

A Verified Code Generator from Isabelle/HOL to CakeML

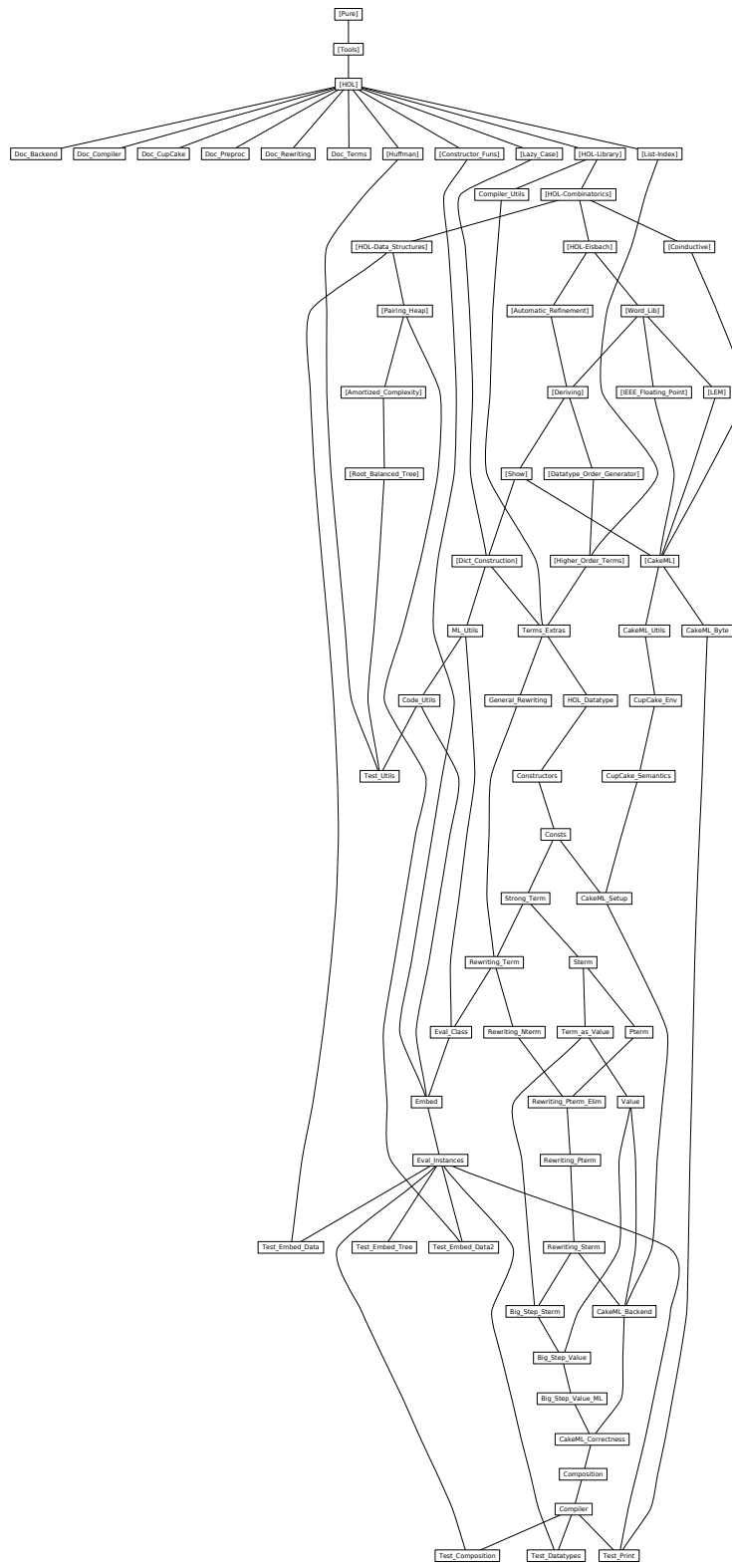
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Chapter 1

Terms

```
theory Doc-Terms
imports Main
begin

end
```

1.1 Additional material over the *Higher-Order-Terms* AFP entry

```
theory Terms-Extras
imports
  ../Utils/Compiler-Utils
  Higher-Order-Terms.Pats
  Dict-Construction.Dict-Construction
begin

no-notation Mpat-Antiquot.mpaq-App (infixl $$ 900)
```

$\langle ML \rangle$

```
primrec basic-rule :: -  $\Rightarrow$  bool where
basic-rule (lhs, rhs)  $\longleftrightarrow$ 
  linear lhs  $\wedge$ 
  is-const (fst (strip-comb lhs))  $\wedge$ 
   $\neg$  is-const lhs  $\wedge$ 
  frees rhs  $|\subseteq|$  frees lhs
```

```
lemma basic-ruleI[intro]:
  assumes linear lhs
  assumes is-const (fst (strip-comb lhs))
  assumes  $\neg$  is-const lhs
  assumes frees rhs  $|\subseteq|$  frees lhs
  shows basic-rule (lhs, rhs)
```

<proof>

primrec *split-rule* :: (term × 'a) ⇒ (name × (term list × 'a)) **where**
split-rule (lhs, rhs) = (let (name, args) = strip-comb lhs in (const-name name,
(args, rhs)))

fun *unsplit-rule* :: (name × (term list × 'a)) ⇒ (term × 'a) **where**
unsplit-rule (name, (args, rhs)) = (name \$\$ args, rhs)

lemma *split-unsplit*: *split-rule* (*unsplit-rule* t) = t
<proof>

lemma *unsplit-split*:
 assumes *basic-rule* r
 shows *unsplit-rule* (*split-rule* r) = r
<proof>

datatype *pat* = *Patvar* name | *Patconstr* name *pat* list

fun *mk-pat* :: term ⇒ *pat* **where**
mk-pat *pat* = (case strip-comb *pat* of (Const s, args) ⇒ *Patconstr* s (map *mk-pat*
args) | (Free s, []) ⇒ *Patvar* s)

declare *mk-pat.simps[simp del]*

lemma *mk-pat-simps[simp]*:
 mk-pat (name \$\$ args) = *Patconstr* name (map *mk-pat* args)
 mk-pat (Free name) = *Patvar* name
<proof>

primrec *patvars* :: *pat* ⇒ name fset **where**
patvars (*Patvar* name) = { | name | } |
patvars (*Patconstr* - ps) = ffUnion (fset-of-list (map *patvars* ps))

lemma *mk-pat-frees*:
 assumes *linear* p
 shows *patvars* (*mk-pat* p) = *frees* p
<proof>

This definition might seem a little counter-intuitive. Assume we have two defining equations of a function, e.g. *map*: *map* f [] = [] *map* f (x # xs) = f x # *map* f xs The pattern "matrix" is compiled right-to-left. Equal patterns are grouped together. This definition is needed to avoid the following situation: *map* f [] = [] *map* g (x # xs) = g x # *map* g xs While this is logically the same as above, the problem is that *f* and *g* are overlapping but distinct patterns. Hence, instead of grouping them together, they stay separate. This leads to overlapping patterns in the target language which will produce wrong results. One way to deal with this is to rename problematic variables before

invoking the compiler.

```
fun pattern-compatible :: term  $\Rightarrow$  term  $\Rightarrow$  bool where  
pattern-compatible (t1 $ t2) (u1 $ u2)  $\longleftrightarrow$  pattern-compatible t1 u1  $\wedge$  (t1 = u1  $\longrightarrow$   
pattern-compatible t2 u2) |  
pattern-compatible t u  $\longleftrightarrow$  t = u  $\vee$  non-overlapping t u
```

```
lemmas pattern-compatible-simps[simp] =  
  pattern-compatible.simps[folded app-term-def]
```

```
lemmas pattern-compatible-induct = pattern-compatible.induct[case-names app-app]
```

```
lemma pattern-compatible-refl[intro?]: pattern-compatible t t  
<proof>
```

```
corollary pattern-compatible-reflP[intro!]: reflp pattern-compatible  
<proof>
```

```
lemma pattern-compatible-cases[consumes 1]:  
  assumes pattern-compatible t u  
  obtains (eq) t = u  
    | (non-overlapping) non-overlapping t u  
<proof>
```

```
inductive rev-accum-rel :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool for R  
where  
nil: rev-accum-rel R [] [] |  
snoc: rev-accum-rel R xs ys  $\Longrightarrow$  (xs = ys  $\Longrightarrow$  R x y)  $\Longrightarrow$  rev-accum-rel R (xs @  
[x]) (ys @ [y])
```

```
lemma rev-accum-rel-refl[intro]: reflp R  $\Longrightarrow$  rev-accum-rel R xs xs  
<proof>
```

```
lemma rev-accum-rel-length:  
  assumes rev-accum-rel R xs ys  
  shows length xs = length ys  
<proof>
```

context begin

```
private inductive-cases rev-accum-relE[consumes 1, case-names nil snoc]: rev-accum-rel  
P xs ys
```

```
lemma rev-accum-rel-butlast[intro]:  
  assumes rev-accum-rel P xs ys  
  shows rev-accum-rel P (butlast xs) (butlast ys)  
<proof>
```

```
lemma rev-accum-rel-snoc-eqE: rev-accum-rel P (xs @ [a]) (xs @ [b])  $\Longrightarrow$  P a b  
<proof>
```

end

abbreviation *patterns-compatible* :: *term list* \Rightarrow *term list* \Rightarrow *bool* **where**
patterns-compatible \equiv *rev-accum-rel pattern-compatible*

abbreviation *patterns-compatibles* :: (*term list* \times 'a) *fset* \Rightarrow *bool* **where**
patterns-compatibles \equiv *fpairwise* (λ (*pats*₁, -) (*pats*₂, -). *patterns-compatible pats*₁ *pats*₂)

lemma *pattern-compatible-combD*:
assumes *length xs = length ys pattern-compatible (list-comb f xs) (list-comb f ys)*
shows *patterns-compatible xs ys*
(*proof*)

lemma *pattern-compatible-combI[intro]*:
assumes *patterns-compatible xs ys pattern-compatible f g*
shows *pattern-compatible (list-comb f xs) (list-comb g ys)*
(*proof*)

experiment begin

— The above example can be made concrete here. In general, the following identity does not hold:

lemma *pattern-compatible t u \longleftrightarrow t = u \vee non-overlapping t u*
(*proof*)

definition *pats1* = [*Free (Name "f")*, *Const (Name "nil")*]
definition *pats2* = [*Free (Name "g")*, *Const (Name "cons")*] \$ *Free (Name "x")*
\$ *Free (Name "xs")*]

proposition *non-overlapping (list-comb c pats1) (list-comb c pats2)*
(*proof*)

proposition \neg *patterns-compatible pats1 pats2*
(*proof*)

end

abbreviation *pattern-compatibles* :: (*term* \times 'a) *fset* \Rightarrow *bool* **where**
pattern-compatibles \equiv *fpairwise* (λ (*lhs*₁, -) (*lhs*₂, -). *pattern-compatible lhs*₁ *lhs*₂)

corollary *match-compatible-pat-eq*:
assumes *pattern-compatible t₁ t₂ linear t₁ linear t₂*
assumes *match t₁ u = Some env₁ match t₂ u = Some env₂*
shows *t₁ = t₂*
(*proof*)

corollary *match-compatible-env-eq*:

assumes *pattern-compatible* t_1 t_2 *linear* t_1 *linear* t_2
assumes *match* t_1 $u = \text{Some } env_1$ *match* t_2 $u = \text{Some } env_2$
shows $env_1 = env_2$

<proof>

corollary *matches-compatible-eq*:

assumes *patterns-compatible* ts_1 ts_2 *linears* ts_1 *linears* ts_2
assumes *matches* ts_1 $us = \text{Some } env_1$ *matches* ts_2 $us = \text{Some } env_2$
shows $ts_1 = ts_2$ $env_1 = env_2$

<proof>

lemma *compatible-find-match*:

assumes *pattern-compatibles* (*fset-of-list* cs) *list-all* (*linear* \circ *fst*) cs *is-fmap*
(*fset-of-list* cs)

assumes *match* pat $t = \text{Some } env$ (pat, rhs) \in *set* cs

shows *find-match* cs $t = \text{Some } (env, pat, rhs)$

<proof>

context *term begin*

definition *arity-compatible* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ **where**

arity-compatible t_1 $t_2 =$ (
 let

 ($head_1, pats_1$) = *strip-comb* t_1 ;

 ($head_2, pats_2$) = *strip-comb* t_2

in $head_1 = head_2 \longrightarrow \text{length } pats_1 = \text{length } pats_2$

)

abbreviation *arity-compatibles* :: $('a \times 'b)$ *fset* $\Rightarrow \text{bool}$ **where**

arity-compatibles \equiv *fpairwise* ($\lambda(lhs_1, -)$ ($lhs_2, -$). *arity-compatible* lhs_1 lhs_2)

definition *head* :: $'a \Rightarrow \text{name}$ **where**

head $t \equiv \text{const-name } (\text{fst } (\text{strip-comb } t))$

abbreviation *heads-of* :: $(\text{term} \times 'a)$ *fset* $\Rightarrow \text{name fset}$ **where**

heads-of $rs \equiv (\text{head} \circ \text{fst}) \upharpoonright rs$

end

definition *arity* :: $('a \text{ list} \times 'b)$ *fset* $\Rightarrow \text{nat}$ **where**

arity $rs = \text{fthe-elem}' ((\text{length} \circ \text{fst}) \upharpoonright rs)$

lemma *arityI*:

assumes *fBall* rs ($\lambda(pats, -)$. $\text{length } pats = n$) $rs \neq \{\}$

shows *arity* $rs = n$

<proof>

end

1.2 Reflecting HOL datatype definitions

```
theory HOL-Datatype
imports
  Terms-Extras
  HOL-Library.Datatype-Records
  HOL-Library.Finite-Map
  Higher-Order-Terms.Name
begin

datatype typ =
  TVar name |
  TApp name typ list

datatype-compat typ

context begin

qualified definition tapp-0 where
tapp-0 tc = TApp tc []

qualified definition tapp-1 where
tapp-1 tc t1 = TApp tc [t1]

qualified definition tapp-2 where
tapp-2 tc t1 t2 = TApp tc [t1, t2]

end

quickcheck-generator typ
  constructors:
    TVar,
    HOL-Datatype.tapp-0,
    HOL-Datatype.tapp-1,
    HOL-Datatype.tapp-2

datatype-record dt-def =
  tparams :: name list
  constructors :: (name, typ list) fmap

⟨ML⟩

end
```

1.3 Constructor information

```
theory Constructors
imports
  Terms-Extras
```

```

    HOL-Datatype
begin

type-synonym C-info = (name, dt-def) fmap

locale constructors =
  fixes C-info :: C-info
begin

definition flat-C-info :: (string × nat × string) list where
flat-C-info = do {
  (tname, Cs) ← sorted-list-of-fmap C-info;
  (C, params) ← sorted-list-of-fmap (constructors Cs);
  [(as-string C, (length params, as-string tname))]
}

definition all-tdefs :: name fset where
all-tdefs = fmdom C-info

definition C :: name fset where
C = ffUnion (fmdom |1 constructors |1 fmrn C-info)

definition all-constructors :: name list where
all-constructors =
  concat (map (λ(-, Cs). map fst (sorted-list-of-fmap (constructors Cs))) (sorted-list-of-fmap C-info))

end

declare constructors.C-def[code]
declare constructors.flat-C-info-def[code]
declare constructors.all-constructors-def[code]

export-code
  constructors.C constructors.flat-C-info constructors.all-constructors
  checking Scala

end

```

1.4 Special constants

```

theory Consts
imports
  Constructors
  Higher-Order-Terms.Nterm
begin

```

```

locale special-constants = constructors

locale pre-constants = special-constants +
  fixes heads :: name fset
begin

definition all-consts :: name fset where
all-consts = heads  $\cup$  C

abbreviation welldefined :: 'a::term  $\Rightarrow$  bool where
welldefined t  $\equiv$  consts t  $\subseteq$  all-consts

sublocale welldefined: simple-syntactic-and welldefined
   $\langle$ proof $\rangle$ 

end

declare pre-constants.all-consts-def[code]

locale constants = pre-constants +
  assumes disjnt: fdisjnt heads C
  — Conceptually the following assumptions should belong into constructors, but I
  prefer to keep that one assumption-free.
  assumes distinct-ctr: distinct all-constructors
begin

lemma distinct-ctr': distinct (map as-string all-constructors)
   $\langle$ proof $\rangle$ 

end

end

```

1.5 Term algebra extended with wellformedness

```

theory Strong-Term
imports Consts
begin

class pre-strong-term = term +
  fixes wellformed :: 'a  $\Rightarrow$  bool
  fixes all-frees :: 'a  $\Rightarrow$  name fset
  assumes wellformed-const[simp]: wellformed (const name)
  assumes wellformed-free[simp]: wellformed (free name)
  assumes wellformed-app[simp]: wellformed (app u1 u2)  $\longleftrightarrow$  wellformed u1  $\wedge$ 
wellformed u2
  assumes all-frees-const[simp]: all-frees (const name) = fempty
  assumes all-frees-free[simp]: all-frees (free name) =  $\{$ | name  $\}$ 

```

```

assumes all-frees-app[simp]: all-frees (app  $u_1$   $u_2$ ) = all-frees  $u_1$  | $\cup$ | all-frees  $u_2$ 
begin

abbreviation wellformed-env :: (name, 'a) fmap  $\Rightarrow$  bool where
wellformed-env  $\equiv$  fmpred ( $\lambda$ -. wellformed)

end

context pre-constants begin

definition shadows-consts :: 'a::pre-strong-term  $\Rightarrow$  bool where
shadows-consts  $t \iff \neg$  fdisjnt all-consts (all-frees  $t$ )

sublocale shadows: simple-syntactic-or shadows-consts
  <proof>

abbreviation not-shadows-consts-env :: (name, 'a::pre-strong-term) fmap  $\Rightarrow$  bool
where
not-shadows-consts-env  $\equiv$  fmpred ( $\lambda$ -.  $s$ .  $\neg$  shadows-consts  $s$ )

end

declare pre-constants.shadows-consts-def[code]

class strong-term = pre-strong-term +
  assumes raw-frees-all-frees: abs-pred ( $\lambda$  $t$ . frees  $t$  | $\subseteq$ | all-frees  $t$ )  $t$ 
  assumes raw-subst-wellformed: abs-pred ( $\lambda$  $t$ . wellformed  $t \longrightarrow (\forall$  env. wellformed-env
env  $\longrightarrow$  wellformed (subst  $t$  env)))  $t$ 
begin

lemma frees-all-frees: frees  $t$  | $\subseteq$ | all-frees  $t$ 
  <proof>

lemma subst-wellformed: wellformed  $t \implies$  wellformed-env env  $\implies$  wellformed
(subst  $t$  env)
  <proof>

end

global-interpretation wellformed: subst-syntactic-and wellformed :: 'a::strong-term
 $\Rightarrow$  bool
  <proof>

instantiation term :: strong-term begin

fun all-frees-term :: term  $\Rightarrow$  name fset where
all-frees-term (Free  $x$ ) = { $|$   $x$   $|$ } |
all-frees-term ( $t_1$   $\$$   $t_2$ ) = all-frees-term  $t_1$  | $\cup$ | all-frees-term  $t_2$  |
all-frees-term ( $\Lambda$   $t$ ) = all-frees-term  $t$  |

```

all-frees-term - = {||}

lemma *frees-all-frees-term*[simp]: *all-frees t = frees (t::term)*
<proof>

definition *wellformed-term* :: *term* \Rightarrow *bool* **where**
[simp]: *wellformed-term t* \longleftrightarrow *Term.wellformed t*

instance <proof>

end

instantiation *nterm* :: *strong-term* **begin**

definition *wellformed-nterm* :: *nterm* \Rightarrow *bool* **where**
[simp]: *wellformed-nterm t* \longleftrightarrow *True*

fun *all-frees-nterm* :: *nterm* \Rightarrow *name fset* **where**
all-frees-nterm (Nvar x) = { | x | } |
all-frees-nterm (t₁ \$_n t₂) = all-frees-nterm t₁ | \cup | all-frees-nterm t₂ |
all-frees-nterm ($\Lambda_n x. t$) = finsert x (all-frees-nterm t) |
all-frees-nterm (Nconst -) = {||}

instance <proof>

end

lemma (**in** *pre-constants*) *shadows-consts-frees*:
 fixes *t* :: '*a*::*strong-term*
 shows \neg *shadows-consts t* \Longrightarrow *fdisjnt all-consts (frees t)*
<proof>

abbreviation *wellformed-clauses* :: - \Rightarrow *bool* **where**
wellformed-clauses cs \equiv *list-all* ($\lambda(pat, t). linear\ pat \wedge wellformed\ t$) *cs* \wedge *distinct*
(map fst cs) \wedge cs \neq []

end

1.6 Terms with sequential pattern matching

theory *Sterm*
imports *Strong-Term*
begin

datatype *stern* =
 Sconst name |
 Svar name |
 Sabs (clauses: (term \times stern) list) |
 Sapp stern stern (infixl \$_s 70)

datatype-compat *sterm*

derive *linorder sterm*

abbreviation *Sabs-single* ($\Lambda_s \cdot - \cdot [0, 50] 50$) **where**
Sabs-single $x \text{ rhs} \equiv \text{Sabs } [(Free \ x, \text{ rhs})]$

type-synonym *sclauses* = (*term* \times *sterm*) *list*

lemma *sterm-induct*[*case-names Sconst Svar Sabs Sapp*]:

assumes $\bigwedge x. P \ (Sconst \ x)$

assumes $\bigwedge x. P \ (Svar \ x)$

assumes $\bigwedge cs. (\bigwedge pat \ t. (pat, \ t) \in \text{set } cs \implies P \ t) \implies P \ (Sabs \ cs)$

assumes $\bigwedge t \ u. P \ t \implies P \ u \implies P \ (t \ \$_s \ u)$

shows $P \ t$

<proof>

instantiation *sterm* :: *pre-term begin*

definition *app-sterm* **where**

app-sterm $t \ u = t \ \$_s \ u$

fun *unapp-sterm* **where**

unapp-sterm $(t \ \$_s \ u) = \text{Some } (t, \ u) \mid$

unapp-sterm $- = \text{None}$

definition *const-sterm* **where**

const-sterm = *Sconst*

fun *unconst-sterm* **where**

unconst-sterm $(Sconst \ name) = \text{Some } name \mid$

unconst-sterm $- = \text{None}$

fun *unfree-sterm* **where**

unfree-sterm $(Svar \ name) = \text{Some } name \mid$

unfree-sterm $- = \text{None}$

definition *free-sterm* **where**

free-sterm = *Svar*

fun *frees-sterm* **where**

frees-sterm $(Svar \ name) = \{|name|\} \mid$

frees-sterm $(Sconst \ -) = \{|\} \mid$

frees-sterm $(Sabs \ cs) = \text{ffUnion } (\text{fset-of-list } (\text{map } (\lambda(pat, \ rhs). \text{frees-sterm } rhs \ - \text{frees } pat) \ cs)) \mid$

frees-sterm $(t \ \$_s \ u) = \text{frees-sterm } t \ \cup \ \text{frees-sterm } u$

fun *subst-sterm* **where**

```

subst-term (Svar s) env = (case fmllookup env s of Some t => t | None => Svar s)
|
subst-term (t1 $s t2) env = subst-term t1 env $s subst-term t2 env |
subst-term (Sabs cs) env = Sabs (map (λ(pat, rhs). (pat, subst-term rhs (fmdrop-fset
(frees pat) env))) cs) |
subst-term t env = t

```

```

fun consts-term :: term => name fset where
consts-term (Svar -) = {||} |
consts-term (Sconst name) = {|name|} |
consts-term (Sabs cs) = ffUnion (fset-of-list (map (λ(-, rhs). consts-term rhs)
cs)) |
consts-term (t $s u) = consts-term t |∪| consts-term u

```

```

instance
⟨proof⟩

```

```

end

```

```

instantiation term :: term begin

```

```

definition abs-pred-term :: (term => bool) => term => bool where
[code del]: abs-pred P t <=> (∀ cs. t = Sabs cs <=> (∀ pat t. (pat, t) ∈ set cs <=> P
t) <=> P t)

```

```

lemma abs-pred-termI[intro]:
assumes ∧cs. (∧pat t. (pat, t) ∈ set cs => P t) => P (Sabs cs)
shows abs-pred P t
⟨proof⟩

```

```

instance ⟨proof⟩
including fset.lifting fmap.lifting
⟨proof⟩

```

```

end

```

```

lemma no-abs-abs[simp]: ¬ no-abs (Sabs cs)
⟨proof⟩

```

```

lemma closed-except-simps:
closed-except (Svar x) S <=> x |∈| S
closed-except (t1 $s t2) S <=> closed-except t1 S ∧ closed-except t2 S
closed-except (Sabs cs) S <=> list-all (λ(pat, t). closed-except t (S |∪| frees pat))
cs
closed-except (Sconst name) S <=> True
⟨proof⟩

