

# Aggregation Algebras

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## Abstract

We develop algebras for aggregation and minimisation for weight matrices and for edge weights in graphs. We show numerous instances of these algebras based on linearly ordered commutative semigroups.

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## 1 Overview

This document describes the following four theory files:

- \* Big sums over semigroups generalises parts of Isabelle/HOL's theory of finite summation `Groups_Big.thy` from commutative monoids to commutative semigroups with a unit element only on the image of the semigroup operation.
- \* Aggregation Algebras introduces s-algebras, m-algebras and m-Kleene-algebras with operations for aggregating the elements of a weight matrix and finding the edge with minimal weight.
- \* Matrix Aggregation Algebras introduces aggregation orders, aggregation lattices and linear aggregation lattices. Matrices over these structures form s-algebras and m-algebras.
- \* Linear Aggregation Algebras shows numerous instances based on linearly ordered commutative semigroups. They include aggregations used for the minimum weight spanning tree problem and for the minimum bottleneck spanning tree problem, as well as arbitrary t-norms and t-conorms.

Three theory files, which were originally part of this entry, have been moved elsewhere:

- \* A theory for total-correctness proofs in Hoare logic became part of Isabelle/HOL's theory `Hoare/Hoare_Logic.thy`.
- \* A theory with simple total-correctness proof examples became Isabelle/HOL's theory `Hoare/ExamplesTC.thy`.
- \* A theory proving total correctness of Kruskal's and Prim's minimum spanning tree algorithms based on m-Kleene-algebras using Hoare logic was split into two theories that became part of AFP entry [6].

The development is based on Stone-Kleene relation algebras [3, 2]. The algebras for aggregation and minimisation, their application to weighted graphs and the verification of Prim's and Kruskal's minimum spanning tree algorithms, and various instances of aggregation are described in [1, 4, 5]. Related work is discussed in these papers.

## 2 Big Sum over Finite Sets in Abelian Semigroups

```
theory Semigroups-Big
imports Main
```

```
begin
```

This theory is based on Isabelle/HOL's *Groups-Big.thy* written by T. Nipkow, L. C. Paulson, M. Wenzel and J. Avigad. We have generalised a selection of its results from Abelian monoids to Abelian semigroups with an element that is a unit on the image of the semigroup operation.

## 2.1 Generic Abelian semigroup operation over a set

```
locale abel-semigroup-set = abel-semigroup +
  fixes z :: 'a (1)
  assumes z-neutral [simp]:  $x * y * \mathbf{1} = x * y$ 
  assumes z-idem [simp]:  $\mathbf{1} * \mathbf{1} = \mathbf{1}$ 
begin

interpretation comp-fun-commute f
  ⟨proof⟩

interpretation comp?: comp-fun-commute f ∘ g
  ⟨proof⟩

definition F :: ('b ⇒ 'a) ⇒ 'b set ⇒ 'a
  where eq-fold:  $F g A = \text{Finite-Set.fold } (f \circ g) \mathbf{1} A$ 

lemma infinite [simp]:  $\neg \text{finite } A \implies F g A = \mathbf{1}$ 
  ⟨proof⟩

lemma empty [simp]:  $F g \{\} = \mathbf{1}$ 
  ⟨proof⟩

lemma insert [simp]:  $\text{finite } A \implies x \notin A \implies F g (\text{insert } x A) = g x * F g A$ 
  ⟨proof⟩

lemma remove:
  assumes finite A and  $x \in A$ 
  shows  $F g A = g x * F g (A - \{x\})$ 
  ⟨proof⟩

lemma insert-remove:  $\text{finite } A \implies F g (\text{insert } x A) = g x * F g (A - \{x\})$ 
  ⟨proof⟩

lemma insert-if:  $\text{finite } A \implies F g (\text{insert } x A) = (\text{if } x \in A \text{ then } F g A \text{ else } g x * F g A)$ 
  ⟨proof⟩

lemma neutral:  $\forall x \in A. g x = \mathbf{1} \implies F g A = \mathbf{1}$ 
  ⟨proof⟩

lemma neutral-const [simp]:  $F (\lambda \_. \mathbf{1}) A = \mathbf{1}$ 
```

$\langle proof \rangle$

**lemma** *F-one* [*simp*]:  $F g A * \mathbf{1} = F g A$   
 $\langle proof \rangle$

**lemma** *one-F* [*simp*]:  $\mathbf{1} * F g A = F g A$   
 $\langle proof \rangle$

**lemma** *F-g-one* [*simp*]:  $F (\lambda x . g x * \mathbf{1}) A = F g A$   
 $\langle proof \rangle$

**lemma** *union-inter*:

assumes *finite A and finite B*  
shows  $F g (A \cup B) * F g (A \cap B) = F g A * F g B$   
— The reversed orientation looks more natural, but LOOPS as a simprule!  
 $\langle proof \rangle$

**corollary** *union-inter-neutral*:

assumes *finite A and finite B*  
and  $\forall x \in A \cap B. g x = \mathbf{1}$   
shows  $F g (A \cup B) = F g A * F g B$   
 $\langle proof \rangle$

**corollary** *union-disjoint*:

assumes *finite A and finite B*  
assumes  $A \cap B = \{\}$   
shows  $F g (A \cup B) = F g A * F g B$   
 $\langle proof \rangle$

**lemma** *union-diff2*:

assumes *finite A and finite B*  
shows  $F g (A \cup B) = F g (A - B) * F g (B - A) * F g (A \cap B)$   
 $\langle proof \rangle$

**lemma** *subset-diff*:

assumes  $B \subseteq A$  and *finite A*  
shows  $F g A = F g (A - B) * F g B$   
 $\langle proof \rangle$

**lemma** *setdiff-irrelevant*:

assumes *finite A*  
shows  $F g (A - \{x. g x = z\}) = F g A$   
 $\langle proof \rangle$

**lemma** *not-neutral-contains-not-neutral*:

assumes  $F g A \neq \mathbf{1}$   
obtains *a* where  $a \in A$  and  $g a \neq \mathbf{1}$   
 $\langle proof \rangle$

```

lemma reindex:
  assumes inj-on h A
  shows F g (h ` A) = F (g o h) A
  ⟨proof⟩

lemma cong [fundef-cong]:
  assumes A = B
  assumes g-h:  $\bigwedge x. x \in B \implies g x = h x$ 
  shows F g A = F h B
  ⟨proof⟩

lemma strong-cong [cong]:
  assumes A = B  $\bigwedge x. x \in B \stackrel{simp}{\implies} g x = h x$ 
  shows F (λx. g x) A = F (λx. h x) B
  ⟨proof⟩

lemma reindex-cong:
  assumes inj-on l B
  assumes A = l ` B
  assumes  $\bigwedge x. x \in B \implies g (l x) = h x$ 
  shows F g A = F h B
  ⟨proof⟩

lemma UNION-disjoint:
  assumes finite I and  $\forall i \in I. \text{finite} (A i)$ 
  and  $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A i \cap A j = \{\}$ 
  shows F g ( $\bigcup (A ` I)$ ) = F (λx. F g (A x)) I
  ⟨proof⟩

lemma Union-disjoint:
  assumes  $\forall A \in C. \text{finite} A \quad \forall A \in C. \forall B \in C. A \neq B \longrightarrow A \cap B = \{\}$ 
  shows F g ( $\bigcup C$ ) = (F o F) g C
  ⟨proof⟩

lemma distrib: F (λx. g x * h x) A = F g A * F h A
  ⟨proof⟩

lemma Sigma:
   $\text{finite } A \implies \forall x \in A. \text{finite} (B x) \implies F (\lambda x. F (g x) (B x)) A = F (\text{case-prod } g)$ 
  (SIGMA x:A. B x)
  ⟨proof⟩

lemma related:
  assumes Re: R 1 1
  and Rop:  $\forall x_1 y_1 x_2 y_2. R x_1 x_2 \wedge R y_1 y_2 \longrightarrow R (x_1 * y_1) (x_2 * y_2)$ 
  and fin: finite S
  and Rhg:  $\forall x \in S. R (h x) (g x)$ 
  shows R (F h S) (F g S)
  ⟨proof⟩

```

```

lemma mono-neutral-cong-left:
  assumes finite T
  and S ⊆ T
  and ∀ i ∈ T − S. h i = 1
  and ∏x. x ∈ S ⇒ g x = h x
  shows F g S = F h T
  ⟨proof⟩

lemma mono-neutral-cong-right:
  finite T ⇒ S ⊆ T ⇒ ∀ i ∈ T − S. g i = 1 ⇒ (∏x. x ∈ S ⇒ g x = h x)
  ⇒
  F g T = F h S
  ⟨proof⟩

lemma mono-neutral-left: finite T ⇒ S ⊆ T ⇒ ∀ i ∈ T − S. g i = 1 ⇒ F g
S = F g T
⟨proof⟩

lemma mono-neutral-right: finite T ⇒ S ⊆ T ⇒ ∀ i ∈ T − S. g i = 1 ⇒ F
g T = F g S
⟨proof⟩

lemma mono-neutral-cong:
  assumes [simp]: finite T finite S
  and *: ∏i. i ∈ T − S ⇒ h i = 1 ∏i. i ∈ S − T ⇒ g i = 1
  and gh: ∏x. x ∈ S ∩ T ⇒ g x = h x
  shows F g S = F h T
  ⟨proof⟩

lemma reindex-bij-betw: bij-betw h S T ⇒ F (λx. g (h x)) S = F g T
⟨proof⟩

lemma reindex-bij-witness:
  assumes witness:
    ∏a. a ∈ S ⇒ i (j a) = a
    ∏a. a ∈ S ⇒ j a ∈ T
    ∏b. b ∈ T ⇒ j (i b) = b
    ∏b. b ∈ T ⇒ i b ∈ S
  assumes eq:
    ∏a. a ∈ S ⇒ h (j a) = g a
  shows F g S = F h T
  ⟨proof⟩

lemma reindex-bij-betw-not-neutral:
  assumes fin: finite S' finite T'
  assumes bij: bij-betw h (S − S') (T − T')
  assumes nn:
    ∏a. a ∈ S' ⇒ g (h a) = z

