

Formalisation of an Adaptive State Counting Algorithm

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Abstract

This entry provides a formalisation of a refinement of an adaptive state counting algorithm, used to test for reduction between finite state machines. The algorithm has been originally presented by Hierons in [2] and was slightly refined by Sachtleben et al. in [3]. Definitions for finite state machines and adaptive test cases are given and many useful theorems are derived from these. The algorithm is formalised using mutually recursive functions, for which it is proven that the generated test suite is sufficient to test for reduction against finite state machines of a certain fault domain. Additionally, the algorithm is specified in a simple WHILE-language and its correctness is shown using Hoare-logic.

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theory *FSM*

imports

Transition-Systems-and-Automata.Sequence-Zip
Transition-Systems-and-Automata.Transition-System
Transition-Systems-and-Automata.Transition-System-Extra
Transition-Systems-and-Automata.Transition-System-Construction

begin

1 Finite state machines

We formalise finite state machines as a 4-tuples, omitting the explicit formulation of the state set, as it can easily be calculated from the successor function. This definition does not require the successor function to be restricted to the input or output alphabet, which is later expressed by the property `well_formed`, together with the finiteness of the state set.

```
record ('in, 'out, 'state) FSM =
  succ  :: ('in × 'out) ⇒ 'state ⇒ 'state set
  inputs :: 'in set
  outputs :: 'out set
  initial :: 'state
```

1.1 FSMs as transition systems

We interpret FSMs as transition systems with a singleton initial state set, based on [1].

global-interpretation *FSM* : *transition-system-initial*

$\lambda a p. \text{snd } a$ — execute
 $\lambda a p. \text{snd } a \in \text{succ } A \text{ (fst } a) p$ — enabled
 $\lambda p. p = \text{initial } A$ — initial

for *A*

defines *path* = *FSM.path*
and *run* = *FSM.run*
and *reachable* = *FSM.reachable*
and *nodes* = *FSM.nodes*

by *this*

abbreviation *size-FSM* *M* $\equiv \text{card } (\text{nodes } M)$

notation

size-FSM $\langle \langle | \cdot | \rangle \rangle$

1.2 Language

The following definitions establish basic notions for FSMs similarly to those of nondeterministic finite automata as defined in [1].

In particular, the language of an FSM state are the IO-parts of the paths in the FSM enabled from that state.

abbreviation *target* $\equiv \text{FSM.target}$

abbreviation *states* $\equiv \text{FSM.states}$

abbreviation $trace \equiv FSM.trace$

abbreviation $successors :: ('in, 'out, 'state, 'more) FSM-scheme \Rightarrow 'state \Rightarrow 'state\ set$ **where**
 $successors \equiv FSM.successors\ TYPE('in)\ TYPE('out)\ TYPE('more)$

lemma $states-alt-def$: $states\ r\ p = map\ snd\ r$
by $(induct\ r\ arbitrary:\ p)\ (auto)$

lemma $trace-alt-def$: $trace\ r\ p = smap\ snd\ r$
by $(coinduction\ arbitrary:\ r\ p)\ (auto)$

definition $language-state :: ('in, 'out, 'state) FSM \Rightarrow 'state$
 $\Rightarrow ('in \times 'out)\ list\ set\ (\langle LS \rangle)$

where

$language-state\ M\ q \equiv \{map\ fst\ r \mid r \cdot path\ M\ r\ q\}$

The language of an FSM is the language of its initial state.

abbreviation $L\ M \equiv LS\ M\ (initial\ M)$

lemma $language-state-alt-def$: $LS\ M\ q = \{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\}$

proof –

have $LS\ M\ q \subseteq \{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\}$

proof

fix xr **assume** $xr-assm : xr \in LS\ M\ q$

then obtain r **where** $r-def : map\ fst\ r = xr\ path\ M\ r\ q$

unfolding $language-state-def$ **by** $auto$

then obtain $xs\ ys$ **where** $xr-split : xr = xs \parallel ys$

$length\ xs = length\ ys$

$length\ xs = length\ xr$

by $(metis\ length-map\ zip-map-fst-snd)$

then have $(xs \parallel ys) \in \{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\}$

proof –

have $f1 : xs \parallel ys = map\ fst\ r$

by $(simp\ add:\ r-def(1)\ xr-split(1))$

then have $f2 : path\ M\ ((xs \parallel ys) \parallel take\ (min\ (length\ (xs \parallel ys))\ (length\ (map\ snd\ r)))\ (map\ snd\ r))\ q$

by $(simp\ add:\ r-def(2))$

have $length\ (xs \parallel ys) = length$

$(take\ (min\ (length\ (xs \parallel ys))\ (length\ (map\ snd\ r)))\ (map\ snd\ r))$

using $f1$ **by** $force$

then show $?thesis$

using $f2$ **by** $blast$

qed

then show $xr \in \{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\}$

using $xr-split$ **by** $metis$

qed

moreover have $\{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\} \subseteq LS\ M\ q$

proof

fix xs **assume** $xs-assm : xs \in \{io \mid io\ tr \cdot path\ M\ (io \parallel tr)\ q \wedge length\ io = length\ tr\}$

then obtain ys **where** $ys-def : path\ M\ (xs \parallel ys)\ q\ length\ xs = length\ ys$

by $auto$

then have $xs = map\ fst\ (xs \parallel ys)$

by $auto$

then show $xs \in LS\ M\ q$

using $ys-def$ **unfolding** $language-state-def$ **by** $blast$

qed

ultimately show $?thesis$

by $auto$

qed

lemma $language-state[intro]$:

assumes $path\ M\ (w \parallel r)\ q\ length\ w = length\ r$

shows $w \in LS\ M\ q$

using $assms$ **unfolding** $language-state-def$ **by** $force$

```

lemma language-state-elim[elim]:
  assumes  $w \in LS\ M\ q$ 
  obtains  $r$ 
  where  $path\ M\ (w \parallel r)\ q\ length\ w = length\ r$ 
  using assms unfolding language-state-def by (force iff: split-zip-ex)

lemma language-state-split:
  assumes  $w1\ @\ w2 \in LS\ M\ q$ 
  obtains  $tr1\ tr2$ 
  where  $path\ M\ (w1 \parallel tr1)\ q\ length\ w1 = length\ tr1$ 
          $path\ M\ (w2 \parallel tr2)\ (target\ (w1 \parallel tr1)\ q)\ length\ w2 = length\ tr2$ 
proof –
  obtain  $tr$  where  $tr-def : path\ M\ ((w1\ @\ w2) \parallel tr)\ q\ length\ (w1\ @\ w2) = length\ tr$ 
    using assms by blast
  let  $?tr1 = take\ (length\ w1)\ tr$ 
  let  $?tr2 = drop\ (length\ w1)\ tr$ 
  have  $tr-split : ?tr1\ @\ ?tr2 = tr$ 
    by auto
  then show ?thesis
proof –
  have  $f1 : length\ w1 + length\ w2 = length\ tr$ 
    using  $tr-def(2)$  by auto
  then have  $f2 : length\ w2 = length\ tr - length\ w1$ 
    by presburger
  then have  $length\ w1 = length\ (take\ (length\ w1)\ tr)$ 
    using  $f1$  by (metis (no-types) tr-split diff-add-inverse2 length-append length-drop)
  then show ?thesis
    using  $f2$  by (metis (no-types) FSM.path-append-elim length-drop that  $tr-def(1)$  zip-append1)
qed
qed

lemma language-state-prefix :
  assumes  $w1\ @\ w2 \in LS\ M\ q$ 
  shows  $w1 \in LS\ M\ q$ 
  using assms by (meson language-state language-state-split)

lemma succ-nodes :
  fixes  $A :: ('a, 'b, 'c)\ FSM$ 
  and  $w :: ('a \times 'b)$ 
  assumes  $q2 \in succ\ A\ w\ q1$ 
  and  $q1 \in nodes\ A$ 
  shows  $q2 \in nodes\ A$ 
proof –
  obtain  $x\ y$  where  $w = (x, y)$ 
    by (meson surj-pair)
  then have  $q2 \in successors\ A\ q1$ 
    using assms by auto
  then have  $q2 \in reachable\ A\ q1$ 
    by blast
  then have  $q2 \in reachable\ A\ (initial\ A)$ 
    using assms by blast
  then show  $q2 \in nodes\ A$ 
    by blast
qed

lemma states-target-index :
  assumes  $i < length\ p$ 
  shows  $(states\ p\ q1) ! i = target\ (take\ (Suc\ i)\ p)\ q1$ 
  using assms by auto

```

1.3 Product machine for language intersection

The following describes the construction of a product machine from two FSMs $M1$ and $M2$ such that the language of the product machine is the intersection of the language of $M1$ and the language of $M2$.

definition *product* :: ('in, 'out, 'state1) FSM \Rightarrow ('in, 'out, 'state2) FSM \Rightarrow
('in, 'out, 'state1 \times 'state2) FSM **where**
product A B \equiv
 \langle
succ = $\lambda a (p_1, p_2). \text{succ } A \ a \ p_1 \times \text{succ } B \ a \ p_2$,
inputs = inputs A \cup inputs B,
outputs = outputs A \cup outputs B,
initial = (initial A, initial B)
 \rangle

lemma *product-simps*[simp]:
succ (product A B) a (p₁, p₂) = succ A a p₁ \times succ B a p₂
inputs (product A B) = inputs A \cup inputs B
outputs (product A B) = outputs A \cup outputs B
initial (product A B) = (initial A, initial B)
unfolding *product-def* **by** *simp*+

lemma *product-target*[simp]:
assumes length w = length r₁ length r₁ = length r₂
shows target (w || r₁ || r₂) (p₁, p₂) = (target (w || r₁) p₁, target (w || r₂) p₂)
using *assms* **by** (induct arbitrary: p₁ p₂ rule: list-induct3) (auto)

lemma *product-path*[iff]:
assumes length w = length r₁ length r₁ = length r₂
shows path (product A B) (w || r₁ || r₂) (p₁, p₂) \longleftrightarrow path A (w || r₁) p₁ \wedge path B (w || r₂) p₂
using *assms* **by** (induct arbitrary: p₁ p₂ rule: list-induct3) (auto)

lemma *product-language-state*[simp]: LS (product A B) (q₁, q₂) = LS A q₁ \cap LS B q₂
by (fastforce iff: split-zip)

lemma *product-nodes* :
nodes (product A B) \subseteq nodes A \times nodes B
proof
fix q **assume** q \in nodes (product A B)
then show q \in nodes A \times nodes B
proof (induction rule: FSM.nodes.induct)
case (initial p)
then show ?case **by** auto
next
case (execute p a)
then have fst p \in nodes A **and** p \in nodes B
by auto

have snd a \in (succ A (fst a) (fst p)) \times (succ B (fst a) (snd p))
using *execute* **by** auto
then have fst (snd a) \in succ A (fst a) (fst p)
snd (snd a) \in succ B (fst a) (snd p)
by auto

have fst (snd a) \in nodes A
using $\langle \text{fst } p \in \text{nodes } A \rangle \langle \text{fst } (\text{snd } a) \in \text{succ } A \text{ (fst } a) \text{ (fst } p) \rangle$
by (metis FSM.nodes.simps fst-conv snd-conv)
moreover have snd (snd a) \in nodes B
using $\langle \text{snd } p \in \text{nodes } B \rangle \langle \text{snd } (\text{snd } a) \in \text{succ } B \text{ (fst } a) \text{ (snd } p) \rangle$
by (metis FSM.nodes.simps fst-conv snd-conv)
ultimately show ?case
by (simp add: mem-Times-iff)

qed
qed

1.4 Required properties

FSMs used by the adaptive state counting algorithm are required to satisfy certain properties which are introduced in here. Most notably, the observability property (see function **observable**) implies the uniqueness of certain paths and hence allows for several stronger variations of previous results.

```

fun finite-FSM :: ('in, 'out, 'state) FSM  $\Rightarrow$  bool where
  finite-FSM M = (finite (nodes M)
     $\wedge$  finite (inputs M)
     $\wedge$  finite (outputs M))

fun observable :: ('in, 'out, 'state) FSM  $\Rightarrow$  bool where
  observable M = ( $\forall$  t .  $\forall$  s1 . ((succ M) t s1 = {})
     $\vee$  ( $\exists$  s2 . (succ M) t s1 = {s2}))

fun completely-specified :: ('in, 'out, 'state) FSM  $\Rightarrow$  bool where
  completely-specified M = ( $\forall$  s1  $\in$  nodes M .  $\forall$  x  $\in$  inputs M .
     $\exists$  y  $\in$  outputs M .
     $\exists$  s2 . s2  $\in$  (succ M) (x,y) s1)

fun well-formed :: ('in, 'out, 'state) FSM  $\Rightarrow$  bool where
  well-formed M = (finite-FSM M
     $\wedge$  ( $\forall$  s1 x y . (x  $\notin$  inputs M  $\vee$  y  $\notin$  outputs M)
       $\longrightarrow$  succ M (x,y) s1 = {})
     $\wedge$  inputs M  $\neq$  {}
     $\wedge$  outputs M  $\neq$  {})

abbreviation OFSM M  $\equiv$  well-formed M
   $\wedge$  observable M
   $\wedge$  completely-specified M

lemma OFSM-props[elim!] :
  assumes OFSM M
shows well-formed M
  observable M
  completely-specified M using assms by auto

lemma set-of-succs-finite :
  assumes well-formed M
  and q  $\in$  nodes M
shows finite (succ M io q)
proof (rule ccontr)
  assume infinite (succ M io q)
  moreover have succ M io q  $\subseteq$  nodes M
    using assms by (simp add: subsetI succ-nodes)
  ultimately have infinite (nodes M)
    using infinite-super by blast
  then show False
    using assms by auto
qed

lemma well-formed-path-io-containment :
  assumes well-formed M
  and path M p q
shows set (map fst p)  $\subseteq$  (inputs M  $\times$  outputs M)
using assms proof (induction p arbitrary: q)
case Nil
  then show ?case by auto
next
  case (Cons a p)
  have fst a  $\in$  (inputs M  $\times$  outputs M)
  proof (rule ccontr)
  assume fst a  $\notin$  inputs M  $\times$  outputs M
  then have fst (fst a)  $\notin$  inputs M  $\vee$  snd (fst a)  $\notin$  outputs M
    by (metis SigmaI prod.collapse)
  then have succ M (fst a) q = {}
    using Cons by (metis prod.collapse well-formed.elims(2))
  moreover have (snd a)  $\in$  succ M (fst a) q
    using Cons by auto
  ultimately show False
    by auto
qed

```

```

moreover have  $\text{set } (\text{map fst } p) \subseteq (\text{inputs } M \times \text{outputs } M)$ 
using Cons by blast
ultimately show ?case
by auto
qed

```

```

lemma path-input-containment :
  assumes well-formed M
  and  $\text{path } M \ p \ q$ 
shows  $\text{set } (\text{map fst } (\text{map fst } p)) \subseteq \text{inputs } M$ 
using assms proof (induction p arbitrary; q rule: rev-induct)
  case Nil
  then show ?case by auto
next
  case (snoc a p)
  have  $\text{set } (\text{map fst } (p @ [a])) \subseteq (\text{inputs } M \times \text{outputs } M)$ 
  using well-formed-path-io-containment[OF snoc.prems] by assumption
  then have  $\text{fst } a \in (\text{inputs } M \times \text{outputs } M)$ 
  by auto
  then have  $\text{fst } (\text{fst } a) \in \text{inputs } M$ 
  by auto
  moreover have  $\text{set } (\text{map fst } (\text{map fst } p)) \subseteq \text{inputs } M$ 
  using snoc.IH[OF snoc.prems(1)]
  using snoc.prems(2) by blast
  ultimately show ?case
  by simp
qed

```

```

lemma path-state-containment :
  assumes path M p q
  and  $q \in \text{nodes } M$ 
shows  $\text{set } (\text{map snd } p) \subseteq \text{nodes } M$ 
using assms by (metis FSM.nodes-states states-alt-def)

```

```

lemma language-state-inputs :
  assumes well-formed M
  and  $io \in \text{language-state } M \ q$ 
shows  $\text{set } (\text{map fst } io) \subseteq \text{inputs } M$ 
proof –
  obtain tr where path M (io || tr) q  $\text{length } tr = \text{length } io$ 
  using assms(2) by auto
  show ?thesis
  by (metis (no-types)
     $\langle \bigwedge \text{thesis. } (\bigwedge tr. \llbracket \text{path } M \ (io \parallel tr) \ q; \text{length } tr = \text{length } io \rrbracket \implies \text{thesis}) \implies \text{thesis} \rangle$ 
    assms(1) map-fst-zip path-input-containment)
qed

```

```

lemma set-of-paths-finite :
  assumes well-formed M
  and  $q1 \in \text{nodes } M$ 
shows finite  $\{ p . \text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \text{length } p \leq k \}$ 
proof –
  let ?trs =  $\{ tr . \text{set } tr \subseteq \text{nodes } M \wedge \text{length } tr \leq k \}$ 
  let ?ios =  $\{ io . \text{set } io \subseteq \text{inputs } M \times \text{outputs } M \wedge \text{length } io \leq k \}$ 
  let ?iotrs =  $\text{image } (\lambda (io, tr) . io \parallel tr) \ (?ios \times ?trs)$ 

  let ?paths =  $\{ p . \text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \text{length } p \leq k \}$ 

  have finite  $(\text{inputs } M \times \text{outputs } M)$ 
  using assms by auto
  then have finite ?ios
  using assms by (simp add: finite-lists-length-le)

```

moreover have *finite ?trs*
 using *assms* by (*simp* add: *finite-lists-length-le*)
 ultimately have *finite ?iotrs*
 by *auto*

moreover have *?paths* \subseteq *?iotrs*

proof

fix *p* assume *p-assm* : $p \in \{ p . \text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \text{length } p \leq k \}$
 then obtain *io tr* where *p-split* : $p = io \parallel tr \wedge \text{length } io = \text{length } tr$
 using *that* by (*metis* (*no-types*) *length-map* *zip-map-fst-snd*)
 then have *io* \in *?ios*
 using *well-formed-path-io-containment*
proof –
 have *f1* : $\text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \text{length } p \leq k$
 using *p-assm* by *force*
 then have *set io* \subseteq *inputs* *M* \times *outputs* *M*
 by (*metis* (*no-types*) *assms*(1) *map-fst-zip* *p-split* *well-formed-path-io-containment*)
 then show *?thesis*
 using *f1* by (*simp* add: *p-split*)

qed

moreover have *tr* \in *?trs* using *p-split*

proof –

have *f1* : $\text{path } M \ (io \parallel tr) \ q1 \wedge \text{target } (io \parallel tr) \ q1 = q2$
 $\wedge \text{length } (io \parallel tr) \leq k$ using $\langle p \in \{ p . \text{path } M \ p \ q1$
 $\wedge \text{target } p \ q1 = q2 \wedge \text{length } p \leq k \} \rangle$ *p-split* by *force*
 then have *f2* : $\text{length } tr \leq k$ by (*simp* add: *p-split*)
 have *set tr* \subseteq *nodes* *M*
 using *f1* by (*metis* (*no-types*) *assms*(2) *length-map* *p-split* *path-state-containment*
zip-eq *zip-map-fst-snd*)
 then show *?thesis*
 using *f2* by *blast*

qed

ultimately show *p* \in *?iotrs*

using *p-split* by *auto*

qed

ultimately show *?thesis*

using *Finite-Set.finite-subset* by *blast*

qed

lemma *non-distinct-duplicate-indices* :

assumes \neg *distinct* *xs*

shows $\exists \ i1 \ i2 . i1 \neq i2 \wedge xs \ ! \ i1 = xs \ ! \ i2 \wedge i1 \leq \text{length } xs \wedge i2 \leq \text{length } xs$

using *assms* by (*meson* *distinct-conv-nth less-imp-le*)

lemma *reaching-path-without-repetition* :

assumes *well-formed* *M*

and $q2 \in \text{reachable } M \ q1$

and $q1 \in \text{nodes } M$

shows $\exists \ p . \text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \text{distinct } (q1 \ \# \ \text{states } p \ q1)$

proof –

have *shorten-nondistinct* : $\forall \ p . (\text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \neg \text{distinct } (q1 \ \# \ \text{states } p \ q1))$
 $\longrightarrow (\exists \ p' . \text{path } M \ p' \ q1 \wedge \text{target } p' \ q1 = q2 \wedge \text{length } p' < \text{length } p)$

proof

fix *p*

show $(\text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \neg \text{distinct } (q1 \ \# \ \text{states } p \ q1))$

$\longrightarrow (\exists \ p' . \text{path } M \ p' \ q1 \wedge \text{target } p' \ q1 = q2 \wedge \text{length } p' < \text{length } p)$

proof

assume *assm* : $\text{path } M \ p \ q1 \wedge \text{target } p \ q1 = q2 \wedge \neg \text{distinct } (q1 \ \# \ \text{states } p \ q1)$

then show $(\exists \ p' . \text{path } M \ p' \ q1 \wedge \text{target } p' \ q1 = q2 \wedge \text{length } p' < \text{length } p)$

proof (*cases* *q1* \in *set* (*states* *p* *q1*))

case *True*

have $\exists \ i1 . \text{target } (\text{take } i1 \ p) \ q1 = q1 \wedge i1 \leq \text{length } p \wedge i1 > 0$

proof (*rule* *ccontr*)


```

assume  $\neg (\exists i1. \text{target } (\text{take } i1 \text{ } p) \text{ } q1 = q1 \wedge i1 \leq \text{length } p \wedge i1 > 0)$ 
then have  $\neg (\exists i1. (\text{states } p \text{ } q1) ! i1 = q1 \wedge i1 \leq \text{length } (\text{states } p \text{ } q1))$ 
  by (metis True in-set-conv-nth less-eq-Suc-le scan-length scan-nth zero-less-Suc)
then have  $q1 \notin \text{set } (\text{states } p \text{ } q1)$ 
  by (meson in-set-conv-nth less-imp-le)
then show False
  using True by auto
qed
then obtain  $i1$  where  $i1\text{-def} : \text{target } (\text{take } i1 \text{ } p) \text{ } q1 = q1 \wedge i1 \leq \text{length } p \wedge i1 > 0$ 
  by auto

then have  $\text{path } M \text{ } (\text{take } i1 \text{ } p) \text{ } q1$ 
  using assm by (metis FSM.path-append-elim append-take-drop-id)
moreover have  $\text{path } M \text{ } (\text{drop } i1 \text{ } p) \text{ } q1$ 
  using  $i1\text{-def}$  by (metis FSM.path-append-elim append-take-drop-id assm)
ultimately have  $\text{path } M \text{ } (\text{drop } i1 \text{ } p) \text{ } q1 \wedge (\text{target } (\text{drop } i1 \text{ } p) \text{ } q1 = q2)$ 
  using  $i1\text{-def}$  by (metis (no-types) append-take-drop-id assm fold-append o-apply)

moreover have  $\text{length } (\text{drop } i1 \text{ } p) < \text{length } p$ 
  using  $i1\text{-def}$  by auto
ultimately show ?thesis
  using assms by blast

next
case False
then have  $\text{assm}' : \text{path } M \text{ } p \text{ } q1 \wedge \text{target } p \text{ } q1 = q2 \wedge \neg \text{distinct } (\text{states } p \text{ } q1)$ 
  using assm by auto

have  $\exists i1 \text{ } i2. i1 \neq i2 \wedge \text{target } (\text{take } i1 \text{ } p) \text{ } q1 = \text{target } (\text{take } i2 \text{ } p) \text{ } q1$ 
   $\wedge i1 \leq \text{length } p \wedge i2 \leq \text{length } p$ 
proof (rule ccontr)
  assume  $\neg (\exists i1 \text{ } i2. i1 \neq i2 \wedge \text{target } (\text{take } i1 \text{ } p) \text{ } q1 = \text{target } (\text{take } i2 \text{ } p) \text{ } q1$ 
     $\wedge i1 \leq \text{length } p \wedge i2 \leq \text{length } p)$ 
  then have  $\neg (\exists i1 \text{ } i2. i1 \neq i2 \wedge (\text{states } p \text{ } q1) ! i1 = (\text{states } p \text{ } q1) ! i2$ 
     $\wedge i1 \leq \text{length } (\text{states } p \text{ } q1) \wedge i2 \leq \text{length } (\text{states } p \text{ } q1))$ 
  by (metis (no-types, lifting) Suc-leI assm' distinct-conv-nth nat.inject scan-length scan-nth)

  then have  $\text{distinct } (\text{states } p \text{ } q1)$ 
  using non-distinct-duplicate-indices by blast
then show False
  using  $\text{assm}'$  by auto
qed
then obtain  $i1 \text{ } i2$  where  $i\text{-def} : i1 < i2 \wedge \text{target } (\text{take } i1 \text{ } p) \text{ } q1 = \text{target } (\text{take } i2 \text{ } p) \text{ } q1$ 
   $\wedge i1 \leq \text{length } p \wedge i2 \leq \text{length } p$ 
  by (metis nat-neq-iff)

then have  $\text{path } M \text{ } (\text{take } i1 \text{ } p) \text{ } q1$ 
  using assm by (metis FSM.path-append-elim append-take-drop-id)
moreover have  $\text{path } M \text{ } (\text{drop } i2 \text{ } p) \text{ } (\text{target } (\text{take } i2 \text{ } p) \text{ } q1)$ 
  by (metis FSM.path-append-elim append-take-drop-id assm)
ultimately have  $\text{path } M \text{ } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) \text{ } q1$ 
   $\wedge (\text{target } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) \text{ } q1 = q2)$ 
  using  $i\text{-def}$  assm
  by (metis FSM.path-append append-take-drop-id fold-append o-apply)

moreover have  $\text{length } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) < \text{length } p$ 
  using  $i\text{-def}$  by auto

ultimately have  $\text{path } M \text{ } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) \text{ } q1$ 
   $\wedge \text{target } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) \text{ } q1 = q2$ 
   $\wedge \text{length } ((\text{take } i1 \text{ } p) @ (\text{drop } i2 \text{ } p)) < \text{length } p$ 
  by simp

then show ?thesis
  using assms by blast

```

```

    qed
  qed
qed

obtain p where p-def : path M p q1 ∧ target p q1 = q2
  using assms by auto

let ?paths = {p' . (path M p' q1 ∧ target p' q1 = q2 ∧ length p' ≤ length p)}
let ?minPath = arg-min length (λ io . io ∈ ?paths)

have ?paths ≠ empty
  using p-def by auto
moreover have finite ?paths
  using assms by (simp add: set-of-paths-finite)
ultimately have minPath-def : ?minPath ∈ ?paths ∧ (∀ p' ∈ ?paths . length ?minPath ≤ length p')
  by (meson arg-min-nat-lemma equals0I)

moreover have distinct (q1 # states ?minPath q1)
proof (rule ccontr)
  assume ¬ distinct (q1 # states ?minPath q1)
  then have ∃ p' . path M p' q1 ∧ target p' q1 = q2 ∧ length p' < length ?minPath
    using shorten-nondistinct minPath-def by blast
  then show False
    using minPath-def using arg-min-nat-le dual-order.strict-trans1 by auto
qed

ultimately show ?thesis by auto
qed

```

```

lemma observable-path-unique[simp] :
  assumes io ∈ LS M q
  and observable M
  and path M (io || tr1) q length io = length tr1
  and path M (io || tr2) q length io = length tr2
shows tr1 = tr2
proof (rule ccontr)
  assume tr-assm : tr1 ≠ tr2
  then have state-diff : (states (io || tr1) q) ≠ (states (io || tr2) q)
    by (metis assms(4) assms(6) map-snd-zip states-alt-def)
  show False
  using assms tr-assm proof (induction io arbitrary: q tr1 tr2)
    case Nil
    then show ?case using Nil
      by simp
  next
    case (Cons io-hd io-tl)
    then obtain tr1-hd tr1-tl tr2-hd tr2-tl where tr-split : tr1 = tr1-hd # tr1-tl
      ∧ tr2 = tr2-hd # tr2-tl
      by (metis length-0-conv neq-Nil-conv)

    have p1: path M ([io-hd] || [tr1-hd]) q
      using Cons.prem1 tr-split by auto
    have p2: path M ([io-hd] || [tr2-hd]) q
      using Cons.prem1 tr-split by auto
    have tr-hd-eq : tr1-hd = tr2-hd
      using Cons.prem1 unfolding observable.simps
    proof -
      assume ∀ t s1. succ M t s1 = {} ∨ (∃ s2. succ M t s1 = {s2})
      then show ?thesis
        by (metis (no-types) p1 p2 FSM.path-cons-elim empty-iff prod.sel(1) prod.sel(2) singletonD
          zip-Cons-Cons)
    qed
  qed

```

```

then show ?thesis
  using Cons.IH Cons.prem(3) Cons.prem(4) Cons.prem(5) Cons.prem(6) Cons.prem(7) assms(2)
  tr-split by auto
qed
qed

```

```

lemma observable-path-unique-ex[elim] :
  assumes observable M
  and io ∈ LS M q
obtains tr
where { t . path M (io || t) q ∧ length io = length t } = { tr }
proof –
  obtain tr where tr-def : path M (io || tr) q length io = length tr
  using assms by auto
  then have { t . path M (io || t) q ∧ length io = length t } ≠ {}
  by blast
  moreover have ∀ t ∈ { t . path M (io || t) q ∧ length io = length t } . t = tr
  using assms tr-def by auto
  ultimately show ?thesis
  using that by auto
qed

```

```

lemma well-formed-product[simp] :
  assumes well-formed M1
  and well-formed M2
shows well-formed (product M2 M1) (is well-formed ?PM)
unfolding well-formed.simps proof
  have finite (nodes M1) finite (nodes M2)
  using assms by auto
  then have finite (nodes M2 × nodes M1)
  by simp

  moreover have nodes ?PM ⊆ nodes M2 × nodes M1
  using product-nodes assms by blast
  ultimately show finite-FSM ?PM
  using infinite-subset assms by auto
next
  have inputs ?PM = inputs M2 ∪ inputs M1
  outputs ?PM = outputs M2 ∪ outputs M1
  by auto
  then show (∀ s1 x y. x ∉ inputs ?PM ∨ y ∉ outputs ?PM ⟶ succ ?PM (x, y) s1 = {})
  ∧ inputs ?PM ≠ {} ∧ outputs ?PM ≠ {}
  using assms by auto
qed

```

1.5 States reached by a given IO-sequence

Function `io_targets` collects all states of an FSM reached from a given state by a given IO-sequence. Notably, for any observable FSM, this set contains at most one state.

```

fun io-targets :: ('in, 'out, 'state) FSM ⇒ 'state ⇒ ('in × 'out) list ⇒ 'state set where
  io-targets M q io = { target (io || tr) q | tr . path M (io || tr) q ∧ length io = length tr }

```

```

lemma io-target-implies-L :
  assumes q ∈ io-targets M (initial M) io
  shows io ∈ L M
proof –
  obtain tr where path M (io || tr) (initial M)
  length tr = length io
  target (io || tr) (initial M) = q
  using assms by auto
  then show ?thesis by auto
qed

```

```

lemma io-target-from-path :
  assumes path M (w || tr) q
  and     length w = length tr
shows target (w || tr) q ∈ io-targets M q w
  using assms by auto

lemma io-targets-observable-singleton-ex :
  assumes observable M
  and     io ∈ LS M q1
shows ∃ q2 . io-targets M q1 io = { q2 }
proof -
  obtain tr where tr-def : { t . path M (io || t) q1 ∧ length io = length t } = { tr }
  using assms observable-path-unique-ex by (metis (mono-tags, lifting))
  then have io-targets M q1 io = { target (io || tr) q1 }
    by fastforce
  then show ?thesis
    by blast
qed

lemma io-targets-observable-singleton-ob :
  assumes observable M
  and     io ∈ LS M q1
obtains q2
  where io-targets M q1 io = { q2 }
proof -
  obtain tr where tr-def : { t . path M (io || t) q1 ∧ length io = length t } = { tr }
  using assms observable-path-unique-ex by (metis (mono-tags, lifting))
  then have io-targets M q1 io = { target (io || tr) q1 }
    by fastforce
  then show ?thesis using that by blast
qed

lemma io-targets-elim[elim] :
  assumes p ∈ io-targets M q io
obtains tr
where target (io || tr) q = p ∧ path M (io || tr) q ∧ length io = length tr
  using assms unfolding io-targets.simps by force

lemma io-targets-reachable :
  assumes q2 ∈ io-targets M q1 io
  shows q2 ∈ reachable M q1
  using assms unfolding io-targets.simps by blast

lemma io-targets-nodes :
  assumes q2 ∈ io-targets M q1 io
  and     q1 ∈ nodes M
shows q2 ∈ nodes M
  using assms by auto

lemma observable-io-targets-split :
  assumes observable M
  and io-targets M q1 (vs @ xs) = { q3 }
  and io-targets M q1 vs = { q2 }
shows io-targets M q2 xs = { q3 }
proof -
  have vs @ xs ∈ LS M q1
  using assms(2) by force
  then obtain trV trX where tr-def :
    path M (vs || trV) q1 length vs = length trV
    path M (xs || trX) (target (vs || trV) q1) length xs = length trX
  using language-state-split[of vs xs M q1] by auto
  then have tgt-V : target (vs || trV) q1 = q2
    using assms(3) by auto
  then have path-X : path M (xs || trX) q2 ∧ length xs = length trX

```

```

using tr-def by auto

have tgt-all : target (vs @ xs || trV @ trX) q1 = q3
proof -
  have f1:  $\exists cs. q3 = \text{target } (vs @ xs || cs) q1$ 
     $\wedge \text{path } M (vs @ xs || cs) q1 \wedge \text{length } (vs @ xs) = \text{length } cs$ 
    using assms(2) by auto
  have length (vs @ xs) = length trV + length trX
    by (simp add: tr-def(2) tr-def(4))
  then have length (vs @ xs) = length (trV @ trX)
    by simp
  then show ?thesis
    using f1 by (metis FSM.path-append  $\langle vs @ xs \in LS \ M \ q1 \rangle$  assms(1) observable-path-unique
      tr-def(1) tr-def(2) tr-def(3) zip-append)
qed
then have target ((vs || trV) @ (xs || trX)) q1 = q3
  using tr-def by simp
then have target (xs || trX) q2 = q3
  using tgt-V by auto
then have q3  $\in$  io-targets M q2 xs
  using path-X by auto
then show ?thesis
  by (metis (no-types)  $\langle \text{observable } M \rangle$  path-X insert-absorb io-targets-observable-singleton-ex
    language-state singleton-insert-inj-eq)
qed

```

lemma observable-io-target-unique-target :

```

assumes observable M
and io-targets M q1 io = {q2}
and path M (io || tr) q1
and length io = length tr
shows target (io || tr) q1 = q2
using assms by auto

```

lemma target-in-states :

```

assumes length io = length tr
and length io > 0
shows last (states (io || tr) q) = target (io || tr) q
proof -
  have 0 < length tr
    using assms(1) assms(2) by presburger
  then show ?thesis
    by (simp add: FSM.target-alt-def assms(1) states-alt-def)
qed

```

lemma target-alt-def :

```

assumes length io = length tr
shows length io = 0  $\implies$  target (io || tr) q = q
      length io > 0  $\implies$  target (io || tr) q = last tr
proof -
  show length io = 0  $\implies$  target (io || tr) q = q by simp
  show length io > 0  $\implies$  target (io || tr) q = last tr
    by (metis assms last-ConsR length-greater-0-conv map-snd-zip scan-last states-alt-def)
qed

```

lemma obs-target-is-io-targets :

```

assumes observable M
and path M (io || tr) q
and length io = length tr
shows io-targets M q io = {target (io || tr) q}
  by (metis assms(1) assms(2) io-targets-observable-singleton-ex language-state
    observable-io-target-unique-target)

```

```

lemma io-target-target :
  assumes io-targets M q1 io = {q2}
  and    path M (io || tr) q1
  and    length io = length tr
shows target (io || tr) q1 = q2
proof -
  have target (io || tr) q1 ∈ io-targets M q1 io using assms(2) assms(3) by auto
  then show ?thesis using assms(1) by blast
qed

```

```

lemma index-last-take :
  assumes i < length xs
  shows xs ! i = last (take (Suc i) xs)
  by (simp add: assms take-Suc-conv-app-nth)

```

```

lemma path-last-io-target :
  assumes path M (xs || tr) q
  and    length xs = length tr
  and    length xs > 0
shows last tr ∈ io-targets M q xs
proof -
  have last tr = target (xs || tr) q
  by (metis assms(2) assms(3) map-snd-zip states-alt-def target-in-states)
  then show ?thesis using assms(1) assms(2) by auto
qed

```

```

lemma path-prefix-io-targets :
  assumes path M (xs || tr) q
  and    length xs = length tr
  and    length xs > 0
shows last (take (Suc i) tr) ∈ io-targets M q (take (Suc i) xs)
proof -
  have path M (take (Suc i) xs || take (Suc i) tr) q
  by (metis (no-types) FSM.path-append-elim append-take-drop-id assms(1) take-zip)
  then show ?thesis
  using assms(2) assms(3) path-last-io-target by fastforce
qed

```

```

lemma states-index-io-target :
  assumes i < length xs
  and    path M (xs || tr) q
  and    length xs = length tr
  and    length xs > 0
shows (states (xs || tr) q) ! i ∈ io-targets M q (take (Suc i) xs)
proof -
  have (states (xs || tr) q) ! i = last (take (Suc i) (states (xs || tr) q))
  by (metis assms(1) assms(3) map-snd-zip states-alt-def index-last-take)
  then have (states (xs || tr) q) ! i = last (states (take (Suc i) xs || take (Suc i) tr) q)
  by (simp add: take-zip)
  then have (states (xs || tr) q) ! i = last (take (Suc i) tr)
  by (simp add: assms(3) states-alt-def)
  moreover have last (take (Suc i) tr) ∈ io-targets M q (take (Suc i) xs)
  by (meson assms(2) assms(3) assms(4) path-prefix-io-targets)
  ultimately show ?thesis
  by simp
qed

```

```

lemma observable-io-targets-append :
  assumes observable M
  and    io-targets M q1 vs = {q2}
  and    io-targets M q2 xs = {q3}

```

shows $io\text{-targets } M \ q1 \ (vs@xs) = \{q3\}$
proof –
obtain trV **where** $path \ M \ (vs \parallel trV) \ q1 \wedge length \ trV = length \ vs \wedge target \ (vs \parallel trV) \ q1 = q2$
by $(metis \ assms(2) \ io\text{-targets-elim} \ singletonI)$
moreover obtain trX **where** $path \ M \ (xs \parallel trX) \ q2 \wedge length \ trX = length \ xs$
 $\wedge target \ (xs \parallel trX) \ q2 = q3$
by $(metis \ assms(3) \ io\text{-targets-elim} \ singletonI)$
ultimately have $path \ M \ (vs @ xs \parallel trV @ trX) \ q1 \wedge length \ (trV @ trX) = length \ (vs @ xs)$
 $\wedge target \ (vs @ xs \parallel trV @ trX) \ q1 = q3$
by $auto$
then show $?thesis$
by $(metis \ assms(1) \ obs\text{-target-is-io-targets})$
qed

lemma $io\text{-path-states-prefix} :$
assumes $observable \ M$
and $path \ M \ (io1 \parallel tr1) \ q$
and $length \ tr1 = length \ io1$
and $path \ M \ (io2 \parallel tr2) \ q$
and $length \ tr2 = length \ io2$
and $prefix \ io1 \ io2$
shows $tr1 = take \ (length \ tr1) \ tr2$
proof –
let $?tr1' = take \ (length \ tr1) \ tr2$
let $?io1' = take \ (length \ tr1) \ io2$
have $path \ M \ (?io1' \parallel ?tr1') \ q$
by $(metis \ FSM.\text{path-append-elim} \ append\text{-take-drop-id} \ assms(4) \ take\text{-zip})$
have $length \ ?tr1' = length \ ?io1'$
using $assms \ (5) \text{ by } auto$

have $?io1' = io1$
proof –
have $\forall ps \ psa. \neg prefix \ (ps::('a \times 'b) \ list) \ psa \vee length \ ps \leq length \ psa$
using $prefix\text{-length-le} \text{ by } blast$
then have $length \ (take \ (length \ tr1) \ io2) = length \ io1$
using $assms(3) \ assms(6) \ min.\text{absorb2} \text{ by } auto$
then show $?thesis$
by $(metis \ assms(6) \ min.\text{cobounded2} \ min\text{-def-raw} \ prefix\text{-length-prefix} \ prefix\text{-order.dual-order}.\text{antisym} \ take\text{-is-prefix})$
qed

show $tr1 = ?tr1'$
by $(metis \ \langle length \ (take \ (length \ tr1) \ tr2) = length \ (take \ (length \ tr1) \ io2) \rangle$
 $\langle path \ M \ (take \ (length \ tr1) \ io2 \parallel take \ (length \ tr1) \ tr2) \ q \rangle \langle take \ (length \ tr1) \ io2 = io1 \rangle$
 $assms(1) \ assms(2) \ assms(3) \ language\text{-state} \ observable\text{-path-unique})$
qed

lemma $observable\text{-io-targets-suffix} :$
assumes $observable \ M$
and $io\text{-targets } M \ q1 \ vs = \{q2\}$
and $io\text{-targets } M \ q1 \ (vs@xs) = \{q3\}$
shows $io\text{-targets } M \ q2 \ xs = \{q3\}$
proof –
have $prefix \ vs \ (vs@xs)$
by $auto$

obtain trV **where** $path \ M \ (vs \parallel trV) \ q1 \wedge length \ trV = length \ vs \wedge target \ (vs \parallel trV) \ q1 = q2$
by $(metis \ assms(2) \ io\text{-targets-elim} \ singletonI)$
moreover obtain $trVX$ **where** $path \ M \ (vs@xs \parallel trVX) \ q1$
 $\wedge length \ trVX = length \ (vs@xs) \wedge target \ (vs@xs \parallel trVX) \ q1 = q3$
by $(metis \ assms(3) \ io\text{-targets-elim} \ singletonI)$

ultimately have $trV = take (length trV) trVX$
 using $io\text{-}path\text{-}states\text{-}prefix[OF\ assms(1) \text{ --- } \langle prefix\ vs\ (vs@xs) \rangle, of\ trV\ q1\ trVX]$ by auto
 show $?thesis$
 by (meson $assms(1) \ assms(2) \ assms(3) \ observable\text{-}io\text{-}targets\text{-}split$)
 qed

lemma $observable\text{-}io\text{-}target\text{-}is\text{-}singleton[simp]$:
 assumes $observable\ M$
 and $p \in io\text{-}targets\ M\ q\ io$
 shows $io\text{-}targets\ M\ q\ io = \{p\}$
 proof -
 have $io \in LS\ M\ q$
 using $assms(2)$ by auto
 then obtain p' where $io\text{-}targets\ M\ q\ io = \{p'\}$
 using $assms(1)$ by (meson $io\text{-}targets\text{-}observable\text{-}singleton\text{-}ex$)
 then show $?thesis$
 using $assms(2)$ by simp
 qed

lemma $observable\text{-}path\text{-}prefix$:
 assumes $observable\ M$
 and $path\ M\ (io \parallel tr)\ q$
 and $length\ io = length\ tr$
 and $path\ M\ (ioP \parallel trP)\ q$
 and $length\ ioP = length\ trP$
 and $prefix\ ioP\ io$
 shows $trP = take (length\ ioP) tr$
 proof -
 have $ioP\text{-}def : ioP = take (length\ ioP) io$
 using $assms(6)$ by (metis $append\text{-}eq\text{-}conv\text{-}conj\ prefixE$)
 then have $take (length\ ioP) (io \parallel tr) = take (length\ ioP) io \parallel take (length\ ioP) tr$
 using $take\text{-}zip$ by blast
 moreover have $path\ M\ (take (length\ ioP) (io \parallel tr))\ q$
 using $assms$ by (metis $FSM.path\text{-}append\text{-}elim\ append\text{-}take\text{-}drop\text{-}id$)
 ultimately have $path\ M\ (take (length\ ioP) io \parallel take (length\ ioP) tr)\ q$
 $\wedge length (take (length\ ioP) io) = length (take (length\ ioP) tr)$
 using $assms(3)$ by auto
 then have $path\ M\ (ioP \parallel take (length\ ioP) tr)\ q \wedge length\ ioP = length (take (length\ ioP) tr)$
 using $assms(3)$ using $ioP\text{-}def$ by auto
 then show $?thesis$
 by (meson $assms(1) \ assms(4) \ assms(5) \ language\text{-}state\ observable\text{-}path\text{-}unique$)
 qed

lemma $io\text{-}targets\text{-}succ$:
 assumes $q2 \in io\text{-}targets\ M\ q1\ [xy]$
 shows $q2 \in succ\ M\ xy\ q1$
 proof -
 obtain tr where $tr\text{-}def : target ([xy] \parallel tr)\ q1 = q2$
 $path\ M\ ([xy] \parallel tr)\ q1$
 $length\ [xy] = length\ tr$
 using $assms$ by auto

have $length\ tr = Suc\ 0$
 using $\langle length\ [xy] = length\ tr \rangle$ by auto
 then obtain $q2'$ where $tr = [q2']$
 by (metis $Suc\text{-}length\text{-}conv\ length\text{-}0\text{-}conv$)
 then have $target ([xy] \parallel tr)\ q1 = q2'$
 by auto
 then have $q2' = q2$
 using $\langle target ([xy] \parallel tr)\ q1 = q2 \rangle$ by simp
 then have $path\ M\ ([xy] \parallel [q2])\ q1$
 using $tr\text{-}def(2) \ \langle tr = [q2'] \rangle$ by auto
 then have $path\ M\ [(xy, q2)]\ q1$


```

by auto

show ?thesis
proof (cases rule: FSM.path.cases[of M [(xy,q2)] q1])
  case nil
  show ?case
    using ⟨path M [(xy,q2)] q1⟩ by simp
next
  case cons
  show snd (xy, q2) ∈ succ M (fst (xy, q2)) q1 ⟹ path M [] (snd (xy, q2))
    ⟹ q2 ∈ succ M xy q1
    by auto
qed
qed

```

1.6 D-reachability

A state of some FSM is d-reached (deterministically reached) by some input sequence if any sequence in the language of the FSM with this input sequence reaches that state. That state is then called d-reachable.

abbreviation $d\text{-reached-by } M p xs q tr ys \equiv$
 $((length\ xs = length\ ys \wedge length\ xs = length\ tr$
 $\wedge (path\ M ((xs \parallel ys) \parallel tr) p) \wedge target ((xs \parallel ys) \parallel tr) p = q)$
 $\wedge (\forall\ ys2\ tr2 . (length\ xs = length\ ys2 \wedge length\ xs = length\ tr2$
 $\wedge path\ M ((xs \parallel ys2) \parallel tr2) p \longrightarrow target ((xs \parallel ys2) \parallel tr2) p = q))$

fun $d\text{-reaches} :: ('in, 'out, 'state) FSM \Rightarrow 'state \Rightarrow 'in\ list \Rightarrow 'state \Rightarrow bool$ **where**
 $d\text{-reaches } M p xs q = (\exists\ tr\ ys . d\text{-reached-by } M p xs q tr ys)$

fun $d\text{-reachable} :: ('in, 'out, 'state) FSM \Rightarrow 'state \Rightarrow 'state\ set$ **where**
 $d\text{-reachable } M p = \{ q . (\exists\ xs . d\text{-reaches } M p xs q) \}$

lemma $d\text{-reaches-unique[elim]}$:
assumes $d\text{-reaches } M p xs q1$
and $d\text{-reaches } M p xs q2$
shows $q1 = q2$
using $assms$ **unfolding** $d\text{-reaches.simps}$ **by** $blast$

lemma $d\text{-reaches-unique-cases[simp]}$: $\{ q . d\text{-reaches } M (initial\ M) xs q \} = \{ \}$
 $\vee (\exists\ q2 . \{ q . d\text{-reaches } M (initial\ M) xs q \} = \{ q2 \})$
unfolding $d\text{-reaches.simps}$ **by** $blast$

lemma $d\text{-reaches-unique-obtain[simp]}$:
assumes $d\text{-reaches } M (initial\ M) xs q$
shows $\{ p . d\text{-reaches } M (initial\ M) xs p \} = \{ q \}$
using $assms$ **unfolding** $d\text{-reaches.simps}$ **by** $blast$

lemma $d\text{-reaches-io-target}$:
assumes $d\text{-reaches } M p xs q$
and $length\ ys = length\ xs$
shows $io\text{-targets } M p (xs \parallel ys) \subseteq \{ q \}$
proof
fix q' **assume** $q' \in io\text{-targets } M p (xs \parallel ys)$
then obtain trQ **where** $path\ M ((xs \parallel ys) \parallel trQ) p \wedge length\ (xs \parallel ys) = length\ trQ$
by $auto$
moreover obtain $trD\ ysD$ **where** $d\text{-reached-by } M p xs q trD\ ysD$ **using** $assms(1)$
by $auto$
ultimately have $target ((xs \parallel ys) \parallel trQ) p = q$
by $(simp\ add: assms(2))$
then show $q' \in \{ q \}$
using $\langle d\text{-reached-by } M p xs q trD\ ysD \rangle \langle q' \in io\text{-targets } M p (xs \parallel ys) \rangle assms(2)$ **by** $auto$
qed

lemma $d\text{-reachable-reachable} : d\text{-reachable } M p \subseteq reachable\ M p$
unfolding $d\text{-reaches.simps}$ $d\text{-reachable.simps}$ **by** $blast$

1.7 Deterministic state cover

The deterministic state cover of some FSM is a minimal set of input sequences such that every d-reachable state of the FSM is d-reached by a sequence in the set and the set contains the empty sequence (which d-reaches the initial state).

```
fun is-det-state-cover-ass :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('state  $\Rightarrow$  'in list)  $\Rightarrow$  bool where
  is-det-state-cover-ass M f = (f (initial M) = []  $\wedge$  ( $\forall$  s  $\in$  d-reachable M (initial M) .
                                     d-reaches M (initial M) (f s s)))
```

```
lemma det-state-cover-ass-dist :
  assumes is-det-state-cover-ass M f
  and s1  $\in$  d-reachable M (initial M)
  and s2  $\in$  d-reachable M (initial M)
  and s1  $\neq$  s2
shows  $\neg$ (d-reaches M (initial M) (f s2) s1)
  by (meson assms(1) assms(3) assms(4) d-reaches-unique is-det-state-cover-ass.simps)
```

```
lemma det-state-cover-ass-diff :
  assumes is-det-state-cover-ass M f
  and s1  $\in$  d-reachable M (initial M)
  and s2  $\in$  d-reachable M (initial M)
  and s1  $\neq$  s2
shows f s1  $\neq$  f s2
  by (metis assms det-state-cover-ass-dist is-det-state-cover-ass.simps)
```

```
fun is-det-state-cover :: ('in, 'out, 'state) FSM  $\Rightarrow$  'in list set  $\Rightarrow$  bool where
  is-det-state-cover M V = ( $\exists$  f . is-det-state-cover-ass M f
                                $\wedge$  V = image f (d-reachable M (initial M)))
```

```
lemma det-state-cover-d-reachable[elim] :
  assumes is-det-state-cover M V
  and v  $\in$  V
obtains q
where d-reaches M (initial M) v q
  by (metis (no-types, opaque-lifting) assms(1) assms(2) image-iff is-det-state-cover.simps
       is-det-state-cover-ass.elims(2))
```

```
lemma det-state-cover-card[simp] :
  assumes is-det-state-cover M V
  and finite (nodes M)
shows card (d-reachable M (initial M)) = card V
proof -
  obtain f where f-def : is-det-state-cover-ass M f  $\wedge$  V = image f (d-reachable M (initial M))
    using assms unfolding is-det-state-cover.simps by blast
  then have card-f : card V = card (image f (d-reachable M (initial M)))
    by simp
  have d-reachable M (initial M)  $\subseteq$  nodes M
    unfolding d-reachable.simps d-reaches.simps using d-reachable-reachable by blast
  then have dr-finite : finite (d-reachable M (initial M))
    using assms infinite-super by blast
  then have card-le : card (image f (d-reachable M (initial M)))  $\leq$  card (d-reachable M (initial M))
    using card-image-le by blast
  have card (image f (d-reachable M (initial M))) = card (d-reachable M (initial M))
    by (meson card-image det-state-cover-ass-diff f-def inj-onI)
  then show ?thesis using card-f by auto
qed
```

```
lemma det-state-cover-finite :
```

```

assumes is-det-state-cover  $M$   $V$ 
and finite (nodes  $M$ )
shows finite  $V$ 
proof –
  have d-reachable  $M$  (initial  $M$ )  $\subseteq$  nodes  $M$ 
    by auto
  show finite  $V$  using det-state-cover-card[OF assms]
    by (metis  $\langle$ d-reachable  $M$  (initial  $M$ )  $\subseteq$  nodes  $M$  $\rangle$  assms(1) assms(2) finite-imageI infinite-super
      is-det-state-cover.simps)
qed

```

```

lemma det-state-cover-initial :
  assumes is-det-state-cover  $M$   $V$ 
  shows  $\square \in V$ 
proof –
  have d-reached-by  $M$  (initial  $M$ )  $\square$  (initial  $M$ )  $\square$   $\square$ 
    by (simp add: FSM.nil)
  then have d-reaches  $M$  (initial  $M$ )  $\square$  (initial  $M$ )
    by auto

  have initial  $M \in$  d-reachable  $M$  (initial  $M$ )
    by (metis (no-types)  $\langle$ d-reaches  $M$  (initial  $M$ )  $\square$  (initial  $M$ ) $\rangle$  d-reachable.simps mem-Collect-eq)
  then show ?thesis
    by (metis (no-types, lifting) assms image-iff is-det-state-cover.elims(2)
      is-det-state-cover-ass.simps)
qed

```

```

lemma det-state-cover-empty :
  assumes is-det-state-cover  $M$   $V$ 
  shows  $\square \in V$ 
proof –
  obtain  $f$  where f-def : is-det-state-cover-ass  $M$   $f \wedge V = f$  ‘ d-reachable  $M$  (initial  $M$ )
    using assms by auto
  then have  $f$  (initial  $M$ ) =  $\square$ 
    by auto
  moreover have initial  $M \in$  d-reachable  $M$  (initial  $M$ )
  proof –
    have d-reaches  $M$  (initial  $M$ )  $\square$  (initial  $M$ )
      by auto
    then show ?thesis
      by (metis d-reachable.simps mem-Collect-eq)
  qed
  moreover have  $f$  (initial  $M$ )  $\in V$ 
    using f-def calculation by blast
  ultimately show ?thesis
    by auto
qed

```

1.8 IO reduction

An FSM is a reduction of another, if its language is a subset of the language of the latter FSM.

```

fun io-reduction :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in, 'out, 'state) FSM
   $\Rightarrow$  bool (infix  $\preceq$  200)

where
   $M1 \preceq M2 = (LS\ M1\ (initial\ M1) \subseteq LS\ M2\ (initial\ M2))$ 

```

```

lemma language-state-inclusion-of-state-reached-by-same-sequence :
  assumes  $LS\ M1\ q1 \subseteq LS\ M2\ q2$ 
  and observable  $M1$ 
  and observable  $M2$ 
  and io-targets  $M1\ q1\ io = \{ q1t \}$ 
  and io-targets  $M2\ q2\ io = \{ q2t \}$ 
shows  $LS\ M1\ q1t \subseteq LS\ M2\ q2t$ 
proof

```

```

fix  $x$  assume  $x \in LS\ M1\ q1t$ 
obtain  $q1x$  where  $io\text{-targets}\ M1\ q1t\ x = \{q1x\}$ 
  by ( $meson\ \langle x \in LS\ M1\ q1t \rangle\ assms(2)\ io\text{-targets-observable-singleton-ex}$ )
have  $io \in LS\ M1\ q1$ 
  using  $assms(4)$  by auto
have  $io @ x \in LS\ M1\ q1$ 
  using  $observable\text{-}io\text{-targets-append}[OF\ assms(2)\ \langle io\text{-targets}\ M1\ q1\ io = \{q1t\} \rangle$ 
     $\langle io\text{-targets}\ M1\ q1t\ x = \{q1x\} \rangle]$ 
  by ( $metis\ io\text{-targets-elim}\ language\text{-state}\ singletonI$ )
then have  $io @ x \in LS\ M2\ q2$ 
  using  $assms(1)$  by blast
then obtain  $q2x$  where  $io\text{-targets}\ M2\ q2\ (io @ x) = \{q2x\}$ 
  by ( $meson\ assms(3)\ io\text{-targets-observable-singleton-ex}$ )
show  $x \in LS\ M2\ q2t$ 
  using  $observable\text{-}io\text{-targets-split}[OF\ assms(3)\ \langle io\text{-targets}\ M2\ q2\ (io @ x) = \{q2x\} \rangle\ assms(5)]$ 
  by auto
qed

```

1.9 Language subsets for input sequences

The following definitions describe restrictions of languages to only those IO-sequences that exhibit a certain input sequence or whose input sequence is contained in a given set of input sequences. This allows to define the notion that some FSM is a reduction of another over a given set of input sequences, but not necessarily over the entire language of the latter FSM.

```

fun language-state-for-input ::
  ( $'in, 'out, 'state$ )  $FSM \Rightarrow 'state \Rightarrow 'in\ list \Rightarrow ('in \times 'out)\ list\ set$  where
  language-state-for-input  $M\ q\ xs = \{(xs \parallel ys) \mid ys . (length\ xs = length\ ys \wedge (xs \parallel ys) \in LS\ M\ q)\}$ 

```

```

fun language-state-for-inputs ::
  ( $'in, 'out, 'state$ )  $FSM \Rightarrow 'state \Rightarrow 'in\ list\ set \Rightarrow ('in \times 'out)\ list\ set$ 
  ( $\langle (LS_{in} - - -) \rangle [1000, 1000, 1000]$ ) where
  language-state-for-inputs  $M\ q\ ISeqs = \{(xs \parallel ys) \mid xs\ ys . (xs \in ISeqs$ 
     $\wedge length\ xs = length\ ys$ 
     $\wedge (xs \parallel ys) \in LS\ M\ q)\}$ 

```

abbreviation $LS_{in}\ M\ TS \equiv LS_{in}\ M\ (initial\ M)\ TS$

abbreviation $io\text{-reduction-on}\ M1\ TS\ M2 \equiv (LS_{in}\ M1\ TS \subseteq LS_{in}\ M2\ TS)$

notation

$io\text{-reduction-on}\ (\langle (- \preceq [-] -) \rangle [1000, 0, 0]\ 61)$

notation (*latex output*)

$io\text{-reduction-on}\ (\langle (- \preceq -) \rangle [1000, 0, 0]\ 61)$

lemma *language-state-for-input-alt-def* :

$language\text{-state-for-input}\ M\ q\ xs = LS_{in}\ M\ q\ \{xs\}$

unfolding *language-state-for-input.simps* *language-state-for-inputs.simps* **by** *blast*

lemma *language-state-for-inputs-alt-def* :

$LS_{in}\ M\ q\ ISeqs = \bigcup (image\ (language\text{-state-for-input}\ M\ q)\ ISeqs)$

by *auto*

lemma *language-state-for-inputs-in-language-state* :

$LS_{in}\ M\ q\ T \subseteq language\text{-state}\ M\ q$

unfolding *language-state-for-inputs.simps* *language-state-def*

by *blast*

lemma *language-state-for-inputs-map-fst* :

assumes $io \in language\text{-state}\ M\ q$

and $map\ fst\ io \in T$

shows $io \in LS_{in}\ M\ q\ T$

proof –

let $?xs = map\ fst\ io$

let $?ys = map\ snd\ io$

have $?xs \in T \wedge length\ ?xs = length\ ?ys \wedge ?xs \parallel ?ys \in language\text{-state}\ M\ q$

```

    using assms(2,1) by auto
  then have ?xs || ?ys ∈ LSin M q T
    unfolding language-state-for-inputs.simps by blast
  then show ?thesis
    by simp
qed

lemma language-state-for-inputs-nonempty :
  assumes set xs ⊆ inputs M
  and     completely-specified M
  and     q ∈ nodes M
shows LSin M q {xs} ≠ {}
using assms proof (induction xs arbitrary: q)
  case Nil
  then show ?case by auto
next
  case (Cons x xs)
  then have x ∈ inputs M
    by simp
  then obtain y q' where x-step : q' ∈ succ M (x,y) q
    using Cons(3,4) unfolding completely-specified.simps by blast
  then have path M ([x,y]) || [q'] q ∧ length [q] = length [(x,y)]
    target ([x,y]) || [q'] q = q'
    by auto
  then have q' ∈ nodes M
    using Cons(4) by (metis FSM.nodes-target)
  then have LSin M q' {xs} ≠ {}
    using Cons.premis Cons.IH by auto
  then obtain ys where length xs = length ys ∧ (xs || ys) ∈ LS M q'
    by auto
  then obtain tr where path M ((xs || ys) || tr) q' ∧ length tr = length (xs || ys)
    by auto
  then have path M ([x,y]) @ (xs || ys) || [q'] @ tr q
    ∧ length ([q'] @ tr) = length ([x,y]) @ (xs || ys)
    by (simp add: FSM.path.intros(2) x-step)
  then have path M ((x#xs || y#ys) || [q'] @ tr) q ∧ length ([q'] @ tr) = length (x#xs || y#ys)
    by auto
  then have (x#xs || y#ys) ∈ LS M q
    by (metis language-state)
  moreover have length (x#xs) = length (y#ys)
    by (simp add: <length xs = length ys ∧ xs || ys ∈ LS M q'>)
  ultimately have (x#xs || y#ys) ∈ LSin M q {x # xs}
    unfolding language-state-for-inputs.simps by blast
  then show ?case by blast
qed

```

```

lemma language-state-for-inputs-map-fst-contained :
  assumes vs ∈ LSin M q V
shows map fst vs ∈ V
proof -
  have (map fst vs) || (map snd vs) = vs
    by auto
  then have (map fst vs) || (map snd vs) ∈ LSin M q V
    using assms by auto
  then show ?thesis by auto
qed

```

```

lemma language-state-for-inputs-empty :
  assumes [] ∈ V
  shows [] ∈ LSin M q V
proof -
  have [] ∈ language-state-for-input M q [] by auto
  then show ?thesis using language-state-for-inputs-alt-def by (metis UN-I assms)
qed

```

```

lemma language-state-for-input-empty[simp] :

```

language-state-for-input $M\ q\ [] = \{\}\}$
 by auto

lemma language-state-for-input-take :

assumes $io \in \text{language-state-for-input } M\ q\ xs$

shows $\text{take } n\ io \in \text{language-state-for-input } M\ q\ (\text{take } n\ xs)$

proof –

obtain ys where $io = xs \parallel ys$ length $xs = \text{length } ys$ $xs \parallel ys \in \text{language-state } M\ q$

using *assms* by auto

then obtain p where $\text{length } p = \text{length } xs$ $\text{path } M\ ((xs \parallel ys) \parallel p)\ q$

by auto

then have $\text{path } M\ (\text{take } n\ ((xs \parallel ys) \parallel p))\ q$

by (*metis* *FSM.path-append-elim append-take-drop-id*)

then have $\text{take } n\ (xs \parallel ys) \in \text{language-state } M\ q$

by (*simp* *add: <length p = length xs> <length xs = length ys> language-state take-zip*)

then have $(\text{take } n\ xs) \parallel (\text{take } n\ ys) \in \text{language-state } M\ q$

by (*simp* *add: take-zip*)

have $\text{take } n\ io = (\text{take } n\ xs) \parallel (\text{take } n\ ys)$

using $\langle io = xs \parallel ys \rangle$ *take-zip* by *blast*

moreover have $\text{length } (\text{take } n\ xs) = \text{length } (\text{take } n\ ys)$

by (*simp* *add: <length xs = length ys>*)

ultimately show ?thesis

using $\langle (\text{take } n\ xs) \parallel (\text{take } n\ ys) \in \text{language-state } M\ q \rangle$

unfolding *language-state-for-input.simps* by *blast*

qed

lemma language-state-for-inputs-prefix :

assumes $vs@xs \in L_{in}\ M1\ \{vs'@xs'\}$

and $\text{length } vs = \text{length } vs'$

shows $vs \in L_{in}\ M1\ \{vs'\}$

proof –

have $vs@xs \in L\ M1$

using *assms*(1) by auto

then have $vs \in L\ M1$

by (*meson* *language-state-prefix*)

then have $vs \in L_{in}\ M1\ \{\text{map } fst\ vs\}$

by (*meson* *insertI1 language-state-for-inputs-map-fst*)

moreover have $vs' = \text{map } fst\ vs$

by (*metis* *append-eq-append-conv assms*(1) *assms*(2) *language-state-for-inputs-map-fst-contained length-map map-append singletonD*)

ultimately show ?thesis

by *blast*

qed

lemma language-state-for-inputs-union :

shows $LS_{in}\ M\ q\ T1 \cup LS_{in}\ M\ q\ T2 = LS_{in}\ M\ q\ (T1 \cup T2)$

unfolding *language-state-for-inputs.simps* by *blast*

lemma io-reduction-on-subset :

assumes *io-reduction-on* $M1\ T\ M2$

and $T' \subseteq T$

shows *io-reduction-on* $M1\ T'\ M2$

proof (rule *ccontr*)

assume $\neg \text{io-reduction-on } M1\ T'\ M2$

then obtain xs' where $xs' \in T' \cap L_{in}\ M1\ \{xs'\} \subseteq L_{in}\ M2\ \{xs'\}$

proof –

have $f1: \forall ps\ P\ Pa. (ps::('a \times 'b)\ \text{list}) \notin P \vee \neg P \subseteq Pa \vee ps \in Pa$

by *blast*

obtain $pps :: ('a \times 'b)\ \text{list set} \Rightarrow ('a \times 'b)\ \text{list set} \Rightarrow ('a \times 'b)\ \text{list}$ where

$\forall x0\ x1. (\exists v2. v2 \in x1 \wedge v2 \notin x0) = (pps\ x0\ x1 \in x1 \wedge pps\ x0\ x1 \notin x0)$

by *moura*

then have $f2: \forall P\ Pa. pps\ Pa\ P \in P \wedge pps\ Pa\ P \notin Pa \vee P \subseteq Pa$

by (*meson* *subsetI*)

have $f3: \forall ps\ f\ c\ A. (ps::('a \times 'b)\ \text{list}) \notin LS_{in}\ f\ (c::'c)\ A \vee \text{map } fst\ ps \in A$

```

    by (meson language-state-for-inputs-map-fst-contained)
  then have  $L_{in} M1 T' \subseteq L_{in} M1 T$ 
    using f2 by (meson assms(2) language-state-for-inputs-in-language-state
      language-state-for-inputs-map-fst set-rev-mp)
  then show ?thesis
    using f3 f2 f1 by (meson < $\neg$  io-reduction-on  $M1 T' M2$ > assms(1)
      language-state-for-inputs-in-language-state
      language-state-for-inputs-map-fst)
qed
then have  $xs' \in T$ 
  using assms(2) by blast

have  $\neg$  io-reduction-on  $M1 T M2$ 
proof -
  have f1:  $\forall as. as \notin T' \vee as \in T$ 
    using assms(2) by auto
  obtain pps ::  $('a \times 'b) list set \Rightarrow ('a \times 'b) list set \Rightarrow ('a \times 'b) list$  where
     $\forall x0 x1. (\exists v2. v2 \in x1 \wedge v2 \notin x0) = (pps x0 x1 \in x1 \wedge pps x0 x1 \notin x0)$ 
    by moura
  then have  $\forall P Pa. (\neg P \subseteq Pa \vee (\forall ps. ps \notin P \vee ps \in Pa))$ 
     $\wedge (P \subseteq Pa \vee pps Pa P \in P \wedge pps Pa P \notin Pa)$ 
    by blast
  then show ?thesis
    using f1 by (meson < $\neg$  io-reduction-on  $M1 T' M2$ > language-state-for-inputs-in-language-state
      language-state-for-inputs-map-fst language-state-for-inputs-map-fst-contained)
qed

then show False
  using assms(1) by auto
qed

```

1.10 Sequences to failures

A sequence to a failure for FSMs $M1$ and $M2$ is a sequence such that any proper prefix of it is contained in the languages of both $M1$ and $M2$, while the sequence itself is contained only in the language of A .