```

```

lemma closed-except-sabs:
assumes closed (Sabs cs) (pat, rhs) ∈ set cs

```


shows *closed-except rhs (frees pat)*
 ⟨*proof*⟩

instantiation *term :: strong-term begin*

fun *wellformed-term :: term ⇒ bool where*
wellformed-term (t₁ \$_s t₂) ↔ wellformed-term t₁ ∧ wellformed-term t₂ |
wellformed-term (Sabs cs) ↔ list-all (λ(pat, t). linear pat ∧ wellformed-term
t) cs ∧ distinct (map fst cs) ∧ cs ≠ [] |
wellformed-term - ↔ True

primrec *all-frees-term :: term ⇒ name fset where*
all-frees-term (Svar x) = {|x|} |
all-frees-term (t₁ \$_s t₂) = all-frees-term t₁ |∪| all-frees-term t₂ |
all-frees-term (Sabs cs) = ffUnion (fset-of-list (map (λ(P, T). P |∪| T) (map
(map-prod frees all-frees-term) cs))) |
all-frees-term (Sconst -) = {|}|

instance ⟨*proof*⟩

end

lemma *match-sabs[simp]: ¬ is-free t ⇒ match t (Sabs cs) = None*
 ⟨*proof*⟩

context *pre-constants begin*

lemma *welldefined-sabs: welldefined (Sabs cs) ↔ list-all (λ(-, t). welldefined t)*
cs
 ⟨*proof*⟩

lemma *shadows-consts-term-simps[simp]:*
shadows-consts (t₁ \$_s t₂) ↔ shadows-consts t₁ ∨ shadows-consts t₂
shadows-consts (Svar name) ↔ name |∈| all-consts
shadows-consts (Sabs cs) ↔ list-ex (λ(pat, t). ¬ fdisjnt all-consts (frees pat) ∨
shadows-consts t) cs
shadows-consts (Sconst name) ↔ False
 ⟨*proof*⟩

lemma *subst-shadows:*

assumes *¬ shadows-consts (t::term) not-shadows-consts-env Γ*
shows *¬ shadows-consts (subst t Γ)*
 ⟨*proof*⟩

end

end

1.7 Terms with explicit pattern matching

theory *Pterm*

imports

../Utils/Compiler-Utils

Consts

Sterm — Inclusion of this theory might seem a bit strange. Indeed, it is only for technical reasons: to allow for a **quickcheck** setup.

begin

datatype *pterm* =

Pconst name |

Pvar name |

Pabs (term × pterm) fset |

Papp pterm pterm (infixl \$_p 70)

primrec *sterm-to-pterm* :: *sterm* ⇒ *pterm* **where**

sterm-to-pterm (Sconst name) = Pconst name |

sterm-to-pterm (Svar name) = Pvar name |

sterm-to-pterm (t \$_s u) = sterm-to-pterm t \$_p sterm-to-pterm u |

sterm-to-pterm (Sabs cs) = Pabs (fset-of-list (map (map-prod id sterm-to-pterm) cs))

quickcheck-generator *pterm*

— will print some fishy “constructor” names, but at least it works

constructors: sterm-to-pterm

lemma *sterm-to-pterm-total*:

obtains *t'* **where** *t = sterm-to-pterm t'*

<proof>

lemma *pterm-induct[case-names Pconst Pvar Pabs Papp]*:

assumes $\bigwedge x. P (Pconst x)$

assumes $\bigwedge x. P (Pvar x)$

assumes $\bigwedge cs. (\bigwedge pat t. (pat, t) \in cs \implies P t) \implies P (Pabs cs)$

assumes $\bigwedge t u. P t \implies P u \implies P (t $_p u)$

shows $P t$

<proof>

instantiation *pterm* :: *pre-term* **begin**

definition *app-pterm* **where**

app-pterm t u = t \$_p u

fun *unapp-pterm* **where**

unapp-pterm (t \$_p u) = Some (t, u) |

unapp-pterm - = None

definition *const-pterm* **where**

$const\text{-}pterm = Pconst$

fun *unconst-pterm* **where**
unconst-pterm (*Pconst name*) = *Some name* |
unconst-pterm - = *None*

definition *free-pterm* **where**
free-pterm = *Pvar*

fun *unfree-pterm* **where**
unfree-pterm (*Pvar name*) = *Some name* |
unfree-pterm - = *None*

function (*sequential*) *subst-pterm* **where**
subst-pterm (*Pvar s*) *env* = (*case fmlookup env s of Some t \Rightarrow t* | *None \Rightarrow Pvar s*) |
subst-pterm (*t₁ \$_p t₂*) *env* = *subst-pterm t₁ env \$_p subst-pterm t₂ env* |
subst-pterm (*Pabs cs*) *env* = *Pabs (($\lambda(pat, rhs).$ (*pat*, *subst-pterm rhs* (*fmdrop-fset* (*frees pat*) *env*))) |^q *cs*)* |
subst-pterm t - = *t*
<proof>

termination
<proof>
including *fset.lifting* *<proof>*

primrec *consts-pterm* :: *pterm \Rightarrow name fset* **where**
consts-pterm (*Pconst x*) = $\{|x|\}$ |
consts-pterm (*t₁ \$_p t₂*) = *consts-pterm t₁ \cup consts-pterm t₂* |
consts-pterm (*Pabs cs*) = *ffUnion (snd |^q map-prod id consts-pterm |^q cs)* |
consts-pterm (*Pvar -*) = $\{|\}$

primrec *frees-pterm* :: *pterm \Rightarrow name fset* **where**
frees-pterm (*Pvar x*) = $\{|x|\}$ |
frees-pterm (*t₁ \$_p t₂*) = *frees-pterm t₁ \cup frees-pterm t₂* |
frees-pterm (*Pabs cs*) = *ffUnion (($\lambda(pv, tv).$ *tv - frees pv*) |^q *map-prod id frees-pterm* |^q *cs*)* |
frees-pterm (*Pconst -*) = $\{|\}$

instance
<proof>

end

corollary *subst-pabs-id*:

assumes $\bigwedge pat\ rhs. (pat, rhs) \in | cs \Longrightarrow subst\ rhs\ (fmdrop\text{-}fset\ (frees\ pat)\ env) = rhs$
shows *subst (Pabs cs) env = Pabs cs*
<proof>

corollary *frees-pabs-alt-def*:

frees (*Pabs cs*) = *ffUnion* (($\lambda(pat, rhs). \text{frees } rhs - \text{frees } pat$) | \in | *cs*)
<proof>

lemma *stern-to-pterm-frees[simp]*: *frees* (*stern-to-pterm t*) = *frees t*
<proof>

lemma *stern-to-pterm-consts[simp]*: *consts* (*stern-to-pterm t*) = *consts t*
<proof>

lemma *subst-stern-to-pterm*:

subst (*stern-to-pterm t*) (*fmap stern-to-pterm env*) = *stern-to-pterm* (*subst t env*)
<proof>

instantiation *pterm* :: *term* **begin**

definition *abs-pred-pterm* :: (*pterm* \Rightarrow *bool*) \Rightarrow *pterm* \Rightarrow *bool* **where**
[*code del*]: *abs-pred P t* \longleftrightarrow ($\forall cs. t = Pabs\ cs \longrightarrow (\forall pat\ t. (pat, t) | \in | cs \longrightarrow P\ t) \longrightarrow P\ t$)

context **begin**

private lemma *abs-pred-trivI0*: *P t* \Longrightarrow *abs-pred P (t::pterm)*
<proof>

instance <proof>

end

end

lemma *no-abs-abs[simp]*: \neg *no-abs* (*Pabs cs*)
<proof>

lemma *stern-to-pterm*:

assumes *no-abs t*

shows *stern-to-pterm t* = *convert-term t*

<proof>

abbreviation *Pabs-single* ($\Lambda_p \cdot - [0, 50] 50$) **where**
Pabs-single x rhs $\equiv Pabs \{ | (Free\ x, rhs) | \}$

lemma *closed-except-simps*:

closed-except (*Pvar x S*) $\longleftrightarrow x | \in | S$

closed-except (*t₁ \$_p t₂*) *S* \longleftrightarrow *closed-except t₁ S* \wedge *closed-except t₂ S*

closed-except (*Pabs cs*) *S* \longleftrightarrow *fBall cs* ($\lambda(pat, t). \text{closed-except } t (S | \cup | \text{frees } pat)$)

closed-except (*Pconst name*) *S* \longleftrightarrow *True*

<proof>

instantiation *pterm* :: *pre-strong-term* **begin**

function (*sequential*) *wellformed-pterm* :: *pterm* \Rightarrow *bool* **where**

wellformed-pterm ($t_1 \ \$_p \ t_2$) \longleftrightarrow *wellformed-pterm* $t_1 \wedge$ *wellformed-pterm* t_2 |

wellformed-pterm (*Pabs cs*) \longleftrightarrow *fBall cs* ($\lambda(pat, t). \text{linear } pat \wedge \text{wellformed-pterm}$

t) \wedge *is-fmap cs* \wedge *pattern-compatibles cs* \wedge $cs \neq \{\}\}$ |

wellformed-pterm - \longleftrightarrow *True*

<proof>

termination

<proof>

including *fset.lifting* *<proof>*

primrec *all-frees-pterm* :: *pterm* \Rightarrow *name fset* **where**

all-frees-pterm (*Pvar x*) = $\{|x|\}$ |

all-frees-pterm ($t_1 \ \$_p \ t_2$) = *all-frees-pterm* $t_1 \ \cup \ \text{all-frees-pterm } t_2$ |

all-frees-pterm (*Pabs cs*) = *ffUnion* ($(\lambda(P, T). P \ \cup \ T) \ |^{\dagger} \ \text{map-prod } \text{frees } \text{all-frees-pterm}$

$|^{\dagger} \ cs)$ |

all-frees-pterm (*Pconst -*) = $\{\}$

instance

<proof>

end

lemma *stern-to-pterm-all-frees[simp]*: *all-frees* (*stern-to-pterm t*) = *all-frees t*

<proof>

instance *pterm* :: *strong-term* *<proof>*

lemma *wellformed-PabsI*:

assumes *is-fmap cs pattern-compatibles cs cs* $\neq \{\}$

assumes $\bigwedge pat \ t. (pat, t) \ \in \ cs \implies \text{linear } pat$

assumes $\bigwedge pat \ t. (pat, t) \ \in \ cs \implies \text{wellformed } t$

shows *wellformed* (*Pabs cs*)

<proof>

corollary *subst-closed-pabs*:

assumes (*pat, rhs*) $\in \ cs$ *closed* (*Pabs cs*)

shows *subst rhs* (*fmdrop-fset* (*frees pat*) *env*) = *rhs*

<proof>

lemma (**in constants**) *shadows-consts-pterm-simps[simp]*:

shadows-consts ($t_1 \ \$_p \ t_2$) \longleftrightarrow *shadows-consts* $t_1 \ \vee \ \text{shadows-consts } t_2$

shadows-consts (*Pvar name*) \longleftrightarrow *name* $\in \ \text{all-consts}$

shadows-consts (*Pabs cs*) \longleftrightarrow *fBex cs* ($\lambda(pat, t). \text{shadows-consts } pat \ \vee \ \text{shadows-consts } t$)

shadows-consts (*Pconst name*) \longleftrightarrow *False*
 <proof>

end

1.8 Irreducible terms (values)

theory *Term-as-Value*
imports *Sterm*
begin

1.9 Viewing *stern* as values

declare *list.pred-mono*[*mono*]

context *constructors* **begin**

inductive *is-value* :: *stern* \Rightarrow *bool* **where**
abs: *is-value* (*Sabs cs*) |
constr: *list-all is-value vs* \Longrightarrow *name* \in *C* \Longrightarrow *is-value* (*name* \$\$ *vs*)

lemma *value-distinct*:
Sabs cs \neq *name* \$\$ *ts* (**is** ?*P*)
name \$\$ *ts* \neq *Sabs cs* (**is** ?*Q*)
 <proof>

abbreviation *value-env* :: (*name, stern*) *fmap* \Rightarrow *bool* **where**
value-env \equiv *fmpred* (λ -. *is-value*)

lemma *svar-value*[*simp*]: \neg *is-value* (*Svar name*)
 <proof>

lemma *value-cases*:
obtains (*comb*) *name vs* **where** *list-all is-value vs t = name* \$\$ *vs name* \in *C*
 | (*abs*) *cs* **where** *t = Sabs cs*
 | (*nonvalue*) \neg *is-value t*
 <proof>

end

fun *smatch'* :: *pat* \Rightarrow *stern* \Rightarrow (*name, stern*) *fmap option* **where**
smatch' (*Patvar name*) *t = Some* (*fmap-of-list* [(*name, t*)]) |
smatch' (*Patconstr name ps*) *t =*
 (*case strip-comb t of*
 (*Sconst name', vs*) \Rightarrow
 (*if name = name' \wedge length ps = length vs then*
map-option (*foldl* (*++_f*) *fmempty*) (*those* (*map2 smatch' ps vs*))

else

```

      None)
    | - ⇒ None)

lemmas smatch'-induct = smatch'.induct[case-names var constr]

context constructors begin

context begin

private lemma smatch-list-comb-is-value:
  assumes is-value t
  shows match (name $$ ps) t = (case strip-comb t of
    (Sconst name', vs) ⇒
      (if name = name' ∧ length ps = length vs then
        map-option (foldl (++) fmempty) (those (map2 match ps vs))
      else
        None)
    | - ⇒ None)
  ⟨proof⟩

lemma smatch-smatch'-eq:
  assumes linear pat is-value t
  shows match pat t = smatch' (mk-pat pat) t
  ⟨proof⟩

end

end

end

```

1.10 A dedicated value type

```

theory Value
imports Term-as-Value
begin

datatype value =
  is-Vconstr: Vconstr name value list |
  Vabs sclauses (name, value) fmap |
  Vrecabs (name, sclauses) fmap name (name, value) fmap

type-synonym vrule = name × value

  ⟨ML⟩

datatype value =
  Vconstr name value list |

```

Vabs sclauses (name × value) list |
Vrecabs (name × sclauses) list name (name × value) list

primrec *Value* :: *quickcheck.value* ⇒ *value* **where**
Value (quickcheck.Vconstr s vs) = Vconstr s (map Value vs) |
Value (quickcheck.Vabs cs Γ) = Vabs cs (fmap-of-list (map (map-prod id Value)
Γ)) |
Value (quickcheck.Vrecabs css name Γ) = Vrecabs (fmap-of-list css) name (fmap-of-list
(map (map-prod id Value) Γ))

⟨*ML*⟩

quickcheck-generator *value*
constructors: quickcheck.Value

fun *vmatch* :: *pat* ⇒ *value* ⇒ (*name, value*) *fmap option* **where**
vmatch (Patvar name) v = Some (fmap-of-list [(name, v)]) |
vmatch (Patconstr name ps) (Vconstr name' vs) =
(if name = name' ∧ length ps = length vs then
map-option (foldl (++) fmempty) (those (map2 vmatch ps vs))
else
None) |
vmatch - - = None

lemmas *vmatch-induct = vmatch.induct[case-names var constr]*

locale *value-pred =*
fixes *P* :: (*name, value*) *fmap* ⇒ *sclauses* ⇒ *bool*
fixes *Q* :: *name* ⇒ *bool*
fixes *R* :: *name fset* ⇒ *bool*
begin

primrec *pred* :: *value* ⇒ *bool* **where**
pred (Vconstr name vs) ⟷ Q name ∧ list-all id (map pred vs) |
pred (Vabs cs Γ) ⟷ pred-fmap id (fmmap pred Γ) ∧ P Γ cs |
pred (Vrecabs css name Γ) ⟷
pred-fmap id (fmmap pred Γ) ∧
pred-fmap (P Γ) css ∧
name |∈| fmdom css ∧
R (fmdom css)

declare *pred.simps[simp del]*

lemma *pred-alt-def[simp, code]:*
pred (Vconstr name vs) ⟷ Q name ∧ list-all pred vs
pred (Vabs cs Γ) ⟷ fmpred (λ-. pred) Γ ∧ P Γ cs
pred (Vrecabs css name Γ) ⟷ fmpred (λ-. pred) Γ ∧ pred-fmap (P Γ) css ∧
name |∈| fmdom css ∧ R (fmdom css)
 ⟨*proof*⟩

For technical reasons, we don't introduce an abbreviation for $fmpred$ (λ -. $pred$) env here. This locale is supposed to be interpreted with **global-interpretation** (or **sublocale** and a **defines** clause. However, this does not affect abbreviations: the abbreviation would still refer to the locale constant, not the constant introduced by the interpretation.

lemma *vmatch-env*:

assumes $vmatch\ pat\ v = Some\ env\ pred\ v$
shows $fmpred\ (\lambda$ -. $pred)\ env$
 $\langle proof \rangle$

end

primrec *value-to-term* :: $value \Rightarrow term$ **where**

$value\text{-to-term}\ (Vconst\ name\ vs) = name\ \$\$ map\ value\text{-to-term}\ vs \mid$
 $value\text{-to-term}\ (Vabs\ cs\ \Gamma) = Sabs\ (map\ (\lambda(pat, t). (pat, subst\ t\ (fmdrop\text{-fset}\ (frees\ pat)\ (fmmap\ value\text{-to-term}\ \Gamma))))\ cs) \mid$
 $value\text{-to-term}\ (Vrecabs\ css\ name\ \Gamma) =$
 $Sabs\ (map\ (\lambda(pat, t). (pat, subst\ t\ (fmdrop\text{-fset}\ (frees\ pat)\ (fmmap\ value\text{-to-term}\ \Gamma))))\ (the\ (fmlookup\ css\ name))))$

This locale establishes a connection between a predicate on *values* with the corresponding predicate on *terms*, by means of *value-to-term*.

locale *pre-value-term-pred* = $value\text{-pred} +$

fixes S
assumes $value\text{-to-term}: pred\ v \Longrightarrow S\ (value\text{-to-term}\ v)$
begin

corollary *value-to-term-env*:

assumes $fmpred\ (\lambda$ -. $pred)\ \Gamma$
shows $fmpred\ (\lambda$ -. $S)\ (fmmap\ value\text{-to-term}\ \Gamma)$
 $\langle proof \rangle$

end

locale *value-term-pred* = $value\text{-pred} + S$: *simple-syntactic-and S* **for** $S +$

assumes $const: \bigwedge name. Q\ name \Longrightarrow S\ (const\ name)$
assumes $abs: \bigwedge \Gamma\ cs.$
 $(\bigwedge n\ v. fmlookup\ \Gamma\ n = Some\ v \Longrightarrow pred\ v \Longrightarrow S\ (value\text{-to-term}\ v)) \Longrightarrow$
 $fmpred\ (\lambda$ -. $pred)\ \Gamma \Longrightarrow$
 $P\ \Gamma\ cs \Longrightarrow$
 $S\ (Sabs\ (map\ (\lambda(pat, t). (pat, subst\ t\ (fmmap\ value\text{-to-term}\ (fmdrop\text{-fset}\ (frees\ pat)\ \Gamma))))\ cs))$
begin

sublocale *pre-value-term-pred*

$\langle proof \rangle$

end

global-interpretation *vwellformed*:

value-term-pred

λ -. *wellformed-clauses*

λ -. *True*

λ -. *True*

wellformed

defines *vwellformed* = *vwellformed.pred*

<proof>

abbreviation *wellformed-venv* \equiv *fmpred* (λ -. *vwellformed*)

global-interpretation *vclosed*:

value-term-pred

$\lambda\Gamma$ *cs*. *list-all* ($\lambda(pat, t)$. *closed-except* *t* (*fmdom* Γ \cup *frees pat*)) *cs*

λ -. *True*

λ -. *True*

closed

defines *vclosed* = *vclosed.pred*

<proof>

abbreviation *closed-venv* \equiv *fmpred* (λ -. *vclosed*)

context *pre-constants* **begin**

sublocale *vwelldefined*:

value-term-pred

λ - *cs*. *list-all* ($\lambda(-, t)$. *welldefined* *t*) *cs*

λ *name*. *name* \in *C*

λ *dom*. *dom* \subseteq *heads*

welldefined

defines *vwelldefined* = *vwelldefined.pred*

<proof>

lemmas *vwelldefined-alt-def* = *vwelldefined.pred-alt-def*

end

declare *pre-constants.vwelldefined-alt-def*[*code*]

context *constructors* **begin**

sublocale *vconstructor-value*:

pre-value-term-pred

λ - -. *True*

λ *name*. *name* \in *C*

λ -. *True*

is-value

defines *vconstructor-value* = *vconstructor-value.pred*

<proof>

lemmas *vconstructor-value-alt-def* = *vconstructor-value.pred-alt-def*

abbreviation *vconstructor-value-env* \equiv *fmpred* (λ -. *vconstructor-value*)

definition *vconstructor-value-rs* :: *vrule list* \Rightarrow *bool* **where**

vconstructor-value-rs *rs* \longleftrightarrow
 list-all (λ (-, *rhs*). *vconstructor-value* *rhs*) *rs* \wedge
 fdisjnt (*fset-of-list* (*map fst rs*)) *C*

end

declare *constructors.vconstructor-value-alt-def*[*code*]

declare *constructors.vconstructor-value-rs-def*[*code*]

context *pre-constants* **begin**

sublocale *not-shadows-vconsts*:

value-sterm-pred

λ - *cs*. *list-all* (λ (*pat*, *t*). *fdisjnt all-consts* (*frees pat*) \wedge \neg *shadows-consts t*) *cs*

λ -. *True*

λ -. *True*

λ *t*. \neg *shadows-consts t*

defines *not-shadows-vconsts* = *not-shadows-vconsts.pred*

<proof>

lemmas *not-shadows-vconsts-alt-def* = *not-shadows-vconsts.pred-alt-def*

abbreviation *not-shadows-vconsts-env* \equiv *fmpred* (λ - *s*. *not-shadows-vconsts s*)

end

declare *pre-constants.not-shadows-vconsts-alt-def*[*code*]

fun *term-to-value* :: *sterm* \Rightarrow *value* **where**

term-to-value t =

 (*case strip-comb t of*

 (*Sconst name*, *args*) \Rightarrow *Vconstr name* (*map term-to-value args*)

 | (*Sabs cs*, []) \Rightarrow *Vabs cs fmempty*)

lemma (**in** *constructors*) *term-to-value-to-sterm*:

assumes *is-value t*

shows *value-to-sterm* (*term-to-value t*) = *t*

<proof>

lemma *vmatch-dom*:

assumes *vmatch pat v* = *Some env*

shows *fmdom env* = *patvars pat*

<proof>

fun *vfind-match* :: *sclauses* \Rightarrow *value* \Rightarrow ((*name*, *value*) *fmap* \times *term* \times *sterm*)
option where
vfind-match [] - = *None* |
vfind-match ((*pat*, *rhs*) # *cs*) *t* =
 (*case vmatch* (*mk-pat pat*) *t of*
 Some env \Rightarrow *Some* (*env*, *pat*, *rhs*)
 | *None* \Rightarrow *vfind-match cs t*)

lemma *vfind-match-elim*:

assumes *vfind-match cs t = Some* (*env*, *pat*, *rhs*)
 shows (*pat*, *rhs*) \in *set cs vmatch* (*mk-pat pat*) *t = Some env*
<proof>

inductive *veq-structure* :: *value* \Rightarrow *value* \Rightarrow *bool where*

abs-abs: *veq-structure* (*Vabs* - -) (*Vabs* - -) |
recabs-recabs: *veq-structure* (*Vrecabs* - - -) (*Vrecabs* - - -) |
constr-constr: *list-all2 veq-structure ts us* \Longrightarrow *veq-structure* (*Vconstr name ts*) (*Vconstr name us*)

lemma *veq-structure-simps*[*code*, *simp*]:

veq-structure (*Vabs cs*₁ Γ ₁) (*Vabs cs*₂ Γ ₂)
 veq-structure (*Vrecabs css*₁ *name*₁ Γ ₁) (*Vrecabs css*₂ *name*₂ Γ ₂)
 veq-structure (*Vconstr name*₁ *ts*) (*Vconstr name*₂ *us*) \longleftrightarrow *name*₁ = *name*₂ \wedge
list-all2 veq-structure ts us
<proof>

lemma *veq-structure-refl*[*simp*]: *veq-structure t t*

<proof>

global-interpretation *vno-abs*: *value-pred* λ - -. *False* λ -. *True* λ -. *False*

defines *vno-abs* = *vno-abs.pred* *<proof>*

lemma *veq-structure-eq-left*:

assumes *veq-structure t u vno-abs t*
 shows *t = u*
<proof>

lemma *veq-structure-eq-right*:

assumes *veq-structure t u vno-abs u*
 shows *t = u*
<proof>

fun *vmatch'* :: *pat* \Rightarrow *value* \Rightarrow (*name*, *value*) *fmap option where*

vmatch' (*Patvar name*) *v = Some* (*fmap-of-list* [(*name*, *v*)] |
vmatch' (*Patconstr name ps*) *v =*
 (*case v of*
 Vconstr name' us \Rightarrow

```

      (if name = name'  $\wedge$  length ps = length vs then
        map-option (foldl (++)_f) fmempty) (those (map2 vmatch' ps vs))
      else
        None)
  | -  $\Rightarrow$  None)