```

```

 $\bigwedge b. b \in T' \implies g b = z$ 
shows  $F(\lambda x. g(h x)) S = F g T$ 
⟨proof⟩

lemma reindex-nontrivial:
assumes finite A
and nz:  $\bigwedge x y. x \in A \implies y \in A \implies x \neq y \implies h x = h y \implies g(h x) = \mathbf{1}$ 
shows  $F g(h`A) = F(g \circ h) A$ 
⟨proof⟩

lemma reindex-bij-witness-not-neutral:
assumes fin: finite S' finite T'
assumes witness:
 $\bigwedge a. a \in S - S' \implies i(j a) = a$ 
 $\bigwedge a. a \in S - S' \implies j a \in T - T'$ 
 $\bigwedge b. b \in T - T' \implies j(i b) = b$ 
 $\bigwedge b. b \in T - T' \implies i b \in S - S'$ 
assumes nn:
 $\bigwedge a. a \in S' \implies g a = z$ 
 $\bigwedge b. b \in T' \implies h b = z$ 
assumes eq:
 $\bigwedge a. a \in S \implies h(j a) = g a$ 
shows  $F g S = F h T$ 
⟨proof⟩

lemma delta-remove:
assumes fS: finite S
shows  $F(\lambda k. \text{if } k = a \text{ then } b k \text{ else } c k) S = (\text{if } a \in S \text{ then } b a * F c (S - \{a\}) \text{ else } F c (S - \{a\}))$ 
⟨proof⟩

lemma delta [simp]:
assumes fS: finite S
shows  $F(\lambda k. \text{if } k = a \text{ then } b k \text{ else } \mathbf{1}) S = (\text{if } a \in S \text{ then } b a * \mathbf{1} \text{ else } \mathbf{1})$ 
⟨proof⟩

lemma delta' [simp]:
assumes fin: finite S
shows  $F(\lambda k. \text{if } a = k \text{ then } b k \text{ else } \mathbf{1}) S = (\text{if } a \in S \text{ then } b a * \mathbf{1} \text{ else } \mathbf{1})$ 
⟨proof⟩

lemma If-cases:
fixes P :: 'b ⇒ bool and g h :: 'b ⇒ 'a
assumes fin: finite A
shows  $F(\lambda x. \text{if } P x \text{ then } h x \text{ else } g x) A = F h (A \cap \{x. P x\}) * F g (A \cap - \{x. P x\})$ 
⟨proof⟩

lemma cartesian-product:  $F(\lambda x. F(g x) B) A = F(\text{case-prod } g)(A \times B)$ 

```

$\langle proof \rangle$

**lemma** *inter-restrict*:

**assumes** *finite A*

**shows**  $F g (A \cap B) = F (\lambda x. \text{if } x \in B \text{ then } g x \text{ else } \mathbf{1}) A$

$\langle proof \rangle$

**lemma** *inter-filter*:

$\text{finite } A \implies F g \{x \in A. P x\} = F (\lambda x. \text{if } P x \text{ then } g x \text{ else } \mathbf{1}) A$

$\langle proof \rangle$

**lemma** *Union-comp*:

**assumes**  $\forall A \in B. \text{finite } A$

**and**  $\bigwedge A_1 A_2 x. A_1 \in B \implies A_2 \in B \implies A_1 \neq A_2 \implies x \in A_1 \implies x \in A_2$

$\implies g x = \mathbf{1}$

**shows**  $F g (\bigcup B) = (F \circ F) g B$

$\langle proof \rangle$

**lemma** *swap*:  $F (\lambda i. F (g i) B) A = F (\lambda j. F (\lambda i. g i j) A) B$

$\langle proof \rangle$

**lemma** *swap-restrict*:

$\text{finite } A \implies \text{finite } B \implies$

$F (\lambda x. F (g x) \{y. y \in B \wedge R x y\}) A = F (\lambda y. F (\lambda x. g x y) \{x. x \in A \wedge R x y\}) B$

$\langle proof \rangle$

**lemma** *Plus*:

**fixes**  $A :: 'b \text{ set}$  **and**  $B :: 'c \text{ set}$

**assumes**  $\text{fin: finite } A \text{ finite } B$

**shows**  $F g (A <+> B) = F (g \circ \text{Inl}) A * F (g \circ \text{Inr}) B$

$\langle proof \rangle$

**lemma** *same-carrier*:

**assumes** *finite C*

**assumes** *subset:  $A \subseteq C$   $B \subseteq C$*

**assumes** *trivial:  $\bigwedge a. a \in C - A \implies g a = \mathbf{1}$   $\bigwedge b. b \in C - B \implies h b = \mathbf{1}$*

**shows**  $F g A = F h B \longleftrightarrow F g C = F h C$

$\langle proof \rangle$

**lemma** *same-carrierI*:

**assumes** *finite C*

**assumes** *subset:  $A \subseteq C$   $B \subseteq C$*

**assumes** *trivial:  $\bigwedge a. a \in C - A \implies g a = \mathbf{1}$   $\bigwedge b. b \in C - B \implies h b = \mathbf{1}$*

**assumes**  $F g C = F h C$

**shows**  $F g A = F h B$

$\langle proof \rangle$

**end**

## 2.2 Generalized summation over a set

**no-notation** *Sum* ( $\sum$ )

```

class ab-semigroup-add-0 = zero + ab-semigroup-add +
  assumes zero-neutral [simp]:  $x + y + 0 = x + y$ 
  assumes zero-idem [simp]:  $0 + 0 = 0$ 
begin

sublocale sum-0: abel-semigroup-set plus 0
  defines sum-0 = sum-0.F
  ⟨proof⟩

abbreviation Sum-0 ( $\sum$ )
  where  $\sum \equiv \text{sum-0} (\lambda x. x)$ 

end

context comm-monoid-add
begin

subclass ab-semigroup-add-0
  ⟨proof⟩

end

```

Now: lots of fancy syntax. First,  $\text{sum-0 } (\lambda x. e) A$  is written  $\sum x \in A. e$ .

**syntax** (ASCII)  
 $\text{-sum} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-add} ((3\text{SUM} (-/:-)./ -) [0, 51, 10] 10)$   
**syntax**  
 $\text{-sum} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-add} ((2\sum (-/\in-)./ -) [0, 51, 10] 10)$   
**translations** — Beware of argument permutation!  
 $\sum i \in A. b \Rightarrow \text{CONST sum-0 } (\lambda i. b) A$

Instead of  $\sum x \in \{x. P\}. e$  we introduce the shorter  $\sum x | P. e$ .

**syntax** (ASCII)  
 $\text{-qsum} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a ((3\text{SUM} - | / -. / -) [0, 0, 10] 10)$   
**syntax**  
 $\text{-qsum} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a ((2\sum - | (-). / -) [0, 0, 10] 10)$   
**translations**  
 $\sum x | P. t \Rightarrow \text{CONST sum-0 } (\lambda x. t) \{x. P\}$

$\langle ML \rangle$

```

lemma (in ab-semigroup-add-0) sum-image-gen-0:
  assumes fin: finite S
  shows sum-0 g S = sum-0 (λy. sum-0 g {x. x ∈ S ∧ f x = y}) (f ` S)
  ⟨proof⟩

