shows $\text{substitute } f \ T \ t = \text{substitute } f' \ T \ t$
 $\langle \text{proof} \rangle$

lemma *substitute-substitute*:

assumes $\bigwedge x. \text{const-on } f' \ (\text{carrier } (f \ x)) \ \text{empty}$
shows $\text{substitute } f \ T \ (\text{substitute } f' \ T \ t) = \text{substitute } (\lambda x. f \ x \otimes f' \ x) \ T \ t$
 $\langle \text{proof} \rangle$

lemma *ttree-rest-substitute*:

assumes $\bigwedge x. \text{carrier } (f \ x) \cap S = \{\}$
shows $\text{ttree-restr } S \ (\text{substitute } f \ T \ t) = \text{ttree-restr } S \ t$
 $\langle \text{proof} \rangle$

An alternative characterization of substitution

inductive *substitute''* :: $('a \Rightarrow 'a \ \text{tree}) \Rightarrow 'a \ \text{set} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$ **where**
substitute''-Nil: $\text{substitute'' } f \ T \ [] \ []$
| *substitute''-Cons*:
 $zs \in \text{paths } (f \ x) \implies xs' \in \text{interleave } xs \ zs \implies \text{substitute'' } (f\text{-nxt } f \ T \ x) \ T \ xs' \ ys$
 $\implies \text{substitute'' } f \ T \ (x\#xs) \ (x\#ys)$
inductive-cases *substitute''-NilE*[elim]: $\text{substitute'' } f \ T \ xs \ [] \ \text{substitute'' } f \ T \ [] \ xs$
inductive-cases *substitute''-ConsE*[elim]: $\text{substitute'' } f \ T \ (x\#xs) \ ys$

lemma *substitute-substitute''*:

$xs \in \text{paths } (\text{substitute } f \ T \ t) \iff (\exists xs' \in \text{paths } t. \text{substitute'' } f \ T \ xs' \ xs)$
 $\langle \text{proof} \rangle$

lemma *paths-substitute-substitute''*:

$\text{paths } (\text{substitute } f \ T \ t) = \bigcup ((\lambda xs. \text{Collect } (\text{substitute'' } f \ T \ xs)) \ ` \ \text{paths } t)$
 $\langle \text{proof} \rangle$

lemma *ttree-rest-substitute2*:

assumes $\bigwedge x. \text{carrier } (f \ x) \subseteq S$
assumes $\text{const-on } f \ (-S) \ \text{empty}$
shows $\text{ttree-restr } S \ (\text{substitute } f \ T \ t) = \text{substitute } f \ T \ (\text{ttree-restr } S \ t)$
 $\langle \text{proof} \rangle$

end

9.2 TTree-HOLCF

theory *TTree-HOLCF*

imports *TTree Launchbury.HOLCF-Utils Set-Cpo Launchbury.HOLCF-Join-Classes*
begin

instantiation *ttree* :: $(\text{type}) \ \text{below}$
begin

```

lift-definition below-ttree :: 'a ttree  $\Rightarrow$  'a ttree  $\Rightarrow$  bool is ( $\sqsubseteq$ ) $\langle$ proof $\rangle$ 
instance $\langle$ proof $\rangle$ 
end

lemma paths-mono:  $t \sqsubseteq t' \Longrightarrow \text{paths } t \sqsubseteq \text{paths } t'$ 
 $\langle$ proof $\rangle$ 

lemma paths-mono-iff:  $\text{paths } t \sqsubseteq \text{paths } t' \longleftrightarrow t \sqsubseteq t'$ 
 $\langle$ proof $\rangle$ 

lemma ttree-belowI:  $(\bigwedge xs. xs \in \text{paths } t \Longrightarrow xs \in \text{paths } t') \Longrightarrow t \sqsubseteq t'$ 
 $\langle$ proof $\rangle$ 

lemma paths-belowI:  $(\bigwedge x xs. x\#xs \in \text{paths } t \Longrightarrow x\#xs \in \text{paths } t') \Longrightarrow t \sqsubseteq t'$ 
 $\langle$ proof $\rangle$ 

instance ttree :: (type) po
 $\langle$ proof $\rangle$ 

lemma is-lub-ttree:
   $S <<| \text{Either } S$ 
 $\langle$ proof $\rangle$ 

lemma lub-is-either:  $\text{lub } S = \text{Either } S$ 
 $\langle$ proof $\rangle$ 

instance ttree :: (type) cpo
 $\langle$ proof $\rangle$ 

lemma minimal-ttree[simp, intro!]:  $\text{empty} \sqsubseteq S$ 
 $\langle$ proof $\rangle$ 

instance ttree :: (type) pcpo
 $\langle$ proof $\rangle$ 

lemma empty-is-bottom:  $\text{empty} = \perp$ 
 $\langle$ proof $\rangle$ 

lemma carrier-bottom[simp]:  $\text{carrier } \perp = \{\}$ 
 $\langle$ proof $\rangle$ 

lemma below-anything[simp]:
   $t \sqsubseteq \text{anything}$ 
 $\langle$ proof $\rangle$ 

lemma carrier-mono:  $t \sqsubseteq t' \Longrightarrow \text{carrier } t \subseteq \text{carrier } t'$ 
 $\langle$ proof $\rangle$ 

lemma nxt-mono:  $t \sqsubseteq t' \Longrightarrow \text{nxt } t \ x \sqsubseteq \text{nxt } t' \ x$ 

```

$\langle \text{proof} \rangle$

lemma *either-above-arg1*: $t \sqsubseteq t \oplus \oplus t'$

$\langle \text{proof} \rangle$

lemma *either-above-arg2*: $t' \sqsubseteq t \oplus \oplus t'$

$\langle \text{proof} \rangle$

lemma *either-belowI*: $t \sqsubseteq t'' \implies t' \sqsubseteq t'' \implies t \oplus \oplus t' \sqsubseteq t''$

$\langle \text{proof} \rangle$

lemma *both-above-arg1*: $t \sqsubseteq t \otimes \otimes t'$

$\langle \text{proof} \rangle$

lemma *both-above-arg2*: $t' \sqsubseteq t \otimes \otimes t'$

$\langle \text{proof} \rangle$

lemma *both-mono1'*:

$t \sqsubseteq t' \implies t \otimes \otimes t'' \sqsubseteq t' \otimes \otimes t''$

$\langle \text{proof} \rangle$

lemma *both-mono2'*:

$t \sqsubseteq t' \implies t'' \otimes \otimes t \sqsubseteq t'' \otimes \otimes t'$

$\langle \text{proof} \rangle$

lemma *nxt-both-left*:

possible $t \ x \implies \text{nxt } t \ x \otimes \otimes t' \sqsubseteq \text{nxt } (t \otimes \otimes t') \ x$

$\langle \text{proof} \rangle$

lemma *nxt-both-right*:

possible $t' \ x \implies t \otimes \otimes \text{nxt } t' \ x \sqsubseteq \text{nxt } (t \otimes \otimes t') \ x$

$\langle \text{proof} \rangle$

lemma *substitute-mono1'*: $f \sqsubseteq f' \implies \text{substitute } f \ T \ t \sqsubseteq \text{substitute } f' \ T \ t$

$\langle \text{proof} \rangle$

lemma *substitute-mono2'*: $t \sqsubseteq t' \implies \text{substitute } f \ T \ t \sqsubseteq \text{substitute } f \ T \ t'$

$\langle \text{proof} \rangle$

lemma *substitute-above-arg*: $t \sqsubseteq \text{substitute } f \ T \ t$

$\langle \text{proof} \rangle$

lemma *tree-contI*:

assumes $\bigwedge S. f \ (\text{Either } S) = \text{Either } (f \text{ ` } S)$

shows *cont* f

$\langle \text{proof} \rangle$

lemma *tree-contI2*:

assumes $\bigwedge x. \text{paths } (f\ x) = \bigcup (t \text{ ' paths } x)$

assumes $\square \in t \ \square$

shows *cont f*

<proof>

lemma *cont-paths*[*THEN cont-compose, cont2cont, simp*]:

cont paths

<proof>

lemma *tree-contI3*:

assumes *cont* $(\lambda x. \text{paths } (f\ x))$

shows *cont f*

<proof>

lemma *cont-substitute*[*THEN cont-compose, cont2cont, simp*]:

cont (substitute f T)

<proof>

lemma *cont-both1*:

cont $(\lambda x. \text{both } x\ y)$

<proof>

lemma *cont-both2*:

cont $(\lambda x. \text{both } y\ x)$

<proof>

lemma *cont-both*[*cont2cont,simp*]: *cont f* $\implies$ *cont g* $\implies$ *cont* $(\lambda x. f\ x \otimes \otimes g\ x)$

<proof>

lemma *cont-intersect1*:

cont $(\lambda x. \text{intersect } x\ y)$

<proof>

lemma *cont-intersect2*:

cont $(\lambda x. \text{intersect } y\ x)$

<proof>

lemma *cont-intersect*[*cont2cont,simp*]: *cont f* $\implies$ *cont g* $\implies$ *cont* $(\lambda x. f\ x \cap \cap g\ x)$

<proof>

lemma *cont-without*[*THEN cont-compose, cont2cont,simp*]: *cont (without x)*

<proof>

lemma *paths-many-calls-subset*:

$t \sqsubseteq \text{many-calls } x \otimes \otimes \text{without } x\ t$

$\langle \text{proof} \rangle$

lemma *single-below*:

$[x] \in \text{paths } t \implies \text{single } x \sqsubseteq t \langle \text{proof} \rangle$

lemma *cont-ttree-restr*[*THEN cont-compose, cont2cont, simp*]: *cont (ttree-restr S)*

$\langle \text{proof} \rangle$

lemmas *tree-restr-mono* = *cont2monofunE*[*OF cont-ttree-restr*[*OF cont-id*]]

lemma *range-filter*[*simp*]: *range (filter P) = {xs. set xs $\subseteq$ Collect P}*

$\langle \text{proof} \rangle$

lemma *tree-restr-anything-cont*[*THEN cont-compose, simp, cont2cont*]:

cont ($\lambda S. \text{tree-restr } S \text{ anything}$)

$\langle \text{proof} \rangle$

instance *tree* :: (*type*) *Finite-Join-cpo*

$\langle \text{proof} \rangle$

lemma *tree-join-is-either*:

$t \sqcup t' = t \oplus \oplus t'$

$\langle \text{proof} \rangle$

lemma *tree-join-transfer*[*transfer-rule*]: *rel-fun (pcr-ttree (=)) (rel-fun (pcr-ttree (=)) (pcr-ttree (=))) ($\cup$) ($\sqcup$)*

$\langle \text{proof} \rangle$

lemma *tree-restr-join*[*simp*]:

tree-restr S (t $\sqcup$ t') = tree-restr S t $\sqcup$ tree-restr S t'

$\langle \text{proof} \rangle$

lemma *nxt-singles-below-singles*:

nxt (singles S) x $\sqsubseteq$ singles S

$\langle \text{proof} \rangle$

lemma *in-carrier-fup*[*simp*]:

$x' \in \text{carrier } (fup \cdot f \cdot u) \iff (\exists u'. u = up \cdot u' \wedge x' \in \text{carrier } (f \cdot u'))$

$\langle \text{proof} \rangle$

end

10 Trace Tree Cardinality Analysis

10.1 AnalBinds

theory *AnalBinds*

```

imports Launchbury.Terms Launchbury.HOLCF-Utils Launchbury.Env
begin

locale ExpAnalysis =
  fixes exp :: exp  $\Rightarrow$  'a::cpo  $\rightarrow$  'b::pcpo
begin

fun AnalBinds :: heap  $\Rightarrow$  (var  $\Rightarrow$  'a $_{\perp}$ )  $\rightarrow$  (var  $\Rightarrow$  'b)
  where AnalBinds [] = ( $\Lambda$  ae.  $\perp$ )
    | AnalBinds ((x,e)# $\Gamma$ ) = ( $\Lambda$  ae. (AnalBinds  $\Gamma$ .ae)(x := fup.(exp e).(ae x)))

lemma AnalBinds-Nil-simp[simp]: AnalBinds []·ae =  $\perp$   $\langle$ proof $\rangle$ 

lemma AnalBinds-Cons[simp]:
  AnalBinds ((x,e)# $\Gamma$ )·ae = (AnalBinds  $\Gamma$ .ae)(x := fup.(exp e).(ae x))
   $\langle$ proof $\rangle$ 

lemmas AnalBinds.simps[simp del]

lemma AnalBinds-not-there: x  $\notin$  domA  $\Gamma \implies$  (AnalBinds  $\Gamma$ .ae) x =  $\perp$ 
   $\langle$ proof $\rangle$ 

lemma AnalBinds-cong:
  assumes ae f | ' domA  $\Gamma$  = ae' f | ' domA  $\Gamma$ 
  shows AnalBinds  $\Gamma$ .ae = AnalBinds  $\Gamma$ .ae'
   $\langle$ proof $\rangle$ 

lemma AnalBinds-lookup: (AnalBinds  $\Gamma$ .ae) x = (case map-of  $\Gamma$  x of Some e  $\Rightarrow$  fup.(exp e).(ae x) | None  $\Rightarrow$   $\perp$ )
   $\langle$ proof $\rangle$ 

lemma AnalBinds-delete-bot: ae x =  $\perp \implies$  AnalBinds (delete x  $\Gamma$ ).ae = AnalBinds  $\Gamma$ .ae
   $\langle$ proof $\rangle$ 

lemma AnalBinds-delete-below: AnalBinds (delete x  $\Gamma$ ).ae  $\sqsubseteq$  AnalBinds  $\Gamma$ .ae
   $\langle$ proof $\rangle$ 

lemma AnalBinds-delete-lookup[simp]: (AnalBinds (delete x  $\Gamma$ ).ae) x =  $\perp$ 
   $\langle$ proof $\rangle$ 

lemma AnalBinds-delete-to-fun-upd: AnalBinds (delete x  $\Gamma$ ).ae = (AnalBinds  $\Gamma$ .ae)(x :=  $\perp$ )
   $\langle$ proof $\rangle$ 

lemma edom-AnalBinds: edom (AnalBinds  $\Gamma$ .ae)  $\subseteq$  domA  $\Gamma \cap$  edom ae
   $\langle$ proof $\rangle$ 

end

end

```

10.2 TTreeAnalysisSig

```
theory TTreeAnalysisSig
imports Arity TTree-HOLCF AnalBinds
begin

locale TTreeAnalysis =
  fixes Texp :: exp  $\Rightarrow$  Arity  $\rightarrow$  var ttree
begin
  sublocale Texp: ExpAnalysis Texp<proof>
  abbreviation FBind == Texp.AnalBinds
end

end
```

10.3 Cardinality-Domain-Lists

```
theory Cardinality-Domain-Lists
imports Launchbury.Vars Launchbury.Nominal-HOLCF Launchbury.Env Cardinality-Domain
Set-Cpo Env-Set-Cpo
begin
```

```
fun no-call-in-path where
  no-call-in-path x []  $\longleftrightarrow$  True
| no-call-in-path x (y#xs)  $\longleftrightarrow$  y  $\neq$  x  $\wedge$  no-call-in-path x xs
```

```
fun one-call-in-path where
  one-call-in-path x []  $\longleftrightarrow$  True
| one-call-in-path x (y#xs)  $\longleftrightarrow$  (if x = y then no-call-in-path x xs else one-call-in-path x xs)
```

```
lemma no-call-in-path-set-conv:
  no-call-in-path x p  $\longleftrightarrow$  x  $\notin$  set p
  <proof>
```

```
lemma one-call-in-path-filter-conv:
  one-call-in-path x p  $\longleftrightarrow$  length (filter ( $\lambda$  x'. x' = x) p)  $\leq$  1
  <proof>
```

```
lemma no-call-in-tail: no-call-in-path x (tl p)  $\longleftrightarrow$  (no-call-in-path x p  $\vee$  one-call-in-path x p  $\wedge$ 
hd p = x)
  <proof>
```

```
lemma no-imp-one: no-call-in-path x p  $\implies$  one-call-in-path x p
  <proof>
```

```
lemma one-imp-one-tail: one-call-in-path x p  $\implies$  one-call-in-path x (tl p)
  <proof>
```

```
lemma more-than-one-setD:
```

