

Combinatorics on Words formalized
Binary codes that do not preserve primitivity

Štěpán Holub
Martin Raška

April 18, 2024

Funded by the Czech Science Foundation grant GAČR 20-20621S.

Contents

0.1	Lemmas for covered x square	2
0.1.1	Two particular cases	2
0.1.2	Main cases	2
0.2	Square interpretation	3
0.2.1	Locale: interpretation	4
0.2.2	Locale with additional parameters	5
0.2.3	Back to the main locale	6
0.2.4	Locale: Extendable interpretation	7
0.3	General primitivity not preserving codes	8
0.4	Covered uniform square	9
0.4.1	Primitivity (non)preserving uniform binary codes	10
0.5	The main theorem	11
0.5.1	Imprimitive words with single y	11
0.5.2	Conjugate words	11
0.5.3	Square factor of the longer word and both words primitive (was all _{assms})	11
0.5.4	Obtaining primitivity with two squares (refining)	11
0.5.5	Obtaining the square of the longer word (gluing)	12
0.6	Examples	12
0.7	Primitivity non-preserving binary code	13
0.7.1	The target theorem	13
0.8	Upper bound of the power exponent in the canonical imprimitivity witness	14
0.8.1	Optimality of the exponent upper bound	15
0.9	Characterization of binary primitivity preserving morphisms given by a pair of words	15
0.9.1	Code equation for <i>bin-prim</i> predicate	16
0.10	Characterization of binary imprimitivity codes	17
	References	18

theory *Binary-Square-Interpretation*

imports

Combinatorics-Words.Submonoids

Combinatorics-Words.Equations-Basic

begin

0.1 Lemmas for covered x square

This section explores various variants of the situation when $x \cdot x$ is covered with $x \cdot y^{\textcircled{a}} k \cdot u \cdot v \cdot y^{\textcircled{a}} l \cdot x$, with $y = u \cdot v$, and the displayed dots being synchronized.

0.1.1 Two particular cases

lemma *pref-suf-pers-short*: **assumes** $x \leq_p v \cdot x$ **and** $|v \cdot u| < |x|$ **and** $x \leq_s r \cdot u \cdot v \cdot u$ **and** $r \in \langle \{u, v\} \rangle$

— $x \cdot x$ is covered by $(p \cdot u \cdot v \cdot u) \cdot v \cdot x$, the displayed dots being synchronized

— That is, the condition on the first x in $x \cdot y^{\textcircled{a}} k \cdot u \cdot v \cdot y^{\textcircled{a}} l \cdot x$ is relaxed

shows $u \cdot v = v \cdot u$

<proof>

lemma *pref-suf-pers-large-overlap*:

assumes

$p \leq_p x$ **and** $s \leq_s x$ **and** $p \leq_p r \cdot p$ **and** $s \leq_s s \cdot r$ **and** $|x| + |r| \leq |p| + |s|$

shows $x \cdot r = r \cdot x$

<proof>

0.1.2 Main cases

locale *pref-suf-pers* =

fixes $x u v k m$

assumes

x-pref: $x \leq_p (v \cdot (u \cdot v)^{\textcircled{a}} k) \cdot x$ — $\leq_p x (p \cdot x)$ **and** $\leq_p p (q \cdot p)$ where $q = v \cdot u$

and

x-suf: $x \leq_s x \cdot (u \cdot v)^{\textcircled{a}} m \cdot u$ — $\leq_s x (s \cdot x)$ **and** $\leq_s s (q' \cdot s)$ where $q' = u \cdot v$

and *k-pos*: $0 < k$ **and** *m-pos*: $0 < m$

begin

lemma *pref-suf-commute-all-commutes*:

assumes $|u \cdot v| \leq |x|$ **and** $u \cdot v = v \cdot u$

shows *commutes* $\{u, v, x\}$

<proof>

lemma *no-overlap*:

assumes

len: $|v \cdot (u \cdot v)^{\textcircled{a}} k| + |(u \cdot v)^{\textcircled{a}} m \cdot u| \leq |x|$ (**is** $|?p| + |?s| \leq |x|$) **and**

$0 < k$ $0 < m$

shows *commutes* $\{u, v, x\}$

<proof>

lemma *no-overlap'*:

assumes

len: $|v \cdot (u \cdot v)^{\textcircled{k}}| + |(u \cdot v)^{\textcircled{m}} \cdot u| \leq |x|$ (**is** $|?p| + |?s| \leq |x|$)

and $0 < k \ 0 < m$

shows $u \cdot v = v \cdot u$

<proof>

lemma *short-overlap*:

assumes

len1: $|x| < |v \cdot (u \cdot v)^{\textcircled{k}}| + |(u \cdot v)^{\textcircled{m}} \cdot u|$ (**is** $|x| < |?p| + |?s|$) **and**

len2: $|v \cdot (u \cdot v)^{\textcircled{k}}| + |(u \cdot v)^{\textcircled{m}} \cdot u| \leq |x| + |u|$ (**is** $|?p| + |?s| \leq |x| + |u|$)

shows *commutes* $\{u, v, x\}$

<proof>

lemma *medium-overlap*:

assumes

len1: $|x| + |u| < |v \cdot (u \cdot v)^{\textcircled{k}}| + |(u \cdot v)^{\textcircled{m}} \cdot u|$ (**is** $|x| + |u| < |?p| + |?s|$)

and

len2: $|v \cdot (u \cdot v)^{\textcircled{k}}| + |(u \cdot v)^{\textcircled{m}} \cdot u| < |x| + |u \cdot v|$ (**is** $|?p| + |?s| < |x| + |u \cdot v|$)

shows *commutes* $\{u, v, x\}$

<proof>

thm

no-overlap

short-overlap

medium-overlap

end

thm

pref-suf-pers.no-overlap

pref-suf-pers.short-overlap

pref-suf-pers.medium-overlap

pref-suf-pers.large-overlap

0.2 Square interpretation

In this section fundamental description is given of (the only) possible $\{x, y\}$ -interpretation of the square $x \cdot x$, where $|y| \leq |x|$. The proof is divided into several locales.

lemma *cover-not-disjoint*:

shows *primitive* $(\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a})$ (**is** *primitive* $?x$) **and**

primitive $(\mathbf{a} \cdot \mathbf{b})$ (**is** *primitive* $?y$) **and**

$(\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a}) \cdot (\mathbf{a} \cdot \mathbf{b}) \neq (\mathbf{a} \cdot \mathbf{b}) \cdot (\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a})$

(**is** $?x \cdot ?y \neq ?y \cdot ?x$) **and**

$\varepsilon (\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a}) \cdot (\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a}) (\mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a}) \sim_{\mathcal{I}} [(\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a}), (\mathbf{a} \cdot \mathbf{b}), (\mathbf{a} \cdot \mathbf{b}), (\mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a} \cdot \mathbf{b} \cdot \mathbf{a})]$
 (is $\varepsilon ?x \cdot ?x ?s \sim_{\mathcal{I}} [?x, ?y, ?y, ?x]$)
 <proof>

0.2.1 Locale: interpretation

locale *square-interp* =

— The basic set of assumptions
 — The goal is to arrive at $ws = [x] \cdot [y] \textcircled{a} k \cdot [x]$ including the description of the interpretation in terms of the first and the second occurrence of x in the interpreted square.

fixes $x y p s ws$

assumes

non-comm: $x \cdot y \neq y \cdot x$ **and**

prim-x: *primitive x* **and**

y-le-x: $|y| \leq |x|$ **and**

ws-lists: $ws \in \text{lists } \{x, y\}$ **and**

nconjug: $\neg x \sim y$ **and**

disj-interp: $p [x, x] s \sim_{\mathcal{D}} ws$

begin

lemma *interp*: $p (x \cdot x) s \sim_{\mathcal{I}} ws$

<proof>

lemma *disjoint*: $p1 \leq_p [x, x] \implies p2 \leq_p ws \implies p \cdot \text{concat } p1 \neq \text{concat } p2$

<proof>

interpretation *binary-code x y*

<proof>

lemmas *interpret-concat* = *fac-interpD(3)[OF interp]*

lemma *p-nemp*: $p \neq \varepsilon$

<proof>

lemma *s-nemp*: $s \neq \varepsilon$

<proof>

lemma *x-root*: $\rho x = x$

<proof>

lemma *ws-nemp*: $ws \neq \varepsilon$

<proof>

lemma *hd-ws-lists*: $\text{hd } ws \in \{x, y\}$

<proof>

lemma *last-ws-lists*: $last\ ws \in \{x, y\}$
<proof>

lemma *kE*: **obtains** k **where** $[hd\ ws] \cdot [y]^{\textcircled{a}}k \cdot [last\ ws] = ws$
<proof>

lemma *l-mE*: **obtains** $m\ u\ v\ l$ **where** $(hd\ ws) \cdot y^{\textcircled{a}}m \cdot u = p \cdot x$ **and** $v \cdot y^{\textcircled{a}}l \cdot (last\ ws) = x \cdot s$ **and**
 $u \cdot v = y\ u \neq \varepsilon\ v \neq \varepsilon$ **and** $x \cdot (v \cdot u) \neq (v \cdot u) \cdot x$
<proof>

lemma *last-ws*: $last\ ws = x$
<proof>

lemma *rev-square-interp*:
square-interp (*rev* x) (*rev* y) (*rev* s) (*rev* p) (*rev* (*map* *rev* ws))
<proof>

lemma *hd-ws*: $hd\ ws = x$
<proof>

lemma *p-pref*: $p <_p x$
<proof>

lemma *s-suf*: $s <_s x$
<proof>

end

0.2.2 Locale with additional parameters

locale *square-interp-plus* = *square-interp* +
fixes $l\ m\ u\ v$
assumes *fst-x*: $x \cdot y^{\textcircled{a}}m \cdot u = p \cdot x$ **and**
snd-x: $v \cdot y^{\textcircled{a}}l \cdot x = x \cdot s$ **and**
uv-y: $u \cdot v = y$ **and**
u-nemp: $u \neq \varepsilon$ **and** *v-nemp*: $v \neq \varepsilon$ **and**
vu-x-non-comm: $x \cdot (v \cdot u) \neq (v \cdot u) \cdot x$
begin

interpretation *binary-code* $x\ y$
<proof>

lemma *rev-square-interp-plus*: *square-interp-plus* (*rev* x) (*rev* y) (*rev* s) (*rev* p)
(*rev* (*map* *rev* ws)) $m\ l$ (*rev* v) (*rev* u)
<proof>

Exactly one of the exponents is zero: impossible.