```

### 2.2.1 Properties in more restricted classes of structures

```

lemma sum-Un2:
  assumes finite (A ∪ B)
  shows sum-0 f (A ∪ B) = sum-0 f (A - B) + sum-0 f (B - A) + sum-0 f (A
  ∩ B)
  ⟨proof⟩

class ordered-ab-semigroup-add-0 = ab-semigroup-add-0 +
  ordered-ab-semigroup-add
begin

lemma add-nonneg-nonneg [simp]: 0 ≤ a ⇒ 0 ≤ b ⇒ 0 ≤ a + b
  ⟨proof⟩

lemma add-nonpos-nonpos: a ≤ 0 ⇒ b ≤ 0 ⇒ a + b ≤ 0
  ⟨proof⟩

end

lemma (in ordered-ab-semigroup-add-0) sum-mono:
  ( $\bigwedge i. i \in K \Rightarrow f i \leq g i \Rightarrow (\sum_{i \in K} f i) \leq (\sum_{i \in K} g i)$ )
  ⟨proof⟩

lemma (in ordered-ab-semigroup-add-0) sum-mono-00:
  ( $\bigwedge i. i \in K \Rightarrow f i + 0 \leq g i + 0 \Rightarrow (\sum_{i \in K} f i) \leq (\sum_{i \in K} g i)$ )
  ⟨proof⟩

lemma (in ordered-ab-semigroup-add-0) sum-mono-0:
  ( $\bigwedge i. i \in K \Rightarrow f i + 0 \leq g i \Rightarrow (\sum_{i \in K} f i) \leq (\sum_{i \in K} g i)$ )
  ⟨proof⟩

context ordered-ab-semigroup-add-0
begin

lemma sum-nonneg: ( $\bigwedge x. x \in A \Rightarrow 0 \leq f x \Rightarrow 0 \leq \text{sum-0 } f A$ )
  ⟨proof⟩

lemma sum-nonpos: ( $\bigwedge x. x \in A \Rightarrow f x \leq 0 \Rightarrow \text{sum-0 } f A \leq 0$ )
  ⟨proof⟩

lemma sum-mono2:
  assumes fin: finite B
  and sub: A ⊆ B
  and nn:  $\bigwedge b. b \in B - A \Rightarrow 0 \leq f b$ 
  shows sum-0 f A ≤ sum-0 f B
  ⟨proof⟩

lemma sum-le-included:
  assumes finite s finite t

```

```

and  $\forall y \in t. 0 \leq g y$  ( $\forall x \in s. \exists y \in t. i y = x \wedge f x \leq g y$ )
shows  $\text{sum-0 } f s \leq \text{sum-0 } g t$ 
⟨proof⟩

end

lemma sum-comp-morphism:
 $h 0 = 0 \implies (\bigwedge x y. h(x + y) = h x + h y) \implies \text{sum-0 } (h \circ g) A = h(\text{sum-0 } g A)$ 
⟨proof⟩

lemma sum-cong-Suc:
assumes  $0 \notin A \wedge x. \text{Suc } x \in A \implies f(\text{Suc } x) = g(\text{Suc } x)$ 
shows  $\text{sum-0 } f A = \text{sum-0 } g A$ 
⟨proof⟩

end

```

### 3 Algebras for Aggregation and Minimisation

This theory gives algebras with operations for aggregation and minimisation. In the weighted-graph model of matrices over (extended) numbers, the operations have the following meaning. The binary operation  $+$  adds the weights of corresponding edges of two graphs. Addition does not have to be the standard addition on numbers, but can be any aggregation satisfying certain basic properties as demonstrated by various models of the algebras in another theory. The unary operation  $\text{sum}$  adds the weights of all edges of a graph. The result is a single aggregated weight using the same aggregation as  $+$  but applied internally to the edges of a single graph. The unary operation  $\text{minarc}$  finds an edge with a minimal weight in a graph. It yields the position of such an edge as a regular element of a Stone relation algebra.

We give axioms for these operations which are sufficient to prove the correctness of Prim's and Kruskal's minimum spanning tree algorithms. The operations have been proposed and axiomatised first in [1] with simplified axioms given in [4]. The present version adds two axioms to prove total correctness of the spanning tree algorithms as discussed in [5].

```

theory Aggregation-Algebras

imports Stone-Kleene-Relation-Algebras.Kleene-Relation-Algebras

begin

context sup
begin

no-notation

```

```
sup (infixl + 65)
```

```
end
```

```
context plus
begin
```

```
notation
```

```
plus (infixl + 65)
```

```
end
```

We first introduce s-algebras as a class with the operations  $+$  and  $\text{sum}$ . Axiom *sum-plus-right-isotone* states that for non-empty graphs, the operation  $+$  is  $\leq$ -isotone in its second argument on the image of the aggregation operation  $\text{sum}$ . Axiom *sum-bot* expresses that the empty graph contributes no weight. Axiom *sum-plus* generalises the inclusion-exclusion principle to sets of weights. Axiom *sum-conv* specifies that reversing edge directions does not change the aggregated weight. In instances of *s-algebra*, aggregated weights can be partially ordered.

```
class sum =
  fixes sum :: 'a ⇒ 'a
```

```
class s-algebra = stone-relation-algebra + plus + sum +
  assumes sum-plus-right-isotone:  $x \neq \text{bot} \wedge \text{sum } x \leq \text{sum } y \longrightarrow \text{sum } z + \text{sum } x$ 
   $\leq \text{sum } z + \text{sum } y$ 
  assumes sum-bot:  $\text{sum } x + \text{sum } \text{bot} = \text{sum } x$ 
  assumes sum-plus:  $\text{sum } x + \text{sum } y = \text{sum } (x \sqcup y) + \text{sum } (x \sqcap y)$ 
  assumes sum-conv:  $\text{sum } (x^T) = \text{sum } x$ 
```

```
begin
```

```
lemma sum-disjoint:
```

```
assumes  $x \sqcap y = \text{bot}$ 
  shows  $\text{sum } ((x \sqcup y) \sqcap z) = \text{sum } (x \sqcap z) + \text{sum } (y \sqcap z)$ 
  ⟨proof⟩
```

```
lemma sum-disjoint-3:
```

```
assumes  $w \sqcap x = \text{bot}$ 
  and  $w \sqcap y = \text{bot}$ 
  and  $x \sqcap y = \text{bot}$ 
  shows  $\text{sum } ((w \sqcup x \sqcup y) \sqcap z) = \text{sum } (w \sqcap z) + \text{sum } (x \sqcap z) + \text{sum } (y \sqcap z)$ 
  ⟨proof⟩
```

```
lemma sum-symmetric:
```

```
assumes  $y = y^T$ 
  shows  $\text{sum } (x^T \sqcap y) = \text{sum } (x \sqcap y)$ 
  ⟨proof⟩
```

```
lemma sum-commute:
```

```
sum x + sum y = sum y + sum x
⟨proof⟩
```

**end**

We next introduce the operation *minarc*. Axiom *minarc-below* expresses that the result of *minarc* is contained in the graph ignoring the weights. Axiom *minarc-arc* states that the result of *minarc* is a single unweighted edge if the graph is not empty. Axiom *minarc-min* specifies that any edge in the graph weighs at least as much as the edge at the position indicated by the result of *minarc*, where weights of edges between different nodes are compared by applying the operation *sum* to single-edge graphs. Axiom *sum-linear* requires that aggregated weights are linearly ordered, which is necessary for both Prim's and Kruskal's minimum spanning tree algorithms. Axiom *finite-regular* ensures that there are only finitely many unweighted graphs, and therefore only finitely many edges and nodes in a graph; again this is necessary for the minimum spanning tree algorithms we consider.

```
class minarc =
  fixes minarc :: 'a ⇒ 'a

class m-algebra = s-algebra + minarc +
  assumes minarc-below: minarc x ≤ --x
  assumes minarc-arc: x ≠ bot → arc (minarc x)
  assumes minarc-min: arc y ∧ y □ x ≠ bot → sum (minarc x □ x) ≤ sum (y
  □ x)
  assumes sum-linear: sum x ≤ sum y ∨ sum y ≤ sum x
  assumes finite-regular: finite { x . regular x }
begin
```

Axioms *minarc-below* and *minarc-arc* suffice to derive the Tarski rule in Stone relation algebras.

```
subclass stone-relation-algebra-tarski
⟨proof⟩
```

**lemma** *minarc-bot*:

*minarc* bot = bot

⟨proof⟩

**lemma** *minarc-bot-iff*:

*minarc* x = bot ↔ x = bot

⟨proof⟩

**lemma** *minarc-meet-bot*:

assumes *minarc* x □ x = bot

shows *minarc* x = bot

⟨proof⟩

**lemma** *minarc-meet-bot-minarc-iff*:

```

minarc x ⊓ x = bot  $\longleftrightarrow$  minarc x = bot
⟨proof⟩

```

```

lemma minarc-meet-bot-iff:
  minarc x ⊓ x = bot  $\longleftrightarrow$  x = bot
  ⟨proof⟩

```

```

lemma minarc-regular:
  regular (minarc x)
  ⟨proof⟩

```

```

lemma minarc-selection:
  selection (minarc x ⊓ y) y
  ⟨proof⟩

```

```

lemma minarc-below-regular:
  regular x  $\implies$  minarc x  $\leq$  x
  ⟨proof⟩

```

```
end
```

```
class m-kleene-algebra = m-algebra + stone-kleene-relation-algebra
```

```
end
```

## 4 Matrix Algebras for Aggregation and Minimisation

This theory formalises aggregation orders and lattices as introduced in [4]. Aggregation in these algebras is an associative and commutative operation satisfying additional properties related to the partial order and its least element. We apply the aggregation operation to finite matrices over the aggregation algebras, which shows that they form an s-algebra. By requiring the aggregation algebras to be linearly ordered, we also obtain that the matrices form an m-algebra.

This is an intermediate step in demonstrating that weighted graphs form an s-algebra and an m-algebra. The present theory specifies abstract properties for the edge weights and shows that matrices over such weights form an instance of s-algebras and m-algebras. A second step taken in a separate theory gives concrete instances of edge weights satisfying the abstract properties introduced here.