$\neg \text{one-call-in-path } x \ p \implies x \in \text{set } p$
 $\langle \text{proof} \rangle$

lemma *no-call-in-path[eqvt]*: $\text{no-call-in-path } p \ x \implies \text{no-call-in-path } (\pi \cdot p) (\pi \cdot x)$
 $\langle \text{proof} \rangle$

lemma *one-call-in-path[eqvt]*: $\text{one-call-in-path } p \ x \implies \text{one-call-in-path } (\pi \cdot p) (\pi \cdot x)$
 $\langle \text{proof} \rangle$

definition *pathCard* :: $\text{var list} \Rightarrow (\text{var} \Rightarrow \text{two})$
where $\text{pathCard } p \ x = (\text{if } \text{no-call-in-path } x \ p \text{ then none else } (\text{if } \text{one-call-in-path } x \ p \text{ then once else many}))$

lemma *pathCard-Nil[simp]*: $\text{pathCard } [] = \perp$
 $\langle \text{proof} \rangle$

lemma *pathCard-Cons[simp]*: $\text{pathCard } (x \# xs) \ x = \text{two-add} \cdot \text{once} \cdot (\text{pathCard } xs \ x)$
 $\langle \text{proof} \rangle$

lemma *pathCard-Cons-other[simp]*: $x' \neq x \implies \text{pathCard } (x \# xs) \ x' = \text{pathCard } xs \ x'$
 $\langle \text{proof} \rangle$

lemma *no-call-in-path-filter[simp]*: $\text{no-call-in-path } x \ [x \leftarrow xs \ . \ x \in S] \longleftrightarrow \text{no-call-in-path } x \ xs \ \vee \ x \notin S$
 $\langle \text{proof} \rangle$

lemma *one-call-in-path-filter[simp]*: $\text{one-call-in-path } x \ [x \leftarrow xs \ . \ x \in S] \longleftrightarrow \text{one-call-in-path } x \ xs \ \vee \ x \notin S$
 $\langle \text{proof} \rangle$

definition *pathsCard* :: $\text{var list set} \Rightarrow (\text{var} \Rightarrow \text{two})$
where $\text{pathsCard } ps \ x = (\text{if } (\forall \ p \in ps. \ \text{no-call-in-path } x \ p) \text{ then none else } (\text{if } (\forall \ p \in ps. \ \text{one-call-in-path } x \ p) \text{ then once else many}))$

lemma *paths-Card-above*:
 $p \in ps \implies \text{pathCard } p \sqsubseteq \text{pathsCard } ps$
 $\langle \text{proof} \rangle$

lemma *pathsCard-below*:
assumes $\bigwedge p. \ p \in ps \implies \text{pathCard } p \sqsubseteq ce$
shows $\text{pathsCard } ps \sqsubseteq ce$
 $\langle \text{proof} \rangle$

lemma *pathsCard-mono*:
 $ps \subseteq ps' \implies \text{pathsCard } ps \sqsubseteq \text{pathsCard } ps'$
 $\langle \text{proof} \rangle$

lemmas $\text{pathsCard-mono}' = \text{pathsCard-mono}[\text{folded below-set-def}]$

lemma *record-call-pathsCard*:

$\text{pathsCard } (\{ \text{tl } p \mid p \cdot p \in \text{fs} \wedge \text{hd } p = x \}) \sqsubseteq \text{record-call } x \cdot (\text{pathsCard } \text{fs})$
 $\langle \text{proof} \rangle$

lemma *pathCards-noneD*:

$\text{pathsCard } ps \ x = \text{none} \implies x \notin \bigcup (\text{set } 'ps)$
 $\langle \text{proof} \rangle$

lemma *cont-pathsCard*[*THEN cont-compose, cont2cont, simp*]:

$\text{cont } \text{pathsCard}$
 $\langle \text{proof} \rangle$

lemma *pathsCard-eqvt*[*eqvt*]: $\pi \cdot \text{pathsCard } ps \ x = \text{pathsCard } (\pi \cdot ps) \ (\pi \cdot x)$

$\langle \text{proof} \rangle$

lemma *edom-pathsCard*[*simp*]: $\text{edom } (\text{pathsCard } ps) = \bigcup (\text{set } 'ps)$

$\langle \text{proof} \rangle$

lemma *env-restr-pathsCard*[*simp*]: $\text{pathsCard } ps \ f|'S = \text{pathsCard } (\text{filter } (\lambda x. x \in S) 'ps)$

$\langle \text{proof} \rangle$

end

10.4 TTreeAnalysisSpec

theory *TTreeAnalysisSpec*

imports *TTreeAnalysisSig ArityAnalysisSpec Cardinality-Domain-Lists*

begin

locale *TTreeAnalysisCarrier* = *TTreeAnalysis* + *EdomArityAnalysis* +

assumes *carrier-Fexp*: $\text{carrier } (\text{Tex } e \cdot a) = \text{edom } (\text{Aexp } e \cdot a)$

locale *TTreeAnalysisSafe* = *TTreeAnalysisCarrier* +

assumes *Texp-App*: $\text{many-calls } x \otimes \otimes (\text{Tex } e) \cdot (\text{inc} \cdot a) \sqsubseteq \text{Tex } (\text{App } e \ x) \cdot a$

assumes *Texp-Lam*: $\text{without } y \ (\text{Tex } e \cdot (\text{pred} \cdot n)) \sqsubseteq \text{Tex } (\text{Lam } [y]. e) \cdot n$

assumes *Texp-subst*: $\text{Tex } (e[y::=x]) \cdot a \sqsubseteq \text{many-calls } x \otimes \otimes \text{without } y \ ((\text{Tex } e) \cdot a)$

assumes *Texp-Var*: $\text{single } v \sqsubseteq \text{Tex } (\text{Var } v) \cdot a$

assumes *Fun-repeatable*: $\text{isVal } e \implies \text{repeatable } (\text{Tex } e \cdot 0)$

assumes *Texp-IfThenElse*: $\text{Tex } \text{scrut} \cdot 0 \otimes \otimes (\text{Tex } e1 \cdot a \oplus \oplus \text{Tex } e2 \cdot a) \sqsubseteq \text{Tex } (\text{scrut } ? e1 : e2) \cdot a$

locale *TTreeAnalysisCardinalityHeap* =

TTreeAnalysisSafe + *ArityAnalysisLetSafe* +

fixes *Theap* :: $\text{heap} \Rightarrow \text{exp} \Rightarrow \text{Arity} \rightarrow \text{var } \text{ttree}$

assumes *carrier-Fheap*: $\text{carrier } (\text{Theap } \Gamma \ e \cdot a) = \text{edom } (\text{Aheap } \Gamma \ e \cdot a)$

assumes *Theap-thunk*: $x \in \text{thunks } \Gamma \implies p \in \text{paths } (\text{Theap } \Gamma \ e \cdot a) \implies \neg \text{one-call-in-path } x \ p \implies (\text{Aheap } \Gamma \ e \cdot a) \ x = \text{up} \cdot 0$

```

assumes Theap-substitute: ttree-restr (domA  $\Delta$ ) (substitute (FBinds  $\Delta$ .(Aheap  $\Delta$  e.a)) (thunks
 $\Delta$ ) (Texp e.a))  $\sqsubseteq$  Theap  $\Delta$  e.a
assumes Texp-Let: ttree-restr (- domA  $\Delta$ ) (substitute (FBinds  $\Delta$ .(Aheap  $\Delta$  e.a)) (thunks
 $\Delta$ ) (Texp e.a))  $\sqsubseteq$  Texp (Terms.Let  $\Delta$  e).a

```

end

10.5 TTreeImplCardinality

```

theory TTreeImplCardinality
imports TTreeAnalysisSig CardinalityAnalysisSig Cardinality-Domain-Lists
begin

```

```

context TTreeAnalysis
begin

```

```

fun unstack :: stack  $\Rightarrow$  exp  $\Rightarrow$  exp where
  unstack [] e = e
| unstack (Alts e1 e2 # S) e = unstack S e
| unstack (Upd x # S) e = unstack S e
| unstack (Arg x # S) e = unstack S (App e x)
| unstack (Dummy x # S) e = unstack S e

```

```

fun Fstack :: Arity list  $\Rightarrow$  stack  $\Rightarrow$  var ttree
where Fstack - [] =  $\perp$ 
| Fstack (a#as) (Alts e1 e2 # S) = (Texp e1.a  $\oplus\oplus$  Texp e2.a)  $\otimes\otimes$  Fstack as S
| Fstack as (Arg x # S) = many-calls x  $\otimes\otimes$  Fstack as S
| Fstack as (- # S) = Fstack as S

```

```

fun prognosis :: AEnv  $\Rightarrow$  Arity list  $\Rightarrow$  Arity  $\Rightarrow$  conf  $\Rightarrow$  var  $\Rightarrow$  two
where prognosis ae as a ( $\Gamma$ , e, S) = pathsCard (paths (substitute (FBinds  $\Gamma$ .ae) (thunks  $\Gamma$ )
(Texp e.a  $\otimes\otimes$  Fstack as S)))
end

end

```

10.6 TTreeImplCardinalitySafe

```

theory TTreeImplCardinalitySafe
imports TTreeImplCardinality TTreeAnalysisSpec CardinalityAnalysisSpec
begin

```

```

lemma pathsCard-paths-nxt: pathsCard (paths (nxt f x))  $\sqsubseteq$  record-call x.(pathsCard (paths f))

```

$\langle \text{proof} \rangle$

lemma *pathsCards-none*: $\text{pathsCard } (\text{paths } t) \ x = \text{none} \implies x \notin \text{carrier } t$
 $\langle \text{proof} \rangle$

lemma *const-on-edom-disj*: $\text{const-on } f \ S \ \text{empty} \longleftrightarrow \text{edom } f \cap S = \{\}$
 $\langle \text{proof} \rangle$

context *TTreeAnalysisCarrier*

begin

lemma *carrier-Fstack*: $\text{carrier } (F\text{stack as } S) \subseteq \text{fv } S$
 $\langle \text{proof} \rangle$

lemma *carrier-FBinds*: $\text{carrier } ((F\text{Binds } \Gamma \cdot ae) \ x) \subseteq \text{fv } \Gamma$
 $\langle \text{proof} \rangle$

end

context *TTreeAnalysisSafe*

begin

sublocale *CardinalityPrognosisShape prognosis*
 $\langle \text{proof} \rangle$

sublocale *CardinalityPrognosisApp prognosis*
 $\langle \text{proof} \rangle$

sublocale *CardinalityPrognosisLam prognosis*
 $\langle \text{proof} \rangle$

sublocale *CardinalityPrognosisVar prognosis*
 $\langle \text{proof} \rangle$

sublocale *CardinalityPrognosisIfThenElse prognosis*
 $\langle \text{proof} \rangle$

end

context *TTreeAnalysisCardinalityHeap*

begin

definition *cHeap where*
 $c\text{Heap } \Gamma \ e = (\Lambda \ a. \text{pathsCard } (\text{paths } (Theap \ \Gamma \ e \cdot a)))$

lemma *cHeap-simp*: $(c\text{Heap } \Gamma \ e) \cdot a = \text{pathsCard } (\text{paths } (Theap \ \Gamma \ e \cdot a))$
 $\langle \text{proof} \rangle$

sublocale *CardinalityHeap cHeap* $\langle \text{proof} \rangle$

sublocale *CardinalityHeapSafe cHeap Aheap*

```

  <proof>

  sublocale CardinalityPrognosisEdom prognosis
  <proof>

  sublocale CardinalityPrognosisLet prognosis cHeap
  <proof>

  sublocale CardinalityPrognosisSafe prognosis cHeap Aheap Aexp <proof>
end

end

```

11 Co-Call Graphs

11.1 CoCallGraph

```

theory CoCallGraph
imports Launchbury.Vars Launchbury.HOLCF-Join-Classes Launchbury.HOLCF-Utills Set-Cpo
begin

default-sort type

typedef CoCalls = { G :: (var × var) set. sym G }
  morphisms Rep-CoCall Abs-CoCall
  <proof>

setup-lifting type-definition-CoCalls

instantiation CoCalls :: po
begin
lift-definition below-CoCalls :: CoCalls ⇒ CoCalls ⇒ bool is (⊆) <proof>
instance
  <proof>
end

lift-definition coCallsLub :: CoCalls set ⇒ CoCalls is λ S. ⋃ S
  <proof>

lemma coCallsLub-is-lub: S <<| coCallsLub S
  <proof>

instance CoCalls :: cpo
  <proof>

lemma ccLubTransfer[transfer-rule]: (rel-set pcr-CoCalls ==> pcr-CoCalls) Union lub
  <proof>

```

lift-definition *is-cc-lub* :: *CoCalls* *set* $\Rightarrow$ *CoCalls* $\Rightarrow$ *bool* **is** $(\lambda S x . x = \text{Union } S)$ $\langle \text{proof} \rangle$

lemma *ccis-lubTransfer*[*transfer-rule*]: $(\text{rel-set pcr-CoCalls} \implies \text{pcr-CoCalls} \implies (=)) (\lambda S x . x = \text{Union } S) (<<|)$
 $\langle \text{proof} \rangle$

lift-definition *coCallsJoin* :: *CoCalls* $\Rightarrow$ *CoCalls* $\Rightarrow$ *CoCalls* **is** $(\cup)$
 $\langle \text{proof} \rangle$

lemma *ccJoinTransfer*[*transfer-rule*]: $(\text{pcr-CoCalls} \implies \text{pcr-CoCalls} \implies \text{pcr-CoCalls})$
 $(\cup) (\sqcup)$
 $\langle \text{proof} \rangle$

lift-definition *ccEmpty* :: *CoCalls* **is** $\{\}$ $\langle \text{proof} \rangle$

lemma *ccEmpty-below*[*simp*]: *ccEmpty* $\sqsubseteq G$
 $\langle \text{proof} \rangle$

instance *CoCalls* :: *pcpo*
 $\langle \text{proof} \rangle$

lemma *ccBotTransfer*[*transfer-rule*]: *pcr-CoCalls* $\{\}$ $\perp$
 $\langle \text{proof} \rangle$

lemma *cc-lub-below-iff*:
fixes *G* :: *CoCalls*
shows *lub X* $\sqsubseteq G \longleftrightarrow (\forall G' \in X. G' \sqsubseteq G)$
 $\langle \text{proof} \rangle$

lift-definition *ccField* :: *CoCalls* $\Rightarrow$ *var set* **is** *Field* $\langle \text{proof} \rangle$

lemma *ccField-nil*[*simp*]: *ccField* $\perp = \{\}$
 $\langle \text{proof} \rangle$

lift-definition
inCC :: *var* $\Rightarrow$ *var* $\Rightarrow$ *CoCalls* $\Rightarrow$ *bool* $(\langle \text{----} \in \rangle [1000, 1000, 900] 900)$
is $\lambda x y s. (x, y) \in s$ $\langle \text{proof} \rangle$

abbreviation
notInCC :: *var* $\Rightarrow$ *var* $\Rightarrow$ *CoCalls* $\Rightarrow$ *bool* $(\langle \text{----} \notin \rangle [1000, 1000, 900] 900)$
where $x \text{---} y \notin S \equiv \neg x \text{---} y \in S$

lemma *notInCC-bot*[*simp*]: $x \text{---} y \in \perp \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

lemma *below-CoCallsI*:
 $(\bigwedge x y. x \text{---} y \in G \implies x \text{---} y \in G') \implies G \sqsubseteq G'$
 $\langle \text{proof} \rangle$

lemma *CoCalls-eqI*:

$$(\bigwedge x y. x \dashv\dashv y \in G \longleftrightarrow x \dashv\dashv y \in G') \implies G = G'$$

<proof>

lemma *in-join[simp]*:

$$x \dashv\dashv y \in (G \sqcup G') \longleftrightarrow x \dashv\dashv y \in G \vee x \dashv\dashv y \in G'$$

<proof>

lemma *in-lub[simp]*: $x \dashv\dashv y \in (\text{lub } S) \longleftrightarrow (\exists G \in S. x \dashv\dashv y \in G)$

<proof>

lemma *in-CoCallsLubI*:

$$x \dashv\dashv y \in G \implies G \in S \implies x \dashv\dashv y \in \text{lub } S$$

<proof>

lemma *adm-not-in[simp]*:

assumes *cont t*

shows *adm* $(\lambda a. x \dashv\dashv y \notin t \ a)$

<proof>

lift-definition *cc-delete* :: *var* $\Rightarrow$ *CoCalls* $\Rightarrow$ *CoCalls*

is $\lambda z. \text{Set.filter } (\lambda (x,y). x \neq z \wedge y \neq z)$

<proof>

lemma *ccField-cc-delete*: $\text{ccField } (\text{cc-delete } x \ S) \subseteq \text{ccField } S - \{x\}$

<proof>

lift-definition *ccProd* :: *var set* $\Rightarrow$ *var set* $\Rightarrow$ *CoCalls* (**infixr** $\langle G \times \rangle$ 90)

is $\lambda S1 \ S2. S1 \times S2 \cup S2 \times S1$

<proof>

lemma *ccProd-empty[simp]*: $\{\} \ G \times \ S = \perp$ *<proof>*

lemma *ccProd-empty'[simp]*: $S \ G \times \ \{\} = \perp$ *<proof>*

lemma *ccProd-union2[simp]*: $S \ G \times \ (S' \cup S'') = S \ G \times \ S' \sqcup S \ G \times \ S''$