Uses lemma $\llbracket \leq_p ?x (?v \cdot ?x); |?v \cdot ?u| < |?x|; \leq_s ?x (?r \cdot ?u \cdot ?v \cdot ?u); ?r \in \langle \{?u, ?v\} \rangle \rrbracket \implies ?u \cdot ?v = ?v \cdot ?u$ and exploits the symmetric interpretation.

lemma *fst-exp-zero*: assumes $m = 0$ and $0 < l$ shows *False*
 $\langle proof \rangle$

lemma *snd-exp-zero*: assumes $0 < m$ and $l = 0$ shows *False*
 $\langle proof \rangle$

Both exponents positive: impossible

lemma *both-exps-pos*: assumes $0 < m$ and $0 < l$ shows *False*
 $\langle proof \rangle$

thm *suf-cancel-conv*

end

0.2.3 Back to the main locale

context *square-interp*

begin

definition *u where* $u = x^{-1} \langle p \cdot x \rangle$

definition *v where* $v = (x \cdot s)^{\langle -1 \rangle} x$

lemma *cover-xyx*: $ws = [x, y, x]$ and *vu-x-non-comm*: $x \cdot (v \cdot u) \neq (v \cdot u) \cdot x$ and *uv-y*: $u \cdot v = y$ and

***px-xu*: $p \cdot x = x \cdot u$ and *vx-xs*: $v \cdot x = x \cdot s$ and *u-nemp*: $u \neq \varepsilon$ and *v-nemp*: $v \neq \varepsilon$**
 $\langle proof \rangle$

lemma *cover*: $x \cdot y \cdot x = p \cdot x \cdot x \cdot s$
 $\langle proof \rangle$

lemma *conjug-facs*: $\varrho u \sim \varrho v$
 $\langle proof \rangle$

term *square-interp.v*

— We have a detailed information about all words

lemma *bin-sq-interpE*: obtains $r \ t \ m \ k \ l$

where $(t \cdot r)^{\textcircled{k}} = u$ and $(r \cdot t)^{\textcircled{l}} = v$ and

$(r \cdot t)^{\textcircled{m}} \cdot r = x$ and $(t \cdot r)^{\textcircled{k}} \cdot (r \cdot t)^{\textcircled{l}} = y$

and $(r \cdot t)^{\textcircled{k}} = p$ and $(t \cdot r)^{\textcircled{l}} = s$ and $r \cdot t \neq t \cdot r$ and

$0 < k$ and $0 < m$ and $0 < l$

$\langle proof \rangle$

end

0.2.4 Locale: Extendable interpretation

Further specification follows from the assumption that the interpretation is extendable, that is, the covered $x \cdot x$ is a factor of a word composed of $\{x, y\}$. Namely, u and v are then conjugate by x .

locale *square-interp-ext* = *square-interp* +
assumes *p-extend*: $\exists pe. pe \in \langle \{x, y\} \rangle \wedge p \leq_s pe$ **and**
s-extend: $\exists se. se \in \langle \{x, y\} \rangle \wedge s \leq_p se$

begin

lemma *s-pref-y*: $s \leq_p y$
<proof>

lemma *rev-square-interp-ext*: *square-interp-ext* (*rev x*) (*rev y*) (*rev s*) (*rev p*) (*rev (map rev ws)*)
<proof>

lemma *p-suf-y*: $p \leq_s y$
<proof>

theorem *bin-sq-interp-extE*: **obtains** $r\ t\ k\ m$ **where** $(r \cdot t)^{\textcircled{m}} \cdot r = x$ **and** $(t \cdot r)^{\textcircled{k}} \cdot (r \cdot t)^{\textcircled{k}} = y$
 $(r \cdot t)^{\textcircled{k}} = p$ **and** $(t \cdot r)^{\textcircled{k}} = s$ **and** $r \cdot t \neq t \cdot r$ **and** $u = s$ **and** $v = p$ **and**
 $|p| = |s|$ **and**
 $0 < k$ **and** $0 < m$
<proof>

lemma *ps-len*: $|p| = |s|$ **and** *p-eq-v*: $p = v$ **and** *s-eq-u*: $s = u$
<proof>

lemma *v-x-x-u*: $v \cdot x = x \cdot u$
<proof>

lemma *sp-y*: $s \cdot p = y$
<proof>

lemma *p-x-x-s*: $p \cdot x = x \cdot s$
<proof>

lemma *xy-root*: $x \cdot x \cdot y = (x \cdot p) \cdot (x \cdot p)$
<proof>

theorem *sq-ext-interp*: $ws = [x, y, x]$ $s \cdot p = y$ $p \cdot x = x \cdot s$
<proof>