That is, if a sequence to a failure for $M1$ and $M2$ exists, then $M1$ is not a reduction of $M2$.

```

fun sequence-to-failure ::
   $('in, 'out, 'state) FSM \Rightarrow ('in, 'out, 'state) FSM \Rightarrow ('in \times 'out) list \Rightarrow bool$  where
  sequence-to-failure  $M1 M2 xs =$ 
    (butlast xs)  $\in$  (language-state  $M2$  (initial  $M2$ )  $\cap$  language-state  $M1$  (initial  $M1$ ))
     $\wedge xs \in$  (language-state  $M1$  (initial  $M1$ )  $-$  language-state  $M2$  (initial  $M2$ )))

```

lemma sequence-to-failure-ob :

```

  assumes  $\neg M1 \preceq M2$ 
  and well-formed  $M1$ 
  and well-formed  $M2$ 
  obtains io
  where sequence-to-failure  $M1 M2 io$ 
  proof -
    let ?diff = {  $io . io \in$  language-state  $M1$  (initial  $M1$ )  $\wedge io \notin$  language-state  $M2$  (initial  $M2$ ) }
    have ?diff  $\neq$  empty
      using assms by auto
    moreover obtain io where io-def[simp] :  $io = \arg\text{-min length } (\lambda io . io \in ?diff)$ 
      using assms by auto
    ultimately have io-diff :  $io \in ?diff$ 
      using assms by (meson all-not-in-conv arg-min-natI)

    then have  $io \neq []$ 
      using assms io-def language-state by auto
    then obtain io-init io-last where io-split[simp] :  $io = io\text{-init} @ [io\text{-last}]$ 
      by (metis append-butlast-last-id)

```

```

  have io-init-inclusion :  $io\text{-init} \in$  language-state  $M1$  (initial  $M1$ )
     $\wedge io\text{-init} \in$  language-state  $M2$  (initial  $M2$ )

```

```

proof (rule ccontr)
  assume assm :  $\neg (io\text{-}init \in \text{language-state } M1 \text{ (initial } M1))$ 
     $\wedge io\text{-}init \in \text{language-state } M2 \text{ (initial } M2))$ 

  have io-init @ [io-last]  $\in \text{language-state } M1 \text{ (initial } M1)$ 
    using io-diff io-split by auto
  then have io-init  $\in \text{language-state } M1 \text{ (initial } M1)$ 
    by (meson language-state language-state-split)
  moreover have io-init  $\notin \text{language-state } M2 \text{ (initial } M2)$ 
    using assm calculation by auto
  ultimately have io-init  $\in ?diff$ 
    by auto
  moreover have length io-init < length io
    using io-split by auto
  ultimately have io  $\neq \text{arg-min length } (\lambda io . io \in ?diff)$ 
  proof –
    have  $\exists ps. ps \in \{ps \in \text{language-state } M1 \text{ (initial } M1). \\ ps \notin \text{language-state } M2 \text{ (initial } M2)\} \wedge \neg \text{length } io \leq \text{length } ps$ 
      using  $\langle io\text{-}init \in \{io \in \text{language-state } M1 \text{ (initial } M1). io \notin \text{language-state } M2 \text{ (initial } M2)\} \rangle$ 
         $\langle \text{length } io\text{-}init < \text{length } io \rangle \text{ linorder-not-less}$ 
      by blast
    then show ?thesis
      by (meson arg-min-nat-le)
    qed
  then show False using io-def by simp
qed

then have sequence-to-failure M1 M2 io
  using io-split io-diff by auto
then show ?thesis
  using that by auto
qed

lemma sequence-to-failure-succ :
  assumes sequence-to-failure M1 M2 io
  shows  $\forall q \in io\text{-}targets \ M2 \text{ (initial } M2) \text{ (butlast } io) . succ \ M2 \text{ (last } io) \ q = \{\}$ 
proof
  have io  $\neq []$ 
    using assms by auto
  fix q assume q  $\in io\text{-}targets \ M2 \text{ (initial } M2) \text{ (butlast } io)$ 
  then obtain tr where q = target (butlast io || tr) (initial M2)
    and path M2 (butlast io || tr) (initial M2)
    and length (butlast io) = length tr
  unfolding io-targets.simps by auto

  show succ M2 (last io) q =  $\{\}$ 
  proof (rule ccontr)
    assume succ M2 (last io) q  $\neq \{\}$ 
    then obtain q' where q'  $\in succ \ M2 \text{ (last } io) \ q$ 
      by blast
    then have path M2 [(last io, q')] (target (butlast io || tr) (initial M2))
      using  $\langle q = \text{target } (butlast \ io \ || \ tr) \text{ (initial } M2) \rangle$  by auto

    have path M2 ((butlast io || tr) @ [(last io, q')] (initial M2))
      using  $\langle path \ M2 \text{ (butlast } io \ || \ tr) \text{ (initial } M2) \rangle$ 
         $\langle path \ M2 \text{ [(last } io, q')] \text{ (target } (butlast \ io \ || \ tr) \text{ (initial } M2)) \rangle$  by auto

    have butlast io @ [last io] = io
      by (meson  $\langle io \neq [] \rangle \text{ append-butlast-last-id}$ )

    have path M2 (io || (tr@[q'])) (initial M2)
    proof –
      have path M2 ((butlast io || tr) @ ([last io] || [q'])) (initial M2)
        by (simp add: FSM.path-append  $\langle path \ M2 \text{ (butlast } io \ || \ tr) \text{ (initial } M2) \rangle$ 
           $\langle path \ M2 \text{ [(last } io, q')] \text{ (target } (butlast \ io \ || \ tr) \text{ (initial } M2)) \rangle$ )
        then show ?thesis

```



```

    by (metis (no-types) ⟨butlast io @ [last io] = io⟩
        ⟨length (butlast io) = length tr⟩ zip-append)
qed

have io ∈ L M2
proof -
  have length tr + (0 + Suc 0) = length io
  by (metis ⟨butlast io @ [last io] = io⟩ ⟨length (butlast io) = length tr⟩
      length-append list.size(3) list.size(4))
  then show ?thesis
  using ⟨path M2 (io || tr @ [q']) (initial M2)⟩ by fastforce
qed
then show False
using assms by auto
qed
qed

lemma sequence-to-failure-non-nil :
  assumes sequence-to-failure M1 M2 xs
  shows xs ≠ []
proof
  assume xs = []
  then have xs ∈ L M1 ∩ L M2
  by auto
  then show False using assms by auto
qed

lemma sequence-to-failure-from-arbitrary-failure :
  assumes vs@xs ∈ L M1 - L M2
  and vs ∈ L M2 ∩ L M1
shows ∃ xs'. prefix xs' xs ∧ sequence-to-failure M1 M2 (vs@xs')
using assms proof (induction xs rule: rev-induct)
  case Nil
  then show ?case by auto
next
  case (snoc x xs)

  have vs @ xs ∈ L M1
  using snoc.prem1(1) by (metis Diff-iff append.assoc language-state-prefix)

  show ?case
proof (cases vs@xs ∈ L M2)
  case True
  have butlast (vs@xs@[x]) ∈ L M2 ∩ L M1
  using True ⟨vs @ xs ∈ L M1⟩ by (simp add: butlast-append)
  then show ?thesis
  using sequence-to-failure.simps snoc.prem1 by blast
next
  case False
  then have vs@xs ∈ L M1 - L M2
  using ⟨vs @ xs ∈ L M1⟩ by blast
  then obtain xs' where prefix xs' xs sequence-to-failure M1 M2 (vs@xs')
  using snoc.prem2(2) snoc.IH by blast
  then show ?thesis
  using prefix-snoc by auto
qed
qed

```

The following lemma shows that if $M1$ is not a reduction of $M2$, then a minimal sequence to a failure exists that is of length at most the number of states in $M1$ times the number of states in $M2$.

```

lemma sequence-to-failure-length :
  assumes well-formed M1
  and well-formed M2
  and observable M1
  and observable M2
  and ¬ M1 ≼ M2

```

```

shows  $\exists xs . \text{sequence-to-failure } M1 \ M2 \ xs \wedge \text{length } xs \leq |M2| * |M1|$ 
proof -

  obtain seq where sequence-to-failure M1 M2 seq
  using assms sequence-to-failure-ob by blast
  then have seq  $\neq []$ 
    by auto

  let ?bbs = butlast seq
  have ?bbs  $\in L \ M1$  ?bbs  $\in L \ M2$ 
    using  $\langle \text{sequence-to-failure } M1 \ M2 \ seq \rangle$  by auto

  then obtain tr1b tr2b where
    path M1 (?bbs || tr1b) (initial M1)
    length tr1b = length ?bbs
    path M2 (?bbs || tr2b) (initial M2)
    length ?bbs = length tr2b
    by fastforce
  then have length tr2b = length tr1b
    by auto

  let ?PM = product M2 M1
  have well-formed ?PM
    using well-formed-product[OF assms(1,2)] by assumption

  have path ?PM (?bbs || tr2b || tr1b) (initial M2, initial M1)
    using product-path[OF  $\langle \text{length } ?bbs = \text{length } tr2b \rangle$   $\langle \text{length } tr2b = \text{length } tr1b \rangle$ ,
      of M2 M1 initial M1]
    using  $\langle \text{path } M1 \ (butlast \ seq \ || \ tr1b) \ (initial \ M1) \rangle$ 
       $\langle \text{path } M2 \ (butlast \ seq \ || \ tr2b) \ (initial \ M2) \rangle$ 
    by blast

  let ?q1b = target (?bbs || tr1b) (initial M1)
  let ?q2b = target (?bbs || tr2b) (initial M2)

  have io-targets M2 (initial M2) ?bbs = {?q2b}
    by (metis  $\langle \text{length } (butlast \ seq) = \text{length } tr2b \rangle$   $\langle \text{path } M2 \ (butlast \ seq \ || \ tr2b) \ (initial \ M2) \rangle$ 
      assms(4) obs-target-is-io-targets)
  have io-targets M1 (initial M1) ?bbs = {?q1b}
    by (metis  $\langle \text{length } tr1b = \text{length } (butlast \ seq) \rangle$   $\langle \text{path } M1 \ (butlast \ seq \ || \ tr1b) \ (initial \ M1) \rangle$ 
      assms(3) obs-target-is-io-targets)

  have (?q2b, ?q1b)  $\in \text{reachable } (product \ M2 \ M1) \ (initial \ M2, \ initial \ M1)$ 
  proof -
    have target (butlast seq || tr2b || tr1b) (initial M2, initial M1)
       $\in \text{reachable } (product \ M2 \ M1) \ (initial \ M2, \ initial \ M1)$ 
      using  $\langle \text{path } (product \ M2 \ M1) \ (butlast \ seq \ || \ tr2b \ || \ tr1b) \ (initial \ M2, \ initial \ M1) \rangle$  by blast
    then show ?thesis
      using  $\langle \text{length } (butlast \ seq) = \text{length } tr2b \rangle$   $\langle \text{length } tr2b = \text{length } tr1b \rangle$  by auto
  qed

  have (initial M2, initial M1)  $\in \text{nodes } (product \ M2 \ M1)$ 
    by (simp add: FSM.nodes.initial)

  obtain p where repFreePath : path (product M2 M1) p (initial M2, initial M1)  $\wedge$ 
    target p (initial M2, initial M1) =
      (?q2b, ?q1b)
    distinct ((initial M2, initial M1) # states p (initial M2, initial M1))
  using reaching-path-without-repetition[OF  $\langle \text{well-formed } ?PM \rangle$ 
     $\langle (?q2b, ?q1b) \in \text{reachable } (product \ M2 \ M1) \ (initial \ M2, \ initial \ M1) \rangle$ 

```

```

    ⟨(initial M2, initial M1) ∈ nodes (product M2 M1)⟩]
  by blast

then have set (states p (initial M2, initial M1)) ⊆ nodes ?PM
  by (simp add: FSM.nodes-states ⟨(initial M2, initial M1) ∈ nodes (product M2 M1)⟩)
moreover have (initial M2, initial M1) ∉ set (states p (initial M2, initial M1))
  using ⟨distinct ((initial M2, initial M1) # states p (initial M2, initial M1))⟩ by auto
ultimately have set (states p (initial M2, initial M1)) ⊆ nodes ?PM - {(initial M2, initial M1)}
  by blast
moreover have finite (nodes ?PM)
  using ⟨well-formed ?PM⟩ by auto
ultimately have card (set (states p (initial M2, initial M1))) < card (nodes ?PM)
  by (metis ⟨(initial M2, initial M1) ∈ nodes (product M2 M1)⟩
    ⟨(initial M2, initial M1) ∉ set (states p (initial M2, initial M1))⟩
    ⟨set (states p (initial M2, initial M1)) ⊆ nodes (product M2 M1)⟩
    psubsetI psubset-card-mono)

moreover have card (set (states p (initial M2, initial M1)))
  = length (states p (initial M2, initial M1))
  using distinct-card repFreePath(2) by fastforce
ultimately have length (states p (initial M2, initial M1)) < |?PM|
  by linarith
then have length p < |?PM|
  by auto

let ?p1 = map (snd ∘ snd) p
let ?p2 = map (fst ∘ snd) p
let ?pIO = map fst p

have p = ?pIO || ?p2 || ?p1
  by (metis map-map zip-map-fst-snd)

have path M2 (?pIO || ?p2) (initial M2)
  path M1 (?pIO || ?p1) (initial M1)
  using product-path[of ?pIO ?p2 ?p1 M2 M1]
  using ⟨p = ?pIO || ?p2 || ?p1⟩ repFreePath(1) by auto

have (?q2b, ?q1b) = (target (?pIO || ?p2 || ?p1) (initial M2, initial M1))
  using ⟨p = ?pIO || ?p2 || ?p1⟩ repFreePath(1) by auto

then have ?q2b = target (?pIO || ?p2) (initial M2)
  ?q1b = target (?pIO || ?p1) (initial M1)
  by auto

have io-targets M2 (initial M2) ?pIO = {?q2b}
  by (metis ⟨path M2 (map fst p || map (fst ∘ snd) p) (initial M2)⟩
    ⟨target (?b1s || tr2b) (initial M2) = target (map fst p || map (fst ∘ snd) p) (initial M2)⟩
    assms(4) length-map obs-target-is-io-targets)

have io-targets M1 (initial M1) ?pIO = {?q1b}
  by (metis ⟨path M1 (map fst p || map (snd ∘ snd) p) (initial M1)⟩
    ⟨target (?b1s || tr1b) (initial M1) = target (map fst p || map (snd ∘ snd) p) (initial M1)⟩
    assms(3) length-map obs-target-is-io-targets)

have seq ∈ L M1 seq ∉ L M2
  using ⟨sequence-to-failure M1 M2 seq⟩ by auto

have io-targets M1 (initial M1) ?b1s = {?q1b}
  by (metis ⟨length tr1b = length (butlast seq)⟩ ⟨path M1 (butlast seq || tr1b) (initial M1)⟩
    assms(3) obs-target-is-io-targets)

```

obtain $q1s$ **where** $io\text{-targets } M1$ ($initial\ M1$) $seq = \{q1s\}$
by ($meson\ \langle seq \in L\ M1 \rangle\ assms(3)\ io\text{-targets-observable-singleton-ob}$)

moreover have $seq = (butlast\ seq)@[last\ seq]$
using $\langle seq \neq [] \rangle$ **by** *auto*

ultimately have $io\text{-targets } M1$ ($initial\ M1$) $((butlast\ seq)@[last\ seq]) = \{q1s\}$
by *auto*

have $io\text{-targets } M1\ ?q1b\ [last\ seq] = \{q1s\}$
using $observable\text{-}io\text{-targets-suffix}[OF\ assms(3)\ \langle io\text{-targets } M1\ (initial\ M1)\ ?b1s = \{?q1b\} \rangle$
 $\langle io\text{-targets } M1\ (initial\ M1)\ ((butlast\ seq)@[last\ seq]) = \{q1s\} \rangle$ **by** *assumption*

then obtain $tr1s$ **where** $q1s = target\ ([last\ seq] \parallel tr1s)\ ?q1b$
 $path\ M1\ ([last\ seq] \parallel tr1s)\ ?q1b$
 $length\ [last\ seq] = length\ tr1s$

by *auto*

have $path\ M1\ ([last\ seq] \parallel [q1s])\ ?q1b$
by ($metis\ (no\text{-types})\ \langle length\ [last\ seq] = length\ tr1s \rangle$
 $\langle path\ M1\ ([last\ seq] \parallel tr1s)\ (target\ (butlast\ seq \parallel tr1b)\ (initial\ M1)) \rangle$
 $\langle q1s = target\ ([last\ seq] \parallel tr1s)\ (target\ (butlast\ seq \parallel tr1b)\ (initial\ M1)) \rangle$
 $append\ Nil\ append\ butlast\ last\ id\ butlast.simps(2)\ length\ butlast\ length\ greater\ 0\ conv$
 $not\ Cons\ self2\ target\ alt\ def(2))$

then have $q1s \in succ\ M1\ (last\ seq)\ ?q1b$
by *auto*

have $succ\ M2\ (last\ seq)\ ?q2b = \{\}$

proof (*rule ccontr*)
assume $succ\ M2\ (last\ seq)\ (target\ (butlast\ seq \parallel tr2b)\ (initial\ M2)) \neq \{\}$
then obtain $q2f$ **where** $q2f \in succ\ M2\ (last\ seq)\ ?q2b$
by *blast*

then have $target\ ([last\ seq] \parallel [q2f])\ ?q2b = q2f$
 $path\ M2\ ([last\ seq] \parallel [q2f])\ ?q2b$
 $length\ [q2f] = length\ [last\ seq]$

by *auto*

then have $q2f \in io\text{-targets } M2\ ?q2b\ [last\ seq]$
by ($metis\ io\text{-target-from-path}$)

then have $io\text{-targets } M2\ ?q2b\ [last\ seq] = \{q2f\}$
using $assms(4)$ **by** ($meson\ observable\text{-}io\text{-target-is-singleton}$)

have $io\text{-targets } M2\ (initial\ M2)\ (butlast\ seq @ [last\ seq]) = \{q2f\}$
using $observable\text{-}io\text{-targets-append}[OF\ assms(4)\ \langle io\text{-targets } M2\ (initial\ M2)\ ?b1s = \{?q2b\} \rangle$
 $\langle io\text{-targets } M2\ ?q2b\ [last\ seq] = \{q2f\} \rangle$ **by** *assumption*

then have $seq \in L\ M2$
using $\langle seq = butlast\ seq @ [last\ seq] \rangle$ **by** *auto*

then show *False*
using $\langle seq \notin L\ M2 \rangle$ **by** *blast*

qed

have $?pIO \in L\ M1\ ?pIO \in L\ M2$
using $\langle path\ M1\ (?pIO \parallel ?p1)\ (initial\ M1) \rangle\ \langle path\ M2\ (?pIO \parallel ?p2)\ (initial\ M2) \rangle$ **by** *auto*

then have $butlast\ (?pIO@[last\ seq]) \in L\ M1 \cap L\ M2$
by *auto*

have $?pIO@[last\ seq] \in L\ M1$
using $observable\text{-}io\text{-targets-append}[OF\ assms(3)\ \langle io\text{-targets } M1\ (initial\ M1)\ ?pIO = \{?q1b\} \rangle$
 $\langle io\text{-targets } M1\ ?q1b\ [last\ seq] = \{q1s\} \rangle$

by ($metis\ all\ not\ in\ conv\ insert\ not\ empty\ io\text{-targets-elim\ language-state}$)

moreover have $?pIO@[last\ seq] \notin L\ M2$

proof
assume $?pIO@[last\ seq] \in L\ M2$
then obtain $q2f$ **where** $io\text{-targets } M2\ (initial\ M2)\ (?pIO@[last\ seq]) = \{q2f\}$
by ($meson\ assms(4)\ io\text{-targets-observable-singleton-ob}$)

```

have io-targets  $M2$   $?q2b$   $[last\ seq] = \{q2f\}$ 
  using observable-io-targets-split  $[OF\ assms(4)$ 
     $\langle io-targets\ M2\ (initial\ M2)\ (?pIO@[last\ seq]) = \{q2f\} \rangle$ 
     $\langle io-targets\ M2\ (initial\ M2)\ (map\ fst\ p) = \{?q2b\} \rangle]$  by assumption

then have  $q2f \in succ\ M2\ (last\ seq)\ ?q2b$ 
  by (simp add: io-targets-succ)
then show False
  using  $\langle succ\ M2\ (last\ seq)\ ?q2b = \{\} \rangle$  by auto
qed

ultimately have  $?pIO@[last\ seq] \in L\ M1 - L\ M2$ 
  by auto

have sequence-to-failure  $M1\ M2\ (?pIO@[last\ seq])$ 
  using  $\langle butlast\ (?pIO@[last\ seq]) \in L\ M1 \cap L\ M2 \rangle \langle ?pIO@[last\ seq] \in L\ M1 - L\ M2 \rangle$  by auto

have  $length\ (?pIO@[last\ seq]) = Suc\ (length\ ?pIO)$ 
  by auto
then have  $length\ (?pIO@[last\ seq]) \leq |?PM|$ 
  using  $\langle length\ p < |?PM| \rangle$  by auto

have  $card\ (nodes\ M2 \times nodes\ M1) \leq |M2| * |M1|$ 
  by (simp add: card-cartesian-product)

have finite  $(nodes\ M2 \times nodes\ M1)$ 
proof
  show finite  $(nodes\ M2)$ 
    using assms by auto
  show finite  $(nodes\ M1)$ 
    using assms by auto
qed

have  $|?PM| \leq |M2| * |M1|$ 
  by (meson  $\langle card\ (nodes\ M2 \times nodes\ M1) \leq |M2| * |M1| \rangle \langle finite\ (nodes\ M2 \times nodes\ M1) \rangle$ 
    card-mono dual-order.trans product-nodes)

then have  $length\ (?pIO@[last\ seq]) \leq |M2| * |M1|$ 
  using  $\langle length\ (?pIO@[last\ seq]) \leq |?PM| \rangle$  by auto

then have sequence-to-failure  $M1\ M2\ (?pIO@[last\ seq]) \wedge length\ (?pIO@[last\ seq]) \leq |M2| * |M1|$ 
  using  $\langle sequence-to-failure\ M1\ M2\ (?pIO@[last\ seq]) \rangle$  by auto
then show ?thesis
  by blast
qed

```

1.11 Minimal sequence to failure extending

A minimal sequence to a failure extending some some set of IO-sequences is a sequence to a failure of minimal length such that a prefix of that sequence is contained in the set.

```

fun minimal-sequence-to-failure-extending ::
   $'in\ list\ set \Rightarrow ('in, 'out, 'state)\ FSM \Rightarrow ('in, 'out, 'state)\ FSM \Rightarrow ('in \times 'out)\ list$ 
   $\Rightarrow ('in \times 'out)\ list \Rightarrow bool$  where
  minimal-sequence-to-failure-extending  $V\ M1\ M2\ v'\ io = ($ 
     $v' \in L_{in}\ M1\ V \wedge sequence-to-failure\ M1\ M2\ (v' @ io)$ 
     $\wedge \neg (\exists\ io'. \exists\ w' \in L_{in}\ M1\ V. sequence-to-failure\ M1\ M2\ (w' @ io')$ 
     $\wedge length\ io' < length\ io))$ 

```

```

lemma minimal-sequence-to-failure-extending-det-state-cover-ob :
  assumes well-formed  $M1$ 
  and well-formed  $M2$ 
  and observable  $M2$ 

```

```

and      is-det-state-cover M2 V
and       $\neg M1 \preceq M2$ 
obtains vs xs
where minimal-sequence-to-failure-extending V M1 M2 vs xs
proof —
  — set of all IO-sequences that extend some reaction of M1 to V to a failure
  let ?exts = {xs.  $\exists vs' \in L_{in} M1 V. \text{sequence-to-failure } M1 M2 (vs'@xs)$ }

  — arbitrary sequence to failure
  — must be contained in ?exts as V contains the empty sequence
  obtain stf where sequence-to-failure M1 M2 stf
    using assms sequence-to-failure-ob by blast
  then have sequence-to-failure M1 M2 ( $[] @ stf$ )
    by simp
  moreover have  $[] \in L_{in} M1 V$ 
    by (meson assms(4) det-state-cover-initial language-state-for-inputs-empty)
  ultimately have stf  $\in ?exts$ 
    by blast

  — the minimal length sequence of ?exts
  — is a minimal sequence to a failure extending V by construction
  let ?xsMin = arg-min length ( $\lambda xs. xs \in ?exts$ )
  have xsMin-def : ?xsMin  $\in ?exts$ 
     $\wedge (\forall xs \in ?exts. \text{length } ?xsMin \leq \text{length } xs)$ 
    by (metis (no-types, lifting)  $\langle stf \in ?exts \rangle$  arg-min-nat-lemma)
  then obtain vs where vs  $\in L_{in} M1 V$ 
     $\wedge \text{sequence-to-failure } M1 M2 (vs @ ?xsMin)$ 
    by blast
  moreover have  $\neg(\exists xs. \exists ws \in L_{in} M1 V. \text{sequence-to-failure } M1 M2 (ws@xs)$ 
     $\wedge \text{length } xs < \text{length } ?xsMin)$ 
    using leD xsMin-def by blast
  ultimately have minimal-sequence-to-failure-extending V M1 M2 vs ?xsMin
    by auto
  then show ?thesis
    using that by auto
qed

lemma mstfe-prefix-input-in-V :
  assumes minimal-sequence-to-failure-extending V M1 M2 vs xs
  shows (map fst vs)  $\in V$ 
proof —
  have vs  $\in L_{in} M1 V$ 
    using assms by auto
  then show ?thesis
    using language-state-for-inputs-map-fst-contained by auto
qed

```

1.12 Complete test suite derived from the product machine

The classical result of testing FSMs for language inclusion : Any failure can be observed by a sequence of length at most $n*m$ where n is the number of states of the reference model (here FSM *M2*) and m is an upper bound on the number of states of the SUT (here FSM *M1*).

```

lemma product-suite-soundness :
  assumes well-formed M1
  and      well-formed M2
  and      observable M1
  and      observable M2
  and      inputs M2 = inputs M1
  and       $|M1| \leq m$ 
shows  $\neg M1 \preceq M2 \longrightarrow \neg M1 \preceq \llbracket \{xs. \text{set } xs \subseteq \text{inputs } M2 \wedge \text{length } xs \leq |M2| * m\} \rrbracket M2$ 
  ( $\text{is } \neg M1 \preceq M2 \longrightarrow \neg M1 \preceq \llbracket ?TS \rrbracket M2$ )
proof
  assume  $\neg M1 \preceq M2$ 
  obtain stf where sequence-to-failure M1 M2 stf  $\wedge \text{length } stf \leq |M2| * |M1|$ 
    using sequence-to-failure-length[OF assms(1-4)  $\langle \neg M1 \preceq M2 \rangle$ ] by blast

```

```

then have sequence-to-failure  $M1\ M2\ stf$   $length\ stf \leq |M2| * |M1|$ 
  by auto

then have  $stf \in L\ M1$ 
  by auto
let  $?xs = map\ fst\ stf$ 
have  $set\ ?xs \subseteq inputs\ M1$ 
  by (meson  $\langle stf \in L\ M1 \rangle\ assms(1)\ language-state-inputs$ )
then have  $set\ ?xs \subseteq inputs\ M2$ 
  using assms(5) by auto

have  $length\ ?xs \leq |M2| * |M1|$ 
  using  $\langle length\ stf \leq |M2| * |M1| \rangle$  by auto
have  $length\ ?xs \leq |M2| * m$ 
proof —
  show ?thesis
    by (metis (no-types)  $\langle length\ (map\ fst\ stf) \leq |M2| * |M1| \rangle\ \langle |M1| \leq m \rangle$ 
      dual-order.trans\ mult.commute\ mult-le-mono1)
qed

have  $stf \in L_{in}\ M1\ \{?xs\}$ 
  by (meson  $\langle stf \in L\ M1 \rangle\ insertI1\ language-state-for-inputs-map-fst$ )
have  $?xs \in ?TS$ 
  using  $\langle set\ ?xs \subseteq inputs\ M2 \rangle\ \langle length\ ?xs \leq |M2| * m \rangle$  by blast
have  $stf \in L_{in}\ M1\ ?TS$ 
  by (metis (no-types, lifting)  $\langle map\ fst\ stf \in \{xs.\ set\ xs \subseteq inputs\ M2 \wedge length\ xs \leq |M2| * m\} \rangle$ 
     $\langle stf \in L\ M1 \rangle\ language-state-for-inputs-map-fst$ )

have  $stf \notin L\ M2$ 
  using  $\langle sequence-to-failure\ M1\ M2\ stf \rangle$  by auto
then have  $stf \notin L_{in}\ M2\ ?TS$ 
  by auto

show  $\neg M1 \preceq[?TS] M2$ 
  using  $\langle stf \in L_{in}\ M1\ ?TS \rangle\ \langle stf \notin L_{in}\ M2\ ?TS \rangle$  by blast
qed

lemma product-suite-completeness :
  assumes well-formed  $M1$ 
  and well-formed  $M2$ 
  and observable  $M1$ 
  and observable  $M2$ 
  and  $inputs\ M2 = inputs\ M1$ 
  and  $|M1| \leq m$ 
shows  $M1 \preceq M2 \longleftrightarrow M1 \preceq[\{xs.\ set\ xs \subseteq inputs\ M2 \wedge length\ xs \leq |M2| * m\}] M2$ 
  (is  $M1 \preceq M2 \longleftrightarrow M1 \preceq[?TS] M2$ )
proof
  show  $M1 \preceq M2 \implies M1 \preceq[?TS] M2$  — soundness holds trivially
  unfolding language-state-for-inputs.simps\ io-reduction.simps by blast
  show  $M1 \preceq[?TS] M2 \implies M1 \preceq M2$ 
  using product-suite-soundness[OF\ assms] by auto
qed

end
theory FSM-Product
imports FSM
begin

```

2 Product machines with an additional fail state

We extend the product machine for language intersection presented in theory *FSM* by an additional state that is reached only by sequences such that any proper prefix of the sequence is in the language intersection, whereas

the full sequence is only contained in the language of the machine B for which we want to check whether it is a reduction of some machine A .

To allow for free choice of the `FAIL` state, we define the following property that holds iff AB is the product machine of A and B extended with fail state `FAIL`.

```
fun productF :: ('in, 'out, 'state1) FSM  $\Rightarrow$  ('in, 'out, 'state2) FSM  $\Rightarrow$  ('state1  $\times$  'state2)
 $\Rightarrow$  ('in, 'out, 'state1  $\times$  'state2) FSM  $\Rightarrow$  bool where
productF A B FAIL AB = (
  (inputs A = inputs B)
 $\wedge$  (fst FAIL  $\notin$  nodes A)
 $\wedge$  (snd FAIL  $\notin$  nodes B)
 $\wedge$  AB =  $\emptyset$ 
  succ = ( $\lambda$  a (p1,p2) . (if (p1  $\in$  nodes A  $\wedge$  p2  $\in$  nodes B  $\wedge$  (fst a  $\in$  inputs A)
     $\wedge$  (snd a  $\in$  outputs A  $\cup$  outputs B))
    then (if (succ A a p1 =  $\{\}$   $\wedge$  succ B a p2  $\neq$   $\{\}$ )
      then {FAIL}
      else (succ A a p1  $\times$  succ B a p2))
    else  $\{\}$ )),
  inputs = inputs A,
  outputs = outputs A  $\cup$  outputs B,
  initial = (initial A, initial B)
 $\rangle$  )
```

```
lemma productF-simps[simp]:
productF A B FAIL AB  $\Longrightarrow$  succ AB a (p1,p2) = (if (p1  $\in$  nodes A  $\wedge$  p2  $\in$  nodes B
 $\wedge$  (fst a  $\in$  inputs A)  $\wedge$  (snd a  $\in$  outputs A  $\cup$  outputs B))
  then (if (succ A a p1 =  $\{\}$   $\wedge$  succ B a p2  $\neq$   $\{\}$ )
    then {FAIL}
    else (succ A a p1  $\times$  succ B a p2))
  else  $\{\}$ )
productF A B FAIL AB  $\Longrightarrow$  inputs AB = inputs A
productF A B FAIL AB  $\Longrightarrow$  outputs AB = outputs A  $\cup$  outputs B
productF A B FAIL AB  $\Longrightarrow$  initial AB = (initial A, initial B)
unfolding productF.simps by simp+
```

```
lemma fail-next-productF :
assumes well-formed M1
and well-formed M2
and productF M2 M1 FAIL PM
shows succ PM a FAIL =  $\{\}$ 
proof (cases ((fst FAIL)  $\in$  nodes M2  $\wedge$  (snd FAIL)  $\in$  nodes M1))
  case True
  then show ?thesis
    using assms by auto
next
  case False
  then show ?thesis
    using assms by (cases (succ M2 a (fst FAIL) =  $\{\}$   $\wedge$  (fst a  $\in$  inputs M2)
       $\wedge$  (snd a  $\in$  outputs M2)); auto)
qed
```

```
lemma nodes-productF :
assumes well-formed M1
and well-formed M2
and productF M2 M1 FAIL PM
shows nodes PM  $\subseteq$  insert FAIL (nodes M2  $\times$  nodes M1)
proof
  fix q assume q-assm : q  $\in$  nodes PM
  then show q  $\in$  insert FAIL (nodes M2  $\times$  nodes M1)
  using assms proof (cases)
    case initial
    then show ?thesis using assms by auto
  next
```



```

case (execute p a)
then obtain p1 p2 x y q1 q2 where p-a-split[simp] : p = (p1, p2)
                                     a = ((x, y), q)
                                     q = (q1, q2)

  by (metis eq-snd-iff)
have subnodes : p1 ∈ nodes M2 ∧ p2 ∈ nodes M1 ∧ x ∈ inputs M2 ∧ y ∈ outputs M2 ∪ outputs M1
proof (rule ccontr)
  assume ¬ (p1 ∈ nodes M2 ∧ p2 ∈ nodes M1 ∧ x ∈ inputs M2 ∧ y ∈ outputs M2 ∪ outputs M1)
  then have succ PM (x, y) (p1, p2) = {}
    using assms(3) by auto
  then show False
    using execute by auto
qed

show ?thesis proof (cases (succ M2 (x, y) p1 = {} ∧ succ M1 (x, y) p2 ≠ {}))
  case True
    then have q = FAIL
      using subnodes assms(3) execute by auto
    then show ?thesis
      by auto
  next
    case False
    then have succ PM (fst a) p = succ M2 (x, y) p1 × succ M1 (x, y) p2
      using subnodes assms(3) execute by auto
    then have q ∈ (succ M2 (x, y) p1 × succ M1 (x, y) p2)
      using execute by blast
    then have q-succ : (q1, q2) ∈ (succ M2 (x, y) p1 × succ M1 (x, y) p2)
      by simp

    have q1 ∈ succ M2 (x, y) p1
      using q-succ by simp
    then have q1 ∈ successors M2 p1
      by auto
    then have q1 ∈ reachable M2 p1
      by blast
    then have q1 ∈ reachable M2 (initial M2)
      using subnodes by blast
    then have nodes1 : q1 ∈ nodes M2
      by blast

    have q2 ∈ succ M1 (x, y) p2
      using q-succ by simp
    then have q2 ∈ successors M1 p2
      by auto
    then have q2 ∈ reachable M1 p2
      by blast
    then have q2 ∈ reachable M1 (initial M1)
      using subnodes by blast
    then have nodes2 : q2 ∈ nodes M1
      by blast

    show ?thesis
      using nodes1 nodes2 by auto
qed
qed
qed

```

```

lemma well-formed-productF[simp] :
  assumes well-formed M1
  and well-formed M2
  and productF M2 M1 FAIL PM
shows well-formed PM
unfolding well-formed.simps proof

```

```

have finite (nodes M1) finite (nodes M2)
  using assms by auto
then have finite (insert FAIL (nodes M2 × nodes M1))
  by simp
moreover have nodes PM ⊆ insert FAIL (nodes M2 × nodes M1)
  using nodes-productF assms by blast
moreover have inputs PM = inputs M2 outputs PM = outputs M2 ∪ outputs M1
  using assms by auto
ultimately show finite-FSM PM
  using infinite-subset assms by auto
next
have inputs PM = inputs M2 outputs PM = outputs M2 ∪ outputs M1
  using assms by auto
then show (∀ s1 x y. x ∉ inputs PM ∨ y ∉ outputs PM ⟶ succ PM (x, y) s1 = {})
  ∧ inputs PM ≠ {} ∧ outputs PM ≠ {}
  using assms by auto
qed

```

```

lemma observable-productF[simp] :
  assumes observable M1
  and      observable M2
  and      productF M2 M1 FAIL PM
shows observable PM
  unfolding observable.simps
proof -
  have ∀ t s . succ M1 t (fst s) = {} ∨ (∃ s2. succ M1 t (fst s) = {s2})
    using assms by auto
  moreover have ∀ t s . succ M2 t (snd s) = {} ∨ (∃ s2. succ M2 t (snd s) = {s2})
    using assms by auto
  ultimately have sub-succs : ∀ t s . succ M2 t (fst s) × succ M1 t (snd s) = {}
    ∨ (∃ s2 . succ M2 t (fst s) × succ M1 t (snd s) = {s2})
    by fastforce
  moreover have succ-split : ∀ t s . succ PM t s = {}
    ∨ succ PM t s = {FAIL}
    ∨ succ PM t s = succ M2 t (fst s) × succ M1 t (snd s)
    using assms by auto
  ultimately show ∀ t s. succ PM t s = {} ∨ (∃ s2. succ PM t s = {s2})
    by metis
qed

```

```

lemma no-transition-after-FAIL :
  assumes productF A B FAIL AB
  shows succ AB io FAIL = {}
  using assms by auto

```

```

lemma no-prefix-targets-FAIL :
  assumes productF M2 M1 FAIL PM
  and      path PM p q
  and      k < length p
shows target (take k p) q ≠ FAIL
proof
  assume assm : target (take k p) q = FAIL
  have path PM (take k p @ drop k p) q
    using assms by auto
  then have path PM (drop k p) (target (take k p) q)
    by blast
  then have path-from-FAIL : path PM (drop k p) FAIL
    using assm by auto

  have length (drop k p) ≠ 0
    using assms by auto
  then obtain io q where drop k p = (io, q) # (drop (Suc k) p)
    by (metis Cons-nth-drop-Suc assms(3) prod-cases3)
  then have succ PM io FAIL ≠ {}

```

```

    using path-from-FAIL by auto

then show False
  using no-transition-after-FAIL assms by auto
qed

lemma productF-path-inclusion :
  assumes length w = length r1 length r1 = length r2
  and productF A B FAIL AB
  and well-formed A
  and well-formed B
  and path A (w || r1) p1 ∧ path B (w || r2) p2
  and p1 ∈ nodes A
  and p2 ∈ nodes B
shows path (AB) (w || r1 || r2) (p1, p2)
using assms proof (induction w r1 r2 arbitrary: p1 p2 rule: list-induct3)
  case Nil
  then show ?case by auto
next
  case (Cons w ws r1 r1s r2 r2s)
  then have path A ([w] || [r1]) p1 ∧ path B ([w] || [r2]) p2
    by auto
  then have succs : r1 ∈ succ A w p1 ∧ r2 ∈ succ B w p2
    by auto
  then have succ A w p1 ≠ {}
    by force
  then have w-elem : fst w ∈ inputs A ∧ snd w ∈ outputs A
    using Cons by (metis assms(4) prod.collapse well-formed.elims(2))
  then have (r1,r2) ∈ succ AB w (p1,p2)
    using Cons succs by auto
  then have path-head : path AB ([w] || [(r1,r2)]) (p1,p2)
    by auto

  have path A (ws || r1s) r1 ∧ path B (ws || r2s) r2
    using Cons by auto
  moreover have r1 ∈ nodes A ∧ r2 ∈ nodes B
    using succs Cons.prem1 succ-nodes[of r1 A w p1] succ-nodes[of r2 B w p2] by auto
  ultimately have path AB (ws || r1s || r2s) (r1,r2)
    using Cons by blast

  then show ?case
    using path-head by auto
qed

lemma productF-path-forward :
  assumes length w = length r1 length r1 = length r2
  and productF A B FAIL AB
  and well-formed A
  and well-formed B
  and (path A (w || r1) p1 ∧ path B (w || r2) p2)
  and (target (w || r1 || r2) (p1, p2) = FAIL
    ∧ length w > 0
    ∧ path A (butlast (w || r1)) p1
    ∧ path B (butlast (w || r2)) p2
    ∧ succ A (last w) (target (butlast (w || r1)) p1) = {}
    ∧ succ B (last w) (target (butlast (w || r2)) p2) ≠ {})
  and p1 ∈ nodes A
  and p2 ∈ nodes B
shows path (AB) (w || r1 || r2) (p1, p2)
using assms proof (induction w r1 r2 arbitrary: p1 p2 rule: list-induct3)
  case Nil
  then show ?case by auto
next
  case (Cons w ws r1 r1s r2 r2s)
  then show ?case

```

```

proof (cases (path A (w # ws || r1 # r1s) p1 ∧ path B (w # ws || r2 # r2s) p2))
  case True
  then show ?thesis
    using Cons.productF-path-inclusion[of w # ws r1 # r1s r2 # r2s A B FAIL AB p1 p2]
    by auto
next
  case False
  then have fail-prop : target (w # ws || r1 # r1s || r2 # r2s) (p1, p2) = FAIL ∧
    0 < length (w # ws) ∧
    path A (butlast (w # ws || r1 # r1s)) p1 ∧
    path B (butlast (w # ws || r2 # r2s)) p2 ∧
    succ A (last (w # ws)) (target (butlast (w # ws || r1 # r1s)) p1) = {} ∧
    succ B (last (w # ws)) (target (butlast (w # ws || r2 # r2s)) p2) ≠ {}
    using Cons.prem by fastforce

then show ?thesis
proof (cases length ws)
  case 0
  then have empty[simp] : ws = [] r1s = [] r2s = []
    using Cons.hyps by auto
  then have fail-prop-0 : target ([w] || [r1] || [r2]) (p1, p2) = FAIL ∧
    0 < length ([w]) ∧
    path A [] p1 ∧
    path B [] p2 ∧
    succ A w p1 = {} ∧
    succ B w p2 ≠ {}
    using fail-prop by auto
  then have fst w ∈ inputs B ∧ snd w ∈ outputs B
    using Cons.prem by (metis prod.collapse well-formed.elims(2))
  then have inputs-0 : fst w ∈ inputs A ∧ snd w ∈ outputs B
    using Cons.prem by auto

  moreover have fail-elems-0 : (r1, r2) = FAIL
    using fail-prop by auto
  ultimately have succ AB w (p1, p2) = {FAIL}
    using fail-prop-0 Cons.prem by auto

  then have path AB ([w] || [r1] || [r2]) (p1, p2)
    using Cons.prem fail-elems-0 by auto
  then show ?thesis
    by auto
next
  case (Suc nat)

  then have path-r1 : path A ([w] || [r1]) p1
    using fail-prop
    by (metis Cons.hyps(1) FSM.nil FSM.path.intros(2) FSM.path-cons-elim Suc-neq-Zero
      butlast.simps(2) length-0-conv zip-Cons-Cons zip-Nil zip-eq)
  then have path-r1s : path A (butlast (ws || r1s)) r1
    using Suc
    by (metis (no-types, lifting) Cons.hyps(1) FSM.path-cons-elim Suc-neq-Zero butlast.simps(2)
      fail-prop length-0-conv snd-conv zip.simps(1) zip-Cons-Cons zip-eq)

  have path-r2 : path B ([w] || [r2]) p2
    using Suc fail-prop
    by (metis Cons.hyps(1) Cons.hyps(2) FSM.nil FSM.path.intros(2) FSM.path-cons-elim
      Suc-neq-Zero butlast.simps(2) length-0-conv zip-Cons-Cons zip-Nil zip-eq)
  then have path-r2s : path B (butlast (ws || r2s)) r2
    using Suc
    by (metis (no-types, lifting) Cons.hyps(1) Cons.hyps(2) FSM.path-cons-elim Suc-neq-Zero
      butlast.simps(2) fail-prop length-0-conv snd-conv zip.simps(1) zip-Cons-Cons zip-eq)

  have target (ws || r1s || r2s) (r1, r2) = FAIL
    using fail-prop by auto
  moreover have r1 ∈ nodes A

```

```

    using Cons.premis path-r1 by (metis FSM.path-cons-elim snd-conv succ-nodes zip-Cons-Cons)
  moreover have r2 ∈ nodes B
    using Cons.premis path-r2 by (metis FSM.path-cons-elim snd-conv succ-nodes zip-Cons-Cons)
  moreover have succ A (last ws) (target (butlast (ws || r1s)) r1) = {}
    by (metis (no-types, lifting) Cons.hyps(1) Suc Suc-neq-Zero butlast.simps(2) fail-prop
        fold-simps(2) last-ConsR list.size(3) snd-conv zip-Cons-Cons zip-Nil zip-eq)
  moreover have succ B (last ws) (target (butlast (ws || r2s)) r2) ≠ {}
    by (metis (no-types, lifting) Cons.hyps(1) Cons.hyps(2) Suc Suc-neq-Zero butlast.simps(2)
        fail-prop fold-simps(2) last-ConsR list.size(3) snd-conv zip-Cons-Cons zip-Nil zip-eq)

  have path AB (ws || r1s || r2s) (r1, r2)
    using Cons.IH Suc (succ B (last ws) (target (butlast (ws || r2s)) r2) ≠ {})
      assms(3) assms(4) assms(5) calculation(1-4) path-r1s path-r2s zero-less-Suc
    by presburger
  moreover have path AB ([w] || [r1] || [r2]) (p1,p2)
    using path-r1 path-r2 productF-path-inclusion[of [w] [r1] [r2] A B FAIL AB p1 p2]
      Cons.premis
    by auto
  ultimately show ?thesis
    by auto
qed
qed
qed

```

lemma butlast-zip-cons : length ws = length r1s \implies ws \neq []
 \implies butlast (w # ws || r1 # r1s) = ((w,r1) # (butlast (ws || r1s)))

```

proof -
assume a1: length ws = length r1s
assume a2: ws  $\neq$  []
  have length (w # ws) = length r1s + Suc 0
    using a1 by (metis list.size(4))
  then have f3: length (w # ws) = length (r1 # r1s)
    by (metis list.size(4))
  have f4: ws @ w # ws  $\neq$  w # ws
    using a2 by (meson append-self-conv2)
  have length (ws @ w # ws) = length (r1s @ r1 # r1s)
    using a1 by auto
  then have ws @ w # ws || r1s @ r1 # r1s  $\neq$  w # ws || r1 # r1s
    using f4 f3 by (meson zip-eq)
  then show ?thesis
    using a1 by simp
qed

```

lemma productF-succ-fail-imp :
 assumes productF A B FAIL AB
 and FAIL ∈ succ AB w (p1,p2)
 and well-formed A
 and well-formed B
 shows p1 ∈ nodes A ∧ p2 ∈ nodes B ∧ (fst w ∈ inputs A) ∧ (snd w ∈ outputs A ∪ outputs B)
 ∧ succ AB w (p1,p2) = {FAIL} ∧ succ A w p1 = {} ∧ succ B w p2 \neq {}

```

proof -
  have path-head : path AB ([w] || [FAIL]) (p1,p2)
    using assms by auto
  then have succ-nonempty : succ AB w (p1,p2)  $\neq$  {}
    by force
  then have succ-if-1 : p1 ∈ nodes A ∧ p2 ∈ nodes B ∧ (fst w ∈ inputs A)
    ∧ (snd w ∈ outputs A ∪ outputs B)
    using assms by auto
  then have (p1,p2)  $\neq$  FAIL
    using assms by auto

```

```

have succ A w p1  $\subseteq$  nodes A
  using assms succ-if-1 by (simp add: subsetI succ-nodes)
moreover have succ B w p2  $\subseteq$  nodes B
  using assms succ-if-1 by (simp add: subsetI succ-nodes)
ultimately have FAIL  $\notin$  (succ A w p1  $\times$  succ B w p2)
  using assms by auto
then have succ-no-inclusion : succ AB w (p1,p2)  $\neq$  (succ A w p1  $\times$  succ B w p2)
  using assms succ-if-1 by blast
moreover have succ AB w (p1,p2) = {}  $\vee$  succ AB w (p1,p2) = {FAIL}
   $\vee$  succ AB w (p1,p2) = (succ A w p1  $\times$  succ B w p2)
  using assms by simp
ultimately have succ-fail : succ AB w (p1,p2) = {FAIL}
  using succ-nonempty by simp

have succ A w p1 = {}  $\wedge$  succ B w p2  $\neq$  {}
proof (rule ccontr)
  assume  $\neg$  (succ A w p1 = {}  $\wedge$  succ B w p2  $\neq$  {})
  then have succ AB w (p1,p2) = (succ A w p1  $\times$  succ B w p2)
    using assms by auto
  then show False
    using succ-no-inclusion by simp
qed

then show ?thesis
  using succ-if-1 succ-fail by simp
qed

```

```

lemma productF-path-reverse :
  assumes length w = length r1 length r1 = length r2
  and productF A B FAIL AB
  and well-formed A
  and well-formed B
  and path AB (w || r1 || r2) (p1, p2)
  and p1  $\in$  nodes A
  and p2  $\in$  nodes B
shows (path A (w || r1) p1  $\wedge$  path B (w || r2) p2)
   $\vee$  (target (w || r1 || r2) (p1, p2) = FAIL
     $\wedge$  length w > 0
     $\wedge$  path A (butlast (w || r1)) p1
     $\wedge$  path B (butlast (w || r2)) p2
     $\wedge$  succ A (last w) (target (butlast (w || r1)) p1) = {}
     $\wedge$  succ B (last w) (target (butlast (w || r2)) p2)  $\neq$  {})
using assms proof (induction w r1 r2 arbitrary: p1 p2 rule: list-induct3)
  case Nil
  then show ?case by auto
next
  case (Cons w ws r1 r1s r2 r2s)

  have path-head : path AB ([w] || [(r1,r2)]) (p1,p2) using Cons by auto
  then have succ-nonempty : succ AB w (p1,p2)  $\neq$  {} by force
  then have succ-if-1 : p1  $\in$  nodes A  $\wedge$  p2  $\in$  nodes B  $\wedge$  (fst w  $\in$  inputs A)
     $\wedge$  (snd w  $\in$  outputs A  $\cup$  outputs B)
    using Cons by fastforce
  then have (p1,p2)  $\neq$  FAIL
    using Cons by auto

  have path-tail : path AB (ws || r1s || r2s) (r1,r2)
    using path-head Cons by auto

  show ?case
  proof (cases (r1,r2) = FAIL)
    case True
    have r1s = []
    proof (rule ccontr)

```

```

assume  $\neg (r1s = [])$ 
then have  $(\neg (ws = [])) \wedge (\neg (r1s = [])) \wedge (\neg (r2s = []))$ 
  using Cons.hyps by auto
moreover have path AB  $(ws \parallel r1s \parallel r2s)$  FAIL
  using True path-tail by simp
ultimately have path AB  $([hd\ ws] @ tl\ ws \parallel [hd\ r1s] @ tl\ r1s \parallel [hd\ r2s] @ tl\ r2s)$  FAIL
  by simp
then have path AB  $([hd\ ws] \parallel [hd\ r1s] \parallel [hd\ r2s])$  FAIL
  by auto
then have succ AB  $(hd\ ws)$  FAIL  $\neq \{\}$ 
  by auto
then show False using no-transition-after-FAIL
  using Cons.prems by auto
qed
then have tail-nil :  $ws = [] \wedge r1s = [] \wedge r2s = []$ 
  using Cons.hyps by simp

have succ-fail :  $FAIL \in succ\ AB\ w\ (p1, p2)$ 
  using path-head True by auto

then have succs :  $succ\ A\ w\ p1 = \{\} \wedge succ\ B\ w\ p2 \neq \{\}$ 
  using Cons.prems by (meson productF-succ-fail-imp)

have target  $(w \# ws \parallel r1 \# r1s \parallel r2 \# r2s)\ (p1, p2) = FAIL$ 
  using True tail-nil by simp
moreover have  $0 < length\ (w \# ws)$ 
  by simp
moreover have path A  $(butlast\ (w \# ws \parallel r1 \# r1s))\ p1$ 
  using tail-nil by auto
moreover have path B  $(butlast\ (w \# ws \parallel r2 \# r2s))\ p2$ 
  using tail-nil by auto
moreover have succ A  $(last\ (w \# ws))\ (target\ (butlast\ (w \# ws \parallel r1 \# r1s))\ p1) = \{\}$ 
  using succs tail-nil by simp
moreover have succ B  $(last\ (w \# ws))\ (target\ (butlast\ (w \# ws \parallel r2 \# r2s))\ p2) \neq \{\}$ 
  using succs tail-nil by simp
ultimately show ?thesis
  by simp
next
case False

have  $(r1, r2) \in succ\ AB\ w\ (p1, p2)$ 
  using path-head by auto
then have succ-not-fail :  $succ\ AB\ w\ (p1, p2) \neq \{FAIL\}$ 
  using succ-nonempty False by auto

have  $\neg (succ\ A\ w\ p1 = \{\} \wedge succ\ B\ w\ p2 \neq \{\})$ 
proof (rule ccontr)
  assume  $\neg \neg (succ\ A\ w\ p1 = \{\} \wedge succ\ B\ w\ p2 \neq \{\})$ 
  then have  $succ\ AB\ w\ (p1, p2) = \{FAIL\}$ 
  using succ-if-1 Cons by auto
  then show False
  using succ-not-fail by simp
qed

then have  $succ\ AB\ w\ (p1, p2) = (succ\ A\ w\ p1 \times succ\ B\ w\ p2)$ 
  using succ-if-1 Cons by auto
then have  $(r1, r2) \in (succ\ A\ w\ p1 \times succ\ B\ w\ p2)$ 
  using Cons by auto
then have succs-next :  $r1 \in succ\ A\ w\ p1 \wedge r2 \in succ\ B\ w\ p2$ 
  by auto
then have nodes-next :  $r1 \in nodes\ A \wedge r2 \in nodes\ B$ 
  using Cons succ-nodes by metis

moreover have path-tail : path AB  $(ws \parallel r1s \parallel r2s)\ (r1, r2)$ 
  using Cons by auto
ultimately have prop-tail :

```

```

  path A (ws || r1s) r1 ∧ path B (ws || r2s) r2 ∨
  target (ws || r1s || r2s) (r1, r2) = FAIL ∧
  0 < length ws ∧
  path A (butlast (ws || r1s)) r1 ∧
  path B (butlast (ws || r2s)) r2 ∧
  succ A (last ws) (target (butlast (ws || r1s)) r1) = {} ∧
  succ B (last ws) (target (butlast (ws || r2s)) r2) ≠ {}
using Cons.IH[of r1 r2] Cons.prem by auto

```

```

moreover have path A ([w] || [r1]) p1 ∧ path B ([w] || [r2]) p2
using succs-next by auto
then show ?thesis
proof (cases path A (ws || r1s) r1 ∧ path B (ws || r2s) r2)
  case True
    moreover have paths-head : path A ([w] || [r1]) p1 ∧ path B ([w] || [r2]) p2
    using succs-next by auto
    ultimately show ?thesis
    by (metis (no-types) FSM.path.simps FSM.path-cons-elim True eq-snd-iff
      paths-head zip-Cons-Cons)
  next
  case False

```

```

then have fail-prop : target (ws || r1s || r2s) (r1, r2) = FAIL ∧
  0 < length ws ∧
  path A (butlast (ws || r1s)) r1 ∧
  path B (butlast (ws || r2s)) r2 ∧
  succ A (last ws) (target (butlast (ws || r1s)) r1) = {} ∧
  succ B (last ws) (target (butlast (ws || r2s)) r2) ≠ {}
using prop-tail by auto

```

```

then have paths-head : path A ([w] || [r1]) p1 ∧ path B ([w] || [r2]) p2
using succs-next by auto

```

```

have (last (w # ws)) = last ws
using fail-prop by simp
moreover have (target (butlast (w # ws || r1 # r1s)) p1) = (target (butlast (ws || r1s)) r1)
using fail-prop Cons.hyps(1) butlast-zip-cons by auto
moreover have (target (butlast (w # ws || r2 # r2s)) p2) = (target (butlast (ws || r2s)) r2)
using fail-prop Cons.hyps(1) Cons.hyps(2) butlast-zip-cons by auto
ultimately have succ A (last (w # ws)) (target (butlast (w # ws || r1 # r1s)) p1) = {}
  ∧ succ B (last (w # ws)) (target (butlast (w # ws || r2 # r2s)) p2) ≠ {}
using fail-prop by auto
moreover have path A (butlast (w # ws || r1 # r1s)) p1
using fail-prop paths-head by auto
moreover have path B (butlast (w # ws || r2 # r2s)) p2
using fail-prop paths-head by auto
moreover have target (w # ws || r1 # r1s || r2 # r2s) (p1, p2) = FAIL
using fail-prop paths-head by auto
ultimately show ?thesis
by simp
qed

```

qed
qed

```

lemma butlast-zip[simp] :
assumes length xs = length ys
shows butlast (xs || ys) = (butlast xs || butlast ys)
using assms by (metis (no-types, lifting) map-butlast map-fst-zip map-snd-zip zip-map-fst-snd)

```

```

lemma productF-path-reverse-ob :
assumes length w = length r1 length r1 = length r2
and productF A B FAIL AB
and well-formed A

```



```

and    well-formed B
and    path AB (w || r1 || r2) (p1, p2)
and    p1 ∈ nodes A
and    p2 ∈ nodes B
obtains r2'
where path B (w || r2') p2 ∧ length w = length r2'
proof -
  have path-prop : (path A (w || r1) p1 ∧ path B (w || r2) p2)
    ∨ (target (w || r1 || r2) (p1, p2) = FAIL
      ∧ length w > 0
      ∧ path A (butlast (w || r1)) p1
      ∧ path B (butlast (w || r2)) p2
      ∧ succ A (last w) (target (butlast (w || r1)) p1) = {}
      ∧ succ B (last w) (target (butlast (w || r2)) p2) ≠ {})
  using assms productF-path-reverse[of w r1 r2 A B FAIL AB p1 p2] by simp
have ∃ r1'. path B (w || r1') p2 ∧ length w = length r1'
proof (cases path A (w || r1) p1 ∧ path B (w || r2) p2)
  case True
  then show ?thesis
    using assms by auto
next
  case False
  then have B-prop : length w > 0
    ∧ path B (butlast (w || r2)) p2
    ∧ succ B (last w) (target (butlast (w || r2)) p2) ≠ {}
  using path-prop by auto
  then obtain rx where rx ∈ succ B (last w) (target (butlast (w || r2)) p2)
  by auto

  then have path B ([last w] || [rx]) (target (butlast (w || r2)) p2)
  using B-prop by auto
  then have path B ((butlast (w || r2)) @ ([last w] || [rx])) p2
  using B-prop by auto
  moreover have butlast (w || r2) = (butlast w || butlast r2)
  using assms by simp

  ultimately have path B ((butlast w) @ [last w] || (butlast r2) @ [rx]) p2
  using assms B-prop by auto
  moreover have (butlast w) @ [last w] = w
  using B-prop by simp
  moreover have length ((butlast r2) @ [rx]) = length w
  using assms B-prop by auto
  ultimately show ?thesis
  by auto
qed
then obtain r1' where path B (w || r1') p2 ∧ length w = length r1'
  by blast
then show ?thesis
  using that by blast
qed

```

The following lemma formalizes the property of paths of the product machine as described in the section introduction.

```

lemma productF-path[iff] :
  assumes length w = length r1 length r1 = length r2
  and    productF A B FAIL AB
  and    well-formed A
  and    well-formed B
  and    p1 ∈ nodes A
  and    p2 ∈ nodes B
shows path AB (w || r1 || r2) (p1, p2) ⟷ ((path A (w || r1) p1 ∧ path B (w || r2) p2)
  ∨ (target (w || r1 || r2) (p1, p2) = FAIL
    ∧ length w > 0
    ∧ path A (butlast (w || r1)) p1
    ∧ path B (butlast (w || r2)) p2
    ∧ succ A (last w) (target (butlast (w || r1)) p1) = {}

```

$\wedge \text{succ } B (\text{last } w) (\text{target } (\text{butlast } (w \parallel r2)) p2) \neq \{\})$ (is $?path \longleftrightarrow ?paths$)
proof
 assume $?path$
 then show $?paths$ using *assms productF-path-reverse*[of $w \ r1 \ r2 \ A \ B \ FAIL \ AB \ p1 \ p2$] by *simp*
next
 assume $?paths$
 then show $?path$ using *assms productF-path-forward*[of $w \ r1 \ r2 \ A \ B \ FAIL \ AB \ p1 \ p2$] by *simp*
qed

lemma *path-last-succ* :
 assumes *path* $A \ (ws \parallel r1s) \ p1$
 and $\text{length } r1s = \text{length } ws$
 and $\text{length } ws > 0$
 shows $\text{last } r1s \in \text{succ } A (\text{last } ws) (\text{target } (\text{butlast } (ws \parallel r1s)) p1)$
proof –
 have *path* $A \ (\text{butlast } (ws \parallel r1s)) \ p1$
 \wedge *path* $A \ [\text{last } (ws \parallel r1s)] \ (\text{target } (\text{butlast } (ws \parallel r1s)) p1)$
 by (*metis* *FSM.path-append-elim* *append-butlast-last-id* *assms length-greater-0-conv* *list.size(3)* *zip-Nil* *zip-eq*)
 then have $\text{snd } (\text{last } (ws \parallel r1s)) \in$
 $\text{succ } A \ (\text{fst } (\text{last } (ws \parallel r1s))) \ (\text{target } (\text{butlast } (ws \parallel r1s)) p1)$
 by *auto*
 moreover have $ws \parallel r1s \neq []$
 using *assms(3)* *assms(2)* by (*metis* *length-zip* *list.size(3)* *min.idem* *neg0-conv*)
 ultimately have $\text{last } r1s \in \text{succ } A (\text{last } ws) (\text{target } (\text{butlast } (ws \parallel r1s)) p1)$
 by (*simp* *add: assms(2)*)
 then show $?thesis$
 by *auto*
qed

lemma *zip-last* :
 assumes $\text{length } r1 > 0$
 and $\text{length } r1 = \text{length } r2$
 shows $\text{last } (r1 \parallel r2) = (\text{last } r1, \text{last } r2)$
 by (*metis* (*no-types*) *assms(1)* *assms(2)* *less-nat-zero-code* *list.size(3)* *map-fst-zip* *zip-Nil* *zip-last*)

lemma *productF-path-reverse-ob-2* :
 assumes $\text{length } w = \text{length } r1 \ \text{length } r1 = \text{length } r2$
 and *productF* $A \ B \ FAIL \ AB$
 and *well-formed* A
 and *well-formed* B
 and *path* $AB \ (w \parallel r1 \parallel r2) \ (p1, p2)$
 and $p1 \in \text{nodes } A$
 and $p2 \in \text{nodes } B$
 and $w \in \text{language-state } A \ p1$
 and *observable* A
 shows *path* $A \ (w \parallel r1) \ p1 \wedge \text{length } w = \text{length } r1 \ \text{path } B \ (w \parallel r2) \ p2 \wedge \text{length } w = \text{length } r2$
 $\text{target } (w \parallel r1) \ p1 = \text{fst } (\text{target } (w \parallel r1 \parallel r2) (p1, p2))$
 $\text{target } (w \parallel r2) \ p2 = \text{snd } (\text{target } (w \parallel r1 \parallel r2) (p1, p2))$
proof –

have (*path* $A \ (w \parallel r1) \ p1 \wedge \text{path } B \ (w \parallel r2) \ p2$)
 $\vee (\text{target } (w \parallel r1 \parallel r2) (p1, p2) = FAIL$
 $\wedge \text{length } w > 0$
 $\wedge \text{path } A \ (\text{butlast } (w \parallel r1)) \ p1$
 $\wedge \text{path } B \ (\text{butlast } (w \parallel r2)) \ p2$
 $\wedge \text{succ } A \ (\text{last } w) (\text{target } (\text{butlast } (w \parallel r1)) p1) = \{\}$
 $\wedge \text{succ } B \ (\text{last } w) (\text{target } (\text{butlast } (w \parallel r2)) p2) \neq \{\})$
 using *productF-path*[of $w \ r1 \ r2 \ A \ B \ FAIL \ AB \ p1 \ p2$] *assms* by *blast*
 moreover have *path* $A \ (\text{butlast } (w \parallel r1)) \ p1$

```

       $\wedge \text{succ } A \text{ (last } w \text{) (target (butlast (w || r1)) } p1) = \{\}$ 
       $\wedge \text{length } w > 0 \implies \text{False}$ 
proof –
  assume  $\text{assm} : \text{path } A \text{ (butlast (w || r1)) } p1$ 
       $\wedge \text{succ } A \text{ (last } w \text{) (target (butlast (w || r1)) } p1) = \{\}$ 
       $\wedge \text{length } w > 0$ 
  obtain  $r1'$  where  $r1'\text{-def} : \text{path } A \text{ (w || } r1') \text{ } p1 \wedge \text{length } r1' = \text{length } w$ 
      using  $\text{assms}(9)$  by auto
  then have  $\text{path } A \text{ (butlast (w || } r1') \text{) } p1 \wedge \text{length (butlast } r1') = \text{length (butlast } w)$ 
      by (metis FSM.path-append-elim append-butlast-last-id butlast.simps(1) length-butlast)
  moreover have  $\text{path } A \text{ (butlast (w || } r1) \text{) } p1 \wedge \text{length (butlast } r1) = \text{length (butlast } w)$ 
      using  $\text{assm assms}(1)$  by auto
  ultimately have  $\text{butlast } r1 = \text{butlast } r1'$ 
      by (metis  $\text{assms}(1) \text{ assms}(10) \text{ butlast-zip language-state observable-path-unique } r1'\text{-def}$ )

  then have  $\text{butlast (w || } r1) = \text{butlast (w || } r1')$ 
      using  $\text{assms}(1) \text{ } r1'\text{-def}$  by simp
  moreover have  $\text{succ } A \text{ (last } w \text{) (target (butlast (w || } r1') \text{) } p1) \neq \{\}$ 
      by (metis (no-types)  $\text{assm empty-iff path-last-succ } r1'\text{-def}$ )
  ultimately show False
      using  $\text{assm}$  by auto
qed

ultimately have  $\text{paths} : (\text{path } A \text{ (w || } r1) \text{ } p1 \wedge \text{path } B \text{ (w || } r2) \text{ } p2)$ 
      by auto

show  $\text{path } A \text{ (w || } r1) \text{ } p1 \wedge \text{length } w = \text{length } r1$ 
      using  $\text{assms}(1) \text{ paths}$  by simp
show  $\text{path } B \text{ (w || } r2) \text{ } p2 \wedge \text{length } w = \text{length } r2$ 
      using  $\text{assms}(1) \text{ assms}(2) \text{ paths}$  by simp

have  $\text{length } w = 0 \implies \text{target (w || } r1 \text{ || } r2) \text{ (} p1, p2 \text{) = (} p1, p2 \text{)}$ 
      by simp
moreover have  $\text{length } w > 0 \implies \text{target (w || } r1 \text{ || } r2) \text{ (} p1, p2 \text{) = last (} r1 \text{ || } r2 \text{)}$ 
proof –
  assume  $\text{length } w > 0$ 
  moreover have  $\text{length } w = \text{length (} r1 \text{ || } r2 \text{)}$ 
      using  $\text{assms}(1) \text{ assms}(2)$  by simp
  ultimately show ?thesis
      using  $\text{target-alt-def}(2)[\text{of } w \text{ } r1 \text{ || } r2 \text{ (} p1, p2 \text{)}]$  by simp
qed

ultimately have  $\text{target (w || } r1) \text{ } p1 = \text{fst (target (w || } r1 \text{ || } r2) \text{ (} p1, p2 \text{))}$ 
       $\wedge \text{target (w || } r2) \text{ } p2 = \text{snd (target (w || } r1 \text{ || } r2) \text{ (} p1, p2 \text{))}$ 
proof (cases  $\text{length } w$ )
  case 0
    then show ?thesis by simp
  next
    case (Suc nat)
    then have  $\text{length } w > 0$  by simp

    have  $\text{target (w || } r1 \text{ || } r2) \text{ (} p1, p2 \text{) = last (} r1 \text{ || } r2 \text{)}$ 
    proof –
      have  $\text{length } w = \text{length (} r1 \text{ || } r2 \text{)}$ 
          using  $\text{assms}(1) \text{ assms}(2)$  by simp
      then show ?thesis
          using  $\langle \text{length } w > 0 \rangle \text{target-alt-def}(2)[\text{of } w \text{ } r1 \text{ || } r2 \text{ (} p1, p2 \text{)}]$  by simp
    qed
    moreover have  $\text{target (w || } r1) \text{ } p1 = \text{last } r1$ 
        using  $\langle \text{length } w > 0 \rangle \text{target-alt-def}(2)[\text{of } w \text{ } r1 \text{ } p1]$   $\text{assms}(1)$  by simp
    moreover have  $\text{target (w || } r2) \text{ } p2 = \text{last } r2$ 
        using  $\langle \text{length } w > 0 \rangle \text{target-alt-def}(2)[\text{of } w \text{ } r2 \text{ } p2]$   $\text{assms}(1) \text{ assms}(2)$  by simp
    moreover have  $\text{last (} r1 \text{ || } r2 \text{) = (last } r1, \text{last } r2 \text{)}$ 
        using  $\langle \text{length } w > 0 \rangle \text{assms}(1) \text{ assms}(2) \text{ zip-last}[\text{of } r1 \text{ } r2]$  by simp
    ultimately show ?thesis
        by simp

```

```

qed

then show target (w || r1) p1 = fst (target (w || r1 || r2) (p1,p2))
      target (w || r2) p2 = snd (target (w || r1 || r2) (p1,p2))
  by simp+
qed

```

```

lemma productF-path-unzip :
  assumes productF A B FAIL AB
  and path AB (w || tr) q
  and length tr = length w
shows path AB (w || (map fst tr || map snd tr)) q
proof -
  have map fst tr || map snd tr = tr
    by auto
  then show ?thesis
    using assms by auto
qed

```

```

lemma productF-path-io-targets :
  assumes productF A B FAIL AB
  and io-targets AB (qA,qB) w = {(pA,pB)}
  and w ∈ language-state A qA
  and w ∈ language-state B qB
  and observable A
  and observable B
  and well-formed A
  and well-formed B
  and qA ∈ nodes A
  and qB ∈ nodes B
shows pA ∈ io-targets A qA w pB ∈ io-targets B qB w
proof -
  obtain tr where tr-def : target (w || tr) (qA,qB) = (pA,pB)
    ∧ path AB (w || tr) (qA,qB)
    ∧ length w = length tr using assms(2)

  by blast
  have path-A : path A (w || map fst tr) qA ∧ length w = length (map fst tr)
    using productF-path-reverse-ob-2[of w map fst tr map snd tr A B FAIL AB qA qB]
    assms tr-def by auto
  have path-B : path B (w || map snd tr) qB ∧ length w = length (map snd tr)
    using productF-path-reverse-ob-2[of w map fst tr map snd tr A B FAIL AB qA qB]
    assms tr-def by auto

  have targets : target (w || map fst tr) qA = pA ∧ target (w || map snd tr) qB = pB
  proof (cases tr)
    case Nil
    then have qA = pA ∧ qB = pB
      using tr-def by auto
    then show ?thesis
      by (simp add: local.Nil)
  next
    case (Cons a list)
    then have last tr = (pA,pB)
      using tr-def by (simp add: tr-def FSM.target-alt-def states-alt-def)

    moreover have target (w || map fst tr) qA = last (map fst tr)
      using Cons by (simp add: FSM.target-alt-def states-alt-def tr-def)
    moreover have last (map fst tr) = fst (last tr)
      using last-map Cons by blast
  qed

```

```

moreover have target (w || map snd tr) qB = last (map snd tr)
  using Cons by (simp add: FSM.target-alt-def states-alt-def tr-def)
moreover have last (map snd tr) = snd (last tr)
  using last-map Cons by blast

ultimately show ?thesis
  by simp
qed

show pA ∈ io-targets A qA w
  using path-A targets by auto
show pB ∈ io-targets B qB w
  using path-B targets by auto
qed

lemma productF-path-io-targets-reverse :
  assumes productF A B FAIL AB
  and pA ∈ io-targets A qA w
  and pB ∈ io-targets B qB w
  and w ∈ language-state A qA
  and w ∈ language-state B qB
  and observable A
  and observable B
  and well-formed A
  and well-formed B
  and qA ∈ nodes A
  and qB ∈ nodes B
shows io-targets AB (qA,qB) w = {(pA,pB)}
proof -
  obtain trA where path A (w || trA) qA
    length w = length trA
    target (w || trA) qA = pA
  using assms(2) by auto
  obtain trB where path B (w || trB) qB
    length trA = length trB
    target (w || trB) qB = pB
  using ⟨length w = length trA⟩ assms(3) by auto

  have path AB (w || trA || trB) (qA,qB)
    length (trA || trB) = length w
  using productF-path-inclusion
    [OF ⟨length w = length trA⟩ ⟨length trA = length trB⟩ assms(1) assms(8,9) - assms(10,11)]
  by (simp add: ⟨length trA = length trB⟩ ⟨length w = length trA⟩ ⟨path A (w || trA) qA⟩
    ⟨path B (w || trB) qB⟩)+

  have target (w || trA || trB) (qA,qB) = (pA,pB)
  by (simp add: ⟨length trA = length trB⟩ ⟨length w = length trA⟩ ⟨target (w || trA) qA = pA⟩
    ⟨target (w || trB) qB = pB⟩)

  have (pA,pB) ∈ io-targets AB (qA,qB) w
  by (metis ⟨length (trA || trB) = length w⟩ ⟨path AB (w || trA || trB) (qA, qB)⟩
    ⟨target (w || trA || trB) (qA, qB) = (pA, pB)⟩ io-target-from-path)

  have observable AB
  by (metis (no-types) assms(1) assms(6) assms(7) observable-productF)

  show ?thesis
  by (meson ⟨(pA, pB) ∈ io-targets AB (qA, qB) w⟩ ⟨observable AB⟩
    observable-io-target-is-singleton)
qed

```

2.1 Sequences to failure in the product machine

A sequence to a failure for A and B reaches the fail state of any product machine of A and B with added fail state.

```

lemma fail-reachable-by-sequence-to-failure :
  assumes sequence-to-failure M1 M2 io
  and    well-formed M1
  and    well-formed M2
  and    productF M2 M1 FAIL PM
obtains p
where path PM (io||p) (initial PM) ∧ length p = length io ∧ target (io||p) (initial PM) = FAIL
proof –
  have io ≠ []
    using assms by auto
  then obtain io-init io-last where io-split[simp] : io = io-init @ [io-last]
    by (metis append-butlast-last-id)
  have io-init-inclusion : io-init ∈ language-state M1 (initial M1)
     $\wedge$  io-init ∈ language-state M2 (initial M2)
    using assms by auto

  have io-init @ [io-last] ∈ language-state M1 (initial M1)
    using assms by auto
  then obtain tr1-init tr1-last where tr1-def :
    path M1 (io-init @ [io-last] || tr1-init @ [tr1-last]) (initial M1)
     $\wedge$  length (tr1-init @ [tr1-last]) = length (io-init @ [io-last])
    by (metis append-butlast-last-id language-state-elim length-0-conv length-append-singleton
      nat.simps(3))

  then have path-init-1 : path M1 (io-init || tr1-init) (initial M1)
     $\wedge$  length tr1-init = length io-init
    by auto
  then have path M1 ([io-last] || [tr1-last]) (target (io-init || tr1-init) (initial M1))
    using tr1-def by auto
  then have succ-1 : succ M1 io-last (target (io-init || tr1-init) (initial M1)) ≠ {}
    by auto

  obtain tr2 where tr2-def : path M2 (io-init || tr2) (initial M2) ∧ length tr2 = length io-init
    using io-init-inclusion by auto
  have succ-2 : succ M2 io-last (target (io-init || tr2) (initial M2)) = {}
proof (rule ccontr)
    assume succ M2 io-last (target (io-init || tr2) (initial M2)) ≠ {}
    then obtain tr2-last where tr2-last ∈ succ M2 io-last (target (io-init || tr2) (initial M2))
      by auto
    then have path M2 ([io-last] || [tr2-last]) (target (io-init || tr2) (initial M2))
      by auto
    then have io-init @ [io-last] ∈ language-state M2 (initial M2)
      by (metis FSM.path-append language-state length-Cons length-append list.size(3) tr2-def
        zip-append)
    then show False
      using assms io-split by simp
qed

  have fail-lengths : length (io-init @ [io-last]) = length (tr2 @ [fst FAIL])
     $\wedge$  length (tr2 @ [fst FAIL]) = length (tr1-init @ [snd FAIL])
    using assms tr2-def tr1-def by auto
  then have fail-tgt : target (io-init @ [io-last] || tr2 @ [fst FAIL] || tr1-init @ [snd FAIL])
    (initial M2, initial M1) = FAIL
    by auto

  have fail-butlast-simp[simp] :
    butlast (io-init @ [io-last] || tr2 @ [fst FAIL]) = io-init || tr2
    butlast (io-init @ [io-last] || tr1-init @ [snd FAIL]) = io-init || tr1-init
    using fail-lengths by simp+

  have path M2 (butlast (io-init @ [io-last] || tr2 @ [fst FAIL])) (initial M2)
     $\wedge$  path M1 (butlast (io-init @ [io-last] || tr1-init @ [snd FAIL])) (initial M1)

```

```

    using tr1-def tr2-def by auto
  moreover have succ M2 (last (io-init @ [io-last]))
    (target (butlast (io-init @ [io-last] || tr2 @ [fst FAIL])) (initial M2)) = {}
    using succ-2 by simp
  moreover have succ M1 (last (io-init @ [io-last]))
    (target (butlast (io-init @ [io-last] || tr1-init @ [snd FAIL])) (initial M1))
    ≠ {}
    using succ-1 by simp
  moreover have initial M2 ∈ nodes M2 ∧ initial M1 ∈ nodes M1
    by auto
  ultimately have path PM (io-init @ [io-last] || tr2 @ [fst FAIL] || tr1-init @ [snd FAIL])
    (initial M2, initial M1)
    using fail-lengths fail-tgt assms path-init-1 tr2-def productF-path-forward
      [of io-init @ [io-last] tr2 @ [fst FAIL] tr1-init @ [snd FAIL] M2 M1 FAIL PM
        initial M2 initial M1 ]
    by simp

  moreover have initial PM = (initial M2, initial M1)
    using assms(4) productF-simps(4) by blast

  ultimately have
    path PM (io-init @ [io-last] || tr2 @ [fst FAIL] || tr1-init @ [snd FAIL]) (initial PM)
    ∧ length (tr2 @ [fst FAIL] || tr1-init @ [snd FAIL]) = length (io-init @ [io-last])
    ∧ target (io-init @ [io-last] || tr2 @ [fst FAIL] || tr1-init @ [snd FAIL]) (initial PM) = FAIL
    using fail-lengths fail-tgt by auto
  then show ?thesis using that
    using io-split by blast
qed

```

```

lemma fail-reachable :
  assumes ¬ M1 ≼ M2
  and well-formed M1
  and well-formed M2
  and productF M2 M1 FAIL PM
shows FAIL ∈ reachable PM (initial PM)
proof -
  obtain io where sequence-to-failure M1 M2 io
    using sequence-to-failure-ob assms by blast
  then show ?thesis
    using assms fail-reachable-by-sequence-to-failure[of M1 M2 io FAIL PM]
    by (metis FSM.reachable.reflexive FSM.reachable-target)
qed

```

```

lemma fail-reachable-ob :
  assumes ¬ M1 ≼ M2
  and well-formed M1
  and well-formed M2
  and observable M2
  and productF M2 M1 FAIL PM
obtains p
where path PM p (initial PM) target p (initial PM) = FAIL
using assms fail-reachable by (metis FSM.reachable-target-elim)

```

```

lemma fail-reachable-reverse :
  assumes well-formed M1
  and well-formed M2
  and productF M2 M1 FAIL PM
  and FAIL ∈ reachable PM (initial PM)
  and observable M2
shows ¬ M1 ≼ M2
proof -
  obtain pathF where pathF-def : path PM pathF (initial PM) ∧ target pathF (initial PM) = FAIL
    using assms by auto

```

```

let ?io = map fst pathF
let ?tr2 = map fst (map snd pathF)
let ?tr1 = map snd (map snd pathF)

have initial PM ≠ FAIL
  using assms by auto
then have pathF ≠ []
  using pathF-def by auto
moreover have initial PM = (initial M2, initial M1)
  using assms by simp
ultimately have path M2 (?io || ?tr2) (initial M2) ∧ path M1 (?io || ?tr1) (initial M1) ∨
  target (?io || ?tr2 || ?tr1) (initial M2, initial M1) = FAIL ∧
  0 < length (?io) ∧
  path M2 (butlast (?io || ?tr2)) (initial M2) ∧
  path M1 (butlast (?io || ?tr1)) (initial M1) ∧
  succ M2 (last (?io)) (target (butlast (?io || ?tr2)) (initial M2)) = {} ∧
  succ M1 (last (?io)) (target (butlast (?io || ?tr1)) (initial M1)) ≠ {}
  using productF-path-reverse[of ?io ?tr2 ?tr1 M2 M1 FAIL PM initial M2 initial M1]
  using assms pathF-def
proof -
  have f1: path PM (?io || ?tr2 || ?tr1) (initial M2, initial M1)
    by (metis (no-types) ⟨initial PM = (initial M2, initial M1)⟩ pathF-def zip-map-fst-snd)
  have f2: length (?io) = length pathF ⟶ length (?io) = length (?tr2)
    by auto
  have length (?io) = length pathF ∧ length (?tr2) = length (?tr1)
    by auto
  then show ?thesis
    using f2 f1 ⟨productF M2 M1 FAIL PM⟩ ⟨well-formed M1⟩ ⟨well-formed M2⟩ by blast
qed

moreover have ¬ (path M2 (?io || ?tr2) (initial M2) ∧ path M1 (?io || ?tr1) (initial M1))
proof (rule ccontr)
  assume ¬ ¬ (path M2 (?io || ?tr2) (initial M2) ∧
    path M1 (?io || ?tr1) (initial M1))
  then have path M2 (?io || ?tr2) (initial M2)
    by simp
  then have target (?io || ?tr2) (initial M2) ∈ nodes M2
    by auto
  then have target (?io || ?tr2) (initial M2) ≠ fst FAIL
    using assms by auto
  then show False
    using pathF-def
  proof -
    have FAIL = target (map fst pathF || map fst (map snd pathF) || map snd (map snd pathF))
      (initial M2, initial M1)
    by (metis (no-types) ⟨initial PM = (initial M2, initial M1)⟩
      ⟨path PM pathF (initial PM) ∧ target pathF (initial PM) = FAIL⟩ zip-map-fst-snd)
    then show ?thesis
      using ⟨target (map fst pathF || map fst (map snd pathF)) (initial M2) ≠ fst FAIL⟩ by auto
  qed
qed

ultimately have fail-prop :
  target (?io || ?tr2 || ?tr1) (initial M2, initial M1) = FAIL ∧
  0 < length (?io) ∧
  path M2 (butlast (?io || ?tr2)) (initial M2) ∧
  path M1 (butlast (?io || ?tr1)) (initial M1) ∧
  succ M2 (last (?io)) (target (butlast (?io || ?tr2)) (initial M2)) = {} ∧
  succ M1 (last (?io)) (target (butlast (?io || ?tr1)) (initial M1)) ≠ {}
  by auto

then have ?io ∈ language-state M1 (initial M1)
proof -
  have f1: path PM (map fst pathF || map fst (map snd pathF) || map snd (map snd pathF))
    (initial M2, initial M1)