<proof>

lemma *ccProd-Union2[simp]*: $S \ G \times \ \bigcup S' = (\bigsqcup_{X \in S'} \text{ccProd } S \ X)$

<proof>

lemma *ccProd-Union2'[simp]*: $S \ G \times \ (\bigcup_{X \in S'} f \ X) = (\bigsqcup_{X \in S'} \text{ccProd } S \ (f \ X))$

<proof>

lemma *in-ccProd[simp]*: $x \dashv\dashv y \in (S \ G \times \ S') = (x \in S \wedge y \in S' \vee x \in S' \wedge y \in S)$

<proof>

lemma *ccProd-union1[simp]*: $(S' \cup S'') \ G \times \ S = S' \ G \times \ S \sqcup S'' \ G \times \ S$

$\langle proof \rangle$

lemma *ccProd-insert2*: $S \times G \times \text{insert } x \ S' = S \times G \times \{x\} \sqcup S \times G \times S'$
 $\langle proof \rangle$

lemma *ccProd-insert1*: $\text{insert } x \ S' \times G \times S = \{x\} \times G \times S \sqcup S' \times G \times S$
 $\langle proof \rangle$

lemma *ccProd-mono1*: $S' \subseteq S'' \implies S' \times G \times S \subseteq S'' \times G \times S$
 $\langle proof \rangle$

lemma *ccProd-mono2*: $S' \subseteq S'' \implies S \times G \times S' \subseteq S \times G \times S''$
 $\langle proof \rangle$

lemma *ccProd-mono*: $S \subseteq S' \implies T \subseteq T' \implies S \times G \times T \subseteq S' \times G \times T'$
 $\langle proof \rangle$

lemma *ccProd-comm*: $S \times G \times S' = S' \times G \times S$ $\langle proof \rangle$

lemma *ccProd-belowI*:
 $(\bigwedge x \ y. x \in S \implies y \in S' \implies x \dashv\dashv y \in G) \implies S \times G \times S' \subseteq G$
 $\langle proof \rangle$

lift-definition *cc-restr* :: $\text{var set} \Rightarrow \text{CoCalls} \Rightarrow \text{CoCalls}$
is $\lambda S. \text{Set.filter } (\lambda (x,y) . x \in S \wedge y \in S)$
 $\langle proof \rangle$

abbreviation *cc-restr-sym* (**infixl** $\langle G | ' \rangle$ 110) **where** $G \ G | ' S \equiv \text{cc-restr } S \ G$

lemma *elem-cc-restr[simp]*: $x \dashv\dashv y \in (G \ G | ' S) = (x \dashv\dashv y \in G \wedge x \in S \wedge y \in S)$
 $\langle proof \rangle$

lemma *ccField-cc-restr*: $\text{ccField } (G \ G | ' S) \subseteq \text{ccField } G \cap S$
 $\langle proof \rangle$

lemma *cc-restr-empty*: $\text{ccField } G \subseteq - \ S \implies G \ G | ' S = \perp$
 $\langle proof \rangle$

lemma *cc-restr-empty-set[simp]*: $\text{cc-restr } \{\} \ G = \perp$
 $\langle proof \rangle$

lemma *cc-restr-noop[simp]*: $\text{ccField } G \subseteq S \implies \text{cc-restr } S \ G = G$
 $\langle proof \rangle$

lemma *cc-restr-bot[simp]*: $\text{cc-restr } S \ \perp = \perp$
 $\langle proof \rangle$

lemma *ccRestr-ccDelete[simp]*: $\text{cc-restr } (-\{x\}) \ G = \text{cc-delete } x \ G$

$\langle \text{proof} \rangle$

lemma *cc-restr-join[simp]*:

$$\text{cc-restr } S (G \sqcup G') = \text{cc-restr } S G \sqcup \text{cc-restr } S G'$$

$\langle \text{proof} \rangle$

lemma *cont-cc-restr*: $\text{cont } (\text{cc-restr } S)$

$\langle \text{proof} \rangle$

lemmas *cont-compose*[*OF cont-cc-restr, cont2cont, simp*]

lemma *cc-restr-mono1*:

$$S \subseteq S' \implies \text{cc-restr } S G \sqsubseteq \text{cc-restr } S' G \langle \text{proof} \rangle$$

lemma *cc-restr-mono2*:

$$G \sqsubseteq G' \implies \text{cc-restr } S G \sqsubseteq \text{cc-restr } S G' \langle \text{proof} \rangle$$

lemma *cc-restr-below-arg*:

$$\text{cc-restr } S G \sqsubseteq G \langle \text{proof} \rangle$$

lemma *cc-restr-lub[simp]*:

$$\text{cc-restr } S (\text{lub } X) = (\bigsqcup_{G \in X} \text{cc-restr } S G) \langle \text{proof} \rangle$$

lemma *elem-to-ccField*: $x \dashv\!\!\dashv y \in G \implies x \in \text{ccField } G \wedge y \in \text{ccField } G$

$\langle \text{proof} \rangle$

lemma *ccField-to-elem*: $x \in \text{ccField } G \implies \exists y. x \dashv\!\!\dashv y \in G$

$\langle \text{proof} \rangle$

lemma *cc-restr-intersect*: $\text{ccField } G \cap ((S - S') \cup (S' - S)) = \{\} \implies \text{cc-restr } S G = \text{cc-restr } S' G$

$\langle \text{proof} \rangle$

lemma *cc-restr-cc-restr[simp]*: $\text{cc-restr } S (\text{cc-restr } S' G) = \text{cc-restr } (S \cap S') G$

$\langle \text{proof} \rangle$

lemma *cc-restr-twist*: $\text{cc-restr } S (\text{cc-restr } S' G) = \text{cc-restr } S' (\text{cc-restr } S G)$

$\langle \text{proof} \rangle$

lemma *cc-restr-cc-delete-twist*: $\text{cc-restr } x (\text{cc-delete } S G) = \text{cc-delete } S (\text{cc-restr } x G)$

$\langle \text{proof} \rangle$

lemma *cc-restr-ccProd[simp]*:

$$\text{cc-restr } S (\text{ccProd } S_1 S_2) = \text{ccProd } (S_1 \cap S) (S_2 \cap S)$$

$\langle \text{proof} \rangle$

lemma *ccProd-below-cc-restr*:

$$\text{ccProd } S S' \sqsubseteq \text{cc-restr } S'' G \longleftrightarrow \text{ccProd } S S' \sqsubseteq G \wedge (S = \{\} \vee S' = \{\} \vee S \subseteq S'' \wedge S' \subseteq S'')$$

$\langle \text{proof} \rangle$

$\langle proof \rangle$

lemma *cc-restr-eq-subset*: $S \subseteq S' \implies cc\text{-}restr\ S'\ G = cc\text{-}restr\ S'\ G2 \implies cc\text{-}restr\ S\ G = cc\text{-}restr\ S\ G2$

$\langle proof \rangle$

definition *ccSquare* ($\langle \cdot^2 \rangle$ [80] 80)
where $S^2 = ccProd\ S\ S$

lemma *ccField-ccSquare[simp]*: $ccField\ (S^2) = S$

$\langle proof \rangle$

lemma *below-ccSquare[iff]*: $(G \sqsubseteq S^2) = (ccField\ G \subseteq S)$

$\langle proof \rangle$

lemma *cc-restr-ccSquare[simp]*: $(S^2)\ G \mid^{\cdot} S = (S' \cap S)^2$

$\langle proof \rangle$

lemma *ccSquare-empty[simp]*: $\{\}^2 = \perp$

$\langle proof \rangle$

lift-definition *ccNeighbors* :: $var \Rightarrow CoCalls \Rightarrow var\ set$

is $\lambda x\ G. \{y.\ (y,x) \in G \vee (x,y) \in G\}$ $\langle proof \rangle$

lemma *ccNeighbors-bot[simp]*: $ccNeighbors\ x\ \perp = \{\}$ $\langle proof \rangle$

lemma *cont-ccProd1*:

$cont\ (\lambda S. ccProd\ S\ S')$

$\langle proof \rangle$

lemma *cont-ccProd2*:

$cont\ (\lambda S'. ccProd\ S\ S')$

$\langle proof \rangle$

lemmas *cont-compose2*[*OF cont-ccProd1 cont-ccProd2, simp, cont2cont*]

lemma *cont-ccNeighbors[THEN cont-compose, cont2cont, simp]*:

$cont\ (\lambda y. ccNeighbors\ x\ y)$

$\langle proof \rangle$

lemma *ccNeighbors-join[simp]*: $ccNeighbors\ x\ (G \sqcup G') = ccNeighbors\ x\ G \cup ccNeighbors\ x\ G'$

$\langle proof \rangle$

lemma *ccNeighbors-ccProd*:

$ccNeighbors\ x\ (ccProd\ S\ S') = (if\ x \in S\ then\ S'\ else\ \{\}) \cup (if\ x \in S'\ then\ S\ else\ \{\})$

$\langle proof \rangle$

lemma *ccNeighbors-ccSquare*:

$ccNeighbors\ x\ (ccSquare\ S) = (if\ x \in S\ then\ S\ else\ \{\})$

$\langle proof \rangle$

lemma *ccNeighbors-cc-restr[simp]*:

$ccNeighbors\ x\ (cc-restr\ S\ G) = (if\ x \in S\ then\ ccNeighbors\ x\ G \cap S\ else\ \{\})$

$\langle proof \rangle$

lemma *ccNeighbors-mono*:

$G \sqsubseteq G' \implies ccNeighbors\ x\ G \subseteq ccNeighbors\ x\ G'$

$\langle proof \rangle$

lemma *subset-ccNeighbors*:

$S \subseteq ccNeighbors\ x\ G \longleftrightarrow ccProd\ \{x\}\ S \sqsubseteq G$

$\langle proof \rangle$

lemma *elem-ccNeighbors[simp]*:

$y \in ccNeighbors\ x\ G \longleftrightarrow (y \dashv\!\!\dashv x \in G)$

$\langle proof \rangle$

lemma *ccNeighbors-ccField*:

$ccNeighbors\ x\ G \subseteq ccField\ G\ \langle proof \rangle$

lemma *ccNeighbors-disjoint-empty[simp]*:

$ccNeighbors\ x\ G = \{\} \longleftrightarrow x \notin ccField\ G$

$\langle proof \rangle$

instance *CoCalls* :: *Join-cpo*

$\langle proof \rangle$

lemma *ccNeighbors-lub[simp]*: $ccNeighbors\ x\ (lub\ Gs) = lub\ (ccNeighbors\ x\ 'Gs)$

$\langle proof \rangle$

inductive *list-pairs* :: $'a\ list \Rightarrow ('a \times 'a) \Rightarrow bool$

where $list-pairs\ xs\ p \implies list-pairs\ (x\#\!xs)\ p$

$\mid y \in set\ xs \implies list-pairs\ (x\#\!xs)\ (x,y)$

lift-definition *ccFromList* :: $var\ list \Rightarrow CoCalls$ **is** $\lambda xs. \{(x,y). list-pairs\ xs\ (x,y) \vee list-pairs\ xs\ (y,x)\}$

$\langle proof \rangle$

lemma *ccFromList-Nil[simp]*: $ccFromList\ [] = \perp$

$\langle proof \rangle$

lemma *ccFromList-Cons[simp]*: $ccFromList\ (x\#\!xs) = ccProd\ \{x\}\ (set\ xs) \sqcup ccFromList\ xs$

$\langle proof \rangle$

lemma *ccFromList-append[simp]*: $ccFromList\ (xs@ys) = ccFromList\ xs \sqcup ccFromList\ ys \sqcup ccProd\ (set\ xs)\ (set\ ys)$

$\langle \text{proof} \rangle$

lemma *ccFromList-filter[simp]*:

$\text{ccFromList } (\text{filter } P \text{ } xs) = \text{cc-restr } \{x. P \ x\} \ (\text{ccFromList } xs)$

$\langle \text{proof} \rangle$

lemma *ccFromList-replicate[simp]*: $\text{ccFromList } (\text{replicate } n \ x) = (\text{if } n \leq 1 \text{ then } \perp \text{ else } \text{ccProd } \{x\} \ \{x\})$

$\langle \text{proof} \rangle$

definition *ccLinear* :: $\text{var set} \Rightarrow \text{CoCalls} \Rightarrow \text{bool}$

where $\text{ccLinear } S \ G = (\forall \ x \in S. \forall \ y \in S. x \dashv\!\!\dashv y \notin G)$

lemma *ccLinear-bottom[simp]*:

$\text{ccLinear } S \ \perp$

$\langle \text{proof} \rangle$

lemma *ccLinear-empty[simp]*:

$\text{ccLinear } \{\} \ G$

$\langle \text{proof} \rangle$

lemma *ccLinear-lub[simp]*:

$\text{ccLinear } S \ (\text{lub } X) = (\forall \ G \in X. \text{ccLinear } S \ G)$

$\langle \text{proof} \rangle$

lemma *ccLinear-cc-restr[intro]*:

$\text{ccLinear } S \ G \implies \text{ccLinear } S \ (\text{cc-restr } S' \ G)$

$\langle \text{proof} \rangle$

lemma *ccLinear-join[simp]*:

$\text{ccLinear } S \ (G \sqcup G') \longleftrightarrow \text{ccLinear } S \ G \wedge \text{ccLinear } S \ G'$

$\langle \text{proof} \rangle$

lemma *ccLinear-ccProd[simp]*:

$\text{ccLinear } S \ (\text{ccProd } S_1 \ S_2) \longleftrightarrow S_1 \cap S = \{\} \vee S_2 \cap S = \{\}$

$\langle \text{proof} \rangle$

lemma *ccLinear-mono1*: $\text{ccLinear } S' \ G \implies S \subseteq S' \implies \text{ccLinear } S \ G$

$\langle \text{proof} \rangle$

lemma *ccLinear-mono2*: $\text{ccLinear } S \ G' \implies G \sqsubseteq G' \implies \text{ccLinear } S \ G$

$\langle \text{proof} \rangle$

lemma *ccField-join[simp]*:

$\text{ccField } (G \sqcup G') = \text{ccField } G \cup \text{ccField } G' \ \langle \text{proof} \rangle$

lemma *ccField-lub*[*simp*]:

$$ccField (lub S) = \bigcup (ccField \text{ ` } S) \langle proof \rangle$$

lemma *ccField-ccProd*:

$$ccField (ccProd S S') = (if S = \{\} \text{ then } \{\} \text{ else if } S' = \{\} \text{ then } \{\} \text{ else } S \cup S') \langle proof \rangle$$

lemma *ccField-ccProd-subset*:

$$ccField (ccProd S S') \subseteq S \cup S' \langle proof \rangle$$

lemma *cont-ccField*[*THEN cont-compose, simp, cont2cont*]:

$$cont \ ccField \langle proof \rangle$$

end

11.2 CoCallGraph-Nominal

theory *CoCallGraph-Nominal*
imports *CoCallGraph Launchbury.Nominal-HOLCF*
begin

instantiation *CoCalls* :: *pt*
begin
lift-definition *permute-CoCalls* :: $perm \Rightarrow CoCalls \Rightarrow CoCalls$ **is** *permute*
 $\langle proof \rangle$
instance
 $\langle proof \rangle$
end

instance *CoCalls* :: *cont-pt*
 $\langle proof \rangle$

lemmas *lub-eqv*[*OF exists-lub, simp, eqvt*]

lemma *cc-restr-perm*:
fixes $G :: CoCalls$
assumes $supp\ p \#* S$ **and** [*simp*]: *finite S*
shows $cc-restr\ S\ (p \cdot G) = cc-restr\ S\ G \langle proof \rangle$

lemma *inCC-eqv*[*eqvt*]: $\pi \cdot (x \dashv\dashv y \in G) = (\pi \cdot x) \dashv\dashv (\pi \cdot y) \in (\pi \cdot G) \langle proof \rangle$

lemma *cc-restr-eqv*[*eqvt*]: $\pi \cdot cc-restr\ S\ G = cc-restr\ (\pi \cdot S)\ (\pi \cdot G) \langle proof \rangle$

```

lemma ccProd-eqvt[eqvt]:  $\pi \cdot \text{ccProd } S \ S' = \text{ccProd } (\pi \cdot S) \ (\pi \cdot S')$ 
  <proof>
lemma ccSquare-eqvt[eqvt]:  $\pi \cdot \text{ccSquare } S = \text{ccSquare } (\pi \cdot S)$ 
  <proof>
lemma ccNeighbors-eqvt[eqvt]:  $\pi \cdot \text{ccNeighbors } S \ G = \text{ccNeighbors } (\pi \cdot S) \ (\pi \cdot G)$ 
  <proof>

end