Lifting the aggregation to matrices requires finite sums over elements taken from commutative semigroups with an element that is a unit on the image of the semigroup operation. Because standard sums assume a commu-

tative monoid we have to derive a number of properties of these generalised sums as their statements or proofs are different.

```
theory Matrix-Aggregation-Algebras
```

```
imports Stone-Kleene-Relation-Algebras.Matrix-Kleene-Algebras
Aggregation-Algebras Semigroups-Big
```

```
begin
```

```
no-notation
```

```
  inf (infixl □ 70)
  and uminus (‐‐ [81] 80)
```

## 4.1 Aggregation Orders and Finite Sums

An aggregation order is a partial order with a least element and an associative commutative operation satisfying certain properties. Axiom *add-add-bot* introduces almost a commutative monoid; we require that *bot* is a unit only on the image of the aggregation operation. This is necessary since it is not a unit of a number of aggregation operations we are interested in. Axiom *add-right-isotone* states that aggregation is  $\leq$ -isotone on the image of the aggregation operation. Its assumption  $x \neq \text{bot}$  is necessary because the introduction of new edges can decrease the aggregated value. Axiom *add-bot* expresses that aggregation is zero-sum-free.

```
class aggregation-order = order-bot + ab-semigroup-add +
  assumes add-right-isotone:  $x \neq \text{bot} \wedge x + \text{bot} \leq y + \text{bot} \rightarrow x + z \leq y + z$ 
  assumes add-add-bot [simp]:  $x + y + \text{bot} = x + y$ 
  assumes add-bot:  $x + y = \text{bot} \rightarrow x = \text{bot}$ 
```

```
begin
```

```
abbreviation zero ≡ bot + bot
```

```
sublocale aggregation: ab-semigroup-add-0 where plus = plus and zero = zero
  ⟨proof⟩
```

```
lemma add-bot-bot-bot:
```

```
   $x + \text{bot} + \text{bot} + \text{bot} = x + \text{bot}$ 
  ⟨proof⟩
```

```
end
```

We introduce notation for finite sums over aggregation orders. The index variable of the summation ranges over the finite universe of its type. Finite sums are defined recursively using the binary aggregation and  $\perp + \perp$  for the empty sum.

```
syntax (xsymbols)
```

$\text{-sum-ab-semigroup-add-0} :: \text{idt} \Rightarrow 'a::\text{bounded-semilattice-sup-bot} \Rightarrow 'a ((\sum_{-} -) [0,10] 10)$

**translations**

$\sum_x t \Rightarrow XCONST ab\text{-semigroup}\text{-add-0.sum-0} XCONST plus (XCONST plus XCONST bot XCONST bot) (\lambda x . t) \{ x . CONST True \}$

The following are basic properties of such sums.

**lemma** *agg-sum-bot*:

$(\sum_k \text{bot} : 'a::\text{aggregation-order}) = \text{bot} + \text{bot}$   
 $\langle proof \rangle$

**lemma** *agg-sum-bot-bot*:

$(\sum_k \text{bot} + \text{bot} : 'a::\text{aggregation-order}) = \text{bot} + \text{bot}$   
 $\langle proof \rangle$

**lemma** *agg-sum-not-bot-1*:

**fixes**  $f :: 'a::\text{finite} \Rightarrow 'b::\text{aggregation-order}$   
**assumes**  $f i \neq \text{bot}$   
**shows**  $(\sum_k f k) \neq \text{bot}$   
 $\langle proof \rangle$

**lemma** *agg-sum-not-bot*:

**fixes**  $f :: ('a::\text{finite}, 'b::\text{aggregation-order}) \text{ square}$   
**assumes**  $f (i,j) \neq \text{bot}$   
**shows**  $(\sum_k \sum_l f (k,l)) \neq \text{bot}$   
 $\langle proof \rangle$

**lemma** *agg-sum-distrib*:

**fixes**  $f g :: 'a \Rightarrow 'b::\text{aggregation-order}$   
**shows**  $(\sum_k f k + g k) = (\sum_k f k) + (\sum_k g k)$   
 $\langle proof \rangle$

**lemma** *agg-sum-distrib-2*:

**fixes**  $f g :: ('a, 'b::\text{aggregation-order}) \text{ square}$   
**shows**  $(\sum_k \sum_l f (k,l) + g (k,l)) = (\sum_k \sum_l f (k,l)) + (\sum_k \sum_l g (k,l))$   
 $\langle proof \rangle$

**lemma** *agg-sum-add-bot*:

**fixes**  $f :: 'a \Rightarrow 'b::\text{aggregation-order}$   
**shows**  $(\sum_k f k) = (\sum_k f k) + \text{bot}$   
 $\langle proof \rangle$

**lemma** *agg-sum-add-bot-2*:

**fixes**  $f :: 'a \Rightarrow 'b::\text{aggregation-order}$   
**shows**  $(\sum_k f k + \text{bot}) = (\sum_k f k)$   
 $\langle proof \rangle$

**lemma** *agg-sum-commute*:

```

fixes f :: ('a,'b::aggregation-order) square
shows ( $\sum_k \sum_l f(k,l)$ ) = ( $\sum_l \sum_k f(k,l)$ )
⟨proof⟩

lemma agg-delta:
fixes f :: 'a::finite ⇒ 'b::aggregation-order
shows ( $\sum_l \text{if } l = j \text{ then } f(l) \text{ else zero}$ ) = f(j) + bot
⟨proof⟩

lemma agg-delta-1:
fixes f :: 'a::finite ⇒ 'b::aggregation-order
shows ( $\sum_l \text{if } l = j \text{ then } f(l) \text{ else bot}$ ) = f(j) + bot
⟨proof⟩

lemma agg-delta-2:
fixes f :: ('a::finite,'b::aggregation-order) square
shows ( $\sum_k \sum_l \text{if } k = i \wedge l = j \text{ then } f(k,l) \text{ else bot}$ ) = f(i,j) + bot
⟨proof⟩

```

## 4.2 Matrix Aggregation

The following definitions introduce the matrix of unit elements, component-wise aggregation and aggregation on matrices. The aggregation of a matrix is a single value, but because s-algebras are single-sorted the result has to be encoded as a matrix of the same type (size) as the input. We store the aggregated matrix value in the ‘first’ entry of a matrix, setting all other entries to the unit value. The first entry is determined by requiring an enumeration of indices. It does not have to be the first entry; any fixed location in the matrix would work as well.

```
definition zero-matrix :: ('a,'b:{plus,bot}) square (mzero) where mzero = (λe . bot + bot)
```

```
definition plus-matrix :: ('a,'b::plus) square ⇒ ('a,'b) square ⇒ ('a,'b) square
(infixl ⊕M 65) where plus-matrix f g = (λe . f e + g e)
```

```
definition sum-matrix :: ('a::enum,'b:{plus,bot}) square ⇒ ('a,'b) square (sumM
- [80] 80) where sum-matrix f = (λ(i,j) . if i = hd enum-class.enum ∧ j = i
then  $\sum_k \sum_l f(k,l)$  else bot + bot)
```

Basic properties of these operations are given in the following.

```

lemma bot-plus-bot:
mbot ⊕M mbot = mzero
⟨proof⟩

lemma sum-bot:
sumM (mbot :: ('a::enum,'b::aggregation-order) square) = mzero
⟨proof⟩

```

```

lemma sum-plus-bot:
  fixes f :: ('a::enum,'b::aggregation-order) square
  shows sumM f ⊕M mbot = sumM f
  ⟨proof⟩

```

```

lemma sum-plus-zero:
  fixes f :: ('a::enum,'b::aggregation-order) square
  shows sumM f ⊕M mzero = sumM f
  ⟨proof⟩

```

```

lemma agg-matrix-bot:
  fixes f :: ('a,'b::aggregation-order) square
  assumes ∀ i j . f (i,j) = bot
  shows f = mbot
  ⟨proof⟩

```

We consider a different implementation of matrix aggregation which stores the aggregated value in all entries of the matrix instead of a particular one. This does not require an enumeration of the indices. All results continue to hold using this alternative implementation.

```

definition sum-matrix-2 :: ('a,'b::{plus,bot}) square ⇒ ('a,'b) square (sum2M - [80] 80) where sum-matrix-2 f = (λe . ∑k ∑l f (k,l))

```

```

lemma sum-bot-2:
  sum2M (mbot :: ('a,'b::aggregation-order) square) = mzero
  ⟨proof⟩

```

```

lemma sum-plus-bot-2:
  fixes f :: ('a,'b::aggregation-order) square
  shows sum2M f ⊕M mbot = sum2M f
  ⟨proof⟩

```

```

lemma sum-plus-zero-2:
  fixes f :: ('a,'b::aggregation-order) square
  shows sum2M f ⊕M mzero = sum2M f
  ⟨proof⟩

```

### 4.3 Aggregation Lattices

We extend aggregation orders to dense bounded distributive lattices. Axiom *add-lattice* implements the inclusion-exclusion principle at the level of edge weights.

```

class aggregation-lattice = bounded-distrib-lattice + dense-lattice +
aggregation-order +
assumes add-lattice: x + y = (x ⊔ y) + (x ⊓ y)

```

Aggregation lattices form a Stone relation algebra by reusing the meet operation as composition, using identity as converse and a standard implementation of pseudocomplement.

```

class aggregation-algebra = aggregation-lattice + uminus + one + times + conv
+
  assumes uminus-def [simp]:  $-x = (\text{if } x = \text{bot} \text{ then top else bot})$ 
  assumes one-def [simp]:  $1 = \text{top}$ 
  assumes times-def [simp]:  $x * y = x \sqcap y$ 
  assumes conv-def [simp]:  $x^T = x$ 
begin

  subclass stone-algebra
  <proof>

  subclass stone-relation-algebra
  <proof>

end

```

We show that matrices over aggregation lattices form an s-algebra using the above operations.