```



```

  by (metis (no-types) ⟨initial PM = (initial M2, initial M1)⟩ pathF-def zip-map-fst-snd)
have ∀ c f. c ≠ initial (f::('a, 'b, 'c) FSM) ∨ c ∈ nodes f
  by blast
then show ?thesis
  using f1 by (metis (no-types) assms(1) assms(2) assms(3) language-state length-map
    productF-path-reverse-ob)
qed

moreover have ?io ∉ language-state M2 (initial M2)
proof (rule ccontr)
  assume ¬ ?io ∉ language-state M2 (initial M2)
  then have assm : ?io ∈ language-state M2 (initial M2)
    by simp
  then obtain tr2' where tr2'-def : path M2 (?io || tr2') (initial M2)
    ∧ length ?io = length tr2'

    by auto
  then obtain tr2'-init tr2'-last where tr2'-split : tr2' = tr2'-init @ [tr2'-last]
    using fail-prop by (metis ⟨pathF ≠ []⟩ append-butlast-last-id length-0-conv map-is-Nil-conv)

have butlast ?io ∈ language-state M2 (initial M2)
  using fail-prop by auto
then have {t. path M2 (butlast ?io || t) (initial M2) ∧ length (butlast ?io) = length t}
  = {butlast ?tr2}
  using assms(5) observable-path-unique[of butlast ?io M2 initial M2 butlast ?tr2]
  fail-prop by fastforce
then have ∀ t ts . path M2 ((butlast ?io) @ [last ?io] || ts @ [t]) (initial M2)
  ∧ length ((butlast ?io) @ [last ?io]) = length (ts @ [t])
  → ts = butlast ?tr2
  by (metis (no-types, lifting) FSM.path-append-elim
    ⟨butlast (map fst pathF) ∈ language-state M2 (initial M2)⟩ assms(5) butlast-snoc
    butlast-zip fail-prop length-butlast length-map observable-path-unique zip-append)

then have tr2'-init = butlast ?tr2
  using tr2'-def tr2'-split ⟨pathF ≠ []⟩ by auto
then have path M2 ((butlast ?io) @ [last ?io] || (butlast ?tr2) @ [tr2'-last]) (initial M2)
  ∧ length ((butlast ?io) @ [last ?io]) = length ((butlast ?tr2) @ [tr2'-last])
  using tr2'-def fail-prop tr2'-split by auto
then have path M2 ([last ?io] || [tr2'-last])
  (target (butlast ?io || butlast ?tr2) (initial M2))
  ∧ length [last ?io] = length [tr2'-last]
  by auto
then have tr2'-last ∈ succ M2 (last (?io)) (target (butlast (?io || ?tr2)) (initial M2))
  by auto
then show False
  using fail-prop by auto
qed

ultimately show ?thesis by auto
qed

```

```

lemma fail-reachable-iff[iff] :
  assumes well-formed M1
  and well-formed M2
  and productF M2 M1 FAIL PM
  and observable M2
shows FAIL ∈ reachable PM (initial PM) ⟷ ¬ M1 ≼ M2
proof
  show FAIL ∈ reachable PM (initial PM) ⟹ ¬ M1 ≼ M2
    using assms fail-reachable-reverse by blast
  show ¬ M1 ≼ M2 ⟹ FAIL ∈ reachable PM (initial PM)
    using assms fail-reachable by blast
qed

```

```

lemma reaching-path-length :
  assumes productF A B FAIL AB
  and well-formed A
  and well-formed B
  and q2 ∈ reachable AB q1
  and q2 ≠ FAIL
  and q1 ∈ nodes AB
shows ∃ p . path AB p q1 ∧ target p q1 = q2 ∧ length p < card (nodes A) * card (nodes B)
proof -
  obtain p where p-def : path AB p q1 ∧ target p q1 = q2 ∧ distinct (q1 # states p q1)
  using assms reaching-path-without-repetition by (metis well-formed-productF)

  have FAIL ∉ set (q1 # states p q1)
  proof(cases p)
    case Nil
    then have q1 = q2
      using p-def by auto
    then have q1 ≠ FAIL
      using assms by auto
    then show ?thesis
      using Nil by auto
  next
    case (Cons a list)
    have FAIL ∉ set (butlast (q1 # states p q1))
    proof (rule ccontr)
      assume assm : ¬ FAIL ∉ set (butlast (q1 # states p q1))
      then obtain i where i-def : i < length (butlast (q1 # states p q1))
        ∧ butlast (q1 # states p q1) ! i = FAIL
        by (metis distinct-Ex1 distinct-butlast p-def)
      then have i < Suc (length (butlast p))
        using local.Cons by fastforce
      then have i < length p
        by (metis append-butlast-last-id length-append-singleton list.simps(3) local.Cons)

      then have butlast (q1 # states p q1) ! i = target (take i p) q1
      using i-def assm proof (induction i)
        case 0
        then show ?case by auto
      next
        case (Suc i)
        then show ?case by (metis Suc-lessD nth-Cons-Suc nth-butlast states-target-index)
      qed

      then have target (take i p) q1 = FAIL using i-def by auto
      moreover have ∀ k . k < length p ⟶ target (take k p) q1 ≠ FAIL
        using no-prefix-targets-FAIL[of A B FAIL AB p q1] assms p-def by auto
      ultimately show False
        by (metis assms(5) linorder-neqE-nat nat-less-le order-refl p-def take-all)
      qed

      moreover have last (q1 # states p q1) ≠ FAIL
        using assms(5) local.Cons p-def transition-system-universal.target-alt-def by force
      ultimately show ?thesis
        by (metis (no-types, lifting) UnE append-butlast-last-id list.set(1) list.set(2)
          list.simps(3) set-append singletonD)
    qed

  moreover have set (q1 # states p q1) ⊆ nodes AB
    using assms by (metis FSM.nodes-states insert-subset list.simps(15) p-def)
  ultimately have states-subset : set (q1 # states p q1) ⊆ nodes A × nodes B
    using nodes-productF assms by blast

  have finite-nodes : finite (nodes A × nodes B)

```

```

    using assms(2) assms(3) by auto
  have length p ≤ length (states p q1)
    by simp
  then have length p < card (nodes A) * card (nodes B)
    by (metis (no-types) finite-nodes states-subset card-cartesian-product card-mono distinct-card
        impossible-Cons less-le-trans not-less p-def)

  then show ?thesis
    using p-def by blast
qed

lemma reaching-path-fail-length :
  assumes productF A B FAIL AB
  and well-formed A
  and well-formed B
  and q2 ∈ reachable AB q1
  and q1 ∈ nodes AB
shows ∃ p . path AB p q1 ∧ target p q1 = q2 ∧ length p ≤ card (nodes A) * card (nodes B)
proof (cases q2 = FAIL)
  case True
  then have q2-def : q2 = FAIL
    by simp
  then show ?thesis
  proof (cases q1 = q2)
    case True
    then show ?thesis by auto
  next
    case False
    then obtain px where px-def : path AB px q1 ∧ target px q1 = q2
      using assms by auto
    then have px-nonempty : px ≠ []
      using q2-def False by auto
    let ?qx = target (butlast px) q1
    have ?qx ∈ reachable AB q1
      using px-def px-nonempty
      by (metis FSM.path-append-elim FSM.reachable.reflexive FSM.reachable-target
          append-butlast-last-id)
    moreover have ?qx ≠ FAIL
      using False q2-def assms
      by (metis One-nat-def Suc-pred butlast-conv-take length-greater-0-conv lessI
          no-prefix-targets-FAIL px-def px-nonempty)
    ultimately obtain px' where px'-def : path AB px' q1
      ∧ target px' q1 = ?qx
      ∧ length px' < card (nodes A) * card (nodes B)
      using assms reaching-path-length[of A B FAIL AB ?qx q1] by blast

    have px-split : path AB ((butlast px) @ [last px]) q1
      ∧ target ((butlast px) @ [last px]) q1 = q2
      using px-def px-nonempty by auto
    then have path AB [last px] ?qx ∧ target [last px] ?qx = q2
      using px-nonempty
    proof -
      have target [last px] (target (butlast px) q1) = q2
        using px-split by force
      then show ?thesis
        using px-split by blast
    qed

    then have path AB (px' @ [last px]) q1 ∧ target (px' @ [last px]) q1 = q2
      using px'-def by auto
    moreover have length (px' @ [last px]) ≤ card (nodes A) * card (nodes B)
      using px'-def by auto
    ultimately show ?thesis
      by blast
  end
end

```

```

qed
next
case False
then show ?thesis
  using assms reaching-path-length by (metis less-imp-le)
qed

lemma productF-language :
  assumes productF A B FAIL AB
  and well-formed A
  and well-formed B
  and  $io \in L A \cap L B$ 
shows  $io \in L AB$ 
proof -
  obtain trA trB where tr-def :  $path A (io \parallel trA) (initial A) \wedge length\ io = length\ trA$ 
     $path B (io \parallel trB) (initial B) \wedge length\ io = length\ trB$ 
  using assms by blast
  then have  $path AB (io \parallel trA \parallel trB) (initial A, initial B)$ 
  using assms by (metis FSM.nodes.initial productF-path-inclusion)
  then show ?thesis
    using tr-def by (metis assms(1) language-state length-zip min.idem productF-simps(4))
qed

lemma productF-language-state-intermediate :
  assumes  $vs @ xs \in L M2 \cap L M1$ 
  and productF M2 M1 FAIL PM
  and observable M2
  and well-formed M2
  and observable M1
  and well-formed M1
obtains q2 q1 tr
where io-targets PM (initial PM) vs = {(q2,q1)}
   $path PM (xs \parallel tr) (q2,q1)$ 
   $length\ xs = length\ tr$ 
proof -
  have  $vs @ xs \in L PM$ 
  using productF-language[OF assms(2,4,6,1)] by simp
  then obtain trVX where  $path PM (vs @ xs \parallel trVX) (initial PM) \wedge length\ trVX = length\ (vs @ xs)$ 
  by auto
  then have tgt-VX : io-targets PM (initial PM) (vs @ xs) = {target (vs @ xs \parallel trVX) (initial PM)}
  by (metis assms(2) assms(3) assms(5) obs-target-is-io-targets observable-productF)

  have  $vs \in L PM$  using  $\langle vs @ xs \in L PM \rangle$ 
  by (meson language-state-prefix)
  then obtain trV where  $path PM (vs \parallel trV) (initial PM) \wedge length\ trV = length\ vs$ 
  by auto
  then have tgt-V : io-targets PM (initial PM) vs = {target (vs \parallel trV) (initial PM)}
  by (metis assms(2) assms(3) assms(5) obs-target-is-io-targets observable-productF)

  let ?q2 = fst (target (vs \parallel trV) (initial PM))
  let ?q1 = snd (target (vs \parallel trV) (initial PM))

  have observable PM
  by (meson assms(2,3,5) observable-productF)

  have io-targets PM (?q2,?q1) xs = {target (vs @ xs \parallel trVX) (initial PM)}
  using observable-io-targets-split[OF \observable PM\ tgt-VX tgt-V] by simp

  then have  $xs \in language-state PM (?q2, ?q1)$ 
  by auto

  then obtain tr where  $path PM (xs \parallel tr) (?q2, ?q1)$ 
     $length\ xs = length\ tr$ 
  by auto

```

```

then show ?thesis
  by (metis prod.collapse tgt-V that)
qed

```

```

lemma sequence-to-failure-reaches-FAIL :
  assumes sequence-to-failure M1 M2 io
  and OFSM M1
  and OFSM M2
  and productF M2 M1 FAIL PM
shows FAIL ∈ io-targets PM (initial PM) io
proof -
  obtain p where path PM (io || p) (initial PM)
    ∧ length p = length io
    ∧ target (io || p) (initial PM) = FAIL
  using fail-reachable-by-sequence-to-failure[OF assms(1)]
  using assms(2) assms(3) assms(4) by blast
then show ?thesis
  by auto
qed

```

```

lemma sequence-to-failure-reaches-FAIL-ob :
  assumes sequence-to-failure M1 M2 io
  and OFSM M1
  and OFSM M2
  and productF M2 M1 FAIL PM
shows io-targets PM (initial PM) io = {FAIL}
proof -
  have FAIL ∈ io-targets PM (initial PM) io
    using sequence-to-failure-reaches-FAIL[OF assms(1-4)] by assumption
  have observable PM
    by (meson assms(2) assms(3) assms(4) observable-productF)
  show ?thesis
    by (meson ⟨FAIL ∈ io-targets PM (initial PM) io⟩ ⟨observable PM⟩
        observable-io-target-is-singleton)
qed

```

```

lemma sequence-to-failure-alt-def :
  assumes io-targets PM (initial PM) io = {FAIL}
  and OFSM M1
  and OFSM M2
  and productF M2 M1 FAIL PM
shows sequence-to-failure M1 M2 io
proof -
  obtain p where path PM (io || p) (initial PM)
    length p = length io
    target (io || p) (initial PM) = FAIL
  using assms(1) by (metis io-targets-elim singletonI)
  have io ≠ []
  proof
    assume io = []
    then have io-targets PM (initial PM) io = {initial PM}
      by auto
    moreover have initial PM ≠ FAIL
  proof -
    have initial PM = (initial M2, initial M1)
      using assms(4) by auto
    then have initial PM ∈ (nodes M2 × nodes M1)
      by (simp add: FSM.nodes.initial)
    moreover have FAIL ∉ (nodes M2 × nodes M1)
      using assms(4) by auto
    ultimately show ?thesis
      by auto
  qed
  qed

```

```

qed
ultimately show False
  using assms(1) by blast
qed
then have 0 < length io
  by blast

have target (butlast (io||p)) (initial PM) ≠ FAIL
  using no-prefix-targets-FAIL[OF assms(4) ⟨path PM (io || p) (initial PM)⟩, of (length io) - 1]
  by (metis (no-types, lifting) ⟨0 < length io⟩ ⟨length p = length io⟩ butlast-conv-take
    diff-less length-map less-numeral-extra(1) map-fst-zip)
have target (butlast (io||p)) (initial PM) ∈ nodes PM
  by (metis FSM.nodes.initial FSM.nodes-target FSM.path-append-elim
    ⟨path PM (io || p) (initial PM)⟩ append-butlast-last-id butlast.simps(1))
moreover have nodes PM ⊆ insert FAIL (nodes M2 × nodes M1)
  using nodes-productF[OF - - assms(4)] assms(2) assms(3) by linarith
ultimately have target (butlast (io||p)) (initial PM) ∈ insert FAIL (nodes M2 × nodes M1)
  by blast

have target (butlast (io||p)) (initial PM) ∈ (nodes M2 × nodes M1)
  using ⟨target (butlast (io || p)) (initial PM) ∈ insert FAIL (nodes M2 × nodes M1)⟩
    ⟨target (butlast (io || p)) (initial PM) ≠ FAIL⟩
  by blast
then obtain s2 s1 where target (butlast (io||p)) (initial PM) = (s2,s1)
  s2 ∈ nodes M2 s1 ∈ nodes M1
  by blast

have length (butlast io) = length (map fst (butlast p))
  length (map fst (butlast p)) = length (map snd (butlast p))
  by (simp add: ⟨length p = length io⟩+)

have path PM (butlast (io||p)) (initial PM)
  by (metis FSM.path-append-elim ⟨path PM (io || p) (initial PM)⟩ append-butlast-last-id
    butlast.simps(1))
then have path PM ((butlast io) || (map fst (butlast p)) || (map snd (butlast p)))
  (initial M2, initial M1)
  using ⟨length p = length io⟩ assms(4) by auto
have target (butlast io || map fst (butlast p) || map snd (butlast p)) (initial M2, initial M1)
  ≠ FAIL
  using ⟨length p = length io⟩ ⟨target (butlast (io || p)) (initial PM) ≠ FAIL⟩ assms(4)
  by auto

have path M2 (butlast io || map fst (butlast p)) (initial M2) ∧
  path M1 (butlast io || map snd (butlast p)) (initial M1) ∨
  target (butlast io || map fst (butlast p) || map snd (butlast p)) (initial M2, initial M1)
  = FAIL
  using productF-path-reverse
    [OF ⟨length (butlast io) = length (map fst (butlast p))⟩
      ⟨length (map fst (butlast p)) = length (map snd (butlast p))⟩
      assms(4) - -
      ⟨path PM ((butlast io) || (map fst (butlast p)) || (map snd (butlast p)))
        (initial M2, initial M1)⟩ - -]
  using assms(2) assms(3) by auto
then have path M2 (butlast io || map fst (butlast p)) (initial M2)
  path M1 (butlast io || map snd (butlast p)) (initial M1)
  using ⟨target (butlast io || map fst (butlast p) || map snd (butlast p))
    (initial M2, initial M1) ≠ FAIL⟩
  by auto

then have butlast io ∈ L M2 ∩ L M1
  using ⟨length (butlast io) = length (map fst (butlast p))⟩ by auto

have path PM (io || map fst p || map snd p) (initial M2, initial M1)
  using ⟨path PM (io || p) (initial PM)⟩ assms(4) by auto
have length io = length (map fst p)
  length (map fst p) = length (map snd p)

```

```

by (simp add: ⟨length p = length io⟩)+

obtain p1' where path M1 (io || p1') (initial M1) ∧ length io = length p1'
using productF-path-reverse-ob
  [OF ⟨length io = length (map fst p)⟩
    ⟨length (map fst p) = length (map snd p)⟩ assms(4) - -
    ⟨path PM (io || map fst p || map snd p) (initial M2, initial M1)⟩]
using assms(2) assms(3) by blast
then have io ∈ L M1
by auto

moreover have io ∉ L M2
proof
assume io ∈ L M2 — only possible if io does not target FAIL
then obtain p2' where path M2 (io || p2') (initial M2) length io = length p2'
by auto
then have length p2' = length p1'
using ⟨path M1 (io || p1') (initial M1) ∧ length io = length p1'⟩
by auto

have path PM (io || p2' || p1') (initial M2, initial M1)
using productF-path-inclusion[OF ⟨length io = length p2'⟩ ⟨length p2' = length p1'⟩ assms(4),
  of initial M2 initial M1]
  ⟨path M1 (io || p1') (initial M1) ∧ length io = length p1'⟩
  ⟨path M2 (io || p2') (initial M2)⟩ assms(2) assms(3)
by blast

have target (io || p2' || p1') (initial M2, initial M1) ∈ (nodes M2 × nodes M1)
using ⟨length io = length p2'⟩ ⟨path M1 (io || p1') (initial M1) ∧ length io = length p1'⟩
  ⟨path M2 (io || p2') (initial M2)⟩
by auto
moreover have FAIL ∉ (nodes M2 × nodes M1)
using assms(4) by auto
ultimately have target (io || p2' || p1') (initial M2, initial M1) ≠ FAIL
by blast

have length io = length (p2' || p1')
by (simp add: ⟨length io = length p2'⟩ ⟨length p2' = length p1'⟩)
have target (io || p2' || p1') (initial M2, initial M1)
  ∈ io-targets PM (initial M2, initial M1) io
using ⟨path PM (io || p2' || p1') (initial M2, initial M1)⟩ ⟨length io = length (p2' || p1')⟩
unfolding io-targets.simps by blast

have io-targets PM (initial PM) io ≠ {FAIL}
using ⟨target (io || p2' || p1') (initial M2, initial M1)
  ∈ io-targets PM (initial M2, initial M1) io⟩
  ⟨target (io || p2' || p1') (initial M2, initial M1) ≠ FAIL⟩ assms(4)
by auto
then show False
using assms(1) by blast
qed

ultimately have io ∈ L M1 − L M2
by blast

show sequence-to-failure M1 M2 io
using ⟨butlast io ∈ L M2 ∩ L M1⟩ ⟨io ∈ L M1 − L M2⟩ by auto
qed

end
theory ATC
imports ../FSM/FSM
begin

```

3 Adaptive test cases

Adaptive test cases (ATCs) are tree-like structures that label nodes with inputs and edges with outputs such that applying an ATC to some FSM is performed by applying the label of its root node and then applying the ATC connected to the root node by an edge labeled with the observed output of the FSM. The result of such an application is here called an ATC-reaction.

ATCs are here modelled to have edges for every possible output from each non-leaf node. This is not a restriction on the definition of ATCs by Hierons [2] as a missing edge can be expressed by an edge to a leaf.

datatype (*'in*, *'out*) *ATC* = *Leaf* | *Node 'in 'out* \Rightarrow (*'in*, *'out*) *ATC*

inductive *atc-reaction* :: (*'in*, *'out*, *'state*) *FSM* \Rightarrow *'state* \Rightarrow (*'in*, *'out*) *ATC*
 \Rightarrow (*'in* \times *'out*) *list* \Rightarrow *bool*

where

leaf[*intro!*]: *atc-reaction* *M* *q1* *Leaf* [] |

node[*intro!*]: *q2* \in *succ* *M* (*x*,*y*) *q1*

\Rightarrow *atc-reaction* *M* *q2* (*f y*) *io*

\Rightarrow *atc-reaction* *M* *q1* (*Node* *x f*) ((*x*,*y*)#*io*)

inductive-cases *leaf-elim*[*elim!*] : *atc-reaction* *M* *q1* *Leaf* []

inductive-cases *node-elim*[*elim!*] : *atc-reaction* *M* *q1* (*Node* *x f*) ((*x*,*y*)#*io*)

3.1 Properties of ATC-reactions

lemma *atc-reaction-empty*[*simp*] :

assumes *atc-reaction* *M* *q* *t* []

shows *t* = *Leaf*

using *assms atc-reaction.simps* **by** *force*

lemma *atc-reaction-nonempty-no-leaf* :

assumes *atc-reaction* *M* *q* *t* (*Cons* *a* *io*)

shows *t* \neq *Leaf*

using *assms*

proof –

have $\bigwedge f c a ps. \neg \text{atc-reaction } f (c::'c) (a::('a, 'b) \text{ ATC}) ps \vee a \neq \text{Leaf} \vee a \neq \text{Leaf} \vee ps = []$

using *atc-reaction.simps* **by** *fastforce*

then show *?thesis*

using *assms* **by** *blast*

qed

lemma *atc-reaction-nonempty*[*elim*] :

assumes *atc-reaction* *M* *q1* *t* (*Cons* (*x*,*y*) *io*)

obtains *q2* *f*

where *t* = *Node* *x f* *q2* \in *succ* *M* (*x*,*y*) *q1* *atc-reaction* *M* *q2* (*f y*) *io*

proof –

obtain *x2* *f* **where** *t* = *Node* *x2* *f*

using *assms* **by** (*metis* *ATC.exhaust atc-reaction-nonempty-no-leaf*)

moreover have *x* = *x2*

using *assms* *calculation atc-reaction.cases* **by** *fastforce*

ultimately show *?thesis*

using *assms* **using** *that* **by** *blast*

qed

lemma *atc-reaction-path-ex* :

assumes *atc-reaction* *M* *q1* *t* *io*

shows $\exists tr. \text{path } M (io \parallel tr) q1 \wedge \text{length } io = \text{length } tr$

using *assms* **proof** (*induction* *io* *arbitrary*: *q1* *t* *rule*: *list.induct*)

case *Nil*

then show *?case* **by** (*simp* *add*: *FSM.nil*)

next

case (*Cons* *io-hd* *io-tl*)

then obtain *x y* **where** *io-hd-def* : *io-hd* = (*x*,*y*)

by (*meson* *surj-pair*)

then obtain *f* **where** *f-def* : *t* = (*Node* *x f*)

using *Cons atc-reaction-nonempty* **by** *metis*

then obtain *q2* **where** *q2-def* : *q2* \in *succ* *M* (*x*,*y*) *q1* *atc-reaction* *M* *q2* (*f y*) *io-tl*


```

    using Cons io-hd-def atc-reaction-nonempty by auto
  then obtain tr-tl where tr-tl-def : path M (io-tl || tr-tl) q2 length io-tl = length tr-tl
    using Cons.IH[of q2 f y] by blast
  then have path M (io-hd # io-tl || q2 # tr-tl) q1
    using Cons q2-def by (simp add: FSM.path.intros(2) io-hd-def)
  then show ?case using tr-tl-def by fastforce
qed

```

```

lemma atc-reaction-path[elim] :
  assumes atc-reaction M q1 t io
obtains tr
  where path M (io || tr) q1 length io = length tr
by (meson assms atc-reaction-path-ex)

```

3.2 Applicability

An ATC can be applied to an FSM if each node-label is contained in the input alphabet of the FSM.

```

inductive subtest :: ('in, 'out) ATC  $\Rightarrow$  ('in, 'out) ATC  $\Rightarrow$  bool where
  t  $\in$  range f  $\implies$  subtest t (Node x f)

```

```

lemma accp-subtest : Wellfounded.accp subtest t
proof (induction t)
  case Leaf
  then show ?case by (meson ATC.distinct(1) accp.simps subtest.cases)
next
  case (Node x f)
  have IH: Wellfounded.accp subtest t if t  $\in$  range f for t
    using Node[of t] and that by (auto simp: eq-commute)
  show ?case by (rule accpI) (auto intro: IH elim!: subtest.cases)
qed

```

```

definition subtest-rel where subtest-rel = {(t, Node x f) | f x t. t  $\in$  range f}

```

```

lemma subtest-rel-altdef: subtest-rel = {(s, t) | s t. subtest s t}
by (auto simp: subtest-rel-def subtest.simps)

```

```

lemma subtest-relI [intro]: t  $\in$  range f  $\implies$  (t, Node x f)  $\in$  subtest-rel
by (simp add: subtest-rel-def)

```

```

lemma subtest-relI' [intro]: t = f y  $\implies$  (t, Node x f)  $\in$  subtest-rel
by (auto simp: subtest-rel-def ran-def)

```

```

lemma wf-subtest-rel [simp, intro]: wf subtest-rel
  using accp-subtest unfolding subtest-rel-altdef accp-eq-acc wf-iff-acc
  by auto

```

```

function inputs-atc :: ('a, 'b) ATC  $\Rightarrow$  'a set where
  inputs-atc Leaf = {} |
  inputs-atc (Node x f) = insert x ( $\bigcup$  (image inputs-atc (range f)))
by pat-completeness auto
termination by (relation subtest-rel) auto

```

```

fun applicable :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in, 'out) ATC  $\Rightarrow$  bool where
  applicable M t = (inputs-atc t  $\subseteq$  inputs M)

```

```

fun applicable-set :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in, 'out) ATC set  $\Rightarrow$  bool where
  applicable-set M  $\Omega$  = ( $\forall$  t  $\in$   $\Omega$  . applicable M t)

```

```

lemma applicable-subtest :
  assumes applicable M (Node x f)
shows applicable M (f y)
using assms inputs-atc.simps
by (simp add: Sup-le-iff)

```

3.3 Application function IO

Function IO collects all ATC-reactions of some FSM to some ATC.

```
fun IO :: ('in, 'out, 'state) FSM  $\Rightarrow$  'state  $\Rightarrow$  ('in, 'out) ATC  $\Rightarrow$  ('in  $\times$  'out) list set where
  IO M q t = { tr . atc-reaction M q t tr }
```

```
fun IO-set :: ('in, 'out, 'state) FSM  $\Rightarrow$  'state  $\Rightarrow$  ('in, 'out) ATC set  $\Rightarrow$  ('in  $\times$  'out) list set
where
  IO-set M q  $\Omega$  =  $\bigcup$  { IO M q t | t . t  $\in$   $\Omega$  }
```

```
lemma IO-language : IO M q t  $\subseteq$  language-state M q
by (metis atc-reaction-path IO.elims language-state mem-Collect-eq subsetI)
```

```
lemma IO-leaf[simp] : IO M q Leaf = {[]}
```

proof

```
  show IO M q Leaf  $\subseteq$  {[]}
```

```
  proof (rule ccontr)
```

```
    assume assm :  $\neg$  IO M q Leaf  $\subseteq$  {[]}
```

```
    then obtain io-hd io-tl where elem-ex : Cons io-hd io-tl  $\in$  IO M q Leaf
```

```
      by (metis (no-types, opaque-lifting) insertI1 neq-Nil-conv subset-eq)
```

```
    then show False
```

```
      using atc-reaction-nonempty-no-leaf assm by (metis IO.simps mem-Collect-eq)
```

```
  qed
```

next

```
  show {[]}  $\subseteq$  IO M q Leaf by auto
```

qed

```
lemma IO-applicable-nonempty :
```

```
  assumes applicable M t
```

```
  and completely-specified M
```

```
  and q1  $\in$  nodes M
```

```
  shows IO M q1 t  $\neq$  {}
```

```
using assms proof (induction t arbitrary: q1)
```

```
  case Leaf
```

```
    then show ?case by auto
```

next

```
  case (Node x f)
```

```
    then have x  $\in$  inputs M by auto
```

```
    then obtain y q2 where x-appl : q2  $\in$  succ M (x, y) q1
```

```
      using Node unfolding completely-specified.simps by blast
```

```
    then have applicable M (f y)
```

```
      using applicable-subtest Node by metis
```

```
    moreover have q2  $\in$  nodes M
```

```
      using Node(4)  $\langle$  q2  $\in$  succ M (x, y) q1  $\rangle$  FSM.nodes.intros(2)[of q1 M ((x,y),q2)] by auto
```

```
    ultimately have IO M q2 (f y)  $\neq$  {}
```

```
      using Node by auto
```

```
    then show ?case unfolding IO.simps
```

```
      using x-appl by blast
```

qed

```
lemma IO-in-language :
```

```
  IO M q t  $\subseteq$  LS M q
```

```
  unfolding IO.simps by blast
```

```
lemma IO-set-in-language :
```

```
  IO-set M q  $\Omega$   $\subseteq$  LS M q
```

```
  using IO-in-language[of M q] unfolding IO-set.simps by blast
```

3.4 R-distinguishability

A non-empty ATC r-distinguishes two states of some FSM if there exists no shared ATC-reaction.

```
fun r-dist :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in, 'out) ATC  $\Rightarrow$  'state  $\Rightarrow$  'state  $\Rightarrow$  bool where
  r-dist M t s1 s2 = (t  $\neq$  Leaf  $\wedge$  IO M s1 t  $\cap$  IO M s2 t = {})
```

```

fun r-dist-set :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in, 'out) ATC set  $\Rightarrow$  'state  $\Rightarrow$  'state  $\Rightarrow$  bool where
r-dist-set M T s1 s2 = ( $\exists$  t  $\in$  T . r-dist M t s1 s2)

```

```

lemma r-dist-dist :
  assumes applicable M t
  and    completely-specified M
  and    r-dist M t q1 q2
  and    q1  $\in$  nodes M
shows   q1  $\neq$  q2
proof (rule ccontr)
  assume  $\neg$ (q1  $\neq$  q2)
  then have q1 = q2
  by simp
  then have IO M q1 t = {}
  using assms by simp
  moreover have IO M q1 t  $\neq$  {}
  using assms IO-applicable-nonempty by auto
  ultimately show False
  by simp
qed

```

```

lemma r-dist-set-dist :
  assumes applicable-set M  $\Omega$ 
  and    completely-specified M
  and    r-dist-set M  $\Omega$  q1 q2
  and    q1  $\in$  nodes M
shows   q1  $\neq$  q2
using assms r-dist-dist by (metis applicable-set.elims(2) r-dist-set.elims(2))

```

```

lemma r-dist-set-dist-disjoint :
  assumes applicable-set M  $\Omega$ 
  and    completely-specified M
  and     $\forall$  t1  $\in$  T1 .  $\forall$  t2  $\in$  T2 . r-dist-set M  $\Omega$  t1 t2
  and    T1  $\subseteq$  nodes M
shows   T1  $\cap$  T2 = {}
  by (metis assms disjoint-iff-not-equal r-dist-set-dist subsetCE)

```

3.5 Response sets

The following functions calculate the sets of all ATC-reactions observed by applying some set of ATCs on every state reached in some FSM using a given set of IO-sequences.

```

fun B :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in * 'out) list  $\Rightarrow$  ('in, 'out) ATC set
   $\Rightarrow$  ('in * 'out) list set where
  B M io  $\Omega$  =  $\bigcup$  (image ( $\lambda$  s . IO-set M s  $\Omega$ ) (io-targets M (initial M) io))

```

```

fun D :: ('in, 'out, 'state) FSM  $\Rightarrow$  'in list set  $\Rightarrow$  ('in, 'out) ATC set
   $\Rightarrow$  ('in * 'out) list set set where
  D M ISeqs  $\Omega$  = image ( $\lambda$  io . B M io  $\Omega$ ) (LSin M (initial M) ISeqs)

```

```

fun append-io-B :: ('in, 'out, 'state) FSM  $\Rightarrow$  ('in * 'out) list  $\Rightarrow$  ('in, 'out) ATC set
   $\Rightarrow$  ('in * 'out) list set where
  append-io-B M io  $\Omega$  = { io@res | res . res  $\in$  B M io  $\Omega$  }

```

```

lemma B-dist' :
  assumes df: B M io1  $\Omega \neq$  B M io2  $\Omega$ 
shows   (io-targets M (initial M) io1)  $\neq$  (io-targets M (initial M) io2)
using assms by force

```

```

lemma B-dist :

```

assumes $io\text{-targets } M \text{ (initial } M) \text{ } io1 = \{q1\}$
and $io\text{-targets } M \text{ (initial } M) \text{ } io2 = \{q2\}$
and $B \text{ } M \text{ } io1 \text{ } \Omega \neq B \text{ } M \text{ } io2 \text{ } \Omega$
shows $q1 \neq q2$
using *assms* **by** *force*

lemma *D-bound* :

assumes *wf*: *well-formed* M
and *ob*: *observable* M
and *fi*: *finite* $ISeqs$
shows $finite \text{ (} D \text{ } M \text{ } ISeqs \text{ } \Omega \text{) } card \text{ (} D \text{ } M \text{ } ISeqs \text{ } \Omega \text{) } \leq card \text{ (nodes } M \text{)}$
proof –
have $D \text{ } M \text{ } ISeqs \text{ } \Omega \subseteq image \text{ (} \lambda s . IO\text{-set } M \text{ } s \text{ } \Omega \text{) (nodes } M \text{)}$
proof
fix RS **assume** $RS\text{-def} : RS \in D \text{ } M \text{ } ISeqs \text{ } \Omega$
then obtain $xs \text{ } ys$ **where** $RS\text{-tr} : RS = B \text{ } M \text{ (} xs \parallel ys \text{) } \Omega$
 $(xs \in ISeqs \wedge length \text{ } xs = length \text{ } ys$
 $\wedge (xs \parallel ys) \in language\text{-state } M \text{ (initial } M \text{))}$
by *auto*
then obtain qx **where** $qx\text{-def} : io\text{-targets } M \text{ (initial } M) \text{ (} xs \parallel ys \text{) } = \{ qx \}$
by (*meson* $io\text{-targets-observable-singleton-ex } ob$)
then have $RS = IO\text{-set } M \text{ } qx \text{ } \Omega$
using $RS\text{-tr}$ **by** *auto*
moreover have $qx \in nodes \text{ } M$
by (*metis* $FSM.nodes.initial \text{ } io\text{-targets-nodes } qx\text{-def } singletonI$)
ultimately show $RS \in image \text{ (} \lambda s . IO\text{-set } M \text{ } s \text{ } \Omega \text{) (nodes } M \text{)}$
by *auto*
qed
moreover have $finite \text{ (nodes } M \text{)}$
using *assms* **by** *auto*
ultimately show $finite \text{ (} D \text{ } M \text{ } ISeqs \text{ } \Omega \text{) } card \text{ (} D \text{ } M \text{ } ISeqs \text{ } \Omega \text{) } \leq card \text{ (nodes } M \text{)}$
by (*meson* $finite\text{-imageI } infinite\text{-super } surj\text{-card-le}$)
qed

lemma *append-io-B-in-language* :

$append\text{-io-B } M \text{ } io \text{ } \Omega \subseteq L \text{ } M$
proof
fix x **assume** $x \in append\text{-io-B } M \text{ } io \text{ } \Omega$
then obtain res **where** $x = io@res \text{ } res \in B \text{ } M \text{ } io \text{ } \Omega$
unfolding $append\text{-io-B.simps}$ **by** *blast*
then obtain q **where** $q \in io\text{-targets } M \text{ (initial } M) \text{ } io \text{ } res \in IO\text{-set } M \text{ } q \text{ } \Omega$
unfolding $B.simps$ **by** *blast*
then have $res \in LS \text{ } M \text{ } q$
using $IO\text{-set-in-language[of } M \text{ } q \text{ } \Omega]$ **by** *blast*

obtain pIO **where** $path \text{ } M \text{ (} io \parallel pIO \text{) (initial } M \text{)}$
 $length \text{ } pIO = length \text{ } io \text{ } target \text{ (} io \parallel pIO \text{) (initial } M \text{) } = q$
using $\langle q \in io\text{-targets } M \text{ (initial } M) \text{ } io \rangle$ **by** *auto*
moreover obtain $pRes$ **where** $path \text{ } M \text{ (} res \parallel pRes \text{) } q \text{ } length \text{ } pRes = length \text{ } res$
using $\langle res \in LS \text{ } M \text{ } q \rangle$ **by** *auto*
ultimately have $io@res \in L \text{ } M$
using $FSM.path\text{-append[of } M \text{ } io \parallel pIO \text{ } initial \text{ } M \text{ } res \parallel pRes]$
by (*metis* $language\text{-state } length\text{-append } zip\text{-append}$)
then show $x \in L \text{ } M$
using $\langle x = io@res \rangle$ **by** *blast*
qed

lemma *append-io-B-nonempty* :

assumes *applicable-set* $M \text{ } \Omega$
and *completely-specified* M
and $io \in language\text{-state } M \text{ (initial } M \text{)}$
and $\Omega \neq \{\}$
shows $append\text{-io-B } M \text{ } io \text{ } \Omega \neq \{\}$

proof –
obtain t **where** $t \in \Omega$
using $assms(4)$ **by** $blast$
then have $applicable\ M\ t$
using $assms(1)$ **by** $simp$
moreover obtain tr **where** $path\ M\ (io \parallel tr)\ (initial\ M) \wedge length\ tr = length\ io$
using $assms(3)$ **by** $auto$
moreover have $target\ (io \parallel tr)\ (initial\ M) \in nodes\ M$
using $calculation(2)$ **by** $blast$
ultimately have $IO\ M\ (target\ (io \parallel tr)\ (initial\ M))\ t \neq \{\}$
using $assms(2)$ $IO\text{-applicable-nonempty}$ **by** $simp$
then obtain io' **where** $io' \in IO\ M\ (target\ (io \parallel tr)\ (initial\ M))\ t$
by $blast$
then have $io' \in IO\text{-set}\ M\ (target\ (io \parallel tr)\ (initial\ M))\ \Omega$
using $\langle t \in \Omega \rangle$ **unfolding** $IO\text{-set.simps}$ **by** $blast$
moreover have $(target\ (io \parallel tr)\ (initial\ M)) \in io\text{-targets}\ M\ (initial\ M)\ io$
using $\langle path\ M\ (io \parallel tr)\ (initial\ M) \wedge length\ tr = length\ io \rangle$ **by** $auto$
ultimately have $io' \in B\ M\ io\ \Omega$
unfolding $B.simps$ **by** $blast$
then have $io@io' \in append\text{-}io\text{-}B\ M\ io\ \Omega$
unfolding $append\text{-}io\text{-}B.simps$ **by** $blast$
then show $?thesis$ **by** $blast$
qed

lemma $append\text{-}io\text{-}B\text{-}prefix\text{-}in\text{-}language$:
assumes $append\text{-}io\text{-}B\ M\ io\ \Omega \neq \{\}$
shows $io \in L\ M$

proof –
obtain res **where** $io\ @\ res \in append\text{-}io\text{-}B\ M\ io\ \Omega \wedge res \in B\ M\ io\ \Omega$
using $assms$ **by** $auto$
then have $io\text{-targets}\ M\ (initial\ M)\ io \neq \{\}$
by $auto$
then obtain q **where** $q \in io\text{-targets}\ M\ (initial\ M)\ io$
by $blast$
then obtain tr **where** $target\ (io \parallel tr)\ (initial\ M) = q \wedge path\ M\ (io \parallel tr)\ (initial\ M)$
 $\wedge length\ tr = length\ io$ **by** $auto$
then show $?thesis$ **by** $auto$
qed

3.6 Characterizing sets

A set of ATCs is a characterizing set for some FSM if for every pair of r-distinguishable states it contains an ATC that r-distinguishes them.

fun $characterizing\text{-}atc\text{-}set :: ('in, 'out, 'state)\ FSM \Rightarrow ('in, 'out)\ ATC\ set \Rightarrow bool$ **where**
 $characterizing\text{-}atc\text{-}set\ M\ \Omega = (applicable\text{-}set\ M\ \Omega \wedge (\forall\ s1 \in (nodes\ M) . \forall\ s2 \in (nodes\ M) .$
 $(\exists\ td . r\text{-}dist\ M\ td\ s1\ s2) \longrightarrow (\exists\ tt \in \Omega . r\text{-}dist\ M\ tt\ s1\ s2)))$

3.7 Reduction over ATCs

Some state is a an ATC-reduction of another over some set of ATCs if for every contained ATC every ATC-reaction to it of the former state is also an ATC-reaction of the latter state.

fun $atc\text{-}reduction :: ('in, 'out, 'state)\ FSM \Rightarrow 'state \Rightarrow ('in, 'out, 'state)\ FSM \Rightarrow 'state$
 $\Rightarrow ('in, 'out)\ ATC\ set \Rightarrow bool$ **where**
 $atc\text{-}reduction\ M2\ s2\ M1\ s1\ \Omega = (\forall\ t \in \Omega . IO\ M2\ s2\ t \subseteq IO\ M1\ s1\ t)$

— r-distinguishability holds for atc-reductions

lemma $atc\text{-}rdist\text{-}dist[intro]$:
assumes $wf2$: $well\text{-}formed\ M2$
and $cs2$: $completely\text{-}specified\ M2$
and $ap2$: $applicable\text{-}set\ M2\ \Omega$
and $el\text{-}t1$: $t1 \in nodes\ M2$
and $red1$: $atc\text{-}reduction\ M2\ t1\ M1\ s1\ \Omega$

```

and      red2 : atc-reduction M2 t2 M1 s2 Ω
and      rdist : r-dist-set M1 Ω s1 s2
and      t1 ∈ nodes M2
shows r-dist-set M2 Ω t1 t2
proof -
  obtain td where td-def : td ∈ Ω ∧ r-dist M1 td s1 s2
  using rdist by auto
  then have IO M1 s1 td ∩ IO M1 s2 td = {}
  using td-def by simp
  moreover have IO M2 t1 td ⊆ IO M1 s1 td
  using red1 td-def by auto
  moreover have IO M2 t2 td ⊆ IO M1 s2 td
  using red2 td-def by auto
  ultimately have no-inter : IO M2 t1 td ∩ IO M2 t2 td = {}
  by blast

  then have td ≠ Leaf
  by auto
  then have IO M2 t1 td ≠ {}
  by (meson ap2 IO-applicable-nonempty applicable-set.elims(2) cs2 td-def assms(8))
  then have IO M2 t1 td ≠ IO M2 t2 td
  using no-inter by auto
  then show ?thesis
  using no-inter td-def by auto
qed

```

3.8 Reduction over ATCs applied after input sequences

The following functions check whether some FSM is a reduction of another over a given set of input sequences while furthermore the response sets obtained by applying a set of ATCs after every input sequence to the first FSM are subsets of the analogously constructed response sets of the second FSM.

```

fun atc-io-reduction-on :: ('in, 'out, 'state1) FSM ⇒ ('in, 'out, 'state2) FSM ⇒ 'in list
  ⇒ ('in, 'out) ATC set ⇒ bool where
  atc-io-reduction-on M1 M2 iseq Ω = (Lin M1 {iseq} ⊆ Lin M2 {iseq}
    ∧ (∀ io ∈ Lin M1 {iseq} . B M1 io Ω ⊆ B M2 io Ω))

fun atc-io-reduction-on-sets :: ('in, 'out, 'state1) FSM ⇒ 'in list set ⇒ ('in, 'out) ATC set
  ⇒ ('in, 'out, 'state2) FSM ⇒ bool where
  atc-io-reduction-on-sets M1 TS Ω M2 = (∀ iseq ∈ TS . atc-io-reduction-on M1 M2 iseq Ω)

notation
  atc-io-reduction-on-sets (⟨(- ≤ [-.-])⟩ [1000,1000,1000,1000])

```

```

lemma io-reduction-from-atc-io-reduction :
  assumes atc-io-reduction-on-sets M1 T Ω M2
  and      finite T
  shows io-reduction-on M1 T M2
using assms(2,1) proof (induction T)
  case empty
  then show ?case by auto
next
  case (insert t T)
  then have atc-io-reduction-on M1 M2 t Ω
  by auto
  then have Lin M1 {t} ⊆ Lin M2 {t}
  using atc-io-reduction-on.simps by blast

  have Lin M1 T ⊆ Lin M2 T
  using insert.IH
proof -
  have atc-io-reduction-on-sets M1 T Ω M2
  by (meson contra-subsetD insert.premis atc-io-reduction-on-sets.simps subset-insertI)
  then show ?thesis

```

using *insert.IH* by *blast*
 qed
 then have $L_{in} M1 T \subseteq L_{in} M2 (insert\ t\ T)$
 by (meson *insert-iff language-state-for-inputs-in-language-state*
language-state-for-inputs-map-fst language-state-for-inputs-map-fst-contained
subsetCE subsetI)
 moreover have $L_{in} M1 \{t\} \subseteq L_{in} M2 (insert\ t\ T)$
 proof –
 obtain $pps :: ('a \times 'b) list\ set \Rightarrow ('a \times 'b) list\ set \Rightarrow ('a \times 'b) list$ where
 $\forall x0\ x1. (\exists v2. v2 \in x1 \wedge v2 \notin x0) = (pps\ x0\ x1 \in x1 \wedge pps\ x0\ x1 \notin x0)$
 by *moura*
 then have $\forall P\ Pa. pps\ Pa\ P \in P \wedge pps\ Pa\ P \notin Pa \vee P \subseteq Pa$
 by *blast*
 moreover
 { assume $map\ fst\ (pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ \{t\})) \notin insert\ t\ T$
 then have $pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ \{t\}) \notin L_{in}\ M1\ \{t\}$
 $\vee pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ \{t\}) \in L_{in}\ M2\ (insert\ t\ T)$
 by (metis (*no-types*) *insertI1 language-state-for-inputs-map-fst-contained singletonD*) }
 ultimately show ?thesis
 by (meson $\langle L_{in}\ M1\ \{t\} \subseteq L_{in}\ M2\ \{t\} \rangle$ *language-state-for-inputs-in-language-state*
language-state-for-inputs-map-fst set-rev-mp)
 qed

ultimately show ?case
 proof –
 have $f1: \forall ps\ P\ Pa. (ps :: ('a \times 'b) list) \notin P \vee \neg P \subseteq Pa \vee ps \in Pa$
 by *blast*
 obtain $pps :: ('a \times 'b) list\ set \Rightarrow ('a \times 'b) list\ set \Rightarrow ('a \times 'b) list$ where
 $\forall x0\ x1. (\exists v2. v2 \in x1 \wedge v2 \notin x0) = (pps\ x0\ x1 \in x1 \wedge pps\ x0\ x1 \notin x0)$
 by *moura*
 moreover
 { assume $pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T))$
 $\notin L_{in}\ M1\ \{t\}$
 moreover
 { assume $map\ fst\ (pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T)))$
 $\notin \{t\}$
 then have $map\ fst\ (pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T)))$
 $(L_{in}\ M1\ (insert\ t\ T))) \neq t$
 by *blast*
 then have $pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T))$
 $\notin L_{in}\ M1\ (insert\ t\ T)$
 $\vee pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T))$
 $\in L_{in}\ M2\ (insert\ t\ T)$
 using $f1$ by (meson $\langle L_{in}\ M1\ T \subseteq L_{in}\ M2\ (insert\ t\ T) \rangle$
insertE language-state-for-inputs-in-language-state
language-state-for-inputs-map-fst
language-state-for-inputs-map-fst-contained) }
 ultimately have *io-reduction-on* $M1\ (insert\ t\ T)\ M2$
 $\vee pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T))$
 $\notin L_{in}\ M1\ (insert\ t\ T)$
 $\vee pps\ (L_{in}\ M2\ (insert\ t\ T))\ (L_{in}\ M1\ (insert\ t\ T))$
 $\in L_{in}\ M2\ (insert\ t\ T)$
 using $f1$ by (meson *language-state-for-inputs-in-language-state*
language-state-for-inputs-map-fst) }
 ultimately show ?thesis
 using $f1$ by (meson $\langle L_{in}\ M1\ \{t\} \subseteq L_{in}\ M2\ (insert\ t\ T) \rangle$ *subsetI*)
 qed
 qed

lemma *atc-io-reduction-on-subset* :
 assumes *atc-io-reduction-on-sets* $M1\ T\ \Omega\ M2$
 and $T' \subseteq T$
 shows *atc-io-reduction-on-sets* $M1\ T'\ \Omega\ M2$
 using *assms unfolding atc-io-reduction-on-sets.simps* by *blast*

```

lemma atc-reaction-reduction[intro] :
  assumes ls : language-state M1 q1  $\subseteq$  language-state M2 q2
  and el1 : q1  $\in$  nodes M1
  and el2 : q2  $\in$  nodes M2
  and rct : atc-reaction M1 q1 t io
  and ob2 : observable M2
  and ob1 : observable M1
shows atc-reaction M2 q2 t io
using assms proof (induction t arbitrary: io q1 q2)
  case Leaf
  then have io = []
    by (metis atc-reaction-nonempty-no-leaf list.exhaust)
  then show ?case
    by (simp add: leaf)
next
  case (Node x f)
  then obtain io-hd io-tl where io-split : io = io-hd # io-tl
    by (metis ATC.distinct(1) atc-reaction-empty list.exhaust)
  moreover obtain y where y-def : io-hd = (x,y)
    using Node calculation by (metis ATC.inject atc-reaction-nonempty surj-pair)
  ultimately obtain q1x where q1x-def : q1x  $\in$  succ M1 (x,y) q1 atc-reaction M1 q1x (f y) io-tl
    using Node.prem(4) by blast

  then have pt1 : path M1 ([ (x,y) ] || [ q1x ]) q1
    by auto
  then have ls1 : [ (x,y) ]  $\in$  language-state M1 q1
    unfolding language-state-def path-def using list.sims(9) by force
  moreover have q1x  $\in$  io-targets M1 q1 [ (x,y) ]
    unfolding io-targets.sims
  proof -
    have f1 : length [ (x, y) ] = length [ q1x ]
      by simp
    have q1x = target ([ (x, y) ] || [ q1x ]) q1
      by simp
    then show q1x  $\in$  { target ([ (x, y) ] || cs) q1 | cs. path M1 ([ (x, y) ] || cs) q1
       $\wedge$  length [ (x, y) ] = length cs }
      using f1 pt1 by blast
  qed
  ultimately have tgt1 : io-targets M1 q1 [ (x,y) ] = { q1x }
    using Node.prem(5) io-targets-observable-singleton-ex q1x-def
    by (metis (no-types, lifting) singletonD)

  then have ls2 : [ (x,y) ]  $\in$  language-state M2 q2
    using Node.prem(1) ls1 by auto
  then obtain q2x where q2x-def : q2x  $\in$  succ M2 (x,y) q2
    unfolding language-state-def path-def
    using transition-system.path.cases by fastforce
  then have pt2 : path M2 ([ (x,y) ] || [ q2x ]) q2
    by auto
  then have q2x  $\in$  io-targets M2 q2 [ (x,y) ]
    using ls2 unfolding io-targets.sims
  proof -
    have f1 : length [ (x, y) ] = length [ q2x ]
      by simp
    have q2x = target ([ (x, y) ] || [ q2x ]) q2
      by simp
    then show q2x  $\in$  { target ([ (x, y) ] || cs) q2 | cs. path M2 ([ (x, y) ] || cs) q2
       $\wedge$  length [ (x, y) ] = length cs }
      using f1 pt2 by blast
  qed
  then have tgt2 : io-targets M2 q2 [ (x,y) ] = { q2x }
    using Node.prem(5) io-targets-observable-singleton-ex ls2 q2x-def
    by (metis (no-types, lifting) singletonD)

```



```

then have language-state M1 q1x  $\subseteq$  language-state M2 q2x
  using language-state-inclusion-of-state-reached-by-same-sequence
    [of M1 q1 M2 q2 [(x,y)] q1x q2x]
    tgt1 tgt2 Node.premis by auto
moreover have q1x  $\in$  nodes M1
  using q1x-def(1) Node.premis(2) by (metis insertI1 io-targets-nodes tgt1)
moreover have q2x  $\in$  nodes M2
  using q2x-def(1) Node.premis(3) by (metis insertI1 io-targets-nodes tgt2)
ultimately have q2x  $\in$  succ M2 (x,y) q2  $\wedge$  atc-reaction M2 q2x (f y) io-tl
  using Node.IH[of f y q1x q2x io-tl] ob1 ob2 q1x-def(2) q2x-def by blast

```

```

then show atc-reaction M2 q2 (Node x f) io using io-split y-def by blast
qed

```

```

lemma IO-reduction :
  assumes ls : language-state M1 q1  $\subseteq$  language-state M2 q2
  and el1 : q1  $\in$  nodes M1
  and el2 : q2  $\in$  nodes M2
  and ob1 : observable M1
  and ob2 : observable M2
shows IO M1 q1 t  $\subseteq$  IO M2 q2 t
  using assms atc-reaction-reduction unfolding IO.simps by auto

```

```

lemma IO-set-reduction :
  assumes ls : language-state M1 q1  $\subseteq$  language-state M2 q2
  and el1 : q1  $\in$  nodes M1
  and el2 : q2  $\in$  nodes M2
  and ob1 : observable M1
  and ob2 : observable M2
shows IO-set M1 q1  $\Omega \subseteq$  IO-set M2 q2  $\Omega$ 
proof -
  have  $\forall t \in \Omega . IO M1 q1 t \subseteq IO M2 q2 t$ 
    using assms IO-reduction by metis
  then show ?thesis
    unfolding IO-set.simps by blast
qed

```

```

lemma B-reduction :
  assumes red : M1  $\preceq$  M2
  and ob1 : observable M1
  and ob2 : observable M2
shows B M1 io  $\Omega \subseteq$  B M2 io  $\Omega$ 
proof
  fix xy assume xy-assm : xy  $\in$  B M1 io  $\Omega$ 
  then obtain q1x where q1x-def : q1x  $\in$  (io-targets M1 (initial M1) io)  $\wedge$  xy  $\in$  IO-set M1 q1x  $\Omega$ 
    unfolding B.simps by auto
  then obtain tr1 where tr1-def : path M1 (io || tr1) (initial M1)  $\wedge$  length io = length tr1
    by auto

  then have q1x-ob : io-targets M1 (initial M1) io = {q1x}
    using assms
    by (metis io-targets-observable-singleton-ex language-state q1x-def singleton-iff)

  then have ls1 : io  $\in$  language-state M1 (initial M1)
    by auto
  then have ls2 : io  $\in$  language-state M2 (initial M2)
    using red by auto

  then obtain tr2 where tr2-def : path M2 (io || tr2) (initial M2)  $\wedge$  length io = length tr2
    by auto
  then obtain q2x where q2x-def : q2x  $\in$  (io-targets M2 (initial M2) io)
    by auto

```

```

then have  $q2x\text{-ob} : \text{io-targets } M2 \text{ (initial } M2) \text{ io} = \{q2x\}$ 
  using  $\text{tr2-def assms}$ 
  by ( $\text{metis io-targets-observable-singleton-ex language-state singleton-iff}$ )

then have  $\text{language-state } M1 \ q1x \subseteq \text{language-state } M2 \ q2x$ 
  using  $q1x\text{-ob assms}$  unfolding  $\text{io-reduction.simps}$ 
  by ( $\text{simp add: language-state-inclusion-of-state-reached-by-same-sequence}$ )
then have  $\text{IO-set } M1 \ q1x \ \Omega \subseteq \text{IO-set } M2 \ q2x \ \Omega$ 
  using  $\text{assms IO-set-reduction}$  by ( $\text{metis FSM.nodes.initial io-targets-nodes } q1x\text{-def } q2x\text{-def}$ )
moreover have  $B \ M1 \ \text{io} \ \Omega = \text{IO-set } M1 \ q1x \ \Omega$ 
  using  $q1x\text{-ob}$  by  $\text{auto}$ 
moreover have  $B \ M2 \ \text{io} \ \Omega = \text{IO-set } M2 \ q2x \ \Omega$ 
  using  $q2x\text{-ob}$  by  $\text{auto}$ 
ultimately have  $B \ M1 \ \text{io} \ \Omega \subseteq B \ M2 \ \text{io} \ \Omega$ 
  by  $\text{simp}$ 
then show  $xy \in B \ M2 \ \text{io} \ \Omega$  using  $xy\text{-assm}$ 
  by  $\text{blast}$ 
qed

```

```

lemma  $\text{append-io-B-reduction}$  :
  assumes  $\text{red} : M1 \preceq M2$ 
  and  $ob1 : \text{observable } M1$ 
  and  $ob2 : \text{observable } M2$ 
shows  $\text{append-io-B } M1 \ \text{io} \ \Omega \subseteq \text{append-io-B } M2 \ \text{io} \ \Omega$ 
proof
  fix  $ioR$  assume  $ioR\text{-assm} : ioR \in \text{append-io-B } M1 \ \text{io} \ \Omega$ 
  then obtain  $res$  where  $res\text{-def} : ioR = \text{io} @ res$   $res \in B \ M1 \ \text{io} \ \Omega$ 
    by  $\text{auto}$ 
  then have  $res \in B \ M2 \ \text{io} \ \Omega$ 
    using  $\text{assms B-reduction}$  by ( $\text{metis (no-types, opaque-lifting) subset-iff}$ )
  then show  $ioR \in \text{append-io-B } M2 \ \text{io} \ \Omega$ 
    using  $ioR\text{-assm } res\text{-def}$  by  $\text{auto}$ 
qed

```

```

lemma  $\text{atc-io-reduction-on-reduction[intro]}$  :
  assumes  $\text{red} : M1 \preceq M2$ 
  and  $ob1 : \text{observable } M1$ 
  and  $ob2 : \text{observable } M2$ 
shows  $\text{atc-io-reduction-on } M1 \ M2 \ \text{iseq} \ \Omega$ 
unfolding  $\text{atc-io-reduction-on.simps}$  proof
  show  $L_{in} \ M1 \ \{\text{iseq}\} \subseteq L_{in} \ M2 \ \{\text{iseq}\}$ 
    using  $\text{red}$  by  $\text{auto}$ 
next
  show  $\forall io \in L_{in} \ M1 \ \{\text{iseq}\}. B \ M1 \ \text{io} \ \Omega \subseteq B \ M2 \ \text{io} \ \Omega$ 
    using  $B\text{-reduction assms}$  by  $\text{blast}$ 
qed

```

```

lemma  $\text{atc-io-reduction-on-sets-reduction[intro]}$  :
  assumes  $\text{red} : M1 \preceq M2$ 
  and  $ob1 : \text{observable } M1$ 
  and  $ob2 : \text{observable } M2$ 
shows  $\text{atc-io-reduction-on-sets } M1 \ TS \ \Omega \ M2$ 
  using  $\text{assms atc-io-reduction-on-reduction}$  by ( $\text{metis atc-io-reduction-on-sets.elims(3)}$ )

```

```

lemma  $\text{atc-io-reduction-on-sets-via-LS}_{in}$  :
  assumes  $\text{atc-io-reduction-on-sets } M1 \ TS \ \Omega \ M2$ 
  shows  $(L_{in} \ M1 \ TS \cup (\bigcup_{io \in L_{in} \ M1 \ TS}. B \ M1 \ \text{io} \ \Omega))$ 
     $\subseteq (L_{in} \ M2 \ TS \cup (\bigcup_{io \in L_{in} \ M2 \ TS}. B \ M2 \ \text{io} \ \Omega))$ 
proof –
  have  $\forall \text{iseq} \in TS. (L_{in} \ M1 \ \{\text{iseq}\} \subseteq L_{in} \ M2 \ \{\text{iseq}\})$ 
     $\wedge (\forall io \in L_{in} \ M1 \ \{\text{iseq}\}. B \ M1 \ \text{io} \ \Omega \subseteq B \ M2 \ \text{io} \ \Omega)$ 

```

```

    using assms by auto
  then have  $\forall \text{ iseq} \in TS . (\bigcup_{io \in L_{in}} M1 \{iseq\}. B \ M1 \ io \ \Omega)$ 
     $\subseteq (\bigcup_{io \in L_{in}} M2 \{iseq\}. B \ M2 \ io \ \Omega)$ 

    by blast
  moreover have  $\forall \text{ iseq} \in TS . (\bigcup_{io \in L_{in}} M2 \{iseq\}. B \ M2 \ io \ \Omega)$ 
     $\subseteq (\bigcup_{io \in L_{in}} M2 \ TS. B \ M2 \ io \ \Omega)$ 

    unfolding language-state-for-inputs.simps by blast
  ultimately have elem-subset :  $\forall \text{ iseq} \in TS .$ 
     $(\bigcup_{io \in L_{in}} M1 \{iseq\}. B \ M1 \ io \ \Omega)$ 
     $\subseteq (\bigcup_{io \in L_{in}} M2 \ TS. B \ M2 \ io \ \Omega)$ 

    by blast

show ?thesis
proof
  fix x assume  $x \in L_{in} \ M1 \ TS \cup (\bigcup_{io \in L_{in}} M1 \ TS. B \ M1 \ io \ \Omega)$ 
  then show  $x \in L_{in} \ M2 \ TS \cup (\bigcup_{io \in L_{in}} M2 \ TS. B \ M2 \ io \ \Omega)$ 
  proof (cases  $x \in L_{in} \ M1 \ TS$ )
    case True
    then obtain iseq where  $iseq \in TS \ x \in L_{in} \ M1 \ \{iseq\}$ 
    unfolding language-state-for-inputs.simps by blast
    then have atc-io-reduction-on  $M1 \ M2 \ iseq \ \Omega$ 
    using assms by auto
    then have  $L_{in} \ M1 \ \{iseq\} \subseteq L_{in} \ M2 \ \{iseq\}$ 
    by auto
    then have  $x \in L_{in} \ M2 \ TS$ 
    by (metis (no-types, lifting) UN-I
       $\langle \bigwedge thesis. (\bigwedge iseq. \llbracket iseq \in TS; x \in L_{in} \ M1 \ \{iseq\} \rrbracket \implies thesis) \implies thesis \rangle$ 
       $\langle \forall iseq \in TS. L_{in} \ M1 \ \{iseq\} \subseteq L_{in} \ M2 \ \{iseq\} \wedge (\forall io \in L_{in} \ M1 \ \{iseq\}. B \ M1 \ io \ \Omega \subseteq B \ M2 \ io \ \Omega) \rangle$ 
      language-state-for-input-alt-def language-state-for-inputs-alt-def set-rev-mp)
    then show ?thesis
    by blast
  next
  case False
  then have  $x \in (\bigcup_{io \in L_{in}} M1 \ TS. B \ M1 \ io \ \Omega)$ 
  using  $\langle x \in L_{in} \ M1 \ TS \cup (\bigcup_{io \in L_{in}} M1 \ TS. B \ M1 \ io \ \Omega) \rangle$  by blast
  then obtain io where  $io \in L_{in} \ M1 \ TS \ x \in B \ M1 \ io \ \Omega$ 
  by blast
  then obtain iseq where  $iseq \in TS \ io \in L_{in} \ M1 \ \{iseq\}$ 
  unfolding language-state-for-inputs.simps by blast
  have  $x \in (\bigcup_{io \in L_{in}} M1 \ \{iseq\}. B \ M1 \ io \ \Omega)$ 
  using  $\langle io \in L_{in} \ M1 \ \{iseq\} \rangle \langle x \in B \ M1 \ io \ \Omega \rangle$  by blast
  then have  $x \in (\bigcup_{io \in L_{in}} M2 \ TS. B \ M2 \ io \ \Omega)$ 
  using  $\langle iseq \in TS \rangle$  elem-subset by blast
  then show ?thesis
  by blast
qed
qed
qed

end
theory ASC-LB
imports ../ATC/ATC ../FSM/FSM-Product
begin

```

4 The lower bound function

This theory defines the lower bound function **LB** and its properties.

Function **LB** calculates a lower bound on the number of states of some FSM in order for some sequence to not contain certain repetitions.