```

lemma *vmatch-vmatch'-eq*: $vmatch\ p\ v = vmatch'\ p\ v$
 $\langle proof \rangle$

locale *value-struct-rel* =
fixes $Q :: value \Rightarrow value \Rightarrow bool$
assumes *Q-impl-struct*: $Q\ t_1\ t_2 \Longrightarrow veq\ structure\ t_1\ t_2$
assumes *Q-def[simp]*: $Q\ (Vconstr\ name\ ts)\ (Vconstr\ name'\ us) \longleftrightarrow name = name' \wedge list\ all2\ Q\ ts\ us$
begin

lemma *eq-left*: $Q\ t\ u \Longrightarrow vno\ abs\ t \Longrightarrow t = u$
 $\langle proof \rangle$

lemma *eq-right*: $Q\ t\ u \Longrightarrow vno\ abs\ u \Longrightarrow t = u$
 $\langle proof \rangle$

context begin

private lemma *vmatch'-rel*:
assumes $Q\ t_1\ t_2$
shows *rel-option* (*fmrel* Q) (*vmatch'* $p\ t_1$) (*vmatch'* $p\ t_2$)
 $\langle proof \rangle$

lemma *vmatch-rel*: $Q\ t_1\ t_2 \Longrightarrow rel\ option\ (fmrel\ Q)\ (vmatch\ p\ t_1)\ (vmatch\ p\ t_2)$
 $\langle proof \rangle$

lemma *vfind-match-rel*:
assumes *list-all2* (*rel-prod* (=) R) $cs_1\ cs_2$
assumes $Q\ t_1\ t_2$
shows *rel-option* (*rel-prod* (*fmrel* Q) (*rel-prod* (=) R)) (*vfind-match* $cs_1\ t_1$)
(*vfind-match* $cs_2\ t_2$)
 $\langle proof \rangle$

lemmas *vfind-match-rel'* =
vfind-match-rel[
where $R = (=)$ **and** $cs_1 = cs$ **and** $cs_2 = cs$ **for** cs ,
unfolded prod.rel-eq,
OF list.rel-refl, OF refl]

end end

hide-fact *vmatch-vmatch'-eq*
hide-const *vmatch'*

global-interpretation *veq-structure: value-struct-rel veq-structure*
<proof>

abbreviation *env-eq* **where**
env-eq \equiv *fmrel* ($\lambda v t. t = \text{value-to-sterm } v$)

lemma *env-eq-eq*:
 assumes *env-eq venv senv*
 shows *senv = fmmmap value-to-sterm venv*
<proof>

context *constructors* **begin**

context **begin**

private lemma *vmatch-eq0*: *rel-option env-eq (vmatch p v) (smatch' p (value-to-sterm v))*
<proof>

corollary *vmatch-eq*:
 assumes *linear p vconstructor-value v*
 shows *rel-option env-eq (vmatch (mk-pat p) v) (match p (value-to-sterm v))*
<proof>

end

end

abbreviation *match-related* **where**
match-related \equiv ($\lambda(\Gamma_1, pat_1, rhs_1) (\Gamma_2, pat_2, rhs_2). rhs_1 = rhs_2 \wedge pat_1 = pat_2 \wedge env-eq \Gamma_1 \Gamma_2$)

lemma (**in** *constructors*) *find-match-eq*:
 assumes *list-all (linear \circ fst) cs vconstructor-value v*
 shows *rel-option match-related (vfind-match cs v) (find-match cs (value-to-sterm v))*
<proof>

inductive *erelated* $::$ *value* \Rightarrow *value* \Rightarrow *bool* ($- \approx_e -$) **where**
constr: *list-all2 erelated ts us* \Longrightarrow *Vconstr name ts* \approx_e *Vconstr name us* |
abs: *fmrel-on-fset (ids (Sabs cs)) erelated* $\Gamma_1 \Gamma_2 \Longrightarrow$ *Vabs cs* $\Gamma_1 \approx_e$ *Vabs cs* Γ_2 |
rec-abs:
 pred-fmap ($\lambda cs. fmrel-on-fset (ids (Sabs cs)) erelated \Gamma_1 \Gamma_2$) *css* \Longrightarrow
 Vrecabs css name $\Gamma_1 \approx_e$ *Vrecabs css name* Γ_2

code-pred *erelated* *<proof>*

global-interpretation *erelated: value-struct-rel erelated*

<proof>

lemma *erelated-refl[intro]: $t \approx_e t$*

<proof>

export-code

value-to-stern vmatch vwellformed vclosed erelated-i-i pre-constants.vwelldefined

constructors.vconstructor-value-rs pre-constants.not-shadows-vconsts term-to-value

vfind-match veq-structure vno-abs

checking *Scala*

end

Chapter 2

A smaller version of CakeML: *CupCakeML*

```
theory Doc-CupCake
imports Main
begin
```

```
end
```

2.1 CupCake environments

```
theory CupCake-Env
imports ../Utils/CakeML-Utils
begin
```

```
fun cake-no-abs :: v ⇒ bool where
cake-no-abs (Conv - vs) ⟷ list-all cake-no-abs vs |
cake-no-abs - ⟷ False
```

```
fun is-cupcake-pat :: Ast.pat ⇒ bool where
is-cupcake-pat (Ast.Pvar -) ⟷ True |
is-cupcake-pat (Ast.Pcon (Some (Short -)) xs) ⟷ list-all is-cupcake-pat xs |
is-cupcake-pat - ⟷ False
```

```
fun is-cupcake-exp :: exp ⇒ bool where
is-cupcake-exp (Ast.Var (Short -)) ⟷ True |
is-cupcake-exp (Ast.App oper es) ⟷ oper = Ast.Opapp ∧ list-all is-cupcake-exp
es |
is-cupcake-exp (Ast.Con (Some (Short -)) es) ⟷ list-all is-cupcake-exp es |
is-cupcake-exp (Ast.Fun - e) ⟷ is-cupcake-exp e |
is-cupcake-exp (Ast.Mat e cs) ⟷ is-cupcake-exp e ∧ list-all (λ(p, e). is-cupcake-pat
p ∧ is-cupcake-exp e) cs ∧ cake-linear-clauses cs |
is-cupcake-exp - ⟷ False
```


abbreviation *cupcake-clauses* :: (Ast.pat × exp) list ⇒ bool **where**
cupcake-clauses ≡ list-all (λ(p, e). is-cupcake-pat p ∧ is-cupcake-exp e)

fun *cupcake-c-ns* :: c-ns ⇒ bool **where**
cupcake-c-ns (Bind cs mods) ←→
 mods = [] ∧ list-all (λ(-, -, tid). case tid of TypeId (Short -) ⇒ True | - ⇒ False)
 cs

locale *cakeml-static-env* =
fixes *static-cenv* :: c-ns
assumes *static-cenv*: *cupcake-c-ns* *static-cenv*
begin

definition *empty-sem-env* :: v sem-env **where**
empty-sem-env = (sem-env.v = nsEmpty, sem-env.c = static-cenv)

lemma *v-of-empty-sem-env[simp]*: *sem-env.v* *empty-sem-env* = nsEmpty
 ⟨proof⟩

lemma *c-of-empty-sem-env[simp]*: *c* *empty-sem-env* = *static-cenv*
 ⟨proof⟩

fun *is-cupcake-value* :: SemanticPrimitives.v ⇒ bool
and *is-cupcake-all-env* :: all-env ⇒ bool **where**
is-cupcake-value (Conv (Some (-, TypeId (Short -))) vs) ←→ list-all *is-cupcake-value*
 vs |
is-cupcake-value (Closure env - e) ←→ *is-cupcake-exp* e ∧ *is-cupcake-all-env* env |
is-cupcake-value (Recclosure env es -) ←→ list-all (λ(-, -, e). *is-cupcake-exp* e) es
 ∧ *is-cupcake-all-env* env |
is-cupcake-value - ←→ False |
is-cupcake-all-env (sem-env.v = Bind v0 [], sem-env.c = c0) ←→ c0 = *static-cenv*
 ∧ list-all (*is-cupcake-value* ∘ snd) v0 |
is-cupcake-all-env - ←→ False

lemma *is-cupcake-all-envE*:
assumes *is-cupcake-all-env* env
obtains v c **where** env = (sem-env.v = Bind v [], sem-env.c = c) c =
static-cenv list-all (*is-cupcake-value* ∘ snd) v
 ⟨proof⟩

fun *is-cupcake-ns* :: v-ns ⇒ bool **where**
is-cupcake-ns (Bind v0 []) ←→ list-all (*is-cupcake-value* ∘ snd) v0 |
is-cupcake-ns - ←→ False

lemma *is-cupcake-nsE*:
assumes *is-cupcake-ns* ns
obtains v **where** ns = Bind v [] list-all (*is-cupcake-value* ∘ snd) v
 ⟨proof⟩

```

lemma is-cupcake-all-envD:
  assumes is-cupcake-all-env env
  shows is-cupcake-ns (sem-env.v env) cupcake-c-ns (c env)
  ⟨proof⟩

lemma is-cupcake-all-envI:
  assumes is-cupcake-ns (sem-env.v env) sem-env.c env = static-cenv
  shows is-cupcake-all-env env
  ⟨proof⟩

end

end

```

2.2 CupCake semantics

```

theory CupCake-Semantics
imports
  CupCake-Env
  CakeML.Matching
  CakeML.Big-Step-Unclocked-Single
begin

fun cupcake-nsLookup :: ('m,'n,'v)namespace ⇒ 'n ⇒ 'v option where
  cupcake-nsLookup (Bind v1 -) n = map-of v1 n

lemma cupcake-nsLookup-eq[simp]: nsLookup ns (Short n) = cupcake-nsLookup ns n
  ⟨proof⟩

fun cupcake-pmatch :: ((string),(string),(nat*tid-or-exn))namespace ⇒ pat ⇒ v
  ⇒(string*v)list ⇒((string*v)list)match-result where
  cupcake-pmatch cenv (Pvar x) v0 env = Match ((x, v0)# env) |
  cupcake-pmatch cenv (Pcon (Some (Short n)) ps) (Conv (Some (n', t')) vs) env =
  (case cupcake-nsLookup cenv n of
    Some (l, t) =>
      if same-tid t t' ∧ (List.length ps = l) then
        if same-ctor (n, t) (n', t') then
          Matching.fold2 (λp v m. case m of
            Match env ⇒ cupcake-pmatch cenv p v env
            | m ⇒ m) Match-type-error ps vs (Match env)
          else
            No-match
        else
          Match-type-error
      | - => Match-type-error) |
  cupcake-pmatch cenv - - - = Match-type-error

fun cupcake-match-result :: - ⇒ v ⇒(pat*exp)list ⇒ v ⇒ (exp × pat × (char list

```

$\times v$) *list*, *v*)*result* **where**
cupcake-match-result - - [] *err-v* = *Rerr* (*Rraise err-v*) |
cupcake-match-result *cenv v0* ((*p*, *e*) # *pes*) *err-v* =
 (if *distinct* (*pat-bindings* *p* [])) then
 (case *cupcake-pmatch* *cenv p v0* [] of
 Match *env'* \Rightarrow *Rval* (*e*, *p*, *env'*) |
 No-match \Rightarrow *cupcake-match-result* *cenv v0 pes err-v* |
 Match-type-error \Rightarrow *Rerr* (*Rabort Rtype-error*))
 else
 Rerr (*Rabort Rtype-error*)

lemma *cupcake-match-resultE*:

assumes *cupcake-match-result* *cenv v0 pes err-v* = *Rval* (*e*, *p*, *env'*)
obtains *init rest*
where *pes* = *init* @ (*p*, *e*) # *rest*
and *distinct* (*pat-bindings* *p* [])
and *list-all* ($\lambda(p, e). \text{cupcake-pmatch } cenv \ p \ v0 \ [] = \text{No-match} \wedge \text{distinct}$
 (*pat-bindings* *p* [])) *init*
and *cupcake-pmatch* *cenv p v0* [] = *Match* *env'*
 <proof>

lemma *cupcake-pmatch-eq*:

is-cupcake-pat *pat* \Longrightarrow *pmatch-single* *envC s pat v0 env* = *cupcake-pmatch* *envC*
pat v0 env
 <proof>

lemma *cupcake-match-result-eq*:

cupcake-clauses *pes* \Longrightarrow
match-result *env s v pes err-v* =
map-result ($\lambda(e, -, env'). (e, env')$) *id* (*cupcake-match-result* (*c env*) *v pes*
err-v)
 <proof>

context *cakeml-static-env* **begin**

lemma *cupcake-nsBind-preserve*:

is-cupcake-ns *ns* \Longrightarrow *is-cupcake-value* *v0* \Longrightarrow *is-cupcake-ns* (*nsBind* *k v0 ns*)
 <proof>

lemma *cupcake-build-rec-preserve*:

assumes *is-cupcake-all-env* *cl-env* *is-cupcake-ns* *env* *list-all* ($\lambda(-, -, e). \text{is-cupcake-exp}$
e) *fs*
shows *is-cupcake-ns* (*build-rec-env* *fs cl-env env*)
 <proof>

lemma *cupcake-v-update-preserve*:

assumes *is-cupcake-all-env* *env* *is-cupcake-ns* (*f* (*sem-env.v env*))
shows *is-cupcake-all-env* (*sem-env.update-v f env*)
 <proof>

lemma *cupcake-nsAppend-preserve*: $is-cupcake-ns\ ns1 \implies is-cupcake-ns\ ns2 \implies is-cupcake-ns\ (nsAppend\ ns1\ ns2)$
 ⟨proof⟩

lemma *cupcake-alist-to-ns-preserve*: $list-all\ (is-cupcake-value\ \circ\ snd)\ env \implies is-cupcake-ns\ (alist-to-ns\ env)$
 ⟨proof⟩

lemma *cupcake-opapp-preserve*:
assumes $do-opapp\ vs = Some\ (env,\ e)\ list-all\ is-cupcake-value\ vs$
shows $is-cupcake-all-env\ env\ is-cupcake-exp\ e$
 ⟨proof⟩

context begin

lemma *cup-pmatch-list-length-neg*:
 $length\ vs \neq length\ ps \implies Matching.fold2(\lambda p\ v\ m.\ case\ m\ of$
 $\quad Match\ env \Rightarrow cupcake-pmatch\ cenv\ p\ v\ env$
 $\quad | m \Rightarrow m)\ Match-type-error\ ps\ vs\ m = Match-type-error$
 ⟨proof⟩

lemma *cup-pmatch-list-nomatch*:
 $length\ vs = length\ ps \implies Matching.fold2(\lambda p\ v\ m.\ case\ m\ of$
 $\quad Match\ env \Rightarrow cupcake-pmatch\ cenv\ p\ v\ env$
 $\quad | m \Rightarrow m)\ Match-type-error\ ps\ vs\ No-match = No-match$
 ⟨proof⟩

lemma *cup-pmatch-list-typer*:
 $length\ ps = length\ vs \implies Matching.fold2(\lambda p\ v\ m.\ case\ m\ of$
 $\quad Match\ env \Rightarrow cupcake-pmatch\ cenv\ p\ v\ env$
 $\quad | m \Rightarrow m)\ Match-type-error\ ps\ vs\ Match-type-error = Match-type-error$
 ⟨proof⟩ **lemma** *cupcake-pmatch-list-preserve*:
assumes $\bigwedge p\ v\ env.\ p \in set\ ps \wedge v \in set\ vs \longrightarrow list-all\ (is-cupcake-value\ \circ\ snd)\ env \longrightarrow if-match\ (list-all\ (is-cupcake-value\ \circ\ snd))\ (cupcake-pmatch\ cenv\ p\ v\ env)$
 $list-all\ (is-cupcake-value\ \circ\ snd)\ env$
shows $if-match\ (list-all\ (\lambda a.\ is-cupcake-value\ (snd\ a)))\ (Matching.fold2$
 $\quad (\lambda p\ v\ m.\ case\ m\ of$
 $\quad\quad Match\ env \Rightarrow cupcake-pmatch\ cenv\ p\ v\ env$
 $\quad\quad | m \Rightarrow m)$
 $\quad Match-type-error\ ps\ vs\ (Match\ env))$
 ⟨proof⟩ **lemma** *cupcake-pmatch-preserve0*:
 $is-cupcake-pat\ pat \implies$
 $is-cupcake-value\ v0 \implies$
 $list-all\ (is-cupcake-value\ \circ\ snd)\ env \implies$
 $cupcake-c-ns\ envC \implies$
 $if-match\ (list-all\ (is-cupcake-value\ \circ\ snd))\ (cupcake-pmatch\ envC\ pat\ v0\ env)$
 ⟨proof⟩

lemma *cupcake-pmatch-preserve*:

is-cupcake-pat pat \implies
is-cupcake-value v0 \implies
list-all (is-cupcake-value \circ snd) env \implies
cupcake-c-ns envC \implies
cupcake-pmatch envC pat v0 env = Match env' \implies
list-all (is-cupcake-value \circ snd) env'

\langle *proof* \rangle

end

lemma *cupcake-match-result-preserve*:

cupcake-c-ns envC \implies
cupcake-clauses pes \implies
is-cupcake-value v \implies
if-rval ($\lambda(e, p, env').$ *is-cupcake-pat p* \wedge *is-cupcake-exp e* \wedge *list-all (is-cupcake-value*
 \circ snd) env')
(cupcake-match-result envC v pes err-v)

\langle *proof* \rangle

lemma *static-cenv-lookup*:

assumes *cupcake-nsLookup static-cenv i = Some (len, b)*
obtains *name where b = TypeId (Short name)*

\langle *proof* \rangle

lemma *cupcake-build-conv-preserve*:

fixes *v*
assumes *list-all is-cupcake-value vs build-conv static-cenv (Some (Short i)) vs =*
Some v
shows *is-cupcake-value v*

\langle *proof* \rangle

lemma *cupcake-nsLookup-preserve*:

assumes *is-cupcake-ns ns nsLookup ns n = Some v0*
shows *is-cupcake-value v0*

\langle *proof* \rangle

corollary *match-all-preserve*:

assumes *cupcake-match-result cenv v0 pes err-v = Rval (e, p, env')* *cupcake-c-ns*
cenv
assumes *is-cupcake-value v0 cupcake-clauses pes*
shows *list-all (is-cupcake-value \circ snd) env' is-cupcake-exp e is-cupcake-pat p*

\langle *proof* \rangle

end

fun *list-all2-shortcircuit where*

list-all2-shortcircuit P (x # xs) (y # ys) \longleftrightarrow (case y of Rval - \implies P x y \wedge
list-all2-shortcircuit P xs ys | Rerr - \implies P x y) |

list-all2-shortcircuit $P \ [] \ [] \longleftrightarrow \text{True}$ |
list-all2-shortcircuit $P \ - \ - \longleftrightarrow \text{False}$

lemma *list-all2-shortcircuit-induct*[consumes 1, case-names nil cons-val cons-err]:
assumes *list-all2-shortcircuit* $P \ xs \ ys$
assumes $R \ [] \ []$
assumes $\bigwedge x \ xs \ y \ ys. P \ x \ (Rval \ y) \Longrightarrow \text{list-all2-shortcircuit } P \ xs \ ys \Longrightarrow R \ xs \ ys$
 $\Longrightarrow R \ (x \ \# \ xs) \ (Rval \ y \ \# \ ys)$
assumes $\bigwedge x \ xs \ y \ ys. P \ x \ (Rerr \ y) \Longrightarrow R \ (x \ \# \ xs) \ (Rerr \ y \ \# \ ys)$
shows $R \ xs \ ys$
<proof>

lemma *list-all2-shortcircuit-mono*[mono]:
assumes $R \leq Q$
shows *list-all2-shortcircuit* $R \leq \text{list-all2-shortcircuit } Q$
<proof>

lemma *list-all2-shortcircuit-weaken*: *list-all2-shortcircuit* $P \ xs \ ys \Longrightarrow (\bigwedge xs \ ys. P \ xs \ ys \Longrightarrow Q \ xs \ ys) \Longrightarrow \text{list-all2-shortcircuit } Q \ xs \ ys$
<proof>

lemma *list-all2-shortcircuit-rval*[simp]:
list-all2-shortcircuit $P \ xs \ (\text{map } Rval \ ys) \longleftrightarrow \text{list-all2} \ (\lambda x \ y. P \ x \ (Rval \ y)) \ xs \ ys$
(is ?lhs \longleftrightarrow ?rhs)
<proof>

inductive *cupcake-evaluate-single* :: *all-env* \Rightarrow *exp* \Rightarrow $(v, v) \text{ result} \Rightarrow \text{bool}$ **where**
con1:
do-con-check $(c \ env) \ cn \ (\text{length } es) \Longrightarrow$
list-all2-shortcircuit $(\text{cupcake-evaluate-single } env) \ (\text{rev } es) \ rs \Longrightarrow$
sequence-result $rs = Rval \ vs \Longrightarrow$
build-conv $(c \ env) \ cn \ (\text{rev } vs) = \text{Some } v0 \Longrightarrow$
cupcake-evaluate-single $env \ (\text{Con } cn \ es) \ (Rval \ v0) \ |$
con2:
 $\neg \text{do-con-check } (c \ env) \ cn \ (\text{List.length } es) \Longrightarrow$
cupcake-evaluate-single $env \ (\text{Con } cn \ es) \ (Rerr \ (\text{Rabort } Rtype\text{-error})) \ |$
con3:
do-con-check $(c \ env) \ cn \ (\text{List.length } es) \Longrightarrow$
list-all2-shortcircuit $(\text{cupcake-evaluate-single } env) \ (\text{rev } es) \ rs \Longrightarrow$
sequence-result $rs = Rerr \ err \Longrightarrow$
cupcake-evaluate-single $env \ (\text{Con } cn \ es) \ (Rerr \ err) \ |$
var1:
nsLookup $(\text{sem-env.v } env) \ n = \text{Some } v0 \Longrightarrow \text{cupcake-evaluate-single } env \ (\text{Var } n)$
 $(Rval \ v0) \ |$
var2:
nsLookup $(\text{sem-env.v } env) \ n = \text{None} \Longrightarrow \text{cupcake-evaluate-single } env \ (\text{Var } n)$
 $(Rerr \ (\text{Rabort } Rtype\text{-error})) \ |$
fn:
cupcake-evaluate-single $env \ (\text{Fun } n \ e) \ (Rval \ (\text{Closure } env \ n \ e)) \ |$

app1:
 $list\text{-}all2\text{-}shortcircuit\ (cupcake\text{-}evaluate\text{-}single\ env)\ (rev\ es)\ rs \implies$
 $sequence\text{-}result\ rs = Rval\ vs \implies$
 $do\text{-}opapp\ (rev\ vs) = Some\ (env',\ e) \implies$
 $cupcake\text{-}evaluate\text{-}single\ env'\ e\ bv \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (App\ Opapp\ es)\ bv\ |\$

app3:
 $list\text{-}all2\text{-}shortcircuit\ (cupcake\text{-}evaluate\text{-}single\ env)\ (rev\ es)\ rs \implies$
 $sequence\text{-}result\ rs = Rval\ vs \implies$
 $do\text{-}opapp\ (rev\ vs) = None \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (App\ Opapp\ es)\ (Rerr\ (Rabort\ Rtype\text{-}error))\ |\$

app6:
 $list\text{-}all2\text{-}shortcircuit\ (cupcake\text{-}evaluate\text{-}single\ env)\ (rev\ es)\ rs \implies$
 $sequence\text{-}result\ rs = Rerr\ err \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (App\ op0\ es)\ (Rerr\ err)\ |\$

mat1:
 $cupcake\text{-}evaluate\text{-}single\ env\ e\ (Rval\ v0) \implies$
 $cupcake\text{-}match\text{-}result\ (c\ env)\ v0\ pes\ Bindv = Rval\ (e',\ -, env') \implies$
 $cupcake\text{-}evaluate\text{-}single\ (env\ (| sem\text{-}env.v := nsAppend\ (alist\text{-}to\text{-}ns\ env')\ (sem\text{-}env.v\ env)\ |))\ e'\ bv \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (Mat\ e\ pes)\ bv\ |\$

mat1error:
 $cupcake\text{-}evaluate\text{-}single\ env\ e\ (Rval\ v0) \implies$
 $cupcake\text{-}match\text{-}result\ (c\ env)\ v0\ pes\ Bindv = Rerr\ err \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (Mat\ e\ pes)\ (Rerr\ err)\ |\$

mat2:
 $cupcake\text{-}evaluate\text{-}single\ env\ e\ (Rerr\ err) \implies$
 $cupcake\text{-}evaluate\text{-}single\ env\ (Mat\ e\ pes)\ (Rerr\ err)$

context *cakeml-static-env* **begin**

context **begin**

private lemma *cupcake-list-preserve0:*

$list\text{-}all2\text{-}shortcircuit$
 $(\lambda e\ r.\ cupcake\text{-}evaluate\text{-}single\ env\ e\ r \wedge (is\text{-}cupcake\text{-}all\text{-}env\ env \implies is\text{-}cupcake\text{-}exp\ e \implies if\text{-}rval\ is\text{-}cupcake\text{-}value\ r))\ es\ rs \implies$
 $is\text{-}cupcake\text{-}all\text{-}env\ env \implies list\text{-}all\ is\text{-}cupcake\text{-}exp\ es \implies sequence\text{-}result\ rs = Rval\ vs \implies list\text{-}all\ is\text{-}cupcake\text{-}value\ vs$
 $\langle proof \rangle$ **lemma** *cupcake-single-preserve0:*
 $cupcake\text{-}evaluate\text{-}single\ env\ e\ res \implies is\text{-}cupcake\text{-}all\text{-}env\ env \implies is\text{-}cupcake\text{-}exp\ e \implies if\text{-}rval\ is\text{-}cupcake\text{-}value\ res$
 $\langle proof \rangle$

lemma *cupcake-single-preserve:*

$cupcake\text{-}evaluate\text{-}single\ env\ e\ (Rval\ res) \implies is\text{-}cupcake\text{-}all\text{-}env\ env \implies is\text{-}cupcake\text{-}exp\ e \implies is\text{-}cupcake\text{-}value\ res$
 $\langle proof \rangle$

lemma *cupcake-list-preserve*:

list-all2-shortcircuit (cupcake-evaluate-single env) es rs \implies
is-cupcake-all-env env \implies *list-all is-cupcake-exp es* \implies *sequence-result rs = Rval*
vs \implies *list-all is-cupcake-value vs*