**interpretation** agg-square-s-algebra: s-algebra **where** sup = sup-matrix **and** inf = inf-matrix **and** less-eq = less-eq-matrix **and** less = less-matrix **and** bot = bot-matrix::('a::enum,'b::aggregation-algebra) square **and** top = top-matrix **and** uminus = uminus-matrix **and** one = one-matrix **and** times = times-matrix **and** conv = conv-matrix **and** plus = plus-matrix **and** sum = sum-matrix  
<proof>

We show the same for the alternative implementation that stores the result of aggregation in all elements of the matrix.

**interpretation** agg-square-s-algebra-2: s-algebra **where** sup = sup-matrix **and** inf = inf-matrix **and** less-eq = less-eq-matrix **and** less = less-matrix **and** bot = bot-matrix::('a::finite,'b::aggregation-algebra) square **and** top = top-matrix **and** uminus = uminus-matrix **and** one = one-matrix **and** times = times-matrix **and** conv = conv-matrix **and** plus = plus-matrix **and** sum = sum-matrix-2  
<proof>

#### 4.4 Matrix Minimisation

We construct an operation that finds the minimum entry of a matrix. Because a matrix can have several entries with the same minimum value, we introduce a lexicographic order on the indices to make the operation deterministic. The order is obtained by enumerating the universe of the index.

```

primrec enum-pos' :: 'a list  $\Rightarrow$  'a::enum  $\Rightarrow$  nat where
  enum-pos' Nil x = 0
  | enum-pos' (y#ys) x = (if x = y then 0 else 1 + enum-pos' ys x)

lemma enum-pos'-inverse:
  List.member xs x  $\Longrightarrow$  xs!(enum-pos' xs x) = x
  <proof>

```

The following function finds the position of an index in the enumerated universe.

```
fun enum-pos :: 'a::enum ⇒ nat where enum-pos x = enum-pos'
  (enum-class.enum:'a list) x
```

```
lemma enum-pos-inverse [simp]:
  enum-class.enum!(enum-pos x) = x
  ⟨proof⟩
```

```
lemma enum-pos-injective [simp]:
  enum-pos x = enum-pos y ⟹ x = y
  ⟨proof⟩
```

The position in the enumerated universe determines the order.

```
abbreviation enum-pos-less-eq :: 'a::enum ⇒ 'a ⇒ bool where enum-pos-less-eq
  x y ≡ (enum-pos x ≤ enum-pos y)
```

```
abbreviation enum-pos-less :: 'a::enum ⇒ 'a ⇒ bool where enum-pos-less x y
  ≡ (enum-pos x < enum-pos y)
```

```
sublocale enum < enum-order: order where less-eq = λx y . enum-pos-less-eq x
  y and less = λx y . enum-pos x < enum-pos y
  ⟨proof⟩
```

Based on this, a lexicographic order is defined on pairs, which represent locations in a matrix.

```
abbreviation enum-lex-less :: 'a::enum × 'a ⇒ 'a × 'a ⇒ bool where
  enum-lex-less ≡ (λ(i,j) (k,l) . enum-pos-less i k ∨ (i = k ∧ enum-pos-less j l))
```

```
abbreviation enum-lex-less-eq :: 'a::enum × 'a ⇒ 'a × 'a ⇒ bool where
  enum-lex-less-eq ≡ (λ(i,j) (k,l) . enum-pos-less i k ∨ (i = k ∧ enum-pos-less-eq j
  l))
```

The *m*-operation determines the location of the non- $\perp$  minimum element which is first in the lexicographic order. The result is returned as a regular matrix with  $\top$  at that location and  $\perp$  everywhere else. In the weighted-graph model, this represents a single unweighted edge of the graph.

```
definition minarc-matrix :: ('a::enum,'b:{bot,ord,plus,top}) square ⇒ ('a,'b)
  square (minarc_M - [80] 80) where minarc-matrix f = (λe . iff e ≠ bot ∧ (∀d .
  (f d ≠ bot → (f e + bot ≤ f d + bot ∧ (enum-lex-less d e → f e + bot ≠ f d +
  bot)))) then top else bot)
```

```
lemma minarc-at-most-one:
  fixes f :: ('a::enum,'b:{aggregation-order,top}) square
  assumes (minarc_M f) e ≠ bot
    and (minarc_M f) d ≠ bot
  shows e = d
  ⟨proof⟩
```

## 4.5 Linear Aggregation Lattices

We now assume that the aggregation order is linear and forms a bounded lattice. It follows that these structures are aggregation lattices. A linear order on matrix entries is necessary to obtain a unique minimum entry.

```
class linear-aggregation-lattice = linear-bounded-lattice + aggregation-order
begin
```

```
subclass aggregation-lattice
⟨proof⟩
```

```
sublocale heyting: bounded-heyting-lattice where implies = λx y . if x ≤ y then
top else y
⟨proof⟩
```

```
end
```

Every non-empty set with a transitive total relation has a least element with respect to this relation.

```
lemma least-order:
```

```
assumes transitive: ∀x y z . le x y ∧ le y z → le x z
and total: ∀x y . le x y ∨ le y x
shows finite A ⇒ A ≠ {} ⇒ ∃x . x ∈ A ∧ (∀y . y ∈ A → le x y)
⟨proof⟩
```

```
lemma minarc-at-least-one:
```

```
fixes f :: ('a::enum,'b::linear-aggregation-lattice) square
assumes f ≠ mbot
shows ∃e . (minarcM f) e = top
⟨proof⟩
```

Linear aggregation lattices form a Stone relation algebra by reusing the meet operation as composition, using identity as converse and a standard implementation of pseudocomplement.

```
class linear-aggregation-algebra = linear-aggregation-lattice + uminus + one +
times + conv +
assumes uminus-def-2 [simp]: -x = (if x = bot then top else bot)
assumes one-def-2 [simp]: 1 = top
assumes times-def-2 [simp]: x * y = x □ y
assumes conv-def-2 [simp]: xT = x
begin
```

```
subclass aggregation-algebra
⟨proof⟩
```

```
lemma regular-bot-top-2:
```

```
regular x ↔ x = bot ∨ x = top
⟨proof⟩
```

```
sublocale heyting: heyting-stone-algebra where implies = λx y . if x ≤ y then
top else y
```

$\langle proof \rangle$

end

We show that matrices over linear aggregation lattices form an m-algebra using the above operations.

**interpretation** *agg-square-m-algebra*: *m-algebra* **where** *sup* = *sup-matrix* **and** *inf* = *inf-matrix* **and** *less-eq* = *less-eq-matrix* **and** *less* = *less-matrix* **and** *bot* = *bot-matrix*::('a::enum,'b::linear-aggregation-algebra) **square** **and** *top* = *top-matrix* **and** *uminus* = *uminus-matrix* **and** *one* = *one-matrix* **and** *times* = *times-matrix* **and** *conv* = *conv-matrix* **and** *plus* = *plus-matrix* **and** *sum* = *sum-matrix* **and** *minarc* = *minarc-matrix*

$\langle proof \rangle$

We show the same for the alternative implementation that stores the result of aggregation in all elements of the matrix.

**interpretation** *agg-square-m-algebra-2*: *m-algebra* **where** *sup* = *sup-matrix* **and** *inf* = *inf-matrix* **and** *less-eq* = *less-eq-matrix* **and** *less* = *less-matrix* **and** *bot* = *bot-matrix*::('a::enum,'b::linear-aggregation-algebra) **square** **and** *top* = *top-matrix* **and** *uminus* = *uminus-matrix* **and** *one* = *one-matrix* **and** *times* = *times-matrix* **and** *conv* = *conv-matrix* **and** *plus* = *plus-matrix* **and** *sum* = *sum-matrix-2* **and** *minarc* = *minarc-matrix*

$\langle proof \rangle$

By defining the Kleene star as  $\top$  aggregation lattices form a Kleene algebra.