4.1 Permutation function Perm

Function **Perm** calculates all possible reactions of an FSM to a set of inputs sequences such that every set in the calculated set of reactions contains exactly one reaction for each input sequence.

fun *Perm* :: 'in list set \Rightarrow ('in, 'out, 'state) FSM \Rightarrow ('in \times 'out) list set set **where**
Perm *V* *M* = {image *f* *V* | *f* . $\forall v \in V . f v \in \text{language-state-for-input } M \text{ (initial } M) v$ }

lemma *perm-empty* :

assumes *is-det-state-cover* *M2* *V*

and $V'' \in \text{Perm } V M1$

shows $\emptyset \in V''$

proof –

have *init-seq* : $\emptyset \in V$ **using** *det-state-cover-empty* *assms* **by** *simp*

obtain *f* **where** *f-def* : $V'' = \text{image } f V$

$\wedge (\forall v \in V . f v \in \text{language-state-for-input } M1 \text{ (initial } M1) v)$

using *assms* **by** *auto*

then have $f \emptyset = \emptyset$

using *init-seq* **by** (*metis language-state-for-input-empty singleton-iff*)

then show *?thesis*

using *init-seq f-def* **by** (*metis image-eqI*)

qed

lemma *perm-elem-finite* :

assumes *is-det-state-cover* *M2* *V*

and *well-formed* *M2*

and $V'' \in \text{Perm } V M1$

shows *finite* V''

proof –

obtain *f* **where** *is-det-state-cover-ass* *M2* *f* $\wedge V = f \text{ `d-reachable } M2 \text{ (initial } M2)$

using *assms* **by** *auto*

moreover have *finite* (*d-reachable* *M2* (*initial* *M2*))

proof –

have *finite* (*nodes* *M2*)

using *assms* **by** *auto*

moreover have *nodes* *M2* = *reachable* *M2* (*initial* *M2*)

by *auto*

ultimately have *finite* (*reachable* *M2* (*initial* *M2*))

by *simp*

moreover have *d-reachable* *M2* (*initial* *M2*) \subseteq *reachable* *M2* (*initial* *M2*)

by *auto*

ultimately show *?thesis*

using *infinite-super* **by** *blast*

qed

ultimately have *finite* *V*

by *auto*

moreover obtain f'' **where** $V'' = \text{image } f'' V$

$\wedge (\forall v \in V . f'' v \in \text{language-state-for-input } M1 \text{ (initial } M1) v)$

using *assms*(3) **by** *auto*

ultimately show *?thesis*

by *simp*

qed

lemma *perm-inputs* :

assumes $V'' \in \text{Perm } V M$

and $vs \in V''$

shows *map* *fst* $vs \in V$

proof –

obtain *f* **where** *f-def* : $V'' = \text{image } f V$

$\wedge (\forall v \in V . f v \in \text{language-state-for-input } M \text{ (initial } M) v)$

using *assms* **by** *auto*

then obtain *v* **where** *v-def* : $v \in V \wedge f v = vs$

using *assms* **by** *auto*

then have $vs \in \text{language-state-for-input } M \text{ (initial } M) v$

using *f-def* **by** *auto*

then show *?thesis*

using *v-def* **unfolding** *language-state-for-input.simps* **by** *auto*

qed

```

lemma perm-inputs-diff :
  assumes  $V'' \in \text{Perm } V \ M$ 
  and  $vs1 \in V''$ 
  and  $vs2 \in V''$ 
  and  $vs1 \neq vs2$ 
shows  $\text{map fst } vs1 \neq \text{map fst } vs2$ 
proof -
  obtain  $f$  where  $f\text{-def} : V'' = \text{image } f \ V$ 
     $\wedge (\forall v \in V . f \ v \in \text{language-state-for-input } M \ (\text{initial } M) \ v)$ 
  using assms by auto
  then obtain  $v1 \ v2$  where  $v\text{-def} : v1 \in V \wedge f \ v1 = vs1 \wedge v2 \in V \wedge f \ v2 = vs2$ 
  using assms by auto
  then have  $vs1 \in \text{language-state-for-input } M \ (\text{initial } M) \ v1$ 
     $vs2 \in \text{language-state-for-input } M \ (\text{initial } M) \ v2$ 
  using  $f\text{-def}$  by auto
  moreover have  $v1 \neq v2$ 
  using  $v\text{-def}$  assms(4) by blast
  ultimately show ?thesis
  by auto
qed

```

```

lemma perm-language :
  assumes  $V'' \in \text{Perm } V \ M$ 
  and  $vs \in V''$ 
shows  $vs \in L \ M$ 
proof -
  obtain  $f$  where  $f\text{-def} : \text{image } f \ V = V''$ 
     $\wedge (\forall v \in V . f \ v \in \text{language-state-for-input } M \ (\text{initial } M) \ v)$ 
  using assms(1) by auto
  then have  $\exists v . f \ v = vs \wedge f \ v \in \text{language-state-for-input } M \ (\text{initial } M) \ v$ 
  using assms(2) by blast
  then show ?thesis
  by auto
qed

```

4.2 Helper predicates

The following predicates are used to combine often repeated assumption.

abbreviation $\text{asc-fault-domain } M2 \ M1 \ m \equiv (\text{inputs } M2 = \text{inputs } M1 \wedge \text{card } (\text{nodes } M1) \leq m)$

```

lemma asc-fault-domain-props[elim] :
  assumes  $\text{asc-fault-domain } M2 \ M1 \ m$ 
shows  $\text{inputs } M2 = \text{inputs } M1$ 
     $\text{card } (\text{nodes } M1) \leq m$ 
using assms by auto

```

abbreviation

```

test-tools  $M2 \ M1 \ \text{FAIL } PM \ V \ \Omega \equiv ($ 
   $\text{productF } M2 \ M1 \ \text{FAIL } PM$ 
   $\wedge \text{is-det-state-cover } M2 \ V$ 
   $\wedge \text{applicable-set } M2 \ \Omega$ 
 $)$ 

```

```

lemma test-tools-props[elim] :
  assumes  $\text{test-tools } M2 \ M1 \ \text{FAIL } PM \ V \ \Omega$ 
  and  $\text{asc-fault-domain } M2 \ M1 \ m$ 
shows  $\text{productF } M2 \ M1 \ \text{FAIL } PM$ 
     $\text{is-det-state-cover } M2 \ V$ 
     $\text{applicable-set } M2 \ \Omega$ 
     $\text{applicable-set } M1 \ \Omega$ 
proof -
  show  $\text{productF } M2 \ M1 \ \text{FAIL } PM$  using assms(1) by blast
  show  $\text{is-det-state-cover } M2 \ V$  using assms(1) by blast
  show  $\text{applicable-set } M2 \ \Omega$  using assms(1) by blast
  then show  $\text{applicable-set } M1 \ \Omega$ 

```

```

  unfolding applicable-set.simps applicable.simps
  using asc-fault-domain-props(1)[OF assms(2)] by simp
qed

```

```

lemma perm-nonempty :
  assumes is-det-state-cover M2 V
  and OFSM M1
  and OFSM M2
  and inputs M1 = inputs M2
shows Perm V M1 ≠ {}
proof -
  have finite (nodes M2)
    using assms(3) by auto
  moreover have d-reachable M2 (initial M2) ⊆ nodes M2
    by auto
  ultimately have finite V
    using det-state-cover-card[OF assms(1)]
    by (metis assms(1) finite-imageI infinite-super is-det-state-cover.elims(2))

  have [] ∈ V
    using assms(1) det-state-cover-empty by blast

```

```

have ⋀ VS . VS ⊆ V ∧ VS ≠ {} ⇒ Perm VS M1 ≠ {}

```

```

proof -
  fix VS assume VS ⊆ V ∧ VS ≠ {}
  then have finite VS using ⟨finite V⟩
    using infinite-subset by auto
  then show Perm VS M1 ≠ {}
    using ⟨VS ⊆ V ∧ VS ≠ {}⟩ ⟨finite VS⟩
  proof (induction VS)
    case empty
    then show ?case by auto
  next
    case (insert vs F)
    then have vs ∈ V by blast

    obtain q2 where d-reaches M2 (initial M2) vs q2
      using det-state-cover-d-reachable[OF assms(1) ⟨vs ∈ V⟩] by blast
    then obtain vs' vsP where io-path : length vs = length vs'
      ∧ length vs = length vsP
      ∧ (path M2 ((vs || vs') || vsP) (initial M2))
      ∧ target ((vs || vs') || vsP) (initial M2) = q2

    by auto

```

```

have well-formed M2
  using assms by auto

```

```

have map fst (map fst ((vs || vs') || vsP)) = vs

```

```

proof -
  have length (vs || vs') = length vsP
    using io-path by simp
  then show ?thesis
    using io-path by auto

```

```

qed
moreover have set (map fst (map fst ((vs || vs') || vsP))) ⊆ inputs M2
  using path-input-containment[OF ⟨well-formed M2⟩, of (vs || vs') || vsP initial M2]
  io-path
  by linarith
ultimately have set vs ⊆ inputs M2
  by presburger

```

```

then have set vs ⊆ inputs M1

```

```

using assms by auto

then have  $L_{in} M1 \{vs\} \neq \{\}$ 
  using assms(2) language-state-for-inputs-nonempty
  by (metis FSM.nodes.initial)
then have language-state-for-input  $M1$  (initial  $M1$ )  $vs \neq \{\}$ 
  by auto
then obtain  $vs'$  where  $vs' \in \text{language-state-for-input } M1 \text{ (initial } M1) vs$ 
  by blast

show ?case
proof (cases  $F = \{\}$ )
  case True
  moreover obtain  $f$  where  $f vs = vs'$ 
    by force
  ultimately have  $\text{image } f (\text{insert } vs F) \in \text{Perm } (\text{insert } vs F) M1$ 
    using Perm.simps  $\langle vs' \in \text{language-state-for-input } M1 \text{ (initial } M1) vs \rangle$  by blast
  then show ?thesis by blast
next
  case False
  then obtain  $F''$  where  $F'' \in \text{Perm } F M1$ 
    using insert.IH insert.hyps(1) insert.prem(1) by blast
  then obtain  $f$  where  $F'' = \text{image } f F$ 
    ( $\forall v \in F. f v \in \text{language-state-for-input } M1 \text{ (initial } M1) v$ )
    by auto
  let ?f =  $f (vs := vs')$ 
  have  $\forall v \in (\text{insert } vs F). ?f v \in \text{language-state-for-input } M1 \text{ (initial } M1) v$ 
  proof
    fix  $v$  assume  $v \in \text{insert } vs F$ 
    then show  $?f v \in \text{language-state-for-input } M1 \text{ (initial } M1) v$ 
    proof (cases  $v = vs$ )
      case True
      then show ?thesis
        using  $\langle vs' \in \text{language-state-for-input } M1 \text{ (initial } M1) vs \rangle$  by auto
    next
      case False
      then have  $v \in F$ 
        using  $\langle v \in \text{insert } vs F \rangle$  by blast
      then show ?thesis
        using False  $\langle \forall v \in F. f v \in \text{language-state-for-input } M1 \text{ (initial } M1) v \rangle$  by auto
    qed
  qed
  then have  $\text{image } ?f (\text{insert } vs F) \in \text{Perm } (\text{insert } vs F) M1$ 
    using Perm.simps by blast
  then show ?thesis
    by blast
qed
qed
qed
qed

then show ?thesis
  using  $\langle [] \in V \rangle$  by blast
qed

```

```

lemma perm-elem :
  assumes is-det-state-cover  $M2 V$ 
  and OFSM  $M1$ 
  and OFSM  $M2$ 
  and  $\text{inputs } M1 = \text{inputs } M2$ 
  and  $vs \in V$ 
  and  $vs' \in \text{language-state-for-input } M1 \text{ (initial } M1) vs$ 
  obtains  $V''$ 
  where  $V'' \in \text{Perm } V M1$   $vs' \in V''$ 
  proof -

```

```

obtain  $V''$  where  $V'' \in \text{Perm } V \ M1$ 
  using  $\text{perm-nonempty}[OF \ \text{assms}(1-4)]$  by blast
then obtain  $f$  where  $V'' = \text{image } f \ V$ 
   $(\forall v \in V . f \ v \in \text{language-state-for-input } M1 \ (\text{initial } M1) \ v)$ 
  by auto

let  $?f = f(vs := vs')$ 

have  $\forall v \in V . (?f \ v) \in (\text{language-state-for-input } M1 \ (\text{initial } M1) \ v)$ 
  using  $\langle \forall v \in V . (f \ v) \in (\text{language-state-for-input } M1 \ (\text{initial } M1) \ v) \rangle \ \text{assms}(6)$  by fastforce

then have  $(\text{image } ?f \ V) \in \text{Perm } V \ M1$ 
  unfolding  $\text{Perm.simps}$  by blast
moreover have  $vs' \in \text{image } ?f \ V$ 
  by  $(\text{metis } \text{assms}(5) \ \text{fun-upd-same } \text{imageI})$ 
ultimately show  $?thesis$ 
  using that by blast
qed

```

4.3 Function R

Function R calculates the set of suffixes of a sequence that reach a given state if applied after a given other sequence.

```

fun  $R :: ('in, 'out, 'state) \text{FSM} \Rightarrow 'state \Rightarrow ('in \times 'out) \text{list}$ 
   $\Rightarrow ('in \times 'out) \text{list} \Rightarrow ('in \times 'out) \text{list set}$ 
  where
     $R \ M \ s \ vs \ xs = \{ vs @ xs' \mid xs' . xs' \neq []$ 
       $\wedge \text{prefix } xs' \ xs$ 
       $\wedge s \in \text{io-targets } M \ (\text{initial } M) \ (vs @ xs') \}$ 

lemma finite-R : finite  $(R \ M \ s \ vs \ xs)$ 
proof –
  have  $R \ M \ s \ vs \ xs \subseteq \{ vs @ xs' \mid xs' . \text{prefix } xs' \ xs \}$ 
  by auto
  then have  $R \ M \ s \ vs \ xs \subseteq \text{image } (\lambda xs' . vs @ xs') \ \{xs' . \text{prefix } xs' \ xs\}$ 
  by auto
  moreover have  $\{xs' . \text{prefix } xs' \ xs\} = \{\text{take } n \ xs \mid n . n \leq \text{length } xs\}$ 
proof
  show  $\{xs' . \text{prefix } xs' \ xs\} \subseteq \{\text{take } n \ xs \mid n . n \leq \text{length } xs\}$ 
  proof
    fix  $xs'$  assume  $xs' \in \{xs' . \text{prefix } xs' \ xs\}$ 
    then obtain  $zs'$  where  $xs' @ zs' = xs$ 
    by  $(\text{metis } (\text{full-types}) \ \text{mem-Collect-eq } \text{prefixE})$ 
    then obtain  $i$  where  $xs' = \text{take } i \ xs \wedge i \leq \text{length } xs$ 
    by  $(\text{metis } (\text{full-types}) \ \text{append-eq-conv-conj } \text{le-cases } \text{take-all})$ 
    then show  $xs' \in \{\text{take } n \ xs \mid n . n \leq \text{length } xs\}$ 
    by auto
  qed
  show  $\{\text{take } n \ xs \mid n . n \leq \text{length } xs\} \subseteq \{xs' . \text{prefix } xs' \ xs\}$ 
  using take-is-prefix by force
qed
moreover have finite  $\{\text{take } n \ xs \mid n . n \leq \text{length } xs\}$ 
  by auto
ultimately show  $?thesis$ 
  by auto
qed

```

```

lemma card-union-of-singletons :
  assumes  $\forall S \in SS . (\exists t . S = \{t\})$ 
shows  $\text{card } (\bigcup SS) = \text{card } SS$ 
proof –
  let  $?f = \lambda x . \{x\}$ 
  have bij-betw  $?f \ (\bigcup SS) \ SS$ 

```


unfolding *bij-betw-def inj-on-def* **using** *assms* **by** *fastforce*
then show *?thesis*
using *bij-betw-same-card* **by** *blast*
qed

lemma *card-union-of-distinct* :
assumes $\forall S1 \in SS . \forall S2 \in SS . S1 = S2 \vee f S1 \cap f S2 = \{\}$
and *finite SS*
and $\forall S \in SS . f S \neq \{\}$
shows *card (image f SS) = card SS*
proof –
from *assms(2)* **have** $\forall S1 \in SS . \forall S2 \in SS . S1 = S2 \vee f S1 \cap f S2 = \{\}$
 $\implies \forall S \in SS . f S \neq \{\} \implies ?thesis$
proof (*induction SS*)
case *empty*
then show *?case* **by** *auto*
next
case (*insert x F*)
then have $\neg (\exists y \in F . f y = f x)$
by *auto*
then have $f x \notin \text{image } f F$
by *auto*
then have *card (image f (insert x F)) = Suc (card (image f F))*
using *insert* **by** *auto*
moreover have *card (f ` F) = card F*
using *insert* **by** *auto*
moreover have *card (insert x F) = Suc (card F)*
using *insert* **by** *auto*
ultimately show *?case*
by *simp*
qed
then show *?thesis*
using *assms* **by** *simp*
qed

lemma *R-count* :
assumes $(vs @ xs) \in L M1 \cap L M2$
and *observable M1*
and *observable M2*
and *well-formed M1*
and *well-formed M2*
and $s \in \text{nodes } M2$
and *productF M2 M1 FAIL PM*
and *io-targets PM (initial PM) vs = {(q2,q1)}*
and *path PM (xs || tr) (q2,q1)*
and *length xs = length tr*
and *distinct (states (xs || tr) (q2,q1))*
shows *card ($\bigcup (\text{image } (\text{io-targets } M1 (\text{initial } M1)) (R M2 s vs xs)) = \text{card } (R M2 s vs xs)$*
— each sequence in the set calculated by R reaches a different state in M1
proof –

— Proof sketch: - states of PM reached by the sequences calculated by R can differ only in their second value - the sequences in the set calculated by R reach different states in PM due to distinctness

have *obs-PM : observable PM* **using** *observable-productF* *assms(2)* *assms(3)* *assms(7)* **by** *blast*

have *state-component-2* : $\forall io \in (R M2 s vs xs) . \text{io-targets } M2 (\text{initial } M2) io = \{s\}$

proof
fix *io* **assume** $io \in R M2 s vs xs$
then have $s \in \text{io-targets } M2 (\text{initial } M2) io$
by *auto*
moreover have $io \in \text{language-state } M2 (\text{initial } M2)$
using *calculation* **by** *auto*
ultimately show $\text{io-targets } M2 (\text{initial } M2) io = \{s\}$
using *assms(3)* *io-targets-observable-singleton-ex* **by** (*metis singletonD*)
qed

moreover have $\forall io \in R \ M2 \ s \ vs \ xs . io\text{-targets } PM \ (initial \ PM) \ io$
 $= io\text{-targets } M2 \ (initial \ M2) \ io \times io\text{-targets } M1 \ (initial \ M1) \ io$

proof
fix io **assume** $io\text{-assm} : io \in R \ M2 \ s \ vs \ xs$
then have $io\text{-prefix} : prefix \ io \ (vs \ @ \ xs)$
by *auto*
then have $io\text{-lang-subst} : io \in L \ M1 \ \wedge \ io \in L \ M2$
using $assms(1)$ **unfolding** $prefix\text{-def}$ **by** (*metis* *IntE* *language-state* *language-state-split*)
then have $io\text{-lang-inter} : io \in L \ M1 \ \cap \ L \ M2$
by *simp*
then have $io\text{-lang-pm} : io \in L \ PM$
using $productF\text{-language}$ $assms$ **by** *blast*
moreover obtain $p2 \ p1$ **where** $(p2, p1) \in io\text{-targets } PM \ (initial \ PM) \ io$
by (*metis* $assms(2)$ $assms(3)$ $assms(7)$ *calculation* *insert-absorb* *insert-ident* *insert-not-empty* *io-targets-observable-singleton-ob* *observable-productF* *singleton-insert-inj-eq* *subrelI*)
ultimately have $targets\text{-pm} : io\text{-targets } PM \ (initial \ PM) \ io = \{(p2, p1)\}$
using $assms \ io\text{-targets-observable-singleton-ex} \ singletonD$ **by** (*metis* *observable-productF*)
then obtain trP **where** $trP\text{-def} : target \ (io \parallel trP) \ (initial \ PM) = (p2, p1)$
 $\wedge path \ PM \ (io \parallel trP) \ (initial \ PM)$
 $\wedge length \ io = length \ trP$

proof –
assume $a1 : \bigwedge trP. target \ (io \parallel trP) \ (initial \ PM) = (p2, p1)$
 $\wedge path \ PM \ (io \parallel trP) \ (initial \ PM)$
 $\wedge length \ io = length \ trP \implies thesis$
have $\exists ps. target \ (io \parallel ps) \ (initial \ PM) = (p2, p1)$
 $\wedge path \ PM \ (io \parallel ps) \ (initial \ PM) \wedge length \ io = length \ ps$
using $\langle (p2, p1) \in io\text{-targets } PM \ (initial \ PM) \ io \rangle$ **by** *auto*
then show *?thesis*
using $a1$ **by** *blast*

qed
then have $trP\text{-unique} : \{ tr . path \ PM \ (io \parallel tr) \ (initial \ PM) \wedge length \ io = length \ tr \}$
 $= \{ trP \}$
using $observable\text{-productF} \ observable\text{-path-unique-ex}[of \ PM \ io \ initial \ PM]$
 $io\text{-lang-pm} \ assms(2) \ assms(3) \ assms(7)$

proof –
obtain $pps :: ('d \times 'c) \text{ list}$ **where**
 $f1 : \{ps. path \ PM \ (io \parallel ps) \ (initial \ PM) \wedge length \ io = length \ ps\} = \{pps\}$
 $\vee \neg observable \ PM$
by (*metis* (*no-types*) $\langle \bigwedge thesis. \llbracket observable \ PM; io \in L \ PM; \bigwedge tr. \{t. path \ PM \ (io \parallel t) \ (initial \ PM) \wedge length \ io = length \ t\} = \{tr\} \implies thesis \rrbracket \implies thesis \rrbracket$
 $io\text{-lang-pm}$)
have $f2 : observable \ PM$
by (*meson* $\langle observable \ M1 \rangle \langle observable \ M2 \rangle \langle productF \ M2 \ M1 \ FAIL \ PM \rangle observable\text{-productF}$)
then have $trP \in \{pps\}$
using $f1 \ trP\text{-def}$ **by** *blast*
then show *?thesis*
using $f2 \ f1$ **by** *force*

qed

obtain $trIO2$ **where** $trIO2\text{-def} : \{tr . path \ M2 \ (io \parallel tr) \ (initial \ M2) \wedge length \ io = length \ tr\}$
 $= \{ trIO2 \}$
using $observable\text{-path-unique-ex}[of \ M2 \ io \ initial \ M2] \ io\text{-lang-subst} \ assms(3)$ **by** *blast*
obtain $trIO1$ **where** $trIO1\text{-def} : \{tr . path \ M1 \ (io \parallel tr) \ (initial \ M1) \wedge length \ io = length \ tr\}$
 $= \{ trIO1 \}$
using $observable\text{-path-unique-ex}[of \ M1 \ io \ initial \ M1] \ io\text{-lang-subst} \ assms(2)$ **by** *blast*

have $path \ PM \ (io \parallel trIO2 \parallel trIO1) \ (initial \ M2, \ initial \ M1)$
 $\wedge length \ io = length \ trIO2$
 $\wedge length \ trIO2 = length \ trIO1$

proof –
have $f1 : path \ M2 \ (io \parallel trIO2) \ (initial \ M2) \wedge length \ io = length \ trIO2$
using $trIO2\text{-def}$ **by** *auto*
have $f2 : path \ M1 \ (io \parallel trIO1) \ (initial \ M1) \wedge length \ io = length \ trIO1$

```

    using trIO1-def by auto
  then have length trIO2 = length trIO1
    using f1 by presburger
  then show ?thesis
    using f2 f1 assms(4) assms(5) assms(7) by blast
qed
then have trP-split : path PM (io || trIO2 || trIO1) (initial PM)
  ∧ length io = length trIO2
  ∧ length trIO2 = length trIO1
  using assms(7) by auto
then have trP-zip : trIO2 || trIO1 = trP
  using trP-def trP-unique using length-zip by fastforce

have target (io || trIO2) (initial M2) = p2
  ∧ path M2 (io || trIO2) (initial M2)
  ∧ length io = length trIO2
  using trP-zip trP-split assms(7) trP-def trIO2-def by auto
then have p2 ∈ io-targets M2 (initial M2) io
  by auto
then have targets-2 : io-targets M2 (initial M2) io = {p2}
  by (metis state-component-2 io-assm singletonD)

have target (io || trIO1) (initial M1) = p1
  ∧ path M1 (io || trIO1) (initial M1)
  ∧ length io = length trIO1
  using trP-zip trP-split assms(7) trP-def trIO1-def by auto
then have p1 ∈ io-targets M1 (initial M1) io
  by auto
then have targets-1 : io-targets M1 (initial M1) io = {p1}
  by (metis io-lang-subs assms(2) io-targets-observable-singleton-ex singletonD)

have io-targets M2 (initial M2) io × io-targets M1 (initial M1) io = {(p2,p1)}
  using targets-2 targets-1 by simp
then show io-targets PM (initial PM) io
  = io-targets M2 (initial M2) io × io-targets M1 (initial M1) io
  using targets-pm by simp
qed

ultimately have state-components : ∀ io ∈ R M2 s vs xs . io-targets PM (initial PM) io
  = {s} × io-targets M1 (initial M1) io
  by auto

then have ⋃ (image (io-targets PM (initial PM)) (R M2 s vs xs))
  = ⋃ (image (λ io . {s} × io-targets M1 (initial M1) io) (R M2 s vs xs))
  by auto
then have ⋃ (image (io-targets PM (initial PM)) (R M2 s vs xs))
  = {s} × ⋃ (image (io-targets M1 (initial M1)) (R M2 s vs xs))
  by auto
then have card (⋃ (image (io-targets PM (initial PM)) (R M2 s vs xs)))
  = card (⋃ (image (io-targets M1 (initial M1)) (R M2 s vs xs)))
  by (metis (no-types) card-cartesian-product-singleton)

moreover have card (⋃ (image (io-targets PM (initial PM)) (R M2 s vs xs)))
  = card (R M2 s vs xs)
proof (rule ccontr)
  assume assm : card (⋃ (io-targets PM (initial PM) ‘ R M2 s vs xs )) ≠ card (R M2 s vs xs)

  have ∀ io ∈ R M2 s vs xs . io ∈ L PM
  proof
    fix io assume io-assm : io ∈ R M2 s vs xs
    then have prefix io (vs @ xs)
      by auto
    then have io ∈ L M1 ∧ io ∈ L M2
      using assms(1) unfolding prefix-def by (metis IntE language-state language-state-split)
    then show io ∈ L PM
      using productF-language assms by blast
  end
end

```

qed
then have *singletons* : $\forall io \in R \ M2 \ s \ vs \ xs . (\exists t . io\text{-targets } PM \ (initial \ PM) \ io = \{t\})$
using *io-targets-observable-singleton-ex observable-productF assms by metis*
then have *card-targets* : $card \ (\bigcup (io\text{-targets } PM \ (initial \ PM) \ 'R \ M2 \ s \ vs \ xs))$
 $= card \ (image \ (io\text{-targets } PM \ (initial \ PM)) \ (R \ M2 \ s \ vs \ xs))$
using *finite-R card-union-of-singletons*
 $[of \ image \ (io\text{-targets } PM \ (initial \ PM)) \ (R \ M2 \ s \ vs \ xs)]$
by *simp*

moreover have $card \ (image \ (io\text{-targets } PM \ (initial \ PM)) \ (R \ M2 \ s \ vs \ xs)) \leq card \ (R \ M2 \ s \ vs \ xs)$
using *finite-R by (metis card-image-le)*
ultimately have *card-le* : $card \ (\bigcup (io\text{-targets } PM \ (initial \ PM) \ 'R \ M2 \ s \ vs \ xs))$
 $< card \ (R \ M2 \ s \ vs \ xs)$
using *assm by linarith*

have $\exists io1 \in (R \ M2 \ s \ vs \ xs) . \exists io2 \in (R \ M2 \ s \ vs \ xs) . io1 \neq io2$
 $\wedge io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2 \neq \{\}$
proof (rule *ccontr*)
assume $\neg (\exists io1 \in R \ M2 \ s \ vs \ xs . \exists io2 \in R \ M2 \ s \ vs \ xs . io1 \neq io2$
 $\wedge io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2 \neq \{\})$
then have $\forall io1 \in R \ M2 \ s \ vs \ xs . \forall io2 \in R \ M2 \ s \ vs \ xs . io1 = io2$
 $\vee io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2 = \{\}$
by *blast*
moreover have $\forall io \in R \ M2 \ s \ vs \ xs . io\text{-targets } PM \ (initial \ PM) \ io \neq \{\}$
by (metis *insert-not-empty singletons*)
ultimately have $card \ (image \ (io\text{-targets } PM \ (initial \ PM)) \ (R \ M2 \ s \ vs \ xs))$
 $= card \ (R \ M2 \ s \ vs \ xs)$
using *finite-R[of M2 s vs xs] card-union-of-distinct*
 $[of \ R \ M2 \ s \ vs \ xs \ (io\text{-targets } PM \ (initial \ PM))]$
by *blast*
then show *False*
using *card-le card-targets by linarith*
qed

then have $\exists io1 \ io2 . io1 \in (R \ M2 \ s \ vs \ xs)$
 $\wedge io2 \in (R \ M2 \ s \ vs \ xs)$
 $\wedge io1 \neq io2$
 $\wedge io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2 \neq \{\}$
by *blast*
moreover have $\forall io1 \ io2 . (io1 \in (R \ M2 \ s \ vs \ xs) \wedge io2 \in (R \ M2 \ s \ vs \ xs) \wedge io1 \neq io2)$
 $\longrightarrow length \ io1 \neq length \ io2$
proof (rule *ccontr*)
assume $\neg (\forall io1 \ io2 . io1 \in R \ M2 \ s \ vs \ xs \wedge io2 \in R \ M2 \ s \ vs \ xs \wedge io1 \neq io2$
 $\longrightarrow length \ io1 \neq length \ io2)$
then obtain *io1 io2* **where** *io-def* : $io1 \in R \ M2 \ s \ vs \ xs$
 $\wedge io2 \in R \ M2 \ s \ vs \ xs$
 $\wedge io1 \neq io2$
 $\wedge length \ io1 = length \ io2$

by *auto*
then have $prefix \ io1 \ (vs \ @ \ xs) \wedge prefix \ io2 \ (vs \ @ \ xs)$
by *auto*
then have $io1 = take \ (length \ io1) \ (vs \ @ \ xs) \wedge io2 = take \ (length \ io2) \ (vs \ @ \ xs)$
by (metis *append-eq-conv-conj prefixE*)
then show *False*
using *io-def by auto*
qed

ultimately obtain *io1 io2* **where** *rep-ios-def* :
 $io1 \in (R \ M2 \ s \ vs \ xs)$
 $\wedge io2 \in (R \ M2 \ s \ vs \ xs)$
 $\wedge length \ io1 < length \ io2$
 $\wedge io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2 \neq \{\}$
by (metis *inf-sup-aci(1) linorder-neqE-nat*)

obtain *rep* **where** $(s, rep) \in io\text{-targets } PM \ (initial \ PM) \ io1 \cap io\text{-targets } PM \ (initial \ PM) \ io2$
proof –

```

assume a1:  $\bigwedge \text{rep. } (s, \text{rep}) \in \text{io-targets } PM \text{ (initial PM) } io1 \cap \text{io-targets } PM \text{ (initial PM) } io2$ 
            $\implies \text{thesis}$ 
have  $\exists f. \text{Sigma } \{s\} f \cap (\text{io-targets } PM \text{ (initial PM) } io1 \cap \text{io-targets } PM \text{ (initial PM) } io2)$ 
       $\neq \{\}$ 
by (metis (no-types) inf.left-idem rep-ios-def state-components)
then show ?thesis
using a1 by blast
qed
then have rep-state :  $\text{io-targets } PM \text{ (initial PM) } io1 = \{(s, \text{rep})\}$ 
            $\wedge \text{io-targets } PM \text{ (initial PM) } io2 = \{(s, \text{rep})\}$ 
by (metis Int-iff rep-ios-def singletonD singletons)

obtain io1X io2X where rep-ios-split :  $io1 = vs @ io1X$ 
            $\wedge \text{prefix } io1X \text{ } xs$ 
            $\wedge io2 = vs @ io2X$ 
            $\wedge \text{prefix } io2X \text{ } xs$ 

using rep-ios-def by auto
then have length io1 > length vs
using rep-ios-def by auto

```

— get a path from (initial PM) to (q2,q1)

```

have vs@xs  $\in L \text{ } PM$ 
by (metis (no-types) assms(1) assms(4) assms(5) assms(7) inf-commute productF-language)
then have vs  $\in L \text{ } PM$ 
by (meson language-state-prefix)
then obtain trV where trV-def :  $\{tr . \text{path } PM \text{ (vs || tr) (initial PM) } \wedge \text{length } vs = \text{length } tr\}$ 
            $= \{trV\}$ 
using observable-path-unique-ex[of PM vs initial PM]
           assms(2) assms(3) assms(7) observable-productF
by blast
let ?qv = target (vs || trV) (initial PM)

have ?qv  $\in \text{io-targets } PM \text{ (initial PM) } vs$ 
using trV-def by auto
then have qv-simp[simp] : ?qv = (q2,q1)
using singletons assms by blast
then have ?qv  $\in \text{nodes } PM$ 
using trV-def assms by blast

```

— get a path using io1X from the state reached by vs in PM

```

obtain tr1X-all where tr1X-all-def :  $\text{path } PM \text{ (vs @ io1X || tr1X-all) (initial PM)}$ 
            $\wedge \text{length (vs @ io1X) = length tr1X-all}$ 
using rep-ios-def rep-ios-split by auto
let ?tr1X = drop (length vs) tr1X-all
have take (length vs) tr1X-all = trV
proof —
have path PM (vs || take (length vs) tr1X-all) (initial PM)
            $\wedge \text{length } vs = \text{length (take (length vs) tr1X-all)}$ 
using tr1X-all-def trV-def
by (metis (no-types, lifting) FSM.path-append-elim append-eq-conv-conj
           length-take zip-append1)
then show take (length vs) tr1X-all = trV
using trV-def by blast
qed
then have tr1X-def :  $\text{path } PM \text{ (io1X || ?tr1X) } ?qv \wedge \text{length } io1X = \text{length } ?tr1X$ 
proof —
have length tr1X-all = length vs + length io1X
using tr1X-all-def by auto
then have length io1X = length tr1X-all – length vs
by presburger
then show ?thesis
by (metis (no-types) FSM.path-append-elim <take (length vs) tr1X-all = trV>)

```

length-drop tr1X-all-def zip-append1)

qed

then have $io1X\text{-lang} : io1X \in \text{language-state } PM \text{ } ?qv$

by *auto*

then obtain $tr1X'$ **where** $tr1X'\text{-def} : \{tr . \text{path } PM (io1X \parallel tr) \text{ } ?qv \wedge \text{length } io1X = \text{length } tr\}$
 $= \{tr1X'\}$

using *observable-path-unique-ex*[of $PM \text{ } io1X \text{ } ?qv$]

assms(2) *assms*(3) *assms*(7) *observable-productF*

by *blast*

moreover have $?tr1X \in \{tr . \text{path } PM (io1X \parallel tr) \text{ } ?qv \wedge \text{length } io1X = \text{length } tr\}$

using $tr1X\text{-def}$ **by** *auto*

ultimately have $tr1x\text{-unique} : tr1X' = ?tr1X$

by *simp*

— get a path using $io2X$ from the state reached by vs in PM

obtain $tr2X\text{-all}$ **where** $tr2X\text{-all-def} : \text{path } PM (vs @ io2X \parallel tr2X\text{-all}) (\text{initial } PM)$
 $\wedge \text{length } (vs @ io2X) = \text{length } tr2X\text{-all}$

using *rep-ios-def rep-ios-split* **by** *auto*

let $?tr2X = \text{drop } (\text{length } vs) \text{ } tr2X\text{-all}$

have $\text{take } (\text{length } vs) \text{ } tr2X\text{-all} = trV$

proof —

have $\text{path } PM (vs \parallel \text{take } (\text{length } vs) \text{ } tr2X\text{-all}) (\text{initial } PM)$
 $\wedge \text{length } vs = \text{length } (\text{take } (\text{length } vs) \text{ } tr2X\text{-all})$

using $tr2X\text{-all-def } trV\text{-def}$

by (*metis* (*no-types*, *lifting*) *FSM.path-append-elim append-eq-conv-conj*
 $\text{length-take zip-append1}$)

then show $\text{take } (\text{length } vs) \text{ } tr2X\text{-all} = trV$

using $trV\text{-def}$ **by** *blast*

qed

then have $tr2X\text{-def} : \text{path } PM (io2X \parallel ?tr2X) \text{ } ?qv \wedge \text{length } io2X = \text{length } ?tr2X$

proof —

have $\text{length } tr2X\text{-all} = \text{length } vs + \text{length } io2X$

using $tr2X\text{-all-def}$ **by** *auto*

then have $\text{length } io2X = \text{length } tr2X\text{-all} - \text{length } vs$

by *presburger*

then show $?thesis$

by (*metis* (*no-types*) *FSM.path-append-elim* $\langle \text{take } (\text{length } vs) \text{ } tr2X\text{-all} = trV \rangle$
 $\text{length-drop } tr2X\text{-all-def zip-append1}$)

qed

then have $io2X\text{-lang} : io2X \in \text{language-state } PM \text{ } ?qv$ **by** *auto*

then obtain $tr2X'$ **where** $tr2X'\text{-def} : \{tr . \text{path } PM (io2X \parallel tr) \text{ } ?qv \wedge \text{length } io2X = \text{length } tr\}$
 $= \{tr2X'\}$

using *observable-path-unique-ex*[of $PM \text{ } io2X \text{ } ?qv$] *assms*(2) *assms*(3) *assms*(7) *observable-productF*

by *blast*

moreover have $?tr2X \in \{tr . \text{path } PM (io2X \parallel tr) \text{ } ?qv \wedge \text{length } io2X = \text{length } tr\}$

using $tr2X\text{-def}$ **by** *auto*

ultimately have $tr2x\text{-unique} : tr2X' = ?tr2X$

by *simp*

— both paths reach the same state

have $io\text{-targets } PM (\text{initial } PM) (vs @ io1X) = \{(s, rep)\}$

using *rep-state rep-ios-split* **by** *auto*

moreover have $io\text{-targets } PM (\text{initial } PM) vs = \{?qv\}$

using *assms*(8) **by** *auto*

ultimately have $rep\text{-via-1} : io\text{-targets } PM \text{ } ?qv \text{ } io1X = \{(s, rep)\}$

by (*meson obs-PM observable-io-targets-split*)

then have $rep\text{-tgt-1} : \text{target } (io1X \parallel tr1X') \text{ } ?qv = (s, rep)$

using *obs-PM observable-io-target-unique-target*[of $PM \text{ } ?qv \text{ } io1X (s, rep)$] $tr1X'\text{-def}$ **by** *blast*

have $\text{length-1} : \text{length } (io1X \parallel tr1X') > 0$

using $\langle \text{length } vs < \text{length } io1 \rangle$ *rep-ios-split* $tr1X\text{-def}$ $tr1x\text{-unique}$ **by** *auto*

have $tr1X\text{-alt-def} : tr1X' = \text{take } (\text{length } io1X) \text{ } tr$

by (*metis* (*no-types*) *assms*(10) *assms*(9) *obs-PM observable-path-prefix qv-simp*
 $rep\text{-ios-split } tr1X\text{-def } tr1x\text{-unique}$)

```

moreover have  $io1X = take\ (length\ io1X)\ xs$ 
  using  $rep\text{-}ios\text{-}split$  by  $(metis\ append\text{-}eq\text{-}conv\text{-}conj\ prefixE)$ 
ultimately have  $(io1X \parallel tr1X') = take\ (length\ io1X)\ (xs \parallel tr)$ 
  by  $(metis\ take\text{-}zip)$ 
moreover have  $length\ (xs \parallel tr) \geq length\ (io1X \parallel tr1X')$ 
  by  $(metis\ (no\text{-}types)\ \langle io1X = take\ (length\ io1X)\ xs \rangle\ assms(10)\ length\text{-}take\ length\text{-}zip$ 
 $\quad nat\text{-}le\text{-}linear\ take\text{-}all\ tr1X\text{-}def\ tr1x\text{-}unique)$ 
ultimately have  $rep\text{-}idx\text{-}1 : (states\ (xs \parallel tr)\ ?qv) ! ((length\ io1X) - 1) = (s, rep)$ 
  by  $(metis\ (no\text{-}types,\ lifting)\ One\text{-}nat\text{-}def\ Suc\text{-}less\text{-}eq\ Suc\text{-}pred\ rep\text{-}tgt\text{-}1\ length\text{-}1$ 
 $\quad less\text{-}Suc\text{-}eq\text{-}le\ map\text{-}snd\text{-}zip\ scan\text{-}length\ scan\text{-}nth\ states\text{-}alt\text{-}def\ tr1X\text{-}def\ tr1x\text{-}unique)$ 

have  $io\text{-}targets\ PM\ (initial\ PM)\ (vs @ io2X) = \{(s, rep)\}$ 
  using  $rep\text{-}state\ rep\text{-}ios\text{-}split$  by  $auto$ 
moreover have  $io\text{-}targets\ PM\ (initial\ PM)\ vs = \{?qv\}$ 
  using  $assms(8)$  by  $auto$ 
ultimately have  $rep\text{-}via\text{-}2 : io\text{-}targets\ PM\ ?qv\ io2X = \{(s, rep)\}$ 
  by  $(meson\ obs\text{-}PM\ observable\text{-}io\text{-}targets\text{-}split)$ 
then have  $rep\text{-}tgt\text{-}2 : target\ (io2X \parallel tr2X')\ ?qv = (s, rep)$ 
  using  $obs\text{-}PM\ observable\text{-}io\text{-}target\text{-}unique\text{-}target[of\ PM\ ?qv\ io2X\ (s, rep)]\ tr2X'\text{-}def$  by  $blast$ 
moreover have  $length\text{-}2 : length\ (io2X \parallel tr2X') > 0$ 
  by  $(metis\ \langle length\ vs < length\ io1 \rangle\ append.\text{right}\text{-}neutral\ length\text{-}0\text{-}conv\ length\text{-}zip\ less\text{-}asym\ min.\text{idem}\ neq0\text{-}conv$ 
 $\quad rep\text{-}ios\text{-}def\ rep\text{-}ios\text{-}split\ tr2X\text{-}def\ tr2x\text{-}unique)$ 

have  $tr2X\text{-}alt\text{-}def : tr2X' = take\ (length\ io2X)\ tr$ 
  by  $(metis\ (no\text{-}types)\ assms(10)\ assms(9)\ obs\text{-}PM\ observable\text{-}path\text{-}prefix\ qv\text{-}simp\ rep\text{-}ios\text{-}split\ tr2X\text{-}def\ tr2x\text{-}unique)$ 
moreover have  $io2X = take\ (length\ io2X)\ xs$ 
  using  $rep\text{-}ios\text{-}split$  by  $(metis\ append\text{-}eq\text{-}conv\text{-}conj\ prefixE)$ 
ultimately have  $(io2X \parallel tr2X') = take\ (length\ io2X)\ (xs \parallel tr)$ 
  by  $(metis\ take\text{-}zip)$ 
moreover have  $length\ (xs \parallel tr) \geq length\ (io2X \parallel tr2X')$ 
  using  $calculation$  by  $auto$ 
ultimately have  $rep\text{-}idx\text{-}2 : (states\ (xs \parallel tr)\ ?qv) ! ((length\ io2X) - 1) = (s, rep)$ 
  by  $(metis\ (no\text{-}types,\ lifting)\ One\text{-}nat\text{-}def\ Suc\text{-}less\text{-}eq\ Suc\text{-}pred\ rep\text{-}tgt\text{-}2\ length\text{-}2$ 
 $\quad less\text{-}Suc\text{-}eq\text{-}le\ map\text{-}snd\text{-}zip\ scan\text{-}length\ scan\text{-}nth\ states\text{-}alt\text{-}def\ tr2X\text{-}def\ tr2x\text{-}unique)$ 

— thus the distinctness assumption is violated

have  $length\ io1X \neq length\ io2X$ 
  by  $(metis\ \langle io1X = take\ (length\ io1X)\ xs \rangle\ \langle io2X = take\ (length\ io2X)\ xs \rangle\ less\text{-}irrefl$ 
 $\quad rep\text{-}ios\text{-}def\ rep\text{-}ios\text{-}split)$ 
moreover have  $(states\ (xs \parallel tr)\ ?qv) ! ((length\ io1X) - 1)$ 
 $\quad = (states\ (xs \parallel tr)\ ?qv) ! ((length\ io2X) - 1)$ 
  using  $rep\text{-}idx\text{-}1\ rep\text{-}idx\text{-}2$  by  $simp$ 
ultimately have  $\neg (distinct\ (states\ (xs \parallel tr)\ ?qv))$ 
  by  $(metis\ Suc\text{-}less\text{-}eq\ \langle io1X = take\ (length\ io1X)\ xs \rangle$ 
 $\quad \langle io1X \parallel tr1X' = take\ (length\ io1X)\ (xs \parallel tr) \rangle\ \langle io2X = take\ (length\ io2X)\ xs \rangle$ 
 $\quad \langle io2X \parallel tr2X' = take\ (length\ io2X)\ (xs \parallel tr) \rangle$ 
 $\quad \langle length\ (io1X \parallel tr1X') \leq length\ (xs \parallel tr) \rangle\ \langle length\ (io2X \parallel tr2X') \leq length\ (xs \parallel tr) \rangle$ 
 $\quad assms(10)\ diff\text{-}Suc\text{-}1\ distinct\text{-}conv\text{-}nth\ gr0\text{-}conv\text{-}Suc\ le\text{-}imp\text{-}less\text{-}Suc\ length\text{-}1\ length\text{-}2$ 
 $\quad length\text{-}take\ map\text{-}snd\text{-}zip\ scan\text{-}length\ states\text{-}alt\text{-}def)$ 
then show  $False$ 
  by  $(metis\ assms(11)\ states\text{-}alt\text{-}def)$ 
qed

ultimately show  $?thesis$ 
  by  $linarith$ 
qed

```

```

lemma  $R\text{-}state\text{-}component\text{-}2 :$ 
  assumes  $io \in (R\ M2\ s\ vs\ xs)$ 
  and  $observable\ M2$ 
shows  $io\text{-}targets\ M2\ (initial\ M2)\ io = \{s\}$ 

```

```

proof –
  have  $s \in \text{io-targets } M2 \text{ (initial } M2) \text{ io}$ 
  using  $\text{assms}(1)$  by  $\text{auto}$ 
  moreover have  $\text{io} \in \text{language-state } M2 \text{ (initial } M2)$ 
  using  $\text{calculation}$  by  $\text{auto}$ 
  ultimately show  $\text{io-targets } M2 \text{ (initial } M2) \text{ io} = \{s\}$ 
  using  $\text{assms}(2)$   $\text{io-targets-observable-singleton-ex}$  by  $(\text{metis singletonD})$ 
qed

lemma  $R\text{-union-card-is-suffix-length}$  :
  assumes  $\text{OFSM } M2$ 
  and  $\text{io@xs} \in L \ M2$ 
shows  $\text{sum } (\lambda q . \text{card } (R \ M2 \ q \ \text{io } xs)) \text{ (nodes } M2) = \text{length } xs$ 
using  $\text{assms}$  proof  $(\text{induction } xs \text{ rule: rev-induct})$ 
  case  $\text{Nil}$ 
  show  $?case$ 
  by  $(\text{simp add: sum.neutral})$ 
next
  case  $(\text{snoc } x \ xs)$ 

  have  $\text{finite (nodes } M2)$ 
  using  $\text{assms}$  by  $\text{auto}$ 

  have  $R\text{-update} : \bigwedge q . R \ M2 \ q \ \text{io } (xs@[x]) = (\text{if } (q \in \text{io-targets } M2 \text{ (initial } M2) \text{ (io @ xs @ [x]))}$ 
     $\text{then insert (io@xs@[x]) (R } M2 \ q \ \text{io } xs)$ 
     $\text{else } R \ M2 \ q \ \text{io } xs)$ 
  by  $\text{auto}$ 

  obtain  $q$  where  $\text{io-targets } M2 \text{ (initial } M2) \text{ (io @ xs @ [x])} = \{q\}$ 
  by  $(\text{meson assms}(1) \text{ io-targets-observable-singleton-ex snoc.prem}(2))$ 

  then have  $R \ M2 \ q \ \text{io } (xs@[x]) = \text{insert (io@xs@[x]) (R } M2 \ q \ \text{io } xs)$ 
  using  $R\text{-update}$  by  $\text{auto}$ 
  moreover have  $(\text{io@xs@[x]}) \notin (R \ M2 \ q \ \text{io } xs)$ 
  by  $\text{auto}$ 
  ultimately have  $\text{card } (R \ M2 \ q \ \text{io } (xs@[x])) = \text{Suc (card (R } M2 \ q \ \text{io } xs))$ 
  by  $(\text{metis card-insert-disjoint finite-R})$ 

  have  $q \in \text{nodes } M2$ 
  by  $(\text{metis (full-types) FSM.nodes.initial } \langle \text{io-targets } M2 \text{ (initial } M2) \text{ (io@xs @ [x])} = \{q\} \rangle$ 
     $\text{insertI1 io-targets-nodes})$ 

  have  $\forall q' . q' \neq q \longrightarrow R \ M2 \ q' \ \text{io } (xs@[x]) = R \ M2 \ q' \ \text{io } xs$ 
  using  $\langle \text{io-targets } M2 \text{ (initial } M2) \text{ (io@xs @ [x])} = \{q\} \rangle R\text{-update}$ 
  by  $\text{auto}$ 
  then have  $\forall q' . q' \neq q \longrightarrow \text{card } (R \ M2 \ q' \ \text{io } (xs@[x])) = \text{card } (R \ M2 \ q' \ \text{io } xs)$ 
  by  $\text{auto}$ 

  then have  $(\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 
     $= (\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } xs))$ 
  by  $\text{auto}$ 
  moreover have  $(\sum_{q \in \text{nodes } M2} \text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 
     $= (\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } (xs@[x]))) + (\text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 
     $(\sum_{q \in \text{nodes } M2} \text{card } (R \ M2 \ q \ \text{io } xs))$ 
     $= (\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } xs)) + (\text{card } (R \ M2 \ q \ \text{io } xs))$ 

  proof –
  have  $\forall C \ c \ f . (\text{infinite } C \vee (c::'c) \notin C) \vee \text{sum } f \ C = (f \ c::\text{nat}) + \text{sum } f \ (C - \{c\})$ 
  by  $(\text{meson sum.remove})$ 
  then show  $(\sum_{q \in \text{nodes } M2} \text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 
     $= (\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } (xs@[x]))) + (\text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 
     $(\sum_{q \in \text{nodes } M2} \text{card } (R \ M2 \ q \ \text{io } xs))$ 
     $= (\sum_{q \in (\text{nodes } M2 - \{q\})} \text{card } (R \ M2 \ q \ \text{io } xs)) + (\text{card } (R \ M2 \ q \ \text{io } xs))$ 
  using  $\langle \text{finite (nodes } M2) \rangle \langle q \in \text{nodes } M2 \rangle$  by  $\text{presburger+}$ 
qed
  ultimately have  $(\sum_{q \in \text{nodes } M2} \text{card } (R \ M2 \ q \ \text{io } (xs@[x])))$ 

```



```

      = Suc (∑ q∈nodes M2. card (R M2 q io xs))
using ‹card (R M2 q io (xs@[x])) = Suc (card (R M2 q io xs))›
by presburger

have (∑ q∈nodes M2. card (R M2 q io xs)) = length xs
using snoc.IH snoc.premis language-state-prefix[of io@xs [x] M2 initial M2]
proof –
  show ?thesis
    by (metis (no-types) ‹(io @ xs) @ [x] ∈ L M2 ⟹ io @ xs ∈ L M2›
      ‹OFSM M2› ‹io @ xs @ [x] ∈ L M2› append.assoc snoc.IH)
qed

show ?case
proof –
  show ?thesis
    by (metis (no-types)
      ‹(∑ q∈nodes M2. card (R M2 q io (xs @ [x]))) = Suc (∑ q∈nodes M2. card (R M2 q io xs))›
      ‹(∑ q∈nodes M2. card (R M2 q io xs)) = length xs› length-append-singleton)
qed
qed

```

lemma *R-state-repetition-via-long-sequence* :

```

assumes OFSM M
and      card (nodes M) ≤ m
and      Suc (m * m) ≤ length xs
and      vs@xs ∈ L M
shows ∃ q ∈ nodes M . card (R M q vs xs) > m
proof (rule ccontr)
  assume ¬ (∃ q∈nodes M. m < card (R M q vs xs))
  then have ∀ q ∈ nodes M . card (R M q vs xs) ≤ m
    by auto
  then have sum (λ q . card (R M q vs xs)) (nodes M) ≤ sum (λ q . m) (nodes M)
    by (meson sum-mono)
  moreover have sum (λ q . m) (nodes M) ≤ m * m
    using assms(2) by auto
  ultimately have sum (λ q . card (R M q vs xs)) (nodes M) ≤ m * m
    by presburger

  moreover have Suc (m*m) ≤ sum (λ q . card (R M q vs xs)) (nodes M)
    using R-union-card-is-suffix-length[OF assms(1), of vs xs] assms(4,3) by auto
  ultimately show False by simp
qed

```

lemma *R-state-repetition-distribution* :

```

assumes OFSM M
and      Suc (card (nodes M) * m) ≤ length xs
and      vs@xs ∈ L M
shows ∃ q ∈ nodes M . card (R M q vs xs) > m
proof (rule ccontr)
  assume ¬ (∃ q∈nodes M. m < card (R M q vs xs))
  then have ∀ q ∈ nodes M . card (R M q vs xs) ≤ m
    by auto
  then have sum (λ q . card (R M q vs xs)) (nodes M) ≤ sum (λ q . m) (nodes M)
    by (meson sum-mono)
  moreover have sum (λ q . m) (nodes M) ≤ card (nodes M) * m
    using assms(2) by auto
  ultimately have sum (λ q . card (R M q vs xs)) (nodes M) ≤ card (nodes M) * m
    by presburger

  moreover have Suc (card (nodes M)*m) ≤ sum (λ q . card (R M q vs xs)) (nodes M)
    using R-union-card-is-suffix-length[OF assms(1), of vs xs] assms(3,2) by auto
  ultimately show False
    by simp
qed

```

4.4 Function RP

Function RP extends function MR by adding all elements from a set of IO-sequences that also reach the given state.

```

fun RP :: ('in, 'out, 'state) FSM  $\Rightarrow$  'state  $\Rightarrow$  ('in  $\times$  'out) list
   $\Rightarrow$  ('in  $\times$  'out) list  $\Rightarrow$  ('in  $\times$  'out) list set
   $\Rightarrow$  ('in  $\times$  'out) list set

where
  RP M s vs xs V'' = R M s vs xs
     $\cup \{vs' \in V'' . \text{io-targets } M (\text{initial } M) vs' = \{s\}\}$ 

lemma RP-from-R:
  assumes is-det-state-cover M2 V
  and V''  $\in$  Perm V M1
shows RP M2 s vs xs V'' = R M2 s vs xs
   $\vee (\exists vs' \in V'' . vs' \notin R M2 s vs xs \wedge RP M2 s vs xs V'' = \text{insert } vs' (R M2 s vs xs))$ 
proof (rule ccontr)
  assume assm :  $\neg (RP M2 s vs xs V'' = R M2 s vs xs \vee$ 
     $(\exists vs' \in V'' . vs' \notin R M2 s vs xs \wedge RP M2 s vs xs V'' = \text{insert } vs' (R M2 s vs xs)))$ 

  moreover have R M2 s vs xs  $\subseteq$  RP M2 s vs xs V''
  by simp
  moreover have RP M2 s vs xs V''  $\subseteq$  R M2 s vs xs  $\cup$  V''
  by auto
  ultimately obtain vs1 vs2 where vs-def :
    vs1  $\neq$  vs2  $\wedge$  vs1  $\in$  V''  $\wedge$  vs2  $\in$  V''
     $\wedge$  vs1  $\notin$  R M2 s vs xs  $\wedge$  vs2  $\notin$  R M2 s vs xs
     $\wedge$  vs1  $\in$  RP M2 s vs xs V''  $\wedge$  vs2  $\in$  RP M2 s vs xs V''
  by blast

  then have io-targets M2 (initial M2) vs1 = {s}  $\wedge$  io-targets M2 (initial M2) vs2 = {s}
  by (metis (mono-tags, lifting) RP.simps Un-iff mem-Collect-eq)
  then have io-targets M2 (initial M2) vs1 = io-targets M2 (initial M2) vs2
  by simp

  obtain f where f-def : is-det-state-cover-ass M2 f  $\wedge$  V = f ' d-reachable M2 (initial M2)
  using assms by auto
  moreover have V = image f (d-reachable M2 (initial M2))
  using f-def by blast
  moreover have map fst vs1  $\in$  V  $\wedge$  map fst vs2  $\in$  V
  using assms(2) perm-inputs vs-def by blast
  ultimately obtain r1 r2 where r-def :
    f r1 = map fst vs1  $\wedge$  r1  $\in$  d-reachable M2 (initial M2)
    f r2 = map fst vs2  $\wedge$  r2  $\in$  d-reachable M2 (initial M2)
  by force
  then have d-reaches M2 (initial M2) (map fst vs1) r1
    d-reaches M2 (initial M2) (map fst vs2) r2
  by (metis f-def is-det-state-cover-ass.elims(2))+

  then have io-targets M2 (initial M2) vs1  $\subseteq$  {r1}
  using d-reaches-io-target[of M2 initial M2 map fst vs1 r1 map snd vs1] by simp
  moreover have io-targets M2 (initial M2) vs2  $\subseteq$  {r2}
  using d-reaches-io-target[of M2 initial M2 map fst vs2 r2 map snd vs2]
     $\langle$ d-reaches M2 (initial M2) (map fst vs2) r2 $\rangle$  by auto
  ultimately have r1 = r2
  using  $\langle$ io-targets M2 (initial M2) vs1 = {s}  $\wedge$  io-targets M2 (initial M2) vs2 = {s} $\rangle$  by auto

  have map fst vs1  $\neq$  map fst vs2
  using assms(2) perm-inputs-diff vs-def by blast
  then have r1  $\neq$  r2
  using r-def(1) r-def(2) by force

  then show False
  using  $\langle$ r1 = r2 $\rangle$  by auto
qed

```

```

lemma finite-RP :
  assumes is-det-state-cover M2 V
  and V'' ∈ Perm V M1
shows finite (RP M2 s vs xs V'')
  using assms RP-from-R finite-R by (metis finite-insert)

```

```

lemma RP-count :
  assumes (vs @ xs) ∈ L M1 ∩ L M2
  and observable M1
  and observable M2
  and well-formed M1
  and well-formed M2
  and s ∈ nodes M2
  and productF M2 M1 FAIL PM
  and io-targets PM (initial PM) vs = {(q2,q1)}
  and path PM (xs || tr) (q2,q1)
  and length xs = length tr
  and distinct (states (xs || tr) (q2,q1))
  and is-det-state-cover M2 V
  and V'' ∈ Perm V M1
  and ∀ s' ∈ set (states (xs || map fst tr) q2) . ¬ (∃ v ∈ V . d-reaches M2 (initial M2) v s')
shows card (⋃ (image (io-targets M1 (initial M1)) (RP M2 s vs xs V''))) = card (RP M2 s vs xs V'')
  — each sequence in the set calculated by RP reaches a different state in M1
proof —

```

— Proof sketch: - RP calculates either the same set as R or the set of R and an additional element - in the first case, the result for R applies - in the second case, the additional element is not contained in the set calculated by R due to the assumption that no state reached by a non-empty prefix of xs after vs is also reached by some sequence in V (see the last two assumptions)

```

have RP-cases : RP M2 s vs xs V'' = R M2 s vs xs
  ∨ (∃ vs' ∈ V'' . vs' ∉ R M2 s vs xs
    ∧ RP M2 s vs xs V'' = insert vs' (R M2 s vs xs))

```

```

  using RP-from-R assms by metis
show ?thesis
proof (cases RP M2 s vs xs V'' = R M2 s vs xs)
  case True
  then show ?thesis using R-count assms by metis
next
  case False
  then obtain vs' where vs'-def : vs' ∈ V''
    ∧ vs' ∉ R M2 s vs xs
    ∧ RP M2 s vs xs V'' = insert vs' (R M2 s vs xs)
  using RP-cases by auto

```

```

have obs-PM : observable PM
  using observable-productF assms(2) assms(3) assms(7) by blast

```

```

have state-component-2 : ∀ io ∈ (R M2 s vs xs) . io-targets M2 (initial M2) io = {s}
proof
  fix io assume io ∈ R M2 s vs xs
  then have s ∈ io-targets M2 (initial M2) io
    by auto
  moreover have io ∈ language-state M2 (initial M2)
    using calculation by auto
  ultimately show io-targets M2 (initial M2) io = {s}
    using assms(3) io-targets-observable-singleton-ex by (metis singletonD)
qed

```

```

have vs' ∈ L M1
  using assms(13) perm-language vs'-def by blast
then obtain s' where s'-def : io-targets M1 (initial M1) vs' = {s'}

```

by (meson assms(2) io-targets-observable-singleton-ob)

moreover have $s' \notin \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (R \ M2 \ s \ vs \ xs))$

proof (rule ccontr)

assume $\neg s' \notin \bigcup (\text{io-targets } M1 \text{ (initial } M1) \text{ ' } R \ M2 \ s \ vs \ xs)$

then obtain xs' where xs' -def : $vs @ xs' \in R \ M2 \ s \ vs \ xs \wedge s' \in \text{io-targets } M1 \text{ (initial } M1) (vs @ xs')$

proof –

assume $a1 : \bigwedge xs'. vs @ xs' \in R \ M2 \ s \ vs \ xs \wedge s' \in \text{io-targets } M1 \text{ (initial } M1) (vs @ xs')$
 $\implies \text{thesis}$

obtain $pps :: ('a \times 'b) \text{ list set} \Rightarrow (('a \times 'b) \text{ list} \Rightarrow 'c \text{ set}) \Rightarrow 'c \Rightarrow ('a \times 'b) \text{ list}$

where

$\forall x0 \ x1 \ x2. (\exists v3. v3 \in x0 \wedge x2 \in x1 \ v3) = (pps \ x0 \ x1 \ x2 \in x0 \wedge x2 \in x1 \ (pps \ x0 \ x1 \ x2))$

by moura

then have $f2 : pps (R \ M2 \ s \ vs \ xs) (\text{io-targets } M1 \text{ (initial } M1)) s' \in R \ M2 \ s \ vs \ xs$

$\wedge s' \in \text{io-targets } M1 \text{ (initial } M1) (pps (R \ M2 \ s \ vs \ xs))$

$(\text{io-targets } M1 \text{ (initial } M1)) s'$

using $\langle \neg s' \notin \bigcup (\text{io-targets } M1 \text{ (initial } M1) \text{ ' } R \ M2 \ s \ vs \ xs) \rangle$ by blast

then have $\exists ps. pps (R \ M2 \ s \ vs \ xs) (\text{io-targets } M1 \text{ (initial } M1)) s' = vs @ ps$

$\wedge ps \neq [] \wedge \text{prefix } ps \ xs \wedge s \in \text{io-targets } M2 \text{ (initial } M2) (vs @ ps)$

by simp

then show ?thesis

using $f2 \ a1$ by (metis (no-types))

qed

then obtain tr' where tr' -def : $\text{path } M2 \ (vs @ xs' || tr') \text{ (initial } M2)$

$\wedge \text{length } tr' = \text{length } (vs @ xs')$

by auto

then obtain $trV' \ trX'$ where tr' -split : $trV' = \text{take } (\text{length } vs) \ tr'$

$trX' = \text{drop } (\text{length } vs) \ tr'$

$tr' = trV' @ trX'$

by fastforce

then have $\text{path } M2 \ (vs || trV') \text{ (initial } M2) \wedge \text{length } trV' = \text{length } vs$

by (metis (no-types) FSM.path-append-elim $\langle trV' = \text{take } (\text{length } vs) \ tr' \rangle$

$\text{append-eq-conv-conj length-take } tr'$ -def zip-append1)

have $\text{initial } PM = (\text{initial } M2, \text{initial } M1)$

using $\text{assms}(7)$ by simp

moreover have $vs \in L \ M2 \ vs \in L \ M1$

using $\text{assms}(1) \text{ language-state-prefix}$ by auto

ultimately have $\text{io-targets } M1 \text{ (initial } M1) \ vs = \{q1\}$

$\text{io-targets } M2 \text{ (initial } M2) \ vs = \{q2\}$

using $\text{productF-path-io-targets[of } M2 \ M1 \ \text{FAIL } PM \ \text{initial } M2 \ \text{initial } M1 \ vs \ q2 \ q1]$

by (metis FSM.nodes.initial assms(7) assms(8) assms(2) assms(3) assms(4) assms(5)

$\text{io-targets-observable-singleton-ex singletonD})+$

then have $\text{target } (vs || trV') \text{ (initial } M2) = q2$

using $\langle \text{path } M2 \ (vs || trV') \text{ (initial } M2) \wedge \text{length } trV' = \text{length } vs \rangle \text{ io-target-target}$

by metis

then have $\text{path-}xs' : \text{path } M2 \ (xs' || trX') \ q2 \wedge \text{length } trX' = \text{length } xs'$

by (metis (no-types) FSM.path-append-elim

$\langle \text{path } M2 \ (vs || trV') \text{ (initial } M2) \wedge \text{length } trV' = \text{length } vs \rangle$

$\langle \text{target } (vs || trV') \text{ (initial } M2) = q2 \rangle \text{ append-eq-conv-conj length-drop } tr'$ -def

tr' -split(1) tr' -split(2) zip-append2)

have $\text{io-targets } M2 \text{ (initial } M2) \ (vs @ xs') = \{s\}$

using $\text{state-component-2 } xs'$ -def by blast

then have $\text{io-targets } M2 \ q2 \ xs' = \{s\}$

by (meson assms(3) observable-io-targets-split $\langle \text{io-targets } M2 \text{ (initial } M2) \ vs = \{q2\} \rangle$)

then have $\text{target-}xs' : \text{target } (xs' || trX') \ q2 = s$

using $\text{io-target-target path-}xs'$ by metis

moreover have $\text{length } xs' > 0$

using xs' -def by auto

ultimately have $\text{last } (\text{states } (xs' \parallel \text{tr}X') \text{ } q2) = s$
using $\text{path-}xs' \text{ target-in-states}$ **by** *metis*
moreover have $\text{length } (\text{states } (xs' \parallel \text{tr}X') \text{ } q2) > 0$
using $\langle 0 < \text{length } xs' \rangle \text{ path-}xs'$ **by** *auto*
ultimately have $\text{states-}xs' : s \in \text{set } (\text{states } (xs' \parallel \text{tr}X') \text{ } q2)$
using last-in-set **by** *blast*

have $vs @ xs \in L \text{ } M2$
using assms **by** *simp*
then obtain q' **where** $\text{io-targets } M2 \text{ (initial } M2) (vs @ xs) = \{q'\}$
using $\text{io-targets-observable-singleton-ob[of } M2 \text{ } vs @ xs \text{ initial } M2]$ $\text{assms}(3)$ **by** *auto*
then have $xs \in \text{language-state } M2 \text{ } q2$
using $\text{assms}(3) \langle \text{io-targets } M2 \text{ (initial } M2) \text{ } vs = \{q2\} \rangle$
 $\text{observable-io-targets-split[of } M2 \text{ initial } M2 \text{ } vs \text{ } xs \text{ } q' \text{ } q2]$
by *auto*

moreover have $\text{path } PM \text{ } (xs \parallel \text{map fst tr} \parallel \text{map snd tr}) (q2, q1)$
 $\wedge \text{length } xs = \text{length } (\text{map fst tr})$
using $\text{assms}(7) \text{ assms}(9) \text{ assms}(10) \text{ productF-path-unzip}$ **by** *simp*
moreover have $xs \in \text{language-state } PM \text{ } (q2, q1)$
using $\text{assms}(9) \text{ assms}(10)$ **by** *auto*
moreover have $q2 \in \text{nodes } M2$
using $\langle \text{io-targets } M2 \text{ (initial } M2) \text{ } vs = \{q2\} \rangle \text{ io-targets-nodes}$
by $(\text{metis } \text{FSM.nodes.initial insertI1})$
moreover have $q1 \in \text{nodes } M1$
using $\langle \text{io-targets } M1 \text{ (initial } M1) \text{ } vs = \{q1\} \rangle \text{ io-targets-nodes}$
by $(\text{metis } \text{FSM.nodes.initial insertI1})$
ultimately have $\text{path-}xs : \text{path } M2 \text{ } (xs \parallel \text{map fst tr}) \text{ } q2$
using $\text{productF-path-reverse-ob-2}(1)[\text{of } xs \text{ map fst tr map snd tr } M2 \text{ } M1 \text{ FAIL } PM \text{ } q2 \text{ } q1]$
 $\text{assms}(2, 3, 4, 5, 7)$
by *simp*

moreover have $\text{prefix } xs' \text{ } xs$
using $xs'\text{-def}$ **by** *auto*
ultimately have $\text{tr}X' = \text{take } (\text{length } xs') \text{ } (\text{map fst tr})$
using $\langle \text{path } PM \text{ } (xs \parallel \text{map fst tr} \parallel \text{map snd tr}) (q2, q1) \wedge \text{length } xs = \text{length } (\text{map fst tr}) \rangle$
 $\text{assms}(3) \text{ path-}xs'$
by $(\text{metis } \text{observable-path-prefix})$

then have $\text{states-}xs : s \in \text{set } (\text{states } (xs \parallel \text{map fst tr}) \text{ } q2)$
by $(\text{metis } \text{assms}(10) \text{ in-set-takeD length-map map-snd-zip path-}xs' \text{ states-alt-def states-}xs')$

have $d\text{-reaches } M2 \text{ (initial } M2) \text{ } (\text{map fst } vs') \text{ } s$
proof –
obtain fV **where** $fV\text{-def} : \text{is-det-state-cover-ass } M2 \text{ } fV$
 $\wedge V = fV \text{ ' } d\text{-reachable } M2 \text{ (initial } M2)$
using $\text{assms}(12)$ **by** *auto*
moreover have $V = \text{image } fV \text{ } (d\text{-reachable } M2 \text{ (initial } M2))$
using $fV\text{-def}$ **by** *blast*
moreover have $\text{map fst } vs' \in V$
using $\text{perm-inputs } vs'\text{-def } \text{assms}(13)$ **by** *metis*
ultimately obtain qv **where** $qv\text{-def} : fV \text{ } qv = \text{map fst } vs' \wedge qv \in d\text{-reachable } M2 \text{ (initial } M2)$
by *force*
then have $d\text{-reaches } M2 \text{ (initial } M2) \text{ } (\text{map fst } vs') \text{ } qv$
by $(\text{metis } fV\text{-def is-det-state-cover-ass.elims}(2))$
then have $\text{io-targets } M2 \text{ (initial } M2) \text{ } vs' \subseteq \{qv\}$
using $d\text{-reaches-io-target[of } M2 \text{ initial } M2 \text{ map fst } vs' \text{ } qv \text{ map snd } vs']$ **by** *simp*
moreover have $\text{io-targets } M2 \text{ (initial } M2) \text{ } vs' = \{s\}$
using $vs'\text{-def}$ **by** $(\text{metis } \text{mono-tags, lifting}) \text{ RP.simps Un-iff insertI1 mem-Collect-eq}$
ultimately have $qv = s$
by *simp*
then show *?thesis*

```

    using ⟨d-reaches M2 (initial M2) (map fst vs') qv⟩ by blast
qed

then show False by (meson assms(14) assms(13) perm-inputs states-xs vs'-def)
qed

moreover have  $\bigcup (image (io-targets M1 (initial M1)) (insert vs' (R M2 s vs xs)))$ 
    =  $insert s' (\bigcup (image (io-targets M1 (initial M1)) (R M2 s vs xs)))$ 
    using s'-def by simp

moreover have finite  $(\bigcup (image (io-targets M1 (initial M1)) (R M2 s vs xs)))$ 
proof
  show finite (R M2 s vs xs)
    using finite-R by simp
  show  $\bigwedge a. a \in R M2 s vs xs \implies finite (io-targets M1 (initial M1) a)$ 
  proof -
    fix a assume a  $\in R M2 s vs xs$ 
    then have prefix a (vs@xs)
      by auto
    then have a  $\in L M1$ 
      using language-state-prefix by (metis IntD1 assms(1) prefix-def)
    then obtain p where io-targets M1 (initial M1) a = {p}
      using assms(2) io-targets-observable-singleton-ob by metis
    then show finite (io-targets M1 (initial M1) a)
      by simp
  qed
qed

ultimately have card  $(\bigcup (image (io-targets M1 (initial M1)) (insert vs' (R M2 s vs xs))))$ 
    =  $Suc (card (\bigcup (image (io-targets M1 (initial M1)) (R M2 s vs xs))))$ 
    by (metis (no-types) card-insert-disjoint)

moreover have card  $(\bigcup (image (io-targets M1 (initial M1)) (RP M2 s vs xs V'')))$ 
    =  $card (\bigcup (image (io-targets M1 (initial M1)) (insert vs' (R M2 s vs xs))))$ 
    using vs'-def by simp

ultimately have card  $(\bigcup (image (io-targets M1 (initial M1)) (RP M2 s vs xs V'')))$ 
    =  $Suc (card (\bigcup (image (io-targets M1 (initial M1)) (R M2 s vs xs))))$ 
    by linarith

then have card  $(\bigcup (image (io-targets M1 (initial M1)) (RP M2 s vs xs V'')))$ 
    =  $Suc (card (R M2 s vs xs))$ 
    using R-count[of vs xs M1 M2 s FAIL PM q2 q1 tr] assms(1,10,11,2-9) by linarith

moreover have card (RP M2 s vs xs V'') =  $Suc (card (R M2 s vs xs))$ 
    using vs'-def by (metis card-insert-if finite-R)

ultimately show ?thesis
  by linarith
qed
qed

lemma RP-state-component-2 :
  assumes io  $\in (RP M2 s vs xs V'')$ 
  and observable M2
  shows io-targets M2 (initial M2) io = {s}
  by (metis (mono-tags, lifting) RP.simps R-state-component-2 Un-iff assms mem-Collect-eq)

lemma RP-io-targets-split :
  assumes (vs @ xs)  $\in L M1 \cap L M2$ 
  and observable M1
  and observable M2
  and well-formed M1

```

and *well-formed* $M2$
and *productF* $M2$ $M1$ *FAIL* PM
and *is-det-state-cover* $M2$ V
and $V'' \in \text{Perm } V$ $M1$
and $io \in RP$ $M2$ s vs xs V''
shows *io-targets* PM (*initial* PM) io
 $= io\text{-targets } M2$ (*initial* $M2$) $io \times io\text{-targets } M1$ (*initial* $M1$) io
proof –
have *RP-cases* : RP $M2$ s vs xs $V'' = R$ $M2$ s vs xs
 $\vee (\exists vs' \in V'' . vs' \notin R$ $M2$ s vs xs
 $\wedge RP$ $M2$ s vs xs $V'' = insert$ vs' (R $M2$ s vs xs))
using *RP-from-R* *assms* **by** *metis*
show *?thesis*
proof (*cases* $io \in R$ $M2$ s vs xs)
case *True*
then have *io-prefix* : *prefix* io ($vs @ xs$)
by *auto*
then have *io-lang-sub* : $io \in L$ $M1 \wedge io \in L$ $M2$
using *assms*(1) **unfolding** *prefix-def* **by** (*metis* *IntE* *language-state* *language-state-split*)
then have *io-lang-inter* : $io \in L$ $M1 \cap L$ $M2$
by *simp*
then have *io-lang-pm* : $io \in L$ PM
using *productF-language* *assms* **by** *blast*
moreover obtain $p2$ $p1$ **where** $(p2, p1) \in io\text{-targets } PM$ (*initial* PM) io
by (*metis* *assms*(2) *assms*(3) *assms*(6) *calculation* *insert-absorb* *insert-ident* *insert-not-empty*
io-targets-observable-singleton-ob *observable-productF* *singleton-insert-inj-eq* *subrelI*)
ultimately have *targets-pm* : $io\text{-targets } PM$ (*initial* PM) $io = \{(p2, p1)\}$
using *assms* *io-targets-observable-singleton-ex* *singletonD*
by (*metis* *observable-productF*)
then obtain *trP* **where** *trP-def* : *target* ($io \parallel trP$) (*initial* PM) = $(p2, p1)$
 $\wedge path$ PM ($io \parallel trP$) (*initial* PM) $\wedge length$ $io = length$ trP
proof –
assume *a1* : $\bigwedge trP. target$ ($io \parallel trP$) (*initial* PM) = $(p2, p1)$
 $\wedge path$ PM ($io \parallel trP$) (*initial* PM) $\wedge length$ $io = length$ $trP \implies thesis$
have $\exists ps. target$ ($io \parallel ps$) (*initial* PM) = $(p2, p1) \wedge path$ PM ($io \parallel ps$) (*initial* PM)
 $\wedge length$ $io = length$ ps
using $\langle (p2, p1) \in io\text{-targets } PM$ (*initial* PM) $io \rangle$ **by** *auto*
then show *?thesis*
using *a1* **by** *blast*
qed
then have *trP-unique* : $\{tr . path$ PM ($io \parallel tr$) (*initial* PM) $\wedge length$ $io = length$ $tr\} = \{trP\}$
using *observable-productF* *observable-path-unique-ex*[*of* PM io *initial* PM]
io-lang-pm *assms*(2) *assms*(3) *assms*(7)
proof –
obtain *pps* :: $(d \times c)$ *list* **where**
 $f1: \{ps. path$ PM ($io \parallel ps$) (*initial* PM) $\wedge length$ $io = length$ $ps\} = \{pps\}$
 $\vee \neg observable$ PM
by (*metis* (*no-types*) $\langle \bigwedge thesis. \llbracket observable$ $PM; io \in L$ $PM; \bigwedge tr.$
 $\{t. path$ PM ($io \parallel t$) (*initial* PM) $\wedge length$ $io = length$ $t\} = \{tr\}$
 $\implies thesis \rrbracket \implies thesis \rangle$
io-lang-pm)
have *f2* : *observable* PM
by (*meson* $\langle observable$ $M1 \rangle \langle observable$ $M2 \rangle \langle productF$ $M2$ $M1$ *FAIL* $PM \rangle observable\text{-productF}$)
then have $trP \in \{pps\}$
using *f1* *trP-def* **by** *blast*
then show *?thesis*
using *f2* *f1* **by** *force*
qed

obtain *trIO2* **where** *trIO2-def* : $\{tr . path$ $M2$ ($io \parallel tr$) (*initial* $M2$) $\wedge length$ $io = length$ $tr\}$
 $= \{trIO2\}$
using *observable-path-unique-ex*[*of* $M2$ io *initial* $M2$] *io-lang-sub* *assms*(3) **by** *blast*
obtain *trIO1* **where** *trIO1-def* : $\{tr . path$ $M1$ ($io \parallel tr$) (*initial* $M1$) $\wedge length$ $io = length$ $tr\}$
 $= \{trIO1\}$
using *observable-path-unique-ex*[*of* $M1$ io *initial* $M1$] *io-lang-sub* *assms*(2) **by** *blast*

```

have path PM (io || trIO2 || trIO1) (initial M2, initial M1)
  ∧ length io = length trIO2 ∧ length trIO2 = length trIO1
proof –
  have f1: path M2 (io || trIO2) (initial M2) ∧ length io = length trIO2
    using trIO2-def by auto
  have f2: path M1 (io || trIO1) (initial M1) ∧ length io = length trIO1
    using trIO1-def by auto
  then have length trIO2 = length trIO1
    using f1 by presburger
  then show ?thesis
    using f2 f1 assms(4) assms(5) assms(6) by blast
qed
then have trP-split : path PM (io || trIO2 || trIO1) (initial PM)
  ∧ length io = length trIO2 ∧ length trIO2 = length trIO1
  using assms(6) by auto
then have trP-zip : trIO2 || trIO1 = trP
  using trP-def trP-unique length-zip by fastforce

have target (io || trIO2) (initial M2) = p2
  ∧ path M2 (io || trIO2) (initial M2)
  ∧ length io = length trIO2
  using trP-zip trP-split assms(6) trP-def trIO2-def by auto
then have p2 ∈ io-targets M2 (initial M2) io
  by auto
then have targets-2 : io-targets M2 (initial M2) io = {p2}
  by (meson assms(3) observable-io-target-is-singleton)

have target (io || trIO1) (initial M1) = p1
  ∧ path M1 (io || trIO1) (initial M1)
  ∧ length io = length trIO1
  using trP-zip trP-split assms(6) trP-def trIO1-def by auto
then have p1 ∈ io-targets M1 (initial M1) io
  by auto
then have targets-1 : io-targets M1 (initial M1) io = {p1}
  by (metis io-lang-subs assms(2) io-targets-observable-singleton-ex singletonD)

have io-targets M2 (initial M2) io × io-targets M1 (initial M1) io = {(p2,p1)}
  using targets-2 targets-1 by simp
then show io-targets PM (initial PM) io
  = io-targets M2 (initial M2) io × io-targets M1 (initial M1) io
  using targets-pm by simp

next
case False
then have io ∉ R M2 s vs xs ∧ RP M2 s vs xs V'' = insert io (R M2 s vs xs)
  using RP-cases assms(9) by (metis insertE)

have io ∈ L M1 using assms(8) perm-language assms(9)
  using False by auto
then obtain s' where s'-def : io-targets M1 (initial M1) io = {s'}
  by (meson assms(2) io-targets-observable-singleton-ob)
then obtain tr1 where tr1-def : target (io || tr1) (initial M1) = s'
  ∧ path M1 (io || tr1) (initial M1) ∧ length tr1 = length io
  by (metis io-targets-elim singletonI)

have io-targets M2 (initial M2) io = {s}
  using assms(9) assms(3) RP-state-component-2 by simp
then obtain tr2 where tr2-def : target (io || tr2) (initial M2) = s
  ∧ path M2 (io || tr2) (initial M2) ∧ length tr2 = length io
  by (metis io-targets-elim singletonI)
then have paths : path M2 (io || tr2) (initial M2) ∧ path M1 (io || tr1) (initial M1)
  using tr1-def by simp

have length io = length tr2

```



```

    using tr2-def by simp
  moreover have length tr2 = length tr1
    using tr1-def tr2-def by simp
  ultimately have path PM (io || tr2 || tr1) (initial M2, initial M1)
    using assms(6) assms(5) assms(4) paths
      productF-path-forward[of io tr2 tr1 M2 M1 FAIL PM initial M2 initial M1]
    by blast

  moreover have target (io || tr2 || tr1) (initial M2, initial M1) = (s,s')
    by (simp add: tr1-def tr2-def)
  moreover have length (tr2 || tr2) = length io
    using tr1-def tr2-def by simp
  moreover have (initial M2, initial M1) = initial PM
    using assms(6) by simp
  ultimately have (s,s') ∈ io-targets PM (initial PM) io
    by (metis io-target-from-path length-zip tr1-def tr2-def)
  moreover have observable PM
    using assms(2) assms(3) assms(6) observable-productF by blast
  then have io-targets PM (initial PM) io = {(s,s')}
    by (meson calculation observable-io-target-is-singleton)

  then show ?thesis
    using ⟨io-targets M2 (initial M2) io = {s}⟩ ⟨io-targets M1 (initial M1) io = {s'}⟩
    by simp
qed
qed

```

```

lemma RP-io-targets-finite-M1 :
  assumes (vs @ xs) ∈ L M1 ∩ L M2
  and observable M1
  and is-det-state-cover M2 V
  and V'' ∈ Perm V M1
shows finite (⋃ (image (io-targets M1 (initial M1)) (RP M2 s vs xs V'')))
proof
  show finite (RP M2 s vs xs V'') using finite-RP assms(3) assms(4) by simp
  show ⋀ a. a ∈ RP M2 s vs xs V'' ⇒ finite (io-targets M1 (initial M1) a)
  proof -
    fix a assume a ∈ RP M2 s vs xs V''

    have RP-cases : RP M2 s vs xs V'' = R M2 s vs xs
      ∨ (∃ vs' ∈ V'' . vs' ∉ R M2 s vs xs
        ∧ RP M2 s vs xs V'' = insert vs' (R M2 s vs xs))

    using RP-from-R assms by metis
    have a ∈ L M1
    proof (cases a ∈ R M2 s vs xs)
    case True
      then have prefix a (vs@xs)
        by auto
      then show a ∈ L M1
        using language-state-prefix by (metis IntD1 assms(1) prefix-def)
    next
    case False
      then have a ∈ V'' ∧ RP M2 s vs xs V'' = insert a (R M2 s vs xs)
        using RP-cases ⟨a ∈ RP M2 s vs xs V''⟩ by (metis insertE)
      then show a ∈ L M1
        by (meson assms(4) perm-language)
    qed
    then obtain p where io-targets M1 (initial M1) a = {p}
      using assms(2) io-targets-observable-singleton-ob by metis
    then show finite (io-targets M1 (initial M1) a)
      by simp
  qed
qed