end

theorem *bin-sq-interpE*:

assumes $x \cdot y \neq y \cdot x$ **and** *primitive* x **and** $|y| \leq |x|$ **and** $ws \in \text{lists } \{x, y\}$ **and**
 $\neg x \sim y$ **and**
 $p [x,x] s \sim_{\mathcal{D}} ws$
obtains $r t m k l$ **where** $(r \cdot t)^{\textcircled{a}} m \cdot r = x$ **and** $(t \cdot r)^{\textcircled{a}} k \cdot (r \cdot t)^{\textcircled{a}} l = y$
 $(r \cdot t)^{\textcircled{a}} k = p$ **and** $(t \cdot r)^{\textcircled{a}} l = s$ **and** $r \cdot t \neq t \cdot r$ **and** $0 < k \ 0 < m \ 0 < l$
<proof>

theorem *bin-sq-interp*:

assumes $x \cdot y \neq y \cdot x$ **and** *primitive* x **and** $|y| \leq |x|$ **and** $ws \in \text{lists } \{x, y\}$ **and**
 $\neg x \sim y$ **and**
 $p [x,x] s \sim_{\mathcal{D}} ws$
shows $ws = [x,y,x]$
<proof>

theorem *bin-sq-interp-extE*:

assumes $x \cdot y \neq y \cdot x$ **and** *primitive* x **and** $|y| \leq |x|$ **and** $ws \in \text{lists } \{x, y\}$ **and**
 $\neg x \sim y$ **and**
 $p [x,x] s \sim_{\mathcal{D}} ws$ **and**
p-extend: $\exists pe. pe \in \langle \{x,y\} \rangle \wedge p \leq_s pe$ **and**
s-extend: $\exists se. se \in \langle \{x,y\} \rangle \wedge s \leq_p se$
obtains $r t m k$ **where** $(r \cdot t)^{\textcircled{a}} m \cdot r = x$ **and** $(t \cdot r)^{\textcircled{a}} k \cdot (r \cdot t)^{\textcircled{a}} k = y$
 $(r \cdot t)^{\textcircled{a}} k = p$ **and** $(t \cdot r)^{\textcircled{a}} k = s$ **and** $r \cdot t \neq t \cdot r$ **and** $0 < k$ **and** $0 < m$
<proof>

end

theory *Binary-Code-Imprimitive*

imports

Combinatorics-Words-Graph-Lemma.Glued-Codes

Binary-Square-Interpretation

begin

This theory focuses on the characterization of imprimitive words which are concatenations of copies of two words (forming a binary code). We follow the article [1] (mainly Théorème 2.1 and Lemme 3.1), while substantially optimizing the proof. See also [3] for an earlier result on this question, and [2] for another proof.

0.3 General primitivity not preserving codes

context *code*

begin

Two nontrivially conjugate elements generated by a code induce a disjoint

interpretation.

lemma *shift-disjoint*:

assumes $ws \in \text{lists } \mathcal{C}$ **and** $ws' \in \text{lists } \mathcal{C}$ **and** $z \notin \langle \mathcal{C} \rangle$ **and** $z \cdot \text{concat } ws = \text{concat } ws' \cdot z$
 $us \leq_p ws^{\textcircled{n}}$ **and** $vs \leq_p ws'^{\textcircled{n}}$
shows $z \cdot \text{concat } us \neq \text{concat } vs$
<proof>

This in particular yields a disjoint extendable interpretation of any prefix

lemma *shift-interp*:

assumes $ws \in \text{lists } \mathcal{C}$ **and** $ws' \in \text{lists } \mathcal{C}$ **and** $z \notin \langle \mathcal{C} \rangle$ **and**
conjug: $z \cdot \text{concat } ws = \text{concat } ws' \cdot z$ **and** $|z| \leq |\text{concat } ws'|$
and $us \leq_p ws$ **and** $us \neq \varepsilon$
obtains $p \ s \ vs \ ps$ **where**
 $p \ us \ s \sim_{\mathcal{D}} \ vs$ **and** $vs \in \text{lists } \mathcal{C}$
and $s \leq_p \text{concat } (us^{-1} \triangleright (ws \cdot ws))$ **and** $p \leq_s \text{concat } ws$ — extendable
and $ps \cdot vs \leq_p ws' \cdot ws'$ **and** $\text{concat } ps \cdot p = z$
<proof>