**class** *aggregation-kleene-algebra* = *aggregation-algebra* + *star* +  
  **assumes** *star-def* [simp]:  $x^* = top$   
  **begin**

**subclass** *stone-kleene-relation-algebra*  
     $\langle proof \rangle$

**end**

**class** *linear-aggregation-kleene-algebra* = *linear-aggregation-algebra* + *star* +  
  **assumes** *star-def-2* [simp]:  $x^* = top$   
  **begin**

**subclass** *aggregation-kleene-algebra*  
     $\langle proof \rangle$

**end**

**interpretation** *agg-square-m-kleene-algebra*: *m-kleene-algebra* **where** *sup* = *sup-matrix* **and** *inf* = *inf-matrix* **and** *less-eq* = *less-eq-matrix* **and** *less* = *less-matrix* **and** *bot* = *bot-matrix*::('a::enum,'b::linear-aggregation-kleene-algebra) **square** **and** *top* = *top-matrix* **and** *uminus* = *uminus-matrix* **and** *one* = *one-matrix* **and** *times* = *times-matrix* **and** *conv* = *conv-matrix* **and** *star* =

```

star-matrix and plus = plus-matrix and sum = sum-matrix and minarc =
minarc-matrix <proof>

interpretation agg-square-m-kleene-algebra-2: m-kleene-algebra where sup =
sup-matrix and inf = inf-matrix and less-eq = less-eq-matrix and less =
less-matrix and bot = bot-matrix:(a::enum,b::linear-aggregation-kleene-algebra)
square and top = top-matrix and uminus = uminus-matrix and one =
one-matrix and times = times-matrix and conv = conv-matrix and star =
star-matrix and plus = plus-matrix and sum = sum-matrix-2 and minarc =
minarc-matrix <proof>

end

```

## 5 Algebras for Aggregation and Minimisation with a Linear Order

This theory gives several classes of instances of linear aggregation lattices as described in [4]. Each of these instances can be used as edge weights and the resulting graphs will form s-algebras and m-algebras as shown in a separate theory.

```

theory Linear-Aggregation-Algebras

imports Matrix-Aggregation-Algebras HOL.Real

begin

no-notation
inf (infixl □ 70)
and uminus (– - [81] 80)

```

### 5.1 Linearly Ordered Commutative Semigroups

Any linearly ordered commutative semigroup extended by new least and greatest elements forms a linear aggregation lattice. The extension is done so that the new least element is a unit of aggregation and the new greatest element is a zero of aggregation.

```

datatype 'a ext =
  Bot
  | Val 'a
  | Top

instantiation ext :: (linordered-ab-semigroup-add)
linear-aggregation-kleene-algebra
begin

fun plus-ext :: 'a ext ⇒ 'a ext ⇒ 'a ext where
plus-ext Bot x = x

```

```

| plus-ext (Val x) Bot = Val x
| plus-ext (Val x) (Val y) = Val (x + y)
| plus-ext (Val -) Top = Top
| plus-ext Top - = Top

fun sup-ext :: 'a ext  $\Rightarrow$  'a ext  $\Rightarrow$  'a ext where
  sup-ext Bot x = x
| sup-ext (Val x) Bot = Val x
| sup-ext (Val x) (Val y) = Val (max x y)
| sup-ext (Val -) Top = Top
| sup-ext Top - = Top

fun inf-ext :: 'a ext  $\Rightarrow$  'a ext  $\Rightarrow$  'a ext where
  inf-ext Bot - = Bot
| inf-ext (Val -) Bot = Bot
| inf-ext (Val x) (Val y) = Val (min x y)
| inf-ext (Val x) Top = Val x
| inf-ext Top x = x

fun times-ext :: 'a ext  $\Rightarrow$  'a ext  $\Rightarrow$  'a ext where times-ext x y = x  $\sqcap$  y

fun uminus-ext :: 'a ext  $\Rightarrow$  'a ext where
  uminus-ext Bot = Top
| uminus-ext (Val -) = Bot
| uminus-ext Top = Bot

fun star-ext :: 'a ext  $\Rightarrow$  'a ext where star-ext - = Top

fun conv-ext :: 'a ext  $\Rightarrow$  'a ext where conv-ext x = x

definition bot-ext :: 'a ext where bot-ext  $\equiv$  Bot
definition one-ext :: 'a ext where one-ext  $\equiv$  Top
definition top-ext :: 'a ext where top-ext  $\equiv$  Top

fun less-eq-ext :: 'a ext  $\Rightarrow$  'a ext  $\Rightarrow$  bool where
  less-eq-ext Bot - = True
| less-eq-ext (Val -) Bot = False
| less-eq-ext (Val x) (Val y) = (x  $\leq$  y)
| less-eq-ext (Val -) Top = True
| less-eq-ext Top Bot = False
| less-eq-ext Top (Val -) = False
| less-eq-ext Top Top = True

fun less-ext :: 'a ext  $\Rightarrow$  'a ext  $\Rightarrow$  bool where less-ext x y = (x  $\leq$  y  $\wedge$   $\neg$  y  $\leq$  x)

instance
   $\langle proof \rangle$ 

end

```

An example of a linearly ordered commutative semigroup is the set of real numbers with standard addition as aggregation.

```
lemma example-real-ext-matrix:
  fixes x :: ('a::enum,real ext) square
  shows minarcM x ⪯ ⊕⊖x
  ⟨proof⟩
```

Another example of a linearly ordered commutative semigroup is the set of real numbers with maximum as aggregation.

```
datatype real-max = Rmax real

instantiation real-max :: linordered-ab-semigroup-add
begin

fun less-eq-real-max where less-eq-real-max (Rmax x) (Rmax y) = (x ≤ y)
fun less-real-max where less-real-max (Rmax x) (Rmax y) = (x < y)
fun plus-real-max where plus-real-max (Rmax x) (Rmax y) = Rmax (max x y)

instance
⟨proof⟩

end

lemma example-real-max-ext-matrix:
  fixes x :: ('a::enum,real-max ext) square
  shows minarcM x ⪯ ⊕⊖x
  ⟨proof⟩
```

A third example of a linearly ordered commutative semigroup is the set of real numbers with minimum as aggregation.

```
datatype real-min = Rmin real

instantiation real-min :: linordered-ab-semigroup-add
begin

fun less-eq-real-min where less-eq-real-min (Rmin x) (Rmin y) = (x ≤ y)
fun less-real-min where less-real-min (Rmin x) (Rmin y) = (x < y)
fun plus-real-min where plus-real-min (Rmin x) (Rmin y) = Rmin (min x y)

instance
⟨proof⟩

end

lemma example-real-min-ext-matrix:
  fixes x :: ('a::enum,real-min ext) square
  shows minarcM x ⪯ ⊕⊖x
  ⟨proof⟩
```

## 5.2 Linearly Ordered Commutative Monoids

Any linearly ordered commutative monoid extended by new least and greatest elements forms a linear aggregation lattice. This is similar to linearly ordered commutative semigroups except that the aggregation  $\perp + \perp$  produces the unit of the monoid instead of the least element. Applied to weighted graphs, this means that the aggregation of the empty graph will be the unit of the monoid (for example, 0 for real numbers under standard addition, instead of  $\perp$ ).

```

class linordered-comm-monoid-add = linordered-ab-semigroup-add +
comm-monoid-add

datatype 'a ext0 =
  Bot
  | Val 'a
  | Top

instantiation ext0 :: (linordered-comm-monoid-add)
linear-aggregation-kleene-algebra
begin

fun plus-ext0 :: 'a ext0  $\Rightarrow$  'a ext0  $\Rightarrow$  'a ext0 where
  plus-ext0 Bot Bot = Val 0
  | plus-ext0 Bot x = x
  | plus-ext0 (Val x) Bot = Val x
  | plus-ext0 (Val x) (Val y) = Val (x + y)
  | plus-ext0 (Val -) Top = Top
  | plus-ext0 Top - = Top

fun sup-ext0 :: 'a ext0  $\Rightarrow$  'a ext0  $\Rightarrow$  'a ext0 where
  sup-ext0 Bot x = x
  | sup-ext0 (Val x) Bot = Val x
  | sup-ext0 (Val x) (Val y) = Val (max x y)
  | sup-ext0 (Val -) Top = Top
  | sup-ext0 Top - = Top

fun inf-ext0 :: 'a ext0  $\Rightarrow$  'a ext0  $\Rightarrow$  'a ext0 where
  inf-ext0 Bot - = Bot
  | inf-ext0 (Val -) Bot = Bot
  | inf-ext0 (Val x) (Val y) = Val (min x y)
  | inf-ext0 (Val x) Top = Val x
  | inf-ext0 Top x = x

fun times-ext0 :: 'a ext0  $\Rightarrow$  'a ext0  $\Rightarrow$  'a ext0 where times-ext0 x y = x  $\sqcap$  y

fun uminus-ext0 :: 'a ext0  $\Rightarrow$  'a ext0 where
  uminus-ext0 Bot = Top
  | uminus-ext0 (Val -) = Bot

```

```

| uminus-ext0 Top = Bot

fun star-ext0 :: 'a ext0 ⇒ 'a ext0 where star-ext0 - = Top

fun conv-ext0 :: 'a ext0 ⇒ 'a ext0 where conv-ext0 x = x

definition bot-ext0 :: 'a ext0 where bot-ext0 ≡ Bot
definition one-ext0 :: 'a ext0 where one-ext0 ≡ Top
definition top-ext0 :: 'a ext0 where top-ext0 ≡ Top

fun less-eq-ext0 :: 'a ext0 ⇒ 'a ext0 ⇒ bool where
  less-eq-ext0 Bot - = True
| less-eq-ext0 (Val -) Bot = False
| less-eq-ext0 (Val x) (Val y) = (x ≤ y)
| less-eq-ext0 (Val -) Top = True
| less-eq-ext0 Top Bot = False
| less-eq-ext0 Top (Val -) = False
| less-eq-ext0 Top Top = True

fun less-ext0 :: 'a ext0 ⇒ 'a ext0 ⇒ bool where less-ext0 x y = (x ≤ y ∧ ¬ y ≤
x)

instance
⟨proof⟩

end

```

An example of a linearly ordered commutative monoid is the set of real numbers with standard addition and unit 0.

```

instantiation real :: linordered-comm-monoid-add
begin

instance ⟨proof⟩

end