<proof> **lemma** *cupcake-list-correct-rval*:

assumes *list-all2-shortcircuit*

($\lambda e r.$

cupcake-evaluate-single env e r \wedge

(*is-cupcake-all-env env* \longrightarrow *is-cupcake-exp e* \longrightarrow ($\forall (s::'a \text{ state}). \exists s'. \text{evaluate}$
env s e (s', r))))

es rs is-cupcake-all-env env list-all is-cupcake-exp es sequence-result rs = Rval
vs

shows $\exists s'. \text{evaluate-list (evaluate env) (s::'a state) es (s',Rval vs)}$

<proof> **lemma** *cupcake-list-correct-rerr*:

assumes *list-all2-shortcircuit*

($\lambda e r.$

cupcake-evaluate-single env e r \wedge

(*is-cupcake-all-env env* \longrightarrow *is-cupcake-exp e* \longrightarrow ($\forall (s::'a \text{ state}). \exists s'. \text{evaluate}$
env s e (s', r))))

es rs is-cupcake-all-env env list-all is-cupcake-exp es sequence-result rs = Rerr
err

shows $\exists s'. \text{evaluate-list (evaluate env) (s::'a state) es (s',Rerr err)}$

<proof> **lemma** *cupcake-list-correct0*:

assumes *list-all2-shortcircuit*

($\lambda e r.$

cupcake-evaluate-single env e r \wedge

(*is-cupcake-all-env env* \longrightarrow *is-cupcake-exp e* \longrightarrow ($\forall (s::'a \text{ state}). \exists s'. \text{evaluate}$
env s e (s', r))))

es rs is-cupcake-all-env env list-all is-cupcake-exp es

shows $\exists s'. \text{evaluate-list (evaluate env) (s::'a state) es (s',sequence-result rs)}$

<proof>

lemma *cupcake-single-correct*:

assumes *cupcake-evaluate-single env e res is-cupcake-all-env env is-cupcake-exp*
e

shows $\exists s'. \text{Big-Step-Unclocked-Single.evaluate env s e (s',res)}$

<proof>

lemma *cupcake-list-correct*:

assumes *list-all2-shortcircuit (cupcake-evaluate-single env) es rs is-cupcake-all-env*
env list-all is-cupcake-exp es

shows $\exists s'. \text{evaluate-list (evaluate env) (s::'a state) es (s',sequence-result rs)}$

<proof> **lemma** *cupcake-list-complete0*:

evaluate-list

($\lambda s e r. \text{evaluate env s e r} \wedge (\text{is-cupcake-all-env env} \longrightarrow \text{is-cupcake-exp e} \longrightarrow$
cupcake-evaluate-single env e (snd r))) *s1 es res* \implies

is-cupcake-all-env env \implies *list-all is-cupcake-exp es* \implies $\exists rs. \text{list-all2-shortcircuit}$
(cupcake-evaluate-single env) es rs \wedge *sequence-result rs = (snd res)*

<proof> **lemma** *cupcake-single-complete0*:

$evaluate\ env\ s\ e\ res \implies is-cupcake-all-env\ env \implies is-cupcake-exp\ e \implies cupcake-evaluate-single\ env\ e\ (snd\ res)$

$\langle proof \rangle$

lemma *cupcake-single-complete*:

$evaluate\ env\ s\ e\ (s',\ res) \implies is-cupcake-all-env\ env \implies is-cupcake-exp\ e \implies cupcake-evaluate-single\ env\ e\ res$

$\langle proof \rangle$

lemma *cupcake-list-complete*:

$evaluate-list\ (evaluate\ env)\ s1\ es\ res \implies$

$is-cupcake-all-env\ env \implies list-all\ is-cupcake-exp\ es \implies \exists rs.\ list-all2-shortcircuit\ (cupcake-evaluate-single\ env)\ es\ rs \wedge sequence-result\ rs = (snd\ res)$

$\langle proof \rangle$ **lemma** *cupcake-list-state-preserve0*:

assumes $evaluate-list\ (\lambda s\ e\ res.\ Big-Step-Unclocked-Single.evaluate\ env\ s\ e\ res \wedge (is-cupcake-all-env\ env \longrightarrow is-cupcake-exp\ e \longrightarrow s = fst\ res))\ s\ es\ res$

$list-all\ is-cupcake-exp\ es\ is-cupcake-all-env\ env$

shows $s = (fst\ res)$

$\langle proof \rangle$

lemma *cupcake-state-preserve*:

assumes $Big-Step-Unclocked-Single.evaluate\ env\ s\ e\ res\ is-cupcake-all-env\ env\ is-cupcake-exp\ e$

shows $s = (fst\ res)$

$\langle proof \rangle$

corollary *cupcake-single-correct-strong*:

assumes $cupcake-evaluate-single\ env\ e\ res\ is-cupcake-all-env\ env\ is-cupcake-exp\ e$

shows $Big-Step-Unclocked-Single.evaluate\ env\ s\ e\ (s,\ res)$

$\langle proof \rangle$

corollary *cupcake-single-complete-weak*:

$evaluate\ env\ s\ e\ (s,\ res) \implies is-cupcake-all-env\ env \implies is-cupcake-exp\ e \implies cupcake-evaluate-single\ env\ e\ res$

$\langle proof \rangle$

end end

hide-const (open) *c*

end

Chapter 3

Term rewriting

```
theory Doc-Rewriting
imports Main
begin

end
theory General-Rewriting
imports Terms-Extras
begin

locale rewriting =
  fixes  $R :: 'a::term \Rightarrow 'a \Rightarrow bool$ 
  assumes  $R\text{-fun}: R\ t\ t' \Longrightarrow R\ (app\ t\ u)\ (app\ t'\ u)$ 
  assumes  $R\text{-arg}: R\ u\ u' \Longrightarrow R\ (app\ t\ u)\ (app\ t\ u')$ 
begin

lemma rt-fun:
   $R^{**}\ t\ t' \Longrightarrow R^{**}\ (app\ t\ u)\ (app\ t'\ u)$ 
  <proof>

lemma rt-arg:
   $R^{**}\ u\ u' \Longrightarrow R^{**}\ (app\ t\ u)\ (app\ t\ u')$ 
  <proof>

lemma rt-comb:
   $R^{**}\ t_1\ u_1 \Longrightarrow R^{**}\ t_2\ u_2 \Longrightarrow R^{**}\ (app\ t_1\ t_2)\ (app\ u_1\ u_2)$ 
  <proof>

lemma rt-list-comb:
  assumes  $list\text{-all}2\ R^{**}\ ts\ us\ R^{**}\ t\ u$ 
  shows  $R^{**}\ (list\text{-comb}\ t\ ts)\ (list\text{-comb}\ u\ us)$ 
  <proof>

end
```

end

3.1 Higher-order term rewriting using de-Bruijn indices

```
theory Rewriting-Term
imports
  ../Terms/General-Rewriting
  ../Terms/Strong-Term
begin
```

3.1.1 Matching and rewriting

```
type-synonym rule = term × term
```

```
inductive rewrite :: rule fset ⇒ term ⇒ term ⇒ bool (-/ ⊢/ - →/ - [50,0,50]
50) for rs where
  step: r |∈| rs ⇒ r ⊢ t → u ⇒ rs ⊢ t → u |
  beta: rs ⊢ (Λ t $ t') → t [t']β |
  fun: rs ⊢ t → t' ⇒ rs ⊢ t $ u → t' $ u |
  arg: rs ⊢ u → u' ⇒ rs ⊢ t $ u → t $ u'
```

```
global-interpretation rewrite: rewriting rewrite rs for rs
⟨proof⟩
```

```
abbreviation rewrite-rt :: rule fset ⇒ term ⇒ term ⇒ bool (-/ ⊢/ - →*/ -
[50,0,50] 50) where
rewrite-rt rs ≡ (rewrite rs)**
```

```
lemma rewrite-beta-alt: t [t']β = u ⇒ wellformed t' ⇒ rs ⊢ (Λ t $ t') → u
⟨proof⟩
```

3.1.2 Wellformedness

```
primrec rule :: rule ⇒ bool where
rule (lhs, rhs) ⟷ basic-rule (lhs, rhs) ∧ Term.wellformed rhs
```

```
lemma ruleI[intro]:
  assumes basic-rule (lhs, rhs)
  assumes Term.wellformed rhs
  shows rule (lhs, rhs)
⟨proof⟩
```

```
lemma split-rule-fst: fst (split-rule r) = head (fst r)
⟨proof⟩
```

```
locale rules = constants C-info heads-of rs for C-info and rs :: rule fset +
  assumes all-rules: fBall rs rule
  assumes arity: arity-compatibles rs
```

```

assumes fmap: is-fmap rs
assumes patterns: pattern-compatibles rs
assumes nonempty:  $rs \neq \{\}\}$ 
assumes not-shadows:  $fBall\ rs\ (\lambda(lhs, -). \neg\ shadows\ const\ lhs)$ 
assumes welldefined-rs:  $fBall\ rs\ (\lambda(-, rhs).\ welldefined\ rhs)$ 
begin

lemma rewrite-wellformed:
  assumes  $rs \vdash t \longrightarrow t'$  wellformed t
  shows wellformed t'
  <proof>

lemma rewrite-rt-wellformed:  $rs \vdash t \longrightarrow^* t' \implies wellformed\ t \implies wellformed\ t'$ 
  <proof>

lemma rewrite-closed:  $rs \vdash t \longrightarrow t' \implies closed\ t \implies closed\ t'$ 
  <proof>

lemma rewrite-rt-closed:  $rs \vdash t \longrightarrow^* t' \implies closed\ t \implies closed\ t'$ 
  <proof>

end

end

```

3.2 Higher-order term rewriting using explicit bound variable names

```

theory Rewriting-Nterm
imports
  Rewriting-Term
  Higher-Order-Terms.Term-to-Nterm
  ../Terms/Strong-Term
begin

```

3.2.1 Definitions

```

type-synonym nrule = term  $\times$  nterm

```

```

abbreviation nrule :: nrule  $\Rightarrow$  bool where
nrule  $\equiv$  basic-rule

```

```

fun (in constants) not-shadowing :: nrule  $\Rightarrow$  bool where
not-shadowing (lhs, rhs)  $\longleftrightarrow \neg\ shadows\ const\ lhs \wedge \neg\ shadows\ const\ rhs$ 

```

```

locale nrules = constants C-info heads-of rs for C-info and rs :: nrule fset +
  assumes all-rules:  $fBall\ rs\ nrule$ 
  assumes arity: arity-compatibles rs

```

assumes *fmap*: *is-fmap rs*
assumes *patterns*: *pattern-compatibles rs*
assumes *nonempty*: *rs ≠ {||}*
assumes *not-shadows*: *fBall rs not-shadowing*
assumes *welldefined-rs*: *fBall rs (λ(-, rhs). welldefined rhs)*

3.2.2 Matching and rewriting

inductive *nrewrite* :: *nrule fset ⇒ nterm ⇒ nterm ⇒ bool (-/ ⊢_n/ - →/ -*
[50,0,50] 50) **for** *rs* **where**
step: *r |∈| rs ⇒ r ⊢ t → u ⇒ rs ⊢_n t → u |*
beta: *rs ⊢_n ((Λ_n x. t) \$_n t') → subst t (fmap-of-list [(x, t')]) |*
fun: *rs ⊢_n t → t' ⇒ rs ⊢_n t \$_n u → t' \$_n u |*
arg: *rs ⊢_n u → u' ⇒ rs ⊢_n t \$_n u → t \$_n u'*

global-interpretation *nrewrite*: *rewriting nrewrite rs for rs*
<proof>

abbreviation *nrewrite-rt* :: *nrule fset ⇒ nterm ⇒ nterm ⇒ bool (-/ ⊢_n/ - →*/*
- [50,0,50] 50) **where**
*nrewrite-rt rs ≡ (nrewrite rs)***

lemma (**in** *nrules*) *nrewrite-closed*:
assumes *rs ⊢_n t → t' closed t*
shows *closed t'*
<proof>

corollary (**in** *nrules*) *nrewrite-rt-closed*:
assumes *rs ⊢_n t →* t' closed t*
shows *closed t'*
<proof>

3.2.3 Translation from *Term-Class.term* to *nterm*

context begin

private lemma *term-to-nterm-all-vars0*:
assumes *wellformed' (length Γ) t*
shows $\exists T. \text{all-frees } (\text{fst } (\text{run-state } (\text{term-to-nterm } \Gamma \ t) \ x)) \mid \subseteq \mid \text{fset-of-list } \Gamma \mid \cup \mid$
frees t |∪| T ∧ fBall T (λy. y > x)
<proof>

lemma *term-to-nterm-all-vars*:
assumes *wellformed t fdisjnt (frees t) S*
shows *fdisjnt (all-frees (fresh-frun (term-to-nterm [] t) (T |∪| S))) S*
<proof>

end

fun *translate-rule* :: *name fset ⇒ rule ⇒ nrule where*

translate-rule S (*lhs*, *rhs*) = (*lhs*, *fresh-frun* (*term-to-nterm* [] *rhs*) (*frees lhs* | \cup | *S*))

lemma *translate-rule-alt-def*:

translate-rule S = (λ (*lhs*, *rhs*). (*lhs*, *fresh-frun* (*term-to-nterm* [] *rhs*) (*frees lhs* | \cup | *S*)))
 <proof>

definition *compile' where*

compile' *C-info* *rs* = *translate-rule* (*pre-constants.all-consts* *C-info* (*heads-of* *rs*)) | \uparrow *rs*

context *rules* **begin**

definition *compile* :: *nrule* *fset* **where**

compile = *translate-rule* *all-consts* | \uparrow *rs*

lemma *compile'-compile-eq[simp]*: *compile'* *C-info* *rs* = *compile*

<proof>

lemma *compile-heads*: *heads-of* *compile* = *heads-of* *rs*

<proof>

lemma *compile-rules*: *nrules* *C-info* *compile*

<proof>

sublocale *rules-as-nrules*: *nrules* *C-info* *compile*

<proof>

end

3.2.4 Correctness of translation

theorem (*in rules*) *compile-correct*:

assumes *compile* \vdash_n *u* \longrightarrow *u'* *closed* *u*

shows *rs* \vdash *nterm-to-term'* *u* \longrightarrow *nterm-to-term'* *u'*

<proof>

3.2.5 Completeness of translation

context *rules* **begin**

context

notes [*simp*] = *closed-except-def* *fdisjnt-alt-def*

begin

private lemma *compile-complete0*:

assumes *rs* \vdash *t* \longrightarrow *t'* *closed* *t* *wellformed* *t*

obtains *u'* **where** *compile* \vdash_n *fst* (*run-state* (*term-to-nterm* [] *t*) *s*) \longrightarrow *u'* *u'* \approx_α *fst* (*run-state* (*term-to-nterm* [] *t'*) *s'*)

<proof>

lemma *compile-complete*:

assumes $rs \vdash t \longrightarrow t'$ *closed* t *wellformed* t

obtains u' **where** *compile* \vdash_n *term-to-nterm'* $t \longrightarrow u' u' \approx_\alpha$ *term-to-nterm'* t'

<proof>

end

end

3.2.6 Splitting into constants

type-synonym *crules* = (*term list* \times *nterm*) *fset*

type-synonym *crule-set* = (*name* \times *crules*) *fset*

abbreviation *arity-compatibles* :: (*term list* \times 'a) *fset* \Rightarrow *bool* **where**

arity-compatibles \equiv *fpairwise* (λ (*pats*₁, -) (*pats*₂, -). *length* *pats*₁ = *length* *pats*₂)

lemma *arity-compatible-length*:

assumes *arity-compatibles* rs (*pats*, *rhs*) $|\in|$ rs

shows *length* *pats* = *arity* rs

<proof>

locale *pre-crules* = *constants* *C-info* *fst* $|\in|$ rs **for** *C-info* **and** $rs ::$ *crule-set*

locale *crules* = *pre-crules* +

assumes *fmap*: *is-fmap* rs

assumes *nonempty*: $rs \neq \{\}\}$

assumes *inner*:

fBall rs (λ (-, *crs*).

arity-compatibles *crs* \wedge

is-fmap *crs* \wedge

patterns-compatibles *crs* \wedge

$crs \neq \{\}\}$ \wedge

fBall *crs* (λ (*pats*, *rhs*).

linears *pats* \wedge

pats $\neq \square$ \wedge

fdisjnt (*freess* *pats*) *all-consts* \wedge

\neg *shadows-consts* *rhs* \wedge

frees *rhs* $|\subseteq|$ *freess* *pats* \wedge

welldefined *rhs*)

lemma (**in** *pre-crules*) *crulesI*:

assumes \bigwedge *name* *crs*. (*name*, *crs*) $|\in|$ $rs \Longrightarrow$ *arity-compatibles* *crs*

assumes \bigwedge *name* *crs*. (*name*, *crs*) $|\in|$ $rs \Longrightarrow$ *is-fmap* *crs*

assumes \bigwedge *name* *crs*. (*name*, *crs*) $|\in|$ $rs \Longrightarrow$ *patterns-compatibles* *crs*

assumes \bigwedge *name* *crs*. (*name*, *crs*) $|\in|$ $rs \Longrightarrow$ $crs \neq \{\}\}$

assumes \bigwedge *name* *crs* *pats* *rhs*. (*name*, *crs*) $|\in|$ $rs \Longrightarrow$ (*pats*, *rhs*) $|\in|$ *crs* \Longrightarrow

```

linears pats
assumes  $\bigwedge name\ crs\ pats\ rhs. (name, crs) \in rs \implies (pats, rhs) \in crs \implies pats \neq []$ 
assumes  $\bigwedge name\ crs\ pats\ rhs. (name, crs) \in rs \implies (pats, rhs) \in crs \implies fdisjnt (freess\ pats)\ all-consts$ 
assumes  $\bigwedge name\ crs\ pats\ rhs. (name, crs) \in rs \implies (pats, rhs) \in crs \implies \neg shadows-consts\ rhs$ 
assumes  $\bigwedge name\ crs\ pats\ rhs. (name, crs) \in rs \implies (pats, rhs) \in crs \implies frees\ rhs \sqsubseteq freess\ pats$ 
assumes  $\bigwedge name\ crs\ pats\ rhs. (name, crs) \in rs \implies (pats, rhs) \in crs \implies welldefined\ rhs$ 
assumes is-fmap rs rs  $\neq \{\{\}\}$ 
shows crules C-info rs
<proof>

```

lemmas *crulesI[intro!]* = *pre-crules.crulesI[unfolded pre-crules-def]*

definition *consts-of* :: *nrule fset* \Rightarrow *crule-set* **where**
consts-of = *fgroup-by split-rule*

lemma *consts-of-heads*: *fst* $|^{\dagger}$ *consts-of rs* = *heads-of rs*
<proof>

lemma (in *nrules*) *consts-rules*: *crules C-info (consts-of rs)*
<proof>

sublocale *nrules* \subseteq *nrules-as-crules?*: *crules C-info consts-of rs*
<proof>

3.2.7 Computability

```

export-code
  translate-rule consts-of arity nterm-to-term
  checking Scala

```

end

3.3 Higher-order term rewriting with explicit pattern matching

```

theory Rewriting-Pterm-Elim
imports
  Rewriting-Nterm
  ../Terms/Pterm
begin

```

3.3.1 Intermediate rule sets

type-synonym *irules* = (*term list* \times *pterm*) *fset*

type-synonym *irule-set* = (*name* × *irules*) *fset*

locale *pre-irules* = constants *C-info fst* |⁴ *rs* **for** *C-info* **and** *rs* :: *irule-set*

locale *irules* = *pre-irules* +

assumes *fmap*: *is-fmap rs*

assumes *nonempty*: *rs* ≠ {||}

assumes *inner*:

fBall rs ($\lambda(-, irs)$).

arity-compatibles irs ∧

is-fmap irs ∧

patterns-compatibles irs ∧

irs ≠ {||} ∧

fBall irs ($\lambda(pats, rhs)$).

linears pats ∧

abs-ish pats rhs ∧

closed-except rhs (*freess pats*) ∧

fdisjnt (*freess pats*) *all-consts* ∧

wellformed rhs ∧

\neg *shadows-consts rhs* ∧

welldefined rhs)

lemma (**in** *pre-irules*) *irulesI*:

assumes $\bigwedge name\ irs. (name, irs) \in | rs \implies$ *arity-compatibles irs*

assumes $\bigwedge name\ irs. (name, irs) \in | rs \implies$ *is-fmap irs*

assumes $\bigwedge name\ irs. (name, irs) \in | rs \implies$ *patterns-compatibles irs*

assumes $\bigwedge name\ irs. (name, irs) \in | rs \implies$ *irs* ≠ {||}

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *linears pats*

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *abs-ish pats rhs*

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *fdisjnt* (*freess pats*) *all-consts*

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *closed-except rhs* (*freess pats*)

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *wellformed rhs*

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ \neg *shadows-consts rhs*

assumes $\bigwedge name\ irs\ pats\ rhs. (name, irs) \in | rs \implies (pats, rhs) \in | irs \implies$ *welldefined rhs*

assumes *is-fmap rs rs* ≠ {||}

shows *irules C-info rs*

<proof>

lemmas *irulesI*[*intro!*] = *pre-irules.irulesI*[*unfolded pre-irules-def*]

Translation from *nterm* to *pterm*

fun *nterm-to-pterm* :: *nterm* \Rightarrow *pterm* **where**

nterm-to-pterm (*Nvar* *s*) = *Pvar* *s* |

nterm-to-pterm (*Nconst* *s*) = *Pconst* *s* |

nterm-to-pterm (*t*₁ \$_n *t*₂) = *nterm-to-pterm* *t*₁ \$_p *nterm-to-pterm* *t*₂ |

nterm-to-pterm (Λ_n *x*. *t*) = (Λ_p *x*. *nterm-to-pterm* *t*)

lemma *nterm-to-pterm-inj*: *nterm-to-pterm* *x* = *nterm-to-pterm* *y* \implies *x* = *y*
<proof>

lemma *nterm-to-pterm*:

assumes *no-abs* *t*

shows *nterm-to-pterm* *t* = *convert-term* *t*

<proof>

lemma *nterm-to-pterm-frees[simp]*: *frees* (*nterm-to-pterm* *t*) = *frees* *t*
<proof>

lemma *closed-nterm-to-pterm[intro]*: *closed-except* (*nterm-to-pterm* *t*) (*frees* *t*)
<proof>

lemma (**in** *constants*) *shadows-nterm-to-pterm[simp]*: *shadows-consts* (*nterm-to-pterm* *t*) = *shadows-consts* *t*
<proof>

lemma *wellformed-nterm-to-pterm[intro]*: *wellformed* (*nterm-to-pterm* *t*)
<proof>

lemma *consts-nterm-to-pterm[simp]*: *consts* (*nterm-to-pterm* *t*) = *consts* *t*
<proof>