The conditions are in particular met by imprimitivity witnesses

lemma *imprim-witness-shift*:

assumes $ws \in \text{lists } \mathcal{C}$ **and** *primitive* ws **and** $\neg \text{primitive } (\text{concat } ws)$
obtains $z \ n$ **where** $\text{concat } ws = z^{\textcircled{n}}$ $z \notin \langle \mathcal{C} \rangle$ **and**
 $z \cdot \text{concat } ws = \text{concat } ws \cdot z$ **and** $|z| < |\text{concat } ws|$ **and** $2 \leq n$
<proof>

end

0.4 Covered uniform square

lemma *cover-xy-xxx*: **assumes** $|x| = |y|$ **and** $p \cdot x \cdot y \cdot s = x \cdot x \cdot x$
shows $x = y$
<proof>

lemma *cover-xy-yyy*: **assumes** $|x| = |y|$ **and** *eq*: $p \cdot x \cdot y \cdot s = y \cdot y \cdot y$
shows $x = y$
<proof>

lemma *cover-xy-xyx*: **assumes** $|x| = |y|$ **and** $s \neq \varepsilon$ **and** *eq*: $p \cdot x \cdot y \cdot s = x \cdot x \cdot y$
shows $x = y$
<proof>

lemma *cover-xy-xyy*: **assumes** $|x| = |y|$ **and** $p \neq \varepsilon$ **and** *eq*: $p \cdot x \cdot y \cdot s = x \cdot y \cdot y$
shows $x = y$
<proof>

lemma *cover-xy-yyx*: **assumes** $|x| = |y|$ **and** *eq*: $p \cdot x \cdot y \cdot s = y \cdot y \cdot x$

shows $x = y$
<proof>

lemma *cover-xy-yxx*: **assumes** $|x| = |y|$ **and** $eq: p \cdot x \cdot y \cdot s = y \cdot x \cdot x$
shows $x = y$
<proof>

lemma *cover-xy-xyx*: **assumes** $|x| = |y|$ **and** $p \neq \varepsilon$ **and** $s \neq \varepsilon$ **and** $eq: p \cdot x \cdot y \cdot s = x \cdot y \cdot x$
shows $\neg primitive(x \cdot y)$
<proof>

lemma *cover-xy-yxy*: **assumes** $|x| = |y|$ **and** $p \neq \varepsilon$ **and** $\langle s \neq \varepsilon \rangle$ **and** $eq: p \cdot x \cdot y \cdot s = y \cdot x \cdot y$
shows $\neg primitive(x \cdot y)$
<proof>

theorem *uniform-square-interp*: **assumes** $x \cdot y \neq y \cdot x$ **and** $|x| = |y|$ **and** $vs \in lists\ \{x,y\}$
and $p(x \cdot y)\ s \sim_{\mathcal{I}} vs$ **and** $p \neq \varepsilon$
shows $\neg primitive(x \cdot y)$ **and** $vs = [x,y,x] \vee vs = [y,x,y]$
<proof>

0.4.1 Primitivity (non)preserving uniform binary codes

theorem *bin-uniform-prim-morph*:
assumes $x \cdot y \neq y \cdot x$ **and** $|x| = |y|$ **and** $primitive(x \cdot y)$
and $ws \in lists\ \{x,y\}$ **and** $2 \leq |ws|$
shows $primitive\ ws \iff primitive(\text{concat}\ ws)$
<proof>

lemma *bin-uniform-imprim*: **assumes** $x \cdot y \neq y \cdot x$ **and** $|x| = |y|$ **and** $\neg primitive(x \cdot y)$
shows $primitive\ x$
<proof>

theorem *bin-uniform-prim-morph'*:
assumes $x \cdot y \neq y \cdot x$ **and** $|x| = |y|$ **and** $primitive(x \cdot y) \vee \neg primitive\ x \vee \neg primitive\ y$
and $ws \in lists\ \{x,y\}$ **and** $2 \leq |ws|$
shows $primitive\ ws \iff primitive(\text{concat}\ ws)$
<proof>

0.5 The main theorem

0.5.1 Imprimitve words with single y

If the shorter word occurs only once, the result is straightforward from the parametric solution of the Lyndon-Schutzenberger equation.

lemma *bin-imprim-single-y*:

assumes *non-comm*: $x \cdot y \neq y \cdot x$ **and**

$ws \in \text{lists } \{x, y\}$ **and**

$|y| \leq |x|$ **and**

$2 \leq \text{count-list } ws \ x$ **and**

$\text{count-list } ws \ y < 2$ **and**

primitive ws **and**

$\neg \text{primitive } (\text{concat } ws)$

shows $ws \sim [x, x, y]$ **and** *primitive x* **and** *primitive y*

<proof>

0.5.2 Conjugate words

lemma *bin-imprim-not-conjug*:

assumes $ws \in \text{lists } \{x, y\}$ **and**

$x \cdot y \neq y \cdot x$ **and**

$2 \leq |ws|$ **and**

primitive ws **and**

$\neg \text{primitive } (\text{concat } ws)$

shows $\neg x \sim y$

<proof>

0.5.3 Square factor of the longer word and both words primitive (was all_assms)

The main idea of the proof is as follows: Imprimitivity of the concatenation yields (at least) two overlapping factorizations into $\{x, y\}$. Due to the presence of the square $x \cdot x$, these two can be synchronized, which yields that the situation coincides with the canonical form.