```

12 Co-Call Cardinality Analysis

12.1 CoCallAnalysisSig

```

theory CoCallAnalysisSig
imports Launchbury.Terms Arity CoCallGraph
begin

locale CoCallAnalysis =
  fixes ccExp :: exp  $\Rightarrow$  Arity  $\rightarrow$  CoCalls
begin
  abbreviation ccExp-syn ( $\langle \mathcal{G} \cdot \rangle$ )
    where  $\mathcal{G}_a \equiv (\lambda e. \text{ccExp } e \cdot a)$ 
  abbreviation ccExp-bot-syn ( $\langle \mathcal{G}^\perp \cdot \rangle$ )
    where  $\mathcal{G}^\perp_a \equiv (\lambda e. \text{fup} \cdot (\text{ccExp } e) \cdot a)$ 
end

locale CoCallAnalysisHeap =
  fixes ccHeap :: heap  $\Rightarrow$  exp  $\Rightarrow$  Arity  $\rightarrow$  CoCalls

end

```

12.2 CoCallAnalysisBinds

```

theory CoCallAnalysisBinds
imports CoCallAnalysisSig AEnv AList-Utills-HOLCF Arity-Nominal CoCallGraph-Nominal
begin

context CoCallAnalysis
begin
definition ccBind :: var  $\Rightarrow$  exp  $\Rightarrow$   $((AEnv \times CoCalls) \rightarrow CoCalls)$ 
  where  $\text{ccBind } v \ e = (\Lambda \ (ae, G). \text{ if } (v \dashv\!\!\!\dashv v \notin G) \vee \neg \text{isVal } e \text{ then } \text{cc-restr } (fv \ e) \ (\text{fup} \cdot (\text{ccExp } e) \cdot (ae \ v)) \text{ else } \text{ccSquare } (fv \ e))$ 

lemma ccBind-eq:
   $\text{ccBind } v \ e \cdot (ae, G) = (\text{if } v \dashv\!\!\!\dashv v \notin G \vee \neg \text{isVal } e \text{ then } \mathcal{G}^\perp_{ae \ v \ e \ G} \text{ ' } fv \ e \text{ else } (fv \ e)^2)$ 

```

$\langle \text{proof} \rangle$

lemma *ccBind-strict[simp]*: $\text{ccBind } v \ e \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *ccField-ccBind*: $\text{ccField } (\text{ccBind } v \ e \cdot (ae, G)) \subseteq \text{fv } e$
 $\langle \text{proof} \rangle$

definition *ccBinds* :: $\text{heap} \Rightarrow ((AEnv \times CoCalls) \rightarrow CoCalls)$
where $\text{ccBinds } \Gamma = (\Lambda \ i. (\bigsqcup v \mapsto e \in \text{map-of } \Gamma. \text{ccBind } v \ e \cdot i))$

lemma *ccBinds-eq*:
 $\text{ccBinds } \Gamma \cdot i = (\bigsqcup v \mapsto e \in \text{map-of } \Gamma. \text{ccBind } v \ e \cdot i)$
 $\langle \text{proof} \rangle$

lemma *ccBinds-strict[simp]*: $\text{ccBinds } \Gamma \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *ccBinds-strict'[simp]*: $\text{ccBinds } \Gamma \cdot (\perp, \perp) = \perp$
 $\langle \text{proof} \rangle$

lemma *ccBinds-reorder1*:
assumes $\text{map-of } \Gamma \ v = \text{Some } e$
shows $\text{ccBinds } \Gamma = \text{ccBind } v \ e \sqcup \text{ccBinds } (\text{delete } v \ \Gamma)$
 $\langle \text{proof} \rangle$

lemma *ccBinds-Nil[simp]*:
 $\text{ccBinds } [] = \perp$
 $\langle \text{proof} \rangle$

lemma *ccBinds-Cons[simp]*:
 $\text{ccBinds } ((x, e) \# \Gamma) = \text{ccBind } x \ e \sqcup \text{ccBinds } (\text{delete } x \ \Gamma)$
 $\langle \text{proof} \rangle$

lemma *ccBind-below-ccBinds*: $\text{map-of } \Gamma \ x = \text{Some } e \implies \text{ccBind } x \ e \cdot ae \sqsubseteq (\text{ccBinds } \Gamma \cdot ae)$
 $\langle \text{proof} \rangle$

lemma *ccField-ccBinds*: $\text{ccField } (\text{ccBinds } \Gamma \cdot (ae, G)) \subseteq \text{fv } \Gamma$
 $\langle \text{proof} \rangle$

definition *ccBindsExtra* :: $\text{heap} \Rightarrow ((AEnv \times CoCalls) \rightarrow CoCalls)$
where $\text{ccBindsExtra } \Gamma = (\Lambda \ i. \text{snd } i \sqcup \text{ccBinds } \Gamma \cdot i \sqcup (\bigsqcup x \mapsto e \in \text{map-of } \Gamma. \text{ccProd } (\text{fv } e) (\text{ccNeighbors } x \ (\text{snd } i))))$

lemma *ccBindsExtra-simp*: $\text{ccBindsExtra } \Gamma \cdot i = \text{snd } i \sqcup \text{ccBinds } \Gamma \cdot i \sqcup (\bigsqcup x \mapsto e \in \text{map-of } \Gamma. \text{ccProd } (\text{fv } e) (\text{ccNeighbors } x \ (\text{snd } i)))$
 $\langle \text{proof} \rangle$

lemma *ccBindsExtra-eq*: $\text{ccBindsExtra } \Gamma \cdot (ae, G) =$

$G \sqcup \text{ccBinds } \Gamma \cdot (ae, G) \sqcup (\bigsqcup x \mapsto e \in \text{map-of } \Gamma. \text{fv } e \ G \times \text{ccNeighbors } x \ G)$
 $\langle \text{proof} \rangle$

lemma *ccBindsExtra-strict[simp]*: $\text{ccBindsExtra } \Gamma \cdot \perp = \perp$
 $\langle \text{proof} \rangle$

lemma *ccField-ccBindsExtra*:
 $\text{ccField } (\text{ccBindsExtra } \Gamma \cdot (ae, G)) \subseteq \text{fv } \Gamma \cup \text{ccField } G$
 $\langle \text{proof} \rangle$

end

lemma *ccBind-eqvt[eqvt]*: $\pi \cdot (\text{CoCallAnalysis.ccBind } \text{cccExp } x \ e) = \text{CoCallAnalysis.ccBind } (\pi \cdot \text{cccExp}) (\pi \cdot x) (\pi \cdot e)$
 $\langle \text{proof} \rangle$

lemma *ccBinds-eqvt[eqvt]*: $\pi \cdot (\text{CoCallAnalysis.ccBinds } \text{cccExp } \Gamma) = \text{CoCallAnalysis.ccBinds } (\pi \cdot \text{cccExp}) (\pi \cdot \Gamma)$
 $\langle \text{proof} \rangle$

lemma *ccBindsExtra-eqvt[eqvt]*: $\pi \cdot (\text{CoCallAnalysis.ccBindsExtra } \text{cccExp } \Gamma) = \text{CoCallAnalysis.ccBindsExtra } (\pi \cdot \text{cccExp}) (\pi \cdot \Gamma)$
 $\langle \text{proof} \rangle$

lemma *ccBind-cong[fundef-cong]*:
 $\text{cccexp1 } e = \text{cccexp2 } e \implies \text{CoCallAnalysis.ccBind } \text{cccexp1 } x \ e = \text{CoCallAnalysis.ccBind } \text{cccexp2 } x \ e$
 $\langle \text{proof} \rangle$

lemma *ccBinds-cong[fundef-cong]*:
 $\llbracket (\bigwedge e. e \in \text{snd } ' \text{set heap2} \implies \text{cccexp1 } e = \text{cccexp2 } e); \text{heap1} = \text{heap2} \rrbracket$
 $\implies \text{CoCallAnalysis.ccBinds } \text{cccexp1 } \text{heap1} = \text{CoCallAnalysis.ccBinds } \text{cccexp2 } \text{heap2}$
 $\langle \text{proof} \rangle$

lemma *ccBindsExtra-cong[fundef-cong]*:
 $\llbracket (\bigwedge e. e \in \text{snd } ' \text{set heap2} \implies \text{cccexp1 } e = \text{cccexp2 } e); \text{heap1} = \text{heap2} \rrbracket$
 $\implies \text{CoCallAnalysis.ccBindsExtra } \text{cccexp1 } \text{heap1} = \text{CoCallAnalysis.ccBindsExtra } \text{cccexp2 } \text{heap2}$
 $\langle \text{proof} \rangle$

end

12.3 CoCallAritySig

theory *CoCallAritySig*
imports *ArityAnalysisSig CoCallAnalysisSig*
begin

locale *CoCallArity* = *CoCallAnalysis* + *ArityAnalysis*

end

12.4 CoCallAnalysisSpec

theory *CoCallAnalysisSpec*

imports *CoCallAritySig ArityAnalysisSpec*

begin

locale *CoCallArityEdom* = *CoCallArity* + *EdomArityAnalysis*

locale *CoCallAritySafe* = *CoCallArity* + *CoCallAnalysisHeap* + *ArityAnalysisLetSafe* +
assumes *ccExp-App*: $ccExp\ e \cdot (inc \cdot a) \sqcup ccProd\ \{x\}\ (insert\ x\ (fv\ e)) \sqsubseteq ccExp\ (App\ e\ x) \cdot a$
assumes *ccExp-Lam*: $cc-restr\ (fv\ (Lam\ [y].\ e))\ (ccExp\ e \cdot (pred \cdot n)) \sqsubseteq ccExp\ (Lam\ [y].\ e) \cdot n$
assumes *ccExp-subst*: $x \notin S \implies y \notin S \implies cc-restr\ S\ (ccExp\ e[y::=x] \cdot a) \sqsubseteq cc-restr\ S\ (ccExp\ e \cdot a)$

assumes *ccExp-pap*: $isVal\ e \implies ccExp\ e \cdot 0 = ccSquare\ (fv\ e)$
assumes *ccExp-Let*: $cc-restr\ (-domA\ \Gamma)\ (ccHeap\ \Gamma\ e \cdot a) \sqsubseteq ccExp\ (Let\ \Gamma\ e) \cdot a$
assumes *ccExp-IfThenElse*: $ccExp\ scrut \cdot 0 \sqcup (ccExp\ e1 \cdot a \sqcup ccExp\ e2 \cdot a) \sqcup ccProd\ (edom\ (Aexp\ scrut \cdot 0))\ (edom\ (Aexp\ e1 \cdot a) \cup edom\ (Aexp\ e2 \cdot a)) \sqsubseteq ccExp\ (scrut\ ?\ e1 : e2) \cdot a$

assumes *ccHeap-Exp*: $ccExp\ e \cdot a \sqsubseteq ccHeap\ \Delta\ e \cdot a$
assumes *ccHeap-Heap*: $map-of\ \Delta\ x = Some\ e' \implies (Aheap\ \Delta\ e \cdot a)\ x = up \cdot a' \implies ccExp\ e' \cdot a' \sqsubseteq ccHeap\ \Delta\ e \cdot a$
assumes *ccHeap-Extra-Edges*:
 $map-of\ \Delta\ x = Some\ e' \implies (Aheap\ \Delta\ e \cdot a)\ x = up \cdot a' \implies ccProd\ (fv\ e')\ (ccNeighbors\ x\ (ccHeap\ \Delta\ e \cdot a) - \{x\} \cap thunks\ \Delta) \sqsubseteq ccHeap\ \Delta\ e \cdot a$

assumes *aHeap-thunks-rec*: $\neg nonrec\ \Gamma \implies x \in thunks\ \Gamma \implies x \in edom\ (Aheap\ \Gamma\ e \cdot a) \implies (Aheap\ \Gamma\ e \cdot a)\ x = up \cdot 0$

assumes *aHeap-thunks-nonrec*: $nonrec\ \Gamma \implies x \in thunks\ \Gamma \implies x -- x \in ccExp\ e \cdot a \implies (Aheap\ \Gamma\ e \cdot a)\ x = up \cdot 0$

end

12.5 CoCallFix

theory *CoCallFix*

imports *CoCallAnalysisSig CoCallAnalysisBinds ArityAnalysisSig Launchbury.Env-Nominal ArityAnalysisFix*

begin

locale *CoCallArityAnalysis* =

fixes *cccExp* :: $exp \Rightarrow (Arity \rightarrow AEnv \times CoCalls)$

begin

definition $Aexp :: exp \Rightarrow (Arity \rightarrow AEnv)$
where $Aexp\ e = (\Lambda\ a.\ fst\ (cccExp\ e\ \cdot\ a))$

sublocale $ArityAnalysis\ Aexp\langle proof \rangle$

abbreviation $Aexp\text{-}syn'\ (\langle \mathcal{A}_{-} \rangle)$ **where** $\mathcal{A}_a \equiv (\lambda e.\ Aexp\ e\ \cdot\ a)$

abbreviation $Aexp\text{-}bot\text{-}syn'\ (\langle \mathcal{A}_{-}^{\perp} \rangle)$ **where** $\mathcal{A}_a^{\perp} \equiv (\lambda e.\ fup\ \cdot\ (Aexp\ e)\ \cdot\ a)$

lemma $Aexp\text{-}eq$:

$\mathcal{A}_a\ e = fst\ (cccExp\ e\ \cdot\ a)$
 $\langle proof \rangle$

lemma $fup\text{-}Aexp\text{-}eq$:

$fup\ \cdot\ (Aexp\ e)\ \cdot\ a = fst\ (fup\ \cdot\ (cccExp\ e)\ \cdot\ a)$
 $\langle proof \rangle$

definition $CCexp :: exp \Rightarrow (Arity \rightarrow CoCalls)$ **where** $CCexp\ \Gamma = (\Lambda\ a.\ snd\ (cccExp\ \Gamma\ \cdot\ a))$

lemma $CCexp\text{-}eq$:

$CCexp\ e\ \cdot\ a = snd\ (cccExp\ e\ \cdot\ a)$
 $\langle proof \rangle$

lemma $fup\text{-}CCexp\text{-}eq$:

$fup\ \cdot\ (CCexp\ e)\ \cdot\ a = snd\ (fup\ \cdot\ (cccExp\ e)\ \cdot\ a)$
 $\langle proof \rangle$

sublocale $CoCallAnalysis\ CCexp\langle proof \rangle$

definition $CCfix :: heap \Rightarrow (AEnv \times CoCalls) \rightarrow CoCalls$

where $CCfix\ \Gamma = (\Lambda\ aeG.\ (\mu\ G'.\ ccBindsExtra\ \Gamma\ \cdot\ (fst\ aeG\ ,\ G')\ \sqcup\ snd\ aeG))$

lemma $CCfix\text{-}eq$:

$CCfix\ \Gamma\ \cdot\ (ae, G) = (\mu\ G'.\ ccBindsExtra\ \Gamma\ \cdot\ (ae, G')\ \sqcup\ G)$
 $\langle proof \rangle$

lemma $CCfix\text{-}unroll$: $CCfix\ \Gamma\ \cdot\ (ae, G) = ccBindsExtra\ \Gamma\ \cdot\ (ae, CCfix\ \Gamma\ \cdot\ (ae, G))\ \sqcup\ G$
 $\langle proof \rangle$

lemma $fup\text{-}ccExp\text{-}restr\text{-}subst'$:

assumes $\bigwedge\ a.\ cc\text{-}restr\ S\ (CCexp\ e[x::=y]\ \cdot\ a) = cc\text{-}restr\ S\ (CCexp\ e\ \cdot\ a)$
shows $cc\text{-}restr\ S\ (fup\ \cdot\ (CCexp\ e[x::=y])\ \cdot\ a) = cc\text{-}restr\ S\ (fup\ \cdot\ (CCexp\ e)\ \cdot\ a)$
 $\langle proof \rangle$

lemma $ccBindsExtra\text{-}restr\text{-}subst'$:

assumes $\bigwedge\ x'\ e\ a.\ (x', e) \in set\ \Gamma \implies cc\text{-}restr\ S\ (CCexp\ e[x::=y]\ \cdot\ a) = cc\text{-}restr\ S\ (CCexp\ e\ \cdot\ a)$
assumes $x \notin S$
assumes $y \notin S$

assumes $\text{dom}A \ \Gamma \subseteq S$
shows $\text{cc-restr } S \ (\text{ccBindsExtra } \Gamma[x::h=y] \cdot (ae, G))$
 $= \text{cc-restr } S \ (\text{ccBindsExtra } \Gamma \cdot (ae \ f|' S, \text{cc-restr } S \ G))$
 $\langle \text{proof} \rangle$

lemma $\text{ccBindsExtra-restr}$:

assumes $\text{dom}A \ \Gamma \subseteq S$
shows $\text{cc-restr } S \ (\text{ccBindsExtra } \Gamma \cdot (ae, G)) = \text{cc-restr } S \ (\text{ccBindsExtra } \Gamma \cdot (ae \ f|' S, \text{cc-restr } S \ G))$
 $\langle \text{proof} \rangle$

lemma CCfix-restr :

assumes $\text{dom}A \ \Gamma \subseteq S$
shows $\text{cc-restr } S \ (\text{CCfix } \Gamma \cdot (ae, G)) = \text{cc-restr } S \ (\text{CCfix } \Gamma \cdot (ae \ f|' S, \text{cc-restr } S \ G))$
 $\langle \text{proof} \rangle$

lemma ccField-CCfix :

shows $\text{ccField } (\text{CCfix } \Gamma \cdot (ae, G)) \subseteq \text{fv } \Gamma \cup \text{ccField } G$
 $\langle \text{proof} \rangle$

lemma $\text{CCfix-restr-subst'}$:

assumes $\bigwedge x' \ e \ a. (x', e) \in \text{set } \Gamma \implies \text{cc-restr } S \ (\text{CCexp } e[x::=y] \cdot a) = \text{cc-restr } S \ (\text{CCexp } e \cdot a)$
assumes $x \notin S$
assumes $y \notin S$
assumes $\text{dom}A \ \Gamma \subseteq S$
shows $\text{cc-restr } S \ (\text{CCfix } \Gamma[x::h=y] \cdot (ae, G)) = \text{cc-restr } S \ (\text{CCfix } \Gamma \cdot (ae \ f|' S, \text{cc-restr } S \ G))$
 $\langle \text{proof} \rangle$

end

lemma $\text{Aexp-eqvt}[eqvt]$: $\pi \cdot (\text{CoCallArityAnalysis.Aexp } \text{cccExp } e) = \text{CoCallArityAnalysis.Aexp } (\pi \cdot \text{cccExp}) \ (\pi \cdot e)$
 $\langle \text{proof} \rangle$

lemma $\text{CCexp-eqvt}[eqvt]$: $\pi \cdot (\text{CoCallArityAnalysis.CCexp } \text{cccExp } e) = \text{CoCallArityAnalysis.CCexp } (\pi \cdot \text{cccExp}) \ (\pi \cdot e)$
 $\langle \text{proof} \rangle$

lemma $\text{CCfix-eqvt}[eqvt]$: $\pi \cdot (\text{CoCallArityAnalysis.CCfix } \text{cccExp } \Gamma) = \text{CoCallArityAnalysis.CCfix } (\pi \cdot \text{cccExp}) \ (\pi \cdot \Gamma)$
 $\langle \text{proof} \rangle$

lemma $\text{ccFix-cong}[fundef-cong]$:

$\llbracket (\bigwedge e. e \in \text{snd } ' \text{set } \text{heap2} \implies \text{cccexp1 } e = \text{cccexp2 } e); \text{heap1} = \text{heap2} \rrbracket$
 $\implies \text{CoCallArityAnalysis.CCfix } \text{cccexp1 } \text{heap1} = \text{CoCallArityAnalysis.CCfix } \text{cccexp2 } \text{heap2}$
 $\langle \text{proof} \rangle$

context *CoCallAriyAnalysis*

begin

definition *cccFix* :: *heap* $\Rightarrow ((AEnv \times CoCalls) \rightarrow (AEnv \times CoCalls))$

where *cccFix* $\Gamma = (\Lambda i. (Afix \Gamma.(fst i \sqcup (\lambda-.up.0) f|' \text{thunks } \Gamma), CCfix \Gamma.(Afix \Gamma.(fst i \sqcup (\lambda-.up.0) f|' (\text{thunks } \Gamma)), snd i)))$

lemma *cccFix-eq*:

cccFix $\Gamma.i = (Afix \Gamma.(fst i \sqcup (\lambda-.up.0) f|' \text{thunks } \Gamma), CCfix \Gamma.(Afix \Gamma.(fst i \sqcup (\lambda-.up.0) f|' (\text{thunks } \Gamma)), snd i))$

$\langle proof \rangle$

end

lemma *cccFix-eqvt*[*eqvt*]: $\pi \cdot (CoCallAriyAnalysis.cccFix \text{ cccExp } \Gamma) = CoCallAriyAnalysis.cccFix (\pi \cdot \text{ cccExp}) (\pi \cdot \Gamma)$

$\langle proof \rangle$

lemma *cccFix-cong*[*fundef-cong*]:

$\llbracket (\bigwedge e. e \in \text{snd } ' \text{ set heap2} \Rightarrow \text{cccexp1 } e = \text{cccexp2 } e); \text{ heap1} = \text{heap2} \rrbracket \Rightarrow CoCallAriyAnalysis.cccFix \text{ cccexp1 } \text{heap1} = CoCallAriyAnalysis.cccFix \text{ cccexp2 } \text{heap2}$

$\langle proof \rangle$

12.5.1 The non-recursive case

definition *ABind-nonrec* :: *var* $\Rightarrow \text{exp} \Rightarrow AEnv \times CoCalls \rightarrow \text{Ariy}_{\perp}$

where

ABind-nonrec $x e = (\Lambda i. (\text{if isVal } e \vee x \dashv\vdash x \notin (\text{snd } i) \text{ then } fst i \ x \text{ else } up.0))$

lemma *ABind-nonrec-eq*:

ABind-nonrec $x e.(ae, G) = (\text{if isVal } e \vee x \dashv\vdash x \notin G \text{ then } ae \ x \text{ else } up.0)$

$\langle proof \rangle$

lemma *ABind-nonrec-eqvt*[*eqvt*]: $\pi \cdot (ABind-nonrec \ x \ e) = ABind-nonrec \ (\pi \cdot x) \ (\pi \cdot e)$

$\langle proof \rangle$

lemma *ABind-nonrec-above-arg*:

$ae \ x \sqsubseteq ABind-nonrec \ x \ e \cdot (ae, G)$

$\langle proof \rangle$

definition *Aheap-nonrec* **where**

Aheap-nonrec $x e = (\Lambda i. \text{esing } x.(ABind-nonrec \ x \ e.i))$

lemma *Aheap-nonrec-simp*:

Aheap-nonrec $x e.i = \text{esing } x.(ABind-nonrec \ x \ e.i)$

$\langle proof \rangle$

lemma *Aheap-nonrec-lookup*[*simp*]:

$(Aheap-nonrec \ x \ e.i) \ x = ABind-nonrec \ x \ e.i$

$\langle proof \rangle$

lemma *Aheap-nonrec-eqv*[*eqv*]:

$$\pi \cdot (Aheap\text{-}nonrec\ x\ e) = Aheap\text{-}nonrec\ (\pi \cdot x)\ (\pi \cdot e)$$

$\langle proof \rangle$

context *CoCallArityAnalysis*

begin

definition *Afix-nonrec*

$$\textbf{where } Afix\text{-}nonrec\ x\ e = (\Lambda\ i.\ fup.(Aexp\ e).(ABind\text{-}nonrec\ x\ e \cdot i) \sqcup fst\ i)$$

lemma *Afix-nonrec-eq*[*simp*]:

$$Afix\text{-}nonrec\ x\ e \cdot i = fup.(Aexp\ e).(ABind\text{-}nonrec\ x\ e \cdot i) \sqcup fst\ i$$

$\langle proof \rangle$

definition *CCfix-nonrec*

$$\textbf{where } CCfix\text{-}nonrec\ x\ e = (\Lambda\ i.\ ccBind\ x\ e \cdot (Aheap\text{-}nonrec\ x\ e \cdot i, snd\ i) \sqcup ccProd\ (fv\ e) \\ (ccNeighbors\ x\ (snd\ i) - (if\ isVal\ e\ then\ \{\} \text{ else } \{x\}))) \sqcup snd\ i)$$

lemma *CCfix-nonrec-eq*[*simp*]:

$$CCfix\text{-}nonrec\ x\ e \cdot i = ccBind\ x\ e \cdot (Aheap\text{-}nonrec\ x\ e \cdot i, snd\ i) \sqcup ccProd\ (fv\ e) \\ (ccNeighbors\ x\ (snd\ i) - (if\ isVal\ e\ then\ \{\} \text{ else } \{x\}))) \sqcup snd\ i$$

$\langle proof \rangle$

definition *cccFix-nonrec* :: $var \Rightarrow exp \Rightarrow ((AEnv \times CoCalls) \rightarrow (AEnv \times CoCalls))$

$$\textbf{where } cccFix\text{-}nonrec\ x\ e = (\Lambda\ i.\ (Afix\text{-}nonrec\ x\ e \cdot i, CCfix\text{-}nonrec\ x\ e \cdot i))$$

lemma *cccFix-nonrec-eq*[*simp*]:

$$cccFix\text{-}nonrec\ x\ e \cdot i = (Afix\text{-}nonrec\ x\ e \cdot i, CCfix\text{-}nonrec\ x\ e \cdot i)$$

$\langle proof \rangle$

end

lemma *AFix-nonrec-eqv*[*eqv*]: $\pi \cdot (CoCallArityAnalysis.Afix\text{-}nonrec\ cccExp\ x\ e) = CoCallArityAnalysis.Afix\text{-}nonrec\ (\pi \cdot cccExp)\ (\pi \cdot x)\ (\pi \cdot e)$

$\langle proof \rangle$

lemma *CCFix-nonrec-eqv*[*eqv*]: $\pi \cdot (CoCallArityAnalysis.CCfix\text{-}nonrec\ cccExp\ x\ e) = CoCallArityAnalysis.CCfix\text{-}nonrec\ (\pi \cdot cccExp)\ (\pi \cdot x)\ (\pi \cdot e)$

$\langle proof \rangle$

lemma *cccFix-nonrec-eqv*[*eqv*]: $\pi \cdot (CoCallArityAnalysis.cccFix\text{-}nonrec\ cccExp\ x\ e) = CoCallArityAnalysis.cccFix\text{-}nonrec\ (\pi \cdot cccExp)\ (\pi \cdot x)\ (\pi \cdot e)$

$\langle proof \rangle$

12.5.2 Combining the cases

context *CoCallArityAnalysis*

begin

definition *cccFix-choose* :: *heap* $\Rightarrow$ (*AEnv* $\times$ *CoCalls*) $\rightarrow$ (*AEnv* $\times$ *CoCalls*)
where *cccFix-choose* Γ = (*if nonrec* Γ *then case-prod cccFix-nonrec (hd* Γ) *else cccFix* Γ)

lemma *cccFix-choose-simp1*[*simp*]:

$\neg \text{nonrec } \Gamma \implies \text{cccFix-choose } \Gamma = \text{cccFix } \Gamma$

$\langle \text{proof} \rangle$

lemma *cccFix-choose-simp2*[*simp*]:

$x \notin \text{fv } e \implies \text{cccFix-choose } [(x, e)] = \text{cccFix-nonrec } x \ e$

$\langle \text{proof} \rangle$

end

lemma *cccFix-choose-eqvt*[*eqvt*]: $\pi \cdot (\text{CoCallArityAnalysis.cccFix-choose } \text{cccExp } \Gamma) = \text{CoCallArityAnalysis.cccFix-choose } (\pi \cdot \text{cccExp}) (\pi \cdot \Gamma)$

$\langle \text{proof} \rangle$

lemma *cccFix-nonrec-cong*[*fundef-cong*]:

$\text{cccexp1 } e = \text{cccexp2 } e \implies \text{CoCallArityAnalysis.cccFix-nonrec cccexp1 } x \ e = \text{CoCallArityAnalysis.cccFix-nonrec cccexp2 } x \ e$

$\langle \text{proof} \rangle$

lemma *cccFix-choose-cong*[*fundef-cong*]:

$\llbracket (\bigwedge e. e \in \text{snd } ' \text{set heap2} \implies \text{cccexp1 } e = \text{cccexp2 } e); \text{heap1} = \text{heap2} \rrbracket$

$\implies \text{CoCallArityAnalysis.cccFix-choose cccexp1 heap1} = \text{CoCallArityAnalysis.cccFix-choose cccexp2 heap2}$

$\langle \text{proof} \rangle$

end

12.6 CoCallGraph-TTree

theory *CoCallGraph-TTree*

imports *CoCallGraph TTree-HOLCF*

begin

lemma *interleave-ccFromList*:

$xs \in \text{interleave } ys \ zs \implies \text{ccFromList } xs = \text{ccFromList } ys \sqcup \text{ccFromList } zs \sqcup \text{ccProd } (\text{set } ys) (\text{set } zs)$

$\langle \text{proof} \rangle$

lift-definition *ccApprox* :: *var ttree* $\Rightarrow$ *CoCalls*

is $\lambda \text{ xss} . \text{lub } (\text{ccFromList } ' \text{ xss}) \langle \text{proof} \rangle$

lemma *ccApprox-paths*: $ccApprox\ t = lub\ (ccFromList\ \text{'}\ (paths\ t))\ \langle proof \rangle$

lemma *ccApprox-strict[simp]*: $ccApprox\ \perp = \perp$
 $\langle proof \rangle$

lemma *in-ccApprox*: $(x \text{---} y \in (ccApprox\ t)) \longleftrightarrow (\exists\ xs \in paths\ t. (x \text{---} y \in (ccFromList\ xs)))$
 $\langle proof \rangle$

lemma *ccApprox-mono*: $paths\ t \subseteq paths\ t' \implies ccApprox\ t \sqsubseteq ccApprox\ t'$
 $\langle proof \rangle$

lemma *ccApprox-mono'*: $t \sqsubseteq t' \implies ccApprox\ t \sqsubseteq ccApprox\ t'$
 $\langle proof \rangle$

lemma *ccApprox-belowI*: $(\bigwedge\ xs. xs \in paths\ t \implies ccFromList\ xs \sqsubseteq G) \implies ccApprox\ t \sqsubseteq G$
 $\langle proof \rangle$

lemma *ccApprox-below-iff*: $ccApprox\ t \sqsubseteq G \longleftrightarrow (\forall\ xs \in paths\ t. ccFromList\ xs \sqsubseteq G)$
 $\langle proof \rangle$

lemma *cc-restr-ccApprox-below-iff*: $cc-restr\ S\ (ccApprox\ t) \sqsubseteq G \longleftrightarrow (\forall\ xs \in paths\ t. cc-restr\ S\ (ccFromList\ xs) \sqsubseteq G)$
 $\langle proof \rangle$

lemma *ccFromList-below-ccApprox*:
 $xs \in paths\ t \implies ccFromList\ xs \sqsubseteq ccApprox\ t$
 $\langle proof \rangle$

lemma *ccApprox-nxt-below*:
 $ccApprox\ (nxt\ t\ x) \sqsubseteq ccApprox\ t$
 $\langle proof \rangle$

lemma *ccApprox-ttree-restr-nxt-below*:
 $ccApprox\ (ttree-restr\ S\ (nxt\ t\ x)) \sqsubseteq ccApprox\ (ttree-restr\ S\ t)$
 $\langle proof \rangle$

lemma *ccApprox-ttree-restr[simp]*: $ccApprox\ (ttree-restr\ S\ t) = cc-restr\ S\ (ccApprox\ t)$
 $\langle proof \rangle$

lemma *ccApprox-both*: $ccApprox\ (t \otimes t') = ccApprox\ t \sqcup ccApprox\ t' \sqcup ccProd\ (carrier\ t)\ (carrier\ t')$
 $\langle proof \rangle$

lemma *ccApprox-many-calls[simp]*:
 $ccApprox\ (many-calls\ x) = ccProd\ \{x\}\ \{x\}$
 $\langle proof \rangle$

lemma *ccApprox-single[simp]*:
 $ccApprox\ (TTree.single\ y) = \perp$

$\langle \text{proof} \rangle$

lemma *ccApprox-either*[simp]: $\text{ccApprox } (t \oplus \oplus t') = \text{ccApprox } t \sqcup \text{ccApprox } t'$
 $\langle \text{proof} \rangle$

lemma *wild-recursion*:

assumes $\text{ccApprox } t \sqsubseteq G$
assumes $\bigwedge x. x \notin S \implies f\ x = \text{empty}$
assumes $\bigwedge x. x \in S \implies \text{ccApprox } (f\ x) \sqsubseteq G$
assumes $\bigwedge x. x \in S \implies \text{ccProd } (\text{ccNeighbors } x\ G) (\text{carrier } (f\ x)) \sqsubseteq G$
shows $\text{ccApprox } (\text{ttree-restr } (-S) (\text{substitute } f\ T\ t)) \sqsubseteq G$