Translation from *crule-set* to *irule-set*

definition *translate-crules* :: *crules* \Rightarrow *irules* **where**

translate-crules = *fimage* (*map-prod* *id* *nterm-to-pterm*)

definition *compile* :: *crule-set* \Rightarrow *irule-set* **where**

compile = *fimage* (*map-prod* *id* *translate-crules*)

lemma *compile-heads*: *fst* |⁴ *compile* *rs* = *fst* |⁴ *rs*
<proof>

lemma (**in** *crules*) *compile-rules*: *irules* *C-info* (*compile* *rs*)
<proof>

sublocale *crules* \subseteq *crules-as-irules*: *irules* *C-info* *compile* *rs*
<proof>

Transformation of *irule-set*

definition *transform-irules* :: *irules* \Rightarrow *irules* **where**

transform-irules *rs* = (
 if *arity* *rs* = 0 then *rs*
 else *map-prod id Pabs* |^q *fgroup-by* (λ (*pats*, *rhs*). (*butlast* *pats*, (*last* *pats*, *rhs*)))
rs)

lemma *arity-compatibles-transform-irules*:

assumes *arity-compatibles* *rs*

shows *arity-compatibles* (*transform-irules* *rs*)

<proof>

lemma *arity-transform-irules*:

assumes *arity-compatibles* *rs* *rs* \neq $\{\}\{\}$

shows *arity* (*transform-irules* *rs*) = (if *arity* *rs* = 0 then 0 else *arity* *rs* - 1)

<proof>

definition *transform-irule-set* :: *irule-set* \Rightarrow *irule-set* **where**

transform-irule-set = *fimage* (*map-prod id transform-irules*)

lemma *transform-irule-set-heads*: *fst* |^q *transform-irule-set* *rs* = *fst* |^q *rs*

<proof>

lemma (in *irules*) *rules-transform*: *irules* *C-info* (*transform-irule-set* *rs*)

<proof>

Matching and rewriting

definition *irewrite-step* :: *name* \Rightarrow *term list* \Rightarrow *pterm* \Rightarrow *pterm* \Rightarrow *pterm option*
where

irewrite-step *name pats rhs t* = *map-option* (*subst rhs*) (*match* (*name* \$\$ *pats*) *t*)

abbreviation *irewrite-step'* :: *name* \Rightarrow *term list* \Rightarrow *pterm* \Rightarrow *pterm* \Rightarrow *pterm* \Rightarrow
bool (-, -, - \vdash_i / - \rightarrow / - [50,0,50] 50) **where**

name, pats, rhs \vdash_i *t* \rightarrow *u* \equiv *irewrite-step* *name pats rhs t* = *Some u*

lemma *irewrite-stepI*:

assumes *match* (*name* \$\$ *pats*) *t* = *Some env subst rhs env* = *u*

shows *name, pats, rhs* \vdash_i *t* \rightarrow *u*

<proof>

inductive *irewrite* :: *irule-set* \Rightarrow *pterm* \Rightarrow *pterm* \Rightarrow *bool* (-/ \vdash_i / - \rightarrow / - [50,0,50] 50) **for** *irs* **where**

step: \llbracket (*name*, *rs*) \in *irs*; (*pats*, *rhs*) \in *rs*; *name, pats, rhs* \vdash_i *t* \rightarrow *t'* $\rrbracket \Longrightarrow$ *irs* \vdash_i
t \rightarrow *t'* |

beta: \llbracket *c* \in *cs*; *c* \vdash *t* \rightarrow *t'* $\rrbracket \Longrightarrow$ *irs* \vdash_i *Pabs cs* $\$_p$ *t* \rightarrow *t'* |

fun: *irs* \vdash_i *t* \rightarrow *t'* \Longrightarrow *irs* \vdash_i *t* $\$_p$ *u* \rightarrow *t'* $\$_p$ *u* |

arg: *irs* \vdash_i *u* \rightarrow *u'* \Longrightarrow *irs* \vdash_i *t* $\$_p$ *u* \rightarrow *t* $\$_p$ *u'*

global-interpretation *irewrite*: rewriting *irewrite rs* for *rs*
(proof)

abbreviation *irewrite-rt* :: *irule-set* \Rightarrow *pterm* \Rightarrow *pterm* \Rightarrow *bool* ($- / \vdash_i / - \longrightarrow^* / -$
[50,0,50] 50) **where**
irewrite-rt rs \equiv (*irewrite rs*)**

lemma (in *irules*) *irewrite-closed*:
assumes *rs* $\vdash_i t \longrightarrow u$ closed *t*
shows closed *u*
(proof)

corollary (in *irules*) *irewrite-rt-closed*:
assumes *rs* $\vdash_i t \longrightarrow^* u$ closed *t*
shows closed *u*
(proof)

Correctness of translation

abbreviation *irelated* :: *nterm* \Rightarrow *pterm* \Rightarrow *bool* ($- \approx_i -$ [0,50] 50) **where**
 $n \approx_i p \equiv$ *nterm-to-pterm* $n = p$

global-interpretation *irelated*: term-struct-rel-strong *irelated*
(proof)

lemma *irelated-vars*: $t \approx_i u \implies$ *frees* $t =$ *frees* u
(proof)

lemma *irelated-no-abs*:
assumes $t \approx_i u$
shows *no-abs* $t \iff$ *no-abs* u
(proof)

lemma *irelated-subst*:
assumes $t \approx_i u$ *irelated.P-env* *nenv* *penv*
shows *subst* t *nenv* \approx_i *subst* u *penv*
(proof)

lemma *related-irewrite-step*:
assumes *name*, *pats*, *nterm-to-pterm* *rhs* $\vdash_i u \rightarrow u' t \approx_i u$
obtains t' **where** *unsplit-rule* (*name*, *pats*, *rhs*) $\vdash t \rightarrow t' t' \approx_i u'$
(proof)

theorem (in *nrules*) *compile-correct*:
assumes *compile* (*consts-of* *rs*) $\vdash_i u \longrightarrow u' t \approx_i u$ closed *t*
obtains t' **where** *rs* $\vdash_n t \longrightarrow t' t' \approx_i u'$
(proof)

corollary (in *nrules*) *compile-correct-rt*:

assumes *compile* (*consts-of rs*) $\vdash_i u \longrightarrow^* u' t \approx_i u$ *closed t*
obtains *t' where* $rs \vdash_n t \longrightarrow^* t' t' \approx_i u'$
 $\langle proof \rangle$

Completeness of translation

lemma (*in nrules*) *compile-complete*:
assumes $rs \vdash_n t \longrightarrow t'$ *closed t*
shows *compile* (*consts-of rs*) \vdash_i *nterm-to-pterm t* \longrightarrow *nterm-to-pterm t'*
 $\langle proof \rangle$

Correctness of transformation

abbreviation *irules-deferred-matches* $::$ *pterm list* \Rightarrow *irules* \Rightarrow (*term* \times *pterm*)
fset where
irules-deferred-matches args \equiv *fselect*
 $(\lambda(\text{pats}, \text{rhs}). \text{map-option } (\lambda \text{env}. (\text{last pats}, \text{subst rhs env})) (\text{matches } (\text{butlast pats}) \text{ args}))$

context *irules begin*

inductive *prelated* $::$ *pterm* \Rightarrow *pterm* \Rightarrow *bool* ($- \approx_p - [0,50] 50$) **where**
const: $Pconst x \approx_p Pconst x$ |
var: $Pvar x \approx_p Pvar x$ |
app: $t_1 \approx_p u_1 \Longrightarrow t_2 \approx_p u_2 \Longrightarrow t_1 \$_p t_2 \approx_p u_1 \$_p u_2$ |
pat: $rel\text{-}fset (rel\text{-}prod (=) prelated) cs_1 cs_2 \Longrightarrow Pabs cs_1 \approx_p Pabs cs_2$ |
defer:
 $(name, rsi) \in rs \Longrightarrow 0 < arity rsi \Longrightarrow$
 $rel\text{-}fset (rel\text{-}prod (=) prelated) (irules\text{-}deferred\text{-}matches args rsi) cs \Longrightarrow$
 $list\text{-}all\text{-}closed args \Longrightarrow$
 $name \$\$ args \approx_p Pabs cs$

inductive-cases *prelated-absE*[*consumes 1, case-names pat defer*]: $t \approx_p Pabs cs$

lemma *prelated-refl*[*intro!*]: $t \approx_p t$
 $\langle proof \rangle$

sublocale *prelated*: *term-struct-rel prelated*
 $\langle proof \rangle$

lemma *prelated-pvars*:
assumes $t \approx_p u$
shows *frees t* = *frees u*
 $\langle proof \rangle$

corollary *prelated-closed*: $t \approx_p u \Longrightarrow closed t \longleftrightarrow closed u$
 $\langle proof \rangle$

lemma *prelated-no-abs-right*:
assumes $t \approx_p u$ *no-abs u*

shows $t = u$
<proof>

corollary *env-prelated-refl[intro!]*: *prelated.P-env env env*
<proof>

The following, more general statement does not hold: $t \approx_p u \implies \text{rel-option } \text{prelated.P-env } (\text{match } x \ t) \ (\text{match } x \ u)$ If t and u are related because of the *prelated.defer* rule, they have completely different shapes. Establishing $\text{is-abs } t = \text{is-abs } u$ as a precondition would rule out this case, but at the same time be too restrictive.

Instead, we use $\llbracket \text{match } ?x \ ?u = \text{Some } ?env; ?t \approx_p ?u; \bigwedge env'. \llbracket \text{match } ?x \ ?t = \text{Some } env'; \text{prelated.P-env } env' \ ?env \rrbracket \implies ?thesis \rrbracket \implies ?thesis$.

lemma *prelated-subst*:

assumes $t_1 \approx_p t_2$ *prelated.P-env env₁ env₂*
shows $\text{subst } t_1 \ \text{env}_1 \approx_p \text{subst } t_2 \ \text{env}_2$
<proof>

lemma *prelated-step*:

assumes $\text{name}, \text{pats}, \text{rhs} \vdash_i u \rightarrow u' \ t \approx_p u$
obtains t' **where** $\text{name}, \text{pats}, \text{rhs} \vdash_i t \rightarrow t' \ t' \approx_p u'$
<proof>

lemma *prelated-beta*: — same problem as *prelated.related-match*

assumes $(\text{pat}, \text{rhs}_2) \vdash t_2 \rightarrow u_2 \ \text{rhs}_1 \approx_p \text{rhs}_2 \ t_1 \approx_p t_2$
obtains u_1 **where** $(\text{pat}, \text{rhs}_1) \vdash t_1 \rightarrow u_1 \ u_1 \approx_p u_2$
<proof>

theorem *transform-correct*:

assumes $\text{transform-irule-set } rs \vdash_i u \longrightarrow u' \ t \approx_p u \ \text{closed } t$
obtains t' **where** $rs \vdash_i t \longrightarrow^* t'$ — zero or one step **and** $t' \approx_p u'$
<proof>

end

Completeness of transformation

lemma (in *irules*) *transform-completeness*:

assumes $rs \vdash_i t \longrightarrow t' \ \text{closed } t$
shows $\text{transform-irule-set } rs \vdash_i t \longrightarrow^* t'$
<proof>

Computability

export-code

compile transform-irules
checking *Scala SML*

end

3.3.2 Pure pattern matching rule sets

theory *Rewriting-Pterm*
imports *Rewriting-Pterm-Elim*
begin

type-synonym *prule* = *name* × *pterm*

primrec *prule* :: *prule* ⇒ *bool* **where**
prule (-, *rhs*) ⇔ *wellformed rhs* ∧ *closed rhs* ∧ *is-abs rhs*

lemma *pruleI*[*intro!*]: *wellformed rhs* ⇒ *closed rhs* ⇒ *is-abs rhs* ⇒ *prule*
(*name*, *rhs*)
{*proof*}

locale *prules* = *constants C-info fst* |*|* *rs* **for** *C-info* **and** *rs* :: *prule fset* +
assumes *all-rules*: *fBall rs prule*
assumes *fmap*: *is-fmap rs*
assumes *not-shadows*: *fBall rs* (λ(-, *rhs*). ¬ *shadows-consts rhs*)
assumes *welldefined-rs*: *fBall rs* (λ(-, *rhs*). *welldefined rhs*)

Rewriting

inductive *prewrite* :: *prule fset* ⇒ *pterm* ⇒ *pterm* ⇒ *bool* (-/ *tp*/ - →/ -
[50,0,50] 50) **for** *rs* **where**
step: (*name*, *rhs*) |∈| *rs* ⇒ *rs* *tp* *Pconst name* → *rhs* |
beta: *c* |∈| *cs* ⇒ *c* *tp* *t* → *t'* ⇒ *rs* *tp* *Pabs cs* \$_{*p*} *t* → *t'* |
fun: *rs* *tp* *t* → *t'* ⇒ *rs* *tp* *t* \$_{*p*} *u* → *t'* \$_{*p*} *u* |
arg: *rs* *tp* *u* → *u'* ⇒ *rs* *tp* *t* \$_{*p*} *u* → *t* \$_{*p*} *u'*

global-interpretation *prewrite*: *rewriting prewrite rs* **for** *rs*
{*proof*}

abbreviation *prewrite-rt* :: *prule fset* ⇒ *pterm* ⇒ *pterm* ⇒ *bool* (-/ *tp*/ - →*/
- [50,0,50] 50) **where**
prewrite-rt rs ≡ (*prewrite rs*)**

Translation from *irule-set* to *prule fset*

definition *finished* :: *irule-set* ⇒ *bool* **where**
finished rs = *fBall rs* (λ(-, *irs*). *arity irs* = 0)

definition *translate-rhs* :: *irules* ⇒ *pterm* **where**
translate-rhs = *snd* ∘ *fthe-elem*

definition *compile* :: *irule-set* ⇒ *prule fset* **where**
compile = *fimage* (*map-prod id translate-rhs*)

lemma *compile-heads*: $\text{fst } |^\dagger \text{ compile } rs = \text{fst } |^\dagger rs$
<proof>

Correctness of translation

lemma *arity-zero-shape*:
 assumes *arity-compatibles* rs *arity* $rs = 0$ *is-fmap* rs $rs \neq \{\}\}$
 obtains t **where** $rs = \{ | (\ [], t) | \}$
<proof>

lemma (**in** *irules*) *compile-rules*:
 assumes *finished* rs
 shows *prules* *C-info* (*compile* rs)
<proof>

theorem (**in** *irules*) *compile-correct*:
 assumes *compile* $rs \vdash_p t \longrightarrow t'$ *finished* rs
 shows $rs \vdash_i t \longrightarrow t'$
<proof>

theorem (**in** *irules*) *compile-complete*:
 assumes $rs \vdash_i t \longrightarrow t'$ *finished* rs
 shows *compile* $rs \vdash_p t \longrightarrow t'$
<proof>

export-code
 compile *finished*
 checking *Scala*

end

3.4 Sequential pattern matching

theory *Rewriting-Sterm*
imports *Rewriting-Pterm*
begin

type-synonym *srule* = *name* \times *stern*

abbreviation *closed-srules* :: *srule list* \Rightarrow *bool* **where**
closed-srules \equiv *list-all* (*closed* \circ *snd*)

primrec *srule* :: *srule* \Rightarrow *bool* **where**
srule ($_ , rhs$) \iff *wellformed* $rhs \wedge$ *closed* $rhs \wedge$ *is-abs* rhs

lemma *sruleI*[*intro!*]: *wellformed* $rhs \implies$ *closed* $rhs \implies$ *is-abs* $rhs \implies$ *srule* (*name*,
rhs)
<proof>


```

locale srules = constants C-info fst |4 fset-of-list rs for C-info and rs :: srule list
+
  assumes all-rules: list-all srule rs
  assumes distinct: distinct (map fst rs)
  assumes not-shadows: list-all (λ(-, rhs). ¬ shadows-consts rhs) rs
  assumes swelldefined-rs: list-all (λ(-, rhs). welldefined rhs) rs
begin

lemma map: is-map (set rs)
  ⟨proof⟩

lemma clausesE:
  assumes (name, rhs) ∈ set rs
  obtains cs where rhs = Sabs cs
  ⟨proof⟩

end

```

Rewriting

```

inductive srewrite-step where
  cons-match: srewrite-step ((name, rhs) # rest) name rhs |
  cons-nomatch: name ≠ name' ⇒ srewrite-step rs name rhs ⇒ srewrite-step
  ((name', rhs') # rs) name rhs

lemma srewrite-stepI0:
  assumes (name, rhs) ∈ set rs is-map (set rs)
  shows srewrite-step rs name rhs
  ⟨proof⟩

lemma (in srules) srewrite-stepI: (name, rhs) ∈ set rs ⇒ srewrite-step rs name
rhs
  ⟨proof⟩

```

hide-fact *srewrite-stepI0*

```

inductive srewrite :: srule list ⇒ sterm ⇒ sterm ⇒ bool (-/ ⊢s/ - →/ - [50,0,50]
50) for rs where
  step: srewrite-step rs name rhs ⇒ rs ⊢s Sconst name → rhs |
  beta: rewrite-first cs t t' ⇒ rs ⊢s Sabs cs $s t → t' |
  fun: rs ⊢s t → t' ⇒ rs ⊢s t $s u → t' $s u |
  arg: rs ⊢s u → u' ⇒ rs ⊢s t $s u → t $s u'

```

code-pred *srewrite* ⟨*proof*⟩

```

abbreviation srewrite-rt :: srule list ⇒ sterm ⇒ sterm ⇒ bool (-/ ⊢s/ - →*/ -
[50,0,50] 50) where
  srewrite-rt rs ≡ (srewrite rs)**

```

global-interpretation *srewrite*: rewriting *srewrite* *rs* for *rs*
⟨*proof*⟩

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *srewrite-step* ⟨*proof*⟩
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *srewrite* ⟨*proof*⟩

Translation from *pterm* to *stern*

In principle, any function of type $('a \times 'b) \text{fset} \Rightarrow ('a \times 'b) \text{list}$ that orders by keys would do here. However, For simplicity's sake, we choose a fixed one (*ordered-fmap*) here.

primrec *pterm-to-stern* :: *pterm* \Rightarrow *stern* **where**
pterm-to-stern (*Pconst* *name*) = *Sconst* *name* |
pterm-to-stern (*Pvar* *name*) = *Svar* *name* |
pterm-to-stern (*t* $\$$ _{*p*} *u*) = *pterm-to-stern* *t* $\$$ _{*s*} *pterm-to-stern* *u* |
pterm-to-stern (*Pabs* *cs*) = *Sabs* (*ordered-fmap* (*map-prod* *id* *pterm-to-stern* |
cs))

lemma *pterm-to-stern*:
 assumes *no-abs* *t*
 shows *pterm-to-stern* *t* = *convert-term* *t*
⟨*proof*⟩

stern-to-pterm has to be defined, for technical reasons, in *CakeML-Codegen.Pterm*.

lemma *pterm-to-stern-wellformed*:
 assumes *wellformed* *t*
 shows *wellformed* (*pterm-to-stern* *t*)
⟨*proof*⟩
 including *fset.lifting* ⟨*proof*⟩

lemma *pterm-to-stern-stern-to-pterm*:
 assumes *wellformed* *t*
 shows *stern-to-pterm* (*pterm-to-stern* *t*) = *t*
⟨*proof*⟩

corollary *pterm-to-stern-frees*: *wellformed* *t* \Longrightarrow *frees* (*pterm-to-stern* *t*) = *frees* *t*
⟨*proof*⟩

corollary *pterm-to-stern-closed*:
 closed-except *t* *S* \Longrightarrow *wellformed* *t* \Longrightarrow *closed-except* (*pterm-to-stern* *t*) *S*
⟨*proof*⟩

corollary *pterm-to-stern-consts*: *wellformed* *t* \Longrightarrow *consts* (*pterm-to-stern* *t*) = *consts* *t*
⟨*proof*⟩

corollary (**in** *constants*) *pterm-to-stern-shadows*:

wellformed $t \implies \text{shadows-consts } t \iff \text{shadows-consts } (\text{pterm-to-sterm } t)$
 ⟨proof⟩

definition *compile* :: *prule fset* \Rightarrow *srule list* **where**
compile $rs = \text{ordered-fmap } (\text{map-prod id pterm-to-sterm } |^| \text{ } rs)$

Correctness of translation

context *prules* **begin**

lemma *compile-heads*: *fst* $|^| \text{ fset-of-list } (\text{compile } rs) = \text{fst } |^| \text{ } rs$
 ⟨proof⟩

lemma *compile-rules*: *srules C-info* (*compile* rs)
 ⟨proof⟩

including *fset.lifting*
 ⟨proof⟩

sublocale *prules-as-srules*: *srules C-info* *compile* rs
 ⟨proof⟩

end

global-interpretation *srelated*: *term-struct-rel-strong* ($\lambda p s. p = \text{sterm-to-pterm } s$)
 ⟨proof⟩

lemma *srelated-subst*:
assumes *srelated.P-env* *penv* *senv*
shows *subst* (*sterm-to-pterm* t) *penv* = *sterm-to-pterm* (*subst* t *senv*)
 ⟨proof⟩
including *fset.lifting*
 ⟨proof⟩

context **begin**

private lemma *srewrite-step-non-empty*: *srewrite-step* $rs' \text{ name } rhs \implies rs' \neq []$
 ⟨proof⟩ **lemma** *compile-consE*:
assumes (*name, rhs'*) $\# \text{ rest} = \text{compile } rs \text{ is-fmap } rs$
obtains rhs **where** $rhs' = \text{pterm-to-sterm } rhs \text{ (name, rhs) } | \in | \text{ } rs \text{ rest} = \text{compile } (rs - \{| \text{ (name, rhs) } | \})$
 ⟨proof⟩ **lemma** *compile-correct-step*:
assumes *srewrite-step* (*compile* rs) *name* $rhs \text{ is-fmap } rs \text{ fBall } rs \text{ prule}$
shows (*name, sterm-to-pterm* rhs) $| \in | \text{ } rs$
 ⟨proof⟩

lemma *compile-correct0*:
assumes *compile* $rs \vdash_s u \longrightarrow u' \text{ prules } C \text{ } rs$
shows $rs \vdash_p \text{sterm-to-pterm } u \longrightarrow \text{sterm-to-pterm } u'$

<proof>
 including *fset.lifting*
 <proof>

end

lemma (in *prules*) *compile-correct*:
 assumes $compile\ rs \vdash_s u \longrightarrow u'$
 shows $rs \vdash_p\ sterm\text{-to}\text{-pterm}\ u \longrightarrow sterm\text{-to}\text{-pterm}\ u'$
 <proof>

hide-fact *compile-correct0*

Completeness of translation

global-interpretation *srelated'*: *term-struct-rel-strong* ($\lambda p\ s.\ pterm\text{-to}\text{-sterm}\ p = s$)
 <proof>

corollary *srelated-env-unique*:
 $srelated'.P\text{-env}\ penv\ senv \implies srelated'.P\text{-env}\ penv\ senv' \implies senv = senv'$
 <proof>

lemma *srelated-subst'*:
 assumes $srelated'.P\text{-env}\ penv\ senv\ wellformed\ t$
 shows $pterm\text{-to}\text{-sterm}\ (subst\ t\ penv) = subst\ (pterm\text{-to}\text{-sterm}\ t)\ senv$
 <proof>

lemma *srelated-find-match*:
 assumes $find\text{-match}\ cs\ t = Some\ (penv,\ pat,\ rhs)\ srelated'.P\text{-env}\ penv\ senv$
 shows $find\text{-match}\ (map\ (map\text{-prod}\ id\ pterm\text{-to}\text{-sterm})\ cs)\ (pterm\text{-to}\text{-sterm}\ t) = Some\ (senv,\ pat,\ pterm\text{-to}\text{-sterm}\ rhs)$
 <proof>

lemma (in *prules*) *compile-complete*:
 assumes $rs \vdash_p\ t \longrightarrow t'\ wellformed\ t$
 shows $compile\ rs \vdash_s\ pterm\text{-to}\text{-sterm}\ t \longrightarrow pterm\text{-to}\text{-sterm}\ t'$
 <proof>

Computability

export-code *compile*
checking *Scala*

end

3.5 Big-step semantics

theory *Big-Step-Sterm*

```

imports
  Rewriting-Sterm
  ../Terms/Term-as-Value
begin