lemma *bin-imprim-primitive*:

assumes $x \cdot y \neq y \cdot x$

and *primitive x* **and** *primitive y*

and $|y| \leq |x|$

and $ws \in \text{lists } \{x, y\}$

and *primitive ws* **and** $\neg \text{primitive } (\text{concat } ws)$

and $[x, x] \leq_f ws \cdot ws$

shows $ws \sim [x, x, y]$

<proof>

0.5.4 Obtaining primitivity with two squares (refining)

lemma *bin-imprim-both-squares-prim*:

assumes $x \cdot y \neq y \cdot x$
and $ws \in \text{lists } \{x, y\}$
and $\text{primitive } ws$ **and** $\neg \text{primitive } (\text{concat } ws)$
and $[x, x] \leq_f ws \cdot ws$
and $[y, y] \leq_f ws \cdot ws$
and $\text{primitive } x$ **and** $\text{primitive } y$
shows *False*
<proof>

lemma *bin-imprim-both-squares:*

assumes $x \cdot y \neq y \cdot x$
and $ws \in \text{lists } \{x, y\}$
and $\text{primitive } ws$ **and** $\neg \text{primitive } (\text{concat } ws)$
and $[x, x] \leq_f ws \cdot ws$
and $[y, y] \leq_f ws \cdot ws$
shows *False*
<proof>

0.5.5 Obtaining the square of the longer word (gluing)

lemma *bin-imprim-longer-twice:*

— 1. If there are both squares, then contradiction; 2. If a square is missing: a) if y appears once: the positive conclusion b) if y appears twice, then gluing preserves presence of the longer word at least twice (because both appear twice) and induction yields $[x', x', y']$ where y' is a suffix of x' , a contradiction with primitivity of words of the form $xyxy$;

assumes $x \cdot y \neq y \cdot x$
and $ws \in \text{lists } \{x, y\}$
and $|y| \leq |x|$
and $\text{count-list } ws \ x \geq 2$
and $\text{primitive } ws$ **and** $\neg \text{primitive } (\text{concat } ws)$
shows $ws \sim [x, x, y] \wedge \text{primitive } x \wedge \text{primitive } y$
<proof>

lemma *bin-imprim-both-twice:*

assumes $x \cdot y \neq y \cdot x$
and $ws \in \text{lists } \{x, y\}$
and $\text{count-list } ws \ x \geq 2$
and $\text{count-list } ws \ y \geq 2$
and $\text{primitive } ws$ **and** $\neg \text{primitive } (\text{concat } ws)$
shows *False*
<proof>

0.6 Examples

lemma $x \neq \varepsilon \implies \varepsilon (x \cdot x) \varepsilon \sim_{\mathcal{I}} [x, x]$
<proof>

lemma **assumes** $x = [(0::\text{nat}), 1, 0, 1, 0]$ **and** $y = [1, 0, 0, 1]$

shows $[0,1] (x \cdot x) [1,0] \sim_{\mathcal{I}} [x,y,x]$
 ⟨*proof*⟩

0.7 Primitivity non-preserving binary code

In this section, we give the final form of imprimitive words over a given binary code $\{x, y\}$. We start with a lemma, then we show that the only possibility is that such word is conjugate with $x^{\textcircled{a} j} \cdot y^{\textcircled{a} k}$.

lemma *bin-imprim-expsE-y*: **assumes** $x \cdot y \neq y \cdot x$ **and**

$ws \in \text{lists } \{x,y\}$ **and**

$2 \leq |ws|$ **and**

primitive ws **and**

\neg *primitive* (*concat* ws) **and**

count-list ws $y = 1$

obtains $j k$ **where** $1 \leq j$ $1 \leq k$ $j = 1 \vee k = 1$

$ws \sim [x]^{\textcircled{a} j} \cdot [y]^{\textcircled{a} k}$

⟨*proof*⟩

lemma *bin-imprim-expsE*: **assumes** $x \cdot y \neq y \cdot x$ **and**

$ws \in \text{lists } \{x,y\}$ **and**

$2 \leq |ws|$ **and**

primitive ws **and**

\neg *primitive* (*concat* ws)

obtains $j k$ **where** $1 \leq j$ $1 \leq k$ $j = 1 \vee k = 1$

$ws \sim [x]^{\textcircled{a} j} \cdot [y]^{\textcircled{a} k}$

⟨*proof*⟩

0.7.1 The target theorem

Given a binary code $\{x, y\}$ such that there is a primitive factorisation ws over it whose concatenation is imprimitive, we finally show that there are integers j and k (depending only on $\{x, y\}$) such that any other such factorisation ws' is conjugate to $[x]^{\textcircled{a} j} \cdot [y]^{\textcircled{a} k}$.

theorem *bin-imprim-code*: **assumes** $x \cdot y \neq y \cdot x$ **and** $ws \in \text{lists } \{x,y\}$ **and**

$2 \leq |ws|$ **and** *primitive* ws **and** \neg *primitive* (*concat* ws)

obtains $j k$ **where** $1 \leq j$ **and** $1 \leq k$ **and** $j = 1 \vee k = 1$

$\bigwedge ws. ws \in \text{lists } \{x,y\} \implies 2 \leq |ws| \implies$

$(\text{primitive } ws \wedge \neg \text{primitive } (\text{concat } ws)) \iff ws \sim [x]^{\textcircled{a} j} \cdot [y]^{\textcircled{a} k})$ **and**

$|y| \leq |x| \implies 2 \leq j \implies j = 2 \wedge \text{primitive } x \wedge \text{primitive } y$ **and**