```

qed

lemma *RP-io-targets-finite-PM* :

assumes $(vs @ xs) \in L M1 \cap L M2$
 and observable $M1$
 and observable $M2$
 and well-formed $M1$
 and well-formed $M2$
 and productF $M2 M1 FAIL PM$
 and is-det-state-cover $M2 V$
 and $V'' \in Perm V M1$

shows finite $(\bigcup (image (io-targets PM (initial PM)) (RP M2 s vs xs V'')))$

proof –

have $\forall io \in RP M2 s vs xs V'' . io-targets PM (initial PM) io$
 $= \{s\} \times io-targets M1 (initial M1) io$

proof

fix io assume $io \in RP M2 s vs xs V''$

then have $io-targets PM (initial PM) io$

$= io-targets M2 (initial M2) io \times io-targets M1 (initial M1) io$

using *assms RP-io-targets-split*[of $vs xs M1 M2 FAIL PM V V'' io s$] by *simp*

moreover have $io-targets M2 (initial M2) io = \{s\}$

using $\langle io \in RP M2 s vs xs V'' \rangle$ *assms*(3) *RP-state-component-2*[of $io M2 s vs xs V''$]

by *blast*

ultimately show $io-targets PM (initial PM) io = \{s\} \times io-targets M1 (initial M1) io$

by *auto*

qed

then have $\bigcup (image (io-targets PM (initial PM)) (RP M2 s vs xs V''))$

$= \bigcup (image (\lambda io . \{s\} \times io-targets M1 (initial M1) io) (RP M2 s vs xs V''))$

by *simp*

moreover have $\bigcup (image (\lambda io . \{s\} \times io-targets M1 (initial M1) io) (RP M2 s vs xs V''))$

$= \{s\} \times \bigcup (image (\lambda io . io-targets M1 (initial M1) io) (RP M2 s vs xs V''))$

by *blast*

ultimately have $\bigcup (image (io-targets PM (initial PM)) (RP M2 s vs xs V''))$

$= \{s\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s vs xs V''))$

by *auto*

moreover have finite $(\{s\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s vs xs V'')))$

using *assms*(1,2,7,8) *RP-io-targets-finite-M1*[of $vs xs M1 M2 V V'' s$] by *simp*

ultimately show *?thesis*

by *simp*

qed

lemma *RP-union-card-is-suffix-length* :

assumes OFSM $M2$

and $io@xs \in L M2$

and is-det-state-cover $M2 V$

and $V'' \in Perm V M1$

shows $\bigwedge q . card (R M2 q io xs) \leq card (RP M2 q io xs V'')$

$sum (\lambda q . card (RP M2 q io xs V'')) (nodes M2) \geq length xs$

proof –

have $sum (\lambda q . card (R M2 q io xs)) (nodes M2) = length xs$

using *R-union-card-is-suffix-length*[OF *assms*(1,2)] by *assumption*

show $\bigwedge q . card (R M2 q io xs) \leq card (RP M2 q io xs V'')$

by (*metis RP-from-R assms*(3) *assms*(4) *card-insert-le eq-iff finite-R*)

show $sum (\lambda q . card (RP M2 q io xs V'')) (nodes M2) \geq length xs$

by (*metis (no-types, lifting)* $\langle \sum_{q \in nodes M2} . card (R M2 q io xs) = length xs \rangle$

$\langle \bigwedge q . card (R M2 q io xs) \leq card (RP M2 q io xs V'') \rangle$ *sum-mono*)

qed

lemma *RP-state-repetition-distribution-productF* :

assumes OFSM $M2$

and OFSM $M1$

and $(card (nodes M2) * m) \leq length xs$

and $card (nodes M1) \leq m$

and $vs@xs \in L M2 \cap L M1$

```

and      is-det-state-cover M2 V
and      V'' ∈ Perm V M1
shows ∃ q ∈ nodes M2 . card (RP M2 q vs xs V'') > m
proof -
  have finite (nodes M1)
    finite (nodes M2)
    using assms(1,2) by auto
  then have card(nodes M2 × nodes M1) = card (nodes M2) * card (nodes M1)
    using card-cartesian-product by blast

  have nodes (product M2 M1) ⊆ nodes M2 × nodes M1
    using product-nodes by auto

  have card (nodes (product M2 M1)) ≤ card (nodes M2) * card (nodes M1)
    by (metis (no-types) ⟨card (nodes M2 × nodes M1) = |M2| * |M1|⟩ ⟨finite (nodes M1)⟩
      ⟨finite (nodes M2)⟩ ⟨nodes (product M2 M1) ⊆ nodes M2 × nodes M1⟩
      card-mono finite-cartesian-product)

  have (∀ q ∈ nodes M2 . card (R M2 q vs xs) = m) ∨ (∃ q ∈ nodes M2 . card (R M2 q vs xs) > m)
  proof (rule ccontr)
    assume ¬ ((∀ q ∈ nodes M2 . card (R M2 q vs xs) = m) ∨ (∃ q ∈ nodes M2 . m < card (R M2 q vs xs)))

    then have ∀ q ∈ nodes M2 . card (R M2 q vs xs) ≤ m
      by auto
    moreover obtain q' where q' ∈ nodes M2 card (R M2 q' vs xs) < m
      using ⟨¬ ((∀ q ∈ nodes M2 . card (R M2 q vs xs) = m) ∨ (∃ q ∈ nodes M2 . m < card (R M2 q vs xs)))⟩
        nat-neq-iff
      by blast

    have sum (λ q . card (R M2 q vs xs)) (nodes M2)
      = sum (λ q . card (R M2 q vs xs)) (nodes M2 - {q'})
      + sum (λ q . card (R M2 q vs xs)) {q'}
    using ⟨q' ∈ nodes M2⟩
    by (meson ⟨finite (nodes M2)⟩ empty-subsetI insert-subset sum.subset-diff)
    moreover have sum (λ q . card (R M2 q vs xs)) (nodes M2 - {q'})
      ≤ sum (λ q . m) (nodes M2 - {q'})
    using ⟨∀ q ∈ nodes M2 . card (R M2 q vs xs) ≤ m⟩
    by (meson sum-mono DiffD1)
    moreover have sum (λ q . card (R M2 q vs xs)) {q'} < m
      using ⟨card (R M2 q' vs xs) < m⟩ by auto
    ultimately have sum (λ q . card (R M2 q vs xs)) (nodes M2) < sum (λ q . m) (nodes M2)
  proof -
    have ∀ C c f. infinite C ∨ (c::'c) ∉ C ∨ sum f C = (f c::nat) + sum f (C - {c})
      by (meson sum.remove)
    then have (∑ c ∈ nodes M2. m) = m + (∑ c ∈ nodes M2 - {q'}. m)
      using ⟨finite (nodes M2)⟩ ⟨q' ∈ nodes M2⟩ by blast
    then show ?thesis
      using ⟨(∑ q ∈ nodes M2 - {q'}. card (R M2 q vs xs)) ≤ (∑ q ∈ nodes M2 - {q'}. m)⟩
        ⟨(∑ q ∈ nodes M2. card (R M2 q vs xs)) = (∑ q ∈ nodes M2 - {q'}. card (R M2 q vs xs))
          + (∑ q ∈ {q'}. card (R M2 q vs xs))⟩
        ⟨(∑ q ∈ {q'}. card (R M2 q vs xs)) < m⟩
      by linarith
  qed

  moreover have sum (λ q . m) (nodes M2) ≤ card (nodes M2) * m
    using assms(2) by auto
  ultimately have sum (λ q . card (R M2 q vs xs)) (nodes M2) < card (nodes M2) * m
    by presburger

  moreover have Suc (card (nodes M2) * m) ≤ sum (λ q . card (R M2 q vs xs)) (nodes M2)
    using R-union-card-is-suffix-length[OF assms(1), of vs xs] assms(5,3)
    by (metis Int-iff ⟨vs @ xs ∈ L M2 ⟹ (∑ q ∈ nodes M2. card (R M2 q vs xs)) = length xs⟩
      ⟨vs @ xs ∈ L M2 ∩ L M1⟩ ⟨|M2| * m ≤ length xs⟩ calculation less-eq-Suc-le not-less-eq-eq)

```

```

ultimately show False by simp
qed
then show ?thesis
proof
  let ?q = initial M2

  assume  $\forall q \in \text{nodes } M2. \text{card } (R \ M2 \ q \ \text{vs } xs) = m$ 
  then have  $\text{card } (R \ M2 \ ?q \ \text{vs } xs) = m$ 
    by auto

  have  $\square \in V''$ 
    by (meson assms(6) assms(7) perm-empty)
  then have  $\square \in RP \ M2 \ ?q \ \text{vs } xs \ V''$ 
    by auto
  have  $\square \notin R \ M2 \ ?q \ \text{vs } xs$ 
    by auto
  have  $\text{card } (RP \ M2 \ ?q \ \text{vs } xs \ V'') \geq \text{card } (R \ M2 \ ?q \ \text{vs } xs)$ 
    using finite-R[of M2 ?q vs xs] finite-RP[OF assms(6,7), of ?q vs xs] unfolding RP.simps
    by (simp add: card-mono)

  have  $\text{card } (RP \ M2 \ ?q \ \text{vs } xs \ V'') > \text{card } (R \ M2 \ ?q \ \text{vs } xs)$ 
  proof -
    have f1:  $\forall n \ \text{na}. (\neg (n::\text{nat}) \leq \text{na} \vee n = \text{na}) \vee n < \text{na}$ 
      by (meson le-neq-trans)
    have  $RP \ M2 \ (\text{initial } M2) \ \text{vs } xs \ V'' \neq R \ M2 \ (\text{initial } M2) \ \text{vs } xs$ 
      using  $\langle \square \in RP \ M2 \ (\text{initial } M2) \ \text{vs } xs \ V'' \rangle \langle \square \notin R \ M2 \ (\text{initial } M2) \ \text{vs } xs \rangle$  by blast
    then show ?thesis
      using f1 by (metis (no-types) RP-from-R
         $\langle \text{card } (R \ M2 \ (\text{initial } M2) \ \text{vs } xs) \leq \text{card } (RP \ M2 \ (\text{initial } M2) \ \text{vs } xs \ V'') \rangle$ 
        assms(6) assms(7) card-insert-disjoint finite-R le-simps(2))
  qed

  then show ?thesis
    using  $\langle \text{card } (R \ M2 \ ?q \ \text{vs } xs) = m \rangle$ 
    by blast
next
  assume  $\exists q \in \text{nodes } M2. m < \text{card } (R \ M2 \ q \ \text{vs } xs)$ 
  then obtain q where  $q \in \text{nodes } M2 \ m < \text{card } (R \ M2 \ q \ \text{vs } xs)$ 
    by blast
  moreover have  $\text{card } (RP \ M2 \ q \ \text{vs } xs \ V'') \geq \text{card } (R \ M2 \ q \ \text{vs } xs)$ 
    using finite-R[of M2 q vs xs] finite-RP[OF assms(6,7), of q vs xs] unfolding RP.simps
    by (simp add: card-mono)
  ultimately have  $m < \text{card } (RP \ M2 \ q \ \text{vs } xs \ V'')$ 
    by simp

  show ?thesis
    using  $\langle q \in \text{nodes } M2 \rangle \langle m < \text{card } (RP \ M2 \ q \ \text{vs } xs \ V'') \rangle$  by blast
qed
qed

```

4.5 Conditions for the result of LB to be a valid lower bound

The following predicates describe the assumptions necessary to show that the value calculated by LB is a lower bound on the number of states of a given FSM.

```

fun Prereq :: ('in, 'out, 'state1) FSM  $\Rightarrow$  ('in, 'out, 'state2) FSM  $\Rightarrow$  ('in  $\times$  'out) list
   $\Rightarrow$  ('in  $\times$  'out) list  $\Rightarrow$  'in list set  $\Rightarrow$  'state1 set  $\Rightarrow$  ('in, 'out) ATC set
   $\Rightarrow$  ('in  $\times$  'out) list set  $\Rightarrow$  bool

where
  Prereq M2 M1 vs xs T S  $\Omega$  V'' = (
    (finite T)
     $\wedge$  (vs @ xs)  $\in$  L M2  $\cap$  L M1
     $\wedge$  S  $\subseteq$  nodes M2
     $\wedge$  ( $\forall s1 \in S. \forall s2 \in S. s1 \neq s2$ 
       $\longrightarrow$  ( $\forall io1 \in RP \ M2 \ s1 \ \text{vs } xs \ V''.$ 
         $\forall io2 \in RP \ M2 \ s2 \ \text{vs } xs \ V''.$ 

```

$$B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega \)))$$

```

fun Rep-Pre :: ('in, 'out, 'state1) FSM  $\Rightarrow$  ('in, 'out, 'state2) FSM  $\Rightarrow$  ('in  $\times$  'out) list
               $\Rightarrow$  ('in  $\times$  'out) list  $\Rightarrow$  bool where
  Rep-Pre M2 M1 vs xs = ( $\exists$  xs1 xs2 . prefix xs1 xs2  $\wedge$  prefix xs2 xs  $\wedge$  xs1  $\neq$  xs2
     $\wedge$  ( $\exists$  s2 . io-targets M2 (initial M2) (vs @ xs1) = {s2}
       $\wedge$  io-targets M2 (initial M2) (vs @ xs2) = {s2})
     $\wedge$  ( $\exists$  s1 . io-targets M1 (initial M1) (vs @ xs1) = {s1}
       $\wedge$  io-targets M1 (initial M1) (vs @ xs2) = {s1}))

fun Rep-Cov :: ('in, 'out, 'state1) FSM  $\Rightarrow$  ('in, 'out, 'state2) FSM  $\Rightarrow$  ('in  $\times$  'out) list set
               $\Rightarrow$  ('in  $\times$  'out) list  $\Rightarrow$  ('in  $\times$  'out) list  $\Rightarrow$  bool where
  Rep-Cov M2 M1 V'' vs xs = ( $\exists$  xs' vs' . xs'  $\neq$  []  $\wedge$  prefix xs' xs  $\wedge$  vs'  $\in$  V''
     $\wedge$  ( $\exists$  s2 . io-targets M2 (initial M2) (vs @ xs') = {s2}
       $\wedge$  io-targets M2 (initial M2) (vs') = {s2})
     $\wedge$  ( $\exists$  s1 . io-targets M1 (initial M1) (vs @ xs') = {s1}
       $\wedge$  io-targets M1 (initial M1) (vs') = {s1}))

```

```

lemma distinctness-via-Rep-Pre :
  assumes  $\neg$  Rep-Pre M2 M1 vs xs
  and productF M2 M1 FAIL PM
  and observable M1
  and observable M2
  and io-targets PM (initial PM) vs = {(q2,q1)}
  and path PM (xs || tr) (q2,q1)
  and length xs = length tr
  and (vs @ xs)  $\in$  L M1  $\cap$  L M2
  and well-formed M1
  and well-formed M2
shows distinct (states (xs || tr) (q2, q1))
proof (rule ccontr)
  assume assm :  $\neg$  distinct (states (xs || tr) (q2, q1))
  then obtain i1 i2 where index-def :
    i1  $\neq$  0
     $\wedge$  i1  $\neq$  i2
     $\wedge$  i1 < length (states (xs || tr) (q2, q1))
     $\wedge$  i2 < length (states (xs || tr) (q2, q1))
     $\wedge$  (states (xs || tr) (q2, q1)) ! i1 = (states (xs || tr) (q2, q1)) ! i2
  by (metis distinct-conv-nth)
then have length xs > 0 by auto

```

```

let ?xs1 = take (Suc i1) xs
let ?xs2 = take (Suc i2) xs
let ?tr1 = take (Suc i1) tr
let ?tr2 = take (Suc i2) tr
let ?st = (states (xs || tr) (q2, q1)) ! i1

```

```

have obs-PM : observable PM
  using observable-productF assms(2) assms(3) assms(4) by blast

```

```

have initial PM = (initial M2, initial M1)
  using assms(2) by simp
moreover have vs  $\in$  L M2 vs  $\in$  L M1
  using assms(8) language-state-prefix by auto
ultimately have io-targets M1 (initial M1) vs = {q1} io-targets M2 (initial M2) vs = {q2}
  using productF-path-io-targets[of M2 M1 FAIL PM initial M2 initial M1 vs q2 q1]
  by (metis FSM.nodes.initial assms(2) assms(3) assms(4) assms(5) assms(9) assms(10)
    io-targets-observable-singleton-ex singletonD)+

```

— paths for ?xs1

```

have (states (xs || tr) (q2, q1)) ! i1  $\in$  io-targets PM (q2, q1) ?xs1

```

```

by (metis ‹0 < length xs› assms(6) assms(7) index-def map-snd-zip states-alt-def
states-index-io-target)
then have io-targets PM (q2, q1) ?xs1 = {?st}
using obs-PM by (meson observable-io-target-is-singleton)

have path PM (?xs1 || ?tr1) (q2,q1)
by (metis FSM.path-append-elim append-take-drop-id assms(6) assms(7) length-take zip-append)
then have path PM (?xs1 || map fst ?tr1 || map snd ?tr1) (q2,q1)
by auto

have vs @ ?xs1 ∈ L M2
by (metis (no-types) IntD2 append-assoc append-take-drop-id assms(8) language-state-prefix)
then obtain q2' where io-targets M2 (initial M2) (vs@?xs1) = {q2'}
using io-targets-observable-singleton-ob[of M2 vs@?xs1 initial M2] assms(4) by auto
then have q2' ∈ io-targets M2 q2 ?xs1
using assms(4) ‹io-targets M2 (initial M2) vs = {q2'}›
observable-io-targets-split[of M2 initial M2 vs ?xs1 q2' q2]
by simp
then have ?xs1 ∈ language-state M2 q2
by auto
moreover have length ?xs1 = length (map snd ?tr1)
using assms(7) by auto
moreover have length (map fst ?tr1) = length (map snd ?tr1)
by auto
moreover have q2 ∈ nodes M2
using ‹io-targets M2 (initial M2) vs = {q2'}› io-targets-nodes
by (metis FSM.nodes.initial insertI1)
moreover have q1 ∈ nodes M1
using ‹io-targets M1 (initial M1) vs = {q1'}› io-targets-nodes
by (metis FSM.nodes.initial insertI1)
ultimately have
path M1 (?xs1 || map snd ?tr1) q1
path M2 (?xs1 || map fst ?tr1) q2
target (?xs1 || map snd ?tr1) q1 = snd (target (?xs1 || map fst ?tr1 || map snd ?tr1) (q2,q1))
target (?xs1 || map fst ?tr1) q2 = fst (target (?xs1 || map fst ?tr1 || map snd ?tr1) (q2,q1))
using assms(2) assms(9) assms(10) ‹path PM (?xs1 || map fst ?tr1 || map snd ?tr1) (q2,q1)›
assms(4)
productF-path-reverse-ob-2[of ?xs1 map fst ?tr1 map snd ?tr1 M2 M1 FAIL PM q2 q1]
by simp+
moreover have target (?xs1 || map fst ?tr1 || map snd ?tr1) (q2,q1) = ?st
by (metis (no-types) index-def scan-nth take-zip zip-map-fst-snd)
ultimately have
target (?xs1 || map snd ?tr1) q1 = snd ?st
target (?xs1 || map fst ?tr1) q2 = fst ?st
by simp+

— paths for ?xs2

have (states (xs || tr) (q2, q1)) ! i2 ∈ io-targets PM (q2, q1) ?xs2
by (metis ‹0 < length xs› assms(6) assms(7) index-def map-snd-zip states-alt-def states-index-io-target)
then have io-targets PM (q2, q1) ?xs2 = {?st}
using obs-PM by (metis index-def observable-io-target-is-singleton)

have path PM (?xs2 || ?tr2) (q2,q1)
by (metis FSM.path-append-elim append-take-drop-id assms(6) assms(7) length-take zip-append)
then have path PM (?xs2 || map fst ?tr2 || map snd ?tr2) (q2,q1)
by auto

have vs @ ?xs2 ∈ L M2
by (metis (no-types) IntD2 append-assoc append-take-drop-id assms(8) language-state-prefix)
then obtain q2'' where io-targets M2 (initial M2) (vs@?xs2) = {q2''}
using io-targets-observable-singleton-ob[of M2 vs@?xs2 initial M2] assms(4)
by auto
then have q2'' ∈ io-targets M2 q2 ?xs2
using assms(4) ‹io-targets M2 (initial M2) vs = {q2''}›
observable-io-targets-split[of M2 initial M2 vs ?xs2 q2'' q2]

```

```

  by simp
then have ?xs2 ∈ language-state M2 q2
  by auto
moreover have length ?xs2 = length (map snd ?tr2) using assms(7)
  by auto
moreover have length (map fst ?tr2) = length (map snd ?tr2)
  by auto
moreover have q2 ∈ nodes M2
  using ⟨io-targets M2 (initial M2) vs = {q2}⟩ io-targets-nodes
  by (metis FSM.nodes.initial insertI1)
moreover have q1 ∈ nodes M1
  using ⟨io-targets M1 (initial M1) vs = {q1}⟩ io-targets-nodes
  by (metis FSM.nodes.initial insertI1)
ultimately have
  path M1 (?xs2 || map snd ?tr2) q1
  path M2 (?xs2 || map fst ?tr2) q2
  target (?xs2 || map snd ?tr2) q1 = snd(target (?xs2 || map fst ?tr2 || map snd ?tr2) (q2,q1))
  target (?xs2 || map fst ?tr2) q2 = fst(target (?xs2 || map fst ?tr2 || map snd ?tr2) (q2,q1))
  using assms(2) assms(9) assms(10) ⟨path PM (?xs2 || map fst ?tr2 || map snd ?tr2) (q2,q1)⟩
  assms(4)
  productF-path-reverse-ob-2[of ?xs2 map fst ?tr2 map snd ?tr2 M2 M1 FAIL PM q2 q1]
  by simp+
moreover have target (?xs2 || map fst ?tr2 || map snd ?tr2) (q2,q1) = ?st
  by (metis (no-types) index-def scan-nth take-zip zip-map-fst-snd)
ultimately have
  target (?xs2 || map snd ?tr2) q1 = snd ?st
  target (?xs2 || map fst ?tr2) q2 = fst ?st
  by simp+

```

```

have io-targets M1 q1 ?xs1 = {snd ?st}
  using ⟨path M1 (?xs1 || map snd ?tr1) q1⟩ ⟨target (?xs1 || map snd ?tr1) q1 = snd ?st⟩
  ⟨length ?xs1 = length (map snd ?tr1)⟩ assms(3) obs-target-is-io-targets[of M1 ?xs1
  map snd ?tr1 q1]
  by simp
then have tgt-1-1 : io-targets M1 (initial M1) (vs @ ?xs1) = {snd ?st}
  by (meson ⟨io-targets M1 (initial M1) vs = {q1}⟩ assms(3) observable-io-targets-append)

```

```

have io-targets M2 q2 ?xs1 = {fst ?st}
  using ⟨path M2 (?xs1 || map fst ?tr1) q2⟩ ⟨target (?xs1 || map fst ?tr1) q2 = fst ?st⟩
  ⟨length ?xs1 = length (map snd ?tr1)⟩ assms(4)
  obs-target-is-io-targets[of M2 ?xs1 map fst ?tr1 q2]
  by simp
then have tgt-1-2 : io-targets M2 (initial M2) (vs @ ?xs1) = {fst ?st}
  by (meson ⟨io-targets M2 (initial M2) vs = {q2}⟩ assms(4) observable-io-targets-append)

```

```

have io-targets M1 q1 ?xs2 = {snd ?st}
  using ⟨path M1 (?xs2 || map snd ?tr2) q1⟩ ⟨target (?xs2 || map snd ?tr2) q1 = snd ?st⟩
  ⟨length ?xs2 = length (map snd ?tr2)⟩ assms(3)
  obs-target-is-io-targets[of M1 ?xs2 map snd ?tr2 q1]
  by simp
then have tgt-2-1 : io-targets M1 (initial M1) (vs @ ?xs2) = {snd ?st}
  by (meson ⟨io-targets M1 (initial M1) vs = {q1}⟩ assms(3) observable-io-targets-append)

```

```

have io-targets M2 q2 ?xs2 = {fst ?st}
  using ⟨path M2 (?xs2 || map fst ?tr2) q2⟩ ⟨target (?xs2 || map fst ?tr2) q2 = fst ?st⟩
  ⟨length ?xs2 = length (map snd ?tr2)⟩ assms(4)
  obs-target-is-io-targets[of M2 ?xs2 map fst ?tr2 q2]
  by simp
then have tgt-2-2 : io-targets M2 (initial M2) (vs @ ?xs2) = {fst ?st}
  by (meson ⟨io-targets M2 (initial M2) vs = {q2}⟩ assms(4) observable-io-targets-append)

```

```

have ?xs1 ≠ [] using ⟨0 < length xs⟩
  by auto
have prefix ?xs1 xs

```

```

  using take-is-prefix by blast
have prefix ?xs2 xs
  using take-is-prefix by blast
have ?xs1 ≠ ?xs2
proof -
  have f1:  $\forall n \text{ na. } \neg n < \text{na} \vee \text{Suc } n \leq \text{na}$ 
    by presburger
  have f2:  $\text{Suc } i1 \leq \text{length } xs$ 
    using index-def by force
  have  $\text{Suc } i2 \leq \text{length } xs$ 
    using f1 by (metis index-def length-take map-snd-zip-take min-less-iff-conj states-alt-def)
  then show ?thesis
    using f2 by (metis (no-types) index-def length-take min.absorb2 nat.simps(1))
qed
have Rep-Pre M2 M1 vs xs
proof (cases length ?xs1 < length ?xs2)
case True
  then have prefix ?xs1 ?xs2
    by (meson <prefix (take (Suc i1) xs) xs> <prefix (take (Suc i2) xs) xs> leD prefix-length-le
      prefix-same-cases)
  show ?thesis
    by (meson Rep-Pre.elims(3) <prefix (take (Suc i1) xs) (take (Suc i2) xs)>
      <prefix (take (Suc i2) xs) xs> <take (Suc i1) xs ≠ take (Suc i2) xs>
      tgt-1-1 tgt-1-2 tgt-2-1 tgt-2-2)
next
case False
  moreover have length ?xs1 ≠ length ?xs2
    by (metis (no-types) <take (Suc i1) xs ≠ take (Suc i2) xs> append-eq-conv-conj
      append-take-drop-id)
  ultimately have length ?xs2 < length ?xs1
    by auto
  then have prefix ?xs2 ?xs1
    using <prefix (take (Suc i1) xs) xs> <prefix (take (Suc i2) xs) xs> less-imp-le-nat
      prefix-length-prefix
    by blast
  show ?thesis
    by (metis Rep-Pre.elims(3) <prefix (take (Suc i1) xs) xs>
      <prefix (take (Suc i2) xs) (take (Suc i1) xs)> <take (Suc i1) xs ≠ take (Suc i2) xs>
      tgt-1-1 tgt-1-2 tgt-2-1 tgt-2-2)
qed

then show False
  using assms(1) by simp
qed

```

```

lemma RP-count-via-Rep-Cov :
  assumes (vs @ xs) ∈ L M1 ∩ L M2
  and observable M1
  and observable M2
  and well-formed M1
  and well-formed M2
  and s ∈ nodes M2
  and productF M2 M1 FAIL PM
  and io-targets PM (initial PM) vs = {(q2,q1)}
  and path PM (xs || tr) (q2,q1)
  and length xs = length tr
  and distinct (states (xs || tr) (q2,q1))
  and is-det-state-cover M2 V
  and V'' ∈ Perm V M1
  and ¬ Rep-Cov M2 M1 V'' vs xs
shows card (⋃ (image (io-targets M1 (initial M1)) (RP M2 s vs xs V''))) = card (RP M2 s vs xs V'')
proof -

```



```

have RP-cases : RP M2 s vs xs V'' = R M2 s vs xs
  ∨ (∃ vs' ∈ V'' . vs' ∉ R M2 s vs xs
    ∧ RP M2 s vs xs V'' = insert vs' (R M2 s vs xs))
  using RP-from-R assms by metis
show ?thesis
proof (cases RP M2 s vs xs V'' = R M2 s vs xs)
case True
  then show ?thesis
    using R-count assms by metis
next
case False
  then obtain vs' where vs'-def : vs' ∈ V''
    ∧ vs' ∉ R M2 s vs xs
    ∧ RP M2 s vs xs V'' = insert vs' (R M2 s vs xs)
  using RP-cases by auto

have state-component-2 : ∀ io ∈ (R M2 s vs xs) . io-targets M2 (initial M2) io = {s}
proof
  fix io assume io ∈ R M2 s vs xs
  then have s ∈ io-targets M2 (initial M2) io
    by auto
  moreover have io ∈ language-state M2 (initial M2)
    using calculation by auto
  ultimately show io-targets M2 (initial M2) io = {s}
    using assms(3) io-targets-observable-singleton-ex by (metis singletonD)
qed

have vs' ∈ L M1
  using assms(13) perm-language vs'-def by blast
then obtain s' where s'-def : io-targets M1 (initial M1) vs' = {s'}
  by (meson assms(2) io-targets-observable-singleton-ob)

moreover have s' ∉ ∪ (image (io-targets M1 (initial M1)) (R M2 s vs xs))
proof (rule ccontr)
  assume ¬ s' ∉ ∪ (io-targets M1 (initial M1) ' R M2 s vs xs)
  then obtain xs' where xs'-def : vs @ xs' ∈ R M2 s vs xs
    ∧ s' ∈ io-targets M1 (initial M1) (vs @ xs')
  proof -
    assume a1 : ∧ xs'. vs @ xs' ∈ R M2 s vs xs
      ∧ s' ∈ io-targets M1 (initial M1) (vs @ xs') ⇒ thesis
    obtain pps :: ('a × 'b) list set ⇒ (('a × 'b) list ⇒ 'c set) ⇒ 'c ⇒ ('a × 'b) list
      where
        ∀ x0 x1 x2. (∃ v3. v3 ∈ x0 ∧ x2 ∈ x1 v3) = (pps x0 x1 x2 ∈ x0 ∧ x2 ∈ x1 (pps x0 x1 x2))
      by moura
    then have f2 : pps (R M2 s vs xs) (io-targets M1 (initial M1)) s' ∈ R M2 s vs xs
      ∧ s' ∈ io-targets M1 (initial M1)
        (pps (R M2 s vs xs) (io-targets M1 (initial M1)) s')
    using ⟨¬ s' ∉ ∪ (io-targets M1 (initial M1) ' R M2 s vs xs)⟩ by blast
    then have ∃ ps. pps (R M2 s vs xs) (io-targets M1 (initial M1)) s' = vs @ ps ∧ ps ≠ []
      ∧ prefix ps xs ∧ s ∈ io-targets M2 (initial M2) (vs @ ps)
    by simp
    then show ?thesis
      using f2 a1 by (metis (no-types))
  qed

have vs @ xs' ∈ L M1
  using xs'-def by blast
then have io-targets M1 (initial M1) (vs@xs') = {s'}
  by (metis assms(2) io-targets-observable-singleton-ob singletonD xs'-def)
moreover have io-targets M1 (initial M1) (vs') = {s'}
  using s'-def by blast
moreover have io-targets M2 (initial M2) (vs @ xs') = {s}
  using state-component-2 xs'-def by blast
moreover have io-targets M2 (initial M2) (vs') = {s}
  by (metis (mono-tags, lifting) RP.simps Un-iff insertI1 mem-Collect-eq vs'-def)
moreover have xs' ≠ []

```

```

    using  $xs'$ -def by simp
  moreover have prefix  $xs'$   $xs$ 
    using  $xs'$ -def by simp
  moreover have  $vs' \in V''$ 
    using  $vs'$ -def by simp
  ultimately have Rep-Cov  $M2$   $M1$   $V''$   $vs$   $xs$ 
    by auto

  then show False
    using assms(14) by simp
qed

moreover have  $\bigcup (image (io-targets\ M1\ (initial\ M1)) (insert\ vs'\ (R\ M2\ s\ vs\ xs)))$ 
  =  $insert\ s' (\bigcup (image (io-targets\ M1\ (initial\ M1)) (R\ M2\ s\ vs\ xs)))$ 
  using  $s'$ -def by simp

moreover have finite  $(\bigcup (image (io-targets\ M1\ (initial\ M1)) (R\ M2\ s\ vs\ xs)))$ 
proof
  show finite  $(R\ M2\ s\ vs\ xs)$ 
    using finite-R by simp
  show  $\bigwedge a. a \in R\ M2\ s\ vs\ xs \implies finite\ (io-targets\ M1\ (initial\ M1)\ a)$ 
  proof -
    fix a assume  $a \in R\ M2\ s\ vs\ xs$ 
    then have prefix a  $(vs@xs)$ 
      by auto
    then have  $a \in L\ M1$ 
      using language-state-prefix by (metis IntD1 assms(1) prefix-def)
    then obtain p where  $io-targets\ M1\ (initial\ M1)\ a = \{p\}$ 
      using assms(2) io-targets-observable-singleton-ob by metis
    then show finite  $(io-targets\ M1\ (initial\ M1)\ a)$ 
      by simp
  qed
qed

ultimately have card  $(\bigcup (image (io-targets\ M1\ (initial\ M1)) (insert\ vs'\ (R\ M2\ s\ vs\ xs))))$ 
  =  $Suc\ (card\ (\bigcup (image (io-targets\ M1\ (initial\ M1)) (R\ M2\ s\ vs\ xs))))$ 
  by (metis (no-types) card-insert-disjoint)

moreover have card  $(\bigcup (image (io-targets\ M1\ (initial\ M1)) (RP\ M2\ s\ vs\ xs\ V'')))$ 
  =  $card\ (\bigcup (image (io-targets\ M1\ (initial\ M1)) (insert\ vs'\ (R\ M2\ s\ vs\ xs))))$ 
  using  $vs'$ -def by simp

ultimately have card  $(\bigcup (image (io-targets\ M1\ (initial\ M1)) (RP\ M2\ s\ vs\ xs\ V'')))$ 
  =  $Suc\ (card\ (\bigcup (image (io-targets\ M1\ (initial\ M1)) (R\ M2\ s\ vs\ xs))))$ 
  by linarith

then have card  $(\bigcup (image (io-targets\ M1\ (initial\ M1)) (RP\ M2\ s\ vs\ xs\ V'')))$ 
  =  $Suc\ (card\ (R\ M2\ s\ vs\ xs))$ 
  using R-count[of  $vs\ xs\ M1\ M2\ s\ FAIL\ PM\ q2\ q1\ tr$ ] using assms(1,10,11,2-9)
  by linarith

moreover have card  $(RP\ M2\ s\ vs\ xs\ V'') = Suc\ (card\ (R\ M2\ s\ vs\ xs))$ 
  using  $vs'$ -def by (metis card-insert-if finite-R)

ultimately show ?thesis
  by linarith
qed
qed

lemma RP-count-alt-def :
  assumes  $(vs\ @\ xs) \in L\ M1 \cap L\ M2$ 
  and observable  $M1$ 
  and observable  $M2$ 
  and well-formed  $M1$ 

```

```

and well-formed M2
and s ∈ nodes M2
and productF M2 M1 FAIL PM
and io-targets PM (initial PM) vs = {(q2,q1)}
and path PM (xs || tr) (q2,q1)
and length xs = length tr
and ¬ Rep-Pre M2 M1 vs xs
and is-det-state-cover M2 V
and V'' ∈ Perm V M1
and ¬ Rep-Cov M2 M1 V'' vs xs
shows card (⋃ (image (io-targets M1 (initial M1)) (RP M2 s vs xs V''))) = card (RP M2 s vs xs V'')
proof -
  have distinct (states (xs || tr) (q2,q1))
  using distinctness-via-Rep-Pre[of M2 M1 vs xs FAIL PM q2 q1 tr] assms by simp
  then show ?thesis
  using RP-count-via-Rep-Cov[of vs xs M1 M2 s FAIL PM q2 q1 tr V V'']
  using assms(1,10,12-14,2-9) by blast
qed

```

4.6 Function LB

LB adds together the number of elements in sets calculated via RP for a given set of states and the number of ATC-reaction known to exist but not produced by a state reached by any of the above elements.

```

fun LB :: ('in, 'out, 'state1) FSM ⇒ ('in, 'out, 'state2) FSM
  ⇒ ('in × 'out) list ⇒ ('in × 'out) list ⇒ 'in list set
  ⇒ 'state1 set ⇒ ('in, 'out) ATC set
  ⇒ ('in × 'out) list set ⇒ nat
where
  LB M2 M1 vs xs T S Ω V'' =
    (sum (λ s . card (RP M2 s vs xs V'')) S)
    + card ((D M1 T Ω) -
      {B M1 xs' Ω | xs' s' . s' ∈ S ∧ xs' ∈ RP M2 s' vs xs V''})

```

lemma *LB-count-helper-RP-disjoint-and-cards* :

```

assumes (vs @ xs) ∈ L M1 ∩ L M2
and observable M1
and observable M2
and well-formed M1
and well-formed M2
and productF M2 M1 FAIL PM
and is-det-state-cover M2 V
and V'' ∈ Perm V M1
and s1 ≠ s2
shows ⋃ (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V''))
  ∩ ⋃ (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V'')) = {}
  card (⋃ (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V'')))
    = card (⋃ (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V'')))
  card (⋃ (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V'')))
    = card (⋃ (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V'')))
proof -
  have ∀ io ∈ RP M2 s1 vs xs V'' . io-targets PM (initial PM) io
    = {s1} × io-targets M1 (initial M1) io
  proof
    fix io assume io ∈ RP M2 s1 vs xs V''
    then have io-targets PM (initial PM) io
      = io-targets M2 (initial M2) io × io-targets M1 (initial M1) io
    using assms RP-io-targets-split[of vs xs M1 M2 FAIL PM V V'' io s1] by simp
    moreover have io-targets M2 (initial M2) io = {s1}
    using ⟨io ∈ RP M2 s1 vs xs V''⟩ assms(3) RP-state-component-2[of io M2 s1 vs xs V'']
    by blast
    ultimately show io-targets PM (initial PM) io = {s1} × io-targets M1 (initial M1) io
    by auto
  qed
qed

```

then have $\bigcup (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V''))$
 $= \bigcup (image (\lambda io . \{s1\} \times io-targets M1 (initial M1) io) (RP M2 s1 vs xs V''))$
by simp
moreover have $\bigcup (image (\lambda io . \{s1\} \times io-targets M1 (initial M1) io) (RP M2 s1 vs xs V''))$
 $= \{s1\} \times \bigcup (image (\lambda io . io-targets M1 (initial M1) io) (RP M2 s1 vs xs V''))$
by blast
ultimately have image-split-1 :
 $\bigcup (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V''))$
 $= \{s1\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V''))$
by simp
then show card $(\bigcup (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V'')))$
 $= card (\bigcup (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V'')))$
by (metis (no-types) card-cartesian-product-singleton)

have $\forall io \in RP M2 s2 vs xs V'' . io-targets PM (initial PM) io$
 $= \{s2\} \times io-targets M1 (initial M1) io$

proof

fix io assume $io \in RP M2 s2 vs xs V''$
then have $io-targets PM (initial PM) io$
 $= io-targets M2 (initial M2) io \times io-targets M1 (initial M1) io$
using *assms RP-io-targets-split[of vs xs M1 M2 FAIL PM V V'' io s2]* **by simp**
moreover have $io-targets M2 (initial M2) io = \{s2\}$
using $\langle io \in RP M2 s2 vs xs V'' \rangle$ *assms(3) RP-state-component-2[of io M2 s2 vs xs V'']*
by blast
ultimately show $io-targets PM (initial PM) io = \{s2\} \times io-targets M1 (initial M1) io$
by auto

qed

then have $\bigcup (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V''))$
 $= \bigcup (image (\lambda io . \{s2\} \times io-targets M1 (initial M1) io) (RP M2 s2 vs xs V''))$
by simp
moreover have $\bigcup (image (\lambda io . \{s2\} \times io-targets M1 (initial M1) io) (RP M2 s2 vs xs V''))$
 $= \{s2\} \times \bigcup (image (\lambda io . io-targets M1 (initial M1) io) (RP M2 s2 vs xs V''))$
by blast
ultimately have image-split-2 :
 $\bigcup (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V''))$
 $= \{s2\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V''))$ **by simp**
then show card $(\bigcup (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V'')))$
 $= card (\bigcup (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V'')))$
by (metis (no-types) card-cartesian-product-singleton)

have $\bigcup (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V''))$
 $\cap \bigcup (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V''))$
 $= \{s1\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V''))$
 $\cap \{s2\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V''))$
using image-split-1 image-split-2 by blast
moreover have $\{s1\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V''))$
 $\cap \{s2\} \times \bigcup (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V'')) = \{\}$
using assms(9) by auto
ultimately show $\bigcup (image (io-targets PM (initial PM)) (RP M2 s1 vs xs V''))$
 $\cap \bigcup (image (io-targets PM (initial PM)) (RP M2 s2 vs xs V'')) = \{\}$
by presburger
qed

lemma LB-count-helper-RP-disjoint-card-M1 :

assumes $(vs @ xs) \in L M1 \cap L M2$
and observable M1
and observable M2
and well-formed M1
and well-formed M2
and productF M2 M1 FAIL PM
and is-det-state-cover M2 V

and $V'' \in \text{Perm } V \ M1$
and $s1 \neq s2$
shows $\text{card } (\bigcup (\text{image } (\text{io-targets } PM \ (\text{initial } PM)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \cup \bigcup (\text{image } (\text{io-targets } PM \ (\text{initial } PM)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
 $\quad = \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \quad + \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
proof –
have $\text{finite } (\bigcup (\text{image } (\text{io-targets } PM \ (\text{initial } PM)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
using $RP\text{-io-targets-finite-PM}[OF \ \text{assms}(1-8)]$ **by** simp
moreover have $\text{finite } (\bigcup (\text{image } (\text{io-targets } PM \ (\text{initial } PM)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
using $RP\text{-io-targets-finite-PM}[OF \ \text{assms}(1-8)]$ **by** simp
ultimately show $?thesis$
using $LB\text{-count-helper-RP-disjoint-and-cards}[OF \ \text{assms}]$
by $(metis \ (\text{no-types}) \ \text{card-Un-disjoint})$
qed

lemma $LB\text{-count-helper-RP-disjoint-M1-pair}$:

assumes $(vs \ @ \ xs) \in L \ M1 \cap L \ M2$
and $\text{observable } M1$
and $\text{observable } M2$
and $\text{well-formed } M1$
and $\text{well-formed } M2$
and $\text{productF } M2 \ M1 \ \text{FAIL } PM$
and $\text{io-targets } PM \ (\text{initial } PM) \ \text{vs} = \{(q2, q1)\}$
and $\text{path } PM \ (xs \ || \ tr) \ (q2, q1)$
and $\text{length } xs = \text{length } tr$
and $\neg \text{Rep-Pre } M2 \ M1 \ \text{vs } xs$
and $\text{is-det-state-cover } M2 \ V$
and $V'' \in \text{Perm } V \ M1$
and $\neg \text{Rep-Cov } M2 \ M1 \ V'' \ \text{vs } xs$
and $\text{Prereq } M2 \ M1 \ \text{vs } xs \ T \ S \ \Omega \ V''$
and $s1 \neq s2$
and $s1 \in S$
and $s2 \in S$
and $\text{applicable-set } M1 \ \Omega$
and $\text{completely-specified } M1$
shows $\text{card } (RP \ M2 \ s1 \ \text{vs } xs \ V'') + \text{card } (RP \ M2 \ s2 \ \text{vs } xs \ V'')$
 $\quad = \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \quad + \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
 $\quad \quad \cup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \quad \cap \bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
 $\quad \quad = \{\}$
proof –
have $s1 \in \text{nodes } M2$
using $\text{assms}(14,16)$ **unfolding** Prereq.simps **by** blast
have $s2 \in \text{nodes } M2$
using $\text{assms}(14,17)$ **unfolding** Prereq.simps **by** blast
have $\text{card } (RP \ M2 \ s1 \ \text{vs } xs \ V'')$
 $\quad = \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
using $RP\text{-count-alt-def}[OF \ \text{assms}(1-5) \ \langle s1 \in \text{nodes } M2 \rangle \ \text{assms}(6-13)]$
by linarith
moreover have $\text{card } (RP \ M2 \ s2 \ \text{vs } xs \ V'')$
 $\quad = \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V''))$
using $RP\text{-count-alt-def}[OF \ \text{assms}(1-5) \ \langle s2 \in \text{nodes } M2 \rangle \ \text{assms}(6-13)]$
by linarith
moreover show $\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \cap \bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V'')) = \{\}$
proof $(\text{rule } ccontr)$
assume $\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s1 \ \text{vs } xs \ V''))$
 $\quad \cap \bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) \ (RP \ M2 \ s2 \ \text{vs } xs \ V'')) \neq \{\}$
then obtain $io1 \ io2 \ t$ **where** shared-elem-def :
 $\quad io1 \in (RP \ M2 \ s1 \ \text{vs } xs \ V'')$
 $\quad io2 \in (RP \ M2 \ s2 \ \text{vs } xs \ V'')$
 $\quad t \in \text{io-targets } M1 \ (\text{initial } M1) \ io1$
 $\quad t \in \text{io-targets } M1 \ (\text{initial } M1) \ io2$

```

by blast

have dist-prop: ( $\forall s1 \in S . \forall s2 \in S . s1 \neq s2$ 
 $\longrightarrow (\forall io1 \in RP\ M2\ s1\ vs\ xs\ V'' .$ 
 $\quad \forall io2 \in RP\ M2\ s2\ vs\ xs\ V'' .$ 
 $\quad B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega))$ 
using assms(14) by simp

have io-targets M1 (initial M1) io1  $\cap$  io-targets M1 (initial M1) io2 = {}
proof (rule ccontr)
  assume io-targets M1 (initial M1) io1  $\cap$  io-targets M1 (initial M1) io2  $\neq$  {}
  then have io-targets M1 (initial M1) io1  $\neq$  {} io-targets M1 (initial M1) io2  $\neq$  {}
  by blast+

  then obtain s1 s2 where s1  $\in$  io-targets M1 (initial M1) io1
    s2  $\in$  io-targets M1 (initial M1) io2
  by blast

  then have io-targets M1 (initial M1) io1 = {s1}
    io-targets M1 (initial M1) io2 = {s2}
  by (meson assms(2) observable-io-target-is-singleton)+

  then have s1 = s2
  using <io-targets M1 (initial M1) io1  $\cap$  io-targets M1 (initial M1) io2  $\neq$  {}>
  by auto

  then have B M1 io1  $\Omega$  = B M1 io2  $\Omega$ 
  using <io-targets M1 (initial M1) io1 = {s1}> <io-targets M1 (initial M1) io2 = {s2}>
  by auto
  then show False
  using assms(15-17) dist-prop shared-elem-def(1,2) by blast
qed
then show False
using shared-elem-def(3,4) by blast
qed

ultimately show card (RP M2 s1 vs xs V'') + card (RP M2 s2 vs xs V'')
= card ( $\bigcup$  (image (io-targets M1 (initial M1)) (RP M2 s1 vs xs V'')))
+ card ( $\bigcup$  (image (io-targets M1 (initial M1)) (RP M2 s2 vs xs V'')))
by linarith
qed

```

```

lemma LB-count-helper-RP-card-union :
  assumes observable M2
  and s1  $\neq$  s2
  shows RP M2 s1 vs xs V''  $\cap$  RP M2 s2 vs xs V'' = {}
proof (rule ccontr)
  assume RP M2 s1 vs xs V''  $\cap$  RP M2 s2 vs xs V''  $\neq$  {}
  then obtain io where io  $\in$  RP M2 s1 vs xs V''  $\wedge$  io  $\in$  RP M2 s2 vs xs V''
  by blast
  then have s1  $\in$  io-targets M2 (initial M2) io
    s2  $\in$  io-targets M2 (initial M2) io
  by auto
  then have s1 = s2
  using assms(1) by (metis observable-io-target-is-singleton singletonD)
  then show False
  using assms(2) by simp
qed

```

lemma *LB-count-helper-RP-inj* :
obtains f
where $\forall q \in (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S) .$
 $f \ q \in \text{nodes } M1$
 $\text{inj-on } f (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S)$
proof –
let $?f =$
 $\lambda q . \text{if } (q \in (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S))$
 $\text{then } q$
 $\text{else } (\text{initial } M1)$
have $(\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S) \subseteq \text{nodes } M1$
by *blast*
then have $\forall q \in (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S) .$
 $?f \ q \in \text{nodes } M1$
by (*metis Un-iff sup.order-iff*)
moreover have $\text{inj-on } ?f (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1))$
 $(RP \ M2 \ s \ vs \ xs \ V'')) S))$
proof
fix x **assume** $x \in (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S)$
then have $?f \ x = x$
by *presburger*
fix y **assume** $y \in (\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S)$
then have $?f \ y = y$
by *presburger*
assume $?f \ x = ?f \ y$
then show $x = y$ **using** $\langle ?f \ x = x \rangle \langle ?f \ y = y \rangle$
by *presburger*
qed
ultimately show *?thesis*
using that by *presburger*
qed
abbreviation (*input*) $UNION :: 'a \text{ set} \Rightarrow ('a \Rightarrow 'b \text{ set}) \Rightarrow 'b \text{ set}$
where $UNION \ A \ f \equiv \bigcup (f \ ` \ A)$

lemma *LB-count-helper-RP-card-union-sum* :
assumes $(vs \ @ \ xs) \in L \ M2 \cap L \ M1$
and *OFSM* $M1$
and *OFSM* $M2$
and *asc-fault-domain* $M2 \ M1 \ m$
and *test-tools* $M2 \ M1 \ FAIL \ PM \ V \ \Omega$
and $V'' \in \text{Perm } V \ M1$
and *Prereq* $M2 \ M1 \ vs \ xs \ T \ S \ \Omega \ V''$
and $\neg \text{Rep-Pre } M2 \ M1 \ vs \ xs$
and $\neg \text{Rep-Cov } M2 \ M1 \ V'' \ vs \ xs$
shows $\text{sum } (\lambda s . \text{card } (RP \ M2 \ s \ vs \ xs \ V'')) \ S$
 $= \text{sum } (\lambda s . \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S$
using *assms* **proof** –
have *finite* $(\text{nodes } M2)$
using *assms*(3) **by** *auto*
moreover have $S \subseteq \text{nodes } M2$
using *assms*(7) **by** *simp*
ultimately have *finite* S
using *infinite-super* **by** *blast*
then have $\text{sum } (\lambda s . \text{card } (RP \ M2 \ s \ vs \ xs \ V'')) \ S$
 $= \text{sum } (\lambda s . \text{card } (\bigcup (\text{image } (\text{io-targets } M1 \text{ (initial } M1)) (RP \ M2 \ s \ vs \ xs \ V'')) S)) S$
using *assms* **proof** (*induction* S)

```

case empty
show ?case by simp
next
case (insert s S)

have (insert s S)  $\subseteq$  nodes M2
  using insert.premis(7) by simp
then have s  $\in$  nodes M2
  by simp

have Prereq M2 M1 vs xs T S  $\Omega$  V''
  using  $\langle \text{Prereq } M2 \ M1 \ vs \ xs \ T \ (\text{insert } s \ S) \ \Omega \ V'' \rangle$  by simp
then have  $(\sum_{s \in S}. \text{card } (RP \ M2 \ s \ vs \ xs \ V''))$ 
  =  $(\sum_{s \in S}. \text{card } (\bigcup_{a \in RP \ M2 \ s \ vs \ xs \ V''}. \text{io-targets } M1 \ (\text{initial } M1) \ a))$ 
  using insert.IH[OF insert.premis(1-6) - assms(8,9)] by metis
moreover have  $(\sum_{s' \in (\text{insert } s \ S)}. \text{card } (RP \ M2 \ s' \ vs \ xs \ V''))$ 
  =  $(\sum_{s' \in S}. \text{card } (RP \ M2 \ s' \ vs \ xs \ V'')) + \text{card } (RP \ M2 \ s \ vs \ xs \ V'')$ 
  by (simp add: add.commute insert.hyps(1) insert.hyps(2))
ultimately have S-prop :  $(\sum_{s' \in (\text{insert } s \ S)}. \text{card } (RP \ M2 \ s' \ vs \ xs \ V''))$ 
  =  $(\sum_{s \in S}. \text{card } (\bigcup_{a \in RP \ M2 \ s \ vs \ xs \ V''}. \text{io-targets } M1 \ (\text{initial } M1) \ a))$ 
  +  $\text{card } (RP \ M2 \ s \ vs \ xs \ V'')$ 
  by presburger

have vs@xs  $\in L \ M1 \cap L \ M2$ 
  using insert.premis(1) by simp

obtain q2 q1 tr where suffix-path : io-targets PM (initial PM) vs =  $\{(q2, q1)\}$ 
  path PM (xs || tr) (q2, q1)
  length xs = length tr
using productF-language-state-intermediate[OF insert.premis(1)
  test-tools-props(1)[OF insert.premis(5,4)] OFSM-props(2,1)[OF insert.premis(3)]
  OFSM-props(2,1)[OF insert.premis(2)]
by blast

have  $\text{card } (RP \ M2 \ s \ vs \ xs \ V'')$ 
  =  $\text{card } (\bigcup (\text{image } (\text{io-targets } M1 \ (\text{initial } M1)) (RP \ M2 \ s \ vs \ xs \ V''))$ 
using OFSM-props(2,1)[OF insert.premis(3)] OFSM-props(2,1)[OF insert.premis(2)]
  RP-count-alt-def[OF  $\langle vs@xs \in L \ M1 \cap L \ M2 \rangle$  - - -
   $\langle s \in \text{nodes } M2 \rangle$  test-tools-props(1)[OF insert.premis(5,4)]
  suffix-path insert.premis(8)
  test-tools-props(2)[OF insert.premis(5,4)] assms(6) insert.premis(9)]
by linarith

show  $(\sum_{s \in \text{insert } s \ S}. \text{card } (RP \ M2 \ s \ vs \ xs \ V'')) =$ 
   $(\sum_{s \in \text{insert } s \ S}. \text{card } (\text{UNION } (RP \ M2 \ s \ vs \ xs \ V'') (\text{io-targets } M1 \ (\text{initial } M1))))$ 
proof -
  have  $(\sum_{c \in \text{insert } s \ S}. \text{card } (\text{UNION } (RP \ M2 \ c \ vs \ xs \ V'') (\text{io-targets } M1 \ (\text{initial } M1))))$ 
  =  $\text{card } (\text{UNION } (RP \ M2 \ s \ vs \ xs \ V'') (\text{io-targets } M1 \ (\text{initial } M1)))$ 
  +  $(\sum_{c \in S}. \text{card } (\text{UNION } (RP \ M2 \ c \ vs \ xs \ V'') (\text{io-targets } M1 \ (\text{initial } M1))))$ 
  by (meson insert.hyps(1) insert.hyps(2) sum.insert)
then show ?thesis
  using  $\langle (\sum_{s' \in \text{insert } s \ S}. \text{card } (RP \ M2 \ s' \ vs \ xs \ V''))$ 
  =  $(\sum_{s \in S}. \text{card } (\bigcup_{a \in RP \ M2 \ s \ vs \ xs \ V''}. \text{io-targets } M1 \ (\text{initial } M1) \ a))$ 
  +  $\text{card } (RP \ M2 \ s \ vs \ xs \ V'')$ 
   $\langle \text{card } (RP \ M2 \ s \ vs \ xs \ V'')$ 
  =  $\text{card } (\text{UNION } (RP \ M2 \ s \ vs \ xs \ V'') (\text{io-targets } M1 \ (\text{initial } M1))) \rangle$ 
  by presburger
qed
qed

then show ?thesis
  using assms by blast
qed

```



```

lemma finite-insert-card :
  assumes finite ( $\bigcup SS$ )
  and finite S
  and  $S \cap (\bigcup SS) = \{\}$ 
shows card ( $\bigcup (\text{insert } S \text{ } SS)$ ) = card ( $\bigcup SS$ ) + card S
  by (simp add: assms(1) assms(2) assms(3) card-Un-disjoint)

lemma LB-count-helper-RP-disjoint-M1-union :
  assumes (vs @ xs)  $\in$  L M2  $\cap$  L M1
  and OFSM M1
  and OFSM M2
  and asc-fault-domain M2 M1 m
  and test-tools M2 M1 FAIL PM V  $\Omega$ 
  and  $V'' \in \text{Perm } V \text{ } M1$ 
  and  $\text{Prereq } M2 \text{ } M1 \text{ } vs \text{ } xs \text{ } T \text{ } S \text{ } \Omega \text{ } V''$ 
  and  $\neg \text{Rep-Pre } M2 \text{ } M1 \text{ } vs \text{ } xs$ 
  and  $\neg \text{Rep-Cov } M2 \text{ } M1 \text{ } V'' \text{ } vs \text{ } xs$ 
shows sum ( $\lambda s . \text{card } (RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V'')$ ) S
  = card ( $\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ } (\text{initial } M1)) (RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V'')) S))$ )
using assms proof -
  have finite (nodes M2)
  using assms(3) by auto
  moreover have  $S \subseteq \text{nodes } M2$ 
  using assms(7) by simp
  ultimately have finite S
  using infinite-super by blast

  then show sum ( $\lambda s . \text{card } (RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V'')$ ) S
    = card ( $\bigcup (\text{image } (\lambda s . \bigcup (\text{image } (\text{io-targets } M1 \text{ } (\text{initial } M1)) (RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V'')) S))$ )
  using assms proof (induction S)
    case empty
    show ?case by simp
  next
    case (insert s S)

    have (insert s S)  $\subseteq$  nodes M2
    using insert.premis(7) by simp
    then have s  $\in$  nodes M2
    by simp

    have Prereq M2 M1 vs xs T S  $\Omega$  V''
    using  $\langle \text{Prereq } M2 \text{ } M1 \text{ } vs \text{ } xs \text{ } T \text{ } (\text{insert } s \text{ } S) \text{ } \Omega \text{ } V'' \rangle$  by simp
    then have applied-IH : ( $\sum_{s \in S} \text{card } (RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V'')$ )
      = card ( $\bigcup_{s \in S} \bigcup_{a \in RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V''} \text{io-targets } M1 \text{ } (\text{initial } M1) \text{ } a$ )
    using insert.IH[OF insert.premis(1-6) - insert.premis(8,9)] by metis

    obtain q2 q1 tr where suffix-path : io-targets PM (initial PM) vs = {(q2,q1)}
      path PM (xs || tr) (q2,q1)
      length xs = length tr
    using productF-language-state-intermediate
      [OF insert.premis(1) test-tools-props(1)[OF insert.premis(5,4)]
        OFSM-props(2,1)[OF insert.premis(3)] OFSM-props(2,1)[OF insert.premis(2)]]
    by blast

    have s  $\in$  insert s S
    by simp

    have vs@xs  $\in$  L M1  $\cap$  L M2
    using insert.premis(1) by simp

    have  $\forall s' \in S . (\bigcup_{a \in RP \text{ } M2 \text{ } s \text{ } vs \text{ } xs \text{ } V''} \text{io-targets } M1 \text{ } (\text{initial } M1) \text{ } a) \cap (\bigcup_{a \in RP \text{ } M2 \text{ } s' \text{ } vs \text{ } xs \text{ } V''} \text{io-targets } M1 \text{ } (\text{initial } M1) \text{ } a) = \{\}$ 
  proof
    fix s' assume s'  $\in$  S
  
```

```

have s ≠ s'
  using insert.hyps(2) ⟨s' ∈ S⟩ by blast
have s' ∈ insert s S
  using ⟨s' ∈ S⟩ by simp

show (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  ∩ (⋃ a ∈ RP M2 s' vs xs V''. io-targets M1 (initial M1) a) = {}
  using OFSM-props(2,1)[OF assms(3)] OFSM-props(2,1,3)[OF assms(2)]
    LB-count-helper-RP-disjoint-M1-pair(2)
    [OF ⟨vs @ xs ∈ L M1 ∩ L M2⟩ - - - test-tools-props(1)[OF insert.prem(5,4)]
      suffix-path insert.prem(8) test-tools-props(2)[OF insert.prem(5,4)]
      insert.prem(6,9,7) ⟨s ≠ s'⟩ ⟨s ∈ insert s S⟩ ⟨s' ∈ insert s S⟩
      test-tools-props(4)[OF insert.prem(5,4)]]
  by linarith
qed
then have disj-insert : (⋃ s ∈ S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  ∩ (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a) = {}
  by blast
have finite-S : finite (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  using RP-io-targets-finite-M1[OF insert.prem(1)]
  by (meson RP-io-targets-finite-M1 ⟨vs @ xs ∈ L M1 ∩ L M2⟩ assms(2) assms(5) insert.prem(6))
have finite-s : finite (⋃ s ∈ S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  by (meson RP-io-targets-finite-M1 ⟨vs @ xs ∈ L M1 ∩ L M2⟩ assms(2) assms(5)
    finite-UN-I insert.hyps(1) insert.prem(6))

have card (⋃ s ∈ insert s S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  = card (⋃ s ∈ S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  + card (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
proof -
  have f1: insert (UNION (RP M2 s vs xs V'') (io-targets M1 (initial M1)))
    ((λc. UNION (RP M2 c vs xs V'') (io-targets M1 (initial M1))) ' S)
    = (λc. UNION (RP M2 c vs xs V'') (io-targets M1 (initial M1))) ' insert s S
  by blast
  have ∀ c. c ∈ S ⟶ UNION (RP M2 s vs xs V'') (io-targets M1 (initial M1))
    ∩ UNION (RP M2 c vs xs V'') (io-targets M1 (initial M1)) = {}
  by (meson ⟨∀ s' ∈ S. (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
    ∩ (⋃ a ∈ RP M2 s' vs xs V''. io-targets M1 (initial M1) a) = {}⟩)
  then have UNION (RP M2 s vs xs V'') (io-targets M1 (initial M1))
    ∩ (⋃ c ∈ S. UNION (RP M2 c vs xs V'') (io-targets M1 (initial M1))) = {}
  by blast
  then show ?thesis
    using f1 by (metis finite-S finite-insert-card finite-s)
qed

have card (RP M2 s vs xs V'')
  = card (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
  using assms(2) assms(3)
    RP-count-alt-def[OF ⟨vs @ xs ∈ L M1 ∩ L M2⟩ - - - ⟨s ∈ nodes M2⟩
      test-tools-props(1)[OF insert.prem(5,4)] suffix-path
      insert.prem(8) test-tools-props(2)[OF insert.prem(5,4)]
      insert.prem(6,9)]
  by metis

show ?case
proof -
  have (∑ c ∈ insert s S. card (RP M2 c vs xs V''))
    = card (RP M2 s vs xs V'') + (∑ c ∈ S. card (RP M2 c vs xs V''))
  by (meson insert.hyps(1) insert.hyps(2) sum.insert)
  then show ?thesis
    using ⟨card (RP M2 s vs xs V'')
      = card (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)⟩
    ⟨card (⋃ s ∈ insert s S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
      = card (⋃ s ∈ S. ⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)
      + card (⋃ a ∈ RP M2 s vs xs V''. io-targets M1 (initial M1) a)⟩ applied-IH
  by presburger

```

qed
qed
qed

lemma *LB-count-helper-LB1* :
 assumes $(vs @ xs) \in L \ M2 \cap L \ M1$
 and *OFSM* $M1$
 and *OFSM* $M2$
 and *asc-fault-domain* $M2 \ M1 \ m$
 and *test-tools* $M2 \ M1 \ FAIL \ PM \ V \ \Omega$
 and $V'' \in Perm \ V \ M1$
 and *Prereq* $M2 \ M1 \ vs \ xs \ T \ S \ \Omega \ V''$
 and $\neg Rep\text{-}Pre \ M2 \ M1 \ vs \ xs$
 and $\neg Rep\text{-}Cov \ M2 \ M1 \ V'' \ vs \ xs$
 shows $(\sum (\lambda s . card \ (RP \ M2 \ s \ vs \ xs \ V'')) \ S) \leq card \ (nodes \ M1)$
 proof -
 have $(\bigcup_{s \in S}. UNION \ (RP \ M2 \ s \ vs \ xs \ V'') \ (io\text{-}targets \ M1 \ (initial \ M1))) \subseteq nodes \ M1$
 by *blast*
 moreover have *finite* $(nodes \ M1)$
 using *assms*(2) *OFSM*-*props*(1) **unfolding** *well-formed.simps* *finite-FSM.simps* **by** *simp*
 ultimately have $card \ (\bigcup_{s \in S}. UNION \ (RP \ M2 \ s \ vs \ xs \ V'') \ (io\text{-}targets \ M1 \ (initial \ M1)))$
 $\leq card \ (nodes \ M1)$
 by (*meson* *card-mono*)

 moreover have $(\sum_{s \in S}. card \ (RP \ M2 \ s \ vs \ xs \ V''))$
 $= card \ (\bigcup_{s \in S}. UNION \ (RP \ M2 \ s \ vs \ xs \ V'') \ (io\text{-}targets \ M1 \ (initial \ M1)))$
 using *LB-count-helper-RP-disjoint-M1-union*[*OF* *assms*]
 by *linarith*

 ultimately show *?thesis*
 by *linarith*
qed

lemma *LB-count-helper-D-states* :
 assumes *observable* M
 and $RS \in (D \ M \ T \ \Omega)$
 obtains q
 where $q \in nodes \ M \wedge RS = IO\text{-}set \ M \ q \ \Omega$
 proof -
 have $RS \in image \ (\lambda io . B \ M \ io \ \Omega) \ (LS_{in} \ M \ (initial \ M) \ T)$
 using *assms* **by** *simp*
 then obtain io where $RS = B \ M \ io \ \Omega \wedge io \in LS_{in} \ M \ (initial \ M) \ T$
 by *blast*
 then have $io \in language\text{-}state \ M \ (initial \ M)$
 using *language-state-for-inputs-in-language-state*[*of* $M \ initial \ M \ T$] **by** *blast*
 then obtain q where $\{q\} = io\text{-}targets \ M \ (initial \ M) \ io$
 by (*metis* *assms*(1) *io-targets-observable-singleton-ob*)
 then have $B \ M \ io \ \Omega = \bigcup \ (image \ (\lambda s . IO\text{-}set \ M \ s \ \Omega) \ \{q\})$
 by *simp*
 then have $B \ M \ io \ \Omega = IO\text{-}set \ M \ q \ \Omega$
 by *simp*
 then have $RS = IO\text{-}set \ M \ q \ \Omega$ using $\langle RS = B \ M \ io \ \Omega \rangle$
 by *simp*
 moreover have $q \in nodes \ M$ using $\langle \{q\} = io\text{-}targets \ M \ (initial \ M) \ io \rangle$
 by (*metis* *FSM.nodes.initial insertI1 io-targets-nodes*)
 ultimately show *?thesis*
 using *that* **by** *simp*
qed

lemma *LB-count-helper-LB2* :

assumes *observable M1*
and $IO\text{-set } M1 \ q \ \Omega \in (D \ M1 \ T \ \Omega) - \{B \ M1 \ xs' \ \Omega \mid xs' \ s' . s' \in S \wedge xs' \in RP \ M2 \ s' \ vs \ xs \ V''\}$
shows $q \notin (\bigcup (image \ (\lambda \ s . \bigcup (image \ (io\text{-targets } M1 \ (initial \ M1)) \ (RP \ M2 \ s \ vs \ xs \ V'')) \ S))$
proof
assume $q \in (\bigcup_{s \in S. UNION \ (RP \ M2 \ s \ vs \ xs \ V'') \ (io\text{-targets } M1 \ (initial \ M1)))$
then obtain $s' \text{ where } s' \in S \ q \in (\bigcup (image \ (io\text{-targets } M1 \ (initial \ M1)) \ (RP \ M2 \ s' \ vs \ xs \ V''))$
by *blast*
then obtain $xs' \text{ where } q \in io\text{-targets } M1 \ (initial \ M1) \ xs' \ xs' \in RP \ M2 \ s' \ vs \ xs \ V''$
by *blast*
then have $\{q\} = io\text{-targets } M1 \ (initial \ M1) \ xs'$
by *(metis assms(1) observable-io-target-is-singleton)*
then have $B \ M1 \ xs' \ \Omega = \bigcup (image \ (\lambda \ s . IO\text{-set } M1 \ s \ \Omega) \ \{q\})$
by *simp*
then have $B \ M1 \ xs' \ \Omega = IO\text{-set } M1 \ q \ \Omega$
by *simp*
moreover have $B \ M1 \ xs' \ \Omega \in \{B \ M1 \ xs' \ \Omega \mid xs' \ s' . s' \in S \wedge xs' \in RP \ M2 \ s' \ vs \ xs \ V''\}$
using $\langle s' \in S \rangle \langle xs' \in RP \ M2 \ s' \ vs \ xs \ V'' \rangle$ **by** *blast*
ultimately have $IO\text{-set } M1 \ q \ \Omega \in \{B \ M1 \ xs' \ \Omega \mid xs' \ s' . s' \in S \wedge xs' \in RP \ M2 \ s' \ vs \ xs \ V''\}$
by *blast*
moreover have $IO\text{-set } M1 \ q \ \Omega \notin \{B \ M1 \ xs' \ \Omega \mid xs' \ s' . s' \in S \wedge xs' \in RP \ M2 \ s' \ vs \ xs \ V''\}$
using *assms(2)* **by** *blast*
ultimately show *False*
by *simp*
qed

4.7 Validity of the result of LB constituting a lower bound

lemma *LB-count* :

assumes $(vs \ @ \ xs) \in L \ M1$
and *OFSM M1*
and *OFSM M2*
and *asc-fault-domain M2 M1 m*
and *test-tools M2 M1 FAIL PM V Ω*
and $V'' \in Perm \ V \ M1$
and *Prereq M2 M1 vs xs T S Ω V''*
and $\neg Rep\text{-Pre } M2 \ M1 \ vs \ xs$
and $\neg Rep\text{-Cov } M2 \ M1 \ V'' \ vs \ xs$
shows $LB \ M2 \ M1 \ vs \ xs \ T \ S \ \Omega \ V'' \leq |M1|$
proof —

let $?D = D \ M1 \ T \ \Omega$
let $?B = \{B \ M1 \ xs' \ \Omega \mid xs' \ s' . s' \in S \wedge xs' \in RP \ M2 \ s' \ vs \ xs \ V''\}$
let $?DB = ?D - ?B$
let $?RP = \bigcup_{s \in S. \bigcup_{a \in RP \ M2 \ s \ vs \ xs \ V''} io\text{-targets } M1 \ (initial \ M1) \ a}$

have *finite (nodes M1)*
using *OFSM-props[OF assms(2)] unfolding well-formed.simps finite-FSM.simps by simp*
then have *finite ?D*
using *OFSM-props[OF assms(2)] assms(7) D-bound[of M1 T Ω] unfolding Prereq.simps by linarith*
then have *finite ?DB*
by *simp*

— Proof sketch: Construct a function f (via induction) that maps each response set in $?DB$ to some state that produces that response set. This is then used to show that each response sets in $?DB$ indicates the existence of a distinct state in $M1$ not reached via the RP -sequences.

have $states\text{-}f : \bigwedge DB' . DB' \subseteq ?DB \implies \exists f . inj\text{-on } f \ DB' \wedge image \ f \ DB' \subseteq (nodes \ M1) - ?RP$
 $\wedge (\forall RS \in DB' . IO\text{-set } M1 \ (f \ RS) \ \Omega = RS)$

proof —
fix DB' **assume** $DB' \subseteq ?DB$
have *finite DB'*
proof *(rule ccontr)*
assume *infinite DB'*

```

have infinite ?DB
  using infinite-super[OF  $\langle DB' \subseteq ?DB \rangle \langle \text{infinite } DB' \rangle$ ] by simp
then show False
  using  $\langle \text{finite } ?DB \rangle$  by simp
qed
then show  $\exists f. \text{inj-on } f \text{ } DB' \wedge \text{image } f \text{ } DB' \subseteq (\text{nodes } M1) - ?RP$ 
   $\wedge (\forall RS \in DB'. \text{IO-set } M1 (f \text{ } RS) \Omega = RS)$ 
using assms  $\langle DB' \subseteq ?DB \rangle$  proof (induction DB')
  case empty
  show ?case by simp
next
  case (insert RS DB')

  have  $DB' \subseteq ?DB$ 
  using insert.prem(10) by blast
  obtain f' where inj-on f' DB'
    image f' DB'  $\subseteq (\text{nodes } M1) - ?RP$ 
     $\forall RS \in DB'. \text{IO-set } M1 (f' RS) \Omega = RS$ 
  using insert.IH[OF insert.prem(1-9)  $\langle DB' \subseteq ?DB \rangle$ ]
  by blast

  have  $RS \in D \text{ } M1 \text{ } T \text{ } \Omega$ 
  using insert.prem(10) by blast
  obtain q where  $q \in \text{nodes } M1 \text{ } RS = \text{IO-set } M1 \text{ } q \text{ } \Omega$ 
  using insert.prem(2) LB-count-helper-D-states[OF -  $\langle RS \in D \text{ } M1 \text{ } T \text{ } \Omega \rangle$ ]
  by blast
  then have  $\text{IO-set } M1 \text{ } q \text{ } \Omega \in ?DB$ 
  using insert.prem(10) by blast

  have  $q \notin ?RP$ 
  using insert.prem(2) LB-count-helper-LB2[OF -  $\langle \text{IO-set } M1 \text{ } q \text{ } \Omega \in ?DB \rangle$ ]
  by blast

  let ?f = f'(RS := q)
  have inj-on ?f (insert RS DB')
  proof
    have  $?f \text{ } RS \notin ?f' (DB' - \{RS\})$ 
  proof
    assume  $?f \text{ } RS \in ?f' (DB' - \{RS\})$ 
    then have  $q \in ?f' (DB' - \{RS\})$  by auto
    have  $RS \in DB'$ 
  proof -
    have  $\forall P \text{ } c \text{ } f. \exists Pa. ((c::'c) \notin f' P \vee (Pa::('a \times 'b) \text{ list set}) \in P)$ 
       $\wedge (c \notin f' P \vee f \text{ } Pa = c)$ 
    by auto
  moreover
    { assume  $q \notin f' DB'$ 
    moreover
      { assume  $q \notin f'(RS := q) DB'$ 
      then have ?thesis
        using  $\langle q \in f'(RS := q) (DB' - \{RS\}) \rangle$  by blast }
      ultimately have ?thesis
        by (metis fun-upd-image) }
    ultimately show ?thesis
      by (metis (no-types)  $\langle RS = \text{IO-set } M1 \text{ } q \text{ } \Omega \rangle \langle \forall RS \in DB'. \text{IO-set } M1 (f' RS) \Omega = RS \rangle$ )
  qed
  then show False using insert.hyps(2) by simp
  qed
  then show inj-on ?f DB'  $\wedge ?f \text{ } RS \notin ?f' (DB' - \{RS\})$ 
    using  $\langle \text{inj-on } f' DB' \rangle$  inj-on-fun-updI by fastforce
  qed
  moreover have image ?f (insert RS DB')  $\subseteq (\text{nodes } M1) - ?RP$ 
  proof -
    have image ?f {RS} = {q} by simp
    then have image ?f {RS}  $\subseteq (\text{nodes } M1) - ?RP$ 
    using  $\langle q \in \text{nodes } M1 \rangle \langle q \notin ?RP \rangle$  by auto
  