```

### 5.3 Linearly Ordered Commutative Monoids with a Least Element

If a linearly ordered commutative monoid already contains a least element which is a unit of aggregation, only a new greatest element has to be added to obtain a linear aggregation lattice.

```

class linordered-comm-monoid-add-bot = linordered-ab-semigroup-add +
order-bot +
assumes bot-zero [simp]: bot + x = x
begin

sublocale linordered-comm-monoid-add where zero = bot

```

```

⟨proof⟩

end

datatype 'a extT =
  Val 'a
  | Top

instantiation extT :: (linordered-comm-monoid-add-bot)
linear-aggregation-kleene-algebra
begin

fun plus-extT :: 'a extT ⇒ 'a extT ⇒ 'a extT where
  plus-extT (Val x) (Val y) = Val (x + y)
  | plus-extT (Val -) Top = Top
  | plus-extT Top - = Top

fun sup-extT :: 'a extT ⇒ 'a extT ⇒ 'a extT where
  sup-extT (Val x) (Val y) = Val (max x y)
  | sup-extT (Val -) Top = Top
  | sup-extT Top - = Top

fun inf-extT :: 'a extT ⇒ 'a extT ⇒ 'a extT where
  inf-extT (Val x) (Val y) = Val (min x y)
  | inf-extT (Val x) Top = Val x
  | inf-extT Top x = x

fun times-extT :: 'a extT ⇒ 'a extT ⇒ 'a extT where times-extT x y = x □ y

fun uminus-extT :: 'a extT ⇒ 'a extT where uminus-extT x = (if x = Val bot
then Top else Val bot)

fun star-extT :: 'a extT ⇒ 'a extT where star-extT - = Top

fun conv-extT :: 'a extT ⇒ 'a extT where conv-extT x = x

definition bot-extT :: 'a extT where bot-extT ≡ Val bot
definition one-extT :: 'a extT where one-extT ≡ Top
definition top-extT :: 'a extT where top-extT ≡ Top

fun less-eq-extT :: 'a extT ⇒ 'a extT ⇒ bool where
  less-eq-extT (Val x) (Val y) = (x ≤ y)
  | less-eq-extT Top (Val -) = False
  | less-eq-extT - Top = True

fun less-extT :: 'a extT ⇒ 'a extT ⇒ bool where less-extT x y = (x ≤ y ∧ ¬ y
≤ x)

instance

```

```
<proof>
```

```
end
```

An example of a linearly ordered commutative monoid with a least element is the set of real numbers extended by minus infinity with maximum as aggregation.

```
datatype real-max-bot =
  MInfty
  | R real

instantiation real-max-bot :: linordered-comm-monoid-add-bot
begin

definition bot-real-max-bot ≡ MInfty

fun less-eq-real-max-bot where
  less-eq-real-max-bot MInfty - = True
  | less-eq-real-max-bot (R -) MInfty = False
  | less-eq-real-max-bot (R x) (R y) = (x ≤ y)

fun less-real-max-bot where
  less-real-max-bot - MInfty = False
  | less-real-max-bot MInfty (R -) = True
  | less-real-max-bot (R x) (R y) = (x < y)

fun plus-real-max-bot where
  plus-real-max-bot MInfty y = y
  | plus-real-max-bot x MInfty = x
  | plus-real-max-bot (R x) (R y) = R (max x y)

instance
<proof>
```

```
end
```

## 5.4 Linearly Ordered Commutative Monoids with a Greatest Element

If a linearly ordered commutative monoid already contains a greatest element which is a unit of aggregation, only a new least element has to be added to obtain a linear aggregation lattice.

```
class linordered-comm-monoid-add-top = linordered-ab-semigroup-add +
order-top +
assumes top-zero [simp]: top + x = x
begin

sublocale linordered-comm-monoid-add where zero = top
```

```

⟨proof⟩

lemma add-decreasing:  $x + y \leq x$ 
⟨proof⟩

lemma t-min:  $x + y \leq \min x y$ 
⟨proof⟩

end

datatype 'a extB =
  Bot
  | Val 'a

instantiation extB :: (linordered-comm-monoid-add-top)
linear-aggregation-kleene-algebra
begin

fun plus-extB :: 'a extB  $\Rightarrow$  'a extB  $\Rightarrow$  'a extB where
  plus-extB Bot Bot = Val top
  | plus-extB Bot (Val x) = Val x
  | plus-extB (Val x) Bot = Val x
  | plus-extB (Val x) (Val y) = Val (x + y)

fun sup-extB :: 'a extB  $\Rightarrow$  'a extB  $\Rightarrow$  'a extB where
  sup-extB Bot x = x
  | sup-extB (Val x) Bot = Val x
  | sup-extB (Val x) (Val y) = Val (max x y)

fun inf-extB :: 'a extB  $\Rightarrow$  'a extB  $\Rightarrow$  'a extB where
  inf-extB Bot - = Bot
  | inf-extB (Val -) Bot = Bot
  | inf-extB (Val x) (Val y) = Val (min x y)

fun times-extB :: 'a extB  $\Rightarrow$  'a extB  $\Rightarrow$  'a extB where times-extB x y = x ∩ y

fun uminus-extB :: 'a extB  $\Rightarrow$  'a extB where
  uminus-extB Bot = Val top
  | uminus-extB (Val -) = Bot

fun star-extB :: 'a extB  $\Rightarrow$  'a extB where star-extB - = Val top

fun conv-extB :: 'a extB  $\Rightarrow$  'a extB where conv-extB x = x

definition bot-extB :: 'a extB where bot-extB  $\equiv$  Bot
definition one-extB :: 'a extB where one-extB  $\equiv$  Val top
definition top-extB :: 'a extB where top-extB  $\equiv$  Val top

fun less-eq-extB :: 'a extB  $\Rightarrow$  'a extB  $\Rightarrow$  bool where

```

```

less-eq-extB Bot - = True
| less-eq-extB (Val -) Bot = False
| less-eq-extB (Val x) (Val y) = (x ≤ y)

fun less-extB :: 'a extB ⇒ 'a extB ⇒ bool where less-extB x y = (x ≤ y ∧ ¬ y
≤ x)

instance
⟨proof⟩

end

```

An example of a linearly ordered commutative monoid with a greatest element is the set of real numbers extended by infinity with minimum as aggregation.

```

datatype real-min-top =
R real
| PInfty

instantiation real-min-top :: linordered-comm-monoid-add-top
begin

definition top-real-min-top ≡ PInfty

fun less-eq-real-min-top where
less-eq-real-min-top - PInfty = True
| less-eq-real-min-top PInfty (R -) = False
| less-eq-real-min-top (R x) (R y) = (x ≤ y)

fun less-real-min-top where
less-real-min-top PInfty - = False
| less-real-min-top (R -) PInfty = True
| less-real-min-top (R x) (R y) = (x < y)

fun plus-real-min-top where
plus-real-min-top PInfty y = y
| plus-real-min-top x PInfty = x
| plus-real-min-top (R x) (R y) = R (min x y)

instance
⟨proof⟩

end

```

Another example of a linearly ordered commutative monoid with a greatest element is the unit interval of real numbers with any triangular norm (t-norm) as aggregation. Ideally, we would like to show that the unit interval is an instance of *linordered-comm-monoid-add-top*. However, this class has an addition operation, so the instantiation would require dependent types.

We therefore show only the order property in general and a particular instance of the class.

```

typedef (overloaded) unit = {0..1} :: real set
  ⟨proof⟩

setup-lifting type-definition-unit

instantiation unit :: bounded-linorder
begin

  lift-definition bot-unit :: unit is 0
    ⟨proof⟩

  lift-definition top-unit :: unit is 1
    ⟨proof⟩

  lift-definition less-eq-unit :: unit ⇒ unit ⇒ bool is less-eq ⟨proof⟩

  lift-definition less-unit :: unit ⇒ unit ⇒ bool is less ⟨proof⟩

  instance
    ⟨proof⟩

end

```

We give the Lukasiewicz t-norm as a particular instance.

```

instantiation unit :: linordered-comm-monoid-add-top
begin

  abbreviation tl :: real ⇒ real ⇒ real where
    tl x y ≡ max (x + y - 1) 0

  lemma tl-assoc:
    x ∈ {0..1} ⇒ z ∈ {0..1} ⇒ tl (tl x y) z = tl x (tl y z)
    ⟨proof⟩

  lemma tl-top-zero:
    x ∈ {0..1} ⇒ tl 1 x = x
    ⟨proof⟩

  lift-definition plus-unit :: unit ⇒ unit ⇒ unit is tl
    ⟨proof⟩

  instance
    ⟨proof⟩

end

```

## 5.5 Linearly Ordered Commutative Monoids with a Least Element and a Greatest Element

If a linearly ordered commutative monoid already contains a least element which is a unit of aggregation and a greatest element, it forms a linear aggregation lattice.

```
class linordered-bounded-comm-monoid-add-bot =
linordered-comm-monoid-add-bot + order-top
begin

subclass bounded-linorder ⟨proof⟩

subclass aggregation-order
⟨proof⟩

sublocale linear-aggregation-kleene-algebra where sup = max and inf = min
and times = min and conv = id and one = top and star = λx . top and
uminus = λx . if x = bot then top else bot
⟨proof⟩

lemma t-top: x + top = top
⟨proof⟩

lemma add-increasing: x ≤ x + y
⟨proof⟩

lemma t-max: max x y ≤ x + y
⟨proof⟩

end
```