$|y| \leq |x| \implies 2 \leq k \implies j = 1 \wedge \text{primitive } x$

⟨*proof*⟩

definition *bin-imprim-code* **where** *bin-imprim-code* $x y \equiv x \cdot y \neq y \cdot x \wedge (\neg$
bin-prim $x y)$

theorem *bin-imprim-code'*: **assumes** *bin-imprim-code* $x y$

obtains $j k$ **where** $1 \leq j$ **and** $1 \leq k$ **and** $j = 1 \vee k = 1$

$\wedge ws. ws \in lists \{x,y\} \implies 2 \leq |ws| \implies$
 $(primitive\ ws \wedge \neg primitive\ (concat\ ws) \longleftrightarrow ws \sim [x]^{\textcircled{2}j} \cdot [y]^{\textcircled{2}k})$ **and**
 $|y| \leq |x| \implies 2 \leq j \implies j = 2 \wedge primitive\ x \wedge primitive\ y$ **and**
 $|y| \leq |x| \implies 2 \leq k \implies j = 1 \wedge primitive\ x$
 <proof>

end

theory *Binary-Imprimitive-Decision*

imports

Binary-Code-Imprimitive.Binary-Code-Imprimitive

begin

0.8 Upper bound of the power exponent in the canonical imprimitivity witness

lemma *LS-power-len-ge*:

assumes $y^{\textcircled{2}k} \cdot x = z^{\textcircled{2}l}$
and $k * |y| \geq |z| + |y| - 1$
shows $x \cdot y = y \cdot x$

<proof>

lemma *LS-root-len-ge*:

assumes $y^{\textcircled{2}k} \cdot x = z^{\textcircled{2}l}$
and $1 \leq k$ **and** $2 \leq l$
and $x \cdot y \neq y \cdot x$
shows $(k - 1) * |y| + 2 \leq |z|$

<proof>

lemma *LS-root-len-le*:

assumes $y^{\textcircled{2}k} \cdot x = z^{\textcircled{2}l}$
and $1 \leq k$ **and** $2 \leq l$
and $x \cdot y \neq y \cdot x$
shows $|z| \leq |x| + |y| - 2$

<proof>

lemma *LS-exp-le'*:

assumes $y^{\textcircled{2}k} \cdot x = z^{\textcircled{2}l}$
and $2 \leq l$
and $x \cdot y \neq y \cdot x$
shows $k \leq (|x| - 4) \text{ div } |y| + 2$

<proof>

lemma *LS-exp-le*:

assumes $x \cdot y^{\textcircled{2}k} = z^{\textcircled{2}l}$

and $2 \leq l$
and $x \cdot y \neq y \cdot x$
shows $k \leq (|x| - 4) \text{ div } |y| + 2$
 ⟨*proof*⟩

thm *bin-imprim-expsE*

lemma *bin-imprim-code-witnessE*:

assumes $x \cdot y \neq y \cdot x$ **and** $|y| \leq |x|$
and $ws \in \text{lists } \{x, y\}$ **and** $2 \leq |ws|$
and *primitive* ws **and** $\neg \text{primitive } (\text{concat } ws)$
obtains $ws \sim [x, x, y]$
 $|k$ **where** $1 \leq k$ **and** $k \leq (|x| - 4) \text{ div } |y| + 2$
and $ws \sim [x] \cdot [y]^{\textcircled{a} k}$
 ⟨*proof*⟩

0.8.1 Optimality of the exponent upper bound

lemma *examples-bound-optimality*:

fixes $m k$ **and** $x y z :: \text{binA list}$
assumes $1 \leq m$ **and** $k' = 0 \implies m = 1$
defines $x \equiv a \cdot b \cdot (b \cdot (a \cdot b)^{\textcircled{a} m})^{\textcircled{a} k'} \cdot b \cdot a$
and $y \equiv b \cdot (a \cdot b)^{\textcircled{a} m}$
and $z \equiv a \cdot b \cdot (b \cdot (a \cdot b)^{\textcircled{a} m})^{\textcircled{a} (k' + 1)}$
and $k \equiv k' + 2$
shows $|y| \leq |x|$ **and** $x \cdot y^{\textcircled{a} k} = z \cdot z$ **and** $k = (|x| - 4) \text{ div } |y| + 2$
 ⟨*proof*⟩

0.9 Characterization of binary primitivity preserving morphisms given by a pair of words

lemma *len-le-not-bin-primE*:

assumes $|y| \leq |x|$
and $\neg \text{bin-prim } x y$
obtains $\neg \text{primitive } (x \cdot x \cdot y)$
 $|k$ **where** $1 \leq k$ **and** $k \leq (|x| - 4) \text{ div } |y| + 2$
and $\neg \text{primitive } (x \cdot y^{\textcircled{a} k})$
 ⟨*proof*⟩

lemma *bin-prim-xyk*:

assumes *bin-prim* $x y$ **and** $0 < k$
shows *primitive* $(x \cdot y^{\textcircled{a} k})$
 ⟨*proof*⟩

lemma *len-le-bin-prim-iff*:

assumes $|y| \leq |x|$
shows
 $\text{bin-prim } x y \iff \text{primitive } (x \cdot x \cdot y) \wedge (\forall k. 1 \leq k \wedge k \leq (|x| - 4) \text{ div } |y| + 2 \implies \text{primitive } (x \cdot y^{\textcircled{a} k}))$