```

```

moreover have  $\text{image } ?f (\text{insert } RS \ DB') = \text{image } ?f \{RS\} \cup \text{image } ?f \ DB'$ 
by auto
ultimately show ?thesis
by (metis (no-types, lifting)  $\langle \text{image } f' \ DB' \subseteq (\text{nodes } M1) - ?RP \rangle$  fun-upd-other image-cong
image-insert insert.hypos(2) insert-subset)
qed
moreover have  $\forall \ RS \in (\text{insert } RS \ DB') . \text{IO-set } M1 \ (\text{?f } RS) \ \Omega = RS$ 
using  $\langle RS = \text{IO-set } M1 \ q \ \Omega \rangle \langle \forall \ RS \in DB' . \text{IO-set } M1 \ (f' \ RS) \ \Omega = RS \rangle$  by auto

ultimately show ?case
by blast
qed
qed

have  $?DB \subseteq ?DB$ 
by simp
obtain f where  $\text{inj-on } f \ ?DB \ \text{image } f \ ?DB \subseteq (\text{nodes } M1) - ?RP$ 
using states-f[OF  $\langle ?DB \subseteq ?DB \rangle$ ] by blast
have finite ( $\text{nodes } M1 - ?RP$ )
using  $\langle \text{finite } (\text{nodes } M1) \rangle$  by simp
have  $\text{card } ?DB \leq \text{card } (\text{nodes } M1 - ?RP)$ 
using card-inj-on-le[OF  $\langle \text{inj-on } f \ ?DB \rangle \langle \text{image } f \ ?DB \subseteq (\text{nodes } M1) - ?RP \rangle$ 
 $\langle \text{finite } (\text{nodes } M1 - ?RP) \rangle]$ 
by assumption

have  $?RP \subseteq \text{nodes } M1$ 
by blast
then have  $\text{card } (\text{nodes } M1 - ?RP) = \text{card } (\text{nodes } M1) - \text{card } ?RP$ 
by (meson  $\langle \text{finite } (\text{nodes } M1) \rangle$  card-Diff-subset infinite-subset)
then have  $\text{card } ?DB \leq \text{card } (\text{nodes } M1) - \text{card } ?RP$ 
using  $\langle \text{card } ?DB \leq \text{card } (\text{nodes } M1 - ?RP) \rangle$  by linarith

have  $vs \ @ \ xs \in L \ M2 \cap L \ M1$ 
using assms(7) by simp
have  $(\text{sum } (\lambda \ s . \text{card } (RP \ M2 \ s \ vs \ xs \ V'')) \ S) = \text{card } ?RP$ 
using LB-count-helper-RP-disjoint-M1-union[OF  $\langle vs \ @ \ xs \in L \ M2 \cap L \ M1 \rangle$  assms(2-9)] by simp
moreover have  $\text{card } ?RP \leq \text{card } (\text{nodes } M1)$ 
using card-mono[OF  $\langle \text{finite } (\text{nodes } M1) \rangle \langle ?RP \subseteq \text{nodes } M1 \rangle$ ] by assumption
ultimately show ?thesis
unfolding LB.simps using  $\langle \text{card } ?DB \leq \text{card } (\text{nodes } M1) - \text{card } ?RP \rangle$ 
by linarith
qed

lemma contradiction-via-LB :
assumes  $(vs \ @ \ xs) \in L \ M1$ 
and OFSM M1
and OFSM M2
and asc-fault-domain M2 M1 m
and test-tools M2 M1 FAIL PM V  $\Omega$ 
and  $V'' \in \text{Perm } V \ M1$ 
and Prereq M2 M1 vs xs T S  $\Omega$   $V''$ 
and  $\neg \text{Rep-Pre } M2 \ M1 \ vs \ xs$ 
and  $\neg \text{Rep-Cov } M2 \ M1 \ V'' \ vs \ xs$ 
and  $LB \ M2 \ M1 \ vs \ xs \ T \ S \ \Omega \ V'' > m$ 
shows False
proof –
have  $LB \ M2 \ M1 \ vs \ xs \ T \ S \ \Omega \ V'' \leq \text{card } (\text{nodes } M1)$ 
using LB-count[OF assms(1-9)] by assumption
moreover have  $\text{card } (\text{nodes } M1) \leq m$ 
using assms(4) by auto
ultimately show False
using assms(10) by linarith
qed

```

```

end
theory ASC-Suite
imports ASC-LB
begin

```

5 Test suite generated by the Adaptive State Counting Algorithm

5.1 Maximum length contained prefix

```

fun mcp :: 'a list ⇒ 'a list set ⇒ 'a list ⇒ bool where
  mcp z W p = (prefix p z ∧ p ∈ W ∧
    (∀ p'. (prefix p' z ∧ p' ∈ W) ⟶ length p' ≤ length p))

lemma mcp-ex :
  assumes [] ∈ W
  and     finite W
  obtains p
  where mcp z W p
  proof -
    let ?P = {p . prefix p z ∧ p ∈ W}
    let ?maxP = arg-max length (λ p . p ∈ ?P)

    have finite {p . prefix p z}
    proof -
      have {p . prefix p z} ⊆ image (λ i . take i z) (set [0 ..< Suc (length z)])
      proof
        fix p assume p ∈ {p . prefix p z}
        then obtain i where i ≤ length z ∧ p = take i z
        by (metis append-eq-conv-conj mem-Collect-eq prefix-def prefix-length-le)
        then have i < Suc (length z) ∧ p = take i z
        by simp
        then show p ∈ image (λ i . take i z) (set [0 ..< Suc (length z)])
        using atLeast-up to by blast
      qed
      then show ?thesis
      using finite-surj by blast
    qed
    then have finite ?P
    by simp

    have ?P ≠ {}
    using Nil-prefix assms(1) by blast

    have ∃ maxP ∈ ?P . ∀ p ∈ ?P . length p ≤ length maxP
    proof (rule ccontr)
      assume ¬(∃ maxP ∈ ?P . ∀ p ∈ ?P . length p ≤ length maxP)
      then have ∀ p ∈ ?P . ∃ p' ∈ ?P . length p < length p'
      by (meson not-less)
      then have ∀ l ∈ (image length ?P) . ∃ l' ∈ (image length ?P) . l < l'
      by auto

      then have infinite (image length ?P)
      by (metis (no-types, lifting) ‹?P ≠ {}› image-is-empty infinite-growing)
      then have infinite ?P
      by blast
      then show False
      using ‹finite ?P› by simp
    qed

    then obtain maxP where maxP ∈ ?P ∧ ∀ p ∈ ?P . length p ≤ length maxP
    by blast

    then have mcp z W maxP
    unfolding mcp.simps by blast

```

```

then show ?thesis
  using that by auto
qed

```

```

lemma mcp-unique :
  assumes mcp z W p
  and     mcp z W p'
shows p = p'
proof -
  have length p' ≤ length p
    using assms(1) assms(2) by auto
  moreover have length p ≤ length p'
    using assms(1) assms(2) by auto
  ultimately have length p' = length p
    by simp

  moreover have prefix p z
    using assms(1) by auto
  moreover have prefix p' z
    using assms(2) by auto
  ultimately show ?thesis
    by (metis append-eq-conv-conj prefixE)
qed

```

```

fun mcp' :: 'a list ⇒ 'a list set ⇒ 'a list where
  mcp' z W = (THE p . mcp z W p)

```

```

lemma mcp'-intro :
  assumes mcp z W p
shows mcp' z W = p
using assms mcp-unique by (metis mcp'.elim theI-unique)

```

```

lemma mcp-prefix-of-suffix :
  assumes mcp (vs@xs) V vs
  and     prefix xs' xs
shows mcp (vs@xs') V vs
proof (rule ccontr)
  assume ¬ mcp (vs @ xs') V vs
  then have ¬ (prefix vs (vs @ xs') ∧ vs ∈ V ∧
    (∀ p' . (prefix p' (vs @ xs') ∧ p' ∈ V) ⟶ length p' ≤ length vs))
    by auto
  then have ¬ (∀ p' . (prefix p' (vs @ xs') ∧ p' ∈ V) ⟶ length p' ≤ length vs)
    using assms(1) by auto
  then obtain vs' where vs' ∈ V ∧ prefix vs' (vs@xs) ∧ length vs < length vs'
    by (meson assms(2) leI prefix-append prefix-order.dual-order.trans)
  then have ¬ (mcp (vs@xs) V vs)
    by auto
  then show False
    using assms(1) by auto
qed

```

```

lemma minimal-sequence-to-failure-extending-mcp :
  assumes OFSM M1
  and     OFSM M2
  and     is-det-state-cover M2 V
  and     minimal-sequence-to-failure-extending V M1 M2 vs xs
shows mcp (map fst (vs@xs)) V (map fst vs)
proof (rule ccontr)
  assume ¬ mcp (map fst (vs @ xs)) V (map fst vs)
  moreover have prefix (map fst vs) (map fst (vs @ xs))
    by auto
  moreover have (map fst vs) ∈ V
    using mstfe-prefix-input-in-V assms(4) by auto
  ultimately obtain v' where prefix v' (map fst (vs @ xs))
    v' ∈ V

```



```

      length v' > length (map fst vs)
using leI by auto

then obtain x' where (map fst (vs@xs)) = v'@x'
  using prefixE by blast

have vs@xs ∈ L M1 - L M2
  using assms(4) unfolding minimal-sequence-to-failure-extending.simps sequence-to-failure.simps
  by blast
then have vs@xs ∈ Lin M1 {map fst (vs@xs)}
  by (meson DiffE insertI1 language-state-for-inputs-map-fst)
have vs@xs ∈ Lin M1 {v'@x'}
  using ⟨map fst (vs @ xs) = v' @ x'⟩ ⟨vs @ xs ∈ Lin M1 {map fst (vs @ xs)}⟩
  by presburger

let ?vs' = take (length v') (vs@xs)
let ?xs' = drop (length v') (vs@xs)

have vs@xs = ?vs'@?xs'
  by (metis append-take-drop-id)

have ?vs' ∈ Lin M1 V
  by (metis (no-types) DiffE ⟨map fst (vs @ xs) = v' @ x'⟩ ⟨v' ∈ V⟩ ⟨vs @ xs ∈ L M1 - L M2⟩
    append-eq-conv-conj append-take-drop-id language-state-for-inputs-map-fst
    language-state-prefix take-map)

have sequence-to-failure M1 M2 (?vs' @ ?xs')
  by (metis (full-types) ⟨vs @ xs = take (length v') (vs @ xs) @ drop (length v') (vs @ xs)⟩
    assms(4) minimal-sequence-to-failure-extending.simps)

have length ?xs' < length xs
  using ⟨length (map fst vs) < length v'⟩ ⟨prefix v' (map fst (vs @ xs))⟩
    ⟨vs @ xs = take (length v') (vs @ xs) @ drop (length v') (vs @ xs)⟩ prefix-length-le
  by fastforce

show False
  by (meson ⟨length (drop (length v') (vs @ xs)) < length xs⟩
    ⟨sequence-to-failure M1 M2 (take (length v') (vs @ xs) @ drop (length v') (vs @ xs))⟩
    ⟨take (length v') (vs @ xs) ∈ Lin M1 V⟩ assms(4)
    minimal-sequence-to-failure-extending.elims(2))

qed

```

5.2 Function N

Function N narrows the sets of reaction to the determinisitic state cover considered by the adaptive state counting algorithm to contain only relevant sequences. It is the main refinement of the original formulation of the algorithm as given in [2]. An example for the necessity for this refinement is given in [3].

```

fun N :: ('in × 'out) list ⇒ ('in, 'out, 'state) FSM ⇒ 'in list set ⇒ ('in × 'out) list set set
  where
    N io M V = { V'' ∈ Perm V M . (map fst (mcp' io V'')) = (mcp' (map fst io) V) }

```

```

lemma N-nonempty :
  assumes is-det-state-cover M2 V
  and OFSM M1
  and OFSM M2
  and asc-fault-domain M2 M1 m
  and io ∈ L M1
shows N io M1 V ≠ {}
proof -
  have [] ∈ V
    using assms(1) det-state-cover-empty by blast
  have inputs M1 = inputs M2

```

```

using assms(4) by auto

have is-det-state-cover M2 V
  using assms by auto
moreover have finite (nodes M2)
  using assms(3) by auto
moreover have d-reachable M2 (initial M2)  $\subseteq$  nodes M2
  by auto
ultimately have finite V
  using det-state-cover-card[of M2 V]
  by (metis finite-if-finite-subsets-card-bdd infinite-subset is-det-state-cover.elims(2)
      surj-card-le)

obtain ioV where mcp (map fst io) V ioV
  using mcp-ex[OF  $\langle [] \in V \rangle \langle \text{finite } V \rangle$ ] by blast
then have ioV  $\in$  V
  by auto

```

— Proof sketch: - ioV uses only inputs of M2 - ioV uses only inputs of M1 - as M1 completely spec.: ex. reaction of M1 to ioV - this reaction is in some V”

```

obtain q2 where d-reaches M2 (initial M2) ioV q2
  using det-state-cover-d-reachable[OF assms(1)  $\langle \text{ioV} \in V \rangle$ ] by blast
then obtain ioV' ioP where io-path : length ioV = length ioV'
   $\wedge$  length ioV = length ioP
   $\wedge$  (path M2 ((ioV || ioV') || ioP) (initial M2))
   $\wedge$  target ((ioV || ioV') || ioP) (initial M2) = q2

by auto

have well-formed M2
  using assms by auto

have map fst (map fst ((ioV || ioV') || ioP)) = ioV
proof –
  have length (ioV || ioV') = length ioP
    using io-path by simp
  then show ?thesis
    using io-path by auto
qed
moreover have set (map fst (map fst ((ioV || ioV') || ioP)))  $\subseteq$  inputs M2
  using path-input-containment[OF  $\langle \text{well-formed } M2 \rangle$ , of (ioV || ioV') || ioP initial M2 ]
  io-path
  by linarith
ultimately have set ioV  $\subseteq$  inputs M2
  by presburger

then have set ioV  $\subseteq$  inputs M1
  using assms by auto

then have  $L_{in} M1 \{ioV\} \neq \{\}$ 
  using assms(2) language-state-for-inputs-nonempty by (metis FSM.nodes.initial)

have prefix ioV (map fst io)
  using  $\langle \text{mcp (map fst io) } V \text{ ioV} \rangle \text{ mcp.simps}$  by blast
then have length ioV  $\leq$  length (map fst io)
  using prefix-length-le by blast
then have length ioV  $\leq$  length io
  by auto

have (map fst io || map snd io)  $\in$  L M1
  using assms(5) by auto
moreover have length (map fst io) = length (map snd io)
  by auto
ultimately have (map fst io || map snd io)

```

$\in \text{language-state-for-input } M1 \text{ (initial } M1) \text{ (map fst io)}$
unfolding *language-state-def*
by (*metis* (*mono-tags*, *lifting*) $\langle \text{map fst io} \parallel \text{map snd io} \in L \ M1 \rangle$
language-state-for-input.simps mem-Collect-eq)

have $\text{ioV} = \text{take } (\text{length ioV}) \text{ (map fst io)}$
by (*metis* (*no-types*) $\langle \text{prefix ioV (map fst io)} \rangle \text{ append-eq-conv-conj prefixE}$)

then have $\text{take } (\text{length ioV}) \text{ io} \in \text{language-state-for-input } M1 \text{ (initial } M1) \text{ ioV}$
using *language-state-for-input-take*
by (*metis* $\langle \text{map fst io} \parallel \text{map snd io} \in \text{language-state-for-input } M1 \text{ (initial } M1) \text{ (map fst io)} \rangle$
zip-map-fst-snd)

then obtain V'' **where** $V'' \in \text{Perm } V \ M1 \text{ take } (\text{length ioV}) \text{ io} \in V''$
using *perm-elem[OF assms(1-3) $\langle \text{inputs } M1 = \text{inputs } M2 \rangle \langle \text{ioV} \in V \rangle$* **by** *blast*

have $\text{ioV} = \text{mcp}' \text{ (map fst io)} \ V$
using $\langle \text{mcp (map fst io)} \ V \ \text{ioV} \rangle \text{ mcp'-intro}$ **by** *blast*

have $\text{map fst (take (length ioV) io)} = \text{ioV}$
by (*metis* $\langle \text{ioV} = \text{take (length ioV) (map fst io)} \rangle \text{ take-map}$)

obtain mcpV'' **where** $\text{mcp io } V'' \text{ mcpV''}$
by (*meson* $\langle V'' \in \text{Perm } V \ M1 \rangle \langle \text{well-formed } M2 \rangle \text{ assms(1) mcp-ex perm-elem-finite perm-empty}$)

have $\text{map fst mcpV''} \in V$ **using** *perm-inputs*
using $\langle V'' \in \text{Perm } V \ M1 \rangle \langle \text{mcp io } V'' \text{ mcpV''} \rangle \text{ mcp.simps}$ **by** *blast*

have $\text{map fst mcpV''} = \text{ioV}$
by (*metis* (*no-types*) $\langle \text{map fst (take (length ioV) io)} = \text{ioV} \rangle \langle \text{map fst mcpV''} \in V \rangle$
 $\langle \text{mcp (map fst io)} \ V \ \text{ioV} \rangle \langle \text{mcp io } V'' \text{ mcpV''} \rangle \langle \text{take (length ioV) io} \in V'' \rangle$
map-mono-prefix mcp.elims(2) prefix-length-prefix prefix-order.dual-order.antisym
take-is-prefix)

have $\text{map fst (mcp' io } V'') = \text{mcp}' \text{ (map fst io)} \ V$
using $\langle \text{ioV} = \text{mcp}' \text{ (map fst io)} \ V \rangle \langle \text{map fst mcpV''} = \text{ioV} \rangle \langle \text{mcp io } V'' \text{ mcpV''} \rangle \text{ mcp'-intro}$
by *blast*

then show *?thesis*
using $\langle V'' \in \text{Perm } V \ M1 \rangle$ **by** *fastforce*

qed

lemma *N-mcp-prefix* :
assumes $\text{map fst vs} = \text{mcp}' \text{ (map fst (vs@xs))} \ V$
and $V'' \in N \text{ (vs@xs)} \ M1 \ V$
and *is-det-state-cover* $M2 \ V$
and *well-formed* $M2$
and *finite* V
shows $\text{vs} \in V'' \ \text{vs} = \text{mcp}' \text{ (vs@xs)} \ V''$
proof –

have $\text{map fst (mcp}' \text{ (vs@xs)} \ V'') = \text{mcp}' \text{ (map fst (vs@xs))} \ V$
using *assms(2)* **by** *auto*
then have $\text{map fst (mcp}' \text{ (vs@xs)} \ V'') = \text{map fst vs}$
using *assms(1)* **by** *presburger*
then have $\text{length (mcp}' \text{ (vs@xs)} \ V'') = \text{length vs}$
by (*metis* *length-map*)

have $\square \in V''$
using *perm-empty[OF assms(3)] N.simps assms(2)* **by** *blast*
moreover have *finite* V''
using *perm-elem-finite[OF assms(3,4)] N.simps assms(2)* **by** *blast*
ultimately obtain p **where** $\text{mcp (vs@xs)} \ V'' \ p$
using *mcp-ex* **by** *auto*
then have $\text{mcp}' \text{ (vs@xs)} \ V'' = p$

using *mcp'-intro* by *simp*

then have *prefix* (*mcp'* (*vs@xs*) *V''*) (*vs@xs*)
 unfolding *mcp'.simps* *mcp.simps*
 using $\langle \text{mcp } (vs @ xs) V'' p \rangle \text{ mcp.elims}(2)$ by *blast*
 then show *vs* = *mcp'* (*vs@xs*) *V''*
 by (*metis* $\langle \text{length } (\text{mcp}' (vs @ xs) V'') = \text{length } vs \rangle \text{ append-eq-append-conv prefix-def}$)
 show *vs* $\in V''$
 using $\langle \text{mcp } (vs @ xs) V'' p \rangle \langle \text{mcp}' (vs @ xs) V'' = p \rangle \langle vs = \text{mcp}' (vs @ xs) V'' \rangle$
 by *auto*
 qed

5.3 Functions TS, C, RM

Function TTS defines the calculation of the test suite used by the adaptive state counting algorithm in an iterative way. It is defined using the three functions TS, C and RM where TS represents the test suite calculated up to some iteration, C contains the sequences considered for extension in some iteration, and RM contains the sequences of the corresponding C result that are not to be extended, which we also call removed sequences.

abbreviation *append-set* :: 'a list set \Rightarrow 'a set \Rightarrow 'a list set **where**
append-set *T X* $\equiv \{xs @ [x] \mid xs \ x \ . \ xs \in T \wedge x \in X\}$

abbreviation *append-sets* :: 'a list set \Rightarrow 'a list set \Rightarrow 'a list set **where**
append-sets *T X* $\equiv \{xs @ xs' \mid xs \ xs' \ . \ xs \in T \wedge xs' \in X\}$

fun *TS* :: ('in, 'out, 'state1) FSM \Rightarrow ('in, 'out, 'state2) FSM
 \Rightarrow ('in, 'out) ATC set \Rightarrow 'in list set \Rightarrow nat \Rightarrow nat
 \Rightarrow 'in list set

and *C* :: ('in, 'out, 'state1) FSM \Rightarrow ('in, 'out, 'state2) FSM
 \Rightarrow ('in, 'out) ATC set \Rightarrow 'in list set \Rightarrow nat \Rightarrow nat
 \Rightarrow 'in list set

and *RM* :: ('in, 'out, 'state1) FSM \Rightarrow ('in, 'out, 'state2) FSM
 \Rightarrow ('in, 'out) ATC set \Rightarrow 'in list set \Rightarrow nat \Rightarrow nat
 \Rightarrow 'in list set

where

RM M2 M1 Ω V m 0 = {} |
TS M2 M1 Ω V m 0 = {} |
TS M2 M1 Ω V m (Suc 0) = *V* |
C M2 M1 Ω V m 0 = {} |
C M2 M1 Ω V m (Suc 0) = *V* |
RM M2 M1 Ω V m (Suc n) =
 {*xs'* \in *C M2 M1 Ω V m (Suc n)* .
 ($\neg (L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\})$)
 $\vee (\forall \ io \in L_{in} \ M1 \ \{xs'\} .$
 $\exists \ V'' \in N \ io \ M1 \ V .$
 $\exists \ S1 .$
 $\exists \ vs \ xs .$
 $io = (vs @ xs)$
 $\wedge \text{mcp } (vs @ xs) V'' \ vs$
 $\wedge S1 \subseteq \text{nodes } M2$
 $\wedge (\forall \ s1 \in S1 . \forall \ s2 \in S1 .$
 $s1 \neq s2 \longrightarrow$
 $(\forall \ io1 \in RP \ M2 \ s1 \ vs \ xs \ V'' .$
 $\forall \ io2 \in RP \ M2 \ s2 \ vs \ xs \ V'' .$
 $B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega))$
 $\wedge m < LB \ M2 \ M1 \ vs \ xs \ (TS \ M2 \ M1 \ \Omega \ V \ m \ n \cup V) \ S1 \ \Omega \ V'')\}$ |
C M2 M1 Ω V m (Suc n) =
 (*append-set* ((*C M2 M1 Ω V m n*) - (*RM M2 M1 Ω V m n*)) (*inputs M2*))
 - (*TS M2 M1 Ω V m n*) |
TS M2 M1 Ω V m (Suc n) =
 (*TS M2 M1 Ω V m n*) \cup (*C M2 M1 Ω V m (Suc n)*)

abbreviation $\text{lists-of-length} :: 'a \text{ set} \Rightarrow \text{nat} \Rightarrow 'a \text{ list set}$ **where**
 $\text{lists-of-length } X \ n \equiv \{xs \ . \ \text{length } xs = n \wedge \text{set } xs \subseteq X\}$

lemma $\text{append-lists-of-length-alt-def} :$

$\text{append-sets } T \ (\text{lists-of-length } X \ (\text{Suc } n)) = \text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

proof

show $\text{append-sets } T \ (\text{lists-of-length } X \ (\text{Suc } n))$

$\subseteq \text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

proof

fix tx **assume** $tx \in \text{append-sets } T \ (\text{lists-of-length } X \ (\text{Suc } n))$

then obtain $t \ x$ **where** $t@x = tx \ t \in T \ \text{length } x = \text{Suc } n \ \text{set } x \subseteq X$

by blast

then have $x \neq [] \ \text{length } (\text{butlast } x) = n$

by auto

moreover have $\text{set } (\text{butlast } x) \subseteq X$

using $\langle \text{set } x \subseteq X \rangle$ **by** $(\text{meson } \text{dual-order.trans } \text{prefixeq-butlast } \text{set-mono-prefix})$

ultimately have $\text{butlast } x \in \text{lists-of-length } X \ n$

by auto

then have $t@(\text{butlast } x) \in \text{append-sets } T \ (\text{lists-of-length } X \ n)$

using $\langle t \in T \rangle$ **by** blast

moreover have $\text{last } x \in X$

using $\langle \text{set } x \subseteq X \rangle \ \langle x \neq [] \rangle$ **by** auto

ultimately have $t@(\text{butlast } x)@[\text{last } x] \in \text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

by auto

then show $tx \in \text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

using $\langle t@x = tx \rangle$ **by** $(\text{simp add: } \langle x \neq [] \rangle)$

qed

show $\text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

$\subseteq \text{append-sets } T \ (\text{lists-of-length } X \ (\text{Suc } n))$

proof

fix tx **assume** $tx \in \text{append-set } (\text{append-sets } T \ (\text{lists-of-length } X \ n)) \ X$

then obtain $tx' \ x$ **where** $tx = tx' @ [x] \ tx' \in \text{append-sets } T \ (\text{lists-of-length } X \ n) \ x \in X$

by blast

then obtain $tx'' \ x'$ **where** $tx''@x' = tx' \ tx'' \in T \ \text{length } x' = n \ \text{set } x' \subseteq X$

by blast

then have $tx''@x'@[x] = tx$

by $(\text{simp add: } \langle tx = tx' @ [x] \rangle)$

moreover have $tx'' \in T$

by $(\text{meson } \langle tx'' \in T \rangle)$

moreover have $\text{length } (x'@[x]) = \text{Suc } n$

by $(\text{simp add: } \langle \text{length } x' = n \rangle)$

moreover have $\text{set } (x'@[x]) \subseteq X$

by $(\text{simp add: } \langle \text{set } x' \subseteq X \rangle \ \langle x \in X \rangle)$

ultimately show $tx \in \text{append-sets } T \ (\text{lists-of-length } X \ (\text{Suc } n))$

by blast

qed

qed

5.4 Basic properties of the test suite calculation functions

lemma $C\text{-step} :$

assumes $n > 0$

shows $C \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } n) \subseteq (\text{append-set } (C \ M2 \ M1 \ \Omega \ V \ m \ n) \ (\text{inputs } M2)) - C \ M2 \ M1 \ \Omega \ V \ m \ n$

proof –

let $?TS = \lambda n \ . \ TS \ M2 \ M1 \ \Omega \ V \ m \ n$

let $?C = \lambda n \ . \ C \ M2 \ M1 \ \Omega \ V \ m \ n$

let $?RM = \lambda n \ . \ RM \ M2 \ M1 \ \Omega \ V \ m \ n$

obtain k **where** $n\text{-def}[\text{simp}] : n = \text{Suc } k$

using $\text{assms not0-implies-Suc}$ **by** blast

have $?C \ (\text{Suc } n) = (\text{append-set } (?C \ n - ?RM \ n) \ (\text{inputs } M2)) - ?TS \ n$

using $n\text{-def } C.\text{simps}(3)$ **by** blast

moreover have $?C \ n \subseteq ?TS \ n$

using $n\text{-def}$ **by** $(\text{metis } C.\text{simps}(2) \ TS.\text{elims } UnCI \ \text{assms } \text{neq0-conv } \text{subsetI})$

ultimately show $?C \ (\text{Suc } n) \subseteq \text{append-set } (?C \ n) \ (\text{inputs } M2) - ?C \ n$

by blast
qed

lemma *C-extension* :

$C\ M2\ M1\ \Omega\ V\ m\ (Suc\ n) \subseteq \text{append-sets}\ V\ (\text{lists-of-length}\ (\text{inputs}\ M2)\ n)$

proof (induction n)

case 0

then show ?case by auto

next

case (Suc k)

let ?TS = $\lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$

let ?C = $\lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$

let ?RM = $\lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$

have $0 < Suc\ k$ by simp

have ?C (Suc (Suc k)) $\subseteq (\text{append-set}\ (?C\ (Suc\ k))\ (\text{inputs}\ M2)) - ?C\ (Suc\ k)$

using C-step[OF $0 < Suc\ k$] by blast

then have ?C (Suc (Suc k)) $\subseteq \text{append-set}\ (?C\ (Suc\ k))\ (\text{inputs}\ M2)$

by blast

moreover have $\text{append-set}\ (?C\ (Suc\ k))\ (\text{inputs}\ M2)$

$\subseteq \text{append-set}\ (\text{append-sets}\ V\ (\text{lists-of-length}\ (\text{inputs}\ M2)\ k))\ (\text{inputs}\ M2)$

using Suc.IH by auto

ultimately have I-Step :

?C (Suc (Suc k)) $\subseteq \text{append-set}\ (\text{append-sets}\ V\ (\text{lists-of-length}\ (\text{inputs}\ M2)\ k))\ (\text{inputs}\ M2)$

by (meson order-trans)

show ?case

using append-lists-of-length-alt-def[symmetric, of $V\ k\ \text{inputs}\ M2$] I-Step

by presburger

qed

lemma *TS-union* :

shows $TS\ M2\ M1\ \Omega\ V\ m\ i = (\bigcup j \in (\text{set}\ [0..<Suc\ i]) . C\ M2\ M1\ \Omega\ V\ m\ j)$

proof (induction i)

case 0

then show ?case by auto

next

case (Suc i)

let ?TS = $\lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$

let ?C = $\lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$

let ?RM = $\lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$

have ?TS (Suc i) = ?TS i $\cup ?C\ (Suc\ i)$

by (metis (no-types) C.simps(2) TS.simps(1) TS.simps(2) TS.simps(3) not0-implies-Suc
sup-bot.right-neutral sup-commute)

then have ?TS (Suc i) = $(\bigcup j \in (\text{set}\ [0..<Suc\ i]) . ?C\ j) \cup ?C\ (Suc\ i)$

using Suc.IH by simp

then show ?case

by auto

qed

lemma *C-disj-le-gz* :

assumes $i \leq j$

and $0 < i$

shows $C\ M2\ M1\ \Omega\ V\ m\ i \cap C\ M2\ M1\ \Omega\ V\ m\ (Suc\ j) = \{\}$

proof -

let ?TS = $\lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$

let ?C = $\lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$

let ?RM = $\lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$

```

have  $Suc\ 0 < Suc\ j$ 
  using  $assms(1-2)$  by auto
then obtain  $k$  where  $Suc\ j = Suc\ (Suc\ k)$ 
  using  $not0\text{-}implies\text{-}Suc$  by blast
then have  $?C\ (Suc\ j) = (append\text{-}set\ (?C\ j - ?RM\ j)\ (inputs\ M2)) - ?TS\ j$ 
  using  $C.simps(3)$  by blast
then have  $?C\ (Suc\ j) \cap ?TS\ j = \{\}$ 
  by blast
moreover have  $?C\ i \subseteq ?TS\ j$ 
  using  $assms(1)\ TS\text{-}union[of\ M2\ M1\ \Omega\ V\ m\ j]$  by fastforce
ultimately show  $?thesis$ 
  by blast
qed

```

```

lemma  $C\text{-}disj\text{-}lt$  :
  assumes  $i < j$ 
shows  $C\ M2\ M1\ \Omega\ V\ m\ i \cap C\ M2\ M1\ \Omega\ V\ m\ j = \{\}$ 
proof (cases  $i$ )
  case 0
  then show  $?thesis$  by auto
next
  case  $(Suc\ k)$ 
  then show  $?thesis$ 
    using  $C\text{-}disj\text{-}le\text{-}gz$ 
    by (metis  $assms\ gr\text{-}implies\text{-}not0\ less\text{-}Suc\text{-}eq\text{-}le\ old.nat.exhaust\ zero\text{-}less\text{-}Suc$ )
qed

```

```

lemma  $C\text{-}disj$  :
  assumes  $i \neq j$ 
shows  $C\ M2\ M1\ \Omega\ V\ m\ i \cap C\ M2\ M1\ \Omega\ V\ m\ j = \{\}$ 
  by (metis  $C\text{-}disj\text{-}lt\ Int\text{-}commute\ antisym\text{-}conv3\ assms$ )

```

```

lemma  $RM\text{-}subset$  :  $RM\ M2\ M1\ \Omega\ V\ m\ i \subseteq C\ M2\ M1\ \Omega\ V\ m\ i$ 
proof (cases  $i$ )
  case 0
  then show  $?thesis$  by auto
next
  case  $(Suc\ n)$ 
  then show  $?thesis$ 
    using  $RM.simps(2)$  by blast
qed

```

```

lemma  $RM\text{-}disj$  :
  assumes  $i \leq j$ 
  and  $0 < i$ 
shows  $RM\ M2\ M1\ \Omega\ V\ m\ i \cap RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ j) = \{\}$ 
proof -
  let  $?TS = \lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?C = \lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?RM = \lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$ 

  have  $?RM\ i \subseteq ?C\ i\ ?RM\ (Suc\ j) \subseteq ?C\ (Suc\ j)$ 
    using  $RM\text{-}subset$  by blast+
  moreover have  $?C\ i \cap ?C\ (Suc\ j) = \{\}$ 
    using  $C\text{-}disj\text{-}le\text{-}gz[OF\ assms]$  by assumption
  ultimately show  $?thesis$ 
    by blast
qed

```

lemma *T-extension* :
assumes $n > 0$
shows $TS\ M2\ M1\ \Omega\ V\ m\ (Suc\ n) - TS\ M2\ M1\ \Omega\ V\ m\ n$
 $\subseteq (append\text{-}set\ (TS\ M2\ M1\ \Omega\ V\ m\ n)\ (inputs\ M2)) - TS\ M2\ M1\ \Omega\ V\ m\ n$
proof –
let $?TS = \lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$
let $?C = \lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$
let $?RM = \lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$

obtain k **where** $n\text{-}def[simp] : n = Suc\ k$
using *assms not0-implies-Suc*
by *blast*

have $?C\ (Suc\ n) = (append\text{-}set\ (?C\ n - ?RM\ n)\ (inputs\ M2)) - ?TS\ n$
using *n-def* **using** *C.simps(3)* **by** *blast*
then have $?C\ (Suc\ n) \subseteq append\text{-}set\ (?C\ n)\ (inputs\ M2) - ?TS\ n$
by *blast*
moreover have $?C\ n \subseteq ?TS\ n$ **using** *TS-union[of M2 M1 Ω V m n]*
by *fastforce*
ultimately have $?C\ (Suc\ n) \subseteq append\text{-}set\ (?TS\ n)\ (inputs\ M2) - ?TS\ n$
by *blast*
moreover have $?TS\ (Suc\ n) - ?TS\ n \subseteq ?C\ (Suc\ n)$
using *TS.simps(3)[of M2 M1 Ω V m k]* **using** *n-def* **by** *blast*
ultimately show *?thesis*
by *blast*
qed

lemma *append-set-prefix* :
assumes $xs \in append\text{-}set\ T\ X$
shows $butlast\ xs \in T$
using *assms* **by** *auto*

lemma *C-subset* : $C\ M2\ M1\ \Omega\ V\ m\ i \subseteq TS\ M2\ M1\ \Omega\ V\ m\ i$
by (*simp add: TS-union*)

lemma *TS-subset* :
assumes $i \leq j$
shows $TS\ M2\ M1\ \Omega\ V\ m\ i \subseteq TS\ M2\ M1\ \Omega\ V\ m\ j$
proof –
have $TS\ M2\ M1\ \Omega\ V\ m\ i = (\bigcup k \in (set\ [0..<Suc\ i]) . C\ M2\ M1\ \Omega\ V\ m\ k)$
 $TS\ M2\ M1\ \Omega\ V\ m\ j = (\bigcup k \in (set\ [0..<Suc\ j]) . C\ M2\ M1\ \Omega\ V\ m\ k)$
using *TS-union* **by** *assumption+*
moreover have $set\ [0..<Suc\ i] \subseteq set\ [0..<Suc\ j]$
using *assms* **by** *auto*
ultimately show *?thesis*
by *blast*
qed

lemma *C-immediate-prefix-containment* :
assumes $vs@xs \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ (Suc\ i))$
and $xs \neq []$
shows $vs@(butlast\ xs) \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ i) - RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ i)$
proof (*rule ccontr*)
let $?TS = \lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$
let $?C = \lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$
let $?RM = \lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$

assume $vs\ @\ butlast\ xs \notin C\ M2\ M1\ \Omega\ V\ m\ (Suc\ i) - RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ i)$

have $?C\ (Suc\ (Suc\ i)) \subseteq append\text{-}set\ (?C\ (Suc\ i) - ?RM\ (Suc\ i))\ (inputs\ M2)$
using *C.simps(3)* **by** *blast*
then have $?C\ (Suc\ (Suc\ i)) \subseteq append\text{-}set\ (?C\ (Suc\ i) - ?RM\ (Suc\ i))\ UNIV$


```

  by blast
moreover have  $vs @ xs \notin \text{append-set } (?C (Suc i) - ?RM (Suc i)) UNIV$ 
proof -
  have  $\forall as \ a. \ vs @ xs \neq as @ [a]$ 
     $\vee as \notin C \ M2 \ M1 \ \Omega \ V \ m \ (Suc \ i) - RM \ M2 \ M1 \ \Omega \ V \ m \ (Suc \ i)$ 
     $\vee a \notin UNIV$ 
  by (metis  $\langle vs @ butlast \ xs \notin C \ M2 \ M1 \ \Omega \ V \ m \ (Suc \ i) - RM \ M2 \ M1 \ \Omega \ V \ m \ (Suc \ i) \rangle$ 
     $assms(2)$  butlast-append butlast-snoc)
  then show  $?thesis$ 
    by blast
qed
ultimately have  $vs @ xs \notin ?C (Suc (Suc i))$ 
  by blast
then show False
  using  $assms(1)$  by blast
qed

```

lemma *TS-immediate-prefix-containment* :

```

  assumes  $vs@xs \in TS \ M2 \ M1 \ \Omega \ V \ m \ i$ 
  and  $mcp \ (vs@xs) \ V \ vs$ 
  and  $0 < i$ 
shows  $vs@(butlast \ xs) \in TS \ M2 \ M1 \ \Omega \ V \ m \ i$ 
proof -
  let  $?TS = \lambda n. TS \ M2 \ M1 \ \Omega \ V \ m \ n$ 
  let  $?C = \lambda n. C \ M2 \ M1 \ \Omega \ V \ m \ n$ 
  let  $?RM = \lambda n. RM \ M2 \ M1 \ \Omega \ V \ m \ n$ 

```

obtain j where $j\text{-def} : j \leq i \wedge vs@xs \in ?C \ j$

using $assms(1)$ *TS-union*[where $i=i$]

proof -

assume $a1: \bigwedge j. j \leq i \wedge vs @ xs \in C \ M2 \ M1 \ \Omega \ V \ m \ j \implies thesis$

obtain $nn :: nat \ set \Rightarrow (nat \Rightarrow 'a \ list \ set) \Rightarrow 'a \ list \Rightarrow nat$ where

$f2: \forall x0 \ x1 \ x2. (\exists v3. v3 \in x0 \wedge x2 \in x1 \ v3) = (nn \ x0 \ x1 \ x2 \in x0 \wedge x2 \in x1 \ (nn \ x0 \ x1 \ x2))$

by *moura*

have $vs @ xs \in UNION \ (set \ [0..<Suc \ i]) \ (C \ M2 \ M1 \ \Omega \ V \ m)$

by (metis $\langle \bigwedge \Omega \ V \ T \ S \ M2 \ M1. TS \ M2 \ M1 \ \Omega \ V \ m \ i = (\bigcup_{j \in set \ [0..<Suc \ i]. C \ M2 \ M1 \ \Omega \ V \ m \ j} \rangle$

$\langle vs @ xs \in TS \ M2 \ M1 \ \Omega \ V \ m \ i \rangle$)

then have $nn \ (set \ [0..<Suc \ i]) \ (C \ M2 \ M1 \ \Omega \ V \ m) \ (vs @ xs) \in set \ [0..<Suc \ i]$

$\wedge vs @ xs \in C \ M2 \ M1 \ \Omega \ V \ m \ (nn \ (set \ [0..<Suc \ i]) \ (C \ M2 \ M1 \ \Omega \ V \ m) \ (vs @ xs))$

using $f2$ by blast

then show $?thesis$

using $a1$ by (metis (no-types) *atLeastLessThan-iff leD not-less-eq-eq set-upt*)

qed

show $?thesis$

proof (cases j)

case 0

then have $?C \ j = \{\}$

by auto

moreover have $vs@xs \in \{\}$

using $j\text{-def} \ 0$ by auto

ultimately show $?thesis$

by auto

next

case (Suc k)

then show $?thesis$

proof (cases k)

case 0

then have $?C \ j = V$

using *Suc* by auto

then have $vs@xs \in V$

using $j\text{-def}$ by auto

then have $mcp \ (vs@xs) \ V \ (vs@xs)$

using $assms(2)$ by auto

```

then have  $vs@xs = vs$ 
  using  $assms(2)$   $mcp$ -unique by auto
then have  $butlast\ xs = []$ 
  by auto
then show ?thesis
  using  $\langle vs @ xs = vs \rangle$   $assms(1)$  by auto
next
case (Suc n)
assume  $j$ -assms :  $j = Suc\ k$ 
   $k = Suc\ n$ 
then have  $?C\ (Suc\ (Suc\ n)) = append-set\ (?C\ (Suc\ n) - ?RM\ (Suc\ n))\ (inputs\ M2) - ?TS\ (Suc\ n)$ 
  using  $C.simps(3)$  by blast
then have  $?C\ (Suc\ (Suc\ n)) \subseteq append-set\ (?C\ (Suc\ n))\ (inputs\ M2)$ 
  by blast

have  $vs@xs \in ?C\ (Suc\ (Suc\ n))$ 
  using  $j$ -assms  $j$ -def by blast

have  $butlast\ (vs@xs) \in ?C\ (Suc\ n)$ 
proof -
  show ?thesis
    by (meson  $\langle ?C\ (Suc\ (Suc\ n)) \subseteq append-set\ (?C\ (Suc\ n))\ (inputs\ M2) \rangle$ 
       $\langle vs @ xs \in ?C\ (Suc\ (Suc\ n)) \rangle$   $append-set$ -prefix subsetCE)
qed

moreover have  $xs \neq []$ 
proof -
  have  $1 \leq k$ 
    using  $j$ -assms by auto
  then have  $?C\ j \cap ?C\ 1 = \{\}$ 
    using  $C$ -disj-le-gz[ $of\ 1\ k$ ]  $j$ -assms(1) less-numeral-extra(1) by blast
  then have  $?C\ j \cap V = \{\}$ 
    by auto
  then have  $vs@xs \notin V$ 
    using  $j$ -def by auto
  then show ?thesis
    using  $assms(2)$  by auto
qed

ultimately have  $vs@(butlast\ xs) \in ?C\ (Suc\ n)$ 
  by (simp add: butlast-append)

have  $Suc\ n < Suc\ j$ 
  using  $j$ -assms by auto
have  $?C\ (Suc\ n) \subseteq ?TS\ j$ 
  using  $TS$ -union[ $of\ M2\ M1\ \Omega\ V\ m\ j$ ]  $\langle Suc\ n < Suc\ j \rangle$ 
  by (metis UN-upper atLeast-upt lessThan-iff)

have  $vs @ butlast\ xs \in TS\ M2\ M1\ \Omega\ V\ m\ j$ 
  using  $\langle vs@(butlast\ xs) \in ?C\ (Suc\ n) \rangle$   $\langle ?C\ (Suc\ n) \subseteq ?TS\ j \rangle$   $j$ -def
  by auto
then show ?thesis
  using  $j$ -def  $TS$ -subset[ $of\ j\ i$ ]
  by blast
qed
qed
qed

lemma  $TS$ -prefix-containment :
  assumes  $vs@xs \in TS\ M2\ M1\ \Omega\ V\ m\ i$ 
  and  $mcp\ (vs@xs)\ V\ vs$ 
  and  $prefix\ xs'\ xs$ 
  shows  $vs@xs' \in TS\ M2\ M1\ \Omega\ V\ m\ i$ 

```

— Proof sketch: Perform induction on length difference, as from each prefix it is possible to deduce the desired property for the prefix one element smaller than it via above results

```

using assms proof (induction length xs - length xs' arbitrary: xs')
  case 0
  then have  $xs = xs'$ 
    by (metis append-Nil2 append-eq-conv-conj gr-implies-not0 length-drop length-greater-0-conv prefixE)
  then show ?case
    using 0 by auto
next
case (Suc k)
have  $0 < i$ 
  using assms(1) using Suc.hyps(2) append-eq-append-conv assms(2) by auto

show ?case
proof (cases xs')
  case Nil
  then show ?thesis
    by (metis (no-types, opaque-lifting) <0 < i> TS.simps(2) TS-subset append-Nil2 assms(2)
      contra-subsetD leD mcp.elims(2) not-less-eq-eq)
next
case (Cons a list)
then show ?thesis
proof (cases xs = xs')
  case True
  then show ?thesis
    using assms(1) by simp
next
case False
then obtain  $xs''$  where  $xs = xs' @ xs''$ 
  using Suc.prem(3) prefixE by blast
then have  $xs'' \neq []$ 
  using False by auto
then have  $k = \text{length } xs - \text{length } (xs' @ [\text{hd } xs'])$ 
  using  $\langle xs = xs' @ xs'' \rangle$  Suc.hyps(2) by auto
moreover have prefix  $(xs' @ [\text{hd } xs'])$   $xs$ 
  using  $\langle xs = xs' @ xs'' \rangle$   $\langle xs'' \neq [] \rangle$ 
  by (metis Cons-prefix-Cons list.exhaust-sel prefix-code(1) same-prefix-prefix)
ultimately have  $vs @ (xs' @ [\text{hd } xs']) \in TS\ M2\ M1\ \Omega\ V\ m\ i$ 
  using Suc.hyps(1) [OF - Suc.prem(1,2)] by simp

have mcp  $(vs @ xs' @ [\text{hd } xs'])\ V\ vs$ 
  using  $\langle xs = xs' @ xs'' \rangle$   $\langle xs'' \neq [] \rangle$  assms(2)
proof —
  obtain aas :: 'a list  $\Rightarrow$  'a list set  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
     $\forall x0\ x1\ x2. (\exists v3. (\text{prefix } v3\ x2 \wedge v3 \in x1) \wedge \neg \text{length } v3 \leq \text{length } x0)$ 
     $= ((\text{prefix } (aas\ x0\ x1\ x2)\ x2 \wedge aas\ x0\ x1\ x2 \in x1)$ 
     $\wedge \neg \text{length } (aas\ x0\ x1\ x2) \leq \text{length } x0)$ 
    by moura
  then have f1:  $\forall as\ A\ asa. (\neg \text{mcp } as\ A\ asa$ 
     $\vee \text{prefix } asa\ as \wedge asa \in A \wedge (\forall asb. (\neg \text{prefix } asb\ as \vee asb \notin A)$ 
     $\vee \text{length } asb \leq \text{length } asa))$ 
     $\wedge (\text{mcp } as\ A\ asa$ 
     $\vee \neg \text{prefix } asa\ as$ 
     $\vee asa \notin A$ 
     $\vee (\text{prefix } (aas\ asa\ A\ as)\ as \wedge aas\ asa\ A\ as \in A)$ 
     $\wedge \neg \text{length } (aas\ asa\ A\ as) \leq \text{length } asa)$ 
    by auto
  obtain aasa :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
    f2:  $\forall x0\ x1. (\exists v2. x0 = x1 @ v2) = (x0 = x1 @ aasa\ x0\ x1)$ 
    by moura
  then have f3:  $([] @ [\text{hd } xs']) @ aasa\ (xs' @ xs'')\ (xs' @ [\text{hd } xs'])$ 
     $= ([] @ [\text{hd } xs']) @ aasa\ (([] @ [\text{hd } xs'])$ 
     $@ aasa\ (xs' @ xs'')\ (xs' @ [\text{hd } xs']))\ ([] @ [\text{hd } xs'])$ 
    by (meson prefixE prefixI)
  have  $xs' @ xs'' = (xs' @ [\text{hd } xs']) @ aasa\ (xs' @ xs'')\ (xs' @ [\text{hd } xs'])$ 

```

```

    using f2 by (metis (no-types) ⟨prefix (xs' @ [hd xs'']) xs⟩ ⟨xs = xs' @ xs''⟩ prefixE)
  then have (vs @ (a # list) @ [hd xs'']) @ aasa (([] @ [hd xs''])
    @ aasa (xs' @ xs'') (xs' @ [hd xs''])) ([] @ [hd xs''])
    = vs @ xs
    using f3 by (simp add: ⟨xs = xs' @ xs''⟩ local.Cons)
  then have ¬ prefix (aas vs V (vs @ xs' @ [hd xs''])) (vs @ xs' @ [hd xs''])
    ∨ aas vs V (vs @ xs' @ [hd xs'']) ∉ V
    ∨ length (aas vs V (vs @ xs' @ [hd xs''])) ≤ length vs
    using f1 by (metis (no-types) ⟨mcp (vs @ xs) V vs⟩ local.Cons prefix-append)
  then show ?thesis
    using f1 by (meson ⟨mcp (vs @ xs) V vs⟩ prefixI)
qed

then have vs @ butlast (xs' @ [hd xs'']) ∈ TS M2 M1 Ω V m i
  using TS-immediate-prefix-containment
    [OF ⟨vs @ (xs' @ [hd xs'']) ∈ TS M2 M1 Ω V m i⟩ - ⟨0 < i⟩]
  by simp

moreover have xs' = butlast (xs' @ [hd xs''])
  using ⟨xs'' ≠ []⟩ by simp

ultimately show ?thesis
  by simp
qed
qed
qed

```

```

lemma C-index :
  assumes vs @ xs ∈ C M2 M1 Ω V m i
  and mcp (vs@xs) V vs
  shows Suc (length xs) = i
  using assms proof (induction xs arbitrary: i rule: rev-induct)
  case Nil
  then have vs @ [] ∈ C M2 M1 Ω V m 1
    by auto
  then have vs @ [] ∈ C M2 M1 Ω V m (Suc (length []))
    by simp

  show ?case
  proof (rule ccontr)
    assume Suc (length []) ≠ i
    moreover have vs @ [] ∈ C M2 M1 Ω V m i ∩ C M2 M1 Ω V m (Suc (length []))
      using Nil.premis(1) ⟨vs @ [] ∈ C M2 M1 Ω V m (Suc (length []))⟩ by auto
    ultimately show False
      using C-disj by blast
  qed
next
  case (snoc x xs')

  let ?TS = λ n . TS M2 M1 Ω V m n
  let ?C = λ n . C M2 M1 Ω V m n
  let ?RM = λ n . RM M2 M1 Ω V m n

  have vs @ xs' @ [x] ∉ V
    using snoc.premis(2) by auto
  then have vs @ xs' @ [x] ∉ ?C 1
    by auto
  moreover have vs @ xs' @ [x] ∉ ?C 0
    by auto

```

```

ultimately have  $1 < i$ 
  using snoc.premis(1) by (metis less-one linorder-neqE-nat)

then have  $vs @ butlast (xs' @ [x]) \in C\ M2\ M1\ \Omega\ V\ m\ (i-1)$ 
proof -
  have  $Suc\ 0 < i$ 
    using  $\langle 1 < i \rangle$  by auto
  then have  $f1: Suc\ (i - Suc\ (Suc\ 0)) = i - Suc\ 0$ 
    using Suc-diff-Suc by presburger
  have  $0 < i$ 
    by (metis (no-types) One-nat-def Suc-lessD  $\langle 1 < i \rangle$ )
  then show ?thesis
    using  $f1$  by (metis C-immediate-prefix-containment DiffD1 One-nat-def Suc-pred' snoc.premis(1)
      snoc-eq-iff-butlast)
qed

moreover have  $mcp\ (vs @ butlast (xs' @ [x]))\ V\ vs$ 
  by (meson mcp-prefix-of-suffix prefixeq-butlast snoc.premis(2))

ultimately have  $Suc\ (length\ xs') = i-1$ 
  using snoc.IH by simp

then show ?case
  by auto
qed

lemma TS-index :
  assumes  $vs @ xs \in TS\ M2\ M1\ \Omega\ V\ m\ i$ 
  and  $mcp\ (vs @ xs)\ V\ vs$ 
shows  $Suc\ (length\ xs) \leq i$ 
proof -
  let  $?TS = \lambda n . TS\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?C = \lambda n . C\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?RM = \lambda n . RM\ M2\ M1\ \Omega\ V\ m\ n$ 

  obtain  $j$  where  $j < Suc\ i$ 
    using  $vs @ xs \in ?C\ j$ 
    by (metis (full-types) UN-iff assms(1) atLeastLessThan-iff set-up)
  then have  $Suc\ (length\ xs) = j$ 
    using C-index assms(2) by blast
  then show  $Suc\ (length\ xs) \leq i$ 
    using  $\langle j < Suc\ i \rangle$  by auto
  show  $vs @ xs \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ (length\ xs))$ 
    using  $\langle vs @ xs \in ?C\ j \rangle \langle Suc\ (length\ xs) = j \rangle$  by auto
qed

lemma C-extension-options :
  assumes  $vs @ xs \in C\ M2\ M1\ \Omega\ V\ m\ i$ 
  and  $mcp\ (vs @ xs @ [x])\ V\ vs$ 
  and  $x \in inputs\ M2$ 
  and  $0 < i$ 
shows  $vs @ xs @ [x] \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ i) \vee vs @ xs \in RM\ M2\ M1\ \Omega\ V\ m\ i$ 
proof (cases  $vs @ xs \in RM\ M2\ M1\ \Omega\ V\ m\ i$ )
  case True
  then show ?thesis by auto
next
  case False

  let  $?TS = \lambda n . TS\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?C = \lambda n . C\ M2\ M1\ \Omega\ V\ m\ n$ 
  let  $?RM = \lambda n . RM\ M2\ M1\ \Omega\ V\ m\ n$ 

  obtain  $k$  where  $i = Suc\ k$ 
    using assms(4) gr0-implies-Suc by blast
  then have  $?C\ (Suc\ i) = append-set\ (?C\ i - ?RM\ i)\ (inputs\ M2) - ?TS\ i$ 

```

```

using C.simps(3) by blast

moreover have vs@xs ∈ ?C i − ?RM i
  using assms(1) False by blast

ultimately have vs@xs@[x] ∈ append-set (?C i − ?RM i) (inputs M2)
  by (simp add: assms(3))

moreover have vs@xs@[x] ∉ ?TS i
proof (rule ccontr)
  assume ¬ vs @ xs @ [x] ∉ ?TS i
  then obtain j where j < Suc i vs@xs@[x] ∈ ?C j
    using TS-union[of M2 M1 Ω V m i] by fastforce
  then have Suc (length (xs@[x])) = j
    using C-index assms(2) by blast

  then have Suc (length (xs@[x])) < Suc i
    using ⟨j < Suc i⟩ by auto
  moreover have Suc (length xs) = i
    using C-index
    by (metis assms(1) assms(2) mcp-prefix-of-suffix prefixI)
  ultimately have Suc (length (xs@[x])) < Suc (Suc (length xs))
    by auto
  then show False
    by auto
qed

ultimately show ?thesis
  by (simp add: ⟨?C (Suc i) = append-set (?C i − ?RM i) (inputs M2) − ?TS i⟩)
qed

```

lemma TS-non-containment-causes :

```

assumes vs@xs ∉ TS M2 M1 Ω V m i
and      mcp (vs@xs) V vs
and      set xs ⊆ inputs M2
and      0 < i
shows (∃ xr j . xr ≠ xs ∧ prefix xr xs ∧ j ≤ i ∧ vs@xr ∈ RM M2 M1 Ω V m j)
  ∨ (∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs@xc ∈ (C M2 M1 Ω V m i) − (RM M2 M1 Ω V m i))
(is ?PrefPreviouslyRemoved ∨ ?PrefJustContained)
  ¬ ((∃ xr j . xr ≠ xs ∧ prefix xr xs ∧ j ≤ i ∧ vs@xr ∈ RM M2 M1 Ω V m j)
    ∧ (∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs@xc ∈ (C M2 M1 Ω V m i) − (RM M2 M1 Ω V m i)))
— If a sequence is not contained in TS up to (incl.) iteration i, then either a prefix of it has been removed or a prefix
of it is contained in the C set for iteration i
proof −

```

```

let ?TS = λ n . TS M2 M1 Ω V m n
let ?C = λ n . C M2 M1 Ω V m n
let ?RM = λ n . RM M2 M1 Ω V m n

```

show ?PrefPreviouslyRemoved ∨ ?PrefJustContained

```

proof (rule ccontr)
  assume ¬ (?PrefPreviouslyRemoved ∨ ?PrefJustContained)
  then have ¬ ?PrefPreviouslyRemoved ∧ ¬ ?PrefJustContained by auto

```

have ¬ (∃ xr j. prefix xr xs ∧ j ≤ i ∧ vs @ xr ∈ ?RM j)

proof

assume ∃ xr j. prefix xr xs ∧ j ≤ i ∧ vs @ xr ∈ RM M2 M1 Ω V m j

then obtain xr j where prefix xr xs j ≤ i vs @ xr ∈ ?RM j

by blast

then show False

proof (cases xr = xs)

```

case True
then have  $vs @ xs \in ?RM\ j$  using  $\langle vs @ xr \in ?RM\ j \rangle$  by auto
then have  $vs @ xs \in ?TS\ j$ 
  using C-subset RM-subset  $\langle vs @ xr \in ?RM\ j \rangle$  by blast
then have  $vs @ xs \in ?TS\ i$ 
  using TS-subset  $\langle j \leq i \rangle$  by blast
then show ?thesis using assms(1) by blast
next
case False
then show ?thesis
  using  $\langle \neg ?PrefPreviouslyRemoved \rangle \langle prefix\ xr\ xs \rangle \langle j \leq i \rangle \langle vs @ xr \in ?RM\ j \rangle$ 
  by blast
qed
qed

have  $vs \in V$  using assms(2) by auto
then have  $vs \in ?C\ 1$  by auto

have  $\bigwedge k. (1 \leq Suc\ k \wedge Suc\ k \leq i) \longrightarrow vs @ (take\ k\ xs) \in ?C\ (Suc\ k) - ?RM\ (Suc\ k)$ 
proof
  fix k assume  $1 \leq Suc\ k \wedge Suc\ k \leq i$ 
  then show  $vs @ (take\ k\ xs) \in ?C\ (Suc\ k) - ?RM\ (Suc\ k)$ 
  proof (induction k)
    case 0
    show ?case using  $\langle vs \in ?C\ 1 \rangle$ 
    by (metis 0.premis DiffI One-nat-def
       $\langle \neg (\exists\ xr\ j. prefix\ xr\ xs \wedge j \leq i \wedge vs @ xr \in RM\ M2\ M1\ \Omega\ V\ m\ j) \rangle$ 
      append-Nil2 take-0 take-is-prefix)
  next
  case (Suc k)

  have  $1 \leq Suc\ k \wedge Suc\ k \leq i$ 
  using Suc.premis by auto
  then have  $vs @ take\ k\ xs \in ?C\ (Suc\ k)$ 
  using Suc.IH by simp

  moreover have  $vs @ take\ k\ xs \notin ?RM\ (Suc\ k)$ 
  using  $\langle 1 \leq Suc\ k \wedge Suc\ k \leq i \rangle \langle \neg ?PrefPreviouslyRemoved \rangle take-is-prefix\ Suc.IH$ 
  by blast

  ultimately have  $vs @ take\ k\ xs \in (?C\ (Suc\ k)) - (?RM\ (Suc\ k))$ 
  by blast

  have  $k < length\ xs$ 
  proof (rule ccontr)
    assume  $\neg k < length\ xs$ 
    then have  $vs @ xs \in ?C\ (Suc\ k)$  using  $\langle vs @ take\ k\ xs \in ?C\ (Suc\ k) \rangle$ 
    by simp
    have  $vs @ xs \in ?TS\ i$ 
    by (metis C-subset TS-subset  $\langle 1 \leq Suc\ k \wedge Suc\ k \leq i \rangle \langle vs @ xs \in ?C\ (Suc\ k) \rangle$ 
      contra-subsetD)
    then show False
    using assms(1) by simp
  qed
  moreover have  $set\ xs \subseteq inputs\ M2$ 
  using assms(3) by auto
  ultimately have  $last\ (take\ (Suc\ k)\ xs) \in inputs\ M2$ 
  by (simp add: subset-eq take-Suc-conv-app-nth)

  have  $vs @ take\ (Suc\ k)\ xs \in append-set\ ((?C\ (Suc\ k)) - (?RM\ (Suc\ k)))\ (inputs\ M2)$ 
  proof -
    have f1:  $xs ! k \in inputs\ M2$ 
    by (meson  $\langle k < length\ xs \rangle \langle set\ xs \subseteq inputs\ M2 \rangle nth-mem\ subset-iff$ )
    have  $vs @ take\ (Suc\ k)\ xs = (vs @ take\ k\ xs) @ [xs ! k]$ 
    by (simp add:  $\langle k < length\ xs \rangle take-Suc-conv-app-nth$ )

```

```

    then show ?thesis
    using f1 ‹vs @ take k xs ∈ C M2 M1 Ω V m (Suc k) − RM M2 M1 Ω V m (Suc k)› by blast
qed

moreover have vs @ take (Suc k) xs ∉ ?TS (Suc k)
proof
  assume vs @ take (Suc k) xs ∈ ?TS (Suc k)
  then have Suc (length (take (Suc k) xs)) ≤ Suc k
    using TS-index(1) assms(2) mcp-prefix-of-suffix take-is-prefix by blast
  moreover have Suc (length (take k xs)) = Suc k using C-index ‹vs @ take k xs ∈ ?C (Suc k)›
    by (metis assms(2) mcp-prefix-of-suffix take-is-prefix)
  ultimately show False using ‹k < length xs›
    by simp
qed

show vs @ take (Suc k) xs ∈ ?C (Suc (Suc k)) − ?RM (Suc (Suc k))
  using C.simps(3)[of M2 M1 Ω V m k]
  by (metis (no-types, lifting) DiffI Suc.prem
    ‹¬ (∃ x j. prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j)›
    ‹vs @ take (Suc k) xs ∉ TS M2 M1 Ω V m (Suc k)› calculation take-is-prefix)
qed
qed

then have vs @ take (i−1) xs ∈ C M2 M1 Ω V m i − RM M2 M1 Ω V m i
  using assms(4)
  by (metis One-nat-def Suc-diff-1 Suc-leI le-less)
then have ?PrefJustContained
  by (metis C-subset DiffD1 assms(1) subsetCE take-is-prefix)
then show False
  using ‹¬ ?PrefJustContained› by simp
qed

show ¬ (?PrefPreviouslyRemoved ∧ ?PrefJustContained)
proof
  assume ?PrefPreviouslyRemoved ∧ ?PrefJustContained
  then have ?PrefPreviouslyRemoved
    ?PrefJustContained
    by auto
  obtain x j where prefix x xs j ≤ i vs@x ∈ ?RM j
    using ‹?PrefPreviouslyRemoved› by blast
  obtain xc where prefix xc xs vs@xc ∈ ?C i − ?RM i
    using ‹?PrefJustContained› by blast

  then have Suc (length xc) = i
    using C-index
    by (metis Diff-iff assms(2) mcp-prefix-of-suffix)
  moreover have length xc ≤ length xs
    using ‹prefix xc xs› by (simp add: prefix-length-le)
  moreover have xc ≠ xs
  proof
    assume xc = xs
    then have vs@xs ∈ ?C i
      using ‹vs@xc ∈ ?C i − ?RM i› by auto
    then have vs@xs ∈ ?TS i
      using C-subset by blast
    then show False
      using assms(1) by blast
  qed
  ultimately have i ≤ length xs
    using ‹prefix xc xs› not-less-eq-eq prefix-length-prefix prefix-order.antisym
    by blast

```



```

have  $\bigwedge n . (n < i) \implies vs@(\text{take } n \text{ } xs) \in ?C \text{ } (Suc \text{ } n)$ 
proof -
  fix n assume n < i
  show  $vs @ \text{take } n \text{ } xs \in C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (Suc \text{ } n)$ 
  proof -
    have  $n \leq \text{length } xc$ 
    using  $\langle n < i \rangle \langle Suc \text{ } (\text{length } xc) = i \rangle \text{ less-Suc-eq-le}$ 
    by blast
    then have  $\text{prefix } (vs @ (\text{take } n \text{ } xs)) (vs @ xc)$ 
    proof -
      have  $n \leq \text{length } xs$ 
      using  $\langle \text{length } xc \leq \text{length } xs \rangle \langle n \leq \text{length } xc \rangle \text{ order-trans}$ 
      by blast
      then have  $\text{prefix } (\text{take } n \text{ } xs) \text{ } xc$ 
      by (metis (no-types)  $\langle n \leq \text{length } xc \rangle \langle \text{prefix } xc \text{ } xs \rangle \text{ length-take min.absorb2}$ 
         $\text{prefix-length-prefix take-is-prefix}$ )
      then show ?thesis
      by simp
    qed
  qed
  then have  $vs @ \text{take } n \text{ } xs \in ?TS \text{ } i$ 
  by (meson C-subset DiffD1 TS-prefix-containment  $\langle \text{prefix } xc \text{ } xs \rangle$ 
     $\langle vs @ xc \in C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } i - RM \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } i \rangle \text{ assms}(2) \text{ contra-subsetD}$ 
     $\text{mcp-prefix-of-suffix same-prefix-prefix}$ )
  then obtain jn where  $jn < Suc \text{ } i$   $vs@(\text{take } n \text{ } xs) \in ?C \text{ } jn$ 
  using TS-union[of M2 M1  $\Omega$  V m i]
  by (metis UN-iff atLeast-upt lessThan-iff)
  moreover have  $\text{mcp } (vs @ \text{take } n \text{ } xs) \text{ } V \text{ } vs$ 
  by (meson assms(2)  $\text{mcp-prefix-of-suffix take-is-prefix}$ )
  ultimately have  $jn = Suc \text{ } (\text{length } (\text{take } n \text{ } xs))$ 
  using C-index[of vs take n xs M2 M1  $\Omega$  V m jn] by auto
  then have  $jn = Suc \text{ } n$ 
  using  $\langle \text{length } xc \leq \text{length } xs \rangle \langle n \leq \text{length } xc \rangle$  by auto
  then show  $vs@(\text{take } n \text{ } xs) \in ?C \text{ } (Suc \text{ } n)$ 
  using  $\langle vs@(\text{take } n \text{ } xs) \in ?C \text{ } jn \rangle$  by auto
qed
qed

```

```

have  $\bigwedge n . (n < i) \implies vs@(\text{take } n \text{ } xs) \notin ?RM \text{ } (Suc \text{ } n)$ 
proof -
  fix n assume n < i
  show  $vs @ \text{take } n \text{ } xs \notin RM \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (Suc \text{ } n)$ 
  proof (cases  $n = \text{length } xc$ )
    case True
    then show ?thesis
    using  $\langle vs@xc \in ?C \text{ } i - ?RM \text{ } i \rangle$ 
    by (metis DiffD2  $\langle Suc \text{ } (\text{length } xc) = i \rangle \langle \text{prefix } xc \text{ } xs \rangle \text{ append-eq-conv-conj prefixE}$ )
  next
    case False
    then have  $n < \text{length } xc$ 
    using  $\langle n < i \rangle \langle Suc \text{ } (\text{length } xc) = i \rangle$  by linarith

    show ?thesis
    proof (cases  $Suc \text{ } n < \text{length } xc$ )
      case True
      then have  $Suc \text{ } n < i$ 
      using  $\langle Suc \text{ } (\text{length } xc) = i \rangle \langle n < \text{length } xc \rangle$  by blast
      then have  $vs @ (\text{take } (Suc \text{ } n) \text{ } xs) \in ?C \text{ } (Suc \text{ } (Suc \text{ } n))$ 
      using  $\langle \bigwedge n . (n < i) \implies vs@(\text{take } n \text{ } xs) \in ?C \text{ } (Suc \text{ } n) \rangle$  by blast
      then have  $vs @ \text{butlast } (\text{take } (Suc \text{ } n) \text{ } xs) \in ?C \text{ } (Suc \text{ } n) - ?RM \text{ } (Suc \text{ } n)$ 
      using True C-immediate-prefix-containment[of vs take (Suc n) xs M2 M1  $\Omega$  V m n]
      by (metis Suc-neq-Zero  $\langle \text{prefix } xc \text{ } xs \rangle \langle xc \neq xs \rangle \text{ prefix-Nil take-eq-Nil}$ )
      then show ?thesis
      by (metis DiffD2 Suc-lessD True  $\langle \text{length } xc \leq \text{length } xs \rangle \text{ butlast-snoc less-le-trans}$ )
    case False
  end

```

```

      take-Suc-conv-app-nth)
next
case False
then have Suc n = length xc
  using Suc-lessI ⟨n < length xc⟩ by blast
then have vs @ (take (Suc n) xs) ∈ ?C (Suc (Suc n))
  using ⟨Suc (length xc) = i⟩ ⟨ $\bigwedge n. n < i \implies vs @ take\ n\ xs \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ n)$ ⟩
  by auto
then have vs @ butlast (take (Suc n) xs) ∈ ?C (Suc n) - ?RM (Suc n)
  using False C-immediate-prefix-containment[of vs take (Suc n) xs M2 M1  $\Omega$  V m n]
  by (metis Suc-neq-Zero ⟨prefix xc xs⟩ ⟨xc ≠ xs⟩ prefix-Nil take-eq-Nil)
then show ?thesis
  by (metis Diff-iff ⟨Suc n = length xc⟩ ⟨length xc ≤ length xs⟩ butlast-take diff-Suc-1)
qed
qed
qed

have xr = take j xs
proof -
  have vs@xr ∈ ?C j
    using ⟨vs@xr ∈ ?RM j⟩ RM-subset by blast
  then show ?thesis
    using C-index
    by (metis Suc-le-lessD ⟨ $\bigwedge n. n < i \implies vs @ take\ n\ xs \notin RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ n)$ ⟩ ⟨j ≤ i⟩
      ⟨prefix xr xs⟩ ⟨vs @ xr ∈ RM M2 M1  $\Omega$  V m j⟩ append-eq-conv-conj assms(2)
      mcp-prefix-of-suffix prefix-def)
qed

have vs@xr ∉ ?RM j
  by (metis (no-types) C-index RM-subset ⟨i ≤ length xs⟩ ⟨j ≤ i⟩ ⟨prefix xr xs⟩
    ⟨xr = take j xs⟩ assms(2) contra-subsetD dual-order.trans length-take lessI less-irrefl
    mcp-prefix-of-suffix min.absorb2)

then show False
  using ⟨vs@xr ∈ ?RM j⟩ by simp
qed
qed

```

```

lemma TS-non-containment-causes-rev :
  assumes mcp (vs@xs) V vs
  and (∃ xr j . xr ≠ xs ∧ prefix xr xs ∧ j ≤ i ∧ vs@xr ∈ RM M2 M1  $\Omega$  V m j)
    ∨ (∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs@xc ∈ (C M2 M1  $\Omega$  V m i) - (RM M2 M1  $\Omega$  V m i))
    (is ?PrefPreviouslyRemoved ∨ ?PrefJustContained)
shows vs@xs ∉ TS M2 M1  $\Omega$  V m i
proof
  let ?TS =  $\lambda n. TS\ M2\ M1\ \Omega\ V\ m\ n$ 
  let ?C =  $\lambda n. C\ M2\ M1\ \Omega\ V\ m\ n$ 
  let ?RM =  $\lambda n. RM\ M2\ M1\ \Omega\ V\ m\ n$ 

  assume vs @ xs ∈ TS M2 M1  $\Omega$  V m i

  have ?PrefPreviouslyRemoved  $\implies$  False
  proof -
    assume ?PrefPreviouslyRemoved
    then obtain xr j where xr ≠ xs ∧ prefix xr xs ∧ j ≤ i ∧ vs@xr ∈ ?RM j
      by blast
    then have vs@xr ∉ ?C j - ?RM j
      by blast
  end

  have vs@(take (Suc (length xr)) xs) ∉ ?C (Suc j)

```

```

proof –
  have  $vs@(\text{take } (\text{length } xr) \text{ } xs) \notin ?C \text{ } j - ?RM \text{ } j$ 
    by ( $\text{metis } \langle \text{prefix } xr \text{ } xs \rangle \langle vs @ xr \notin C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } j - RM \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } j \rangle$ 
       $\text{append-eq-conv-conj prefix-def}$ )
  show  $?thesis$ 
  proof ( $\text{cases } j$ )
    case 0
    then show  $?thesis$ 
      using  $RM.\text{simps}(1) \langle vs @ xr \in RM \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } j \rangle$  by  $\text{blast}$ 
  next
    case ( $Suc \text{ } j'$ )
    then have  $?C \text{ } (Suc \text{ } j) \subseteq \text{append-set } (?C \text{ } j - ?RM \text{ } j) (\text{inputs } M2)$ 
      using  $C.\text{simps}(3) \text{ } Suc$  by  $\text{blast}$ 
    obtain  $x$  where  $vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) = vs@(\text{take } (\text{length } xr) \text{ } xs) @ [x]$ 
      by ( $\text{metis } \langle \text{prefix } xr \text{ } xs \rangle \langle xr \neq xs \rangle \text{append-eq-conv-conj not-le prefix-def}$ 
         $\text{take-Suc-conv-app-nth take-all}$ )
    have  $vs@(\text{take } (\text{length } xr) \text{ } xs) @ [x] \notin \text{append-set } (?C \text{ } j - ?RM \text{ } j) (\text{inputs } M2)$ 
      using  $\langle vs@(\text{take } (\text{length } xr) \text{ } xs) \notin ?C \text{ } j - ?RM \text{ } j \rangle$  by  $\text{simp}$ 
    then have  $vs@(\text{take } (\text{length } xr) \text{ } xs) @ [x] \notin ?C \text{ } (Suc \text{ } j)$ 
      using  $\langle ?C \text{ } (Suc \text{ } j) \subseteq \text{append-set } (?C \text{ } j - ?RM \text{ } j) (\text{inputs } M2) \rangle$  by  $\text{blast}$ 
    then show  $?thesis$ 
      using  $\langle vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) = vs@(\text{take } (\text{length } xr) \text{ } xs) @ [x] \rangle$  by  $\text{auto}$ 
  qed
qed

have  $\text{prefix } (\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \text{ } xs$ 
  by ( $\text{simp add: take-is-prefix}$ )
then have  $vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \in ?TS \text{ } i$ 
  using  $TS.\text{prefix-containment}[OF \langle vs @ xs \in TS \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } i \rangle \text{ } \text{assms}(1)]$  by  $\text{simp}$ 
then obtain  $j'$  where  $j' < Suc \text{ } i \wedge vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \in ?C \text{ } j'$ 
  using  $TS.\text{union}[of \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } i]$  by  $\text{fastforce}$ 
then have  $Suc \text{ } (Suc \text{ } (\text{length } xr)) = j'$ 
  using  $C.\text{index}[of \text{ } vs \text{ } \text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs]$ 
proof –
  have  $\neg \text{length } xs \leq \text{length } xr$ 
    by ( $\text{metis } (\text{no-types}) \langle \text{prefix } xr \text{ } xs \rangle \langle xr \neq xs \rangle \text{append-Nil2 append-eq-conv-conj leD}$ 
       $\text{nat-less-le prefix-def prefix-length-le}$ )
  then show  $?thesis$ 
    by ( $\text{metis } (\text{no-types}) \langle \bigwedge i \Omega \text{ } V \text{ } T \text{ } S \text{ } M2 \text{ } M1. \llbracket vs @ \text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs \in C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } i; \\ \text{mcp } (vs @ \text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \text{ } V \text{ } vs \rrbracket \\ \implies Suc \text{ } (\text{length } (\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs)) = i \rangle \\ \langle j' < Suc \text{ } i \wedge vs @ \text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs \in C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } j' \rangle$ 
       $\text{append-eq-conv-conj assms}(1) \text{length-take mcp-prefix-of-suffix min.absorb2}$ 
       $\text{not-less-eq-eq prefix-def}$ )
  qed
moreover have  $Suc \text{ } (\text{length } xr) = j$ 
  using  $\langle vs@xr \in ?RM \text{ } j \rangle RM.\text{subset } C.\text{index}$ 
  by ( $\text{metis } \langle \text{prefix } xr \text{ } xs \rangle \text{assms}(1) \text{mcp-prefix-of-suffix subsetCE}$ )
ultimately have  $j' = Suc \text{ } j$ 
  by  $\text{auto}$ 

then have  $vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \in ?C \text{ } (Suc \text{ } j)$ 
  using  $\langle j' < Suc \text{ } i \wedge vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \in ?C \text{ } j' \rangle$  by  $\text{auto}$ 
then show  $False$ 
  using  $\langle vs@(\text{take } (Suc \text{ } (\text{length } xr)) \text{ } xs) \notin ?C \text{ } (Suc \text{ } j) \rangle$  by  $\text{blast}$ 
qed

moreover have  $?PrefJustContained \implies False$ 
proof –
  assume  $?PrefJustContained$ 
  then obtain  $xc$  where  $xc \neq xs$ 
     $\text{prefix } xc \text{ } xs$ 
     $vs @ xc \in ?C \text{ } i - ?RM \text{ } i$ 
  by  $\text{blast}$ 

```

— only possible if $xc = xs$
then show *False*
 by (*metis C-index DiffD1 Suc-less-eq TS-index(1) ‹vs @ xs ∈ ?TS i› assms(1) leD le-neq-trans*
mcp-prefix-of-suffix prefix-length-le prefix-length-prefix
prefix-order.dual-order.antisym prefix-order.order-refl)
qed

ultimately show *False*
 using *assms(2)* **by** *auto*
qed

lemma *TS-finite* :
 assumes *finite V*
 and *finite (inputs M2)*
shows *finite (TS M2 M1 Ω V m n)*
using *assms* **proof** (*induction n*)
 case 0
 then show *?case* **by** *auto*
next
 case (*Suc n*)

 let *?TS* = $\lambda n. TS\ M2\ M1\ \Omega\ V\ m\ n$
 let *?C* = $\lambda n. C\ M2\ M1\ \Omega\ V\ m\ n$
 let *?RM* = $\lambda n. RM\ M2\ M1\ \Omega\ V\ m\ n$

show *?case*
proof (*cases n=0*)
 case *True*
 then have *?TS (Suc n) = V*
 by *auto*
 then show *?thesis*
 using *‹finite V›* **by** *auto*
next
 case *False*
 then have *?TS (Suc n) = ?TS n ∪ ?C (Suc n)*
 by (*metis TS.simps(3) gr0-implies-Suc neq0-conv*)
 moreover have *finite (?TS n)*
 using *Suc.IH[OF Suc.premis]* **by** *assumption*
 moreover have *finite (?C (Suc n))*
proof —
 have *?C (Suc n) ⊆ append-set (?C n) (inputs M2)*
 using *C-step False* **by** *blast*
 moreover have *?C n ⊆ ?TS n*
 by (*simp add: C-subset*)
 ultimately have *?C (Suc n) ⊆ append-set (?TS n) (inputs M2)*
 by *blast*
 moreover have *finite (append-set (?TS n) (inputs M2))*
 by (*simp add: ‹finite (TS M2 M1 Ω V m n)› assms(2) finite-image-set2*)
 ultimately show *?thesis*
 using *infinite-subset* **by** *auto*
qed
 ultimately show *?thesis*
 by *auto*
qed
qed

lemma *C-finite* :
 assumes *finite V*
 and *finite (inputs M2)*
shows *finite (C M2 M1 Ω V m n)*
proof —
 have *C M2 M1 Ω V m n ⊆ TS M2 M1 Ω V m n*
 by (*simp add: C-subset*)

```

then show ?thesis using TS-finite[OF assms]
using Finite-Set.finite-subset by blast
qed

```

5.5 Final iteration

The result of calculating TS for some iteration is final if the result does not change for the next iteration.