An example of a linearly ordered commutative monoid with a least and a greatest element is the unit interval of real numbers with any triangular conorm (t-conorm) as aggregation. For the reason outlined above, we show just a particular instance of *linordered-bounded-comm-monoid-add-bot*. Because the *plus* functions in the two instances given for the unit type are different, we work on a copy of the unit type.

```
typedef (overloaded) unit2 = {0..1} :: real set
⟨proof⟩

setup-lifting type-definition-unit2

instantiation unit2 :: bounded-linorder
begin

lift-definition bot-unit2 :: unit2 is 0
⟨proof⟩
```

```

lift-definition top-unit2 :: unit2 is 1
  ⟨proof⟩

lift-definition less-eq-unit2 :: unit2 ⇒ unit2 ⇒ bool is less-eq ⟨proof⟩

lift-definition less-unit2 :: unit2 ⇒ unit2 ⇒ bool is less ⟨proof⟩

instance
  ⟨proof⟩

end

We give the product t-conorm as a particular instance.

instantiation unit2 :: linordered-bounded-comm-monoid-add-bot
begin

abbreviation sp :: real ⇒ real ⇒ real where
  sp x y ≡ x + y - x * y

lemma sp-assoc:
  sp (sp x y) z = sp x (sp y z)
  ⟨proof⟩

lemma sp-mono:
  assumes z ∈ {0..1}
    and x ≤ y
  shows sp z x ≤ sp z y
  ⟨proof⟩

lift-definition plus-unit2 :: unit2 ⇒ unit2 ⇒ unit2 is sp
  ⟨proof⟩

```

```

instance
  ⟨proof⟩

end

```

## 5.6 Constant Aggregation

Any linear order with a constant element extended by new least and greatest elements forms a linear aggregation lattice where the aggregation returns the given constant.

```

class pointed-linorder = linorder +
  fixes const :: 'a

datatype 'a extC =
  Bot
  | Val 'a
  | Top

```

```

instantiation extC :: (pointed-linorder) linear-aggregation-kleene-algebra
begin

fun plus-extC :: 'a extC ⇒ 'a extC ⇒ 'a extC where plus-extC x y = Val const

fun sup-extC :: 'a extC ⇒ 'a extC ⇒ 'a extC where
  sup-extC Bot x = x
  | sup-extC (Val x) Bot = Val x
  | sup-extC (Val x) (Val y) = Val (max x y)
  | sup-extC (Val -) Top = Top
  | sup-extC Top - = Top

fun inf-extC :: 'a extC ⇒ 'a extC ⇒ 'a extC where
  inf-extC Bot - = Bot
  | inf-extC (Val -) Bot = Bot
  | inf-extC (Val x) (Val y) = Val (min x y)
  | inf-extC (Val x) Top = Val x
  | inf-extC Top x = x

fun times-extC :: 'a extC ⇒ 'a extC ⇒ 'a extC where times-extC x y = x □ y

fun uminus-extC :: 'a extC ⇒ 'a extC where
  uminus-extC Bot = Top
  | uminus-extC (Val -) = Bot
  | uminus-extC Top = Bot

fun star-extC :: 'a extC ⇒ 'a extC where star-extC - = Top

fun conv-extC :: 'a extC ⇒ 'a extC where conv-extC x = x

definition bot-extC :: 'a extC where bot-extC ≡ Bot
definition one-extC :: 'a extC where one-extC ≡ Top
definition top-extC :: 'a extC where top-extC ≡ Top

fun less-eq-extC :: 'a extC ⇒ 'a extC ⇒ bool where
  less-eq-extC Bot - = True
  | less-eq-extC (Val -) Bot = False
  | less-eq-extC (Val x) (Val y) = (x ≤ y)
  | less-eq-extC (Val -) Top = True
  | less-eq-extC Top Bot = False
  | less-eq-extC Top (Val -) = False
  | less-eq-extC Top Top = True

fun less-extC :: 'a extC ⇒ 'a extC ⇒ bool where less-extC x y = (x ≤ y ∧ ¬ y
  ≤ x)

instance
⟨proof⟩

```

```
end
```

An example of a linear order is the set of real numbers. Any real number can be chosen as the constant.

```
instantiation real :: pointed-linorder
begin
```

```
instance ⟨proof⟩
```

```
end
```

The following instance shows that any linear order with a constant forms a linearly ordered commutative semigroup with the alpha-median operation as aggregation. The alpha-median of two elements is the median of these elements and the given constant.

```
fun median3 :: 'a::ord ⇒ 'a ⇒ 'a ⇒ 'a where
median3 x y z =
(if x ≤ y ∧ y ≤ z then y else
 if x ≤ z ∧ z ≤ y then z else
 if y ≤ x ∧ x ≤ z then x else
 if y ≤ z ∧ z ≤ x then z else
 if z ≤ x ∧ x ≤ y then x else y)
```

```
interpretation alpha-median: linordered-ab-semigroup-add where plus =
median3 const and less-eq = less-eq and less = less
⟨proof⟩
```

## 5.7 Counting Aggregation

Any linear order extended by new least and greatest elements and a copy of the natural numbers forms a linear aggregation lattice where the aggregation counts non- $\perp$  elements using the copy of the natural numbers.

```
datatype 'a extN =
Bot
| Val 'a
| N nat
| Top
```

```
instantiation extN :: (linorder) linear-aggregation-kleene-algebra
begin
```

```
fun plus-extN :: 'a extN ⇒ 'a extN ⇒ 'a extN where
plus-extN Bot Bot = N 0
| plus-extN Bot (Val -) = N 1
| plus-extN Bot (N y) = N y
| plus-extN Bot Top = N 1
| plus-extN (Val -) Bot = N 1
```

```

| plus-extN (Val -) (Val -) = N 2
| plus-extN (Val -) (N y) = N (y + 1)
| plus-extN (Val -) Top = N 2
| plus-extN (N x) Bot = N x
| plus-extN (N x) (Val -) = N (x + 1)
| plus-extN (N x) (N y) = N (x + y)
| plus-extN (N x) Top = N (x + 1)
| plus-extN Top Bot = N 1
| plus-extN Top (Val -) = N 2
| plus-extN Top (N y) = N (y + 1)
| plus-extN Top Top = N 2

fun sup-extN :: 'a extN ⇒ 'a extN ⇒ 'a extN where
  sup-extN Bot x = x
  | sup-extN (Val x) Bot = Val x
  | sup-extN (Val x) (Val y) = Val (max x y)
  | sup-extN (Val -) (N y) = N y
  | sup-extN (Val -) Top = Top
  | sup-extN (N x) Bot = N x
  | sup-extN (N x) (Val -) = N x
  | sup-extN (N x) (N y) = N (max x y)
  | sup-extN (N -) Top = Top
  | sup-extN Top - = Top

fun inf-extN :: 'a extN ⇒ 'a extN ⇒ 'a extN where
  inf-extN Bot - = Bot
  | inf-extN (Val -) Bot = Bot
  | inf-extN (Val x) (Val y) = Val (min x y)
  | inf-extN (Val x) (N -) = Val x
  | inf-extN (Val x) Top = Val x
  | inf-extN (N -) Bot = Bot
  | inf-extN (N -) (Val y) = Val y
  | inf-extN (N x) (N y) = N (min x y)
  | inf-extN (N x) Top = N x
  | inf-extN Top y = y

fun times-extN :: 'a extN ⇒ 'a extN ⇒ 'a extN where times-extN x y = x □ y

fun uminus-extN :: 'a extN ⇒ 'a extN where
  uminus-extN Bot = Top
  | uminus-extN (Val -) = Bot
  | uminus-extN (N -) = Bot
  | uminus-extN Top = Bot

fun star-extN :: 'a extN ⇒ 'a extN where star-extN - = Top

fun conv-extN :: 'a extN ⇒ 'a extN where conv-extN x = x

definition bot-extN :: 'a extN where bot-extN ≡ Bot

```

```

definition one-extN :: 'a extN where one-extN ≡ Top
definition top-extN :: 'a extN where top-extN ≡ Top

fun less-eq-extN :: 'a extN ⇒ 'a extN ⇒ bool where
  less-eq-extN Bot - = True
  | less-eq-extN (Val -) Bot = False
  | less-eq-extN (Val x) (Val y) = (x ≤ y)
  | less-eq-extN (Val -) (N -) = True
  | less-eq-extN (Val -) Top = True
  | less-eq-extN (N -) Bot = False
  | less-eq-extN (N -) (Val -) = False
  | less-eq-extN (N x) (N y) = (x ≤ y)
  | less-eq-extN (N -) Top = True
  | less-eq-extN Top Bot = False
  | less-eq-extN Top (Val -) = False
  | less-eq-extN Top (N -) = False
  | less-eq-extN Top Top = True

fun less-extN :: 'a extN ⇒ 'a extN ⇒ bool where less-extN x y = (x ≤ y ∧ ¬ y
  ≤ x)

instance
  ⟨proof⟩

end

end

```

## References

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