(is bin-prim $x\ y \iff (?xxy \wedge ?xyk)$)
 ⟨proof⟩

lemma *len-eq-bin-prim-iff*:
 assumes $|x| = |y|$
 shows *bin-prim* $x\ y \iff$ *primitive* $(x \cdot y)$
 ⟨proof⟩

theorem *bin-prim-iff*:
 $bin-prim\ x\ y \iff$
 (if $|y| < |x|$
 then *primitive* $(x \cdot x \cdot y) \wedge (\forall k. 1 \leq k \wedge k \leq (|x| - 4) \text{ div } |y| + 2 \longrightarrow$
primitive $(x \cdot y^{\textcircled{a}}\ k))$
 else if $|x| < |y|$
 then *primitive* $(y \cdot y \cdot x) \wedge (\forall k. 1 \leq k \wedge k \leq (|y| - 4) \text{ div } |x| + 2 \longrightarrow$
primitive $(y \cdot x^{\textcircled{a}}\ k))$
 else *primitive* $(x \cdot y)$
)
 ⟨proof⟩

0.9.1 Code equation for *bin-prim* predicate

context
begin

private lemma *all-less-Suc-conv*: $(\forall k < n. P\ (Suc\ k)) \iff (\forall k \leq n. k \geq 1 \longrightarrow P\ k)$
 ⟨proof⟩

lemma *bin-prim-iff'* [code]:
 $bin-prim\ x\ y \iff$
 (if $|y| < |x|$
 then *primitive* $(x \cdot x \cdot y) \wedge (\forall k < (|x| - 4) \text{ div } |y| + 2. \text{primitive}\ (x \cdot y^{\textcircled{a}}\ (Suc\ k)))$
 else if $|x| < |y|$
 then *bin-prim* $y\ x$
 else *primitive* $(x \cdot y)$
)
 ⟨proof⟩

end
value *bin-prim* $(a \cdot b \cdot b \cdot a \cdot a)\ b \text{ --- True}$
value *bin-prim* $(a \cdot b \cdot b \cdot a)\ b \text{ --- False}$
value *bin-prim* $(a \cdot b \cdot b \cdot a)\ (b \cdot a \cdot b \cdot a \cdot b) \text{ --- False}$
value *bin-prim* $(a \cdot b)\ (a \cdot b) \text{ --- False}$
value *bin-prim* $(a \cdot b)\ (a \cdot b \cdot a \cdot b) \text{ --- False}$
value *bin-prim* $(a \cdot b \cdot b \cdot a \cdot a)\ (b \cdot b \cdot b \cdot b \cdot b) \text{ --- True}$

0.10 Characterization of binary imprimitivity codes

theorem *bin-imprim-code-iff*:

$$\begin{aligned}
 & \text{bin-imprim-code } x \ y \longleftrightarrow x \cdot y \neq y \cdot x \wedge \\
 & \quad (\text{if } |y| < |x| \\
 & \quad \quad \text{then } \neg \text{primitive } (x \cdot x \cdot y) \vee (\exists k. 1 \leq k \wedge k \leq (|x| - 4) \text{ div } |y| + 2 \wedge \neg \\
 & \quad \text{primitive } (x \cdot y^{\textcircled{a}} k)) \\
 & \quad \quad \text{else if } |x| < |y| \\
 & \quad \quad \quad \text{then } \neg \text{primitive } (y \cdot y \cdot x) \vee (\exists k. 1 \leq k \wedge k \leq (|y| - 4) \text{ div } |x| + 2 \wedge \neg \\
 & \quad \quad \text{primitive } (y \cdot x^{\textcircled{a}} k)) \\
 & \quad \quad \quad \text{else } \neg \text{primitive } (x \cdot y) \\
 & \quad \quad \quad) \\
 & \langle \text{proof} \rangle
 \end{aligned}$$

value *bin-imprim-code* (a·b·b·a·a) b — False
value *bin-imprim-code* (a·b·b·a) b — True
value *bin-imprim-code* (a·b·b·a) (b·a·b·a·b) — True
value *bin-imprim-code* (a·b) (a·b) — False
value *bin-imprim-code* (a·b) (a·b·a·b) — False
value *bin-imprim-code* (a·b·b·a·a) (b·b·b·b·b) — False

end

References

- [1] E. Barbin-Le Rest and M. Le Rest. Sur la combinatoire des codes à deux mots. *Theor. Comput. Sci.*, 41:61–80, 1985.
- [2] J. Mañuch. Defect effect of bi-infinite words in the two-element case. *Discret. Math. Theor. Comput. Sci.*, 4(2):273–290, 2001.
- [3] J.-C. Spehner. *Quelques problèmes d'extension, de conjugaison et de présentation des sous-monoïdes d'un monoïde libre*. PhD thesis, Université Paris VII, Paris, 1976.