$\langle \text{proof} \rangle$

lemma *wild-recursion-thunked*:

assumes $\text{ccApprox } t \sqsubseteq G$
assumes $\bigwedge x. x \notin S \implies f\ x = \text{empty}$
assumes $\bigwedge x. x \in S \implies \text{ccApprox } (f\ x) \sqsubseteq G$
assumes $\bigwedge x. x \in S \implies \text{ccProd } (\text{ccNeighbors } x\ G - \{x\} \cap T) (\text{carrier } (f\ x)) \sqsubseteq G$
shows $\text{ccApprox } (\text{ttree-restr } (-S) (\text{substitute } f\ T\ t)) \sqsubseteq G$

$\langle \text{proof} \rangle$

inductive-set *valid-lists* :: $\text{var set} \Rightarrow \text{CoCalls} \Rightarrow \text{var list set}$

for $S\ G$

where $\square \in \text{valid-lists } S\ G$

$| \text{ set } xs \subseteq \text{ccNeighbors } x\ G \implies xs \in \text{valid-lists } S\ G \implies x \in S \implies x\#xs \in \text{valid-lists } S\ G$

inductive-simps *valid-lists-simps*[simp]: $\square \in \text{valid-lists } S\ G \ (x\#xs) \in \text{valid-lists } S\ G$

inductive-cases *valid-lists-ConsE*: $(x\#xs) \in \text{valid-lists } S\ G$

lemma *valid-lists-downset-aux*:

$xs \in \text{valid-lists } S\ \text{CoCalls} \implies \text{butlast } xs \in \text{valid-lists } S\ \text{CoCalls}$

$\langle \text{proof} \rangle$

lemma *valid-lists-subset*: $xs \in \text{valid-lists } S\ G \implies \text{set } xs \subseteq S$

$\langle \text{proof} \rangle$

lemma *valid-lists-mono1*:

assumes $S \subseteq S'$

shows $\text{valid-lists } S\ G \subseteq \text{valid-lists } S'\ G$

$\langle \text{proof} \rangle$

lemma *valid-lists-chain1*:

assumes $\text{chain } Y$

assumes $xs \in \text{valid-lists } (\bigcup (Y \text{ ' } \text{UNIV}))\ G$

shows $\exists i. xs \in \text{valid-lists } (Y\ i)\ G$

$\langle \text{proof} \rangle$

lemma *valid-lists-chain2*:

assumes *chain* *Y*
assumes $xs \in \text{valid-lists } S \ (\sqcup i. Y i)$
shows $\exists i. xs \in \text{valid-lists } S \ (Y i)$

<proof>

lemma *valid-lists-cc-restr*: $\text{valid-lists } S \ G = \text{valid-lists } S \ (\text{cc-restr } S \ G)$

<proof>

lemma *interleave-valid-list*:

$xs \in ys \otimes zs \implies ys \in \text{valid-lists } S \ G \implies zs \in \text{valid-lists } S' \ G' \implies xs \in \text{valid-lists } (S \cup S')$
 $(G \sqcup (G' \sqcup \text{ccProd } S \ S'))$

<proof>

lemma *interleave-valid-list'*:

$xs \in \text{valid-lists } (S \cup S') \ G \implies \exists ys \ zs. xs \in ys \otimes zs \wedge ys \in \text{valid-lists } S \ G \wedge zs \in \text{valid-lists } S' \ G$

<proof>

lemma *many-calls-valid-list*:

$xs \in \text{valid-lists } \{x\} \ (\text{ccProd } \{x\} \ \{x\}) \implies xs \in \text{range } (\lambda n. \text{replicate } n \ x)$

<proof>

lemma *filter-valid-lists*:

$xs \in \text{valid-lists } S \ G \implies \text{filter } P \ xs \in \text{valid-lists } \{a \in S. P \ a\} \ G$

<proof>

lift-definition *ccTTree* :: $\text{var set} \Rightarrow \text{CoCalls} \Rightarrow \text{var ttree}$ **is** $\lambda S \ G. \text{valid-lists } S \ G$

<proof>

lemma *paths-ccTTree[simp]*: $\text{paths } (\text{ccTTree } S \ G) = \text{valid-lists } S \ G$ *<proof>*

lemma *carrier-ccTTree[simp]*: $\text{carrier } (\text{ccTTree } S \ G) = S$

<proof>

lemma *valid-lists-ccFromList*:

$xs \in \text{valid-lists } S \ G \implies \text{ccFromList } xs \sqsubseteq \text{cc-restr } S \ G$

<proof>

lemma *ccApprox-ccTTree[simp]*: $\text{ccApprox } (\text{ccTTree } S \ G) = \text{cc-restr } S \ G$

<proof>

lemma *below-ccTTreeI*:

assumes $\text{carrier } t \subseteq S$ **and** $\text{ccApprox } t \sqsubseteq G$

shows $t \sqsubseteq \text{ccTTree } S \ G$

<proof>

lemma *ccTTree-mono1*:

$S \subseteq S' \implies \text{ccTTree } S \ G \sqsubseteq \text{ccTTree } S' \ G$
 $\langle \text{proof} \rangle$

lemma *cont-ccTTree1*:
 $\text{cont } (\lambda S. \text{ccTTree } S \ G)$
 $\langle \text{proof} \rangle$

lemma *ccTTree-mono2*:
 $G \sqsubseteq G' \implies \text{ccTTree } S \ G \sqsubseteq \text{ccTTree } S \ G'$
 $\langle \text{proof} \rangle$

lemma *ccTTree-mono*:
 $S \subseteq S' \implies G \sqsubseteq G' \implies \text{ccTTree } S \ G \sqsubseteq \text{ccTTree } S' \ G'$
 $\langle \text{proof} \rangle$

lemma *cont-ccTTree2*:
 $\text{cont } (\text{ccTTree } S)$
 $\langle \text{proof} \rangle$

lemmas *cont-ccTTree = cont-compose2*[**where** $c = \text{ccTTree}$, *OF cont-ccTTree1 cont-ccTTree2, simp, cont2cont*]

lemma *ccTTree-below-singleI*:
assumes $S \cap S' = \{\}$
shows $\text{ccTTree } S \ G \sqsubseteq \text{singles } S'$
 $\langle \text{proof} \rangle$

lemma *ccTTree-cc-restr*: $\text{ccTTree } S \ G = \text{ccTTree } S \ (\text{cc-restr } S \ G)$
 $\langle \text{proof} \rangle$

lemma *ccTTree-cong-below*: $\text{cc-restr } S \ G \sqsubseteq \text{cc-restr } S \ G' \implies \text{ccTTree } S \ G \sqsubseteq \text{ccTTree } S \ G'$
 $\langle \text{proof} \rangle$

lemma *ccTTree-cong*: $\text{cc-restr } S \ G = \text{cc-restr } S \ G' \implies \text{ccTTree } S \ G = \text{ccTTree } S \ G'$
 $\langle \text{proof} \rangle$

lemma *either-ccTTree*:
 $\text{ccTTree } S \ G \oplus \oplus \text{ccTTree } S' \ G' \sqsubseteq \text{ccTTree } (S \cup S') \ (G \sqcup G')$
 $\langle \text{proof} \rangle$

lemma *interleave-ccTTree*:
 $\text{ccTTree } S \ G \otimes \otimes \text{ccTTree } S' \ G' \sqsubseteq \text{ccTTree } (S \cup S') \ (G \sqcup G' \sqcup \text{ccProd } S \ S')$
 $\langle \text{proof} \rangle$

lemma *interleave-ccTTree'*:
 $\text{ccTTree } (S \cup S') \ G \sqsubseteq \text{ccTTree } S \ G \otimes \otimes \text{ccTTree } S' \ G$

$\langle \text{proof} \rangle$

lemma *many-calls-ccTTree*:

shows *many-calls* $x = \text{ccTTree } \{x\} (\text{ccProd } \{x\} \{x\})$

$\langle \text{proof} \rangle$

lemma *filter-valid-lists'*:

$xs \in \text{valid-lists } \{x' \in S. P \ x'\} \ G \implies xs \in \text{filter } P \text{ ' valid-lists } S \ G$

$\langle \text{proof} \rangle$

lemma *without-ccTTree[simp]*:

without $x (\text{ccTTree } S \ G) = \text{ccTTree } (S - \{x\}) \ G$

$\langle \text{proof} \rangle$

lemma *ttree-restr-ccTTree[simp]*:

ttree-restr $S' (\text{ccTTree } S \ G) = \text{ccTTree } (S \cap S') \ G$

$\langle \text{proof} \rangle$

lemma *repeatable-ccTTree-ccSquare*: $S \subseteq S' \implies \text{repeatable } (\text{ccTTree } S (\text{ccSquare } S'))$

$\langle \text{proof} \rangle$

An alternative definition

inductive *valid-lists'* :: $\text{var set} \Rightarrow \text{CoCalls} \Rightarrow \text{var set} \Rightarrow \text{var list} \Rightarrow \text{bool}$

for $S \ G$

where *valid-lists'* $S \ G \text{ prefix } []$

$| \text{prefix} \subseteq \text{ccNeighbors } x \ G \implies \text{valid-lists}' \ S \ G (\text{insert } x \text{ prefix}) \ xs \implies x \in S \implies \text{valid-lists}' \ S \ G \text{ prefix } (x \# xs)$

inductive-simps *valid-lists'-simps[simp]*: *valid-lists'* $S \ G \text{ prefix } [] \text{ valid-lists}' \ S \ G \text{ prefix } (x \# xs)$

inductive-cases *valid-lists'-ConsE*: *valid-lists'* $S \ G \text{ prefix } (x \# xs)$

lemma *valid-lists-valid-lists'*:

$xs \in \text{valid-lists } S \ G \implies \text{ccProd } \text{prefix } (\text{set } xs) \sqsubseteq G \implies \text{valid-lists}' \ S \ G \text{ prefix } xs$

$\langle \text{proof} \rangle$

lemma *valid-lists'-valid-lists-aux*:

$\text{valid-lists}' \ S \ G \text{ prefix } xs \implies x \in \text{prefix} \implies \text{ccProd } (\text{set } xs) \{x\} \sqsubseteq G$

$\langle \text{proof} \rangle$

lemma *valid-lists'-valid-lists*:

$\text{valid-lists}' \ S \ G \text{ prefix } xs \implies xs \in \text{valid-lists } S \ G$

$\langle \text{proof} \rangle$

Yet another definition

lemma *valid-lists-characterization*:

$xs \in \text{valid-lists } S \ G \longleftrightarrow \text{set } xs \subseteq S \wedge (\forall n. \text{ccProd } (\text{set } (\text{take } n \ xs)) (\text{set } (\text{drop } n \ xs))) \sqsubseteq G$

$\langle \text{proof} \rangle$

end

12.7 CoCallImplTTree

```

theory CoCallImplTTree
imports TTreeAnalysisSig Env-Set-Cpo CoCallAritySig CoCallGraph-TTree
begin

context CoCallArity
begin
  definition Texp :: exp  $\Rightarrow$  Arity  $\rightarrow$  var ttree
    where Texp e = ( $\Lambda$  a. ccTTree (edom (Aexp e  $\cdot$  a)) (ccExp e  $\cdot$  a))

  lemma Texp-simp: Texp e  $\cdot$  a = ccTTree (edom (Aexp e  $\cdot$  a)) (ccExp e  $\cdot$  a)
     $\langle$ proof $\rangle$ 

  sublocale TTreeAnalysis Texp  $\langle$ proof $\rangle$ 
end

end

```

12.8 CoCallImplTTreeSafe

```

theory CoCallImplTTreeSafe
imports CoCallImplTTree CoCallAnalysisSpec TTreeAnalysisSpec
begin

lemma valid-lists-many-calls:
  assumes  $\neg$  one-call-in-path x p
  assumes p  $\in$  valid-lists S G
  shows x  $\dashv$  x  $\in$  G
   $\langle$ proof $\rangle$ 

context CoCallArityEdom
begin
  lemma carrier-Fexp': carrier (Texp e  $\cdot$  a)  $\subseteq$  fv e
     $\langle$ proof $\rangle$ 
end

context CoCallAritySafe
begin

  lemma carrier-AnalBinds-below:
    carrier ((Texp.AnalBinds  $\Delta$   $\cdot$  (Aheap  $\Delta$  e  $\cdot$  a)) x)  $\subseteq$  edom ((ABinds  $\Delta$ )  $\cdot$  (Aheap  $\Delta$  e  $\cdot$  a))
     $\langle$ proof $\rangle$ 

  sublocale TTreeAnalysisCarrier Texp

```

$\langle \text{proof} \rangle$

sublocale *TTreeAnalysisSafe* *Texp*

$\langle \text{proof} \rangle$

definition *Theap* :: *heap* $\Rightarrow$ *exp* $\Rightarrow$ *Arity* $\rightarrow$ *var tree*

where *Theap* Γ *e* = (Λ *a*. if *nonrec* Γ then *ccTTree* (*edom* (*Aheap* Γ *e*·*a*)) (*ccExp* *e*·*a*) else *ttree-restr* (*edom* (*Aheap* Γ *e*·*a*)) *anything*)

lemma *Theap-simp*: *Theap* Γ *e*·*a* = (if *nonrec* Γ then *ccTTree* (*edom* (*Aheap* Γ *e*·*a*)) (*ccExp* *e*·*a*) else *ttree-restr* (*edom* (*Aheap* Γ *e*·*a*)) *anything*)

$\langle \text{proof} \rangle$

lemma *carrier-Fheap'*:*carrier* (*Theap* Γ *e*·*a*) = *edom* (*Aheap* Γ *e*·*a*)

$\langle \text{proof} \rangle$

sublocale *TTreeAnalysisCardinalityHeap* *Texp* *Aexp* *Aheap* *Theap*

$\langle \text{proof} \rangle$

end

lemma *paths-singles*: *xs* $\in$ *paths* (*singles* *S*) $\longleftrightarrow$ ($\forall x \in S$. *one-call-in-path* *x xs*)

$\langle \text{proof} \rangle$

lemma *paths-singles'*: *xs* $\in$ *paths* (*singles* *S*) $\longleftrightarrow$ ($\forall x \in (\text{set } xs \cap S)$. *one-call-in-path* *x xs*)

$\langle \text{proof} \rangle$

lemma *both-below-singles1*:

assumes *t* $\sqsubseteq$ *singles* *S*

assumes *carrier* *t'* $\cap$ *S* = {}

shows *t* $\otimes \otimes$ *t'* $\sqsubseteq$ *singles* *S*

$\langle \text{proof} \rangle$

lemma *paths-ttree-restr-singles*: *xs* $\in$ *paths* (*ttree-restr* *S'* (*singles* *S*)) $\longleftrightarrow$ *set xs* $\subseteq$ *S'* $\wedge$ ($\forall x \in S$. *one-call-in-path* *x xs*)

$\langle \text{proof} \rangle$

lemma *substitute-not-carrier*:

assumes *x* $\notin$ *carrier* *t*

assumes $\bigwedge x'. x \notin \text{carrier } (f \ x')$

shows *x* $\notin$ *carrier* (*substitute* *f* *T* *t*)

$\langle \text{proof} \rangle$

```

lemma substitute-below-singlesI:
  assumes  $t \sqsubseteq \text{singles } S$ 
  assumes  $\bigwedge x. \text{carrier } (f \ x) \cap S = \{\}$ 
  shows  $\text{substitute } f \ T \ t \sqsubseteq \text{singles } S$ 
   $\langle \text{proof} \rangle$ 

end

```

13 CoCall Cardinality Implementation

13.1 CoCallAnalysisImpl

```

theory CoCallAnalysisImpl
imports Arity-Nominal Launchbury.Nominal-HOLCF Launchbury.Env-Nominal Env-Set-Cpo
Launchbury.Env-HOLCF CoCallFix
begin

fun combined-restrict ::  $\text{var set} \Rightarrow (AEnv \times CoCalls) \Rightarrow (AEnv \times CoCalls)$ 
  where  $\text{combined-restrict } S \ (env, G) = (env \upharpoonright^c S, \text{cc-restr } S \ G)$ 

lemma fst-combined-restrict[simp]:
   $\text{fst } (\text{combined-restrict } S \ p) = \text{fst } p \upharpoonright^c S$ 
   $\langle \text{proof} \rangle$ 

lemma snd-combined-restrict[simp]:
   $\text{snd } (\text{combined-restrict } S \ p) = \text{cc-restr } S \ (\text{snd } p)$ 
   $\langle \text{proof} \rangle$ 

lemma combined-restrict-eqvt[eqvt]:
  shows  $\pi \cdot \text{combined-restrict } S \ p = \text{combined-restrict } (\pi \cdot S) \ (\pi \cdot p)$ 
   $\langle \text{proof} \rangle$ 

lemma combined-restrict-cont:
   $\text{cont } (\lambda x. \text{combined-restrict } S \ x)$ 
   $\langle \text{proof} \rangle$ 
lemmas cont-compose[OF combined-restrict-cont, cont2cont, simp]

lemma combined-restrict-perm:
  assumes  $\text{supp } \pi \ \sharp^* \ S$  and  $[simp]: \text{finite } S$ 
  shows  $\text{combined-restrict } S \ (\pi \cdot p) = \text{combined-restrict } S \ p$ 
   $\langle \text{proof} \rangle$ 

definition predCC ::  $\text{var set} \Rightarrow (Arity \rightarrow CoCalls) \Rightarrow (Arity \rightarrow CoCalls)$ 
  where  $\text{predCC } S \ f = (\Lambda a. \text{if } a \neq 0 \text{ then } \text{cc-restr } S \ (f \cdot (\text{pred} \cdot a)) \text{ else } \text{ccSquare } S)$ 

lemma predCC-eq:
  shows  $\text{predCC } S \ f \cdot a = (\text{if } a \neq 0 \text{ then } \text{cc-restr } S \ (f \cdot (\text{pred} \cdot a)) \text{ else } \text{ccSquare } S)$ 