Such a final iteration exists and is at most equal to the number of states of FSM M2 multiplied by an upper bound on the number of states of FSM M1.

Furthermore, for any sequence not contained in the final iteration of the test suite, a prefix of this sequence must be contained in the latter.

abbreviation *final-iteration* $M2\ M1\ \Omega\ V\ m\ i \equiv TS\ M2\ M1\ \Omega\ V\ m\ i = TS\ M2\ M1\ \Omega\ V\ m\ (Suc\ i)$

lemma *final-iteration-ex* :

```

assumes OFSM M1
and OFSM M2
and asc-fault-domain M2 M1 m
and test-tools M2 M1 FAIL PM V  $\Omega$ 
shows final-iteration M2 M1  $\Omega$  V m (Suc (|M2| * m))

```

proof –

```

let ?i = Suc (|M2| * m)

```

```

let ?TS =  $\lambda\ n.\ TS\ M2\ M1\ \Omega\ V\ m\ n$ 
let ?C =  $\lambda\ n.\ C\ M2\ M1\ \Omega\ V\ m\ n$ 
let ?RM =  $\lambda\ n.\ RM\ M2\ M1\ \Omega\ V\ m\ n$ 

```

```

have is-det-state-cover M2 V
using assms by auto
moreover have finite (nodes M2)
using assms(2) by auto
moreover have d-reachable M2 (initial M2)  $\subseteq$  nodes M2
by auto
ultimately have finite V
using det-state-cover-card[of M2 V]
by (metis finite-if-finite-subsets-card-bdd infinite-subset is-det-state-cover.elims(2)
    surj-card-le)

```

```

have  $\forall\ seq \in ?C\ ?i.\ seq \in ?RM\ ?i$ 

```

proof

```

fix seq assume seq  $\in ?C\ ?i$ 
show seq  $\in ?RM\ ?i$ 
proof –

```

```

have []  $\in V$ 
using <is-det-state-cover M2 V> det-state-cover-empty
by blast
then obtain vs where mcp seq V vs
using mcp-ex[OF - <finite V>]
by blast
then obtain xs where seq = vs@xs
using prefixE by auto

```

```

then have Suc (length xs) = ?i using C-index
using <mcp seq V vs> <seq  $\in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ (|M2| * m))$ > by blast
then have length xs = (|M2| * m) by auto

```

```

have RM-def : ?RM ?i = {xs'  $\in C\ M2\ M1\ \Omega\ V\ m\ ?i$  .
    ( $\neg (L_{in}\ M1\ \{xs'\} \subseteq L_{in}\ M2\ \{xs'\})$ )
     $\vee (\forall\ io \in L_{in}\ M1\ \{xs'\} .$ 
    ( $\exists\ V'' \in N\ io\ M1\ V .$ 
    ( $\exists\ S1 .$ 
    ( $\exists\ vs\ xs .$ 

```

```

      io = (vs@xs)
      ∧ mcp (vs@xs) V'' vs
      ∧ S1 ⊆ nodes M2
      ∧ (∀ s1 ∈ S1 . ∀ s2 ∈ S1 .
        s1 ≠ s2 →
          (∀ io1 ∈ RP M2 s1 vs xs V'' .
            ∀ io2 ∈ RP M2 s2 vs xs V'' .
              B M1 io1 Ω ≠ B M1 io2 Ω ))
      ∧ m < LB M2 M1 vs xs (?TS ((|M2| * m)) ∪ V) S1 Ω V'' ))))}
using RM.simps(2)[of M2 M1 Ω V m ((card (nodes M2))*m)] by assumption

have (¬ (Lin M1 {seq} ⊆ Lin M2 {seq}))
  ∨ (∀ io ∈ Lin M1 {seq} .
    (∃ V'' ∈ N io M1 V .
      (∃ S1 .
        (∃ vs xs .
          io = (vs@xs)
          ∧ mcp (vs@xs) V'' vs
          ∧ S1 ⊆ nodes M2
          ∧ (∀ s1 ∈ S1 . ∀ s2 ∈ S1 .
            s1 ≠ s2 →
              (∀ io1 ∈ RP M2 s1 vs xs V'' .
                ∀ io2 ∈ RP M2 s2 vs xs V'' .
                  B M1 io1 Ω ≠ B M1 io2 Ω ))
            ∧ m < LB M2 M1 vs xs (?TS ((|M2| * m)) ∪ V) S1 Ω V'' ))))
proof (cases (¬ (Lin M1 {seq} ⊆ Lin M2 {seq})))
  case True
    then show ?thesis
      using RM-def by blast
  next
    case False
    have (∀ io ∈ Lin M1 {seq} .
      (∃ V'' ∈ N io M1 V .
        (∃ S1 .
          (∃ vs xs .
            io = (vs@xs)
            ∧ mcp (vs@xs) V'' vs
            ∧ S1 ⊆ nodes M2
            ∧ (∀ s1 ∈ S1 . ∀ s2 ∈ S1 .
              s1 ≠ s2 →
                (∀ io1 ∈ RP M2 s1 vs xs V'' .
                  ∀ io2 ∈ RP M2 s2 vs xs V'' .
                    B M1 io1 Ω ≠ B M1 io2 Ω ))
              ∧ m < LB M2 M1 vs xs (?TS ((|M2| * m)) ∪ V) S1 Ω V'' ))))
proof
  fix io assume io ∈ Lin M1 {seq}
  then have io ∈ L M1
  by auto
  moreover have is-det-state-cover M2 V
  using assms(4) by auto
  ultimately obtain V'' where V'' ∈ N io M1 V
  using N-nonempty[OF - assms(1-3), of V io] by blast

  have io ∈ L M2
  using ⟨io ∈ Lin M1 {seq}⟩ False by auto

  have V'' ∈ Perm V M1
  using ⟨V'' ∈ N io M1 V⟩ by auto

  have [] ∈ V''
  using ⟨V'' ∈ Perm V M1⟩ assms(4) perm-empty by blast
  have finite V''
  using ⟨V'' ∈ Perm V M1⟩ assms(2) assms(4) perm-elem-finite by blast
  obtain vs where mcp io V'' vs

```

```

using mcp-ex[OF  $\langle [] \in V'' \rangle \langle \text{finite } V'' \rangle$ ] by blast

obtain xs where io = (vs@xs)
using  $\langle \text{mcp } io \ V'' \ vs \rangle \text{ prefixE}$  by auto

then have vs@xs  $\in L \ M1$  vs@xs  $\in L \ M2$ 
using  $\langle io \in L \ M1 \rangle \langle io \in L \ M2 \rangle$  by auto

have io  $\in L \ M1$  map fst io  $\in \{seq\}$ 
using  $\langle io \in L_{in} \ M1 \ \{seq\} \rangle$  by auto
then have map fst io = seq
by auto
then have map fst io  $\in ?C \ ?i$ 
using  $\langle seq \in ?C \ ?i \rangle$  by blast
then have (map fst vs) @ (map fst xs)  $\in ?C \ ?i$ 
using  $\langle io = (vs@xs) \rangle$  by (metis map-append)

have mcp' io  $V'' = vs$ 
using  $\langle \text{mcp } io \ V'' \ vs \rangle \text{ mcp'-intro}$  by blast

have mcp' (map fst io)  $V = (\text{map fst } vs)$ 
using  $\langle V'' \in N \ io \ M1 \ V \rangle \langle \text{mcp}' \ io \ V'' = vs \rangle$  by auto

then have mcp (map fst io)  $V$  (map fst vs)
by (metis  $\langle \bigwedge \text{thesis. } (\bigwedge vs. \text{mcp seq } V \ vs \implies \text{thesis}) \implies \text{thesis} \rangle$ 
 $\langle \text{map fst } io = seq \rangle \text{ mcp'-intro}$ )

then have mcp (map fst vs @ map fst xs)  $V$  (map fst vs)
by (simp add:  $\langle io = vs @ xs \rangle$ )

then have Suc (length xs) = ?i using C-index[OF  $\langle (\text{map fst } vs) @ (\text{map fst } xs) \in ?C \ ?i \rangle$ ]
by simp

then have ( $|M2| * m$ )  $\leq \text{length } xs$ 
by simp

have  $|M1| \leq m$ 
using assms(?) by auto
have vs @ xs  $\in L \ M2 \cap L \ M1$ 
using  $\langle vs @ xs \in L \ M1 \rangle \langle vs @ xs \in L \ M2 \rangle$  by blast
obtain q where q  $\in \text{nodes } M2$  m < card (RP M2 q vs xs  $V''$ )
using RP-state-repetition-distribution-productF
 $[OF \text{ assms}(2,1) \langle (\mathbf{|M2|} * m) \leq \text{length } xs \rangle \langle \mathbf{|M1|} \leq m \rangle \langle vs @ xs \in L \ M2 \cap L \ M1 \rangle$ 
 $\langle \text{is-det-state-cover } M2 \ V \rangle \langle V'' \in \text{Perm } V \ M1 \rangle]$ 
by blast

have m < LB M2 M1 vs xs (?TS (( $\mathbf{|M2|} * m$ ))  $\cup V$ )  $\{q\} \ \Omega \ V''$ 
proof –
have m < (sum ( $\lambda \ s \ . \ \text{card} \ (\text{RP } M2 \ s \ vs \ xs \ V'')$ )  $\{q\}$ )
using  $\langle m < \text{card} \ (\text{RP } M2 \ q \ vs \ xs \ V'') \rangle$ 
by auto
moreover have (sum ( $\lambda \ s \ . \ \text{card} \ (\text{RP } M2 \ s \ vs \ xs \ V'')$ )  $\{q\}$ )
 $\leq \text{LB } M2 \ M1 \ vs \ xs \ (?TS \ ((\mathbf{|M2|} * m)) \cup V) \ \{q\} \ \Omega \ V''$ 
by auto
ultimately show ?thesis
by linarith
qed

show  $\exists V'' \in N \ io \ M1 \ V.$ 
 $\exists S1 \ vs \ xs.$ 
 $io = vs @ xs \wedge$ 
 $\text{mcp} \ (vs @ xs) \ V'' \ vs \wedge$ 

```

$S1 \subseteq \text{nodes } M2 \wedge$
 $(\forall s1 \in S1.$
 $\quad \forall s2 \in S1.$
 $\quad \quad s1 \neq s2 \longrightarrow$
 $\quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''.$
 $\quad \quad \quad B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$
 $\quad m < LB \ M2 \ M1 \ vs \ xs \ (?TS \ ((|M2| * m)) \cup V) \ S1 \ \Omega \ V''$
proof –

have $io = vs @ xs$
using $\langle io = vs @ xs \rangle$ **by** *assumption*
moreover have $mcp \ (vs @ xs) \ V'' \ vs$
using $\langle io = vs @ xs \rangle \ \langle mcp \ io \ V'' \ vs \rangle$ **by** *presburger*
moreover have $\{q\} \subseteq \text{nodes } M2$
using $\langle q \in \text{nodes } M2 \rangle$ **by** *auto*
moreover have $(\forall s1 \in \{q\} . \forall s2 \in \{q\} .$
 $\quad s1 \neq s2 \longrightarrow$
 $\quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V'' .$
 $\quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V'' .$
 $\quad \quad B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega))$
proof –

have $\forall s1 \in \{q\} . \forall s2 \in \{q\} . s1 = s2$
by *blast*
then show *?thesis*
by *blast*
qed

ultimately have *RM-body* : $io = (vs @ xs)$
 $\wedge mcp \ (vs @ xs) \ V'' \ vs$
 $\wedge \{q\} \subseteq \text{nodes } M2$
 $\wedge (\forall s1 \in \{q\} . \forall s2 \in \{q\} .$
 $\quad s1 \neq s2 \longrightarrow$
 $\quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V'' .$
 $\quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V'' .$
 $\quad \quad B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega))$
 $\wedge m < LB \ M2 \ M1 \ vs \ xs \ (?TS \ ((|M2| * m)) \cup V) \ \{q\} \ \Omega \ V''$
using $\langle m < LB \ M2 \ M1 \ vs \ xs \ (?TS \ ((|M2| * m)) \cup V) \ \{q\} \ \Omega \ V'' \rangle$
by *linarith*

show *?thesis*
using $\langle V'' \in N \ io \ M1 \ V \rangle$ *RM-body*
by *metis*
qed
qed

then show *?thesis*
by *metis*
qed

then have $seq \in \{xs' \in C \ M2 \ M1 \ \Omega \ V \ m \ ((Suc \ (|M2| * m))) .$
 $\quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee$
 $\quad (\forall io \in L_{in} \ M1 \ \{xs'\} .$
 $\quad \quad \exists V'' \in N \ io \ M1 \ V .$
 $\quad \quad \exists S1 \ vs \ xs .$
 $\quad \quad \quad io = vs @ xs \wedge$
 $\quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge$
 $\quad \quad \quad S1 \subseteq \text{nodes } M2 \wedge$
 $\quad \quad \quad (\forall s1 \in S1 .$
 $\quad \quad \quad \quad \forall s2 \in S1 .$
 $\quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow$
 $\quad \quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V'' . \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V'' .$
 $\quad \quad \quad \quad \quad \quad B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$
 $\quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (?TS \ ((|M2| * m)) \cup V) \ S1 \ \Omega \ V'' \}$
using $\langle seq \in ?C \ ?i \rangle$ **by** *blast*


```

    then show ?thesis
    using RM-def by blast
  qed
qed

then have ?C ?i - ?RM ?i = {}
  by blast

have ?C (Suc ?i) = append-set (?C ?i - ?RM ?i) (inputs M2) - ?TS ?i
  using C.simps(3) by blast

then have ?C (Suc ?i) = {} using ‹?C ?i - ?RM ?i = {}›
  by blast
then have ?TS (Suc ?i) = ?TS ?i
  using TS.simps(3) by blast
then show final-iteration M2 M1 Ω V m ?i
  by blast
qed

lemma TS-non-containment-causes-final :
  assumes vs@xs ∉ TS M2 M1 Ω V m i
  and mcp (vs@xs) V vs
  and set xs ⊆ inputs M2
  and final-iteration M2 M1 Ω V m i
  and OFSM M2
shows (∃ x j . x ≠ xs
      ∧ prefix x xs
      ∧ j ≤ i
      ∧ vs@x ∈ RM M2 M1 Ω V m j)

proof -
  let ?TS = λ n . TS M2 M1 Ω V m n
  let ?C = λ n . C M2 M1 Ω V m n
  let ?RM = λ n . RM M2 M1 Ω V m n

  have {} ≠ V
    using assms(2) by fastforce
  then have ?TS 0 ≠ ?TS (Suc 0)
    by simp
  then have 0 < i
    using assms(4) by auto

  have ncc1 : (∃ x j . x ≠ xs ∧ prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j) ∨
    (∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs @ xc ∈ C M2 M1 Ω V m i - RM M2 M1 Ω V m i)
    using TS-non-containment-causes(1)[OF assms(1-3) ‹0 < i›] by assumption
  have ncc2 : ¬ ((∃ x j . x ≠ xs ∧ prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j) ∧
    (∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs @ xc ∈ C M2 M1 Ω V m i - RM M2 M1 Ω V m i))
    using TS-non-containment-causes(2)[OF assms(1-3) ‹0 < i›] by assumption

  from ncc1 show ?thesis
proof
  show ∃ x j . x ≠ xs ∧ prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j ⟹
    ∃ x j . x ≠ xs ∧ prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j
    by simp
  show ∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs @ xc ∈ C M2 M1 Ω V m i - RM M2 M1 Ω V m i ⟹
    ∃ x j . x ≠ xs ∧ prefix x xs ∧ j ≤ i ∧ vs @ x ∈ RM M2 M1 Ω V m j
  proof -
    assume ∃ xc . xc ≠ xs ∧ prefix xc xs ∧ vs @ xc ∈ C M2 M1 Ω V m i - RM M2 M1 Ω V m i
    then obtain xc where xc ≠ xs prefix xc xs vs @ xc ∈ ?C i - ?RM i
    by blast
  end
end

```

```

then have  $vs @ xc \in ?C\ i$ 
  by blast
have  $mcp\ (vs @ xc)\ V\ vs$ 
  using  $\langle prefix\ xc\ xs \rangle\ assms(2)\ mcp\ prefix\ of\ suffix$  by blast
then have  $Suc\ (length\ xc) = i$  using  $C\ index[OF\ \langle vs @ xc \in ?C\ i \rangle]$ 
  by simp

have  $length\ xc < length\ xs$ 
  by  $(metis\ \langle prefix\ xc\ xs \rangle\ \langle xc \neq xs \rangle\ append\ eq\ conv\ conj\ nat\ less\ le\ prefix\ def\ prefix\ length\ le\ take\ all)$ 
then obtain  $x$  where  $prefix\ (vs @ xc @ [x])\ (vs @ xs)$ 
  using  $\langle prefix\ xc\ xs \rangle\ append\ one\ prefix\ same\ prefix\ prefix$  by blast

```

— Proof sketch: $vs\ xs\ x$ must not be in TS (i+1), else not final iteration $vs\ xs\ x$ can not be in TS i due to its length $vs\ xs\ x$ must therefore not be contained in $(append\ set\ (C\ i - R\ i)\ (inputs\ M2))$ $vs\ xs$ must therefore not be contained in $(C\ i - R\ i)$ contradiction

```

have  $?TS\ (Suc\ i) = ?TS\ i$ 
  using  $assms(4)$  by auto

have  $vs @ xc @ [x] \notin ?C\ (Suc\ i)$ 
proof
  assume  $vs @ xc @ [x] \in ?C\ (Suc\ i)$ 
  then have  $vs @ xc @ [x] \notin ?TS\ i$ 
    by  $(metis\ (no\ types,\ lifting)\ C.simps(3)\ DiffE\ \langle Suc\ (length\ xc) = i \rangle)$ 
  then have  $?TS\ i \neq ?TS\ (Suc\ i)$ 
    using  $C\ subset\ \langle vs @ xc @ [x] \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ i) \rangle$  by blast
  then show False using  $assms(4)$ 
    by auto
qed
moreover have  $?C\ (Suc\ i) = append\ set\ (?C\ i - ?RM\ i)\ (inputs\ M2) - ?TS\ i$ 
  using  $C.simps(3)\ \langle Suc\ (length\ xc) = i \rangle$  by blast
ultimately have  $vs @ xc @ [x] \notin append\ set\ (?C\ i - ?RM\ i)\ (inputs\ M2) - ?TS\ i$ 
  by blast

```

```

have  $vs @ xc @ [x] \notin ?TS\ (Suc\ i)$ 
  by  $(metis\ Suc\ n\ not\ le\ n\ TS\ index(1)\ \langle Suc\ (length\ xc) = i \rangle\ \langle prefix\ (vs @ xc @ [x])\ (vs @ xs) \rangle\ assms(2)\ assms(4)\ length\ append\ singleton\ mcp\ prefix\ of\ suffix\ same\ prefix\ prefix)$ 
then have  $vs @ xc @ [x] \notin ?TS\ i$ 
  by  $(simp\ add:\ assms(4))$ 

```

```

have  $vs @ xc @ [x] \notin append\ set\ (?C\ i - ?RM\ i)\ (inputs\ M2)$ 
  using  $\langle vs @ xc @ [x] \notin TS\ M2\ M1\ \Omega\ V\ m\ i \rangle$ 
     $\langle vs @ xc @ [x] \notin append\ set\ (C\ M2\ M1\ \Omega\ V\ m\ i - RM\ M2\ M1\ \Omega\ V\ m\ i)\ (inputs\ M2) - TS\ M2\ M1\ \Omega\ V\ m\ i \rangle$ 
  by blast

```

```

then have  $vs @ xc \notin (?C\ i - ?RM\ i)$ 
proof —
  have  $f1: \forall a\ A\ Aa.\ (a::'a) \notin A \wedge a \notin Aa \vee a \in Aa \cup A$ 
    by  $(meson\ UnCI)$ 
  obtain  $aas :: 'a\ list \Rightarrow 'a\ list$  where
     $\forall x0\ x1.\ (\exists v2.\ x0 = x1 @ v2) = (x0 = x1 @ aas\ x0\ x1)$ 
    by moura
  then have  $vs @ xs = (vs @ xc @ [x]) @ aas\ (vs @ xs)\ (vs @ xc @ [x])$ 
    by  $(meson\ \langle prefix\ (vs @ xc @ [x])\ (vs @ xs) \rangle\ prefixE)$ 
  then have  $xs = (xc @ [x]) @ aas\ (vs @ xs)\ (vs @ xc @ [x])$ 
    by simp
  then have  $x \in inputs\ M2$ 
    using  $f1$  by  $(metis\ (no\ types)\ assms(3)\ contra\ subsetD\ insert\ iff\ list.set(2)\ set\ append)$ 
  then show ?thesis
    using  $\langle vs @ xc @ [x] \notin append\ set\ (C\ M2\ M1\ \Omega\ V\ m\ i - RM\ M2\ M1\ \Omega\ V\ m\ i)\ (inputs\ M2) \rangle$ 
    by force
qed

```

```

    then have False
    using  $\langle vs @ xc \in ?C\ i - ?RM\ i \rangle$  by blast
    then show ?thesis by simp
  qed
qed
qed

```

```

lemma TS-non-containment-causes-final-suc :
  assumes  $vs@xs \notin TS\ M2\ M1\ \Omega\ V\ m\ i$ 
  and  $mcp\ (vs@xs)\ V\ vs$ 
  and  $set\ xs \subseteq inputs\ M2$ 
  and  $final\_iteration\ M2\ M1\ \Omega\ V\ m\ i$ 
  and  $OFSM\ M2$ 
obtain  $xr\ j$ 
where  $xr \neq xs \wedge prefix\ xr\ xs \wedge Suc\ j \leq i \wedge vs@xr \in RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ j)$ 
proof -
  obtain  $xr\ j$  where  $xr \neq xs \wedge prefix\ xr\ xs \wedge j \leq i \wedge vs@xr \in RM\ M2\ M1\ \Omega\ V\ m\ j$ 
    using TS-non-containment-causes-final[OF assms] by blast
  moreover have  $RM\ M2\ M1\ \Omega\ V\ m\ 0 = \{\}$ 
    by auto
  ultimately have  $j \neq 0$ 
    by (metis empty-iff)
  then obtain  $jp$  where  $j = Suc\ jp$ 
    using not0-implies-Suc by blast
  then have  $xr \neq xs \wedge prefix\ xr\ xs \wedge Suc\ jp \leq i \wedge vs@xr \in RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ jp)$ 
    using  $\langle xr \neq xs \wedge prefix\ xr\ xs \wedge j \leq i \wedge vs@xr \in RM\ M2\ M1\ \Omega\ V\ m\ j \rangle$ 
    by blast
  then show ?thesis
    using that by blast
qed

end
theory ASC-Sufficiency
  imports ASC-Suite
begin

```

6 Sufficiency of the test suite to test for reduction

This section provides a proof that the test suite generated by the adaptive state counting algorithm is sufficient to test for reduction.

6.1 Properties of minimal sequences to failures extending the deterministic state cover

The following two lemmata show that minimal sequences to failures extending the deterministic state cover do not with their extending suffix visit any state twice or visit a state also reached by a sequence in the chosen permutation of reactions to the deterministic state cover.

```

lemma minimal-sequence-to-failure-extending-implies-Rep-Pre :
  assumes minimal-sequence-to-failure-extending  $V\ M1\ M2\ vs\ xs$ 
  and  $OFSM\ M1$ 
  and  $OFSM\ M2$ 
  and  $test\_tools\ M2\ M1\ FAIL\ PM\ V\ \Omega$ 
  and  $V'' \in N\ (vs@xs')\ M1\ V$ 
  and  $prefix\ xs'\ xs$ 
  shows  $\neg Rep\_Pre\ M2\ M1\ vs\ xs'$ 
proof
  assume  $Rep\_Pre\ M2\ M1\ vs\ xs'$ 
  then obtain  $xs1\ xs2\ s1\ s2$  where  $prefix\ xs1\ xs2$ 
     $prefix\ xs2\ xs'$ 
     $xs1 \neq xs2$ 

```

```

      io-targets M2 (initial M2) (vs @ xs1) = {s2}
      io-targets M2 (initial M2) (vs @ xs2) = {s2}
      io-targets M1 (initial M1) (vs @ xs1) = {s1}
      io-targets M1 (initial M1) (vs @ xs2) = {s1}

    by auto
  then have s2 ∈ io-targets M2 (initial M2) (vs @ xs1)
    s2 ∈ io-targets M2 (initial M2) (vs @ xs2)
    s1 ∈ io-targets M1 (initial M1) (vs @ xs1)
    s1 ∈ io-targets M1 (initial M1) (vs @ xs2)
  by auto

  have vs@xs1 ∈ L M1
    using io-target-implies-L[OF ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs1)›] by assumption
  have vs@xs2 ∈ L M1
    using io-target-implies-L[OF ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs2)›] by assumption
  have vs@xs1 ∈ L M2
    using io-target-implies-L[OF ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs1)›] by assumption
  have vs@xs2 ∈ L M2
    using io-target-implies-L[OF ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs2)›] by assumption

  obtain tr1-1 where path M1 (vs@xs1 || tr1-1) (initial M1)
    length tr1-1 = length (vs@xs1)
    target (vs@xs1 || tr1-1) (initial M1) = s1
    using ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs1)› by auto
  obtain tr1-2 where path M1 (vs@xs2 || tr1-2) (initial M1)
    length tr1-2 = length (vs@xs2)
    target (vs@xs2 || tr1-2) (initial M1) = s1
    using ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs2)› by auto
  obtain tr2-1 where path M2 (vs@xs1 || tr2-1) (initial M2)
    length tr2-1 = length (vs@xs1)
    target (vs@xs1 || tr2-1) (initial M2) = s2
    using ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs1)› by auto
  obtain tr2-2 where path M2 (vs@xs2 || tr2-2) (initial M2)
    length tr2-2 = length (vs@xs2)
    target (vs@xs2 || tr2-2) (initial M2) = s2
    using ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs2)› by auto

  have productF M2 M1 FAIL PM
    using assms(4) by auto
  have well-formed M1
    using assms(2) by auto
  have well-formed M2
    using assms(3) by auto
  have observable PM
    by (meson assms(2) assms(3) assms(4) observable-productF)

  have length (vs@xs1) = length tr2-1
    using ‹length tr2-1 = length (vs @ xs1)› by presburger
  then have length tr2-1 = length tr1-1
    using ‹length tr1-1 = length (vs@xs1)› by presburger

  have vs@xs1 ∈ L PM
    using productF-path-inclusion[OF ‹length (vs@xs1) = length tr2-1› ‹length tr2-1 = length tr1-1›
      ‹productF M2 M1 FAIL PM› ‹well-formed M2› ‹well-formed M1›]
    by (meson Int-iff ‹productF M2 M1 FAIL PM› ‹vs @ xs1 ∈ L M1› ‹vs @ xs1 ∈ L M2› ‹well-formed M1›
      ‹well-formed M2› productF-language)

  have length (vs@xs2) = length tr2-2
    using ‹length tr2-2 = length (vs @ xs2)› by presburger
  then have length tr2-2 = length tr1-2
    using ‹length tr1-2 = length (vs@xs2)› by presburger

  have vs@xs2 ∈ L PM
    using productF-path-inclusion[OF ‹length (vs@xs2) = length tr2-2› ‹length tr2-2 = length tr1-2›

```

```

    <productF M2 M1 FAIL PM> <well-formed M2> <well-formed M1>]
  by (meson Int-iff <productF M2 M1 FAIL PM> <vs @ xs2 ∈ L M1> <vs @ xs2 ∈ L M2> <well-formed M1>
    <well-formed M2> productF-language)

  have io-targets PM (initial M2, initial M1) (vs @ xs1) = {(s2, s1)}
    using productF-path-io-targets-reverse
      [OF <productF M2 M1 FAIL PM> <s2 ∈ io-targets M2 (initial M2) (vs @ xs1)>
        <s1 ∈ io-targets M1 (initial M1) (vs @ xs1)> <vs @ xs1 ∈ L M2> <vs @ xs1 ∈ L M1> ]
  proof -
    have ∀ c f. c ≠ initial (f::('a, 'b, 'c) FSM) ∨ c ∈ nodes f
      by blast
    then show ?thesis
      by (metis (no-types) <[[observable M2; observable M1; well-formed M2; well-formed M1;
        initial M2 ∈ nodes M2; initial M1 ∈ nodes M1]]
        ⇒ io-targets PM (initial M2, initial M1) (vs @ xs1) = {(s2, s1)}>
        assms(2) assms(3))
  qed

  have io-targets PM (initial M2, initial M1) (vs @ xs2) = {(s2, s1)}
    using productF-path-io-targets-reverse
      [OF <productF M2 M1 FAIL PM> <s2 ∈ io-targets M2 (initial M2) (vs @ xs2)>
        <s1 ∈ io-targets M1 (initial M1) (vs @ xs2)> <vs @ xs2 ∈ L M2> <vs @ xs2 ∈ L M1> ]
  proof -
    have ∀ c f. c ≠ initial (f::('a, 'b, 'c) FSM) ∨ c ∈ nodes f
      by blast
    then show ?thesis
      by (metis (no-types) <[[observable M2; observable M1; well-formed M2; well-formed M1;
        initial M2 ∈ nodes M2; initial M1 ∈ nodes M1]]
        ⇒ io-targets PM (initial M2, initial M1) (vs @ xs2) = {(s2, s1)}>
        assms(2) assms(3))
  qed

  have prefix (vs @ xs1) (vs @ xs2)
    using <prefix xs1 xs2> by auto

  have sequence-to-failure M1 M2 (vs@xs)
    using assms(1) by auto

  have prefix (vs@xs1) (vs@xs')
    using <prefix xs1 xs2> <prefix xs2 xs'> prefix-order.dual-order.trans same-prefix-prefix
    by blast
  have prefix (vs@xs2) (vs@xs')
    using <prefix xs2 xs'> prefix-order.dual-order.trans same-prefix-prefix by blast

  have io-targets PM (initial PM) (vs @ xs1) = {(s2,s1)}
    using <io-targets PM (initial M2, initial M1) (vs @ xs1) = {(s2, s1)}> assms(4) by auto
  have io-targets PM (initial PM) (vs @ xs2) = {(s2,s1)}
    using <io-targets PM (initial M2, initial M1) (vs @ xs2) = {(s2, s1)}> assms(4) by auto

  have (vs @ xs2) @ (drop (length xs2) xs) = vs@xs
    by (metis <prefix xs2 xs'> append-eq-appendI append-eq-conv-conj assms(6) prefixE)
  moreover have io-targets PM (initial PM) (vs@xs) = {FAIL}
    using sequence-to-failure-reaches-FAIL-ob[OF <sequence-to-failure M1 M2 (vs@xs)> assms(2,3)
      <productF M2 M1 FAIL PM>]
    by assumption
  ultimately have io-targets PM (initial PM) ((vs @ xs2) @ (drop (length xs2) xs)) = {FAIL}
    by auto

```

```

have io-targets PM (s2,s1) (drop (length xs2) xs) = {FAIL}
using observable-io-targets-split
  [OF ⟨observable PM⟩
    ⟨io-targets PM (initial PM) ((vs @ xs2) @ (drop (length xs2) xs)) = {FAIL}⟩
    ⟨io-targets PM (initial PM) (vs @ xs2) = {(s2, s1)}⟩]
by assumption

have io-targets PM (initial PM) (vs@xs1@(drop (length xs2) xs)) = {FAIL}
using observable-io-targets-append
  [OF ⟨observable PM⟩ ⟨io-targets PM (initial PM) (vs @ xs1) = {(s2,s1)}⟩
    ⟨io-targets PM (s2,s1) (drop (length xs2) xs) = {FAIL}⟩]
by simp

have sequence-to-failure M1 M2 (vs@xs1@(drop (length xs2) xs))
using sequence-to-failure-alt-def
  [OF ⟨io-targets PM (initial PM) (vs@xs1@(drop (length xs2) xs)) = {FAIL}⟩ assms(2,3)]
  assms(4)
by blast

have length xs1 < length xs2
using ⟨prefix xs1 xs2⟩ ⟨xs1 ≠ xs2⟩ prefix-length-prefix by fastforce

have prefix-drop: ys = ys1 @ (drop (length ys1)) ys if prefix ys1 ys
for ys ys1 :: ('a × 'b) list
using that by (induction ys1) (auto elim: prefixE)
then have xs = (xs1 @ (drop (length xs1) xs))
using ⟨prefix xs1 xs2⟩ ⟨prefix xs2 xs'⟩ ⟨prefix xs' xs⟩ by simp
then have length xs1 < length xs
using prefix-drop[OF ⟨prefix xs2 xs'⟩] ⟨prefix xs2 xs'⟩ ⟨prefix xs' xs⟩
using ⟨length xs1 < length xs2⟩
by (auto dest!: prefix-length-le)
have length (xs1@(drop (length xs2) xs)) < length xs
using ⟨length xs1 < length xs2⟩ ⟨length xs1 < length xs⟩ by auto

have vs ∈ Lin M1 V
  ∧ sequence-to-failure M1 M2 (vs @ xs1@(drop (length xs2) xs))
  ∧ length (xs1@(drop (length xs2) xs)) < length xs
using ⟨length (xs1 @ drop (length xs2) xs) < length xs⟩
  ⟨sequence-to-failure M1 M2 (vs @ xs1 @ drop (length xs2) xs)⟩
  assms(1) minimal-sequence-to-failure-extending.simps
by blast

then have ¬ minimal-sequence-to-failure-extending V M1 M2 vs xs
by (meson minimal-sequence-to-failure-extending.elims(2))

then show False
using assms(1) by linarith
qed

lemma minimal-sequence-to-failure-extending-implies-Rep-Cov :
assumes minimal-sequence-to-failure-extending V M1 M2 vs xs
and OFSM M1
and OFSM M2
and test-tools M2 M1 FAIL PM V Ω
and V'' ∈ N (vs@xsR) M1 V
and prefix xsR xs
shows ¬ Rep-Cov M2 M1 V'' vs xsR
proof
assume Rep-Cov M2 M1 V'' vs xsR
then obtain xs' vs' s2 s1 where xs' ≠ []
  prefix xs' xsR

```

```

      vs' ∈ V''
      io-targets M2 (initial M2) (vs @ xs') = {s2}
      io-targets M2 (initial M2) (vs') = {s2}
      io-targets M1 (initial M1) (vs @ xs') = {s1}
      io-targets M1 (initial M1) (vs') = {s1}

    by auto

  then have s2 ∈ io-targets M2 (initial M2) (vs @ xs')
    s2 ∈ io-targets M2 (initial M2) (vs')
    s1 ∈ io-targets M1 (initial M1) (vs @ xs')
    s1 ∈ io-targets M1 (initial M1) (vs')
  by auto

  have vs@xs' ∈ L M1
    using io-target-implies-L[OF ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs')›] by assumption
  have vs' ∈ L M1
    using io-target-implies-L[OF ‹s1 ∈ io-targets M1 (initial M1) (vs')›] by assumption
  have vs@xs' ∈ L M2
    using io-target-implies-L[OF ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs')›] by assumption
  have vs' ∈ L M2
    using io-target-implies-L[OF ‹s2 ∈ io-targets M2 (initial M2) (vs')›] by assumption

  obtain tr1-1 where path M1 (vs@xs' || tr1-1) (initial M1)
    length tr1-1 = length (vs@xs')
    target (vs@xs' || tr1-1) (initial M1) = s1
    using ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs')› by auto
  obtain tr1-2 where path M1 (vs' || tr1-2) (initial M1)
    length tr1-2 = length (vs')
    target (vs' || tr1-2) (initial M1) = s1
    using ‹s1 ∈ io-targets M1 (initial M1) (vs')› by auto
  obtain tr2-1 where path M2 (vs@xs' || tr2-1) (initial M2)
    length tr2-1 = length (vs@xs')
    target (vs@xs' || tr2-1) (initial M2) = s2
    using ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs')› by auto
  obtain tr2-2 where path M2 (vs' || tr2-2) (initial M2)
    length tr2-2 = length (vs')
    target (vs' || tr2-2) (initial M2) = s2
    using ‹s2 ∈ io-targets M2 (initial M2) (vs')› by auto

  have productF M2 M1 FAIL PM
    using assms(4) by auto
  have well-formed M1
    using assms(2) by auto
  have well-formed M2
    using assms(3) by auto
  have observable PM
    by (meson assms(2) assms(3) assms(4) observable-productF)

  have length (vs@xs') = length tr2-1
    using ‹length tr2-1 = length (vs @ xs')› by presburger
  then have length tr2-1 = length tr1-1
    using ‹length tr1-1 = length (vs@xs')› by presburger

  have vs@xs' ∈ L PM
    using productF-path-inclusion[OF ‹length (vs@xs') = length tr2-1› ‹length tr2-1 = length tr1-1›
      ‹productF M2 M1 FAIL PM› ‹well-formed M2› ‹well-formed M1›]
    by (meson Int-iff ‹productF M2 M1 FAIL PM› ‹vs @ xs' ∈ L M1› ‹vs @ xs' ∈ L M2› ‹well-formed M1›
      ‹well-formed M2› productF-language)

  have length (vs') = length tr2-2
    using ‹length tr2-2 = length (vs')› by presburger
  then have length tr2-2 = length tr1-2
    using ‹length tr1-2 = length (vs')› by presburger

```

```

have vs' ∈ L PM
  using productF-path-inclusion[OF ‹length (vs') = length tr2-2› ‹length tr2-2 = length tr1-2›
    ‹productF M2 M1 FAIL PM› ‹well-formed M2› ‹well-formed M1›]
  by (meson Int-iff ‹productF M2 M1 FAIL PM› ‹vs' ∈ L M1› ‹vs' ∈ L M2› ‹well-formed M1›
    ‹well-formed M2› productF-language)

have io-targets PM (initial M2, initial M1) (vs @ xs') = {(s2, s1)}
  using productF-path-io-targets-reverse
    [OF ‹productF M2 M1 FAIL PM› ‹s2 ∈ io-targets M2 (initial M2) (vs @ xs')›
      ‹s1 ∈ io-targets M1 (initial M1) (vs @ xs')› ‹vs @ xs' ∈ L M2› ‹vs @ xs' ∈ L M1› ]
proof -
  have ∀ c f. c ≠ initial (f::('a, 'b, 'c) FSM) ∨ c ∈ nodes f
  by blast
  then show ?thesis
  by (metis (no-types) ‹[[observable M2; observable M1; well-formed M2; well-formed M1;
    initial M2 ∈ nodes M2; initial M1 ∈ nodes M1]]
    ⇒ io-targets PM (initial M2, initial M1) (vs @ xs') = {(s2, s1)}›
    assms(2) assms(3))
qed

have io-targets PM (initial M2, initial M1) (vs') = {(s2, s1)}
  using productF-path-io-targets-reverse
    [OF ‹productF M2 M1 FAIL PM› ‹s2 ∈ io-targets M2 (initial M2) (vs')›
      ‹s1 ∈ io-targets M1 (initial M1) (vs')› ‹vs' ∈ L M2› ‹vs' ∈ L M1› ]
proof -
  have ∀ c f. c ≠ initial (f::('a, 'b, 'c) FSM) ∨ c ∈ nodes f
  by blast
  then show ?thesis
  by (metis (no-types) ‹[[observable M2; observable M1; well-formed M2; well-formed M1;
    initial M2 ∈ nodes M2; initial M1 ∈ nodes M1]]
    ⇒ io-targets PM (initial M2, initial M1) (vs') = {(s2, s1)}›
    assms(2) assms(3))
qed

have io-targets PM (initial PM) (vs') = {(s2, s1)}
  by (metis (no-types) ‹io-targets PM (initial M2, initial M1) vs' = {(s2, s1)}›
    ‹productF M2 M1 FAIL PM› productF-simps(4))

have sequence-to-failure M1 M2 (vs@xs)
  using assms(1) by auto

have xs = xs' @ (drop (length xs') xs)
  by (metis ‹prefix xs' xsR› append-assoc append-eq-conv-conj assms(6) prefixE)
then have io-targets PM (initial M2, initial M1) (vs @ xs' @ (drop (length xs') xs)) = {FAIL}
  by (metis ‹productF M2 M1 FAIL PM› ‹sequence-to-failure M1 M2 (vs @ xs)› assms(2) assms(3)
    productF-simps(4) sequence-to-failure-reaches-FAIL-ob)
then have io-targets PM (initial M2, initial M1) ((vs @ xs') @ (drop (length xs') xs)) = {FAIL}
  by auto
have io-targets PM (s2, s1) (drop (length xs') xs) = {FAIL}
  using observable-io-targets-split
    [OF ‹observable PM›
      ‹io-targets PM (initial M2, initial M1) ((vs @ xs') @ (drop (length xs') xs)) = {FAIL}›
      ‹io-targets PM (initial M2, initial M1) (vs @ xs') = {(s2, s1)}›]
  by assumption

have io-targets PM (initial PM) (vs' @ (drop (length xs') xs)) = {FAIL}
  using observable-io-targets-append
    [OF ‹observable PM› ‹io-targets PM (initial PM) (vs') = {(s2, s1)}›
      ‹io-targets PM (s2, s1) (drop (length xs') xs) = {FAIL}›]
  by assumption

have sequence-to-failure M1 M2 (vs' @ (drop (length xs') xs))
  using sequence-to-failure-alt-def

```



```

[OF <io-targets PM (initial PM) (vs' @ (drop (length xs') xs)) = {FAIL}> assms(2,3)]
  assms(4)
by blast

have length (drop (length xs') xs) < length xs
  by (metis (no-types) <xs = xs' @ drop (length xs') xs> <xs' ≠ []> length-append
    length-greater-0-conv less-add-same-cancel2)

have vs' ∈ Lin M1 V
proof -
  have V'' ∈ Perm V M1
    using assms(5) unfolding N.simps by blast

  then obtain f where f-def : V'' = image f V
    ∧ (∀ v ∈ V . f v ∈ language-state-for-input M1 (initial M1) v)
    unfolding Perm.simps by blast
  then obtain v where v ∈ V vs' = f v
    using <vs' ∈ V''> by auto
  then have vs' ∈ language-state-for-input M1 (initial M1) v
    using f-def by auto

  have language-state-for-input M1 (initial M1) v = Lin M1 {v}
    by auto
  moreover have {v} ⊆ V
    using <v ∈ V> by blast
  ultimately have language-state-for-input M1 (initial M1) v ⊆ Lin M1 V
    unfolding language-state-for-inputs.simps language-state-for-input.simps by blast
  then show ?thesis
    using <vs' ∈ language-state-for-input M1 (initial M1) v> by blast
qed

have ¬ minimal-sequence-to-failure-extending V M1 M2 vs xs
  using <vs' ∈ Lin M1 V>
    <sequence-to-failure M1 M2 (vs' @ (drop (length xs') xs))>
    <length (drop (length xs') xs) < length xs>
  using minimal-sequence-to-failure-extending.elims(2) by blast
then show False
  using assms(1) by linarith
qed

```

```

lemma mstfe-no-repetition :
  assumes minimal-sequence-to-failure-extending V M1 M2 vs xs
  and OFSM M1
  and OFSM M2
  and test-tools M2 M1 FAIL PM V Ω
  and V'' ∈ N (vs@xs') M1 V
  and prefix xs' xs
shows ¬ Rep-Pre M2 M1 vs xs'
  and ¬ Rep-Cov M2 M1 V'' vs xs'
  using minimal-sequence-to-failure-extending-implies-Rep-Pre[OF assms]
    minimal-sequence-to-failure-extending-implies-Rep-Cov[OF assms]
  by linarith+

```

6.2 Sufficiency of the test suite to test for reduction

The following lemma proves that set of input sequences generated in the final iteration of the TS function constitutes a test suite sufficient to test for reduction the FSMs it has been generated for.

This proof is performed by contradiction: If the test suite is not sufficient, then some minimal sequence to a failure extending the deterministic state cover must exist. Due to the test suite being assumed insufficient, this sequence cannot be contained in it and hence a prefix of it must have been contained in one of the sets calculated by the R function. This is only possible if the prefix is not a minimal sequence to a failure extending the deterministic state cover or if the test suite observes a failure, both of which violates the assumptions.

```

lemma asc-sufficiency :
  assumes OFSM M1
  and OFSM M2
  and asc-fault-domain M2 M1 m
  and test-tools M2 M1 FAIL PM V Ω
  and final-iteration M2 M1 Ω V m i
shows M1 ≼[[ (TS M2 M1 Ω V m i) . Ω ]] M2 ⟶ M1 ≼ M2
proof
  assume atc-io-reduction-on-sets M1 (TS M2 M1 Ω V m i) Ω M2
  show M1 ≼ M2
  proof (rule ccontr)

    let ?TS = λ n . TS M2 M1 Ω V m n
    let ?C = λ n . C M2 M1 Ω V m n
    let ?RM = λ n . RM M2 M1 Ω V m n

    assume ¬ M1 ≼ M2
    obtain vs xs where minimal-sequence-to-failure-extending V M1 M2 vs xs
      using assms(1) assms(2) assms(4)
      minimal-sequence-to-failure-extending-det-state-cover-ob[OF - - - <¬ M1 ≼ M2>, of V]
      by blast

    then have vs ∈ Lin M1 V
      sequence-to-failure M1 M2 (vs @ xs)
      ¬ (∃ io' . ∃ w' ∈ Lin M1 V . sequence-to-failure M1 M2 (w' @ io')
        ∧ length io' < length xs)

    by auto

    then have vs@xs ∈ L M1 - L M2
      by auto

    have vs@xs ∈ Lin M1 {map fst (vs@xs)}
      by (metis (full-types) Diff-iff <vs @ xs ∈ L M1 - L M2> insertI1
        language-state-for-inputs-map-fst)

    have vs@xs ∉ Lin M2 {map fst (vs@xs)}
      by (meson Diff-iff <vs @ xs ∈ L M1 - L M2> language-state-for-inputs-in-language-state
        subsetCE)

    have finite V
      using det-state-cover-finite assms(4,2) by auto
    then have finite (?TS i)
      using TS-finite[of V M2] assms(2) by auto
    then have io-reduction-on M1 (?TS i) M2
      using io-reduction-from-atc-io-reduction
      [OF <atc-io-reduction-on-sets M1 (TS M2 M1 Ω V m i) Ω M2>]
      by auto

    have map fst (vs@xs) ∉ ?TS i
    proof -
      have f1: ∀ ps P Pa. (ps::('a × 'b) list) ∉ P - Pa ∨ ps ∈ P ∧ ps ∉ Pa
        by blast
      have ∀ P Pa ps. ¬ P ⊆ Pa ∨ (ps::('a × 'b) list) ∈ Pa ∨ ps ∉ P
        by blast
      then show ?thesis
        using f1 by (metis (no-types) <vs @ xs ∈ L M1 - L M2> <io-reduction-on M1 (?TS i) M2>
          language-state-for-inputs-in-language-state language-state-for-inputs-map-fst)
    qed

    have map fst vs ∈ V
      using <vs ∈ Lin M1 V> by auto

    let ?stf = map fst (vs@xs)
    let ?stfV = map fst vs
    let ?stfX = map fst xs

```

```

have ?stf = ?stfV @ ?stfX
  by simp

then have ?stfV @ ?stfX  $\notin$  ?TS i
  using  $\langle ?stf \notin ?TS \ i \rangle$  by auto

have mcp (?stfV @ ?stfX) V ?stfV
  by (metis  $\langle \text{map fst } (vs @ xs) = \text{map fst } vs @ \text{map fst } xs \rangle$ 
     $\langle \text{minimal-sequence-to-failure-extending } V \ M1 \ M2 \ vs \ xs \rangle$  assms(1) assms(2) assms(4)
    minimal-sequence-to-failure-extending-mcp)

have set ?stf  $\subseteq$  inputs M1
  by (meson DiffD1  $\langle vs @ xs \in L \ M1 - L \ M2 \rangle$  assms(1) language-state-inputs)
then have set ?stf  $\subseteq$  inputs M2
  using assms(3) by blast
moreover have set ?stf = set ?stfV  $\cup$  set ?stfX
  by simp
ultimately have set ?stfX  $\subseteq$  inputs M2
  by blast

obtain x j where x  $\neq$  ?stfX
  prefix x ?stfX
  Suc j  $\leq$  i
  ?stfV@x  $\in$  RM M2 M1  $\Omega$  V m (Suc j)
  using TS-non-containment-causes-final-suc[OF  $\langle ?stfV @ ?stfX \notin ?TS \ i \rangle$ 
     $\langle \text{mcp } (?stfV @ ?stfX) \ V \ ?stfV \rangle$   $\langle \text{set } ?stfX \subseteq \text{inputs } M2 \rangle$  assms(5,2)]
  by blast

let ?yr = take (length x) (map snd xs)
have length ?yr = length x
  using  $\langle \text{prefix } x \ (\text{map fst } xs) \rangle$  prefix-length-le by fastforce
have (x || ?yr) = take (length x) xs
  by (metis (no-types, opaque-lifting)  $\langle \text{prefix } x \ (\text{map fst } xs) \rangle$  append-eq-conv-conj prefixE take-zip
    zip-map-fst-snd)

have prefix (vs@(x || ?yr)) (vs@xs)
  by (simp add:  $\langle x || \text{take } (\text{length } x) \ (\text{map snd } xs) = \text{take } (\text{length } x) \ xs \rangle$  take-is-prefix)

have x = take (length x) (map fst xs)
  by (metis  $\langle \text{length } (\text{take } (\text{length } x) \ (\text{map snd } xs)) = \text{length } x \rangle$ 
     $\langle x || \text{take } (\text{length } x) \ (\text{map snd } xs) = \text{take } (\text{length } x) \ xs \rangle$  map-fst-zip take-map)

have vs@(x || ?yr)  $\in$  L M1
  by (metis DiffD1  $\langle \text{prefix } (vs @ (x || \text{take } (\text{length } x) \ (\text{map snd } xs))) \ (vs @ xs) \rangle$ 
     $\langle vs @ xs \in L \ M1 - L \ M2 \rangle$  language-state-prefix prefixE)

then have vs@(x || ?yr)  $\in$  Lin M1 {?stfV @ x}
  by (metis  $\langle \text{length } (\text{take } (\text{length } x) \ (\text{map snd } xs)) = \text{length } x \rangle$  insertI1
    language-state-for-inputs-map-fst map-append map-fst-zip)

have length x < length xs
  by (metis  $\langle x = \text{take } (\text{length } x) \ (\text{map fst } xs) \rangle$   $\langle x \neq \text{map fst } xs \rangle$  not-le-imp-less take-all
    take-map)

from  $\langle ?stfV@x \in RM \ M2 \ M1 \ \Omega \ V \ m \ (Suc \ j) \rangle$  have ?stfV@x  $\in$  {x'  $\in$  C M2 M1  $\Omega$  V m (Suc j) .
  ( $\neg$  (Lin M1 {x'}  $\subseteq$  Lin M2 {x'}))
   $\vee$  ( $\forall$  io  $\in$  Lin M1 {x'} .
    ( $\exists$  V''  $\in$  N io M1 V .
      ( $\exists$  S1 .
        ( $\exists$  vs xs .
          io = (vs@xs)
           $\wedge$  mcp (vs@xs) V'' vs

```

$\wedge S1 \subseteq \text{nodes } M2$
 $\wedge (\forall s1 \in S1 . \forall s2 \in S1 .$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP\ M2\ s1\ vs\ xs\ V'' .$
 $\forall io2 \in RP\ M2\ s2\ vs\ xs\ V'' .$
 $B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega))$
 $\wedge m < LB\ M2\ M1\ vs\ xs\ (TS\ M2\ M1\ \Omega\ V\ m\ j \cup V)\ S1\ \Omega\ V''))))\}$
unfolding *RM.simps* **by** *blast*

moreover have $\forall xs' \in ?C\ (Suc\ j) . L_{in}\ M1\ \{xs'\} \subseteq L_{in}\ M2\ \{xs'\}$

proof

fix xs' **assume** $xs' \in ?C\ (Suc\ j)$
from $\langle Suc\ j \leq i \rangle$ **have** $?C\ (Suc\ j) \subseteq ?TS\ i$
using *C-subset TS-subset* **by** *blast*
then have $\{xs'\} \subseteq ?TS\ i$
using $\langle xs' \in ?C\ (Suc\ j) \rangle$ **by** *blast*
show $L_{in}\ M1\ \{xs'\} \subseteq L_{in}\ M2\ \{xs'\}$
using *io-reduction-on-subset*[*OF* $\langle io\text{-reduction-on}\ M1\ (?TS\ i)\ M2 \rangle$ $\langle \{xs'\} \subseteq ?TS\ i \rangle$]
by *assumption*

qed

ultimately have $(\forall io \in L_{in}\ M1\ \{?stfV@xr\} .$

$(\exists V'' \in N\ io\ M1\ V .$

$(\exists S1 .$

$(\exists vs\ xs .$

$io = (vs@xs)$

$\wedge mcp\ (vs@xs)\ V''\ vs$

$\wedge S1 \subseteq \text{nodes } M2$

$\wedge (\forall s1 \in S1 . \forall s2 \in S1 .$

$s1 \neq s2 \longrightarrow$

$(\forall io1 \in RP\ M2\ s1\ vs\ xs\ V'' .$

$\forall io2 \in RP\ M2\ s2\ vs\ xs\ V'' .$

$B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega))$

$\wedge m < LB\ M2\ M1\ vs\ xs\ (TS\ M2\ M1\ \Omega\ V\ m\ j \cup V)\ S1\ \Omega\ V''))))$

by *blast*

then have

$(\exists V'' \in N\ (vs@(xr \parallel ?yr))\ M1\ V .$

$(\exists S1 .$

$(\exists vs'\ xs' .$

$vs@(xr \parallel ?yr) = (vs'@xs')$

$\wedge mcp\ (vs'@xs')\ V''\ vs'$

$\wedge S1 \subseteq \text{nodes } M2$

$\wedge (\forall s1 \in S1 . \forall s2 \in S1 .$

$s1 \neq s2 \longrightarrow$

$(\forall io1 \in RP\ M2\ s1\ vs'\ xs'\ V'' .$

$\forall io2 \in RP\ M2\ s2\ vs'\ xs'\ V'' .$

$B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega))$

$\wedge m < LB\ M2\ M1\ vs'\ xs'\ (TS\ M2\ M1\ \Omega\ V\ m\ j \cup V)\ S1\ \Omega\ V''))$

using $\langle vs@(xr \parallel ?yr) \in L_{in}\ M1\ \{?stfV\ @\ xr\} \rangle$

by *blast*

then obtain $V''\ S1\ vs'\ xs'$ **where** *RM-impl* :

$V'' \in N\ (vs@(xr \parallel ?yr))\ M1\ V$

$vs@(xr \parallel ?yr) = (vs'@xs')$

$mcp\ (vs'@xs')\ V''\ vs'$

$S1 \subseteq \text{nodes } M2$

$(\forall s1 \in S1 . \forall s2 \in S1 .$

$s1 \neq s2 \longrightarrow$

$(\forall io1 \in RP\ M2\ s1\ vs'\ xs'\ V'' .$

$\forall io2 \in RP\ M2\ s2\ vs'\ xs'\ V'' .$

$B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega))$

$m < LB\ M2\ M1\ vs'\ xs'\ (TS\ M2\ M1\ \Omega\ V\ m\ j \cup V)\ S1\ \Omega\ V''$

by *blast*

```

have ?stfV = mcp' (map fst (vs @ (xr || take (length xr) (map snd xs)))) V
by (metis (full-types) ⟨length (take (length xr) (map snd xs)) = length xr⟩
    ⟨mcp (map fst vs @ map fst xs) V (map fst vs)⟩ ⟨prefix xr (map fst xs)⟩ map-append
    map-fst-zip mcp'-intro mcp-prefix-of-suffix)

have is-det-state-cover M2 V
using assms(4) by blast
moreover have well-formed M2
using assms(2) by auto
moreover have finite V
using det-state-cover-finite assms(4,2) by auto
ultimately have vs ∈ V''
    vs = mcp' (vs @ (xr || take (length xr) (map snd xs))) V''
using N-mcp-prefix[OF ⟨?stfV = mcp' (map fst (vs @ (xr || take (length xr) (map snd xs)))) V⟩
    ⟨V'' ∈ N (vs@(xr || ?yr)) M1 V⟩, of M2]
by simp+

have vs' = vs
by (metis (no-types) ⟨mcp (vs' @ xs') V'' vs'⟩
    ⟨vs = mcp' (vs @ (xr || take (length xr) (map snd xs))) V''⟩
    ⟨vs @ (xr || take (length xr) (map snd xs)) = vs' @ xs'⟩ mcp'-intro)

then have xs' = (xr || ?yr)
using ⟨vs @ (xr || take (length xr) (map snd xs)) = vs' @ xs'⟩ by blast

have V ⊆ ?TS i
proof –
have 1 ≤ i
using ⟨Suc j ≤ i⟩ by linarith
then have ?TS 1 ⊆ ?TS i
using TS-subset by blast
then show ?thesis
by auto
qed

have ?stfV@xr ∈ ?C (Suc j)
using ⟨?stfV@xr ∈ RM M2 M1 Ω V m (Suc j)⟩ unfolding RM.simps by blast

— show that the prerequisites (Prereq) for LB are met by construction

have (∀ vs'a ∈ V''. prefix vs'a (vs' @ xs') ⟶ length vs'a ≤ length vs')
using ⟨mcp (vs' @ xs') V'' vs'⟩ by auto

moreover have atc-io-reduction-on-sets M1 (?TS j ∪ V) Ω M2
proof –
have j < i
using ⟨Suc j ≤ i⟩ by auto
then have ?TS j ⊆ ?TS i
by (simp add: TS-subset)
then show ?thesis
using atc-io-reduction-on-subset
    [OF ⟨atc-io-reduction-on-sets M1 (TS M2 M1 Ω V m i) Ω M2⟩, of ?TS j]
by (meson Un-subset-iff ⟨V ⊆ ?TS i⟩ ⟨atc-io-reduction-on-sets M1 (TS M2 M1 Ω V m i) Ω M2⟩
    atc-io-reduction-on-subset)
qed

moreover have finite (?TS j ∪ V)
proof –
have finite (?TS j)
using TS-finite[OF ⟨finite V⟩, of M2 M1 Ω m j] assms(2) by auto
then show ?thesis
using ⟨finite V⟩ by blast
qed

```

```

moreover have  $V \subseteq ?TS\ j \cup V$ 
by blast

moreover have  $(\forall\ p.\ (prefix\ p\ xs' \wedge p \neq xs') \longrightarrow map\ fst\ (vs' @ p) \in ?TS\ j \cup V)$ 
proof
  fix  $p$ 
  show  $prefix\ p\ xs' \wedge p \neq xs' \longrightarrow map\ fst\ (vs' @ p) \in TS\ M2\ M1\ \Omega\ V\ m\ j \cup V$ 
  proof
    assume  $prefix\ p\ xs' \wedge p \neq xs'$ 

    have  $prefix\ (map\ fst\ (vs' @ p))\ (map\ fst\ (vs' @ xs'))$ 
      by (simp add: <prefix p xs' ∧ p ≠ xs'> map-mono-prefix)
    have  $prefix\ (map\ fst\ (vs' @ p))\ (?stfV @ xr)$ 
      using  $\langle length\ (take\ (length\ xr)\ (map\ snd\ xs)) = length\ xr \rangle$ 
         $\langle prefix\ (map\ fst\ (vs' @ p))\ (map\ fst\ (vs' @ xs')) \rangle$ 
         $\langle vs' = vs \rangle \langle xs' = xr \parallel take\ (length\ xr)\ (map\ snd\ xs) \rangle$ 
      by auto
    then have  $prefix\ (map\ fst\ vs' @ map\ fst\ p)\ (?stfV @ xr)$ 
      by simp
    then have  $prefix\ (map\ fst\ p)\ xr$ 
      by (simp add: <vs' = vs>)

    have  $?stfV @ xr \in ?TS\ (Suc\ j)$ 
    proof (cases j)
      case 0
        then show ?thesis
          using  $\langle map\ fst\ vs @ xr \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ j) \rangle$  by auto
      next
        case (Suc nat)
          then show ?thesis
            using  $TS.simps(3)\ \langle map\ fst\ vs @ xr \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ j) \rangle$  by blast
    qed

    have  $mcp\ (map\ fst\ vs @ xr)\ V\ (map\ fst\ vs)$ 
      using  $\langle mcp\ (map\ fst\ vs @ map\ fst\ xs)\ V\ (map\ fst\ xs) \rangle \langle prefix\ xr\ (map\ fst\ xs) \rangle$ 
        mcp-prefix-of-suffix
      by blast

    have  $map\ fst\ vs @ map\ fst\ p \in TS\ M2\ M1\ \Omega\ V\ m\ (Suc\ j)$ 
      using  $TS.prefix-containment[OF\ \langle ?stfV @ xr \in ?TS\ (Suc\ j) \rangle$ 
         $\langle mcp\ (map\ fst\ vs @ xr)\ V\ (map\ fst\ vs) \rangle$ 
         $\langle prefix\ (map\ fst\ p)\ xr \rangle]$ 
      by assumption

    have  $Suc\ (length\ xr) = (Suc\ j)$ 
      using  $C-index[OF\ \langle ?stfV @ xr \in ?C\ (Suc\ j) \rangle \langle mcp\ (map\ fst\ vs @ xr)\ V\ (map\ fst\ vs) \rangle]$ 
      by assumption

    have  $Suc\ (length\ p) < (Suc\ j)$ 
    proof –
      have  $map\ fst\ xs' = xr$ 
        by (metis <xr = take (length xr) (map fst xs)>
           $\langle xr \parallel take\ (length\ xr)\ (map\ snd\ xs) = take\ (length\ xr)\ xs \rangle$ 
           $\langle xs' = xr \parallel take\ (length\ xr)\ (map\ snd\ xs) \rangle$  take-map)
      then show ?thesis
        by (metis (no-types) Suc-less-eq <Suc (length xr) = Suc j> <prefix p xs' ∧ p ≠ xs'>
          append-eq-conv-conj length-map nat-less-le prefixE prefix-length-le take-all)
    qed

    have  $mcp\ (map\ fst\ vs @ map\ fst\ p)\ V\ (map\ fst\ vs)$ 
      using  $\langle mcp\ (map\ fst\ vs @ xr)\ V\ (map\ fst\ vs) \rangle \langle prefix\ (map\ fst\ p)\ xr \rangle$  mcp-prefix-of-suffix
      by blast

    then have  $map\ fst\ vs @ map\ fst\ p \in ?C\ (Suc\ (length\ (map\ fst\ p)))$ 

```

```

using TS-index(2)[OF ⟨map fst vs @ map fst p ∈ TS M2 M1 Ω V m (Suc j)⟩] by auto

have map fst vs @ map fst p ∈ ?TS j
  using TS-union[of M2 M1 Ω V m j]
proof -
  have Suc (length p) ∈ {0.. $Suc\ j$ }
  using ⟨Suc (length p) < Suc j⟩ by force
  then show ?thesis
    by (metis UN-I ⟨TS M2 M1 Ω V m j = (⋃ $j \in set\ [0.. $Suc\ j$ ]. C\ M2\ M1\ \Omega\ V\ m\ j)⟩$ 
      ⟨map fst vs @ map fst p ∈ C M2 M1 Ω V m (Suc (length (map fst p)))⟩
      length-map set-upt)
qed

then show map fst (vs' @ p) ∈ TS M2 M1 Ω V m j ∪ V
  by (simp add: ⟨vs' = vs⟩)
qed
qed

```

```

moreover have vs' @ xs' ∈ L M2 ∩ L M1
  by (metis (no-types, lifting) IntI RM-impl(2)
    ⟨∀ $xs' \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ j).$  Lin M1 {xs'} ⊆ Lin M2 {xs'}⟩
    ⟨map fst vs @ xr ∈ C M2 M1 Ω V m (Suc j)⟩
    ⟨vs @ (xr || take (length xr) (map snd xs)) ∈ Lin M1 {map fst vs @ xr}⟩
    language-state-for-inputs-in-language-state subsetCE)

```

```

ultimately have Prereq M2 M1 vs' xs' (?TS j ∪ V) S1 Ω V''
  using RM-impl(4,5) unfolding Prereq.simps by blast

```

```

have V'' ∈ Perm V M1
  using ⟨V'' ∈ N (vs@(xr || ?yr)) M1 V⟩ unfolding N.simps by blast

```

```

have ⟨prefix (xr || ?yr) xs⟩
  by (simp add: ⟨xr || take (length xr) (map snd xs) = take (length xr) xs⟩ take-is-prefix)

```

— show that furthermore neither **Rep_Pre** nor **Rep_Cov** holds

```

have ¬ Rep-Pre M2 M1 vs (xr || ?yr)
  using minimal-sequence-to-failure-extending-implies-Rep-Pre
    [OF ⟨minimal-sequence-to-failure-extending V M1 M2 vs xs⟩ assms(1,2)
      ⟨test-tools M2 M1 FAIL PM V Ω⟩ RM-impl(1)
      ⟨prefix (xr || take (length xr) (map snd xs)) xs⟩]
  by assumption
then have ¬ Rep-Pre M2 M1 vs' xs'
  using ⟨vs' = vs⟩ ⟨xs' = xr || ?yr⟩ by blast

```

```

have ¬ Rep-Cov M2 M1 V'' vs (xr || ?yr)
  using minimal-sequence-to-failure-extending-implies-Rep-Cov
    [OF ⟨minimal-sequence-to-failure-extending V M1 M2 vs xs⟩ assms(1,2)
      ⟨test-tools M2 M1 FAIL PM V Ω⟩ RM-impl(1)
      ⟨prefix (xr || take (length xr) (map snd xs)) xs⟩]
  by assumption
then have ¬ Rep-Cov M2 M1 V'' vs' xs'
  using ⟨vs' = vs⟩ ⟨xs' = xr || ?yr⟩ by blast

```

```

have vs'@xs' ∈ L M1
  using ⟨vs @ (xr || take (length xr) (map snd xs)) ∈ L M1⟩
    ⟨vs' = vs⟩ ⟨xs' = xr || take (length xr) (map snd xs)⟩
  by blast

```

— therefore it is impossible to remove the prefix of the minimal sequence to a failure, as this would require **M1** to have more than m states

```

have  $LB\ M2\ M1\ vs'\ xs'\ (?TS\ j\ \cup\ V)\ S1\ \Omega\ V'' \leq card\ (nodes\ M1)$ 
using  $LB\text{-}count[OF\ \langle vs' @ xs' \in L\ M1 \rangle\ assms(1,2,3)\ \langle test\text{-}tools\ M2\ M1\ FAIL\ PM\ V\ \Omega \rangle$ 
 $\langle V'' \in Perm\ V\ M1 \rangle\ \langle Prereq\ M2\ M1\ vs'\ xs'\ (?TS\ j\ \cup\ V)\ S1\ \Omega\ V'' \rangle$ 
 $\langle \neg Rep\text{-}Pre\ M2\ M1\ vs'\ xs' \rangle\ \langle \neg Rep\text{-}Cov\ M2\ M1\ V''\ vs'\ xs' \rangle]$ 
by assumption
then have  $LB\ M2\ M1\ vs'\ xs'\ (?TS\ j\ \cup\ V)\ S1\ \Omega\ V'' \leq m$ 
using  $assms(3)$  by linarith

then show False
using  $\langle m < LB\ M2\ M1\ vs'\ xs'\ (?TS\ j\ \cup\ V)\ S1\ \Omega\ V'' \rangle$  by linarith
qed
qed

```

6.3 Main result

The following lemmata add to the previous result to show that some FSM $M1$ is a reduction of FSM $M2$ if and only if it is a reduction on the test suite generated by the adaptive state counting algorithm for these FSMs.

```

lemma asc-soundness :
assumes  $OFSM\ M1$ 
and  $OFSM\ M2$ 
shows  $M1 \preceq M2 \longrightarrow atc\text{-}io\text{-}reduction\text{-}on\text{-}sets\ M1\ T\ \Omega\ M2$ 
using atc-io-reduction-on-sets-reduction  $assms$  by blast

```

```

lemma asc-main-theorem :
assumes  $OFSM\ M1$ 
and  $OFSM\ M2$ 
and  $asc\text{-}fault\text{-}domain\ M2\ M1\ m$ 
and  $test\text{-}tools\ M2\ M1\ FAIL\ PM\ V\ \Omega$ 
and  $final\text{-}iteration\ M2\ M1\ \Omega\ V\ m\ i$ 
shows  $M1 \preceq M2 \longleftrightarrow atc\text{-}io\text{-}reduction\text{-}on\text{-}sets\ M1\ (TS\ M2\ M1\ \Omega\ V\ m\ i)\ \Omega\ M2$ 
by (metis asc-sufficiency  $assms(1-5)$  atc-io-reduction-on-sets-reduction)

```

```

end
theory ASC-Hoare
imports ASC-Sufficiency HOL-Hoare.Hoare-Logic
begin

```

7 Correctness of the Adaptive State Counting Algorithm in Hoare-Logic

In this section we give an example implementation of the adaptive state counting algorithm in a simple WHILE-language and prove that this implementation produces a certain output if and only if input FSM $M1$ is a reduction of input FSM $M2$.

```

lemma atc-io-reduction-on-sets-from-obs :
assumes  $L_{in}\ M1\ T \subseteq L_{in}\ M2\ T$ 
and  $(\bigcup_{io \in L_{in}} M1\ T.\ \{io\} \times B\ M1\ io\ \Omega) \subseteq (\bigcup_{io \in L_{in}} M2\ T.\ \{io\} \times B\ M2\ io\ \Omega)$ 
shows atc-io-reduction-on-sets  $M1\ T\ \Omega\ M2$ 
unfolding atc-io-reduction-on-sets.simps atc-io-reduction-on.simps
proof
fix iseq assume  $iseq \in T$ 
have  $L_{in}\ M1\ \{iseq\} \subseteq L_{in}\ M2\ \{iseq\}$ 
by (metis  $\langle iseq \in T \rangle\ assms(1)$  bot.extremum insert-mono io-reduction-on-subset
mk-disjoint-insert)
moreover have  $\forall io \in L_{in}\ M1\ \{iseq\}.\ B\ M1\ io\ \Omega \subseteq B\ M2\ io\ \Omega$ 
proof
fix io assume  $io \in L_{in}\ M1\ \{iseq\}$ 
then have  $io \in L_{in}\ M2\ \{iseq\}$ 