```

3.5.1 Big-step semantics evaluating to irreducible *sterms*

```

inductive (in constructors) seval :: srule list  $\Rightarrow$  (name, sterm) fmap  $\Rightarrow$  sterm  $\Rightarrow$ 
sterm  $\Rightarrow$  bool (-, -/  $\vdash_s$ / -  $\downarrow$ / - [50,0,50] 50) for rs where
  const: (name, rhs)  $\in$  set rs  $\Longrightarrow$  rs, \Gamma \vdash_s Sconst name \downarrow rhs |
  var: fmlookup  $\Gamma$  name = Some val  $\Longrightarrow$  rs, \Gamma \vdash_s Svar name \downarrow val |
  abs: rs, \Gamma \vdash_s Sabs cs \downarrow Sabs (map (\lambda(pat, t). (pat, subst t (fmdrop-fset (frees pat) \Gamma))) cs) |
  comb:
    rs, \Gamma \vdash_s t \downarrow Sabs cs \Longrightarrow rs, \Gamma \vdash_s u \downarrow u' \Longrightarrow
    find-match cs u' = Some (env, -, rhs) \Longrightarrow
    rs, \Gamma ++_f env \vdash_s rhs \downarrow val \Longrightarrow
    rs, \Gamma \vdash_s t \$_s u \downarrow val |
  constr:
    name \in C \Longrightarrow
    list-all2 (seval rs \Gamma) ts us \Longrightarrow
    rs, \Gamma \vdash_s name $$ ts \downarrow name $$ us

```

lemma (**in constructors**) *seval-closed*:

```

  assumes rs, \Gamma \vdash_s t \downarrow u closed-srules rs closed-env \Gamma closed-except t (fmdom \Gamma)
  shows closed u
  <proof>

```

lemma (**in srules**) *seval-wellformed*:

```

  assumes rs, \Gamma \vdash_s t \downarrow u wellformed t wellformed-env \Gamma
  shows wellformed u
  <proof>

```

lemma (**in constants**) *seval-shadows*:

```

  assumes rs, \Gamma \vdash_s t \downarrow u \neg shadows-consts t
  assumes list-all (\lambda(-, rhs). \neg shadows-consts rhs) rs
  assumes not-shadows-consts-env \Gamma
  shows \neg shadows-consts u
  <proof>

```

lemma (**in constructors**) *seval-list-comb-abs*:

```

  assumes rs, \Gamma \vdash_s name $$ args \downarrow Sabs cs
  shows name \in dom (map-of rs)
  <proof>

```

lemma (**in constructors**) *is-value-eval-id*:

```

  assumes is-value t closed t
  shows rs, \Gamma \vdash_s t \downarrow t
  <proof>

```

lemma (in constructors) *ssubst-eval*:
assumes $rs, \Gamma \vdash_s t \downarrow t' \Gamma' \subseteq_f \Gamma$ *closed-env* Γ *value-env* Γ
shows $rs, \Gamma \vdash_s \text{subst } t \Gamma' \downarrow t'$
 $\langle \text{proof} \rangle$

lemma (in constructors) *seval-agree-eq*:
assumes $rs, \Gamma \vdash_s t \downarrow u$ *fmrestrict-fset* $S \Gamma = \text{fmrestrict-fset } S \Gamma'$ *closed-except* t
 S
assumes $S \subseteq_f \text{fmdom } \Gamma$ *closed-srules* rs *closed-env* Γ
shows $rs, \Gamma' \vdash_s t \downarrow u$
 $\langle \text{proof} \rangle$
including *fmap.lifting*
 $\langle \text{proof} \rangle$
including *fmap.lifting fset.lifting*
 $\langle \text{proof} \rangle$

Correctness wrt *srewrite*

context *srules* **begin context** **begin**

private lemma *seval-correct0*:
assumes $rs, \Gamma \vdash_s t \downarrow u$ *closed-except* t (*fmdom* Γ) *closed-env* Γ
shows $rs \vdash_s \text{subst } t \Gamma \longrightarrow^* u$
 $\langle \text{proof} \rangle$

corollary *seval-correct*:
assumes $rs, \text{fmempty} \vdash_s t \downarrow u$ *closed* t
shows $rs \vdash_s t \longrightarrow^* u$
 $\langle \text{proof} \rangle$

end end

end

theory *Big-Step-Value*

imports

Big-Step-Sterm

../Terms/Value

begin

3.5.2 Big-step semantics evaluating to *value*

primrec *vrule* :: *vrule* \Rightarrow *bool* **where**
vrule $(-, \text{rhs}) \longleftrightarrow \text{vwellformed } \text{rhs} \wedge \text{vclosed } \text{rhs} \wedge \neg \text{is-Vconstr } \text{rhs}$

locale *vrules* = *constants* *C-info* *fst* $|^{\dagger}$ *fset-of-list* *rs* **for** *C-info* **and** *rs* :: *vrule* *list*
 $+$

assumes *all-rules*: *list-all* *vrule* *rs*

assumes *distinct*: *distinct* (*map* *fst* *rs*)

assumes *not-shadows*: *list-all* $(\lambda(-, \text{rhs}). \text{not-shadows-vconsts } \text{rhs})$ *rs*

assumes *vconstructor-value-rs*: *vconstructor-value-rs rs*
assumes *vwelldefined-rs*: *list-all* ($\lambda(-, rhs). vwelldefined\ rhs$) *rs*
begin

lemma *map*: *is-map* (*set rs*)
 $\langle proof \rangle$

end

abbreviation *value-to-stern-rules* :: *vrule list* \Rightarrow *srule list* **where**
value-to-stern-rules \equiv *map* (*map-prod id value-to-stern*)

inductive (**in** *special-constants*)

veval :: (*name* \times *value*) *list* \Rightarrow (*name, value*) *fmap* \Rightarrow *stern* \Rightarrow *value* \Rightarrow *bool* (\neg ,
 $\neg / \vdash_v / - \downarrow / - [50,0,50] 50$) **for** *rs* **where**

const: (*name, rhs*) \in *set rs* \Rightarrow *rs, $\Gamma \vdash_v$ Sconst name \downarrow rhs* |

var: *fmlookup* Γ *name* = *Some val* \Rightarrow *rs, $\Gamma \vdash_v$ Svar name \downarrow val* |

abs: *rs, $\Gamma \vdash_v$ Sabs cs \downarrow Vabs cs Γ* |

comb:

rs, $\Gamma \vdash_v$ t \downarrow Vabs cs Γ' \Rightarrow rs, $\Gamma \vdash_v$ u \downarrow u' \Rightarrow

vfind-match cs u' = Some (env, -, rhs) \Rightarrow

rs, $\Gamma' ++_f$ env \vdash_v rhs \downarrow val \Rightarrow

rs, $\Gamma \vdash_v$ t $\$_s$ u \downarrow val |

rec-comb:

rs, $\Gamma \vdash_v$ t \downarrow Vrecabs css name Γ' \Rightarrow

fmlookup css name = Some cs \Rightarrow

rs, $\Gamma \vdash_v$ u \downarrow u' \Rightarrow

vfind-match cs u' = Some (env, -, rhs) \Rightarrow

rs, $\Gamma' ++_f$ env \vdash_v rhs \downarrow val \Rightarrow

rs, $\Gamma \vdash_v$ t $\$_s$ u \downarrow val |

constr:

name \in C \Rightarrow

list-all2 (veval rs Γ) ts us \Rightarrow

rs, $\Gamma \vdash_v$ name $\$ \$$ ts \downarrow Vconstr name us

lemma (**in** *vrules*) *veval-wellformed*:

assumes *rs, $\Gamma \vdash_v$ t \downarrow v wellformed t wellformed-venv Γ*

shows *vwellformed v*

$\langle proof \rangle$

lemma (**in** *vrules*) *veval-closed*:

assumes *rs, $\Gamma \vdash_v$ t \downarrow v closed-except t (fmdom Γ) closed-venv Γ*

assumes *wellformed t wellformed-venv Γ*

shows *vclosed v*

$\langle proof \rangle$

lemma (**in** *vrules*) *veval-constructor-value*:

assumes *rs, $\Gamma \vdash_v$ t \downarrow v vconstructor-value-env Γ*

shows *vconstructor-value v*

<proof>

lemma (in *vrules*) *veval-welldefined*:

assumes *rs*, $\Gamma \vdash_v t \downarrow v$ *fmpred* ($\lambda\cdot$. *vwelldefined*) Γ *welldefined* *t*

shows *vwelldefined* *v*

<proof>

Correctness wrt *constructors.seval*

context *vrules* **begin**

definition *rs'* :: *srule list* **where**

rs' = *value-to-sterm-rules* *rs*

lemma *value-to-sterm-rules: srules C-info rs'*

<proof>

end

When we evaluate *sterms* using *veval*, the result is a *value* which possibly contains a closure (constructor *Vabs*). Such a closure is essentially a case-lambda (like *Sabs*), but with an additionally captured environment of type *string* \rightarrow *value* (which is usually called Γ'). The contained case-lambda might not be closed.

The proof idea is that we can always substitute with Γ' and obtain a regular *stern* value. The only interesting part of the proof is the case when a case-lambda gets applied to a value, because in that process, a hidden environment is *unveiled*. That environment may not bear any relation to the active environment Γ at all. But pattern matching and substitution proceeds only with that hidden environment.

context *vrules* **begin**

context **begin**

private lemma *veval-correct0*:

assumes *rs*, $\Gamma \vdash_v t \downarrow v$ *wellformed* *t* *wellformed-venv* Γ

assumes *closed-except* *t* (*fmdom* Γ) *closed-venv* Γ

assumes *vconstructor-value-env* Γ

shows *rs'*, *fmap* *value-to-stern* $\Gamma \vdash_s t \downarrow$ *value-to-stern* *v*

<proof>

including *fmap.lifting* *fset.lifting*

<proof>

including *fmap.lifting* *fset.lifting*

<proof>

lemma *veval-correct*:

assumes *rs*, *fmempty* $\vdash_v t \downarrow v$ *wellformed* *t* *closed* *t*

shows *rs'*, *fmempty* $\vdash_s t \downarrow$ *value-to-stern* *v*

<proof>

end end

end

3.5.3 Big-step semantics with conflation of constants and variables

theory *Big-Step-Value-ML*
imports *Big-Step-Value*
begin

definition *mk-rec-env* :: (name, sclauses) fmap ⇒ (name, value) fmap ⇒ (name, value) fmap **where**
mk-rec-env css Γ' = *fmap-keys* (λname cs. *Vrecabs* css name Γ')

context *special-constants* **begin**

inductive *veval'* :: (name, value) fmap ⇒ *stern* ⇒ *value* ⇒ *bool* (-/ \vdash_v / - \downarrow / - [50,0,50] 50) **where**

const: name \notin C ⇒ *fmlookup* Γ name = *Some* val ⇒ Γ \vdash_v *Sconst* name \downarrow val |

var: *fmlookup* Γ name = *Some* val ⇒ Γ \vdash_v *Svar* name \downarrow val |

abs: Γ \vdash_v *Sabs* cs \downarrow *Vabs* cs Γ |

comb:

Γ \vdash_v t \downarrow *Vabs* cs Γ' ⇒ Γ \vdash_v u \downarrow u' ⇒
vfind-match cs u' = *Some* (env, -, rhs) ⇒
Γ' $++_f$ env \vdash_v rhs \downarrow val ⇒
Γ \vdash_v t $\$_s$ u \downarrow val |

rec-comb:

Γ \vdash_v t \downarrow *Vrecabs* css name Γ' ⇒
fmlookup css name = *Some* cs ⇒
Γ \vdash_v u \downarrow u' ⇒
vfind-match cs u' = *Some* (env, -, rhs) ⇒
Γ' $++_f$ *mk-rec-env* css Γ' $++_f$ env \vdash_v rhs \downarrow val ⇒
Γ \vdash_v t $\$_s$ u \downarrow val |

constr: name \in C ⇒ *list-all2* (*veval'* Γ) ts us ⇒ Γ \vdash_v name $\$ \$$ ts \downarrow *Vconstr* name us

lemma *veval'-sabs-svarE*:

assumes Γ \vdash_v *Sabs* cs $\$_s$ *Svar* n \downarrow v

obtains u' env pat rhs

where *fmlookup* Γ n = *Some* u'
vfind-match cs u' = *Some* (env, pat, rhs)
Γ $++_f$ env \vdash_v rhs \downarrow v

<proof>

lemma *veval'-wellformed*:

assumes $\Gamma \vdash_v t \downarrow v$ *wellformed t wellformed-venv* Γ
shows *vwellformed v*
<proof>

lemma (in *constants*) *veval'-shadows*:

assumes $\Gamma \vdash_v t \downarrow v$ *not-shadows-vconsts-env* $\Gamma \neg$ *shadows-consts t*
shows *not-shadows-vconsts v*
<proof>

lemma *veval'-closed*:

assumes $\Gamma \vdash_v t \downarrow v$ *closed-except t (fmdom Γ) closed-venv* Γ
assumes *wellformed t wellformed-venv* Γ
shows *vclosed v*
<proof>

primrec *vwelldefined' :: value \Rightarrow bool* **where**

vwelldefined' (Vconstr name vs) \longleftrightarrow list-all vwelldefined' vs |
vwelldefined' (Vabs cs Γ) \longleftrightarrow
pred-fmap id (fmmap vwelldefined' Γ) \wedge
list-all ($\lambda(pat, t). \text{consts } t \mid\subseteq\mid (fmdom \Gamma \mid\cup\mid C)$) cs \wedge
fdisjnt C (fmdom Γ) |
vwelldefined' (Vrecabs css name Γ) \longleftrightarrow
pred-fmap id (fmmap vwelldefined' Γ) \wedge
pred-fmap ($\lambda cs.$
list-all ($\lambda(pat, t). \text{consts } t \mid\subseteq\mid fmdom \Gamma \mid\cup\mid (C \mid\cup\mid fmdom css)$) cs \wedge
fdisjnt C (fmdom Γ)) css \wedge
name $\mid\in\mid fmdom css \wedge$
fdisjnt C (fmdom css)

lemma *vmatch-welldefined'*:

assumes *vmatch pat v = Some env vwelldefined' v*
shows *fmpred ($\lambda-. vwelldefined'$) env*
<proof>

lemma *sconsts-list-comb*:

consts (list-comb f xs) $\mid\subseteq\mid S \longleftrightarrow \text{consts } f \mid\subseteq\mid S \wedge \text{list-all } (\lambda x. \text{consts } x \mid\subseteq\mid S) xs$
<proof>

lemma *sconsts-sabs*:

consts (Sabs cs) $\mid\subseteq\mid S \longleftrightarrow \text{list-all } (\lambda(-, t). \text{consts } t \mid\subseteq\mid S) cs$
<proof>

lemma (in *constants*) *veval'-welldefined'*:

assumes $\Gamma \vdash_v t \downarrow v$ *fdisjnt C (fmdom Γ)*
assumes *consts t $\mid\subseteq\mid fmdom \Gamma \mid\cup\mid C$ fmpred ($\lambda-. vwelldefined'$) Γ*
assumes *wellformed t wellformed-venv* Γ
assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ
shows *vwelldefined' v*

<proof>

end

Correctness wrt *veval*

context *vrules* begin

The following relation can be characterized as follows:

- Values have to have the same structure. (We prove an interpretation of *value-struct-rel*.)
- For closures, the captured environments must agree on constants and variables occurring in the body. The first *value* argument is from *veval* (i.e. from *CakeML-Codegen.Big-Step-Value*), the second from *veval'*.

coinductive *vrelated* :: value \Rightarrow value \Rightarrow bool ($\vdash_v / - \approx - [0, 50] 50$) where

***constr*: list-all2 *vrelated* *ts us* $\implies \vdash_v Vconstr\ name\ ts \approx Vconstr\ name\ us$ |**

***abs*:**

***fmrel-on-fset* (*frees* (*Sabs cs*)) *vrelated* $\Gamma_1 \Gamma_2 \implies$**

***fmrel-on-fset* (*consts* (*Sabs cs*)) *vrelated* (*fmap-of-list rs*) $\Gamma_2 \implies$**

$\vdash_v Vabs\ cs\ \Gamma_1 \approx Vabs\ cs\ \Gamma_2$ |

***rec-abs*:**

***pred-fmap* ($\lambda cs.$**

***fmrel-on-fset* (*frees* (*Sabs cs*)) *vrelated* $\Gamma_1 \Gamma_2 \wedge$**

***fmrel-on-fset* (*consts* (*Sabs cs*)) *vrelated* (*fmap-of-list rs*) ($\Gamma_2 ++_f\ mk-rec-env$**

***css* Γ_2) *css* \implies**

***name* \in | *fmdom* *css* \implies**

$\vdash_v Vrecabs\ css\ name\ \Gamma_1 \approx Vrecabs\ css\ name\ \Gamma_2$

Perhaps unexpectedly, *vrelated* is not reflexive. The reason is that it does not just check syntactic equality including captured environments, but also adherence to the external rules.

sublocale *vrelated*: *value-struct-rel vrelated*

<proof>

The technically involved relation *vrelated* implies a weaker, but more intuitive property: If $\vdash_v t \approx u$ then *t* and *u* are equal after termification (i.e. conversion with *value-to-sterm*). In fact, if both terms are ground terms, it collapses to equality. This follows directly from the interpretation of *value-struct-rel*.

lemma *veval'-correct*:

assumes $\Gamma_2 \vdash_v t \downarrow v_2$ *wellformed t wellformed-venv* Γ_2

assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ_2

assumes *welldefined t*

assumes *fmpr* ($\lambda-. vwelldefined$) Γ_1

assumes *fmrel-on-fset* (*frees t*) *vrelated* $\Gamma_1 \Gamma_2$

assumes *fmrel-on-fset* (*consts t*) *vrelated* (*fmap-of-list rs*) Γ_2
obtains v_1 **where** $rs, \Gamma_1 \vdash_v t \downarrow v_1 \vdash_v v_1 \approx v_2$
<proof>

lemma *veval'-correct'*:

assumes $\Gamma_2 \vdash_v t \downarrow v_2$ *wellformed t wellformed-venv* Γ_2
assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ_2
assumes *welldefined t*
assumes *closed t*
assumes *fmrel-on-fset* (*consts t*) *vrelated* (*fmap-of-list rs*) Γ_2
obtains v_1 **where** $rs, fmempty \vdash_v t \downarrow v_1 \vdash_v v_1 \approx v_2$
<proof>

end

Preservation of extensional equality

lemma (*in constants*) *veval'-agree-eq*:

assumes $\Gamma \vdash_v t \downarrow v$ *fmrel-on-fset* (*ids t*) *erelated* $\Gamma' \Gamma$
assumes *closed-venv* Γ *closed-except t* (*fmdom* Γ)
assumes *wellformed t wellformed-venv* Γ *fdisjnt C* (*fmdom* Γ)
assumes *consts t* $|\subseteq|$ *fmdom* Γ $|\cup|$ *C fmpred* ($\lambda-. v$ *welldefined'*) Γ
assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ
obtains v' **where** $\Gamma' \vdash_v t \downarrow v' v' \approx_e v$
<proof>

end

Chapter 4

Preprocessing of code equations

```
theory Doc-Preproc
imports Main
begin

end
```

4.1 A type class for correspondence between HOL expressions and terms

```
theory Eval-Class
imports
  ../Rewriting/Rewriting-Term
  ../Utils/ML-Utils
  Deriving.Derive-Manager
  Dict-Construction.Dict-Construction
begin

no-notation Mpat-Antiquot.mpaq-App (infixl $$ 900)
hide-const (open) Strong-Term.wellformed
declare Strong-Term.wellformed-term-def[simp del]

class evaluate =
  fixes eval :: rule fset  $\Rightarrow$  term  $\Rightarrow$  'a  $\Rightarrow$  bool (-/  $\vdash$ / (-  $\approx$ / -) [50,0,50] 50)
  assumes eval-wellformed: rs  $\vdash$  t  $\approx$  a  $\implies$  wellformed t
begin

definition eval' :: rule fset  $\Rightarrow$  term  $\Rightarrow$  'a  $\Rightarrow$  bool (-/  $\vdash$ / (-  $\downarrow$ / -) [50,0,50] 50)
where
  rs  $\vdash$  t  $\downarrow$  a  $\iff$  wellformed t  $\wedge$  ( $\exists$  t'. rs  $\vdash$  t  $\longrightarrow$ * t'  $\wedge$  rs  $\vdash$  t'  $\approx$  a)

lemma eval'I[intro]:
```

assumes $wellformed\ t\ rs \vdash t \longrightarrow * t' rs \vdash t' \approx a$
shows $rs \vdash t \downarrow a$
 $\langle proof \rangle$

lemma $eval'E[elim]$:
assumes $rs \vdash t \downarrow a$
obtains t' **where** $wellformed\ t\ rs \vdash t \longrightarrow * t' rs \vdash t' \approx a$
 $\langle proof \rangle$

lemma $eval-trivI$: $rs \vdash t \approx a \implies rs \vdash t \downarrow a$
 $\langle proof \rangle$

lemma $eval-compose$:
assumes $wellformed\ t\ rs \vdash t \longrightarrow * t' rs \vdash t' \downarrow a$
shows $rs \vdash t \downarrow a$
 $\langle proof \rangle$

end

instantiation $fun :: (evaluate, evaluate)\ evaluate\ begin$

definition $eval-fun\ where$
 $eval-fun\ rs\ t\ a \longleftrightarrow wellformed\ t \wedge (\forall x\ t_x. rs \vdash t_x \downarrow x \longrightarrow rs \vdash t \$ t_x \downarrow a\ x)$

instance
 $\langle proof \rangle$

end

corollary $eval-funD$:
assumes $rs \vdash t \approx f\ rs \vdash t_x \downarrow x$
shows $rs \vdash t \$ t_x \downarrow f\ x$
 $\langle proof \rangle$

corollary $eval'-funD$:
assumes $rs \vdash t \downarrow f\ rs \vdash t_x \downarrow x$
shows $rs \vdash t \$ t_x \downarrow f\ x$
 $\langle proof \rangle$

lemma $eval-ext$:
assumes $wellformed\ f \wedge x\ t. rs \vdash t \downarrow x \implies rs \vdash f \$ t \downarrow a\ x$
shows $rs \vdash f \approx a$
 $\langle proof \rangle$

lemma $eval'-ext$:
assumes $wellformed\ f \wedge x\ t. rs \vdash t \downarrow x \implies rs \vdash f \$ t \downarrow a\ x$
shows $rs \vdash f \downarrow a$
 $\langle proof \rangle$

lemma *eval'-ext-alt*:

fixes $f :: 'a::evaluate \Rightarrow 'b::evaluate$

assumes $wellformed' \ 1 \ t \ \wedge \ u \ x. \ rs \vdash \ u \downarrow \ x \Longrightarrow rs \vdash \ t [u]_{\beta} \downarrow \ f \ x$

shows $rs \vdash \ \Lambda \ t \downarrow \ f$

<proof>

lemma *eval-impl-wellformed[dest]*: $rs \vdash \ t \approx a \Longrightarrow wellformed' \ n \ t$

<proof>

lemma *eval'-impl-wellformed[dest]*: $rs \vdash \ t \downarrow \ a \Longrightarrow wellformed' \ n \ t$

<proof>

lemma *wellformed-unpack*:

$wellformed' \ n \ (t \ \$ \ u) \Longrightarrow wellformed' \ n \ t$

$wellformed' \ n \ (t \ \$ \ u) \Longrightarrow wellformed' \ n \ u$

$wellformed' \ n \ (\Lambda \ t) \Longrightarrow wellformed' \ (Suc \ n) \ t$

<proof>

lemma *replace-bound-aux*:

$n < 0 \longleftrightarrow False$

$Suc \ n < Suc \ m \longleftrightarrow n < m$

$0 < Suc \ n \longleftrightarrow True$

$((0::nat) = 0) \longleftrightarrow True$

$(0 = Suc \ m) \longleftrightarrow False$

$(Suc \ m = Suc \ n) \longleftrightarrow n = m$

$(Suc \ m = 0) \longleftrightarrow False$

$(if \ True \ then \ P \ else \ Q) = P$

$(if \ False \ then \ P \ else \ Q) = Q$

$int \ (0::nat) = 0$

<proof>

named-theorems *eval-data-intros*

named-theorems *eval-data-elim*s

context *begin*

private definition *rewrite-step-term* :: $term \times term \Rightarrow term \Rightarrow term \ option$

where

rewrite-step-term = *rewrite-step*

private lemmas *rewrite-rt-fun* = *rewrite.rt-fun*[*unfolded app-term-def*]

private lemmas *rewrite-rt-arg* = *rewrite.rt-arg*[*unfolded app-term-def*]