```

$\langle \text{proof} \rangle$

lemma *predCC-eqv*[*eqvt*, *simp*]: $\pi \cdot (\text{predCC } S \ f) = \text{predCC } (\pi \cdot S) (\pi \cdot f)$
 $\langle \text{proof} \rangle$

lemma *cc-restr-predCC*:
 $\text{cc-restr } S (\text{predCC } S' \ f \cdot n) = (\text{predCC } (S' \cap S) (\Lambda \ n. \text{cc-restr } S (f \cdot n))) \cdot n$
 $\langle \text{proof} \rangle$

lemma *cc-restr-predCC'*[*simp*]:
 $\text{cc-restr } S (\text{predCC } S \ f \cdot n) = \text{predCC } S \ f \cdot n$
 $\langle \text{proof} \rangle$

nominal-function

$cCCexp :: exp \Rightarrow (Arity \rightarrow AEnv \times CoCalls)$

where

$cCCexp \ (Var \ x) = (\Lambda \ n. (\text{esing } x \cdot (up \cdot n), \perp))$
 $| \ cCCexp \ (Lam \ [x]. \ e) = (\Lambda \ n. \text{combined-restrict } (fv \ (Lam \ [x]. \ e)) \ (fst \ (cCCexp \ e \cdot (pred \cdot n)),$
 $\text{predCC } (fv \ (Lam \ [x]. \ e)) \ (\Lambda \ a. \text{snd}(cCCexp \ e \cdot a) \cdot n))$
 $| \ cCCexp \ (App \ e \ x) = (\Lambda \ n. (fst \ (cCCexp \ e \cdot (inc \cdot n)) \sqcup (\text{esing } x \cdot (up \cdot 0)), \text{snd } (cCCexp$
 $e \cdot (inc \cdot n)) \sqcup ccProd \ \{x\} \ (\text{insert } x \ (fv \ e))))$
 $| \ cCCexp \ (Let \ \Gamma \ e) = (\Lambda \ n. \text{combined-restrict } (fv \ (Let \ \Gamma \ e)) \ (CoCallArityAnalysis.cccFix-choose$
 $cCCexp \ \Gamma \cdot (cCCexp \ e \cdot n)))$
 $| \ cCCexp \ (Bool \ b) = \perp$
 $| \ cCCexp \ (scrut \ ? \ e1 : e2) = (\Lambda \ n. (fst \ (cCCexp \ scrut \cdot 0) \sqcup fst \ (cCCexp \ e1 \cdot n) \sqcup fst \ (cCCexp$
 $e2 \cdot n),$
 $\text{snd } (cCCexp \ scrut \cdot 0) \sqcup (\text{snd } (cCCexp \ e1 \cdot n) \sqcup \text{snd } (cCCexp \ e2 \cdot n)) \sqcup ccProd \ (\text{edom } (fst$
 $(cCCexp \ scrut \cdot 0))) \ (\text{edom } (fst \ (cCCexp \ e1 \cdot n)) \cup \text{edom } (fst \ (cCCexp \ e2 \cdot n))))$
 $\langle \text{proof} \rangle$

nominal-termination (*eqvt*) $\langle \text{proof} \rangle$

locale *CoCallAnalysisImpl*

begin

sublocale *CoCallArityAnalysis* *cCCexp* $\langle \text{proof} \rangle$

sublocale *ArityAnalysis* *Aexp* $\langle \text{proof} \rangle$

abbreviation *Aexp-syn''* ($\langle \mathcal{A} \cdot \rangle$) **where** $\mathcal{A}_a \ e \equiv Aexp \ e \cdot a$

abbreviation *Aexp-bot-syn''* ($\langle \mathcal{A}^\perp \cdot \rangle$) **where** $\mathcal{A}^\perp_a \ e \equiv fup \cdot (Aexp \ e) \cdot a$

abbreviation *ccExp-syn''* ($\langle \mathcal{G} \cdot \rangle$) **where** $\mathcal{G}_a \ e \equiv CCexp \ e \cdot a$

abbreviation *ccExp-bot-syn''* ($\langle \mathcal{G}^\perp \cdot \rangle$) **where** $\mathcal{G}^\perp_a \ e \equiv fup \cdot (CCexp \ e) \cdot a$

lemma *cCCexp-eq*[*simp*]:

$cCCexp \ (Var \ x) \cdot n = (\text{esing } x \cdot (up \cdot n), \perp)$
 $cCCexp \ (Lam \ [x]. \ e) \cdot n = \text{combined-restrict } (fv \ (Lam \ [x]. \ e)) \ (fst \ (cCCexp \ e \cdot (pred \cdot n)), \text{predCC}$
 $(fv \ (Lam \ [x]. \ e)) \ (\Lambda \ a. \text{snd}(cCCexp \ e \cdot a) \cdot n))$

$cCCexp (App\ e\ x) \cdot n = (fst\ (cCCexp\ e \cdot (inc \cdot n)) \sqcup (esing\ x \cdot (up \cdot 0))), \quad snd\ (cCCexp\ e \cdot (inc \cdot n)) \sqcup ccProd\ \{x\}\ (insert\ x\ (fv\ e))$
 $cCCexp (Let\ \Gamma\ e) \cdot n = combined-restrict\ (fv\ (Let\ \Gamma\ e))\ (CoCallArityAnalysis.cccFix-choose\ cCCexp\ \Gamma \cdot (cCCexp\ e \cdot n))$
 $cCCexp (Bool\ b) \cdot n = \perp$
 $cCCexp (scrut\ ?\ e1 : e2) \cdot n = (fst\ (cCCexp\ scrut \cdot 0) \sqcup fst\ (cCCexp\ e1 \cdot n) \sqcup fst\ (cCCexp\ e2 \cdot n),$
 $snd\ (cCCexp\ scrut \cdot 0) \sqcup (snd\ (cCCexp\ e1 \cdot n) \sqcup snd\ (cCCexp\ e2 \cdot n)) \sqcup ccProd\ (edom\ (fst\ (cCCexp\ scrut \cdot 0)))\ (edom\ (fst\ (cCCexp\ e1 \cdot n)) \sqcup edom\ (fst\ (cCCexp\ e2 \cdot n)))$
 $\langle proof \rangle$
declare $cCCexp.simps[simp\ del]$

lemma *Aexp-pre-simps:*

$\mathcal{A}_a (Var\ x) = esing\ x \cdot (up \cdot a)$
 $\mathcal{A}_a (Lam\ [x].\ e) = Aexp\ e \cdot (pred \cdot a)\ f|' \cdot fv\ (Lam\ [x].\ e)$
 $\mathcal{A}_a (App\ e\ x) = Aexp\ e \cdot (inc \cdot a) \sqcup esing\ x \cdot (up \cdot 0)$
 $\neg nonrec\ \Gamma \implies$
 $\mathcal{A}_a (Let\ \Gamma\ e) = (Afix\ \Gamma \cdot (\mathcal{A}_a\ e \sqcup (\lambda \cdot up \cdot 0)\ f|' \cdot thunks\ \Gamma))\ f|' \cdot (fv\ (Let\ \Gamma\ e))$
 $x \notin fv\ e \implies$
 $\mathcal{A}_a (let\ x\ be\ e\ in\ exp) =$
 $(fup \cdot (Aexp\ e) \cdot (ABind-nonrec\ x\ e \cdot (\mathcal{A}_a\ exp,\ CCexp\ exp \cdot a)) \sqcup \mathcal{A}_a\ exp)$
 $f|' \cdot (fv\ (let\ x\ be\ e\ in\ exp))$
 $\mathcal{A}_a (Bool\ b) = \perp$
 $\mathcal{A}_a (scrut\ ?\ e1 : e2) = \mathcal{A}_0\ scrut \sqcup \mathcal{A}_a\ e1 \sqcup \mathcal{A}_a\ e2$
 $\langle proof \rangle$

lemma *CCexp-pre-simps:*

$CCexp (Var\ x) \cdot n = \perp$
 $CCexp (Lam\ [x].\ e) \cdot n = predCC\ (fv\ (Lam\ [x].\ e))\ (CCexp\ e) \cdot n$
 $CCexp (App\ e\ x) \cdot n = CCexp\ e \cdot (inc \cdot n) \sqcup ccProd\ \{x\}\ (insert\ x\ (fv\ e))$
 $\neg nonrec\ \Gamma \implies$
 $CCexp (Let\ \Gamma\ e) \cdot n = cc-restr\ (fv\ (Let\ \Gamma\ e))$
 $(CCfix\ \Gamma \cdot (Afix\ \Gamma \cdot (Aexp\ e \cdot n \sqcup (\lambda \cdot up \cdot 0)\ f|' \cdot thunks\ \Gamma),\ CCexp\ e \cdot n))$
 $x \notin fv\ e \implies CCexp (let\ x\ be\ e\ in\ exp) \cdot n =$
 $cc-restr\ (fv\ (let\ x\ be\ e\ in\ exp))$
 $(ccBind\ x\ e \cdot (Aheap-nonrec\ x\ e \cdot (Aexp\ exp \cdot n,\ CCexp\ exp \cdot n),\ CCexp\ exp \cdot n)$
 $\sqcup ccProd\ (fv\ e)\ (ccNeighbors\ x\ (CCexp\ exp \cdot n) - (if\ isVal\ e\ then\ \{\}\ else\ \{x\})) \sqcup CCexp\ exp \cdot n)$
 $CCexp (Bool\ b) \cdot n = \perp$
 $CCexp (scrut\ ?\ e1 : e2) \cdot n =$
 $CCexp\ scrut \cdot 0 \sqcup$
 $(CCexp\ e1 \cdot n \sqcup CCexp\ e2 \cdot n) \sqcup$
 $ccProd\ (edom\ (Aexp\ scrut \cdot 0))\ (edom\ (Aexp\ e1 \cdot n) \sqcup edom\ (Aexp\ e2 \cdot n))$
 $\langle proof \rangle$

lemma

shows $ccField-CCexp: ccField\ (CCexp\ e \cdot a) \subseteq fv\ e$ **and** $Aexp-edom': edom\ (\mathcal{A}_a\ e) \subseteq fv\ e$

$\langle \text{proof} \rangle$

lemma *cc-restr-CCexp[simp]*:

$$\text{cc-restr } (fv\ e) (CCexp\ e\cdot a) = CCexp\ e\cdot a$$

$\langle \text{proof} \rangle$

lemma *ccField-fup-CCexp*:

$$\text{ccField } (fup\cdot (CCexp\ e)\cdot n) \subseteq fv\ e$$

$\langle \text{proof} \rangle$

lemma *cc-restr-fup-ccExp-useless[simp]*: $\text{cc-restr } (fv\ e) (fup\cdot (CCexp\ e)\cdot n) = fup\cdot (CCexp\ e)\cdot n$

$\langle \text{proof} \rangle$

sublocale *EdomArityAnalysis Aexp* $\langle \text{proof} \rangle$

lemma *CCexp-simps[simp]*:

$$\mathcal{G}_a(\text{Var } x) = \perp$$

$$\mathcal{G}_0(\text{Lam } [x].\ e) = (fv\ (\text{Lam } [x].\ e))^2$$

$$\mathcal{G}_{inc\cdot a}(\text{Lam } [x].\ e) = \text{cc-delete } x\ (\mathcal{G}_a\ e)$$

$$\mathcal{G}_a(\text{App } e\ x) = \mathcal{G}_{inc\cdot a}\ e \sqcup \{x\}\ G \times \text{insert } x\ (fv\ e)$$

$$\neg \text{nonrec } \Gamma \implies \mathcal{G}_a(\text{Let } \Gamma\ e) =$$

$$(CCfix\ \Gamma\cdot (\text{Afix } \Gamma\cdot (\mathcal{A}_a\ e \sqcup (\lambda\cdot.\text{up}\cdot 0)\ f|'\ \text{thunks } \Gamma), \mathcal{G}_a\ e))\ G|'\ (-\ \text{dom } A\ \Gamma)$$

$$x \notin fv\ e' \implies \mathcal{G}_a(\text{let } x\ \text{be } e'\ \text{in } e) =$$

$$\text{cc-delete } x$$

$$(\text{ccBind } x\ e'\cdot (\text{Aheap-nonrec } x\ e'\cdot (\mathcal{A}_a\ e, \mathcal{G}_a\ e), \mathcal{G}_a\ e)$$

$$\sqcup fv\ e'\ G \times (\text{ccNeighbors } x\ (\mathcal{G}_a\ e) - (\text{if isVal } e'\ \text{then } \{\} \ \text{else } \{x\})) \sqcup \mathcal{G}_a\ e)$$

$$\mathcal{G}_a(\text{Bool } b) = \perp$$

$$\mathcal{G}_a(\text{scrut } ?\ e1 : e2) =$$

$$\mathcal{G}_0\ \text{scrut} \sqcup (\mathcal{G}_a\ e1 \sqcup \mathcal{G}_a\ e2) \sqcup$$

$$\text{edom } (\mathcal{A}_0\ \text{scrut})\ G \times (\text{edom } (\mathcal{A}_a\ e1) \cup \text{edom } (\mathcal{A}_a\ e2))$$

$\langle \text{proof} \rangle$

definition *Aheap where*

$\text{Aheap } \Gamma\ e = (\Lambda\ a.\ \text{if nonrec } \Gamma\ \text{then } (\text{case-prod } \text{Aheap-nonrec } (\text{hd } \Gamma))\cdot (\text{Aexp } e\cdot a, CCexp\ e\cdot a)$
 $\text{else } (\text{Afix } \Gamma\cdot (\text{Aexp } e\cdot a \sqcup (\lambda\cdot.\text{up}\cdot 0)\ f|'\ \text{thunks } \Gamma))\ f|'\ \text{dom } A\ \Gamma)$

lemma *Aheap-simp1[simp]*:

$$\neg \text{nonrec } \Gamma \implies \text{Aheap } \Gamma\ e\cdot a = (\text{Afix } \Gamma\cdot (\text{Aexp } e\cdot a \sqcup (\lambda\cdot.\text{up}\cdot 0)\ f|'\ \text{thunks } \Gamma))\ f|'\ \text{dom } A\ \Gamma$$

$\langle \text{proof} \rangle$

lemma *Aheap-simp2[simp]*:

$$x \notin fv\ e' \implies \text{Aheap } [(x, e')]\ e\cdot a = \text{Aheap-nonrec } x\ e'\cdot (\text{Aexp } e\cdot a, CCexp\ e\cdot a)$$

$\langle \text{proof} \rangle$

lemma *Aheap-eqvt'[eqvt]*:

$$\pi\cdot (\text{Aheap } \Gamma\ e) = \text{Aheap } (\pi\cdot \Gamma)\ (\pi\cdot e)$$