```



```

    using calculation by blast
show  $B \ M1 \ io \ \Omega \subseteq B \ M2 \ io \ \Omega$ 
proof
  fix  $x$  assume  $x \in B \ M1 \ io \ \Omega$ 

  have  $io \in L_{in} \ M1 \ T$ 
    using  $\langle io \in L_{in} \ M1 \ \{iseq\} \rangle \langle iseq \in T \rangle$  by auto
  moreover have  $(io, x) \in \{io\} \times B \ M1 \ io \ \Omega$ 
    using  $\langle x \in B \ M1 \ io \ \Omega \rangle$  by blast
  ultimately have  $(io, x) \in (\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega)$ 
    by blast

  then have  $(io, x) \in (\bigcup_{io \in L_{in} \ M2 \ T}. \{io\} \times B \ M2 \ io \ \Omega)$ 
    using assms(2) by blast
  then have  $(io, x) \in \{io\} \times B \ M2 \ io \ \Omega$ 
    by blast
  then show  $x \in B \ M2 \ io \ \Omega$ 
    by blast
qed
qed
ultimately show  $L_{in} \ M1 \ \{iseq\} \subseteq L_{in} \ M2 \ \{iseq\}$ 
   $\wedge (\forall io \in L_{in} \ M1 \ \{iseq\}. B \ M1 \ io \ \Omega \subseteq B \ M2 \ io \ \Omega)$ 
  by linarith
qed

lemma atc-io-reduction-on-sets-to-obs :
  assumes atc-io-reduction-on-sets  $M1 \ T \ \Omega \ M2$ 
shows  $L_{in} \ M1 \ T \subseteq L_{in} \ M2 \ T$ 
  and  $(\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega) \subseteq (\bigcup_{io \in L_{in} \ M2 \ T}. \{io\} \times B \ M2 \ io \ \Omega)$ 
proof
  fix  $x$  assume  $x \in L_{in} \ M1 \ T$ 
  show  $x \in L_{in} \ M2 \ T$ 
    using assms unfolding atc-io-reduction-on-sets.simps atc-io-reduction-on.simps
  proof -
    assume a1:  $\forall iseq \in T. L_{in} \ M1 \ \{iseq\} \subseteq L_{in} \ M2 \ \{iseq\}$ 
       $\wedge (\forall io \in L_{in} \ M1 \ \{iseq\}. B \ M1 \ io \ \Omega \subseteq B \ M2 \ io \ \Omega)$ 
    have f2:  $x \in UNION \ T \ (language-state-for-input \ M1 \ (initial \ M1))$ 
      by (metis (no-types)  $\langle x \in L_{in} \ M1 \ T \rangle \ language-state-for-inputs-alt-def$ )
    obtain aas ::  $'a \ list \ set \Rightarrow ('a \ list \Rightarrow ('a \times 'b) \ list \ set) \Rightarrow ('a \times 'b) \ list \Rightarrow 'a \ list$ 
      where
         $\forall x0 \ x1 \ x2. (\exists v3. v3 \in x0 \wedge x2 \in x1 \ v3) = (aas \ x0 \ x1 \ x2 \in x0 \wedge x2 \in x1 \ (aas \ x0 \ x1 \ x2))$ 
      by moura
    then have  $\forall ps \ f \ A. (ps \notin UNION \ A \ f \vee aas \ A \ f \ ps \in A \wedge ps \in f \ (aas \ A \ f \ ps))$ 
       $\wedge (ps \in UNION \ A \ f \vee (\forall as. as \notin A \vee ps \notin f \ as))$ 
      by blast
    then show ?thesis
      using f2 a1 by (metis (no-types) contra-subsetD language-state-for-input-alt-def
        language-state-for-inputs-alt-def)
  qed
next
show  $(\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega) \subseteq (\bigcup_{io \in L_{in} \ M2 \ T}. \{io\} \times B \ M2 \ io \ \Omega)$ 
proof
  fix iox assume iox  $\in (\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega)$ 
  then obtain io  $x$  where iox  $= (io, x)$ 
    by blast

  have  $io \in L_{in} \ M1 \ T$ 
    using  $\langle iox = (io, x) \rangle \langle iox \in (\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega) \rangle$  by blast
  have  $(io, x) \in \{io\} \times B \ M1 \ io \ \Omega$ 
    using  $\langle iox = (io, x) \rangle \langle iox \in (\bigcup_{io \in L_{in} \ M1 \ T}. \{io\} \times B \ M1 \ io \ \Omega) \rangle$  by blast
  then have  $x \in B \ M1 \ io \ \Omega$ 
    by blast

  then have  $x \in B \ M2 \ io \ \Omega$ 
    using assms unfolding atc-io-reduction-on-sets.simps atc-io-reduction-on.simps

```

by (metis (no-types, lifting) UN-E $\langle io \in L_{in} \ M1 \ T \rangle$ language-state-for-input-alt-def
 language-state-for-inputs-alt-def subsetCE)
 then have $(io, x) \in \{io\} \times B \ M2 \ io \ \Omega$
 by blast
 then have $(io, x) \in (\bigcup_{io \in L_{in}} M2 \ T. \{io\} \times B \ M2 \ io \ \Omega)$
 using $\langle io \in L_{in} \ M1 \ T \rangle$ by auto
 then show $iox \in (\bigcup_{io \in L_{in}} M2 \ T. \{io\} \times B \ M2 \ io \ \Omega)$
 using $\langle iox = (io, x) \rangle$ by auto
 qed
 qed

lemma atc-io-reduction-on-sets-alt-def :
shows atc-io-reduction-on-sets $M1 \ T \ \Omega \ M2 =$
 $(L_{in} \ M1 \ T \subseteq L_{in} \ M2 \ T$
 $\wedge (\bigcup_{io \in L_{in}} M1 \ T. \{io\} \times B \ M1 \ io \ \Omega)$
 $\subseteq (\bigcup_{io \in L_{in}} M2 \ T. \{io\} \times B \ M2 \ io \ \Omega))$
using atc-io-reduction-on-sets-to-obs[*of* $M1 \ T \ \Omega \ M2$]
and atc-io-reduction-on-sets-from-obs[*of* $M1 \ T \ M2 \ \Omega$]
by blast

lemma asc-algorithm-correctness:
 VARS $tsN \ cN \ rmN \ obs \ obsI \ obs_{\Omega} \ obsI_{\Omega} \ iter$ isReduction
 {
 OFSM $M1 \wedge$ OFSM $M2 \wedge$ asc-fault-domain $M2 \ M1 \ m \wedge$ test-tools $M2 \ M1 \ FAIL \ PM \ V \ \Omega$
 }
 $tsN := \{\};$
 $cN := V;$
 $rmN := \{\};$
 $obs := L_{in} \ M2 \ cN;$
 $obsI := L_{in} \ M1 \ cN;$
 $obs_{\Omega} := (\bigcup_{io \in L_{in}} M2 \ cN. \{io\} \times B \ M2 \ io \ \Omega);$
 $obsI_{\Omega} := (\bigcup_{io \in L_{in}} M1 \ cN. \{io\} \times B \ M1 \ io \ \Omega);$
 $iter := 1;$
 WHILE $(cN \neq \{\}) \wedge obsI \subseteq obs \wedge obsI_{\Omega} \subseteq obs_{\Omega}$
 INV {
 $0 < iter$
 $\wedge tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (iter-1)$
 $\wedge cN = C \ M2 \ M1 \ \Omega \ V \ m \ iter$
 $\wedge rmN = RM \ M2 \ M1 \ \Omega \ V \ m \ (iter-1)$
 $\wedge obs = L_{in} \ M2 \ (tsN \cup cN)$
 $\wedge obsI = L_{in} \ M1 \ (tsN \cup cN)$
 $\wedge obs_{\Omega} = (\bigcup_{io \in L_{in}} M2 \ (tsN \cup cN). \{io\} \times B \ M2 \ io \ \Omega)$
 $\wedge obsI_{\Omega} = (\bigcup_{io \in L_{in}} M1 \ (tsN \cup cN). \{io\} \times B \ M1 \ io \ \Omega)$
 $\wedge OFSM \ M1 \wedge OFSM \ M2 \wedge$ asc-fault-domain $M2 \ M1 \ m \wedge$ test-tools $M2 \ M1 \ FAIL \ PM \ V \ \Omega$
 }
 DO
 $iter := iter + 1;$
 $rmN := \{xs' \in cN .$
 $(\neg (L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\}))$
 $\vee (\forall io \in L_{in} \ M1 \ \{xs'\} .$
 $(\exists V'' \in N \ io \ M1 \ V .$
 $(\exists S1 .$
 $(\exists vs \ xs .$
 $io = (vs@xs)$
 $\wedge mcp \ (vs@xs) \ V'' \ vs$
 $\wedge S1 \subseteq nodes \ M2$
 $\wedge (\forall s1 \in S1 . \forall s2 \in S1 .$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V'' .$
 $\forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V'' .$
 $B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega))$
 $\wedge m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V'')))));$

```

    tsN := tsN ∪ cN;
    cN := append-set (cN - rmN) (inputs M2) - tsN;
    obs := obs ∪ Lin M2 cN;
    obsI := obsI ∪ Lin M1 cN;
    obsΩ := obsΩ ∪ (⋃io ∈ Lin M2 cN. {io} × B M2 io Ω);
    obsIΩ := obsIΩ ∪ (⋃io ∈ Lin M1 cN. {io} × B M1 io Ω)
  OD;
  isReduction := ((obsI ⊆ obs) ∧ (obsIΩ ⊆ obsΩ))
{
  isReduction = M1 ≼ M2  — variable isReduction is used only as a return value, it is true if and only if M1 is a
reduction of M2
}
proof (vcg)
assume precondition : OFSM M1 ∧ OFSM M2 ∧ asc-fault-domain M2 M1 m ∧ test-tools M2 M1 FAIL PM V Ω
have {} = TS M2 M1 Ω V m (1-1)
  V = C M2 M1 Ω V m 1
  {} = RM M2 M1 Ω V m (1-1)
  Lin M2 V = Lin M2 ({} ∪ V)
  Lin M1 V = Lin M1 ({} ∪ V)
  (⋃io ∈ Lin M2 V. {io} × B M2 io Ω)
  = (⋃io ∈ Lin M2 ({} ∪ V). {io} × B M2 io Ω)
  (⋃io ∈ Lin M1 V. {io} × B M1 io Ω)
  = (⋃io ∈ Lin M1 ({} ∪ V). {io} × B M1 io Ω)
using precondition by auto
moreover have OFSM M1 ∧ OFSM M2 ∧ asc-fault-domain M2 M1 m ∧ test-tools M2 M1 FAIL PM V Ω
using precondition by assumption
ultimately show 0 < (1::nat) ∧
  {} = TS M2 M1 Ω V m (1 - 1) ∧
  V = C M2 M1 Ω V m 1 ∧
  {} = RM M2 M1 Ω V m (1 - 1) ∧
  Lin M2 V = Lin M2 ({} ∪ V) ∧
  Lin M1 V = Lin M1 ({} ∪ V) ∧
  (⋃io ∈ Lin M2 V. {io} × B M2 io Ω)
  = (⋃io ∈ Lin M2 ({} ∪ V). {io} × B M2 io Ω) ∧
  (⋃io ∈ Lin M1 V. {io} × B M1 io Ω)
  = (⋃io ∈ Lin M1 ({} ∪ V). {io} × B M1 io Ω) ∧
  OFSM M1 ∧ OFSM M2 ∧ asc-fault-domain M2 M1 m ∧ test-tools M2 M1 FAIL PM V Ω
by linarith+
next
fix tsN cN rmN obs obsI obsΩ obsIΩ iter isReduction
assume precondition : (0 < iter ∧
  tsN = TS M2 M1 Ω V m (iter - 1) ∧
  cN = C M2 M1 Ω V m iter ∧
  rmN = RM M2 M1 Ω V m (iter - 1) ∧
  obs = Lin M2 (tsN ∪ cN) ∧
  obsI = Lin M1 (tsN ∪ cN) ∧
  obsΩ = (⋃io ∈ Lin M2 (tsN ∪ cN). {io} × B M2 io Ω) ∧
  obsIΩ = (⋃io ∈ Lin M1 (tsN ∪ cN). {io} × B M1 io Ω) ∧
  OFSM M1 ∧ OFSM M2 ∧ asc-fault-domain M2 M1 m ∧ test-tools M2 M1 FAIL PM V Ω)
  ∧ cN ≠ {} ∧ obsI ⊆ obs ∧ obsIΩ ⊆ obsΩ
then have 0 < iter
  OFSM M1
  OFSM M2
  asc-fault-domain M2 M1 m
  test-tools M2 M1 FAIL PM V Ω
  cN ≠ {}
  obsI ⊆ obs
  tsN = TS M2 M1 Ω V m (iter-1)
  cN = C M2 M1 Ω V m iter
  rmN = RM M2 M1 Ω V m (iter-1)
  obs = Lin M2 (tsN ∪ cN)
  obsI = Lin M1 (tsN ∪ cN)
  obsΩ = (⋃io ∈ Lin M2 (tsN ∪ cN). {io} × B M2 io Ω)
  obsIΩ = (⋃io ∈ Lin M1 (tsN ∪ cN). {io} × B M1 io Ω)
by linarith+

```

obtain k **where** $iter = Suc\ k$
using $gr0\text{-}implies\text{-}Suc[OF\ \langle 0 < iter \rangle]$ **by** *blast*
then have $cN = C\ M2\ M1\ \Omega\ V\ m\ (Suc\ k)$
 $tsN = TS\ M2\ M1\ \Omega\ V\ m\ k$
using $\langle cN = C\ M2\ M1\ \Omega\ V\ m\ iter \rangle \langle tsN = TS\ M2\ M1\ \Omega\ V\ m\ (iter-1) \rangle$ **by** *auto*
have $TS\ M2\ M1\ \Omega\ V\ m\ iter = TS\ M2\ M1\ \Omega\ V\ m\ (Suc\ k)$
 $C\ M2\ M1\ \Omega\ V\ m\ iter = C\ M2\ M1\ \Omega\ V\ m\ (Suc\ k)$
 $RM\ M2\ M1\ \Omega\ V\ m\ iter = RM\ M2\ M1\ \Omega\ V\ m\ (Suc\ k)$
using $\langle iter = Suc\ k \rangle$ **by** *presburger+*

have $rmN\text{-}calc[simp] : \{xs' \in cN.$
 $\neg io\text{-}reduction\text{-}on\ M1\ \{xs'\}\ M2\ \vee$
 $(\forall io \in L_{in}\ M1\ \{xs'\}.$
 $\exists V'' \in N\ io\ M1\ V.$
 $\exists S1\ vs\ xs.$
 $io = vs @ xs \wedge$
 $mcp\ (vs @ xs)\ V''\ vs \wedge$
 $S1 \subseteq nodes\ M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP\ M2\ s1\ vs\ xs\ V''. \forall io2 \in RP\ M2\ s2\ vs\ xs\ V''.$
 $B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega)) \wedge$
 $m < LB\ M2\ M1\ vs\ xs\ (tsN \cup V)\ S1\ \Omega\ V'')\} =$
 $RM\ M2\ M1\ \Omega\ V\ m\ iter$
proof –

have $\{xs' \in cN.$
 $\neg io\text{-}reduction\text{-}on\ M1\ \{xs'\}\ M2\ \vee$
 $(\forall io \in L_{in}\ M1\ \{xs'\}.$
 $\exists V'' \in N\ io\ M1\ V.$
 $\exists S1\ vs\ xs.$
 $io = vs @ xs \wedge$
 $mcp\ (vs @ xs)\ V''\ vs \wedge$
 $S1 \subseteq nodes\ M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP\ M2\ s1\ vs\ xs\ V''. \forall io2 \in RP\ M2\ s2\ vs\ xs\ V''.$
 $B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega)) \wedge$
 $m < LB\ M2\ M1\ vs\ xs\ (tsN \cup V)\ S1\ \Omega\ V'')\} =$
 $\{xs' \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ k).$
 $\neg io\text{-}reduction\text{-}on\ M1\ \{xs'\}\ M2\ \vee$
 $(\forall io \in L_{in}\ M1\ \{xs'\}.$
 $\exists V'' \in N\ io\ M1\ V.$
 $\exists S1\ vs\ xs.$
 $io = vs @ xs \wedge$
 $mcp\ (vs @ xs)\ V''\ vs \wedge$
 $S1 \subseteq nodes\ M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP\ M2\ s1\ vs\ xs\ V''. \forall io2 \in RP\ M2\ s2\ vs\ xs\ V''.$
 $B\ M1\ io1\ \Omega \neq B\ M1\ io2\ \Omega)) \wedge$
 $m < LB\ M2\ M1\ vs\ xs\ ((TS\ M2\ M1\ \Omega\ V\ m\ k) \cup V)\ S1\ \Omega\ V'')\}$
using $\langle cN = C\ M2\ M1\ \Omega\ V\ m\ (Suc\ k) \rangle \langle tsN = TS\ M2\ M1\ \Omega\ V\ m\ k \rangle$ **by** *blast*

moreover have $\{xs' \in C\ M2\ M1\ \Omega\ V\ m\ (Suc\ k).$
 $\neg io\text{-}reduction\text{-}on\ M1\ \{xs'\}\ M2\ \vee$
 $(\forall io \in L_{in}\ M1\ \{xs'\}.$
 $\exists V'' \in N\ io\ M1\ V.$
 $\exists S1\ vs\ xs.$
 $io = vs @ xs \wedge$

$mcp (vs @ xs) V'' vs \wedge$
 $S1 \subseteq nodes M2 \wedge$
 $(\forall s1 \in S1.$
 $\quad \forall s2 \in S1.$
 $\quad s1 \neq s2 \longrightarrow$
 $\quad (\forall io1 \in RP M2 s1 vs xs V''. \forall io2 \in RP M2 s2 vs xs V''.$
 $\quad \quad B M1 io1 \Omega \neq B M1 io2 \Omega)) \wedge$
 $m < LB M2 M1 vs xs ((TS M2 M1 \Omega V m k) \cup V) S1 \Omega V'') \} =$
 $RM M2 M1 \Omega V m (Suc k)$
using $RM.simps(2)[of M2 M1 \Omega V m k]$ **by** *blast*
ultimately have $\{xs' \in cN.$
 $\quad \neg io\text{-reduction-on } M1 \{xs'\} M2 \vee$
 $\quad (\forall io \in L_{in} M1 \{xs'\}.$
 $\quad \quad \exists V'' \in N io M1 V.$
 $\quad \quad \exists S1 vs xs.$
 $\quad \quad io = vs @ xs \wedge$
 $\quad \quad mcp (vs @ xs) V'' vs \wedge$
 $\quad \quad S1 \subseteq nodes M2 \wedge$
 $\quad \quad (\forall s1 \in S1.$
 $\quad \quad \quad \forall s2 \in S1.$
 $\quad \quad \quad s1 \neq s2 \longrightarrow$
 $\quad \quad \quad (\forall io1 \in RP M2 s1 vs xs V''. \forall io2 \in RP M2 s2 vs xs V''.$
 $\quad \quad \quad \quad B M1 io1 \Omega \neq B M1 io2 \Omega)) \wedge$
 $\quad \quad m < LB M2 M1 vs xs (tsN \cup V) S1 \Omega V'') \} =$
 $RM M2 M1 \Omega V m (Suc k)$
by *presburger*
then show *?thesis*
using $\langle iter = Suc k \rangle$ **by** *presburger*
qed
moreover have $RM M2 M1 \Omega V m iter = RM M2 M1 \Omega V m (iter + 1 - 1)$ **by** *simp*
ultimately have $rmN\text{-calc}' : \{xs' \in cN.$
 $\quad \neg io\text{-reduction-on } M1 \{xs'\} M2 \vee$
 $\quad (\forall io \in L_{in} M1 \{xs'\}.$
 $\quad \quad \exists V'' \in N io M1 V.$
 $\quad \quad \exists S1 vs xs.$
 $\quad \quad io = vs @ xs \wedge$
 $\quad \quad mcp (vs @ xs) V'' vs \wedge$
 $\quad \quad S1 \subseteq nodes M2 \wedge$
 $\quad \quad (\forall s1 \in S1.$
 $\quad \quad \quad \forall s2 \in S1.$
 $\quad \quad \quad s1 \neq s2 \longrightarrow$
 $\quad \quad \quad (\forall io1 \in RP M2 s1 vs xs V''. \forall io2 \in RP M2 s2 vs xs V''.$
 $\quad \quad \quad \quad B M1 io1 \Omega \neq B M1 io2 \Omega)) \wedge$
 $\quad \quad m < LB M2 M1 vs xs (tsN \cup V) S1 \Omega V'') \} =$
 $RM M2 M1 \Omega V m (iter + 1 - 1)$ **by** *presburger*
have $tsN \cup cN = TS M2 M1 \Omega V m (Suc k)$
proof (*cases k*)
case 0
then show *?thesis*
using $\langle tsN = TS M2 M1 \Omega V m k \rangle \langle cN = C M2 M1 \Omega V m (Suc k) \rangle$ **by** *auto*
next
case (*Suc nat*)
then have $TS M2 M1 \Omega V m (Suc k) = TS M2 M1 \Omega V m k \cup C M2 M1 \Omega V m (Suc k)$
using $TS.simps(3)$ **by** *blast*
moreover have $tsN \cup cN = TS M2 M1 \Omega V m k \cup C M2 M1 \Omega V m (Suc k)$
using $\langle tsN = TS M2 M1 \Omega V m k \rangle \langle cN = C M2 M1 \Omega V m (Suc k) \rangle$ **by** *auto*
ultimately show *?thesis*
by *auto*
qed
then have $tsN\text{-calc} : tsN \cup cN = TS M2 M1 \Omega V m iter$
using $\langle iter = Suc k \rangle$ **by** *presburger*
have $cN\text{-calc} : append\text{-set}$
 $(cN -$

$\{xs' \in cN.$
 $\neg io\text{-reduction-on } M1 \{xs'\} M2 \vee$
 $(\forall io \in L_{in} M1 \{xs'\}.$
 $\exists V'' \in N io M1 V.$
 $\exists S1 \text{ vs } xs.$
 $io = vs @ xs \wedge$
 $mcp (vs @ xs) V'' vs \wedge$
 $S1 \subseteq nodes M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP M2 s1 \text{ vs } xs V''.$
 $\forall io2 \in RP M2 s2 \text{ vs } xs V''. B M1 io1 \Omega \neq B M1 io2 \Omega)) \wedge$
 $m < LB M2 M1 \text{ vs } xs (tsN \cup V) S1 \Omega V''))$
 $(inputs M2) -$
 $(tsN \cup cN) =$
 $C M2 M1 \Omega V m (iter + 1)$
proof $-$
have *append-set*
 $(cN -$
 $\{xs' \in cN.$
 $\neg io\text{-reduction-on } M1 \{xs'\} M2 \vee$
 $(\forall io \in L_{in} M1 \{xs'\}.$
 $\exists V'' \in N io M1 V.$
 $\exists S1 \text{ vs } xs.$
 $io = vs @ xs \wedge$
 $mcp (vs @ xs) V'' vs \wedge$
 $S1 \subseteq nodes M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP M2 s1 \text{ vs } xs V''.$
 $\forall io2 \in RP M2 s2 \text{ vs } xs V''. B M1 io1 \Omega \neq B M1 io2 \Omega)) \wedge$
 $m < LB M2 M1 \text{ vs } xs (tsN \cup V) S1 \Omega V''))$
 $(inputs M2) -$
 $(tsN \cup cN) =$
append-set
 $((C M2 M1 \Omega V m iter) -$
 $(RM M2 M1 \Omega V m iter))$
 $(inputs M2) -$
 $(TS M2 M1 \Omega V m iter)$
using $\langle cN = C M2 M1 \Omega V m iter \rangle \langle tsN \cup cN = TS M2 M1 \Omega V m iter \rangle$ *rmN-calc* **by** *presburger*
moreover *have* *append-set*
 $((C M2 M1 \Omega V m iter) -$
 $(RM M2 M1 \Omega V m iter))$
 $(inputs M2) -$
 $(TS M2 M1 \Omega V m iter) = C M2 M1 \Omega V m (iter + 1)$
proof $-$
have $C M2 M1 \Omega V m (iter + 1) = C M2 M1 \Omega V m ((Suc k) + 1)$
using $\langle iter = Suc k \rangle$ *by* *presburger* $+$
moreover *have* $(Suc k) + 1 = Suc (Suc k)$
by *simp*
ultimately *have* $C M2 M1 \Omega V m (iter + 1) = C M2 M1 \Omega V m (Suc (Suc k))$
by *presburger*

have $C M2 M1 \Omega V m (Suc (Suc k))$
 $= \text{append-set } (C M2 M1 \Omega V m (Suc k) - RM M2 M1 \Omega V m (Suc k)) (inputs M2)$
 $- TS M2 M1 \Omega V m (Suc k)$
using $C.simps(3)[of M2 M1 \Omega V m k]$ *by* *linarith*
show *?thesis*
using *Suc-eq-plus1*
 $\langle C M2 M1 \Omega V m (Suc (Suc k))$
 $= \text{append-set } (C M2 M1 \Omega V m (Suc k) - RM M2 M1 \Omega V m (Suc k)) (inputs M2)$
 $- TS M2 M1 \Omega V m (Suc k) \rangle$
 $\langle iter = Suc k \rangle$
by *presburger*

qed

ultimately show ?thesis

by presburger

qed

have obs-calc : obs \cup

$L_{in} M2$

(append-set

(cN -

{xs' \in cN.

$\neg L_{in} M1 \{xs'\} \subseteq L_{in} M2 \{xs'\} \vee$

$(\forall io \in L_{in} M1 \{xs'\}.$

$\exists V'' \in N \ io \ M1 \ V.$

$\exists S1 \ vs \ xs.$

$io = vs @ xs \wedge$

$mcp \ (vs @ xs) \ V'' \ vs \wedge$

$S1 \subseteq nodes \ M2 \wedge$

$(\forall s1 \in S1.$

$\forall s2 \in S1.$

$s1 \neq s2 \longrightarrow$

$(\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''.$

$\forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$

$m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))$

(inputs M2) -

(tsN \cup cN)) =

$L_{in} M2$

(tsN \cup cN \cup

(append-set

(cN -

{xs' \in cN.

$\neg L_{in} M1 \{xs'\} \subseteq L_{in} M2 \{xs'\} \vee$

$(\forall io \in L_{in} M1 \{xs'\}.$

$\exists V'' \in N \ io \ M1 \ V.$

$\exists S1 \ vs \ xs.$

$io = vs @ xs \wedge$

$mcp \ (vs @ xs) \ V'' \ vs \wedge$

$S1 \subseteq nodes \ M2 \wedge$

$(\forall s1 \in S1.$

$\forall s2 \in S1.$

$s1 \neq s2 \longrightarrow$

$(\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''.$

$\forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$

$m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))$

(inputs M2) -

(tsN \cup cN)))

proof -

have $\bigwedge A. L_{in} M2 \ (tsN \cup cN \cup A) = obs \cup L_{in} M2 \ A$

by (metis (no-types) language-state-for-inputs-union precondition)

then show ?thesis

by blast

qed

have obsI-calc : obsI \cup

$L_{in} M1$

(append-set

(cN -

{xs' \in cN.

$\neg L_{in} M1 \{xs'\} \subseteq L_{in} M2 \{xs'\} \vee$

$(\forall io \in L_{in} M1 \{xs'\}.$

$\exists V'' \in N \ io \ M1 \ V.$

$\exists S1 \ vs \ xs.$

$io = vs @ xs \wedge$

$mcp \ (vs @ xs) \ V'' \ vs \wedge$

$$\begin{aligned}
& S1 \subseteq \text{nodes } M2 \wedge \\
& (\forall s1 \in S1. \\
& \quad \forall s2 \in S1. \\
& \quad \quad s1 \neq s2 \longrightarrow \\
& \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \\
& \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge \\
& \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V'')) \\
& (\text{inputs } M2) - \\
& (tsN \cup cN)) = \\
& L_{in} \ M1 \\
& (tsN \cup cN \cup \\
& (\text{append-set} \\
& (cN - \\
& \quad \{xs' \in cN. \\
& \quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee \\
& \quad (\forall io \in L_{in} \ M1 \ \{xs'\}. \\
& \quad \quad \exists V'' \in N \ io \ M1 \ V. \\
& \quad \quad \exists S1 \ vs \ xs. \\
& \quad \quad \quad io = vs @ xs \wedge \\
& \quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge \\
& \quad \quad \quad S1 \subseteq \text{nodes } M2 \wedge \\
& \quad \quad \quad (\forall s1 \in S1. \\
& \quad \quad \quad \quad \forall s2 \in S1. \\
& \quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow \\
& \quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \\
& \quad \quad \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge \\
& \quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V'')) \\
& (\text{inputs } M2) - \\
& (tsN \cup cN)))
\end{aligned}$$

proof –
have $\bigwedge A. L_{in} \ M1 \ (tsN \cup cN \cup A) = obsI \cup L_{in} \ M1 \ A$
by (*metis (no-types) language-state-for-inputs-union precondition*)
then show *?thesis*
by blast
qed

have *obs_Ω-calc* : *obs_Ω* \cup
 $\bigcup_{io \in L_{in} \ M2}$
 $(\text{append-set}$
 $(cN -$
 $\quad \{xs' \in cN.$
 $\quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee$
 $\quad (\forall io \in L_{in} \ M1 \ \{xs'\}.$
 $\quad \quad \exists V'' \in N \ io \ M1 \ V.$
 $\quad \quad \exists S1 \ vs \ xs.$
 $\quad \quad \quad io = vs @ xs \wedge$
 $\quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge$
 $\quad \quad \quad S1 \subseteq \text{nodes } M2 \wedge$
 $\quad \quad \quad (\forall s1 \in S1.$
 $\quad \quad \quad \quad \forall s2 \in S1.$
 $\quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow$
 $\quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''.$
 $\quad \quad \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$
 $\quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))$
 $(\text{inputs } M2) -$
 $(tsN \cup cN)).$
 $\{io\} \times B \ M2 \ io \ \Omega) =$
 $\bigcup_{io \in L_{in} \ M2}$
 $(tsN \cup cN \cup$
 $(\text{append-set}$
 $(cN -$
 $\quad \{xs' \in cN.$
 $\quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee$
 $\quad (\forall io \in L_{in} \ M1 \ \{xs'\}.$
 $\quad \quad \exists V'' \in N \ io \ M1 \ V.$
 $\quad \quad \exists S1 \ vs \ xs.$


```

      io = vs @ xs ∧
      mcp (vs @ xs) V'' vs ∧
      S1 ⊆ nodes M2 ∧
      (∀ s1 ∈ S1.
        ∀ s2 ∈ S1.
          s1 ≠ s2 →
            (∀ io1 ∈ RP M2 s1 vs xs V''.
              ∀ io2 ∈ RP M2 s2 vs xs V''. B M1 io1 Ω ≠ B M1 io2 Ω)) ∧
          m < LB M2 M1 vs xs (tsN ∪ V) S1 Ω V''))
      (inputs M2) -
      (tsN ∪ cN))).
    {io} × B M2 io Ω)
using ‹obs = Lin M2 (tsN ∪ cN)›
    ‹obsΩ = (⋃io ∈ Lin M2 (tsN ∪ cN). {io} × B M2 io Ω)›
    obs-calc
by blast

have obsIΩ-calc : obsIΩ ∪
  (⋃io ∈ Lin M1
    (append-set
      (cN -
        {xs' ∈ cN.
          ¬ Lin M1 {xs'} ⊆ Lin M2 {xs'} ∨
          (∀ io ∈ Lin M1 {xs'}.
            ∃ V'' ∈ N io M1 V.
              ∃ S1 vs xs.
                io = vs @ xs ∧
                mcp (vs @ xs) V'' vs ∧
                S1 ⊆ nodes M2 ∧
                (∀ s1 ∈ S1.
                  ∀ s2 ∈ S1.
                    s1 ≠ s2 →
                      (∀ io1 ∈ RP M2 s1 vs xs V''.
                        ∀ io2 ∈ RP M2 s2 vs xs V''. B M1 io1 Ω ≠ B M1 io2 Ω)) ∧
                      m < LB M2 M1 vs xs (tsN ∪ V) S1 Ω V''))
                (inputs M2) -
                (tsN ∪ cN))).
              {io} × B M1 io Ω) =
            (⋃io ∈ Lin M1
              (tsN ∪ cN ∪
                (append-set
                  (cN -
                    {xs' ∈ cN.
                      ¬ Lin M1 {xs'} ⊆ Lin M2 {xs'} ∨
                      (∀ io ∈ Lin M1 {xs'}.
                        ∃ V'' ∈ N io M1 V.
                          ∃ S1 vs xs.
                            io = vs @ xs ∧
                            mcp (vs @ xs) V'' vs ∧
                            S1 ⊆ nodes M2 ∧
                            (∀ s1 ∈ S1.
                              ∀ s2 ∈ S1.
                                s1 ≠ s2 →
                                  (∀ io1 ∈ RP M2 s1 vs xs V''.
                                    ∀ io2 ∈ RP M2 s2 vs xs V''. B M1 io1 Ω ≠ B M1 io2 Ω)) ∧
                                  m < LB M2 M1 vs xs (tsN ∪ V) S1 Ω V''))
                                  (inputs M2) -
                                  (tsN ∪ cN))).
                                {io} × B M1 io Ω)
              using ‹obsI = Lin M1 (tsN ∪ cN)›
              ‹obsIΩ = (⋃io ∈ Lin M1 (tsN ∪ cN). {io} × B M1 io Ω)›
              obsI-calc
              by blast

```

have $0 < \text{iter} + 1$
using $\langle 0 < \text{iter} \rangle$ **by** *simp*
have $\text{tsN} \cup \text{cN} = \text{TS } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (\text{iter} + 1 - 1)$
using *tsN-calc* **by** *simp*

from $\langle 0 < \text{iter} + 1 \rangle$
 $\langle \text{tsN} \cup \text{cN} = \text{TS } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (\text{iter} + 1 - 1) \rangle$
cN-calc
rmN-calc'
obs-calc
obsI-calc
obs_Ω-calc
obsI_Ω-calc
 $\langle \text{OFSM } M1 \rangle$
 $\langle \text{OFSM } M2 \rangle$
 $\langle \text{asc-fault-domain } M2 \text{ } M1 \text{ } m \rangle$
 $\langle \text{test-tools } M2 \text{ } M1 \text{ } \text{FAIL } \text{PM } V \text{ } \Omega \rangle$
show $0 < \text{iter} + 1 \wedge$
 $\text{tsN} \cup \text{cN} = \text{TS } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (\text{iter} + 1 - 1) \wedge$
append-set
 $(\text{cN} -$
 $\{xs' \in \text{cN}.$
 $\neg L_{in} \text{ } M1 \text{ } \{xs'\} \subseteq L_{in} \text{ } M2 \text{ } \{xs'\} \vee$
 $(\forall io \in L_{in} \text{ } M1 \text{ } \{xs'\}.$
 $\exists V'' \in N \text{ } io \text{ } M1 \text{ } V.$
 $\exists S1 \text{ } vs \text{ } xs.$
 $io = vs @ xs \wedge$
 $mcp (vs @ xs) \text{ } V'' \text{ } vs \wedge$
 $S1 \subseteq \text{nodes } M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP \text{ } M2 \text{ } s1 \text{ } vs \text{ } xs \text{ } V''.$
 $\forall io2 \in RP \text{ } M2 \text{ } s2 \text{ } vs \text{ } xs \text{ } V''. B \text{ } M1 \text{ } io1 \text{ } \Omega \neq B \text{ } M1 \text{ } io2 \text{ } \Omega)) \wedge$
 $m < LB \text{ } M2 \text{ } M1 \text{ } vs \text{ } xs \text{ } (\text{tsN} \cup V) \text{ } S1 \text{ } \Omega \text{ } V'')) \wedge$
 $(\text{inputs } M2) -$
 $(\text{tsN} \cup \text{cN}) =$
 $C \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (\text{iter} + 1) \wedge$
 $\{xs' \in \text{cN}.$
 $\neg L_{in} \text{ } M1 \text{ } \{xs'\} \subseteq L_{in} \text{ } M2 \text{ } \{xs'\} \vee$
 $(\forall io \in L_{in} \text{ } M1 \text{ } \{xs'\}.$
 $\exists V'' \in N \text{ } io \text{ } M1 \text{ } V.$
 $\exists S1 \text{ } vs \text{ } xs.$
 $io = vs @ xs \wedge$
 $mcp (vs @ xs) \text{ } V'' \text{ } vs \wedge$
 $S1 \subseteq \text{nodes } M2 \wedge$
 $(\forall s1 \in S1.$
 $\forall s2 \in S1.$
 $s1 \neq s2 \longrightarrow$
 $(\forall io1 \in RP \text{ } M2 \text{ } s1 \text{ } vs \text{ } xs \text{ } V''. \forall io2 \in RP \text{ } M2 \text{ } s2 \text{ } vs \text{ } xs \text{ } V''.$
 $B \text{ } M1 \text{ } io1 \text{ } \Omega \neq B \text{ } M1 \text{ } io2 \text{ } \Omega)) \wedge$
 $m < LB \text{ } M2 \text{ } M1 \text{ } vs \text{ } xs \text{ } (\text{tsN} \cup V) \text{ } S1 \text{ } \Omega \text{ } V'')) \wedge$
 $RM \text{ } M2 \text{ } M1 \text{ } \Omega \text{ } V \text{ } m \text{ } (\text{iter} + 1 - 1) \wedge$
 $\text{obs} \cup$
 $L_{in} \text{ } M2$
(append-set
 $(\text{cN} -$
 $\{xs' \in \text{cN}.$
 $\neg L_{in} \text{ } M1 \text{ } \{xs'\} \subseteq L_{in} \text{ } M2 \text{ } \{xs'\} \vee$
 $(\forall io \in L_{in} \text{ } M1 \text{ } \{xs'\}.$
 $\exists V'' \in N \text{ } io \text{ } M1 \text{ } V.$
 $\exists S1 \text{ } vs \text{ } xs.$
 $io = vs @ xs \wedge$
 $mcp (vs @ xs) \text{ } V'' \text{ } vs \wedge$

[illegible]

$$\begin{aligned}
& m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V'')\} \\
& (inputs \ M2) - \\
& (tsN \cup cN))) \wedge \\
& obs_{\Omega} \cup \\
& (\bigcup_{io \in L_{in} \ M2} \\
& \quad (append-set \\
& \quad \quad (cN - \\
& \quad \quad \quad \{xs' \in cN. \\
& \quad \quad \quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee \\
& \quad \quad \quad (\forall io \in L_{in} \ M1 \ \{xs'\}. \\
& \quad \quad \quad \quad \exists V'' \in N \ io \ M1 \ V. \\
& \quad \quad \quad \quad \exists S1 \ vs \ xs. \\
& \quad \quad \quad \quad \quad io = vs @ xs \wedge \\
& \quad \quad \quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge \\
& \quad \quad \quad \quad \quad S1 \subseteq nodes \ M2 \wedge \\
& \quad \quad \quad \quad \quad (\forall s1 \in S1. \\
& \quad \quad \quad \quad \quad \quad \forall s2 \in S1. \\
& \quad \quad \quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow \\
& \quad \quad \quad \quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \\
& \quad \quad \quad \quad \quad \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge \\
& \quad \quad \quad \quad \quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))\} \\
& (inputs \ M2) - \\
& (tsN \cup cN)). \\
& \{io\} \times B \ M2 \ io \ \Omega) = \\
& (\bigcup_{io \in L_{in} \ M2} \\
& \quad (tsN \cup cN \cup \\
& \quad \quad (append-set \\
& \quad \quad \quad (cN - \\
& \quad \quad \quad \quad \{xs' \in cN. \\
& \quad \quad \quad \quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee \\
& \quad \quad \quad \quad (\forall io \in L_{in} \ M1 \ \{xs'\}. \\
& \quad \quad \quad \quad \quad \exists V'' \in N \ io \ M1 \ V. \\
& \quad \quad \quad \quad \quad \exists S1 \ vs \ xs. \\
& \quad \quad \quad \quad \quad \quad io = vs @ xs \wedge \\
& \quad \quad \quad \quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge \\
& \quad \quad \quad \quad \quad \quad S1 \subseteq nodes \ M2 \wedge \\
& \quad \quad \quad \quad \quad \quad (\forall s1 \in S1. \\
& \quad \quad \quad \quad \quad \quad \quad \forall s2 \in S1. \\
& \quad \quad \quad \quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow \\
& \quad \quad \quad \quad \quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \\
& \quad \quad \quad \quad \quad \quad \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge \\
& \quad \quad \quad \quad \quad \quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))\} \\
& (inputs \ M2) - \\
& (tsN \cup cN))). \\
& \{io\} \times B \ M2 \ io \ \Omega) \wedge \\
& obs_{\Omega} \cup \\
& (\bigcup_{io \in L_{in} \ M1} \\
& \quad (append-set \\
& \quad \quad (cN - \\
& \quad \quad \quad \{xs' \in cN. \\
& \quad \quad \quad \neg L_{in} \ M1 \ \{xs'\} \subseteq L_{in} \ M2 \ \{xs'\} \vee \\
& \quad \quad \quad (\forall io \in L_{in} \ M1 \ \{xs'\}. \\
& \quad \quad \quad \quad \exists V'' \in N \ io \ M1 \ V. \\
& \quad \quad \quad \quad \exists S1 \ vs \ xs. \\
& \quad \quad \quad \quad \quad io = vs @ xs \wedge \\
& \quad \quad \quad \quad \quad mcp \ (vs @ xs) \ V'' \ vs \wedge \\
& \quad \quad \quad \quad \quad S1 \subseteq nodes \ M2 \wedge \\
& \quad \quad \quad \quad \quad (\forall s1 \in S1. \\
& \quad \quad \quad \quad \quad \quad \forall s2 \in S1. \\
& \quad \quad \quad \quad \quad \quad \quad s1 \neq s2 \longrightarrow \\
& \quad \quad \quad \quad \quad \quad \quad \quad (\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''. \\
& \quad \quad \quad \quad \quad \quad \quad \quad \quad \forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge \\
& \quad \quad \quad \quad \quad \quad \quad \quad m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))\} \\
& (inputs \ M2) - \\
& (tsN \cup cN)). \\
& \{io\} \times B \ M1 \ io \ \Omega) =
\end{aligned}$$

```

( $\bigcup_{io \in L_{in}} M1$ 
  ( $tsN \cup cN \cup$ 
    ( $append-set$ 
      ( $cN -$ 
         $\{xs' \in cN.$ 
           $\neg L_{in} M1 \{xs'\} \subseteq L_{in} M2 \{xs'\} \vee$ 
            ( $\forall io \in L_{in} M1 \{xs'\}.$ 
               $\exists V'' \in N \ io \ M1 \ V.$ 
                 $\exists S1 \ vs \ xs.$ 
                   $io = vs \ @ \ xs \wedge$ 
                     $mcp \ (vs \ @ \ xs) \ V'' \ vs \wedge$ 
                       $S1 \subseteq nodes \ M2 \wedge$ 
                        ( $\forall s1 \in S1.$ 
                           $\forall s2 \in S1.$ 
                             $s1 \neq s2 \longrightarrow$ 
                              ( $\forall io1 \in RP \ M2 \ s1 \ vs \ xs \ V''.$ 
                                 $\forall io2 \in RP \ M2 \ s2 \ vs \ xs \ V''. \ B \ M1 \ io1 \ \Omega \neq B \ M1 \ io2 \ \Omega)) \wedge$ 
                                   $m < LB \ M2 \ M1 \ vs \ xs \ (tsN \cup V) \ S1 \ \Omega \ V''))$ 
                                ( $inputs \ M2) -$ 
                                  ( $tsN \cup cN))$ )).
                                 $\{io\} \times B \ M1 \ io \ \Omega) \wedge$ 
                                   $OFSM \ M1 \wedge OFSM \ M2 \wedge asc-fault-domain \ M2 \ M1 \ m \wedge test-tools \ M2 \ M1 \ FAIL \ PM \ V \ \Omega$ 
                                by linarith
next
fix  $tsN \ cN \ rmN \ obs \ obsI \ obs_{\Omega} \ obsI_{\Omega} \ iter \ isReduction$ 
assume precond : ( $0 < iter \wedge$ 
   $tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (iter - 1) \wedge$ 
   $cN = C \ M2 \ M1 \ \Omega \ V \ m \ iter \wedge$ 
   $rmN = RM \ M2 \ M1 \ \Omega \ V \ m \ (iter - 1) \wedge$ 
   $obs = L_{in} \ M2 \ (tsN \cup cN) \wedge$ 
   $obsI = L_{in} \ M1 \ (tsN \cup cN) \wedge$ 
   $obs_{\Omega} = (\bigcup_{io \in L_{in}} M2 \ (tsN \cup cN). \{io\} \times B \ M2 \ io \ \Omega) \wedge$ 
   $obsI_{\Omega} = (\bigcup_{io \in L_{in}} M1 \ (tsN \cup cN). \{io\} \times B \ M1 \ io \ \Omega) \wedge$ 
   $OFSM \ M1 \wedge OFSM \ M2 \wedge asc-fault-domain \ M2 \ M1 \ m \wedge test-tools \ M2 \ M1 \ FAIL \ PM \ V \ \Omega) \wedge$ 
   $\neg (cN \neq \{\} \wedge obsI \subseteq obs \wedge obsI_{\Omega} \subseteq obs_{\Omega})$ 
then have  $0 < iter$ 
   $OFSM \ M1$ 
   $OFSM \ M2$ 
   $asc-fault-domain \ M2 \ M1 \ m$ 
   $test-tools \ M2 \ M1 \ FAIL \ PM \ V \ \Omega$ 
   $cN = \{\} \vee \neg obsI \subseteq obs \vee \neg obsI_{\Omega} \subseteq obs_{\Omega}$ 
   $tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (iter-1)$ 
   $cN = C \ M2 \ M1 \ \Omega \ V \ m \ iter$ 
   $rmN = RM \ M2 \ M1 \ \Omega \ V \ m \ (iter-1)$ 
   $obs = L_{in} \ M2 \ (tsN \cup cN)$ 
   $obsI = L_{in} \ M1 \ (tsN \cup cN)$ 
   $obs_{\Omega} = (\bigcup_{io \in L_{in}} M2 \ (tsN \cup cN). \{io\} \times B \ M2 \ io \ \Omega)$ 
   $obsI_{\Omega} = (\bigcup_{io \in L_{in}} M1 \ (tsN \cup cN). \{io\} \times B \ M1 \ io \ \Omega)$ 
  by linarith+

show ( $obsI \subseteq obs \wedge obsI_{\Omega} \subseteq obs_{\Omega}$ ) =  $M1 \preceq M2$ 
proof (cases  $cN = \{\}$ )
case True
  then have  $C \ M2 \ M1 \ \Omega \ V \ m \ iter = \{\}$ 
  using  $\langle cN = C \ M2 \ M1 \ \Omega \ V \ m \ iter \rangle$  by auto

  have is-det-state-cover  $M2 \ V$ 
  using  $\langle test-tools \ M2 \ M1 \ FAIL \ PM \ V \ \Omega \rangle$  by auto
  then have  $\square \in V$ 
  using det-state-cover-initial[of  $M2 \ V$ ] by simp
  then have  $V \neq \{\}$ 
  by blast
  have Suc  $0 < iter$ 
  proof (rule ccontr)

```

```

assume  $\neg \text{Suc } 0 < \text{iter}$ 
then have  $\text{iter} = \text{Suc } 0$ 
  using  $\langle 0 < \text{iter} \rangle$  by auto
then have  $C \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } 0) = \{\}$ 
  using  $\langle C \ M2 \ M1 \ \Omega \ V \ m \ \text{iter} = \{\} \rangle$  by auto
moreover have  $C \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } 0) = V$ 
  by auto
ultimately show False
  using  $\langle V \neq \{\} \rangle$  by blast
qed

obtain  $k$  where  $\text{iter} = \text{Suc } k$ 
  using gr0-implies-Suc[OF  $\langle 0 < \text{iter} \rangle$ ] by blast
then have  $\text{Suc } 0 < \text{Suc } k$ 
  using  $\langle \text{Suc } 0 < \text{iter} \rangle$  by auto
then have  $0 < k$ 
  by simp
then obtain  $k'$  where  $k = \text{Suc } k'$ 
  using gr0-implies-Suc by blast
have  $\text{iter} = \text{Suc } (\text{Suc } k')$ 
  using  $\langle \text{iter} = \text{Suc } k \rangle \langle k = \text{Suc } k' \rangle$  by simp

have  $TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } (\text{Suc } k')) = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } k') \cup C \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } (\text{Suc } k'))$ 
  using TS.simps(3)[of  $M2 \ M1 \ \Omega \ V \ m \ k'$ ] by blast
then have  $TS \ M2 \ M1 \ \Omega \ V \ m \ \text{iter} = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{Suc } k')$ 
  using True  $\langle cN = C \ M2 \ M1 \ \Omega \ V \ m \ \text{iter} \rangle \langle \text{iter} = \text{Suc } (\text{Suc } k') \rangle$  by blast
moreover have  $\text{Suc } k' = \text{iter} - 1$ 
  using  $\langle \text{iter} = \text{Suc } (\text{Suc } k') \rangle$  by presburger
ultimately have  $TS \ M2 \ M1 \ \Omega \ V \ m \ \text{iter} = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1)$ 
  by auto
then have  $tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ \text{iter}$ 
  using  $\langle tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1) \rangle$  by simp

then have  $TS \ M2 \ M1 \ \Omega \ V \ m \ \text{iter} = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1)$ 
  using  $\langle tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1) \rangle$  by auto
then have final-iteration  $M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1)$ 
  using  $\langle 0 < \text{iter} \rangle$  by auto

have  $M1 \preceq M2 = \text{atc-io-reduction-on-sets } M1 \ tsN \ \Omega \ M2$ 
  using asc-main-theorem[OF  $\langle \text{OFSM } M1 \rangle \langle \text{OFSM } M2 \rangle$   

 $\langle \text{asc-fault-domain } M2 \ M1 \ m \rangle$   

 $\langle \text{test-tools } M2 \ M1 \ \text{FAIL } PM \ V \ \Omega \rangle$   

 $\langle \text{final-iteration } M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1) \rangle$ ]
  using  $\langle tsN = TS \ M2 \ M1 \ \Omega \ V \ m \ (\text{iter} - 1) \rangle$ 
  by blast
moreover have  $tsN \cup cN = tsN$ 
  using  $\langle cN = \{\} \rangle$  by blast
ultimately have  $M1 \preceq M2 = \text{atc-io-reduction-on-sets } M1 \ (tsN \cup cN) \ \Omega \ M2$ 
  by presburger

have  $\text{obs}I \subseteq \text{obs} \equiv L_{in} \ M1 \ (tsN \cup cN) \subseteq L_{in} \ M2 \ (tsN \cup cN)$ 
  by (simp add:  $\langle \text{obs} = L_{in} \ M2 \ (tsN \cup cN) \rangle \langle \text{obs}I = L_{in} \ M1 \ (tsN \cup cN) \rangle$ )

have  $\text{obs}I_{\Omega} \subseteq \text{obs}_{\Omega} \equiv (\bigcup_{io \in L_{in} \ M1 \ (tsN \cup cN)}. \{io\} \times B \ M1 \ io \ \Omega)$   

 $\subseteq (\bigcup_{io \in L_{in} \ M2 \ (tsN \cup cN)}. \{io\} \times B \ M2 \ io \ \Omega)$ 
  by (simp add:  $\langle \text{obs}I_{\Omega} = (\bigcup_{io \in L_{in} \ M1 \ (tsN \cup cN)}. \{io\} \times B \ M1 \ io \ \Omega) \rangle$   

 $\langle \text{obs}_{\Omega} = (\bigcup_{io \in L_{in} \ M2 \ (tsN \cup cN)}. \{io\} \times B \ M2 \ io \ \Omega) \rangle$ )

have  $(\text{obs}I \subseteq \text{obs} \wedge \text{obs}I_{\Omega} \subseteq \text{obs}_{\Omega}) = \text{atc-io-reduction-on-sets } M1 \ (tsN \cup cN) \ \Omega \ M2$ 
proof
  assume  $\text{obs}I \subseteq \text{obs} \wedge \text{obs}I_{\Omega} \subseteq \text{obs}_{\Omega}$ 
  show atc-io-reduction-on-sets  $M1 \ (tsN \cup cN) \ \Omega \ M2$ 
    using atc-io-reduction-on-sets-from-obs[of  $M1 \ tsN \cup cN \ M2 \ \Omega$ ]
    using  $\langle \text{obs}I \subseteq \text{obs} \wedge \text{obs}I_{\Omega} \subseteq \text{obs}_{\Omega} \rangle \langle \text{obs}I \subseteq \text{obs} \equiv L_{in} \ M1 \ (tsN \cup cN) \subseteq L_{in} \ M2 \ (tsN \cup cN) \rangle$   

 $\langle \text{obs}I_{\Omega} \subseteq \text{obs}_{\Omega} \equiv (\bigcup_{io \in L_{in} \ M1 \ (tsN \cup cN)}. \{io\} \times B \ M1 \ io \ \Omega) \rangle$ 

```

```

      ⊆ (⋃io ∈ Lin M2 (tsN ∪ cN). {io} × B M2 io Ω)
    by linarith
  next
    assume atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2
    show obsI ⊆ obs ∧ obsIΩ ⊆ obsΩ
    using atc-io-reduction-on-sets-to-obs[of M1 ⟨tsN ∪ cN⟩ Ω M2]
      ⟨atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2⟩
      ⟨obsI ⊆ obs ≡ Lin M1 (tsN ∪ cN) ⊆ Lin M2 (tsN ∪ cN)⟩
      ⟨obsIΩ ⊆ obsΩ ≡ (⋃io ∈ Lin M1 (tsN ∪ cN). {io} × B M1 io Ω)
        ⊆ (⋃io ∈ Lin M2 (tsN ∪ cN). {io} × B M2 io Ω)⟩
    by blast
  qed
  then show ?thesis
    using ⟨M1 ≼ M2 = atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2⟩ by linarith
next
  case False

  then have ¬ obsI ⊆ obs ∨ ¬ obsIΩ ⊆ obsΩ
    using ⟨cN = {}⟩ ∨ ¬ obsI ⊆ obs ∨ ¬ obsIΩ ⊆ obsΩ by auto

  have ¬ atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2
    using atc-io-reduction-on-sets-to-obs[of M1 tsN ∪ cN Ω M2]
      ⟨¬ obsI ⊆ obs ∨ ¬ obsIΩ ⊆ obsΩ⟩ precondition
    by fastforce

  have ¬ M1 ≼ M2
  proof
    assume M1 ≼ M2
    have atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2
      using asc-soundness[OF ⟨OF M1⟩ ⟨OF M2⟩] ⟨M1 ≼ M2⟩ by blast
    then show False
      using ⟨¬ atc-io-reduction-on-sets M1 (tsN ∪ cN) Ω M2⟩ by blast
  qed

  then show ?thesis
    using ⟨¬ obsI ⊆ obs ∨ ¬ obsIΩ ⊆ obsΩ⟩ by blast

  qed
qed

end
theory ASC-Example
  imports ASC-Hoare
begin

```

8 Example product machines and properties

This section provides example FSMs and shows that the assumptions on the inputs of the adaptive state counting algorithm are not vacuous.

8.1 Constructing FSMs from transition relations

This subsection provides a function to more easily create FSMs, only requiring a set of transition-tuples and an initial state.

```

fun from-rel :: ('state × ('in × 'out) × 'state) set ⇒ 'state ⇒ ('in, 'out, 'state) FSM where
  from-rel rel q0 = (| succ = λ io p . { q . (p, io, q) ∈ rel },
    inputs = image (fst ∘ fst ∘ snd) rel,
    outputs = image (snd ∘ fst ∘ snd) rel,
    initial = q0 |)

```

```

lemma nodes-from-rel : nodes (from-rel rel q0)  $\subseteq$  insert q0 (image (snd  $\circ$  snd) rel)
  (is nodes ?M  $\subseteq$  insert q0 (image (snd  $\circ$  snd) rel))
proof -
  have  $\bigwedge q \text{ io } p . q \in \text{succ } ?M \text{ io } p \implies q \in \text{image } (\text{snd} \circ \text{snd}) \text{ rel}$ 
    by force
  have  $\bigwedge q . q \in \text{nodes } ?M \implies q = q0 \vee q \in \text{image } (\text{snd} \circ \text{snd}) \text{ rel}$ 
proof -
  fix q assume q  $\in$  nodes ?M
  then show q = q0  $\vee$  q  $\in$  image (snd  $\circ$  snd) rel
  proof (cases rule: FSM.nodes.cases)
    case initial
    then show ?thesis by auto
  next
    case (execute p a)
    then show ?thesis
      using  $\langle \bigwedge q \text{ io } p . q \in \text{succ } ?M \text{ io } p \implies q \in \text{image } (\text{snd} \circ \text{snd}) \text{ rel} \rangle$  by blast
  qed
qed
then show nodes ?M  $\subseteq$  insert q0 (image (snd  $\circ$  snd) rel)
  by blast
qed

```

```

fun well-formed-rel :: ('state  $\times$  ('in  $\times$  'out)  $\times$  'state) set  $\Rightarrow$  bool where
  well-formed-rel rel = (finite rel
     $\wedge (\forall s1 \ x \ y . (x \notin \text{image } (\text{fst} \circ \text{fst} \circ \text{snd}) \text{ rel} \vee y \notin \text{image } (\text{snd} \circ \text{fst} \circ \text{snd}) \text{ rel}) \longrightarrow \neg(\exists s2 . (s1, (x, y), s2) \in \text{rel}))$ 
     $\wedge \text{rel} \neq \{\})$ )

```

```

lemma well-formed-from-rel :
  assumes well-formed-rel rel
  shows well-formed (from-rel rel q0) (is well-formed ?M)
proof -
  have nodes ?M  $\subseteq$  insert q0 (image (snd  $\circ$  snd) rel)
    using nodes-from-rel[of rel q0] by auto
  moreover have finite (insert q0 (image (snd  $\circ$  snd) rel))
    using assms by auto
  ultimately have finite (nodes ?M)
    by (simp add: Finite-Set.finite-subset)
  moreover have finite (inputs ?M) finite (outputs ?M)
    using assms by auto
  ultimately have finite-FSM ?M
    by auto

  moreover have inputs ?M  $\neq \{\}$ 
    using assms by auto
  moreover have outputs ?M  $\neq \{\}$ 
    using assms by auto
  moreover have  $\bigwedge s1 \ x \ y . (x \notin \text{inputs } ?M \vee y \notin \text{outputs } ?M) \longrightarrow \text{succ } ?M \ (x, y) \ s1 = \{\}$ 
    using assms by auto

  ultimately show ?thesis
    by auto
qed

```

```

fun completely-specified-rel-over :: ('state  $\times$  ('in  $\times$  'out)  $\times$  'state) set  $\Rightarrow$  'state set  $\Rightarrow$  bool
where
  completely-specified-rel-over rel nods = ( $\forall s1 \in \text{nods} .$ 
     $\forall x \in \text{image } (\text{fst} \circ \text{fst} \circ \text{snd}) \text{ rel} .$ 
     $\exists y \in \text{image } (\text{snd} \circ \text{fst} \circ \text{snd}) \text{ rel} .$ 
     $\exists s2 . (s1, (x, y), s2) \in \text{rel}$ )

```



```

lemma completely-specified-from-rel :
  assumes completely-specified-rel-over rel (nodes ((from-rel rel q0)))
  shows completely-specified (from-rel rel q0) (is completely-specified ?M)
  unfolding completely-specified.simps
proof
  fix s1 assume s1 ∈ nodes (from-rel rel q0)
  show  $\forall x \in \text{inputs } ?M. \exists y \in \text{outputs } ?M. \exists s2. s2 \in \text{succ } ?M (x, y) s1$ 
  proof
    fix x assume x ∈ inputs (from-rel rel q0)
    then have x ∈ image (fst ∘ fst ∘ snd) rel
      using assms by auto

    obtain y s2 where y ∈ image (snd ∘ fst ∘ snd) rel (s1, (x, y), s2) ∈ rel
      using assms  $\langle s1 \in \text{nodes } (\text{from-rel } \text{rel } q0) \rangle \langle x \in \text{image } (\text{fst} \circ \text{fst} \circ \text{snd}) \text{ rel} \rangle$ 
      by (meson completely-specified-rel-over.elims(2))

    then have y ∈ outputs (from-rel rel q0) s2 ∈ succ (from-rel rel q0) (x, y) s1
      by auto

    then show  $\exists y \in \text{outputs } (\text{from-rel } \text{rel } q0). \exists s2. s2 \in \text{succ } (\text{from-rel } \text{rel } q0) (x, y) s1$ 
      by blast
  qed
qed

```

```

fun observable-rel :: ('state × ('in × 'out) × 'state) set ⇒ bool where
  observable-rel rel = ( $\forall io\ s1. \{ s2. (s1, io, s2) \in rel \} = \{ \}$ 
     $\vee (\exists s2. \{ s2'. (s1, io, s2') \in rel \} = \{ s2 \})$ )

```

```

lemma observable-from-rel :
  assumes observable-rel rel
  shows observable (from-rel rel q0) (is observable ?M)
proof –
  have  $\bigwedge io\ s1. \{ s2. (s1, io, s2) \in rel \} = \text{succ } ?M\ io\ s1$ 
    by auto
  then show ?thesis using assms by auto
qed

```

```

abbreviation OFSM-rel rel q0 ≡ well-formed-rel rel
   $\wedge$  completely-specified-rel-over rel (nodes (from-rel rel q0))
   $\wedge$  observable-rel rel

```

```

lemma OFMS-from-rel :
  assumes OFSM-rel rel q0
  shows OFMS (from-rel rel q0)
  by (metis assms completely-specified-from-rel observable-from-rel well-formed-from-rel)

```

8.2 Example FSMs and properties

```

abbreviation MS-rel :: (nat × (nat × nat) × nat) set ≡ {(0,(0,0),1), (0,(0,1),1), (1,(0,2),1)}
abbreviation MS :: (nat,nat,nat) FSM ≡ from-rel MS-rel 0

```

```

abbreviation MI-rel :: (nat × (nat × nat) × nat) set ≡ {(0,(0,0),1), (0,(0,1),1), (1,(0,2),0)}
abbreviation MI :: (nat,nat,nat) FSM ≡ from-rel MI-rel 0

```

```

lemma example-nodes :
  nodes MS = {0,1} nodes MI = {0,1}
proof –

```

```

have 0 ∈ nodes MS by auto
have 1 ∈ succ MS (0,0) 0 by auto
have 1 ∈ nodes MS
  by (meson ⟨0 ∈ nodes MS⟩ ⟨1 ∈ succ MS (0, 0) 0⟩ succ-nodes)

have {0,1} ⊆ nodes MS
  using ⟨0 ∈ nodes MS⟩ ⟨1 ∈ nodes MS⟩ by auto
moreover have nodes MS ⊆ {0,1}
  using nodes-from-rel[of MS-rel 0] by auto
ultimately show nodes MS = {0,1}
  by blast
next
have 0 ∈ nodes MI by auto
have 1 ∈ succ MI (0,0) 0 by auto
have 1 ∈ nodes MI
  by (meson ⟨0 ∈ nodes MI⟩ ⟨1 ∈ succ MI (0, 0) 0⟩ succ-nodes)

have {0,1} ⊆ nodes MI
  using ⟨0 ∈ nodes MI⟩ ⟨1 ∈ nodes MI⟩ by auto
moreover have nodes MI ⊆ {0,1}
  using nodes-from-rel[of MI-rel 0] by auto
ultimately show nodes MI = {0,1}
  by blast
qed

lemma example-OFSM :
  OFSM MS OFSM MI
proof -
  have well-formed-rel MS-rel
    unfolding well-formed-rel.simps by auto

  moreover have completely-specified-rel-over MS-rel (nodes (from-rel MS-rel 0))
    unfolding completely-specified-rel-over.simps
  proof
    fix s1 assume (s1::nat) ∈ nodes (from-rel MS-rel 0)
    then have s1 ∈ (insert 0 (image (snd ∘ snd) MS-rel))
      using nodes-from-rel[of MS-rel 0] by blast
    moreover have completely-specified-rel-over MS-rel (insert 0 (image (snd ∘ snd) MS-rel))
      unfolding completely-specified-rel-over.simps by auto
    ultimately show ∀ x∈(fst ∘ fst ∘ snd) ‘ MS-rel.
      ∃ y∈(snd ∘ fst ∘ snd) ‘ MS-rel. ∃ s2. (s1, (x, y), s2) ∈ MS-rel
      by simp
  qed

  moreover have observable-rel MS-rel
    by auto

  ultimately have OFSM-rel MS-rel 0
    by auto

  then show OFSM MS
    using OFMS-from-rel[of MS-rel 0] by linarith
next
have well-formed-rel MI-rel
  unfolding well-formed-rel.simps by auto

moreover have completely-specified-rel-over MI-rel (nodes (from-rel MI-rel 0))
  unfolding completely-specified-rel-over.simps
proof
  fix s1 assume (s1::nat) ∈ nodes (from-rel MI-rel 0)
  then have s1 ∈ (insert 0 (image (snd ∘ snd) MI-rel))
    using nodes-from-rel[of MI-rel 0] by blast
  have completely-specified-rel-over MI-rel (insert 0 (image (snd ∘ snd) MI-rel))
    unfolding completely-specified-rel-over.simps by auto

```

```

show  $\forall x \in (fst \circ fst \circ snd) \text{ ' } M_I\text{-rel.}$ 
   $\exists y \in (snd \circ fst \circ snd) \text{ ' } M_I\text{-rel. } \exists s2. (s1, (x, y), s2) \in M_I\text{-rel}$ 
by (meson  $\langle \text{completely-specified-rel-over } M_I\text{-rel } (\text{insert } 0 \text{ } ((snd \circ snd) \text{ ' } M_I\text{-rel})) \rangle$ 
   $\langle s1 \in \text{insert } 0 \text{ } ((snd \circ snd) \text{ ' } M_I\text{-rel}) \rangle \text{ completely-specified-rel-over.elims}(2))$ 
qed

moreover have observable-rel  $M_I\text{-rel}$ 
by auto

ultimately have OFMS-rel  $M_I\text{-rel } 0$ 
by auto

then show OFMS  $M_I$ 
using OFMS-from-rel[of  $M_I\text{-rel } 0$ ] by linarith
qed

lemma example-fault-domain : asc-fault-domain  $M_S M_I 2$ 
proof –
  have inputs  $M_S = \text{inputs } M_I$ 
  by auto
  moreover have card (nodes  $M_I$ )  $\leq 2$ 
  using example-nodes(2) by auto
  ultimately show asc-fault-domain  $M_S M_I 2$ 
  by auto
qed

abbreviation  $FAIL_I :: (nat \times nat) \equiv (3, 3)$ 
abbreviation  $PM_I :: (nat, nat, nat \times nat) \text{ FSM} \equiv ()$ 
   $\text{succ} = (\lambda a (p1, p2) . (if (p1 \in \text{nodes } M_S \wedge p2 \in \text{nodes } M_I \wedge (fst a \in \text{inputs } M_S)$ 
     $\wedge (snd a \in \text{outputs } M_S \cup \text{outputs } M_I))$ 
     $\text{then } (if (\text{succ } M_S a p1 = \{\} \wedge \text{succ } M_I a p2 \neq \{\})$ 
     $\text{then } \{FAIL_I\}$ 
     $\text{else } (\text{succ } M_S a p1 \times \text{succ } M_I a p2))$ 
     $\text{else } \{\})),$ 
   $\text{inputs} = \text{inputs } M_S,$ 
   $\text{outputs} = \text{outputs } M_S \cup \text{outputs } M_I,$ 
   $\text{initial} = (\text{initial } M_S, \text{initial } M_I)$ 
   $\rangle$ 

lemma example-productF : productF  $M_S M_I FAIL_I PM_I$ 
proof –
  have inputs  $M_S = \text{inputs } M_I$ 
  by auto
  moreover have fst  $FAIL_I \notin \text{nodes } M_S$ 
  using example-nodes(1) by auto
  moreover have snd  $FAIL_I \notin \text{nodes } M_I$ 
  using example-nodes(2) by auto
  ultimately show ?thesis
  unfolding productF.simps by blast
qed

abbreviation  $V_I :: nat \text{ list set} \equiv \{\[], [0]\}$ 

lemma example-det-state-cover : is-det-state-cover  $M_S V_I$ 
proof –
  have d-reaches  $M_S (\text{initial } M_S) [] (\text{initial } M_S)$ 
  by auto
  then have initial  $M_S \in \text{d-reachable } M_S (\text{initial } M_S)$ 
  unfolding d-reachable.simps by blast

  have d-reached-by  $M_S (\text{initial } M_S) [0] 1 [1] [0]$ 
proof

```

```

show  $\text{length } [0] = \text{length } [0] \wedge$ 
 $\text{length } [0] = \text{length } [1] \wedge \text{path } M_S (([0] \parallel [0]) \parallel [1]) (\text{initial } M_S)$ 
 $\wedge \text{target } (([0] \parallel [0]) \parallel [1]) (\text{initial } M_S) = 1$ 
by auto

have  $\bigwedge \text{ys2 } \text{tr2}.$ 
 $\text{length } [0] = \text{length } \text{ys2}$ 
 $\wedge \text{length } [0] = \text{length } \text{tr2}$ 
 $\wedge \text{path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S)$ 
 $\longrightarrow \text{target } (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S) = 1$ 
proof
fix  $\text{ys2 } \text{tr2}$  assume  $\text{length } [0] = \text{length } \text{ys2} \wedge \text{length } [0] = \text{length } \text{tr2}$ 
 $\wedge \text{path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S)$ 
then have  $\text{length } \text{ys2} = 1 \text{ length } \text{tr2} = 1 \text{ path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S)$ 
by auto
moreover obtain  $y2$  where  $\text{ys2} = [y2]$ 
using  $\langle \text{length } \text{ys2} = 1 \rangle$ 
by (metis One-nat-def  $\langle \text{length } [0] = \text{length } \text{ys2} \wedge \text{length } [0] = \text{length } \text{tr2}$ 
 $\wedge \text{path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S) \rangle$  append.simps(1) append-butlast-last-id
butlast-snoc length-butlast length-greater-0-conv list.size(3) nat.simps(3))
moreover obtain  $t2$  where  $\text{tr2} = [t2]$ 
using  $\langle \text{length } \text{tr2} = 1 \rangle$ 
by (metis One-nat-def  $\langle \text{length } [0] = \text{length } \text{ys2} \wedge \text{length } [0] = \text{length } \text{tr2}$ 
 $\wedge \text{path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S) \rangle$  append.simps(1) append-butlast-last-id
butlast-snoc length-butlast length-greater-0-conv list.size(3) nat.simps(3))
ultimately have  $\text{path } M_S [(0, y2), t2] (\text{initial } M_S)$ 
by auto
then have  $t2 \in \text{succ } M_S (0, y2) (\text{initial } M_S)$ 
by auto
moreover have  $\bigwedge y . \text{succ } M_S (0, y) (\text{initial } M_S) \subseteq \{1\}$ 
by auto
ultimately have  $t2 = 1$ 
by blast

show  $\text{target } (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S) = 1$ 
using  $\langle \text{ys2} = [y2] \rangle \langle \text{tr2} = [t2] \rangle \langle t2 = 1 \rangle$  by auto
qed
then show  $\forall \text{ys2 } \text{tr2}.$ 
 $\text{length } [0] = \text{length } \text{ys2} \wedge \text{length } [0] = \text{length } \text{tr2}$ 
 $\wedge \text{path } M_S (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S)$ 
 $\longrightarrow \text{target } (([0] \parallel \text{ys2}) \parallel \text{tr2}) (\text{initial } M_S) = 1$ 
by auto
qed

then have  $d\text{-reaches } M_S (\text{initial } M_S) [0] 1$ 
unfolding d-reaches.simps by blast
then have  $1 \in d\text{-reachable } M_S (\text{initial } M_S)$ 
unfolding d-reachable.simps by blast

then have  $\{0, 1\} \subseteq d\text{-reachable } M_S (\text{initial } M_S)$ 
using  $\langle \text{initial } M_S \in d\text{-reachable } M_S (\text{initial } M_S) \rangle$  by auto
moreover have  $d\text{-reachable } M_S (\text{initial } M_S) \subseteq \text{nodes } M_S$ 
proof
fix  $s$  assume  $s \in d\text{-reachable } M_S (\text{initial } M_S)$ 
then have  $s \in \text{reachable } M_S (\text{initial } M_S)$ 
using d-reachable-reachable by auto
then show  $s \in \text{nodes } M_S$ 
by blast
qed
ultimately have  $d\text{-reachable } M_S (\text{initial } M_S) = \{0, 1\}$ 
using example-nodes(1) by blast

fix  $f' :: \text{nat} \Rightarrow \text{nat list}$ 
let  $?f = f' (0 := [], 1 := [0])$ 

```

```

have is-det-state-cover-ass  $M_S$  ?f
  unfolding is-det-state-cover-ass.simps
proof
  show ?f (initial  $M_S$ ) = [] by auto
  show  $\forall s \in d\text{-reachable } M_S \text{ (initial } M_S). d\text{-reaches } M_S \text{ (initial } M_S) \text{ (?f } s) s$ 
  proof
    fix  $s$  assume  $s \in d\text{-reachable } M_S \text{ (initial } M_S)$ 
    then have  $s \in \text{reachable } M_S \text{ (initial } M_S)$ 
      using d-reachable-reachable by auto
    then have  $s \in \text{nodes } M_S$ 
      by blast
    then have  $s = 0 \vee s = 1$ 
      using example-nodes(1) by blast
    then show  $d\text{-reaches } M_S \text{ (initial } M_S) \text{ (?f } s) s$ 
    proof
      assume  $s = 0$ 
      then show  $d\text{-reaches } M_S \text{ (initial } M_S) \text{ (?f } s) s$ 
        using  $\langle d\text{-reaches } M_S \text{ (initial } M_S) [] \text{ (initial } M_S) \rangle$  by auto
      next
      assume  $s = 1$ 
      then show  $d\text{-reaches } M_S \text{ (initial } M_S) \text{ (?f } s) s$ 
        using  $\langle d\text{-reaches } M_S \text{ (initial } M_S) [0] 1 \rangle$  by auto
    qed
  qed
qed

moreover have  $V_I = \text{image } ?f \text{ (d-reachable } M_S \text{ (initial } M_S))$ 
  using  $\langle d\text{-reachable } M_S \text{ (initial } M_S) = \{0,1\} \rangle$  by auto

ultimately show ?thesis
  unfolding is-det-state-cover.simps by blast
qed

```

abbreviation $\Omega_I :: (\text{nat}, \text{nat}) \text{ ATC set} \equiv \{ \text{Node } 0 \ (\lambda y . \text{Leaf}) \}$

lemma *applicable-set* $M_S \ \Omega_I$
by *auto*

lemma *example-test-tools* : *test-tools* $M_S \ M_I \ \text{FAIL}_I \ \text{PM}_I \ V_I \ \Omega_I$
using *example-productF* *example-det-state-cover* **by** *auto*

lemma *OFSM-not-vacuous* :
 $\exists M :: (\text{nat}, \text{nat}, \text{nat}) \text{ FSM} . \text{OFSM } M$
using *example-OFSM(1)* **by** *blast*

lemma *fault-domain-not-vacuous* :
 $\exists (M2 :: (\text{nat}, \text{nat}, \text{nat}) \text{ FSM}) (M1 :: (\text{nat}, \text{nat}, \text{nat}) \text{ FSM}) m . \text{asc-fault-domain } M2 \ M1 \ m$
using *example-fault-domain* **by** *blast*

lemma *test-tools-not-vacuous* :
 $\exists (M2 :: (\text{nat}, \text{nat}, \text{nat}) \text{ FSM})$
 $(M1 :: (\text{nat}, \text{nat}, \text{nat}) \text{ FSM})$
 $(\text{FAIL} :: (\text{nat} \times \text{nat}))$
 $(\text{PM} :: (\text{nat}, \text{nat}, \text{nat} \times \text{nat}) \text{ FSM})$
 $(V :: (\text{nat list set}))$
 $(\Omega :: (\text{nat}, \text{nat}) \text{ ATC set}) . \text{test-tools } M2 \ M1 \ \text{FAIL} \ \text{PM} \ V \ \Omega$
proof (*rule exI*, *rule exI*)

```

show  $\exists$  FAIL PM V  $\Omega$ . test-tools  $M_S M_I$  FAIL PM V  $\Omega$ 
  using example-test-tools by blast
qed

lemma precondition-not-vacuous :
  shows  $\exists$  (M2::(nat,nat,nat) FSM)
    (M1::(nat,nat,nat) FSM)
    (FAIL::(nat $\times$ nat))
    (PM::(nat,nat,nat $\times$ nat) FSM)
    (V::(nat list set))
    ( $\Omega$ ::(nat,nat) ATC set)
    (m :: nat) .
    OFSM M1  $\wedge$  OFSM M2  $\wedge$  asc-fault-domain M2 M1 m  $\wedge$  test-tools M2 M1 FAIL PM V  $\Omega$ 
proof (intro exI)
  show OFSM M1  $\wedge$  OFSM M_S  $\wedge$  asc-fault-domain M_S M_I 2  $\wedge$  test-tools M_S M_I FAIL_I PM_I V_I  $\Omega_I$ 
  using example-OFSM(2,1) example-fault-domain example-test-tools by linarith
qed

end

```

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