<ML>

end

<ML>

end

4.2 Deep embedding of Pure terms into term-rewriting logic

theory *Embed*

imports

Constructor-Funs.Constructor-Funs

../Utils/Code-Utils

Eval-Class

keywords *embed* :: *thy-decl*

begin

fun *non-overlapping'* :: *term* \Rightarrow *term* \Rightarrow *bool* **where**

non-overlapping' (*Const* *x*) (*Const* *y*) \longleftrightarrow $x \neq y$ |

non-overlapping' (*Const* -) (- \$ -) \longleftrightarrow *True* |

non-overlapping' (- \$ -) (*Const* -) \longleftrightarrow *True* |

non-overlapping' (*t*₁ \$ *t*₂) (*u*₁ \$ *u*₂) \longleftrightarrow *non-overlapping'* *t*₁ *u*₁ \vee *non-overlapping'* *t*₂ *u*₂ |

non-overlapping' - - \longleftrightarrow *False*

lemma *non-overlapping-approx*:

assumes *non-overlapping'* *t u*

shows *non-overlapping* *t u*

<proof>

fun *pattern-compatible'* :: *term* \Rightarrow *term* \Rightarrow *bool* **where**

pattern-compatible' (*t*₁ \$ *t*₂) (*u*₁ \$ *u*₂) \longleftrightarrow *pattern-compatible'* *t*₁ *u*₁ \wedge (*t*₁ = *u*₁ \longrightarrow *pattern-compatible'* *t*₂ *u*₂) |

pattern-compatible' *t u* \longleftrightarrow *t* = *u* \vee *non-overlapping'* *t u*

lemma *pattern-compatible-approx*:

assumes *pattern-compatible'* *t u*

shows *pattern-compatible* *t u*

<proof>

abbreviation *pattern-compatibles'* :: (*term* \times 'a) *fset* \Rightarrow *bool* **where**

pattern-compatibles' \equiv *fpairwise* (λ (*lhs*₁, -) (*lhs*₂, -). *pattern-compatible'* *lhs*₁ *lhs*₂)

definition *rules'* :: *C-info* \Rightarrow *rule fset* \Rightarrow *bool* **where**

rules' *C-info* *rs* \longleftrightarrow

fBall *rs* *rule* \wedge

arity-compatibles *rs* \wedge

is-fmap *rs* \wedge

pattern-compatibles' *rs* \wedge

rs \neq $\{\}\}$ \wedge

fBall *rs* (λ (*lhs*, -). \neg *pre-constants.shadows-consts* *C-info* (*heads-of* *rs*) *lhs*) \wedge

*fdisjnt (heads-of rs) (constructors.C C-info) \wedge
fBall rs ($\lambda(-, rhs). pre-constants.welldefined C-info (heads-of rs) rhs$) \wedge
distinct (constructors.all-constructors C-info)*

lemma *rules-approx:*

assumes *rules' C-info rs*

shows *rules C-info rs*

<proof>

lemma *embed-ext: $f \equiv g \implies f x \equiv g x$*

<proof>

<ML>

consts *lift-term :: 'a \Rightarrow term (<->)*

<ML>

end

4.3 Default instances

theory *Eval-Instances*

imports *Embed*

begin

<ML>

derive *evaluate nat bool list unit prod sum option char num name term*

end

Chapter 5

Final stage: Translation to CakeML

```
theory Doc-Backend
imports Main
begin

end
```

5.1 Basic CakeML setup

```
theory CakeML-Setup
imports
  ../CupCakeML/CupCake-Semantics
  CakeML.CakeML-Code
  ../Terms/Consts
begin

global-interpretation name: rekey Name
  rewrites inv Name = as-string
  ⟨proof⟩

global-interpretation name-as-string: rekey as-string
  ⟨proof⟩

hide-const (open) Lem-string.concat
hide-const (open) sem-env.c
hide-const (open) sem-env.v

definition empty-locn :: locn where
  empty-locn = (| row = 0, col = 0, offset = 0 |)

definition empty-locs :: locs where
  empty-locs = (empty-locn, empty-locn)
```

definition *empty-state* :: unit *SemanticPrimitives.state* **where**
empty-state = (| clock = 0, refs = [], ffi = *empty-ffi-state*, *defined-types* = {},
defined-mods = {} |)

fun *fmap-of-ns* :: ('b, string, 'a) namespace ⇒ (name, 'a) *fmap* **where**
fmap-of-ns (Bind *xs* -) = *fmap-of-list* (map (map-prod Name id) *xs*)

lemma *fmlookup-ns[simp]*: *fmlookup* (*fmap-of-ns* *ns*) *k* = *cupcake-nsLookup* *ns*
(*as-string* *k*)
⟨*proof*⟩

lemma *fmap-of-nsBind[simp]*: *fmap-of-ns* (*nsBind* (*as-string* *k*) *v0* *ns*) = *fmupd* *k*
v0 (*fmap-of-ns* *ns*)
⟨*proof*⟩

lemma *fmap-of-nsAppend[simp]*: *fmap-of-ns* (*nsAppend* *ns1* *ns2*) = *fmap-of-ns* *ns2*
++_f *fmap-of-ns* *ns1*
⟨*proof*⟩

lemma *fmap-of-alist-to-ns[simp]*: *fmap-of-ns* (*alist-to-ns* *xs*) = *fmap-of-list* (map
(*map-prod* Name id) *xs*)
⟨*proof*⟩

lemma *fmap-of-nsEmpty[simp]*: *fmap-of-ns* *nsEmpty* = *fmempty*
⟨*proof*⟩

context begin

private lemma *build-rec-env-fmap0*:
fmap-of-ns (*foldr* (λ(*f*, *x*, *e*). *nsBind* *f* (*Recclosure* *env*_Λ *funs'* *f*)) *funs* *env*) =
fmap-of-ns *env* ++_f *fmap-of-list* (map (λ(*f*, -). (*Name* *f*, *Recclosure* *env*_Λ *funs'*
f)) *funs*)
⟨*proof*⟩

definition *cake-mk-rec-env* **where**
cake-mk-rec-env *funs* *env* = *fmap-of-list* (map (λ(*f*, -). (*Name* *f*, *Recclosure* *env*
funs *f*)) *funs*)

lemma *build-rec-env-fmap*:
fmap-of-ns (*build-rec-env* *funs* *env*_Λ *env*) = *fmap-of-ns* *env* ++_f *cake-mk-rec-env*
funs *env*_Λ
⟨*proof*⟩

end

5.2 Constructors according to CakeML

definition *cake-tctor* :: string ⇒ *tctor* **where**

cake-tctor name = (if name = "fun" then *Ast.TC-fn* else *Ast.TC-name* (*Short name*))

primrec *typ-to-t* :: *typ* ⇒ *Ast.t* **where**

typ-to-t (*TVar name*) = *Ast.Tvar* (*as-string name*) |

typ-to-t (*TApp name args*) = *Ast.Tapp* (*map typ-to-t args*) (*cake-tctor* (*as-string name*))

context *constructors* **begin**

definition *as-static-cenv* :: *c-ns* **where**

as-static-cenv = *Bind* (*rev* (*map* (*map-prod id* (*map-prod id* (*TypeId* ◦ *Short*)))) *flat-C-info*) []

lemma *as-static-cenv-cakeml-static-env*: *cakeml-static-env as-static-cenv*

⟨*proof*⟩

sublocale *cake-static-env?*: *cakeml-static-env as-static-cenv*

⟨*proof*⟩

definition *as-cake-type-def* :: *Ast.type-def* **where**

as-cake-type-def =

map ($\lambda(\textit{name}, \textit{dt-def}). (\textit{map as-string} (\textit{tparams dt-def}), \textit{as-string name},$

$\textit{map} (\lambda(C, \textit{params}). (\textit{as-string C}, \textit{map typ-to-t params}))$

$(\textit{sorted-list-of-fmap} (\textit{constructors dt-def})))$

$(\textit{sorted-list-of-fmap C-info})$)

definition *cake-dt-prelude* :: *Ast.dec* **where**

cake-dt-prelude = *Ast.Dtype empty-locs as-cake-type-def*

definition *cake-all-types* :: *tid-or-exn set* **where**

cake-all-types = (*TypeId* ◦ *Short* ◦ *as-string*) ‘*fset all-tdefs*

definition *empty-state-with-types* :: *unit SemanticPrimitives.state* **where**

empty-state-with-types =

(| *clock* = 0, *refs* = [], *ffi* = *empty-ffi-state*, *defined-types* = *cake-all-types*, *defined-mods* = {} |)

lemma *empty-state-with-types-alt-def*:

empty-state-with-types = *empty-state* (| *defined-types* := *cake-all-types* |)

⟨*proof*⟩

end

5.2.1 Running the generated type declarations through the semantics

context *constants* **begin**

context begin

private lemma *state-types-update*:

update-defined-types ($\lambda\cdot$. *cake-all-types* \cup *defined-types empty-state*) *empty-state*
=
empty-state-with-types
<proof> **lemma** *env-types-update*: *build-tdefs* [] *as-cake-type-def* = *as-static-cenv*
<proof> **lemmas** *evaluate-type* =
evaluate-dec.dtype1 [
 where *new-tdecs* = *cake-all-types* **and** *s* = *empty-state* **and** *mn* = [] **and** *tds*
= *as-cake-type-def*,
 unfolded state-types-update env-types-update,
 folded empty-sem-env-def]

private lemma *type-defs-to-new-tdecs*:

type-defs-to-new-tdecs [] *as-cake-type-def* =
 set (*map* (λ *name*. *TypeId* (*Short* (*as-string name*))) (*sorted-list-of-fset* (*fmdom*
 C-info)))
<proof> **lemma** *cakeml-convoluted1*: *foldr* (λ (*n*, *ts*). ($\#$) *n*) *ys xs* = *map fst ys* @
xs
<proof> **lemma** *cakeml-convoluted2*: *foldr* (λ *x y*. *f x* @ *y*) *xs ys* = *concat* (*map f*
xs) @ *ys*
<proof> **lemma** *check-dup-ctors-alt-def*: *check-dup-ctors tds* \longleftrightarrow *distinct* (*tds* \gg
(λ (-, -, *cons*). *map fst cons*))
<proof>

lemma *evaluate-dec-prelude*:

evaluate-dec t [] *env empty-state cake-dt-prelude* (*empty-state-with-types*, *Rval*
empty-sem-env)
<proof>

end

end

Computability

declare *constructors.as-static-cenv-def*[*code*]
declare *constructors.as-cake-type-def-def*[*code*]
declare *constructors.cake-dt-prelude-def*[*code*]

export-code *constructors.as-static-cenv constructors.cake-dt-prelude*
 checking Scala

end

5.3 CakeML backend

theory *CakeML-Backend*

```

imports
  CakeML-Setup
  ../Terms/ Value
  ../Rewriting/Rewriting-Sterm
begin

```

5.3.1 Compilation

```

fun mk-ml-pat :: pat ⇒ Ast.pat where
  mk-ml-pat (Patvar s) = Ast.Pvar (as-string s) |
  mk-ml-pat (Patconstr s args) = Ast.Pcon (Some (Short (as-string s))) (map mk-ml-pat
  args)

```

```

lemma mk-pat-cupcake[intro]: is-cupcake-pat (mk-ml-pat pat)
  ⟨proof⟩

```

```

context begin

```

```

private fun frees' :: term ⇒ name list where
  frees' (Free x) = [x] |
  frees' (t1 $ t2) = frees' t2 @ frees' t1 |
  frees' (Λ t) = frees' t |
  frees' - = []

```

```

private lemma frees'-eq[simp]: fset-of-list (frees' t) = frees t
  ⟨proof⟩ lemma frees'-list-comb: frees' (list-comb f xs) = concat (rev (map frees'
  xs)) @ frees' f
  ⟨proof⟩ lemma frees'-distinct: linear pat ⇒ distinct (frees' pat)
  ⟨proof⟩ fun pat-bindings' :: Ast.pat ⇒ name list where
  pat-bindings' (Ast.Pvar n) = [Name n] |
  pat-bindings' (Ast.Pcon - ps) = concat (rev (map pat-bindings' ps)) |
  pat-bindings' (Ast.Pref p) = pat-bindings' p |
  pat-bindings' (Ast.Ptannot p -) = pat-bindings' p |
  pat-bindings' - = []

```

```

private lemma pat-bindings'-eq:
  map Name (pats-bindings ps xs) = concat (rev (map pat-bindings' ps)) @ map
  Name xs
  map Name (pat-bindings p xs) = pat-bindings' p @ map Name xs
  ⟨proof⟩ lemma pat-bindings'-empty-eq: map Name (pat-bindings p []) = pat-bindings'
  p
  ⟨proof⟩ lemma pat-bindings'-eq-frees: linear p ⇒ pat-bindings' (mk-ml-pat (mk-pat
  p)) = frees' p
  ⟨proof⟩

```

```

lemma mk-pat-distinct: linear pat ⇒ distinct (pat-bindings (mk-ml-pat (mk-pat
  pat)) [])
  ⟨proof⟩

```

end

locale *cakeml* = *pre-constants*

begin

fun

```
mk-exp :: name fset  $\Rightarrow$  sterm  $\Rightarrow$  exp and
mk-clauses :: name fset  $\Rightarrow$  (term  $\times$  sterm) list  $\Rightarrow$  (Ast.pat  $\times$  exp) list and
mk-con :: name fset  $\Rightarrow$  sterm  $\Rightarrow$  exp where
mk-exp - (Svar s) = Ast.Var (Short (as-string s)) |
mk-exp - (Sconst s) = (if s  $\in$  C then Ast.Con (Some (Short (as-string s))) [] else
Ast.Var (Short (as-string s))) |
mk-exp S (t1 $s t2) = Ast.App Ast.Opapp [mk-con S t1, mk-con S t2] |
mk-exp S (Sabs cs) = (
  let n = fresh-fNext S in
  Ast.Fun (as-string n) (Ast.Mat (Ast.Var (Short (as-string n))) (mk-clauses S
cs))) |
mk-con S t =
  (case strip-comb t of
   (Sconst c, args)  $\Rightarrow$ 
    if c  $\in$  C then Ast.Con (Some (Short (as-string c))) (map (mk-con S) args)
  else mk-exp S t
  | -  $\Rightarrow$  mk-exp S t) |
mk-clauses S cs = map ( $\lambda$ (pat, t). (mk-ml-pat (mk-pat pat), mk-con (frees pat  $\cup$ 
S) t)) cs
```

context begin

private lemma *mk-exp-cupcake0*:

```
wellformed t  $\Longrightarrow$  is-cupcake-exp (mk-exp S t)
wellformed-clauses cs  $\Longrightarrow$  cupcake-clauses (mk-clauses S cs)  $\wedge$  cake-linear-clauses
(mk-clauses S cs)
wellformed t  $\Longrightarrow$  is-cupcake-exp (mk-con S t)
<proof>
```

declare *mk-con.simps*[*simp del*]

lemma *mk-exp-cupcake*:

```
wellformed t  $\Longrightarrow$  is-cupcake-exp (mk-exp S t)
wellformed t  $\Longrightarrow$  is-cupcake-exp (mk-con S t)
<proof>
```

end

definition *mk-letrec-body* **where**

```
mk-letrec-body S rs = (
  map ( $\lambda$ (name, rhs).
    (as-string name, (
      let n = fresh-fNext S in
```

```

      (as-string n, Ast.Mat (Ast.Var (Short (as-string n))) (mk-clauses S (sterm.clauses
rhs)))))) rs
)

```

definition *compile-group* :: name fset ⇒ srule list ⇒ Ast.dec **where**
compile-group S rs = Ast.Dletrec empty-locs (mk-letrec-body S rs)

definition *compile* :: srule list ⇒ Ast.prog **where**
compile rs = [Ast.Tdec (compile-group all-consts rs)]

end

```

declare cakeml.mk-con.simps[code]
declare cakeml.mk-exp.simps[code]
declare cakeml.mk-clauses.simps[code]
declare cakeml.mk-letrec-body-def[code]
declare cakeml.compile-group-def[code]
declare cakeml.compile-def[code]

```

locale *cakeml'* = *cakeml* + *constants*

context *srules* **begin**

sublocale *srules-as-cake?*: *cakeml'* C-info fst |[†] fset-of-list rs ⟨proof⟩

lemma *mk-letrec-cupcake*:
list-all (λ(-, -, exp). is-cupcake-exp exp) (mk-letrec-body S rs)
⟨proof⟩

end

definition *compile'* **where**
compile' C-info rs = cakeml.compile C-info (fst |[†] fset-of-list rs) rs

lemma (in *srules*) *compile'-compile-eq*: *compile'* C-info rs = *compile* rs
⟨proof⟩

5.3.2 Computability

```

export-code cakeml.compile
checking Scala

```

5.3.3 Correctness of semantic functions

abbreviation *related-pat* :: term ⇒ Ast.pat ⇒ bool **where**
related-pat t p ≡ (p = mk-ml-pat (mk-pat t))

context *cakeml'* **begin**

inductive *related-exp* :: sterm ⇒ exp ⇒ bool **where**

var: *related-exp* (*Svar name*) (*Ast.Var* (*Short* (*as-string name*))) |
const: *name* | \notin | *C* \implies *related-exp* (*Sconst name*) (*Ast.Var* (*Short* (*as-string name*)))
|
constr: *name* | \in | *C* \implies *list-all2* *related-exp* *ts es* \implies
related-exp (*name* \$\$ *ts*) (*Ast.Con* (*Some* (*Short* (*as-string name*))) *es*) |
app: *related-exp* *t*₁ *u*₁ \implies *related-exp* *t*₂ *u*₂ \implies *related-exp* (*t*₁ \$_s *t*₂) (*Ast.App*
Ast.Opapp [*u*₁, *u*₂]) |
fun: *list-all2* (*rel-prod* *related-pat* *related-exp*) *cs ml-cs* \implies
n | \notin | *ids* (*Sabs cs*) \implies *n* | \notin | *all-consts* \implies
related-exp (*Sabs cs*) (*Ast.Fun* (*as-string n*) (*Ast.Mat* (*Ast.Var* (*Short*
(*as-string n*))) *ml-cs*)) |
mat: *list-all2* (*rel-prod* *related-pat* *related-exp*) *cs ml-cs* \implies
related-exp *scr ml-scr* \implies
related-exp (*Sabs cs* \$_s *scr*) (*Ast.Mat* *ml-scr ml-cs*)

lemma *related-exp-is-cupcake*:
assumes *related-exp* *t e* *wellformed t*
shows *is-cupcake-exp e*
<*proof*>

definition *related-fun* :: (*term* \times *stern*) *list* \Rightarrow *name* \Rightarrow *exp* \Rightarrow *bool* **where**
related-fun *cs n e* \longleftrightarrow
n | \notin | *ids* (*Sabs cs*) \wedge *n* | \notin | *all-consts* \wedge (*case e of*
(*Ast.Mat* (*Ast.Var* (*Short* *n'*)) *ml-cs*) \Rightarrow
n = *Name n'* \wedge *list-all2* (*rel-prod* *related-pat* *related-exp*) *cs ml-cs*
| - \Rightarrow *False*)

lemma *related-fun-alt-def*:
related-fun *cs n* (*Ast.Mat* (*Ast.Var* (*Short* (*as-string n*))) *ml-cs*) \longleftrightarrow
list-all2 (*rel-prod* *related-pat* *related-exp*) *cs ml-cs* \wedge
n | \notin | *ids* (*Sabs cs*) \wedge *n* | \notin | *all-consts*
<*proof*>

lemma *related-funE*:
assumes *related-fun* *cs n e*
obtains *ml-cs*
where *e* = *Ast.Mat* (*Ast.Var* (*Short* (*as-string n*))) *ml-cs* *n* | \notin | *ids* (*Sabs cs*)
n | \notin | *all-consts*
and *list-all2* (*rel-prod* *related-pat* *related-exp*) *cs ml-cs*
<*proof*>

lemma *related-exp-fun*:
related-fun *cs n e* \longleftrightarrow *related-exp* (*Sabs cs*) (*Ast.Fun* (*as-string n*) *e*) \wedge *n* | \notin | *ids*
(*Sabs cs*) \wedge *n* | \notin | *all-consts*
(is *?lhs* \longleftrightarrow *?rhs*)
<*proof*>

inductive *related-v* :: *value* \Rightarrow *v* \Rightarrow *bool* **where**
conv:

list-all2 related-v us vs \implies
related-v (*Vconstr name us*) (*Conv* (*Some* (*as-string name, -*) *vs*) |
closure:
related-fun cs n e \implies
fmrel-on-fset (*ids* (*Sabs cs*)) *related-v* Γ (*fmap-of-ns* (*sem-env.v env*)) \implies
related-v (*Vabs cs* Γ) (*Closure env* (*as-string n*) *e*) |
rec-closure:
fmrel-on-fset (*fbind* (*fmran css*) (*ids* \circ *Sabs*)) *related-v* Γ (*fmap-of-ns* (*sem-env.v*
env)) \implies
fmrel ($\lambda cs. \lambda(n, e). \text{related-fun } cs \ n \ e$) *css* (*fmap-of-list* (*map* (*map-prod Name*
(*map-prod Name id*)) *exps*)) \implies
related-v (*Vrecabs css name* Γ) (*Recclosure env exps* (*as-string name*))

abbreviation *var-env* :: (*name, value*) *fmap* \Rightarrow (*string* \times *v*) *list* \Rightarrow *bool* **where**
var-env Γ *ns* \equiv *fmrel related-v* Γ (*fmap-of-list* (*map* (*map-prod Name id*) *ns*))

lemma *related-v-ext*:

assumes *related-v v ml-v*
assumes $v' \approx_e v$
shows *related-v v' ml-v*
<proof>

context begin

private inductive *match-result-related* :: (*string* \times *v*) *list* \Rightarrow (*string* \times *v*) *list*
match-result \Rightarrow (*name, value*) *fmap option* \Rightarrow *bool* **for** *eenv* **where**
no-match: *match-result-related eenv No-match None* |
error: *match-result-related eenv Match-type-error -* |
match: *var-env* Γ *eenv-m* \implies *match-result-related eenv* (*Match* (*eenv-m* @ *eenv*))
(*Some* Γ)

private corollary *match-result-related-empty*: *match-result-related eenv* (*Match*
eenv) (*Some fmempty*)
<proof> **fun** *is-Match* :: '*a* *match-result* \Rightarrow *bool* **where**
is-Match (*Match -*) \longleftrightarrow *True* |
is-Match - \longleftrightarrow *False*

lemma *cupcake-pmatch-related*:

assumes *related-v v ml-v*
shows *match-result-related eenv* (*cupcake-pmatch as-static-cenv* (*mk-ml-pat pat*)
ml-v eenv) (*vmatch pat v*)
<proof>

lemma *match-all-related*:

assumes *list-all2* (*rel-prod related-pat related-exp*) *cs ml-cs*
assumes *list-all* ($\lambda(pat, -). \text{linear } pat$) *cs*
assumes *related-v v ml-v*
assumes *cupcake-match-result as-static-cenv ml-v ml-cs Bindv = Rval* (*ml-rhs*,
ml-pat, eenv)

obtains *rhs pat* Γ **where**
ml-pat = *mk-ml-pat* (*mk-pat pat*)
related-exp rhs ml-rhs
vfind-match cs v = *Some* (Γ , *pat*, *rhs*)
var-env Γ *envv*
 <*proof*>

end end

end

5.3.4 Correctness of compilation

theory *CakeML-Correctness*

imports

CakeML-Backend

../Rewriting/Big-Step-Value-ML

begin

context *cakeml'* **begin**

lemma *mk-rec-env-related*:

assumes *fmrel* ($\lambda cs (n, e). \text{related-fun } cs \ n \ e$) *css* (*fmap-of-list* (*map* (*map-prod* *Name* (*map-prod Name id*)) *funs*))

assumes *fmrel-on-fset* (*fbind* (*fmrans* *css*) (*ids* \circ *Sabs*)) *related-v* Γ_Λ (*fmap-of-ns* (*sem-env.v env* $_\Lambda$))

shows *fmrel related-v* (*mk-rec-env* *css* Γ_Λ) (*cake-mk-rec-env* *funs* *env* $_\Lambda$)

<*proof*>

lemma *mk-exp-correctness*:

ids t $| \subseteq | S \implies \text{all-consts } | \subseteq | S \implies \neg \text{shadows-consts } t \implies \text{related-exp } t$ (*mk-exp* *S t*)

ids (*Sabs cs*) $| \subseteq | S \implies \text{all-consts } | \subseteq | S \implies \neg \text{shadows-consts } (Sabs \ cs) \implies \text{list-all2 } (\text{rel-prod } \text{related-pat } \text{related-exp}) \ cs$ (*mk-clauses S cs*)

ids t $| \subseteq | S \implies \text{all-consts } | \subseteq | S \implies \neg \text{shadows-consts } t \implies \text{related-exp } t$ (*mk-con* *S t*)