$\langle \text{proof} \rangle$

sublocale *ArityAnalysisHeap Aheap* $\langle \text{proof} \rangle$

sublocale *ArityAnalysisHeapEqvt Aheap*
 $\langle \text{proof} \rangle$

lemma *Aexp-lam-simp*: $Aexp (Lam [x]. e) \cdot n = env\text{-}delete\ x (Aexp\ e \cdot (pred \cdot n))$
 $\langle \text{proof} \rangle$

lemma *Aexp-Let-simp1*:
 $\neg nonrec\ \Gamma \implies \mathcal{A}_a (Let\ \Gamma\ e) = (Afix\ \Gamma \cdot (\mathcal{A}_a\ e \sqcup (\lambda \cdot up \cdot 0)\ f | 'thunks\ \Gamma))\ f | '(-\ domA\ \Gamma)$
 $\langle \text{proof} \rangle$

lemma *Aexp-Let-simp2*:
 $x \notin fv\ e \implies \mathcal{A}_a (let\ x\ be\ e\ in\ exp) = env\text{-}delete\ x (\mathcal{A}^\perp\ ABind\text{-}nonrec\ x\ e \cdot (\mathcal{A}_a\ exp, CCexp\ exp \cdot a))$
 $e \sqcup \mathcal{A}_a\ exp)$
 $\langle \text{proof} \rangle$

lemma *Aexp-simps[simp]*:
 $\mathcal{A}_a (Var\ x) = esing\ x \cdot (up \cdot a)$
 $\mathcal{A}_a (Lam\ [x].\ e) = env\text{-}delete\ x (\mathcal{A}_{pred \cdot a}\ e)$
 $\mathcal{A}_a (App\ e\ x) = Aexp\ e \cdot (inc \cdot a) \sqcup esing\ x \cdot (up \cdot 0)$
 $\neg nonrec\ \Gamma \implies \mathcal{A}_a (Let\ \Gamma\ e) =$
 $(Afix\ \Gamma \cdot (\mathcal{A}_a\ e \sqcup (\lambda \cdot up \cdot 0)\ f | 'thunks\ \Gamma))\ f | '(-\ domA\ \Gamma)$
 $x \notin fv\ e' \implies \mathcal{A}_a (let\ x\ be\ e'\ in\ e) =$
 $env\text{-}delete\ x (\mathcal{A}^\perp\ ABind\text{-}nonrec\ x\ e' \cdot (\mathcal{A}_a\ e, \mathcal{G}_a\ e)\ e' \sqcup \mathcal{A}_a\ e)$
 $\mathcal{A}_a (Bool\ b) = \perp$
 $\mathcal{A}_a (scrut\ ?\ e1 : e2) = \mathcal{A}_0\ scrut \sqcup \mathcal{A}_a\ e1 \sqcup \mathcal{A}_a\ e2$
 $\langle \text{proof} \rangle$

end

end

13.2 CoCallImplSafe

theory *CoCallImplSafe*
imports *CoCallAnalysisImpl CoCallAnalysisSpec ArityAnalysisFixProps*
begin

locale *CoCallImplSafe*
begin
sublocale *CoCallAnalysisImpl* $\langle \text{proof} \rangle$

lemma *ccNeighbors-Int-ccrestr*: $(ccNeighbors\ x\ G \cap S) = ccNeighbors\ x\ (cc\text{-}restr\ (insert\ x\ S)\ G) \cap S$
 $\langle \text{proof} \rangle$

lemma

assumes $x \notin S$ **and** $y \notin S$

shows $CCexp\text{-}subst: cc\text{-}restr\ S\ (CCexp\ e[y::=x]\cdot a) = cc\text{-}restr\ S\ (CCexp\ e\cdot a)$

and $Aexp\text{-}restr\text{-}subst: (Aexp\ e[y::=x]\cdot a)\ f|' S = (Aexp\ e\cdot a)\ f|' S$

$\langle proof \rangle$

sublocale $ArityAnalysisSafe\ Aexp$

$\langle proof \rangle$

sublocale $ArityAnalysisLetSafe\ Aexp\ Aheap$

$\langle proof \rangle$

definition $ccHeap\text{-}nonrec$

where $ccHeap\text{-}nonrec\ x\ e\ exp = (\Lambda\ n.\ CCfix\text{-}nonrec\ x\ e\cdot (Aexp\ exp\cdot n,\ CCexp\ exp\cdot n))$

lemma $ccHeap\text{-}nonrec\text{-}eq$:

$ccHeap\text{-}nonrec\ x\ e\ exp\cdot n = CCfix\text{-}nonrec\ x\ e\cdot (Aexp\ exp\cdot n,\ CCexp\ exp\cdot n)$

$\langle proof \rangle$

definition $ccHeap\text{-}rec :: heap \Rightarrow exp \Rightarrow Arity \rightarrow CoCalls$

where $ccHeap\text{-}rec\ \Gamma\ e = (\Lambda\ a.\ CCfix\ \Gamma\cdot (Afix\ \Gamma\cdot (Aexp\ e\cdot a \sqcup (\lambda\cdot up\cdot 0)\ f|' (thunks\ \Gamma)), CCexp\ e\cdot a))$

lemma $ccHeap\text{-}rec\text{-}eq$:

$ccHeap\text{-}rec\ \Gamma\ e\cdot a = CCfix\ \Gamma\cdot (Afix\ \Gamma\cdot (Aexp\ e\cdot a \sqcup (\lambda\cdot up\cdot 0)\ f|' (thunks\ \Gamma)), CCexp\ e\cdot a)$

$\langle proof \rangle$

definition $ccHeap :: heap \Rightarrow exp \Rightarrow Arity \rightarrow CoCalls$

where $ccHeap\ \Gamma = (if\ nonrec\ \Gamma\ then\ case\text{-}prod\ ccHeap\text{-}nonrec\ (hd\ \Gamma)\ else\ ccHeap\text{-}rec\ \Gamma)$

lemma $ccHeap\text{-}simp1$:

$\neg nonrec\ \Gamma \implies ccHeap\ \Gamma\ e\cdot a = CCfix\ \Gamma\cdot (Afix\ \Gamma\cdot (Aexp\ e\cdot a \sqcup (\lambda\cdot up\cdot 0)\ f|' (thunks\ \Gamma)), CCexp\ e\cdot a)$

$\langle proof \rangle$

lemma $ccHeap\text{-}simp2$:

$x \notin fv\ e \implies ccHeap\ [(x,e)]\ exp\cdot n = CCfix\text{-}nonrec\ x\ e\cdot (Aexp\ exp\cdot n,\ CCexp\ exp\cdot n)$

$\langle proof \rangle$

sublocale $CoCallAritySafe\ CCexp\ Aexp\ ccHeap\ Aheap$

$\langle proof \rangle$

end

end

14 End-to-end Saftey Results and Example

14.1 CallAriyEnd2End

```

theory CallAriyEnd2End
imports AriyTransform CoCallAnalysisImpl
begin

locale CallAriyEnd2End
begin
sublocale CoCallAnalysisImpl⟨proof⟩

lemma fresh-var-eqE[elim-format]: fresh-var  $e = x \implies x \notin \text{fv } e$ 
  ⟨proof⟩

lemma example1:
  fixes  $e :: \text{exp}$ 
  fixes  $f\ g\ x\ y\ z :: \text{var}$ 
  assumes  $\text{Aexp-}e: \bigwedge a. \text{Aexp } e \cdot a = \text{esing } x \cdot (\text{up} \cdot a) \sqcup \text{esing } y \cdot (\text{up} \cdot a)$ 
  assumes  $\text{ccExp-}e: \bigwedge a. \text{CCexp } e \cdot a = \perp$ 
  assumes [simp]: transform 1  $e = e$ 
  assumes isVal  $e$ 
  assumes disj:  $y \neq f\ y \neq g\ x \neq y\ z \neq f\ z \neq g\ y \neq x$ 
  assumes fresh:  $\text{atom } z \# e$ 
  shows transform 1 (let  $y$  be App (Var  $f$ )  $g$  in (let  $x$  be  $e$  in (Var  $x$ ))) =
    let  $y$  be (Lam [ $z$ ]. App (App (Var  $f$ )  $g$ )  $z$ ) in (let  $x$  be (Lam [ $z$ ]. App  $e\ z$ ) in (Var  $x$ ))
  ⟨proof⟩

end
end

```

14.2 CallAriyEnd2EndSafe

```

theory CallAriyEnd2EndSafe
imports CallAriyEnd2End CardAriyTransformSafe CoCallImplSafe CoCallImplTTreeSafe TTreeImplCardinalitySafe
begin

locale CallAriyEnd2EndSafe
begin
sublocale CoCallImplSafe⟨proof⟩
sublocale CallAriyEnd2End⟨proof⟩

abbreviation transform-syn' ( $\langle \mathcal{T} \cdot \_ \rangle$ ) where  $\mathcal{T}_a \equiv \text{transform } a$ 

lemma end2end:
   $c \Rightarrow^* c' \implies$ 
   $\neg \text{boring-step } c' \implies$ 

```

$heap\text{-}upds\text{-}ok\text{-}conf\ c \implies$
 $consistent\ (ae, ce, a, as, r)\ c \implies$
 $\exists ae'\ ce'\ a'\ as'\ r'.\ consistent\ (ae', ce', a', as', r')\ c' \wedge conf\text{-}transform\ (ae, ce, a, as, r)\ c \Rightarrow_G^*$
 $conf\text{-}transform\ (ae', ce', a', as', r')\ c'$
 $\langle proof \rangle$

theorem *end2end-closed*:

assumes *closed*: $fv\ e = (\{\} :: var\ set)$
assumes $([], e, []) \Rightarrow^* (\Gamma, v, [])$ **and** *isVal* v
obtains Γ' **and** v'
where $([], \mathcal{T}_0\ e, []) \Rightarrow^* (\Gamma', v', [])$ **and** *isVal* v'
and $card\ (domA\ \Gamma') \leq card\ (domA\ \Gamma)$

$\langle proof \rangle$

lemma *fresh-var-eqE[elim-format]*: $fresh\text{-}var\ e = x \implies x \notin fv\ e$

$\langle proof \rangle$

lemma *example1*:

fixes $e :: exp$
fixes $f\ g\ x\ y\ z :: var$
assumes *Aexp-e*: $\bigwedge a. Aexp\ e \cdot a = esing\ x \cdot (up \cdot a) \sqcup esing\ y \cdot (up \cdot a)$
assumes *ccExp-e*: $\bigwedge a. CCexp\ e \cdot a = \perp$
assumes [*simp*]: $transform\ 1\ e = e$
assumes *isVal* e
assumes *disj*: $y \neq f\ y \neq g\ x \neq y\ z \neq f\ z \neq g\ y \neq x$
assumes *fresh*: $atom\ z \nmid e$
shows $transform\ 1\ (let\ y\ be\ App\ (Var\ f)\ g\ in\ (let\ x\ be\ e\ in\ (Var\ x))) =$
 $let\ y\ be\ (Lam\ [z].\ App\ (App\ (Var\ f)\ g)\ z)\ in\ (let\ x\ be\ (Lam\ [z].\ App\ e\ z)\ in\ (Var\ x))$

$\langle proof \rangle$

end

end

15 Functional Correctness of the Arity Analysis

15.1 ArityAnalysisCorrDenotational

theory *ArityAnalysisCorrDenotational*

imports *ArityAnalysisSpec Launchbury.Denotational ArityTransform*

begin

context *ArityAnalysisLetSafe*

begin

inductive *eq* :: *Arity* $\Rightarrow$ *Value* $\Rightarrow$ *Value* $\Rightarrow$ *bool* **where**

$eq\ 0\ v\ v$

$| (\bigwedge v.\ eq\ n\ (v1\ \downarrow Fn\ v)\ (v2\ \downarrow Fn\ v)) \implies eq\ (inc \cdot n)\ v1\ v2$

lemma [simp]: $eq\ 0\ v\ v' \longleftrightarrow v = v'$
 ⟨proof⟩

lemma eq-inc-simp:
 $eq\ (inc \cdot n)\ v1\ v2 \longleftrightarrow (\forall\ v.\ eq\ n\ (v1\ \downarrow Fn\ v)\ (v2\ \downarrow Fn\ v))$
 ⟨proof⟩

lemma eq-FnI:
 $(\bigwedge\ v.\ eq\ (pred \cdot n)\ (f1 \cdot v)\ (f2 \cdot v)) \implies eq\ n\ (Fn \cdot f1)\ (Fn \cdot f2)$
 ⟨proof⟩

lemma eq-refl[simp]: $eq\ a\ v\ v$
 ⟨proof⟩

lemma eq-trans[trans]: $eq\ a\ v1\ v2 \implies eq\ a\ v2\ v3 \implies eq\ a\ v1\ v3$
 ⟨proof⟩

lemma eq-Fn: $eq\ a\ v1\ v2 \implies eq\ (pred \cdot a)\ (v1\ \downarrow Fn\ v)\ (v2\ \downarrow Fn\ v)$
 ⟨proof⟩

lemma eq-inc-same: $eq\ a\ v1\ v2 \implies eq\ (inc \cdot a)\ v1\ v2$
 ⟨proof⟩

lemma eq-mono: $a \sqsubseteq a' \implies eq\ a'\ v1\ v2 \implies eq\ a\ v1\ v2$
 ⟨proof⟩

lemma eq-join[simp]: $eq\ (a \sqcup a')\ v1\ v2 \longleftrightarrow eq\ a\ v1\ v2 \wedge eq\ a'\ v1\ v2$
 ⟨proof⟩

lemma eq-adm: $cont\ f \implies cont\ g \implies adm\ (\lambda\ x.\ eq\ a\ (f\ x)\ (g\ x))$
 ⟨proof⟩

inductive eqQ :: $AEnv \Rightarrow (var \Rightarrow Value) \Rightarrow (var \Rightarrow Value) \Rightarrow bool$ **where**
 eqQI: $(\bigwedge\ x\ a.\ ae\ x = up \cdot a \implies eq\ a\ (\varrho1\ x)\ (\varrho2\ x)) \implies eqQ\ ae\ \varrho1\ \varrho2$

lemma eqQE: $eqQ\ ae\ \varrho1\ \varrho2 \implies ae\ x = up \cdot a \implies eq\ a\ (\varrho1\ x)\ (\varrho2\ x)$
 ⟨proof⟩

lemma eqQ-refl[simp]: $eqQ\ ae\ \varrho\ \varrho$
 ⟨proof⟩

lemma eq-esing-up[simp]: $eqQ\ (esing\ x \cdot (up \cdot a))\ \varrho1\ \varrho2 \longleftrightarrow eq\ a\ (\varrho1\ x)\ (\varrho2\ x)$
 ⟨proof⟩

lemma eqQ-mono:
 assumes $ae \sqsubseteq ae'$
 assumes $eqQ\ ae'\ \varrho1\ \varrho2$
 shows $eqQ\ ae\ \varrho1\ \varrho2$

$\langle \text{proof} \rangle$

lemma *eq_Q-adm*: $\text{cont } f \implies \text{cont } g \implies \text{adm } (\lambda x. \text{eq}_Q a (f x) (g x))$
 $\langle \text{proof} \rangle$

lemma *up-join-eq-up[simp]*: $\text{up} \cdot (n :: 'a :: \text{Finite-Join-cpo}) \sqcup \text{up} \cdot n' = \text{up} \cdot (n \sqcup n')$
 $\langle \text{proof} \rangle$

lemma *eq_Q-join[simp]*: $\text{eq}_Q (ae \sqcup ae') \varrho_1 \varrho_2 \longleftrightarrow \text{eq}_Q ae \varrho_1 \varrho_2 \wedge \text{eq}_Q ae' \varrho_1 \varrho_2$
 $\langle \text{proof} \rangle$

lemma *eq_Q-override[simp]*:
 $\text{eq}_Q ae (\varrho_1 ++_S \varrho_2) (\varrho_1' ++_S \varrho_2') \longleftrightarrow \text{eq}_Q ae (\varrho_1 f|' (- S)) (\varrho_1' f|' (- S)) \wedge \text{eq}_Q ae (\varrho_2 f|' S) (\varrho_2' f|' S)$
 $\langle \text{proof} \rangle$

lemma *Aexp-heap-below-Aheap*:
assumes $(Aheap \Gamma e \cdot a) x = \text{up} \cdot a'$
assumes $\text{map-of } \Gamma x = \text{Some } e'$
shows $Aexp e' \cdot a' \sqsubseteq Aheap \Gamma e \cdot a \sqcup Aexp (Let \Gamma e) \cdot a$
 $\langle \text{proof} \rangle$

lemma *Aexp-body-below-Aheap*:
shows $Aexp e \cdot a \sqsubseteq Aheap \Gamma e \cdot a \sqcup Aexp (Let \Gamma e) \cdot a$
 $\langle \text{proof} \rangle$

lemma *Aexp-correct*: $\text{eq}_Q (Aexp e \cdot a) \varrho_1 \varrho_2 \implies \text{eq } a (\llbracket e \rrbracket_{\varrho_1}) (\llbracket e \rrbracket_{\varrho_2})$
 $\langle \text{proof} \rangle$

lemma *ESem-ignores-fresh[simp]*: $\llbracket e \rrbracket_{\varrho}(\text{fresh-var } e := v) = \llbracket e \rrbracket_{\varrho}$
 $\langle \text{proof} \rangle$

lemma *eq-Aeta-expand*: $\text{eq } a (\llbracket Aeta\text{-expand } a e \rrbracket_{\varrho}) (\llbracket e \rrbracket_{\varrho})$
 $\langle \text{proof} \rangle$

lemma *Arity-transformation-correct*: $\text{eq } a (\llbracket \mathcal{T}_a e \rrbracket_{\varrho}) (\llbracket e \rrbracket_{\varrho})$
 $\langle \text{proof} \rangle$

corollary *Arity-transformation-correct'*:
 $\llbracket \mathcal{T}_0 e \rrbracket_{\varrho} = \llbracket e \rrbracket_{\varrho}$
 $\langle \text{proof} \rangle$

end
end