<*proof*>

context **begin**

private lemma *semantic-correctness0*:

fixes *exp*

assumes *cupcake-evaluate-single env exp r is-cupcake-all-env env*

assumes *fmrel-on-fset* (*ids t*) *related-v* Γ (*fmap-of-ns* (*sem-env.v env*))

assumes *related-exp t exp*

assumes *wellformed t wellformed-venv* Γ

assumes *closed-venv* Γ *closed-except t* (*fmdom* Γ)

assumes *fmpred* ($\lambda-. \text{vwelldefined'}$) Γ *consts t* $| \subseteq | \text{fmdom } \Gamma \cup | C$

assumes *fdisjnt C* (*fmdom* Γ)

assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ
shows *if-rval* $(\lambda ml-v. \exists v. \Gamma \vdash_v t \downarrow v \wedge \text{related-}v\ v\ ml-v)$ r
 \langle *proof* \rangle

theorem *semantic-correctness*:

fixes *exp*
assumes *cupcake-evaluate-single env exp* $(Rval\ ml-v)$ *is-cupcake-all-env env*
assumes *fmrel-on-fset* $(ids\ t)$ *related-v* Γ $(fmap-of-ns\ (sem-env.v\ env))$
assumes *related-exp t exp*
assumes *wellformed t wellformed-venv* Γ
assumes *closed-venv* Γ *closed-except t* $(fmdom\ \Gamma)$
assumes *fmpred* $(\lambda-. vwelldefined')$ Γ *consts t* $|\subseteq|$ $fmdom\ \Gamma$ $|\cup|$ C
assumes *fdisjnt C* $(fmdom\ \Gamma)$
assumes \neg *shadows-consts t not-shadows-vconsts-env* Γ
obtains v **where** $\Gamma \vdash_v t \downarrow v$ *related-v v ml-v*
 \langle *proof* \rangle

end end

end

5.4 Converting bytes to integers

theory *CakeML-Byte*

imports

CakeML.Evaluate-Single

Show.Show-Instances

begin

definition *pat* $::$ *Ast.pat* **where**

pat = *Ast.Pcon* $(Some\ (Short\ "String-char-Char"))$ $(map\ (\lambda n. Ast.Pvar\ ("b" @ show\ n))\ [0..<8])$

definition *cake-plus* $::$ *exp* \Rightarrow *exp* \Rightarrow *exp* **where**

cake-plus t u = *Ast.App* $(Ast.Opn\ Ast.Plus)$ $[t, u]$

lemma *cake-plus-correct*:

assumes *evaluate env s u* = $(s', Rval\ (Litv\ (IntLit\ y)))$

assumes *evaluate env s' t* = $(s'', Rval\ (Litv\ (IntLit\ x)))$

shows *evaluate env s (cake-plus t u)* = $(s'', Rval\ (Litv\ (IntLit\ (x + y))))$

\langle *proof* \rangle

definition *cake-times* $::$ *exp* \Rightarrow *exp* \Rightarrow *exp* **where**

cake-times t u = *Ast.App* $(Ast.Opn\ Ast.Times)$ $[t, u]$

lemma *cake-times-correct*:

assumes *evaluate env s u* = $(s', Rval\ (Litv\ (IntLit\ y)))$

assumes *evaluate env s' t* = $(s'', Rval\ (Litv\ (IntLit\ x)))$

shows *evaluate env s (cake-times t u)* = $(s'', Rval\ (Litv\ (IntLit\ (x * y))))$

<proof>

definition *cake-int-of-bool* :: *exp* ⇒ *exp* **where**

cake-int-of-bool *e* = *Ast.Mat* *e*

[(*Ast.Pcon* (*Some* (*Short* "HOL-False")) [], *Lit* (*IntLit* 0)),
(*Ast.Pcon* (*Some* (*Short* "HOL-True")) [], *Lit* (*IntLit* 1))]

definition *summands* :: *exp list* **where**

summands = *map* ($\lambda n.$ *cake-times* (*Lit* (*IntLit* ($2 \wedge n$))) (*cake-int-of-bool* (*Ast.Var* (*Short* ("b" @ *show* *n*))))) [0..*8*]

definition *cake-int-of-byte* :: *exp* **where**

cake-int-of-byte =

Ast.Fun "x" (*Ast.Mat* (*Ast.Var* (*Short* "x")) [(*pat*, *foldl* *cake-plus* (*Lit* (*IntLit* 0)) *summands*)])

end

Chapter 6

Composition of phases and full compilation pipeline

```
theory Doc-Compiler
imports Main
begin

end
```

6.1 Composition of correctness results

```
theory Composition
imports ../Backend/CakeML-Correctness
begin
```

```
hide-const (open) sem-env.v
```

```
Term-Class.term  $\longrightarrow$  nterm  $\longrightarrow$  pterm  $\longrightarrow$  sterm
```

6.1.1 Reflexive-transitive closure of *irules.compile-correct*.

```
lemma (in prules) prewrite-closed:
```

```
  assumes  $rs \vdash_p t \longrightarrow t'$  closed  $t$ 
```

```
  shows closed  $t'$ 
```

```
 $\langle$ proof $\rangle$ 
```

```
corollary (in prules) prewrite-rt-closed:
```

```
  assumes  $rs \vdash_p t \longrightarrow^* t'$  closed  $t$ 
```

```
  shows closed  $t'$ 
```

```
 $\langle$ proof $\rangle$ 
```

```
corollary (in irules) compile-correct-rt:
```

```
  assumes Rewriting-Pterm.compile  $rs \vdash_p t \longrightarrow^* t'$  finished  $rs$ 
```

```
  shows  $rs \vdash_i t \longrightarrow^* t'$ 
```

```
 $\langle$ proof $\rangle$ 
```

6.1.2 Reflexive-transitive closure of *prules.compile-correct*.

lemma (in *prules*) *compile-correct-rt*:

assumes *Rewriting-Sterm.compile* $rs \vdash_s u \longrightarrow^* u'$

shows $rs \vdash_p \text{stern-to-pterm } u \longrightarrow^* \text{stern-to-pterm } u'$

<proof>

lemma *srewrite-stepD*:

assumes *srewrite-step* $rs \text{ name } t$

shows $(\text{name}, t) \in \text{set } rs$

<proof>

lemma (in *srules*) *srewrite-wellformed*:

assumes $rs \vdash_s t \longrightarrow t' \text{ wellformed } t$

shows *wellformed* t'

<proof>

lemma (in *srules*) *srewrite-wellformed-rt*:

assumes $rs \vdash_s t \longrightarrow^* t' \text{ wellformed } t$

shows *wellformed* t'

<proof>

lemma *vno-abs-value-to-stern*: *no-abs* (*value-to-stern* v) \longleftrightarrow *vno-abs* v **for** v

<proof>

6.1.3 Reflexive-transitive closure of *rules.compile-correct*.

corollary (in *rules*) *compile-correct-rt*:

assumes *compile* $\vdash_n u \longrightarrow^* u' \text{ closed } u$

shows $rs \vdash \text{nterm-to-term}' u \longrightarrow^* \text{nterm-to-term}' u'$

<proof>

6.1.4 Reflexive-transitive closure of *irules.transform-correct*.

corollary (in *irules*) *transform-correct-rt*:

assumes *transform-irule-set* $rs \vdash_i u \longrightarrow^* u'' t \approx_p u \text{ closed } t$

obtains t'' **where** $rs \vdash_i t \longrightarrow^* t'' t'' \approx_p u''$

<proof>

corollary (in *irules*) *transform-correct-rt-no-abs*:

assumes *transform-irule-set* $rs \vdash_i t \longrightarrow^* u \text{ closed } t \text{ no-abs } u$

shows $rs \vdash_i t \longrightarrow^* u$

<proof>

corollary *transform-correct-rt-n-no-abs0*:

assumes *irules* $C \text{ } rs$ (*transform-irule-set* $\overset{\sim}{\sim} n$) $rs \vdash_i t \longrightarrow^* u \text{ closed } t \text{ no-abs } u$

shows $rs \vdash_i t \longrightarrow^* u$

<proof>

corollary (in *irules*) *transform-correct-rt-n-no-abs*:

assumes $(\text{transform-irule-set } \overset{\sim}{\sim} n) \text{ rs } \vdash_i t \longrightarrow^* u \text{ closed } t \text{ no-abs } u$
shows $\text{rs } \vdash_i t \longrightarrow^* u$
 $\langle \text{proof} \rangle$

hide-fact $\text{transform-correct-rt-n-no-abs0}$

6.1.5 Iterated application of $\text{transform-irule-set}$.

definition $\text{max-arity} :: \text{irule-set} \Rightarrow \text{nat}$ **where**
 $\text{max-arity } \text{rs} = \text{fMax } ((\text{arity} \circ \text{snd}) \mid^! \text{rs})$

lemma $\text{rules-transform-iter0}$:
assumes $\text{irules } C\text{-info } \text{rs}$
shows $\text{irules } C\text{-info } ((\text{transform-irule-set } \overset{\sim}{\sim} n) \text{rs})$
 $\langle \text{proof} \rangle$

lemma **(in** irules **)** $\text{rules-transform-iter}$: $\text{irules } C\text{-info } ((\text{transform-irule-set } \overset{\sim}{\sim} n) \text{rs})$
 $\langle \text{proof} \rangle$

lemma $\text{transform-irule-set-n-heads}$: $\text{fst } \mid^! ((\text{transform-irule-set } \overset{\sim}{\sim} n) \text{rs}) = \text{fst } \mid^! \text{rs}$
 $\langle \text{proof} \rangle$

hide-fact $\text{rules-transform-iter0}$

definition $\text{transform-irule-set-iter} :: \text{irule-set} \Rightarrow \text{irule-set}$ **where**
 $\text{transform-irule-set-iter } \text{rs} = (\text{transform-irule-set } \overset{\sim}{\sim} \text{max-arity } \text{rs}) \text{rs}$

lemma $\text{transform-irule-set-iter-heads}$: $\text{fst } \mid^! \text{transform-irule-set-iter } \text{rs} = \text{fst } \mid^! \text{rs}$
 $\langle \text{proof} \rangle$

lemma **(in** irules **)** finished-alt-def : $\text{finished } \text{rs} \longleftrightarrow \text{max-arity } \text{rs} = 0$
 $\langle \text{proof} \rangle$

lemma **(in** irules **)** $\text{transform-finished-id}$: $\text{finished } \text{rs} \Longrightarrow \text{transform-irule-set } \text{rs} = \text{rs}$
 $\langle \text{proof} \rangle$

lemma **(in** irules **)** max-arity-decr : $\text{max-arity } (\text{transform-irule-set } \text{rs}) = \text{max-arity } \text{rs} - 1$
 $\langle \text{proof} \rangle$

lemma max-arity-decr'0 :
assumes $\text{irules } C \text{rs}$
shows $\text{max-arity } ((\text{transform-irule-set } \overset{\sim}{\sim} n) \text{rs}) = \text{max-arity } \text{rs} - n$
 $\langle \text{proof} \rangle$

lemma **(in** irules **)** max-arity-decr' : $\text{max-arity } ((\text{transform-irule-set } \overset{\sim}{\sim} n) \text{rs}) =$

max-arity $rs - n$
<proof>

hide-fact *max-arity-decr'0*

lemma (*in irules*) *transform-finished: finished (transform-irule-set-iter rs)*
<proof>

Trick as described in §7.1 in the locale manual.

locale *irules' = irules*

sublocale *irules' ⊆ irules'-as-irules: irules C-info transform-irule-set-iter rs*
<proof>

sublocale *crules ⊆ crules-as-irules': irules' C-info Rewriting-Pterm-Elim.compile*
rs
<proof>

sublocale *irules' ⊆ irules'-as-prules: prules C-info Rewriting-Pterm.compile (transform-irule-set-iter*
rs)
<proof>

6.1.6 Big-step semantics

context *srules begin*

definition *global-css :: (name, sclauses) fmap where*
global-css = fmap-of-list (map (map-prod id clauses) rs)

lemma *fmdom-global-css: fmdom global-css = fst |^q fset-of-list rs*
<proof>

definition *as-vrules :: vrule list where*
as-vrules = map (λ(name, -). (name, Vrecabs global-css name fmempty)) rs

lemma *as-vrules-fst[simp]: fst |^q fset-of-list as-vrules = fst |^q fset-of-list rs*
<proof>

lemma *as-vrules-fst'[simp]: map fst as-vrules = map fst rs*
<proof>

lemma *list-all-as-vrulesI:*
 assumes *list-all (λ(-, t). P fmempty (clauses t)) rs*
 assumes *R (fst |^q fset-of-list rs)*
 shows *list-all (λ(-, t). value-pred.pred P Q R t) as-vrules*
<proof>
 including *fset.lifting <proof>*

lemma *srules-as-vrules: vrules C-info as-vrules*

<proof>

sublocale *srules-as-vrules: vrules C-info as-vrules*

<proof>

lemma *rs'-rs-eq: srules-as-vrules.rs' = rs*

<proof>

lemma *veval-correct:*

fixes *v*

assumes *as-vrules, fmempty* $\vdash_v t \downarrow v$ *wellformed t closed t*

shows *rs, fmempty* $\vdash_s t \downarrow$ *value-to-sterm v*

<proof>

end

6.1.7 ML-style semantics

context *srules begin*

lemma *as-vrules-mk-rec-env: fmap-of-list as-vrules = mk-rec-env global-css fmempty*

<proof>

abbreviation (*input*) *vrelated* \equiv *srules-as-vrules.vrelated*

notation *srules-as-vrules.vrelated* ($\vdash_v / - \approx - [0, 50] 50$)

lemma *vrecabs-global-css-refl:*

assumes *name* $|\in|$ *fmdom global-css*

shows \vdash_v *Vrecabs global-css name fmempty* \approx *Vrecabs global-css name fmempty*

<proof>

lemma *as-vrules-refl-rs: fmrel-on-fset (fst | \uparrow | fset-of-list as-vrules) vrelated (fmap-of-list as-vrules) (fmap-of-list as-vrules)*

<proof>

lemma *as-vrules-refl-C: fmrel-on-fset C vrelated (fmap-of-list as-vrules) (fmap-of-list as-vrules)*

<proof>

lemma *veval'-correct'':*

fixes *t v*

assumes *fmap-of-list as-vrules* $\vdash_v t \downarrow v$

assumes *wellformed t*

assumes \neg *shadows-consts t*

assumes *welldefined t*

assumes *closed t*

assumes *vno-abs v*

shows *as-vrules, fmempty* $\vdash_v t \downarrow v$

<proof>

end

6.1.8 CakeML

context *srules* **begin**

definition *as-sem-env* :: *v sem-env* \Rightarrow *v sem-env* **where**

as-sem-env env = (\lfloor *sem-env.v* = *build-rec-env* (*mk-letrec-body all-consts rs*) *env*
nsEmpty, *sem-env.c* = *nsEmpty* \rfloor)

lemma *compile-sem-env*:

evaluate-dec ck mn env state (*compile-group all-consts rs*) (*state*, *Rval* (*as-sem-env env*))
 \langle *proof* \rangle

lemma *compile-sem-env'*:

fun-evaluate-decs mn state env [(*compile-group all-consts rs*)] = (*state*, *Rval* (*as-sem-env env*))
 \langle *proof* \rangle

lemma *compile-prog*[*unfolded combine-dec-result.simps, simplified*]:

evaluate-prog ck env state (*compile rs*) (*state*, *combine-dec-result* (*as-sem-env env*))
(*Rval* (\lfloor *sem-env.v* = *nsEmpty*, *sem-env.c* = *nsEmpty* \rfloor))
 \langle *proof* \rangle

lemma *compile-prog'*[*unfolded combine-dec-result.simps, simplified*]:

fun-evaluate-prog state env (*compile rs*) = (*state*, *combine-dec-result* (*as-sem-env env*))
(*Rval* (\lfloor *sem-env.v* = *nsEmpty*, *sem-env.c* = *nsEmpty* \rfloor))
 \langle *proof* \rangle

definition *sem-env* :: *v sem-env* **where**

sem-env \equiv *extend-dec-env* (*as-sem-env empty-sem-env*) *empty-sem-env*

lemma *cupcake-sem-env*: *is-cupcake-all-env sem-env*

\langle *proof* \rangle

lemma *sem-env-reft*: *fmrel related-v* (*fmap-of-list as-vrules*) (*fmap-of-ns* (*sem-env.v sem-env*))

\langle *proof* \rangle

including *fmap.lifting*

\langle *proof* \rangle

lemma *semantic-correctness'*:

assumes *cupcake-evaluate-single sem-env* (*mk-con all-consts t*) (*Rval ml-v*)

assumes *welldefined t closed t* \neg *shadows-consts t wellformed t*

obtains *v* **where** *fmap-of-list as-vrules* \vdash_v *t* \downarrow *v related-v v ml-v*

```

⟨proof⟩

end

fun cake-to-value :: v ⇒ value where
cake-to-value (Conv (Some (name, -)) vs) = Vconstr (Name name) (map cake-to-value
vs)

context cakeml' begin

lemma cake-to-value-abs-free:
  assumes is-cupcake-value v cake-no-abs v
  shows vno-abs (cake-to-value v)
  ⟨proof⟩

lemma cake-to-value-related:
  assumes cake-no-abs v is-cupcake-value v
  shows related-v (cake-to-value v) v
  ⟨proof⟩

lemma related-v-abs-free-uniq:
  assumes related-v v1 ml-v related-v v2 ml-v cake-no-abs ml-v
  shows v1 = v2
  ⟨proof⟩

corollary related-v-abs-free-cake-to-value:
  assumes related-v v ml-v cake-no-abs ml-v is-cupcake-value ml-v
  shows v = cake-to-value ml-v
  ⟨proof⟩

end

context srules begin

lemma cupcake-sem-env-preserve:
  assumes cupcake-evaluate-single sem-env (mk-con S t) (Rval ml-v) wellformed t
  shows is-cupcake-value ml-v
  ⟨proof⟩

lemma semantic-correctness'':
  assumes cupcake-evaluate-single sem-env (mk-con all-consts t) (Rval ml-v)
  assumes welldefined t closed t ¬ shadows-consts t wellformed t
  assumes cake-no-abs ml-v
  shows fmap-of-list as-vrules ⊢v t ↓ cake-to-value ml-v
  ⟨proof⟩

end

```

6.1.9 Composition

context *rules* **begin**

abbreviation *term-to-nterm* **where**

term-to-nterm $t \equiv \text{fresh-frun } (\text{Term-to-Nterm.term-to-nterm } [] t) \text{ all-consts}$

abbreviation *stern-to-cake* **where**

stern-to-cake $\equiv \text{rules-as-nrules.crules-as-irules'.irules'-as-prules.prules-as-srules.mk-con all-consts}$

abbreviation *term-to-cake* $t \equiv \text{stern-to-cake } (\text{pterm-to-stern } (\text{nterm-to-pterm } (\text{term-to-nterm } t)))$

abbreviation *cake-to-term* $t \equiv (\text{convert-term } (\text{value-to-stern } (\text{cake-to-value } t)) :: \text{term})$

abbreviation *cake-sem-env* $\equiv \text{rules-as-nrules.crules-as-irules'.irules'-as-prules.prules-as-srules.sem-env}$

definition *compiled* $\equiv \text{rules-as-nrules.crules-as-irules'.irules'-as-prules.prules-as-srules.as-vrules}$

lemma *fndom-compiled*: $\text{fndom } (\text{fmap-of-list } \text{compiled}) = \text{heads-of } \text{rs}$

<proof>

lemma *cake-semantic-correctness*:

assumes *cupcake-evaluate-single* *cake-sem-env* $(\text{stern-to-cake } t) (Rval \text{ ml-v})$

assumes *welldefined* t *closed* $t \neg \text{shadows-consts } t$ *wellformed* t

assumes *cake-no-abs* ml-v

shows $\text{fmap-of-list } \text{compiled} \vdash_v t \downarrow \text{cake-to-value } \text{ml-v}$

<proof>

Lo and behold, this is the final correctness theorem!

theorem *compiled-correct*:

— If CakeML evaluation of a term succeeds ...

assumes $\exists k. \text{Evaluate-Single.evaluate } \text{cake-sem-env } (s \text{ [] clock := } k \text{ []}) (\text{term-to-cake } t) = (s', Rval \text{ ml-v})$

— ... producing a constructor term without closures ...

assumes *cake-no-abs* ml-v

— ... and some syntactic properties of the involved terms hold ...

assumes *closed* $t \neg \text{shadows-consts } t$ *welldefined* t *wellformed* t

— ... then this evaluation can be reproduced in the term-rewriting semantics

shows $\text{rs} \vdash t \longrightarrow^* \text{cake-to-term } \text{ml-v}$

<proof>

end

end

6.2 Executable compilation chain

```
theory Compiler
imports Composition
begin
```

definition *term-to-exp* :: *C-info* \Rightarrow *rule fset* \Rightarrow *term* \Rightarrow *exp* **where**
term-to-exp *C-info* *rs* *t* =
 cakeml.mk-con *C-info* (heads-of *rs* \cup constructors.*C* *C-info*)
 (pterm-to-stern (nterm-to-pterm (fresh-frun (term-to-nterm [] *t*) (heads-of *rs*
 \cup constructors.*C* *C-info*))))

lemma (in *rules*) *Compiler.term-to-exp* *C-info* *rs* = *term-to-cake*
 <proof>

primrec *compress-pterm* :: *pterm* \Rightarrow *pterm* **where**
compress-pterm (*Pabs* *cs*) = *Pabs* (fcompress (map-prod id *compress-pterm* |¹ *cs*)
 |
compress-pterm (*Pconst* *name*) = *Pconst* *name* |
compress-pterm (*Pvar* *name*) = *Pvar* *name* |
compress-pterm (*t* \$_{*p*} *u*) = *compress-pterm* *t* \$_{*p*} *compress-pterm* *u*

lemma *compress-pterm-eq[simp]*: *compress-pterm* *t* = *t*
 <proof>

definition *compress-crule-set* :: *crule-set* \Rightarrow *crule-set* **where**
compress-crule-set = fcompress \circ fimage (map-prod id fcompress)

definition *compress-irule-set* :: *irule-set* \Rightarrow *irule-set* **where**
compress-irule-set = fcompress \circ fimage (map-prod id (fcompress \circ fimage (map-prod
 id *compress-pterm*)))

definition *compress-prule-set* :: *prule fset* \Rightarrow *prule fset* **where**
compress-prule-set = fcompress \circ fimage (map-prod id *compress-pterm*)

lemma *compress-crule-set-eq[simp]*: *compress-crule-set* *rs* = *rs*
 <proof>

lemma *compress-irule-set-eq[simp]*: *compress-irule-set* *rs* = *rs*
 <proof>

lemma *compress-prule-set[simp]*: *compress-prule-set* *rs* = *rs*
 <proof>

definition *transform-irule-set-iter* :: *irule-set* \Rightarrow *irule-set* **where**
transform-irule-set-iter *rs* = ((transform-irule-set \circ *compress-irule-set*) \rightsquigarrow max-arity
rs) *rs*

definition *as-sem-env* :: *C-info* \Rightarrow *srule list* \Rightarrow *v sem-env* \Rightarrow *v sem-env* **where**

as-sem-env *C-info* *rs* *env* =
 (| *sem-env.v* =
 build-rec-env (*cakeml.mk-letrec-body* *C-info* (*fset-of-list* (*map fst* *rs*) |*U*| *con-*
structors.C C-info) *rs*) *env* *nsEmpty*,
 sem-env.c =
 nsEmpty |)

definition *empty-sem-env* :: *C-info* \Rightarrow *v sem-env* **where**
empty-sem-env *C-info* = (| *sem-env.v* = *nsEmpty*, *sem-env.c* = *constructors.as-static-cenv*
C-info |)

definition *sem-env* :: *C-info* \Rightarrow *srule list* \Rightarrow *v sem-env* **where**
sem-env *C-info* *rs* = *extend-dec-env* (*as-sem-env* *C-info* *rs* (*empty-sem-env* *C-info*))
 (*empty-sem-env* *C-info*)

definition *compile* :: *C-info* \Rightarrow *rule fset* \Rightarrow *Ast.prog* **where**

compile *C-info* =
 CakeML-Backend.compile' *C-info* \circ
 Rewriting-Sterm.compile \circ
 compress-prule-set \circ
 Rewriting-Pterm.compile \circ
 transform-irule-set-iter \circ
 compress-irule-set \circ
 Rewriting-Pterm-Elim.compile \circ
 compress-crule-set \circ
 Rewriting-Nterm.consts-of \circ
 fcompress \circ
 Rewriting-Nterm.compile' *C-info* \circ
 fcompress

definition *compile-to-env* :: *C-info* \Rightarrow *rule fset* \Rightarrow *v sem-env* **where**

compile-to-env *C-info* =
 sem-env *C-info* \circ
 Rewriting-Sterm.compile \circ
 compress-prule-set \circ
 Rewriting-Pterm.compile \circ
 transform-irule-set-iter \circ
 compress-irule-set \circ
 Rewriting-Pterm-Elim.compile \circ
 compress-crule-set \circ
 Rewriting-Nterm.consts-of \circ
 fcompress \circ
 Rewriting-Nterm.compile' *C-info* \circ
 fcompress

lemma (in rules) *Compiler.compile-to-env* *C-info* *rs* = *rules.cake-sem-env* *C-info*
rs
 (proof)

```
export-code  
  term-to-exp compile compile-to-env  
  checking Scala  
  
end
```